



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**September 30, 2017**

09/28/2017  
Page No. 1

JOEL WOODS  
1412 Blue Quail Run

BROKER #: W143  
BRANCH: WOODS FINANCIAL

Crowley, TX 76036-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
09/13/2017	TMICO CUSTODIAN	BR TOTAL INC & REAL ESTATE FUND A	0.00	12.88	0.00	12.88	92.0	11.85
09/01/2017	TOMLINSON, HAROLD	BR TOTAL INC & REAL ESTATE FUND C	0.00	2.50	0.00	2.50	92.0	2.30
09/13/2017	WOODS, VARIOUS	BR TOTAL INC & REAL ESTATE FUND C	0.00	12.00	0.00	12.00	92.0	11.04
* INTERVAL FUNDS SUBTOTAL *			<b>\$0.00</b>	<b>\$27.38</b>	<b>\$0.00</b>	<b>\$27.38</b>		<b>\$25.19</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/05/2017	GORDON, JEFFREY	ALLIANZ FUND AGE-BASED 18+ YEAR CLA	50.00	0.50	0.00	0.50	90.0	0.45
09/05/2017	GORDON, JEFFREY	ALLIANZ FUND AGE-BASED 18+ YEAR CLA	50.00	0.50	0.00	0.50	90.0	0.45
09/01/2017	DEVINE, MICHAEL	AM FUNDS AMCAP 529A	0.00	2.19	0.00	2.19	90.0	1.97
09/01/2017	DEVINE, MICHAEL	AM FUNDS AMCAP 529A	0.00	1.53	0.00	1.53	90.0	1.38
09/01/2017	DEVINE, MICHAEL	AM FUNDS AMCAP 529A	0.00	0.89	0.00	0.89	90.0	0.80
09/01/2017	DEVINE, MICHAEL	AM FUNDS AMCAP 529A	0.00	0.58	0.00	0.58	90.0	0.52
09/01/2017	SHAW, DEBRA	AM FUNDS AMERICAN BALANCED 529A	0.00	1.95	0.00	1.95	90.0	1.76
09/01/2017	KOSLA, EVA	AM FUNDS AMERICAN BALANCED 529A	0.00	21.52	0.00	21.52	90.0	19.37
09/01/2017	PERRY, JANINE	AM FUNDS AMERICAN BALANCED C	0.00	5.54	0.00	5.54	90.0	4.99
09/01/2017	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL 529A	0.00	2.45	0.00	2.45	90.0	2.21
09/01/2017	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.13	0.00	0.13	90.0	0.12
09/15/2017	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL 529A	50.00	2.50	0.00	2.50	90.0	2.25
09/15/2017	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL 529A	50.00	2.50	0.00	2.50	90.0	2.25
09/01/2017	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL A	0.00	2.44	0.00	2.44	90.0	2.20
09/15/2017	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	90.0	2.25
09/01/2017	PUSEY, ANDREW	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.46	0.00	2.46	90.0	2.21
09/01/2017	PUSEY, ANDREW	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	1.35	0.00	1.35	90.0	1.22
09/01/2017	KOSLA, EVA	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	8.61	0.00	8.61	90.0	7.75
09/01/2017	DEVINE, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	2.04	0.00	2.04	90.0	1.84
09/01/2017	TURNER, CATALINA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.38	0.00	1.38	90.0	1.24
09/01/2017	DEVINE, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.39	0.00	1.39	90.0	1.25

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/01/2017	KOSLA, EVA	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	15.43	0.00	15.43	90.0	13.89
09/01/2017	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	2.35	0.00	2.35	90.0	2.12
09/01/2017	DEVINE, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.88	0.00	0.88	90.0	0.79
09/01/2017	ST JOHN, FINN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.15	0.00	3.15	90.0	2.84
09/01/2017	ST JOHN, FINN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	1.25	0.00	1.25	90.0	1.13
09/01/2017	DEVINE, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.58	0.00	0.58	90.0	0.52
09/01/2017	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	0.13	0.00	0.13	90.0	0.12
09/01/2017	PELLEY, MADISON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.08	0.00	3.08	90.0	2.77
09/01/2017	PELLEY, MADISON	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	3.08	0.00	3.08	90.0	2.77
09/15/2017	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.50	0.00	2.50	90.0	2.25
09/15/2017	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.50	0.00	2.50	90.0	2.25
09/01/2017	MURPHY, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	11.63	0.00	11.63	90.0	10.47
09/01/2017	CONTRERAS, COURTNEY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.23	0.00	4.23	90.0	3.81
09/01/2017	PERRY, JANINE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.22	0.00	0.22	90.0	0.20
09/01/2017	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.36	0.00	2.36	90.0	2.12
09/15/2017	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.50	0.00	2.50	90.0	2.25
09/01/2017	WILSON, TED	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	15.60	0.00	15.60	90.0	14.04
09/01/2017	PERRY, JANINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.80	0.00	0.80	90.0	0.72
09/01/2017	PUSEY, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	3.41	0.00	3.41	90.0	3.07
09/01/2017	PUSEY, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	1.95	0.00	1.95	90.0	1.76
09/01/2017	ST JOHN, FINN	AM FUNDS GLOBAL BALANCED 529A	0.00	0.41	0.00	0.41	90.0	0.37
09/01/2017	ST JOHN, FINN	AM FUNDS GLOBAL BALANCED 529A	0.00	0.33	0.00	0.33	90.0	0.30
09/01/2017	PUSEY, ANDREW	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.36	0.00	3.36	90.0	3.02
09/01/2017	DEVINE, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.39	0.00	2.39	90.0	2.15
09/01/2017	PUSEY, ANDREW	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.95	0.00	1.95	90.0	1.76
09/01/2017	DEVINE, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.67	0.00	1.67	90.0	1.50
09/01/2017	DEVINE, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.01	0.00	1.01	90.0	0.91
09/01/2017	ST JOHN, FINN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.58	0.00	0.58	90.0	0.52
09/01/2017	GIBSON, TIMOTHY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.41	0.00	2.41	90.0	2.17
09/01/2017	ST JOHN, FINN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.41	0.00	1.41	90.0	1.27
09/01/2017	DEVINE, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.60	0.00	0.60	90.0	0.54
09/01/2017	PELLEY, MADISON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.13	0.00	3.13	90.0	2.82
09/01/2017	PELLEY, MADISON	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.13	0.00	3.13	90.0	2.82

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/11/2017	ST JOHN, FINN	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.13	0.00	2.13	90.0	1.92
09/01/2017	MURPHY, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	19.77	0.00	19.77	90.0	17.79
09/01/2017	PERRY, JANINE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	7.29	0.00	7.29	90.0	6.56
09/01/2017	PERRY, JANINE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.05	0.00	3.05	90.0	2.75
09/01/2017	SHAW, DEBRA	AM FUNDS ICA 529A	0.00	0.35	0.00	0.35	90.0	0.32
09/01/2017	SHAW, DEBRA	AM FUNDS ICA 529A	0.00	5.58	0.00	5.58	90.0	5.02
09/01/2017	PUSEY, ANDREW	AM FUNDS ICA 529A	0.00	3.05	0.00	3.05	90.0	2.75
09/01/2017	DEVINE, MICHAEL	AM FUNDS ICA 529A	0.00	2.25	0.00	2.25	90.0	2.03
09/01/2017	PUSEY, ANDREW	AM FUNDS ICA 529A	0.00	1.47	0.00	1.47	90.0	1.32
09/01/2017	SHAW, DEBRA	AM FUNDS ICA 529A	0.00	2.56	0.00	2.56	90.0	2.30
09/01/2017	DEVINE, MICHAEL	AM FUNDS ICA 529A	0.00	1.56	0.00	1.56	90.0	1.40
09/01/2017	SHAW, DEBRA	AM FUNDS ICA 529A	0.00	1.02	0.00	1.02	90.0	0.92
09/01/2017	DEVINE, MICHAEL	AM FUNDS ICA 529A	0.00	0.94	0.00	0.94	90.0	0.85
09/01/2017	ST JOHN, FINN	AM FUNDS ICA 529A	0.00	1.87	0.00	1.87	90.0	1.68
09/01/2017	ST JOHN, FINN	AM FUNDS ICA 529A	0.00	1.37	0.00	1.37	90.0	1.23
09/01/2017	DEVINE, MICHAEL	AM FUNDS ICA 529A	0.00	0.58	0.00	0.58	90.0	0.52
09/01/2017	PELLEY, MADISON	AM FUNDS ICA 529C	0.00	3.15	0.00	3.15	90.0	2.84
09/01/2017	PELLEY, MADISON	AM FUNDS ICA 529C	0.00	3.15	0.00	3.15	90.0	2.84
09/01/2017	CONTRERAS, COURTNEY	AM FUNDS ICA A	0.00	4.98	0.00	4.98	90.0	4.48
09/01/2017	PERRY, JANINE	AM FUNDS ICA C	0.00	6.87	0.00	6.87	90.0	6.18
09/01/2017	PERRY, JANINE	AM FUNDS ICA F1	0.00	2.68	0.00	2.68	90.0	2.41
09/01/2017	ST JOHN, FINN	AM FUNDS SMALLCAP WORLD-529A	0.00	0.51	0.00	0.51	90.0	0.46
09/01/2017	ST JOHN, FINN	AM FUNDS SMALLCAP WORLD-529A	0.00	0.39	0.00	0.39	90.0	0.35
09/01/2017	PELLEY, MADISON	AM FUNDS SMALLCAP WORLD-529C	0.00	3.10	0.00	3.10	90.0	2.79
09/01/2017	PELLEY, MADISON	AM FUNDS SMALLCAP WORLD-529C	0.00	3.10	0.00	3.10	90.0	2.79
09/01/2017	MURPHY, MICHAEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	17.86	0.00	17.86	90.0	16.07
09/01/2017	PERRY, JANINE	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.44	0.00	2.44	90.0	2.20
09/01/2017	AMOS, ALEXANDER	AMERICAN FUNDS COLLEGE 2018 FUND 52	0.00	11.98	0.00	11.98	90.0	10.78
09/01/2017	AMOS, ALEXANDER	AMERICAN FUNDS COLLEGE 2018 FUND 52	0.00	11.98	0.00	11.98	90.0	10.78
09/01/2017	AMOS, ALEXANDER	AMERICAN FUNDS COLLEGE 2018 FUND 52	0.00	24.63	0.00	24.63	90.0	22.17
09/01/2017	AMOS, ALEXANDER	AMERICAN FUNDS COLLEGE 2018 FUND 52	0.00	2.98	0.00	2.98	90.0	2.68
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$450.00</b>	<b>\$319.60</b>	<b>\$0.00</b>	<b>\$319.60</b>		<b>\$287.70</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
08/31/2017	NORRIS, JERRY	JNL PERSPECTIVE	10,857.30	2.26	0.00	2.26	90.0	2.03
		* VARIABLE ANNUITIES SUBTOTAL *	\$10,857.30	\$2.26	\$0.00	\$2.26		\$2.03
		*** BROKER TRANSACTIONS TOTAL ***	\$11,307.30	\$349.24	\$0.00	\$349.24		\$314.92

**OVERRIDE TRANSACTIONS****BROKER: MARK-S141 REG SMITH #367**

09/01/2017	BOWLAND, BOBBY	AM FUNDS AMERICAN BALANCED A	0.00	4.60	0.00	4.60	48.0	1.93
09/01/2017	BOWLAND, TERRI	AM FUNDS AMERICAN BALANCED A	0.00	7.09	0.00	7.09	48.0	2.98
09/01/2017	SCHONFELD, NANCY	AM FUNDS AMERICAN BALANCED A	0.00	4.47	0.00	4.47	48.0	1.88
09/01/2017	CHAPMAN, KENNETH	AM FUNDS BOND FUND OF AMERICA A	0.00	1.22	0.00	1.22	48.0	0.51
09/01/2017	CHAPMAN, TERRYALIN	AM FUNDS BOND FUND OF AMERICA A	0.00	3.95	0.00	3.95	48.0	1.66
09/01/2017	SCHONFELD, NANCY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.65	0.00	2.65	48.0	1.11
09/01/2017	SCHONFELD, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.12	0.00	3.12	48.0	1.31
09/01/2017	BOWLAND, BOBBY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.56	0.00	3.56	48.0	1.50
09/01/2017	BOWLAND, TERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.46	0.00	5.46	48.0	2.29
09/01/2017	STEPHENS, BRAZOS	AM FUNDS GROWTH PORT 529A	0.00	8.63	0.00	8.63	48.0	3.62
09/01/2017	UNTIL AGE 21, TX/UTMA	AM FUNDS GROWTH PORT A	0.00	5.81	0.00	5.81	48.0	2.44
09/15/2017	UNTIL AGE 21, TX/UTMA	AM FUNDS GROWTH PORT A	50.00	2.13	0.00	2.13	48.0	0.89
09/01/2017	MOLINA, JEREMY	AM FUNDS ICA A	0.00	7.20	0.00	7.20	48.0	3.02
09/01/2017	MCGINNIS, KANDACE	AM FUNDS ICA A	0.00	5.08	0.00	5.08	48.0	2.13
09/01/2017	BOWLAND, BOBBY	AM FUNDS NEW PERSPECTIVE A	0.00	3.46	0.00	3.46	48.0	1.45
09/01/2017	BOWLAND, TERRI	AM FUNDS NEW PERSPECTIVE A	0.00	4.99	0.00	4.99	48.0	2.10
09/01/2017	MOLINA, JEREMY	AM FUNDS NEW PERSPECTIVE A	0.00	2.79	0.00	2.79	48.0	1.17
09/01/2017	MCGINNIS, KANDACE	AM FUNDS NEW PERSPECTIVE A	0.00	2.09	0.00	2.09	48.0	0.88
09/01/2017	VOORHEES, CLIFFORD	AM FUNDS PRESERVATION PORT A	0.00	12.37	0.00	12.37	48.0	5.20
09/01/2017	VOORHEES, CINDY	AM FUNDS PRESERVATION PORT A	0.00	12.38	0.00	12.38	48.0	5.20
09/01/2017	MOLINA, JEREMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.82	0.00	3.82	48.0	1.60
09/01/2017	MCGINNIS, KANDACE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.57	0.00	2.57	48.0	1.08
09/01/2017	WILLIAMS, JUANA	AMERICAN FUNDS US GOV'T SECURITIES	0.00	4.28	0.00	4.28	48.0	1.80
09/01/2017	MASHBURN, PHYLLIS	PIMCO INCOME FUND C	20,000.00	200.00	0.00	200.00	48.0	84.00
/ /	BONGIORNO, JANET	RYDEX BIOTECHNOLOGY A	0.00	0.04	0.00	0.04	48.0	0.02
/ /	BONGIORNO, JANET	RYDEX GUGG REAL ESTATE A	0.00	0.09	0.00	0.09	48.0	0.04

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: MARK-S141 REG SMITH #367</u></b>								
/ /	BONGIORNO, JANET	RYDEX GUGGENHEIM UTILITIES A	0.00	0.08	0.00	0.08	48.0	0.03
/ /	BONGIORNO, JANET	RYDEX HEALTH CARE-A	0.00	0.04	0.00	0.04	48.0	0.02
/ /	SCHONFELD, NANCY	VAN ECK INTERNATIONAL INVESTORS GOL	0.00	7.22	0.00	7.22	48.0	3.03
* MARK-S141 REG SMITH SUBTOTAL *			\$20,050.00	\$321.19	\$0.00	\$321.19		\$134.89
<b><u>BROKER: MARK-S142 ALTS SMITH #368</u></b>								
09/13/2017	SMITH, VARIOUS-MARK	BLUEROCK TOTAL INC & RE FUND	0.00	11.53	0.00	11.53	0.0	4.96
* MARK-S142 ALTS SMITH SUBTOTAL *			\$0.00	\$11.53	\$0.00	\$11.53		\$4.96
*** OVERRIDE TRANSACTIONS TOTAL ***			\$20,050.00	\$332.72	\$0.00	\$332.72		\$139.85

**BROKER COMMISSION TOTALS**

Broker Transactions	\$314.92
Split Transactions	\$0.00
Override Transactions	\$139.85
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$454.77
Year-to-date Gross	\$55,174.81
Year-to-date Earnings	\$90,026.27

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed