

**COMMISSION STATEMENT****January 31, 2018****DANIEL RAUPP**

417 E. Main Street

3rd Floor

Port Jefferson, NY 11777

**BROKER #: R132**

BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
01/23/2018	COPPOLA, PAOLO	TD AMERITRADE	0.00	1,997.02	0.00	1,997.02	100.0	1,997.02
01/23/2018	PAVIS, NANCY	TD AMERITRADE	0.00	145.64	0.00	145.64	100.0	145.64
01/23/2018	PAVIS, NANCY	TD AMERITRADE	0.00	1,976.46	0.00	1,976.46	100.0	1,976.46
* RIA SUBTOTAL *			\$0.00	\$4,119.12	\$0.00	\$4,119.12		\$4,119.12
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$4,119.12	\$0.00	\$4,119.12		\$4,119.12
<b><u>OVERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: ALAN LICHTENSTEIN #249</u></b>								
01/12/2018	WITKIN, CAROL ANN	EQIS CAPITAL MGMT	26,333.81	10.97	0.00	10.97	75.0	1.37
* ALAN LICHTENSTEIN SUBTOTAL *			\$26,333.81	\$10.97	\$0.00	\$10.97		\$1.37
*** OVERRIDE TRANSACTIONS TOTAL ***			\$26,333.81	\$10.97	\$0.00	\$10.97		\$1.37
<b><u>ADJUSTMENTS</u></b>								
01/31/2018		*MANAGED FEE EXPENSE: SAWTOOTH ACCT	0.00					-11.46
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-11.46

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$4,119.12
Split Transactions	\$0.00
Override Transactions	\$1.37
Adjustments	\$-11.46
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,109.03
Year-to-date Gross	\$4,119.12
Year-to-date Earnings	\$4,255.40