



COMMISSION STATEMENT

January 22, 2018

ALAN FISHMAN
3 Buckley Place
SUITE 615
Hartsdale, NY 10530

BROKER #: 851
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/01/2018	***-**-305P - BLUMEN, ROBERT	AIM SMALL CAP GROWTH FUND - CLASS A	0.00	109.62	0.00	109.62	75.0	82.22
	ACCOUNT #: 05056135477	BUY 0 Units @0.0000						
01/01/2018	***-**-19IN - BLUMEN, IAN	AIM SMALL CAP GROWTH FUND - CLASS A	0.00	7.43	0.00	7.43	75.0	5.57
	ACCOUNT #: 05066338392	BUY 0 Units @0.0000						
01/01/2018	***-**-305P - BLUMEN, ROBERT	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	72.25	0.00	72.25	75.0	54.19
	ACCOUNT #: 05056135477	BUY 0 Units @0.0000						
01/01/2018	***-**-305P - BLUMEN, ROBERT	INVESCO VAN KAMPEN MID CAP GROWTH A	0.00	84.40	0.00	84.40	75.0	63.30
	ACCOUNT #: 05056135477	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$273.70	\$0.00	\$273.70		\$205.28
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$273.70	\$0.00	\$273.70		\$205.28

BROKER COMMISSION TOTALS

Broker Transactions	\$205.28
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$205.28
Year-to-date Earnings	\$3,129.49