



COMMISSION STATEMENT

August 13, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|--------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 08/03/2018 | ***-**-425P - DELGAIZO, LOUISA | AMCAP FUND-A | 89.00 | 1.07 | 0.00 | 1.07 | 80.0 | 0.86 |
| | ACCOUNT #: 00063412117 | BUY 3 Units @34.5300 | | | | | | |
| 08/03/2018 | ***-**-535P - DELGAIZO, | AMCAP FUND-A | 89.00 | 1.07 | 0.00 | 1.07 | 80.0 | 0.86 |
| | ACCOUNT #: 00063451518 | BUY 3 Units @34.5300 | | | | | | |
| 07/31/2018 | ***-**-0006 - FORSYTH, KATHRYN | AMCAP FUND-C | 57.69 | 0.58 | 0.00 | 0.58 | 80.0 | 0.46 |
| | ACCOUNT #: 04000650661 | BUY 2 Units @29.7300 | | | | | | |
| 08/01/2018 | ***-**-539I - JALLOH, ABDULAI | AMCAP FUND-C | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 00084394270 | BUY 2 Units @29.6700 | | | | | | |
| 08/10/2018 | ***-**-8208 - KARNS, SHANNON | AMCAP FUND-C | 225.00 | 2.25 | 0.00 | 2.25 | 80.0 | 1.80 |
| | ACCOUNT #: 04000591533 | BUY 8 Units @29.8600 | | | | | | |
| 08/01/2018 | ***-**-975P - COTTONE, MARIA | AMERICAN BALANCED FUND-A | 250.00 | 9.38 | 0.00 | 9.38 | 80.0 | 7.50 |
| | ACCOUNT #: 00086785798 | BUY 9 Units @28.9300 | | | | | | |
| 08/03/2018 | ***-**-965P - MCGUIRE, SCOTT | AMERICAN BALANCED FUND-A | 40.00 | 0.40 | 0.00 | 0.40 | 80.0 | 0.32 |
| | ACCOUNT #: 00073798048 | BUY 1 Units @27.7300 | | | | | | |
| 08/03/2018 | ***-**-965P - MCGUIRE, SCOTT | AMERICAN BALANCED FUND-A | 56.99 | 0.57 | 0.00 | 0.57 | 80.0 | 0.46 |
| | ACCOUNT #: 00073798048 | BUY 2 Units @27.7300 | | | | | | |
| 08/03/2018 | ***-**-4263 - HARTER, IAN | AMERICAN BALANCED FUND-A | 20.00 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| | ACCOUNT #: 00089461113 | BUY 1 Units @27.7300 | | | | | | |
| 08/03/2018 | ***-**-4263 - HARTER, IAN | AMERICAN BALANCED FUND-A | 37.81 | 0.38 | 0.00 | 0.38 | 80.0 | 0.30 |
| | ACCOUNT #: 00089461113 | BUY 1 Units @27.7300 | | | | | | |
| 08/06/2018 | ***-**-395P - DIVASTA, ANTHONY | AMERICAN BALANCED FUND-A | 50.00 | 1.88 | 0.00 | 1.88 | 80.0 | 1.50 |
| | ACCOUNT #: 00059597416 | BUY 2 Units @29.0800 | | | | | | |
| 08/10/2018 | ***-**-965P - MCGUIRE, SCOTT | AMERICAN BALANCED FUND-A | 56.99 | 0.57 | 0.00 | 0.57 | 80.0 | 0.46 |
| | ACCOUNT #: 00073798048 | BUY 2 Units @27.7100 | | | | | | |
| 08/10/2018 | ***-**-4263 - HARTER, IAN | AMERICAN BALANCED FUND-A | 37.81 | 0.38 | 0.00 | 0.38 | 80.0 | 0.30 |
| | ACCOUNT #: 00089461113 | BUY 1 Units @27.7100 | | | | | | |
| 07/30/2018 | ***-**-3834 - SWARTWOUT, | AMERICAN BALANCED FUND-C | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 00089957234 | BUY 2 Units @27.4800 | | | | | | |
| 07/30/2018 | ***-**-2163 - TRAPANI, JAMES | AMERICAN BALANCED FUND-C | 28.05 | 0.28 | 0.00 | 0.28 | 80.0 | 0.22 |
| | ACCOUNT #: 00085902249 | BUY 1 Units @27.4800 | | | | | | |
| 07/30/2018 | ***-**-2163 - TRAPANI, JAMES | AMERICAN BALANCED FUND-C | 3.63 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| | ACCOUNT #: 00085902249 | BUY 0 Units @27.4800 | | | | | | |



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BROKER #: 417
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| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--------------------------------|--------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 07/30/2018 | ***-**-3955 - EAST, MICHAEL | AMERICAN BALANCED FUND-C | 6.00 | 0.06 | 0.00 | 0.06 | 80.0 | 0.05 |
| | ACCOUNT #: 00085904181 | BUY 0 Units @27.4800 | | | | | | |
| 07/30/2018 | ***-**-3955 - EAST, MICHAEL | AMERICAN BALANCED FUND-C | 1.27 | 0.01 | 0.00 | 0.01 | 80.0 | 0.01 |
| | ACCOUNT #: 00085904181 | BUY 0 Units @27.4800 | | | | | | |
| 07/30/2018 | ***-**-2081 - SHELHAMER, DIANE | AMERICAN BALANCED FUND-C | 16.00 | 0.16 | 0.00 | 0.16 | 80.0 | 0.13 |
| | ACCOUNT #: 00085904210 | BUY 1 Units @27.4800 | | | | | | |
| 07/30/2018 | ***-**-2081 - SHELHAMER, DIANE | AMERICAN BALANCED FUND-C | 4.48 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| | ACCOUNT #: 00085904210 | BUY 0 Units @27.4800 | | | | | | |
| 07/30/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 40.00 | 0.40 | 0.00 | 0.40 | 80.0 | 0.32 |
| | ACCOUNT #: 00089045192 | BUY 1 Units @27.4800 | | | | | | |
| 07/30/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 16.32 | 0.16 | 0.00 | 0.16 | 80.0 | 0.13 |
| | ACCOUNT #: 00089051066 | BUY 1 Units @27.4800 | | | | | | |
| 07/30/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 40.80 | 0.41 | 0.00 | 0.41 | 80.0 | 0.33 |
| | ACCOUNT #: 00089051066 | BUY 1 Units @27.4800 | | | | | | |
| 07/30/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 16.32 | 0.16 | 0.00 | 0.16 | 80.0 | 0.13 |
| | ACCOUNT #: 00089051066 | BUY 1 Units @27.4800 | | | | | | |
| 07/30/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 61.20 | 0.61 | 0.00 | 0.61 | 80.0 | 0.49 |
| | ACCOUNT #: 00089051066 | BUY 2 Units @27.4800 | | | | | | |
| 07/30/2018 | ***-**-312S - DISCH, JASMINE | AMERICAN BALANCED FUND-C | 16.68 | 0.17 | 0.00 | 0.17 | 80.0 | 0.14 |
| | ACCOUNT #: 00089583477 | BUY 1 Units @27.4800 | | | | | | |
| 07/31/2018 | ***-**-435P - BOSCO, SOFIA | AMERICAN BALANCED FUND-C | 187.00 | 1.87 | 0.00 | 1.87 | 80.0 | 1.50 |
| | ACCOUNT #: 00073003754 | BUY 7 Units @27.5300 | | | | | | |
| 07/31/2018 | ***-**-1134 - BARTON, WILLIAM | AMERICAN BALANCED FUND-C | 87.96 | 0.88 | 0.00 | 0.88 | 80.0 | 0.70 |
| | ACCOUNT #: 00089244081 | BUY 3 Units @27.5300 | | | | | | |
| 07/31/2018 | ***-**-035S - CAPIZZI, TRACIE | AMERICAN BALANCED FUND-C | 37.75 | 0.38 | 0.00 | 0.38 | 80.0 | 0.30 |
| | ACCOUNT #: 00089679504 | BUY 1 Units @27.5300 | | | | | | |
| 08/01/2018 | ***-**-984I - DELUCA, SHARI | AMERICAN BALANCED FUND-C | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 00064868315 | BUY 2 Units @27.4400 | | | | | | |
| 08/01/2018 | ***-**-255U - LIPPA, HARITINI | AMERICAN BALANCED FUND-C | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 04000385806 | BUY 2 Units @27.4400 | | | | | | |
| 08/02/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 67.20 | 0.67 | 0.00 | 0.67 | 80.0 | 0.54 |
| | ACCOUNT #: 00089722785 | BUY 2 Units @27.4700 | | | | | | |



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|---|--------------------------------|--------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 08/03/2018 | ***-**-2163 - TRAPANI, JAMES | AMERICAN BALANCED FUND-C | 3.63 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| | ACCOUNT #: 00085902249 | BUY 0 Units @27.5400 | | | | | | |
| 08/03/2018 | ***-**-2163 - TRAPANI, JAMES | AMERICAN BALANCED FUND-C | 28.05 | 0.28 | 0.00 | 0.28 | 80.0 | 0.22 |
| | ACCOUNT #: 00085902249 | BUY 1 Units @27.5400 | | | | | | |
| 08/03/2018 | ***-**-3955 - EAST, MICHAEL | AMERICAN BALANCED FUND-C | 6.00 | 0.06 | 0.00 | 0.06 | 80.0 | 0.05 |
| | ACCOUNT #: 00085904181 | BUY 0 Units @27.5400 | | | | | | |
| 08/03/2018 | ***-**-3955 - EAST, MICHAEL | AMERICAN BALANCED FUND-C | 2.02 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| | ACCOUNT #: 00085904181 | BUY 0 Units @27.5400 | | | | | | |
| 08/03/2018 | ***-**-2081 - SHELHAMER, DIANE | AMERICAN BALANCED FUND-C | 16.00 | 0.16 | 0.00 | 0.16 | 80.0 | 0.13 |
| | ACCOUNT #: 00085904210 | BUY 1 Units @27.5400 | | | | | | |
| 08/03/2018 | ***-**-2081 - SHELHAMER, DIANE | AMERICAN BALANCED FUND-C | 3.52 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| | ACCOUNT #: 00085904210 | BUY 0 Units @27.5400 | | | | | | |
| 08/03/2018 | ***-**-2825 - SPERANZA, JOHN | AMERICAN BALANCED FUND-C | 120.00 | 1.20 | 0.00 | 1.20 | 80.0 | 0.96 |
| | ACCOUNT #: 00089910177 | BUY 4 Units @27.5400 | | | | | | |
| 08/06/2018 | ***-**-2163 - TRAPANI, JAMES | AMERICAN BALANCED FUND-C | 3.63 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| | ACCOUNT #: 00085902249 | BUY 0 Units @27.5700 | | | | | | |
| 08/06/2018 | ***-**-2163 - TRAPANI, JAMES | AMERICAN BALANCED FUND-C | 28.05 | 0.28 | 0.00 | 0.28 | 80.0 | 0.22 |
| | ACCOUNT #: 00085902249 | BUY 1 Units @27.5700 | | | | | | |
| 08/06/2018 | ***-**-3955 - EAST, MICHAEL | AMERICAN BALANCED FUND-C | 6.00 | 0.06 | 0.00 | 0.06 | 80.0 | 0.05 |
| | ACCOUNT #: 00085904181 | BUY 0 Units @27.5700 | | | | | | |
| 08/06/2018 | ***-**-3955 - EAST, MICHAEL | AMERICAN BALANCED FUND-C | 2.00 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| | ACCOUNT #: 00085904181 | BUY 0 Units @27.5700 | | | | | | |
| 08/06/2018 | ***-**-2081 - SHELHAMER, DIANE | AMERICAN BALANCED FUND-C | 4.45 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| | ACCOUNT #: 00085904210 | BUY 0 Units @27.5700 | | | | | | |
| 08/06/2018 | ***-**-2081 - SHELHAMER, DIANE | AMERICAN BALANCED FUND-C | 16.00 | 0.16 | 0.00 | 0.16 | 80.0 | 0.13 |
| | ACCOUNT #: 00085904210 | BUY 1 Units @27.5700 | | | | | | |
| 08/07/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 40.00 | 0.40 | 0.00 | 0.40 | 80.0 | 0.32 |
| | ACCOUNT #: 00089045192 | BUY 1 Units @27.6200 | | | | | | |
| 08/07/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 16.32 | 0.16 | 0.00 | 0.16 | 80.0 | 0.13 |
| | ACCOUNT #: 00089051066 | BUY 1 Units @27.6200 | | | | | | |
| 08/07/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 40.80 | 0.41 | 0.00 | 0.41 | 80.0 | 0.33 |
| | ACCOUNT #: 00089051066 | BUY 1 Units @27.6200 | | | | | | |



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|---|------------------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 08/07/2018 | ***-**-312S - DISCH, JASMINE | AMERICAN BALANCED FUND-C | 19.14 | 0.19 | 0.00 | 0.19 | 80.0 | 0.15 |
| | ACCOUNT #: 00089583477 | BUY 1 Units @27.6200 | | | | | | |
| 08/09/2018 | ***-**-3834 - SWARTWOUT, | AMERICAN BALANCED FUND-C | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 00089957234 | BUY 2 Units @27.6200 | | | | | | |
| 08/09/2018 | ***-**-3834 - SWARTWOUT, | AMERICAN BALANCED FUND-C | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 00089957234 | BUY 2 Units @27.6200 | | | | | | |
| 08/09/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 16.32 | 0.16 | 0.00 | 0.16 | 80.0 | 0.13 |
| | ACCOUNT #: 00089051066 | BUY 1 Units @27.6200 | | | | | | |
| 08/09/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 61.20 | 0.61 | 0.00 | 0.61 | 80.0 | 0.49 |
| | ACCOUNT #: 00089051066 | BUY 2 Units @27.6200 | | | | | | |
| 08/09/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 16.32 | 0.16 | 0.00 | 0.16 | 80.0 | 0.13 |
| | ACCOUNT #: 00089051066 | BUY 1 Units @27.6200 | | | | | | |
| 08/09/2018 | ***-**-96CL - SCARPULLA, | AMERICAN BALANCED FUND-C | 61.20 | 0.61 | 0.00 | 0.61 | 80.0 | 0.49 |
| | ACCOUNT #: 00089051066 | BUY 2 Units @27.6200 | | | | | | |
| 08/09/2018 | ***-**-2825 - SPERANZA, JOHN | AMERICAN BALANCED FUND-C | 120.00 | 1.20 | 0.00 | 1.20 | 80.0 | 0.96 |
| | ACCOUNT #: 00089910177 | BUY 4 Units @27.6200 | | | | | | |
| 07/30/2018 | ***-**-4478 - YACONO, JOSEPH | AMERICAN FUNDS 2055 TARGET DATE-C | 7.46 | 0.07 | 0.00 | 0.07 | 80.0 | 0.06 |
| | ACCOUNT #: 04000820464 | BUY 0 Units @19.2500 | | | | | | |
| 07/30/2018 | ***-**-4478 - YACONO, JOSEPH | AMERICAN FUNDS 2055 TARGET DATE-C | 44.79 | 0.45 | 0.00 | 0.45 | 80.0 | 0.36 |
| | ACCOUNT #: 04000820464 | BUY 2 Units @19.2500 | | | | | | |
| 08/03/2018 | ***-**-4478 - YACONO, JOSEPH | AMERICAN FUNDS 2055 TARGET DATE-C | 7.15 | 0.07 | 0.00 | 0.07 | 80.0 | 0.06 |
| | ACCOUNT #: 04000820464 | BUY 0 Units @19.2800 | | | | | | |
| 08/03/2018 | ***-**-4478 - YACONO, JOSEPH | AMERICAN FUNDS 2055 TARGET DATE-C | 42.90 | 0.43 | 0.00 | 0.43 | 80.0 | 0.34 |
| | ACCOUNT #: 04000820464 | BUY 2 Units @19.2800 | | | | | | |
| 08/06/2018 | ***-**-4478 - YACONO, JOSEPH | AMERICAN FUNDS 2055 TARGET DATE-C | 10.94 | 0.11 | 0.00 | 0.11 | 80.0 | 0.09 |
| | ACCOUNT #: 04000820464 | BUY 1 Units @19.3100 | | | | | | |
| 08/06/2018 | ***-**-4478 - YACONO, JOSEPH | AMERICAN FUNDS 2055 TARGET DATE-C | 65.63 | 0.66 | 0.00 | 0.66 | 80.0 | 0.53 |
| | ACCOUNT #: 04000820464 | BUY 3 Units @19.3100 | | | | | | |
| 08/10/2018 | ***-**-7063 - ZIOTO, JULIA | AMERICAN GLOBAL BALANCED CL C | 100.00 | 1.00 | 0.00 | 1.00 | 80.0 | 0.80 |
| | ACCOUNT #: 04000322984 | BUY 3 Units @31.8900 | | | | | | |
| 07/30/2018 | ***-**-96CL - SCARPULLA, | AMERICAN HIGH-INCOME TRUST-C | 105.00 | 1.05 | 0.00 | 1.05 | 80.0 | 0.84 |
| | ACCOUNT #: 00087236607 | BUY 10 Units @10.2100 | | | | | | |



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|---|---|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 07/30/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607 | AMERICAN HIGH-INCOME TRUST-C BUY 0 Units @10.2100 | 4.55 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| 08/03/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607 | AMERICAN HIGH-INCOME TRUST-C BUY 0 Units @10.2400 | 4.55 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| 08/03/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607 | AMERICAN HIGH-INCOME TRUST-C BUY 10 Units @10.2400 | 105.00 | 1.05 | 0.00 | 1.05 | 80.0 | 0.84 |
| 08/06/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607 | AMERICAN HIGH-INCOME TRUST-C BUY 0 Units @10.2400 | 4.55 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| 08/06/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607 | AMERICAN HIGH-INCOME TRUST-C BUY 10 Units @10.2400 | 105.00 | 1.05 | 0.00 | 1.05 | 80.0 | 0.84 |
| 08/03/2018 | ***-**-275P - LAMANNA, DANIEL ACCOUNT #: 00066758347 | AMERICAN MUTUAL FUND-A BUY 1 Units @42.2000 | 60.00 | 0.60 | 0.00 | 0.60 | 80.0 | 0.48 |
| 08/03/2018 | ***-**-275P - LAMANNA, DANIEL ACCOUNT #: 00066758347 | AMERICAN MUTUAL FUND-A BUY 2 Units @42.2000 | 83.49 | 0.83 | 0.00 | 0.83 | 80.0 | 0.66 |
| 08/03/2018 | ***-**-255P - EVANS, JAMES ACCOUNT #: 00066759711 | AMERICAN MUTUAL FUND-A BUY 28 Units @42.2000 | 1,200.00 | 12.00 | 0.00 | 12.00 | 80.0 | 9.60 |
| 08/03/2018 | ***-**-255P - EVANS, JAMES ACCOUNT #: 00066759711 | AMERICAN MUTUAL FUND-A BUY 2 Units @42.2000 | 72.94 | 0.73 | 0.00 | 0.73 | 80.0 | 0.58 |
| 08/10/2018 | ***-**-275P - LAMANNA, DANIEL ACCOUNT #: 00066758347 | AMERICAN MUTUAL FUND-A BUY 2 Units @41.9100 | 83.49 | 0.83 | 0.00 | 0.83 | 80.0 | 0.66 |
| 08/10/2018 | ***-**-255P - EVANS, JAMES ACCOUNT #: 00066759711 | AMERICAN MUTUAL FUND-A BUY 2 Units @41.9100 | 72.94 | 0.73 | 0.00 | 0.73 | 80.0 | 0.58 |
| 07/30/2018 | ***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181 | AMERICAN MUTUAL FUND-C BUY 0 Units @41.1900 | 5.00 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| 07/30/2018 | ***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181 | AMERICAN MUTUAL FUND-C BUY 0 Units @41.1900 | 1.06 | 0.01 | 0.00 | 0.01 | 80.0 | 0.01 |
| 07/30/2018 | ***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936 | AMERICAN MUTUAL FUND-C BUY 0 Units @41.1900 | 5.00 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| 08/03/2018 | ***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181 | AMERICAN MUTUAL FUND-C BUY 0 Units @41.5700 | 5.00 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| 08/03/2018 | ***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181 | AMERICAN MUTUAL FUND-C BUY 0 Units @41.5700 | 1.69 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |



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|---|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 08/06/2018 | ***-**-3955 - EAST, MICHAEL | AMERICAN MUTUAL FUND-C | 5.00 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| | ACCOUNT #: 00085904181 | BUY 0 Units @41.5800 | | | | | | |
| 08/06/2018 | ***-**-3955 - EAST, MICHAEL | AMERICAN MUTUAL FUND-C | 1.66 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| | ACCOUNT #: 00085904181 | BUY 0 Units @41.5800 | | | | | | |
| 08/07/2018 | ***-**-482I - MARCONI, TODD | AMERICAN MUTUAL FUND-C | 5.00 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| | ACCOUNT #: 00089570936 | BUY 0 Units @41.6200 | | | | | | |
| 08/02/2018 | ***-**-4392 - GARCIA, MICHELLE | CAPITAL INCOME BUILDER CLASS C | 25.00 | 0.25 | 0.00 | 0.25 | 80.0 | 0.20 |
| | ACCOUNT #: 00085764363 | BUY 0 Units @60.8000 | | | | | | |
| 08/01/2018 | ***-**-975P - COTTONE, MARIA | CAPITAL INCOME BUILDER-A | 250.00 | 9.38 | 0.00 | 9.38 | 80.0 | 7.50 |
| | ACCOUNT #: 00086785798 | BUY 4 Units @63.8200 | | | | | | |
| 08/03/2018 | ***-**-425P - DELGAIZO, LOUISA | CAPITAL WORLD BOND FUND-A | 22.25 | 0.27 | 0.00 | 0.27 | 80.0 | 0.22 |
| | ACCOUNT #: 00063412117 | BUY 1 Units @19.7300 | | | | | | |
| 08/03/2018 | ***-**-535P - DELGAIZO, | CAPITAL WORLD BOND FUND-A | 22.25 | 0.27 | 0.00 | 0.27 | 80.0 | 0.22 |
| | ACCOUNT #: 00063451518 | BUY 1 Units @19.7300 | | | | | | |
| 07/30/2018 | ***-**-96CL - SCARPULLA, | CAPITAL WORLD GROWTH AND INCOME FUN | 96.40 | 0.96 | 0.00 | 0.96 | 80.0 | 0.77 |
| | ACCOUNT #: 00087038420 | BUY 2 Units @51.1200 | | | | | | |
| 07/30/2018 | ***-**-96CL - SCARPULLA, | CAPITAL WORLD GROWTH AND INCOME FUN | 3.60 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| | ACCOUNT #: 00087038420 | BUY 0 Units @51.1200 | | | | | | |
| 07/30/2018 | ***-**-96CL - SCARPULLA, | CAPITAL WORLD GROWTH AND INCOME FUN | 30.00 | 0.30 | 0.00 | 0.30 | 80.0 | 0.24 |
| | ACCOUNT #: 00089045192 | BUY 1 Units @51.1200 | | | | | | |
| 08/01/2018 | ***-**-048I - DEAN, MARY | CAPITAL WORLD GROWTH AND INCOME FUN | 500.00 | 5.00 | 0.00 | 5.00 | 80.0 | 4.00 |
| | ACCOUNT #: 00065085393 | BUY 10 Units @51.1000 | | | | | | |
| 08/02/2018 | ***-**-1209 - NATALE, KIMBERLY | CAPITAL WORLD GROWTH AND INCOME FUN | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 00085764285 | BUY 1 Units @50.8400 | | | | | | |
| 08/02/2018 | ***-**-4392 - GARCIA, MICHELLE | CAPITAL WORLD GROWTH AND INCOME FUN | 25.00 | 0.25 | 0.00 | 0.25 | 80.0 | 0.20 |
| | ACCOUNT #: 00085764363 | BUY 0 Units @50.8400 | | | | | | |
| 08/03/2018 | ***-**-405P - FRISA, ALAN | CAPITAL WORLD GROWTH AND INCOME FUN | 40.00 | 0.40 | 0.00 | 0.40 | 80.0 | 0.32 |
| | ACCOUNT #: 00066758344 | BUY 1 Units @51.4800 | | | | | | |
| 08/03/2018 | ***-**-405P - FRISA, ALAN | CAPITAL WORLD GROWTH AND INCOME FUN | 47.94 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| | ACCOUNT #: 00066758344 | BUY 1 Units @51.4800 | | | | | | |
| 08/03/2018 | ***-**-96CL - SCARPULLA, | CAPITAL WORLD GROWTH AND INCOME FUN | 3.60 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| | ACCOUNT #: 00087038420 | BUY 0 Units @50.8900 | | | | | | |



COMMISSION STATEMENT

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FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 08/03/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @50.8900 | 96.40 | 0.96 | 0.00 | 0.96 | 80.0 | 0.77 |
| 08/03/2018 | ***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @50.8900 | 40.00 | 0.40 | 0.00 | 0.40 | 80.0 | 0.32 |
| 08/06/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @50.8700 | 96.40 | 0.96 | 0.00 | 0.96 | 80.0 | 0.77 |
| 08/06/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @50.8700 | 3.60 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| 08/07/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @51.0800 | 30.00 | 0.30 | 0.00 | 0.30 | 80.0 | 0.24 |
| 08/08/2018 | ***-**-625P - CENTOLA, ANITA ACCOUNT #: 00074256566 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @51.0600 | 20.00 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| 08/09/2018 | ***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @51.0000 | 40.00 | 0.40 | 0.00 | 0.40 | 80.0 | 0.32 |
| 08/10/2018 | ***-**-405P - FRISA, ALAN ACCOUNT #: 00066758344 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @50.9800 | 47.94 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| 08/03/2018 | ***-**-445P - BURNS, DANIEL ACCOUNT #: 00066758346 | EUROPACIFIC GROWTH FUND-A BUY 1 Units @53.9700 | 57.60 | 0.58 | 0.00 | 0.58 | 80.0 | 0.46 |
| 08/03/2018 | ***-**-445P - BURNS, DANIEL ACCOUNT #: 00066758346 | EUROPACIFIC GROWTH FUND-A BUY 1 Units @53.9700 | 31.35 | 0.31 | 0.00 | 0.31 | 80.0 | 0.25 |
| 08/03/2018 | ***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117 | EUROPACIFIC GROWTH FUND-A BUY 1 Units @54.7900 | 66.75 | 0.80 | 0.00 | 0.80 | 80.0 | 0.64 |
| 08/03/2018 | ***-**-535P - DELGAIZO, ACCOUNT #: 00063451518 | EUROPACIFIC GROWTH FUND-A BUY 1 Units @54.7900 | 66.75 | 0.80 | 0.00 | 0.80 | 80.0 | 0.64 |
| 08/10/2018 | ***-**-445P - BURNS, DANIEL ACCOUNT #: 00066758346 | EUROPACIFIC GROWTH FUND-A BUY 1 Units @53.4000 | 31.35 | 0.31 | 0.00 | 0.31 | 80.0 | 0.25 |
| 08/03/2018 | ***-**-495P - HARTER, EDWARD ACCOUNT #: 00066759710 | FUNDAMENTAL INVESTORS-A BUY 1 Units @64.4200 | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| 08/03/2018 | ***-**-495P - HARTER, EDWARD ACCOUNT #: 00066759710 | FUNDAMENTAL INVESTORS-A BUY 2 Units @64.4200 | 140.88 | 1.41 | 0.00 | 1.41 | 80.0 | 1.13 |
| 08/03/2018 | ***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117 | FUNDAMENTAL INVESTORS-A BUY 2 Units @65.4000 | 133.50 | 1.60 | 0.00 | 1.60 | 80.0 | 1.28 |



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FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

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|---|--|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 08/03/2018 | ***-**-535P - DELGAIZO, ACCOUNT #: 00063451518 | FUNDAMENTAL INVESTORS-A BUY 2 Units @65.4000 | 133.50 | 1.60 | 0.00 | 1.60 | 80.0 | 1.28 |
| 08/10/2018 | ***-**-495P - HARTER, EDWARD ACCOUNT #: 00066759710 | FUNDAMENTAL INVESTORS-A BUY 2 Units @64.0400 | 140.88 | 1.41 | 0.00 | 1.41 | 80.0 | 1.13 |
| 07/30/2018 | ***-**-1721 - TABER, LISA ACCOUNT #: 00085902226 | FUNDAMENTAL INVESTORS-C BUY 0 Units @63.9000 | 2.40 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| 07/30/2018 | ***-**-1721 - TABER, LISA ACCOUNT #: 00085902226 | FUNDAMENTAL INVESTORS-C BUY 0 Units @63.9000 | 9.00 | 0.09 | 0.00 | 0.09 | 80.0 | 0.07 |
| 07/30/2018 | ***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203 | FUNDAMENTAL INVESTORS-C BUY 0 Units @63.9000 | 18.00 | 0.18 | 0.00 | 0.18 | 80.0 | 0.14 |
| 07/30/2018 | ***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203 | FUNDAMENTAL INVESTORS-C BUY 0 Units @63.9000 | 2.24 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| 07/30/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | FUNDAMENTAL INVESTORS-C BUY 0 Units @63.9000 | 8.16 | 0.08 | 0.00 | 0.08 | 80.0 | 0.06 |
| 07/30/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | FUNDAMENTAL INVESTORS-C BUY 0 Units @63.9000 | 20.40 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| 07/30/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | FUNDAMENTAL INVESTORS-C BUY 0 Units @63.9000 | 8.16 | 0.08 | 0.00 | 0.08 | 80.0 | 0.06 |
| 07/30/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | FUNDAMENTAL INVESTORS-C BUY 0 Units @63.9000 | 30.60 | 0.31 | 0.00 | 0.31 | 80.0 | 0.25 |
| 07/30/2018 | ***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936 | FUNDAMENTAL INVESTORS-C BUY 0 Units @63.9000 | 5.00 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| 08/02/2018 | ***-**-7625 - PRINCE, ADAM ACCOUNT #: 00085764342 | FUNDAMENTAL INVESTORS-C BUY 1 Units @63.8500 | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| 08/02/2018 | ***-**-4392 - GARCIA, MICHELLE ACCOUNT #: 00085764363 | FUNDAMENTAL INVESTORS-C BUY 0 Units @63.8500 | 25.00 | 0.25 | 0.00 | 0.25 | 80.0 | 0.20 |
| 08/03/2018 | ***-**-1721 - TABER, LISA ACCOUNT #: 00085902226 | FUNDAMENTAL INVESTORS-C BUY 0 Units @64.0300 | 2.40 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| 08/03/2018 | ***-**-1721 - TABER, LISA ACCOUNT #: 00085902226 | FUNDAMENTAL INVESTORS-C BUY 0 Units @64.0300 | 9.00 | 0.09 | 0.00 | 0.09 | 80.0 | 0.07 |
| 08/03/2018 | ***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203 | FUNDAMENTAL INVESTORS-C BUY 0 Units @64.0300 | 2.22 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |



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FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|------------------------------|--------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 08/03/2018 | ***-**-8667 - BRONGO, ROBERT | FUNDAMENTAL INVESTORS-C | 18.00 | 0.18 | 0.00 | 0.18 | 80.0 | 0.14 |
| | ACCOUNT #: 00085904203 | BUY 0 Units @64.0300 | | | | | | |
| 08/06/2018 | ***-**-1721 - TABER, LISA | FUNDAMENTAL INVESTORS-C | 2.40 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| | ACCOUNT #: 00085902226 | BUY 0 Units @64.1300 | | | | | | |
| 08/06/2018 | ***-**-1721 - TABER, LISA | FUNDAMENTAL INVESTORS-C | 9.00 | 0.09 | 0.00 | 0.09 | 80.0 | 0.07 |
| | ACCOUNT #: 00085902226 | BUY 0 Units @64.1300 | | | | | | |
| 08/06/2018 | ***-**-8667 - BRONGO, ROBERT | FUNDAMENTAL INVESTORS-C | 2.22 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| | ACCOUNT #: 00085904203 | BUY 0 Units @64.1300 | | | | | | |
| 08/06/2018 | ***-**-8667 - BRONGO, ROBERT | FUNDAMENTAL INVESTORS-C | 18.00 | 0.18 | 0.00 | 0.18 | 80.0 | 0.14 |
| | ACCOUNT #: 00085904203 | BUY 0 Units @64.1300 | | | | | | |
| 08/07/2018 | ***-**-96CL - SCARPULLA, | FUNDAMENTAL INVESTORS-C | 8.16 | 0.08 | 0.00 | 0.08 | 80.0 | 0.06 |
| | ACCOUNT #: 00089051066 | BUY 0 Units @64.3800 | | | | | | |
| 08/07/2018 | ***-**-96CL - SCARPULLA, | FUNDAMENTAL INVESTORS-C | 20.40 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| | ACCOUNT #: 00089051066 | BUY 0 Units @64.3800 | | | | | | |
| 08/07/2018 | ***-**-482I - MARCONI, TODD | FUNDAMENTAL INVESTORS-C | 5.00 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| | ACCOUNT #: 00089570936 | BUY 0 Units @64.3800 | | | | | | |
| 08/09/2018 | ***-**-96CL - SCARPULLA, | FUNDAMENTAL INVESTORS-C | 8.16 | 0.08 | 0.00 | 0.08 | 80.0 | 0.06 |
| | ACCOUNT #: 00089051066 | BUY 0 Units @64.2600 | | | | | | |
| 08/09/2018 | ***-**-96CL - SCARPULLA, | FUNDAMENTAL INVESTORS-C | 30.60 | 0.31 | 0.00 | 0.31 | 80.0 | 0.25 |
| | ACCOUNT #: 00089051066 | BUY 0 Units @64.2600 | | | | | | |
| 08/09/2018 | ***-**-96CL - SCARPULLA, | FUNDAMENTAL INVESTORS-C | 8.16 | 0.08 | 0.00 | 0.08 | 80.0 | 0.06 |
| | ACCOUNT #: 00089051066 | BUY 0 Units @64.2600 | | | | | | |
| 08/09/2018 | ***-**-96CL - SCARPULLA, | FUNDAMENTAL INVESTORS-C | 30.60 | 0.31 | 0.00 | 0.31 | 80.0 | 0.25 |
| | ACCOUNT #: 00089051066 | BUY 0 Units @64.2600 | | | | | | |
| 08/10/2018 | ***-**-6171 - ROWE, KEVIN | FUNDAMENTAL INVESTORS-C | 225.00 | 2.25 | 0.00 | 2.25 | 80.0 | 1.80 |
| | ACCOUNT #: 04000591484 | BUY 4 Units @63.6400 | | | | | | |
| 07/30/2018 | ***-**-96CL - SCARPULLA, | INCOME FUND OF AMERICA CLASS C | 45.00 | 0.45 | 0.00 | 0.45 | 80.0 | 0.36 |
| | ACCOUNT #: 00087236607 | BUY 2 Units @22.8900 | | | | | | |
| 07/30/2018 | ***-**-96CL - SCARPULLA, | INCOME FUND OF AMERICA CLASS C | 1.95 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| | ACCOUNT #: 00087236607 | BUY 0 Units @22.8900 | | | | | | |
| 08/03/2018 | ***-**-96CL - SCARPULLA, | INCOME FUND OF AMERICA CLASS C | 1.95 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| | ACCOUNT #: 00087236607 | BUY 0 Units @22.9200 | | | | | | |



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400 West Metro Park
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BROKER #: 417
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| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 08/03/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607 | INCOME FUND OF AMERICA CLASS C BUY 2 Units @22.9200 | 45.00 | 0.45 | 0.00 | 0.45 | 80.0 | 0.36 |
| 08/06/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607 | INCOME FUND OF AMERICA CLASS C BUY 0 Units @22.9300 | 1.95 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| 08/06/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607 | INCOME FUND OF AMERICA CLASS C BUY 2 Units @22.9300 | 45.00 | 0.45 | 0.00 | 0.45 | 80.0 | 0.36 |
| 07/30/2018 | ***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249 | INVESTMENT COMPANY OF AMERICA CLASS BUY 1 Units @40.8400 | 28.90 | 0.29 | 0.00 | 0.29 | 80.0 | 0.23 |
| 07/30/2018 | ***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249 | INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @40.8400 | 3.74 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| 07/30/2018 | ***-**-2081 - SHELHAMER, DIANE ACCOUNT #: 00085904210 | INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @40.8400 | 4.00 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| 07/30/2018 | ***-**-2081 - SHELHAMER, DIANE ACCOUNT #: 00085904210 | INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @40.8400 | 1.12 | 0.01 | 0.00 | 0.01 | 80.0 | 0.01 |
| 07/30/2018 | ***-**-564I - CARNEY, BARBARA ACCOUNT #: 00089563161 | INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @40.8400 | 10.00 | 0.10 | 0.00 | 0.10 | 80.0 | 0.08 |
| 07/30/2018 | ***-**-312S - DISCH, JASMINE ACCOUNT #: 00089583477 | INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @40.8400 | 16.18 | 0.16 | 0.00 | 0.16 | 80.0 | 0.13 |
| 08/02/2018 | ***-**-1209 - NATALE, KIMBERLY ACCOUNT #: 00085764285 | INVESTMENT COMPANY OF AMERICA CLASS BUY 1 Units @40.9800 | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| 08/02/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089722785 | INVESTMENT COMPANY OF AMERICA CLASS BUY 1 Units @40.9800 | 50.40 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| 08/03/2018 | ***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249 | INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @41.0900 | 3.74 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| 08/03/2018 | ***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249 | INVESTMENT COMPANY OF AMERICA CLASS BUY 1 Units @41.0900 | 28.90 | 0.29 | 0.00 | 0.29 | 80.0 | 0.23 |
| 08/03/2018 | ***-**-2081 - SHELHAMER, DIANE ACCOUNT #: 00085904210 | INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @41.0900 | 0.88 | 0.01 | 0.00 | 0.01 | 80.0 | 0.01 |
| 08/03/2018 | ***-**-2081 - SHELHAMER, DIANE ACCOUNT #: 00085904210 | INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @41.0900 | 4.00 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| 08/06/2018 | ***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249 | INVESTMENT COMPANY OF AMERICA CLASS BUY 0 Units @41.1500 | 3.74 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |



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Rochester, NY 14623

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|---|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 08/06/2018 | ***-**-2163 - TRAPANI, JAMES | INVESTMENT COMPANY OF AMERICA CLASS | 28.90 | 0.29 | 0.00 | 0.29 | 80.0 | 0.23 |
| | ACCOUNT #: 00085902249 | BUY 1 Units @41.1500 | | | | | | |
| 08/06/2018 | ***-**-2081 - SHELHAMER, DIANE | INVESTMENT COMPANY OF AMERICA CLASS | 1.11 | 0.01 | 0.00 | 0.01 | 80.0 | 0.01 |
| | ACCOUNT #: 00085904210 | BUY 0 Units @41.1500 | | | | | | |
| 08/06/2018 | ***-**-2081 - SHELHAMER, DIANE | INVESTMENT COMPANY OF AMERICA CLASS | 4.00 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| | ACCOUNT #: 00085904210 | BUY 0 Units @41.1500 | | | | | | |
| 08/07/2018 | ***-**-564I - CARNEY, BARBARA | INVESTMENT COMPANY OF AMERICA CLASS | 10.00 | 0.10 | 0.00 | 0.10 | 80.0 | 0.08 |
| | ACCOUNT #: 00089563161 | BUY 0 Units @41.1900 | | | | | | |
| 08/07/2018 | ***-**-312S - DISCH, JASMINE | INVESTMENT COMPANY OF AMERICA CLASS | 18.58 | 0.19 | 0.00 | 0.19 | 80.0 | 0.15 |
| | ACCOUNT #: 00089583477 | BUY 0 Units @41.1900 | | | | | | |
| 08/03/2018 | ***-**-445P - BURNS, DANIEL | NEW PERSPECTIVE FUND-A | 57.60 | 0.58 | 0.00 | 0.58 | 80.0 | 0.46 |
| | ACCOUNT #: 00066758346 | BUY 1 Units @46.3300 | | | | | | |
| 08/03/2018 | ***-**-445P - BURNS, DANIEL | NEW PERSPECTIVE FUND-A | 31.35 | 0.31 | 0.00 | 0.31 | 80.0 | 0.25 |
| | ACCOUNT #: 00066758346 | BUY 1 Units @46.3300 | | | | | | |
| 08/03/2018 | ***-**-425P - DELGAIZO, LOUISA | NEW PERSPECTIVE FUND-A | 22.25 | 0.27 | 0.00 | 0.27 | 80.0 | 0.22 |
| | ACCOUNT #: 00063412117 | BUY 0 Units @47.0400 | | | | | | |
| 08/03/2018 | ***-**-535P - DELGAIZO, | NEW PERSPECTIVE FUND-A | 22.25 | 0.27 | 0.00 | 0.27 | 80.0 | 0.22 |
| | ACCOUNT #: 00063451518 | BUY 0 Units @47.0400 | | | | | | |
| 08/10/2018 | ***-**-445P - BURNS, DANIEL | NEW PERSPECTIVE FUND-A | 31.35 | 0.31 | 0.00 | 0.31 | 80.0 | 0.25 |
| | ACCOUNT #: 00066758346 | BUY 1 Units @46.0600 | | | | | | |
| 07/30/2018 | ***-**-1721 - TABER, LISA | NEW PERSPECTIVE FUND-C | 2.80 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085902226 | BUY 0 Units @44.1200 | | | | | | |
| 07/30/2018 | ***-**-1721 - TABER, LISA | NEW PERSPECTIVE FUND-C | 10.50 | 0.11 | 0.00 | 0.11 | 80.0 | 0.09 |
| | ACCOUNT #: 00085902226 | BUY 0 Units @44.1200 | | | | | | |
| 07/30/2018 | ***-**-6500 - COOK, MARTIN | NEW PERSPECTIVE FUND-C | 2.50 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085902231 | BUY 0 Units @44.1200 | | | | | | |
| 07/30/2018 | ***-**-6500 - COOK, MARTIN | NEW PERSPECTIVE FUND-C | 2.50 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085902231 | BUY 0 Units @44.1200 | | | | | | |
| 07/30/2018 | ***-**-8667 - BRONGO, ROBERT | NEW PERSPECTIVE FUND-C | 21.00 | 0.21 | 0.00 | 0.21 | 80.0 | 0.17 |
| | ACCOUNT #: 00085904203 | BUY 0 Units @44.1200 | | | | | | |
| 07/30/2018 | ***-**-8667 - BRONGO, ROBERT | NEW PERSPECTIVE FUND-C | 2.61 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085904203 | BUY 0 Units @44.1200 | | | | | | |



COMMISSION STATEMENT

August 13, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 07/30/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | NEW PERSPECTIVE FUND-C BUY 0 Units @44.1200 | 8.15 | 0.08 | 0.00 | 0.08 | 80.0 | 0.06 |
| 07/30/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | NEW PERSPECTIVE FUND-C BUY 0 Units @44.1200 | 20.40 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| 07/30/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | NEW PERSPECTIVE FUND-C BUY 0 Units @44.1200 | 8.15 | 0.08 | 0.00 | 0.08 | 80.0 | 0.06 |
| 07/30/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | NEW PERSPECTIVE FUND-C BUY 1 Units @44.1200 | 30.60 | 0.31 | 0.00 | 0.31 | 80.0 | 0.25 |
| 08/03/2018 | ***-**-1721 - TABER, LISA ACCOUNT #: 00085902226 | NEW PERSPECTIVE FUND-C BUY 0 Units @44.3100 | 2.80 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| 08/03/2018 | ***-**-1721 - TABER, LISA ACCOUNT #: 00085902226 | NEW PERSPECTIVE FUND-C BUY 0 Units @44.3100 | 10.50 | 0.11 | 0.00 | 0.11 | 80.0 | 0.09 |
| 08/03/2018 | ***-**-6500 - COOK, MARTIN ACCOUNT #: 00085902231 | NEW PERSPECTIVE FUND-C BUY 0 Units @44.3100 | 2.50 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| 08/03/2018 | ***-**-6500 - COOK, MARTIN ACCOUNT #: 00085902231 | NEW PERSPECTIVE FUND-C BUY 0 Units @44.3100 | 2.50 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| 08/03/2018 | ***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203 | NEW PERSPECTIVE FUND-C BUY 0 Units @44.3100 | 2.58 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| 08/03/2018 | ***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203 | NEW PERSPECTIVE FUND-C BUY 0 Units @44.3100 | 21.00 | 0.21 | 0.00 | 0.21 | 80.0 | 0.17 |
| 08/06/2018 | ***-**-1721 - TABER, LISA ACCOUNT #: 00085902226 | NEW PERSPECTIVE FUND-C BUY 0 Units @44.3900 | 2.80 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| 08/06/2018 | ***-**-1721 - TABER, LISA ACCOUNT #: 00085902226 | NEW PERSPECTIVE FUND-C BUY 0 Units @44.3900 | 10.50 | 0.11 | 0.00 | 0.11 | 80.0 | 0.09 |
| 08/06/2018 | ***-**-6500 - COOK, MARTIN ACCOUNT #: 00085902231 | NEW PERSPECTIVE FUND-C BUY 0 Units @44.3900 | 2.50 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| 08/06/2018 | ***-**-6500 - COOK, MARTIN ACCOUNT #: 00085902231 | NEW PERSPECTIVE FUND-C BUY 0 Units @44.3900 | 2.50 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| 08/06/2018 | ***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203 | NEW PERSPECTIVE FUND-C BUY 0 Units @44.3900 | 2.58 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| 08/06/2018 | ***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203 | NEW PERSPECTIVE FUND-C BUY 0 Units @44.3900 | 21.00 | 0.21 | 0.00 | 0.21 | 80.0 | 0.17 |



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August 13, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 08/07/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | NEW PERSPECTIVE FUND-C BUY 0 Units @44.6700 | 8.15 | 0.08 | 0.00 | 0.08 | 80.0 | 0.06 |
| 08/07/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | NEW PERSPECTIVE FUND-C BUY 0 Units @44.6700 | 20.40 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| 08/09/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | NEW PERSPECTIVE FUND-C BUY 0 Units @44.5900 | 8.15 | 0.08 | 0.00 | 0.08 | 80.0 | 0.06 |
| 08/09/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | NEW PERSPECTIVE FUND-C BUY 1 Units @44.5900 | 30.60 | 0.31 | 0.00 | 0.31 | 80.0 | 0.25 |
| 08/09/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | NEW PERSPECTIVE FUND-C BUY 0 Units @44.5900 | 8.15 | 0.08 | 0.00 | 0.08 | 80.0 | 0.06 |
| 08/09/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | NEW PERSPECTIVE FUND-C BUY 1 Units @44.5900 | 30.60 | 0.31 | 0.00 | 0.31 | 80.0 | 0.25 |
| 07/30/2018 | ***-**-1721 - TABER, LISA ACCOUNT #: 00085902226 | NEW WORLD FUND-C BUY 0 Units @63.9000 | 2.80 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| 07/30/2018 | ***-**-1721 - TABER, LISA ACCOUNT #: 00085902226 | NEW WORLD FUND-C BUY 0 Units @63.9000 | 10.50 | 0.11 | 0.00 | 0.11 | 80.0 | 0.09 |
| 07/30/2018 | ***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203 | NEW WORLD FUND-C BUY 0 Units @63.9000 | 21.00 | 0.21 | 0.00 | 0.21 | 80.0 | 0.17 |
| 07/30/2018 | ***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203 | NEW WORLD FUND-C BUY 0 Units @63.9000 | 2.61 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| 07/30/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | NEW WORLD FUND-C BUY 1 Units @63.9000 | 48.20 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| 07/30/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | NEW WORLD FUND-C BUY 0 Units @63.9000 | 1.80 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| 08/03/2018 | ***-**-1721 - TABER, LISA ACCOUNT #: 00085902226 | NEW WORLD FUND-C BUY 0 Units @63.2000 | 2.80 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| 08/03/2018 | ***-**-1721 - TABER, LISA ACCOUNT #: 00085902226 | NEW WORLD FUND-C BUY 0 Units @63.2000 | 10.50 | 0.11 | 0.00 | 0.11 | 80.0 | 0.09 |
| 08/03/2018 | ***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203 | NEW WORLD FUND-C BUY 0 Units @63.2000 | 2.59 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| 08/03/2018 | ***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203 | NEW WORLD FUND-C BUY 0 Units @63.2000 | 21.00 | 0.21 | 0.00 | 0.21 | 80.0 | 0.17 |



COMMISSION STATEMENT

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FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

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|---|--|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 08/03/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | NEW WORLD FUND-C BUY 1 Units @63.2000 | 48.20 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| 08/03/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | NEW WORLD FUND-C BUY 0 Units @63.2000 | 1.80 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| 08/06/2018 | ***-**-1721 - TABER, LISA ACCOUNT #: 00085902226 | NEW WORLD FUND-C BUY 0 Units @63.0400 | 2.80 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| 08/06/2018 | ***-**-1721 - TABER, LISA ACCOUNT #: 00085902226 | NEW WORLD FUND-C BUY 0 Units @63.0400 | 10.50 | 0.11 | 0.00 | 0.11 | 80.0 | 0.09 |
| 08/06/2018 | ***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203 | NEW WORLD FUND-C BUY 0 Units @63.0400 | 21.00 | 0.21 | 0.00 | 0.21 | 80.0 | 0.17 |
| 08/06/2018 | ***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203 | NEW WORLD FUND-C BUY 0 Units @63.0400 | 2.59 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| 08/06/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | NEW WORLD FUND-C BUY 1 Units @63.0400 | 48.20 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| 08/06/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | NEW WORLD FUND-C BUY 0 Units @63.0400 | 1.80 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| 08/03/2018 | ***-**-445P - BURNS, DANIEL ACCOUNT #: 00066758346 | SMALLCAP WORLD FUND-A BUY 1 Units @59.0400 | 83.20 | 0.83 | 0.00 | 0.83 | 80.0 | 0.66 |
| 08/03/2018 | ***-**-445P - BURNS, DANIEL ACCOUNT #: 00066758346 | SMALLCAP WORLD FUND-A BUY 1 Units @59.0400 | 45.26 | 0.45 | 0.00 | 0.45 | 80.0 | 0.36 |
| 08/10/2018 | ***-**-445P - BURNS, DANIEL ACCOUNT #: 00066758346 | SMALLCAP WORLD FUND-A BUY 1 Units @59.3000 | 45.26 | 0.45 | 0.00 | 0.45 | 80.0 | 0.36 |
| 07/30/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | SMALLCAP WORLD FUND-C BUY 1 Units @51.5200 | 48.20 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| 07/30/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | SMALLCAP WORLD FUND-C BUY 0 Units @51.5200 | 1.80 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| 07/30/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | SMALLCAP WORLD FUND-C BUY 0 Units @51.5200 | 8.16 | 0.08 | 0.00 | 0.08 | 80.0 | 0.06 |
| 07/30/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | SMALLCAP WORLD FUND-C BUY 0 Units @51.5200 | 20.40 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| 07/30/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | SMALLCAP WORLD FUND-C BUY 0 Units @51.5200 | 8.16 | 0.08 | 0.00 | 0.08 | 80.0 | 0.06 |



COMMISSION STATEMENT

August 13, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

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|---|--|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 07/30/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | SMALLCAP WORLD FUND-C BUY 1 Units @51.5200 | 30.60 | 0.31 | 0.00 | 0.31 | 80.0 | 0.25 |
| 08/03/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | SMALLCAP WORLD FUND-C BUY 0 Units @51.7000 | 1.80 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| 08/03/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | SMALLCAP WORLD FUND-C BUY 1 Units @51.7000 | 48.20 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| 08/06/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | SMALLCAP WORLD FUND-C BUY 1 Units @51.8200 | 48.20 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| 08/06/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | SMALLCAP WORLD FUND-C BUY 0 Units @51.8200 | 1.80 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| 08/07/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | SMALLCAP WORLD FUND-C BUY 0 Units @51.9900 | 8.16 | 0.08 | 0.00 | 0.08 | 80.0 | 0.06 |
| 08/07/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | SMALLCAP WORLD FUND-C BUY 0 Units @51.9900 | 20.40 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| 08/09/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | SMALLCAP WORLD FUND-C BUY 0 Units @52.1600 | 8.16 | 0.08 | 0.00 | 0.08 | 80.0 | 0.06 |
| 08/09/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | SMALLCAP WORLD FUND-C BUY 1 Units @52.1600 | 30.60 | 0.31 | 0.00 | 0.31 | 80.0 | 0.25 |
| 08/09/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | SMALLCAP WORLD FUND-C BUY 0 Units @52.1600 | 8.16 | 0.08 | 0.00 | 0.08 | 80.0 | 0.06 |
| 08/09/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066 | SMALLCAP WORLD FUND-C BUY 1 Units @52.1600 | 30.60 | 0.31 | 0.00 | 0.31 | 80.0 | 0.25 |
| 08/07/2018 | ***-**-667X - BRONGO, ROBERT ACCOUNT #: LSR012752 | T ROWE PRICE MID CAP GROWTH SELL 104 Units @95.4400 | 9,894.46 | 35.00 | 18.00 | 17.00 | 80.0 | 13.60 |
| 08/03/2018 | ***-**-445P - BURNS, DANIEL ACCOUNT #: 00066758346 | THE BOND FUND OF AMERICA-A BUY 5 Units @12.5500 | 57.60 | 0.58 | 0.00 | 0.58 | 80.0 | 0.46 |
| 08/03/2018 | ***-**-445P - BURNS, DANIEL ACCOUNT #: 00066758346 | THE BOND FUND OF AMERICA-A BUY 2 Units @12.5500 | 31.35 | 0.31 | 0.00 | 0.31 | 80.0 | 0.25 |
| 08/03/2018 | ***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117 | THE BOND FUND OF AMERICA-A BUY 3 Units @12.7400 | 44.50 | 0.53 | 0.00 | 0.53 | 80.0 | 0.42 |
| 08/03/2018 | ***-**-535P - DELGAIZO, ACCOUNT #: 00063451518 | THE BOND FUND OF AMERICA-A BUY 3 Units @12.7400 | 44.50 | 0.53 | 0.00 | 0.53 | 80.0 | 0.42 |



COMMISSION STATEMENT

August 13, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 08/10/2018 | ***-**-445P - BURNS, DANIEL | THE BOND FUND OF AMERICA-A | 31.35 | 0.31 | 0.00 | 0.31 | 80.0 | 0.25 |
| | ACCOUNT #: 00066758346 | BUY 2 Units @12.5800 | | | | | | |
| 07/30/2018 | ***-**-2163 - TRAPANI, JAMES | THE BOND FUND OF AMERICA-C | 28.05 | 0.28 | 0.00 | 0.28 | 80.0 | 0.22 |
| | ACCOUNT #: 00085902249 | BUY 2 Units @12.5200 | | | | | | |
| 07/30/2018 | ***-**-2163 - TRAPANI, JAMES | THE BOND FUND OF AMERICA-C | 3.63 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| | ACCOUNT #: 00085902249 | BUY 0 Units @12.5200 | | | | | | |
| 07/30/2018 | ***-**-3955 - EAST, MICHAEL | THE BOND FUND OF AMERICA-C | 9.00 | 0.09 | 0.00 | 0.09 | 80.0 | 0.07 |
| | ACCOUNT #: 00085904181 | BUY 1 Units @12.5200 | | | | | | |
| 07/30/2018 | ***-**-3955 - EAST, MICHAEL | THE BOND FUND OF AMERICA-C | 1.91 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| | ACCOUNT #: 00085904181 | BUY 0 Units @12.5200 | | | | | | |
| 08/03/2018 | ***-**-2163 - TRAPANI, JAMES | THE BOND FUND OF AMERICA-C | 3.63 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| | ACCOUNT #: 00085902249 | BUY 0 Units @12.5500 | | | | | | |
| 08/03/2018 | ***-**-2163 - TRAPANI, JAMES | THE BOND FUND OF AMERICA-C | 28.05 | 0.28 | 0.00 | 0.28 | 80.0 | 0.22 |
| | ACCOUNT #: 00085902249 | BUY 2 Units @12.5500 | | | | | | |
| 08/03/2018 | ***-**-3955 - EAST, MICHAEL | THE BOND FUND OF AMERICA-C | 9.00 | 0.09 | 0.00 | 0.09 | 80.0 | 0.07 |
| | ACCOUNT #: 00085904181 | BUY 1 Units @12.5500 | | | | | | |
| 08/03/2018 | ***-**-3955 - EAST, MICHAEL | THE BOND FUND OF AMERICA-C | 3.03 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085904181 | BUY 0 Units @12.5500 | | | | | | |
| 08/06/2018 | ***-**-2163 - TRAPANI, JAMES | THE BOND FUND OF AMERICA-C | 3.63 | 0.04 | 0.00 | 0.04 | 80.0 | 0.03 |
| | ACCOUNT #: 00085902249 | BUY 0 Units @12.5500 | | | | | | |
| 08/06/2018 | ***-**-2163 - TRAPANI, JAMES | THE BOND FUND OF AMERICA-C | 28.05 | 0.28 | 0.00 | 0.28 | 80.0 | 0.22 |
| | ACCOUNT #: 00085902249 | BUY 2 Units @12.5500 | | | | | | |
| 08/06/2018 | ***-**-3955 - EAST, MICHAEL | THE BOND FUND OF AMERICA-C | 9.00 | 0.09 | 0.00 | 0.09 | 80.0 | 0.07 |
| | ACCOUNT #: 00085904181 | BUY 1 Units @12.5500 | | | | | | |
| 08/06/2018 | ***-**-3955 - EAST, MICHAEL | THE BOND FUND OF AMERICA-C | 2.99 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085904181 | BUY 0 Units @12.5500 | | | | | | |
| 08/08/2018 | ***-**-625P - CENTOLA, ANITA | THE BOND FUND OF AMERICA-C | 15.00 | 0.15 | 0.00 | 0.15 | 80.0 | 0.12 |
| | ACCOUNT #: 00074256566 | BUY 1 Units @12.5300 | | | | | | |
| 07/30/2018 | ***-**-995P - ARBORE, CARL | THE GROWTH FUND OF AMERICA-A | 50.00 | 1.38 | 0.00 | 1.38 | 80.0 | 1.10 |
| | ACCOUNT #: 00081166789 | BUY 1 Units @56.7600 | | | | | | |
| 07/30/2018 | ***-**-00IN - DIVASTA, JANINE | THE GROWTH FUND OF AMERICA-A | 500.00 | 18.75 | 0.00 | 18.75 | 80.0 | 15.00 |
| | ACCOUNT #: 00063669925 | BUY 9 Units @57.3500 | | | | | | |



COMMISSION STATEMENT

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Rochester, NY 14623

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|---|-------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 07/31/2018 | ***-**-975P - COTTONE, MARIA | THE GROWTH FUND OF AMERICA-A | 200.00 | 7.50 | 0.00 | 7.50 | 80.0 | 6.00 |
| | ACCOUNT #: 00086606929 | BUY 3 Units @57.6200 | | | | | | |
| 07/31/2018 | ***-**-975P - COTTONE, MARIA | THE GROWTH FUND OF AMERICA-A | 51.84 | 1.94 | 0.00 | 1.94 | 80.0 | 1.55 |
| | ACCOUNT #: 00086606929 | BUY 1 Units @57.6200 | | | | | | |
| 08/01/2018 | ***-**-42IR - ADAMS, GRACE | THE GROWTH FUND OF AMERICA-A | 50.00 | 2.50 | 0.00 | 2.50 | 80.0 | 2.00 |
| | ACCOUNT #: 00073505114 | BUY 1 Units @58.2800 | | | | | | |
| 08/03/2018 | ***-**-195P - OZMINKOWSKI, | THE GROWTH FUND OF AMERICA-A | 500.00 | 5.00 | 0.00 | 5.00 | 80.0 | 4.00 |
| | ACCOUNT #: 00066758342 | BUY 9 Units @55.2400 | | | | | | |
| 08/03/2018 | ***-**-195P - OZMINKOWSKI, | THE GROWTH FUND OF AMERICA-A | 174.14 | 1.74 | 0.00 | 1.74 | 80.0 | 1.39 |
| | ACCOUNT #: 00066758342 | BUY 3 Units @55.2400 | | | | | | |
| 08/03/2018 | ***-**-405P - FRISA, ALAN | THE GROWTH FUND OF AMERICA-A | 60.00 | 0.60 | 0.00 | 0.60 | 80.0 | 0.48 |
| | ACCOUNT #: 00066758344 | BUY 1 Units @55.2400 | | | | | | |
| 08/03/2018 | ***-**-405P - FRISA, ALAN | THE GROWTH FUND OF AMERICA-A | 71.90 | 0.72 | 0.00 | 0.72 | 80.0 | 0.58 |
| | ACCOUNT #: 00066758344 | BUY 1 Units @55.2400 | | | | | | |
| 08/03/2018 | ***-**-445P - BURNS, DANIEL | THE GROWTH FUND OF AMERICA-A | 211.20 | 2.11 | 0.00 | 2.11 | 80.0 | 1.69 |
| | ACCOUNT #: 00066758346 | BUY 4 Units @55.2400 | | | | | | |
| 08/03/2018 | ***-**-445P - BURNS, DANIEL | THE GROWTH FUND OF AMERICA-A | 114.93 | 1.15 | 0.00 | 1.15 | 80.0 | 0.92 |
| | ACCOUNT #: 00066758346 | BUY 2 Units @55.2400 | | | | | | |
| 08/03/2018 | ***-**-275P - LAMANNA, DANIEL | THE GROWTH FUND OF AMERICA-A | 60.00 | 0.60 | 0.00 | 0.60 | 80.0 | 0.48 |
| | ACCOUNT #: 00066758347 | BUY 1 Units @55.2400 | | | | | | |
| 08/03/2018 | ***-**-275P - LAMANNA, DANIEL | THE GROWTH FUND OF AMERICA-A | 83.49 | 0.83 | 0.00 | 0.83 | 80.0 | 0.66 |
| | ACCOUNT #: 00066758347 | BUY 2 Units @55.2400 | | | | | | |
| 08/03/2018 | ***-**-945P - VEGA, EDWARD | THE GROWTH FUND OF AMERICA-A | 100.00 | 1.00 | 0.00 | 1.00 | 80.0 | 0.80 |
| | ACCOUNT #: 00066758348 | BUY 2 Units @55.2400 | | | | | | |
| 08/03/2018 | ***-**-945P - VEGA, EDWARD | THE GROWTH FUND OF AMERICA-A | 72.19 | 0.72 | 0.00 | 0.72 | 80.0 | 0.58 |
| | ACCOUNT #: 00066758348 | BUY 1 Units @55.2400 | | | | | | |
| 08/03/2018 | ***-**-095P - RIVERA, MIGUEL | THE GROWTH FUND OF AMERICA-A | 260.00 | 2.60 | 0.00 | 2.60 | 80.0 | 2.08 |
| | ACCOUNT #: 00074922243 | BUY 5 Units @55.2400 | | | | | | |
| 08/03/2018 | ***-**-095P - RIVERA, MIGUEL | THE GROWTH FUND OF AMERICA-A | 100.06 | 1.00 | 0.00 | 1.00 | 80.0 | 0.80 |
| | ACCOUNT #: 00074922243 | BUY 2 Units @55.2400 | | | | | | |
| 08/03/2018 | ***-**-4750 - OSCHMANN, MARK | THE GROWTH FUND OF AMERICA-A | 130.49 | 1.30 | 0.00 | 1.30 | 80.0 | 1.04 |
| | ACCOUNT #: 00083414110 | BUY 2 Units @55.2400 | | | | | | |



COMMISSION STATEMENT

August 13, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 08/03/2018 | ***-**-4750 - OSCHMANN, MARK | THE GROWTH FUND OF AMERICA-A | 157.58 | 1.58 | 0.00 | 1.58 | 80.0 | 1.26 |
| | ACCOUNT #: 00083414110 | BUY 3 Units @55.2400 | | | | | | |
| 08/03/2018 | ***-**-9447 - ZAJONCZKOSKI, | THE GROWTH FUND OF AMERICA-A | 40.00 | 0.40 | 0.00 | 0.40 | 80.0 | 0.32 |
| | ACCOUNT #: 00087318332 | BUY 1 Units @55.2400 | | | | | | |
| 08/03/2018 | ***-**-9447 - ZAJONCZKOSKI, | THE GROWTH FUND OF AMERICA-A | 116.72 | 1.17 | 0.00 | 1.17 | 80.0 | 0.94 |
| | ACCOUNT #: 00087318332 | BUY 2 Units @55.2400 | | | | | | |
| 08/03/2018 | ***-**-4263 - HARTER, IAN | THE GROWTH FUND OF AMERICA-A | 20.00 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| | ACCOUNT #: 00089461113 | BUY 0 Units @55.2400 | | | | | | |
| 08/03/2018 | ***-**-4263 - HARTER, IAN | THE GROWTH FUND OF AMERICA-A | 37.81 | 0.38 | 0.00 | 0.38 | 80.0 | 0.30 |
| | ACCOUNT #: 00089461113 | BUY 1 Units @55.2400 | | | | | | |
| 08/03/2018 | ***-**-425P - DELGAIZO, LOUISA | THE GROWTH FUND OF AMERICA-A | 66.75 | 0.80 | 0.00 | 0.80 | 80.0 | 0.64 |
| | ACCOUNT #: 00063412117 | BUY 1 Units @56.0800 | | | | | | |
| 08/03/2018 | ***-**-535P - DELGAIZO, | THE GROWTH FUND OF AMERICA-A | 66.75 | 0.80 | 0.00 | 0.80 | 80.0 | 0.64 |
| | ACCOUNT #: 00063451518 | BUY 1 Units @56.0800 | | | | | | |
| 08/10/2018 | ***-**-195P - OZMINKOWSKI, | THE GROWTH FUND OF AMERICA-A | 174.14 | 1.74 | 0.00 | 1.74 | 80.0 | 1.39 |
| | ACCOUNT #: 00066758342 | BUY 3 Units @55.3000 | | | | | | |
| 08/10/2018 | ***-**-405P - FRISA, ALAN | THE GROWTH FUND OF AMERICA-A | 71.90 | 0.72 | 0.00 | 0.72 | 80.0 | 0.58 |
| | ACCOUNT #: 00066758344 | BUY 1 Units @55.3000 | | | | | | |
| 08/10/2018 | ***-**-445P - BURNS, DANIEL | THE GROWTH FUND OF AMERICA-A | 114.93 | 1.15 | 0.00 | 1.15 | 80.0 | 0.92 |
| | ACCOUNT #: 00066758346 | BUY 2 Units @55.3000 | | | | | | |
| 08/10/2018 | ***-**-275P - LAMANNA, DANIEL | THE GROWTH FUND OF AMERICA-A | 83.49 | 0.83 | 0.00 | 0.83 | 80.0 | 0.66 |
| | ACCOUNT #: 00066758347 | BUY 2 Units @55.3000 | | | | | | |
| 08/10/2018 | ***-**-945P - VEGA, EDWARD | THE GROWTH FUND OF AMERICA-A | 72.19 | 0.72 | 0.00 | 0.72 | 80.0 | 0.58 |
| | ACCOUNT #: 00066758348 | BUY 1 Units @55.3000 | | | | | | |
| 08/10/2018 | ***-**-095P - RIVERA, MIGUEL | THE GROWTH FUND OF AMERICA-A | 100.06 | 1.00 | 0.00 | 1.00 | 80.0 | 0.80 |
| | ACCOUNT #: 00074922243 | BUY 2 Units @55.3000 | | | | | | |
| 08/10/2018 | ***-**-4750 - OSCHMANN, MARK | THE GROWTH FUND OF AMERICA-A | 157.58 | 1.58 | 0.00 | 1.58 | 80.0 | 1.26 |
| | ACCOUNT #: 00083414110 | BUY 3 Units @55.3000 | | | | | | |
| 08/10/2018 | ***-**-9447 - ZAJONCZKOSKI, | THE GROWTH FUND OF AMERICA-A | 116.72 | 1.17 | 0.00 | 1.17 | 80.0 | 0.94 |
| | ACCOUNT #: 00087318332 | BUY 2 Units @55.3000 | | | | | | |
| 08/10/2018 | ***-**-4263 - HARTER, IAN | THE GROWTH FUND OF AMERICA-A | 37.81 | 0.38 | 0.00 | 0.38 | 80.0 | 0.30 |
| | ACCOUNT #: 00089461113 | BUY 1 Units @55.3000 | | | | | | |



COMMISSION STATEMENT

August 13, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--------------------------------|------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 07/30/2018 | ***-**-6500 - COOK, MARTIN | THE GROWTH FUND OF AMERICA-C | 2.50 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085902231 | BUY 0 Units @50.5700 | | | | | | |
| 07/30/2018 | ***-**-6500 - COOK, MARTIN | THE GROWTH FUND OF AMERICA-C | 2.50 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085902231 | BUY 0 Units @50.5700 | | | | | | |
| 07/30/2018 | ***-**-96CL - SCARPULLA, | THE GROWTH FUND OF AMERICA-C | 48.20 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| | ACCOUNT #: 00087038420 | BUY 1 Units @50.5700 | | | | | | |
| 07/30/2018 | ***-**-96CL - SCARPULLA, | THE GROWTH FUND OF AMERICA-C | 1.80 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| | ACCOUNT #: 00087038420 | BUY 0 Units @50.5700 | | | | | | |
| 07/30/2018 | ***-**-96CL - SCARPULLA, | THE GROWTH FUND OF AMERICA-C | 30.00 | 0.30 | 0.00 | 0.30 | 80.0 | 0.24 |
| | ACCOUNT #: 00089045192 | BUY 1 Units @50.5700 | | | | | | |
| 07/30/2018 | ***-**-482I - MARCONI, TODD | THE GROWTH FUND OF AMERICA-C | 10.00 | 0.10 | 0.00 | 0.10 | 80.0 | 0.08 |
| | ACCOUNT #: 00089570936 | BUY 0 Units @50.5700 | | | | | | |
| 07/30/2018 | ***-**-312S - DISCH, JASMINE | THE GROWTH FUND OF AMERICA-C | 16.19 | 0.16 | 0.00 | 0.16 | 80.0 | 0.13 |
| | ACCOUNT #: 00089583477 | BUY 0 Units @50.5700 | | | | | | |
| 07/30/2018 | ***-**-5945 - INFANTINO, | THE GROWTH FUND OF AMERICA-C | 400.00 | 4.00 | 0.00 | 4.00 | 80.0 | 3.20 |
| | ACCOUNT #: 04000722726 | BUY 8 Units @50.5700 | | | | | | |
| 07/31/2018 | ***-**-435P - BOSCO, SOFIA | THE GROWTH FUND OF AMERICA-C | 176.00 | 1.76 | 0.00 | 1.76 | 80.0 | 1.41 |
| | ACCOUNT #: 00073003754 | BUY 3 Units @50.8100 | | | | | | |
| 07/31/2018 | ***-**-1134 - BARTON, WILLIAM | THE GROWTH FUND OF AMERICA-C | 60.17 | 0.60 | 0.00 | 0.60 | 80.0 | 0.48 |
| | ACCOUNT #: 00089319958 | BUY 1 Units @50.8100 | | | | | | |
| 07/31/2018 | ***-**-0006 - FORSYTH, KATHRYN | THE GROWTH FUND OF AMERICA-C | 86.54 | 0.87 | 0.00 | 0.87 | 80.0 | 0.70 |
| | ACCOUNT #: 04000650661 | BUY 2 Units @50.8100 | | | | | | |
| 08/01/2018 | ***-**-984I - DELUCA, SHARI | THE GROWTH FUND OF AMERICA-C | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 00064868315 | BUY 1 Units @50.7200 | | | | | | |
| 08/02/2018 | ***-**-4392 - GARCIA, MICHELLE | THE GROWTH FUND OF AMERICA-C | 25.00 | 0.25 | 0.00 | 0.25 | 80.0 | 0.20 |
| | ACCOUNT #: 00085764363 | BUY 0 Units @51.0600 | | | | | | |
| 08/02/2018 | ***-**-96CL - SCARPULLA, | THE GROWTH FUND OF AMERICA-C | 50.40 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 00089722785 | BUY 1 Units @51.0600 | | | | | | |
| 08/03/2018 | ***-**-6500 - COOK, MARTIN | THE GROWTH FUND OF AMERICA-C | 2.50 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085902231 | BUY 0 Units @50.9900 | | | | | | |
| 08/03/2018 | ***-**-6500 - COOK, MARTIN | THE GROWTH FUND OF AMERICA-C | 2.50 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| | ACCOUNT #: 00085902231 | BUY 0 Units @50.9900 | | | | | | |



COMMISSION STATEMENT

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400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 08/03/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @50.9900 | 1.80 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| 08/03/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | THE GROWTH FUND OF AMERICA-C BUY 1 Units @50.9900 | 48.20 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| 08/06/2018 | ***-**-6500 - COOK, MARTIN ACCOUNT #: 00085902231 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @51.2600 | 2.50 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| 08/06/2018 | ***-**-6500 - COOK, MARTIN ACCOUNT #: 00085902231 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @51.2600 | 2.50 | 0.03 | 0.00 | 0.03 | 80.0 | 0.02 |
| 08/06/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | THE GROWTH FUND OF AMERICA-C BUY 1 Units @51.2600 | 48.20 | 0.48 | 0.00 | 0.48 | 80.0 | 0.38 |
| 08/06/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @51.2600 | 1.80 | 0.02 | 0.00 | 0.02 | 80.0 | 0.02 |
| 08/07/2018 | ***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192 | THE GROWTH FUND OF AMERICA-C BUY 1 Units @51.4800 | 30.00 | 0.30 | 0.00 | 0.30 | 80.0 | 0.24 |
| 08/07/2018 | ***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @51.4800 | 10.00 | 0.10 | 0.00 | 0.10 | 80.0 | 0.08 |
| 08/07/2018 | ***-**-312S - DISCH, JASMINE ACCOUNT #: 00089583477 | THE GROWTH FUND OF AMERICA-C BUY 0 Units @51.4800 | 18.58 | 0.19 | 0.00 | 0.19 | 80.0 | 0.15 |
| 08/10/2018 | ***-**-1307 - BONACCI, ACCOUNT #: 00087952601 | THE GROWTH FUND OF AMERICA-C BUY 2 Units @51.0400 | 100.00 | 1.00 | 0.00 | 1.00 | 80.0 | 0.80 |
| 08/10/2018 | ***-**-50IN - BONACCI, ACCOUNT #: 00089947853 | THE GROWTH FUND OF AMERICA-C BUY 2 Units @51.0400 | 100.00 | 1.00 | 0.00 | 1.00 | 80.0 | 0.80 |
| 08/10/2018 | ***-**-6171 - ROWE, KEVIN ACCOUNT #: 04000591484 | THE GROWTH FUND OF AMERICA-C BUY 4 Units @51.0400 | 225.00 | 2.25 | 0.00 | 2.25 | 80.0 | 1.80 |
| 08/10/2018 | ***-**-8208 - KARNS, SHANNON ACCOUNT #: 04000591533 | THE GROWTH FUND OF AMERICA-C BUY 4 Units @51.0400 | 225.00 | 2.25 | 0.00 | 2.25 | 80.0 | 1.80 |
| 08/01/2018 | ***-**-545P - VISCA, ANGELO ACCOUNT #: 00063056262 | THE INVESTMENT COMPANY OF AMERICA-A BUY 2 Units @42.8400 | 100.00 | 2.75 | 0.00 | 2.75 | 80.0 | 2.20 |
| 08/03/2018 | ***-**-195P - OZMINKOWSKI, ACCOUNT #: 00066758342 | THE INVESTMENT COMPANY OF AMERICA-A BUY 12 Units @41.5500 | 500.00 | 5.00 | 0.00 | 5.00 | 80.0 | 4.00 |
| 08/03/2018 | ***-**-195P - OZMINKOWSKI, ACCOUNT #: 00066758342 | THE INVESTMENT COMPANY OF AMERICA-A BUY 4 Units @41.5500 | 174.14 | 1.74 | 0.00 | 1.74 | 80.0 | 1.39 |



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|---|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 08/03/2018 | ***-**-445P - BURNS, DANIEL | THE INVESTMENT COMPANY OF AMERICA-A | 83.20 | 0.83 | 0.00 | 0.83 | 80.0 | 0.66 |
| | ACCOUNT #: 00066758346 | BUY 2 Units @41.5500 | | | | | | |
| 08/03/2018 | ***-**-445P - BURNS, DANIEL | THE INVESTMENT COMPANY OF AMERICA-A | 45.28 | 0.45 | 0.00 | 0.45 | 80.0 | 0.36 |
| | ACCOUNT #: 00066758346 | BUY 1 Units @41.5500 | | | | | | |
| 08/03/2018 | ***-**-945P - VEGA, EDWARD | THE INVESTMENT COMPANY OF AMERICA-A | 100.00 | 1.00 | 0.00 | 1.00 | 80.0 | 0.80 |
| | ACCOUNT #: 00066758348 | BUY 2 Units @41.5500 | | | | | | |
| 08/03/2018 | ***-**-945P - VEGA, EDWARD | THE INVESTMENT COMPANY OF AMERICA-A | 72.19 | 0.72 | 0.00 | 0.72 | 80.0 | 0.58 |
| | ACCOUNT #: 00066758348 | BUY 2 Units @41.5500 | | | | | | |
| 08/03/2018 | ***-**-965P - MCGUIRE, SCOTT | THE INVESTMENT COMPANY OF AMERICA-A | 40.00 | 0.40 | 0.00 | 0.40 | 80.0 | 0.32 |
| | ACCOUNT #: 00073798048 | BUY 1 Units @41.5500 | | | | | | |
| 08/03/2018 | ***-**-965P - MCGUIRE, SCOTT | THE INVESTMENT COMPANY OF AMERICA-A | 56.99 | 0.57 | 0.00 | 0.57 | 80.0 | 0.46 |
| | ACCOUNT #: 00073798048 | BUY 1 Units @41.5500 | | | | | | |
| 08/06/2018 | ***-**-395P - DIVASTA, ANTHONY | THE INVESTMENT COMPANY OF AMERICA-A | 50.00 | 1.88 | 0.00 | 1.88 | 80.0 | 1.50 |
| | ACCOUNT #: 00059597416 | BUY 1 Units @43.5800 | | | | | | |
| 08/10/2018 | ***-**-195P - OZMINKOWSKI, | THE INVESTMENT COMPANY OF AMERICA-A | 174.14 | 1.74 | 0.00 | 1.74 | 80.0 | 1.39 |
| | ACCOUNT #: 00066758342 | BUY 4 Units @41.2900 | | | | | | |
| 08/10/2018 | ***-**-445P - BURNS, DANIEL | THE INVESTMENT COMPANY OF AMERICA-A | 45.28 | 0.45 | 0.00 | 0.45 | 80.0 | 0.36 |
| | ACCOUNT #: 00066758346 | BUY 1 Units @41.2900 | | | | | | |
| 08/10/2018 | ***-**-945P - VEGA, EDWARD | THE INVESTMENT COMPANY OF AMERICA-A | 72.19 | 0.72 | 0.00 | 0.72 | 80.0 | 0.58 |
| | ACCOUNT #: 00066758348 | BUY 2 Units @41.2900 | | | | | | |
| 08/10/2018 | ***-**-965P - MCGUIRE, SCOTT | THE INVESTMENT COMPANY OF AMERICA-A | 56.99 | 0.57 | 0.00 | 0.57 | 80.0 | 0.46 |
| | ACCOUNT #: 00073798048 | BUY 1 Units @41.2900 | | | | | | |
| 08/01/2018 | ***-**-9024 - HACKETT, ETHAN | THE NEW ECONOMY FUND-C | 25.00 | 0.25 | 0.00 | 0.25 | 80.0 | 0.20 |
| | ACCOUNT #: 00088123299 | BUY 1 Units @43.6200 | | | | | | |
| 07/30/2018 | ***-**-278J - GUERRIERI, JOHN | THE TAX-EXEMPT BOND FUND OF AMERICA | 2,000.00 | 20.00 | 0.00 | 20.00 | 80.0 | 16.00 |
| | ACCOUNT #: 00084395514 | BUY 156 Units @12.8100 | | | | | | |
| 07/30/2018 | ***-**-511N - GUERRIERI, KIM | THE TAX-EXEMPT BOND FUND OF AMERICA | 1,000.00 | 10.00 | 0.00 | 10.00 | 80.0 | 8.00 |
| | ACCOUNT #: 00085399151 | BUY 78 Units @12.8100 | | | | | | |
| 07/30/2018 | ***-**-75UG - GUERRIERI, SOFIA | THE TAX-EXEMPT BOND FUND OF AMERICA | 250.00 | 2.50 | 0.00 | 2.50 | 80.0 | 2.00 |
| | ACCOUNT #: 00085399166 | BUY 20 Units @12.8100 | | | | | | |
| 08/01/2018 | ***-**-935J - RUSH, DONNA | THE TAX-EXEMPT BOND FUND OF AMERICA | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 00085296459 | BUY 4 Units @12.8000 | | | | | | |



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|---|--|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS (continued) | | | | | | | | |
| 08/01/2018 | ***-**-44UG - GUERRIERI, ACCOUNT #: 00085399145 | THE TAX-EXEMPT BOND FUND OF AMERICA BUY 20 Units @12.8000 | 250.00 | 2.50 | 0.00 | 2.50 | 80.0 | 2.00 |
| 08/07/2018 | ***-**-63IN - DIAMOND, ANN ACCOUNT #: 00085468057 | U.S. GOVERNMENT SECURITIES FUND-C BUY 4 Units @13.2800 | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| 08/01/2018 | ***-**-545P - VISCA, ANGELO ACCOUNT #: 00063056262 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @47.3900 | 50.00 | 1.38 | 0.00 | 1.38 | 80.0 | 1.10 |
| 08/03/2018 | ***-**-415P - MALOVICS, LASZLO ACCOUNT #: 00066758345 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 8 Units @45.9500 | 348.24 | 3.48 | 0.00 | 3.48 | 80.0 | 2.78 |
| 08/03/2018 | ***-**-445P - BURNS, DANIEL ACCOUNT #: 00066758346 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 2 Units @45.9500 | 89.60 | 0.90 | 0.00 | 0.90 | 80.0 | 0.72 |
| 08/03/2018 | ***-**-445P - BURNS, DANIEL ACCOUNT #: 00066758346 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @45.9500 | 48.76 | 0.49 | 0.00 | 0.49 | 80.0 | 0.39 |
| 08/03/2018 | ***-**-415P - MALOVICS, LASZLO ACCOUNT #: 00066758345 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 22 Units @45.9500 | 1,000.00 | 10.00 | 0.00 | 10.00 | 80.0 | 8.00 |
| 08/10/2018 | ***-**-415P - MALOVICS, LASZLO ACCOUNT #: 00066758345 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 8 Units @45.7600 | 348.24 | 3.48 | 0.00 | 3.48 | 80.0 | 2.78 |
| 08/10/2018 | ***-**-445P - BURNS, DANIEL ACCOUNT #: 00066758346 | WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @45.7600 | 48.76 | 0.49 | 0.00 | 0.49 | 80.0 | 0.39 |
| 07/30/2018 | ***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936 | WASHINGTON MUTUAL INVESTORS FUND-C BUY 0 Units @45.1000 | 5.00 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| 07/31/2018 | ***-**-435P - BOSCO, SOFIA ACCOUNT #: 00073003754 | WASHINGTON MUTUAL INVESTORS FUND-C BUY 4 Units @45.2500 | 187.00 | 1.87 | 0.00 | 1.87 | 80.0 | 1.50 |
| 08/01/2018 | ***-**-984I - DELUCA, SHARI ACCOUNT #: 00064868315 | WASHINGTON MUTUAL INVESTORS FUND-C BUY 1 Units @45.1000 | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| 08/03/2018 | ***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177 | WASHINGTON MUTUAL INVESTORS FUND-C BUY 1 Units @45.3200 | 40.00 | 0.40 | 0.00 | 0.40 | 80.0 | 0.32 |
| 08/07/2018 | ***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936 | WASHINGTON MUTUAL INVESTORS FUND-C BUY 0 Units @45.4900 | 5.00 | 0.05 | 0.00 | 0.05 | 80.0 | 0.04 |
| 08/08/2018 | ***-**-625P - CENTOLA, ANITA ACCOUNT #: 00074256566 | WASHINGTON MUTUAL INVESTORS FUND-C BUY 0 Units @45.5100 | 20.00 | 0.20 | 0.00 | 0.20 | 80.0 | 0.16 |
| 08/09/2018 | ***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177 | WASHINGTON MUTUAL INVESTORS FUND-C BUY 1 Units @45.4400 | 40.00 | 0.40 | 0.00 | 0.40 | 80.0 | 0.32 |



COMMISSION STATEMENT

August 13, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: 529 PLAN (continued) | | | | | | | | |
| * MUTUAL FUNDS SUBTOTAL * | | | \$33,060.56 | \$311.24 | \$18.00 | \$293.24 | | \$234.46 |
| PRODUCT CATEGORY: 529 PLAN | | | | | | | | |
| 08/01/2018 | ***-**-7255 - LIPPA, HARITINI | AMERICAN BALANCED FUND-529C | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 00087198121 | BUY 2 Units @27.5700 | | | | | | |
| 08/01/2018 | ***-**-7255 - LIPPA, HARITINI | AMERICAN BALANCED FUND-529C | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 00087198137 | BUY 2 Units @27.5700 | | | | | | |
| 08/13/2018 | ***-**-6793 - ESTEP, ARDEN | NY 529 ADDITIONAL DEPOSIT | 50.00 | 0.50 | 0.00 | 0.50 | 80.0 | 0.40 |
| | ACCOUNT #: 77375699601 | BUY 0 Units @0.0000 | | | | | | |
| 08/13/2018 | ***-**-015P - FANTAUZZO, | NY 529 ADDITIONAL DEPOSIT | 165.00 | 1.65 | 0.00 | 1.65 | 80.0 | 1.32 |
| | ACCOUNT #: 77529133201 | BUY 0 Units @0.0000 | | | | | | |
| 08/13/2018 | ***-**-335P - JULIAN, GIOVANA | NY 529 ADDITIONAL DEPOSIT | 138.52 | 1.39 | 0.00 | 1.39 | 80.0 | 1.11 |
| | ACCOUNT #: 77613466001 | BUY 0 Units @0.0000 | | | | | | |
| 08/13/2018 | ***-**-6352 - GINERVA, ENZA | NY 529 ADDITIONAL DEPOSIT | 25.00 | 0.25 | 0.00 | 0.25 | 80.0 | 0.20 |
| | ACCOUNT #: 77766297801 | BUY 0 Units @0.0000 | | | | | | |
| 08/13/2018 | ***-**-2942 - WARNEY, KENNETH | NY 529 ADDITIONAL DEPOSIT | 25.00 | 0.25 | 0.00 | 0.25 | 80.0 | 0.20 |
| | ACCOUNT #: 77932712101 | BUY 0 Units @0.0000 | | | | | | |
| * 529 PLAN SUBTOTAL * | | | \$503.52 | \$5.04 | \$0.00 | \$5.04 | | \$4.03 |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | |
| 08/13/2018 | ***-**-540J - ARBORE, MARIA | COLUMBIA THREADNEEDLE TRAILS | 0.00 | 2.27 | 0.00 | 2.27 | 80.0 | 1.82 |
| | | BUY 0 Units @0.0000 | | | | | | |
| 08/13/2018 | ***-**-07IN - SCARPULLA, | COLUMBIA THREADNEEDLE TRAILS | 0.00 | 15.80 | 0.00 | 15.80 | 80.0 | 12.64 |
| | | BUY 0 Units @0.0000 | | | | | | |
| 08/06/2018 | ***-**-732J - ARBORE, MARIA | CORE BOND FUND - A | 0.00 | 4.25 | 0.00 | 4.25 | 80.0 | 3.40 |
| | ACCOUNT #: 00000108231 | BUY 0 Units @0.0000 | | | | | | |
| 08/13/2018 | ***-**-526I - CAVALLARO, | DREYFUS MF TRAILS | 0.00 | 1.10 | 0.00 | 1.10 | 80.0 | 0.88 |
| | ACCOUNT #: 3360045601 | BUY 0 Units @0.0000 | | | | | | |
| 08/07/2018 | ***-**-4550 - JONES, JEFFREY | FA 529 PORT 2019-CL P | 0.00 | 7.87 | 0.00 | 7.87 | 80.0 | 6.30 |
| | ACCOUNT #: 00529631491 | BUY 0 Units @0.0000 | | | | | | |
| 08/07/2018 | ***-**-7278 - GUERRIERI DDS, | FIDELITY ADV ASSET MANAGER 50 PERCE | 0.00 | 0.73 | 0.00 | 0.73 | 80.0 | 0.58 |
| | ACCOUNT #: 00655383206 | BUY 0 Units @0.0000 | | | | | | |



COMMISSION STATEMENT

August 13, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 08/07/2018 | ***-**-0471 - BONACCI, LAURIE | FIDELITY ADV FREEDOM 2020-CL C | 0.00 | 1.79 | 0.00 | 1.79 | 80.0 | 1.43 |
| | ACCOUNT #: 01475410302 | BUY 0 Units @0.0000 | | | | | | |
| 08/07/2018 | ***-**-0471 - BONACCI, LAURIE | FIDELITY ADV INTL DISCOVERY-CL A | 0.00 | 1.67 | 0.00 | 1.67 | 80.0 | 1.34 |
| | ACCOUNT #: 01475423313 | BUY 0 Units @0.0000 | | | | | | |
| 08/07/2018 | ***-**-0471 - BONACCI, LAURIE | FIDELITY ADV LEVERAGED CO STOCK CL | 0.00 | 3.85 | 0.00 | 3.85 | 80.0 | 3.08 |
| | ACCOUNT #: 01475423313 | BUY 0 Units @0.0000 | | | | | | |
| 08/07/2018 | ***-**-0471 - BONACCI, LAURIE | FIDELITY ADV MID CAP II-CL A | 0.00 | 5.11 | 0.00 | 5.11 | 80.0 | 4.09 |
| | ACCOUNT #: 01475423313 | BUY 0 Units @0.0000 | | | | | | |
| 08/07/2018 | ***-**-0471 - BONACCI, LAURIE | FIDELITY ADV NEW INSIGHTS-CL A | 0.00 | 5.10 | 0.00 | 5.10 | 80.0 | 4.08 |
| | ACCOUNT #: 01475423313 | BUY 0 Units @0.0000 | | | | | | |
| 08/07/2018 | ***-**-7352 - GEISELHART, | FIDELITY ADV STOCK SELECTOR ALL CAP | 0.00 | 32.60 | 0.00 | 32.60 | 80.0 | 26.08 |
| | ACCOUNT #: 00618796254 | BUY 0 Units @0.0000 | | | | | | |
| 08/07/2018 | ***-**-5703 - DIODATO, | FIDELITY ADV STRATEGIC INCOME CL C | 0.00 | 0.29 | 0.00 | 0.29 | 80.0 | 0.23 |
| | ACCOUNT #: 03900218260 | BUY 0 Units @0.0000 | | | | | | |
| 08/07/2018 | ***-**-0471 - BONACCI, LAURIE | FIDELITY ADV TECHNOLOGY CL A | 0.00 | 5.12 | 0.00 | 5.12 | 80.0 | 4.10 |
| | ACCOUNT #: 01475423313 | BUY 0 Units @0.0000 | | | | | | |
| 08/07/2018 | ***-**-0471 - BONACCI, LAURIE | FIDELITY ADVISOR FREEDOM 2020 CL A | 0.00 | 0.94 | 0.00 | 0.94 | 80.0 | 0.75 |
| | ACCOUNT #: 01475410302 | BUY 0 Units @0.0000 | | | | | | |
| 08/03/2018 | ***-**-572I - CRUZ, FELIX | GOLDMAN SACHS ASSET ALLOC GR & INC | 0.00 | 4.94 | 0.00 | 4.94 | 80.0 | 3.95 |
| | ACCOUNT #: 00519076596 | BUY 0 Units @0.0000 | | | | | | |
| 08/06/2018 | ***-**-39IN - ARBORE, MARIA | GROWTH AND INCOME FUND - A | 0.00 | 3.00 | 0.00 | 3.00 | 80.0 | 2.40 |
| | ACCOUNT #: 00000176375 | BUY 0 Units @0.0000 | | | | | | |
| 08/06/2018 | ***-**-33UG - DELGAIZO, ALESHA | SMALL CAP EQUITY FUND - A | 0.00 | 1.78 | 0.00 | 1.78 | 80.0 | 1.42 |
| | ACCOUNT #: 00000413901 | BUY 0 Units @0.0000 | | | | | | |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$98.21 | \$0.00 | \$98.21 | | \$78.57 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$33,564.08 | \$414.49 | \$18.00 | \$396.49 | | \$317.06 |



BROKER #: 417
BRANCH #01016 - 16 - CASPER

| | | | | | BROKER |
|------------------------------------|--------|------------|--------|------------------|--------------------------------|
| DATE | CLIENT | INVESTMENT | AMOUNT | GROSS COMMISSION | NET COMMISSION RATE COMMISSION |
| <div></div> | | | | | |
| BROKER COMMISSION TOTALS | | | | | |
| | | | | | |
| Broker Transactions \$317.06 | | | | | |
| Split Transactions \$0.00 | | | | | |
| Override Transactions \$0.00 | | | | | |
| Adjustments \$0.00 | | | | | |
| Payroll Draw \$0.00 | | | | | |
| Base Salary \$0.00 | | | | | |
| Prior Period Balance \$28.83 | | | | | |
| FINRA Assessment \$0.00 | | | | | |
| SIPC Assessment \$0.00 | | | | | |
| | | | | | |
| Net Commission Earned \$345.89 | | | | | |
| Year-to-date Earnings \$143,313.79 | | | | | |