



Concorde Investment Services, LLC

01/27/2017  
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# COMMISSION STATEMENT

January 31, 2017

WILLIAM WALSH  
2565 West Maple

BROKER #: W127  
BRANCH: WILLIAM WALSH

Troy, MI 48084

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/17/2017	WALSH, CAROLINE	AM FUNDS AMCAP 529A	100.00	2.00	0.00	2.00	87.0	1.74
01/17/2017	WALSH, BENJAMIN	AM FUNDS AMCAP 529A	50.00	1.00	0.00	1.00	87.0	0.87
01/17/2017	WALSH, DALTON	AM FUNDS AMCAP 529A	50.00	1.00	0.00	1.00	87.0	0.87
01/17/2017	WALSH, CAROLINE	AM FUNDS AMERICAN BALANCED 529A	100.00	2.00	0.00	2.00	87.0	1.74
01/17/2017	WALSH, BENJAMIN	AM FUNDS AMERICAN BALANCED 529A	50.00	1.00	0.00	1.00	87.0	0.87
01/17/2017	WALSH, DALTON	AM FUNDS AMERICAN BALANCED 529A	50.00	1.00	0.00	1.00	87.0	0.87
01/17/2017	WALSH, CAROLINE	AM FUNDS CAPITAL INCOME BUILDER 529	100.00	2.00	0.00	2.00	87.0	1.74
01/17/2017	WALSH, BENJAMIN	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	1.00	0.00	1.00	87.0	0.87
01/17/2017	WALSH, DALTON	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	1.00	0.00	1.00	87.0	0.87
01/17/2017	WALSH, CAROLINE	AM FUNDS CAPITAL WORLD GRTH & INC 5	100.00	2.00	0.00	2.00	87.0	1.74
01/17/2017	WALSH, BENJAMIN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	1.00	0.00	1.00	87.0	0.87
01/17/2017	WALSH, DALTON	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	1.00	0.00	1.00	87.0	0.87
01/01/2017	HESSELL, LOUIS	INVESCO CHARTER FUND A	0.00	44.66	0.00	44.66	87.0	38.85
01/01/2017	HESSELL, LOUIS	INVESCO CHARTER FUND B	0.00	7.66	0.00	7.66	87.0	6.66
01/01/2017	HESSELL, LOUIS	INVESCO EQUITY AND INCOME A	0.00	23.36	0.00	23.36	87.0	20.32
01/01/2017	HESSELL, LOUIS	INVESCO EQUITY AND INCOME FUND CL B	0.00	8.36	0.00	8.36	87.0	7.27
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$800.00</b>	<b>\$100.04</b>	<b>\$0.00</b>	<b>\$100.04</b>		<b>\$87.02</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
/ /	ACADEMY MANAGEMENT	NW RETIREMENT CLR ADVANTAGE	811,819.54	166.81	0.00	166.81	87.0	145.12
/ /	PREMIERE PACKAGING	NWL FLEX ADVANTAGE	973,067.27	99.97	0.00	99.97	87.0	86.97
/ /	PREMIERE PACKAGING	NWL FLEX ADVANTAGE	1,904,526.93	195.67	0.00	195.67	87.0	170.23
/ /	SHAW & SLAVSKY	NWL FLEX ADVANTAGE	2,630,611.62	540.54	0.00	540.54	87.0	470.27
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	1,407,618.43	289.24	0.00	289.24	87.0	251.64
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	485,386.31	99.74	0.00	99.74	87.0	86.77
/ /	COMMUNITY HOUSE	NWL FLEX ADVANTAGE	17,092.92	3.51	0.00	3.51	87.0	3.05
/ /	SHAW & SLAVSKY	NWL POOLED FXD	14,080.67	2.89	0.00	2.89	87.0	2.51

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
/ /	COMMUNITY HOUSE	NWL POOLED FXD	144.91	0.03	0.00	0.03	87.0	0.03
/ /	DETROIT CONNECTION	NWL RETIREMENT INNOVATOR	423,715.45	104.48	0.00	104.48	87.0	90.90
/ /	DETROIT CONNECTION	NWL SELF DIRECTED BROKERAGE GRP	39,323.15	9.70	0.00	9.70	87.0	8.44
/ /	MARYSVILLE ETHANOL	NWL SELF DIRECTED BROKERAGE GRP	93,311.70	19.17	0.00	19.17	87.0	16.68
01/05/2017	WILLIAMS, JUDITH	PAC LIFE INNOVATIVE SELECT	0.00	1,550.92	0.00	1,550.92	87.0	1,349.30
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$8,800,698.90</b>	<b>\$3,082.67</b>	<b>\$0.00</b>	<b>\$3,082.67</b>		<b>\$2,681.91</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/31/2016	CHICAGO WICKER	ADP TRAILS	0.00	2,533.90	0.00	2,533.90	87.0	2,204.49
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$2,533.90</b>	<b>\$0.00</b>	<b>\$2,533.90</b>		<b>\$2,204.49</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
12/31/2016	WALSH, VARIOUS	JOHN HANCOCK LIFE INS CO	0.00	37.87	0.00	37.87	87.0	32.95
12/31/2016	WALSH, VARIOUS	MUTUAL OF OMAHA INS CO	0.00	11.74	0.00	11.74	87.0	10.21
12/31/2016	EVSSON EMP RET PLAN	MUTUAL OF OMAHA INS CO	0.00	160.06	0.00	160.06	87.0	139.25
01/17/2017	SENGSTOCK, FRANK	PAC LIFE PACIFIC CHOICE	0.00	783.23	0.00	783.23	87.0	681.41
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$992.90</b>	<b>\$0.00</b>	<b>\$992.90</b>		<b>\$863.82</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$8,801,498.90</b>	<b>\$6,709.51</b>	<b>\$0.00</b>	<b>\$6,709.51</b>		<b>\$5,837.24</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$5,837.24
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,837.24
Year-to-date Gross	\$13,246.41
Year-to-date Earnings	\$11,216.37