

Let X/S be smooth connected of relative dimension d .

At some point, we will assume $S = \operatorname{Spec} k$, with $k = \bar{k}$ of characteristic p , and X/S smooth proper connected group scheme of (relative) dimension d , i.e., abelian variety. Essential assumptions will be point out where it is used.

$$\begin{array}{ccc}
 & \mathbf{T}_{X^{(1)}/S}^* & \mathcal{D}_{X/S} := \widetilde{F_{X/S,*} D_{X/S}} \\
 & \downarrow \pi & \\
 \text{sheaf of PD-differential} & X \xrightarrow{F_{X/S}} X^{(1)} & F_{X/S,*} D_{X/S} \\
 \text{operators (of level 0)} & & \\
 D_{X/S} = D_{X/S}^{(0)} & &
 \end{array}$$

$F_{X/S}$ is flat locally free of rank p^d .

$\mathcal{D}_{X/S}$ is an Azumaya algebra over $\mathbf{T}_{X/S}^*$ of rank p^{2d} .

For quasi-coherent \mathcal{O}_S -module \mathcal{E} ,

$$\mathbf{V}(\mathcal{E}) := \operatorname{Spec} \operatorname{Sym}^\bullet \mathcal{E}^\vee \rightarrow S$$

1 Morita

$D \cong \operatorname{End}_R(P)$, then

$$\begin{array}{ccc}
 \operatorname{Mod}_R & \longleftrightarrow & \operatorname{Mod}_D \\
 F & \longmapsto & P \otimes_R F \\
 \operatorname{Hom}_A(P, G) & \longleftarrow & G
 \end{array}$$

2 Spectral Cover

Fix an $\theta : \mathcal{O}_X$ -linear map $E \rightarrow E \otimes_{\mathcal{O}_X} \Omega_{X/S}^1$, with $\operatorname{rk} E = n$.

Tautological section

$$\lambda : \mathcal{O}_{\mathbf{T}_{X/S}^*} \rightarrow \pi^* \Omega_{X/S}^1,$$

tensoring with $\pi^* E$:

$$\lambda : \pi^* E \rightarrow (\pi^* E) \otimes_{\mathcal{O}_{\mathbf{T}_{X/S}^*}} (\pi^* \Omega_{X/S}^1)$$

Pullback θ to $\mathbf{T}_{X/S}^*$:

$$\theta : \pi^* E \rightarrow (\pi^* E) \otimes_{\mathcal{O}_{\mathbf{T}_{X/S}^*}} (\pi^* \Omega_{X/S}^1)$$

Then

$$\bigwedge^n E \xrightarrow{\bigwedge^n} \bigwedge^n (\pi^* E \otimes \pi^* \Omega_{X/S}^1) \longrightarrow \bigwedge^n (\pi^* E) \otimes \operatorname{Sym}^n (\pi^* \Omega_{X/S}^1).$$

Thus get

$$\begin{aligned}\chi(\theta) \in \Gamma(T_{X/S}^*, \text{Sym}^n(\pi^* \Omega_{X/S}^1)) &= \Gamma(T_{X/S}^*, \pi^* \text{Sym}^n \Omega_{X/S}^1) \\ &= \Gamma(X, \text{Sym}^n \Omega_{X/S}^1 \otimes_{\mathcal{O}_X} \text{Sym}^\bullet(\Omega_{X/S}^1)^\vee)\end{aligned}$$

Note that $\pi^* \text{Sym}^n \Omega_{X/S}^1$ is locally free of rank $\binom{d+n-1}{n}$ over $T_{X/S}^*$. So the global section $\chi(\theta)$ defines a closed subscheme

$$i : Y_\chi \hookrightarrow T_{X/S}^*$$

i.e., the vanishing locus of $\chi(\theta)$.

2.1 More details

$\chi(\theta)$ can be written as

$$\lambda^n - a_1 \lambda^{n-1} + \cdots + (-1)^n a_n$$

with $a_i \in \Gamma(X, \text{Sym}^i \Omega_{X/S}^1) \rightarrow \Gamma(T_{X/S}^*, \pi^* \text{Sym}^i \Omega_{X/S}^1)$ corresponds to the *trace* of the following map

$$\wedge^i(E) \xrightarrow{\wedge^i} \wedge^i(E \otimes_{\mathcal{O}_X} \Omega_{X/S}^1) \longrightarrow \wedge^i E \otimes_{\mathcal{O}_X} \text{Sym}^i \Omega_{X/S}^1.$$

3 BNR for Higgs bundles

We have equivalence of categories

| | |
|--|---|
| $\begin{array}{ccc} Y_{\mathcal{X}_T^n} & \longrightarrow & Y_{\mathcal{X}^n} \\ \downarrow & & \downarrow i \\ T_{\mathcal{X}_T^{(1)}/T}^* & \longrightarrow & T_{X^{(1)}/S}^* \\ \downarrow & & \downarrow p \\ X_T^{(1)} & \longrightarrow & X^{(1)} \\ \downarrow & & \downarrow \\ T & \longrightarrow & S \end{array}$ | $\mathcal{O}_{Y_{\mathcal{X}^n}}$ -module $\mathcal{F} := \tilde{F}$ $\mathcal{D}_{X/S}$ Higgs bundle $(F := (\pi \circ i)_* \mathcal{F}, \theta)$ of rank n |
|--|---|

4 BNR for local systems

$$\begin{array}{ccc}
 Y_{\chi^n} & \longrightarrow & Y_{\chi^n} \\
 \downarrow i_T & & \downarrow i \\
 T_{X_T^{(1)}/T}^* & \longrightarrow & T_{X^{(1)}/S}^* \\
 \downarrow & \swarrow X_T & \downarrow p \\
 & F_{X_T/T} & \\
 X_T^{(1)} & \longrightarrow & X^{(1)} \\
 \downarrow & & \downarrow \\
 T & \longrightarrow & S
 \end{array}
 \quad
 \begin{array}{l}
 (i^* \mathcal{D}_{X/S})\text{-module} \\
 \mathcal{E} := \overline{F_{X/S,*} E} \\
 \\
 \mathcal{D}_{X/S} \\
 \\
 \text{Local system} \\
 (E = \overline{F_{X/S,*} E}, \nabla) \\
 \text{of rank } n \\
 \\
 \text{Higgs bundle} \\
 (F_{X/S,*} E = (\pi \circ i)_* \mathcal{E}, \psi_\nabla) \\
 \text{of rank } p^d n
 \end{array}$$

4.1 Two ways to get the degree n characteristic polynomial

1. Consider the F -Higgs bundle $E \rightarrow E \otimes_{\mathcal{O}_X} F_{X/S}^* \Omega_{X^{(1)}/S}^1$, whose characteristic polynomial is of order n , but coefficients a priori lie in $\Gamma(X, F_{X/S}^* \text{Sym}^n(\Omega_{X^{(1)}/S}^1))$. Then use a fact by N. Katz which stating that $[\psi_\nabla(D), \nabla_{D'}] = 0$ for any $D, D' \in (\Omega_{X/S}^1)^\vee$. Then Cartier descent shows that the χ is horizontal with respect to the canonical connection ∇^{can} of $F_{X/S}^* \text{Sym}^n(\Omega_{X^{(1)}/S}^1)$.
2. Consider the Higgs bundle $(F_{X/S,*} E) \rightarrow (F_{X/S,*} E) \otimes_{\mathcal{O}_{X^{(1)}}} \Omega_{X^{(1)}/S}^1$. Its characteristic polynomial has coefficients in $\Gamma(X^{(1)}, \Omega_{X^{(1)}}^1)$, but it has degree $p^d n$. One use Morita and BNR for Higgs to show $\chi = (\chi')^{p^d}$ for some χ' with $\deg \chi' = n$.

5 Correspondence

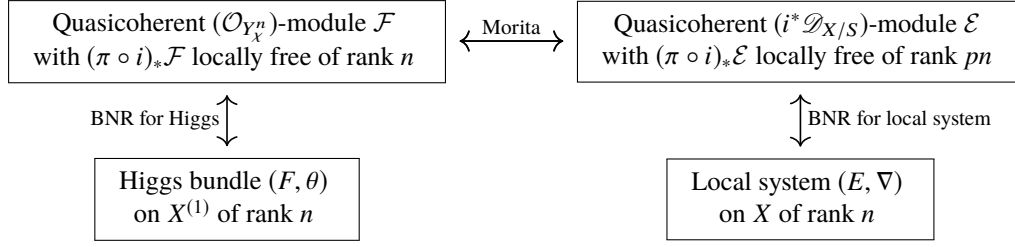
Given

$$\chi^n : S \rightarrow \mathbf{V} \left(\bigoplus_{i=1}^n \Gamma(X^{(1)}, \text{Sym}^i \Omega_{X^{(1)}/S}^1) \right)$$

It determines $\chi^n \in \Gamma(T_{X/S}^*, \pi^* \text{Sym}^n \Omega_{X^{(1)}/S}^1)$, and $i : Y_{\chi^n} \hookrightarrow T_{X^{(1)}/S}^*$.

$$i^* \mathcal{D}_{X/S} \cong \text{End}_{Y_{\chi^n}}(\mathcal{P}),$$

then



5.1 General case

The above works for any test scheme $T \rightarrow S$ with

$$\chi_T^n : T \rightarrow \mathbf{V}\left(\bigoplus_{i=1}^n \Gamma(X^{(1)}, \text{Sym}^i \Omega_{X^{(1)}/S}^1)\right)$$

6 Existence of Splittings

Assume X/S abelian variety, $S = \text{Spec } k$.

6.1 Line Bundle Case

$\chi : S \rightarrow \mathbf{V}\left(\bigoplus_{i=1}^n \Gamma(X^{(1)}, \text{Sym}^i \Omega_{X^{(1)}/S}^1)\right)$ over S defines a closed subscheme $Y_\chi \hookrightarrow T_{X^{(1)}/S}^*$. (This is just the closed subscheme of the cotangent bundle of $X^{(1)}/S$ defined by a one-form.)

Fact 1. $i^*\mathcal{D}_{X/S}$ splits if and only if χ factors through

$$\psi : \text{Pic}_{X/S}^h \rightarrow \mathbf{V}(\Gamma(X^{(1)}, \Omega_{X^{(1)}/S}^1)).$$

(This works for general $\chi_T : T \rightarrow \mathbf{V}\left(\bigoplus_{i=1}^n \Gamma(X^{(1)}, \text{Sym}^i \Omega_{X^{(1)}/S}^1)\right)$.)

Fact 2. ψ is *smooth surjective*.

Conclusion 1. These facts imply that for any S -point χ (i.e., k -point), $i^*\mathcal{D}_{X/S}$ splits over the formal neighborhood of Y_χ .

6.2 General Case

$\chi : S \rightarrow \mathbf{V}(\Gamma(X^{(1)}, \text{Sym}^n \Omega_{X^{(1)}/S}^1))$ over S defines a closed subscheme $i : Y_\chi \rightarrow T_{X^{(1)}/S}^*$.

Fact 3. The sheaf of relative differentials $\Omega_{X/S}^1$ is trivial as X is group scheme over $S = \text{Spec } k$.¹ Hence an isomorphism $\Omega_{X^{(1)}/S}^1 \cong (\mathcal{O}_{X^{(1)}/S})^{\oplus d}$ gives isomorphisms

$$\begin{aligned} \pi^* \Omega_{X^{(1)}/S}^1 &\cong \left(\mathcal{O}_{T_{X^{(1)}/S}^*} \right)^{\oplus d}, & \pi^* \text{Sym}^n \Omega_{X^{(1)}/S}^1 &\cong \left(\mathcal{O}_{T_{X^{(1)}/S}^*} \right)^{\oplus \binom{d+n-1}{n}}, \\ \lambda &\mapsto (\lambda^1, \dots, \lambda^d) & \lambda^n &\mapsto ((\lambda^1)^n, \dots, (\lambda^d)^n, \dots). \end{aligned}$$

Fact 4. The set of global sections $\Gamma(X^{(1)}, \Omega_{X^{(1)}/S}^1)$ consists of only constants, as X/S is proper connected.

Conclusion 2. For any S -point χ , i.e., a symmetric differential form, the closed subscheme Y_χ is a closed subscheme cut out by $\binom{d+n-1}{n}$ monic polynomial equations of degree n with coefficients in $k = \bar{k}$ (Fact 4).

Among these equations, d of them are of the form

$$(\lambda^i)^n - a_1^i (\lambda^i)^{n-1} + \dots + (-1)^n a_n^i, \quad a_j^i \in k, \quad j = 1, \dots, n, i = 1, \dots, d.$$

where λ^i is the component of the tautological section of $\pi^* \Omega_{X^{(1)}/S}^1$ in the i -th part of the decomposition (Fact 3).

These equations can be always written as a product of $(\lambda^i - b_j^i)$'s as k is algebraically closed. These n equations defines a closed subscheme $Y_{\chi'}$ of $T_{X^{(1)}/S}^*$ ²:

$$i : Y_\chi \hookrightarrow Y_{\chi'} \xrightarrow{i'} T_{X^{(1)}/S}^*$$

$Y_{\chi'}$ is a union of d^n (counting multiplicity) closed subschemes defined by 1-forms. Hence $(i')^* \mathcal{D}_{X/S}$ splits over the formal neighborhood of $Y_{\chi'}$ hence³, $i^* \mathcal{D}_{X/S}$ splits over the formal neighborhood of Y_χ .

7 Further discussion

Can consider $\mathcal{D}_{X/S} = \varinjlim D_{X/S}^{(m)}$ -modules instead of local systems, where $\mathcal{D}_{X/S}$ is the differential operators in the sense of Grothendieck and $D_{X/S}^{(m)}$ is the differential operators of level m in the sense of Berthelot.

Fact 5. $D_{X/S}^{(0)}$ -modules is equivalent to flat connections.

\mathcal{O}_X -coherent $\mathcal{D}_{X/S}$ -modules is equivalent to F -divided bundles/stratified bundles.

¹ $\Omega_{G/S}^1 \cong \pi^* e^* \Omega_{G/S}^1$ for any group $\pi : G \rightarrow S$ with identity $e : S \rightarrow G$.

²Some computation evidence suggests that $Y_\chi = Y_{\chi'}$, i.e., the other equations are superfluous.

³Think about it.

Fact 6. $F_{X/S,*}^{m+1} D_{X/S}^{(m)}$ is an Azumaya algebra over $\mathrm{Sym}^\bullet(\Omega_{X^{(m+1)}/S}^1)$.⁴

$$\begin{array}{ccccccc}
 \mathcal{D}_{X/S}^{(0)} & \mathcal{D}_{X/S}^{(1)} & & \mathcal{D}_{X/S}^{(m)} := \widetilde{F_{X/S,*}^{m+1} D_{X/S}^{(m)}} \\
 \downarrow \pi_1 & \downarrow \pi_2 & \cdots & \downarrow \pi_{m+1} \\
 X \xrightarrow{F_{X/S}} X^{(1)} \xrightarrow{F_{X^{(1)}/S}} X^{(2)} \xrightarrow{F_{X^{(2)}/S}} \cdots \longrightarrow X^{(m+1)} \xrightarrow{F_{X^{(m+1)}/S}} \cdots \\
 \searrow F_{X/S}^2 \nearrow & & & \searrow F_{X/S}^{m+1} \nearrow \\
 & & &
 \end{array}$$

The so-called p^{m+1} -curvature of E on X gives a Higgs bundle on $X^{(m+1)}$.

⁴ See for example arXiv:0811.1168. See also Berthelot, Notes on ...