

COMMISSION STATEMENT

June 09, 2017

ERIC BELZ
1802 LITTLE JOHN STREET

BROKER #: 26
BRANCH: HOME OFFICE

MONTGOMERY, TX 77316

COMMISSION STATEMENT for ERIC BELZ

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------------|----------------------------|---------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS | | | | | | | | | |
| 05/11/2017 | QGW035040 THUILOT, CHARLES | GWG L BOND MAY 2 YEAR 2017 5.5% | B | 60,000.00 | 0.00 | 17.00 | -17.00 | 90.0 | 0.00 |
| | | * MUTUAL FUNDS SUBTOTAL * | | \$60,000.00 | \$0.00 | \$17.00 | \$-17.00 | | \$0.00 |

PRODUCT CATEGORY: STOCKS

| | | | | | | | | | |
|------------|--------------------------------|---------------------------------------|---|------------|--------|-------|--------|------|--------|
| 05/09/2017 | QGW031783 JACKSON, FRANK | GWG HLDGS INC COM | B | 118.80 | 1.41 | 17.00 | -15.59 | 90.0 | -15.73 |
| 05/10/2017 | QGW031783 JACKSON, FRANK | GWG HLDGS INC COM | B | 594.00 | 7.12 | 17.00 | -9.88 | 90.0 | -10.59 |
| 05/19/2017 | QGW034415 CHAIMOVITCH, BERNARD | COHERUS BIOSCIENCES INC COM | B | 25,000.00 | 180.00 | 17.00 | 163.00 | 90.0 | 145.00 |
| 05/23/2017 | QGW035115 SMITH, SUSAN | ATLAS AIR WORLDWIDE HLDGS INC FORME B | B | 9,597.20 | 149.00 | 17.00 | 132.00 | 90.0 | 117.10 |
| 05/23/2017 | QGW035115 SMITH, SUSAN | NINTENDO CO LTD-ADR NEW | B | 10,438.74 | 149.00 | 17.00 | 132.00 | 90.0 | 117.10 |
| 05/03/2017 | QGW035123 BOWLEY, KEVIN | HERC HLDGS INC COM | S | -2,994.49 | 48.00 | 17.00 | 31.00 | 90.0 | 26.20 |
| 05/16/2017 | QGW035156 LEONARD, JULIE | NINTENDO CO LTD-ADR NEW | B | 32,980.00 | 315.00 | 17.00 | 298.00 | 90.0 | 266.50 |
| 05/16/2017 | QGW035156 LEONARD, JULIE | PENTAIR PLC SHS | B | 52,484.32 | 370.00 | 17.00 | 353.00 | 90.0 | 316.00 |
| 05/19/2017 | QGW035198 WULFF, HANS | COHERUS BIOSCIENCES INC COM | B | 22,500.00 | 180.00 | 17.00 | 163.00 | 90.0 | 145.00 |
| 05/19/2017 | QGW035198 WULFF, HANS | TRANSOCEAN LTD US LISTED | B | 24,000.00 | 210.00 | 17.00 | 193.00 | 90.0 | 172.00 |
| 05/12/2017 | QGW035214 BIANCO, BARBARA | TWITTER INC | B | 18,958.10 | 145.00 | 17.00 | 128.00 | 90.0 | 113.50 |
| 05/12/2017 | QGW035222 BIANCO, BARBARA | FELCOR LODGING TR INC COM | S | -7,002.70 | 75.00 | 17.00 | 58.00 | 90.0 | 50.50 |
| 05/19/2017 | QGW035248 BELZ, ERIC | KRATOS DEFENSE & SEC SOLUTIONS | S | -11,250.00 | 175.00 | 17.00 | 158.00 | 90.0 | 140.50 |
| 05/19/2017 | QGW035347 MODUGNO, ROCCO | KRATOS DEFENSE & SEC SOLUTIONS | S | -7,500.00 | 110.00 | 17.00 | 93.00 | 90.0 | 82.00 |
| 05/23/2017 | QGW035388 FAY, WALTER | ATLAS AIR WORLDWIDE HLDGS INC FORME B | B | 34,332.48 | 195.00 | 17.00 | 178.00 | 90.0 | 158.50 |
| 05/23/2017 | QGW035388 FAY, WALTER | PENTAIR PLC SHS | B | 40,290.00 | 195.00 | 17.00 | 178.00 | 90.0 | 158.50 |
| 05/19/2017 | QGW035479 ROBERTS, KATHLEEN | KRATOS DEFENSE & SEC SOLUTIONS | S | -11,250.00 | 265.00 | 17.00 | 248.00 | 90.0 | 221.50 |
| 05/19/2017 | QGW035511 LYDEN, MARY | COHERUS BIOSCIENCES INC COM | B | 25,000.00 | 180.00 | 17.00 | 163.00 | 90.0 | 145.00 |
| 05/19/2017 | QGW035545 KMIECIAK, INES | TRANSOCEAN LTD US LISTED | B | 24,000.00 | 185.00 | 17.00 | 168.00 | 90.0 | 149.50 |
| 05/17/2017 | QGW035594 DUSATKO, ANNE | TRANSOCEAN LTD US LISTED | B | 14,400.00 | 135.00 | 17.00 | 118.00 | 90.0 | 104.50 |
| 05/19/2017 | QGW035594 DUSATKO, ANNE | TRANSOCEAN LTD US LISTED | B | 9,600.00 | 105.00 | 17.00 | 88.00 | 90.0 | 77.50 |
| 05/19/2017 | QGW035610 DAVIS, VICTORIA | TWITTER INC | S | -17,500.00 | 185.00 | 17.00 | 168.00 | 90.0 | 149.50 |
| 05/16/2017 | QGW035693 MASSA, WILLIAM | NINTENDO CO LTD-ADR NEW | B | 18,154.24 | 148.00 | 17.00 | 131.00 | 90.0 | 116.20 |
| 05/24/2017 | QGW035719 FAMILY 2011 | FELCOR LODGING TR INC COM | S | -10,984.35 | 98.00 | 17.00 | 81.00 | 90.0 | 71.20 |
| 05/17/2017 | QGW035735 STELLATO, MICHAEL | TRANSOCEAN LTD US LISTED | B | 3,600.00 | 35.00 | 17.00 | 18.00 | 90.0 | 14.50 |
| 05/19/2017 | QGW035735 STELLATO, MICHAEL | TRANSOCEAN LTD US LISTED | B | 20,400.00 | 170.00 | 17.00 | 153.00 | 90.0 | 136.00 |
| 05/12/2017 | QGW035776 ZIMMERMAN, FRANCINE | TRANSOCEAN LTD US LISTED | B | 12,000.00 | 110.00 | 17.00 | 93.00 | 90.0 | 82.00 |

COMMISSION STATEMENT for ERIC BELZ

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-----------|---------------------|-----------------------------|----------------------------|---------------------|--------------------|-------------------|-------------------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: STOCKS</u> | | | | | | | | | |
| 05/19/2017 | QGW035776 | ZIMMERMAN, FRANCINE | COHERUS BIOSCIENCES INC COM | B | 25,000.00 | 180.00 | 17.00 | 163.00 | 90.0 145.00 |
| 05/19/2017 | QGW035776 | ZIMMERMAN, FRANCINE | TRANSOCEAN LTD US LISTED | B | 12,000.00 | 95.00 | 17.00 | 78.00 | 90.0 68.50 |
| 05/19/2017 | QGW035800 | SHEEHAN, MARY | COHERUS BIOSCIENCES INC COM | B | 25,000.00 | 185.00 | 17.00 | 168.00 | 90.0 149.50 |
| 05/12/2017 | QGW035826 | ORTIZ, DENISE | TRANSOCEAN LTD US LISTED | B | 10,800.00 | 105.00 | 17.00 | 88.00 | 90.0 77.50 |
| 05/19/2017 | QGW035834 | CHAUDHRY, JAHANZEB | OPKO HEALTH INC | B | 15,000.00 | 210.00 | 17.00 | 193.00 | 90.0 172.00 |
| 05/04/2017 | QGW035958 | KASAN, DONNA | GWG HLDGS INC COM | S | -16,000.16 | 30.00 | 17.00 | 13.00 | 90.0 10.00 |
| 05/12/2017 | QGW036014 | TUNJIAN, CRAIG | TRANSOCEAN LTD US LISTED | B | 7,200.00 | 70.00 | 17.00 | 53.00 | 90.0 46.00 |
| 05/19/2017 | QGW036014 | TUNJIAN, CRAIG | TRANSOCEAN LTD US LISTED | B | 16,800.00 | 180.00 | 17.00 | 163.00 | 90.0 145.00 |
| 05/08/2017 | QGW036055 | MATACOTTA, CORINNA | TWITTER INC | B | 18,277.70 | 180.00 | 17.00 | 163.00 | 90.0 145.00 |
| 05/09/2017 | QGW036071 | HENNEMANN, DENNIS | TRANSOCEAN LTD US LISTED | B | 11,040.00 | 99.00 | 17.00 | 82.00 | 90.0 72.10 |
| 05/09/2017 | QGW036071 | HENNEMANN, DENNIS | TWITTER INC | B | 25,838.40 | 115.00 | 17.00 | 98.00 | 90.0 86.50 |
| 05/19/2017 | QGW036246 | LENTO, REGINA | TRANSOCEAN LTD US LISTED | B | 12,000.00 | 180.00 | 17.00 | 163.00 | 90.0 145.00 |
| 05/19/2017 | QGW036279 | MIKO, ARTHUR | TRANSOCEAN LTD US LISTED | B | 22,800.00 | 190.00 | 17.00 | 173.00 | 90.0 154.00 |
| 05/08/2017 | QGW036337 | FARREN, JOSEPHINE | TWITTER INC | B | 10,996.92 | 91.00 | 17.00 | 74.00 | 90.0 64.90 |
| 05/08/2017 | QGW036386 | FRANCK, RACHEL | GWG HLDGS INC COM | B | 7,014.75 | 86.36 | 17.00 | 69.36 | 90.0 60.72 |
| 05/08/2017 | QGW036386 | FRANCK, RACHEL | TWITTER INC | B | 18,287.70 | 171.00 | 17.00 | 154.00 | 90.0 136.90 |
| 05/16/2017 | QGW036386 | FRANCK, RACHEL | NINTENDO CO LTD-ADR NEW | B | 18,160.18 | 148.00 | 17.00 | 131.00 | 90.0 116.20 |
| 05/19/2017 | QGW036394 | LIPANI, CHRISTINE | TRANSOCEAN LTD US LISTED | B | 16,800.00 | 135.00 | 17.00 | 118.00 | 90.0 104.50 |
| 05/16/2017 | QGW037038 | TUIFEL, FRANK | MANHATTAN BRDG CAP INC COM | S | -5,325.00 | 59.00 | 17.00 | 42.00 | 90.0 36.10 |
| | | | | * STOCKS SUBTOTAL * | \$581,656.83 | \$6,629.89 | \$782.00 | \$5,847.89 | \$5,184.90 |

PRODUCT CATEGORY: OPTIONS

| | | | | | | | | | |
|------------|-----------|------------------|-------------------------------------|---|-----------|-------|-------|--------|-------------|
| 04/28/2017 | QGW031783 | JACKSON, FRANK | CALL 100 VUZIX CORP COM NEW EXP 06- | S | -400.00 | 44.00 | 22.00 | 22.00 | 90.0 17.60 |
| 05/08/2017 | QGW031783 | JACKSON, FRANK | PUT 100 TWITTER INC COM EXP 06-16-1 | S | -630.00 | 65.00 | 19.50 | 45.50 | 90.0 39.00 |
| 05/05/2017 | QGW035040 | THUILOT, CHARLES | CALL 100 TWITTER INC COM EXP 06-16- | S | -615.00 | 65.00 | 20.75 | 44.25 | 90.0 37.75 |
| 05/09/2017 | QGW035040 | THUILOT, CHARLES | CALL 100 OPKO HEALTH INC COM EXP 06 | S | -500.00 | 51.00 | 22.00 | 29.00 | 90.0 23.90 |
| 05/10/2017 | QGW035040 | THUILOT, CHARLES | CALL 100 TRANSOCEAN LTD REG SHS ISI | S | -120.00 | 14.00 | 19.50 | -5.50 | 90.0 -6.90 |
| 05/11/2017 | QGW035040 | THUILOT, CHARLES | CALL 100 TRANSOCEAN LTD REG SHS ISI | S | -120.00 | 14.00 | 19.50 | -5.50 | 90.0 -6.90 |
| 05/11/2017 | QGW035040 | THUILOT, CHARLES | PUT 100 NABORS INDS LTD SHS ISIN#BM | S | -1,100.00 | 99.00 | 22.00 | 77.00 | 90.0 67.10 |
| 05/17/2017 | QGW035040 | THUILOT, CHARLES | PUT 100 PENTAIR PLC SHS ISIN#IE00BL | S | -1,450.00 | 99.00 | 19.50 | 79.50 | 90.0 69.60 |
| 05/24/2017 | QGW035040 | THUILOT, CHARLES | PUT 100 ATLAS AIR WORLDWIDE HLDGS I | S | -3,100.00 | 99.00 | 19.50 | 79.50 | 90.0 69.60 |
| 05/10/2017 | QGW035123 | BOWLEY, KEVIN | CALL 100 TRANSOCEAN LTD REG SHS ISI | S | -120.00 | 16.00 | 19.50 | -3.50 | 90.0 -5.10 |
| 05/11/2017 | QGW035123 | BOWLEY, KEVIN | CALL 100 TRANSOCEAN LTD REG SHS ISI | S | -60.00 | 8.00 | 18.25 | -10.25 | 90.0 -11.05 |
| 05/02/2017 | QGW035172 | O'NEILL, RORY | CALL 100 TWITTER INC COM EXP 06-16- | S | -240.00 | 48.00 | 18.50 | 29.50 | 90.0 24.70 |
| 05/01/2017 | QGW035198 | WULFF, HANS | CALL 100 VUZIX CORP COM NEW EXP 06- | S | -400.00 | 44.00 | 22.00 | 22.00 | 90.0 17.60 |
| 05/02/2017 | QGW035198 | WULFF, HANS | CALL 100 TWITTER INC COM EXP 05-19- | S | -320.00 | 35.00 | 19.50 | 15.50 | 90.0 12.00 |
| 05/05/2017 | QGW035198 | WULFF, HANS | PUT 100 VUZIX CORP COM NEW EXP 06-1 | S | -800.00 | 88.00 | 22.00 | 66.00 | 90.0 57.20 |
| 05/16/2017 | QGW035198 | WULFF, HANS | PUT 100 PENTAIR PLC SHS ISIN#IE00BL | S | -1,200.00 | 99.00 | 19.50 | 79.50 | 90.0 69.60 |
| 05/17/2017 | QGW035198 | WULFF, HANS | PUT 100 PENTAIR PLC SHS ISIN#IE00BL | B | 1,410.00 | 25.00 | 19.50 | 5.50 | 90.0 3.00 |
| 04/28/2017 | QGW035206 | SKAHILL, ROBERT | CALL 100 VUZIX CORP COM NEW EXP 06- | S | -400.00 | 45.00 | 22.00 | 23.00 | 90.0 18.50 |

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|----------------------------------|-----------|--------------------|---------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: OPTIONS</u> | | | | | | | | | |
| 05/16/2017 | QGW035214 | BIANCO, BARBARA | PUT 100 VUZIX CORP COM NEW EXP 07-2 S | -1,400.00 | 99.00 | 22.00 | 77.00 | 90.0 | 67.10 |
| 05/03/2017 | QGW035248 | BELZ, ERIC | PUT 100 TWITTER INC COM EXP 06-16-1 S | -1,065.00 | 99.00 | 20.75 | 78.25 | 90.0 | 68.35 |
| 05/11/2017 | QGW035248 | BELZ, ERIC | PUT 100 NABORS INDS LTD SHS ISIN#BM S | -1,300.00 | 99.00 | 22.00 | 77.00 | 90.0 | 67.10 |
| 05/11/2017 | QGW035248 | BELZ, ERIC | PUT 100 NABORS INDS LTD SHS ISIN#BM S | 1,300.00 | -99.00 | -22.00 | -77.00 | 90.0 | -67.10 C |
| 05/11/2017 | QGW035248 | BELZ, ERIC | PUT 100 NABORS INDS LTD SHS ISIN#BM S | -1,300.00 | 99.00 | 22.00 | 77.00 | 90.0 | 67.10 |
| 05/24/2017 | QGW035248 | BELZ, ERIC | CALL 100 KRATOS DEFENSE & SEC SOLUT S | -1,800.00 | 99.00 | 20.75 | 78.25 | 90.0 | 68.35 |
| 04/28/2017 | QGW035255 | BROCHARD, MARIA | CALL 100 VUZIX CORP COM NEW EXP 06- S | -400.00 | 44.00 | 22.00 | 22.00 | 90.0 | 17.60 |
| 05/01/2017 | QGW035255 | BROCHARD, MARIA | CALL 100 BANK AMER CORP COM EXP 06- S | -375.00 | 41.00 | 20.75 | 20.25 | 90.0 | 16.15 |
| 05/10/2017 | QGW035362 | MOON, STEVEN | CALL 100 TRANSOCEAN LTD REG SHS ISI S | -120.00 | 14.20 | 19.50 | -5.30 | 90.0 | -6.72 |
| 05/11/2017 | QGW035362 | MOON, STEVEN | CALL 100 TRANSOCEAN LTD REG SHS ISI S | -108.00 | 12.78 | 19.25 | -6.47 | 90.0 | -7.75 |
| 05/24/2017 | QGW035388 | FAY, WALTER | PUT 100 NABORS INDS LTD SHS ISIN#BM S | -840.00 | 89.00 | 22.00 | 67.00 | 90.0 | 58.10 |
| 04/28/2017 | QGW035412 | AMENITA, PATRICIA | CALL 100 VUZIX CORP COM NEW EXP 06- S | -400.00 | 44.00 | 22.00 | 22.00 | 90.0 | 17.60 |
| 05/02/2017 | QGW035412 | AMENITA, PATRICIA | PUT 100 TRANSOCEAN LTD REG SHS ISIN S | -700.00 | 72.00 | 22.00 | 50.00 | 90.0 | 42.80 |
| 05/08/2017 | QGW035412 | AMENITA, PATRICIA | PUT 100 OPKO HEALTH INC COM EXP 06- S | -735.00 | 78.00 | 22.25 | 55.75 | 90.0 | 47.95 |
| 05/22/2017 | QGW035412 | AMENITA, PATRICIA | CALL 100 KRATOS DEFENSE & SEC SOLUT S | -600.00 | 62.00 | 22.00 | 40.00 | 90.0 | 33.80 |
| 05/01/2017 | QGW035479 | ROBERTS, KATHLEEN | PUT 100 VUZIX CORP COM NEW EXP 06-1 S | -800.00 | 81.00 | 22.00 | 59.00 | 90.0 | 50.90 |
| 05/02/2017 | QGW035511 | LYDEN, MARY | CALL 100 TWITTER INC COM EXP 05-19- S | -330.00 | 35.00 | 19.50 | 15.50 | 90.0 | 12.00 |
| 05/11/2017 | QGW035511 | LYDEN, MARY | CALL 100 TRANSOCEAN LTD REG SHS ISI S | -240.00 | 28.00 | 22.00 | 6.00 | 90.0 | 3.20 |
| 05/24/2017 | QGW035511 | LYDEN, MARY | PUT 100 JD COM INC SPONS ADR REPSTG S | -650.00 | 65.00 | 19.50 | 45.50 | 90.0 | 39.00 |
| 05/24/2017 | QGW035511 | LYDEN, MARY | PUT 100 NABORS INDS LTD SHS ISIN#BM S | -1,200.00 | 99.00 | 24.50 | 74.50 | 90.0 | 64.60 |
| 05/09/2017 | QGW035537 | LYDEN, MARY | PUT 100 TWITTER INC COM EXP 06-23-1 S | -920.00 | 92.00 | 19.50 | 72.50 | 90.0 | 63.30 |
| 05/11/2017 | QGW035537 | LYDEN, MARY | PUT 100 NABORS INDS LTD SHS ISIN#BM S | -1,240.00 | 99.00 | 22.00 | 77.00 | 90.0 | 67.10 |
| 05/22/2017 | QGW035537 | LYDEN, MARY | PUT 100 ATLAS AIR WORLDWIDE HLDGS I S | -3,100.00 | 99.00 | 19.50 | 79.50 | 90.0 | 69.60 |
| 05/22/2017 | QGW035537 | LYDEN, MARY | PUT 100 ATLAS AIR WORLDWIDE HLDGS I S | 3,100.00 | -99.00 | -19.50 | -79.50 | 90.0 | -69.60 C |
| 05/22/2017 | QGW035537 | LYDEN, MARY | PUT 100 ATLAS AIR WORLDWIDE HLDGS I S | -3,100.00 | 99.00 | 19.50 | 79.50 | 90.0 | 69.60 |
| 05/26/2017 | QGW035537 | LYDEN, MARY | PUT 100 VUZIX CORP COM NEW EXP 07-2 S | -1,500.00 | 99.00 | 24.50 | 74.50 | 90.0 | 64.60 |
| 05/26/2017 | QGW035537 | LYDEN, MARY | PUT 100 VUZIX CORP COM NEW EXP 07-2 S | 1,500.00 | -99.00 | -24.50 | -74.50 | 90.0 | -64.60 C |
| 05/26/2017 | QGW035537 | LYDEN, MARY | PUT 100 VUZIX CORP COM NEW EXP 07-2 S | -1,500.00 | 99.00 | 24.50 | 74.50 | 90.0 | 64.60 |
| 05/02/2017 | QGW035545 | KMIECIAK, INES | CALL 100 TWITTER INC COM EXP 06-09- S | -410.00 | 44.00 | 19.50 | 24.50 | 90.0 | 20.10 |
| 05/09/2017 | QGW035545 | KMIECIAK, INES | PUT 100 TWITTER INC COM EXP 06-16-1 S | -882.00 | 98.00 | 20.50 | 77.50 | 90.0 | 67.70 |
| 05/09/2017 | QGW035545 | KMIECIAK, INES | PUT 100 TWITTER INC COM EXP 06-16-1 S | 882.00 | -98.00 | -20.50 | -77.50 | 90.0 | -67.70 C |
| 05/09/2017 | QGW035545 | KMIECIAK, INES | PUT 100 TWITTER INC COM EXP 06-16-1 S | -882.00 | 98.00 | 20.50 | 77.50 | 90.0 | 67.70 |
| 05/11/2017 | QGW035545 | KMIECIAK, INES | PUT 100 NABORS INDS LTD SHS ISIN#BM S | -1,140.00 | 99.00 | 22.00 | 77.00 | 90.0 | 67.10 |
| 05/11/2017 | QGW035545 | KMIECIAK, INES | PUT 100 NABORS INDS LTD SHS ISIN#BM S | 1,140.00 | -99.00 | -22.00 | -77.00 | 90.0 | -67.10 C |
| 05/11/2017 | QGW035545 | KMIECIAK, INES | PUT 100 NABORS INDS LTD SHS ISIN#BM S | -1,140.00 | 99.00 | 22.00 | 77.00 | 90.0 | 67.10 |
| 05/01/2017 | QGW035552 | KMIECIAK, ZBIGNIEW | PUT 100 VUZIX CORP COM NEW EXP 06-1 S | -1,200.00 | 99.00 | 24.50 | 74.50 | 90.0 | 64.60 |
| 05/02/2017 | QGW035552 | KMIECIAK, ZBIGNIEW | PUT 100 VUZIX CORP COM NEW EXP 07-2 S | -1,950.00 | 99.00 | 24.50 | 74.50 | 90.0 | 64.60 |
| 05/03/2017 | QGW035552 | KMIECIAK, ZBIGNIEW | PUT 100 TWITTER INC COM EXP 06-16-1 S | -1,035.00 | 99.00 | 20.75 | 78.25 | 90.0 | 68.35 |
| 05/01/2017 | QGW035594 | DUSATKO, ANNE | CALL 100 BANK AMER CORP COM EXP 06- S | -315.00 | 39.00 | 20.75 | 18.25 | 90.0 | 14.35 |
| 05/01/2017 | QGW035594 | DUSATKO, ANNE | CALL 100 TWITTER INC COM EXP 06-09- S | -525.00 | 61.00 | 20.75 | 40.25 | 90.0 | 34.15 |

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| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|-----------------------------------|-----------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| PRODUCT CATEGORY: OPTIONS | | | | | | | | | |
| 05/01/2017 | QGW035594 DUSATKO, ANNE | PUT 100 VUZIX CORP COM NEW EXP 06-1 S | | -1,200.00 | 99.00 | 24.50 | 74.50 | 90.0 | 64.60 |
| 05/03/2017 | QGW035594 DUSATKO, ANNE | PUT 100 SPRINT CORP COM SER 1 EXP 0 S | | -1,710.00 | 99.00 | 24.50 | 74.50 | 90.0 | 64.60 |
| 05/03/2017 | QGW035594 DUSATKO, ANNE | PUT 100 TWITTER INC COM EXP 06-16-1 S | | -1,420.00 | 99.00 | 22.00 | 77.00 | 90.0 | 67.10 |
| 05/08/2017 | QGW035594 DUSATKO, ANNE | CALL 100 VUZIX CORP COM NEW EXP 07- S | | -600.00 | 68.00 | 22.00 | 46.00 | 90.0 | 39.20 |
| 05/11/2017 | QGW035594 DUSATKO, ANNE | PUT 100 NABORS INDS LTD SHS ISIN#BM S | | -1,625.00 | 99.00 | 23.25 | 75.75 | 90.0 | 65.85 |
| 05/16/2017 | QGW035594 DUSATKO, ANNE | PUT 100 HANESBRANDS INC COM EXP 06- S | | -1,000.00 | 99.00 | 22.00 | 77.00 | 90.0 | 67.10 |
| 05/23/2017 | QGW035610 DAVIS, VICTORIA | PUT 100 BANK AMER CORP COM EXP 07-2 S | | -608.00 | 71.00 | 19.00 | 52.00 | 90.0 | 44.90 |
| 05/09/2017 | QGW035636 SEIDEL, ANNE | PUT 100 TWITTER INC COM EXP 06-16-1 S | | -610.00 | 65.00 | 19.50 | 45.50 | 90.0 | 39.00 |
| 05/10/2017 | QGW035636 SEIDEL, ANNE | PUT 100 OPKO HEALTH INC COM EXP 06- S | | -1,700.00 | 99.00 | 22.00 | 77.00 | 90.0 | 67.10 |
| 05/01/2017 | QGW035644 MONGELUZZI, LINDA | CALL 100 TWITTER INC COM EXP 06-09- S | | -250.00 | 53.00 | 19.50 | 33.50 | 90.0 | 28.20 |
| 05/02/2017 | QGW035644 MONGELUZZI, LINDA | PUT 100 VUZIX CORP COM NEW EXP 06-1 S | | -1,200.00 | 99.00 | 24.50 | 74.50 | 90.0 | 64.60 |
| 05/04/2017 | QGW035644 MONGELUZZI, LINDA | PUT 100 TWITTER INC COM EXP 06-16-1 S | | -1,050.00 | 99.00 | 20.75 | 78.25 | 90.0 | 68.35 |
| 05/09/2017 | QGW035644 MONGELUZZI, LINDA | PUT 100 TRANSOCEAN LTD REG SHS ISIN S | | -900.00 | 92.00 | 20.75 | 71.25 | 90.0 | 62.05 |
| 05/11/2017 | QGW035644 MONGELUZZI, LINDA | PUT 100 NABORS INDS LTD SHS ISIN#BM S | | -1,240.00 | 99.00 | 22.00 | 77.00 | 90.0 | 67.10 |
| 05/12/2017 | QGW035644 MONGELUZZI, LINDA | PUT 100 NORWEGIAN CRUISE LINE HLDGS S | | -750.00 | 78.00 | 19.50 | 58.50 | 90.0 | 50.70 |
| 05/23/2017 | QGW035644 MONGELUZZI, LINDA | PUT 100 ATLAS AIR WORLDWIDE HLDGS I S | | -3,150.00 | 99.00 | 19.50 | 79.50 | 90.0 | 69.60 |
| 05/03/2017 | QGW035669 KOLLAR, ROBERT | PUT 100 TWITTER INC COM EXP 06-16-1 S | | -1,440.00 | 99.00 | 22.00 | 77.00 | 90.0 | 67.10 |
| 05/22/2017 | QGW035669 KOLLAR, ROBERT | CALL 100 KRATOS DEFENSE & SEC SOLUT S | | -600.00 | 61.00 | 22.00 | 39.00 | 90.0 | 32.90 |
| 05/24/2017 | QGW035669 KOLLAR, ROBERT | CALL 100 KRATOS DEFENSE & SEC SOLUT S | | -1,200.00 | 99.00 | 19.50 | 79.50 | 90.0 | 69.60 |
| 05/01/2017 | QGW035685 EICHENHOLZ, SONDR | PUT 100 BANK AMER CORP COM EXP 06-0 S | | -340.00 | 35.00 | 19.50 | 15.50 | 90.0 | 12.00 |
| 05/01/2017 | QGW035685 EICHENHOLZ, SONDR | PUT 100 VUZIX CORP COM NEW EXP 06-1 S | | -1,200.00 | 99.00 | 24.50 | 74.50 | 90.0 | 64.60 |
| 05/04/2017 | QGW035685 EICHENHOLZ, SONDR | PUT 100 TWITTER INC COM EXP 06-16-1 S | | -720.00 | 72.00 | 20.00 | 52.00 | 90.0 | 44.80 |
| 05/11/2017 | QGW035693 MASSA, WILLIAM | PUT 100 NABORS INDS LTD SHS ISIN#BM S | | -1,360.00 | 99.00 | 22.00 | 77.00 | 90.0 | 67.10 |
| 05/16/2017 | QGW035693 MASSA, WILLIAM | PUT 100 VUZIX CORP COM NEW EXP 07-2 S | | -1,400.00 | 99.00 | 22.00 | 77.00 | 90.0 | 67.10 |
| 05/01/2017 | QGW035701 SZARKA, MICHAEL | CALL 100 VUZIX CORP COM NEW EXP 07- S | | -600.00 | 66.00 | 22.00 | 44.00 | 90.0 | 37.40 |
| 05/01/2017 | QGW035701 SZARKA, MICHAEL | PUT 100 OPKO HEALTH INC COM EXP 06- S | | -700.00 | 72.00 | 22.00 | 50.00 | 90.0 | 42.80 |
| 05/08/2017 | QGW035701 SZARKA, MICHAEL | PUT 100 TWITTER INC COM EXP 06-16-1 S | | -870.00 | 99.00 | 20.75 | 78.25 | 90.0 | 68.35 |
| 05/01/2017 | QGW035719 FAMILY 2011 | PUT 100 VUZIX CORP COM NEW EXP 06-1 S | | -800.00 | 81.00 | 22.00 | 59.00 | 90.0 | 50.90 |
| 05/01/2017 | QGW035719 FAMILY 2011 | PUT 100 VUZIX CORP COM NEW EXP 06-1 S | | 800.00 | -81.00 | -22.00 | -59.00 | 90.0 | -50.90 C |
| 05/01/2017 | QGW035719 FAMILY 2011 | PUT 100 VUZIX CORP COM NEW EXP 06-1 S | | -800.00 | 81.00 | 22.00 | 59.00 | 90.0 | 50.90 |
| 05/24/2017 | QGW035719 FAMILY 2011 | PUT 100 NABORS INDS LTD SHS ISIN#BM S | | -460.00 | 40.00 | 22.00 | 18.00 | 90.0 | 14.00 |
| 05/11/2017 | QGW035727 HERDTER, CYNTHIA | PUT 100 NABORS INDS LTD SHS ISIN#BM S | | -726.00 | 62.00 | 19.75 | 42.25 | 90.0 | 36.05 |
| 05/03/2017 | QGW035768 MASSA, PATRICIA | PUT 100 TWITTER INC COM EXP 06-16-1 S | | -1,440.00 | 99.00 | 22.00 | 77.00 | 90.0 | 67.10 |
| 05/08/2017 | QGW035768 MASSA, PATRICIA | PUT 100 OPKO HEALTH INC COM EXP 06- S | | -600.00 | 62.00 | 22.00 | 40.00 | 90.0 | 33.80 |
| 05/10/2017 | QGW035768 MASSA, PATRICIA | CALL 100 TRANSOCEAN LTD REG SHS ISI S | | -640.00 | 62.00 | 22.00 | 40.00 | 90.0 | 33.80 |
| 05/10/2017 | QGW035768 MASSA, PATRICIA | CALL 100 TRANSOCEAN LTD REG SHS ISI S | | 640.00 | -62.00 | -22.00 | -40.00 | 90.0 | -33.80 C |
| 05/10/2017 | QGW035768 MASSA, PATRICIA | CALL 100 TRANSOCEAN LTD REG SHS ISI S | | -640.00 | 62.00 | 22.00 | 40.00 | 90.0 | 33.80 |
| 05/11/2017 | QGW035768 MASSA, PATRICIA | PUT 100 NABORS INDS LTD SHS ISIN#BM S | | -1,340.00 | 99.00 | 22.00 | 77.00 | 90.0 | 67.10 |
| 05/12/2017 | QGW035768 MASSA, PATRICIA | PUT 100 BANK AMER CORP COM EXP 06-0 S | | -630.00 | 62.00 | 20.75 | 41.25 | 90.0 | 35.05 |
| 05/12/2017 | QGW035768 MASSA, PATRICIA | PUT 100 NORWEGIAN CRUISE LINE HLDGS S | | -750.00 | 77.00 | 19.50 | 57.50 | 90.0 | 49.80 |

COMMISSION STATEMENT for ERIC BELZ

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|----------------------------------|-----------|---------------------|---------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: OPTIONS</u> | | | | | | | | | |
| 05/17/2017 | QGW035768 | MASSA, PATRICIA | PUT 100 PENTAIR PLC SHS ISIN#IE00BL S | -1,400.00 | 98.00 | 19.50 | 78.50 | 90.0 | 68.70 |
| 05/01/2017 | QGW035776 | ZIMMERMAN, FRANCINE | CALL 100 BANK AMER CORP COM EXP 06- S | -210.00 | 29.00 | 19.50 | 9.50 | 90.0 | 6.60 |
| 05/01/2017 | QGW035776 | ZIMMERMAN, FRANCINE | PUT 100 OPKO HEALTH INC COM EXP 06- S | -700.00 | 78.00 | 22.00 | 56.00 | 90.0 | 48.20 |
| 05/11/2017 | QGW035776 | ZIMMERMAN, FRANCINE | PUT 100 NABORS INDS LTD SHS ISIN#BM S | -1,200.00 | 99.00 | 22.00 | 77.00 | 90.0 | 67.10 |
| 05/24/2017 | QGW035776 | ZIMMERMAN, FRANCINE | PUT 100 ATLAS AIR WORLDWIDE HLDGS I S | -3,150.00 | 99.00 | 19.50 | 79.50 | 90.0 | 69.60 |
| 05/01/2017 | QGW035784 | SHEEHAN, DAVID | CALL 100 VUZIX CORP COM NEW EXP 06- S | -400.00 | 44.00 | 22.00 | 22.00 | 90.0 | 17.60 |
| 05/10/2017 | QGW035784 | SHEEHAN, DAVID | PUT 100 TWITTER INC COM EXP 06-23-1 S | -752.00 | 78.00 | 19.00 | 59.00 | 90.0 | 51.20 |
| 05/01/2017 | QGW035834 | CHAUDHRY, JAHANZEB | PUT 100 OPKO HEALTH INC COM EXP 05- S | -400.00 | 41.00 | 22.00 | 19.00 | 90.0 | 14.90 |
| 05/01/2017 | QGW035834 | CHAUDHRY, JAHANZEB | PUT 100 VUZIX CORP COM NEW EXP 06-1 S | -1,230.00 | 99.00 | 24.50 | 74.50 | 90.0 | 64.60 |
| 05/03/2017 | QGW035834 | CHAUDHRY, JAHANZEB | PUT 100 SPRINT CORP COM SER 1 EXP 0 S | -450.00 | 42.00 | 24.50 | 17.50 | 90.0 | 13.30 |
| 05/03/2017 | QGW035834 | CHAUDHRY, JAHANZEB | PUT 100 TWITTER INC COM EXP 06-16-1 S | -1,035.00 | 99.00 | 20.75 | 78.25 | 90.0 | 68.35 |
| 05/16/2017 | QGW035834 | CHAUDHRY, JAHANZEB | PUT 100 PENTAIR PLC SHS ISIN#IE00BL S | -1,200.00 | 99.00 | 19.50 | 79.50 | 90.0 | 69.60 |
| 05/10/2017 | QGW035917 | BOVE, DOMINICK | CALL 100 TRANSOCEAN LTD REG SHS ISI S | -240.00 | 28.00 | 22.00 | 6.00 | 90.0 | 3.20 |
| 05/24/2017 | QGW035917 | BOVE, DOMINICK | PUT 100 ATLAS AIR WORLDWIDE HLDGS I S | -3,100.00 | 99.00 | 19.50 | 79.50 | 90.0 | 69.60 |
| 05/24/2017 | QGW035917 | BOVE, DOMINICK | PUT 100 PENTAIR PLC SHS ISIN#IE00BL S | -825.00 | 81.00 | 18.25 | 62.75 | 90.0 | 54.65 |
| 04/28/2017 | QGW035982 | PANASUK, JOHN | CALL 100 VUZIX CORP COM NEW EXP 06- S | -400.00 | 44.00 | 22.00 | 22.00 | 90.0 | 17.60 |
| 05/01/2017 | QGW035982 | PANASUK, JOHN | PUT 100 VUZIX CORP COM NEW EXP 06-1 S | -800.00 | 82.00 | 22.00 | 60.00 | 90.0 | 51.80 |
| 05/08/2017 | QGW035982 | PANASUK, JOHN | PUT 100 TWITTER INC COM EXP 06-16-1 S | -870.00 | 99.00 | 20.75 | 78.25 | 90.0 | 68.35 |
| 05/11/2017 | QGW035982 | PANASUK, JOHN | PUT 100 NABORS INDS LTD SHS ISIN#BM S | -1,525.00 | 99.00 | 23.25 | 75.75 | 90.0 | 65.85 |
| 05/15/2017 | QGW035982 | PANASUK, JOHN | PUT 100 NORWEGIAN CRUISE LINE HLDGS S | -950.00 | 92.00 | 19.50 | 72.50 | 90.0 | 63.30 |
| 05/24/2017 | QGW035982 | PANASUK, JOHN | PUT 100 JD COM INC SPONS ADR REPSTG S | -580.00 | 60.00 | 19.50 | 40.50 | 90.0 | 34.50 |
| 05/23/2017 | QGW035990 | HERDTER, RICHARD | CALL 100 KRATOS DEFENSE & SEC SOLUT S | -630.00 | 65.00 | 22.25 | 42.75 | 90.0 | 36.25 |
| 05/03/2017 | QGW036030 | BALLO, CATHERINE | PUT 100 SPRINT CORP COM SER 1 EXP 0 S | -960.00 | 88.00 | 24.50 | 63.50 | 90.0 | 54.70 |
| 05/08/2017 | QGW036030 | BALLO, CATHERINE | PUT 100 OPKO HEALTH INC COM EXP 06- S | -700.00 | 72.00 | 22.00 | 50.00 | 90.0 | 42.80 |
| 05/08/2017 | QGW036030 | BALLO, CATHERINE | PUT 100 TWITTER INC COM EXP 06-16-1 S | -990.00 | 99.00 | 20.75 | 78.25 | 90.0 | 68.35 |
| 05/09/2017 | QGW036030 | BALLO, CATHERINE | PUT 100 HANESBRANDS INC COM EXP 06- S | -1,000.00 | 88.00 | 22.00 | 66.00 | 90.0 | 57.20 |
| 05/09/2017 | QGW036030 | BALLO, CATHERINE | PUT 100 HANESBRANDS INC COM EXP 06- S | 1,000.00 | -88.00 | -22.00 | -66.00 | 90.0 | -57.20 C |
| 05/09/2017 | QGW036030 | BALLO, CATHERINE | PUT 100 HANESBRANDS INC COM EXP 06- S | -1,040.00 | 88.00 | 22.00 | 66.00 | 90.0 | 57.20 |
| 05/10/2017 | QGW036030 | BALLO, CATHERINE | PUT 100 VUZIX CORP COM NEW EXP 06-1 S | -1,125.00 | 99.00 | 23.25 | 75.75 | 90.0 | 65.85 |
| 05/24/2017 | QGW036030 | BALLO, CATHERINE | PUT 100 JD COM INC SPONS ADR REPSTG S | -840.00 | 77.00 | 20.75 | 56.25 | 90.0 | 48.55 |
| 05/01/2017 | QGW036048 | FITZPATRICK, BARRY | PUT 100 VUZIX CORP COM NEW EXP 06-1 S | -800.00 | 78.00 | 22.00 | 56.00 | 90.0 | 48.20 |
| 05/22/2017 | QGW036055 | MATACOTTA, CORINNA | CALL 100 TWITTER INC COM EXP 06-16- S | -320.00 | 30.00 | 19.50 | 10.50 | 90.0 | 7.50 |
| 05/23/2017 | QGW036055 | MATACOTTA, CORINNA | PUT 100 BANK AMER CORP COM EXP 07-2 S | -870.00 | 88.00 | 19.50 | 68.50 | 90.0 | 59.70 |
| 05/22/2017 | QGW036089 | HENNEMANN, PATRICIA | CALL 100 KRATOS DEFENSE & SEC SOLUT S | -300.00 | 26.00 | 19.50 | 6.50 | 90.0 | 3.90 |
| 05/23/2017 | QGW036089 | HENNEMANN, PATRICIA | CALL 100 KRATOS DEFENSE & SEC SOLUT S | -450.00 | 39.00 | 20.75 | 18.25 | 90.0 | 14.35 |
| 05/01/2017 | QGW036329 | WOLF, KIM | CALL 100 VUZIX CORP COM NEW EXP 07- S | -350.00 | 36.50 | 19.50 | 17.00 | 90.0 | 13.35 |
| 05/01/2017 | QGW036329 | WOLF, KIM | PUT 100 BANK AMER CORP COM EXP 06-1 S | -540.00 | 55.00 | 19.50 | 35.50 | 90.0 | 30.00 |
| 05/08/2017 | QGW036329 | WOLF, KIM | CALL 100 VUZIX CORP COM NEW EXP 07- S | -300.00 | 83.00 | 19.50 | 63.50 | 90.0 | 55.20 |
| 05/08/2017 | QGW036329 | WOLF, KIM | PUT 100 SPRINT CORP COM SER 1 EXP 0 S | -950.00 | 98.00 | 23.25 | 74.75 | 90.0 | 64.95 |
| 05/23/2017 | QGW036329 | WOLF, KIM | PUT 100 NABORS INDS LTD SHS ISIN#BM S | -800.00 | 81.00 | 22.00 | 59.00 | 90.0 | 50.90 |

COMMISSION STATEMENT for ERIC BELZ

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-----------|-------------------|---------------------------------------|----------------------|---------------------|--------------------|--------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: OPTIONS</u> | | | | | | | | | |
| 05/11/2017 | QGW036386 | FRANCK, RACHEL | PUT 100 NABORS INDS LTD SHS ISIN#BM S | -1,105.00 | 99.00 | 21.25 | 77.75 | 90.0 | 67.85 |
| 05/03/2017 | QGW036956 | BROCHARD, THOMAS | PUT 100 SPRINT CORP COM SER 1 EXP 0 S | -900.00 | 88.00 | 24.50 | 63.50 | 90.0 | 54.70 |
| 05/24/2017 | QGW037087 | 01/19/2016, U/A | PUT 100 NABORS INDS LTD SHS ISIN#BM S | -644.00 | 59.00 | 24.00 | 35.00 | 90.0 | 29.10 |
| 05/24/2017 | QGW037087 | 01/19/2016, U/A | PUT 100 NABORS INDS LTD SHS ISIN#BM S | 644.00 | -59.00 | -24.00 | -35.00 | 90.0 | -29.10 C |
| 05/24/2017 | QGW037087 | 01/19/2016, U/A | PUT 100 NABORS INDS LTD SHS ISIN#BM S | -644.00 | 59.00 | 24.00 | 35.00 | 90.0 | 29.10 |
| * OPTIONS SUBTOTAL * | | | | \$-110,305.00 | \$9,144.48 | \$2,653.75 | \$6,490.73 | | \$5,576.28 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | | |
| 04/13/2017 | QGW031783 | JACKSON, FRANK | DREYFUS RESH GROWTH FD INC SHS CL A B | 0.00 | 2.67 | 0.00 | 2.67 | 90.0 | 2.40 |
| 04/13/2017 | QGW031783 | JACKSON, FRANK | DREYFUS RESH GROWTH FD INC SHS CL A B | 0.00 | 2.67 | 0.00 | 2.67 | 90.0 | 2.40 |
| 04/20/2017 | QGW031783 | JACKSON, FRANK | FRANKLIN GROWTH FUND CLASS A B | 0.00 | 3.65 | 0.00 | 3.65 | 90.0 | 3.29 |
| 04/20/2017 | QGW031783 | JACKSON, FRANK | FRANKLIN GROWTH FUND CLASS A B | 0.00 | 3.65 | 0.00 | 3.65 | 90.0 | 3.29 |
| 05/11/2017 | QGW031783 | JACKSON, FRANK | DREYFUS RESH GROWTH FD INC SHS CL A B | 0.00 | 2.59 | 0.00 | 2.59 | 90.0 | 2.33 |
| 05/11/2017 | QGW031783 | JACKSON, FRANK | DREYFUS RESH GROWTH FD INC SHS CL A B | 0.00 | 2.59 | 0.00 | 2.59 | 90.0 | 2.33 |
| 05/11/2017 | QGW031783 | JACKSON, FRANK | DREYFUS RESH GROWTH FD INC SHS CL A B | 0.00 | 2.59 | 0.00 | 2.59 | 90.0 | 2.33 |
| 05/11/2017 | QGW031783 | JACKSON, FRANK | FRANKLIN GROWTH FUND CLASS A B | 0.00 | 3.53 | 0.00 | 3.53 | 90.0 | 3.18 |
| 05/11/2017 | QGW031783 | JACKSON, FRANK | FRANKLIN GROWTH FUND CLASS A B | 0.00 | 3.53 | 0.00 | 3.53 | 90.0 | 3.18 |
| 05/11/2017 | QGW031783 | JACKSON, FRANK | FRANKLIN GROWTH FUND CLASS A B | 0.00 | 3.53 | 0.00 | 3.53 | 90.0 | 3.18 |
| 04/21/2017 | QGW034035 | FAMILY 2012 IRREV | PUTNAM 746761204 B | 0.00 | 20.25 | 0.00 | 20.25 | 90.0 | 18.23 |
| 04/21/2017 | QGW034035 | FAMILY 2012 IRREV | PUTNAM 746802511 B | 0.00 | 9.86 | 0.00 | 9.86 | 90.0 | 8.87 |
| 04/21/2017 | QGW034035 | FAMILY 2012 IRREV | PUTNAM 746761204 B | 0.00 | 20.25 | 0.00 | 20.25 | 90.0 | 18.23 |
| 04/21/2017 | QGW034035 | FAMILY 2012 IRREV | PUTNAM 746802511 B | 0.00 | 9.86 | 0.00 | 9.86 | 90.0 | 8.87 |
| 05/25/2017 | QGW034035 | FAMILY 2012 IRREV | PUTNAM 746761204 B | 0.00 | 19.36 | 0.00 | 19.36 | 90.0 | 17.42 |
| 05/25/2017 | QGW034035 | FAMILY 2012 IRREV | PUTNAM 746802511 B | 0.00 | 9.49 | 0.00 | 9.49 | 90.0 | 8.54 |
| 04/20/2017 | QGW035503 | SIMPSON, DAVID | GLOBAL OPPORTUNITIES A B | 0.00 | 0.92 | 0.00 | 0.92 | 90.0 | 0.83 |
| 04/20/2017 | QGW035503 | SIMPSON, DAVID | GLOBAL OPPORTUNITIES A B | 0.00 | 0.92 | 0.00 | 0.92 | 90.0 | 0.83 |
| 05/22/2017 | QGW035503 | SIMPSON, DAVID | GLOBAL OPPORTUNITIES A B | 0.00 | 0.91 | 0.00 | 0.91 | 90.0 | 0.82 |
| 04/20/2017 | QGW036477 | MARCOLINI, MARANN | ROCHESTER FUND MUNICIPALS A B | 0.00 | 12.45 | 0.00 | 12.45 | 90.0 | 11.21 |
| 04/20/2017 | QGW036477 | MARCOLINI, MARANN | ROCHESTER FUND MUNICIPALS A B | 0.00 | 12.45 | 0.00 | 12.45 | 90.0 | 11.21 |
| 05/22/2017 | QGW036477 | MARCOLINI, MARANN | ROCHESTER FUND MUNICIPALS A B | 0.00 | 20.52 | 0.00 | 20.52 | 90.0 | 18.47 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$168.24 | \$0.00 | \$168.24 | | \$151.44 |
| *** BROKER TRANSACTIONS TOTAL *** | | | | \$531,351.83 | \$15,942.61 | \$3,452.75 | \$12,489.86 | | \$10,912.62 |

COMMISSION STATEMENT for ERIC BELZ

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------|--------|-----------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>ADJUSTMENTS</u> | | | | | | | | | |
| 06/09/2017 | | OTHER: OTHER-JOHN HANCOCK | | 0.00 | 0.00 | 0.00 | 0.00 | | 1.04 |
| 06/09/2017 | | OTHER: OTHER-AMERICAN FUNDS | | 0.00 | 0.00 | 0.00 | 0.00 | | 188.68 |
| 06/09/2017 | | OTHER: OTHER-GWG | | 0.00 | 0.00 | 0.00 | 0.00 | | 14,091.48 |
| 06/09/2017 | | OTHER: OTHER-ADVISOR GUIDED | | 0.00 | 0.00 | 0.00 | 0.00 | | 18.63 |
| 06/09/2017 | | OTHER: OTHER-PUTNAM | | 0.00 | 0.00 | 0.00 | 0.00 | | 158.70 |
| 06/09/2017 | | OTHER: OTHER-JOHN HANCOCK | | 0.00 | 0.00 | 0.00 | 0.00 | | 0.97 |
| 06/09/2017 | | OTHER: OTHER-FRANKLIN TEMP | | 0.00 | 0.00 | 0.00 | 0.00 | | 1,879.86 |
| 06/09/2017 | | OTHER: OTHER-OPPENHEIMER | | 0.00 | 0.00 | 0.00 | 0.00 | | 2,730.79 |
| 06/09/2017 | | OTHER: OTHER-QUEST | | 0.00 | 0.00 | 0.00 | 0.00 | | -180.00 |
| 06/09/2017 | | OTHER: OTHER-POSTAGE | | 0.00 | 0.00 | 0.00 | 0.00 | | -10.27 |
| 06/09/2017 | | OTHER: OTHER-360 OFFICE | | 0.00 | 0.00 | 0.00 | 0.00 | | -17.00 |
| 06/09/2017 | | OTHER: OTHER-360 OFFICE | | 0.00 | 0.00 | 0.00 | 0.00 | | -15.00 |
| 06/09/2017 | | OTHER: TECHNOLOGY | | 0.00 | 0.00 | 0.00 | 0.00 | | -300.00 |
| 06/09/2017 | | : | | 0.00 | 0.00 | 0.00 | 0.00 | | 0.00 |
| *** ADJUSTMENTS TOTAL *** | | | | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | | <u>\$18,547.88</u> |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$10,912.62 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$18,547.88 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$-19.93 |
| SIPC Assessment | \$-298.92 |

| | |
|-----------------------|-------------|
| Check Amount | \$29,141.65 |
| Year-to-date Earnings | \$74,836.66 |