



Concorde Investment Services, LLC

01/27/2017
Page No. 1

COMMISSION STATEMENT

January 31, 2017

R127-RICH/MARK/HALIN RODRIG/HUBER/WIKAR
1020 S. Arlington Heights Road

BROKER #: R127
BRANCH: RICHARD RODRIGUEZ, J

Arlington Heights, IL 60005

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
^01/03/2017	DENEK, SABINA	MFS AGGRESSIVE GROWTH ALLOCATION B	0.00	0.34	0.00	0.34	0.0	0.00
^01/03/2017	STELMASIAK, BERENIKA	MFS AGGRESSIVE GROWTH ALLOCATION B	0.00	0.05	0.00	0.05	0.0	0.00
^01/03/2017	KOENIG, ANNA	MFS AGGRESSIVE GRWTH ALLOC FD-529B	0.00	0.29	0.00	0.29	0.0	0.00
^01/10/2017	WIKAR, HALINA	MFS BLENDED RESEARCH CORE C	17.83	0.18	0.00	0.18	0.0	0.00
^01/03/2017	SIENKIEWICZ, TOMASZ	MFS BLENDED RESEARCH CORE FD B	0.00	0.42	0.00	0.42	0.0	0.00
^01/03/2017	DABROWSKI, PAUL	MFS BLENDED RESEARCH CORE FD B	0.00	1.35	0.00	1.35	0.0	0.00
^01/03/2017	MARSZALEK, ZOFIA	MFS BLENDED RESEARCH CORE FD B	0.00	1.88	0.00	1.88	0.0	0.00
^01/03/2017	GUTT, MARTIN	MFS BLENDED RESEARCH CORE FD B	0.00	0.41	0.00	0.41	0.0	0.00
^01/03/2017	WALCZYK, ANNA	MFS BLENDED RESEARCH CORE FD B	0.00	0.97	0.00	0.97	0.0	0.00
^01/03/2017	WALCZYK, MAREK	MFS BLENDED RESEARCH CORE FD B	0.00	1.00	0.00	1.00	0.0	0.00
^01/10/2017	DABROWSKI, PAUL	MFS BLENDED RESEARCH CORE FD B	114.50	4.58	0.00	4.58	0.0	0.00
^01/10/2017	GUTT, MARTIN	MFS BLENDED RESEARCH CORE FD B	100.00	4.00	0.00	4.00	0.0	0.00
^01/17/2017	SIENKIEWICZ, TOMASZ	MFS BLENDED RESEARCH CORE FD B	25.00	1.00	0.00	1.00	0.0	0.00
^01/03/2017	DABROWSKI, PAUL	MFS BOND FUND B	0.00	1.34	0.00	1.34	0.0	0.00
^01/10/2017	DABROWSKI, PAUL	MFS BOND FUND B	114.50	4.58	0.00	4.58	0.0	0.00
^01/03/2017	SWIDERSKA, HELENA	MFS CONSERVATIVE ALLOCATION A	0.00	2.60	0.00	2.60	0.0	0.00
^01/03/2017	STRZECKA, SYLWIA	MFS CONSERVATIVE ALLOCATION B	0.00	0.12	0.00	0.12	0.0	0.00
^01/03/2017	SZWEDO, MALGORZATA	MFS CONSERVATIVE ALLOCATION B	0.00	0.08	0.00	0.08	0.0	0.00
^01/20/2017	LOWISZ, WLADYSLAWA	MFS CONSERVATIVE ALLOCATION B	108.00	4.32	0.00	4.32	0.0	0.00
^01/03/2017	DENEK, SABINA	MFS DISCOVERY B	0.00	0.32	0.00	0.32	0.0	0.00
^01/03/2017	DABROWSKI, AGNIESZKA	MFS DIVERSIFIED INCOME FUND C	0.00	10.73	0.00	10.73	0.0	0.00
^01/09/2017	DABROWSKI, AGNIESZKA	MFS DIVERSIFIED INCOME FUND C	135.00	1.35	0.00	1.35	0.0	0.00
^01/20/2017	LOWISZ, WLADYSLAWA	MFS EMERGING MARKETS DEBT FUND B	108.00	4.32	0.00	4.32	0.0	0.00
^01/03/2017	WALCZYK, ANNA	MFS GLOBAL REAL ESTATE FUND B	0.00	0.89	0.00	0.89	0.0	0.00
^01/03/2017	WALCZYK, MAREK	MFS GLOBAL REAL ESTATE FUND B	0.00	0.91	0.00	0.91	0.0	0.00
^01/03/2017	WALCZYK, SABRINA	MFS GLOBAL REAL ESTATE FUND B	0.00	0.03	0.00	0.03	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
^01/03/2017	TULEWICZ, KRYSZYNA	MFS GLOBAL REAL ESTATE FUND B	0.00	0.02	0.00	0.02	0.0	0.00
^01/03/2017	FELINSKI, DOROTA	MFS GLOBAL REAL ESTATE FUND B	0.00	0.03	0.00	0.03	0.0	0.00
^01/05/2017	WALCZYK, SABRINA	MFS GLOBAL REAL ESTATE FUND B	3.75	0.15	0.00	0.15	0.0	0.00
^01/05/2017	WALCZYK, SABRINA	MFS GLOBAL REAL ESTATE FUND B	12.50	0.50	0.00	0.50	0.0	0.00
^01/05/2017	TULEWICZ, KRYSZYNA	MFS GLOBAL REAL ESTATE FUND B	8.70	0.35	0.00	0.35	0.0	0.00
^01/05/2017	TULEWICZ, KRYSZYNA	MFS GLOBAL REAL ESTATE FUND B	14.50	0.58	0.00	0.58	0.0	0.00
^01/05/2017	FELINSKI, DOROTA	MFS GLOBAL REAL ESTATE FUND B	9.75	0.39	0.00	0.39	0.0	0.00
^01/05/2017	FELINSKI, DOROTA	MFS GLOBAL REAL ESTATE FUND B	9.75	0.39	0.00	0.39	0.0	0.00
^01/10/2017	SZAJEWSKI, REGINA	MFS GLOBAL TOTAL RETURN FUND-B	74.76	2.99	0.00	2.99	0.0	0.00
^01/10/2017	SZAJEWSKI, REGINA	MFS GLOBAL TOTAL RETURN FUND-B	709.50	28.38	0.00	28.38	0.0	0.00
^01/05/2017	CHOWANIEC, WOJCIECH	MFS GROWTH ALLOCATION 529C	200.00	2.00	0.00	2.00	0.0	0.00
^01/03/2017	MEI, JENNIFER	MFS GROWTH ALLOCATION B	0.00	1.12	0.00	1.12	0.0	0.00
^01/03/2017	STRZECKA, SYLWIA	MFS GROWTH ALLOCATION B	0.00	0.15	0.00	0.15	0.0	0.00
^01/03/2017	DABROWSKI, PAUL	MFS GROWTH ALLOCATION B	0.00	1.30	0.00	1.30	0.0	0.00
^01/03/2017	WALCZYK, ANNA	MFS GROWTH ALLOCATION B	0.00	0.93	0.00	0.93	0.0	0.00
^01/03/2017	WALCZYK, MAREK	MFS GROWTH ALLOCATION B	0.00	0.96	0.00	0.96	0.0	0.00
^01/03/2017	WALCZYK, SABRINA	MFS GROWTH ALLOCATION B	0.00	0.03	0.00	0.03	0.0	0.00
^01/03/2017	TULEWICZ, KRYSZYNA	MFS GROWTH ALLOCATION B	0.00	0.02	0.00	0.02	0.0	0.00
^01/03/2017	FELINSKI, DOROTA	MFS GROWTH ALLOCATION B	0.00	0.03	0.00	0.03	0.0	0.00
^01/03/2017	SZWEDO, MALGORZATA	MFS GROWTH ALLOCATION B	0.00	0.08	0.00	0.08	0.0	0.00
^01/03/2017	CHOWANIEC, WOJCIECH	MFS GROWTH ALLOCATION B	0.00	1.49	0.00	1.49	0.0	0.00
^01/05/2017	WALCZYK, SABRINA	MFS GROWTH ALLOCATION B	3.75	0.15	0.00	0.15	0.0	0.00
^01/05/2017	WALCZYK, SABRINA	MFS GROWTH ALLOCATION B	12.50	0.50	0.00	0.50	0.0	0.00
^01/05/2017	TULEWICZ, KRYSZYNA	MFS GROWTH ALLOCATION B	8.70	0.35	0.00	0.35	0.0	0.00
^01/05/2017	TULEWICZ, KRYSZYNA	MFS GROWTH ALLOCATION B	14.50	0.58	0.00	0.58	0.0	0.00
^01/05/2017	FELINSKI, DOROTA	MFS GROWTH ALLOCATION B	9.75	0.39	0.00	0.39	0.0	0.00
^01/05/2017	FELINSKI, DOROTA	MFS GROWTH ALLOCATION B	9.75	0.39	0.00	0.39	0.0	0.00
^01/10/2017	DABROWSKI, PAUL	MFS GROWTH ALLOCATION B	114.50	4.58	0.00	4.58	0.0	0.00
^01/10/2017	WIKAR, SYLVIA	MFS GROWTH ALLOCATION B	30.65	1.23	0.00	1.23	0.0	0.00
^01/10/2017	WIKAR, SYLVIA	MFS GROWTH ALLOCATION B	51.09	2.04	0.00	2.04	0.0	0.00
^01/10/2017	SZAJEWSKI, REGINA	MFS GROWTH ALLOCATION B	74.76	2.99	0.00	2.99	0.0	0.00
^01/10/2017	SZAJEWSKI, REGINA	MFS GROWTH ALLOCATION B	709.50	28.38	0.00	28.38	0.0	0.00
^01/03/2017	ZGAJEWSKI, BARBARA	MFS GROWTH ALLOCATION C	0.00	4.01	0.00	4.01	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^01/17/2017	PROTTO, CAMILLA	MFS GROWTH B	75.00	3.00	0.00	3.00	0.0	0.00
^01/10/2017	WIKAR, HALINA	MFS GROWTH C	17.83	0.18	0.00	0.18	0.0	0.00
^01/03/2017	CHOWANIEC (A MINOR), MICHAL	MFS INTERNATIOANL DEVERSIFICATION F	0.00	0.35	0.00	0.35	0.0	0.00
^01/03/2017	CHOWANIEC (A MINOR), PAULINA	MFS INTERNATIOANL DEVERSIFICATION F	0.00	0.34	0.00	0.34	0.0	0.00
^01/03/2017	CHOWANIEC, WOJCIECH	MFS INTERNATIOANL DEVERSIFICATION F	0.00	1.47	0.00	1.47	0.0	0.00
^01/05/2017	CHOWANIEC (A MINOR), MICHAL	MFS INTERNATIOANL DEVERSIFICATION F	50.00	2.00	0.00	2.00	0.0	0.00
^01/05/2017	CHOWANIEC (A MINOR), PAULINA	MFS INTERNATIOANL DEVERSIFICATION F	50.00	2.00	0.00	2.00	0.0	0.00
^01/10/2017	WIKAR, SYLVIA	MFS INTERNATIOANL DEVERSIFICATION F	30.65	1.23	0.00	1.23	0.0	0.00
^01/10/2017	WIKAR, SYLVIA	MFS INTERNATIOANL DEVERSIFICATION F	51.08	2.04	0.00	2.04	0.0	0.00
^01/03/2017	MEI, JENNIFER	MFS LIFETIME 2045 FUND B	0.00	1.15	0.00	1.15	0.0	0.00
^01/10/2017	WIKAR, HALINA	MFS LOW VOLATILITY EQUITY FUND C	106.95	1.07	0.00	1.07	0.0	0.00
^01/03/2017	MEI, JENNIFER	MFS MASS INVESTORS TRUST B	0.00	1.18	0.00	1.18	0.0	0.00
^01/20/2017	LOWISZ, WLADYSLAWA	MFS MODERATE ALLOCATION B	108.00	4.32	0.00	4.32	0.0	0.00
^01/03/2017	DABROWSKI, AGNIESZKA	MFS MODERATE ALLOCATION C	0.00	10.52	0.00	10.52	0.0	0.00
^01/03/2017	WALUSZKO, SYLWIA	MFS MODERATE ALLOCATION C	0.00	11.12	0.00	11.12	0.0	0.00
^01/09/2017	DABROWSKI, AGNIESZKA	MFS MODERATE ALLOCATION C	135.00	1.35	0.00	1.35	0.0	0.00
^01/10/2017	WIKAR, HALINA	MFS NEW DISCOVERY VALUE FUND C	35.66	0.36	0.00	0.36	0.0	0.00
^01/03/2017	MEI, JENNIFER	MFS RESEARCH B	0.00	1.18	0.00	1.18	0.0	0.00
^01/03/2017	DENEK, SABINA	MFS UTILITIES B	0.00	0.43	0.00	0.43	0.0	0.00
^01/03/2017	MEI, JENNIFER	MFS UTILITIES B	0.00	0.97	0.00	0.97	0.0	0.00
^01/03/2017	STRZECKA, SYLWIA	MFS UTILITIES B	0.00	0.17	0.00	0.17	0.0	0.00
^01/03/2017	STELMASIAK, BERENIKA	MFS UTILITIES B	0.00	0.06	0.00	0.06	0.0	0.00
^01/03/2017	SIENKIEWICZ, TOMASZ	MFS UTILITIES B	0.00	0.35	0.00	0.35	0.0	0.00
^01/03/2017	DABROWSKI, PAUL	MFS UTILITIES B	0.00	1.14	0.00	1.14	0.0	0.00
^01/03/2017	GUTT, MARTIN	MFS UTILITIES B	0.00	0.36	0.00	0.36	0.0	0.00
^01/03/2017	WALCZYK, ANNA	MFS UTILITIES B	0.00	0.89	0.00	0.89	0.0	0.00
^01/03/2017	WALCZYK, MAREK	MFS UTILITIES B	0.00	0.92	0.00	0.92	0.0	0.00
^01/03/2017	WALCZYK, SABRINA	MFS UTILITIES B	0.00	0.03	0.00	0.03	0.0	0.00
^01/03/2017	TULEWICZ, KRYSZYNA	MFS UTILITIES B	0.00	0.02	0.00	0.02	0.0	0.00
^01/03/2017	FELINSKI, DOROTA	MFS UTILITIES B	0.00	0.03	0.00	0.03	0.0	0.00
^01/03/2017	SZWEDO, MALGORZATA	MFS UTILITIES B	0.00	0.09	0.00	0.09	0.0	0.00
^01/03/2017	CHOWANIEC (A MINOR), MICHAL	MFS UTILITIES B	0.00	0.43	0.00	0.43	0.0	0.00
^01/03/2017	CHOWANIEC (A MINOR), PAULINA	MFS UTILITIES B	0.00	0.42	0.00	0.42	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^01/03/2017	CHOWANIEC, WOJCIECH	MFS UTILITIES B	0.00	1.40	0.00	1.40	0.0	0.00
^01/05/2017	WALCZYK, SABRINA	MFS UTILITIES B	3.75	0.15	0.00	0.15	0.0	0.00
^01/05/2017	WALCZYK, SABRINA	MFS UTILITIES B	12.50	0.50	0.00	0.50	0.0	0.00
^01/05/2017	TULEWICZ, KRYSTYNA	MFS UTILITIES B	8.70	0.35	0.00	0.35	0.0	0.00
^01/05/2017	TULEWICZ, KRYSTYNA	MFS UTILITIES B	14.50	0.58	0.00	0.58	0.0	0.00
^01/05/2017	FELINSKI, DOROTA	MFS UTILITIES B	9.75	0.39	0.00	0.39	0.0	0.00
^01/05/2017	FELINSKI, DOROTA	MFS UTILITIES B	9.75	0.39	0.00	0.39	0.0	0.00
^01/05/2017	CHOWANIEC (A MINOR), MICHAL	MFS UTILITIES B	50.00	2.00	0.00	2.00	0.0	0.00
^01/05/2017	CHOWANIEC (A MINOR), PAULINA	MFS UTILITIES B	50.00	2.00	0.00	2.00	0.0	0.00
^01/10/2017	DABROWSKI, PAUL	MFS UTILITIES B	114.50	4.58	0.00	4.58	0.0	0.00
^01/10/2017	GUTT, MARTIN	MFS UTILITIES B	100.00	4.00	0.00	4.00	0.0	0.00
^01/10/2017	WIKAR, SYLVIA	MFS UTILITIES B	30.65	1.23	0.00	1.23	0.0	0.00
^01/10/2017	WIKAR, SYLVIA	MFS UTILITIES B	51.09	2.04	0.00	2.04	0.0	0.00
^01/17/2017	SIENKIEWICZ, TOMASZ	MFS UTILITIES B	25.00	1.00	0.00	1.00	0.0	0.00
^01/20/2017	LOWISZ, WLADYSLAWA	MFS UTILITIES B	108.00	4.32	0.00	4.32	0.0	0.00
^01/03/2017	ZGAJEWSKI, BARBARA	MFS UTILITIES C	0.00	3.78	0.00	3.78	0.0	0.00
^01/03/2017	DABROWSKI, AGNIESZKA	MFS UTILITIES C	0.00	20.37	0.00	20.37	0.0	0.00
^01/03/2017	WALUSZKO, SYLWIA	MFS UTILITIES C	0.00	18.71	0.00	18.71	0.0	0.00
^01/09/2017	DABROWSKI, AGNIESZKA	MFS UTILITIES C	270.00	2.70	0.00	2.70	0.0	0.00
^01/03/2017	STELMASIAK, BERENIKA	MFS VALUE B	0.00	0.06	0.00	0.06	0.0	0.00
^01/03/2017	WALCZYK, ANNA	MFS VALUE B	0.00	0.99	0.00	0.99	0.0	0.00
^01/03/2017	WALCZYK, MAREK	MFS VALUE B	0.00	1.02	0.00	1.02	0.0	0.00
^01/03/2017	WALCZYK, SABRINA	MFS VALUE B	0.00	0.03	0.00	0.03	0.0	0.00
^01/03/2017	TULEWICZ, KRYSTYNA	MFS VALUE B	0.00	0.02	0.00	0.02	0.0	0.00
^01/03/2017	FELINSKI, DOROTA	MFS VALUE B	0.00	0.03	0.00	0.03	0.0	0.00
^01/03/2017	CHOWANIEC (A MINOR), MICHAL	MFS VALUE B	0.00	0.37	0.00	0.37	0.0	0.00
^01/03/2017	CHOWANIEC (A MINOR), PAULINA	MFS VALUE B	0.00	0.36	0.00	0.36	0.0	0.00
^01/03/2017	CHOWANIEC, WOJCIECH	MFS VALUE B	0.00	1.57	0.00	1.57	0.0	0.00
^01/05/2017	WALCZYK, SABRINA	MFS VALUE B	3.75	0.15	0.00	0.15	0.0	0.00
^01/05/2017	WALCZYK, SABRINA	MFS VALUE B	12.50	0.50	0.00	0.50	0.0	0.00
^01/05/2017	TULEWICZ, KRYSTYNA	MFS VALUE B	8.70	0.35	0.00	0.35	0.0	0.00
^01/05/2017	TULEWICZ, KRYSTYNA	MFS VALUE B	14.50	0.58	0.00	0.58	0.0	0.00
^01/05/2017	FELINSKI, DOROTA	MFS VALUE B	9.75	0.39	0.00	0.39	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^01/05/2017	FELINSKI, DOROTA	MFS VALUE B	9.75	0.39	0.00	0.39	0.0	0.00
^01/05/2017	CHOWANIEC (A MINOR), MICHAL	MFS VALUE B	50.00	2.00	0.00	2.00	0.0	0.00
^01/05/2017	CHOWANIEC (A MINOR), PAULINA	MFS VALUE B	50.00	2.00	0.00	2.00	0.0	0.00
^01/10/2017	WIKAR, SYLVIA	MFS VALUE B	30.65	1.23	0.00	1.23	0.0	0.00
^01/10/2017	WIKAR, SYLVIA	MFS VALUE B	51.09	2.04	0.00	2.04	0.0	0.00
^01/10/2017	SZAJEWSKI, REGINA	MFS VALUE B	77.02	3.08	0.00	3.08	0.0	0.00
^01/10/2017	SZAJEWSKI, REGINA	MFS VALUE B	731.00	29.24	0.00	29.24	0.0	0.00
^01/17/2017	PROTTO, CAMILLA	MFS VALUE B	75.00	3.00	0.00	3.00	0.0	0.00
^01/20/2017	LOWISZ, WLADYSLAWA	MFS VALUE B	108.00	4.32	0.00	4.32	0.0	0.00
^01/03/2017	WALUSZKO, SYLWIA	MFS VALUE C	0.00	12.09	0.00	12.09	0.0	0.00
^01/03/2017	CHOWANIEC, WOJCIECH	PUTNAM 529 AGE-BASED 2014-A	0.00	3.72	0.00	3.72	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$5,555.56	\$326.73	\$0.00	\$326.73		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$5,555.56	\$326.73	\$0.00	\$326.73		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$49.04
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed