

All Companies
STATEMENT
August 20, 2018

MICHAEL DORN

BROKER #: 058
BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for MICHAEL DORN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/29/2018 #		JP MORGAN MID CAP VALUE FUND - I	14,349.76	0.00	85.0	0.00	0.00	20.00	-20.00
06/29/2018 #		PIMCO FDS TOTAL RETURN FD CL P	21,513.39	0.00	85.0	0.00	0.00	20.00	-20.00
06/29/2018 #		T ROWE PRICE GROWTH STOCK FUND INC	14,932.46	0.00	85.0	0.00	0.00	20.00	-20.00
07/03/2018 #		FIDELITY 315920843	10,297.00	386.14	85.0	0.00	328.22	20.00	308.22
07/03/2018 #		FIDELITY ADV HEALTH CARE-CL A	8,129.00	304.84	85.0	0.00	259.11	20.00	239.11
07/03/2018 #		FIDELITY ADV NEW INSIGHTS-CL A	24,044.00	901.65	85.0	0.00	766.40	20.00	746.40
07/03/2018 #		FIDELITY ADV STRATEGIC DIV & INCOME	8,129.00	304.84	85.0	0.00	259.11	20.00	239.11
07/03/2018 #		FIDELITY SECS FD REAL ESTATE INCOME	3,793.00	132.76	85.0	0.00	112.85	20.00	92.85
07/11/2018 #		FIDELITY SECS FD REAL ESTATE INCOME	203.49	0.00	85.0	0.00	0.00	20.00	-20.00
* MUTUAL FUNDS SUBTOTAL *			\$105,391.10	\$2,030.23		\$0.00	\$1,725.69	\$180.00	\$1,545.69
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
07/05/2018 #1021450908	ROEPKE, GERALD	PERSP II 01/16	11,640.84	582.04	85.0	0.00	494.73	0.00	494.73
07/09/2018 #1021325682	ROEPKE, KAREN	PERSP II 01/16	23,803.57	1,190.18	85.0	0.00	1,011.65	0.00	1,011.65
07/27/2018 #1021495391	SCHWARTZ, GAIL	PERSP II 01/16	27,075.91	1,353.80	85.0	0.00	1,150.73	0.00	1,150.73
* VARIABLE ANNUITIES SUBTOTAL *			\$62,520.32	\$3,126.02		\$0.00	\$2,657.11	\$0.00	\$2,657.11
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/11/2018 #		DAIN TRAILER	0.00	2.37	85.0	0.00	2.01	0.00	2.01
07/11/2018 #		DAIN TRAILER	0.00	1.03	85.0	0.00	0.88	0.00	0.88
07/11/2018 #		DAIN TRAILER	0.00	0.60	85.0	0.00	0.51	0.00	0.51
07/11/2018 #		DAIN TRAILER	0.00	1.78	85.0	0.00	1.51	0.00	1.51
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$5.78		\$0.00	\$4.91	\$0.00	\$4.91
*** BROKER TRANSACTIONS TOTAL ***			\$167,911.42	\$5,162.03		\$0.00	\$4,387.71	\$180.00	\$4,207.71

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ADJUSTMENTS

08/20/2018		ADMIN FEE: ADMIN FEE							-320.00
08/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
08/20/2018		PAST DUE MARGIN: PAST DUE MARGIN							-100.00
08/20/2018		PAST DUE MARGIN: PAST DUE MARGIN							-200.00
*** ADJUSTMENTS TOTAL ***									\$-799.00

BROKER COMMISSION TOTALS

Broker Transactions	\$4,207.71
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-799.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,408.71
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