



COMMISSION STATEMENT

April 15, 2017

KEITH LAYMAN
4321 Flagstaff

BROKER #: L128
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/03/2017	ORT, LEON	JEFFERSON NATIONAL	87,653.57	328.70	0.00	328.70	90.0	295.83
04/07/2017	GUNSOLLEY, MARY	JEFFERSON NATIONAL	33,229.68	124.61	0.00	124.61	90.0	112.15
04/07/2017	DILLIE, MAXIE	JEFFERSON NATIONAL	49,303.54	184.89	0.00	184.89	90.0	166.40
04/05/2017	WILLING, PATRICIA	PERSHING	233,591.09	873.57	0.00	873.57	90.0	786.21
04/05/2017	JONES, TIM	PERSHING	61,138.59	228.64	0.00	228.64	90.0	205.78
04/05/2017	SIEBER, AARON	PERSHING	254,587.11	634.72	0.00	634.72	90.0	571.25
04/05/2017	HARMEYER, SHARYN	PERSHING	258,401.11	966.35	0.00	966.35	90.0	869.72
04/05/2017	DAILEY, RITA	PERSHING	290,165.30	1,085.14	0.00	1,085.14	90.0	976.63
04/05/2017	GRIME, MARK	PERSHING	78,843.79	294.85	0.00	294.85	90.0	265.37
04/05/2017	KLITZMAN, RANDALL	PERSHING	59,852.75	223.83	0.00	223.83	90.0	201.45
04/05/2017	KLITZMAN, MARY	PERSHING	220,614.11	825.04	0.00	825.04	90.0	742.54
04/05/2017	FEDLER, CHARLES	PERSHING	184,525.42	690.07	0.00	690.07	90.0	621.06
04/05/2017	DODGE, CAROLYN	PERSHING	177,150.41	662.49	0.00	662.49	90.0	596.24
04/05/2017	TROUT, CHARLOTTE	PERSHING	24,227.65	90.60	0.00	90.60	90.0	81.54
04/05/2017	OAR, RITA	PERSHING	46,994.78	175.75	0.00	175.75	90.0	158.18
04/05/2017	PEASE, NANCY	PERSHING	107,319.90	401.35	0.00	401.35	90.0	361.22
04/05/2017	SAHARIAN, CAROLYN	PERSHING	54,322.39	203.15	0.00	203.15	90.0	182.84
04/05/2017	SHERBURNE, STEVEN	PERSHING	226,952.58	848.74	0.00	848.74	90.0	763.87
04/05/2017	ZINN, ROBERT	PERSHING	158,093.83	492.69	0.00	492.69	90.0	443.42
04/05/2017	JAMSCO INC	PERSHING	110,382.45	412.80	0.00	412.80	90.0	371.52
04/05/2017	BERBER, CATHY	PERSHING	296,830.41	1,110.06	0.00	1,110.06	90.0	999.05
04/05/2017	PEPPLE, GLEN	PERSHING	44,166.42	165.17	0.00	165.17	90.0	148.65
04/05/2017	RICHEY, BECKY	PERSHING	18,286.67	68.39	0.00	68.39	90.0	61.55
04/05/2017	ASHBAUGH, JOHN	PERSHING	86,790.39	324.57	0.00	324.57	90.0	292.11
04/05/2017	ZEEDYK, JANET	PERSHING	75,788.09	283.43	0.00	283.43	90.0	255.09
04/05/2017	ASHBAUGH, ALAN	PERSHING	87,342.91	326.64	0.00	326.64	90.0	293.98

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/05/2017	WILCOX, TIMOTHY	PERSHING	71,219.95	266.34	0.00	266.34	90.0	239.71
04/05/2017	NICOLET, CHERYL	PERSHING	306,351.02	1,145.67	0.00	1,145.67	90.0	1,031.10
04/05/2017	LOVETT, DAVID	PERSHING	697.45	2.61	0.00	2.61	90.0	2.35
04/05/2017	RUSK, JULIA	PERSHING	72,407.75	270.79	0.00	270.79	90.0	243.71
04/05/2017	VAN AUKEN, KAREN	PERSHING	19,489.14	72.88	0.00	72.88	90.0	65.59
04/05/2017	GALENTINE, JOSHUA	PERSHING	63,156.28	236.19	0.00	236.19	90.0	212.57
04/05/2017	BRZYCKI, MARTIN	PERSHING	130,031.14	486.28	0.00	486.28	90.0	437.65
04/05/2017	GUZIK, ROBERT	PERSHING	119,888.33	448.35	0.00	448.35	90.0	403.52
04/05/2017	AMBRIOLE, BETH	PERSHING	12,570.19	47.01	0.00	47.01	90.0	42.31
04/05/2017	KLOC, CLIFFORD	PERSHING	345,639.11	1,292.60	0.00	1,292.60	90.0	1,163.34
04/05/2017	ALLEN, RHONDA	PERSHING	15,843.41	59.25	0.00	59.25	90.0	53.33
04/05/2017	KAISER, ROBERT	PERSHING	22,331.88	83.52	0.00	83.52	90.0	75.17
04/05/2017	DEGLER, DONNA	PERSHING	65,639.95	245.48	0.00	245.48	90.0	220.93
04/05/2017	HARDESTY, MARGARET	PERSHING	141,343.00	528.58	0.00	528.58	90.0	475.72
04/05/2017	HARDESTY, MARGARET	PERSHING	16,126.73	60.31	0.00	60.31	90.0	54.28
04/05/2017	HUESMAN, PATRICIA	PERSHING	283,926.36	1,061.81	0.00	1,061.81	90.0	955.63
04/05/2017	LIPSCOMB, SAMUEL	PERSHING	119,283.64	446.09	0.00	446.09	90.0	401.48
04/05/2017	DELANEY, DIANA	PERSHING	25,354.87	94.82	0.00	94.82	90.0	85.34
04/05/2017	DELANEY, MATTHEW	PERSHING	28,441.05	106.36	0.00	106.36	90.0	95.72
04/05/2017	KAUZLICK, MICHAEL	PERSHING	133,661.84	499.86	0.00	499.86	90.0	449.87
04/05/2017	ORT, LEON	PERSHING	99,078.89	370.53	0.00	370.53	90.0	333.48
04/05/2017	SIEBER, PAUL	PERSHING	82,349.33	307.96	0.00	307.96	90.0	277.16
04/05/2017	BUNN, LINDA	PERSHING	114,367.42	427.70	0.00	427.70	90.0	384.93
04/05/2017	HAHAJ, JUDITH	PERSHING	38,071.66	142.38	0.00	142.38	90.0	128.14
04/05/2017	MARCH, MELODY	PERSHING	145,779.48	545.18	0.00	545.18	90.0	490.66
04/05/2017	CLARK, KATHLEEN	PERSHING	24,815.43	92.80	0.00	92.80	90.0	83.52
04/05/2017	GRIME, LAURA	PERSHING	53,235.73	199.09	0.00	199.09	90.0	179.18
04/05/2017	REMENSCHNEIDER, DAVID	PERSHING	341,135.99	1,275.76	0.00	1,275.76	90.0	1,148.18
04/05/2017	VIELKIND, LAWRENCE	PERSHING	344,503.15	1,288.35	0.00	1,288.35	90.0	1,159.52
04/05/2017	NOLL, PRISCILLA	PERSHING	386,668.41	1,446.03	0.00	1,446.03	90.0	1,301.43
04/05/2017	KAUZLICK, SHAWN	PERSHING	54,893.62	205.29	0.00	205.29	90.0	184.76
04/05/2017	KLITZMAN, RANDALL	PERSHING	99,049.39	370.42	0.00	370.42	90.0	333.38
04/05/2017	LEWIS, FRANCES	PERSHING	12,786.67	47.82	0.00	47.82	90.0	43.04

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/05/2017	RICHEY, BECKY	PERSHING	437,381.27	1,635.69	0.00	1,635.69	90.0	1,472.12
04/05/2017	COMMUNITY GRACE	PERSHING	90,170.10	337.21	0.00	337.21	90.0	303.49
04/05/2017	BERNDT, TODD	PERSHING	231,859.02	289.03	0.00	289.03	90.0	260.13
04/05/2017	KAUFFMAN, JOHN	PERSHING	70,799.39	264.77	0.00	264.77	90.0	238.29
04/05/2017	POOR, BRIAN	PERSHING	31,324.96	117.15	0.00	117.15	90.0	105.44
04/05/2017	ZAMZOW, RONALD	PERSHING	27,945.58	104.51	0.00	104.51	90.0	94.06
04/05/2017	ZAMZOW, CARRIE	PERSHING	31,455.85	117.64	0.00	117.64	90.0	105.88
04/05/2017	TUTTLE, THOMAS	PERSHING	101,045.85	377.88	0.00	377.88	90.0	340.09
04/05/2017	TILFORD, DARRELL	PERSHING	73,003.42	273.01	0.00	273.01	90.0	245.71
04/05/2017	TILFORD, DARRELL	PERSHING	173,692.04	649.56	0.00	649.56	90.0	584.60
04/05/2017	DRUHOT, SCOTT	PERSHING	96,135.47	359.52	0.00	359.52	90.0	323.57
04/05/2017	WEIDES, LISA	PERSHING	149,190.59	557.93	0.00	557.93	90.0	502.14
04/05/2017	IGNEY, SHELLEY	PERSHING	115,070.87	430.33	0.00	430.33	90.0	387.30
04/05/2017	BERNDT, BARBARA	PERSHING	41,904.44	156.71	0.00	156.71	90.0	141.04
04/05/2017	HOUSER, DANIEL	PERSHING	33,130.07	123.90	0.00	123.90	90.0	111.51
04/05/2017	ISLEY, TERRY	PERSHING	59,390.37	148.07	0.00	148.07	90.0	133.26
04/05/2017	AMBRIOLE, WESLEY	PERSHING	147,911.72	553.15	0.00	553.15	90.0	497.84
04/05/2017	REED, VICTORIA	PERSHING	224,796.88	840.68	0.00	840.68	90.0	756.61
04/05/2017	SIEBER, JANE	PERSHING	259,249.40	646.35	0.00	646.35	90.0	581.72
04/05/2017	NOLL, PRISCILLA	PERSHING	15,145.76	44.19	0.00	44.19	90.0	39.77
04/05/2017	NOLL, PRISCILLA	PERSHING	15,230.68	56.96	0.00	56.96	90.0	51.26
04/05/2017	THORNTON, PAUL	PERSHING	411,447.71	1,025.80	0.00	1,025.80	90.0	923.22
04/05/2017	KING, PATRICIA	PERSHING	50,315.83	134.41	0.00	134.41	90.0	120.97
04/05/2017	KING, PATRICIA	PERSHING	50,566.19	189.10	0.00	189.10	90.0	170.19
04/05/2017	KING, PATRICIA	PERSHING	84,014.05	314.19	0.00	314.19	90.0	282.77
04/05/2017	VIELKIND, LAWRENCE	PERSHING	55,794.06	167.38	0.00	167.38	90.0	150.64
04/05/2017	VIELKIND, LAWRENCE	PERSHING	56,517.52	211.36	0.00	211.36	90.0	190.22
04/05/2017	LALLO, GARY	PERSHING	333,974.89	18.30	0.00	18.30	90.0	16.47
04/05/2017	LALLO, GARY	PERSHING	334,319.60	833.51	0.00	833.51	90.0	750.16
04/05/2017	BULLER, DOUG	PERSHING	11,215.68	41.94	0.00	41.94	90.0	37.75
04/05/2017	THORNTON, PAUL	PERSHING	306,949.15	454.12	0.00	454.12	90.0	408.71
04/05/2017	THORNTON, PAUL	PERSHING	308,873.75	770.07	0.00	770.07	90.0	693.06
* RIA SUBTOTAL *			\$11,546,515.36	\$38,444.77	\$0.00	\$38,444.77		\$34,600.34

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
*** BROKER TRANSACTIONS TOTAL ***			\$11,546,515.36	\$38,444.77	\$0.00	\$38,444.77		\$34,600.34

<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
04/05/2017	HAMM, GAIL	PERSHING	247,033.01	323.35	0.00	323.35	90.0	291.02
04/05/2017	HAMM, GAIL	PERSHING	98,671.73	129.16	0.00	129.16	90.0	116.24
04/05/2017	HAMM, GAIL	PERSHING	99,965.53	130.85	0.00	130.85	90.0	117.77
04/05/2017	EISERLE, DAVID	PERSHING	18,358.36	24.03	0.00	24.03	90.0	21.63
04/05/2017	NOVELL, DEANA	PERSHING	100,431.09	131.46	0.00	131.46	90.0	118.31
04/05/2017	GROTE, DONALD	PERSHING	111,985.07	146.57	0.00	146.57	90.0	131.91
04/05/2017	GROTE, DONALD	PERSHING	28,804.03	37.70	0.00	37.70	90.0	33.93
04/05/2017	CHIDDISTER, LISA	PERSHING	154.95	0.21	0.00	0.21	90.0	0.19
04/05/2017	KAISER, ROBIN	PERSHING	44,872.39	58.74	0.00	58.74	90.0	52.87
04/05/2017	KAISER, ROBERT	PERSHING	15,089.89	19.75	0.00	19.75	90.0	17.78
04/05/2017	GAY, RICHARD	PERSHING	22,332.88	29.23	0.00	29.23	90.0	26.31
04/05/2017	SORG, RICHARD	PERSHING	81,316.56	70.95	0.00	70.95	90.0	63.86
04/05/2017	BURTNETTE, PATRICIA	PERSHING	154,534.52	202.27	0.00	202.27	90.0	182.04
04/05/2017	BAILEY, LAURIE	PERSHING	47,000.00	52.73	0.00	52.73	90.0	47.46
04/05/2017	BAILEY, LAURIE	PERSHING	46,940.25	61.44	0.00	61.44	90.0	55.30
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$1,117,490.26	\$1,418.44	\$0.00	\$1,418.44		\$1,276.62
*** SPLIT TRANSACTIONS TOTAL ***			\$1,117,490.26	\$1,418.44	\$0.00	\$1,418.44		\$1,276.62

<u>ADJUSTMENTS</u>						
04/15/2017	*PERSHING: MF PROSPECTUS	0.00				-0.54
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-0.54

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$34,600.34
Split Transactions	\$1,276.62
Override Transactions	\$0.00
Adjustments	\$-0.54
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$35,876.42
Year-to-date Gross	\$122,310.37
Year-to-date Earnings	\$109,937.77