



COMMISSION STATEMENT

July 02, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/27/2018	***-**-47FB - TORRES, SHARI	AMCAP FUND-A	166.57	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 5 Units @33.0100						
06/27/2018	***-**-47FB - TORRES, SHARI	AMERICAN BALANCED FUND-A	193.79	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 7 Units @26.9900						
06/27/2018	***-**-47FB - TORRES, SHARI	AMERICAN HIGH-INCOME TRUST-A	45.36	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 4 Units @10.1600						
06/27/2018	***-**-47FB - TORRES, SHARI	AMERICAN MUTUAL FUND-A	198.84	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 5 Units @40.2500						
06/15/2018	***-**-3281 - DEWITT JR, LEE	BALANCED STRATEGY FUND CLASS A	100.00	2.00	0.00	2.00	70.0	1.40
	ACCOUNT #: 02400113961	BUY 8 Units @12.1600						
06/25/2018	***-**-792J - FITZGERALD, MARY	CALVERT CONSERVATIVE ALLOC CL C-NFS	31,249.99	312.50	18.00	294.50	70.0	206.15
	ACCOUNT #: LSR012646	BUY 1857 Units @16.8300						
06/20/2018	***-**-5922 - HOTAILING,	FRANKLIN INCOME FUND CLASS A	112.50	3.15	0.00	3.15	70.0	2.21
	ACCOUNT #: 000090221306726	BUY 47 Units @2.4000						
06/20/2018	***-**-5922 - HOTAILING,	FRANKLIN RISING DIVIDENDS FD CLASS	112.50	3.15	0.00	3.15	70.0	2.21
	ACCOUNT #: 000015812472167	BUY 2 Units @64.1200						
06/20/2018	***-**-5922 - HOTAILING,	FRK HIGH INC FND - CL A	112.50	3.15	0.00	3.15	70.0	2.21
	ACCOUNT #: 000050118127094	BUY 59 Units @1.9100						
06/22/2018	***-**-5922 - HOTAILING,	PUTNAM CAP. OPPORTUNITIES-A	60.00	1.65	0.00	1.65	70.0	1.16
	ACCOUNT #: 00186955359	BUY 4 Units @15.0600						
06/22/2018	***-**-5922 - HOTAILING,	PUTNAM GROWTH OPPORTUNITIES FD CL A	160.00	4.40	0.00	4.40	70.0	3.08
	ACCOUNT #: 00186955359	BUY 4 Units @36.0300						
06/22/2018	***-**-5922 - HOTAILING,	PUTNAM HIGH YIELD ADVANTAGE-A	190.00	5.23	0.00	5.23	70.0	3.66
	ACCOUNT #: 00186955359	BUY 32 Units @5.9700						
06/22/2018	***-**-5922 - HOTAILING,	PUTNAM INTERNATIONAL EQUITY-A	80.00	2.20	0.00	2.20	70.0	1.54
	ACCOUNT #: 00186955359	BUY 3 Units @26.7800						
06/22/2018	***-**-5922 - HOTAILING,	PUTNAM MID-CAP VALUE FUND-A	60.00	1.65	0.00	1.65	70.0	1.16
	ACCOUNT #: 00186955359	BUY 3 Units @21.6100						
06/20/2018	***-**-5922 - HOTAILING,	TEMP GLOBAL BOND FND - CL A	112.50	3.15	0.00	3.15	70.0	2.21
	ACCOUNT #: 000006984984022	BUY 9 Units @12.0500						
06/27/2018	***-**-47FB - TORRES, SHARI	THE BOND FUND OF AMERICA-A	121.43	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 10 Units @12.5700						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/27/2018	***-**-47FB - TORRES, SHARI	THE GROWTH FUND OF AMERICA-A	115.66	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 2 Units @53.4700						
06/25/2018	***-**-60IN - MILLS, ALEXIS	THE TAX-EXEMPT BOND FUND OF AMERICA	2,200.00	22.00	18.00	4.00	70.0	2.80
	ACCOUNT #: LSR012585	BUY 172 Units @12.8100						
06/25/2018	***-**-60IN - MILLS, ALEXIS	THE TAX-EXEMPT BOND FUND OF AMERICA	-2,200.00	-22.00	-18.00	-4.00	70.0	-2.80
	ACCOUNT #: LSR012585	CANCEL 172 Units @12.8100						
06/27/2018	***-**-47FB - TORRES, SHARI	WASHINGTON MUTUAL INVESTORS FUND-A	157.84	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 4 Units @44.0400						
* MUTUAL FUNDS SUBTOTAL *			\$33,349.48	\$342.23	\$18.00	\$324.23		\$226.99
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
06/25/2018	***-**-943J - DAILY, MATTHEW	AMERICAN FUNDS T/E PRESERVATION POR	9,950.00	199.00	0.00	199.00	70.0	139.30
	ACCOUNT #: LSR011610	BUY 1004 Units @9.9100						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$9,950.00	\$199.00	\$0.00	\$199.00		\$139.30
*** BROKER TRANSACTIONS TOTAL ***			\$43,299.48	\$541.23	\$18.00	\$523.23		\$366.29

BROKER COMMISSION TOTALS

Broker Transactions	\$366.29
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$366.29
Year-to-date Earnings	\$31,935.81