



# COMMISSION STATEMENT

January 08, 2018

**TIMOTHY STATES**  
1629 K ST NW STE 300  
SUITE 300  
WASHINGTON, DC 20001

**BROKER #: A70**  
BRANCH #01001 - LifeMark Home Office

| DATE   | CLIENT   | INVESTMENT   | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE  | BROKER<br>COMMISSION |
|--|--|--|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>            |  |  |                      |                     |                    |                   |       |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b> |  |  |                      |                     |                    |                   |       |                      |
| 01/04/2018                                   | ***-**-736J - HIGHSMITH SR,<br>ACCOUNT #: LSR010785  | GLOBAL OPPORTUNITIES A<br>BUY 148 Units @74.2700               | 10,999.98            | 440.00              | 18.00              | 422.00            | 75.0  | 316.50               |
|  |  | <b>* MUTUAL FUNDS SUBTOTAL *</b>                               | <b>\$10,999.98</b>   | <b>\$440.00</b>     | <b>\$18.00</b>     | <b>\$422.00</b>   |       | <b>\$316.50</b>      |
| <b><u>PRODUCT CATEGORY: STOCKS</u></b>       |  |  |                      |                     |                    |                   |       |                      |
| 01/04/2018                                   | ***-**-35IN - KERR, GAIL<br>ACCOUNT #: LSR010562     | AMERICAN EXPRESS CO SOLICITED ORDER<br>SELL 68 Units @101.1740 | 6,879.83             | 135.00              | 16.36              | 118.64            | 75.0  | 88.98                |
| 01/03/2018                                   | ***-**-66IN - STATES, MR<br>ACCOUNT #: LSF000281     | BANK OF AMERICA CORP UNSOLICITED OR<br>SELL 158 Units @29.7210 | 4,695.92             | 10.00               | 10.57              | -0.57             | 100.0 | -0.57                |
| 01/02/2018                                   | ***-**-66IR - STATES, FBO MR<br>ACCOUNT #: LSF000280 | CISCO SYSTEMS INC<br>SELL 133 Units @38.6750                   | 5,143.78             | 10.00               | 8.99               | 1.01              | 75.0  | 0.76                 |
| 01/02/2018                                   | ***-**-066I - STATES, FBO MR<br>ACCOUNT #: LSF000282 | CISCO SYSTEMS INC<br>BUY 93 Units @38.7460                     | 3,603.38             | 10.00               | 8.99               | 1.01              | 75.0  | 0.76                 |
| 01/03/2018                                   | ***-**-66IN - STATES, MR<br>ACCOUNT #: LSF000281     | CISCO SYSTEMS INC<br>BUY 120 Units @38.9350                    | 4,672.20             | 10.00               | 8.99               | 1.01              | 75.0  | 0.76                 |
| 01/04/2018                                   | ***-**-50IN - WASHINGTON,<br>ACCOUNT #: LSR010660    | CISCO SYSTEMS INC<br>BUY 20 Units @39.1590                     | 783.18               | 35.00               | 18.00              | 17.00             | 75.0  | 12.75                |
| 01/03/2018                                   | ***-**-74IN - DAVIS, MISCHA<br>ACCOUNT #: LSR010627  | COCA COLA CO UNSOLICITED ORDER<br>SELL 114 Units @45.4650      | 5,183.01             | 93.22               | 17.28              | 75.94             | 75.0  | 56.96                |
| 01/03/2018                                   | ***-**-74IN - DAVIS, MISCHA<br>ACCOUNT #: LSR010627  | COCA COLA CO UNSOLICITED ORDER<br>SELL 100 Units @45.4700      | 4,547.00             | 81.78               | 2.00               | 79.78             | 75.0  | 59.84                |
| 01/02/2018                                   | ***-**-66IR - STATES, FBO MR<br>ACCOUNT #: LSF000280 | ENERGOUS CORP COM<br>BUY 220 Units @20.8150                    | 4,579.30             | 10.00               | 11.19              | -1.19             | 100.0 | -1.19                |
| 01/03/2018                                   | ***-**-66IR - STATES, FBO MR<br>ACCOUNT #: LSF000280 | ENERGOUS CORP COM<br>BUY 20 Units @22.9000                     | 458.00               | 10.00               | 9.19               | 0.81              | 75.0  | 0.61                 |
| 01/03/2018                                   | ***-**-407I - LEWIS, FBO<br>ACCOUNT #: LSR010564     | ENERGOUS CORP COM<br>BUY 250 Units @24.2580                    | 6,064.50             | 135.00              | 20.00              | 115.00            | 75.0  | 86.25                |
| 01/03/2018                                   | ***-**-837I - LEWIS, FBO V<br>ACCOUNT #: LSR010565   | ENERGOUS CORP COM<br>BUY 250 Units @24.6310                    | 6,157.75             | 135.00              | 20.00              | 115.00            | 75.0  | 86.25                |
| 01/03/2018                                   | ***-**-826I - CLARK, FBO MS<br>ACCOUNT #: LSR010588  | ENERGOUS CORP COM<br>BUY 100 Units @23.9760                    | 2,397.60             | 54.00               | 17.00              | 37.00             | 75.0  | 27.75                |
| 01/03/2018                                   | ***-**-826I - CLARK, FBO MS<br>ACCOUNT #: LSR010588  | ENERGOUS CORP COM<br>BUY 150 Units @23.9700                    | 3,595.50             | 81.00               | 3.00               | 78.00             | 75.0  | 58.50                |



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|--|-----------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS (continued)</u></b>      |                             |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: STOCKS (continued)</u></b> |                             |                                     |                      |                     |                    |                   |      |                      |
| 01/03/2018   | ***-**-58IN - LUTZ, SAMUEL  | ENERGOUS CORP COM                   | 5,999.50             | 135.00              | 20.00              | 115.00            | 75.0 | 86.25                |
|  | ACCOUNT #: LSR010594        | BUY 250 Units @23.9980              |                      |                     |                    |                   |      |                      |
| 01/03/2018   | ***-**-74IN - DAVIS, MISCHA | ENERGOUS CORP COM                   | 5,935.50             | 135.00              | 20.00              | 115.00            | 75.0 | 86.25                |
|  | ACCOUNT #: LSR010627        | BUY 250 Units @23.7420              |                      |                     |                    |                   |      |                      |
| 01/03/2018   | ***-**-820I - HIGHSMITH,    | ENERGOUS CORP COM                   | 5,997.75             | 135.00              | 20.00              | 115.00            | 75.0 | 86.25                |
|  | ACCOUNT #: LSR010653        | BUY 250 Units @23.9910              |                      |                     |                    |                   |      |                      |
| 01/04/2018   | ***-**-35IN - KERR, GAIL    | ENERGOUS CORP COM                   | 4,533.60             | 108.00              | 19.00              | 89.00             | 75.0 | 66.75                |
|  | ACCOUNT #: LSR010562        | BUY 200 Units @22.6680              |                      |                     |                    |                   |      |                      |
| 01/04/2018   | ***-**-35IN - KERR, GAIL    | ENERGOUS CORP COM                   | 1,131.75             | 27.00               | 1.00               | 26.00             | 75.0 | 19.50                |
|  | ACCOUNT #: LSR010562        | BUY 50 Units @22.6350               |                      |                     |                    |                   |      |                      |
| 12/28/2017   | ***-**-044K - WILLINGHAM,   | FACEBOOK INC COM USD0.000006 CL A   | 8,024.31             | 125.00              | 15.90              | 109.10            | 75.0 | 81.83                |
|  | ACCOUNT #: LSR012299        | BUY 45 Units @178.3180              |                      |                     |                    |                   |      |                      |
| 01/03/2018   | ***-**-58IN - LUTZ, SAMUEL  | HOME DEPOT INC COM                  | 8,504.78             | 135.00              | 15.90              | 119.10            | 75.0 | 89.33                |
|  | ACCOUNT #: LSR010594        | SELL 45 Units @188.9950             |                      |                     |                    |                   |      |                      |
| 01/03/2018   | ***-**-826I - CLARK, FBO MS | MCKESSON CORP COM                   | 8,741.88             | 140.00              | 16.12              | 123.88            | 75.0 | 92.91                |
|  | ACCOUNT #: LSR010588        | SELL 56 Units @156.1050             |                      |                     |                    |                   |      |                      |
| 12/28/2017   | ***-**-44IR - WILLINGHAM,   | MICROSOFT CORP UNSOLICITED ORDER EX | 3,259.64             | 75.00               | 18.00              | 57.00             | 75.0 | 42.75                |
|  | ACCOUNT #: LSR012298        | BUY 38 Units @85.7800               |                      |                     |                    |                   |      |                      |
| 01/03/2018   | ***-**-407I - LEWIS, FBO    | MICROSOFT CORP UNSOLICITED ORDER EX | 3,970.72             | 124.20              | 18.00              | 106.20            | 75.0 | 79.65                |
|  | ACCOUNT #: LSR010564        | SELL 46 Units @86.3200              |                      |                     |                    |                   |      |                      |
| 01/03/2018   | ***-**-407I - LEWIS, FBO    | MICROSOFT CORP UNSOLICITED ORDER EX | 345.28               | 10.80               | 0.00               | 10.80             | 75.0 | 8.10                 |
|  | ACCOUNT #: LSR010564        | SELL 4 Units @86.3200               |                      |                     |                    |                   |      |                      |
| 01/03/2018   | ***-**-837I - LEWIS, FBO V  | MICROSOFT CORP UNSOLICITED ORDER EX | 9,491.35             | 170.00              | 18.00              | 152.00            | 75.0 | 114.00               |
|  | ACCOUNT #: LSR010565        | SELL 110 Units @86.2850             |                      |                     |                    |                   |      |                      |
| 01/03/2018   | ***-**-820I - HIGHSMITH,    | MICROSOFT CORP UNSOLICITED ORDER EX | 7,260.46             | 135.00              | 18.00              | 117.00            | 75.0 | 87.75                |
|  | ACCOUNT #: LSR010653        | SELL 84 Units @86.4340              |                      |                     |                    |                   |      |                      |
| 01/04/2018   | ***-**-50IN - WASHINGTON,   | SIRIUS XM HLDGS INC COM UNSOLICITED | 528.50               | 35.00               | 18.00              | 17.00             | 75.0 | 12.75                |
|  | ACCOUNT #: LSR010660        | SELL 100 Units @5.2850              |                      |                     |                    |                   |      |                      |
| 01/04/2018   | ***-**-736J - HIGHSMITH SR, | SPROUTS FMRS MKT INC COM            | 4,852.80             | 80.00               | 19.00              | 61.00             | 75.0 | 45.75                |
|  | ACCOUNT #: LSR010785        | SELL 200 Units @24.2640             |                      |                     |                    |                   |      |                      |
| 01/04/2018   | ***-**-736J - HIGHSMITH SR, | SPROUTS FMRS MKT INC COM            | 4,852.80             | 80.00               | 4.00               | 76.00             | 75.0 | 57.00                |
|  | ACCOUNT #: LSR010785        | SELL 200 Units @24.2640             |                      |                     |                    |                   |      |                      |



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|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <b>BROKER TRANSACTIONS (continued)</b>           |                                |                                     |                      |                     |                    |                   |       |                      |
| <b>PRODUCT CATEGORY: STOCKS (continued)</b>      |                                |                                     |                      |                     |                    |                   |       |                      |
| 01/02/2018                                       | ***-**-066I - STATES, FBO MR   | UNDER ARMOUR INC COM STK USD0.00033 | 3,494.40             | 10.00               | 11.39              | -1.39             | 100.0 | -1.39                |
|  | ACCOUNT #: LSF000282           | SELL 240 Units @14.5600             |                      |                     |                    |                   |       |                      |
|  |                                | <b>* STOCKS SUBTOTAL *</b>          | <b>\$145,685.97</b>  | <b>\$2,470.00</b>   | <b>\$423.87</b>    | <b>\$2,046.13</b> |       | <b>\$1,533.84</b>    |
| <b>PRODUCT CATEGORY: RIA</b>                     |                                |                                     |                      |                     |                    |                   |       |                      |
| 01/04/2018                                       | ***-**-27FB - LYNCH, JAIR      | LIFEMARK INVESTMENT ADVISORY FEE    | 290.83               | 290.83              | 0.00               | 290.83            | 75.0  | 218.12               |
|  | ACCOUNT #: 925355417           | BUY 0 Units @0.0000                 |                      |                     |                    |                   |       |                      |
|  |                                | <b>* RIA SUBTOTAL *</b>             | <b>\$290.83</b>      | <b>\$290.83</b>     | <b>\$0.00</b>      | <b>\$290.83</b>   |       | <b>\$218.12</b>      |
| <b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b> |                                |                                     |                      |                     |                    |                   |       |                      |
| 01/02/2018                                       | ***-**-66IR - STATES, FBO MR   | FIDELITY GOVT MMKT CAPITAL RESERVES | 0.00                 | 0.00                | 0.00               | 0.00              | 75.0  | 0.00                 |
|  | ACCOUNT #: LSF000280           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |       |                      |
| 01/02/2018                                       | ***-**-66IN - STATES, MR       | FIDELITY GOVT MMKT CAPITAL RESERVES | 0.00                 | 0.00                | 0.00               | 0.00              | 75.0  | 0.00                 |
|  | ACCOUNT #: LSF000281           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |       |                      |
| 01/02/2018                                       | ***-**-066I - STATES, FBO MR   | FIDELITY GOVT MMKT CAPITAL RESERVES | 0.00                 | 0.00                | 0.00               | 0.00              | 75.0  | 0.00                 |
|  | ACCOUNT #: LSF000282           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |       |                      |
| 01/02/2018                                       | ***-**-735I - ANTOINE, FBO MS  | FIDELITY GOVT MMKT CAPITAL RESERVES | 0.00                 | 0.00                | 0.00               | 0.00              | 75.0  | 0.00                 |
|  | ACCOUNT #: LSR010596           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |       |                      |
| 01/02/2018                                       | ***-**-35IN - ANTOINE, MS      | FIDELITY GOVT MMKT CAPITAL RESERVES | 0.00                 | 0.00                | 0.00               | 0.00              | 75.0  | 0.00                 |
|  | ACCOUNT #: LSR010597           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |       |                      |
| 01/02/2018                                       | ***-**-391I - RICHARDS, FBO MR | FIDELITY GOVT MMKT CAPITAL RESERVES | 0.00                 | 0.00                | 0.00               | 0.00              | 75.0  | 0.00                 |
|  | ACCOUNT #: LSR010599           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |       |                      |
| 01/02/2018                                       | ***-**-91IN - RICHARDS, RMR    | FIDELITY GOVT MMKT CAPITAL RESERVES | 0.00                 | 0.00                | 0.00               | 0.00              | 75.0  | 0.00                 |
|  | ACCOUNT #: LSR010600           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |       |                      |
| 01/02/2018                                       | ***-**-727O - LYNCH P/ADM,     | FIDELITY GOVT MMKT CAPITAL RESERVES | 0.00                 | 0.00                | 0.00               | 0.00              | 75.0  | 0.00                 |
|  | ACCOUNT #: LSR010614           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |       |                      |
| 01/02/2018                                       | ***-**-727O - LYNCH P/ADM, MR  | FIDELITY GOVT MMKT CAPITAL RESERVES | 0.00                 | 0.00                | 0.00               | 0.00              | 75.0  | 0.00                 |
|  | ACCOUNT #: LSR010615           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |       |                      |
| 01/02/2018                                       | ***-**-384I - WALKER, EUGENE   | FIDELITY GOVT MMKT CAPITAL RESERVES | 0.00                 | 0.00                | 0.00               | 0.00              | 75.0  | 0.00                 |
|  | ACCOUNT #: LSR010626           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |       |                      |
| 01/02/2018                                       | ***-**-74IN - DAVIS, MISCHA    | FIDELITY GOVT MMKT CAPITAL RESERVES | 0.00                 | 0.00                | 0.00               | 0.00              | 75.0  | 0.00                 |
|  | ACCOUNT #: LSR010627           | BUY 1 Units @0.0000                 |                      |                     |                    |                   |       |                      |



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|---|--|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS (continued)</u></b>                       |  |  |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u></b> |  |  |                      |                     |                    |                   |      |                      |
| 01/02/2018  | ***-**-574I - DAVIS, MISCHA<br>ACCOUNT #: LSR010630    | FIDELITY GOVT MMKT CAPITAL RESERVES<br>BUY 1 Units @0.0000 | 0.00                 | 0.00                | 0.00               | 0.00              | 75.0 | 0.00                 |
| 01/02/2018  | ***-**-819I - BOYKIN,<br>ACCOUNT #: LSR010634          | FIDELITY GOVT MMKT CAPITAL RESERVES<br>BUY 1 Units @0.0000 | 0.00                 | 0.00                | 0.00               | 0.00              | 75.0 | 0.00                 |
| 01/02/2018  | ***-**-588I - CHAPMAN, MARY<br>ACCOUNT #: LSR010647    | FIDELITY GOVT MMKT CAPITAL RESERVES<br>BUY 1 Units @0.0000 | 0.00                 | 0.00                | 0.00               | 0.00              | 75.0 | 0.00                 |
| 01/02/2018  | ***-**-889I - DAVIS, CONCHITA<br>ACCOUNT #: LSR010649  | FIDELITY GOVT MMKT CAPITAL RESERVES<br>BUY 1 Units @0.0000 | 0.00                 | 0.00                | 0.00               | 0.00              | 75.0 | 0.00                 |
| 01/02/2018  | ***-**-820I - HIGHSMITH,<br>ACCOUNT #: LSR010653       | FIDELITY GOVT MMKT CAPITAL RESERVES<br>BUY 1 Units @0.0000 | 0.00                 | 0.00                | 0.00               | 0.00              | 75.0 | 0.00                 |
| 01/02/2018  | ***-**-50IN - WASHINGTON,<br>ACCOUNT #: LSR010660      | FIDELITY GOVT MMKT CAPITAL RESERVES<br>BUY 1 Units @0.0000 | 0.00                 | 0.00                | 0.00               | 0.00              | 75.0 | 0.00                 |
| 01/02/2018  | ***-**-549I - WHITE, WILLIAM<br>ACCOUNT #: LSR010690   | FIDELITY GOVT MMKT CAPITAL RESERVES<br>BUY 1 Units @0.0000 | 0.00                 | 0.00                | 0.00               | 0.00              | 75.0 | 0.00                 |
| 01/02/2018  | ***-**-100I - BRITT, FBO RUTH<br>ACCOUNT #: LSR010568  | FIDELITY GOVT MMKT CAPITAL RESERVES<br>BUY 1 Units @0.0000 | 0.00                 | 0.00                | 0.00               | 0.00              | 75.0 | 0.00                 |
| 01/02/2018  | ***-**-537I - BRITT, FBO EDDIE<br>ACCOUNT #: LSR010574 | FIDELITY GOVT MMKT CAPITAL RESERVES<br>BUY 1 Units @0.0000 | 0.00                 | 0.00                | 0.00               | 0.00              | 75.0 | 0.00                 |
| 01/02/2018  | ***-**-00TR - BRITT, MRS RUTH<br>ACCOUNT #: LSR010582  | FIDELITY GOVT MMKT CAPITAL RESERVES<br>BUY 1 Units @0.0000 | 0.00                 | 0.00                | 0.00               | 0.00              | 75.0 | 0.00                 |
| 01/02/2018  | ***-**-826I - CLARK, FBO MS<br>ACCOUNT #: LSR010588    | FIDELITY GOVT MMKT CAPITAL RESERVES<br>BUY 1 Units @0.0000 | 0.00                 | 0.00                | 0.00               | 0.00              | 75.0 | 0.00                 |
| 01/02/2018  | ***-**-587I - KEARNEY, FBO MS<br>ACCOUNT #: LSR010590  | FIDELITY GOVT MMKT CAPITAL RESERVES<br>BUY 1 Units @0.0000 | 0.00                 | 0.00                | 0.00               | 0.00              | 75.0 | 0.00                 |
| 01/02/2018  | ***-**-710I - JACKSON, FBO<br>ACCOUNT #: LSR010593     | FIDELITY GOVT MMKT CAPITAL RESERVES<br>BUY 1 Units @0.0000 | 0.00                 | 0.00                | 0.00               | 0.00              | 75.0 | 0.00                 |
| 01/02/2018  | ***-**-58IN - LUTZ, SAMUEL<br>ACCOUNT #: LSR010594     | FIDELITY GOVT MMKT CAPITAL RESERVES<br>BUY 1 Units @0.0000 | 0.00                 | 0.00                | 0.00               | 0.00              | 75.0 | 0.00                 |
| 01/02/2018  | ***-**-820X - HIGHSMITH,<br>ACCOUNT #: LSR010767       | FIDELITY GOVT MMKT DAILY MONEY CLAS<br>BUY 1 Units @0.0000 | 0.00                 | 0.02                | 0.00               | 0.02              | 75.0 | 0.02                 |
| 01/02/2018  | ***-**-067X - HIGHSMITH JR,<br>ACCOUNT #: LSR010776    | FIDELITY GOVT MMKT DAILY MONEY CLAS<br>BUY 1 Units @0.0000 | 0.00                 | 0.02                | 0.00               | 0.02              | 75.0 | 0.02                 |



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|---|---|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS (continued)</u></b>                       |   |  |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u></b> |   |  |                      |                     |                    |                   |      |                      |
| 01/02/2018  | ***-**-736X - HIGHSMITH SR,<br>ACCOUNT #: LSR010791 | FIDELITY GOVT MMKT DAILY MONEY CLAS<br>BUY 1 Units @0.0000 | 0.00                 | 0.04                | 0.00               | 0.04              | 75.0 | 0.03                 |
|   |   | <b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>                | <b>\$0.00</b>        | <b>\$0.08</b>       | <b>\$0.00</b>      | <b>\$0.08</b>     |      | <b>\$0.07</b>        |
|   |   | <b>*** BROKER TRANSACTIONS TOTAL ***</b>                   | <b>\$156,976.78</b>  | <b>\$3,200.91</b>   | <b>\$441.87</b>    | <b>\$2,759.04</b> |      | <b>\$2,068.53</b>    |

## **BROKER COMMISSION TOTALS**

|                       |            |
|-----------------------|------------|
| Broker Transactions   | \$2,068.53 |
| Split Transactions    | \$0.00     |
| Override Transactions | \$0.00     |
| Adjustments           | \$0.00     |
| Payroll Draw          | \$0.00     |
| Base Salary           | \$0.00     |
| Prior Period Balance  | \$-71.67   |
| FINRA Assessment      | \$0.00     |
| SIPC Assessment       | \$0.00     |

|                              |                   |
|------------------------------|-------------------|
| <b>Net Commission Earned</b> | <b>\$1,996.86</b> |
| <b>Year-to-date Earnings</b> | <b>\$1,996.86</b> |