



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/16/2018	***-**-801I - HAGAN III, ACCOUNT #: 00088485981	AMERICAN GLOBAL BALANCED CL C BUY 5 Units @31.5500	160.00	1.60	0.00	1.60	88.0	1.41
08/13/2018	***-**-11IN - MOYLAN, TIMOTHY ACCOUNT #: 03222207458	CAPITAL APPRECIATION C BUY 0 Units @0.0000	6.40	0.06	0.00	0.06	88.0	0.05
08/01/2018	***-**-8027 - MAXWELL, COLLEEN ACCOUNT #: 07621429626	CASH RESERVES C BUY 0 Units @0.0000	330.25	0.83	0.00	0.83	88.0	0.73
08/14/2018	***-**-423I - SAVICKI, RICHARD ACCOUNT #: LSR010889	DAVIS FINANCIAL CLASS A SELL 249 Units @53.0600	13,232.53	19.99	18.00	1.99	88.0	1.75
08/14/2018	***-**-423I - SAVICKI, RICHARD ACCOUNT #: LSR010889	DAVIS OPPORTUNITY CLASS A SELL 535 Units @39.0400	20,899.71	19.99	18.00	1.99	88.0	1.75
08/13/2018	***-**-474J - HAGAN JR, ACCOUNT #: LSR010993	EQUITY INCOME FUND, INC. A SELL 31 Units @32.0900	999.99	0.00	0.00	0.00	88.0	0.00
08/08/2018	***-**-58IN - CADY, GAIL ACCOUNT #: 08370389048	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	3,541.12	35.41	0.00	35.41	88.0	31.16
08/13/2018	***-**-11IN - MOYLAN, TIMOTHY ACCOUNT #: 08370450780	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	9.60	0.10	0.00	0.10	88.0	0.09
08/15/2018	***-**-5485 - GAETANO, ACCOUNT #: 00082975488	EUROPACIFIC GROWTH FUND-A BUY 1 Units @54.7600	33.00	1.40	0.00	1.40	88.0	1.23
08/08/2018	***-**-58IN - CADY, GAIL ACCOUNT #: 02171604031	GLOBAL OPPORTUNITIES FUND C BUY 0 Units @0.0000	2,360.74	23.61	0.00	23.61	88.0	20.78
08/13/2018	***-**-11IN - MOYLAN, TIMOTHY ACCOUNT #: 02171646359	GLOBAL OPPORTUNITIES FUND C BUY 0 Units @0.0000	6.40	0.06	0.00	0.06	88.0	0.05
08/10/2018	***-**-686I - STRUMPFLER, MARK ACCOUNT #: 00060352385	MFS UTILITIES FUND-B BUY 4 Units @20.7200	75.00	3.00	0.00	3.00	88.0	2.64
08/15/2018	***-**-497I - MARTIN, KATHLEEN ACCOUNT #: 05520998470	OPPENHEIMER ACTIVE ALLOCATION FUND BUY 0 Units @0.0000	50.00	0.50	0.00	0.50	88.0	0.44
08/13/2018	***-**-11IN - MOYLAN, TIMOTHY ACCOUNT #: 03322653882	OPPENHEIMER GLOBAL CLASS C BUY 0 Units @0.0000	3.20	0.03	0.00	0.03	88.0	0.03
08/08/2018	***-**-58IN - CADY, GAIL ACCOUNT #: 01970799227	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	3,541.12	35.41	0.00	35.41	88.0	31.16
08/13/2018	***-**-11IN - MOYLAN, TIMOTHY ACCOUNT #: 01970849123	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	12.80	0.13	0.00	0.13	88.0	0.11



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
08/08/2018	***-**-58IN - CADY, GAIL	OPPENHEIMER RISING DIVIDENDS FUND C	3,541.12	35.41	0.00	35.41	88.0	31.16
	ACCOUNT #: 02270806520	BUY 0 Units @0.0000						
08/13/2018	***-**-11IN - MOYLAN, TIMOTHY	OPPENHEIMER RISING DIVIDENDS FUND C	12.80	0.13	0.00	0.13	88.0	0.11
	ACCOUNT #: 02270899503	BUY 0 Units @0.0000						
08/15/2018	***-**-497I - MARTIN, KATHLEEN	PORT SERIES: CONS INV C	50.00	0.50	0.00	0.50	88.0	0.44
	ACCOUNT #: 05420240427	BUY 0 Units @0.0000						
08/08/2018	***-**-58IN - CADY, GAIL	QUEST BALANCED FUND C	3,541.12	35.41	0.00	35.41	88.0	31.16
	ACCOUNT #: 02592245914	BUY 0 Units @0.0000						
08/08/2018	***-**-58IN - CADY, GAIL	QUEST INTERNATIONAL VALUE FUND, INC	2,360.74	23.61	0.00	23.61	88.0	20.78
	ACCOUNT #: 02560282953	BUY 0 Units @0.0000						
08/13/2018	***-**-11IN - MOYLAN, TIMOTHY	QUEST INTERNATIONAL VALUE FUND, INC	6.40	0.06	0.00	0.06	88.0	0.05
	ACCOUNT #: 02560289795	BUY 0 Units @0.0000						
08/08/2018	***-**-58IN - CADY, GAIL	REAL ESTATE FUND C	2,360.74	23.61	0.00	23.61	88.0	20.78
	ACCOUNT #: 05920265584	BUY 0 Units @0.0000						
08/10/2018	***-**-29IN - THIBAUT, MARY	REAL ESTATE FUND C	100.00	1.00	0.00	1.00	88.0	0.88
	ACCOUNT #: 05920285846	BUY 0 Units @0.0000						
08/13/2018	***-**-11IN - MOYLAN, TIMOTHY	REAL ESTATE FUND C	6.40	0.06	0.00	0.06	88.0	0.05
	ACCOUNT #: 05920286891	BUY 0 Units @0.0000						
08/13/2018	***-**-22IN - BOSHART-LOWE,	RESOURCE CREDIT INCOME FUND C	4,000.00	58.00	18.00	40.00	88.0	35.20
	ACCOUNT #: LSR011937	BUY 354 Units @11.3100						
08/14/2018	***-**-94IN - MINER, MARY	RESOURCE CREDIT INCOME FUND C	50,000.00	518.00	18.00	500.00	88.0	440.00
	ACCOUNT #: LSR012743	BUY 4417 Units @11.3200						
08/15/2018	***-**-467I - PICKARD, BRIAN	RESOURCE CREDIT INCOME FUND C	10,000.01	118.00	18.00	100.00	88.0	88.00
	ACCOUNT #: LSR010944	BUY 883 Units @11.3300						
08/14/2018	***-**-94IN - MINER, MARY	RESOURCE REAL ESTATE-NFS	50,000.00	518.00	18.00	500.00	88.0	440.00
	ACCOUNT #: LSR012743	BUY 4794 Units @10.4300						
08/08/2018	***-**-58IN - CADY, GAIL	SMALL- & MID- CAP VALUE FUND C	2,360.74	23.61	0.00	23.61	88.0	20.78
	ACCOUNT #: 02531131966	BUY 0 Units @0.0000						
08/15/2018	***-**-5485 - GAETANO,	SMALLCAP WORLD FUND-A	33.00	1.40	0.00	1.40	88.0	1.23
	ACCOUNT #: 00082975488	BUY 1 Units @61.5200						
08/15/2018	***-**-5485 - GAETANO,	THE GROWTH FUND OF AMERICA-A	34.00	1.45	0.00	1.45	88.0	1.28
	ACCOUNT #: 00082975488	BUY 1 Units @57.3700						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------	--------	------------	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONS (continued)

PRODUCT CATEGORY: STOCKS (continued)

* MUTUAL FUNDS SUBTOTAL *			\$173,668.93	\$1,500.37	\$108.00	\$1,392.37		\$1,225.28
PRODUCT CATEGORY: STOCKS								
08/15/2018	***-**-05IN - HULL, JEFFERY	COVANTA HLDG CORP COM	6,176.10	87.49	22.14	65.35	88.0	57.51
	ACCOUNT #: LSR011187	SELL 357 Units @17.3000						
08/14/2018	***-**-61IN - GORCZYNSKI,	CREE INC UNSOLICITED ORDER	4,987.60	77.49	18.00	59.49	88.0	52.35
	ACCOUNT #: LSR010844	SELL 100 Units @49.8760						
08/14/2018	***-**-467I - PICKARD, BRIAN	CREE INC UNSOLICITED ORDER	4,988.00	77.49	18.00	59.49	88.0	52.35
	ACCOUNT #: LSR010944	SELL 100 Units @49.8800						
08/13/2018	***-**-698J - BAILEY, MR	GENESIS HEALTHCARE INC CL A COM ISI	2,445.00	49.99	45.00	4.99	88.0	4.39
	ACCOUNT #: LSR011097	BUY 1500 Units @1.6300						
08/14/2018	***-**-80IN - GRIFFITH, DAVID	GENESIS HEALTHCARE INC CL A COM ISI	4,830.00	9.99	38.99	-29.00	100.0	-29.00
	ACCOUNT #: LSF000286	BUY 3000 Units @1.6100						
08/14/2018	***-**-679I - MURSCH JR,	GENESIS HEALTHCARE INC CL A COM ISI	3,200.00	67.49	55.00	12.49	88.0	10.99
	ACCOUNT #: LSR010888	BUY 2000 Units @1.6000						
08/14/2018	***-**-53TR - SABANOSH, ALAN R	GENESIS HEALTHCARE INC CL A COM ISI	4,830.00	87.49	75.00	12.49	88.0	10.99
	ACCOUNT #: LSR010917	BUY 3000 Units @1.6100						
08/14/2018	***-**-01IN - HAGAN III,	GENESIS HEALTHCARE INC CL A COM ISI	2,400.00	49.99	45.00	4.99	88.0	4.39
	ACCOUNT #: LSR011014	BUY 1500 Units @1.6000						
08/14/2018	***-**-22IN - GRACIE, JULIA	GENESIS HEALTHCARE INC CL A COM ISI	4,025.00	77.49	65.00	12.49	88.0	10.99
	ACCOUNT #: LSR011019	BUY 2500 Units @1.6100						
08/15/2018	***-**-67IR - PICKARD, BRIAN	GENESIS HEALTHCARE INC CL A COM ISI	1,510.00	49.99	35.00	14.99	88.0	13.19
	ACCOUNT #: LSR010945	BUY 1000 Units @1.5100						
08/15/2018	***-**-94IN - MINER, MARY	GENESIS HEALTHCARE INC CL A COM ISI	3,060.00	57.49	55.00	2.49	88.0	2.19
	ACCOUNT #: LSR012743	BUY 2000 Units @1.5300						
08/14/2018	***-**-762I - STORSBERG,	MERCK & CO INC NEW COM	6,649.00	77.49	17.00	60.49	88.0	53.23
	ACCOUNT #: LSR011688	SELL 100 Units @66.4900						
08/15/2018	***-**-94IN - MINER, MARY	NATIONAL GRID SPON ADR EACH REP 5 O	5,284.00	67.49	17.00	50.49	88.0	44.43
	ACCOUNT #: LSR012743	BUY 100 Units @52.8400						
08/13/2018	***-**-698J - BAILEY, MR	NORDIC AMERICAN TANKERS LIMITE COM	4,640.00	77.49	55.00	22.49	88.0	19.79
	ACCOUNT #: LSR011097	BUY 2000 Units @2.3200						
08/15/2018	***-**-80IN - GRIFFITH, DAVID	STEIN MART INC COM	6,725.00	9.30	8.99	0.31	88.0	0.27
	ACCOUNT #: LSF000286	BUY 2500 Units @2.6900						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
08/15/2018	***-**-94IN - MINER, MARY	STEIN MART INC COM	5,460.00	77.49	18.00	59.49	88.0	52.35
	ACCOUNT #: LSR012743	BUY 2000 Units @2.7300						
08/16/2018	***-**-53TR - SABANOSH, ALAN R	WALMART STORES INC UNSOLICITED ORDE	5,742.58	97.49	16.16	81.33	88.0	71.57
	ACCOUNT #: LSR010917	SELL 58 Units @99.0100						
08/16/2018	***-**-425I - PERRITANO,	WALMART STORES INC UNSOLICITED ORDE	9,909.00	167.49	17.00	150.49	88.0	132.43
	ACCOUNT #: LSR010922	SELL 100 Units @99.0900						
08/16/2018	***-**-22IN - GRACIE, JULIA	WALMART STORES INC UNSOLICITED ORDE	9,908.00	167.49	17.00	150.49	88.0	132.43
	ACCOUNT #: LSR011019	SELL 100 Units @99.0800						
08/16/2018	***-**-05IN - HULL, JEFFERY	WALMART STORES INC UNSOLICITED ORDE	10,494.00	177.49	17.12	160.37	88.0	141.13
	ACCOUNT #: LSR011187	SELL 106 Units @99.0000						
08/16/2018	***-**-762I - STORSBERG,	WALMART STORES INC UNSOLICITED ORDE	9,914.00	117.49	17.00	100.49	88.0	88.43
	ACCOUNT #: LSR011688	SELL 100 Units @99.1400						
* STOCKS SUBTOTAL *			\$117,177.28	\$1,729.10	\$672.40	\$1,056.70		\$926.40

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

/ /	***-**-91IN - RICHARDSON,	AMCAP FUND-F1	0.00	0.64	0.00	0.64	88.0	0.56
	ACCOUNT #: LSR010900	BUY 1 Units @0.0000						
/ /	***-**-621O - DANIELS, JOHN	AMERICAN BALANCED FUND-A	0.00	0.77	0.00	0.77	88.0	0.68
	ACCOUNT #: LSR012373	BUY 1 Units @0.0000						
/ /	***-**-656I - SMOLA, STANLEY	AMERICAN FUNDS MORTGAGE CL F1	0.00	1.72	0.00	1.72	88.0	1.51
	ACCOUNT #: LSR010868	BUY 1 Units @0.0000						
/ /	***-**-91IN - RICHARDSON,	AMERICAN FUNDS MORTGAGE CL F1	0.00	1.33	0.00	1.33	88.0	1.17
	ACCOUNT #: LSR010900	BUY 1 Units @0.0000						
/ /	***-**-58IN - SCHRECK, RYAN	AMERICAN FUNDS MORTGAGE FD CL C	0.00	5.30	0.00	5.30	88.0	4.66
	ACCOUNT #: LSR010873	BUY 1 Units @0.0000						
/ /	***-**-60IN - SCHRADER, KEVIN	AMERICAN FUNDS MORTGAGE FD CL C	0.00	9.88	0.00	9.88	88.0	8.69
	ACCOUNT #: LSR010897	BUY 1 Units @0.0000						
/ /	***-**-753I - SABANOSH, ALAN	AMERICAN FUNDS MORTGAGE FD CL C	0.00	21.37	0.00	21.37	88.0	18.81
	ACCOUNT #: LSR010909	BUY 1 Units @0.0000						
/ /	***-**-53TR - SABANOSH, ALAN R	AMERICAN FUNDS MORTGAGE FD CL C	0.00	10.31	0.00	10.31	88.0	9.07
	ACCOUNT #: LSR010917	BUY 1 Units @0.0000						
/ /	***-**-467J - PICKARD, BRIAN	AMERICAN FUNDS MORTGAGE FD CL C	0.00	11.23	0.00	11.23	88.0	9.88
	ACCOUNT #: LSR010943	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-88IN - PICKARD, ELAINE	AMERICAN FUNDS MORTGAGE FD CL C	0.00	9.33	0.00	9.33	88.0	8.21
	ACCOUNT #: LSR010957	BUY 1 Units @0.0000						
/ /	***-**-80TR - SCOTELLARO TTEE,	AMERICAN FUNDS MORTGAGE FD CL C	0.00	24.88	0.00	24.88	88.0	21.89
	ACCOUNT #: LSR010974	BUY 1 Units @0.0000						
/ /	***-**-69IN - HAUCK, JUDITH	AMERICAN FUNDS MORTGAGE FD CL C	0.00	9.44	0.00	9.44	88.0	8.31
	ACCOUNT #: LSR010980	BUY 1 Units @0.0000						
/ /	***-**-973I - CHAMBERS, ROGER	AMERICAN FUNDS MORTGAGE FD CL C	0.00	9.39	0.00	9.39	88.0	8.26
	ACCOUNT #: LSR011018	BUY 1 Units @0.0000						
/ /	***-**-22IN - GRACIE, JULIA	AMERICAN FUNDS MORTGAGE FD CL C	0.00	8.19	0.00	8.19	88.0	7.21
	ACCOUNT #: LSR011019	BUY 1 Units @0.0000						
/ /	***-**-29IO - LORUSSO, VINCENT	AMERICAN FUNDS MORTGAGE FD CL C	0.00	5.38	0.00	5.38	88.0	4.73
	ACCOUNT #: LSR011681	BUY 1 Units @0.0000						
/ /	***-**-762I - STORSBERG,	AMERICAN FUNDS MORTGAGE FD CL C	0.00	25.20	0.00	25.20	88.0	22.18
	ACCOUNT #: LSR011688	BUY 1 Units @0.0000						
/ /	***-**-03IN - BOSHART, PEGGY	AMERICAN FUNDS MORTGAGE FD CL C	0.00	4.10	0.00	4.10	88.0	3.61
	ACCOUNT #: LSR011744	BUY 1 Units @0.0000						
/ /	***-**-753I - SABANOSH, ALAN	AMERICAN GLOBAL BALANCED CL C	0.00	49.67	0.00	49.67	88.0	43.71
	ACCOUNT #: LSR010909	BUY 1 Units @0.0000						
/ /	***-**-88IN - PICKARD, ELAINE	AMERICAN GLOBAL BALANCED CL C	0.00	19.24	0.00	19.24	88.0	16.93
	ACCOUNT #: LSR010957	BUY 1 Units @0.0000						
/ /	***-**-973I - CHAMBERS, ROGER	AMERICAN GLOBAL BALANCED CL C	0.00	14.53	0.00	14.53	88.0	12.79
	ACCOUNT #: LSR011018	BUY 1 Units @0.0000						
/ /	***-**-73IN - SCOTELLARO IV,	AMERICAN GLOBAL BALANCED CL C	0.00	6.79	0.00	6.79	88.0	5.98
	ACCOUNT #: LSR011086	BUY 1 Units @0.0000						
/ /	***-**-05IN - HULL, JEFFERY	AMERICAN GLOBAL BALANCED CL C	0.00	15.25	0.00	15.25	88.0	13.42
	ACCOUNT #: LSR011187	BUY 1 Units @0.0000						
/ /	***-**-90IN - PICKARD, KAREN	AMERICAN GLOBAL BALANCED CL C	0.00	9.80	0.00	9.80	88.0	8.62
	ACCOUNT #: LSR011687	BUY 1 Units @0.0000						
/ /	***-**-03IN - BOSHART, PEGGY	AMERICAN GLOBAL BALANCED CL C	0.00	9.50	0.00	9.50	88.0	8.36
	ACCOUNT #: LSR011744	BUY 1 Units @0.0000						
/ /	***-**-03IR - BOSHART, PEGGY	AMERICAN GLOBAL BALANCED CL C	0.00	12.84	0.00	12.84	88.0	11.30
	ACCOUNT #: LSR011749	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-355I - CRIMMINS, KAREN	AMERICAN GLOBAL BALANCED CLASS A	0.00	3.34	0.00	3.34	88.0	2.94
	ACCOUNT #: LSR011077	BUY 1 Units @0.0000						
/ /	***-**-621O - DANIELS, JOHN	AMERICAN GLOBAL BALANCED CLASS A	0.00	5.43	0.00	5.43	88.0	4.78
	ACCOUNT #: LSR012373	BUY 1 Units @0.0000						
/ /	***-**-08TR - SCOTELLARO III,	AMERICAN HIGH-INCOME TRUST-C	0.00	27.56	0.00	27.56	88.0	24.25
	ACCOUNT #: LSR010975	BUY 1 Units @0.0000						
/ /	***-**-698J - FEISTHAMEL, MR	AMERICAN MORTGAGE FUND CL A	0.00	2.19	0.00	2.19	88.0	1.93
	ACCOUNT #: LSR011137	BUY 1 Units @0.0000						
/ /	***-**-621O - DANIELS, JOHN	AMERICAN MORTGAGE FUND CL A	0.00	4.17	0.00	4.17	88.0	3.67
	ACCOUNT #: LSR012373	BUY 1 Units @0.0000						
/ /	***-**-25IR - PERRITANO,	CAPITAL INCOME BUILDER-A	0.00	0.16	0.00	0.16	88.0	0.14
	ACCOUNT #: LSR010923	BUY 1 Units @0.0000						
/ /	***-**-25IN - PERRITANO,	CAPITAL INCOME BUILDER-A	0.00	0.31	0.00	0.31	88.0	0.27
	ACCOUNT #: LSR010924	BUY 1 Units @0.0000						
/ /	***-**-656I - SMOLA, STANLEY	CAPITAL INCOME BUILDER-F1	0.00	2.58	0.00	2.58	88.0	2.27
	ACCOUNT #: LSR010868	BUY 1 Units @0.0000						
/ /	***-**-80IN - GRIFFITH, DAVID	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.78	0.00	0.78	88.0	0.69
	ACCOUNT #: LSF000286	BUY 1 Units @0.0000						
/ /	***-**-753I - SABANOSH, ALAN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.36	0.00	1.36	88.0	1.20
	ACCOUNT #: LSR010909	BUY 1 Units @0.0000						
/ /	***-**-25IR - PERRITANO,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.27	0.00	1.27	88.0	1.12
	ACCOUNT #: LSR010923	BUY 1 Units @0.0000						
/ /	***-**-25IN - PERRITANO,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.37	0.00	0.37	88.0	0.33
	ACCOUNT #: LSR010924	BUY 1 Units @0.0000						
/ /	***-**-522I - RIEBEN, HENRY	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.67	0.00	0.67	88.0	0.59
	ACCOUNT #: LSR010964	BUY 1 Units @0.0000						
/ /	***-**-24TR - SCOTELLARO, L	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	4.08	0.00	4.08	88.0	3.59
	ACCOUNT #: LSR010973	BUY 1 Units @0.0000						
/ /	***-**-474J - HAGAN JR,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.45	0.00	1.45	88.0	1.28
	ACCOUNT #: LSR010993	BUY 1 Units @0.0000						
/ /	***-**-22IN - GRACIE, JULIA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	5.71	0.00	5.71	88.0	5.02
	ACCOUNT #: LSR011019	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-698J - FEISTHAMEL, MR	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	2.56	0.00	2.56	88.0	2.25
	ACCOUNT #: LSR011137	BUY 1 Units @0.0000						
/ /	***-**-469I - HAUCK, JUDITH	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.96	0.00	0.96	88.0	0.84
	ACCOUNT #: LSR011739	BUY 1 Units @0.0000						
/ /	***-**-80TR - SCOTELLARO TTEE,	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	11.76	0.00	11.76	88.0	10.35
	ACCOUNT #: LSR010974	BUY 1 Units @0.0000						
/ /	***-**-973I - CHAMBERS, ROGER	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	10.91	0.00	10.91	88.0	9.60
	ACCOUNT #: LSR011018	BUY 1 Units @0.0000						
/ /	***-**-656I - SMOLA, STANLEY	CAPITAL WORLD GROWTH AND INCOME-F1	0.00	3.04	0.00	3.04	88.0	2.68
	ACCOUNT #: LSR010868	BUY 1 Units @0.0000						
/ /	***-**-72IN - THIBAUT, JOHN	CAPITAL WORLD GROWTH AND INCOME-F1	0.00	0.70	0.00	0.70	88.0	0.62
	ACCOUNT #: LSR012086	BUY 1 Units @0.0000						
/ /	***-**-656I - SMOLA, STANLEY	EUROPACIFIC GROWTH FUND CLASS F	0.00	1.53	0.00	1.53	88.0	1.35
	ACCOUNT #: LSR010868	BUY 1 Units @0.0000						
/ /	***-**-80IN - GRIFFITH, DAVID	EUROPACIFIC GROWTH FUND-A	0.00	4.96	0.00	4.96	88.0	4.36
	ACCOUNT #: LSF000286	BUY 1 Units @0.0000						
/ /	***-**-25IR - PERRITANO,	EUROPACIFIC GROWTH FUND-A	0.00	1.38	0.00	1.38	88.0	1.21
	ACCOUNT #: LSR010923	BUY 1 Units @0.0000						
/ /	***-**-25IN - PERRITANO,	EUROPACIFIC GROWTH FUND-A	0.00	0.32	0.00	0.32	88.0	0.28
	ACCOUNT #: LSR010924	BUY 1 Units @0.0000						
/ /	***-**-661I - GORCZYNSKI,	EUROPACIFIC GROWTH FUND-A	0.00	3.15	0.00	3.15	88.0	2.77
	ACCOUNT #: LSR011221	BUY 1 Units @0.0000						
/ /	***-**-621O - DANIELS, JOHN	EUROPACIFIC GROWTH FUND-A	0.00	1.06	0.00	1.06	88.0	0.93
	ACCOUNT #: LSR012373	BUY 1 Units @0.0000						
/ /	***-**-656I - SMOLA, STANLEY	FUNDAMENTAL INVESTORS FUND CLASS F	0.00	1.20	0.00	1.20	88.0	1.06
	ACCOUNT #: LSR010868	BUY 1 Units @0.0000						
/ /	***-**-54IN - THIBAUT, MS	FUNDAMENTAL INVESTORS FUND CLASS F	0.00	1.83	0.00	1.83	88.0	1.61
	ACCOUNT #: LSR011080	BUY 1 Units @0.0000						
/ /	***-**-22IN - GRACIE, JULIA	FUNDAMENTAL INVESTORS-A	0.00	1.64	0.00	1.64	88.0	1.44
	ACCOUNT #: LSR011019	BUY 1 Units @0.0000						
/ /	***-**-369J - DAVIS, BRUCE	FUNDAMENTAL INVESTORS-A	0.00	1.08	0.00	1.08	88.0	0.95
	ACCOUNT #: LSR011027	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-369I - DAVIS, BRUCE	FUNDAMENTAL INVESTORS-A	0.00	1.88	0.00	1.88	88.0	1.65
	ACCOUNT #: LSR011029	BUY 1 Units @0.0000						
/ /	***-**-698J - FEISTHAMEL, MR	FUNDAMENTAL INVESTORS-A	0.00	1.51	0.00	1.51	88.0	1.33
	ACCOUNT #: LSR011137	BUY 1 Units @0.0000						
/ /	***-**-621O - DANIELS, JOHN	FUNDAMENTAL INVESTORS-A	0.00	2.04	0.00	2.04	88.0	1.80
	ACCOUNT #: LSR012373	BUY 1 Units @0.0000						
/ /	***-**-467J - PICKARD, BRIAN	FUNDAMENTAL INVESTORS-C	0.00	5.10	0.00	5.10	88.0	4.49
	ACCOUNT #: LSR010943	BUY 1 Units @0.0000						
/ /	***-**-621I - DANIELS SR, JOHN	FUNDAMENTAL INVESTORS-C	0.00	18.53	0.00	18.53	88.0	16.31
	ACCOUNT #: LSR010950	BUY 1 Units @0.0000						
/ /	***-**-73IR - CHAMBERS, ROGER	FUNDAMENTAL INVESTORS-C	0.00	2.37	0.00	2.37	88.0	2.09
	ACCOUNT #: LSR011020	BUY 1 Units @0.0000						
/ /	***-**-24TR - SCOTELLARO, L	HARTFORD CHECKS AND BALANCED FUND C	0.00	1.19	0.00	1.19	88.0	1.05
	ACCOUNT #: LSR010973	BUY 1 Units @0.0000						
/ /	***-**-369I - DAVIS, BRUCE	HARTFORD CHECKS AND BALANCED FUND C	0.00	2.22	0.00	2.22	88.0	1.95
	ACCOUNT #: LSR011029	BUY 1 Units @0.0000						
/ /	***-**-58IN - SCHRECK, RYAN	INCOME FUND OF AMERICA CLASS C	0.00	10.52	0.00	10.52	88.0	9.26
	ACCOUNT #: LSR010873	BUY 1 Units @0.0000						
/ /	***-**-467J - PICKARD, BRIAN	INCOME FUND OF AMERICA CLASS C	0.00	11.52	0.00	11.52	88.0	10.14
	ACCOUNT #: LSR010943	BUY 1 Units @0.0000						
/ /	***-**-80TR - SCOTELLARO TTEE,	INCOME FUND OF AMERICA CLASS C	0.00	13.76	0.00	13.76	88.0	12.11
	ACCOUNT #: LSR010974	BUY 1 Units @0.0000						
/ /	***-**-08TR - SCOTELLARO III,	INCOME FUND OF AMERICA CLASS C	0.00	36.74	0.00	36.74	88.0	32.33
	ACCOUNT #: LSR010975	BUY 1 Units @0.0000						
/ /	***-**-973I - CHAMBERS, ROGER	INCOME FUND OF AMERICA CLASS C	0.00	13.46	0.00	13.46	88.0	11.84
	ACCOUNT #: LSR011018	BUY 1 Units @0.0000						
/ /	***-**-901O - DRUMM, LOIS	INCOME FUND OF AMERICA CLASS C	0.00	7.63	0.00	7.63	88.0	6.71
	ACCOUNT #: LSR011609	BUY 1 Units @0.0000						
/ /	***-**-74IN - DRUMM, PATRICK	INCOME FUND OF AMERICA CLASS C	0.00	0.67	0.00	0.67	88.0	0.59
	ACCOUNT #: LSR012775	BUY 1 Units @0.0000						
/ /	***-**-13IR - E, KAREN	MASSACHUSETTS INVESTORS TRUST-A	0.00	0.75	0.00	0.75	88.0	0.66
	ACCOUNT #: LSR011953	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-355I - CRIMMINS, KAREN	MFS AGGRESS GROWTH ALLOCATION FD-B	0.00	0.67	0.00	0.67	88.0	0.59
	ACCOUNT #: LSR011077	BUY 1 Units @0.0000						
/ /	***-**-355I - CRIMMINS, KAREN	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.74	0.00	0.74	88.0	0.65
	ACCOUNT #: LSR011077	BUY 1 Units @0.0000						
/ /	***-**-13IR - E, KAREN	MFS EMERGING MARKETS DEBT FUND-A	0.00	0.06	0.00	0.06	88.0	0.05
	ACCOUNT #: LSR011953	BUY 1 Units @0.0000						
/ /	***-**-13IR - E, KAREN	MFS EMERGING MKT DEBT CL B	0.00	0.27	0.00	0.27	88.0	0.24
	ACCOUNT #: LSR011953	BUY 1 Units @0.0000						
/ /	***-**-355I - CRIMMINS, KAREN	MFS GLOBAL ALTERNATIVE STRAT B	0.00	1.64	0.00	1.64	88.0	1.44
	ACCOUNT #: LSR011077	BUY 1 Units @0.0000						
/ /	***-**-450I - SPAETH, JACK	MFS GLOBAL REAL ESTATE FUND CL B	0.00	1.70	0.00	1.70	88.0	1.50
	ACCOUNT #: LSR011015	BUY 1 Units @0.0000						
/ /	***-**-387I - KINNEY, DOUGLAS	MFS GLOBAL REAL ESTATE FUND CL B	0.00	1.16	0.00	1.16	88.0	1.02
	ACCOUNT #: LSR011322	BUY 1 Units @0.0000						
/ /	***-**-869I - CASEY, JOHN	MFS GLOBAL REAL ESTATE FUND CL B	0.00	1.16	0.00	1.16	88.0	1.02
	ACCOUNT #: LSR011323	BUY 1 Units @0.0000						
/ /	***-**-13IR - E, KAREN	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.54	0.00	0.54	88.0	0.48
	ACCOUNT #: LSR011953	BUY 1 Units @0.0000						
/ /	***-**-355I - CRIMMINS, KAREN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.59	0.00	2.59	88.0	2.28
	ACCOUNT #: LSR011077	BUY 1 Units @0.0000						
/ /	***-**-73IN - SCOTELLARO IV,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.51	0.00	2.51	88.0	2.21
	ACCOUNT #: LSR011086	BUY 1 Units @0.0000						
/ /	***-**-94IO - PERRITANO,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.44	0.00	2.44	88.0	2.15
	ACCOUNT #: LSR011099	BUY 1 Units @0.0000						
/ /	***-**-187I - WASZKIEWICZ, FBO	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.14	0.00	2.14	88.0	1.88
	ACCOUNT #: LSR011144	BUY 1 Units @0.0000						
/ /	***-**-40UT - BROWN CUST	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.23	0.00	0.23	88.0	0.20
	ACCOUNT #: LSR011178	BUY 1 Units @0.0000						
/ /	***-**-387I - KINNEY, DOUGLAS	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.26	0.00	1.26	88.0	1.11
	ACCOUNT #: LSR011322	BUY 1 Units @0.0000						
/ /	***-**-1300 - MUMIN,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.70	0.00	1.70	88.0	1.50
	ACCOUNT #: LSR011333	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-3017 - MUMIN, MESSIAH	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.70	0.00	1.70	88.0	1.50
	ACCOUNT #: LSR011334	BUY 1 Units @0.0000						
/ /	***-**-0642 - MUMIN, MARY JO	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.70	0.00	1.70	88.0	1.50
	ACCOUNT #: LSR011335	BUY 1 Units @0.0000						
/ /	***-**-41TR - DAMSKY, BARRY	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.47	0.00	2.47	88.0	2.17
	ACCOUNT #: LSR011340	BUY 1 Units @0.0000						
/ /	***-**-499X - LARA M TUR, SPS	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.49	0.00	1.49	88.0	1.31
	ACCOUNT #: LSR011400	BUY 1 Units @0.0000						
/ /	***-**-28IO - CROWE, EMILY	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.55	0.00	2.55	88.0	2.24
	ACCOUNT #: LSR011427	BUY 1 Units @0.0000						
/ /	***-**-13IR - MURRAY, JOHN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.26	0.00	1.26	88.0	1.11
	ACCOUNT #: LSR011459	BUY 1 Units @0.0000						
/ /	***-**-9010 - DRUMM, LOIS	MFS GLOBAL TOTAL RETURN FUND-B	0.00	3.71	0.00	3.71	88.0	3.26
	ACCOUNT #: LSR011609	BUY 1 Units @0.0000						
/ /	***-**-29IO - LORUSSO, VINCENT	MFS GLOBAL TOTAL RETURN FUND-B	0.00	4.06	0.00	4.06	88.0	3.57
	ACCOUNT #: LSR011681	BUY 1 Units @0.0000						
/ /	***-**-13IR - E, KAREN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.85	0.00	1.85	88.0	1.63
	ACCOUNT #: LSR011953	BUY 1 Units @0.0000						
/ /	***-**-74IN - DRUMM, PATRICK	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.34	0.00	0.34	88.0	0.30
	ACCOUNT #: LSR012775	BUY 1 Units @0.0000						
/ /	***-**-13IR - E, KAREN	MFS INFLATION ADJUSTED BOND CL B	0.00	0.10	0.00	0.10	88.0	0.09
	ACCOUNT #: LSR011953	BUY 1 Units @0.0000						
/ /	***-**-13IR - E, KAREN	MFS INFLATION ADJUSTED BOND FUND-A	0.00	0.11	0.00	0.11	88.0	0.10
	ACCOUNT #: LSR011953	BUY 1 Units @0.0000						
/ /	***-**-355I - CRIMMINS, KAREN	MFS INTERNATIONAL DIVERSIFICATION F	0.00	0.86	0.00	0.86	88.0	0.76
	ACCOUNT #: LSR011077	BUY 1 Units @0.0000						
/ /	***-**-29IO - LORUSSO, VINCENT	MFS TECHNOLOGY FUND-B	0.00	1.68	0.00	1.68	88.0	1.48
	ACCOUNT #: LSR011681	BUY 1 Units @0.0000						
/ /	***-**-13IR - E, KAREN	MFS TECHNOLOGY FUND-B	0.00	2.16	0.00	2.16	88.0	1.90
	ACCOUNT #: LSR011953	BUY 1 Units @0.0000						
/ /	***-**-13IR - E, KAREN	MFS TOTAL RETURN FUND-A	0.00	0.51	0.00	0.51	88.0	0.45
	ACCOUNT #: LSR011953	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-13IR - E, KAREN	MFS TOTAL RETURN FUND-B	0.00	0.30	0.00	0.30	88.0	0.26
	ACCOUNT #: LSR011953	BUY 1 Units @0.0000						
/ /	***-**-621I - DANIELS SR, JOHN	MFS UTILITIES FUND-A	0.00	3.86	0.00	3.86	88.0	3.40
	ACCOUNT #: LSR010950	BUY 1 Units @0.0000						
/ /	***-**-369I - DAVIS, BRUCE	MFS UTILITIES FUND-A	0.00	1.03	0.00	1.03	88.0	0.91
	ACCOUNT #: LSR011029	BUY 1 Units @0.0000						
/ /	***-**-698J - FEISTHAMEL, MR	MFS UTILITIES FUND-A	0.00	1.92	0.00	1.92	88.0	1.69
	ACCOUNT #: LSR011137	BUY 1 Units @0.0000						
/ /	***-**-13IR - E, KAREN	MFS UTILITIES FUND-A	0.00	0.45	0.00	0.45	88.0	0.40
	ACCOUNT #: LSR011953	BUY 1 Units @0.0000						
/ /	***-**-614I - MARIOTTI, CINDY	MFS UTILITIES FUND-B	0.00	2.82	0.00	2.82	88.0	2.48
	ACCOUNT #: LSR011535	BUY 1 Units @0.0000						
/ /	***-**-39IR - VELARDI, CHRIS	MFS UTILITIES FUND-B	0.00	0.35	0.00	0.35	88.0	0.31
	ACCOUNT #: LSR011591	BUY 1 Units @0.0000						
/ /	***-**-13IR - E, KAREN	MFS UTILITIES FUND-B	0.00	1.22	0.00	1.22	88.0	1.07
	ACCOUNT #: LSR011953	BUY 1 Units @0.0000						
/ /	***-**-661I - GORCZYNSKI,	NEW WORLD FUND-A	0.00	3.63	0.00	3.63	88.0	3.19
	ACCOUNT #: LSR011221	BUY 1 Units @0.0000						
/ /	***-**-355I - CRIMMINS, KAREN	PUTNAM 1	0.00	1.81	0.00	1.81	88.0	1.59
	ACCOUNT #: LSR011077	BUY 1 Units @0.0000						
/ /	***-**-187I - WASZKIEWICZ, FBO	PUTNAM 1	0.00	1.66	0.00	1.66	88.0	1.46
	ACCOUNT #: LSR011144	BUY 1 Units @0.0000						
/ /	***-**-231J - MISHLANIE JR,	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	2.41	0.00	2.41	88.0	2.12
	ACCOUNT #: LSR010927	BUY 1 Units @0.0000						
/ /	***-**-91IR - DAMSKY, MELISSA	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	0.99	0.00	0.99	88.0	0.87
	ACCOUNT #: LSR010928	BUY 1 Units @0.0000						
/ /	***-**-869I - CASEY, JOHN	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	1.18	0.00	1.18	88.0	1.04
	ACCOUNT #: LSR011323	BUY 1 Units @0.0000						
/ /	***-**-973I - CHAMBERS, ROGER	PUTNAM CAPITAL SPECTRUM FUND CL C	0.00	11.95	0.00	11.95	88.0	10.52
	ACCOUNT #: LSR011018	BUY 1 Units @0.0000						
/ /	***-**-621O - DANIELS, JOHN	PUTNAM DIVERSIFIED INCOME-B	0.00	2.64	0.00	2.64	88.0	2.32
	ACCOUNT #: LSR012373	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-355I - CRIMMINS, KAREN	PUTNAM EQUITY INCOME FUND-B	0.00	1.15	0.00	1.15	88.0	1.01
	ACCOUNT #: LSR011077	BUY 1 Units @0.0000						
/ /	***-**-187I - WASZKIEWICZ, FBO	PUTNAM EQUITY INCOME FUND-B	0.00	2.24	0.00	2.24	88.0	1.97
	ACCOUNT #: LSR011144	BUY 1 Units @0.0000						
/ /	***-**-621O - DANIELS, JOHN	PUTNAM EQUITY INCOME FUND-B	0.00	4.81	0.00	4.81	88.0	4.23
	ACCOUNT #: LSR012373	BUY 1 Units @0.0000						
/ /	***-**-753I - SABANOSH, ALAN	PUTNAM EUROPE EQUITY CLASS C	0.00	16.77	0.00	16.77	88.0	14.76
	ACCOUNT #: LSR010909	BUY 1 Units @0.0000						
/ /	***-**-53TR - SABANOSH, ALAN R	PUTNAM EUROPE EQUITY CLASS C	0.00	11.65	0.00	11.65	88.0	10.25
	ACCOUNT #: LSR010917	BUY 1 Units @0.0000						
/ /	***-**-467J - PICKARD, BRIAN	PUTNAM EUROPE EQUITY CLASS C	0.00	8.99	0.00	8.99	88.0	7.91
	ACCOUNT #: LSR010943	BUY 1 Units @0.0000						
/ /	***-**-231J - MISHLANIE JR,	PUTNAM EUROPE EQUITY FUND-B	0.00	1.35	0.00	1.35	88.0	1.19
	ACCOUNT #: LSR010927	BUY 1 Units @0.0000						
/ /	***-**-291O - LORUSSO, VINCENT	PUTNAM EUROPE EQUITY FUND-B	0.00	1.32	0.00	1.32	88.0	1.16
	ACCOUNT #: LSR011681	BUY 1 Units @0.0000						
/ /	***-**-621O - DANIELS, JOHN	PUTNAM EUROPE EQUITY FUND-B	0.00	1.37	0.00	1.37	88.0	1.21
	ACCOUNT #: LSR012373	BUY 1 Units @0.0000						
/ /	***-**-355I - CRIMMINS, KAREN	PUTNAM FLOATING RATE INCOME CL B	0.00	1.10	0.00	1.10	88.0	0.97
	ACCOUNT #: LSR011077	BUY 1 Units @0.0000						
/ /	***-**-34IN - SHEFFER, EUGENE	PUTNAM GLOBAL NAT RESOURCES-B	0.00	1.67	0.00	1.67	88.0	1.47
	ACCOUNT #: LSR011000	BUY 1 Units @0.0000						
/ /	***-**-73IN - SCOTELLARO IV,	PUTNAM GLOBAL NAT RESOURCES-B	0.00	0.69	0.00	0.69	88.0	0.61
	ACCOUNT #: LSR011086	BUY 1 Units @0.0000						
/ /	***-**-187I - WASZKIEWICZ, FBO	PUTNAM GLOBAL NAT RESOURCES-B	0.00	1.16	0.00	1.16	88.0	1.02
	ACCOUNT #: LSR011144	BUY 1 Units @0.0000						
/ /	***-**-41TR - DAMSKY, BARRY	PUTNAM GLOBAL NAT RESOURCES-B	0.00	2.42	0.00	2.42	88.0	2.13
	ACCOUNT #: LSR011340	BUY 1 Units @0.0000						
/ /	***-**-621O - DANIELS, JOHN	PUTNAM GLOBAL NAT RESOURCES-B	0.00	1.57	0.00	1.57	88.0	1.38
	ACCOUNT #: LSR012373	BUY 1 Units @0.0000						
/ /	***-**-753I - SABANOSH, ALAN	PUTNAM GLOBAL UTILITIES CL C	0.00	5.56	0.00	5.56	88.0	4.89
	ACCOUNT #: LSR010909	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-369I - DAVIS, BRUCE	PUTNAM INTERNATIONAL EQUITY-A	0.00	1.30	0.00	1.30	88.0	1.14
	ACCOUNT #: LSR011029	BUY 1 Units @0.0000						
/ /	***-**-61IN - GORCZYNSKI,	PUTNAM MID-CAP VALUE FUND-C	0.00	53.48	0.00	53.48	88.0	47.06
	ACCOUNT #: LSR010844	BUY 1 Units @0.0000						
/ /	***-**-231J - MISHLANIE JR,	PUTNAM UTILITIES GR & INC-B	0.00	2.88	0.00	2.88	88.0	2.53
	ACCOUNT #: LSR010927	BUY 1 Units @0.0000						
/ /	***-**-369I - DAVIS, BRUCE	PUTNAM UTILITIES GR & INC-B	0.00	1.60	0.00	1.60	88.0	1.41
	ACCOUNT #: LSR011029	BUY 1 Units @0.0000						
/ /	***-**-355I - CRIMMINS, KAREN	PUTNAM UTILITIES GR & INC-B	0.00	0.89	0.00	0.89	88.0	0.78
	ACCOUNT #: LSR011077	BUY 1 Units @0.0000						
/ /	***-**-13IR - MURRAY, JOHN	PUTNAM UTILITIES GR & INC-B	0.00	0.94	0.00	0.94	88.0	0.83
	ACCOUNT #: LSR011459	BUY 1 Units @0.0000						
/ /	***-**-29IO - LORUSSO, VINCENT	PUTNAM UTILITIES GR & INC-B	0.00	1.93	0.00	1.93	88.0	1.70
	ACCOUNT #: LSR011681	BUY 1 Units @0.0000						
/ /	***-**-92IR - THIBAUT, MARY	PUTNAM UTILITIES GR & INC-B	0.00	0.51	0.00	0.51	88.0	0.45
	ACCOUNT #: LSR011833	BUY 1 Units @0.0000						
/ /	***-**-76IR - BOSHART-LOWE,	PUTNAM UTILITIES GR & INC-B	0.00	1.24	0.00	1.24	88.0	1.09
	ACCOUNT #: LSR011841	BUY 1 Units @0.0000						
/ /	***-**-80IN - GRIFFITH, DAVID	SMALLCAP WORLD FUND-A	0.00	6.05	0.00	6.05	88.0	5.32
	ACCOUNT #: LSF000286	BUY 1 Units @0.0000						
/ /	***-**-580I - GRIFFITH, DAVID	SMALLCAP WORLD FUND-A	0.00	1.61	0.00	1.61	88.0	1.42
	ACCOUNT #: LSF000295	BUY 1 Units @0.0000						
/ /	***-**-522I - RIEBEN, HENRY	SMALLCAP WORLD FUND-A	0.00	0.74	0.00	0.74	88.0	0.65
	ACCOUNT #: LSR010964	BUY 1 Units @0.0000						
/ /	***-**-24TR - SCOTELLARO, L	SMALLCAP WORLD FUND-A	0.00	1.66	0.00	1.66	88.0	1.46
	ACCOUNT #: LSR010973	BUY 1 Units @0.0000						
/ /	***-**-803J - TEESDALE,	SMALLCAP WORLD FUND-A	0.00	0.37	0.00	0.37	88.0	0.33
	ACCOUNT #: LSR011017	BUY 1 Units @0.0000						
/ /	***-**-22IN - GRACIE, JULIA	SMALLCAP WORLD FUND-A	0.00	2.11	0.00	2.11	88.0	1.86
	ACCOUNT #: LSR011019	BUY 1 Units @0.0000						
/ /	***-**-661I - GORCZYNSKI,	SMALLCAP WORLD FUND-A	0.00	4.35	0.00	4.35	88.0	3.83
	ACCOUNT #: LSR011221	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-469I - HAUCK, JUDITH	SMALLCAP WORLD FUND-A	0.00	0.75	0.00	0.75	88.0	0.66
	ACCOUNT #: LSR011739	BUY 1 Units @0.0000						
/ /	***-**-621O - DANIELS, JOHN	SMALLCAP WORLD FUND-A	0.00	1.27	0.00	1.27	88.0	1.12
	ACCOUNT #: LSR012373	BUY 1 Units @0.0000						
/ /	***-**-762I - STORSBERG,	SMALLCAP WORLD FUND-C	0.00	15.67	0.00	15.67	88.0	13.79
	ACCOUNT #: LSR011688	BUY 1 Units @0.0000						
/ /	***-**-656I - SMOLA, STANLEY	SMALLCAP WORLD FUND-F1	0.00	2.11	0.00	2.11	88.0	1.86
	ACCOUNT #: LSR010868	BUY 1 Units @0.0000						
/ /	***-**-25IN - PERRITANO,	TA ASSET ALLOC MODERATE PORT CL A	0.00	1.89	0.00	1.89	88.0	1.66
	ACCOUNT #: LSR010924	BUY 1 Units @0.0000						
/ /	***-**-80IN - GRIFFITH, DAVID	THE GROWTH FUND OF AMERICA-A	0.00	1.36	0.00	1.36	88.0	1.20
	ACCOUNT #: LSF000286	BUY 1 Units @0.0000						
/ /	***-**-29IO - LORUSSO, VINCENT	THE GROWTH FUND OF AMERICA-C	0.00	7.89	0.00	7.89	88.0	6.94
	ACCOUNT #: LSR011681	BUY 1 Units @0.0000						
/ /	***-**-24TR - SCOTELLARO, L	THE INCOME FUND OF AMERICA-A	0.00	4.73	0.00	4.73	88.0	4.16
	ACCOUNT #: LSR010973	BUY 1 Units @0.0000						
/ /	***-**-474J - HAGAN JR,	THE INCOME FUND OF AMERICA-A	0.00	3.26	0.00	3.26	88.0	2.87
	ACCOUNT #: LSR010993	BUY 1 Units @0.0000						
/ /	***-**-698J - FEISTHAMEL, MR	THE INCOME FUND OF AMERICA-A	0.00	1.63	0.00	1.63	88.0	1.43
	ACCOUNT #: LSR011137	BUY 1 Units @0.0000						
/ /	***-**-661I - GORCZYNSKI,	THE INCOME FUND OF AMERICA-A	0.00	2.27	0.00	2.27	88.0	2.00
	ACCOUNT #: LSR011221	BUY 1 Units @0.0000						
/ /	***-**-469I - HAUCK, JUDITH	THE INCOME FUND OF AMERICA-A	0.00	1.81	0.00	1.81	88.0	1.59
	ACCOUNT #: LSR011739	BUY 1 Units @0.0000						
/ /	***-**-621O - DANIELS, JOHN	THE INCOME FUND OF AMERICA-A	0.00	3.76	0.00	3.76	88.0	3.31
	ACCOUNT #: LSR012373	BUY 1 Units @0.0000						
/ /	***-**-656I - SMOLA, STANLEY	THE INCOME FUND OF AMERICA-F1	0.00	1.46	0.00	1.46	88.0	1.28
	ACCOUNT #: LSR010868	BUY 1 Units @0.0000						
/ /	***-**-661I - GORCZYNSKI,	THE NEW ECONOMY FUND-A	0.00	3.94	0.00	3.94	88.0	3.47
	ACCOUNT #: LSR011221	BUY 1 Units @0.0000						
/ /	***-**-94UT - MISHLANIE,	TRANSAMERICA BALANCED - A	0.00	4.62	0.00	4.62	88.0	4.07
	ACCOUNT #: LSR010931	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-474J - HAGAN JR, ACCOUNT #: LSR010993	WASHINGTON MUTUAL INVESTORS FUND-C BUY 1 Units @0.0000	0.00	25.06	0.00	25.06	88.0	22.05
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$850.02	\$0.00	\$850.02		\$748.02
		*** BROKER TRANSACTIONS TOTAL ***	\$290,846.21	\$4,079.49	\$780.40	\$3,299.09		\$2,899.70

VERRIDE TRANSACTIONS

BROKER: KURT GRUENEWALD

/ /	***-**-702I - KOCHER, MICHAEL ACCOUNT #: LSR010954	37252M108 BUY 1 Units @0.0000	0.00	1.04	0.00	1.04	88.0	0.92
/ /	***-**-680I - HARRELL, DARYL ACCOUNT #: LSR011321	37252M108 BUY 1 Units @0.0000	0.00	0.87	0.00	0.87	88.0	0.77
/ /	***-**-22IO - CLINTON, STEPHEN ACCOUNT #: LSR011684	37252M108 BUY 1 Units @0.0000	0.00	1.77	0.00	1.77	88.0	1.56
/ /	***-**-702I - KOCHER, MICHAEL ACCOUNT #: LSR010954	AF MODERATE GROWTH AND INCOME-A BUY 1 Units @0.0000	0.00	4.23	0.00	4.23	88.0	3.72
/ /	***-**-366I - GRUENEWALD, ACCOUNT #: LSR010989	AF MODERATE GROWTH AND INCOME-A BUY 1 Units @0.0000	0.00	1.51	0.00	1.51	88.0	1.33
/ /	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	AF MODERATE GROWTH AND INCOME-A BUY 1 Units @0.0000	0.00	0.82	0.00	0.82	88.0	0.72
/ /	***-**-808J - GORMAN, RANDY ACCOUNT #: LSR011087	AF MODERATE GROWTH AND INCOME-A BUY 1 Units @0.0000	0.00	0.25	0.00	0.25	88.0	0.22
/ /	***-**-326I - GRANDE, FBO MR ACCOUNT #: LSR011091	AF MODERATE GROWTH AND INCOME-A BUY 1 Units @0.0000	0.00	2.11	0.00	2.11	88.0	1.86
/ /	***-**-71IO - FAMOLARO, JAMES ACCOUNT #: LSR011279	AIM GLOBAL VALUE FUND - CLASS A BUY 1 Units @0.0000	0.00	0.34	0.00	0.34	88.0	0.30
/ /	***-**-306X - COBB, CHERRYL ACCOUNT #: LSR011037	AIM GOLD & PRECIOUS METALS FD - A BUY 1 Units @0.0000	0.00	0.72	0.00	0.72	88.0	0.63
/ /	***-**-580X - COBB, JAMES ACCOUNT #: LSR011040	AIM GOLD & PRECIOUS METALS FD - A BUY 1 Units @0.0000	0.00	0.65	0.00	0.65	88.0	0.57
/ /	***-**-837O - BASEMENT ACCOUNT #: LSR011046	AIM GOLD & PRECIOUS METALS FD - A BUY 1 Units @0.0000	0.00	1.93	0.00	1.93	88.0	1.70



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: KURT GRUENEWALD (continued)</u>								
08/15/2018	***-**-5375 - CARTER, LISA	AMERICAN BALANCED FUND-529C	500.00	5.00	0.00	5.00	88.0	4.40
	ACCOUNT #: 00083996363	BUY 18 Units @27.4600						
/ /	***-**-680I - HARRELL, DARYL	AMERICAN BALANCED FUND-A	0.00	0.91	0.00	0.91	88.0	0.80
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
/ /	***-**-30TR - AND SANDRA	AMERICAN BALANCED FUND-A	0.00	2.97	0.00	2.97	88.0	2.61
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
/ /	***-**-761I - EVANS, DOLORES	AMERICAN BALANCED FUND-C	0.00	15.64	0.00	15.64	88.0	13.76
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
/ /	***-**-094I - KATRENA L	AMERICAN BALANCED FUND-C	0.00	3.95	0.00	3.95	88.0	3.48
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
/ /	***-**-52IO - EUSEPI, SUSAN	AMERICAN BALANCED FUND-C	0.00	5.32	0.00	5.32	88.0	4.68
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						
/ /	***-**-80IO - EVANS, RICHARD	AMERICAN BALANCED FUND-C	0.00	15.87	0.00	15.87	88.0	13.97
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
/ /	***-**-881I - EBERLEY, SUSAN	AMERICAN FUNDS BALANCED PORT CL C	0.00	8.91	0.00	8.91	88.0	7.84
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
/ /	***-**-382K - SATTERLY, NEIL	AMERICAN FUNDS BALANCED PORT CL C	0.00	4.53	0.00	4.53	88.0	3.99
	ACCOUNT #: LSR010875	BUY 1 Units @0.0000						
/ /	***-**-949I - ACEE, JOSPEH	AMERICAN FUNDS BALANCED PORT CL C	0.00	3.71	0.00	3.71	88.0	3.26
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
/ /	***-**-48IO - CLARK, PATRICK	AMERICAN FUNDS BALANCED PORT CL C	0.00	22.91	0.00	22.91	88.0	20.16
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
/ /	***-**-31IO - HARTZ, CLAUDIA	AMERICAN FUNDS BALANCED PORT CL C	0.00	6.25	0.00	6.25	88.0	5.50
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***-**-031O - MCVOY, ROBERT	AMERICAN FUNDS BALANCED PORT CL C	0.00	4.77	0.00	4.77	88.0	4.20
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
/ /	***-**-15IN - PENDRAK, JOHN	AMERICAN FUNDS BALANCED PORT CL C	0.00	12.16	0.00	12.16	88.0	10.70
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
/ /	***-**-959I - FRANZ, PAUL	AMERICAN FUNDS BALANCED PORT CL C	0.00	10.69	0.00	10.69	88.0	9.41
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
/ /	***-**-567I - FRANZ, ALICE	AMERICAN FUNDS BALANCED PORT CL C	0.00	7.18	0.00	7.18	88.0	6.32
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	12.05	0.00	12.05	88.0	10.60
/ /	***-**-73TR - WESTCOTT IRRVOC ACCOUNT #: LSR011001	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	8.02	0.00	8.02	88.0	7.06
/ /	***-**-51IO - CAIN, TIMOTHY ACCOUNT #: LSR011007	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	12.01	0.00	12.01	88.0	10.57
/ /	***-**-169I - EVANS, PAUL ACCOUNT #: LSR011026	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	5.55	0.00	5.55	88.0	4.88
/ /	***-**-73UT - COBB, CHRSTIAN ACCOUNT #: LSR011104	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	5.52	0.00	5.52	88.0	4.86
/ /	***-**-67UT - COBB, ACCOUNT #: LSR011105	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	5.52	0.00	5.52	88.0	4.86
/ /	***-**-13IN - RHYMESTINE, ACCOUNT #: LSR011106	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	2.64	0.00	2.64	88.0	2.32
/ /	***-**-89IN - LEE, ROGER ACCOUNT #: LSR011166	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	7.57	0.00	7.57	88.0	6.66
/ /	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	16.22	0.00	16.22	88.0	14.27
/ /	***-**-64IO - PFAFF, GEORGE ACCOUNT #: LSR011185	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	5.09	0.00	5.09	88.0	4.48
/ /	***-**-900J - LEE, MR. ACCOUNT #: LSR011186	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	5.26	0.00	5.26	88.0	4.63
/ /	***-**-62IR - ROBBIANO, FBO ACCOUNT #: LSR011195	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	3.71	0.00	3.71	88.0	3.26
/ /	***-**-02IN - BUSHEY, MELISSA ACCOUNT #: LSR011257	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	3.73	0.00	3.73	88.0	3.28
/ /	***-**-653I - KOCHEM, CLINTON ACCOUNT #: LSR011300	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	3.77	0.00	3.77	88.0	3.32
/ /	***-**-851K - LALLIER, JAMES ACCOUNT #: LSR012645	AMERICAN FUNDS BALANCED PORT CL C BUY 1 Units @0.0000	0.00	11.83	0.00	11.83	88.0	10.41
/ /	***-**-62IR - ROBBIANO, FBO ACCOUNT #: LSR011195	AMERICAN FUNDS GLBL GROWTH PORT CL BUY 1 Units @0.0000	0.00	3.48	0.00	3.48	88.0	3.06



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
OVERWRITE TRANSACTIONS (continued)								
BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-851K - LALLIER, JAMES	AMERICAN FUNDS GLBL GROWTH PORT CL	0.00	2.09	0.00	2.09	88.0	1.84
	ACCOUNT #: LSR012645	BUY 1 Units @0.0000						
/ /	***-**-87IN - HINCKLEY,	AMERICAN FUNDS MORTGAGE FD CL C	0.00	6.50	0.00	6.50	88.0	5.72
	ACCOUNT #: LSR010834	BUY 1 Units @0.0000						
/ /	***-**-287J - HINCKLEY,	AMERICAN FUNDS MORTGAGE FD CL C	0.00	8.20	0.00	8.20	88.0	7.22
	ACCOUNT #: LSR010841	BUY 1 Units @0.0000						
/ /	***-**-881I - EBERLEY, SUSAN	AMERICAN FUNDS MORTGAGE FD CL C	0.00	7.33	0.00	7.33	88.0	6.45
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
/ /	***-**-382I - SATTERLY, NEIL	AMERICAN FUNDS MORTGAGE FD CL C	0.00	8.53	0.00	8.53	88.0	7.51
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
/ /	***-**-980I - ACEE, ELIZABETH	AMERICAN FUNDS MORTGAGE FD CL C	0.00	12.92	0.00	12.92	88.0	11.37
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						
/ /	***-**-48IO - CLARK, PATRICK	AMERICAN FUNDS MORTGAGE FD CL C	0.00	12.82	0.00	12.82	88.0	11.28
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
/ /	***-**-31IO - HARTZ, CLAUDIA	AMERICAN FUNDS MORTGAGE FD CL C	0.00	4.94	0.00	4.94	88.0	4.35
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***-**-72IN - GAVALO, JULIE	AMERICAN FUNDS MORTGAGE FD CL C	0.00	12.88	0.00	12.88	88.0	11.33
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
/ /	***-**-959I - FRANZ, PAUL	AMERICAN FUNDS MORTGAGE FD CL C	0.00	10.31	0.00	10.31	88.0	9.07
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
/ /	***-**-065I - LAZIO, THOMAS	AMERICAN FUNDS MORTGAGE FD CL C	0.00	7.70	0.00	7.70	88.0	6.78
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
/ /	***-**-80IN - COBB, JAMES	AMERICAN FUNDS MORTGAGE FD CL C	0.00	8.51	0.00	8.51	88.0	7.49
	ACCOUNT #: LSR011042	BUY 1 Units @0.0000						
/ /	***-**-00IO - LEE, GARY	AMERICAN FUNDS MORTGAGE FD CL C	0.00	8.63	0.00	8.63	88.0	7.59
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
/ /	***-**-44IO - MAYO, DEBORAH	AMERICAN FUNDS MORTGAGE FD CL C	0.00	29.62	0.00	29.62	88.0	26.07
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
/ /	***-**-579J - RHYMESTINE,	AMERICAN FUNDS MORTGAGE FD CL C	0.00	8.47	0.00	8.47	88.0	7.45
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
/ /	***-**-09IO - BATES, FBO MRS	AMERICAN FUNDS MORTGAGE FD CL C	0.00	22.08	0.00	22.08	88.0	19.43
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-48IO - ANACKER, FBO MRS	AMERICAN FUNDS MORTGAGE FD CL C	0.00	6.42	0.00	6.42	88.0	5.65
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
/ /	***-**-89IN - LEE, ROGER	AMERICAN FUNDS MORTGAGE FD CL C	0.00	13.79	0.00	13.79	88.0	12.14
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
/ /	***-**-094I - KATRENA L	AMERICAN FUNDS MORTGAGE FD CL C	0.00	2.99	0.00	2.99	88.0	2.63
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
/ /	***-**-439J - MCCARTHY, JAMES	AMERICAN FUNDS MORTGAGE FD CL C	0.00	2.50	0.00	2.50	88.0	2.20
	ACCOUNT #: LSR011205	BUY 1 Units @0.0000						
/ /	***-**-52IO - EUSEPI, SUSAN	AMERICAN FUNDS MORTGAGE FD CL C	0.00	2.97	0.00	2.97	88.0	2.61
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						
/ /	***-**-473J - HUSTON,	AMERICAN FUNDS MORTGAGE FD CL C	0.00	8.59	0.00	8.59	88.0	7.56
	ACCOUNT #: LSR011228	BUY 1 Units @0.0000						
/ /	***-**-80IO - EVANS, RICHARD	AMERICAN FUNDS MORTGAGE FD CL C	0.00	6.80	0.00	6.80	88.0	5.98
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
/ /	***-**-376I - BERBEN, GAIL	AMERICAN FUNDS MORTGAGE FD CL C	0.00	6.71	0.00	6.71	88.0	5.90
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
/ /	***-**-21IO - RADELL, SANDRA	AMERICAN FUNDS MORTGAGE FD CL C	0.00	8.33	0.00	8.33	88.0	7.33
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
/ /	***-**-45IO - JOHNSON, GREGORY	AMERICAN FUNDS MORTGAGE FD CL C	0.00	16.67	0.00	16.67	88.0	14.67
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
/ /	***-**-420T - DEMETRI, AMELIA	AMERICAN FUNDS PRESERVATION PORT C	0.00	4.22	0.00	4.22	88.0	3.71
	ACCOUNT #: LSR010994	BUY 1 Units @0.0000						
/ /	***-**-881J - EBERLEY, SUSAN	AMERICAN GLOBAL BALANCED CL C	0.00	9.52	0.00	9.52	88.0	8.38
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
/ /	***-**-382I - SATTERLY, NEIL	AMERICAN GLOBAL BALANCED CL C	0.00	11.53	0.00	11.53	88.0	10.15
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
/ /	***-**-382K - SATTERLY, NEIL	AMERICAN GLOBAL BALANCED CL C	0.00	4.26	0.00	4.26	88.0	3.75
	ACCOUNT #: LSR010875	BUY 1 Units @0.0000						
/ /	***-**-980I - ACEE, ELIZABETH	AMERICAN GLOBAL BALANCED CL C	0.00	14.41	0.00	14.41	88.0	12.68
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						
/ /	***-**-48IO - CLARK, PATRICK	AMERICAN GLOBAL BALANCED CL C	0.00	11.33	0.00	11.33	88.0	9.97
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***--72IN - GAVALO, JULIE	AMERICAN GLOBAL BALANCED CL C	0.00	11.01	0.00	11.01	88.0	9.69
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
/ /	***--065I - LAZIO, THOMAS	AMERICAN GLOBAL BALANCED CL C	0.00	9.31	0.00	9.31	88.0	8.19
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
/ /	***--420T - DEMETRI, AMELIA	AMERICAN GLOBAL BALANCED CL C	0.00	6.25	0.00	6.25	88.0	5.50
	ACCOUNT #: LSR010994	BUY 1 Units @0.0000						
/ /	***--73TR - WESTCOTT IRRVOC	AMERICAN GLOBAL BALANCED CL C	0.00	10.80	0.00	10.80	88.0	9.50
	ACCOUNT #: LSR011001	BUY 1 Units @0.0000						
/ /	***--73TR - WESTCOTT IRRVOC	AMERICAN GLOBAL BALANCED CL C	0.00	25.02	0.00	25.02	88.0	22.02
	ACCOUNT #: LSR011008	BUY 1 Units @0.0000						
/ /	***--80IN - COBB, JAMES	AMERICAN GLOBAL BALANCED CL C	0.00	8.06	0.00	8.06	88.0	7.09
	ACCOUNT #: LSR011042	BUY 1 Units @0.0000						
/ /	***--00IO - LEE, GARY	AMERICAN GLOBAL BALANCED CL C	0.00	13.43	0.00	13.43	88.0	11.82
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
/ /	***--44IO - MAYO, DEBORAH	AMERICAN GLOBAL BALANCED CL C	0.00	33.62	0.00	33.62	88.0	29.59
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
/ /	***--09IO - BATES, FBO MRS	AMERICAN GLOBAL BALANCED CL C	0.00	16.99	0.00	16.99	88.0	14.95
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
/ /	***--094I - KATRENA L	AMERICAN GLOBAL BALANCED CL C	0.00	7.62	0.00	7.62	88.0	6.71
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
/ /	***--11IO - LYNN G.	AMERICAN GLOBAL BALANCED CL C	0.00	18.43	0.00	18.43	88.0	16.22
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***--07IO - FAMOLARO, JAMES	AMERICAN GLOBAL BALANCED CL C	0.00	5.77	0.00	5.77	88.0	5.08
	ACCOUNT #: LSR011280	BUY 1 Units @0.0000						
/ /	***--376I - BERBEN, GAIL	AMERICAN GLOBAL BALANCED CL C	0.00	14.83	0.00	14.83	88.0	13.05
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
/ /	***--21IO - RADELL, SANDRA	AMERICAN GLOBAL BALANCED CL C	0.00	5.81	0.00	5.81	88.0	5.11
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
/ /	***--45IO - JOHNSON, GREGORY	AMERICAN GLOBAL BALANCED CL C	0.00	10.64	0.00	10.64	88.0	9.36
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
/ /	***--14IO - BUSHEY, MATTHEW	AMERICAN GLOBAL BALANCED CL C	0.00	17.38	0.00	17.38	88.0	15.29
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-37IO - MCCLUSKY, MARK	AMERICAN GLOBAL BALANCED CL C	0.00	9.49	0.00	9.49	88.0	8.35
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
/ /	***-**-86IN - LEE, KEVIN	AMERICAN GLOBAL BALANCED CL C	0.00	8.47	0.00	8.47	88.0	7.45
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
/ /	***-**-03IO - MASTRANGELO,	AMERICAN GLOBAL BALANCED CL C	0.00	9.59	0.00	9.59	88.0	8.44
	ACCOUNT #: LSR011679	BUY 1 Units @0.0000						
/ /	***-**-51IO - SCALISE, MARY	AMERICAN GLOBAL BALANCED CL C	0.00	7.73	0.00	7.73	88.0	6.80
	ACCOUNT #: LSR011837	BUY 1 Units @0.0000						
08/10/2018	***-**-663I - LASCALA, DEBORAH	AMERICAN GLOBAL BALANCED CL C	25,000.01	250.00	0.00	250.00	88.0	220.00
	ACCOUNT #: LSR012790	BUY 784 Units @31.8900						
/ /	***-**-702I - KOCHER, MICHAEL	AMERICAN GLOBAL BALANCED CLASS A	0.00	2.64	0.00	2.64	88.0	2.32
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
/ /	***-**-63TR - EVANS, AUDREY J	AMERICAN GLOBAL BALANCED CLASS A	0.00	6.07	0.00	6.07	88.0	5.34
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
/ /	***-**-30TR - AND SANDRA	AMERICAN GLOBAL BALANCED CLASS A	0.00	1.56	0.00	1.56	88.0	1.37
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
/ /	***-**-287I - HINCKLEY,	AMERICAN MUTUAL FUND-A	0.00	5.57	0.00	5.57	88.0	4.90
	ACCOUNT #: LSR010843	BUY 1 Units @0.0000						
/ /	***-**-805J - GATES, ROGER	AMERICAN MUTUAL FUND-C	0.00	2.85	0.00	2.85	88.0	2.51
	ACCOUNT #: LSR012733	BUY 1 Units @0.0000						
/ /	***-**-13IN - GRUENEWALD,	AMERICAN WASHINGTON MUTUAL INVESTOR	0.00	0.35	0.00	0.35	88.0	0.31
	ACCOUNT #: LSR011210	BUY 1 Units @0.0000						
08/10/2018	***-**-376I - BERBEN, GAIL	BERKSHIRE HATHAWAY INC DEL CL B NEW	10,302.50	159.49	0.00	159.49	88.0	140.35
	ACCOUNT #: LSR011380	SELL 50 Units @206.0500						
08/10/2018	***-**-726I - YADDAW, DEBRA	BERKSHIRE HATHAWAY INC DEL CL B NEW	10,300.00	129.49	0.00	129.49	88.0	113.95
	ACCOUNT #: LSR012338	SELL 50 Units @206.0000						
08/15/2018	***-**-614J - BUSHEY, MATTHEW	BLACKROCK ENHANCED DIVID ACHIEVERS	6,838.89	56.72	0.00	56.72	88.0	49.91
	ACCOUNT #: LSR011256	SELL 733 Units @9.3300						
/ /	***-**-254I - AUGUSTINE, LINDA	CAPITAL INCOME BUILDER CLASS C	0.00	5.10	0.00	5.10	88.0	4.49
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
/ /	***-**-881J - EBERLEY, SUSAN	CAPITAL INCOME BUILDER CLASS C	0.00	4.88	0.00	4.88	88.0	4.29
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-382I - SATTERLY, NEIL	CAPITAL INCOME BUILDER CLASS C	0.00	14.63	0.00	14.63	88.0	12.87
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
/ /	***-**-949I - ACEE, JOSPEH	CAPITAL INCOME BUILDER CLASS C	0.00	4.78	0.00	4.78	88.0	4.21
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
/ /	***-**-31IO - HARTZ, CLAUDIA	CAPITAL INCOME BUILDER CLASS C	0.00	5.37	0.00	5.37	88.0	4.73
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***-**-15IN - PENDRAK, JOHN	CAPITAL INCOME BUILDER CLASS C	0.00	8.83	0.00	8.83	88.0	7.77
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
/ /	***-**-959I - FRANZ, PAUL	CAPITAL INCOME BUILDER CLASS C	0.00	11.83	0.00	11.83	88.0	10.41
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
/ /	***-**-960J - MASTRANGELO,	CAPITAL INCOME BUILDER CLASS C	0.00	9.49	0.00	9.49	88.0	8.35
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
/ /	***-**-065I - LAZIO, THOMAS	CAPITAL INCOME BUILDER CLASS C	0.00	6.71	0.00	6.71	88.0	5.90
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
/ /	***-**-51IO - CAIN, TIMOTHY	CAPITAL INCOME BUILDER CLASS C	0.00	7.34	0.00	7.34	88.0	6.46
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
/ /	***-**-63TR - EVANS, AUDREY J	CAPITAL INCOME BUILDER CLASS C	0.00	5.23	0.00	5.23	88.0	4.60
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
/ /	***-**-861I - SANGER, LAWRENCE	CAPITAL INCOME BUILDER CLASS C	0.00	1.82	0.00	1.82	88.0	1.60
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
/ /	***-**-13IN - RHYMESTINE,	CAPITAL INCOME BUILDER CLASS C	0.00	4.53	0.00	4.53	88.0	3.99
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
/ /	***-**-506J - CURRIERE JR, MR	CAPITAL INCOME BUILDER CLASS C	0.00	3.87	0.00	3.87	88.0	3.41
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
/ /	***-**-31IO - WILLIAMSON, FBO	CAPITAL INCOME BUILDER CLASS C	0.00	14.61	0.00	14.61	88.0	12.86
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
/ /	***-**-62IR - ROBBIANO, FBO	CAPITAL INCOME BUILDER CLASS C	0.00	7.00	0.00	7.00	88.0	6.16
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
/ /	***-**-02IN - BUSHEY, MELISSA	CAPITAL INCOME BUILDER CLASS C	0.00	2.87	0.00	2.87	88.0	2.53
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
/ /	***-**-851K - LALLIER, JAMES	CAPITAL INCOME BUILDER CLASS C	0.00	11.19	0.00	11.19	88.0	9.85
	ACCOUNT #: LSR012645	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-287I - HINCKLEY, ACCOUNT #: LSR010843	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	4.09	0.00	4.09	88.0	3.60
/ /	***-**-702I - KOCHER, MICHAEL ACCOUNT #: LSR010954	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	3.70	0.00	3.70	88.0	3.26
/ /	***-**-366I - GRUENEWALD, ACCOUNT #: LSR010989	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	2.13	0.00	2.13	88.0	1.87
/ /	***-**-20IO - DEMETRI, AMELIA ACCOUNT #: LSR010995	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	1.00	0.00	1.00	88.0	0.88
/ /	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	2.21	0.00	2.21	88.0	1.94
/ /	***-**-680I - HARRELL, DARYL ACCOUNT #: LSR011321	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	0.31	0.00	0.31	88.0	0.27
/ /	***-**-30TR - AND SANDRA ACCOUNT #: LSR011939	CAPITAL INCOME BUILDER-A BUY 1 Units @0.0000	0.00	2.17	0.00	2.17	88.0	1.91
/ /	***-**-702I - KOCHER, MICHAEL ACCOUNT #: LSR010954	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	3.80	0.00	3.80	88.0	3.34
/ /	***-**-20IO - DEMETRI, AMELIA ACCOUNT #: LSR010995	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.58	0.00	1.58	88.0	1.39
/ /	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.84	0.00	1.84	88.0	1.62
/ /	***-**-326J - GRANDE, MR ACCOUNT #: LSR011089	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.46	0.00	1.46	88.0	1.28
/ /	***-**-326I - GRANDE, FBO MR ACCOUNT #: LSR011091	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.59	0.00	1.59	88.0	1.40
/ /	***-**-48IO - ANACKER, FBO MRS ACCOUNT #: LSR011164	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.13	0.00	1.13	88.0	0.99
/ /	***-**-680I - HARRELL, DARYL ACCOUNT #: LSR011321	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.43	0.00	1.43	88.0	1.26
/ /	***-**-128I - BASHANT, THOMAS ACCOUNT #: LSR011387	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	1.54	0.00	1.54	88.0	1.36
/ /	***-**-30TR - AND SANDRA ACCOUNT #: LSR011939	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	2.53	0.00	2.53	88.0	2.23



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-44IO - MAYO, DEBORAH	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	13.17	0.00	13.17	88.0	11.59
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
/ /	***-**-09IO - BATES, FBO MRS	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	10.80	0.00	10.80	88.0	9.50
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	10.67	0.00	10.67	88.0	9.39
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-45IO - JOHNSON, GREGORY	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	8.09	0.00	8.09	88.0	7.12
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
08/15/2018	***-**-0069 - KRECIDLO,	CAPITAL WORLD GROWTH AND INCOME FUN	75.00	0.75	0.00	0.75	88.0	0.66
	ACCOUNT #: 00083510637	BUY 2 Units @49.5100						
08/15/2018	***-**-2855 - KRECIDLO, MARTA	CAPITAL WORLD GROWTH AND INCOME FUN	75.00	0.75	0.00	0.75	88.0	0.66
	ACCOUNT #: 00083510745	BUY 2 Units @49.5100						
08/13/2018	***-**-439J - MCCARTHY, JAMES	CHEVRON CORP NEW SOLICITED ORDER	30,695.00	327.49	0.00	327.49	88.0	288.19
	ACCOUNT #: LSR011205	SELL 250 Units @122.7800						
08/15/2018	***-**-614J - BUSHEY, MATTHEW	CHIMERA INVT CORP COM NEW ISIN #US1	4,650.00	59.25	0.00	59.25	88.0	52.14
	ACCOUNT #: LSR011256	SELL 250 Units @18.6000						
08/15/2018	***-**-614J - BUSHEY, MATTHEW	CHIMERA INVT CORP COM NEW ISIN #US1	1,097.40	13.98	0.00	13.98	88.0	12.30
	ACCOUNT #: LSR011256	SELL 59 Units @18.6000						
/ /	***-**-71IO - FAMOLARO, JAMES	CLEARBRIDGE AGGRESSIVE GROWTH A	0.00	0.53	0.00	0.53	88.0	0.47
	ACCOUNT #: LSR011279	BUY 1 Units @0.0000						
/ /	***-**-959I - FRANZ, PAUL	COLUMBIA MARSICO FOCUSED EQ CL A	0.00	0.25	0.00	0.25	88.0	0.22
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
/ /	***-**-20IO - DEMETRI, AMELIA	EUROPACIFIC GROWTH FUND-A	0.00	1.58	0.00	1.58	88.0	1.39
	ACCOUNT #: LSR010995	BUY 1 Units @0.0000						
/ /	***-**-326I - GRANDE, FBO MR	EUROPACIFIC GROWTH FUND-A	0.00	1.32	0.00	1.32	88.0	1.16
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
/ /	***-**-680I - HARRELL, DARYL	EUROPACIFIC GROWTH FUND-A	0.00	0.63	0.00	0.63	88.0	0.55
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
/ /	***-**-111J - NESSIA, JAMES	FIDELITY ADV EMERGING MRKTS INC CL	0.00	0.77	0.00	0.77	88.0	0.68
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
/ /	***-**-62IN - EATON, DAVID	FIDELITY ADV EMERGING MRKTS INC CL	0.00	1.18	0.00	1.18	88.0	1.04
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-930I - SANGER, KEVIN	FIDELITY ADV EQUITY INCOME CL A	0.00	2.20	0.00	2.20	88.0	1.94
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
/ /	***-**-579I - RHYMESTINE,	FIDELITY ADV INDUSTRIALS-CL C	0.00	14.52	0.00	14.52	88.0	12.78
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***-**-579I - RHYMESTINE,	FIDELITY ADV SMALL CAP CL C	0.00	5.30	0.00	5.30	88.0	4.66
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***-**-579I - RHYMESTINE,	FIDELITY ADV STOCK SELECTOR ALL CAP	0.00	5.15	0.00	5.15	88.0	4.53
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***-**-579I - RHYMESTINE,	FIDELITY ADVISOR EQUITY INCOME CL C	0.00	20.74	0.00	20.74	88.0	18.25
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***-**-579I - RHYMESTINE,	FIDELITY ADVISOR INT'L DISCOVERY CL	0.00	15.95	0.00	15.95	88.0	14.04
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***-**-579I - RHYMESTINE,	FIDELITY ADVISOR INTERMEDIATE BOND	0.00	8.28	0.00	8.28	88.0	7.29
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***-**-15IN - PENDRAK, JOHN	FIDELITY ADVISOR MORTGAGE SECS CL A	0.00	0.61	0.00	0.61	88.0	0.54
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
/ /	***-**-02IN - BUSHEY, MELISSA	FIDELITY ADVISOR MORTGAGE SECS CL A	0.00	0.25	0.00	0.25	88.0	0.22
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
/ /	***-**-579I - RHYMESTINE,	FIDELITY ADVISOR MORTGAGE SECS CL C	0.00	5.73	0.00	5.73	88.0	5.04
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***-**-702I - KOCHER, MICHAEL	FUNDAMENTAL INVESTORS-A	0.00	2.29	0.00	2.29	88.0	2.02
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
/ /	***-**-366S - GRUENEWALD,	FUNDAMENTAL INVESTORS-A	0.00	1.04	0.00	1.04	88.0	0.92
	ACCOUNT #: LSR010990	BUY 1 Units @0.0000						
/ /	***-**-579J - RHYMESTINE,	FUNDAMENTAL INVESTORS-A	0.00	1.16	0.00	1.16	88.0	1.02
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
/ /	***-**-326I - GRANDE, FBO MR	FUNDAMENTAL INVESTORS-A	0.00	2.04	0.00	2.04	88.0	1.80
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
/ /	***-**-680I - HARRELL, DARYL	FUNDAMENTAL INVESTORS-A	0.00	1.47	0.00	1.47	88.0	1.29
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
/ /	***-**-128I - BASHANT, THOMAS	FUNDAMENTAL INVESTORS-A	0.00	1.30	0.00	1.30	88.0	1.14
	ACCOUNT #: LSR011387	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
OVERWRITE TRANSACTIONS (continued)								
BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-30TR - AND SANDRA	FUNDAMENTAL INVESTORS-A	0.00	1.96	0.00	1.96	88.0	1.72
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
/ /	***-**-881J - EBERLEY, SUSAN	FUNDAMENTAL INVESTORS-C	0.00	3.66	0.00	3.66	88.0	3.22
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
/ /	***-**-382I - SATTERLY, NEIL	FUNDAMENTAL INVESTORS-C	0.00	11.61	0.00	11.61	88.0	10.22
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
/ /	***-**-949I - ACEE, JOSPEH	FUNDAMENTAL INVESTORS-C	0.00	3.19	0.00	3.19	88.0	2.81
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
/ /	***-**-31IO - HARTZ, CLAUDIA	FUNDAMENTAL INVESTORS-C	0.00	3.01	0.00	3.01	88.0	2.65
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***-**-031O - MCVOY, ROBERT	FUNDAMENTAL INVESTORS-C	0.00	5.52	0.00	5.52	88.0	4.86
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
/ /	***-**-15IN - PENDRAK, JOHN	FUNDAMENTAL INVESTORS-C	0.00	5.06	0.00	5.06	88.0	4.45
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
/ /	***-**-959I - FRANZ, PAUL	FUNDAMENTAL INVESTORS-C	0.00	12.00	0.00	12.00	88.0	10.56
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
/ /	***-**-960J - MASTRANGELO,	FUNDAMENTAL INVESTORS-C	0.00	8.45	0.00	8.45	88.0	7.44
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
/ /	***-**-065I - LAZIO, THOMAS	FUNDAMENTAL INVESTORS-C	0.00	5.94	0.00	5.94	88.0	5.23
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
/ /	***-**-51IO - CAIN, TIMOTHY	FUNDAMENTAL INVESTORS-C	0.00	5.50	0.00	5.50	88.0	4.84
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
/ /	***-**-761I - EVANS, DOLORES	FUNDAMENTAL INVESTORS-C	0.00	12.14	0.00	12.14	88.0	10.68
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
/ /	***-**-306X - COBB, CHERRYL	FUNDAMENTAL INVESTORS-C	0.00	5.96	0.00	5.96	88.0	5.24
	ACCOUNT #: LSR011037	BUY 1 Units @0.0000						
/ /	***-**-861I - SANGER, LAWRENCE	FUNDAMENTAL INVESTORS-C	0.00	0.22	0.00	0.22	88.0	0.19
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
/ /	***-**-13IN - RHYMESTINE,	FUNDAMENTAL INVESTORS-C	0.00	3.67	0.00	3.67	88.0	3.23
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
/ /	***-**-09IO - BATES, FBO MRS	FUNDAMENTAL INVESTORS-C	0.00	11.65	0.00	11.65	88.0	10.25
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-506J - CURRIERE JR, MR	FUNDAMENTAL INVESTORS-C	0.00	4.89	0.00	4.89	88.0	4.30
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
/ /	***-**-48IO - ANACKER, FBO MRS	FUNDAMENTAL INVESTORS-C	0.00	5.23	0.00	5.23	88.0	4.60
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
/ /	***-**-89IN - LEE, ROGER	FUNDAMENTAL INVESTORS-C	0.00	5.81	0.00	5.81	88.0	5.11
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
/ /	***-**-31IO - WILLIAMSON, FBO	FUNDAMENTAL INVESTORS-C	0.00	7.74	0.00	7.74	88.0	6.81
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
/ /	***-**-64IO - PFAFF, GEORGE	FUNDAMENTAL INVESTORS-C	0.00	3.81	0.00	3.81	88.0	3.35
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
/ /	***-**-62IR - ROBBIANO, FBO	FUNDAMENTAL INVESTORS-C	0.00	3.38	0.00	3.38	88.0	2.97
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	FUNDAMENTAL INVESTORS-C	0.00	11.46	0.00	11.46	88.0	10.08
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-653I - KOCHER, CLINTON	FUNDAMENTAL INVESTORS-C	0.00	9.20	0.00	9.20	88.0	8.10
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
/ /	***-**-376I - BERBEN, GAIL	FUNDAMENTAL INVESTORS-C	0.00	12.47	0.00	12.47	88.0	10.97
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
/ /	***-**-21IO - RADELL, SANDRA	FUNDAMENTAL INVESTORS-C	0.00	4.20	0.00	4.20	88.0	3.70
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
/ /	***-**-45IO - JOHNSON, GREGORY	FUNDAMENTAL INVESTORS-C	0.00	8.98	0.00	8.98	88.0	7.90
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
/ /	***-**-14IO - BUSHEY, MATTHEW	FUNDAMENTAL INVESTORS-C	0.00	13.04	0.00	13.04	88.0	11.48
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
/ /	***-**-851K - LALLIER, JAMES	FUNDAMENTAL INVESTORS-C	0.00	19.32	0.00	19.32	88.0	17.00
	ACCOUNT #: LSR012645	BUY 1 Units @0.0000						
08/13/2018	***-**-663I - LASCALA, DEBORAH	FUNDAMENTAL INVESTORS-C	15,000.01	150.00	0.00	150.00	88.0	132.00
	ACCOUNT #: LSR012790	BUY 237 Units @63.3500						
08/13/2018	***-**-579I - RHYMESTINE,	GENESIS HEALTHCARE INC CL A COM ISI	2,445.00	32.49	0.00	32.49	88.0	28.59
	ACCOUNT #: LSR011082	BUY 1500 Units @1.6300						
08/14/2018	***-**-89IN - LEE, ROGER	GENESIS HEALTHCARE INC CL A COM ISI	4,025.00	12.49	0.00	12.49	88.0	10.99
	ACCOUNT #: LSR011166	BUY 2500 Units @1.6100						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-579J - RHYMESTINE, ACCOUNT #: LSR011081	GLOBAL GROWTH PORTFOLIO-A BUY 1 Units @0.0000	0.00	0.91	0.00	0.91	88.0	0.80
08/13/2018	***-**-376I - BERBEN, GAIL ACCOUNT #: LSR011380	GLOBAL OPPORTUNITIES FUND C BUY 159 Units @62.8400	9,999.98	100.00	0.00	100.00	88.0	88.00
08/13/2018	***-**-663I - LASCALA, DEBORAH ACCOUNT #: LSR012790	GLOBAL OPPORTUNITIES FUND C BUY 159 Units @62.8400	9,999.98	100.00	0.00	100.00	88.0	88.00
/ /	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	9.77	0.00	9.77	88.0	8.60
/ /	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	3.26	0.00	3.26	88.0	2.87
/ /	***-**-367J - WAGNER, MRS LANT ACCOUNT #: LSR011134	GROWTH FUND OF AMERICA CLASS F BUY 1 Units @0.0000	0.00	3.54	0.00	3.54	88.0	3.12
/ /	***-**-702I - KOCHEM, MICHAEL ACCOUNT #: LSR010954	HARTFORD CHECKS AND BALANCED FUND C BUY 1 Units @0.0000	0.00	1.39	0.00	1.39	88.0	1.22
/ /	***-**-366S - GRUENEWALD, ACCOUNT #: LSR010990	HARTFORD CHECKS AND BALANCED FUND C BUY 1 Units @0.0000	0.00	0.48	0.00	0.48	88.0	0.42
/ /	***-**-680I - HARRELL, DARYL ACCOUNT #: LSR011321	HARTFORD CHECKS AND BALANCED FUND C BUY 1 Units @0.0000	0.00	1.33	0.00	1.33	88.0	1.17
/ /	***-**-30TR - AND SANDRA ACCOUNT #: LSR011939	HARTFORD CHECKS AND BALANCED FUND C BUY 1 Units @0.0000	0.00	2.62	0.00	2.62	88.0	2.31
/ /	***-**-366S - GRUENEWALD, ACCOUNT #: LSR010990	HARTFORD INFLATION PLUS FUND CLASS BUY 1 Units @0.0000	0.00	0.68	0.00	0.68	88.0	0.60
/ /	***-**-680I - HARRELL, DARYL ACCOUNT #: LSR011321	HARTFORD INFLATION PLUS FUND CLASS BUY 1 Units @0.0000	0.00	1.77	0.00	1.77	88.0	1.56
/ /	***-**-287I - HINCKLEY, ACCOUNT #: LSR010843	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	3.64	0.00	3.64	88.0	3.20
/ /	***-**-980I - ACEE, ELIZABETH ACCOUNT #: LSR010885	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	12.25	0.00	12.25	88.0	10.78
/ /	***-**-72IN - GAVALO, JULIE ACCOUNT #: LSR010921	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	11.01	0.00	11.01	88.0	9.69
/ /	***-**-73TR - WESTCOTT IRRVOC ACCOUNT #: LSR011001	INCOME FUND OF AMERICA CLASS C BUY 1 Units @0.0000	0.00	1.78	0.00	1.78	88.0	1.57



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: KURT GRUENEWALD (continued) </u>								
/ /	***-**-63TR - EVANS, AUDREY J	INCOME FUND OF AMERICA CLASS C	0.00	5.50	0.00	5.50	88.0	4.84
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
/ /	***-**-44IO - MAYO, DEBORAH	INCOME FUND OF AMERICA CLASS C	0.00	19.51	0.00	19.51	88.0	17.17
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
/ /	***-**-09IO - BATES, FBO MRS	INCOME FUND OF AMERICA CLASS C	0.00	32.50	0.00	32.50	88.0	28.60
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	INCOME FUND OF AMERICA CLASS C	0.00	14.80	0.00	14.80	88.0	13.02
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-376I - BERBEN, GAIL	INCOME FUND OF AMERICA CLASS C	0.00	10.35	0.00	10.35	88.0	9.11
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
/ /	***-**-21IO - RADELL, SANDRA	INCOME FUND OF AMERICA CLASS C	0.00	4.97	0.00	4.97	88.0	4.37
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
/ /	***-**-45IO - JOHNSON, GREGORY	INCOME FUND OF AMERICA CLASS C	0.00	11.34	0.00	11.34	88.0	9.98
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
/ /	***-**-14IO - BUSHEY, MATTHEW	INCOME FUND OF AMERICA CLASS C	0.00	11.75	0.00	11.75	88.0	10.34
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
/ /	***-**-851K - LALLIER, JAMES	INCOME FUND OF AMERICA CLASS C	0.00	9.38	0.00	9.38	88.0	8.25
	ACCOUNT #: LSR012645	BUY 1 Units @0.0000						
/ /	***-**-702I - KOHEM, MICHAEL	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	0.14	0.00	0.14	88.0	0.12
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
/ /	***-**-761I - EVANS, DOLORES	INTERMEDIATE BOND FUND OF AMERICA-C	0.00	5.47	0.00	5.47	88.0	4.81
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
/ /	***-**-366S - GRUENEWALD,	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.80	0.00	0.80	88.0	0.70
	ACCOUNT #: LSR010990	BUY 1 Units @0.0000						
/ /	***-**-567I - FRANZ, ALICE	INTERNATIONAL GROWTH AND INCOME-C	0.00	13.12	0.00	13.12	88.0	11.55
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
/ /	***-**-851K - LALLIER, JAMES	INTERNATIONAL GROWTH AND INCOME-C	0.00	11.90	0.00	11.90	88.0	10.47
	ACCOUNT #: LSR012645	BUY 1 Units @0.0000						
/ /	***-**-71IO - FAMOLARO, JAMES	INVESCO VAN KAMPEN COMSTOCK CLASS A	0.00	0.47	0.00	0.47	88.0	0.41
	ACCOUNT #: LSR011279	BUY 1 Units @0.0000						
/ /	***-**-71IO - FAMOLARO, JAMES	INVESCO VAN KAMPEN EQUITY AND INCOM	0.00	0.42	0.00	0.42	88.0	0.37
	ACCOUNT #: LSR011279	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-9440 - MAYO, DEBORAH	MFS AGGRESSIVE GROWTH ALLOCATION FD	0.00	1.10	0.00	1.10	88.0	0.97
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
/ /	***-**-13IN - ROTH, KAREN	MFS BLENDED RESEARCH CORE EQUITY FD	0.00	1.35	0.00	1.35	88.0	1.19
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
/ /	***-**-881I - EBERLEY, SUSAN	MFS CONSERVATIVE ALLOCATION CL C	0.00	9.83	0.00	9.83	88.0	8.65
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
/ /	***-**-901I - SATTERLY, ANN	MFS CONSERVATIVE ALLOCATION CL C	0.00	9.75	0.00	9.75	88.0	8.58
	ACCOUNT #: LSR010874	BUY 1 Units @0.0000						
/ /	***-**-382K - SATTERLY, NEIL	MFS CONSERVATIVE ALLOCATION CL C	0.00	4.43	0.00	4.43	88.0	3.90
	ACCOUNT #: LSR010875	BUY 1 Units @0.0000						
/ /	***-**-48IO - CLARK, PATRICK	MFS CONSERVATIVE ALLOCATION CL C	0.00	18.67	0.00	18.67	88.0	16.43
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
/ /	***-**-031O - MCVOY, ROBERT	MFS CONSERVATIVE ALLOCATION CL C	0.00	4.58	0.00	4.58	88.0	4.03
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
/ /	***-**-72IN - GAVALO, JULIE	MFS CONSERVATIVE ALLOCATION CL C	0.00	17.74	0.00	17.74	88.0	15.61
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
/ /	***-**-959I - FRANZ, PAUL	MFS CONSERVATIVE ALLOCATION CL C	0.00	17.44	0.00	17.44	88.0	15.35
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
/ /	***-**-065I - LAZIO, THOMAS	MFS CONSERVATIVE ALLOCATION CL C	0.00	8.04	0.00	8.04	88.0	7.08
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
/ /	***-**-420T - DEMETRI, AMELIA	MFS CONSERVATIVE ALLOCATION CL C	0.00	3.12	0.00	3.12	88.0	2.75
	ACCOUNT #: LSR010994	BUY 1 Units @0.0000						
/ /	***-**-73TR - WESTCOTT IRRVOC	MFS CONSERVATIVE ALLOCATION CL C	0.00	7.69	0.00	7.69	88.0	6.77
	ACCOUNT #: LSR011001	BUY 1 Units @0.0000						
/ /	***-**-837O - BASEMENT	MFS CONSERVATIVE ALLOCATION CL C	0.00	5.00	0.00	5.00	88.0	4.40
	ACCOUNT #: LSR011046	BUY 1 Units @0.0000						
/ /	***-**-09IO - BATES, FBO MRS	MFS CONSERVATIVE ALLOCATION CL C	0.00	15.15	0.00	15.15	88.0	13.33
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
/ /	***-**-89IN - LEE, ROGER	MFS CONSERVATIVE ALLOCATION CL C	0.00	18.16	0.00	18.16	88.0	15.98
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
/ /	***-**-900J - LEE, MR.	MFS CONSERVATIVE ALLOCATION CL C	0.00	4.40	0.00	4.40	88.0	3.87
	ACCOUNT #: LSR011186	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: KURT GRUENEWALD (continued) </u>								
/ /	***-**-06IR - CAMPBELL, PAMELA	MFS CONSERVATIVE ALLOCATION CL C	0.00	6.59	0.00	6.59	88.0	5.80
	ACCOUNT #: LSR011222	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	MFS CONSERVATIVE ALLOCATION CL C	0.00	14.39	0.00	14.39	88.0	12.66
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-80IO - EVANS, RICHARD	MFS CONSERVATIVE ALLOCATION CL C	0.00	9.84	0.00	9.84	88.0	8.66
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
/ /	***-**-376I - BERBEN, GAIL	MFS CONSERVATIVE ALLOCATION CL C	0.00	11.08	0.00	11.08	88.0	9.75
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
/ /	***-**-45IO - JOHNSON, GREGORY	MFS CONSERVATIVE ALLOCATION CL C	0.00	11.59	0.00	11.59	88.0	10.20
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
/ /	***-**-14IO - BUSHEY, MATTHEW	MFS CONSERVATIVE ALLOCATION CL C	0.00	9.54	0.00	9.54	88.0	8.40
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
/ /	***-**-37IO - MCCLUSKY, MARK	MFS CONSERVATIVE ALLOCATION CL C	0.00	7.91	0.00	7.91	88.0	6.96
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
/ /	***-**-99IN - EDELMAN, TINA	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.08	0.00	0.08	88.0	0.07
	ACCOUNT #: LSR011956	BUY 1 Units @0.0000						
/ /	***-**-28IN - BYWATER, KIM	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.14	0.00	0.14	88.0	0.12
	ACCOUNT #: LSR011995	BUY 1 Units @0.0000						
/ /	***-**-35IN - RUGGIERO, LAURIE	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.03	0.00	0.03	88.0	0.03
	ACCOUNT #: LSR012314	BUY 1 Units @0.0000						
/ /	***-**-31IO - JOSEPH, ANN	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.02	0.00	0.02	88.0	0.02
	ACCOUNT #: LSR012337	BUY 1 Units @0.0000						
/ /	***-**-726I - YADDAW, DEBRA	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.03	0.00	0.03	88.0	0.03
	ACCOUNT #: LSR012338	BUY 1 Units @0.0000						
/ /	***-**-52IN - ROBERTS, LILLIAN	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.01	0.00	0.01	88.0	0.01
	ACCOUNT #: LSR012352	BUY 1 Units @0.0000						
/ /	***-**-851I - BLAKE, JAMES	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.02	0.00	0.02	88.0	0.02
	ACCOUNT #: LSR012390	BUY 1 Units @0.0000						
/ /	***-**-188I - BRADLEY, WAYNE	MFS CONSERVATIVE ALLOCATION CL C	0.00	0.03	0.00	0.03	88.0	0.03
	ACCOUNT #: LSR012484	BUY 1 Units @0.0000						
08/10/2018	***-**-663I - LASCALA, DEBORAH	MFS CONSERVATIVE ALLOCATION CL C	20,000.00	200.00	0.00	200.00	88.0	176.00
	ACCOUNT #: LSR012790	BUY 1299 Units @15.4000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: KURT GRUENEWALD (continued) </u>								
08/13/2018	***-**-376I - BERBEN, GAIL	MFS CONSERVATIVE ALLOCATION CL C	10,000.00	100.00	0.00	100.00	88.0	88.00
	ACCOUNT #: LSR011380	BUY 651 Units @15.3500						
/ /	***-**-944O - MAYO, DEBORAH	MFS CONSERVATIVE ALLOCATION FUND-A	0.00	1.18	0.00	1.18	88.0	1.04
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
/ /	***-**-254I - AUGUSTINE, LINDA	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.81	0.00	0.81	88.0	0.71
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
/ /	***-**-15IN - PENDRAK, JOHN	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	2.21	0.00	2.21	88.0	1.94
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
/ /	***-**-960J - MASTRANGELO,	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	5.66	0.00	5.66	88.0	4.98
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
/ /	***-**-51IO - CAIN, TIMOTHY	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	2.67	0.00	2.67	88.0	2.35
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
/ /	***-**-761I - EVANS, DOLORES	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	2.13	0.00	2.13	88.0	1.87
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
/ /	***-**-944O - MAYO, DEBORAH	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.50	0.00	0.50	88.0	0.44
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
/ /	***-**-326J - GRANDE, MR	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.58	0.00	0.58	88.0	0.51
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
/ /	***-**-13IN - RHYMESTINE,	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	1.05	0.00	1.05	88.0	0.92
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
/ /	***-**-367J - WAGNER, MRS LANT	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	1.16	0.00	1.16	88.0	1.02
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
/ /	***-**-992J - CORNACCHIA	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.41	0.00	0.41	88.0	0.36
	ACCOUNT #: LSR011157	BUY 1 Units @0.0000						
/ /	***-**-31IO - WILLIAMSON, FBO	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	4.00	0.00	4.00	88.0	3.52
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
/ /	***-**-64IO - PFAFF, GEORGE	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	1.17	0.00	1.17	88.0	1.03
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
/ /	***-**-448X - ANACKER, SUSAN	MFS CONSERVATIVE ALLOCATION FUND-B	0.00	0.83	0.00	0.83	88.0	0.73
	ACCOUNT #: LSR011578	BUY 1 Units @0.0000						
/ /	***-**-382K - SATTERLY, NEIL	MFS DIVERSIFIED INCOME FD CL C	0.00	4.66	0.00	4.66	88.0	4.10
	ACCOUNT #: LSR010875	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: KURT GRUENEWALD (continued) </u>								
/ /	***-**-980I - ACEE, ELIZABETH	MFS DIVERSIFIED INCOME FD CL C	0.00	9.37	0.00	9.37	88.0	8.25
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						
/ /	***-**-72IN - GAVALO, JULIE	MFS DIVERSIFIED INCOME FD CL C	0.00	17.01	0.00	17.01	88.0	14.97
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
/ /	***-**-73TR - WESTCOTT IRRVOC	MFS DIVERSIFIED INCOME FD CL C	0.00	13.23	0.00	13.23	88.0	11.64
	ACCOUNT #: LSR011008	BUY 1 Units @0.0000						
/ /	***-**-132O - EVANS, THOMAS	MFS DIVERSIFIED INCOME FD CL C	0.00	8.33	0.00	8.33	88.0	7.33
	ACCOUNT #: LSR011074	BUY 1 Units @0.0000						
/ /	***-**-44IO - MAYO, DEBORAH	MFS DIVERSIFIED INCOME FD CL C	0.00	21.57	0.00	21.57	88.0	18.98
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
/ /	***-**-579J - RHYMESTINE,	MFS DIVERSIFIED INCOME FD CL C	0.00	11.29	0.00	11.29	88.0	9.94
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
/ /	***-**-09IO - BATES, FBO MRS	MFS DIVERSIFIED INCOME FD CL C	0.00	14.51	0.00	14.51	88.0	12.77
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
/ /	***-**-89IN - LEE, ROGER	MFS DIVERSIFIED INCOME FD CL C	0.00	5.96	0.00	5.96	88.0	5.24
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
/ /	***-**-64IO - PFAFF, GEORGE	MFS DIVERSIFIED INCOME FD CL C	0.00	6.01	0.00	6.01	88.0	5.29
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
/ /	***-**-439J - MCCARTHY, JAMES	MFS DIVERSIFIED INCOME FD CL C	0.00	3.19	0.00	3.19	88.0	2.81
	ACCOUNT #: LSR011205	BUY 1 Units @0.0000						
/ /	***-**-52IO - EUSEPI, SUSAN	MFS DIVERSIFIED INCOME FD CL C	0.00	6.32	0.00	6.32	88.0	5.56
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						
/ /	***-**-81IR - CAMPBELL,	MFS DIVERSIFIED INCOME FD CL C	0.00	6.10	0.00	6.10	88.0	5.37
	ACCOUNT #: LSR011223	BUY 1 Units @0.0000						
/ /	***-**-439I - MCCARTHY, JAMES	MFS DIVERSIFIED INCOME FD CL C	0.00	2.28	0.00	2.28	88.0	2.01
	ACCOUNT #: LSR011244	BUY 1 Units @0.0000						
/ /	***-**-376I - BERBEN, GAIL	MFS DIVERSIFIED INCOME FD CL C	0.00	7.70	0.00	7.70	88.0	6.78
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
/ /	***-**-45IO - JOHNSON, GREGORY	MFS DIVERSIFIED INCOME FD CL C	0.00	12.45	0.00	12.45	88.0	10.96
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
/ /	***-**-14IO - BUSHEY, MATTHEW	MFS DIVERSIFIED INCOME FD CL C	0.00	13.93	0.00	13.93	88.0	12.26
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: KURT GRUENEWALD (continued) </u>								
/ /	***-**-37IO - MCCLUSKY, MARK	MFS DIVERSIFIED INCOME FD CL C	0.00	5.13	0.00	5.13	88.0	4.51
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
/ /	***-**-35IN - RUGGIERO, LAURIE	MFS DIVERSIFIED INCOME FD CL C	0.00	0.07	0.00	0.07	88.0	0.06
	ACCOUNT #: LSR012314	BUY 1 Units @0.0000						
/ /	***-**-31IO - JOSEPH, ANN	MFS DIVERSIFIED INCOME FD CL C	0.00	0.04	0.00	0.04	88.0	0.04
	ACCOUNT #: LSR012337	BUY 1 Units @0.0000						
/ /	***-**-52IN - ROBERTS, LILLIAN	MFS DIVERSIFIED INCOME FD CL C	0.00	0.02	0.00	0.02	88.0	0.02
	ACCOUNT #: LSR012352	BUY 1 Units @0.0000						
/ /	***-**-513I - RHYMESTINE,	MFS DIVERSIFIED INCOME FD CL C	0.00	0.01	0.00	0.01	88.0	0.01
	ACCOUNT #: LSR012457	BUY 1 Units @0.0000						
/ /	***-**-188I - BRADLEY, WAYNE	MFS DIVERSIFIED INCOME FD CL C	0.00	0.01	0.00	0.01	88.0	0.01
	ACCOUNT #: LSR012484	BUY 1 Units @0.0000						
08/10/2018	***-**-663I - LASCALA, DEBORAH	MFS DIVERSIFIED INCOME FD CL C	15,000.00	150.00	0.00	150.00	88.0	132.00
	ACCOUNT #: LSR012790	BUY 1233 Units @12.1700						
08/13/2018	***-**-376I - BERBEN, GAIL	MFS DIVERSIFIED INCOME FD CL C	7,500.00	75.00	0.00	75.00	88.0	66.00
	ACCOUNT #: LSR011380	BUY 618 Units @12.1300						
/ /	***-**-53IN - EVANS, ANDREW	MFS EMERGING GROWTH FUND-B	0.00	0.84	0.00	0.84	88.0	0.74
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
/ /	***-**-24IN - BLISS, RYAN	MFS EMERGING GROWTH FUND-B	0.00	0.31	0.00	0.31	88.0	0.27
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
/ /	***-**-881I - EBERLEY, SUSAN	MFS EMERGING MARKETS EQUITY FUND-B	0.00	0.77	0.00	0.77	88.0	0.68
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
/ /	***-**-960J - MASTRANGELO,	MFS EMERGING MARKETS EQUITY FUND-B	0.00	1.36	0.00	1.36	88.0	1.20
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
/ /	***-**-761I - EVANS, DOLORES	MFS EMERGING MARKETS EQUITY FUND-B	0.00	1.40	0.00	1.40	88.0	1.23
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
/ /	***-**-579J - RHYMESTINE,	MFS EMERGING MARKETS EQUITY FUND-B	0.00	0.75	0.00	0.75	88.0	0.66
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
/ /	***-**-326I - GRANDE, FBO MR	MFS EMERGING MARKETS EQUITY FUND-B	0.00	1.38	0.00	1.38	88.0	1.21
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
/ /	***-**-197I - GAMBINO, ANDREW	MFS EMERGING MARKETS EQUITY FUND-B	0.00	0.22	0.00	0.22	88.0	0.19
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: KURT GRUENEWALD (continued) </u>								
/ /	***-**-45IR - WILLIAMSON, ACCOUNT #: LSR011764	MFS EMERGING MARKETS EQUITY FUND-B BUY 1 Units @0.0000	0.00	1.05	0.00	1.05	88.0	0.92
/ /	***-**-254I - AUGUSTINE, LINDA ACCOUNT #: LSR010846	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	1.06	0.00	1.06	88.0	0.93
/ /	***-**-881J - EBERLEY, SUSAN ACCOUNT #: LSR010856	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	0.80	0.00	0.80	88.0	0.70
/ /	***-**-949I - ACEE, JOSPEH ACCOUNT #: LSR010908	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	0.99	0.00	0.99	88.0	0.87
/ /	***-**-31IO - HARTZ, CLAUDIA ACCOUNT #: LSR010915	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	1.20	0.00	1.20	88.0	1.06
/ /	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	0.66	0.00	0.66	88.0	0.58
/ /	***-**-944O - MAYO, DEBORAH ACCOUNT #: LSR011035	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	1.29	0.00	1.29	88.0	1.14
/ /	***-**-580X - COBB, JAMES ACCOUNT #: LSR011040	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	1.15	0.00	1.15	88.0	1.01
/ /	***-**-506J - CURRIERE JR, MR ACCOUNT #: LSR011121	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	0.36	0.00	0.36	88.0	0.32
/ /	***-**-367J - WAGNER, MRS LANT ACCOUNT #: LSR011134	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	0.89	0.00	0.89	88.0	0.78
/ /	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	1.27	0.00	1.27	88.0	1.12
/ /	***-**-64IO - PFAFF, GEORGE ACCOUNT #: LSR011185	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	1.18	0.00	1.18	88.0	1.04
/ /	***-**-62IR - ROBBIANO, FBO ACCOUNT #: LSR011195	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	0.50	0.00	0.50	88.0	0.44
/ /	***-**-29IO - MASSOUD, ACCOUNT #: LSR011217	MFS EMERGING MKT DEBT CL B BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	88.0	0.01
/ /	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	MFS EMERGING MKTS DEBT CLASS C BUY 1 Units @0.0000	0.00	10.23	0.00	10.23	88.0	9.00
/ /	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	MFS EQUITY OPPORTUNITIES CL B BUY 1 Units @0.0000	0.00	1.69	0.00	1.69	88.0	1.49



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-9440 - MAYO, DEBORAH	MFS GLOBAL ALTERNATIVE STRAT A	0.00	0.65	0.00	0.65	88.0	0.57
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
/ /	***-**-978I - GUNN, KATHLEEN	MFS GLOBAL ALTERNATIVE STRAT B	0.00	0.44	0.00	0.44	88.0	0.39
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
/ /	***-**-382I - SATTERLY, NEIL	MFS GLOBAL ALTERNATIVE STRAT C	0.00	6.58	0.00	6.58	88.0	5.79
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
/ /	***-**-48IO - CLARK, PATRICK	MFS GLOBAL ALTERNATIVE STRAT C	0.00	14.81	0.00	14.81	88.0	13.03
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
/ /	***-**-72IN - GAVALO, JULIE	MFS GLOBAL ALTERNATIVE STRAT C	0.00	14.26	0.00	14.26	88.0	12.55
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
/ /	***-**-80IN - COBB, JAMES	MFS GLOBAL ALTERNATIVE STRAT C	0.00	7.63	0.00	7.63	88.0	6.71
	ACCOUNT #: LSR011042	BUY 1 Units @0.0000						
/ /	***-**-09IO - BATES, FBO MRS	MFS GLOBAL ALTERNATIVE STRAT C	0.00	12.98	0.00	12.98	88.0	11.42
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	MFS GLOBAL ALTERNATIVE STRAT C	0.00	17.66	0.00	17.66	88.0	15.54
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-80IO - EVANS, RICHARD	MFS GLOBAL ALTERNATIVE STRAT C	0.00	10.86	0.00	10.86	88.0	9.56
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
/ /	***-**-45IO - JOHNSON, GREGORY	MFS GLOBAL ALTERNATIVE STRAT C	0.00	13.26	0.00	13.26	88.0	11.67
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
/ /	***-**-094I - KATRENA L	MFS GLOBAL BOND FUND CLASS C	0.00	3.43	0.00	3.43	88.0	3.02
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
/ /	***-**-978I - GUNN, KATHLEEN	MFS GLOBAL EQUITY FUND-B	0.00	0.71	0.00	0.71	88.0	0.62
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
/ /	***-**-53IN - EVANS, ANDREW	MFS GLOBAL EQUITY FUND-B	0.00	0.51	0.00	0.51	88.0	0.45
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
/ /	***-**-24IN - BLISS, RYAN	MFS GLOBAL EQUITY FUND-B	0.00	0.33	0.00	0.33	88.0	0.29
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
/ /	***-**-45IR - WILLIAMSON,	MFS GLOBAL EQUITY FUND-B	0.00	1.20	0.00	1.20	88.0	1.06
	ACCOUNT #: LSR011764	BUY 1 Units @0.0000						
08/13/2018	***-**-663I - LASCALA, DEBORAH	MFS GLOBAL NEW DISCOVERY FUND CL C	10,000.01	100.00	0.00	100.00	88.0	88.00
	ACCOUNT #: LSR012790	BUY 510 Units @19.5900						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: KURT GRUENEWALD (continued) </u>								
/ /	***-**-21IO - RADELL, SANDRA	MFS GLOBAL REAL ESTATE FUND CL B	0.00	1.70	0.00	1.70	88.0	1.50
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
/ /	***-**-53IN - EVANS, ANDREW	MFS GLOBAL REAL ESTATE FUND CL B	0.00	0.30	0.00	0.30	88.0	0.26
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
/ /	***-**-24IN - BLISS, RYAN	MFS GLOBAL REAL ESTATE FUND CL B	0.00	0.19	0.00	0.19	88.0	0.17
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
/ /	***-**-38IO - BROWN, TYLER	MFS GLOBAL REAL ESTATE FUND CL B	0.00	0.83	0.00	0.83	88.0	0.73
	ACCOUNT #: LSR011602	BUY 1 Units @0.0000						
/ /	***-**-45IN - WILLIAMSON,	MFS GLOBAL REAL ESTATE FUND CL B	0.00	0.77	0.00	0.77	88.0	0.68
	ACCOUNT #: LSR011751	BUY 1 Units @0.0000						
/ /	***-**-628I - SANGER, SANDRA	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.94	0.00	0.94	88.0	0.83
	ACCOUNT #: LSR010942	BUY 1 Units @0.0000						
/ /	***-**-959I - FRANZ, PAUL	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.83	0.00	0.83	88.0	0.73
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
/ /	***-**-944O - MAYO, DEBORAH	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.89	0.00	0.89	88.0	0.78
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
/ /	***-**-96IN - NESSIA, BONNIE	MFS GLOBAL TOTAL RETURN FUND-A	0.00	1.20	0.00	1.20	88.0	1.06
	ACCOUNT #: LSR011055	BUY 1 Units @0.0000						
/ /	***-**-861I - SANGER, LAWRENCE	MFS GLOBAL TOTAL RETURN FUND-A	0.00	1.63	0.00	1.63	88.0	1.43
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
/ /	***-**-02IN - BUSHEY, MELISSA	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.68	0.00	0.68	88.0	0.60
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
/ /	***-**-653J - KOICHEM, CLINTON	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.95	0.00	0.95	88.0	0.84
	ACCOUNT #: LSR011298	BUY 1 Units @0.0000						
/ /	***-**-62IN - EATON, DAVID	MFS GLOBAL TOTAL RETURN FUND-A	0.00	0.70	0.00	0.70	88.0	0.62
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
/ /	***-**-254I - AUGUSTINE, LINDA	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.79	0.00	0.79	88.0	0.70
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
/ /	***-**-881J - EBERLEY, SUSAN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.32	0.00	1.32	88.0	1.16
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
/ /	***-**-949I - ACEE, JOSPEH	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.50	0.00	1.50	88.0	1.32
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: KURT GRUENEWALD (continued) </u>								
/ /	***-**-31IO - HARTZ, CLAUDIA	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.79	0.00	0.79	88.0	0.70
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***-**-959I - FRANZ, PAUL	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.01	0.00	2.01	88.0	1.77
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
/ /	***-**-960J - MASTRANGELO,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.91	0.00	1.91	88.0	1.68
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
/ /	***-**-51IO - CAIN, TIMOTHY	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.63	0.00	1.63	88.0	1.43
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
/ /	***-**-60UT - FALCHI, ADALYNN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.22	0.00	0.22	88.0	0.19
	ACCOUNT #: LSR011010	BUY 1 Units @0.0000						
/ /	***-**-761I - EVANS, DOLORES	MFS GLOBAL TOTAL RETURN FUND-B	0.00	4.90	0.00	4.90	88.0	4.31
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
/ /	***-**-63TR - EVANS, AUDREY J	MFS GLOBAL TOTAL RETURN FUND-B	0.00	3.03	0.00	3.03	88.0	2.67
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
/ /	***-**-306X - COBB, CHERRYL	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.54	0.00	1.54	88.0	1.36
	ACCOUNT #: LSR011037	BUY 1 Units @0.0000						
/ /	***-**-579I - RHYMESTINE,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.94	0.00	2.94	88.0	2.59
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***-**-13IN - RHYMESTINE,	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.74	0.00	0.74	88.0	0.65
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
/ /	***-**-76IO - MCALLISTER, FBO	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.65	0.00	0.65	88.0	0.57
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
/ /	***-**-506J - CURRIERE JR, MR	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.92	0.00	0.92	88.0	0.81
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
/ /	***-**-367J - WAGNER, MRS LANT	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.66	0.00	1.66	88.0	1.46
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
/ /	***-**-31IO - WILLIAMSON, FBO	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.70	0.00	2.70	88.0	2.38
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
/ /	***-**-64IO - PFAFF, GEORGE	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.25	0.00	1.25	88.0	1.10
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
/ /	***-**-62IR - ROBBIANO, FBO	MFS GLOBAL TOTAL RETURN FUND-B	0.00	0.91	0.00	0.91	88.0	0.80
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-52IO - EUSEPI, SUSAN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.85	0.00	2.85	88.0	2.51
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						
/ /	***-**-653I - KOCHER, CLINTON	MFS GLOBAL TOTAL RETURN FUND-B	0.00	2.23	0.00	2.23	88.0	1.96
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
/ /	***-**-21IO - RADELL, SANDRA	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.52	0.00	1.52	88.0	1.34
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
/ /	***-**-241I - DINITTO, ALLISYN	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.27	0.00	1.27	88.0	1.12
	ACCOUNT #: LSR011444	BUY 1 Units @0.0000						
/ /	***-**-51IN - GERACE, JOANNE	MFS GLOBAL TOTAL RETURN FUND-B	0.00	1.61	0.00	1.61	88.0	1.42
	ACCOUNT #: LSR011538	BUY 1 Units @0.0000						
/ /	***-**-09IO - BATES, FBO MRS	MFS GLOBAL TOTAL RETURN FUND-C	0.00	18.53	0.00	18.53	88.0	16.31
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	MFS GLOBAL TOTAL RETURN FUND-C	0.00	14.28	0.00	14.28	88.0	12.57
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-376I - BERBEN, GAIL	MFS GLOBAL TOTAL RETURN FUND-C	0.00	11.27	0.00	11.27	88.0	9.92
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
/ /	***-**-45IO - JOHNSON, GREGORY	MFS GLOBAL TOTAL RETURN FUND-C	0.00	9.74	0.00	9.74	88.0	8.57
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
/ /	***-**-13IN - ROTH, KAREN	MFS GOVERNMENT SECURITIES FUND-A	0.00	0.69	0.00	0.69	88.0	0.61
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
/ /	***-**-254I - AUGUSTINE, LINDA	MFS GOVERNMENT SECURITIES FUND-B	0.00	1.67	0.00	1.67	88.0	1.47
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
/ /	***-**-111J - NESSIA, JAMES	MFS GOVERNMENT SECURITIES FUND-B	0.00	0.55	0.00	0.55	88.0	0.48
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
/ /	***-**-13IN - ROTH, KAREN	MFS GOVERNMENT SECURITIES FUND-B	0.00	0.10	0.00	0.10	88.0	0.09
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
/ /	***-**-44IO - MAYO, DEBORAH	MFS HIGH INCOME CLASS C	0.00	17.49	0.00	17.49	88.0	15.39
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
/ /	***-**-13IN - ROTH, KAREN	MFS HIGH INCOME FUND-A	0.00	0.63	0.00	0.63	88.0	0.55
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
/ /	***-**-61IN - EVANS, DOLORES	MFS HIGH INCOME FUND-B	0.00	0.27	0.00	0.27	88.0	0.24
	ACCOUNT #: LSR011023	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-13IN - ROTH, KAREN	MFS HIGH INCOME FUND-B	0.00	0.19	0.00	0.19	88.0	0.17
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
/ /	***-**-881I - EBERLEY, SUSAN	MFS HIGH YIELD OPPORTUNITIES FUND-B	0.00	0.68	0.00	0.68	88.0	0.60
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
/ /	***-**-13IN - ROTH, KAREN	MFS HIGH YIELD OPPORTUNITIES FUND-B	0.00	0.22	0.00	0.22	88.0	0.19
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
/ /	***-**-73TR - WESTCOTT IRRVOC	MFS HIGH YIELD OPPORTUNITIES FUND-C	0.00	7.98	0.00	7.98	88.0	7.02
	ACCOUNT #: LSR011001	BUY 1 Units @0.0000						
/ /	***-**-73TR - WESTCOTT IRRVOC	MFS HIGH YIELD OPPORTUNITIES FUND-C	0.00	9.23	0.00	9.23	88.0	8.12
	ACCOUNT #: LSR011008	BUY 1 Units @0.0000						
/ /	***-**-13IN - ROTH, KAREN	MFS HIGH-YIELD OPPORTUNITIES FUND C	0.00	0.63	0.00	0.63	88.0	0.55
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
/ /	***-**-881J - EBERLEY, SUSAN	MFS INFLATION ADJUSTED BOND CL B	0.00	0.40	0.00	0.40	88.0	0.35
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
/ /	***-**-949I - ACEE, JOSPEH	MFS INFLATION ADJUSTED BOND CL B	0.00	0.49	0.00	0.49	88.0	0.43
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
/ /	***-**-31IO - HARTZ, CLAUDIA	MFS INFLATION ADJUSTED BOND CL B	0.00	0.48	0.00	0.48	88.0	0.42
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***-**-15IN - PENDRAK, JOHN	MFS INFLATION ADJUSTED BOND CL B	0.00	0.85	0.00	0.85	88.0	0.75
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
/ /	***-**-960J - MASTRANGELO,	MFS INFLATION ADJUSTED BOND CL B	0.00	0.29	0.00	0.29	88.0	0.26
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
/ /	***-**-366I - GRUENEWALD,	MFS INFLATION ADJUSTED BOND CL B	0.00	0.36	0.00	0.36	88.0	0.32
	ACCOUNT #: LSR010989	BUY 1 Units @0.0000						
/ /	***-**-761I - EVANS, DOLORES	MFS INFLATION ADJUSTED BOND CL B	0.00	1.22	0.00	1.22	88.0	1.07
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
/ /	***-**-63TR - EVANS, AUDREY J	MFS INFLATION ADJUSTED BOND CL B	0.00	0.32	0.00	0.32	88.0	0.28
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
/ /	***-**-580X - COBB, JAMES	MFS INFLATION ADJUSTED BOND CL B	0.00	0.61	0.00	0.61	88.0	0.54
	ACCOUNT #: LSR011040	BUY 1 Units @0.0000						
/ /	***-**-111J - NESSIA, JAMES	MFS INFLATION ADJUSTED BOND CL B	0.00	0.34	0.00	0.34	88.0	0.30
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-326J - GRANDE, MR	MFS INFLATION ADJUSTED BOND CL B	0.00	0.62	0.00	0.62	88.0	0.55
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
/ /	***-**-76IO - MCALLISTER, FBO	MFS INFLATION ADJUSTED BOND CL B	0.00	0.35	0.00	0.35	88.0	0.31
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
/ /	***-**-506J - CURRIERE JR, MR	MFS INFLATION ADJUSTED BOND CL B	0.00	0.18	0.00	0.18	88.0	0.16
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
/ /	***-**-48IO - ANACKER, FBO MRS	MFS INFLATION ADJUSTED BOND CL B	0.00	0.84	0.00	0.84	88.0	0.74
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
/ /	***-**-31IO - WILLIAMSON, FBO	MFS INFLATION ADJUSTED BOND CL B	0.00	0.62	0.00	0.62	88.0	0.55
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
/ /	***-**-64IO - PFAFF, GEORGE	MFS INFLATION ADJUSTED BOND CL B	0.00	0.49	0.00	0.49	88.0	0.43
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
/ /	***-**-62IR - ROBBIANO, FBO	MFS INFLATION ADJUSTED BOND CL B	0.00	0.25	0.00	0.25	88.0	0.22
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
/ /	***-**-382I - SATTERLY, NEIL	MFS INFLATION ADJUSTED BOND CL C	0.00	9.85	0.00	9.85	88.0	8.67
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
/ /	***-**-031O - MCVOY, ROBERT	MFS INFLATION ADJUSTED BOND CL C	0.00	2.79	0.00	2.79	88.0	2.46
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
/ /	***-**-44IO - MAYO, DEBORAH	MFS INFLATION ADJUSTED BOND CL C	0.00	21.47	0.00	21.47	88.0	18.89
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
/ /	***-**-531J - WILLIAMSON,	MFS INFLATION ADJUSTED BOND CL C	0.00	6.64	0.00	6.64	88.0	5.84
	ACCOUNT #: LSR011716	BUY 1 Units @0.0000						
/ /	***-**-861I - SANGER, LAWRENCE	MFS INFLATION ADJUSTED BOND FUND-A	0.00	0.68	0.00	0.68	88.0	0.60
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
/ /	***-**-065I - LAZIO, THOMAS	MFS INTERNATIONAL NEW DISCOVERY CL	0.00	5.90	0.00	5.90	88.0	5.19
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
/ /	***-**-254I - AUGUSTINE, LINDA	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.02	0.00	1.02	88.0	0.90
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
/ /	***-**-959I - FRANZ, PAUL	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.38	0.00	1.38	88.0	1.21
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
/ /	***-**-960J - MASTRANGELO,	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	1.38	0.00	1.38	88.0	1.21
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: KURT GRUENEWALD (continued) </u>								
/ /	***-**-761I - EVANS, DOLORES	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	3.25	0.00	3.25	88.0	2.86
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
/ /	***-**-944O - MAYO, DEBORAH	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.67	0.00	0.67	88.0	0.59
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
/ /	***-**-13IN - RHYMESTINE,	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.78	0.00	0.78	88.0	0.69
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
/ /	***-**-31IO - WILLIAMSON, FBO	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	2.20	0.00	2.20	88.0	1.94
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
/ /	***-**-03IO - MASTRANGELO,	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	2.29	0.00	2.29	88.0	2.02
	ACCOUNT #: LSR011679	BUY 1 Units @0.0000						
/ /	***-**-930I - SANGER, KEVIN	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.87	0.00	0.87	88.0	0.77
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
/ /	***-**-959I - FRANZ, PAUL	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.57	0.00	0.57	88.0	0.50
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
/ /	***-**-861I - SANGER, LAWRENCE	MFS INTERNATIONAL NEW DISCOVERY FUN	0.00	0.83	0.00	0.83	88.0	0.73
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
/ /	***-**-51IO - CAIN, TIMOTHY	MFS INTERNATIONAL VALUE FUND-B	0.00	0.96	0.00	0.96	88.0	0.84
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
/ /	***-**-861I - SANGER, LAWRENCE	MFS LIFETIME INCOME CLASS A	0.00	1.16	0.00	1.16	88.0	1.02
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
/ /	***-**-959J - FRANZ, PAUL	MFS MODERATE ALLOCATION CL C	0.00	14.66	0.00	14.66	88.0	12.90
	ACCOUNT #: LSR010958	BUY 1 Units @0.0000						
/ /	***-**-86IN - LEE, KEVIN	MFS MODERATE ALLOCATION CL C	0.00	25.22	0.00	25.22	88.0	22.19
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
/ /	***-**-960J - MASTRANGELO,	MFS NEW DISCOVERY FUND-B	0.00	2.68	0.00	2.68	88.0	2.36
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
/ /	***-**-31IO - WILLIAMSON, FBO	MFS NEW DISCOVERY FUND-B	0.00	1.62	0.00	1.62	88.0	1.43
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
/ /	***-**-978I - GUNN, KATHLEEN	MFS NEW DISCOVERY FUND-B	0.00	0.69	0.00	0.69	88.0	0.61
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
/ /	***-**-45IO - WILLIAMSON,	MFS NEW DISCOVERY FUND-B	0.00	0.91	0.00	0.91	88.0	0.80
	ACCOUNT #: LSR011756	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: KURT GRUENEWALD (continued) </u>								
/ /	***-**-197I - GAMBINO, ANDREW	MFS NEW DISCOVERY VALUE FUND CL B	0.00	0.29	0.00	0.29	88.0	0.26
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						
/ /	***-**-53IN - EVANS, ANDREW	MFS NEW DISCOVERY VALUE FUND CL B	0.00	0.76	0.00	0.76	88.0	0.67
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
/ /	***-**-24IN - BLISS, RYAN	MFS NEW DISCOVERY VALUE FUND CL B	0.00	0.36	0.00	0.36	88.0	0.32
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
/ /	***-**-38IO - BROWN, TYLER	MFS NEW DISCOVERY VALUE FUND CL B	0.00	0.79	0.00	0.79	88.0	0.70
	ACCOUNT #: LSR011602	BUY 1 Units @0.0000						
/ /	***-**-36IR - SANGER, BETH	MFS NEW DISCOVERY VALUE FUND CL B	0.00	0.26	0.00	0.26	88.0	0.23
	ACCOUNT #: LSR011757	BUY 1 Units @0.0000						
/ /	***-**-382I - SATTERLY, NEIL	MFS NEW DISCOVERY VALUE FUND CL C	0.00	11.88	0.00	11.88	88.0	10.45
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
/ /	***-**-44IO - MAYO, DEBORAH	MFS NEW DISCOVERY VALUE FUND CL C	0.00	14.59	0.00	14.59	88.0	12.84
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
/ /	***-**-63TR - EVANS, AUDREY J	MFS NEW YORK MUNICIPAL BOND FUND-B	0.00	1.66	0.00	1.66	88.0	1.46
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
/ /	***-**-111J - NESSIA, JAMES	MFS NEW YORK MUNICIPAL BOND FUND-B	0.00	0.51	0.00	0.51	88.0	0.45
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
/ /	***-**-959I - FRANZ, PAUL	MFS RESEARCH BOND FUND CLASS A	0.00	0.24	0.00	0.24	88.0	0.21
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
/ /	***-**-111J - NESSIA, JAMES	MFS RESEARCH BOND FUND CLASS A	0.00	0.38	0.00	0.38	88.0	0.33
	ACCOUNT #: LSR011054	BUY 1 Units @0.0000						
/ /	***-**-861I - SANGER, LAWRENCE	MFS RESEARCH BOND FUND CLASS A	0.00	0.40	0.00	0.40	88.0	0.35
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
/ /	***-**-02IN - BUSHEY, MELISSA	MFS RESEARCH BOND FUND CLASS A	0.00	0.22	0.00	0.22	88.0	0.19
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
/ /	***-**-62IN - EATON, DAVID	MFS RESEARCH BOND FUND CLASS A	0.00	0.58	0.00	0.58	88.0	0.51
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
/ /	***-**-254I - AUGUSTINE, LINDA	MFS RESEARCH BOND FUND-B	0.00	0.58	0.00	0.58	88.0	0.51
	ACCOUNT #: LSR010846	BUY 1 Units @0.0000						
/ /	***-**-959I - FRANZ, PAUL	MFS RESEARCH BOND FUND-B	0.00	0.59	0.00	0.59	88.0	0.52
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
OVERWRITE TRANSACTIONS (continued)								
BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-51IO - CAIN, TIMOTHY	MFS RESEARCH BOND FUND-B	0.00	1.34	0.00	1.34	88.0	1.18
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
/ /	***-**-761I - EVANS, DOLORES	MFS RESEARCH BOND FUND-B	0.00	1.33	0.00	1.33	88.0	1.17
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
/ /	***-**-76IO - MCALLISTER, FBO	MFS RESEARCH BOND FUND-B	0.00	0.53	0.00	0.53	88.0	0.47
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
/ /	***-**-367J - WAGNER, MRS LANT	MFS RESEARCH BOND FUND-B	0.00	0.49	0.00	0.49	88.0	0.43
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
/ /	***-**-31IO - WILLIAMSON, FBO	MFS RESEARCH BOND FUND-B	0.00	0.66	0.00	0.66	88.0	0.58
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
/ /	***-**-67IR - FRANZ, ALICE	MFS RESEARCH FUND-B	0.00	1.28	0.00	1.28	88.0	1.13
	ACCOUNT #: LSR011872	BUY 1 Units @0.0000						
/ /	***-**-59IR - FRANZ, PAUL	MFS RESEARCH FUND-B	0.00	1.28	0.00	1.28	88.0	1.13
	ACCOUNT #: LSR011873	BUY 1 Units @0.0000						
/ /	***-**-326J - GRANDE, MR	MFS STRATEGIC INCOME FUND-B	0.00	1.13	0.00	1.13	88.0	0.99
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
/ /	***-**-382I - SATTERLY, NEIL	MFS TECHNOLOGY CLASS C	0.00	11.81	0.00	11.81	88.0	10.39
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
/ /	***-**-48IO - CLARK, PATRICK	MFS TECHNOLOGY CLASS C	0.00	8.54	0.00	8.54	88.0	7.52
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
/ /	***-**-031O - MCVOY, ROBERT	MFS TECHNOLOGY CLASS C	0.00	5.56	0.00	5.56	88.0	4.89
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
/ /	***-**-930I - SANGER, KEVIN	MFS TECHNOLOGY CLASS C	0.00	10.50	0.00	10.50	88.0	9.24
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
/ /	***-**-065I - LAZIO, THOMAS	MFS TECHNOLOGY CLASS C	0.00	6.81	0.00	6.81	88.0	5.99
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
/ /	***-**-00IO - LEE, GARY	MFS TECHNOLOGY CLASS C	0.00	13.56	0.00	13.56	88.0	11.93
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
/ /	***-**-44IO - MAYO, DEBORAH	MFS TECHNOLOGY CLASS C	0.00	15.22	0.00	15.22	88.0	13.39
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
/ /	***-**-09IO - BATES, FBO MRS	MFS TECHNOLOGY CLASS C	0.00	13.38	0.00	13.38	88.0	11.77
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-89IN - LEE, ROGER	MFS TECHNOLOGY CLASS C	0.00	7.24	0.00	7.24	88.0	6.37
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
/ /	***-**-094I - KATRENA L	MFS TECHNOLOGY CLASS C	0.00	7.53	0.00	7.53	88.0	6.63
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
/ /	***-**-06IR - CAMPBELL, PAMELA	MFS TECHNOLOGY CLASS C	0.00	9.40	0.00	9.40	88.0	8.27
	ACCOUNT #: LSR011222	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	MFS TECHNOLOGY CLASS C	0.00	7.77	0.00	7.77	88.0	6.84
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-45IO - JOHNSON, GREGORY	MFS TECHNOLOGY CLASS C	0.00	8.40	0.00	8.40	88.0	7.39
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
/ /	***-**-37IO - MCCLUSKY, MARK	MFS TECHNOLOGY CLASS C	0.00	2.96	0.00	2.96	88.0	2.60
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
/ /	***-**-86IN - LEE, KEVIN	MFS TECHNOLOGY CLASS C	0.00	11.77	0.00	11.77	88.0	10.36
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
/ /	***-**-99IN - EDELMAN, TINA	MFS TECHNOLOGY CLASS C	0.00	0.12	0.00	0.12	88.0	0.11
	ACCOUNT #: LSR011956	BUY 1 Units @0.0000						
/ /	***-**-28IN - BYWATER, KIM	MFS TECHNOLOGY CLASS C	0.00	0.22	0.00	0.22	88.0	0.19
	ACCOUNT #: LSR011995	BUY 1 Units @0.0000						
08/10/2018	***-**-663I - LASCALA, DEBORAH	MFS TECHNOLOGY CLASS C	14,999.99	150.00	0.00	150.00	88.0	132.00
	ACCOUNT #: LSR012790	BUY 391 Units @38.4100						
08/13/2018	***-**-376I - BERBEN, GAIL	MFS TECHNOLOGY CLASS C	4,999.98	50.00	0.00	50.00	88.0	44.00
	ACCOUNT #: LSR011380	BUY 131 Units @38.2900						
/ /	***-**-959I - FRANZ, PAUL	MFS TECHNOLOGY FUND CLASS A	0.00	0.64	0.00	0.64	88.0	0.56
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
/ /	***-**-944O - MAYO, DEBORAH	MFS TECHNOLOGY FUND CLASS A	0.00	1.44	0.00	1.44	88.0	1.27
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
/ /	***-**-808J - GORMAN, RANDY	MFS TECHNOLOGY FUND CLASS A	0.00	0.27	0.00	0.27	88.0	0.24
	ACCOUNT #: LSR011087	BUY 1 Units @0.0000						
/ /	***-**-02IN - BUSHEY, MELISSA	MFS TECHNOLOGY FUND CLASS A	0.00	1.15	0.00	1.15	88.0	1.01
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
/ /	***-**-31IO - HARTZ, CLAUDIA	MFS TECHNOLOGY FUND-B	0.00	1.02	0.00	1.02	88.0	0.90
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***--15IN - PENDRAK, JOHN	MFS TECHNOLOGY FUND-B	0.00	2.66	0.00	2.66	88.0	2.34
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
/ /	***--959I - FRANZ, PAUL	MFS TECHNOLOGY FUND-B	0.00	1.57	0.00	1.57	88.0	1.38
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
/ /	***--169I - EVANS, PAUL	MFS TECHNOLOGY FUND-B	0.00	1.51	0.00	1.51	88.0	1.33
	ACCOUNT #: LSR011026	BUY 1 Units @0.0000						
/ /	***--580X - COBB, JAMES	MFS TECHNOLOGY FUND-B	0.00	1.47	0.00	1.47	88.0	1.29
	ACCOUNT #: LSR011040	BUY 1 Units @0.0000						
/ /	***--579J - RHYMESTINE,	MFS TECHNOLOGY FUND-B	0.00	1.14	0.00	1.14	88.0	1.00
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
/ /	***--579I - RHYMESTINE,	MFS TECHNOLOGY FUND-B	0.00	1.55	0.00	1.55	88.0	1.36
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***--326I - GRANDE, FBO MR	MFS TECHNOLOGY FUND-B	0.00	1.94	0.00	1.94	88.0	1.71
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
/ /	***--992J - CORNACCHIA	MFS TECHNOLOGY FUND-B	0.00	0.49	0.00	0.49	88.0	0.43
	ACCOUNT #: LSR011157	BUY 1 Units @0.0000						
/ /	***--48IO - ANACKER, FBO MRS	MFS TECHNOLOGY FUND-B	0.00	1.01	0.00	1.01	88.0	0.89
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
/ /	***--31IO - WILLIAMSON, FBO	MFS TECHNOLOGY FUND-B	0.00	1.77	0.00	1.77	88.0	1.56
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
/ /	***--664J - PFAFF, GEORGE	MFS TECHNOLOGY FUND-B	0.00	2.14	0.00	2.14	88.0	1.88
	ACCOUNT #: LSR011184	BUY 1 Units @0.0000						
/ /	***--64IO - PFAFF, GEORGE	MFS TECHNOLOGY FUND-B	0.00	1.16	0.00	1.16	88.0	1.02
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
/ /	***--52IO - EUSEPI, SUSAN	MFS TECHNOLOGY FUND-B	0.00	1.54	0.00	1.54	88.0	1.36
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						
/ /	***--37IR - HARTZ, RENEE	MFS TECHNOLOGY FUND-B	0.00	1.73	0.00	1.73	88.0	1.52
	ACCOUNT #: LSR011259	BUY 1 Units @0.0000						
/ /	***--75IR - EVANS, DIANE	MFS TECHNOLOGY FUND-B	0.00	1.64	0.00	1.64	88.0	1.44
	ACCOUNT #: LSR011275	BUY 1 Units @0.0000						
/ /	***--653I - KOCHER, CLINTON	MFS TECHNOLOGY FUND-B	0.00	2.55	0.00	2.55	88.0	2.24
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-680I - HARRELL, DARYL	MFS TECHNOLOGY FUND-B	0.00	1.73	0.00	1.73	88.0	1.52
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
/ /	***-**-978I - GUNN, KATHLEEN	MFS TECHNOLOGY FUND-B	0.00	1.00	0.00	1.00	88.0	0.88
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
/ /	***-**-197I - GAMBINO, ANDREW	MFS TECHNOLOGY FUND-B	0.00	0.54	0.00	0.54	88.0	0.48
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						
/ /	***-**-53IN - EVANS, ANDREW	MFS TECHNOLOGY FUND-B	0.00	0.93	0.00	0.93	88.0	0.82
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
/ /	***-**-274X - DOXTADER,	MFS TECHNOLOGY FUND-B	0.00	0.51	0.00	0.51	88.0	0.45
	ACCOUNT #: LSR011580	BUY 1 Units @0.0000						
/ /	***-**-38IO - BROWN, TYLER	MFS TECHNOLOGY FUND-B	0.00	1.14	0.00	1.14	88.0	1.00
	ACCOUNT #: LSR011602	BUY 1 Units @0.0000						
/ /	***-**-03IO - MASTRANGELO,	MFS TECHNOLOGY FUND-B	0.00	2.12	0.00	2.12	88.0	1.87
	ACCOUNT #: LSR011679	BUY 1 Units @0.0000						
/ /	***-**-45IR - WILLIAMSON,	MFS TECHNOLOGY FUND-B	0.00	2.35	0.00	2.35	88.0	2.07
	ACCOUNT #: LSR011764	BUY 1 Units @0.0000						
/ /	***-**-51IO - SCALISE, MARY	MFS TECHNOLOGY FUND-B	0.00	2.12	0.00	2.12	88.0	1.87
	ACCOUNT #: LSR011837	BUY 1 Units @0.0000						
/ /	***-**-87IO - LASCALA, LAUREN	MFS TECHNOLOGY FUND-B	0.00	0.38	0.00	0.38	88.0	0.33
	ACCOUNT #: LSR011858	BUY 1 Units @0.0000						
/ /	***-**-881J - EBERLEY, SUSAN	MFS TOTAL RETURN FUND-B	0.00	1.40	0.00	1.40	88.0	1.23
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
/ /	***-**-949I - ACEE, JOSPEH	MFS TOTAL RETURN FUND-B	0.00	1.88	0.00	1.88	88.0	1.65
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
/ /	***-**-960J - MASTRANGELO,	MFS TOTAL RETURN FUND-B	0.00	1.91	0.00	1.91	88.0	1.68
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
/ /	***-**-506J - CURRIERE JR, MR	MFS TOTAL RETURN FUND-B	0.00	0.99	0.00	0.99	88.0	0.87
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
/ /	***-**-31IO - WILLIAMSON, FBO	MFS TOTAL RETURN FUND-B	0.00	2.21	0.00	2.21	88.0	1.94
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
/ /	***-**-62IR - ROBBIANO, FBO	MFS TOTAL RETURN FUND-B	0.00	0.89	0.00	0.89	88.0	0.78
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-9440 - MAYO, DEBORAH	MFS UTILITIES FUND-A	0.00	0.91	0.00	0.91	88.0	0.80
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
/ /	***-**-961N - NESSIA, BONNIE	MFS UTILITIES FUND-A	0.00	0.58	0.00	0.58	88.0	0.51
	ACCOUNT #: LSR011055	BUY 1 Units @0.0000						
/ /	***-**-808J - GORMAN, RANDY	MFS UTILITIES FUND-A	0.00	0.60	0.00	0.60	88.0	0.53
	ACCOUNT #: LSR011087	BUY 1 Units @0.0000						
/ /	***-**-311O - HARTZ, CLAUDIA	MFS UTILITIES FUND-B	0.00	1.40	0.00	1.40	88.0	1.23
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***-**-151N - PENDRAK, JOHN	MFS UTILITIES FUND-B	0.00	1.94	0.00	1.94	88.0	1.71
	ACCOUNT #: LSR010948	BUY 1 Units @0.0000						
/ /	***-**-366S - GRUENEWALD,	MFS UTILITIES FUND-B	0.00	0.76	0.00	0.76	88.0	0.67
	ACCOUNT #: LSR010990	BUY 1 Units @0.0000						
/ /	***-**-611N - EVANS, DOLORES	MFS UTILITIES FUND-B	0.00	0.30	0.00	0.30	88.0	0.26
	ACCOUNT #: LSR011023	BUY 1 Units @0.0000						
/ /	***-**-63TR - EVANS, AUDREY J	MFS UTILITIES FUND-B	0.00	1.75	0.00	1.75	88.0	1.54
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
/ /	***-**-306X - COBB, CHERRYL	MFS UTILITIES FUND-B	0.00	2.06	0.00	2.06	88.0	1.81
	ACCOUNT #: LSR011037	BUY 1 Units @0.0000						
/ /	***-**-579I - RHYMESTINE,	MFS UTILITIES FUND-B	0.00	2.14	0.00	2.14	88.0	1.88
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***-**-326J - GRANDE, MR	MFS UTILITIES FUND-B	0.00	0.71	0.00	0.71	88.0	0.62
	ACCOUNT #: LSR011089	BUY 1 Units @0.0000						
/ /	***-**-326I - GRANDE, FBO MR	MFS UTILITIES FUND-B	0.00	1.02	0.00	1.02	88.0	0.90
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
/ /	***-**-131N - RHYMESTINE,	MFS UTILITIES FUND-B	0.00	0.90	0.00	0.90	88.0	0.79
	ACCOUNT #: LSR011106	BUY 1 Units @0.0000						
/ /	***-**-761O - MCALLISTER, FBO	MFS UTILITIES FUND-B	0.00	0.84	0.00	0.84	88.0	0.74
	ACCOUNT #: LSR011107	BUY 1 Units @0.0000						
/ /	***-**-367J - WAGNER, MRS LANT	MFS UTILITIES FUND-B	0.00	1.72	0.00	1.72	88.0	1.51
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
/ /	***-**-311O - WILLIAMSON, FBO	MFS UTILITIES FUND-B	0.00	1.38	0.00	1.38	88.0	1.21
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-64IO - PFAFF, GEORGE	MFS UTILITIES FUND-B	0.00	1.75	0.00	1.75	88.0	1.54
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
/ /	***-**-653I - KOCHEM, CLINTON	MFS UTILITIES FUND-B	0.00	1.48	0.00	1.48	88.0	1.30
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
/ /	***-**-62IN - EATON, DAVID	MFS UTILITIES FUND-B	0.00	1.38	0.00	1.38	88.0	1.21
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						
/ /	***-**-978I - GUNN, KATHLEEN	MFS UTILITIES FUND-B	0.00	0.42	0.00	0.42	88.0	0.37
	ACCOUNT #: LSR011345	BUY 1 Units @0.0000						
/ /	***-**-21IO - RADELL, SANDRA	MFS UTILITIES FUND-B	0.00	1.70	0.00	1.70	88.0	1.50
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
/ /	***-**-41IR - DINITTO, ALLISYN	MFS UTILITIES FUND-B	0.00	0.48	0.00	0.48	88.0	0.42
	ACCOUNT #: LSR011443	BUY 1 Units @0.0000						
/ /	***-**-197I - GAMBINO, ANDREW	MFS UTILITIES FUND-B	0.00	0.13	0.00	0.13	88.0	0.11
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						
/ /	***-**-53IN - EVANS, ANDREW	MFS UTILITIES FUND-B	0.00	0.61	0.00	0.61	88.0	0.54
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
/ /	***-**-24IN - BLISS, RYAN	MFS UTILITIES FUND-B	0.00	0.12	0.00	0.12	88.0	0.11
	ACCOUNT #: LSR011502	BUY 1 Units @0.0000						
/ /	***-**-51IN - GERACE, JOANNE	MFS UTILITIES FUND-B	0.00	1.58	0.00	1.58	88.0	1.39
	ACCOUNT #: LSR011538	BUY 1 Units @0.0000						
/ /	***-**-38IO - BROWN, TYLER	MFS UTILITIES FUND-B	0.00	0.61	0.00	0.61	88.0	0.54
	ACCOUNT #: LSR011602	BUY 1 Units @0.0000						
/ /	***-**-45IO - WILLIAMSON,	MFS UTILITIES FUND-B	0.00	0.59	0.00	0.59	88.0	0.52
	ACCOUNT #: LSR011756	BUY 1 Units @0.0000						
/ /	***-**-235I - AUGUSTINE III,	MFS UTILITIES FUND-C	0.00	9.37	0.00	9.37	88.0	8.25
	ACCOUNT #: LSR010845	BUY 1 Units @0.0000						
/ /	***-**-980I - ACEE, ELIZABETH	MFS UTILITIES FUND-C	0.00	11.17	0.00	11.17	88.0	9.83
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						
/ /	***-**-48IO - CLARK, PATRICK	MFS UTILITIES FUND-C	0.00	9.45	0.00	9.45	88.0	8.32
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
/ /	***-**-031O - MCVOY, ROBERT	MFS UTILITIES FUND-C	0.00	4.76	0.00	4.76	88.0	4.19
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-065I - LAZIO, THOMAS	MFS UTILITIES FUND-C	0.00	11.11	0.00	11.11	88.0	9.78
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
/ /	***-**-00IO - LEE, GARY	MFS UTILITIES FUND-C	0.00	11.09	0.00	11.09	88.0	9.76
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
/ /	***-**-09IO - BATES, FBO MRS	MFS UTILITIES FUND-C	0.00	11.65	0.00	11.65	88.0	10.25
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
/ /	***-**-89IN - LEE, ROGER	MFS UTILITIES FUND-C	0.00	6.95	0.00	6.95	88.0	6.12
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
/ /	***-**-81IR - CAMPBELL,	MFS UTILITIES FUND-C	0.00	7.25	0.00	7.25	88.0	6.38
	ACCOUNT #: LSR011223	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	MFS UTILITIES FUND-C	0.00	9.71	0.00	9.71	88.0	8.54
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-37IR - HARTZ, RENEE	MFS UTILITIES FUND-C	0.00	0.08	0.00	0.08	88.0	0.07
	ACCOUNT #: LSR011259	BUY 1 Units @0.0000						
/ /	***-**-80IO - EVANS, RICHARD	MFS UTILITIES FUND-C	0.00	7.73	0.00	7.73	88.0	6.80
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
/ /	***-**-376I - BERBEN, GAIL	MFS UTILITIES FUND-C	0.00	8.41	0.00	8.41	88.0	7.40
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
/ /	***-**-45IO - JOHNSON, GREGORY	MFS UTILITIES FUND-C	0.00	8.98	0.00	8.98	88.0	7.90
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
/ /	***-**-14IO - BUSHEY, MATTHEW	MFS UTILITIES FUND-C	0.00	9.86	0.00	9.86	88.0	8.68
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
/ /	***-**-22IO - CLINTON, STEPHEN	MFS UTILITIES FUND-C	0.00	0.03	0.00	0.03	88.0	0.03
	ACCOUNT #: LSR011684	BUY 1 Units @0.0000						
/ /	***-**-714O - LINES, JUDITH	MFS UTILITIES FUND-C	0.00	0.01	0.00	0.01	88.0	0.01
	ACCOUNT #: LSR011763	BUY 1 Units @0.0000						
/ /	***-**-35IN - RUGGIERO, LAURIE	MFS UTILITIES FUND-C	0.00	0.04	0.00	0.04	88.0	0.04
	ACCOUNT #: LSR012314	BUY 1 Units @0.0000						
/ /	***-**-31IO - JOSEPH, ANN	MFS UTILITIES FUND-C	0.00	0.02	0.00	0.02	88.0	0.02
	ACCOUNT #: LSR012337	BUY 1 Units @0.0000						
/ /	***-**-726I - YADDAW, DEBRA	MFS UTILITIES FUND-C	0.00	0.01	0.00	0.01	88.0	0.01
	ACCOUNT #: LSR012338	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-452I - ROBERTS, LILLIAN	MFS UTILITIES FUND-C	0.00	0.02	0.00	0.02	88.0	0.02
	ACCOUNT #: LSR012350	BUY 1 Units @0.0000						
/ /	***-**-851J - BLAKE, JAMES	MFS UTILITIES FUND-C	0.00	0.03	0.00	0.03	88.0	0.03
	ACCOUNT #: LSR012393	BUY 1 Units @0.0000						
/ /	***-**-188I - BRADLEY, WAYNE	MFS UTILITIES FUND-C	0.00	0.02	0.00	0.02	88.0	0.02
	ACCOUNT #: LSR012484	BUY 1 Units @0.0000						
/ /	***-**-555J - WHITTON, CHERYL	MFS UTILITIES FUND-C	0.00	0.01	0.00	0.01	88.0	0.01
	ACCOUNT #: LSR012715	BUY 1 Units @0.0000						
/ /	***-**-13IN - ROTH, KAREN	MFS VALUE FUND-B	0.00	1.03	0.00	1.03	88.0	0.91
	ACCOUNT #: LSR011954	BUY 1 Units @0.0000						
08/15/2018	***-**-2663 - LASCALA, DEBORAH	NATIONAL GRID SPON ADR EACH REP 5 O	3,696.00	17.72	0.00	17.72	88.0	15.59
	ACCOUNT #: LSR012791	BUY 70 Units @52.8000						
08/15/2018	***-**-2663 - LASCALA, DEBORAH	NATIONAL GRID SPON ADR EACH REP 5 O	6,864.00	60.77	0.00	60.77	88.0	53.48
	ACCOUNT #: LSR012791	BUY 130 Units @52.8000						
/ /	***-**-20IO - DEMETRI, AMELIA	NEW PERSPECTIVE FUND-A	0.00	1.92	0.00	1.92	88.0	1.69
	ACCOUNT #: LSR010995	BUY 1 Units @0.0000						
/ /	***-**-702I - KOHEM, MICHAEL	NEW WORLD FUND-A	0.00	2.74	0.00	2.74	88.0	2.41
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
/ /	***-**-326I - GRANDE, FBO MR	NEW WORLD FUND-A	0.00	2.49	0.00	2.49	88.0	2.19
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
/ /	***-**-680I - HARRELL, DARYL	NEW WORLD FUND-A	0.00	0.59	0.00	0.59	88.0	0.52
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
/ /	***-**-128I - BASHANT, THOMAS	NEW WORLD FUND-A	0.00	0.91	0.00	0.91	88.0	0.80
	ACCOUNT #: LSR011387	BUY 1 Units @0.0000						
/ /	***-**-30TR - AND SANDRA	NEW WORLD FUND-A	0.00	2.05	0.00	2.05	88.0	1.80
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
08/13/2018	***-**-79IR - RICHARD R.	NORDIC AMERICAN TANKERS LIMITE COM	3,480.00	12.49	0.00	12.49	88.0	10.99
	ACCOUNT #: LSR011240	BUY 1500 Units @2.3200						
08/08/2018	***-**-775I - BLISS, APRIL	OPPENHEIMER ACTIVE ALLOCATION FUND	90.98	0.91	0.00	0.91	88.0	0.80
	ACCOUNT #: 05521022692	BUY 0 Units @0.0000						
08/13/2018	***-**-13IN - BLISS, PATRICK	OPPENHEIMER ACTIVE ALLOCATION FUND	75.00	0.75	0.00	0.75	88.0	0.66
	ACCOUNT #: 05521022681	BUY 0 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 <u>BROKER: KURT GRUENEWALD (continued)</u>								
08/16/2018	***-**-213I - GRUENEWALD, ACCOUNT #: VP71028580	PACIFIC LIFE SE5-NYB DTCC BUY 0 Units @0.0000	170.02	5.10	0.00	5.10	88.0	4.49
08/13/2018	***-**-43IN - FRANZ, WAYNE ACCOUNT #: LSR012636	PHILIP MORRIS INTL INC COM SOLICITE SELL 100 Units @81.9600	8,196.00	70.49	0.00	70.49	88.0	62.03
08/13/2018	***-**-43IN - FRANZ, WAYNE ACCOUNT #: LSR012636	PRUDENTIAL FINL INC UNSOLICITED ORD SELL 46 Units @96.5600	4,441.76	61.57	0.00	61.57	88.0	54.18
/ /	***-**-930I - SANGER, KEVIN ACCOUNT #: LSR010941	PUTNAM UTILITIES GROWTH AND INCOME BUY 1 Units @0.0000	0.00	1.02	0.00	1.02	88.0	0.90
/ /	***-**-959I - FRANZ, PAUL ACCOUNT #: LSR010959	PUTNAM UTILITIES GROWTH AND INCOME BUY 1 Units @0.0000	0.00	1.78	0.00	1.78	88.0	1.57
/ /	***-**-96IN - NESSIA, BONNIE ACCOUNT #: LSR011055	PUTNAM UTILITIES GROWTH AND INCOME BUY 1 Units @0.0000	0.00	1.64	0.00	1.64	88.0	1.44
/ /	***-**-579I - RHYMESTINE, ACCOUNT #: LSR011082	PUTNAM UTILITIES GROWTH AND INCOME BUY 1 Units @0.0000	0.00	1.47	0.00	1.47	88.0	1.29
/ /	***-**-861I - SANGER, LAWRENCE ACCOUNT #: LSR011095	PUTNAM UTILITIES GROWTH AND INCOME BUY 1 Units @0.0000	0.00	1.48	0.00	1.48	88.0	1.30
/ /	***-**-02IN - BUSHEY, MELISSA ACCOUNT #: LSR011257	PUTNAM UTILITIES GROWTH AND INCOME BUY 1 Units @0.0000	0.00	0.50	0.00	0.50	88.0	0.44
/ /	***-**-22IO - CLINTON, STEPHEN ACCOUNT #: LSR011684	PUTNAM 746792100 BUY 1 Units @0.0000	0.00	0.69	0.00	0.69	88.0	0.61
/ /	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	PUTNAM ABSOLUTE RETURN 700 CL B BUY 1 Units @0.0000	0.00	2.36	0.00	2.36	88.0	2.08
/ /	***-**-169I - EVANS, PAUL ACCOUNT #: LSR011026	PUTNAM ABSOLUTE RETURN 700 CL B BUY 1 Units @0.0000	0.00	1.22	0.00	1.22	88.0	1.07
/ /	***-**-62IR - ROBBIANO, FBO ACCOUNT #: LSR011195	PUTNAM ABSOLUTE RETURN 700 CL B BUY 1 Units @0.0000	0.00	0.71	0.00	0.71	88.0	0.62
/ /	***-**-52IO - EUSEPI, SUSAN ACCOUNT #: LSR011219	PUTNAM ABSOLUTE RETURN 700 CL B BUY 1 Units @0.0000	0.00	1.12	0.00	1.12	88.0	0.99
/ /	***-**-51IN - GERACE, JOANNE ACCOUNT #: LSR011538	PUTNAM ABSOLUTE RETURN 700 CL B BUY 1 Units @0.0000	0.00	1.10	0.00	1.10	88.0	0.97
/ /	***-**-235I - AUGUSTINE III, ACCOUNT #: LSR010845	PUTNAM ABSOLUTE RETURN 700 CL C BUY 1 Units @0.0000	0.00	4.34	0.00	4.34	88.0	3.82



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-382I - SATTERLY, NEIL	PUTNAM ABSOLUTE RETURN 700 CL C	0.00	14.62	0.00	14.62	88.0	12.87
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
/ /	***-**-48IO - CLARK, PATRICK	PUTNAM ABSOLUTE RETURN 700 CL C	0.00	9.35	0.00	9.35	88.0	8.23
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
/ /	***-**-72IN - GAVALO, JULIE	PUTNAM ABSOLUTE RETURN 700 CL C	0.00	9.28	0.00	9.28	88.0	8.17
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
/ /	***-**-065I - LAZIO, THOMAS	PUTNAM ABSOLUTE RETURN 700 CL C	0.00	7.25	0.00	7.25	88.0	6.38
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
/ /	***-**-09IO - BATES, FBO MRS	PUTNAM ABSOLUTE RETURN 700 CL C	0.00	9.09	0.00	9.09	88.0	8.00
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	PUTNAM ABSOLUTE RETURN 700 CL C	0.00	13.76	0.00	13.76	88.0	12.11
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-80IO - EVANS, RICHARD	PUTNAM ABSOLUTE RETURN 700 CL C	0.00	7.71	0.00	7.71	88.0	6.78
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
/ /	***-**-45IO - JOHNSON, GREGORY	PUTNAM ABSOLUTE RETURN 700 CL C	0.00	9.21	0.00	9.21	88.0	8.10
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
/ /	***-**-628I - SANGER, SANDRA	PUTNAM ABSOLUTE RETURN 700-A	0.00	0.77	0.00	0.77	88.0	0.68
	ACCOUNT #: LSR010942	BUY 1 Units @0.0000						
/ /	***-**-944O - MAYO, DEBORAH	PUTNAM ABSOLUTE RETURN 700-A	0.00	1.79	0.00	1.79	88.0	1.58
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
/ /	***-**-63TR - EVANS, AUDREY J	PUTNAM ABSOLUTE RETURN 700-A	0.00	2.32	0.00	2.32	88.0	2.04
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
/ /	***-**-579I - RHYMESTINE,	PUTNAM ABSOLUTE RETURN 700-A	0.00	0.84	0.00	0.84	88.0	0.74
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***-**-861I - SANGER, LAWRENCE	PUTNAM ABSOLUTE RETURN 700-A	0.00	1.14	0.00	1.14	88.0	1.00
	ACCOUNT #: LSR011095	BUY 1 Units @0.0000						
/ /	***-**-02IN - BUSHEY, MELISSA	PUTNAM ABSOLUTE RETURN 700-A	0.00	0.38	0.00	0.38	88.0	0.33
	ACCOUNT #: LSR011257	BUY 1 Units @0.0000						
/ /	***-**-653J - KOICHEM, CLINTON	PUTNAM ABSOLUTE RETURN 700-A	0.00	0.77	0.00	0.77	88.0	0.68
	ACCOUNT #: LSR011298	BUY 1 Units @0.0000						
/ /	***-**-62IN - EATON, DAVID	PUTNAM ABSOLUTE RETURN 700-A	0.00	0.98	0.00	0.98	88.0	0.86
	ACCOUNT #: LSR011315	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-22IO - CLINTON, STEPHEN	PUTNAM ABSOLUTE RETURN 700-A	0.00	0.70	0.00	0.70	88.0	0.62
	ACCOUNT #: LSR011684	BUY 1 Units @0.0000						
/ /	***-**-31IO - HARTZ, CLAUDIA	PUTNAM ASSET ALLOC BALANCED-C	0.00	4.86	0.00	4.86	88.0	4.28
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***-**-31IO - HARTZ, CLAUDIA	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	0.67	0.00	0.67	88.0	0.59
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***-**-930I - SANGER, KEVIN	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	0.93	0.00	0.93	88.0	0.82
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
/ /	***-**-366S - GRUENEWALD,	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	0.81	0.00	0.81	88.0	0.71
	ACCOUNT #: LSR010990	BUY 1 Units @0.0000						
/ /	***-**-51IO - CAIN, TIMOTHY	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	1.54	0.00	1.54	88.0	1.36
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
/ /	***-**-579I - RHYMESTINE,	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	1.40	0.00	1.40	88.0	1.23
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***-**-73UT - COBB, CHRSTIAN	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	1.53	0.00	1.53	88.0	1.35
	ACCOUNT #: LSR011104	BUY 1 Units @0.0000						
/ /	***-**-67UT - COBB,	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	1.53	0.00	1.53	88.0	1.35
	ACCOUNT #: LSR011105	BUY 1 Units @0.0000						
/ /	***-**-992J - CORNACCHIA	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	0.47	0.00	0.47	88.0	0.41
	ACCOUNT #: LSR011157	BUY 1 Units @0.0000						
/ /	***-**-66IO - RUDD, MICHAEL	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	0.16	0.00	0.16	88.0	0.14
	ACCOUNT #: LSR011268	BUY 1 Units @0.0000						
/ /	***-**-21IO - RADELL, SANDRA	PUTNAM CAPITAL SPECTRUM FD CL B	0.00	1.54	0.00	1.54	88.0	1.36
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
/ /	***-**-22IO - CLINTON, STEPHEN	PUTNAM CAPITAL SPECTRUM FUND CL A	0.00	0.76	0.00	0.76	88.0	0.67
	ACCOUNT #: LSR011684	BUY 1 Units @0.0000						
/ /	***-**-382I - SATTERLY, NEIL	PUTNAM CAPITAL SPECTRUM FUND CL C	0.00	7.43	0.00	7.43	88.0	6.54
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
/ /	***-**-980I - ACEE, ELIZABETH	PUTNAM CAPITAL SPECTRUM FUND CL C	0.00	11.82	0.00	11.82	88.0	10.40
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						
/ /	***-**-44IO - MAYO, DEBORAH	PUTNAM CAPITAL SPECTRUM FUND CL C	0.00	7.93	0.00	7.93	88.0	6.98
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
OVERWRITE TRANSACTIONS (continued)								
BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-09IO - BATES, FBO MRS	PUTNAM CAPITAL SPECTRUM FUND CL C	0.00	16.93	0.00	16.93	88.0	14.90
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
/ /	***-**-094I - KATRENA L	PUTNAM CAPITAL SPECTRUM FUND CL C	0.00	6.64	0.00	6.64	88.0	5.84
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	PUTNAM CAPITAL SPECTRUM FUND CL C	0.00	16.10	0.00	16.10	88.0	14.17
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-45IO - JOHNSON, GREGORY	PUTNAM CAPITAL SPECTRUM FUND CL C	0.00	9.69	0.00	9.69	88.0	8.53
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
/ /	***-**-235I - AUGUSTINE III,	PUTNAM COMP	0.00	6.02	0.00	6.02	88.0	5.30
	ACCOUNT #: LSR010845	BUY 1 Units @0.0000						
/ /	***-**-881I - EBERLEY, SUSAN	PUTNAM COMP	0.00	5.15	0.00	5.15	88.0	4.53
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
/ /	***-**-48IO - CLARK, PATRICK	PUTNAM COMP	0.00	8.27	0.00	8.27	88.0	7.28
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
/ /	***-**-72IN - GAVALO, JULIE	PUTNAM COMP	0.00	7.13	0.00	7.13	88.0	6.27
	ACCOUNT #: LSR010921	BUY 1 Units @0.0000						
/ /	***-**-959J - FRANZ, PAUL	PUTNAM COMP	0.00	10.74	0.00	10.74	88.0	9.45
	ACCOUNT #: LSR010958	BUY 1 Units @0.0000						
/ /	***-**-065I - LAZIO, THOMAS	PUTNAM COMP	0.00	6.12	0.00	6.12	88.0	5.39
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
/ /	***-**-420T - DEMETRI, AMELIA	PUTNAM COMP	0.00	5.46	0.00	5.46	88.0	4.80
	ACCOUNT #: LSR010994	BUY 1 Units @0.0000						
/ /	***-**-169I - EVANS, PAUL	PUTNAM COMP	0.00	4.38	0.00	4.38	88.0	3.85
	ACCOUNT #: LSR011026	BUY 1 Units @0.0000						
/ /	***-**-80IN - COBB, JAMES	PUTNAM COMP	0.00	7.91	0.00	7.91	88.0	6.96
	ACCOUNT #: LSR011042	BUY 1 Units @0.0000						
/ /	***-**-00IO - LEE, GARY	PUTNAM COMP	0.00	9.71	0.00	9.71	88.0	8.54
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
/ /	***-**-09IO - BATES, FBO MRS	PUTNAM COMP	0.00	9.71	0.00	9.71	88.0	8.54
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
/ /	***-**-89IN - LEE, ROGER	PUTNAM COMP	0.00	5.44	0.00	5.44	88.0	4.79
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***--094I - KATRENA L	PUTNAM COMP	0.00	7.61	0.00	7.61	88.0	6.70
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
/ /	***--11IO - LYNN G.	PUTNAM COMP	0.00	10.05	0.00	10.05	88.0	8.84
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***--80IO - EVANS, RICHARD	PUTNAM COMP	0.00	4.97	0.00	4.97	88.0	4.37
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
/ /	***--376I - BERBEN, GAIL	PUTNAM COMP	0.00	8.15	0.00	8.15	88.0	7.17
	ACCOUNT #: LSR011380	BUY 1 Units @0.0000						
/ /	***--45IO - JOHNSON, GREGORY	PUTNAM COMP	0.00	13.12	0.00	13.12	88.0	11.55
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
/ /	***--14IO - BUSHEY, MATTHEW	PUTNAM COMP	0.00	9.90	0.00	9.90	88.0	8.71
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
/ /	***--37IO - MCCLUSKY, MARK	PUTNAM COMP	0.00	7.29	0.00	7.29	88.0	6.42
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
/ /	***--86IN - LEE, KEVIN	PUTNAM COMP	0.00	8.25	0.00	8.25	88.0	7.26
	ACCOUNT #: LSR011613	BUY 1 Units @0.0000						
/ /	***--51IO - SCALISE, MARY	PUTNAM COMP	0.00	4.62	0.00	4.62	88.0	4.07
	ACCOUNT #: LSR011837	BUY 1 Units @0.0000						
/ /	***--949I - ACEE, JOSPEH	PUTNAM CONV INCOME-GROWTH-B	0.00	2.35	0.00	2.35	88.0	2.07
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
/ /	***--31IO - HARTZ, CLAUDIA	PUTNAM CONV INCOME-GROWTH-B	0.00	0.69	0.00	0.69	88.0	0.61
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***--567I - FRANZ, ALICE	PUTNAM CONV INCOME-GROWTH-B	0.00	3.04	0.00	3.04	88.0	2.68
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
/ /	***--366S - GRUENEWALD,	PUTNAM CONV INCOME-GROWTH-B	0.00	0.83	0.00	0.83	88.0	0.73
	ACCOUNT #: LSR010990	BUY 1 Units @0.0000						
/ /	***--580X - COBB, JAMES	PUTNAM CONV INCOME-GROWTH-B	0.00	1.66	0.00	1.66	88.0	1.46
	ACCOUNT #: LSR011040	BUY 1 Units @0.0000						
/ /	***--579I - RHYMESTINE,	PUTNAM CONV INCOME-GROWTH-B	0.00	1.15	0.00	1.15	88.0	1.01
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***--506J - CURRIERE JR, MR	PUTNAM CONV INCOME-GROWTH-B	0.00	1.09	0.00	1.09	88.0	0.96
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-48IO - ANACKER, FBO MRS	PUTNAM CONV INCOME-GROWTH-B	0.00	1.22	0.00	1.22	88.0	1.07
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
/ /	***-**-64IO - PFAFF, GEORGE	PUTNAM CONV INCOME-GROWTH-B	0.00	1.44	0.00	1.44	88.0	1.27
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
/ /	***-**-62IR - ROBBIANO, FBO	PUTNAM CONV INCOME-GROWTH-B	0.00	0.73	0.00	0.73	88.0	0.64
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
/ /	***-**-653I - KOCHER, CLINTON	PUTNAM CONV INCOME-GROWTH-B	0.00	2.26	0.00	2.26	88.0	1.99
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
/ /	***-**-00IO - LEE, GARY	PUTNAM CONVERTIBLE SECURITIES CL C	0.00	14.43	0.00	14.43	88.0	12.70
	ACCOUNT #: LSR011059	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	PUTNAM CONVERTIBLE SECURITIES CL C	0.00	16.35	0.00	16.35	88.0	14.39
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-132O - EVANS, THOMAS	PUTNAM DIVERSIFIED INCOME CLASS C	0.00	7.47	0.00	7.47	88.0	6.57
	ACCOUNT #: LSR011074	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	PUTNAM DIVERSIFIED INCOME CLASS C	0.00	14.87	0.00	14.87	88.0	13.09
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-881J - EBERLEY, SUSAN	PUTNAM DIVERSIFIED INCOME-B	0.00	2.30	0.00	2.30	88.0	2.02
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						
/ /	***-**-949I - ACEE, JOSPEH	PUTNAM DIVERSIFIED INCOME-B	0.00	0.89	0.00	0.89	88.0	0.78
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
/ /	***-**-31IO - HARTZ, CLAUDIA	PUTNAM DIVERSIFIED INCOME-B	0.00	0.67	0.00	0.67	88.0	0.59
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***-**-702I - KOCHER, MICHAEL	PUTNAM DIVERSIFIED INCOME-B	0.00	1.93	0.00	1.93	88.0	1.70
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
/ /	***-**-960J - MASTRANGELO,	PUTNAM DIVERSIFIED INCOME-B	0.00	2.10	0.00	2.10	88.0	1.85
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						
/ /	***-**-51IO - CAIN, TIMOTHY	PUTNAM DIVERSIFIED INCOME-B	0.00	1.30	0.00	1.30	88.0	1.14
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
/ /	***-**-761I - EVANS, DOLORES	PUTNAM DIVERSIFIED INCOME-B	0.00	10.16	0.00	10.16	88.0	8.94
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
/ /	***-**-63TR - EVANS, AUDREY J	PUTNAM DIVERSIFIED INCOME-B	0.00	2.60	0.00	2.60	88.0	2.29
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
Override Transactions (continued)								
BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-579I - RHYMESTINE, ACCOUNT #: LSR011082	PUTNAM DIVERSIFIED INCOME-B BUY 1 Units @0.0000	0.00	1.21	0.00	1.21	88.0	1.06
/ /	***-**-861I - SANGER, LAWRENCE ACCOUNT #: LSR011095	PUTNAM DIVERSIFIED INCOME-B BUY 1 Units @0.0000	0.00	1.55	0.00	1.55	88.0	1.36
/ /	***-**-31IO - WILLIAMSON, FBO ACCOUNT #: LSR011172	PUTNAM DIVERSIFIED INCOME-B BUY 1 Units @0.0000	0.00	6.46	0.00	6.46	88.0	5.68
/ /	***-**-680I - HARRELL, DARYL ACCOUNT #: LSR011321	PUTNAM DIVERSIFIED INCOME-B BUY 1 Units @0.0000	0.00	0.70	0.00	0.70	88.0	0.62
/ /	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	PUTNAM EQUITY INCOME FUND-B BUY 1 Units @0.0000	0.00	2.40	0.00	2.40	88.0	2.11
/ /	***-**-664J - PFAFF, GEORGE ACCOUNT #: LSR011184	PUTNAM EQUITY INCOME FUND-B BUY 1 Units @0.0000	0.00	2.60	0.00	2.60	88.0	2.29
/ /	***-**-169I - EVANS, PAUL ACCOUNT #: LSR011026	PUTNAM EQUITY SPECTRUM FD CL B BUY 1 Units @0.0000	0.00	1.04	0.00	1.04	88.0	0.92
/ /	***-**-881J - EBERLEY, SUSAN ACCOUNT #: LSR010856	PUTNAM EQUITY SPECTRUM FD CL C BUY 1 Units @0.0000	0.00	12.06	0.00	12.06	88.0	10.61
/ /	***-**-48IO - CLARK, PATRICK ACCOUNT #: LSR010911	PUTNAM EQUITY SPECTRUM FD CL C BUY 1 Units @0.0000	0.00	25.73	0.00	25.73	88.0	22.64
/ /	***-**-031O - MCVOY, ROBERT ACCOUNT #: LSR010916	PUTNAM EQUITY SPECTRUM FD CL C BUY 1 Units @0.0000	0.00	9.01	0.00	9.01	88.0	7.93
/ /	***-**-72IN - GAVALO, JULIE ACCOUNT #: LSR010921	PUTNAM EQUITY SPECTRUM FD CL C BUY 1 Units @0.0000	0.00	16.98	0.00	16.98	88.0	14.94
/ /	***-**-959I - FRANZ, PAUL ACCOUNT #: LSR010959	PUTNAM EQUITY SPECTRUM FD CL C BUY 1 Units @0.0000	0.00	10.48	0.00	10.48	88.0	9.22
/ /	***-**-065I - LAZIO, THOMAS ACCOUNT #: LSR010979	PUTNAM EQUITY SPECTRUM FD CL C BUY 1 Units @0.0000	0.00	6.91	0.00	6.91	88.0	6.08
/ /	***-**-73TR - WESTCOTT IRRVOC ACCOUNT #: LSR011001	PUTNAM EQUITY SPECTRUM FD CL C BUY 1 Units @0.0000	0.00	7.32	0.00	7.32	88.0	6.44
/ /	***-**-80IN - COBB, JAMES ACCOUNT #: LSR011042	PUTNAM EQUITY SPECTRUM FD CL C BUY 1 Units @0.0000	0.00	8.49	0.00	8.49	88.0	7.47
/ /	***-**-44IO - MAYO, DEBORAH ACCOUNT #: LSR011079	PUTNAM EQUITY SPECTRUM FD CL C BUY 1 Units @0.0000	0.00	8.66	0.00	8.66	88.0	7.62



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-09IO - BATES, FBO MRS	PUTNAM EQUITY SPECTRUM FD CL C	0.00	9.02	0.00	9.02	88.0	7.94
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
/ /	***-**-89IN - LEE, ROGER	PUTNAM EQUITY SPECTRUM FD CL C	0.00	12.79	0.00	12.79	88.0	11.26
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
/ /	***-**-473J - HUSTON,	PUTNAM EQUITY SPECTRUM FD CL C	0.00	9.73	0.00	9.73	88.0	8.56
	ACCOUNT #: LSR011228	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	PUTNAM EQUITY SPECTRUM FD CL C	0.00	9.96	0.00	9.96	88.0	8.76
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-80IO - EVANS, RICHARD	PUTNAM EQUITY SPECTRUM FD CL C	0.00	9.44	0.00	9.44	88.0	8.31
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
/ /	***-**-07IO - FAMOLARO, JAMES	PUTNAM EQUITY SPECTRUM FD CL C	0.00	5.85	0.00	5.85	88.0	5.15
	ACCOUNT #: LSR011280	BUY 1 Units @0.0000						
/ /	***-**-094I - KATRENA L	PUTNAM EUROPE EQUITY CLASS C	0.00	3.35	0.00	3.35	88.0	2.95
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
/ /	***-**-094I - KATRENA L	PUTNAM EUROPE EQUITY FUND-A	0.00	0.29	0.00	0.29	88.0	0.26
	ACCOUNT #: LSR011188	BUY 1 Units @0.0000						
/ /	***-**-808J - GORMAN, RANDY	PUTNAM GLOBAL CONSUMER FD A	0.00	0.31	0.00	0.31	88.0	0.27
	ACCOUNT #: LSR011087	BUY 1 Units @0.0000						
/ /	***-**-949I - ACEE, JOSPEH	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	2.10	0.00	2.10	88.0	1.85
	ACCOUNT #: LSR010908	BUY 1 Units @0.0000						
/ /	***-**-31IO - HARTZ, CLAUDIA	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	0.51	0.00	0.51	88.0	0.45
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***-**-567I - FRANZ, ALICE	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	3.49	0.00	3.49	88.0	3.07
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
/ /	***-**-366I - GRUENEWALD,	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	0.80	0.00	0.80	88.0	0.70
	ACCOUNT #: LSR010989	BUY 1 Units @0.0000						
/ /	***-**-51IO - CAIN, TIMOTHY	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	1.42	0.00	1.42	88.0	1.25
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
/ /	***-**-761I - EVANS, DOLORES	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	2.07	0.00	2.07	88.0	1.82
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
/ /	***-**-944O - MAYO, DEBORAH	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	1.11	0.00	1.11	88.0	0.98
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-63TR - EVANS, AUDREY J	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	1.61	0.00	1.61	88.0	1.42
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
/ /	***-**-306X - COBB, CHERRYL	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	1.30	0.00	1.30	88.0	1.14
	ACCOUNT #: LSR011037	BUY 1 Units @0.0000						
/ /	***-**-579J - RHYMESTINE,	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	1.27	0.00	1.27	88.0	1.12
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
/ /	***-**-326I - GRANDE, FBO MR	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	1.38	0.00	1.38	88.0	1.21
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
/ /	***-**-506J - CURRIERE JR, MR	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	1.56	0.00	1.56	88.0	1.37
	ACCOUNT #: LSR011121	BUY 1 Units @0.0000						
/ /	***-**-367J - WAGNER, MRS LANT	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	2.28	0.00	2.28	88.0	2.01
	ACCOUNT #: LSR011134	BUY 1 Units @0.0000						
/ /	***-**-48IO - ANACKER, FBO MRS	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	1.99	0.00	1.99	88.0	1.75
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
/ /	***-**-64IO - PFAFF, GEORGE	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	1.28	0.00	1.28	88.0	1.13
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
/ /	***-**-62IR - ROBBIANO, FBO	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	1.27	0.00	1.27	88.0	1.12
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
/ /	***-**-66IO - RUDD, MICHAEL	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	0.06	0.00	0.06	88.0	0.05
	ACCOUNT #: LSR011268	BUY 1 Units @0.0000						
/ /	***-**-653I - KOCHEM, CLINTON	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	2.79	0.00	2.79	88.0	2.46
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
/ /	***-**-680I - HARRELL, DARYL	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	1.69	0.00	1.69	88.0	1.49
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
/ /	***-**-21IO - RADELL, SANDRA	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	1.31	0.00	1.31	88.0	1.15
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
/ /	***-**-12IR - GRUENEWALD,	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	0.67	0.00	0.67	88.0	0.59
	ACCOUNT #: LSR011614	BUY 1 Units @0.0000						
/ /	***-**-03IO - MASTRANGELO,	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	2.07	0.00	2.07	88.0	1.82
	ACCOUNT #: LSR011679	BUY 1 Units @0.0000						
/ /	***-**-45IN - WILLIAMSON,	PUTNAM GLOBAL CONSUMER FUND CL B	0.00	0.84	0.00	0.84	88.0	0.74
	ACCOUNT #: LSR011751	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: KURT GRUENEWALD (continued) </u>								
/ /	***--065I - LAZIO, THOMAS	PUTNAM GLOBAL CONSUMER FUND CL C	0.00	5.34	0.00	5.34	88.0	4.70
	ACCOUNT #: LSR010979	BUY 1 Units @0.0000						
/ /	***--44IO - MAYO, DEBORAH	PUTNAM GLOBAL CONSUMER FUND CL C	0.00	12.82	0.00	12.82	88.0	11.28
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
/ /	***--89IN - LEE, ROGER	PUTNAM GLOBAL CONSUMER FUND CL C	0.00	7.89	0.00	7.89	88.0	6.94
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
/ /	***--11IO - LYNN G.	PUTNAM GLOBAL CONSUMER FUND CL C	0.00	10.75	0.00	10.75	88.0	9.46
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***--37IO - MCCLUSKY, MARK	PUTNAM GLOBAL CONSUMER FUND CL C	0.00	7.62	0.00	7.62	88.0	6.71
	ACCOUNT #: LSR011579	BUY 1 Units @0.0000						
/ /	***--51IO - SCALISE, MARY	PUTNAM GLOBAL CONSUMER FUND CL C	0.00	4.79	0.00	4.79	88.0	4.22
	ACCOUNT #: LSR011837	BUY 1 Units @0.0000						
/ /	***--702I - KOCHER, MICHAEL	PUTNAM GLOBAL HEALTH CARE FD-A	0.00	1.50	0.00	1.50	88.0	1.32
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
/ /	***--22IO - CLINTON, STEPHEN	PUTNAM GLOBAL HEALTH CARE FD-A	0.00	1.04	0.00	1.04	88.0	0.92
	ACCOUNT #: LSR011684	BUY 1 Units @0.0000						
/ /	***--579I - RHYMESTINE,	PUTNAM GLOBAL NAT RESOURCES-A	0.00	1.23	0.00	1.23	88.0	1.08
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***--680I - HARRELL, DARYL	PUTNAM GLOBAL NAT RESOURCES-A	0.00	0.12	0.00	0.12	88.0	0.11
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
/ /	***--31IO - HARTZ, CLAUDIA	PUTNAM GLOBAL NAT RESOURCES-B	0.00	0.94	0.00	0.94	88.0	0.83
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***--567I - FRANZ, ALICE	PUTNAM GLOBAL NAT RESOURCES-B	0.00	0.73	0.00	0.73	88.0	0.64
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
/ /	***--664J - PFAFF, GEORGE	PUTNAM GLOBAL NAT RESOURCES-B	0.00	0.65	0.00	0.65	88.0	0.57
	ACCOUNT #: LSR011184	BUY 1 Units @0.0000						
/ /	***--63TR - EVANS, AUDREY J	PUTNAM GLOBAL SECTOR FUND CL A	0.00	2.78	0.00	2.78	88.0	2.45
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
/ /	***--567I - FRANZ, ALICE	PUTNAM GLOBAL TECHNOLOGY CL B	0.00	2.44	0.00	2.44	88.0	2.15
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
/ /	***--944O - MAYO, DEBORAH	PUTNAM GLOBAL TECHNOLOGY CL B	0.00	1.07	0.00	1.07	88.0	0.94
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-63TR - EVANS, AUDREY J	PUTNAM GLOBAL TELECOMMUNICATIONS B	0.00	2.43	0.00	2.43	88.0	2.14
	ACCOUNT #: LSR011036	BUY 1 Units @0.0000						
/ /	***-**-62IR - ROBBIANO, FBO	PUTNAM GLOBAL TELECOMMUNICATIONS B	0.00	0.59	0.00	0.59	88.0	0.52
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
/ /	***-**-382I - SATTERLY, NEIL	PUTNAM GLOBAL UTILITIES CL C	0.00	5.62	0.00	5.62	88.0	4.95
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
/ /	***-**-382I - SATTERLY, NEIL	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	0.13	0.00	0.13	88.0	0.11
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
/ /	***-**-881I - EBERLEY, SUSAN	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	10.18	0.00	10.18	88.0	8.96
	ACCOUNT #: LSR010858	BUY 1 Units @0.0000						
/ /	***-**-382I - SATTERLY, NEIL	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	10.27	0.00	10.27	88.0	9.04
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
/ /	***-**-169I - EVANS, PAUL	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	7.21	0.00	7.21	88.0	6.34
	ACCOUNT #: LSR011026	BUY 1 Units @0.0000						
/ /	***-**-80IN - COBB, JAMES	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	9.21	0.00	9.21	88.0	8.10
	ACCOUNT #: LSR011042	BUY 1 Units @0.0000						
/ /	***-**-14IO - BUSHEY, MATTHEW	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	9.96	0.00	9.96	88.0	8.76
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
/ /	***-**-51IO - CAIN, TIMOTHY	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	2.70	0.00	2.70	88.0	2.38
	ACCOUNT #: LSR011007	BUY 1 Units @0.0000						
/ /	***-**-653I - KOCHEM, CLINTON	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	3.22	0.00	3.22	88.0	2.83
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
/ /	***-**-13IO - ROTH, KAREN	PUTNAM GROWTH OPPORTUNITIES FUND CL	0.00	1.68	0.00	1.68	88.0	1.48
	ACCOUNT #: LSR011484	BUY 1 Units @0.0000						
/ /	***-**-31IO - HARTZ, CLAUDIA	PUTNAM HEALTH SCIENCES TR-B	0.00	0.94	0.00	0.94	88.0	0.83
	ACCOUNT #: LSR010915	BUY 1 Units @0.0000						
/ /	***-**-930I - SANGER, KEVIN	PUTNAM HEALTH SCIENCES TR-B	0.00	0.73	0.00	0.73	88.0	0.64
	ACCOUNT #: LSR010941	BUY 1 Units @0.0000						
/ /	***-**-567I - FRANZ, ALICE	PUTNAM HEALTH SCIENCES TR-B	0.00	2.64	0.00	2.64	88.0	2.32
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
/ /	***-**-960J - MASTRANGELO,	PUTNAM HEALTH SCIENCES TR-B	0.00	1.34	0.00	1.34	88.0	1.18
	ACCOUNT #: LSR010966	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-761I - EVANS, DOLORES	PUTNAM HEALTH SCIENCES TR-B	0.00	2.18	0.00	2.18	88.0	1.92
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
/ /	***-**-944O - MAYO, DEBORAH	PUTNAM HEALTH SCIENCES TR-B	0.00	1.82	0.00	1.82	88.0	1.60
	ACCOUNT #: LSR011035	BUY 1 Units @0.0000						
/ /	***-**-579I - RHYMESTINE,	PUTNAM HEALTH SCIENCES TR-B	0.00	2.38	0.00	2.38	88.0	2.09
	ACCOUNT #: LSR011082	BUY 1 Units @0.0000						
/ /	***-**-326I - GRANDE, FBO MR	PUTNAM HEALTH SCIENCES TR-B	0.00	1.45	0.00	1.45	88.0	1.28
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
/ /	***-**-48IO - ANACKER, FBO MRS	PUTNAM HEALTH SCIENCES TR-B	0.00	2.26	0.00	2.26	88.0	1.99
	ACCOUNT #: LSR011164	BUY 1 Units @0.0000						
/ /	***-**-664J - PFAFF, GEORGE	PUTNAM HEALTH SCIENCES TR-B	0.00	2.22	0.00	2.22	88.0	1.95
	ACCOUNT #: LSR011184	BUY 1 Units @0.0000						
/ /	***-**-64IO - PFAFF, GEORGE	PUTNAM HEALTH SCIENCES TR-B	0.00	0.88	0.00	0.88	88.0	0.77
	ACCOUNT #: LSR011185	BUY 1 Units @0.0000						
/ /	***-**-62IR - ROBBIANO, FBO	PUTNAM HEALTH SCIENCES TR-B	0.00	0.97	0.00	0.97	88.0	0.85
	ACCOUNT #: LSR011195	BUY 1 Units @0.0000						
/ /	***-**-52IO - EUSEPI, SUSAN	PUTNAM HEALTH SCIENCES TR-B	0.00	1.16	0.00	1.16	88.0	1.02
	ACCOUNT #: LSR011219	BUY 1 Units @0.0000						
/ /	***-**-480J - EVANS, RICHARD	PUTNAM HEALTH SCIENCES TR-B	0.00	1.11	0.00	1.11	88.0	0.98
	ACCOUNT #: LSR011274	BUY 1 Units @0.0000						
/ /	***-**-653I - KOCHER, CLINTON	PUTNAM HEALTH SCIENCES TR-B	0.00	3.25	0.00	3.25	88.0	2.86
	ACCOUNT #: LSR011300	BUY 1 Units @0.0000						
/ /	***-**-21IO - RADELL, SANDRA	PUTNAM HEALTH SCIENCES TR-B	0.00	1.89	0.00	1.89	88.0	1.66
	ACCOUNT #: LSR011392	BUY 1 Units @0.0000						
/ /	***-**-197I - GAMBINO, ANDREW	PUTNAM HEALTH SCIENCES TR-B	0.00	0.21	0.00	0.21	88.0	0.18
	ACCOUNT #: LSR011465	BUY 1 Units @0.0000						
/ /	***-**-53IN - EVANS, ANDREW	PUTNAM HEALTH SCIENCES TR-B	0.00	0.54	0.00	0.54	88.0	0.48
	ACCOUNT #: LSR011483	BUY 1 Units @0.0000						
/ /	***-**-13IO - ROTH, KAREN	PUTNAM HEALTH SCIENCES TR-B	0.00	1.52	0.00	1.52	88.0	1.34
	ACCOUNT #: LSR011484	BUY 1 Units @0.0000						
/ /	***-**-38IO - BROWN, TYLER	PUTNAM HEALTH SCIENCES TR-B	0.00	0.85	0.00	0.85	88.0	0.75
	ACCOUNT #: LSR011602	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-12IR - GRUENEWALD, ACCOUNT #: LSR011614	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	0.73	0.00	0.73	88.0	0.64
/ /	***-**-03IO - MASTRANGELO, ACCOUNT #: LSR011679	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	1.30	0.00	1.30	88.0	1.14
/ /	***-**-45IN - WILLIAMSON, ACCOUNT #: LSR011751	PUTNAM HEALTH SCIENCES TR-B BUY 1 Units @0.0000	0.00	0.73	0.00	0.73	88.0	0.64
/ /	***-**-22IO - CLINTON, STEPHEN ACCOUNT #: LSR011684	PUTNAM INTL GROWTH & INC-A BUY 1 Units @0.0000	0.00	1.10	0.00	1.10	88.0	0.97
/ /	***-**-382K - SATTERLY, NEIL ACCOUNT #: LSR010875	PUTNAM INVESTMENTS MULTI-CAP CORE C BUY 1 Units @0.0000	0.00	4.66	0.00	4.66	88.0	4.10
/ /	***-**-653J - KOCHEM, CLINTON ACCOUNT #: LSR011298	PUTNAM INVESTMENTS MULTI-CAP CORE C BUY 1 Units @0.0000	0.00	4.65	0.00	4.65	88.0	4.09
/ /	***-**-22IO - CLINTON, STEPHEN ACCOUNT #: LSR011684	PUTNAM SMALL CAP VALUE FUND-A BUY 1 Units @0.0000	0.00	1.48	0.00	1.48	88.0	1.30
/ /	***-**-22IO - CLINTON, STEPHEN ACCOUNT #: LSR011684	PUTNAM U.S. GOVT INCOME TR-A BUY 1 Units @0.0000	0.00	0.64	0.00	0.64	88.0	0.56
/ /	***-**-63TR - EVANS, AUDREY J ACCOUNT #: LSR011036	PUTNAM U.S. GOVT INCOME TR-B BUY 1 Units @0.0000	0.00	0.92	0.00	0.92	88.0	0.81
/ /	***-**-254I - AUGUSTINE, LINDA ACCOUNT #: LSR010846	PUTNAM UTILITIES GR & INC-B BUY 1 Units @0.0000	0.00	0.92	0.00	0.92	88.0	0.81
/ /	***-**-881J - EBERLEY, SUSAN ACCOUNT #: LSR010856	PUTNAM UTILITIES GR & INC-B BUY 1 Units @0.0000	0.00	1.41	0.00	1.41	88.0	1.24
/ /	***-**-949I - ACEE, JOSPEH ACCOUNT #: LSR010908	PUTNAM UTILITIES GR & INC-B BUY 1 Units @0.0000	0.00	0.73	0.00	0.73	88.0	0.64
/ /	***-**-31IO - HARTZ, CLAUDIA ACCOUNT #: LSR010915	PUTNAM UTILITIES GR & INC-B BUY 1 Units @0.0000	0.00	0.54	0.00	0.54	88.0	0.48
/ /	***-**-15IN - PENDRAK, JOHN ACCOUNT #: LSR010948	PUTNAM UTILITIES GR & INC-B BUY 1 Units @0.0000	0.00	2.23	0.00	2.23	88.0	1.96
/ /	***-**-960J - MASTRANGELO, ACCOUNT #: LSR010966	PUTNAM UTILITIES GR & INC-B BUY 1 Units @0.0000	0.00	2.17	0.00	2.17	88.0	1.91
/ /	***-**-51IO - CAIN, TIMOTHY ACCOUNT #: LSR011007	PUTNAM UTILITIES GR & INC-B BUY 1 Units @0.0000	0.00	1.21	0.00	1.21	88.0	1.06



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u>BROKER: KURT GRUENEWALD (continued)</u>								
/ /	***-**-761I - EVANS, DOLORES	PUTNAM UTILITIES GR & INC-B	0.00	1.39	0.00	1.39	88.0	1.22
	ACCOUNT #: LSR011024	BUY 1 Units @0.0000						
/ /	***-**-31IO - WILLIAMSON, FBO	PUTNAM UTILITIES GR & INC-B	0.00	1.87	0.00	1.87	88.0	1.65
	ACCOUNT #: LSR011172	BUY 1 Units @0.0000						
08/13/2018	***-**-663I - LASCALA, DEBORAH	RESOURCE CREDIT INCOME FUND C	20,000.00	200.00	0.00	200.00	88.0	176.00
	ACCOUNT #: LSR012790	BUY 1768 Units @11.3100						
08/15/2018	***-**-851I - BLAKE, JAMES	RESOURCE CREDIT INCOME FUND C	5,750.00	57.50	0.00	57.50	88.0	50.60
	ACCOUNT #: LSR012390	BUY 508 Units @11.3300						
08/13/2018	***-**-663I - LASCALA, DEBORAH	RESOURCE REAL ESTATE-NFS	15,000.00	150.00	0.00	150.00	88.0	132.00
	ACCOUNT #: LSR012790	BUY 1442 Units @10.4000						
/ /	***-**-680I - HARRELL, DARYL	SMALLCAP WORLD FUND-A	0.00	0.92	0.00	0.92	88.0	0.81
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
/ /	***-**-382I - SATTERLY, NEIL	SMALLCAP WORLD FUND-C	0.00	9.14	0.00	9.14	88.0	8.04
	ACCOUNT #: LSR010867	BUY 1 Units @0.0000						
/ /	***-**-567I - FRANZ, ALICE	SMALLCAP WORLD FUND-C	0.00	7.51	0.00	7.51	88.0	6.61
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
/ /	***-**-44IO - MAYO, DEBORAH	SMALLCAP WORLD FUND-C	0.00	12.66	0.00	12.66	88.0	11.14
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
/ /	***-**-09IO - BATES, FBO MRS	SMALLCAP WORLD FUND-C	0.00	12.30	0.00	12.30	88.0	10.82
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	SMALLCAP WORLD FUND-C	0.00	11.02	0.00	11.02	88.0	9.70
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-45IO - JOHNSON, GREGORY	SMALLCAP WORLD FUND-C	0.00	8.37	0.00	8.37	88.0	7.37
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
/ /	***-**-851K - LALLIER, JAMES	SMALLCAP WORLD FUND-C	0.00	4.40	0.00	4.40	88.0	3.87
	ACCOUNT #: LSR012645	BUY 1 Units @0.0000						
08/15/2018	***-**-2663 - LASCALA, DEBORAH	STEIN MART INC COM	5,500.00	39.49	0.00	39.49	88.0	34.75
	ACCOUNT #: LSR012791	BUY 2000 Units @2.7500						
/ /	***-**-43IN - FRANZ, WAYNE	THE BOND FUND OF AMERICA-A	0.00	2.33	0.00	2.33	88.0	2.05
	ACCOUNT #: LSR012636	BUY 1 Units @0.0000						
/ /	***-**-366I - GRUENEWALD,	THE GROWTH FUND OF AMERICA-A	0.00	1.10	0.00	1.10	88.0	0.97
	ACCOUNT #: LSR010989	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-326I - GRANDE, FBO MR	THE GROWTH FUND OF AMERICA-A	0.00	2.34	0.00	2.34	88.0	2.06
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
/ /	***-**-567I - FRANZ, ALICE	THE GROWTH FUND OF AMERICA-C	0.00	11.20	0.00	11.20	88.0	9.86
	ACCOUNT #: LSR010960	BUY 1 Units @0.0000						
/ /	***-**-287I - HINCKLEY,	THE INCOME FUND OF AMERICA-A	0.00	4.56	0.00	4.56	88.0	4.01
	ACCOUNT #: LSR010843	BUY 1 Units @0.0000						
/ /	***-**-702I - KOCHER, MICHAEL	THE INCOME FUND OF AMERICA-A	0.00	3.61	0.00	3.61	88.0	3.18
	ACCOUNT #: LSR010954	BUY 1 Units @0.0000						
/ /	***-**-959J - FRANZ, PAUL	THE INCOME FUND OF AMERICA-A	0.00	2.92	0.00	2.92	88.0	2.57
	ACCOUNT #: LSR010958	BUY 1 Units @0.0000						
/ /	***-**-366I - GRUENEWALD,	THE INCOME FUND OF AMERICA-A	0.00	1.80	0.00	1.80	88.0	1.58
	ACCOUNT #: LSR010989	BUY 1 Units @0.0000						
/ /	***-**-579J - RHYMESTINE,	THE INCOME FUND OF AMERICA-A	0.00	1.02	0.00	1.02	88.0	0.90
	ACCOUNT #: LSR011081	BUY 1 Units @0.0000						
/ /	***-**-326I - GRANDE, FBO MR	THE INCOME FUND OF AMERICA-A	0.00	2.36	0.00	2.36	88.0	2.08
	ACCOUNT #: LSR011091	BUY 1 Units @0.0000						
/ /	***-**-680I - HARRELL, DARYL	THE INCOME FUND OF AMERICA-A	0.00	1.13	0.00	1.13	88.0	0.99
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
/ /	***-**-128I - BASHANT, THOMAS	THE INCOME FUND OF AMERICA-A	0.00	0.97	0.00	0.97	88.0	0.85
	ACCOUNT #: LSR011387	BUY 1 Units @0.0000						
/ /	***-**-30TR - AND SANDRA	THE INCOME FUND OF AMERICA-A	0.00	2.69	0.00	2.69	88.0	2.37
	ACCOUNT #: LSR011939	BUY 1 Units @0.0000						
/ /	***-**-43IN - FRANZ, WAYNE	THE INCOME FUND OF AMERICA-A	0.00	3.60	0.00	3.60	88.0	3.17
	ACCOUNT #: LSR012636	BUY 1 Units @0.0000						
/ /	***-**-80IO - EVANS, RICHARD	THE NEW ECONOMY FUND-C	0.00	12.20	0.00	12.20	88.0	10.74
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
/ /	***-**-851K - LALLIER, JAMES	THE NEW ECONOMY FUND-C	0.00	2.62	0.00	2.62	88.0	2.31
	ACCOUNT #: LSR012645	BUY 1 Units @0.0000						
/ /	***-**-235I - AUGUSTINE III,	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	6.43	0.00	6.43	88.0	5.66
	ACCOUNT #: LSR010845	BUY 1 Units @0.0000						
/ /	***-**-881J - EBERLEY, SUSAN	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	7.60	0.00	7.60	88.0	6.69
	ACCOUNT #: LSR010856	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued)								
 BROKER: KURT GRUENEWALD (continued)								
/ /	***-**-980I - ACEE, ELIZABETH	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	5.54	0.00	5.54	88.0	4.88
	ACCOUNT #: LSR010885	BUY 1 Units @0.0000						
/ /	***-**-031O - MCVOY, ROBERT	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	7.14	0.00	7.14	88.0	6.28
	ACCOUNT #: LSR010916	BUY 1 Units @0.0000						
/ /	***-**-959I - FRANZ, PAUL	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	12.77	0.00	12.77	88.0	11.24
	ACCOUNT #: LSR010959	BUY 1 Units @0.0000						
/ /	***-**-73TR - WESTCOTT IRRVOC	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	6.19	0.00	6.19	88.0	5.45
	ACCOUNT #: LSR011001	BUY 1 Units @0.0000						
/ /	***-**-73TR - WESTCOTT IRRVOC	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	5.97	0.00	5.97	88.0	5.25
	ACCOUNT #: LSR011008	BUY 1 Units @0.0000						
/ /	***-**-44IO - MAYO, DEBORAH	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	7.35	0.00	7.35	88.0	6.47
	ACCOUNT #: LSR011079	BUY 1 Units @0.0000						
/ /	***-**-09IO - BATES, FBO MRS	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	11.82	0.00	11.82	88.0	10.40
	ACCOUNT #: LSR011117	BUY 1 Units @0.0000						
/ /	***-**-89IN - LEE, ROGER	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	13.40	0.00	13.40	88.0	11.79
	ACCOUNT #: LSR011166	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	11.38	0.00	11.38	88.0	10.01
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-80IO - EVANS, RICHARD	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	7.68	0.00	7.68	88.0	6.76
	ACCOUNT #: LSR011276	BUY 1 Units @0.0000						
/ /	***-**-45IO - JOHNSON, GREGORY	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	9.62	0.00	9.62	88.0	8.47
	ACCOUNT #: LSR011421	BUY 1 Units @0.0000						
/ /	***-**-14IO - BUSHEY, MATTHEW	TRANSAMERICA MLP & ENERGY INCOME CL	0.00	10.90	0.00	10.90	88.0	9.59
	ACCOUNT #: LSR011498	BUY 1 Units @0.0000						
/ /	***-**-287J - HINCKLEY,	TRANSAMERICA SHORT TERM BOND CL C	0.00	10.37	0.00	10.37	88.0	9.13
	ACCOUNT #: LSR010841	BUY 1 Units @0.0000						
/ /	***-**-48IO - CLARK, PATRICK	TRANSAMERICA SHORT TERM BOND CL C	0.00	10.32	0.00	10.32	88.0	9.08
	ACCOUNT #: LSR010911	BUY 1 Units @0.0000						
/ /	***-**-11IO - LYNN G.	TRANSAMERICA SHORT TERM BOND CL C	0.00	15.37	0.00	15.37	88.0	13.53
	ACCOUNT #: LSR011239	BUY 1 Units @0.0000						
/ /	***-**-959J - FRANZ, PAUL	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	3.64	0.00	3.64	88.0	3.20
	ACCOUNT #: LSR010958	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

DAVID GRIFFITH
2108 Genesee St.
Utica, NY 13502

BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
 OVERRIDE TRANSACTIONS (continued) 								
 BROKER: KURT GRUENEWALD (continued) 								
/ /	***-**-43IN - FRANZ, WAYNE	U.S. GOVERNMENT SECURITIES FUND CLA	0.00	2.23	0.00	2.23	88.0	1.96
	ACCOUNT #: LSR012636	BUY 1 Units @0.0000						
08/15/2018	***-**-614J - BUSHEY, MATTHEW	UNILEVER PLC ADS-EA REPR 1 ORD GPB0	1,058.68	2.05	0.00	2.05	88.0	1.80
	ACCOUNT #: LSR011256	SELL 19 Units @55.7200						
08/15/2018	***-**-614J - BUSHEY, MATTHEW	UNILEVER PLC ADS-EA REPR 1 ORD GPB0	1,058.68	17.05	0.00	17.05	88.0	15.00
	ACCOUNT #: LSR011256	SELL 19 Units @55.7200						
08/15/2018	***-**-614J - BUSHEY, MATTHEW	UNILEVER PLC ADS-EA REPR 1 ORD GPB0	668.64	10.77	0.00	10.77	88.0	9.48
	ACCOUNT #: LSR011256	SELL 12 Units @55.7200						
/ /	***-**-71IO - FAMOLARO, JAMES	VAN KAMPEN9	0.00	0.26	0.00	0.26	88.0	0.23
	ACCOUNT #: LSR011279	BUY 1 Units @0.0000						
/ /	***-**-07IO - FAMOLARO, JAMES	WELLS FARGO ASSET ALLOCATION CL A	0.00	3.06	0.00	3.06	88.0	2.69
	ACCOUNT #: LSR011280	BUY 1 Units @0.0000						
/ /	***-**-07IO - FAMOLARO, JAMES	WELLS FARGO GLOBAL OPPT FD CL A	0.00	1.44	0.00	1.44	88.0	1.27
	ACCOUNT #: LSR011280	BUY 1 Units @0.0000						
/ /	***-**-680I - HARRELL, DARYL	WELLS FARGO SPECIALIZED TECH A	0.00	2.08	0.00	2.08	88.0	1.83
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
/ /	***-**-680I - HARRELL, DARYL	WELLS FARGO UTILITY TELECOM CL A	0.00	1.39	0.00	1.39	88.0	1.22
	ACCOUNT #: LSR011321	BUY 1 Units @0.0000						
* KURT GRUENEWALD SUBTOTAL *			\$289,553.51	\$6,841.01	\$0.00	\$6,841.01		\$6,020.10
*** OVERRIDE TRANSACTIONS TOTAL ***			\$289,553.51	\$6,841.01	\$0.00	\$6,841.01		\$6,020.10



BROKER #: A76
BRANCH #01047 - 47 - GRIFFITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER COMMISSION TOTALS								
					Broker Transactions			\$2,899.70
					Split Transactions			\$0.00
					Override Transactions			\$6,020.10
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$0.00
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
					Net Commission Earned			\$8,919.80
					Year-to-date Earnings			\$168,198.72