

بسم الله الرحمن الرحيم

نظريه علوم کامپیوتر

نظريه علوم کامپیوتر - بهار ۱۴۰۰-۱۴۰۱ - جلسه یازدهم: پیچیدگی محاسبات (۲)

Theory of computation - 002 - S11 - computational complexity (2)

Review & Reduction

Review & Reduction

18

NP = All languages where can verify membership quickly

P = All languages where can test membership quickly

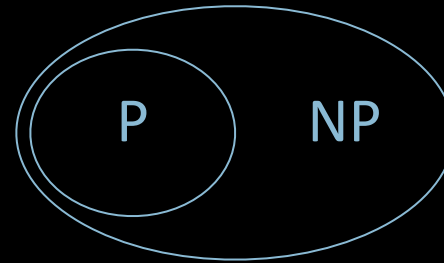
Review & Reduction

18

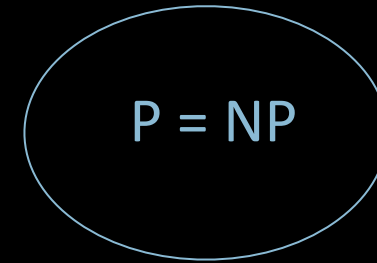
NP = All languages where can verify membership quickly

P = All languages where can test membership quickly

P versus NP question: Does $P = NP$?



?



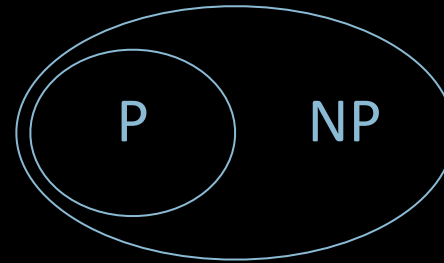
Review & Reduction

18

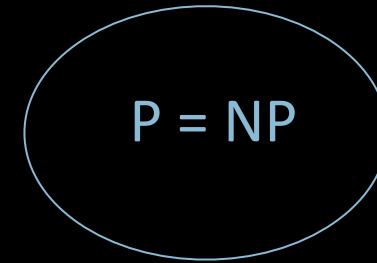
NP = All languages where can verify membership quickly

P = All languages where can test membership quickly

P versus NP question: Does $P = NP$?



?



Satisfiability Problem

Satisfiability Problem

19

Defn: A *Boolean formula* ϕ has Boolean variables (TRUE/FALSE values) and Boolean operations AND (\wedge), OR (\vee), and NOT (\neg).

Satisfiability Problem

19

Defn: A *Boolean formula* ϕ has Boolean variables (TRUE/FALSE values) and Boolean operations AND (\wedge), OR (\vee), and NOT (\neg).

Defn: ϕ is *satisfiable* if ϕ evaluates to TRUE for some assignment to its variables.

Satisfiability Problem

19

Defn: A *Boolean formula* ϕ has Boolean variables (TRUE/FALSE values) and Boolean operations AND (\wedge), OR (\vee), and NOT (\neg).

Defn: ϕ is *satisfiable* if ϕ evaluates to TRUE for some assignment to its variables. Sometimes we use 1 for True and 0 for False.

Satisfiability Problem

19

Defn: A *Boolean formula* ϕ has Boolean variables (TRUE/FALSE values) and Boolean operations AND (\wedge), OR (\vee), and NOT (\neg).

Defn: ϕ is *satisfiable* if ϕ evaluates to TRUE for some assignment to its variables. Sometimes we use 1 for True and 0 for False.

Example: Let $\phi = (x \vee y) \wedge (\bar{x} \vee \bar{y})$ (Notation: \bar{x} means $\neg x$)

Satisfiability Problem

19

Defn: A *Boolean formula* ϕ has Boolean variables (TRUE/FALSE values) and Boolean operations AND (\wedge), OR (\vee), and NOT (\neg).

Defn: ϕ is *satisfiable* if ϕ evaluates to TRUE for some assignment to its variables. Sometimes we use 1 for True and 0 for False.

Example: Let $\phi = (x \vee y) \wedge (\bar{x} \vee \bar{y})$ (Notation: \bar{x} means $\neg x$)

Then ϕ is satisfiable ($x=1, y=0$)

Satisfiability Problem

19

Defn: A *Boolean formula* ϕ has Boolean variables (TRUE/FALSE values) and Boolean operations AND (\wedge), OR (\vee), and NOT (\neg).

Defn: ϕ is *satisfiable* if ϕ evaluates to TRUE for some assignment to its variables. Sometimes we use 1 for True and 0 for False.

Example: Let $\phi = (x \vee y) \wedge (\bar{x} \vee \bar{y})$ (Notation: \bar{x} means $\neg x$)

Then ϕ is satisfiable ($x=1, y=0$)

Defn: $SAT = \{ \langle \phi \rangle \mid \phi \text{ is a satisfiable Boolean formula} \}$

Satisfiability Problem

19

Defn: A *Boolean formula* ϕ has Boolean variables (TRUE/FALSE values) and Boolean operations AND (\wedge), OR (\vee), and NOT (\neg).

Defn: ϕ is *satisfiable* if ϕ evaluates to TRUE for some assignment to its variables. Sometimes we use 1 for True and 0 for False.

Example: Let $\phi = (x \vee y) \wedge (\bar{x} \vee \bar{y})$ (Notation: \bar{x} means $\neg x$)
Then ϕ is satisfiable ($x=1, y=0$)

Defn: $SAT = \{ \langle \phi \rangle \mid \phi \text{ is a satisfiable Boolean formula} \}$

Theorem (Cook, Levin 1971): $SAT \in P \rightarrow P = NP$

Satisfiability Problem

19

Defn: A *Boolean formula* ϕ has Boolean variables (TRUE/FALSE values) and Boolean operations AND (\wedge), OR (\vee), and NOT (\neg).

Defn: ϕ is *satisfiable* if ϕ evaluates to TRUE for some assignment to its variables. Sometimes we use 1 for True and 0 for False.

Example: Let $\phi = (x \vee y) \wedge (\bar{x} \vee \bar{y})$ (Notation: \bar{x} means $\neg x$)
Then ϕ is satisfiable ($x=1, y=0$)

Defn: $SAT = \{ \langle \phi \rangle \mid \phi \text{ is a satisfiable Boolean formula} \}$

Theorem (Cook, Levin 1971): $SAT \in P \rightarrow P = NP$

Proof method: polynomial time (mapping) reducibility

Satisfiability Problem

19

Defn: A *Boolean formula* ϕ has Boolean variables (TRUE/FALSE values) and Boolean operations AND (\wedge), OR (\vee), and NOT (\neg).

Defn: ϕ is *satisfiable* if ϕ evaluates to TRUE for some assignment to its variables. Sometimes we use 1 for True and 0 for False.

Example: Let $\phi = (x \vee y) \wedge (\bar{x} \vee \bar{y})$ (Notation: \bar{x} means $\neg x$)
Then ϕ is satisfiable ($x=1, y=0$)

Defn: $SAT = \{ \langle \phi \rangle \mid \phi \text{ is a satisfiable Boolean formula} \}$

Theorem (Cook, Levin 1971): $SAT \in P \rightarrow P = NP$

Proof method: polynomial time (mapping) reducibility

Satisfiability Problem

19

Defn: A *Boolean formula* ϕ has Boolean variables (TRUE/FALSE values) and Boolean operations AND (\wedge), OR (\vee), and NOT (\neg).

Defn: ϕ is *satisfiable* if ϕ evaluates to TRUE for some assignment to its variables. Sometimes we use 1 for True and 0 for False.

Example: Let $\phi = (x \vee y) \wedge (\bar{x} \vee \bar{y})$ (Notation: \bar{x} means $\neg x$)
Then ϕ is satisfiable ($x=1, y=0$)

Defn: $SAT = \{ \langle \phi \rangle \mid \phi \text{ is a satisfiable Boolean formula} \}$

Theorem (Cook, Levin 1971): $SAT \in P \rightarrow P = NP$
Proof method: polynomial time (mapping) reducibility

Check-in 14.3

Is $SAT \in NP$?

- (a) Yes.
- (b) No.
- (c) I don't know.
- (d) No one knows.

Check-in 14.3

Polynomial Time Reducibility

Polynomial Time Reducibility

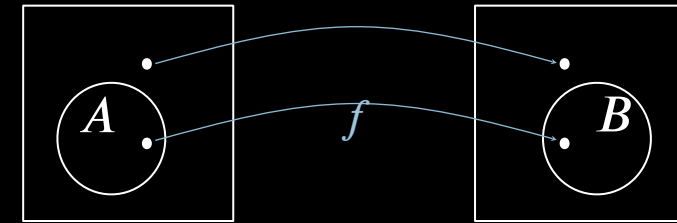
20

Defn: A is polynomial time reducible to B ($A \leq_p B$) if $A \leq_m B$ by a reduction function that is computable in polynomial time.

Polynomial Time Reducibility

20

Defn: A is polynomial time reducible to B ($A \leq_p B$) if $A \leq_m B$ by a reduction function that is computable in polynomial time.



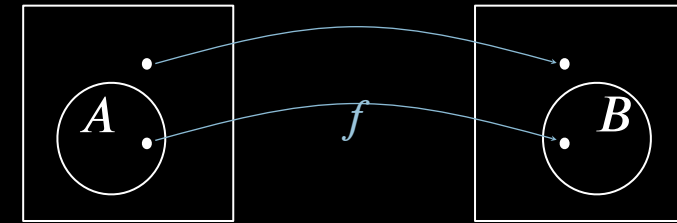
f is computable in polynomial time

Polynomial Time Reducibility

20

Defn: A is polynomial time reducible to B ($A \leq_p B$) if $A \leq_m B$ by a reduction function that is computable in polynomial time.

Theorem: If $A \leq_p B$ and $B \in P$ then $A \in P$.



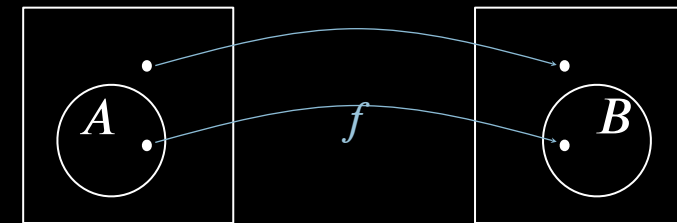
f is computable in polynomial time

Polynomial Time Reducibility

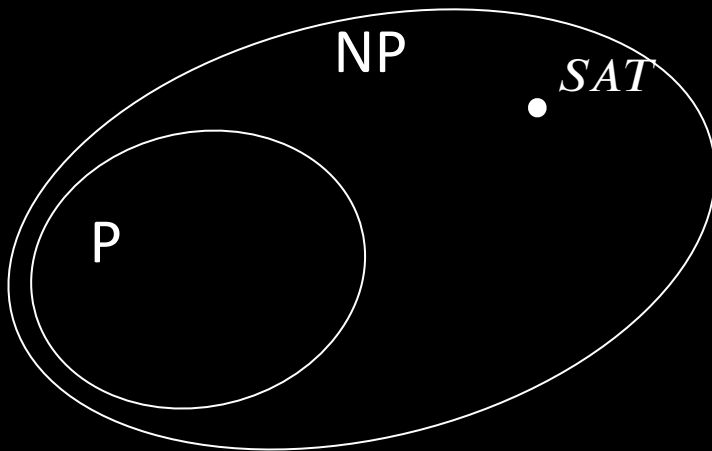
20

Defn: A is polynomial time reducible to B ($A \leq_p B$) if $A \leq_m B$ by a reduction function that is computable in polynomial time.

Theorem: If $A \leq_p B$ and $B \in P$ then $A \in P$.



f is computable in polynomial time



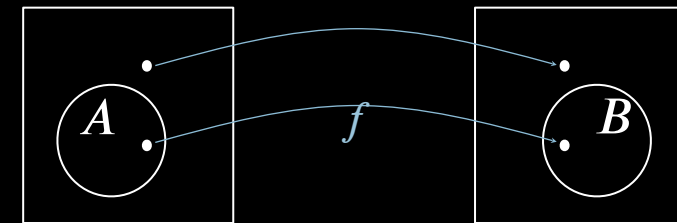
Idea to show $SAT \in P \rightarrow P = NP$

Polynomial Time Reducibility

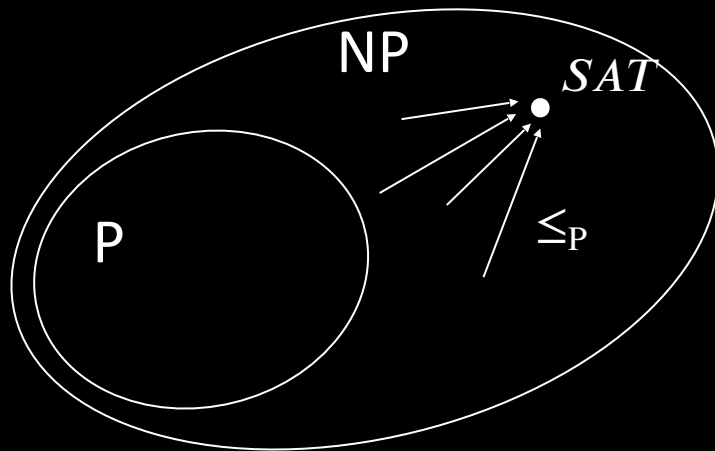
20

Defn: A is polynomial time reducible to B ($A \leq_p B$) if $A \leq_m B$ by a reduction function that is computable in polynomial time.

Theorem: If $A \leq_p B$ and $B \in P$ then $A \in P$.



f is computable in polynomial time



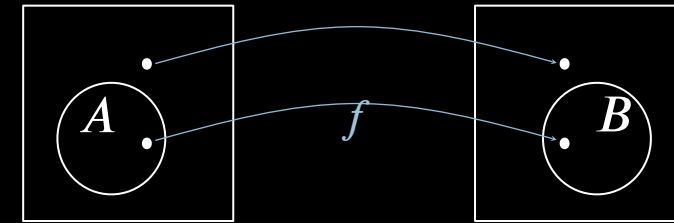
Idea to show $SAT \in P \rightarrow P = NP$

Polynomial Time Reducibility

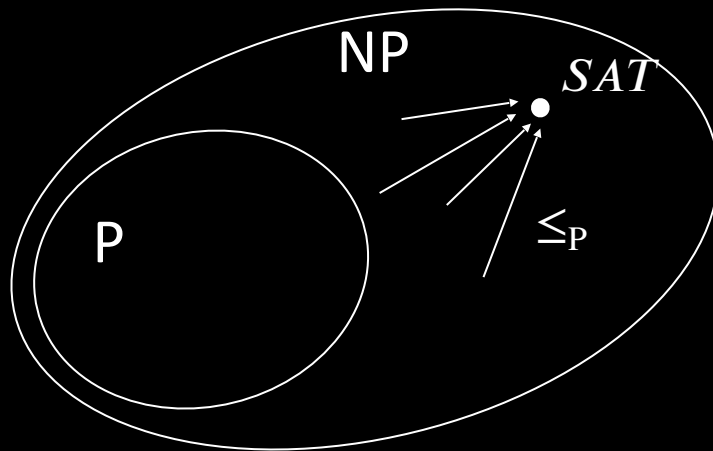
20

Defn: A is polynomial time reducible to B ($A \leq_p B$) if $A \leq_m B$ by a reduction function that is computable in polynomial time.

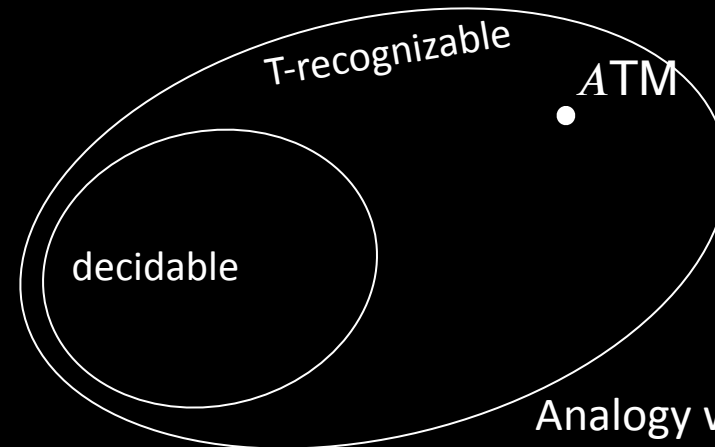
Theorem: If $A \leq_p B$ and $B \in P$ then $A \in P$.



f is computable in polynomial time



Idea to show $SAT \in P \rightarrow P = NP$



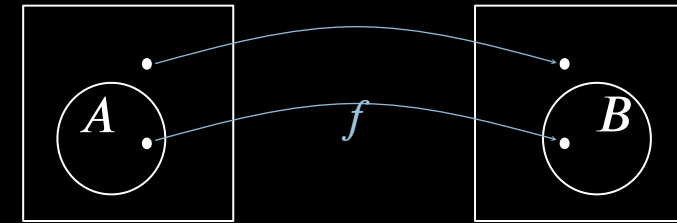
Analogy with ATM

Polynomial Time Reducibility

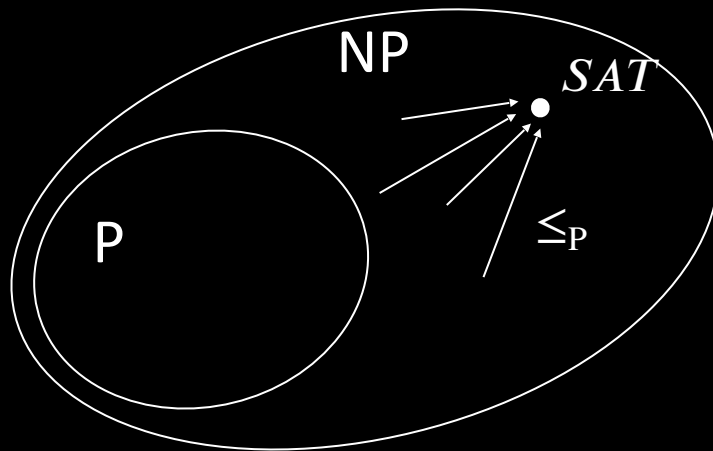
20

Defn: A is polynomial time reducible to B ($A \leq_p B$) if $A \leq_m B$ by a reduction function that is computable in polynomial time.

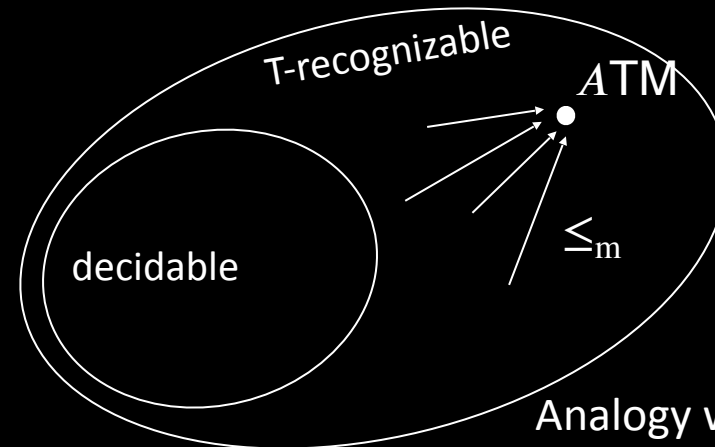
Theorem: If $A \leq_p B$ and $B \in P$ then $A \in P$.



f is computable in polynomial time



Idea to show $SAT \in P \rightarrow P = NP$



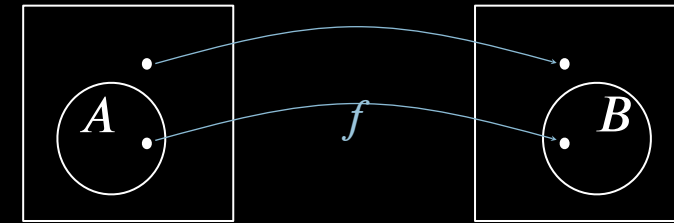
Analogy with ATM

Polynomial Time Reducibility

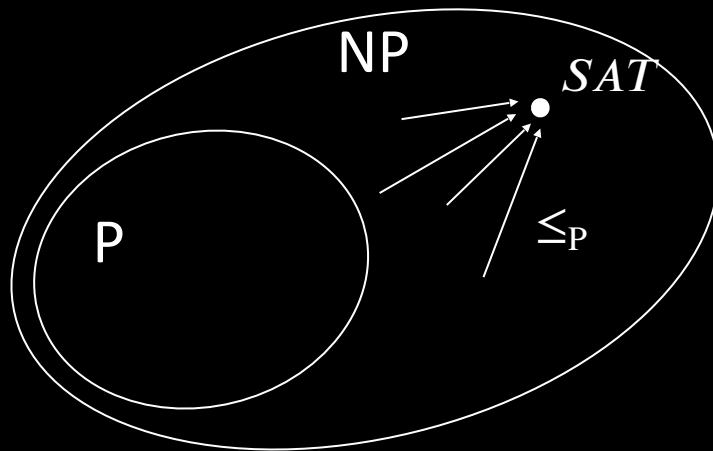
20

Defn: A is polynomial time reducible to B ($A \leq_p B$) if $A \leq_m B$ by a reduction function that is computable in polynomial time.

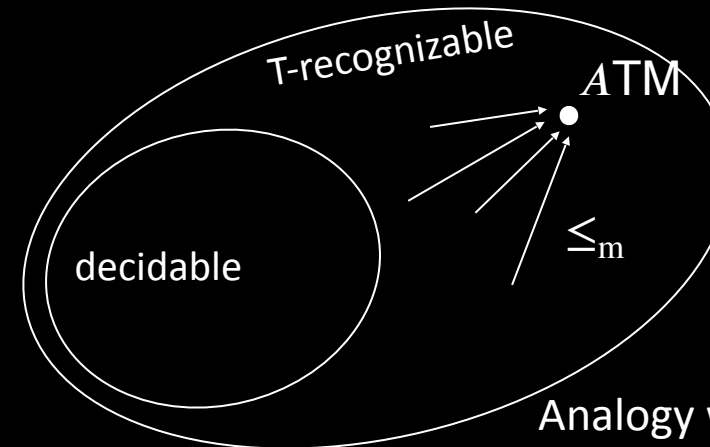
Theorem: If $A \leq_p B$ and $B \in P$ then $A \in P$.



f is computable in polynomial time



Idea to show $SAT \in P \rightarrow P = NP$



Analogy with ATM

\leq_p Example: $3SAT$ and $CLIQUE$

\leq_P Example: $3SAT$ and $CLIQUE$

21

Defn: A Boolean formula ϕ is in Conjunctive Normal Form (CNF) if it has the form

$$\phi = (x \vee \bar{y} \vee z) \wedge (\bar{x} \vee \bar{s} \vee z \vee u) \wedge \cdots \wedge (\bar{z} \vee \bar{u})$$

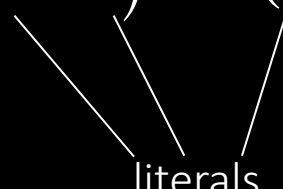
\leq_P Example: $3SAT$ and $CLIQUE$

21

Defn: A Boolean formula ϕ is in Conjunctive Normal Form (CNF) if it has the form

$$\phi = (x \vee \bar{y} \vee z) \wedge (\bar{x} \vee \bar{s} \vee z \vee u) \wedge \cdots \wedge (\bar{z} \vee \bar{u})$$

literals



\leq_P Example: $3SAT$ and $CLIQUE$

21

Defn: A Boolean formula ϕ is in Conjunctive Normal Form (CNF) if it has the form

$$\phi = (x \vee \bar{y} \vee z) \wedge (\bar{x} \vee \bar{s} \vee z \vee u) \wedge \cdots \wedge (\bar{z} \vee \bar{u})$$

Diagram illustrating the structure of the formula ϕ in Conjunctive Normal Form (CNF):

- The formula is a conjunction of clauses, separated by \wedge .
- The first clause is $(x \vee \bar{y} \vee z)$. The entire clause is labeled "clause". The literals x , \bar{y} , and z are labeled "literals".
- The second clause is $(\bar{x} \vee \bar{s} \vee z \vee u)$. The entire clause is labeled "clause".
- The formula continues with $\wedge \cdots \wedge$.
- The final clause is $(\bar{z} \vee \bar{u})$. The entire clause is labeled "clause".

\leq_P Example: $3SAT$ and $CLIQUE$

21

Defn: A Boolean formula ϕ is in Conjunctive Normal Form (CNF) if it has the form

$$\phi = (x \vee \bar{y} \vee z) \wedge (\bar{x} \vee \bar{s} \vee z \vee u) \wedge \cdots \wedge (\bar{z} \vee \bar{u})$$

clause

literals

clause

Literal: a variable or a negated variable

Clause: an OR (\vee) of literals.

\leq_P Example: $3SAT$ and $CLIQUE$

21

Defn: A Boolean formula ϕ is in Conjunctive Normal Form (CNF) if it has the form

$$\phi = \underbrace{(x \vee \bar{y} \vee z)}_{\text{clause}} \wedge \underbrace{(\bar{x} \vee \bar{s} \vee z \vee u)}_{\text{clause}} \wedge \cdots \wedge (\bar{z} \vee \bar{u})$$

literals

Literal: a variable or a negated variable

Clause: an OR (\vee) of literals.

CNF: an AND (\wedge) of clauses.

\leq_P Example: $3SAT$ and $CLIQUE$

21

Defn: A Boolean formula ϕ is in Conjunctive Normal Form (CNF) if it has the form

$$\phi = \underbrace{(x \vee \bar{y} \vee z)}_{\text{clause}} \wedge \underbrace{(\bar{x} \vee \bar{s} \vee z \vee u)}_{\text{clause}} \wedge \cdots \wedge (\bar{z} \vee \bar{u})$$

literals

Literal: a variable or a negated variable

Clause: an OR (\vee) of literals.

CNF: an AND (\wedge) of clauses.

3CNF: a CNF with exactly 3 literals in each clause.

\leq_P Example: $3SAT$ and $CLIQUE$

21

Defn: A Boolean formula ϕ is in Conjunctive Normal Form (CNF) if it has the form

$$\phi = \underbrace{(x \vee \bar{y} \vee z)}_{\text{clause}} \wedge \underbrace{(\bar{x} \vee \bar{s} \vee z \vee u)}_{\text{clause}} \wedge \cdots \wedge (\bar{z} \vee \bar{u})$$

literals

Literal: a variable or a negated variable

Clause: an OR (\vee) of literals.

CNF: an AND (\wedge) of clauses.

3CNF: a CNF with exactly 3 literals in each clause.

$$3SAT = \{ \langle \phi \rangle \mid \phi \text{ is a satisfiable 3CNF formula} \}$$

\leq_P Example: $3SAT$ and $CLIQUE$

21

Defn: A Boolean formula ϕ is in Conjunctive Normal Form (CNF) if it has the form

$$\phi = \underbrace{(x \vee \bar{y} \vee z)}_{\text{clause}} \wedge \underbrace{(\bar{x} \vee \bar{s} \vee z \vee u)}_{\text{clause}} \wedge \cdots \wedge (\bar{z} \vee \bar{u})$$

literals

Literal: a variable or a negated variable

Clause: an OR (\vee) of literals.

CNF: an AND (\wedge) of clauses.

3CNF: a CNF with exactly 3 literals in each clause.

$$3SAT = \{ \langle \phi \rangle \mid \phi \text{ is a satisfiable 3CNF formula} \}$$

Defn: A k -clique in a graph is a subset of k nodes all directly connected by edges.

\leq_P Example: $3SAT$ and $CLIQUE$

21

Defn: A Boolean formula ϕ is in Conjunctive Normal Form (CNF) if it has the form

$$\phi = \underbrace{(x \vee \bar{y} \vee z)}_{\text{clause}} \wedge \underbrace{(\bar{x} \vee \bar{s} \vee z \vee u)}_{\text{clause}} \wedge \cdots \wedge (\bar{z} \vee \bar{u})$$

literals

Literal: a variable or a negated variable

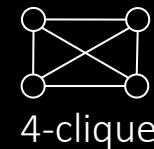
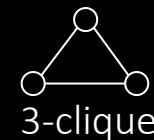
Clause: an OR (\vee) of literals.

CNF: an AND (\wedge) of clauses.

3CNF: a CNF with exactly 3 literals in each clause.

$$3SAT = \{ \langle \phi \rangle \mid \phi \text{ is a satisfiable 3CNF formula} \}$$

Defn: A k -clique in a graph is a subset of k nodes all directly connected by edges.



\leq_P Example: $3SAT$ and $CLIQUE$

21

Defn: A Boolean formula ϕ is in Conjunctive Normal Form (CNF) if it has the form

$$\phi = \underbrace{(x \vee \bar{y} \vee z)}_{\text{clause}} \wedge \underbrace{(\bar{x} \vee \bar{s} \vee z \vee u)}_{\text{clause}} \wedge \cdots \wedge (\bar{z} \vee \bar{u})$$

literals

Literal: a variable or a negated variable

Clause: an OR (\vee) of literals.

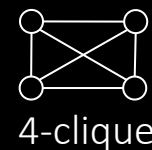
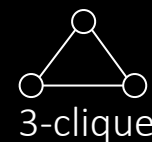
CNF: an AND (\wedge) of clauses.

3CNF: a CNF with exactly 3 literals in each clause.

$$3SAT = \{ \langle \phi \rangle \mid \phi \text{ is a satisfiable 3CNF formula} \}$$

Defn: A k -clique in a graph is a subset of k nodes all directly connected by edges.

$$CLIQUE = \{ \langle G, k \rangle \mid \text{graph } G \text{ contains a } k\text{-clique} \}$$



\leq_P Example: $3SAT$ and $CLIQUE$

21

Defn: A Boolean formula ϕ is in Conjunctive Normal Form (CNF) if it has the form

$$\phi = \underbrace{(x \vee \bar{y} \vee z)}_{\text{clause}} \wedge \underbrace{(\bar{x} \vee \bar{s} \vee z \vee u)}_{\text{clause}} \wedge \cdots \wedge (\bar{z} \vee \bar{u})$$

literals

Literal: a variable or a negated variable

Clause: an OR (\vee) of literals.

CNF: an AND (\wedge) of clauses.

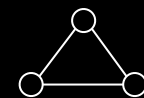
3CNF: a CNF with exactly 3 literals in each clause.

$$3SAT = \{ \langle \phi \rangle \mid \phi \text{ is a satisfiable 3CNF formula} \}$$

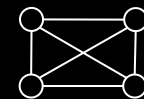
Defn: A k -clique in a graph is a subset of k nodes all directly connected by edges.

$$CLIQUE = \{ \langle G, k \rangle \mid \text{graph } G \text{ contains a } k\text{-clique} \}$$

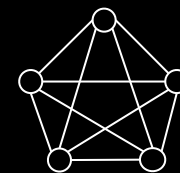
Will show: $3SAT \leq_P CLIQUE$



3-clique



4-clique



5-clique

\leq_p Example: $3SAT$ and $CLIQUE$

21

Defn: A Boolean formula ϕ is in Conjunctive Normal Form (CNF) if it has the form

$$\phi = \underbrace{(x \vee \bar{y} \vee z)}_{\text{clause}} \wedge \underbrace{(\bar{x} \vee \bar{s} \vee z \vee u)}_{\text{clause}} \wedge \cdots \wedge (\bar{z} \vee \bar{u})$$

literals

Literal: a variable or a negated variable

Clause: an OR (\vee) of literals.

CNF: an AND (\wedge) of clauses.

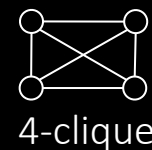
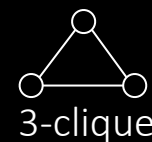
3CNF: a CNF with exactly 3 literals in each clause.

$$3SAT = \{ \langle \phi \rangle \mid \phi \text{ is a satisfiable 3CNF formula} \}$$

Defn: A k -clique in a graph is a subset of k nodes all directly connected by edges.

$$CLIQUE = \{ \langle G, k \rangle \mid \text{graph } G \text{ contains a } k\text{-clique} \}$$

Will show: $3SAT \leq_p CLIQUE$



$$3SAT \leq_p CLIQUE$$

Theorem: $3SAT \leq_p CLIQUE$

$3SAT \leq_p CLIQUE$

22

Theorem: $3SAT \leq_p CLIQUE$

Proof: Give polynomial-time reduction f that maps ϕ to G, k where ϕ is satisfiable iff G has a k -clique.

$3SAT \leq_p CLIQUE$

22

Theorem: $3SAT \leq_p CLIQUE$

Proof: Give polynomial-time reduction f that maps ϕ to G, k where ϕ is satisfiable iff G has a k -clique.

$$\phi = (a \vee b \vee \bar{c}) \wedge (\bar{a} \vee b \vee d) \wedge (a \vee c \vee \bar{e}) \wedge \cdots \wedge (\bar{x} \vee y \vee \bar{z})$$

$3SAT \leq_p CLIQUE$

22

Theorem: $3SAT \leq_p CLIQUE$

Proof: Give polynomial-time reduction f that maps ϕ to G, k where ϕ is satisfiable iff G has a k -clique.

A satisfying assignment to a CNF formula has ≥ 1 true literal in each clause.

$$\phi = (a \vee b \vee \bar{c}) \wedge (\bar{a} \vee b \vee d) \wedge (a \vee c \vee \bar{e}) \wedge \cdots \wedge (\bar{x} \vee y \vee \bar{z})$$

$3SAT \leq_p CLIQUE$

22

Theorem: $3SAT \leq_p CLIQUE$

Proof: Give polynomial-time reduction f that maps ϕ to G, k where ϕ is satisfiable iff G has a k -clique.

A satisfying assignment to a CNF formula has ≥ 1 true literal in each clause.

$$\begin{array}{c} \phi \\ \downarrow f \\ \boxed{\begin{array}{c} G \\ k \end{array}} \end{array} = (a \vee b \vee \bar{c}) \wedge (\bar{a} \vee b \vee d) \wedge (a \vee c \vee \bar{e}) \wedge \cdots \wedge (\bar{x} \vee y \vee \bar{z})$$

$3SAT \leq_p CLIQUE$

22

Theorem: $3SAT \leq_p CLIQUE$

Proof: Give polynomial-time reduction f that maps ϕ to G, k where ϕ is satisfiable iff G has a k -clique.

A satisfying assignment to a CNF formula has ≥ 1 true literal in each clause.

$$\begin{array}{l} \phi = (a \vee b \vee \bar{c}) \wedge (\bar{a} \vee b \vee d) \wedge (a \vee c \vee \bar{e}) \wedge \cdots \wedge (\bar{x} \vee y \vee \bar{z}) \\ \downarrow f \\ \boxed{\begin{array}{c} G \\ k \end{array}} = \end{array}$$

$3SAT \leq_p CLIQUE$

22

Theorem: $3SAT \leq_p CLIQUE$

Proof: Give polynomial-time reduction f that maps ϕ to G, k where ϕ is satisfiable iff G has a k -clique.

A satisfying assignment to a CNF formula has ≥ 1 true literal in each clause.

$$\begin{array}{l} \phi = (a \vee b \vee \bar{c}) \wedge (\bar{a} \vee b \vee d) \wedge (a \vee c \vee \bar{e}) \wedge \cdots \wedge (\bar{x} \vee y \vee \bar{z}) \\ \begin{array}{c} f \downarrow \\ \boxed{G} \\ k \end{array} = \begin{array}{ccccccccccc} a & & \bar{c} & \bar{a} & & d & a & & \bar{e} & & \bar{x} & & \bar{z} \\ \bullet & & \bullet & \bullet & & \bullet & \bullet & & \bullet & & \bullet & & \bullet \\ & \bullet & & & \bullet & & & \bullet & & & & \bullet & \\ & b & & & b & & & c & & & & y & \end{array} \end{array}$$

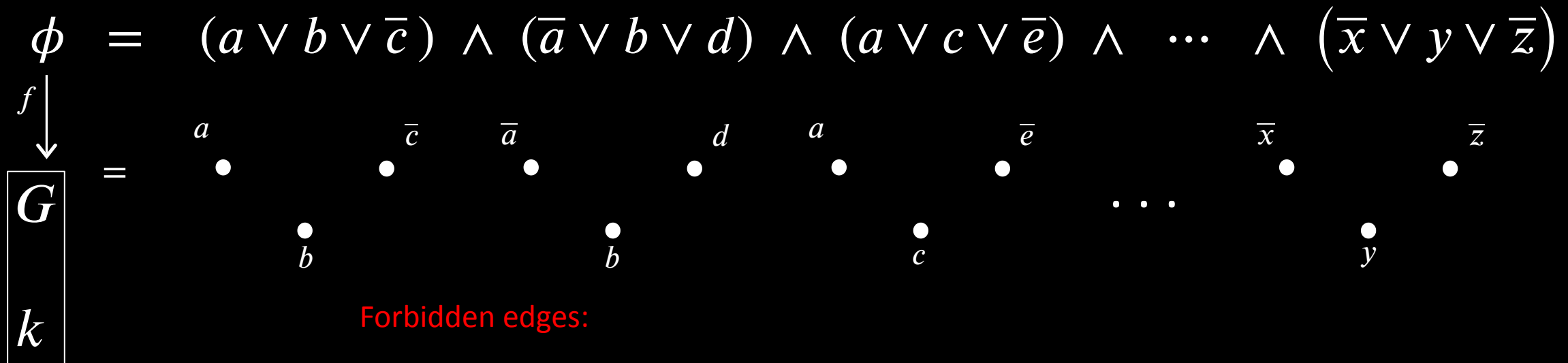
$3SAT \leq_p CLIQUE$

22

Theorem: $3SAT \leq_p CLIQUE$

Proof: Give polynomial-time reduction f that maps ϕ to G, k where ϕ is satisfiable iff G has a k -clique.

A satisfying assignment to a CNF formula has ≥ 1 true literal in each clause.



22

Diagram illustrating the reduction from a 3-SAT formula to a graph G with k nodes per clause.

The formula is $\phi = (a \vee b \vee \bar{c}) \wedge (\bar{a} \vee b \vee d) \wedge (a \vee c \vee \bar{e}) \wedge \dots \wedge (\bar{x} \vee y \vee \bar{z})$.

The graph G has nodes for each literal in each clause. For the first clause, nodes are a , \bar{c} , and \bar{a} . For the second clause, nodes are b , d , and \bar{a} . For the third clause, nodes are a , c , and \bar{e} . For the last clause, nodes are \bar{x} , y , and \bar{z} .

Forbidden edges (red text):

- within a clause

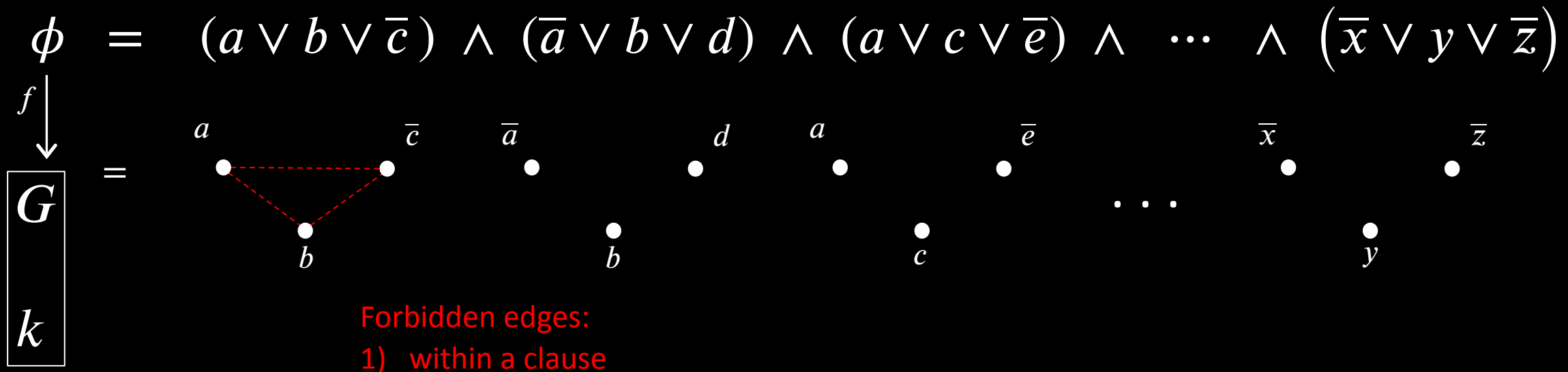
$3SAT \leq_p CLIQUE$

22

Theorem: $3SAT \leq_p CLIQUE$

Proof: Give polynomial-time reduction f that maps ϕ to G, k where ϕ is satisfiable iff G has a k -clique.

A satisfying assignment to a CNF formula has ≥ 1 true literal in each clause.



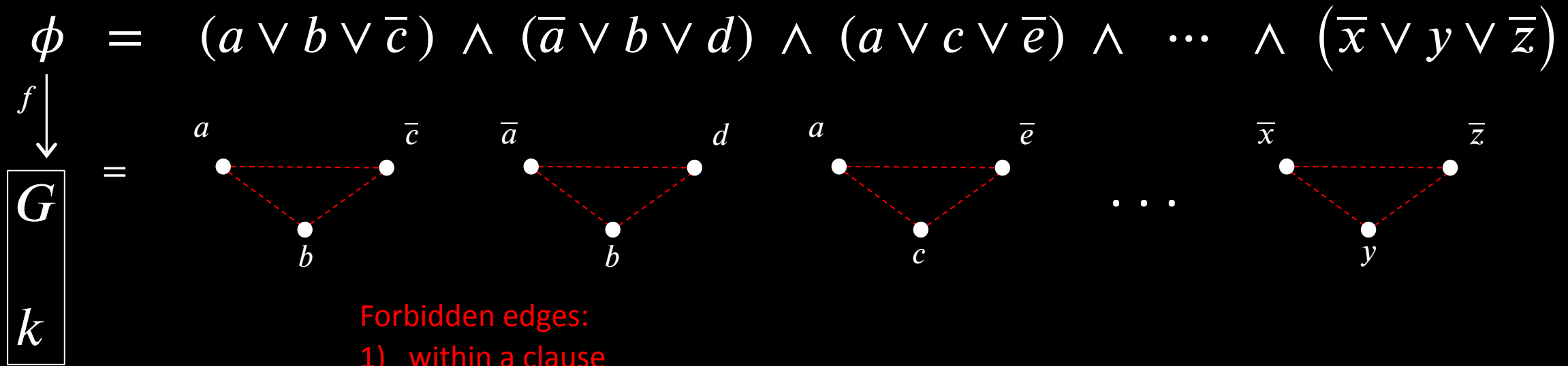
$3SAT \leq_p CLIQUE$

22

Theorem: $3SAT \leq_p CLIQUE$

Proof: Give polynomial-time reduction f that maps ϕ to G, k where ϕ is satisfiable iff G has a k -clique.

A satisfying assignment to a CNF formula has ≥ 1 true literal in each clause.



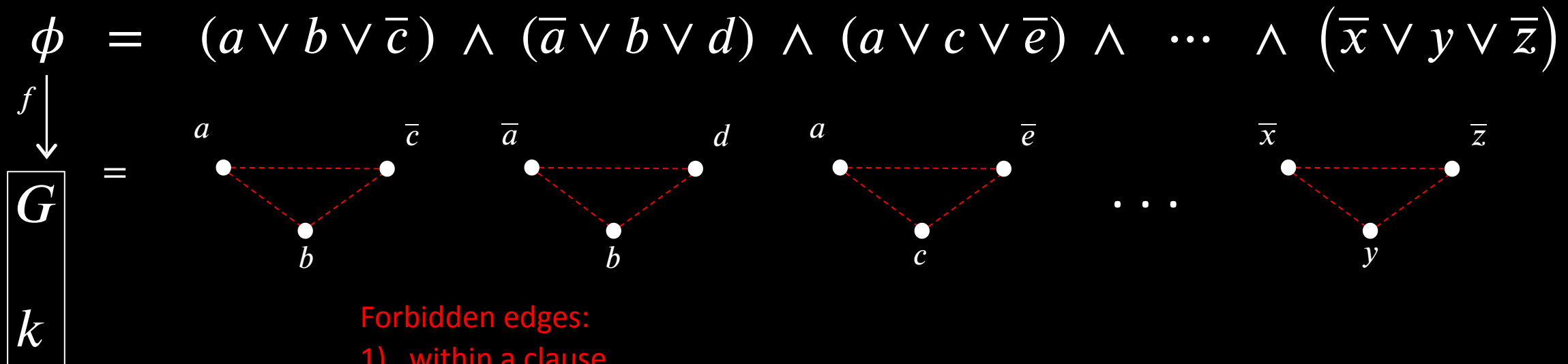
$3SAT \leq_p CLIQUE$

22

Theorem: $3SAT \leq_p CLIQUE$

Proof: Give polynomial-time reduction f that maps ϕ to G, k where ϕ is satisfiable iff G has a k -clique.

A satisfying assignment to a CNF formula has ≥ 1 true literal in each clause.



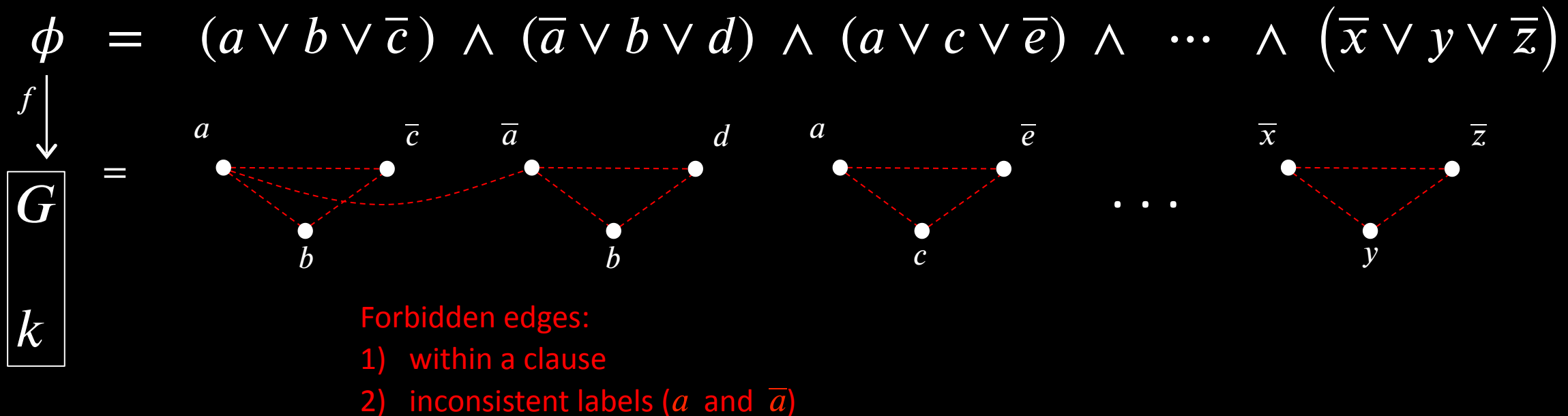
$3SAT \leq_p CLIQUE$

22

Theorem: $3SAT \leq_p CLIQUE$

Proof: Give polynomial-time reduction f that maps ϕ to G, k where ϕ is satisfiable iff G has a k -clique.

A satisfying assignment to a CNF formula has ≥ 1 true literal in each clause.



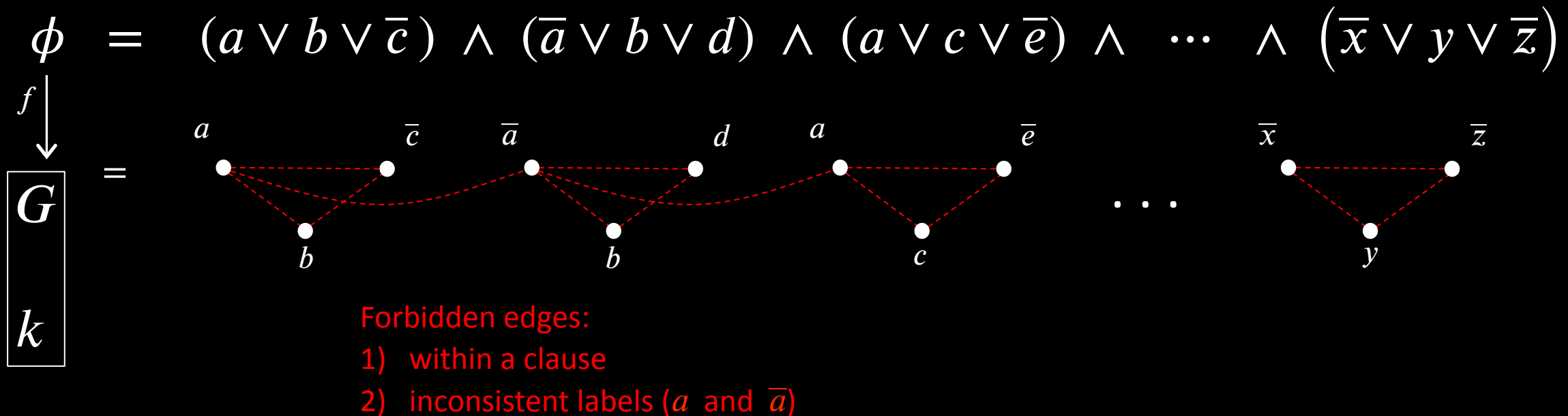
$3SAT \leq_p CLIQUE$

22

Theorem: $3SAT \leq_p CLIQUE$

Proof: Give polynomial-time reduction f that maps ϕ to G, k where ϕ is satisfiable iff G has a k -clique.

A satisfying assignment to a CNF formula has ≥ 1 true literal in each clause.



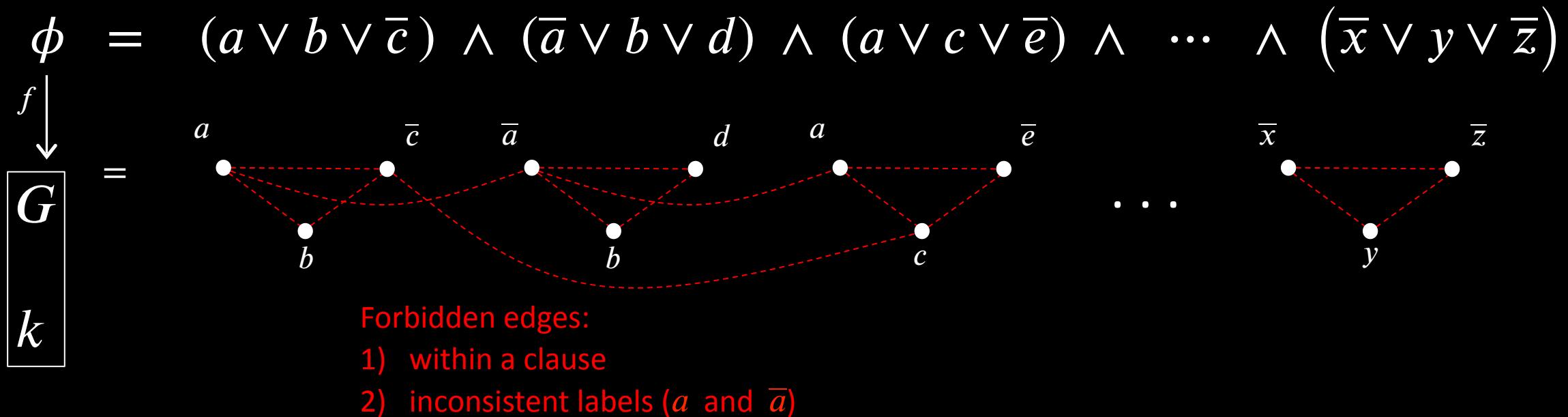
$3SAT \leq_p CLIQUE$

22

Theorem: $3SAT \leq_p CLIQUE$

Proof: Give polynomial-time reduction f that maps ϕ to G, k where ϕ is satisfiable iff G has a k -clique.

A satisfying assignment to a CNF formula has ≥ 1 true literal in each clause.



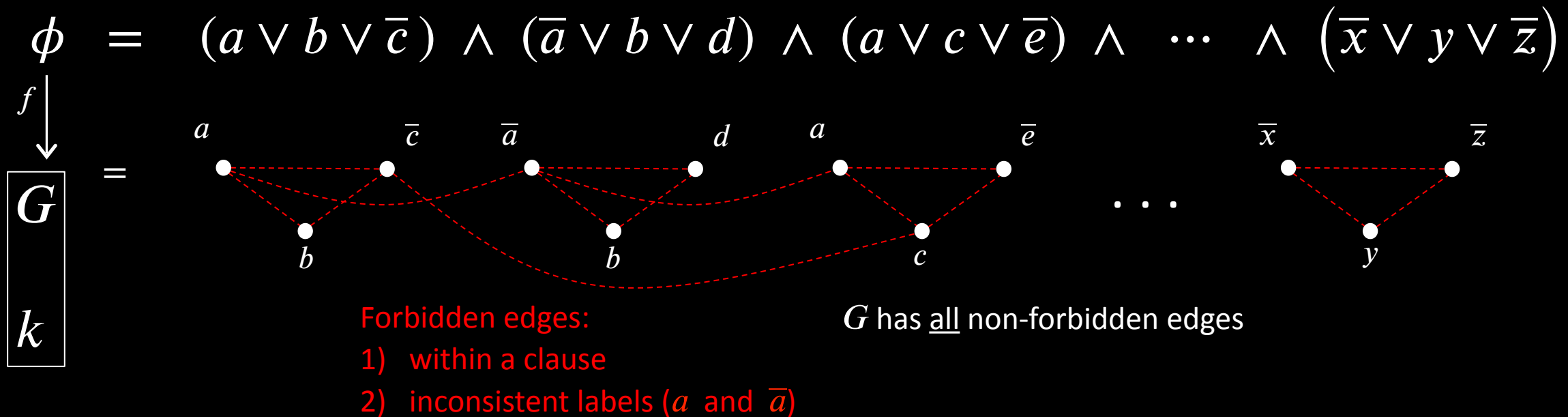
$3SAT \leq_p CLIQUE$

22

Theorem: $3SAT \leq_p CLIQUE$

Proof: Give polynomial-time reduction f that maps ϕ to G, k where ϕ is satisfiable iff G has a k -clique.

A satisfying assignment to a CNF formula has ≥ 1 true literal in each clause.



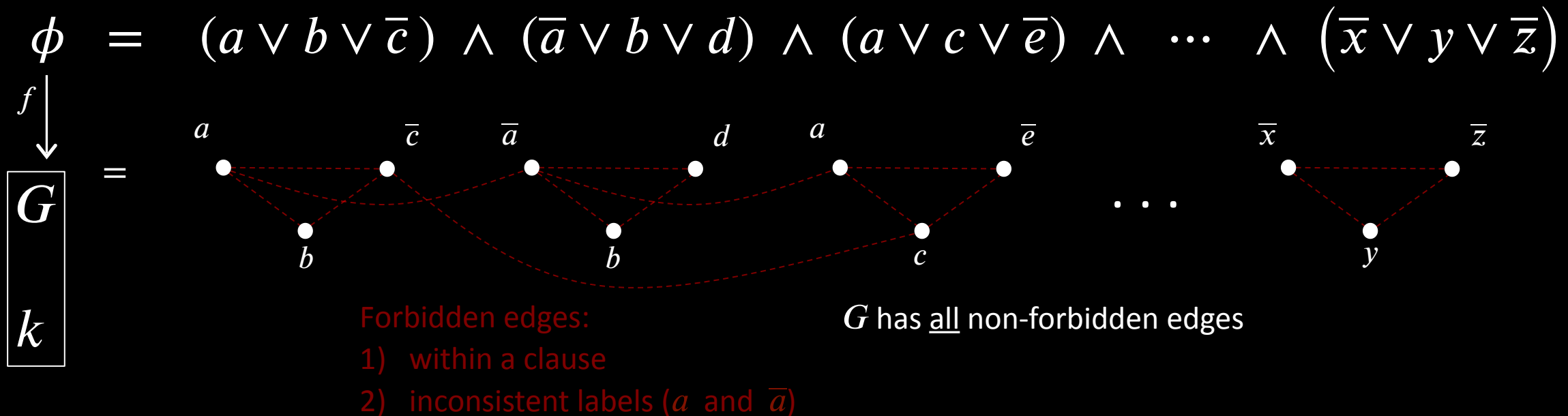
$3SAT \leq_p CLIQUE$

22

Theorem: $3SAT \leq_p CLIQUE$

Proof: Give polynomial-time reduction f that maps ϕ to G, k where ϕ is satisfiable iff G has a k -clique.

A satisfying assignment to a CNF formula has ≥ 1 true literal in each clause.



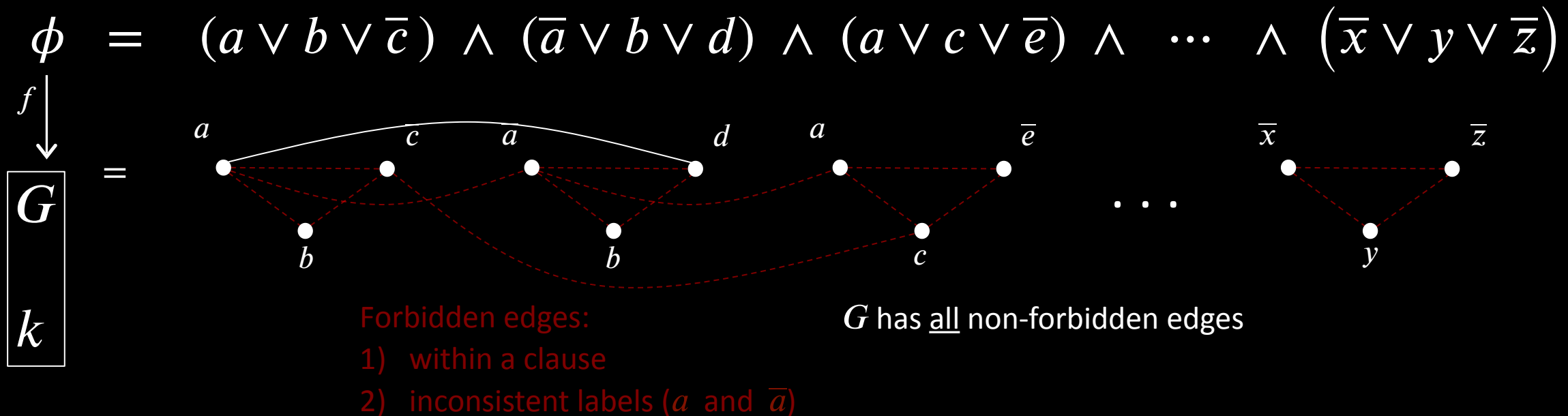
$3SAT \leq_p CLIQUE$

22

Theorem: $3SAT \leq_p CLIQUE$

Proof: Give polynomial-time reduction f that maps ϕ to G, k where ϕ is satisfiable iff G has a k -clique.

A satisfying assignment to a CNF formula has ≥ 1 true literal in each clause.



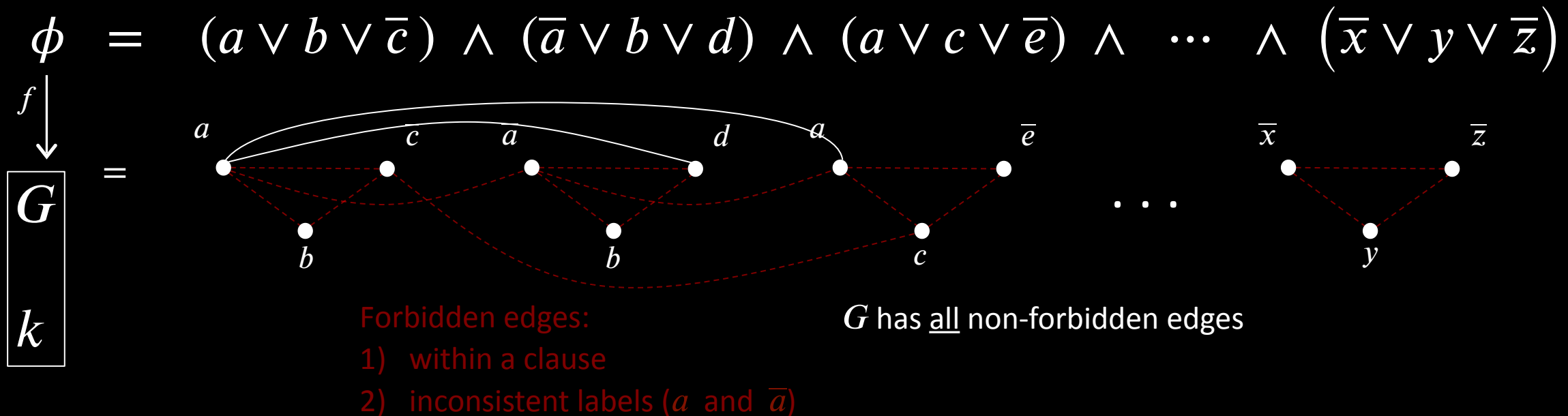
$3SAT \leq_p CLIQUE$

22

Theorem: $3SAT \leq_p CLIQUE$

Proof: Give polynomial-time reduction f that maps ϕ to G, k where ϕ is satisfiable iff G has a k -clique.

A satisfying assignment to a CNF formula has ≥ 1 true literal in each clause.



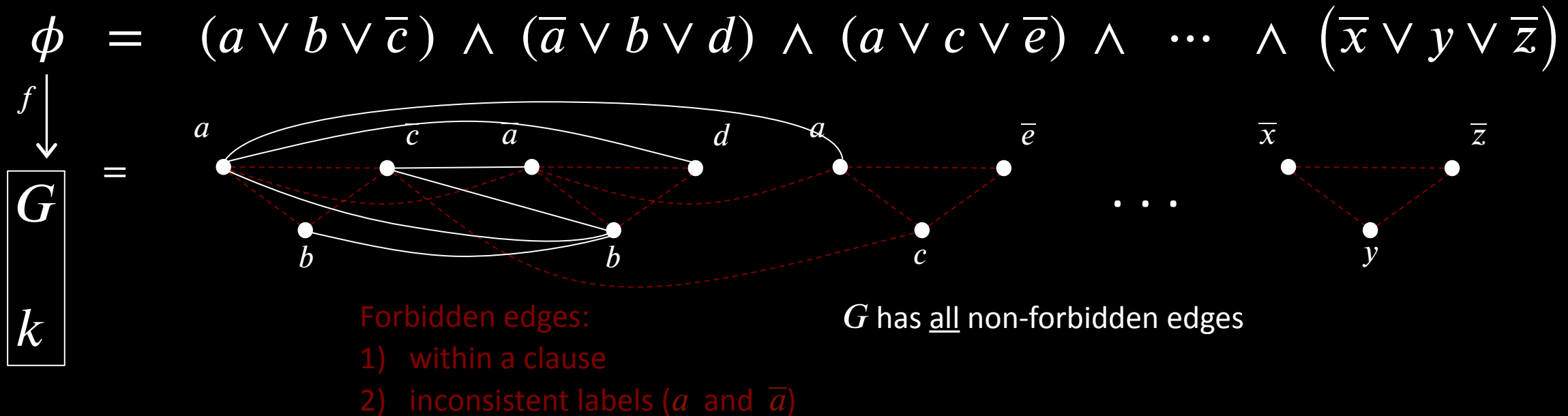
$3SAT \leq_p CLIQUE$

22

Theorem: $3SAT \leq_p CLIQUE$

Proof: Give polynomial-time reduction f that maps ϕ to G, k where ϕ is satisfiable iff G has a k -clique.

A satisfying assignment to a CNF formula has ≥ 1 true literal in each clause.



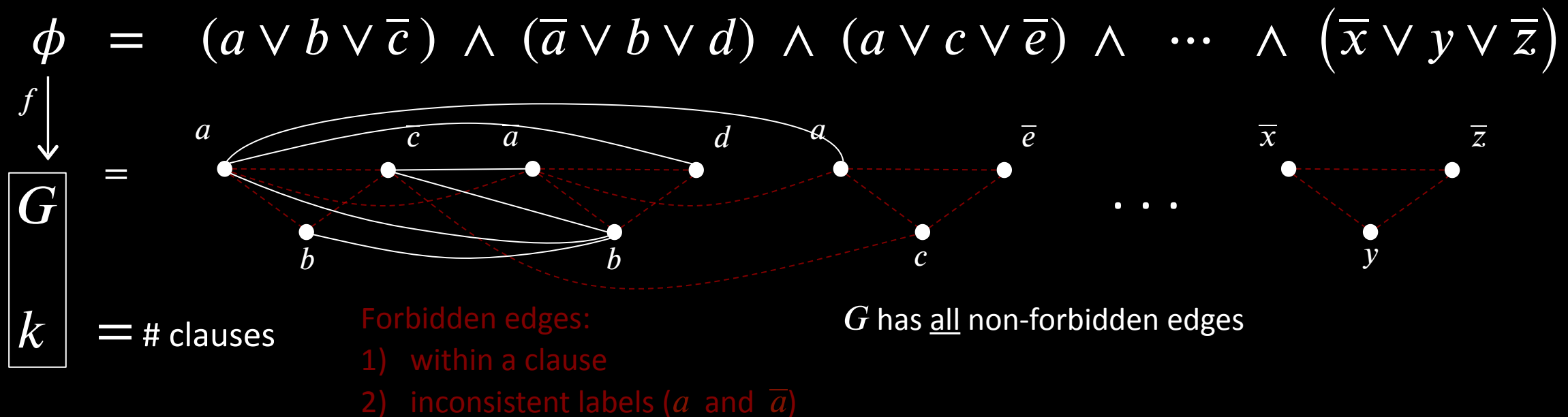
$3SAT \leq_p CLIQUE$

22

Theorem: $3SAT \leq_p CLIQUE$

Proof: Give polynomial-time reduction f that maps ϕ to G, k where ϕ is satisfiable iff G has a k -clique.

A satisfying assignment to a CNF formula has ≥ 1 true literal in each clause.



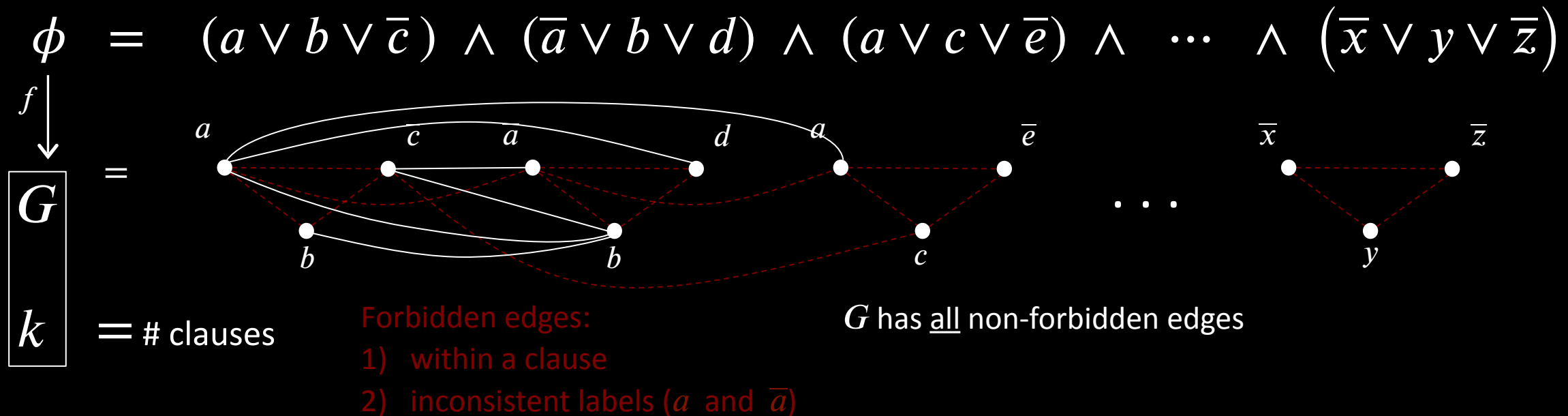
$3SAT \leq_p CLIQUE$

22

Theorem: $3SAT \leq_p CLIQUE$

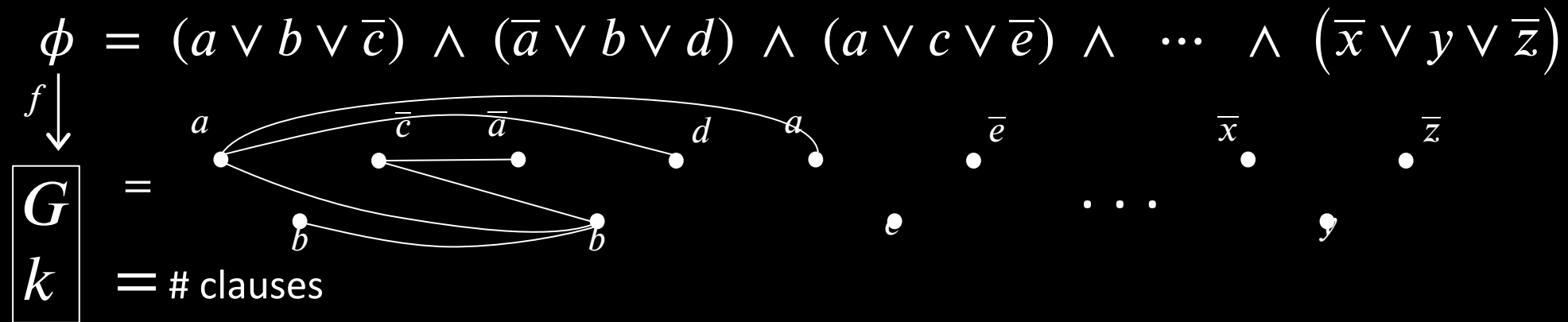
Proof: Give polynomial-time reduction f that maps ϕ to G, k where ϕ is satisfiable iff G has a k -clique.

A satisfying assignment to a CNF formula has ≥ 1 true literal in each clause.



$3SAT \leq_P CLIQUE$ conclusion

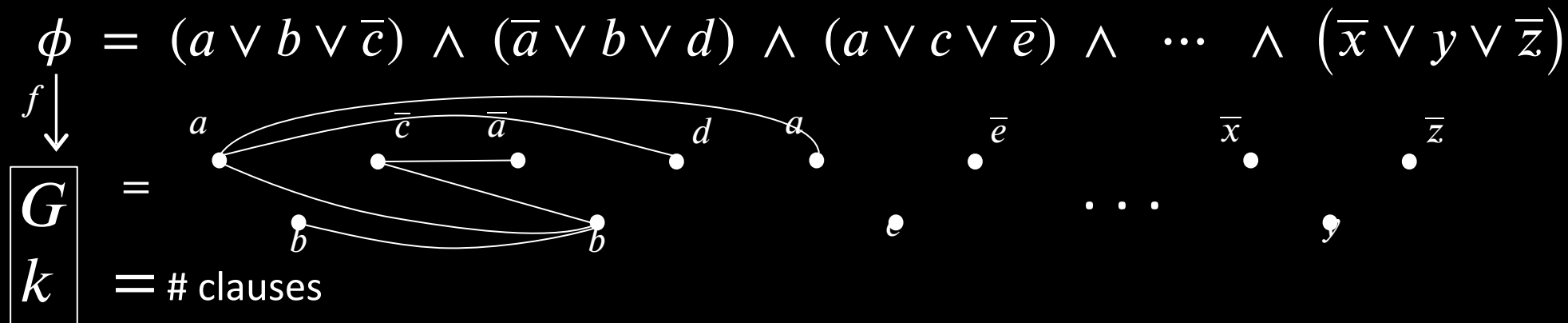
23



Claim: ϕ is satisfiable iff G has a k -clique

$3SAT \leq_P CLIQUE$ conclusion

23

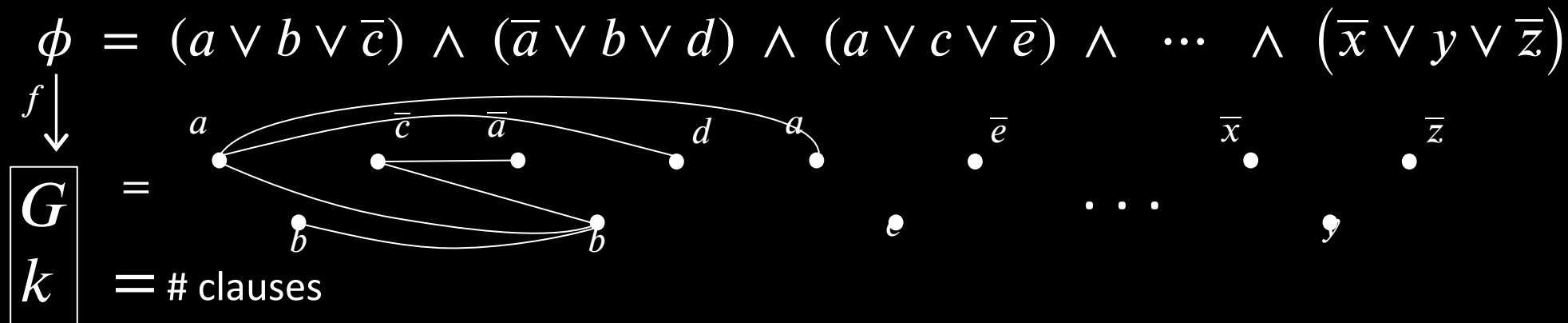


Claim: ϕ is satisfiable iff G has a k -clique

(\rightarrow) Take any satisfying assignment to ϕ . Pick 1 true literal in each clause.

$3SAT \leq_P CLIQUE$ conclusion

23



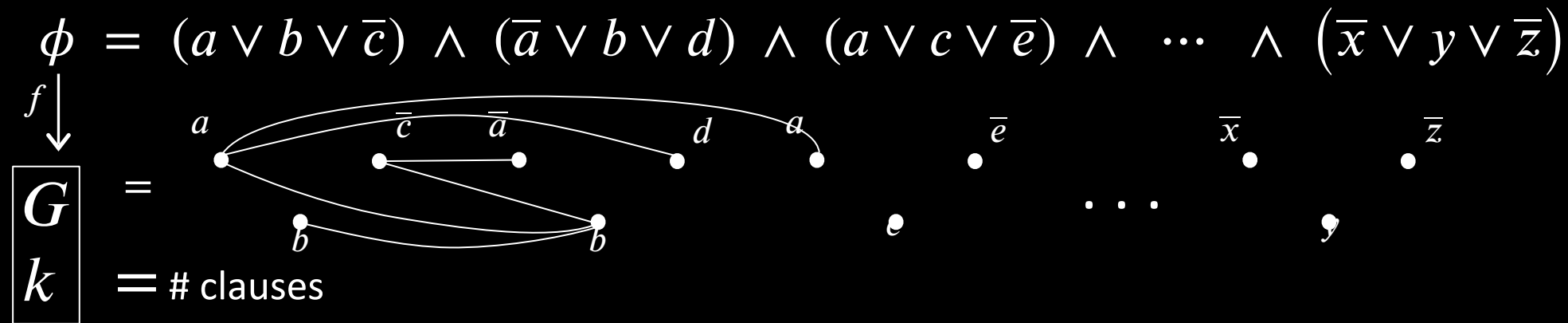
Claim: ϕ is satisfiable iff G has a k -clique

(\rightarrow) Take any satisfying assignment to ϕ . Pick 1 true literal in each clause.

The corresponding nodes in G are a k -clique because they don't have forbidden edges.

$3SAT \leq_P CLIQUE$ conclusion

23



Claim: ϕ is satisfiable iff G has a k -clique

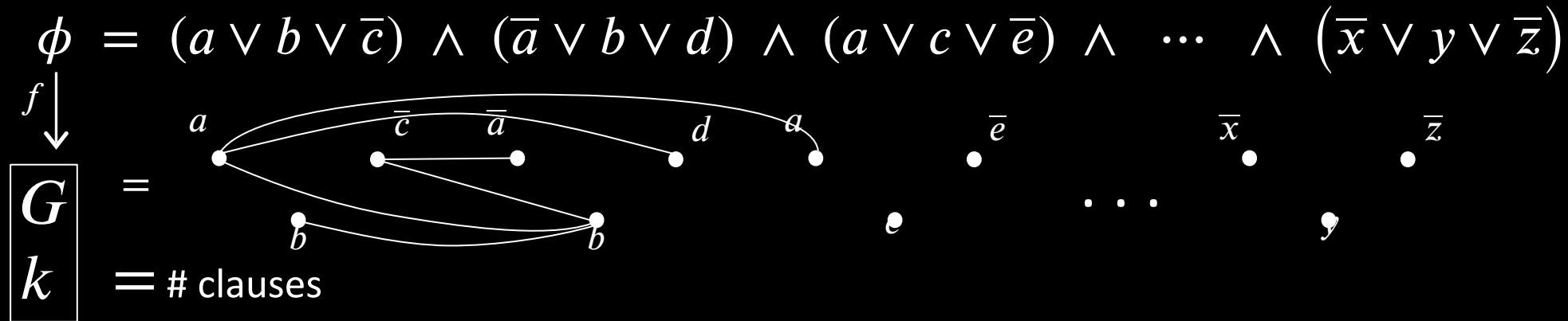
(\rightarrow) Take any satisfying assignment to ϕ . Pick 1 true literal in each clause.

The corresponding nodes in G are a k -clique because they don't have forbidden edges.

(\leftarrow) Take any k -clique in G . It must have 1 node in each clause.

$3SAT \leq_P CLIQUE$ conclusion

23



Claim: ϕ is satisfiable iff G has a k -clique

(\rightarrow) Take any satisfying assignment to ϕ . Pick 1 true literal in each clause.

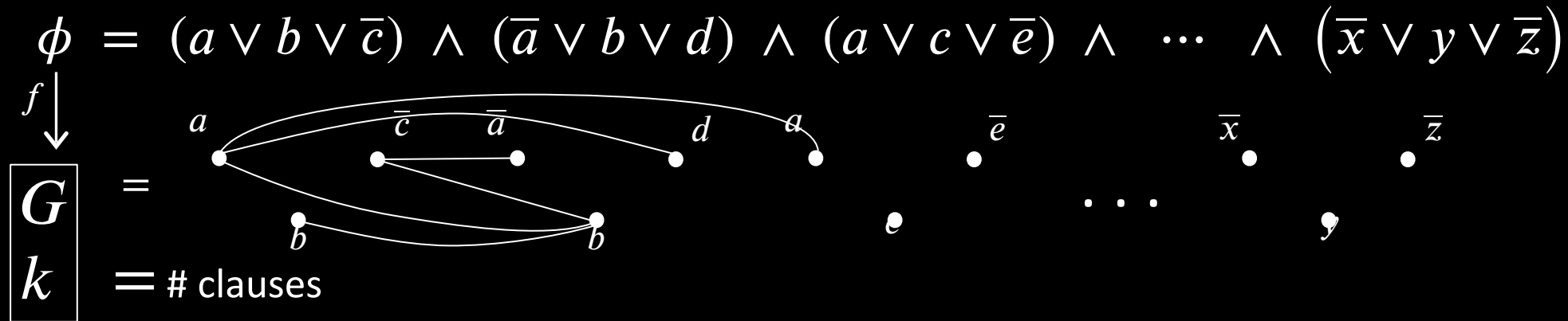
The corresponding nodes in G are a k -clique because they don't have forbidden edges.

(\leftarrow) Take any k -clique in G . It must have 1 node in each clause.

Set each corresponding literal TRUE. That gives a satisfying assignment to ϕ .

$3SAT \leq_P CLIQUE$ conclusion

23



Claim: ϕ is satisfiable iff G has a k -clique

(\rightarrow) Take any satisfying assignment to ϕ . Pick 1 true literal in each clause.

The corresponding nodes in G are a k -clique because they don't have forbidden edges.

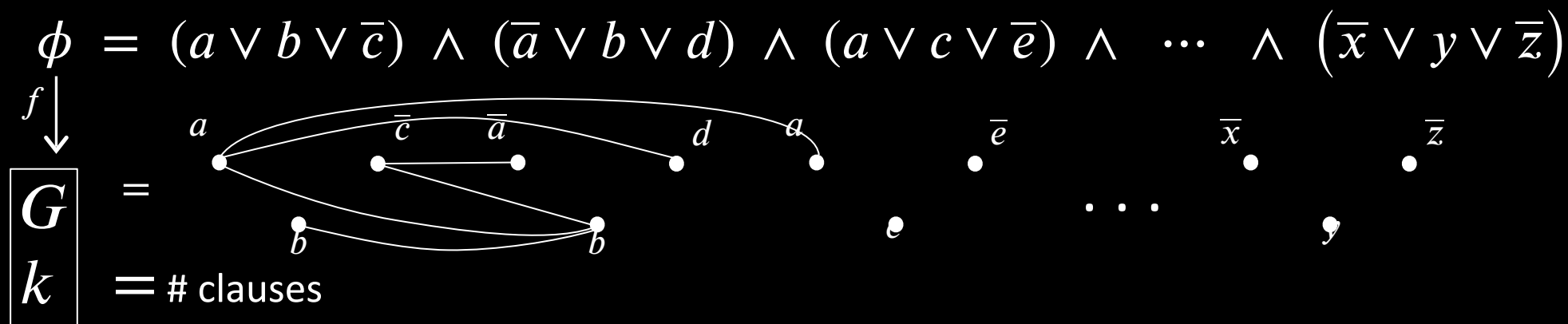
(\leftarrow) Take any k -clique in G . It must have 1 node in each clause.

Set each corresponding literal TRUE. That gives a satisfying assignment to ϕ .

The reduction f is computable in polynomial time.

$3SAT \leq_P CLIQUE$ conclusion

23



Claim: ϕ is satisfiable iff G has a k -clique

(\rightarrow) Take any satisfying assignment to ϕ . Pick 1 true literal in each clause.

The corresponding nodes in G are a k -clique because they don't have forbidden edges.

(\leftarrow) Take any k -clique in G . It must have 1 node in each clause.

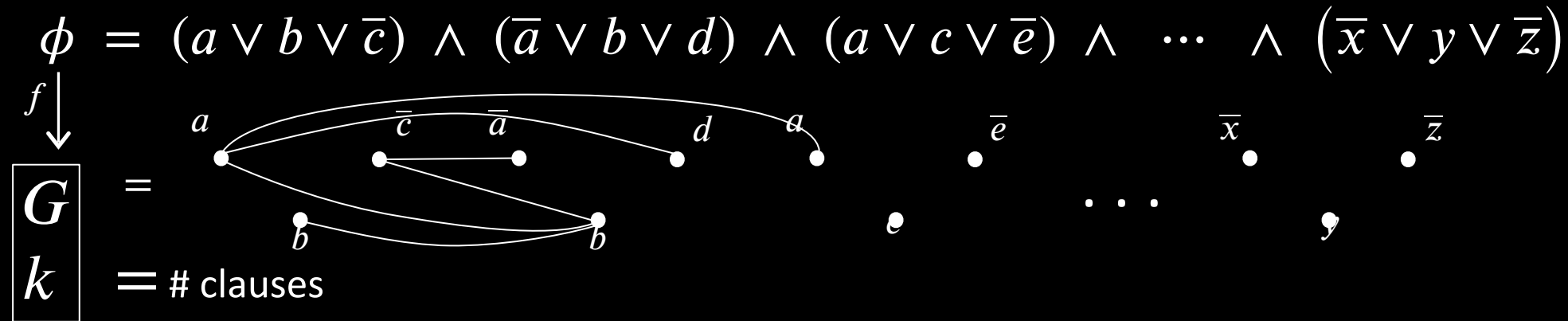
Set each corresponding literal TRUE. That gives a satisfying assignment to ϕ .

The reduction f is computable in polynomial time.

Corollary: $CLIQUE \in P \rightarrow 3SAT \in P$

$3SAT \leq_P CLIQUE$ conclusion

23



Claim: ϕ is satisfiable iff G has a k -clique

(\rightarrow) Take any satisfying assignment to ϕ . Pick 1 true literal in each clause.

The corresponding nodes in G are a k -clique because they don't have forbidden edges.

(\leftarrow) Take any k -clique in G . It must have 1 node in each clause.

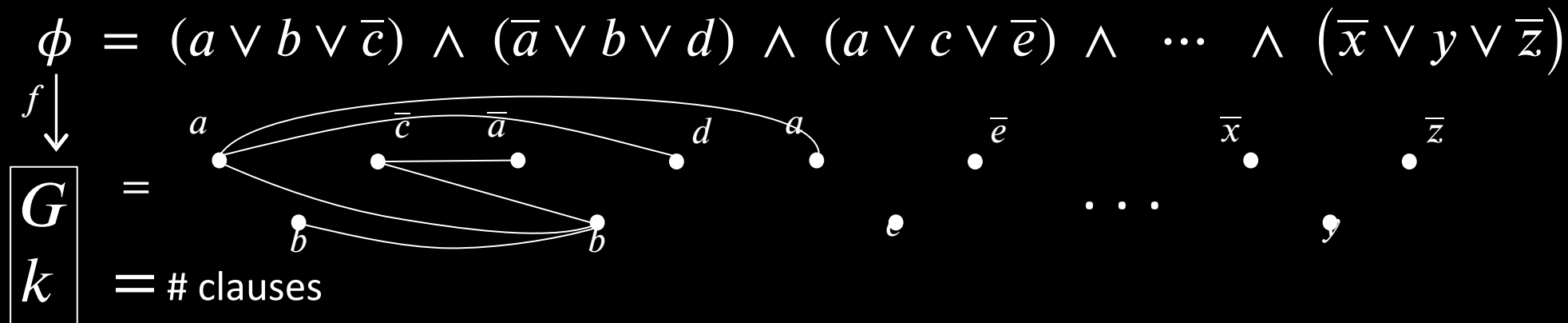
Set each corresponding literal TRUE. That gives a satisfying assignment to ϕ .

The reduction f is computable in polynomial time.

Corollary: $CLIQUE \in P \rightarrow 3SAT \in P$

$3SAT \leq_P CLIQUE$ conclusion

23



Claim: ϕ is satisfiable iff G has a k -clique

(\rightarrow) Take any satisfying assignment to ϕ . Pick 1 true literal in each clause.

The corresponding nodes in G are a k -clique because they don't have forbidden edges.

(\leftarrow) Take any k -clique in G . It must have 1 node in each clause.

Set each corresponding literal TRUE. That gives a satisfying assignment to ϕ .

The reduction f is computable in polynomial time.

Corollary: $CLIQUE \in P \rightarrow 3SAT \in P$

Check-in 15.1

Does this proof require 3 literals per clause?

- (a) Yes, to prove the claim.
- (b) Yes, to show it is in poly time.
- (c) No, it works for any size clauses.

Check-in 15.1

NP-completeness

NP-completeness

24

Defn: B is NP-complete if

- 1) $B \in \text{NP}$
- 2) For all $A \in \text{NP}$, $A \leq_p B$

NP-completeness

24

Defn: B is NP-complete if

- 1) $B \in \text{NP}$
- 2) For all $A \in \text{NP}$, $A \leq_p B$

If B is NP-complete and $B \in \text{P}$ then $\text{P} = \text{NP}$.

NP-completeness

24

Defn: B is NP-complete if

- 1) $B \in \text{NP}$
- 2) For all $A \in \text{NP}$, $A \leq_p B$

If B is NP-complete and $B \in \text{P}$ then $\text{P} = \text{NP}$.

Cook-Levin Theorem: SAT is NP-complete

Proof: Next lecture; assume true

NP-completeness

24

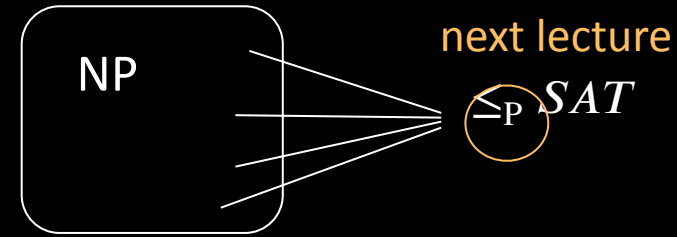
Defn: B is NP-complete if

- 1) $B \in \text{NP}$
- 2) For all $A \in \text{NP}$, $A \leq_P B$

If B is NP-complete and $B \in \text{P}$ then $\text{P} = \text{NP}$.

Cook-Levin Theorem: SAT is NP-complete

Proof: Next lecture; assume true



NP-completeness

24

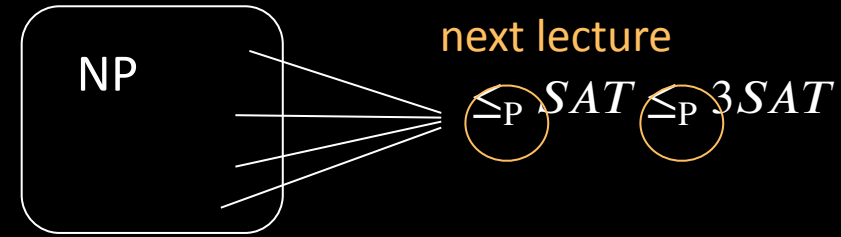
Defn: B is NP-complete if

- 1) $B \in \text{NP}$
- 2) For all $A \in \text{NP}$, $A \leq_P B$

If B is NP-complete and $B \in \text{P}$ then $\text{P} = \text{NP}$.

Cook-Levin Theorem: SAT is NP-complete

Proof: Next lecture; assume true



NP-completeness

24

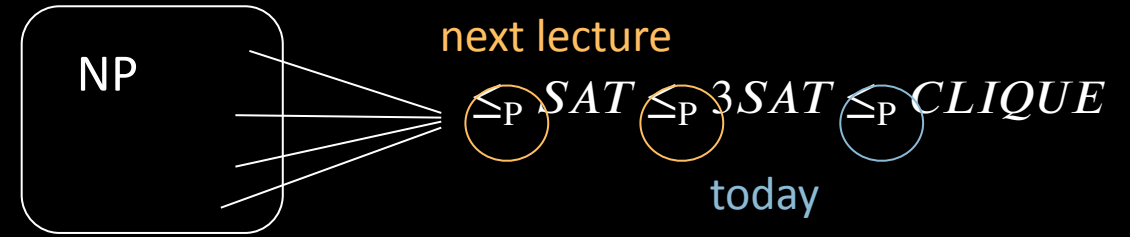
Defn: B is NP-complete if

- 1) $B \in \text{NP}$
- 2) For all $A \in \text{NP}$, $A \leq_P B$

If B is NP-complete and $B \in \text{P}$ then $\text{P} = \text{NP}$.

Cook-Levin Theorem: SAT is NP-complete

Proof: Next lecture; assume true



NP-completeness

24

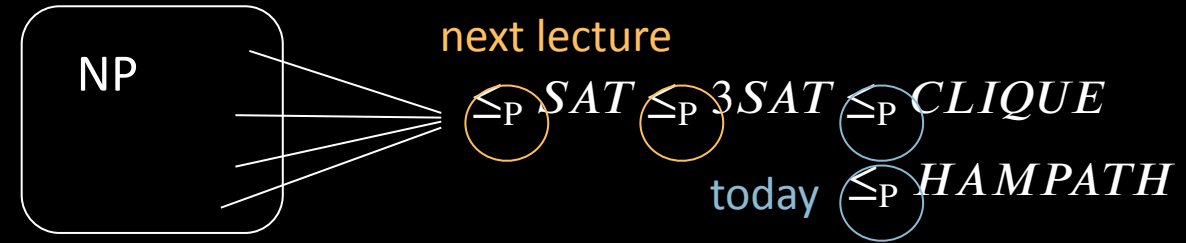
Defn: B is NP-complete if

- 1) $B \in \text{NP}$
- 2) For all $A \in \text{NP}$, $A \leq_P B$

If B is NP-complete and $B \in \text{P}$ then $\text{P} = \text{NP}$.

Cook-Levin Theorem: SAT is NP-complete

Proof: Next lecture; assume true



NP-completeness

24

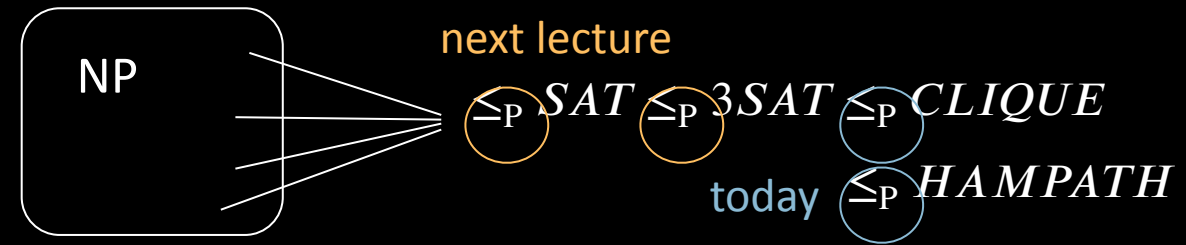
Defn: B is NP-complete if

- 1) $B \in \text{NP}$
- 2) For all $A \in \text{NP}$, $A \leq_P B$

If B is NP-complete and $B \in \text{P}$ then $\text{P} = \text{NP}$.

Cook-Levin Theorem: SAT is NP-complete

Proof: Next lecture; assume true



To show some language C is NP-complete,
show $3\text{SAT} \leq_P C$.

NP-completeness

24

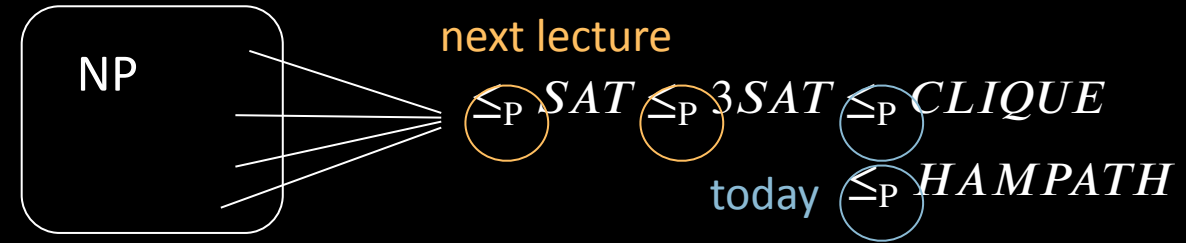
Defn: B is NP-complete if

- 1) $B \in \text{NP}$
- 2) For all $A \in \text{NP}$, $A \leq_P B$

If B is NP-complete and $B \in \text{P}$ then $\text{P} = \text{NP}$.

Cook-Levin Theorem: SAT is NP-complete

Proof: Next lecture; assume true



To show some language C is NP-complete,
show $3\text{SAT} \leq_P C$.

or some other previously shown
NP-complete language

NP-completeness

24

Defn: B is NP-complete if

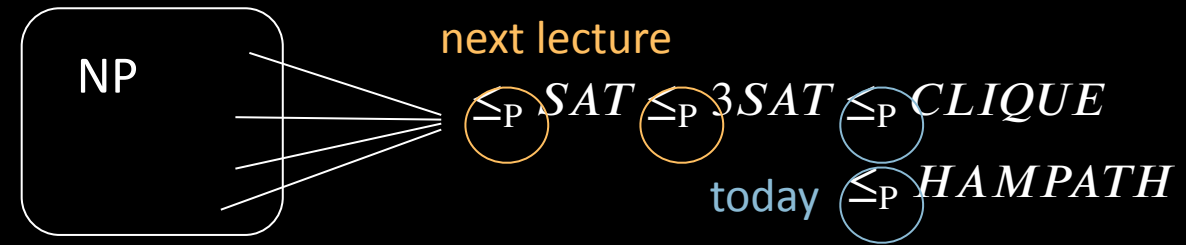
- 1) $B \in \text{NP}$
- 2) For all $A \in \text{NP}$, $A \leq_P B$

If B is NP-complete and $B \in \text{P}$ then $\text{P} = \text{NP}$.

Cook-Levin Theorem: SAT is NP-complete

Proof: Next lecture; assume true

Importance of NP-completeness



To show some language C is NP-complete,
show $3\text{SAT} \leq_P C$.

or some other previously shown
NP-complete language

NP-completeness

24

Defn: B is NP-complete if

- 1) $B \in \text{NP}$
- 2) For all $A \in \text{NP}$, $A \leq_P B$

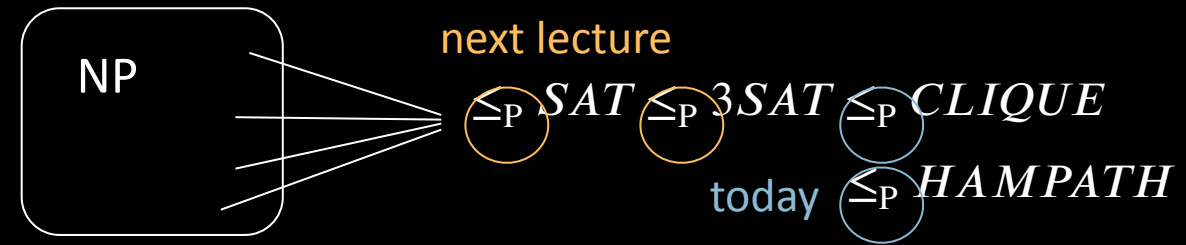
If B is NP-complete and $B \in \text{P}$ then $\text{P} = \text{NP}$.

Cook-Levin Theorem: SAT is NP-complete

Proof: Next lecture; assume true

Importance of NP-completeness

- 1) Showing B is NP-complete is evidence of computational intractability.



To show some language C is NP-complete,
show $3\text{SAT} \leq_P C$.

or some other previously shown
NP-complete language

NP-completeness

24

Defn: B is NP-complete if

- 1) $B \in \text{NP}$
- 2) For all $A \in \text{NP}$, $A \leq_P B$

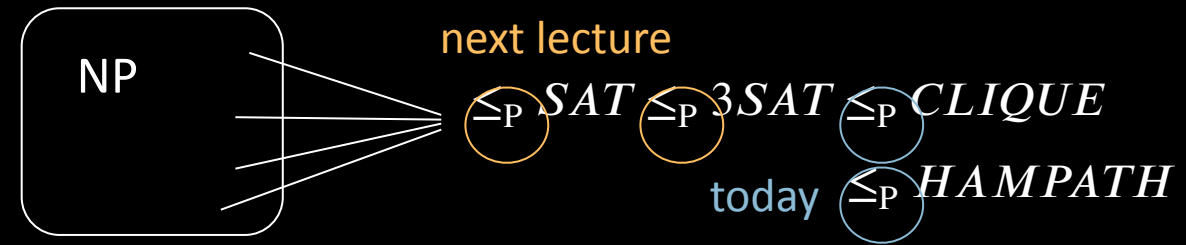
If B is NP-complete and $B \in \text{P}$ then $\text{P} = \text{NP}$.

Cook-Levin Theorem: SAT is NP-complete

Proof: Next lecture; assume true

Importance of NP-completeness

- 1) Showing B is NP-complete is evidence of computational intractability.
- 2) Gives a good candidate for proving $\text{P} \neq \text{NP}$.



To show some language C is NP-complete,
show $3\text{SAT} \leq_P C$.

or some other previously shown
NP-complete language

NP-completeness

24

Defn: B is NP-complete if

- 1) $B \in \text{NP}$
- 2) For all $A \in \text{NP}$, $A \leq_P B$

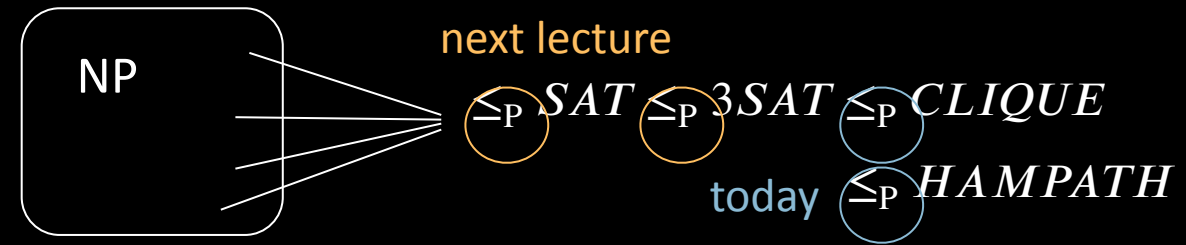
If B is NP-complete and $B \in \text{P}$ then $\text{P} = \text{NP}$.

Cook-Levin Theorem: SAT is NP-complete

Proof: Next lecture; assume true

Importance of NP-completeness

- 1) Showing B is NP-complete is evidence of computational intractability.
- 2) Gives a good candidate for proving $\text{P} \neq \text{NP}$.



To show some language C is NP-complete,
show $3\text{SAT} \leq_P C$.

or some other previously shown
NP-complete language

Check-in 15.2

NP-completeness

24

Defn: B is NP-complete if

- 1) $B \in \text{NP}$
- 2) For all $A \in \text{NP}$, $A \leq_P B$

If B is NP-complete and $B \in \text{P}$ then $\text{P} = \text{NP}$.

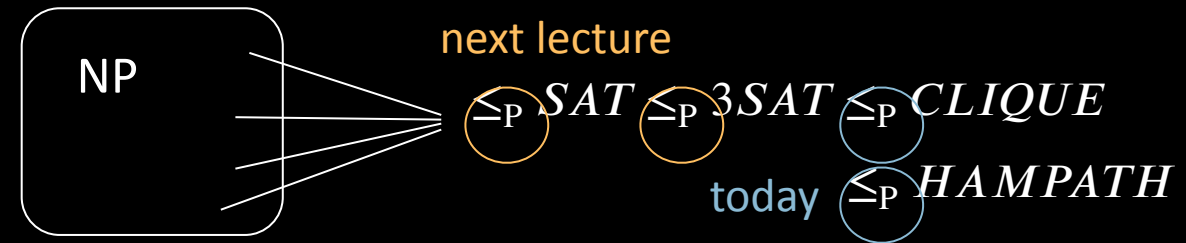
Cook-Levin Theorem: SAT is NP-complete

Proof: Next lecture; assume true

Check-in 15.2

What language that we've previously seen is most analogous to SAT ?

- (a) A_{TM}
- (b) E_{TM}
- (c) $\{0^k 1^k \mid k \geq 0\}$



To show some language C is NP-complete, show $3\text{SAT} \leq_P C$.

or some other previously shown NP-complete language

Check-in 15.2

Quick Review

25

Defn: B is NP-complete if

- 1) $B \in \text{NP}$
- 2) For all $A \in \text{NP}$, $A \leq_p B$

If B is NP-complete and $B \in \text{P}$ then $\text{P} = \text{NP}$.

Importance of NP-completeness

- 1) Evidence of computational intractability.
- 2) Gives a good candidate for proving $\text{P} \neq \text{NP}$.

Quick Review

25

Defn: B is NP-complete if

- 1) $B \in \text{NP}$
- 2) For all $A \in \text{NP}$, $A \leq_p B$

If B is NP-complete and $B \in \text{P}$ then $\text{P} = \text{NP}$.

Importance of NP-completeness

- 1) Evidence of computational intractability.
- 2) Gives a good candidate for proving $\text{P} \neq \text{NP}$.

To show some language C is NP-complete,
show $3\text{SAT} \leq_p C$.

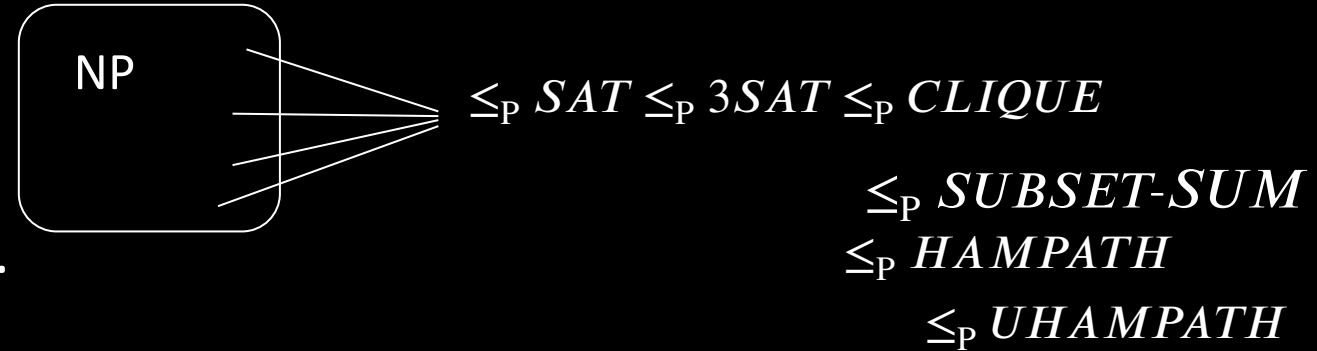
— or some other previously shown
NP-complete language

Quick Review

25

Defn: B is NP-complete if

- 1) $B \in \text{NP}$
- 2) For all $A \in \text{NP}$, $A \leq_P B$



If B is NP-complete and $B \in \text{P}$ then $\text{P} = \text{NP}$.

Importance of NP-completeness

- 1) Evidence of computational intractability.
- 2) Gives a good candidate for proving $\text{P} \neq \text{NP}$.

To show some language C is NP-complete,
show $3\text{SAT} \leq_P C$.

or some other previously shown
NP-complete language

Quick Review

25

Defn: B is NP-complete if

- 1) $B \in \text{NP}$
- 2) For all $A \in \text{NP}$, $A \leq_P B$

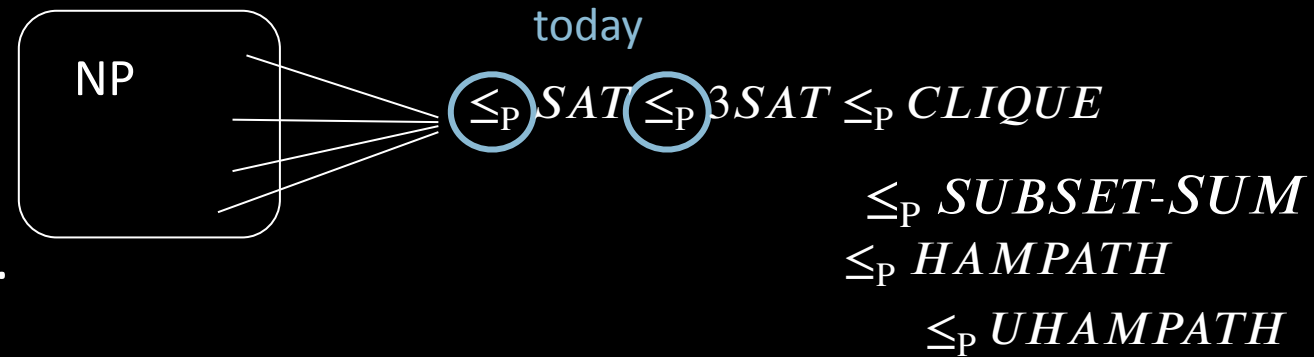
If B is NP-complete and $B \in \text{P}$ then $\text{P} = \text{NP}$.

Importance of NP-completeness

- 1) Evidence of computational intractability.
- 2) Gives a good candidate for proving $\text{P} \neq \text{NP}$.

To show some language C is NP-complete,
show $3\text{SAT} \leq_P C$.

or some other previously shown
NP-complete language



Quick Review

25

Defn: B is NP-complete if

- 1) $B \in \text{NP}$
- 2) For all $A \in \text{NP}$, $A \leq_P B$

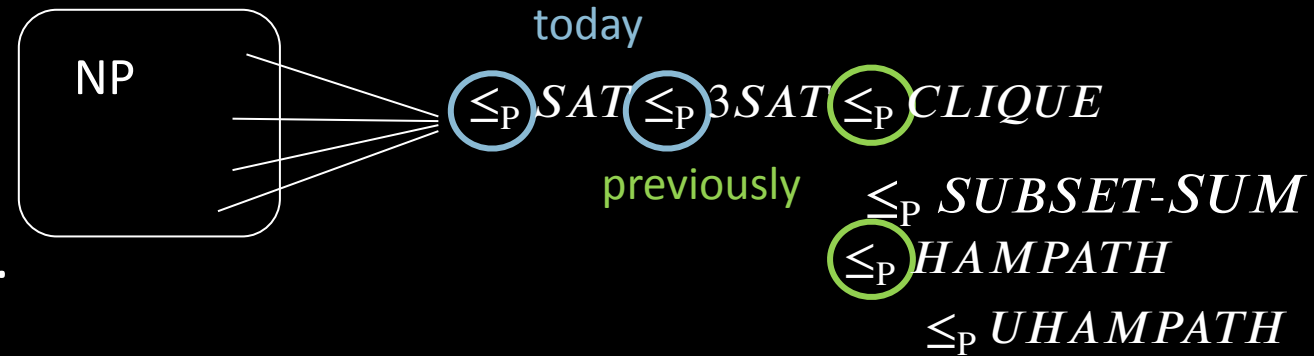
If B is NP-complete and $B \in \text{P}$ then $\text{P} = \text{NP}$.

Importance of NP-completeness

- 1) Evidence of computational intractability.
- 2) Gives a good candidate for proving $\text{P} \neq \text{NP}$.

To show some language C is NP-complete,
show $3\text{SAT} \leq_P C$.

or some other previously shown
NP-complete language



Quick Review

25

Defn: B is NP-complete if

- 1) $B \in \text{NP}$
- 2) For all $A \in \text{NP}$, $A \leq_P B$

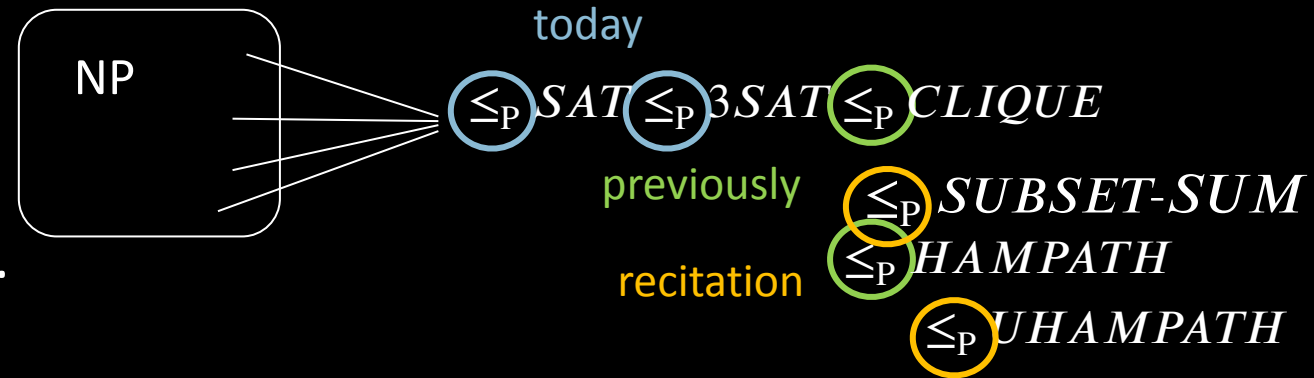
If B is NP-complete and $B \in \text{P}$ then $\text{P} = \text{NP}$.

Importance of NP-completeness

- 1) Evidence of computational intractability.
- 2) Gives a good candidate for proving $\text{P} \neq \text{NP}$.

To show some language C is NP-complete,
show $3\text{SAT} \leq_P C$.

— or some other previously shown
NP-complete language



Quick Review

25

Defn: B is NP-complete if

- 1) $B \in \text{NP}$
- 2) For all $A \in \text{NP}$, $A \leq_P B$

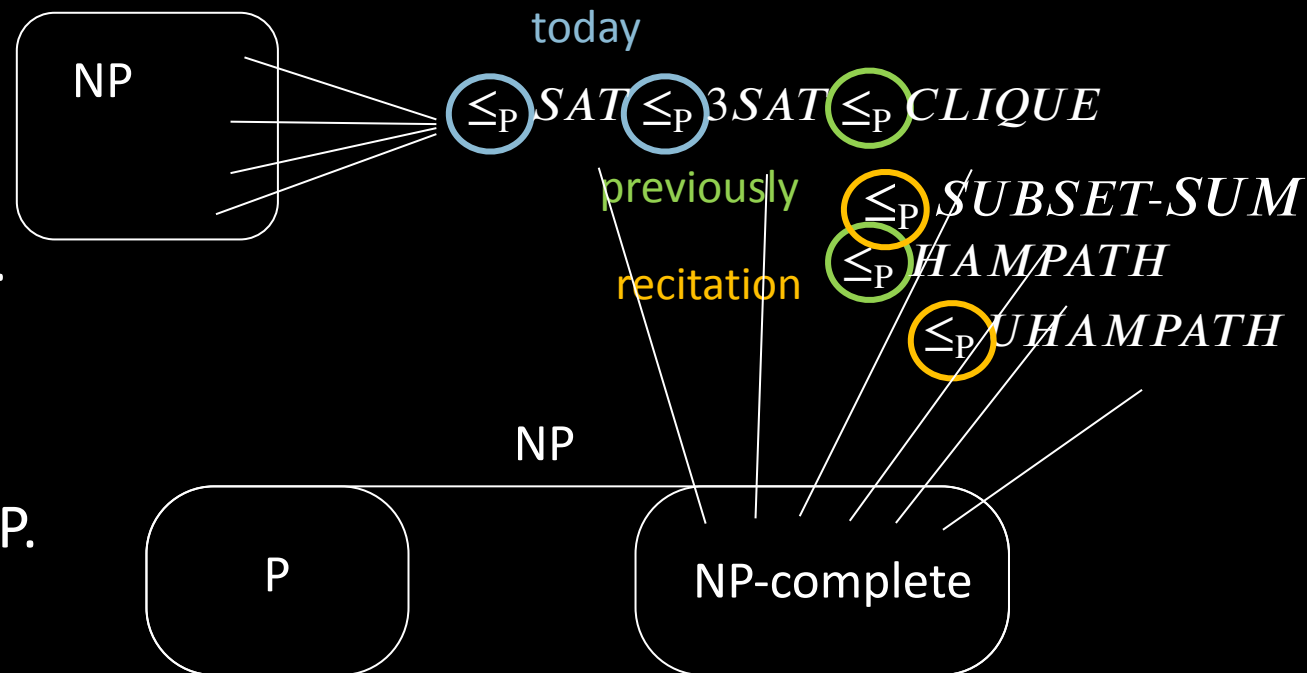
If B is NP-complete and $B \in \text{P}$ then $\text{P} = \text{NP}$.

Importance of NP-completeness

- 1) Evidence of computational intractability.
- 2) Gives a good candidate for proving $\text{P} \neq \text{NP}$.

To show some language C is NP-complete, show $3\text{SAT} \leq_P C$.

or some other previously shown NP-complete language



Quick Review

25

Defn: B is NP-complete if

- 1) $B \in \text{NP}$
- 2) For all $A \in \text{NP}$, $A \leq_P B$

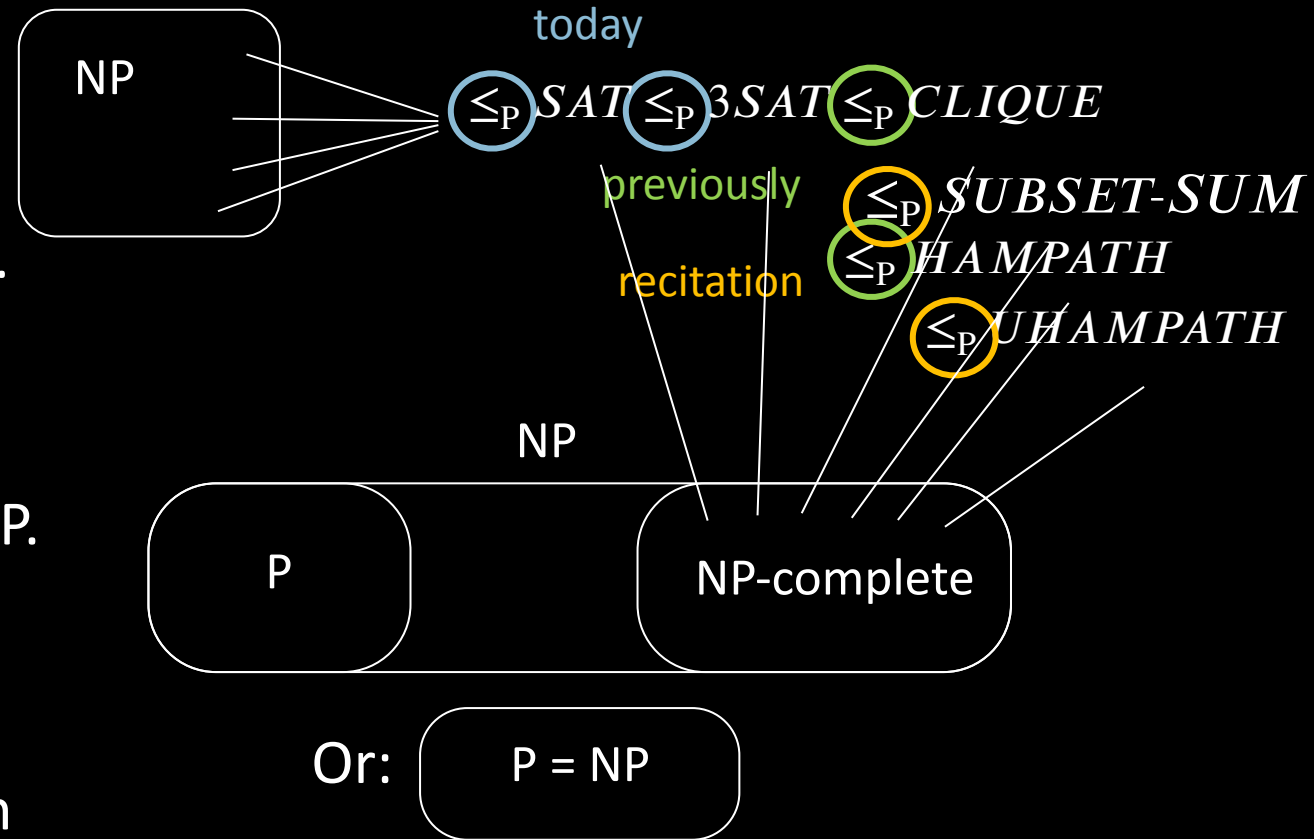
If B is NP-complete and $B \in \text{P}$ then $\text{P} = \text{NP}$.

Importance of NP-completeness

- 1) Evidence of computational intractability.
- 2) Gives a good candidate for proving $\text{P} \neq \text{NP}$.

To show some language C is NP-complete, show $3\text{SAT} \leq_P C$.

or some other previously shown NP-complete language



Cook-Levin Theorem (idea)

26

Theorem: *SAT* is NP-complete

Cook-Levin Theorem (idea)

26

Theorem: SAT is NP-complete

Proof: 1) $SAT \in NP$ (done)

2) Show that for each $A \in NP$ we have $A \leq_P SAT$:

Cook-Levin Theorem (idea)

26

Theorem: SAT is NP-complete

Proof: 1) $SAT \in NP$ (done)

2) Show that for each $A \in NP$ we have $A \leq_P SAT$:

Let $A \in NP$ be decided by NTM M in time n^k .

Cook-Levin Theorem (idea)

26

Theorem: SAT is NP-complete

Proof: 1) $SAT \in NP$ (done)

2) Show that for each $A \in NP$ we have $A \leq_P SAT$:

Let $A \in NP$ be decided by NTM M in time n^k .

Give a polynomial-time reduction f mapping A to SAT .

Cook-Levin Theorem (idea)

26

Theorem: SAT is NP-complete

Proof: 1) $SAT \in NP$ (done)

2) Show that for each $A \in NP$ we have $A \leq_P SAT$:

Let $A \in NP$ be decided by NTM M in time n^k .

Give a polynomial-time reduction f mapping A to SAT .

$f: \Sigma^* \rightarrow$ formulas

Cook-Levin Theorem (idea)

26

Theorem: SAT is NP-complete

Proof: 1) $SAT \in NP$ (done)

2) Show that for each $A \in NP$ we have $A \leq_P SAT$:

Let $A \in NP$ be decided by NTM M in time n^k .

Give a polynomial-time reduction f mapping A to SAT .

$f: \Sigma^* \rightarrow$ formulas

$f(w) = \langle \phi_{M,w} \rangle$

Cook-Levin Theorem (idea)

26

Theorem: SAT is NP-complete

Proof: 1) $SAT \in NP$ (done)

2) Show that for each $A \in NP$ we have $A \leq_P SAT$:

Let $A \in NP$ be decided by NTM M in time n^k .

Give a polynomial-time reduction f mapping A to SAT .

$f: \Sigma^* \rightarrow$ formulas

$f(w) = \langle \phi_{M,w} \rangle$

$w \in A$ iff $\phi_{M,w}$ is satisfiable

Cook-Levin Theorem (idea)

26

Theorem: SAT is NP-complete

Proof: 1) $SAT \in NP$ (done)

2) Show that for each $A \in NP$ we have $A \leq_P SAT$:

Let $A \in NP$ be decided by NTM M in time n^k .

Give a polynomial-time reduction f mapping A to SAT .

$f: \Sigma^* \rightarrow$ formulas

$f(w) = \langle \phi_{M,w} \rangle$

$w \in A$ iff $\phi_{M,w}$ is satisfiable

Idea: $\phi_{M,w}$ simulates M on w . Design $\phi_{M,w}$ to “say” M accepts w .

Cook-Levin Theorem (idea)

26

Theorem: SAT is NP-complete

Proof: 1) $SAT \in NP$ (done)

2) Show that for each $A \in NP$ we have $A \leq_P SAT$:

Let $A \in NP$ be decided by NTM M in time n^k .

Give a polynomial-time reduction f mapping A to SAT .

$f: \Sigma^* \rightarrow$ formulas

$f(w) = \langle \phi_{M,w} \rangle$

$w \in A$ iff $\phi_{M,w}$ is satisfiable

Idea: $\phi_{M,w}$ simulates M on w . Design $\phi_{M,w}$ to “say” M accepts w .

Satisfying assignment to $\phi_{M,w}$ is a computation history for M on w .

Cook-Levin Theorem (idea)

26

Theorem: SAT is NP-complete

Proof: 1) $SAT \in NP$ (done)

2) Show that for each $A \in NP$ we have $A \leq_P SAT$:

Let $A \in NP$ be decided by NTM M in time n^k .

Give a polynomial-time reduction f mapping A to SAT .

$f: \Sigma^* \rightarrow$ formulas

$f(w) = \langle \phi_{M,w} \rangle$

$w \in A$ iff $\phi_{M,w}$ is satisfiable

Idea: $\phi_{M,w}$ simulates M on w . Design $\phi_{M,w}$ to “say” M accepts w .

Satisfying assignment to $\phi_{M,w}$ is a computation history for M on w .

Tableau for M on w

27

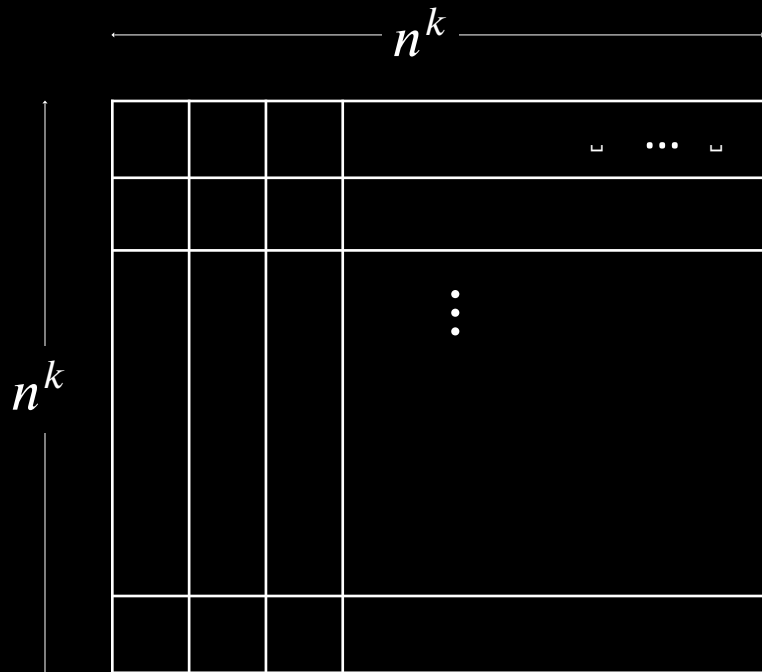
Defn: An (accepting) tableau for NTM M on w is an $n^k \times n^k$ table representing an computation history for M on w on an accepting branch of the nondeterministic computation.

			□ ... □
			⋮

Tableau for M on w

27

Defn: An (accepting) tableau for NTM M on w is an $n^k \times n^k$ table representing an computation history for M on w on an accepting branch of the nondeterministic computation.



27

Defn: An (accepting) tableau for NTM M on w is an $n^k \times n^k$ table representing an computation history for M on w on an accepting branch of the nondeterministic computation.

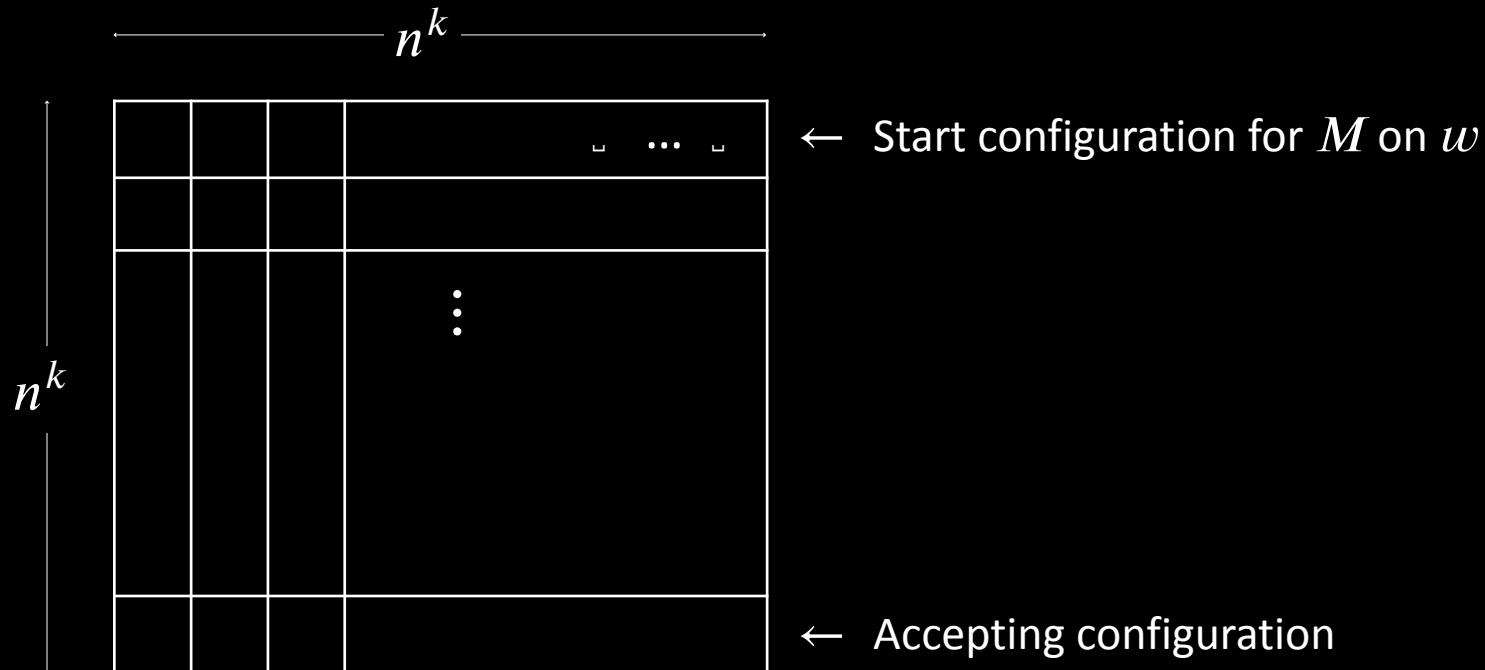


Tableau for M on w

27

Defn: An (accepting) tableau for NTM M on w is an $n^k \times n^k$ table representing an computation history for M on w on an accepting branch of the nondeterministic computation.

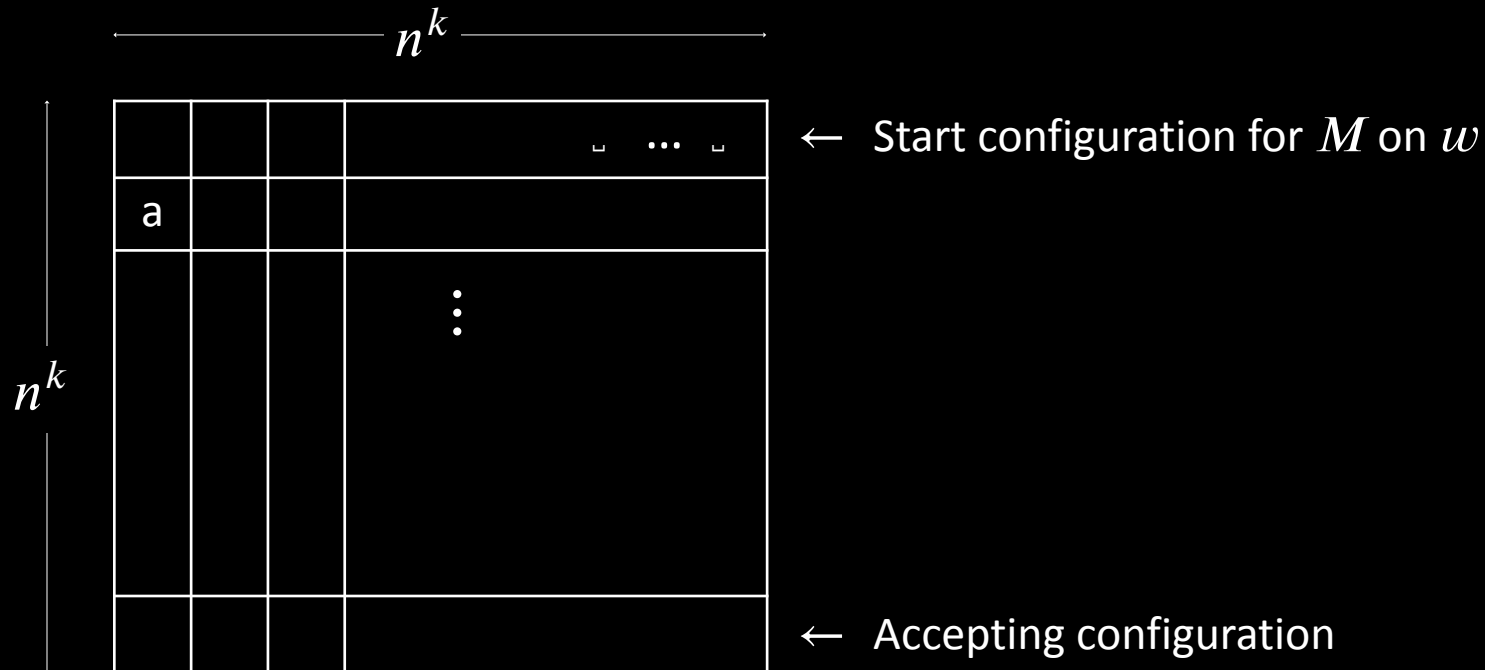


Tableau for M on w

27

Defn: An (accepting) tableau for NTM M on w is an $n^k \times n^k$ table representing an computation history for M on w on an accepting branch of the nondeterministic computation.

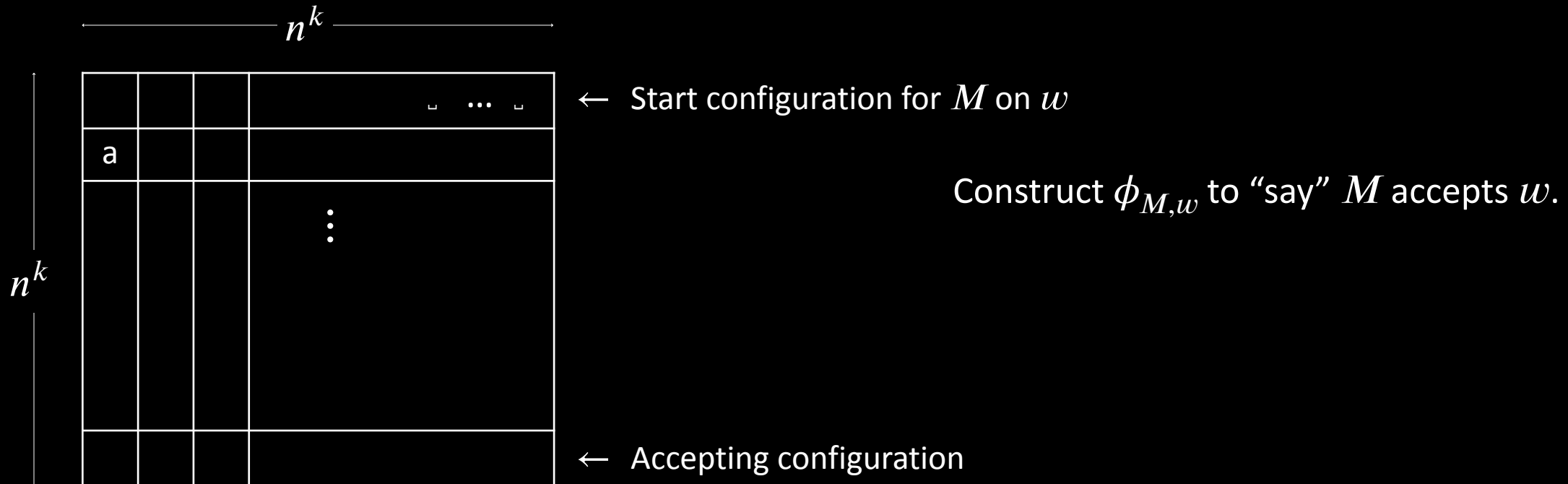
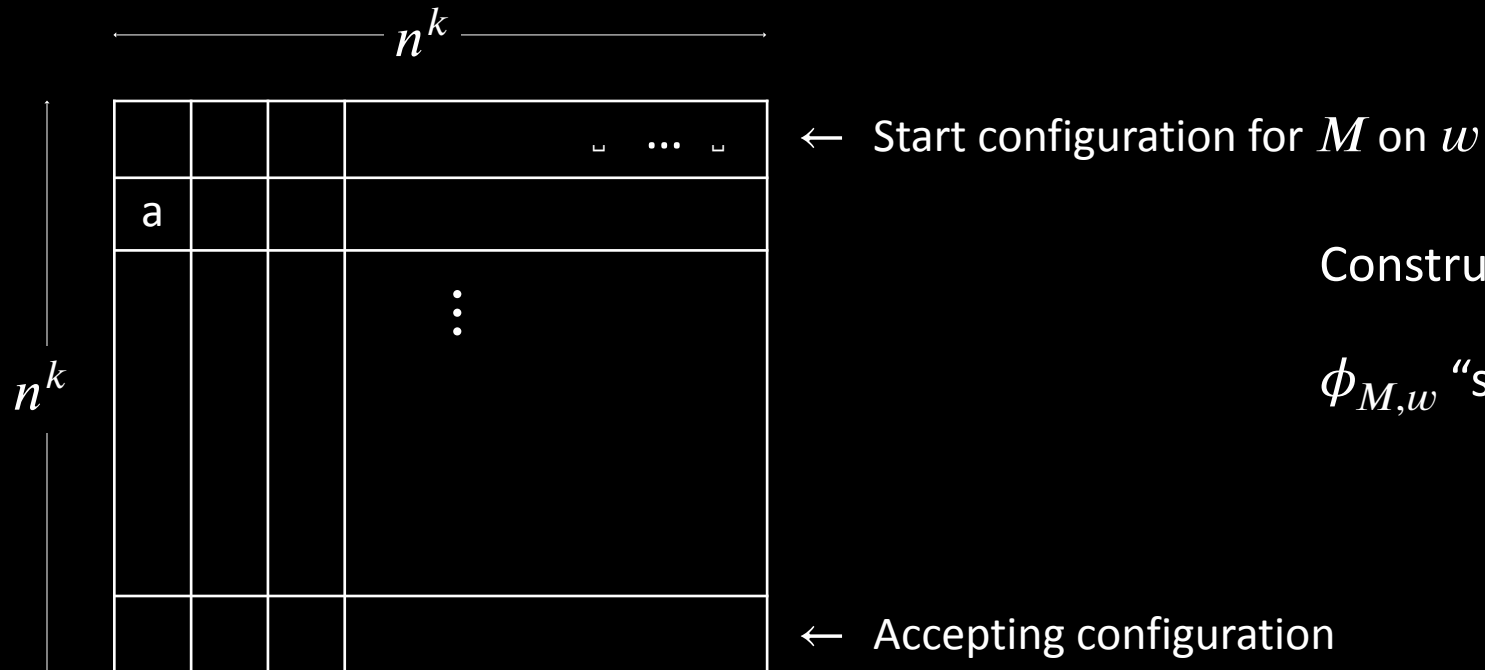


Tableau for M on w

27

Defn: An (accepting) tableau for NTM M on w is an $n^k \times n^k$ table representing an computation history for M on w on an accepting branch of the nondeterministic computation.



Construct $\phi_{M,w}$ to "say" M accepts w .

$\phi_{M,w}$ "says" a tableau for M on w exists.

Tableau for M on w

27

Defn: An (accepting) tableau for NTM M on w is an $n^k \times n^k$ table representing an computation history for M on w on an accepting branch of the nondeterministic computation.

n^k			
			⋮ ... ⋮
a			
			⋮
n^k			

← Start configuration for M on w

Construct $\phi_{M,w}$ to “say” M accepts w .

$\phi_{M,w}$ “says” a tableau for M on w exists.

$\phi_{M,w} = \phi_{\text{cell}} \wedge \phi_{\text{start}} \wedge \phi_{\text{move}} \wedge \phi_{\text{accept}}$

← Accepting configuration

Tableau for M on w

27

Defn: An (accepting) tableau for NTM M on w is an $n^k \times n^k$ table representing an computation history for M on w on an accepting branch of the nondeterministic computation.

n^k			
			⌈ ... ⌋
a			
			⋮
n^k			

← Start configuration for M on w

Construct $\phi_{M,w}$ to “say” M accepts w .

$\phi_{M,w}$ “says” a tableau for M on w exists.

$\phi_{M,w} = \phi_{\text{cell}} \wedge \phi_{\text{start}} \wedge \phi_{\text{move}} \wedge \phi_{\text{accept}}$

← Accepting configuration

Constructing $\phi_{M,w}$: ϕ_{cell}

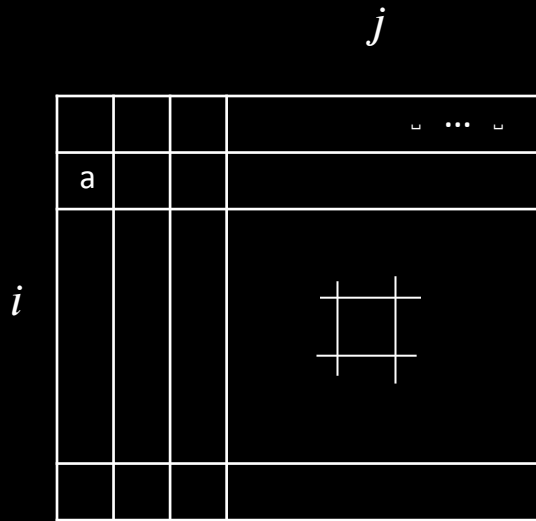
Constructing $\phi_{M,w}$: ϕ_{cell}

28

			$\cup \dots \cup$
a			

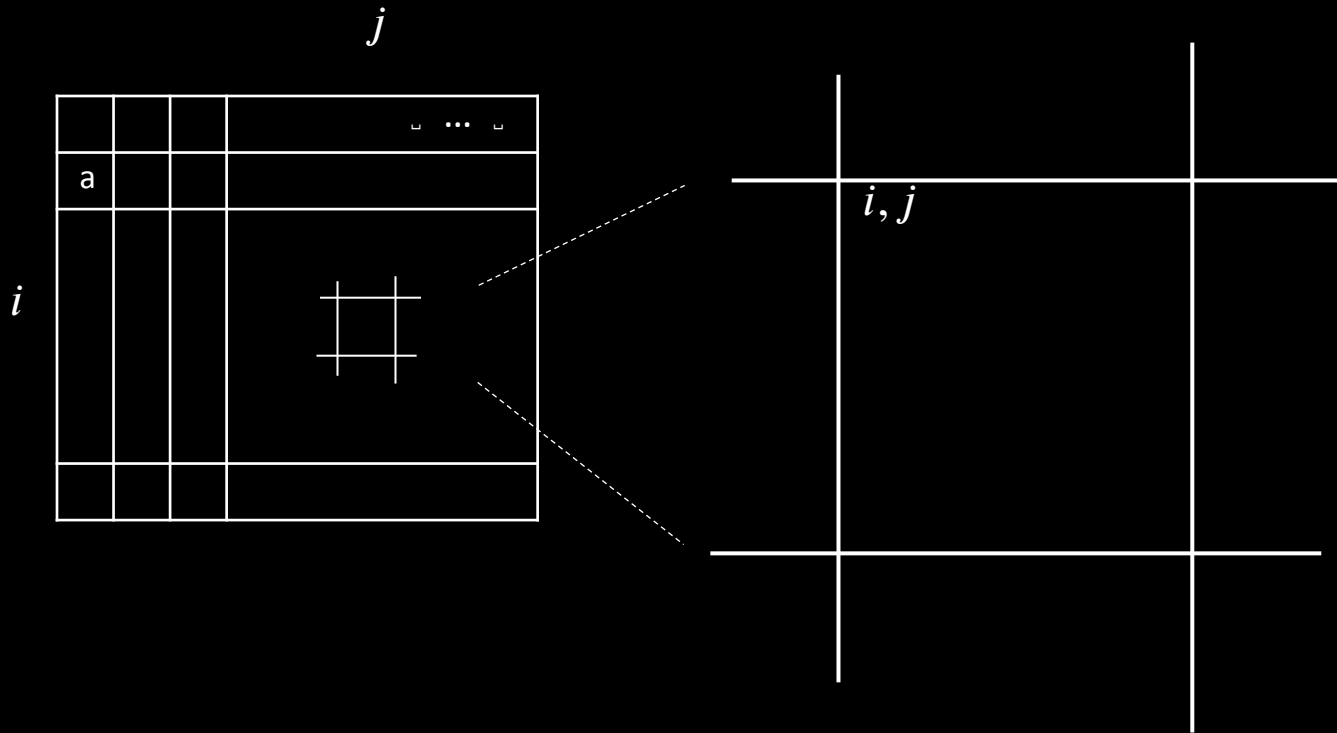
Constructing $\phi_{M,w}$: ϕ_{cell}

28



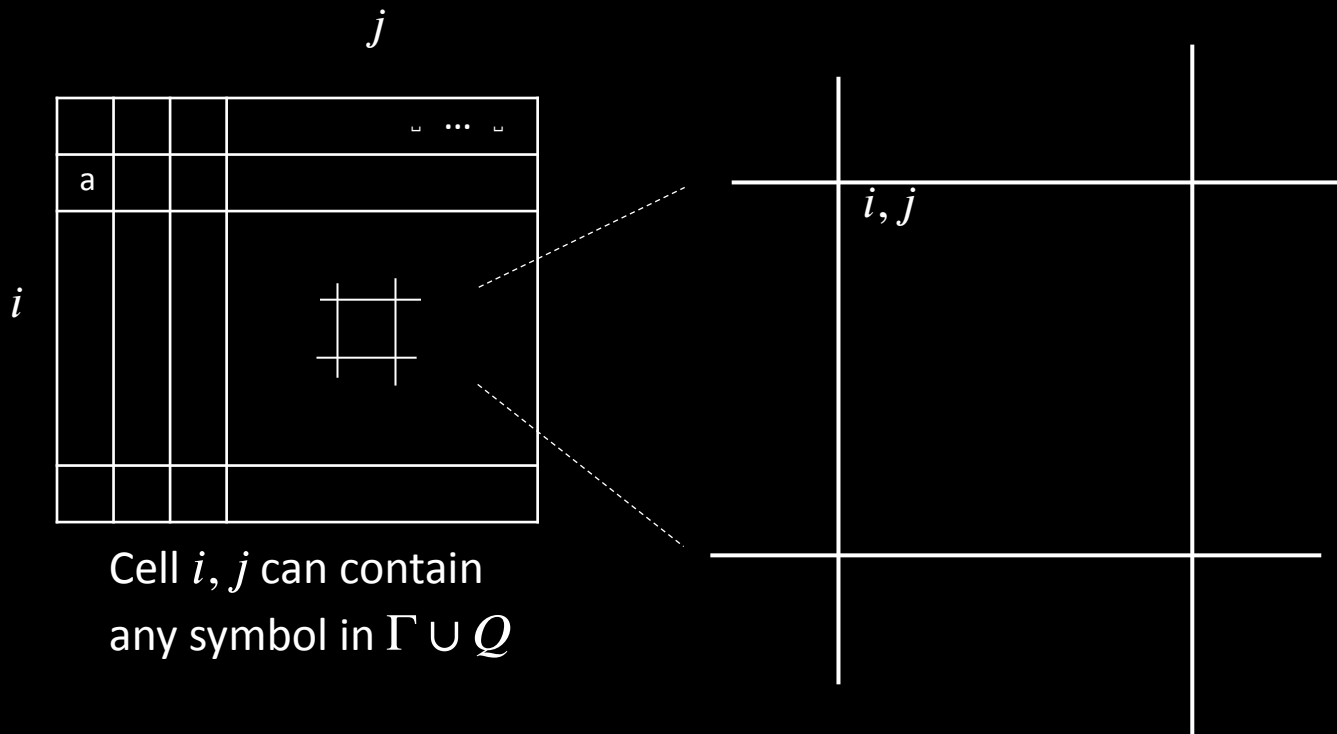
Constructing $\phi_{M,w}$: ϕ_{cell}

28



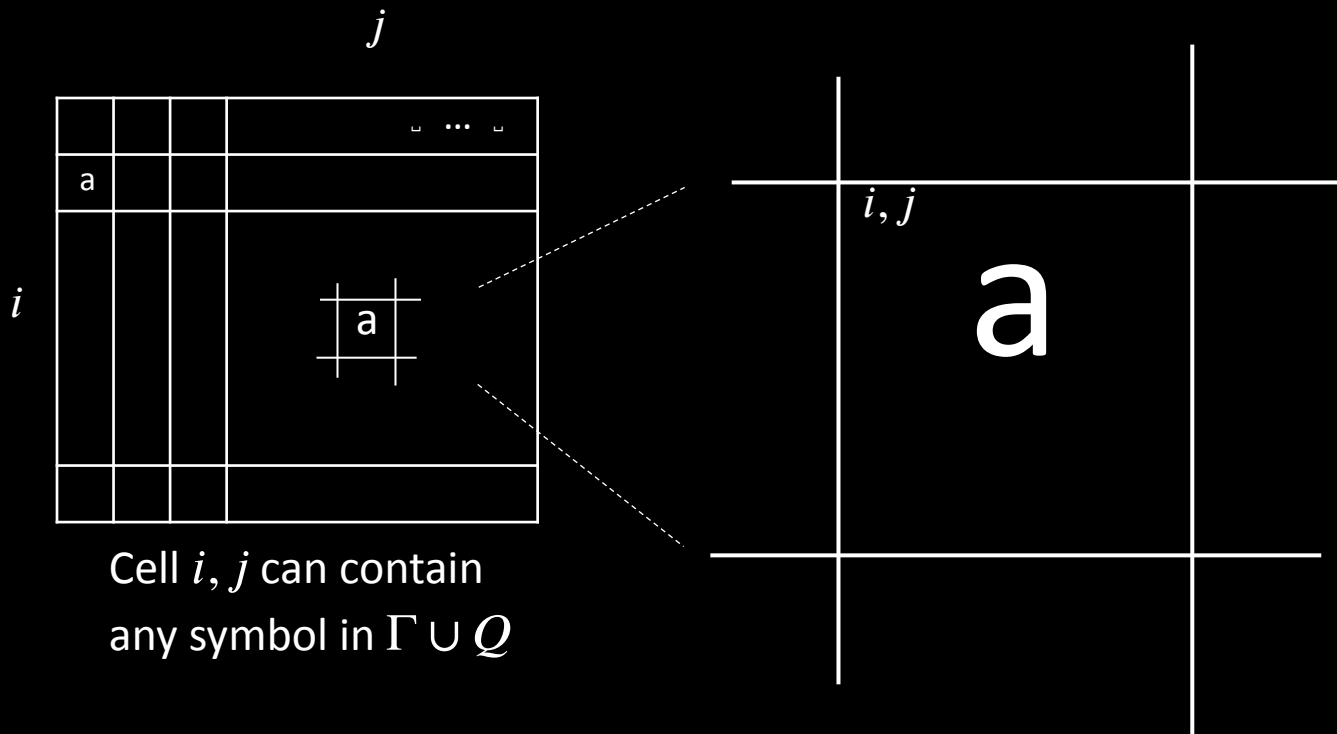
Constructing $\phi_{M,w}$: ϕ_{cell}

28



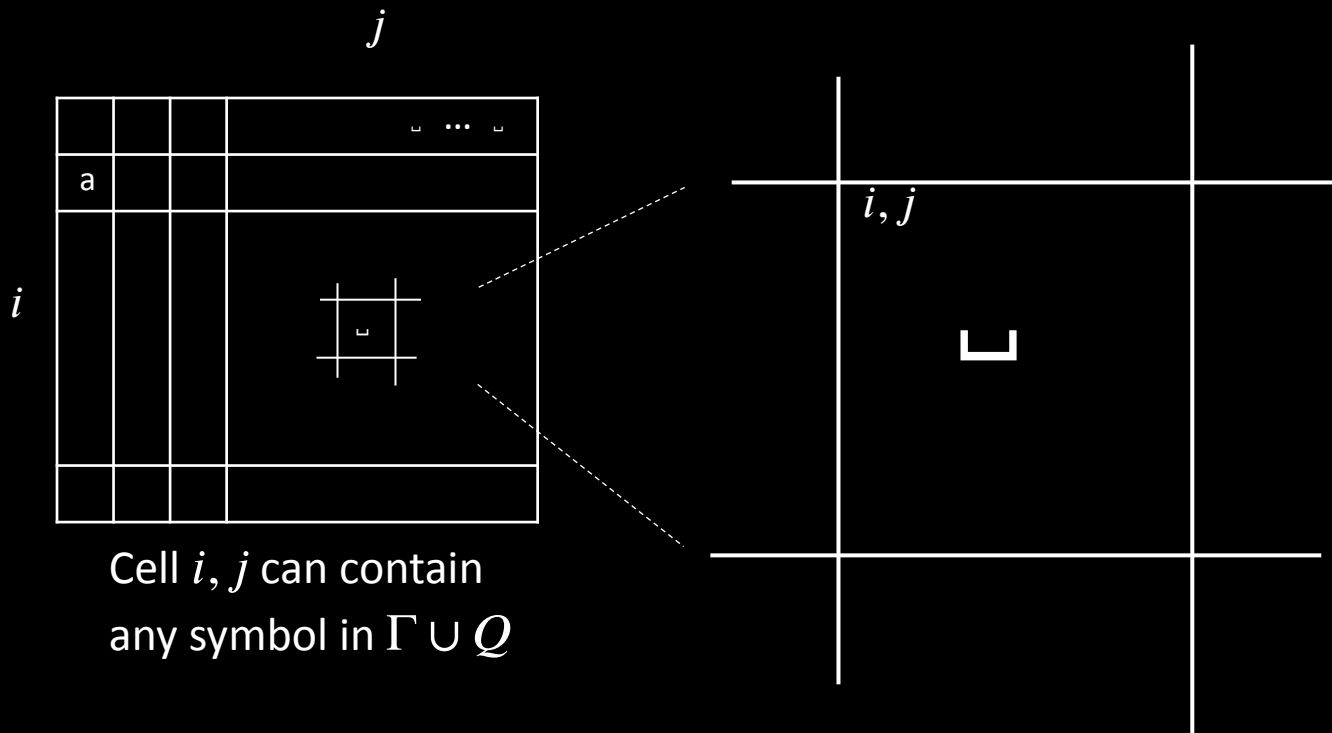
Constructing $\phi_{M,w}$: ϕ_{cell}

28



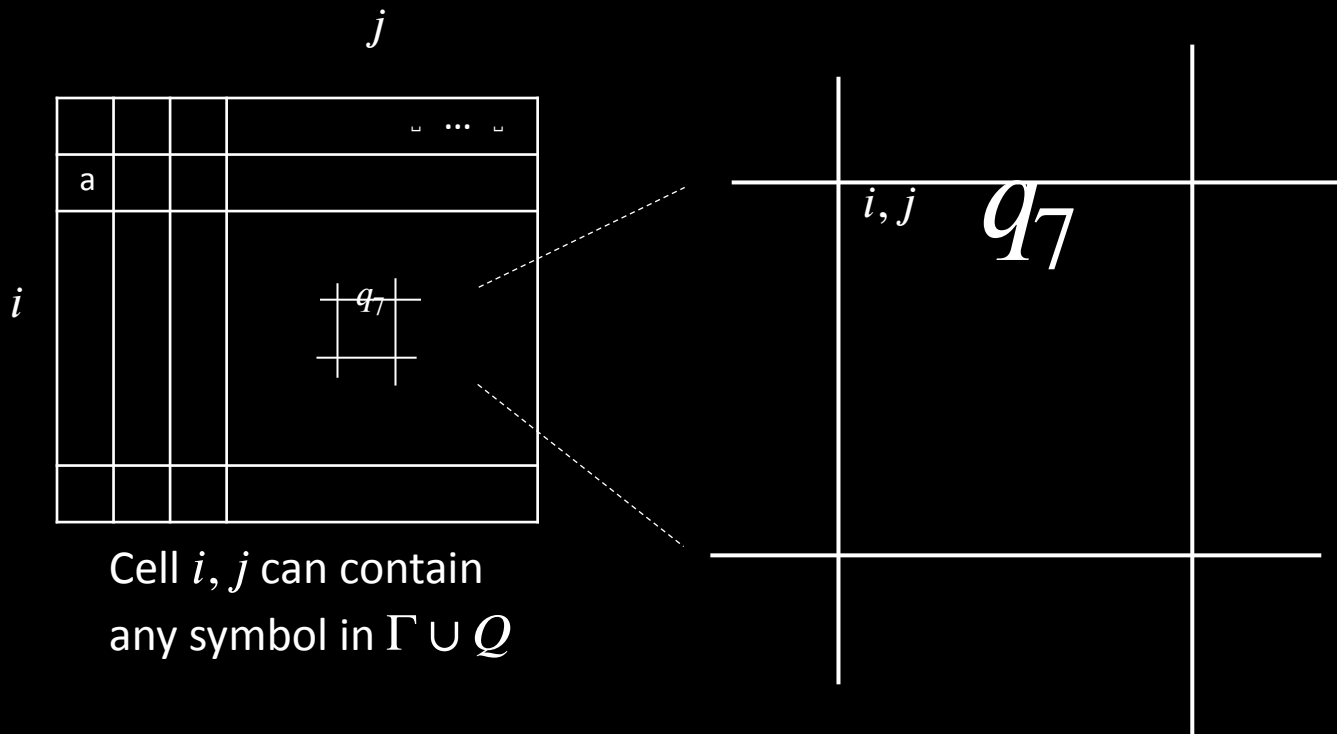
Constructing $\phi_{M,w}$: ϕ_{cell}

28



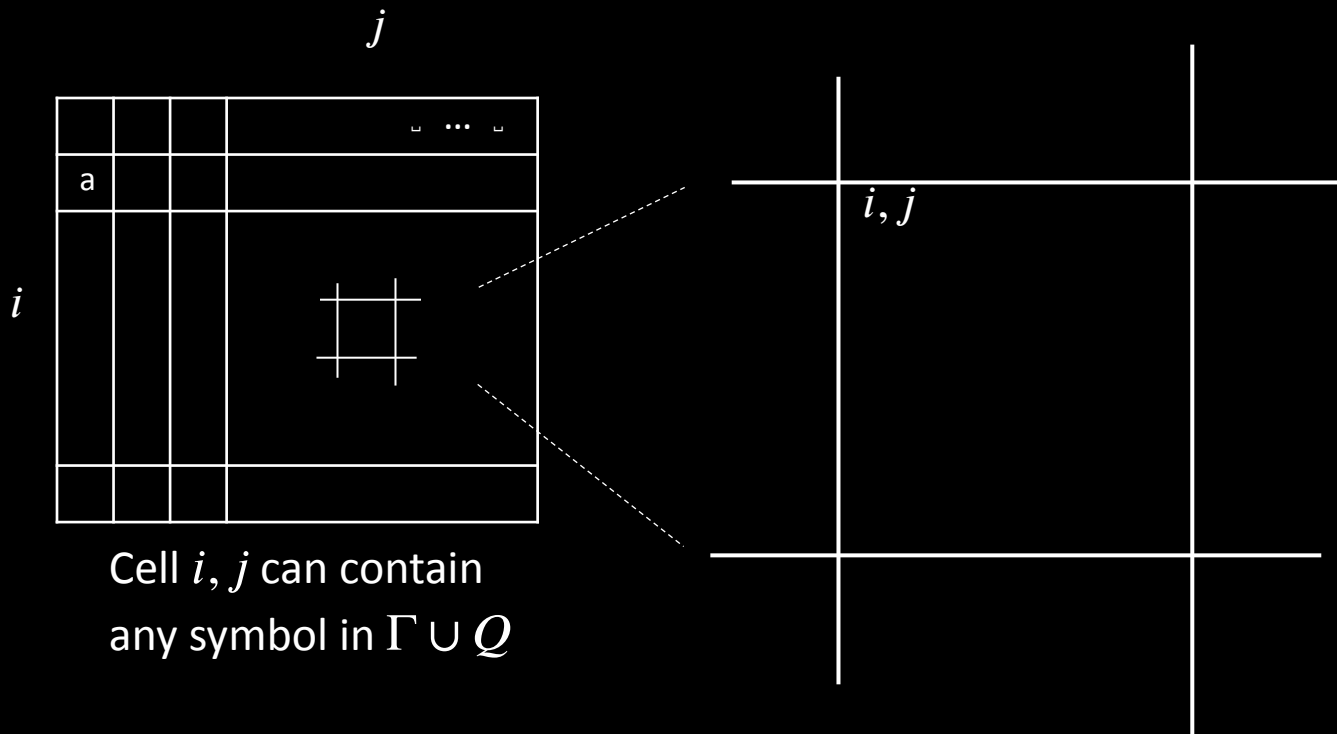
Constructing $\phi_{M,w}$: ϕ_{cell}

28



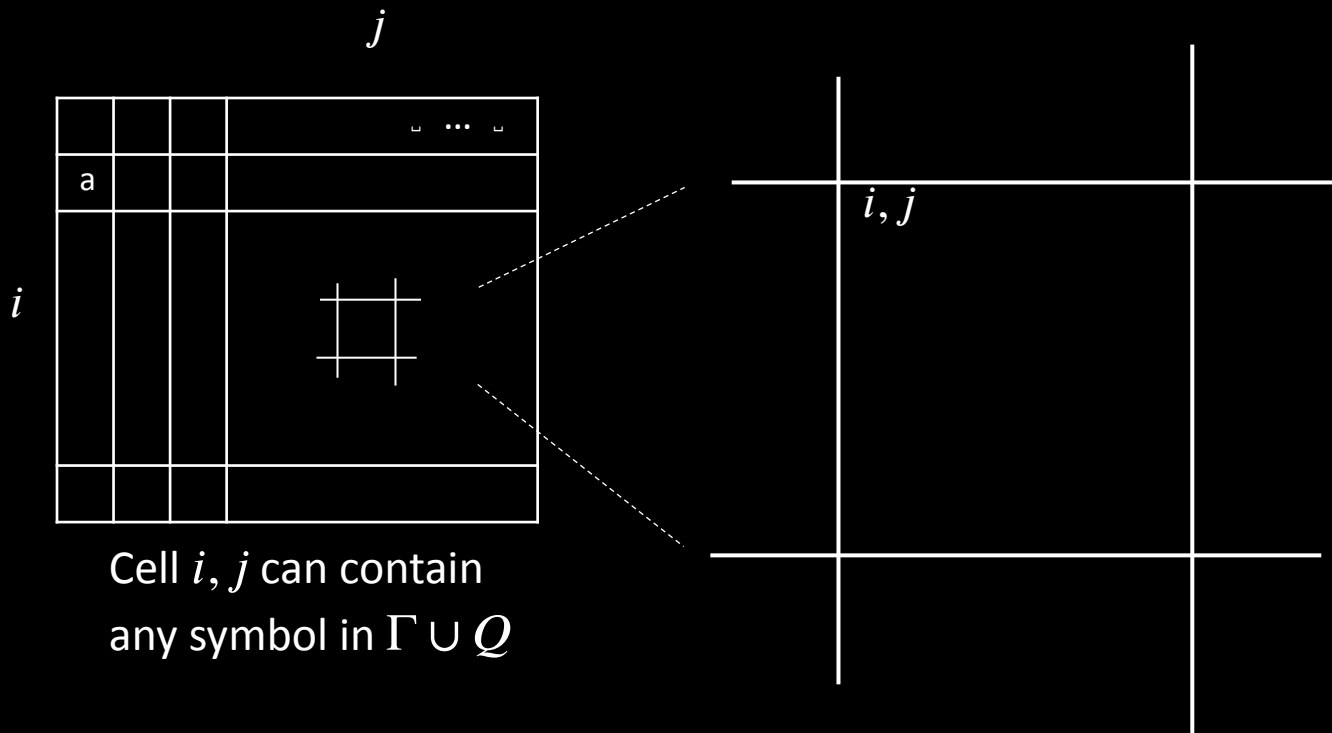
Constructing $\phi_{M,w}$: ϕ_{cell}

28



Constructing $\phi_{M,w}$: ϕ_{cell}

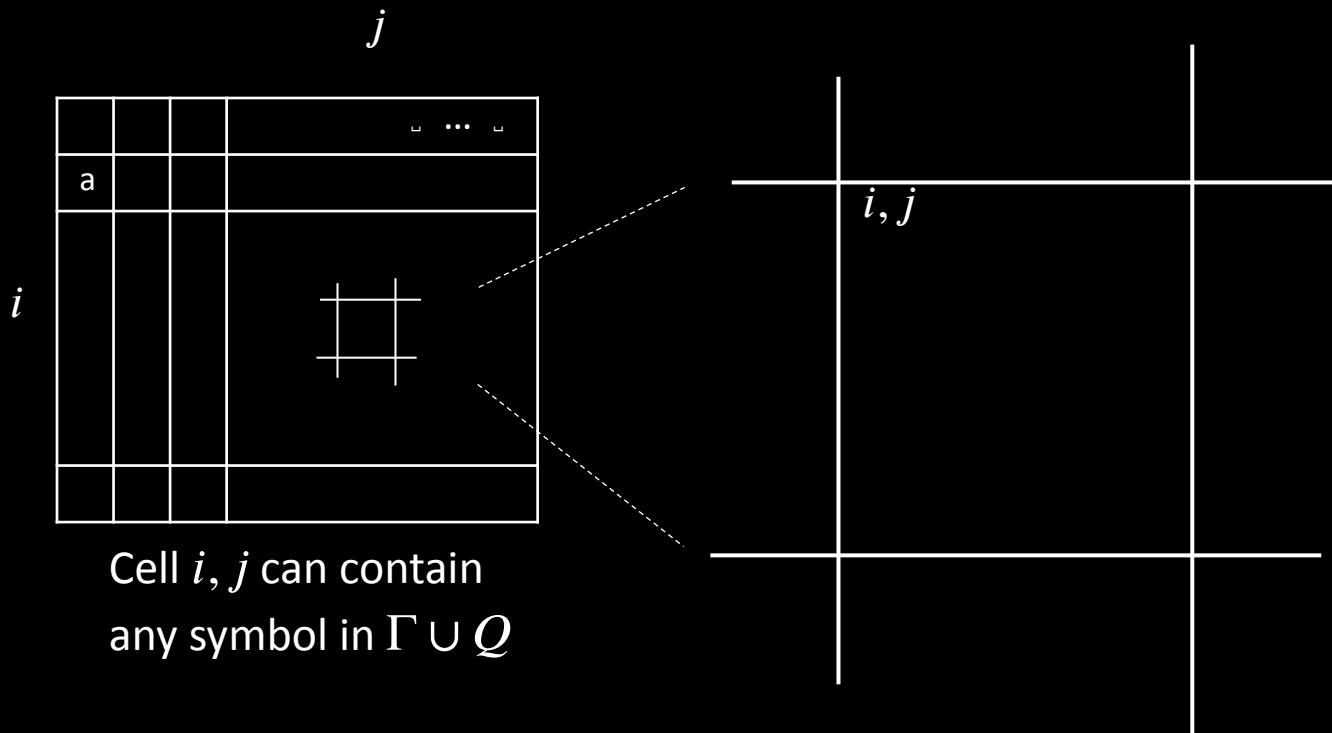
28



The variables of $\phi_{M,w}$ are $x_{i,j,\sigma}$
for $1 \leq i, j \leq n^k$ and $\sigma \in \Gamma \cup Q$.

Constructing $\phi_{M,w}$: ϕ_{cell}

28



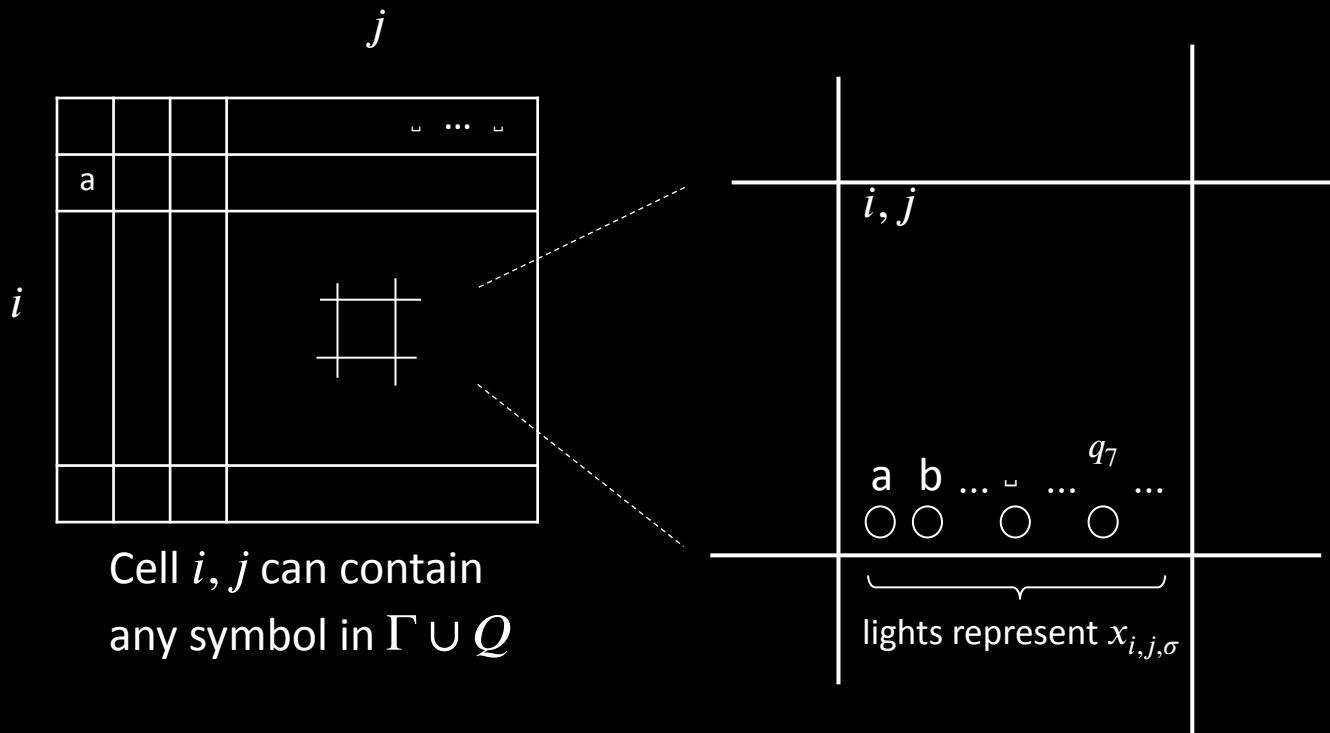
Cell i, j can contain
any symbol in $\Gamma \cup Q$

The variables of $\phi_{M,w}$ are $x_{i,j,\sigma}$
for $1 \leq i, j \leq n^k$ and $\sigma \in \Gamma \cup Q$.

$x_{i,j,\sigma} = \text{TRUE}$ means cell i, j contains σ .

Constructing $\phi_{M,w}$: ϕ_{cell}

28

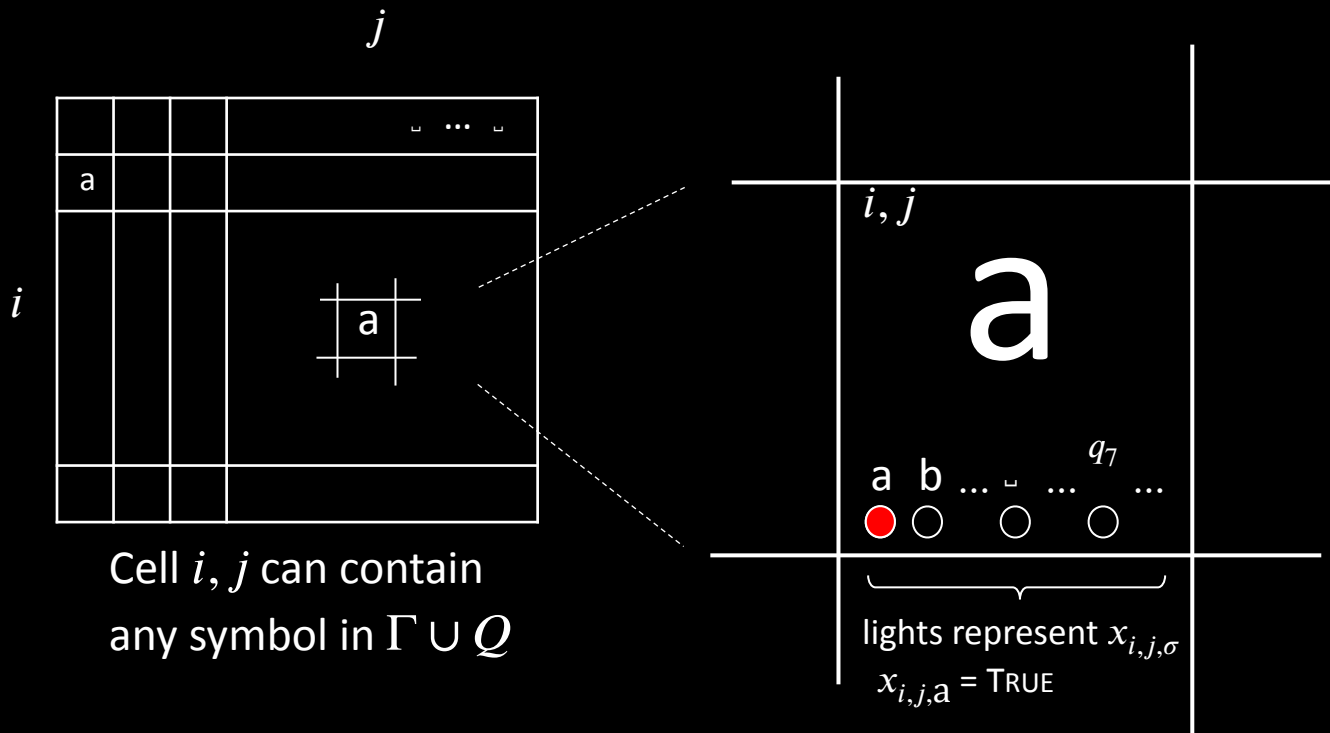


The variables of $\phi_{M,w}$ are $x_{i,j,\sigma}$ for $1 \leq i, j \leq n^k$ and $\sigma \in \Gamma \cup Q$.

$x_{i,j,\sigma} = \text{TRUE}$ means cell i, j contains σ .

Constructing $\phi_{M,w}$: ϕ_{cell}

28

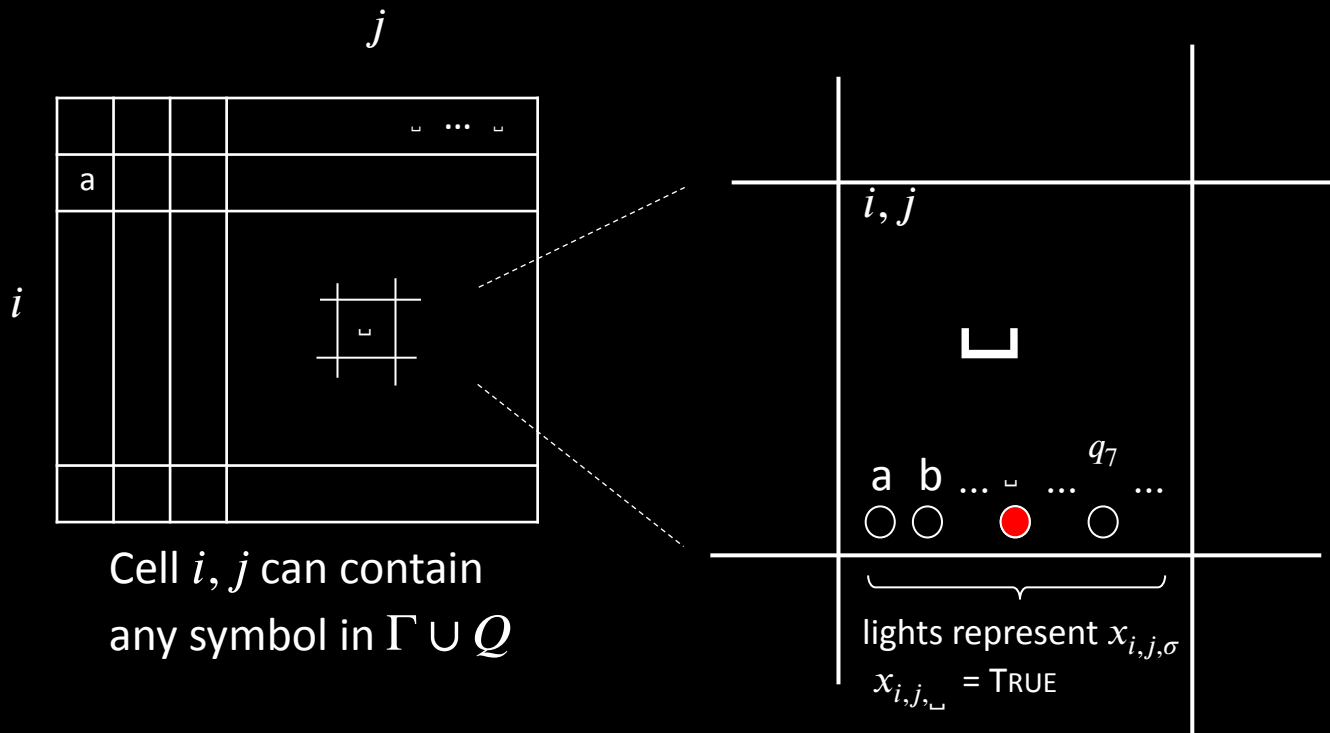


The variables of $\phi_{M,w}$ are $x_{i,j,\sigma}$
for $1 \leq i, j \leq n^k$ and $\sigma \in \Gamma \cup Q$.

$x_{i,j,\sigma} = \text{TRUE}$ means cell i, j contains σ .

Constructing $\phi_{M,w}$: ϕ_{cell}

28

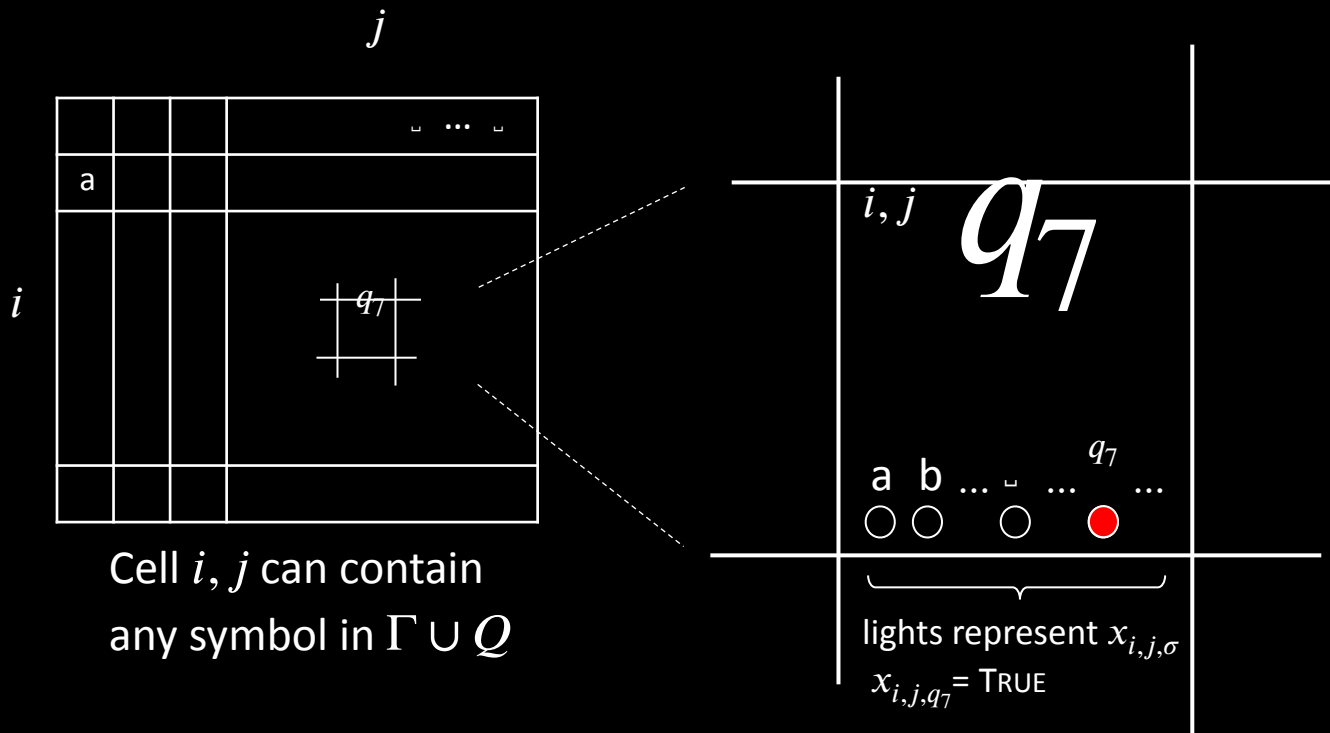


The variables of $\phi_{M,w}$ are $x_{i,j,\sigma}$
for $1 \leq i, j \leq n^k$ and $\sigma \in \Gamma \cup Q$.

$x_{i,j,\sigma} = \text{TRUE}$ means cell i, j contains σ .

Constructing $\phi_{M,w}$: ϕ_{cell}

28

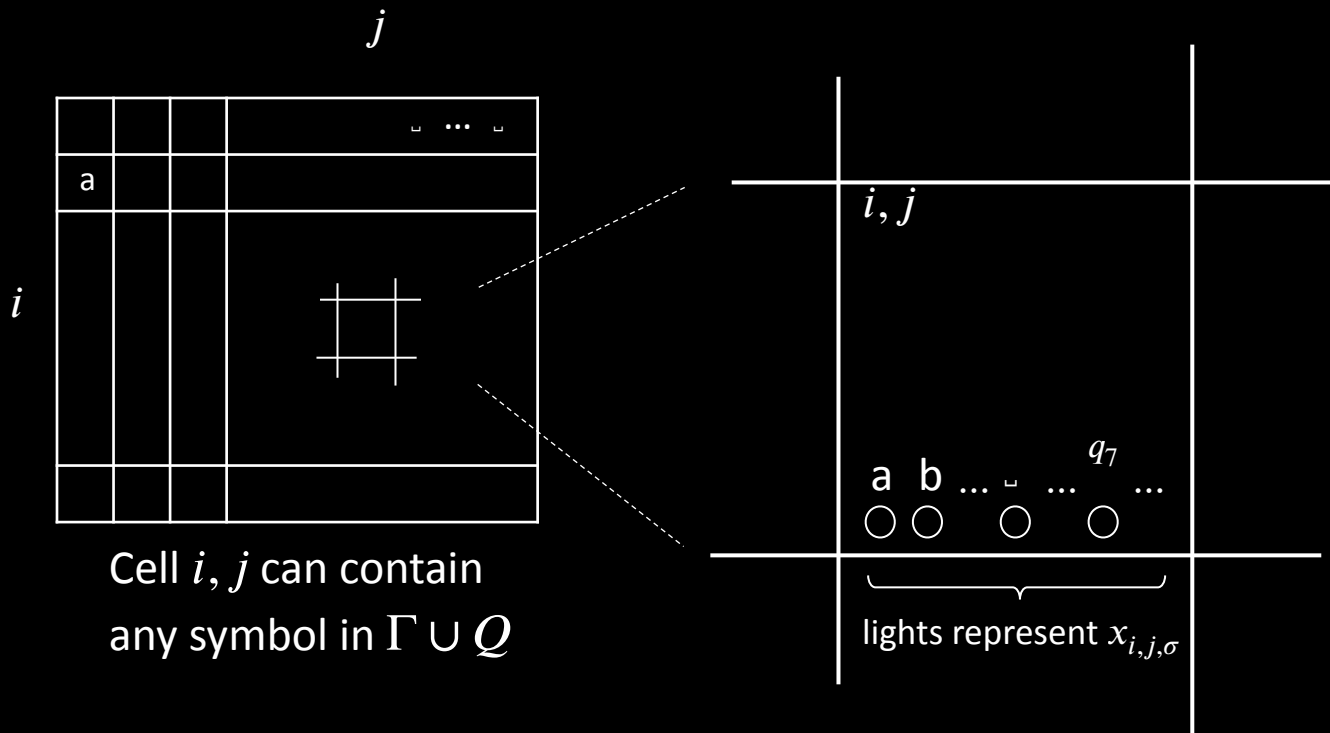


The variables of $\phi_{M,w}$ are $x_{i,j,\sigma}$
for $1 \leq i, j \leq n^k$ and $\sigma \in \Gamma \cup Q$.

$x_{i,j,\sigma} = \text{TRUE}$ means cell i, j contains σ .

Constructing $\phi_{M,w}$: ϕ_{cell}

28

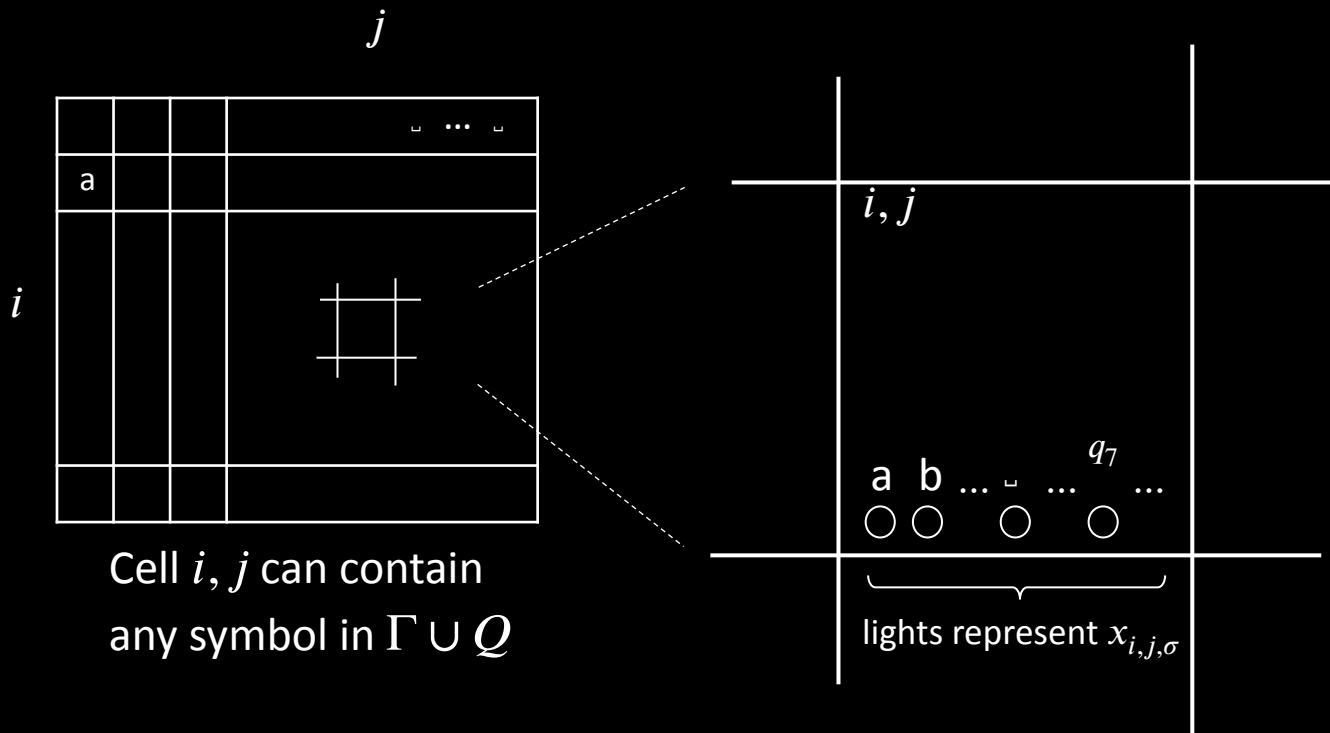


The variables of $\phi_{M,w}$ are $x_{i,j,\sigma}$ for $1 \leq i, j \leq n^k$ and $\sigma \in \Gamma \cup Q$.

$x_{i,j,\sigma} = \text{TRUE}$ means cell i, j contains σ .

Constructing $\phi_{M,w}$: ϕ_{cell}

28



$\phi_{M,w}$ “says” a tableau for M on w exists.

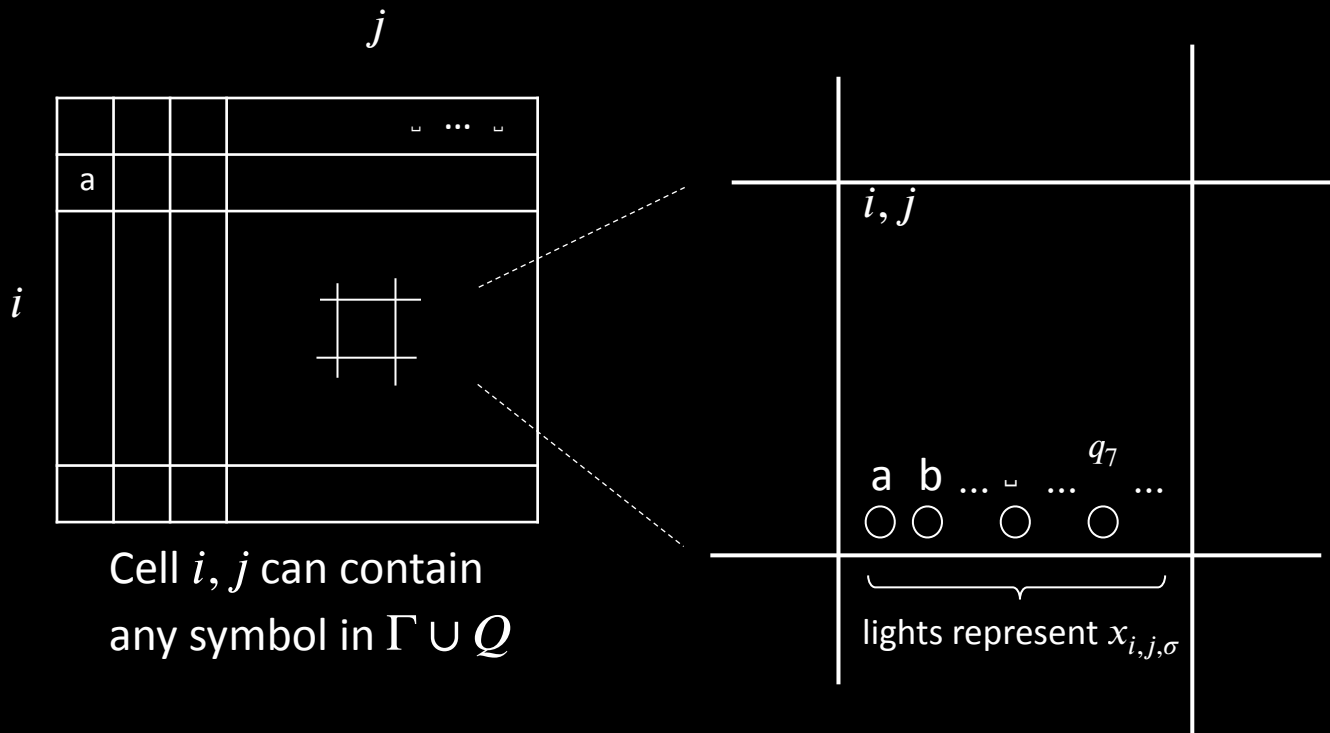
$$\phi_{M,w} = \phi_{\text{cell}} \wedge \phi_{\text{start}} \wedge \phi_{\text{move}} \wedge \phi_{\text{acc}}$$

The variables of $\phi_{M,w}$ are $x_{i,j,\sigma}$
for $1 \leq i, j \leq n^k$ and $\sigma \in \Gamma \cup Q$.

$x_{i,j,\sigma} = \text{TRUE}$ means cell i, j contains σ .

Constructing $\phi_{M,w}$: ϕ_{cell}

28



The variables of $\phi_{M,w}$ are $x_{i,j,\sigma}$
for $1 \leq i, j \leq n^k$ and $\sigma \in \Gamma \cup Q$.

$x_{i,j,\sigma} = \text{TRUE}$ means cell i, j contains σ .

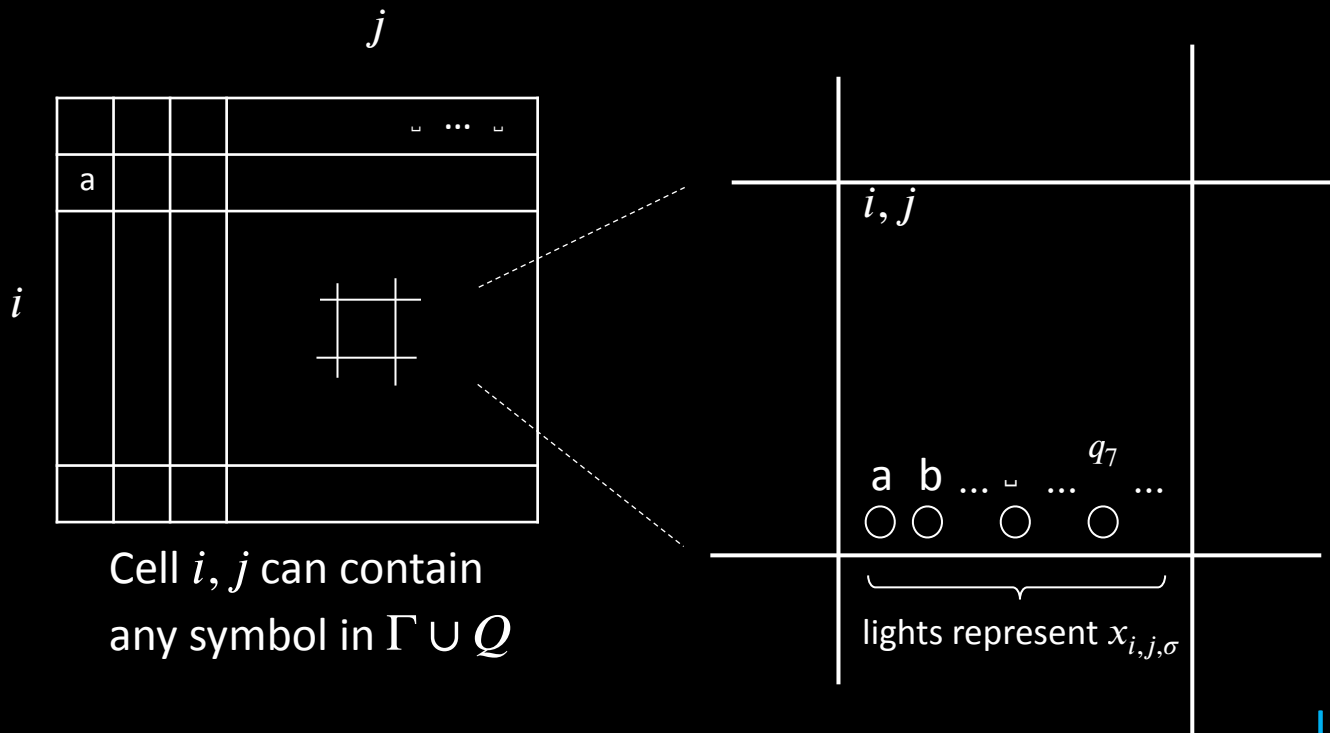
$\phi_{M,w}$ “says” a tableau for M on w exists.

$\phi_{M,w} = \phi_{\text{cell}} \wedge \phi_{\text{start}} \wedge \phi_{\text{move}} \wedge \phi_{\text{acc}}$

ϕ_{cell} “says” exactly one light is on per cell
i.e., exactly one $x_{i,j,\sigma} = \text{TRUE}$ for each i, j .

Constructing $\phi_{M,w}$: ϕ_{cell}

28



The variables of $\phi_{M,w}$ are $x_{i,j,\sigma}$
for $1 \leq i, j \leq n^k$ and $\sigma \in \Gamma \cup Q$.

$x_{i,j,\sigma} = \text{TRUE}$ means cell i, j contains σ .

$\phi_{M,w}$ “says” a tableau for M on w exists.

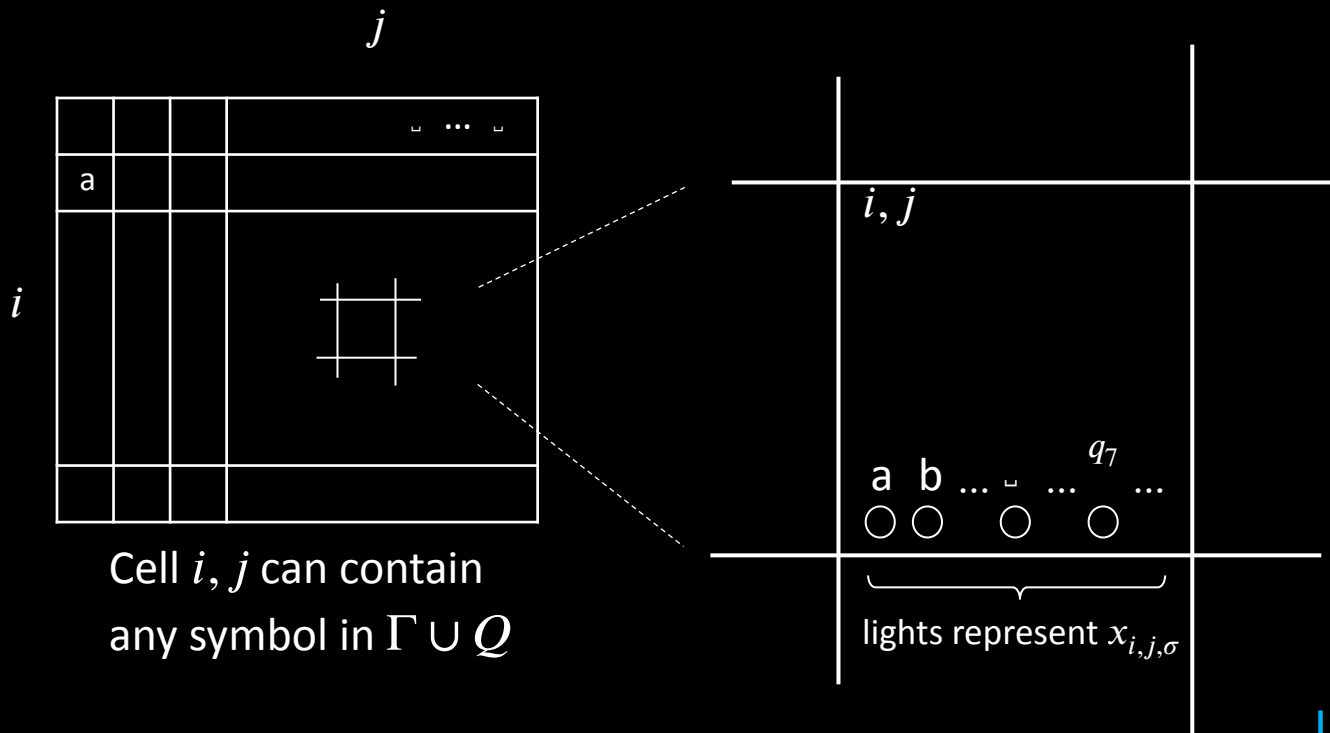
$\phi_{M,w} = \phi_{\text{cell}} \wedge \phi_{\text{start}} \wedge \phi_{\text{move}} \wedge \phi_{\text{acc}}$

ϕ_{cell} “says” exactly one light is on per cell
i.e., exactly one $x_{i,j,\sigma} = \text{TRUE}$ for each i, j .

In every cell at least one light and at most one light

Constructing $\phi_{M,w}$: ϕ_{cell}

28



The variables of $\phi_{M,w}$ are $x_{i,j,\sigma}$ for $1 \leq i, j \leq n^k$ and $\sigma \in \Gamma \cup Q$.

$x_{i,j,\sigma} = \text{TRUE}$ means cell i, j contains σ .

$\phi_{M,w}$ “says” a tableau for M on w exists.

$$\phi_{M,w} = \phi_{\text{cell}} \wedge \phi_{\text{start}} \wedge \phi_{\text{move}} \wedge \phi_{\text{acc}}$$

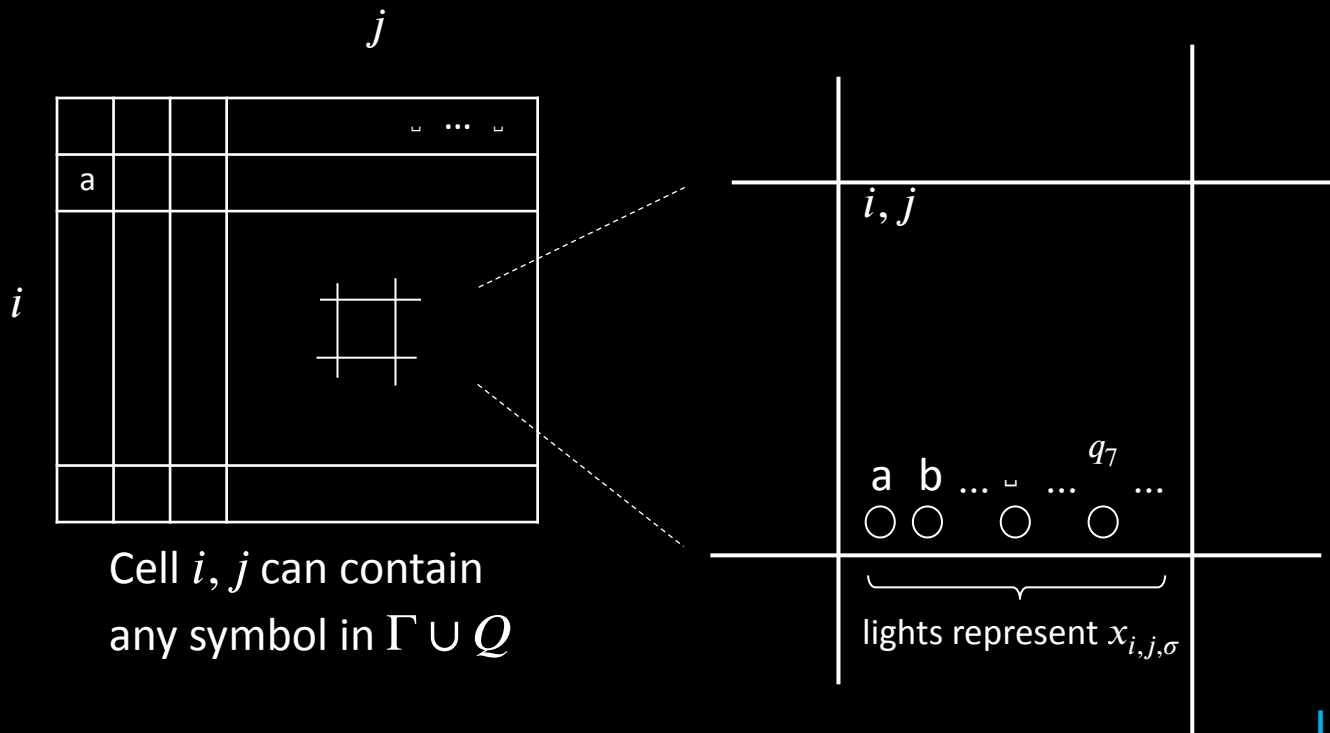
ϕ_{cell} “says” exactly one light is on per cell i.e., exactly one $x_{i,j,\sigma} = \text{TRUE}$ for each i, j .

In every cell at least one light and at most one light

$$x_{i,j,\sigma_1} \vee x_{i,j,\sigma_2} \vee \cdots \vee x_{i,j,\sigma_t}$$

Constructing $\phi_{M,w}$: ϕ_{cell}

28



The variables of $\phi_{M,w}$ are $x_{i,j,\sigma}$ for $1 \leq i, j \leq n^k$ and $\sigma \in \Gamma \cup Q$.

$x_{i,j,\sigma} = \text{TRUE}$ means cell i, j contains σ .

$\phi_{M,w}$ “says” a tableau for M on w exists.

$$\phi_{M,w} = \phi_{\text{cell}} \wedge \phi_{\text{start}} \wedge \phi_{\text{move}} \wedge \phi_{\text{acc}}$$

ϕ_{cell} “says” exactly one light is on per cell i.e., exactly one $x_{i,j,\sigma} = \text{TRUE}$ for each i, j .

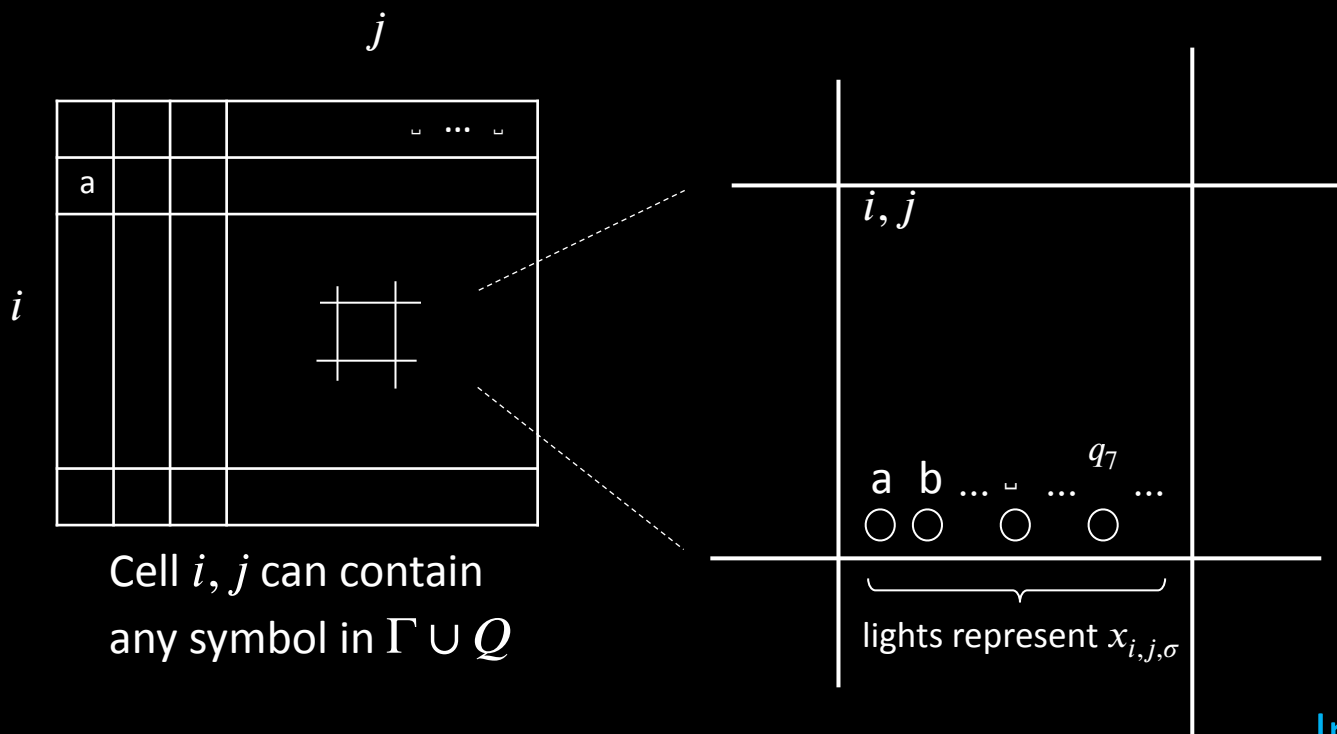
In every cell at least one light and at most one light

$$x_{i,j,\sigma_1} \vee x_{i,j,\sigma_2} \vee \cdots \vee x_{i,j,\sigma_t}$$

$$\bigvee_{\sigma \in \Gamma \cup Q} x_{i,j,\sigma}$$

Constructing $\phi_{M,w}$: ϕ_{cell}

28



The variables of $\phi_{M,w}$ are $x_{i,j,\sigma}$ for $1 \leq i, j \leq n^k$ and $\sigma \in \Gamma \cup Q$.

$x_{i,j,\sigma} = \text{TRUE}$ means cell i, j contains σ .

$\phi_{M,w}$ “says” a tableau for M on w exists.

$$\phi_{M,w} = \phi_{\text{cell}} \wedge \phi_{\text{start}} \wedge \phi_{\text{move}} \wedge \phi_{\text{acc}}$$

ϕ_{cell} “says” exactly one light is on per cell i.e., exactly one $x_{i,j,\sigma} = \text{TRUE}$ for each i, j .

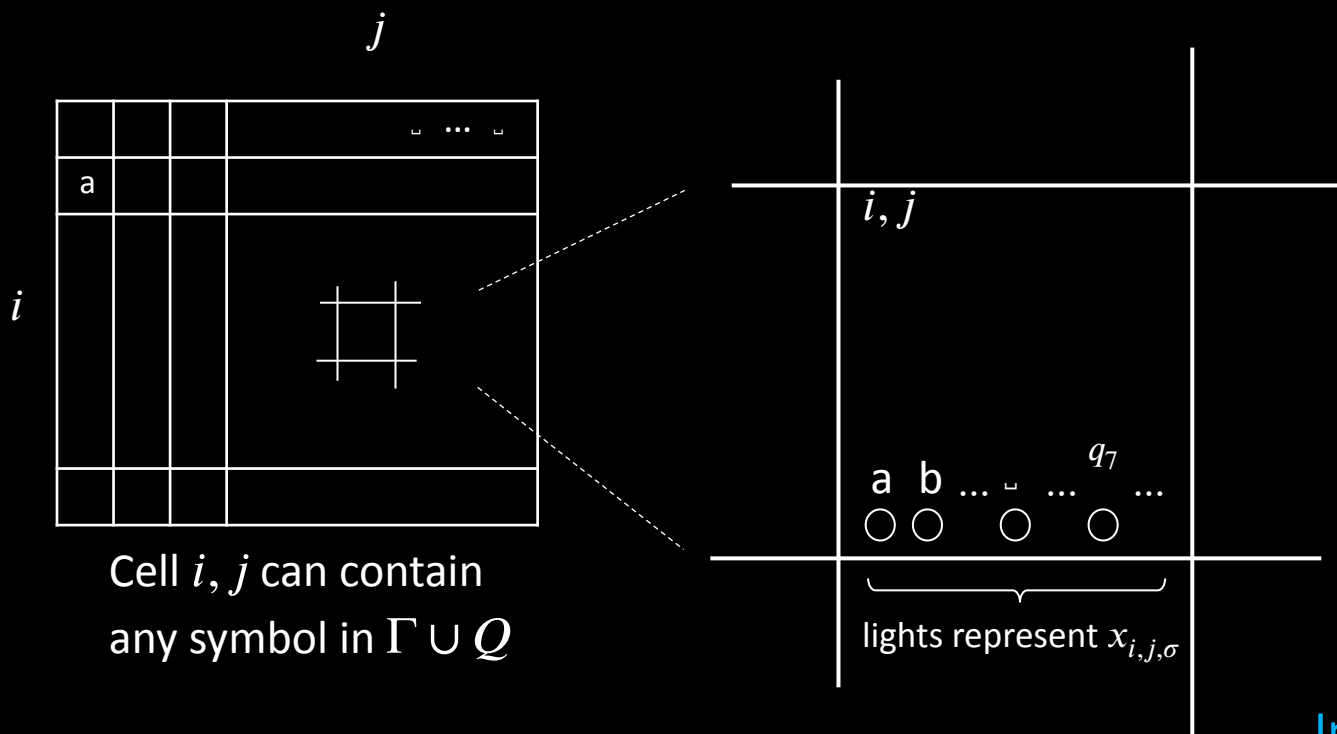
In every cell at least one light and at most one light

$$x_{i,j,\sigma_1} \vee x_{i,j,\sigma_2} \vee \cdots \vee x_{i,j,\sigma_t}$$

$$\underbrace{\bigvee_{\sigma \in \Gamma \cup Q} x_{i,j,\sigma}}_{\text{at least one light}} \wedge \bigwedge_{\substack{\sigma, \tau \in \Gamma \cup Q \\ \sigma \neq \tau}} \left(\overline{x_{i,j,\sigma} \wedge x_{i,j,\tau}} \right)_{\text{at most one light}}$$

Constructing $\phi_{M,w}$: ϕ_{cell}

28



The variables of $\phi_{M,w}$ are $x_{i,j,\sigma}$ for $1 \leq i, j \leq n^k$ and $\sigma \in \Gamma \cup Q$.

$x_{i,j,\sigma} = \text{TRUE}$ means cell i, j contains σ .

$\phi_{M,w}$ “says” a tableau for M on w exists.

$$\phi_{M,w} = \phi_{\text{cell}} \wedge \phi_{\text{start}} \wedge \phi_{\text{move}} \wedge \phi_{\text{acc}}$$

ϕ_{cell} “says” exactly one light is on per cell i.e., exactly one $x_{i,j,\sigma} = \text{TRUE}$ for each i, j .

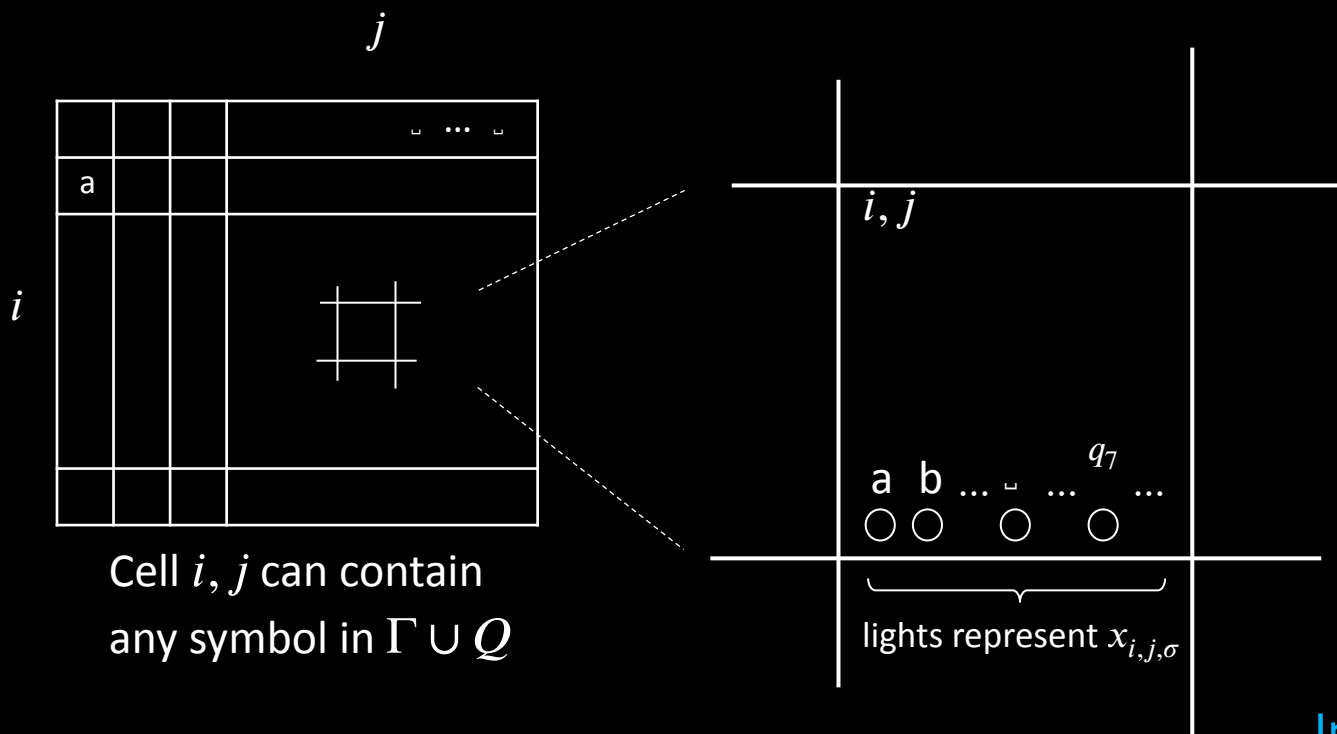
In every cell at least one light and at most one light

$$x_{i,j,\sigma_1} \vee x_{i,j,\sigma_2} \vee \cdots \vee x_{i,j,\sigma_t}$$

$$\underbrace{\bigvee_{\sigma \in \Gamma \cup Q} x_{i,j,\sigma}}_{\text{at least one light}} \wedge \bigwedge_{\substack{\sigma, \tau \in \Gamma \cup Q \\ \sigma \neq \tau}} \left(\overline{x_{i,j,\sigma} \wedge x_{i,j,\tau}} \right)_{\text{at most one light}}$$

Constructing $\phi_{M,w}$: ϕ_{cell}

28



The variables of $\phi_{M,w}$ are $x_{i,j,\sigma}$ for $1 \leq i, j \leq n^k$ and $\sigma \in \Gamma \cup Q$.

$x_{i,j,\sigma} = \text{TRUE}$ means cell i, j contains σ .

$\phi_{M,w}$ “says” a tableau for M on w exists.

$$\phi_{M,w} = \phi_{\text{cell}} \wedge \phi_{\text{start}} \wedge \phi_{\text{move}} \wedge \phi_{\text{acc}}$$

ϕ_{cell} “says” exactly one light is on per cell i.e., exactly one $x_{i,j,\sigma} = \text{TRUE}$ for each i, j .

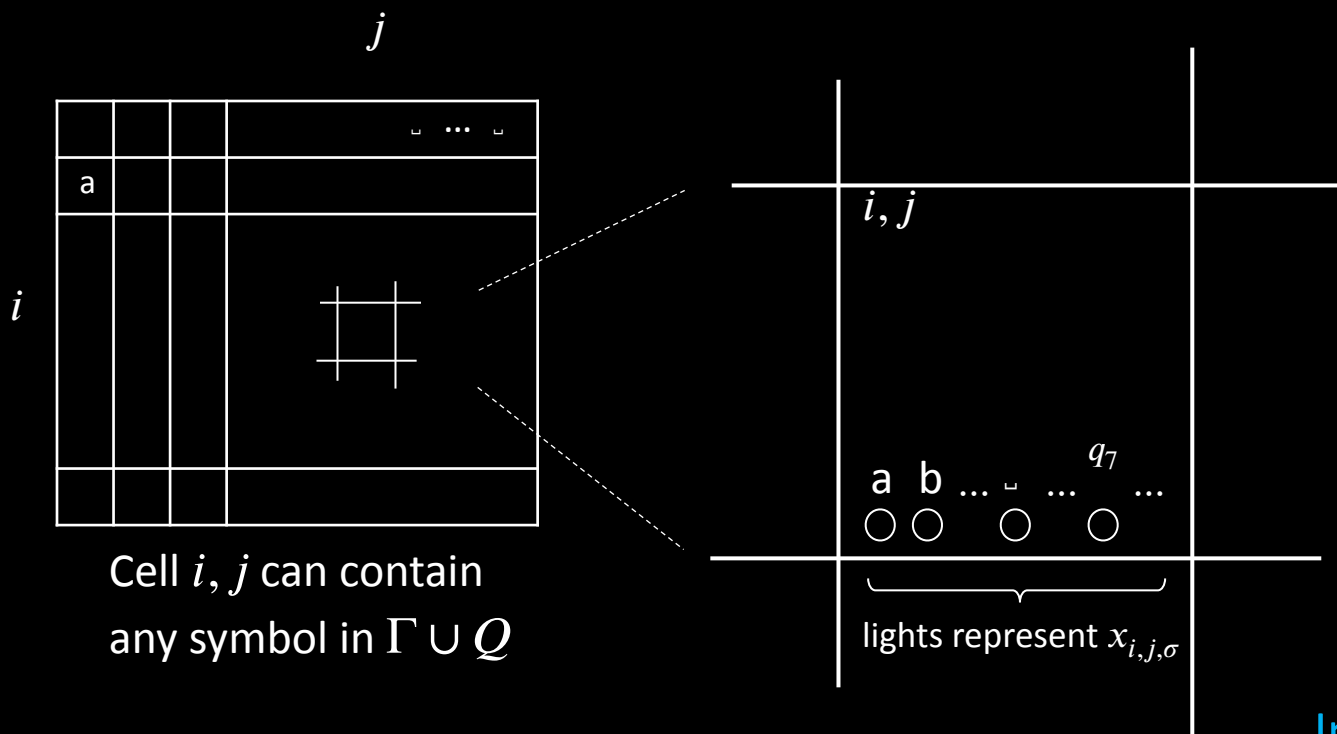
In every cell at least one light and at most one light

$$x_{i,j,\sigma_1} \vee x_{i,j,\sigma_2} \vee \cdots \vee x_{i,j,\sigma_t}$$

$$\bigwedge_{1 \leq i, j \leq n^k} \left(\bigvee_{\sigma \in \Gamma \cup Q} x_{i,j,\sigma} \wedge \bigwedge_{\substack{\sigma, \tau \in \Gamma \cup Q \\ \sigma \neq \tau}} \left(\overline{x_{i,j,\sigma} \wedge x_{i,j,\tau}} \right) \right)$$

Constructing $\phi_{M,w}$: ϕ_{cell}

28



The variables of $\phi_{M,w}$ are $x_{i,j,\sigma}$ for $1 \leq i, j \leq n^k$ and $\sigma \in \Gamma \cup Q$.

$x_{i,j,\sigma} = \text{TRUE}$ means cell i, j contains σ .

$\phi_{M,w}$ “says” a tableau for M on w exists.

$$\phi_{M,w} = \phi_{\text{cell}} \wedge \phi_{\text{start}} \wedge \phi_{\text{move}} \wedge \phi_{\text{acc}}$$

ϕ_{cell} “says” exactly one light is on per cell i.e., exactly one $x_{i,j,\sigma} = \text{TRUE}$ for each i, j .

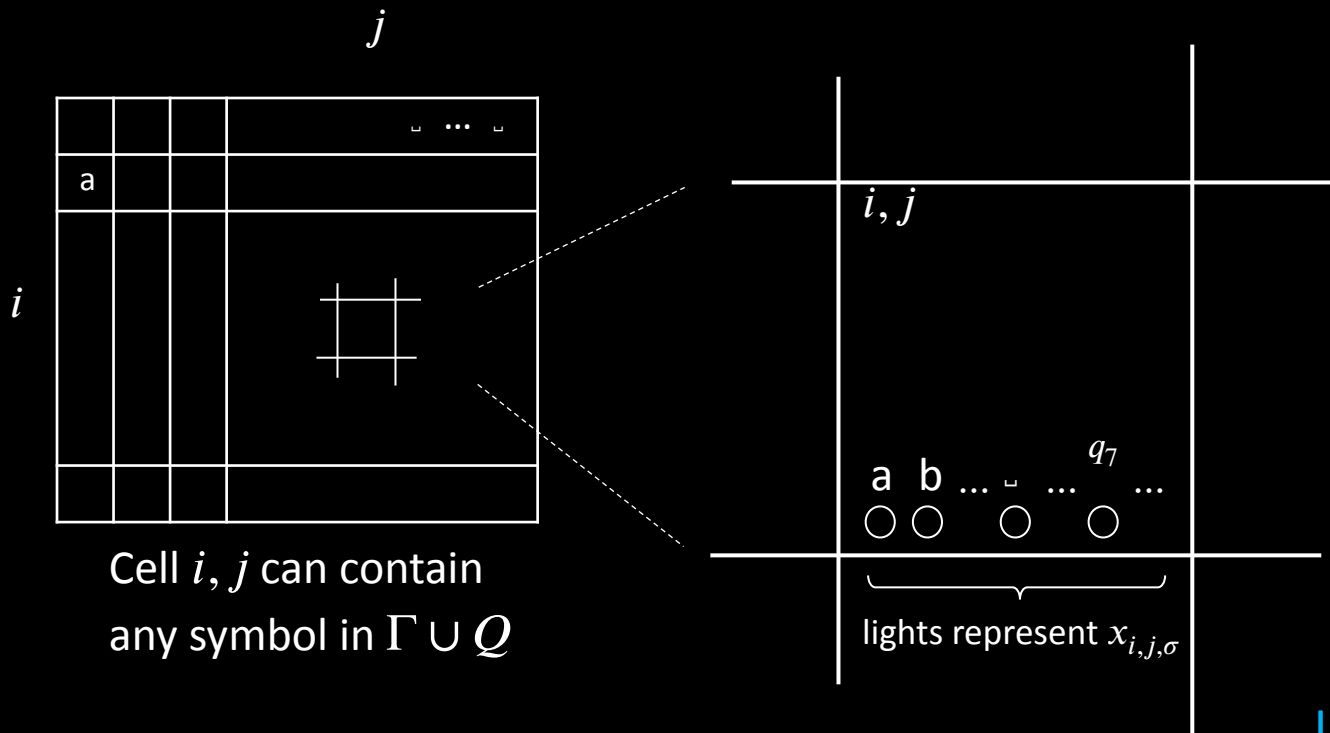
In every cell at least one light and at most one light

$$x_{i,j,\sigma_1} \vee x_{i,j,\sigma_2} \vee \cdots \vee x_{i,j,\sigma_t}$$

$$\phi_{\text{cell}} = \bigwedge_{1 \leq i, j \leq n^k} \left(\bigvee_{\sigma \in \Gamma \cup Q} x_{i,j,\sigma} \wedge \bigwedge_{\substack{\sigma, \tau \in \Gamma \cup Q \\ \sigma \neq \tau}} \left(\overline{x_{i,j,\sigma} \wedge x_{i,j,\tau}} \right) \right)$$

Constructing $\phi_{M,w}$: ϕ_{cell}

28



The variables of $\phi_{M,w}$ are $x_{i,j,\sigma}$ for $1 \leq i, j \leq n^k$ and $\sigma \in \Gamma \cup Q$.

$x_{i,j,\sigma} = \text{TRUE}$ means cell i, j contains σ .

$\phi_{M,w}$ “says” a tableau for M on w exists.

$$\phi_{M,w} = \phi_{\text{cell}} \wedge \phi_{\text{start}} \wedge \phi_{\text{move}} \wedge \phi_{\text{acc}}$$

ϕ_{cell} “says” exactly one light is on per cell i.e., exactly one $x_{i,j,\sigma} = \text{TRUE}$ for each i, j .

In every cell at least one light and at most one light

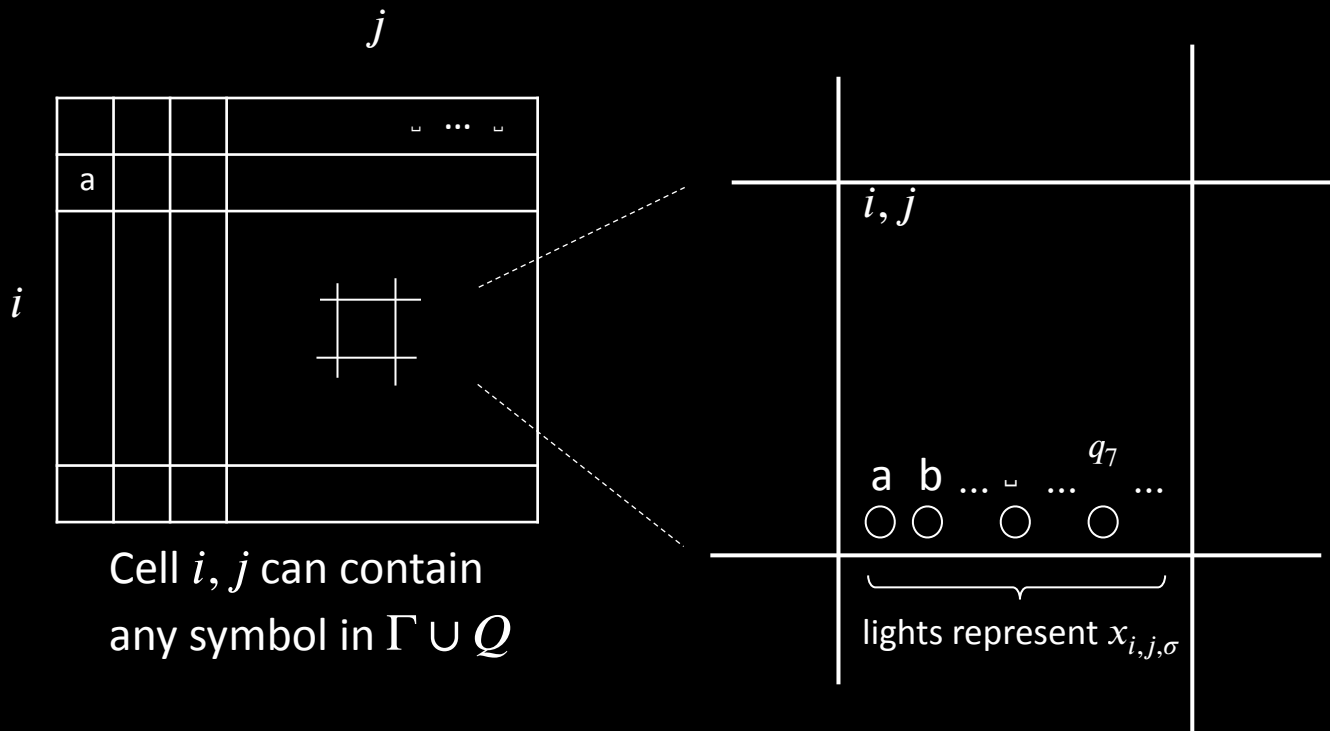
$$x_{i,j,\sigma_1} \vee x_{i,j,\sigma_2} \vee \cdots \vee x_{i,j,\sigma_t}$$

$$\phi_{\text{cell}} = \bigwedge_{1 \leq i, j \leq n^k} \left(\bigvee_{\sigma \in \Gamma \cup Q} x_{i,j,\sigma} \wedge \bigwedge_{\substack{\sigma, \tau \in \Gamma \cup Q \\ \sigma \neq \tau}} \left(\overline{x_{i,j,\sigma} \wedge x_{i,j,\tau}} \right) \right)$$

Check-in 16.2

Constructing $\phi_{M,w}$: ϕ_{cell}

28



Cell i, j can contain
any symbol in $\Gamma \cup Q$

The variables of $\phi_{M,w}$ are $x_{i,j,\sigma}$
for $1 \leq i, j \leq n^k$ and $\sigma \in \Gamma \cup Q$.

$x_{i,j,\sigma} = \text{TRUE}$ means cell i, j contains σ .

Check-in 16.2

How many variables does $\phi_{M,w}$ have?

Recall that $n = |w|$.

- (a) $O(n)$
- (b) $O(n^2)$
- (c) $O(n^k)$
- (d) $O(n^{2k})$

Constructing $\phi_{M,w}$: ϕ_{start} and ϕ_{accept}

29

			□ ... □
a			

← Start configuration

← Accepting configuration

$\phi_{M,w}$ “says” a tableau for M on w exists.

$$\phi_{M,w} = \phi_{\text{cell}} \wedge \phi_{\text{start}} \wedge \phi_{\text{move}} \wedge \phi_{\text{accept}}$$

Constructing $\phi_{M,w}$: ϕ_{start} and ϕ_{accept}

29

			□ ... □
a			

← Start configuration

← Accepting configuration

$\phi_{M,w}$ “says” a tableau for M on w exists.

$$\phi_{M,w} = \phi_{\text{cell}} \wedge \phi_{\text{start}} \wedge \phi_{\text{move}} \wedge \phi_{\text{accept}}$$

ϕ_{cell} done ✓

Constructing $\phi_{M,w}$: ϕ_{start} and ϕ_{accept}

29

			⌊ ... ⌋
a			

← Start configuration

← Accepting configuration

$\phi_{M,w}$ “says” a tableau for M on w exists.

$$\phi_{M,w} = \phi_{\text{cell}} \wedge \phi_{\text{start}} \wedge \phi_{\text{move}} \wedge \phi_{\text{accept}}$$

ϕ_{cell} done ✓

ϕ_{start}

$\phi_{\text{accept}} =$

ϕ_{accept}

1

			⌊ ... ⌊
a			

← Start configuration

← Accepting configuration

$$\phi_{M,w} = \phi_{\text{cell}} \wedge \phi_{\text{start}} \wedge \phi_{\text{move}} \wedge \phi_{\text{accept}}$$

ϕ_{cell} done ✓

$$\phi_{\text{start}} = x_{1,1,q_0}$$
$$\phi_{\text{accept}} =$$

Constructing $\phi_{M,w}$: ϕ_{start} and ϕ_{accept}

29

1

2

			⌊ ... ⌋	← Start configuration
a				
				← Accepting configuration

$\phi_{M,w}$ “says” a tableau for M on w exists.

$$\phi_{M,w} = \phi_{\text{cell}} \wedge \phi_{\text{start}} \wedge \phi_{\text{move}} \wedge \phi_{\text{accept}}$$

ϕ_{cell} done ✓

$$\phi_{\text{start}} = x_{1,1,q_0} \wedge x_{1,2,w_1}$$

$\phi_{\text{accept}} =$

Constructing $\phi_{M,w}$: ϕ_{start} and

29

ϕ_{accept}

	3	...	n^k	
1				← Start configuration
	a			
				← Accepting configuration

$\phi_{M,w}$ “says” a tableau for M on w exists.

$$\phi_{M,w} = \phi_{\text{cell}} \wedge \phi_{\text{start}} \wedge \phi_{\text{move}} \wedge \phi_{\text{accept}}$$

ϕ_{cell} done ✓

$$\phi_{\text{start}} = x_{1,1,q_0} \wedge x_{1,2,w_1} \wedge x_{1,3,w_2} \wedge \cdots \wedge x_{1,n^k,\sqcup}$$

$\phi_{\text{accept}} =$

			$\epsilon \quad \dots \quad \epsilon$	\leftarrow Start configuration \leftarrow Accepting configuration
a				

$\phi_{M,w}$ “says” a tableau for M on w exists.

$$\phi_{M,w} = \phi_{\text{cell}} \wedge \phi_{\text{start}} \wedge \phi_{\text{move}} \wedge \phi_{\text{accept}}$$

ϕ_{cell} done ✓

$$\phi_{\text{start}} = x_{1,1,q_0} \wedge x_{1,2,w_1} \wedge x_{1,3,w_2} \wedge \cdots \wedge x_{1,n^k,\sqcup}$$

$$\phi_{\text{accept}} = \bigvee_{1 \leq j \leq n^k} x_{n^k, j, q_{\text{accept}}}$$

	1	...	n^k
a			Start configuration
...			
n^k			Accepting configuration

$$\phi_{M,w} = \phi_{\text{cell}} \wedge \phi_{\text{start}} \wedge \phi_{\text{move}} \wedge \phi_{\text{accept}}$$

ϕ_{cell} done ✓

$$\phi_{\text{start}} = x_{1,1,q_0} \wedge x_{1,2,w_1} \wedge x_{1,3,w_2} \wedge \cdots \wedge x_{1,n^k,\sqcup}$$

$$\phi_{\text{accept}} = \bigvee_{1 \leq j \leq n^k} x_{n^k, j, q_{\text{accept}}}$$

Constructing $\phi_{M,w}$: ϕ_{move}

30

			⌊ ... ⌋
a			

$\phi_{M,w}$ “says” a tableau for M on w exists.

$$\phi_{M,w} = \phi_{\text{cell}} \wedge \phi_{\text{start}} \wedge \phi_{\text{move}} \wedge \phi_{\text{accept}}$$

Constructing $\phi_{M,w}$: ϕ_{move}

30

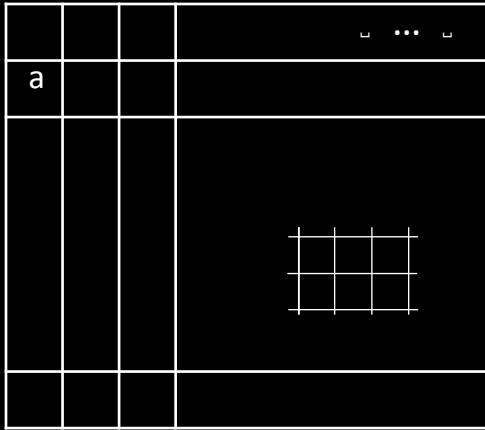
			$\vdash \dots \vdash$
a			

$\phi_{M,w}$ “says” a tableau for M on w exists.

$$\phi_{M,w} = \underbrace{\phi_{\text{cell}}}_{\checkmark} \wedge \underbrace{\phi_{\text{start}}}_{\checkmark} \wedge \phi_{\text{move}} \wedge \underbrace{\phi_{\text{accept}}}_{\checkmark}$$

Constructing $\phi_{M,w}$: ϕ_{move}

30

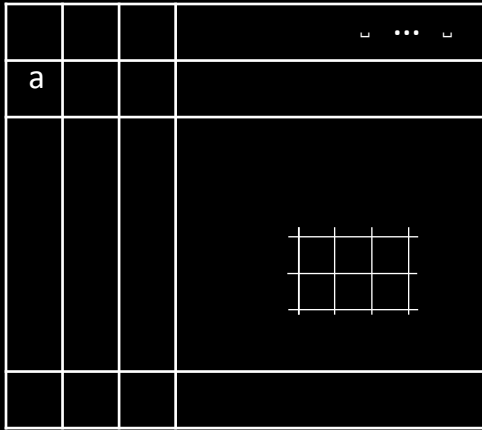


$\phi_{M,w}$ “says” a tableau for M on w exists.

$$\phi_{M,w} = \underbrace{\phi_{\text{cell}}}_{\checkmark} \wedge \underbrace{\phi_{\text{start}}}_{\checkmark} \wedge \phi_{\text{move}} \wedge \underbrace{\phi_{\text{accept}}}_{\checkmark}$$

Constructing $\phi_{M,w}$: ϕ_{move}

30



2×3 neighborhood

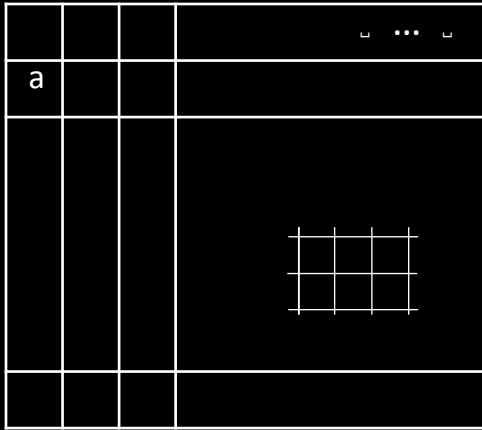


$\phi_{M,w}$ “says” a tableau for M on w exists.

$$\phi_{M,w} = \underbrace{\phi_{\text{cell}}}_{\checkmark} \wedge \underbrace{\phi_{\text{start}}}_{\checkmark} \wedge \phi_{\text{move}} \wedge \underbrace{\phi_{\text{accept}}}_{\checkmark}$$

Constructing $\phi_{M,w}$: ϕ_{move}

30



2×3 neighborhood



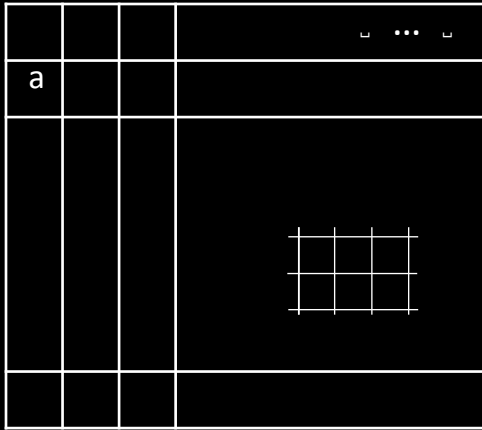
Legal neighborhoods: consistent with M 's transition function

$\phi_{M,w}$ “says” a tableau for M on w exists.

$$\phi_{M,w} = \underbrace{\phi_{\text{cell}}}_{\checkmark} \wedge \underbrace{\phi_{\text{start}}}_{\checkmark} \wedge \phi_{\text{move}} \wedge \underbrace{\phi_{\text{accept}}}_{\checkmark}$$

Constructing $\phi_{M,w}$: ϕ_{move}

30

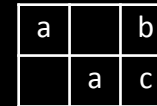


2×3 neighborhood



Legal neighborhoods: consistent with M 's transition function

potential
examples:

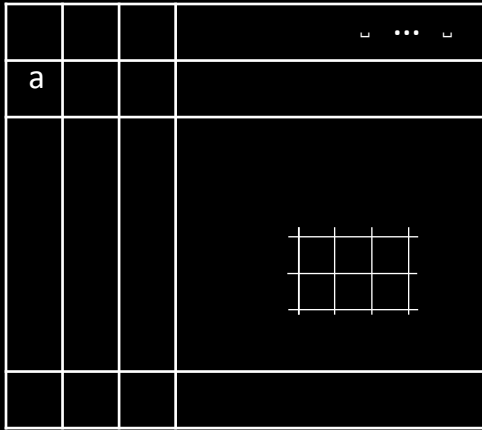


$\phi_{M,w}$ “says” a tableau for M on w exists.

$$\phi_{M,w} = \underbrace{\phi_{\text{cell}}}_{\checkmark} \wedge \underbrace{\phi_{\text{start}}}_{\checkmark} \wedge \phi_{\text{move}} \wedge \underbrace{\phi_{\text{accept}}}_{\checkmark}$$

Constructing $\phi_{M,w}$: ϕ_{move}

30



2×3 neighborhood



Legal neighborhoods: consistent with M 's transition function

potential
examples:

a		b
	a	c

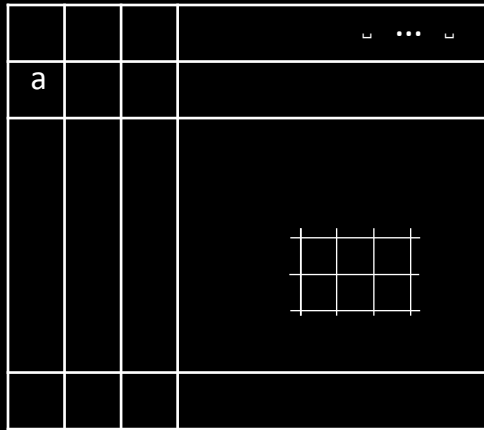
a	b	c
a	b	c

$\phi_{M,w}$ “says” a tableau for M on w exists.

$$\phi_{M,w} = \underset{\checkmark}{\phi_{\text{cell}}} \wedge \underset{\checkmark}{\phi_{\text{start}}} \wedge \phi_{\text{move}} \wedge \underset{\checkmark}{\phi_{\text{accept}}}$$

Constructing $\phi_{M,w}$: ϕ_{move}

30

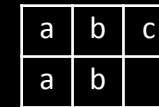
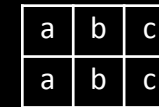
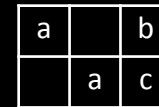


2×3 neighborhood



Legal neighborhoods: consistent with M 's transition function

potential
examples:

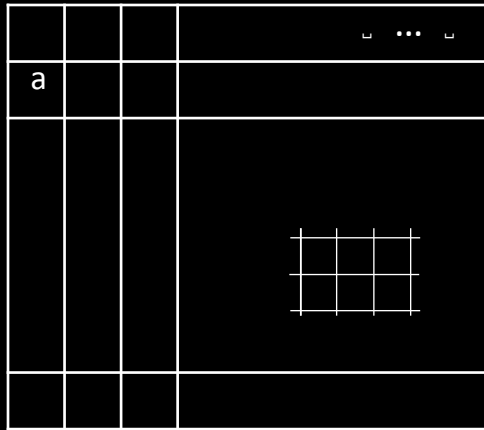


$\phi_{M,w}$ “says” a tableau for M on w exists.

$$\phi_{M,w} = \underbrace{\phi_{\text{cell}}}_{\checkmark} \wedge \underbrace{\phi_{\text{start}}}_{\checkmark} \wedge \phi_{\text{move}} \wedge \underbrace{\phi_{\text{accept}}}_{\checkmark}$$

Constructing $\phi_{M,w}$: ϕ_{move}

30



2×3 neighborhood



Legal neighborhoods: consistent with M 's transition function

potential
examples:

a		b
	a	c

a	b	c
a	b	c

a	b	c
a	b	

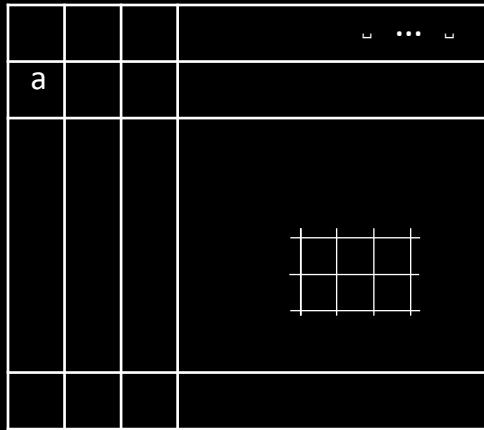
a	b	c
d	b	c

$\phi_{M,w}$ “says” a tableau for M on w exists.

$$\phi_{M,w} = \underset{\checkmark}{\phi_{\text{cell}}} \wedge \underset{\checkmark}{\phi_{\text{start}}} \wedge \phi_{\text{move}} \wedge \underset{\checkmark}{\phi_{\text{accept}}}$$

Constructing $\phi_{M,w}$: ϕ_{move}

30



2×3 neighborhood



Legal neighborhoods: consistent with M 's transition function

potential

examples:

a		b
	a	c

a	b	c
a	b	c

a	b	c
a	b	

a	b	c
d	b	c

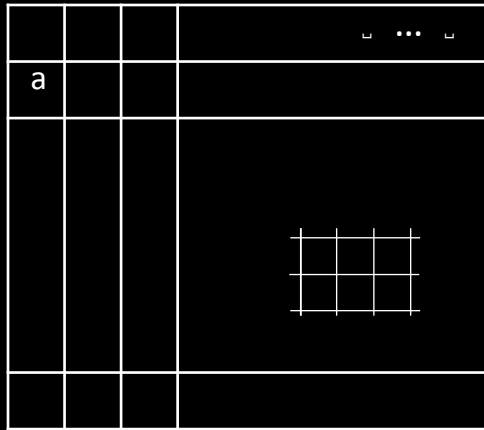
Illegal neighborhoods: not consistent with M 's transition function

$\phi_{M,w}$ "says" a tableau for M on w exists.

$$\phi_{M,w} = \underset{\checkmark}{\phi_{\text{cell}}} \wedge \underset{\checkmark}{\phi_{\text{start}}} \wedge \phi_{\text{move}} \wedge \underset{\checkmark}{\phi_{\text{accept}}}$$

Constructing $\phi_{M,w}$: ϕ_{move}

30



2×3 neighborhood



Legal neighborhoods: consistent with M 's transition function

potential
examples:

a		b
	a	c

a	b	c
a	b	c

a	b	c
a	b	

a	b	c
d	b	c

Illegal neighborhoods: not consistent with M 's transition function

examples:

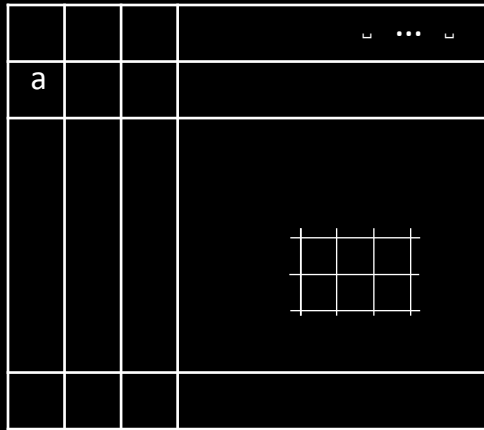
a	b	c
a	d	c

$\phi_{M,w}$ "says" a tableau for M on w exists.

$$\phi_{M,w} = \underset{\checkmark}{\phi_{\text{cell}}} \wedge \underset{\checkmark}{\phi_{\text{start}}} \wedge \phi_{\text{move}} \wedge \underset{\checkmark}{\phi_{\text{accept}}}$$

Constructing $\phi_{M,w}$: ϕ_{move}

30



2×3 neighborhood



Legal neighborhoods: consistent with M 's transition function

potential
examples:

a		b
	a	c

a	b	c
a	b	c

a	b	c
a	b	

a	b	c
d	b	c

Illegal neighborhoods: not consistent with M 's transition function

examples:

a	b	c
a	d	c

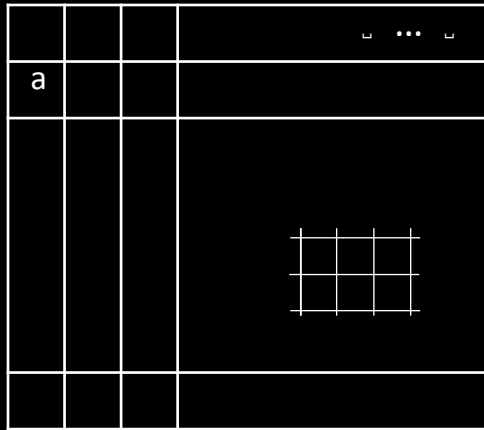
a	b	c
a		c

$\phi_{M,w}$ "says" a tableau for M on w exists.

$$\phi_{M,w} = \underbrace{\phi_{\text{cell}}}_{\checkmark} \wedge \underbrace{\phi_{\text{start}}}_{\checkmark} \wedge \phi_{\text{move}} \wedge \underbrace{\phi_{\text{accept}}}_{\checkmark}$$

Constructing $\phi_{M,w}$: ϕ_{move}

30



2×3 neighborhood



Legal neighborhoods: consistent with M 's transition function

potential
examples:

a		b
	a	c

a	b	c
a	b	c

a	b	c
a	b	

a	b	c
d	b	c

Illegal neighborhoods: not consistent with M 's transition function

examples:

a	b	c
a	d	c

a	b	c
a		c

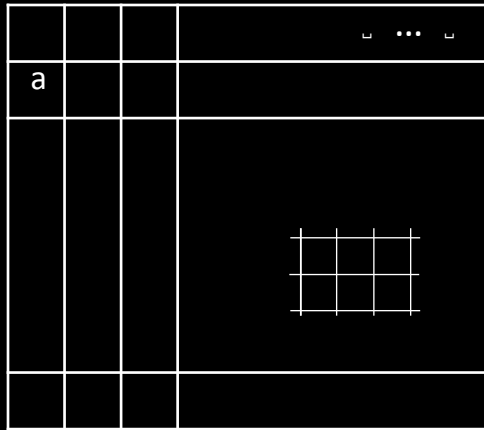
a		c
a	b	c

$\phi_{M,w}$ "says" a tableau for M on w exists.

$$\phi_{M,w} = \underbrace{\phi_{\text{cell}}}_{\checkmark} \wedge \underbrace{\phi_{\text{start}}}_{\checkmark} \wedge \phi_{\text{move}} \wedge \underbrace{\phi_{\text{accept}}}_{\checkmark}$$

Constructing $\phi_{M,w}$: ϕ_{move}

30



2×3 neighborhood



Legal neighborhoods: consistent with M 's transition function

potential
examples:

a		b
	a	c

a	b	c
a	b	c

a	b	c
a	b	

a	b	c
d	b	c

Illegal neighborhoods: not consistent with M 's transition function

examples:

a	b	c
a	d	c

a	b	c
a		c

a		c
a	b	c

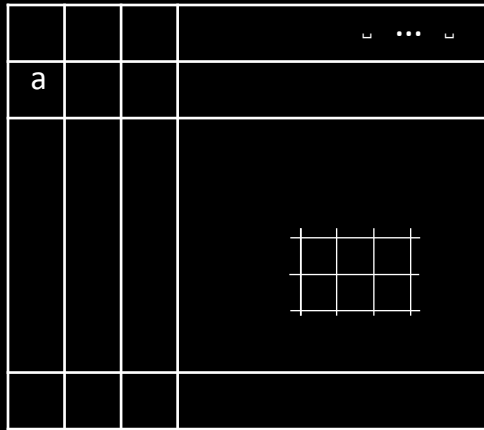
a		c
	d	

$\phi_{M,w}$ "says" a tableau for M on w exists.

$$\phi_{M,w} = \underset{\checkmark}{\phi_{\text{cell}}} \wedge \underset{\checkmark}{\phi_{\text{start}}} \wedge \phi_{\text{move}} \wedge \underset{\checkmark}{\phi_{\text{accept}}}$$

Constructing $\phi_{M,w}$: ϕ_{move}

30



2×3 neighborhood



Legal neighborhoods: consistent with M 's transition function

potential
examples:

a		b
	a	c

a	b	c
a	b	c

a	b	c
a	b	

a	b	c
d	b	c

Illegal neighborhoods: not consistent with M 's transition function

examples:

a	b	c
a	d	c

a	b	c
a		c

a		c
a	b	c

a		c
	d	

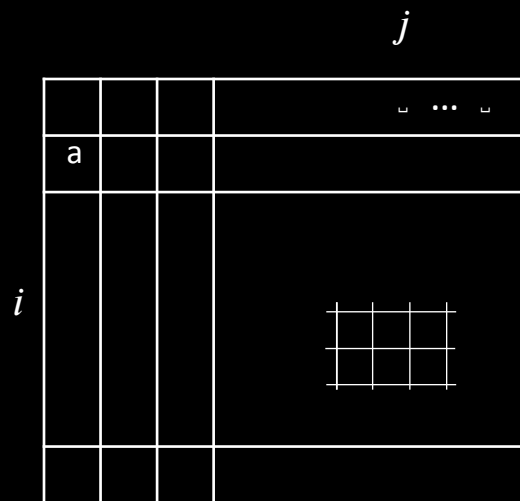
$\phi_{M,w}$ "says" a tableau for M on w exists.

$$\phi_{M,w} = \underset{\checkmark}{\phi_{\text{cell}}} \wedge \underset{\checkmark}{\phi_{\text{start}}} \wedge \phi_{\text{move}} \wedge \underset{\checkmark}{\phi_{\text{accept}}}$$

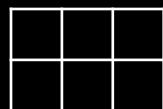
Claim: If every 2×3 neighborhood is legal then tableau corresponds to a computation history.

Constructing $\phi_{M,w}$: ϕ_{move}

30



2×3 neighborhood



Legal neighborhoods: consistent with M 's transition function

potential

examples:

a		b
	a	c

a	b	c
a	b	c

a	b	c
a	b	

a	b	c
d	b	c

Illegal neighborhoods: not consistent with M 's transition function

examples:

a	b	c
a	d	c

a	b	c
a		c

a		c
a	b	c

a		c
	d	

$\phi_{M,w}$ "says" a tableau for M on w exists.

$$\phi_{M,w} = \underbrace{\phi_{\text{cell}}}_{\checkmark} \wedge \underbrace{\phi_{\text{start}}}_{\checkmark} \wedge \phi_{\text{move}} \wedge \underbrace{\phi_{\text{accept}}}_{\checkmark}$$

Claim: If every 2×3 neighborhood is legal then tableau corresponds to a computation history.

$$\bigvee \left(x_{i,j-1,r} \wedge x_{i,j,s} \wedge x_{i,j+1,t} \wedge x_{i+1,j-1,v} \wedge x_{i+1,j,y} \wedge x_{i+1,j+1,z} \right)$$

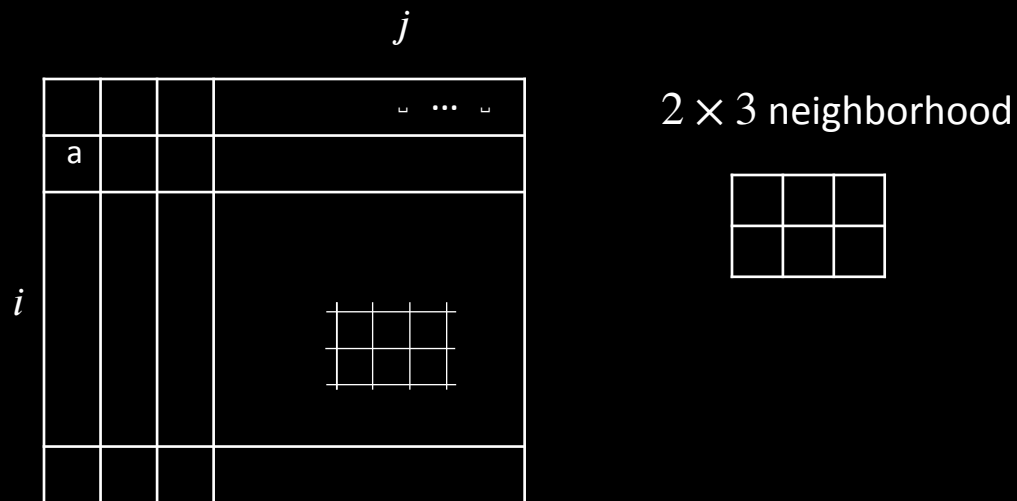
Legal

r	s	t
v	y	z

Says that the neighborhood at i, j is legal

Constructing $\phi_{M,w}$: ϕ_{move}

30



Legal neighborhoods: consistent with M 's transition function

potential examples:

a		b
	a	c

a	b	c
a	b	c

a	b	c
a	b	

a	b	c
d	b	c

Illegal neighborhoods: not consistent with M 's transition function

examples:

a	b	c
a	d	c

a	b	c
a		c

a		c
a	b	c

a		c
	d	

$\phi_{M,w}$ "says" a tableau for M on w exists.

$$\phi_{M,w} = \underbrace{\phi_{\text{cell}}}_{\checkmark} \wedge \underbrace{\phi_{\text{start}}}_{\checkmark} \wedge \underbrace{\phi_{\text{move}}}_{\checkmark} \wedge \phi_{\text{accept}}$$

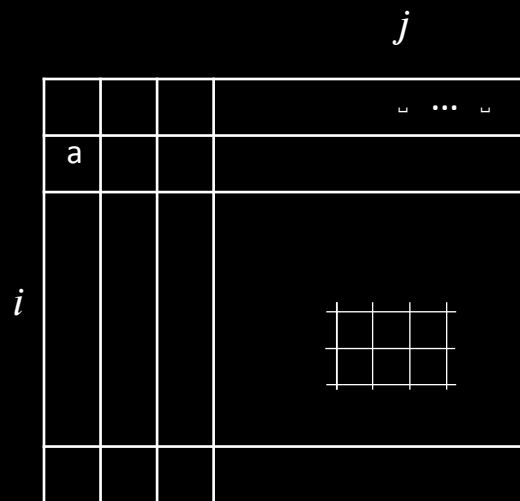
Claim: If every 2×3 neighborhood is legal then tableau corresponds to a computation history.

$$\bigwedge_{1 \leq i, j \leq n^k} \left(\bigvee_{\substack{\text{Legal} \\ \begin{array}{|c|c|c|} \hline r & s & t \\ \hline v & y & z \\ \hline \end{array}}} \left(x_{i,j-1,r} \wedge x_{i,j,s} \wedge x_{i,j+1,t} \wedge x_{i+1,j-1,v} \wedge x_{i+1,j,y} \wedge x_{i+1,j+1,z} \right) \right)$$

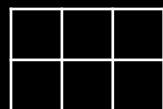
Says that the neighborhood at i, j is legal

Constructing $\phi_{M,w}$: ϕmove

30



2×3 neighborhood



Legal neighborhoods: consistent with M 's transition function

potential

examples:

a		b
	a	c

a	b	c
a	b	c

a	b	c
a	b	

a	b	c
d	b	c

Illegal neighborhoods: not consistent with M 's transition function

examples:

a	b	c
a	d	c

a	b	c
a		c

a		c
a	b	c

a		c
	d	

$\phi_{M,w}$ "says" a tableau for M on w exists.

$$\phi_{M,w} = \underbrace{\phi_{\text{cell}}}_{\checkmark} \wedge \underbrace{\phi_{\text{start}}}_{\checkmark} \wedge \phi_{\text{move}} \wedge \underbrace{\phi_{\text{accept}}}_{\checkmark}$$

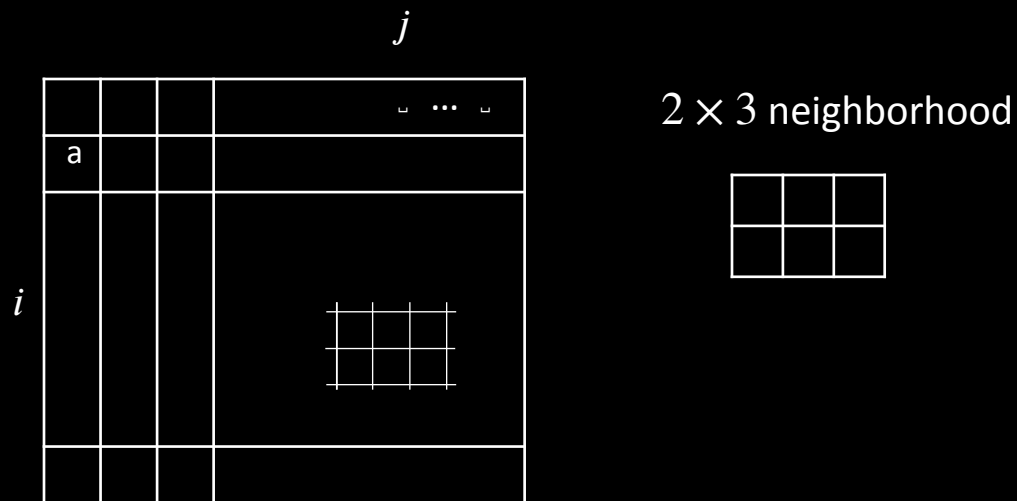
Claim: If every 2×3 neighborhood is legal then tableau corresponds to a computation history.

$$\phi_{\text{move}} = \bigwedge_{1 \leq i, j \leq n^k} \left(\bigvee_{\substack{\text{Legal} \\ \begin{array}{|c|c|c|} \hline r & s & t \\ \hline v & y & z \\ \hline \end{array}}} \left(x_{i,j-1,r} \wedge x_{i,j,s} \wedge x_{i,j+1,t} \wedge x_{i+1,j-1,v} \wedge x_{i+1,j,y} \wedge x_{i+1,j+1,z} \right) \right)$$

Says that the neighborhood at i, j is legal

Constructing $\phi_{M,w}$: ϕmove

30



Legal neighborhoods: consistent with M 's transition function

potential examples:

a		b
	a	c

a	b	c
a	b	c

a	b	c
a	b	

a	b	c
d	b	c

Illegal neighborhoods: not consistent with M 's transition function

examples:

a	b	c
a	d	c

a	b	c
a		c

a		c
a	b	c

a		c
	d	

$\phi_{M,w}$ "says" a tableau for M on w exists.

$$\phi_{M,w} = \underbrace{\phi_{\text{cell}}}_{\checkmark} \wedge \underbrace{\phi_{\text{start}}}_{\checkmark} \wedge \phi_{\text{move}} \wedge \underbrace{\phi_{\text{accept}}}_{\checkmark}$$

Claim: If every 2×3 neighborhood is legal then tableau corresponds to a computation history.

$$\phi_{\text{move}} = \bigwedge_{1 \leq i, j < n^k} \left(\bigvee_{\substack{\text{Legal} \\ \begin{array}{|c|c|c|} \hline r & s & t \\ \hline v & y & z \\ \hline \end{array}}} \left(x_{i,j-1,r} \wedge x_{i,j,s} \wedge x_{i,j+1,t} \wedge x_{i+1,j-1,v} \wedge x_{i+1,j,y} \wedge x_{i+1,j+1,z} \right) \right)$$

Says that the neighborhood at i, j is legal

31

Summary:

$$f: \Sigma^* \rightarrow \text{formulas}$$

$$f(w) = \langle \phi_{M,w} \rangle$$

$$w \in A \text{ iff } \phi_{M,w} \text{ is satisfiable.}$$

31

Summary:

$$f: \Sigma^* \rightarrow \text{formulas}$$

$$f(w) = \langle \phi_{M,w} \rangle$$

$$w \in A \text{ iff } \phi_{M,w} \text{ is satisfiable.}$$

$$\phi_{M,w} = \phi_{\text{cell}} \wedge \phi_{\text{start}} \wedge \phi_{\text{move}} \wedge \phi_{\text{accept}}$$

Conclusion: *SAT* is NP-complete

31

			...
a			

Summary:

For $A \in \text{NP}$, decided by NTM M ,
we gave a reduction f from A to *SAT*:

$$f: \Sigma^* \rightarrow \text{formulas}$$

$$f(w) = \langle \phi_{M,w} \rangle$$

$w \in A$ iff $\phi_{M,w}$ is satisfiable.

$$\phi_{M,w} = \phi_{\text{cell}} \wedge \phi_{\text{start}} \wedge \phi_{\text{move}} \wedge \phi_{\text{accept}}$$

The size of $\phi_{M,w}$ is roughly the size of the tableau
for M on w , so size is $O(n^k \times n^k) = O(n^{2k})$.

Conclusion: SAT is NP-complete

31

n^k			
			□ ... □
a			

Summary:

For $A \in \text{NP}$, decided by NTM M ,
we gave a reduction f from A to SAT :

$$f: \Sigma^* \rightarrow \text{formulas}$$

$$f(w) = \langle \phi_{M,w} \rangle$$

$w \in A$ iff $\phi_{M,w}$ is satisfiable.

$$\phi_{M,w} = \phi_{\text{cell}} \wedge \phi_{\text{start}} \wedge \phi_{\text{move}} \wedge \phi_{\text{accept}}$$

The size of $\phi_{M,w}$ is roughly the size of the tableau
for M on w , so size is $O(n^k \times n^k) = O(n^{2k})$.

Therefore f is computable in polynomial time.

31

$$n^k$$

For $A \in \text{NP}$, decided by NTM M ,
we gave a reduction f from A to SAT :

$$f(w) = \langle \phi_{M,w} \rangle$$
$$w \in A \text{ iff } \phi_{M,w} \text{ is satisfiable.}$$

$$\phi_{M,w} = \phi_{\text{cell}} \wedge \phi_{\text{start}} \wedge \phi_{\text{move}} \wedge \phi_{\text{accept}}$$

The size of $\phi_{M,w}$ is roughly the size of the tableau for M on w , so size is $O(n^k \times n^k) = O(n^{2k})$.

Therefore f is computable in polynomial time.

$3SAT$ is NP-complete

32

Theorem: $3SAT$ is NP-complete

Proof: Show $SAT \leq_p 3SAT$

$3SAT$ is NP-complete

32

Theorem: $3SAT$ is NP-complete

Proof: Show $SAT \leq_p 3SAT$

Give reduction f converting formula ϕ to 3CNF formula ϕ' , preserving satisfiability.

$3SAT$ is NP-complete

32

Theorem: $3SAT$ is NP-complete

Proof: Show $SAT \leq_p 3SAT$

Give reduction f converting formula ϕ to 3CNF formula ϕ' , preserving satisfiability.

(Note: ϕ and ϕ' are not logically equivalent)

$3SAT$ is NP-complete

32

Theorem: $3SAT$ is NP-complete

Proof: Show $SAT \leq_p 3SAT$

Give reduction f converting formula ϕ to 3CNF formula ϕ' , preserving satisfiability.

(Note: ϕ and ϕ' are not logically equivalent)

Example: Say $\phi = ((a \wedge b) \vee c) \wedge (\bar{a} \vee b)$

$3SAT$ is NP-complete

32

Theorem: $3SAT$ is NP-complete

Proof: Show $SAT \leq_p 3SAT$

Give reduction f converting formula ϕ to 3CNF formula ϕ' , preserving satisfiability.

(Note: ϕ and ϕ' are not logically equivalent)

Example: Say $\phi = ((a \wedge b) \vee c) \wedge (\bar{a} \vee b)$

Tree structure for ϕ :

3SAT is NP-complete

32

Theorem: 3SAT is NP-complete

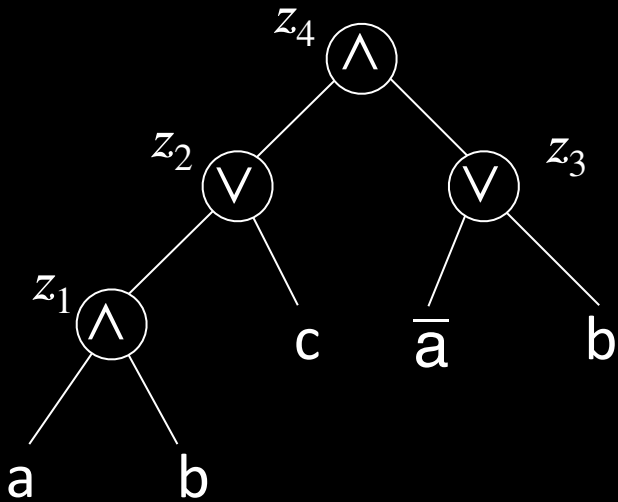
Proof: Show $SAT \leq_P 3SAT$

Give reduction f converting formula ϕ to 3CNF formula ϕ' , preserving satisfiability.

(Note: ϕ and ϕ' are not logically equivalent)

Example: Say $\phi = ((a \wedge b) \vee c) \wedge (\bar{a} \vee b)$

Tree structure for ϕ :



3SAT is NP-complete

32

Theorem: 3SAT is NP-complete

Proof: Show $SAT \leq_P 3SAT$

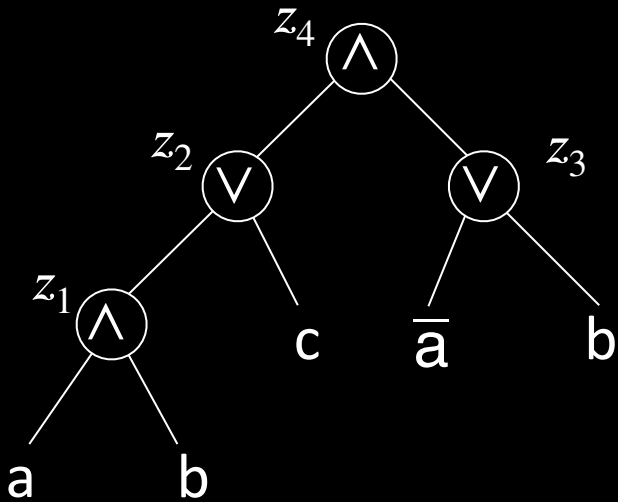
Give reduction f converting formula ϕ to 3CNF formula ϕ' , preserving satisfiability.

(Note: ϕ and ϕ' are not logically equivalent)

Example: Say $\phi = ((a \wedge b) \vee c) \wedge (\bar{a} \vee b)$

Tree structure for ϕ :

Logical equivalence: $(A \rightarrow B)$ and $(\bar{A} \vee B)$ $\overline{(A \wedge B)}$ and $(\bar{A} \vee \bar{B})$



3SAT is NP-complete

32

Theorem: 3SAT is NP-complete

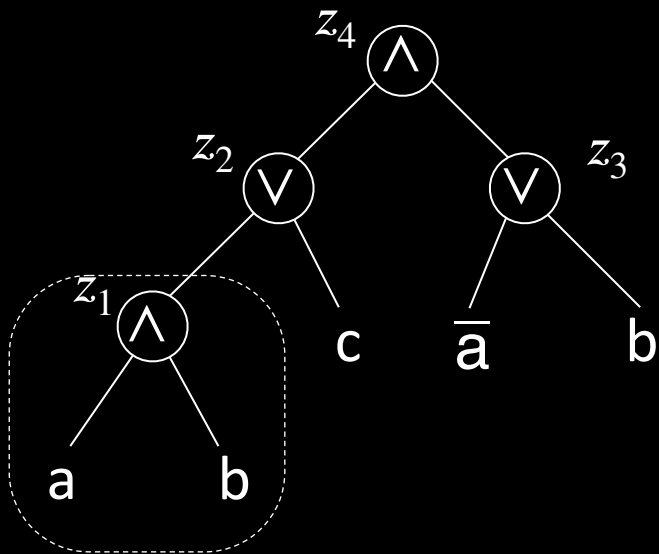
Proof: Show $SAT \leq_P 3SAT$

Give reduction f converting formula ϕ to 3CNF formula ϕ' , preserving satisfiability.

(Note: ϕ and ϕ' are not logically equivalent)

Example: Say $\phi = ((a \wedge b) \vee c) \wedge (\bar{a} \vee b)$

Tree structure for ϕ :



Logical equivalence: $(A \rightarrow B)$ and $(\bar{A} \vee B)$ $\overline{(A \wedge B)}$ and $(\bar{A} \vee \bar{B})$

3SAT is NP-complete

a	b	a \wedge b = c	
1	1	1	$(a \wedge b) \rightarrow c$ ³²
0	1	0	$(\bar{a} \wedge b) \rightarrow \bar{c}$
1	0	0	$(a \wedge \bar{b}) \rightarrow \bar{c}$
0	0	0	$(\bar{a} \wedge \bar{b}) \rightarrow \bar{c}$

Theorem: 3SAT is NP-complete

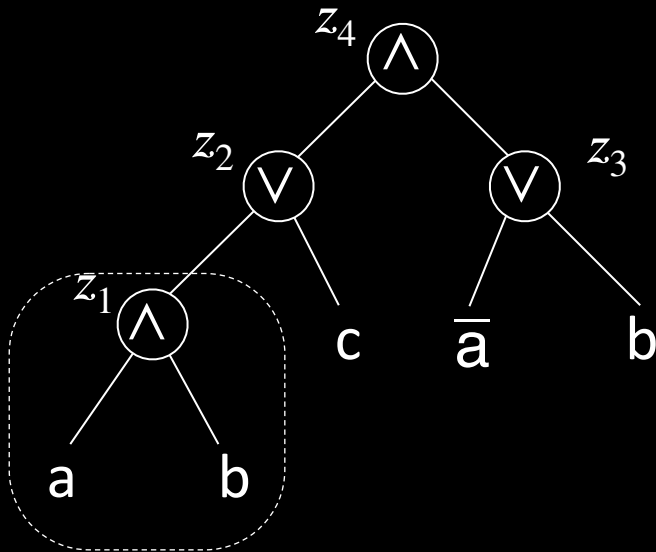
Proof: Show $SAT \leq_P 3SAT$

Give reduction f converting formula ϕ to 3CNF formula ϕ' , preserving satisfiability.

(Note: ϕ and ϕ' are not logically equivalent)

Example: Say $\phi = ((a \wedge b) \vee c) \wedge (\bar{a} \vee b)$

Tree structure for ϕ :



Logical equivalence: $(A \rightarrow B)$ and $(\bar{A} \vee B)$ $\overline{(A \wedge B)}$ and $(\bar{A} \vee \bar{B})$

3SAT is NP-complete

a	b	a \wedge b = c	
1	1	1	$(a \wedge b) \rightarrow c$ ³²
0	1	0	$(\bar{a} \wedge b) \rightarrow \bar{c}$
1	0	0	$(a \wedge \bar{b}) \rightarrow \bar{c}$
0	0	0	$(\bar{a} \wedge \bar{b}) \rightarrow \bar{c}$

Theorem: 3SAT is NP-complete

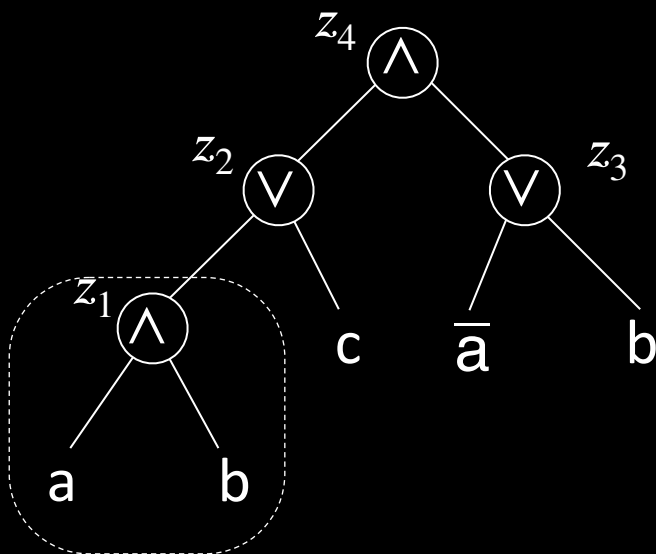
Proof: Show $SAT \leq_P 3SAT$

Give reduction f converting formula ϕ to 3CNF formula ϕ' , preserving satisfiability.

(Note: ϕ and ϕ' are not logically equivalent)

Example: Say $\phi = ((a \wedge b) \vee c) \wedge (\bar{a} \vee b)$

Tree structure for ϕ :



Logical equivalence: $(A \rightarrow B)$ and $(\bar{A} \vee B)$ $\overline{(A \wedge B)}$ and $(\bar{A} \vee \bar{B})$

$$\phi' = ((a \wedge b) \rightarrow z_1) \wedge ((\bar{a} \wedge b) \rightarrow \bar{z}_1) \wedge ((a \wedge \bar{b}) \rightarrow \bar{z}_1) \wedge ((\bar{a} \wedge \bar{b}) \rightarrow \bar{z}_1)$$

3SAT is NP-complete

a	b	a ∧ b = c	
1	1	1	$(a \wedge b) \rightarrow c$ ³²
0	1	0	$(\bar{a} \wedge b) \rightarrow \bar{c}$
1	0	0	$(a \wedge \bar{b}) \rightarrow \bar{c}$
0	0	0	$(\bar{a} \wedge \bar{b}) \rightarrow \bar{c}$

Theorem: 3SAT is NP-complete

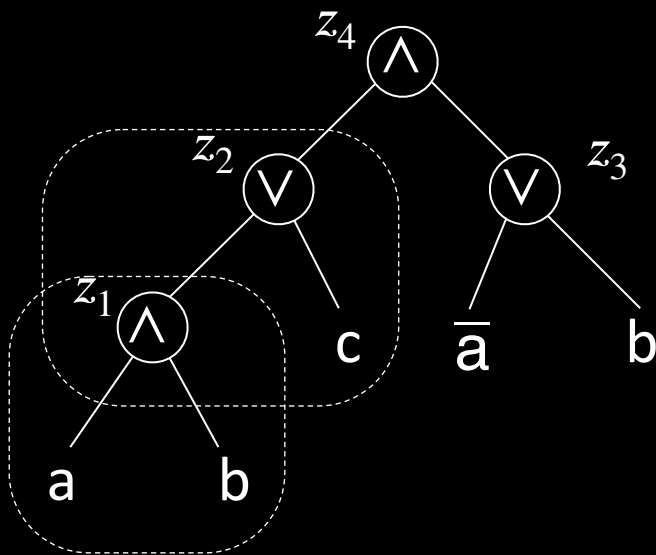
Proof: Show $SAT \leq_P 3SAT$

Give reduction f converting formula ϕ to 3CNF formula ϕ' , preserving satisfiability.

(Note: ϕ and ϕ' are not logically equivalent)

Example: Say $\phi = ((a \wedge b) \vee c) \wedge (\bar{a} \vee b)$

Tree structure for ϕ :



Logical equivalence: $(A \rightarrow B)$ and $(\bar{A} \vee B)$ $\overline{(A \wedge B)}$ and $(\bar{A} \vee \bar{B})$

$$\phi' = ((a \wedge b) \rightarrow z_1) \wedge ((\bar{a} \wedge b) \rightarrow \bar{z}_1) \wedge ((a \wedge \bar{b}) \rightarrow \bar{z}_1) \wedge ((\bar{a} \wedge \bar{b}) \rightarrow \bar{z}_1)$$

3SAT is NP-complete

a	b	$a \vee b = c$	
1	1	1	$(a \wedge b) \rightarrow c$ ³²
0	1	1	$(\bar{a} \wedge b) \rightarrow c$
1	0	1	$(a \wedge \bar{b}) \rightarrow c$
0	0	0	$(\bar{a} \wedge \bar{b}) \rightarrow \bar{c}$

Theorem: 3SAT is NP-complete

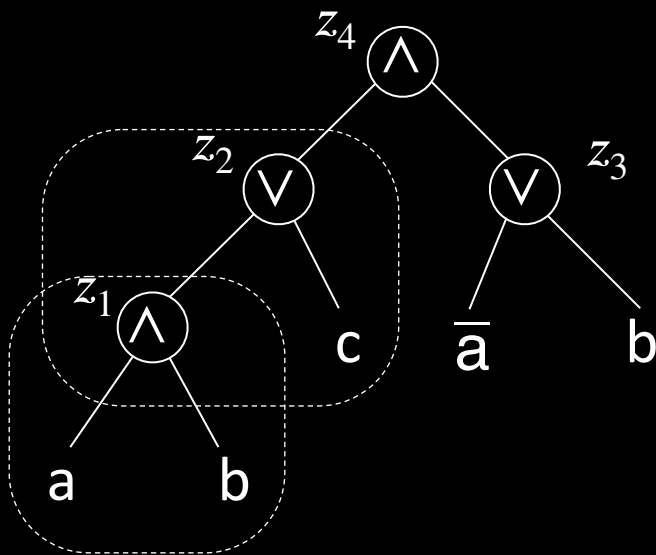
Proof: Show $SAT \leq_P 3SAT$

Give reduction f converting formula ϕ to 3CNF formula ϕ' , preserving satisfiability.

(Note: ϕ and ϕ' are not logically equivalent)

Example: Say $\phi = ((a \wedge b) \vee c) \wedge (\bar{a} \vee b)$

Tree structure for ϕ :



Logical equivalence: $(A \rightarrow B)$ and $(\bar{A} \vee B)$ $\overline{(A \wedge B)}$ and $(\bar{A} \vee \bar{B})$

$$\phi' = ((a \wedge b) \rightarrow z_1) \wedge ((\bar{a} \wedge b) \rightarrow \bar{z}_1) \wedge ((a \wedge \bar{b}) \rightarrow \bar{z}_1) \wedge ((\bar{a} \wedge \bar{b}) \rightarrow \bar{z}_1)$$

3SAT is NP-complete

a	b	$a \vee b = c$	
1	1	1	$(a \wedge b) \rightarrow c$ ³²
0	1	1	$(\bar{a} \wedge b) \rightarrow c$
1	0	1	$(a \wedge \bar{b}) \rightarrow c$
0	0	0	$(\bar{a} \wedge \bar{b}) \rightarrow \bar{c}$

Theorem: 3SAT is NP-complete

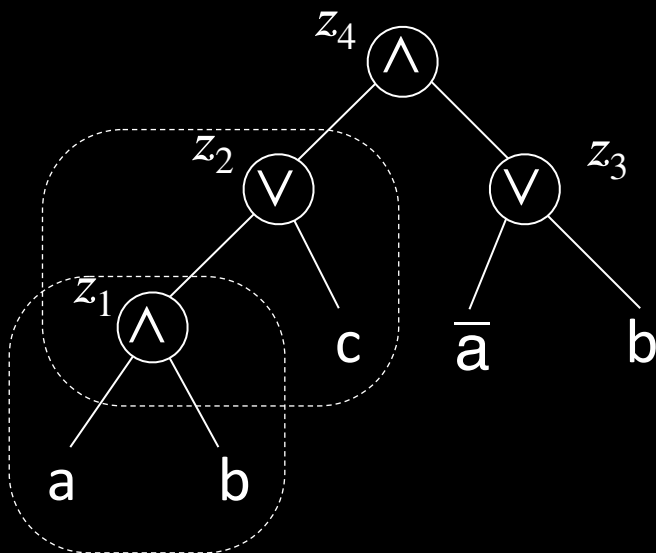
Proof: Show $SAT \leq_P 3SAT$

Give reduction f converting formula ϕ to 3CNF formula ϕ' , preserving satisfiability.

(Note: ϕ and ϕ' are not logically equivalent)

Example: Say $\phi = ((a \wedge b) \vee c) \wedge (\bar{a} \vee b)$

Tree structure for ϕ :



Logical equivalence: $(A \rightarrow B)$ and $(\bar{A} \vee B)$ $\overline{(A \wedge B)}$ and $(\bar{A} \vee \bar{B})$

$$\phi' = \left((a \wedge b) \rightarrow z_1 \right) \wedge \left((\bar{a} \wedge b) \rightarrow \bar{z}_1 \right) \wedge \left((a \wedge \bar{b}) \rightarrow \bar{z}_1 \right) \wedge \left((\bar{a} \wedge \bar{b}) \rightarrow \bar{z}_1 \right) \\ \wedge \left((z_1 \wedge c) \rightarrow z_2 \right) \wedge \left((\bar{z}_1 \wedge c) \rightarrow z_2 \right) \wedge \left((z_1 \wedge \bar{c}) \rightarrow z_2 \right) \wedge \left((\bar{z}_1 \wedge \bar{c}) \rightarrow \bar{z}_2 \right)$$

3SAT is NP-complete

a	b	$a \vee b = c$	
1	1	1	$(a \wedge b) \rightarrow c$ ³²
0	1	1	$(\bar{a} \wedge b) \rightarrow c$
1	0	1	$(a \wedge \bar{b}) \rightarrow c$
0	0	0	$(\bar{a} \wedge \bar{b}) \rightarrow \bar{c}$

Theorem: 3SAT is NP-complete

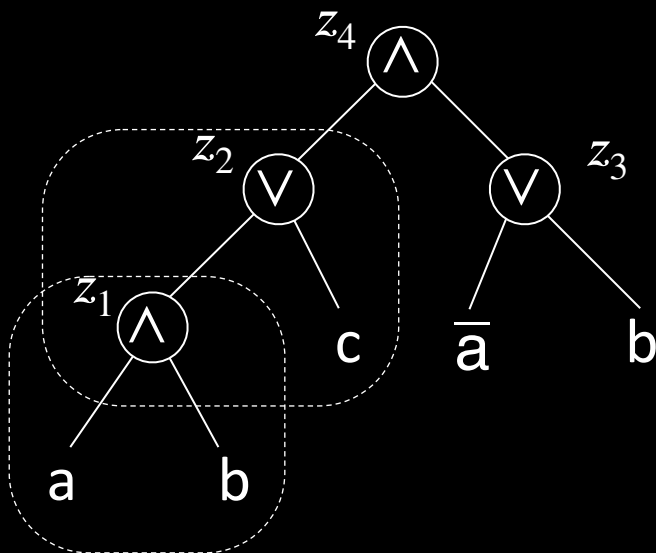
Proof: Show $SAT \leq_P 3SAT$

Give reduction f converting formula ϕ to 3CNF formula ϕ' , preserving satisfiability.

(Note: ϕ and ϕ' are not logically equivalent)

Example: Say $\phi = ((a \wedge b) \vee c) \wedge (\bar{a} \vee b)$

Tree structure for ϕ :



Logical equivalence: $(A \rightarrow B)$ and $(\bar{A} \vee B)$ $\overline{(A \wedge B)}$ and $(\bar{A} \vee \bar{B})$

$$\phi' = \left((a \wedge b) \rightarrow z_1 \right) \wedge \left((\bar{a} \wedge b) \rightarrow \bar{z}_1 \right) \wedge \left((a \wedge \bar{b}) \rightarrow \bar{z}_1 \right) \wedge \left((\bar{a} \wedge \bar{b}) \rightarrow \bar{z}_1 \right) \\ \wedge \left((z_1 \wedge c) \rightarrow z_2 \right) \wedge \left((\bar{z}_1 \wedge c) \rightarrow z_2 \right) \wedge \left((z_1 \wedge \bar{c}) \rightarrow z_2 \right) \wedge \left((\bar{z}_1 \wedge \bar{c}) \rightarrow \bar{z}_2 \right) \\ \vdots \text{ repeat for each } z_i$$

3SAT is NP-complete

a	b	$a \vee b = c$	
1	1	1	$(a \wedge b) \rightarrow c$ ³²
0	1	1	$(\bar{a} \wedge b) \rightarrow c$
1	0	1	$(a \wedge \bar{b}) \rightarrow c$
0	0	0	$(\bar{a} \wedge \bar{b}) \rightarrow \bar{c}$

Theorem: 3SAT is NP-complete

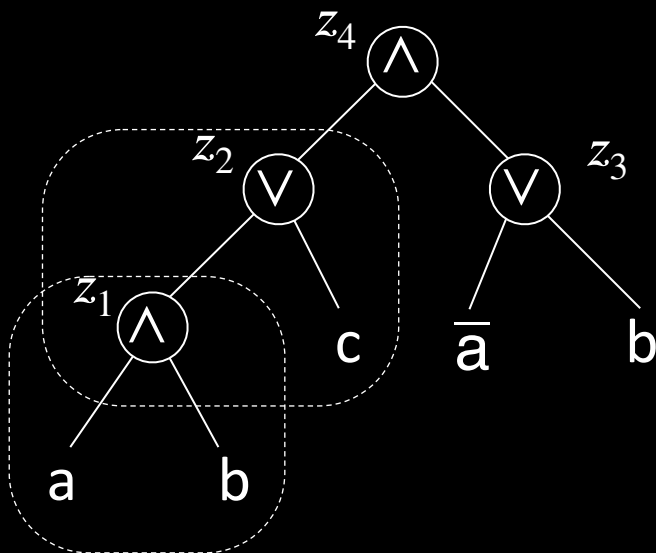
Proof: Show $SAT \leq_P 3SAT$

Give reduction f converting formula ϕ to 3CNF formula ϕ' , preserving satisfiability.

(Note: ϕ and ϕ' are not logically equivalent)

Example: Say $\phi = ((a \wedge b) \vee c) \wedge (\bar{a} \vee b)$

Tree structure for ϕ :



Logical equivalence: $(A \rightarrow B)$ and $(\bar{A} \vee B)$ $\overline{(A \wedge B)}$ and $(\bar{A} \vee \bar{B})$

$$\begin{aligned} \phi' = & \left((a \wedge b) \rightarrow z_1 \right) \wedge \left((\bar{a} \wedge b) \rightarrow \bar{z}_1 \right) \wedge \left((a \wedge \bar{b}) \rightarrow \bar{z}_1 \right) \wedge \left((\bar{a} \wedge \bar{b}) \rightarrow \bar{z}_1 \right) \\ & \wedge \left((z_1 \wedge c) \rightarrow z_2 \right) \wedge \left((\bar{z}_1 \wedge c) \rightarrow z_2 \right) \wedge \left((z_1 \wedge \bar{c}) \rightarrow z_2 \right) \wedge \left((\bar{z}_1 \wedge \bar{c}) \rightarrow \bar{z}_2 \right) \\ & \vdots \text{ repeat for each } z_i \\ & \wedge (z_4) \end{aligned}$$

3SAT is NP-complete

a	b	$a \vee b = c$	
1	1	1	$(a \wedge b) \rightarrow c$ ³²
0	1	1	$(\bar{a} \wedge b) \rightarrow c$
1	0	1	$(a \wedge \bar{b}) \rightarrow c$
0	0	0	$(\bar{a} \wedge \bar{b}) \rightarrow \bar{c}$

Theorem: 3SAT is NP-complete

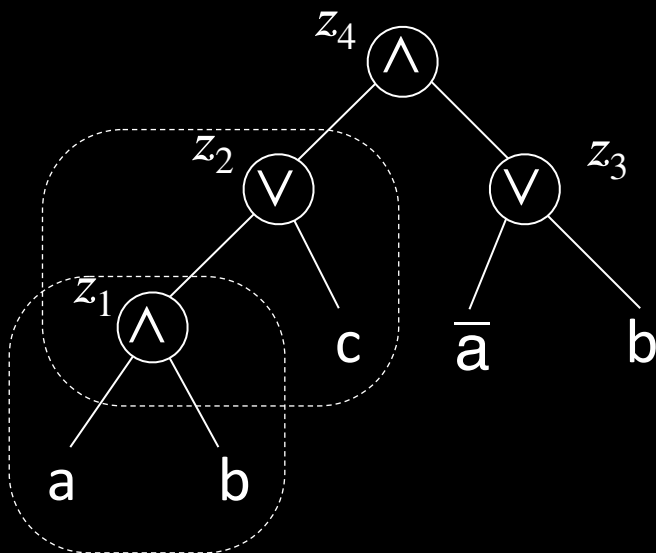
Proof: Show $SAT \leq_P 3SAT$

Give reduction f converting formula ϕ to 3CNF formula ϕ' , preserving satisfiability.

(Note: ϕ and ϕ' are not logically equivalent)

Example: Say $\phi = ((a \wedge b) \vee c) \wedge (\bar{a} \vee b)$

Tree structure for ϕ :



Logical equivalence: $(A \rightarrow B)$ and $(\bar{A} \vee B)$ $\overline{(A \wedge B)}$ and $(\bar{A} \vee \bar{B})$

$$\begin{aligned} \phi' = & \left((a \wedge b) \rightarrow z_1 \right) \wedge \left((\bar{a} \wedge b) \rightarrow \bar{z}_1 \right) \wedge \left((a \wedge \bar{b}) \rightarrow \bar{z}_1 \right) \wedge \left((\bar{a} \wedge \bar{b}) \rightarrow \bar{z}_1 \right) \\ & \wedge \left((z_1 \wedge c) \rightarrow z_2 \right) \wedge \left((\bar{z}_1 \wedge c) \rightarrow z_2 \right) \wedge \left((z_1 \wedge \bar{c}) \rightarrow z_2 \right) \wedge \left((\bar{z}_1 \wedge \bar{c}) \rightarrow \bar{z}_2 \right) \\ & \vdots \text{ repeat for each } z_i \\ & \wedge (z_4) \end{aligned}$$

Observe that $((a \wedge b) \rightarrow c)$ is logically equivalent to $(\bar{a} \vee \bar{b} \vee c)$

3SAT is NP-complete

a	b	$a \vee b = c$	
1	1	1	$(a \wedge b) \rightarrow c$ ³²
0	1	1	$(\bar{a} \wedge b) \rightarrow c$
1	0	1	$(a \wedge \bar{b}) \rightarrow c$
0	0	0	$(\bar{a} \wedge \bar{b}) \rightarrow \bar{c}$

Theorem: 3SAT is NP-complete

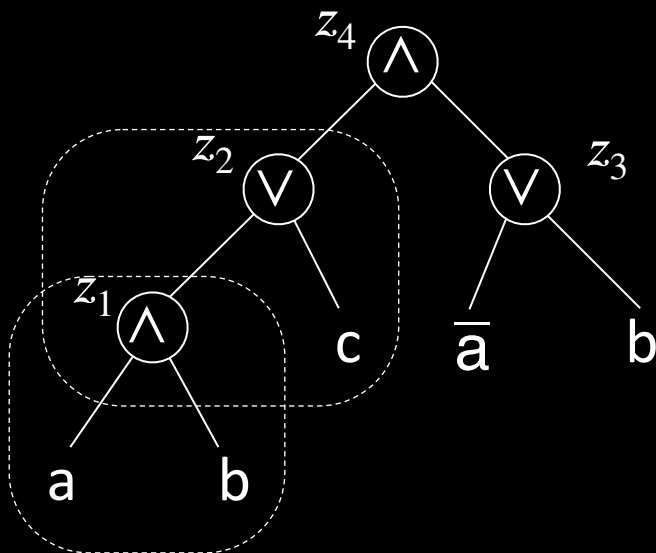
Proof: Show $SAT \leq_P 3SAT$

Give reduction f converting formula ϕ to 3CNF formula ϕ' , preserving satisfiability.

(Note: ϕ and ϕ' are not logically equivalent)

Example: Say $\phi = ((a \wedge b) \vee c) \wedge (\bar{a} \vee b)$

Tree structure for ϕ :



Logical equivalence: $(A \rightarrow B)$ and $(\bar{A} \vee B)$ $\overline{(A \wedge B)}$ and $(\bar{A} \vee \bar{B})$

$$\begin{aligned} \phi' = & \left((a \wedge b) \rightarrow z_1 \right) \wedge \left((\bar{a} \wedge b) \rightarrow \bar{z}_1 \right) \wedge \left((a \wedge \bar{b}) \rightarrow \bar{z}_1 \right) \wedge \left((\bar{a} \wedge \bar{b}) \rightarrow \bar{z}_1 \right) \\ & \wedge \left((z_1 \wedge c) \rightarrow z_2 \right) \wedge \left((\bar{z}_1 \wedge c) \rightarrow z_2 \right) \wedge \left((z_1 \wedge \bar{c}) \rightarrow z_2 \right) \wedge \left((\bar{z}_1 \wedge \bar{c}) \rightarrow \bar{z}_2 \right) \\ & \vdots \text{ repeat for each } z_i \\ & \wedge (z_4) \end{aligned}$$

Observe that $((a \wedge b) \rightarrow c)$ is logically equivalent to $(\bar{a} \vee \bar{b} \vee c)$

$$\left[((a \wedge b) \rightarrow c) \leftrightarrow (\overline{(a \wedge b)} \vee c) \leftrightarrow ((\bar{a} \vee \bar{b}) \vee c) \leftrightarrow (\bar{a} \vee \bar{b} \vee c) \right]$$

3SAT is NP-complete

a	b	$a \vee b = c$	
1	1	1	$(a \wedge b) \rightarrow c$ ³²
0	1	1	$(\bar{a} \wedge b) \rightarrow c$
1	0	1	$(a \wedge \bar{b}) \rightarrow c$
0	0	0	$(\bar{a} \wedge \bar{b}) \rightarrow \bar{c}$

Theorem: 3SAT is NP-complete

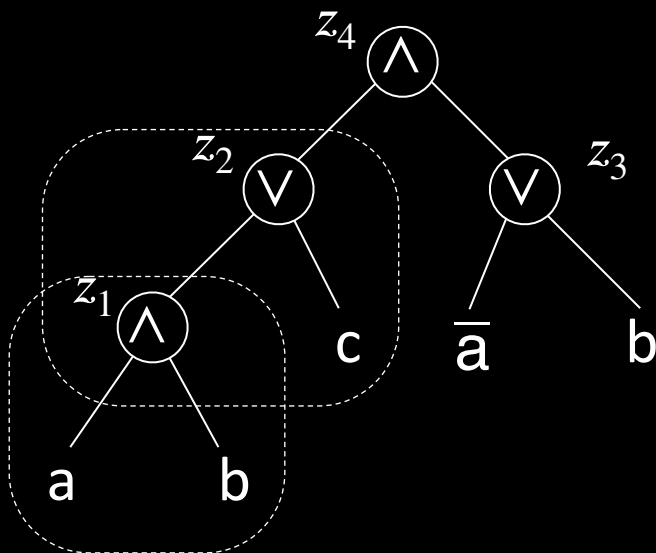
Proof: Show $SAT \leq_P 3SAT$

Give reduction f converting formula ϕ to 3CNF formula ϕ' , preserving satisfiability.

(Note: ϕ and ϕ' are not logically equivalent)

Example: Say $\phi = ((a \wedge b) \vee c) \wedge (\bar{a} \vee b)$

Tree structure for ϕ :



Logical equivalence: $(A \rightarrow B)$ and $(\bar{A} \vee B)$ $\overline{(A \wedge B)}$ and $(\bar{A} \vee \bar{B})$

$$\begin{aligned} \phi' = & \left((a \wedge b) \rightarrow z_1 \right) \wedge \left((\bar{a} \wedge b) \rightarrow \bar{z}_1 \right) \wedge \left((a \wedge \bar{b}) \rightarrow \bar{z}_1 \right) \wedge \left((\bar{a} \wedge \bar{b}) \rightarrow \bar{z}_1 \right) \\ & \wedge \left((z_1 \wedge c) \rightarrow z_2 \right) \wedge \left((\bar{z}_1 \wedge c) \rightarrow z_2 \right) \wedge \left((z_1 \wedge \bar{c}) \rightarrow z_2 \right) \wedge \left((\bar{z}_1 \wedge \bar{c}) \rightarrow \bar{z}_2 \right) \\ & \vdots \text{ repeat for each } z_i \\ & \wedge (z_4) \end{aligned}$$

Observe that $((a \wedge b) \rightarrow c)$ is logically equivalent to $(\bar{a} \vee \bar{b} \vee c)$

$$\left[((a \wedge b) \rightarrow c) \leftrightarrow (\overline{(a \wedge b)} \vee c) \leftrightarrow ((\bar{a} \vee \bar{b}) \vee c) \leftrightarrow (\bar{a} \vee \bar{b} \vee c) \right]$$

Check-in 16.3

3SAT is NP-complete

a	b	$a \vee b = c$	
1	1	1	$(a \wedge b) \rightarrow c$ ³²
0	1	1	$(\bar{a} \wedge b) \rightarrow c$
1	0	1	$(a \wedge \bar{b}) \rightarrow c$
0	0	0	$(\bar{a} \wedge \bar{b}) \rightarrow \bar{c}$

Theorem: 3SAT is NP-complete

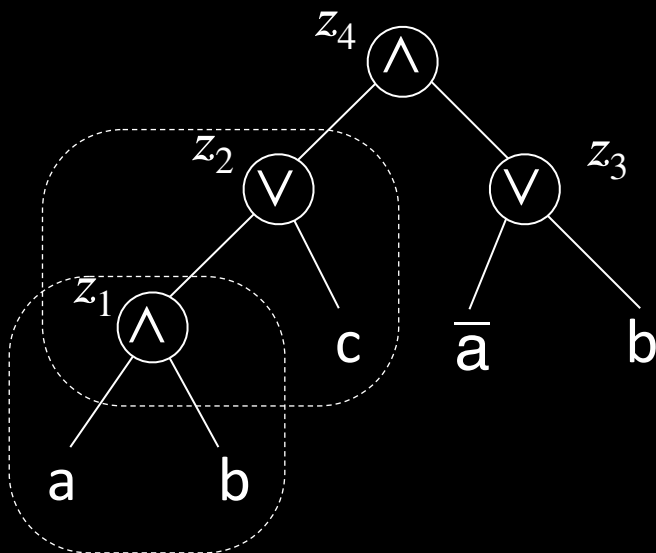
Proof: Show $SAT \leq_P 3SAT$

Give reduction f converting formula ϕ to 3CNF formula ϕ' , preserving satisfiability.

(Note: ϕ and ϕ' are not logically equivalent)

Example: Say $\phi = ((a \wedge b) \vee c) \wedge (\bar{a} \vee b)$

Tree structure for ϕ :



Logical equivalence: $(A \rightarrow B)$ and $(\bar{A} \vee B)$ $\overline{(A \wedge B)}$ and $(\bar{A} \vee \bar{B})$

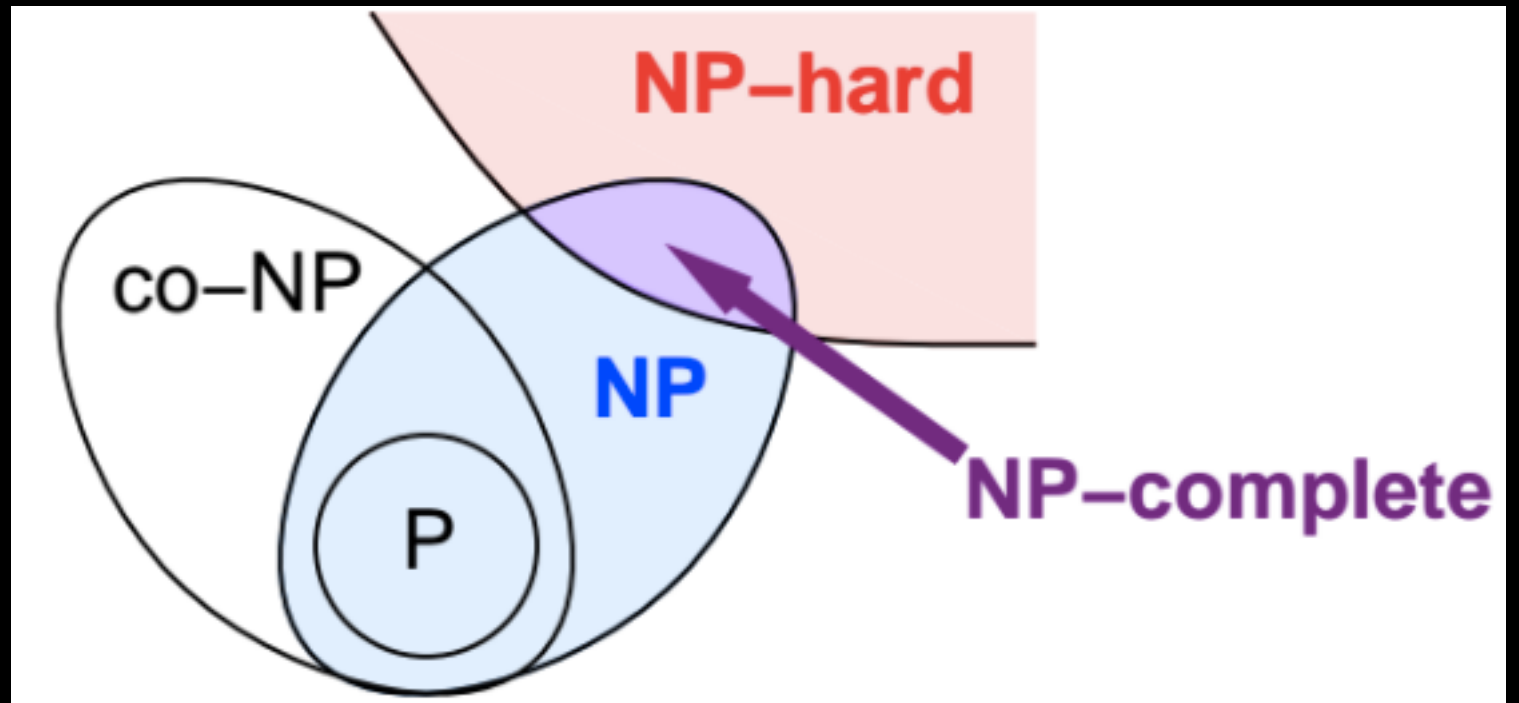
$$\begin{aligned} \phi' = & \left((a \wedge b) \rightarrow z_1 \right) \wedge \left((\bar{a} \wedge b) \rightarrow \bar{z}_1 \right) \wedge \left((a \wedge \bar{b}) \rightarrow \bar{z}_1 \right) \wedge \left((\bar{a} \wedge \bar{b}) \rightarrow \bar{z}_1 \right) \\ & \wedge \left((z_1 \wedge c) \rightarrow z_2 \right) \wedge \left((\bar{z}_1 \wedge c) \rightarrow z_2 \right) \wedge \left((z_1 \wedge \bar{c}) \rightarrow z_2 \right) \wedge \left((\bar{z}_1 \wedge \bar{c}) \rightarrow \bar{z}_2 \right) \\ & \vdots \text{ repeat for each } z_i \end{aligned}$$

Check-in 16.3

If ϕ has k operations (\wedge and \vee), how many clauses has ϕ' ?

- (a) $k + 1$
- (b) $4k + 1$
- (c) k^2
- (d) $2k^2$

- Dfn: $\text{coNP} = \{ L \mid \bar{L} \in \text{NP} \}$
- NOT-HAM



NP and certificates

34

- HAM is NP
- A P-time checkable certificate system:
 - Example HAM:
 - Certificate: permutation
 - Check: if each vertex \leftrightarrow next vertex
- Theorem: A has P-time checkable certificate system iff A is NP
- Proof:
 - 1) P-time checkable certificate system \rightarrow NP
 - 2) NP \rightarrow P-time checkable certificate system

- Power of non-determinism
- Checking is easier than finding
 - معما چو حل گشت آسان شود
 - Proofing vs teaching
 - A good music
 - A good idea
 - A good business

What if $P = NP$

36

- Mathematicians could be replaced by efficient theorem-discovering programs
- Powerful AIs
- Inventors and engineers would be replaced
- Theoretical physics would be easy
- Randomness
- No privacy, no SSL, no RSA, no PGP, no Bitcoin, ...
- Innovation

Quick review of today

37

1. SAT and 3SAT
2. NP-Completeness
3. *SAT* is NP-complete
4. *3SAT* is NP-complete

Quick review of today

37

1. SAT and 3SAT
2. NP-Completeness
3. *SAT* is NP-complete
4. *3SAT* is NP-complete