



Concorde Investment Services, LLC

08/14/2017  
Page No. 1

# **COMMISSION STATEMENT** **August 15, 2017**

JAY MCCLELLAN  
8801 N. Main Street  
Ste. 201  
Dayton, OH 45415

BROKER #: M124 Terminated 11/14/2016  
BRANCH: ASSET MANAGEMENT SYS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
07/17/2017	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	50.00	2.50	0.00	2.50	0.0	0.00
/ /	ZEHRINGER, JAMES	EATON VANCE GREATER CHINA GROWTH A	0.00	2.69	0.00	2.69	0.0	0.00
07/31/2017	TOMA, MARCELLE	FLOATING RATE DLY ACCESS	0.00	8.86	0.00	8.86	0.0	0.00
07/31/2017	WELLES, CLAUDIA L	FRANKLIN CONSERVATINVE ALLOC A	0.00	25.13	0.00	25.13	0.0	0.00
07/31/2017	KULBACK, PAUL	FRANKLIN CONV SEC A	0.00	15.41	0.00	15.41	0.0	0.00
07/31/2017	WELLES, CLAUDIA L	FRANKLIN DYNATECH A	0.00	6.25	0.00	6.25	0.0	0.00
07/31/2017	KULBACK, PAUL	FRANKLIN EQUITY INCOME A	0.00	6.63	0.00	6.63	0.0	0.00
07/31/2017	KULBACK, PAUL	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.86	0.00	2.86	0.0	0.00
07/31/2017	WELLES, CLAUDIA L	FRANKLIN MUTUAL FINANCIAL SERVICES	0.00	4.15	0.00	4.15	0.0	0.00
07/31/2017	KAISER, CHARLES	FRANKLIN REAL ESTATE SEC FUND - CLA	0.00	7.40	0.00	7.40	0.0	0.00
07/31/2017	WELLES, CLAUDIA L	FRANKLIN UTILITIES A	0.00	8.77	0.00	8.77	0.0	0.00
07/31/2017	MARLIN, GRIFFIN	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	2.84	0.00	2.84	0.0	0.00
07/31/2017	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	6.78	0.00	6.78	0.0	0.00
07/31/2017	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	10.33	0.00	10.33	0.0	0.00
07/31/2017	MARLIN, ADALYN	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	1.74	0.00	1.74	0.0	0.00
07/31/2017	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	6.87	0.00	6.87	0.0	0.00
07/31/2017	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	6.84	0.00	6.84	0.0	0.00
07/31/2017	GAY, REGINALD	TEMPLETON DEVELOPING MKTS A	0.00	1.67	0.00	1.67	0.0	0.00
07/31/2017	KAISER, CHARLES	TEMPLETON DEVELOPING MKTS A	0.00	4.46	0.00	4.46	0.0	0.00
07/31/2017	REYNOLDS, BRUCE	TEMPLETON DEVELOPING MKTS A	0.00	3.73	0.00	3.73	0.0	0.00
07/31/2017	KAISER, CHARLES	TEMPLETON FOREIGN FUND A	0.00	5.88	0.00	5.88	0.0	0.00
07/31/2017	WELLES, CLAUDIA L	TEMPLETON GLOBAL TOTAL RETURN A	0.00	4.44	0.00	4.44	0.0	0.00
07/31/2017	THOMPSON-REYNOLDS, TERESA	TEMPLETON WORLD A	0.00	5.11	0.00	5.11	0.0	0.00
07/31/2017	KAISER, CHARLES	TEMPLETON WORLD A	0.00	7.95	0.00	7.95	0.0	0.00
07/31/2017	REYNOLDS, BRUCE	TEMPLETON WORLD A	0.00	7.84	0.00	7.84	0.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$50.00</b>	<b>\$167.13</b>	<b>\$0.00</b>	<b>\$167.13</b>		<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
07/31/2017	MILLER, DOUGLAS	MASS MUTUAL PANAMA PREMIER	19,328.18	6.44	0.00	6.44	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			<b>\$19,328.18</b>	<b>\$6.44</b>	<b>\$0.00</b>	<b>\$6.44</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/17/2017	ENGELHARDT, ELIZABETH	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.65	0.00	0.65	0.0	0.00
07/17/2017	ENGELHARDT, ELIZABETH	DAVIS NEW YORK VENTURE A	0.00	0.60	0.00	0.60	0.0	0.00
07/19/2017	MAPLE TRUST, DENNIS & JOANN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.14	0.00	9.14	0.0	0.00
07/19/2017	KULBACK, SONDR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.06	0.00	9.06	0.0	0.00
07/19/2017	KAMMER, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.58	0.00	6.58	0.0	0.00
07/19/2017	HAMANN, JOHN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	10.08	0.00	10.08	0.0	0.00
07/19/2017	LUCKEY, CHARISSE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	27.91	0.00	27.91	0.0	0.00
07/21/2017	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.44	0.00	0.44	0.0	0.00
07/21/2017	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK B	0.00	0.40	0.00	0.40	0.0	0.00
07/21/2017	COTTONGIM, TERRI	MFS MODERATE ALLOCATION A	0.00	0.60	0.00	0.60	0.0	0.00
07/17/2017	FLORKEY, GENE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.19	0.00	2.19	0.0	0.00
07/17/2017	ROE, CAROLYN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.90	0.00	2.90	0.0	0.00
07/17/2017	COOVER, SHEILA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.29	0.00	3.29	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			<b>\$0.00</b>	<b>\$73.84</b>	<b>\$0.00</b>	<b>\$73.84</b>		<b>\$0.00</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$19,378.18</b>	<b>\$247.41</b>	<b>\$0.00</b>	<b>\$247.41</b>		<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Gross</b>	<b>\$4,495.62</b>
<b>Year-to-date Earnings</b>	<b>\$1,800.42</b>