



Concorde Investment Services, LLC

04/27/2017
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COMMISSION STATEMENT **April 30, 2017**

JACK MICHALETZ
150 St. Andrews Ct.
Ste. 210
Mankato, MN 56001

BROKER #: M137
BRANCH: DISCIPLINE ADVISORS,

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
03/22/2017	STEFFL, JOSEPH	GPB AUTOMOTIVE PORTFOLIO LP	100,000.00	7,000.00	0.00	7,000.00	0.0	0.00
03/22/2017	STEFFL, JOSEPH	GPB WASTE MANAGEMENT LP	50,000.00	3,500.00	0.00	3,500.00	0.0	0.00
03/22/2017	STEFFL, JOSEPH	HPI FUND V	100,000.00	6,000.00	0.00	6,000.00	0.0	0.00
* PRIVATE PLACEMENT SUBTOTAL *			\$250,000.00	\$16,500.00	\$0.00	\$16,500.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/17/2017	BLOCK, EUGENE	JH DISCIPLINED VALUE INT'L C	0.00	1.17	0.00	1.17	0.0	0.00
04/17/2017	ROBERTS, MICHELLE	JH DISCIPLINED VALUE INT'L C	0.00	0.20	0.00	0.20	0.0	0.00
04/17/2017	WALLEM, HARRY	JH FINANCIAL INDUSTRIES A	0.00	2.35	0.00	2.35	0.0	0.00
04/17/2017	BLOCK, EUGENE	JH INCOME B	0.00	1.40	0.00	1.40	0.0	0.00
04/17/2017	WALLEM, HARRY	JH LARGE CAP EQUITY A	0.00	3.71	0.00	3.71	0.0	0.00
04/17/2017	BLOCK, EUGENE	JH LARGE CAP EQUITY A	0.00	1.58	0.00	1.58	0.0	0.00
04/17/2017	BLOCK, EUGENE	JH LARGE CAP EQUITY B	0.00	1.40	0.00	1.40	0.0	0.00
04/17/2017	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY B	0.00	0.21	0.00	0.21	0.0	0.00
04/17/2017	BLOCK, EUGENE	JH LARGE CAP EQUITY C	0.00	1.72	0.00	1.72	0.0	0.00
04/17/2017	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY C	0.00	1.51	0.00	1.51	0.0	0.00
04/17/2017	ROBERTS, MICHELLE	JH LARGE CAP EQUITY C	0.00	0.05	0.00	0.05	0.0	0.00
04/17/2017	TOLZMANN, RICHARD	JH LIFESTYLE AGGRESSIVE PORTFOLIO C	0.00	6.57	0.00	6.57	0.0	0.00
04/17/2017	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT A	0.00	5.13	0.00	5.13	0.0	0.00
04/17/2017	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT B	0.00	2.12	0.00	2.12	0.0	0.00
04/17/2017	OLSON, HARLAN	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	2.90	0.00	2.90	0.0	0.00
04/17/2017	HERMEL, ROBERT	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	4.52	0.00	4.52	0.0	0.00
04/17/2017	SCHWARTZ, TRAVIS	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	3.07	0.00	3.07	0.0	0.00
04/17/2017	WALLEM, HARRY	JH NEW OPPORTUNITIES A	0.00	2.03	0.00	2.03	0.0	0.00
04/17/2017	BLOCK, EUGENE	JH NEW OPPORTUNITIES A	0.00	0.60	0.00	0.60	0.0	0.00
04/17/2017	GROMMERSCH, STACI	JH NEW OPPORTUNITIES A	0.00	0.06	0.00	0.06	0.0	0.00
04/17/2017	BLOCK, EUGENE	JH NEW OPPORTUNITIES C	0.00	1.29	0.00	1.29	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/17/2017	ROBERTS, MICHELLE	JH SMALL COMPANY FUND A	0.00	0.07	0.00	0.07	0.0	0.00
04/17/2017	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH A	0.00	0.28	0.00	0.28	0.0	0.00
04/17/2017	BLOCK, EUGENE	JH STRATEGIC GROWTH A	0.00	2.29	0.00	2.29	0.0	0.00
04/17/2017	BLOCK, EUGENE	JH STRATEGIC GROWTH C	0.00	1.58	0.00	1.58	0.0	0.00
04/17/2017	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH C	0.00	1.46	0.00	1.46	0.0	0.00
04/17/2017	WALLEM, HARRY	JH STRATEGIC INCOME A	0.00	2.61	0.00	2.61	0.0	0.00
04/17/2017	BLOCK, EUGENE	JH STRATEGIC INCOME A	0.00	1.22	0.00	1.22	0.0	0.00
04/17/2017	BLOCK, EUGENE	JH STRATEGIC INCOME C	0.00	1.30	0.00	1.30	0.0	0.00
04/17/2017	ROBERTS, MICHELLE	JH STRATEGIC INCOME C	0.00	0.83	0.00	0.83	0.0	0.00
04/17/2017	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	0.00	5.56	0.00	5.56	0.0	0.00
04/17/2017	WALLEM, HARRY	JN DISCIPLINED VALUE INT'L A	0.00	1.68	0.00	1.68	0.0	0.00
04/17/2017	BLOCK, EUGENE	JN DISCIPLINED VALUE INT'L A	0.00	1.87	0.00	1.87	0.0	0.00
04/17/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	0.00	5.62	0.00	5.62	0.0	0.00
04/17/2017	ROBERTS, MICHELLE	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	0.11	0.00	0.11	0.0	0.00
04/17/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	4.89	0.00	4.89	0.0	0.00
04/17/2017	HENZE, DUANE	JOHN HANCOCK LIFESTYLE AGGR PORTFOL	0.00	0.02	0.00	0.02	0.0	0.00
04/17/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	0.00	4.18	0.00	4.18	0.0	0.00
04/17/2017	ZIEGLER, KRISTINE	PUTNAM ASSET ALLOC GROWTH A	50.00	2.50	12.00	-9.50	0.0	0.00
04/17/2017	FLO, PAUL	PUTNAM CAPITAL SPECTRUM C	150.00	1.50	12.00	-10.50	0.0	0.00
04/12/2017	SIEBERG, KYLE	PUTNAM EQUITY SPECTRUM C	-10,862.75	22.00	22.00	0.00	0.0	0.00
04/17/2017	FLO, PAUL	PUTNAM EQUITY SPECTRUM C	150.00	1.50	12.00	-10.50	0.0	0.00
04/17/2017	SIEBERG, KYLE	PUTNAM EQUITY SPECTRUM C	100.00	1.00	12.00	-11.00	0.0	0.00
04/12/2017	HAGER, REBECCA	THE OSTERWEIS STRATEGIC INCOME FUND	47,500.00	0.00	22.00	-22.00	0.0	0.00
04/10/2017	PROKOP, XAVIER	TRANSAMERICA AA GROWTH A	100.00	4.75	0.00	4.75	0.0	0.00
04/12/2017	LORENTZ, NICHOLAS	TRANSAMERICA AA GROWTH A	1,800.00	72.00	22.00	50.00	0.0	0.00
04/21/2017	PROKOP, MAKENNA	TRANSAMERICA AA GROWTH A	1,200.00	57.00	0.00	57.00	0.0	0.00
04/11/2017	IRELAND, MARIE	TRANSAMERICA AA GROWTH C	6,500.00	65.00	0.00	65.00	0.0	0.00
04/13/2017	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	21.75	0.22	0.00	0.22	0.0	0.00
04/13/2017	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	21.75	0.22	0.00	0.22	0.0	0.00
04/17/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	200.00	2.00	0.00	2.00	0.0	0.00
04/17/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	200.00	2.00	0.00	2.00	0.0	0.00
04/17/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	46.43	0.46	0.00	0.46	0.0	0.00
04/17/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	46.43	0.46	0.00	0.46	0.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/17/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.00
04/17/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.00
04/17/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	61.77	0.62	0.00	0.62	0.0	0.00
04/17/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	61.77	0.62	0.00	0.62	0.0	0.00
04/17/2017	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	0.0	0.00
04/20/2017	WALSER, ANDREW	TRANSAMERICA AA GROWTH C	2,325.00	23.25	0.00	23.25	0.0	0.00
04/20/2017	WALSER, ANDREW	TRANSAMERICA AA GROWTH C	12,500.00	125.00	0.00	125.00	0.0	0.00
04/13/2017	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	61.48	0.61	0.00	0.61	0.0	0.00
04/13/2017	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	61.48	0.61	0.00	0.61	0.0	0.00
04/17/2017	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	150.00	1.50	0.00	1.50	0.0	0.00
04/17/2017	ESLINGER, MADELINE	TRANSAMERICA AA MOD GROWTH C	250.00	2.50	0.00	2.50	0.0	0.00
04/17/2017	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	150.00	1.50	0.00	1.50	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$62,976.37	\$469.30	\$114.00	\$355.30		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
04/12/2017	SIEBERG, KYLE	POWERSHARES QQQ TR UNIT SER 1	11,025.67	0.00	22.50	-22.50	0.0	0.00
* STOCKS SUBTOTAL *			\$11,025.67	\$0.00	\$22.50	\$-22.50		\$0.00
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
04/02/2017	LORENTZ, NICHOLAS	METLIFE LIFE INS	0.00	5.00	0.00	5.00	0.0	0.00
04/02/2017	LORENTZ, ANDREW	METLIFE LIFE INS	600.00	420.00	0.00	420.00	0.0	0.00
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$600.00	\$425.00	\$0.00	\$425.00		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$324,602.04	\$17,394.30	\$136.50	\$17,257.80		\$0.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$86,368.77
Year-to-date Earnings	\$0.00