



Concorde Investment Services, LLC

07/27/2017
Page No. 1

COMMISSION STATEMENT

July 31, 2017

STAN WEBB
300 N. Main Street
Suite 309
Wichita, KS 67202

BROKER #: W121
BRANCH: STAN WEBB

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	BROWNFIELD, DEAN	AGGRESSIVE PORTFOLIO A	0.00	0.99	0.00	0.99	92.0	0.91
/ /	WYSSMAN, AMBER	AGGRESSIVE PORTFOLIO A	0.00	0.50	0.00	0.50	92.0	0.46
/ /	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	0.00	4.16	0.00	4.16	92.0	3.83
/ /	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	0.00	4.16	0.00	4.16	92.0	3.83
/ /	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	0.00	4.16	0.00	4.16	92.0	3.83
/ /	INGALLS, MONICA	AGGRESSIVE PORTFOLIO A	0.00	0.26	0.00	0.26	92.0	0.24
/ /	SCHMITT, SUSAN	AGGRESSIVE PORTFOLIO A	0.00	4.80	0.00	4.80	92.0	4.42
/ /	SCHMITT, SUSAN	AGGRESSIVE PORTFOLIO A	0.00	5.44	0.00	5.44	92.0	5.00
07/17/2017	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	100.00	5.00	0.00	5.00	92.0	4.60
07/17/2017	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	100.00	5.00	0.00	5.00	92.0	4.60
07/17/2017	WOLFF, KRISTA	AGGRESSIVE PORTFOLIO A	100.00	5.00	0.00	5.00	92.0	4.60
07/05/2017	SMITH, SHARRILYN	ALLIANZ GI NFJ LARGE CAP VALUE C	0.00	1.65	0.00	1.65	92.0	1.52
07/05/2017	SMITH, SHARRILYN	ALLIANZ GI NFJ LARGE CAP VALUE C	0.00	4.34	0.00	4.34	92.0	3.99
07/05/2017	SMITH, SHARRILYN	ALLIANZ GI NFJ SMALL CAP VALUE FUND	0.00	5.19	0.00	5.19	92.0	4.77
07/05/2017	SMITH, SHARRILYN	ALLIANZ GI NFJ SMALL CAP VALUE FUND	0.00	14.72	0.00	14.72	92.0	13.54
07/05/2017	SMITH, SHARRILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	6.96	0.00	6.96	92.0	6.40
07/05/2017	SMITH, SHARRILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	18.70	0.00	18.70	92.0	17.20
07/10/2017	MILLER, WARREN	AM FUNDS AMCAP A	100.00	3.75	0.00	3.75	92.0	3.45
07/10/2017	BELL, CONNOR	AM FUNDS AMERICAN BALANCED A	50.00	2.13	0.00	2.13	92.0	1.96
07/10/2017	AUSDERAU-IORIO, NATASHA	AM FUNDS AMERICAN BALANCED A	1,200.00	45.00	0.00	45.00	92.0	41.40
07/18/2017	HILGER, PAMELA	AM FUNDS AMERICAN BALANCED A	150.00	6.38	0.00	6.38	92.0	5.87
07/18/2017	LACHER, KAREN	AM FUNDS AMERICAN BALANCED A	13,571.36	373.21	0.00	373.21	92.0	343.35
07/10/2017	BELL, BRAYDEN	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	2.13	0.00	2.13	92.0	1.96
07/10/2017	BELL, BRAYDEN	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	2.13	0.00	2.13	92.0	1.96
07/10/2017	AUSDERAU-IORIO, NATASHA	AM FUNDS CAPITAL INCOME BUILDER A	700.00	26.25	0.00	26.25	92.0	24.15
07/18/2017	HILGER, PAMELA	AM FUNDS CAPITAL INCOME BUILDER A	150.00	6.38	0.00	6.38	92.0	5.87

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/18/2017	LACHER, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	10,857.09	298.57	0.00	298.57	92.0	274.68
07/21/2017	HENDERSON, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	25.00	1.06	0.00	1.06	92.0	0.98
07/21/2017	HENDERSON, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	25.00	1.06	0.00	1.06	92.0	0.98
07/18/2017	LACHER, KAREN	AM FUNDS EUROPACIFIC GROWTH FUND A	2,714.28	74.64	0.00	74.64	92.0	68.67
07/10/2017	AUSDERAU-IORIO, NATASHA	AM FUNDS FUNDAMENTAL INVESTORS A	600.00	22.50	0.00	22.50	92.0	20.70
07/18/2017	LACHER, KAREN	AM FUNDS FUNDAMENTAL INVESTORS A	10,857.09	298.57	0.00	298.57	92.0	274.68
07/10/2017	MILLER, WARREN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	92.0	1.73
07/10/2017	IORIO, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA A	1,200.00	45.00	0.00	45.00	92.0	41.40
07/10/2017	IORIO, ISABELLA	AM FUNDS GROWTH FUND OF AMERICA A	1,200.00	45.00	0.00	45.00	92.0	41.40
07/10/2017	SCHMIDT, MARSHALL	AM FUNDS GROWTH FUND OF AMERICA A	30.00	1.50	0.00	1.50	92.0	1.38
07/18/2017	LACHER, KAREN	AM FUNDS GROWTH FUND OF AMERICA A	5,428.54	149.28	0.00	149.28	92.0	137.34
07/20/2017	HILGER, GERALD	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	92.0	1.96
07/10/2017	IORIO, ISABELLA	AM FUNDS ICA A	1,300.00	48.75	0.00	48.75	92.0	44.85
07/20/2017	HILGER, GERALD	AM FUNDS ICA A	100.00	4.25	0.00	4.25	92.0	3.91
07/17/2017	THOMAS, ERIN	AM FUNDS INCOME FUND OF AMERICA A	100.00	5.00	0.00	5.00	92.0	4.60
07/17/2017	THOMAS, IAN	AM FUNDS INCOME FUND OF AMERICA A	100.00	5.00	0.00	5.00	92.0	4.60
07/20/2017	HILGER, GERALD	AM FUNDS INCOME FUND OF AMERICA A	50.00	2.13	0.00	2.13	92.0	1.96
07/21/2017	SCHMIDT, MARSHALL	AM FUNDS NEW WORLD A	30.00	1.50	0.00	1.50	92.0	1.38
07/10/2017	IORIO, NICHOLAS	AM FUNDS WASHINGTON MUTUAL INVESTOR	1,300.00	48.75	0.00	48.75	92.0	44.85
07/17/2017	MCGUIRE, RICKY	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	4.25	0.00	4.25	92.0	3.91
07/18/2017	LACHER, KAREN	AM FUNDS WASHINGTON MUTUAL INVESTOR	10,857.09	298.57	0.00	298.57	92.0	274.68
/ /	RAYMER, CHRISTOPHER	FIDELITY ADV HEALTH CARE T	0.00	1.42	0.00	1.42	92.0	1.31
/ /	CHRISTIAN TOD, ROBERT	FIDELITY ADV HEALTH CARE T	0.00	9.52	0.00	9.52	92.0	8.76
/ /	EVERETT, TRAVIS	FIDELITY ADV NEW INSIGHTS-CL A	0.00	1.77	0.00	1.77	92.0	1.63
/ /	LAGPACAN, RAYMUND	FIDELITY ADV OVERSEAS	0.00	2.79	0.00	2.79	92.0	2.57
/ /	LAGPACAN, RAYMUND	FIDELITY ADV OVERSEAS	0.00	1.74	0.00	1.74	92.0	1.60
/ /	LOTT TRUST, EDITH	FIDELITY ADV OVERSEAS	0.00	2.49	0.00	2.49	92.0	2.29
/ /	EVERETT, TRAVIS	FIDELITY ADV REAL ESTATE A	0.00	2.07	0.00	2.07	92.0	1.90
07/03/2017	EVERETT, TRAVIS	FIDELITY ADV REAL ESTATE A	100.00	2.75	0.00	2.75	92.0	2.53
/ /	EVERETT, TRAVIS	FIDELITY ADV STOCK SELECTOR ALLCAP	0.00	1.79	0.00	1.79	92.0	1.65
/ /	CHRISTIAN TOD, ROBERT	FIDELITY ADV STRATEGIC INCOME	0.00	3.60	0.00	3.60	92.0	3.31
/ /	KENNEDY, ROBERT	FIDELITY ADV VALUE STRATEGIES A	0.00	7.99	0.00	7.99	92.0	7.35
/ /	RIEMANN TRUST, ARVELLA	FIDELITY ADVISOR VALUE	0.00	8.93	0.00	8.93	92.0	8.22

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	RIEMANN TRUST, ARVELLA	FIDELITY BALANCED T	0.00	9.02	0.00	9.02	92.0	8.30
/ /	CROSS TRUST, ALLEN & CHARLA	FIDELITY BALANCED T	0.00	9.73	0.00	9.73	92.0	8.95
/ /	RIEMANN TRUST, ARVELLA	FIDELITY DIV GROWTH T	0.00	13.16	0.00	13.16	92.0	12.11
/ /	CHRISTIAN TOD, ROBERT	FIDELITY DIV GROWTH T	0.00	9.37	0.00	9.37	92.0	8.62
/ /	EVERETT, TRAVIS	FIDELITY DIVERSIFIED INTL A	0.00	0.53	0.00	0.53	92.0	0.49
/ /	EVERETT, TRAVIS	FIDELITY DIVERSIFIED STOCK A	0.00	1.54	0.00	1.54	92.0	1.42
/ /	EVERETT, TRAVIS	FIDELITY DIVIDEND GROWTH A	0.00	2.07	0.00	2.07	92.0	1.90
/ /	EVERETT, TRAVIS	FIDELITY DIVIDEND GROWTH A	0.00	3.76	0.00	3.76	92.0	3.46
07/03/2017	EVERETT, TRAVIS	FIDELITY DIVIDEND GROWTH A	100.00	2.75	0.00	2.75	92.0	2.53
/ /	KENNEDY, ROBERT	FIDELITY EMERGING MARKET A	0.00	0.68	0.00	0.68	92.0	0.63
/ /	EVERETT, TRAVIS	FIDELITY EMERGING MARKETS A	0.00	0.39	0.00	0.39	92.0	0.36
/ /	EVERETT, TRAVIS	FIDELITY EMERGING MARKETS A	0.00	3.06	0.00	3.06	92.0	2.82
07/03/2017	EVERETT, TRAVIS	FIDELITY EMERGING MARKETS A	100.00	2.75	0.00	2.75	92.0	2.53
/ /	RANCILIO, KENNETH	FIDELITY EQUITY GROWTH A	0.00	0.79	0.00	0.79	92.0	0.73
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY GROWTH T	0.00	7.96	0.00	7.96	92.0	7.32
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY GROWTH T	0.00	5.34	0.00	5.34	92.0	4.91
/ /	RIEMANN TRUST, ARVELLA	FIDELITY EQUITY GROWTH T	0.00	25.46	0.00	25.46	92.0	23.42
/ /	HAYES, BEN	FIDELITY EQUITY GROWTH T	0.00	28.81	0.00	28.81	92.0	26.51
/ /	HEARD, DAVID	FIDELITY EQUITY GROWTH T	0.00	0.94	0.00	0.94	92.0	0.86
/ /	LOTT TRUST, EDITH	FIDELITY EQUITY GROWTH T	0.00	21.64	0.00	21.64	92.0	19.91
07/17/2017	HAYES, BEN	FIDELITY EQUITY GROWTH T	150.00	3.75	0.00	3.75	92.0	3.45
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY INCOME	0.00	7.88	0.00	7.88	92.0	7.25
/ /	LAGPACAN, RAYMUND	FIDELITY EQUITY INCOME	0.00	7.91	0.00	7.91	92.0	7.28
/ /	RIEMANN TRUST, ARVELLA	FIDELITY EQUITY INCOME	0.00	30.05	0.00	30.05	92.0	27.65
/ /	LOTT TRUST, EDITH	FIDELITY EQUITY INCOME	0.00	34.67	0.00	34.67	92.0	31.90
/ /	HAYES, MARTHA	FIDELITY EQUITY INCOME A	0.00	0.50	0.00	0.50	92.0	0.46
/ /	HAYES, BEN	FIDELITY EQUITY INCOME A	0.00	0.51	0.00	0.51	92.0	0.47
/ /	EVERETT, TRAVIS	FIDELITY FINANCIAL SERVICES A	0.00	0.64	0.00	0.64	92.0	0.59
/ /	EVERETT, TRAVIS	FIDELITY FINANCIAL SERVICES A	0.00	2.99	0.00	2.99	92.0	2.75
/ /	EVERETT, TRAVIS	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	3.01	0.00	3.01	92.0	2.77
/ /	LOTT TRUST, EDITH	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	0.77	0.00	0.77	92.0	0.71
/ /	EVERETT, TRAVIS	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	3.11	0.00	3.11	92.0	2.86
/ /	CHRISTIAN TOD, ROBERT	FIDELITY GOV'T MMKT DAILY MONEY C	0.00	4.55	0.00	4.55	92.0	4.19

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	KENNEDY, ROBERT	FIDELITY GROWTH & INCOME A	0.00	3.50	0.00	3.50	92.0	3.22
/ /	EVERETT, TRAVIS	FIDELITY GROWTH & INCOME A	0.00	1.55	0.00	1.55	92.0	1.43
/ /	EVERETT, TRAVIS	FIDELITY HIGH INCOME A	0.00	0.51	0.00	0.51	92.0	0.47
/ /	KENNEDY, ROBERT	FIDELITY HIGH INCOME ADVANTAGE A	0.00	0.96	0.00	0.96	92.0	0.88
/ /	EVERETT, TRAVIS	FIDELITY HIGH INCOME ADVANTAGE A	0.00	1.80	0.00	1.80	92.0	1.66
/ /	RIEMANN TRUST, ARVELLA	FIDELITY HIGH INCOME T	0.00	3.43	0.00	3.43	92.0	3.16
/ /	LOTT TRUST, EDITH	FIDELITY HIGH INCOME T	0.00	2.91	0.00	2.91	92.0	2.68
/ /	RIEMANN TRUST, ARVELLA	FIDELITY MIDCAP T	0.00	4.72	0.00	4.72	92.0	4.34
/ /	RAYMER, CHRISTOPHER	FIDELITY MIDCAP T	0.00	1.18	0.00	1.18	92.0	1.09
/ /	EVERETT, TRAVIS	FIDELITY TECHNOLOGY A	0.00	0.54	0.00	0.54	92.0	0.50
/ /	HAYES, BEN	FIDELITY TECHNOLOGY T	0.00	1.46	0.00	1.46	92.0	1.34
/ /	CHRISTIAN TOD, ROBERT	FIDELITY TECHNOLOGY T	0.00	5.46	0.00	5.46	92.0	5.02
07/17/2017	BELL, BRAYDEN	FRANKLIN GROWTH A	50.00	1.88	0.00	1.88	92.0	1.73
07/20/2017	THOMAS, DAVID	FT FOUNDING FUNDS ALLOC A	150.00	7.50	0.00	7.50	92.0	6.90
07/01/2017	BROWN, SHIRLEY	INVESCO AMERICAN FRANCHISE A	0.00	7.83	0.00	7.83	92.0	7.20
07/01/2017	BROWN, SHIRLEY	INVESCO AMERICAN FRANCHISE A	0.00	7.83	0.00	7.83	92.0	7.20
07/01/2017	JENDEL, JEFFREY	INVESCO AMERICAN FRANCHISE A	0.00	0.70	0.00	0.70	92.0	0.64
07/01/2017	JAKOVAC, PATRICIA	INVESCO AMERICAN FRANCHISE A	0.00	3.23	0.00	3.23	92.0	2.97
07/01/2017	MOSHER UTMA/KS, JUSTIN	INVESCO AMERICAN FRANCHISE A	0.00	2.17	0.00	2.17	92.0	2.00
07/01/2017	WEBB, STAN	INVESCO AMERICAN FRANCHISE A	0.00	10.34	0.00	10.34	92.0	9.51
07/01/2017	HIEGER, KIM	INVESCO AMERICAN FRANCHISE A	0.00	1.03	0.00	1.03	92.0	0.95
07/01/2017	PRACHT, JULIE	INVESCO AMERICAN FRANCHISE A	0.00	1.20	0.00	1.20	92.0	1.10
07/01/2017	SMITH, TROY	INVESCO AMERICAN FRANCHISE A	0.00	2.95	0.00	2.95	92.0	2.71
07/01/2017	ROGERS, DEBRA	INVESCO AMERICAN FRANCHISE A	0.00	11.68	0.00	11.68	92.0	10.75
07/01/2017	MOSHER UGMA/KS, CHEYENNE	INVESCO AMERICAN FRANCHISE A	0.00	1.27	0.00	1.27	92.0	1.17
07/01/2017	NELSON, JIM	INVESCO AMERICAN FRANCHISE A	0.00	5.19	0.00	5.19	92.0	4.77
07/01/2017	CROSS, BRENDA	INVESCO AMERICAN FRANCHISE A	0.00	10.56	0.00	10.56	92.0	9.72
07/01/2017	CROSS, BRENDA	INVESCO AMERICAN FRANCHISE A	0.00	5.30	0.00	5.30	92.0	4.88
07/01/2017	BRIMER JR, ALBERT	INVESCO AMERICAN FRANCHISE A	0.00	1.68	0.00	1.68	92.0	1.55
07/01/2017	COOK, NOAH	INVESCO AMERICAN FRANCHISE A	0.00	0.12	0.00	0.12	92.0	0.11
07/01/2017	COOK, CALEB	INVESCO AMERICAN FRANCHISE A	0.00	0.16	0.00	0.16	92.0	0.15
07/18/2017	NELSON, JIM	INVESCO AMERICAN FRANCHISE A	100.00	3.00	0.00	3.00	92.0	2.76
07/01/2017	BISHOP, DENNIS	INVESCO AMERICAN FRANCHISE C	0.00	1.71	0.00	1.71	92.0	1.57

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
07/01/2017	TOLLETT, CHARLES	INVESCO ASIA PACIFIC GROWTH A	0.00	4.74	0.00	4.74	92.0	4.36
07/01/2017	PRACHT, MARK	INVESCO BALANCED RISK ALLOCATION A	0.00	5.93	0.00	5.93	92.0	5.46
07/01/2017	KIENTZ, KRAIG KERMIT	INVESCO BALANCED RISK ALLOCATION A	0.00	0.61	0.00	0.61	92.0	0.56
07/01/2017	MOSHER, AMY	INVESCO BALANCED RISK ALLOCATION A	0.00	1.32	0.00	1.32	92.0	1.21
07/01/2017	BISHOP, LARRY	INVESCO CASH RESERVE SHARES	0.00	1.34	0.00	1.34	92.0	1.23
07/01/2017	JAKOVAC, PATRICIA	INVESCO CASH RESERVE SHARES	0.00	1.52	0.00	1.52	92.0	1.40
07/01/2017	WEBB, STAN	INVESCO CASH RESERVE SHARES	0.00	1.77	0.00	1.77	92.0	1.63
07/01/2017	CROSS, BRENDA	INVESCO CASH RESERVE SHARES	0.00	8.17	0.00	8.17	92.0	7.52
07/01/2017	BRIMER JR, ALBERT	INVESCO CASH RESERVE SHARES	0.00	1.21	0.00	1.21	92.0	1.11
07/01/2017	BISHOP, DENNIS	INVESCO CHARTER FUND A	0.00	0.09	0.00	0.09	92.0	0.08
07/01/2017	PRACHT, MARK	INVESCO CHARTER FUND A	0.00	20.23	0.00	20.23	92.0	18.61
07/01/2017	KIENTZ, KRAIG KERMIT	INVESCO CHARTER FUND A	0.00	1.64	0.00	1.64	92.0	1.51
07/01/2017	MOSHER, AMY	INVESCO CHARTER FUND A	0.00	4.30	0.00	4.30	92.0	3.96
07/01/2017	HOUSTON, CAMMY	INVESCO CHARTER FUND A	0.00	6.19	0.00	6.19	92.0	5.69
07/01/2017	BISHOP, LARRY	INVESCO CHARTER FUND A	0.00	10.20	0.00	10.20	92.0	9.38
07/01/2017	ANDREWS, EMMALEE	INVESCO CHARTER FUND A	0.00	0.65	0.00	0.65	92.0	0.60
07/01/2017	JENDEL, JEFFREY	INVESCO CHARTER FUND A	0.00	6.29	0.00	6.29	92.0	5.79
07/01/2017	JAKOVAC, PATRICIA	INVESCO CHARTER FUND A	0.00	0.85	0.00	0.85	92.0	0.78
07/01/2017	NELSON, DENE	INVESCO CHARTER FUND A	0.00	19.51	0.00	19.51	92.0	17.95
07/01/2017	CROSS, MAX	INVESCO CHARTER FUND A	0.00	11.79	0.00	11.79	92.0	10.85
07/01/2017	WEBB, STAN	INVESCO CHARTER FUND A	0.00	12.83	0.00	12.83	92.0	11.80
07/01/2017	SMITH, TROY	INVESCO CHARTER FUND A	0.00	17.04	0.00	17.04	92.0	15.68
07/01/2017	NELSON, JIM	INVESCO CHARTER FUND A	0.00	19.53	0.00	19.53	92.0	17.97
07/01/2017	CROSS, BRENDA	INVESCO CHARTER FUND A	0.00	14.41	0.00	14.41	92.0	13.26
07/01/2017	BRIMER JR, ALBERT	INVESCO CHARTER FUND A	0.00	11.30	0.00	11.30	92.0	10.40
07/18/2017	NELSON, DENE	INVESCO CHARTER FUND A	200.00	6.00	0.00	6.00	92.0	5.52
07/18/2017	NELSON, JIM	INVESCO CHARTER FUND A	250.00	7.50	0.00	7.50	92.0	6.90
07/01/2017	BRIMER JR, ALBERT	INVESCO COMSTOCK A	0.00	3.09	0.00	3.09	92.0	2.84
07/01/2017	WEBB, STAN	INVESCO DEVELOPING MARKETS A	0.00	0.37	0.00	0.37	92.0	0.34
07/01/2017	NELSON, JIM	INVESCO DEVELOPING MARKETS A	0.00	4.84	0.00	4.84	92.0	4.45
07/01/2017	CROSS, BRENDA	INVESCO DEVELOPING MARKETS A	0.00	8.77	0.00	8.77	92.0	8.07
07/18/2017	NELSON, JIM	INVESCO DEVELOPING MARKETS A	50.00	1.50	0.00	1.50	92.0	1.38
07/01/2017	PRACHT, MARK	INVESCO DIVERSIFIED DIVIDEND A	0.00	17.22	0.00	17.22	92.0	15.84

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/01/2017	JENDEL, JEFFREY	INVESCO DIVERSIFIED DIVIDEND A	0.00	1.75	0.00	1.75	92.0	1.61
07/01/2017	SMITH, TROY	INVESCO DIVERSIFIED DIVIDEND A	0.00	5.01	0.00	5.01	92.0	4.61
07/01/2017	MOSHER, AMY	INVESCO ENDEAVOR FUND A	0.00	1.02	0.00	1.02	92.0	0.94
07/01/2017	SMITH, TROY	INVESCO ENDEAVOR FUND A	0.00	5.89	0.00	5.89	92.0	5.42
07/01/2017	BISHOP, LARRY	INVESCO ENERGY FUND A	0.00	1.08	0.00	1.08	92.0	0.99
07/01/2017	CROSS, MAX	INVESCO ENERGY FUND A	0.00	3.09	0.00	3.09	92.0	2.84
07/01/2017	BISHOP, LARRY	INVESCO EQUITY AND INCOME A	0.00	11.48	0.00	11.48	92.0	10.56
07/01/2017	PRACHT, JULIE	INVESCO EQUITY AND INCOME A	0.00	0.53	0.00	0.53	92.0	0.49
07/01/2017	CROSS, BRENDA	INVESCO EQUITY AND INCOME A	0.00	5.71	0.00	5.71	92.0	5.25
07/01/2017	PRACHT, MARK	INVESCO EUROPEAN GROWTH FUND A	0.00	0.80	0.00	0.80	92.0	0.74
07/01/2017	WEBB, STAN	INVESCO GBL SMALL & MID CAP GROWTH	0.00	1.76	0.00	1.76	92.0	1.62
07/01/2017	BRIMER JR, ALBERT	INVESCO GBL SMALL & MID CAP GROWTH	0.00	1.21	0.00	1.21	92.0	1.11
07/01/2017	PRACHT, MARK	INVESCO GLOBAL CORE EQUITY A	0.00	3.34	0.00	3.34	92.0	3.07
07/01/2017	JENDEL, JEFFREY	INVESCO GLOBAL EQUITY A	0.00	2.80	0.00	2.80	92.0	2.58
07/01/2017	PRACHT, MARK	INVESCO GLOBAL EQUITY A	0.00	0.96	0.00	0.96	92.0	0.88
07/01/2017	CROSS, BRENDA	INVESCO GLOBAL GROWTH A	0.00	15.02	0.00	15.02	92.0	13.82
07/01/2017	BOHANNON, RONALD	INVESCO GROWTH ALLOCATION A	0.00	8.74	0.00	8.74	92.0	8.04
07/01/2017	NELSON, DENE	INVESCO GROWTH ALLOCATION A	0.00	11.80	0.00	11.80	92.0	10.86
07/01/2017	NELSON, JIM	INVESCO GROWTH ALLOCATION A	0.00	9.01	0.00	9.01	92.0	8.29
07/18/2017	NELSON, DENE	INVESCO GROWTH ALLOCATION A	100.00	3.00	0.00	3.00	92.0	2.76
07/18/2017	NELSON, JIM	INVESCO GROWTH ALLOCATION A	100.00	3.00	0.00	3.00	92.0	2.76
07/01/2017	BRIMER JR, ALBERT	INVESCO HIGH YIELD FUND A	0.00	3.11	0.00	3.11	92.0	2.86
07/01/2017	TOLLETT, CHARLES	INVESCO INTERNATIONAL GROWTH A	0.00	12.67	0.00	12.67	92.0	11.66
07/01/2017	CROSS, MAX	INVESCO MIDCAP CORE EQUITY A	0.00	4.97	0.00	4.97	92.0	4.57
07/01/2017	BRIMER JR, ALBERT	INVESCO MIDCAP CORE EQUITY A	0.00	4.70	0.00	4.70	92.0	4.32
07/01/2017	PRACHT, MARK	INVESCO MIDCAP GROWTH A	0.00	2.21	0.00	2.21	92.0	2.03
07/01/2017	SMITH, TROY	INVESCO MIDCAP GROWTH A	0.00	5.94	0.00	5.94	92.0	5.46
07/01/2017	CROSS, BRENDA	INVESCO MIDCAP GROWTH A	0.00	15.55	0.00	15.55	92.0	14.31
07/01/2017	PRACHT, MARK	INVESCO MODERATE ALLOCATION A	0.00	1.56	0.00	1.56	92.0	1.44
07/01/2017	NELSON, DENE	INVESCO MODERATE ALLOCATION A	0.00	18.21	0.00	18.21	92.0	16.75
07/18/2017	NELSON, DENE	INVESCO MODERATE ALLOCATION A	200.00	6.00	0.00	6.00	92.0	5.52
07/01/2017	CROSS, BRENDA	INVESCO REAL ESTATE A	0.00	6.06	0.00	6.06	92.0	5.58
07/01/2017	CROSS, BRENDA	INVESCO REAL ESTATE A	0.00	4.80	0.00	4.80	92.0	4.42

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/01/2017	BELL, JASON	INVESCO STRUCTURED CORE A	0.00	2.76	0.00	2.76	92.0	2.54
07/01/2017	SMITH, TROY	INVESCO STRUCTURED CORE A	0.00	13.71	0.00	13.71	92.0	12.61
07/01/2017	MOSHER UGMA/KS, CHEYENNE	INVESCO STRUCTURED CORE A	0.00	0.98	0.00	0.98	92.0	0.90
07/17/2017	BELL, JASON	INVESCO STRUCTURED CORE A	50.00	2.50	0.00	2.50	92.0	2.30
07/01/2017	BRIMER JR, ALBERT	INVESCO TECHNOLOGY A	0.00	2.27	0.00	2.27	92.0	2.09
07/01/2017	BELL, JASON	INVESCO TECHNOLOGY C	0.00	2.26	0.00	2.26	92.0	2.08
07/01/2017	BISHOP, LARRY	INVESCO VALUE OPP A	0.00	2.35	0.00	2.35	92.0	2.16
07/01/2017	JENDEL, JEFFREY	INVESCO VALUE OPP A	0.00	2.40	0.00	2.40	92.0	2.21
07/01/2017	PRACHT, MARK	INVESCO VALUE OPP A	0.00	1.67	0.00	1.67	92.0	1.54
07/01/2017	BELL, JASON	INVESCO VALUE OPP A	0.00	8.27	0.00	8.27	92.0	7.61
07/01/2017	POYNTER, REGAN	INVESCO VALUE OPP A	0.00	4.21	0.00	4.21	92.0	3.87
07/01/2017	MOSHER UTMA/KS, JUSTIN	INVESCO VALUE OPP A	0.00	0.41	0.00	0.41	92.0	0.38
07/01/2017	SMITH, TROY	INVESCO VALUE OPP A	0.00	5.96	0.00	5.96	92.0	5.48
07/01/2017	MOSHER UGMA/KS, CHEYENNE	INVESCO VALUE OPP A	0.00	0.41	0.00	0.41	92.0	0.38
07/01/2017	NELSON, ARIKA	INVESCO VALUE OPP A	0.00	11.08	0.00	11.08	92.0	10.19
07/01/2017	CROSS, BRENDA	INVESCO VALUE OPP A	0.00	7.40	0.00	7.40	92.0	6.81
07/01/2017	BRIMER JR, ALBERT	INVESCO VALUE OPP A	0.00	4.76	0.00	4.76	92.0	4.38
07/01/2017	BRIMER JR, ALBERT	INVESCO VALUE OPP A	0.00	2.19	0.00	2.19	92.0	2.01
/ /	SCHMITT, SUSAN	LQ ADVISOR DISCIPLINED GROWTH	0.00	0.97	0.00	0.97	92.0	0.89
/ /	SCHMITT, SUSAN	LQ ADVISOR INTERNATIONAL GROWTH	0.00	0.72	0.00	0.72	92.0	0.66
/ /	BROWNFIELD, DEAN	LQA AGGR TRACK: AGGRESS PORT A	0.00	0.15	0.00	0.15	92.0	0.14
/ /	WYSSMAN, AMBER	LQA AGGR TRACK: MODERATE PORT A	0.00	2.22	0.00	2.22	92.0	2.04
/ /	WYSSMAN, AMBER	LQA AGGR TRACK: MODERATE PORT A	0.00	1.29	0.00	1.29	92.0	1.19
/ /	SCHMITT, SUSAN	LQA MODERATE PORTFOLIO A	0.00	0.59	0.00	0.59	92.0	0.54
/ /	BROWNFIELD, DEAN	LQO AGGR TRACK: VERY AGGR PORT A	0.00	0.78	0.00	0.78	92.0	0.72
07/03/2017	NYAGAH, NICHOLAS	MAINSTAY TAX FREE BOND FUND C	50.00	0.50	0.00	0.50	92.0	0.46
07/03/2017	WOODWARD, ANDREA	MAINSTAY TAX FREE BOND FUND C	5,000.00	50.00	0.00	50.00	92.0	46.00
07/03/2017	PRACHT, CAROLE	PIMCO ALL ASSET A	0.00	4.63	0.00	4.63	92.0	4.26
07/03/2017	PRACHT, CAROLE	PIMCO FUNDAMENTAL INDEXPLUS AR FUND	0.00	18.24	0.00	18.24	92.0	16.78
07/03/2017	PRACHT, CAROLE	PIMCO GLOBAL MULTI ASSET A	0.00	2.88	0.00	2.88	92.0	2.65
07/03/2017	PRACHT, CAROLE	PIMCO TOTAL RETURN A	0.00	11.22	0.00	11.22	92.0	10.32
07/03/2017	HAMPTON, ANDRE	PIONEER BOND FUND A	75.00	3.00	0.00	3.00	92.0	2.76
07/10/2017	ERKER REV TRUST, LAWRENCE &	SUNAMERICA SENIOR FLOATING RATE CL	0.00	39.84	0.00	39.84	92.0	36.65

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/05/2017	MCADAM, JEANNETTE	TRANSAMERICA AA MOD GROWTH A	300.00	8.25	0.00	8.25	92.0	7.59
* MUTUAL FUNDS SUBTOTAL *			\$70,420.45	\$2,966.48	\$0.00	\$2,966.48		\$2,729.19
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/22/2017	ERKER, HELEN	AM GEN POLARIS CHOICE	68,473.50	171.18	0.00	171.18	92.0	157.49
07/18/2017	KENNEDY, ROBERT	AM GEN POLIRIS II PRINCIPAL REWARDS	90,102.17	90.10	0.00	90.10	92.0	82.89
07/19/2017	WINN, VALDENIA	SBL VARIFLEX VA	150.00	7.50	0.00	7.50	92.0	6.90
07/19/2017	BENNETT, TERESA	SBL VARIFLEX VA	40.00	2.00	0.00	2.00	92.0	1.84
* VARIABLE ANNUITIES SUBTOTAL *			\$158,765.67	\$270.78	\$0.00	\$270.78		\$249.12
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
03/31/2017	KIDWELL, ROGER	INTEGRITY LIFE TRAILS	0.00	86.21	0.00	86.21	92.0	79.31
03/31/2017	WERNER, WANDA	INTEGRITY LIFE TRAILS	0.00	285.57	0.00	285.57	92.0	262.72
06/30/2017	KIDWELL, ROGER	INTEGRITY LIFE TRAILS	0.00	88.93	0.00	88.93	92.0	81.82
06/30/2017	WERNER, WANDA	INTEGRITY LIFE TRAILS	0.00	289.62	0.00	289.62	92.0	266.45
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$750.33	\$0.00	\$750.33		\$690.30
*** BROKER TRANSACTIONS TOTAL ***			\$229,186.12	\$3,987.59	\$0.00	\$3,987.59		\$3,668.61

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BROKER COMMISSION TOTALS

Broker Transactions	\$3,668.61
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,668.61
Year-to-date Gross	\$51,359.02
Year-to-date Earnings	\$47,041.66