

All Companies  
**STATEMENT**  
 August 20, 2018

CYNTHIA HERRON  
 2321 Travis Lane  
 #608  
 Overland Park, KS 66209-

BROKER #: 227  
 BRANCH: LA BRANCH

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
05/01/0018	#685765 ANTELLA CONSULTING	ADP 46X220XX3	10,825.77	2.30	92.0	0.00	2.12	0.00	2.12
05/01/0018	#685765 ANTELLA CONSULTING	ADP 74149P580	3,775.65	0.80	92.0	0.00	0.74	0.00	0.74
05/01/0018	#685765 ANTELLA CONSULTING	ADP 74149P614	9,809.63	2.08	92.0	0.00	1.91	0.00	1.91
05/01/0018	#685765 ANTELLA CONSULTING	ADP 74149P630	8,475.03	1.80	92.0	0.00	1.66	0.00	1.66
05/01/0018	#685765 ANTELLA CONSULTING	ADP 74149P804	116,199.14	24.67	92.0	0.00	22.70	0.00	22.70
05/01/0018	#685765 ANTELLA CONSULTING	ADP 74149P887	50,039.67	10.62	92.0	0.00	9.77	0.00	9.77
05/01/0018	#685765 ANTELLA CONSULTING	ADP 77954Q304	8,502.24	1.81	92.0	0.00	1.67	0.00	1.67
05/01/0018	#685765 ANTELLA CONSULTING	ADP 85744A513	38,464.89	8.17	92.0	0.00	7.52	0.00	7.52
05/01/0018	#685765 ANTELLA CONSULTING	ADP 85744A554	1,795.33	0.38	92.0	0.00	0.35	0.00	0.35
05/01/0018	#685765 ANTELLA CONSULTING	ADP 904504586	10,140.64	2.15	92.0	0.00	1.98	0.00	1.98
05/01/0018	#685765 ANTELLA CONSULTING	EATON VANCE ATLANTA CAPITAL SMID CA	1,768.75	0.38	92.0	0.00	0.35	0.00	0.35
05/01/0018	#685765 ANTELLA CONSULTING	FIDELITY ADV DIVERSIFIED STOCK CL A	3,892.47	0.83	92.0	0.00	0.76	0.00	0.76
05/01/0018	#685765 ANTELLA CONSULTING	FRANKLIN 880196209	19,299.13	4.10	92.0	0.00	3.77	0.00	3.77
05/01/0018	#685765 ANTELLA CONSULTING	FRANKLIN STRATEGIC SER SMALL CAP GR	174.41	0.04	92.0	0.00	0.04	0.00	0.04
05/01/0018	#685765 ANTELLA CONSULTING	JPMORGAN TR II EQUITY INCOME FD CL	7,385.27	1.57	92.0	0.00	1.44	0.00	1.44
05/01/0018	#685765 ANTELLA CONSULTING	OPPENHEIMER INTERNATIONAL GROWTH FU	166.06	0.04	92.0	0.00	0.04	0.00	0.04
04/30/0201	#685765 ANTELLA CONSULTING	ADP 46X220XX3	9,508.63	1.95	92.0	0.00	1.79	0.00	1.79
04/30/0201	#685765 ANTELLA CONSULTING	ADP 74149P580	3,541.42	0.73	92.0	0.00	0.67	0.00	0.67
04/30/0201	#685765 ANTELLA CONSULTING	ADP 74149P614	9,719.53	2.00	92.0	0.00	1.84	0.00	1.84
04/30/0201	#685765 ANTELLA CONSULTING	ADP 74149P630	9,851.62	2.02	92.0	0.00	1.86	0.00	1.86
04/30/0201	#685765 ANTELLA CONSULTING	ADP 74149P804	113,566.07	23.34	92.0	0.00	21.47	0.00	21.47
04/30/0201	#685765 ANTELLA CONSULTING	ADP 74149P887	48,832.48	10.03	92.0	0.00	9.23	0.00	9.23
04/30/0201	#685765 ANTELLA CONSULTING	ADP 77954Q304	9,531.74	1.96	92.0	0.00	1.80	0.00	1.80
04/30/0201	#685765 ANTELLA CONSULTING	ADP 85744A513	36,874.53	7.58	92.0	0.00	6.97	0.00	6.97
04/30/0201	#685765 ANTELLA CONSULTING	ADP 85744A554	1,631.80	0.34	92.0	0.00	0.31	0.00	0.31
04/30/0201	#685765 ANTELLA CONSULTING	ADP 904504586	9,650.25	1.98	92.0	0.00	1.82	0.00	1.82
04/30/0201	#685765 ANTELLA CONSULTING	EATON VANCE ATLANTA CAPITAL SMID CA	1,629.15	0.33	92.0	0.00	0.30	0.00	0.30
04/30/0201	#685765 ANTELLA CONSULTING	FIDELITY ADV DIVERSIFIED STOCK CL A	5,061.65	1.04	92.0	0.00	0.96	0.00	0.96

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
04/30/2021	#685765 ANTELLA CONSULTING	FRANKLIN 880196209	18,874.10	3.88	92.0	0.00	3.57	0.00	3.57
04/30/2021	#685765 ANTELLA CONSULTING	FRANKLIN STRATEGIC SER SMALL CAP GR	937.22	0.19	92.0	0.00	0.17	0.00	0.17
04/30/2021	#685765 ANTELLA CONSULTING	JPMORGAN TR II EQUITY INCOME FD CL	8,563.72	1.76	92.0	0.00	1.62	0.00	1.62
04/30/2021	#685765 ANTELLA CONSULTING	OPPENHEIMER INTERNATIONAL GROWTH FU	892.78	0.18	92.0	0.00	0.17	0.00	0.17
06/30/2021	#685765 ANTELLA CONSULTING	ADP 46X220XX3	10,085.07	2.07	92.0	0.00	1.90	0.00	1.90
06/30/2021	#685765 ANTELLA CONSULTING	ADP 74149P580	4,033.79	0.83	92.0	0.00	0.76	0.00	0.76
06/30/2021	#685765 ANTELLA CONSULTING	ADP 74149P614	9,893.21	2.03	92.0	0.00	1.87	0.00	1.87
06/30/2021	#685765 ANTELLA CONSULTING	ADP 74149P630	8,209.09	1.69	92.0	0.00	1.55	0.00	1.55
06/30/2021	#685765 ANTELLA CONSULTING	ADP 74149P804	119,213.80	24.50	92.0	0.00	22.54	0.00	22.54
06/30/2021	#685765 ANTELLA CONSULTING	ADP 74149P887	51,357.73	10.55	92.0	0.00	9.71	0.00	9.71
06/30/2021	#685765 ANTELLA CONSULTING	ADP 77954Q304	8,583.32	1.76	92.0	0.00	1.62	0.00	1.62
06/30/2021	#685765 ANTELLA CONSULTING	ADP 85744A513	40,179.74	8.26	92.0	0.00	7.60	0.00	7.60
06/30/2021	#685765 ANTELLA CONSULTING	ADP 85744A554	1,920.57	0.39	92.0	0.00	0.36	0.00	0.36
06/30/2021	#685765 ANTELLA CONSULTING	ADP 904504586	10,633.16	2.18	92.0	0.00	2.01	0.00	2.01
06/30/2021	#685765 ANTELLA CONSULTING	EATON VANCE ATLANTA CAPITAL SMID CA	1,892.55	0.39	92.0	0.00	0.36	0.00	0.36
06/30/2021	#685765 ANTELLA CONSULTING	FIDELITY ADV DIVERSIFIED STOCK CL A	3,784.43	0.78	92.0	0.00	0.72	0.00	0.72
06/30/2021	#685765 ANTELLA CONSULTING	FRANKLIN 880196209	19,411.15	3.99	92.0	0.00	3.67	0.00	3.67
06/30/2021	#005226561946 FREY, RUTH	JOHN HANCOCK FRE	0.00	29.19	92.0	0.00	26.85	0.00	26.85
06/30/2021	#005226562026 FREY, RUTH	JOHN HANCOCK FRE	0.00	23.70	92.0	0.00	21.80	0.00	21.80
06/30/2021	#685765 ANTELLA CONSULTING	JPMORGAN TR II EQUITY INCOME FD CL	7,319.82	1.50	92.0	0.00	1.38	0.00	1.38
07/02/2018	#04000672747 LISHER, GINA	2055 TARGET DATE RETIREMENT FUND	60.00	2.25	92.0	0.00	2.07	0.00	2.07
07/02/2018	#04000672747 LISHER, GINA	2055 TARGET DATE RETIREMENT FUND	10.06	0.38	92.0	0.00	0.35	0.00	0.35
07/02/2018	#04000748210 RALEIGH, GRAHAM	2055 TARGET DATE RETIREMENT FUND	20.00	0.75	92.0	0.00	0.69	0.00	0.69
07/02/2018	#04000748210 RALEIGH, GRAHAM	2055 TARGET DATE RETIREMENT FUND	11.45	0.43	92.0	0.00	0.40	0.00	0.40
07/02/2018	#04000652154 GRAHAM, TAMMY	AMERICAN FDS PORTFOLIO SER GROWTH F	40.00	1.50	92.0	0.00	1.38	0.00	1.38
07/02/2018	#04000652154 GRAHAM, TAMMY	AMERICAN FDS PORTFOLIO SER GROWTH F	13.44	0.50	92.0	0.00	0.46	0.00	0.46
07/02/2018	#04000652163 PRICE B J	AMERICAN FDS PORTFOLIO SER GROWTH F	10.92	0.41	92.0	0.00	0.38	0.00	0.38
07/02/2018	#04000652163 PRICE B J	AMERICAN FDS PORTFOLIO SER GROWTH F	18.20	0.68	92.0	0.00	0.63	0.00	0.63
07/02/2018	#04000652169 WATKINS,	AMERICAN FDS PORTFOLIO SER GROWTH F	16.50	0.62	92.0	0.00	0.57	0.00	0.57
07/02/2018	#04000652169 WATKINS,	AMERICAN FDS PORTFOLIO SER GROWTH F	16.49	0.62	92.0	0.00	0.57	0.00	0.57
07/02/2018	#04000672747 LISHER, GINA	AMERICAN FDS PORTFOLIO SER GROWTH F	90.00	3.38	92.0	0.00	3.11	0.00	3.11
07/02/2018	#04000672747 LISHER, GINA	AMERICAN FDS PORTFOLIO SER GROWTH F	15.09	0.57	92.0	0.00	0.52	0.00	0.52
07/02/2018	#04000672757 SHANNON,	AMERICAN FDS PORTFOLIO SER GROWTH F	13.34	0.50	92.0	0.00	0.46	0.00	0.46
07/02/2018	#04000672757 SHANNON,	AMERICAN FDS PORTFOLIO SER GROWTH F	13.34	0.50	92.0	0.00	0.46	0.00	0.46
07/02/2018	#04000748210 RALEIGH, GRAHAM	AMERICAN FDS PORTFOLIO SER GROWTH F	30.00	1.13	92.0	0.00	1.04	0.00	1.04
07/02/2018	#04000748210 RALEIGH, GRAHAM	AMERICAN FDS PORTFOLIO SER GROWTH F	17.16	0.64	92.0	0.00	0.59	0.00	0.59

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/02/2018	#04000652154 GRAHAM, TAMMY	AMERICAN FUNDS 2030 TARGET DATE-A	60.00	2.25	92.0	0.00	2.07	0.00	2.07
07/02/2018	#04000652154 GRAHAM, TAMMY	AMERICAN FUNDS 2030 TARGET DATE-A	20.16	0.76	92.0	0.00	0.70	0.00	0.70
07/02/2018	#04000652163 PRICE B J	AMERICAN FUNDS 2030 TARGET DATE-A	27.30	1.02	92.0	0.00	0.94	0.00	0.94
07/02/2018	#04000652163 PRICE B J	AMERICAN FUNDS 2030 TARGET DATE-A	16.38	0.61	92.0	0.00	0.56	0.00	0.56
07/02/2018	#04000652169 WATKINS,	AMERICAN FUNDS 2030 TARGET DATE-A	24.75	0.93	92.0	0.00	0.86	0.00	0.86
07/02/2018	#04000652169 WATKINS,	AMERICAN FUNDS 2030 TARGET DATE-A	24.75	0.93	92.0	0.00	0.86	0.00	0.86
07/02/2018	#04000672757 SHANNON,	AMERICAN FUNDS 2030 TARGET DATE-A	20.00	0.75	92.0	0.00	0.69	0.00	0.69
07/02/2018	#04000672757 SHANNON,	AMERICAN FUNDS 2030 TARGET DATE-A	20.00	0.75	92.0	0.00	0.69	0.00	0.69
07/02/2018	#04000652154 GRAHAM, TAMMY	AMERICAN FUNDS 2045 TARGET DATE-A	30.00	1.13	92.0	0.00	1.04	0.00	1.04
07/02/2018	#04000652154 GRAHAM, TAMMY	AMERICAN FUNDS 2045 TARGET DATE-A	10.08	0.38	92.0	0.00	0.35	0.00	0.35
07/02/2018	#04000652163 PRICE B J	AMERICAN FUNDS 2045 TARGET DATE-A	8.19	0.31	92.0	0.00	0.29	0.00	0.29
07/02/2018	#04000652163 PRICE B J	AMERICAN FUNDS 2045 TARGET DATE-A	13.65	0.51	92.0	0.00	0.47	0.00	0.47
07/02/2018	#04000652169 WATKINS,	AMERICAN FUNDS 2045 TARGET DATE-A	12.38	0.46	92.0	0.00	0.42	0.00	0.42
07/02/2018	#04000652169 WATKINS,	AMERICAN FUNDS 2045 TARGET DATE-A	12.38	0.46	92.0	0.00	0.42	0.00	0.42
07/02/2018	#04000672757 SHANNON,	AMERICAN FUNDS 2045 TARGET DATE-A	10.00	0.38	92.0	0.00	0.35	0.00	0.35
07/02/2018	#04000672757 SHANNON,	AMERICAN FUNDS 2045 TARGET DATE-A	10.00	0.38	92.0	0.00	0.35	0.00	0.35
07/02/2018	#04000672747 LISHER, GINA	AMERICAN FUNDS TARGET DATE RETIREME	60.00	2.25	92.0	0.00	2.07	0.00	2.07
07/02/2018	#04000672747 LISHER, GINA	AMERICAN FUNDS TARGET DATE RETIREME	10.06	0.38	92.0	0.00	0.35	0.00	0.35
07/02/2018	#04000748210 RALEIGH, GRAHAM	AMERICAN FUNDS TARGET DATE RETIREME	20.00	0.75	92.0	0.00	0.69	0.00	0.69
07/02/2018	#04000748210 RALEIGH, GRAHAM	AMERICAN FUNDS TARGET DATE RETIREME	11.45	0.43	92.0	0.00	0.40	0.00	0.40
07/02/2018	#00088727323 RUSSELL, JOHN	COLLEGE 2024 FUND 529A	100.00	3.50	92.0	0.00	3.22	0.00	3.22
07/02/2018	#04000672747 LISHER, GINA	GLOBAL GROWTH PORTFOLIO-A	90.00	3.38	92.0	0.00	3.11	0.00	3.11
07/02/2018	#04000672747 LISHER, GINA	GLOBAL GROWTH PORTFOLIO-A	15.09	0.57	92.0	0.00	0.52	0.00	0.52
07/02/2018	#04000748210 RALEIGH, GRAHAM	GLOBAL GROWTH PORTFOLIO-A	30.00	1.13	92.0	0.00	1.04	0.00	1.04
07/02/2018	#04000748210 RALEIGH, GRAHAM	GLOBAL GROWTH PORTFOLIO-A	17.17	0.64	92.0	0.00	0.59	0.00	0.59
07/02/2018	#04000652154 GRAHAM, TAMMY	GROWTH AND INCOME PORTFOLIO-A	70.00	2.63	92.0	0.00	2.42	0.00	2.42
07/02/2018	#04000652154 GRAHAM, TAMMY	GROWTH AND INCOME PORTFOLIO-A	23.52	0.88	92.0	0.00	0.81	0.00	0.81
07/02/2018	#04000652163 PRICE B J	GROWTH AND INCOME PORTFOLIO-A	19.11	0.72	92.0	0.00	0.66	0.00	0.66
07/02/2018	#04000652163 PRICE B J	GROWTH AND INCOME PORTFOLIO-A	31.85	1.19	92.0	0.00	1.09	0.00	1.09
07/02/2018	#04000652169 WATKINS,	GROWTH AND INCOME PORTFOLIO-A	28.87	1.08	92.0	0.00	0.99	0.00	0.99
07/02/2018	#04000652169 WATKINS,	GROWTH AND INCOME PORTFOLIO-A	28.88	1.08	92.0	0.00	0.99	0.00	0.99
07/02/2018	#04000672757 SHANNON,	GROWTH AND INCOME PORTFOLIO-A	23.34	0.88	92.0	0.00	0.81	0.00	0.81
07/02/2018	#04000672757 SHANNON,	GROWTH AND INCOME PORTFOLIO-A	23.34	0.88	92.0	0.00	0.81	0.00	0.81
07/05/2018	#00085515885 GUILTY, NIQUICHE	COLLEGE 2024 FUND-529C	50.00	0.50	92.0	0.00	0.46	0.00	0.46
07/05/2018	#00085515930 GUILTYORBIL	COLLEGE 2027 FUND-529C	50.00	0.50	92.0	0.00	0.46	0.00	0.46
07/05/2018	#00088467617 GUILTY, NIQUICHE	COLLEGE 2030 FUND-529A	50.00	1.75	92.0	0.00	1.61	0.00	1.61

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/05/2018	# HALL, ERIN	MFS EQUITY OPPORTUNITIES FD CL A	65.00	3.25	92.0	0.00	2.99	20.00	-17.01
07/05/2018	#00085978086 RARDIN, JOHN	THE BOND FUND OF AMERICA-529A	50.00	1.50	92.0	0.00	1.38	0.00	1.38
07/06/2018	#00088576061 DAKEMICHEL	2055 TARGET DATE RETIREMENT FUND	32.15	0.64	92.0	0.00	0.59	0.00	0.59
07/06/2018	#00088576061 DAKEMICHEL	2055 TARGET DATE RETIREMENT FUND	107.16	2.14	92.0	0.00	1.97	0.00	1.97
07/06/2018	#04000834615 ERPELDING,	AMERICAN FDS PORTFOLIO SER GROWTH F	21.54	0.43	92.0	0.00	0.40	0.00	0.40
07/06/2018	#04000834615 ERPELDING,	AMERICAN FDS PORTFOLIO SER GROWTH F	16.15	0.32	92.0	0.00	0.29	0.00	0.29
07/06/2018	#00086985099 LOWNANCYEL	AMERICAN FUNDS 2010 TARGET DATE-A	395.70	7.91	92.0	0.00	7.28	0.00	7.28
07/06/2018	#00086985099 LOWNANCYEL	AMERICAN FUNDS 2010 TARGET DATE-A	94.97	1.90	92.0	0.00	1.75	0.00	1.75
07/06/2018	#00087552633 TALBERTLUC	AMERICAN FUNDS 2010 TARGET DATE-A	589.28	11.79	92.0	0.00	10.85	0.00	10.85
07/06/2018	#00087552633 TALBERTLUC	AMERICAN FUNDS 2010 TARGET DATE-A	88.39	1.77	92.0	0.00	1.63	0.00	1.63
07/06/2018	#00087708562 JONES-WISN	AMERICAN FUNDS 2015 TARGET DATE-A	76.51	1.53	92.0	0.00	1.41	0.00	1.41
07/06/2018	#00087708562 JONES-WISN	AMERICAN FUNDS 2015 TARGET DATE-A	76.51	1.53	92.0	0.00	1.41	0.00	1.41
07/06/2018	#04000101422 MCGINNISMI	AMERICAN FUNDS 2015 TARGET DATE-A	59.42	1.19	92.0	0.00	1.09	0.00	1.09
07/06/2018	#04000101422 MCGINNISMI	AMERICAN FUNDS 2015 TARGET DATE-A	59.42	1.19	92.0	0.00	1.09	0.00	1.09
07/06/2018	#04000101439 GIBBONSHEA	AMERICAN FUNDS 2015 TARGET DATE-A	57.35	1.15	92.0	0.00	1.06	0.00	1.06
07/06/2018	#04000101439 GIBBONSHEA	AMERICAN FUNDS 2015 TARGET DATE-A	57.35	1.15	92.0	0.00	1.06	0.00	1.06
07/06/2018	#04000790583	AMERICAN FUNDS 2015 TARGET DATE-A	43.27	0.87	92.0	0.00	0.80	0.00	0.80
07/06/2018	#04000790583	AMERICAN FUNDS 2015 TARGET DATE-A	43.27	0.87	92.0	0.00	0.80	0.00	0.80
07/06/2018	#04000834615 ERPELDING,	AMERICAN FUNDS 2030 TARGET DATE-A	32.30	0.65	92.0	0.00	0.60	0.00	0.60
07/06/2018	#04000834615 ERPELDING,	AMERICAN FUNDS 2030 TARGET DATE-A	24.23	0.48	92.0	0.00	0.44	0.00	0.44
07/06/2018	#00086986934 KUNKELGRET	AMERICAN FUNDS 2035 TARGET DATE-A	298.07	5.96	92.0	0.00	5.48	0.00	5.48
07/06/2018	#00086986934 KUNKELGRET	AMERICAN FUNDS 2035 TARGET DATE-A	63.26	1.27	92.0	0.00	1.17	0.00	1.17
07/06/2018	#04000834615 ERPELDING,	AMERICAN FUNDS 2045 TARGET DATE-A	12.11	0.24	92.0	0.00	0.22	0.00	0.22
07/06/2018	#04000834615 ERPELDING,	AMERICAN FUNDS 2045 TARGET DATE-A	16.15	0.32	92.0	0.00	0.29	0.00	0.29
07/06/2018	#00088576061 DAKEMICHEL	BOND FUND OF AMERICA INC CL A	21.43	0.43	92.0	0.00	0.40	0.00	0.40
07/06/2018	#00088576061 DAKEMICHEL	BOND FUND OF AMERICA INC CL A	6.43	0.13	92.0	0.00	0.12	0.00	0.12
07/06/2018	#00086986934 KUNKELGRET	GROWTH AND INCOME PORTFOLIO-A	298.08	5.96	92.0	0.00	5.48	0.00	5.48
07/06/2018	#00086986934 KUNKELGRET	GROWTH AND INCOME PORTFOLIO-A	63.27	1.27	92.0	0.00	1.17	0.00	1.17
07/06/2018	#04000834615 ERPELDING,	GROWTH AND INCOME PORTFOLIO-A	37.69	0.75	92.0	0.00	0.69	0.00	0.69
07/06/2018	#04000834615 ERPELDING,	GROWTH AND INCOME PORTFOLIO-A	28.27	0.57	92.0	0.00	0.52	0.00	0.52
07/06/2018	#04000101795 BEDDINGFIE	INVESTMENT CO AMER CLASS A	88.54	1.77	92.0	0.00	1.63	0.00	1.63
07/06/2018	#04000101795 BEDDINGFIE	INVESTMENT CO AMER CLASS A	88.54	1.77	92.0	0.00	1.63	0.00	1.63
07/06/2018	#00088576061 DAKEMICHEL	SMALLCAP WORLD FUND INC CLASS A	25.72	0.51	92.0	0.00	0.47	0.00	0.47
07/06/2018	#00088576061 DAKEMICHEL	SMALLCAP WORLD FUND INC CLASS A	85.73	1.71	92.0	0.00	1.57	0.00	1.57
07/10/2018	# RUSSELL, JOHN	MFS EQUITY OPPORTUNITIES FD CL A	100.00	5.00	92.0	0.00	4.60	20.00	-15.40
07/16/2018	#04000672747 LISHER, GINA	2055 TARGET DATE RETIREMENT FUND	60.00	2.25	92.0	0.00	2.07	0.00	2.07

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/16/2018	#04000672747	LISHER, GINA	2055 TARGET DATE RETIREMENT FUND	10.06	0.38	92.0	0.00	0.35	0.35
07/16/2018	#04000748210	RALEIGH, GRAHAM	2055 TARGET DATE RETIREMENT FUND	20.00	0.75	92.0	0.00	0.69	0.69
07/16/2018	#04000748210	RALEIGH, GRAHAM	2055 TARGET DATE RETIREMENT FUND	10.01	0.38	92.0	0.00	0.35	0.35
07/16/2018	#04000515434	LEE, CHRISTINA	2055 TARGET DATE RETIREMENT FUND	700.00	35.00	92.0	0.00	32.20	32.20
07/16/2018	#04000515434	LEE, CHRISTINA	2055 TARGET DATE RETIREMENT FUND	21.00	1.05	92.0	0.00	0.97	0.97
07/16/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FDS PORTFOLIO SER GROWTH F	40.00	1.50	92.0	0.00	1.38	1.38
07/16/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FDS PORTFOLIO SER GROWTH F	13.44	0.50	92.0	0.00	0.46	0.46
07/16/2018	#04000652163	PRICE B J	AMERICAN FDS PORTFOLIO SER GROWTH F	19.91	0.75	92.0	0.00	0.69	0.69
07/16/2018	#04000652163	PRICE B J	AMERICAN FDS PORTFOLIO SER GROWTH F	11.94	0.45	92.0	0.00	0.41	0.41
07/16/2018	#04000652169	WATKINS,	AMERICAN FDS PORTFOLIO SER GROWTH F	15.00	0.56	92.0	0.00	0.52	0.52
07/16/2018	#04000652169	WATKINS,	AMERICAN FDS PORTFOLIO SER GROWTH F	15.00	0.56	92.0	0.00	0.52	0.52
07/16/2018	#04000672747	LISHER, GINA	AMERICAN FDS PORTFOLIO SER GROWTH F	90.00	3.38	92.0	0.00	3.11	3.11
07/16/2018	#04000672747	LISHER, GINA	AMERICAN FDS PORTFOLIO SER GROWTH F	15.09	0.57	92.0	0.00	0.52	0.52
07/16/2018	#04000672757	SHANNON,	AMERICAN FDS PORTFOLIO SER GROWTH F	10.78	0.40	92.0	0.00	0.37	0.37
07/16/2018	#04000672757	SHANNON,	AMERICAN FDS PORTFOLIO SER GROWTH F	10.78	0.40	92.0	0.00	0.37	0.37
07/16/2018	#04000748210	RALEIGH, GRAHAM	AMERICAN FDS PORTFOLIO SER GROWTH F	15.03	0.56	92.0	0.00	0.52	0.52
07/16/2018	#04000748210	RALEIGH, GRAHAM	AMERICAN FDS PORTFOLIO SER GROWTH F	30.00	1.13	92.0	0.00	1.04	1.04
07/16/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FUNDS 2030 TARGET DATE-A	60.00	2.25	92.0	0.00	2.07	2.07
07/16/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FUNDS 2030 TARGET DATE-A	20.16	0.76	92.0	0.00	0.70	0.70
07/16/2018	#04000652163	PRICE B J	AMERICAN FUNDS 2030 TARGET DATE-A	29.86	1.12	92.0	0.00	1.03	1.03
07/16/2018	#04000652163	PRICE B J	AMERICAN FUNDS 2030 TARGET DATE-A	17.92	0.67	92.0	0.00	0.62	0.62
07/16/2018	#04000652169	WATKINS,	AMERICAN FUNDS 2030 TARGET DATE-A	22.50	0.84	92.0	0.00	0.77	0.77
07/16/2018	#04000652169	WATKINS,	AMERICAN FUNDS 2030 TARGET DATE-A	22.50	0.84	92.0	0.00	0.77	0.77
07/16/2018	#04000672757	SHANNON,	AMERICAN FUNDS 2030 TARGET DATE-A	16.18	0.61	92.0	0.00	0.56	0.56
07/16/2018	#04000672757	SHANNON,	AMERICAN FUNDS 2030 TARGET DATE-A	16.18	0.61	92.0	0.00	0.56	0.56
07/16/2018	#04000515434	LEE, CHRISTINA	AMERICAN FUNDS 2040 TARGET DATE-A	700.00	35.00	92.0	0.00	32.20	32.20
07/16/2018	#04000515434	LEE, CHRISTINA	AMERICAN FUNDS 2040 TARGET DATE-A	21.00	1.05	92.0	0.00	0.97	0.97
07/16/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FUNDS 2045 TARGET DATE-A	30.00	1.13	92.0	0.00	1.04	1.04
07/16/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FUNDS 2045 TARGET DATE-A	10.08	0.38	92.0	0.00	0.35	0.35
07/16/2018	#04000652163	PRICE B J	AMERICAN FUNDS 2045 TARGET DATE-A	14.93	0.56	92.0	0.00	0.52	0.52
07/16/2018	#04000652163	PRICE B J	AMERICAN FUNDS 2045 TARGET DATE-A	8.96	0.34	92.0	0.00	0.31	0.31
07/16/2018	#04000652169	WATKINS,	AMERICAN FUNDS 2045 TARGET DATE-A	11.25	0.42	92.0	0.00	0.39	0.39
07/16/2018	#04000652169	WATKINS,	AMERICAN FUNDS 2045 TARGET DATE-A	11.25	0.42	92.0	0.00	0.39	0.39
07/16/2018	#04000672757	SHANNON,	AMERICAN FUNDS 2045 TARGET DATE-A	8.09	0.30	92.0	0.00	0.28	0.28
07/16/2018	#04000672757	SHANNON,	AMERICAN FUNDS 2045 TARGET DATE-A	8.09	0.30	92.0	0.00	0.28	0.28
07/16/2018	#04000672747	LISHER, GINA	AMERICAN FUNDS TARGET DATE RETIREME	10.06	0.38	92.0	0.00	0.35	0.35

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/16/2018	#04000672747	LISHER, GINA	AMERICAN FUNDS TARGET DATE RETIREME	60.00	2.25	92.0	0.00	2.07	2.07
07/16/2018	#04000748210	RALEIGH, GRAHAM	AMERICAN FUNDS TARGET DATE RETIREME	10.01	0.38	92.0	0.00	0.35	0.35
07/16/2018	#04000748210	RALEIGH, GRAHAM	AMERICAN FUNDS TARGET DATE RETIREME	20.00	0.75	92.0	0.00	0.69	0.69
07/16/2018	#04000672747	LISHER, GINA	GLOBAL GROWTH PORTFOLIO-A	15.09	0.57	92.0	0.00	0.52	0.52
07/16/2018	#04000672747	LISHER, GINA	GLOBAL GROWTH PORTFOLIO-A	90.00	3.38	92.0	0.00	3.11	3.11
07/16/2018	#04000748210	RALEIGH, GRAHAM	GLOBAL GROWTH PORTFOLIO-A	15.02	0.56	92.0	0.00	0.52	0.52
07/16/2018	#04000748210	RALEIGH, GRAHAM	GLOBAL GROWTH PORTFOLIO-A	30.00	1.13	92.0	0.00	1.04	1.04
07/16/2018	#04000652154	GRAHAM, TAMMY	GROWTH AND INCOME PORTFOLIO-A	70.00	2.63	92.0	0.00	2.42	2.42
07/16/2018	#04000652154	GRAHAM, TAMMY	GROWTH AND INCOME PORTFOLIO-A	23.52	0.88	92.0	0.00	0.81	0.81
07/16/2018	#04000652163	PRICE B J	GROWTH AND INCOME PORTFOLIO-A	34.83	1.31	92.0	0.00	1.21	1.21
07/16/2018	#04000652163	PRICE B J	GROWTH AND INCOME PORTFOLIO-A	20.90	0.78	92.0	0.00	0.72	0.72
07/16/2018	#04000652169	WATKINS,	GROWTH AND INCOME PORTFOLIO-A	26.25	0.98	92.0	0.00	0.90	0.90
07/16/2018	#04000652169	WATKINS,	GROWTH AND INCOME PORTFOLIO-A	26.25	0.98	92.0	0.00	0.90	0.90
07/16/2018	#04000672757	SHANNON,	GROWTH AND INCOME PORTFOLIO-A	18.87	0.71	92.0	0.00	0.65	0.65
07/16/2018	#04000672757	SHANNON,	GROWTH AND INCOME PORTFOLIO-A	18.87	0.71	92.0	0.00	0.65	0.65
07/20/2018	#00088576061	DAKEMICHEL	2055 TARGET DATE RETIREMENT FUND	107.16	2.14	92.0	0.00	1.97	1.97
07/20/2018	#00088576061	DAKEMICHEL	2055 TARGET DATE RETIREMENT FUND	32.15	0.64	92.0	0.00	0.59	0.59
07/20/2018	#04000834615	ERPELDING,	AMERICAN FDS PORTFOLIO SER GROWTH F	16.15	0.32	92.0	0.00	0.29	0.29
07/20/2018	#04000834615	ERPELDING,	AMERICAN FDS PORTFOLIO SER GROWTH F	21.54	0.43	92.0	0.00	0.40	0.40
07/20/2018	#00086985099	LOWNANCYEL	AMERICAN FUNDS 2010 TARGET DATE-A	395.70	7.91	92.0	0.00	7.28	7.28
07/20/2018	#00086985099	LOWNANCYEL	AMERICAN FUNDS 2010 TARGET DATE-A	94.97	1.90	92.0	0.00	1.75	1.75
07/20/2018	#00087552633	TALBERTLUC	AMERICAN FUNDS 2010 TARGET DATE-A	589.28	11.79	92.0	0.00	10.85	10.85
07/20/2018	#00087552633	TALBERTLUC	AMERICAN FUNDS 2010 TARGET DATE-A	88.39	1.77	92.0	0.00	1.63	1.63
07/20/2018	#00087708562	JONES-WISN	AMERICAN FUNDS 2015 TARGET DATE-A	76.51	1.53	92.0	0.00	1.41	1.41
07/20/2018	#00087708562	JONES-WISN	AMERICAN FUNDS 2015 TARGET DATE-A	76.51	1.53	92.0	0.00	1.41	1.41
07/20/2018	#04000101422	MCGINNISMI	AMERICAN FUNDS 2015 TARGET DATE-A	59.42	1.19	92.0	0.00	1.09	1.09
07/20/2018	#04000101422	MCGINNISMI	AMERICAN FUNDS 2015 TARGET DATE-A	59.42	1.19	92.0	0.00	1.09	1.09
07/20/2018	#04000101439	GIBBONSHEA	AMERICAN FUNDS 2015 TARGET DATE-A	57.35	1.15	92.0	0.00	1.06	1.06
07/20/2018	#04000101439	GIBBONSHEA	AMERICAN FUNDS 2015 TARGET DATE-A	57.35	1.15	92.0	0.00	1.06	1.06
07/20/2018	#04000790583		AMERICAN FUNDS 2015 TARGET DATE-A	43.27	0.87	92.0	0.00	0.80	0.80
07/20/2018	#04000790583		AMERICAN FUNDS 2015 TARGET DATE-A	43.27	0.87	92.0	0.00	0.80	0.80
07/20/2018	#04000834615	ERPELDING,	AMERICAN FUNDS 2030 TARGET DATE-A	24.23	0.48	92.0	0.00	0.44	0.44
07/20/2018	#04000834615	ERPELDING,	AMERICAN FUNDS 2030 TARGET DATE-A	32.30	0.65	92.0	0.00	0.60	0.60
07/20/2018	#00086986934	KUNKELGRET	AMERICAN FUNDS 2035 TARGET DATE-A	298.07	5.96	92.0	0.00	5.48	5.48
07/20/2018	#00086986934	KUNKELGRET	AMERICAN FUNDS 2035 TARGET DATE-A	63.26	1.27	92.0	0.00	1.17	1.17
07/20/2018	#04000834615	ERPELDING,	AMERICAN FUNDS 2045 TARGET DATE-A	12.11	0.24	92.0	0.00	0.22	0.22

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
07/20/2018	#04000834615	ERPELDING, AMERICAN FUNDS 2045 TARGET DATE-A	16.15	0.32	92.0	0.00	0.29	0.00	0.29
07/20/2018	#00088576061	DAKEMICHEL BOND FUND OF AMERICA INC CL A	21.43	0.43	92.0	0.00	0.40	0.00	0.40
07/20/2018	#00088576061	DAKEMICHEL BOND FUND OF AMERICA INC CL A	6.43	0.13	92.0	0.00	0.12	0.00	0.12
07/20/2018	#00086986934	KUNKELGRET GROWTH AND INCOME PORTFOLIO-A	298.08	5.96	92.0	0.00	5.48	0.00	5.48
07/20/2018	#00086986934	KUNKELGRET GROWTH AND INCOME PORTFOLIO-A	63.27	1.27	92.0	0.00	1.17	0.00	1.17
07/20/2018	#04000834615	ERPELDING, GROWTH AND INCOME PORTFOLIO-A	28.27	0.57	92.0	0.00	0.52	0.00	0.52
07/20/2018	#04000834615	ERPELDING, GROWTH AND INCOME PORTFOLIO-A	37.69	0.75	92.0	0.00	0.69	0.00	0.69
07/20/2018	#04000101795	BEDDINGFIE INVESTMENT CO AMER CLASS A	88.54	1.77	92.0	0.00	1.63	0.00	1.63
07/20/2018	#04000101795	BEDDINGFIE INVESTMENT CO AMER CLASS A	88.54	1.77	92.0	0.00	1.63	0.00	1.63
07/20/2018	#00088576061	DAKEMICHEL SMALLCAP WORLD FUND INC CLASS A	25.72	0.51	92.0	0.00	0.47	0.00	0.47
07/20/2018	#00088576061	DAKEMICHEL SMALLCAP WORLD FUND INC CLASS A	85.73	1.71	92.0	0.00	1.57	0.00	1.57
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$886,225.07</b>	<b>\$533.49</b>		<b>\$0.00</b>	<b>\$490.86</b>	<b>\$40.00</b>	<b>\$450.86</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
07/03/0018	#I1855750X	HONDERICK, EDWINA VOYA	0.00	4.10	92.0	0.00	3.77	0.00	3.77
06/20/0201	#E1851074	NELSON, MIKE OHIO NATIONAL	0.00	209.15	92.0	0.00	192.42	0.00	192.42
06/20/0201	#E1859180	DAVIDSON, JANEL OHIO NATIONAL	0.00	46.65	92.0	0.00	42.92	0.00	42.92
06/30/0201	#575320000	LANE HEALTH, JOHN HANCOCK ARA	476,267.94	99.10	92.0	0.00	91.17	0.00	91.17
06/30/0201	#1315500000	SOLUTIONS, JOHN HANCOCK ARA	59,197.35	36.87	92.0	0.00	33.92	0.00	33.92
06/30/0201	#1226040000	PRESTRESSED JOHN HANCOCK ARA	337,066.76	140.12	92.0	0.00	128.91	0.00	128.91
07/20/2018	#1013722249	OLIVER, HAROLD PERSP II 09/12	150.00	11.25	92.0	0.00	10.35	0.00	10.35
07/23/2018	#1021382996	WESLEY, PEKAREK PERSP II 01/16	25,840.18	1,808.81	92.0	0.00	1,664.11	0.00	1,664.11
07/31/2018	#1020069500	BOWEN, CHRISTINA PERSP II 01/16	362,209.01	150.92	92.0	0.00	138.85	0.00	138.85
07/31/2018	#1016423285	DUDLEY, PAMELA PERSP II 04/14	299,367.81	124.74	92.0	0.00	114.76	0.00	114.76
07/31/2018	#1018282220	GALVAS, MARIE PERSP II 04/14	108,409.46	45.17	92.0	0.00	41.56	0.00	41.56
07/31/2018	#1018331701	STRACHAN, GRACE PERSP II 04/14	33,702.43	14.04	92.0	0.00	12.92	0.00	12.92
07/31/2018	#1018865714	MOON, MELISSA PERSP II 04/14	71,569.52	29.82	92.0	0.00	27.43	0.00	27.43
07/31/2018	#1016423315	MOOT, PEGGY PERSP II 04/14	81,909.05	34.13	92.0	0.00	31.40	0.00	31.40
07/31/2018	#1017975869	RARDIN, JOHN PERSP II 04/14	55,727.95	23.22	92.0	0.00	21.36	0.00	21.36
07/31/2018	#1017913227	STRACHAN, GRACE PERSP II 04/14	136,930.20	57.05	92.0	0.00	52.49	0.00	52.49
07/31/2018	#1016035579	TAYLOR, R PERSP II 04/14	46,789.19	19.50	92.0	0.00	17.94	0.00	17.94
07/31/2018	#1015862358	WHEATON, RICK PERSP II 04/14	95,520.94	39.80	92.0	0.00	36.62	0.00	36.62
07/31/2018	#1018781774	WILKERSON, TERRY PERSP II 04/14	42,094.36	17.54	92.0	0.00	16.14	0.00	16.14
07/31/2018	#1018743570	WILLIAMS, PERSP II 04/14	7,168.44	2.99	92.0	0.00	2.75	0.00	2.75
07/31/2018	#1015043497	ALBIN, CHARLES PERSP II 09/12	16,904.24	7.04	92.0	0.00	6.48	0.00	6.48
07/31/2018	#1014026084	KELLY, MARY PERSP II 09/12	22,938.12	9.56	92.0	0.00	8.80	0.00	8.80

COMMISSION STATEMENT for CYNTHIA HERRON

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
07/31/2018	#1014027650 KELLY, STEVEN	PERSP II 09/12	77,248.08	32.19	92.0	0.00	29.61	0.00	29.61
07/31/2018	#1013061951 WATSON, ROSEZENA	PERSP II 09/12	9,597.62	4.00	92.0	0.00	3.68	0.00	3.68
07/31/2018	#1014134537 WITHEROW,	PERSP II 09/12	39,438.78	16.43	92.0	0.00	15.12	0.00	15.12
07/31/2018	#1013027893 LEWANDOWSKI, KIM	PERSP L 08/11	62,582.11	52.15	92.0	0.00	47.98	0.00	47.98
07/31/2018	#1014818002 PENDER, PENNY	PERSP L 08/11	41,277.16	34.40	92.0	0.00	31.65	0.00	31.65
07/31/2018	#1014025991 STOUT, KYLE	PERSP L 08/11	67,698.54	56.42	92.0	0.00	51.91	0.00	51.91
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$2,577,605.24</b>	<b>\$3,127.16</b>		<b>\$0.00</b>	<b>\$2,877.02</b>	<b>\$0.00</b>	<b>\$2,877.02</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>									
07/05/2018	#452034 JONES, ERIC	HORIZON	365.51	0.85	92.0	0.00	0.78	0.00	0.78
<b>* RIA SUBTOTAL *</b>			<b>\$365.51</b>	<b>\$0.85</b>		<b>\$0.00</b>	<b>\$0.78</b>	<b>\$0.00</b>	<b>\$0.78</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
07/03/2018	# RUSSELL, MEREDITH	DAIN TRAILER	145.00	5.80	92.0	0.00	5.34	0.00	5.34
07/05/2018	# HALL, ERIN	DAIN TRAILER	65.00	3.25	92.0	0.00	2.99	0.00	2.99
07/05/2018	# HALL, ERIN	DAIN TRAILER	65.00	2.60	92.0	0.00	2.39	0.00	2.39
07/10/2018	# RUSSELL, JOHN	DAIN TRAILER	100.00	5.00	92.0	0.00	4.60	0.00	4.60
07/10/2018	# RUSSELL, JOHN	DAIN TRAILER	115.00	4.60	92.0	0.00	4.23	0.00	4.23
07/10/2018	# RANSOM, HERMAN	DAIN TRAILER	25.00	1.25	92.0	0.00	1.15	0.00	1.15
07/11/2018	# GALVAN, DAMIAN	DAIN TRAILER	0.00	0.85	92.0	0.00	0.78	0.00	0.78
07/11/2018	# GALVAN, DAMIAN	DAIN TRAILER	0.00	0.86	92.0	0.00	0.79	0.00	0.79
07/11/2018	# GALVAN, DAMIAN	DAIN TRAILER	0.00	1.41	92.0	0.00	1.30	0.00	1.30
07/11/2018	# GALVAN, DAMIAN	DAIN TRAILER	0.00	1.39	92.0	0.00	1.28	0.00	1.28
07/11/2018	# BAUM, HARRY	DAIN TRAILER	0.00	4.10	92.0	0.00	3.77	0.00	3.77
07/11/2018	# BAUM, HARRY	DAIN TRAILER	0.00	1.15	92.0	0.00	1.06	0.00	1.06
07/11/2018	# BAUM, HARRY	DAIN TRAILER	0.00	7.32	92.0	0.00	6.73	0.00	6.73
07/11/2018	# BAUM, HARRY	DAIN TRAILER	0.00	3.68	92.0	0.00	3.39	0.00	3.39
07/11/2018	# BAUM, HARRY	DAIN TRAILER	0.00	3.10	92.0	0.00	2.85	0.00	2.85
07/11/2018	# DAVIDSON, JANEL	DAIN TRAILER	0.00	0.33	92.0	0.00	0.30	0.00	0.30
07/11/2018	# DAVIDSON, JANEL	DAIN TRAILER	0.00	0.46	92.0	0.00	0.42	0.00	0.42
07/11/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	0.16	92.0	0.00	0.15	0.00	0.15
07/11/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	2.63	92.0	0.00	2.42	0.00	2.42
07/11/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	0.10	92.0	0.00	0.09	0.00	0.09
07/11/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	3.19	92.0	0.00	2.93	0.00	2.93
07/11/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	1.42	92.0	0.00	1.31	0.00	1.31
07/11/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	0.25	92.0	0.00	0.23	0.00	0.23



COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018 #	AREY, GARRISON	DAIN TRAILER	0.00	0.20	92.0	0.00	0.18	0.00	0.18
07/11/2018 #	AREY, GARRISON	DAIN TRAILER	0.00	0.27	92.0	0.00	0.25	0.00	0.25
07/11/2018 #	AREY, GARRISON	DAIN TRAILER	0.00	0.46	92.0	0.00	0.42	0.00	0.42
07/11/2018 #	AREY, GARRISON	DAIN TRAILER	0.00	0.04	92.0	0.00	0.04	0.00	0.04
07/11/2018 #	AREY, GARRISON	DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06
07/11/2018 #	AREY, GARRISON	DAIN TRAILER	0.00	0.07	92.0	0.00	0.06	0.00	0.06
07/11/2018 #		DAIN TRAILER	0.00	1.45	92.0	0.00	1.33	0.00	1.33
07/11/2018 #		DAIN TRAILER	0.00	0.88	92.0	0.00	0.81	0.00	0.81
07/11/2018 #	LANE, JAMES	DAIN TRAILER	0.00	1.75	92.0	0.00	1.61	0.00	1.61
07/11/2018 #	LANE, JAMES	DAIN TRAILER	0.00	0.24	92.0	0.00	0.22	0.00	0.22
07/11/2018 #	LANE, JAMES	DAIN TRAILER	0.00	1.22	92.0	0.00	1.12	0.00	1.12
07/11/2018 #	LANE, JAMES	DAIN TRAILER	0.00	2.45	92.0	0.00	2.25	0.00	2.25
07/11/2018 #	LANE, JAMES	DAIN TRAILER	0.00	2.43	92.0	0.00	2.24	0.00	2.24
07/11/2018 #	LANE, JAMES	DAIN TRAILER	0.00	4.04	92.0	0.00	3.72	0.00	3.72
07/11/2018 #	RANSOM, REBA	DAIN TRAILER	0.00	0.32	92.0	0.00	0.29	0.00	0.29
07/11/2018 #	RANSOM, REBA	DAIN TRAILER	0.00	0.31	92.0	0.00	0.29	0.00	0.29
07/11/2018 #	RANSOM, REBA	DAIN TRAILER	0.00	0.31	92.0	0.00	0.29	0.00	0.29
07/11/2018 #	RANSOM, HERMAN	DAIN TRAILER	0.00	0.09	92.0	0.00	0.08	0.00	0.08
07/11/2018 #	RANSOM, HERMAN	DAIN TRAILER	0.00	0.20	92.0	0.00	0.18	0.00	0.18
07/11/2018 #	RANSOM, HERMAN	DAIN TRAILER	0.00	0.10	92.0	0.00	0.09	0.00	0.09
07/11/2018 #	RANSOM, HERMAN	DAIN TRAILER	0.00	0.10	92.0	0.00	0.09	0.00	0.09
07/11/2018 #	HARRIMAN, DARELL	DAIN TRAILER	0.00	1.13	92.0	0.00	1.04	0.00	1.04
07/11/2018 #	HARRIMAN, DARELL	DAIN TRAILER	0.00	1.56	92.0	0.00	1.44	0.00	1.44
07/11/2018 #	MARCUM, MICHAEL	DAIN TRAILER	0.00	6.44	92.0	0.00	5.92	0.00	5.92
07/11/2018 #	MARCUM, MICHAEL	DAIN TRAILER	0.00	1.57	92.0	0.00	1.44	0.00	1.44
07/11/2018 #	WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	1.64	92.0	0.00	1.51	0.00	1.51
07/11/2018 #	WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	3.45	92.0	0.00	3.17	0.00	3.17
07/11/2018 #	WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	1.26	92.0	0.00	1.16	0.00	1.16
07/11/2018 #	WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	2.44	92.0	0.00	2.24	0.00	2.24
07/11/2018 #	WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	1.51	92.0	0.00	1.39	0.00	1.39
07/11/2018 #	WATSON, ROSEZENA	DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06
07/11/2018 #	WATSON, ROSEZENA	DAIN TRAILER	0.00	0.03	92.0	0.00	0.03	0.00	0.03
07/11/2018 #	WATSON, ROSEZENA	DAIN TRAILER	0.00	0.02	92.0	0.00	0.02	0.00	0.02
07/11/2018 #	WATSON, ROSEZENA	DAIN TRAILER	0.00	0.27	92.0	0.00	0.25	0.00	0.25
07/11/2018 #	RUSSELL, JOHN	DAIN TRAILER	0.00	2.64	92.0	0.00	2.43	0.00	2.43
07/11/2018 #	RUSSELL, JOHN	DAIN TRAILER	0.00	0.01	92.0	0.00	0.01	0.00	0.01

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018 #	RUSSELL, JOHN	DAIN TRAILER	0.00	1.37	92.0	0.00	1.26	0.00	1.26
07/11/2018 #	RUSSELL, JOHN	DAIN TRAILER	0.00	0.01	92.0	0.00	0.01	0.00	0.01
07/11/2018 #	RUSSELL, JOHN	DAIN TRAILER	0.00	3.37	92.0	0.00	3.10	0.00	3.10
07/11/2018 #	RUSSELL, JOHN	DAIN TRAILER	0.00	3.34	92.0	0.00	3.07	0.00	3.07
07/11/2018 #	HARDY, JAMES WILLIAM	DAIN TRAILER	0.00	2.41	92.0	0.00	2.22	0.00	2.22
07/11/2018 #	HARDY, JAMES WILLIAM	DAIN TRAILER	0.00	1.33	92.0	0.00	1.22	0.00	1.22
07/11/2018 #	RUSSELL, MEREDITH	DAIN TRAILER	0.00	3.24	92.0	0.00	2.98	0.00	2.98
07/11/2018 #	RUSSELL, MEREDITH	DAIN TRAILER	0.00	2.43	92.0	0.00	2.24	0.00	2.24
07/11/2018 #	NELSON, MIKE	DAIN TRAILER	0.00	0.43	92.0	0.00	0.40	0.00	0.40
07/11/2018 #	NYANG, LAMIN	DAIN TRAILER	0.00	0.08	92.0	0.00	0.07	0.00	0.07
07/11/2018 #	LEWANDOWSKI, KIM	DAIN TRAILER	0.00	1.24	92.0	0.00	1.14	0.00	1.14
07/11/2018 #	LEWANDOWSKI, KIM	DAIN TRAILER	0.00	0.89	92.0	0.00	0.82	0.00	0.82
07/11/2018 #	LEWANDOWSKI, KIM	DAIN TRAILER	0.00	0.33	92.0	0.00	0.30	0.00	0.30
07/11/2018 #	LEWANDOWSKI, KIM	DAIN TRAILER	0.00	1.37	92.0	0.00	1.26	0.00	1.26
07/11/2018 #	NYANG, LAMIN	DAIN TRAILER	0.00	0.12	92.0	0.00	0.11	0.00	0.11
07/11/2018 #	NYANG, LAMIN	DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06
07/11/2018 #	MOOT, PEGGY	DAIN TRAILER	0.00	1.05	92.0	0.00	0.97	0.00	0.97
07/11/2018 #	MOOT, PEGGY	DAIN TRAILER	0.00	1.42	92.0	0.00	1.31	0.00	1.31
07/11/2018 #	HALL, ERIN	DAIN TRAILER	0.00	0.75	92.0	0.00	0.69	0.00	0.69
07/11/2018 #	HALL, ERIN	DAIN TRAILER	0.00	0.73	92.0	0.00	0.67	0.00	0.67
07/11/2018 #	HALL, ERIN	DAIN TRAILER	0.00	0.72	92.0	0.00	0.66	0.00	0.66
07/11/2018 #	GALVAS, MARIE	DAIN TRAILER	0.00	0.91	92.0	0.00	0.84	0.00	0.84
07/11/2018 #	GALVAS, MARIE	DAIN TRAILER	0.00	0.42	92.0	0.00	0.39	0.00	0.39
07/11/2018 #	WHITAKER, KATHERINE	DAIN TRAILER	0.00	0.38	92.0	0.00	0.35	0.00	0.35
07/11/2018 #	WHITAKER, KATHERINE	DAIN TRAILER	0.00	1.19	92.0	0.00	1.09	0.00	1.09
07/11/2018 #		DAIN TRAILER	0.00	0.96	92.0	0.00	0.88	0.00	0.88
07/11/2018 #		DAIN TRAILER	0.00	0.59	92.0	0.00	0.54	0.00	0.54
07/11/2018 #		DAIN TRAILER	0.00	0.29	92.0	0.00	0.27	0.00	0.27
07/11/2018 #	LISHER, GINA	DAIN TRAILER	0.00	0.33	92.0	0.00	0.30	0.00	0.30
07/11/2018 #	LISHER, GINA	DAIN TRAILER	0.00	1.43	92.0	0.00	1.32	0.00	1.32
07/11/2018 #	LISHER, GINA	DAIN TRAILER	0.00	1.42	92.0	0.00	1.31	0.00	1.31
07/11/2018 #	LISHER, GINA	DAIN TRAILER	0.00	1.61	92.0	0.00	1.48	0.00	1.48
07/11/2018 #	WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	0.59	92.0	0.00	0.54	0.00	0.54
07/11/2018 #	WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	5.88	92.0	0.00	5.41	0.00	5.41
07/11/2018 #	WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	6.21	92.0	0.00	5.71	0.00	5.71
07/11/2018 #	WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	6.64	92.0	0.00	6.11	0.00	6.11

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018	# WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	6.63	92.0	0.00	6.10	0.00	6.10
07/11/2018	# WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	0.21	92.0	0.00	0.19	0.00	0.19
07/11/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	0.02	92.0	0.00	0.02	0.00	0.02
07/11/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	0.63	92.0	0.00	0.58	0.00	0.58
07/11/2018	# BERG, ERIK	DAIN TRAILER	0.00	0.63	92.0	0.00	0.58	0.00	0.58
07/11/2018	# BERG, ERIK	DAIN TRAILER	0.00	0.65	92.0	0.00	0.60	0.00	0.60
07/11/2018	# BERG, ERIK	DAIN TRAILER	0.00	0.78	92.0	0.00	0.72	0.00	0.72
07/11/2018	# BERG, ERIK	DAIN TRAILER	0.00	0.77	92.0	0.00	0.71	0.00	0.71
07/11/2018	# WILKERSON, TERRY	DAIN TRAILER	0.00	0.91	92.0	0.00	0.84	0.00	0.84
07/11/2018	# WILKERSON, TERRY	DAIN TRAILER	0.00	0.46	92.0	0.00	0.42	0.00	0.42
07/11/2018	# WILKERSON, TERRY	DAIN TRAILER	0.00	0.45	92.0	0.00	0.41	0.00	0.41
07/11/2018	# SHANDY, RONNITA	DAIN TRAILER	0.00	0.20	92.0	0.00	0.18	0.00	0.18
07/11/2018	# SHANDY, RONNITA	DAIN TRAILER	0.00	0.59	92.0	0.00	0.54	0.00	0.54
07/11/2018	# RARDIN, JOHN	DAIN TRAILER	0.00	1.43	92.0	0.00	1.32	0.00	1.32
07/11/2018	# RARDIN, JOHN	DAIN TRAILER	0.00	0.96	92.0	0.00	0.88	0.00	0.88
07/11/2018	# RARDIN, JOHN	DAIN TRAILER	0.00	0.47	92.0	0.00	0.43	0.00	0.43
07/11/2018	# RARDIN, JOHN	DAIN TRAILER	0.00	0.46	92.0	0.00	0.42	0.00	0.42
07/11/2018	# RARDIN, JOHN	DAIN TRAILER	0.00	0.96	92.0	0.00	0.88	0.00	0.88
07/11/2018	# MCNARY, JALEESA	DAIN TRAILER	0.00	0.02	92.0	0.00	0.02	0.00	0.02
07/11/2018	# STOUT, KYLE	DAIN TRAILER	0.00	7.57	92.0	0.00	6.96	0.00	6.96
07/11/2018	# STOUT, KYLE	DAIN TRAILER	0.00	3.85	92.0	0.00	3.54	0.00	3.54
07/11/2018	# STOUT, KYLE	DAIN TRAILER	0.00	3.79	92.0	0.00	3.49	0.00	3.49
07/11/2018	# GUILTY, NIQUICHE	DAIN TRAILER	0.00	2.85	92.0	0.00	2.62	0.00	2.62
07/11/2018	# GUILTY, NIQUICHE	DAIN TRAILER	0.00	0.25	92.0	0.00	0.23	0.00	0.23
07/11/2018	# GUILTY, NIQUICHE	DAIN TRAILER	0.00	0.23	92.0	0.00	0.21	0.00	0.21
07/11/2018	# GUILTY, NIQUICHE	DAIN TRAILER	0.00	0.23	92.0	0.00	0.21	0.00	0.21
07/11/2018	# PENDER, PENNY	DAIN TRAILER	0.00	0.01	92.0	0.00	0.01	0.00	0.01
07/11/2018	# ALBIN, JANETTE	DAIN TRAILER	0.00	2.46	92.0	0.00	2.26	0.00	2.26
07/11/2018	# ALBIN, JANETTE	DAIN TRAILER	0.00	0.25	92.0	0.00	0.23	0.00	0.23
07/11/2018	# ALBIN, JANETTE	DAIN TRAILER	0.00	0.53	92.0	0.00	0.49	0.00	0.49
07/11/2018	# ALBIN, JANETTE	DAIN TRAILER	0.00	0.77	92.0	0.00	0.71	0.00	0.71
07/11/2018	# MOOT, PEGGY	DAIN TRAILER	0.00	0.27	92.0	0.00	0.25	0.00	0.25
07/11/2018	# MOOT, PEGGY	DAIN TRAILER	0.00	0.54	92.0	0.00	0.50	0.00	0.50
07/11/2018	# ARVIN, PEGGY	DAIN TRAILER	0.00	0.93	92.0	0.00	0.86	0.00	0.86
07/11/2018	# ARVIN, PEGGY	DAIN TRAILER	0.00	0.35	92.0	0.00	0.32	0.00	0.32
07/11/2018	# ARVIN, PEGGY	DAIN TRAILER	0.00	0.35	92.0	0.00	0.32	0.00	0.32

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018	# ARVIN, PEGGY	DAIN TRAILER	0.00	0.35	92.0	0.00	0.32	0.00	0.32
07/11/2018	# ARVIN, PEGGY	DAIN TRAILER	0.00	0.76	92.0	0.00	0.70	0.00	0.70
07/11/2018	# MYERS, DAVE	DAIN TRAILER	0.00	0.96	92.0	0.00	0.88	0.00	0.88
07/11/2018	# MYERS, DAVE	DAIN TRAILER	0.00	5.10	92.0	0.00	4.69	0.00	4.69
07/11/2018	# NELSON, MIKE	DAIN TRAILER	0.00	0.43	92.0	0.00	0.40	0.00	0.40
07/11/2018	# NELSON, MIKE	DAIN TRAILER	0.00	0.58	92.0	0.00	0.53	0.00	0.53
07/11/2018	# NELSON, MIKE	DAIN TRAILER	0.00	1.50	92.0	0.00	1.38	0.00	1.38
07/11/2018	# NELSON, MIKE	DAIN TRAILER	0.00	1.49	92.0	0.00	1.37	0.00	1.37
07/11/2018	# NELSON, MIKE	DAIN TRAILER	0.00	0.27	92.0	0.00	0.25	0.00	0.25
07/11/2018	# HILTE, MONTY	DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06
07/11/2018	# HILTE, MONTY	DAIN TRAILER	0.00	0.05	92.0	0.00	0.05	0.00	0.05
07/11/2018	# HILTE, MONTY	DAIN TRAILER	0.00	0.93	92.0	0.00	0.86	0.00	0.86
07/11/2018	# PEKAREK, MARJAN	DAIN TRAILER	0.00	0.94	92.0	0.00	0.86	0.00	0.86
07/11/2018	# PEKAREK, MARJAN	DAIN TRAILER	0.00	1.27	92.0	0.00	1.17	0.00	1.17
07/11/2018	# PEKAREK, MARJAN	DAIN TRAILER	0.00	0.66	92.0	0.00	0.61	0.00	0.61
07/11/2018	# PEKAREK, MARJAN	DAIN TRAILER	0.00	1.33	92.0	0.00	1.22	0.00	1.22
07/11/2018	# SHANDY, RONNITA	DAIN TRAILER	0.00	0.31	92.0	0.00	0.29	0.00	0.29
07/11/2018	# MOON, MELISSA	DAIN TRAILER	0.00	2.08	92.0	0.00	1.91	0.00	1.91
07/11/2018	# MOON, MELISSA	DAIN TRAILER	0.00	1.43	92.0	0.00	1.32	0.00	1.32
07/11/2018	# HARDY, PATRICIA	DAIN TRAILER	0.00	1.07	92.0	0.00	0.98	0.00	0.98
07/11/2018	# HARDY, PATRICIA	DAIN TRAILER	0.00	0.64	92.0	0.00	0.59	0.00	0.59
07/11/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	6.58	92.0	0.00	6.05	0.00	6.05
07/11/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	6.33	92.0	0.00	5.82	0.00	5.82
07/11/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	3.31	92.0	0.00	3.05	0.00	3.05
07/11/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	3.28	92.0	0.00	3.02	0.00	3.02
07/11/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	1.47	92.0	0.00	1.35	0.00	1.35
07/11/2018	# SMITH, MATT	DAIN TRAILER	0.00	1.02	92.0	0.00	0.94	0.00	0.94
07/11/2018	# SMITH, MATT	DAIN TRAILER	0.00	1.45	92.0	0.00	1.33	0.00	1.33
07/11/2018	# SMITH, MATT	DAIN TRAILER	0.00	1.47	92.0	0.00	1.35	0.00	1.35
07/11/2018	# OLSON, SALLY	DAIN TRAILER	0.00	2.81	92.0	0.00	2.59	0.00	2.59
07/11/2018	# OLSON, SALLY	DAIN TRAILER	0.00	1.36	92.0	0.00	1.25	0.00	1.25
07/11/2018	# OLSON, SALLY	DAIN TRAILER	0.00	0.25	92.0	0.00	0.23	0.00	0.23
07/11/2018	# OLSON, SALLY	DAIN TRAILER	0.00	0.25	92.0	0.00	0.23	0.00	0.23
07/11/2018	# OLSON, SALLY	DAIN TRAILER	0.00	0.70	92.0	0.00	0.64	0.00	0.64
07/11/2018	# ELL, MICHAEL	DAIN TRAILER	0.00	0.04	92.0	0.00	0.04	0.00	0.04
07/11/2018	# ELL, MICHAEL	DAIN TRAILER	0.00	0.07	92.0	0.00	0.06	0.00	0.06



**BROKER COMMISSION TOTALS**

Broker Transactions	\$3,578.61
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-799.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$2,779.61</b>
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