



Concorde Investment Services, LLC

11/30/2017

Page No. 1

# COMMISSION STATEMENT

November 30, 2017

**ACCOUNT HOUSE**

1120 E. LONG LAKE ROAD  
SUITE 207  
TROY, MI 48085-

**BROKER #: G999**

BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/15/2017	CONCORDE, VARIOUS	AM CENT EQUITY INCOME	383.04	0.00	0.00	0.00	100.0	0.00
11/15/2017	CONCORDE, VARIOUS	AM CENT EQUITY INCOME	-403.20	0.00	0.00	0.00	100.0	0.00
11/14/2017	CONCORDE, VARIOUS	AM FUNDS FUNDAMENTAL INVESTORS A	-925.00	0.00	0.00	0.00	100.0	0.00
11/14/2017	CONCORDE, VARIOUS	AM FUNDS FUNDAMENTAL INVESTORS A	885.69	0.00	0.00	0.00	100.0	0.00
11/14/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-925.00	0.00	0.00	0.00	100.0	0.00
11/14/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	885.69	0.00	0.00	0.00	100.0	0.00
11/15/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-54.22	0.00	0.00	0.00	100.0	0.00
11/15/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	51.51	0.00	0.00	0.00	100.0	0.00
11/15/2017	CONCORDE, VARIOUS	AM FUNDS ICA A	-432.50	0.00	0.00	0.00	100.0	0.00
11/15/2017	CONCORDE, VARIOUS	AM FUNDS ICA A	410.87	0.00	0.00	0.00	100.0	0.00
11/15/2017	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	-429.48	0.00	0.00	0.00	100.0	0.00
11/15/2017	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	408.01	0.00	0.00	0.00	100.0	0.00
11/15/2017	CONCORDE, VARIOUS	AMERICAN CENTURY STRATEGIC ALLOC AG	-1,006.56	0.00	0.00	0.00	100.0	0.00
11/15/2017	CONCORDE, VARIOUS	AMERICAN CENTURY STRATEGIC ALLOC AG	966.30	0.00	0.00	0.00	100.0	0.00
11/17/2017	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	36,100.00	0.00	0.00	0.00	100.0	0.00
11/17/2017	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	-38,000.00	0.00	0.00	0.00	100.0	0.00
11/15/2017	CONCORDE, VARIOUS	HARTFORD CAPITAL APPRECIATION C	-200.46	0.00	0.00	0.00	100.0	0.00
11/15/2017	CONCORDE, VARIOUS	HARTFORD CAPITAL APPRECIATION C	198.46	0.00	0.00	0.00	100.0	0.00
11/15/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	1,360.79	0.00	0.00	0.00	100.0	0.00
11/15/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	364.75	0.00	0.00	0.00	100.0	0.00
11/15/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	-1,406.50	0.00	0.00	0.00	100.0	0.00
11/15/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	1,010.07	0.00	0.00	0.00	100.0	0.00
11/15/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	-377.00	0.00	0.00	0.00	100.0	0.00
11/15/2017	CONCORDE, VARIOUS	LORD ABBETT FUNDAMENTAL EQUITY A	-1,044.00	0.00	0.00	0.00	100.0	0.00
11/15/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	-1,401.82	0.00	0.00	0.00	100.0	0.00
11/15/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	1,380.79	0.00	0.00	0.00	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/15/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	363.37	0.00	0.00	0.00	100.0	0.00
11/15/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	371.92	0.00	0.00	0.00	100.0	0.00
11/15/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	-377.58	0.00	0.00	0.00	100.0	0.00
11/15/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	-368.90	0.00	0.00	0.00	100.0	0.00
11/15/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	1,025.98	0.00	0.00	0.00	100.0	0.00
11/15/2017	CONCORDE, VARIOUS	LORD ABBETT SHORT DURATION INCOME A	-1,041.60	0.00	0.00	0.00	100.0	0.00
11/27/2017	CONCORDE, VARIOUS	PIMCO INCOME FUND CLASS A	-60,000.00	0.00	0.00	0.00	100.0	0.00
11/27/2017	CONCORDE, VARIOUS	PIMCO INCOME FUND CLASS A	-30,000.00	0.00	0.00	0.00	100.0	0.00
11/27/2017	CONCORDE, VARIOUS	PIMCO INCOME FUND CLASS A	29,025.00	0.00	0.00	0.00	100.0	0.00
11/27/2017	CONCORDE, VARIOUS	PIMCO INCOME FUND CLASS A	58,800.00	0.00	0.00	0.00	100.0	0.00
11/14/2017	CONCORDE, VARIOUS	PUTNAM ASSET ALLOC GROWTH A	-50.00	0.00	0.00	0.00	100.0	0.00
11/14/2017	CONCORDE, VARIOUS	PUTNAM ASSET ALLOC GROWTH A	47.50	0.00	0.00	0.00	100.0	0.00
11/17/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	-150.00	0.00	0.00	0.00	100.0	0.00
11/17/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	148.50	0.00	0.00	0.00	100.0	0.00
11/15/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-100.00	0.00	0.00	0.00	100.0	0.00
11/15/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	99.00	0.00	0.00	0.00	100.0	0.00
11/17/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	148.50	0.00	0.00	0.00	100.0	0.00
11/17/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-150.00	0.00	0.00	0.00	100.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$-4,408.08</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
11/27/2017	CONCORDE, VARIOUS	BP PLC SPONS ADR	-3,978.00	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	BP PLC SPONS ADR	-3,978.00	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	BP PLC SPONS ADR	-3,978.00	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	BP PLC SPONS ADR	-3,978.00	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	BP PLC SPONS ADR	-3,977.80	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	BP PLC SPONS ADR	19,889.80	0.00	0.00	0.00	100.0	0.00
11/27/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	20,388.00	0.00	0.00	0.00	100.0	0.00
11/27/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-4,249.75	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-425.00	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-39.10	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-850.00	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-1,700.00	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-1,699.00	0.00	1.00	-1.00	100.0	-1.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
11/27/2017	CONCORDE, VARIOUS	BTCS INC COM NEW	-11,429.17	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	CLAYMORE EXCHANGE-TRADED FD TR GUGG	5,042.00	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	CLAYMORE EXCHANGE-TRADED FD TR GUGG	1,537.81	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	CLAYMORE EXCHANGE-TRADED FD TR GUGG	3,402.88	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	CLAYMORE EXCHANGE-TRADED FD TR GUGG	-9,982.68	0.00	0.00	0.00	100.0	0.00
11/17/2017	CONCORDE, VARIOUS	DELTA AIRLINES INC COM NEW	8,734.25	0.00	0.00	0.00	100.0	0.00
11/17/2017	CONCORDE, VARIOUS	DELTA AIRLINES INC COM NEW	-4,991.00	0.00	1.00	-1.00	100.0	-1.00
11/17/2017	CONCORDE, VARIOUS	DELTA AIRLINES INC COM NEW	-3,743.25	0.00	1.00	-1.00	100.0	-1.00
11/14/2017	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	-10,036.02	0.00	0.00	0.00	100.0	0.00
11/14/2017	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	9,366.84	0.00	1.00	-1.00	100.0	-1.00
11/14/2017	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	669.20	0.00	1.00	-1.00	100.0	-1.00
11/20/2017	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	1,674.50	0.00	1.00	-1.00	100.0	-1.00
11/20/2017	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	6,699.60	0.00	1.00	-1.00	100.0	-1.00
11/20/2017	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	1,674.50	0.00	1.00	-1.00	100.0	-1.00
11/20/2017	CONCORDE, VARIOUS	ENERGY TRANSFER PARTNERS L P NEW UN	-10,048.62	0.00	0.00	0.00	100.0	0.00
11/22/2017	CONCORDE, VARIOUS	ENTERCOM COMMUNICATIONS CORP CL A	-1,175.00	0.00	1.00	-1.00	100.0	-1.00
11/22/2017	CONCORDE, VARIOUS	ENTERCOM COMMUNICATIONS CORP CL A	-1,175.00	0.00	1.00	-1.00	100.0	-1.00
11/22/2017	CONCORDE, VARIOUS	ENTERCOM COMMUNICATIONS CORP CL A	-282.00	0.00	1.00	-1.00	100.0	-1.00
11/22/2017	CONCORDE, VARIOUS	ENTERCOM COMMUNICATIONS CORP CL A	-3,971.50	0.00	1.00	-1.00	100.0	-1.00
11/22/2017	CONCORDE, VARIOUS	ENTERCOM COMMUNICATIONS CORP CL A	6,603.50	0.00	0.00	0.00	100.0	0.00
11/13/2017	CONCORDE, VARIOUS	GENESIS ENERGY LP UNITS LTD PARTNER	-2,273.19	0.00	1.00	-1.00	100.0	-1.00
11/13/2017	CONCORDE, VARIOUS	GENESIS ENERGY LP UNITS LTD PARTNER	-20,448.00	0.00	1.00	-1.00	100.0	-1.00
11/13/2017	CONCORDE, VARIOUS	GENESIS ENERGY LP UNITS LTD PARTNER	22,721.20	0.00	0.00	0.00	100.0	0.00
11/27/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-1,036.13	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-26.28	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-78.60	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-711.99	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-262.00	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-2,600.00	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-260.00	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-115.20	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-102.40	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-448.00	0.00	1.00	-1.00	100.0	-1.00

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<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
11/27/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-127.97	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-750.00	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-2,500.00	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-25.00	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	-4,931.90	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	GLOBAL ARENA HLDG INC COM	13,970.00	0.00	0.00	0.00	100.0	0.00
11/21/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	2,880.12	0.00	0.00	0.00	100.0	0.00
11/21/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-281.52	0.00	1.00	-1.00	100.0	-1.00
11/21/2017	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-2,598.60	0.00	1.00	-1.00	100.0	-1.00
11/15/2017	CONCORDE, VARIOUS	ISHARES TR CORE HIGH DIVID ETF	8,829.16	0.00	1.00	-1.00	100.0	-1.00
11/15/2017	CONCORDE, VARIOUS	ISHARES TR CORE HIGH DIVID ETF	4,028.84	0.00	1.00	-1.00	100.0	-1.00
11/15/2017	CONCORDE, VARIOUS	ISHARES TR CORE HIGH DIVID ETF	-12,858.00	0.00	0.00	0.00	100.0	0.00
11/15/2017	CONCORDE, VARIOUS	JP MORGAN CHASE & CO COM ISIN#US466	38,071.67	0.00	0.00	0.00	100.0	0.00
11/15/2017	CONCORDE, VARIOUS	JP MORGAN CHASE & CO COM ISIN#US466	-19,474.00	0.00	1.00	-1.00	100.0	-1.00
11/15/2017	CONCORDE, VARIOUS	JP MORGAN CHASE & CO COM ISIN#US466	-9,737.00	0.00	1.00	-1.00	100.0	-1.00
11/15/2017	CONCORDE, VARIOUS	JP MORGAN CHASE & CO COM ISIN#US466	-8,860.67	0.00	1.00	-1.00	100.0	-1.00
11/14/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	2,023.36	0.00	0.00	0.00	100.0	0.00
11/14/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	-588.35	0.00	1.00	-1.00	100.0	-1.00
11/14/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	-1,435.01	0.00	1.00	-1.00	100.0	-1.00
11/13/2017	CONCORDE, VARIOUS	OBSIDIAN ENERGY LTD REGISTERED SHS	1,767.18	0.00	0.00	0.00	100.0	0.00
11/13/2017	CONCORDE, VARIOUS	OBSIDIAN ENERGY LTD REGISTERED SHS	-1,573.00	0.00	1.00	-1.00	100.0	-1.00
11/13/2017	CONCORDE, VARIOUS	OBSIDIAN ENERGY LTD REGISTERED SHS	-194.21	0.00	1.00	-1.00	100.0	-1.00
11/13/2017	CONCORDE, VARIOUS	PERMIAN BASIN RTY TR UNIT BEN INT	-952.50	0.00	1.00	-1.00	100.0	-1.00
11/13/2017	CONCORDE, VARIOUS	PERMIAN BASIN RTY TR UNIT BEN INT	-952.50	0.00	1.00	-1.00	100.0	-1.00
11/13/2017	CONCORDE, VARIOUS	PERMIAN BASIN RTY TR UNIT BEN INT	-952.00	0.00	1.00	-1.00	100.0	-1.00
11/13/2017	CONCORDE, VARIOUS	PERMIAN BASIN RTY TR UNIT BEN INT	-951.01	0.00	1.00	-1.00	100.0	-1.00
11/13/2017	CONCORDE, VARIOUS	PERMIAN BASIN RTY TR UNIT BEN INT	-951.01	0.00	1.00	-1.00	100.0	-1.00
11/13/2017	CONCORDE, VARIOUS	PERMIAN BASIN RTY TR UNIT BEN INT	-951.01	0.00	1.00	-1.00	100.0	-1.00
11/13/2017	CONCORDE, VARIOUS	PERMIAN BASIN RTY TR UNIT BEN INT	-951.01	0.00	1.00	-1.00	100.0	-1.00
11/13/2017	CONCORDE, VARIOUS	PERMIAN BASIN RTY TR UNIT BEN INT	-951.01	0.00	1.00	-1.00	100.0	-1.00
11/13/2017	CONCORDE, VARIOUS	PERMIAN BASIN RTY TR UNIT BEN INT	-951.01	0.00	1.00	-1.00	100.0	-1.00
11/13/2017	CONCORDE, VARIOUS	PERMIAN BASIN RTY TR UNIT BEN INT	-951.01	0.00	1.00	-1.00	100.0	-1.00
11/13/2017	CONCORDE, VARIOUS	PERMIAN BASIN RTY TR UNIT BEN INT	-951.01	0.00	1.00	-1.00	100.0	-1.00

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<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
11/13/2017	CONCORDE, VARIOUS	PERMIAN BASIN RTY TR UNIT BEN INT	-951.01	0.00	1.00	-1.00	100.0	-1.00
11/13/2017	CONCORDE, VARIOUS	PERMIAN BASIN RTY TR UNIT BEN INT	-951.01	0.00	1.00	-1.00	100.0	-1.00
11/13/2017	CONCORDE, VARIOUS	PERMIAN BASIN RTY TR UNIT BEN INT	-951.01	0.00	1.00	-1.00	100.0	-1.00
11/13/2017	CONCORDE, VARIOUS	PERMIAN BASIN RTY TR UNIT BEN INT	-951.01	0.00	1.00	-1.00	100.0	-1.00
11/13/2017	CONCORDE, VARIOUS	PERMIAN BASIN RTY TR UNIT BEN INT	-332.85	0.00	1.00	-1.00	100.0	-1.00
11/13/2017	CONCORDE, VARIOUS	PERMIAN BASIN RTY TR UNIT BEN INT	-951.01	0.00	1.00	-1.00	100.0	-1.00
11/13/2017	CONCORDE, VARIOUS	PERMIAN BASIN RTY TR UNIT BEN INT	-1,902.02	0.00	1.00	-1.00	100.0	-1.00
11/13/2017	CONCORDE, VARIOUS	PERMIAN BASIN RTY TR UNIT BEN INT	-951.01	0.00	1.00	-1.00	100.0	-1.00
11/13/2017	CONCORDE, VARIOUS	PERMIAN BASIN RTY TR UNIT BEN INT	-951.01	0.00	1.00	-1.00	100.0	-1.00
11/13/2017	CONCORDE, VARIOUS	PERMIAN BASIN RTY TR UNIT BEN INT	-951.01	0.00	1.00	-1.00	100.0	-1.00
11/13/2017	CONCORDE, VARIOUS	PERMIAN BASIN RTY TR UNIT BEN INT	20,308.12	0.00	0.00	0.00	100.0	0.00
11/14/2017	CONCORDE, VARIOUS	POWERSHARES DWA CONSUMER STAPLES MO	-14,745.98	0.00	0.00	0.00	100.0	0.00
11/14/2017	CONCORDE, VARIOUS	POWERSHARES DWA CONSUMER STAPLES MO	13,025.62	0.00	1.00	-1.00	100.0	-1.00
11/14/2017	CONCORDE, VARIOUS	POWERSHARES DWA CONSUMER STAPLES MO	1,720.36	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	POWERSHARES DWA CONSUMER STAPLES MO	-12,878.06	0.00	0.00	0.00	100.0	0.00
11/27/2017	CONCORDE, VARIOUS	POWERSHARES DWA CONSUMER STAPLES MO	6,282.95	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	POWERSHARES DWA CONSUMER STAPLES MO	6,281.05	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	POWERSHARES DWA CONSUMER STAPLES MO	314.06	0.00	1.00	-1.00	100.0	-1.00
11/15/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-2,925.60	0.00	1.00	-1.00	100.0	-1.00
11/15/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-3,180.00	0.00	1.00	-1.00	100.0	-1.00
11/15/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	6,105.60	0.00	0.00	0.00	100.0	0.00
11/16/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	13,671.91	0.00	0.00	0.00	100.0	0.00
11/16/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-3,217.00	0.00	1.00	-1.00	100.0	-1.00
11/16/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-3,603.04	0.00	1.00	-1.00	100.0	-1.00
11/16/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-3,217.00	0.00	1.00	-1.00	100.0	-1.00
11/16/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-3,217.00	0.00	1.00	-1.00	100.0	-1.00
11/16/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-417.85	0.00	1.00	-1.00	100.0	-1.00
11/17/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	21,397.13	0.00	0.00	0.00	100.0	0.00
11/17/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-255.92	0.00	1.00	-1.00	100.0	-1.00
11/17/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-2,943.08	0.00	1.00	-1.00	100.0	-1.00
11/17/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-2,335.27	0.00	1.00	-1.00	100.0	-1.00
11/17/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	5,534.27	0.00	0.00	0.00	100.0	0.00
11/17/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-3,222.44	0.00	1.00	-1.00	100.0	-1.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
11/17/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-3,222.46	0.00	1.00	-1.00	100.0	-1.00
11/17/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-3,222.46	0.00	1.00	-1.00	100.0	-1.00
11/17/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-11,729.75	0.00	1.00	-1.00	100.0	-1.00
11/17/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	8,374.47	0.00	0.00	0.00	100.0	0.00
11/17/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-3,220.94	0.00	1.00	-1.00	100.0	-1.00
11/17/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-5,153.52	0.00	1.00	-1.00	100.0	-1.00
11/20/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-3,235.60	0.00	1.00	-1.00	100.0	-1.00
11/20/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-3,236.50	0.00	1.00	-1.00	100.0	-1.00
11/20/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-3,236.50	0.00	1.00	-1.00	100.0	-1.00
11/20/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-3,236.50	0.00	1.00	-1.00	100.0	-1.00
11/20/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-3,238.00	0.00	1.00	-1.00	100.0	-1.00
11/20/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-6,277.06	0.00	1.00	-1.00	100.0	-1.00
11/20/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-3,236.50	0.00	1.00	-1.00	100.0	-1.00
11/20/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-3,236.50	0.00	1.00	-1.00	100.0	-1.00
11/20/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-3,236.50	0.00	1.00	-1.00	100.0	-1.00
11/20/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	32,169.62	0.00	0.00	0.00	100.0	0.00
11/22/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-194.58	0.00	1.00	-1.00	100.0	-1.00
11/22/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-1,718.79	0.00	1.00	-1.00	100.0	-1.00
11/22/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-648.60	0.00	1.00	-1.00	100.0	-1.00
11/22/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	6,097.78	0.00	0.00	0.00	100.0	0.00
11/22/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-2,854.28	0.00	1.00	-1.00	100.0	-1.00
11/22/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-3,243.50	0.00	1.00	-1.00	100.0	-1.00
11/22/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	2,561.97	0.00	0.00	0.00	100.0	0.00
11/27/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-3,243.00	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-32.43	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-3,243.00	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-129.72	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-3,243.00	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-3,727.73	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	-3,241.50	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	9,891.15	0.00	0.00	0.00	100.0	0.00
11/27/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR D	6,969.23	0.00	0.00	0.00	100.0	0.00
11/15/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-9,960.50	0.00	0.00	0.00	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
11/15/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	3,622.00	0.00	1.00	-1.00	100.0	-1.00
11/15/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	6,338.50	0.00	1.00	-1.00	100.0	-1.00
11/16/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-15,088.99	0.00	0.00	0.00	100.0	0.00
11/16/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	14,543.60	0.00	1.00	-1.00	100.0	-1.00
11/16/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	545.39	0.00	1.00	-1.00	100.0	-1.00
11/17/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	6,373.50	0.00	1.00	-1.00	100.0	-1.00
11/17/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	3,642.00	0.00	1.00	-1.00	100.0	-1.00
11/17/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	4,190.60	0.00	1.00	-1.00	100.0	-1.00
11/17/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	4,773.64	0.00	1.00	-1.00	100.0	-1.00
11/17/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	6,158.36	0.00	1.00	-1.00	100.0	-1.00
11/17/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-15,122.60	0.00	0.00	0.00	100.0	0.00
11/17/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-10,015.50	0.00	0.00	0.00	100.0	0.00
11/20/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-25,123.76	0.00	0.00	0.00	100.0	0.00
11/20/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	13,049.79	0.00	1.00	-1.00	100.0	-1.00
11/20/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	3,614.99	0.00	1.00	-1.00	100.0	-1.00
11/20/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	3,614.99	0.00	1.00	-1.00	100.0	-1.00
11/20/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	3,614.99	0.00	1.00	-1.00	100.0	-1.00
11/20/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	1,228.97	0.00	1.00	-1.00	100.0	-1.00
11/15/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-8,728.00	0.00	1.00	-1.00	100.0	-1.00
11/15/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-2,182.00	0.00	1.00	-1.00	100.0	-1.00
11/15/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-2,182.00	0.00	1.00	-1.00	100.0	-1.00
11/15/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-2,182.00	0.00	1.00	-1.00	100.0	-1.00
11/15/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-2,182.00	0.00	1.00	-1.00	100.0	-1.00
11/15/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-4,362.00	0.00	1.00	-1.00	100.0	-1.00
11/15/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-2,178.00	0.00	1.00	-1.00	100.0	-1.00
11/15/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-8,712.48	0.00	1.00	-1.00	100.0	-1.00
11/15/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-8,712.48	0.00	1.00	-1.00	100.0	-1.00
11/15/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	43,603.00	0.00	0.00	0.00	100.0	0.00
11/16/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	53,391.75	0.00	0.00	0.00	100.0	0.00
11/16/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-10,995.25	0.00	1.00	-1.00	100.0	-1.00
11/16/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-42,396.62	0.00	1.00	-1.00	100.0	-1.00
11/17/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-2,097.00	0.00	1.00	-1.00	100.0	-1.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
11/17/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-2,097.00	0.00	1.00	-1.00	100.0	-1.00
11/17/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-2,097.00	0.00	1.00	-1.00	100.0	-1.00
11/17/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-1,279.17	0.00	1.00	-1.00	100.0	-1.00
11/17/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-817.83	0.00	1.00	-1.00	100.0	-1.00
11/17/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-1,279.17	0.00	1.00	-1.00	100.0	-1.00
11/17/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-817.44	0.00	1.00	-1.00	100.0	-1.00
11/17/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-20.96	0.00	1.00	-1.00	100.0	-1.00
11/17/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-2,096.00	0.00	1.00	-1.00	100.0	-1.00
11/17/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-2,096.00	0.00	1.00	-1.00	100.0	-1.00
11/17/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-272.48	0.00	1.00	-1.00	100.0	-1.00
11/17/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-1,823.52	0.00	1.00	-1.00	100.0	-1.00
11/17/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-2,368.48	0.00	1.00	-1.00	100.0	-1.00
11/17/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-22,751.70	0.00	1.00	-1.00	100.0	-1.00
11/17/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	41,913.80	0.00	0.00	0.00	100.0	0.00
11/27/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-21.66	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-1,839.49	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-2,164.04	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-302.83	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-17,304.32	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	48,431.96	0.00	0.00	0.00	100.0	0.00
11/27/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-2,152.00	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-1,936.80	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-215.20	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-2,152.00	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-2,152.00	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-2,152.00	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-1,936.80	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-215.20	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-2,151.00	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-2,151.00	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-31,218.00	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	21,632.30	0.00	0.00	0.00	100.0	0.00
11/14/2017	CONCORDE, VARIOUS	SELECT SECTOR SPDR TR CONSUMER STAP	-30,077.74	0.00	0.00	0.00	100.0	0.00

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<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
11/14/2017	CONCORDE, VARIOUS	SELECT SECTOR SPDR TR CONSUMER STAP	49,564.09	0.00	1.00	-1.00	100.0	-1.00
11/14/2017	CONCORDE, VARIOUS	SELECT SECTOR SPDR TR CONSUMER STAP	492.39	0.00	1.00	-1.00	100.0	-1.00
11/14/2017	CONCORDE, VARIOUS	SELECT SECTOR SPDR TR CONSUMER STAP	-50,056.45	0.00	0.00	0.00	100.0	0.00
11/14/2017	CONCORDE, VARIOUS	SELECT SECTOR SPDR TR CONSUMER STAP	2,461.05	0.00	1.00	-1.00	100.0	-1.00
11/14/2017	CONCORDE, VARIOUS	SELECT SECTOR SPDR TR CONSUMER STAP	27,616.68	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	SOUTHERN CO COM	-3,600.87	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	SOUTHERN CO COM	-514.41	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	SOUTHERN CO COM	4,115.28	0.00	0.00	0.00	100.0	0.00
11/13/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS TIIPS ETF	14,956.60	0.00	1.00	-1.00	100.0	-1.00
11/13/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS TIIPS ETF	5,078.03	0.00	1.00	-1.00	100.0	-1.00
11/13/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS TIIPS ETF	-20,034.64	0.00	0.00	0.00	100.0	0.00
11/14/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS TIIPS ETF	49,938.51	0.00	0.00	0.00	100.0	0.00
11/14/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS TIIPS ETF	-37,975.37	0.00	1.00	-1.00	100.0	-1.00
11/14/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS TIIPS ETF	-11,963.16	0.00	1.00	-1.00	100.0	-1.00
11/22/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS TIIPS ETF	3,564.54	0.00	0.00	0.00	100.0	0.00
11/22/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS TIIPS ETF	-509.22	0.00	1.00	-1.00	100.0	-1.00
11/22/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS TIIPS ETF	-3,055.32	0.00	1.00	-1.00	100.0	-1.00
11/14/2017	CONCORDE, VARIOUS	SPDR SER TR S&P BIOTECH ETF	20,673.05	0.00	0.00	0.00	100.0	0.00
11/14/2017	CONCORDE, VARIOUS	SPDR SER TR S&P BIOTECH ETF	-16,214.20	0.00	1.00	-1.00	100.0	-1.00
11/14/2017	CONCORDE, VARIOUS	SPDR SER TR S&P BIOTECH ETF	-4,458.86	0.00	1.00	-1.00	100.0	-1.00
11/15/2017	CONCORDE, VARIOUS	SPDR SER TR S&P BIOTECH ETF	-15,864.20	0.00	1.00	-1.00	100.0	-1.00
11/15/2017	CONCORDE, VARIOUS	SPDR SER TR S&P BIOTECH ETF	-12,692.80	0.00	1.00	-1.00	100.0	-1.00
11/15/2017	CONCORDE, VARIOUS	SPDR SER TR S&P BIOTECH ETF	28,557.00	0.00	0.00	0.00	100.0	0.00
11/20/2017	CONCORDE, VARIOUS	SPDR SER TR S&P BIOTECH ETF	-22,827.93	0.00	1.00	-1.00	100.0	-1.00
11/20/2017	CONCORDE, VARIOUS	SPDR SER TR S&P BIOTECH ETF	-24,285.00	0.00	1.00	-1.00	100.0	-1.00
11/20/2017	CONCORDE, VARIOUS	SPDR SER TR S&P BIOTECH ETF	47,112.96	0.00	0.00	0.00	100.0	0.00
11/22/2017	CONCORDE, VARIOUS	SPDR SER TR S&P BIOTECH ETF	3,662.55	0.00	0.00	0.00	100.0	0.00
11/22/2017	CONCORDE, VARIOUS	SPDR SER TR S&P BIOTECH ETF	-1,790.58	0.00	1.00	-1.00	100.0	-1.00
11/22/2017	CONCORDE, VARIOUS	SPDR SER TR S&P BIOTECH ETF	-651.12	0.00	1.00	-1.00	100.0	-1.00
11/22/2017	CONCORDE, VARIOUS	SPDR SER TR S&P BIOTECH ETF	-1,220.85	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	VALERO ENERGY CORP NEW COM	-8,173.00	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	VALERO ENERGY CORP NEW COM	-8,173.00	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	VALERO ENERGY CORP NEW COM	-2,942.28	0.00	1.00	-1.00	100.0	-1.00

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<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
11/27/2017	CONCORDE, VARIOUS	VALERO ENERGY CORP NEW COM	-33,593.50	0.00	1.00	-1.00	100.0	-1.00
11/27/2017	CONCORDE, VARIOUS	VALERO ENERGY CORP NEW COM	52,881.77	0.00	0.00	0.00	100.0	0.00
11/16/2017	CONCORDE, VARIOUS	VANGUARD WHITEHALL FDS HIGH DIVIDEN	5,771.50	0.00	1.00	-1.00	100.0	-1.00
11/16/2017	CONCORDE, VARIOUS	VANGUARD WHITEHALL FDS HIGH DIVIDEN	9,234.40	0.00	1.00	-1.00	100.0	-1.00
11/16/2017	CONCORDE, VARIOUS	VANGUARD WHITEHALL FDS HIGH DIVIDEN	-15,005.90	0.00	0.00	0.00	100.0	0.00
<b>* STOCKS SUBTOTAL *</b>			<b>\$-9.54</b>	<b>\$0.00</b>	<b>\$200.00</b>	<b>\$-200.00</b>		<b>\$-200.00</b>
<b><u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u></b>								
11/17/2017	CONCORDE, VARIOUS	FT UNIT 6985 MLP CLOSED END PORTFOL	48,999.22	0.00	17.50	-17.50	100.0	-17.50
11/17/2017	CONCORDE, VARIOUS	FT UNIT 6985 MLP CLOSED END PORTFOL	-49,999.27	0.00	17.50	-17.50	100.0	-17.50
11/17/2017	CONCORDE, VARIOUS	FT UNIT 7038 GLOBAL EQUITY INCOME C	-50,000.00	0.00	17.50	-17.50	100.0	-17.50
11/17/2017	CONCORDE, VARIOUS	FT UNIT 7038 GLOBAL EQUITY INCOME C	49,000.00	0.00	17.50	-17.50	100.0	-17.50
<b>* UNIT INVESTMENT TRUSTS SUBTOTAL *</b>			<b>\$-2,000.05</b>	<b>\$0.00</b>	<b>\$70.00</b>	<b>\$-70.00</b>		<b>\$-70.00</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>			<b>\$-6,417.67</b>	<b>\$0.00</b>	<b>\$270.00</b>	<b>\$-270.00</b>		<b>\$-270.00</b>

**DIRECT TRANSACTIONS****PRODUCT CATEGORY: INTERVAL FUNDS**

11/14/2017	HERNDON, TIMOTHY	BR TOTAL INC & REAL ESTATE FUND A	0.00	1.10	0.00	1.10	100.0	1.10
11/14/2017	MCGINNIS TRUST	BR TOTAL INC & REAL ESTATE FUND A	0.00	7.15	0.00	7.15	100.0	7.15
11/14/2017	CICONE, SISENANDA	BR TOTAL INC & REAL ESTATE FUND A	0.00	1.42	0.00	1.42	100.0	1.42
<b>* INTERVAL FUNDS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$9.67</b>	<b>\$0.00</b>	<b>\$9.67</b>		<b>\$9.67</b>

**PRODUCT CATEGORY: MUTUAL FUNDS**

11/15/2017	LARA, DYLAN	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
11/15/2017	LARA, DEREK	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
11/16/2017	SEMRAU, SHELLY	AM FUNDS CAPITAL INCOME BUILDER A	30.00	1.28	0.00	1.28	100.0	1.28
11/17/2017	SINGER, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	100.0	1.38
11/17/2017	SINGER, MICHELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	100.0	1.38
11/15/2017	HENRY, WYATT	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
11/16/2017	SEMRAU, SHELLY	AM FUNDS GROWTH FUND OF AMERICA A	30.00	1.28	0.00	1.28	100.0	1.28
11/16/2017	SEMRAU, SHELLY	AM FUNDS INTL GROWTH AND INCOME A	30.00	1.28	0.00	1.28	100.0	1.28
11/15/2017	BUGGS, MICHELLE	AM FUNDS NEW WORLD A	50.00	2.50	0.00	2.50	100.0	2.50
11/16/2017	SEMRAU, SHELLY	AM FUNDS NEW WORLD A	30.00	1.28	0.00	1.28	100.0	1.28

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/16/2017	SEMRAU, SHELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	30.00	1.28	0.00	1.28	100.0	1.28
* MUTUAL FUNDS SUBTOTAL *			\$450.00	\$19.16	\$0.00	\$19.16		\$19.16
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
11/10/2017	LUND, VARIOUS	ING RELIASTAR	0.00	561.45	0.00	561.45	100.0	561.45
10/30/2017	MEAD, ELIZABETH	DELAWARE LIFE TRAILS	0.00	2.71	0.00	2.71	100.0	2.71
11/07/2017	LASALLE, BARBARA	GENWORTH CHOICE VA	347,091.89	289.24	0.00	289.24	100.0	289.24
11/07/2017	REEDY, DAVID	GENWORTH CHOICE VA	23,480.58	19.57	0.00	19.57	100.0	19.57
11/07/2017	GEORGE, CAROLE	GENWORTH CHOICE VA	154,039.21	128.37	0.00	128.37	100.0	128.37
11/07/2017	PUDIL, HSIU CHIN	GENWORTH COMMONWEALTH VA 1994 (NON-	490.68	0.08	0.00	0.08	100.0	0.08
11/07/2017	MACIEJEWSKI, ROBERT	GENWORTH COMMONWEALTH VA 1994 (NON-	27,224.49	4.54	0.00	4.54	100.0	4.54
10/17/2017	FORMELLA, ANNETTE	GENWORTH INS	11,063.65	2.30	0.00	2.30	100.0	2.30
10/17/2017	FORMELLA, DAVID	GENWORTH INS	10,865.85	2.26	0.00	2.26	100.0	2.26
11/15/2017	FORMELLA, ANNETTE	GENWORTH INS	25.00	0.25	0.00	0.25	100.0	0.25
11/15/2017	FORMELLA, DAVID	GENWORTH INS	35.00	0.35	0.00	0.35	100.0	0.35
11/17/2017	GRIBBLE, MARGARET	LANDMARK 2002	0.00	12.34	0.00	12.34	100.0	12.34
11/10/2017	RAYNUSH, MARTHA	OHIO NATL ONCORE PREMIER	33,289.82	83.22	0.00	83.22	100.0	83.22
10/02/2017	MCALLISTER, JEAN	TRANSAMERICA EXTRA	101,038.77	84.20	0.00	84.20	100.0	84.20
10/04/2017	BELTRAN, RANDOLPH	TRANSAMERICA EXTRA	110,459.96	92.05	0.00	92.05	100.0	92.05
10/27/2017	LEONARD, NITA	TRANSAMERICA EXTRA	48,676.51	40.56	0.00	40.56	100.0	40.56
10/12/2017	WILLIAMS, JESSIE	TRANSAMERICA LIBERTY	69,461.84	57.88	0.00	57.88	100.0	57.88
10/13/2017	MOORE, WILLIAM	TRANSAMERICA LIBERTY	10,117.04	8.43	0.00	8.43	100.0	8.43
10/24/2017	MORRISON, BARRETT	TRANSAMERICA PRINCIPIUM	81,043.68	67.54	0.00	67.54	100.0	67.54
* VARIABLE ANNUITIES SUBTOTAL *			\$1,028,403.97	\$1,457.34	\$0.00	\$1,457.34		\$1,457.34
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
11/02/2017	KENNARD, DEAN	PAC LIFE VUL	0.00	4.48	0.00	4.48	100.0	4.48
11/02/2017	PAI, ANITHA	PAC LIFE VUL	86.83	1.74	0.00	1.74	100.0	1.74
11/02/2017	PAI, ANITHA	PAC LIFE VUL	138.17	2.76	0.00	2.76	100.0	2.76
11/03/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	2.76	0.00	2.76	100.0	2.76
11/09/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	6.87	0.00	6.87	100.0	6.87
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$225.00	\$18.61	\$0.00	\$18.61		\$18.61

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
11/13/2017	WARREN, DEBORAH	PAC LIFE INNOVATIVE SELECT	0.00	397.71	0.00	397.71	100.0	397.71
11/13/2017	GRAY, NEALEY	PAC LIFE PACIFIC CHOICE	0.00	1.75	0.00	1.75	100.0	1.75
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$399.46</b>	<b>\$0.00</b>	<b>\$399.46</b>		<b>\$399.46</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$1,029,078.97</b>	<b>\$1,904.24</b>	<b>\$0.00</b>	<b>\$1,904.24</b>		<b>\$1,904.24</b>

**VERRIDE TRANSACTIONS****BROKER: ACCOUNT HOUSE #1**

11/15/2017	LARA, DYLAN	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
11/15/2017	LARA, DEREK	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
11/15/2017	HENRY, WYATT	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
<b>* ACCOUNT HOUSE SUBTOTAL *</b>			<b>\$150.00</b>	<b>\$7.50</b>	<b>\$0.00</b>	<b>\$7.50</b>		<b>\$7.50</b>

**BROKER: ANTHONY GUGLIELME #8**

11/15/2017	BRANDUZZI, DOMENIC	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	4.25	0.00	4.25	0.0	4.25
11/15/2017	BRANDUZZI, DOMENIC	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	0.0	4.25
11/21/2017	BATTALINI, ANTHONY	FT UNIT 5780 DEEP VALUE DIVID PORT	-8,484.70	0.00	44.00	-44.00	0.0	-44.00
<b>* ANTHONY GUGLIELME SUBTOTAL *</b>			<b>\$-8,284.70</b>	<b>\$8.50</b>	<b>\$44.00</b>	<b>\$-35.50</b>		<b>\$-35.50</b>

**BROKER: 101 CFGROUP/KOSANKE #9**

11/10/2017	HAWK, CYNTHIA	AM FUNDS NEW PERSPECTIVE A	100.00	5.00	0.00	5.00	95.0	5.00
<b>* 101 CFGROUP/KOSANKE SUBTOTAL *</b>			<b>\$100.00</b>	<b>\$5.00</b>	<b>\$0.00</b>	<b>\$5.00</b>		<b>\$5.00</b>

**BROKER: JIM LUND #10**

11/06/2017	AGUILAR, JOSE	AM FUNDS NEW ECONOMY 529A	50.00	2.50	0.00	2.50	0.0	2.50
11/15/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	3.00
11/15/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	3.00
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT C	0.00	0.70	0.00	0.70	0.0	0.70
11/15/2017	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	70.00	0.70	0.00	0.70	0.0	0.70
11/15/2017	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	70.00	0.70	0.00	0.70	0.0	0.70
11/15/2017	BARBOUR, ELEANOR	FA 529 PORT 2016 C	200.00	10.00	0.00	10.00	0.0	10.00
11/15/2017	MACUCH, PAUL	FA 529 PORT 2019 C	50.00	0.50	0.00	0.50	0.0	0.50
11/15/2017	DORAN, PATRICK	FA 529 PORT 2019 C	50.00	0.50	0.00	0.50	0.0	0.50
11/15/2017	LORA, MARCOS	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
11/15/2017	LORA, CATALINA	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JAMES PANOS #10 </u></b>								
11/15/2017	DORAN, PATRICK	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
11/15/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
11/15/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
11/15/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
11/15/2017	LORA, ROLANDO	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
11/06/2017	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	5.00
11/06/2017	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	5.00
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	6.00	0.00	6.00	0.0	6.00
11/15/2017	MACUCH, PAUL	FA 529 PORT 2028 C	100.00	1.00	0.00	1.00	0.0	1.00
* JAMES PANOS SUBTOTAL *			<b>\$2,040.00</b>	<b>\$45.10</b>	<b>\$0.00</b>	<b>\$45.10</b>		<b>\$45.10</b>
<b><u> BROKER: ERIC HORNYAK #29 </u></b>								
/ /	CROSSFIRE GROUP Q3AM	BLK HEALTH SCIENCES A	0.00	0.25	0.00	0.25	0.0	0.25
10/31/2017	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	0.21	0.00	0.21	0.0	0.21
10/31/2017	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	1.08	0.00	1.08	0.0	1.08
* ERIC HORNYAK SUBTOTAL *			<b>\$0.00</b>	<b>\$1.54</b>	<b>\$0.00</b>	<b>\$1.54</b>		<b>\$1.54</b>
<b><u> BROKER: THOMAS BOAKS #33 </u></b>								
10/31/2017	WITT-AMANS	BLK GLOBAL ALLOCATION A	0.00	0.34	0.00	0.34	0.0	0.34
10/31/2017	PITTE, JOELA	BLK GLOBAL ALLOCATION A	0.00	2.23	0.00	2.23	0.0	2.23
10/31/2017	SKIBA, TODD	BLK GLOBAL ALLOCATION A	0.00	6.55	0.00	6.55	0.0	6.55
11/16/2017	POHL, JEANNETTE	OHIO NATL ONCORE FLEX	23,823.91	59.56	0.00	59.56	0.0	59.56
* THOMAS BOAKS SUBTOTAL *			<b>\$23,823.91</b>	<b>\$68.68</b>	<b>\$0.00</b>	<b>\$68.68</b>		<b>\$68.68</b>
<b><u> BROKER: TIMOTHY BROWN #36 </u></b>								
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	2.86	0.00	2.86	0.0	2.86
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	8.57	0.00	8.57	0.0	8.57
* TIMOTHY BROWN SUBTOTAL *			<b>\$0.00</b>	<b>\$11.43</b>	<b>\$0.00</b>	<b>\$11.43</b>		<b>\$11.43</b>
<b><u> BROKER: BRUCE BAXTER #38 </u></b>								
11/01/2017	RIEGEL, DAVID	ING ACCESS	0.00	0.03	0.00	0.03	0.0	0.03
11/15/2017	LAMBERT, JOHN DOUGLAS	ING ACCESS	0.00	106.58	0.00	106.58	0.0	106.58
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	BAXTER, MEGAN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.05

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: BRUCE BAXTER #38 </u></b>								
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.14	0.00	0.14	0.0	0.14
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.08	0.00	0.08	0.0	0.08
/ /	BAXTER, MEGAN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.14	0.00	0.14	0.0	0.14
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.43	0.00	0.43	0.0	0.43
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	7.77	0.00	7.77	0.0	7.77
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	23.30	0.00	23.30	0.0	23.30
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.04	0.00	0.04	0.0	0.04
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	BAXTER, MEGAN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.04	0.00	0.04	0.0	0.04
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.12	0.00	0.12	0.0	0.12
<b> * BRUCE BAXTER SUBTOTAL * </b>			<b>\$0.00</b>	<b>\$138.83</b>	<b>\$0.00</b>	<b>\$138.83</b>		<b>\$138.83</b>
<b><u> BROKER: ROBERT (RUSTY) TWEED #58 </u></b>								
11/14/2017	VAN DE BRAKE, MARY	JH FINANCIAL INDUSTRIES A	0.00	2.26	0.00	2.26	0.0	2.26
11/14/2017	VAN DE BRAKE, ARTHUR	JH FINANCIAL INDUSTRIES A	0.00	2.24	0.00	2.24	0.0	2.24
11/14/2017	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.11	0.00	1.11	0.0	1.11
11/14/2017	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.82	0.00	1.82	0.0	1.82
11/14/2017	FURUKAWA, CLARA	JH STRATEGIC GROWTH A	0.00	2.12	0.00	2.12	0.0	2.12
<b> * ROBERT (RUSTY) TWEED SUBTOTAL * </b>			<b>\$0.00</b>	<b>\$9.55</b>	<b>\$0.00</b>	<b>\$9.55</b>		<b>\$9.55</b>
<b><u> BROKER: JIM LUND #60 </u></b>								
11/06/2017	COX, DEBORAH	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.75	0.00	3.75	0.0	3.75
11/10/2017	BODO, DAVID	AM FUNDS CAPITAL INCOME BUILDER A	75.00	2.81	0.00	2.81	0.0	2.81
11/10/2017	BODO, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.81	0.00	2.81	0.0	2.81
11/06/2017	HO, PAULINA	AM FUNDS GROWTH AND INCOME C	200.00	2.00	0.00	2.00	0.0	2.00
11/10/2017	BODO, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	0.0	1.88
11/06/2017	HO, PAULINA	AM FUNDS INCOME PORTFOLIO C	200.00	2.00	0.00	2.00	0.0	2.00
11/06/2017	SAGGAR, VADEESH	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	0.0	5.00
11/06/2017	COX, DEBORAH	AM FUNDS SMALLCAP WORLD A	75.00	3.75	0.00	3.75	0.0	3.75
11/15/2017	WURMLINGER, MARTIN	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	4.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JIM LUND #60</u></b>								
11/06/2017	PRESTON, JANEAN	FRANKLIN INCOME C	400.00	4.00	0.00	4.00	0.0	4.00
11/08/2017	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	200.00	9.50	0.00	9.50	0.0	9.50
11/13/2017	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	247.50	11.76	0.00	11.76	0.0	11.76
11/13/2017	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	82.50	3.92	0.00	3.92	0.0	3.92
* JIM LUND SUBTOTAL *			<b>\$1,880.00</b>	<b>\$57.18</b>	<b>\$0.00</b>	<b>\$57.18</b>		<b>\$57.18</b>
<b><u>BROKER: RENE CASTRO #92</u></b>								
11/14/2017	BUSTOS, ARMANDO	JH HIGH YIELD A	0.00	2.40	0.00	2.40	0.0	2.40
11/14/2017	BUSTOS, ARMANDO	JH STRATEGIC INCOME A	0.00	9.35	0.00	9.35	0.0	9.35
* RENE CASTRO SUBTOTAL *			<b>\$0.00</b>	<b>\$11.75</b>	<b>\$0.00</b>	<b>\$11.75</b>		<b>\$11.75</b>
<b><u>BROKER: DANIEL COLLINS #106</u></b>								
11/07/2017	PLUTSCHAK, ERNEST	GENWORTH COMMONWEALTH VA 1994 (NON-	5,136.96	0.86	0.00	0.86	0.0	0.86
11/07/2017	PLUTSCHAK, ERNEST	GENWORTH COMMONWEALTH VA 1994 (NON-	71,227.64	11.87	0.00	11.87	0.0	11.87
11/14/2017	EVANSUSA, DENISE	JH FINANCIAL INDUSTRIES A	0.00	1.88	0.00	1.88	0.0	1.88
11/14/2017	EVANSUSA, DENISE	JH LARGE CAP EQUITY A	0.00	2.96	0.00	2.96	0.0	2.96
11/14/2017	EVANSUSA, DENISE	JH NEW OPPORTUNITIES A	0.00	2.03	0.00	2.03	0.0	2.03
11/14/2017	EVANSUSA, DENISE	JH STRATEGIC GROWTH A	0.00	2.13	0.00	2.13	0.0	2.13
* DANIEL COLLINS SUBTOTAL *			<b>\$76,364.60</b>	<b>\$21.73</b>	<b>\$0.00</b>	<b>\$21.73</b>		<b>\$21.73</b>
<b><u>BROKER: JAY MCCLELLAN #120</u></b>								
11/15/2017	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	50.00	2.50	0.00	2.50	0.0	2.50
10/31/2017	CIPRIAN, JOHN	GRIFFIN INST ACCESS CREDIT FD CL F	0.00	2.35	0.00	2.35	0.0	2.35
10/31/2017	FORTKAMP TRUST, LAVERN & MARY	GRIFFIN INST ACCESS CREDIT FD CL F	0.00	4.16	0.00	4.16	0.0	4.16
11/13/2017	CRABTREE, GARY	MET LIFE 10922P124	7,779.63	1.62	0.00	1.62	0.0	1.62
11/13/2017	CRABTREE, GARY	MET LIFE 10922P124	11,087.55	2.31	0.00	2.31	0.0	2.31
11/13/2017	CRABTREE, GARY	MET LIFE 10922P124	36,637.37	7.62	0.00	7.62	0.0	7.62
11/13/2017	CRABTREE, GARY	MET LIFE 10922P124	7,918.54	1.65	0.00	1.65	0.0	1.65
11/13/2017	CRABTREE, GARY	MET LIFE 10922P124	6,666.30	1.39	0.00	1.39	0.0	1.39
11/13/2017	CRABTREE, GARY	MET LIFE 10922P124	8,714.06	1.81	0.00	1.81	0.0	1.81
10/31/2017	MATTHIEU, PAUL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	4.56	0.00	4.56	0.0	4.56
* JAY MCCLELLAN SUBTOTAL *			<b>\$78,853.45</b>	<b>\$29.97</b>	<b>\$0.00</b>	<b>\$29.97</b>		<b>\$29.97</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: TIMOTHY KULHANEK #145 </u></b>								
11/20/2017	NORDHUES, RONOTTA	AMERITAS MEDLEY	40,211.63	25.13	0.00	25.13	0.0	25.13
11/14/2017	MUCHMORE PHELPS, SUSAN	AMERITAS OVERTURE APPLAUSE II	10,482.76	6.55	0.00	6.55	0.0	6.55
* TIMOTHY KULHANEK SUBTOTAL *			<b>\$50,694.39</b>	<b>\$31.68</b>	<b>\$0.00</b>	<b>\$31.68</b>		<b>\$31.68</b>
<b><u> BROKER: WILLIAM WALSH #187 </u></b>								
10/31/2017	WALSH, VARIOUS	JOHN HANCOCK LIFE INS CO	0.00	21.48	0.00	21.48	0.0	21.48
11/10/2017	WALSH, VARIOUS	MUTUAL OF OMAHA INS CO	0.00	25.98	0.00	25.98	0.0	25.98
11/10/2017	BROOKS, MARGERY	PAC LIFE VALUE	0.00	35.90	0.00	35.90	0.0	35.90
* WILLIAM WALSH SUBTOTAL *			<b>\$0.00</b>	<b>\$83.36</b>	<b>\$0.00</b>	<b>\$83.36</b>		<b>\$83.36</b>
<b><u> BROKER: MICHELE BOYER #189 </u></b>								
11/08/2017	BARTELS, CHRIS	INVESCO ALL CAP MARKET NEUTRAL FD -	30.49	0.30	0.00	0.30	0.0	0.30
11/08/2017	BARTELS, CHRIS	INVESCO ALL CAP MARKET NEUTRAL FD -	25.79	0.26	0.00	0.26	0.0	0.26
11/08/2017	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	31.56	0.32	0.00	0.32	0.0	0.32
11/08/2017	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	31.56	0.32	0.00	0.32	0.0	0.32
11/08/2017	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	26.04	0.26	0.00	0.26	0.0	0.26
11/08/2017	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	26.04	0.26	0.00	0.26	0.0	0.26
11/08/2017	HALLER, CRISSY	INVESCO CHARTER FUND C	31.56	0.32	0.00	0.32	0.0	0.32
11/08/2017	HALLER, CRISSY	INVESCO CHARTER FUND C	31.56	0.32	0.00	0.32	0.0	0.32
11/08/2017	HALLER, CRISSY	INVESCO CHARTER FUND C	26.04	0.26	0.00	0.26	0.0	0.26
11/08/2017	HALLER, CRISSY	INVESCO CHARTER FUND C	26.04	0.26	0.00	0.26	0.0	0.26
11/08/2017	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	74.70	0.75	0.00	0.75	0.0	0.75
11/08/2017	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	37.35	0.37	0.00	0.37	0.0	0.37
11/08/2017	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	55.92	0.56	0.00	0.56	0.0	0.56
11/08/2017	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	27.96	0.28	0.00	0.28	0.0	0.28
11/08/2017	BARTELS, CHRIS	INVESCO GLOBAL INFRASTRUCTURE C	15.26	0.15	0.00	0.15	0.0	0.15
11/08/2017	BARTELS, CHRIS	INVESCO GLOBAL INFRASTRUCTURE C	12.89	0.13	0.00	0.13	0.0	0.13
11/08/2017	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	56.03	0.56	0.00	0.56	0.0	0.56
11/08/2017	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	28.02	0.28	0.00	0.28	0.0	0.28
11/08/2017	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	41.94	0.42	0.00	0.42	0.0	0.42
11/08/2017	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	20.97	0.21	0.00	0.21	0.0	0.21
11/08/2017	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	74.70	0.75	0.00	0.75	0.0	0.75
11/08/2017	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	37.34	0.37	0.00	0.37	0.0	0.37
11/08/2017	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	55.93	0.56	0.00	0.56	0.0	0.56

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MICHELE BOYER #189 </u></b>								
11/08/2017	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	27.96	0.28	0.00	0.28	0.0	0.28
11/08/2017	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	56.03	0.56	0.00	0.56	0.0	0.56
11/08/2017	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	28.02	0.28	0.00	0.28	0.0	0.28
11/08/2017	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	41.94	0.42	0.00	0.42	0.0	0.42
11/08/2017	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	20.97	0.21	0.00	0.21	0.0	0.21
11/08/2017	BARTELS, CHRIS	INVESCO LONG/SHORT EQUITY FUND - CL	30.49	0.30	0.00	0.30	0.0	0.30
11/08/2017	BARTELS, CHRIS	INVESCO LONG/SHORT EQUITY FUND - CL	25.79	0.26	0.00	0.26	0.0	0.26
11/08/2017	BARTELS, CHRIS	INVESCO LOW VOLATILITY EMERG MKTS -	45.74	0.46	0.00	0.46	0.0	0.46
11/08/2017	BARTELS, CHRIS	INVESCO LOW VOLATILITY EMERG MKTS -	38.68	0.39	0.00	0.39	0.0	0.39
11/08/2017	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	56.03	0.56	0.00	0.56	0.0	0.56
11/08/2017	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	28.02	0.28	0.00	0.28	0.0	0.28
11/08/2017	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	41.94	0.42	0.00	0.42	0.0	0.42
11/08/2017	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	20.97	0.21	0.00	0.21	0.0	0.21
11/08/2017	BARTELS, CHRIS	INVESCO MLP FUND - CLASS C	30.49	0.30	0.00	0.30	0.0	0.30
11/08/2017	BARTELS, CHRIS	INVESCO MLP FUND - CLASS C	25.79	0.26	0.00	0.26	0.0	0.26
11/08/2017	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	56.03	0.56	0.00	0.56	0.0	0.56
11/08/2017	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	28.02	0.28	0.00	0.28	0.0	0.28
11/08/2017	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	41.94	0.42	0.00	0.42	0.0	0.42
11/08/2017	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	20.97	0.21	0.00	0.21	0.0	0.21
* MICHELE BOYER SUBTOTAL *			\$1,491.51	\$14.93	\$0.00	\$14.93		\$14.93
<b><u> BROKER: WILLIAM THOMAS #239 </u></b>								
11/16/2017	KUPPE, MARK	NWL BOA NG FP VUL	200.00	4.00	0.00	4.00	0.0	4.00
11/21/2017	KUPPE, PATRICIA	NWL BOA NG FP VUL	200.00	4.00	0.00	4.00	0.0	4.00
11/17/2017	THOMAS, MARIA	NWL NEXT GEN II FP VUL	250.00	5.00	0.00	5.00	0.0	5.00
* WILLIAM THOMAS SUBTOTAL *			\$650.00	\$13.00	\$0.00	\$13.00		\$13.00
<b><u> BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240 </u></b>								
10/31/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	2.07	0.00	2.07	0.0	2.07
10/31/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.04	0.00	0.04	0.0	0.04
10/31/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.03	0.00	0.03	0.0	0.03
10/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	7.15	0.00	7.15	0.0	7.15
10/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	31.91	0.00	31.91	0.0	31.91
10/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	10.64	0.00	10.64	0.0	10.64

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u></b>								
10/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	8.30	0.00	8.30	0.0	8.30
10/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	2.38	0.00	2.38	0.0	2.38
10/31/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	24.91	0.00	24.91	0.0	24.91
11/15/2017	POLL, ROGOR	FT EQUITY INC CL C	600.00	6.00	0.00	6.00	0.0	6.00
11/07/2017	BRINICH, EVA	NW LA YOUR LIFE PROTECTION	100.00	1.00	0.00	1.00	0.0	1.00
11/15/2017	COCHRILL, MELANIE	NWL NEXT GEN II FP VUL	30.00	0.90	0.00	0.90	0.0	0.90
11/16/2017	BAIRD, KATHRYN	NWL NEXT GEN II FP VUL	142.00	2.84	0.00	2.84	0.0	2.84
11/22/2017	BAIRD, JASON	NWL NEXT GEN II FP VUL	144.00	2.88	0.00	2.88	0.0	2.88
11/15/2017	HINCHLIFFE, ERIC	NWL YOURLIFE ACCUM VUL	210.13	2.10	0.00	2.10	0.0	2.10
11/15/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	110.58	1.11	0.00	1.11	0.0	1.11
11/15/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	492.24	4.92	0.00	4.92	0.0	4.92
11/16/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	88.83	0.89	0.00	0.89	0.0	0.89
<b>* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *</b>			<b>\$1,917.78</b>	<b>\$110.07</b>	<b>\$0.00</b>	<b>\$110.07</b>		<b>\$110.07</b>
<b><u>BROKER: L149-ROBERT LUCHSINGER #388</u></b>								
11/02/2017	GORMAN, LORAIN	ING EMPIRE INNOVATIONS STD OPT A	0.00	233.46	0.00	233.46	0.0	23.35
11/10/2017	TIERNAN, JOAN	ING EMPIRE INNOVATIONS STD OPT A	0.00	250.29	0.00	250.29	0.0	25.03
11/19/2017	MALIVA, JOAN	ING EMPIRE INNOVATIONS STD OPT A	0.00	42.65	0.00	42.65	0.0	4.27
11/15/2017	GARDNER, MARILYN	JP MORGAN CHASE & CO COM ISIN#US466	-38,071.67	90.00	22.59	67.41	0.0	6.74
11/10/2017	WESTRENN, SUSAN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.48	0.00	1.48	0.0	0.15
11/10/2017	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET A	0.00	12.13	0.00	12.13	0.0	1.21
11/10/2017	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET B	0.00	3.90	0.00	3.90	0.0	0.39
11/10/2017	WESTRENN, SUSAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.44	0.00	1.44	0.0	0.14
<b>* L149-ROBERT LUCHSINGER SUBTOTAL *</b>			<b>\$-38,071.67</b>	<b>\$635.35</b>	<b>\$22.59</b>	<b>\$612.76</b>		<b>\$61.28</b>
<b><u>BROKER: MARK-S148 SMITH #401</u></b>								
11/06/2017	SPURGIN, LISBETH	FT FOUNDING FDS ALLOC C	300.00	3.00	0.00	3.00	0.0	1.56
<b>* MARK-S148 SMITH SUBTOTAL *</b>			<b>\$300.00</b>	<b>\$3.00</b>	<b>\$0.00</b>	<b>\$3.00</b>		<b>\$1.56</b>
<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>			<b>\$191,909.27</b>	<b>\$1,308.15</b>	<b>\$66.59</b>	<b>\$1,241.56</b>		<b>\$688.64</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,634.24
Split Transactions	\$0.00
Override Transactions	\$688.64
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,322.88
Year-to-date Gross	\$73,896.25
Year-to-date Earnings	\$222,261.95