

بسم الله الرحمن الرحيم

# نظريه علوم کامپیوتر

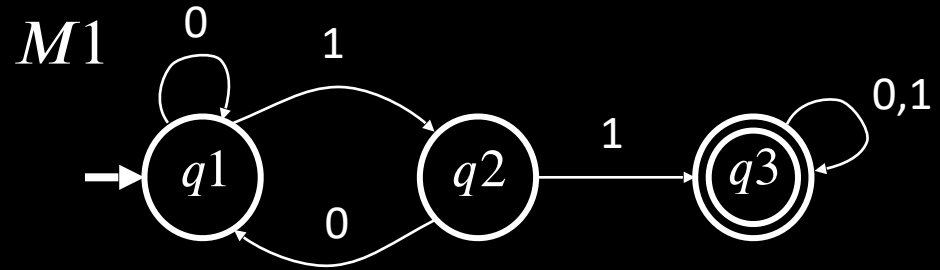
نظريه علوم کامپیوتر - بهار ۱۴۰۰-۱۴۰۱ - جلسه دوم: زبانهای منظم

Theory of computation - 002 - S02 - Regular Languages

# نکات صنفی

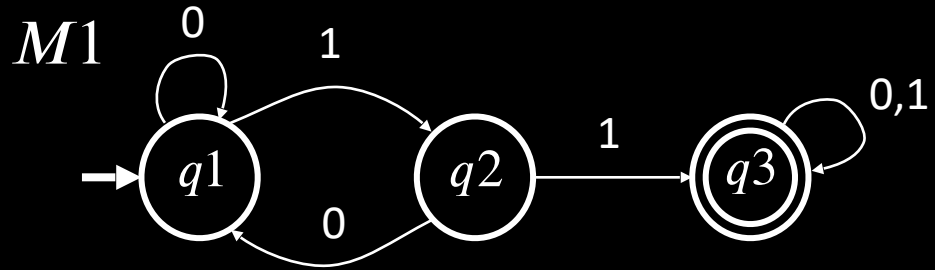
- در CW عضو شوید

# Let's begin: Finite Automata



# Let's begin: Finite Automata

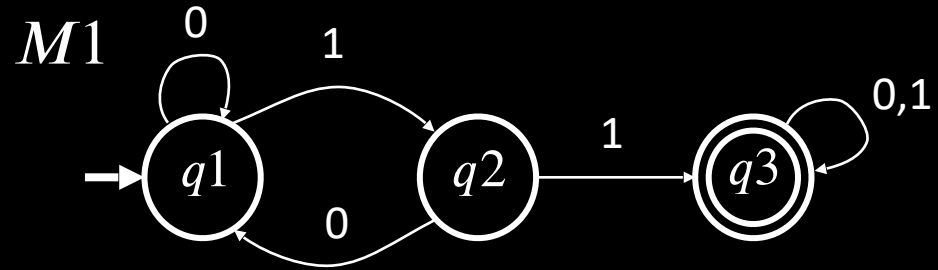
3



States:  $q1$   $q2$   $q3$

# Let's begin: Finite Automata

3

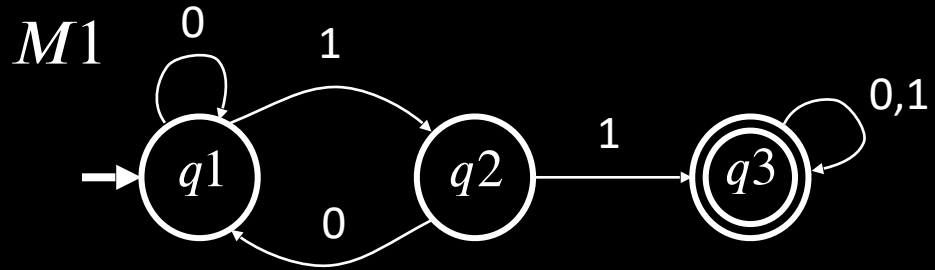


States:  $q1$   $q2$   $q3$

Transitions:  $\xrightarrow{1}$

# Let's begin: Finite Automata

3



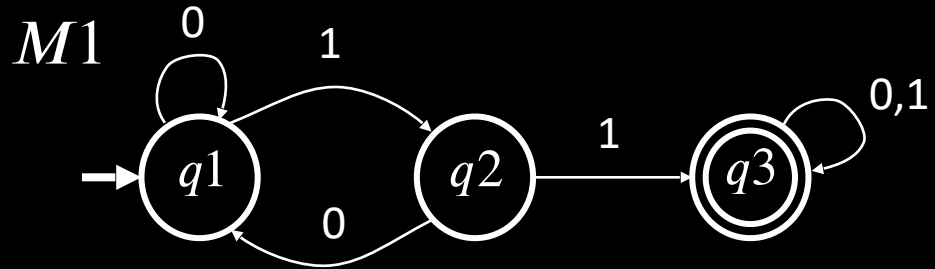
States:  $q1$   $q2$   $q3$

Transitions:  $\xrightarrow{1}$

Start state:  $\rightarrow \bigcirc$

# Let's begin: Finite Automata

3



States:  $q1$   $q2$   $q3$

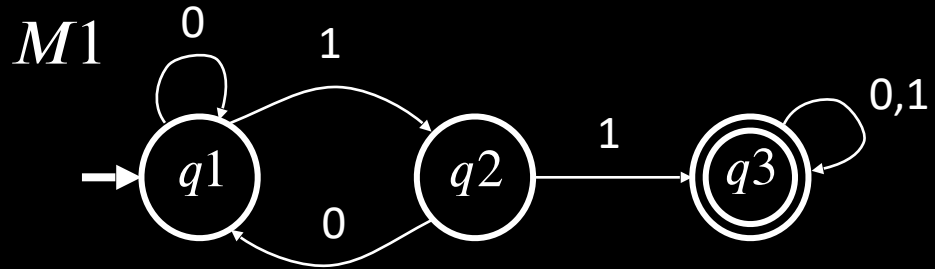
Transitions:  $\xrightarrow{1}$

Start state:  $\rightarrow \bigcirc$

Accept states:  $\bigcirc\bigcirc$

# Let's begin: Finite Automata

3



States:  $q1$   $q2$   $q3$

Transitions:  $\xrightarrow{1}$

Start state:  $\rightarrow \bigcirc$

Accept states:  $\bigcirc\bigcirc$

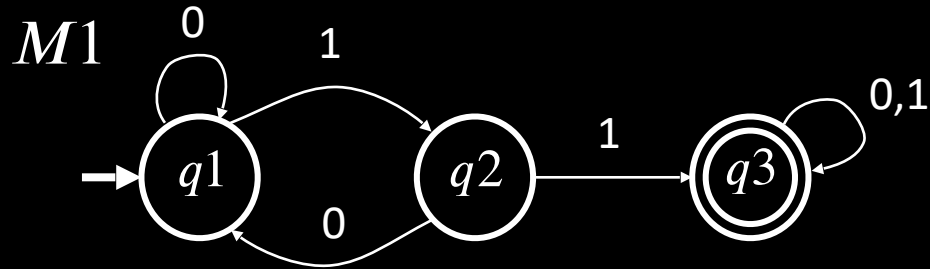
**Input:** finite string

**Output:** Accept or Reject



# Let's begin: Finite Automata

3



States:  $q1$   $q2$   $q3$

Transitions:  $\xrightarrow{1}$

Start state:  $\rightarrow \bigcirc$

Accept states:  $\bigcirc\bigcirc$

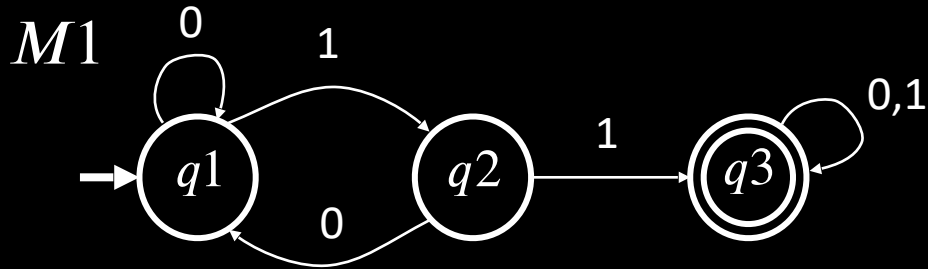
**Input:** finite string

**Output:** Accept or Reject

**Computation process:** Begin at start state, read input symbols, follow corresponding transitions, Accept if end with accept state, Reject if not.

# Let's begin: Finite Automata

3



States:  $q1$   $q2$   $q3$

Transitions:  $\xrightarrow{1}$

Start state:  $\rightarrow \bigcirc$

Accept states:  $\bigcirc\bigcirc$

**Input:** finite string

**Output:** Accept or Reject

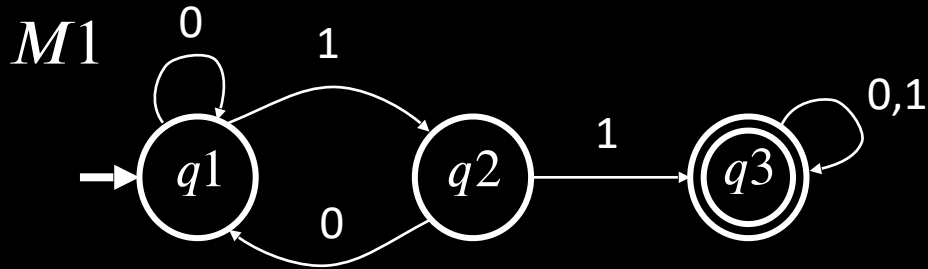
**Computation process:** Begin at start state, read input symbols, follow corresponding transitions, Accept if end with accept state, Reject if not.

**Examples:** 01101  $\rightarrow$  Accept

00101  $\rightarrow$  Reject

# Let's begin: Finite Automata

3



States:  $q1$   $q2$   $q3$

Transitions:  $\xrightarrow{1}$

Start state:  $\rightarrow \bigcirc$

Accept states:  $\bigcirc\bigcirc$

**Input:** finite string

**Output:** Accept or Reject

**Computation process:** Begin at start state, read input symbols, follow corresponding transitions, Accept if end with accept state, Reject if not.

**Examples:** 01101  $\rightarrow$  Accept

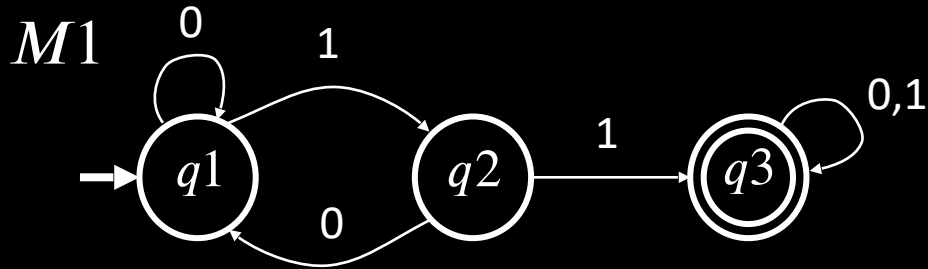
00101  $\rightarrow$  Reject

$M1$  accepts exactly those strings in  $A$  where

$$A = \{w \mid w \text{ contains substring } 11\}.$$

# Let's begin: Finite Automata

3



States:  $q1$   $q2$   $q3$

Transitions:  $\xrightarrow{1}$

Start state:  $\rightarrow \bigcirc$

Accept states:  $\bigcirc\bigcirc$

**Input:** finite string

**Output:** Accept or Reject

**Computation process:** Begin at start state, read input symbols, follow corresponding transitions, Accept if end with accept state, Reject if not.

**Examples:** 01101  $\rightarrow$  Accept

00101  $\rightarrow$  Reject

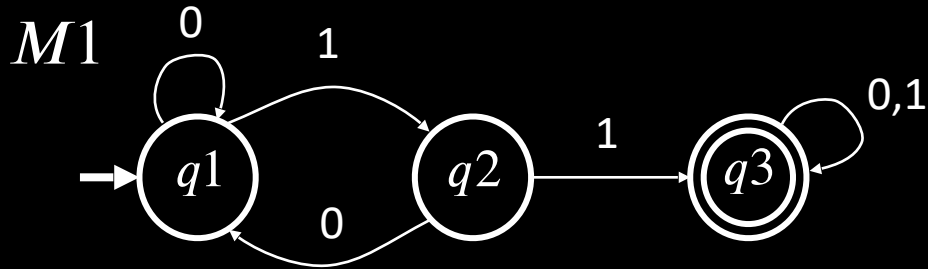
$M1$  accepts exactly those strings in  $A$  where

$$A = \{w \mid w \text{ contains substring } 11\}.$$

Say that  $A$  is the language of  $M1$  and that  $M1$  recognizes  $A$  and that  $A = L(M1)$ .

# Let's begin: Finite Automata

3



States:  $q1$   $q2$   $q3$

Transitions:  $\xrightarrow{1}$

Start state:  $\rightarrow \bigcirc$

Accept states:  $\bigcirc\bigcirc$

**Input:** finite string

**Output:** Accept or Reject

**Computation process:** Begin at start state, read input symbols, follow corresponding transitions, Accept if end with accept state, Reject if not.

**Examples:** 01101  $\rightarrow$  Accept

00101  $\rightarrow$  Reject

$M1$  accepts exactly those strings in  $A$  where

$$A = \{w \mid w \text{ contains substring } 11\}.$$

Say that  $A$  is the language of  $M1$  and that  $M1$  recognizes  $A$  and that  $A = L(M1)$ .

# Finite Automata – Formal Definition

**Defn:** A finite automaton  $M$  is a 5-tuple  
 $(Q, \Sigma, \delta, q_0, F)$

# Finite Automata – Formal Definition

**Defn:** A finite automaton  $M$  is a 5-tuple  
 $(Q, \Sigma, \delta, q_0, F)$

$Q$  finite set of states

# Finite Automata – Formal Definition

**Defn:** A finite automaton  $M$  is a 5-tuple  
 $(Q, \Sigma, \delta, q_0, F)$

$Q$  finite set of states

$\Sigma$  finite set of alphabet symbols



# Finite Automata – Formal Definition

**Defn:** A finite automaton  $M$  is a 5-tuple  
 $(Q, \Sigma, \delta, q_0, F)$

$Q$  finite set of states

$\Sigma$  finite set of alphabet symbols

$\delta$  transition function  $\delta: Q \times \Sigma \rightarrow Q$

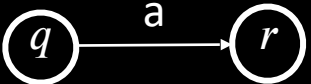
# Finite Automata – Formal Definition

**Defn:** A finite automaton  $M$  is a 5-tuple  
 $(Q, \Sigma, \delta, q_0, F)$

$Q$  finite set of states

$\Sigma$  finite set of alphabet symbols

$\delta$  transition function  $\delta: Q \times \Sigma \rightarrow Q$

$\delta(q, a) = r$  means 

# Finite Automata – Formal Definition

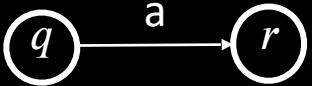
4

**Defn:** A finite automaton  $M$  is a 5-tuple  
 $(Q, \Sigma, \delta, q_0, F)$

$Q$  finite set of states

$\Sigma$  finite set of alphabet symbols

$\delta$  transition function  $\delta: Q \times \Sigma \rightarrow Q$

$q_0$  start state       $\delta(q, a) = r$  means 

# Finite Automata – Formal Definition

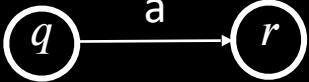
4

**Defn:** A finite automaton  $M$  is a 5-tuple  
 $(Q, \Sigma, \delta, q_0, F)$

$Q$  finite set of states

$\Sigma$  finite set of alphabet symbols

$\delta$  transition function  $\delta: Q \times \Sigma \rightarrow Q$

$q_0$  start state       $\delta(q, a) = r$  means 

$F$  set of accept states

# Finite Automata – Formal Definition

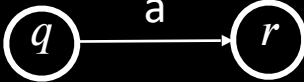
4

**Defn:** A finite automaton  $M$  is a 5-tuple  
 $(Q, \Sigma, \delta, q_0, F)$

$Q$  finite set of states

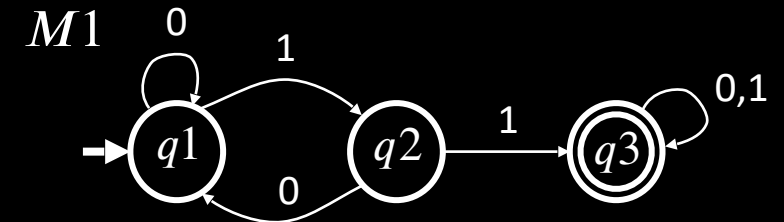
$\Sigma$  finite set of alphabet symbols

$\delta$  transition function  $\delta: Q \times \Sigma \rightarrow Q$

$q_0$  start state       $\delta(q, a) = r$  means 

$F$  set of accept states

Example:



# Finite Automata – Formal Definition

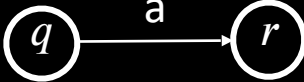
4

**Defn:** A finite automaton  $M$  is a 5-tuple  
 $(Q, \Sigma, \delta, q_0, F)$

$Q$  finite set of states

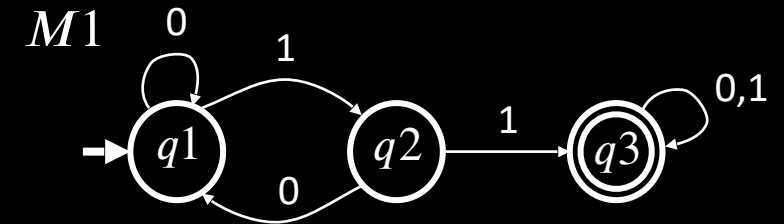
$\Sigma$  finite set of alphabet symbols

$\delta$  transition function  $\delta: Q \times \Sigma \rightarrow Q$

$q_0$  start state       $\delta(q, a) = r$  means 

$F$  set of accept states

Example:



$$M1 = (Q, \Sigma, \delta, q_1, F)$$

$$Q = \{q_1, q_2, q_3\}$$

$$\Sigma = \{0, 1\}$$

$$F = \{q_3\}$$

# Finite Automata – Formal Definition

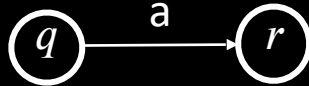
4

**Defn:** A finite automaton  $M$  is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$

$Q$  finite set of states

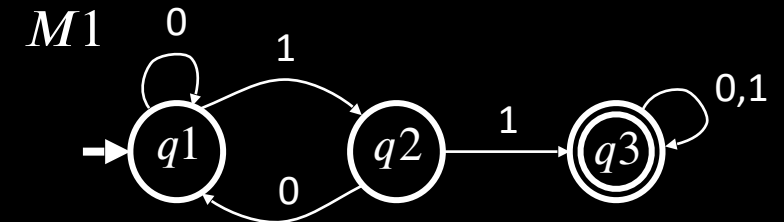
$\Sigma$  finite set of alphabet symbols

$\delta$  transition function  $\delta: Q \times \Sigma \rightarrow Q$

$q_0$  start state       $\delta(q, a) = r$  means 

$F$  set of accept states

Example:



$M1 = (Q, \Sigma, \delta, q_1, F)$

$Q = \{q_1, q_2, q_3\}$

$\Sigma = \{0, 1\}$

$F = \{q_3\}$

$\delta =$	0	1
q1	q1	q2
q2	q1	q3
q3	q3	q3

# Finite Automata – Computation

## Strings and languages

- A string is a finite sequence of symbols in  $\Sigma$
- A language is a set of strings (finite or infinite)



# Finite Automata – Computation

## Strings and languages

- A string is a finite sequence of symbols in  $\Sigma$
- A language is a set of strings (finite or infinite)
- The empty string  $\epsilon$  is the string of length 0
- The empty language  $\emptyset$  is the set with no strings

## Strings and languages

- A string is a finite sequence of symbols in  $\Sigma$
- A language is a set of strings (finite or infinite)
- The empty string  $\epsilon$  is the string of length 0
- The empty language  $\emptyset$  is the set with no strings

**Defn:**  $M$  accepts string  $w = w_1w_2 \dots w_n$  each  $w_i \in \Sigma$

if there is a sequence of states  $r_0, r_1, r_2, \dots, r_n \in Q$   
where:

- $r_0 = q_0$
- $r_i = \delta(r_{i-1}, w_i)$  for  $1 \leq i \leq n$
- $r_n \in F$

## Strings and languages

- A string is a finite sequence of symbols in  $\Sigma$
- A language is a set of strings (finite or infinite)
- The empty string  $\epsilon$  is the string of length 0
- The empty language  $\emptyset$  is the set with no strings

**Defn:**  $M$  accepts string  $w = w_1w_2 \dots w_n$  each  $w_i \in \Sigma$

if there is a sequence of states  $r_0, r_1, r_2, \dots, r_n \in Q$   
where:

- $r_0 = q_0$
- $r_i = \delta(r_{i-1}, w_i)$  for  $1 \leq i \leq n$
- $r_n \in F$

## Recognizing languages

- $L(M) = \{w \mid M \text{ accepts } w\}$
- $L(M)$  is the language of  $M$
- $M$  recognizes  $L(M)$

## Strings and languages

- A string is a finite sequence of symbols in  $\Sigma$
- A language is a set of strings (finite or infinite)
- The empty string  $\epsilon$  is the string of length 0
- The empty language  $\emptyset$  is the set with no strings

**Defn:**  $M$  accepts string  $w = w_1w_2 \dots w_n$  each  $w_i \in \Sigma$

if there is a sequence of states  $r_0, r_1, r_2, \dots, r_n \in Q$   
where:

- $r_0 = q_0$
- $r_i = \delta(r_{i-1}, w_i)$  for  $1 \leq i \leq n$
- $r_n \in F$

## Recognizing languages

- $L(M) = \{w \mid M \text{ accepts } w\}$
- $L(M)$  is the language of  $M$
- $M$  recognizes  $L(M)$

**Defn:** A language is regular if some finite automaton recognizes it.

## Strings and languages

- A string is a finite sequence of symbols in  $\Sigma$
- A language is a set of strings (finite or infinite)
- The empty string  $\epsilon$  is the string of length 0
- The empty language  $\emptyset$  is the set with no strings

**Defn:**  $M$  accepts string  $w = w_1w_2 \dots w_n$  each  $w_i \in \Sigma$

if there is a sequence of states  $r_0, r_1, r_2, \dots, r_n \in Q$   
where:

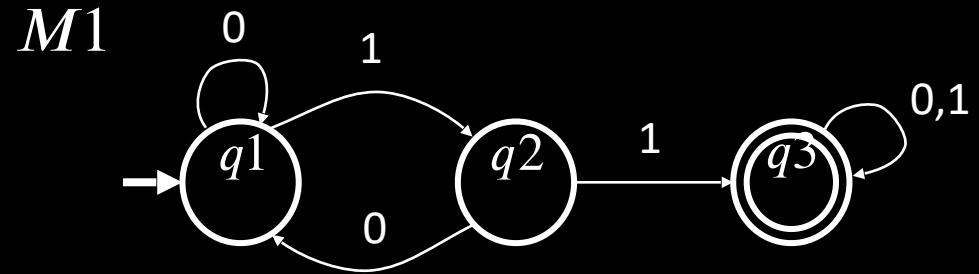
- $r_0 = q_0$
- $r_i = \delta(r_{i-1}, w_i)$  for  $1 \leq i \leq n$
- $r_n \in F$

## Recognizing languages

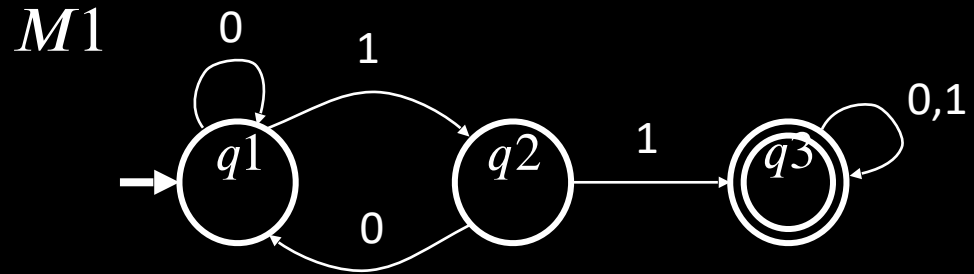
- $L(M) = \{w \mid M \text{ accepts } w\}$
- $L(M)$  is the language of  $M$
- $M$  recognizes  $L(M)$

**Defn:** A language is regular if some finite automaton recognizes it.

# Regular Languages – Examples



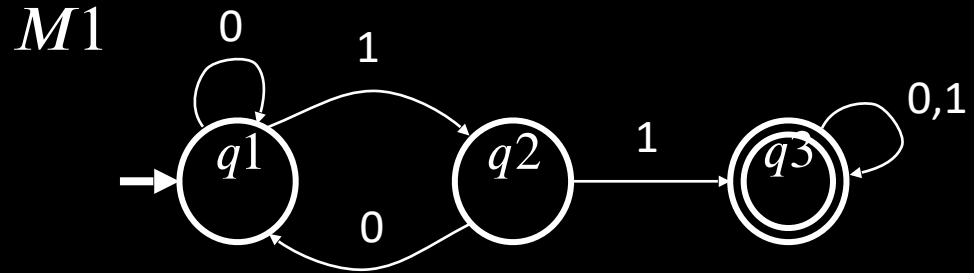
# Regular Languages – Examples



$$L(M_1) = \{w \mid w \text{ contains substring } 11\} = A$$

# Regular Languages – Examples

6



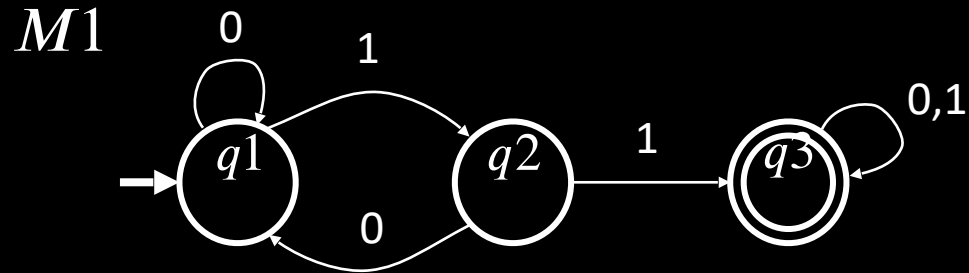
$$L(M_1) = \{w \mid w \text{ contains substring } 11\} = A$$

Therefore  $A$  is regular



# Regular Languages – Examples

6



$$L(M_1) = \{w \mid w \text{ contains substring } 11\} = A$$

Therefore  $A$  is regular

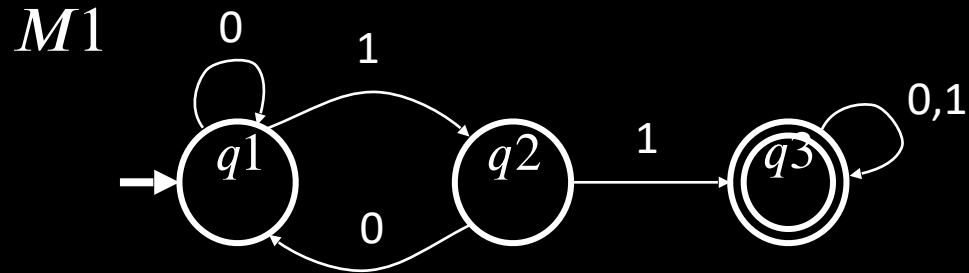
More examples:

Let  $B = \{w \mid w \text{ has an even number of 1s}\}$

$B$  is regular (make automaton for practice).

# Regular Languages – Examples

6



$$L(M_1) = \{w \mid w \text{ contains substring } 11\} = A$$

Therefore  $A$  is regular

More examples:

Let  $B = \{w \mid w \text{ has an even number of 1s}\}$

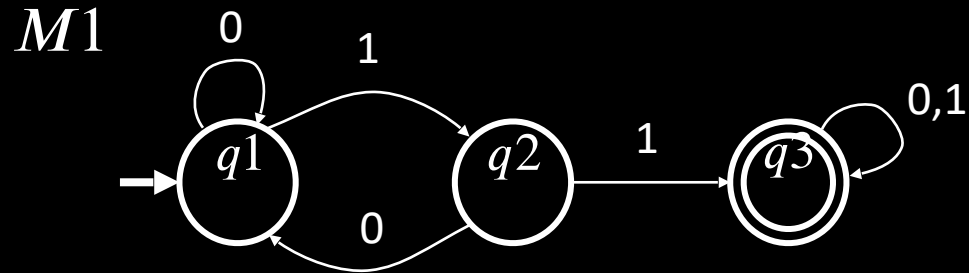
$B$  is regular (make automaton for practice).

Let  $C = \{w \mid w \text{ has equal numbers of 0s and 1s}\}$

$C$  is not regular (we will prove).

# Regular Languages – Examples

6



$$L(M_1) = \{w \mid w \text{ contains substring } 11\} = A$$

Therefore  $A$  is regular

More examples:

Let  $B = \{w \mid w \text{ has an even number of 1s}\}$

$B$  is regular (make automaton for practice).

Let  $C = \{w \mid w \text{ has equal numbers of 0s and 1s}\}$

$C$  is not regular (we will prove).

**Goal:** Understand the regular languages

# Regular Expressions

# Regular Expressions

7

Regular operations. Let  $A, B$  be languages:

# Regular Expressions

7

Regular operations. Let  $A, B$  be languages:

- Union:  $A \cup B = \{w \mid w \in A \text{ or } w \in B\}$

# Regular Expressions

7

Regular operations. Let  $A, B$  be languages:

- Union:  $A \cup B = \{w \mid w \in A \text{ or } w \in B\}$
- Concatenation:  $A \circ B = \{xy \mid x \in A \text{ and } y \in B\} = AB$

# Regular Expressions

7

Regular operations. Let  $A, B$  be languages:

- Union:  $A \cup B = \{w \mid w \in A \text{ or } w \in B\}$
- Concatenation:  $A \circ B = \{xy \mid x \in A \text{ and } y \in B\} = AB$
- Star:  $A^* = \{x_1 \dots x_k \mid \text{each } x_i \in A \text{ for } k \geq 0\}$   
Note:  $\varepsilon \in A^*$  always



# Regular Expressions

7

Regular operations. Let  $A, B$  be languages:

- Union:  $A \cup B = \{w \mid w \in A \text{ or } w \in B\}$
- Concatenation:  $A \circ B = \{xy \mid x \in A \text{ and } y \in B\} = AB$
- Star:  $A^* = \{x_1 \dots x_k \mid \text{each } x_i \in A \text{ for } k \geq 0\}$   
Note:  $\varepsilon \in A^*$  always

Example. Let  $A = \{\text{good, bad}\}$  and  $B = \{\text{boy, girl}\}$ .

# Regular Expressions

7

Regular operations. Let  $A, B$  be languages:

- Union:  $A \cup B = \{w \mid w \in A \text{ or } w \in B\}$
- Concatenation:  $A \circ B = \{xy \mid x \in A \text{ and } y \in B\} = AB$
- Star:  $A^* = \{x_1 \dots x_k \mid \text{each } x_i \in A \text{ for } k \geq 0\}$   
Note:  $\varepsilon \in A^*$  always

Example. Let  $A = \{\text{good, bad}\}$  and  $B = \{\text{boy, girl}\}$ .

- $A \cup B = \{\text{good, bad, boy, girl}\}$

# Regular Expressions

7

Regular operations. Let  $A, B$  be languages:

- Union:  $A \cup B = \{w \mid w \in A \text{ or } w \in B\}$
- Concatenation:  $A \circ B = \{xy \mid x \in A \text{ and } y \in B\} = AB$
- Star:  $A^* = \{x_1 \dots x_k \mid \text{each } x_i \in A \text{ for } k \geq 0\}$   
Note:  $\varepsilon \in A^*$  always

Example. Let  $A = \{\text{good, bad}\}$  and  $B = \{\text{boy, girl}\}$ .

- $A \cup B = \{\text{good, bad, boy, girl}\}$
- $A \circ B = AB = \{\text{goodboy, goodgirl, badboy, badgirl}\}$

# Regular Expressions

7

Regular operations. Let  $A, B$  be languages:

- Union:  $A \cup B = \{w \mid w \in A \text{ or } w \in B\}$
- Concatenation:  $A \circ B = \{xy \mid x \in A \text{ and } y \in B\} = AB$
- Star:  $A^* = \{x_1 \dots x_k \mid \text{each } x_i \in A \text{ for } k \geq 0\}$   
Note:  $\varepsilon \in A^*$  always

Example. Let  $A = \{\text{good, bad}\}$  and  $B = \{\text{boy, girl}\}$ .

- $A \cup B = \{\text{good, bad, boy, girl}\}$
- $A \circ B = AB = \{\text{goodboy, goodgirl, badboy, badgirl}\}$
- $A^* = \{\varepsilon, \text{good, bad, goodgood, goodbad, badgood, badbad, goodgoodgood, goodgoodbad, ...}\}$

# Regular Expressions

7

Regular operations. Let  $A, B$  be languages:

- Union:  $A \cup B = \{w \mid w \in A \text{ or } w \in B\}$
- Concatenation:  $A \circ B = \{xy \mid x \in A \text{ and } y \in B\} = AB$
- Star:  $A^* = \{x_1 \dots x_k \mid \text{each } x_i \in A \text{ for } k \geq 0\}$   
Note:  $\varepsilon \in A^*$  always

Regular expressions

Example. Let  $A = \{\text{good, bad}\}$  and  $B = \{\text{boy, girl}\}$ .

- $A \cup B = \{\text{good, bad, boy, girl}\}$
- $A \circ B = AB = \{\text{goodboy, goodgirl, badboy, badgirl}\}$
- $A^* = \{\varepsilon, \text{good, bad, goodgood, goodbad, badgood, badbad, goodgoodgood, goodgoodbad, ...}\}$

# Regular Expressions

7

Regular operations. Let  $A, B$  be languages:

- Union:  $A \cup B = \{w \mid w \in A \text{ or } w \in B\}$
- Concatenation:  $A \circ B = \{xy \mid x \in A \text{ and } y \in B\} = AB$
- Star:  $A^* = \{x_1 \dots x_k \mid \text{each } x_i \in A \text{ for } k \geq 0\}$   
Note:  $\varepsilon \in A^*$  always

## Regular expressions

- Built from  $\Sigma$ , members  $\Sigma, \emptyset, \varepsilon$  [Atomic]

Example. Let  $A = \{\text{good, bad}\}$  and  $B = \{\text{boy, girl}\}$ .

- $A \cup B = \{\text{good, bad, boy, girl}\}$
- $A \circ B = AB = \{\text{goodboy, goodgirl, badboy, badgirl}\}$
- $A^* = \{\varepsilon, \text{good, bad, goodgood, goodbad, badgood, badbad, goodgoodgood, goodgoodbad, ...}\}$

# Regular Expressions

7

Regular operations. Let  $A, B$  be languages:

- Union:  $A \cup B = \{w \mid w \in A \text{ or } w \in B\}$
- Concatenation:  $A \circ B = \{xy \mid x \in A \text{ and } y \in B\} = AB$
- Star:  $A^* = \{x_1 \dots x_k \mid \text{each } x_i \in A \text{ for } k \geq 0\}$   
Note:  $\varepsilon \in A^*$  always

## Regular expressions

- Built from  $\Sigma$ , members  $\Sigma, \emptyset, \varepsilon$  [Atomic]
- By using  $\cup, \circ, *$  [Composite]

Example. Let  $A = \{\text{good, bad}\}$  and  $B = \{\text{boy, girl}\}$ .

- $A \cup B = \{\text{good, bad, boy, girl}\}$
- $A \circ B = AB = \{\text{goodboy, goodgirl, badboy, badgirl}\}$
- $A^* = \{\varepsilon, \text{good, bad, goodgood, goodbad, badgood, badbad, goodgoodgood, goodgoodbad, ...}\}$

# Regular Expressions

7

Regular operations. Let  $A, B$  be languages:

- Union:  $A \cup B = \{w \mid w \in A \text{ or } w \in B\}$
- Concatenation:  $A \circ B = \{xy \mid x \in A \text{ and } y \in B\} = AB$
- Star:  $A^* = \{x_1 \dots x_k \mid \text{each } x_i \in A \text{ for } k \geq 0\}$   
Note:  $\varepsilon \in A^*$  always

Example. Let  $A = \{\text{good, bad}\}$  and  $B = \{\text{boy, girl}\}$ .

- $A \cup B = \{\text{good, bad, boy, girl}\}$
- $A \circ B = AB = \{\text{goodboy, goodgirl, badboy, badgirl}\}$
- $A^* = \{\varepsilon, \text{good, bad, goodgood, goodbad, badgood, badbad, goodgoodgood, goodgoodbad, ...}\}$

## Regular expressions

- Built from  $\Sigma$ , members  $\Sigma, \emptyset, \varepsilon$  [Atomic]
- By using  $\cup, \circ, *$  [Composite]

Examples:



# Regular Expressions

7

Regular operations. Let  $A, B$  be languages:

- Union:  $A \cup B = \{w \mid w \in A \text{ or } w \in B\}$
- Concatenation:  $A \circ B = \{xy \mid x \in A \text{ and } y \in B\} = AB$
- Star:  $A^* = \{x_1 \dots x_k \mid \text{each } x_i \in A \text{ for } k \geq 0\}$   
Note:  $\varepsilon \in A^*$  always

Example. Let  $A = \{\text{good, bad}\}$  and  $B = \{\text{boy, girl}\}$ .

- $A \cup B = \{\text{good, bad, boy, girl}\}$
- $A \circ B = AB = \{\text{goodboy, goodgirl, badboy, badgirl}\}$
- $A^* = \{\varepsilon, \text{good, bad, goodgood, goodbad, badgood, badbad, goodgoodgood, goodgoodbad, ...}\}$

## Regular expressions

- Built from  $\Sigma$ , members  $\Sigma, \emptyset, \varepsilon$  [Atomic]
- By using  $\cup, \circ, *$  [Composite]

## Examples:

- $(0 \cup 1)^* = \Sigma^*$  gives all strings over  $\Sigma$

# Regular Expressions

7

Regular operations. Let  $A, B$  be languages:

- Union:  $A \cup B = \{w \mid w \in A \text{ or } w \in B\}$
- Concatenation:  $A \circ B = \{xy \mid x \in A \text{ and } y \in B\} = AB$
- Star:  $A^* = \{x_1 \dots x_k \mid \text{each } x_i \in A \text{ for } k \geq 0\}$   
Note:  $\varepsilon \in A^*$  always

Example. Let  $A = \{\text{good, bad}\}$  and  $B = \{\text{boy, girl}\}$ .

- $A \cup B = \{\text{good, bad, boy, girl}\}$
- $A \circ B = AB = \{\text{goodboy, goodgirl, badboy, badgirl}\}$
- $A^* = \{\varepsilon, \text{good, bad, goodgood, goodbad, badgood, badbad, goodgoodgood, goodgoodbad, ...}\}$

## Regular expressions

- Built from  $\Sigma$ , members  $\Sigma, \emptyset, \varepsilon$  [Atomic]
- By using  $\cup, \circ, *$  [Composite]

## Examples:

- $(0 \cup 1)^* = \Sigma^*$  gives all strings over  $\Sigma$
- $\Sigma^*1$  gives all strings that end with 1

# Regular Expressions

7

Regular operations. Let  $A, B$  be languages:

- Union:  $A \cup B = \{w \mid w \in A \text{ or } w \in B\}$
- Concatenation:  $A \circ B = \{xy \mid x \in A \text{ and } y \in B\} = AB$
- Star:  $A^* = \{x_1 \dots x_k \mid \text{each } x_i \in A \text{ for } k \geq 0\}$   
Note:  $\varepsilon \in A^*$  always

Example. Let  $A = \{\text{good, bad}\}$  and  $B = \{\text{boy, girl}\}$ .

- $A \cup B = \{\text{good, bad, boy, girl}\}$
- $A \circ B = AB = \{\text{goodboy, goodgirl, badboy, badgirl}\}$
- $A^* = \{\varepsilon, \text{good, bad, goodgood, goodbad, badgood, badbad, goodgoodgood, goodgoodbad, ...}\}$

## Regular expressions

- Built from  $\Sigma$ , members  $\Sigma, \emptyset, \varepsilon$  [Atomic]
- By using  $\cup, \circ, *$  [Composite]

## Examples:

- $(0 \cup 1)^* = \Sigma^*$  gives all strings over  $\Sigma$
- $\Sigma^*1$  gives all strings that end with 1
- $\Sigma^*11\Sigma^* =$  all strings that contain 11  
 $= L(M_1)$

# Regular Expressions

7

Regular operations. Let  $A, B$  be languages:

- Union:  $A \cup B = \{w \mid w \in A \text{ or } w \in B\}$
- Concatenation:  $A \circ B = \{xy \mid x \in A \text{ and } y \in B\} = AB$
- Star:  $A^* = \{x_1 \dots x_k \mid \text{each } x_i \in A \text{ for } k \geq 0\}$   
Note:  $\varepsilon \in A^*$  always

Example. Let  $A = \{\text{good, bad}\}$  and  $B = \{\text{boy, girl}\}$ .

- $A \cup B = \{\text{good, bad, boy, girl}\}$
- $A \circ B = AB = \{\text{goodboy, goodgirl, badboy, badgirl}\}$
- $A^* = \{\varepsilon, \text{good, bad, goodgood, goodbad, badgood, badbad, goodgoodgood, goodgoodbad, ...}\}$

## Regular expressions

- Built from  $\Sigma$ , members  $\Sigma, \emptyset, \varepsilon$  [Atomic]
- By using  $\cup, \circ, *$  [Composite]

## Examples:

- $(0 \cup 1)^* = \Sigma^*$  gives all strings over  $\Sigma$
- $\Sigma^*1$  gives all strings that end with 1
- $\Sigma^*11\Sigma^* =$  all strings that contain 11  
 $= L(M_1)$

**Goal:** Show finite automata equivalent to regular expressions

# Closure Properties for Regular Languages

# Closure Properties for Regular Languages

8

Theorem: If  $A_1$ ,  $A_2$  are regular languages, so is  $A_1 \cup A_2$  (closure under  $\cup$ )

# Closure Properties for Regular Languages

Theorem: If  $A_1, A_2$  are regular languages, so is  $A_1 \cup A_2$  (closure under  $\cup$ )

Proof: Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

# Closure Properties for Regular Languages

8

Theorem: If  $A_1, A_2$  are regular languages, so is  $A_1 \cup A_2$  (closure under  $\cup$ )

Proof: Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

Construct  $M = (Q, \Sigma, \delta, q_0, F)$  recognizing  $A_1 \cup A_2$

$M$  should accept input  $w$  if either  $M_1$  or  $M_2$  accept  $w$ .



# Closure Properties for Regular Languages

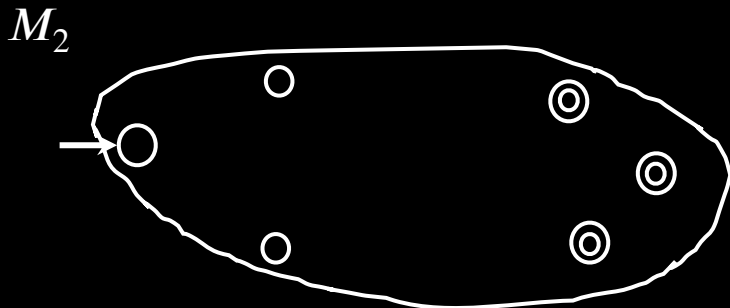
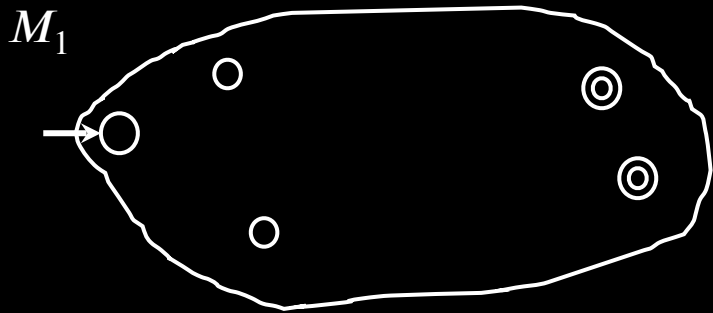
Theorem: If  $A_1$ ,  $A_2$  are regular languages, so is  $A_1 \cup A_2$  (closure under  $\cup$ )

Proof: Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

Construct  $M = (Q, \Sigma, \delta, q_0, F)$  recognizing  $A_1 \cup A_2$

$M$  should accept input  $w$  if either  $M_1$  or  $M_2$  accept  $w$ .



# Closure Properties for Regular Languages

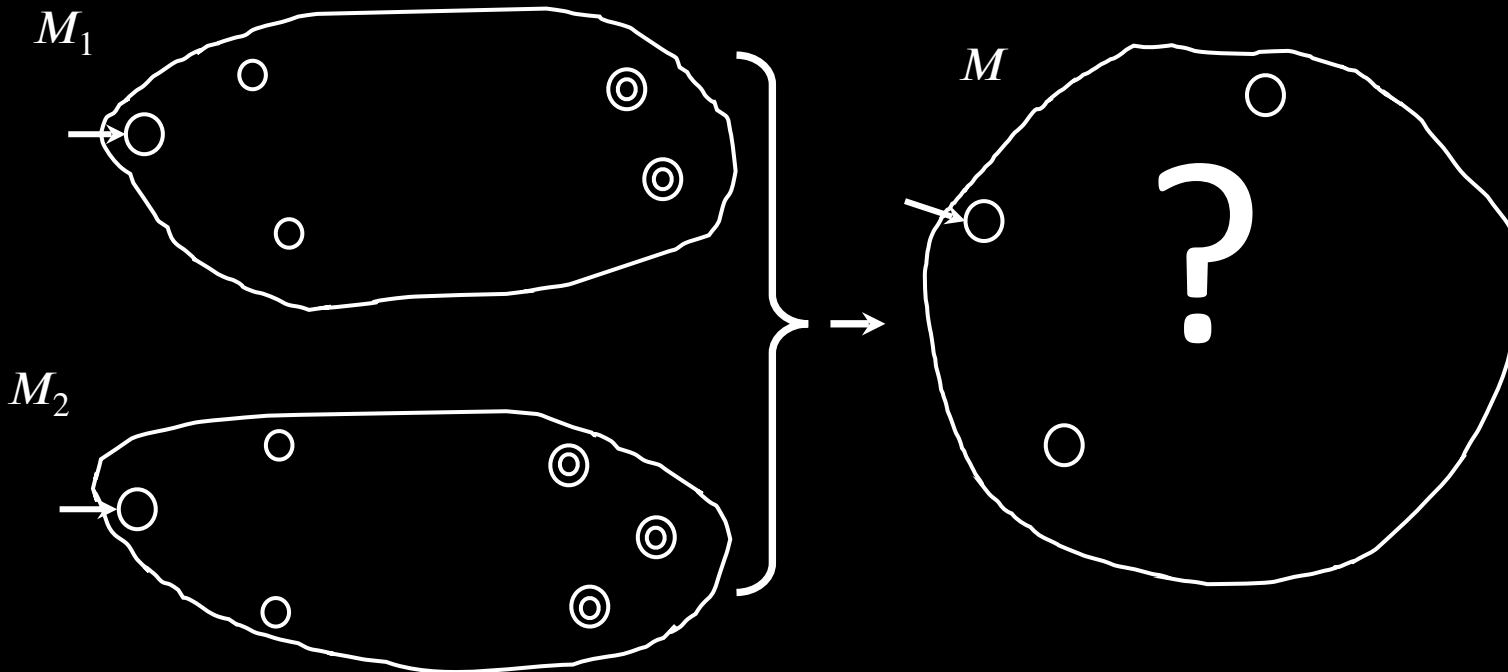
Theorem: If  $A_1, A_2$  are regular languages, so is  $A_1 \cup A_2$  (closure under  $\cup$ )

Proof: Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

Construct  $M = (Q, \Sigma, \delta, q_0, F)$  recognizing  $A_1 \cup A_2$

$M$  should accept input  $w$  if either  $M_1$  or  $M_2$  accept  $w$ .



# Closure Properties for Regular Languages

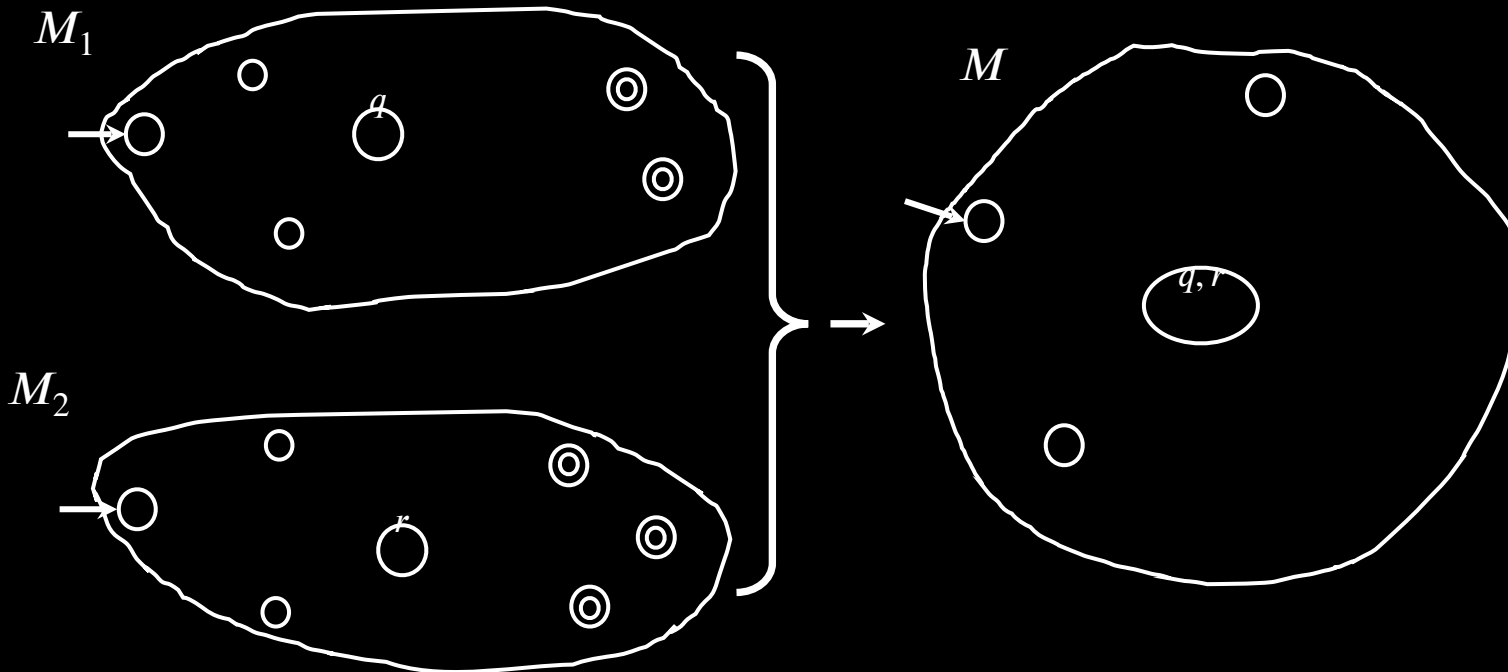
Theorem: If  $A_1, A_2$  are regular languages, so is  $A_1 \cup A_2$  (closure under  $\cup$ )

Proof: Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

Construct  $M = (Q, \Sigma, \delta, q_0, F)$  recognizing  $A_1 \cup A_2$

$M$  should accept input  $w$  if either  $M_1$  or  $M_2$  accept  $w$ .



# Closure Properties for Regular Languages

8

Theorem: If  $A_1, A_2$  are regular languages, so is  $A_1 \cup A_2$  (closure under  $\cup$ )

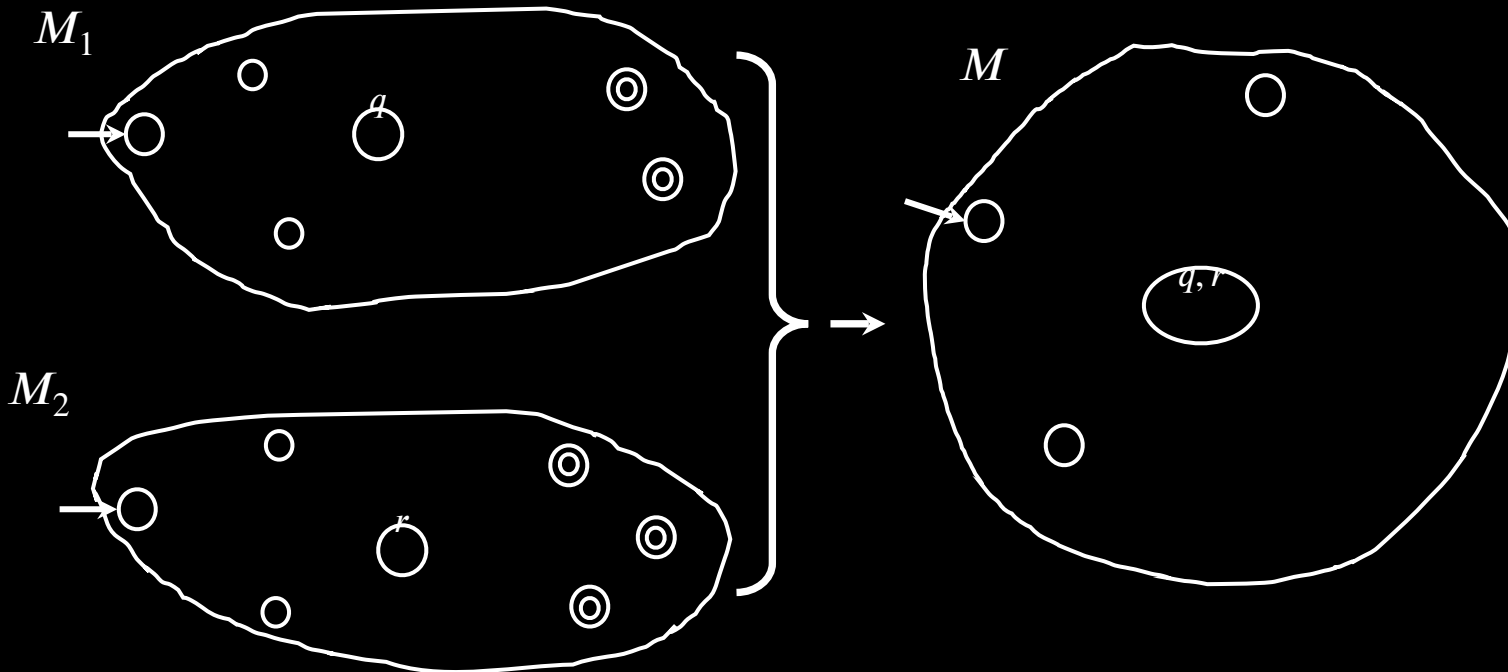
Proof: Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

Construct  $M = (Q, \Sigma, \delta, q_0, F)$  recognizing  $A_1 \cup A_2$

Components of  $M$ :

$M$  should accept input  $w$  if either  $M_1$  or  $M_2$  accept  $w$ .



# Closure Properties for Regular Languages

8

Theorem: If  $A_1, A_2$  are regular languages, so is  $A_1 \cup A_2$  (closure under  $\cup$ )

Proof: Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

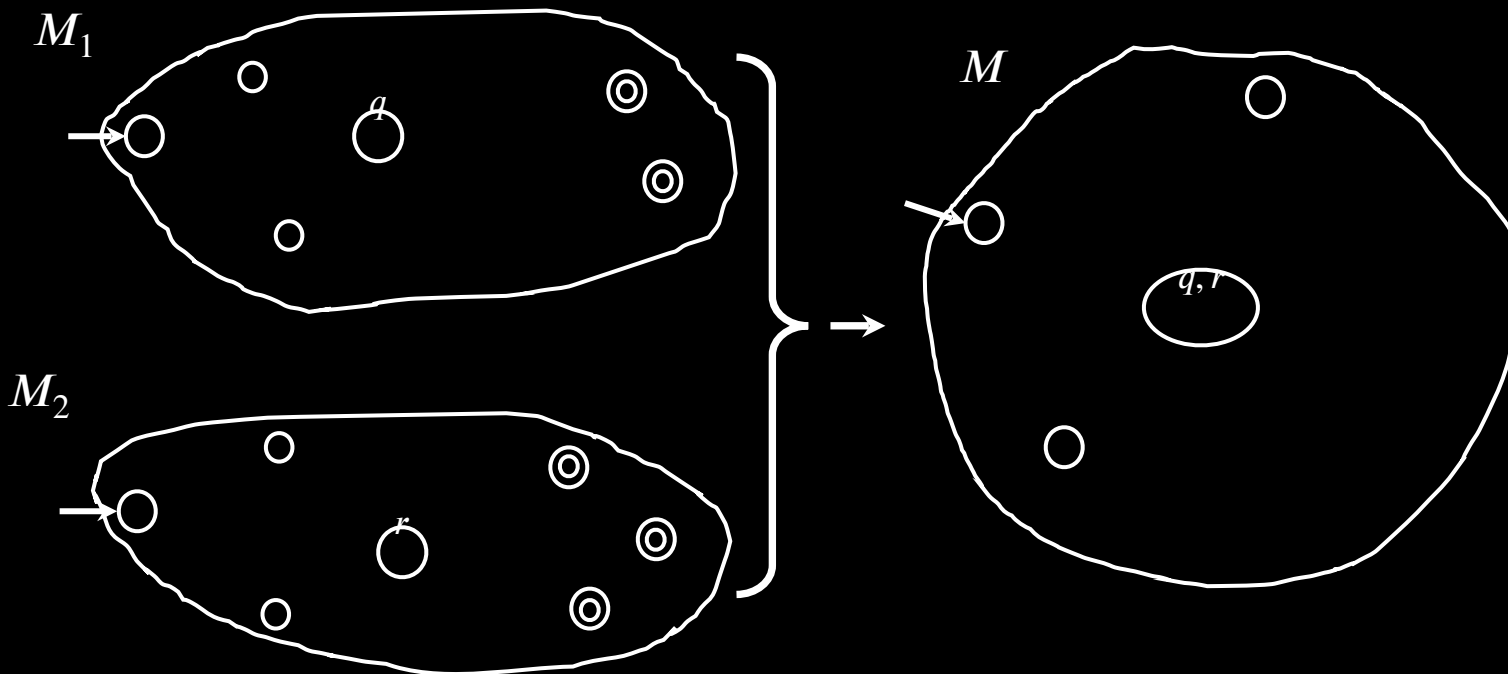
Construct  $M = (Q, \Sigma, \delta, q_0, F)$  recognizing  $A_1 \cup A_2$

$M$  should accept input  $w$  if either  $M_1$  or  $M_2$  accept  $w$ .

Components of  $M$ :

$$Q = Q_1 \times Q_2$$

$$= \left\{ (q_1, q_2) \mid q_1 \in Q_1 \text{ and } q_2 \in Q_2 \right\}$$



# Closure Properties for Regular Languages

8

Theorem: If  $A_1, A_2$  are regular languages, so is  $A_1 \cup A_2$  (closure under  $\cup$ )

Proof: Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

Construct  $M = (Q, \Sigma, \delta, q_0, F)$  recognizing  $A_1 \cup A_2$

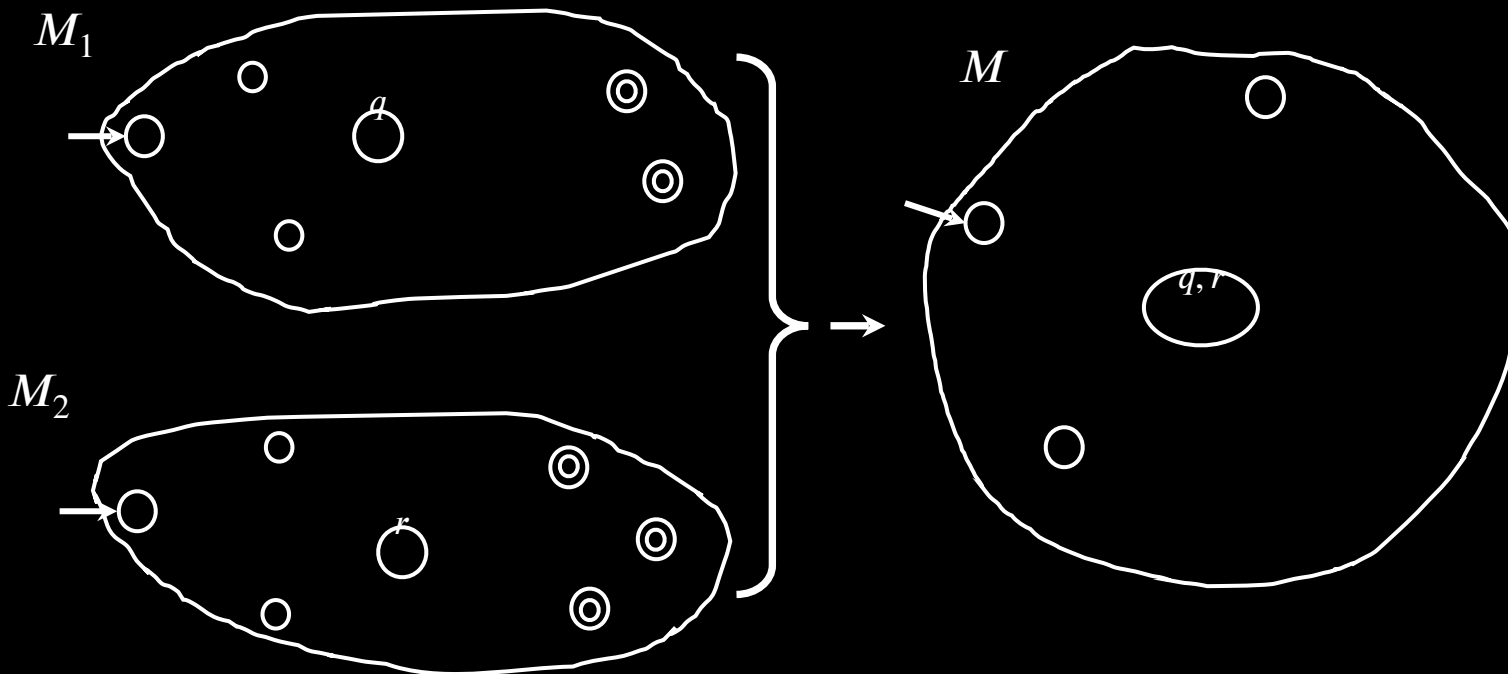
$M$  should accept input  $w$  if either  $M_1$  or  $M_2$  accept  $w$ .

Components of  $M$ :

$$Q = Q_1 \times Q_2$$

$$= \{ (q_1, q_2) \mid q_1 \in Q_1 \text{ and } q_2 \in Q_2 \}$$

$$q_0 = (q_1, q_2)$$



# Closure Properties for Regular Languages

8

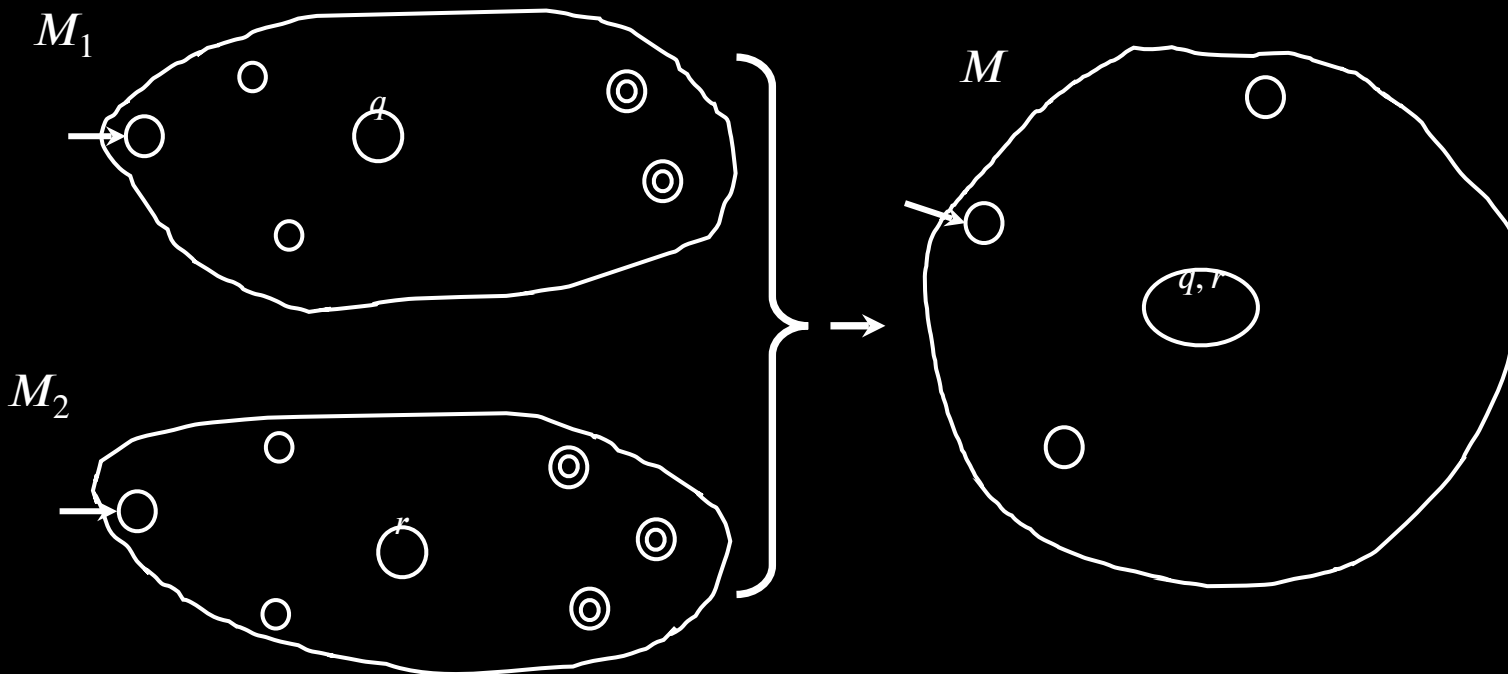
Theorem: If  $A_1, A_2$  are regular languages, so is  $A_1 \cup A_2$  (closure under  $\cup$ )

Proof: Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

Construct  $M = (Q, \Sigma, \delta, q_0, F)$  recognizing  $A_1 \cup A_2$

$M$  should accept input  $w$  if either  $M_1$  or  $M_2$  accept  $w$ .



Components of  $M$ :

$$Q = Q_1 \times Q_2$$

$$= \{ (q_1, q_2) \mid q_1 \in Q_1 \text{ and } q_2 \in Q_2 \}$$

$$q_0 = (q_1, q_2)$$

$$\delta((q, r), a) = (\delta_1(q, a), \delta_2(r, a))$$

# Closure Properties for Regular Languages

8

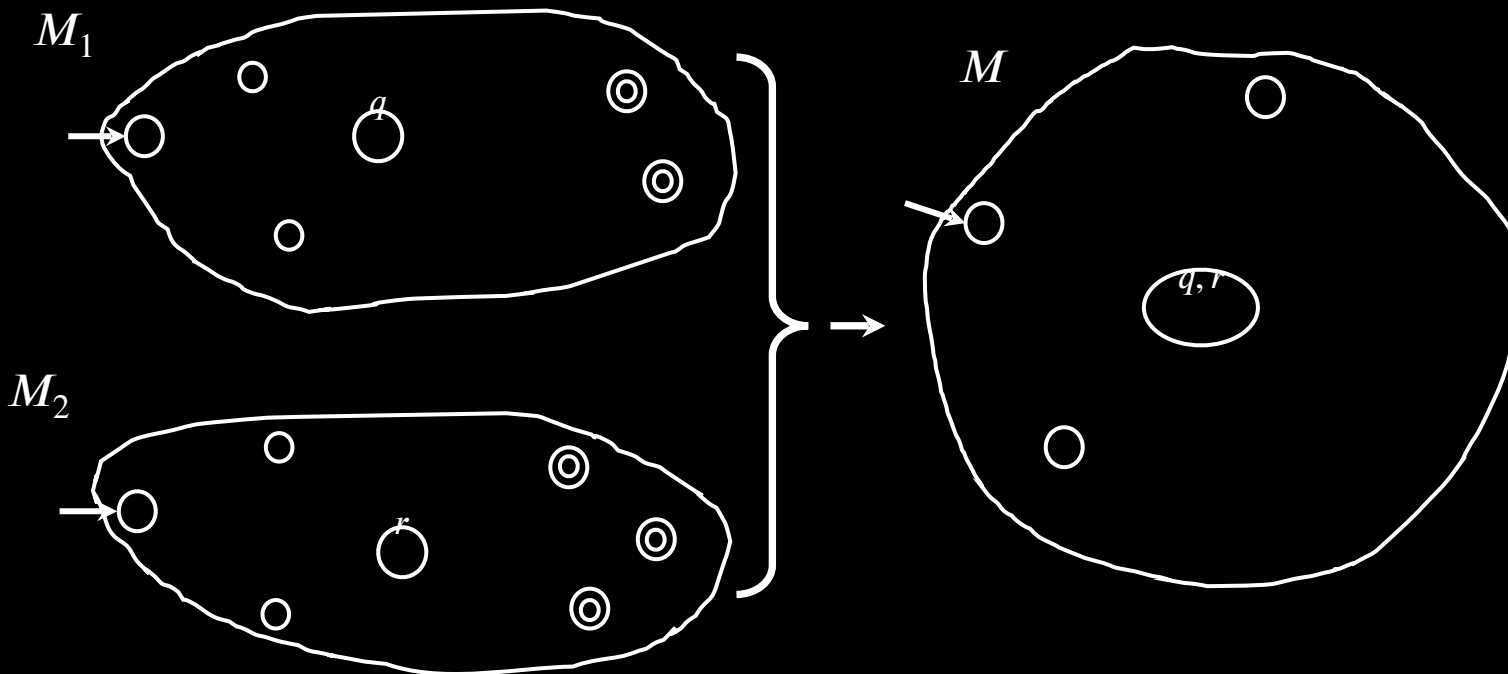
Theorem: If  $A_1, A_2$  are regular languages, so is  $A_1 \cup A_2$  (closure under  $\cup$ )

Proof: Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

Construct  $M = (Q, \Sigma, \delta, q_0, F)$  recognizing  $A_1 \cup A_2$

$M$  should accept input  $w$  if either  $M_1$  or  $M_2$  accept  $w$ .



Components of  $M$ :

$$Q = Q_1 \times Q_2 \\ = \left\{ (q_1, q_2) \mid q_1 \in Q_1 \text{ and } q_2 \in Q_2 \right\}$$

$$q_0 = (q_1, q_2)$$

$$\delta((q, r), a) = (\delta_1(q, a), \delta_2(r, a))$$

$$F = (F_1 \times Q_2) \cup (Q_1 \times F_2)$$



# Closure Properties for Regular Languages

8

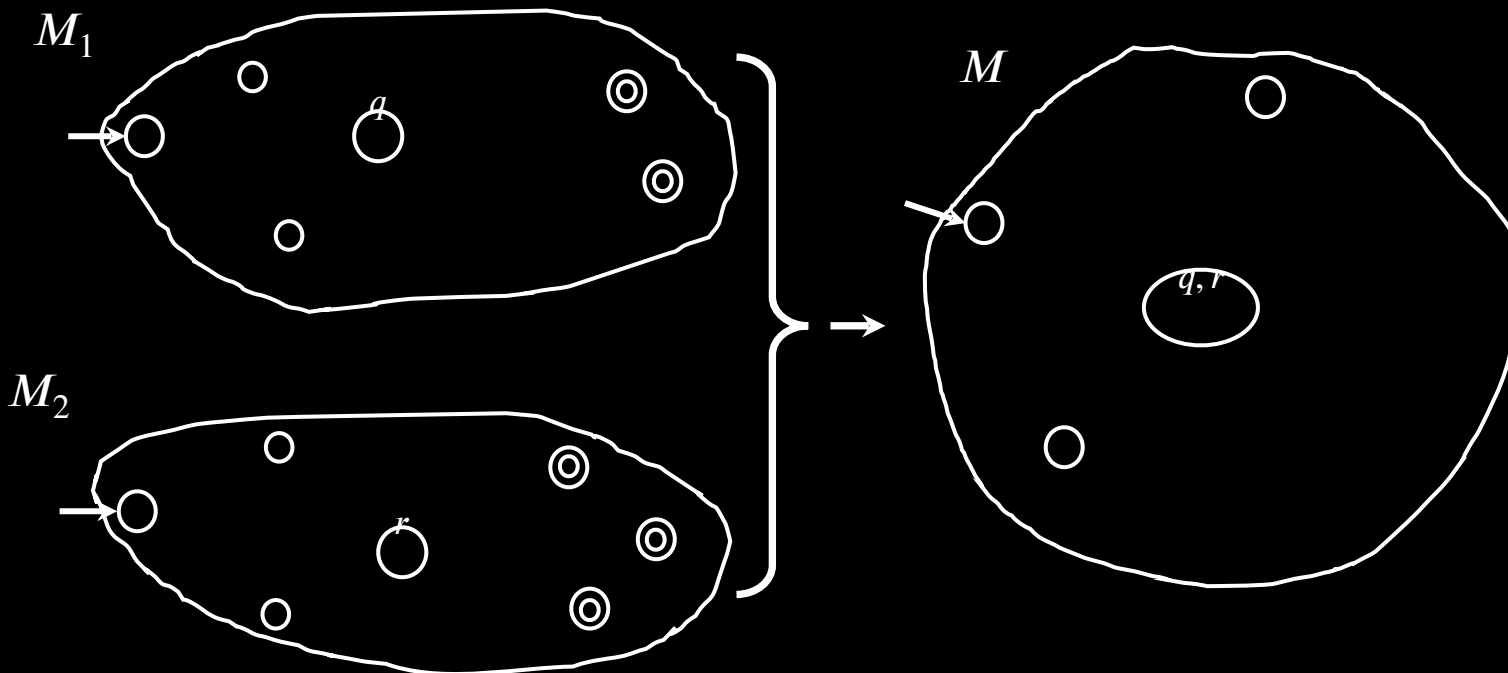
Theorem: If  $A_1, A_2$  are regular languages, so is  $A_1 \cup A_2$  (closure under  $\cup$ )

Proof: Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

Construct  $M = (Q, \Sigma, \delta, q_0, F)$  recognizing  $A_1 \cup A_2$

$M$  should accept input  $w$  if either  $M_1$  or  $M_2$  accept  $w$ .



Components of  $M$ :

$$Q = Q_1 \times Q_2 \\ = \left\{ (q_1, q_2) \mid q_1 \in Q_1 \text{ and } q_2 \in Q_2 \right\}$$

$$q_0 = (q_1, q_2)$$

$$\delta((q, r), a) = (\delta_1(q, a), \delta_2(r, a))$$

$$F = (F_1 \times Q_2) \cup (Q_1 \times F_2)$$

# Closure Properties for Regular Languages

8

Theorem: If  $A_1$ ,  $A_2$  are regular languages, so is  $A_1 \cup A_2$  (closure under  $\cup$ )

Proof: Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

Construct  $M = (Q, \Sigma, \delta, q_0, F)$  recognizing  $A_1 \cup A_2$

$M$  should accept input  $w$  if either  $M_1$  or  $M_2$  accept  $w$ .

## Check-in 1.1

In the proof, if  $M_1$  and  $M_2$  are finite automata where  $M_1$  has  $k_1$  states and  $M_2$  has  $k_2$  states Then how many states does  $M$  have?

- (a)  $k_1 + k_2$
- (b)  $(k_1)^2 + (k_2)^2$
- (c)  $k_1 \times k_2$

Components of  $M$ :

$$Q = Q_1 \times Q_2 \\ = \{ (q_1, q_2) \mid q_1 \in Q_1 \text{ and } q_2 \in Q_2 \}$$

$$q_0 = (q_1, q_2)$$

$$\delta((q, r), a) = (\delta_1(q, a), \delta_2(r, a))$$

$$F = (F_1 \times Q_2) \cup (Q_1 \times F_2)$$

# Closure Properties continued

# Closure Properties continued

**Theorem:** If  $A_1$ ,  $A_2$  are regular languages, so is  $A_1A_2$  (closure under  $\circ$ )

# Closure Properties continued

Theorem: If  $A_1, A_2$  are regular languages, so is  $A_1A_2$  (closure under  $\circ$ )

Proof: Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

# Closure Properties continued

Theorem: If  $A_1, A_2$  are regular languages, so is  $A_1A_2$  (closure under  $\circ$ )

Proof: Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

Construct  $M = (Q, \Sigma, \delta, q_0, F)$  recognizing  $A_1A_2$

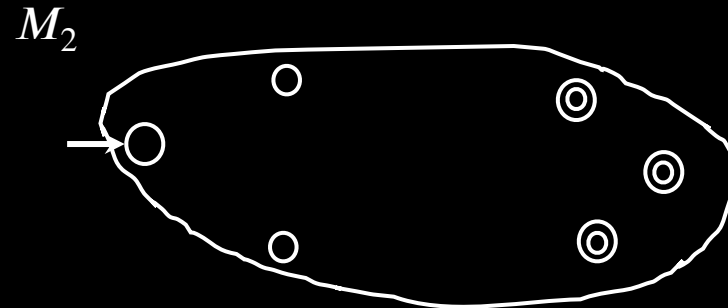
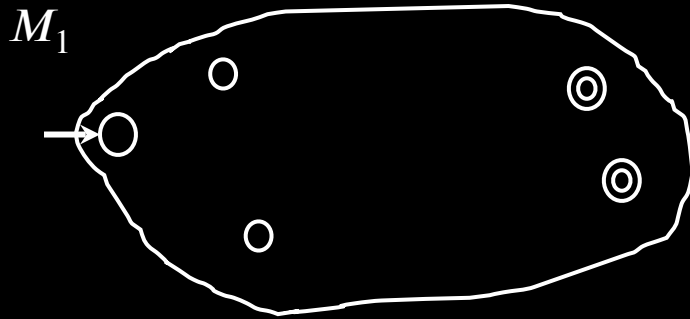
# Closure Properties continued

**Theorem:** If  $A_1$ ,  $A_2$  are regular languages, so is  $A_1A_2$  (closure under  $\circ$ )

**Proof:** Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

Construct  $M = (Q, \Sigma, \delta, q_0, F)$  recognizing  $A_1A_2$



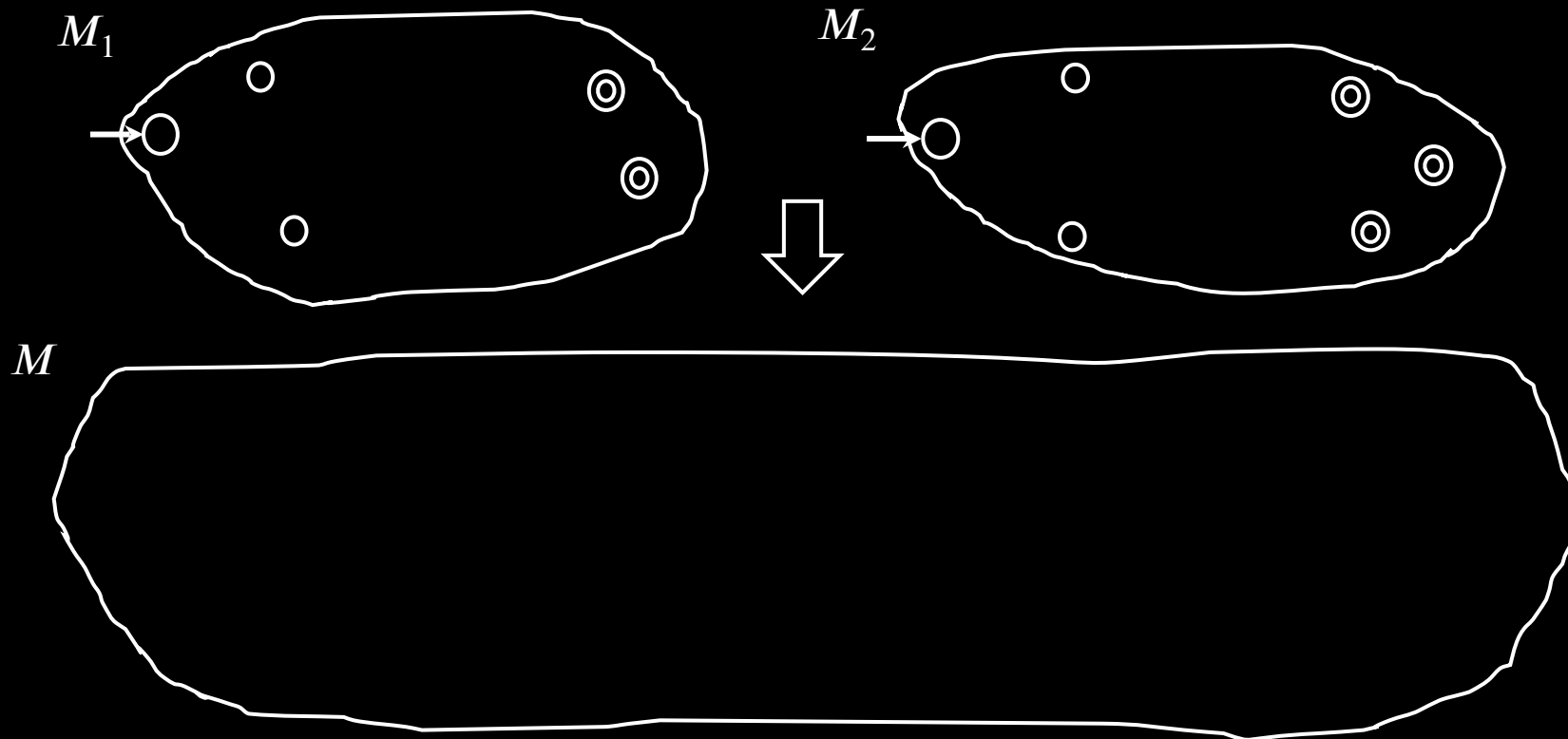
# Closure Properties continued

Theorem: If  $A_1$ ,  $A_2$  are regular languages, so is  $A_1A_2$  (closure under  $\circ$ )

Proof: Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

Construct  $M = (Q, \Sigma, \delta, q_0, F)$  recognizing  $A_1A_2$





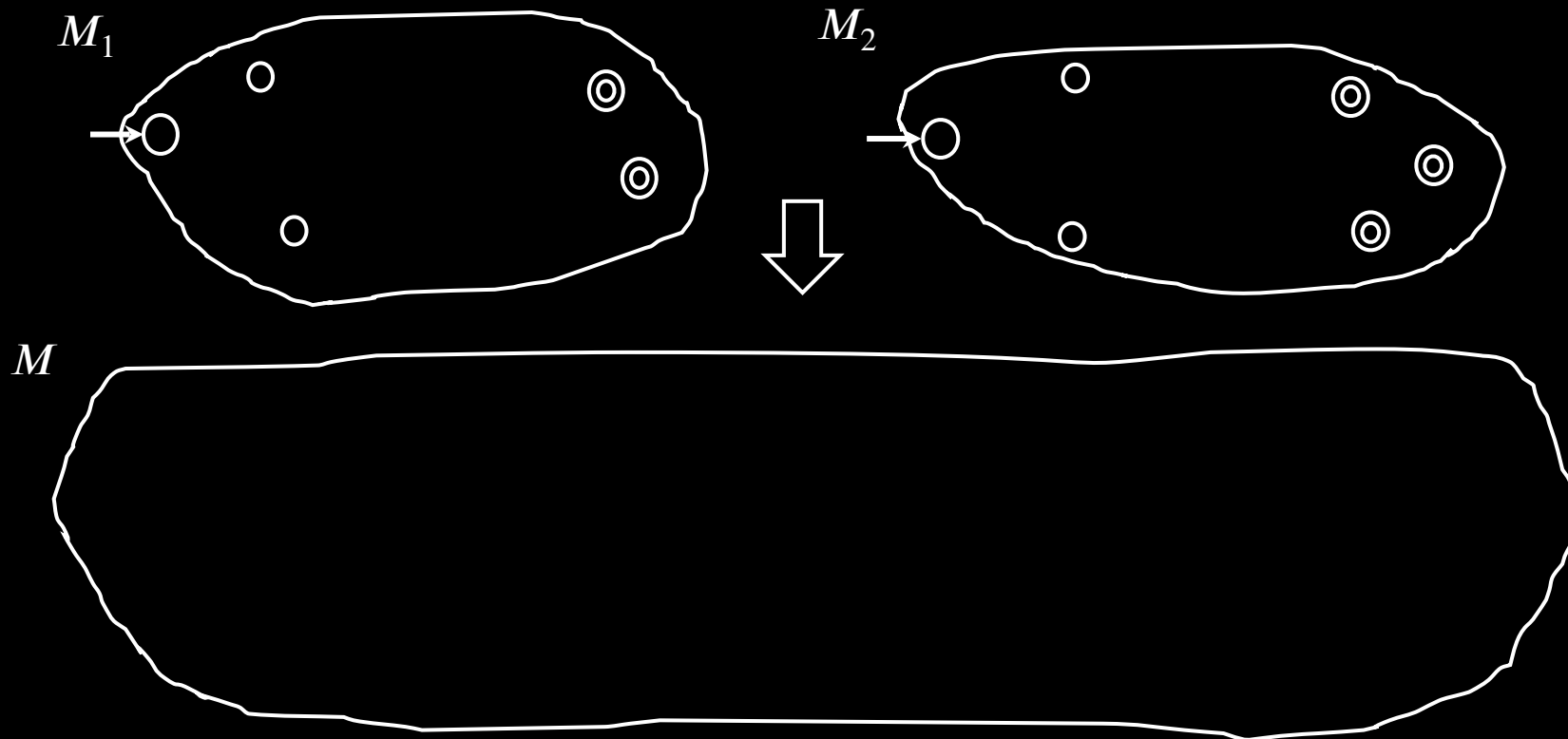
# Closure Properties continued

Theorem: If  $A_1, A_2$  are regular languages, so is  $A_1A_2$  (closure under  $\circ$ )

Proof: Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

Construct  $M = (Q, \Sigma, \delta, q_0, F)$  recognizing  $A_1A_2$



$M$  should accept input  $w$   
if  $w = xy$  where  
 $M_1$  accepts  $x$  and  $M_2$  accepts  $y$ .

# Closure Properties continued

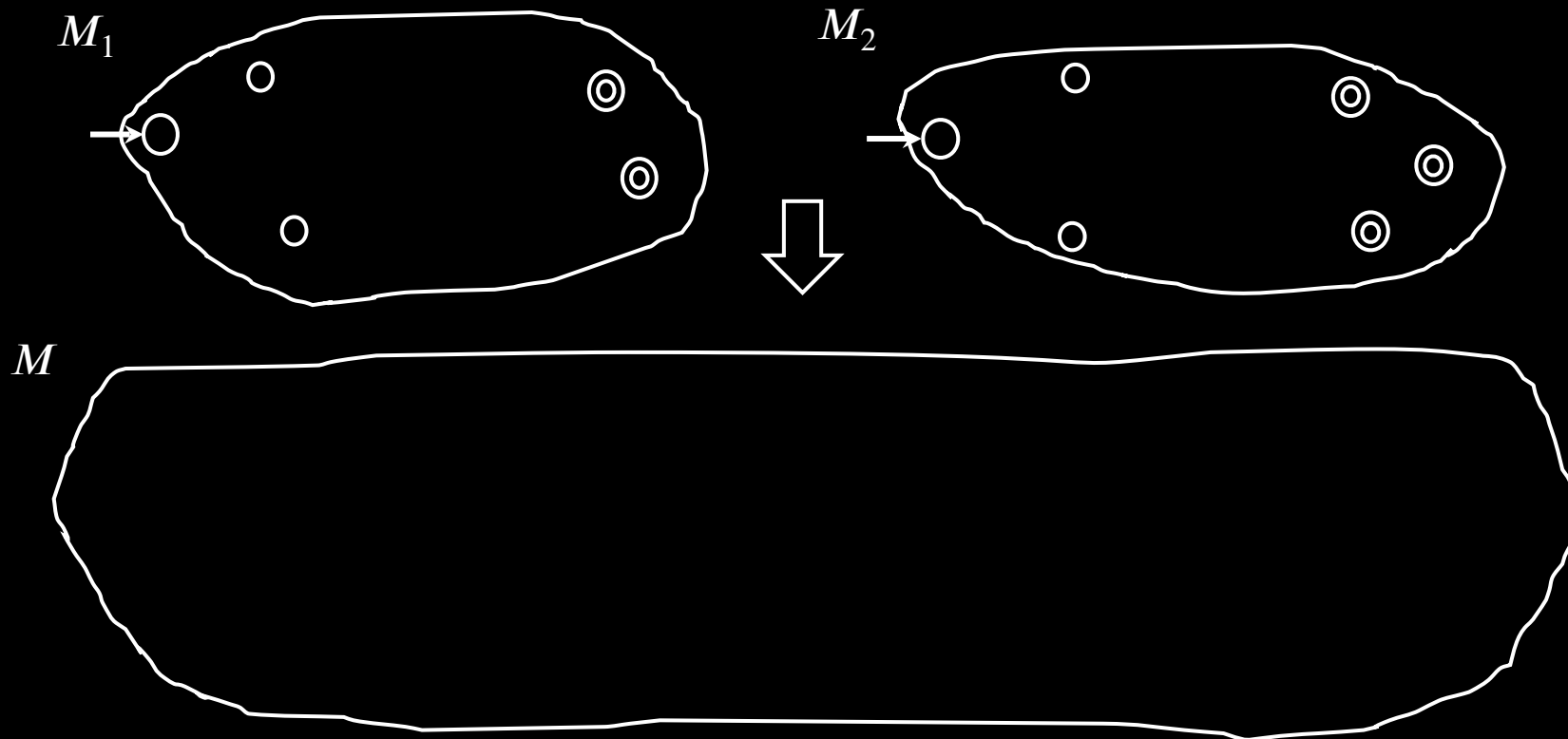
9

Theorem: If  $A_1, A_2$  are regular languages, so is  $A_1A_2$  (closure under  $\circ$ )

Proof: Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

Construct  $M = (Q, \Sigma, \delta, q_0, F)$  recognizing  $A_1A_2$



$M$  should accept input  $w$   
if  $w = xy$  where  
 $M_1$  accepts  $x$  and  $M_2$  accepts  $y$ .  
 $w$  \_\_\_\_\_

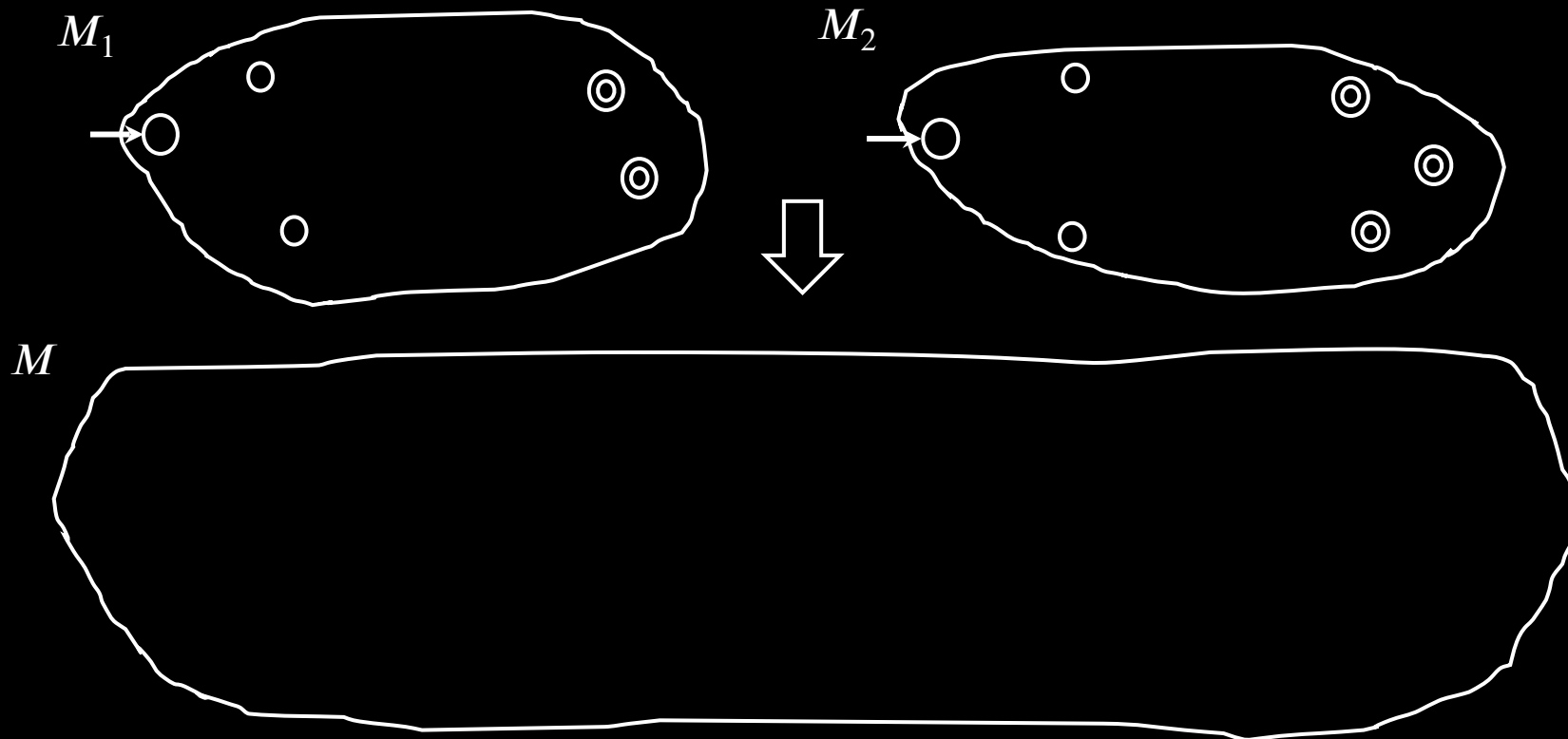
# Closure Properties continued

**Theorem:** If  $A_1, A_2$  are regular languages, so is  $A_1A_2$  (closure under  $\circ$ )

**Proof:** Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

Construct  $M = (Q, \Sigma, \delta, q_0, F)$  recognizing  $A_1A_2$



$M$  should accept input  $w$   
if  $w = xy$  where  
 $M_1$  accepts  $x$  and  $M_2$  accepts  $y$ .

$w$   $\xrightarrow{x}$   $\mid$   $\xrightarrow{y}$

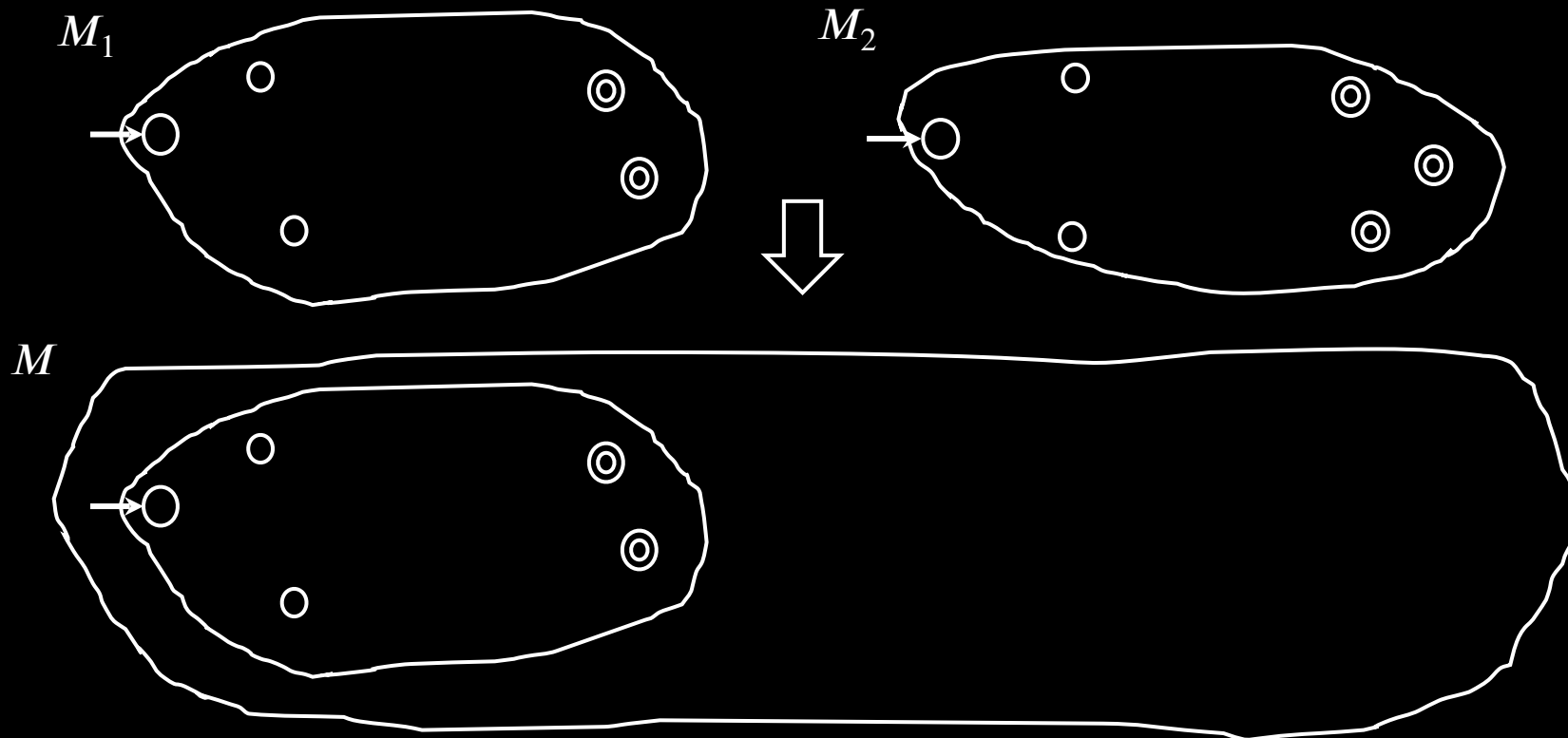
# Closure Properties continued

Theorem: If  $A_1, A_2$  are regular languages, so is  $A_1A_2$  (closure under  $\circ$ )

Proof: Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

Construct  $M = (Q, \Sigma, \delta, q_0, F)$  recognizing  $A_1A_2$



$M$  should accept input  $w$   
if  $w = xy$  where  
 $M_1$  accepts  $x$  and  $M_2$  accepts  $y$ .

$w$   $\xrightarrow{x}$   $\xrightarrow{y}$

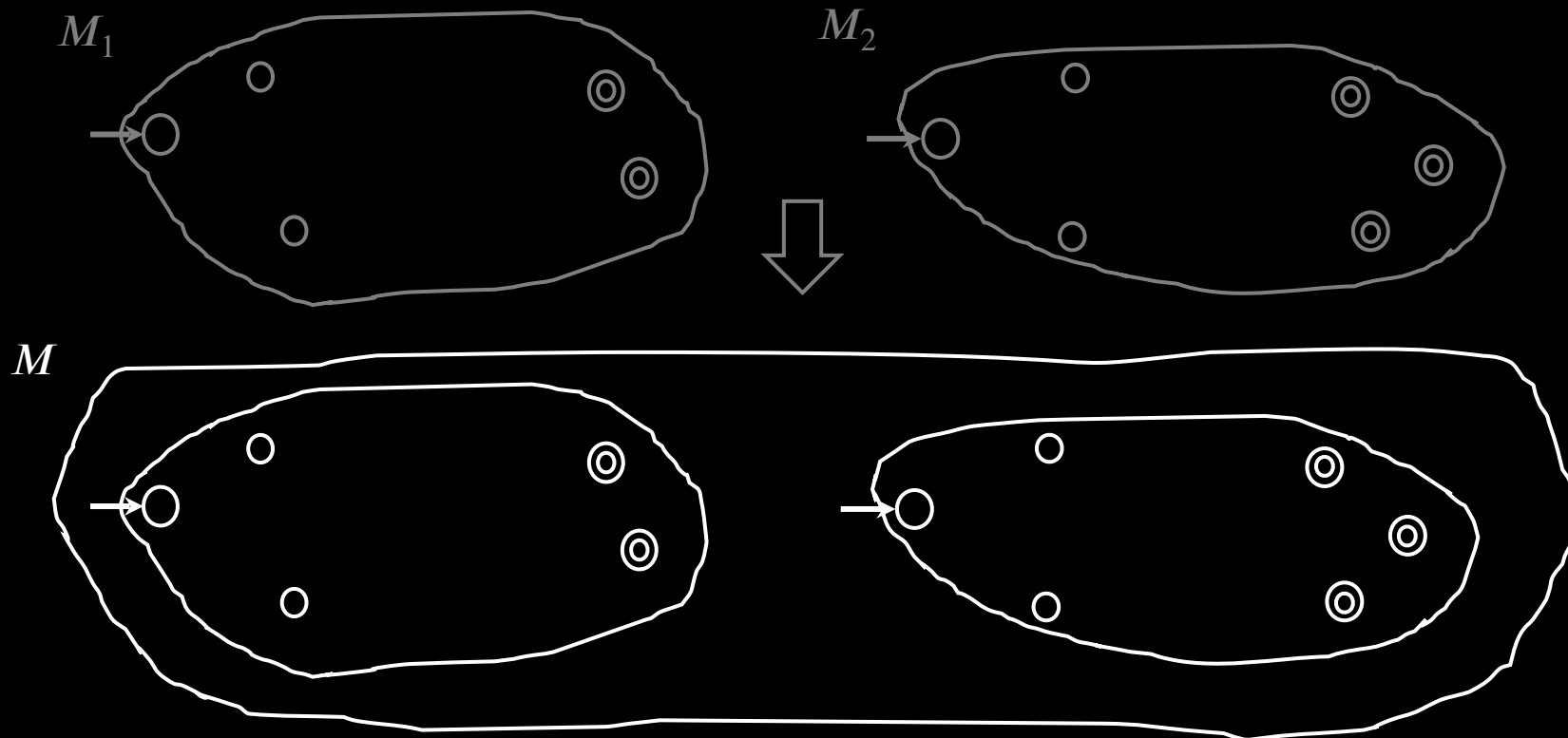
# Closure Properties continued

Theorem: If  $A_1, A_2$  are regular languages, so is  $A_1A_2$  (closure under  $\circ$ )

Proof: Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

Construct  $M = (Q, \Sigma, \delta, q_0, F)$  recognizing  $A_1A_2$



$M$  should accept input  $w$   
if  $w = xy$  where  
 $M_1$  accepts  $x$  and  $M_2$  accepts  $y$ .

$w$   $\xrightarrow{x}$   $\mid$   $\xrightarrow{y}$

# Closure Properties continued

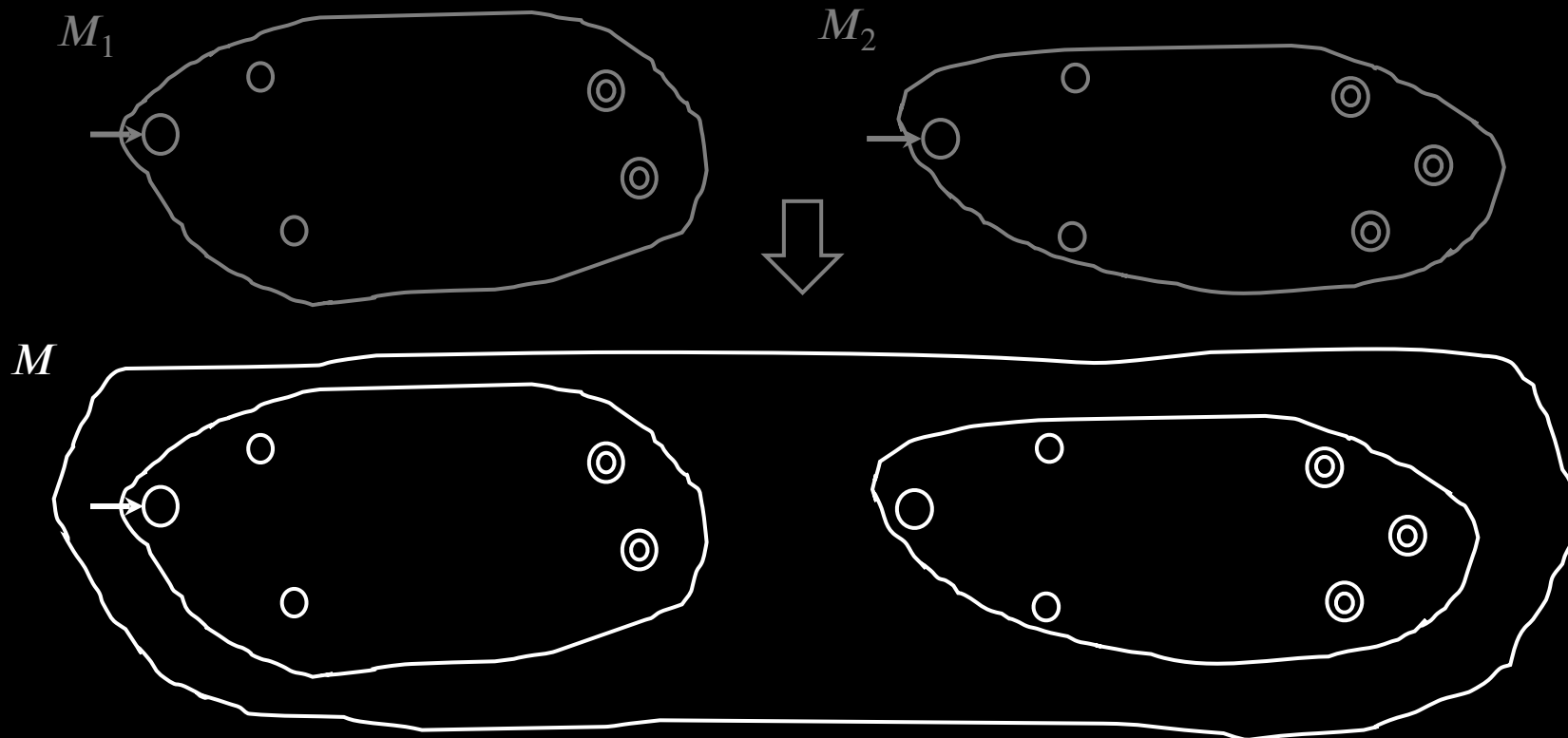
9

Theorem: If  $A_1, A_2$  are regular languages, so is  $A_1A_2$  (closure under  $\circ$ )

Proof: Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

Construct  $M = (Q, \Sigma, \delta, q_0, F)$  recognizing  $A_1A_2$



$M$  should accept input  $w$   
if  $w = xy$  where  
 $M_1$  accepts  $x$  and  $M_2$  accepts  $y$ .

$w$   $\xrightarrow{x}$   $\mid$   $\xrightarrow{y}$

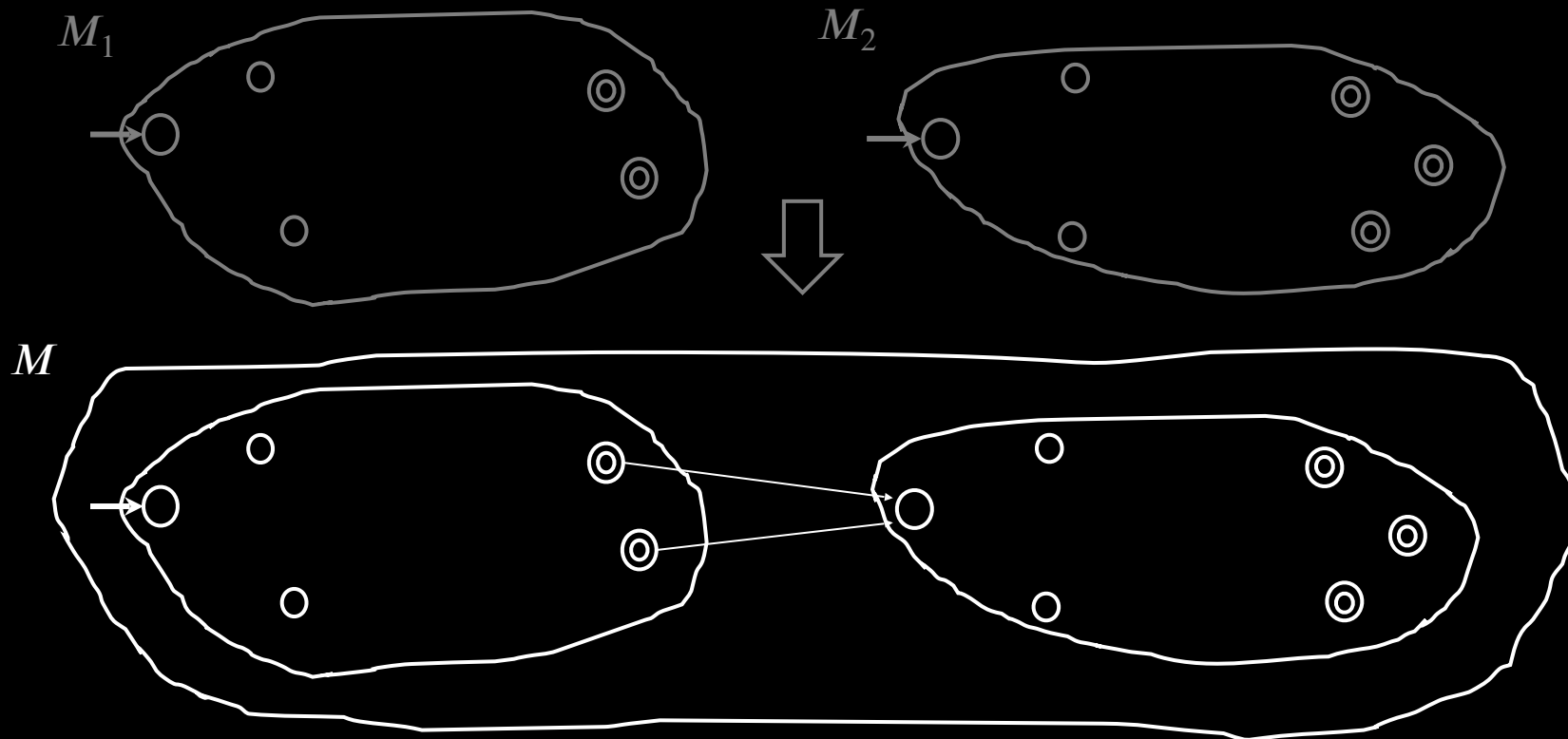
# Closure Properties continued

Theorem: If  $A_1, A_2$  are regular languages, so is  $A_1A_2$  (closure under  $\circ$ )

Proof: Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

Construct  $M = (Q, \Sigma, \delta, q_0, F)$  recognizing  $A_1A_2$



$M$  should accept input  $w$   
if  $w = xy$  where  
 $M_1$  accepts  $x$  and  $M_2$  accepts  $y$ .

$w$   $\xrightarrow{x}$   $\mid$   $\xrightarrow{y}$

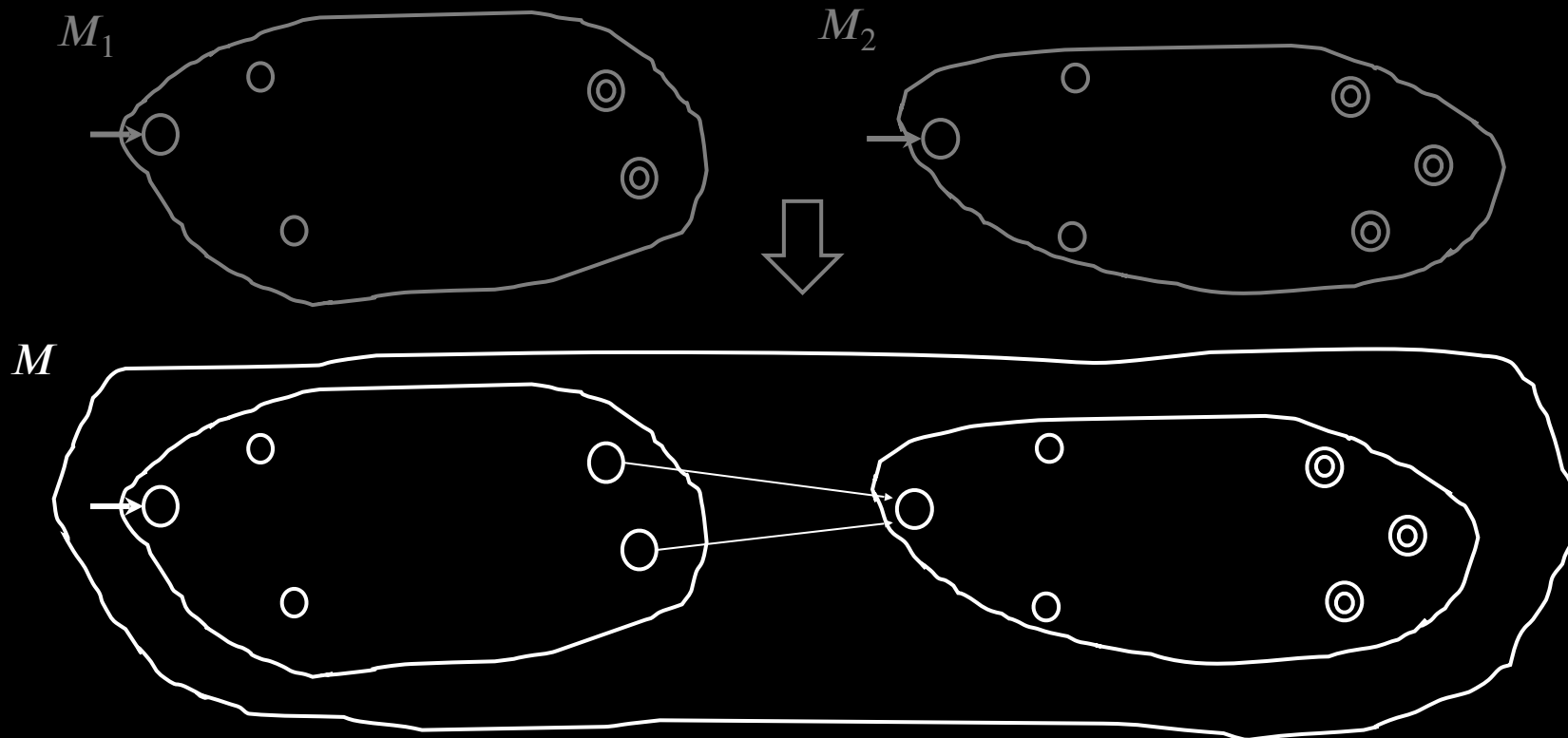
# Closure Properties continued

Theorem: If  $A_1, A_2$  are regular languages, so is  $A_1A_2$  (closure under  $\circ$ )

Proof: Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

Construct  $M = (Q, \Sigma, \delta, q_0, F)$  recognizing  $A_1A_2$



$M$  should accept input  $w$   
if  $w = xy$  where  
 $M_1$  accepts  $x$  and  $M_2$  accepts  $y$ .

$w$   $\xrightarrow{x}$   $\mid$   $\xrightarrow{y}$



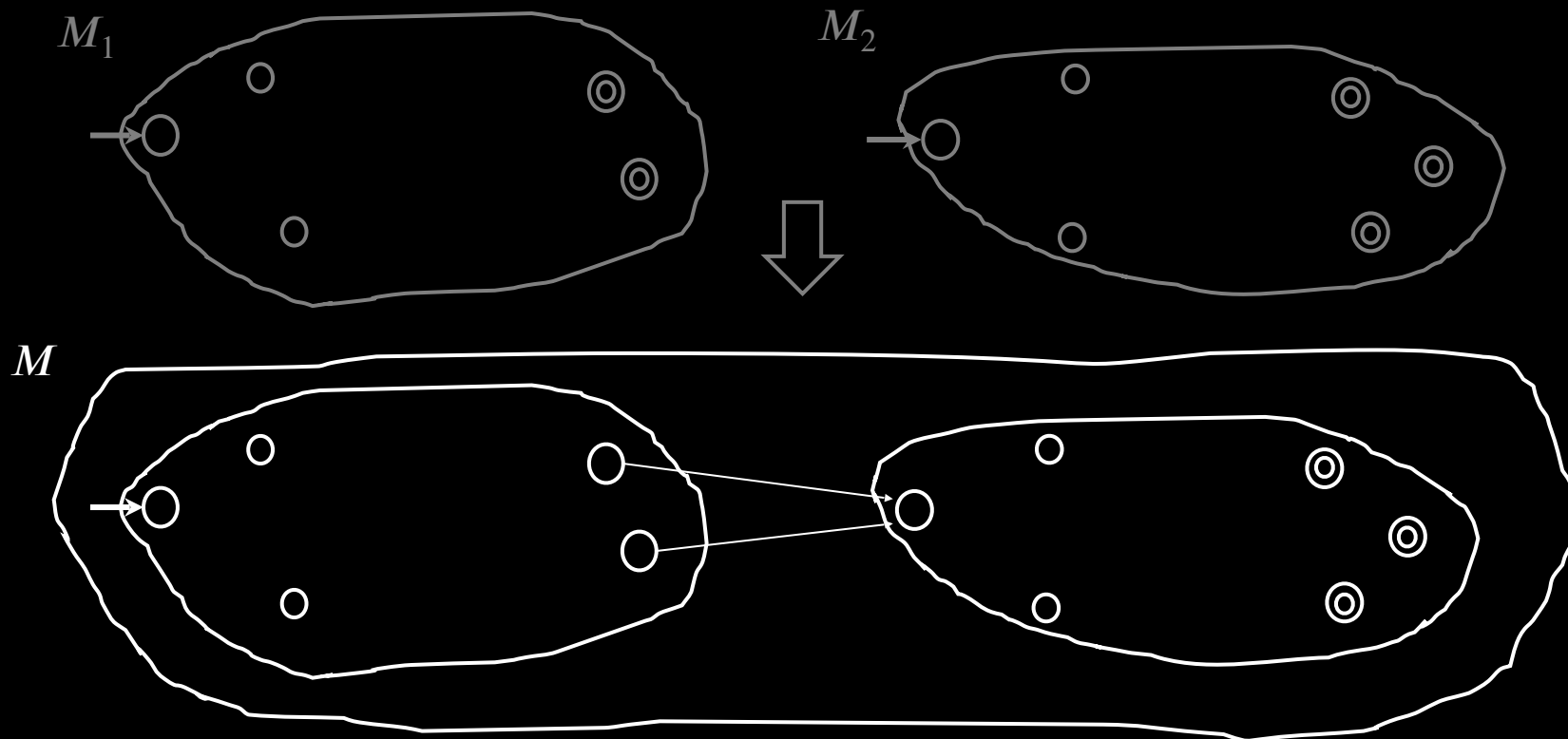
# Closure Properties continued

Theorem: If  $A_1, A_2$  are regular languages, so is  $A_1A_2$  (closure under  $\circ$ )

Proof: Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

Construct  $M = (Q, \Sigma, \delta, q_0, F)$  recognizing  $A_1A_2$



$M$  should accept input  $w$   
if  $w = xy$  where  
 $M_1$  accepts  $x$  and  $M_2$  accepts  $y$ .

$w$   $\xrightarrow{x}$   $\mid$   $\xrightarrow{y}$

Doesn't work: Where to split  $w$ ?

# Closure Properties for Regular Languages

Theorem: If  $A_1, A_2$  are regular languages, so is  $A_1A_2$  (closure under  $\circ$ )

Recall proof attempt: Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

Construct  $M = (Q, \Sigma, \delta, q_0, F)$  recognizing  $A_1A_2$

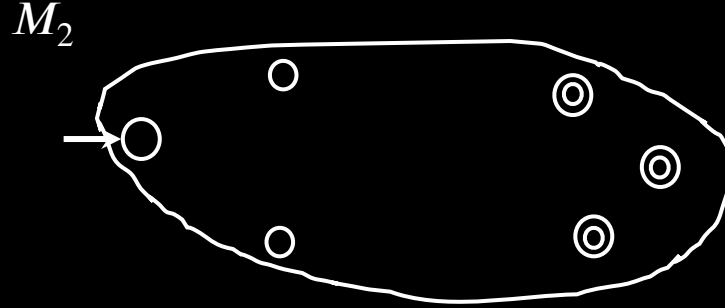
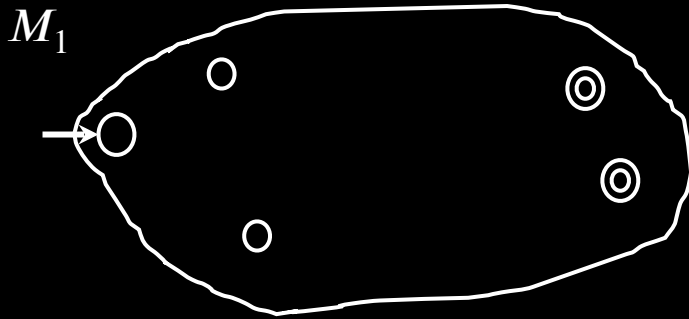
# Closure Properties for Regular Languages

Theorem: If  $A_1$ ,  $A_2$  are regular languages, so is  $A_1A_2$  (closure under  $\circ$ )

Recall proof attempt: Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

Construct  $M = (Q, \Sigma, \delta, q_0, F)$  recognizing  $A_1A_2$



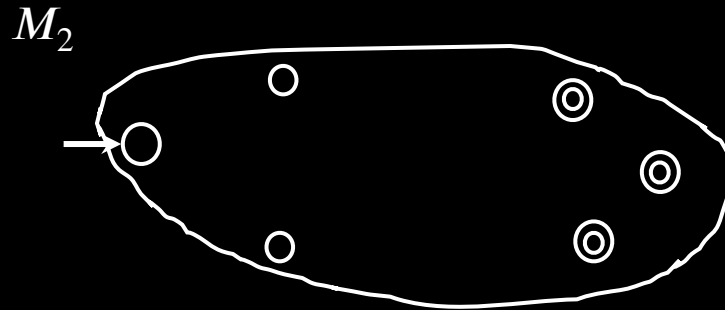
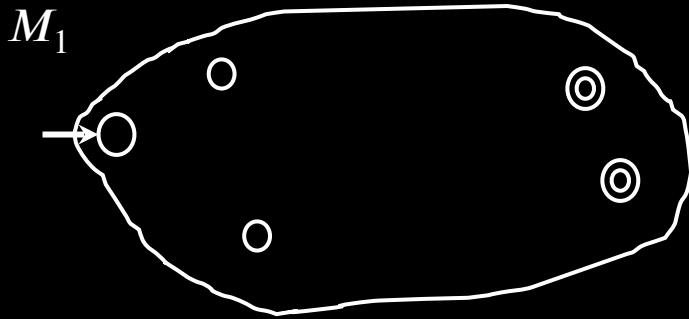
# Closure Properties for Regular Languages

Theorem: If  $A_1$ ,  $A_2$  are regular languages, so is  $A_1A_2$  (closure under  $\circ$ )

Recall proof attempt: Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

Construct  $M = (Q, \Sigma, \delta, q_0, F)$  recognizing  $A_1A_2$



$M$  should accept input  $w$   
if  $w = xy$  where  
 $M_1$  accepts  $x$  and  $M_2$  accepts  $y$ .

$w$

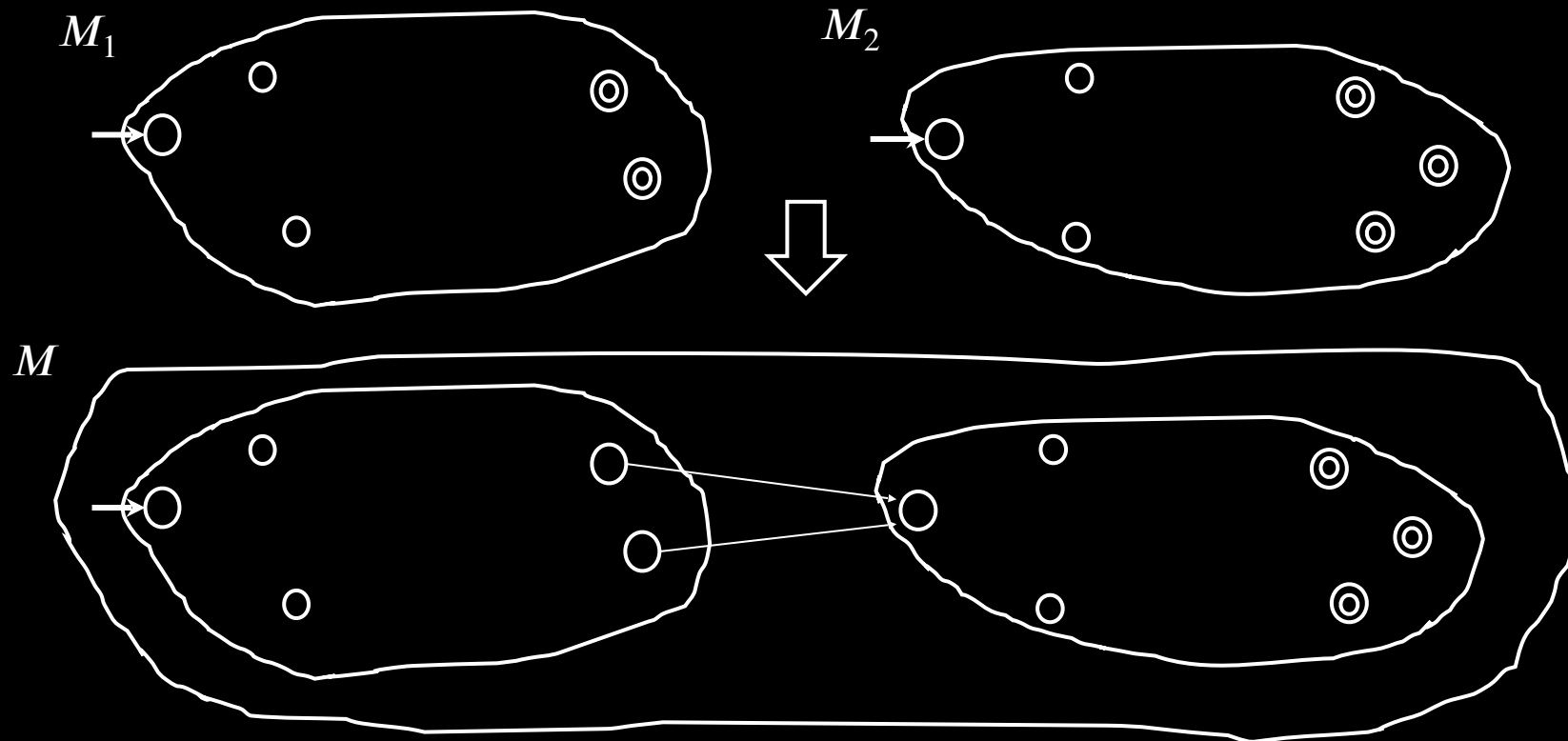
# Closure Properties for Regular Languages

Theorem: If  $A_1$ ,  $A_2$  are regular languages, so is  $A_1A_2$  (closure under  $\circ$ )

Recall proof attempt: Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

Construct  $M = (Q, \Sigma, \delta, q_0, F)$  recognizing  $A_1A_2$



$M$  should accept input  $w$   
if  $w = xy$  where  
 $M_1$  accepts  $x$  and  $M_2$  accepts  $y$ .



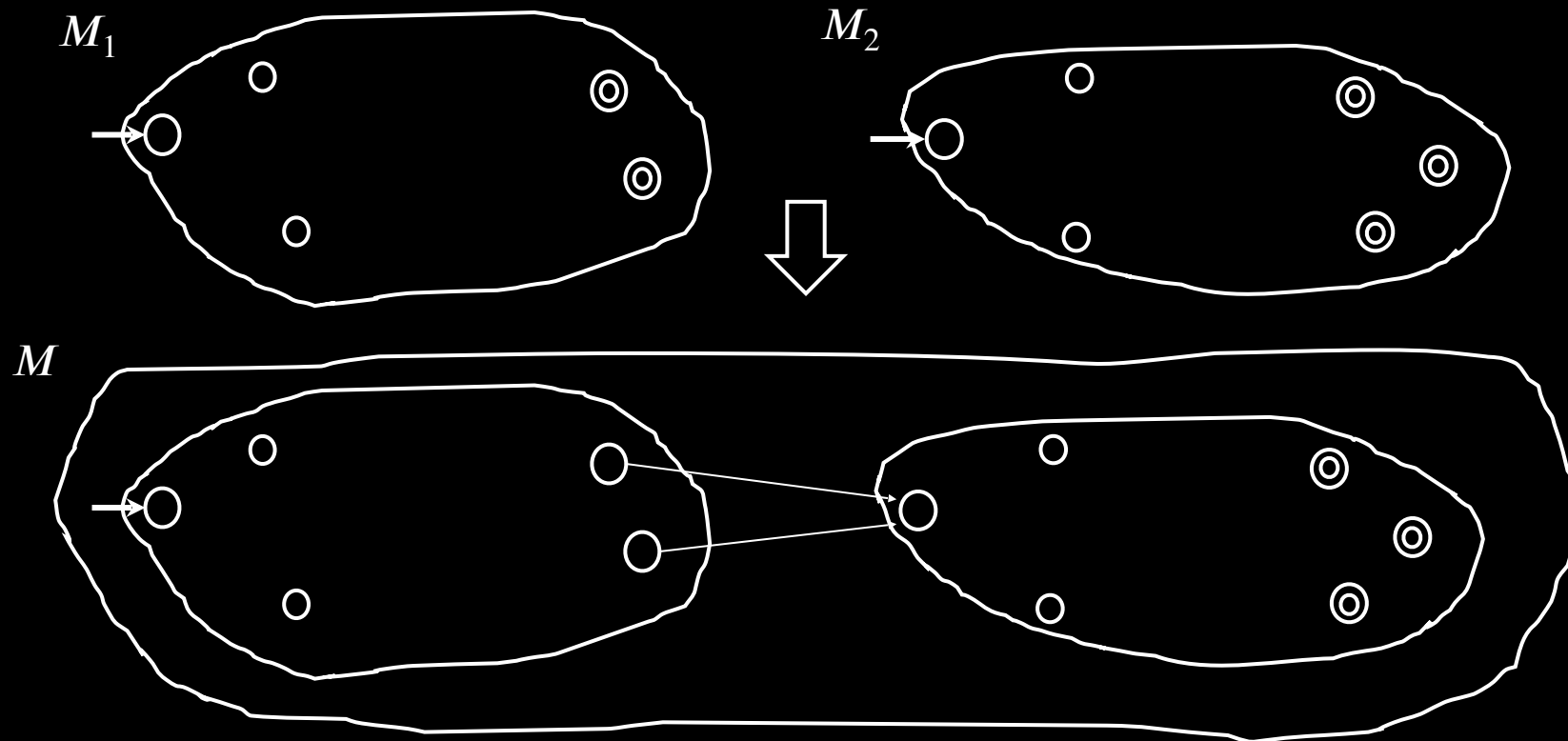
# Closure Properties for Regular Languages

Theorem: If  $A_1$ ,  $A_2$  are regular languages, so is  $A_1A_2$  (closure under  $\circ$ )

Recall proof attempt: Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

Construct  $M = (Q, \Sigma, \delta, q_0, F)$  recognizing  $A_1A_2$



$M$  should accept input  $w$   
if  $w = xy$  where  
 $M_1$  accepts  $x$  and  $M_2$  accepts  $y$ .

$w$   $\xrightarrow{x}$   $|$   $\xrightarrow{y}$

Doesn't work: Where to split  $w$ ?

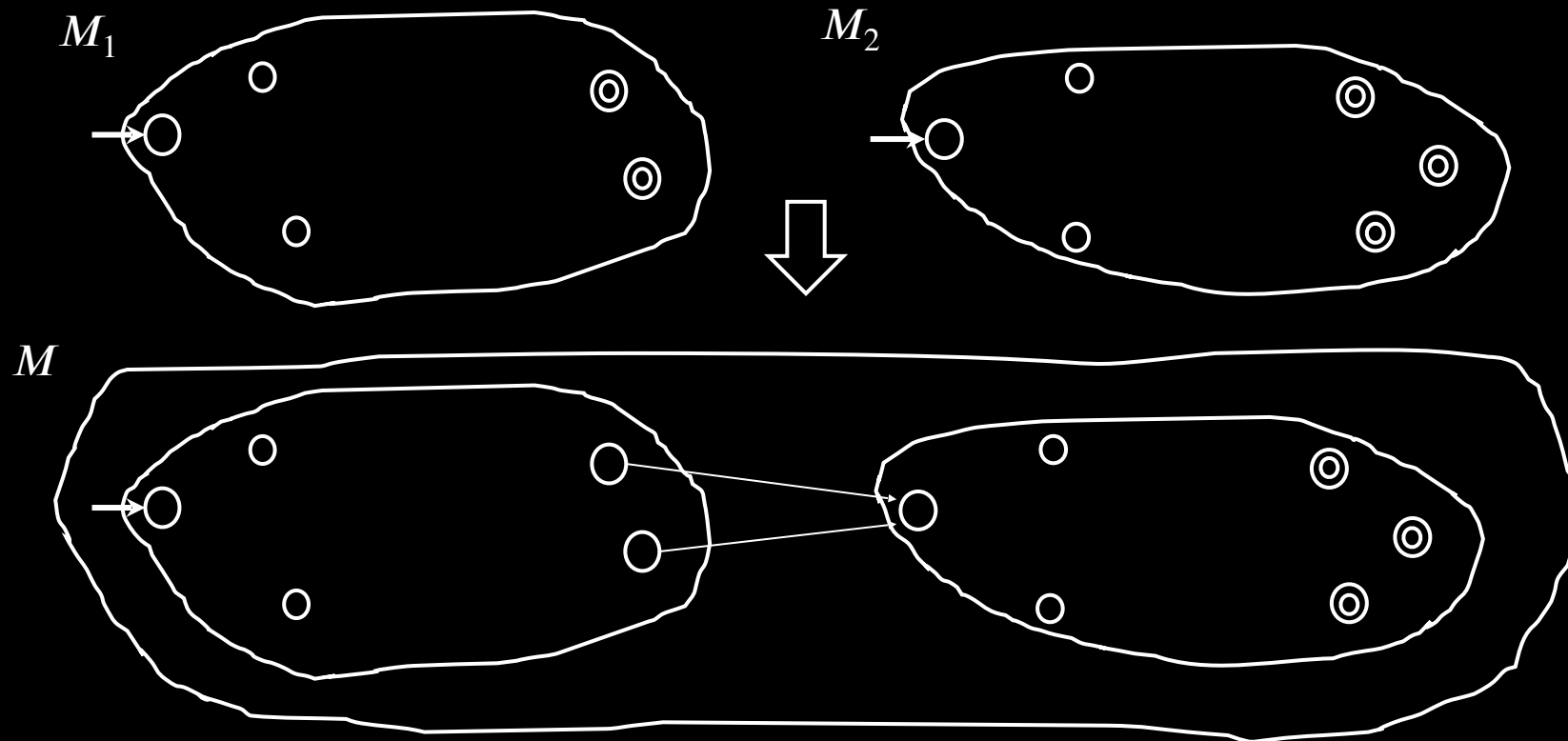
# Closure Properties for Regular Languages

Theorem: If  $A_1$ ,  $A_2$  are regular languages, so is  $A_1A_2$  (closure under  $\circ$ )

Recall proof attempt: Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognize  $A_2$

Construct  $M = (Q, \Sigma, \delta, q_0, F)$  recognizing  $A_1A_2$



$M$  should accept input  $w$   
if  $w = xy$  where  
 $M_1$  accepts  $x$  and  $M_2$  accepts  $y$ .

$w$   $\xrightarrow{x}$   $\mid$   $\xrightarrow{y}$

Doesn't work: Where to split  $w$ ?

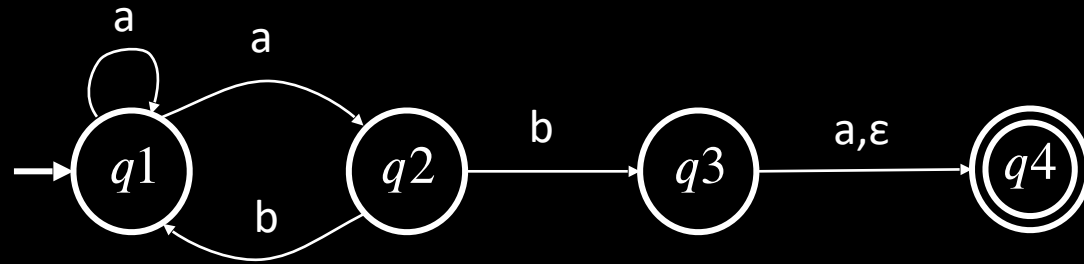
Hold off. Need new concept.

# Nondeterministic Finite Automata

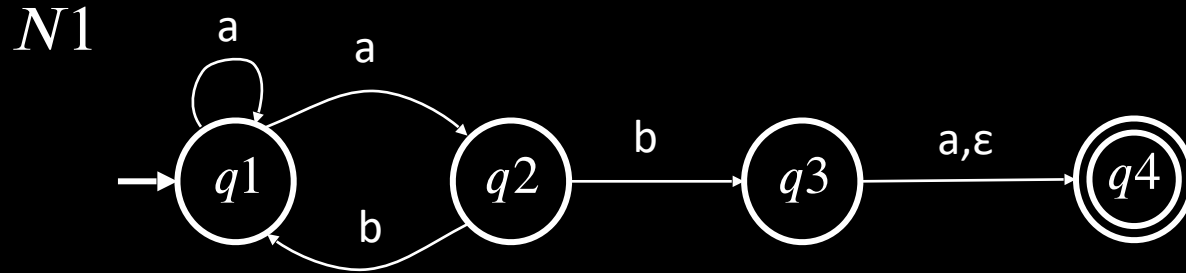


# Nondeterministic Finite Automata

$N1$



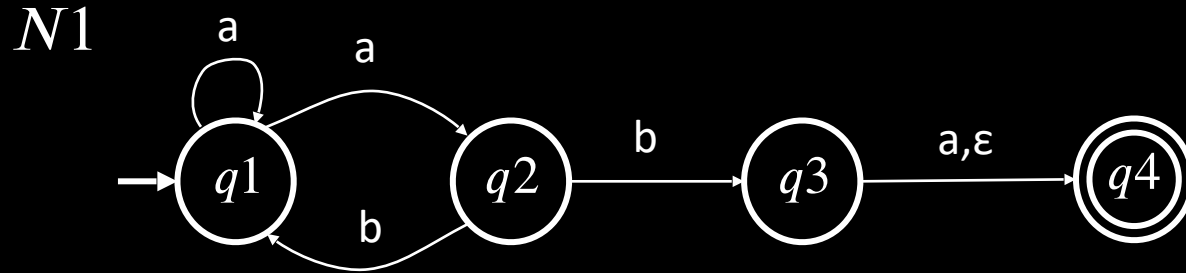
# Nondeterministic Finite Automata



## New features of nondeterminism:

- multiple paths possible (0, 1 or many at each step)

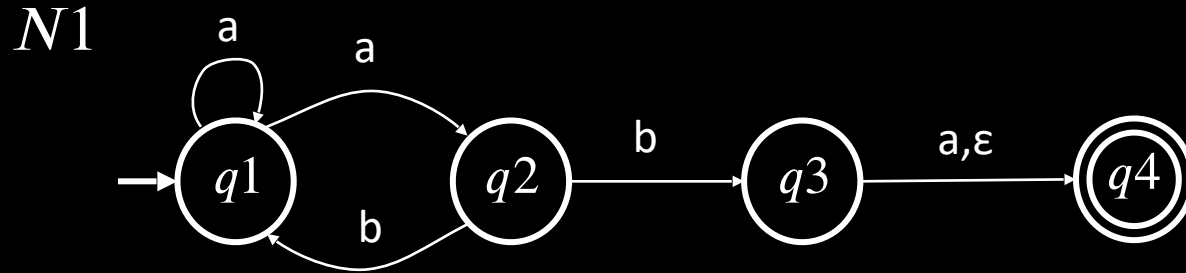
# Nondeterministic Finite Automata



## New features of nondeterminism:

- multiple paths possible (0, 1 or many at each step)
- $\epsilon$ -transition is a “free” move without reading input

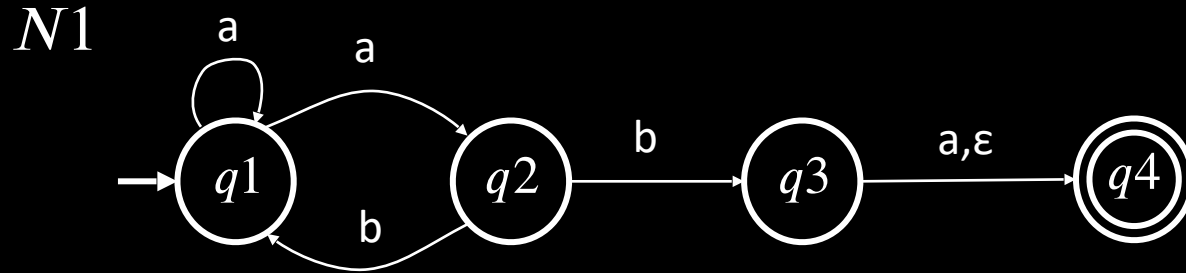
# Nondeterministic Finite Automata



## New features of nondeterminism:

- multiple paths possible (0, 1 or many at each step)
- $\epsilon$ -transition is a “free” move without reading input
- Accept input if some path leads to  $\odot$  accept

# Nondeterministic Finite Automata



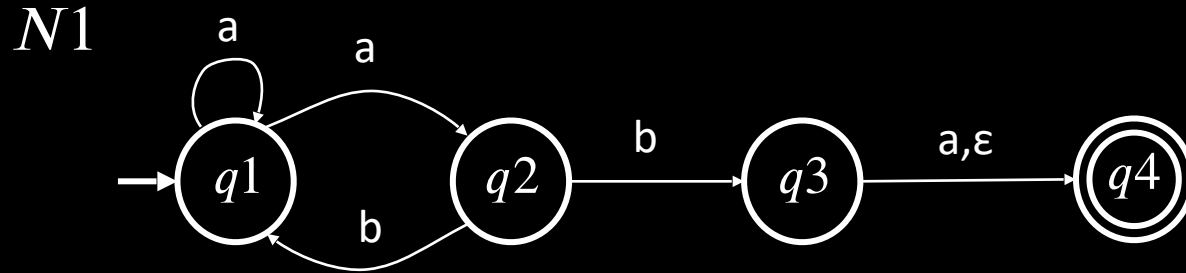
## New features of nondeterminism:

- multiple paths possible (0, 1 or many at each step)
- $\epsilon$ -transition is a “free” move without reading input
- Accept input if some path leads to  $\odot$  accept

## Example inputs:

- ab

# Nondeterministic Finite Automata



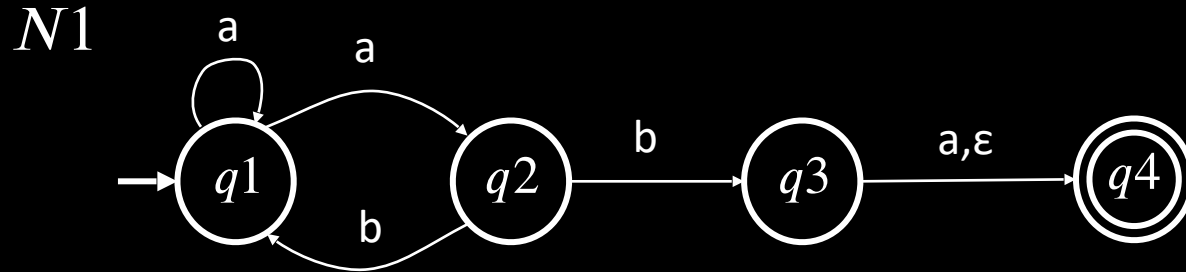
## New features of nondeterminism:

- multiple paths possible (0, 1 or many at each step)
- $\epsilon$ -transition is a “free” move without reading input
- Accept input if some path leads to  $\odot$  accept

## Example inputs:

- ab accept

# Nondeterministic Finite Automata



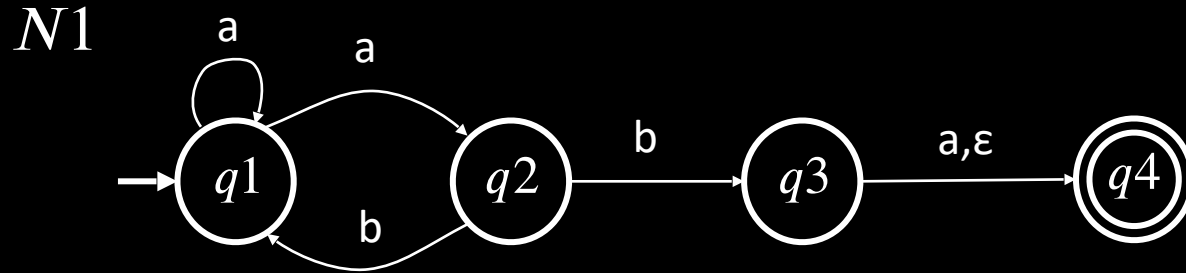
## New features of nondeterminism:

- multiple paths possible (0, 1 or many at each step)
- $\epsilon$ -transition is a “free” move without reading input
- Accept input if some path leads to  $\odot$  accept

## Example inputs:

- ab accept
- aa

# Nondeterministic Finite Automata



## New features of nondeterminism:

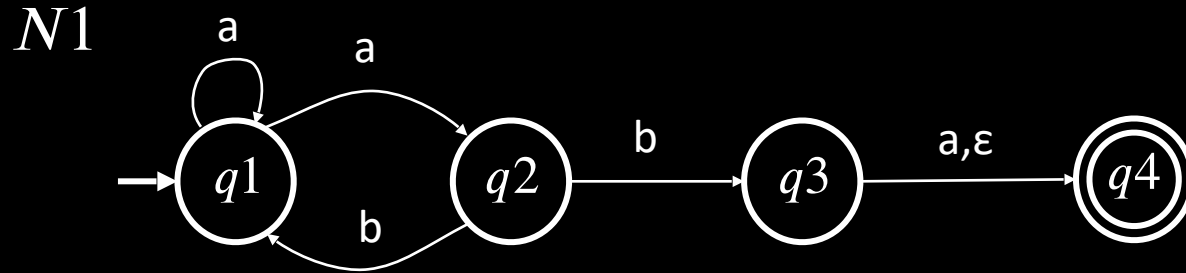
- multiple paths possible (0, 1 or many at each step)
- $\epsilon$ -transition is a “free” move without reading input
- Accept input if some path leads to  $\odot$  accept

## Example inputs:

- ab accept
- aa reject



# Nondeterministic Finite Automata



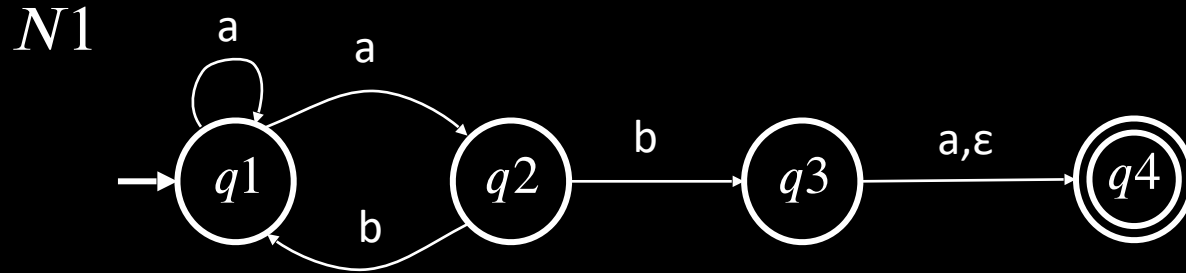
## New features of nondeterminism:

- multiple paths possible (0, 1 or many at each step)
- $\epsilon$ -transition is a “free” move without reading input
- Accept input if some path leads to  $\odot$  accept

## Example inputs:

- ab accept
- aa reject
- aba

# Nondeterministic Finite Automata



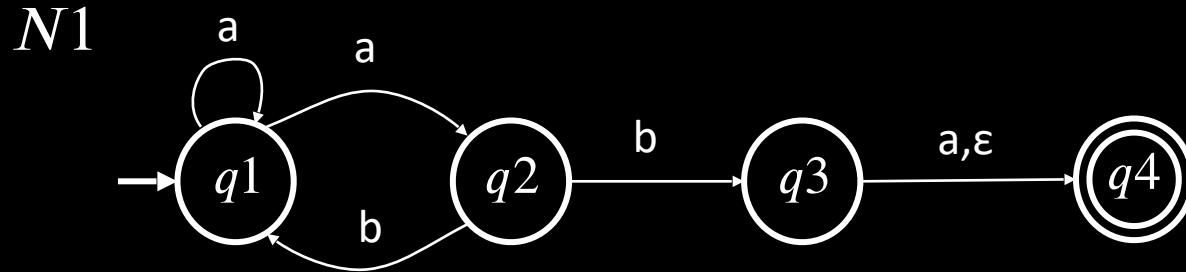
## New features of nondeterminism:

- multiple paths possible (0, 1 or many at each step)
- $\epsilon$ -transition is a “free” move without reading input
- Accept input if some path leads to  $\odot$  accept

## Example inputs:

- ab accept
- aa reject
- aba accept

# Nondeterministic Finite Automata



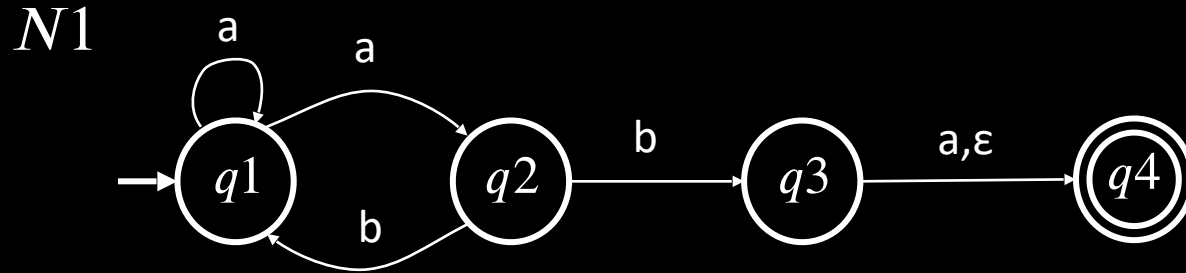
## New features of nondeterminism:

- multiple paths possible (0, 1 or many at each step)
- $\epsilon$ -transition is a “free” move without reading input
- Accept input if some path leads to  $\odot$  accept

## Example inputs:

- ab accept
- aa reject
- aba accept
- abb

# Nondeterministic Finite Automata



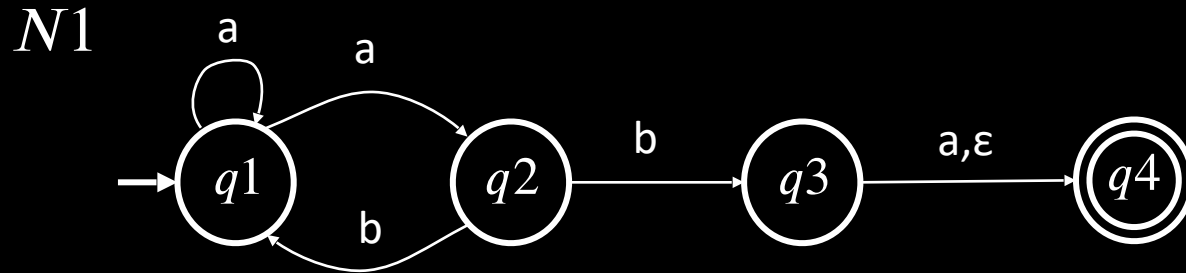
## New features of nondeterminism:

- multiple paths possible (0, 1 or many at each step)
- $\epsilon$ -transition is a “free” move without reading input
- Accept input if some path leads to  $\odot$  accept

## Example inputs:

- ab accept
- aa reject
- aba accept
- abb reject

# Nondeterministic Finite Automata



Nondeterminism doesn't correspond to a physical machine we can build. However, it is useful mathematically.

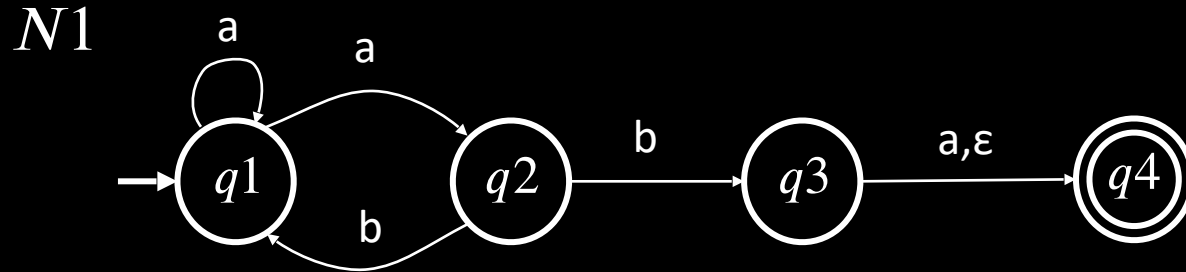
## New features of nondeterminism:

- multiple paths possible (0, 1 or many at each step)
- $\epsilon$ -transition is a “free” move without reading input
- Accept input if some path leads to  $\odot$  accept

## Example inputs:

- ab accept
- aa reject
- aba accept
- abb reject

# Nondeterministic Finite Automata



Nondeterminism doesn't correspond to a physical machine we can build. However, it is useful mathematically.

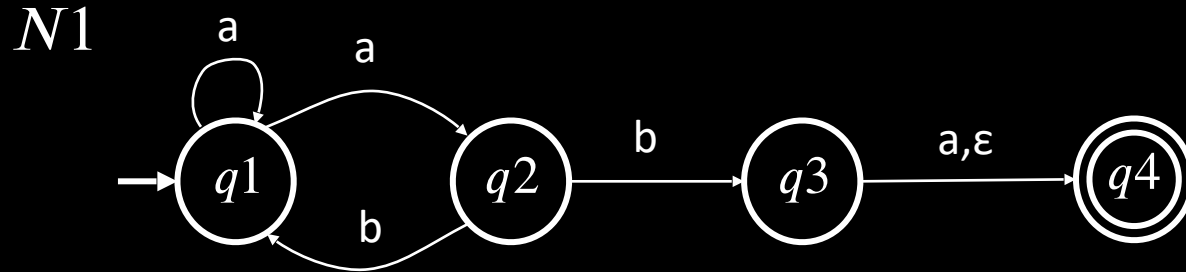
## New features of nondeterminism:

- multiple paths possible (0, 1 or many at each step)
- $\epsilon$ -transition is a “free” move without reading input
- Accept input if some path leads to  $\odot$  accept

## Example inputs:

- ab accept
- aa reject
- aba accept
- abb reject

# Nondeterministic Finite Automata



Nondeterminism doesn't correspond to a physical machine we can build. However, it is useful mathematically.

## New features of nondeterminism:

- multiple paths possible (0, 1 or many at each step)
- $\epsilon$ -transition is a “free” move without reading input
- Accept input if some path leads to  $\odot$  accept

## Example inputs:

- ab accept
- aa reject
- aba accept
- abb reject

### Check-in 2.1

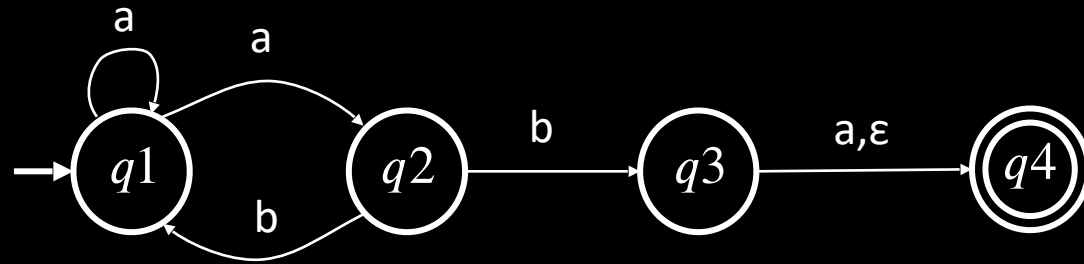
What does  $N_1$  do on input aab ?

- (a) Accept
- (b) Reject
- (c) Both Accept and Reject

Check-in 2.1

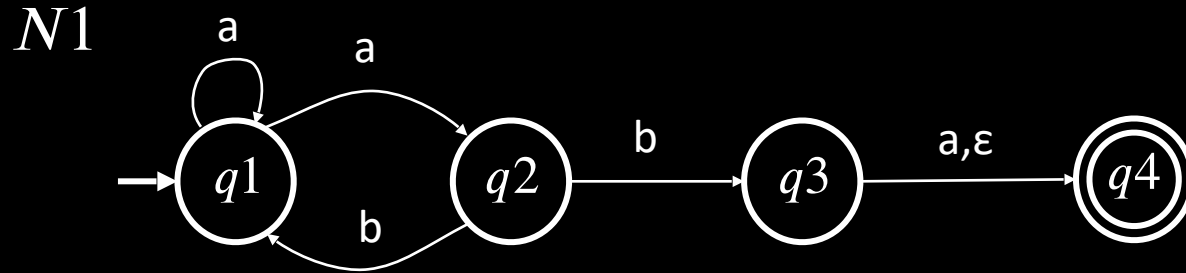
# NFA – Formal Definition

$N1$





# NFA – Formal Definition

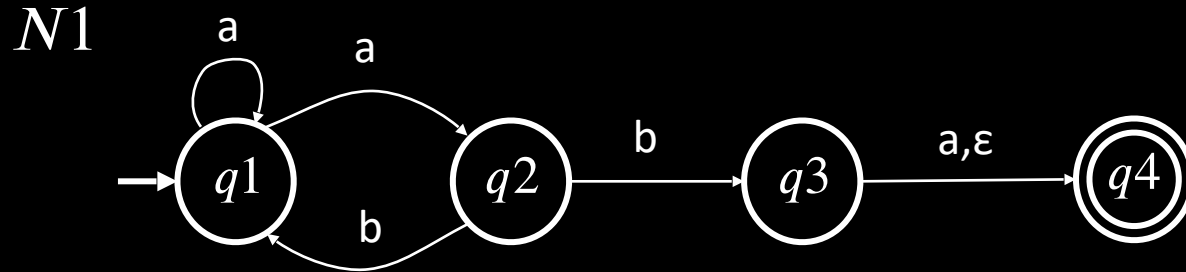


**Defn:** A nondeterministic finite automaton (NFA)

$N$  is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$

states  
alphabet  
transition function  
start state  
accept states

# NFA – Formal Definition



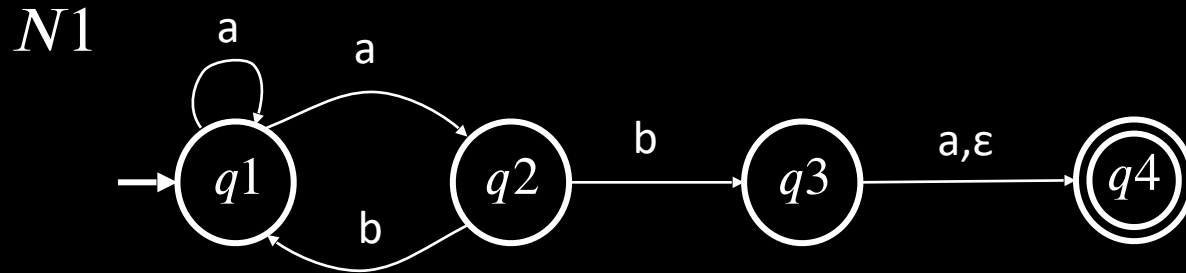
**Defn:** A nondeterministic finite automaton (NFA)

$N$  is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$

states  
alphabet  
transition function  
start state  
accept states

- all same as before except  $\delta$

# NFA – Formal Definition



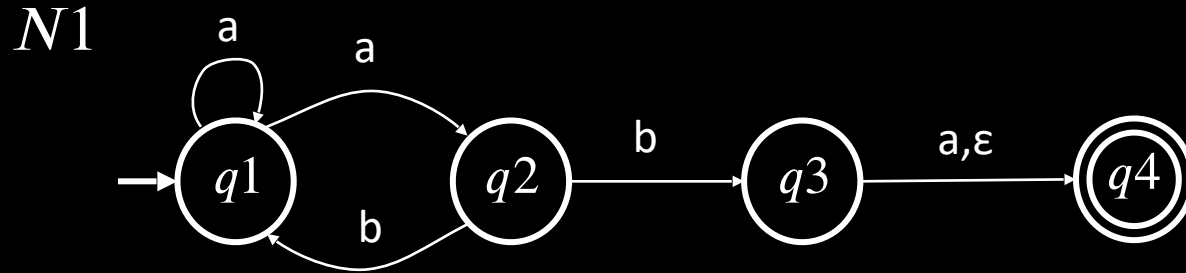
**Defn:** A nondeterministic finite automaton (NFA)

$N$  is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$

states  
alphabet  
transition function  
start state  
accept states

- all same as before except  $\delta$
- $\delta: Q \times \Sigma_\epsilon \rightarrow \mathcal{P}(Q) = \{R \mid R \subseteq Q\}$

# NFA – Formal Definition



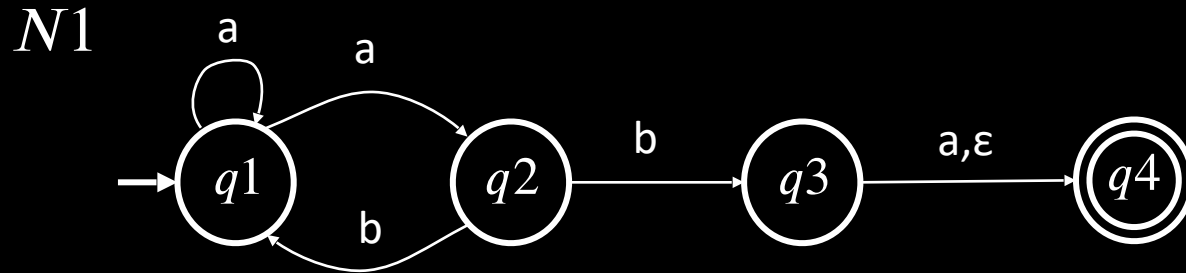
**Defn:** A nondeterministic finite automaton (NFA)

$N$  is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$

states  
alphabet  
transition function  
start state  
accept states

- all same as before except  $\delta$
- $\delta: Q \times \underbrace{\Sigma_\epsilon}_{\Sigma \cup \{\epsilon\}} \rightarrow \mathcal{P}(Q) = \{R \mid R \subseteq Q\}$

# NFA – Formal Definition



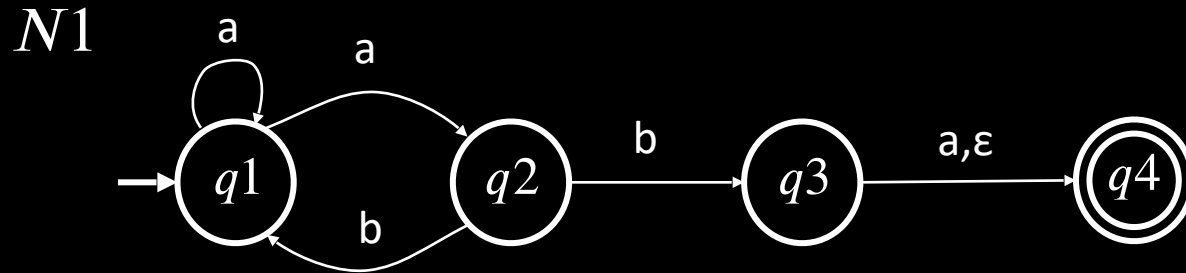
**Defn:** A nondeterministic finite automaton (NFA)

$N$  is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$

states  
alphabet  
transition function  
start state  
accept states

- all same as before except  $\delta$
- $\delta: Q \times \underbrace{\Sigma \cup \{\varepsilon\}}_{\text{power set}} \rightarrow \mathcal{P}(Q) = \{R \mid R \subseteq Q\}$

# NFA – Formal Definition



**Defn:** A nondeterministic finite automaton (NFA)

$N$  is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$

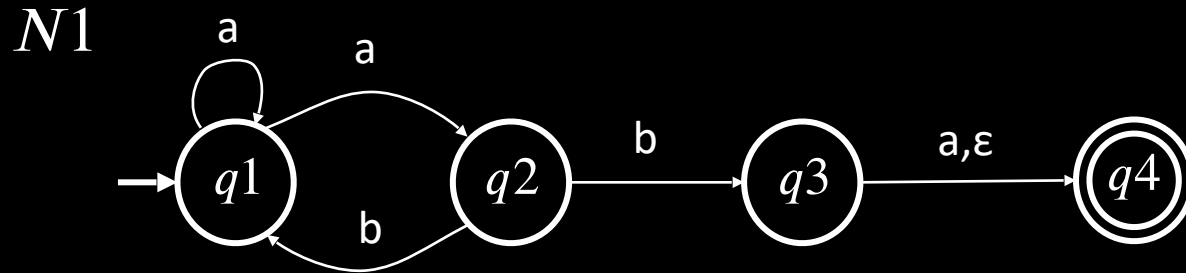
states  
alphabet  
transition function  
start state  
accept states

- all same as before except  $\delta$

-  $\delta: Q \times \underbrace{\Sigma \cup \{\varepsilon\}}_{\text{power set}} \rightarrow \mathcal{P}(Q) = \{R \mid R \subseteq Q\}$

- In the  $N_1$  example:  $\delta(q_1, a) = \{q_1, q_2\}$

# NFA – Formal Definition



**Defn:** A nondeterministic finite automaton (NFA)

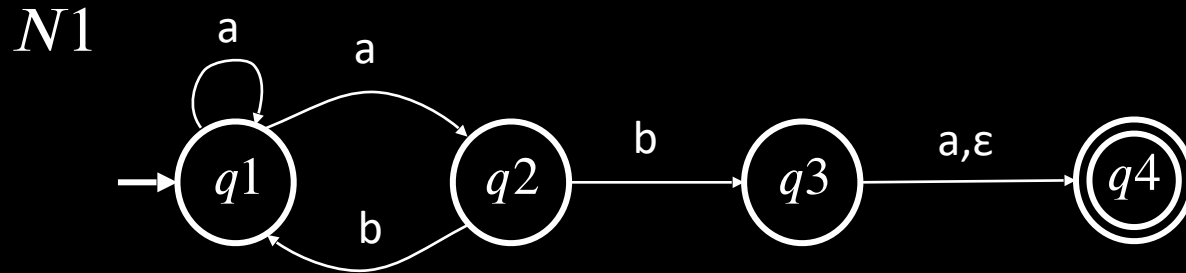
$N$  is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$

states  
alphabet  
transition function  
start state  
accept states

- all same as before except  $\delta$
- $\delta: Q \times \underbrace{\Sigma \cup \{\varepsilon\}}_{\text{power set}} \rightarrow \mathcal{P}(Q) = \{R \mid R \subseteq Q\}$

- In the  $N_1$  example:  $\delta(q_1, a) = \{q_1, q_2\}$   
 $\delta(q_1, b) = \emptyset$

# NFA – Formal Definition



**Defn:** A nondeterministic finite automaton (NFA)

$N$  is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$

states    alphabet    transition function    start state    accept states

- all same as before except  $\delta$

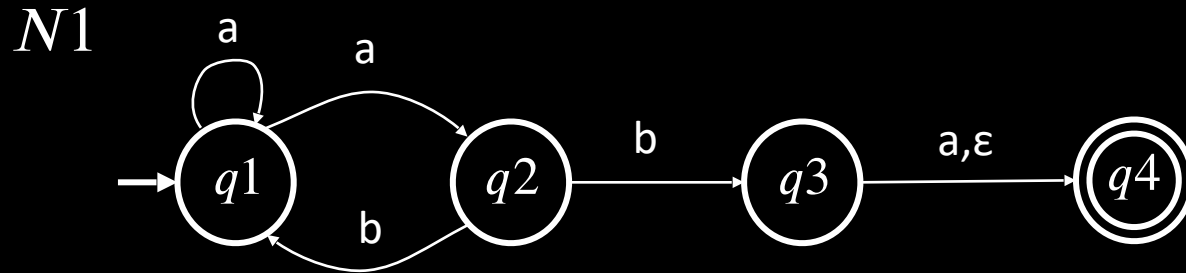
-  $\delta: Q \times \underbrace{\Sigma \cup \{\varepsilon\}}_{\text{power set}} \rightarrow \mathcal{P}(Q) = \{R \mid R \subseteq Q\}$

- In the  $N_1$  example:  $\delta(q_1, a) = \{q_1, q_2\}$   
 $\delta(q_1, b) = \emptyset$

Ways to think about nondeterminism:



# NFA – Formal Definition



**Defn:** A nondeterministic finite automaton (NFA)

$N$  is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$

states    alphabet    transition function    start state    accept states

- all same as before except  $\delta$

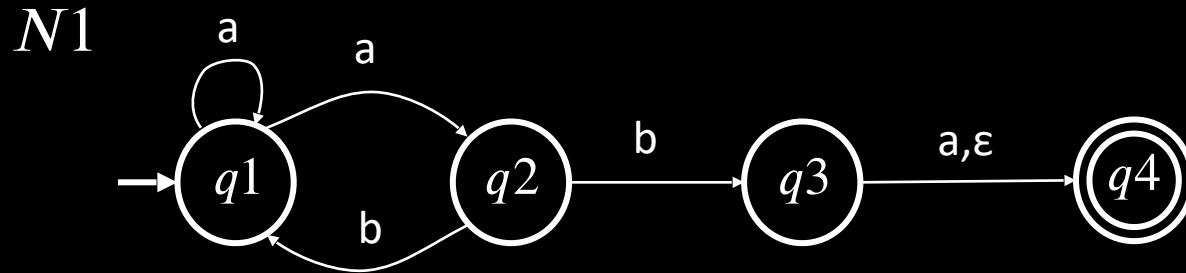
-  $\delta: Q \times \underbrace{\Sigma \cup \{\varepsilon\}}_{\text{power set}} \rightarrow \mathcal{P}(Q) = \{R \mid R \subseteq Q\}$

- In the  $N_1$  example:  $\delta(q_1, a) = \{q_1, q_2\}$   
 $\delta(q_1, b) = \emptyset$

Ways to think about nondeterminism:

Computational: Fork new parallel thread and accept if any thread leads to an accept state.

# NFA – Formal Definition



**Defn:** A nondeterministic finite automaton (NFA)

$N$  is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$

states  
alphabet  
transition function  
start state  
accept states

- all same as before except  $\delta$
- $\delta: Q \times \underbrace{\Sigma \cup \{\epsilon\}}_{\text{power set}} \rightarrow \mathcal{P}(Q) = \{R \mid R \subseteq Q\}$

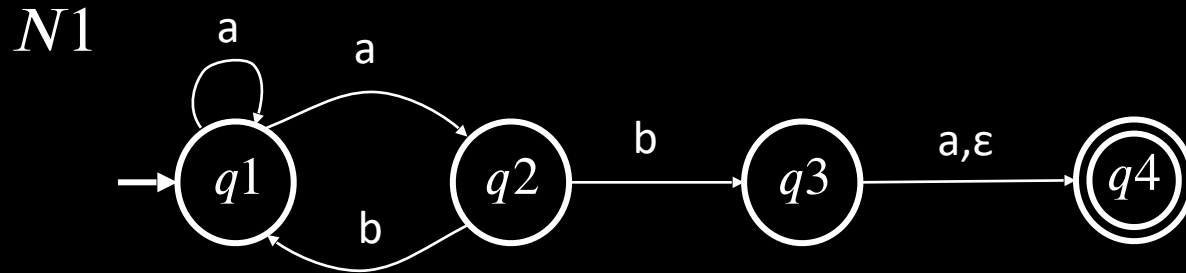
- In the  $N_1$  example:  $\delta(q_1, a) = \{q_1, q_2\}$   
 $\delta(q_1, b) = \emptyset$

Ways to think about nondeterminism:

Computational: Fork new parallel thread and accept if any thread leads to an accept state.

Mathematical: Tree with branches.  
Accept if any branch leads to an accept state.

# NFA – Formal Definition



**Defn:** A nondeterministic finite automaton (NFA)

$N$  is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$

states    alphabet    transition function    start state    accept states

- all same as before except  $\delta$
- $\delta: Q \times \underbrace{\Sigma \cup \{\epsilon\}}_{\text{power set}} \rightarrow \mathcal{P}(Q) = \{R \mid R \subseteq Q\}$
- In the  $N_1$  example:  $\delta(q_1, a) = \{q_1, q_2\}$   
 $\delta(q_1, b) = \emptyset$

Ways to think about nondeterminism:

Computational: Fork new parallel thread and accept if any thread leads to an accept state.

Mathematical: Tree with branches. Accept if any branch leads to an accept state.

Magical: Guess at each nondeterministic step which way to go. Machine always makes the right guess that leads to accepting, if possible.

# Converting NFAs to DFAs

# Converting NFAs to DFAs

Theorem: If an NFA recognizes  $A$  then  $A$  is regular

# Converting NFAs to DFAs

Theorem: If an NFA recognizes  $A$  then  $A$  is regular

Proof: Let NFA  $M = (Q, \Sigma, \delta, q_0, F)$  recognize  $A$

Construct DFA  $M' = (Q', \Sigma, \delta', q'_0, F')$  recognizing  $A$

# Converting NFAs to DFAs

Theorem: If an NFA recognizes  $A$  then  $A$  is regular

Proof: Let NFA  $M = (Q, \Sigma, \delta, q_0, F)$  recognize  $A$

Construct DFA  $M' = (Q', \Sigma, \delta', q'_0, F')$  recognizing  $A$

(Ignore the  $\epsilon$ -transitions, can easily modify to handle them)

# Converting NFAs to DFAs

Theorem: If an NFA recognizes  $A$  then  $A$  is regular

Proof: Let NFA  $M = (Q, \Sigma, \delta, q_0, F)$  recognize  $A$

Construct DFA  $M' = (Q', \Sigma, \delta', q'_0, F')$  recognizing  $A$

(Ignore the  $\epsilon$ -transitions, can easily modify to handle them)

IDEA: DFA  $M'$  keeps track of the subset of possible states in NFA  $M$ .



# Converting NFAs to DFAs

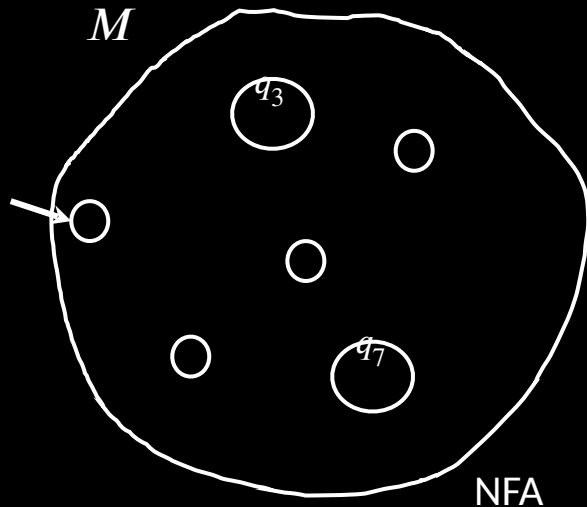
Theorem: If an NFA recognizes  $A$  then  $A$  is regular

Proof: Let NFA  $M = (Q, \Sigma, \delta, q_0, F)$  recognize  $A$

Construct DFA  $M' = (Q', \Sigma, \delta', q'_0, F')$  recognizing  $A$

(Ignore the  $\epsilon$ -transitions, can easily modify to handle them)

IDEA: DFA  $M'$  keeps track of the subset of possible states in NFA  $M$ .



# Converting NFAs to DFAs

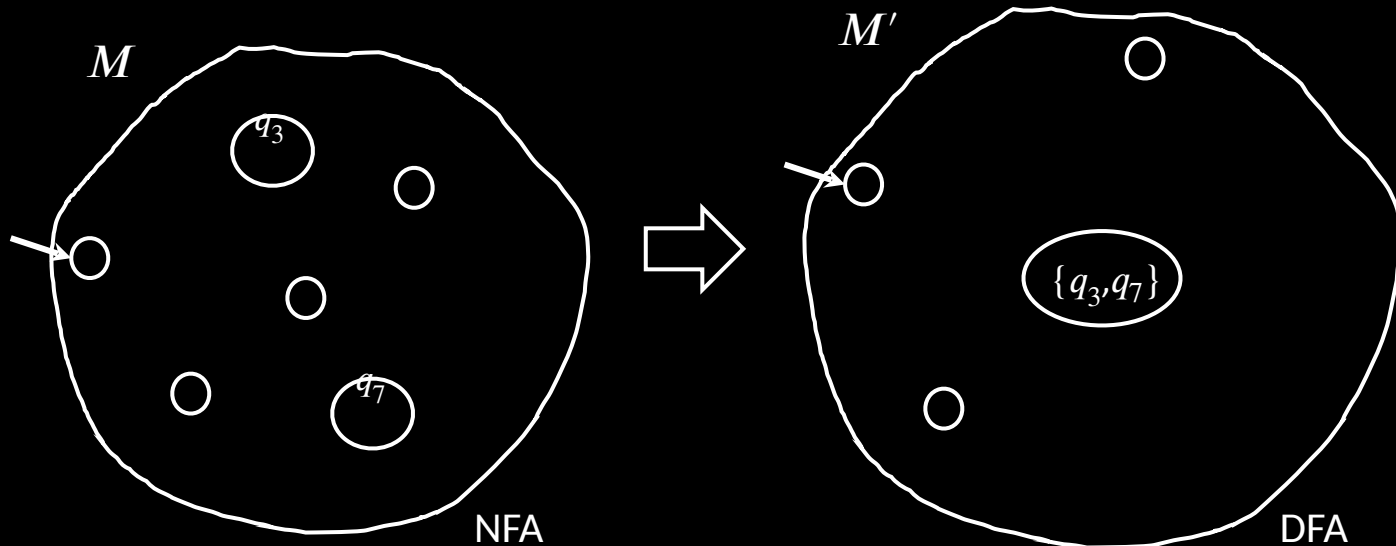
Theorem: If an NFA recognizes  $A$  then  $A$  is regular

Proof: Let NFA  $M = (Q, \Sigma, \delta, q_0, F)$  recognize  $A$

Construct DFA  $M' = (Q', \Sigma, \delta', q'_0, F')$  recognizing  $A$

(Ignore the  $\epsilon$ -transitions, can easily modify to handle them)

IDEA: DFA  $M'$  keeps track of the subset of possible states in NFA  $M$ .



# Converting NFAs to DFAs

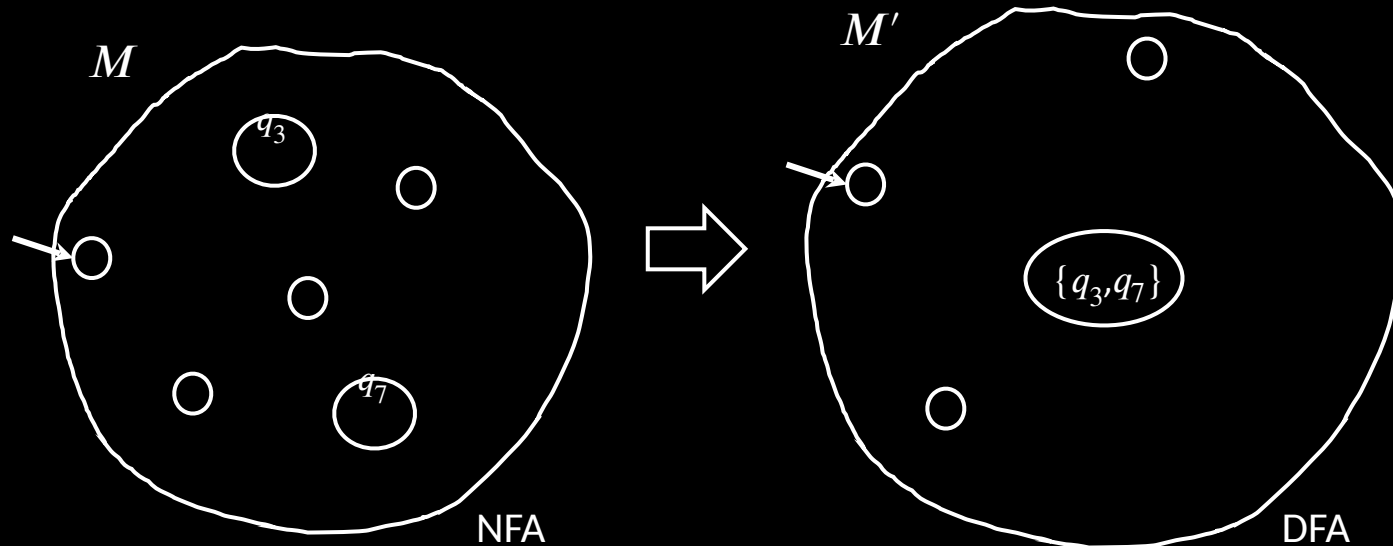
Theorem: If an NFA recognizes  $A$  then  $A$  is regular

Proof: Let NFA  $M = (Q, \Sigma, \delta, q_0, F)$  recognize  $A$

Construct DFA  $M' = (Q', \Sigma, \delta', q'_0, F')$  recognizing  $A$

(Ignore the  $\epsilon$ -transitions, can easily modify to handle them)

IDEA: DFA  $M'$  keeps track of the subset of possible states in NFA  $M$ .



Construction of  $M'$ :

# Converting NFAs to DFAs

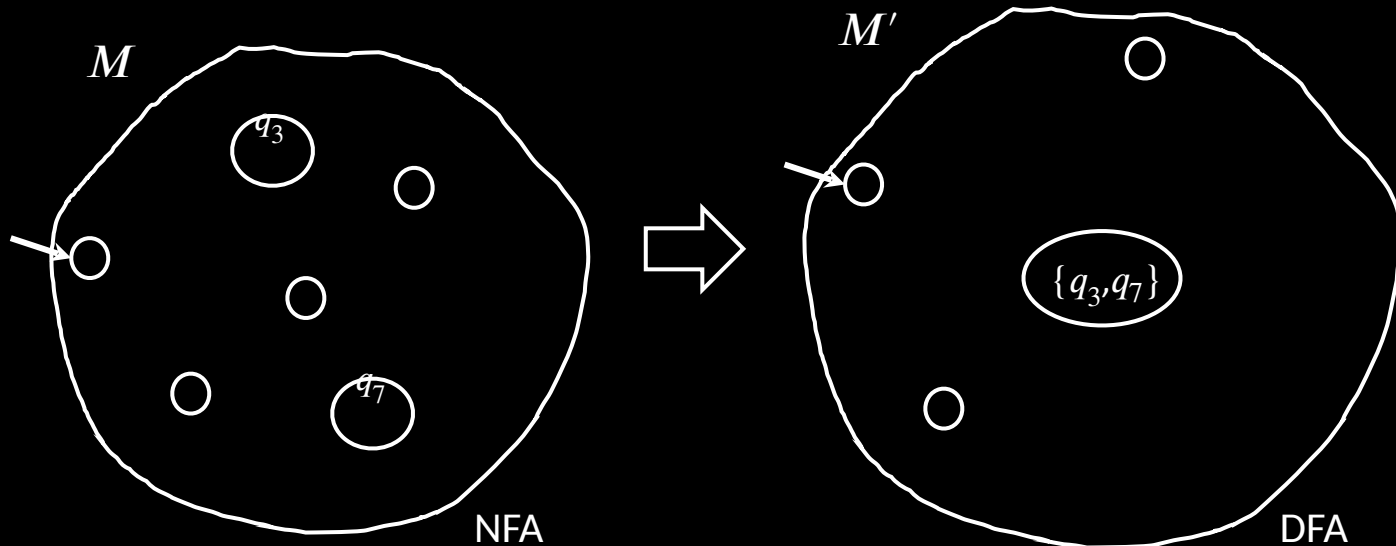
Theorem: If an NFA recognizes  $A$  then  $A$  is regular

Proof: Let NFA  $M = (Q, \Sigma, \delta, q_0, F)$  recognize  $A$

Construct DFA  $M' = (Q', \Sigma, \delta', q'_0, F')$  recognizing  $A$

(Ignore the  $\epsilon$ -transitions, can easily modify to handle them)

IDEA: DFA  $M'$  keeps track of the subset of possible states in NFA  $M$ .



Construction of  $M'$ :

$$Q' = \mathcal{P}(Q)$$

# Converting NFAs to DFAs

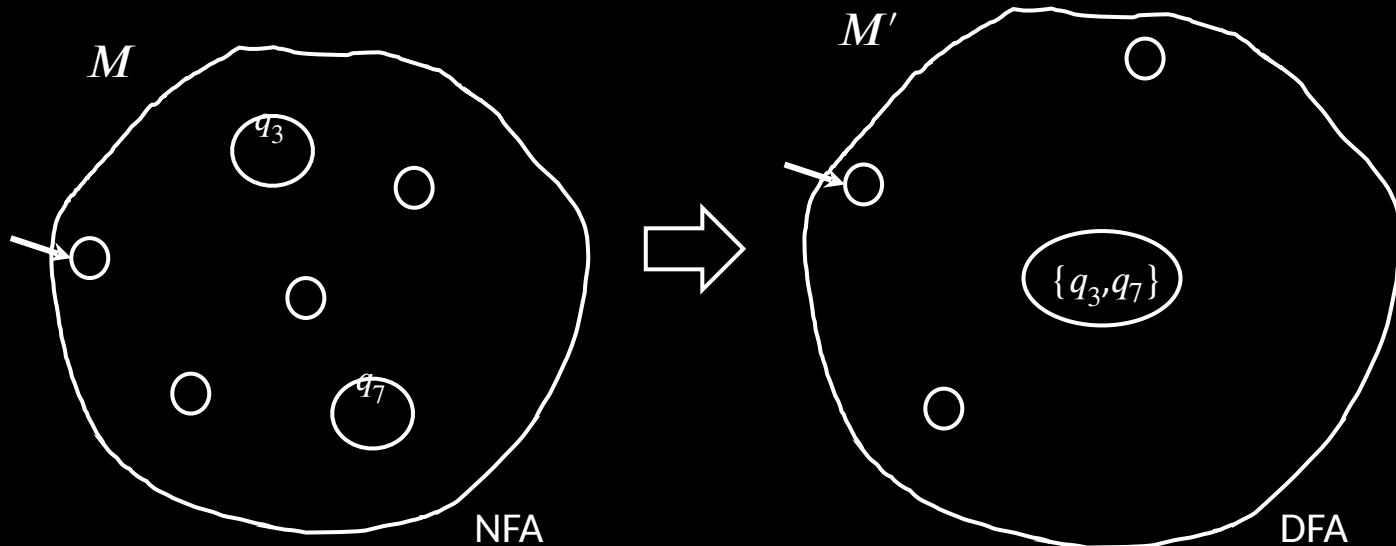
Theorem: If an NFA recognizes  $A$  then  $A$  is regular

Proof: Let NFA  $M = (Q, \Sigma, \delta, q_0, F)$  recognize  $A$

Construct DFA  $M' = (Q', \Sigma, \delta', q'_0, F')$  recognizing  $A$

(Ignore the  $\epsilon$ -transitions, can easily modify to handle them)

IDEA: DFA  $M'$  keeps track of the subset of possible states in NFA  $M$ .



Construction of  $M'$ :

$$Q' = \mathcal{P}(Q)$$

$$\delta'(R, a) = \overline{R \xrightarrow{a} Q'}$$

# Converting NFAs to DFAs

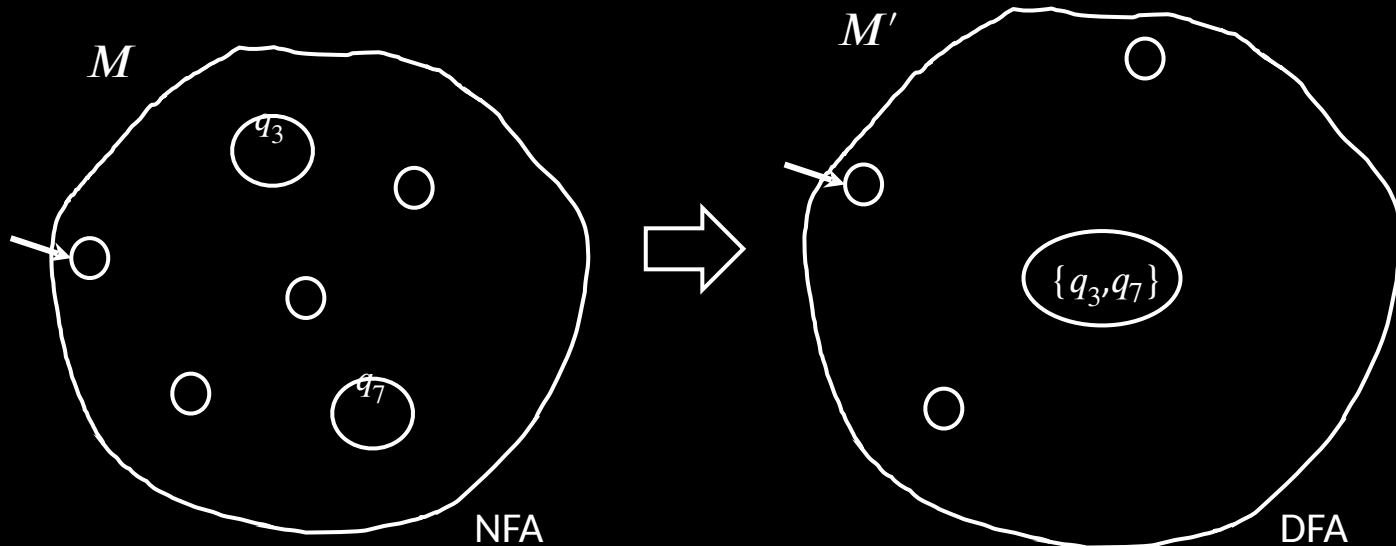
Theorem: If an NFA recognizes  $A$  then  $A$  is regular

Proof: Let NFA  $M = (Q, \Sigma, \delta, q_0, F)$  recognize  $A$

Construct DFA  $M' = (Q', \Sigma, \delta', q'_0, F')$  recognizing  $A$

(Ignore the  $\epsilon$ -transitions, can easily modify to handle them)

IDEA: DFA  $M'$  keeps track of the subset of possible states in NFA  $M$ .



Construction of  $M'$ :

$$Q' = \mathcal{P}(Q)$$

$$\delta'(R, a) = \{q \mid q \in \delta(r, a) \text{ for some } r \in R\}$$
$$\overline{R} \in Q'$$

# Converting NFAs to DFAs

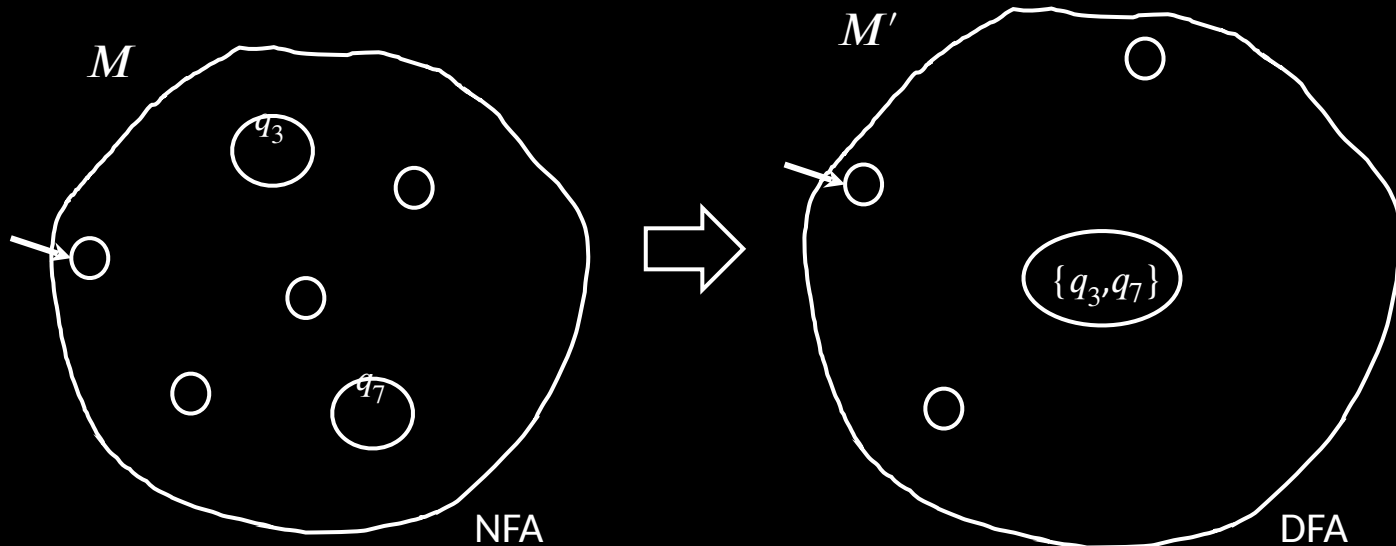
Theorem: If an NFA recognizes  $A$  then  $A$  is regular

Proof: Let NFA  $M = (Q, \Sigma, \delta, q_0, F)$  recognize  $A$

Construct DFA  $M' = (Q', \Sigma, \delta', q'_0, F')$  recognizing  $A$

(Ignore the  $\epsilon$ -transitions, can easily modify to handle them)

IDEA: DFA  $M'$  keeps track of the subset of possible states in NFA  $M$ .



Construction of  $M'$ :

$$Q' = \mathcal{P}(Q)$$

$$\delta'(R, a) = \{q \mid q \in \delta(r, a) \text{ for some } r \in R\}$$
$$\overline{R} \in Q'$$

$$q'_0 = \{q_0\}$$

# Converting NFAs to DFAs

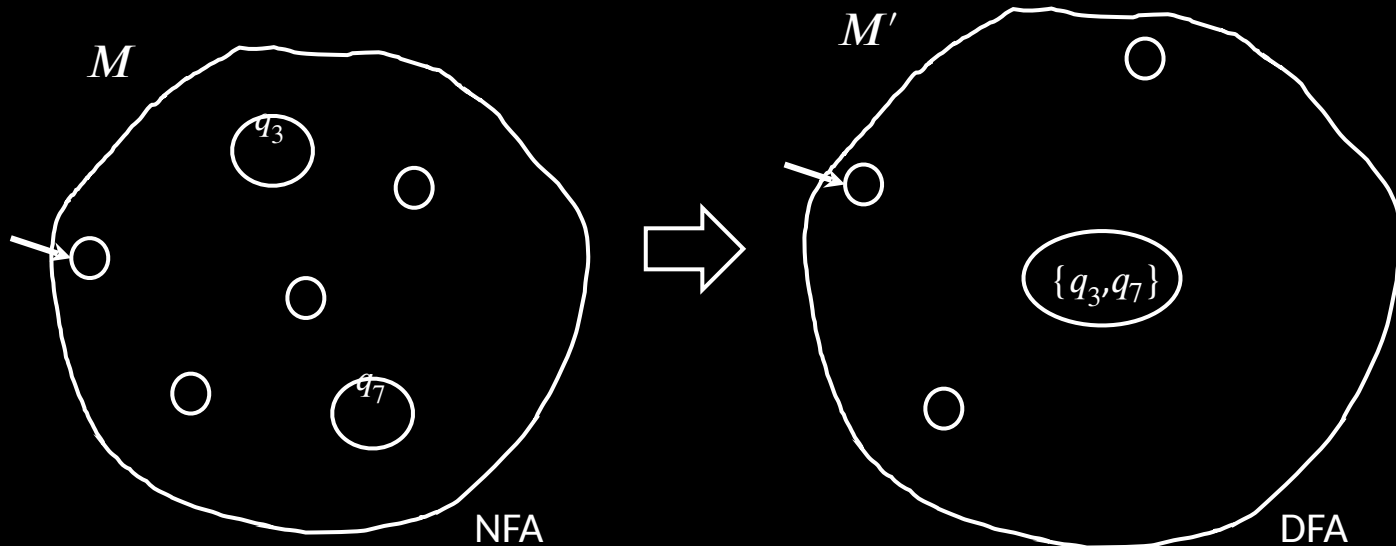
Theorem: If an NFA recognizes  $A$  then  $A$  is regular

Proof: Let NFA  $M = (Q, \Sigma, \delta, q_0, F)$  recognize  $A$

Construct DFA  $M' = (Q', \Sigma, \delta', q'_0, F')$  recognizing  $A$

(Ignore the  $\epsilon$ -transitions, can easily modify to handle them)

IDEA: DFA  $M'$  keeps track of the subset of possible states in NFA  $M$ .



Construction of  $M'$ :

$$Q' = \mathcal{P}(Q)$$

$$\delta'(R, a) = \{q \mid q \in \delta(r, a) \text{ for some } r \in R\}$$
$$\overline{R \in Q'}$$

$$q'_0 = \{q_0\}$$

$$F' = \{R \in Q' \mid R \text{ intersects } F\}$$



# Converting NFAs to DFAs

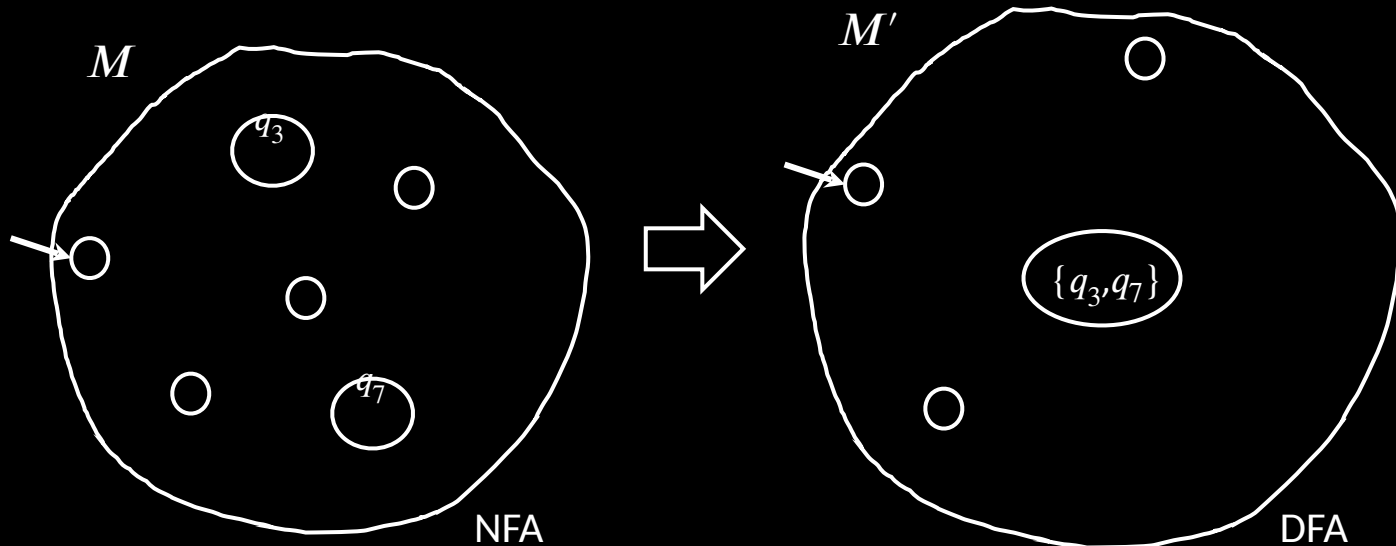
Theorem: If an NFA recognizes  $A$  then  $A$  is regular

Proof: Let NFA  $M = (Q, \Sigma, \delta, q_0, F)$  recognize  $A$

Construct DFA  $M' = (Q', \Sigma, \delta', q'_0, F')$  recognizing  $A$

(Ignore the  $\epsilon$ -transitions, can easily modify to handle them)

IDEA: DFA  $M'$  keeps track of the subset of possible states in NFA  $M$ .



Construction of  $M'$ :

$$Q' = \mathcal{P}(Q)$$

$$\delta'(R, a) = \{q \mid q \in \delta(r, a) \text{ for some } r \in R\}$$
$$\overline{R \in Q'}$$

$$q'_0 = \{q_0\}$$

$$F' = \{R \in Q' \mid R \text{ intersects } F\}$$

Check-in 2.2

# Converting NFAs to DFAs

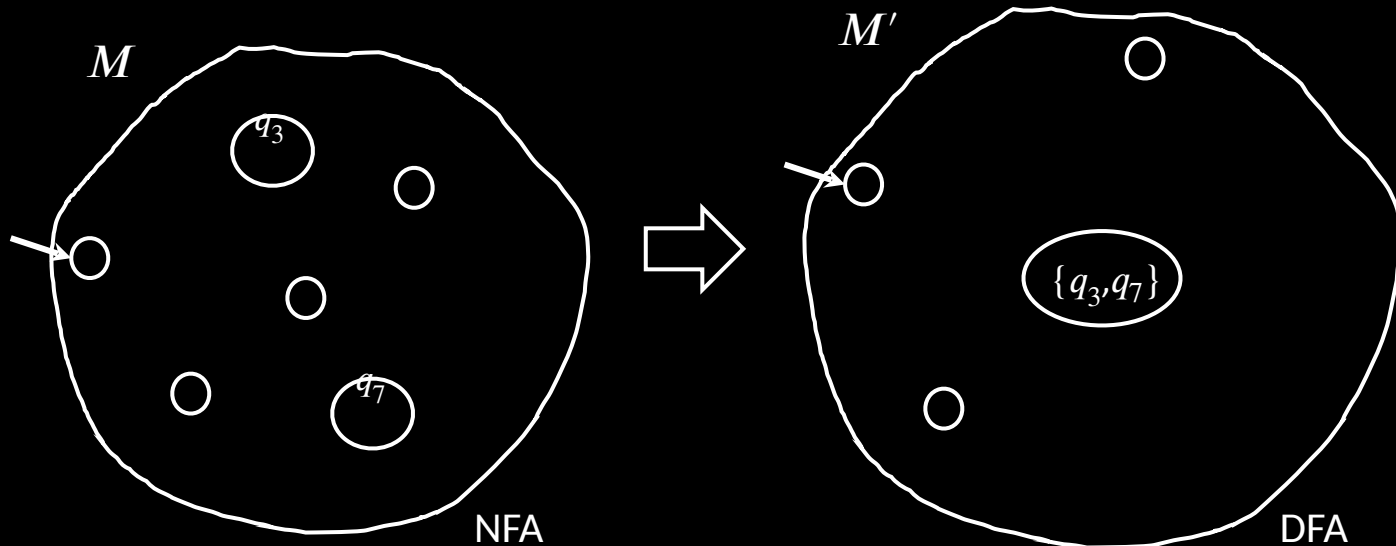
Theorem: If an NFA recognizes  $A$  then  $A$  is regular

Proof: Let NFA  $M = (Q, \Sigma, \delta, q_0, F)$  recognize  $A$

Construct DFA  $M' = (Q', \Sigma, \delta', q'_0, F')$  recognizing  $A$

(Ignore the  $\epsilon$ -transitions, can easily modify to handle them)

IDEA: DFA  $M'$  keeps track of the subset of possible states in NFA  $M$ .



## Check-in 2.2

If  $M$  has  $n$  states, how many states does  $M'$  have by this construction?

(a)  $2n$

(b)  $n^2$

(c)  $2^n$

Construction of  $M'$ :

$$Q' = \mathcal{P}(Q)$$

$$\delta'(R, a) = \{q \mid q \in \delta(r, a) \text{ for some } r \in R\}$$
$$\overline{R} \in Q'$$

$$q'_0 = \{q_0\}$$

$$F' = \{R \in Q' \mid R \text{ intersects } F\}$$

Check-in 2.2

# Return to Closure Properties

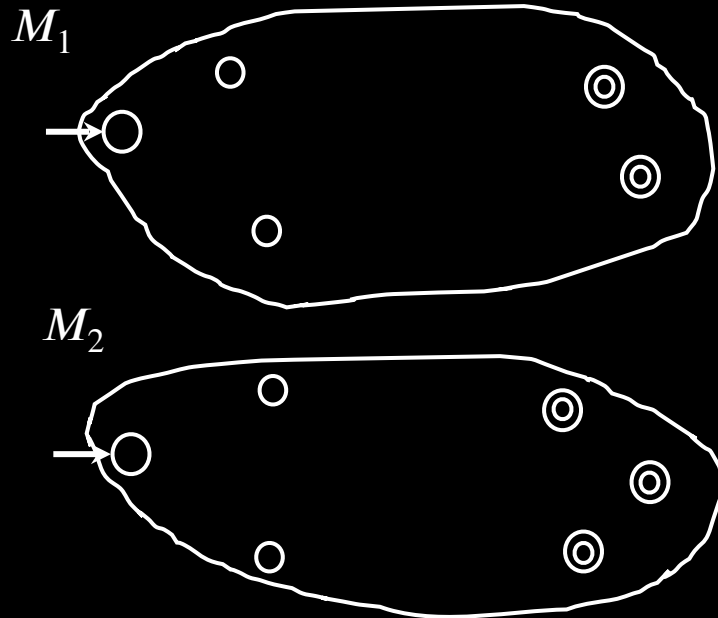
Recall Theorem: If  $A_1$ ,  $A_2$  are regular languages, so is  $A_1 \cup A_2$   
(The class of regular languages is closed under union)

New Proof (sketch): Given DFAs  $M_1$  and  $M_2$  recognizing  $A_1$  and  $A_2$   
Construct NFA  $M$  recognizing  $A_1 \cup A_2$

# Return to Closure Properties

Recall Theorem: If  $A_1$ ,  $A_2$  are regular languages, so is  $A_1 \cup A_2$   
(The class of regular languages is closed under union)

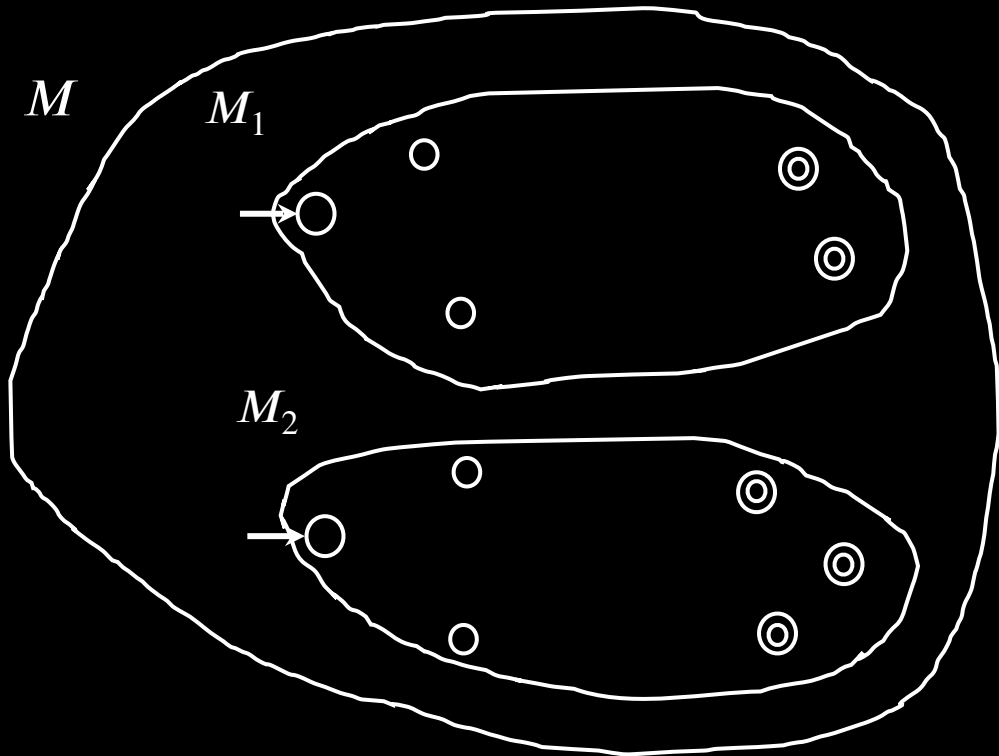
New Proof (sketch): Given DFAs  $M_1$  and  $M_2$  recognizing  $A_1$  and  $A_2$   
Construct NFA  $M$  recognizing  $A_1 \cup A_2$



# Return to Closure Properties

Recall Theorem: If  $A_1$ ,  $A_2$  are regular languages, so is  $A_1 \cup A_2$   
(The class of regular languages is closed under union)

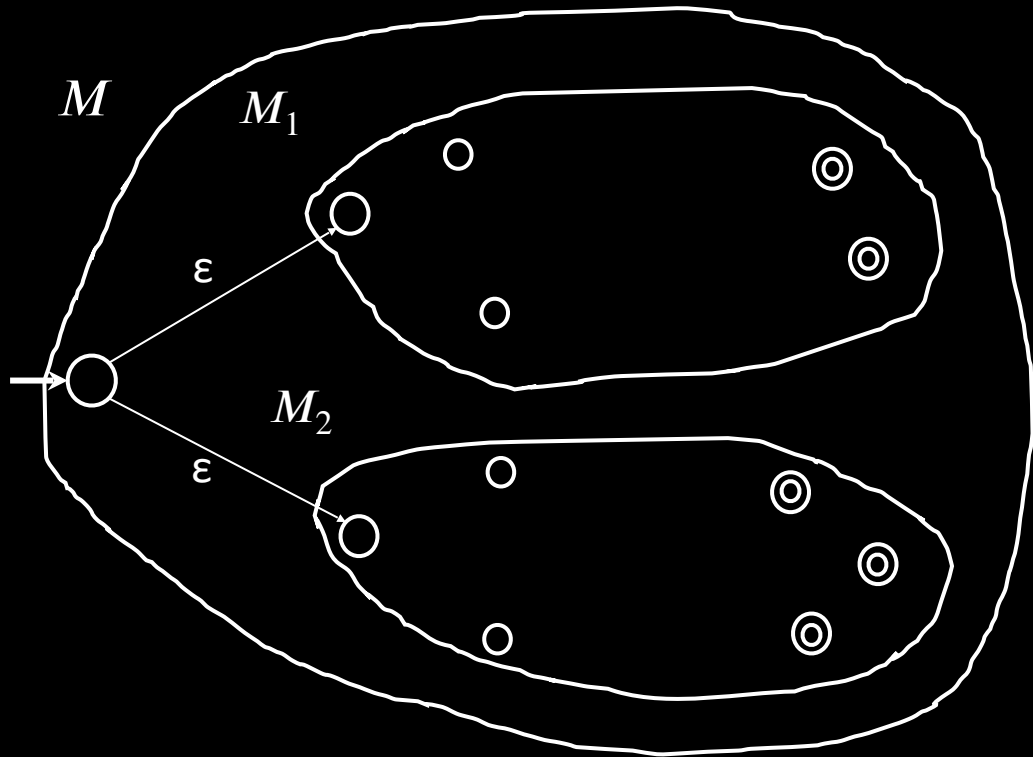
New Proof (sketch): Given DFAs  $M_1$  and  $M_2$  recognizing  $A_1$  and  $A_2$   
Construct NFA  $M$  recognizing  $A_1 \cup A_2$



# Return to Closure Properties

Recall Theorem: If  $A_1$ ,  $A_2$  are regular languages, so is  $A_1 \cup A_2$   
(The class of regular languages is closed under union)

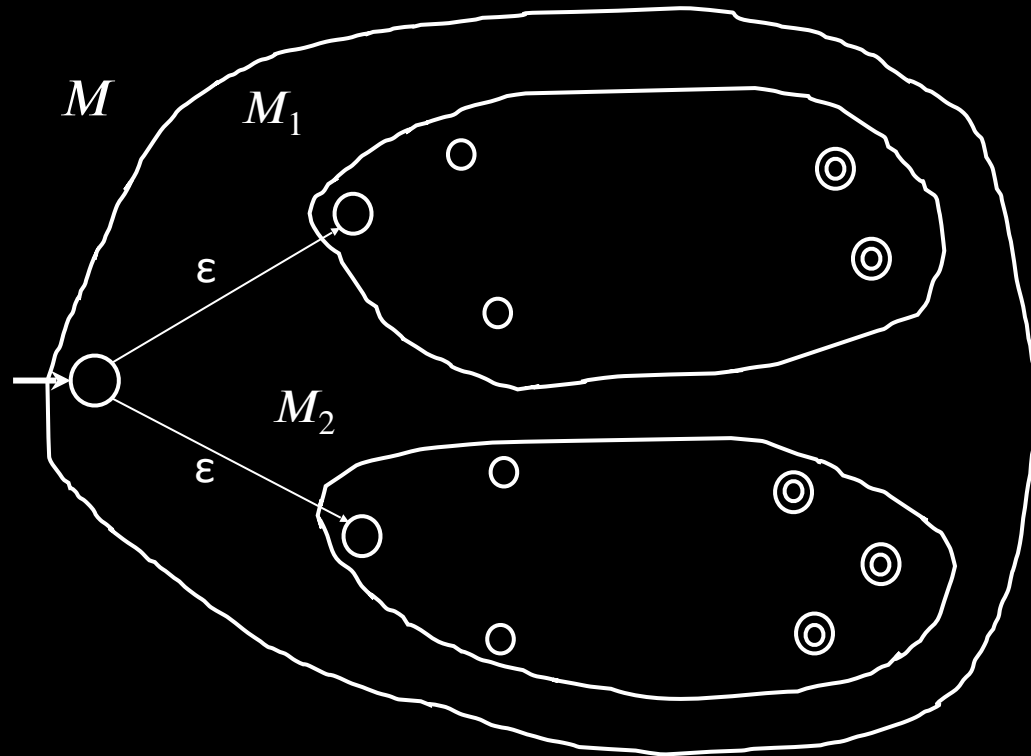
New Proof (sketch): Given DFAs  $M_1$  and  $M_2$  recognizing  $A_1$  and  $A_2$   
Construct NFA  $M$  recognizing  $A_1 \cup A_2$



# Return to Closure Properties

Recall Theorem: If  $A_1$ ,  $A_2$  are regular languages, so is  $A_1 \cup A_2$   
(The class of regular languages is closed under union)

New Proof (sketch): Given DFAs  $M_1$  and  $M_2$  recognizing  $A_1$  and  $A_2$   
Construct NFA  $M$  recognizing  $A_1 \cup A_2$



Nondeterminism

parallelism

vs

guessing

# Closure under $\circ$ (concatenation)

Theorem: If  $A_1, A_2$  are regular languages, so is  $A_1A_2$



# Closure under $\circ$ (concatenation)

Theorem: If  $A_1, A_2$  are regular languages, so is  $A_1A_2$

Proof sketch: Given DFAs  $M_1$  and  $M_2$  recognizing  $A_1$  and  $A_2$

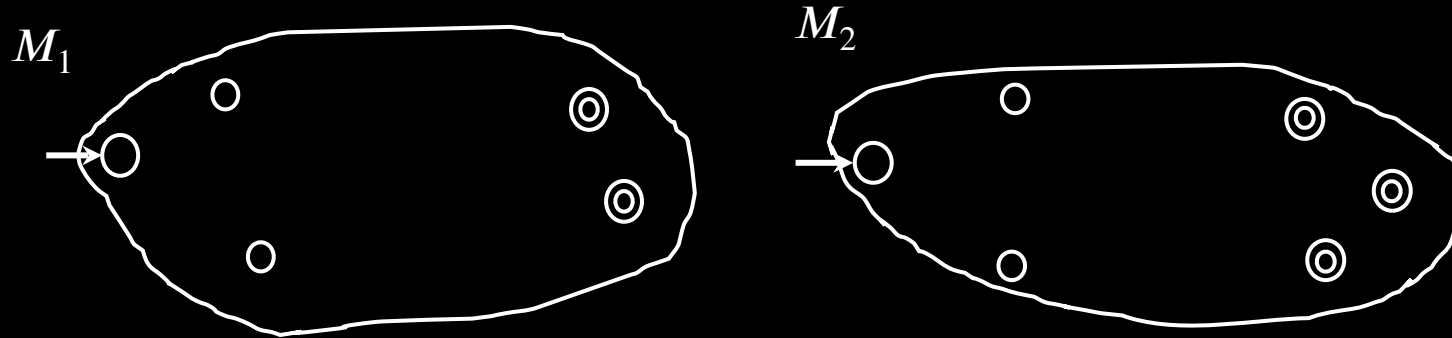
Construct NFA  $M$  recognizing  $A_1A_2$

# Closure under $\circ$ (concatenation)

Theorem: If  $A_1$ ,  $A_2$  are regular languages, so is  $A_1A_2$

Proof sketch: Given DFAs  $M_1$  and  $M_2$  recognizing  $A_1$  and  $A_2$

Construct NFA  $M$  recognizing  $A_1A_2$

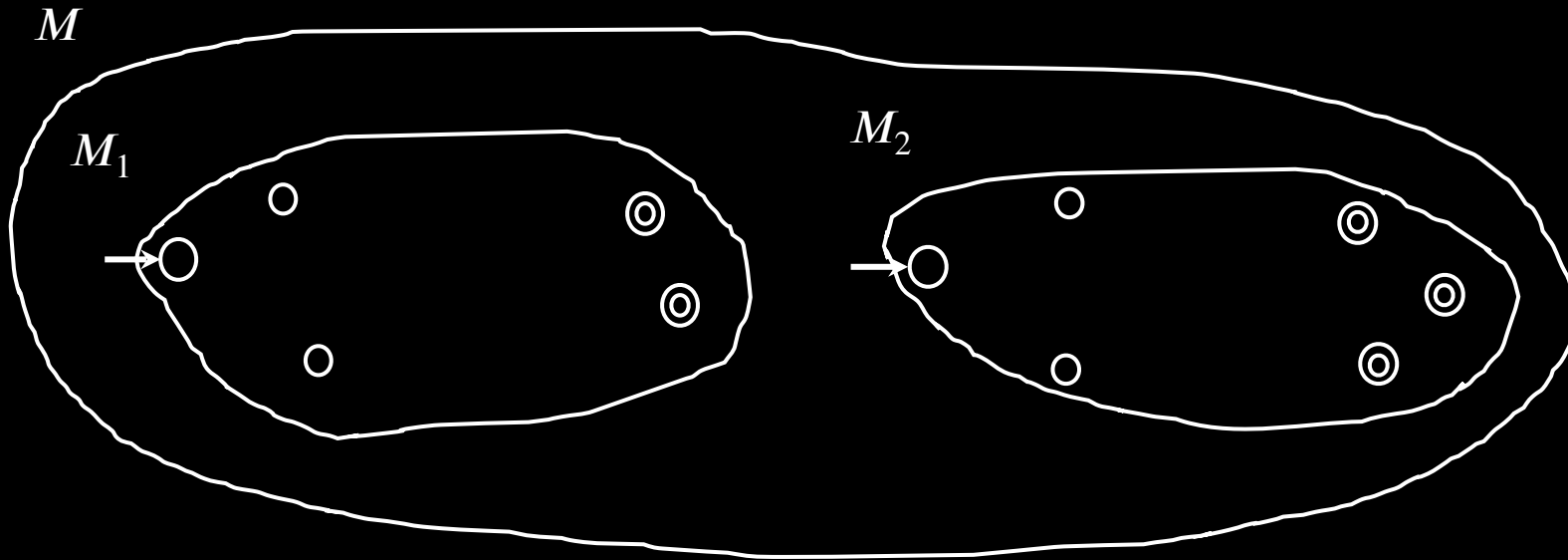


# Closure under $\circ$ (concatenation)

Theorem: If  $A_1$ ,  $A_2$  are regular languages, so is  $A_1A_2$

Proof sketch: Given DFAs  $M_1$  and  $M_2$  recognizing  $A_1$  and  $A_2$

Construct NFA  $M$  recognizing  $A_1A_2$

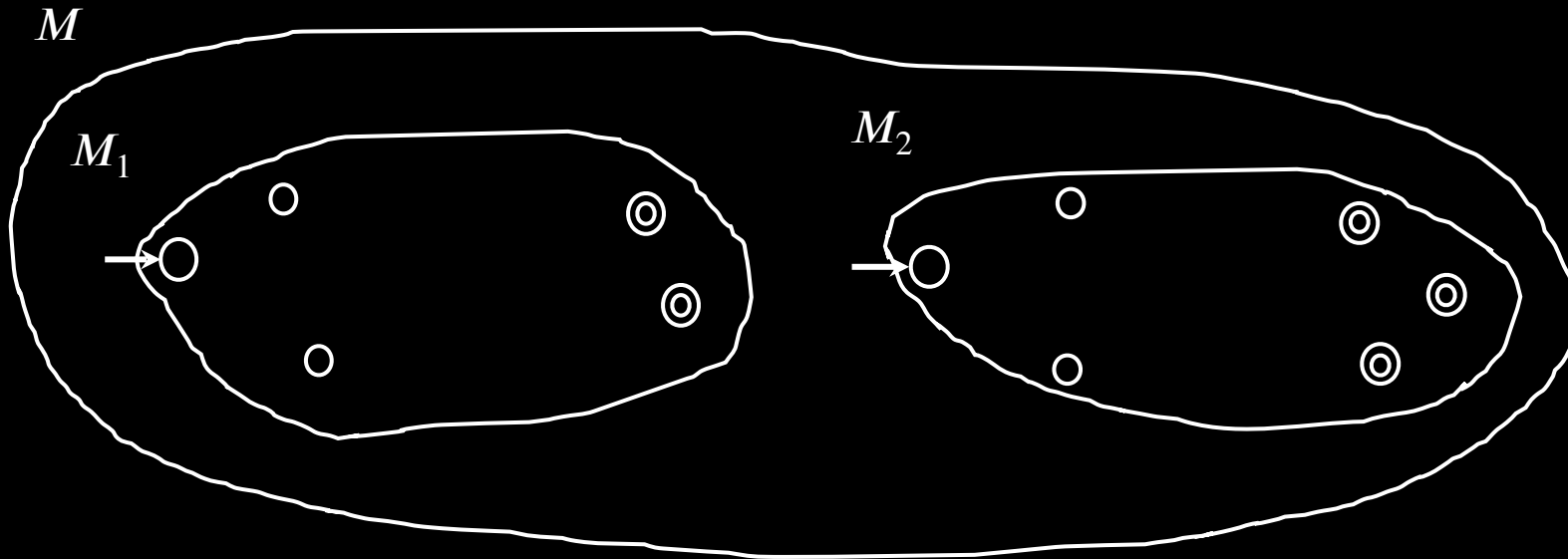


# Closure under $\circ$ (concatenation)

Theorem: If  $A_1, A_2$  are regular languages, so is  $A_1A_2$

Proof sketch: Given DFAs  $M_1$  and  $M_2$  recognizing  $A_1$  and  $A_2$

Construct NFA  $M$  recognizing  $A_1A_2$



$M$  should accept input  $w$   
if  $w = xy$  where  
 $M_1$  accepts  $x$  and  $M_2$  accepts  $y$ .

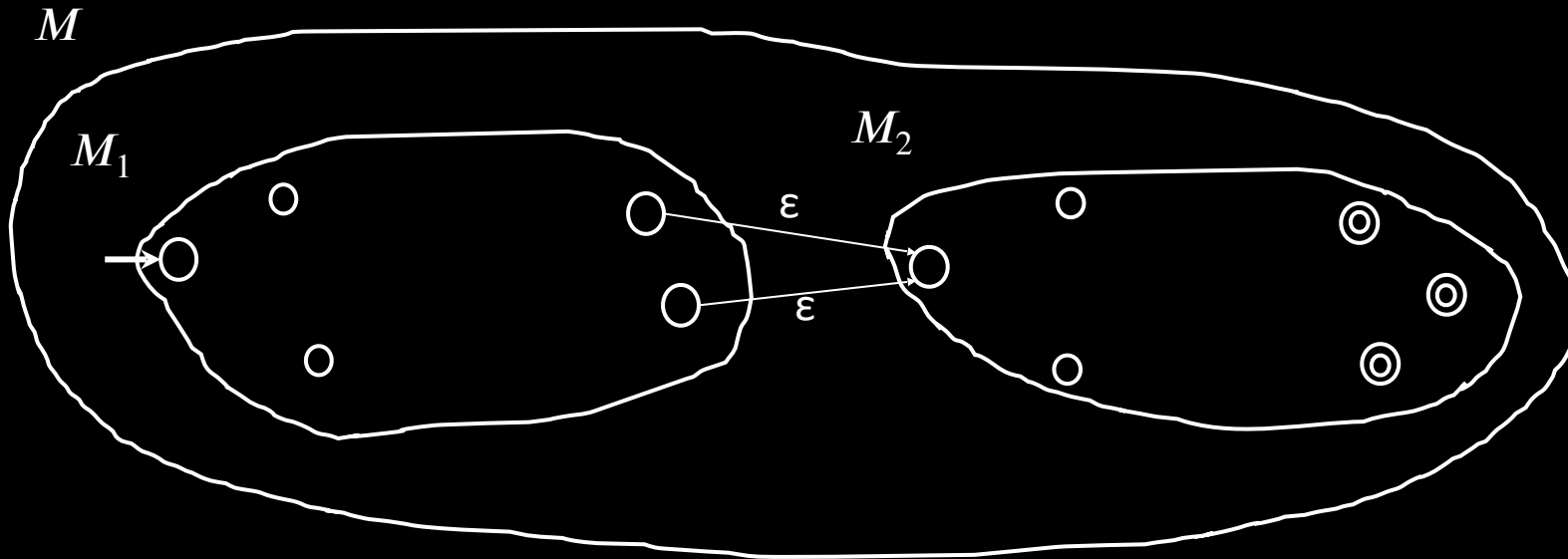
$w = \text{---}x\text{---}| \text{---}y\text{---}$

# Closure under $\circ$ (concatenation)

Theorem: If  $A_1, A_2$  are regular languages, so is  $A_1A_2$

Proof sketch: Given DFAs  $M_1$  and  $M_2$  recognizing  $A_1$  and  $A_2$

Construct NFA  $M$  recognizing  $A_1A_2$



$M$  should accept input  $w$   
if  $w = xy$  where  
 $M_1$  accepts  $x$  and  $M_2$  accepts  $y$ .

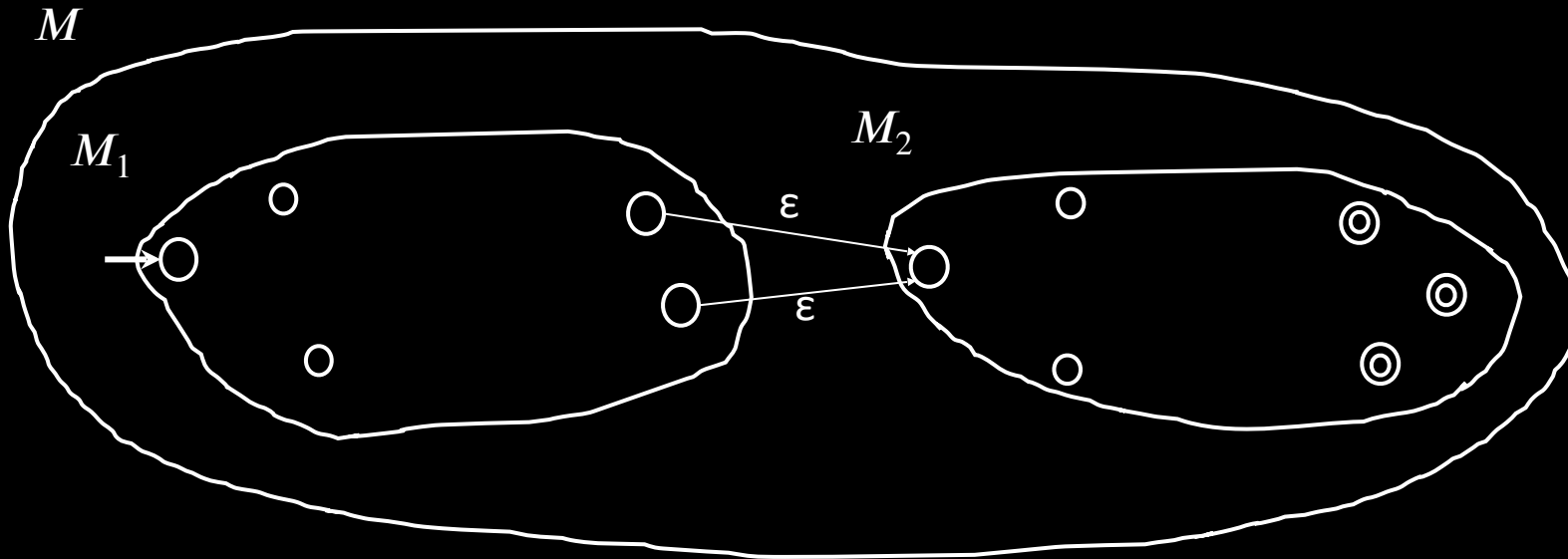
$w =$   $\text{---}x\text{---}| \text{---}y\text{---}$

# Closure under $\circ$ (concatenation)

Theorem: If  $A_1, A_2$  are regular languages, so is  $A_1A_2$

Proof sketch: Given DFAs  $M_1$  and  $M_2$  recognizing  $A_1$  and  $A_2$

Construct NFA  $M$  recognizing  $A_1A_2$



$M$  should accept input  $w$   
if  $w = xy$  where  
 $M_1$  accepts  $x$  and  $M_2$  accepts  $y$ .

$w =$    $x$  |  $y$

Nondeterministic  $M'$  has the option  
to jump to  $M_2$  when  $M_1$  accepts.

# Closure under $*$ (star)

Theorem: If  $A$  is a regular language, so is  $A^*$

# Closure under $*$ (star)

Theorem: If  $A$  is a regular language, so is  $A^*$

Proof sketch: Given DFA  $M$  recognizing  $A$

Construct NFA  $M'$  recognizing  $A^*$

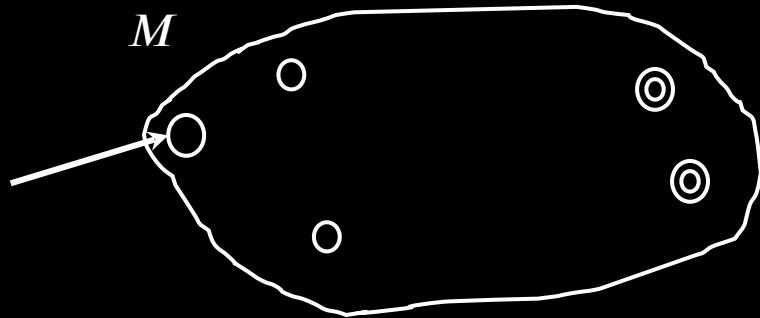


# Closure under $*$ (star)

Theorem: If  $A$  is a regular language, so is  $A^*$

Proof sketch: Given DFA  $M$  recognizing  $A$

Construct NFA  $M'$  recognizing  $A^*$

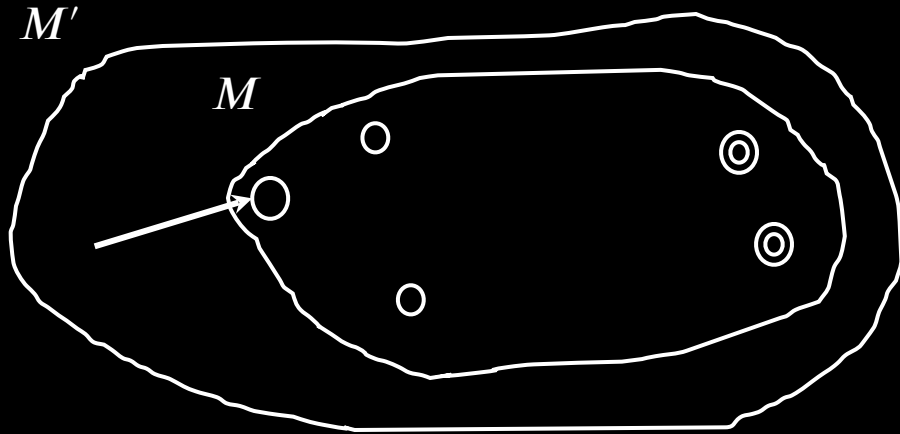


# Closure under $*$ (star)

Theorem: If  $A$  is a regular language, so is  $A^*$

Proof sketch: Given DFA  $M$  recognizing  $A$

Construct NFA  $M'$  recognizing  $A^*$

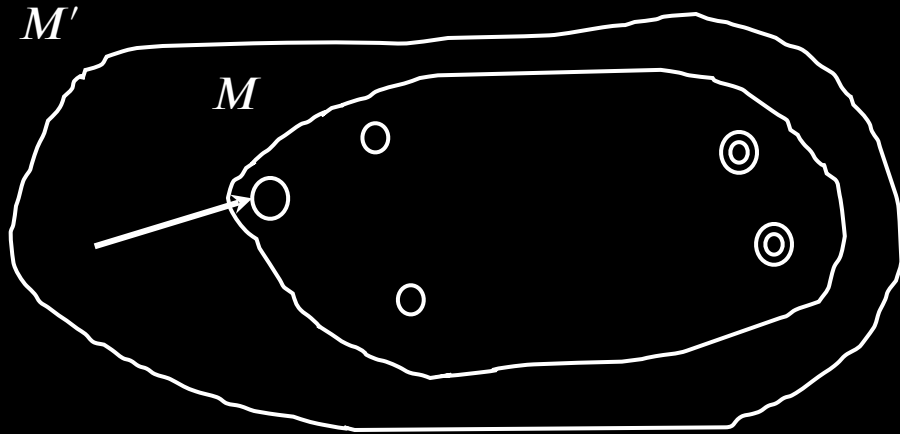


# Closure under $*$ (star)

Theorem: If  $A$  is a regular language, so is  $A^*$

Proof sketch: Given DFA  $M$  recognizing  $A$

Construct NFA  $M'$  recognizing  $A^*$



$M'$  should accept input  $w$

if  $w = x_1x_2 \dots x_k$

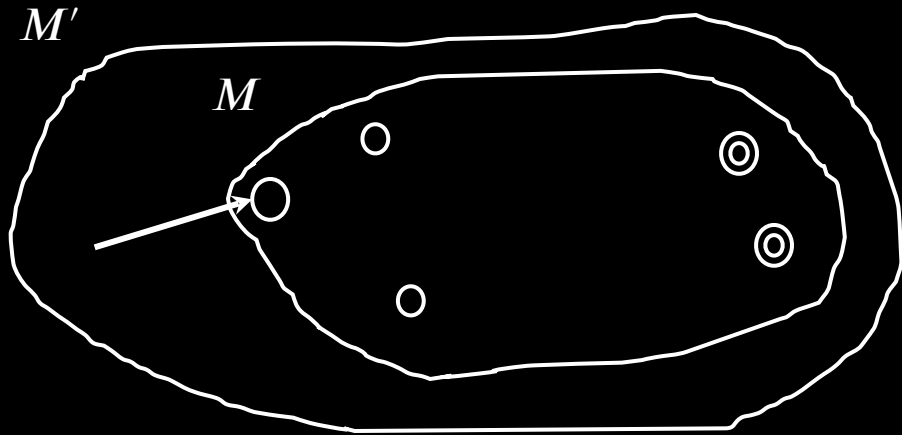
where  $k \geq 0$  and  $M$  accepts each  $x_i$

# Closure under $*$ (star)

Theorem: If  $A$  is a regular language, so is  $A^*$

Proof sketch: Given DFA  $M$  recognizing  $A$

Construct NFA  $M'$  recognizing  $A^*$



$M'$  should accept input  $w$

if  $w = x_1x_2 \dots x_k$

where  $k \geq 0$  and  $M$  accepts each  $x_i$

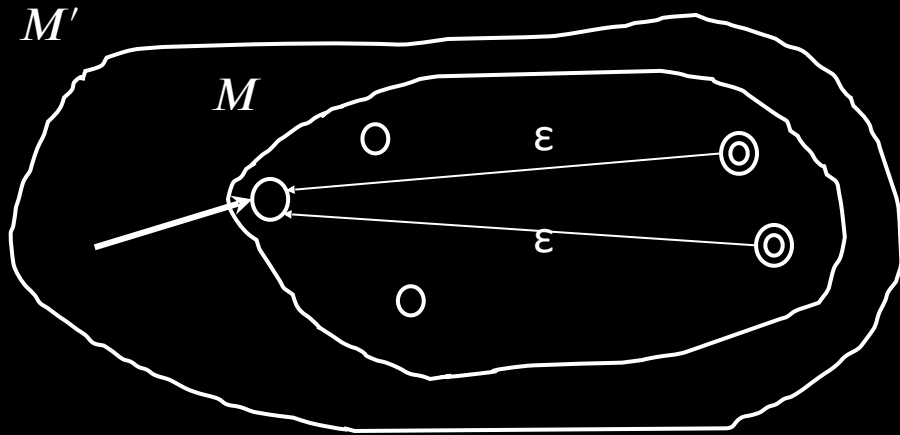
$w = \underline{x_1 \mid x_2 \mid x_3 \mid x_4}$

# Closure under $*$ (star)

Theorem: If  $A$  is a regular language, so is  $A^*$

Proof sketch: Given DFA  $M$  recognizing  $A$

Construct NFA  $M'$  recognizing  $A^*$



$M'$  should accept input  $w$

if  $w = x_1x_2 \dots x_k$

where  $k \geq 0$  and  $M$  accepts each  $x_i$

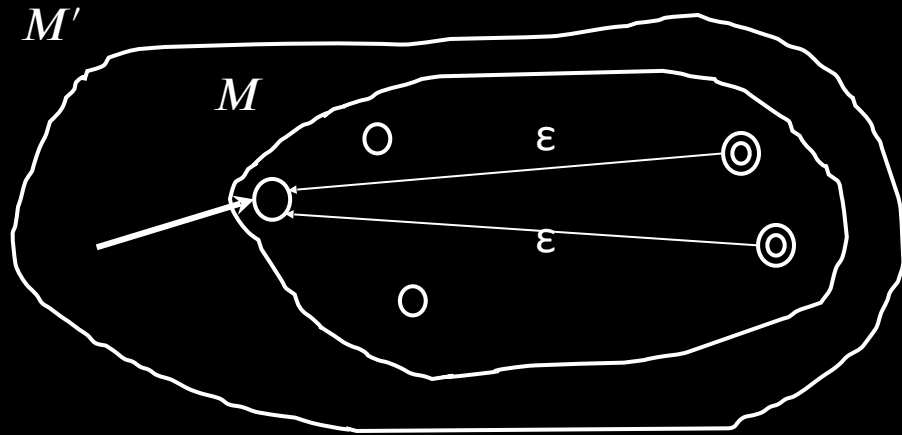
$w = \underline{x_1} \mid \underline{x_2} \mid \underline{x_3} \mid \underline{x_4}$

# Closure under $*$ (star)

Theorem: If  $A$  is a regular language, so is  $A^*$

Proof sketch: Given DFA  $M$  recognizing  $A$

Construct NFA  $M'$  recognizing  $A^*$



Make sure  $M'$  accepts  $\epsilon$

$M'$  should accept input  $w$

if  $w = x_1x_2 \dots x_k$

where  $k \geq 0$  and  $M$  accepts each  $x_i$

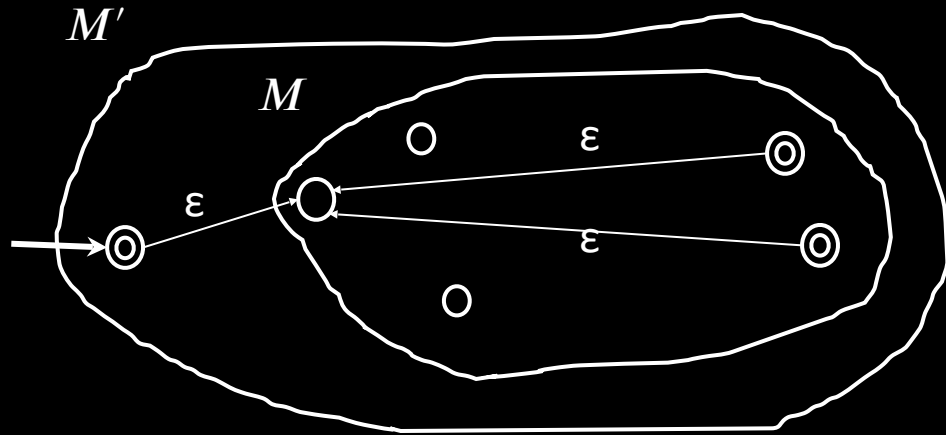
$w = \underline{x_1} \mid \underline{x_2} \mid \underline{x_3} \mid \underline{x_4}$

# Closure under $*$ (star)

Theorem: If  $A$  is a regular language, so is  $A^*$

Proof sketch: Given DFA  $M$  recognizing  $A$

Construct NFA  $M'$  recognizing  $A^*$



Make sure  $M'$  accepts  $\epsilon$

$M'$  should accept input  $w$

if  $w = x_1x_2 \dots x_k$

where  $k \geq 0$  and  $M$  accepts each  $x_i$

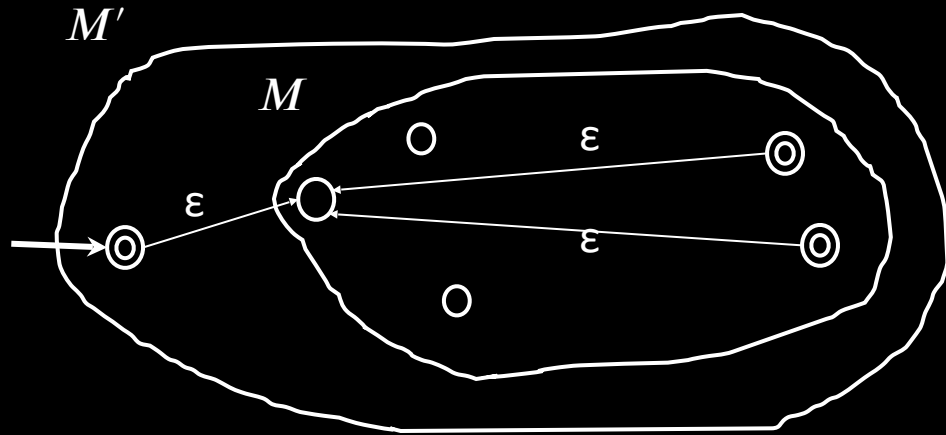
$w = \underline{x_1} \mid \underline{x_2} \mid \underline{x_3} \mid \underline{x_4}$

# Closure under $*$ (star)

Theorem: If  $A$  is a regular language, so is  $A^*$

Proof sketch: Given DFA  $M$  recognizing  $A$

Construct NFA  $M'$  recognizing  $A^*$



Make sure  $M'$  accepts  $\epsilon$

$M'$  should accept input  $w$

if  $w = x_1 x_2 \dots x_k$

where  $k \geq 0$  and  $M$  accepts each  $x_i$

$w = \underline{x_1} \mid \underline{x_2} \mid \underline{x_3} \mid \underline{x_4}$

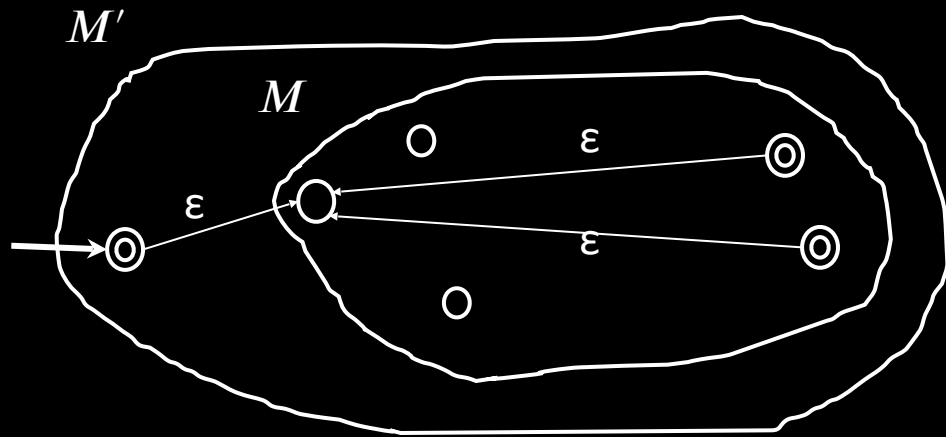


# Closure under $*$ (star)

Theorem: If  $A$  is a regular language, so is  $A^*$

Proof sketch: Given DFA  $M$  recognizing  $A$

Construct NFA  $M'$  recognizing  $A^*$



Make sure  $M'$  accepts  $\epsilon$

## Check-in 2.3

If  $M$  has  $n$  states, how many states does  $M'$  have by this construction?

- (a)  $n$
- (b)  $n + 1$
- (c)  $2n$

# Regular Expression

# Regular Expression

17

- Is a string

# Regular Expression

17

- Is a string
- “a” (for  $a$  in  $\Sigma$ ) matches to “a”

# Regular Expression

17

- Is a string
- “a” (for  $a \in \Sigma$ ) matches to “a”
- “ $R1 \cup R2$ ” matches to strings that match to  $R1$  or  $R2$

# Regular Expression

- Is a string
- “a” (for  $a \in \Sigma$ ) matches to “a”
- “ $R1 \cup R2$ ” matches to strings that match to  $R1$  or  $R2$
- “ $R1R2$ ” matches to every string  $w$  if  $w$  could be written as  $w=xy$  where  $x$  matches to  $R1$  and  $y$  matches to  $R2$

# Regular Expression

17

- Is a string
- “a” (for  $a \in \Sigma$ ) matches to “a”
- “ $R1 \cup R2$ ” matches to strings that match to  $R1$  or  $R2$
- “ $R1R2$ ” matches to every string  $w$  if  $w$  could be written as  $w=xy$  where  $x$  matches to  $R1$  and  $y$  matches to  $R2$
- “ $(R)$ ” matches to strings that match to  $R$

# Regular Expression

17

- Is a string
- “a” (for  $a \in \Sigma$ ) matches to “a”
- “ $R1 \cup R2$ ” matches to strings that match to  $R1$  or  $R2$
- “ $R1R2$ ” matches to every string  $w$  if  $w$  could be written as  $w=xy$  where  $x$  matches to  $R1$  and  $y$  matches to  $R2$
- “ $(R)$ ” matches to strings that match to  $R$
- “ $R^*$ ” matches to string  $s$  if  $s$  could be written as  $s=x_1x_2...x_k$  where each  $x_i$  matches to  $R$ .



# Regular Expression

17

- Is a string
- “a” (for  $a \in \Sigma$ ) matches to “a”
- “ $R1 \cup R2$ ” matches to strings that match to  $R1$  or  $R2$
- “ $R1R2$ ” matches to every string  $w$  if  $w$  could be written as  $w=xy$  where  $x$  matches to  $R1$  and  $y$  matches to  $R2$
- “ $(R)$ ” matches to strings that match to  $R$
- “ $R^*$ ” matches to string  $s$  if  $s$  could be written as  $s=x_1x_2...x_k$  where each  $x_i$  matches to  $R$ .

Example:

$$(a \cup ab)^*$$

# Regular Expressions $\rightarrow$ NFA

Theorem: If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

# Regular Expressions $\rightarrow$ NFA

Theorem: If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

Proof: Convert  $R$  to equivalent NFA  $M$ :

# Regular Expressions $\rightarrow$ NFA

Theorem: If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

Proof: Convert  $R$  to equivalent NFA  $M$ :

If  $R$  is atomic:

# Regular Expressions $\rightarrow$ NFA

Theorem: If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

Proof: Convert  $R$  to equivalent NFA  $M$ :

If  $R$  is atomic:

$$R = a \text{ for } a \in \Sigma$$

$$R = \varepsilon$$

$$R = \emptyset$$

# Regular Expressions $\rightarrow$ NFA

Theorem: If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

Proof: Convert  $R$  to equivalent NFA  $M$ :

If  $R$  is atomic:

$$R = a \text{ for } a \in \Sigma$$

$$R = \varepsilon$$

$$R = \emptyset$$

If  $R$  is composite:

# Regular Expressions $\rightarrow$ NFA

Theorem: If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

Proof: Convert  $R$  to equivalent NFA  $M$ :

If  $R$  is atomic:

$$R = a \text{ for } a \in \Sigma$$

$$R = \varepsilon$$

$$R = \emptyset$$

If  $R$  is composite:

$$R = R_1 \cup R_2$$

$$R = R_1 \circ R_2$$

$$R = R_1^*$$

# Regular Expressions $\rightarrow$ NFA

Theorem: If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

Proof: Convert  $R$  to equivalent NFA  $M$ :

If  $R$  is atomic:      Equivalent  $M$  is:

$$R = a \text{ for } a \in \Sigma$$

$$R = \varepsilon$$

$$R = \emptyset$$

If  $R$  is composite:

$$R = R_1 \cup R_2$$

$$R = R_1 \circ R_2$$

$$R = R_1^*$$



# Regular Expressions $\rightarrow$ NFA

Theorem: If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

Proof: Convert  $R$  to equivalent NFA  $M$ :

If  $R$  is atomic:      Equivalent  $M$  is:

$R = a$  for  $a \in \Sigma \rightarrow \bigcirc \xrightarrow{a} \odot$

$R = \varepsilon$

$R = \emptyset$

If  $R$  is composite:

$R = R_1 \cup R_2$

$R = R_1 \circ R_2$

$R = R_1^*$

# Regular Expressions $\rightarrow$ NFA

Theorem: If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

Proof: Convert  $R$  to equivalent NFA  $M$ :

If  $R$  is atomic:      Equivalent  $M$  is:

$R = a$  for  $a \in \Sigma$   $\rightarrow \bigcirc \xrightarrow{a} \odot$

$R = \varepsilon$   $\rightarrow \odot$

$R = \emptyset$

If  $R$  is composite:

$R = R_1 \cup R_2$

$R = R_1 \circ R_2$

$R = R_1^*$

# Regular Expressions $\rightarrow$ NFA

Theorem: If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

Proof: Convert  $R$  to equivalent NFA  $M$ :

If  $R$  is atomic:      Equivalent  $M$  is:

$R = a$  for  $a \in \Sigma$   $\rightarrow \bigcirc \xrightarrow{a} \odot$

$R = \varepsilon$   $\rightarrow \odot$

$R = \emptyset$   $\rightarrow \bigcirc$

If  $R$  is composite:

$R = R_1 \cup R_2$

$R = R_1 \circ R_2$

$R = R_1^*$

# Regular Expressions $\rightarrow$ NFA

Theorem: If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

Proof: Convert  $R$  to equivalent NFA  $M$ :

If  $R$  is atomic:      Equivalent  $M$  is:

$R = a$  for  $a \in \Sigma$   $\rightarrow \text{O} \xrightarrow{a} \text{O}$

$R = \varepsilon$   $\rightarrow \text{O}$

$R = \emptyset$   $\rightarrow \text{O}$

If  $R$  is composite:

$R = R_1 \cup R_2$   
 $R = R_1 \circ R_2$   
 $R = R_1^*$  } Use closure constructions

# Regular Expressions $\rightarrow$ NFA

Theorem: If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

Proof: Convert  $R$  to equivalent NFA  $M$ :

Example:

Convert  $(a \cup ab)^*$  to equivalent NFA

If  $R$  is atomic:      Equivalent  $M$  is:

$R = a$  for  $a \in \Sigma$   $\rightarrow \text{O} \xrightarrow{a} \odot$

$R = \varepsilon$   $\rightarrow \odot$

$R = \emptyset$   $\rightarrow \text{O}$

If  $R$  is composite:

$R = R_1 \cup R_2$   
 $R = R_1 \circ R_2$   
 $R = R_1^*$   $\left. \vphantom{\begin{matrix} R = R_1 \cup R_2 \\ R = R_1 \circ R_2 \\ R = R_1^* \end{matrix}} \right\}$  Use closure constructions

# Regular Expressions $\rightarrow$ NFA

Theorem: If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

Proof: Convert  $R$  to equivalent NFA  $M$ :

If  $R$  is atomic:      Equivalent  $M$  is:

$R = a$  for  $a \in \Sigma$        $\rightarrow \bigcirc \xrightarrow{a} \odot$

$R = \varepsilon$        $\rightarrow \odot$

$R = \emptyset$        $\rightarrow \bigcirc$

If  $R$  is composite:

$R = R_1 \cup R_2$   
 $R = R_1 \circ R_2$   
 $R = R_1^*$        $\left. \vphantom{\begin{matrix} R = R_1 \cup R_2 \\ R = R_1 \circ R_2 \\ R = R_1^* \end{matrix}} \right\} \text{ Use closure constructions}$

Example:

Convert  $(a \cup ab)^*$  to equivalent NFA

a:

# Regular Expressions $\rightarrow$ NFA

Theorem: If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

Proof: Convert  $R$  to equivalent NFA  $M$ :

If  $R$  is atomic:      Equivalent  $M$  is:

$R = a$  for  $a \in \Sigma$        $\rightarrow \bigcirc \xrightarrow{a} \odot$

$R = \varepsilon$        $\rightarrow \odot$

$R = \emptyset$        $\rightarrow \bigcirc$

If  $R$  is composite:

$R = R_1 \cup R_2$   
 $R = R_1 \circ R_2$   
 $R = R_1^*$        $\left. \vphantom{\begin{matrix} R = R_1 \cup R_2 \\ R = R_1 \circ R_2 \\ R = R_1^* \end{matrix}} \right\}$  Use closure constructions

Example:

Convert  $(a \cup ab)^*$  to equivalent NFA

$a$ :  $\rightarrow \bigcirc \xrightarrow{a} \odot$

# Regular Expressions $\rightarrow$ NFA

Theorem: If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

Proof: Convert  $R$  to equivalent NFA  $M$ :

If  $R$  is atomic:      Equivalent  $M$  is:

$R = a$  for  $a \in \Sigma$        $\rightarrow \bigcirc \xrightarrow{a} \odot$

$R = \varepsilon$        $\rightarrow \odot$

$R = \emptyset$        $\rightarrow \bigcirc$

If  $R$  is composite:

$R = R_1 \cup R_2$   
 $R = R_1 \circ R_2$   
 $R = R_1^*$        $\left. \vphantom{\begin{matrix} R = R_1 \cup R_2 \\ R = R_1 \circ R_2 \\ R = R_1^* \end{matrix}} \right\} \text{ Use closure constructions}$

Example:

Convert  $(a \cup ab)^*$  to equivalent NFA

a:  $\rightarrow \bigcirc \xrightarrow{a} \odot$

b:  $\rightarrow \bigcirc \xrightarrow{b} \odot$



# Regular Expressions $\rightarrow$ NFA

Theorem: If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

Proof: Convert  $R$  to equivalent NFA  $M$ :

If  $R$  is atomic:      Equivalent  $M$  is:

$R = a$  for  $a \in \Sigma$        $\rightarrow \bigcirc \xrightarrow{a} \odot$

$R = \varepsilon$        $\rightarrow \odot$

$R = \emptyset$        $\rightarrow \bigcirc$

If  $R$  is composite:

$R = R_1 \cup R_2$   
 $R = R_1 \circ R_2$   
 $R = R_1^*$        $\left. \vphantom{\begin{matrix} R = R_1 \cup R_2 \\ R = R_1 \circ R_2 \\ R = R_1^* \end{matrix}} \right\} \text{ Use closure constructions}$

Example:

Convert  $(a \cup ab)^*$  to equivalent NFA

a:  $\rightarrow \bigcirc \xrightarrow{a} \odot$

b:  $\rightarrow \bigcirc \xrightarrow{b} \odot$

ab:

# Regular Expressions $\rightarrow$ NFA

Theorem: If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

Proof: Convert  $R$  to equivalent NFA  $M$ :

If  $R$  is atomic:      Equivalent  $M$  is:

$R = a$  for  $a \in \Sigma$        $\rightarrow \bigcirc \xrightarrow{a} \odot$

$R = \varepsilon$        $\rightarrow \odot$

$R = \emptyset$        $\rightarrow \bigcirc$

If  $R$  is composite:

$R = R_1 \cup R_2$   
 $R = R_1 \circ R_2$   
 $R = R_1^*$        $\left. \vphantom{\begin{matrix} R = R_1 \cup R_2 \\ R = R_1 \circ R_2 \\ R = R_1^* \end{matrix}} \right\} \text{ Use closure constructions}$

Example:

Convert  $(a \cup ab)^*$  to equivalent NFA

$a$ :  $\rightarrow \bigcirc \xrightarrow{a} \odot$

$b$ :  $\rightarrow \bigcirc \xrightarrow{b} \odot$

$ab$ :  $\rightarrow \bigcirc \xrightarrow{a} \odot$

# Regular Expressions $\rightarrow$ NFA

Theorem: If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

Proof: Convert  $R$  to equivalent NFA  $M$ :

If  $R$  is atomic:      Equivalent  $M$  is:

$R = a$  for  $a \in \Sigma$        $\rightarrow \text{O} \xrightarrow{a} \odot$

$R = \varepsilon$        $\rightarrow \odot$

$R = \emptyset$        $\rightarrow \text{O}$

If  $R$  is composite:

$R = R_1 \cup R_2$   
 $R = R_1 \circ R_2$   
 $R = R_1^*$        $\left. \vphantom{\begin{matrix} R = R_1 \cup R_2 \\ R = R_1 \circ R_2 \\ R = R_1^* \end{matrix}} \right\} \text{ Use closure constructions}$

Example:

Convert  $(a \cup ab)^*$  to equivalent NFA

$a$ :  $\rightarrow \text{O} \xrightarrow{a} \odot$

$b$ :  $\rightarrow \text{O} \xrightarrow{b} \odot$

$ab$ :  $\rightarrow \text{O} \xrightarrow{a} \odot \rightarrow \text{O} \xrightarrow{b} \odot$

# Regular Expressions $\rightarrow$ NFA

Theorem: If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

Proof: Convert  $R$  to equivalent NFA  $M$ :

If  $R$  is atomic:      Equivalent  $M$  is:

$R = a$  for  $a \in \Sigma$        $\rightarrow \text{O} \xrightarrow{a} \odot$

$R = \varepsilon$        $\rightarrow \odot$

$R = \emptyset$        $\rightarrow \text{O}$

If  $R$  is composite:

$R = R_1 \cup R_2$   
 $R = R_1 \circ R_2$   
 $R = R_1^*$        $\left. \vphantom{\begin{matrix} R = R_1 \cup R_2 \\ R = R_1 \circ R_2 \\ R = R_1^* \end{matrix}} \right\} \text{ Use closure constructions}$

Example:

Convert  $(a \cup ab)^*$  to equivalent NFA

$a$ :  $\rightarrow \text{O} \xrightarrow{a} \odot$

$b$ :  $\rightarrow \text{O} \xrightarrow{b} \odot$

$ab$ :  $\rightarrow \text{O} \xrightarrow{a} \text{O} \xrightarrow{\varepsilon} \text{O} \xrightarrow{b} \odot$

# Regular Expressions $\rightarrow$ NFA

Theorem: If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

Proof: Convert  $R$  to equivalent NFA  $M$ :

If  $R$  is atomic:      Equivalent  $M$  is:

$R = a$  for  $a \in \Sigma$        $\rightarrow \text{O} \xrightarrow{a} \odot$

$R = \varepsilon$        $\rightarrow \odot$

$R = \emptyset$        $\rightarrow \text{O}$

If  $R$  is composite:

$R = R_1 \cup R_2$   
 $R = R_1 \circ R_2$   
 $R = R_1^*$        $\left. \vphantom{\begin{matrix} R = R_1 \cup R_2 \\ R = R_1 \circ R_2 \\ R = R_1^* \end{matrix}} \right\} \text{ Use closure constructions}$

Example:

Convert  $(a \cup ab)^*$  to equivalent NFA

$a$ :  $\rightarrow \text{O} \xrightarrow{a} \odot$

$b$ :  $\rightarrow \text{O} \xrightarrow{b} \odot$

$ab$ :  $\rightarrow \text{O} \xrightarrow{a} \text{O} \xrightarrow{\varepsilon} \text{O} \xrightarrow{b} \odot$

$a \cup ab$ :

# Regular Expressions $\rightarrow$ NFA

Theorem: If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

Proof: Convert  $R$  to equivalent NFA  $M$ :

If  $R$  is atomic:      Equivalent  $M$  is:

$R = a$  for  $a \in \Sigma$        $\rightarrow \text{O} \xrightarrow{a} \odot$

$R = \varepsilon$        $\rightarrow \odot$

$R = \emptyset$        $\rightarrow \text{O}$

If  $R$  is composite:

$R = R_1 \cup R_2$   
 $R = R_1 \circ R_2$   
 $R = R_1^*$        $\left. \vphantom{\begin{matrix} R = R_1 \cup R_2 \\ R = R_1 \circ R_2 \\ R = R_1^* \end{matrix}} \right\} \text{ Use closure constructions}$

Example:

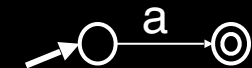
Convert  $(a \cup ab)^*$  to equivalent NFA

$a$ :  $\rightarrow \text{O} \xrightarrow{a} \odot$

$b$ :  $\rightarrow \text{O} \xrightarrow{b} \odot$

$ab$ :  $\rightarrow \text{O} \xrightarrow{a} \text{O} \xrightarrow{\varepsilon} \text{O} \xrightarrow{b} \odot$

$a \cup ab$ :



# Regular Expressions $\rightarrow$ NFA

Theorem: If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

Proof: Convert  $R$  to equivalent NFA  $M$ :

If  $R$  is atomic:      Equivalent  $M$  is:

$R = a$  for  $a \in \Sigma$        $\rightarrow \text{O} \xrightarrow{a} \odot$

$R = \varepsilon$        $\rightarrow \odot$

$R = \emptyset$        $\rightarrow \text{O}$

If  $R$  is composite:

$R = R_1 \cup R_2$   
 $R = R_1 \circ R_2$   
 $R = R_1^*$        $\left. \vphantom{\begin{matrix} R = R_1 \cup R_2 \\ R = R_1 \circ R_2 \\ R = R_1^* \end{matrix}} \right\} \text{ Use closure constructions}$

Example:

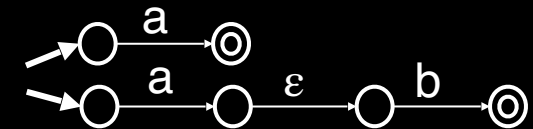
Convert  $(a \cup ab)^*$  to equivalent NFA

$a$ :  $\rightarrow \text{O} \xrightarrow{a} \odot$

$b$ :  $\rightarrow \text{O} \xrightarrow{b} \odot$

$ab$ :  $\rightarrow \text{O} \xrightarrow{a} \text{O} \xrightarrow{\varepsilon} \text{O} \xrightarrow{b} \odot$

$a \cup ab$ :



# Regular Expressions $\rightarrow$ NFA

Theorem: If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

Proof: Convert  $R$  to equivalent NFA  $M$ :

If  $R$  is atomic:      Equivalent  $M$  is:

$R = a$  for  $a \in \Sigma$        $\rightarrow \text{O} \xrightarrow{a} \odot$

$R = \varepsilon$        $\rightarrow \odot$

$R = \emptyset$        $\rightarrow \text{O}$

If  $R$  is composite:

$R = R_1 \cup R_2$   
 $R = R_1 \circ R_2$   
 $R = R_1^*$        $\left. \vphantom{\begin{matrix} R = R_1 \cup R_2 \\ R = R_1 \circ R_2 \\ R = R_1^* \end{matrix}} \right\} \text{ Use closure constructions}$

Example:

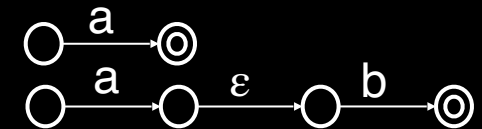
Convert  $(a \cup ab)^*$  to equivalent NFA

$a$ :  $\rightarrow \text{O} \xrightarrow{a} \odot$

$b$ :  $\rightarrow \text{O} \xrightarrow{b} \odot$

$ab$ :  $\rightarrow \text{O} \xrightarrow{a} \text{O} \xrightarrow{\varepsilon} \text{O} \xrightarrow{b} \odot$

$a \cup ab$ :





# Regular Expressions $\rightarrow$ NFA

Theorem: If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

Proof: Convert  $R$  to equivalent NFA  $M$ :

If  $R$  is atomic:      Equivalent  $M$  is:

$R = a$  for  $a \in \Sigma$        $\rightarrow \text{O} \xrightarrow{a} \odot$

$R = \varepsilon$        $\rightarrow \odot$

$R = \emptyset$        $\rightarrow \text{O}$

If  $R$  is composite:

$R = R_1 \cup R_2$   
 $R = R_1 \circ R_2$   
 $R = R_1^*$        $\left. \vphantom{\begin{matrix} R = R_1 \cup R_2 \\ R = R_1 \circ R_2 \\ R = R_1^* \end{matrix}} \right\} \text{ Use closure constructions}$

Example:

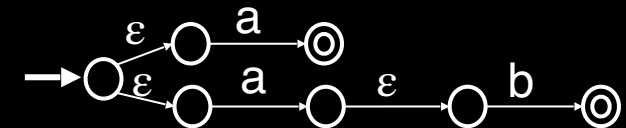
Convert  $(a \cup ab)^*$  to equivalent NFA

$a$ :  $\rightarrow \text{O} \xrightarrow{a} \odot$

$b$ :  $\rightarrow \text{O} \xrightarrow{b} \odot$

$ab$ :  $\rightarrow \text{O} \xrightarrow{a} \text{O} \xrightarrow{\varepsilon} \text{O} \xrightarrow{b} \odot$

$a \cup ab$ :



# Regular Expressions $\rightarrow$ NFA

Theorem: If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

Proof: Convert  $R$  to equivalent NFA  $M$ :

If  $R$  is atomic:      Equivalent  $M$  is:

$R = a$  for  $a \in \Sigma$        $\rightarrow \text{O} \xrightarrow{a} \odot$

$R = \varepsilon$        $\rightarrow \odot$

$R = \emptyset$        $\rightarrow \text{O}$

If  $R$  is composite:

$R = R_1 \cup R_2$   
 $R = R_1 \circ R_2$   
 $R = R_1^*$        $\left. \vphantom{\begin{matrix} R = R_1 \cup R_2 \\ R = R_1 \circ R_2 \\ R = R_1^* \end{matrix}} \right\} \text{ Use closure constructions}$

Example:

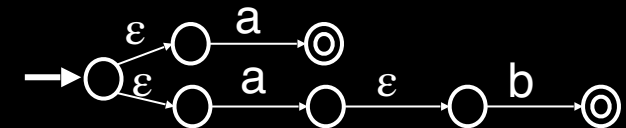
Convert  $(a \cup ab)^*$  to equivalent NFA

$a$ :  $\rightarrow \text{O} \xrightarrow{a} \odot$

$b$ :  $\rightarrow \text{O} \xrightarrow{b} \odot$

$ab$ :  $\rightarrow \text{O} \xrightarrow{a} \text{O} \xrightarrow{\varepsilon} \text{O} \xrightarrow{b} \odot$

$a \cup ab$ :



# Regular Expressions $\rightarrow$ NFA

Theorem: If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

Proof: Convert  $R$  to equivalent NFA  $M$ :

If  $R$  is atomic:      Equivalent  $M$  is:

$R = a$  for  $a \in \Sigma$        $\rightarrow \text{O} \xrightarrow{a} \odot$

$R = \varepsilon$        $\rightarrow \odot$

$R = \emptyset$        $\rightarrow \text{O}$

If  $R$  is composite:

$R = R_1 \cup R_2$   
 $R = R_1 \circ R_2$   
 $R = R_1^*$        $\left. \vphantom{\begin{matrix} R = R_1 \cup R_2 \\ R = R_1 \circ R_2 \\ R = R_1^* \end{matrix}} \right\} \text{ Use closure constructions}$

Example:

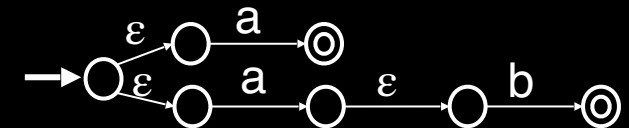
Convert  $(a \cup ab)^*$  to equivalent NFA

$a$ :  $\rightarrow \text{O} \xrightarrow{a} \odot$

$b$ :  $\rightarrow \text{O} \xrightarrow{b} \odot$

$ab$ :  $\rightarrow \text{O} \xrightarrow{a} \text{O} \xrightarrow{\varepsilon} \text{O} \xrightarrow{b} \odot$

$a \cup ab$ :



$(a \cup ab)^*$ :

# Regular Expressions $\rightarrow$ NFA

Theorem: If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

Proof: Convert  $R$  to equivalent NFA  $M$ :

If  $R$  is atomic:      Equivalent  $M$  is:

$R = a$  for  $a \in \Sigma$        $\rightarrow \text{O} \xrightarrow{a} \odot$

$R = \varepsilon$        $\rightarrow \odot$

$R = \emptyset$        $\rightarrow \text{O}$

If  $R$  is composite:

$R = R_1 \cup R_2$   
 $R = R_1 \circ R_2$   
 $R = R_1^*$        $\left. \vphantom{\begin{matrix} R = R_1 \cup R_2 \\ R = R_1 \circ R_2 \\ R = R_1^* \end{matrix}} \right\} \text{ Use closure constructions}$

Example:

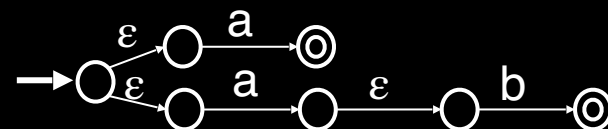
Convert  $(a \cup ab)^*$  to equivalent NFA

$a$ :  $\rightarrow \text{O} \xrightarrow{a} \odot$

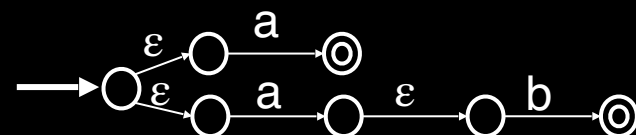
$b$ :  $\rightarrow \text{O} \xrightarrow{b} \odot$

$ab$ :  $\rightarrow \text{O} \xrightarrow{a} \text{O} \xrightarrow{\varepsilon} \text{O} \xrightarrow{b} \odot$

$a \cup ab$ :



$(a \cup ab)^*$ :



# Regular Expressions $\rightarrow$ NFA

Theorem: If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

Proof: Convert  $R$  to equivalent NFA  $M$ :

If  $R$  is atomic:      Equivalent  $M$  is:

$R = a$  for  $a \in \Sigma$        $\rightarrow \text{O} \xrightarrow{a} \odot$

$R = \varepsilon$        $\rightarrow \odot$

$R = \emptyset$        $\rightarrow \text{O}$

If  $R$  is composite:

$R = R_1 \cup R_2$   
 $R = R_1 \circ R_2$   
 $R = R_1^*$

} Use closure constructions

Example:

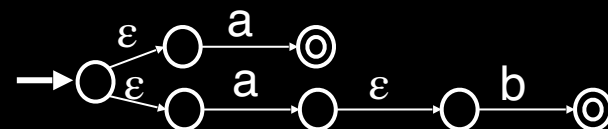
Convert  $(a \cup ab)^*$  to equivalent NFA

$a$ :  $\rightarrow \text{O} \xrightarrow{a} \odot$

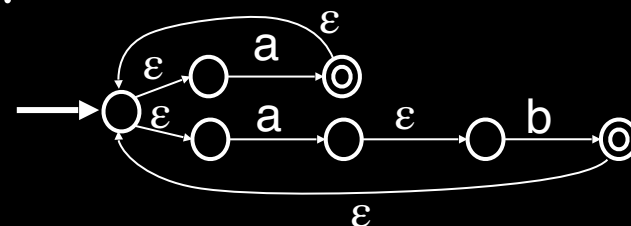
$b$ :  $\rightarrow \text{O} \xrightarrow{b} \odot$

$ab$ :  $\rightarrow \text{O} \xrightarrow{a} \text{O} \xrightarrow{\varepsilon} \text{O} \xrightarrow{b} \odot$

$a \cup ab$ :



$(a \cup ab)^*$ :



# Regular Expressions $\rightarrow$ NFA

Theorem: If  $R$  is a regular expr and  $A = L(R)$  then  $A$  is regular

Proof: Convert  $R$  to equivalent NFA  $M$ :

If  $R$  is atomic:      Equivalent  $M$  is:

$R = a$  for  $a \in \Sigma$        $\rightarrow \text{O} \xrightarrow{a} \odot$

$R = \varepsilon$        $\rightarrow \odot$

$R = \emptyset$        $\rightarrow \text{O}$

If  $R$  is composite:

$R = R_1 \cup R_2$   
 $R = R_1 \circ R_2$   
 $R = R_1^*$

} Use closure constructions

Example:

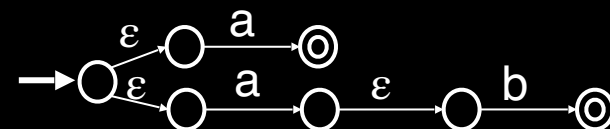
Convert  $(a \cup ab)^*$  to equivalent NFA

$a$ :  $\rightarrow \text{O} \xrightarrow{a} \odot$

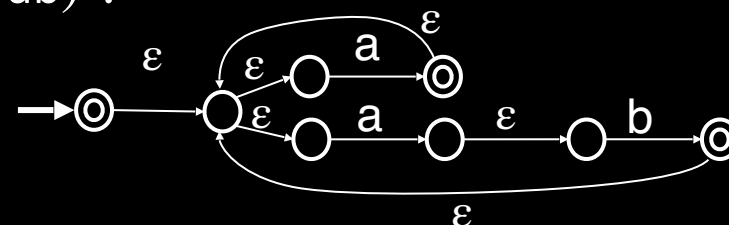
$b$ :  $\rightarrow \text{O} \xrightarrow{b} \odot$

$ab$ :  $\rightarrow \text{O} \xrightarrow{a} \text{O} \xrightarrow{\varepsilon} \text{O} \xrightarrow{b} \odot$

$a \cup ab$ :



$(a \cup ab)^*$ :

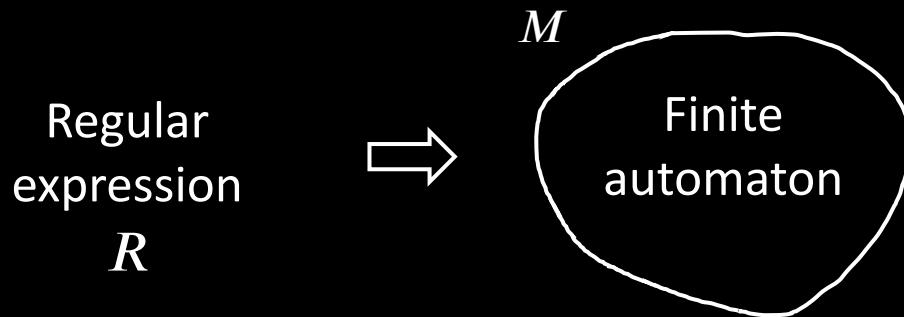


DFAs  $\rightarrow$  Regular Expressions

# DFAs $\rightarrow$ Regular Expressions

Recall Theorem: If  $R$  is a regular expression and  $A = L(R)$  then  $A$  is regular

Proof: Conversion  $R \rightarrow$  NFA  $M \rightarrow$  DFA  $M'$

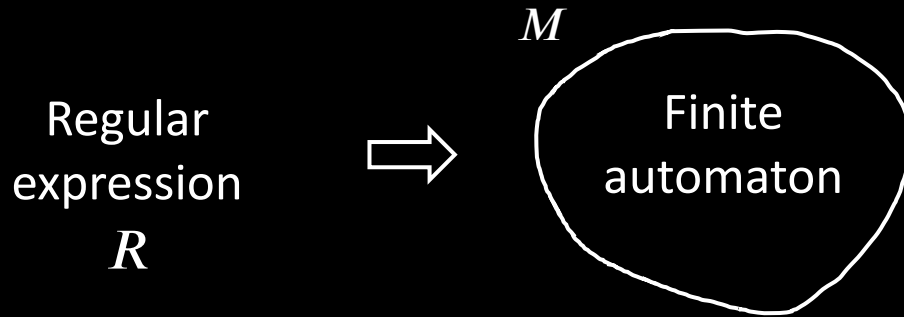




# DFAs $\rightarrow$ Regular Expressions

Recall Theorem: If  $R$  is a regular expression and  $A = L(R)$  then  $A$  is regular

Proof: Conversion  $R \rightarrow \text{NFA } M \rightarrow \text{DFA } M'$

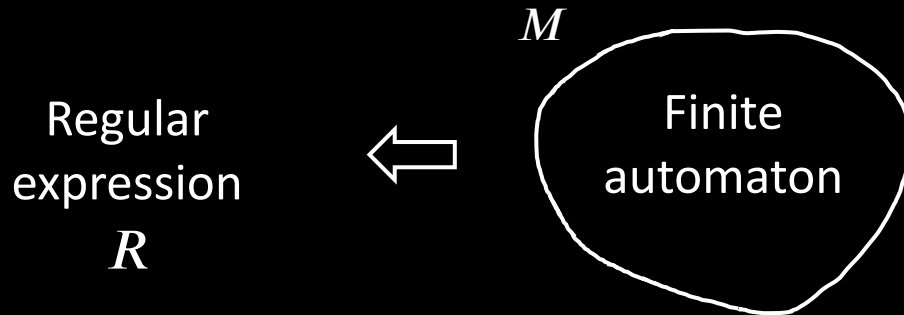


Recall: we did  $(a \cup ab)^*$  as an example

# DFAs $\rightarrow$ Regular Expressions

Recall Theorem: If  $R$  is a regular expression and  $A = L(R)$  then  $A$  is regular

Proof: Conversion  $R \rightarrow$  NFA  $M \rightarrow$  DFA  $M'$

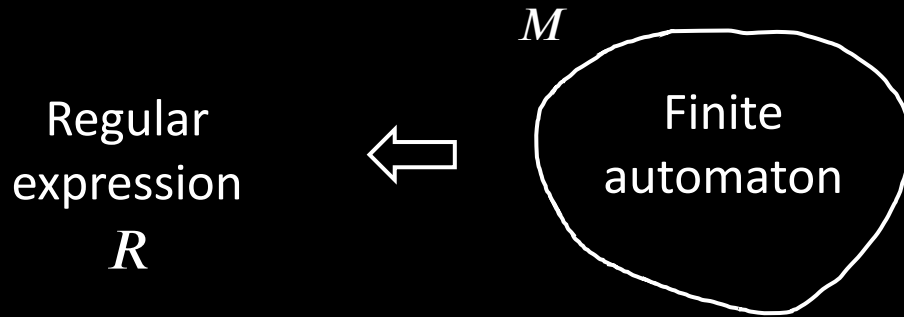


Recall: we did  $(a \cup ab)^*$  as an example

# DFAs $\rightarrow$ Regular Expressions

Recall Theorem: If  $R$  is a regular expression and  $A = L(R)$  then  $A$  is regular

Proof: Conversion  $R \rightarrow \text{NFA } M \rightarrow \text{DFA } M'$



Recall: we did  $(a \cup ab)^*$  as an example

Today's Theorem: If  $A$  is regular then  $A = L(R)$  for some regular expr  $R$

Proof: Give conversion  $\text{DFA } M \rightarrow R$

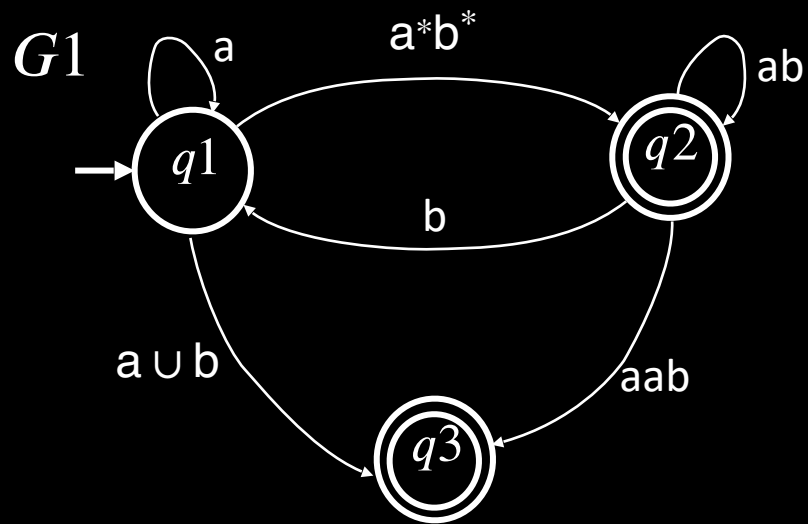
**WAIT!** Need new concept first.

# Generalized NFA

Defn: A Generalized Nondeterministic Finite Automaton (GNFA) is similar to an NFA, but allows regular expressions as transition labels

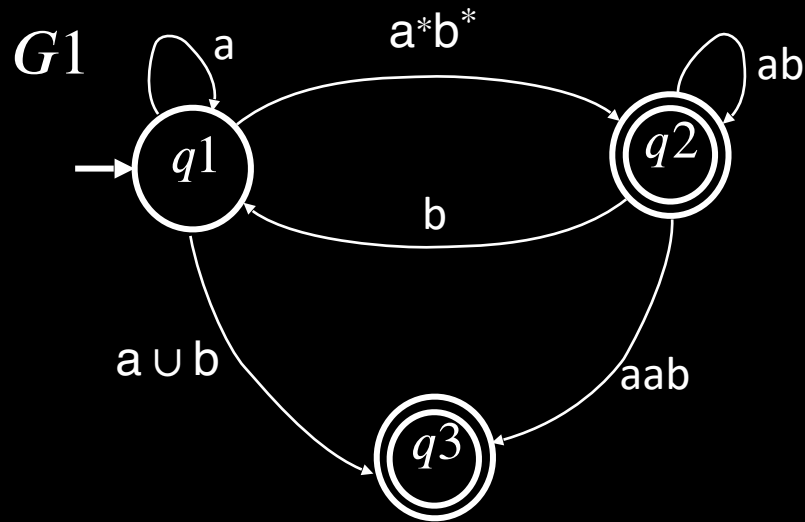
# Generalized NFA

Defn: A Generalized Nondeterministic Finite Automaton (GNFA) is similar to an NFA, but allows regular expressions as transition labels



# Generalized NFA

Defn: A Generalized Nondeterministic Finite Automaton (GNFA) is similar to an NFA, but allows regular expressions as transition labels



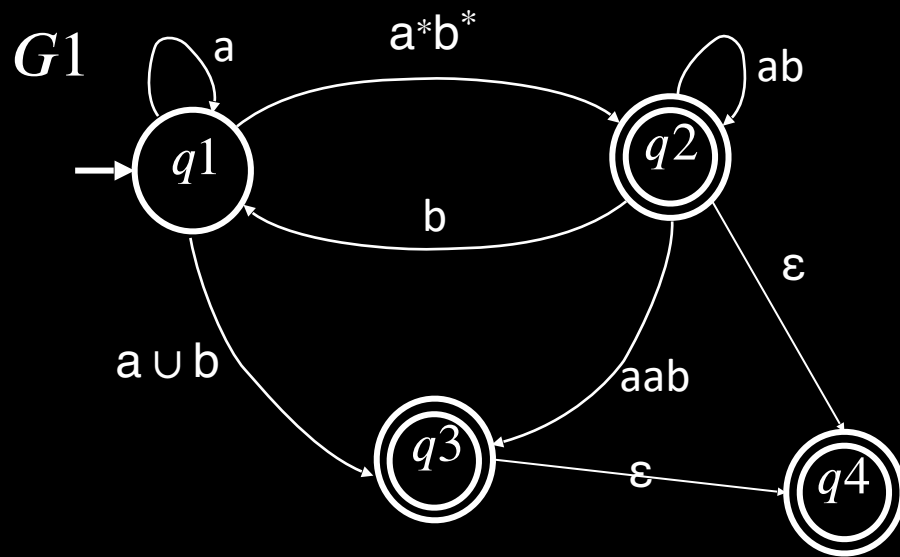
**For convenience we will assume:**

- One accept state, separate from the start state
- One arrow from each state to each state, except
  - a) only exiting the start state
  - b) only entering the accept state

We can easily modify a GNFA to have this special form.

# Generalized NFA

Defn: A Generalized Nondeterministic Finite Automaton (GNFA) is similar to an NFA, but allows regular expressions as transition labels



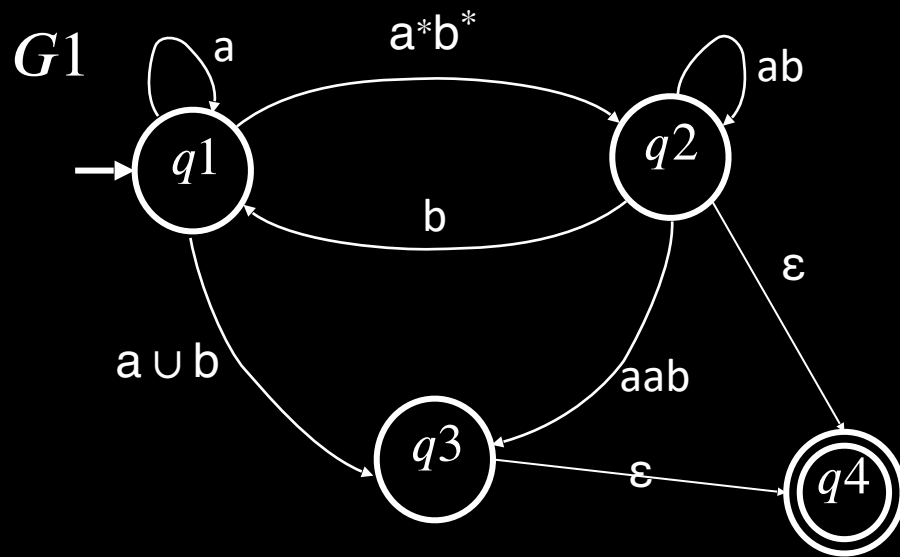
**For convenience we will assume:**

- One accept state, separate from the start state
- One arrow from each state to each state, except
  - a) only exiting the start state
  - b) only entering the accept state

We can easily modify a GNFA to have this special form.

# Generalized NFA

Defn: A Generalized Nondeterministic Finite Automaton (GNFA) is similar to an NFA, but allows regular expressions as transition labels



**For convenience we will assume:**

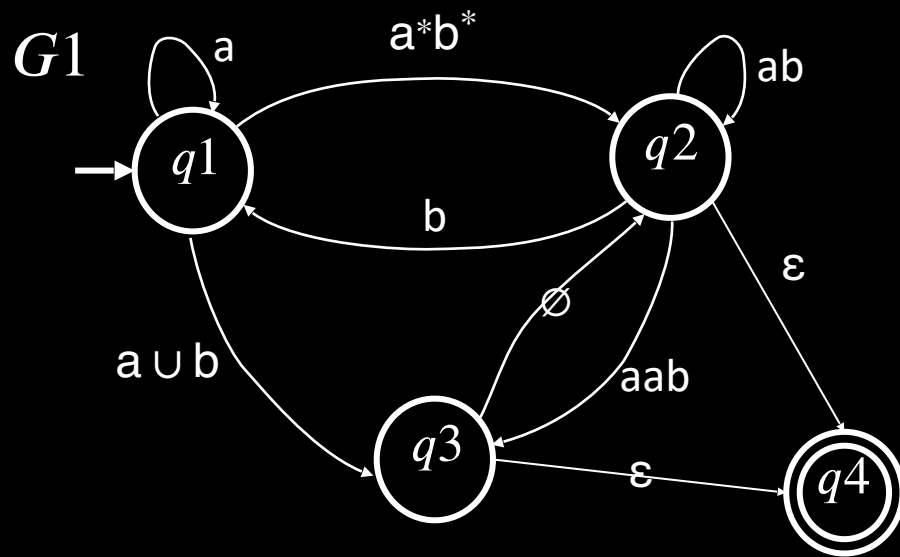
- One accept state, separate from the start state
- One arrow from each state to each state, except
  - a) only exiting the start state
  - b) only entering the accept state

We can easily modify a GNFA to have this special form.



# Generalized NFA

Defn: A Generalized Nondeterministic Finite Automaton (GNFA) is similar to an NFA, but allows regular expressions as transition labels



**For convenience we will assume:**

- One accept state, separate from the start state
- One arrow from each state to each state, except
  - a) only exiting the start state
  - b) only entering the accept state

We can easily modify a GNFA to have this special form.

# GNFA $\rightarrow$ Regular Expressions

Lemma: Every GNFA  $G$  has an equivalent regular expression  $R$

# GNFA $\rightarrow$ Regular Expressions

Lemma: Every GNFA  $G$  has an equivalent regular expression  $R$

Proof: By induction on the number of states  $k$  of  $G$

# GNFA $\rightarrow$ Regular Expressions

Lemma: Every GNFA  $G$  has an equivalent regular expression  $R$

Proof: By induction on the number of states  $k$  of  $G$

Basis ( $k = 2$ ):

# GNFA $\rightarrow$ Regular Expressions

Lemma: Every GNFA  $G$  has an equivalent regular expression  $R$

Proof: By induction on the number of states  $k$  of  $G$

Basis ( $k = 2$ ):



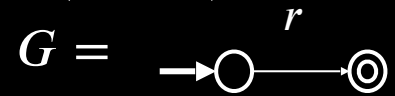
Remember:  $G$  is in special form

# GNFA $\rightarrow$ Regular Expressions

Lemma: Every GNFA  $G$  has an equivalent regular expression  $R$

Proof: By induction on the number of states  $k$  of  $G$

Basis ( $k = 2$ ):



Remember:  $G$  is in special form

Let  $R = r$

# GNFA $\rightarrow$ Regular Expressions

Lemma: Every GNFA  $G$  has an equivalent regular expression  $R$

Proof: By induction on the number of states  $k$  of  $G$

Basis ( $k = 2$ ):

$G = \begin{array}{c} \text{ } \\ \text{ } \end{array} \xrightarrow{\quad r \quad} \text{ } \odot$       Remember:  $G$  is in special form

Let  $R = r$

*Induction step* ( $k > 2$ ): Assume Lemma true for  $k - 1$  states and prove for  $k$  states

# GNFA $\rightarrow$ Regular Expressions

Lemma: Every GNFA  $G$  has an equivalent regular expression  $R$

Proof: By induction on the number of states  $k$  of  $G$

Basis ( $k = 2$ ):

$G = \begin{array}{c} \text{ } \\ \text{ } \end{array} \xrightarrow{\quad r \quad} \text{ } \odot$       Remember:  $G$  is in special form

Let  $R = r$

*Induction step* ( $k > 2$ ): Assume Lemma true for  $k - 1$  states and prove for  $k$  states

IDEA: Convert  $k$ -state GNFA to equivalent  $(k - 1)$ -state GNFA



# GNFA $\rightarrow$ Regular Expressions

Lemma: Every GNFA  $G$  has an equivalent regular expression  $R$

Proof: By induction on the number of states  $k$  of  $G$

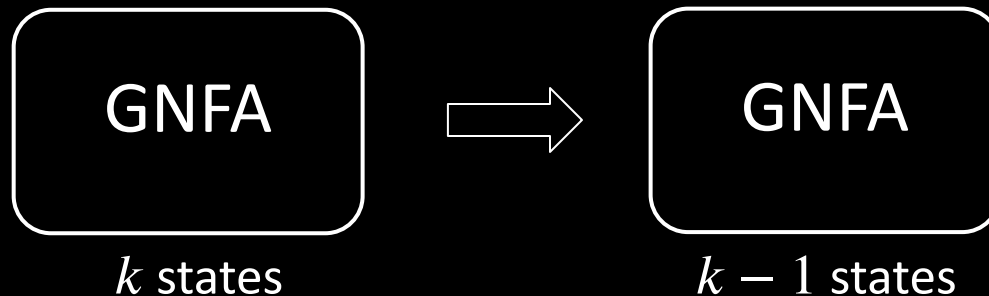
Basis ( $k = 2$ ):

$G = \begin{array}{c} \text{ } \\ \text{ } \end{array} \xrightarrow{r} \bigcirc \xrightarrow{\quad} \odot$       Remember:  $G$  is in special form

Let  $R = r$

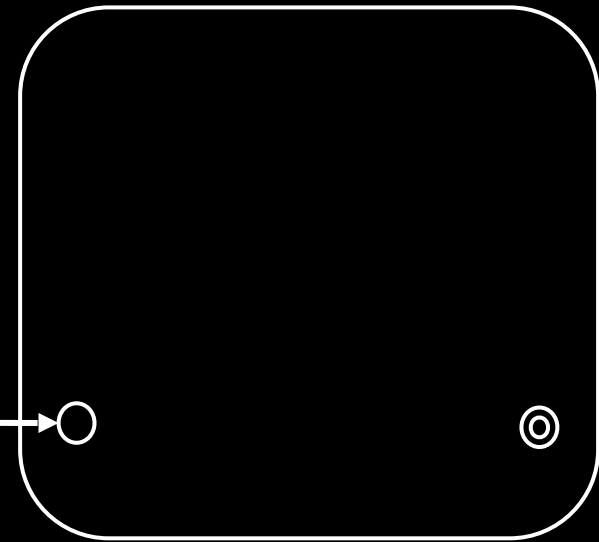
*Induction step* ( $k > 2$ ): Assume Lemma true for  $k - 1$  states and prove for  $k$  states

IDEA: Convert  $k$ -state GNFA to equivalent  $(k - 1)$ -state GNFA



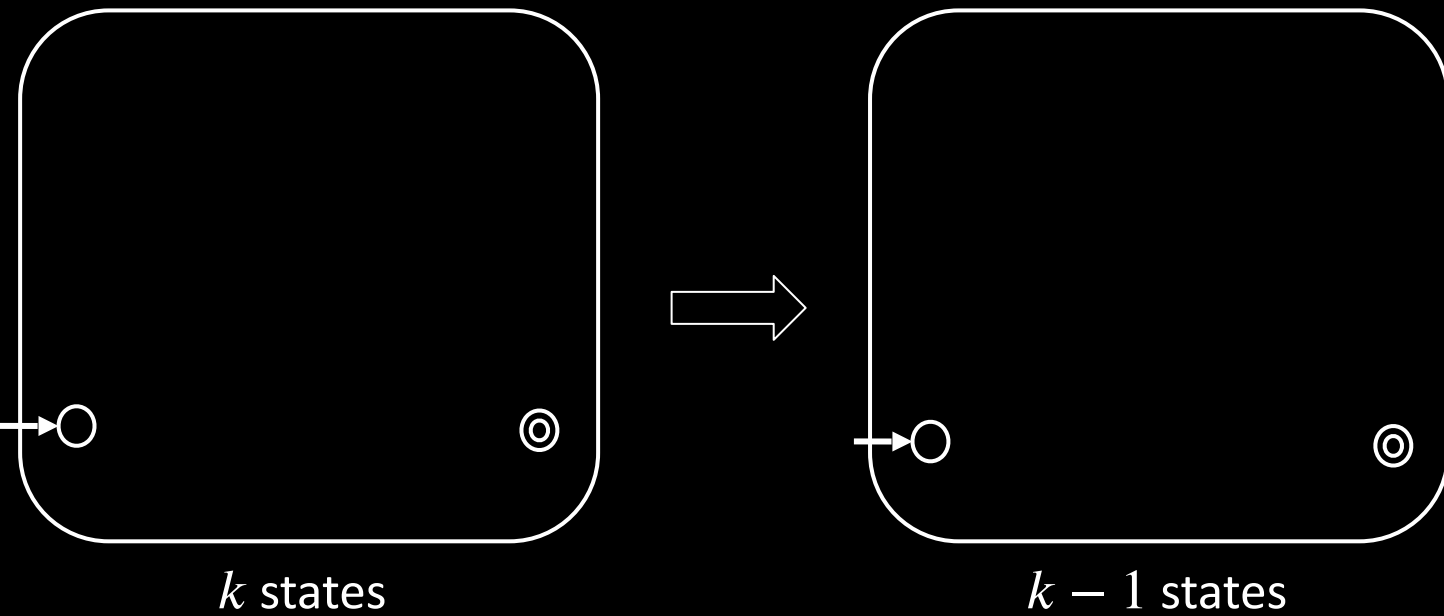
$k$ -state GNFA  $\rightarrow (k-1)$ -state GNFA

$k$ -state GNFA  $\rightarrow$   $(k-1)$ -state GNFA

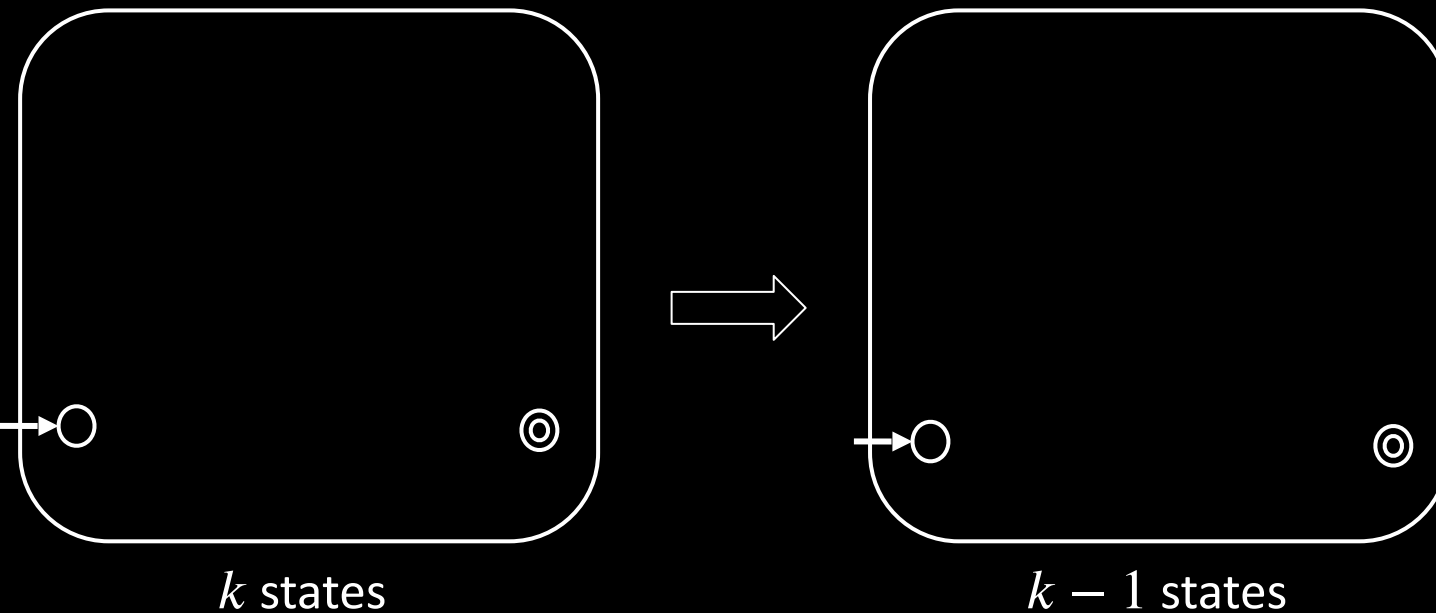


$k$  states

$k$ -state GNFA  $\rightarrow$   $(k-1)$ -state GNFA

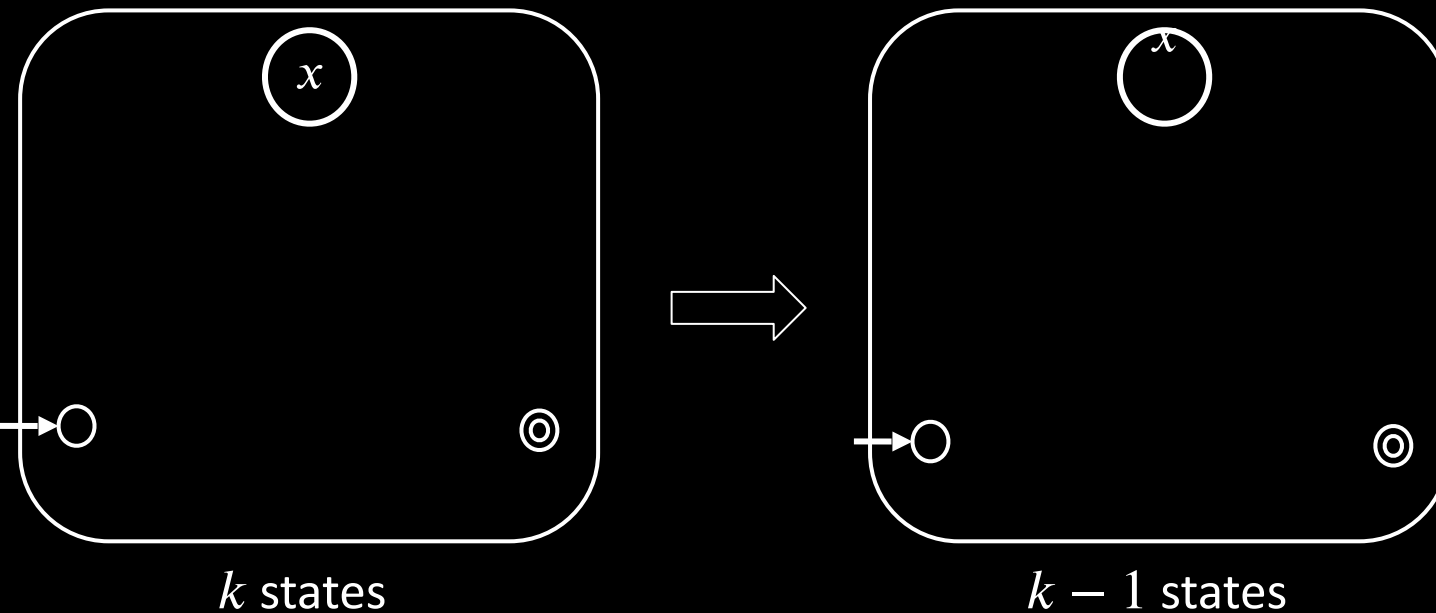


$k$ -state GNFA  $\rightarrow$   $(k-1)$ -state GNFA



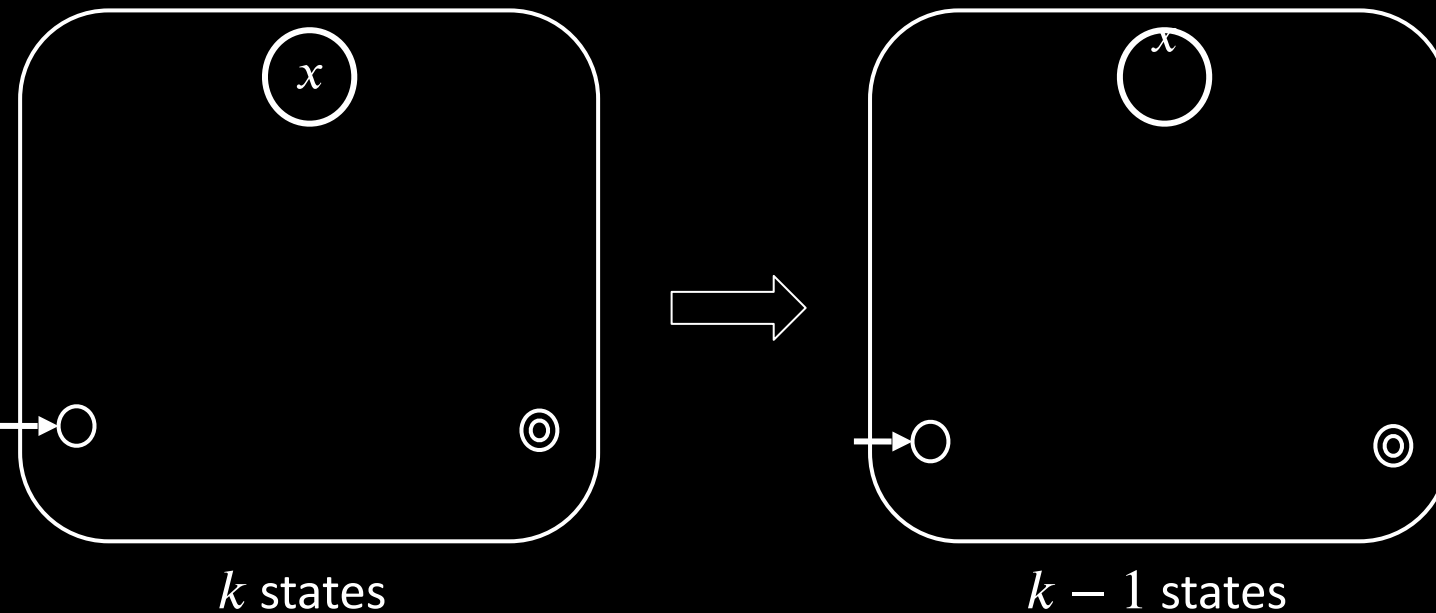
1. Pick any state  $x$  except the start and accept states.

$k$ -state GNFA  $\rightarrow (k-1)$ -state GNFA



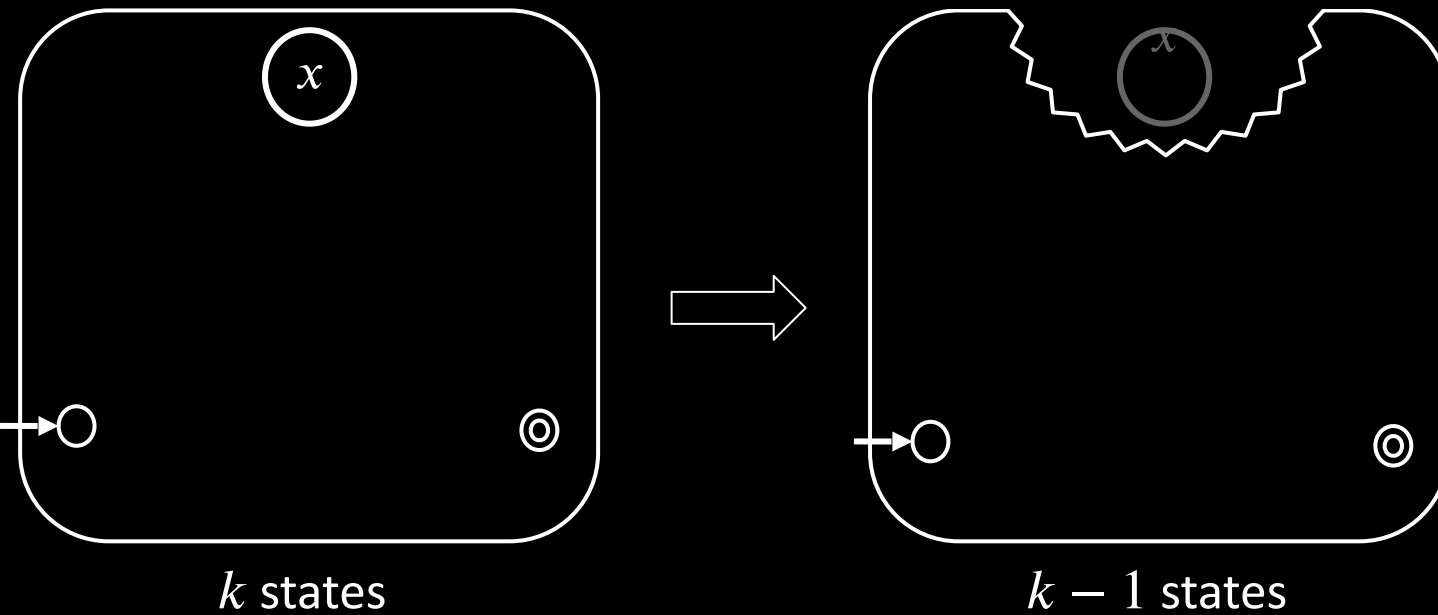
1. Pick any state  $x$  except the start and accept states.

$k$ -state GNFA  $\rightarrow$   $(k-1)$ -state GNFA



1. Pick any state  $x$  except the start and accept states.
2. Remove  $x$ .

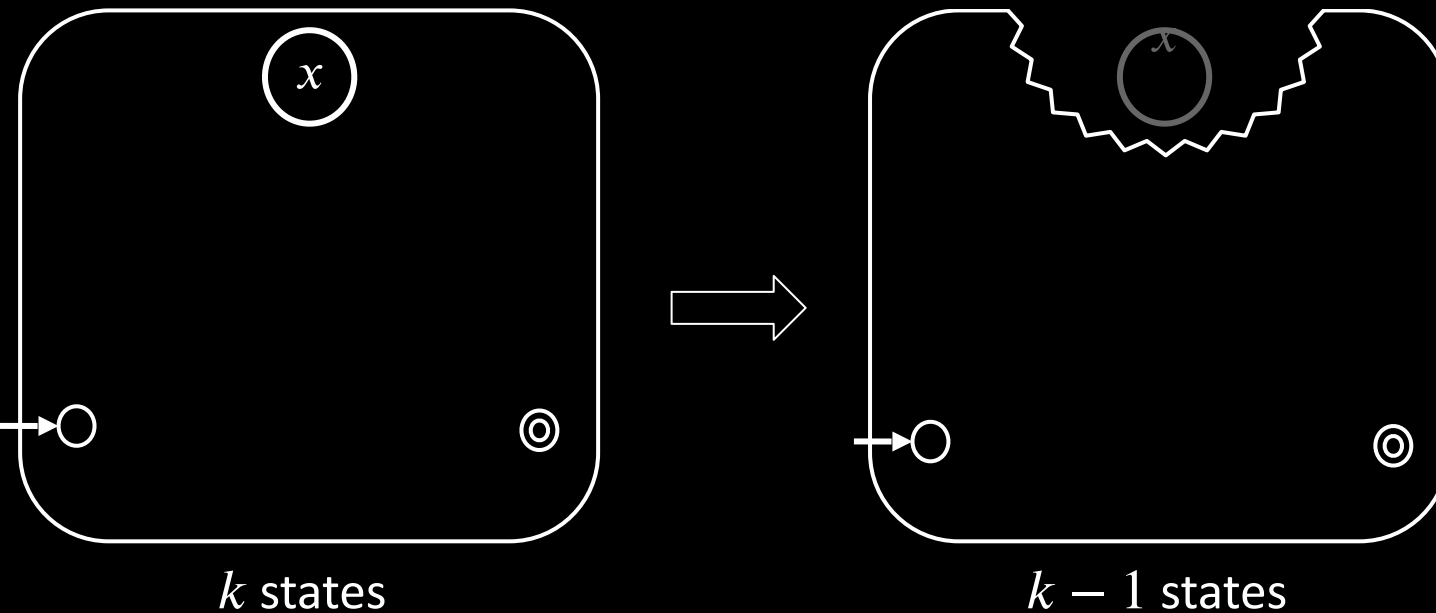
# $k$ -state GNFA $\rightarrow (k-1)$ -state GNFA



1. Pick any state  $x$  except the start and accept states.
2. Remove  $x$ .

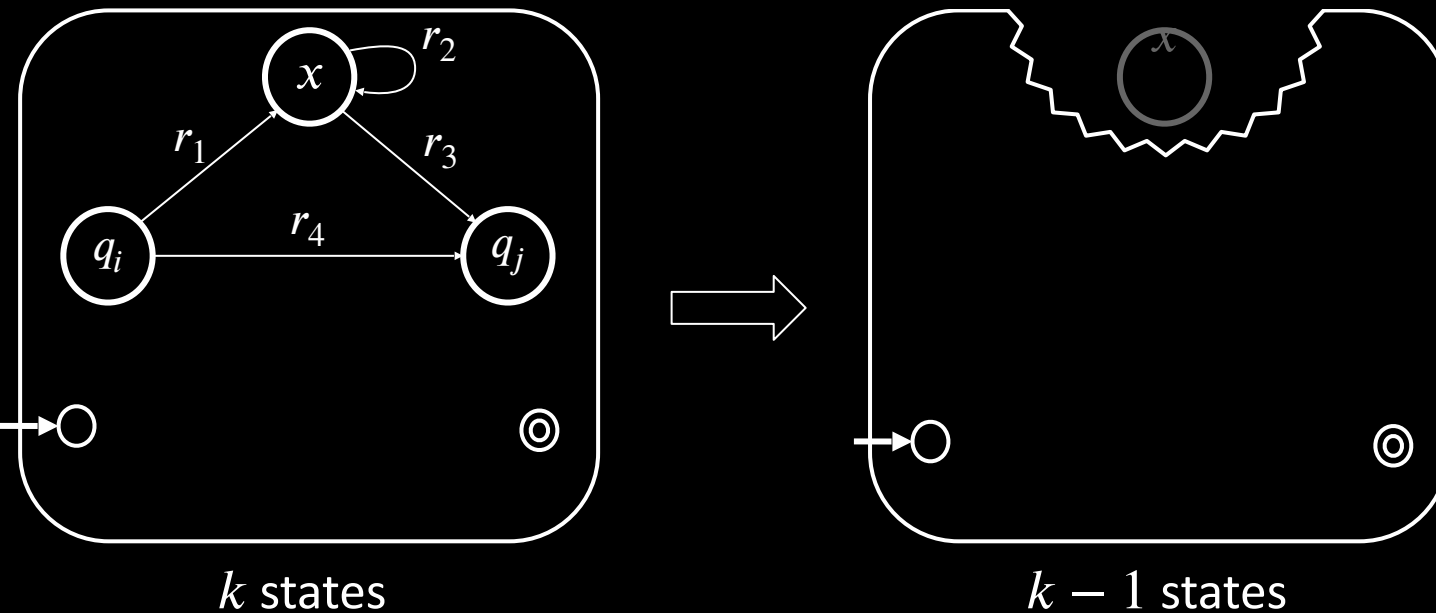


# $k$ -state GNFA $\rightarrow (k-1)$ -state GNFA



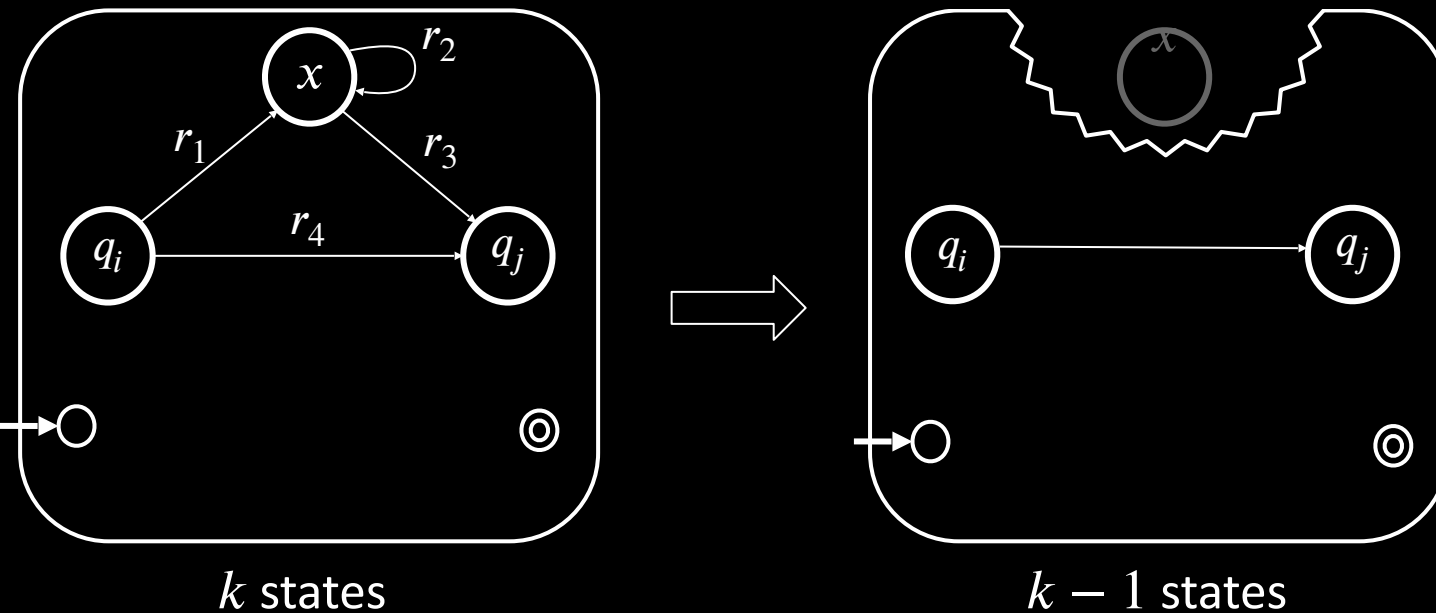
1. Pick any state  $x$  except the start and accept states.
2. Remove  $x$ .
3. Repair the damage by recovering all paths that went through  $x$ .

# $k$ -state GNFA $\rightarrow (k-1)$ -state GNFA



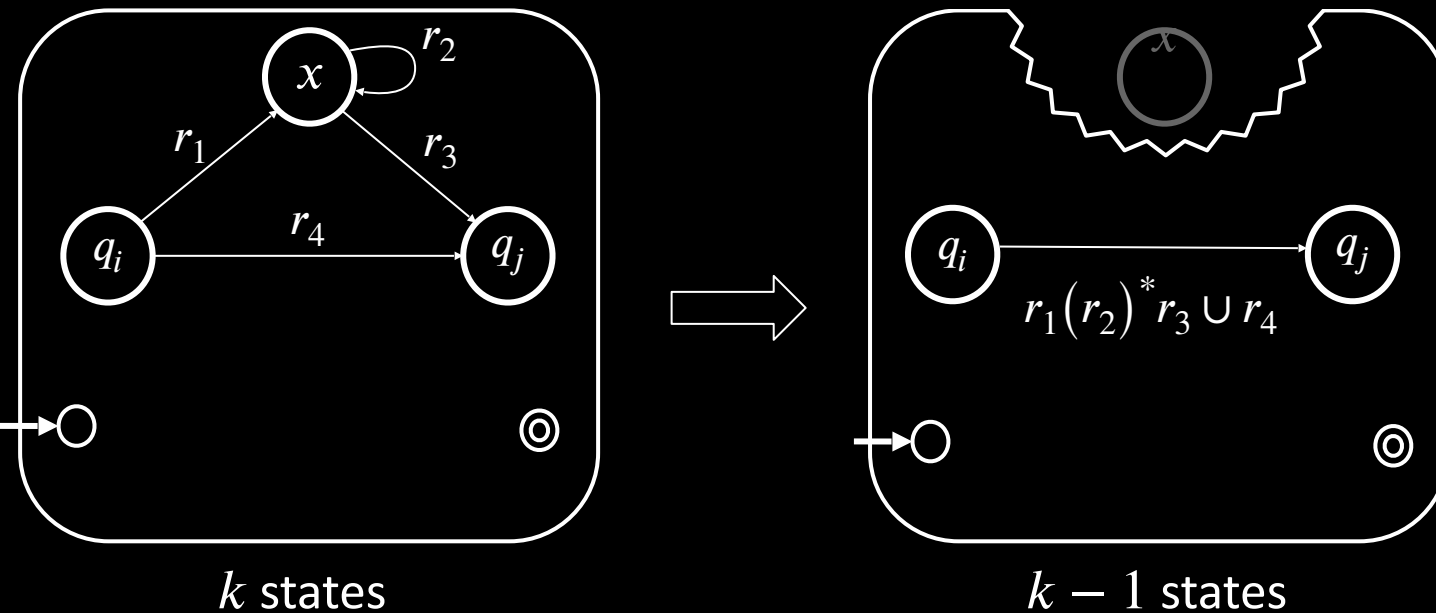
1. Pick any state  $x$  except the start and accept states.
2. Remove  $x$ .
3. Repair the damage by recovering all paths that went through  $x$ .

# $k$ -state GNFA $\rightarrow (k-1)$ -state GNFA



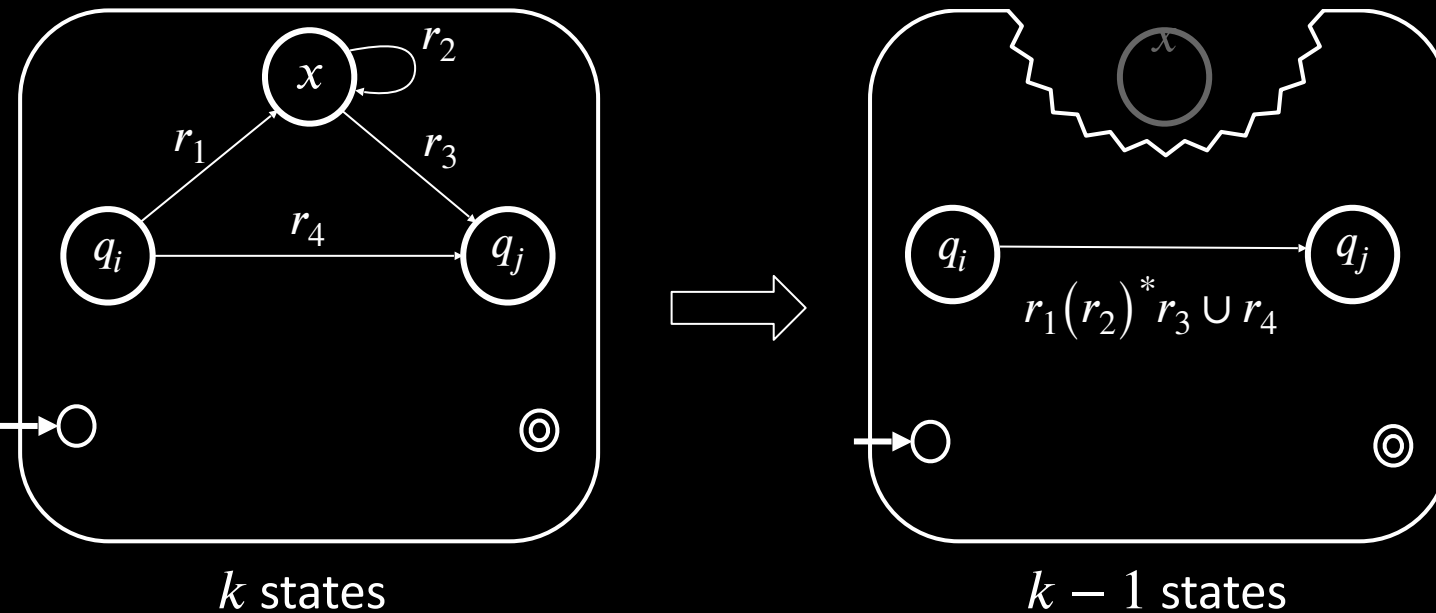
1. Pick any state  $x$  except the start and accept states.
2. Remove  $x$ .
3. Repair the damage by recovering all paths that went through  $x$ .

# $k$ -state GNFA $\rightarrow (k-1)$ -state GNFA



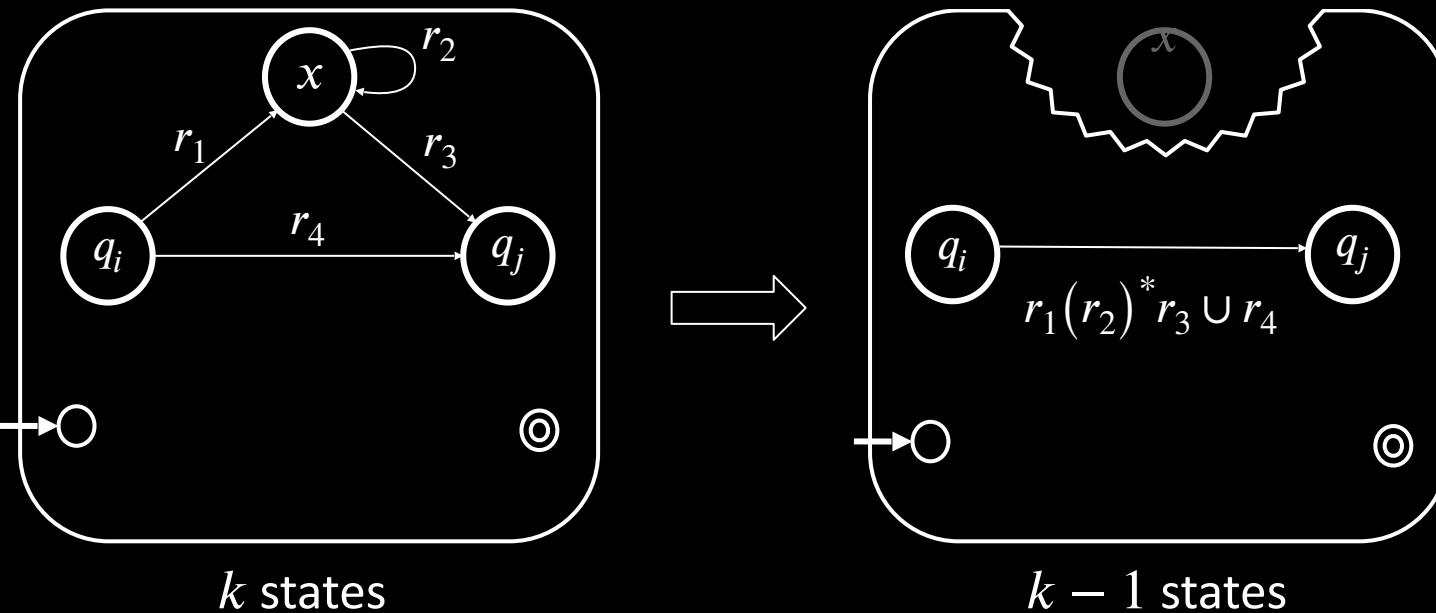
1. Pick any state  $x$  except the start and accept states.
2. Remove  $x$ .
3. Repair the damage by recovering all paths that went through  $x$ .

# $k$ -state GNFA $\rightarrow (k-1)$ -state GNFA



1. Pick any state  $x$  except the start and accept states.
2. Remove  $x$ .
3. Repair the damage by recovering all paths that went through  $x$ .
4. Make the indicated change for each pair of states  $q_i, q_j$ .

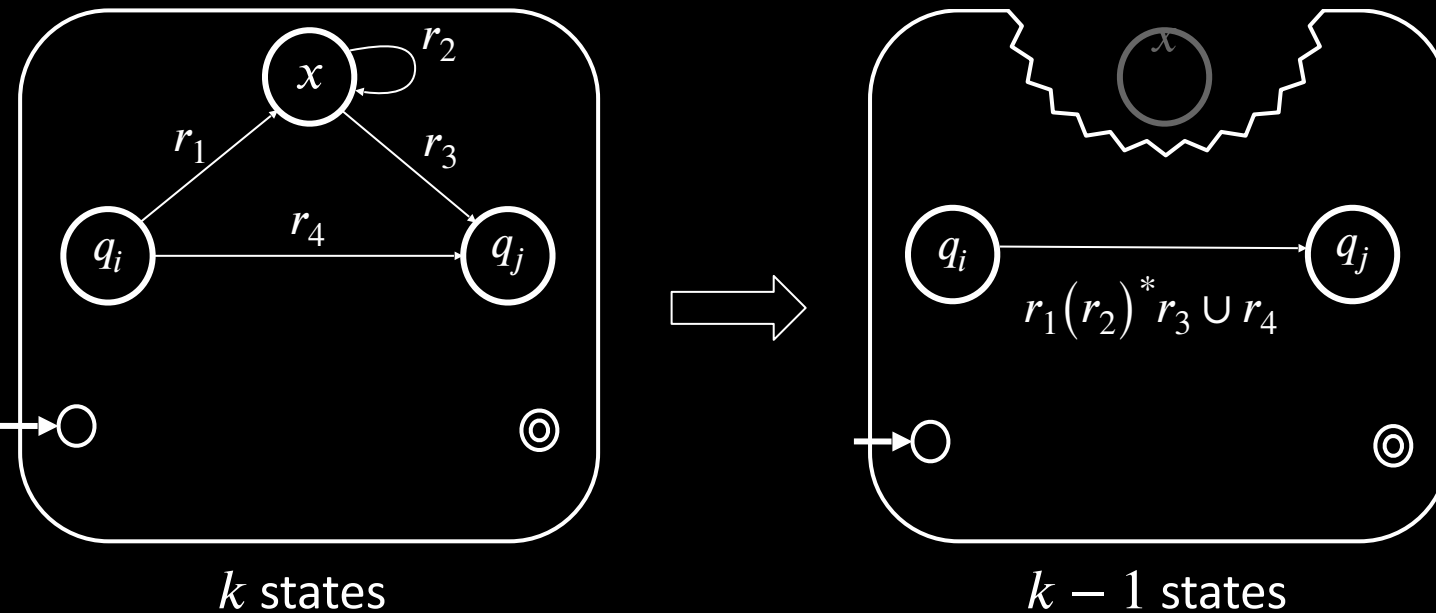
# $k$ -state GNFA $\rightarrow (k-1)$ -state GNFA



Thus DFAs and regular expressions are equivalent.

1. Pick any state  $x$  except the start and accept states.
2. Remove  $x$ .
3. Repair the damage by recovering all paths that went through  $x$ .
4. Make the indicated change for each pair of states  $q_i, q_j$ .

# $k$ -state GNFA $\rightarrow (k-1)$ -state GNFA



Thus DFAs and regular expressions are equivalent.

1. Pick any state  $x$  except the start and accept states.
2. Remove  $x$ .
3. Repair the damage by recovering all paths that went through  $x$ .
4. Make the indicated change for each pair of states  $q_i, q_j$ .

# $k$ -state GNFA $\rightarrow (k-1)$ -state GNFA

## Check-in 3.1

We just showed how to convert GNFAs to regular expressions but our goal was to show that how to convert DFAs to regular expressions. How do we finish our goal?

- (a) Show how to convert DFAs to GNFA
- (b) Show how to convert GNFA to DFA
- (c) We are already done. DFAs are a type of GNFA.

Thus DFAs and regular expressions are equivalent.

1. Pick any state  $x$  except the start and accept states.
2. Remove  $x$ .
3. Repair the damage by recovering all paths that went through  $x$ .
4. Make the indicated change for each pair of states  $q_i, q_j$ .