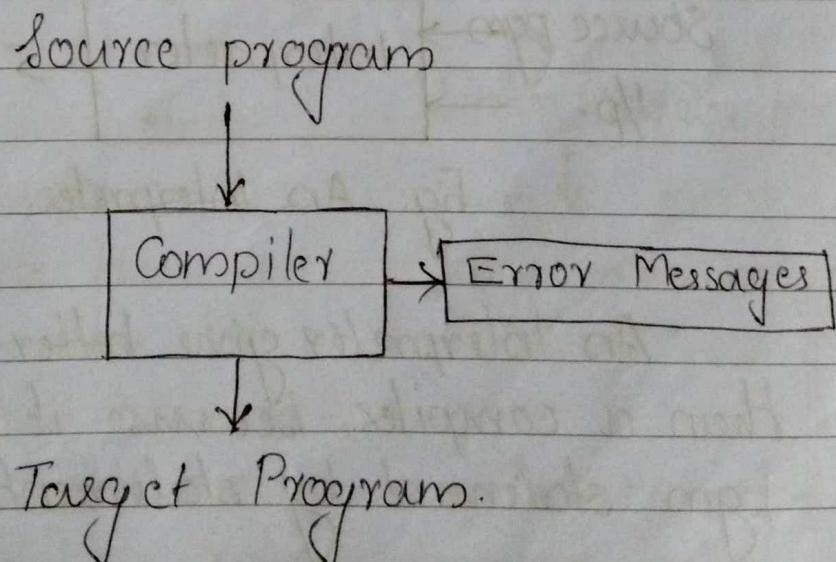


MODULE - 1.

Introduction to Compilers:- Phases of a Compiler- Analysis and synthesis phases- Lexical analysis and its role- Review of finite automata, and Regular Expressions- Specification of tokens using regular expressions- Implementing lexical analyzer using finite automata- Design of lexical analyzer using LEX.

1.1 COMPILER:

- Is a language processor
- A compiler is a program that can read a program in one language (i.e., the source language) and translate it into an equivalent program in another language (i.e., the target language)



An important role of the compiler is to report any errors in the source program that it detects during

The translation process.

If the target pgm is an executable machine language pgm, it can then be called by the user to process I/p's and produce o/p's.

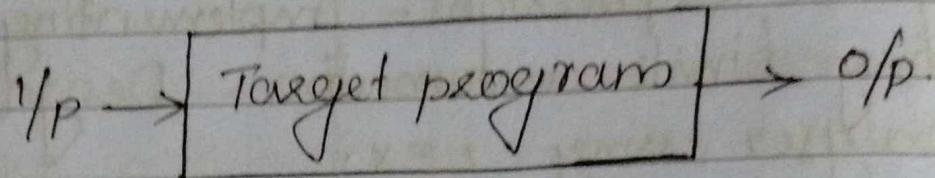


Fig: Running the target program.

1.1.1 INTERPRETER:

- It is a language processor.
- An Interpreter directly executes the opns specified in the source pgm on inputs supplied by the user.

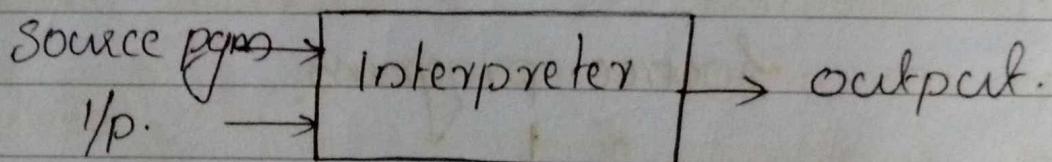
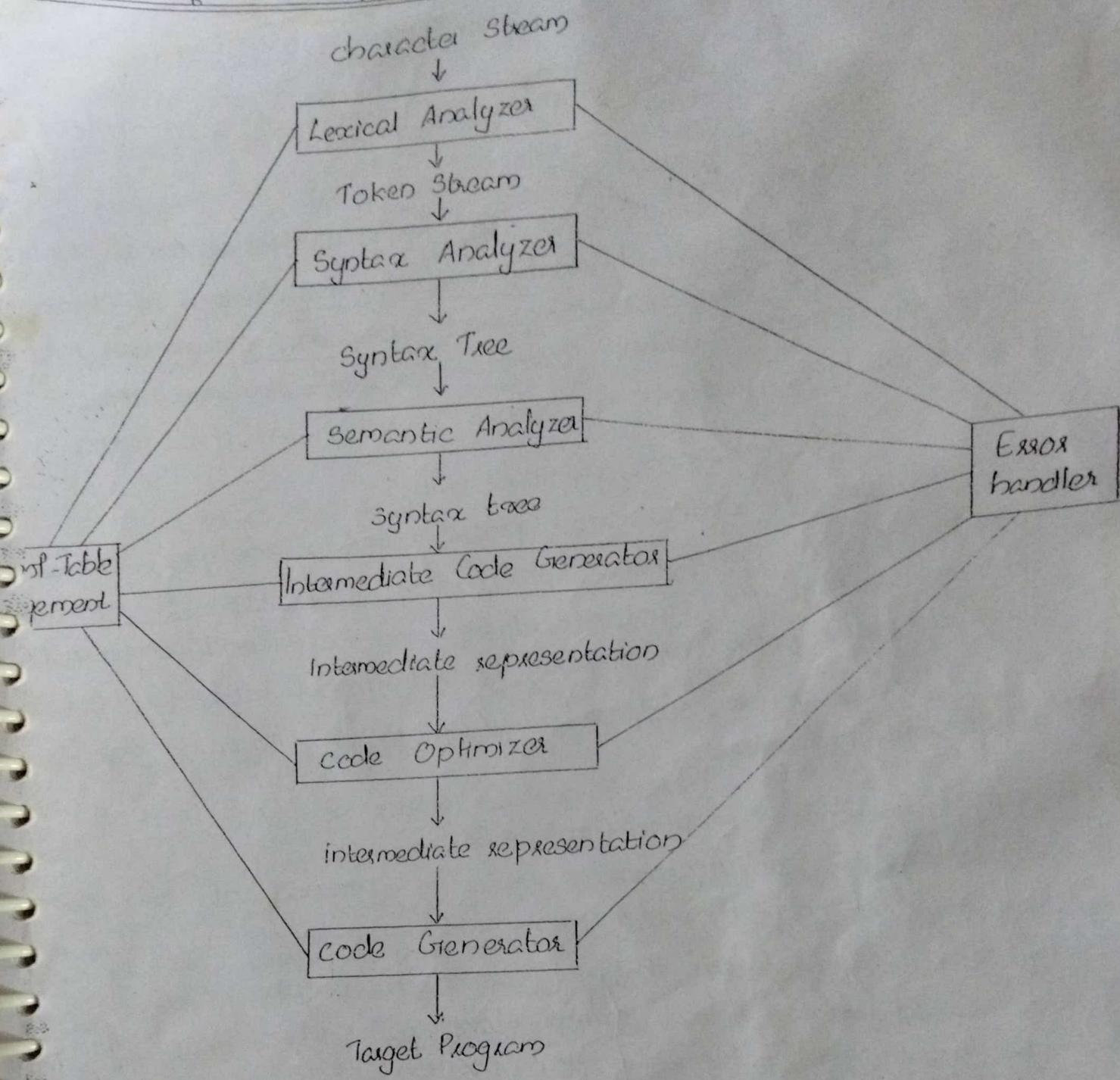


Fig: An Interpreter.

An Interpreter give better error diagnostics than a compiler, because it executes the source pgm statement by statement.

Phases of a Compiler.



Compiler can be broadly divided into two

phases

1. Analysis phase [often called front end of the compiler]
2. Synthesis phase [often called back end of the compiler].

The analysis phase breaks up the source program into pieces (tokens) and imposes a grammatical structure on them. It then uses this structure to create an intermediate representation. The analysis phase also collects information about the source program and stores it in a data structure called a symbol table. If the analysis part detects that the source program is either syntactically ill formed or semantically unsound, it provides error messages.

1.2.1

Lexical Analysis / Scanning

- Performed by Lexical analyzer or Lexer.
- The first phase of a compiler is called lexical Analysis or scanning.
- The lexical analyzer reads the streams of characters making up the source program and groups the

characters into meaningful sequences called lexemes.

- For each lexeme lexical analyzer produces as output a token of the form

< token-name, attribute-value >

which it passes to the next phase which is syntax analysis.

Here,

token-name is an abstract symbol that is used during syntax analysis.

attribute-value \Rightarrow points to an entry in the symbol table for this token.

Eg: If the source pgm contains the following assignment

position = initial + rate * 60.

(The characters in this assignment could be grouped into the following lexemes and mapped into the following tokens passed on to the syntax analyzer.)

After lexical analysis, the sequence of tokens are

<id,1> \Leftrightarrow <id,2> \Leftrightarrow <+> <id,3> \Leftrightarrow <*> <60>

- id is an abstract symbol standing for identifier.
- 1, 2, and 3 are points to the symbol table entries for position, initial and rate respectively.
- +, = and * are abstract symbols for addition, assignment and multiplication operators. They

do not need any attribute value

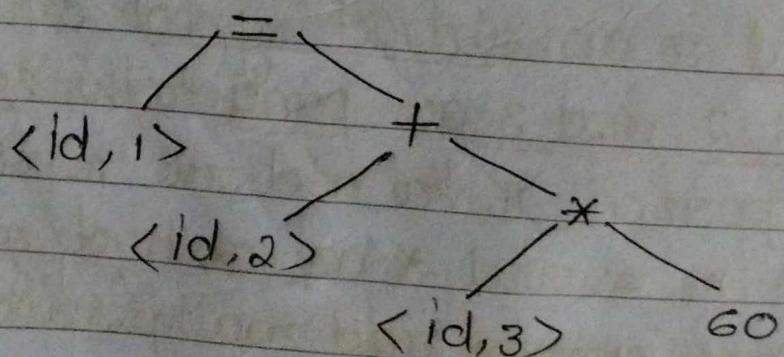
| | | |
|---|----------|-----|
| 1 | position | --- |
| 2 | initial | --- |
| 3 | rate | --- |
| | | |

Symbol Table.

1.2.2

Syntax Analysis / Parsing

- Performed by Syntax Analyzer or Parser
- The second phase of the compiler is called Syntax Analysis or Parsing
- The parser uses the tokens produced by the lexical analyzer to create a tree like intermediate representation that (called syntax tree) depicts the grammatical structure of the token stream.
- In a Syntax tree, each interior node represents an operation and the children of the node represent the arguments of the operation.



1.2.3 Semantic Analysis:

- The Semantic Analyzer uses the syntax tree and the information in the symbol table to check the source program for semantic consistency with the language definition.

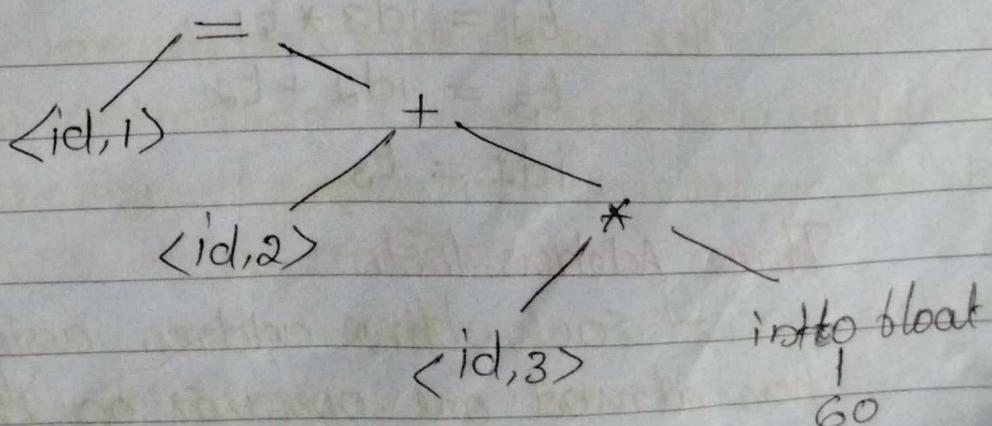
- It also gathers type information and save it in either the Syntax tree or symbol table for further use.

- An important part of semantic analysis is type checking, where the compiler checks that each operator has matching operands.

Eg: The compiler must report an error if a floating point number is used as index an array.

- It permits some type conversions called coercions.

Eg: If a binary arithmetic operator is applied to an integer and a floating point number, then the compiler may convert or coerce the integer into a floating point number.



1.2.4

Intermediate Code Generation.

- Syntax trees are a form of intermediate representation; they are commonly used during syntax and semantic analysis.
- Compiler generates an explicit low-level or machine-like intermediate representation.
- This intermediate representation should have two important properties.
 - * It should be easy to produce
 - * It should be easy to translate into the target machine language.
- Example of intermediate representation is called three address code, which consists of a sequence of assembly-like instructions with three operands per instruction.
- The output of this phase is given below

$$t_1 = \text{inttofloat}(60)$$

$$t_2 = id_3 * t_1$$

$$t_3 = id_2 + t_2$$

$$id_1 = t_3$$

Three Address Instrns.

- Each three address assignment instruction has at most one operator on the right side.

- These instns fix the order in which operations are to be done.
Eg: multiplication precedes the addition in the source pgm.
- The compiler must generate a temporary name to hold the value computed by a three-address instruction.
- Some three address instns have fewer than three operands.

1.2.5 Code Optimization.

- Code optimization phase attempts to improve the intermediate code so that better target code will result.
- Better means shorter, faster etc.

Eg: inttofloat operation can be eliminated by replacing the integer 60 by the floating point number 60.0

$$t_1 = id3 * 60.0$$

$$id1 = id2 + t_1$$

- Different optimization techniques are : -
Local Optimization, loop optimization, dead code elimination, strength reduction, frequency reduction etc.

1.2.6 Code Generation:-

- The code generator takes as I/p an intermediate representation of the source pgm and maps it in the target language.
- The intermediate instructions are translatable into sequence of machine instructions that perform the same task.
- A crucial aspect of code generation is the judicious assignment of registers to hold variables (Registers or memory locations are selected for each of the variables used by the pgm)

Eg:

Using registers R₁ and R₂, the intermediate code might get translated into the machine code

LDF R₂, id₃

MULF R₂, R₂, #60.0 multiplier with floating point con

LDF R₁, id₂ move id₂ into reg^r R₁

ADDF R₁, R₁, R₂ Adds it with value in reg^r R₂

STF id₁, R₁

- The first operand of each instruction specifies a destination.

- The F in each instrn tells that it deals with floating point numbers.

- LDF in first line - loads contents of address id₃ into reg^r R₂.

signifies that 60.0 is to be treated as an immediate constant.

STF - stores the value in reg R1 into the address ab id1.

1.2.7 Symbol - Table Management

- (An essential feature of a compiler is to record the variable names used in the source program and collect information about various attributes of each name. (such as storage allocated for a name, its type, its scope number and type of arguments etc)).

- A symbol table is a data structure containing a record for each variable name, with fields for the attributes of the name.)

- The data structure should be designed to allow the compiler to find the record for each name quickly and to store or retrieve data from that record quickly.

- These attributes associated with a name provide information about the storage allocated for an identifier, its type, its scope and in case of procedural names such things as the no and types of its arguments, the method of passing each arguments and the type returned.

Error Handler:

- Each phase can encounter errors. compiler stops when it finds the 1st error.

- CLASSMATE
Date _____
Page _____
1. Lexical Analyzer : next token in the source program is misspelled.
 2. Syntax Analyser : syntactic errors such as a missing parenthesis.
 3. IC generator : May detect an error operator whose operands have incompatible type.
 4. Code Optimizer : May detect that certain statements can never be reached.
 5. Code Generator : May bind a compiler created constant that is too large to fit in word at the target machine.
 6. Symbol Table : May discover an identifier that has been multiply declared with contradictory attributes.

| | | |
|---|----------|-----|
| 1 | position | ... |
| 2 | initial | ... |
| 3 | rate | ... |
| | | |

SYMBOL TABLE

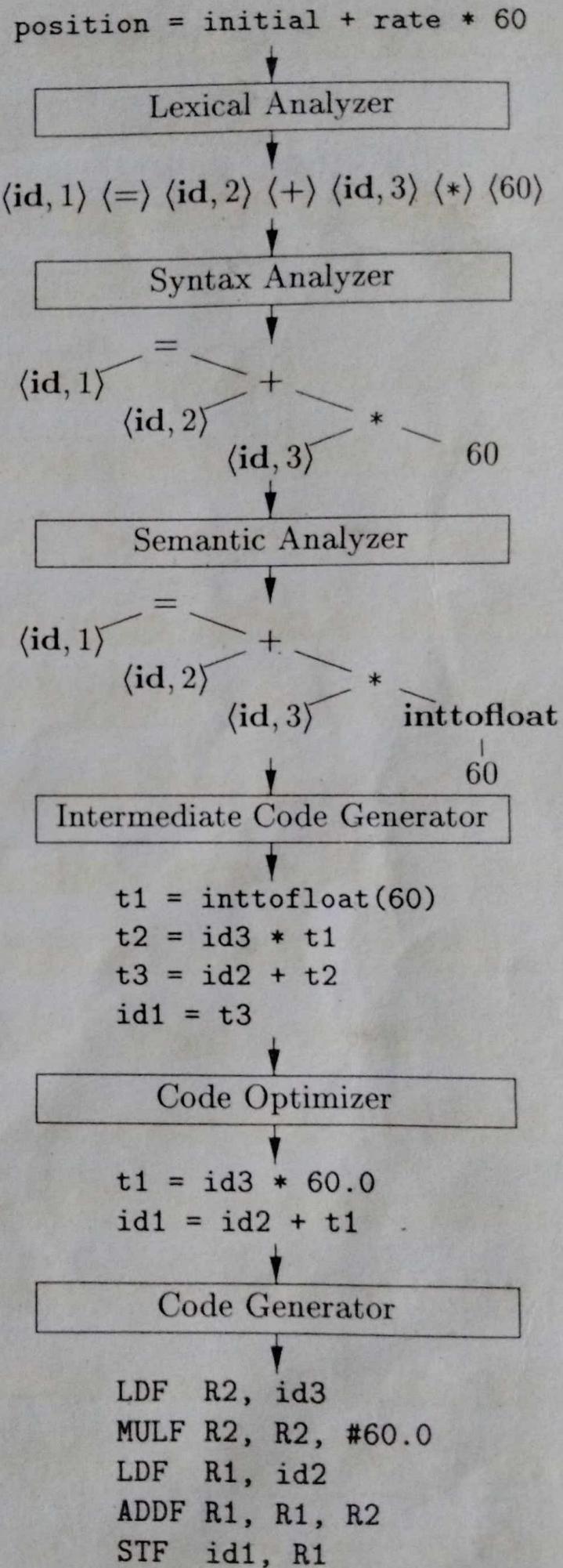


Figure 1.7: Translation of an assignment statement

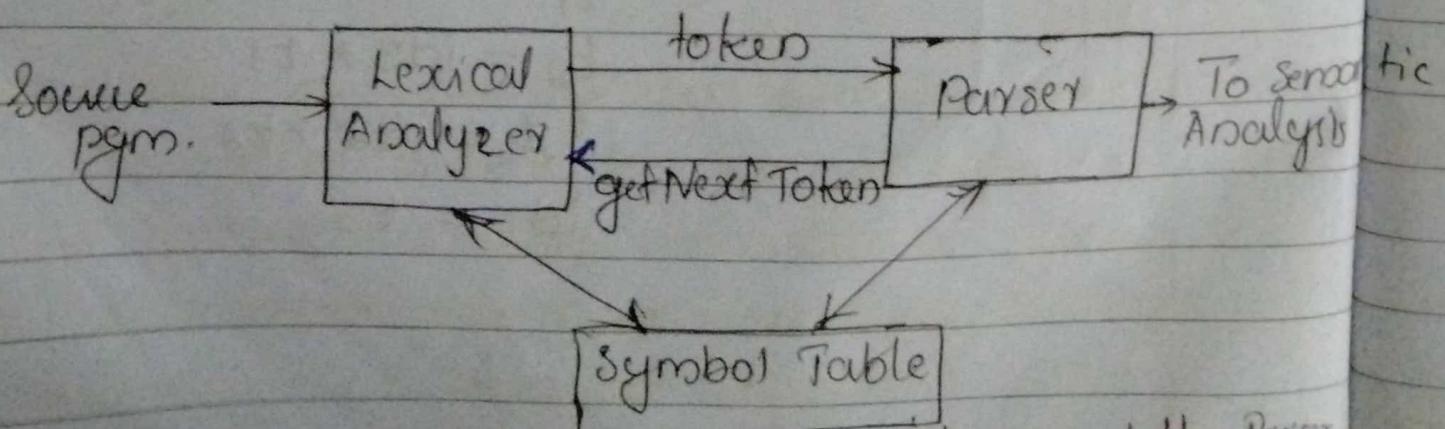
1.3 LEXICAL ANALYSIS & ITS ROLE

- First phase of a compiler.
- It reads the I/p characters of the source pgm, group them into lexemes, and produce as o/p a sequence of tokens for each lexeme in the source pgm.
- The stream of tokens is sent to the parser for syntax Analysis.

Source pgm → [Lexical Analyzer] → sequence of tokens

Fig: Lexical Analyzer

- It also interact with the symbol table.
- When the lexical analyzer discovers a lexeme constituting an identifier, it needs to enter that lexeme into the symbol table.
- In some cases, the information regarding the type of identifier may be read from the symbol table by the lexical analyzer to assist it in determining the proper token it must pass.



Interactions b/w the lexical analyzer and the Parser

Other tasks of Lexical Analyzer:

1. Stripping out comments and whitespaces (blank, new line, tab and perhaps other characters that are used to separate tokens in the I/p).
2. Correlating error messages generated by the compiler with the source pgm. For instance, the lexical analyzer may keep track of the no. of newline characters seen, so it can associate a line no. with each error message.
3. If the source pgm uses a macro preprocessor, the expansion of macros may also be performed by the lexical analyzer.

→ Lexical analyzers are divided into 2 processes

1. Scanning - That do not require tokenization of I/p such as deletion of comments and compactation of consecutive whitespaces characters into one.

2. Lexical Analysis - It produce tokens from the o/p of the scanner.

1.3.1 Tokens, Patterns and Lexemes

Tokens: — is a pair consisting of a token name and an optional attribute value.

- The token name is an abstract symbol representing a kind of lexical unit. e.g: a particular keyword, or a sequence of I/p

characters denoting an identifier

- The token names are the I/P symbols that the parser processes.

Pattern: — It is a description of the form that the lexemes as a token may take. In the case of a keyword as a token, the pattern is just the sequence of characters that form the keyword.

Lexeme: — Is a sequence of characters in the source program that matches the pattern for a token and is identified by the lexical analyzer as an instance of that token.

- The word generated by the linear analysis may be of different kinds:

- * Identifier

- * keyword (if, while, ...)

- * punctuation

- * numbers

- * literals

- Such a kind is called a TOKEN and an element of this kind is called LEXEME.

- A word is recognized to be a lexeme for a certain token by PATTERN MATCHING.

eg: letter followed by letters and digits is a pattern that matches a word like `xc` only with the token `id` (= identifier)

| Token | Lexemes | Pattern |
|------------|------------------|--|
| if | if | if |
| else | else | else |
| ID | x, y, no | letter followed by letters & digits |
| number | 1, 3.14, 2.02e23 | any numeric constant |
| comparison | <=, != | comparison operators |
| literal | "Hello", "Hi" | any string of characters between "and" |

- During the analysis, the compiler manages a symbol table by

- * reworking the identifier at the source program
- * collecting information (called ATTRIBUTES) about them: storage allocation, type, scope (for bns) signature,

- when the identifier `xc` is found by lexical analyzer

- * It generates token `Id`
- * enters lexeme `xc` into symbol table (if not present)

- * associates to the generated token a pointer to the symbol-table entry `x`. This pointer is called

The lexical value of the token.

1.3.2 Attributes for Tokens:

When more than one lexeme can match a pattern, the lexical analyzer must provide the subsequent compiler phases additional information about the particular lexeme that matched.

eg: Pattern for token number matches both 0 & 1 but it is important for code generator to know which lexeme was found in the source program.

So the lexical analyzer returns to the parser not only a token-name, but also an attribute value that describes the lexeme.

eg: for the token id, we need to associate with the token a great deal of info such as its lexeme, type, location (where it is found). This is stored in the symbol Table.

Thus, the appropriate attribute value for an identifier is a pointer to the symbol-table entry for that identifier.

Example

$E = M * C ^ 2$

Tokens generated for the above line of code
<id, pointer to the symbol-table entry for E>
<assign-op>

<id, pointer to symbol-table entry for M>

<mult-op>

<id, pointer to symbol-table entry for c>

<exp-op>

<number, integer value &>

or
<pointer to symbol-table entry for z>

Note:

- In certain pairs, especially operators, punctuation and keywords, there is no need for attribute value.
- Token number has been given an integer-valued attribute.

1.4 INPUT BUFFERING.

It is the technique to improve the execution speed at the lexical analysis phase by speeding up the reading of source program.

- Here we use two buffers that are alternatively reloaded.

- Each buffer is of the same size N , and N is usually the size of a disk block, e.g.: 4096 byte.

- Using one system read command we can read N characters into a buffer, rather than using one system call per character.

- If fewer than N characters remain in the I/O file, then a special character, represented by EOF, marks

the end of the source file.

- Two pointers to the I/P are maintained.

1) Pointer lexemeBegin, marks the beginning of current lexeme, whose extent we are attempting to determine.

2) Pointer forward scans ahead until a pattern match is found. If the forward has passed to the end of the lexeme, it must be retracted one pos to the

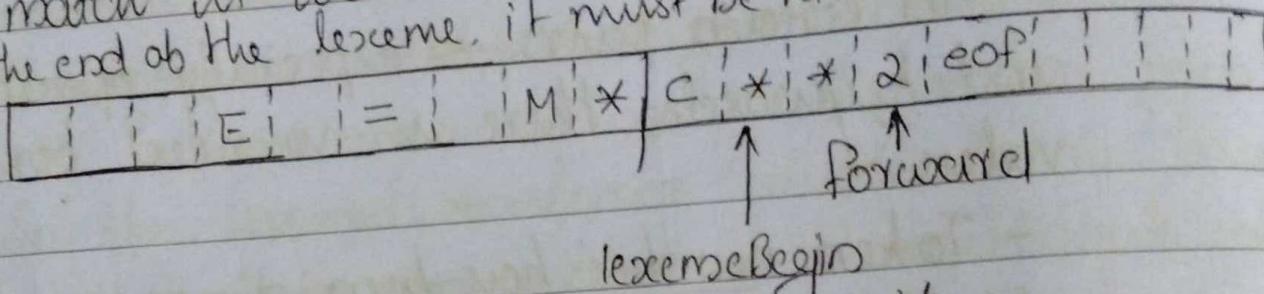


Fig: using a pair of I/P buffers

Working

- Initially both pointers point the first character of the next lexeme
- Forward pointer scans. If a lexeme is found,
- It is set to the last character of the lexeme found.
- Thus for each character read, two tests are made one for the end of buffer and the other to determine the character that is read.
- Both tests can be combined by extending the buffer to hold a sentinel character at the end.
→ Sentinel is a special character that cannot be part of source pms, such as eof.

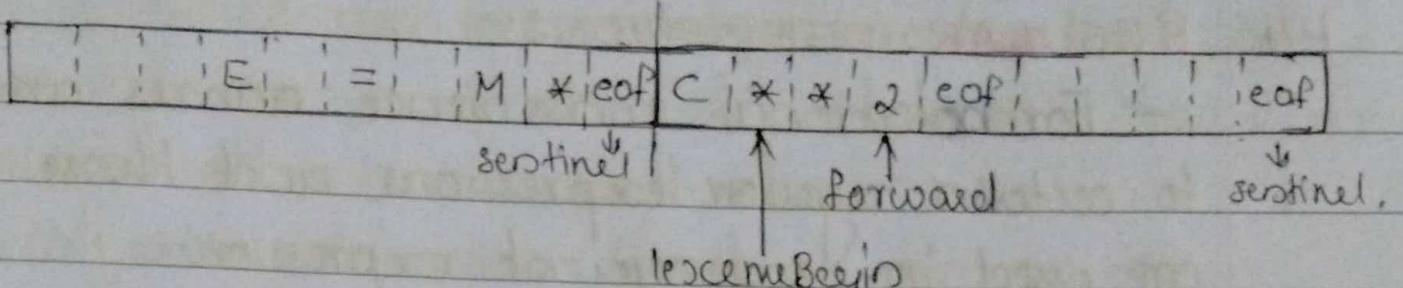


Fig: sentinels at the end of each buffer.

Fig. shows Algorithm for advancing forward,
Switch (* forward++)

}

case eof :

if (forward is at end of first buffer)
{

reload second buffer;

forward = beginning of second buffer;

}

else if (forward is at end of second buffer)

{

reload first buffer;

forward = beginning of first buffer;

}

else /* eof within buffer marks the end of input */

terminal lexical analysis;

break;

case for other characters;

}.

1.4 REGULAR DEFINITIONS:

- For notational convenience, names are given to certain regular expressions, and those names are used in subsequent expressions. (These names are also symbols)

- If Σ is an alphabet of basic symbols, then a regular definition is a sequence of definitions of the form:

$$d_1 \rightarrow r_1$$

$$d_2 \rightarrow r_2$$

$$d_n \rightarrow r_n$$

where:

- 1) Each d_i is a new symbol, not in Σ and not the same as any other of the d 's, and
- 2) Each r_i is a regular expression over the alphabet $\Sigma \cup \{d_1, d_2, \dots, d_{i-1}\}$

Eg: C Identifiers are strings of letters, digits and underscores. The regular definition for the language of C-Identifiers are;

$$\text{letter_} \rightarrow A/B/\dots/z/a/b/\dots/z/-$$

$$\text{digit } \rightarrow 0/1/1\dots/9$$

$$\text{id} \rightarrow \text{letter_} (\text{letter_}/\text{digit})^*$$

Unsigned numbers (integer or floating point) are strings such as 5280, 0.01234, 6.36E+0, 1.89E-4.

Regular definition for unsigned numbers

digit → 0/1...19

digits → digit digit*

Optional Fraction → . digits/e

Optional Exponent → (E (+/-) digits)/e

number → digits optionalFraction optionalExponent

- i.e., an OptionalFraction is either a decimal point (dot) followed by one or more digits, or it is missing (the empty string)

- An OptionalExponent, if not missing, is the letter E followed by an optional + or - sign, followed by one or more digits

- Note

At least one digit must follow the dot.

so the number does not match 1., but matches 1.0

1.4.1 Extensions of Regular Expressions

1. One or More Instances

The unary, postfix operator $+$ represents the positive closure of a regular expression over its language. That is, if r is a R.E., then $(r)^+$ denotes the language $(L(r))^+$. The operator $+$ has

The same precedence and associativity as the operator *

The following algebraic laws relates the Kleene closure and positive closure

$$r^* = r^+/\epsilon$$

$$r^+ = rr^* = r^*r$$

2. Zero or One Instance

The unary postfix operator '?' means zero or one occurrence

i.e,

$$r? = r/\epsilon$$

$$L(r?) = L(r) \cup \{\epsilon\}$$

3. character classes

- Are $a_1/a_2/\dots/a_n$, where the a_i 's are each symbols of the alphabet, can be replaced by the shorthand $[a_1a_2\dots a_n]$.

- $[abc]$ is shorthand for $a/b/c$. and

$[a-z]$ is shorthand for $a/b/\dots/z$

- Using shorthand, the regular defns. for c- identifiers are as follows:

$$\text{letter-} \rightarrow [A-zA-Z-]$$

$$\text{digit} \rightarrow [0-9]$$

$$\text{id} \rightarrow \text{letter-} (\text{letter-} | \text{digit})^*$$

Regular defn for assigned nos:

digit → [0-9]
 digits → digit⁺
 number → digits (· digits)? (E [+ -] ? digits)?

1.5 Specification of Tokens using Regular Expressions.

A grammar for branching stmts,

stmt → if expr then stmt
 | if expr then stmt else stmt
 | ε

expr → term relop term
 | term

term → id
 | number

The terminals of the grammar, which are if, then, else, relop, id and number, are the names of tokens as far as lexical analyzer is concerned.

- The patterns for these tokens are described using regular defns as follows:

(patterns for id & number are same as before)

digit → [0-9]
 digits → digit⁺

number → digits (. digits)? ($E \square - J$? digits)?

 letter → [A-zA-Z]

 id → letter (letter | digit)*

 if → if

 then → then

 else → else

 relop → < | > | <= | >= | = | <>

- For the language, lexical analyzer will recognize the keywords if, then, and else as well as lexemes that match the patterns for relop, id and number.
- In addition, lexical analyzer is given the job of stripping out white space, by recognizing the token, ws defined by

ws → (blank | tab | newline) +

→ Here blank, tab and newline are abstract symbols that is used to express the ASCII characters of the same name.

→ Token ws is different from other tokens. As when ws is recognized, it is not returned to parser, but instead lexical analyzer restarts from the character that follows the ws (white space)

→ After performing lexical analysis a set of tokens and attribute value (if present) are passed to the parser.

The following table shows, for each lexeme or token, what tokens name is returned to parser and what attribute value.

| Lexemes | Tokens names | Attribute value |
|------------|--------------|------------------------|
| Any ws | - | - |
| if | if | - |
| then | then | - |
| else | else | - |
| Any id | id | Pointer to table entry |
| Any number | number | Pointer to table entry |
| < | relOp | LT |
| <= | relOp | LE |
| = | relOp | EQ |
| <> | relOp | NE |
| > | relOp | GT |
| >= | relOp | GE |

For the 6 relational operators, symbolic constants LT, LE etc are used as attribute value, in order to indicate the instance of the tokens relOp bound.

1.6 Implementing Lexical Analyzer using FA.

Transition diagrams:

- Transition diagrams have a collection of nodes or circles, called states. Each state represents a condition that could occur during the process of scanning the I/p looking for a lexeme that matches one of general patterns.

- Edges are directed from one state of the transition diagram to another. Each edge is labeled by a symbol or set of symbols.

- If we are in some state s , and the next I/p symbol is a , we look for an edge out of state s labeled by a . If we find such an edge, advances the forward pointer and enters the state of the transition diagram to which that edge leads.

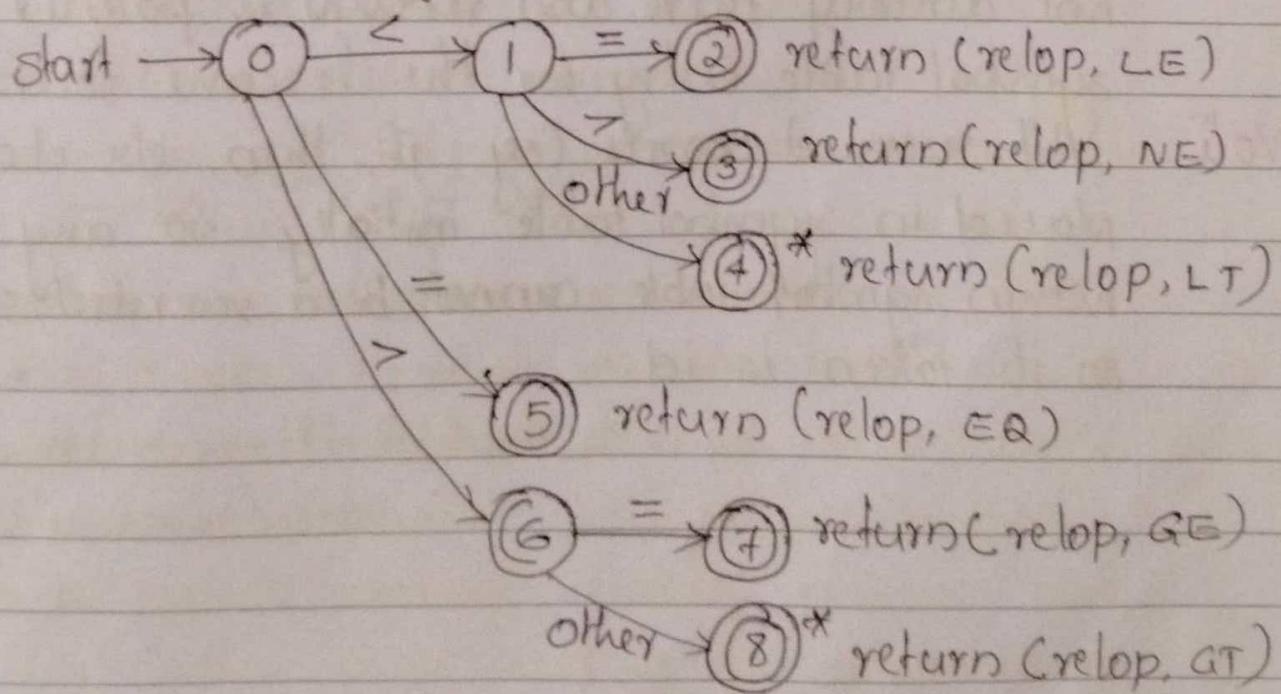
Some important conventions about transition diagrams are:

1. Certain states are said to be accepting, or final. These states indicates that a lexeme has been found, although the actual lexeme may not consist of all positions between the lexemeBegin and forward pointers. Indicate an accepting state by a double circle, and if there is an action to be taken-

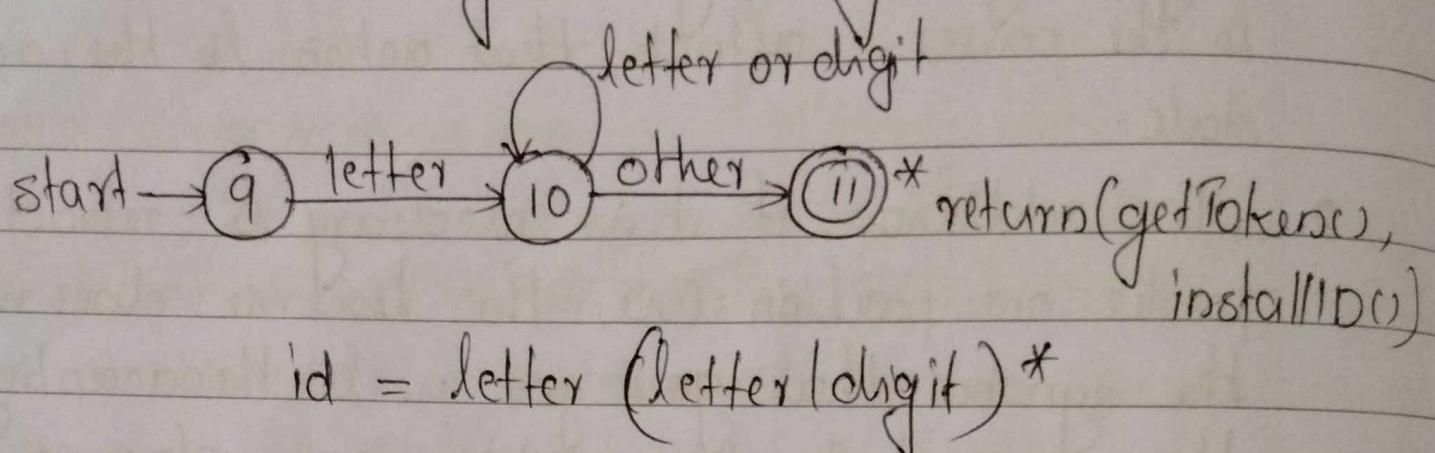
typically returning a token and an attribute value to the parser - attach that action to the accepting state.

2. In addition, if it is necessary to retract the forward position one position (i.e. the lexeme does not include the symbol that gets us to the accepting state) Then we shall additionally place a * near that accepting state.
3. One state is designated as the start state, or initial state. It is indicated by an edge, labeled "start", entering from nowhere. The transition diagram always begins in the start state before any i/p symbol has been read.

Eg Transition diagram for relop :



Transition diagram for keywords & Identifiers

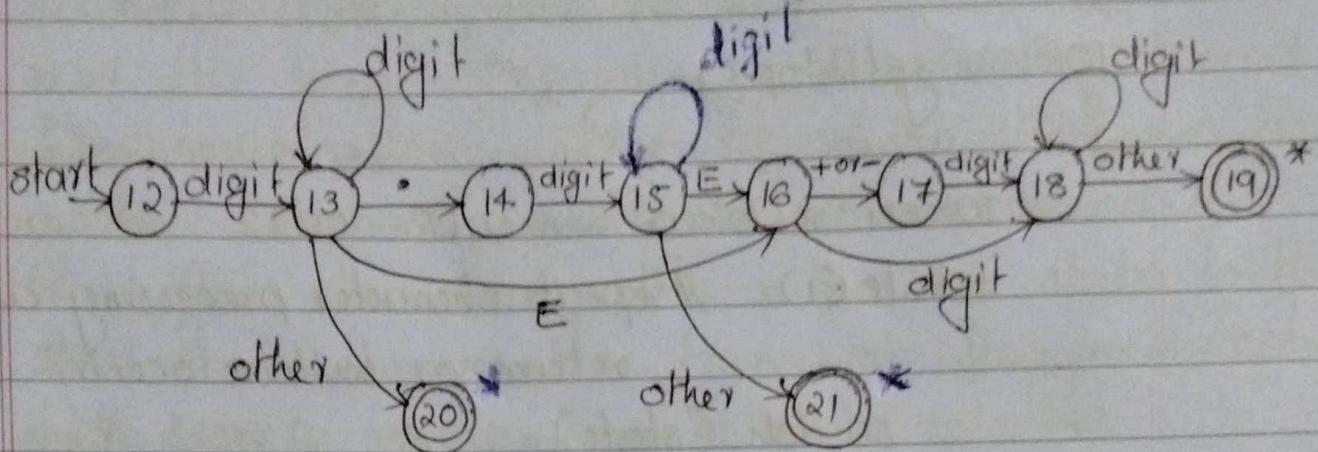


`getToken()` → examines the symbol table entry for the lexeme found, and returns the token name that the symbol table entry says this lexeme represents. i.e., either id or one of the keyword tokens that was initially installed in the table.

`installID()` → when we find an identifier a call to `installID` places it in the symbol table if it is not already there and returns a pointer to the symbol table entry for the lexeme found.

Note: All reserved words (e.g.: if, then, else etc) are placed in symbol table initially so any identifier not in symbol table cannot be a reserved word, so its token is id.

Transition diagram for unsigned numbers



digit → 0, 1, ..., 9

digit → digit digit *

optionalFraction → . digits / e

optionalExponent → (E (+/-) digits) / e

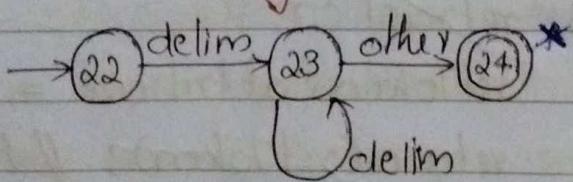
number → digit · optionalFraction · optionalExponent

Eg: 12.3

123

123.45E-6

Transition diagram for whitespace



delim → blank / tab / newline

ws → delim⁺

Compiler - Construction Tools

There are specialized tools that help to implement various phases of a compiler. Some commonly used compiler-construction tools include:

1. Parser generators → automatically produce syntax analyzers from a grammatical description of a programming language.
2. Scanner generators → produce lexical analyzers from regular expression descriptions of the tokens of a language.
3. Syntax-directed translation engine → produce collections of routines for walking a parse tree and generating intermediate code.
4. Code generator generators → produce a code generator from a collection of rules for translating each operation of the intermediate language into the machine language for a target machine.
5. Data-flow analysis engine → gathering of information about how values are transmitted from one part of a program to each other part. Data-flow analysis is a key part of code optimization.
6. Compiler-construction toolkits that provide an integrated set of routines for constructing various phases of a compiler.

Bootstrapping

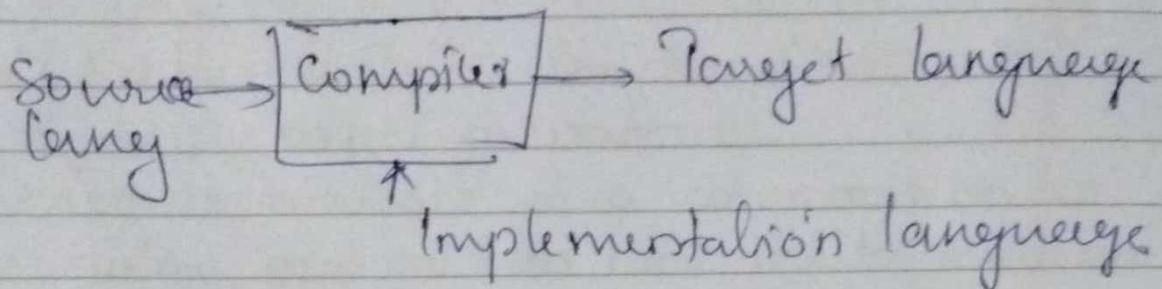
Process

Is used to design a compiler.

Generally a compiler can be represented using three languages.

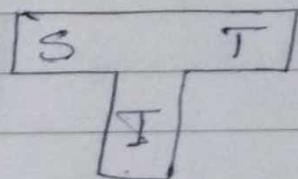
- 1) Source language
- 2) Target language
- 3) Implementation language

- The language in which the compiler is written.



The compilation process can be explained with the help of T-diagram.

T- diagram.

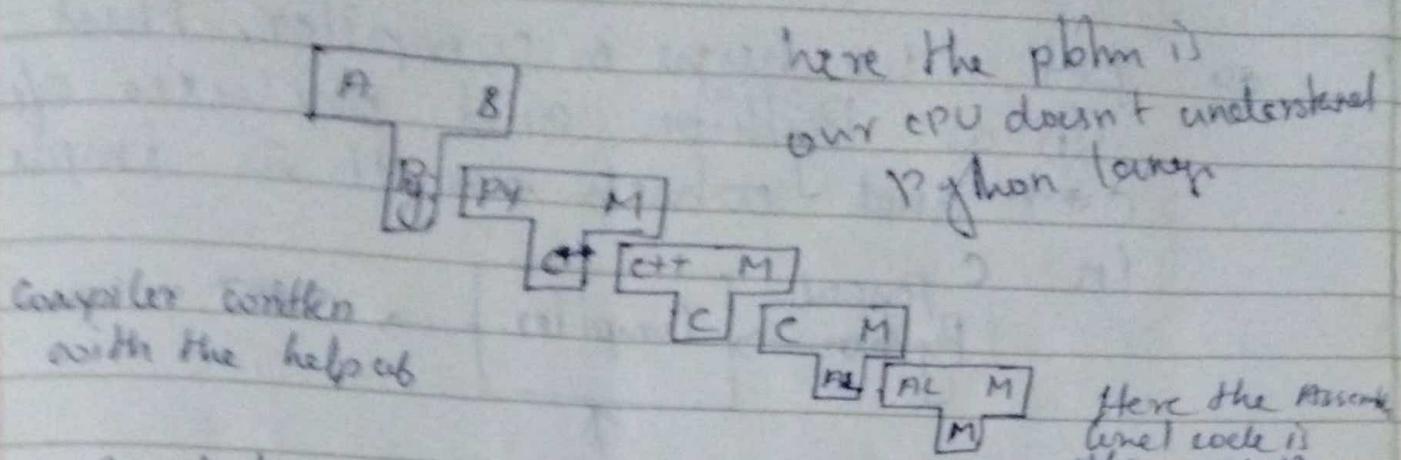


S - Source language

T - Target

I - Implementation language

Q Let's say we have a compiler with A as input B as o/p and the comp' is written in Python lang.



Bootstrapping is a process in which a simple language is used in order to translate a complicated pgm. But this complicated pgm per produces even more complicated pgm and likewise the process will continue and this process is known as bootstrapping.

Cross Compiler

- A compiler which runs on one m/c produces an object code for another m/c.

For a source language statement $a = b * c - 2$
where a , b and c are floating point variables
 $*$ and $-$ represent multiplication and subtraction
on the same data type. Show the I/P and O/P
at each of the complete phases.

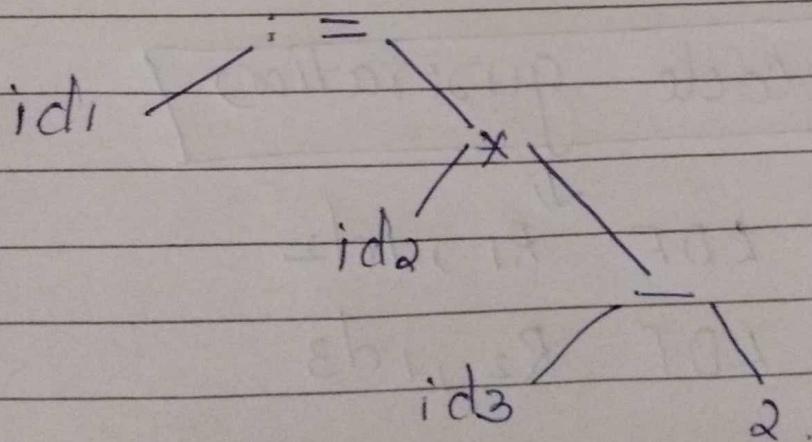
$$a = b * c - 2$$

[Lexical Analyzer]

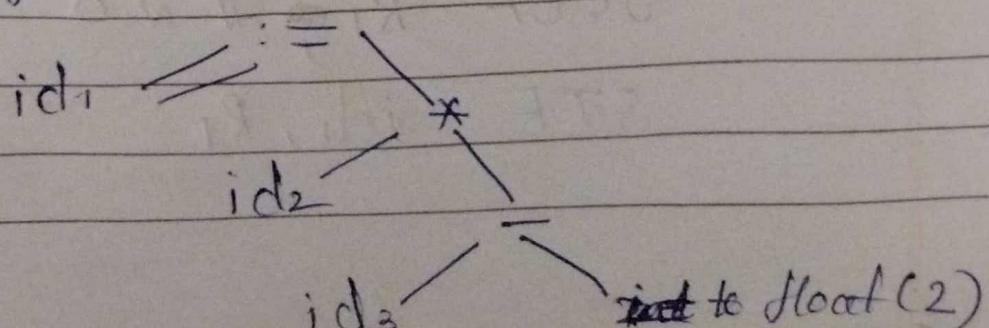
$$id_1 = id_2 * id_3 - 2$$

$<id_1> \Leftarrow <id_2> <\times> <id_3> <-> 2$

[Syntax Analyzer]



[Semantic Analyzer]





[intermediate code representation]



$$t_1 = id_2 * id_3$$

$$t_2 = \text{int_float}(2)$$

$$t_3 = t_1 - t_2$$

$$id_1 = t_3$$



[code optimizer]



$$t_1 = id_2 * id_3$$

$$id_1 = t_1 - 2.0$$



[code generation]



LDF R_1, id_2

LDF R_2, id_3

MUL R_1, R_2

SUBF $R_1, \#2.0$

STF id_1, R_1

**Explain how the regular expressions and finite state automata can be used
for the specification and recognition of tokens**

The lexical analyzer needs to scan and identify only a finite set of valid string/token/lexeme that belong to the language in hand. It searches for the pattern defined by the language rules.

Regular expressions have the capability to express finite languages by defining a pattern for finite strings of symbols. Regular expression is an important notation for specifying patterns. Each pattern matches a set of strings, so regular expressions serve as names for a set of strings.

The only problem left with the lexical analyzer is how to verify the validity of a regular expression used in specifying the patterns of keywords of a language. A well-accepted solution is to use finite automata for verification

Finite automata is a state machine that takes a string of symbols as input and changes its state accordingly. Finite automata is a recognizer for regular expressions. When a regular expression string is fed into finite automata, it changes its state for each literal. If the input string is successfully processed and the automata reaches its final state, it is accepted