

**COMMISSION STATEMENT****December 31, 2017**JOHN KILLEEN  
306 SCHUNNEMUNK STREETBROKER #: 43  
BRANCH: HOME OFFICE

MONROE, NY 10950

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

11/30/2017	00061663272	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	300.00	1.50	0.00	1.50	86.0	1.29
11/30/2017	00061663272	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	1.29
11/30/2017	00061663272	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.86
11/30/2017	00061663272	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
11/30/2017	00061663272	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/19/2017	00061663272	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	300.00	1.50	0.00	1.50	86.0	1.29
12/19/2017	00061663272	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	1.29
12/19/2017	00061663272	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.86
12/19/2017	00061663272	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/19/2017	00061663272	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
11/30/2017	00061736606	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	312.54	1.56	0.00	1.56	86.0	1.34
11/30/2017	00061736606	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	168.29	0.84	0.00	0.84	86.0	0.72
12/19/2017	00061736606	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	312.54	1.56	0.00	1.56	86.0	1.34
12/19/2017	00061736606	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	168.29	0.84	0.00	0.84	86.0	0.72
11/30/2017	00061736608	HEGARTY, EILEEN	AMCAP FUND-A	B	120.00	0.60	0.00	0.60	86.0	0.52
11/30/2017	00061736608	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	120.00	0.60	0.00	0.60	86.0	0.52
12/19/2017	00061736608	HEGARTY, EILEEN	AMCAP FUND-A	B	120.00	0.60	0.00	0.60	86.0	0.52
12/19/2017	00061736608	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	120.00	0.60	0.00	0.60	86.0	0.52
11/30/2017	00061736614	HEGARTY, EILEEN	AMCAP FUND-A	B	150.00	0.75	0.00	0.75	86.0	0.65
11/30/2017	00061736614	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
11/30/2017	00061736614	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
11/30/2017	00061736614	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.86
11/30/2017	00061736614	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.86
11/30/2017	00061736614	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
11/30/2017	00061736614	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	150.00	0.75	0.00	0.75	86.0	0.65
12/19/2017	00061736614	HEGARTY, EILEEN	AMCAP FUND-A	B	150.00	0.75	0.00	0.75	86.0	0.65
12/19/2017	00061736614	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/19/2017	00061736614	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/19/2017	00061736614	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.86
12/19/2017	00061736614	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.86
12/19/2017	00061736614	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
12/19/2017	00061736614	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	150.00	0.75	0.00	0.75	86.0	0.65
11/30/2017	00061736616	HEGARTY, EILEEN	AMCAP FUND-A	B	500.00	2.50	0.00	2.50	86.0	2.15
11/30/2017	00061736616	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	1.29
11/30/2017	00061736616	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86
12/19/2017	00061736616	HEGARTY, EILEEN	AMCAP FUND-A	B	500.00	2.50	0.00	2.50	86.0	2.15
12/19/2017	00061736616	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	1.29
12/19/2017	00061736616	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86
11/30/2017	00062829276	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.34
11/30/2017	00062829276	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	120.00	0.60	0.00	0.60	86.0	0.52
11/30/2017	00062829276	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.34
11/30/2017	00062829276	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	120.00	0.60	0.00	0.60	86.0	0.52
12/19/2017	00062829276	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.34
12/19/2017	00062829276	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	120.00	0.60	0.00	0.60	86.0	0.52
12/19/2017	00062829276	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.34
12/19/2017	00062829276	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	120.00	0.60	0.00	0.60	86.0	0.52
12/19/2017	00063329982	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	71.07	0.36	0.00	0.36	86.0	0.31
12/19/2017	00063329982	HEGARTY, EILEEN	NEW WORLD FUND-A	B	71.07	0.36	0.00	0.36	86.0	0.31
12/19/2017	00063329982	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	94.76	0.47	0.00	0.47	86.0	0.40
11/30/2017	00063362305	HEGARTY, EILEEN	AMCAP FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86
11/30/2017	00063362305	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
11/30/2017	00063362305	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	1.29
11/30/2017	00063362305	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.86
11/30/2017	00063362305	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86
12/19/2017	00063362305	HEGARTY, EILEEN	AMCAP FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86
12/19/2017	00063362305	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/19/2017	00063362305	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	1.29
12/19/2017	00063362305	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.86
12/19/2017	00063362305	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86
11/30/2017	00063362311	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	250.00	1.25	0.00	1.25	86.0	1.08
11/30/2017	00063362311	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.86
11/30/2017	00063362311	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.43
11/30/2017	00063362311	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86
11/30/2017	00063362311	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	250.00	1.25	0.00	1.25	86.0	1.08
12/19/2017	00063362311	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	250.00	1.25	0.00	1.25	86.0	1.08
12/19/2017	00063362311	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.86
12/19/2017	00063362311	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/19/2017	00063362311	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86
12/19/2017	00063362311	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	250.00	1.25	0.00	1.25	86.0	1.08
11/30/2017	00063795979	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	300.00	1.50	0.00	1.50	86.0	1.29
11/30/2017	00063795979	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75	86.0	0.65

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	00063795979	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	1.29
12/19/2017	00063795979	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	300.00	1.50	0.00	1.50	86.0	1.29
12/19/2017	00063795979	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75	86.0	0.65
12/19/2017	00063795979	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	1.29
11/30/2017	00064217122	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	82.52	0.41	0.00	0.41	86.0	0.35
11/30/2017	00064217122	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	33.01	0.17	0.00	0.17	86.0	0.15
11/30/2017	00064217122	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	49.51	0.25	0.00	0.25	86.0	0.22
12/19/2017	00064217122	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	82.52	0.41	0.00	0.41	86.0	0.35
12/19/2017	00064217122	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	33.01	0.17	0.00	0.17	86.0	0.15
12/19/2017	00064217122	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	49.51	0.25	0.00	0.25	86.0	0.22
11/30/2017	00064217123	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	65.00	0.33	0.00	0.33	86.0	0.28
11/30/2017	00064217123	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	65.00	0.33	0.00	0.33	86.0	0.28
11/30/2017	00064217123	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	65.00	0.33	0.00	0.33	86.0	0.28
11/30/2017	00064217123	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	65.00	0.33	0.00	0.33	86.0	0.28
12/19/2017	00064217123	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	65.00	0.33	0.00	0.33	86.0	0.28
12/19/2017	00064217123	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	65.00	0.33	0.00	0.33	86.0	0.28
12/19/2017	00064217123	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	65.00	0.33	0.00	0.33	86.0	0.28
12/19/2017	00064217123	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	65.00	0.33	0.00	0.33	86.0	0.28
11/30/2017	00064617395	BRAGHIROL, DANA							
		NEW PERSPECTIVE FUND-A	B	13.28	0.37	0.00	0.37	86.0	0.32
11/30/2017	00064617395	BRAGHIROL, DANA							
		NEW PERSPECTIVE FUND-A	B	15.00	0.41	0.00	0.41	86.0	0.35
11/30/2017	00064617395	BRAGHIROL, DANA							
		THE GROWTH FUND OF AMERICA-A	B	17.69	0.49	0.00	0.49	86.0	0.42
11/30/2017	00064617395	BRAGHIROL, DANA							
		THE GROWTH FUND OF AMERICA-A	B	20.00	0.55	0.00	0.55	86.0	0.47
11/30/2017	00064617395	BRAGHIROL, DANA							
		THE NEW ECONOMY FUND-A	B	13.28	0.37	0.00	0.37	86.0	0.32
11/30/2017	00064617395	BRAGHIROL, DANA							
		THE NEW ECONOMY FUND-A	B	15.00	0.41	0.00	0.41	86.0	0.35
12/04/2017	00064617395	BRAGHIROL, DANA							
		NEW PERSPECTIVE FUND-A	B	13.28	0.37	0.00	0.37	86.0	0.32
12/04/2017	00064617395	BRAGHIROL, DANA							
		NEW PERSPECTIVE FUND-A	B	15.00	0.41	0.00	0.41	86.0	0.35
12/04/2017	00064617395	BRAGHIROL, DANA							
		THE GROWTH FUND OF AMERICA-A	B	17.69	0.49	0.00	0.49	86.0	0.42
12/04/2017	00064617395	BRAGHIROL, DANA							
		THE GROWTH FUND OF AMERICA-A	B	20.00	0.55	0.00	0.55	86.0	0.47
12/04/2017	00064617395	BRAGHIROL, DANA							
		THE NEW ECONOMY FUND-A	B	13.28	0.37	0.00	0.37	86.0	0.32
12/04/2017	00064617395	BRAGHIROL, DANA							
		THE NEW ECONOMY FUND-A	B	15.00	0.41	0.00	0.41	86.0	0.35
12/11/2017	00064617395	BRAGHIROL, DANA							
		NEW PERSPECTIVE FUND-A	B	13.28	0.37	0.00	0.37	86.0	0.32
12/11/2017	00064617395	BRAGHIROL, DANA							
		NEW PERSPECTIVE FUND-A	B	15.00	0.41	0.00	0.41	86.0	0.35
12/11/2017	00064617395	BRAGHIROL, DANA							
		THE GROWTH FUND OF AMERICA-A	B	17.69	0.49	0.00	0.49	86.0	0.42
12/11/2017	00064617395	BRAGHIROL, DANA							
		THE GROWTH FUND OF AMERICA-A	B	20.00	0.55	0.00	0.55	86.0	0.47
12/11/2017	00064617395	BRAGHIROL, DANA							
		THE NEW ECONOMY FUND-A	B	13.28	0.37	0.00	0.37	86.0	0.32
12/11/2017	00064617395	BRAGHIROL, DANA							
		THE NEW ECONOMY FUND-A	B	15.00	0.41	0.00	0.41	86.0	0.35
12/18/2017	00064617395	BRAGHIROL, DANA							
		NEW PERSPECTIVE FUND-A	B	15.00	0.41	0.00	0.41	86.0	0.35
12/18/2017	00064617395	BRAGHIROL, DANA							
		NEW PERSPECTIVE FUND-A	B	13.28	0.37	0.00	0.37	86.0	0.32
12/18/2017	00064617395	BRAGHIROL, DANA							
		THE GROWTH FUND OF AMERICA-A	B	20.00	0.55	0.00	0.55	86.0	0.47
12/18/2017	00064617395	BRAGHIROL, DANA							
		THE GROWTH FUND OF AMERICA-A	B	17.69	0.49	0.00	0.49	86.0	0.42

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
12/18/2017	00064617395	BRAGHIROL, DANA							
		THE NEW ECONOMY FUND-A	B	15.00	0.41	0.00	0.41	86.0	0.35
12/18/2017	00064617395	BRAGHIROL, DANA							
		THE NEW ECONOMY FUND-A	B	13.28	0.37	0.00	0.37	86.0	0.32
11/30/2017	00068080343	HEGARTY, EILEEN							
		AMCAP FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
11/30/2017	00068080343	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
11/30/2017	00068080343	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	500.00	2.50	0.00	2.50	86.0	2.15
11/30/2017	00068080343	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.43
11/30/2017	00068080343	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86
12/19/2017	00068080343	HEGARTY, EILEEN							
		AMCAP FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/19/2017	00068080343	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/19/2017	00068080343	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	500.00	2.50	0.00	2.50	86.0	2.15
12/19/2017	00068080343	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/19/2017	00068080343	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86
11/30/2017	00068086982	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	150.57	0.75	0.00	0.75	86.0	0.65
11/30/2017	00068086982	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	150.57	0.75	0.00	0.75	86.0	0.65
12/19/2017	00068086982	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	150.57	0.75	0.00	0.75	86.0	0.65
12/19/2017	00068086982	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	150.57	0.75	0.00	0.75	86.0	0.65
11/30/2017	00070001812	HEGARTY, EILEEN							
		AMCAP FUND-A	B	16.98	0.08	0.00	0.08	86.0	0.07
11/30/2017	00070001812	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	33.95	0.17	0.00	0.17	86.0	0.15
11/30/2017	00070001812	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	33.95	0.17	0.00	0.17	86.0	0.15
11/30/2017	00070001812	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	33.95	0.17	0.00	0.17	86.0	0.15
11/30/2017	00070001812	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	50.93	0.25	0.00	0.25	86.0	0.22
12/19/2017	00070001812	HEGARTY, EILEEN							
		AMCAP FUND-A	B	16.98	0.08	0.00	0.08	86.0	0.07
12/19/2017	00070001812	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	33.95	0.17	0.00	0.17	86.0	0.15
12/19/2017	00070001812	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	33.95	0.17	0.00	0.17	86.0	0.15
12/19/2017	00070001812	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	33.95	0.17	0.00	0.17	86.0	0.15
12/19/2017	00070001812	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	50.93	0.25	0.00	0.25	86.0	0.22
11/30/2017	00070004355	HEGARTY, EILEEN							
		AMCAP FUND-A	B	31.97	0.16	0.00	0.16	86.0	0.14
11/30/2017	00070004355	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	28.77	0.14	0.00	0.14	86.0	0.12
11/30/2017	00070004355	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	73.53	0.37	0.00	0.37	86.0	0.32
11/30/2017	00070004355	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	54.35	0.27	0.00	0.27	86.0	0.23
11/30/2017	00070004355	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	57.55	0.29	0.00	0.29	86.0	0.25
11/30/2017	00070004355	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	67.14	0.34	0.00	0.34	86.0	0.29
12/19/2017	00070004355	HEGARTY, EILEEN							
		AMCAP FUND-A	B	31.97	0.16	0.00	0.16	86.0	0.14
12/19/2017	00070004355	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	28.77	0.14	0.00	0.14	86.0	0.12
12/19/2017	00070004355	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	73.53	0.37	0.00	0.37	86.0	0.32
12/19/2017	00070004355	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	54.35	0.27	0.00	0.27	86.0	0.23
12/19/2017	00070004355	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	57.55	0.29	0.00	0.29	86.0	0.25
12/19/2017	00070004355	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	67.14	0.34	0.00	0.34	86.0	0.29
11/30/2017	00070004361	HEGARTY, EILEEN							
		AMCAP FUND-A	B	74.25	0.37	0.00	0.37	86.0	0.32
11/30/2017	00070004361	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	74.25	0.37	0.00	0.37	86.0	0.32

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
11/30/2017	00070004361	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	76.50	0.38	0.00	0.38	86.0	0.33
12/19/2017	00070004361	HEGARTY, EILEEN	AMCAP FUND-A	B	74.25	0.37	0.00	0.37	86.0	0.32
12/19/2017	00070004361	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	74.25	0.37	0.00	0.37	86.0	0.32
12/19/2017	00070004361	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	76.50	0.38	0.00	0.38	86.0	0.33
11/30/2017	00070005362	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	4.96	0.02	0.00	0.02	86.0	0.02
11/30/2017	00070005362	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	9.92	0.05	0.00	0.05	86.0	0.04
11/30/2017	00070005362	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	14.88	0.07	0.00	0.07	86.0	0.06
11/30/2017	00070005362	HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	19.84	0.10	0.00	0.10	86.0	0.09
11/30/2017	00070005362	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	9.91	0.05	0.00	0.05	86.0	0.04
12/19/2017	00070005362	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	4.96	0.02	0.00	0.02	86.0	0.02
12/19/2017	00070005362	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	9.92	0.05	0.00	0.05	86.0	0.04
12/19/2017	00070005362	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	14.88	0.07	0.00	0.07	86.0	0.06
12/19/2017	00070005362	HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	19.84	0.10	0.00	0.10	86.0	0.09
12/19/2017	00070005362	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	9.91	0.05	0.00	0.05	86.0	0.04
11/30/2017	00070005678	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75	86.0	0.65
11/30/2017	00070005678	HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	1.29
11/30/2017	00070005678	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	60.00	0.30	0.00	0.30	86.0	0.26
12/19/2017	00070005678	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75	86.0	0.65
12/19/2017	00070005678	HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	1.29
12/19/2017	00070005678	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	60.00	0.30	0.00	0.30	86.0	0.26
11/30/2017	00086545644	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.07
11/30/2017	00086545644	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.09
11/30/2017	00086545644	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.09
11/30/2017	00086545644	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.09
11/30/2017	00086545644	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	25.00	0.13	0.00	0.13	86.0	0.11
12/19/2017	00086545644	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.07
12/19/2017	00086545644	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.09
12/19/2017	00086545644	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.09
12/19/2017	00086545644	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.09
12/19/2017	00086545644	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	25.00	0.13	0.00	0.13	86.0	0.11
11/30/2017	00087384406	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	750.00	3.75	0.00	3.75	86.0	3.23
12/19/2017	00087384406	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	750.00	3.75	0.00	3.75	86.0	3.23
11/30/2017	00087384416	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	750.00	3.75	0.00	3.75	86.0	3.23
12/19/2017	00087384416	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	750.00	3.75	0.00	3.75	86.0	3.23
11/30/2017	00087384433	HEGARTY, EILEEN	AMCAP FUND-A	B	300.00	1.50	0.00	1.50	86.0	1.29
11/30/2017	00087384433	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	75.00	0.38	0.00	0.38	86.0	0.33
11/30/2017	00087384433	HEGARTY, EILEEN	NEW WORLD FUND-A	B	75.00	0.38	0.00	0.38	86.0	0.33
11/30/2017	00087384433	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75	86.0	0.65
11/30/2017	00087384433	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75	86.0	0.65
12/19/2017	00087384433	HEGARTY, EILEEN	AMCAP FUND-A	B	300.00	1.50	0.00	1.50	86.0	1.29

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/19/2017	00087384433	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	75.00	0.38	0.00	0.38	86.0	0.33
12/19/2017	00087384433	HEGARTY, EILEEN							
		NEW WORLD FUND-A	B	75.00	0.38	0.00	0.38	86.0	0.33
12/19/2017	00087384433	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75	86.0	0.65
12/19/2017	00087384433	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75	86.0	0.65
11/30/2017	00087384446	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	59.89	0.30	0.00	0.30	86.0	0.26
11/30/2017	00087384446	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A B		44.91	0.22	0.00	0.22	86.0	0.19
11/30/2017	00087384446	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	44.92	0.22	0.00	0.22	86.0	0.19
12/19/2017	00087384446	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	59.89	0.30	0.00	0.30	86.0	0.26
12/19/2017	00087384446	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A B		44.91	0.22	0.00	0.22	86.0	0.19
12/19/2017	00087384446	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	44.92	0.22	0.00	0.22	86.0	0.19
11/30/2017	00087384464	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.07
12/19/2017	00087384464	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.07
11/30/2017	00087384478	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	312.50	1.56	0.00	1.56	86.0	1.34
11/30/2017	00087384478	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	312.50	1.56	0.00	1.56	86.0	1.34
12/19/2017	00087384478	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	312.50	1.56	0.00	1.56	86.0	1.34
12/19/2017	00087384478	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	312.50	1.56	0.00	1.56	86.0	1.34
11/30/2017	00087384507	HEGARTY, EILEEN							
		AMCAP FUND-A	B	2.00	0.01	0.00	0.01	86.0	0.01
11/30/2017	00087384507	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	10.00	0.05	0.00	0.05	86.0	0.04
11/30/2017	00087384507	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	8.00	0.04	0.00	0.04	86.0	0.03
12/19/2017	00087384507	HEGARTY, EILEEN							
		AMCAP FUND-A	B	2.00	0.01	0.00	0.01	86.0	0.01
12/19/2017	00087384507	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	10.00	0.05	0.00	0.05	86.0	0.04
12/19/2017	00087384507	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	8.00	0.04	0.00	0.04	86.0	0.03
11/30/2017	00087384541	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	50.00	0.25	0.00	0.25	86.0	0.22
11/30/2017	00087384541	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	50.00	0.25	0.00	0.25	86.0	0.22
12/19/2017	00087384541	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	50.00	0.25	0.00	0.25	86.0	0.22
12/19/2017	00087384541	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	50.00	0.25	0.00	0.25	86.0	0.22
11/30/2017	00087384558	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	48.49	0.24	0.00	0.24	86.0	0.21
11/30/2017	00087384558	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	48.49	0.24	0.00	0.24	86.0	0.21
12/19/2017	00087384558	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	48.49	0.24	0.00	0.24	86.0	0.21
12/19/2017	00087384558	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	48.49	0.24	0.00	0.24	86.0	0.21
11/30/2017	00087842379	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	750.00	3.75	0.00	3.75	86.0	3.23
12/19/2017	00087842379	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	750.00	3.75	0.00	3.75	86.0	3.23
11/30/2017	00088877759	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	45.57	0.23	0.00	0.23	86.0	0.20
11/30/2017	00088877759	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	136.72	0.68	0.00	0.68	86.0	0.58
12/19/2017	00088877759	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	45.57	0.23	0.00	0.23	86.0	0.20
12/19/2017	00088877759	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	136.72	0.68	0.00	0.68	86.0	0.58
12/18/2017	00089376062	BLISS, MASON							
		WASHINGTON MUTUAL INVESTORS FUND-52	B	250.00	12.50	0.00	12.50	86.0	10.75
11/30/2017	00089378227	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	65.35	0.33	0.00	0.33	86.0	0.28
12/19/2017	00089378227	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	42.06	0.21	0.00	0.21	86.0	0.18
11/30/2017	00089378259	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	45.00	0.23	0.00	0.23	86.0	0.20

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	00089378259	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	45.00	0.23	0.00	0.23	86.0	0.20
11/30/2017	00089378259	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	30.00	0.15	0.00	0.15	86.0	0.13
11/30/2017	00089378259	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.07
11/30/2017	00089378259	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.07
12/19/2017	00089378259	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	45.00	0.23	0.00	0.23	86.0	0.20
12/19/2017	00089378259	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	45.00	0.23	0.00	0.23	86.0	0.20
12/19/2017	00089378259	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	30.00	0.15	0.00	0.15	86.0	0.13
12/19/2017	00089378259	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.07
12/19/2017	00089378259	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.07
11/30/2017	00089378412	HEGARTY, EILEEN							
		AMCAP FUND-A	B	17.50	0.09	0.00	0.09	86.0	0.08
11/30/2017	00089378412	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	43.75	0.22	0.00	0.22	86.0	0.19
11/30/2017	00089378412	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	52.50	0.26	0.00	0.26	86.0	0.22
11/30/2017	00089378412	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	43.75	0.22	0.00	0.22	86.0	0.19
11/30/2017	00089378412	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	17.50	0.09	0.00	0.09	86.0	0.08
12/19/2017	00089378412	HEGARTY, EILEEN							
		AMCAP FUND-A	B	17.50	0.09	0.00	0.09	86.0	0.08
12/19/2017	00089378412	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	43.75	0.22	0.00	0.22	86.0	0.19
12/19/2017	00089378412	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	52.50	0.26	0.00	0.26	86.0	0.22
12/19/2017	00089378412	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	43.75	0.22	0.00	0.22	86.0	0.19
12/19/2017	00089378412	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	17.50	0.09	0.00	0.09	86.0	0.08
12/15/2017	00089545290	RONGA, DANIEL							
		THE GROWTH FUND OF AMERICA-A	B	200.00	7.50	0.00	7.50	86.0	6.45
12/18/2017	04000030744	BLISS, MASON							
		THE GROWTH FUND OF AMERICA-529A	B	125.00	6.25	0.00	6.25	86.0	5.38
12/18/2017	04000030744	BLISS, MASON							
		WASHINGTON MUTUAL INVESTORS FUND-52	B	125.00	6.25	0.00	6.25	86.0	5.38
11/30/2017	04000113773	VARIOUS, KILLEEN							
		AMCAP FUND-A	B	14.96	0.07	0.00	0.07	86.0	0.06
11/30/2017	04000113773	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	14.96	0.07	0.00	0.07	86.0	0.06
11/30/2017	04000113773	VARIOUS, KILLEEN							
		NEW WORLD FUND-A	B	6.65	0.03	0.00	0.03	86.0	0.03
11/30/2017	04000113773	VARIOUS, KILLEEN							
		THE BOND FUND OF AMERICA-A	B	16.63	0.08	0.00	0.08	86.0	0.07
11/30/2017	04000113773	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	14.96	0.07	0.00	0.07	86.0	0.06
11/30/2017	04000113773	VARIOUS, KILLEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	14.96	0.07	0.00	0.07	86.0	0.06
12/19/2017	04000113773	VARIOUS, KILLEEN							
		AMCAP FUND-A	B	14.96	0.07	0.00	0.07	86.0	0.06
12/19/2017	04000113773	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	14.96	0.07	0.00	0.07	86.0	0.06
12/19/2017	04000113773	VARIOUS, KILLEEN							
		NEW WORLD FUND-A	B	6.65	0.03	0.00	0.03	86.0	0.03
12/19/2017	04000113773	VARIOUS, KILLEEN							
		THE BOND FUND OF AMERICA-A	B	16.63	0.08	0.00	0.08	86.0	0.07
12/19/2017	04000113773	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	14.96	0.07	0.00	0.07	86.0	0.06
12/19/2017	04000113773	VARIOUS, KILLEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	14.96	0.07	0.00	0.07	86.0	0.06
11/30/2017	04000113881	VARIOUS, KILLEEN							
		AMCAP FUND-A	B	123.03	0.62	0.00	0.62	86.0	0.53
11/30/2017	04000113881	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	36.91	0.18	0.00	0.18	86.0	0.15
11/30/2017	04000113881	VARIOUS, KILLEEN							
		NEW WORLD FUND-A	B	36.91	0.18	0.00	0.18	86.0	0.15
11/30/2017	04000113881	VARIOUS, KILLEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	49.21	0.25	0.00	0.25	86.0	0.22
12/19/2017	04000113881	VARIOUS, KILLEEN							
		AMCAP FUND-A	B	142.80	0.71	0.00	0.71	86.0	0.61
12/19/2017	04000113881	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	42.83	0.21	0.00	0.21	86.0	0.18

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/19/2017	04000113881	VARIOUS, KILLEEN							
		NEW WORLD FUND-A	B	42.84	0.21	0.00	0.21	86.0	0.18
12/19/2017	04000113881	VARIOUS, KILLEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	57.12	0.29	0.00	0.29	86.0	0.25
11/30/2017	04000113984	VARIOUS, KILLEEN							
		AMCAP FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
11/30/2017	04000113984	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
11/30/2017	04000113984	VARIOUS, KILLEEN							
		NEW PERSPECTIVE FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
11/30/2017	04000113984	VARIOUS, KILLEEN							
		NEW WORLD FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
11/30/2017	04000113984	VARIOUS, KILLEEN							
		THE BOND FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.43
11/30/2017	04000113984	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.43
11/30/2017	04000113984	VARIOUS, KILLEEN							
		THE INCOME FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.43
11/30/2017	04000113984	VARIOUS, KILLEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.43
11/30/2017	04000113984	VARIOUS, KILLEEN							
		THE NEW ECONOMY FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
11/30/2017	04000113984	VARIOUS, KILLEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/19/2017	04000113984	VARIOUS, KILLEEN							
		AMCAP FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/19/2017	04000113984	VARIOUS, KILLEEN							
		EUROPACIFIC GROWTH FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/19/2017	04000113984	VARIOUS, KILLEEN							
		NEW PERSPECTIVE FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/19/2017	04000113984	VARIOUS, KILLEEN							
		NEW WORLD FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/19/2017	04000113984	VARIOUS, KILLEEN							
		THE BOND FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/19/2017	04000113984	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/19/2017	04000113984	VARIOUS, KILLEEN							
		THE INCOME FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/19/2017	04000113984	VARIOUS, KILLEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/19/2017	04000113984	VARIOUS, KILLEEN							
		THE NEW ECONOMY FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/19/2017	04000113984	VARIOUS, KILLEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/19/2017	04000114048	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	4,000.00	20.00	0.00	20.00	86.0	17.20
12/15/2017	04000292411	CUNICELLI, LILY							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	125.00	6.25	0.00	6.25	86.0	5.38
11/30/2017	04000493953	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	75.00	0.38	0.00	0.38	86.0	0.33
11/30/2017	04000493953	HEGARTY, EILEEN							
		NEW WORLD FUND-A	B	225.00	1.13	0.00	1.13	86.0	0.97
11/30/2017	04000493953	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38	86.0	0.33
11/30/2017	04000493953	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	1.29
11/30/2017	04000493953	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	75.00	0.38	0.00	0.38	86.0	0.33
12/19/2017	04000493953	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	75.00	0.38	0.00	0.38	86.0	0.33
12/19/2017	04000493953	HEGARTY, EILEEN							
		NEW WORLD FUND-A	B	225.00	1.13	0.00	1.13	86.0	0.97
12/19/2017	04000493953	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38	86.0	0.33
12/19/2017	04000493953	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	1.29
12/19/2017	04000493953	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	75.00	0.38	0.00	0.38	86.0	0.33
12/19/2017	04000635709	VARIOUS, KILLEEN							
		THE GROWTH FUND OF AMERICA-A	B	2,975.00	14.88	0.00	14.88	86.0	12.80
12/19/2017	04000635709	VARIOUS, KILLEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	2,975.00	14.88	0.00	14.88	86.0	12.80
12/19/2017	04000635709	VARIOUS, KILLEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	2,550.00	12.75	0.00	12.75	86.0	10.97
* MUTUAL FUNDS SUBTOTAL *				\$47,221.36	\$279.30	\$0.00	\$279.30		\$240.46



COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
12/31/2017 (None)	VARIOUS, KILLEEN	ANNUICHOICE	B	0.00	35.80	0.00	35.80	86.0	30.79
		<b>* VARIABLE ANNUITIES SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$35.80</b>	<b>\$0.00</b>	<b>\$35.80</b>		<b>\$30.79</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
12/01/2017	00059853123	NAME*, *PART	NEW PERSPECTIVE FUND-A	B	0.00	1.84	0.00	1.84	1.58
12/01/2017	00059924728	NAME*, *PART	NEW PERSPECTIVE FUND-A	B	0.00	2.88	0.00	2.88	2.48
12/01/2017	00060463223	NAME*, *PART	NEW PERSPECTIVE FUND-A	B	0.00	0.53	0.00	0.53	0.46
12/01/2017	00060463223	NAME*, *PART	THE INCOME FUND OF AMERICA-A	B	0.00	0.11	0.00	0.11	0.09
12/01/2017	00061358562	NAME*, *PART	THE INCOME FUND OF AMERICA-A	B	0.00	0.35	0.00	0.35	0.30
12/01/2017	00061663272	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	194.05	0.00	194.05	166.88
12/01/2017	00061663272	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	184.98	0.00	184.98	159.08
12/01/2017	00061663272	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	150.67	0.00	150.67	129.58
12/01/2017	00061663272	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	0.00	54.42	0.00	54.42	46.80
12/01/2017	00061663272	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	62.30	0.00	62.30	53.58
12/01/2017	00061663273	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	259.90	0.00	259.90	223.51
12/01/2017	00061663273	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	241.22	0.00	241.22	207.45
12/01/2017	00061663273	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	174.67	0.00	174.67	150.22
12/01/2017	00061663273	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	0.00	79.43	0.00	79.43	68.31
12/01/2017	00061663273	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	97.38	0.00	97.38	83.75
12/01/2017	00061736605	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	1.30	0.00	1.30	1.12
12/01/2017	00061736605	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.27	0.00	1.27	1.09
12/01/2017	00061736606	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	134.46	0.00	134.46	115.64
12/01/2017	00061736606	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	63.13	0.00	63.13	54.29
12/01/2017	00061736607	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	89.07	0.00	89.07	76.60
12/01/2017	00061736607	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	41.78	0.00	41.78	35.93
12/01/2017	00061736608	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	145.27	0.00	145.27	124.93
12/01/2017	00061736608	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	145.11	0.00	145.11	124.79
12/01/2017	00061736609	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	98.34	0.00	98.34	84.57
12/01/2017	00061736609	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	98.98	0.00	98.98	85.12
12/01/2017	00061736612	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	0.15	0.00	0.15	0.13
12/01/2017	00061736612	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	0.03	0.00	0.03	0.03
12/01/2017	00061736612	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	0.11	0.00	0.11	0.09
12/01/2017	00061736614	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	76.76	0.00	76.76	66.01
12/01/2017	00061736614	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	0.00	18.91	0.00	18.91	16.26
12/01/2017	00061736614	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	190.44	0.00	190.44	163.78
12/01/2017	00061736614	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	34.79	0.00	34.79	29.92
12/01/2017	00061736614	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	83.46	0.00	83.46	71.78
12/01/2017	00061736614	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	0.00	65.25	0.00	65.25	56.12
12/01/2017	00061736614	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	72.85	0.00	72.85	62.65
12/01/2017	00061736615	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	123.27	0.00	123.27	106.01

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	00061736615	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	0.00	25.10	0.00	25.10	86.0	21.59
12/01/2017	00061736615	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	195.51	0.00	195.51	86.0	168.14
12/01/2017	00061736615	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	0.00	49.14	0.00	49.14	86.0	42.26
12/01/2017	00061736615	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	127.56	0.00	127.56	86.0	109.70
12/01/2017	00061736615	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	0.00	107.67	0.00	107.67	86.0	92.60
12/01/2017	00061736615	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	115.51	0.00	115.51	86.0	99.34
12/01/2017	00061736616	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	0.21	0.00	0.21	86.0	0.18
12/01/2017	00062406273	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	2.39	0.00	2.39	86.0	2.06
12/01/2017	00062406273	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	0.00	0.81	0.00	0.81	86.0	0.70
12/01/2017	00062406273	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	0.86	0.00	0.86	86.0	0.74
12/01/2017	00062406275	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	3.84	0.00	3.84	86.0	3.30
12/01/2017	00062406275	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	0.00	1.28	0.00	1.28	86.0	1.10
12/01/2017	00062406275	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	1.43	0.00	1.43	86.0	1.23
12/01/2017	00062406277	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	0.49	0.00	0.49	86.0	0.42
12/01/2017	00062406277	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	0.00	0.11	0.00	0.11	86.0	0.09
12/01/2017	00062829276	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	0.00	19.50	0.00	19.50	86.0	16.77
12/01/2017	00062829276	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	46.46	0.00	46.46	86.0	39.96
12/01/2017	00062829276	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	1.24	0.00	1.24	86.0	1.07
12/01/2017	00062829276	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	3.59	0.00	3.59	86.0	3.09
12/01/2017	00062829276	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	3.39	0.00	3.39	86.0	2.92
12/01/2017	00062829276	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	0.00	29.57	0.00	29.57	86.0	25.43
12/01/2017	00062829276	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	31.93	0.00	31.93	86.0	27.46
12/01/2017	00062829277	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	0.00	24.09	0.00	24.09	86.0	20.72
12/01/2017	00062829277	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	82.86	0.00	82.86	86.0	71.26
12/01/2017	00062829277	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	24.07	0.00	24.07	86.0	20.70
12/01/2017	00062829277	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	1.35	0.00	1.35	86.0	1.16
12/01/2017	00062829277	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	6.16	0.00	6.16	86.0	5.30
12/01/2017	00062829277	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	0.00	52.59	0.00	52.59	86.0	45.23
12/01/2017	00062829277	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	78.70	0.00	78.70	86.0	67.68
12/01/2017	00063329982	HEGARTY, EILEEN							
		AMCAP FUND-A	B	0.00	3.99	0.00	3.99	86.0	3.43
12/01/2017	00063329982	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	7.15	0.00	7.15	86.0	6.15
12/01/2017	00063329982	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	0.00	5.65	0.00	5.65	86.0	4.86
12/01/2017	00063329982	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	10.01	0.00	10.01	86.0	8.61
12/01/2017	00063362305	HEGARTY, EILEEN							
		AMCAP FUND-A	B	0.00	79.40	0.00	79.40	86.0	68.28
12/01/2017	00063362305	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	49.69	0.00	49.69	86.0	42.73
12/01/2017	00063362305	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	0.00	58.87	0.00	58.87	86.0	50.63
12/01/2017	00063362305	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	85.09	0.00	85.09	86.0	73.18
12/01/2017	00063362305	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	3.37	0.00	3.37	86.0	2.90
12/01/2017	00063362305	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	0.00	4.77	0.00	4.77	86.0	4.10
12/01/2017	00063362305	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	80.20	0.00	80.20	86.0	68.97

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	00063362306	HEGARTY, EILEEN							
		AMCAP FUND-A	B	0.00	3.93	0.00	3.93	86.0	3.38
12/01/2017	00063362306	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	13.75	0.00	13.75	86.0	11.83
12/01/2017	00063362306	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	0.00	0.58	0.00	0.58	86.0	0.50
12/01/2017	00063362306	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	3.85	0.00	3.85	86.0	3.31
12/01/2017	00063362306	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	0.99	0.00	0.99	86.0	0.85
12/01/2017	00063362306	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	5.76	0.00	5.76	86.0	4.95
12/01/2017	00063362311	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	145.87	0.00	145.87	86.0	125.45
12/01/2017	00063362311	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	125.11	0.00	125.11	86.0	107.59
12/01/2017	00063362311	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	0.00	108.87	0.00	108.87	86.0	93.63
12/01/2017	00063362311	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	46.89	0.00	46.89	86.0	40.33
12/01/2017	00063795979	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	161.62	0.00	161.62	86.0	138.99
12/01/2017	00063795979	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	0.00	11.55	0.00	11.55	86.0	9.93
12/01/2017	00063795979	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	134.76	0.00	134.76	86.0	115.89
12/01/2017	00063917150	HEGARTY, EILEEN							
		AMCAP FUND-A	B	0.00	48.59	0.00	48.59	86.0	41.79
12/01/2017	00063917150	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	28.83	0.00	28.83	86.0	24.79
12/01/2017	00063917150	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	0.00	39.78	0.00	39.78	86.0	34.21
12/01/2017	00063917150	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	52.29	0.00	52.29	86.0	44.97
12/01/2017	00063917150	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	0.77	0.00	0.77	86.0	0.66
12/01/2017	00063917150	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	0.00	6.40	0.00	6.40	86.0	5.50
12/01/2017	00063917150	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	51.73	0.00	51.73	86.0	44.49
12/01/2017	00063917151	HEGARTY, EILEEN							
		AMCAP FUND-A	B	0.00	2.25	0.00	2.25	86.0	1.94
12/01/2017	00063917151	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	4.67	0.00	4.67	86.0	4.02
12/01/2017	00063917151	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	0.00	0.09	0.00	0.09	86.0	0.08
12/01/2017	00063917151	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	2.26	0.00	2.26	86.0	1.94
12/01/2017	00063917151	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	0.15	0.00	0.15	86.0	0.13
12/01/2017	00063917151	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	3.22	0.00	3.22	86.0	2.77
12/01/2017	00063917153	HEGARTY, EILEEN							
		AMCAP FUND-A	B	0.00	2.55	0.00	2.55	86.0	2.19
12/01/2017	00063917156	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	139.27	0.00	139.27	86.0	119.77
12/01/2017	00063917156	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	111.92	0.00	111.92	86.0	96.25
12/01/2017	00063917156	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	15.57	0.00	15.57	86.0	13.39
12/01/2017	00063917156	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	0.00	97.92	0.00	97.92	86.0	84.21
12/01/2017	00063917156	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	72.91	0.00	72.91	86.0	62.70
12/01/2017	00064217122	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	28.29	0.00	28.29	86.0	24.33
12/01/2017	00064217122	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	0.00	13.50	0.00	13.50	86.0	11.61
12/01/2017	00064217122	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	18.37	0.00	18.37	86.0	15.80
12/01/2017	00064217123	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	0.00	2.83	0.00	2.83	86.0	2.43
12/01/2017	00064217123	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	9.93	0.00	9.93	86.0	8.54
12/01/2017	00064217123	HEGARTY, EILEEN							
		NEW WORLD FUND-A	B	0.00	1.05	0.00	1.05	86.0	0.90
12/01/2017	00064217123	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	8.85	0.00	8.85	86.0	7.61
12/01/2017	00064217123	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	0.00	4.10	0.00	4.10	86.0	3.53

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
12/01/2017	00064217123	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	17.49	0.00	17.49	86.0	15.04
12/01/2017	00064617395	BRAGHIROL, DANA	NEW PERSPECTIVE FUND-A	B	0.00	38.49	0.00	38.49	86.0	33.10
12/01/2017	00064617395	BRAGHIROL, DANA	THE GROWTH FUND OF AMERICA-A	B	0.00	52.64	0.00	52.64	86.0	45.27
12/01/2017	00064617395	BRAGHIROL, DANA	THE NEW ECONOMY FUND-A	B	0.00	42.96	0.00	42.96	86.0	36.95
12/01/2017	00064617396	GILLES, FREDERICK	THE GROWTH FUND OF AMERICA-A	B	0.00	0.36	0.00	0.36	86.0	0.31
12/01/2017	00064617396	GILLES, FREDERICK	THE NEW ECONOMY FUND-A	B	0.00	0.57	0.00	0.57	86.0	0.49
12/01/2017	00064910535	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	48.07	0.00	48.07	86.0	41.34
12/01/2017	00064910535	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	0.00	20.90	0.00	20.90	86.0	17.97
12/01/2017	00064910535	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	26.66	0.00	26.66	86.0	22.93
12/01/2017	00064910537	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	72.55	0.00	72.55	86.0	62.39
12/01/2017	00064910537	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	4.56	0.00	4.56	86.0	3.92
12/01/2017	00064910537	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	58.42	0.00	58.42	86.0	50.24
12/01/2017	00064910538	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	1.85	0.00	1.85	86.0	1.59
12/01/2017	00064910538	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	2.79	0.00	2.79	86.0	2.40
12/01/2017	00064910538	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	2.47	0.00	2.47	86.0	2.12
12/01/2017	00064910538	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	4.10	0.00	4.10	86.0	3.53
12/01/2017	00064910539	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	0.00	2.94	0.00	2.94	86.0	2.53
12/01/2017	00064910539	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	14.05	0.00	14.05	86.0	12.08
12/01/2017	00064910539	HEGARTY, EILEEN	NEW WORLD FUND-A	B	0.00	0.70	0.00	0.70	86.0	0.60
12/01/2017	00064910539	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	11.39	0.00	11.39	86.0	9.80
12/01/2017	00064910539	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	0.00	6.35	0.00	6.35	86.0	5.46
12/01/2017	00064910539	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	21.18	0.00	21.18	86.0	18.21
12/01/2017	00066762603	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	1.68	0.00	1.68	86.0	1.44
12/01/2017	00066762603	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	4.88	0.00	4.88	86.0	4.20
12/01/2017	00066762603	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	2.79	0.00	2.79	86.0	2.40
12/01/2017	00066762603	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	2.63	0.00	2.63	86.0	2.26
12/01/2017	00066762603	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	4.68	0.00	4.68	86.0	4.02
12/01/2017	00066762603	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	0.00	2.04	0.00	2.04	86.0	1.75
12/01/2017	00066762603	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	4.04	0.00	4.04	86.0	3.47
12/01/2017	00067326900	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	3.65	0.00	3.65	86.0	3.14
12/01/2017	00067326900	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	1.34	0.00	1.34	86.0	1.15
12/01/2017	00067326900	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	0.00	3.42	0.00	3.42	86.0	2.94
12/01/2017	00067823945	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	0.12	0.00	0.12	86.0	0.10
12/01/2017	00067823945	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	0.05	0.00	0.05	86.0	0.04
12/01/2017	00067823945	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	10.87	0.00	10.87	86.0	9.35
12/01/2017	00067823945	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	8.19	0.00	8.19	86.0	7.04
12/01/2017	00067963444	GALLAGHER, ROBIN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	5.13	0.00	5.13	86.0	4.41
12/01/2017	00068080341	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	0.00	0.44	0.00	0.44	86.0	0.38
12/01/2017	00068080341	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	2.64	0.00	2.64	86.0	2.27
12/01/2017	00068080341	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	0.61	0.00	0.61	86.0	0.52

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
12/01/2017	00068080341	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.25	0.00	0.25	86.0	0.22
12/01/2017	00068080343	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	57.79	0.00	57.79	86.0	49.70
12/01/2017	00068080343	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	0.00	1.45	0.00	1.45	86.0	1.25
12/01/2017	00068080343	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	121.15	0.00	121.15	86.0	104.19
12/01/2017	00068080343	HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	38.00	0.00	38.00	86.0	32.68
12/01/2017	00068080343	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	93.89	0.00	93.89	86.0	80.75
12/01/2017	00068086982	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	0.02	0.00	0.02	86.0	0.02
12/01/2017	00068086982	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	0.07	0.00	0.07	86.0	0.06
12/01/2017	00068086982	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	14.01	0.00	14.01	86.0	12.05
12/01/2017	00068086982	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	10.70	0.00	10.70	86.0	9.20
12/01/2017	00068092335	UNTIL AGE 21,	AMERICAN BALANCED FUND-A	B	0.00	4.64	0.00	4.64	86.0	3.99
12/01/2017	00068092335	UNTIL AGE 21,	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	4.88	0.00	4.88	86.0	4.20
12/01/2017	00068653714	RHEAUME, KATE	AMERICAN BALANCED FUND-529A	B	0.00	0.58	0.00	0.58	86.0	0.50
12/01/2017	00068653714	RHEAUME, KATE	AMERICAN MUTUAL FUND-529A	B	0.00	0.64	0.00	0.64	86.0	0.55
12/01/2017	00068653714	RHEAUME, KATE	CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	0.76	0.00	0.76	86.0	0.65
12/01/2017	00068653714	RHEAUME, KATE	THE GROWTH FUND OF AMERICA-529A	B	0.00	1.59	0.00	1.59	86.0	1.37
12/01/2017	00068653714	RHEAUME, KATE	THE INCOME FUND OF AMERICA-529A	B	0.00	0.57	0.00	0.57	86.0	0.49
12/01/2017	00068653714	RHEAUME, KATE	THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	0.65	0.00	0.65	86.0	0.56
12/01/2017	00068653714	RHEAUME, KATE	WASHINGTON MUTUAL INVESTORS FUND-52	B	0.00	0.47	0.00	0.47	86.0	0.40
12/01/2017	00068950143	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	0.00	0.60	0.00	0.60	86.0	0.52
12/01/2017	00068950143	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	7.18	0.00	7.18	86.0	6.17
12/01/2017	00068950143	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	1.44	0.00	1.44	86.0	1.24
12/01/2017	00068950144	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	3.03	0.00	3.03	86.0	2.61
12/01/2017	00068950144	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	1.11	0.00	1.11	86.0	0.95
12/01/2017	00068950144	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	0.00	2.82	0.00	2.82	86.0	2.43
12/01/2017	00068950146	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	11.86	0.00	11.86	86.0	10.20
12/01/2017	00068950146	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	0.00	0.31	0.00	0.31	86.0	0.27
12/01/2017	00068950146	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	24.97	0.00	24.97	86.0	21.47
12/01/2017	00068950146	HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	7.81	0.00	7.81	86.0	6.72
12/01/2017	00068950146	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	19.31	0.00	19.31	86.0	16.61
12/01/2017	00069221842	SALAMA, CHRISTIAN	NEW PERSPECTIVE FUND-529A	B	0.00	0.16	0.00	0.16	86.0	0.14
12/01/2017	00069221842	SALAMA, CHRISTIAN	THE GROWTH FUND OF AMERICA-529A	B	0.00	0.10	0.00	0.10	86.0	0.09
12/01/2017	00069305898	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	0.89	0.00	0.89	86.0	0.77
12/01/2017	00069305898	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	2.81	0.00	2.81	86.0	2.42
12/01/2017	00069305898	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	2.13	0.00	2.13	86.0	1.83
12/01/2017	00069305898	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	0.98	0.00	0.98	86.0	0.84
12/01/2017	00069305898	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	3.60	0.00	3.60	86.0	3.10
12/01/2017	00069305898	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	0.00	1.16	0.00	1.16	86.0	1.00
12/01/2017	00069305898	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	2.39	0.00	2.39	86.0	2.06
12/01/2017	00070001336	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	1.03	0.00	1.03	86.0	0.89

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	00070001336	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	0.00	0.46	0.00	0.46	86.0	0.40
12/01/2017	00070001336	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	2.23	0.00	2.23	86.0	1.92
12/01/2017	00070001336	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	13.48	0.00	13.48	86.0	11.59
12/01/2017	00070001336	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	1.79	0.00	1.79	86.0	1.54
12/01/2017	00070001336	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	0.00	1.42	0.00	1.42	86.0	1.22
12/01/2017	00070001336	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	10.81	0.00	10.81	86.0	9.30
12/01/2017	00070001812	HEGARTY, EILEEN							
		AMCAP FUND-A	B	0.00	0.46	0.00	0.46	86.0	0.40
12/01/2017	00070001812	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	0.95	0.00	0.95	86.0	0.82
12/01/2017	00070001812	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	3.97	0.00	3.97	86.0	3.41
12/01/2017	00070001812	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	0.88	0.00	0.88	86.0	0.76
12/01/2017	00070001812	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	0.00	1.48	0.00	1.48	86.0	1.27
12/01/2017	00070001812	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	2.94	0.00	2.94	86.0	2.53
12/01/2017	00070004355	HEGARTY, EILEEN							
		AMCAP FUND-A	B	0.00	3.67	0.00	3.67	86.0	3.16
12/01/2017	00070004355	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	0.00	3.09	0.00	3.09	86.0	2.66
12/01/2017	00070004355	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	5.66	0.00	5.66	86.0	4.87
12/01/2017	00070004355	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	4.24	0.00	4.24	86.0	3.65
12/01/2017	00070004355	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	4.46	0.00	4.46	86.0	3.84
12/01/2017	00070004355	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	4.90	0.00	4.90	86.0	4.21
12/01/2017	00070004356	HEGARTY, EILEEN							
		AMCAP FUND-A	B	0.00	2.95	0.00	2.95	86.0	2.54
12/01/2017	00070004356	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	0.00	2.19	0.00	2.19	86.0	1.88
12/01/2017	00070004356	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	7.35	0.00	7.35	86.0	6.32
12/01/2017	00070004356	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	1.83	0.00	1.83	86.0	1.57
12/01/2017	00070004356	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	5.09	0.00	5.09	86.0	4.38
12/01/2017	00070004356	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	7.58	0.00	7.58	86.0	6.52
12/01/2017	00070004361	HEGARTY, EILEEN							
		AMCAP FUND-A	B	0.00	7.77	0.00	7.77	86.0	6.68
12/01/2017	00070004361	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	8.32	0.00	8.32	86.0	7.16
12/01/2017	00070004361	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	9.86	0.00	9.86	86.0	8.48
12/01/2017	00070004362	HEGARTY, EILEEN							
		AMCAP FUND-A	B	0.00	4.67	0.00	4.67	86.0	4.02
12/01/2017	00070004362	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	4.24	0.00	4.24	86.0	3.65
12/01/2017	00070004362	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	16.32	0.00	16.32	86.0	14.04
12/01/2017	00070005362	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	0.00	2.65	0.00	2.65	86.0	2.28
12/01/2017	00070005362	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	0.00	6.65	0.00	6.65	86.0	5.72
12/01/2017	00070005362	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	9.82	0.00	9.82	86.0	8.45
12/01/2017	00070005362	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	7.23	0.00	7.23	86.0	6.22
12/01/2017	00070005362	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	3.76	0.00	3.76	86.0	3.23
12/01/2017	00070005363	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	0.00	2.30	0.00	2.30	86.0	1.98
12/01/2017	00070005363	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	0.00	2.22	0.00	2.22	86.0	1.91
12/01/2017	00070005363	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	1.88	0.00	1.88	86.0	1.62
12/01/2017	00070005363	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	4.01	0.00	4.01	86.0	3.45
12/01/2017	00070005363	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	3.30	0.00	3.30	86.0	2.84

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	00070005364	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	0.00	14.53	0.00	14.53	86.0	12.50
12/01/2017	00070005364	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	18.55	0.00	18.55	86.0	15.95
12/01/2017	00070005364	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	20.88	0.00	20.88	86.0	17.96
12/01/2017	00070005364	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	19.66	0.00	19.66	86.0	16.91
12/01/2017	00070005364	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	19.85	0.00	19.85	86.0	17.07
12/01/2017	00070005370	HEGARTY, EILEEN							
		AMCAP FUND-A	B	0.00	1.16	0.00	1.16	86.0	1.00
12/01/2017	00070005370	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	0.00	1.13	0.00	1.13	86.0	0.97
12/01/2017	00070005370	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	1.20	0.00	1.20	86.0	1.03
12/01/2017	00070005370	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	0.00	9.78	0.00	9.78	86.0	8.41
12/01/2017	00070005370	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	3.27	0.00	3.27	86.0	2.81
12/01/2017	00070005370	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	2.34	0.00	2.34	86.0	2.01
12/01/2017	00070005370	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	0.00	1.22	0.00	1.22	86.0	1.05
12/01/2017	00070005370	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.18	0.00	1.18	86.0	1.01
12/01/2017	00070005371	HEGARTY, EILEEN							
		AMCAP FUND-A	B	0.00	6.18	0.00	6.18	86.0	5.31
12/01/2017	00070005371	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	0.00	6.02	0.00	6.02	86.0	5.18
12/01/2017	00070005371	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	6.41	0.00	6.41	86.0	5.51
12/01/2017	00070005371	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	0.00	51.95	0.00	51.95	86.0	44.68
12/01/2017	00070005371	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	17.37	0.00	17.37	86.0	14.94
12/01/2017	00070005371	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	12.46	0.00	12.46	86.0	10.72
12/01/2017	00070005371	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	0.00	6.52	0.00	6.52	86.0	5.61
12/01/2017	00070005371	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	6.28	0.00	6.28	86.0	5.40
12/01/2017	00070005678	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	0.00	5.82	0.00	5.82	86.0	5.01
12/01/2017	00070005678	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	13.75	0.00	13.75	86.0	11.83
12/01/2017	00070005678	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	31.79	0.00	31.79	86.0	27.34
12/01/2017	00070005678	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	0.00	2.31	0.00	2.31	86.0	1.99
12/01/2017	00070005679	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	0.00	3.94	0.00	3.94	86.0	3.39
12/01/2017	00070005679	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	10.12	0.00	10.12	86.0	8.70
12/01/2017	00070005679	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	23.55	0.00	23.55	86.0	20.25
12/01/2017	00070005679	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	0.00	1.85	0.00	1.85	86.0	1.59
12/01/2017	00070007661	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	0.00	11.19	0.00	11.19	86.0	9.62
12/01/2017	00070007661	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	0.00	20.52	0.00	20.52	86.0	17.65
12/01/2017	00070007661	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	14.39	0.00	14.39	86.0	12.38
12/01/2017	00070007665	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	0.00	1.81	0.00	1.81	86.0	1.56
12/01/2017	00070007665	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	2.34	0.00	2.34	86.0	2.01
12/01/2017	00070007665	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	3.98	0.00	3.98	86.0	3.42
12/01/2017	00070007665	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	3.84	0.00	3.84	86.0	3.30
12/01/2017	00070007666	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	0.00	2.92	0.00	2.92	86.0	2.51
12/01/2017	00070007666	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	3.71	0.00	3.71	86.0	3.19
12/01/2017	00070007666	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	6.45	0.00	6.45	86.0	5.55
12/01/2017	00070007666	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	6.23	0.00	6.23	86.0	5.36

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	00070008090	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	2.83	0.00	2.83	86.0	2.43
12/01/2017	00070008090	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	0.00	1.57	0.00	1.57	86.0	1.35
12/01/2017	00070008090	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	2.45	0.00	2.45	86.0	2.11
12/01/2017	00070008090	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	2.94	0.00	2.94	86.0	2.53
12/01/2017	00070008848	HEGARTY, EILEEN							
		AMCAP FUND-A	B	0.00	0.20	0.00	0.20	86.0	0.17
12/01/2017	00070008848	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	0.21	0.00	0.21	86.0	0.18
12/01/2017	00070008848	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	0.22	0.00	0.22	86.0	0.19
12/01/2017	00070008848	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	0.20	0.00	0.20	86.0	0.17
12/01/2017	00070008848	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.21	0.00	0.21	86.0	0.18
12/01/2017	00070009899	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	0.00	2.78	0.00	2.78	86.0	2.39
12/01/2017	00070009899	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	3.44	0.00	3.44	86.0	2.96
12/01/2017	00070009899	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	3.93	0.00	3.93	86.0	3.38
12/01/2017	00070009899	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	3.04	0.00	3.04	86.0	2.61
12/01/2017	00070009899	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	3.78	0.00	3.78	86.0	3.25
12/01/2017	00073573325	CORVEDDU, SAMANTHA							
		AMERICAN BALANCED FUND-529A	B	0.00	4.78	0.00	4.78	86.0	4.11
12/01/2017	00073573325	CORVEDDU, SAMANTHA							
		NEW PERSPECTIVE FUND-529A	B	0.00	6.09	0.00	6.09	86.0	5.24
12/01/2017	00073573325	CORVEDDU, SAMANTHA							
		THE GROWTH FUND OF AMERICA-529A	B	0.00	6.61	0.00	6.61	86.0	5.68
12/01/2017	00073573325	CORVEDDU, SAMANTHA							
		WASHINGTON MUTUAL INVESTORS FUND-52	B	0.00	5.30	0.00	5.30	86.0	4.56
12/01/2017	00073573410	CORVEDDU, SAMANTHA							
		NEW PERSPECTIVE FUND-529A	B	0.00	9.10	0.00	9.10	86.0	7.83
12/01/2017	00073573410	CORVEDDU, SAMANTHA							
		THE GROWTH FUND OF AMERICA-529A	B	0.00	9.90	0.00	9.90	86.0	8.51
12/01/2017	00073573410	CORVEDDU, SAMANTHA							
		WASHINGTON MUTUAL INVESTORS FUND-52	B	0.00	6.26	0.00	6.26	86.0	5.38
12/01/2017	00073573464	CORVEDDU, SAMANTHA							
		AMERICAN BALANCED FUND-529A	B	0.00	10.77	0.00	10.77	86.0	9.26
12/01/2017	00073573464	CORVEDDU, SAMANTHA							
		NEW PERSPECTIVE FUND-529A	B	0.00	13.70	0.00	13.70	86.0	11.78
12/01/2017	00073573464	CORVEDDU, SAMANTHA							
		THE GROWTH FUND OF AMERICA-529A	B	0.00	13.72	0.00	13.72	86.0	11.80
12/01/2017	00073573464	CORVEDDU, SAMANTHA							
		WASHINGTON MUTUAL INVESTORS FUND-52	B	0.00	11.60	0.00	11.60	86.0	9.98
12/01/2017	00074300216	GURDA, CHRISTINE							
		NEW PERSPECTIVE FUND-A	B	0.00	9.25	0.00	9.25	86.0	7.96
12/01/2017	00074300216	GURDA, CHRISTINE							
		THE GROWTH FUND OF AMERICA-A	B	0.00	9.69	0.00	9.69	86.0	8.33
12/01/2017	00074300216	GURDA, CHRISTINE							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	8.01	0.00	8.01	86.0	6.89
12/01/2017	00074350388	CRANSTON, RYAN							
		NEW PERSPECTIVE FUND-A	B	0.00	0.34	0.00	0.34	86.0	0.29
12/01/2017	00074350388	CRANSTON, RYAN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	0.47	0.00	0.47	86.0	0.40
12/01/2017	00074350388	CRANSTON, RYAN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.29	0.00	0.29	86.0	0.25
12/01/2017	00074955800	ENSENAT, ANGELA							
		NEW PERSPECTIVE FUND-A	B	0.00	0.04	0.00	0.04	86.0	0.03
12/01/2017	00075147276	DONNELLAN, ROSELLA							
		THE INCOME FUND OF AMERICA-A	B	0.00	70.04	0.00	70.04	86.0	60.23
12/01/2017	00075906449	WILSON, KATHLEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	21.23	0.00	21.23	86.0	18.26
12/01/2017	00075906449	WILSON, KATHLEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	23.25	0.00	23.25	86.0	20.00
12/01/2017	00075940830	HODAS, KRISTIE							
		NEW PERSPECTIVE FUND-A	B	0.00	8.09	0.00	8.09	86.0	6.96
12/01/2017	00075940830	HODAS, KRISTIE							
		THE GROWTH FUND OF AMERICA-A	B	0.00	8.43	0.00	8.43	86.0	7.25
12/01/2017	00075952291	ZOTTOLA, MONICA							
		NEW PERSPECTIVE FUND-A	B	0.00	6.07	0.00	6.07	86.0	5.22
12/01/2017	00075952291	ZOTTOLA, MONICA							
		THE GROWTH FUND OF AMERICA-A	B	0.00	6.93	0.00	6.93	86.0	5.96
12/01/2017	00075952322	ZOTTOLA, FRANK							
		NEW PERSPECTIVE FUND-A	B	0.00	26.75	0.00	26.75	86.0	23.01



COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
12/01/2017	00075952322	ZOTTOLA, FRANK	THE GROWTH FUND OF AMERICA-A	B	0.00	26.65	0.00	26.65	86.0	22.92
12/01/2017	00081138106	NOGER, DIANA	NEW PERSPECTIVE FUND-A	B	0.00	5.67	0.00	5.67	86.0	4.88
12/01/2017	00081138106	NOGER, DIANA	THE GROWTH FUND OF AMERICA-A	B	0.00	5.78	0.00	5.78	86.0	4.97
12/01/2017	00081396075	ANNICARO, KAREN	NEW PERSPECTIVE FUND-A	B	0.00	2.69	0.00	2.69	86.0	2.31
12/01/2017	00081396075	ANNICARO, KAREN	THE GROWTH FUND OF AMERICA-A	B	0.00	2.59	0.00	2.59	86.0	2.23
12/01/2017	00081536827	SZOBONYA, PATRICIA	NEW PERSPECTIVE FUND-A	B	0.00	9.88	0.00	9.88	86.0	8.50
12/01/2017	00081536827	SZOBONYA, PATRICIA	THE GROWTH FUND OF AMERICA-A	B	0.00	10.55	0.00	10.55	86.0	9.07
12/01/2017	00081536827	SZOBONYA, PATRICIA	THE INCOME FUND OF AMERICA-A	B	0.00	6.53	0.00	6.53	86.0	5.62
12/01/2017	00081536827	SZOBONYA, PATRICIA	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	7.06	0.00	7.06	86.0	6.07
12/01/2017	00081789143	PURTA, TYLER	NEW PERSPECTIVE FUND-529A	B	0.00	6.33	0.00	6.33	86.0	5.44
12/01/2017	00081789143	PURTA, TYLER	THE GROWTH FUND OF AMERICA-529A	B	0.00	6.85	0.00	6.85	86.0	5.89
12/01/2017	00081789143	PURTA, TYLER	THE INCOME FUND OF AMERICA-529A	B	0.00	5.48	0.00	5.48	86.0	4.71
12/01/2017	00081789143	PURTA, TYLER	WASHINGTON MUTUAL INVESTORS FUND-52	B	0.00	6.21	0.00	6.21	86.0	5.34
12/01/2017	00081789195	PURTA, TYLER	NEW PERSPECTIVE FUND-529A	B	0.00	2.07	0.00	2.07	86.0	1.78
12/01/2017	00081789195	PURTA, TYLER	THE GROWTH FUND OF AMERICA-529A	B	0.00	2.21	0.00	2.21	86.0	1.90
12/01/2017	00081789195	PURTA, TYLER	THE INCOME FUND OF AMERICA-529A	B	0.00	1.58	0.00	1.58	86.0	1.36
12/01/2017	00081789195	PURTA, TYLER	WASHINGTON MUTUAL INVESTORS FUND-52	B	0.00	1.81	0.00	1.81	86.0	1.56
12/01/2017	00082350603	HEALEY, WILLIAM	NEW PERSPECTIVE FUND-A	B	0.00	4.59	0.00	4.59	86.0	3.95
12/01/2017	00082350603	HEALEY, WILLIAM	THE GROWTH FUND OF AMERICA-A	B	0.00	4.89	0.00	4.89	86.0	4.21
12/01/2017	00082350603	HEALEY, WILLIAM	THE INCOME FUND OF AMERICA-A	B	0.00	4.05	0.00	4.05	86.0	3.48
12/01/2017	00082350603	HEALEY, WILLIAM	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	4.42	0.00	4.42	86.0	3.80
12/01/2017	00082617752	PURTA, HOLLY	AMERICAN BALANCED FUND-529A	B	0.00	5.23	0.00	5.23	86.0	4.50
12/01/2017	00082617752	PURTA, HOLLY	NEW PERSPECTIVE FUND-529A	B	0.00	5.34	0.00	5.34	86.0	4.59
12/01/2017	00082617752	PURTA, HOLLY	THE GROWTH FUND OF AMERICA-529A	B	0.00	5.85	0.00	5.85	86.0	5.03
12/01/2017	00082617752	PURTA, HOLLY	THE INCOME FUND OF AMERICA-529A	B	0.00	4.73	0.00	4.73	86.0	4.07
12/01/2017	00082617752	PURTA, HOLLY	WASHINGTON MUTUAL INVESTORS FUND-52	B	0.00	5.40	0.00	5.40	86.0	4.64
12/01/2017	00082633593	PURTA, BETH	CAPITAL INCOME BUILDER-A	B	0.00	2.07	0.00	2.07	86.0	1.78
12/01/2017	00082633593	PURTA, BETH	EUROPACIFIC GROWTH FUND-A	B	0.00	1.98	0.00	1.98	86.0	1.70
12/01/2017	00082633593	PURTA, BETH	THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	2.58	0.00	2.58	86.0	2.22
12/01/2017	00082961676	SOLOMON, ELIAS	NEW PERSPECTIVE FUND-529A	B	0.00	0.27	0.00	0.27	86.0	0.23
12/01/2017	00082961676	SOLOMON, ELIAS	THE GROWTH FUND OF AMERICA-529A	B	0.00	0.19	0.00	0.19	86.0	0.16
12/01/2017	00082961756	SOLOMON, ELIAS	NEW PERSPECTIVE FUND-529A	B	0.00	0.27	0.00	0.27	86.0	0.23
12/01/2017	00082961756	SOLOMON, ELIAS	THE GROWTH FUND OF AMERICA-529A	B	0.00	0.19	0.00	0.19	86.0	0.16
12/01/2017	00082965991	SOLOMON, ELIAS	NEW PERSPECTIVE FUND-529A	B	0.00	0.27	0.00	0.27	86.0	0.23
12/01/2017	00082965991	SOLOMON, ELIAS	THE GROWTH FUND OF AMERICA-529A	B	0.00	0.19	0.00	0.19	86.0	0.16
12/01/2017	00085092661	PURTA, HOLLY	AMERICAN BALANCED FUND-529A	B	0.00	4.47	0.00	4.47	86.0	3.84
12/01/2017	00085092661	PURTA, HOLLY	NEW PERSPECTIVE FUND-529A	B	0.00	4.71	0.00	4.71	86.0	4.05
12/01/2017	00085092661	PURTA, HOLLY	THE GROWTH FUND OF AMERICA-529A	B	0.00	5.26	0.00	5.26	86.0	4.52
12/01/2017	00085092661	PURTA, HOLLY	THE INCOME FUND OF AMERICA-529A	B	0.00	4.25	0.00	4.25	86.0	3.66
12/01/2017	00085194199	VURNO, MARLENE	AMERICAN BALANCED FUND-A	B	0.00	4.70	0.00	4.70	86.0	4.04

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	00085194199	VURNO, MARLENE							
		AMERICAN FUND-45956T105	B	0.00	7.58	0.00	7.58	86.0	6.52
12/01/2017	00085194199	VURNO, MARLENE							
		AMERICAN MUTUAL FUND-A	B	0.00	4.03	0.00	4.03	86.0	3.47
12/01/2017	00085194199	VURNO, MARLENE							
		CAPITAL INCOME BUILDER-A	B	0.00	4.17	0.00	4.17	86.0	3.59
12/01/2017	00085194199	VURNO, MARLENE							
		CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	6.85	0.00	6.85	86.0	5.89
12/01/2017	00085194199	VURNO, MARLENE							
		EUROPACIFIC GROWTH FUND-A	B	0.00	8.01	0.00	8.01	86.0	6.89
12/01/2017	00085194199	VURNO, MARLENE							
		FUNDAMENTAL INVESTORS-A	B	0.00	4.23	0.00	4.23	86.0	3.64
12/01/2017	00085194199	VURNO, MARLENE							
		NEW PERSPECTIVE FUND-A	B	0.00	7.37	0.00	7.37	86.0	6.34
12/01/2017	00085194199	VURNO, MARLENE							
		NEW WORLD FUND-A	B	0.00	7.28	0.00	7.28	86.0	6.26
12/01/2017	00085194199	VURNO, MARLENE							
		SMALLCAP WORLD FUND-A	B	0.00	4.98	0.00	4.98	86.0	4.28
12/01/2017	00085194199	VURNO, MARLENE							
		THE GROWTH FUND OF AMERICA-A	B	0.00	8.63	0.00	8.63	86.0	7.42
12/01/2017	00085194199	VURNO, MARLENE							
		THE INCOME FUND OF AMERICA-A	B	0.00	3.26	0.00	3.26	86.0	2.80
12/01/2017	00085194199	VURNO, MARLENE							
		THE INVESTMENT COMPANY OF AMERICA-A B	B	0.00	8.15	0.00	8.15	86.0	7.01
12/01/2017	00085464933	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	0.94	0.00	0.94	86.0	0.81
12/01/2017	00085464933	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	0.00	0.53	0.00	0.53	86.0	0.46
12/01/2017	00085464933	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	0.83	0.00	0.83	86.0	0.71
12/01/2017	00085464933	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.08	0.00	1.08	86.0	0.93
12/01/2017	00085952045	PERRELLI,							
		NEW PERSPECTIVE FUND-A	B	0.00	2.85	0.00	2.85	86.0	2.45
12/01/2017	00085952045	PERRELLI,							
		THE GROWTH FUND OF AMERICA-A	B	0.00	3.29	0.00	3.29	86.0	2.83
12/01/2017	00086545518	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	0.00	0.22	0.00	0.22	86.0	0.19
12/01/2017	00086545518	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	0.42	0.00	0.42	86.0	0.36
12/01/2017	00086545518	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	0.17	0.00	0.17	86.0	0.15
12/01/2017	00086545518	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	0.78	0.00	0.78	86.0	0.67
12/01/2017	00086545518	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	0.00	0.51	0.00	0.51	86.0	0.44
12/01/2017	00086545518	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.27	0.00	0.27	86.0	0.23
12/01/2017	00086545644	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	0.00	0.05	0.00	0.05	86.0	0.04
12/01/2017	00086545644	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	0.11	0.00	0.11	86.0	0.09
12/01/2017	00086545644	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	0.04	0.00	0.04	86.0	0.03
12/01/2017	00086545644	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	0.24	0.00	0.24	86.0	0.21
12/01/2017	00086545644	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	0.00	0.14	0.00	0.14	86.0	0.12
12/01/2017	00086545644	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.07	0.00	0.07	86.0	0.06
12/01/2017	00086787723	CEFALU, PERRY							
		NEW PERSPECTIVE FUND-A	B	0.00	2.46	0.00	2.46	86.0	2.12
12/01/2017	00086787723	CEFALU, PERRY							
		THE GROWTH FUND OF AMERICA-A	B	0.00	1.93	0.00	1.93	86.0	1.66
12/01/2017	00086787723	CEFALU, PERRY							
		THE INVESTMENT COMPANY OF AMERICA-A B	B	0.00	1.32	0.00	1.32	86.0	1.14
12/01/2017	00086787723	CEFALU, PERRY							
		THE NEW ECONOMY FUND-A	B	0.00	2.75	0.00	2.75	86.0	2.37
12/01/2017	00086787723	CEFALU, PERRY							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.38	0.00	1.38	86.0	1.19
12/01/2017	00086787783	SUTZ, MARIA							
		NEW PERSPECTIVE FUND-A	B	0.00	6.40	0.00	6.40	86.0	5.50
12/01/2017	00086787783	SUTZ, MARIA							
		THE GROWTH FUND OF AMERICA-A	B	0.00	6.24	0.00	6.24	86.0	5.37
12/01/2017	00086787783	SUTZ, MARIA							
		THE INVESTMENT COMPANY OF AMERICA-A B	B	0.00	5.41	0.00	5.41	86.0	4.65
12/01/2017	00086787783	SUTZ, MARIA							
		THE NEW ECONOMY FUND-A	B	0.00	6.98	0.00	6.98	86.0	6.00
12/01/2017	00086787783	SUTZ, MARIA							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	5.42	0.00	5.42	86.0	4.66

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	00087384406	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	56.83	0.00	56.83	86.0	48.87
12/01/2017	00087384416	HEGARTY, EILEEN							
		AMCAP FUND-A	B	0.00	1.74	0.00	1.74	86.0	1.50
12/01/2017	00087384416	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	0.00	1.47	0.00	1.47	86.0	1.26
12/01/2017	00087384416	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	42.79	0.00	42.79	86.0	36.80
12/01/2017	00087384416	HEGARTY, EILEEN							
		NEW WORLD FUND-A	B	0.00	1.37	0.00	1.37	86.0	1.18
12/01/2017	00087384416	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	1.81	0.00	1.81	86.0	1.56
12/01/2017	00087384416	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	1.49	0.00	1.49	86.0	1.28
12/01/2017	00087384416	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A B		0.00	1.72	0.00	1.72	86.0	1.48
12/01/2017	00087384416	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	0.00	4.46	0.00	4.46	86.0	3.84
12/01/2017	00087384433	HEGARTY, EILEEN							
		AMCAP FUND-A	B	0.00	3.15	0.00	3.15	86.0	2.71
12/01/2017	00087384433	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	0.00	3.27	0.00	3.27	86.0	2.81
12/01/2017	00087384433	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	45.86	0.00	45.86	86.0	39.44
12/01/2017	00087384433	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	0.00	1.72	0.00	1.72	86.0	1.48
12/01/2017	00087384433	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	1.95	0.00	1.95	86.0	1.68
12/01/2017	00087384446	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	3.67	0.00	3.67	86.0	3.16
12/01/2017	00087384446	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A B		0.00	3.12	0.00	3.12	86.0	2.68
12/01/2017	00087384446	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	0.00	3.25	0.00	3.25	86.0	2.80
12/01/2017	00087384464	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	1.18	0.00	1.18	86.0	1.01
12/01/2017	00087384478	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	22.25	0.00	22.25	86.0	19.14
12/01/2017	00087384478	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	0.00	23.21	0.00	23.21	86.0	19.96
12/01/2017	00087384507	HEGARTY, EILEEN							
		AMCAP FUND-A	B	0.00	0.66	0.00	0.66	86.0	0.57
12/01/2017	00087384507	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	3.21	0.00	3.21	86.0	2.76
12/01/2017	00087384507	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	2.77	0.00	2.77	86.0	2.38
12/01/2017	00087384530	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A B		0.00	0.52	0.00	0.52	86.0	0.45
12/01/2017	00087384530	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	0.00	1.07	0.00	1.07	86.0	0.92
12/01/2017	00087384530	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.52	0.00	0.52	86.0	0.45
12/01/2017	00087384541	HEGARTY, EILEEN							
		AMCAP FUND-A	B	0.00	0.38	0.00	0.38	86.0	0.33
12/01/2017	00087384541	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	0.00	0.34	0.00	0.34	86.0	0.29
12/01/2017	00087384541	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	0.38	0.00	0.38	86.0	0.33
12/01/2017	00087384541	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	0.00	0.30	0.00	0.30	86.0	0.26
12/01/2017	00087384541	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	1.83	0.00	1.83	86.0	1.57
12/01/2017	00087384541	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	0.34	0.00	0.34	86.0	0.29
12/01/2017	00087384541	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A B		0.00	0.38	0.00	0.38	86.0	0.33
12/01/2017	00087384541	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	0.00	0.40	0.00	0.40	86.0	0.34
12/01/2017	00087384541	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.77	0.00	1.77	86.0	1.52
12/01/2017	00087384558	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	1.45	0.00	1.45	86.0	1.25
12/01/2017	00087384558	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.39	0.00	1.39	86.0	1.20
12/01/2017	00087384565	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	2.25	0.00	2.25	86.0	1.94
12/01/2017	00087384565	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	2.18	0.00	2.18	86.0	1.87
12/01/2017	00087842379	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	32.55	0.00	32.55	86.0	27.99

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	00087842394	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	10.03	0.00	10.03	86.0 8.63
12/01/2017	00088103390	BEAM, SARAH	AMERICAN FUNDS COLLEGE 2030 FUND CA B		0.00	20.34	0.00	20.34	86.0 17.49
12/01/2017	00088103417	BEAM, SARAH	AMERICAN FUNDS COLLEGE 2030 FUND CA B		0.00	8.71	0.00	8.71	86.0 7.49
12/01/2017	00088196549	SZOBONYA, PATRICIA	NEW PERSPECTIVE FUND-A	B	0.00	1.35	0.00	1.35	86.0 1.16
12/01/2017	00088196556	SZOBONYA,	THE GROWTH FUND OF AMERICA-A	B	0.00	2.06	0.00	2.06	86.0 1.77
12/01/2017	00088196564	UNTIL AGE 21,	NEW PERSPECTIVE FUND-529A	B	0.00	3.73	0.00	3.73	86.0 3.21
12/01/2017	00088196564	UNTIL AGE 21,	THE GROWTH FUND OF AMERICA-529A	B	0.00	3.92	0.00	3.92	86.0 3.37
12/01/2017	00088196564	UNTIL AGE 21,	THE INCOME FUND OF AMERICA-529A	B	0.00	3.35	0.00	3.35	86.0 2.88
12/01/2017	00088196564	UNTIL AGE 21,	WASHINGTON MUTUAL INVESTORS FUND-52 B		0.00	3.74	0.00	3.74	86.0 3.22
12/01/2017	00088196567	SZOBONYA, PATRICIA	NEW PERSPECTIVE FUND-529A	B	0.00	1.00	0.00	1.00	86.0 0.86
12/01/2017	00088196567	SZOBONYA, PATRICIA	THE GROWTH FUND OF AMERICA-529A	B	0.00	1.05	0.00	1.05	86.0 0.90
12/01/2017	00088434572	LIFRIERI, ROBERT	CAPITAL INCOME BUILDER-A	B	0.00	42.19	0.00	42.19	86.0 36.28
12/01/2017	00088434572	LIFRIERI, ROBERT	THE INCOME FUND OF AMERICA-A	B	0.00	43.77	0.00	43.77	86.0 37.64
12/01/2017	00088473199	FONSECA, SALVATORE	NEW PERSPECTIVE FUND-A	B	0.00	2.14	0.00	2.14	86.0 1.84
12/01/2017	00088473199	FONSECA, SALVATORE	THE GROWTH FUND OF AMERICA-A	B	0.00	2.16	0.00	2.16	86.0 1.86
12/01/2017	00088828020	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	0.19	0.00	0.19	86.0 0.16
12/01/2017	00088828020	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	0.00	0.15	0.00	0.15	86.0 0.13
12/01/2017	00088828020	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	0.65	0.00	0.65	86.0 0.56
12/01/2017	00088828020	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	0.00	0.73	0.00	0.73	86.0 0.63
12/01/2017	00088828020	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	0.63	0.00	0.63	86.0 0.54
12/01/2017	00088828020	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	0.00	0.45	0.00	0.45	86.0 0.39
12/01/2017	00088828020	HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A B		0.00	0.15	0.00	0.15	86.0 0.13
12/01/2017	00088828020	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.35	0.00	0.35	86.0 0.30
12/01/2017	00088877759	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	0.00	2.24	0.00	2.24	86.0 1.93
12/01/2017	00088877759	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	6.75	0.00	6.75	86.0 5.81
12/01/2017	00088877771	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	0.00	0.81	0.00	0.81	86.0 0.70
12/01/2017	00088877771	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	2.39	0.00	2.39	86.0 2.06
12/01/2017	00089069959	PAULSON, CHARLES	AMCAP FUND-A	B	0.00	10.06	0.00	10.06	86.0 8.65
12/01/2017	00089069968	FERGUSON, JAMES	AMCAP FUND-A	B	0.00	11.01	0.00	11.01	86.0 9.47
12/01/2017	00089131125	FERGUSON, KEVIN	THE GROWTH FUND OF AMERICA-A	B	0.00	4.45	0.00	4.45	86.0 3.83
12/01/2017	00089131143	NY/TOD	AMCAP FUND-A	B	0.00	2.71	0.00	2.71	86.0 2.33
12/01/2017	00089131143	NY/TOD	CAPITAL INCOME BUILDER-A	B	0.00	2.51	0.00	2.51	86.0 2.16
12/01/2017	00089131143	NY/TOD	NEW PERSPECTIVE FUND-A	B	0.00	2.37	0.00	2.37	86.0 2.04
12/01/2017	00089364755	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	8.95	0.00	8.95	86.0 7.70
12/01/2017	00089364772	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	7.79	0.00	7.79	86.0 6.70
12/01/2017	00089364818	HEGARTY, EILEEN	AMCAP FUND-A	B	0.00	0.25	0.00	0.25	86.0 0.22
12/01/2017	00089364818	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	0.00	1.30	0.00	1.30	86.0 1.12
12/01/2017	00089364818	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	1.05	0.00	1.05	86.0 0.90
12/01/2017	00089364843	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	0.00	1.41	0.00	1.41	86.0 1.21
12/01/2017	00089364843	HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A B		0.00	1.03	0.00	1.03	86.0 0.89

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	00089364843	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	0.00	1.06	0.00	1.06	86.0	0.91
12/01/2017	00089364861	HEGARTY, EILEEN							
		AMCAP FUND-A	B	0.00	1.78	0.00	1.78	86.0	1.53
12/01/2017	00089364861	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	0.00	1.81	0.00	1.81	86.0	1.56
12/01/2017	00089364861	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	7.07	0.00	7.07	86.0	6.08
12/01/2017	00089364861	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	0.00	0.99	0.00	0.99	86.0	0.85
12/01/2017	00089364861	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	1.11	0.00	1.11	86.0	0.95
12/01/2017	00089364874	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	6.59	0.00	6.59	86.0	5.67
12/01/2017	00089364874	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	0.00	6.75	0.00	6.75	86.0	5.81
12/01/2017	00089364889	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	2.63	0.00	2.63	86.0	2.26
12/01/2017	00089364889	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	2.60	0.00	2.60	86.0	2.24
12/01/2017	00089364925	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	0.32	0.00	0.32	86.0	0.28
12/01/2017	00089364925	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	0.00	0.67	0.00	0.67	86.0	0.58
12/01/2017	00089364925	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.32	0.00	0.32	86.0	0.28
12/01/2017	00089376062	BLISS, MASON							
		THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	0.18	0.00	0.18	86.0	0.15
12/01/2017	00089378227	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.57	0.00	0.57	86.0	0.49
12/01/2017	00089378259	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	0.65	0.00	0.65	86.0	0.56
12/01/2017	00089378259	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	0.66	0.00	0.66	86.0	0.57
12/01/2017	00089378259	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	0.39	0.00	0.39	86.0	0.34
12/01/2017	00089378259	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	0.00	0.23	0.00	0.23	86.0	0.20
12/01/2017	00089378259	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.21	0.00	0.21	86.0	0.18
12/01/2017	00089378412	HEGARTY, EILEEN							
		AMCAP FUND-A	B	0.00	0.16	0.00	0.16	86.0	0.14
12/01/2017	00089378412	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	0.41	0.00	0.41	86.0	0.35
12/01/2017	00089378412	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	0.00	0.39	0.00	0.39	86.0	0.34
12/01/2017	00089378412	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	0.00	0.37	0.00	0.37	86.0	0.32
12/01/2017	00089378412	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.16	0.00	0.16	86.0	0.14
12/01/2017	00089378427	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	0.00	0.37	0.00	0.37	86.0	0.32
12/01/2017	00089545290	RONGA, DANIEL							
		NEW PERSPECTIVE FUND-A	B	0.00	3.27	0.00	3.27	86.0	2.81
12/01/2017	00089545290	RONGA, DANIEL							
		THE GROWTH FUND OF AMERICA-A	B	0.00	3.99	0.00	3.99	86.0	3.43
12/01/2017	00089780173	SCHEURER, SEAN							
		CAPITAL INCOME BUILDER-A	B	0.00	5.79	0.00	5.79	86.0	4.98
12/01/2017	00089780173	SCHEURER, SEAN							
		NEW PERSPECTIVE FUND-A	B	0.00	1.74	0.00	1.74	86.0	1.50
12/01/2017	00089780173	SCHEURER, SEAN							
		THE GROWTH FUND OF AMERICA-A	B	0.00	6.72	0.00	6.72	86.0	5.78
12/01/2017	00089780173	SCHEURER, SEAN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	6.53	0.00	6.53	86.0	5.62
12/01/2017	00089817576	EVANYKE, JUDITH							
		THE GROWTH FUND OF AMERICA-A	B	0.00	6.89	0.00	6.89	86.0	5.93
12/01/2017	00089817576	EVANYKE, JUDITH							
		THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	6.76	0.00	6.76	86.0	5.81
12/01/2017	00089817576	EVANYKE, JUDITH							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	7.24	0.00	7.24	86.0	6.23
12/01/2017	00089900960	MCMAHON, LAURA							
		NEW PERSPECTIVE FUND-A	B	0.00	40.05	0.00	40.05	86.0	34.44
12/01/2017	00089900960	MCMAHON, LAURA							
		THE GROWTH FUND OF AMERICA-A	B	0.00	30.77	0.00	30.77	86.0	26.46
12/01/2017	00089900960	MCMAHON, LAURA							
		THE INCOME FUND OF AMERICA-A	B	0.00	21.32	0.00	21.32	86.0	18.34
12/01/2017	00089900960	MCMAHON, LAURA							
		THE NEW ECONOMY FUND-A	B	0.00	16.38	0.00	16.38	86.0	14.09
12/01/2017	00089900960	MCMAHON, LAURA							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	44.26	0.00	44.26	86.0	38.06

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/01/2017	04000292411	CUNICELLI, LILY	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.19	0.00	0.19	86.0	0.16
12/01/2017	04000468432	CUNICELLI, LILY	NEW PERSPECTIVE FUND-A	B	0.00	1.67	0.00	1.67	86.0	1.44
12/01/2017	04000468432	CUNICELLI, LILY	THE GROWTH FUND OF AMERICA-A	B	0.00	1.68	0.00	1.68	86.0	1.44
12/01/2017	04000468432	CUNICELLI, LILY	THE INCOME FUND OF AMERICA-A	B	0.00	1.65	0.00	1.65	86.0	1.42
12/01/2017	04000468432	CUNICELLI, LILY	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	1.66	0.00	1.66	86.0	1.43
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$8,878.15	\$0.00	\$8,878.15		\$7,635.36
*** BROKER TRANSACTIONS TOTAL ***					\$47,221.36	\$9,193.25	\$0.00	\$9,193.25		\$7,906.61

ADJUSTMENTS

12/31/2017		E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00			-200.00
12/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00			-20.00
12/31/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00			-8.00
12/31/2017		OTHER: OTHER-STATE REGIST		0.00	0.00	0.00	0.00			-292.00
12/31/2017		OTHER: VIRTRU		0.00	0.00	0.00	0.00			-7.00
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00		\$-527.00

BROKER COMMISSION TOTALS

Broker Transactions	\$7,906.61
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-527.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-11.49
SIPC Assessment	\$-22.98

Check Amount	\$7,345.14
Year-to-date Earnings	\$83,616.90