



Concorde Investment Services, LLC

08/29/2017
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COMMISSION STATEMENT

August 31, 2017

ROBERT SMITH
5000 Meadows Road
Suite 230
Lake Oswego, OR 97035

BROKER #: S117
BRANCH: ROBERT SMITH

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--------------------|-----------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u> | | | | | | | | |
| 08/10/2017 | GAERISCH, LYNNE | GPB AUTOMOTIVE PORTFOLIO LP | 50,000.00 | 3,500.00 | 0.00 | 3,500.00 | 90.0 | 3,150.00 |
| 08/15/2017 | AUFENTHIE, TIMOTHY | GPB AUTOMOTIVE PORTFOLIO LP | 74,000.00 | 5,180.00 | 0.00 | 5,180.00 | 90.0 | 4,662.00 |
| * PRIVATE PLACEMENT SUBTOTAL * | | | \$124,000.00 | \$8,680.00 | \$0.00 | \$8,680.00 | | \$7,812.00 |
| <u>PRODUCT CATEGORY: 1031 EXCHANGES</u> | | | | | | | | |
| 08/08/2017 | ROSS, ROBERT | INLAND GULF COAST IND'L DST | 73,850.00 | 3,692.50 | 0.00 | 3,692.50 | 90.0 | 3,323.25 |
| * 1031 EXCHANGES SUBTOTAL * | | | \$73,850.00 | \$3,692.50 | \$0.00 | \$3,692.50 | | \$3,323.25 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$197,850.00 | \$12,372.50 | \$0.00 | \$12,372.50 | | \$11,135.25 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$11,135.25 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|--------------|
| Check Amount | \$11,135.25 |
| Year-to-date Gross | \$763,728.88 |
| Year-to-date Earnings | \$767,426.38 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed