



# COMMISSION STATEMENT

April 02, 2018

WILLIAM FRANCIS  
2 Bobrick Road  
Poughkeepsie, NY 12601

BROKER #: A88  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/27/2018	***-**-47FB - TORRES, SHARI	AMCAP FUND-A	164.80	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 5 Units @32.3400						
03/27/2018	***-**-47FB - TORRES, SHARI	AMERICAN BALANCED FUND-A	194.77	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 7 Units @26.5500						
03/27/2018	***-**-53IO - MOSHER, JEANINE	AMERICAN BALANCED FUND-A	1,000.01	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011815	SELL 38 Units @26.5500						
03/28/2018	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS BLNCD PORTFOLIO CL A	1,959.00	39.18	18.00	21.18	70.0	14.83
	ACCOUNT #: LSR011898	BUY 131 Units @14.9700						
03/28/2018	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS GROWTH PORTFOLIO A	1,959.01	39.18	18.00	21.18	70.0	14.83
	ACCOUNT #: LSR011898	BUY 106 Units @18.5200						
03/28/2018	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS GRWTH & INCOME PORT	3,267.01	65.34	18.00	47.34	70.0	33.14
	ACCOUNT #: LSR011898	BUY 214 Units @15.2500						
03/28/2018	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS TAX ADVANTGED INC PO	3,266.99	65.34	18.00	47.34	70.0	33.14
	ACCOUNT #: LSR011898	BUY 239 Units @13.6700						
03/27/2018	***-**-47FB - TORRES, SHARI	AMERICAN HIGH-INCOME TRUST-A	45.78	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 4 Units @10.1900						
03/27/2018	***-**-47FB - TORRES, SHARI	AMERICAN MUTUAL FUND-A	198.61	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 5 Units @39.3200						
03/22/2018	***-**-5922 - HOTAILING,	PUTNAM CAP. OPPORTUNITIES-A	60.00	1.65	0.00	1.65	70.0	1.16
	ACCOUNT #: 00186955359	BUY 4 Units @14.1300						
03/22/2018	***-**-5922 - HOTAILING,	PUTNAM GROWTH OPPORTUNITIES FD CL A	160.00	4.40	0.00	4.40	70.0	3.08
	ACCOUNT #: 00186955359	BUY 5 Units @33.6700						
03/22/2018	***-**-5922 - HOTAILING,	PUTNAM HIGH YIELD ADVANTAGE-A	190.00	5.23	0.00	5.23	70.0	3.66
	ACCOUNT #: 00186955359	BUY 32 Units @5.9900						
03/22/2018	***-**-5922 - HOTAILING,	PUTNAM INTERNATIONAL EQUITY-A	80.00	2.20	0.00	2.20	70.0	1.54
	ACCOUNT #: 00186955359	BUY 3 Units @26.8700						
03/22/2018	***-**-5922 - HOTAILING,	PUTNAM MID-CAP VALUE FUND-A	60.00	1.65	0.00	1.65	70.0	1.16
	ACCOUNT #: 00186955359	BUY 3 Units @20.4200						
03/27/2018	***-**-47FB - TORRES, SHARI	THE BOND FUND OF AMERICA-A	123.93	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 10 Units @12.6200						
03/27/2018	***-**-47FB - TORRES, SHARI	THE GROWTH FUND OF AMERICA-A	113.71	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 2 Units @50.7400						



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u></b>								
03/27/2018	***-**-47FB - TORRES, SHARI	WASHINGTON MUTUAL INVESTORS FUND-A	157.84	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR011621	SELL 4 Units @44.3500						
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$13,001.46</b>	<b>\$224.17</b>	<b>\$72.00</b>	<b>\$152.17</b>		<b>\$106.54</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
03/28/2018	***-**-5233 - DUTCHESS,	MASS MUTUAL VA	0.00	47.88	0.00	47.88	70.0	33.52
	ACCOUNT #: 841406	BUY 1 Units @0.0000						
		<b>* VARIABLE ANNUITIES SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$47.88</b>	<b>\$0.00</b>	<b>\$47.88</b>		<b>\$33.52</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/28/2018	***-**-2471 - COLUCCI, ATHENA	AMERICAN FUNDS T/E PRESERVATION POR	2,614.00	52.28	0.00	52.28	70.0	36.60
	ACCOUNT #: LSR011898	BUY 264 Units @9.9000						
		<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>	<b>\$2,614.00</b>	<b>\$52.28</b>	<b>\$0.00</b>	<b>\$52.28</b>		<b>\$36.60</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$15,615.46</b>	<b>\$324.33</b>	<b>\$72.00</b>	<b>\$252.33</b>		<b>\$176.66</b>

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$176.66
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commission Earned</b>	<b>\$176.66</b>
<b>Year-to-date Earnings</b>	<b>\$19,980.89</b>