



Concorde Investment Services, LLC
COMMISSION STATEMENT
July 15, 2017

07/12/2017
Page No. 1

JOEL WOODS
1412 Blue Quail Run

Crowley, TX 76036-

BROKER #: W143
BRANCH: WOODS FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
06/22/2017	PHIPPS, CHRISTEEN	CARTER VALIDUS MC REIT II CLASS T	0.00	13.70	0.00	13.70	92.0	12.60
06/22/2017	PHIPPS, LESLIE	CARTER VALIDUS MC REIT II CLASS T	0.00	29.10	0.00	29.10	92.0	26.77
06/22/2017	SHERRIEB, HAROLD	CARTER VALIDUS MC REIT II CLASS T	0.00	64.20	0.00	64.20	92.0	59.06
06/22/2017	MCELVAIN, TED	CARTER VALIDUS MC REIT II CLASS T	0.00	9.77	0.00	9.77	92.0	8.99
* REIT SUBTOTAL *			\$0.00	\$116.77	\$0.00	\$116.77		\$107.42
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/27/2017	ST JOHN, FINN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	90.0	1.92
06/27/2017	WILSON, TED	AM FUNDS CAPITAL WORLD GRTH & INC C	2,009.87	20.10	0.00	20.10	90.0	18.09
07/03/2017	GIBSON, TIMOTHY	AM FUNDS GROWTH FUND OF AMERICA 529	125.00	6.25	0.00	6.25	90.0	5.63
06/26/2017	GRAVES, BENJAMIN	AMERICAN FUNDS COLLEGE 2033 FUND-52	40.00	1.40	0.00	1.40	90.0	1.26
07/03/2017	ST JOHN, FINN	AMERICAN FUNDS COLLEGE 2033 FUND-52	100.00	3.50	0.00	3.50	90.0	3.15
06/09/2017	HICKMAN, FRANCES	DELAWARE TAX FREE USA A	0.00	8.72	0.00	8.72	90.0	7.85
06/09/2017	MCELVAIN, TED	FIRST EAGLE GLOBAL FUND CL A	0.00	7.19	0.00	7.19	90.0	6.47
06/09/2017	TMICO TRUST CO	FIRST EAGLE GOLD FUND CLASS C	0.00	6.45	0.00	6.45	90.0	5.81
06/09/2017	HICKMAN, FRANCES	FRANKLIN FED TAX FREE INCOME A	0.00	12.60	0.00	12.60	90.0	11.34
06/09/2017	DOUGAN, KENNETH	FRANKLIN INCOME A	0.00	0.49	0.00	0.49	90.0	0.44
06/09/2017	RICHTER, ROBERT	HARTFORD MIDCAP A	0.00	0.17	0.00	0.17	90.0	0.15
06/02/2017	THREADGILL, VICKY	INVESCO BALANCED-RISK ALLOCATION-C	1,000.00	0.00	0.00	0.00	90.0	0.00
06/07/2017	THREADGILL, VICKY	INVESCO BALANCED-RISK ALLOCATION-C	10,000.00	0.00	0.00	0.00	90.0	0.00
06/08/2017	THREADGILL, HEATHER	INVESCO BALANCED-RISK ALLOCATION-C	5,000.00	0.00	0.00	0.00	90.0	0.00
06/26/2017	HAMPTON, JARROTT	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
06/30/2017	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	125.00	1.25	0.00	1.25	90.0	1.13
06/30/2017	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	75.00	0.75	0.00	0.75	90.0	0.68
06/30/2017	MCELVAIN, CHAD	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
06/30/2017	MCELVAIN, CHAD	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
06/30/2017	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/30/2017	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	50.00	0.50	0.00	0.50	90.0	0.45
/ /	RECTOR, ABIGAIL	JH 529 PORT 2017-2020 A	0.00	1.38	0.00	1.38	90.0	1.24
/ /	RECTOR, MADELINE	JH 529 PORT 2017-2020 A	0.00	1.38	0.00	1.38	90.0	1.24
07/01/2017	THREADGILL, VICKY	MFS CORE EQUITY A	0.00	2.69	0.00	2.69	90.0	2.42
07/01/2017	THREADGILL, VICKY	MFS TOTAL RETURN A	0.00	5.27	0.00	5.27	90.0	4.74
06/09/2017	CROSS, BETTY	MFS UTILITIES A	0.00	3.69	0.00	3.69	90.0	3.32
06/09/2017	CONDRAY, GADA	OPPENHEIMER STEELPATH MLP ALPHA FD	0.00	4.93	0.00	4.93	90.0	4.44
06/02/2017	CONDRAY, GADA	PIMCO INCOME FUND C	2,500.00	25.00	0.00	25.00	90.0	22.50
07/03/2017	VECCHIO, SANDRA	PUTNAM GROWTH OPP A	0.00	1.50	0.00	1.50	90.0	1.35
06/09/2017	CONDRAY, GADA	TRANSAMERICA MLP & ENERGY INC - C	0.00	5.79	0.00	5.79	90.0	5.21
07/05/2017	RADEMAKERS, DAVID	TRANSAMERICA MLP & ENERGY INC - C	0.00	4.51	0.00	4.51	90.0	4.06
07/05/2017	RADEMAKERS, DAVID	TRANSAMERICA MLP & ENERGY INC - C	0.00	16.72	0.00	16.72	90.0	15.05
07/05/2017	U/A DTD 07-19-2007	TRANSAMERICA MLP & ENERGY INC - C	0.00	12.79	0.00	12.79	90.0	11.51
* MUTUAL FUNDS SUBTOTAL *			\$21,274.87	\$159.15	\$0.00	\$159.15		\$143.25
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/30/2017	THREADGILL, VICKY	AXA ACCUM 07 ELITE (RO IRA)	30,426.62	76.07	0.00	76.07	90.0	68.46
06/30/2017	PROPST, MARGARETT	HARTFORD LEADERS OUTLOOK	14,748.25	36.87	0.00	36.87	90.0	33.18
06/30/2017	RICHTER, ROBERT	HARTFORD LEADERS OUTLOOK	7,211.29	18.03	0.00	18.03	90.0	16.23
06/30/2017	HENSLEE, CHARLES	JNL PERSPECTIVE	9,195.07	1.92	0.00	1.92	90.0	1.73
06/30/2017	GORDON, JEFFREY	JNL PERSPECTIVE II 04/14	97,959.28	40.82	0.00	40.82	90.0	36.74
06/30/2017	CADY, KENDA	JNL PERSPECTIVE L	27,553.73	29.85	0.00	29.85	90.0	26.87
06/30/2017	HEIL, ROBERT	JNL PERSPECTIVE L	55,480.63	60.10	0.00	60.10	90.0	54.09
06/30/2017	PARKIN, DAVID	JNL PERSPECTIVE L	63,662.41	68.97	0.00	68.97	90.0	62.07
06/30/2017	TOMLINSON, HAROLD	JNL PERSPECTIVE L	111,847.87	121.17	0.00	121.17	90.0	109.05
06/30/2017	MARKS, FRANCES	JNL PERSPECTIVE L	46,158.06	50.00	0.00	50.00	90.0	45.00
06/30/2017	MARKS, RICHARD	JNL PERSPECTIVE L	46,254.39	50.11	0.00	50.11	90.0	45.10
06/30/2017	SENESE, CATHERINE	JNL PERSPECTIVE L	6,630.16	7.18	0.00	7.18	90.0	6.46
06/30/2017	HARVEY, HELEN	JNL PERSPECTIVE L	88,915.21	96.32	0.00	96.32	90.0	86.69
06/30/2017	SENESE, CARMEN	JNL PERSPECTIVE L	21,595.18	23.39	0.00	23.39	90.0	21.05
06/30/2017	HARVEY, JESSE	JNL PERSPECTIVE L	108,313.15	117.34	0.00	117.34	90.0	105.61
06/30/2017	PERRY, JANINE	JNL PERSPECTIVE L	18,034.83	19.54	0.00	19.54	90.0	17.59
06/30/2017	LILES, ROBERT	JOHN HANCOCK VENTURE III	192,747.58	160.62	0.00	160.62	90.0	144.56
06/30/2017	GRAVITT, ELIZABETH	JOHN HANCOCK VENTURE III	70,355.37	58.63	0.00	58.63	90.0	52.77

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
		* VARIABLE ANNUITIES SUBTOTAL *	\$1,017,089.08	\$1,036.93	\$0.00	\$1,036.93		\$933.25
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/30/2017	WOODS, VARIOUS	LFG TRAILS	0.00	472.96	0.00	472.96	90.0	425.66
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$472.96	\$0.00	\$472.96		\$425.66
		*** BROKER TRANSACTIONS TOTAL ***	\$1,038,363.95	\$1,785.81	\$0.00	\$1,785.81		\$1,609.58

VERRIDE TRANSACTIONS**BROKER: MARK-S141 REG SMITH #367**

06/30/2017	WISE, LUCILE	LFG TRAILS	0.00	231.68	0.00	231.68	48.0	97.31
06/09/2017	BOWLAND, BOBBY	AM FUNDS AMERICAN MUTUAL A	0.00	3.89	0.00	3.89	48.0	1.63
06/09/2017	CHAPMAN, KENNETH	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.03
06/09/2017	CHAPMAN, TERRYALIN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.08	0.00	0.08	48.0	0.03
06/09/2017	BOWLAND, BOBBY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.29	0.00	6.29	48.0	2.64
06/09/2017	BOWLAND, BOBBY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.45	0.00	3.45	48.0	1.45
06/09/2017	BRIDGES, JANIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.50	0.00	1.50	48.0	0.63
06/09/2017	BOWLAND, BOBBY	AM FUNDS ICA A	0.00	3.78	0.00	3.78	48.0	1.59
06/09/2017	CHAPMAN, KENNETH	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.15	0.00	0.15	48.0	0.06
06/09/2017	CHAPMAN, TERRYALIN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.15	0.00	0.15	48.0	0.06
06/09/2017	BRIDGES, JANIS	AM FUNDS INCOME FUND OF AMERICA A	0.00	2.49	0.00	2.49	48.0	1.05
06/09/2017	BOWLAND, BOBBY	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.30	0.00	3.30	48.0	1.39
06/09/2017	CHAPMAN, KENNETH	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.07	0.00	0.07	48.0	0.03
06/09/2017	CHAPMAN, TERRYALIN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.07	0.00	0.07	48.0	0.03
06/09/2017	CHAPMAN, KENNETH	AM FUNDS NEW PERSPECTIVE A	0.00	0.19	0.00	0.19	48.0	0.08
06/09/2017	CHAPMAN, TERRYALIN	AM FUNDS NEW PERSPECTIVE A	0.00	0.19	0.00	0.19	48.0	0.08
06/30/2017	WU, PEILI	AXA 00246Q312	115,653.83	289.13	0.00	289.13	48.0	121.43
06/30/2017	GODWIN, SANDRA	HARTFORD PHCM V	13,842.21	13.84	0.00	13.84	48.0	5.81
06/30/2017	RHODES, VIRGINIA	JNL ELITE ACCESS	73,525.40	15.32	0.00	15.32	48.0	6.43
06/30/2017	NOBLES, VERLIE	JNL PERSPECTIVE L	30,335.90	32.86	0.00	32.86	48.0	13.80
06/30/2017	SPURGIN, BRENT	JNL PERSPECTIVE L	37,429.67	40.55	0.00	40.55	48.0	17.03
06/30/2017	GODWIN, SANDRA	JNL PERSPECTIVE L	146,918.93	159.16	0.00	159.16	48.0	66.85
06/30/2017	WALLACE, DEBORREH	JNL PERSPECTIVE L	80,472.72	67.06	0.00	67.06	48.0	28.17
06/30/2017	NOBLES, VERLIE	JOHN HANCOCK VENTURE III	28,640.56	23.87	0.00	23.87	48.0	10.03

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARK-S141 REG SMITH #367</u>								
/ /	ORR, RICHARD	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	5.16	0.00	5.16	48.0	2.17
06/09/2017	WARD, SHERRY	OPPENHEIMER MAIN STREET OPP A	0.00	0.98	0.00	0.98	48.0	0.41
06/30/2017	JEFFERS, LARRY	PRU AS APEX II	116,697.85	72.94	0.00	72.94	48.0	30.63
06/30/2017	JEFFERS, LARRY	PRU AS APEX II	116,697.85	291.74	0.00	291.74	48.0	122.53
07/03/2017	HORNE, CHARLES	PUTNAM EQUITY INCOME A	0.00	3.01	0.00	3.01	48.0	1.26
07/03/2017	HORNE, CHARLES	PUTNAM GLOBAL HEALTH CARE A	0.00	3.03	0.00	3.03	48.0	1.27
07/03/2017	HORNE, CHARLES	PUTNAM HIGH YIELD ADVANTAGE A	0.00	1.44	0.00	1.44	48.0	0.60
07/03/2017	HORNE, CHARLES	PUTNAM HIGH YIELD TRUST A	0.00	0.85	0.00	0.85	48.0	0.36
06/09/2017	NICODIN, EARL	PUTNAM INVESTORS A	0.00	0.83	0.00	0.83	48.0	0.35
07/03/2017	HORNE, CHARLES	PUTNAM MULTI-CAP VALUE A	0.00	2.79	0.00	2.79	48.0	1.17
07/03/2017	AARY, HARLEY	PUTNAM SMALL CAP GROWTH-A	0.00	0.28	0.00	0.28	48.0	0.12
07/03/2017	HORNE, CHARLES	PUTNAM SMALL CAP VALUE A	0.00	0.98	0.00	0.98	48.0	0.41
07/03/2017	HORNE, CHARLES	PUTNAM U.S. GOVT INCOME TR-A	0.00	0.48	0.00	0.48	48.0	0.20
/ /	BONGIORNO, JANET	RYDEX BIOTECHNOLOGY A	0.00	0.04	0.00	0.04	48.0	0.02
/ /	BONGIORNO, JANET	RYDEX GUGG REAL ESTATE A	0.00	0.09	0.00	0.09	48.0	0.04
/ /	BONGIORNO, JANET	RYDEX GUGGENHEIM UTILITIES A	0.00	0.07	0.00	0.07	48.0	0.03
/ /	BONGIORNO, JANET	RYDEX HEALTH CARE-A	0.00	0.04	0.00	0.04	48.0	0.02
06/29/2017	BURNS, ANNE	SEC BEN 814121158	36,894.52	30.73	0.00	30.73	48.0	12.91
07/05/2017	RADEMAKERS, DAVID	TRANSAMERICA TACTICAL INCOME C	0.00	10.74	0.00	10.74	48.0	4.51
07/05/2017	HARRIS, GENIVA	TRANSAMERICA TACTICAL INCOME C	0.00	4.22	0.00	4.22	48.0	1.77
* MARK-S141 REG SMITH SUBTOTAL *			\$797,109.44	\$1,329.59	\$0.00	\$1,329.59		\$558.42
<u>BROKER: MARK-S142 ALTS SMITH #368</u>								
06/30/2017	WU, PEILI	COTTONWOOD RESIDENTIAL 2016 PREFR'D	50,000.00	3,500.00	0.00	3,500.00	49.0	1,505.00
* MARK-S142 ALTS SMITH SUBTOTAL *			\$50,000.00	\$3,500.00	\$0.00	\$3,500.00		\$1,505.00
*** OVERRIDE TRANSACTIONS TOTAL ***			\$847,109.44	\$4,829.59	\$0.00	\$4,829.59		\$2,063.42

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<u>ADJUSTMENTS</u>								
07/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
07/15/2017		*MISC: W/O DB BAL 7A5030011	0.00					-100.00
07/15/2017		*MISC: W/O DB BAL 7A5030031	0.00					-100.00
07/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-775.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,609.58
Split Transactions	\$0.00
Override Transactions	\$2,063.42
Adjustments	\$-775.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,898.00
Year-to-date Gross	\$42,060.01
Year-to-date Earnings	\$77,518.66

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