



Concorde Investment Services, LLC

07/12/2017  
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# COMMISSION STATEMENT July 15, 2017

DAMIAN DERING  
165 Culver Blvd  
Suite A  
Playa Del Rey, CA 90293

BROKER #: D127  
BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 1031 EXCHANGE</u></b>								
06/22/2017	SECRIST HOLDINGS LLC	PASSCO LONGITUDE DST	159,021.00	9,341.48	0.00	9,341.48	92.0	8,594.16
		* 1031 EXCHANGE SUBTOTAL *	\$159,021.00	\$9,341.48	\$0.00	\$9,341.48		\$8,594.16
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/26/2017	PEARSON, ROBERT	AM FUNDS AMCAP 529C	200.00	2.00	0.00	2.00	92.0	1.84
06/26/2017	PEARSON, ROBERT	AM FUNDS AMCAP 529C	200.00	2.00	0.00	2.00	92.0	1.84
06/26/2017	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	200.00	2.00	0.00	2.00	92.0	1.84
06/26/2017	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	200.00	2.00	0.00	2.00	92.0	1.84
07/05/2017	ANDREWS, LISA	AM FUNDS GROWTH FUND OF AMERICA A	500.00	18.75	0.00	18.75	92.0	17.25
06/26/2017	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	100.00	1.00	0.00	1.00	92.0	0.92
06/26/2017	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	100.00	1.00	0.00	1.00	92.0	0.92
/ /	MARTINEZ, ELSA	ASCENSUS NEA AGE-BASED GROWTH 6-10	0.00	10.35	0.00	10.35	92.0	9.52
/ /	MORALES, ERIC	JH 529 PORT 2017-2020 A	50.00	8.50	0.00	8.50	92.0	7.82
/ /	MORALES, ERIC	JH 529 PORT 2017-2020 A	0.00	2.03	0.00	2.03	92.0	1.87
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	200.00	6.38	0.00	6.38	92.0	5.87
06/30/2017	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
06/30/2017	MORALES, ERIC	JH 529 PORT 2021-2024 A	0.00	0.78	0.00	0.78	92.0	0.72
07/01/2017	RICHMOND, JACOB	MFS LIMITED MATURITY 529A	0.00	9.36	0.00	9.36	92.0	8.61
07/01/2017	RICHMOND, JACOB	MFS LIMITED MATURITY 529A	0.00	16.57	0.00	16.57	92.0	15.24
/ /	MITSCH, JAY	OPPENHEIMER CAPITAL APPRECIATION A	0.00	5.10	0.00	5.10	92.0	4.69
/ /	MITSCH, JAY	OPPENHEIMER CAPITAL APPRECIATION C	0.00	3.79	0.00	3.79	92.0	3.49
/ /	ANDREWS, LISA	OPPENHEIMER GLOBAL A	0.00	12.28	0.00	12.28	92.0	11.30
/ /	MITSCH, JAY	OPPENHEIMER INTL GROWTH C	0.00	3.61	0.00	3.61	92.0	3.32
/ /	MITSCH, JAY	OPPENHEIMER MAIN ST SMALL & MID CAP	0.00	3.87	0.00	3.87	92.0	3.56
/ /	HAVENS, JACK	OPPENHEIMER PORTFOLIO SERIRES: MOD	0.00	178.56	0.00	178.56	92.0	164.28
/ /	PON, AGNES	OPPENHEIMER PORTFOLIO SERIRES: MOD	0.00	0.56	0.00	0.56	92.0	0.52
/ /	PON, AGNES	OPPENHEIMER PTF SERIES EQUITY INVES	0.00	21.45	0.00	21.45	92.0	19.73

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
/ /	MITSCH, JAY	OPPENHEIMER RISING DIVIDENDS C	0.00	3.88	0.00	3.88	92.0	3.57
/ /	MITSCH, JAY	OPPENHEIMER SMALL & MIDCAP GROWTH C	0.00	4.07	0.00	4.07	92.0	3.74
* MUTUAL FUNDS SUBTOTAL *			\$1,900.00	\$326.27	\$0.00	\$326.27		\$300.17
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
06/30/2017	SCANE FAMILY TRUST	HARTFORD DIRECTOR OUTLOOK	932.57	2.33	0.00	2.33	92.0	2.14
06/30/2017	LAXER, JACK	JNL PERSPECTIVE L	55,185.27	45.99	0.00	45.99	92.0	42.31
06/30/2017	KUSUNOKI, JOYCE	JNL PERSPECTIVE L	57,782.48	48.15	0.00	48.15	92.0	44.30
06/30/2017	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	71,318.60	59.43	0.00	59.43	92.0	54.68
06/30/2017	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	70,136.20	58.45	0.00	58.45	92.0	53.77
06/11/2017	BAUTISTA, MARYANN	TRANSAMERICA LIBERTY	191,834.86	159.86	0.00	159.86	92.0	147.07
* VARIABLE ANNUITIES SUBTOTAL *			\$447,189.98	\$374.21	\$0.00	\$374.21		\$344.27
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/20/2017	SUTZ, JACK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.87	0.00	4.87	92.0	4.48
06/16/2017	SUTZ, JACK	FIDELITY DIVIDEND GROWTH A	0.00	2.04	0.00	2.04	92.0	1.88
06/19/2017	SUTZ, JACK	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	3.80	0.00	3.80	92.0	3.50
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$10.71	\$0.00	\$10.71		\$9.86
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
06/30/2017	DERING, VARIOUS	LFG TRAILS	0.00	5,539.88	0.00	5,539.88	92.0	5,096.69
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$5,539.88	\$0.00	\$5,539.88		\$5,096.69
*** BROKER TRANSACTIONS TOTAL ***			\$608,110.98	\$15,592.55	\$0.00	\$15,592.55		\$14,345.15
<b><u>ADJUSTMENTS</u></b>								
07/15/2017		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					111.18
07/15/2017		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
07/15/2017		*REIMBURSEMENT: CT #5785739-INLAND	0.00					563.82
07/15/2017		*TECHNOLOGY: ADMIN - CHRYSANTHA COS	0.00					-100.00
07/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$14,345.15
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$14,345.15
Year-to-date Gross	\$85,207.51
Year-to-date Earnings	\$77,750.61