All Companies

DOMINION INVESTOR SERVICES, INC.

# **COMMISSION STATEMENT**

**January 15, 2018** 

DON BOYD-D076

BROKER #: D076 BRANCH: DMC

, TX

### COMMISSION STATEMENT for DON BOYD-D076

TRADE DATE	CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			DIRECT TR	ANS	ACTIONS					
PRODUCT CA	TEGORY: MUTUAL FUNDS									
12/26/2017 0	00000000036475 SAMPLE, PETER	R MF	FRANKLIN BALANCED FUND - CLASS	В	100.00	1.00	0.00	1.00	90.0	0.90
12/26/2017 0	00000000036487 ELLIOTT, GARY	Y MF	FRANKLIN BALANCED FUND - CLASS	В	1,700.00	17.00	0.00	17.00	90.0	15.30
12/26/2017 0	0000000036867 FOWLER, DOUG	MF	FRANKLIN BALANCED FUND - CLASS	В	100.00	1.00	0.00	1.00	90.0	0.90
12/26/2017 0	00000000036879 FOWLER, NANCY	Y MF	FRANKLIN BALANCED FUND - CLASS	В	100.00	1.00	0.00	1.00	90.0	0.90
12/26/2017 0	00000000036881 HILAIRE,	MF	FRANKLIN BALANCED FUND - CLASS	В	175.00	1.75	0.00	1.75	90.0	1.58
12/26/2017 0	00000000051918 KENNEDY,	MF	FRANKLIN BALANCED FUND - CLASS	В	200.00	2.00	0.00	2.00	90.0	1.80
12/26/2017 0	00000001144690 SHREFFLER,	MF	TEMP WORLD FND - CL C	В	300.00	3.00	0.00	3.00	90.0	2.70
12/29/2017 0	0063859463 MORRISON, GRETA	MF	CAPITAL WORLD GROWTH AND INCOME	В	225.00	2.70	0.00	2.70	90.0	2.43
12/29/2017 0	0063859463 MORRISON, GRETA	MF	CAPITAL WORLD GROWTH AND INCOME	В	33.37	0.40	0.00	0.40	90.0	0.36
12/29/2017 0	0063859464 HARPER, LORAN	MF	AMCAP FUND-A	В	96.88	1.16	0.00	1.16	90.0	1.04
12/29/2017 0	0063859464 HARPER, LORAN	MF	AMCAP FUND-A	В	8.55	0.10	0.00	0.10	90.0	0.09
12/29/2017 0	0063859464 HARPER, LORAN	MF	CAPITAL WORLD GROWTH AND INCOME	В	64.58	0.77	0.00	0.77	90.0	0.69
12/29/2017 0	0063859464 HARPER, LORAN	MF	CAPITAL WORLD GROWTH AND INCOME	В	5.70	0.07	0.00	0.07	90.0	0.06
12/29/2017 0	0063859464 HARPER, LORAN	MF	FUNDAMENTAL INVESTORS-A	В	96.88	1.16	0.00	1.16	90.0	1.04
12/29/2017 0	0063859464 HARPER, LORAN	MF	FUNDAMENTAL INVESTORS-A	В	8.55	0.10	0.00	0.10	90.0	0.09
12/29/2017 0	0063859464 HARPER, LORAN	MF	NEW WORLD FUND-A	В	45.21	0.54	0.00	0.54	90.0	0.49
12/29/2017 0	0063859464 HARPER, LORAN	MF	NEW WORLD FUND-A	В	3.99	0.05	0.00	0.05	90.0	0.05
12/29/2017 0	0063859464 HARPER, LORAN	MF	SMALLCAP WORLD FUND-A	В	51.66	0.62	0.00	0.62	90.0	0.56
12/29/2017 0	0063859464 HARPER, LORAN	MF	SMALLCAP WORLD FUND-A	В	4.56	0.05	0.00	0.05	90.0	0.05
12/29/2017 0	0063859464 HARPER, LORAN	MF	THE GROWTH FUND OF AMERICA-A	В	129.17	1.55	0.00	1.55	90.0	1.40
12/29/2017 0	0063859464 HARPER, LORAN	MF	THE GROWTH FUND OF AMERICA-A	В	11.40	0.14	0.00	0.14	90.0	0.13
12/29/2017 0	0063859464 HARPER, LORAN	MF	WASHINGTON MUTUAL INVESTORS	В	161.46	1.94	0.00	1.94	90.0	1.75
12/29/2017 0	0063859464 HARPER, LORAN	MF	WASHINGTON MUTUAL INVESTORS	В	14.25	0.17	0.00	0.17	90.0	0.15
12/26/2017 0	0064699187 KING/SEP, KELLY	MF	NEW WORLD FUND-A	В	215.92	2.16	0.00	2.16	90.0	1.94
12/26/2017 0	0064699187 KING/SEP, KELLY	MF	NEW WORLD FUND-A	В	77.50	0.78	0.00	0.78	90.0	0.70
12/26/2017 0	0064699188 KING/SEP, RICHARI	O MF	THE INVESTMENT COMPANY OF	В	75.40	0.75	0.00	0.75	90.0	0.68
12/26/2017 0	0064699188 KING/SEP, RICHARI	O MF	THE INVESTMENT COMPANY OF	В	1,032.56	10.33	0.00	10.33	90.0	9.30
12/26/2017 0	0064699188 KING/SEP, RICHARI	O MF	THE INVESTMENT COMPANY OF	В	174.08	1.74	0.00	1.74	90.0	1.57
12/26/2017 0	0064699188 KING/SEP, RICHARI	O MF	THE INVESTMENT COMPANY OF	В	77.50	0.78	0.00	0.78	90.0	0.70
12/26/2017 0	0064699189 PIPKIN /SEP, MARY	Y MF	CAPITAL INCOME BUILDER-A	В	50.00	0.50	0.00	0.50	90.0	0.45
12/26/2017 0	0064699189 PIPKIN /SEP, MARY	Y MF	CAPITAL INCOME BUILDER-A	В	12.36	0.12	0.00	0.12	90.0	0.11

						INVESTMENT	GROSS	CLEARING	NET		BROKER
TRADE DATE	CLIENT			INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
12/26/2017 00	0064699189	PIPKIN /SEP, MARY	MF	NEW WORLD FUND-A	В	50.00	0.50	0.00	0.50	90.0	0.45
12/26/2017 00	0064699189	PIPKIN /SEP, MARY	MF	NEW WORLD FUND-A	В	12.38	0.12	0.00	0.12	90.0	0.11
12/26/2017 00	0064699189	PIPKIN /SEP, MARY	MF	THE BOND FUND OF AMERICA-A	В	50.00	0.50	0.00	0.50	90.0	0.45
12/26/2017 00	0064699189	PIPKIN /SEP, MARY	MF	THE BOND FUND OF AMERICA-A	В	12.38	0.12	0.00	0.12	90.0	0.11
12/26/2017 00	0064699189	PIPKIN /SEP, MARY	MF	THE INCOME FUND OF AMERICA-A	В	50.00	0.50	0.00	0.50	90.0	0.45
12/26/2017 00	0064699189	PIPKIN /SEP, MARY	MF	THE INCOME FUND OF AMERICA-A	В	12.38	0.12	0.00	0.12	90.0	0.11
12/26/2017 00	0064699198	BRISENDINE/SEP,	MF	THE NEW ECONOMY FUND-A	В	98.00	0.98	0.00	0.98	90.0	0.88
12/26/2017 00	0064699198	BRISENDINE/SEP,	MF	THE NEW ECONOMY FUND-A	В	250.00	2.50	0.00	2.50	90.0	2.25
12/26/2017 00	0064699203	DOCHE /SEP, JAMES	MF	NEW WORLD FUND-A	В	75.00	0.75	0.00	0.75	90.0	0.68
12/26/2017 00	0064699203	DOCHE /SEP, JAMES	MF	NEW WORLD FUND-A	В	75.00	0.75	0.00	0.75	90.0	0.68
12/26/2017 00	0064704153	PIPKIN /SEP, MARY	MF	CAPITAL INCOME BUILDER-A	В	2.50	0.03	0.00	0.03	90.0	0.03
12/26/2017 00	0064704153	PIPKIN /SEP, MARY	MF	CAPITAL INCOME BUILDER-A	В	102.73	1.03	0.00	1.03	90.0	0.93
12/26/2017 00	0064704153	PIPKIN /SEP, MARY	MF	NEW WORLD FUND-A	В	2.50	0.03	0.00	0.03	90.0	0.03
12/26/2017 00	0064704153	PIPKIN /SEP, MARY	MF	NEW WORLD FUND-A	В	102.73	1.03	0.00	1.03	90.0	0.93
12/26/2017 00	0064704153	PIPKIN /SEP, MARY	MF	THE BOND FUND OF AMERICA-A	В	2.50	0.03	0.00	0.03	90.0	0.03
12/26/2017 00	0064704153	PIPKIN /SEP, MARY	MF	THE BOND FUND OF AMERICA-A	В	102.73	1.03	0.00	1.03	90.0	0.93
12/26/2017 00	0064704153	PIPKIN /SEP, MARY	MF	THE INCOME FUND OF AMERICA-A	В	2.50	0.03	0.00	0.03	90.0	0.03
12/26/2017 00	0064704153	PIPKIN /SEP, MARY	MF	THE INCOME FUND OF AMERICA-A	В	102.71	1.03	0.00	1.03	90.0	0.93
12/26/2017 00	0064709906	VAUGHN/SEP, DAVID	MF	NEW WORLD FUND-A	В	48.00	0.48	0.00	0.48	90.0	0.43
12/26/2017 00	0064709906	VAUGHN/SEP, DAVID	MF	NEW WORLD FUND-A	В	100.00	1.00	0.00	1.00	90.0	0.90
12/26/2017 00	0064709906	VAUGHN/SEP, DAVID	MF	THE NEW ECONOMY FUND-A	В	48.00	0.48	0.00	0.48	90.0	0.43
12/26/2017 00	0064709906	VAUGHN/SEP, DAVID	MF	THE NEW ECONOMY FUND-A	В	100.00	1.00	0.00	1.00	90.0	0.90
12/26/2017 00	0064709907	ALLRED, SUZANNE	MF	NEW WORLD FUND-A	В	56.10	0.56	0.00	0.56	90.0	0.50
12/26/2017 00	0064709907	ALLRED, SUZANNE	MF	NEW WORLD FUND-A	В	76.00	0.76	0.00	0.76	90.0	0.68
12/26/2017 00	0064873293	CARDENAS, DAVID	MF	NEW PERSPECTIVE FUND-A	В	5.00	0.05	0.00	0.05	90.0	0.05
12/26/2017 00	0064873293	CARDENAS, DAVID	MF	NEW PERSPECTIVE FUND-A	В	30.00	0.30	0.00	0.30	90.0	0.27
12/26/2017 00	0064873293	CARDENAS, DAVID	MF	WASHINGTON MUTUAL INVESTORS	В	5.00	0.05	0.00	0.05	90.0	0.05
12/26/2017 00	0064873293	CARDENAS, DAVID	MF	WASHINGTON MUTUAL INVESTORS	В	30.00	0.30	0.00	0.30	90.0	0.27
12/26/2017 00	0064873296 1	RAGAN, TAMMY	MF	THE NEW ECONOMY FUND-A	В	30.50	0.31	0.00	0.31	90.0	0.28
12/26/2017 00	0064873296 1	RAGAN, TAMMY	MF	THE NEW ECONOMY FUND-A	В	41.25	0.41	0.00	0.41	90.0	0.37
12/26/2017 00	0064873296 1	RAGAN, TAMMY	MF	WASHINGTON MUTUAL INVESTORS	В	30.50	0.31	0.00	0.31	90.0	0.28
12/26/2017 00	0064873296 1	RAGAN, TAMMY	MF	WASHINGTON MUTUAL INVESTORS	В	41.25	0.41	0.00	0.41	90.0	0.37
12/26/2017 00	0064873299	STONE, RONALD	MF	THE NEW ECONOMY FUND-A	В	52.20	0.52	0.00	0.52	90.0	0.47
12/26/2017 00	0064873299	STONE, RONALD	MF	THE NEW ECONOMY FUND-A	В	500.00	5.00	0.00	5.00	90.0	4.50
12/26/2017 00	0064873299	STONE, RONALD	MF	WASHINGTON MUTUAL INVESTORS	В	52.20	0.52	0.00	0.52	90.0	0.47
12/26/2017 00	0064873299	STONE, RONALD	MF	WASHINGTON MUTUAL INVESTORS	В	500.00	5.00	0.00	5.00	90.0	4.50
12/26/2017 00	0064873301	CLANCY, KELLY	MF	FUNDAMENTAL INVESTORS-A	В	12.00	0.12	0.00	0.12	90.0	0.11
12/26/2017 00	0064873301	CLANCY, KELLY	MF	FUNDAMENTAL INVESTORS-A	В	55.00	0.55	0.00	0.55	90.0	0.50
12/26/2017 00	0064873301	CLANCY, KELLY	MF	WASHINGTON MUTUAL INVESTORS	В	12.00	0.12	0.00	0.12	90.0	0.11
12/26/2017 00	0064873301	CLANCY, KELLY	MF	WASHINGTON MUTUAL INVESTORS	В	55.00	0.55	0.00	0.55	90.0	0.50
12/26/2017 00	0064891741	POPEJOY, ROCKY	MF	NEW WORLD FUND-A	В	37.50	0.38	0.00	0.38	90.0	0.34
12/26/2017 00	0064891741	POPEJOY, ROCKY		NEW WORLD FUND-A	В	100.00	1.00	0.00		90.0	0.90
		POPEJOY, ROCKY	MF		В	37.50	0.38	0.00		90.0	0.34
		POPEJOY, ROCKY		THE GROWTH FUND OF AMERICA-A	В	100.00	1.00	0.00		90.0	0.90
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Part							INVESTMENT	GROSS	CLEARING	NET		BROKER
12/26/2017 00064891745 KING/SEP, KELLY   MF   AMERICAN BALANCED FUND-A   8   31.87   5.34   0.00   0.32   90.0   0.29   12/26/2017 00064891745 KING/SEP, KELLY   MF   AMERICAN BALANCED FUND-A   8   31.87   5.34   0.00   3.41   90.0   0.30   12/26/2017 0006491745 KING/SEP, KELLY   MF   AMERICAN BALANCED FUND-A   8   31.87   0.32   0.00   0.33   90.0   0.30   12/26/2017 0006491745 KING/SEP, KELLY   MF   AMERICAN BALANCED FUND-A   8   31.87   0.32   0.00   0.33   90.0   0.30   12/26/2017 0006491745 KING/SEP, KELLY   MF   AMERICAN BALANCED FUND-A   8   31.87   0.32   0.00   0.35   90.0   0.29   12/26/2017 0006491745 KING/SEP, KELLY   MF   MEMILICAP WORLD FUND-A   8   31.87   0.32   0.00   0.35   90.0   0.29   12/26/2017 0006491876 KING/SEP, KELLY   MF   MEMILICAP WORLD FUND-A   8   31.87   0.32   0.00   0.30   90.0   0.27   12/26/2017 0006491876 KING/SEP, KELLY   MF   MEMILICAP WORLD FUND-A   8   31.87   0.32   0.00   0.30   90.0   0.27   12/26/2017 0006491876 KING/SEP, KELLY   MF   MEMILICAP WORLD FUND-A   8   30.00   0.30   0.00   0.30   90.0   0.27   12/26/2017 0006491876 KING/SEP, KELLY   MF   MEMILICAP WORLD FUND-A   8   30.00   0.30   0.00   0.30   90.0   0.27   12/26/2017 0006491876 KING/SEP, KELLY   MF   MEMILICAP WORLD FUND-A   8   30.00   0.30   0.00   0.30   90.0   0.27   12/26/2017 0006491876 KING/SEP, KELLY   MF   MEMILICAP WORLD FUND-A   8   30.00   0.30   0.00   0.30	TRADE DATE	CLIENT			INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
12/26/2017 00064891745 XING/SEP, KELLY NF AMERICAN BALANCED FUND-A B 31.87	12/26/2017 0	0064891743	ROBERSON/SEP, SUE	MF	AMERICAN BALANCED FUND-A	В	100.00	1.00	0.00	1.00	90.0	0.90
12/26/2017 00064891745 KINNG/SEP, KELLY   MF	12/26/2017 0	0064891743	ROBERSON/SEP, SUE	MF	AMERICAN BALANCED FUND-A	В	628.76	6.29	0.00	6.29	90.0	5.66
12/26/2017 00064891745 KING/SEP, KELLY MP FUNDAMENTAL INVESTORS-A B 32.83 0.33 0.00 0.35 90.0 0.35 1/2/26/2017 00064891745 KING/SEP, KELLY MP SMALLCAP WORLD FUND-A B 31.87 0.32 0.00 3.52 90.0 0.29 1/2/26/2017 00064891745 KING/SEP, KELLY MP SMALLCAP WORLD FUND-A B 341.25 3.41 0.00 3.41 90.0 3.07 1/2/26/2017 00064891870 SNIPES, COREY MP THE NEW SCORMOW FUND-A B 30.00 0.30 0.00 0.30 90.0 0.27 1/2/26/2017 00064891871 STANFORD, STEVEN MP THE NEW SCORMOW FUND-A B 30.00 0.30 0.00 0.30 90.0 0.27 1/2/26/2017 00064891871 STANFORD, STEVEN MP THE NEW SCORMOW FUND-A B 30.00 0.30 0.00 0.30 90.0 0.27 1/2/26/2017 00064891871 STANFORD, STEVEN MP THE NEW SCORMOW FUND-A B 30.00 0.30 0.00 0.30 90.0 0.27 1/2/26/2017 00064891871 STANFORD, STEVEN MP THE SERSPECTIVE FUND-A B 10.00 0.30 90.0 0.30 90.0 0.27 1/2/26/2017 0006491871 STANFORD, STEVEN MP THE SERSPECTIVE FUND-A B 10.00 0.30 90.0 0.30 90.0 0.27 1/2/26/2017 0006491871 STANFORD, STEVEN MP THE SERSPECTIVE FUND-A B 10.00 0.30 90.0 0.30 90.0 0.30 90.0 0.27 1/2/26/2017 0006446990 CHANCE, CHARLES MP THE SERVEN MP THE SERVE	12/26/2017 0	0064891745	KING/SEP, KELLY	MF	AMERICAN BALANCED FUND-A	В	31.87	0.32	0.00	0.32	90.0	0.29
12/26/2017 00064891745 KING/SEP, KELLY MF FUNDAMENTAL INVESTORS-A B 351.58 3.52 0.00 3.52 90.0 3.17 12/26/2017 00064891745 KING/SEP, KELLY MF SNALLCAP WORLD FUND-A B 31.67 0.32 0.00 0.33 90.0 0.29 12/26/2017 00064891870 SNIPES, COREY MF THE NEW ECONOMY FUND-A B 30.00 0.30 0.00 0.30 90.0 0.27 12/26/2017 00064891870 SNIPES, COREY MF THE NEW ECONOMY FUND-A B 30.00 0.30 0.00 0.30 90.0 0.27 12/26/2017 00064891871 STANFORD, STEVEN MF THE NEW ECONOMY FUND-A B 30.00 0.30 0.00 0.30 90.0 0.27 12/26/2017 00064891871 STANFORD, STEVEN MF THE NEW ECONOMY FUND-A B 150.00 1.50 0.00 1.50 90.0 0.27 12/26/2017 00064891871 STANFORD, STEVEN MF THE NEW ECONOMY FUND-A B 150.00 1.50 0.00 1.50 90.0 0.27 12/26/2017 00064891871 STANFORD, STEVEN MF THE NEW ECONOMY FUND-A B 150.00 1.50 0.00 1.50 90.0 1.35 12/26/2017 00064891871 STANFORD, STEVEN MF THE GROWTH FUND OF AMERICA-A B 30.00 0.30 0.00 0.00 0.30 90.0 0.27 12/26/2017 00064891871 STANFORD, STEVEN MF THE GROWTH FUND OF AMERICA-A B 30.00 0.30 0.00 0.00 0.30 90.0 0.27 12/26/2017 00067468990 CHANCE, CHARLES MF THE RESPECTIVE FUND-A B 150.00 1.50 0.00 1.50 90.0 0.27 12/29/2017 00067468990 CHANCE, CHARLES MF THE NEW ECONOMY FUND-A B 72.00 0.66 0.00 0.66 90.0 0.66 90.0 0.27 12/29/2017 00067468990 CHANCE, CHARLES MF THE NEW ECONOMY FUND-A B 72.00 0.66 0.00 0.66 90.0 0.27 12/29/2017 0006746990 CHANCE, CHARLES MF THE NEW ECONOMY FUND-A B 72.00 0.66 0.00 0.66 90.0 0.23 90.0 0.22 12/28/2017 00067915947 TUCEK, ROBERT MF CHARLES FUND-A B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915949 LACKEY, HUGH MF CHARLES FUND-A B 350.0 0.35 0.00 0.35 90.0 0.31 90.0 0.31 12/28/2017 00067915949 LACKEY, HUGH MF CHARLES FUND-A B 350.0 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF CHARLES FUND-A B 350.0 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 350.0 0.35 0.00 0.35 90.0 0.35 90.0 0.32 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 350.0 0.35 0.00 0.35 90.0 0.35 90.0 0.35 12/28/2017 00067915951 GABERT, GORDO	12/26/2017 0	0064891745	KING/SEP, KELLY	MF	AMERICAN BALANCED FUND-A	В	341.25	3.41	0.00	3.41	90.0	3.07
12/26/2017 00064891745 KING/SEP, KELLY MF SMALLCAP WORLD FUND-A B 31.87  0.32  0.00  0.32  90.0  0.29   12/26/2017 00064891870 SNIPES, COREY MF THE NEW ECKNOWY FUND-A B 30.00  0.30  0.00  0.00  0.30  90.0  0.27   12/26/2017 00064891870 SNIPES, COREY MF THE NEW ECKNOWY FUND-A B 30.00  0.30  0.00  0.00  0.30  90.0  0.27   12/26/2017 00064891871 STANFORD, STEVEN MF THE NEW ECKNOWY FUND-A B 30.00  0.30  0.00  0.00  0.30  90.0  0.27   12/26/2017 00064891871 STANFORD, STEVEN MF THE SEW ECKNOWY FUND-A B 30.00  0.30  0.00  0.00  0.30  90.0  0.27   12/26/2017 00064891871 STANFORD, STEVEN MF THE GROWTH FUND OF AMERICA-A B 30.00  0.30  0.00  0.00  0.30  90.0  0.27   12/26/2017 00064891871 STANFORD, STEVEN MF THE GROWTH FUND OF AMERICA-A B 30.00  0.30  0.00  0.00  0.30  90.0  0.27   12/26/2017 00064891871 STANFORD, STEVEN MF THE GROWTH FUND OF AMERICA-A B 30.00  0.30  0.00  0.00  0.30  90.0  0.27   12/26/2017 0006469900 CHANCE, CHARLES MF NEW PERSPECTIVE FUND-A B 72.00  0.66  0.00  0.66  90.0  0.77   12/29/2017 00067466990 CHANCE, CHARLES MF THE NEW ECKNOWY FUND-A B 24.78  0.30  0.00  0.30  90.0  0.27   12/28/2017 00067915947 TUCEK, ROBERT MF AMERICAN BALANCED FUND-A B 22.50  0.23  0.00  0.23  90.0  0.21   12/28/2017 00067915947 TUCEK, ROBERT MF CAPITAL WORLD GROWTH AND INCOME B 22.50  0.23  0.00  0.23  90.0  0.21   12/28/2017 00067915947 TUCEK, ROBERT MF CAPITAL WORLD GROWTH AND INCOME B 35.00  0.35  0.00  0.35  90.0  0.32   12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00  0.35  0.00  0.35  90.0  0.32   12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00  0.35  0.00  0.35  90.0  0.35  90.0  0.32   12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00  0.35  0.00  0.35  90.0  0.35  90.0  0.32   12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00  0.35  0.00  0.35  90.0  0.36  90.0  0.32   12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00  0.35  0.00  0.35  90.0  0.36  90.0  0.32   12/28	12/26/2017 0	0064891745	KING/SEP, KELLY	MF	FUNDAMENTAL INVESTORS-A	В	32.83	0.33	0.00	0.33	90.0	0.30
12/26/2017 00064891745 KING/SEP, KELLY MF MORTH PUND-A B 30.00 0.30 0.00 0.30 90.0 0.27 12/26/2017 00064991870 SNIPES, COREY MF THE NEW ECONOMY FUND-A B 30.00 0.30 0.00 0.30 90.0 0.27 12/26/2017 00064991871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 30.00 0.30 0.00 0.30 90.0 0.27 12/26/2017 00064991871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 30.00 0.30 0.00 0.30 90.0 0.27 12/26/2017 00064991871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 30.00 0.30 0.00 0.30 90.0 0.27 12/26/2017 00064991871 STANFORD, STEVEN MF THE GROWTH FUND OF AMERICA-A B 30.00 0.30 0.00 0.30 90.0 0.27 12/26/2017 00064991871 STANFORD, STEVEN MF THE GROWTH FUND OF AMERICA-A B 30.00 0.30 0.00 0.30 90.0 0.27 12/29/2017 00064991871 STANFORD, STEVEN MF THE GROWTH FUND OF AMERICA-A B 30.00 0.30 0.00 0.30 90.0 0.27 12/29/2017 00064991871 STANFORD, STEVEN MF THE GROWTH FUND OF AMERICA-A B 30.00 0.30 0.00 0.30 90.0 0.27 12/29/2017 0006496990 CHANCE, CHARLES MF NEW PERSPECTIVE FUND-A B 72.00 0.66 0.00 0.66 90.0 0.67 12/29/2017 00064466990 CHANCE, CHARLES MF NEW PERSPECTIVE FUND-A B 72.00 0.66 0.00 0.66 90.0 0.77 12/29/2017 00064466990 CHANCE, CHARLES MF NEW PERSPECTIVE FUND-A B 72.00 0.66 0.00 0.66 90.0 0.77 12/29/2017 0006469915947 TUCEK, ROBERT MF AMERICAN BALANCED FUND-A B 22.78 0.30 0.00 0.30 90.0 0.22 12/29/2017 00067915947 TUCEK, ROBERT MF AMERICAN BALANCED FUND-A B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915947 TUCEK, ROBERT MF AMERICAN BALANCED FUND-A B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND-A B 35.00 0.35 0.00 0.35 90.0 0.23 12/28/2017 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND-A B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 90.0 0.32 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 90.0 0.35 12/28/2017 00067915951 GABER	12/26/2017 0	0064891745	KING/SEP, KELLY	MF	FUNDAMENTAL INVESTORS-A	В	351.58	3.52	0.00	3.52	90.0	3.17
12/26/2017 00064891870 SNIPES, COREY MF THE NEW ECONOMY FUND-A B 30.00 0.30 0.00 0.30 90.0 0.27 12/26/2017 00064891870 SNIPES, COREY MF THE NEW ECONOMY FUND-A B 30.00 0.30 0.00 0.30 90.0 0.27 12/26/2017 00064891871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 30.00 0.30 0.00 0.30 90.0 0.27 12/26/2017 00064891871 STANFORD, STEVEN MF THE GROWTH FUND-A B 30.00 0.30 0.00 0.30 90.0 0.27 12/26/2017 00064891871 STANFORD, STEVEN MF THE GROWTH FUND A B 30.00 0.30 0.00 0.30 90.0 0.27 12/26/2017 00064891871 STANFORD, STEVEN MF THE GROWTH FUND OF AMERICA-A B 30.00 0.30 0.00 0.30 90.0 0.27 12/26/2017 00064891871 STANFORD, STEVEN MF THE GROWTH FUND OF AMERICA-A B 30.00 0.30 0.00 0.30 90.0 0.27 12/26/2017 00067466990 CHANCE, CHARLES MF NEW PERSPECTIVE FUND-A B 72.00 0.86 0.00 0.86 90.0 0.77 12/29/2017 00067466990 CHANCE, CHARLES MF NEW PERSPECTIVE FUND-A B 24.78 0.30 0.00 0.30 90.0 0.27 12/29/2017 00067466990 CHANCE, CHARLES MF THE NEW ECONOMY FUND-A B 24.78 0.30 0.00 0.30 90.0 0.27 12/29/2017 00067466990 CHANCE, CHARLES MF THE NEW ECONOMY FUND-A B 22.50 0.23 0.00 0.30 90.0 0.27 12/28/2017 00067915947 TUCKK, ROBERT MF AMERICAN BALANCED FUND-A B 22.50 0.23 0.00 0.32 90.0 0.21 12/28/2017 00067915947 TUCKK, ROBERT MF CAPITAL WORLD GROWTH AND INCOME B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915947 TUCKK, ROBERT MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND-A B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND-A B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 90.0 0.32 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.31 90.0 0.32 12/28/2017 00067915951 GABERT	12/26/2017 0	0064891745	KING/SEP, KELLY	MF	SMALLCAP WORLD FUND-A	В	31.87	0.32	0.00	0.32	90.0	0.29
12/26/2017 00064891871 STANFORD, STEVEN MF NEW ESSPECTIVE FUND-A B 30.00 0.30 0.00 0.30 90.0 0.27 12/26/2017 00064891871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 150.00 1.50 0.00 1.50 90.0 1.35 12/26/2017 00064891871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 150.00 1.50 0.00 1.50 90.0 1.35 12/26/2017 00064891871 STANFORD, STEVEN MF THE GROWTH FUND OF AMERICA-A B 150.00 0.30 0.00 0.30 90.0 0.30 90.0 0.27 12/28/2017 00064891871 STANFORD, STEVEN MF THE GROWTH FUND OF AMERICA-A B 150.00 1.50 0.00 1.50 0.00 1.50 90.0 0.27 12/28/2017 00067466990 CHANCE, CHARLES MF NEW PERSPECTIVE FUND-A B 72.00 0.86 0.00 0.86 90.0 0.77 12/29/2017 00067466990 CHANCE, CHARLES MF NEW PERSPECTIVE FUND-A B 72.00 0.86 0.00 0.86 90.0 0.77 12/29/2017 00067466990 CHANCE, CHARLES MF NEW PERSPECTIVE FUND-A B 72.00 0.86 0.00 0.86 90.0 0.77 12/29/2017 00067466990 CHANCE, CHARLES MF THE NEW ECONOMY FUND-A B 24.78 0.30 0.00 0.30 90.0 0.27 12/28/2017 00067915947 TUCEK, ROBERT MF NEW PERSPECTIVE FUND-A B 22.50 0.23 0.00 0.30 90.0 0.27 12/28/2017 00067915947 TUCEK, ROBERT MF AMERICAN BALANCED FUND-A B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915947 TUCEK, ROBERT MF AMERICAN BALANCED FUND-A B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915949 LACKEY, HUGH MF CAPITLA WORLD GROWTH AND INCOME B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915949 LACKEY, HUGH MF CAPITLA WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF CAPITLA WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF CAPITLA WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF CAPITLA WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915951 GABERT, GORDON MF CAPITLA WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 90.0 0.32 12/28/2017 00067915951 GABERT, GORDON MF CAPITLA WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.36 90.0 0.36 90.0 0.32 12/28/2017 00067915951 GABERT, GORDON MF CAPITLA WORLD GROWTH AND INCOME B 35.00	12/26/2017 0	0064891745	KING/SEP, KELLY	MF	SMALLCAP WORLD FUND-A	В	341.25	3.41	0.00	3.41	90.0	3.07
12/26/2017 00064891871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 150.00 1.50 0.00 1.50 90.0 0.35 12/26/2017 00064891871 STANFORD, STEVEN MF NEW PERSPECTIVE FUND-A B 150.00 1.50 0.00 1.50 90.0 0.35 12/26/2017 00064891871 STANFORD, STEVEN MF THE GROWTH FUND OF AMERICA-A B 30.00 0.30 0.00 0.30 0.00 0.30 90.0 0.27 12/26/2017 00064891871 STANFORD, STEVEN MF THE GROWTH FUND OF AMERICA-A B 150.00 1.50 0.00 1.50 90.0 1.35 12/29/2017 00067466990 CHANCE, CHARLES MF NEW PERSPECTIVE FUND-A B 72.00 0.86 0.00 0.86 90.0 0.77 12/29/2017 00067466990 CHANCE, CHARLES MF NEW PERSPECTIVE FUND-A B 24.78 0.30 0.00 0.30 90.0 0.77 12/29/2017 00067466990 CHANCE, CHARLES MF NEW PERSPECTIVE FUND-A B 24.78 0.30 0.00 0.30 90.0 0.77 12/29/2017 00067466990 CHANCE, CHARLES MF THE NEW ECONOMY FUND-A B 24.78 0.30 0.00 0.30 90.0 0.27 12/28/2017 00067466990 CHANCE, CHARLES MF THE NEW ECONOMY FUND-A B 24.78 0.30 0.00 0.30 90.0 0.27 12/28/2017 00067915947 TUCEK, ROBERT MF AMERICAN BALANCED FUND-A B 22.50 0.23 0.00 0.02 90.0 0.21 12/28/2017 00067915947 TUCEK, ROBERT MF CAPITAL WORLD GROWTH AND INCOME B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915947 TUCEK, ROBERT MF CAPITAL WORLD GROWTH AND INCOME B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND-A B 35.00 0.35 0.00 0.35 90.0 0.23 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 90.0 0.35 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0.35 90.0 0	12/26/2017 0	0064891870	SNIPES, COREY	MF	THE NEW ECONOMY FUND-A	В	30.00	0.30	0.00	0.30	90.0	0.27
12/26/2017 00064891871 STANFORD, STEVEN MF 12/26/2017 00064891871 STANFORD, STEVEN MF THE GROWTH FUND OF AMERICA-A B 30.00 0.30 0.00 0.30 90.0 0.27 1.35 12/26/2017 00064891871 STANFORD, STEVEN MF THE GROWTH FUND OF AMERICA-A B 30.00 0.30 0.00 0.30 90.0 0.27 1.27 12/29/2017 00067466990 CHANCE, CHARLES MF NEW PERSPECTIVE FUND-A B 72.00 0.86 0.00 0.86 90.0 0.77 12/29/2017 00067466990 CHANCE, CHARLES MF NEW PERSPECTIVE FUND-A B 72.00 0.86 0.00 0.86 90.0 0.27 12/29/2017 00067466990 CHANCE, CHARLES MF NEW PERSPECTIVE FUND-A B 72.00 0.86 0.00 0.86 90.0 0.27 12/29/2017 00067466990 CHANCE, CHARLES MF THE NEW ECONOMY FUND-A B 72.00 0.86 0.00 0.86 90.0 0.27 12/29/2017 00067466990 CHANCE, CHARLES MF THE NEW ECONOMY FUND-A B 72.00 0.86 0.00 0.86 90.0 0.27 12/28/2017 00067915947 TUCEK, ROBERT MF AMERICAN BALANCED FUND-A B 24.78 0.30 0.00 0.30 90.0 0.23 90.0 0.21 12/28/2017 00067915947 TUCEK, ROBERT MF AMERICAN BALANCED FUND-A B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915947 TUCEK, ROBERT MF CAPITAL WORLD GROWTH AND INCOME B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 000679159541 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 90.0 0.32 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 90.0 0.32 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.	12/26/2017 0	0064891870	SNIPES, COREY	MF	THE NEW ECONOMY FUND-A	В	30.00	0.30	0.00	0.30	90.0	0.27
12/26/2017 00064891871 STANFORD, STEVEN MF THE GROWTH FUND OF AMERICA-A B 150.00 1.50 0.00 1.50 90.0 1.35 12/26/2017 0006464691871 STANFORD, STEVEN MF THE GROWTH FUND OF AMERICA-A B 150.00 1.50 0.00 1.50 90.0 1.35 12/29/2017 00067466990 CHANCE, CHARLES MF NEW PERSPECTIVE FUND-A B 72.00 0.86 0.00 0.86 90.0 0.77 12/29/2017 00067466990 CHANCE, CHARLES MF NEW PERSPECTIVE FUND-A B 72.00 0.86 0.00 0.86 90.0 0.77 12/29/2017 00067466990 CHANCE, CHARLES MF THE NEW ECONOMY FUND-A B 72.00 0.86 0.00 0.86 90.0 0.77 12/29/2017 00067466990 CHANCE, CHARLES MF THE NEW ECONOMY FUND-A B 72.00 0.86 0.00 0.86 90.0 0.77 12/29/2017 00067466990 CHANCE, CHARLES MF THE NEW ECONOMY FUND-A B 72.00 0.86 0.00 0.86 90.0 0.27 12/28/2017 00067915947 TUCEK, ROBERT MF AMERICAN BALANCED FUND-A B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915947 TUCEK, ROBERT MF AMERICAN BALANCED FUND-A B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915947 TUCEK, ROBERT MF CAPITAL WORLD GROWTH AND INCOME B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND-A B 35.00 0.35 0.00 0.23 90.0 0.21 12/28/2017 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND-A B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND-A B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	12/26/2017 0	0064891871	STANFORD, STEVEN	MF	NEW PERSPECTIVE FUND-A	В	30.00	0.30	0.00	0.30	90.0	0.27
12/26/2017 00064891871 STANFORD, STEVEN MF THE GROWTH FUND OF AMERICA-A B 150.00 1.50 0.00 1.50 90.0 1.35 12/29/2017 00067466990 CHANCE, CHARLES MF NEW PERSPECTIVE FUND-A B 72.00 0.86 0.00 0.86 90.0 0.77 12/29/2017 00067466990 CHANCE, CHARLES MF NEW PERSPECTIVE FUND-A B 24.78 0.30 0.00 0.30 90.0 0.30 90.0 0.27 12/29/2017 00067466990 CHANCE, CHARLES MF THE NEW ECONOMY FUND-A B 72.00 0.86 0.00 0.86 90.0 0.77 12/29/2017 00067466990 CHANCE, CHARLES MF THE NEW ECONOMY FUND-A B 72.00 0.86 0.00 0.30 90.0 0.30 90.0 0.27 12/28/2017 00067915947 TUCEK, ROBERT MF AMERICAN BALANCED FUND-A B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915947 TUCEK, ROBERT MF CAPITAL WORLD GROWTH AND INCOME B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915947 TUCEK, ROBERT MF CAPITAL WORLD GROWTH AND INCOME B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND-A B 35.00 0.35 0.00 0.23 90.0 0.21 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL MORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 90.0 0.32 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL MORLD GROWTH AND INCOME B 041.63 6.42 0.00 6.42 90.0 1.84 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL MORLD GROWTH AND INCOME B 041.63 6.42 0.00 6.42 90.0 1.84 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL MORLD GROWTH AND INCOME B 041.64 6.42 0.00 6.42 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL MORLD GROWTH AND INCOME B 041.63 6.42 0.00 6.42 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL MORLD GROW	12/26/2017 0	0064891871	STANFORD, STEVEN	MF	NEW PERSPECTIVE FUND-A	В	150.00	1.50	0.00	1.50	90.0	1.35
12/29/2017 00067466990 CHANCE, CHARLES MF NEW PERSPECTIVE FUND-A B 24.78 0.30 0.00 0.30 90.0 0.27 12/29/2017 00067466990 CHANCE, CHARLES MF NEW PERSPECTIVE FUND-A B 24.78 0.30 0.00 0.00 0.30 90.0 0.27 12/29/2017 00067466990 CHANCE, CHARLES MF THE NEW ECONOMY FUND-A B 72.00 0.86 0.00 0.86 90.0 0.77 12/29/2017 00067466990 CHANCE, CHARLES MF THE NEW ECONOMY FUND-A B 24.78 0.30 0.00 0.00 0.80 90.0 0.27 12/28/2017 00067915947 TUCEK, ROBERT MF AMERICAN BALANCED FUND-A B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915947 TUCEK, ROBERT MF AMERICAN BALANCED FUND-A B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915947 TUCEK, ROBERT MF CAPITAL WORLD GROWTH AND INCOME B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915947 TUCEK, ROBERT MF CAPITAL WORLD GROWTH AND INCOME B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND-A B 35.00 0.35 0.00 0.35 90.0 0.31 12/28/2017 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND-A B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 04.00 0.00 0.00 0.00 0.00 0.00 0.00 0	12/26/2017 0	0064891871	STANFORD, STEVEN	MF	THE GROWTH FUND OF AMERICA-A	В	30.00	0.30	0.00	0.30	90.0	0.27
12/29/2017 00067466990 CHANCE, CHARLES MF NEW PERSPECTIVE FUND-A B 24.78 0.30 0.00 0.30 90.0 0.27 12/29/2017 00067466990 CHANCE, CHARLES MF THE NEW ECONOMY FUND-A B 72.00 0.86 0.00 0.86 90.0 0.77 12/29/2017 00067466990 CHANCE, CHARLES MF THE NEW ECONOMY FUND-A B 24.78 0.30 0.00 0.30 90.0 0.27 12/28/2017 00067915947 TUCEK, ROBERT MF AMERICAN BALANCED FUND-A B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915947 TUCEK, ROBERT MF AMERICAN BALANCED FUND-A B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915947 TUCEK, ROBERT MF CAPITAL WORLD GROWTH AND INCOME B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915947 TUCEK, ROBERT MF CAPITAL WORLD GROWTH AND INCOME B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND-A B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND-A B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL INCOME BUILDER-A B 204.00 2.04 0.00 2.04 90.0 1.84 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 204.00 2.04 0.00 2.04 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 102.00 1.02 0.00 1.02 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 102.00 1.02 0.00 1.02 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 102.00 1.02 0.00 1.02 90.0 5.78 12/28/2017 000667915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 102.00 1.02 0.00 1.02 90.0	12/26/2017 0	0064891871	STANFORD, STEVEN	MF	THE GROWTH FUND OF AMERICA-A	В	150.00	1.50	0.00	1.50	90.0	1.35
12/29/2017 00067466990 CHANCE, CHARLES MF THE NEW ECONOMY FUND-A B 72.00 0.86 0.00 0.86 90.0 0.77 12/29/2017 00067466990 CHANCE, CHARLES MF THE NEW ECONOMY FUND-A B 24.78 0.30 0.00 0.30 90.0 0.27 12/28/2017 00067915947 TUCEK, ROBERT MF AMERICAN BALANCED FUND-A B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915947 TUCEK, ROBERT MF AMERICAN BALANCED FUND-A B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915947 TUCEK, ROBERT MF CAPITAL WORLD GROWTH AND INCOME B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915947 TUCEK, ROBERT MF CAPITAL WORLD GROWTH AND INCOME B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND-A B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL INCOME BUILDER-A B 204.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL INCOME BUILDER-A B 204.00 2.04 0.00 2.04 90.0 1.84 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 204.00 2.04 0.00 2.04 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 204.00 2.04 0.00 2.04 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 204.00 2.04 0.00 2.04 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 204.00 2.04 0.00 2.04 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF NEW WORLD FUND-A B 320.82 3.21 0.00 0.31 90.0 0.33 90.0 0.28 12/28/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 320.82 3.21 0.00 0.83 90.0 0.31 90.0	12/29/2017 0	0067466990	CHANCE, CHARLES	MF	NEW PERSPECTIVE FUND-A	В	72.00	0.86	0.00	0.86	90.0	0.77
12/29/2017 00067466990 CHANCE, CHARLES MF THE NEW ECONOMY FUND—A B 24.78 0.30 0.00 0.30 90.0 0.27 12/28/2017 00067915947 TUCEK, ROBERT MF AMERICAN BALANCED FUND—A B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915947 TUCEK, ROBERT MF AMERICAN BALANCED FUND—A B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915947 TUCEK, ROBERT MF CAPITAL WORLD GROWTH AND INCOME B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915947 TUCEK, ROBERT MF CAPITAL WORLD GROWTH AND INCOME B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND—A B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND—A B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL INCOME BUILDER—A B 641.63 6.42 0.00 0.36 90.0 1.84 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL INCOME BUILDER—A B 641.63 6.42 0.00 6.42 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 204.00 2.04 0.00 2.04 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 204.00 2.04 0.00 6.42 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 204.00 2.04 0.00 6.42 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 204.00 2.04 0.00 6.42 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 204.00 2.04 0.00 6.42 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF NEW WORLD FUND—A B 102.00 1.02 0.00 1.02 0.00 1.02 0.00 1.02 0.00 0.92 12/28/2017 00067915951 GABERT, GORDON MF NEW WORLD FUND—A B 320.82 3.21 0.00 0.31 90.0 0.31 90.0 0.28 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND—A B 320.82 3.21 0.00 0.31 90.0	12/29/2017 0	0067466990	CHANCE, CHARLES	MF	NEW PERSPECTIVE FUND-A	В	24.78	0.30	0.00	0.30	90.0	0.27
12/28/2017 00067915947 TUCEK, ROBERT MF AMERICAN BALANCED FUND-A B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915947 TUCEK, ROBERT MF AMERICAN BALANCED FUND-A B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915947 TUCEK, ROBERT MF CAPITAL WORLD GROWTH AND INCOME B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915947 TUCEK, ROBERT MF CAPITAL WORLD GROWTH AND INCOME B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915949 LUCKEY, HUGH MF AMERICAN BALANCED FUND-A B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND-A B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL INCOME BUILDER-A B 204.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL INCOME BUILDER-A B 204.00 0.00 0.00 0.00 0.00 0.00 0.00 0.	12/29/2017 0	0067466990	CHANCE, CHARLES	MF	THE NEW ECONOMY FUND-A	В	72.00	0.86	0.00	0.86	90.0	0.77
12/28/2017 00067915947 TUCEK, ROBERT MF AMERICAN BALANCED FUND—A B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915947 TUCEK, ROBERT MF CAPITAL WORLD GROWTH AND INCOME B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915947 TUCEK, ROBERT MF CAPITAL WORLD GROWTH AND INCOME B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND—A B 35.00 0.35 0.00 0.35 90.0 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND—A B 35.00 0.35 0.00 0.35 90.0 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 90.0 0.32 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL INCOME BUILDER—A B 204.00 0.35 0.00 0.35 90.0 0.35 90.0 0.35 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 204.00 0.00 0.00 0.00 0.00 0.00 0.00 0.	12/29/2017 0	0067466990	CHANCE, CHARLES	MF	THE NEW ECONOMY FUND-A	В	24.78	0.30	0.00	0.30	90.0	0.27
12/28/2017 00067915947 TUCEK, ROBERT MF CAPITAL WORLD GROWTH AND INCOME B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915947 TUCEK, ROBERT MF CAPITAL WORLD GROWTH AND INCOME B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND-A B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND-A B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL INCOME BUILDER-A B 204.00 2.04 0.00 2.04 90.0 1.84 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 204.00 2.04 0.00 6.42 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 204.00 2.04 0.00 6.42 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 641.64 6.42 0.00 6.42 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 641.64 6.42 0.00 6.42 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF NEW WORLD FUND-A B 320.82 3.21 0.00 3.21 90.0 2.84 12/28/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 320.82 3.21 0.00 0.31 90.0 0.33 90.0 0.75 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 12/26/2017 00068379192 FOWLER, MICHAEL MF	12/28/2017 0	0067915947	TUCEK, ROBERT	MF	AMERICAN BALANCED FUND-A	В	22.50	0.23	0.00	0.23	90.0	0.21
12/28/2017 00067915947 TUCER, ROBERT MF CAPITAL WORLD GROWTH AND INCOME B 22.50 0.23 0.00 0.23 90.0 0.21 12/28/2017 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND-A B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND-A B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL INCOME BUILDER-A B 204.00 2.04 0.00 2.04 90.0 1.84 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 204.00 2.04 0.00 6.42 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 204.00 2.04 0.00 2.04 90.0 1.84 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 641.64 6.42 0.00 6.42 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 641.64 6.42 0.00 6.42 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF NEW WORLD FUND-A B 102.00 1.02 0.00 1.02 90.0 9.2 12/28/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 320.82 3.21 0.00 3.21 90.0 2.88 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 31.06 0.31 0.00 0.31 90.0 0.83 90.0 0.75 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 12/26/2017 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 31.07 0.31 0.00 0.31 90.0 0.31 90.0 0.28 12/26/2017 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 31.07 0.31 0.00 0.31 90.0 0.31 90.0 0.28 12/26/2017 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 31.07 0.31 0.00 0.31 90.0 0.31 90.0 0.28 12/26/2017 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 31.07 0.31 0.00 0.31 90.0 0.31 90.0 0.28 12/26/2017 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B	12/28/2017 0	0067915947	TUCEK, ROBERT	MF	AMERICAN BALANCED FUND-A	В	22.50	0.23	0.00	0.23	90.0	0.21
12/28/2017 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND-A B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND-A B 35.00 0.35 0.00 0.35 90.0 0.32 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL INCOME BUILDER-A B 204.00 2.04 0.00 2.04 90.0 1.84 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 204.00 2.04 0.00 6.42 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 204.00 2.04 0.00 2.04 90.0 1.84 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 641.64 6.42 0.00 6.42 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF NEW WORLD FUND-A B 102.00 1.02 0.00 1.02 90.0 0.92 12/28/2017 00067915951 GABERT, GORDON MF NEW WORLD FUND-A B 320.82 3.21 0.00 3.21 90.0 2.88 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 31.06 0.31 0.00 0.31 90.0 0.28 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.31 90.0 0.31 90.0 0.28 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 83.50 0.83 0.00 0.31 90.0 0.31 90.0 0.28 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 83.50 0.83 0.00 0.31 90.0 0.31 90.0 0.28 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 83.50 0.83 0.00 0.31 90.0 0.31 90.0 0.28 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 83.50 0.83 0.00 0.31 90.0 0.31 90.0 0.28 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-	12/28/2017 0	0067915947	TUCEK, ROBERT	MF	CAPITAL WORLD GROWTH AND INCOME	ΕВ	22.50	0.23	0.00	0.23	90.0	0.21
12/28/2017 00067915949 LACKEY, HUGH MF AMERICAN BALANCED FUND-A B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL INCOME BUILDER-A B 204.00 2.04 0.00 2.04 90.0 1.84 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL INCOME BUILDER-A B 641.63 6.42 0.00 6.42 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 204.00 2.04 0.00 2.04 90.0 1.84 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 641.64 6.42 0.00 6.42 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 641.64 6.42 0.00 6.42 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF NEW WORLD FUND-A B 102.00 1.02 0.00 1.02 90.0 0.92 12/28/2017 00067915951 GABERT, GORDON MF NEW WORLD FUND-A B 320.82 3.21 0.00 3.21 90.0 2.89 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 12/26/2017 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 31.07 0.31 0.00 0.31 90.0 0.28	12/28/2017 0	0067915947	TUCEK, ROBERT	MF	CAPITAL WORLD GROWTH AND INCOME	ΕВ	22.50	0.23	0.00	0.23	90.0	0.21
12/28/2017 00067915949 LACKEY, HUGH       MF       CAPITAL WORLD GROWTH AND INCOME B       35.00       0.35       0.00       0.35       90.0       0.32         12/28/2017 00067915949 LACKEY, HUGH       MF       CAPITAL WORLD GROWTH AND INCOME B       35.00       0.35       0.00       0.35       90.0       0.32         12/28/2017 00067915951 GABERT, GORDON       MF       CAPITAL INCOME BUILDER-A       B       204.00       2.04       0.00       2.04       90.0       1.84         12/28/2017 00067915951 GABERT, GORDON       MF       CAPITAL WORLD GROWTH AND INCOME B       641.63       6.42       0.00       6.42       90.0       5.78         12/28/2017 00067915951 GABERT, GORDON       MF       CAPITAL WORLD GROWTH AND INCOME B       641.64       6.42       0.00       6.42       90.0       1.84         12/28/2017 00067915951 GABERT, GORDON       MF       CAPITAL WORLD GROWTH AND INCOME B       641.64       6.42       0.00       6.42       90.0       5.78         12/28/2017 00067915951 GABERT, GORDON       MF       NEW WORLD FUND-A       B       102.00       1.02       0.00       1.02       90.0       0.92         12/26/2017 00068379192 FOWLER, MICHAEL       MF       NEW WORLD FUND-A       B       31.06       0.31       0.00       0.31	12/28/2017 0	0067915949	LACKEY, HUGH	MF	AMERICAN BALANCED FUND-A	В	35.00	0.35	0.00	0.35	90.0	0.32
12/28/2017 00067915949 LACKEY, HUGH MF CAPITAL WORLD GROWTH AND INCOME B 35.00 0.35 0.00 0.35 90.0 0.35 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL INCOME BUILDER-A B 204.00 2.04 0.00 2.04 90.0 1.84 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL INCOME BUILDER-A B 641.63 6.42 0.00 6.42 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 204.00 2.04 0.00 2.04 90.0 1.84 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 641.64 6.42 0.00 6.42 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 641.64 6.42 0.00 6.42 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF NEW WORLD FUND-A B 102.00 1.02 0.00 1.02 90.0 0.92 12/28/2017 00067915951 GABERT, GORDON MF NEW WORLD FUND-A B 320.82 3.21 0.00 3.21 90.0 2.89 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 31.06 0.31 0.00 0.31 90.0 0.28 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 12/26/2017 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 31.07 0.31 0.00 0.31 90.0 0.28	12/28/2017 0	0067915949	LACKEY, HUGH	MF	AMERICAN BALANCED FUND-A	В	35.00	0.35	0.00	0.35	90.0	0.32
12/28/2017 00067915951 GABERT, GORDON MF CAPITAL INCOME BUILDER-A B 204.00 2.04 0.00 2.04 90.0 1.84 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL INCOME BUILDER-A B 641.63 6.42 0.00 6.42 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 204.00 2.04 0.00 2.04 90.0 1.84 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 641.64 6.42 0.00 6.42 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF NEW WORLD FUND-A B 102.00 1.02 0.00 1.02 90.0 0.92 12/28/2017 00067915951 GABERT, GORDON MF NEW WORLD FUND-A B 320.82 3.21 0.00 3.21 90.0 2.89 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 31.06 0.31 0.00 0.31 90.0 0.28 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 12/26/2017 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 31.07 0.31 0.00 0.31 90.0 0.31 90.0 0.28	12/28/2017 0	0067915949	LACKEY, HUGH	MF	CAPITAL WORLD GROWTH AND INCOME	ΕВ	35.00	0.35	0.00	0.35	90.0	0.32
12/28/2017 00067915951 GABERT, GORDON MF CAPITAL INCOME BUILDER-A B 641.63 6.42 0.00 6.42 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 204.00 2.04 0.00 2.04 90.0 1.84 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 641.64 6.42 0.00 6.42 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF NEW WORLD FUND-A B 102.00 1.02 0.00 1.02 90.0 0.92 12/28/2017 00067915951 GABERT, GORDON MF NEW WORLD FUND-A B 320.82 3.21 0.00 3.21 90.0 2.89 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 31.06 0.31 0.00 0.31 90.0 0.28 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 31.07 0.31 0.00 0.31 90.0 0.28	12/28/2017 0	0067915949	LACKEY, HUGH	MF	CAPITAL WORLD GROWTH AND INCOME	ВВ	35.00	0.35	0.00	0.35	90.0	0.32
12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 204.00 2.04 0.00 2.04 90.0 1.84 12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 641.64 6.42 0.00 6.42 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF NEW WORLD FUND-A B 102.00 1.02 0.00 1.02 90.0 0.92 12/28/2017 00067915951 GABERT, GORDON MF NEW WORLD FUND-A B 320.82 3.21 0.00 3.21 90.0 2.89 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 31.06 0.31 0.00 0.31 90.0 0.28 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 12/26/2017 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 31.07 0.31 0.00 0.31 90.0 0.28	12/28/2017 0	0067915951	GABERT, GORDON	MF	CAPITAL INCOME BUILDER-A	В	204.00	2.04	0.00	2.04	90.0	1.84
12/28/2017 00067915951 GABERT, GORDON MF CAPITAL WORLD GROWTH AND INCOME B 641.64 6.42 0.00 6.42 90.0 5.78 12/28/2017 00067915951 GABERT, GORDON MF NEW WORLD FUND-A B 102.00 1.02 0.00 1.02 90.0 0.92 12/28/2017 00067915951 GABERT, GORDON MF NEW WORLD FUND-A B 320.82 3.21 0.00 3.21 90.0 2.89 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 31.06 0.31 0.00 0.31 90.0 0.28 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 12/26/2017 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 31.07 0.31 0.00 0.31 90.0 0.28	12/28/2017 0	0067915951	GABERT, GORDON	MF	CAPITAL INCOME BUILDER-A	В	641.63	6.42	0.00	6.42	90.0	5.78
12/28/2017 00067915951 GABERT, GORDON MF NEW WORLD FUND-A B 102.00 1.02 0.00 1.02 90.0 0.92 12/28/2017 00067915951 GABERT, GORDON MF NEW WORLD FUND-A B 320.82 3.21 0.00 3.21 90.0 2.89 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 31.06 0.31 0.00 0.31 90.0 0.28 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 12/26/2017 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 31.07 0.31 0.00 0.31 90.0 0.28	12/28/2017 0	0067915951	GABERT, GORDON	MF	CAPITAL WORLD GROWTH AND INCOME	ΕВ	204.00	2.04	0.00	2.04	90.0	1.84
12/28/2017 00067915951 GABERT, GORDON MF NEW WORLD FUND-A B 320.82 3.21 0.00 3.21 90.0 2.89 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 31.06 0.31 0.00 0.31 90.0 0.28 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 12/26/2017 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 31.07 0.31 0.00 0.31 90.0 0.28	12/28/2017 0	0067915951	GABERT, GORDON	MF	CAPITAL WORLD GROWTH AND INCOME	ΕВ	641.64	6.42	0.00	6.42	90.0	5.78
12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 31.06 0.31 0.00 0.31 90.0 0.28 12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 12/26/2017 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 31.07 0.31 0.00 0.31 90.0 0.28	12/28/2017 0	0067915951	GABERT, GORDON	MF	NEW WORLD FUND-A	В	102.00	1.02	0.00	1.02	90.0	0.92
12/26/2017 00068379192 FOWLER, MICHAEL MF NEW WORLD FUND-A B 82.50 0.83 0.00 0.83 90.0 0.75 12/26/2017 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 31.07 0.31 0.00 0.31 90.0 0.28	12/28/2017 0	0067915951	GABERT, GORDON	MF	NEW WORLD FUND-A	В	320.82	3.21	0.00	3.21	90.0	2.89
12/26/2017 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 31.07 0.31 0.00 0.31 90.0 0.28	12/26/2017 0	0068379192	FOWLER, MICHAEL	MF	NEW WORLD FUND-A	В	31.06	0.31	0.00	0.31	90.0	0.28
	12/26/2017 0	0068379192	FOWLER, MICHAEL	MF	NEW WORLD FUND-A	В	82.50	0.83	0.00	0.83	90.0	0.75
12/26/2017 00068379192 FOWLER, MICHAEL MF THE GROWTH FUND OF AMERICA-A B 82.50 0.83 0.00 0.83 90.0 0.75	12/26/2017 0	0068379192	FOWLER, MICHAEL	MF	THE GROWTH FUND OF AMERICA-A	В	31.07	0.31	0.00	0.31	90.0	0.28
	12/26/2017 0	0068379192	FOWLER, MICHAEL	MF	THE GROWTH FUND OF AMERICA-A	В	82.50	0.83	0.00	0.83	90.0	0.75
12/26/2017 00068379192 FOWLER, MICHAEL MF WASHINGTON MUTUAL INVESTORS B 32.01 0.32 0.00 0.32 90.0 0.29	12/26/2017 0	0068379192	FOWLER, MICHAEL	MF	WASHINGTON MUTUAL INVESTORS	В	32.01	0.32	0.00	0.32	90.0	0.29
12/26/2017 00068379192 FOWLER, MICHAEL MF WASHINGTON MUTUAL INVESTORS B 85.00 0.85 0.00 0.85 90.0 0.77	12/26/2017 0	0068379192	FOWLER, MICHAEL	MF	WASHINGTON MUTUAL INVESTORS	В	85.00	0.85	0.00	0.85	90.0	0.77
12/26/2017 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23	12/26/2017 0	0068379198	BRISENDINE, JODI	MF	NEW WORLD FUND-A	В	25.00	0.25	0.00	0.25	90.0	0.23
12/26/2017 00068379198 BRISENDINE, JODI MF NEW WORLD FUND-A B 65.00 0.65 0.00 0.65 90.0 0.59	12/26/2017 0	0068379198	BRISENDINE, JODI	MF	NEW WORLD FUND-A	В	65.00	0.65	0.00	0.65	90.0	0.59
12/26/2017 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 25.00 0.25 0.00 0.25 90.0 0.23	12/26/2017 0	0068379198	BRISENDINE, JODI	MF	WASHINGTON MUTUAL INVESTORS	В	25.00	0.25	0.00	0.25	90.0	0.23
12/26/2017 00068379198 BRISENDINE, JODI MF WASHINGTON MUTUAL INVESTORS B 65.00 0.65 0.00 0.65 90.0 0.59	12/26/2017 0	0068379198	BRISENDINE, JODI	MF	WASHINGTON MUTUAL INVESTORS	В	65.00	0.65	0.00	0.65	90.0	0.59
12/26/2017 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.54	12/26/2017 0	0073179517	WILLIAMS, JILL	MF	THE GROWTH FUND OF AMERICA-A	В	60.00	0.60	0.00	0.60	90.0	0.54
12/26/2017 00073179517 WILLIAMS, JILL MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.54	12/26/2017 0	0073179517	WILLIAMS, JILL	MF	THE GROWTH FUND OF AMERICA-A	В	60.00	0.60	0.00	0.60	90.0	0.54

		INVESTMENT	GROSS	CLEARING	NET		BROKER
	ESTMENT B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
•	IE INVESTMENT COMPANY OF B	46.00	0.46	0.00	0.46	90.0	0.41
	IE INVESTMENT COMPANY OF B	76.50	0.77	0.00	0.77	90.0	0.69
	IERICAN BALANCED FUND-A B	50.00	1.88	0.00	1.88	90.0	1.69
	PITAL WORLD GROWTH AND INCOME B	50.00	1.88	0.00	1.88	90.0	1.69
•	IE GROWTH FUND OF AMERICA-A B	50.00	1.88	0.00	1.88	90.0	1.69
	W WORLD FUND-A B	72.90	0.73	0.00	0.73	90.0	0.66
	W WORLD FUND-A B	72.90	0.73	0.00	0.73	90.0	0.66
	PITAL INCOME BUILDER-A B	33.00	0.33	0.00	0.33	90.0	0.30
, , , , , , , , , , , , , , , , , , , ,	PITAL INCOME BUILDER-A B	641.63	6.42	0.00	6.42	90.0	5.78
•	PITAL WORLD GROWTH AND INCOME B	33.00	0.33	0.00	0.33	90.0	0.30
	PITAL WORLD GROWTH AND INCOME B	641.64	6.42	0.00	6.42	90.0	5.78
•	W WORLD FUND-A B	16.50	0.17	0.00	0.17	90.0	0.15
12/28/2017 00075029508 GABERT, JULIE MF NE	W WORLD FUND-A B	320.82	3.21	0.00	3.21	90.0	2.89
01/02/2018 00075223282 BOHANNON, SARA MF CA	APITAL WORLD GROWTH AND B	100.00	1.00	0.00	1.00	90.0	0.90
12/29/2017 00075259804 ROWLAND, JOHN MF CA	APITAL WORLD GROWTH AND INCOME B	32.14	0.39	0.00	0.39	90.0	0.35
12/29/2017 00075259804 ROWLAND, JOHN MF CA	APITAL WORLD GROWTH AND INCOME B	32.14	0.39	0.00	0.39	90.0	0.35
12/26/2017 00075766744 MALLECK, DONALD MF CA	APITAL WORLD GROWTH AND INCOME B	25.00	0.25	0.00	0.25	90.0	0.23
12/26/2017 00075766744 MALLECK, DONALD MF CA	APITAL WORLD GROWTH AND INCOME B	36.00	0.36	0.00	0.36	90.0	0.32
12/26/2017 00075766788 RAY, KEITH MF CA	PITAL WORLD GROWTH AND INCOME B	78.60	0.79	0.00	0.79	90.0	0.71
12/26/2017 00075766788 RAY, KEITH MF CA	PITAL WORLD GROWTH AND INCOME B	78.60	0.79	0.00	0.79	90.0	0.71
01/02/2018 00082315259 CUNNINGHAM, AL MF CA	PITAL INCOME BUILDER-529A B	500.00	8.00	0.00	8.00	90.0	7.20
01/05/2018 00082354288 HUNTLEY, ANN MF CA	APITAL INCOME BUILDER-529A B	125.00	2.50	0.00	2.50	90.0	2.25
01/05/2018 00082354288 HUNTLEY, ANN MF CA	APITAL WORLD BOND FUND-529A B	100.00	2.00	0.00	2.00	90.0	1.80
01/05/2018 00082354288 HUNTLEY, ANN MF CA	APITAL WORLD GROWTH AND B	100.00	2.00	0.00	2.00	90.0	1.80
01/05/2018 00082354288 HUNTLEY, ANN MF NE	W PERSPECTIVE FUND-529A B	100.00	2.00	0.00	2.00	90.0	1.80
12/29/2017 00083080594 HARPER, LEONARD MF CA	APITAL WORLD GROWTH AND INCOME B	450.00	5.40	0.00	5.40	90.0	4.86
12/29/2017 00083080594 HARPER, LEONARD MF CA	APITAL WORLD GROWTH AND INCOME B	87.00	1.04	0.00	1.04	90.0	0.94
12/26/2017 00083282682 KING, COLTON MF CA	APITAL WORLD GROWTH AND INCOME B	25.00	0.25	0.00	0.25	90.0	0.23
12/26/2017 00083282682 KING, COLTON MF CA	APITAL WORLD GROWTH AND INCOME B	100.00	1.00	0.00	1.00	90.0	0.90
12/26/2017 00083282682 KING, COLTON MF NE	W WORLD FUND-A B	25.00	0.25	0.00	0.25	90.0	0.23
12/26/2017 00083282682 KING, COLTON MF NE	W WORLD FUND-A B	100.00	1.00	0.00	1.00	90.0	0.90
01/02/2018 00083414328 GREEN, EMILY MF CA	APITAL WORLD GROWTH AND INCOME B	126.00	3.47	0.00	3.47	90.0	3.12
01/02/2018 00083414328 GREEN, EMILY MF CA	PITAL WORLD GROWTH AND INCOME B	126.00	3.47	0.00	3.47	90.0	3.12
01/02/2018 00083809633 HANS, PATRICIA MF AM	IERICAN BALANCED FUND-A B	541.65	8.67	0.00	8.67	90.0	7.80
01/02/2018 00083809762 HANS, GARY MF AM	IERICAN BALANCED FUND-A B	541.65	8.67	0.00	8.67	90.0	7.80
	PITAL WORLD GROWTH AND B	100.00	1.00	0.00		90.0	0.90
01/02/2018 00084181087 HAYES, LUCRETIA MF CA		13.75	0.14	0.00		90.0	0.13
	PITAL INCOME BUILDER CLASS C B	68.75	0.69	0.00		90.0	0.62
	PITAL WORLD GROWTH AND INCOME B	13.75	0.14	0.00		90.0	0.13
01/02/2018 00084181087 HAYES, LUCRETIA MF CA		68.75	0.69	0.00		90.0	0.62
01/02/2018 00084193466 BROWNING, RANDALL MF CA		112.82	1.13	0.00	1.13		1.02
01/02/2018 00084193466 BROWNING, RANDALL MF CA		645.83	6.46	0.00		90.0	5.81
	IERICAN BALANCED FUND-A B	400.00	20.00	0.00	20.00		18.00
			0.25	0.00			0.23
12/26/2017 00084359266 WILLIAMS, JOY MF AM	IERICAN BALANCED FUND-A B	25.00	∪.∠5	0.00	0.25	90.0	0.43

			INVESTMENT	GROSS	CLEARING	NET		BROKER
TRADE DATE CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
, .,	MF AMERICAN BALANCED FUND-A	В	50.00	0.50	0.00	0.50	90.0	0.45
•	MF CAPITAL WORLD GROWTH AND INCOM	E B	40.00	0.40	0.00	0.40	90.0	0.36
12/26/2017 00084979351 MALLECK, DONALD N	MF CAPITAL WORLD GROWTH AND INCOM	E B	40.00	0.40	0.00	0.40	90.0	0.36
12/26/2017 00084979364 BAKER, MEGHAN N	MF CAPITAL WORLD GROWTH AND INCOM	E B	51.00	0.51	0.00	0.51	90.0	0.46
•	MF CAPITAL WORLD GROWTH AND INCOM	E B	51.00	0.51	0.00	0.51	90.0	0.46
12/26/2017 00084979405 HERNANDEZ, IAEL N	MF CAPITAL WORLD GROWTH AND INCOM	E B	50.00	0.50	0.00	0.50	90.0	0.45
	MF CAPITAL WORLD GROWTH AND INCOM	E B	50.00	0.50	0.00	0.50	90.0	0.45
12/26/2017 00085000842 SANCHEZ, RAUL N	MF CAPITAL WORLD BOND FUND-A	В	54.00	0.54	0.00	0.54	90.0	0.49
12/26/2017 00085000842 SANCHEZ, RAUL	MF CAPITAL WORLD BOND FUND-A	В	54.00	0.54	0.00	0.54	90.0	0.49
12/26/2017 00085022926 LACKEY, DARCIE	MF AMERICAN BALANCED FUND-A	В	102.00	5.10	0.00	5.10	90.0	4.59
12/28/2017 00085350239 GABERT, BEAU M	MF DEVELOPING WORLD GROWTH &	В	30.00	0.30	0.00	0.30	90.0	0.27
12/28/2017 00085350239 GABERT, BEAU	MF DEVELOPING WORLD GROWTH &	В	250.00	2.50	0.00	2.50	90.0	2.25
12/28/2017 00085350239 GABERT, BEAU N	MF NEW PERSPECTIVE FUND-A	В	30.00	0.30	0.00	0.30	90.0	0.27
12/28/2017 00085350239 GABERT, BEAU N	MF NEW PERSPECTIVE FUND-A	В	250.00	2.50	0.00	2.50	90.0	2.25
12/28/2017 00085350239 GABERT, BEAU N	MF THE GROWTH FUND OF AMERICA-A	В	30.00	0.30	0.00	0.30	90.0	0.27
12/28/2017 00085350239 GABERT, BEAU N	MF THE GROWTH FUND OF AMERICA-A	В	250.00	2.50	0.00	2.50	90.0	2.25
12/28/2017 00085350239 GABERT, BEAU M	MF THE INVESTMENT COMPANY OF	В	30.00	0.30	0.00	0.30	90.0	0.27
12/28/2017 00085350239 GABERT, BEAU N	MF THE INVESTMENT COMPANY OF	В	250.00	2.50	0.00	2.50	90.0	2.25
01/02/2018 00085577210 CUNNINGHAM, AL M	MF CAPITAL WORLD GROWTH AND	В	500.00	8.00	0.00	8.00	90.0	7.20
12/28/2017 00085937196 VILLARREAL, SAUL M	MF AMERICAN BALANCED FUND-A	В	46.66	0.47	0.00	0.47	90.0	0.42
12/28/2017 00085937196 VILLARREAL, SAUL M	MF AMERICAN BALANCED FUND-A	В	46.66	0.47	0.00	0.47	90.0	0.42
12/28/2017 00086064807 DAVIS, WHITNEY M	MF CAPITAL WORLD BOND FUND-A	В	33.75	0.34	0.00	0.34	90.0	0.31
12/28/2017 00086064807 DAVIS, WHITNEY M	MF CAPITAL WORLD BOND FUND-A	В	500.00	5.00	0.00	5.00	90.0	4.50
12/28/2017 00086064807 DAVIS, WHITNEY M	MF CAPITAL WORLD GROWTH AND INCOM	ЕВ	16.88	0.17	0.00	0.17	90.0	0.15
12/28/2017 00086064807 DAVIS, WHITNEY	MF CAPITAL WORLD GROWTH AND INCOM	E B	250.00	2.50	0.00	2.50	90.0	2.25
12/28/2017 00086064807 DAVIS, WHITNEY M	MF NEW WORLD FUND-A	В	16.87	0.17	0.00	0.17	90.0	0.15
12/28/2017 00086064807 DAVIS, WHITNEY N	MF NEW WORLD FUND-A	В	250.00	2.50	0.00	2.50	90.0	2.25
12/26/2017 00086553806 POPEJOY, RUSTON N	MF NEW WORLD FUND-A	В	25.00	0.25	0.00	0.25	90.0	0.23
12/26/2017 00086553806 POPEJOY, RUSTON N	MF NEW WORLD FUND-A	В	25.00	0.25	0.00	0.25	90.0	0.23
12/26/2017 00086553806 POPEJOY, RUSTON N	MF THE GROWTH FUND OF AMERICA-A	В	25.00	0.25	0.00	0.25	90.0	0.23
12/26/2017 00086553806 POPEJOY, RUSTON N	MF THE GROWTH FUND OF AMERICA-A	В	25.00	0.25	0.00	0.25	90.0	0.23
01/03/2018 00086600551 LAKE, DANNIE N	MF AMERICAN BALANCED FUND-C	В	195.20	1.95	0.00	1.95	90.0	1.76
01/03/2018 00086600551 LAKE, DANNIE N	MF AMERICAN BALANCED FUND-C	В	195.20	1.95	0.00	1.95	90.0	1.76
12/29/2017 00086665101 O'ROURKE, LORNA N	MF AMERICAN BALANCED FUND-C	В	12.50	0.13	0.00	0.13	90.0	0.12
12/29/2017 00086666298 SIMS, JIMMY N	MF AMERICAN BALANCED FUND-C	В	50.00	0.50	0.00	0.50	90.0	0.45
12/29/2017 00086666430 GARCIA, CRYSTAL N	MF AMERICAN BALANCED FUND-C	В	62.50	0.63	0.00	0.63	90.0	0.57
	MF CAPITAL WORLD GROWTH AND	В	250.00	4.00	0.00	4.00	90.0	3.60
	MF AMERICAN BALANCED FUND-C	В	255.00	2.55	0.00		90.0	2.30
	MF AMERICAN BALANCED FUND-C	В	255.00	2.55	0.00		90.0	2.30
12/26/2017 00087097770 SARGENT, TENDRICK N		В	25.00	0.25	0.00		90.0	0.23
12/26/2017 00087097770 SARGENT, TENDRICK N		В	80.00	0.80	0.00		90.0	0.72
01/03/2018 00087182025 ARREOLA, AGUSTIN N		В	387.15	3.87	0.00		90.0	3.48
01/03/2018 00087182025 ARREOLA, AGUSTIN N		В	193.58	1.94	0.00		90.0	1.75
	MF AMERICAN BALANCED FUND-C	В	283.58	2.84	0.00		90.0	2.56
01,00,2010 0000,102001 DAII, DEAN	I IIIII CIII DIIIIIII CED FOID C		203.30	2.01	0.00	2.01	20.0	2.50

MARKENDA MALAGER FUND-C   N	TRADE DATE	CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
1.01/22/218 00087192292 GABERT, LINSEY ME	01/03/2018 00	0087182034 BATT, SEAN	MF	AMERICAN BALANCED FUND-C	В	170.15	1.70	0.00	1.70	90.0	1.53
10/10/22/10   10/08/73/10	01/02/2018 00	0087192229 GABERT, LYNSEY	MF	DEVELOPING WORLD GROWTH &	В	25.00	0.25	0.00	0.25	90.0	0.23
1/1/2/2218   GOORFISHERY   NEW   ME   GOORFISHERY   NEW   MARKETINA MALANCHE MINISTA   8   60.00   0.00	01/02/2018 00	0087192229 GABERT, LYNSEY	MF	INVESTMENT COMPANY OF AMERICA	В	25.00	0.25	0.00	0.25	90.0	0.23
12/26/2017 00087913818   COOPER, TEACY   MF   AMERICAN BALANCED FIND-A   B   400.00   0.00	01/02/2018 00	0087192229 GABERT, LYNSEY	MF	NEW PERSPECTIVE FUND-C	В	25.00	0.25	0.00	0.25	90.0	0.23
12/26/2017 00087725681 COOPER, TRACT	01/02/2018 00	0087192229 GABERT, LYNSEY	MF	THE GROWTH FUND OF AMERICA-C	В	25.00	0.25	0.00	0.25	90.0	0.23
12/26/2017 00897813930   ELANCHARD, ROBERT ST   MARKICAN BALANCED PUND-A   B   60.00   0.60   0.00	12/26/2017 00	0087204412 BULLARD, BRYANT	MF	AMERICAN BALANCED FUND-A	В	60.00	0.60	0.00	0.60	90.0	0.54
12/26/2017 00087813930 BLANCHARD, ROBERT MF	12/26/2017 00	0087204412 BULLARD, BRYANT	MF	AMERICAN BALANCED FUND-A	В	400.00	4.00	0.00	4.00	90.0	3.60
12/26/2017 010878113930   BLANCHARD, ROBENT MF   MARRICAN MITUAL FUND-A   8   100.00   1.00   0.00   1.00   0.00	12/26/2017 00	0087725681 COOPER, TRACY	MF	AMERICAN BALANCED FUND-A	В	60.00	0.60	0.00	0.60	90.0	0.54
12/26/2017 00087836073 DARNELL, SANAH	12/26/2017 00	0087725681 COOPER, TRACY	MF	AMERICAN BALANCED FUND-A	В	60.00	0.60	0.00	0.60	90.0	0.54
12/29/2017 00087836073 DARDELL, SARANI   MF   MURRICAN BALANCED FUND-C   R   25.00   0.25   0.00   0.25   90.0   0.23	12/26/2017 00	0087813930 BLANCHARD, ROBERT	MF	AMERICAN MUTUAL FUND-A	В	100.00	1.00	0.00	1.00	90.0	0.90
12/29/2017 00087846951 MCCARTNEY, NF   GLOBAL BALANCED FUND-A   B   88.64   0.89   0.00   0	12/26/2017 00	0087813930 BLANCHARD, ROBERT	MF	AMERICAN MUTUAL FUND-A	В	100.00	1.00	0.00	1.00	90.0	0.90
12/26/2017 00087846961 MCCARTNEY, MF GLOBAL BALANCED FUND-A B 100.00 1.00 0.00 1.00 90.0 0.89 90.0 0.80 12/26/2017 00087916087 JENKINS, TAMMIE MF GLOBAL BALANCED FUND-A B 100.00 1.00 0.00 1.00 90.0 0.45 12/26/2017 00087916087 JENKINS, TAMMIE MF GLOBAL BALANCED FUND-A B 200.00 2.00 0.00 2.00 90.0 1.80 01/02/2018 00088101439 GABERT, BEAU MF GLOBAL BALANCED FUND-A B 25.00 0.25 0.00 0.25 90.0 0.23 01/02/2018 00088101439 GABERT, BEAU MF THE GROWTH FUND OF AMERICA-529C B 25.00 0.25 0.00 0.25 90.0 0.25 01/02/2018 00088101439 GABERT, BEAU MF THE GROWTH FUND OF AMERICA-529C B 25.00 0.25 0.00 0.25 90.0 0.25 01/02/2018 00088101439 GABERT, BEAU MF THE GROWTH FUND OF AMERICA-529C B 25.00 0.25 0.00 0.25 90.0 0.25 01/02/2018 00088406286 BATT, HUNTER MF GLOBAL BALANCED FUND-C B 140.62 1.41 0.00 1.41 90.0 1.25 91.0 0.23 01/03/2018 00088406286 BATT, HUNTER MF GLOBAL BALANCED FUND-C B 140.62 1.41 0.00 1.41 90.0 1.31 01/03/2018 000884062386 BATT, HUNTER MF GLOBAL BALANCED FUND-C B 140.62 1.41 0.00 1.41 90.0 1.31 01/03/2018 00088406238 AMMIJO, RICHARD MF GLOBAL BALANCED FUND-C B 140.62 1.41 0.00 1.41 90.0 1.31 01/03/2018 00088406238 AMMIJO, RICHARD MF GLOBAL BALANCED FUND-C B 136.17 1.36 0.00 1.36 90.0 1.25 01/03/2018 00088406303 DOAK, SKYLER MF GLOBAL BALANCED FUND-C B 136.17 1.36 0.00 1.36 90.0 1.22 01/03/2018 00088406303 DOAK, SKYLER MF GLOBAL BALANCED FUND-C B 136.17 1.36 0.00 1.36 90.0 1.32 01/03/2018 0008852342 VICKERS, GRACE MF AMERICAN BALANCED FUND-C B 136.17 1.36 0.00 1.36 90.0 1.30 01/02/2018 00088523326 ERONN, BRANDON MF MF GLOBAL BALANCED FUND-C B 136.17 1.36 0.00 0.14 90.0 1.34 90.0 1.30 01/02/2018 00088523326 ERONN, BRANDON MF	12/29/2017 00	0087836073 DARNELL, SARAH	MF	AMERICAN BALANCED FUND-C	В	25.00	0.25	0.00	0.25	90.0	0.23
12/26/2017 00087846961 MCCARTINEY, MF GLOBAL BALANCED FUND—A B 50.00 0.00 0.00 0.00 0.00 0.00 0.00 0	12/29/2017 00	0087836077 DOMINGUEZ, ERIC	MF	AMERICAN BALANCED FUND-C	В	20.00	0.20	0.00	0.20	90.0	0.18
12/26/2017 00087916087   JENKINS, TAMMIE   MF   GLOBAL BALANCED FUND-A   B   200.00   2.00   0.00   2.00   90.0   0.45   12/26/2017 00087916087   JENKINS, TAMMIE   MF   GLOBAL BALANCED FUND-A   B   200.00   2.00   0.00   2.00   90.0   0.25   90.0   90.	12/26/2017 00	0087846961 MCCARTNEY,	MF	GLOBAL BALANCED FUND-A	В	88.64	0.89	0.00	0.89	90.0	0.80
12/26/2017 00087916087 JENKINS, TAMMIE MF GLOBAL BALANCED FUND-A B 200.00 2.00 0.00 2.00 90.0 1.80 01/02/2018 00088101439 GABERT, BEAU MF DEVELOPING NORLD GROWTH & B 25.00 0.25 0.00 0.25 90.0 0.25 01/02/2018 00088101439 GABERT, BEAU MF THE GROWTH FUND OF AMERICA-529°C B 25.00 0.25 0.00 0.25 90.0 0.23 01/02/2018 00088101439 GABERT, BEAU MF THE GROWTH FUND OF AMERICA-529°C B 25.00 0.25 0.00 0.25 90.0 0.23 01/02/2018 00088101439 GABERT, BEAU MF THE GROWTH FUND OF AMERICA-529°C B 25.00 0.25 0.00 0.25 90.0 0.23 01/03/2018 00088406286 BATT, HUNTER MF GLOBAL BALANCED FUND-C B 281.23 2.81 0.00 2.81 90.0 2.53 01/03/2018 00088406286 BATT, HUNTER MF GLOBAL BALANCED FUND-C B 281.23 2.81 0.00 3.68 90.0 3.68 90.0 3.31 01/03/2018 00088406289 ARMIJO, RICHARD MF GLOBAL BALANCED FUND-C B 367.92 3.68 0.00 3.68 90.0 3.38 01/03/2018 00088406303 DOAK, SKYLER MF GLOBAL BALANCED FUND-C B 367.92 3.68 0.00 3.68 90.0 3.38 01/03/2018 00088406303 DOAK, SKYLER MF GLOBAL BALANCED FUND-C B 367.92 3.68 0.00 3.68 90.0 3.31 01/03/2018 00088406303 DOAK, SKYLER MF GLOBAL BALANCED FUND-C B 367.92 3.68 0.00 3.68 90.0 2.76 90.0 2.48 01/03/2018 00088522542 VICKERS, GRACE MF AMERICAN BALANCED FUND-C B 136.17 1.36 0.00 1.36 90.0 1.22 01/03/2018 00088522542 VICKERS, GRACE MF AMERICAN BALANCED FUND-C B 12.06 0.12 0.00 0.00 0.00 0.00 90.0 0.54 01/02/2018 00088522542 VICKERS, GRACE MF AMERICAN BALANCED FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088522332 ERONN, BRANDON MF NEN PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088522342 VICKERS, GRACE MF AMERICAN BALANCED FUND-C B 143.99 1.44 0.00 1.40 90.0 0.00 0.00 0.00 0.00 0.00 0.00 0	12/26/2017 00	0087846961 MCCARTNEY,	MF	GLOBAL BALANCED FUND-A	В	100.00	1.00	0.00	1.00	90.0	0.90
01/02/2018 00088101439 GABERT, BEAU	12/26/2017 00	0087916087 JENKINS, TAMMIE	MF	GLOBAL BALANCED FUND-A	В	50.00	0.50	0.00	0.50	90.0	0.45
01/02/2018 00088101439 GABERT, BEAU MF NEW PERSPECTIVE FUND-529C B 25.00 0.25 0.00 0.25 90.0 0.23 01/02/2018 00088101439 GABERT, BEAU MF THE GROWTH FUND OF AMERICA-529C B 25.00 0.25 0.00 0.25 90.0 0.23 01/03/2018 00088101439 GABERT, BEAU MF THE INVESTIGENCE AMERICA B 25.00 0.25 0.00 0.25 90.0 0.23 01/03/2018 00088406286 BATT, HUNTER MF GLOBAL BALANCED FUND-C B 281.23 2.81 0.00 2.81 90.0 2.53 01/03/2018 00088406286 BATT, HUNTER MF GLOBAL BALANCED FUND-C B 140.62 1.41 0.00 1.41 90.0 1.27 01/03/2018 00088406288 RAMIJO, RICHARD MF GLOBAL BALANCED FUND-C B 367.2 3.68 0.00 3.68 90.0 3.68 90.0 3.16 90.0 1.03 01/03/2018 00088406293 ARMIJO, RICHARD MF GLOBAL BALANCED FUND-C B 367.9 3.68 0.00 1.66 90.0 2.76 90.0 2.48 01/03/2018 00088406303 DOAK, SKYLER MF GLOBAL BALANCED FUND-C B 136.17 1.36 0.00 1.36 90.0 1.22 01/03/2018 00088406303 DOAK, SKYLER MF GLOBAL BALANCED FUND-C B 136.17 1.36 0.00 1.36 90.0 1.22 01/03/2018 00088406303 DOAK, SKYLER MF GLOBAL BALANCED FUND-C B 136.17 1.36 0.00 1.36 90.0 1.22 01/02/2018 00088522542 VICKERS, GRACE MF AMERICAN BALANCED FUND-C B 136.17 1.36 0.00 0.12 90.0 0.11 01/02/2018 00088522542 VICKERS, GRACE MF AMERICAN BALANCED FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 0008852326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 0008852326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 0008852931 UUNININGHAM, AL MF CAPITAL INCOME BUILDER-529A B 500.00 8.00 0.00 8.00 90.0 90.0 90.0 90.0	12/26/2017 00	0087916087 JENKINS, TAMMIE	MF	GLOBAL BALANCED FUND-A	В	200.00	2.00	0.00	2.00	90.0	1.80
01/02/2018 00088101439 GABERT, BEAU MF THE GROWTH FUND OF AMERICA-529C B 25.00 0.25 0.00 0.25 90.0 0.23 01/02/2018 00088101439 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 25.00 0.25 0.00 0.25 90.0 0.23 01/03/2018 00088406286 BATT, HUNTER MF GLOBAL BALANCED FUND-C B 140.62 1.41 0.00 1.41 90.0 1.27 01/03/2018 00088406286 BATT, HUNTER MF GLOBAL BALANCED FUND-C B 140.62 1.41 0.00 1.41 90.0 1.27 01/03/2018 00088406298 ARMIJO, RICHARD MF GLOBAL BALANCED FUND-C B 367.92 3.68 0.00 3.68 90.0 3.31 01/03/2018 00088406298 ARMIJO, RICHARD MF GLOBAL BALANCED FUND-C B 367.92 3.68 0.00 2.76 90.0 2.77 90.0	01/02/2018 00	0088101439 GABERT, BEAU	MF	DEVELOPING WORLD GROWTH &	В	25.00	0.25	0.00	0.25	90.0	0.23
01/02/2018 00088101439 GABERT, BEAU MF THE INVESTMENT COMPANY OF B 25.00 0.25 0.00 0.25 90.0 0.23 01/03/2018 00088406286 BATT, HUNTER MF GLOBAL BALANCED FUND-C B 281.23 2.81 0.00 1.41 90.0 2.53 01/03/2018 00088406298 BATT, HUNTER MF GLOBAL BALANCED FUND-C B 140.62 1.41 0.00 1.41 90.0 1.27 01/03/2018 00088406298 ARMIJO, RICHARD MF GLOBAL BALANCED FUND-C B 367.92 3.68 0.00 3.68 90.0 3.31 01/03/2018 00088406298 ARMIJO, RICHARD MF GLOBAL BALANCED FUND-C B 275.94 2.76 0.00 2.76 90.0 2.48 01/03/2018 00088406303 DOAK, SKYLER MF GLOBAL BALANCED FUND-C B 136.17 1.36 0.00 1.36 90.0 1.22 01/03/2018 00088406303 DOAK, SKYLER MF GLOBAL BALANCED FUND-C B 136.17 1.36 0.00 1.36 90.0 1.22 01/02/2018 00088522542 VICKERS, GRACE MF AMERICAN BALANCED FUND-C B 12.06 0.12 0.00 0.12 90.0 0.12 01/02/2018 00088522542 VICKERS, GRACE MF AMERICAN BALANCED FUND-C B 12.06 0.12 0.00 0.12 90.0 0.54 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 133.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523328 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523329 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 150.00 0.15 0.00 0.15 90.0 0.00 01/02/2018 00089203035 WILLI, LINCOLN MF THE GROWTH FUND-C B 150.00 0.15 0.00 0.15 90.0 0.06 01/02/2018 00089202061 LOPEZ, LUIS MF AMERICAN BALANCED FUND-C B 73.08 0.73 0.00 0.73 90.0 0.66 01/02/2018 00089202061 LOPEZ, LUIS MF AMERICAN BALANCED FUND-C B 73.08 0.73 0.00 0.73 90.0 0.66 01/02/2018 00089202119 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 73.08 0.73 0.00 0.73 90.0 0.66 01/02/2018 00089202119 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 1.15 90.0 0.00 0.00 0.00 0.00 0.00 0.00 0.0	01/02/2018 00	0088101439 GABERT, BEAU	MF	NEW PERSPECTIVE FUND-529C	В	25.00	0.25	0.00	0.25	90.0	0.23
01/03/2018 00088406296 BATT, HUNTER MF GLOBAL BALANCED FUND-C B 281.23 2.81 0.00 2.81 90.0 2.53 01/03/2018 00088406296 BATT, HUNTER MF GLOBAL BALANCED FUND-C B 140.62 1.41 0.00 1.41 90.0 1.27 01/03/2018 00088406298 ARMIJO, RICHARD MF GLOBAL BALANCED FUND-C B 275.94 2.76 0.00 2.76 90.0 2.48 01/03/2018 00088406298 ARMIJO, RICHARD MF GLOBAL BALANCED FUND-C B 275.94 2.76 0.00 1.36 90.0 1.22 01/03/2018 00088406303 DOAK, SKYLER MF GLOBAL BALANCED FUND-C B 136.17 1.36 0.00 1.36 90.0 1.22 01/03/2018 00088522542 VICKERS, GRACE MF GLOBAL BALANCED FUND-C B 136.17 1.36 0.00 0.13 90.0 1.22 01/02/2018 00088522542 VICKERS, GRACE MF AMERICAN BALANCED FUND-C B 12.06 0.12 0.00 0.12 90.0 0.11 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523326 UNININHAM, AL MF CAPITAL INCOME BUILDER-529A B 500.00 8.00 0.00 8.00 90.0 7.20 12/29/2017 00088532319 MELTON, JUSTIN MF AMERICAN BALANCED FUND-C B 15.00 0.15 0.00 0.15 90.0 0.14 01/02/2018 0008909735 WILL LINCOLM MF THE GROWTH FUND OF AMERICA-529C B 100.00 1.00 0.00 0.00 0.00 0.00 0.00	01/02/2018 00	0088101439 GABERT, BEAU	MF	THE GROWTH FUND OF AMERICA-5290	В	25.00	0.25	0.00	0.25	90.0	0.23
01/03/2018 00088406286 BATT, HUNTER MF GLOBAL BALANCED FUND-C B 140.62 1.41 0.00 1.41 90.0 1.27 01/03/2018 00088406298 ARMIJO, RICHARD MF GLOBAL BALANCED FUND-C B 367.92 3.88 0.00 3.68 90.0 3.31 01/03/2018 00088406298 ARMIJO, RICHARD MF GLOBAL BALANCED FUND-C B 275.94 2.76 0.00 2.76 90.0 2.48 01/03/2018 00088406303 DOAK, SKYLER MF GLOBAL BALANCED FUND-C B 136.17 1.36 0.00 1.36 90.0 1.22 01/03/2018 00088406303 DOAK, SKYLER MF GLOBAL BALANCED FUND-C B 136.17 1.36 0.00 1.36 90.0 1.22 01/03/2018 00088522542 VICKERS, GRACE MF AMERICAN BALANCED FUND-C B 120.00 0.12 0.00 0.12 90.0 0.11 01/02/2018 00088522542 VICKERS, GRACE MF AMERICAN BALANCED FUND-C B 143.99 1.44 0.00 1.44 90.0 1.50 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088529281 CUNNINGHAM, AL MF CAPITAL INCOME BULLDER-529A B 500.00 8.00 0.00 8.00 90.0 0.15 01/02/2018 00089532319 MELTON, JUSTIN MF AMERICAN BALANCED FUND-C B 15.00 0.15 0.00 0.15 90.0 0.16 01/02/2018 00089020375 WYLIE, LINCOLN MF THE GROWTH FUND OF AMERICA-529C B 100.00 1.00 0.00 0.73 90.0 0.66 01/02/2018 000890202011 LOPEZ, LUIS MF AMERICAN BALANCED FUND-C B 73.08 0.73 0.00 0.73 90.0 0.66 01/02/2018 00089202011 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 0.73 90.0 0.66 01/02/2018 00089202011 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 0.73 90.0 0.66 01/02/2018 00089202011 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 0.73 90.0 0.66 01/02/2018 00089202011 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 0.73 90.0 0.66 01/02/2018 00089202011 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 0.15 90.0 0.57 90.0 0.51 12/26/2017 00089380095 KING, JACOB MF THE GROWTH FUND OF AMERICA-A B 100.00 0.57 0.00 0.57 90.0 0.57 90.0 0.59 12/26/2017 00089380095 KING, JACOB MF THE GROWTH FUND OF AMERICA-A B 100.00 0.56 0.00 0.56 90.0 0.59 90.0 0.57 90.0 0.57 90.0 0.57 90.0 0.57 9	01/02/2018 00	0088101439 GABERT, BEAU	MF	THE INVESTMENT COMPANY OF	В	25.00	0.25	0.00	0.25	90.0	0.23
01/03/2018 00088406298 ARMIJO, RICHARD MF GLOBAL BALANCED FUND-C B 367.92 3.68 0.00 3.68 90.0 3.31 01/03/2018 00088406298 ARMIJO, RICHARD MF GLOBAL BALANCED FUND-C B 275.94 2.76 0.00 2.76 90.0 2.48 01/03/2018 00088406303 DOAK, SKYLER MF GLOBAL BALANCED FUND-C B 136.17 1.36 0.00 1.36 90.0 1.22 01/03/2018 00088406303 DOAK, SKYLER MF GLOBAL BALANCED FUND-C B 136.17 1.36 0.00 1.36 90.0 1.22 01/02/2018 00088522542 VICKERS, GRACE MF AMERICAN BALANCED FUND-C B 12.06 0.12 0.00 0.12 90.0 0.11 01/02/2018 00088522542 VICKERS, GRACE MF AMERICAN BALANCED FUND-C B 60.28 0.60 0.00 0.60 90.0 0.54 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 0.00 1.44 90.0 1.30 01/02/2018 00088523329 MELTON, JUSTIN MF AMERICAN BALANCED FUND-C B 15.00 0.15 0.00 0.15 90.0 0.15 01/02/2018 00089529281 CUNNINGHAM, AL MF CAPITAL INCOME BUILDER-529A B 500.00 8.00 0.00 8.00 90.0 7.20 11/02/2018 00089520351 LOPEZ, LUIS MF AMERICAN BALANCED FUND-C B 73.08 0.73 0.00 0.73 90.0 0.66 01/02/2018 00089202061 LOPEZ, LUIS MF AMERICAN BALANCED FUND-C B 73.08 0.73 0.00 0.73 90.0 0.66 01/02/2018 00089202061 LOPEZ, LUIS MF AMERICAN BALANCED FUND-C B 73.08 0.73 0.00 0.73 90.0 0.66 01/02/2018 00089202061 LOPEZ, LUIS MF AMERICAN BALANCED FUND-C B 73.08 0.73 0.00 0.73 90.0 0.66 01/02/2018 00089202061 LOPEZ, LUIS MF AMERICAN BALANCED FUND-C B 73.08 0.73 0.00 0.73 90.0 0.66 01/02/2018 00089202061 LOPEZ, LUIS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 1.15 90.0 0.66 01/02/2018 00089202061 LOPEZ, LUIS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 1.00 0.00 0.73 90.0 0.66 01/02/2018 00089202061 LOPEZ, LUIS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 0.00 0.75 90.0 0.57 90.0 0.57 90.0 0.57 90.0 0.57 90.0 0.57 90.0 0.57 90.0 0.55 90.0 0.55 90.	01/03/2018 00	0088406286 BATT, HUNTER	MF	GLOBAL BALANCED FUND-C	В	281.23	2.81	0.00	2.81	90.0	2.53
01/03/2018 00088406298 ARMIJO, RICHARD MF GLOBAL BALANCED FUND-C B 275.94 2.76 0.00 2.76 90.0 2.48 01/03/2018 00088406303 DOAK, SKYLER MF GLOBAL BALANCED FUND-C B 136.17 1.36 0.00 1.36 90.0 1.22 01/03/2018 00088406303 DOAK, SKYLER MF GLOBAL BALANCED FUND-C B 136.17 1.36 0.00 1.36 90.0 1.22 01/02/2018 00088522542 VICKERS, GRACE MF AMERICAN BALANCED FUND-C B 12.06 0.12 0.00 0.12 90.0 0.11 01/02/2018 00088522542 VICKERS, GRACE MF AMERICAN BALANCED FUND-C B 60.28 0.60 0.00 0.60 90.0 0.54 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523319 MELTON, JUSTIN MF AMERICAN BALANCED FUND-C B 15.00 0.15 0.00 0.15 90.0 0.15 10/02/2018 00089529281 CUNNINGHAM, AL MF CAPITAL INCOME BUILDER-529A B 500.00 0.15 0.00 0.15 90.0 0.15 90.0 0.16 01/02/2018 00089009735 WYLIE, LINCOLN MF THE GROWTH FUND OF AMERICA-529C B 100.00 1.00 0.00 1.00 0.01 1.00 90.0 0.90 01/02/2018 00089202061 LOPEZ, LUIS MF AMERICAN BALANCED FUND-C B 73.08 0.73 0.00 0.73 90.0 0.66 01/02/2018 00089202061 LOPEZ, LUIS MF AMERICAN BALANCED FUND-C B 73.08 0.73 0.00 0.73 90.0 0.66 01/02/2018 00089202119 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 1.15 90.0 1.04 01/02/2018 00089202119 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 1.15 90.0 1.04 01/02/2018 00089202119 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 1.57 90.0 0.57 90.0 0.57 90.0 0.57 90.0 0.56 01/02/2018 0008930095 KING, JACOB MF THE GROWTH FUND OF AMERICA-A B 57.00 0.57 0.00 0.57 90.0 0.57 90.0 0.59 12/26/2017 00089380378 WOOLSEY, MARISA MF THE GROWTH FUND OF AMERICA-A B 64.54 0.65 0.00 0.65 0.00 0.65 90.0 0.59 12/26/2017 00089380378 WOOLSEY, MARISA MF THE GROWTH FUND OF AMERICA-A B 64.54 0.65 0.00 0.65 0.00 0.65 90.0 0.59 12/26/2017 00089380378 WOOLSEY, MARISA MF THE GROWTH FUND OF AMERICA-A B 64.54	01/03/2018 00	0088406286 BATT, HUNTER	MF	GLOBAL BALANCED FUND-C	В	140.62	1.41	0.00	1.41	90.0	1.27
01/03/2018 00088406303 DOAK, SKYLER MF GLOBAL BALANCED FUND-C B 136.17 1.36 0.00 1.36 90.0 1.22 01/03/2018 00088406303 DOAK, SKYLER MF GLOBAL BALANCED FUND-C B 136.17 1.36 0.00 1.36 90.0 1.22 01/02/2018 00088522542 VICKERS, GRACE MF AMERICAN BALANCED FUND-C B 12.06 0.12 0.00 0.12 90.0 0.11 01/02/2018 00088523542 VICKERS, GRACE MF AMERICAN BALANCED FUND-C B 60.28 0.60 0.00 0.00 0.60 90.0 0.54 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523319 MBLITON, JUSTIN MF AMERICAN BALANCED FUND-C B 15.00 0.15 0.00 0.00 8.00 90.0 7.20 12/29/2017 00088532319 MBLITON, JUSTIN MF AMERICAN BALANCED FUND-C B 15.00 0.15 0.00 0.15 90.0 0.14 01/02/2018 00089009735 WYLIE, LINCOLN MF THE GROWTH FUND OF AMERICA-529C B 100.00 1.00 0.00 1.00 90.0 0.90 0.90 0.	01/03/2018 00	0088406298 ARMIJO, RICHARD	MF	GLOBAL BALANCED FUND-C	В	367.92	3.68	0.00	3.68	90.0	3.31
01/03/2018 00088406303 DOAK, SKYLER MF GLOBAL BALANCED FUND-C B 136.17 1.36 0.00 1.36 90.0 1.22 01/02/2018 00088522542 VICKERS, GRACE MF AMERICAN BALANCED FUND-C B 12.06 0.12 0.00 0.12 90.0 0.11 01/02/2018 00088522542 VICKERS, GRACE MF AMERICAN BALANCED FUND-C B 60.28 0.60 0.00 0.60 90.0 0.54 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523326 BROWN, JUSTIN MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523326 BROWN, JUSTIN MF AMERICAN BALANCED FUND-C B 15.00 0.00 8.00 0.00 8.00 90.0 7.20 12/29/2017 00088532319 MELTON, JUSTIN MF AMERICAN BALANCED FUND-C B 15.00 0.15 0.00 0.15 90.0 0.14 01/02/2018 0008909735 WYLIE, LINCOLM MF THE GROWTH FUND OF AMERICA-529C B 100.00 1.00 0.00 1.00 90.0 0.90 01/02/2018 000890202061 LOPEZ, LUIS MF AMERICAN BALANCED FUND-C B 73.08 0.73 0.00 0.73 90.0 0.66 01/02/2018 00089202061 LOPEZ, LUIS MF AMERICAN BALANCED FUND-C B 73.08 0.73 0.00 0.73 90.0 0.66 01/02/2018 00089202019 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 1.15 90.0 1.04 01/02/2018 00089202119 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 1.15 90.0 1.04 01/02/2018 00089202119 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 1.15 90.0 0.51 1.04 01/02/2018 00089380395 KING, JACOB MF THE GROWTH FUND OF AMERICA-A B 57.00 0.57 0.00 0.57 90.0 0.51 12/26/2017 00089380395 KING, JACOB MF THE GROWTH FUND OF AMERICA-A B 57.00 0.57 0.00 0.55 90.0 0.55 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 66.45 0.66 0.00 0.66 90.0 0.66 90.0 0.75 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 66.45 0.66 0.00 0.66 90.0 0.56 90.0 0.57 90.0 0.57 90.0 0.55 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 66.45 0.66 0.00 0.66 90.0 0.56 90.0 0.57 90.0 0.57 90.0 0.57 90.0 0.56 90.0 0.56 90.0 0.57 90.0 0.57 90.0 0.57 90.0 0.57 90.0 0.57 90.0 0.55 90.0 0			MF	GLOBAL BALANCED FUND-C	В	275.94	2.76	0.00	2.76	90.0	
01/02/2018 00088522542 VICKERS, GRACE MF AMERICAN BALANCED FUND-C B 60.28 0.60 0.00 0.60 90.0 0.54 01/02/2018 00088522542 VICKERS, GRACE MF AMERICAN BALANCED FUND-C B 60.28 0.60 0.00 0.60 90.0 0.54 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523329 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 15.00 0.00 0.00 8.00 90.0 7.20 01/02/2018 00088523319 MELTON, JUSTIN MF AMERICAN BALANCED FUND-C B 15.00 0.15 0.00 0.15 90.0 0.14 01/02/2018 0008909735 WYLIE, LINCOLN MF THE GROWTH FUND OF AMERICA-529C B 100.00 1.00 0.00 0.10 90.0 0.00 0.00 0.			MF		В	136.17	1.36	0.00	1.36	90.0	
01/02/2018 00088522542 VICKERS, GRACE MF AMERICAN BALANCED FUND-C B 60.28 0.60 0.00 0.60 90.0 0.54 01/02/2018 00088522542 VICKERS, GRACE MF AMERICAN BALANCED FUND-C B 60.28 0.60 0.00 0.60 90.0 0.54 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523329 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 15.00 0.00 0.00 0.00 8.00 90.0 7.20 01/02/2018 00088523319 MELTON, JUSTIN MF AMERICAN BALANCED FUND-C B 15.00 0.15 0.00 0.15 90.0 0.14 01/02/2018 0008909735 WYLIE, LINCOLN MF THE GROWTH FUND OF AMERICA-529C B 100.00 1.00 0.00 1.00 90.0 0.00 0.00 0.			MF		В	136.17	1.36	0.00	1.36	90.0	
01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088529281 CUNNINGHAM, AL MF CAPITAL INCOME BUILDER-529A B 500.00 8.00 0.00 8.00 90.0 7.20 12/29/2017 00088532319 MELTON, JUSTIN MF AMERICAN BALANCED FUND-C B 15.00 0.15 0.00 0.15 90.0 0.15 90.0 0.10 01/02/2018 00089099735 WYLIE, LINCOLN MF THE GROWTH FUND OF AMERICA-529C B 100.00 1.00 0.00 1.00 90.0 0.90 0.90 01/02/2018 00089202061 LOPEZ, LUIS MF AMERICAN BALANCED FUND-C B 73.08 0.73 0.00 0.73 90.0 0.66 01/02/2018 00089202061 LOPEZ, LUIS MF AMERICAN BALANCED FUND-C B 73.08 0.73 0.00 0.73 90.0 0.66 01/02/2018 00089202019 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 1.15 90.0 1.04 01/02/2018 00089202119 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 1.15 90.0 1.04 12/26/2017 00089380095 KING, JACOB MF THE GROWTH FUND OF AMERICA-A B 57.00 0.57 0.00 0.57 90.0 0.51 1.2/26/2017 00089380095 KING, JACOB MF THE GROWTH FUND OF AMERICA-A B 100.00 1.00 0.00 0.00 0.66 90.0 0.59 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 64.54 0.65 0.00 0.65 90.0 0.65 90.0 0.57 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 64.54 0.65 0.00 0.65 90.0 0.57 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 64.54 0.65 0.00 0.66 90.0 0.57 90.0 0.57 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 64.54 0.65 0.00 0.65 90.0 0.57 90.0 0.57 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 66.56 0.06 0.06 0.00 0.65 90.0 0.57 90.0 0			MF		В	12.06	0.12	0.00	0.12	90.0	
01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088529281 CUNNINGHAM, AL MF CAPITAL INCOME BUILDER-529A B 500.00 8.00 0.00 8.00 90.0 7.20 12/29/2017 00088532319 MELTON, JUSTIN MF AMERICAN BALANCED FUND-C B 15.00 0.15 0.00 0.15 90.0 0.14 01/02/2018 00089009735 WYLIE, LINCOLN MF THE GROWTH FUND OF AMERICA-529C B 100.00 1.00 0.00 1.00 90.0 0.90 01/02/2018 00089202061 LOPEZ, LUIS MF AMERICAN BALANCED FUND-C B 73.08 0.73 0.00 0.73 90.0 0.66 01/02/2018 00089202119 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 1.15 90.0 1.04 01/02/2018 00089202119 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 1.15 90.0 1.04 01/02/2018 00089202119 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 1.15 90.0 1.04 01/02/2018 00089202119 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 1.15 90.0 1.04 01/02/2018 00089380095 KING, JACOB MF THE GROWTH FUND OF AMERICA-A B 57.00 0.57 0.00 0.57 90.0 0.51 1.04 01/02/2017 00089380095 KING, JACOB MF THE GROWTH FUND OF AMERICA-A B 100.00 1.00 0.00 1.00 90.0 0.55 90.0 0.59 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 64.54 0.65 0.00 0.66 90.0 0.56 90.0 0.57 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 86.06 0.86 0.00 0.86 90.0 0.77 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 86.06 0.86 0.00 0.86 90.0 0.77 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 86.06 0.86 0.00 0.86 90.0 0.77 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 86.06 0.86 0.00 0.86 90.0 0.77 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 86.06 0.86 0.00 0.86 90.0 0.51 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 86.06 0.86 0.00 0.51 90.0 0.51 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 86.06 0.86 0.00 0.51 90.0 0.51 90.0 0.46			MF	AMERICAN BALANCED FUND-C	В	60.28	0.60	0.00	0.60	90.0	
01/02/2018 00088523326 BROWN, BRANDON MF NEW PERSPECTIVE FUND-C B 143.99 1.44 0.00 1.44 90.0 1.30 01/02/2018 00088529281 CUNNINGHAM, AL MF CAPITAL INCOME BUILDER-529A B 500.00 8.00 0.00 8.00 90.0 7.20 12/29/2017 00088532319 MELTON, JUSTIN MF AMERICAN BALANCED FUND-C B 15.00 0.15 0.00 0.15 90.0 0.14 01/02/2018 00089009735 WYLIE, LINCOLN MF THE GROWTH FUND OF AMERICA-529C B 100.00 1.00 0.00 1.00 90.0 0.90 01/02/2018 00089202061 LOPEZ, LUIS MF AMERICAN BALANCED FUND-C B 73.08 0.73 0.00 0.73 90.0 0.66 01/02/2018 00089202061 LOPEZ, LUIS MF AMERICAN BALANCED FUND-C B 73.08 0.73 0.00 0.73 90.0 0.66 01/02/2018 00089202011 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 1.15 90.0 1.04 01/02/2018 00089202119 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 1.15 90.0 1.04 01/02/2018 00089202119 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 1.15 90.0 0.51 90.0 0.51 12/26/2017 00089380095 KING, JACOB MF THE GROWTH FUND OF AMERICA-A B 57.00 0.57 0.00 0.57 90.0 0.51 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 64.54 0.65 0.00 0.65 90.0 0.59 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 86.06 0.86 0.00 0.86 90.0 0.77 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 86.06 0.86 0.00 0.51 90.0 0.57 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 86.06 0.86 0.00 0.51 90.0 0.57 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 86.06 0.86 0.00 0.51 90.0 0.57 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 86.06 0.86 0.00 0.51 90.0 0.51 90.0 0.46			MF		В	143.99	1.44	0.00	1.44	90.0	
01/02/2018 00088529281 CUNNINGHAM, AL MF CAPITAL INCOME BUILDER-529A B 500.00 8.00 0.00 8.00 90.0 7.20 12/29/2017 00088532319 MELTON, JUSTIN MF AMERICAN BALANCED FUND-C B 15.00 0.15 0.00 0.15 90.0 0.14 01/02/2018 00089009735 WYLIE, LINCOLN MF THE GROWTH FUND OF AMERICA-529C B 100.00 1.00 0.00 1.00 90.0 0.90 01/02/2018 00089202061 LOPEZ, LUIS MF AMERICAN BALANCED FUND-C B 73.08 0.73 0.00 0.73 90.0 0.66 01/02/2018 00089202061 LOPEZ, LUIS MF AMERICAN BALANCED FUND-C B 73.08 0.73 0.00 0.73 90.0 0.66 01/02/2018 000892020119 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 1.15 90.0 1.04 01/02/2018 00089202119 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 1.15 90.0 1.04 01/02/2018 00089202119 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 1.15 90.0 1.04 01/02/2017 00089380095 KING, JACOB MF THE GROWTH FUND OF AMERICA-A B 57.00 0.57 0.00 0.57 90.0 0.51 0.51 0.00 0.57 90.0 0.51 0.2266/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 64.54 0.65 0.00 0.66 90.0 0.59 0.59 0.59 0.59 0.59 0.59 0.59			MF	NEW PERSPECTIVE FUND-C	В	143.99	1.44	0.00	1.44	90.0	
12/29/2017 00088532319 MELTON, JUSTIN       MF       AMERICAN BALANCED FUND-C       B       15.00       0.15       0.00       0.15       90.0       0.14         01/02/2018 00089009735 WYLIE, LINCOLN       MF       THE GROWTH FUND OF AMERICA-529C B       100.00       1.00       0.00       1.00       90.0       0.90         01/02/2018 00089202061 LOPEZ, LUIS       MF       AMERICAN BALANCED FUND-C       B       73.08       0.73       0.00       0.73       90.0       0.66         01/02/2018 00089202119 LUCERO, JESUS       MF       AMERICAN BALANCED FUND-C       B       114.85       1.15       0.00       1.15       90.0       1.04         01/02/2018 00089202119 LUCERO, JESUS       MF       AMERICAN BALANCED FUND-C       B       114.85       1.15       0.00       1.15       90.0       1.04         12/26/2017 00089380095 KING, JACOB       MF       THE GROWTH FUND OF AMERICA-A       B       57.00       0.57       0.00       0.57       90.0       0.51         12/26/2017 00089380095 KING, JACOB       MF       THE GROWTH FUND OF AMERICA-A       B       100.00       1.00       0.00       1.00       90.0       0.59         12/26/2017 00089380378 WOOLSEY, MARISA       MF       AMERICAN BALANCED FUND-A       B       64.54			MF	CAPITAL INCOME BUILDER-529A	В	500.00	8.00	0.00	8.00	90.0	
01/02/2018 00089009735 WYLIE, LINCOLN MF THE GROWTH FUND OF AMERICA-529C B 100.00 1.00 0.00 1.00 90.0 0.90 0.90 0.			MF	AMERICAN BALANCED FUND-C	В	15.00	0.15	0.00	0.15	90.0	
01/02/2018 00089202061 LOPEZ, LUIS MF AMERICAN BALANCED FUND-C B 73.08 0.73 0.00 0.73 90.0 0.66 01/02/2018 00089202061 LOPEZ, LUIS MF AMERICAN BALANCED FUND-C B 73.08 0.73 0.00 0.73 90.0 0.66 01/02/2018 00089202119 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 1.15 90.0 1.04 01/02/2018 00089202119 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 1.15 90.0 1.04 1.04 01/02/2018 00089202119 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 1.15 90.0 1.04 1.02/26/2017 00089380095 KING, JACOB MF THE GROWTH FUND OF AMERICA-A B 57.00 0.57 0.00 0.57 90.0 0.51 1.02/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 64.54 0.65 0.00 0.65 90.0 0.59 1.2/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 86.06 0.86 0.00 0.86 90.0 0.77 1.2/26/2017 00089393166 ROSS, STEPHEN MF THE GROWTH FUND OF AMERICA-A B 51.00 0.51 0.00 0.51 90.0 0.46	01/02/2018 00	0089009735 WYLIE, LINCOLN	MF		СВ	100.00	1.00		1.00	90.0	
01/02/2018 00089202119 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 1.15 90.0 1.04 01/02/2018 00089202119 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 1.15 90.0 1.04 1.04 1.02/2017 00089380095 KING, JACOB MF THE GROWTH FUND OF AMERICA-A B 57.00 0.57 0.00 0.57 90.0 0.51 1.02/26/2017 00089380095 KING, JACOB MF THE GROWTH FUND OF AMERICA-A B 100.00 1.00 0.00 1.00 90.0 0.90 1.02/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 64.54 0.65 0.00 0.65 90.0 0.59 1.02/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 86.06 0.86 0.00 0.86 90.0 0.77 1.02/26/2017 00089393166 ROSS, STEPHEN MF THE GROWTH FUND OF AMERICA-A B 51.00 0.51 0.00 0.51 90.0 0.46			MF	AMERICAN BALANCED FUND-C	В		0.73		0.73	90.0	
01/02/2018 00089202119 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 1.15 90.0 1.04 01/02/2018 00089202119 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 1.15 90.0 1.04 1.04 1.02/2017 00089380095 KING, JACOB MF THE GROWTH FUND OF AMERICA-A B 57.00 0.57 0.00 0.57 90.0 0.51 1.02/26/2017 00089380095 KING, JACOB MF THE GROWTH FUND OF AMERICA-A B 100.00 1.00 0.00 1.00 90.0 0.90 1.02/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 64.54 0.65 0.00 0.65 90.0 0.59 1.02/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 86.06 0.86 0.00 0.86 90.0 0.77 1.02/26/2017 00089393166 ROSS, STEPHEN MF THE GROWTH FUND OF AMERICA-A B 51.00 0.51 0.00 0.51 90.0 0.46	01/02/2018 00	0089202061 LOPEZ, LUIS	MF	AMERICAN BALANCED FUND-C	В	73.08	0.73	0.00	0.73	90.0	0.66
01/02/2018 00089202119 LUCERO, JESUS MF AMERICAN BALANCED FUND-C B 114.85 1.15 0.00 1.15 90.0 1.04 12/26/2017 00089380095 KING, JACOB MF THE GROWTH FUND OF AMERICA-A B 57.00 0.57 0.00 0.57 90.0 0.51 12/26/2017 00089380095 KING, JACOB MF THE GROWTH FUND OF AMERICA-A B 100.00 1.00 0.00 1.00 90.0 0.90 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 64.54 0.65 0.00 0.65 90.0 0.59 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 86.06 0.86 0.00 0.86 90.0 0.77 12/26/2017 00089393166 ROSS, STEPHEN MF THE GROWTH FUND OF AMERICA-A B 51.00 0.51 0.00 0.51 90.0 0.46											
12/26/2017 00089380095 KING, JACOB MF THE GROWTH FUND OF AMERICA-A B 57.00 0.57 0.00 0.57 90.0 0.51 12/26/2017 00089380095 KING, JACOB MF THE GROWTH FUND OF AMERICA-A B 100.00 1.00 0.00 1.00 90.0 0.90 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 64.54 0.65 0.00 0.65 90.0 0.59 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 86.06 0.86 0.00 0.86 90.0 0.77 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 51.00 0.51 0.00 0.51 90.0 0.46											
12/26/2017 00089380095 KING, JACOB MF THE GROWTH FUND OF AMERICA-A B 100.00 1.00 0.00 1.00 90.0 0.90 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 64.54 0.65 0.00 0.65 90.0 0.59 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 86.06 0.86 0.00 0.86 90.0 0.77 12/26/2017 00089393166 ROSS, STEPHEN MF THE GROWTH FUND OF AMERICA-A B 51.00 0.51 0.00 0.51 90.0 0.46											
12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 64.54 0.65 0.00 0.65 90.0 0.59 12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 86.06 0.86 0.00 0.86 90.0 0.77 12/26/2017 00089393166 ROSS, STEPHEN MF THE GROWTH FUND OF AMERICA-A B 51.00 0.51 0.00 0.51 90.0 0.46											
12/26/2017 00089380378 WOOLSEY, MARISA MF AMERICAN BALANCED FUND-A B 86.06 0.86 0.00 0.86 90.0 0.77 12/26/2017 00089393166 ROSS, STEPHEN MF THE GROWTH FUND OF AMERICA-A B 51.00 0.51 0.00 0.51 90.0 0.46											
12/26/2017 00089393166 ROSS, STEPHEN MF THE GROWTH FUND OF AMERICA-A B 51.00 0.51 0.00 0.51 90.0 0.46											
				THE GROWTH FUND OF AMERICA-A	В	150.00	1.50	0.00			1.35

12/26/2017 00089393179 MILLER, MICAIAH MF THE GROWTH FUND OF AMERICA-A B 57.40 0.57 0.00 0.57 90.0 0. 01/02/2018 00089394673 MARY L PIPKIN MF THE GROWTH FUND OF AMERICA-C B 246.88 2.47 0.00 2.47 90.0 2. 01/02/2018 00089468348 WYLIE, KRISTIN MF NEW PERSPECTIVE FUND-C B 211.54 2.12 0.00 2.12 90.0 1.	.51 .51 .22 .91 .92 .08 .40
01/02/2018 00089394673 MARY L PIPKIN MF THE GROWTH FUND OF AMERICA-C B 246.88 2.47 0.00 2.47 90.0 2. 01/02/2018 00089468348 WYLIE, KRISTIN MF NEW PERSPECTIVE FUND-C B 211.54 2.12 0.00 2.12 90.0 1.	. 22 . 91 . 92 . 08 . 40
01/02/2018 00089468348 WYLIE, KRISTIN MF NEW PERSPECTIVE FUND-C B 211.54 2.12 0.00 2.12 90.0 1.	.91 .92 .08 .40
	. 92 . 08 . 40
01/03/2018 00089708175 PERKINS. RODNEY MF AMERICAN BALANCED FUND-C R 769 38 7 69 0 0 0 7 69 90 0 6	.08 .40 .40
01,00,101,000,001,011,011,001,011 III IIIIIIIIII	.40
01/03/2018 00089708175 PERKINS, RODNEY MF AMERICAN BALANCED FUND-C B 230.82 2.31 0.00 2.31 90.0 2.	.40
01/03/2018 00089708179 GATES, TRENTON MF AMERICAN BALANCED FUND-C B 156.00 1.56 0.00 1.56 90.0 1.	
01/03/2018 00089708179 GATES, TRENTON MF AMERICAN BALANCED FUND-C B 156.00 1.56 0.00 1.56 90.0 1.	Ω1
01/03/2018 00089708184 DOMINGUEZ, NOE MF AMERICAN BALANCED FUND-C B 100.67 1.01 0.00 1.01 90.0 0.	フエ
01/03/2018 00089708184 DOMINGUEZ, NOE MF AMERICAN BALANCED FUND-C B 100.67 1.01 0.00 1.01 90.0 0.	.91
01/02/2018 00089761583 GONZALEZ, RICARDO MF AMERICAN BALANCED FUND-C B 87.75 0.88 0.00 0.88 90.0 0.	.79
01/02/2018 00089761583 GONZALEZ, RICARDO MF AMERICAN BALANCED FUND-C B 87.75 0.88 0.00 0.88 90.0 0.	.79
01/02/2018 00089807491 WILLIAMSON, LISA MF AMERICAN BALANCED FUND-C B 300.00 3.00 0.00 3.00 90.0 2.	.70
01/02/2018 00089837015 DAVIS, PATRICK MF AMERICAN BALANCED FUND-C B 112.50 1.13 0.00 1.13 90.0 1.	.02
01/02/2018 00089837015 DAVIS, PATRICK MF AMERICAN BALANCED FUND-C B 112.50 1.13 0.00 1.13 90.0 1.	.02
01/02/2018 00089926478 RUTH S STEGER MF THE INVESTMENT COMPANY OF B 75.00 1.20 0.00 1.20 90.0 1.	.08
01/02/2018 04000020538 CUNNINGHAM, AL MF CAPITAL WORLD GROWTH AND B 500.00 8.00 0.00 8.00 90.0 7.	.20
12/26/2017 04000149180 DUGGAN, KENNETH MF THE GROWTH FUND OF AMERICA-A B 53.82 0.54 0.00 0.54 90.0 0.	.49
12/26/2017 04000149180 DUGGAN, KENNETH MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.	.54
12/26/2017 04000149281 EADY, ASHLEY MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.	.54
12/26/2017 04000149281 EADY, ASHLEY MF THE GROWTH FUND OF AMERICA-A B 60.00 0.60 0.00 0.60 90.0 0.	.54
12/26/2017 04000196925 ARANDA, EDGAR MF AMERICAN BALANCED FUND-A B 49.00 0.49 0.00 0.49 90.0 0.	.44
12/26/2017 04000196925 ARANDA, EDGAR MF AMERICAN BALANCED FUND-A B 49.00 0.49 0.00 0.49 90.0 0.	.44
12/29/2017 04000251848 GUEVARA, MICHELLE MF AMERICAN BALANCED FUND-C B 16.00 0.16 0.00 0.16 90.0 0.	.14
01/03/2018 04000299306 ARMSTRONG, AREMY MF THE GROWTH FUND OF AMERICA-C B 213.00 2.13 0.00 2.13 90.0 1.	.92
01/03/2018 04000299306 ARMSTRONG, AREMY MF THE GROWTH FUND OF AMERICA-C B 213.00 2.13 0.00 2.13 90.0 1.	.92
01/03/2018 04000299388 GUZMAN, GABRIEL MF AMERICAN BALANCED FUND-C B 58.16 0.58 0.00 0.58 90.0 0.	.52
01/03/2018 04000299388 GUZMAN, GABRIEL MF AMERICAN BALANCED FUND-C B 58.16 0.58 0.00 0.58 90.0 0.	.52
01/03/2018 04000299406 SANDERS, THOMAS MF AMERICAN BALANCED FUND-C B 70.56 0.71 0.00 0.71 90.0 0.	.64
01/03/2018 04000299406 SANDERS, THOMAS MF AMERICAN BALANCED FUND-C B 70.56 0.71 0.00 0.71 90.0 0.	.64
12/29/2017 04000373393 HILL, THOMAS MF THE GROWTH FUND OF AMERICA-C B 79.84 0.80 0.00 0.80 90.0 0.	.72
12/29/2017 04000373393 HILL, THOMAS MF THE GROWTH FUND OF AMERICA-C B 79.84 0.80 0.00 0.80 90.0 0.	.72
01/05/2018 04000373393 HILL, THOMAS MF THE GROWTH FUND OF AMERICA-C B 85.38 0.85 0.00 0.85 90.0 0.	.77
01/05/2018 04000373393 HILL, THOMAS MF THE GROWTH FUND OF AMERICA-C B 85.38 0.85 0.00 0.85 90.0 0.	.77
12/29/2017 04000373417 POOVEY, RUSSELL MF THE GROWTH FUND OF AMERICA-C B 76.35 0.76 0.00 0.76 90.0 0.	. 68
12/29/2017 04000373417 POOVEY, RUSSELL MF THE GROWTH FUND OF AMERICA-C B 32.72 0.33 0.00 0.33 90.0 0.	. 30
	. 68
	.30
	. 45
	.19
	. 23
	.52
	.53
	. 23

				INVESTMENT	GROSS	CLEARING	NET		BROKER
TRADE DATE CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
01/05/2018 04000373465 HENSON, CHASE	MF	THE GROWTH FUND OF AMERICA-C	В	58.99	0.59	0.00	0.59	90.0	0.53
01/05/2018 04000373465 HENSON, CHASE	MF	THE GROWTH FUND OF AMERICA-C	В	25.28	0.25	0.00	0.25	90.0	0.23
12/29/2017 04000374817 POUNDS, DAVID	MF	CAPITAL WORLD GROWTH AND INCOM		17.82	0.21	0.00	0.21	90.0	0.19
12/29/2017 04000374817 POUNDS, DAVID	MF	CAPITAL WORLD GROWTH AND INCOM		17.81	0.21	0.00	0.21	90.0	0.19
12/29/2017 04000374817 POUNDS, DAVID	MF	FUNDAMENTAL INVESTORS-A	В	17.81	0.21	0.00	0.21	90.0	0.19
12/29/2017 04000374817 POUNDS, DAVID	MF	FUNDAMENTAL INVESTORS-A	В	17.81	0.21	0.00	0.21	90.0	0.19
12/29/2017 04000374817 POUNDS, DAVID	MF	NEW PERSPECTIVE FUND-A	В	17.81	0.21	0.00	0.21		0.19
12/29/2017 04000374817 POUNDS, DAVID	MF	NEW PERSPECTIVE FUND-A	В	17.81	0.21	0.00	0.21		0.19
12/29/2017 04000374817 POUNDS, DAVID	MF	THE GROWTH FUND OF AMERICA-A	В	17.81	0.21	0.00	0.21	90.0	0.19
12/29/2017 04000374817 POUNDS, DAVID	MF	THE GROWTH FUND OF AMERICA-A	В	17.82	0.21	0.00	0.21	90.0	0.19
12/29/2017 04000374817 POUNDS, DAVID	MF	THE NEW ECONOMY FUND-A	В	17.81	0.21	0.00	0.21	90.0	0.19
12/29/2017 04000374817 POUNDS, DAVID	MF	THE NEW ECONOMY FUND-A	В	17.81	0.21	0.00	0.21	90.0	0.19
12/29/2017 04000415640 MORRIS, ASPEN	MF	THE GROWTH FUND OF AMERICA-C	В	3.05	0.03	0.00	0.03	90.0	0.03
12/29/2017 04000415640 MORRIS, ASPEN	MF	THE GROWTH FUND OF AMERICA-C	В	3.05	0.03	0.00	0.03	90.0	0.03
01/05/2018 04000415640 MORRIS, ASPEN	MF	THE GROWTH FUND OF AMERICA-C	В	10.16	0.10	0.00	0.10	90.0	0.09
01/05/2018 04000415640 MORRIS, ASPEN	MF	THE GROWTH FUND OF AMERICA-C	В	10.16	0.10	0.00	0.10	90.0	0.09
01/02/2018 04000471457 ROHRBACH, NICOLE	MF	THE GROWTH FUND OF AMERICA-C	В	249.34	2.49	0.00	2.49	90.0	2.24
01/02/2018 04000639778 HAZEL E WILLIAMS	MF	AMERICAN BALANCED FUND-C	В	8,481.34	84.81	0.00	84.81	90.0	76.33
01/03/2018 04000641992 SANDAGE, SHARROD	MF	AMERICAN BALANCED FUND-C	В	130.41	1.30	0.00	1.30	90.0	1.17
01/03/2018 04000641992 SANDAGE, SHARROD	MF	AMERICAN BALANCED FUND-C	В	130.41	1.30	0.00	1.30	90.0	1.17
01/02/2018 04000652364 CROSS, SETH	MF	GLOBAL GROWTH PORTFOLIO-C	В	500.00	5.00	0.00	5.00	90.0	4.50
		* MUTUAL FUNDS SUBTOTAL	*	\$46,516.90	\$522.48	\$0.00	\$522.48		\$470.55
PRODUCT CATEGORY: VARIABLE ANNUITI	ES								
12/15/2017 (None) ATER, APRIL	VA	VOYA LIFE	В	0.00	1.25	0.00	1.25	90.0	1.13
12/28/2017 (None) FAWELL, BRENDA	VA	DELAWARE LIFE	В	0.00	27.15	0.00	27.15	90.0	24.44
12/31/2017 009024850A SHAW, VINSON	VA	PERSPECTIVE-RPL	В	72,258.09	15.05	0.00	15.05	90.0	13.55
		* VARIABLE ANNUITIES SUBTOTAL	*	\$72,258.09	\$43.45	\$0.00	\$43.45		\$39.12
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRΔ	TT.S							
12/29/2017 (None) GARNER, DALE		r virtus	В	0.00	19.89	0.00	19.89	90.0	17.90
12/31/2017 (None) VARIOUS, BOYD D		r oppenheimer	В	0.00	180.94	0.00	180.94	90.0	162.85
01/01/2018 00004312920 LAVERNE TRAVIS		F INVESCO DIVERSIFIED DIVIDEND F		0.00	38.83	0.00	38.83	90.0	34.95
01/01/2018 00004312920 LAVERNE TRAVIS		F INVESCO EQUITY AND INCOME FUND		0.00	29.29	0.00	29.29	90.0	26.36
01/01/2018 00004312920 LAVERNE TRAVIS		r invesco international core eqt		0.00	16.43	0.00	16.43		14.79
01/01/2018 00004312920 LAVERNE TRAVIS		I INVESCO MID CAP GROWTH FUND -	В	0.00	14.43	0.00	14.43		12.99
01/01/2018 00095733390 TRAVIS, LAVERNE		F INVESCO DIVERSIFIED DIVIDEND F		0.00	17.56	0.00	17.56		15.80
01/01/2018 00095733390 TRAVIS, LAVERNE		F INVESCO EQUITY AND INCOME FUND		0.00	13.80	0.00	13.80		12.42
01/01/2018 00095733390 TRAVIS, LAVERNE		F INVESCO INTERNATIONAL CORE EQT		0.00	7.46	0.00		90.0	6.71
01/01/2018 00095733390 TRAVIS, LAVERNE		F INVESCO MID CAP GROWTH FUND -		0.00	6.82	0.00		90.0	6.14
01/01/2018 010000513300 BARTLEY, KEITH		F AIM CHARTER FUND - CLASS A	В	0.00	1.28	0.00		90.0	1.15
01/01/2018 01000051300 BARTLEY, KEITH		F AIM INTERNATIONAL GROWTH FUND		0.00	0.68	0.00		90.0	0.61
01/01/2018 01000051300 BARTLEY, KEITH		F INVESCO AMERICAN FRANCHISE FUN		0.00	11.29	0.00	11.29		10.16
01/01/2018 01000051300 BARILEY, KEITH 01/01/2018 01000051304 BARTLEY, CAROL		I INVESCO AMERICAN FRANCHISE FUNI I AIM CHARTER FUND - CLASS A	В	0.00	0.06	0.00		90.0	0.05
01/01/2018 01000051304 BARTLEY, CAROL				0.00	0.03	0.00		90.0	0.03
01/01/2010 01000001304 BARILLI, CAROL	TATE,	I AIM INTERNATIONAL GROWTH FUND	Б	0.00	0.03	0.00	0.03	50.0	0.03

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## COMMISSION STATEMENT for DON BOYD-D076

			INVESTMENT	GROSS	CLEARING	NET		BROKER
TRADE DATE CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
01/01/2018 01000051304 BARTLEY, CAROL	MFT INVESCO AMERICAN FRANCHISE F	UND B	0.00	4.37	0.00	4.37	90.0	3.93
01/01/2018 02500062499 BARTLEY, CAROL	MFT AIM GROWTH ALLOCATION FUND -	В	0.00	8.42	0.00	8.42	90.0	7.58
01/01/2018 03811316151 SANDERS, MARY	MFT INVESCO AMERICAN FRANCHISE F	UND B	0.00	24.30	0.00	24.30	90.0	21.87
	* MUTUAL FUND 12B1 TRAILS SUBTO	TAL *	\$0.00	\$395.88	\$0.00	\$395.88		\$356.29
PRODUCT CATEGORY: VARIABLE ANNUITY	12B1 TRAILS							
12/28/2017 (None) SHEEN, JANET	VAT SECURITY BENEFIT LIFE	В	0.00	9.59	0.00	9.59	90.0	8.63
12/30/2017 (None) FOLEY, PAMELA	VAT NATIONWIDE	В	0.00	23.50	0.00	23.50	90.0	21.15
12/30/2017 (None) STUBBLEFIELD, JUDY	VAT NATIONWIDE	В	0.00	20.08	0.00	20.08	90.0	18.07
12/31/2017 (None) STUBBLEFIELD, JUDY	VAT LINCOLN NATL LIFE	В	0.00	399.07	0.00	399.07	90.0	359.16
12/31/2017 (None) HUNTLEY, ANN	VAT LINCOLN NATL LIFE	В	0.00	283.74	0.00	283.74	90.0	255.37
12/31/2017 (None) STUBBLEFIELD, JUDY	VAT PRUDENTIAL LIFE	В	0.00	309.61	0.00	309.61	90.0	278.65
01/05/2018 (None) GIBBS, TERRY	VAT AXA LIFE	В	0.00	31.21	0.00	31.21	90.0	28.09
01/05/2018 (None) DAY, KENNIE	VAT AXA LIFE	В	0.00	129.73	0.00	129.73	90.0	116.76
01/05/2018 (None) HORNSTRA, JOHN	VAT AXA LIFE	В	0.00	200.10	0.00	200.10	90.0	180.09
01/05/2018 (None) GARNER, DALE	VAT JOHN HANCOCK LIFE	В	0.00	11.02	0.00	11.02	90.0	9.92
12/31/2017 00712280202 TUGGLE, DELMER	VAT HARTFORD LIFE	В	0.00	39.26	0.00	39.26	90.0	35.33
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,456.91	\$0.00	\$1,456.91		\$1,311.22
*** DIRECT TRANSACTIONS TOTAL ***			\$118,774.99	\$2,418.72	\$0.00	\$2,418.72		\$2,177.18

## BROKER COMMISSION TOTALS

\$4,759.21

Broker Transactions	\$2,177.18
BIOREI II alisactions	\$2,177.10
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00
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Net Commissions	\$2,177.18

Year-to-date Commissions