

**COMMISSION STATEMENT****May 31, 2018****JAMES, M127 MAHER**
3082 Quail Ridge Circle**BROKER #: M127**
BRANCH: JAMES MAHER

Rochester Hills, MI 48309-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/30/2018	BROEREN, LISA	RBC	0.00	24.91	0.00	24.91	90.0	22.42
04/30/2018	BROEREN, LISA	RBC	0.00	162.85	0.00	162.85	90.0	146.57
04/30/2018	FINDLING, DARREN	RBC	0.00	42.64	0.00	42.64	90.0	38.38
04/30/2018	NUNEZ, LYNDIA	RBC	0.00	77.42	0.00	77.42	90.0	69.68
04/30/2018	NUNEZ, LYNDIA	RBC	0.00	12.91	0.00	12.91	90.0	11.62
04/30/2018	NUNEZ, LYNDIA	RBC	0.00	38.72	0.00	38.72	90.0	34.85
04/30/2018	HATHAWAY, RICHARD	RBC	0.00	252.92	0.00	252.92	90.0	227.63
* RIA SUBTOTAL *			\$0.00	\$612.37	\$0.00	\$612.37		\$551.15
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$612.37	\$0.00	\$612.37		\$551.15
<u>ADJUSTMENTS</u>								
05/31/2018		*MANAGED FEE EXPENSE: RBC QUARTERLY FEE	0.00					-25.00
05/31/2018		*MANAGED FEE EXPENSE: RBC QUARTERLY FEE	0.00					-25.00
05/31/2018		*MANAGED FEE EXPENSE: RBC QUARTERLY FEE	0.00					-25.00
05/31/2018		*MANAGED FEE EXPENSE: RBC QUARTERLY FEE	0.00					-25.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-100.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$551.15
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-100.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$451.15
Year-to-date Gross	\$2,725.67
Year-to-date Earnings	\$2,393.71