

All Companies  
**STATEMENT**  
**March 20, 2018**

RONALD NALVEN  
269 Century Way

BROKER #: 028  
BRANCH: LA BRANCH

Manalapan, NJ 07726-

COMMISSION STATEMENT for RONALD NALVEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>										
01/01/0018	#04002254616	JUBINSKI, KENNETH DEVELOPING GROWTH	B	0.00	1.58	0.00	0.00	1.58	86.0	1.36
01/01/0018	#04002254616	JUBINSKI, KENNETH LORD ABBETT DEVELOPING GROWTH FUND	B	0.00	52.75	0.00	0.00	52.75	86.0	45.37
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$54.33</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$54.33</b>		<b>\$46.73</b>

**PRODUCT CATEGORY: VARIABLE ANNUITIES**

01/01/0018	#27045	COMPONENTS AND CONT	LINCOLN	B	0.00	325.68	0.00	0.00	325.68	86.0	280.08
01/01/0018	#PHR546	VOYA FINANCIAL	VOYA FINANCIAL	B	0.00	967.78	0.00	0.00	967.78	86.0	832.29
01/01/0018	#ZH8660	VOYA FINANCIAL	VOYA FINANCIAL	B	0.00	2,562.11	0.00	0.00	2,562.11	86.0	2,203.41
01/02/0018	#100818TAL	BERBERIAN, JOSEPH	TRANS LIFE FTLB04	B	263,707.24	219.76	0.00	0.00	219.76	86.0	188.99
01/02/0018	#111219TAL	BROPHY, SUSAN	TRANS LIFE FTLB06	B	83,013.75	69.18	0.00	0.00	69.18	86.0	59.49
01/06/0018	#130283LB8	WILLIAMSON	TRANS LIFEFLDP26	B	1,145,433.78	95.45	0.00	0.00	95.45	86.0	82.09
01/09/0018	#110865LB8	PEREZ, HECTOR	TRANS LIFEFLDP26	B	94,481.05	78.73	0.00	0.00	78.73	86.0	67.71
02/04/0018	#P61D1518746	BOGUCHWAL,	AMERICAN GEN LIFE	B	0.00	177.41	0.00	0.00	177.41	86.0	152.57
02/05/0018	#GAPCPJN90	FOOD EXCHANGE,	MASSMUTUAL	B	0.00	325.23	0.00	0.00	325.23	86.0	279.70
02/05/0018	#GAPCPJZ6B	PANZA AND SONS	MASSMUTUAL	B	0.00	71.61	0.00	0.00	71.61	86.0	61.58
02/05/0018	#GAPCPJZ3K	REAL ESTATE, MRH	MASSMUTUAL	B	0.00	32.88	0.00	0.00	32.88	86.0	28.28
02/05/0018	#GAPC7DN4J	MEDICAL, UNIVERSITY	NATIONWIDE 620	B	0.00	183.72	0.00	0.00	183.72	86.0	158.00
02/05/0018	#GAPC3JN9N	FOOD EXCHANGE,	NATIONWIDE 620	B	0.00	437.15	0.00	0.00	437.15	86.0	375.95
02/05/0018	#GAPC3JZ6A	PANZA AND SONS	NATIONWIDE 620	B	0.00	291.59	0.00	0.00	291.59	86.0	250.77
02/05/0018	#GAPC3JZ3J	REAL ESTATE, MRH	NATIONWIDE 620	B	0.00	303.66	0.00	0.00	303.66	86.0	261.15
02/08/0018	#GAPC7DN4J	MEDICAL, UNIVERSITY	NATIONWIDE 620	B	0.00	156.82	0.00	0.00	156.82	86.0	134.87
02/08/0018	#GAPC3JN9N	FOOD EXCHANGE,	NATIONWIDE 620	B	0.00	374.67	0.00	0.00	374.67	86.0	322.22
02/08/0018	#GAPC3JZ6A	PANZA AND SONS	NATIONWIDE 620	B	0.00	648.73	0.00	0.00	648.73	86.0	557.91
02/08/0018	#GAPC3JZ3J	REAL ESTATE, MRH	NATIONWIDE 620	B	0.00	103.75	0.00	0.00	103.75	86.0	89.23
01/04/2018	#119229TAL	BOGUCHWAL, SHERRY	TRANS LIFE FTLB06	B	61,777.34	51.48	0.00	0.00	51.48	86.0	44.27
01/05/2018	#119794TAL	HAHN, IRWIN	TRANS LIFE FTLB01	B	47,642.37	39.70	0.00	0.00	39.70	86.0	34.14
01/09/2018	#110487LB8	BOGUCHWAL, STEPHEN	TRANS LIFE FLDU26	B	233,836.63	194.86	0.00	0.00	194.86	86.0	167.58
02/02/2018	#P89D1513616	CIAGLIA, RITA	AMERICAN GEN TRAILS	B	0.00	122.68	0.00	0.00	122.68	86.0	105.50
02/02/2018	#P89D1507587		AMERICAN GEN TRAILS	B	0.00	331.65	0.00	0.00	331.65	86.0	285.22
02/07/2018	#VR08025580		PACIFIC LIFE TRAILS	B	0.00	160.03	0.00	0.00	160.03	86.0	137.63
02/28/2018	#1007781489	CIAGLIA, RITA	L SERIES	B	254,464.46	275.67	0.00	0.00	275.67	86.0	237.08

COMMISSION STATEMENT for RONALD NALVEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>										
02/28/2018	#1007589308 EHRlich, HAROLD	L SERIES	B	131,403.19	109.50	0.00	0.00	109.50	86.0	94.17
02/28/2018	#1005032888 GALLUCCI,	L SERIES	B	53,965.71	44.97	0.00	0.00	44.97	86.0	38.67
02/28/2018	#1005754573 HERMAN, MICHAEL	L SERIES	B	44,478.39	48.18	0.00	0.00	48.18	86.0	41.43
02/28/2018	#1006254655 JUBINSKI, STACIE	PERSP II	B	4,035.12	1.68	0.00	0.00	1.68	86.0	1.44
02/28/2018	#1011390591 CIAGLIA, RITA	PERSP L 08/11	B	276,289.46	230.24	0.00	0.00	230.24	86.0	198.01
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$2,694,528.49</b>	<b>\$9,036.55</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$9,036.55</b>		<b>\$7,771.43</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>										
02/09/2018	# CIAGLIA, ANTHONY	DAIN TRAILER	B	0.00	1.03	0.00	0.00	1.03	86.0	0.89
02/09/2018	# BERBERIAN, ANI	DAIN TRAILER	B	0.00	0.16	0.00	0.00	0.16	86.0	0.14
02/09/2018	# BERBERIAN, ANI	DAIN TRAILER	B	0.00	0.82	0.00	0.00	0.82	86.0	0.71
02/09/2018	# BERBERIAN, ANI	DAIN TRAILER	B	0.00	0.65	0.00	0.00	0.65	86.0	0.56
02/09/2018	# BOGUCHWAL, SHERRY	DAIN TRAILER	B	0.00	0.40	0.00	0.00	0.40	86.0	0.34
02/09/2018	# BOGUCHWAL, SHERRY	DAIN TRAILER	B	0.00	1.25	0.00	0.00	1.25	86.0	1.08
02/09/2018	# BOGUCHWAL, SCOTT	DAIN TRAILER	B	0.00	0.45	0.00	0.00	0.45	86.0	0.39
02/09/2018	# AMATO, NICHOLAS	DAIN TRAILER	B	0.00	1.80	0.00	0.00	1.80	86.0	1.55
02/09/2018	# AMATO, JOHN	DAIN TRAILER	B	0.00	1.76	0.00	0.00	1.76	86.0	1.51
02/09/2018	# AMATO, CHRISTOPHER	DAIN TRAILER	B	0.00	1.08	0.00	0.00	1.08	86.0	0.93
02/09/2018	# MILLER, SUSAN	DAIN TRAILER	B	0.00	4.80	0.00	0.00	4.80	86.0	4.13
02/09/2018	# MILLER, SUSAN	DAIN TRAILER	B	0.00	1.78	0.00	0.00	1.78	86.0	1.53
02/09/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	1.00	0.00	0.00	1.00	86.0	0.86
02/09/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.52	0.00	0.00	0.52	86.0	0.45
02/09/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	1.26	0.00	0.00	1.26	86.0	1.08
02/09/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.67	0.00	0.00	0.67	86.0	0.58
02/09/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.53	0.00	0.00	0.53	86.0	0.46
02/09/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	1.03	0.00	0.00	1.03	86.0	0.89
02/09/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	1.23	0.00	0.00	1.23	86.0	1.06
02/09/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.32	0.00	0.00	0.32	86.0	0.28
02/09/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.16	0.00	0.00	0.16	86.0	0.14
02/09/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.40	0.00	0.00	0.40	86.0	0.34
02/09/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.21	0.00	0.00	0.21	86.0	0.18
02/09/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.17	0.00	0.00	0.17	86.0	0.15
02/09/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.32	0.00	0.00	0.32	86.0	0.28
02/09/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.38	0.00	0.00	0.38	86.0	0.33
02/09/2018	# JUBINSKI, STACIE	DAIN TRAILER	B	0.00	8.18	0.00	0.00	8.18	86.0	7.03
02/09/2018	# JUBINSKI, STACIE	DAIN TRAILER	B	0.00	6.63	0.00	0.00	6.63	86.0	5.70
02/09/2018	# EVA MAGNES MEMORIAL FAMIL	DAIN TRAILER	B	0.00	12.72	0.00	0.00	12.72	86.0	10.94
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$51.71</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$51.71</b>		<b>\$44.51</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$2,694,528.49</b>	<b>\$9,142.59</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$9,142.59</b>		<b>\$7,862.67</b>

COMMISSION STATEMENT for RONALD NALVEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	---------	-------------------	------	----------------------

ADJUSTMENTS

03/20/2018		ADMIN FEE: ADMIN FEE								-390.00
03/20/2018		E & O INSURANCE: E & O INSURANCE								-179.00
03/20/2018		CIRCLEBLACK: CIRCLEBLACK								-175.00
*** ADJUSTMENTS TOTAL ***										<u>\$-744.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$7,862.67
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-744.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$7,118.67
--------------	------------