



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**February 15, 2017**

02/14/2017  
Page No. 1

**JAMES MAHER**  
3082 Quail Ridge Circle  
  
Rochester Hills, MI 48309-

**BROKER #: M127**  
BRANCH: JAMES MAHER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: REIT</u></b>								
01/23/2017	TACCONELLI, JOHN	MOODY NATIONAL REIT II	32,000.00	2,240.00	0.00	2,240.00	90.0	2,016.00
		<b>* REIT SUBTOTAL *</b>	<b>\$32,000.00</b>	<b>\$2,240.00</b>	<b>\$0.00</b>	<b>\$2,240.00</b>		<b>\$2,016.00</b>
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
12/31/2016	BROWN, MICHAEL	GRIFFIN INST'L ACCESS R E FUND	0.00	14.92	0.00	14.92	90.0	13.43
12/31/2016	URSO, DOREEN	GRIFFIN INST'L ACCESS R E FUND	0.00	14.92	0.00	14.92	90.0	13.43
		<b>* INTERVAL FUNDS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$29.84</b>	<b>\$0.00</b>	<b>\$29.84</b>		<b>\$26.86</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/18/2017	MAHER, HENRY	AM CENT CORPORATE BOND	0.00	27.20	0.00	27.20	90.0	24.48
01/18/2017	MAHER, HENRY	AM CENT EQUITY INCOME	0.00	30.34	0.00	30.34	90.0	27.31
01/18/2017	NUNEZ TRUST, LYNDIA	AM CENT MUTUAL GROWTH	0.00	50.78	0.00	50.78	90.0	45.70
01/18/2017	NUNEZ TRUST, LYNDIA	AM CENT MUTUAL GROWTH	0.00	16.93	0.00	16.93	90.0	15.24
01/18/2017	MAHER, HENRY	AM CENTURY EQUITY INCOME	0.00	0.86	0.00	0.86	90.0	0.77
01/18/2017	MAHER, HENRY	AM CENTURY EQUITY INCOME	0.00	0.29	0.00	0.29	90.0	0.26
01/18/2017	COLASUONNO, RALPH	AM CENTURY EQUITY INCOME	0.00	0.02	0.00	0.02	90.0	0.02
01/18/2017	COLASUONNO, RALPH	AM CENTURY EQUITY INCOME	0.00	0.06	0.00	0.06	90.0	0.05
01/18/2017	LUJAN, DEBORAH	AM CENTURY EQUITY INCOME	0.00	0.11	0.00	0.11	90.0	0.10
01/18/2017	LUJAN, DEBORAH	AM CENTURY EQUITY INCOME	0.00	0.04	0.00	0.04	90.0	0.04
01/18/2017	LUJAN-LABADIE, JENNIFER	AM CENTURY EQUITY INCOME	0.00	0.46	0.00	0.46	90.0	0.41
01/18/2017	LUJAN-LABADIE, JENNIFER	AM CENTURY EQUITY INCOME	0.00	0.15	0.00	0.15	90.0	0.14
01/18/2017	HICKSON, ANDREW	AM CENTURY EQUITY INCOME	0.00	0.17	0.00	0.17	90.0	0.15
01/18/2017	HICKSON, ANDREW	AM CENTURY EQUITY INCOME	0.00	0.52	0.00	0.52	90.0	0.47
01/18/2017	MARIN REV TRUST, NICHOLAS	AM CENTURY EQUITY INCOME	0.00	0.35	0.00	0.35	90.0	0.32
01/18/2017	MARIN REV TRUST, NICHOLAS	AM CENTURY EQUITY INCOME	0.00	0.12	0.00	0.12	90.0	0.11
01/18/2017	EBERHARD, DAVID	AM CENTURY EQUITY INCOME	0.00	0.02	0.00	0.02	90.0	0.02
01/18/2017	EBERHARD, DAVID	AM CENTURY EQUITY INCOME	0.00	0.05	0.00	0.05	90.0	0.05

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/18/2017	SCACCIA, KELLY	AM CENTURY EQUITY INCOME	0.00	0.10	0.00	0.10	90.0	0.09
01/18/2017	SCACCIA, KELLY	AM CENTURY EQUITY INCOME	0.00	0.03	0.00	0.03	90.0	0.03
01/18/2017	WOLVERINE HUMAN SCV	AM CENTURY EQUITY INCOME	0.00	1.95	0.00	1.95	90.0	1.76
01/18/2017	WOLVERINE HUMAN SCV	AM CENTURY EQUITY INCOME	0.00	0.65	0.00	0.65	90.0	0.59
01/18/2017	LINDSEY LIV TRUST, CHARLES &	AM CENTURY EQUITY INCOME	0.00	0.16	0.00	0.16	90.0	0.14
01/18/2017	LINDSEY LIV TRUST, CHARLES &	AM CENTURY EQUITY INCOME	0.00	0.49	0.00	0.49	90.0	0.44
01/18/2017	KESSOR, LAURA	AM CENTURY EQUITY INCOME	0.00	0.65	0.00	0.65	90.0	0.59
01/18/2017	KESSOR, LAURA	AM CENTURY EQUITY INCOME	0.00	0.22	0.00	0.22	90.0	0.20
02/01/2017	O'CONNOR, AARON	AM FUNDS AMCAP 529C	25.00	0.25	0.00	0.25	90.0	0.23
02/01/2017	O'CONNOR, AARON	AM FUNDS AMCAP 529C	25.00	0.25	0.00	0.25	90.0	0.23
01/11/2017	LINDSEY, CHARLES	AM FUNDS AMCAP A	0.00	1.66	0.00	1.66	90.0	1.49
01/11/2017	LINDSEY, CHARLES	AM FUNDS AMCAP A	0.00	0.52	0.00	0.52	90.0	0.47
01/11/2017	SIMON, MICHAEL	AM FUNDS AMCAP C	0.00	6.39	0.00	6.39	90.0	5.75
01/11/2017	MAHER, MAIGHDLIN	AM FUNDS AMCAP C	0.00	1.36	0.00	1.36	90.0	1.22
01/11/2017	PALMER, TODD	AM FUNDS AMCAP C	0.00	20.63	0.00	20.63	90.0	18.57
01/11/2017	PIZZO, SALVATORE	AM FUNDS AMERICAN BALANCED C	0.00	3.08	0.00	3.08	90.0	2.77
01/11/2017	PALMERI, DANIEL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.37	0.00	0.37	90.0	0.33
01/11/2017	GILLESPIE, HEATHER	AM FUNDS BOND FUND OF AMERICA A	0.00	4.29	0.00	4.29	90.0	3.86
01/11/2017	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.18	0.00	2.18	90.0	1.96
01/11/2017	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.83	0.00	2.83	90.0	2.55
01/26/2017	PALMER, ROBERT	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
01/27/2017	PALMER, ROBERT	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
01/30/2017	PALMER, ROBERT	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
01/30/2017	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
01/30/2017	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
02/01/2017	PALMER, ROBERT	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
02/01/2017	HICKSON, MARK	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
02/01/2017	MARKHAM, CLAIRE	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
02/01/2017	JACK, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
02/02/2017	PALMER, ROBERT	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
02/03/2017	PALMER, ROBERT	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
01/11/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.77	0.00	1.77	90.0	1.59
01/11/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.83	0.00	1.83	90.0	1.65

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/13/2017	MARINI, ZAREFA	AM FUNDS CAPITAL INCOME BUILDER A	300.00	0.00	0.00	0.00	90.0	0.00
01/11/2017	PROKOP, STANLEY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	127.56	0.00	127.56	90.0	114.80
01/11/2017	KOTT, DIANE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.27	0.00	5.27	90.0	4.74
01/11/2017	STONE, EDWARD	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.06	0.00	11.06	90.0	9.95
01/11/2017	O'CONNOR, HOLLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.87	0.00	5.87	90.0	5.28
01/11/2017	O'DONNELL, JUDITH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.10	0.00	8.10	90.0	7.29
01/11/2017	BUSSELL, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.67	0.00	5.67	90.0	5.10
01/11/2017	MAHER, HENRY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	35.48	0.00	35.48	90.0	31.93
01/11/2017	FRASER, SHARON	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.53	0.00	8.53	90.0	7.68
01/11/2017	ZANONI, CARRIE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.47	0.00	5.47	90.0	4.92
01/11/2017	QUEDNAU, KELLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	32.71	0.00	32.71	90.0	29.44
01/11/2017	HEIDLOFF, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER C	0.00	3.23	0.00	3.23	90.0	2.91
01/11/2017	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER C	0.00	4.15	0.00	4.15	90.0	3.74
01/11/2017	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.80	0.00	6.80	90.0	6.12
01/11/2017	MAHER, KEIRA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.47	0.00	1.47	90.0	1.32
01/11/2017	MARIN REV TRUST, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER C	0.00	10.83	0.00	10.83	90.0	9.75
01/11/2017	PIZZO, REBECCA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.16	0.00	5.16	90.0	4.64
01/11/2017	FOSTER SP TRUST, PATRICIA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	2.37	0.00	2.37	90.0	2.13
01/11/2017	NUNEZ TRUST, LYNDIA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	22.47	0.00	22.47	90.0	20.22
01/11/2017	EBERHARD, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.54	0.00	8.54	90.0	7.69
01/11/2017	GUMBLETON, CARRIE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.70	0.00	9.70	90.0	8.73
01/11/2017	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.14	0.00	6.14	90.0	5.53
01/11/2017	HENKE, FRANK	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.72	0.00	11.72	90.0	10.55
01/11/2017	KORESKY, JOHN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	4.65	0.00	4.65	90.0	4.19
01/11/2017	WOLVERINE HUMAN SCV	AM FUNDS CAPITAL INCOME BUILDER C	0.00	109.27	0.00	109.27	90.0	98.34
01/11/2017	VIAR, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.57	0.00	1.57	90.0	1.41
01/11/2017	PEVOS, DEBRA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	10.72	0.00	10.72	90.0	9.65
01/11/2017	PLASTIRAS, JULIANA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	10.22	0.00	10.22	90.0	9.20
01/11/2017	MARKHAM, ELIZABETH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.71	0.00	1.71	90.0	1.54
01/25/2017	FLEURY, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	25,000.00	250.00	0.00	250.00	90.0	225.00
01/11/2017	BANNICK, ROBERT	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.17	0.00	1.17	90.0	1.05
01/11/2017	PIZZO, MICHELE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.72	0.00	1.72	90.0	1.55
01/11/2017	VIAR, DAVID	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.14	0.00	0.14	90.0	0.13

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/11/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL WORLD BOND A	0.00	1.13	0.00	1.13	90.0	1.02
02/01/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	25.00	0.25	0.00	0.25	90.0	0.23
02/01/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	25.00	0.25	0.00	0.25	90.0	0.23
02/01/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	0.50	0.00	0.50	90.0	0.45
01/11/2017	PALMERI, DANIEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.61	0.00	0.61	90.0	0.55
01/11/2017	OLIVER, WILLIAM	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.14	0.00	0.14	90.0	0.13
01/11/2017	HOPPE, KYLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.56	0.00	1.56	90.0	1.40
01/11/2017	JACK, STANLEY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	16.65	0.00	16.65	90.0	14.99
01/11/2017	LOUGHLIN, DENNIS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.82	0.00	1.82	90.0	1.64
01/11/2017	MAHER, HENRY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	18.51	0.00	18.51	90.0	16.66
01/11/2017	QUEDNAU, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	74.72	0.00	74.72	90.0	67.25
01/11/2017	LUJAN, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	6.57	0.00	6.57	90.0	5.91
01/11/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	3.57	0.00	3.57	90.0	3.21
01/11/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.02	0.00	1.02	90.0	0.92
01/11/2017	MARKHAM, JASON	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.78	0.00	4.78	90.0	4.30
01/11/2017	BANNICK, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	8.08	0.00	8.08	90.0	7.27
01/11/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.20	0.00	4.20	90.0	3.78
01/11/2017	MAHER, SEAMUS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.36	0.00	1.36	90.0	1.22
01/11/2017	NUNEZ TRUST, LYNDIA	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	49.66	0.00	49.66	90.0	44.69
01/11/2017	QUSSAR, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	7.10	0.00	7.10	90.0	6.39
01/11/2017	WOLVERINE HUMAN SCV	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	62.04	0.00	62.04	90.0	55.84
01/11/2017	VIAR, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.66	0.00	4.66	90.0	4.19
01/26/2017	PALMER, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND 52	50.00	0.50	0.00	0.50	90.0	0.45
01/27/2017	PALMER, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND 52	50.00	0.50	0.00	0.50	90.0	0.45
01/30/2017	PALMER, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND 52	50.00	0.50	0.00	0.50	90.0	0.45
02/01/2017	PALMER, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND 52	50.00	0.50	0.00	0.50	90.0	0.45
02/01/2017	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
02/01/2017	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
02/01/2017	HICKSON, MARK	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
02/02/2017	PALMER, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND 52	50.00	0.50	0.00	0.50	90.0	0.45
02/03/2017	PALMER, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND 52	50.00	0.50	0.00	0.50	90.0	0.45
01/11/2017	LINDSEY, CHARLES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.52	0.00	0.52	90.0	0.47
01/11/2017	HOPPE, KYLE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.15	0.00	1.15	90.0	1.04

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/11/2017	KOTT, DIANE	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.83	0.00	7.83	90.0	7.05
01/11/2017	LOUGHLIN, DENNIS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	17.64	0.00	17.64	90.0	15.88
01/11/2017	O'DONNELL, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	6.94	0.00	6.94	90.0	6.25
01/11/2017	O'DONNELL, SHERYL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	3.47	0.00	3.47	90.0	3.12
01/11/2017	PALMERI, DANIEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.32	0.00	2.32	90.0	2.09
01/11/2017	MAHER, HENRY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	28.18	0.00	28.18	90.0	25.36
01/11/2017	COLASUONNO, RALPH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	9.50	0.00	9.50	90.0	8.55
01/11/2017	PIASCIK, SHAWN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	23.30	0.00	23.30	90.0	20.97
01/11/2017	QUEDNAU, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	16.47	0.00	16.47	90.0	14.82
01/11/2017	LUJAN, DEBORAH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.36	0.00	8.36	90.0	7.52
01/11/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	17.46	0.00	17.46	90.0	15.71
01/11/2017	VEIT, BEVERLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.69	0.00	8.69	90.0	7.82
01/11/2017	MARKHAM, JASON	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	5.93	0.00	5.93	90.0	5.34
01/11/2017	SIMON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	6.65	0.00	6.65	90.0	5.99
01/11/2017	BANNICK, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	6.85	0.00	6.85	90.0	6.17
01/11/2017	BANNICK, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	5.50	0.00	5.50	90.0	4.95
01/11/2017	BIONDO, MELISSA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	6.29	0.00	6.29	90.0	5.66
01/11/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	3.46	0.00	3.46	90.0	3.11
01/11/2017	MAHER, KEIRA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	0.87	0.00	0.87	90.0	0.78
01/11/2017	MAHER, MAIGHDLIN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	0.89	0.00	0.89	90.0	0.80
01/11/2017	MAHER, SEAMUS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	0.87	0.00	0.87	90.0	0.78
01/11/2017	NUNEZ, LYNDA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	18.64	0.00	18.64	90.0	16.78
01/11/2017	NUNEZ TRUST, LYNDA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	42.93	0.00	42.93	90.0	38.64
01/11/2017	EBERHARD, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	6.99	0.00	6.99	90.0	6.29
01/11/2017	HOLMES, KAYLA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.07	0.00	1.07	90.0	0.96
01/11/2017	HOLMES, JACK PATRICK	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	0.98	0.00	0.98	90.0	0.88
01/11/2017	QUSSAR, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.72	0.00	4.72	90.0	4.25
01/11/2017	KORESKY, JOHN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	3.52	0.00	3.52	90.0	3.17
01/11/2017	PAGANO, MAUREEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.99	0.00	7.99	90.0	7.19
01/11/2017	MAHER, OWEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.90	0.00	1.90	90.0	1.71
01/11/2017	WOLVERINE HUMAN SCV	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	16.22	0.00	16.22	90.0	14.60
01/11/2017	VIAR, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.39	0.00	1.39	90.0	1.25
01/11/2017	JAMES, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.97	0.00	7.97	90.0	7.17

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/11/2017	DARR, CRAIG	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	9.34	0.00	9.34	90.0	8.41
01/11/2017	NORRIS, CHRISTOPHER	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	2.37	0.00	2.37	90.0	2.13
01/11/2017	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.63	0.00	1.63	90.0	1.47
01/11/2017	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.87	0.00	1.87	90.0	1.68
01/11/2017	PROKOP, STANLEY	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	174.10	0.00	174.10	90.0	156.69
02/01/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
02/01/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
02/01/2017	HICKSON, MARK	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
02/01/2017	MARKHAM, CLAIRE	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
02/01/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
02/01/2017	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
02/02/2017	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA 529	75.00	0.75	0.00	0.75	90.0	0.68
02/02/2017	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA 529	75.00	0.75	0.00	0.75	90.0	0.68
01/11/2017	CARROLL, EILEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.12	0.00	9.12	90.0	8.21
01/11/2017	O'DONNELL, SHERYL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.90	0.00	3.90	90.0	3.51
01/11/2017	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.82	0.00	0.82	90.0	0.74
01/11/2017	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.21	0.00	11.21	90.0	10.09
01/11/2017	HOLMES, KAYLA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.35	0.00	5.35	90.0	4.82
01/11/2017	HOLMES, JACK PATRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.43	0.00	4.43	90.0	3.99
01/11/2017	MAHER, KYLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.61	0.00	3.61	90.0	3.25
01/11/2017	MAHER, OWEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.71	0.00	1.71	90.0	1.54
01/11/2017	KOTT, DIANE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.69	0.00	14.69	90.0	13.22
01/11/2017	KOTT, DIANE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.00	0.00	10.00	90.0	9.00
01/11/2017	JACK, STANLEY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.90	0.00	4.90	90.0	4.41
01/11/2017	LOUGHLIN, DENNIS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	26.70	0.00	26.70	90.0	24.03
01/11/2017	NORRIS, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	80.57	0.00	80.57	90.0	72.51
01/11/2017	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	3.66	0.00	3.66	90.0	3.29
01/11/2017	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	3.16	0.00	3.16	90.0	2.84
01/11/2017	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	41.38	0.00	41.38	90.0	37.24
01/11/2017	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.30	0.00	10.30	90.0	9.27
01/11/2017	GILLESPIE, HEATHER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.71	0.00	15.71	90.0	14.14
01/11/2017	FRASER, SHARON	AM FUNDS GROWTH FUND OF AMERICA C	0.00	7.03	0.00	7.03	90.0	6.33
01/11/2017	COLASUONNO, RALPH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.66	0.00	15.66	90.0	14.09

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/11/2017	PIASCIK, SHAWN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	25.55	0.00	25.55	90.0	23.00
01/11/2017	QUEDNAU, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	87.06	0.00	87.06	90.0	78.35
01/11/2017	LUJAN, DEBORAH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.71	0.00	12.71	90.0	11.44
01/11/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	8.20	0.00	8.20	90.0	7.38
01/11/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.78	0.00	11.78	90.0	10.60
01/11/2017	MARKHAM, JASON	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.78	0.00	9.78	90.0	8.80
01/11/2017	HEIDLOFF, RAYMOND	AM FUNDS GROWTH FUND OF AMERICA C	0.00	3.64	0.00	3.64	90.0	3.28
01/11/2017	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.64	0.00	4.64	90.0	4.18
01/11/2017	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.66	0.00	13.66	90.0	12.29
01/11/2017	SIMON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.07	0.00	11.07	90.0	9.96
01/11/2017	BANNICK, ROBERT	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.02	0.00	11.02	90.0	9.92
01/11/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.19	0.00	4.19	90.0	3.77
01/11/2017	MARIN REV TRUST, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.20	0.00	15.20	90.0	13.68
01/11/2017	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	8.05	0.00	8.05	90.0	7.25
01/11/2017	KNOPF, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	5.05	0.00	5.05	90.0	4.55
01/11/2017	NUNEZ TRUST, LYNDA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	91.50	0.00	91.50	90.0	82.35
01/11/2017	EBERHARD, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.16	0.00	11.16	90.0	10.04
01/11/2017	GUMBLETON, CARRIE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	17.46	0.00	17.46	90.0	15.71
01/11/2017	KEYES LIV TRUST, MURIEL WATSON	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.37	0.00	14.37	90.0	12.93
01/11/2017	HENKE, FRANK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.99	0.00	13.99	90.0	12.59
01/11/2017	QUSSAR, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	8.23	0.00	8.23	90.0	7.41
01/11/2017	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.73	0.00	9.73	90.0	8.76
01/11/2017	VIAR, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	1.57	0.00	1.57	90.0	1.41
01/11/2017	DARR, CRAIG	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.90	0.00	11.90	90.0	10.71
01/11/2017	ANTHONY, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	3.92	0.00	3.92	90.0	3.53
01/11/2017	PLASTIRAS, JULIANA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.67	0.00	11.67	90.0	10.50
01/11/2017	SCACCIA, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.20	0.00	9.20	90.0	8.28
01/11/2017	HICKSON, JORDAN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.89	0.00	15.89	90.0	14.30
01/11/2017	PALMER, TODD	AM FUNDS GROWTH FUND OF AMERICA C	0.00	23.46	0.00	23.46	90.0	21.11
01/26/2017	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA C	3,903.92	20.00	0.00	20.00	90.0	18.00
01/11/2017	NORRIS, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.00	0.00	2.00	90.0	1.80
01/11/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.23	0.00	4.23	90.0	3.81
01/11/2017	CARLINO, AMY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.78	0.00	2.78	90.0	2.50

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/11/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.62	0.00	1.62	90.0	1.46
01/11/2017	PIZZO, MICHELE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.82	0.00	0.82	90.0	0.74
01/11/2017	PIZZO, FRANCESCO	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.15	0.00	0.15	90.0	0.14
01/11/2017	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.58	0.00	1.58	90.0	1.42
01/11/2017	VIAR, DAVID	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.18	0.00	2.18	90.0	1.96
02/01/2017	JACK, KELLY	AM FUNDS ICA 529C	25.00	0.25	0.00	0.25	90.0	0.23
02/02/2017	QUSSAR, JAMES	AM FUNDS ICA 529C	75.00	0.75	0.00	0.75	90.0	0.68
02/02/2017	QUSSAR, JAMES	AM FUNDS ICA 529C	75.00	0.75	0.00	0.75	90.0	0.68
01/11/2017	CARROLL, EILEEN	AM FUNDS ICA A	0.00	9.27	0.00	9.27	90.0	8.34
01/11/2017	LINDSEY, CHARLES	AM FUNDS ICA A	0.00	0.76	0.00	0.76	90.0	0.68
01/11/2017	HOPPE, KYLE	AM FUNDS ICA A	0.00	1.24	0.00	1.24	90.0	1.12
01/11/2017	CARROLL, EILEEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.16	0.00	3.16	90.0	2.84
01/11/2017	STONE, JANE	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.01	0.00	7.01	90.0	6.31
01/11/2017	GILLESPIE, HEATHER	AM FUNDS INCOME FUND OF AMERICA A	0.00	8.31	0.00	8.31	90.0	7.48
01/11/2017	HOPPE, KYLE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.37	0.00	1.37	90.0	1.23
01/11/2017	PROKOP, STANLEY	AM FUNDS INCOME FUND OF AMERICA C	0.00	151.21	0.00	151.21	90.0	136.09
01/11/2017	BELONGIE, GINGER	AM FUNDS INCOME FUND OF AMERICA C	0.00	15.40	0.00	15.40	90.0	13.86
01/11/2017	MARSACK, KAREN	AM FUNDS INCOME FUND OF AMERICA C	0.00	10.39	0.00	10.39	90.0	9.35
01/11/2017	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	36.91	0.00	36.91	90.0	33.22
01/11/2017	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	20.59	0.00	20.59	90.0	18.53
01/11/2017	DAVIS, ALTON	AM FUNDS INCOME FUND OF AMERICA C	0.00	18.25	0.00	18.25	90.0	16.43
01/11/2017	PIASCIK, SHAWN	AM FUNDS INCOME FUND OF AMERICA C	0.00	36.50	0.00	36.50	90.0	32.85
01/11/2017	QUEDNAU, KELLY	AM FUNDS INCOME FUND OF AMERICA C	0.00	25.93	0.00	25.93	90.0	23.34
01/11/2017	SIMON, MICHAEL	AM FUNDS INCOME FUND OF AMERICA C	0.00	7.11	0.00	7.11	90.0	6.40
01/11/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA C	0.00	1.52	0.00	1.52	90.0	1.37
01/11/2017	KNOPF, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA C	0.00	0.40	0.00	0.40	90.0	0.36
01/11/2017	NUNEZ TRUST, LYNDIA	AM FUNDS INCOME FUND OF AMERICA C	0.00	54.35	0.00	54.35	90.0	48.92
01/11/2017	EBERHARD, DAVID	AM FUNDS INCOME FUND OF AMERICA C	0.00	7.62	0.00	7.62	90.0	6.86
01/11/2017	GUMBLETON, CARRIE	AM FUNDS INCOME FUND OF AMERICA C	0.00	13.17	0.00	13.17	90.0	11.85
01/11/2017	MAHER TRUST, MARY	AM FUNDS INCOME FUND OF AMERICA C	0.00	9.69	0.00	9.69	90.0	8.72
01/11/2017	WOLVERINE HUMAN SCV	AM FUNDS INCOME FUND OF AMERICA C	0.00	72.41	0.00	72.41	90.0	65.17
01/11/2017	NORRIS, CHRISTOPHER	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	11.38	0.00	11.38	90.0	10.24
01/11/2017	BANNICK, ROBERT	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.91	0.00	0.91	90.0	0.82

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/11/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.67	0.00	0.67	90.0	0.60
02/01/2017	HICKSON, MARK	AM FUNDS INCOME FUND OF AMERICA-529	25.00	0.25	0.00	0.25	90.0	0.23
01/11/2017	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.25	0.00	0.25	90.0	0.23
01/11/2017	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.26	0.00	1.26	90.0	1.13
01/11/2017	MAHER, HENRY	AM FUNDS NEW ECONOMY C	0.00	25.26	0.00	25.26	90.0	22.73
01/11/2017	SIMON, MICHAEL	AM FUNDS NEW ECONOMY C	0.00	6.27	0.00	6.27	90.0	5.64
01/11/2017	GILLESPIE, HEATHER	AM FUNDS NEW PERSPECTIVE A	0.00	15.84	0.00	15.84	90.0	14.26
01/11/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS NEW WORLD C	0.00	12.33	0.00	12.33	90.0	11.10
01/11/2017	HICKSON, ANDREW	AM FUNDS NEW WORLD C	0.00	4.25	0.00	4.25	90.0	3.83
01/11/2017	MAHER, HENRY	AM FUNDS SMALLCAP WORLD C	0.00	14.44	0.00	14.44	90.0	13.00
01/11/2017	HICKSON, ANDREW	AM FUNDS SMALLCAP WORLD C	0.00	2.98	0.00	2.98	90.0	2.68
01/11/2017	MAHER, SEAMUS	AM FUNDS SMALLCAP WORLD C	0.00	2.03	0.00	2.03	90.0	1.83
01/11/2017	HOLMES, KAYLA	AM FUNDS SMALLCAP WORLD C	0.00	1.35	0.00	1.35	90.0	1.22
01/11/2017	HOLMES, JACK PATRICK	AM FUNDS SMALLCAP WORLD C	0.00	1.30	0.00	1.30	90.0	1.17
01/11/2017	MAHER, HENRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	33.80	0.00	33.80	90.0	30.42
01/11/2017	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.27	0.00	2.27	90.0	2.04
01/11/2017	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.03	0.00	1.03	90.0	0.93
02/01/2017	JACK, KELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	25.00	0.25	0.00	0.25	90.0	0.23
01/11/2017	BANNICK, ROBERT	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	0.83	0.00	0.83	90.0	0.75
01/11/2017	VIAR, DAVID	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.35	0.00	2.35	90.0	2.12
02/02/2017	QUSSAR, JAMES	AMERICAN FUNDS GRWTH & INC PF 529C	75.00	0.75	0.00	0.75	90.0	0.68
02/02/2017	QUSSAR, JAMES	AMERICAN FUNDS GRWTH & INC PF 529C	75.00	0.75	0.00	0.75	90.0	0.68
02/02/2017	QUSSAR, JAMES	AMERICAN FUNDS INCOME PF 529C	75.00	0.75	0.00	0.75	90.0	0.68
02/02/2017	QUSSAR, JAMES	AMERICAN FUNDS INCOME PF 529C	75.00	0.75	0.00	0.75	90.0	0.68
01/11/2017	MAHER, SEAMUS	BLK BASIC VALUE	0.00	1.09	0.00	1.09	90.0	0.98
01/11/2017	HOLMES, KAYLA	BLK BASIC VALUE	0.00	0.93	0.00	0.93	90.0	0.84
01/11/2017	MAHER, KYLE	BLK BASIC VALUE	0.00	1.66	0.00	1.66	90.0	1.49
01/11/2017	MAHER, HENRY	BLK GLOBAL ALLOCATION A	0.00	11.05	0.00	11.05	90.0	9.95
01/11/2017	SIZEMORE, KATHERINE	BLK WORLD INCOME A	0.00	0.13	0.00	0.13	90.0	0.12
01/09/2017	MARSACK, KAREN	CATALYST MAP GLOBAL CAP APP	0.00	17.50	0.00	17.50	90.0	15.75
01/09/2017	MARSACK, KAREN	CATALYST MAP GLOBAL CAP APP	0.00	13.08	0.00	13.08	90.0	11.77
01/09/2017	MAHER, HENRY	CATALYST MAP GLOBAL CAP APP	0.00	35.62	0.00	35.62	90.0	32.06
01/09/2017	WOLVERINE HUMAN SCV	CATALYST MAP GLOBAL CAP APP	0.00	65.00	0.00	65.00	90.0	58.50

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/11/2017	MAHER, HENRY	COLUMBIA FDS SER TR II MASS SELECT	0.00	39.43	0.00	39.43	90.0	35.49
01/11/2017	LUJAN-LABADIE, JENNIFER	COLUMBIA FDS SER TR II MASS SELECT	0.00	7.01	0.00	7.01	90.0	6.31
01/11/2017	KARAS, MICHAEL	COLUMBIA FDS SER TR II MASS SELECT	0.00	4.66	0.00	4.66	90.0	4.19
01/11/2017	PAGANO, MAUREEN	COLUMBIA FDS SER TR II MASS SELECT	0.00	7.30	0.00	7.30	90.0	6.57
01/11/2017	JAMES, THOMAS	COLUMBIA FDS SER TR II MASS SELECT	0.00	9.72	0.00	9.72	90.0	8.75
01/11/2017	NUNEZ TRUST, LYNDA	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	21.07	0.00	21.07	90.0	18.96
01/11/2017	PAGANO, MAUREEN	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	6.62	0.00	6.62	90.0	5.96
01/11/2017	JAMES, THOMAS	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	8.89	0.00	8.89	90.0	8.00
01/11/2017	DARR, CRAIG	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	10.02	0.00	10.02	90.0	9.02
01/19/2017	HAYNES, ROBERT	COLUMBIA PPTY TR INC COM NEW	18,883.73	50.00	22.00	28.00	90.0	25.20
01/11/2017	NUNEZ TRUST, LYNDA	COLUMBIA SER TR II MASS	0.00	21.43	0.00	21.43	90.0	19.29
01/20/2017	MOTOR CITY COMPUTER	COLUMBIA SMALLCAP CORE FUND A	13.75	0.14	0.00	0.14	90.0	0.13
02/03/2017	MOTOR CITY COMPUTER	COLUMBIA SMALLCAP CORE FUND A	0.00	1.57	0.00	1.57	90.0	1.41
01/11/2017	MAHER, HENRY	DAVIS FINANCIAL A	0.00	20.06	0.00	20.06	90.0	18.05
01/11/2017	MAHER, HENRY	DELAWARE CORPORATE BOND A	0.00	10.74	0.00	10.74	90.0	9.67
01/11/2017	MAHER, HENRY	DEUTSCHE WORLD DIVIDEND FUND-C	0.00	0.02	0.00	0.02	90.0	0.02
01/11/2017	MAHER, HENRY	DWS DEUTSCHE GLOBAL HIGH INC FUND C	0.00	0.52	0.00	0.52	90.0	0.47
01/11/2017	WOLVERINE HUMAN SCV	DWS DEUTSCHE GLOBAL HIGH INC FUND C	0.00	1.95	0.00	1.95	90.0	1.76
01/11/2017	LUJAN-LABADIE, JENNIFER	DWSE HIGH INCOME FUND C	0.00	0.28	0.00	0.28	90.0	0.25
01/31/2017	LOCKWOOD, SUSAN	F/T GROWTH PORT 529 CL C	0.00	42.13	0.00	42.13	90.0	37.92
01/31/2017	LOCKWOOD, SUSAN	F/T MUTUAL SHARES PORT 529 CL C	0.00	38.11	0.00	38.11	90.0	34.30
01/31/2017	LOCKWOOD, SUSAN	F/T S&P 500 INDEX PORT CL C	0.00	53.08	0.00	53.08	90.0	47.77
01/31/2017	LOCKWOOD, SUSAN	F/T SAMLL MID CAP GROWTH PORT 529 C	0.00	25.18	0.00	25.18	90.0	22.66
01/27/2017	PALMER, TODD	FIDELITY BLUE CHIP GROWTH	12,090.08	0.00	23.00	-23.00	90.0	-20.70
01/11/2017	MAHER, HENRY	FIRST EAGLE GLOBAL C	0.00	236.78	0.00	236.78	90.0	213.10
01/11/2017	HICKSON, ANDREW	FIRST EAGLE GLOBAL C	0.00	1.89	0.00	1.89	90.0	1.70
01/20/2017	WOLVERINE HUMAN SCV	FRANKLIN BALANCED C	30,000.00	320.00	0.00	320.00	90.0	288.00
01/31/2017	LOCKWOOD, SUSAN	FRANKLIN INCOME 529 PORTFOLIO C	0.00	32.27	0.00	32.27	90.0	29.04
01/31/2017	MOTOR CITY COMPUTER	FRANKLIN LOW DURATION TOTAL RETURN	0.00	33.84	0.00	33.84	90.0	30.46
01/31/2017	DE FIORE, LAWRENCE	FRANKLIN MUTUAL BEACON C	0.00	22.36	0.00	22.36	90.0	20.12
01/31/2017	DE FIORE, LAWRENCE	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	2.36	0.00	2.36	90.0	2.12
01/31/2017	DE FIORE, LAWRENCE	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	20.43	0.00	20.43	90.0	18.39
01/20/2017	MARKHAM, JASON	FRANKLIN RISING DIVIDENDS C	7,090.74	20.00	0.00	20.00	90.0	18.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/25/2017	MARKHAM, JASON	FRANKLIN RISING DIVIDENDS C	11,588.81	20.00	0.00	20.00	90.0	18.00
01/25/2017	PALMER, TODD	FRANKLIN RISING DIVIDENDS C	20,000.00	220.00	0.00	220.00	90.0	198.00
01/25/2017	FLEURY, DAVID	FRANKLIN RISING DIVIDENDS C	24,500.00	245.00	0.00	245.00	90.0	220.50
01/27/2017	PALMER, TODD	FRANKLIN RISING DIVIDENDS C	14,700.00	147.00	0.00	147.00	90.0	132.30
01/31/2017	FLEURY, BETH	FRANKLIN RISING DIVIDENDS C	0.00	3.90	0.00	3.90	90.0	3.51
01/25/2017	PALMER, TODD	FRANKLIN SMALL CAP VALUE C	21,000.00	230.00	0.00	230.00	90.0	207.00
01/31/2017	LORIA, KENNETH	FT FOUNDING FDS ALLOC C	0.00	12.85	0.00	12.85	90.0	11.57
01/31/2017	DE FIORE, LAWRENCE	FT FOUNDING FUNDS ALLOC A	0.00	35.03	0.00	35.03	90.0	31.53
01/31/2017	DE FIORE, LAWRENCE	FT MUTUAL BEACON FUND A	0.00	2.41	0.00	2.41	90.0	2.17
01/11/2017	MAHER, KYLE	INVESCO MIDCAP GROWTH A	0.00	1.11	0.00	1.11	90.0	1.00
01/11/2017	MAHER, HENRY	IVY FDS INC ASSET STRATEGY FD CL B	0.00	18.04	0.00	18.04	90.0	16.24
01/11/2017	MAHER, MAIGHDLIN	IVY FDS INC ASSET STRATEGY FD CL B	0.00	0.75	0.00	0.75	90.0	0.68
01/11/2017	MAHER, SEAMUS	IVY FDS INC ASSET STRATEGY FD CL B	0.00	0.80	0.00	0.80	90.0	0.72
01/11/2017	HOLMES, KAYLA	IVY FDS INC ASSET STRATEGY FD CL B	0.00	0.78	0.00	0.78	90.0	0.70
01/11/2017	HOLMES, JACK PATRICK	IVY FDS INC ASSET STRATEGY FD CL B	0.00	0.89	0.00	0.89	90.0	0.80
01/11/2017	MAHER, HENRY	MAINSTAY MAP INSTL	0.00	12.55	0.00	12.55	90.0	11.30
01/11/2017	HOLMES, JACK PATRICK	MFS RESEARCH INTL A	0.00	0.56	0.00	0.56	90.0	0.50
01/11/2017	HOLMES, JACK PATRICK	MFS SER TR I RESEARCH INTL FD CL B	0.00	0.83	0.00	0.83	90.0	0.75
01/11/2017	HOLMES, JACK PATRICK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.98	0.00	0.98	90.0	0.88
01/11/2017	BUREK, ROSANNE	OPPENHEIMER ROCHESTER NATIONAL MUNI	0.00	4.59	0.00	4.59	90.0	4.13
01/11/2017	SIZEMORE, KATHERINE	PIMCO EQUITY	0.00	0.03	0.00	0.03	90.0	0.03
01/11/2017	PROKOP, STANLEY	PIMCO FUNDS ALL ASSET C	0.00	227.84	0.00	227.84	90.0	205.06
01/11/2017	MARIN REV TRUST, NICHOLAS	PIMCO GNMA C	0.00	47.38	0.00	47.38	90.0	42.64
01/11/2017	MAHER, HENRY	PIMCO INCOME FUND C	0.00	105.18	0.00	105.18	90.0	94.66
01/11/2017	MAHER, HENRY	PIMCO LOW DURATION C	0.00	65.26	0.00	65.26	90.0	58.73
01/11/2017	NIXON, GREGORY	PIMCO REAL ESTATE REAL RETURN STRAT	0.00	12.74	0.00	12.74	90.0	11.47
01/11/2017	LOUGHLIN, DENNIS	PIMCO REAL RETURN C	0.00	10.12	0.00	10.12	90.0	9.11
01/11/2017	BELONGIE, GINGER	PIMCO REAL RETURN C	0.00	27.89	0.00	27.89	90.0	25.10
01/11/2017	PALMERI, DANIEL	PIMCO REAL RETURN C	0.00	3.55	0.00	3.55	90.0	3.20
01/11/2017	MAHER, HENRY	PIMCO REAL RETURN C	0.00	15.92	0.00	15.92	90.0	14.33
01/11/2017	COLASUONNO, RALPH	PIMCO REAL RETURN C	0.00	18.79	0.00	18.79	90.0	16.91
01/11/2017	NIXON, KELLY	PIMCO REAL RETURN C	0.00	3.95	0.00	3.95	90.0	3.56
01/11/2017	KEYES LIV TRUST, MURIEL WATSON	PIMCO REAL RETURN C	0.00	23.54	0.00	23.54	90.0	21.19

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/11/2017	QUSSAR, KELLY	PIMCO REAL RETURN C	0.00	9.47	0.00	9.47	90.0	8.52
01/11/2017	BUREK, ROSANNE	PIMCO STOCKPLUS TOTAL RETURN A	0.00	10.02	0.00	10.02	90.0	9.02
01/11/2017	MAHER, HENRY	PIMCO TOTAL RETURN A	0.00	28.84	0.00	28.84	90.0	25.96
01/11/2017	BERARD, BRUCE	PIMCO TOTAL RETURN C	0.00	4.63	0.00	4.63	90.0	4.17
01/11/2017	MARIN REV TRUST, NICHOLAS	PIMCO TOTAL RETURN C	0.00	35.53	0.00	35.53	90.0	31.98
01/11/2017	FOSTER SP TRUST, PATRICIA	PIMCO TOTAL RETURN C	0.00	39.76	0.00	39.76	90.0	35.78
01/11/2017	KEYES LIV TRUST, MURIEL WATSON	PIMCO TOTAL RETURN C	0.00	50.25	0.00	50.25	90.0	45.23
01/11/2017	HOPPE, KYLE	PIMCO TOTAL RETURN C	0.00	13.85	0.00	13.85	90.0	12.47
01/20/2017	MOTOR CITY COMPUTER	PIONEER BOND FUND A	99.00	0.99	0.00	0.99	90.0	0.89
01/11/2017	MAHER, HENRY	PIONEER MID CAP VALUE A	0.00	21.91	0.00	21.91	90.0	19.72
01/11/2017	GILLESPIE, HEATHER	ROYCE PENNSYLVANIA MUTUAL	0.00	16.51	0.00	16.51	90.0	14.86
01/31/2017	FLEURY, BETH	TEMPLETON GLOBAL BOND C	0.00	2.20	0.00	2.20	90.0	1.98
01/31/2017	HEILMANN, VICTORIA	TEMPLETON GROWTH A	0.00	4.88	0.00	4.88	90.0	4.39
01/31/2017	FLEURY, BETH	TEMPLETON GROWTH C	0.00	8.66	0.00	8.66	90.0	7.79
01/11/2017	SIMON, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	7.61	0.00	7.61	90.0	6.85
01/11/2017	JACK, STANLEY	TRANSAMERICA AA MOD GROWTH C	0.00	5.26	0.00	5.26	90.0	4.73
01/11/2017	LOUGHLIN, DENNIS	TRANSAMERICA AA MOD GROWTH C	0.00	11.84	0.00	11.84	90.0	10.66
01/11/2017	PIASCIK, SHAWN	TRANSAMERICA AA MOD GROWTH C	0.00	33.62	0.00	33.62	90.0	30.26
01/11/2017	GUMBLETON, CARRIE	TRANSAMERICA AA MOD GROWTH C	0.00	14.78	0.00	14.78	90.0	13.30
01/11/2017	BELONGIE, GINGER	TRANSAMERICA AA MODERATE C	0.00	10.79	0.00	10.79	90.0	9.71
01/11/2017	MARSACK, KAREN	TRANSAMERICA AA MODERATE C	0.00	11.19	0.00	11.19	90.0	10.07
01/11/2017	O'DONNELL, JUDITH	TRANSAMERICA AA MODERATE C	0.00	7.43	0.00	7.43	90.0	6.69
01/11/2017	BANNICK, ROBERT	TRANSAMERICA AA MODERATE C	0.00	7.12	0.00	7.12	90.0	6.41
01/11/2017	PIZZO, PIETRO	TRANSAMERICA AA MODERATE C	0.00	7.45	0.00	7.45	90.0	6.71
01/11/2017	PIZZO, MICHELE	TRANSAMERICA AA MODERATE C	0.00	0.11	0.00	0.11	90.0	0.10
01/11/2017	PIZZO, FRANCESCO	TRANSAMERICA AA MODERATE C	0.00	7.65	0.00	7.65	90.0	6.89
01/11/2017	ROGERS, NICOLE	VOYA CORP LEADERS 100 A	0.00	2.31	0.00	2.31	90.0	2.08
01/11/2017	MAHER, HENRY	VOYA INTL VALUE EQUITY A	0.00	8.88	0.00	8.88	90.0	7.99
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$191,070.03</b>	<b>\$6,247.06</b>	<b>\$45.00</b>	<b>\$6,202.06</b>		<b>\$5,582.10</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
01/26/2017	GLINES, CRAIG	APPLE INC COM	12,182.23	30.00	22.00	8.00	90.0	7.20
01/19/2017	HAYNES, ROBERT	RETAIL PPTYs AMER INC CL A	18,014.15	50.00	22.00	28.00	90.0	25.20
01/20/2017	MAHER, JAMES	SPDR S&P 500 ETF TR TR UNIT	2,271.78	0.00	22.00	-22.00	90.0	-19.80

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>								
* STOCKS SUBTOTAL *			\$32,468.16	\$80.00	\$66.00	\$14.00		\$12.60
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>								
01/18/2017	JACK, KELLY	CALL 100 LINN ENERGY LLC UNIT REPST	0.00	0.09	0.00	0.09	90.0	0.08
01/18/2017	JACK, KELLY	CALL 100 LINN ENERGY LLC UNIT REPST	0.00	0.27	0.00	0.27	90.0	0.24
* OPTIONS SUBTOTAL *			\$0.00	\$0.36	\$0.00	\$0.36		\$0.32
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
02/02/2017	ATTENDANT CARE, 401K	GWL GRP ANNUITY 401K	145,932.48	92.96	0.00	92.96	90.0	83.66
02/02/2017	RACHWAL, BARTON	GWL GRP ANNUITY 401K	331,656.50	140.84	0.00	140.84	90.0	126.76
02/02/2017	GURSTEN, KOLTONOW	GWL NET ASSET VALUE 401K	6,968,026.14	1,479.51	0.00	1,479.51	90.0	1,331.56
02/02/2017	CHRISTENSEN PLLC, DAVID	GWL NET ASSET VALUE 401K	343,247.77	145.76	0.00	145.76	90.0	131.18
01/31/2017	RACHWAL, BARTON	JNL PERSPECTIVE II 05/05	162,461.08	33.85	0.00	33.85	90.0	30.47
01/31/2017	MAHER, HENRY	JNL PERSPECTIVE II 05/05	100,292.76	41.79	0.00	41.79	90.0	37.61
01/31/2017	HICKSON, ANDREW	JNL PERSPECTIVE II 05/05	44,769.38	18.65	0.00	18.65	90.0	16.79
01/31/2017	VEIT, BEVERLY	JNL PERSPECTIVE L	17,996.82	15.00	0.00	15.00	90.0	13.50
01/31/2017	PALMERI, BEVERLY	JNL PERSPECTIVE L	100,776.31	83.98	0.00	83.98	90.0	75.58
01/31/2017	FRASER, SHARON	JNL PERSPECTIVE L	40,372.19	33.64	0.00	33.64	90.0	30.28
01/31/2017	TAYLOR, JAMIE	JNL PERSPECTIVE L	41,896.62	34.91	0.00	34.91	90.0	31.42
01/31/2017	FLOWERS, EDWARD	JNL PERSPECTIVE L	138,196.81	115.16	0.00	115.16	90.0	103.64
01/31/2017	STOKES, RICHARD	JNL PERSPECTIVE L	74,489.99	62.07	0.00	62.07	90.0	55.86
01/31/2017	STONE, EDWARD	JNL PERSPECTIVE L	86,831.75	72.36	0.00	72.36	90.0	65.12
01/31/2017	PALMERI, SAMUEL	JNL PERSPECTIVE L	99,463.35	82.89	0.00	82.89	90.0	74.60
01/31/2017	STEINMETZ JR, JAMES	JNL PERSPECTIVE L	104,315.27	86.93	0.00	86.93	90.0	78.24
01/31/2017	VITALE, PETER	JNL PERSPECTIVE L	129,669.76	108.06	0.00	108.06	90.0	97.25
01/31/2017	BROEREN, ANTHONY	JNL PERSPECTIVE L	175,930.00	146.61	0.00	146.61	90.0	131.95
01/31/2017	CORNWELL, VICTORIA	JNL PERSPECTIVE L	201,718.16	168.10	0.00	168.10	90.0	151.29
01/26/2017	FLEURY, DAVID	PRU PREMIER RETIREMENT B	50,000.00	2,875.00	0.00	2,875.00	90.0	2,587.50
* VARIABLE ANNUITIES SUBTOTAL *			\$9,358,043.14	\$5,838.07	\$0.00	\$5,838.07		\$5,254.26
*** BROKER TRANSACTIONS TOTAL ***			\$9,613,581.33	\$14,435.33	\$111.00	\$14,324.33		\$12,892.14

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<b><u>ADJUSTMENTS</u></b>								
02/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
02/15/2017		*RBC: ORDER ENTRY	0.00					-65.00
02/15/2017		*RBC: WEALTHSTATION ADMIN	0.00					-25.00
02/15/2017		*TECHNOLOGY: ADMIN - ZAREFA MARINI	0.00					-100.00
02/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-765.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$12,892.14
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-765.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$12,127.14</b>
<b>Year-to-date Gross</b>	<b>\$65,771.91</b>
<b>Year-to-date Earnings</b>	<b>\$59,054.88</b>

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