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04/25/18

Accrual Basis

**Dominion Investor Services**  
**Vendor Open Balance**  
All Transactions

Type	Date	Num	Memo	Due Date	Open Balance	Amount
LLOYD, KRIS B. SR.-D039						
Credit	04/05/2018	FINR...			-135.00	-135.00
Bill	04/15/2018	COM...		04/15/2018	1.88	1.88
Total LLOYD, KRIS B. SR.-D039					-133.12	-133.12
TOTAL					<u>-133.12</u>	<u>-133.12</u>

# COMMISSION STATEMENT April 15, 2018

KRIS LLOYD-D039

BROKER #: D039

BRANCH: DMJ

, TX

## COMMISSION STATEMENT for KRIS LLOYD-D039

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### DIRECT TRANSACTIONS

#### PRODUCT CATEGORY: VARIABLE ANNUITIES

03/31/2018 1010786181 POPE, JOAN	VA	PERSP L 08/11	B	820.89	0.68	0.00	0.68	90.0	0.61
* VARIABLE ANNUITIES SUBTOTAL *				\$820.89	\$0.68	\$0.00	\$0.68		\$0.61

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/31/2018 (None) VARIOUS, LLOYD	MFT	NEST ADVISOR	B	0.00	1.41	0.00	1.41	90.0	1.27
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1.41	\$0.00	\$1.41		\$1.27
*** DIRECT TRANSACTIONS TOTAL ***				\$820.89	\$2.09	\$0.00	\$2.09		\$1.88

### BROKER COMMISSION TOTALS

Broker Transactions	\$1.88
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1.88
Year-to-date Commissions	\$18,409.66