

All Companies
STATEMENT
July 20, 2018

STEPHEN PLOUCHER

BROKER #: 038
BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for STEPHEN PLOUCHER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	---------	-------------------	------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/15/2018 #	BACKMAN, MELANIE	FIDELITY ADV TECHNOLOGY-CL A	B	7,500.00	206.25	0.00	0.00	206.25	90.0	185.63
06/27/2018 #	SMITH, ROGER	FIDELITY ADVISOR CONSUMER DISCRETIO B		3,300.00	123.75	0.00	0.00	123.75	90.0	111.38
* MUTUAL FUNDS SUBTOTAL *				\$10,800.00	\$330.00	\$0.00	\$0.00	\$330.00		\$297.01

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/01/2018 #	BACKMAN, MELANIE	DAIN TRAILER	S	47,186.38	0.00	0.00	0.00	0.00	90.0	0.00
06/08/2018 #	SMITH, ROGER	DAIN TRAILER	B	0.00	13.09	0.00	0.00	13.09	90.0	11.78
06/11/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.77	0.00	0.00	4.77	90.0	4.29
06/11/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	5.56	0.00	0.00	5.56	90.0	5.00
06/11/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.53	0.00	0.00	4.53	90.0	4.08
06/11/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.58	0.00	0.00	4.58	90.0	4.12
06/11/2018 #	DERUNG, KAREN	DAIN TRAILER	B	0.00	2.32	0.00	0.00	2.32	90.0	2.09
06/11/2018 #	DERUNG, KAREN	DAIN TRAILER	B	0.00	2.06	0.00	0.00	2.06	90.0	1.85
06/11/2018 #	DERUNG, KAREN	DAIN TRAILER	B	0.00	2.24	0.00	0.00	2.24	90.0	2.02
06/11/2018 #	DERUNG, KAREN	DAIN TRAILER	B	0.00	2.27	0.00	0.00	2.27	90.0	2.04
06/11/2018 #	DERUNG, KAREN	DAIN TRAILER	B	0.00	2.02	0.00	0.00	2.02	90.0	1.82
06/11/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	2.32	0.00	0.00	2.32	90.0	2.09
06/11/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	2.06	0.00	0.00	2.06	90.0	1.85
06/11/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	2.24	0.00	0.00	2.24	90.0	2.02
06/11/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	2.27	0.00	0.00	2.27	90.0	2.04
06/11/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	2.02	0.00	0.00	2.02	90.0	1.82
06/11/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	1.89	0.00	0.00	1.89	90.0	1.70
06/11/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	1.14	0.00	0.00	1.14	90.0	1.03
06/11/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	1.45	0.00	0.00	1.45	90.0	1.31
06/11/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	0.53	0.00	0.00	0.53	90.0	0.48
06/11/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	0.65	0.00	0.00	0.65	90.0	0.59
06/11/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	9.54	0.00	0.00	9.54	90.0	8.59
06/11/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	9.79	0.00	0.00	9.79	90.0	8.81
06/11/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	8.19	0.00	0.00	8.19	90.0	7.37
06/11/2018 #	LIUDAHL, SCOTT	DAIN TRAILER	B	0.00	2.07	0.00	0.00	2.07	90.0	1.86
06/11/2018 #	LIUDAHL, SCOTT	DAIN TRAILER	B	0.00	2.53	0.00	0.00	2.53	90.0	2.28

COMMISSION STATEMENT for STEPHEN PLOUCHER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
06/11/2018 #	LIUDAHL, SCOTT	DAIN TRAILER	B	0.00	1.79	0.00	0.00	1.79	90.0	1.61
06/11/2018 #	LIUDAHL, STEVEN	DAIN TRAILER	B	0.00	2.07	0.00	0.00	2.07	90.0	1.86
06/11/2018 #	LIUDAHL, STEVEN	DAIN TRAILER	B	0.00	2.53	0.00	0.00	2.53	90.0	2.28
06/11/2018 #	LIUDAHL, STEVEN	DAIN TRAILER	B	0.00	1.79	0.00	0.00	1.79	90.0	1.61
06/11/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.77	0.00	0.00	4.77	90.0	4.29
06/11/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.68	0.00	0.00	4.68	90.0	4.21
06/11/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.53	0.00	0.00	4.53	90.0	4.08
06/11/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	2.49	0.00	0.00	2.49	90.0	2.24
06/11/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.77	0.00	0.00	4.77	90.0	4.29
06/11/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	5.56	0.00	0.00	5.56	90.0	5.00
06/11/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.53	0.00	0.00	4.53	90.0	4.08
06/11/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.58	0.00	0.00	4.58	90.0	4.12
06/11/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	4.63	0.00	0.00	4.63	90.0	4.17
06/11/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	1.91	0.00	0.00	1.91	90.0	1.72
06/11/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	5.15	0.00	0.00	5.15	90.0	4.64
06/11/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	2.91	0.00	0.00	2.91	90.0	2.62
06/11/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	1.92	0.00	0.00	1.92	90.0	1.73
06/11/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	4.62	0.00	0.00	4.62	90.0	4.16
06/11/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	2.59	0.00	0.00	2.59	90.0	2.33
06/11/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	2.57	0.00	0.00	2.57	90.0	2.31
06/11/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	2.81	0.00	0.00	2.81	90.0	2.53
06/11/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	25.41	0.00	0.00	25.41	90.0	22.87
06/11/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	21.18	0.00	0.00	21.18	90.0	19.06
06/11/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	9.34	0.00	0.00	9.34	90.0	8.41
06/11/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	17.73	0.00	0.00	17.73	90.0	15.96
06/11/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	12.40	0.00	0.00	12.40	90.0	11.16
06/11/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	2.46	0.00	0.00	2.46	90.0	2.21
06/11/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	5.27	0.00	0.00	5.27	90.0	4.74
06/11/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	6.71	0.00	0.00	6.71	90.0	6.04
06/11/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	29.95	0.00	0.00	29.95	90.0	26.96
06/11/2018 #	SMITH, ROGER	DAIN TRAILER	B	0.00	4.61	0.00	0.00	4.61	90.0	4.15
06/11/2018 #	SMITH, ROGER	DAIN TRAILER	B	0.00	3.92	0.00	0.00	3.92	90.0	3.53
06/11/2018 #	GRAFF, MARILYN	DAIN TRAILER	B	0.00	6.09	0.00	0.00	6.09	90.0	5.48
06/11/2018 #	GRAFF, MARILYN	DAIN TRAILER	B	0.00	13.73	0.00	0.00	13.73	90.0	12.36
06/11/2018 #	GRAFF, MARILYN	DAIN TRAILER	B	0.00	3.53	0.00	0.00	3.53	90.0	3.18
06/11/2018 #	GRAFF, MARILYN	DAIN TRAILER	B	0.00	6.74	0.00	0.00	6.74	90.0	6.07
06/11/2018 #	GRAFF, MARILYN	DAIN TRAILER	B	0.00	0.87	0.00	0.00	0.87	90.0	0.78
06/11/2018 #	GRAFF, MARILYN	DAIN TRAILER	B	0.00	1.14	0.00	0.00	1.14	90.0	1.03
06/11/2018 #	DERUNG, KAREN	DAIN TRAILER	B	0.00	0.93	0.00	0.00	0.93	90.0	0.84
06/11/2018 #	DERUNG, KAREN	DAIN TRAILER	B	0.00	1.13	0.00	0.00	1.13	90.0	1.02

COMMISSION STATEMENT for STEPHEN PLOUCHER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
06/11/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	0.58	0.00	0.00	0.58	90.0	0.52
06/11/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	0.09	0.00	0.00	0.09	90.0	0.08
06/11/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	0.78	0.00	0.00	0.78	90.0	0.70
06/11/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	1.34	0.00	0.00	1.34	90.0	1.21
06/11/2018 #	DERUNG, DALE	DAIN TRAILER	B	0.00	1.02	0.00	0.00	1.02	90.0	0.92
06/11/2018 #	SMITH, ROBERT	DAIN TRAILER	B	0.00	2.96	0.00	0.00	2.96	90.0	2.66
06/11/2018 #	SMITH, ROBERT	DAIN TRAILER	B	0.00	3.66	0.00	0.00	3.66	90.0	3.29
06/11/2018 #	SMITH, ROBERT	DAIN TRAILER	B	0.00	0.42	0.00	0.00	0.42	90.0	0.38
06/11/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	8.27	0.00	0.00	8.27	90.0	7.44
06/11/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	4.19	0.00	0.00	4.19	90.0	3.77
06/11/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	5.64	0.00	0.00	5.64	90.0	5.08
06/11/2018 #	BACKMAN, MELANIE	DAIN TRAILER	B	0.00	2.64	0.00	0.00	2.64	90.0	2.38
06/11/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	5.20	0.00	0.00	5.20	90.0	4.68
06/11/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	4.91	0.00	0.00	4.91	90.0	4.42
06/11/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	0.23	0.00	0.00	0.23	90.0	0.21
06/11/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	4.52	0.00	0.00	4.52	90.0	4.07
06/11/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	12.34	0.00	0.00	12.34	90.0	11.11
06/11/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	5.48	0.00	0.00	5.48	90.0	4.93
06/11/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	8.76	0.00	0.00	8.76	90.0	7.88
06/11/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	4.84	0.00	0.00	4.84	90.0	4.36
06/11/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	6.42	0.00	0.00	6.42	90.0	5.78
06/11/2018 #	LIUDAHL, LESLIE	DAIN TRAILER	B	0.00	8.71	0.00	0.00	8.71	90.0	7.84
06/11/2018 #	LIUDAHL, JUDITH	DAIN TRAILER	B	0.00	0.88	0.00	0.00	0.88	90.0	0.79
06/11/2018 #	LIUDAHL, JUDITH	DAIN TRAILER	B	0.00	0.85	0.00	0.00	0.85	90.0	0.77
06/11/2018 #	LIUDAHL, JUDITH	DAIN TRAILER	B	0.00	0.90	0.00	0.00	0.90	90.0	0.81
06/11/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	0.00	2.68	0.00	0.00	2.68	90.0	2.41
06/11/2018 #	SMITH, ROGER	DAIN TRAILER	B	0.00	0.98	0.00	0.00	0.98	90.0	0.88
06/11/2018 #	SMITH, ROGER	DAIN TRAILER	B	0.00	2.41	0.00	0.00	2.41	90.0	2.17
06/11/2018 #	SMITH, ROGER	DAIN TRAILER	B	0.00	7.53	0.00	0.00	7.53	90.0	6.78
06/15/2018 #	SOMMERS, LESTER	DAIN TRAILER	S	18,863.64	0.00	0.00	0.00	0.00	90.0	0.00
06/27/2018 #	SOMMERS, LESTER	DAIN TRAILER	B	50,000.00	1,875.00	0.00	0.00	1,875.00	90.0	1,687.50
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$116,050.02	\$2,322.70	\$0.00	\$0.00	\$2,322.70		\$2,090.48
*** BROKER TRANSACTIONS TOTAL ***				\$126,850.02	\$2,652.70	\$0.00	\$0.00	\$2,652.70		\$2,387.49

COMMISSION STATEMENT for STEPHEN PLOUCHER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	---------	-------------------	------	----------------------

ADJUSTMENTS

07/20/2018		ADMIN FEE: ADMIN FEE								-390.00
07/20/2018		E & O INSURANCE: E & O INSURANCE								-179.00
*** ADJUSTMENTS TOTAL ***										<u>\$-569.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$2,387.49
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	<u>\$1,818.49</u>
--------------	-------------------