

**COMMISSION STATEMENT****January 15, 2018****ACCOUNT HOUSE**1120 E. LONG LAKE ROAD  
SUITE 207  
TROY, MI 48085-**BROKER #: G999**

BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/05/2018	CONCORDE, VARIOUS	AM FUNDS AMCAP A	71.81	0.00	0.00	0.00	100.0	0.00
01/05/2018	CONCORDE, VARIOUS	AM FUNDS AMCAP A	-75.00	0.00	0.00	0.00	100.0	0.00
01/05/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	71.81	0.00	0.00	0.00	100.0	0.00
01/05/2018	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-75.00	0.00	0.00	0.00	100.0	0.00
01/02/2018	CONCORDE, VARIOUS	CATALYST DYNAMIC ALPHA FUND CLASS A	-4,900.00	0.00	0.00	0.00	100.0	0.00
01/02/2018	CONCORDE, VARIOUS	CATALYST DYNAMIC ALPHA FUND CLASS A	4,655.00	0.00	0.00	0.00	100.0	0.00
01/03/2018	CONCORDE, VARIOUS	CATALYST DYNAMIC ALPHA FUND CLASS A	-9,900.00	0.00	0.00	0.00	100.0	0.00
01/03/2018	CONCORDE, VARIOUS	CATALYST DYNAMIC ALPHA FUND CLASS A	9,405.00	0.00	0.00	0.00	100.0	0.00
01/08/2018	CONCORDE, VARIOUS	CATALYST DYNAMIC ALPHA FUND CLASS A	36,100.00	0.00	0.00	0.00	100.0	0.00
01/08/2018	CONCORDE, VARIOUS	CATALYST DYNAMIC ALPHA FUND CLASS A	-10,000.00	0.00	0.00	0.00	100.0	0.00
01/08/2018	CONCORDE, VARIOUS	CATALYST DYNAMIC ALPHA FUND CLASS A	-38,000.00	0.00	0.00	0.00	100.0	0.00
01/08/2018	CONCORDE, VARIOUS	CATALYST DYNAMIC ALPHA FUND CLASS A	9,500.00	0.00	0.00	0.00	100.0	0.00
01/05/2018	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	225.72	0.00	0.00	0.00	100.0	0.00
01/05/2018	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	-228.00	0.00	0.00	0.00	100.0	0.00
01/05/2018	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-227.00	0.00	0.00	0.00	100.0	0.00
01/05/2018	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	224.73	0.00	0.00	0.00	100.0	0.00
01/05/2018	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-300.00	0.00	0.00	0.00	100.0	0.00
01/05/2018	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	297.00	0.00	0.00	0.00	100.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$-3,153.93</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

**PRODUCT CATEGORY: STOCKS**

01/09/2018	CONCORDE, VARIOUS	APHRIA INC COM ISIN#CA03765K1049	-1,853.10	0.00	1.00	-1.00	100.0	-1.00
01/09/2018	CONCORDE, VARIOUS	APHRIA INC COM ISIN#CA03765K1049	-1,853.00	0.00	1.00	-1.00	100.0	-1.00
01/09/2018	CONCORDE, VARIOUS	APHRIA INC COM ISIN#CA03765K1049	-741.20	0.00	1.00	-1.00	100.0	-1.00
01/09/2018	CONCORDE, VARIOUS	APHRIA INC COM ISIN#CA03765K1049	4,447.30	0.00	0.00	0.00	100.0	0.00
12/27/2017	CONCORDE, VARIOUS	AVID TECHNOLOGY INC	-3,279.10	0.00	1.00	-1.00	100.0	-1.00
12/27/2017	CONCORDE, VARIOUS	AVID TECHNOLOGY INC	-6,474.72	0.00	1.00	-1.00	100.0	-1.00

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
12/27/2017	CONCORDE, VARIOUS	AVID TECHNOLOGY INC	9,753.75	0.00	0.00	0.00	100.0	0.00
01/04/2018	CONCORDE, VARIOUS	BTCS INC COM NEW	-11,910.00	0.00	0.00	0.00	100.0	0.00
01/04/2018	CONCORDE, VARIOUS	BTCS INC COM NEW	465.22	0.00	1.00	-1.00	100.0	-1.00
01/04/2018	CONCORDE, VARIOUS	BTCS INC COM NEW	1,324.68	0.00	1.00	-1.00	100.0	-1.00
01/04/2018	CONCORDE, VARIOUS	BTCS INC COM NEW	15.88	0.00	1.00	-1.00	100.0	-1.00
01/04/2018	CONCORDE, VARIOUS	BTCS INC COM NEW	158.80	0.00	1.00	-1.00	100.0	-1.00
01/04/2018	CONCORDE, VARIOUS	BTCS INC COM NEW	794.00	0.00	1.00	-1.00	100.0	-1.00
01/04/2018	CONCORDE, VARIOUS	BTCS INC COM NEW	9,150.45	0.00	1.00	-1.00	100.0	-1.00
01/08/2018	CONCORDE, VARIOUS	BTCS INC COM NEW	687.50	0.00	1.00	-1.00	100.0	-1.00
01/08/2018	CONCORDE, VARIOUS	BTCS INC COM NEW	2,103.75	0.00	1.00	-1.00	100.0	-1.00
01/08/2018	CONCORDE, VARIOUS	BTCS INC COM NEW	2,667.13	0.00	1.00	-1.00	100.0	-1.00
01/08/2018	CONCORDE, VARIOUS	BTCS INC COM NEW	1,431.47	0.00	1.00	-1.00	100.0	-1.00
01/08/2018	CONCORDE, VARIOUS	BTCS INC COM NEW	-6,890.00	0.00	0.00	0.00	100.0	0.00
01/08/2018	CONCORDE, VARIOUS	CANNABICS PHARMACEUTICALS INC COM	-3,495.00	0.00	0.00	0.00	100.0	0.00
01/08/2018	CONCORDE, VARIOUS	CANNABICS PHARMACEUTICALS INC COM	2,330.00	0.00	1.00	-1.00	100.0	-1.00
01/08/2018	CONCORDE, VARIOUS	CANNABICS PHARMACEUTICALS INC COM	1,165.00	0.00	1.00	-1.00	100.0	-1.00
01/09/2018	CONCORDE, VARIOUS	CANNABIS SCIENCE INC COM	5,148.00	0.00	0.00	0.00	100.0	0.00
01/09/2018	CONCORDE, VARIOUS	CANNABIS SCIENCE INC COM	-572.50	0.00	1.00	-1.00	100.0	-1.00
01/09/2018	CONCORDE, VARIOUS	CANNABIS SCIENCE INC COM	-572.50	0.00	1.00	-1.00	100.0	-1.00
01/09/2018	CONCORDE, VARIOUS	CANNABIS SCIENCE INC COM	-572.50	0.00	1.00	-1.00	100.0	-1.00
01/09/2018	CONCORDE, VARIOUS	CANNABIS SCIENCE INC COM	-572.50	0.00	1.00	-1.00	100.0	-1.00
01/09/2018	CONCORDE, VARIOUS	CANNABIS SCIENCE INC COM	-572.50	0.00	1.00	-1.00	100.0	-1.00
01/09/2018	CONCORDE, VARIOUS	CANNABIS SCIENCE INC COM	-1,143.00	0.00	1.00	-1.00	100.0	-1.00
01/09/2018	CONCORDE, VARIOUS	CANNABIS SCIENCE INC COM	-1,143.00	0.00	1.00	-1.00	100.0	-1.00
01/02/2018	CONCORDE, VARIOUS	CLEVELAND-CLIFFS INC NEW COM	788.50	0.00	1.00	-1.00	100.0	-1.00
01/02/2018	CONCORDE, VARIOUS	CLEVELAND-CLIFFS INC NEW COM	788.50	0.00	1.00	-1.00	100.0	-1.00
01/02/2018	CONCORDE, VARIOUS	CLEVELAND-CLIFFS INC NEW COM	-1,577.00	0.00	0.00	0.00	100.0	0.00
01/02/2018	CONCORDE, VARIOUS	CONTINENTAL RES INC COM ISIN#US2120	41,630.42	0.00	0.00	0.00	100.0	0.00
01/02/2018	CONCORDE, VARIOUS	CONTINENTAL RES INC COM ISIN#US2120	-11,946.82	0.00	1.00	-1.00	100.0	-1.00
01/02/2018	CONCORDE, VARIOUS	CONTINENTAL RES INC COM ISIN#US2120	-29,683.60	0.00	1.00	-1.00	100.0	-1.00
12/27/2017	CONCORDE, VARIOUS	CORPORATE CAP TR INC COM	109,909.03	0.00	0.00	0.00	100.0	0.00
12/27/2017	CONCORDE, VARIOUS	CORPORATE CAP TR INC COM	-16,720.00	0.00	1.00	-1.00	100.0	-1.00
12/27/2017	CONCORDE, VARIOUS	CORPORATE CAP TR INC COM	-1,670.05	0.00	1.00	-1.00	100.0	-1.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
12/27/2017	CONCORDE, VARIOUS	CORPORATE CAP TR INC COM	-5,010.15	0.00	1.00	-1.00	100.0	-1.00
12/27/2017	CONCORDE, VARIOUS	CORPORATE CAP TR INC COM	-54,777.64	0.00	1.00	-1.00	100.0	-1.00
12/27/2017	CONCORDE, VARIOUS	CORPORATE CAP TR INC COM	-31,730.95	0.00	1.00	-1.00	100.0	-1.00
12/27/2017	CONCORDE, VARIOUS	FIREEYE INC COM	12,933.36	0.00	0.00	0.00	100.0	0.00
12/27/2017	CONCORDE, VARIOUS	FIREEYE INC COM	-1,293.62	0.00	1.00	-1.00	100.0	-1.00
12/27/2017	CONCORDE, VARIOUS	FIREEYE INC COM	-11,639.78	0.00	1.00	-1.00	100.0	-1.00
01/08/2018	CONCORDE, VARIOUS	HEMP INC COM NEW	-1,805.00	0.00	0.00	0.00	100.0	0.00
01/08/2018	CONCORDE, VARIOUS	HEMP INC COM NEW	72.02	0.00	1.00	-1.00	100.0	-1.00
01/08/2018	CONCORDE, VARIOUS	HEMP INC COM NEW	1,732.80	0.00	1.00	-1.00	100.0	-1.00
01/03/2018	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	154.06	0.00	13.50	-13.50	100.0	-13.50
01/03/2018	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	1,386.54	0.00	13.50	-13.50	100.0	-13.50
01/03/2018	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	1,386.54	0.00	13.50	-13.50	100.0	-13.50
01/03/2018	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-154.06	0.00	0.00	0.00	100.0	0.00
01/03/2018	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-1,386.54	0.00	0.00	0.00	100.0	0.00
01/03/2018	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-1,386.54	0.00	0.00	0.00	100.0	0.00
01/03/2018	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	2,927.14	0.00	1.00	-1.00	100.0	-1.00
01/03/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	4,354.66	0.00	13.50	-13.50	100.0	-13.50
01/03/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	816.50	0.00	13.50	-13.50	100.0	-13.50
01/03/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	4,354.66	0.00	13.50	-13.50	100.0	-13.50
01/03/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-4,354.66	0.00	0.00	0.00	100.0	0.00
01/03/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-816.50	0.00	0.00	0.00	100.0	0.00
01/03/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	9,525.82	0.00	1.00	-1.00	100.0	-1.00
01/03/2018	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-4,354.66	0.00	0.00	0.00	100.0	0.00
01/02/2018	CONCORDE, VARIOUS	NEW YORK REIT INC COM	1,155.27	0.00	0.00	0.00	100.0	0.00
01/02/2018	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-794.00	0.00	1.00	-1.00	100.0	-1.00
01/02/2018	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-361.27	0.00	1.00	-1.00	100.0	-1.00
01/03/2018	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	167.70	0.00	13.50	-13.50	100.0	-13.50
01/03/2018	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	821.73	0.00	13.50	-13.50	100.0	-13.50
01/03/2018	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	821.73	0.00	13.50	-13.50	100.0	-13.50
01/03/2018	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-167.70	0.00	0.00	0.00	100.0	0.00
01/03/2018	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	1,677.00	0.00	1.00	-1.00	100.0	-1.00
01/03/2018	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	134.16	0.00	1.00	-1.00	100.0	-1.00
01/03/2018	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-821.73	0.00	0.00	0.00	100.0	0.00

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<b><u>CLEARING TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
01/03/2018	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-821.73	0.00	0.00	0.00	100.0	0.00
01/03/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	577.13	0.00	13.50	-13.50	100.0	-13.50
01/03/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	115.43	0.00	13.50	-13.50	100.0	-13.50
01/03/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	577.13	0.00	13.50	-13.50	100.0	-13.50
01/03/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-577.13	0.00	0.00	0.00	100.0	0.00
01/03/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-115.43	0.00	0.00	0.00	100.0	0.00
01/03/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	1,269.68	0.00	1.00	-1.00	100.0	-1.00
01/03/2018	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-577.13	0.00	0.00	0.00	100.0	0.00
01/08/2018	CONCORDE, VARIOUS	REINSURANCE GROUP AMER INC COM NEW	473.70	0.00	0.00	0.00	100.0	0.00
01/08/2018	CONCORDE, VARIOUS	REINSURANCE GROUP AMER INC COM NEW	-315.76	0.00	1.00	-1.00	100.0	-1.00
01/08/2018	CONCORDE, VARIOUS	REINSURANCE GROUP AMER INC COM NEW	-157.94	0.00	1.00	-1.00	100.0	-1.00
01/03/2018	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	349.02	0.00	13.50	-13.50	100.0	-13.50
01/03/2018	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	1,745.10	0.00	13.50	-13.50	100.0	-13.50
01/03/2018	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	1,745.10	0.00	13.50	-13.50	100.0	-13.50
01/03/2018	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-349.02	0.00	0.00	0.00	100.0	0.00
01/03/2018	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	3,839.22	0.00	1.00	-1.00	100.0	-1.00
01/03/2018	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-1,745.10	0.00	0.00	0.00	100.0	0.00
01/03/2018	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-1,745.10	0.00	0.00	0.00	100.0	0.00
01/03/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	331.97	0.00	13.50	-13.50	100.0	-13.50
01/03/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	1,659.83	0.00	13.50	-13.50	100.0	-13.50
01/03/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	1,659.83	0.00	13.50	-13.50	100.0	-13.50
01/03/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-331.97	0.00	0.00	0.00	100.0	0.00
01/03/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-1,659.83	0.00	0.00	0.00	100.0	0.00
01/03/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	3,651.62	0.00	1.00	-1.00	100.0	-1.00
01/03/2018	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-1,659.83	0.00	0.00	0.00	100.0	0.00
12/27/2017	CONCORDE, VARIOUS	VALEANT PHARMACEUTICALS INTL INC CD	-7,686.43	0.00	1.00	-1.00	100.0	-1.00
12/27/2017	CONCORDE, VARIOUS	VALEANT PHARMACEUTICALS INTL INC CD	-854.14	0.00	1.00	-1.00	100.0	-1.00
12/27/2017	CONCORDE, VARIOUS	VALEANT PHARMACEUTICALS INTL INC CD	8,540.56	0.00	0.00	0.00	100.0	0.00
01/09/2018	CONCORDE, VARIOUS	VANGUARD ADMIRAL FDS INC S&P 500 GR	14,222.90	0.00	1.00	-1.00	100.0	-1.00
01/09/2018	CONCORDE, VARIOUS	VANGUARD ADMIRAL FDS INC S&P 500 GR	113,783.20	0.00	1.00	-1.00	100.0	-1.00
01/09/2018	CONCORDE, VARIOUS	VANGUARD ADMIRAL FDS INC S&P 500 GR	17,776.58	0.00	1.00	-1.00	100.0	-1.00
01/09/2018	CONCORDE, VARIOUS	VANGUARD ADMIRAL FDS INC S&P 500 GR	-145,782.68	0.00	0.00	0.00	100.0	0.00
01/03/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	575.89	0.00	13.50	-13.50	100.0	-13.50

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<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
01/03/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	575.89	0.00	13.50	-13.50	100.0	-13.50
01/03/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	82.27	0.00	13.50	-13.50	100.0	-13.50
01/03/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	1,234.05	0.00	1.00	-1.00	100.0	-1.00
01/03/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-575.89	0.00	0.00	0.00	100.0	0.00
01/03/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-575.89	0.00	0.00	0.00	100.0	0.00
01/03/2018	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-82.27	0.00	0.00	0.00	100.0	0.00
01/03/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	11,673.25	0.00	13.50	-13.50	100.0	-13.50
01/03/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	2,390.24	0.00	13.50	-13.50	100.0	-13.50
01/03/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	11,673.25	0.00	13.50	-13.50	100.0	-13.50
01/03/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-11,673.25	0.00	0.00	0.00	100.0	0.00
01/03/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-2,390.24	0.00	0.00	0.00	100.0	0.00
01/03/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	22,734.88	0.00	1.00	-1.00	100.0	-1.00
01/03/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	3,001.85	0.00	1.00	-1.00	100.0	-1.00
01/03/2018	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-11,673.25	0.00	0.00	0.00	100.0	0.00
* STOCKS SUBTOTAL *			<b>\$49,993.74</b>	<b>\$0.00</b>	<b>\$380.00</b>	<b>\$-380.00</b>		<b>\$-380.00</b>
*** CLEARING TRANSACTIONS TOTAL ***			<b>\$46,839.81</b>	<b>\$0.00</b>	<b>\$380.00</b>	<b>\$-380.00</b>		<b>\$-380.00</b>

**DIRECT TRANSACTIONS****PRODUCT CATEGORY: 529 PLANS**

12/28/2017	SOLORIO, ROQUE	AM FUNDS AMERICAN BALANCED 529A	125.00	5.31	0.00	5.31	100.0	5.31
01/02/2018	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
01/02/2018	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	100.0	3.75
01/02/2018	RAINONE, TRISTINA DAWN	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
01/02/2018	RAINONE, MICHAEL	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	100.0	4.25
01/02/2018	RAMIREZ, MARCOS	AM FUNDS CAPITAL INCOME BUILDER 529	150.00	6.38	0.00	6.38	100.0	6.38
12/28/2017	GREEN, WILLIAM	AM FUNDS COLLEGE 2030 FUND 529A	50.00	1.75	0.00	1.75	100.0	1.75
01/05/2018	AGUILAR, JOSE	AM FUNDS NEW ECONOMY 529A	50.00	2.50	0.00	2.50	100.0	2.50
01/02/2018	LAWRENCE, CURTIS	AM FUNDS NEW PERSPECTIVE 529A	300.00	6.00	0.00	6.00	100.0	6.00
01/02/2018	LAWRENCE, CURTIS	AM FUNDS NEW PERSPECTIVE 529A	300.00	6.00	0.00	6.00	100.0	6.00
01/02/2018	LAWRENCE, CURTIS	AM FUNDS NEW PERSPECTIVE 529A	300.00	6.00	0.00	6.00	100.0	6.00
12/26/2017	ROBINSON, SARAH	AMERICAN FUNDS COLLEGE 2033 FUND-52	200.00	7.00	0.00	7.00	100.0	7.00
* 529 PLANS SUBTOTAL *			<b>\$1,875.00</b>	<b>\$56.94</b>	<b>\$0.00</b>	<b>\$56.94</b>		<b>\$56.94</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
^01/09/2018	CHASALOW, VARIOUS	ALLIANZ FUNDS	0.00	10,847.94	0.00	10,847.94	100.0	0.00
01/02/2018	DURR, LORENA	AM FUNDS 2030 TARGET DATE-A	100.00	4.25	0.00	4.25	100.0	4.25
01/02/2018	COLE, PAUL	AM FUNDS AMERICAN BALANCED A	250.00	9.38	0.00	9.38	100.0	9.38
01/02/2018	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	100.0	3.75
01/02/2018	BOOTZ, MATTHEW	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	100.0	2.50
01/02/2018	CESARE, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	525.00	19.69	0.00	19.69	100.0	19.69
01/02/2018	DANG, DIEM CHAU LUONG	AM FUNDS CAPITAL INCOME BUILDER A	100.00	5.00	0.00	5.00	100.0	5.00
01/05/2018	COX, DEBORAH	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.75	0.00	3.75	100.0	3.75
01/02/2018	SINGER, MICHELLE	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	100.0	1.38
01/02/2018	STUMP, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	100.0	2.50
01/02/2018	SINGER, MICHELLE	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	1.38	0.00	1.38	100.0	1.38
01/02/2018	SAM, WINGO	AM FUNDS GROWTH FUND OF AMERICA A	150.00	5.63	0.00	5.63	100.0	5.63
01/02/2018	STUMP, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
12/26/2017	PRATUS, LINDSEY	AM FUNDS GROWTH FUND OF AMERICA C	150.00	1.50	0.00	1.50	100.0	1.50
12/26/2017	PRATUS, LINDSEY	AM FUNDS ICA C	150.00	1.50	0.00	1.50	100.0	1.50
* MUTUAL FUNDS SUBTOTAL *			\$1,850.00	\$10,912.65	\$0.00	\$10,912.65		\$64.71
<b><u>PRODUCT CATEGORY: BONDS</u></b>								
11/27/2017	CONCORDE, VARIOUS	***BNP PARIBAS	-19,060.00	0.00	0.00	0.00	100.0	0.00
11/27/2017	CONCORDE, VARIOUS	***BNP PARIBAS	19,060.00	0.00	0.00	0.00	100.0	0.00
* BONDS SUBTOTAL *			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
12/19/2017	CORUGEDO, JENNIFER	ADV CENTURY	0.00	15.75	0.00	15.75	100.0	15.75
12/20/2017	DUB, DAWN	ADV CENTURY	0.00	10.50	0.00	10.50	100.0	10.50
12/20/2017	MORALES, CHRISTINE	ADV CENTURY	0.00	26.25	0.00	26.25	100.0	26.25
12/29/2017	LI, YUAN	HARTFORD LEADERS ILA	45,772.48	28.61	0.00	28.61	100.0	28.61
12/29/2017	PIROZZO, KATHLEEN	HARTFORD LEADERS OUTLOOK	35,787.18	89.47	0.00	89.47	100.0	89.47
12/29/2017	PIROZZO, PETER	HARTFORD LEADERS PLUS	150,755.06	94.22	0.00	94.22	100.0	94.22
12/29/2017	WHITE, CATHLEEN	HARTFORD LEADERS PLUS	22,855.72	14.28	0.00	14.28	100.0	14.28
12/29/2017	HUGGINS, STEVE	HARTFORD LIFE DIRECTOR 8	26,634.59	16.65	0.00	16.65	100.0	16.65
12/31/2017	PEEK, EUNICE	JOHN HANCOCK VANTAGE	15,807.69	3.29	0.00	3.29	100.0	3.29
12/31/2017	PEEK LI, VASSIE	JOHN HANCOCK VENTURE III	19,412.93	16.18	0.00	16.18	100.0	16.18
12/22/2017	MOXON, HAROLD	OHIO NATL ONCORE PREMIER	22,824.84	57.06	0.00	57.06	100.0	57.06

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
12/31/2017	LI, WEIXING	PRU AS ADVISOR PLAN - 1993	56,639.59	35.40	0.00	35.40	100.0	35.40
12/31/2017	KNEPPER, MAX	PRU AS ADVISOR PLAN III	26,863.97	16.79	0.00	16.79	100.0	16.79
12/31/2017	KNEPPER, MAX	PRU AS ADVISOR PLAN III	26,863.97	16.79	0.00	16.79	100.0	16.79
12/31/2017	LANE, JOAN	PRU AS APEX II	27,927.40	17.45	0.00	17.45	100.0	17.45
12/31/2017	LANE, JOAN	PRU AS APEX II	27,927.40	69.82	0.00	69.82	100.0	69.82
12/31/2017	BOOTS, ROBERTA	PRU AS APEX II	21,921.35	41.10	0.00	41.10	100.0	41.10
12/31/2017	BOOTS, ROBERTA	PRU AS APEX II	21,921.35	13.70	0.00	13.70	100.0	13.70
12/31/2017	DUSSEAU, KEITH	PRU AS APEX II	8,975.47	22.44	0.00	22.44	100.0	22.44
12/31/2017	DUSSEAU, KEITH	PRU AS APEX II	8,975.47	5.61	0.00	5.61	100.0	5.61
12/31/2017	DAILEY, SUZANNE	PRU AS APEX II	17,833.10	44.58	0.00	44.58	100.0	44.58
12/31/2017	DAILEY, SUZANNE	PRU AS APEX II	17,833.10	11.15	0.00	11.15	100.0	11.15
12/31/2017	GUINN, MARGARET	PRU AS LIFEVEST II	48,601.76	91.13	0.00	91.13	100.0	91.13
12/31/2017	GUINN, MARGARET	PRU AS LIFEVEST II	48,601.76	30.38	0.00	30.38	100.0	30.38
12/31/2017	GREENE, DAVID	PRU AS XTRA CREDIT	29,528.28	18.46	0.00	18.46	100.0	18.46
12/31/2017	HARTONG CR TRUST	PRU AS XTRA CREDIT	72,053.57	45.03	0.00	45.03	100.0	45.03
12/31/2017	SHELTON, PAUL	PRU AS XTRA CREDIT SIX	14,133.26	8.83	0.00	8.83	100.0	8.83
12/31/2017	MORSE, MARK	PRU AS XTRA CREDIT SIX	25,018.35	15.64	0.00	15.64	100.0	15.64
12/31/2017	SCHRITZ, BRYAN	PRU AS XTRA CREDIT SIX	38,032.77	23.77	0.00	23.77	100.0	23.77
12/31/2017	BUCKEYE, ALEN	PRU AS XTRA CREDIT SIX	63,638.13	39.77	0.00	39.77	100.0	39.77
12/31/2017	GORDON, DAVID	PRU AS XTRA CREDIT SIX	450,836.62	281.77	0.00	281.77	100.0	281.77
12/31/2017	QUELETTE, KAREN	PRU AS XTRA CREDIT SIX	71,596.41	44.75	0.00	44.75	100.0	44.75
12/31/2017	QUELETTE, KAREN	PRU AS XTRA CREDIT SIX	71,596.41	44.75	0.00	44.75	100.0	44.75
12/31/2017	MCGINNIS, KATHLEEN	PRU AS XTRA CREDIT SIX	219,690.81	137.31	0.00	137.31	100.0	137.31
12/31/2017	MCDONALD, CRAIG	PRU AS XTRA CREDIT VI	14,570.69	9.11	0.00	9.11	100.0	9.11
12/31/2017	HIGLEY, LIN	PRU DEFINED INCOME	288,198.36	720.50	0.00	720.50	100.0	720.50
12/31/2017	LORENCZ, MARY	PRU PREMIER INVT A	23,671.39	17.75	0.00	17.75	100.0	17.75
12/31/2017	MITCHELL, JAMES	PRU PREMIER INVT A	63,803.34	47.85	0.00	47.85	100.0	47.85
12/31/2017	TAMER, FIDAA	PRU PREMIER INVT A	13,969.92	10.48	0.00	10.48	100.0	10.48
12/31/2017	ALBERT, ALLISON	PRU PREMIER RETIREMENT B	5,812.30	3.63	0.00	3.63	100.0	3.63
12/31/2017	ANNONI, LINDA	PRU PREMIER RETIREMENT B	48,076.71	30.05	0.00	30.05	100.0	30.05
12/31/2017	COOPER, FRANK	PRU PREMIER RETIREMENT B	75,017.49	46.89	0.00	46.89	100.0	46.89
12/31/2017	SCHECK, VICTORIA	PRU PREMIER RETIREMENT B	30,299.58	18.94	0.00	18.94	100.0	18.94
12/31/2017	SEMENIK, EMILY	PRU PREMIER RETIREMENT B	175,765.24	109.85	0.00	109.85	100.0	109.85

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
12/31/2017	SEMENIK, JOHN	PRU PREMIER RETIREMENT B	83,948.55	52.47	0.00	52.47	100.0	52.47
12/31/2017	GAVIN, GARY	PRU PREMIER RETIREMENT L	132,511.55	331.28	0.00	331.28	100.0	331.28
12/31/2017	GAVIN, LINDA	PRU PREMIER RETIREMENT L	131,222.05	328.06	0.00	328.06	100.0	328.06
12/31/2017	REA, DIANA	PRU PREMIER RETIREMENT L	107,212.64	268.03	0.00	268.03	100.0	268.03
12/31/2017	PERSCHKE, WILMA	PRU PREMIER RETIREMENT L	121,697.28	304.24	0.00	304.24	100.0	304.24
12/31/2017	JENSEN, DANIEL	PRU PREMIER RETIREMENT L	3,579.70	8.95	0.00	8.95	100.0	8.95
12/31/2017	BACHTELL, JAMES	PRU PREMIER RETIREMENT X	35,195.63	22.00	0.00	22.00	100.0	22.00
12/28/2017	RIDDLEBARGE, DAWN	SBL ADVISOR PROGRAM	846.30	0.16	0.00	0.16	100.0	0.16
12/28/2017	BURNSIDE, SHARON	SBL ADVISOR PROGRAM	10,774.76	2.24	0.00	2.24	100.0	2.24
12/28/2017	LOOMIS, CATHERINE	SBL ADVISOR PROGRAM	5,318.80	1.11	0.00	1.11	100.0	1.11
12/02/2017	MCALLISTER, JEAN	TRANSAMERICA EXTRA	104,779.53	87.32	0.00	87.32	100.0	87.32
12/04/2017	BELTRAN, RANDOLPH	TRANSAMERICA EXTRA	110,394.29	92.00	0.00	92.00	100.0	92.00
12/27/2017	LEONARD, NITA	TRANSAMERICA EXTRA	48,623.79	40.52	0.00	40.52	100.0	40.52
12/12/2017	WILLIAMS, JESSIE	TRANSAMERICA LIBERTY	70,196.89	58.50	0.00	58.50	100.0	58.50
12/13/2017	MOORE, WILLIAM	TRANSAMERICA LIBERTY	10,236.31	8.53	0.00	8.53	100.0	8.53
12/24/2017	MORRISON, BARRETT	TRANSAMERICA PRINCIPIUM	83,510.04	69.59	0.00	69.59	100.0	69.59
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$3,556,093.92</b>	<b>\$4,138.73</b>	<b>\$0.00</b>	<b>\$4,138.73</b>		<b>\$4,138.73</b>
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
12/18/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	1.69	0.00	1.69	100.0	1.69
12/18/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	1.01	0.00	1.01	100.0	1.01
12/18/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	0.50	0.00	0.50	100.0	0.50
12/19/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	0.63	0.00	0.63	100.0	0.63
12/20/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	1.00	0.00	1.00	100.0	1.00
12/20/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	0.25	0.00	0.25	100.0	0.25
12/20/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	0.25	0.00	0.25	100.0	0.25
12/21/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	0.33	0.00	0.33	100.0	0.33
<b>* VARIABLE UNIVERSAL LIFE SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$5.66</b>	<b>\$0.00</b>	<b>\$5.66</b>		<b>\$5.66</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/15/2017	WHITING, DENISE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.88	0.00	0.88	100.0	0.88
12/15/2017	WHITING, DENISE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.44	0.00	0.44	100.0	0.44
12/15/2017	WHITING, DENISE	AM FUNDS NEW PERSPECTIVE A	0.00	2.04	0.00	2.04	100.0	2.04
12/21/2017	LOHR, KIMBERLY	FRANKLIN INCOME A	0.00	0.01	0.00	0.01	100.0	0.01

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/21/2017	MCCORKLE, ERIC	FT FOUNDING FDS ALLOC C	0.00	1.56	0.00	1.56	100.0	1.56
12/22/2017	RENEE TRUST (NEWTON), BEVERLY	SARATOGA/JAMES FUND TRAILS	0.00	0.35	0.00	0.35	100.0	0.35
12/22/2017	TWEED, VARIOUS	SARATOGA/JAMES FUND TRAILS	0.00	2.47	0.00	2.47	100.0	2.47
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$7.75</b>	<b>\$0.00</b>	<b>\$7.75</b>		<b>\$7.75</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
12/29/2017	ABRAHAM, SAMUEL	ADV CENTURY	0.00	2.03	0.00	2.03	100.0	2.03
12/29/2017	ARREDONDO, JAQUELINE	ADV CENTURY	0.00	13.73	0.00	13.73	100.0	13.73
12/29/2017	AUFFANT, KATHERINE	ADV CENTURY	0.00	14.78	0.00	14.78	100.0	14.78
12/29/2017	BADEN, GERALDINE	ADV CENTURY	0.00	2.38	0.00	2.38	100.0	2.38
12/29/2017	BODNAR, AMY	ADV CENTURY	0.00	20.51	0.00	20.51	100.0	20.51
12/29/2017	BRANDTS, RUSSELL	ADV CENTURY	0.00	4.49	0.00	4.49	100.0	4.49
12/29/2017	BRYAN, TRICIA	ADV CENTURY	0.00	11.07	0.00	11.07	100.0	11.07
12/29/2017	CADENHEAD, SCOTT	ADV CENTURY	0.00	1.09	0.00	1.09	100.0	1.09
12/29/2017	CAJINA, JEANNE	ADV CENTURY	0.00	2.23	0.00	2.23	100.0	2.23
12/29/2017	CALZADA, LARA	ADV CENTURY	0.00	0.35	0.00	0.35	100.0	0.35
12/29/2017	CALZADA, STEVEN	ADV CENTURY	0.00	0.35	0.00	0.35	100.0	0.35
12/29/2017	CAMPBELL, ERIN	ADV CENTURY	0.00	5.31	0.00	5.31	100.0	5.31
12/29/2017	CORUGEDO, JENNIFER	ADV CENTURY	0.00	24.88	0.00	24.88	100.0	24.88
12/29/2017	CORUGEDO, JUAN	ADV CENTURY	0.00	36.17	0.00	36.17	100.0	36.17
12/29/2017	DACE, KAREN	ADV CENTURY	0.00	13.41	0.00	13.41	100.0	13.41
12/29/2017	DEMUNDA, ALLYSON	ADV CENTURY	0.00	15.20	0.00	15.20	100.0	15.20
12/29/2017	DIPASQUALE-YU, CHRISTOPHER	ADV CENTURY	0.00	2.06	0.00	2.06	100.0	2.06
12/29/2017	DUB, DAWN	ADV CENTURY	0.00	1.74	0.00	1.74	100.0	1.74
12/29/2017	FELICANO, ROBERT	ADV CENTURY	0.00	0.54	0.00	0.54	100.0	0.54
12/29/2017	FERNANDEZ, JACQUELINE	ADV CENTURY	0.00	3.09	0.00	3.09	100.0	3.09
12/29/2017	FLAXENBURG, SIMON	ADV CENTURY	0.00	7.70	0.00	7.70	100.0	7.70
12/29/2017	FRAYER, MICHELE	ADV CENTURY	0.00	0.58	0.00	0.58	100.0	0.58
12/29/2017	GAR, LEE ANNE	ADV CENTURY	0.00	1.98	0.00	1.98	100.0	1.98
12/29/2017	GILLETT, RICHARD	ADV CENTURY	0.00	0.23	0.00	0.23	100.0	0.23
12/29/2017	HALE, ALEXA	ADV CENTURY	0.00	2.44	0.00	2.44	100.0	2.44
12/29/2017	HO, PAULINA	ADV CENTURY	0.00	36.79	0.00	36.79	100.0	36.79
12/29/2017	JOHNSON, DAVID	ADV CENTURY	0.00	7.98	0.00	7.98	100.0	7.98
12/29/2017	KATAMBWA, MELINDA	ADV CENTURY	0.00	0.06	0.00	0.06	100.0	0.06

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
12/29/2017	KLEIN, MELAINE	ADV CENTURY	0.00	2.31	0.00	2.31	100.0	2.31
12/29/2017	LARA, MICHELLE	ADV CENTURY	0.00	7.94	0.00	7.94	100.0	7.94
12/29/2017	LAUER, ALI	ADV CENTURY	0.00	11.46	0.00	11.46	100.0	11.46
12/29/2017	LENGNING, DUSTIN	ADV CENTURY	0.00	3.76	0.00	3.76	100.0	3.76
12/29/2017	LEXIUS, ERIC	ADV CENTURY	0.00	4.93	0.00	4.93	100.0	4.93
12/29/2017	LEXIUS, KARINA	ADV CENTURY	0.00	2.53	0.00	2.53	100.0	2.53
12/29/2017	LOVELAND, JULIE	ADV CENTURY	0.00	32.15	0.00	32.15	100.0	32.15
12/29/2017	MARTINEZ, RICHARD	ADV CENTURY	0.00	20.38	0.00	20.38	100.0	20.38
12/29/2017	MARTINEZ, RICHARD	ADV CENTURY	0.00	6.64	0.00	6.64	100.0	6.64
12/29/2017	MENDOZA, ANA	ADV CENTURY	0.00	4.27	0.00	4.27	100.0	4.27
12/29/2017	MOLIK, DANIEL	ADV CENTURY	0.00	1.56	0.00	1.56	100.0	1.56
12/29/2017	MOLIK, DANIEL	ADV CENTURY	0.00	4.64	0.00	4.64	100.0	4.64
12/29/2017	MOLIK, REBECA	ADV CENTURY	0.00	16.24	0.00	16.24	100.0	16.24
12/29/2017	MORALES, CHRISTINE	ADV CENTURY	0.00	3.78	0.00	3.78	100.0	3.78
12/29/2017	MORGAN, MICHAEL	ADV CENTURY	0.00	3.78	0.00	3.78	100.0	3.78
12/29/2017	MORROW, DANIEL	ADV CENTURY	0.00	5.07	0.00	5.07	100.0	5.07
12/29/2017	NEVILLE, KAREN	ADV CENTURY	0.00	2.06	0.00	2.06	100.0	2.06
12/29/2017	ORTH, CHRISTINE	ADV CENTURY	0.00	0.71	0.00	0.71	100.0	0.71
12/29/2017	PAGE, ANDREA	ADV CENTURY	0.00	4.12	0.00	4.12	100.0	4.12
12/29/2017	LUND, VARIOUS	ADV CENTURY	0.00	0.85	0.00	0.85	100.0	0.85
12/29/2017	ROBERTSON, JEFFERY	ADV CENTURY	0.00	21.46	0.00	21.46	100.0	21.46
12/29/2017	ROBINSON, SHARON	ADV CENTURY	0.00	2.40	0.00	2.40	100.0	2.40
12/29/2017	LUND, VARIOUS	ADV CENTURY	0.00	0.63	0.00	0.63	100.0	0.63
12/29/2017	RUFF, JASON	ADV CENTURY	0.00	0.81	0.00	0.81	100.0	0.81
12/29/2017	RUNNELS, JANICE	ADV CENTURY	0.00	6.60	0.00	6.60	100.0	6.60
12/29/2017	SAVERY, KATHLEEN	ADV CENTURY	0.00	0.11	0.00	0.11	100.0	0.11
12/29/2017	LUND, VARIOUS	ADV CENTURY	0.00	1.33	0.00	1.33	100.0	1.33
12/29/2017	SENA, CHERYL	ADV CENTURY	0.00	3.71	0.00	3.71	100.0	3.71
12/29/2017	SOLORIO, ROSE	ADV CENTURY	0.00	3.33	0.00	3.33	100.0	3.33
12/29/2017	SPENCER, JOHN	ADV CENTURY	0.00	12.55	0.00	12.55	100.0	12.55
12/29/2017	STARK, TONI	ADV CENTURY	0.00	5.44	0.00	5.44	100.0	5.44
12/29/2017	STARK, TONI	ADV CENTURY	0.00	5.95	0.00	5.95	100.0	5.95
12/29/2017	TAM, YIM	ADV CENTURY	0.00	16.17	0.00	16.17	100.0	16.17

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
12/29/2017	TEANEY, GRETCHEN	ADV CENTURY	0.00	35.98	0.00	35.98	100.0	35.98
12/29/2017	WARD, TIFFANY	ADV CENTURY	0.00	7.24	0.00	7.24	100.0	7.24
12/29/2017	WESTOVER, BRITNEY	ADV CENTURY	0.00	0.70	0.00	0.70	100.0	0.70
12/29/2017	WILLIAMS, JENNIFER	ADV CENTURY	0.00	2.11	0.00	2.11	100.0	2.11
12/29/2017	WILSON, JEAN	ADV CENTURY	0.00	2.94	0.00	2.94	100.0	2.94
12/29/2017	YU-DIPASQUALE, MEI-KIN MAGGIE	ADV CENTURY	0.00	2.06	0.00	2.06	100.0	2.06
12/29/2017	STEVENS, TALEEN	ADV CENTURY	0.00	1.08	0.00	1.08	100.0	1.08
12/29/2017	HARTOUNIAN, HAGOB BEN	GENWORTH TRAILS	0.00	9.87	0.00	9.87	100.0	9.87
12/28/2017	HALES, WILLIAM	PAC LIFE INNOVATIVE SELECT	0.00	75.52	0.00	75.52	100.0	75.52
12/26/2017	WEST, JERRY	PAC LIFE ONE	0.00	15.57	0.00	15.57	100.0	15.57
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$605.91</b>	<b>\$0.00</b>	<b>\$605.91</b>		<b>\$605.91</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$3,559,818.92</b>	<b>\$15,727.64</b>	<b>\$0.00</b>	<b>\$15,727.64</b>		<b>\$4,879.70</b>

**VERRIDE TRANSACTIONS****BROKER: JAMES PANOS #10**

/ /	FACADA, MANUEL	FA 529 COLLEGE PORT C	0.00	0.27	0.00	0.27	0.0	0.27
/ /	KORNITSKY, MARK	FA 529 DIVERSIFIED INTL PORT C	0.00	0.30	0.00	0.30	0.0	0.30
/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT C	0.00	1.49	0.00	1.49	0.0	1.49
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT C	0.00	3.60	0.00	3.60	0.0	3.60
/ /	KORNITSKY, MARK	FA 529 DIVERSIFIED INTL PORT CL A	0.00	0.16	0.00	0.16	0.0	0.16
/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT CL A	0.00	1.72	0.00	1.72	0.0	1.72
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT CL A	0.00	2.10	0.00	2.10	0.0	2.10
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GROWTH PORT C	0.00	0.02	0.00	0.02	0.0	0.02
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GROWTH PORT C	0.00	0.53	0.00	0.53	0.0	0.53
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GRWTH PORT CL A	0.00	0.01	0.00	0.01	0.0	0.01
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GRWTH PORT CL A	0.00	3.20	0.00	3.20	0.0	3.20
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT C	125.00	0.02	0.00	0.02	0.0	0.02
/ /	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	125.00	8.81	0.00	8.81	0.0	8.81
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT CL A	125.00	0.01	0.00	0.01	0.0	0.01
/ /	KORNITSKY, KAYLA	FA 529 EQUITY GROWTH PORT CL A	125.00	3.51	0.00	3.51	0.0	3.51
/ /	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT CL A	125.00	5.65	0.00	5.65	0.0	5.65
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT A	0.00	0.01	0.00	0.01	0.0	0.01

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JAMES PANOS #10 </u></b>								
/ /	KORNITSKY, KAYLA	FA 529 NEW INSIGHTS PORT A	0.00	3.34	0.00	3.34	0.0	3.34
/ /	FITZGIBBON, EDMUND	FA 529 NEW INSIGHTS PORT A	0.00	1.16	0.00	1.16	0.0	1.16
/ /	SOLORIO, ROSE	FA 529 PORT 2016 C	125.00	0.80	0.00	0.80	0.0	0.80
01/02/2018	BARBOUR, ELEANOR	FA 529 PORT 2016 C	125.00	1.45	0.00	1.45	0.0	1.45
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2019 A	125.00	15.74	0.00	15.74	0.0	15.74
/ /	MACUCH, PAUL	FA 529 PORT 2019 A	125.00	0.30	0.00	0.30	0.0	0.30
/ /	DORAN, ANN MARIE	FA 529 PORT 2019 A	125.00	0.74	0.00	0.74	0.0	0.74
/ /	DORAN, PATRICK	FA 529 PORT 2019 A	125.00	2.10	0.00	2.10	0.0	2.10
/ /	MACUCH, PAUL	FA 529 PORT 2019 C	125.00	2.36	0.00	2.36	0.0	2.36
/ /	DORAN, PATRICK	FA 529 PORT 2019 C	125.00	2.36	0.00	2.36	0.0	2.36
/ /	LORA, MARCOS	FA 529 PORT 2022 C	125.00	7.37	0.00	7.37	0.0	7.37
/ /	LORA, CATALINA	FA 529 PORT 2022 C	125.00	7.37	0.00	7.37	0.0	7.37
/ /	DORAN, PATRICK	FA 529 PORT 2022 C	125.00	2.46	0.00	2.46	0.0	2.46
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	125.00	2.46	0.00	2.46	0.0	2.46
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	125.00	2.46	0.00	2.46	0.0	2.46
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	125.00	2.46	0.00	2.46	0.0	2.46
/ /	LORA, ROLANDO	FA 529 PORT 2022 C	125.00	7.37	0.00	7.37	0.0	7.37
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 CL A	125.00	16.28	0.00	16.28	0.0	16.28
/ /	LORA, MARCOS	FA 529 PORT 2022 CL A	125.00	9.50	0.00	9.50	0.0	9.50
/ /	LORA, CATALINA	FA 529 PORT 2022 CL A	125.00	5.00	0.00	5.00	0.0	5.00
/ /	POWERS, GARY	FA 529 PORT 2022 CL A	125.00	2.78	0.00	2.78	0.0	2.78
/ /	REMI, ALEX	FA 529 PORT 2022 CL A	125.00	4.21	0.00	4.21	0.0	4.21
/ /	REMI, ALEX	FA 529 PORT 2022 CL A	125.00	6.34	0.00	6.34	0.0	6.34
/ /	DORAN, PATRICK	FA 529 PORT 2022 CL A	125.00	1.29	0.00	1.29	0.0	1.29
/ /	MACUCH, PAUL	FA 529 PORT 2022 CL A	125.00	1.62	0.00	1.62	0.0	1.62
/ /	MACUCH, PAUL	FA 529 PORT 2022 CL A	125.00	2.48	0.00	2.48	0.0	2.48
/ /	KORNITSKY, GAVIN	FA 529 PORT 2022 CL A	125.00	7.29	0.00	7.29	0.0	7.29
/ /	MACUCH, PAUL	FA 529 PORT 2022 CL A	125.00	1.56	0.00	1.56	0.0	1.56
/ /	DORAN, SHAWN	FA 529 PORT 2022 CL A	125.00	0.87	0.00	0.87	0.0	0.87
/ /	LORA, ROLANDO	FA 529 PORT 2022 CL A	125.00	3.83	0.00	3.83	0.0	3.83
/ /	DORAN, ANN MARIE	FA 529 PORT 2022 CL A	125.00	0.79	0.00	0.79	0.0	0.79
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 CL A	125.00	10.27	0.00	10.27	0.0	10.27
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.54	0.00	1.54	0.0	1.54

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JIM LUND #10 </u></b>								
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.54	0.00	1.54	0.0	1.54
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	5.00	0.00	5.00	0.0	5.00
/ /	MURPHY, CHRIS	FA 529 PORT 2025 A	0.00	4.81	0.00	4.81	0.0	4.81
/ /	FITZGIBBON, EDMUND	FA 529 PORT 2025 A	0.00	3.68	0.00	3.68	0.0	3.68
/ /	MACK, NEIL	FA 529 PORT 2025 A	0.00	2.17	0.00	2.17	0.0	2.17
01/03/2018	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	5.00
/ /	MACK, NEIL	FA 529 PORT 2025 C	250.00	12.54	0.00	12.54	0.0	12.54
/ /	MURPHY, CHRIS	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
01/02/2018	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	200.00	2.00	0.00	2.00	0.0	2.00
01/02/2018	MACK, NEIL	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
01/02/2018	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	250.00	10.03	0.00	10.03	0.0	10.03
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	50.00	3.77	0.00	3.77	0.0	3.77
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	50.00	6.00	0.00	6.00	0.0	6.00
01/02/2018	MACUCH, PAUL	FA 529 PORT 2028 A	50.00	0.79	0.00	0.79	0.0	0.79
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	120.00	13.85	0.00	13.85	0.0	13.85
/ /	MACK, NEIL	FA 529 PORT 2028 C	120.00	2.50	0.00	2.50	0.0	2.50
01/05/2018	MACUCH, PAUL	FA 529 PORT 2028 C	120.00	2.63	0.00	2.63	0.0	2.63
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	0.67	0.00	0.67	0.0	0.67
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	2.50	0.00	2.50	0.0	2.50
/ /	BARBOUR, ELEANOR	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	1.78	0.00	1.78	0.0	1.78
/ /	SOLORIO, ROSE	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	0.97	0.00	0.97	0.0	0.97
/ /	SOLORIO, ROSE	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	6.25	0.00	6.25	0.0	6.25
/ /	FACADA, MANUEL	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	7.16	0.00	7.16	0.0	7.16
/ /	FACADA, ANNA	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	6.17	0.00	6.17	0.0	6.17
/ /	RADFORD, KIRK	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	0.12	0.00	0.12	0.0	0.12
<b> * JAMES KOESTER SUBTOTAL * </b>			<b>\$6,185.00</b>	<b>\$276.29</b>	<b>\$0.00</b>	<b>\$276.29</b>		<b>\$276.29</b>
<b><u> BROKER: DANIEL WOLF #11 </u></b>								
12/27/2017	CARIGNAN, NANCY	MML CM LIFE VUL	55,953.22	6.99	0.00	6.99	0.0	6.99
12/30/2017	MARTIN, RONALD	NW DESTINATION B2 05	18,372.95	34.45	0.00	34.45	0.0	34.45
12/30/2017	CARIGNAN, JAMES	NW DESTINATION B2 05	192,853.42	361.60	0.00	361.60	0.0	361.60
<b> * DANIEL WOLF SUBTOTAL * </b>			<b>\$267,179.59</b>	<b>\$403.04</b>	<b>\$0.00</b>	<b>\$403.04</b>		<b>\$403.04</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: ROBERT CHESTER #21</u></b>								
12/22/2017	MARVIN, CYNTHIA	BLK GLOBAL ALLOCATION A	0.00	0.43	0.00	0.43	0.0	0.43
12/22/2017	DILTS, ERIC	BLK MANAGED VOLATILITY A	0.00	1.25	0.00	1.25	0.0	1.25
12/12/2017	MARVIN, CYNTHIA	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	0.22	0.00	0.22	0.0	0.22
* ROBERT CHESTER SUBTOTAL *			\$0.00	\$1.90	\$0.00	\$1.90		\$1.90
<b><u>BROKER: EDWARD MCMANUS #25</u></b>								
12/29/2017	GRIBBLE, MARGARET	ALLIANZ VISION BASIC	28,093.34	70.23	0.00	70.23	0.0	70.23
12/21/2017	REESE, BARBARA	FRANKLIN HIGH INCOME C	0.00	6.84	0.00	6.84	0.0	6.84
12/21/2017	REESE, BARBARA	FRANKLIN INCOME C	0.00	7.16	0.00	7.16	0.0	7.16
12/30/2017	KINCAID, LINDA	NATIONWIDE MARKETFLEX BB	40,624.01	25.39	0.00	25.39	0.0	25.39
12/18/2017	SPENCER FAMILY TRUST	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	1.29	0.00	1.29	0.0	1.29
12/18/2017	MCGREW, JAMES	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	2.22	0.00	2.22	0.0	2.22
12/14/2017	TEMPLE, GORDON	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.09	0.00	1.09	0.0	1.09
12/14/2017	TEMPLE, GORDON	RESOURCE R E DIVERSIFIED INC FUND A	0.00	1.09	0.00	1.09	0.0	1.09
12/20/2017	TEMPLE, GORDON	RESOURCE R E DIVERSIFIED INC FUND A	0.00	-1.09	0.00	-1.09	0.0	-1.09
12/15/2017	REESE, BARBARA	THE MULTI-STRATEGY GROWTH & INCOME	0.00	21.99	0.00	21.99	0.0	21.99
* EDWARD MCMANUS SUBTOTAL *			\$68,717.35	\$136.21	\$0.00	\$136.21		\$136.21
<b><u>BROKER: ERIC HORNYAK #29</u></b>								
12/11/2017	JOHNS, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	26.63	0.00	26.63	0.0	26.63
12/11/2017	GELOW, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.61	0.00	0.61	0.0	0.61
12/11/2017	GELOW, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.55	0.00	0.55	0.0	0.55
12/11/2017	CAUL, JEFFREY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.43	0.00	0.43	0.0	0.43
12/11/2017	PALFFY, CARLA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.65	0.00	4.65	0.0	4.65
12/11/2017	CAUL, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.70	0.00	0.70	0.0	0.70
12/11/2017	JOHNS, JOHN	AM FUNDS INCOME FUND OF AMERICA A	0.00	13.68	0.00	13.68	0.0	13.68
12/11/2017	JOHNS, JOHN	BLK GLOBAL ALLOCATION A	0.00	1.34	0.00	1.34	0.0	1.34
12/05/2017	CORCORAN, GAIL	DISNEY WALT CO DISNEY COM	1,974.29	22.00	14.00	8.00	0.0	8.00
12/11/2017	HOWARD, JAMES	FT TEMPLETON GLOBAL OPP TRUST C	0.00	26.11	0.00	26.11	0.0	26.11
/ /	CAUL, JEFFREY	JH 529 PORT 2021-2024 A	0.00	0.58	0.00	0.58	0.0	0.58
12/11/2017	HOWARD, JAMES	TRANSAMERICA MULTI-MANAGED BAL C	0.00	28.14	0.00	28.14	0.0	28.14
12/11/2017	SIELSKI, OLA	TRANSAMERICA SHORT-TERM BOND C	0.00	8.93	0.00	8.93	0.0	8.93
12/11/2017	HOWARD, JAMES	TRANSAMERICA SHORT-TERM BOND C	0.00	21.26	0.00	21.26	0.0	21.26
* ERIC HORNYAK SUBTOTAL *			\$1,974.29	\$155.61	\$14.00	\$141.61		\$141.61

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: THOMAS BOAKS #33 </u></b>								
11/30/2017	PUDIL, HSIU CHIN	GENWORTH VA	0.00	0.08	0.00	0.08	0.0	0.08
11/30/2017	LASALLE, BARBARA	GENWORTH VA	0.00	289.76	0.00	289.76	0.0	289.76
11/30/2017	GEORGE, CAROLE	GENWORTH VA	0.00	130.47	0.00	130.47	0.0	130.47
* THOMAS BOAKS SUBTOTAL *			\$0.00	\$420.31	\$0.00	\$420.31		\$420.31
<b><u> BROKER: BRUCE BAXTER #38 </u></b>								
12/27/2017	GOLSCH, INVESTMENT LTD	ING ACCESS	0.00	54.20	0.00	54.20	0.0	54.20
01/02/2018	BROWN, IAN	MFS AGGRESSIVE GROWTH ALLOCATION A	100.00	5.00	0.00	5.00	0.0	5.00
12/28/2017	STAMBAUGH, GARY	SBL ADVISORDESIGNS VA	15,597.51	5.85	0.00	5.85	0.0	5.85
* BRUCE BAXTER SUBTOTAL *			\$15,697.51	\$65.05	\$0.00	\$65.05		\$65.05
<b><u> BROKER: MELANIE DICENSO #43 </u></b>								
12/15/2017	BEATTY, MATTHEW	AM FUNDS BOND FUND OF AMERICA F1	0.00	6.01	0.00	6.01	0.0	6.01
12/15/2017	BEATTY, MATTHEW	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	3.75	0.00	3.75	0.0	3.75
/ /	BROTH, KRISTEN	FIDELITY GROWTH & INCOME A	0.00	1.15	0.00	1.15	0.0	1.15
01/03/2018	DAYTON, ROBERT	PIONEER FUND A	0.00	0.90	0.00	0.90	0.0	0.90
12/28/2017	COLE, SUSAN	SBL ADVISOR PROGRAM	17,924.49	3.74	0.00	3.74	0.0	3.74
12/28/2017	COLE, SUSAN	SBL ADVISOR PROGRAM	50.00	2.00	0.00	2.00	0.0	2.00
* MELANIE DICENSO SUBTOTAL *			\$17,974.49	\$17.55	\$0.00	\$17.55		\$17.55
<b><u> BROKER: JOHN BEAN #45 </u></b>								
12/15/2017	HODGE, WENDY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.34	0.00	0.34	0.0	0.34
12/15/2017	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	0.0	0.07
12/15/2017	DANIEL, MILLER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	0.0	0.07
12/15/2017	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	0.0	0.07
12/15/2017	HODGE, WENDY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.27	0.00	0.27	0.0	0.27
12/15/2017	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.05
12/15/2017	DANIEL, MILLER	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.05
12/15/2017	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.05
12/15/2017	HODGE, WENDY	AM FUNDS NEW PERSPECTIVE A	0.00	0.85	0.00	0.85	0.0	0.85
12/15/2017	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.17	0.00	0.17	0.0	0.17
12/15/2017	DANIEL, MILLER	AM FUNDS NEW PERSPECTIVE A	0.00	0.17	0.00	0.17	0.0	0.17
12/15/2017	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.17	0.00	0.17	0.0	0.17
12/22/2017	HASENAUER, CAROL	CALAMOS EVOLVING WORLD GROWTH A	0.00	0.23	0.00	0.23	0.0	0.23
12/22/2017	HASENAUER, CAROL	CALAMOS HIGH INCOME A	0.00	0.12	0.00	0.12	0.0	0.12

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JOHN BEAN #45</u></b>								
12/22/2017	HODGE, WENDY	CALAMOS HIGH INCOME A	0.00	0.17	0.00	0.17	0.0	0.17
12/14/2017	KING, TROY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.70	0.00	0.70	0.0	0.70
12/14/2017	KING, JODY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.59	0.00	0.59	0.0	0.59
12/14/2017	KING, TROY	CALVERT INCOME FUND CLASS A	0.00	0.46	0.00	0.46	0.0	0.46
12/14/2017	KING, JODY	CALVERT INCOME FUND CLASS A	0.00	0.42	0.00	0.42	0.0	0.42
12/14/2017	KING, JODY	CALVERT INTERNATIONAL EQUITY FUND C	0.00	0.09	0.00	0.09	0.0	0.09
12/14/2017	KING, TROY	CALVERT SMALL CAP FUND CLASS A	0.00	0.32	0.00	0.32	0.0	0.32
12/14/2017	KING, JODY	CALVERT SMALL CAP FUND CLASS A	0.00	0.16	0.00	0.16	0.0	0.16
12/21/2017	HASENAUER, CAROL	FRANKLIN INCOME A	0.00	0.08	0.00	0.08	0.0	0.08
12/29/2017	ENRIGHT, MYRA	MINNESOTA LIFE MULTIOPTION ADV B	439,942.06	1,099.86	0.00	1,099.86	0.0	1,099.86
12/30/2017	MAST, DEBORAH	NATIONWIDE DESTINATION L2 01	99,373.87	248.43	0.00	248.43	0.0	248.43
12/21/2017	THOMAS, MARILYN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	1.04	0.00	1.04	0.0	1.04
12/21/2017	THOMAS, MARILYN	OPPENHEIMER MAIN STREET A	0.00	5.05	0.00	5.05	0.0	5.05
<b>* JOHN BEAN SUBTOTAL *</b>			<b>\$539,315.93</b>	<b>\$1,360.05</b>	<b>\$0.00</b>	<b>\$1,360.05</b>		<b>\$1,360.05</b>
<b><u>BROKER: ROBERT (RUSTY) TWEED #58</u></b>								
12/15/2017	SMITH, REBECCA	AM FUNDS AMERICAN BALANCED C	0.00	9.12	0.00	9.12	0.0	9.12
12/29/2017	BURTON, MIKE	AXA ACCUM 04 ELITE (RO IRA)	57,625.91	180.08	0.00	180.08	0.0	180.08
12/29/2017	BURTON, CATHERINE	AXA ACCUM 04 ELITE (RO IRA)	47,788.98	149.34	0.00	149.34	0.0	149.34
12/29/2017	BRANIK, ROBERT	AXA ACCUM 04 ELITE (RO IRA)	17,427.80	54.46	0.00	54.46	0.0	54.46
12/29/2017	CLARK, JEAN MARIE	AXA ACCUM 07 ELITE (RO IRA)	12,335.09	30.84	0.00	30.84	0.0	30.84
12/21/2017	ZELLMAN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.29	0.00	2.29	0.0	2.29
12/21/2017	SCIOLINI, ALDO	FRANKLIN INCOME A	0.00	2.20	0.00	2.20	0.0	2.20
12/26/2017	LEDWITZ TRUST, MARTIN & ELIZABJAMES	ALPHA GLOBAL REAL ESTATE FUND	0.00	1.02	0.00	1.02	0.0	1.02
12/15/2017	CHAVEZ, VICTOR	JH CLASSIC VALUE A	0.00	7.88	0.00	7.88	0.0	7.88
12/31/2017	ZAX TRUST	JNL PERSPECTIVE	398.57	0.08	0.00	0.08	0.0	0.08
12/31/2017	STEPHENSON, STAR	JNL PERSPECTIVE II 04/14	8,969.92	3.74	0.00	3.74	0.0	3.74
12/31/2017	ANDERSON, DAVID LEE	JNL PERSPECTIVE L	64,194.73	69.54	0.00	69.54	0.0	69.54
12/31/2017	WHITE, LINDA	JNL PERSPECTIVE L	80,776.53	67.31	0.00	67.31	0.0	67.31
12/31/2017	ENGSTROM, WAYNE	JNL PERSPECTIVE L	35,001.76	29.17	0.00	29.17	0.0	29.17
12/31/2017	AMIGLEO, MICHELLE	JNL PERSPECTIVE L	15,648.44	13.04	0.00	13.04	0.0	13.04
12/21/2017	DANIELS, PHILIP	OPPENHEIMER CA MUNICIPAL A	0.00	11.46	0.00	11.46	0.0	11.46
01/03/2018	MYERS, BARBARA	PIONEER EQUITY INCOME A	0.00	3.91	0.00	3.91	0.0	3.91
01/03/2018	MYERS, BARBARA	PIONEER MID CAP VALUE A	0.00	0.59	0.00	0.59	0.0	0.59

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: ROBERT (RUSTY) TWEED #58</u></b>								
12/21/2017	CHAVEZ, VICTOR	VICTORY INTEGRITY SMALL-CAP VALUE F	0.00	5.53	0.00	5.53	0.0	5.53
* ROBERT (RUSTY) TWEED SUBTOTAL *			\$340,167.73	\$641.60	\$0.00	\$641.60		\$641.60
<b><u>BROKER: JIM LUND #60</u></b>								
01/05/2018	COX, DEBORAH	AM FUNDS SMALLCAP WORLD A	75.00	3.75	0.00	3.75	0.0	3.75
12/29/2017	DOBBINS, BARBARA	AXA RETIREMENT CORNERSTONE 13 B	109,549.32	68.47	0.00	68.47	0.0	68.47
12/29/2017	HAIGH, PEGGY	AXA RETIREMENT CORNERSTONE 13 B	96,445.40	60.28	0.00	60.28	0.0	60.28
12/18/2017	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	120.00	1.20	0.00	1.20	0.0	1.20
/ /	ELLSWORTH, CAROL	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	15.32	0.00	15.32	0.0	15.32
/ /	HOLZINGER, DIANE	OPPENHEIMER MAIN STREET A	0.00	30.78	0.00	30.78	0.0	30.78
/ /	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	9.57	0.00	9.57	0.0	9.57
/ /	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	0.00	16.38	0.00	16.38	0.0	16.38
/ /	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	0.00	1.73	0.00	1.73	0.0	1.73
/ /	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	0.00	8.53	0.00	8.53	0.0	8.53
/ /	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	0.00	0.59	0.00	0.59	0.0	0.59
* JIM LUND SUBTOTAL *			\$206,189.72	\$216.60	\$0.00	\$216.60		\$216.60
<b><u>BROKER: JAMES PANOS #61</u></b>								
12/31/2017	TATAROUNS, WILLIAM	JNL PERSPECTIVE L	84,519.03	70.43	0.00	70.43	0.0	70.43
12/31/2017	TATAROUNS, LELA	JNL PERSPECTIVE REWARDS	214,500.19	89.38	0.00	89.38	0.0	89.38
* JAMES PANOS SUBTOTAL *			\$299,019.22	\$159.81	\$0.00	\$159.81		\$159.81
<b><u>BROKER: JEFFREY BUTLER #93</u></b>								
12/29/2017	HOLLIDAY SHOPPING CT, 401K	HARTFORD REITREMENT PLANS	464,163.83	580.20	0.00	580.20	0.0	580.20
12/31/2017	CRAWFORD, ROBERT	LINCOLN BENEFIT TRAIL RENEWALS	0.00	40.13	0.00	40.13	0.0	40.13
* JEFFREY BUTLER SUBTOTAL *			\$464,163.83	\$620.33	\$0.00	\$620.33		\$620.33
<b><u>BROKER: JAMES AULENTI #101</u></b>								
12/31/2017	VANDAL, DONALD	PRU AS XTRA CREDIT SIX	38,804.63	24.25	0.00	24.25	0.0	24.25
12/31/2017	EVANS, SHARON	PRU AS XTRA CREDIT SIX	54,060.75	33.79	0.00	33.79	0.0	33.79
12/31/2017	LUCIER, LYNN	PRU PREMIER RETIREMENT X	60,812.55	38.01	0.00	38.01	0.0	38.01
12/31/2017	WILLS, KAREN	PRU PREMIER RETIREMENT X	537,637.92	336.02	0.00	336.02	0.0	336.02
12/31/2017	LUCIER, ROBERT	PRU PREMIER RETIREMENT X	161,516.25	100.95	0.00	100.95	0.0	100.95
12/31/2017	MCCANDLESS, JAMES	PRU PREMIER RETIREMENT X	422,559.66	264.10	0.00	264.10	0.0	264.10
* JAMES AULENTI SUBTOTAL *			\$1,275,391.76	\$797.12	\$0.00	\$797.12		\$797.12

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<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: ERIK BLOOMFELDT #105</u></b>								
/ /	FREER, JADA	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	4.14	0.00	4.14	0.0	4.14
		<b>* ERIK BLOOMFELDT SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$4.14</b>	<b>\$0.00</b>	<b>\$4.14</b>		<b>\$4.14</b>
<b><u>BROKER: DANIEL COLLINS #106</u></b>								
12/11/2017	WHITE, JACKSON	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	4.63	0.00	4.63	0.0	4.63
12/11/2017	WHITE, MARGARET	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	11.30	0.00	11.30	0.0	11.30
12/11/2017	PARSONS, VIRGINIA	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	5.65	0.00	5.65	0.0	5.65
12/11/2017	PARSONS, VIRGINIA	ALLIANCEBERNSTEIN BAL WEALTH STR A	0.00	2.24	0.00	2.24	0.0	2.24
12/15/2017	JESTER, CATHERINE	AM FUNDS ICA A	0.00	5.87	0.00	5.87	0.0	5.87
/ /	MORRISON, ISABELLA	COLLEGE PORTFOLIO B	0.00	0.23	0.00	0.23	0.0	0.23
/ /	MORRISON, ALEXANDRA	COLLEGE PORTFOLIO B	0.00	0.23	0.00	0.23	0.0	0.23
12/11/2017	JESTER, CATHERINE	DAVIS NEW YORK VENTURE A	0.00	3.54	0.00	3.54	0.0	3.54
12/22/2017	BEBEE, MARY	DREYFUS AMT-FREE MUNICIPAL BOND FUN	0.00	4.11	0.00	4.11	0.0	4.11
12/29/2017	PLUTSCHAK, ERNEST	GENWORTH TRAILS	0.00	11.95	0.00	11.95	0.0	11.95
12/29/2017	PLUTSCHAK, ERNEST	GENWORTH TRAILS	0.00	0.87	0.00	0.87	0.0	0.87
12/21/2017	WHITE, JACKSON	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	2.64	0.00	2.64	0.0	2.64
12/19/2017	WHITE, MARGARET	PIMCO REAL RETURN A	0.00	1.95	0.00	1.95	0.0	1.95
12/15/2017	PARSONS, VIRGINIA	PUTNAM GLOBAL NAT RESOURCES-A	0.00	0.93	0.00	0.93	0.0	0.93
12/13/2017	WHITE, JACKSON	WELLS FARGO MUNICIPAL BOND A	0.00	2.69	0.00	2.69	0.0	2.69
		<b>* DANIEL COLLINS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$58.83</b>	<b>\$0.00</b>	<b>\$58.83</b>		<b>\$58.83</b>
<b><u>BROKER: G118 GRECO #110</u></b>								
12/11/2017	KLIMA, JANINA	AM FUNDS AMERICAN BALANCED A	0.00	7.71	0.00	7.71	0.0	7.71
12/11/2017	SOKOLNICKI, BRIAN	AM FUNDS AMERICAN BALANCED A	0.00	4.85	0.00	4.85	0.0	4.85
12/11/2017	AMENDOLA, KEITH	AM FUNDS AMERICAN BALANCED A	0.00	4.61	0.00	4.61	0.0	4.61
12/11/2017	O'DWYER, PATRICK	AM FUNDS AMERICAN BALANCED A	0.00	6.80	0.00	6.80	0.0	6.80
12/11/2017	BALDASSANO, DOMINICK	AM FUNDS AMERICAN BALANCED A	0.00	6.80	0.00	6.80	0.0	6.80
12/11/2017	AMENDOLA, KEITH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.17	0.00	0.17	0.0	0.17
12/11/2017	SOKOLNICKI, BRIAN	AM FUNDS ICA A	0.00	5.31	0.00	5.31	0.0	5.31
12/11/2017	SOKOLNICKI, BRIAN	AM FUNDS NEW PERSPECTIVE A	0.00	3.05	0.00	3.05	0.0	3.05
12/11/2017	MAENTANIS, JOHN	LEGG MASON CLEARBRIDGE APPRECIATION	0.00	16.35	0.00	16.35	0.0	16.35
12/11/2017	SOKOLNICKI, BRIAN	MORGAN STANLEY INSTL FD INC GBL APP	0.00	6.78	0.00	6.78	0.0	6.78
12/11/2017	MAENTANIS, JOHN	TRANSAMERICA AA MODERATE C	0.00	13.04	0.00	13.04	0.0	13.04
		<b>* G118 GRECO SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$75.47</b>	<b>\$0.00</b>	<b>\$75.47</b>		<b>\$75.47</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JAY MCCLELLAN #120 </u></b>								
12/27/2017	SANDERS, JUDITH	ADVISORS DISCIPLINED TR UNIT 822 AD	-394.92	28.00	44.00	-16.00	0.0	-16.00
12/13/2017	ENGELHARDT, ELIZABETH	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.69	0.00	0.69	0.0	0.69
12/11/2017	ENGELHARDT, ELIZABETH	DAVIS NEW YORK VENTURE A	0.00	0.65	0.00	0.65	0.0	0.65
12/18/2017	MAPLE TRUST, DENNIS & JOANN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.05	0.00	3.05	0.0	3.05
12/18/2017	KULBACK, SONDR	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.02	0.00	3.02	0.0	3.02
12/18/2017	KAMMER, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.25	0.00	2.25	0.0	2.25
12/18/2017	HAMANN, JOHN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.44	0.00	3.44	0.0	3.44
12/18/2017	LUCKEY, CHARISSE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.54	0.00	9.54	0.0	9.54
12/31/2017	MILLER, DOUGLAS	MASS MUTUAL PANAMA PREMIER	20,552.59	6.85	0.00	6.85	0.0	6.85
12/11/2017	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.62	0.00	0.62	0.0	0.62
12/11/2017	COTTONGIM, TERRI	MFS MASS INVESTORS GROWTH STOCK B	0.00	0.28	0.00	0.28	0.0	0.28
12/11/2017	COTTONGIM, TERRI	MFS MODERATE ALLOCATION A	0.00	0.63	0.00	0.63	0.0	0.63
/ /	WEST, PAMELA	OPPENHEIMER DEVELOPING MARKETS A	0.00	1.50	0.00	1.50	0.0	1.50
/ /	WEST, PAMELA	OPPENHEIMER GLOBAL A	0.00	6.54	0.00	6.54	0.0	6.54
/ /	WEST, PAMELA	OPPENHEIMER INTL BOND A	0.00	1.81	0.00	1.81	0.0	1.81
/ /	WEST, PAMELA	OPPENHEIMER MAIN STREET A	0.00	3.20	0.00	3.20	0.0	3.20
01/03/2018	PEACOCK, RICHARD	PIIONEER BOND FUND A	0.00	4.99	0.00	4.99	0.0	4.99
01/03/2018	DEXTER, ROCHELLE	PIIONEER BOND FUND A	0.00	1.79	0.00	1.79	0.0	1.79
01/03/2018	ZEHRINGER, JAMES	PIIONEER BOND FUND A	0.00	1.41	0.00	1.41	0.0	1.41
01/03/2018	WEHRKAMP, REBECCA	PIIONEER CLASSIC BALANCED FUND A	0.00	4.44	0.00	4.44	0.0	4.44
01/03/2018	MATHENEY, DONNA	PIIONEER CLASSIC BALANCED FUND A	0.00	5.13	0.00	5.13	0.0	5.13
01/03/2018	KEY, LARRY	PIIONEER CLASSIC BALANCED FUND A	0.00	2.09	0.00	2.09	0.0	2.09
01/03/2018	PRICE, JOYCE	PIIONEER CLASSIC BALANCED FUND A	0.00	4.09	0.00	4.09	0.0	4.09
01/03/2018	WEHRKAMP, REBECCA	PIIONEER CULLEN VALUE FUND A	0.00	1.35	0.00	1.35	0.0	1.35
01/03/2018	MINIX, DEBORAH	PIIONEER CULLEN VALUE FUND A	0.00	6.87	0.00	6.87	0.0	6.87
01/03/2018	RATLIFF, DONNA	PIIONEER CULLEN VALUE FUND A	0.00	5.88	0.00	5.88	0.0	5.88
01/03/2018	ZEHRINGER, JAMES	PIIONEER CULLEN VALUE FUND A	0.00	10.20	0.00	10.20	0.0	10.20
01/03/2018	MATHENEY, DONNA	PIIONEER EMERGING MARKETS A	0.00	0.38	0.00	0.38	0.0	0.38
01/03/2018	RATLIFF, DONNA	PIIONEER EMERGING MARKETS A	0.00	0.40	0.00	0.40	0.0	0.40
01/03/2018	KEY, LARRY	PIIONEER EMERGING MARKETS A	0.00	1.86	0.00	1.86	0.0	1.86
01/03/2018	MINIX, DEBORAH	PIIONEER EMERGING MARKETS A	0.00	0.81	0.00	0.81	0.0	0.81
01/03/2018	THOMPSON REYNOLDS JT TEN,	PIIONEER EMERGING MARKETS A	0.00	1.09	0.00	1.09	0.0	1.09
01/03/2018	MCCLELLAN, JAY	PIIONEER EMERGING MARKETS A	0.00	1.19	0.00	1.19	0.0	1.19

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JAY MCCLELLAN #120 </u></b>								
01/03/2018	STARNES, EDDIE	PIONEER EQUITY INCOME A	0.00	0.41	0.00	0.41	0.0	0.41
01/03/2018	ZEHRINGER, SHARON	PIONEER EQUITY INCOME A	0.00	6.99	0.00	6.99	0.0	6.99
01/03/2018	ZEHRINGER, JAMES	PIONEER EQUITY INCOME A	0.00	5.91	0.00	5.91	0.0	5.91
01/03/2018	JONES, JACQUELINE	PIONEER EQUITY INCOME A	0.00	2.49	0.00	2.49	0.0	2.49
01/03/2018	MILLER, MYRNA	PIONEER FLOATING RATE A	0.00	11.21	0.00	11.21	0.0	11.21
01/03/2018	MCCABE VA/UTMA, ELIZABETH	PIONEER FUND A	0.00	1.68	0.00	1.68	0.0	1.68
01/03/2018	WATKINS OH/UTMA, ALEXI	PIONEER FUND A	0.00	1.73	0.00	1.73	0.0	1.73
01/03/2018	HUTTON JT TEN, JANET	PIONEER FUND A	0.00	7.19	0.00	7.19	0.0	7.19
01/03/2018	MINIX, DEBORAH	PIONEER FUND A	0.00	1.00	0.00	1.00	0.0	1.00
01/03/2018	CURTIS, RAY	PIONEER FUND A	0.00	3.39	0.00	3.39	0.0	3.39
01/03/2018	JONES, JACQUELINE	PIONEER FUND A	0.00	1.80	0.00	1.80	0.0	1.80
01/03/2018	RUSH, KAREN	PIONEER FUND A	0.00	13.73	0.00	13.73	0.0	13.73
01/03/2018	CRABTREE, GARY	PIONEER FUND C	0.00	10.49	0.00	10.49	0.0	10.49
01/03/2018	GREGONCZA, RONALD	PIONEER FUND C	0.00	31.08	0.00	31.08	0.0	31.08
01/03/2018	THOMPSON REYNOLDS JT TEN,	PIONEER FUNDAMENTAL GROWTH A	0.00	8.32	0.00	8.32	0.0	8.32
01/03/2018	MATHENEY, DONNA	PIONEER GLOBAL EQUITY A	0.00	0.37	0.00	0.37	0.0	0.37
01/03/2018	RATLIFF, DONNA	PIONEER GLOBAL EQUITY A	0.00	1.86	0.00	1.86	0.0	1.86
01/03/2018	KEY, LARRY	PIONEER GLOBAL EQUITY A	0.00	1.82	0.00	1.82	0.0	1.82
01/03/2018	MINIX, DEBORAH	PIONEER GLOBAL EQUITY A	0.00	2.40	0.00	2.40	0.0	2.40
01/03/2018	THOMPSON REYNOLDS JT TEN,	PIONEER GLOBAL EQUITY A	0.00	1.06	0.00	1.06	0.0	1.06
01/03/2018	MCCLELLAN, JAY	PIONEER GLOBAL EQUITY A	0.00	0.64	0.00	0.64	0.0	0.64
01/03/2018	PEACOCK, RICHARD	PIONEER GLOBAL HIGH YIELD A	0.00	3.67	0.00	3.67	0.0	3.67
01/03/2018	MINIX, DEBORAH	PIONEER GLOBAL HIGH YIELD A	0.00	1.17	0.00	1.17	0.0	1.17
01/03/2018	MATHENEY, DONNA	PIONEER GLOBAL HIGH YIELD A	0.00	1.32	0.00	1.32	0.0	1.32
01/03/2018	HUTTON JT TEN, JANET	PIONEER GLOBAL HIGH YIELD A	0.00	2.22	0.00	2.22	0.0	2.22
01/03/2018	RATLIFF, DONNA	PIONEER GLOBAL HIGH YIELD A	0.00	1.05	0.00	1.05	0.0	1.05
01/03/2018	MAYBERRY, JEFFREY	PIONEER GLOBAL HIGH YIELD A	0.00	2.36	0.00	2.36	0.0	2.36
01/03/2018	ZEHRINGER, JAMES	PIONEER GLOBAL HIGH YIELD A	0.00	1.11	0.00	1.11	0.0	1.11
01/03/2018	WONDERLY, MEGAN	PIONEER GROWTH OPPORTUNITIES A	0.00	1.21	0.00	1.21	0.0	1.21
01/03/2018	MONG, TRACY	PIONEER GROWTH OPPORTUNITIES A	0.00	4.80	0.00	4.80	0.0	4.80
01/03/2018	WEHRKAMP, REBECCA	PIONEER HIGH YIELD A	0.00	1.86	0.00	1.86	0.0	1.86
01/03/2018	STARNES, EDDIE	PIONEER HIGH YIELD A	0.00	1.46	0.00	1.46	0.0	1.46
01/03/2018	MATTHIEU, PAUL	PIONEER HIGH YIELD A	0.00	0.42	0.00	0.42	0.0	0.42

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: JAY MCCLELLAN #120 </u></b>								
01/03/2018	JONES, JACQUELINE	PIONEER HIGH YIELD A	0.00	1.82	0.00	1.82	0.0	1.82
01/03/2018	MONG, TRACY	PIONEER HIGH YIELD A	0.00	3.25	0.00	3.25	0.0	3.25
01/03/2018	O'NEAL, KELLY	PIONEER IBBOTSON MODERATE ALLOC A	0.00	0.60	0.00	0.60	0.0	0.60
01/03/2018	ZEHRINGER, SHARON	PIONEER INDEPENDENCE A	0.00	2.04	0.00	2.04	0.0	2.04
01/03/2018	DICKEY, CYNTHIA	PIONEER INDEPENDENCE A	0.00	4.90	0.00	4.90	0.0	4.90
01/03/2018	MONG, TRACY	PIONEER INDEPENDENCE A	0.00	6.59	0.00	6.59	0.0	6.59
01/03/2018	THOMPSON REYNOLDS JT TEN,	PIONEER INTERNATIONAL VALUE A	0.00	4.32	0.00	4.32	0.0	4.32
01/03/2018	ZEHRINGER, JAMES	PIONEER MID CAP VALUE A	0.00	3.53	0.00	3.53	0.0	3.53
01/03/2018	ARMSTRONG, TODD	PIONEER MULTI ASSET ULTRASHORT INCO	0.00	2.58	0.00	2.58	0.0	2.58
01/03/2018	DEAN, DUANE	PIONEER MULTI ASSET ULTRASHORT INCO	0.00	1.60	0.00	1.60	0.0	1.60
01/03/2018	MCCLELLAN, JAY	PIONEER MULTI-ASSET INC FUND A	0.00	0.31	0.00	0.31	0.0	0.31
01/03/2018	HOLTMAN, MICHAEL	PIONEER MULTI-ASSET REAL RETURN A	0.00	5.83	0.00	5.83	0.0	5.83
01/03/2018	MATTHIEU, PAUL	PIONEER MULTI-ASSET REAL RETURN A	0.00	6.10	0.00	6.10	0.0	6.10
01/03/2018	COOVER, GARY	PIONEER MULTI-ASSET REAL RETURN A	0.00	0.20	0.00	0.20	0.0	0.20
01/03/2018	MAYBERRY, JEFFREY	PIONEER MULTI-ASSET REAL RETURN A	0.00	6.07	0.00	6.07	0.0	6.07
01/03/2018	KEY, LARRY	PIONEER MULTI-ASSET REAL RETURN A	0.00	3.78	0.00	3.78	0.0	3.78
01/03/2018	PRICE, JOYCE	PIONEER MULTI-ASSET REAL RETURN A	0.00	4.31	0.00	4.31	0.0	4.31
01/03/2018	MINIX, DEBORAH	PIONEER MULTI-ASSET REAL RETURN A	0.00	1.49	0.00	1.49	0.0	1.49
01/03/2018	ZHAO, WENJUN	PIONEER MULTI-ASSET REAL RETURN A	0.00	0.85	0.00	0.85	0.0	0.85
01/03/2018	WEHRKAMP, REBECCA	PIONEER REAL ESTATE SHARES A	0.00	1.06	0.00	1.06	0.0	1.06
01/03/2018	DEXTER, ROCHELLE	PIONEER REAL ESTATE SHARES A	0.00	0.97	0.00	0.97	0.0	0.97
01/03/2018	JONES, JACQUELINE	PIONEER REAL ESTATE SHARES A	0.00	1.42	0.00	1.42	0.0	1.42
01/03/2018	O'NEAL, DEAN	PIONEER SOLUTIONS-GROWTH FUND A	0.00	0.63	0.00	0.63	0.0	0.63
12/27/2017	MANOS, SCOTT	PREFERRED APT CMNTYS INC COM	-4,075.36	22.00	22.50	-0.50	0.0	-0.50
01/08/2018	COOVER, SHEILA	PREFERRED APT CMNTYS INC COM	-5,677.50	22.00	22.50	-0.50	0.0	-0.50
12/31/2017	REVOCABLE, TRUST, SONDR	PRU AS ADVISOR PLAN II - 1996	144,558.21	271.05	0.00	271.05	0.0	271.05
12/31/2017	REVOCABLE, TRUST, SONDR	PRU AS ADVISOR PLAN II - 1996	144,558.21	90.35	0.00	90.35	0.0	90.35
12/31/2017	FORTKAMP, MARY	PRU AS XTRA CREDIT SIX	43,426.85	27.14	0.00	27.14	0.0	27.14
12/14/2017	FLORKEY, GENE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.20	0.00	2.20	0.0	2.20
12/14/2017	FLORKEY, GENE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.20	0.00	2.20	0.0	2.20
12/14/2017	ROE, CAROLYN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.90	0.00	2.90	0.0	2.90
12/14/2017	ROE, CAROLYN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.90	0.00	2.90	0.0	2.90
12/14/2017	COOVER, SHEILA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.30	0.00	3.30	0.0	3.30

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JAY MCCLELLAN #120</u></b>								
12/14/2017	COOVER, SHEILA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.30	0.00	3.30	0.0	3.30
12/20/2017	FLORKEY, GENE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	-2.20	0.00	-2.20	0.0	-2.20
12/20/2017	ROE, CAROLYN	RESOURCE R E DIVERSIFIED INC FUND A	0.00	-2.90	0.00	-2.90	0.0	-2.90
12/20/2017	COOVER, SHEILA	RESOURCE R E DIVERSIFIED INC FUND A	0.00	-3.30	0.00	-3.30	0.0	-3.30
* JAY MCCLELLAN SUBTOTAL *			\$342,948.08	\$769.45	\$89.00	\$680.45		\$680.45
<b><u>BROKER: TIMOTHY KULHANEK #145</u></b>								
12/15/2017	KRESSER, JOSEPH	FIDELITY ADVISOR LEVERAGED COMPANY	0.00	0.93	0.00	0.93	0.0	0.93
12/15/2017	HACHTEL, SHARON	FIDELITY ADVISOR LEVERAGED COMPANY	0.00	0.33	0.00	0.33	0.0	0.33
12/22/2017	JACKSON, MARISSA	FIRST EAGLE GLOBAL FUND CL A	0.00	0.38	0.00	0.38	0.0	0.38
12/22/2017	JACKSON, TONI	FIRST EAGLE GOLD FUND CL A	0.00	0.37	0.00	0.37	0.0	0.37
01/02/2018	CARLSON, JEANNE	OHIO NATL ONCORE LITE	16,617.45	41.54	0.00	41.54	0.0	41.54
01/03/2018	DAVIS, AUSTIN	PIONEER FUND A	0.00	1.81	0.00	1.81	0.0	1.81
12/21/2017	JACKSON, MARISSA	TEMPLETON GLOBAL BOND A	0.00	0.35	0.00	0.35	0.0	0.35
* TIMOTHY KULHANEK SUBTOTAL *			\$16,617.45	\$45.71	\$0.00	\$45.71		\$45.71
<b><u>BROKER: DAVID KOTZ #179</u></b>								
12/11/2017	VIGH, AGNES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.45	0.00	4.45	0.0	4.45
12/11/2017	NAGY, ZOLLAN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.99	0.00	0.99	0.0	0.99
12/11/2017	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	26.72	0.00	26.72	0.0	26.72
12/11/2017	MARGOLIN, BRUCE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.22	0.00	10.22	0.0	10.22
12/11/2017	WHITTLESEY, FRANK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	7.20	0.00	7.20	0.0	7.20
12/11/2017	VIGH, AGNES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.07	0.00	3.07	0.0	3.07
12/11/2017	NAGY, ZOLLAN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.92	0.00	0.92	0.0	0.92
12/11/2017	FRENCH, ELAINE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.73	0.00	2.73	0.0	2.73
12/11/2017	VIGH, AGNES	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	1.79	0.00	1.79	0.0	1.79
12/11/2017	NAGY, ZOLLAN	GABELLI SMALL CAP GROWTH FD CLASS C	0.00	2.45	0.00	2.45	0.0	2.45
12/11/2017	MARGOLIN, BRUCE	PRU JENNISON GROWTH	0.00	2.11	0.00	2.11	0.0	2.11
* DAVID KOTZ SUBTOTAL *			\$0.00	\$62.65	\$0.00	\$62.65		\$62.65
<b><u>BROKER: WILLIAM WALSH #187</u></b>								
12/14/2017	SENGSTOCK, KATHLEEN	**CALVER SMALL CAP FD	0.00	30.49	0.00	30.49	0.0	30.49
12/14/2017	SENGSTOCK, KATHLEEN	**CALVERT FD	0.00	3.11	0.00	3.11	0.0	3.11
12/11/2017	SENGSTOCK, KATHLEEN	**PAX FDS SER TR I	0.00	6.66	0.00	6.66	0.0	6.66
12/11/2017	WALSH, PATRICIA	ALLIANCE SMALLCAP	0.00	0.06	0.00	0.06	0.0	0.06

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: WILLIAM WALSH #187</u></b>								
12/11/2017	WALSH, PATRICIA	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.32	0.00	0.32	0.0	0.32
12/11/2017	WALSH, MEGAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.12	0.00	0.12	0.0	0.12
12/11/2017	DARGO, JILL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.35	0.00	0.35	0.0	0.35
12/11/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	16.40	0.00	16.40	0.0	16.40
12/11/2017	CHAWLA, MAHINDER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	36.22	0.00	36.22	0.0	36.22
12/11/2017	CHAWLA, SUVIRA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.79	0.00	12.79	0.0	12.79
12/11/2017	HAMM, MARK	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.49	0.00	4.49	0.0	4.49
12/11/2017	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.40	0.00	0.40	0.0	0.40
12/11/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	10.70	0.00	10.70	0.0	10.70
12/11/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.01	0.00	0.01	0.0	0.01
12/11/2017	CHAWLA, SUVIRA	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.99	0.00	2.99	0.0	2.99
12/11/2017	CHAWLA, SUVIRA	AM FUNDS SMALLCAP WORLD A	0.00	1.45	0.00	1.45	0.0	1.45
12/14/2017	SENGSTOCK, KATHLEEN	CALVERT BOND PORT A	0.00	9.06	0.00	9.06	0.0	9.06
12/11/2017	WALSH, MARIANNE	DAVIS NEW YORK VENTURE A	0.00	1.10	0.00	1.10	0.0	1.10
12/11/2017	MURPHY, DANIEL	DAVIS NEW YORK VENTURE A	0.00	10.55	0.00	10.55	0.0	10.55
12/11/2017	MURPHY, CAROL	DAVIS NEW YORK VENTURE A	0.00	1.33	0.00	1.33	0.0	1.33
12/11/2017	DARGO, JILL	DAVIS NEW YORK VENTURE A	0.00	0.34	0.00	0.34	0.0	0.34
12/11/2017	BOARD, MONTE	DAVIS NEW YORK VENTURE C	0.00	2.49	0.00	2.49	0.0	2.49
12/11/2017	HAMM, MARK	DAVIS NEW YORK VENTURE C	0.00	21.51	0.00	21.51	0.0	21.51
12/11/2017	WALSH, PATRICIA	DAVIS NEW YORK VENTURE C	0.00	1.88	0.00	1.88	0.0	1.88
12/06/2017	SENGSTOCK, KATHLEEN	EVENTIDE GILEAD FUND CLASS A	0.00	7.72	0.00	7.72	0.0	7.72
12/11/2017	CHAWLA, SUVIRA	FIRST EAGLE GLOBAL C	0.00	13.68	0.00	13.68	0.0	13.68
12/11/2017	WALSH, PATRICIA	FRANKLIN TEMPLETON FUNDS CONV SECUR	0.00	1.10	0.00	1.10	0.0	1.10
12/11/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	4.83	0.00	4.83	0.0	4.83
12/11/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	5.80	0.00	5.80	0.0	5.80
12/11/2017	MURPHY, DANIEL	JH REGIONAL BANK A	0.00	8.26	0.00	8.26	0.0	8.26
12/11/2017	DARGO, JILL	LORD ABBETT DEVELOPING GROWTH A	0.00	0.14	0.00	0.14	0.0	0.14
12/11/2017	CHAWLA, MAHINDER	LORD ABBETT DEVELOPING GROWTH FUND	0.00	27.65	0.00	27.65	0.0	27.65
12/11/2017	CHAWLA, SUVIRA	LORD ABBETT DEVELOPING GROWTH FUND	0.00	16.92	0.00	16.92	0.0	16.92
12/11/2017	WALSH, MARIANNE	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.14	0.00	1.14	0.0	1.14
12/11/2017	HAMM, MARK	OPPENHEIMER SMALL MID CAP VALUE C	0.00	15.28	0.00	15.28	0.0	15.28
12/31/2017	BOMSTA, MERIANNE	PRU AS ADVISOR PLAN II - 1996	10,466.28	6.54	0.00	6.54	0.0	6.54
12/31/2017	BOMSTA, MERIANNE	PRU AS ADVISOR PLAN II - 1996	10,466.28	6.54	0.00	6.54	0.0	6.54

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: WILLIAM WALSH #187 </u></b>								
12/31/2017	ORMISTON, BARBARA	PRU AS APEX II	58,014.03	145.04	0.00	145.04	0.0	145.04
12/31/2017	ORMISTON, BARBARA	PRU AS APEX II	58,014.03	36.26	0.00	36.26	0.0	36.26
12/31/2017	BJEDOV, MARK	PRU AS APEX II	14,064.02	35.16	0.00	35.16	0.0	35.16
12/31/2017	BJEDOV, MARK	PRU AS APEX II	14,064.02	8.79	0.00	8.79	0.0	8.79
12/31/2017	BOMSTA, MERIANNE	PRU AS XTRA CREDIT	54,572.48	34.11	0.00	34.11	0.0	34.11
12/11/2017	DARGO, JILL	THORNBURG INT'L VALUE A	0.00	0.09	0.00	0.09	0.0	0.09
12/11/2017	HAMM, MARK	THORNBURG INVT TR INTL VALUE FD CL	0.00	14.34	0.00	14.34	0.0	14.34
12/11/2017	WALSH, PATRICIA	THORNBURG INVT TR INTL VALUE FD CL	0.00	0.52	0.00	0.52	0.0	0.52
12/22/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.24	0.00	0.24	0.0	0.24
12/22/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.52	0.00	0.52	0.0	0.52
12/22/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	1.25	0.00	1.25	0.0	1.25
12/22/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.24	0.00	0.24	0.0	0.24
<b> * WILLIAM WALSH SUBTOTAL *</b>			<b>\$219,661.14</b>	<b>\$566.98</b>	<b>\$0.00</b>	<b>\$566.98</b>		<b>\$566.98</b>
<b><u> BROKER: MICHELE BOYER #189 </u></b>								
12/15/2017	FORBES, JEANNE	AM FUNDS AMCAP A	0.00	0.96	0.00	0.96	0.0	0.96
12/15/2017	FORBES, JEANNE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.62	0.00	0.62	0.0	0.62
12/15/2017	FORBES, JEANNE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.85	0.00	0.85	0.0	0.85
12/15/2017	FORBES, JEANNE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.10	0.00	1.10	0.0	1.10
12/15/2017	FORBES, JEANNE	AM FUNDS NEW WORLD A	0.00	0.70	0.00	0.70	0.0	0.70
12/11/2017	LILLIGRIDGE, PAMELA	MFS BOND A	0.00	0.16	0.00	0.16	0.0	0.16
12/11/2017	LILLIGRIDGE, PAMELA	MFS GOVERNMENT SECURITIES A	0.00	0.10	0.00	0.10	0.0	0.10
12/11/2017	LILLIGRIDGE, PAMELA	MFS INTERNATIONAL DISCOVERY A	0.00	0.07	0.00	0.07	0.0	0.07
12/11/2017	LILLIGRIDGE, PAMELA	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.16	0.00	0.16	0.0	0.16
12/11/2017	LILLIGRIDGE, PAMELA	MFS MASS INVESTORS GROWTH STOCK A	0.00	0.16	0.00	0.16	0.0	0.16
12/11/2017	LILLIGRIDGE, PAMELA	MFS MASS INVESTORS TRUST A	0.00	0.08	0.00	0.08	0.0	0.08
12/11/2017	LILLIGRIDGE, PAMELA	MFS MID-CAP VALUE FUND CLASS A	0.00	0.07	0.00	0.07	0.0	0.07
12/11/2017	LILLIGRIDGE, PAMELA	MFS TOTAL RETURN BOND FUND CLASS A	0.00	0.16	0.00	0.16	0.0	0.16
12/11/2017	LILLIGRIDGE, PAMELA	MFS VALUE A	0.00	0.69	0.00	0.69	0.0	0.69
12/19/2017	BOETTGER, JENNIFER	PIMCO INFLATION RESPONSE MULTI- ASS	0.00	9.96	0.00	9.96	0.0	9.96
<b> * MICHELE BOYER SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$15.84</b>	<b>\$0.00</b>	<b>\$15.84</b>		<b>\$15.84</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: THOMAS JAHNCKE #193 </u></b>								
12/11/2017	BRINSER, LISA	ALLIANCEBERNSTEIN GROWTH A	0.00	1.81	0.00	1.81	0.0	1.81
12/11/2017	BRINSER, LISA	ALLIANCEBERNSTEIN GROWTH AND INC A	0.00	1.34	0.00	1.34	0.0	1.34
12/18/2017	JAHNCKE, JUDY	ALLIANZGI NFJ MID- CAP VALUE FUND C	0.00	0.88	0.00	0.88	0.0	0.88
12/15/2017	LOTT FAMILY TRUST	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.23	0.00	1.23	0.0	1.23
12/15/2017	LOTT FAMILY TRUST	AM FUNDS CAPITAL WORLD BOND A	0.00	1.97	0.00	1.97	0.0	1.97
12/15/2017	RUMBLE TRUST, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	2.90	0.00	2.90	0.0	2.90
12/15/2017	RUMBLE TRUST, JUDITH	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.10	0.00	5.10	0.0	5.10
12/15/2017	LOTT FAMILY TRUST	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.35	0.00	1.35	0.0	1.35
12/22/2017	BRINSER, LISA	CALAMOS GLOBAL GROWTH & INCOME C	0.00	5.43	0.00	5.43	0.0	5.43
12/22/2017	JAHNCKE, JUDY	CALAMOS GROWTH A	0.00	2.52	0.00	2.52	0.0	2.52
12/22/2017	RUMBLE TRUST, JUDITH	CALAMOS GROWTH C	0.00	25.85	0.00	25.85	0.0	25.85
12/19/2017	JAHNCKE, JUDY	CARILLON CLARIVEST CAPITAL APPRECIA	0.00	2.00	0.00	2.00	0.0	2.00
12/22/2017	JAHNCKE, JUDY	DREYFUS RESEARCH GROWTH FUND CLASS	0.00	0.42	0.00	0.42	0.0	0.42
12/14/2017	JAHNCKE TRUST, JUDY	EATON VANCE FLOATING-RATE ADVANTAGE	0.00	4.81	0.00	4.81	0.0	4.81
12/14/2017	RUMBLE TRUST, JUDITH	FEDERATED EQUITY INCOME FUND CLASS	0.00	13.09	0.00	13.09	0.0	13.09
12/13/2017	RUMBLE TRUST, JUDITH	FORWARD REAL ESTATE LONG/SHORT FUND	0.00	1.03	0.00	1.03	0.0	1.03
12/13/2017	JAHNCKE TRUST, JUDY	FORWARD SELECT INCOME FUND CLASS C	0.00	8.54	0.00	8.54	0.0	8.54
12/13/2017	RUMBLE TRUST, JUDITH	FORWARD SELECT INCOME FUND CLASS C	0.00	14.35	0.00	14.35	0.0	14.35
12/18/2017	RUMBLE TRUST, JUDITH	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.71	0.00	0.71	0.0	0.71
12/18/2017	RUMBLE TRUST, JUDITH	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.12	0.00	2.12	0.0	2.12
12/19/2017	JAHNCKE TRUST, JUDY	MAINSTAY HIGH YIELD CORP BOND A	0.00	0.04	0.00	0.04	0.0	0.04
12/15/2017	JAHNCKE, JUDY	PUTNAM INVESTORS A	0.00	0.36	0.00	0.36	0.0	0.36
12/14/2017	JAHNCKE, JUDY	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	0.14	0.00	0.14	0.0	0.14
12/14/2017	JAHNCKE, JUDY	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	0.14	0.00	0.14	0.0	0.14
12/14/2017	JAHNCKE, JUDY	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	0.41	0.00	0.41	0.0	0.41
12/14/2017	JAHNCKE, JUDY	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	0.41	0.00	0.41	0.0	0.41
12/20/2017	JAHNCKE, JUDY	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	-0.14	0.00	-0.14	0.0	-0.14
12/20/2017	JAHNCKE, JUDY	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	-0.41	0.00	-0.41	0.0	-0.41
12/21/2017	RUMBLE TRUST, JUDITH	SIERRA CORE RETIREMENT FUND CLASS C	0.00	5.68	0.00	5.68	0.0	5.68
12/21/2017	BRINSER, LISA	TEMPLETON FOREIGN FUND C	0.00	3.28	0.00	3.28	0.0	3.28
12/12/2017	JAHNCKE TRUST, JUDY	THORNBURG INT'L VALUE A	0.00	6.89	0.00	6.89	0.0	6.89
12/12/2017	BRINSER, LISA	THORNBURG INVESTMENT INCOME BUILDER	0.00	2.20	0.00	2.20	0.0	2.20
12/12/2017	BRINSER, LISA	THORNBURG INVESTMENT INCOME BUILDER	0.00	4.77	0.00	4.77	0.0	4.77

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: THOMAS JAHNCKE #193</u></b>								
12/12/2017	JAHNCKE, JUDY	THORNBURG INVESTMENT INCOME BUILDER	0.00	0.88	0.00	0.88	0.0	0.88
12/12/2017	JAHNCKE, JUDY	THORNBURG INVT TR INTL VALUE FD CL	0.00	0.33	0.00	0.33	0.0	0.33
12/12/2017	JAHNCKE, JUDY	THORNBURG VALUE CL A	0.00	1.32	0.00	1.32	0.0	1.32
12/12/2017	BRINSER, LISA	THORNBURG VALUE CLASS C	0.00	2.48	0.00	2.48	0.0	2.48
12/12/2017	BRINSER, LISA	THORNBURG VALUE CLASS C	0.00	7.73	0.00	7.73	0.0	7.73
12/12/2017	JAHNCKE TRUST, JUDY	THORNBURG VALUE CLASS C	0.00	1.31	0.00	1.31	0.0	1.31
12/12/2017	JAHNCKE, JUDY	THORNBURG VALUE CLASS C	0.00	18.30	0.00	18.30	0.0	18.30
12/12/2017	RUMBLE TRUST, JUDITH	THORNBURG VALUE CLASS C	0.00	21.56	0.00	21.56	0.0	21.56
* THOMAS JAHNCKE SUBTOTAL *			\$0.00	\$175.13	\$0.00	\$175.13		\$175.13
<b><u>BROKER: MICHAEL PALMISANO #196</u></b>								
12/11/2017	VINCENT, JUDITH	FIDELITY BALANCED C	0.00	2.44	0.00	2.44	0.0	2.44
12/11/2017	VINCENT, JUDITH	FIDELITY INTL CAP APP C	0.00	8.33	0.00	8.33	0.0	8.33
12/31/2017	SUTTER, DANI-ANN	JNL PERSPECTIVE L	256,579.04	213.82	0.00	213.82	0.0	213.82
* MICHAEL PALMISANO SUBTOTAL *			\$256,579.04	\$224.59	\$0.00	\$224.59		\$224.59
<b><u>BROKER: MEREDITH MCCUTCHEN #221</u></b>								
12/15/2017	MCCUTCHEN, JERRY	AM FUNDS AMERICAN MUTUAL A	0.00	4.84	0.00	4.84	0.0	4.84
12/15/2017	MCCUTCHEN, DEBORAH	AM FUNDS AMERICAN MUTUAL A	0.00	4.95	0.00	4.95	0.0	4.95
12/15/2017	MCCUTCHEN, JERRY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.11	0.00	3.11	0.0	3.11
12/15/2017	MCCUTCHEN, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.13	0.00	3.13	0.0	3.13
12/15/2017	MCCUTCHEN, JERRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.34	0.00	5.34	0.0	5.34
12/15/2017	MCCUTCHEN, DEBORAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.47	0.00	5.47	0.0	5.47
12/15/2017	MCCUTCHEN, JERRY	AM FUNDS NEW PERSPECTIVE A	0.00	3.62	0.00	3.62	0.0	3.62
12/15/2017	MCCUTCHEN, DEBORAH	AM FUNDS NEW PERSPECTIVE A	0.00	3.64	0.00	3.64	0.0	3.64
12/14/2017	WEST, GLENDA	CALVERT SHORT DURATION INCOME FUND	0.00	4.54	0.00	4.54	0.0	4.54
12/11/2017	MCCUTCHEN, JERRY	DAVIS NEW YORK VENTURE A	0.00	0.09	0.00	0.09	0.0	0.09
12/21/2017	MCCUTCHEN, JERRY	OPPENHEIMER GLOBAL A	0.00	0.14	0.00	0.14	0.0	0.14
12/21/2017	MCCUTCHEN, JERRY	OPPENHEIMER MAIN STREET OPP A	0.00	0.23	0.00	0.23	0.0	0.23
12/21/2017	MCCUTCHEN, JERRY	OPPENHEIMER SMALL & MIDCAP GROWTH A	0.00	0.23	0.00	0.23	0.0	0.23
* MEREDITH MCCUTCHEN SUBTOTAL *			\$0.00	\$39.33	\$0.00	\$39.33		\$39.33

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: GREGORY FRIEL #223</u></b>								
12/13/2017	GAWEL, IRENE	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.55	0.00	0.55	0.0	0.55
12/13/2017	SAURMAN, PAMELA	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	1.17	0.00	1.17	0.0	1.17
12/14/2017	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	3.81	0.00	3.81	0.0	3.81
12/14/2017	GAWEL, IRENE	EATON VANCE GREATER INDIA FUND CLAS	0.00	1.83	0.00	1.83	0.0	1.83
12/15/2017	KNAPP TRUST, KEVIN	FIDELITY ADV STRATEGIC INCOME A	0.00	4.89	0.00	4.89	0.0	4.89
12/15/2017	FOUST, KRISTIN	FIDELITY ADV STRATEGIC INCOME A	0.00	2.93	0.00	2.93	0.0	2.93
12/15/2017	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	1.21	0.00	1.21	0.0	1.21
12/15/2017	GAWEL, IRENE	FIDELITY ADV STRATEGIC INCOME A	0.00	0.47	0.00	0.47	0.0	0.47
12/15/2017	SAURMAN, J DOUGLAS	FIDELITY ADV STRATEGIC INCOME A	0.00	5.01	0.00	5.01	0.0	5.01
12/15/2017	SAURMAN, J DOUGLAS	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	2.73	0.00	2.73	0.0	2.73
12/15/2017	SAURMAN, PAMELA	JOHN HANCOCK LIFESTYLE MODERATE POR	0.00	4.01	0.00	4.01	0.0	4.01
12/11/2017	FRIEL, JEREMY	MFS BOND A	0.00	1.18	0.00	1.18	0.0	1.18
12/11/2017	FRIEL, SHELLEY	MFS BOND A	0.00	0.22	0.00	0.22	0.0	0.22
12/11/2017	FRIEL, SHELLEY	MFS DIVERSIFIED INCOME A	0.00	0.81	0.00	0.81	0.0	0.81
12/11/2017	SAURMAN, PAMELA	MFS DIVERSIFIED INCOME A	0.00	0.92	0.00	0.92	0.0	0.92
12/11/2017	SAURMAN, PAMELA	MFS EMERGING MARKETS DEBT FUND A	0.00	0.88	0.00	0.88	0.0	0.88
12/11/2017	FRIEL, JEREMY	MFS EQUITY OPPORTUNITIES A	0.00	0.25	0.00	0.25	0.0	0.25
12/11/2017	FRIEL, JEREMY	MFS INTERNATIONAL DIVERSIFICATION A	0.00	0.26	0.00	0.26	0.0	0.26
12/11/2017	FOUST, KRISTIN	MFS INTERNATIONAL DIVERSIFICATION A	0.00	1.48	0.00	1.48	0.0	1.48
12/11/2017	GAWEL, IRENE	MFS INTERNATIONAL DIVERSIFICATION A	0.00	5.16	0.00	5.16	0.0	5.16
12/11/2017	FRIEL, JEREMY	MFS MODERATE ALLOCATION A	0.00	8.74	0.00	8.74	0.0	8.74
12/11/2017	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	0.24	0.00	0.24	0.0	0.24
12/11/2017	FRIEL, SHELLEY	MFS MODERATE ALLOCATION A	0.00	11.09	0.00	11.09	0.0	11.09
12/11/2017	GAWEL, IRENE	MFS MODERATE ALLOCATION A	0.00	3.32	0.00	3.32	0.0	3.32
12/11/2017	SAURMAN, J DOUGLAS	MFS MODERATE ALLOCATION A	0.00	2.40	0.00	2.40	0.0	2.40
12/11/2017	SAURMAN, PAMELA	MFS MODERATE ALLOCATION A	0.00	1.19	0.00	1.19	0.0	1.19
<b>* GREGORY FRIEL SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$66.75</b>	<b>\$0.00</b>	<b>\$66.75</b>		<b>\$66.75</b>
<b><u>BROKER: JAMES CRAWFORD #225</u></b>								
12/21/2017	CARRIKER, AARON	FRANKLIN MUTUAL BEACON C	0.00	3.03	0.00	3.03	0.0	3.03
12/31/2017	ROBERTS, KERMIT	JOHN HANCOCK VENTURE 2006	9,234.78	1.92	0.00	1.92	0.0	1.92
12/31/2017	ROBERTS, ELLEN	JOHN HANCOCK VENTURE 2006	7,348.78	1.53	0.00	1.53	0.0	1.53
<b>* JAMES CRAWFORD SUBTOTAL *</b>			<b>\$16,583.56</b>	<b>\$6.48</b>	<b>\$0.00</b>	<b>\$6.48</b>		<b>\$6.48</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: CARL WORDEN III #232 </u></b>								
12/31/2017	ALVAREZ, ANTONIO	JNL PERSPECTIVE II 05/05	10,800.02	9.00	0.00	9.00	0.0	9.00
		* CARL WORDEN III SUBTOTAL *	\$10,800.02	\$9.00	\$0.00	\$9.00		\$9.00
<b><u> BROKER: WILLIAM THOMAS #239 </u></b>								
12/31/2017	LUKE, CECILIA	LINCOLN BENEFIT LIFE	0.00	276.05	0.00	276.05	0.0	276.05
12/28/2017	WOJCICKIJ, TARAS	NWL NEXT GEN II FP VUL	300.00	6.00	0.00	6.00	0.0	6.00
01/03/2018	GILSON, ANN	PIONEER VALUE A	0.00	30.77	0.00	30.77	0.0	30.77
		* WILLIAM THOMAS SUBTOTAL *	\$300.00	\$312.82	\$0.00	\$312.82		\$312.82
<b><u> BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240 </u></b>								
12/29/2017	POLL, KELLY	ALLIANZ VISION BASIC	79,518.56	99.40	0.00	99.40	0.0	99.40
12/30/2017	REYNOLDS, BARBARA	NW DESTINATION B2 05	53,110.27	66.39	0.00	66.39	0.0	66.39
01/02/2018	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	350.00	1.75	0.00	1.75	0.0	1.75
01/03/2018	ONDOSKEY, TARA	NWL YOURLIFE ACCUM VUL	125.00	1.25	0.00	1.25	0.0	1.25
/ /	CROCKETT, DAVID	OPPENHEIMER DEVELOPING MARKETS C	0.00	8.97	0.00	8.97	0.0	8.97
/ /	CROCKETT, DAVID	OPPENHEIMER GLOBAL OPPORTUNITIES C	0.00	19.84	0.00	19.84	0.0	19.84
12/18/2017	JOHNSTONE, WILLIAM	PAC LIFE VUL	0.00	1.52	0.00	1.52	0.0	1.52
		* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *	\$133,103.83	\$199.12	\$0.00	\$199.12		\$199.12
<b><u> BROKER: L149-ROBERT LUCHSINGER #388 </u></b>								
12/31/2017	MANNETTA, VIRGINIA	JOHN HANCOCK LIFE INS CO	122,499.10	102.08	0.00	102.08	0.0	10.21
12/18/2017	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	4.26	0.00	4.26	0.0	0.43
12/18/2017	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	3.42	0.00	3.42	0.0	0.34
12/21/2017	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.72	0.00	2.72	0.0	0.27
12/24/2017	LOITSCH, ROBERT	ING EMPIRE INNOVATIONS STD OPT A	0.00	352.77	0.00	352.77	0.0	35.28
12/21/2017	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	5.82	0.00	5.82	0.0	0.58
12/21/2017	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.04	0.00	1.04	0.0	0.10
12/21/2017	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.31	0.00	1.31	0.0	0.13
12/21/2017	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.62	0.00	0.62	0.0	0.06
12/21/2017	GARDNER, MARILYN	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	5.11	0.00	5.11	0.0	0.51
12/21/2017	HESS, RALPH	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	4.82	0.00	4.82	0.0	0.48
12/21/2017	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	8.74	0.00	8.74	0.0	0.87
12/21/2017	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.80	0.00	1.80	0.0	0.18
12/21/2017	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	4.77	0.00	4.77	0.0	0.48
12/11/2017	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.15	0.00	0.15	0.0	0.02

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: L149-ROBERT LUCHSINGER #388</u></b>								
12/11/2017	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.57	0.00	0.57	0.0	0.06
12/11/2017	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	4.89	0.00	4.89	0.0	0.49
12/11/2017	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	2.03	0.00	2.03	0.0	0.20
12/11/2017	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.71	0.00	0.71	0.0	0.07
12/11/2017	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.10	0.00	1.10	0.0	0.11
<b>* L149-ROBERT LUCHSINGER SUBTOTAL *</b>			<b>\$122,499.10</b>	<b>\$508.73</b>	<b>\$0.00</b>	<b>\$508.73</b>		<b>\$50.87</b>
<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>			<b>\$4,621,068.64</b>	<b>\$8,412.49</b>	<b>\$103.00</b>	<b>\$8,309.49</b>		<b>\$7,851.63</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$4,499.70
Split Transactions	\$0.00
Override Transactions	\$7,851.63
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$12,351.33
Year-to-date Gross	\$4,879.70
Year-to-date Earnings	\$12,351.33

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed