

COMMISSION STATEMENT

June 30, 2018

BRISKIN DPM

BROKER #: D109

BRANCH: DWA

, TX

COMMISSION STATEMENT for BRISKIN DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
06/06/2018 368260050	RICE, GERALD	S HOLLYFRONTIER CORP	S	-7,483.40	7.50	0.00	7.50	0.0	0.00
06/06/2018 368260050	RICE, GERALD	S HOLLYFRONTIER CORP	S	-3,738.70	3.75	0.00	3.75	0.0	0.00
06/06/2018 368260050	RICE, GERALD	S HOLLYFRONTIER CORP	S	-3,739.25	3.75	15.00	-11.25	0.0	0.00
06/26/2018 368260050	RICE, GERALD	S MERCER INTERNATIONAL INC-SBI	B	10,020.95	15.00	15.00	0.00	0.0	0.00
06/06/2018 368357506	DIAZ, LETICIA	S HOLLYFRONTIER CORP	S	-7,481.40	7.50	0.00	7.50	0.0	0.00
06/06/2018 368357506	DIAZ, LETICIA	S HOLLYFRONTIER CORP	S	-7,478.95	7.50	15.00	-7.50	0.0	0.00
06/08/2018 368357506	DIAZ, LETICIA	S BROOKS AUTOMATION INC	B	10,053.45	15.00	15.00	0.00	0.0	0.00
06/25/2018 368357506	DIAZ, LETICIA	S MARATHON PETROLEUM CORP	S	-9,186.79	15.00	15.00	0.00	0.0	0.00
06/26/2018 368357506	DIAZ, LETICIA	S MERCER INTERNATIONAL INC-SBI	B	10,020.95	15.00	15.00	0.00	0.0	0.00
06/06/2018 430275860	KIMBRELL TRUST,	S HOLLYFRONTIER CORP	S	-7,481.40	7.50	0.00	7.50	0.0	0.00
06/06/2018 430275860	KIMBRELL TRUST,	S HOLLYFRONTIER CORP	S	-5,982.67	6.00	15.00	-9.00	0.0	0.00
06/06/2018 430275860	KIMBRELL TRUST,	S HOLLYFRONTIER CORP	S	-1,496.28	1.50	0.00	1.50	0.0	0.00
06/25/2018 430275860	KIMBRELL TRUST,	S MARATHON PETROLEUM CORP	S	-9,186.43	15.00	15.00	0.00	0.0	0.00
06/26/2018 430275860	KIMBRELL TRUST,	S MERCER INTERNATIONAL INC-SBI	B	10,020.95	15.00	15.00	0.00	0.0	0.00
06/06/2018 583773480	PARKS, HUBBARD	S HOLLYFRONTIER CORP	S	-13,453.92	13.50	0.00	13.50	0.0	0.00
06/06/2018 583773480	PARKS, HUBBARD	S HOLLYFRONTIER CORP	S	-1,493.63	1.50	15.00	-13.50	0.0	0.00
06/08/2018 583773480	PARKS, HUBBARD	S BROOKS AUTOMATION INC	B	10,053.45	15.00	15.00	0.00	0.0	0.00
06/15/2018 583773480	PARKS, HUBBARD	S MARATHON PETROLEUM CORP	S	-9,512.73	15.00	15.00	0.00	0.0	0.00
06/26/2018 583773480	PARKS, HUBBARD	S MERCER INTERNATIONAL INC-SBI	B	4,150.46	6.21	15.00	-8.79	0.0	0.00
06/26/2018 583773480	PARKS, HUBBARD	S MERCER INTERNATIONAL INC-SBI	B	5,870.49	8.79	0.00	8.79	0.0	0.00
* STOCKS SUBTOTAL *				\$-27,524.85	\$195.00	\$195.00	\$0.00		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/21/2018 mfc513730	DPM BRISKIN 513730	MFT DREYFUS OPPORTUNISTIC MIDCAP	B	0.00	15.61	0.00	15.61	0.0	0.00
06/21/2018 mfc513730	DPM BRISKIN 513730	MFT THORNBURG LTD TERM INCOME FD CL	B	0.00	13.39	0.00	13.39	0.0	0.00
06/21/2018 mfc513730	DPM BRISKIN 513730	MFT THORNBURG INVESTMENT INCOME	B	0.00	1.91	0.00	1.91	0.0	0.00
06/21/2018 mfc513730	DPM BRISKIN 513730	MFT THORNBURG INVESTMENT INCOME	B	0.00	6.97	0.00	6.97	0.0	0.00
06/21/2018 mfc513730	DPM BRISKIN 513730	MFT THORNBURG INVESTMENT INCOME	B	0.00	10.25	0.00	10.25	0.0	0.00
06/21/2018 mfc513730	DPM BRISKIN 513730	MFT THORNBURG STRATEGIC INCOME FD A	B	0.00	10.06	0.00	10.06	0.0	0.00
06/21/2018 mfc513730	DPM BRISKIN 513730	MFT THORNBURG STRATEGIC MUNI INCOME	B	0.00	5.02	0.00	5.02	0.0	0.00
06/21/2018 mfc513730	DPM BRISKIN 513730	MFT THORNBURG STRATEGIC MUNI INCOME	B	0.00	11.29	0.00	11.29	0.0	0.00

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06/21/2018	mfc513730 DPM BRISKIN 513730	MFT THORNBURG STRATEGIC MUNI INCOME	B	0.00	15.05	0.00	15.05	0.0	0.00
06/21/2018	mfc513730 DPM BRISKIN 513730	MFT TRANSAMERICA SMALL/MID CAP	B	0.00	1.67	0.00	1.67	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$91.22	\$0.00	\$91.22		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***				\$-27,524.85	\$286.22	\$195.00	\$91.22		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00