



Concorde Investment Services, LLC
COMMISSION STATEMENT
June 15, 2017

06/13/2017
 Page No. 1

BRENT LEE
 13423 Kings View Lane

 Williston, ND 58801-

BROKER #: L137
BRANCH: TRUWEALTH FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.91	0.00	3.91	90.0	3.52
06/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.60	0.00	1.60	90.0	1.44
06/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.84	0.00	1.84	90.0	1.66
06/01/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	2.49	0.00	2.49	90.0	2.24
06/01/2017	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	0.00	1.14	0.00	1.14	90.0	1.03
06/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	0.00	2.90	0.00	2.90	90.0	2.61
06/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	0.00	1.20	0.00	1.20	90.0	1.08
06/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	0.00	1.47	0.00	1.47	90.0	1.32
06/01/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	0.00	1.89	0.00	1.89	90.0	1.70
06/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	0.00	4.07	0.00	4.07	90.0	3.66
06/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	0.00	1.72	0.00	1.72	90.0	1.55
06/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	0.00	1.89	0.00	1.89	90.0	1.70
06/01/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	0.00	2.56	0.00	2.56	90.0	2.30
06/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	0.00	2.31	0.00	2.31	90.0	2.08
06/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	0.00	1.23	0.00	1.23	90.0	1.11
06/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	0.00	1.31	0.00	1.31	90.0	1.18
06/01/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	0.00	1.51	0.00	1.51	90.0	1.36
06/01/2017	HEEN, JEREMY	AMERICAN FDS COLLEGE 2021 FUND 529A	0.00	2.29	0.00	2.29	90.0	2.06
06/01/2017	HEEN, JEREMY	AMERICAN FUND COLLEGE 2027 FUND 529	0.00	1.47	0.00	1.47	90.0	1.32
06/01/2017	HEEN, JEREMY	AMERICAN FUNDS COLLEGE 2033 FUND-52	0.00	1.01	0.00	1.01	90.0	0.91
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$39.81	\$0.00	\$39.81		\$35.83

PRODUCT CATEGORY: VARIABLE ANNUITIES

05/31/2017	KUHN, KENNETH	JNL PERSPECTIVE L	245,919.62	204.93	0.00	204.93	90.0	184.44
05/31/2017	KUHN, KRISTINE	JNL PERSPECTIVE L	132,577.38	110.48	0.00	110.48	90.0	99.43
05/31/2017	FREIH, DIANE	JNL PERSPECTIVE L	106,521.09	88.77	0.00	88.77	90.0	79.89
05/31/2017	KEMPER, SHERRY	JNL PERSPECTIVE L	211,529.08	176.27	0.00	176.27	90.0	158.64

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
05/31/2017	RETZLAFF, SANDRA	JNL PERSPECTIVE L	36,475.29	30.40	0.00	30.40	90.0	27.36
05/31/2017	GRANT, GREGORY	JNL PERSPECTIVE L	91,378.44	76.15	0.00	76.15	90.0	68.54
05/31/2017	MONETTE, RONALD	JNL PERSPECTIVE L	58,208.43	48.51	0.00	48.51	90.0	43.66
05/31/2017	LIESENER, MARTY	JNL PERSPECTIVE L	42,000.97	35.00	0.00	35.00	90.0	31.50
05/31/2017	BARTINGALE, JAMES	JNL PERSPECTIVE L	60,401.04	50.33	0.00	50.33	90.0	45.30
05/31/2017	MONETTE, RONALD	JNL PERSPECTIVE L	197,925.54	164.94	0.00	164.94	90.0	148.45
* VARIABLE ANNUITIES SUBTOTAL *			\$1,182,936.88	\$985.78	\$0.00	\$985.78		\$887.21
*** BROKER TRANSACTIONS TOTAL ***			\$1,182,936.88	\$1,025.59	\$0.00	\$1,025.59		\$923.04

SPLIT TRANSACTIONS**BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332**

06/01/2017	KLINE, STEWART	AM FUNDS COLLEGE 2030 FUND 529A	225.00	3.94	0.00	3.94	90.0	3.55
06/01/2017	KLINE, STEWART	AM FUNDS COLLEGE 2030 FUND 529A	0.00	0.54	0.00	0.54	90.0	0.49
06/01/2017	DEVLIN, DON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.65	0.00	2.65	90.0	2.39
06/01/2017	DEVLIN, DON	AM FUNDS SMALLCAP WORLD A	0.00	0.82	0.00	0.82	90.0	0.74
06/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	2.47	0.00	2.47	90.0	2.22
06/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	3.09	0.00	3.09	90.0	2.78
06/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	3.85	0.00	3.85	90.0	3.47
06/01/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	2.00	0.00	2.00	90.0	1.80
06/01/2017	KLINE, STEWART	AMERICAN FUND COLLEGE 2027 FUND 529	0.00	0.67	0.00	0.67	90.0	0.60
06/01/2017	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	180.00	3.15	0.00	3.15	90.0	2.84
06/01/2017	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	0.00	0.43	0.00	0.43	90.0	0.39
06/01/2017	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	0.00	0.35	0.00	0.35	90.0	0.32
06/02/2017	KLINE, STEWART	AMERICAN FUNDS COLLEGE 2033 FUND-52	160.00	2.80	0.00	2.80	90.0	2.52
* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *			\$565.00	\$26.76	\$0.00	\$26.76		\$24.11

BROKER: JBB JEN-BRENT-BRION TRU-DONALD-LEE- #333

05/15/2017	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.16	0.00	0.16	90.0	0.14
05/30/2017	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.05	0.00	0.05	90.0	0.05
05/18/2017	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.08	0.00	0.08	90.0	0.07
06/09/2017	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	15,000.00	0.00	0.00	0.00	90.0	0.00
06/09/2017	NEWBERRY TRUST, GLORIA	INVESCO GROWTH AND INCOME FUND CLAS	4,000.00	3.30	2.42	0.88	90.0	0.79
05/31/2017	NEWBERRY, GLORIA	PRINCIPAL GOVERNMENT & HIGH QUALITY	0.00	0.02	0.00	0.02	90.0	0.02

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u>								
05/31/2017	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.11	0.00	0.11	90.0	0.10
05/31/2017	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	0.52	0.00	0.52	90.0	0.47
06/09/2017	NEWBERRY TRUST, GLORIA	VANGUARD INFL-PROTECTED SECS	7,000.00	23.10	2.42	20.68	90.0	18.61
06/09/2017	NEWBERRY TRUST, GLORIA	VANGUARD INTL EQUITY INDEX FD INC F	6,296.10	20.90	2.47	18.43	90.0	16.59
06/09/2017	NEWBERRY TRUST, GLORIA	VANGUARD MID-CAP INDEX	3,000.00	9.90	2.42	7.48	90.0	6.73
06/09/2017	NEWBERRY TRUST, GLORIA	VANGUARD SMALL-CAP INDEX FUND	3,000.00	9.90	2.42	7.48	90.0	6.73
* JBB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			\$38,296.10	\$68.04	\$12.15	\$55.89		\$50.30
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.17	0.00	0.17	90.0	0.15
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.17	0.00	0.17	90.0	0.15
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.17	0.00	0.17	90.0	0.15
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.17	0.00	0.17	90.0	0.15
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.15	0.00	0.15	90.0	0.14
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.11	0.00	0.11	90.0	0.10
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.13	0.00	0.13	90.0	0.12
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.13	0.00	0.13	90.0	0.12
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.08	0.00	0.08	90.0	0.07
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.43	0.00	0.43	90.0	0.39
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.37	0.00	0.37	90.0	0.33
06/01/2017	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	2.37	0.00	2.37	90.0	2.13
06/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.26	0.00	0.26	90.0	0.23
06/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.26	0.00	0.26	90.0	0.23
06/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.27	0.00	0.27	90.0	0.24
06/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.26	0.00	0.26	90.0	0.23
06/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.27	0.00	0.27	90.0	0.24
06/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.21	0.00	0.21	90.0	0.19
06/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.09	0.00	0.09	90.0	0.08
06/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.63	0.00	0.63	90.0	0.57
06/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.52	0.00	0.52	90.0	0.47
06/01/2017	UNTIL AGE 21, MN/UTMA	AM FUNDS ICA A	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.27	0.00	0.27	90.0	0.24

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.27	0.00	0.27	90.0	0.24
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.27	0.00	0.27	90.0	0.24
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.27	0.00	0.27	90.0	0.24
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.30	0.00	0.30	90.0	0.27
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.23	0.00	0.23	90.0	0.21
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.20	0.00	0.20	90.0	0.18
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.20	0.00	0.20	90.0	0.18
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.09	0.00	0.09	90.0	0.08
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.57	0.00	0.57	90.0	0.51
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.49	0.00	0.49	90.0	0.44
06/01/2017	LEE, DONALD	AM FUNDS SMALLCAP WORLD-529A	0.00	0.27	0.00	0.27	90.0	0.24
06/01/2017	LEE, DONALD	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	0.13	0.00	0.13	90.0	0.12
06/01/2017	HEEN, JEREMY	AMERICAN FDS COLLEGE 2021 FUND 529A	370.00	4.07	0.00	4.07	90.0	3.66
06/01/2017	HEEN, JEREMY	AMERICAN FUND COLLEGE 2027 FUND 529	245.00	2.69	0.00	2.69	90.0	2.42
06/01/2017	HEEN, JEREMY	AMERICAN FUNDS COLLEGE 2033 FUND-52	190.00	2.09	0.00	2.09	90.0	1.88
05/31/2017	SKAARE, LARRY	FS INVESTMENT CORP IV CLASS T	0.00	15.26	0.00	15.26	90.0	13.73
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$1,020.00	\$35.55	\$0.00	\$35.55		\$31.95
<u>BROKER: L147-BRE/BRI/JEN/TYL LEE/NORB/DON/L #376</u>								
06/01/2017	SORENSEN, CURTIS	INLAND SELF-STORAGE PF II DST	163,000.00	2,282.00	0.00	2,282.00	90.0	2,053.80
* L147-BRE/BRI/JEN/TYL LEE/NORB/DON/L SUBTOTAL *			\$163,000.00	\$2,282.00	\$0.00	\$2,282.00		\$2,053.80
*** SPLIT TRANSACTIONS TOTAL ***			\$202,881.10	\$2,412.35	\$12.15	\$2,400.20		\$2,160.16
<u>ADJUSTMENTS</u>								
06/15/2017		*AUDIT FEE: BRANCH FEE	0.00					-213.75
06/15/2017		*CONCIERGE SVC: 192647 DEVLIN	0.00					-25.00
06/15/2017		*CONCIERGE SVC: 193394 NEWBERRY	0.00					-5.50
06/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
06/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-0.75
06/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-820.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$923.04
Split Transactions	\$2,160.16
Override Transactions	\$0.00
Adjustments	\$-820.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-199.61
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,063.59
Year-to-date Gross	\$39,631.07
Year-to-date Earnings	\$35,650.94