

**COMMISSION STATEMENT****October 31, 2017****DANIEL RAUPP**

417 E. Main Street

3rd Floor

Port Jefferson, NY 11777

**BROKER #: R132**

BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
10/20/2017	COPPOLA, PAOLO	SAWTOOTH	0.00	2,029.68	0.00	2,029.68	100.0	2,029.68
10/20/2017	PAVIS, NANCY	SAWTOOTH	0.00	152.75	0.00	152.75	100.0	152.75
10/20/2017	PAVIS, NANCY	SAWTOOTH	0.00	2,156.52	0.00	2,156.52	100.0	2,156.52
* RIA SUBTOTAL *			\$0.00	\$4,338.95	\$0.00	\$4,338.95		\$4,338.95
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$4,338.95	\$0.00	\$4,338.95		\$4,338.95
<b><u>ADJUSTMENTS</u></b>								
10/31/2017		*MANAGED FEE EXPENSE: SAWTOOTH ACCT	0.00					-11.11
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-11.11

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$4,338.95
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-11.11
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,327.84
Year-to-date Gross	\$19,752.32
Year-to-date Earnings	\$19,752.32