

STATEMENT

March 20, 2018

STEVE GULA
17 Galahad Dr
Suite 100
Manalapan, NJ 07726-2613

BROKER #: 182
BRANCH: LA BRANCH

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/30/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	1.05
01/30/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	1.05
01/30/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	1.75
01/30/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.52
01/30/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	1.75
01/30/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	1.05
01/30/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	120.00	4.50	93.0	0.00	4.19	4.19
01/30/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	30.00	1.13	93.0	0.00	1.05	1.05
01/30/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
01/30/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
01/30/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	1.75
01/30/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.52
01/30/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
01/30/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
02/05/2018	#00087510606	OLARTENEID	AMCAP FUND INC CLASS A	251.41	5.03	93.0	0.00	4.68	4.68
02/05/2018	# ZUBECK, CHARLOTTE		EATON VANCE DIVIDEND BUILDER	2,198.54	80.00	93.0	0.00	74.40	54.40
02/05/2018	#00084721881	BILLINGS, MARY	INTERMEDIATE BOND FUND OF AMERICA-A	330.11	6.60	93.0	0.00	6.14	6.14
02/05/2018	#00087510595	HANSENCYNT	INVESTMENT CO AMER CLASS A	183.71	3.67	93.0	0.00	3.41	3.41
02/05/2018	#00087510609	CHRISTOGEN	INVESTMENT CO AMER CLASS A	216.14	4.32	93.0	0.00	4.02	4.02
02/05/2018	#04000749029	LAPIDOW,	INVESTMENT CO AMER CLASS A	415.50	8.31	93.0	0.00	7.73	7.73
02/05/2018	#00084721874	BOSTICK, ELENA	U.S. GOVERNMENT SECURITIES FUND-C	329.17	3.29	93.0	0.00	3.06	3.06
02/07/2018	#00086832263	BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.38
02/07/2018	#00086832260	MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
02/07/2018	#00086832265	MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
02/07/2018	#00084744733	SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	85.59	2.35	93.0	0.00	2.19	2.19
02/07/2018	#00084744740	ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.42
02/07/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	1.05
02/07/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	1.05

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/07/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	1.75
02/07/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.52
02/07/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	1.75
02/07/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	1.05
02/07/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	120.00	4.50	93.0	0.00	4.19	4.19
02/07/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	30.00	1.13	93.0	0.00	1.05	1.05
02/07/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
02/07/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
02/07/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	1.75
02/07/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.52
02/07/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
02/07/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
02/07/2018	#00084744740	ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
02/07/2018	#00084744733	SMITH, ADAM	INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	4.38
02/07/2018	#00084744733	SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	1.59
02/07/2018	#00084744740	ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
02/12/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	1.05
02/12/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	1.05
02/12/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	1.75
02/12/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.52
02/12/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	1.75
02/12/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	1.05
02/12/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	120.00	4.50	93.0	0.00	4.19	4.19
02/12/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	30.00	1.13	93.0	0.00	1.05	1.05
02/12/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
02/12/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
02/12/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	1.75
02/12/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.52
02/12/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
02/12/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
02/13/2018	#00086832263	BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.38
02/13/2018	#00086832260	MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
02/13/2018	#00086832265	MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
02/13/2018	#00084744733	SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	85.59	2.35	93.0	0.00	2.19	2.19
02/13/2018	#00084744740	ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.42
02/13/2018	#00084744740	ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/13/2018	#00084744733	SMITH, ADAM	INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	4.38
02/13/2018	#00084744733	SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	1.59
02/13/2018	#00084744740	ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
02/16/2018	#00086957342	O'MARA, MARY	AMERICAN BALANCED FUND INC CLASS A	200.00	3.20	93.0	0.00	2.98	2.98
02/16/2018	#00086957363	WITTER,	AMERICAN BALANCED FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
02/16/2018	#00086957286	DAUS, ROXANNE	AMERICAN FUNDS 2025 TARGET DATE-A	120.00	1.92	93.0	0.00	1.79	1.79
02/16/2018	#00086957349	SAENZ, THALIA	AMERICAN FUNDS 2030 TARGET DATE-A	50.00	0.80	93.0	0.00	0.74	0.74
02/16/2018	#00086957317	BASILICATO,	AMERICAN FUNDS 2040 TARGET DATE-A	192.31	3.08	93.0	0.00	2.86	2.86
02/16/2018	#00086957305	CHIN, STACEY	AMERICAN MUTUAL FUND INC CLASS A	12.50	0.20	93.0	0.00	0.19	0.19
02/16/2018	#00086957312	CHIN, HENRY	AMERICAN MUTUAL FUND INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.43
02/16/2018	#00086957363	WITTER,	AMERICAN MUTUAL FUND INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
02/16/2018	#00087729341	ALFONZONEL	BOND FUND OF AMERICA INC CL A	3.52	0.06	93.0	0.00	0.06	0.06
02/16/2018	#00086971602	DAVIS, CLARE	CAPITAL INCOME BUILDER FD CL A	60.00	0.96	93.0	0.00	0.89	0.89
02/16/2018	#00087729341	ALFONZONEL	CAPITAL INCOME BUILDER FD CL A	3.52	0.06	93.0	0.00	0.06	0.06
02/16/2018	#00086957305	CHIN, STACEY	CAPITAL WORLD GROWTH & INCOME FUND	12.50	0.20	93.0	0.00	0.19	0.19
02/16/2018	#00086957312	CHIN, HENRY	CAPITAL WORLD GROWTH & INCOME FUND	28.85	0.46	93.0	0.00	0.43	0.43
02/16/2018	#00086957363	WITTER,	EURO PACIFIC GROWTH FUND CLASS A	25.96	0.42	93.0	0.00	0.39	0.39
02/16/2018	#00086971602	DAVIS, CLARE	EURO PACIFIC GROWTH FUND CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
02/16/2018	#00087729341	ALFONZONEL	EURO PACIFIC GROWTH FUND CLASS A	1.76	0.03	93.0	0.00	0.03	0.03
02/16/2018	#00086957305	CHIN, STACEY	FUNDAMENTAL INVESTORS INC CLASS A	12.48	0.20	93.0	0.00	0.19	0.19
02/16/2018	#00086957312	CHIN, HENRY	FUNDAMENTAL INVESTORS INC CLASS A	28.85	0.46	93.0	0.00	0.43	0.43
02/16/2018	#00086957363	WITTER,	FUNDAMENTAL INVESTORS INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
02/16/2018	#00087729341	ALFONZONEL	FUNDAMENTAL INVESTORS INC CLASS A	5.28	0.08	93.0	0.00	0.07	0.07
02/16/2018	#00087729341	ALFONZONEL	GLOBAL BALANCED FUND-A	3.52	0.06	93.0	0.00	0.06	0.06
02/16/2018	#00086971602	DAVIS, CLARE	GROWTH FUND AMERICA INC CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
02/16/2018	#00086957363	WITTER,	INCOME FUND OF AMERICA INC CLASS A	29.42	0.47	93.0	0.00	0.44	0.44
02/16/2018	#00086957286	DAUS, ROXANNE	INVESTMENT CO AMER CLASS A	40.00	0.64	93.0	0.00	0.60	0.60
02/16/2018	#00086957317	BASILICATO,	INVESTMENT CO AMER CLASS A	192.31	3.08	93.0	0.00	2.86	2.86
02/16/2018	#00086971602	DAVIS, CLARE	INVESTMENT CO AMER CLASS A	60.00	0.96	93.0	0.00	0.89	0.89
02/16/2018	#00086957363	WITTER,	NEW WORLD FUND INC NEW	29.44	0.47	93.0	0.00	0.44	0.44
02/16/2018	#00086971602	DAVIS, CLARE	NEW WORLD FUND INC NEW	60.00	0.96	93.0	0.00	0.89	0.89
02/16/2018	#00086957286	DAUS, ROXANNE	SHORT-TERM BOND FUND OF AMERICA-A	40.00	0.64	93.0	0.00	0.60	0.60
02/16/2018	#00086957305	CHIN, STACEY	WASHINGTON MUTUAL INVS FD CLASS A	12.50	0.20	93.0	0.00	0.19	0.19
02/16/2018	#00086957312	CHIN, HENRY	WASHINGTON MUTUAL INVS FD CLASS A	28.83	0.46	93.0	0.00	0.43	0.43
02/20/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	1.05
02/20/2018	#01464755659	SWOPE, STEVEN	FIDELITY ADV FREEDOM 2025-CL A	30.00	1.13	93.0	0.00	1.05	1.05

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/20/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	50.00	1.88	93.0	0.00	1.75	1.75
02/20/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV FREEDOM 2030-CL A	15.00	0.56	93.0	0.00	0.52	0.52
02/20/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	50.00	1.88	93.0	0.00	1.75	1.75
02/20/2018	#01464755675	TREVINO, SERGIO	FIDELITY ADV FREEDOM 2035-CL A	30.00	1.13	93.0	0.00	1.05	1.05
02/20/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	120.00	4.50	93.0	0.00	4.19	4.19
02/20/2018	#01464755717	SIMMONS, MARK	FIDELITY ADV FREEDOM 2040-CL A	30.00	1.13	93.0	0.00	1.05	1.05
02/20/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
02/20/2018	#01471830404	JARREGARTA	FIDELITY ADV FREEDOM 2040-CL A	25.00	0.94	93.0	0.00	0.87	0.87
02/20/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	50.00	1.88	93.0	0.00	1.75	1.75
02/20/2018	#01464755584	ALFONSO, FRANK	FIDELITY ADV GROWTH OPPORT-CL A	15.00	0.56	93.0	0.00	0.52	0.52
02/20/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
02/20/2018	#01485158974		FREEDOM 2050 FUND	25.00	0.94	93.0	0.00	0.87	0.87
02/21/2018	#00086832263	BASDEO, KANHAI	AMERICAN FUNDS 2020 TARGET DATE-A	15.00	0.41	93.0	0.00	0.38	0.38
02/21/2018	#00086832260	MUZTAFI,	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
02/21/2018	#00086832265	MERINO, JOSE	AMERICAN FUNDS 2030 TARGET DATE-A	10.00	0.28	93.0	0.00	0.26	0.26
02/21/2018	#00084744733	SMITH, ADAM	AMERICAN FUNDS INCOME SERIES U S GO	85.59	2.35	93.0	0.00	2.19	2.19
02/21/2018	#00084744740	ULLAURI, DIEGO	AMERICAN FUNDS INCOME SERIES U S GO	16.42	0.45	93.0	0.00	0.42	0.42
02/21/2018	#00084744740	ULLAURI, DIEGO	INTERMEDIATE BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
02/21/2018	#00084744733	SMITH, ADAM	INVESTMENT CO AMER CLASS A	171.19	4.71	93.0	0.00	4.38	4.38
02/21/2018	#00084744733	SMITH, ADAM	SHORT-TERM BOND FUND OF AMERICA-A	85.59	1.71	93.0	0.00	1.59	1.59
02/21/2018	#00084744740	ULLAURI, DIEGO	SHORT-TERM BOND FUND OF AMERICA-A	15.93	0.32	93.0	0.00	0.30	0.30
* MUTUAL FUNDS SUBTOTAL *			\$8,772.19	\$245.73		\$0.00	\$228.55	\$20.00	\$208.55
<u>PRODUCT CATEGORY: STOCKS</u>									
02/22/2018	#	ROGERS, BARBARA	KIMBERLY CLARK CORP	11,343.36	105.00	75.0	0.00	78.75	58.80
02/22/2018	#	ROGERS, BARBARA	PEPSICO INC	21,937.12	210.00	75.0	0.00	157.50	137.55
02/22/2018	#	ROGERS, BARBARA	SYSCO CORP	11,818.04	210.00	75.0	0.00	157.50	137.55
02/26/2018	#	ROGERS, BARBARA	POWERSHARES EXCHANGE TRADED FUND TR	17,553.00	315.00	75.0	0.00	236.25	216.30
02/26/2018	#	EDITH RELI REV TRUS	VANGUARD SECTOR INDEX FDS VANGUARD	33,267.00	315.00	75.0	0.00	236.25	216.30
02/26/2018	#	ROGERS, BARBARA	VANGUARD SPECIALIZED FUNDS VANGUARD	21,013.96	210.00	75.0	0.00	157.50	137.55
* STOCKS SUBTOTAL *			\$116,932.48	\$1,365.00		\$0.00	\$1,023.75	\$119.70	\$904.05
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/09/2018	#	DURDEN, LEEANNE	DAIN TRAILER	0.00	1.14	93.0	0.00	1.06	1.06
02/09/2018	#	MULLALLY, JOHN	DAIN TRAILER	0.00	2.78	93.0	0.00	2.59	2.59
02/09/2018	#	MULLALLY, JOHN	DAIN TRAILER	0.00	5.72	93.0	0.00	5.32	5.32

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/09/2018 #	MULLALLY, JOHN	DAIN TRAILER	0.00	5.64	93.0	0.00	5.25	0.00	5.25
02/09/2018 #	MULLALLY, JOHN	DAIN TRAILER	0.00	8.26	93.0	0.00	7.68	0.00	7.68
02/09/2018 #	EDITH RELI REV TRUS	DAIN TRAILER	0.00	3.06	93.0	0.00	2.85	0.00	2.85
02/09/2018 #	EDITH RELI REV TRUS	DAIN TRAILER	0.00	9.78	93.0	0.00	9.10	0.00	9.10
02/09/2018 #	EDITH RELI REV TRUS	DAIN TRAILER	0.00	0.41	93.0	0.00	0.38	0.00	0.38
02/09/2018 #	EDITH RELI REV TRUS	DAIN TRAILER	0.00	2.66	93.0	0.00	2.47	0.00	2.47
02/09/2018 #	EDITH RELI REV TRUS	DAIN TRAILER	0.00	3.34	93.0	0.00	3.11	0.00	3.11
02/09/2018 #	EDITH RELI REV TRUS	DAIN TRAILER	0.00	12.55	93.0	0.00	11.67	0.00	11.67
02/09/2018 #	ROGERS, BARBARA	DAIN TRAILER	0.00	5.16	93.0	0.00	4.80	0.00	4.80
02/09/2018 #	ROGERS, BARBARA	DAIN TRAILER	0.00	12.42	93.0	0.00	11.55	0.00	11.55
02/09/2018 #	ROGERS, BARBARA	DAIN TRAILER	0.00	16.17	93.0	0.00	15.04	0.00	15.04
02/09/2018 #	ROGERS, BARBARA	DAIN TRAILER	0.00	5.35	93.0	0.00	4.98	0.00	4.98
02/09/2018 #	ROGERS, BARBARA	DAIN TRAILER	0.00	7.85	93.0	0.00	7.30	0.00	7.30
02/09/2018 #	ZUBECK, CHARLOTTE	DAIN TRAILER	0.00	0.44	93.0	0.00	0.41	0.00	0.41
02/09/2018 #	VALVO, JOHN	DAIN TRAILER	0.00	4.57	93.0	0.00	4.25	0.00	4.25
02/09/2018 #	VALVO, JOHN	DAIN TRAILER	0.00	1.83	93.0	0.00	1.70	0.00	1.70
02/09/2018 #	RELI, LINDA	DAIN TRAILER	0.00	0.84	93.0	0.00	0.78	0.00	0.78
02/09/2018 #	BOYD, KENNETH	DAIN TRAILER	0.00	9.40	93.0	0.00	8.74	0.00	8.74
02/09/2018 #	BOYD, KENNETH	DAIN TRAILER	0.00	16.34	93.0	0.00	15.20	0.00	15.20
02/09/2018 #	ROGERS, SAMANTHA	DAIN TRAILER	0.00	0.74	93.0	0.00	0.69	0.00	0.69
02/09/2018 #	ROGERS, SAMANTHA	DAIN TRAILER	0.00	1.81	93.0	0.00	1.68	0.00	1.68
02/14/2018 #	VARIES, CUSTOMER	DAIN TRAILER	0.00	0.24	93.0	0.00	0.22	0.00	0.22
02/14/2018 #	VARIES, CUSTOMER	DAIN TRAILER	0.00	1.32	93.0	0.00	1.23	0.00	1.23
02/14/2018 #	VARIES, CUSTOMER	DAIN TRAILER	0.00	6.57	93.0	0.00	6.11	0.00	6.11
02/14/2018 #	VARIES, CUSTOMER	DAIN TRAILER	0.00	1.30	93.0	0.00	1.21	0.00	1.21
02/14/2018 #	VARIES, CUSTOMER	DAIN TRAILER	0.00	2.70	93.0	0.00	2.51	0.00	2.51
02/26/2018 #	MULLALLY, JOHN	DAIN TRAILER	0.00	2.68	93.0	0.00	2.49	0.00	2.49
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$153.07		\$0.00	\$142.37	\$0.00	\$142.37
*** BROKER TRANSACTIONS TOTAL ***			\$125,704.67	\$1,763.80		\$0.00	\$1,394.67	\$139.70	\$1,254.97

COMMISSION STATEMENT for STEVE GULA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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ADJUSTMENTS

03/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
03/20/2018		ADMIN FEE: ADMIN FEE							-320.00
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-49.83
		DEBIT BALANCE							
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-50.00
		DEBIT BALANCE							
*** ADJUSTMENTS TOTAL ***									\$-598.83

BROKER COMMISSION TOTALS

Broker Transactions	\$1,254.97
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-598.83
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$656.14
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