

¹ Are peer reviewers influenced by their work being cited?

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⁷ **Abstract**

⁸ Peer reviewers sometimes comment that their own journal articles should be cited by the journal
⁹ article under review. Comments concerning relevant articles can be justified, but comments can
¹⁰ also be unrelated coercive citations. Here, we used a matched study design to explore how
¹¹ citations influence the peer review process. We used a sample of more than 37,000 peer reviews
¹² from four journals that use open peer review and make all article versions available. We find that
¹³ reviewers who were cited in the article under review were more likely to recommend approval,
¹⁴ but only after the first version (odds ratio = 1.61; adjusted 99.4% CI: 1.16 to 2.23). For all
¹⁵ versions of the articles, the reviewers who commented that their own articles should be cited were
¹⁶ less likely to recommend approval compared to the reviewers who did not, with the strongest
¹⁷ association after the first version (odds ratio = 0.15; adjusted 99.4% CI: 0.08 to 0.30). Reviewers
¹⁸ who included a citation to their own articles were much more likely to approve a revised article
¹⁹ that cited their articles compared to a revised article that did not (odds ratio = 3.5; 95% CI: 2.0
²⁰ to 6.1). Some reviewers' recommendations depend on whether they are cited or want to be cited.
²¹ Reviewer citation requests can turn peer review into a transaction rather than an objective
²² critique of the article.

²³ **Introduction**

²⁴ In 2024, a published peer reviewed article included this remarkable sentence: “As strongly
²⁵ requested by the reviewers, here we cite some references [35–47] although they are completely
²⁶ irrelevant to the present work” [1]. This was a rare public example of coerced citations, where a
²⁷ reviewer exploits the peer review process to increase their citation counts and hence further their
²⁸ own career [2–4]. Reviewers should be relevant experts, so some suggestions to cite their articles
²⁹ will be appropriate. However, excessive citation requests or requests to cite unrelated articles are
³⁰ unethical [5–9]. Coerced citations can also come from editors trying to boost their journal’s
³¹ ranking [10–12].

³² Coerced citations are reported as a common problem in peer review. In author surveys,
³³ two-thirds reported pressure from peer reviewers to cite unrelated articles [13] and 23% had
³⁴ experienced a reviewer that “required them to include unnecessary references to their
³⁵ publication(s)” [14]. Publishers have investigated whether “hundreds of researchers” have
³⁶ manipulated the peer review process to increase their own citations [15]. Some reviewers may be
³⁷ exploiting their power over authors who “have a strong incentive to [...] accept all ‘suggestions’
³⁸ by the referees even if one knows that they are misleading or even incorrect” [16].

³⁹ As reviewers are often in the same field as the article’s authors, they may already be cited in the
⁴⁰ article without the need for coerced citations. Reviewers who are cited may give a more
⁴¹ favourable peer review and be more willing to overlook flaws [17, 18]. Some authors may try to
⁴² exploit this using “referee baiting” [3] or “flattery citations” [19] by favourably citing a reviewer’s
⁴³ work.

⁴⁴ The interactions during peer review between authors and reviewers can determine whether an

45 article is accepted [20] and what results are included in the published version [21]. Given the
46 importance of peer review for science, studies that examine how peer review works in practice are
47 needed [22–26]. Here, we examine interactions between peer reviewers and authors using four
48 journals that publish all article versions and all peer reviews. We had two research questions:

- 49 1. Do peer reviewers give a more or less favourable recommendation when they are cited in
50 the article?
51 2. Do peer reviewers give a more or less favourable recommendation when their review
52 includes a citation to their own articles?

53 Methods

54 Journal selection

55 We studied journals from the publisher *F1000* as their journals use open peer review with signed
56 reviewers. *F1000* journals use a publish–review–curate model [27], meaning all versions of the
57 article are publicly available, including versions updated after peer review. This allowed us to
58 examine the interactions between authors and reviewers throughout the peer review process. We
59 selected four *F1000* journals that each had over 100 articles. Some characteristics of the four
60 journals are given in Table 1. Three journals were created to support funders.

Table 1: Brief information about the four included journals from the publisher *F1000*.

Journal title	Year started	Field(s) of research	Articles must concern research funded by
<i>F1000Research</i>	2012	All disciplines	<i>No restriction</i>
Wellcome Open Research	2016	Medicine, Genomics	Wellcome
Gates Open Research	2017	Medicine	The Gates Foundation
Open Research Europe	2021	All disciplines	European Commission

61 The peer review process used by *F1000* journals differs from most standard journals. The
62 journals do not use academic editors, but do have in-house editors who manage articles but do
63 not make editorial decisions. This means that most interactions during peer review are between
64 authors and reviewers directly. In-house editors perform checks prior to the first version of the
65 article being published and at *F1000Research* this results in 40 to 50% submissions being rejected
66 (personal communication, *F1000* staff). Up to mid-2024, authors were asked to identify potential
67 reviewers who were qualified experts with no competing interests [28]. Since mid-2024, reviewer
68 identification is made in-house, although authors can suggest reviewers.

69 Reviewers are asked to recommend one of three categories: Approved, Approved with
70 reservations, and Not approved. For brevity, we refer to ‘Approved with Reservations’ as
71 ‘Reservations’. An article is indexed once it receives two ‘Approved’ or two ‘Reservations’ and
72 one ‘Approved’. The guidelines for recommending Approved are: “the aims and research methods
73 are adequate; results are presented accurately, and the conclusions are justified and supported by
74 the presented data” [29]. Peer reviewers are asked to assess the validity of an article’s content,
75 rather than novelty or interest levels, an approach designed to combat publication bias [30].

76 All four journals have a peer reviewer code of conduct and state that reviewers should familiarise
77 themselves with the ethical guidelines for peer reviewers by the *Committee On Publication Ethics*
78 [31]. The journals’ guidelines for reviewers include the following: “reviewers should explicitly
79 state their reasoning when asking authors to cite their own work”.

80 Data extraction

81 We extracted data on authors and articles from the *OpenAlex* database
82 (<https://openalex.org/>) and directly from the four journals. *OpenAlex* combines scholarly
83 data from multiple sources, including *ORCID* – a unique identifier for researchers, *Microsoft*
84 *Academic*, *Crossref* and *PubMed*. A recent study compared *OpenAlex* with the two commonly
85 used proprietary bibliometric databases of *Web of Science* and *Scopus* for the years 2015 to 2022
86 [32]. The results were mixed, but *OpenAlex* had better *ORCID* coverage and covered more

- 87 Digital Object Identifiers (DOIs) – the unique identifier for publications. We accessed *OpenAlex*
88 using the *openalexR* package [33, 34]. We used each journal’s application programming interface
89 (API) to extract data on the articles and peer reviews. The data were extracted in four stages:
- 90 1. Searches were made using the APIs of the four journals to find all articles published
91 between 1 Jan 2012 and 28 May 2025, with the start date to capture all potential articles.
- 92 2. For each article, the following data were downloaded in XML format:
- 93 • The article’s publication date and version number
- 94 • The reviewers’ names and *ORCIDs* (if available)
- 95 • The text of all reviews and the reviewers’ recommendations
- 96 • The DOIs and PMIDs (*PubMed* IDs) from the article’s reference list
- 97 • The DOIs and PMIDs of any articles cited by the reviewers. The online peer review
98 system at *F1000* journals includes the DOI of any article cited in the review, which
99 facilitates the identification of citations to the reviewers’ articles.
- 100 3. Articles were excluded if:
- 101 • They were not peer reviewed or had yet to receive any reviews
- 102 • The reference list was empty
- 103 4. The reviewers’ publication histories were collected from *OpenAlex* using their name,
104 institution and *ORCID* (if available). Reviews were excluded if there was no record for the
105 reviewer in *OpenAlex*, or if the reviewer had no published articles as there was no potential
106 for them to be cited or request a citation to their own articles.

107 Study design

- 108 We used two predictor variables about the reviewer:
- 109 • The number of times they were cited in the article (0, 1, 2, ...).
- 110 • The number of times they included citations to their own articles in their review (0, 1, 2, ...).
- 111 We fitted both predictors as linear, but reviewers may behave differently with any citation rather
112 than a linear change, and hence we also fitted both predictors as a binary “none versus any” (0
113 versus 1, 2, ...). We compared the linear and binary alternatives using the Akaike Information
114 Criterion (AIC) to find the parameterisation that best fitted the data [35].
- 115 We matched on article and version to control for confounding by any characteristics of the article
116 [36]; for example, the article’s topic or writing style. Hence, we compared two or more
117 independent reviewers who considered the same article.
- 118 All analyses were stratified by article version, using the first version only or the second and
119 subsequent versions. This is because the reviewers are unknown to the authors for the first
120 version, but from the second version onwards, the authors will know the reviewers as the journals
121 use signed peer reviews. This knowledge could alter the behaviour of authors and reviewers.

122 The study design is summarised in Figure 1

123 Statistical methods

- 124 We used conditional logistic regression to examine the associations between the citations to the
125 reviewer and their ordinal recommendation (Approved → Reservations → Not approved) while
126 matching the article and the version [37]. Conditional logistic regression requires a binary
127 dependent variable; hence, we fitted two related models that examined the odds of:
- 128 1. “Approved” compared with “Reservations” or “Not approved”.
- 129 2. “Approved” or “Reservations” compared with “Not approved”,

130 These two models tested the same hypothesis, hence we adjusted for multiple testing. We also
131 used repeated testing due to the stratification by article version and the two formulations of the
132 predictors (linear or none versus any). Since we used 8 ($2 \times 2 \times 2$) tests, we displayed all the
133 results using 99.4% confidence intervals instead of 95.0% intervals, which is a 5% type I error
134 divided by eight tests.

135 In an unplanned analysis, we examined the association between the reviewer's recommendation
136 and whether they included citations to work other than citations to their own articles. This was
137 added to examine differences between reviewers' citations to their own articles and other articles.

138 Outliers were not excluded. No data were missing in the analysis data set.

139 The sample size calculation is in Supplement S.1.

140 Text analysis

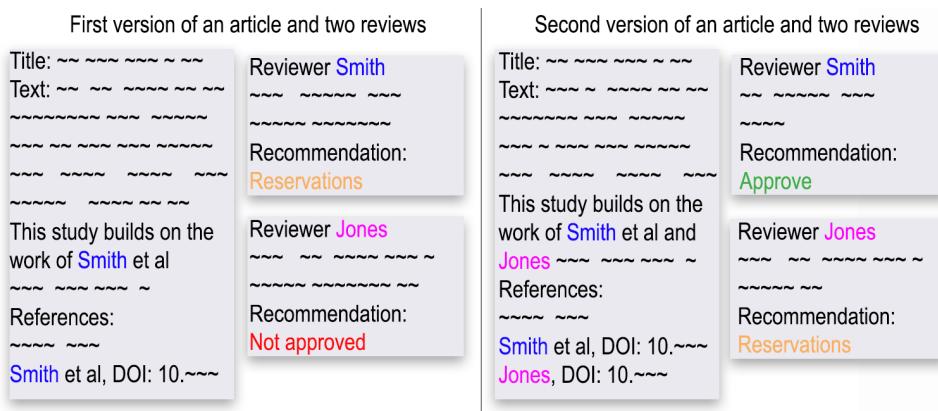
141 We examined how reviewers' citations to their own articles or other articles were justified and
142 whether their wording differed according to their recommendation. For an initial view of
143 citations to their own articles, we randomly selected 20 reviews and extracted the most relevant
144 sentence concerning the citation.

145 To analyse the review text, we first extracted the 100 most commonly used words in all reviews.
146 To standardise the text, all words were transformed into tokens, with stop-words removed and
147 then stemmed. We then tested which of the 100 words were associated with recommending
148 Approved versus Reservations or Not approved amongst those reviewers who included a citation
149 to their own articles and those who included a citation to other articles. We chose the set of
150 words using an elastic net with 10-fold cross-validation and selected a parsimonious model by
151 using the lambda within one standard error of the minimum cross-validated error [38, 39]. To get
152 uncertainty intervals for the estimates, we fitted a Bayesian model with the set of words selected
153 by the elastic net and using a sceptical Normal prior centred on zero to create shrinkage.

154 Reproducibility

155 Research question 1 was pre-registered using *As Predicted* on 20 May 2024 [40]. Research
156 question 2 was formulated during data collection but before any data analysis and used the same
157 study design and statistical methods as question 1.

Figure 1: Graphical summary of the study design for research question 1 showing a dummy article and two reviews. In the first version of the article, the reviewer Smith (blue) is cited whilst Jones (purple) is not. For the second version of the article, the authors are now aware that Jones is a reviewer and Jones has been cited. The reviewers' recommendations are the outcome and are colour-coded as Not approved (red), Reservations (orange) and Approved (green). We tested whether citations to the reviewer in the article influenced their recommendation. The matched design means that only reviewers of the same article are compared (here, Smith and Jones) and the overall effect is estimated by aggregating over multiple matched comparisons. Research question 2 used the same design but examined citations to the reviewers' articles in their reviews.



158 All data extraction and analyses were conducted using *R* version 4.4.1 [41]. The data and *R* code
159 are available on *Github* [42].

160 Results

161 A flow chart of the included reviews is shown in Supplement S.2. The final sample size was over
162 37,000 reviews. There were more than 3,500 articles that were not included because they had not
163 yet been peer reviewed, especially recent articles. More than 2,000 reviewers did not have a
164 record in *OpenAlex* and so could not be included. These missing reviewers were more likely to be
165 from older articles and more likely to be co-reviewers.

166 Descriptive statistics on the included reviews are in Table 2. The reviewers were cited at least
167 once in 13% of the articles and 6% of the reviews included a self-citation. Most reviews
168 recommended “Approved” (54%), with only 8% recommending “Not approved” which is low
169 compared with many journals; however, 40 to 50% of submissions are rejected before articles are
170 sent for peer review (personal communication, *F1000* staff). The reviewers were relatively
171 experienced, with a median number of papers of 55.

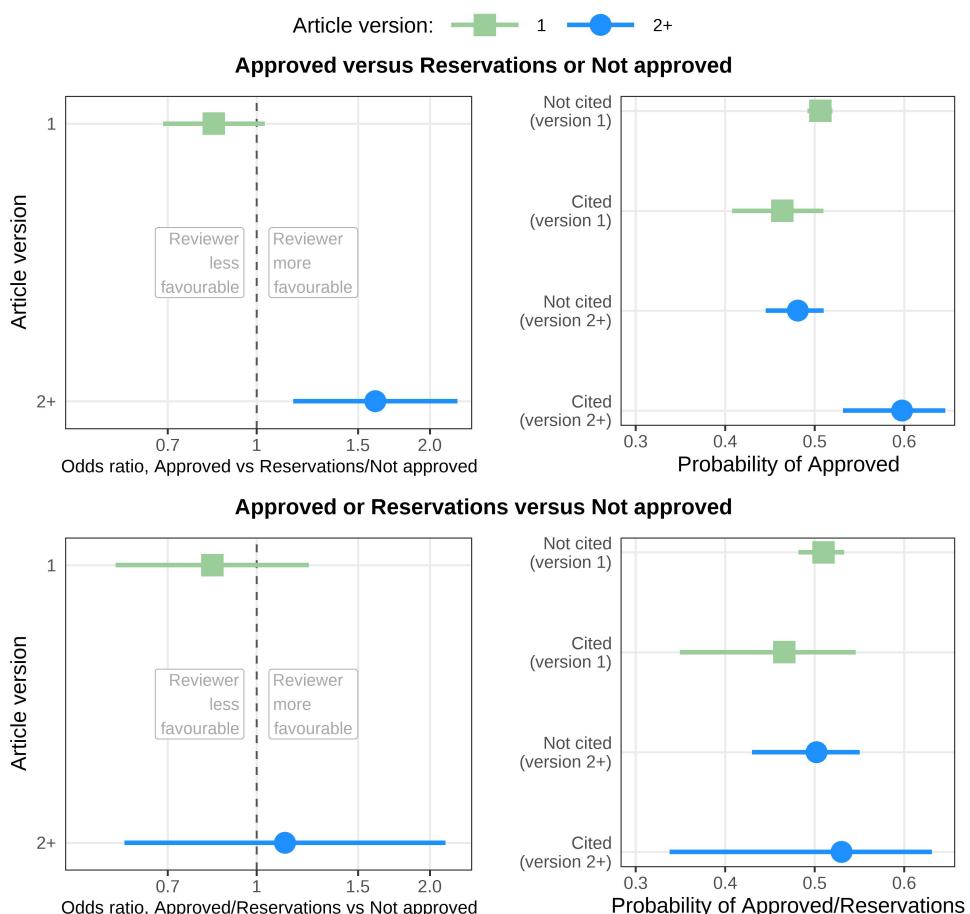
Table 2: Descriptive statistics for the articles and peer reviews. $Q1 = \text{first quartile}$, $Q3 = \text{third quartile}$.

Variable	Level / Statistics	Result
Number of reviews	n	37,332
Year	Median [Q1, Q3]	2022 [2019, 2024]
Journal, n (%)	F1000Research Wellcome Open Research Open Research Europe Gates Open Research	24,132 (65) 8697 (23) 2789 (7) 1714 (5)
Role, n (%)	Reviewer Co-reviewer	34,904 (93) 2428 (7)
Reviewer’s recommendation, n (%)	Approved Reservations Not approved	19,984 (54) 14,379 (38) 2969 (8)
Article version, n (%)	1 2 3+	26,474 (71) 8995 (24) 1863 (5)
Number of papers cited in article	Median [Q1, Q3]	24 [14, 38]
Any citations to reviewer, n (%)	No Yes	32,375 (87) 4957 (13)
Any papers cited by reviewer, n (%)	No Yes	31,546 (84) 5786 (16)
Any citations to the reviewer’s articles	No Yes	35,023 (94) 2309 (6)
Reviewer’s publication count	Median [Q1, Q3]	55 [24, 118]
Reviewer’s country (top five only)	USA United Kingdom India Italy Australia	7655 (21%) 4137 (11%) 2472 (7%) 1368 (4%) 1349 (4%)
Number of words in the review	Median [Q1, Q3]	202 [67, 411]

172 The binary predictor for citations of “any versus none” had a generally better fit to the data
173 compared to the linear predictor (Supplement S.3). This indicates that for most reviewers
174 receiving any citation is important, and there is no linear increase for two or more citations. The
175 following results are for the binary predictor “any versus none”, with the results using a linear
176 predictor in Supplement S.4.

177 Reviewers who were cited were more likely to approve the article, but only after version 1 (Fig 2
178 and Table 3). If a reviewer was cited in any versions after version 1, the odds ratio for

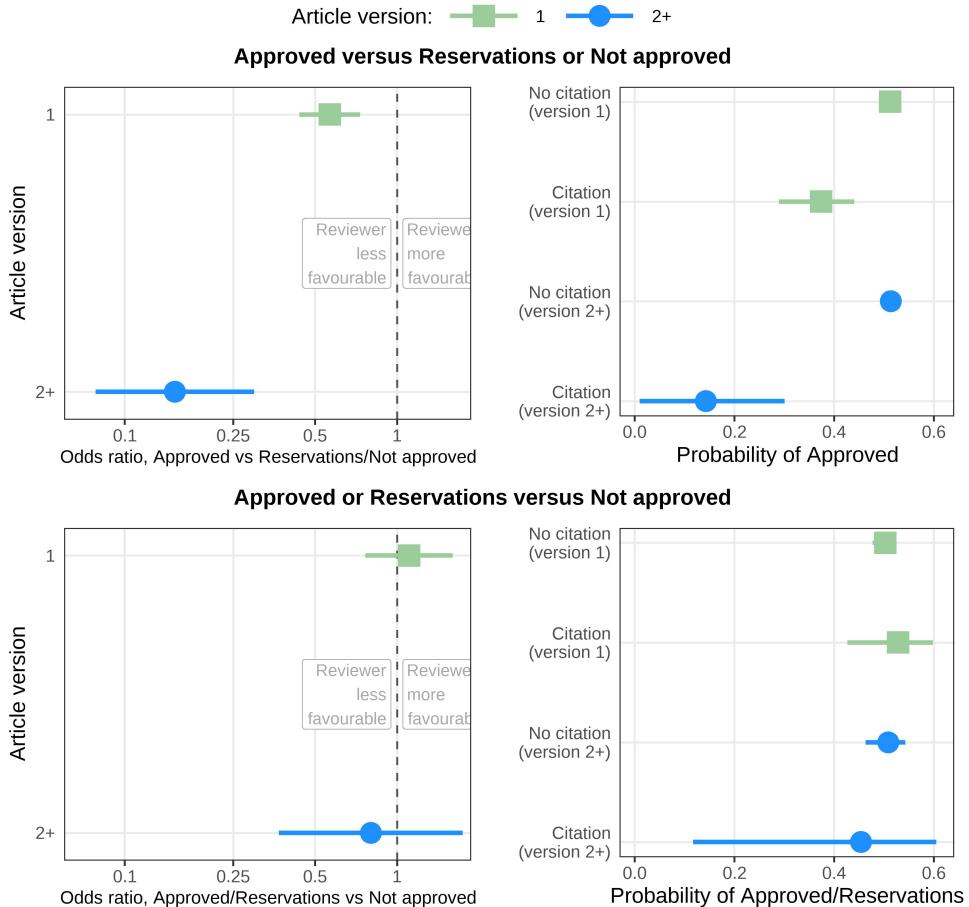
Figure 2: Odds ratios and probabilities for reviewers giving a more or less favourable recommendation depending on whether they were cited in the article. Top left: Odds ratios for reviewers giving a more favourable (Approved) or less favourable (Reservations or Not approved) recommendation depending on whether they were cited in the article. Reviewers cited in later versions (blue) were more likely to make a favourable recommendation (odds ratio = 1.61; adjusted 99.4% CI: 1.16 to 2.23), whereas being cited in the first version (green) did not improve their recommendation (odds ratio = 0.84; adjusted 99.4% CI: 0.69 to 1.03). Top right: Same results as top left displayed as conditional probabilities. From the top, the lines show the within-strata probability of a reviewer approving: a version 1 article in which they are not cited (0.51; adjusted 99.4% CI: 0.49 to 0.52); a version 1 article in which they are cited (0.46; adjusted 99.4% CI: 0.41 to 0.51); a version 2 (or higher) article in which they are not cited (0.48; adjusted 99.4% CI: 0.45 to 0.51); and a version 2 (or higher) article in which they are cited (0.60; adjusted 99.4% CI: 0.53 to 0.65). Bottom left: Same estimates as top left except that a more favourable recommendation is now Approved or Reservations and a less favourable is Not approved. There was no clear association for cited reviewers in version 1 (odds ratio = 0.84; adjusted 99.4% CI: 0.57 to 1.23) or later versions (odds ratio = 1.12; adjusted 99.4% CI: 0.59 to 2.13). Bottom right: Same results as bottom left displayed as conditional probabilities. From the top, the lines show the within-strata probability of a reviewer approving: a version 1 article in which they are not cited (0.51; adjusted 99.4% CI: 0.48 to 0.53); a version 1 article in which they are cited (0.47; adjusted 99.4% CI: 0.35 to 0.55); a version 2 (or higher) article in which they are not cited (0.50; adjusted 99.4% CI: 0.43 to 0.55); and a version 2 (or higher) article in which they are cited (0.53; adjusted 99.4% CI: 0.34 to 0.63). This figure is based on an analysis of 12,051 articles and 24,677 reviews for version 1 and 6090 articles and 10,196 reviews for version 2+. In all panels a dot or square represents a mean, and a horizontal line represents an adjusted 99.4% confidence interval.



179 recommending Approved versus Reservations or Not approved was 1.61 (adjusted 99.4% CI 1.16
 180 to 2.23).

181 Reviewers who included a citation to their own articles were much less likely to approve the
 182 article for all versions (Fig 3 and Table 3). The odds ratio for recommending Approved versus
 183 Reservations or Not approved was 0.57 (99.4% CI 0.44 to 0.73) for version 1 and strengthened to

Figure 3: Odds ratios and probabilities for reviewers giving a more or less favourable recommendation if they included a citation to their own articles in their review. Top left: Odds ratios for reviewers giving a more favourable (Approved) or less favourable (Reservations or Not approved) recommendation depending on whether their review included a citation to their own articles. Reviewers including a citation to their own articles were less likely to make a favourable recommendation for version 1 (green; odds ratio = 0.57; adjusted 99.4% CI: 0.44 to 0.73) and later versions (blue; odds ratio = 0.15; adjusted 99.4% CI: 0.07 to 0.30). Top right: Same results as top left displayed as conditional probabilities. From the top, the lines show the within-strata probability of a reviewer approving: a version 1 article in which their review did not include a citation (0.51; adjusted 99.4% CI: 0.50 to 0.53); a version 1 article in which their review included a citation (0.37; adjusted 99.4% CI: 0.29 to 0.44); a version 2 (or higher) article in which their review did not include a citation (0.51; adjusted 99.4% CI: 0.49 to 0.53); and a version 2 (or higher) article in which their review included a citation (0.14; adjusted 99.4% CI: 0.01 to 0.30). Bottom left: Same estimates as top left except that a more favourable recommendation is now Approved or Reservations and a less favourable is Not approved. There was no clear association for reviewers who included a citation to their own articles in version 1 (odds ratio = 1.11; adjusted 99.4% CI: 0.77 to 1.60) or later versions (odds ratio = 0.80; adjusted 99.4% CI: 0.37 to 1.74). Bottom right: Same results as bottom left displayed as conditional probabilities. From the top, the lines show the within-strata probability of a reviewer approving: a version 1 article in which their review did not include a citation (0.50; adjusted 99.4% CI: 0.48 to 0.52); a version 1 article in which their review included a citation (0.53; adjusted 99.4% CI: 0.43 to 0.60); a version 2 (or higher) article in which their review did not include a citation (0.51; adjusted 99.4% CI: 0.46 to 0.54); and a version 2 (or higher) article in which their review included a citation (0.45; adjusted 99.4% CI: 0.12 to 0.61). This figure is based on an analysis of 12,078 articles and 24,732 reviews for version 1 and 6101 articles and 10,213 reviews for version 2+. In all panels a dot or square represents a mean, and a horizontal line represents an adjusted 99.4% confidence interval.



- 184 0.15 (99.4% CI 0.08 to 0.30) for versions 2+. The less favourable recommendation was only for
 185 the approval of the article and the odds ratios for Approved or Reservations versus Not approved
 186 were much closer to 1.
 187 In an unplanned analysis, we examined the behaviour of reviewers in the first two versions of the

Table 3: Odds ratios for reviewers giving a more ($OR > 1$) or less ($OR < 1$) favourable recommendation depending on whether they were cited in the article (question 1) or included citations to their own articles (question 2). All models were split by article version.

Research question	Article version	Outcome	OR (Adjusted 99.4% CI)
1. Reviewer cited by authors	Version = 1	Approved vs Reservations/Not approved	0.84 (0.69, 1.03)
	Version = 1	Approved/Reservations vs Not approved	0.84 (0.57, 1.23)
	Versions = 2+	Approved vs Reservations/Not approved	1.61 (1.16, 2.23)
	Versions = 2+	Approved/Reservations vs Not approved	1.12 (0.59, 2.13)
2. Reviewer cited their own articles	Version = 1	Approved vs Reservations/Not approved	0.57 (0.44, 0.73)
	Version = 1	Approved/Reservations vs Not approved	1.11 (0.77, 1.60)
	Versions = 2+	Approved vs Reservations/Not approved	0.15 (0.08, 0.30)
	Versions = 2+	Approved/Reservations vs Not approved	0.80 (0.37, 1.74)

article. We examined the 441 reviews where the reviewer was not cited in version 1 of the article and included a citation to their own articles in their first review. The reviewers who were then cited in version 2 recommended approval for 92% compared to 76% for reviewers who were not cited (odds ratio = 3.5, 95% CI: 2.0 to 6.1). This analysis did not use matching.

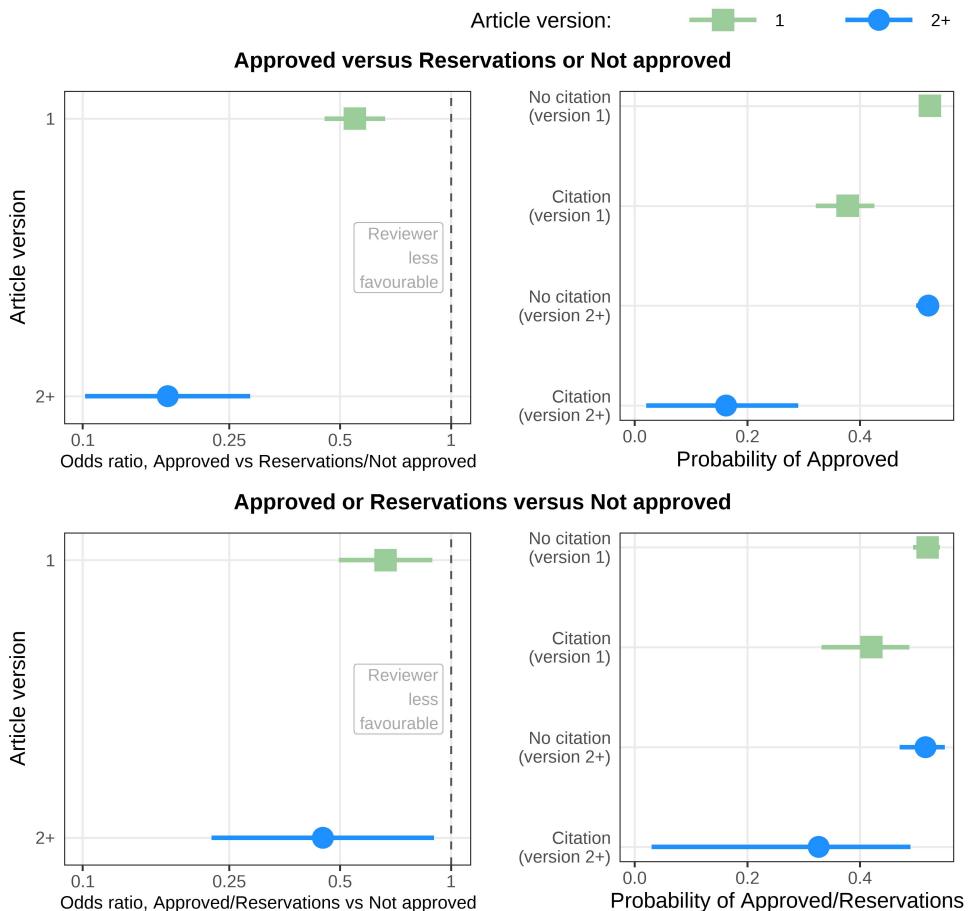
In an unplanned analysis, we examined whether the reviewers' recommendations depended on whether their review included citations to articles other than their own. Reviewers who included citations in their review were much more likely not to approve the article (Figure 4), which was similar to the association with citations to reviewers' own articles (Figure 3). However, reviewers who included citations to articles other than their own were also much more likely to recommend "Not approved", as shown by the lower odds of "Approved" or "Reservations" versus "Not approved". This association was not seen using citations to reviewers' own articles (Figure 3)

Sensitivity analyses

The odds ratios when including co-reviewers with reviewers were similar to the odds ratios when using reviewers only (Supplement S.5).

We found no evidence that the reviewers' publication numbers or country confounded the associations between citations and recommendations (Supplement S.6).

Figure 4: Odds ratios and probabilities for reviewers giving a more or less favourable recommendation depending on if they included citations to articles other than their own in their review. Top left: Odds ratios for reviewers giving a more favourable (Approved) or less favourable (Reservations or Not approved) recommendation depending on whether their review included a citation to articles other than their own. Reviewers including citations to other articles were less likely to make a favourable recommendation for version 1 (green; odds ratio = 0.53; adjusted 99.4% CI: 0.44 to 0.64) and later versions (blue; odds ratio = 0.18; adjusted 99.4% CI: 0.10 to 0.30). Top right: Same results as top left displayed as conditional probabilities. From the top, the lines show the within-strata probability of a reviewer approving: a version 1 article in which their review did not cite other articles (0.53; adjusted 99.4% CI: 0.51 to 0.54); a version 1 article in which their review cited other articles (0.37; adjusted 99.4% CI: 0.31 to 0.42); a version 2 (or higher) article in which their review did not cite other articles (0.52; adjusted 99.4% CI: 0.50 to 0.54); and a version 2 (or higher) article in which their review cited other articles (0.17; adjusted 99.4% CI: 0.02 to 0.30). Bottom left: Same estimates as top left except that a more favourable recommendation is now Approved or Reservations and a less favourable is Not approved. Reviewers including citations to other articles were less likely to make a favourable recommendation for version 1 (odds ratio = 0.62; adjusted 99.4% CI: 0.46 to 0.84) and later versions (odds ratio = 0.34; adjusted 99.4% CI: 0.16 to 0.73). Bottom right: Same results as bottom left displayed as conditional probabilities. From the top, the lines show the within-strata probability of a reviewer approving: a version 1 article in which their review did not cite other articles (0.52; adjusted 99.4% CI: 0.49 to 0.54); a version 1 article in which their review cited other articles (0.41; adjusted 99.4% CI: 0.31 to 0.48); a version 2 (or higher) article in which their review did not cite other articles (0.52; adjusted 99.4% CI: 0.47 to 0.55); and a version 2 (or higher) article in which their review cited other articles (0.27; adjusted 99.4% CI: 0.02 to 0.45). This figure is based on an analysis of 12,078 articles and 24,732 reviews for version 1 and 6101 articles and 10,213 reviews for version 2+. In all panels a dot or square represents a mean, and a horizontal line represents an adjusted 99.4% confidence interval.

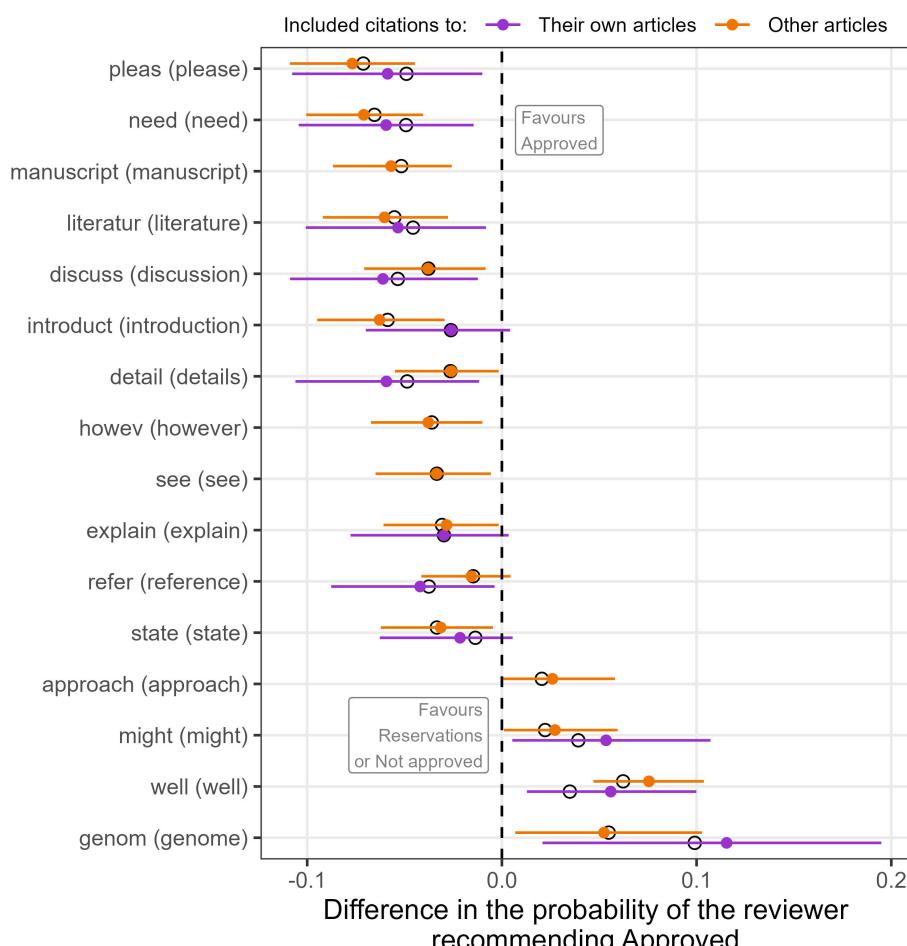


204 **Text analyses of reviewers' comments**

205 A random sample of how reviewers included citations to their own articles found some vague
 206 justifications (Supplement S.7); for example, "Here are some additional publications you might
 207 consider referencing". Other sentences adhered to the publisher's guidelines for reviewers, as
 208 specific reasoning was provided for citations to their own articles [7]. One reviewer thanked the
 209 authors for a previous citation. Three reviews did not have a relevant sentence. One reviewer
 210 almost certainly used AI to write their review as it included the phrase "Certainly! Here are
 211 some potential review questions for the manuscript" [43]; this review included six self-citations
 212 with no justifications.

213 Reviewers who included a citation to their own articles or other articles were more likely to use
 214 the words "need" and "please" when not approving the article (Figure 5). In contrast, the words
 215 "genome" and "well" were the most strongly associated with the reviewers' approval.

Figure 5: Words in the reviewers' comments that were associated with approving the article or not for reviewers who included a citation to their own articles ($n = 2,025$) and reviewers who included citations to other articles ($n = 4,350$). The words were selected using an elastic net that started with the 100 most commonly used review words. The estimates from the elastic net are shown as empty circles and the mean estimates and 95% credible intervals from a Bayesian model are shown as a solid circle and horizontal line. Words are shown if the probability of a non-zero mean was over 0.95 for either reviewers who cited their own articles or reviewers who cited other articles. Four words were selected by the elastic net for the reviewers who cited other articles but not by the elastic net for reviewers who cited their own articles. The axis label shows the stemmed word and most common whole word in brackets.



216 To examine how often open peer reviews were viewed, we took a random sample of 200 reviews
 217 from the four journals and found that, on average, they were viewed just 1.2 times per year
 218 (Supplement S.8).

219 **Discussion**

220 Our results provide evidence that some reviewers have a transactional view of peer review, with
221 their final approval dependent on citations to their work. Some reviewers may be exploiting the
222 pressure on authors to “publish or perish”. Under this pressure, many authors may oblige and
223 add the suggested citations, especially since adding another citation may only require a minor
224 edit to their article [44]. Both sides gain from this transaction, as the authors get an indexed
225 publication and the reviewer gets a citation.

226 A key question is whether citations to a reviewer’s own articles are justified as they may highlight
227 important errors or missing context in the article. Citations to a reviewer’s own articles can be
228 justified when the authors have made a “large scholarly oversight” [8]. To investigate this, we
229 compared the recommendations and wording of reviewers who included citations to their own
230 articles to reviewers who included citations to other articles. The language used by the reviewers
231 of these two groups was similar, with a higher use of “please” and “need” when not approving
232 the article (Figure 5). However, there was a difference between groups in their recommendations,
233 as reviewers including citations to other articles were more likely to recommend “Not approved”
234 (Figure 4) whereas this association was not observed for reviewers including citations to their
235 own articles (Figure 3). This indicates that missing citations to other articles were considered
236 more serious than missing citations to the reviewer’s articles. Reviewers who cited their own
237 articles may have been more inclined to give authors a chance to update their article and thus
238 potentially include the “missing” citation(s).

239 Examining the context of the citations to reviewers’ own articles, we found vague or non-existent
240 justifications (Supplement S.7), showing that some reviewers ignored the journals’ guidelines to
241 state their reasoning when including citations to their own articles. However, these examples of
242 poor justifications do not mean that all self-citations are coercive.

243 For both research questions, the effects were stronger for the second and later versions of the
244 article than for the first version. Reviewers may understand that authors may be more willing to
245 compromise on later versions when they are closer to obtaining an indexed publication. Most
246 researchers understand that the peer review system is imperfect and that they sometimes have to
247 make compromises to be successful [20, 45]. Another difference to consider is that later versions
248 will include more articles with disagreements between reviewers and more that were not
249 “Approved” in the first version as articles where two or more reviewers recommended
250 “Approved” may not have needed a second version.

251 **Potential improvements to peer review**

252 Journals could give stronger guidance to reviewers and authors on coercive citations [4].
253 However, given the limited time for peer review and the many differences in guidelines between
254 journals [46], most authors may not read peer review instructions. Hence, guidance alone may
255 have limited impact.

256 One suggestion is that reviewers declare to editors when they have recommended citations to
257 their own work [47]. A useful innovation would be for all reviews that contain citations to the
258 reviewer’s own articles to be automatically flagged to the editors who could check if the citations
259 are justified. We are aware of one journal where this is already happening (personal
260 communication, Benno Torgler). *F1000* have recently introduced checks to prevent reviewers
261 from publishing a review with three or more citations to the reviewer’s own articles. If the
262 reviewers continue to request more than three, then the review is examined, and if the citations
263 are deemed inappropriate and the reviewer declines to remove them, then the review is declined.

264 Open peer review has been suggested as a way to reduce coercive citations [7, 47]. However, our
265 results from four journals that use open review show that it is not a perfect antidote, although
266 the problem could be worse in journals using blinded peer review. The transparency of open peer
267 review should prevent reviewers from leaving self-serving comments; however, we found some
268 dubious justifications for self-citations and blatant use of AI (Supplement S.7). These reviewers
269 may have rationalised that although their words are public, they are rarely scrutinised
270 (Supplement S.8); hence, it was worth the risk. The assumed additional quality assurance from
271 open peer review [48] may often be absent.

272 A more radical change to peer review is that the reviewers initially see a version of the article
273 with all references blinded and no reference list; for example, “A strong association between
274 increased cleaning and reduced hospital infection is well established [x]”. Reviewers are asked to
275 give an initial recommendation and comments, and then are shown the version with the full
276 references and asked if they need to update their recommendation or provide additional
277 comments. However, this involves more administrative work and demands more from peer
278 reviewers. This approach could be used for particularly consequential or controversial articles.
279 Some journals already require authors to partially blind their articles to maintain anonymous
280 peer review; for example, the instructions from *Taylor & Francis* include blinding the authors’
281 names in the reference list [49].

282 An argument could be made for using large language models to provide peer review that is
283 unmoved by citation flattery. However, peer review is an inherently human task by peers, and
284 instead we need to improve peer review rather than abdicating this often difficult and
285 time-consuming task to machines [50].

286 Related research

287 Previous cross-sectional studies of self-citations in reviews found at least one self-citation in 3%
288 at a journal that used blinded peer review [17], 12% at a journal that used blinded peer review
289 [51], and 12% at a journal that used open peer review [52]. A related study found that 15% of
290 reviews included a self-citation and that the self-citations were highest when the reviewer
291 recommended “major revisions” [53]. These figures are comparable with the 6% found here and
292 indicate that most reviews do not include self-citations.

293 Previous surveys estimated that 14% and 20% of authors had experienced a coercive citation
294 request from an editor [54, 55], and 7% and 23% had experienced coercive citation pressure from
295 a reviewer [14, 56]. The frequency with which researchers interact with peer review means that
296 many will encounter coercive citations at some point in their career.

297 A study of conference submissions estimated that reviewers who were cited gave submissions
298 much higher scores [18]. A study of journal peer review estimated that cited reviewers scored the
299 article higher, but with potential confounding by the quality of the article [17].

300 A survey of authors concluded that accepting an editor’s request for citations improved the
301 chances of being accepted [12]. Requests in later versions were more strongly associated with
302 acquiescence, and we found a related pattern in our analysis, with reviewers who included
303 citations to their own articles being much less likely to recommend approval for later versions
304 (Figure 3). A study examining open peer review found that requests to cite the reviewer’s
305 articles were more likely to be included than other suggested citations, indicating that many
306 authors wanted to please the reviewer or felt pressure to do so [52].

307 A survey of journal editors found that only 5% objected to reviewers citing their own articles,
308 and that this should be expected as reviewers are likely to have done related work [8].

309 A cross-sectional study found that reviewers citations to their own articles were more likely to
310 have no rationale compared to other citations, suggesting that they are more likely to be
311 unwarranted [51].

312 Strengths and limitations

313 This is an observational study meaning we cannot rule out unmeasured confounding and should
314 be cautious in interpreting the results.

315 To our knowledge, this is the first analysis to use a matched design and analysis when examining
316 reviewer citations, and hence strongly control for any confounding by the characteristics of the
317 authors or articles. We compared reviewers who examined an identical article; hence, the
318 differences we found should be due to the reviewers.

319 Our models include measurement error, as some citations to the reviewers’ work will be missed
320 by our data collection, and some captured citations will be inaccurate [57]. We performed
321 random data checks that showed good accuracy (Supplement S.9); however, we also found valid
322 citations that were not captured by our data extraction for conference proceedings and technical

323 reports, which are less likely to have a DOI. This measurement error would most likely
324 underestimate a true association, as it reduced the variance in citation counts and created a
325 regression dilution [58]. Our estimates will be biased if the associations between citations and
326 reviewers' recommendations are different for publications that do not have a DOI. Reviewers
327 should be equally happy with any citation to their work; however, some reviewers may prefer
328 citations to indexed articles, as these are more likely to count toward their h-indices [59].

329 We examined whether citing a reviewer altered their recommendation, but did not examine the
330 sentiment of the citation [60]. Some citations would likely have been critical of the reviewer's
331 articles, and we would expect these to reduce the chances of a favourable recommendation. An
332 analysis that included the sentiment of the citation would be useful, although previous research
333 found that most citations are neutral or positive [60].

334 We did not examine the authors' responses to the reviewers but these could include important
335 information on why a citation was included or not in a revised version of the article. A detailed
336 analysis examining the text used in the interactions between authors and reviewers could provide
337 valuable information about the peer review process.

338 Our results may not be generalisable to journals that use blinded peer review or journals that use
339 the traditional peer review model rather than the publish–review–curate model studied here. A
340 previous study found that asking reviewers to consent to an open review had no important effect
341 on the quality of the review or the reviewers' recommendation [61]. Another potential difference
342 is that the journals in our sample often asked the authors to suggest peer reviewers; however, this
343 is relatively common in other journals [8].

344 We found a bias in our sample, as co-reviewers and reviewers from older articles were more likely
345 to be excluded due to not having an *OpenAlex* record (Supplement S.6). We therefore lost more
346 junior reviewers who were less likely to be cited. The percentage of reviews lost was 5% (2,026 of
347 39,113), which is hopefully small enough to avoid a large bias.

348 Acknowledgements

349 Thanks to all four journals for making all their data openly available and easily accessible.

350 Thanks to Robin Blythe, staff from *F1000* and Paper-Wizard <https://paper-wizard.com/> for
351 providing helpful feedback on a draft of this paper.

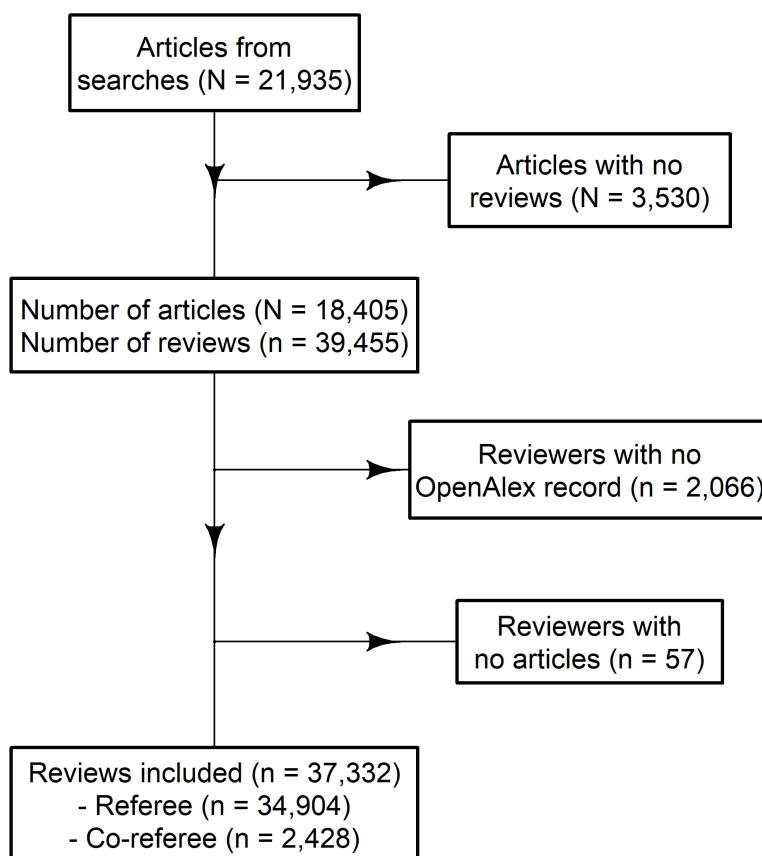
352 **Supplementary material**

353 **S.1 Sample size**

354 We aimed for a sample size of approximately 5,000 articles and assumed that half would be the
355 first version, giving a sample size of 2,500 articles for the analysis using the first version only [40].
356 In 1,000 simulations, this gave an 89.1% power to detect an odds ratio of 1.5 using conditional
357 logistic regression for a reviewer who recommended a higher category (Approved → Reservations
358 → Not approved) when they were cited. We assumed that 15% of articles would include a citation
359 to the reviewer. Eighty percent of the simulated articles had two reviews, and the remaining 20%
360 had three reviews. Based on preliminary data from two journals, we assumed that the reviewers'
361 recommendations would have a ratio for Approved:Reservations:Not approved of 70:24:6.

362 **S.2 Included and excluded reviews**

Figure S.1: Flow chart of included reviews. ‘N’ is the number of articles and ‘n’ is the number of reviews.



363 The flow chart shows the loss of articles and reviews during the data collection process. More
364 than 3,500 articles did not have reviewers as they had yet to be peer reviewed or were Faculty
365 Reviews that are commissioned and use a different peer review model.

366 More than 2,000 reviewers did not have an *OpenAlex* record and therefore were excluded from
367 the analyses. We examined the potential bias in the lost reviews by comparing their
368 characteristics with those of the retained reviews. We used a multiple regression model with
369 reviewer lost (yes/no) as the binary dependent variable and predictors of article version, article
370 date, referee or co-referee, and reviewer's country. We expected many of these predictors to have
371 little effect; therefore, we used an elastic net to reduce the number of predictors [38]. We used the
372 ‘glmnet’ package in R [39]. For the binary dependent variable, 39,455 reviews were retained and
373 2082 (5%) were lost.

374 The elastic net retained two predictors. The date of the article had an odds ratio of 1.09 per year
 375 increase, which means that more recent articles were more likely to be retained, likely because
 376 the reviewer's information was more current. Referees were more likely to be retained compared
 377 to co-referees with an odds ratio of 1.79, likely because co-referees were often relatively junior
 378 and some may not have any publications.

379 S.3 Model fit

Table S.1: Comparing the two alternatives for the citation predictor variables using either a linear variable or a binary “any versus none” variable. A vs R/N = Approved vs Reservations/Not approved, A/R vs N = Approved/Reservations vs Not approved.

Co-reviewers included	Version	Outcome	AIC		
			Linear	Binary	Difference
No	1	A vs R/N	5940.9	5937.4	3.6
No	1	A/R vs N	1930.3	1929.8	0.5
No	2+	A vs R/N	1952.4	1941.9	10.5
No	2+	A/R vs N	572.1	571.9	0.2
Yes	1	A vs R/N	5978.4	5975.4	3.0
Yes	1	A/R vs N	1941.1	1940.8	0.3
Yes	2+	A vs R/N	1963.1	1951.4	11.7
Yes	2+	A/R vs N	572.6	572.8	-0.2
No	1	A vs R/N	5932.3	5911.1	21.2
No	1	A/R vs N	1934.1	1935.6	-1.5
No	2+	A vs R/N	1881.4	1876.0	5.4
No	2+	A/R vs N	573.4	572.8	0.6
Yes	1	A vs R/N	5967.9	5944.9	23.0
Yes	1	A/R vs N	1945.4	1946.6	-1.2
Yes	2+	A vs R/N	1917.3	1904.1	13.2
Yes	2+	A/R vs N	573.1	573.5	-0.5

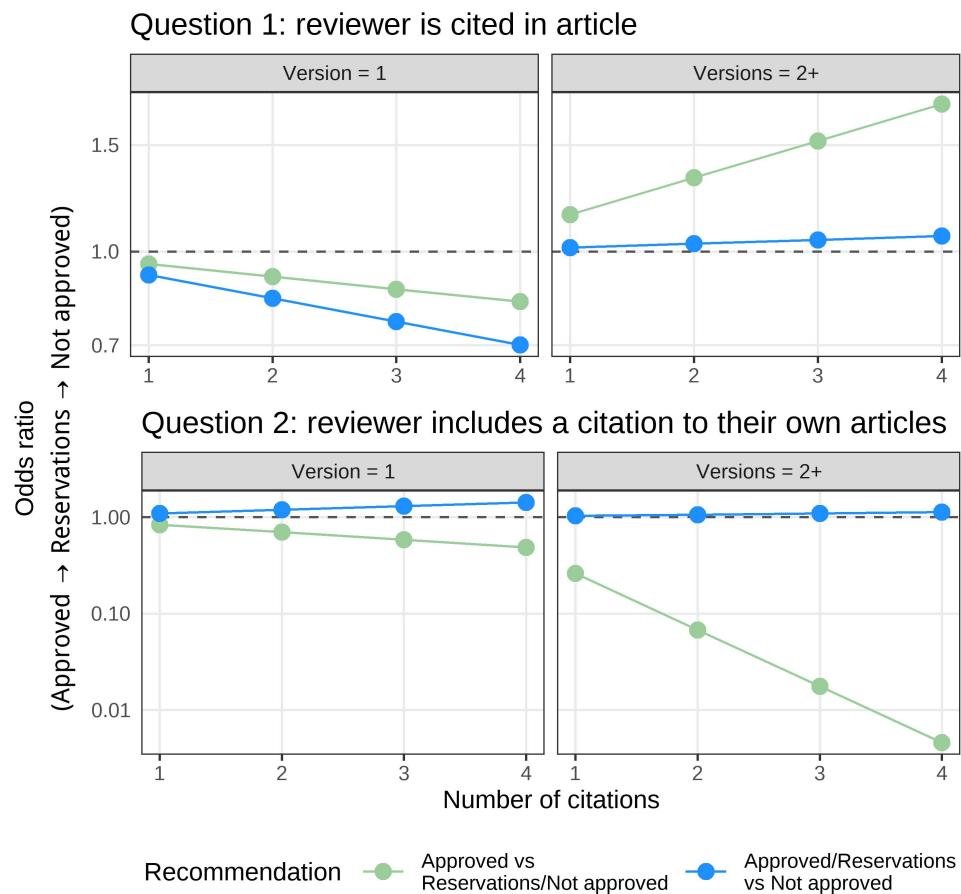
380 The AIC (Akaike Information Criterion) is a trade-off of model fit and complexity. The smaller
 381 the AIC, the better the fit. Differences of 10 are considered large [35].

382 In most cases, the difference between the linear and binary variables was small (under 5). There
 383 were four comparisons out of 16 in which the linear variable had a smaller AIC than the binary
 384 variable and all differences were small (under 2). There were four comparisons where the AIC for
 385 the binary variable was over 10 units smaller than the linear variable, indicating a large difference
 386 in model fit. In summary, using a binary predictor variable is a generally better fit to the data
 387 than using a linear variable.

388 S.4 Results from using a linear predictor

389 The figure shows the estimates for the two research questions using a linear dose-response for
 390 citation counts instead of the binary predictor of any citation versus none. The strongest effect
 391 was a greatly reduced odds of “Approved” for increasing citations to the reviewer’s own articles.
 392 However, these estimates should be viewed with caution, as the binary predictor generally better
 393 fits the data (Supplement S.3).

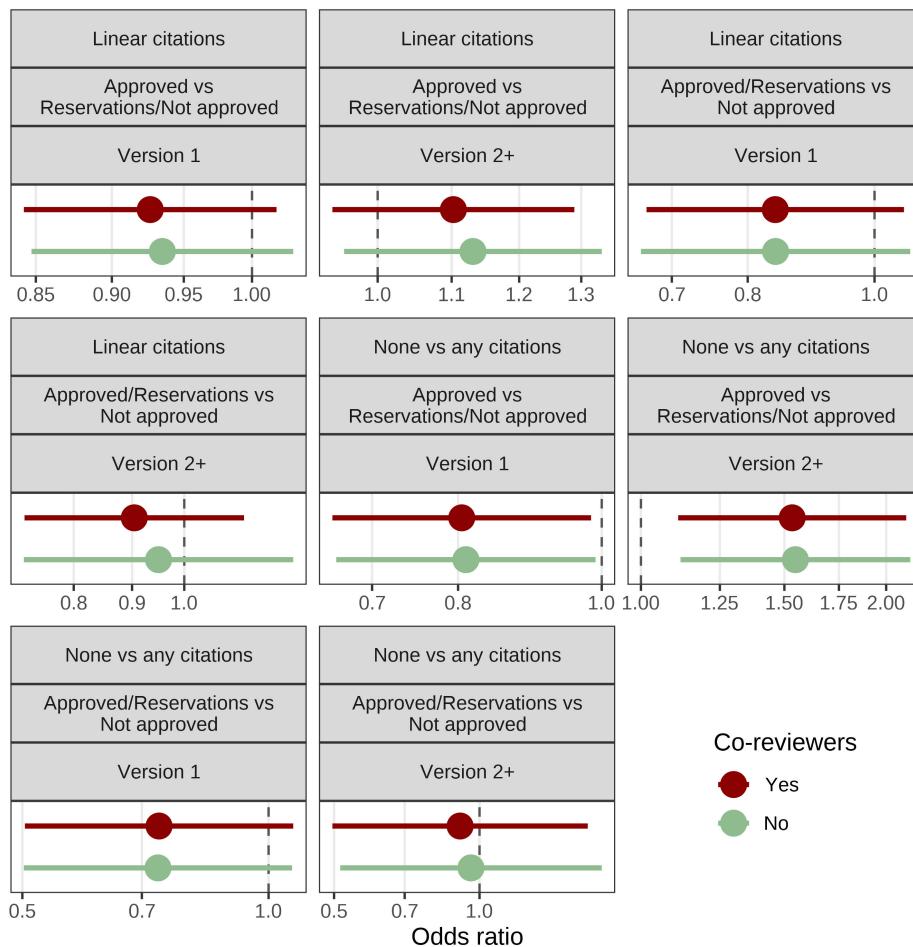
Figure S.2: Estimated odds ratios for using linear citations as the predictor. The reference point is zero citations.



394 S.5 Including co-reviewers

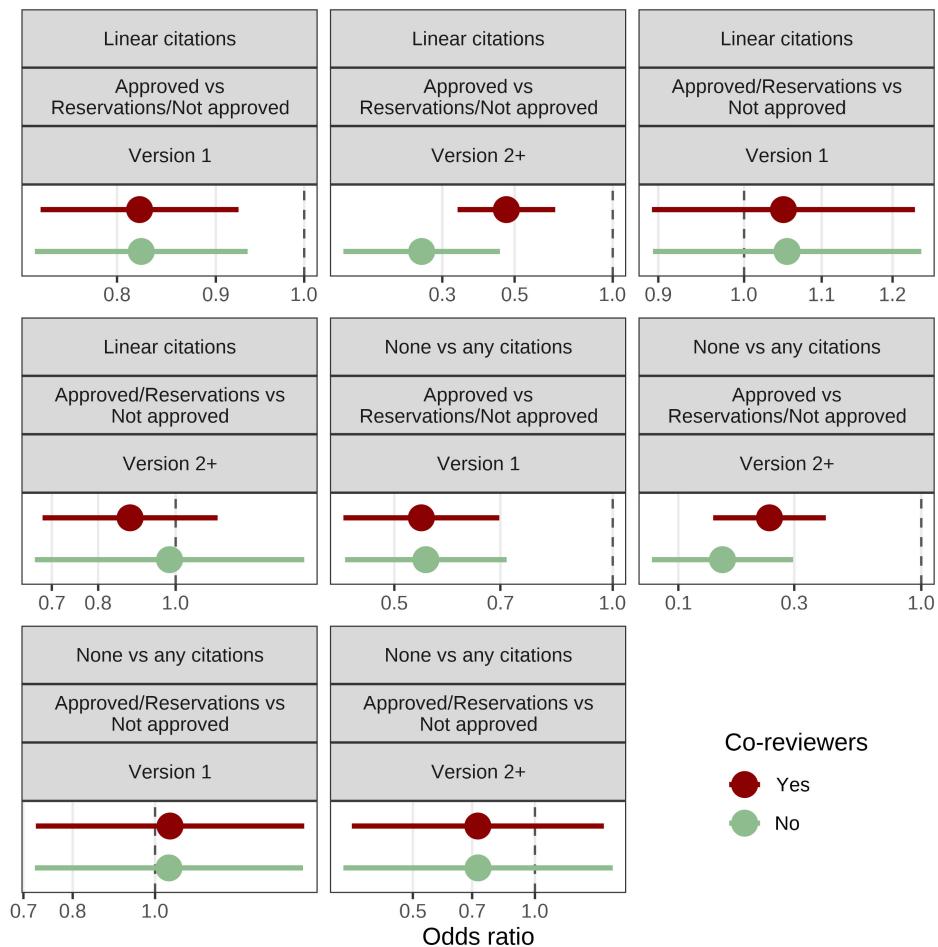
395 Some reviews were performed by reviewers together with co-reviewers, who were usually less
 396 experienced. Our primary analysis excluded co-reviewers, but we included them in a sensitivity
 397 analysis where we created combined versions of the two independent variables using the sum of
 398 citations to reviewers and co-reviewers, and the sum of citations to their own articles from the
 399 reviewers and co-reviewers. The results examining whether the reviewers gave a more favourable
 400 recommendation when cited (research question 1) were very similar (Figure S.3).

Figure S.3: Results with or without co-reviewers for research question 1. Odds ratios and adjusted 99.4% confidence intervals for whether the reviewer gave a more or less favourable recommendation if they were cited. The results are shown for the combinations of predictor variables (linear or any vs none), outcome (Approved → Reservations → Not approved) and article version. The plot is designed to directly compare paired odds ratios with or without co-reviewers.



401 The results examining whether the reviewers gave a more favourable recommendation when they
 402 included citations to their own articles (research question 2) were mostly very similar
 403 (Figure S.4). Two noticeable differences were two odds ratios where including co-reviewers
 404 somewhat reduced the strength of the association. This was for article versions 2+ and
 405 examining Approved vs Reservations or Not approved. Despite the noticeable change in the odds
 406 ratio, the interpretation remains similar in that there was a strong reduction in the odds of a
 407 favourable recommendation when the reviewers included citations to their own articles.

Figure S.4: Results with or without co-reviewers for research question 2. Odds ratios and adjusted 99.4% confidence intervals for whether the reviewer gave a more or less favourable recommendation when they included a citation to their own articles. The results are shown for the combinations of predictor variables (linear or any vs none), outcome (Approved → Reservations → Not approved) and article version. The plot is designed to directly compare paired odds ratios with or without co-reviewers.



408 S.6 Potential confounding by the reviewers' characteristics

409 Any confounding by the characteristics of the articles was controlled by the matched design, but
 410 confounding by the characteristics of the reviewers remains possible [18]. We considered the
 411 potential confounders of the reviewer's experience and reviewer's country. More experienced
 412 reviewers will likely be cited more often (on average) and could be more or less strict in their
 413 recommendations. The reviewer's country is a potential confounder due to large differences in
 414 citation counts by country [62] and potential differences in recommendations by country [63].

415 Reviewers' experience

416 We used the reviewer's number of published articles as a proxy for their experience. This
 417 association could be non-linear; for example, a diminishing effect for more experienced reviewers,
 418 so we examined six fractional polynomials of the reviewers' number of articles and used the AIC
 419 to select the best fit [64]. For most models, the best fit was achieved using a log-transformation.

420 There was little evidence of any confounding by the reviewers' publication counts as the odds
 421 ratios were similar for both research questions (Figures S.5 and S.6). A fractional polynomial of
 422 -2 tended to show the largest difference compared to the odds ratios with no confounders;
 423 however, this transformation was not the best fit and the differences were relatively small.

Figure S.5: Examining potential confounding by reviewers' publication counts for research question 1. Odds ratios and adjusted 99.4% confidence intervals for whether the reviewer gave a more or less favourable recommendation when they were cited. We used fractional polynomials to examine a potentially non-linear association between reviewers' publication counts and recommendation. The results for "None" are the results without the potential confounder. The results are shown for the combinations of predictor variables (linear or any vs none), outcome (Approved → Reservations → Not approved) and article version. Results are missing when the model did not converge.

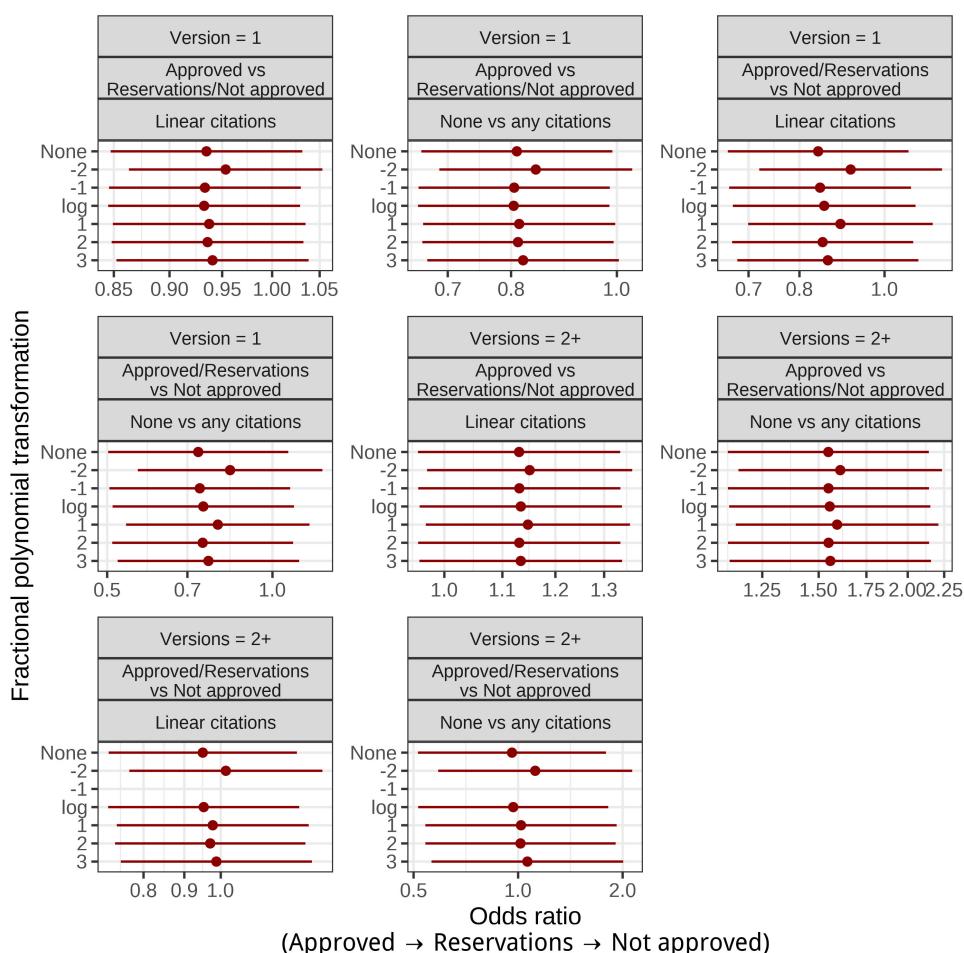
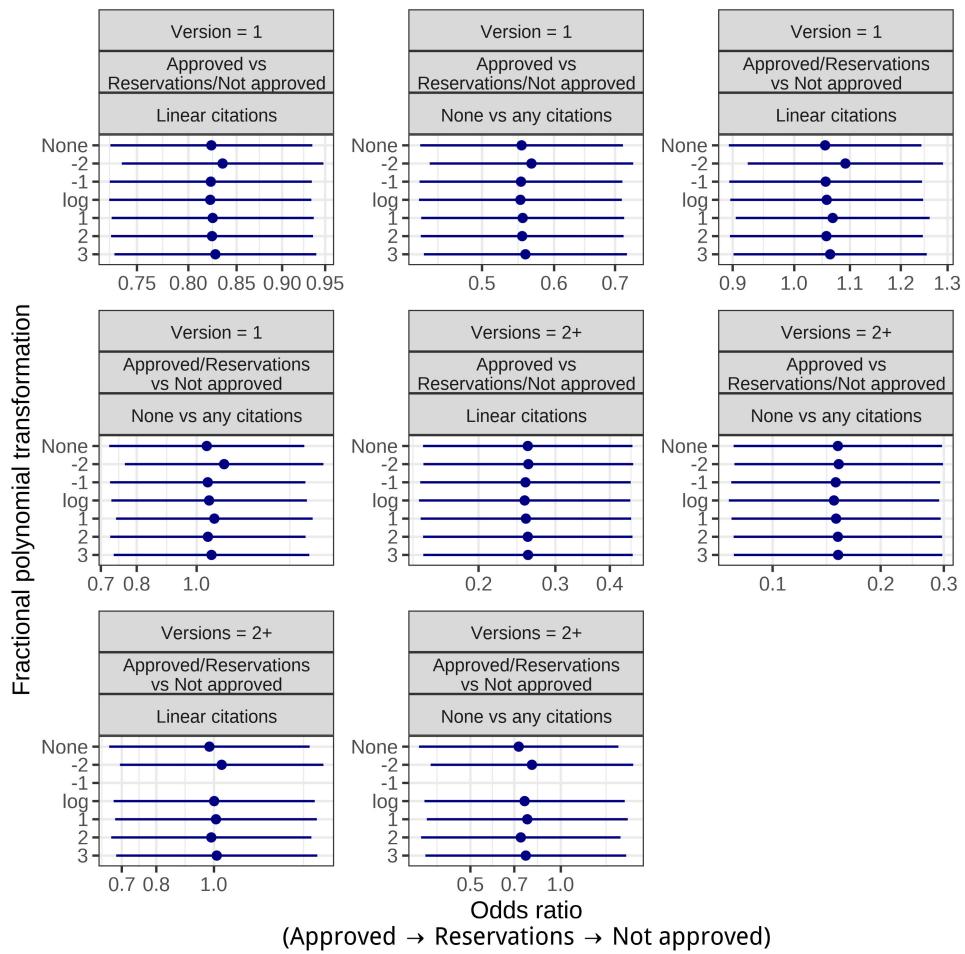


Figure S.6: Examining potential confounding by reviewers' publication counts for research question 2. Odds ratios and adjusted 99.4% confidence intervals for whether the reviewer gave a more or less favourable recommendation when they included a citation to their own articles. We used fractional polynomials to examine a potentially non-linear association between reviewers' publication counts and recommendation. The results for "None" are the results without the potential confounder. The results are shown for the combinations of predictor variables (linear or any vs none), outcome (Approved → Reservations → Not approved) and article version. Results are missing when the model did not converge.



424 **Reviewers' countries**

425 We planned to use a frailty model to test for confounding by the reviewers' countries [65].
 426 However, this model often failed to converge, potentially because there were many countries and
 427 some countries had relatively small numbers of reviewers. Hence, we instead used a leave-one-out
 428 analysis for each of the top ten most common countries and determined if the results were
 429 noticeably different.

430 The results were generally similar regardless of which country was left-out. Leaving out the USA,
 431 which was the largest country, had a relatively large effect on the odds of recommending
 432 Approved or Reservations vs Not approved for versions 2+ when using the "none vs any
 433 citations" predictor (Figure S.7) and on the odds of recommending Approved or Reservations vs
 434 Not approved for versions 2+ when using the "none vs any citations" predictor (Figure S.8).
 435 However, neither change was substantively different from the results including all countries.

Figure S.7: Leave-one-country-out sensitivity analyses for research question 1. Odds ratios and adjusted 99.4% confidence intervals for whether the reviewer gave a more or less favourable recommendation when they were cited. The results are shown for the combinations of predictor variables (linear or any vs none), outcome (Approved → Reservations → Not approved) and article version.

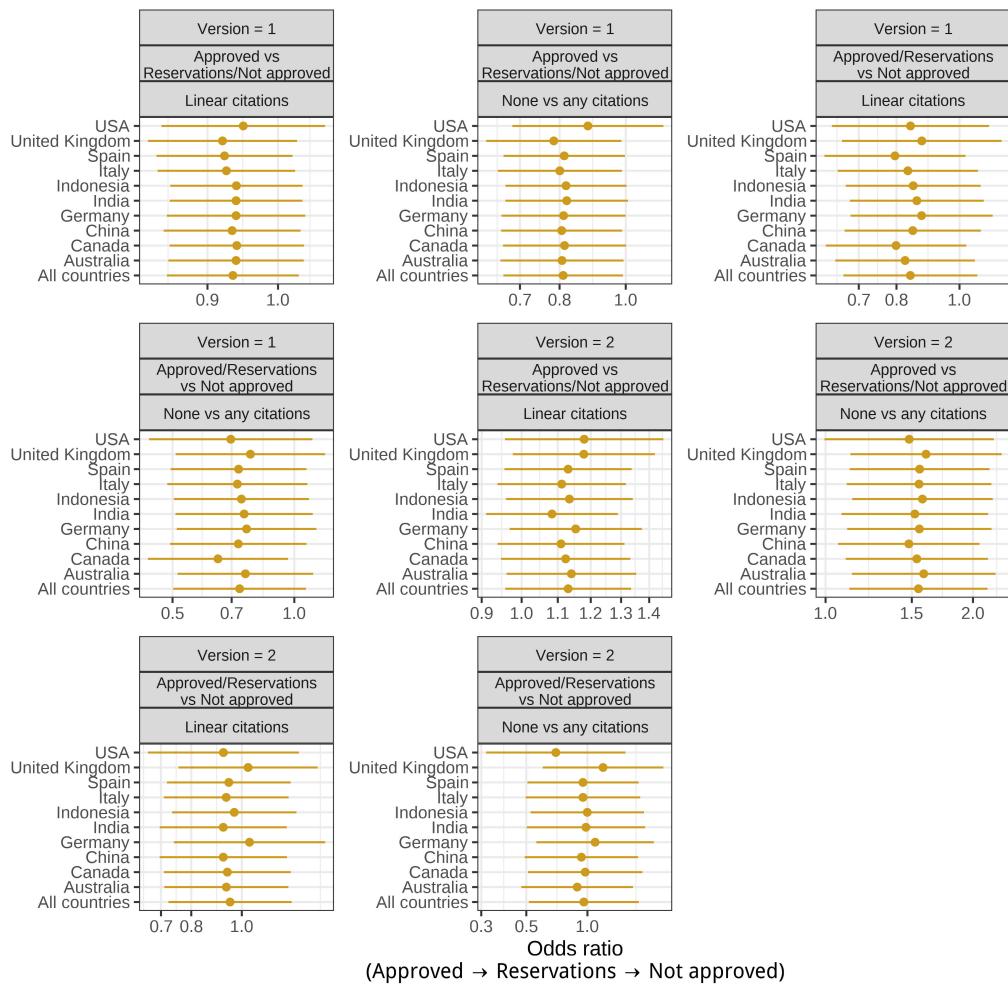
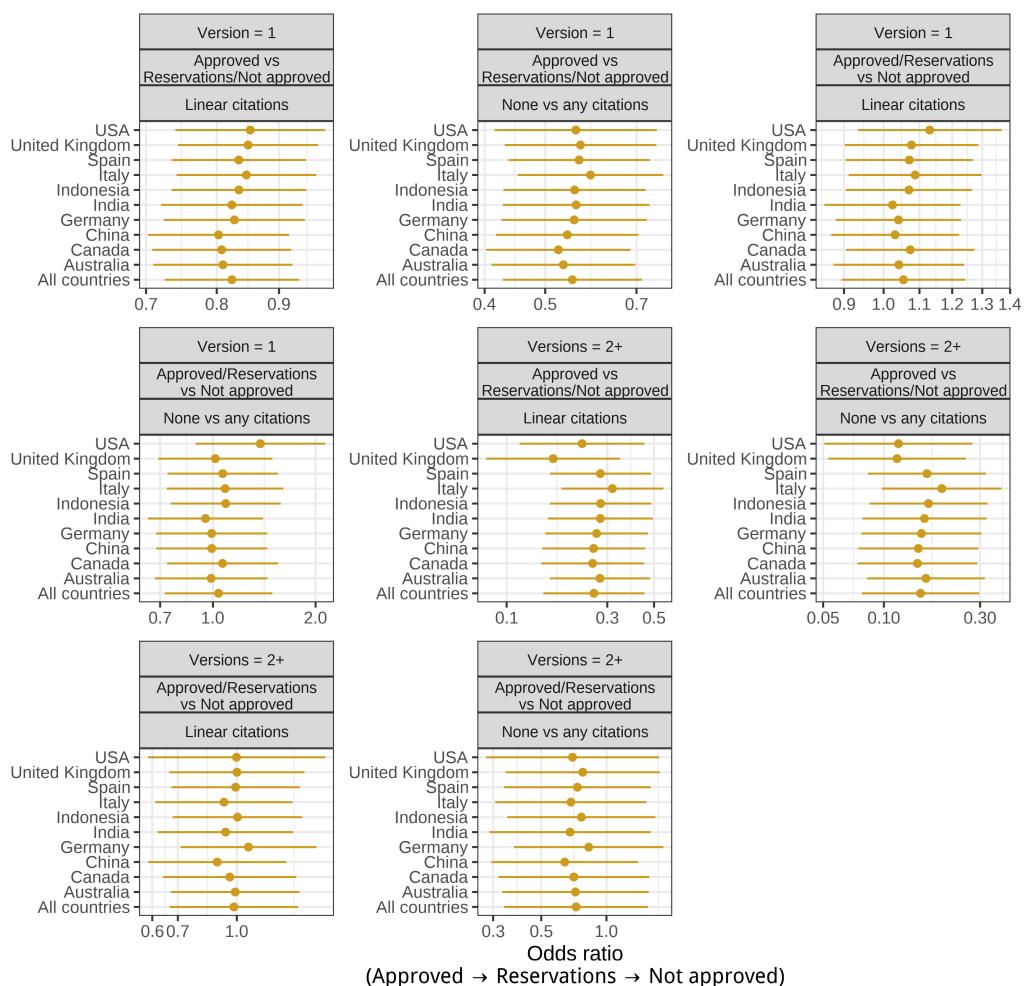


Figure S.8: Leave-one-country-out sensitivity analyses for research question 2. Odds ratios and adjusted 99.4% confidence intervals for whether the reviewer gave a more or less favourable recommendation when they included a citation to their own articles. The results are shown for the combinations of predictor variables (linear or any vs none), outcome (Approved → Reservations → Not approved) and article version.



(Approved → Reservations → Not approved)

436 **S.7 Examples of reviewers' requests to cite their own articles**

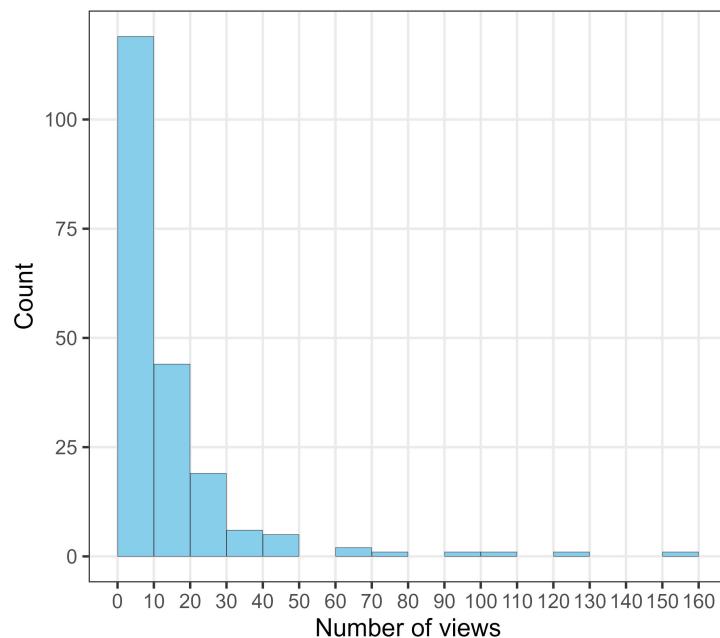
Table S.2: Example sentences that reviewers used when suggesting citations to their own articles using a random sample of 20 reviews. The first column shows the number of citations suggested. We have removed any references to names using [xxxx]. The results are ordered by text length.

Citations suggested	Reviewer's text
3	Also, the introduction, main discussion, and conclusion must be redrawn to highlight NO as a treatment option, the clinical trials discussion, the use of several NORMS (NORM-1, NORM-2, etc), the effect of NO-carriage system, Natural NO-sources, synthetic NO-sources with limitations, Inorganic versus organic forms, etc (eg., in the review publications as given
1	The term 'true bugs' applies to the monophyletic Heteroptera, which does include the species presented here (<i>Acanthosoma haemorrhoideum</i>), while aphids and mealybugs belong to the distinct lineage Sternorrhyncha, sometimes (formerly) regarded as a part of the paraphyletic Homoptera (see, for example, Figure 2 in: [xxxx]).
1	On a side note: There is already published work on population genomics of the European plaice showing that two large chromosomal rearrangements (two putative inversions) segregate in northern plaice populations (North Sea, Baltic Sea, Barents Sea, and Iceland) and distinguish different plaice populations.
1	There is some observational clinical data on how the detrusor compensates for the growing prostate and the-by consequence-increase in bladder outflow obstruction, in addition to the animal studies referred to in the commentary, to explain the pathophysiology.
1	I would like to thank the authors for including references to the work done in the [xxxx] project; I would recommend to remove the reference ([xxxx] et al., 2019b) and replace it with a reference to a much more recent and related article ([xxxx] et al.).
2	The cited literature is incomplete; it does not include all reports of studies on the presence of the snail in Colombia, and studies with relevant findings of nematodes with or without pathogenic potential in animals are omitted e.g:
1	In the last 2 decades, our group has developed a brief instrument to assess the presence and the severity of sensory phenomena (the University of [xxxx]) to investigate OCD phenotypic subtypes and its relationship with TS/CTD.
5	The authors can find the following relevant articles to enhance their Materials and Methods section and incorporate citations to support their revised manuscript.
3	The authors should consider references from high impact journal publications on crop yield prediction. For example, the following articles by this reviewer
1	No mention to more rigorous rankings such as the Leiden Ranking are made nor to what exactly rankings are portraying. See for instance [xxxx].
1	Maybe 'manifest' and 'not manifest' would work better [for example we used this terminology in [xxxx]].
1	This is especially useful if you have multiple data sets - see, for example, the [xxxx] package.
3	I would suggest the authors include some of the results of a large-scale project in Europe.
2	Please refer to some further references to revise the relevant description:
1	Here are a few additional publications you might consider referencing.
1	However, genomics resources are limited, except for parasitoid wasps.
1	Refer to this recent literature review.
4	<i>No relevant sentence</i>
1	<i>No relevant sentence</i>
6	<i>No relevant sentence</i>

437 **S.8 Views of reviews**

438 We randomly sampled 200 reviews from our sample and collected the number of times the review
439 had been viewed online. A histogram of view counts is shown in Figure S.9, which had a strong
440 positive skew with most reviews having 10 or fewer views. We used a Poisson model to estimate
441 the annual number of views per year, accounting for the reviews' publication dates. The mean
442 number of views per year was 1.24 with a 95% credible interval of 1.20 to 1.28.

Figure S.9: Histogram of online view counts of published reviews. The bins are in tens starting at [0, 10).



443 S.9 Data validation

444 We randomly selected reviews from our analysis data and manually verified the accuracy of our
 445 automated data extraction. We checked the accuracy of:

- 446 • Reviewers that were cited
- 447 • Reviewers that were not cited
- 448 • Reviewers that included citation(s) to their own articles in their review

449 We used a Bayesian calculation to estimate the error rates of our data extraction. We started
 450 with a vaguely informative Beta(1, 3.32) prior, which had a 90% probability that the error rate
 451 was under 0.5. This vague prior was used to exclude high error rates which were unlikely given
 452 our testing of the code during the construction of the data extraction. We created posterior
 453 estimates for the error rates using the observed counts of errors from manual checks. We
 454 calculated the 90% limits for the posterior distributions as an upper estimate of the error rates.

455 The distributions are plotted in Figure S.10 and the error rates are shown in Table S.3. The
 456 errors are proportions, with 0 for no errors and 1 for all errors. The highest error rate was for
 457 citation(s) to their own articles.

Figure S.10: Distributions of the error rates. Vaguely informative prior and posteriors for errors for not cited reviewers, cited reviewers and self-citations. The dashed vertical lines are at $\text{Pr}(\text{error} \leq x) = 90\%$.

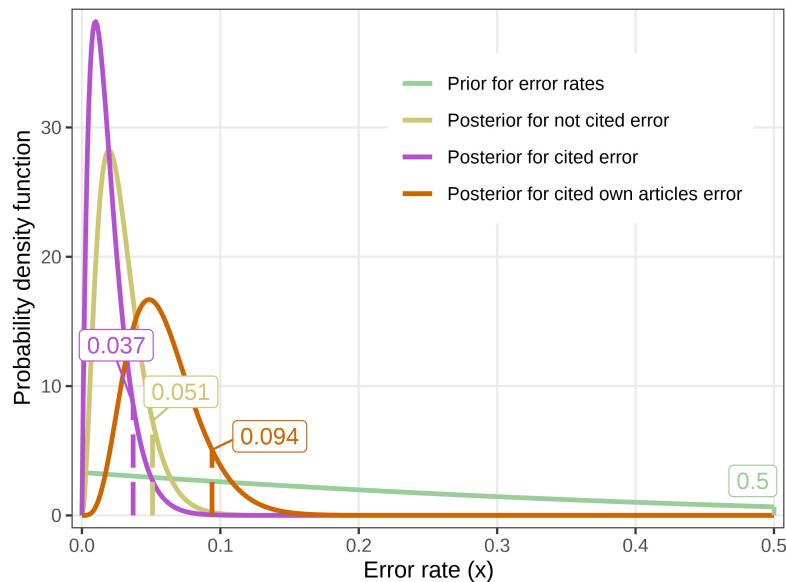


Table S.3: Number of errors found in our data extraction algorithm from manual checks and the estimated 90% limit for the error rate

Check	Number checked	Errors found	$\text{Pr}(\text{Error rate} \leq x) = 90\%$
Reviewer not cited	100	2	0.051
Reviewer cited	100	1	0.037
Reviewer's citation to their own articles	80	4	0.094

458 The two errors for reviewers not being cited were for citations to a book and a conference paper
 459 that did not have a DOI. All four errors in capturing self-citations were where the number
 460 captured was fewer than the true number, for example, we extracted 1 self-citation when the true
 461 number was 3.

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