



# COMMISSION STATEMENT

## January 01, 2018

NEIL GLICKMAN  
980 Providence Ln  
Buffalo Grove, IL 60089

BROKER #: B12  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/21/2017	***-**-601J - RUBIN, HOWARD	AMERICAN FUNDS BLNCD PORTFOLIO CL A	47.00	2.35	18.00	-15.65	100.0	-15.65
	ACCOUNT #: LSR012179	BUY 3 Units @16.0700						
12/21/2017	***-**-121I - STEINBERG,	AMERICAN FUNDS BLNCD PORTFOLIO CL A	3,000.00	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012200	SELL 198 Units @15.1500						
12/26/2017	***-**-520J - TOVELLO, THOMAS	AMERICAN FUNDS BLNCD PORTFOLIO CL A	186.25	3.73	0.00	3.73	80.0	2.98
	ACCOUNT #: LSR012091	BUY 12 Units @15.5300						
12/26/2017	***-**-520I - TOVELLO, THOMAS	AMERICAN FUNDS BLNCD PORTFOLIO CL A	216.50	4.33	0.00	4.33	80.0	3.46
	ACCOUNT #: LSR012092	BUY 14 Units @15.5300						
12/26/2017	***-**-493I - TOVELLO, DONNA	AMERICAN FUNDS BLNCD PORTFOLIO CL A	115.00	2.30	0.00	2.30	80.0	1.84
	ACCOUNT #: LSR012093	BUY 7 Units @15.5300						
12/21/2017	***-**-601J - RUBIN, HOWARD	AMERICAN FUNDS GRWTH & INCOME PORT	46.99	2.35	18.00	-15.65	100.0	-15.65
	ACCOUNT #: LSR012179	BUY 3 Units @16.4200						
12/26/2017	***-**-520J - TOVELLO, THOMAS	AMERICAN FUNDS GRWTH & INCOME PORT	186.24	3.73	0.00	3.73	80.0	2.98
	ACCOUNT #: LSR012091	BUY 12 Units @15.8600						
12/26/2017	***-**-520I - TOVELLO, THOMAS	AMERICAN FUNDS GRWTH & INCOME PORT	216.50	4.33	0.00	4.33	80.0	3.46
	ACCOUNT #: LSR012092	BUY 14 Units @15.8600						
12/26/2017	***-**-493I - TOVELLO, DONNA	AMERICAN FUNDS GRWTH & INCOME PORT	115.00	2.30	0.00	2.30	80.0	1.84
	ACCOUNT #: LSR012093	BUY 7 Units @15.8600						
* MUTUAL FUNDS SUBTOTAL *			<b>\$4,129.48</b>	<b>\$25.42</b>	<b>\$54.00</b>	<b>\$-28.58</b>		<b>\$-32.74</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
12/22/2017	***-**-30TR - KNIGHT TRUST,	SEMPRA ENERGY	47,243.78	203.44	23.98	179.46	80.0	143.57
	ACCOUNT #: LSR012108	SELL 449 Units @105.2200						
* STOCKS SUBTOTAL *			<b>\$47,243.78</b>	<b>\$203.44</b>	<b>\$23.98</b>	<b>\$179.46</b>		<b>\$143.57</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/26/2017	***-**-981O - STARR, SHELDON	BMO DIVIDEND INCOME-NFS	0.00	0.51	0.00	0.51	80.0	0.41
	ACCOUNT #: LSR012105	BUY 1 Units @0.0000						
12/26/2017	***-**-981O - STARR, SHELDON	BMO DIVIDEND INCOME-NFS	0.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: LSR012105	BUY 1 Units @0.0000						
12/26/2017	***-**-981O - STARR, SHELDON	BMO HIGH YIELD BOND-NFS	0.00	1.64	0.00	1.64	80.0	1.31
	ACCOUNT #: LSR012105	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
12/26/2017	***-**-9810 - STARR, SHELDON	BMO LARGE CAP VALUE-NFS	0.00	0.85	0.00	0.85	80.0	0.68
	ACCOUNT #: LSR012105	BUY 1 Units @0.0000						
12/26/2017	***-**-9810 - STARR, SHELDON	BMO LGM EMERGING-NFS	0.00	0.24	0.00	0.24	80.0	0.19
	ACCOUNT #: LSR012105	BUY 1 Units @0.0000						
12/26/2017	***-**-9810 - STARR, SHELDON	BMO MID CAP GROWTH-NFS	0.00	0.46	0.00	0.46	80.0	0.37
	ACCOUNT #: LSR012105	BUY 1 Units @0.0000						
12/26/2017	***-**-9810 - STARR, SHELDON	BMO MID CAP GROWTH-NFS	0.00	0.46	0.00	0.46	80.0	0.37
	ACCOUNT #: LSR012105	BUY 1 Units @0.0000						
12/26/2017	***-**-9810 - STARR, SHELDON	BMO MID CAP VALUE FND-NFS	0.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: LSR012105	BUY 1 Units @0.0000						
12/26/2017	***-**-9810 - STARR, SHELDON	BMO MID CAP VALUE FND-NFS	0.00	0.44	0.00	0.44	80.0	0.35
	ACCOUNT #: LSR012105	BUY 1 Units @0.0000						
12/26/2017	***-**-9810 - STARR, SHELDON	BMO SMALL CAP GROWTH-NFS	0.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: LSR012105	BUY 1 Units @0.0000						
12/26/2017	***-**-9810 - STARR, SHELDON	BMO SMALL CAP VALUE-NFS	0.00	0.24	0.00	0.24	80.0	0.19
	ACCOUNT #: LSR012105	BUY 1 Units @0.0000						
12/26/2017	***-**-9810 - STARR, SHELDON	BMO SMALL CAP VALUE-NFS	0.00	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: LSR012105	BUY 1 Units @0.0000						
12/26/2017	***-**-60IN - METCHIS, STACY	THE HARTFORD CAPITAL APPRECIATION F	0.00	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: LSR012198	BUY 1 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$6.28</b>	<b>\$0.00</b>	<b>\$6.28</b>		<b>\$5.01</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$51,373.26</b>	<b>\$235.14</b>	<b>\$77.98</b>	<b>\$157.16</b>		<b>\$115.84</b>



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BRANCH #01001 - LifeMark Home Office

			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$115.84
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$115.84
						Year-to-date Earnings		\$115.84