

**COMMISSION STATEMENT****January 15, 2018**

G0C-JEFF/DAN/JON PAU FT-NY KIESNO/RAUPP/C
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: G0C
 BRANCH: FORTITUDE SPLITS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
^12/14/2017	CAVEGN, JOSEPH	GPB WASTE MANAGEMENT LP	90,000.00	6,300.00	0.00	6,300.00	100.0	0.00
* PRIVATE PLACEMENT SUBTOTAL *			\$90,000.00	\$6,300.00	\$0.00	\$6,300.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^12/15/2017	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.30	0.00	0.30	100.0	0.00
^12/06/2017	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	9.04	0.00	9.04	100.0	0.00
^12/06/2017	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.46	0.00	1.46	100.0	0.00
^12/06/2017	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	4.83	0.00	4.83	100.0	0.00
^12/06/2017	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	6.68	0.00	6.68	100.0	0.00
^12/22/2017	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	3.16	0.00	3.16	100.0	0.00
^12/19/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.81	0.00	3.81	100.0	0.00
^12/19/2017	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.47	0.00	8.47	100.0	0.00
^12/19/2017	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.48	0.00	0.48	100.0	0.00
^12/19/2017	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	5.33	0.00	5.33	100.0	0.00
^12/19/2017	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	3.69	0.00	3.69	100.0	0.00
^12/15/2017	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	9.51	0.00	9.51	100.0	0.00
^12/13/2017	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	2.92	0.00	2.92	100.0	0.00
^12/13/2017	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	2.96	0.00	2.96	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$62.64	\$0.00	\$62.64		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$90,000.00	\$6,362.64	\$0.00	\$6,362.64		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.05
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.05
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00