

## COMMISSION STATEMENT

October 31, 2017

HENRY HACKEL

179 EAST 70TH STREET

APT 6B

NEW YORK, NY 10021

BROKER #: 30

BRANCH: HOME OFFICE

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
09/29/2017	00084739455	SHABAZZ, JAHZARA							
		AMERICAN BALANCED FUND-529C	B	200.00	2.00	0.00	2.00	80.0	1.60
09/29/2017	00085890289	DAS, SPANDAN							
		AMERICAN HIGH-INCOME TRUST-529A	B	150.00	4.13	0.00	4.13	80.0	3.30
09/29/2017	00085890289	DAS, SPANDAN							
		NEW WORLD FUND-529A	B	150.00	4.13	0.00	4.13	80.0	3.30
09/29/2017	00085890289	DAS, SPANDAN							
		SMALLCAP WORLD FUND-529A	B	150.00	4.13	0.00	4.13	80.0	3.30
09/29/2017	00085890289	DAS, SPANDAN							
		THE GROWTH FUND OF AMERICA-529A	B	150.00	4.13	0.00	4.13	80.0	3.30
09/29/2017	00085890289	DAS, SPANDAN							
		THE INVESTMENT COMPANY OF AMERICA-5	B	150.00	4.13	0.00	4.13	80.0	3.30
10/18/2017	00086531491	DAS, SPANDAN							
		AMERICAN HIGH-INCOME TRUST-529A	B	100.00	2.75	0.00	2.75	80.0	2.20
10/18/2017	00086531491	DAS, SPANDAN							
		NEW WORLD FUND-529A	B	100.00	2.75	0.00	2.75	80.0	2.20
10/18/2017	00086531491	DAS, SPANDAN							
		SMALLCAP WORLD FUND-529A	B	100.00	2.75	0.00	2.75	80.0	2.20
10/18/2017	00086531491	DAS, SPANDAN							
		THE GROWTH FUND OF AMERICA-529A	B	250.00	6.88	0.00	6.88	80.0	5.50
10/18/2017	00086531491	DAS, SPANDAN							
		THE INVESTMENT COMPANY OF AMERICA-5	B	200.00	5.50	0.00	5.50	80.0	4.40
10/03/2017	00087121704	JOGLEKAR, SHAYNE							
		AMERICAN BALANCED FUND-529A	B	500.00	13.75	0.00	13.75	80.0	11.00
10/03/2017	00087122294	JOGLEKAR, SHAYNE							
		AMERICAN BALANCED FUND-529A	B	500.00	13.75	0.00	13.75	80.0	11.00
10/20/2017	00087149656	CHANDELA, AJAY							
		AMERICAN BALANCED FUND-529C	B	1,000.00	10.00	0.00	10.00	80.0	8.00
10/20/2017	00087149667	CHANDELA, AJAY							
		NEW WORLD FUND-529C	B	1,000.00	10.00	0.00	10.00	80.0	8.00
10/20/2017	00087149689	CHANDELA, AJAY							
		NEW WORLD FUND-529C	B	1,000.00	10.00	0.00	10.00	80.0	8.00
10/20/2017	00087149729	CHANDELA, AJAY							
		AMERICAN BALANCED FUND-529C	B	1,000.00	10.00	0.00	10.00	80.0	8.00
10/06/2017	00087205674	MORRELL, CHARLES							
		THE GROWTH FUND OF AMERICA-529A	B	300.00	15.00	0.00	15.00	80.0	12.00
09/28/2017	00087630417	SHABAZZ, JAHZARA							
		AMERICAN BALANCED FUND-529C	B	200.00	2.00	0.00	2.00	80.0	1.60
10/16/2017	00089081756	FOSTER, JAKE							
		AMERICAN FUND-02629M587	B	200.00	7.00	0.00	7.00	80.0	5.60
10/16/2017	00089084643	FOSTER, JAKE							
		AMERICAN FUND-02629M587	B	200.00	7.00	0.00	7.00	80.0	5.60
10/10/2017	01204161104	PASQUALONE,							
		THE INVESTMENT COMPANY OF AMERICA-5	B	150.00	6.38	0.00	6.38	80.0	5.10
10/10/2017	01204463227	PASQUALONE,							
		THE GROWTH FUND OF AMERICA-529A	B	150.00	6.38	0.00	6.38	80.0	5.10
10/10/2017	01205067051	PASQUALONE,							
		NEW PERSPECTIVE FUND-529A	B	150.00	6.38	0.00	6.38	80.0	5.10
* MUTUAL FUNDS SUBTOTAL *				\$8,050.00	\$160.92	\$0.00	\$160.92		\$128.70

PRODUCT CATEGORY: STOCKS

10/27/2017	75500458	HACKEL, HENRY							
		MACYS INC	B	397,598.00	0.00	0.00	0.00	80.0	0.00
10/26/2017	75500526	GALLO, TERESA							
		UNITED STATES STL CORP NEW	S	28,290.00	20.00	0.00	20.00	80.0	16.00
10/16/2017	75500635	CABO CANYON LLC							
		KINDER HOLDING CORP	S	491.25	30.00	0.00	30.00	80.0	24.00
10/26/2017	75501455	HACKEL, HENRY							
		VANECK VECTORS ETF TR GOLD MINERS E	B	447,200.00	0.00	0.00	0.00	80.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/04/2017	QGW001018	GRAYMAN, EZRA		ENSCO PLC NEW CLASS A ORD	S	-15,000.00	10.00	0.00	10.00 80.0 8.00
10/05/2017	QGW001018	GRAYMAN, EZRA		ENSCO PLC NEW CLASS A ORD	S	-30,425.00	10.00	0.00	10.00 80.0 8.00
10/06/2017	QGW001018	GRAYMAN, EZRA		GLOBALSTAR INC	B	16,300.00	10.00	0.00	10.00 80.0 8.00
10/06/2017	QGW001018	GRAYMAN, EZRA		SEQUANS COMMUNICATIONS S A SPONS AD B		9,500.00	10.00	0.00	10.00 80.0 8.00
10/09/2017	QGW001018	GRAYMAN, EZRA		GLOBALSTAR INC	S	-8,600.00	10.00	0.00	10.00 80.0 8.00
10/12/2017	QGW001018	GRAYMAN, EZRA		ENSCO PLC NEW CLASS A ORD	B	13,400.00	10.00	0.00	10.00 80.0 8.00
10/12/2017	QGW001018	GRAYMAN, EZRA		SEQUANS COMMUNICATIONS S A SPONS AD S		-5,100.00	10.00	0.00	10.00 80.0 8.00
10/17/2017	QGW001018	GRAYMAN, EZRA		GLOBALSTAR INC	S	-8,250.00	10.00	0.00	10.00 80.0 8.00
10/18/2017	QGW001018	GRAYMAN, EZRA		ENSCO PLC NEW CLASS A ORD	B	13,425.00	10.00	0.00	10.00 80.0 8.00
10/26/2017	QGW001018	GRAYMAN, EZRA		SEQUANS COMMUNICATIONS S A SPONS AD S		-4,825.00	10.00	0.00	10.00 80.0 8.00
09/29/2017	QGW001042	GRAYMAN, EZRA		SYNERGY PHARMACEUTICALS INC	S	-14,500.00	10.00	0.00	10.00 80.0 8.00
10/09/2017	QGW001042	GRAYMAN, EZRA		SYNERGY PHARMACEUTICALS INC	S	-17,600.00	10.00	0.00	10.00 80.0 8.00
10/25/2017	QGW001042	GRAYMAN, EZRA		ENSCO PLC NEW CLASS A ORD	B	24,481.50	10.00	0.00	10.00 80.0 8.00
10/11/2017	QGW001166	KOONDEL		APPLE HOSPITALITY REIT INC COM NEW	S	-17,076.96	17.65	0.00	17.65 80.0 14.12
10/11/2017	QGW001166	KOONDEL		APPLE INC	S	-78,332.50	14.25	0.00	14.25 80.0 11.40
10/11/2017	QGW001166	KOONDEL		ARES CAPITAL CORPORATION	B	3,300.00	11.70	0.00	11.70 80.0 9.36
10/11/2017	QGW001166	KOONDEL		GLOBAL X FDS GLOBAL X SUPERDIVIDEND B		4,432.00	11.70	0.00	11.70 80.0 9.36
10/12/2017	QGW001166	KOONDEL		APPLE HOSPITALITY REIT INC COM NEW	S	-8,640.00	13.83	0.00	13.83 80.0 11.06
10/20/2017	QGW001166	KOONDEL		APPLE INC	B	225,000.00	17.75	0.00	17.75 80.0 14.20
10/20/2017	QGW001166	KOONDEL		APPLE INC	B	217,500.00	17.75	0.00	17.75 80.0 14.20
10/04/2017	QGW001174	KOONDEL		FORD MOTOR CO PAR \$0.01	S	-12,410.00	18.50	0.00	18.50 80.0 14.80
10/05/2017	QGW001190	ASSOCIATES, BKI		ALPHABET INC CLASS C CAPITAL STOCK	S	-96,227.00	10.85	0.00	10.85 80.0 8.68
10/10/2017	QGW001737	HEATH, KIMBERLY		AMPIO PHARMACEUTICALS INC	B	2,473.80	20.00	0.00	20.00 80.0 16.00
10/12/2017	QGW001737	HEATH, KIMBERLY		MANNKIND CORPORATION COMMON STOCK	S	-17,790.12	20.00	0.00	20.00 80.0 16.00
10/13/2017	QGW001737	HEATH, KIMBERLY		AMPIO PHARMACEUTICALS INC	S	-3,450.00	20.00	0.00	20.00 80.0 16.00
10/16/2017	QGW001737	HEATH, KIMBERLY		PRECISION OPTICS CORP INC MASS COM	S	-320.40	20.00	0.00	20.00 80.0 16.00
10/25/2017	QGW001737	HEATH, KIMBERLY		VIVUS INC	S	-9,143.75	11.12	0.00	11.12 80.0 8.90
10/25/2017	QGW001737	HEATH, KIMBERLY		VIVUS INC	S	-7,313.00	8.88	0.00	8.88 80.0 7.10
10/25/2017	QGW001737	HEATH, KIMBERLY		VIVUS INC	S	-9,143.75	11.00	0.00	11.00 80.0 8.80
10/25/2017	QGW001737	HEATH, KIMBERLY		VIVUS INC	S	9,143.75	-11.12	0.00	-11.12 80.0 -8.90 C
10/27/2017	QGW001737	HEATH, KIMBERLY		GENERAL ELECTRIC CO	B	10,435.00	20.00	0.00	20.00 80.0 16.00
10/10/2017	QGW001760	HEATH, KIMBERLY		AMPIO PHARMACEUTICALS INC	B	2,473.80	20.00	0.00	20.00 80.0 16.00
10/12/2017	QGW001760	HEATH, KIMBERLY		MANNKIND CORPORATION COMMON STOCK	S	-2,972.16	20.00	0.00	20.00 80.0 16.00
10/13/2017	QGW001760	HEATH, KIMBERLY		AMPIO PHARMACEUTICALS INC	S	-3,450.00	20.00	0.00	20.00 80.0 16.00
10/25/2017	QGW001760	HEATH, KIMBERLY		VIVUS INC	S	-5,415.00	20.00	0.00	20.00 80.0 16.00
10/25/2017	QGW001760	HEATH, KIMBERLY		VIVUS INC	S	5,415.00	-20.00	0.00	-20.00 80.0 -16.00 C
10/25/2017	QGW001760	HEATH, KIMBERLY		VIVUS INC	S	-5,415.00	20.00	0.00	20.00 80.0 16.00
10/27/2017	QGW001760	HEATH, KIMBERLY		GENERAL ELECTRIC CO	B	10,435.00	20.00	0.00	20.00 80.0 16.00
10/12/2017	QGW001828	BRIMBERG BIOTECH		EXELIXIS INC	B	62,652.75	50.00	0.00	50.00 80.0 40.00
10/12/2017	QGW001828	BRIMBERG BIOTECH		EXELIXIS INC	S	-49,460.00	50.00	0.00	50.00 80.0 40.00

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<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: STOCKS</b>									
10/16/2017	QGW001828	BRIMBERG BIOTECH		EXELIXIS INC	S	-23,250.00	350.00	0.00	350.00 80.0 280.00
10/18/2017	QGW001828	BRIMBERG BIOTECH		EXELIXIS INC	B	48,557.43	50.00	0.00	50.00 80.0 40.00
09/28/2017	QGW004905	CAPRARO, DAVID		KINDRED HEALTHCARE INC COM	B	20,400.00	30.00	0.00	30.00 80.0 24.00
10/02/2017	QGW004905	CAPRARO, DAVID		UNITI GROUP INC COMMON STOCK	B	7,234.15	5.00	0.00	5.00 80.0 4.00
10/03/2017	QGW004905	CAPRARO, DAVID		AXOVANT SCIENCES LTD COM ISIN#BMG07	B	7,670.00	30.00	0.00	30.00 80.0 24.00
10/03/2017	QGW004905	CAPRARO, DAVID		UNITI GROUP INC COMMON STOCK	B	15,132.40	30.00	0.00	30.00 80.0 24.00
10/04/2017	QGW004905	CAPRARO, DAVID		KINDRED HEALTHCARE INC COM	B	6,825.00	30.00	0.00	30.00 80.0 24.00
10/05/2017	QGW004905	CAPRARO, DAVID		UNITI GROUP INC COMMON STOCK	B	8,040.00	30.00	0.00	30.00 80.0 24.00
10/06/2017	QGW004905	CAPRARO, DAVID		AXOVANT SCIENCES LTD COM ISIN#BMG07	S	-7,344.00	30.00	0.00	30.00 80.0 24.00
10/06/2017	QGW004905	CAPRARO, DAVID		INTER PIPELINE LTD COM ISIN#CA45833	S	-4,036.20	10.00	0.00	10.00 80.0 8.00
10/06/2017	QGW004905	CAPRARO, DAVID		INTER PIPELINE LTD COM ISIN#CA45833	S	-2,018.10	5.00	0.00	5.00 80.0 4.00
10/06/2017	QGW004905	CAPRARO, DAVID		INTER PIPELINE LTD COM ISIN#CA45833	S	-2,018.10	5.00	0.00	5.00 80.0 4.00
10/06/2017	QGW004905	CAPRARO, DAVID		INTER PIPELINE LTD COM ISIN#CA45833	S	-2,018.10	5.00	0.00	5.00 80.0 4.00
10/06/2017	QGW004905	CAPRARO, DAVID		INTER PIPELINE LTD COM ISIN#CA45833	S	-2,018.10	5.00	0.00	5.00 80.0 4.00
10/06/2017	QGW004905	CAPRARO, DAVID		KINDRED HEALTHCARE INC COM	S	-124,451.90	190.00	0.00	190.00 80.0 152.00
10/06/2017	QGW004905	CAPRARO, DAVID		MARTIN MIDSTREAM PARTNERS L P	S	-67,124.80	40.00	0.00	40.00 80.0 32.00
10/06/2017	QGW004905	CAPRARO, DAVID		MPLX LP COM UNIT REPSTG LTD PARTNER	S	-35,672.40	10.00	0.00	10.00 80.0 8.00
10/06/2017	QGW004905	CAPRARO, DAVID		PBF LOGISTICS LP UNIT LTD PARTNERSH	S	-83,660.00	40.00	0.00	40.00 80.0 32.00
10/13/2017	QGW004905	CAPRARO, DAVID		FRONTIER COMMUNICATIONS CORPORATION	B	131,651.30	110.00	0.00	110.00 80.0 88.00
10/13/2017	QGW004905	CAPRARO, DAVID		MEDICAL PROPERTIES TRUST INC	S	-25,960.20	20.00	0.00	20.00 80.0 16.00
10/19/2017	QGW005431	ASKIN, DONNA		EKSO BIONICS HOLDINGS INC COMMON ST	B	549.43	20.00	0.00	20.00 80.0 16.00
10/19/2017	QGW005431	ASKIN, DONNA		SUNPOWER CORPORATION	S	-209.76	20.00	0.00	20.00 80.0 16.00
10/20/2017	QGW005456	KISVER, SHERRY		GENERAL ELECTRIC CO	S	-20,558.70	100.00	0.00	100.00 80.0 80.00
10/02/2017	QGW005936	RABBITO, RICHARD		AVINGER INC COM	B	887.25	35.00	0.00	35.00 80.0 28.00
10/02/2017	QGW005936	RABBITO, RICHARD		SPHERIX INC COM PAR \$.01 NEW	S	-1,622.00	35.00	0.00	35.00 80.0 28.00
09/29/2017	QGW009730	SHARTSIS, JAY		PROSHARES TR II VIX ST ETF	S	-22,813.14	20.00	0.00	20.00 80.0 16.00
09/29/2017	QGW009730	SHARTSIS, JAY		PROSHARES TR PROSHARES ULTRAPRO SHO	S	-26,884.50	10.00	0.00	10.00 80.0 8.00
09/29/2017	QGW009730	SHARTSIS, JAY		PROSHARES TR PROSHARES ULTRAPRO SHO	S	-13,435.35	5.00	0.00	5.00 80.0 4.00
09/29/2017	QGW009730	SHARTSIS, JAY		PROSHARES TR PROSHARES ULTRAPRO SHO	S	-13,445.05	5.00	0.00	5.00 80.0 4.00
09/29/2017	QGW009730	SHARTSIS, JAY		TWITTER INC	S	-8,400.45	20.00	0.00	20.00 80.0 16.00
10/03/2017	QGW009730	SHARTSIS, JAY		ENDOCYTE INC	S	-4,247.03	20.00	0.00	20.00 80.0 16.00
10/10/2017	QGW009730	SHARTSIS, JAY		COTY INC COM CL A	S	-16,740.00	20.00	0.00	20.00 80.0 16.00
10/20/2017	QGW009730	SHARTSIS, JAY		RESOLUTE ENERGY CORP COM NEW	S	-6,000.00	20.00	0.00	20.00 80.0 16.00
10/27/2017	QGW013328	REILLY, DAVID		ATLANTIC POWER CORPORATION	S	-1,500.00	20.00	0.00	20.00 80.0 16.00
09/28/2017	QGW013484	HACKEL, DEBBIE		SMART GLOBAL HLDGS INC SHS	B	23,998.00	10.00	0.00	10.00 80.0 8.00
09/29/2017	QGW013484	HACKEL, DEBBIE		SMART GLOBAL HLDGS INC SHS	S	-25,270.00	10.00	0.00	10.00 80.0 8.00
10/09/2017	QGW013484	HACKEL, DEBBIE		GLOBUS MEDICAL INC	B	30,070.00	10.00	0.00	10.00 80.0 8.00
10/09/2017	QGW013484	HACKEL, DEBBIE		GLOBUS MEDICAL INC	S	-30,431.80	10.00	0.00	10.00 80.0 8.00
10/11/2017	QGW013484	HACKEL, DEBBIE		CHICOS FAS INC	S	-10,451.70	10.00	0.00	10.00 80.0 8.00
10/12/2017	QGW013484	HACKEL, DEBBIE		CHICOS FAS INC	B	15,300.00	10.00	0.00	10.00 80.0 8.00

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<u>BROKER TRANSACTIONS</u>									
<b>PRODUCT CATEGORY: STOCKS</b>									
10/12/2017	QGW013484 HACKEL, DEBBIE	CHICOS FAS INC	S	-5,348.30	10.00	0.00	10.00	80.0	8.00
10/12/2017	QGW013484 HACKEL, DEBBIE	OCLARO INC COM NEW	S	-8,148.00	10.00	0.00	10.00	80.0	8.00
10/12/2017	QGW013484 HACKEL, DEBBIE	OCLARO INC COM NEW	B	8,177.10	10.00	0.00	10.00	80.0	8.00
10/18/2017	QGW013484 HACKEL, DEBBIE	CANADIAN NATIONAL RAILWAY CO	B	40,500.00	10.00	0.00	10.00	80.0	8.00
10/18/2017	QGW013484 HACKEL, DEBBIE	CANADIAN NATIONAL RAILWAY CO	S	-40,621.00	10.00	0.00	10.00	80.0	8.00
10/19/2017	QGW013484 HACKEL, DEBBIE	CELESTICA INC	B	24,459.00	10.00	0.00	10.00	80.0	8.00
10/19/2017	QGW013484 HACKEL, DEBBIE	CELESTICA INC	S	-24,440.00	10.00	0.00	10.00	80.0	8.00
10/19/2017	QGW013484 HACKEL, DEBBIE	CROCS INC	B	6,790.00	10.00	0.00	10.00	80.0	8.00
10/19/2017	QGW013484 HACKEL, DEBBIE	SANMINA CORPORATION COM	S	-38,250.00	10.00	0.00	10.00	80.0	8.00
10/19/2017	QGW013484 HACKEL, DEBBIE	SANMINA CORPORATION COM	B	37,519.70	10.00	0.00	10.00	80.0	8.00
10/20/2017	QGW013484 HACKEL, DEBBIE	CROCS INC	S	-8,008.00	10.00	0.00	10.00	80.0	8.00
10/20/2017	QGW013484 HACKEL, DEBBIE	CROCS INC	B	985.00	10.00	0.00	10.00	80.0	8.00
10/20/2017	QGW013484 HACKEL, DEBBIE	GENERAL ELECTRIC CO	B	22,400.00	10.00	0.00	10.00	80.0	8.00
10/20/2017	QGW013484 HACKEL, DEBBIE	GENERAL ELECTRIC CO	S	-22,510.00	10.00	0.00	10.00	80.0	8.00
10/23/2017	QGW013484 HACKEL, DEBBIE	MILLER HERMAN INC	S	-31,500.00	10.00	0.00	10.00	80.0	8.00
10/23/2017	QGW013484 HACKEL, DEBBIE	STEELCASE INC-MICH CL A	S	-43,809.90	10.00	0.00	10.00	80.0	8.00
10/24/2017	QGW013484 HACKEL, DEBBIE	BUFFALO WILD WINGS INC COM	S	-52,750.00	10.00	0.00	10.00	80.0	8.00
10/24/2017	QGW013484 HACKEL, DEBBIE	CNH INDL N V SHS ISIN#NL0010545661	B	36,360.00	10.00	0.00	10.00	80.0	8.00
10/24/2017	QGW013484 HACKEL, DEBBIE	CNH INDL N V SHS ISIN#NL0010545661	S	-36,450.00	10.00	0.00	10.00	80.0	8.00
10/24/2017	QGW013484 HACKEL, DEBBIE	MILLER HERMAN INC	B	31,834.98	10.00	0.00	10.00	80.0	8.00
10/24/2017	QGW013484 HACKEL, DEBBIE	STEELCASE INC-MICH CL A	B	45,000.00	10.00	0.00	10.00	80.0	8.00
10/25/2017	QGW013484 HACKEL, DEBBIE	AUTONATION INC DEL COM	B	45,570.00	10.00	0.00	10.00	80.0	8.00
10/25/2017	QGW013484 HACKEL, DEBBIE	AUTONATION INC DEL COM	S	-45,320.00	10.00	0.00	10.00	80.0	8.00
10/25/2017	QGW013484 HACKEL, DEBBIE	BUFFALO WILD WINGS INC COM	B	51,975.00	10.00	0.00	10.00	80.0	8.00
10/25/2017	QGW013484 HACKEL, DEBBIE	MARRIOTT INTL INC NEW CL A	S	-57,884.70	10.00	0.00	10.00	80.0	8.00
10/25/2017	QGW013484 HACKEL, DEBBIE	MARRIOTT INTL INC NEW CL A	B	58,510.00	10.00	0.00	10.00	80.0	8.00
10/25/2017	QGW013484 HACKEL, DEBBIE	WEIGHT WATCHERS INTL INC NEW	S	-44,950.00	10.00	0.00	10.00	80.0	8.00
10/26/2017	QGW013484 HACKEL, DEBBIE	AUTONATION INC DEL COM	B	47,033.00	10.00	0.00	10.00	80.0	8.00
10/26/2017	QGW013484 HACKEL, DEBBIE	AUTONATION INC DEL COM	S	-47,143.00	10.00	0.00	10.00	80.0	8.00
10/26/2017	QGW013484 HACKEL, DEBBIE	GILEAD SCIENCES INC	S	-39,392.00	10.00	0.00	10.00	80.0	8.00
10/26/2017	QGW013484 HACKEL, DEBBIE	GILEAD SCIENCES INC	B	38,704.00	10.00	0.00	10.00	80.0	8.00
10/26/2017	QGW013484 HACKEL, DEBBIE	MATTEL INCORPORATED	S	-7,150.00	10.00	0.00	10.00	80.0	8.00
10/26/2017	QGW013484 HACKEL, DEBBIE	TRIPADVISOR INC COM	B	18,320.00	10.00	0.00	10.00	80.0	8.00
10/26/2017	QGW013484 HACKEL, DEBBIE	TRIPADVISOR INC COM	S	-18,500.00	10.00	0.00	10.00	80.0	8.00
10/26/2017	QGW013484 HACKEL, DEBBIE	TWITTER INC	B	20,282.00	10.00	0.00	10.00	80.0	8.00
10/26/2017	QGW013484 HACKEL, DEBBIE	WEIGHT WATCHERS INTL INC NEW	B	45,159.00	10.00	0.00	10.00	80.0	8.00
10/27/2017	QGW013484 HACKEL, DEBBIE	COOPER TIRE & RUBBER CO	B	35,800.00	10.00	0.00	10.00	80.0	8.00
10/27/2017	QGW013484 HACKEL, DEBBIE	COOPER TIRE & RUBBER CO	S	-35,750.00	10.00	0.00	10.00	80.0	8.00
10/27/2017	QGW013484 HACKEL, DEBBIE	EXPEDITORS INTL WASH INC	S	-59,277.60	10.00	0.00	10.00	80.0	8.00
10/27/2017	QGW013484 HACKEL, DEBBIE	EXPEDITORS INTL WASH INC	B	59,417.00	10.00	0.00	10.00	80.0	8.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/27/2017	QGW013484 HACKEL, DEBBIE	JINKOSOLAR HLDG CO LTD SPONSORED AD S		-25,542.00	10.00	0.00	10.00	80.0	8.00
10/27/2017	QGW013484 HACKEL, DEBBIE	JINKOSOLAR HLDG CO LTD SPONSORED AD B		25,500.00	10.00	0.00	10.00	80.0	8.00
10/27/2017	QGW013484 HACKEL, DEBBIE	MATTEL INCORPORATED	B	6,047.45	10.00	0.00	10.00	80.0	8.00
10/27/2017	QGW013484 HACKEL, DEBBIE	MATTEL INCORPORATED	B	-6,047.45	-10.00	0.00	-10.00	80.0	-8.00 C
10/27/2017	QGW013484 HACKEL, DEBBIE	MATTEL INCORPORATED	B	6,047.45	10.00	0.00	10.00	80.0	8.00
10/27/2017	QGW013484 HACKEL, DEBBIE	TWITTER INC	S	-20,300.00	10.00	0.00	10.00	80.0	8.00
10/20/2017	QGW014391 DARWISH, MENACHEM	NOVARTIS AG AMERICAN DEPOSITARY SHA B		340,000.00	35.00	0.00	35.00	80.0	28.00
10/23/2017	QGW014391 DARWISH, MENACHEM	NOVARTIS AG AMERICAN DEPOSITARY SHA S		-25,553.88	2.60	0.00	2.60	80.0	2.08
10/23/2017	QGW014391 DARWISH, MENACHEM	NOVARTIS AG AMERICAN DEPOSITARY SHA S		-318,763.87	32.40	0.00	32.40	80.0	25.92
10/02/2017	QGW020513 FORST, BARRY	CLEAN TEQ HOLDINGS LTD MELBOURNE SH B		2,397.90	20.00	0.00	20.00	80.0	16.00
10/04/2017	QGW020513 FORST, BARRY	KRAKEN ROBOTICS INC COM ISIN#CA5007 B		1,480.00	20.00	0.00	20.00	80.0	16.00
10/10/2017	QGW020513 FORST, BARRY	KRAKEN ROBOTICS INC COM ISIN#CA5007 B		1,500.00	20.00	0.00	20.00	80.0	16.00
10/13/2017	QGW020513 FORST, BARRY	KRAKEN ROBOTICS INC COM ISIN#CA5007 B		1,380.00	20.00	0.00	20.00	80.0	16.00
10/26/2017	QGW020513 FORST, BARRY	KRAKEN ROBOTICS INC COM ISIN#CA5007 B		4,179.00	20.00	0.00	20.00	80.0	16.00
10/26/2017	QGW020513 FORST, BARRY	SEYCHELLE ENVIRONMENTAL COM	S	-1,312.50	20.00	0.00	20.00	80.0	16.00
10/13/2017	QGW020539 FORST, SHERRIE	KRAKEN ROBOTICS INC COM ISIN#CA5007 B		1,380.00	20.00	0.00	20.00	80.0	16.00
09/28/2017	QGW020992 NEILL, MARTIN	KRAKEN ROBOTICS INC COM ISIN#CA5007 B		3,060.00	20.00	0.00	20.00	80.0	16.00
09/28/2017	QGW020992 NEILL, MARTIN	STRATEGIC ENVIRONMENTAL & ENERGY RE S		-2,600.00	20.00	0.00	20.00	80.0	16.00
09/28/2017	QGW021263 KOLENIK, PATRICK	LEGACY ED ALLIANCE INC COM	B	390.00	40.00	0.00	40.00	80.0	32.00
09/29/2017	QGW021263 KOLENIK, PATRICK	LEGACY ED ALLIANCE INC COM	B	400.00	40.00	0.00	40.00	80.0	32.00
10/11/2017	QGW025785 KALLAM, BOBBIE	MONDELEZ INTERNATIONAL INC COM	S	-2,706.67	50.00	0.00	50.00	80.0	40.00
10/12/2017	QGW029019 SHARTSIS, JAY	AXOVANT SCIENCES LTD COM ISIN#BMG07 S		-3,192.05	20.00	0.00	20.00	80.0	16.00
10/12/2017	QGW029019 SHARTSIS, JAY	SANDSTORM GOLD LTD COM NEW ISIN#CA8 S		-3,313.45	20.00	0.00	20.00	80.0	16.00
10/20/2017	QGW029019 SHARTSIS, JAY	RED HAT INC	S	-32,400.00	20.00	0.00	20.00	80.0	16.00
10/20/2017	QGW029019 SHARTSIS, JAY	RED HAT INC	B	36,000.00	20.00	0.00	20.00	80.0	16.00
10/25/2017	QGW030272 CHERNOFF, GLENN	HARDINGE INC COM	B	32,160.00	20.00	0.00	20.00	80.0	16.00
10/03/2017	QGW030298 CHERNOFF, GLENN	FACEBOOK INC CL A	S	-16,992.00	20.00	0.00	20.00	80.0	16.00
10/17/2017	QGW030298 CHERNOFF, GLENN	MAXAR TECHNOLOGIES LTD REGISTERED S B		18,652.50	20.00	0.00	20.00	80.0	16.00
10/19/2017	QGW030298 CHERNOFF, GLENN	ZEBRA TECHNOLOGIES CORP CL A	B	33,552.00	20.00	0.00	20.00	80.0	16.00
10/25/2017	QGW030298 CHERNOFF, GLENN	HARDINGE INC COM	B	32,160.00	20.00	0.00	20.00	80.0	16.00
10/25/2017	QGW030298 CHERNOFF, GLENN	HARDINGE INC COM	B	-32,160.00	-20.00	0.00	-20.00	80.0	-16.00 C
10/23/2017	QGW030306 HERMAN, PAUL	FAT BRANDS INC COMMON STOCK	S	-5,200.00	65.00	0.00	65.00	80.0	52.00
10/23/2017	QGW030629 BEGLEY, MICHAEL	AT&T INC	S	-8,827.50	20.00	0.00	20.00	80.0	16.00
10/11/2017	QGW030728 RUDOLPH, MARTIN	HELIOS & MATHESON ANALYTICS INC COM S		-17,285.00	20.00	0.00	20.00	80.0	16.00
10/11/2017	QGW030728 RUDOLPH, MARTIN	HELIOS & MATHESON ANALYTICS INC COM B		16,750.00	20.00	0.00	20.00	80.0	16.00
10/25/2017	QGW030728 RUDOLPH, MARTIN	VANECK VECTORS ETF TR OIL SVCS ETF B		11,698.75	20.00	0.00	20.00	80.0	16.00
10/04/2017	QGW030744 RUDOLPH, CAROL	FORD MOTOR CO PAR \$0.01	B	2,485.32	20.00	0.00	20.00	80.0	16.00
10/26/2017	QGW030744 RUDOLPH, CAROL	ADVANCED MICRO DEVICES INC	B	3,660.00	20.00	0.00	20.00	80.0	16.00
10/26/2017	QGW030744 RUDOLPH, CAROL	CAPSTEAD MTG CORP COM NO PAR	S	-1,883.00	20.00	0.00	20.00	80.0	16.00
10/26/2017	QGW030744 RUDOLPH, CAROL	CLEAN ENERGY FUELS CORP	S	-926.00	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/17/2017	QGW031015	RUDOLPH, HOWARD		CLEAN ENERGY FUELS CORP	S	-689.43	20.00	0.00	20.00 80.0 16.00
10/17/2017	QGW031015	RUDOLPH, HOWARD		TRIO TECH INTL NEW	B	634.92	20.00	0.00	20.00 80.0 16.00
10/02/2017	QGW031049	WINNICK, CHARLES		FOOT LOCKER INC	S	-104,310.00	20.00	0.00	20.00 80.0 16.00
10/03/2017	QGW031049	WINNICK, CHARLES		J ALEXANDER S HOLDINGS INC	S	-24,200.00	20.00	0.00	20.00 80.0 16.00
10/03/2017	QGW031049	WINNICK, CHARLES		NUVECTRA CORP COM	S	-32,475.00	20.00	0.00	20.00 80.0 16.00
10/03/2017	QGW031049	WINNICK, CHARLES		TIER REIT INC COM	S	-77,684.40	20.00	0.00	20.00 80.0 16.00
10/03/2017	QGW031049	WINNICK, CHARLES		VERACYTE INC	S	-22,750.00	20.00	0.00	20.00 80.0 16.00
10/04/2017	QGW031049	WINNICK, CHARLES		NUVECTRA CORP COM	B	13,657.46	20.00	0.00	20.00 80.0 16.00
10/05/2017	QGW031049	WINNICK, CHARLES		CARS COM INC COM	S	-56,809.40	20.00	0.00	20.00 80.0 16.00
10/06/2017	QGW031049	WINNICK, CHARLES		VERACYTE INC	S	-22,954.75	20.00	0.00	20.00 80.0 16.00
10/09/2017	QGW031049	WINNICK, CHARLES		OTONOMY INC COM	B	35,500.00	20.00	0.00	20.00 80.0 16.00
10/11/2017	QGW031049	WINNICK, CHARLES		NASDAQ SUPER MONTAGE TEST SYMBOL-12 B		1,003.00	20.00	0.00	20.00 80.0 16.00
10/11/2017	QGW031049	WINNICK, CHARLES		NASDAQ SUPER MONTAGE TEST SYMBOL-12 B		-1,003.00	-20.00	0.00	-20.00 80.0 -16.00 C
10/18/2017	QGW031049	WINNICK, CHARLES		CBS CORP CLASS B	B	114,660.00	20.00	0.00	20.00 80.0 16.00
10/19/2017	QGW031049	WINNICK, CHARLES		MAINSTREET BANCSHARES INC COM	B	9,484.23	20.00	0.00	20.00 80.0 16.00
10/25/2017	QGW031049	WINNICK, CHARLES		CBS CORP CLASS B	S	-113,800.00	20.00	0.00	20.00 80.0 16.00
10/27/2017	QGW031049	WINNICK, CHARLES		CBS CORP CLASS B	B	5,599.44	20.00	0.00	20.00 80.0 16.00
10/27/2017	QGW031049	WINNICK, CHARLES		FORESIGHT FINL GRP INC COM	B	32,239.00	20.00	0.00	20.00 80.0 16.00
10/27/2017	QGW031049	WINNICK, CHARLES		MAINSTREET BANCSHARES INC COM	B	16,500.00	20.00	0.00	20.00 80.0 16.00
10/04/2017	QGW031932	STEWART BYRNE		POWERSHARES EXCHANGE-TRADED FD TR W S		-2,905.01	20.00	0.00	20.00 80.0 16.00
10/04/2017	QGW031932	STEWART BYRNE		REGULUS THERAPEUTICS INC COM	B	264.00	10.00	0.00	10.00 80.0 8.00
10/04/2017	QGW031932	STEWART BYRNE		VUZIX CORP COM NEW	B	2,597.50	20.00	0.00	20.00 80.0 16.00
10/17/2017	QGW036410	BELZ, KATHLEEN		PWRCOR INC COM	B	5,200.00	20.00	0.00	20.00 80.0 16.00
10/20/2017	QGW036410	BELZ, KATHLEEN		OPKO HEALTH INC	S	-26,000.00	20.00	0.00	20.00 80.0 16.00
10/25/2017	QGW036410	BELZ, KATHLEEN		SACHEM CAPITAL CORP COMMON SHARES	B	62,844.60	20.00	0.00	20.00 80.0 16.00
10/20/2017	QGW036436	BELZ, KATHLEEN		BANK OF AMERICA CORP	S	-78,000.00	20.00	0.00	20.00 80.0 16.00
10/20/2017	QGW036436	BELZ, KATHLEEN		JD.COM INC SPONSORED ADR REPSTG COM B		74,100.00	20.00	0.00	20.00 80.0 16.00
10/25/2017	QGW036436	BELZ, KATHLEEN		SACHEM CAPITAL CORP COMMON SHARES	B	4,028.50	20.00	0.00	20.00 80.0 16.00
10/25/2017	QGW036436	BELZ, KATHLEEN		SACHEM CAPITAL CORP COMMON SHARES	B	16,114.00	345.00	0.00	345.00 80.0 276.00
10/25/2017	QGW036436	BELZ, KATHLEEN		SACHEM CAPITAL CORP COMMON SHARES	B	8,057.00	20.00	0.00	20.00 80.0 16.00
10/25/2017	QGW036436	BELZ, KATHLEEN		SACHEM CAPITAL CORP COMMON SHARES	B	-16,114.00	-345.00	0.00	-345.00 80.0 -276.00 C
10/25/2017	QGW036436	BELZ, KATHLEEN		SACHEM CAPITAL CORP COMMON SHARES	B	16,114.00	20.00	0.00	20.00 80.0 16.00
10/16/2017	QGW036444	BELZ, RICHARD		OPKO HEALTH INC	S	-4,550.00	20.00	0.00	20.00 80.0 16.00
10/19/2017	QGW036444	BELZ, RICHARD		OPKO HEALTH INC	S	-650.00	20.00	0.00	20.00 80.0 16.00
10/20/2017	QGW036444	BELZ, RICHARD		OPKO HEALTH INC	S	-46,800.00	20.00	0.00	20.00 80.0 16.00
10/25/2017	QGW036444	BELZ, RICHARD		SACHEM CAPITAL CORP COMMON SHARES	B	62,038.90	20.00	0.00	20.00 80.0 16.00
10/13/2017	QGW036451	BELZ, RICHARD		OPKO HEALTH INC	B	35,000.00	20.00	0.00	20.00 80.0 16.00
10/19/2017	QGW036451	BELZ, RICHARD		TRUPANION INC COM	S	-22,500.00	20.00	0.00	20.00 80.0 16.00
10/20/2017	QGW036451	BELZ, RICHARD		BANK OF AMERICA CORP	S	-78,000.00	20.00	0.00	20.00 80.0 16.00
10/20/2017	QGW036451	BELZ, RICHARD		TRUPANION INC COM	S	-52,500.00	20.00	0.00	20.00 80.0 16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/25/2017	QGW036451	BELZ, RICHARD							
		SACHEM CAPITAL CORP COMMON SHARES	B	73,233.16	20.00	0.00	20.00	80.0	16.00
10/25/2017	QGW036469	BELZ, RICHARD							
		SACHEM CAPITAL CORP COMMON SHARES	B	22,156.75	20.00	0.00	20.00	80.0	16.00
10/25/2017	QGW036469	BELZ, RICHARD							
		SACHEM CAPITAL CORP COMMON SHARES	B	-22,156.75	-20.00	0.00	-20.00	80.0	-16.00 C
10/25/2017	QGW036469	BELZ, RICHARD							
		SACHEM CAPITAL CORP COMMON SHARES	B	18,128.25	282.00	0.00	282.00	80.0	225.60
09/28/2017	QGW036634	KEHOE -, JOANNE							
		KRAKEN ROBOTICS INC COM ISIN#CA5007	B	2,002.00	20.00	0.00	20.00	80.0	16.00
10/06/2017	QGW036659	SHARTSIS, JAY							
		KIRKLAND LAKE GOLD LTD COM ISIN#	CA B	20,694.90	20.00	0.00	20.00	80.0	16.00
10/20/2017	QGW036691	ABRAMSON, WILLA							
		MACYS INC	B	8,407.60	151.11	0.00	151.11	80.0	120.89
10/17/2017	QGW036865	MURPHY, DENNIS							
		GENERAL ELECTRIC CO	B	6,952.50	35.00	0.00	35.00	80.0	28.00
09/29/2017	QGW037368	HEIBER, JENNIFER							
		USG CORP (NEW) COMMON STOCK	B	164,375.00	25.00	0.00	25.00	80.0	20.00
09/29/2017	QGW037368	HEIBER, JENNIFER							
		USG CORP (NEW) COMMON STOCK	S	-163,000.00	25.00	0.00	25.00	80.0	20.00
10/02/2017	QGW037368	HEIBER, JENNIFER							
		INOVIO PHARMACEUTICALS INC COM	S	-3,250.00	25.00	0.00	25.00	80.0	20.00
10/03/2017	QGW037368	HEIBER, JENNIFER							
		MARINUS PHARMACEUTICALS INC COM	B	68,200.00	25.00	0.00	25.00	80.0	20.00
10/04/2017	QGW037368	HEIBER, JENNIFER							
		MEDICAL TRANSCRIPTION BILLING CORP	B	36,861.00	25.00	0.00	25.00	80.0	20.00
10/05/2017	QGW037368	HEIBER, JENNIFER							
		22ND CENTY GROUP INC COM	B	31,000.00	25.00	0.00	25.00	80.0	20.00
10/05/2017	QGW037368	HEIBER, JENNIFER							
		GLOBAL X LITHIUM AND BATTERY ETF	B	19,875.00	25.00	0.00	25.00	80.0	20.00
10/05/2017	QGW037368	HEIBER, JENNIFER							
		MEDICAL TRANSCRIPTION BILLING CORP	B	13,999.50	25.00	0.00	25.00	80.0	20.00
10/05/2017	QGW037368	HEIBER, JENNIFER							
		OWC PHARMACEUTICAL RESH CORP COM	B	10,250.00	25.00	0.00	25.00	80.0	20.00
10/05/2017	QGW037368	HEIBER, JENNIFER							
		RITE AID CORPORATION	S	-2,070.00	25.00	0.00	25.00	80.0	20.00
10/05/2017	QGW037368	HEIBER, JENNIFER							
		UQM TECHNOLOGIES INC COM	B	5,040.00	25.00	0.00	25.00	80.0	20.00
10/05/2017	QGW037368	HEIBER, JENNIFER							
		WALGREEN BOOTS ALLIANCE INC COM	S	-15,368.96	25.00	0.00	25.00	80.0	20.00
10/06/2017	QGW037368	HEIBER, JENNIFER							
		MARINUS PHARMACEUTICALS INC COM	B	108,540.00	25.00	0.00	25.00	80.0	20.00
10/06/2017	QGW037368	HEIBER, JENNIFER							
		SYNERGY PHARMACEUTICALS INC	B	13,828.00	25.00	0.00	25.00	80.0	20.00
10/10/2017	QGW037368	HEIBER, JENNIFER							
		KINERJAPAY CORP COM	B	8,000.00	25.00	0.00	25.00	80.0	20.00
10/11/2017	QGW037368	HEIBER, JENNIFER							
		SQUARE INC CLASS A COM	B	15,949.00	25.00	0.00	25.00	80.0	20.00
10/13/2017	QGW037368	HEIBER, JENNIFER							
		BEST INC SPONS ADR ISIN# US08653C10	B	113,500.00	25.00	0.00	25.00	80.0	20.00
10/16/2017	QGW037368	HEIBER, JENNIFER							
		KINERJAPAY CORP COM	B	55,488.00	25.00	0.00	25.00	80.0	20.00
10/16/2017	QGW037368	HEIBER, JENNIFER							
		KINERJAPAY CORP COM	B	55,488.00	25.00	0.00	25.00	80.0	20.00
10/16/2017	QGW037368	HEIBER, JENNIFER							
		KINERJAPAY CORP COM	B	-55,488.00	-25.00	0.00	-25.00	80.0	-20.00 C
10/19/2017	QGW037368	HEIBER, JENNIFER							
		22ND CENTY GROUP INC COM	B	26,749.00	25.00	0.00	25.00	80.0	20.00
10/19/2017	QGW037368	HEIBER, JENNIFER							
		KINERJAPAY CORP COM	B	10,750.00	25.00	0.00	25.00	80.0	20.00
10/24/2017	QGW037368	HEIBER, JENNIFER							
		MONGODB INC CL A	S	-153,020.50	25.00	0.00	25.00	80.0	20.00
10/24/2017	QGW037368	HEIBER, JENNIFER							
		MONGODB INC CL A	B	152,869.00	25.00	0.00	25.00	80.0	20.00
10/25/2017	QGW037368	HEIBER, JENNIFER							
		BEST INC SPONS ADR ISIN# US08653C10	S	-213,098.00	25.00	0.00	25.00	80.0	20.00
10/25/2017	QGW037368	HEIBER, JENNIFER							
		PROSHARES TRUST II PROSHARES ULTRA	B	89,542.50	25.00	0.00	25.00	80.0	20.00
10/27/2017	QGW037368	HEIBER, JENNIFER							
		GNC HLDGS INC COM CL A	B	69,450.00	25.00	0.00	25.00	80.0	20.00
10/06/2017	QGW037558	HIEBER TRUST,							
		SYNERGY PHARMACEUTICALS INC	B	1,728.50	80.00	0.00	80.00	80.0	64.00
10/09/2017	QGW037558	HIEBER TRUST,							
		PAYPAL HOLDINGS INC COM	S	-3,325.00	100.00	0.00	100.00	80.0	80.00
10/18/2017	QGW037558	HIEBER TRUST,							
		KINERJAPAY CORP COM	B	2,149.70	70.00	0.00	70.00	80.0	56.00
10/06/2017	QGW038267	ROSS, THOMAS							
		SYNERGY PHARMACEUTICALS INC	B	6,914.00	25.00	0.00	25.00	80.0	20.00
10/23/2017	QGW038853	KAZLOWSKI, PHILIP							
		FAT BRANDS INC COMMON STOCK	S	-12,623.60	130.00	0.00	130.00	80.0	104.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: BONDS</u></b>									
* STOCKS SUBTOTAL *				\$1,190,951.18	\$5,541.97	\$0.00	\$5,541.97		\$4,433.57
<b><u>PRODUCT CATEGORY: BONDS</u></b>									
10/13/2017	75500349	POMP, BARRY		SOCIETE GENERALE REV CONV LKD TO MS B	12,000.00	0.00	0.00	80.0	0.00
* BONDS SUBTOTAL *				\$12,000.00	\$0.00	\$0.00	\$0.00		\$0.00
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>									
10/05/2017	75500426	MIGLIORATO, DOMENICK	CALL	SPY 11/17/17 252 S	9,440.00	80.00	0.00	80.00	64.00
10/05/2017	75500426	MIGLIORATO, DOMENICK	CALL	SPY 11/17/17 252 B	800.00	50.00	0.00	50.00	40.00
10/05/2017	75500426	MIGLIORATO, DOMENICK	PUT	SPY 12/15/17 240 S	3,450.00	100.00	0.00	100.00	80.00
10/17/2017	75500426	MIGLIORATO, DOMENICK	PUT	OSTK 12/15/17 30 B	6,900.00	100.00	0.00	100.00	80.00
10/05/2017	QGW001166	KOONDEL	CALL	100 ALPHABET INC CL C EXP 10-2 S	-11,533.02	15.10	0.00	15.10	12.08
10/05/2017	QGW001166	KOONDEL	CALL	100 ALPHABET INC CL C EXP 10-2 B	9,457.00	15.95	0.00	15.95	12.76
10/05/2017	QGW001166	KOONDEL	CALL	100 BANK AMER CORP COM EXP 11- S	-736.00	23.60	0.00	23.60	18.88
10/05/2017	QGW001166	KOONDEL	CALL	100 BANK AMER CORP COM EXP 11- S	-1,288.00	21.90	0.00	21.90	17.52
10/05/2017	QGW001166	KOONDEL	CALL	100 BANK AMER CORP COM EXP 02- S	-4,000.00	31.25	0.00	31.25	25.00
10/05/2017	QGW001166	KOONDEL	CALL	100 BANK AMER CORP COM EXP 04- B	4,350.00	35.50	0.00	35.50	28.40
10/05/2017	QGW001166	KOONDEL	CALL	100 BANK AMER CORP COM EXP 10- B	3,474.00	31.25	0.00	31.25	25.00
10/05/2017	QGW001166	KOONDEL	PUT	100 APPLE INC COM EXP 01-19-18@ B	177.00	35.50	0.00	35.50	28.40
10/05/2017	QGW001166	KOONDEL	PUT	100 APPLE INC COM EXP 01-19-18@ S	-144.00	52.50	0.00	52.50	42.00
10/10/2017	QGW001166	KOONDEL	CALL	100 APPLE INC COM EXP 10-13-17 S	-2,595.00	27.00	0.00	27.00	21.60
10/10/2017	QGW001166	KOONDEL	CALL	100 APPLE INC COM EXP 11-24-17 B	8,098.00	27.00	0.00	27.00	21.60
10/10/2017	QGW001166	KOONDEL	CALL	100 APPLE INC COM EXP 10-13-17 S	2,595.00	-27.00	0.00	-27.00	-21.60 C
10/10/2017	QGW001166	KOONDEL	CALL	100 APPLE INC COM EXP 10-13-17 S	-2,595.00	27.00	0.00	27.00	21.60
10/11/2017	QGW001166	KOONDEL	CALL	100 APPLE INC COM EXP 10-20-17 S	-5,383.00	27.00	0.00	27.00	21.60
10/11/2017	QGW001166	KOONDEL	CALL	100 ALPHABET INC CL C EXP 12-1 B	12,654.00	13.40	0.00	13.40	10.72
10/11/2017	QGW001166	KOONDEL	CALL	100 ALPHABET INC CL C EXP 12-1 S	-13,995.00	15.10	0.00	15.10	12.08
10/11/2017	QGW001166	KOONDEL	CALL	100 APPLE INC COM EXP 10-13-17 B	3,963.00	27.00	0.00	27.00	21.60
10/11/2017	QGW001166	KOONDEL	CALL	100 APPLE INC COM EXP 10-20-17 S	5,383.00	-27.00	0.00	-27.00	-21.60 C
10/11/2017	QGW001166	KOONDEL	CALL	100 APPLE INC COM EXP 10-20-17 S	-5,383.00	27.00	0.00	27.00	21.60
10/11/2017	QGW001166	KOONDEL	CALL	100 ALPHABET INC CL C EXP 12-1 B	-12,654.00	-13.40	0.00	-13.40	-10.72 C
10/11/2017	QGW001166	KOONDEL	CALL	100 ALPHABET INC CL C EXP 12-1 B	12,654.00	13.40	0.00	13.40	10.72
10/12/2017	QGW001166	KOONDEL	CALL	100 ALPHABET INC CL C EXP 12-1 S	-13,329.00	12.55	0.00	12.55	10.04
10/12/2017	QGW001166	KOONDEL	CALL	100 ALPHABET INC CL C EXP 12-1 B	13,552.00	13.40	0.00	13.40	10.72
10/18/2017	QGW001166	KOONDEL	CALL	100 BANK AMER CORP COM EXP 02- S	-4,225.00	31.25	0.00	31.25	25.00
10/18/2017	QGW001166	KOONDEL	CALL	100 BANK OF AMERICA CORPORATIO B	4,025.00	31.25	0.00	31.25	25.00
10/18/2017	QGW001166	KOONDEL	PUT	100 APPLE INC COM EXP 01-19-18@ S	-107.94	27.85	0.00	27.85	22.28
10/18/2017	QGW001166	KOONDEL	PUT	100 APPLE INC COM EXP 01-19-18@ B	1,575.00	14.25	0.00	14.25	11.40
10/18/2017	QGW001166	KOONDEL	PUT	100 APPLE INC COM EXP 12-15-17@ B	1,025.00	14.25	0.00	14.25	11.40
10/18/2017	QGW001166	KOONDEL	PUT	100 APPLE INC COM EXP 02-16-18@ B	212.94	27.85	0.00	27.85	22.28



COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/18/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 02-16-18@ B		2,325.00	14.25	0.00	14.25	80.0	11.40
10/18/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 04-20-18@ B		3,075.00	14.25	0.00	14.25	80.0	11.40
10/18/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 12-01-17@ S		-294.00	15.10	0.00	15.10	80.0	12.08
10/18/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 12-01-17@ S		-224.00	13.40	0.00	13.40	80.0	10.72
10/18/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 12-01-17@ S		-390.00	15.10	0.00	15.10	80.0	12.08
10/19/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-15-18 B		23,254.98	45.70	0.00	45.70	80.0	36.56
10/19/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-15-18 S		-7,894.08	25.30	0.00	25.30	80.0	20.24
10/19/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-15-18 S		-8,334.00	30.40	0.00	30.40	80.0	24.32
10/19/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 B		26,530.00	13.40	0.00	13.40	80.0	10.72
10/19/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 S		-17,112.00	12.55	0.00	12.55	80.0	10.04
10/19/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 S		-18,080.00	13.40	0.00	13.40	80.0	10.72
10/19/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 B		16,694.00	13.40	0.00	13.40	80.0	10.72
10/19/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 S		-11,862.00	13.40	0.00	13.40	80.0	10.72
10/19/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 02- S		-8,349.00	52.50	0.00	52.50	80.0	42.00
10/19/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 05- B		1,970.10	35.50	0.00	35.50	80.0	28.40
10/19/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 04- B		5,625.00	52.50	0.00	52.50	80.0	42.00
10/19/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-19-18@ S		-374.22	55.90	0.00	55.90	80.0	44.72
10/19/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 02-16-18@ B		752.22	55.90	0.00	55.90	80.0	44.72
10/19/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 12-01-17@ S		-456.00	15.10	0.00	15.10	80.0	12.08
10/19/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 12-01-17@ S		-696.00	16.80	0.00	16.80	80.0	13.44
10/19/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 12-01-17@ S		-297.00	12.55	0.00	12.55	80.0	10.04
10/20/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 11- S		-240.00	18.50	0.00	18.50	80.0	14.80
10/20/2017	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO S		-510.00	27.00	0.00	27.00	80.0	21.60
10/20/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-19-18@ S		-1,285.00	95.00	0.00	95.00	80.0	76.00
10/20/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-19-18@ B		1,182.00	61.00	0.00	61.00	80.0	48.80
10/23/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 06-15-18@ B		14,025.00	22.75	0.00	22.75	80.0	18.20
10/30/2017	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO B		723.00	27.00	0.00	27.00	80.0	21.60
10/30/2017	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO S		-963.00	27.00	0.00	27.00	80.0	21.60
10/11/2017	QGW001190 ASSOCIATES, BKI	CALL 100 ALPHABET INC CL C EXP 12-1 B		6,308.00	11.70	0.00	11.70	80.0	9.36
10/11/2017	QGW001190 ASSOCIATES, BKI	CALL 100 ALPHABET INC CL C EXP 12-1 S		-6,978.00	12.55	0.00	12.55	80.0	10.04
10/04/2017	QGW001828 BRIMBERG BIOTECH	CALL 100 EXELIXIS INC COM EXP 11-17 S		-700.00	75.00	0.00	75.00	80.0	60.00
10/04/2017	QGW001828 BRIMBERG BIOTECH	CALL 100 EXELIXIS INC COM EXP 11-17 S		-1,100.00	200.00	0.00	200.00	80.0	160.00
10/12/2017	QGW001828 BRIMBERG BIOTECH	CALL 100 EXELIXIS INC COM EXP 10-20 B		100.00	50.00	0.00	50.00	80.0	40.00
10/17/2017	QGW004863 CARROLL, DAVID	CALL 100 BANK AMER CORP COM EXP 12- B		3,009.00	50.00	0.00	50.00	80.0	40.00
10/17/2017	QGW004863 CARROLL, DAVID	CALL 100 BANK AMER CORP COM EXP 02- S		-799.00	50.00	0.00	50.00	80.0	40.00
10/17/2017	QGW004863 CARROLL, DAVID	PUT 100 BANK AMER CORP COM EXP 02-1 S		-4,012.00	50.00	0.00	50.00	80.0	40.00
10/20/2017	QGW004863 CARROLL, DAVID	PUT 100 SPDR S&P 500 ETF TR TR UNIT S		-1,540.00	50.00	0.00	50.00	80.0	40.00
10/27/2017	QGW004863 CARROLL, DAVID	CALL 100 INTEL CORP COM EXP 06-15-1 B		7,950.00	50.00	0.00	50.00	80.0	40.00
10/27/2017	QGW004863 CARROLL, DAVID	PUT 100 SPDR S&P 500 ETF TR TR UNIT S		-8,618.00	50.00	0.00	50.00	80.0	40.00
10/13/2017	QGW004905 CAPRARO, DAVID	CALL 100 UNITI GROUP INC EXP 11-17- S		-1,500.00	30.00	0.00	30.00	80.0	24.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
10/13/2017	QGW004905	CAPRARO, DAVID	PUT 100 FRONTIER COMMUNICATIONS COR S	-4,200.00	60.00	0.00	60.00	80.0	48.00
10/02/2017	QGW005894	JT/WROS, MINNA	CALL 100 DISNEY WALT CO DISNEY COM S	-3,235.00	35.00	0.00	35.00	80.0	28.00
10/02/2017	QGW005894	JT/WROS, MINNA	PUT 100 DISNEY WALT CO DISNEY COM E B	3,090.00	35.00	0.00	35.00	80.0	28.00
10/10/2017	QGW005936	RABBITO, RICHARD	CALL 100 SELECT SECTOR SPDR TR UTIL B	400.00	35.00	0.00	35.00	80.0	28.00
10/16/2017	QGW005936	RABBITO, RICHARD	CALL 100 SELECT SECTOR SPDR TR UTIL B	216.00	35.00	0.00	35.00	80.0	28.00
10/27/2017	QGW005936	RABBITO, RICHARD	CALL 100 SELECT SECTOR SPDR TR UTIL S	-1,098.00	35.00	0.00	35.00	80.0	28.00
10/30/2017	QGW005936	RABBITO, RICHARD	CALL 100 CISCO SYSTEMS INC EXP 12-1 B	900.00	35.00	0.00	35.00	80.0	28.00
10/20/2017	QGW006371	TRAN, SCOTT	CALL 100 S & P 100 INDEX OPTIONS OE B	25,260.00	50.00	0.00	50.00	80.0	40.00
10/20/2017	QGW006371	TRAN, SCOTT	CALL 100 S & P 100 INDEX OPTIONS OE S	-20,260.00	50.00	0.00	50.00	80.0	40.00
09/29/2017	QGW009730	SHARTSIS, JAY	CALL 100 PROSHARES TR ULTRAPRO SHOR B	150.00	15.00	0.00	15.00	80.0	12.00
09/29/2017	QGW009730	SHARTSIS, JAY	PUT 100 DIREXION SHS ETF TR DIREXIO S	-1,640.00	20.00	0.00	20.00	80.0	16.00
09/29/2017	QGW009730	SHARTSIS, JAY	PUT 100 ISHARES INC MSCI BRAZIL CAP S	-4,080.00	20.00	0.00	20.00	80.0	16.00
10/04/2017	QGW009730	SHARTSIS, JAY	CALL 100 ISHARES INC MSCI BRAZIL CA S	-820.00	20.00	0.00	20.00	80.0	16.00
10/04/2017	QGW009730	SHARTSIS, JAY	CALL 100 PROSHARES TR ULTRAPRO SHOR B	1,050.00	20.00	0.00	20.00	80.0	16.00
10/05/2017	QGW009730	SHARTSIS, JAY	CALL 100 TWITTER INC COM EXP 10-20- B	2,640.00	20.00	0.00	20.00	80.0	16.00
10/10/2017	QGW009730	SHARTSIS, JAY	CALL 100 MANNKIND CORP COM NEW EXP S	-1,000.00	20.00	0.00	20.00	80.0	16.00
10/11/2017	QGW009730	SHARTSIS, JAY	CALL 100 MANNKIND CORP COM NEW EXP B	80.00	20.00	0.00	20.00	80.0	16.00
10/11/2017	QGW009730	SHARTSIS, JAY	CALL 100 PROSHARES TR II SHORT VIX B	1,095.00	20.00	0.00	20.00	80.0	16.00
10/12/2017	QGW009730	SHARTSIS, JAY	PUT 100 CITIGROUP INC COM NEW ISIN# S	-1,008.00	20.00	0.00	20.00	80.0	16.00
10/17/2017	QGW009730	SHARTSIS, JAY	CALL 100 APPLE INC COM EXP 10-20-17 B	1,030.00	20.00	0.00	20.00	80.0	16.00
10/18/2017	QGW009730	SHARTSIS, JAY	CALL 100 DIREXION SHS ETF TR DAILY B	2,800.00	20.00	0.00	20.00	80.0	16.00
10/20/2017	QGW009730	SHARTSIS, JAY	CALL 100 PROSHARES TR II SHORT VIX S	-2,370.00	20.00	0.00	20.00	80.0	16.00
10/24/2017	QGW009730	SHARTSIS, JAY	CALL 100 GENERAL MTRS CO COM EXP 11 S	-1,410.00	20.00	0.00	20.00	80.0	16.00
10/25/2017	QGW009730	SHARTSIS, JAY	CALL 100 HERTZ GLOBAL HLDGS INC NEW S	-3,150.00	20.00	0.00	20.00	80.0	16.00
10/26/2017	QGW009730	SHARTSIS, JAY	PUT 100 DIREXION SHS ETF TR DAILY G S	-4,200.00	20.00	0.00	20.00	80.0	16.00
10/27/2017	QGW009730	SHARTSIS, JAY	PUT 100 AMAZON COM INC EXP 11-10-17 B	8,250.00	20.00	0.00	20.00	80.0	16.00
10/02/2017	QGW014391	DARWISH, MENACHEM	CALL 100 UNITED CONTL HLDGS INC COM B	11,375.00	35.00	0.00	35.00	80.0	28.00
10/10/2017	QGW014391	DARWISH, MENACHEM	CALL 100 APPLE INC COM EXP 04-20-18 B	30,600.00	35.00	0.00	35.00	80.0	28.00
10/18/2017	QGW014391	DARWISH, MENACHEM	CALL 100 ORACLE CORP COM EXP 11-17- B	4,060.00	35.00	0.00	35.00	80.0	28.00
10/04/2017	QGW029019	SHARTSIS, JAY	PUT 100 PROSHARES TR II SHORT VIX S S	-5,875.00	20.00	0.00	20.00	80.0	16.00
10/11/2017	QGW029019	SHARTSIS, JAY	CALL 100 PROSHARES TR II SHORT VIX B	1,095.00	20.00	0.00	20.00	80.0	16.00
10/12/2017	QGW029019	SHARTSIS, JAY	CALL 100 PROSHARES TR II SHORT VIX S	-960.00	20.00	0.00	20.00	80.0	16.00
10/20/2017	QGW029019	SHARTSIS, JAY	PUT 100 PROSHARES TR II SHORT VIX S S	-2,300.00	20.00	0.00	20.00	80.0	16.00
10/12/2017	QGW030629	BEGLEY, MICHAEL	CALL 100 ALIBABA GROUP HLDG LTD SPO B	960.00	20.00	0.00	20.00	80.0	16.00
10/27/2017	QGW030629	BEGLEY, MICHAEL	CALL 100 MICROSOFT CORP COM EXP 06- B	780.00	20.00	0.00	20.00	80.0	16.00
10/26/2017	QGW030702	RUDOLPH, MARTIN	CALL 100 MICROSOFT CORP COM EXP 11- B	1,100.00	20.00	0.00	20.00	80.0	16.00
10/27/2017	QGW030702	RUDOLPH, MARTIN	CALL 100 MICROSOFT CORP COM EXP 11- S	-4,050.00	20.00	0.00	20.00	80.0	16.00
10/27/2017	QGW030702	RUDOLPH, MARTIN	CALL 100 MICROSOFT CORP COM EXP 12- B	580.00	20.00	0.00	20.00	80.0	16.00
09/29/2017	QGW030728	RUDOLPH, MARTIN	CALL 100 CHIPOTLE MEXICAN GRILL INC B	29,420.00	20.00	0.00	20.00	80.0	16.00
10/10/2017	QGW030728	RUDOLPH, MARTIN	CALL 100 CHIPOTLE MEXICAN GRILL INC S	-15,700.00	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
10/13/2017	QGW030728	RUDOLPH, MARTIN	CALL 100	CHIPOTLE MEXICAN GRILL INC S	-18,000.00	20.00	0.00	20.00	80.0	16.00
10/20/2017	QGW030728	RUDOLPH, MARTIN	CALL 100	APPLE INC COM EXP 06-15-18 S	-475.00	20.00	0.00	20.00	80.0	16.00
10/26/2017	QGW030728	RUDOLPH, MARTIN	CALL 100	MICROSOFT CORP COM EXP 11- B	1,100.00	20.00	0.00	20.00	80.0	16.00
10/26/2017	QGW030728	RUDOLPH, MARTIN	CALL 100	MICROSOFT CORP COM EXP 11- B	-1,100.00	-20.00	0.00	-20.00	80.0	-16.00 C
09/29/2017	QGW030744	RUDOLPH, CAROL	CALL 100	PHILIP MORRIS INTL INC COM S	-720.00	20.00	0.00	20.00	80.0	16.00
10/16/2017	QGW036436	BELZ, KATHLEEN	PUT 100	JD COM INC SPONS ADR REPSTG S	-2,800.00	20.00	0.00	20.00	80.0	16.00
10/16/2017	QGW036436	BELZ, KATHLEEN	PUT 100	JD COM INC SPONS ADR REPSTG S	2,800.00	-20.00	0.00	-20.00	80.0	-16.00 C
10/16/2017	QGW036436	BELZ, KATHLEEN	PUT 100	JD COM INC SPONS ADR REPSTG S	-2,800.00	20.00	0.00	20.00	80.0	16.00
10/24/2017	QGW036436	BELZ, KATHLEEN	CALL 100	JD COM INC SPONS ADR REPST S	-836.00	20.00	0.00	20.00	80.0	16.00
10/27/2017	QGW036436	BELZ, KATHLEEN	CALL 100	JD COM INC SPONS ADR REPST S	-475.00	20.00	0.00	20.00	80.0	16.00
10/30/2017	QGW036436	BELZ, KATHLEEN	PUT 100	VUZIX CORP COM NEW EXP 11-1 S	-160.00	20.00	0.00	20.00	80.0	16.00
10/04/2017	QGW036451	BELZ, RICHARD	CALL 100	NABORS INDS LTD SHS ISIN#B S	-460.00	20.00	0.00	20.00	80.0	16.00
10/20/2017	QGW036451	BELZ, RICHARD	CALL 100	OPKO HEALTH INC COM EXP 11 S	-1,150.00	20.00	0.00	20.00	80.0	16.00
10/06/2017	QGW038267	ROSS, THOMAS	WELLS FARGO & CO NEW WT EXP EXP 10/ S	-11,025.30	25.00	0.00	25.00	80.0	20.00	
10/24/2017	QGW038887	ANDERSON, JAMES	CALL 100	SHOPIFY INC CL A ISIN#CA82 B	750.00	20.00	0.00	20.00	80.0	16.00
* OPTIONS SUBTOTAL *				\$50,956.68	\$3,650.60	\$0.00	\$3,650.60		\$2,920.48	

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

10/12/2017	QGW005472	KRELL, MOSHE	JANUS HENDERSON GLOBAL EQUITY INCOM B	0.00	28.32	0.00	28.32	80.0	22.66
10/17/2017	QGW005472	KRELL, MOSHE	PRINCIPAL PREFERRED SECURITIES FUND B	0.00	18.94	0.00	18.94	80.0	15.15
10/17/2017	QGW005472	KRELL, MOSHE	PRINCIPAL GLOBAL DIVERSIFIED INCOME B	0.00	40.93	0.00	40.93	80.0	32.74
10/19/2017	QGW005472	KRELL, MOSHE	EUROPACIFIC GROWTH FUND CLASS C B	0.00	19.09	0.00	19.09	80.0	15.27
10/19/2017	QGW005472	KRELL, MOSHE	OPPENHEIMERFUNDS 683940886 B	0.00	14.49	0.00	14.49	80.0	11.59
10/19/2017	QGW005472	KRELL, MOSHE	OPPENHEIMER ROCHESTER LIMITED TERM B	0.00	35.21	0.00	35.21	80.0	28.17
10/24/2017	QGW005472	KRELL, MOSHE	COHEN & STEERS GLOBAL REALTY SHARES B	0.00	33.29	0.00	33.29	80.0	26.63
10/24/2017	QGW005472	KRELL, MOSHE	MAINSTAY CUSHING MLP PREMIER FUND C B	0.00	22.25	0.00	22.25	80.0	17.80
10/16/2017	QGW006884	VOGEL, NEALA	DAVIS NEW YORK VENTURE CLASS A B	0.00	3.83	0.00	3.83	80.0	3.06
10/16/2017	QGW006884	VOGEL, NEALA	MFS RESEARCH FUND-A B	0.00	3.36	0.00	3.36	80.0	2.69
10/17/2017	QGW006884	VOGEL, NEALA	COLUMBIA SELIGMAN COMMUNICATIONS AN B	0.00	5.92	0.00	5.92	80.0	4.74
10/18/2017	QGW006884	VOGEL, NEALA	PUTNAM 746809102 B	0.00	2.54	0.00	2.54	80.0	2.03
10/23/2017	QGW006884	VOGEL, NEALA	TEMPLETON GROWTH FUND CLASS A B	0.00	3.17	0.00	3.17	80.0	2.54
10/30/2017	QGW007122	CUSTODIAN, DIANNE	INVESCO GROWTH AND INCOME FUND CL A B	0.00	7.37	0.00	7.37	80.0	5.90
10/30/2017	QGW007130	CUSTODIAN, DIANNE	INVESCO GROWTH AND INCOME FUND CL A B	0.00	7.37	0.00	7.37	80.0	5.90
10/12/2017	QGW007411	OPPELT, MARION	WELLS FARGO OPPORTUNITY FD ADMIN B	0.00	1.84	0.00	1.84	80.0	1.47
10/19/2017	QGW008203	MCGOWAN, DIANE	ROCHESTER NATIONAL MUNICIPALS A B	0.00	2.52	0.00	2.52	80.0	2.02
10/23/2017	QGW008203	MCGOWAN, DIANE	FRK FL TF INC FND - CL A B	0.00	1.11	0.00	1.11	80.0	0.89
10/12/2017	QGW022154	MANOS, WAYNE	JANUS HENDERSON EUROPEAN FOCUS FUND B	0.00	10.45	0.00	10.45	80.0	8.36
10/12/2017	QGW022154	MANOS, WAYNE	RMB MENDON FINANCIAL LNG/SHRT FUND B	0.00	9.97	0.00	9.97	80.0	7.98
10/12/2017	QGW022154	MANOS, WAYNE	RMB MENDON FINANCIAL SERVICES FUND B	0.00	19.15	0.00	19.15	80.0	15.32
10/13/2017	QGW022154	MANOS, WAYNE	FINANCIAL INDUSTRIES A B	0.00	5.80	0.00	5.80	80.0	4.64

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
10/18/2017	QGW022154	MANOS, WAYNE		GOLDMAN SACHS CAPITAL GROWTH FUND C B	0.00	7.87	0.00	7.87	80.0 6.30
10/18/2017	QGW022154	MANOS, WAYNE		PUTNAM 746802800 B	0.00	0.63	0.00	0.63	80.0 0.50
10/12/2017	QGW023731	REILLY, DAVID		WELLS FARGO ADVANTAGE SMALL-CAP VAL B	0.00	0.51	0.00	0.51	80.0 0.41
10/13/2017	QGW023731	REILLY, DAVID		DELAWARE REAL ESTATE INVESTMENT TRU B	0.00	0.27	0.00	0.27	80.0 0.22
10/16/2017	QGW023731	REILLY, DAVID		MFS INTERNATIONAL NEW DISCOVERY FUN B	0.00	0.25	0.00	0.25	80.0 0.20
10/16/2017	QGW023731	REILLY, DAVID		MFS TOTAL RETURN FUND-A B	0.00	0.67	0.00	0.67	80.0 0.54
10/19/2017	QGW023731	REILLY, DAVID		ALLIANCEBERNSTEIN GROWTH AND INC A B	0.00	0.74	0.00	0.74	80.0 0.59
10/19/2017	QGW023731	REILLY, DAVID		CAPITAL INCOME A B	0.00	1.86	0.00	1.86	80.0 1.49
10/19/2017	QGW023731	REILLY, DAVID		GLOBAL FUND A B	0.00	0.46	0.00	0.46	80.0 0.37
10/19/2017	QGW023731	REILLY, DAVID		THE GROWTH FUND OF AMERICA-A B	0.00	0.99	0.00	0.99	80.0 0.79
10/19/2017	QGW023731	REILLY, DAVID		WASHINGTON MUTUAL INVESTORS FUND-A B	0.00	1.12	0.00	1.12	80.0 0.90
10/23/2017	QGW023731	REILLY, DAVID		FIRST EAGLE GLOBAL FUND CLASS A B	0.00	0.58	0.00	0.58	80.0 0.46
10/23/2017	QGW023731	REILLY, DAVID		TEMP FRGN FND - CL A B	0.00	0.31	0.00	0.31	80.0 0.25
10/30/2017	QGW023731	REILLY, DAVID		AIM SMALL CAP GROWTH FUND - CLASS A B	0.00	1.28	0.00	1.28	80.0 1.02
10/19/2017	QGW028250	SAG LLC		CAPITAL WORLD GROWTH & INCOME FUND B	0.00	23.67	0.00	23.67	80.0 18.94
10/23/2017	QGW031999	HEIDENREICH, CLAIRE		FRANKLIN INCOME FUND CLASS A B	0.00	0.41	0.00	0.41	80.0 0.33
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$338.54</b>	<b>\$0.00</b>	<b>\$338.54</b>		<b>\$270.86</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$1,261,957.86</b>	<b>\$9,692.03</b>	<b>\$0.00</b>	<b>\$9,692.03</b>		<b>\$7,753.61</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$7,753.61
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-12.12
SIPC Assessment	\$-24.23

Check Amount	\$7,717.26
Year-to-date Earnings	\$44,111.29