



Concorde Investment Services, LLC

04/27/2017
Page No. 1

COMMISSION STATEMENT **April 30, 2017**

JAY MCCLELLAN
8801 N. Main Street
Ste. 201
Dayton, OH 45415

BROKER #: M124 Terminated 11/14/2016
BRANCH: ASSET MANAGEMENT SYS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
03/31/2017	CIPRIAN, JOHN	GRIFFIN INST'L ACCESS R E FUND	0.00	6.56	0.00	6.56	0.0	0.00
03/31/2017	FORTKAMP TRUST, LAVERN & MARY	GRIFFIN INST'L ACCESS R E FUND	0.00	11.61	0.00	11.61	0.0	0.00
03/31/2017	MATTHIEU, PAUL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	4.38	0.00	4.38	0.0	0.00
04/19/2017	UPADHYAYA, SAVITA	RESOURCE R E DIVERSIFIED INC FUND A	-7,955.85	22.00	22.00	0.00	0.0	0.00
* INTERVAL FUNDS SUBTOTAL *			\$-7,955.85	\$44.55	\$22.00	\$22.55		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/05/2017	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	0.00	2.78	0.00	2.78	0.0	0.00
/ /	THOMAS, CECILIA	GABELLI GAMCO GLOBAL GWTH FD-CL AAA	0.00	0.00	0.00	0.00	0.0	0.00
/ /	THOMAS, CECILIA	GABELLI GAMCO GLOBAL TELECOM FUND C	0.00	0.00	0.00	0.00	0.0	0.00
04/04/2017	PHILLIPS JT TEN, PATRICIA	PIONEER BOND FUND - INTERMEDIATE C	0.00	0.62	0.00	0.62	0.0	0.00
04/04/2017	PEACOCK, RICHARD	PIONEER BOND FUND A	0.00	4.74	0.00	4.74	0.0	0.00
04/04/2017	DEXTER, ROCHELLE	PIONEER BOND FUND A	0.00	3.08	0.00	3.08	0.0	0.00
04/04/2017	FOUGHT, PAUL	PIONEER BOND FUND A	0.00	1.22	0.00	1.22	0.0	0.00
04/04/2017	WEHRKAMP, KENNETH	PIONEER BOND FUND A	0.00	2.19	0.00	2.19	0.0	0.00
04/04/2017	COPPERSMITH, MIRANDA	PIONEER BOND FUND A	0.00	1.25	0.00	1.25	0.0	0.00
04/04/2017	CAMP, LINDA	PIONEER BOND FUND A	0.00	4.63	0.00	4.63	0.0	0.00
04/04/2017	WOOD, MARLENE	PIONEER BOND FUND A	0.00	5.19	0.00	5.19	0.0	0.00
04/04/2017	ZEHRINGER, JAMES	PIONEER BOND FUND A	0.00	1.33	0.00	1.33	0.0	0.00
04/04/2017	FORTKAMP TRUST, LAVERN & MARY	PIONEER BOND FUND A	0.00	3.67	0.00	3.67	0.0	0.00
04/04/2017	WEHRKAMP, REBECCA	PIONEER CLASSIC BALANCED FUND A	0.00	4.72	0.00	4.72	0.0	0.00
04/04/2017	MATHENEY, DONNA	PIONEER CLASSIC BALANCED FUND A	0.00	4.58	0.00	4.58	0.0	0.00
04/04/2017	WEHRKAMP, KENNETH	PIONEER CLASSIC BALANCED FUND A	0.00	4.85	0.00	4.85	0.0	0.00
04/04/2017	KEY, LARRY	PIONEER CLASSIC BALANCED FUND A	0.00	1.67	0.00	1.67	0.0	0.00
04/04/2017	PRICE, JOYCE	PIONEER CLASSIC BALANCED FUND A	0.00	3.75	0.00	3.75	0.0	0.00
04/04/2017	FORTKAMP TRUST, LAVERN & MARY	PIONEER CLASSIC BALANCED FUND A	0.00	1.80	0.00	1.80	0.0	0.00
04/04/2017	WEHRKAMP, REBECCA	PIONEER CULLEN VALUE FUND A	0.00	4.17	0.00	4.17	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/04/2017	FOUGHT, PAUL	PIONEER CULLEN VALUE FUND A	0.00	0.77	0.00	0.77	0.0	0.00
04/04/2017	MINIX, DEBORAH	PIONEER CULLEN VALUE FUND A	0.00	10.82	0.00	10.82	0.0	0.00
04/04/2017	BROWN, EMILY	PIONEER CULLEN VALUE FUND A	0.00	3.29	0.00	3.29	0.0	0.00
04/04/2017	RATLIFF, DONNA	PIONEER CULLEN VALUE FUND A	0.00	5.06	0.00	5.06	0.0	0.00
04/04/2017	WEHRKAMP, KENNETH	PIONEER CULLEN VALUE FUND A	0.00	1.40	0.00	1.40	0.0	0.00
04/04/2017	COPPERSMITH, MIRANDA	PIONEER CULLEN VALUE FUND A	0.00	1.40	0.00	1.40	0.0	0.00
04/04/2017	ZEHRINGER, JAMES	PIONEER CULLEN VALUE FUND A	0.00	8.77	0.00	8.77	0.0	0.00
04/04/2017	MATHENEY, DONNA	PIONEER EMERGING MARKETS A	0.00	0.58	0.00	0.58	0.0	0.00
04/04/2017	MCCABE TRUST, DANNY	PIONEER EMERGING MARKETS A	0.00	0.03	0.00	0.03	0.0	0.00
04/04/2017	SHORT, DAWN	PIONEER EMERGING MARKETS A	0.00	0.29	0.00	0.29	0.0	0.00
04/04/2017	BROWN, EMILY	PIONEER EMERGING MARKETS A	0.00	1.86	0.00	1.86	0.0	0.00
04/04/2017	RATLIFF, DONNA	PIONEER EMERGING MARKETS A	0.00	0.61	0.00	0.61	0.0	0.00
04/04/2017	COPPERSMITH, MIRANDA	PIONEER EMERGING MARKETS A	0.00	0.27	0.00	0.27	0.0	0.00
04/04/2017	KEY, LARRY	PIONEER EMERGING MARKETS A	0.00	2.61	0.00	2.61	0.0	0.00
04/04/2017	MINIX, DEBORAH	PIONEER EMERGING MARKETS A	0.00	1.22	0.00	1.22	0.0	0.00
04/04/2017	THOMPSON REYNOLDS JT TEN,	PIONEER EMERGING MARKETS A	0.00	1.63	0.00	1.63	0.0	0.00
04/04/2017	ZUO, YUNWU	PIONEER EMERGING MARKETS A	0.00	2.41	0.00	2.41	0.0	0.00
04/04/2017	MCCLELLAN, JAY	PIONEER EMERGING MARKETS A	0.00	3.83	0.00	3.83	0.0	0.00
04/04/2017	STARNES, EDDIE	PIONEER EQUITY INCOME A	0.00	0.37	0.00	0.37	0.0	0.00
04/04/2017	ZEHRINGER, SHARON	PIONEER EQUITY INCOME A	0.00	6.26	0.00	6.26	0.0	0.00
04/04/2017	ZEHRINGER, JAMES	PIONEER EQUITY INCOME A	0.00	5.29	0.00	5.29	0.0	0.00
04/04/2017	JONES, JACQUELINE	PIONEER EQUITY INCOME A	0.00	2.40	0.00	2.40	0.0	0.00
04/04/2017	MILLER, MYRNA	PIONEER FLOATING RATE A	0.00	11.26	0.00	11.26	0.0	0.00
04/04/2017	SHORT, DAWN	PIONEER FLOATING RATE A	0.00	1.43	0.00	1.43	0.0	0.00
04/04/2017	MCCABE VA/UTMA, ELIZABETH	PIONEER FUND A	0.00	1.46	0.00	1.46	0.0	0.00
04/04/2017	WATKINS OH/UTMA, ALEXI	PIONEER FUND A	0.00	1.50	0.00	1.50	0.0	0.00
04/04/2017	FOUGHT, PAUL	PIONEER FUND A	0.00	1.46	0.00	1.46	0.0	0.00
04/04/2017	HUTTON JT TEN, JANET	PIONEER FUND A	0.00	6.23	0.00	6.23	0.0	0.00
04/04/2017	MINIX, DEBORAH	PIONEER FUND A	0.00	1.59	0.00	1.59	0.0	0.00
04/04/2017	CURTIS, RAY	PIONEER FUND A	0.00	2.94	0.00	2.94	0.0	0.00
04/04/2017	BROWN, EMILY	PIONEER FUND A	0.00	11.36	0.00	11.36	0.0	0.00
04/04/2017	JONES, JACQUELINE	PIONEER FUND A	0.00	1.68	0.00	1.68	0.0	0.00
04/04/2017	FORTKAMP TRUST, LAVERN & MARY	PIONEER FUND A	0.00	1.24	0.00	1.24	0.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/04/2017	RUSH, KAREN	PIONEER FUND A	0.00	11.88	0.00	11.88	0.0	0.00
04/04/2017	CRABTREE, GARY	PIONEER FUND C	0.00	9.14	0.00	9.14	0.0	0.00
04/04/2017	GREGONCZA, RONALD	PIONEER FUND C	0.00	27.06	0.00	27.06	0.0	0.00
04/04/2017	COPPERSMITH, MIRANDA	PIONEER FUNDAMENTAL GROWTH A	0.00	0.93	0.00	0.93	0.0	0.00
04/04/2017	THOMPSON REYNOLDS JT TEN,	PIONEER FUNDAMENTAL GROWTH A	0.00	7.08	0.00	7.08	0.0	0.00
04/04/2017	CAMP, LINDA	PIONEER FUNDAMENTAL GROWTH A	0.00	4.34	0.00	4.34	0.0	0.00
04/04/2017	RATLIFF, DONNA	PIONEER GLOBAL EQUITY A	0.00	1.20	0.00	1.20	0.0	0.00
04/04/2017	MINIX, DEBORAH	PIONEER GLOBAL EQUITY A	0.00	1.32	0.00	1.32	0.0	0.00
04/04/2017	WOOD, MARLENE	PIONEER GLOBAL EQUITY A	0.00	1.92	0.00	1.92	0.0	0.00
04/04/2017	PEACOCK, RICHARD	PIONEER GLOBAL HIGH YIELD A	0.00	3.93	0.00	3.93	0.0	0.00
04/04/2017	MINIX, DEBORAH	PIONEER GLOBAL HIGH YIELD A	0.00	1.92	0.00	1.92	0.0	0.00
04/04/2017	MATHENEY, DONNA	PIONEER GLOBAL HIGH YIELD A	0.00	1.21	0.00	1.21	0.0	0.00
04/04/2017	HUTTON JT TEN, JANET	PIONEER GLOBAL HIGH YIELD A	0.00	2.02	0.00	2.02	0.0	0.00
04/04/2017	RATLIFF, DONNA	PIONEER GLOBAL HIGH YIELD A	0.00	0.96	0.00	0.96	0.0	0.00
04/04/2017	COPPERSMITH, MIRANDA	PIONEER GLOBAL HIGH YIELD A	0.00	1.44	0.00	1.44	0.0	0.00
04/04/2017	MAYBERRY, JEFFREY	PIONEER GLOBAL HIGH YIELD A	0.00	2.16	0.00	2.16	0.0	0.00
04/04/2017	ZEHRINGER, JAMES	PIONEER GLOBAL HIGH YIELD A	0.00	1.01	0.00	1.01	0.0	0.00
04/04/2017	PHILLIPS JT TEN, PATRICIA	PIONEER GLOBAL HIGH YIELD C	0.00	0.25	0.00	0.25	0.0	0.00
04/04/2017	PRICE, JOYCE	PIONEER GROWTH OPPORTUNITIES A	0.00	5.34	0.00	5.34	0.0	0.00
04/04/2017	WONDERLY, MEGAN	PIONEER GROWTH OPPORTUNITIES A	0.00	0.99	0.00	0.99	0.0	0.00
04/04/2017	MONG, TRACY	PIONEER GROWTH OPPORTUNITIES A	0.00	3.95	0.00	3.95	0.0	0.00
04/04/2017	WEHRKAMP, KENNETH	PIONEER HIGH INCOME MUNICIPAL A	0.00	1.59	0.00	1.59	0.0	0.00
04/04/2017	WEHRKAMP, REBECCA	PIONEER HIGH YIELD A	0.00	6.14	0.00	6.14	0.0	0.00
04/04/2017	STARNES, EDDIE	PIONEER HIGH YIELD A	0.00	1.36	0.00	1.36	0.0	0.00
04/04/2017	MATTHIEU, PAUL	PIONEER HIGH YIELD A	0.00	0.99	0.00	0.99	0.0	0.00
04/04/2017	WEHRKAMP, KENNETH	PIONEER HIGH YIELD A	0.00	1.49	0.00	1.49	0.0	0.00
04/04/2017	CAMP, LINDA	PIONEER HIGH YIELD A	0.00	1.09	0.00	1.09	0.0	0.00
04/04/2017	JONES, JACQUELINE	PIONEER HIGH YIELD A	0.00	1.74	0.00	1.74	0.0	0.00
04/04/2017	FORTKAMP TRUST, LAVERN & MARY	PIONEER HIGH YIELD A	0.00	1.04	0.00	1.04	0.0	0.00
04/04/2017	MONG, TRACY	PIONEER HIGH YIELD A	0.00	3.04	0.00	3.04	0.0	0.00
04/04/2017	O'NEAL, KELLY	PIONEER IBBOTSON MODERATE ALLOC A	0.00	0.60	0.00	0.60	0.0	0.00
04/04/2017	PRICE, JOYCE	PIONEER INDEPENDENCE A	0.00	7.98	0.00	7.98	0.0	0.00
04/04/2017	ZEHRINGER, SHARON	PIONEER INDEPENDENCE A	0.00	1.70	0.00	1.70	0.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/04/2017	DICKEY, CYNTHIA	PIONEER INDEPENDENCE A	0.00	4.08	0.00	4.08	0.0	0.00
04/04/2017	MONG, TRACY	PIONEER INDEPENDENCE A	0.00	5.49	0.00	5.49	0.0	0.00
04/04/2017	THOMPSON REYNOLDS JT TEN,	PIONEER INTERNATIONAL VALUE A	0.00	3.54	0.00	3.54	0.0	0.00
04/04/2017	FOUGHT, PAUL	PIONEER MID CAP VALUE A	0.00	0.62	0.00	0.62	0.0	0.00
04/04/2017	ZEHRINGER, JAMES	PIONEER MID CAP VALUE A	0.00	3.28	0.00	3.28	0.0	0.00
04/04/2017	ARMSTRONG, TODD	PIONEER MULTI ASSET ULTRASHORT INCO	0.00	2.49	0.00	2.49	0.0	0.00
04/04/2017	DEAN, DUANE	PIONEER MULTI ASSET ULTRASHORT INCO	0.00	1.54	0.00	1.54	0.0	0.00
04/04/2017	ZUO, YUNWU	PIONEER MULTI ASSET ULTRASHORT INCO	0.00	2.80	0.00	2.80	0.0	0.00
04/04/2017	HOLTMAN, MICHAEL	PIONEER MULTI-ASSET REAL RETURN A	0.00	4.83	0.00	4.83	0.0	0.00
04/04/2017	FOUGHT, PAUL	PIONEER MULTI-ASSET REAL RETURN A	0.00	1.00	0.00	1.00	0.0	0.00
04/04/2017	MATTHIEU, PAUL	PIONEER MULTI-ASSET REAL RETURN A	0.00	13.00	0.00	13.00	0.0	0.00
04/04/2017	SHORT, DAWN	PIONEER MULTI-ASSET REAL RETURN A	0.00	1.57	0.00	1.57	0.0	0.00
04/04/2017	BROWN, EMILY	PIONEER MULTI-ASSET REAL RETURN A	0.00	5.00	0.00	5.00	0.0	0.00
04/04/2017	COOVER, GARY	PIONEER MULTI-ASSET REAL RETURN A	0.00	0.71	0.00	0.71	0.0	0.00
04/04/2017	WEHRKAMP, KENNETH	PIONEER MULTI-ASSET REAL RETURN A	0.00	4.33	0.00	4.33	0.0	0.00
04/04/2017	MAYBERRY, JEFFREY	PIONEER MULTI-ASSET REAL RETURN A	0.00	5.03	0.00	5.03	0.0	0.00
04/04/2017	KEY, LARRY	PIONEER MULTI-ASSET REAL RETURN A	0.00	2.94	0.00	2.94	0.0	0.00
04/04/2017	PRICE, JOYCE	PIONEER MULTI-ASSET REAL RETURN A	0.00	3.67	0.00	3.67	0.0	0.00
04/04/2017	MINIX, DEBORAH	PIONEER MULTI-ASSET REAL RETURN A	0.00	1.24	0.00	1.24	0.0	0.00
04/04/2017	WOOD, MARLENE	PIONEER MULTI-ASSET REAL RETURN A	0.00	10.53	0.00	10.53	0.0	0.00
04/04/2017	FORTKAMP TRUST, LAVERN & MARY	PIONEER MULTI-ASSET REAL RETURN A	0.00	1.62	0.00	1.62	0.0	0.00
04/04/2017	ZHAO, WENJUN	PIONEER MULTI-ASSET REAL RETURN A	0.00	0.77	0.00	0.77	0.0	0.00
04/04/2017	ZUO, YUNWU	PIONEER MULTI-ASSET REAL RETURN A	0.00	7.07	0.00	7.07	0.0	0.00
04/04/2017	WEHRKAMP, REBECCA	PIONEER REAL ESTATE SHARES A	0.00	3.58	0.00	3.58	0.0	0.00
04/04/2017	DEXTER, ROCHELLE	PIONEER REAL ESTATE SHARES A	0.00	2.30	0.00	2.30	0.0	0.00
04/04/2017	SHORT, DAWN	PIONEER REAL ESTATE SHARES A	0.00	0.24	0.00	0.24	0.0	0.00
04/04/2017	BROWN, EMILY	PIONEER REAL ESTATE SHARES A	0.00	3.10	0.00	3.10	0.0	0.00
04/04/2017	WEHRKAMP, KENNETH	PIONEER REAL ESTATE SHARES A	0.00	2.36	0.00	2.36	0.0	0.00
04/04/2017	COPPERSMITH, MIRANDA	PIONEER REAL ESTATE SHARES A	0.00	1.61	0.00	1.61	0.0	0.00
04/04/2017	JONES, JACQUELINE	PIONEER REAL ESTATE SHARES A	0.00	1.45	0.00	1.45	0.0	0.00
04/04/2017	SHORT, DAWN	PIONEER SHORT TERM INC A	0.00	0.23	0.00	0.23	0.0	0.00
04/04/2017	BROWN, EMILY	PIONEER SHORT TERM INC A	0.00	3.73	0.00	3.73	0.0	0.00
04/04/2017	PHILLIPS JT TEN, PATRICIA	PIONEER SHORT TERM INCOME FUND C	0.00	0.80	0.00	0.80	0.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/04/2017	O'NEAL, DEAN	PIONEER SOLUTIONS-GROWTH FUND A	0.00	0.62	0.00	0.62	0.0	0.00
04/04/2017	BOWDEN, GERALD	PIONEER STRATEGIC INCOME A	0.00	0.97	0.00	0.97	0.0	0.00
04/04/2017	FOUGHT, PAUL	PIONEER STRATEGIC INCOME A	0.00	0.59	0.00	0.59	0.0	0.00
04/04/2017	GRAHAM, RANDALL	PIONEER STRATEGIC INCOME A	0.00	13.14	0.00	13.14	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$400.24	\$0.00	\$400.24		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/10/2017	CRABTREE, GARY	METLIFE	7,081.36	1.47	0.00	1.47	0.0	0.00
04/10/2017	CRABTREE, GARY	METLIFE	11,106.49	2.31	0.00	2.31	0.0	0.00
04/10/2017	CRABTREE, GARY	METLIFE	35,472.27	7.38	0.00	7.38	0.0	0.00
04/10/2017	CRABTREE, GARY	METLIFE	7,517.54	1.56	0.00	1.56	0.0	0.00
04/10/2017	CRABTREE, GARY	METLIFE	8,466.33	1.76	0.00	1.76	0.0	0.00
04/10/2017	CRABTREE, GARY	METLIFE	6,297.31	1.31	0.00	1.31	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$75,941.30	\$15.79	\$0.00	\$15.79		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$67,985.45	\$460.58	\$22.00	\$438.58		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$2,896.25
Year-to-date Earnings	\$1,800.42

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