

**COMMISSION STATEMENT****January 31, 2018**

**WILLIAM CASILLAS, JR**  
 4120 Rio Bravo  
 Ste. 307  
 El Paso, TX 79902

**BROKER #: C124**  
**BRANCH: WILLIAM CASILLAS**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/24/2018	BOCK, JOHN	BLACKROCK HEALTH SCIENCES OPPORTUNI	155,500.00	1,555.00	22.00	1,533.00	82.0	1,257.06
01/24/2018	BOCK, JOHN	BLACKROCK MULTI-ASSET INCOME PORTFO	-173,378.36	22.00	22.00	0.00	82.0	0.00
01/24/2018	BOCK, JOHN	BLACKROCK TECHNOLOGY OPPORTUNITIES	174,500.00	1,745.00	22.00	1,723.00	82.0	1,412.86
01/24/2018	BOCK, JOHN	FRANKLIN INCOME C	-156,260.27	22.00	22.00	0.00	82.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$361.37	\$3,344.00	\$88.00	\$3,256.00		\$2,669.92
*** CLEARING TRANSACTIONS TOTAL ***			\$361.37	\$3,344.00	\$88.00	\$3,256.00		\$2,669.92
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
01/08/2018	AGUILAR, VERONICA	JH 529 PORT 2021-2024 A	50.00	2.13	0.00	2.13	82.0	1.75
01/08/2018	RODRIGUEZ, TRESHA	JH 529 PORT 2029-2032 A	50.00	2.13	0.00	2.13	82.0	1.75
* 529 PLANS SUBTOTAL *			\$100.00	\$4.26	\$0.00	\$4.26		\$3.50
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
01/02/2018	WATERS, BARRY	MET LIFE 10922M303	72,179.55	81.20	0.00	81.20	82.0	66.58
* VARIABLE ANNUITIES SUBTOTAL *			\$72,179.55	\$81.20	\$0.00	\$81.20		\$66.58
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/24/2018	CASILLAS, VARIOUS	AM FUNDS AMCAP C	0.00	513.29	0.00	513.29	82.0	420.90
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$513.29	\$0.00	\$513.29		\$420.90
*** DIRECT TRANSACTIONS TOTAL ***			\$72,279.55	\$598.75	\$0.00	\$598.75		\$490.98

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

01/31/2018		*TECHNOLOGY: REV 1/15/18 DUP TECH F	0.00					275.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$275.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,160.90
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$275.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,435.90
Year-to-date Gross	\$7,721.15
Year-to-date Earnings	\$6,259.19

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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