

Bill Payment Stub

Check Date:	2/7/2018
Check No.:	41392
Check Amount:	3,693.57

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: CHIP KLEINBROOK
2817 S. MILFORD RD., STE 101
HIGHLAND, MI 48357

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
1/31/2018	Bill	COMMISSIONS	3,813.57	3,813.57		3,813.57
2/1/2018	Credit	E&O	-120.00	-120.00		-120.00

COMMISSION STATEMENT

January 31, 2018

CHIP KLEINBROOK-D037

BROKER #: D037

BRANCH: DMN

, TX

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
CLEARING TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
01/10/2018	646417736	KROLL, RICHARD	MF	NUVEEN MI MUNI BD C	S	2,502.45	25.00	2.50	22.50	80.0	18.00
12/29/2017	646509508	ROUSSEYTEE, DAVE	MF	PUTNAM ABSOLUTE RETURN 300 FD	S	227.45	2.25	2.50	-0.25	80.0	-0.20
* MUTUAL FUNDS SUBTOTAL *					\$2,729.90	\$27.25	\$5.00	\$22.25	\$17.80		
PRODUCT CATEGORY: STOCKS											
01/22/2018	183714765	F, CHARLES	S	VISA INC-CLASS A SHRS	S	-24,556.60	0.00	15.00	-15.00	80.0	-12.00
01/23/2018	368329987	LIM, ENG	S	SAN JUAN BASIN ROYALTY TRUST	S	-13,837.16	248.26	15.00	233.26	80.0	186.61
01/03/2018	461882172	LEUK-LIM TTEE,	S	WOLVERINE WORLD WIDE	S	-12,615.14	230.59	15.00	215.59	80.0	172.47
01/25/2018	461882172	LEUK-LIM TTEE,	S	GENERAL ELECTRIC COMPANY	S	-1,595.65	40.00	15.00	25.00	80.0	20.00
* STOCKS SUBTOTAL *					\$-52,604.55	\$518.85	\$60.00	\$458.85	\$367.08		
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
01/09/2018	mfc587889	KLEINBROOK 587889	MFT	FIDELITY ADVISOR MULTI-ASSET	B	0.00	6.40	0.00	6.40	80.0	5.12
01/23/2018	mfc587889	KLEINBROOK 587889	MFT	AB BALANCED WEALTH STRATEGY A	B	0.00	9.09	0.00	9.09	80.0	7.27
01/23/2018	mfc587889	KLEINBROOK 587889	MFT	AB GOVERNMENT MONEY MARKET	S	0.00	22.89	0.00	22.89	80.0	18.31
01/23/2018	mfc587889	KLEINBROOK 587889	MFT	AB GOVERNMENT MONEY MKT	B	0.00	1.66	0.00	1.66	80.0	1.33
01/23/2018	mfc587889	KLEINBROOK 587889	MFT	AB HIGH INCOME FD C	B	0.00	20.25	0.00	20.25	80.0	16.20
01/23/2018	mfc587889	KLEINBROOK 587889	MFT	AB WEALTH PRES STRAT FD C	B	0.00	55.88	0.00	55.88	80.0	44.70
01/23/2018	mfc587889	KLEINBROOK 587889	MFT	AIG FOCUS DIVIDEND STRAT FD C	B	0.00	32.20	0.00	32.20	80.0	25.76
01/23/2018	mfc587889	KLEINBROOK 587889	MFT	AIG FOCUS DIVIDEND STRAT FD C	B	0.00	64.40	0.00	64.40	80.0	51.52
01/23/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.80	0.00	0.80	80.0	0.64
01/23/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCE BERNSTEIN GLOBAL	B	0.00	0.90	0.00	0.90	80.0	0.72
01/23/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCE BERNSTEIN GLOBAL	B	0.00	1.54	0.00	1.54	80.0	1.23
01/23/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCE BERNSTEIN GLOBAL	B	0.00	11.52	0.00	11.52	80.0	9.22
01/23/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN GROWTH A	B	0.00	1.83	0.00	1.83	80.0	1.46
01/23/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	0.19	0.00	0.19	80.0	0.15
01/23/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	5.38	0.00	5.38	80.0	4.30
01/23/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	10.85	0.00	10.85	80.0	8.68
01/23/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	1.93	0.00	1.93	80.0	1.54
01/23/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN LARGE-CAP	B	0.00	3.41	0.00	3.41	80.0	2.73
01/23/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN UTILITY	B	0.00	8.45	0.00	8.45	80.0	6.76
01/23/2018	mfc587889	KLEINBROOK 587889	MFT	ALLIANCEBERNSTEIN UTILITY	B	0.00	14.85	0.00	14.85	80.0	11.88

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
01/23/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN GROWTH AND	B	0.00	73.53	0.00	73.53	80.0	58.82
01/23/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANCEBERNSTEIN MID-CAP GRO A	B	0.00	1.52	0.00	1.52	80.0	1.22
01/23/2018	mfc587889	KLEINBROOK 587889	MFT ALLIANZ NFJ SMALL CAP VALUE FD	B	0.00	2.80	0.00	2.80	80.0	2.24
01/23/2018	mfc587889	KLEINBROOK 587889	MFT AMCAP FUND-A	B	0.00	1.23	0.00	1.23	80.0	0.98
01/23/2018	mfc587889	KLEINBROOK 587889	MFT AMERICAN MUTUAL FUND-A	B	0.00	8.28	0.00	8.28	80.0	6.62
01/23/2018	mfc587889	KLEINBROOK 587889	MFT AMERICAN MUTUAL FUND-A	B	0.00	8.28	0.00	8.28	80.0	6.62
01/23/2018	mfc587889	KLEINBROOK 587889	MFT BALANCED PORTFOLIO-C	B	0.00	15.29	0.00	15.29	80.0	12.23
01/23/2018	mfc587889	KLEINBROOK 587889	MFT BALANCED PORTFOLIO-C	B	0.00	30.57	0.00	30.57	80.0	24.46
01/23/2018	mfc587889	KLEINBROOK 587889	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.87	0.00	3.87	80.0	3.10
01/23/2018	mfc587889	KLEINBROOK 587889	MFT CAPITAL INCOME BUILDER CLASS C	B	0.00	7.18	0.00	7.18	80.0	5.74
01/23/2018	mfc587889	KLEINBROOK 587889	MFT CAPITAL INCOME BUILDER-F1	B	0.00	5.44	0.00	5.44	80.0	4.35
01/23/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	1.12	0.00	1.12	80.0	0.90
01/23/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA SELIGMAN COMM AND	B	0.00	2.25	0.00	2.25	80.0	1.80
01/23/2018	mfc587889	KLEINBROOK 587889	MFT COLUMBIA THERMOSTAT FD A	B	0.00	6.99	0.00	6.99	80.0	5.59
01/23/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	4.30	0.00	4.30	80.0	3.44
01/23/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	5.65	0.00	5.65	80.0	4.52
01/23/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS FINANCIAL CLASS A	B	0.00	5.71	0.00	5.71	80.0	4.57
01/23/2018	mfc587889	KLEINBROOK 587889	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	6.46	0.00	6.46	80.0	5.17
01/23/2018	mfc587889	KLEINBROOK 587889	MFT EMERALD BANKING & FINANCE FD CL	B	0.00	34.56	0.00	34.56	80.0	27.65
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	25.64	0.00	25.64	80.0	20.51
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	30.13	0.00	30.13	80.0	24.10
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	46.98	0.00	46.98	80.0	37.58
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	14.19	0.00	14.19	80.0	11.35
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV BALANCED CL C	B	0.00	36.15	0.00	36.15	80.0	28.92
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV DIVERSIFIED INTL	B	0.00	6.04	0.00	6.04	80.0	4.83
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TECHNOLOGY CL A	B	0.00	0.99	0.00	0.99	80.0	0.79
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV EQUITY GROWTH CL T	B	0.00	5.96	0.00	5.96	80.0	4.77
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HIGH INC	B	0.00	19.24	0.00	19.24	80.0	15.39
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR MUNI INCOME FD	B	0.00	67.46	0.00	67.46	80.0	53.97
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV SHORT TERM BOND FD	B	0.00	3.55	0.00	3.55	80.0	2.84
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV SHORT TERM BOND FD	B	0.00	9.11	0.00	9.11	80.0	7.29
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	1.34	0.00	1.34	80.0	1.07
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	11.44	0.00	11.44	80.0	9.15
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	19.06	0.00	19.06	80.0	15.25
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	4.37	0.00	4.37	80.0	3.50
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 70%	B	0.00	22.20	0.00	22.20	80.0	17.76
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TOTAL BOND-CL C	B	0.00	24.40	0.00	24.40	80.0	19.52
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV TOTAL BOND-CL C	B	0.00	30.05	0.00	30.05	80.0	24.04
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2030	B	0.00	24.17	0.00	24.17	80.0	19.34
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STRAT REAL	B	0.00	75.25	0.00	75.25	80.0	60.20
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	3.84	0.00	3.84	80.0	3.07
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	31.71	0.00	31.71	80.0	25.37
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV FREEDOM 2020-CL C	B	0.00	62.04	0.00	62.04	80.0	49.63
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	2.51	0.00	2.51	80.0	2.01

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	15.95	0.00	15.95	80.0	12.76
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EQUITY INCOME	B	0.00	19.08	0.00	19.08	80.0	15.26
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	12.07	0.00	12.07	80.0	9.66
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	17.78	0.00	17.78	80.0	14.22
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FLOAT RATE HI	B	0.00	24.27	0.00	24.27	80.0	19.42
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2015	B	0.00	28.98	0.00	28.98	80.0	23.18
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV INVESTMENT GRADE	B	0.00	5.50	0.00	5.50	80.0	4.40
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV INVESTMENT GRADE	B	0.00	17.31	0.00	17.31	80.0	13.85
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV GROWTH & INCOME CL	B	0.00	3.92	0.00	3.92	80.0	3.14
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GROWTH &	B	0.00	15.84	0.00	15.84	80.0	12.67
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GROWTH &	B	0.00	34.05	0.00	34.05	80.0	27.24
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HEALTH CARE FD	B	0.00	13.88	0.00	13.88	80.0	11.10
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HEALTH CARE FD	B	0.00	23.14	0.00	23.14	80.0	18.51
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR HIGH INCOME FD	B	0.00	32.73	0.00	32.73	80.0	26.18
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV LEVERAGED CO STOCK	B	0.00	14.32	0.00	14.32	80.0	11.46
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	1.05	0.00	1.05	80.0	0.84
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	3.25	0.00	3.25	80.0	2.60
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	11.33	0.00	11.33	80.0	9.06
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	20.24	0.00	20.24	80.0	16.19
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR EMERGING MKT	B	0.00	54.82	0.00	54.82	80.0	43.86
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR LTD TERM BOND	B	0.00	10.89	0.00	10.89	80.0	8.71
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER 60%	B	0.00	56.71	0.00	56.71	80.0	45.37
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FUNDS	B	0.00	6.52	0.00	6.52	80.0	5.22
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STRATEGIC	B	0.00	24.21	0.00	24.21	80.0	19.37
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR STK SEL ALL	B	0.00	39.40	0.00	39.40	80.0	31.52
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	8.28	0.00	8.28	80.0	6.62
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	10.76	0.00	10.76	80.0	8.61
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	15.10	0.00	15.10	80.0	12.08
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV STRATEGIC INCOME	B	0.00	65.19	0.00	65.19	80.0	52.15
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER	B	0.00	14.27	0.00	14.27	80.0	11.42
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADV ASSET MANAGER	B	0.00	18.70	0.00	18.70	80.0	14.96
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR FREEDOM 2025	B	0.00	56.52	0.00	56.52	80.0	45.22
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR VALUE	B	0.00	25.36	0.00	25.36	80.0	20.29
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR VALUE	B	0.00	42.91	0.00	42.91	80.0	34.33
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FIDELITY ADVISOR GOVT INCOME FD	B	0.00	11.07	0.00	11.07	80.0	8.86
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	1.47	0.00	1.47	80.0	1.18
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	7.31	0.00	7.31	80.0	5.85
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	4.25	0.00	4.25	80.0	3.40
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	6.49	0.00	6.49	80.0	5.19
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	6.49	0.00	6.49	80.0	5.19
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	9.54	0.00	9.54	80.0	7.63
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	14.01	0.00	14.01	80.0	11.21
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	22.00	0.00	22.00	80.0	17.60
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	25.29	0.00	25.29	80.0	20.23

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01/23/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	26.88	0.00	26.88	80.0	21.50
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	81.64	0.00	81.64	80.0	65.31
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	83.73	0.00	83.73	80.0	66.98
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI TAX FR INCOME FUND	B	0.00	85.26	0.00	85.26	80.0	68.21
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN MI INSD TAX FREE	B	0.00	1.00	0.00	1.00	80.0	0.80
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FRANKLIN U.S. GOVERNMENT	B	0.00	7.74	0.00	7.74	80.0	6.19
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FRK CSV ALC FD CL C	B	0.00	42.86	0.00	42.86	80.0	34.29
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FRK CSV ALC FD CL C	B	0.00	85.21	0.00	85.21	80.0	68.17
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	6.33	0.00	6.33	80.0	5.06
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	6.33	0.00	6.33	80.0	5.06
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP COREFOLIO ALLOC FND CL	B	0.00	53.98	0.00	53.98	80.0	43.18
01/23/2018	mfc587889	KLEINBROOK 587889	MFT FRK TEMP FOUNDING FUNDS ALLOC	B	0.00	3.90	0.00	3.90	80.0	3.12
01/23/2018	mfc587889	KLEINBROOK 587889	MFT GEORGE PUTNAM BALANCED FUND-A	B	0.00	0.64	0.00	0.64	80.0	0.51
01/23/2018	mfc587889	KLEINBROOK 587889	MFT GUGGENHEIM STYLEPLUS LARGE CORE	B	0.00	53.25	0.00	53.25	80.0	42.60
01/23/2018	mfc587889	KLEINBROOK 587889	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	16.11	0.00	16.11	80.0	12.89
01/23/2018	mfc587889	KLEINBROOK 587889	MFT JOHN HANCOCK STRATEGIC GROWTH A	B	0.00	5.60	0.00	5.60	80.0	4.48
01/23/2018	mfc587889	KLEINBROOK 587889	MFT MAINSTAY TAX FREE BOND FD CL A	B	0.00	1.46	0.00	1.46	80.0	1.17
01/23/2018	mfc587889	KLEINBROOK 587889	MFT MERGER FD	B	0.00	0.78	0.00	0.78	80.0	0.62
01/23/2018	mfc587889	KLEINBROOK 587889	MFT MFS CORE EQUITY FUND-C	B	0.00	12.67	0.00	12.67	80.0	10.14
01/23/2018	mfc587889	KLEINBROOK 587889	MFT MFS CORE EQUITY FUND-C	B	0.00	29.73	0.00	29.73	80.0	23.78
01/23/2018	mfc587889	KLEINBROOK 587889	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	4.41	0.00	4.41	80.0	3.53
01/23/2018	mfc587889	KLEINBROOK 587889	MFT MFS UTILITIES FUND-C	B	0.00	20.03	0.00	20.03	80.0	16.02
01/23/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	0.03	0.00	0.03	80.0	0.02
01/23/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	0.03	0.00	0.03	80.0	0.02
01/23/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	0.14	0.00	0.14	80.0	0.11
01/23/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	0.58	0.00	0.58	80.0	0.46
01/23/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	1.39	0.00	1.39	80.0	1.11
01/23/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	3.47	0.00	3.47	80.0	2.78
01/23/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD C	B	0.00	116.49	0.00	116.49	80.0	93.19
01/23/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN MI MUNI BD FD CL A	B	0.00	0.06	0.00	0.06	80.0	0.05
01/23/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.03	0.00	0.03	80.0	0.02
01/23/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.03	0.00	0.03	80.0	0.02
01/23/2018	mfc587889	KLEINBROOK 587889	MFT NUVEEN REAL ASSET INCOME C	B	0.00	0.03	0.00	0.03	80.0	0.02
01/23/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC CORE INCOME FD C	B	0.00	0.41	0.00	0.41	80.0	0.33
01/23/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC SHORT DURATION INC FD C	B	0.00	0.27	0.00	0.27	80.0	0.22
01/23/2018	mfc587889	KLEINBROOK 587889	MFT PACIFIC STRATEGIC INCOME FD C	B	0.00	0.67	0.00	0.67	80.0	0.54
01/23/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	0.17	0.00	0.17	80.0	0.14
01/23/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	4.96	0.00	4.96	80.0	3.97
01/23/2018	mfc587889	KLEINBROOK 587889	MFT PIMCO TOTAL RETURN FD CL C	B	0.00	17.58	0.00	17.58	80.0	14.06
01/23/2018	mfc587889	KLEINBROOK 587889	MFT PRUDENTIAL QMA MIDCAP VALUE FD	B	0.00	16.08	0.00	16.08	80.0	12.86
01/23/2018	mfc587889	KLEINBROOK 587889	MFT PRUDENTIAL TOTAL RETURN BOND	B	0.00	50.77	0.00	50.77	80.0	40.62
01/23/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746444108	B	0.00	3.15	0.00	3.15	80.0	2.52
01/23/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746745108	B	0.00	3.47	0.00	3.47	80.0	2.78
01/23/2018	mfc587889	KLEINBROOK 587889	MFT PUTNAM 746745108	B	0.00	20.04	0.00	20.04	80.0	16.03

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
01/23/2018 mfc587889	KLEINBROOK 587889	MFT PUTNAM 746802206	B	0.00	5.81	0.00	5.81	80.0	4.65
01/23/2018 mfc587889	KLEINBROOK 587889	MFT PUTNAM ABSOLUTE RETURN 300 FD	B	0.00	3.22	0.00	3.22	80.0	2.58
01/23/2018 mfc587889	KLEINBROOK 587889	MFT PUTNAM ABSOLUTE RETURN 300 FD	B	0.00	11.06	0.00	11.06	80.0	8.85
01/23/2018 mfc587889	KLEINBROOK 587889	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	0.25	0.00	0.25	80.0	0.20
01/23/2018 mfc587889	KLEINBROOK 587889	MFT PUTNAM NEW OPPORTUNITIES FUND	B	0.00	1.48	0.00	1.48	80.0	1.18
01/23/2018 mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	0.02	0.00	0.02	80.0	0.02
01/23/2018 mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	2.53	0.00	2.53	80.0	2.02
01/23/2018 mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	7.42	0.00	7.42	80.0	5.94
01/23/2018 mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	9.89	0.00	9.89	80.0	7.91
01/23/2018 mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	9.89	0.00	9.89	80.0	7.91
01/23/2018 mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	26.68	0.00	26.68	80.0	21.34
01/23/2018 mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	38.05	0.00	38.05	80.0	30.44
01/23/2018 mfc587889	KLEINBROOK 587889	MFT PUTNAM TAX EXEMPT INCM C	B	0.00	50.73	0.00	50.73	80.0	40.58
01/23/2018 mfc587889	KLEINBROOK 587889	MFT T ROWE PRICE TAX-FREE INCOME FD	B	0.00	0.66	0.00	0.66	80.0	0.53
01/23/2018 mfc587889	KLEINBROOK 587889	MFT TEMP GRWTH FND - CL C	B	0.00	18.43	0.00	18.43	80.0	14.74
01/23/2018 mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BALANCED FUND	B	0.00	3.81	0.00	3.81	80.0	3.05
01/23/2018 mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BALANCED FUND	B	0.00	9.84	0.00	9.84	80.0	7.87
01/23/2018 mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	7.84	0.00	7.84	80.0	6.27
01/23/2018 mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	7.86	0.00	7.86	80.0	6.29
01/23/2018 mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL BOND FUND -	B	0.00	31.17	0.00	31.17	80.0	24.94
01/23/2018 mfc587889	KLEINBROOK 587889	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	4.52	0.00	4.52	80.0	3.62
01/23/2018 mfc587889	KLEINBROOK 587889	MFT TEMPLETON GROWTH FUND CLASS A	B	0.00	4.95	0.00	4.95	80.0	3.96
01/23/2018 mfc587889	KLEINBROOK 587889	MFT TEMPLETON GLOBAL TOTAL RETURN	B	0.00	11.47	0.00	11.47	80.0	9.18
01/23/2018 mfc587889	KLEINBROOK 587889	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	7.34	0.00	7.34	80.0	5.87
01/23/2018 mfc587889	KLEINBROOK 587889	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.92	0.00	6.92	80.0	5.54
01/23/2018 mfc587889	KLEINBROOK 587889	MFT THE INVESTMENT COMPANY OF	B	0.00	1.31	0.00	1.31	80.0	1.05
01/23/2018 mfc587889	KLEINBROOK 587889	MFT THE INVESTMENT COMPANY OF	B	0.00	1.50	0.00	1.50	80.0	1.20
01/23/2018 mfc587889	KLEINBROOK 587889	MFT THE INVESTMENT COMPANY OF	B	0.00	1.77	0.00	1.77	80.0	1.42
01/23/2018 mfc587889	KLEINBROOK 587889	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.50	0.00	1.50	80.0	1.20
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$3,106.83	\$0.00	\$3,106.83		\$2,485.43
*** CLEARING TRANSACTIONS TOTAL ***				\$-49,874.65	\$3,652.93	\$65.00	\$3,587.93		\$2,870.31

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

01/16/2018 (None) VARIOUS, KLEINBROOK	MF	FIDELITY ADVISOR FDS	B	0.00	28.30	0.00	28.30	80.0	22.64
01/10/2018 000000000221299 FURMAN, VICKI	MF	FRANKLIN MI TAX FR INCOME FUND	B	200.00	2.00	0.00	2.00	80.0	1.60
01/10/2018 00068622447 GLORIA D PARRISH	MF	THE GROWTH FUND OF AMERICA-A	B	20.00	0.85	0.00	0.85	80.0	0.68
01/10/2018 00083533648 GLORIA D PARRISH	MF	CAPITAL WORLD GROWTH AND INCOME	B	40.00	1.70	0.00	1.70	80.0	1.36
01/16/2018 00089905459 CHHEN, KIMBERLY	MF	AMERICAN BALANCED FUND-C	B	425.00	4.25	0.00	4.25	80.0	3.40
* MUTUAL FUNDS SUBTOTAL *				\$685.00	\$37.10	\$0.00	\$37.10		\$29.68

COMMISSION STATEMENT for CHIP KLEINBROOK-D037

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>PRODUCT CATEGORY: FIXED ANNUITIES</u>									
01/01/2018 (None)	ROUSSEY, DAVID	FA ALLIANZ FIA	B	0.00	188.04	0.00	188.04	80.0	150.43
01/01/2018 (None)	VIRGIN, WILLIAM	FA ALLIANZ FIA	B	0.00	378.65	0.00	378.65	80.0	302.92
* FIXED ANNUITIES SUBTOTAL *				\$0.00	\$566.69	\$0.00	\$566.69		\$453.35
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/29/2017 (None)	BAGAZINSKI, GERALD	MFT PACIFIC LIFE 12B1	B	0.00	17.29	0.00	17.29	80.0	13.83
12/31/2017 (None)	VARIOUS, KLEINBROOK	MFT ALLIANCE BERNSTEIN 12B-1	B	0.00	73.77	0.00	73.77	80.0	59.02
12/31/2017 (None)	VARIOUS, KLEINBROOK	MFT COLUMBIA THREADNEEDLE	B	0.00	26.39	0.00	26.39	80.0	21.11
12/31/2017 (None)	JACOBFADI	MFT PRUDENTIAL MUTUAL FUNDS	B	0.00	19.08	0.00	19.08	80.0	15.26
12/31/2017 (None)	SHERWSUSAM	MFT PRUDENTIAL MUTUAL FUNDS	B	0.00	78.55	0.00	78.55	80.0	62.84
12/31/2017 (None)	CHEN, SHAN	MFT PRUDENTIAL MUTUAL FUNDS	B	0.00	6.00	0.00	6.00	80.0	4.80
12/31/2017 (None)	CHEN, SHAN	MFT PRUDENTIAL MUTUAL FUNDS	B	0.00	6.47	0.00	6.47	80.0	5.18
12/31/2017 (None)	CHEN, SHAN	MFT PRUDENTIAL MUTUAL FUNDS	B	0.00	6.79	0.00	6.79	80.0	5.43
12/31/2017 (None)	CHEN, SHAN	MFT PRUDENTIAL MUTUAL FUNDS	B	0.00	0.52	0.00	0.52	80.0	0.42
12/31/2017 (None)	CHEN, SHAN	MFT PRUDENTIAL MUTUAL FUNDS	B	0.00	0.49	0.00	0.49	80.0	0.39
01/02/2018 (None)	VARIOUS, KLEINBROOK	MFT PUTNAM	B	0.00	408.69	0.00	408.69	80.0	326.95
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$644.04	\$0.00	\$644.04		\$515.23
*** DIRECT TRANSACTIONS TOTAL ***				\$685.00	\$1,247.83	\$0.00	\$1,247.83		\$998.26

ADJUSTMENTS

01/31/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
01/31/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
01/31/2018	: MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	0.00
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-55.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,868.57
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-55.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,813.57
Year-to-date Commissions	\$14,087.56