



Concorde Investment Services, LLC
COMMISSION STATEMENT
May 31, 2017

05/25/2017
 Page No. 1

DANIEL RAUPP
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: R132
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
04/28/2017	RAUPP, DANIEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.24	0.00	3.24	100.0	3.24
04/28/2017	LACASSE, RAYMOND	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.89	0.00	4.89	100.0	4.89
04/18/2017	LACASSE, CHRISTINE	WELLS FARGO ADVANTAGE ABSOLUTE RETU	0.00	2.05	0.00	2.05	100.0	2.05
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$10.18	\$0.00	\$10.18		\$10.18
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$10.18	\$0.00	\$10.18		\$10.18

SPLIT TRANSACTIONS

BROKER: 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE #251

04/06/2017	MARKLEW, IAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.75	0.00	0.75	100.0	0.75
* 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$0.75	\$0.00	\$0.75		\$0.75

BROKER: 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS #252

04/06/2017	PARILLO, DOMINICK	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.97	0.00	0.97	100.0	0.97
04/06/2017	WYFFELS, ALAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.61	0.00	3.61	100.0	3.61
04/06/2017	PARILLO, RALPH	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.97	0.00	0.97	100.0	0.97
04/18/2017	VICTOR, ELLEN	JP MORGAN GROWTH ADV A	0.00	1.09	0.00	1.09	100.0	1.09
04/28/2017	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.29	0.00	0.29	100.0	0.29
05/16/2017	LAGALA, JASON	MAINSTAY CUSHING MLP PREMIER FUND C	3,000.00	15.00	11.00	4.00	100.0	4.00
04/28/2017	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.23	0.00	0.23	100.0	0.23
04/28/2017	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.29	0.00	1.29	100.0	1.29
04/28/2017	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.31	0.00	0.31	100.0	0.31
04/28/2017	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.33	0.00	0.33	100.0	0.33
04/28/2017	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.16	0.00	0.16	100.0	0.16
04/20/2017	VICTOR, ELLEN	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	0.60	0.00	0.60	100.0	0.60
04/20/2017	WOLD, JOHN	VOYA MID-CAP OPPORTUNITIES FUND CLA	0.00	0.10	0.00	0.10	100.0	0.10

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------	--------	------------	----------------------	---------------------	--------------------	-------------------	------	----------------------

SPLIT TRANSACTIONS**BROKER: BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU #253**

* 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS SUBTOTAL *			\$3,000.00	\$24.95	\$11.00	\$13.95		\$13.95
BROKER: BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU #253								
05/11/2017	TROVATO, JOSEPH	AXA 00248C360	50,750.00	888.13	0.00	888.13	100.0	888.13
05/11/2017	TROVATO, JOSEPH	AXA 00248C360	152,250.00	2,664.38	0.00	2,664.38	100.0	2,664.38
04/06/2017	CRESPO, ALEJANDRO	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.90	0.00	0.90	100.0	0.90
04/06/2017	CHEW, ROLAND	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.97	0.00	0.97	100.0	0.97
04/13/2017	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.52	0.00	0.52	100.0	0.52
04/13/2017	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	2.06	0.00	2.06	100.0	2.06
04/12/2017	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	1.23	0.00	1.23	100.0	1.23
04/18/2017	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	0.48	0.00	0.48	100.0	0.48
04/18/2017	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	0.78	0.00	0.78	100.0	0.78
04/18/2017	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.33	0.00	0.33	100.0	0.33
04/28/2017	BARBER, STEVE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.51	0.00	0.51	100.0	0.51
04/28/2017	KURTZ, MICHAEL	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.28	0.00	0.28	100.0	0.28
04/28/2017	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.76	0.00	0.76	100.0	0.76
04/28/2017	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.10	0.00	0.10	100.0	0.10
04/28/2017	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.60	0.00	0.60	100.0	0.60
04/28/2017	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.39	0.00	0.39	100.0	0.39
04/28/2017	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.22	0.00	0.22	100.0	0.22
04/28/2017	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.49	0.00	0.49	100.0	0.49
04/17/2017	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	5.27	0.00	5.27	100.0	5.27
* BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU SUBTOTAL *			\$203,000.00	\$3,568.40	\$0.00	\$3,568.40		\$3,568.40

BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254

04/17/2017	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.08	0.00	0.08	100.0	0.08
04/17/2017	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.62	0.00	0.62	100.0	0.62
04/17/2017	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.04	0.00	1.04	100.0	1.04
04/18/2017	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.61	0.00	0.61	100.0	0.61
04/18/2017	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.55	0.00	0.55	100.0	0.55
04/18/2017	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.23	0.00	0.23	100.0	0.23
04/18/2017	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.22	0.00	0.22	100.0	0.22
04/18/2017	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.43	0.00	0.43	100.0	0.43
04/18/2017	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	4.43	0.00	4.43	100.0	4.43

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
04/18/2017	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.27	0.00	0.27	100.0	0.27
04/18/2017	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.30	0.00	0.30	100.0	0.30
04/18/2017	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.20	0.00	0.20	100.0	0.20
04/28/2017	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.18	0.00	0.18	100.0	0.18
04/13/2017	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.34	0.00	0.34	100.0	0.34
04/13/2017	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.60	0.00	1.60	100.0	1.60
04/24/2017	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.75	0.00	0.75	100.0	0.75
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$0.00	\$11.85	\$0.00	\$11.85		\$11.85

<u>BROKER: J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL #255</u>								
04/27/2017	BENAVENTE, BENJAMIN	BLACKROCK MULTI-ASSET INCOME PORTFO	0.00	2.15	0.00	2.15	100.0	2.15
04/12/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.37	0.00	6.37	100.0	6.37
04/12/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.40	0.00	5.40	100.0	5.40
04/12/2017	KLANSKY, ARTHUR	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	16.20	0.00	16.20	100.0	16.20
04/12/2017	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.32	0.00	5.32	100.0	5.32
04/12/2017	MALONEY, JOHN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	15.97	0.00	15.97	100.0	15.97
05/17/2017	RAGLANI, JOSEPH	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	1,750.00	0.00	1,750.00	100.0	1,750.00
04/17/2017	KLANSKY, ARTHUR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.14	0.00	6.14	100.0	6.14
04/18/2017	OXFORD INS CO-CL E	JP MORGAN GROWTH ADV A	0.00	1.63	0.00	1.63	100.0	1.63
04/18/2017	OXFORD INS CO-CL E	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	2.18	0.00	2.18	100.0	2.18
04/18/2017	OXFORD INS CO-CL E	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.59	0.00	1.59	100.0	1.59
04/28/2017	OXFORD INS CO-CL E	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.47	0.00	0.47	100.0	0.47
04/28/2017	MALONEY, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	10.08	0.00	10.08	100.0	10.08
04/28/2017	OXFORD INS CO-CL E	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.36	0.00	0.36	100.0	0.36
04/13/2017	MALONEY, JOHN	MILLER CONVERTIBLE BOND FUND CLASS	0.00	66.81	0.00	66.81	100.0	66.81
* J1D-DAN/JON PAUL FT-NY RAUPP/CIRELL SUBTOTAL *			\$50,000.00	\$1,890.67	\$0.00	\$1,890.67		\$1,890.67

<u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u>								
05/12/2017	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	8.70	0.00	8.70	100.0	8.70
05/08/2017	DOLCE, CHARLES	GPB AUTOMOTIVE PORTFOLIO LP	130,000.00	4,550.00	0.00	4,550.00	100.0	4,550.00
05/23/2017	DOLCE, JENNIFER	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	3,500.00	0.00	3,500.00	100.0	3,500.00
05/05/2017	DOLCE, CHARLES	GPB WASTE MANAGEMENT LP	50,000.00	1,750.00	0.00	1,750.00	100.0	1,750.00
04/17/2017	JOHNSON, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.93	0.00	2.93	100.0	2.93
05/05/2017	DOLCE, CHARLES	HPI FUND V	37,000.00	1,110.00	0.00	1,110.00	100.0	1,110.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u>								
04/28/2017	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	7.12	0.00	7.12	100.0	7.12
04/28/2017	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.89	0.00	2.89	100.0	2.89
04/28/2017	MARSH, LAURIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.52	0.00	1.52	100.0	1.52
04/28/2017	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.13	0.00	5.13	100.0	5.13
04/28/2017	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.28	0.00	1.28	100.0	1.28
04/28/2017	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	5.85	0.00	5.85	100.0	5.85
04/28/2017	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.87	0.00	1.87	100.0	1.87
04/28/2017	BENJAMIN, WAYNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.08	0.00	1.08	100.0	1.08
04/28/2017	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.40	0.00	4.40	100.0	4.40
04/28/2017	JOHNSON FAMILY TRUST	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	4.65	0.00	4.65	100.0	4.65
04/28/2017	STARK, LINDA	MAINSTAY MAP A	0.00	0.90	0.00	0.90	100.0	0.90
04/28/2017	RASMUSSEN, MELANIE	MAINSTAY MODERATE GROWTH ALLOCATION	0.00	0.87	0.00	0.87	100.0	0.87
04/13/2017	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.15	0.00	4.15	100.0	4.15
04/20/2017	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	3.05	0.00	3.05	100.0	3.05
04/12/2017	RASMUSSEN, MELANIE	PIONEER FUND A	0.00	0.16	0.00	0.16	100.0	0.16
04/12/2017	RASMUSSEN, MELANIE	PIONEER FUNDAMENTAL GROWTH A	0.00	0.39	0.00	0.39	100.0	0.39
04/24/2017	RASMUSSEN, MELANIE	THE GABELLI UTILITIES FUND CLASS A	0.00	0.40	0.00	0.40	100.0	0.40
* G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$317,000.00	\$10,967.34	\$0.00	\$10,967.34		\$10,967.34
<u>BROKER: G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R #257</u>								
04/18/2017	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.07	0.00	0.07	100.0	0.07
05/16/2017	KURTZ, MICHAEL	BIODELIVERY SCIENCES INTL INC COM	-4,000.00	7.50	6.25	1.25	100.0	1.25
04/06/2017	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.15	0.00	2.15	100.0	2.15
04/06/2017	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.35	0.00	0.35	100.0	0.35
04/06/2017	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.15	0.00	1.15	100.0	1.15
04/06/2017	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.59	0.00	1.59	100.0	1.59
04/21/2017	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	0.84	0.00	0.84	100.0	0.84
04/18/2017	BRANDT, ROSEMARY	JP MORGAN GROWTH ADV A	0.00	1.82	0.00	1.82	100.0	1.82
04/18/2017	BRANDT, ROSEMARY	JP MORGAN INCOME BUILDER FUND CLASS	0.00	2.74	0.00	2.74	100.0	2.74
04/18/2017	BRANDT, ROSEMARY	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	1.80	0.00	1.80	100.0	1.80
04/18/2017	BRANDT, ROSEMARY	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	1.74	0.00	1.74	100.0	1.74
04/28/2017	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.56	0.00	2.56	100.0	2.56
04/28/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.48	0.00	1.48	100.0	1.48
04/28/2017	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.00	0.00	1.00	100.0	1.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R #257</u>								
04/13/2017	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	2.33	0.00	2.33	100.0	2.33
04/18/2017	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	0.74	0.00	0.74	100.0	0.74
04/18/2017	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.64	0.00	0.64	100.0	0.64
* G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R SUBTOTAL *			\$-4,000.00	\$30.50	\$6.25	\$24.25		\$24.25
<u>BROKER: G0D-JON P/DAN/JEFF FT-FL CIREL/RAUP #258</u>								
04/12/2017	KISSIL, GEORGE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.26	0.00	1.26	100.0	1.26
* G0D-JON P/DAN/JEFF FT-FL CIREL/RAUP SUBTOTAL *			\$0.00	\$1.26	\$0.00	\$1.26		\$1.26
<u>BROKER: G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE #259</u>								
04/28/2017	SEITZ, CRAIG	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.73	0.00	0.73	100.0	0.73
* G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$0.73	\$0.00	\$0.73		\$0.73
<u>BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272</u>								
05/17/2017	REILLY, TERRENCE	GPB AUTOMOTIVE PORTFOLIO LP	150,000.00	3,675.00	0.00	3,675.00	100.0	3,675.00
05/17/2017	REILLY, TERRENCE	GPB WASTE MANAGEMENT LP	40,000.00	980.00	0.00	980.00	100.0	980.00
05/17/2017	REILLY, TERRENCE	HPI FUND V	50,000.00	1,050.00	0.00	1,050.00	100.0	1,050.00
04/18/2017	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.48	0.00	0.48	100.0	0.48
* R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *			\$240,000.00	\$5,705.48	\$0.00	\$5,705.48		\$5,705.48
<u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u>								
05/18/2017	FLAHERTY, PATRICIA	ALCOA UPSTREAM CORP COM	-754.10	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	ALCOA UPSTREAM CORP COM	-1,750.78	4.50	3.38	1.12	100.0	1.12
04/20/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.50	0.00	0.50	100.0	0.50
04/20/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.00	0.00	2.00	100.0	2.00
04/20/2017	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.32	0.00	1.32	100.0	1.32
04/20/2017	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.96	0.00	0.96	100.0	0.96
05/17/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	1,008.04	6.05	3.30	2.75	100.0	2.75
05/18/2017	FLAHERTY, PATRICIA	AMERICAN TOWER REIT COM	-3,848.52	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	AMERICAN TOWER REIT COM	-8,838.07	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, PATRICIA	ARCONIC INC COM	-1,955.82	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	ARCONIC INC COM	-4,552.60	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, PATRICIA	AT&T INC COM	-3,296.52	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	AT&T INC COM	-7,683.55	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, PATRICIA	BAXTER INTL INC COM	-2,433.88	4.50	3.38	1.12	100.0	1.12

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273								
05/18/2017	FLAHERTY, STEPHEN	BAXTER INTL INC COM	-5,600.53	4.50	3.38	1.12	100.0	1.12
04/12/2017	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.15	0.00	0.15	100.0	0.15
04/12/2017	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.38	0.00	0.38	100.0	0.38
04/12/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.02	0.00	0.02	100.0	0.02
04/12/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.06	0.00	0.06	100.0	0.06
05/18/2017	FLAHERTY, PATRICIA	CENTURYLINK INC COM	-1,976.48	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	CENTURYLINK INC COM	-4,562.80	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, PATRICIA	CISCO SYSTEMS INC	-3,276.42	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	CISCO SYSTEMS INC	-7,531.79	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, PATRICIA	COGNIZANT TECHNOLOGY SOLUTIONS CORP	-2,983.19	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	COGNIZANT TECHNOLOGY SOLUTIONS CORP	-6,988.46	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, PATRICIA	CONOCOPHILLIPS COM	-2,085.80	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	CONOCOPHILLIPS COM	-4,836.30	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, PATRICIA	COSTCO WHOLESALE CORP NEW COM	-3,236.50	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	COSTCO WHOLESALE CORP NEW COM	-7,493.63	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, PATRICIA	CUMMINS INC	-3,178.31	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	CUMMINS INC	-7,229.66	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, PATRICIA	CVS CAREMARK CORP	-2,158.57	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	CVS CAREMARK CORP	-4,998.76	4.50	3.38	1.12	100.0	1.12
04/18/2017	BARAG, STANLEY	DEUTSCHE GLOBAL RE	0.00	0.15	0.00	0.15	100.0	0.15
05/18/2017	FLAHERTY, PATRICIA	EXPRESS SCRIPTS HLDG CO COM	-2,046.87	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	EXPRESS SCRIPTS HLDG CO COM	-4,761.80	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, PATRICIA	FEDEX CORP COM	-3,187.30	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	FEDEX CORP COM	-7,486.32	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, PATRICIA	FORTIS INC COM	-1,896.98	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	FORTIS INC COM	-4,410.69	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, PATRICIA	FRANKLIN RESOURCES INC	-2,338.11	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	FRANKLIN RESOURCES INC	-5,350.92	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, PATRICIA	GILEAD SCIENCES INC	-1,922.16	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	GILEAD SCIENCES INC	-4,420.28	4.50	3.38	1.12	100.0	1.12
04/17/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.47	0.00	0.47	100.0	0.47
04/17/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.42	0.00	1.42	100.0	1.42
04/17/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.59	0.00	0.59	100.0	0.59

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273								
04/17/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.77	0.00	1.77	100.0	1.77
04/17/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.39	0.00	0.39	100.0	0.39
04/17/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.18	0.00	1.18	100.0	1.18
04/17/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.47	0.00	0.47	100.0	0.47
04/17/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.42	0.00	1.42	100.0	1.42
04/17/2017	ROMAN, ROSA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.71	0.00	0.71	100.0	0.71
04/17/2017	ABRAMS, RAFAEL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.10	0.00	1.10	100.0	1.10
04/17/2017	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.89	0.00	1.89	100.0	1.89
04/17/2017	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.03	0.00	1.03	100.0	1.03
04/17/2017	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.49	0.00	0.49	100.0	0.49
05/24/2017	KELLY, GORDON	GRIFFIN INSTITUTIONAL ACCESS REAL E	21,020.86	157.66	3.30	154.36	100.0	154.36
05/14/2017	FLAHERTY, STEPHEN	ING PREMIUM PLUS	0.00	5.22	0.00	5.22	100.0	5.22
05/14/2017	FLAHERTY, PATRICIA	ING PREMIUM PLUS	0.00	2.67	0.00	2.67	100.0	2.67
04/13/2017	GRISALES, CARMEN	INVESCO EQUALLY WEIGHTED S&P 500 A	0.00	0.28	0.00	0.28	100.0	0.28
04/13/2017	GRISALES, CARMEN	INVESCO EQUITY AND INCOME A	0.00	0.27	0.00	0.27	100.0	0.27
05/15/2017	SIMPSON TRUST, GERARD & CYNTHI	ISHARES SILVER TR ISHARES	25,009.53	4.50	3.66	0.84	100.0	0.84
05/18/2017	FLAHERTY, PATRICIA	JP MORGAN CHASE & CO COM ISIN#US466	-4,045.54	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	JP MORGAN CHASE & CO COM ISIN#US466	-9,372.84	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, PATRICIA	LAS VEGAS SANDS CORP COM	-2,962.13	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	LAS VEGAS SANDS CORP COM	-6,884.66	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, PATRICIA	LYONDELLBASELL INDUSTRIES N V ORD S	-2,552.67	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	LYONDELLBASELL INDUSTRIES N V ORD S	-5,971.80	4.50	3.38	1.12	100.0	1.12
05/08/2017	CHURCH, KATHLEEN	METLIFE SHEILD LEVEL SELECTOR EQTY	100,000.00	900.00	0.00	900.00	100.0	900.00
05/18/2017	FLAHERTY, PATRICIA	PRAXAIR INC	-3,050.21	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	PRAXAIR INC	-7,001.28	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, PATRICIA	SHIRE PLC SPONS ADR ISIN#US82481R10	-1,144.62	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	SHIRE PLC SPONS ADR ISIN#US82481R10	-2,672.74	4.50	3.38	1.12	100.0	1.12
05/11/2017	WASSERMAN TRUST, NINA	SNAP INC CL A	9,163.85	0.00	3.41	-3.41	100.0	-3.41
05/18/2017	FLAHERTY, PATRICIA	SOUTH JERSEY INDS INC COM	-3,691.98	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	SOUTH JERSEY INDS INC COM	-8,537.60	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, PATRICIA	SPDR SER TR S&P BK ETF	-3,551.97	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	SPDR SER TR S&P BK ETF	-8,217.11	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, PATRICIA	TAIWAN SEMICONDUCTOR MFG CO LTD-SPO	-4,308.01	4.50	3.38	1.12	100.0	1.12

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u>								
05/18/2017	FLAHERTY, STEPHEN	TAIWAN SEMICONDUCTOR MFG CO LTD-SPO	-9,947.99	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, PATRICIA	TARGET CORP	-1,940.12	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	TARGET CORP	-4,519.96	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, PATRICIA	TYSON FOODS INC CL A	-4,346.33	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	TYSON FOODS INC CL A	-10,069.38	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, PATRICIA	UNITED TECHNOLOGIES CORP COM	-2,969.10	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	UNITED TECHNOLOGIES CORP COM	-6,791.32	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, PATRICIA	V F CORP COM	-1,863.85	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	V F CORP COM	-4,311.19	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, PATRICIA	VERIZON COMMUNICATIONS COM	-2,469.01	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	VERIZON COMMUNICATIONS COM	-5,661.18	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, PATRICIA	WISDOMTREE TR EUROPE HEDGED EQUITY	-2,589.67	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	WISDOMTREE TR EUROPE HEDGED EQUITY	-6,018.20	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, PATRICIA	XCEL ENERGY INC COM	-3,514.36	4.50	3.38	1.12	100.0	1.12
05/18/2017	FLAHERTY, STEPHEN	XCEL ENERGY INC COM	-8,153.45	4.50	3.38	1.12	100.0	1.12
* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *			\$-134,000.46	\$1,383.12	\$229.99	\$1,153.13		\$1,153.13
<u>BROKER: M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR #277</u>								
04/12/2017	LAROCHE, LAURIE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.32	0.00	1.32	100.0	1.32
04/12/2017	LAROCHE, LAURIE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.97	0.00	3.97	100.0	3.97
04/17/2017	LAROCHE, LAURIE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.94	0.00	1.94	100.0	1.94
* M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR SUBTOTAL *			\$0.00	\$7.23	\$0.00	\$7.23		\$7.23
*** SPLIT TRANSACTIONS TOTAL ***			\$674,999.54	\$23,592.28	\$247.24	\$23,345.04		\$23,345.04

VERRIDE TRANSACTIONS**BROKER: JON PAUL CIRELLI #246**

05/12/2017	KISSIL, GEORGE	CARTER VALIDUS MC REIT II CLASS T	0.00	20.71	0.00	20.71	95.0	1.04
* JON PAUL CIRELLI SUBTOTAL *			\$0.00	\$20.71	\$0.00	\$20.71		\$1.04

BROKER: ALAN LICHTENSTEIN #249

05/19/2017	WITKIN, CAROL ANN	CENTURYLINK INC COM	-6,898.04	0.00	22.50	-22.50	75.0	-2.81
05/19/2017	WITKIN, CAROL ANN	GENERAL MTRS CO COM	-3,845.02	0.00	22.50	-22.50	75.0	-2.81
05/19/2017	WITKIN, CAROL ANN	INTEL CORP COM	-355.12	0.00	22.50	-22.50	75.0	-2.81

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC LINSKY #263</u>								
		* ALAN LICHTENSTEIN SUBTOTAL *	\$-11,098.18	\$0.00	\$67.50	\$-67.50		\$-8.43
<u>BROKER: MARC LINSKY #263</u>								
05/17/2017	CHIECO, DANIEL	AM CENT EQUITY INCOME	404.04	20.20	22.00	-1.80	90.0	-0.03
05/15/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	0.19
05/17/2017	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	97.98	4.90	22.00	-17.10	90.0	-0.29
05/17/2017	BOND-HILTNER, LINDA	AM FUNDS ICA A	404.10	20.21	22.00	-1.79	90.0	-0.03
05/17/2017	LINSKY, MARC	AM FUNDS ICA A	2,033.88	76.27	22.00	54.27	90.0	0.92
04/18/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.94	0.00	4.94	90.0	0.08
04/18/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	20.16	0.00	20.16	90.0	0.34
05/17/2017	BOND-HILTNER, LINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	405.99	20.30	22.00	-1.70	90.0	-0.03
05/17/2017	LINSKY, MARC	AM FUNDS WASHINGTON MUTUAL INVESTOR	2,003.40	75.13	22.00	53.13	90.0	0.90
05/12/2017	SAVASTANO, VICTOR	GENERAL ELECTRIC CO COM	-11,209.40	30.00	22.60	7.40	90.0	0.13
05/12/2017	CARLINO, NANCY	GENERAL ELECTRIC CO COM	-4,719.42	30.00	22.50	7.50	90.0	0.13
04/17/2017	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.32	0.00	5.32	90.0	0.09
05/17/2017	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	218.47	2.18	22.00	-19.82	90.0	-0.34
04/13/2017	FARBMAN, ERIC	INVESCO AMERICAN FRANCHISE A	0.00	8.33	0.00	8.33	90.0	0.14
04/13/2017	FARBMAN, ERIC	INVESCO HIGH YIELD FUND A	0.00	12.54	0.00	12.54	90.0	0.21
04/13/2017	FARBMAN, ERIC	INVESCO STRUCTURED CORE A	0.00	14.94	0.00	14.94	90.0	0.25
05/05/2017	MCFADDEN, ANDREW	LANDMARK 2002	0.00	868.63	0.00	868.63	90.0	14.77
05/01/2017	PISANI, NICHOLAS	LFG LNCTV679U	250.00	10.00	0.00	10.00	90.0	0.17
05/01/2017	PISANI, CARLA	LFG LNDEV679U	541.00	25.70	0.00	25.70	90.0	0.44
05/03/2017	HAMMOND, HAROLD	PAC LIFE INNOVATIVE SELECT	0.00	80.66	0.00	80.66	90.0	1.37
05/10/2017	MORTON, MARTHA	PAC LIFE INNOVATIVE SELECT	0.00	53.81	0.00	53.81	90.0	0.91
05/12/2017	HALL, GLORIA	PAC LIFE INNOVATIVE SELECT	0.00	215.83	0.00	215.83	90.0	3.67
		* MARC LINSKY SUBTOTAL *	\$-9,344.96	\$1,611.30	\$199.10	\$1,412.20		\$23.99
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$-20,443.14	\$1,632.01	\$266.60	\$1,365.41		\$16.60

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
05/31/2017		*FEDERAL EXPRESS: 5-798-27576 5/10/	0.00					-20.24
05/31/2017		*FEDERAL EXPRESS: 5-804-83691 5/17	0.00					-36.35
05/31/2017		*FEDERAL EXPRESS: MONTHLY ALLOW 55%	0.00					550.00
05/31/2017		*MISC: 5/15 OVERNIGHT FEE	0.00					-13.34
05/31/2017		*MISC: ROY AFLAC 1031DST	0.00					1,126.00
05/31/2017		*MISC: ROY, AFLAC, 1031DST	0.00					1,326.00
05/31/2017		*OVERRIDE: BRANCH-CIRELLI	0.00					224.24
05/31/2017		*OVERRIDE: BRANCH-KIESNOSKI	0.00					273.53
05/31/2017		*OVERRIDE: LINKSY NOV-APR ADJUST	0.00					1,942.41
05/31/2017		*OVERRIDE: LINSKY	0.00					94.05
05/31/2017		*OVERRIDE: SPLITS-CIRELLI	0.00					995.49
05/31/2017		*OVERRIDE: SPLITS-KIESNOSKI	0.00					1,576.49
05/31/2017		*PERSHING: MARK UPS	0.00					15.00
05/31/2017		*PERSHING: MARK UPS	0.00					7.50
05/31/2017		*PERSHING: MARK UPS	0.00					306.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$8,366.78

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$10.18
Split Transactions	\$23,345.04
Override Transactions	\$16.60
Adjustments	\$8,366.78
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$31,738.60
Year-to-date Gross	\$517,362.75
Year-to-date Earnings	\$528,053.24