Graph theory

M1 - FIB

Contents:

- 1. Graphs: basic concepts
- 2. Walks, connectivity and distance
- 3. Eulerian and Hamiltonian graphs
- 4. Trees

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Graphs: basic concepts

- 1. First definitions
- 2. Degrees
- 3. Graph isomorphism
- 4. Types of graphs
- 5. Subgraphs

Drawing of a graph G = (V, E)

The vertices are represented by a dot and each edge by a curve joining the two dots corresponding to the vertices incident to that edge

Adjacency list (or adjacency table) of a graph G = (V, E)

Let $v_1, v_2, ..., v_n$ be the vertices of G. The adjacency list of G is a list of length n where position i contains the set of vertices adjacent to v_i , for all $i \in [n]$

1. First definitions

A graph G is a pair (V, E) where V is a non-empty finite set and E is a set of unordered pairs of different elements of V, that is, $E \subseteq \{\{u, v\} : u, v \in V\}$

We call

the elements of V, vertices the elements of E, edges the number of vertices, |V|, the order of Gthe number of edges, |E|, the size of G

Let $u, v \in V$ be vertices and $a, e \in E$ be edges of G. We will say that: u and v are adjacent or neighbours if $\{u, v\} \in E$, for short $u \sim v$ or $uv \in E$ u and e are incident if $e = \{u, w\}$, for some $w \in V$ e and a are incident if they have a vertex in common u has degree d(u) if the number of vertices adjacent to u is d(u), that is, $d(u) = \#\{v \in V | u \sim v\}$

Observation: If n = |V| and m = |E|, then

$$0 \le m \le \frac{n(n-1)}{2}$$
 and $0 \le d(v) \le n-1$ for all $v \in V$

Let G = (V, E) be a graph of order n and size m with $V = \{v_1, v_2, ..., v_n\}$ and $E = \{a_1, a_2, ..., a_m\}$

The adjacency matrix of G is the matrix $M_A = M_A(G)$ of type $n \times n$, such that the entry m_{ii} in the i-th row and j-th column is

$$m_{ij} = egin{cases} 1, & ext{if } v_i \sim v_j \ 0, & ext{otherwise} \end{cases}$$

- $-M_A$ is binary, with zeros in the diagonal, and symmetric
- The number of ones in the *i*-th row is the degree of v_i
- It is not unique, it depends on the ordering chosen for the set of vertices

The incidence matrix of G is the matrix $M_I = M_I(G)$ of type $n \times m$, such that the entry b_{ij} in the i-th row and j-th column is

$$b_{ij} = egin{cases} 1, & ext{if } v_i ext{ and } a_j ext{ are incident} \ 0, & ext{otherwise} \end{cases}$$

 $-M_I$ is binary. The number of ones in the *i*-th row is the degree of v_i and in each column there are exactly two ones. It is not unique

Variations on the definition of graph:

- ▶ Multigraph: a graph that admits multiple edges, that is, there can be more than one edge joining two vertices
- ▶ Pseudograph: a graph that admits multiple edges and loops (edges that join a vertex with itself)
- Directed graph: a graph where the edges are oriented

3. Graph isomorphism

Let G = (V, E) and G' = (V', E') be two graphs. We will say that

- \triangleright G and G' are equal, G = G', if V = V' and E = E'
- \triangleright G and G' are isomorphic, $G \cong G'$, if there is a bijective map $f: V \to V'$, such that, for all $u, v \in V$,

$$u \sim v \Leftrightarrow f(u) \sim f(v)$$
.

The map f is called an isomorphism from G to G'

Remarks:

- A vertex and its image by an isomorphism have the same degree
- Two isomorphic graphs have the same size and order. The converse is false
- Two isomorphic graphs have the same degree sequence. The converse is false
- Being isomorphic is an equivalence relation

2. Degrees

Let G=(V,E) be a graph of order n and let $v\in V$ be a vertex. Define the minimum degree of G, $\delta(G)$: the minimum of the degrees the maximum degree of G, $\Delta(G)$: the maximum of the degrees the degree sequence of G: the sequence of the degrees in decreasing order a regular graph: a graph such that $\delta(G)=\Delta(G)$, i.e., all the vertices have the same degree

Observation:

- All graphs of order > 2 have at least two vertices with the same degree

Handshaking lemma: $2|E| = \sum_{v \in V} d(v)$

Corollary All graphs have an even number of vertices with odd degree

A decreasing sequence of integers is graphical if there is some graph that has it as a degree sequence

4. Types of graphs

Let *n* be a positive integer and $V = \{x_1, x_2, ..., x_n\}$

Null graph of order n, N_n : is a graph with order n and size 0 Trivial graph: N_1

Complete graph of order n, K_n : is a graph of order n with all possible edges – Size of $K_n = \frac{n(n-1)}{2}$

Path of order n, $P_n = (V, E)$: is a graph of order n and size n-1 with set of edges $E = \{x_1x_2, x_2x_3, \dots, x_{n-1}x_n\}$ $-\delta(T_n) = 1$ i $\Delta(T_n) = 2$

Cycle of order n, $n \ge 3$, $C_n = (V, E)$, with $n \ge 3$: is a graph of order n and size n with set of edges $E = \{x_1x_2, x_2x_3, \dots, x_{n-1}x_n, x_nx_1\}$ $-\delta(C_n) = \Delta(C_n) = 2$

Wheel of order n, $n \ge 4$, $W_n = (V, E)$: is a graph of order n and size 2n - 2 such that $E = \{x_1x_2, x_2x_3, ..., x_{n-1}x_1\} \cup \{x_nx_1, x_nx_2, ..., x_nx_{n-1}\}$

Let r and s be positive integers

An r-regular graph is a regular graph where r is the degree of the vertices

- The complete graph K_n is an (n-1)-regular graph
- The cycle graph C_n is a 2-regular graph
- If G = (V, E) is an r-regular graph, then 2|E| = r|V|

A bipartite graph is a graph G=(V,E) such that there are two non-empty subsets V_1 and V_2 of V such that $V=V_1\cup V_2$ and $V_1\cap V_2=\emptyset$, and for each edge $uv\in E$ we have that $u\in V_1$ and $v\in V_2$, or vice versa.

We call V_1 and V_2 the stable parts of the graph

$$-\sum_{v \in V_1} g(v) = \sum_{v \in V_2} g(v) = |E|$$

The complete bipartite graph $K_{r,s} = (V, E)$ is a bipartite graph with two stable

parts V_1 and V_2 such that $|V_1| = r$ and $|V_2| = s$ and all the vertices of V_1 are adjacent to all the vertices of V_2 . I.e., $E = \{uv | u \in V_1, v \in V_2\}$

- The order of $K_{r,s}$ is r + s and the size is rs
- The graph $K_{1,s}$ is called star graph

5. Subgraphs

Let G = (V, E) be a graph

Subgraph of G, G' = (V', E'): a graph with $V' \subseteq V$ and $E' \subseteq E$

Spanning subgraph of G, G' = (V', E'): a subgraph such that V' = V

Subgraph induced by S, $S \subseteq V$, $S \neq \emptyset$: the graph $\langle S \rangle = (S, E')$ such that $E' = \{uv \in E : u, v \in S\}$

Subgraph induced by B, $B \subseteq E$, $B \neq \emptyset$: the graph $\langle B \rangle = (V', B)$ such that V' is the set of vertices incident to some edge of B

5.1. Graphs derived from a graph

Let G = (V, E) be a graph of order n and size m

Complement of G, $G^c = (V^c, E^c)$: is the graph with set of vertices $V^c = V$ and set of edges $E^c = \{uv | u, v \in V \text{ and } uv \notin E\}$

- Order of G^c = Order of G
- Size of $G^{c} = \frac{n(n-1)}{2} |E|$
- $-\left(G^{c}\right)^{c}=G$
- Let H be a graph. Then $G \cong H \Leftrightarrow G^c \cong H^c$

The graph G is self-complementary if $G \cong G^c$

For $S \subset V$, the graph G-S obtained by deleting the vertices from S is the graph with set of vertices $V \setminus S$ and whose edges are those that are not incident to any of the vertices of S. In the case that $S = \{v\}$, we denote it by G-V. Order of (G-u) = n-1. Size of (G-u) = m-d(u)

For $S \subset E$, the graph G-S obtained by deleting the edges from S is the graph with set of vertices V and set of edges $E \setminus S$. In the case that $S = \{e\}$, we denote it by G-e

- Order of (G - e) = n. Size of (G - e) = m - 1

The graph G + e obtained by adding an edge $e \in E$ is the graph with set of vertices V and set of edges $E' = E \cup \{e\}$

- Order of (G + e) = n. Size of (G + e) = m + 1

5.2. Operations with graphs

Let G = (V, E) and G' = (V', E') be two graphs

Union of G and G', $G \cup G'$: graph with set of vertices $V \cup V'$ and set of edges $E \cup E'$

– If $V \cap V' = \emptyset$, the order of $G \cup G'$ is |V| + |V'| and the size is |E| + |E'|

Product of G and G', $G \times G'$: graph with set of vertices $V \times V'$ and adjacencies given by

$$(u, u') \sim (v, v') \Leftrightarrow (uv \in E \text{ and } u' = v') \text{ or } (u = v \text{ and } u'v' \in E')$$

– The order of $G \times G'$ is $|V| \, |V'|$ and the size is $|V| \, |E'| + |V'| \, |E|$

Walks, connectivity and distance

- 1. Walks
- 2. Connected graphs
- 3. Cut vertices and bridges
- 4. Distance
- 5. Characterization of bipartite graphs

Kinds of walks: a u-v walk is a

- path if all vertices are different
- cycle if it is a closed walk of length ≥ 3 and all vertices are different

A vertex is considered to be a path of length zero

Remark A cycle visits two vertices u and v if, and only if, there are two u-v paths without any common vertex except u and v

A graph without cycles is called an acyclic graph

Proposition 1

Let G = (V, E) be a graph and u, v different vertices. If there is in G a u-v walk of length k, then there is a u-v path of length $\leq k$

Proposition 2

Let G = (V, E) be a graph and u, v different vertices. If G has two different u-v paths, then G has a cycle.

1. Walks

Let G = (V, E) be a graph, and let $u, v \in V$

A walk from u to v, or a u-v walk, of length k is a sequence of vertices and edges

$$\mathcal{R}: u_0 a_1 u_1 a_2 u_2 \dots u_{k-1} a_k u_k$$

such that $u_0=u$, $u_k=v$ and $a_i=u_{i-1}u_i\in E$, for all $i\in [k]$. In general, we just write $u_0u_1u_2\dots u_{k-1}u_k$

We say that the walk \mathcal{R} visits the vertices u_i and visits the edges $a_i = u_{i-1}u_i$

If u = v we say that it is a closed walk, and if $u \neq v$ we say that it is an open walk

A vertex is considered to be a walk of length zero

2. Connected graphs

We say that a graph G = (G, E) is connected if for every pair of vertices u and v there is a u-v path. Otherwise we say that the graph is disconnected

Remark If G = (V, E) is a connected graph of order greater than 1, then d(v) > 1, for all $v \in V$

We define the following relation **R** in V: for all $x, y \in V$

 $\times \mathbf{R} \mathbf{v} \Leftrightarrow \text{ there is an } \mathbf{x} - \mathbf{v} \text{ path in } \mathbf{G}$

R is an equivalence relation:

- Reflexive, $x\mathbf{R}x$: there is an x-x path of length zero
- Symmetric, if $x\mathbf{R}y$, then $y\mathbf{R}x$: an x-y path traversed in opposite direction is a y-x path
- Transitive, if $x\mathbf{R}y$ and $y\mathbf{R}z$, then $x\mathbf{R}z$: from an x-y path $xx_1 \dots x_ny$ and a y-z path $yy_1 \dots y_mz$, we build an x-y walk $xx_1 \dots x_nyy_1 \dots y_mz$, thus, there is an x-z path

If G=(V,E) is a disconnected graph there is a partition of V in k>1 subsets V_1,V_2,\ldots,V_k , which are the equivalence classes of the relation ${\bf R}$. Therefore, for all $1\leq i,j\leq k$,

- 1. $V_i \neq \emptyset$, $V_i \cap V_j = \emptyset$ for all $i \neq j$, and $V = \bigcup_{i=1}^k V_i$
- 2. $\langle V_i \rangle$ is a connected graph
- 3. There is no path between vertices of $\langle V_i \rangle$ and vertices from $\langle V_i \rangle$, with $i \neq j$
- 4. $G = \bigcup_{i=1}^k \langle V_i \rangle$

The subgraphs $\langle V_1 \rangle$, $\langle V_2 \rangle$, ..., $\langle V_k \rangle$ are called the connected components of G

Remark

Let $G = G_1 \cup G_2 \cup \cdots \cup G_k$, where G_i are the connected components of G. Then

order
$$G$$
 = order $G_1 + \cdots +$ order G_k
size G = size $G_1 + \cdots +$ size G_k

Proposition 3

Let G = (V, E) be a connected graph and let $e = xy \in E$ and $u \in V$. Then

- 1. The graph G e has at most 2 connected components; if it has 2, vertex x belongs to one of them and vertex y to the other
- 2. The graph G u has at most d(u) connected components

Proposition 4

Every connected graph of order n has at least n-1 edges

2.1 Algorithm DFS: (Depth-first search)

```
DFS list(graph G, int v)
/* Pre: a graph G and a vertex v (assume that the vertices are integers)
/* Post: the list of vertices of G that belong to the same connected component as v
Stack S;
S.push(v);
List W:
W.add(v);
 int x;
 while (not S.is_empty) {
  if(''there is y adjacent to x that does not belong to W'') {
  S.push(y);
  W.add(y);
 }
  else {
 S.pop;
return W:
```

Theorem 5 Let G = (V, E) be a graph and v a vertex of G. The subgraph $\langle W \rangle$ induced by the vertices of G visited by the algorithm DFS is the connected component of G that contains v

3. Cut vertices and bridges

Let G = (V, E) be a graph and let $v \in V$ and $e \in E$. We say that

- -v is a cut vertex or articulation point if G-v has more connected components than G
- -e is a bridge if G-e has more connected components than G
- G is a 2-connected graph if it is connected with at least 3 vertices and has no cut vertices

Remarks

- 1. If G is connected and u is a cut vertex, then G-u is a disconnected graph with at most d(u) connected components
- 2. The vertices of degree 1 are not cut vertices
- 3. If G is connected and e is a bridge, then G-e is a disconnected graph with exactly 2 connected components

Theorem 6 Characterization of cut vertices

Let G = (V, E) be a connected graph. A vertex u of G is a cut vertex if, and only if, there exists a pair of vertices x, y different from u such that every x-y path visits u

Theorem 7 Characterization of bridges

Let G = (V, E) be a connected graph and e = uv an edge of G. The following are equivalent:

- (a) e is a bridge
- (b) there exists a pair of vertices x, y such that every x-y path visits e
- (c) no cycle contains e

Remarks

- 1. A graph may have cut vertices and no bridges
- 2. Let e = uv be a bridge. If d(u) = 1, u is not a cut vertex; if $d(u) \ge 2$, the vertex u is a cut vertex
- 3. The only connected graph with a bridge and without cut vertices is K_2

4. Distance

Let G = (V, E) be a graph and u, v vertices of G

- If u, v are in the same connected component, we define the distance between u and v, d(u, v), as the minimum value among the lengths of all u-v paths. Otherwise we say that the distance is infinite
- The eccentricity of vertex u, e(u), is the maximum distance between u and any other vertex of G, that is, $e(u) = \max\{d(u, v) | v \in V\}$
- The diameter of G, D(G), is the maximum of the distances between the vertices of G, that is, $D(G) = \max\{d(u, v)|u, v \in V\} = \max\{e(u)|u \in V\}$

Remark If $xy \in E$, then d(x, y) = 1

In a (connected) graph G = (V, E), the following hold for all vertices u, v, z

- 1. $d(u, v) \ge 0$, and d(u, v) = 0 if, and only if, u = v
- 2. d(u, v) = d(v, u)
- 3. $d(u, v) + d(v, z) \ge d(u, z)$ (triangle inequality)

4.1 Algorithm BFS: (Breadth First Search)

```
vector BFS(graph G, int v)
/* Pre: a connected graph G of order n and a vertex v (assume that the vertices are integers)
/* Post: a vector D such that D[x]=d(v,x)
{
Queue Q;
Q.enqueue(v);
List W;
W.add(v);
 vector<int> D(n);
D[v]=0;
int x:
 while (not Q.is_empty) {
  if(''there is y adjacent to x and y does not belong to W'') {
  Q.enqueue(y);
  W.add(v):
  D[v]=D[x]+1;
  else {
  Q.advance;
}
return D;
```

Theorem 8 Let G = (V, E) be a graph and $v \in V$. The vector D given by the algorithm BFS stores the distance from vertex v to all other vertices in the graph

5. Characterization of bipartite graphs

Lemma 10

Let G = (V, E) be a graph. If in G there is a closed walk of odd length, then there is a cycle of odd length

 $\underline{\text{Observation:}} \ \ \textit{G} \ \ \text{may have a closed walk of even length but no cycle of even length}$

Theorem 11 Characterization of bipartite graphs

A graph of order ≥ 2 is bipartite if, and only if, it has no cycle of odd length

Eulerian and Hamiltonian graphs

- 1. Eulerian graphs
- 2. Hamiltonian graphs

2. Hamiltonian graphs

Let G be a connected graph.

- A Hamiltonian path is a path that visits all the vertices of G
- A Hamiltonian cycle is a cycle that visits all the vertices of G
- A Hamiltonian graph is a graph that has a Hamiltonian cycle

Necessary conditions

Let G = (V, E) be a Hamiltonian graph of order n, then

- (1) $d(v) \geq 2$, for all $v \in V$
- (2) if $S \subset V$ and k = |S|, the graph G S has at most k connected components

Sufficient conditions

Ore's Theorem Let G = (V, E) be a graph of order $n \ge 3$ such that for all different and non adjacent $u, v \in V$ we have $d(u) + d(v) \ge n$. Then, G is a Hamiltonian graph

Dirac's Theorem Let G = (V, E) be a graph of order $n \ge 3$ such that $d(u) \ge n/2$, for all $u \in V$. Then, G is Hamiltonian

1. Eulerian graphs

A walk in a graph is called a trail if it is open and it does not repeat any edges, and it is called a circuit if it is closed, non-trivial, and does not repeat any edges.

Let G be a <u>connected</u> graph. Define

- Eulerian trail: a trail that visits all the edges of G
- Eulerian circuit: a circuit that visits all the edges of G
- Eulerian graph: a graph that has an Eulerian circuit

Theorem Characterization of Eulerian graphs

Let G be a <u>connected</u>, non-trivial graph. Then,

G is Eulerian if, and only if, all its vertices have even degree

Corollary

A connected graph has an Eulerian trail if, and only if, it has exactly two vertices of odd degree

In that case, the Eulerian trail starts at a vertex of odd degree and finishes at the other vertex of odd degree

Trees

- 1. Trees and the characterization theorem
- 2. Spanning trees
- 3. Counting trees

1. Trees and the characterization theorem

- A tree is a connected acyclic graph
- A forest is an acyclic graph
- A leaf is a vertex of a tree or a forest that has degree 1

Observation: The connected components of a forest are trees

Remarks: Let T = (V, E) be a tree, e an edge and u a vertex of T. Then

- 1. T contains at least one leaf
- 2. e is a bridge
- 3. T e is a forest with 2 connected components
- 4. if $d(u) \ge 2$, u is a cut vertex
- 5. T u is a forest with d(u) connected components
- 6. if u is a leaf, then T u is a tree

Proposition 1

All acyclic graphs of order n have size at most n-1.

Theorem 2 Characterization of trees

Let T = (V, E) be a graph of order n and size m. The following are equivalent

- (a) T is a tree
- (b) T is acyclic and m = n 1
- (c) T is connected and m = n 1
- (d) T is connected and all edges are bridges
- (e) for each pair of vertices u and v there is a unique u-v path in T
- (f) T is acyclic and the addition of an edge creates exactly one cycle

Corollary 3

A forest G of order n with k connected components has size n - k

Corollary 4

If T is a tree of order $n \ge 2$, T has at least two vertices of degree 1

2. Spanning trees

A spanning tree of a subgraph G is a spanning subgraph of G that is a tree

Theorem 5

A graph G = (V, E) is connected if, and only if, G has a spanning tree

2.1 DFS algorithm to obtain spanning trees

```
DFS tree(graph G, int v)
/* Pre: a graph G and a vertex v
/* Post: a spanning tree of the connected component of G to which v belongs
Stack S;
S.push(v);
List W;
W.add(v);
List B;
int x;
while (not S.is_empty) {
 x=S.top;
 if(''exists y adjacent to x that does not belong to W'') {
  S.push(y);
 W.add(y);
  B.add(xy);
 }
  else {
  S.pop;
 }
}
return (W,B);
```

Theorem 6

T = (W, B) is a spanning tree of the connected component containing v

3. Counting trees

Cayley's formula

The number of different spanning trees of the complete graph K_n is n^{n-2}

The theorem is equivalent to saying that the number of different trees with set of vertices [n] is n^{n-2}

The proof is based in the construction of a bijective map

$$Pr: \{T: T \text{ spanning tree of } K_n\} \longrightarrow [n]^{n-2},$$

The Prüfer's sequence of T is the image of T by the map Pr:

$$Pr(T)=(a_1,a_2,\cdots,a_{n-2})$$

2.2 BFS algorithm to obtain spanning trees

```
BFS tree(graph G, int v)
/* Pre: a connected graph G of order n and a vertex v
/* Post: a spanning tree of the connected component of G to which v belongs
 Queue Q;
 Q.enqueue(v);
 List W;
 W.add(v):
 List B:
  int x;
 while (not Q.is_empty) {
  x=Q.peek;
  if(''exists y adjacent to x that does not belong to W'') {
  Q.enqueue(y);
  W.add(y);
  B.add(xy);
  }
  else {
  Q.dequeue;
 return (W,B);
```

Theorem 7

T = (W, B) is a spanning tree of the connected component containing v

• Construction of the Prüfer's sequence of a tree T = ([n], E)

Recursive construction

```
vector seqPrufer(tree T, int n)
/* Pre: a tree T with set of vertices {1,2,...,n}
/* Post: a vector of length n-2 containing the Prüfer's sequence of T

{
    tree Taux=T;
    int k=0;
    int leaf;
    vector<int> seq(n)
    while(k < n-2) {
        leaf=''leaf of Taux with the smallest label'';
        seq[k]=''vertex adjacent to leaf'';
        Taux=Taux-leaf;
        k++;
    }
    return seq;
}</pre>
```

Comments:

Let $b_1, ..., b_{n-2}$ be the vertices of T that at some point in the execution have been a leaf

- Taux is a tree at each step of the algorithm
- the vertices b_1, \ldots, b_{n-2} are pairwise different
- $-T \{b_1, \ldots, b_{n-2}\} \simeq K_2$
- n is one of the vertices of $T \{b_1, \dots, b_{n-2}\}$
- $-x \in [n]$ appears in the Prüfer's sequence as many times as d(x)-1
- the vertices that do not appear in the Prüfer's sequence are leaves of T

• Reconstruction of the tree T from a word $(a_1, ..., a_{n-2})$ in the alphabet [n]. I.e., the inverse map of Pr

```
tree PruferTree(vector<int> seq, int n)
/* Pre: a vector with n-2 integers between 1 and n
/* Post: the tree that has seq as Prüfer's sequence
{
List A;
 vector<int> leaves(n-1);
 leaves[0]=min([n]-{seq[0],seq[1],...,seq[n-3]});
 A.add({seq[0],leaves[0]});
 int k=1;
 while(k < n-2) {
 leaves[k]=min([n]-{seq[k],seq[k+1],...,seq[n-3],leaves[0],...,leaves[k-1]});
 A.add({seq[k],leaves[k]});
 k++;
 }
 leaves[n-2]=min([n]-\{leaves[0],...,leaves[n-3]\});
 A.add(\{leaves[n-2],n\});
 return ([n],A);
}
```