# Foundations of Software Fall 2022

Week 5

## Plan

PREVIOUSLY: untyped lambda calculus

TODAY: types!!

- 1. Two example languages:
  - 1.1 typing arithmetic expressions
  - 1.2 simply typed lambda calculus (STLC)
- 2. For each:
  - 2.1 Define types
  - 2.2 Specify typing rules
  - 2.3 Prove soundness: progress and preservation

NEXT: lambda calculus extensions NEXT: polymorphic typing

## **Types**

## Outline

- $1. \ \mbox{begin with a set of terms, a set of values, and an evaluation relation$
- 2. define a set of *types* classifying values according to their "shapes"
- 3. define a *typing relation* t : T that classifies terms according to the shape of the values that result from evaluating them
- 4. check that the typing relation is sound in the sense that,
  - 4.1 if t : T and t  $\longrightarrow$ \* v, then v : T
  - 4.2 if t: T, then evaluation of t will not get stuck

## Recall: Arithmetic Expressions – Syntax

```
terms
t ::=
        true
                                             constant true
                                             constant false
        false
        if t then t else t
                                             conditional
                                             constant zero
        succ t
                                             successor
        pred t
                                             predecessor
        iszero t
                                             zero test
                                            values
                                             true value
        false
                                             false value
                                             numeric value
                                            numeric values
nv ::=
       0
                                             zero value
        succ nv
                                             successor value
```

## Recall: Arithmetic Expressions - Evaluation Rules

```
if true then t_2 else t_3 \longrightarrow t_2 (E-IFTRUE)

if false then t_2 else t_3 \longrightarrow t_3 (E-IFFALSE)

pred \ 0 \longrightarrow 0 \qquad (E-PREDZERO)
pred \ (succ \ nv_1) \longrightarrow nv_1 \qquad (E-PREDSUCC)
iszero \ 0 \longrightarrow true \qquad (E-ISZEROZERO)
iszero \ (succ \ nv_1) \longrightarrow false \qquad (E-ISZEROSUCC)
```

## Recall: Arithmetic Expressions - Evaluation Rules

$$\begin{array}{c} t_1 \longrightarrow t_1' \\ \\ \text{if } t_1 \text{ then } t_2 \text{ else } t_3 \longrightarrow \text{if } t_1' \text{ then } t_2 \text{ else } t_3 \end{array} \text{ (E-IF)} \\ \\ \frac{t_1 \longrightarrow t_1'}{\text{succ } t_1 \longrightarrow \text{succ } t_1'} \end{array} \tag{E-Succ)}$$

$$\frac{\mathtt{t}_1 \longrightarrow \mathtt{t}_1'}{\mathtt{pred}\ \mathtt{t}_1 \longrightarrow \mathtt{pred}\ \mathtt{t}_1'} \tag{E-Pred}$$

$$\frac{t_1 \longrightarrow t_1'}{\text{iszero } t_1 \longrightarrow \text{iszero } t_1'} \qquad \qquad \text{(E-IsZero)}$$

#### Types

In this language, values have two possible "shapes": they are either booleans or numbers.

$$\begin{array}{ccc} T & ::= & & \textit{types} \\ & & \textit{Bool} & & \textit{type of booleans} \\ & & \textit{Nat} & & \textit{type of numbers} \end{array}$$

## Typing Rules

$$\begin{array}{c} \text{true}: \texttt{Bool} & (\text{T-True}) \\ \text{false}: \texttt{Bool} & (\text{T-False}) \\ \\ \frac{\texttt{t}_1: \texttt{Bool}}{\texttt{if}} & \texttt{t}_2: \texttt{T} & \texttt{t}_3: \texttt{T} \\ \hline \\ \text{if} & \texttt{t}_1 & \texttt{then} & \texttt{t}_2 & \texttt{else} & \texttt{t}_3: \texttt{T} \\ \\ 0: \texttt{Nat} & (\text{T-Zero}) \\ \\ \\ \frac{\texttt{t}_1: \texttt{Nat}}{\texttt{succ}} & \texttt{t}_1: \texttt{Nat} \\ \hline \\ \frac{\texttt{t}_1: \texttt{Nat}}{\texttt{pred}} & \texttt{t}_1: \texttt{Nat} \\ \\ \\ \hline \\ \frac{\texttt{t}_1: \texttt{Nat}}{\texttt{pred}} & (\texttt{T-Pred}) \\ \\ \\ \\ \frac{\texttt{t}_1: \texttt{Nat}}{\texttt{iszero}} & \texttt{t}_1: \texttt{Bool} \\ \end{array}$$

## Typing Derivations

Every pair (t,T) in the typing relation can be justified by a derivation tree built from instances of the inference rules.

$$\frac{\text{T-ZERO}}{\text{0: Nat}} \text{T-IsZERO} \qquad \frac{\text{T-ZERO}}{\text{0: Nat}} \text{T-PRED}$$

$$\frac{\text{iszero 0: Bool}}{\text{if iszero 0 then 0 else pred 0: Nat}} \text{T-IsZERO} \qquad \frac{\text{T-IsZERO}}{\text{pred 0: Nat}} \text{T-IsZERO}$$

Proofs of properties about the typing relation often proceed by induction on typing derivations.

## Imprecision of Typing

Like other static program analyses, type systems are generally *imprecise*: they do not predict exactly what kind of value will be returned by every program, but just a conservative (safe) approximation.

$$\frac{\mathtt{t}_1 : \mathtt{Bool} \qquad \mathtt{t}_2 : \mathtt{T} \qquad \mathtt{t}_3 : \mathtt{T}}{\mathtt{if} \ \mathtt{t}_1 \ \mathtt{then} \ \mathtt{t}_2 \ \mathtt{else} \ \mathtt{t}_3 : \mathtt{T}} \tag{T-IF}$$

Using this rule, we cannot assign a type to

if true then 0 else false

even though this term will certainly evaluate to a number.

#### Type Safety

The safety (or soundness) of this type system can be expressed by two properties:

- 1. Progress: A well-typed term is not stuck
  - If t:T, then either t is a value or else  $t\longrightarrow t'$  for some t'.
- ${\hbox{$2$. $\textit{Preservation:} $T$ypes are preserved by one-step evaluation}}\\$

If t: T and  $t \longrightarrow t'$ , then t': T.

#### Inversion

#### I emma:

```
1. If true : R, then R = Bool.
2. If false: R, then R = Bool.
3. If if t_1 then t_2 else t_3: R, then t_1: Bool, t_2: R, and
   t_3:R.
4. If 0 : R, then R = Nat.
5. If succ t_1 : R, then R = Nat and t_1 : Nat.
6. If pred t_1: R, then R = Nat and t_1: Nat.
7. If iszero t_1 : R, then R = Bool and t_1 : Nat.
```

#### Inversion

#### Lemma:

```
1. If true : R, then R = Bool.
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 3. If if t_1 then t_2 else t_3 : R, then t_1 : Bool, t_2 : R, and
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 6. If pred t_1: R, then R = Nat and t_1: Nat.
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Proof: ...
```

#### Inversion

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 4. If 0 : R, then R = Nat.
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 6. If pred t_1: R, then R = Nat and t_1: Nat.
 7. If iszero t_1: R, then R = Bool and t_1: Nat.
Proof: ...
```

This leads directly to a recursive algorithm for calculating the type of a term...

#### Typechecking Algorithm

```
typeof(t) = if t = true then Bool
            else if t = false then Bool
            else if t = if t1 then t2 else t3 then
             let T1 = typeof(t1) in
              let T2 = typeof(t2) in
             let T3 = typeof(t3) in
              if T1 = Bool and T2=T3 then T2
             else "not typable"
            else if t = 0 then Nat
            else if t = succ t1 then
             let T1 = typeof(t1) in
              if T1 = Nat then Nat else "not typable"
            else if t = pred t1 then
             let T1 = typeof(t1) in
             if T1 = Nat then Nat else "not typable"
            else if t = iszero t1 then
             let T1 = typeof(t1) in
              if T1 = Nat then Bool else "not typable"
```

## Properties of the Typing Relation

#### Recall: Typing Rules true : Bool (T-True) false : Bool (T-False) $\mathtt{t}_1:\mathtt{Bool}$ $\mathtt{t}_2:\mathtt{T}$ $\mathtt{t}_3:\mathtt{T}$ (T-IF) if $t_1$ then $t_2$ else $t_3:T$ 0 : Nat (T-Zero) $t_1: Nat$ (T-Succ) $\verb+succ+t_1 : \verb+Nat+$ t<sub>1</sub> : Nat (T-Pred) $pred t_1 : Nat$ t<sub>1</sub>: Nat (T-IsZero) $iszero t_1 : Bool$

#### Recall: Inversion

#### Lemma:

```
1. If true : R, then R = Bool.
2. If false: R, then R = Bool.
3. If if \mathtt{t}_1 then \mathtt{t}_2 else \mathtt{t}_3:\mathtt{R}\text{, then }\mathtt{t}_1:\mathtt{Bool}\text{, }\mathtt{t}_2:\mathtt{R}\text{, and}
    t_3:R.
4. If 0 : R, then R = Nat.
5. If succ t_1: R, then R = Nat and t_1: Nat.
6. If pred t_1: R, then R = Nat and t_1: Nat.
7. If iszero t_1: R, then R = Bool and t_1: Nat.
```

## Canonical Forms

## Lemma:

- 1. If v is a value of type Bool, then v is either true or false.
- 2. If v is a value of type Nat, then v is a numeric value.

#### Proof:

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#### *Proof:* Recall the syntax of values:

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#### Proof: Recall the syntax of values:

For part 1, if v is true or false, the result is immediate. But v cannot be 0 or succ nv, since the inversion lemma tells us that v would then have type Nat, not Bool.

## Canonical Forms

#### Lemma:

- 1. If v is a value of type Bool, then v is either true or false.
- 2. If v is a value of type Nat, then v is a numeric value.

#### Proof: Recall the syntax of values:

```
        v ::=
        values

        true
        true value

        false
        false value

        nv
        numeric value

        nv ::=
        numeric values

        0
        zero value

        succ nv
        successor value
```

For part 1, if v is true or false, the result is immediate. But v cannot be 0 or succ nv, since the inversion lemma tells us that v would then have type Nat, not Bool. Part 2 is similar.

Theorem: Suppose t is a well-typed term (that is, t: T for some type T). Then either t is a value or else there is some t' with  $t \longrightarrow t'$ .

## **Progress**

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*Proof:* By induction on a derivation of t : T.

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The T-T-FALSE, and T-ZERO cases are immediate, since t in these cases is a value.

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*Proof:* By induction on a derivation of t:T.

The T-T-FALSE, and T-ZERO cases are immediate, since t in these cases is a value.

By the induction hypothesis, either  $\mathbf{t}_1$  is a value or else there is some  $\mathbf{t}_1'$  such that  $\mathbf{t}_1 \longrightarrow \mathbf{t}_1'$ . If  $\mathbf{t}_1$  is a value, then the canonical forms lemma tells us that it must be either  $\mathbf{t}$ rue or  $\mathbf{f}$ alse, in which case either  $\mathbf{E}$ -IFTRUE or  $\mathbf{E}$ -IFFALSE applies to  $\mathbf{t}$ . On the other hand, if  $\mathbf{t}_1 \longrightarrow \mathbf{t}_1'$ , then, by  $\mathbf{E}$ -IF,

 $t \longrightarrow \text{if } t_1' \text{ then } t_2 \text{ else } t_3.$ 

## **Progress**

Theorem: Suppose t is a well-typed term (that is, t: T for some type T). Then either t is a value or else there is some t' with  $t \longrightarrow t'$ .

 ${\it Proof:}\ {\sf By}\ {\sf induction}\ {\sf on}\ {\sf a}\ {\sf derivation}\ {\sf of}\ {\sf t}\ :\ {\sf T}.$ 

The cases for rules T-ZERO, T-SUCC, T-PRED, and T-IsZERO are similar

(Recommended: Try to reconstruct them.)

## Preservation

Theorem: If t : T and t  $\longrightarrow$  t', then t' : T.

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Theorem: If t:T and  $t\longrightarrow t'$ , then t':T.

*Proof:* By induction on the given typing derivation.

## Preservation

Theorem: If t : T and  $t \longrightarrow t'$ , then t' : T.

*Proof:* By induction on the given typing derivation.

Case T-TRUE: t = true T = Bool

Then t is a value.

## Preservation

Theorem: If t:T and  $t\longrightarrow t'$ , then t':T.

 ${\it Proof:}\ \, {\rm By\ induction\ on\ the\ given\ typing\ derivation}.$ 

Case T-IF:

 $\mathtt{t} = \mathtt{if} \ \mathtt{t}_1 \ \mathtt{then} \ \mathtt{t}_2 \ \mathtt{else} \ \mathtt{t}_3 \ \mathtt{t}_1 : \mathtt{Bool} \ \mathtt{t}_2 : \mathtt{T} \ \mathtt{t}_3 : \mathtt{T}$ 

There are three evaluation rules by which  $t\longrightarrow t'$  can be derived: E-IFTRUE, E-IFFALSE, and E-IF. Consider each case separately.

## Preservation

Theorem: If t : T and t  $\longrightarrow$  t', then t' : T.

*Proof:* By induction on the given typing derivation.

Case  $\operatorname{T-IF}$ :

```
\mathsf{t} = \mathsf{if} \ \mathsf{t}_1 \ \mathsf{then} \ \mathsf{t}_2 \ \mathsf{else} \ \mathsf{t}_3 \ \mathsf{t}_1 : \mathsf{Bool} \ \mathsf{t}_2 : \mathsf{T} \ \mathsf{t}_3 : \mathsf{T}
```

There are three evaluation rules by which  $t\longrightarrow t'$  can be derived: E-IFTRUE, E-IFFALSE, and E-IF. Consider each case separately.

 $\label{eq:Subcase} \begin{array}{lll} \textit{Subcase} \; E\text{-}IFTRUE: & t_1 = true & t' = t_2 \\ \\ \text{Immediate, by the assumption} \; t_2 : T. \end{array}$ 

(E-IFFALSE subcase: Similar.)

## Preservation

```
Theorem: If t:T and t\longrightarrow t', then t':T.
```

*Proof:* By induction on the given typing derivation.

Case T-IF:

```
\mathsf{t} = \mathsf{if} \ \mathsf{t}_1 \ \mathsf{then} \ \mathsf{t}_2 \ \mathsf{else} \ \mathsf{t}_3 \quad \mathsf{t}_1 : \mathsf{Bool} \quad \mathsf{t}_2 : \mathsf{T} \quad \mathsf{t}_3 : \mathsf{T}
```

There are three evaluation rules by which  $t\longrightarrow t'$  can be derived: E-IFTRUE, E-IFFALSE, and E-IF. Consider each case separately.

```
Subcase E-IF: t_1 \longrightarrow t_1' t'=if t_1' then t_2 else t_3 Applying the IH to the subderivation of t_1: Bool yields t_1': Bool. Combining this with the assumptions that t_2: T and t_3: T, we can apply rule T-IF to conclude that if t_1' then t_2 else t_3: T, that is, t': T.
```

## Messing With It

#### Messing with it: Remove a rule

What if we remove E-PREDZERO ?

#### Messing with it: Remove a rule

What if we remove E-PREDZERO ?

Then  ${\tt pred}\ 0$  type checks, but it is stuck and is not a value. Thus the progress theorem fails.

## Messing with it: If

What if we change the rule for typing if's to the following?:

$$\frac{\texttt{t}_1 : \texttt{Bool} \qquad \texttt{t}_2 : \texttt{Nat} \qquad \texttt{t}_3 : \texttt{Nat}}{\texttt{if} \ \texttt{t}_1 \ \texttt{then} \ \texttt{t}_2 \ \texttt{else} \ \texttt{t}_3 : \texttt{Nat}} \tag{T-IF}$$

## Messing with it: If

What if we change the rule for typing  ${\tt if}$ 's to the following?:

$$\frac{\texttt{t}_1 : \texttt{Bool} \qquad \texttt{t}_2 : \texttt{Nat} \qquad \texttt{t}_3 : \texttt{Nat}}{\texttt{if} \ \texttt{t}_1 \ \texttt{then} \ \texttt{t}_2 \ \texttt{else} \ \texttt{t}_3 : \texttt{Nat}} \tag{T-IF}$$

The system is still sound. Some  ${\tt if}$ 's do not type, but those that do are fine.

## Meassing with it: adding bit

 $\begin{array}{ll} \textbf{t} & ::= & \textit{terms} \\ & ... \\ & \textit{bit}(t) & \textit{boolean to natural} \end{array}$ 

- 1. evaluation rule
- 2. typing rule
- 3. progress and preservation updates

The Simply Typed Lambda-Calculus

## The simply typed lambda-calculus

The system we are about to define is commonly called the simply typed lambda-calculus, or  $\lambda_{\to}$  for short.

Unlike the untyped lambda-calculus, the "pure" form of  $\lambda_{\rightarrow}$  (with no primitive values or operations) is not very interesting; to talk about  $\lambda_{\rightarrow}$ , we always begin with some set of "base types."

- ▶ So, strictly speaking, there are many variants of  $\lambda_{\rightarrow}$ , depending on the choice of base types.
- ► For now, we'll work with a variant constructed over the booleans.

#### Untyped lambda-calculus with booleans

```
t ::=
                                              terms
                                                variable
        \lambda x.t
                                                abstraction
        t t
                                                application
                                                constant true
        true
        false
                                                constant false
        if t then t else t
                                                conditional
v ::=
                                              values
        \lambda {\tt x.t}
                                                abstraction value
                                                true value
        true
        false
                                                false value
```

## "Simple Types"

 $\begin{array}{ccc} T & ::= & & \\ & Bool \\ & T{\rightarrow} T & \end{array}$ 

types type of booleans types of functions

What are some examples?

#### Type Annotations

We now have a choice to make. Do we...

annotate lambda-abstractions with the expected type of the argument

$$\lambda x \colon T_1$$
.  $t_2$ 

(as in most mainstream programming languages), or

continue to write lambda-abstractions as before

$$\lambda x$$
.  $t_2$ 

and ask the typing rules to "guess" an appropriate annotation (as in  $\mathsf{OCaml}$ )?

Both are reasonable choices, but the first makes the job of defining the typing rules simpler. Let's take this choice for now.

## Typing rules

$$\frac{\texttt{t}_1: \texttt{Bool} \qquad \texttt{t}_2: \texttt{T} \qquad \texttt{t}_3: \texttt{T}}{\texttt{if} \ \texttt{t}_1 \ \texttt{then} \ \texttt{t}_2 \ \texttt{else} \ \texttt{t}_3: \texttt{T}} \tag{T-IF}$$

## Typing rules

$$\frac{\mathtt{t}_1: \mathtt{Bool} \qquad \mathtt{t}_2: \mathtt{T} \qquad \mathtt{t}_3: \mathtt{T}}{\mathtt{if} \ \mathtt{t}_1 \ \mathtt{then} \ \mathtt{t}_2 \ \mathtt{else} \ \mathtt{t}_3: \mathtt{T}} \qquad \qquad (\mathtt{T-IF})$$

$$\frac{???}{\lambda x: T_1. t_2: T_1 \rightarrow T_2}$$
 (T-Abs)

### Typing rules

$$\frac{\texttt{t}_1 : \texttt{Bool} \qquad \texttt{t}_2 : \texttt{T} \qquad \texttt{t}_3 : \texttt{T}}{\texttt{if} \ \texttt{t}_1 \ \texttt{then} \ \texttt{t}_2 \ \texttt{else} \ \texttt{t}_3 : \texttt{T}} \qquad \qquad \texttt{(T-IF)}$$

$$\frac{\Gamma,\,x\!:\!T_1\vdash\,t_2\,:\,T_2}{\Gamma\vdash\lambda x\!:\!T_1.t_2\,:\,T_1\!\to\!T_2} \tag{T-Abs}$$

$$\frac{x:T\in\Gamma}{\Gamma\vdash x:T} \tag{T-VAR}$$

## Typing rules

$$\frac{\Gamma \vdash t_1 : Bool \qquad \Gamma \vdash t_2 : T \qquad \Gamma \vdash t_3 : T}{\Gamma \vdash \text{if } t_1 \text{ then } t_2 \text{ else } t_3 : T} \quad \text{($T$-IF$)}$$

$$\frac{\Gamma, \, x\!:\!T_1 \vdash \, t_2 \,:\, T_2}{\Gamma \vdash \lambda x\!:\!T_1.\, t_2 \,:\, T_1 \!\to\! T_2} \tag{T-Abs}$$

$$\frac{x\!:\!T\in\Gamma}{\Gamma\vdash x:T} \tag{T-Var}$$

$$\frac{\Gamma \vdash \mathtt{t}_1 : \mathtt{T}_{11} {\rightarrow} \mathtt{T}_{12} \qquad \Gamma \vdash \mathtt{t}_2 : \mathtt{T}_{11}}{\Gamma \vdash \mathtt{t}_1 \ \mathtt{t}_2 : \mathtt{T}_{12}} \qquad \text{(T-App)}$$

## Typing Derivations

What derivations justify the following typing statements?

- ightharpoonup  $\vdash$  ( $\lambda$ x:Bool.x) true : Bool
- ► f:Bool→Bool ⊢

f (if false then true else false) : Bool

► f:Bool→Bool ⊢

 $\lambda x : Bool.$  f (if x then false else x) :  $Bool \rightarrow Bool$ 

## Properties of $\lambda_{\rightarrow}$

The fundamental property of the type system we have just defined is *soundness* with respect to the operational semantics.

- 1. Progress: A closed, well-typed term is not stuck  $\textit{If} \vdash t : \textit{T, then either } t \textit{ is a value or else } t \longrightarrow t' \textit{ for some } t'.$
- 2. Preservation: Types are preserved by one-step evaluation If  $\Gamma \vdash t : T$  and  $t \longrightarrow t'$ , then  $\Gamma \vdash t' : T$ .

## Proving progress

Same steps as before...

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Same steps as before...

- ▶ inversion lemma for typing relation
- canonical forms lemma
- progress theorem

## Inversion

#### Lemma:

```
1. If \Gamma \vdash \mathtt{true} : R, then R = Bool.
2. If \Gamma \vdash false : R, then R = Bool.
3. If \Gamma \vdash \text{if } t_1 \text{ then } t_2 \text{ else } t_3 : R \text{, then } \Gamma \vdash t_1 : Bool \text{ and }
     \Gamma \vdash t_2, t_3 : R.
```

## Inversion

#### Lemma:

```
1. If \Gamma \vdash \mathtt{true} : R, then R = Bool.
 2. If \Gamma \vdash false : R, then R = Bool.
 3. If \Gamma \vdash \text{if } t_1 \text{ then } t_2 \text{ else } t_3 : R \text{, then } \Gamma \vdash t_1 : Bool \text{ and }
     \Gamma \vdash t_2, t_3 : R.
 4. If \Gamma \vdash x : R, then
```

#### Inversion

#### Lemma:

```
1. If \Gamma \vdash \text{true} : R, then R = Bool.
 2. If \Gamma \vdash false : R, then R = Bool.
 3. If \Gamma \vdash \mathtt{if} \ t_1 \ \mathtt{then} \ t_2 \ \mathtt{else} \ t_3 : R \mathtt{, then} \ \Gamma \vdash t_1 : \mathtt{Bool} \ \mathtt{and}
      \Gamma \vdash t_2, t_3 : R.
 4. If \Gamma \vdash x : R, then x : R \in \Gamma.
```

## Inversion

```
Lemma:
 1. If \Gamma \vdash \text{true} : R, then R = Bool.
   2. If \Gamma \vdash false : R, then R = Bool.
   3. If \Gamma \vdash \mathtt{if} \ \mathtt{t}_1 \ \mathtt{then} \ \mathtt{t}_2 \ \mathtt{else} \ \mathtt{t}_3 : R \mathtt{, then} \ \Gamma \vdash \mathtt{t}_1 : \mathtt{Bool} \ \mathtt{and}
        \Gamma \vdash t_2, t_3 : R.
   4. If \Gamma \vdash x : R, then x : R \in \Gamma.
   5. If \Gamma \vdash \lambda x:T_1.t_2:R, then
```

## Inversion

#### Lemma:

```
1. If \Gamma \vdash \mathtt{true} : R, then R = Bool.
```

- 2. If  $\Gamma \vdash false : R$ , then R = Bool.
- 3. If  $\Gamma\vdash$  if  $t_1$  then  $t_2$  else  $t_3:R,$  then  $\Gamma\vdash t_1:$  Bool and  $\Gamma\vdash t_2,t_3:R.$
- 4. If  $\Gamma \vdash x : R$ , then  $x : R \in \Gamma$ .
- 5. If  $\Gamma\vdash \lambda x\colon T_1\:.\:t_2:R$  , then  $R=T_1{\to}R_2$  for some  $R_2$  with  $\Gamma,\:x\colon T_1\vdash \:t_2:R_2.$

## Inversion

#### Lemma:

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1. If \Gamma \vdash \mathtt{true} : R, then R = Bool.
```

- 2. If  $\Gamma \vdash false : R$ , then R = Bool.
- 3. If  $\Gamma\vdash$  if  $t_1$  then  $t_2$  else  $t_3:R,$  then  $\Gamma\vdash t_1:$  Bool and  $\Gamma\vdash t_2,t_3:R.$
- 4. If  $\Gamma \vdash x : R$ , then  $x : R \in \Gamma$ .
- 5. If  $\Gamma \vdash \lambda x\!:\!T_1.t_2:R_t$  then  $R=T_1\!\to\!R_2$  for some  $R_2$  with  $\Gamma,\,x\!:\!T_1 \vdash \ t_2:R_2.$
- 6. If  $\Gamma \vdash t_1 \ t_2 : R$ , then

#### Inversion

## Lemma:

- 1. If  $\Gamma \vdash \mathtt{true} : \mathtt{R}$ , then  $\mathtt{R} = \mathtt{Bool}$ .
- 2. If  $\Gamma \vdash false : R$ , then R = Bool.
- 3. If  $\Gamma\vdash$  if  $t_1$  then  $t_2$  else  $t_3:R,$  then  $\Gamma\vdash t_1:$  Bool and  $\Gamma\vdash t_2,t_3:R.$
- 4. If  $\Gamma \vdash x : R$ , then  $x : R \in \Gamma$ .
- 5. If  $\Gamma\vdash \lambda x\!:\!T_1.t_2:R$  , then  $R=T_1{\to}R_2$  for some  $R_2$  with  $\Gamma,\,x\!:\!T_1\vdash\ t_2:R_2.$
- 6. If  $\Gamma \vdash t_1 \ t_2 : R$ , then there is some type  $T_{11}$  such that  $\Gamma \vdash t_1 : T_{11} {\rightarrow} R$  and  $\Gamma \vdash t_2 : T_{11}$ .

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- 1. If v is a value of type Bool, then v is either true or false.
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Theorem: Suppose t is a closed, well-typed term (that is,  $\vdash$  t : T for some T). Then either t is a value or else there is some t' with t  $\longrightarrow$  t'.

Proof: By induction

## **Progress**

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Proof: By induction on typing derivations.

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Consider the case for application, where  $\mathtt{t}=\mathtt{t}_1 \ \mathtt{t}_2$  with  $\vdash \mathtt{t}_1 : \mathtt{T}_{11} {\rightarrow} \mathtt{T}_{12}$  and  $\vdash \mathtt{t}_2 : \mathtt{T}_{11}$ .

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Theorem: Suppose t is a closed, well-typed term (that is,  $\vdash$  t : T for some T). Then either t is a value or else there is some t' with t  $\longrightarrow$  t'.

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Consider the case for application, where  $\mathbf{t}=\mathbf{t}_1~\mathbf{t}_2$  with  $\vdash \mathbf{t}_1:T_{11}{\rightarrow}T_{12}$  and  $\vdash \mathbf{t}_2:T_{11}.$  By the induction hypothesis, either  $\mathbf{t}_1$  is a value or else it can make a step of evaluation, and likewise  $\mathbf{t}_2.$  If  $\mathbf{t}_1$  can take a step, then rule E-APP1 applies to  $\mathbf{t}.$  If  $\mathbf{t}_1$  is a value and  $\mathbf{t}_2$  can take a step, then rule E-APP2 applies. Finally, if both  $\mathbf{t}_1$  and  $\mathbf{t}_2$  are values, then the canonical forms lemma tells us that  $\mathbf{t}_1$  has the form  $\lambda x{:}T_{11}.\mathbf{t}_{12},$  and so rule E-APPABS applies to  $\mathbf{t}.$