



Concorde Investment Services, LLC
COMMISSION STATEMENT
April 30, 2018

05/02/2018
Page No. 1

RANDY STOLTZ
14617 N. 45th Place

Phoenix, AZ 85032-

BROKER #: S149
BRANCH: RANDY STOLTZ

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/11/2018	MCGAHIE, ROBERT	FRANKLIN MONEY FUND A	-154,473.24	22.00	22.00	0.00	90.0	0.00
04/11/2018	MCGAHIE, ROBERT	FRANKLIN MONEY FUND A	154,473.24	-22.00	-4.00	-18.00	90.0	-16.20
04/11/2018	MCGAHIE, ROBERT	FRANKLIN MONEY FUND A	-154,473.24	0.00	22.00	-22.00	90.0	-19.80
04/20/2018	MCGAHIE, ROBERT	OPPENHEIMER STEELPATH MLP ALPHA PLU	9,800.00	0.00	0.00	0.00	90.0	0.00
04/25/2018	JONES, CECILIA	SHOPOFF LAND FUND V LP	35,000.00	0.00	0.00	0.00	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-109,673.24	\$0.00	\$40.00	\$-40.00		\$-36.00
<u>PRODUCT CATEGORY: STOCKS</u>								
04/19/2018	MCGAHIE, ROBERT	PIMCO CORPORATE & INCOME STRATEGY F	3,991.26	0.00	22.50	-22.50	90.0	-20.25
* STOCKS SUBTOTAL *			\$3,991.26	\$0.00	\$22.50	\$-22.50		\$-20.25
*** CLEARING TRANSACTIONS TOTAL ***			\$-105,681.98	\$0.00	\$62.50	\$-62.50		\$-56.25
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
03/28/2018	WENDELBOE IV, NECOLAI	SEALEY STRATEGIC EQUITY PARTNERS LP	150,000.00	10,500.00	0.00	10,500.00	90.0	9,450.00
04/19/2018	MCGAHIE, ROBERT	SHOPOFF LAND FUND V	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
04/06/2018	KIDDER, TINA	TRILINC GLOBAL IMPACT FUND C	0.00	45.41	0.00	45.41	90.0	40.87
* PRIVATE PLACEMENT SUBTOTAL *			\$200,000.00	\$14,045.41	\$0.00	\$14,045.41		\$12,640.87
<u>PRODUCT CATEGORY: NON-TRADED BDC</u>								
04/06/2018	MATSON, FRED	FS INVESTMENT CORP IV CLASS T	0.00	40.87	0.00	40.87	90.0	36.78
* NON-TRADED BDC SUBTOTAL *			\$0.00	\$40.87	\$0.00	\$40.87		\$36.78
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/02/2018	SCHROEDER, ROBERT	BRIGHTHOUSE	156,875.32	392.19	0.00	392.19	90.0	352.97
04/02/2018	BARRY, PHILIP	BRIGHTHOUSE	232,141.64	580.35	0.00	580.35	90.0	522.32
04/02/2018	POOLE, JOHN	BRIGHTHOUSE	435,320.92	1,088.30	0.00	1,088.30	90.0	979.47

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
03/31/2018	REVOCABLE, HELM	JNL ELITE ACCESS W/ LIQUIDITY	217,389.29	181.16	0.00	181.16	90.0	163.04
		* VARIABLE ANNUITIES SUBTOTAL *	\$1,041,727.17	\$2,242.00	\$0.00	\$2,242.00		\$2,017.80
		*** DIRECT TRANSACTIONS TOTAL ***	\$1,241,727.17	\$16,328.28	\$0.00	\$16,328.28		\$14,695.45
<u>ADJUSTMENTS</u>								
04/30/2018		*ADVANCE: ADVANCE	0.00					-13,000.00
04/30/2018		*FINRA: AL IND REG FEE R STOLTZ	0.00					-60.00
04/30/2018		*FINRA: AZ IND REG FEE R STOLTZ	0.00					-45.00
04/30/2018		*FINRA: BRANCH PROCESSING FEE R STOLTZ	0.00					-20.00
04/30/2018		*FINRA: BRANCH REGISTRATION FEE R STOLTZ	0.00					-75.00
04/30/2018		*FINRA: CA IND REG FEE R STOLTZ	0.00					-25.00
04/30/2018		*FINRA: FINGERPRINT E DONALDSON	0.00					-25.00
04/30/2018		*FINRA: FINGERPRINT R BELANGER	0.00					-25.00
04/30/2018		*FINRA: FINGERPRINT R STOLTZ	0.00					-25.00
04/30/2018		*FINRA: IND REG FEE R STOLTZ	0.00					-100.00
04/30/2018		*FINRA: KS IND REG FEE R STOLTZ	0.00					-60.00
04/30/2018		*FINRA: MA IND REG FEE R STOLTZ	0.00					-75.00
04/30/2018		*FINRA: MEMBER DISC PROC FEE R STOLTZ	0.00					-110.00
04/30/2018		*FINRA: OR IND REG FEE R STOLTZ	0.00					-60.00
04/30/2018		*FINRA: VA IND REG FEE R STOLTZ	0.00					-40.00
04/30/2018		*FINRA: WA IND REG FEE R STOLTZ	0.00					-25.00
04/30/2018		*MISC: ACH FEE	0.00					-25.00
04/30/2018		*OUT OF CYCLE: OUT OF CYCLE	0.00					-100.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-13,895.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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BROKER COMMISSION TOTALS

Broker Transactions	\$14,639.20
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-13,895.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$744.20
Year-to-date Gross	\$32,680.59
Year-to-date Earnings	\$29,356.30