



COMMISSION STATEMENT

May 14, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: CHRISTOPHER MILGROOM</u>								
05/02/2018	***-**-1584 - CAMPBELL, COLIN	CALVERT LONG-TERM INCOME FUND	0.00	0.17	0.00	0.17	15.0	0.03
	ACCOUNT #: 00929501828	BUY 0 Units @0.0000						
05/01/2018	***-**-2461 - CAMPBELL, HOPE	CAPITAL WORLD GROWTH AND INCOME-529	100.00	2.75	0.00	2.75	15.0	0.41
	ACCOUNT #: 00085796907	BUY 2 Units @53.5000						
05/14/2018	***-**-844P - COLIN CAMPBELL	EATON VANCE MF TRAILS	0.00	0.22	0.00	0.22	15.0	0.03
	ACCOUNT #: 5045816922	BUY 0 Units @0.0000						
05/01/2018	***-**-2461 - CAMPBELL, HOPE	EUROPACIFIC GROWTH FUND-529A	100.00	2.75	0.00	2.75	15.0	0.41
	ACCOUNT #: 00085796907	BUY 2 Units @58.1000						
04/30/2018	***-**-67CL - MILGROOM,	FRANKLIN 354713539	0.00	23.82	0.00	23.82	15.0	3.57
	ACCOUNT #: 000000000020709	BUY 0 Units @0.0000						
04/30/2018	***-**-67CL - MILGROOM,	FRANKLIN 354713539	0.00	0.15	0.00	0.15	15.0	0.02
	ACCOUNT #: 000000000026195	BUY 0 Units @0.0000						
04/30/2018	***-**-1584 - CAMPBELL, COLIN	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	2.01	0.00	2.01	15.0	0.30
	ACCOUNT #: 000015813609108	BUY 0 Units @0.0000						
04/30/2018	***-**-1584 - CAMPBELL, COLIN	FRK UTIL FND - CL A	0.00	2.42	0.00	2.42	15.0	0.36
	ACCOUNT #: 000070187501637	BUY 0 Units @0.0000						
05/01/2018	***-**-2461 - CAMPBELL, HOPE	FUNDAMENTAL INVESTORS-529A	100.00	2.75	0.00	2.75	15.0	0.41
	ACCOUNT #: 00085796907	BUY 2 Units @64.1500						
05/06/2018	***-**-8694 - FLUSBERG,	ING ADVANTAGE SELECT	0.00	101.40	0.00	101.40	15.0	15.21
	ACCOUNT #: R106699-ZS	BUY 0 Units @0.0000						
05/11/2018	***-**-67CL - MILGROOM,	ING ADVANTAGE SELECT	0.00	3.55	0.00	3.55	15.0	0.53
	ACCOUNT #: R096007-ZS	BUY 0 Units @0.0000						
05/11/2018	***-**-67CL - MILGROOM,	ING ADVANTAGE SELECT	0.00	5.84	0.00	5.84	15.0	0.88
	ACCOUNT #: R096023-ZS	BUY 0 Units @0.0000						
05/02/2018	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	21.60	0.16	0.00	0.16	15.0	0.02
	ACCOUNT #: 07123000351	BUY 2 Units @13.2100						
05/09/2018	***-**-6927 - DEMAREST, DAVID	JACKSON NATIONAL PERSPECTIVE II	100.00	7.50	0.00	7.50	15.0	1.13
	ACCOUNT #: 1008530439	BUY 0 Units @0.0000						
05/14/2018	***-**-67CL - MILGROOM,	JANUS MF TRAILS	0.00	44.35	0.00	44.35	15.0	6.65
		BUY 0 Units @0.0000						
04/30/2018	***-**-67CL - MILGROOM,	MUTUAL DISC FND - CL C	0.00	117.49	0.00	117.49	15.0	17.62
	ACCOUNT #: 000000004246486	BUY 0 Units @0.0000						



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 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: CHRISTOPHER MILGROOM (continued)</u> 								
04/30/2018	***-**-67CL - MILGROOM, ACCOUNT #: 000000004415562	MUTUAL DISC FND - CL C BUY 0 Units @0.0000	0.00	56.83	0.00	56.83	15.0	8.52
04/30/2018	***-**-67CL - MILGROOM, ACCOUNT #: 000000004419469	MUTUAL DISC FND - CL C BUY 0 Units @0.0000	0.00	8.28	0.00	8.28	15.0	1.24
05/01/2018	***-**-2461 - CAMPBELL, HOPE ACCOUNT #: 00085796907	THE GROWTH FUND OF AMERICA-529A BUY 2 Units @53.2600	100.00	2.75	0.00	2.75	15.0	0.41
* CHRISTOPHER MILGROOM SUBTOTAL *			<u>\$521.60</u>	<u>\$385.19</u>	<u>\$0.00</u>	<u>\$385.19</u>		<u>\$57.75</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$521.60</u>	<u>\$385.19</u>	<u>\$0.00</u>	<u>\$385.19</u>		<u>\$57.75</u>

 BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$57.75
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$57.75
Year-to-date Earnings	\$1,550.29