

**COMMISSION STATEMENT****December 15, 2017**

ROY PFLEGER II
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: P123
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
11/16/2017	ABRAHAM, BLESSY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.40	0.00	1.40	75.0	1.05
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1.40	\$0.00	\$1.40		\$1.05
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$1.40	\$0.00	\$1.40		\$1.05

SPLIT TRANSACTIONS**BROKER: R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K #10**

11/15/2017	RASMUSSEN, MELANIE	ASCENSUS NY 529 PLAN	0.00	1.22	0.00	1.22	75.0	0.92
11/15/2017	RASMUSSEN, MELANIE	ASCENSUS NY 529 PLAN	0.00	0.41	0.00	0.41	75.0	0.31
* R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K SUBTOTAL *			\$0.00	\$1.63	\$0.00	\$1.63		\$1.23

BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254

11/20/2017	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.08	0.00	0.08	75.0	0.06
12/01/2017	VOGLIO, ANNAMARIE	GENERAL ELECTRIC CO COM	448.00	8.75	7.88	0.87	75.0	0.65
11/16/2017	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.22	0.00	0.22	75.0	0.17
11/16/2017	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.36	0.00	0.36	75.0	0.27
12/01/2017	VOGLIO, ANNAMARIE	ISHARES TR NORTH AMERN TECH ETF	1,002.96	8.75	7.88	0.87	75.0	0.65
11/22/2017	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.33	0.00	0.33	75.0	0.25
11/22/2017	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.60	0.00	0.60	75.0	0.45
11/22/2017	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.26	0.00	0.26	75.0	0.20
11/22/2017	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.25	0.00	0.25	75.0	0.19
11/22/2017	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.50	0.00	0.50	75.0	0.38
11/22/2017	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	3.13	0.00	3.13	75.0	2.35
11/22/2017	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	2.78	0.00	2.78	75.0	2.09
11/22/2017	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.28	0.00	0.28	75.0	0.21
11/22/2017	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.30	0.00	0.30	75.0	0.23
11/22/2017	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.21	0.00	0.21	75.0	0.16

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
11/20/2017	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.16	0.00	0.16	75.0	0.12
11/10/2017	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.36	0.00	0.36	75.0	0.27
11/10/2017	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.65	0.00	1.65	75.0	1.24
11/22/2017	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.76	0.00	0.76	75.0	0.57
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$1,450.96	\$29.73	\$15.76	\$13.97		\$10.51
<u>BROKER: R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K #381</u>								
11/20/2017	RASMUSSEN, MELANIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.02	0.00	0.02	75.0	0.02
11/10/2017	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.74	0.00	1.74	75.0	1.31
* R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K SUBTOTAL *			\$0.00	\$1.76	\$0.00	\$1.76		\$1.33
*** SPLIT TRANSACTIONS TOTAL ***			\$1,450.96	\$33.12	\$15.76	\$17.36		\$13.07
<u>ADJUSTMENTS</u>								
12/15/2017		*: MISC NOV 30 OVERNIGHT FEE	0.00					-10.00
12/15/2017		*EO INS: CURRENT MONTH	0.00					-105.00
12/15/2017		*PERSHING: MARKUP TICKET CHARGES 2	0.00					21.00
12/15/2017		*TECHNOLOGY: CURRENT MONTH	0.00					-96.25
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-190.25

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BROKER COMMISSION TOTALS

Broker Transactions	\$1.05
Split Transactions	\$13.07
Override Transactions	\$0.00
Adjustments	\$-190.25
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-176.13
Year-to-date Gross	\$243,962.63
Year-to-date Earnings	\$182,884.47