

## Lecture 4

- How to define "Privacy" ?  
→ Differential Privacy
- Revisit Randomized Response
- Laplace Mechanism (optional).

Announcements:

- ① Canvas.
- ② HW 0 solution will be posted online.
- ③ HW 1 coming.
- ④ Waitlist

# How to define "privacy"?

Approaches :

- ① "Arm Race" : Think of possible attacks ; Defense against these attacks.  
Example: k-anonymity.  
(against Linkage attack ; Think netfix attack w/ IMDB data)
- ② Formulate General Criteria.

## $k$ -anonymity.

- Input Table  $\rightarrow$  Output Table

- "Generalization":

Replace a single value with a set of possible values

- 28  $\mapsto$   $<30$ .
- male  $\mapsto \{ \text{female, male} \}$ .

- Table is  $k$ -anonymous

if each row matches with  
at least  $(k-1)$  other rows in  
the non-sensitive attributes

	Non-Sensitive			Sensitive Condition
	Zip code	Age	Nationality	
1	130**	<30	*	AIDS
2	130**	<30	*	Heart Disease
3	130**	<30	*	Viral Infection
4	130**	<30	*	Viral Infection
5	130**	$\geq 40$	*	Cancer
6	130**	$\geq 40$	*	Heart Disease
7	130**	$\geq 40$	*	Viral Infection
8	130**	$\geq 40$	*	Viral Infection
9	130**	3*	*	Cancer
10	130**	3*	*	Cancer
11	130**	3*	*	Cancer
12	130**	3*	*	Cancer

Figure 1: A 4-anonymous table.

- Seems to resist "Linkage attacks"
  - Can't identify a record uniquely
  - Seem hard to link other sources of info.

- What can go wrong?

- Everyone in their 30's has cancer
- Rule out other info.

	Non-Sensitive			Sensitive
	Zip code	Age	Nationality	Condition
1	130**	<30	*	AIDS
2	130**	<30	*	Heart Disease
3	130**	<30	*	Viral Infection
4	130**	<30	*	Viral Infection
5	130**	≥40	*	Cancer
6	130**	≥40	*	Heart Disease
7	130**	≥40	*	Viral Infection
8	130**	≥40	*	Viral Infection
9	130**	3*	*	Cancer
10	130**	3*	*	Cancer
11	130**	3*	*	Cancer
12	130**	3*	*	Cancer

Figure 1: A 4-anonymous table.

## Composition.

Cross referencing :

{ 28 years old  
 Zipcode 13012  
 In both data sets

Overlap datasets

	Non-Sensitive			Sensitive
	Zip code	Age	Nationality	Condition
1	130**	<30	*	AIDS
2	130**	<30	*	Heart Disease
3	130**	<30	*	Viral Infection
4	130**	<30	*	Viral Infection
5	130**	≥40	*	Cancer
6	130**	≥40	*	Heart Disease
7	130**	≥40	*	Viral Infection
8	130**	≥40	*	Viral Infection
9	130**	3*	*	Cancer
10	130**	3*	*	Cancer
11	130**	3*	*	Cancer
12	130**	3*	*	Cancer

	Non-Sensitive			Sensitive
	Zip code	Age	Nationality	Condition
1	130**	<35	*	AIDS
2	130**	<35	*	Tuberculosis
3	130**	<35	*	Flu
4	130**	<35	*	Tuberculosis
5	130**	<35	*	Cancer
6	130**	<35	*	Cancer
7	130**	≥35	*	Cancer
8	130**	≥35	*	Cancer
9	130**	≥35	*	Cancer
10	130**	≥35	*	Tuberculosis
11	130**	≥35	*	Viral Infection
12	130**	≥35	*	Viral Infection

- $K$ -anonymity issues
  - Specifies a set of acceptable output ( $k$ -anonymous tables)
  - Does not specify the "algorithmic" process
  - "Flexibility" may leak info.

	Non-Sensitive			Sensitive Condition
	Zip code	Age	Nationality	
1	130**	<30	*	AIDS
2	130**	<30	*	Heart Disease
3	130**	<30	*	Viral Infection
4	130**	<30	*	Viral Infection
5	130**	$\geq 40$	*	Cancer
6	130**	$\geq 40$	*	Heart Disease
7	130**	$\geq 40$	*	Viral Infection
8	130**	$\geq 40$	*	Viral Infection
9	130**	3*	*	Cancer
10	130**	3*	*	Cancer
11	130**	3*	*	Cancer
12	130**	3*	*	Cancer

Figure 1: A 4-anonymous table.

Differential Privacy (Dwork, McSherry, Nissim, Smith)

2006

- Algorithmic Property.

- Rigorous guarantees against arbitrary external info.

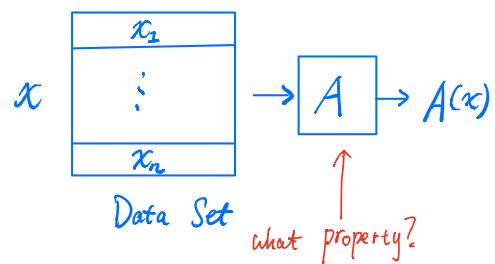
- Resists known attacks.

Data domain  $\mathcal{X}$  (e.g.  $\{0,1\}^d$ ,  $\mathbb{R}^d$ ).

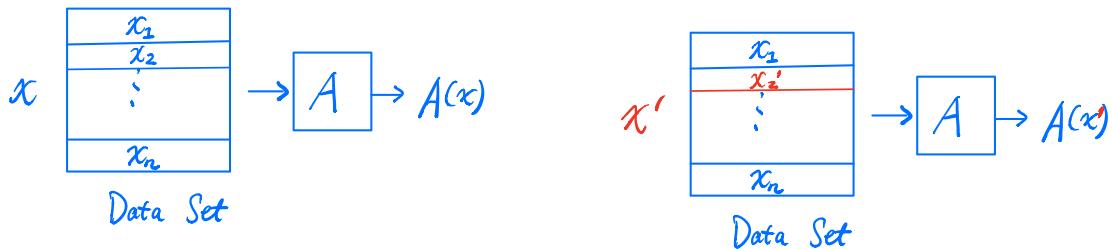
Data set  $x = (x_1, x_2, \dots, x_n) \in \mathcal{X}^n$

Randomized Algorithm  $A$

$\Rightarrow A(x)$  is a random variable.



## Thought Experiment.



$x'$  is a neighbor of  $x$   
if they differ in one data point.

Idea of DP: Neighboring data sets induce  
Stability. close output distributions

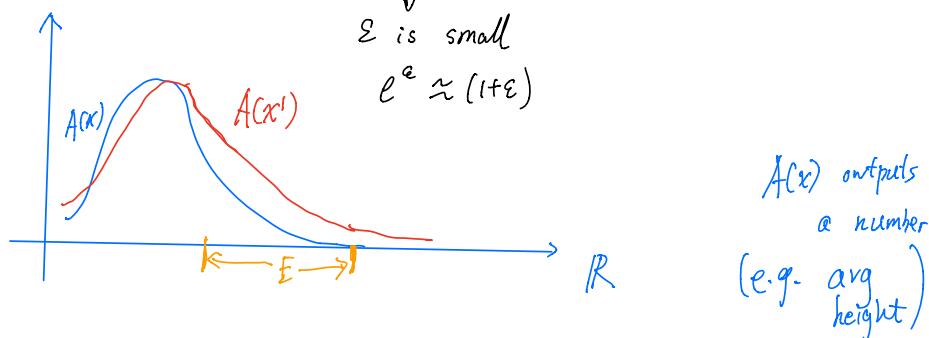
$x, x' \in \mathcal{X}^n$  datasets.

Definition. (Differential Privacy).

$A$  is  $\epsilon$ -differentially private if

for all neighbors  $x$  and  $x' \leftarrow \dots$  (hypothetical)  
for all subsets  $E$  of outputs

$$\mathbb{P}[A(x) \in E] \leq e^\epsilon \mathbb{P}[A(x') \in E]$$



Definition. (Differential Privacy).

$A$  is  $\epsilon$ -differentially private if  
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$$\mathbb{P}[A(x) \in E] \leq e^\epsilon \mathbb{P}[A(x') \in E]$$

What is  $\epsilon$ ?

- Measure of info leakage (called max divergence)  
(also called privacy parameter)

$\epsilon=0$ ,  $e^0=1$ .  $\rightarrow A(x)$  is the same for all  $x$ .

- Small constant  $\approx \frac{1}{10}, 1$  - but not  $\frac{1}{2^{80}}, 100$

$$e^\epsilon \approx 1 + \epsilon$$

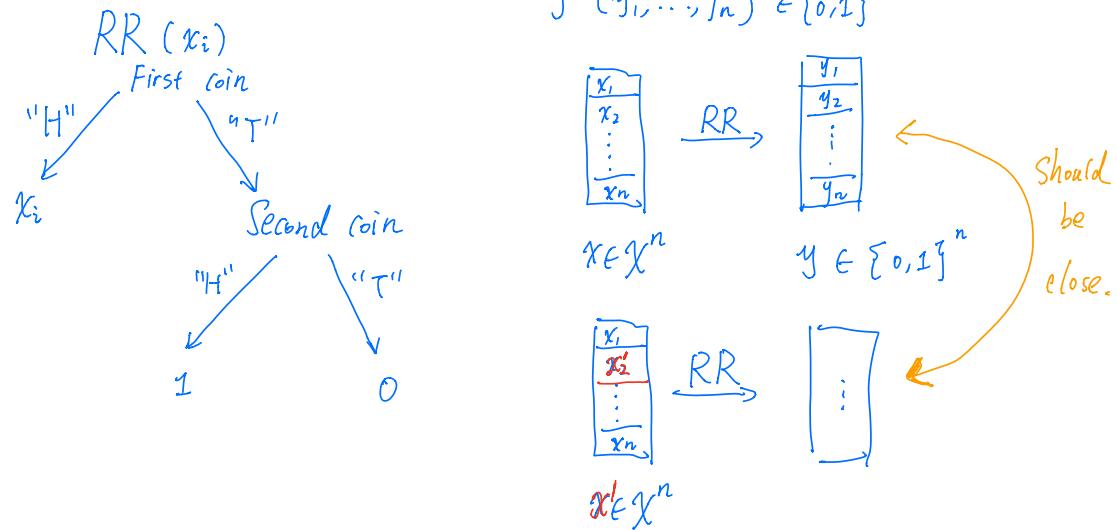
## Example : Randomized Response (In lecture 1)

Each person has a secret bit  $x_i = 0$  or  $x_i = 1$   
 (Have you ever done XYZ?)

Input :  $x_1, \dots, x_n \in X = \{0, 1\}$

Output :  $y_1, \dots, y_n \in \{0, 1\}$

$$y = (y_1, \dots, y_n) \in \{0, 1\}^n$$



$RR$  is  $\ln(3)$ -differentially private

Proof. • Fix two neighboring data sets

$$x = (x_1, \dots, x_i, \dots, x_n), x' = (x_1, \dots, x'_i, \dots, x_n)$$

Proof sketch

WANT:  $\forall E \subseteq \{0,1\}^n$

$$\frac{\mathbb{P}[RR(x) \in E]}{\mathbb{P}[RR(x') \in E]} \leq e^\epsilon$$

Final Goal.

It suffices to show  $\forall y \in \{0,1\}^n$

$$\frac{\mathbb{P}[RR(x)=y]}{\mathbb{P}[RR(x')=y]} \leq e^\epsilon$$

• To start, fix some output  $y = (y_1, \dots, y_n) \in \{0,1\}^n$

$$\begin{aligned} \frac{\mathbb{P}[RR(x)=y]}{\mathbb{P}[RR(x')=y]} &= \frac{\mathbb{P}[RR_1(x_1)=y_1] \cdots \mathbb{P}[RR_i(x_i)=y_i] \cdots \mathbb{P}[RR_n(x_n)=y_n]}{\mathbb{P}[RR_1(x'_1)=y_1] \cdots \mathbb{P}[RR_i(x'_i)=y_i] \cdots \mathbb{P}[RR_n(x'_n)=y_n]} \\ &= \frac{\mathbb{P}[RR_i(x_i)=y_i]}{\mathbb{P}[RR_i(x'_i)=y_i]} \quad \begin{matrix} \leftarrow \text{How big is this?} \\ 3, 1 \text{ or } \frac{1}{3} \end{matrix} \\ &\leq e^{(n/3)} = 3. \end{aligned}$$

To complete the proof:  $\forall E \subseteq \{0,1\}^n$

$$\begin{aligned} \mathbb{P}[RR(x) \in E] &= \sum_{y \in E} \mathbb{P}[RR(x)=y] \leq \sum_{y \in E} e^\epsilon \cdot \mathbb{P}[RR(x')=y] \\ &= e^\epsilon \sum_{y \in E} \mathbb{P}[RR(x')=y] = e^\epsilon \mathbb{P}[RR(x') \in E]. \end{aligned}$$

## Basic Proof Strategy :

for all neighbors  $x$  and  $x'$   
for all subsets  $E$  of outputs

$$\mathbb{P}[A(x) \in E] \leq e^\varepsilon \mathbb{P}[A(x') \in E]$$

$$\mathbb{P}[A(x) = y] \leq e^\varepsilon \mathbb{P}[A(x') = y]$$

Reading for Weds.

HWI.