



Concorde Investment Services, LLC

09/13/2017  
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# **COMMISSION STATEMENT** **September 15, 2017**

**R122-RICHARD/MARK RODRIGUEZ/HUBER**  
2340 S. Arlington Heights Rd.  
Suite 440  
Arlington Heights, IL 60005

**BROKER #: R122**  
**BRANCH: CIS HOME OFFICE**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/05/2017	ARCIUCH, ARTUR	MFS EMERGING MARKETS EQUITY A	237.70	4.16	0.00	4.16	0.0	0.00
09/05/2017	ARCIUCH, ARTUR	MFS EMERGING MARKETS EQUITY A	1,100.00	19.25	0.00	19.25	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			<b>\$1,337.70</b>	<b>\$23.41</b>	<b>\$0.00</b>	<b>\$23.41</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
08/14/2017	WOLF, XANDER	ALGER SPECTRA A	0.00	0.95	0.00	0.95	0.0	0.00
08/14/2017	WOLF, AADRA	ALGER SPECTRA A	0.00	0.95	0.00	0.95	0.0	0.00
08/08/2017	WOLF, XANDER	ALLIANCEBERNSTEIN EQUITY INCOME A	0.00	1.03	0.00	1.03	0.0	0.00
08/08/2017	WOLF, AADRA	ALLIANCEBERNSTEIN EQUITY INCOME A	0.00	1.16	0.00	1.16	0.0	0.00
08/15/2017	BATIO, COURTNEY	AM FUNDS AMERICAN BALANCED A	0.00	0.51	0.00	0.51	0.0	0.00
08/15/2017	BATIO, COURTNEY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.42	0.00	0.42	0.0	0.00
08/15/2017	BATIO, COURTNEY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.60	0.00	0.60	0.0	0.00
08/15/2017	BATIO, COURTNEY	AM FUNDS ICA A	0.00	0.57	0.00	0.57	0.0	0.00
08/15/2017	BATIO, COURTNEY	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.48	0.00	0.48	0.0	0.00
08/15/2017	BATIO, JESSICA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.06	0.00	0.06	0.0	0.00
08/17/2017	WOLF, XANDER	AMERICAN CENTURY REAL ESTATE FUND C	0.00	0.50	0.00	0.50	0.0	0.00
08/17/2017	WOLF, AADRA	AMERICAN CENTURY REAL ESTATE FUND C	0.00	0.50	0.00	0.50	0.0	0.00
08/25/2017	WOLF, XANDER	BLACKROCK LARGE CAP GROWTH FUND CLA	0.00	1.17	0.00	1.17	0.0	0.00
08/25/2017	WOLF, AADRA	BLACKROCK LARGE CAP GROWTH FUND CLA	0.00	1.32	0.00	1.32	0.0	0.00
08/25/2017	WOLF, XANDER	BLK HEALTH SCIENCES A	0.00	0.91	0.00	0.91	0.0	0.00
08/25/2017	WOLF, AADRA	BLK HEALTH SCIENCES A	0.00	0.91	0.00	0.91	0.0	0.00
08/09/2017	FERN, SARAH	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.63	0.00	5.63	0.0	0.00
08/09/2017	UKRAINIAN CHURCH, ST ANDREWS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	17.00	0.00	17.00	0.0	0.00
08/09/2017	UKRAINIAN CHURCH, ST ANDREWS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	24.51	0.00	24.51	0.0	0.00
08/09/2017	TRIPP, MAXX	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.33	0.00	6.33	0.0	0.00
08/09/2017	TRIPP, MAXX	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.76	0.00	10.76	0.0	0.00
08/09/2017	TRIPP, ABBY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.05	0.00	12.05	0.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
08/09/2017	WOLF, XANDER	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.20	0.00	4.20	0.0	0.00
08/09/2017	WOLF, AADRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.20	0.00	4.20	0.0	0.00
08/16/2017	UDCHIK, DAVID	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.77	0.00	2.77	0.0	0.00
08/18/2017	WOLF, XANDER	RYDEX TRANSPORTATION FUND CLASS A	0.00	0.55	0.00	0.55	0.0	0.00
08/18/2017	WOLF, AADRA	RYDEX TRANSPORTATION FUND CLASS A	0.00	0.55	0.00	0.55	0.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$100.59</b>	<b>\$0.00</b>	<b>\$100.59</b>		<b>\$0.00</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$1,337.70</b>	<b>\$124.00</b>	<b>\$0.00</b>	<b>\$124.00</b>		<b>\$0.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Gross</b>	<b>\$1,595.82</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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