

COMMISSION STATEMENT

March 31, 2018

ANDREW LINDNER
37 GOLDENEYE LANE

BROKER #: 4
BRANCH: HOME OFFICE

PORT MONMOUTH, NJ 07758

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
02/27/2018	QGN005274	OLSTEIN ALL CAP	MICROSOFT CORP	S	-287,250.00	90.00	6.00	84.00	50.0	42.00
02/28/2018	QGN005274	OLSTEIN ALL CAP	BORG WARNER AUTOMOTIVE INC	B	246,899.50	150.00	6.00	144.00	50.0	72.00
03/02/2018	QGN005274	OLSTEIN ALL CAP	DELPHI TECHNOLOGIES PLC SHS	B	462,742.00	300.00	6.00	294.00	50.0	147.00
03/05/2018	QGN005274	OLSTEIN ALL CAP	NEWELL BRANDS INC SHS	S	-288,503.00	309.00	6.00	303.00	50.0	151.50
03/08/2018	QGN005274	OLSTEIN ALL CAP	UNIVERSAL HEALTH SVCS INC CL B	S	-254,022.00	60.00	6.00	54.00	50.0	27.00
03/12/2018	QGN005274	OLSTEIN ALL CAP	APPLE INC	S	-542,012.10	90.00	6.00	84.00	50.0	42.00
03/13/2018	QGN005274	OLSTEIN ALL CAP	COMCAST CORP CL A	B	217,798.20	180.00	6.00	174.00	50.0	87.00
03/19/2018	QGN005274	OLSTEIN ALL CAP	DOWDUPONT INC COMMON STOCK	B	667,500.00	300.00	6.00	294.00	50.0	147.00
03/19/2018	QGN005274	OLSTEIN ALL CAP	WALGREEN BOOTS ALLIANCE INC COM	B	201,000.00	90.00	6.00	84.00	50.0	42.00
03/23/2018	QGN005274	OLSTEIN ALL CAP	DOWDUPONT INC COMMON STOCK	B	968,671.50	450.00	6.00	444.00	50.0	222.00
03/23/2018	QGN005274	OLSTEIN ALL CAP	INTEL CORP	S	-305,100.00	180.00	6.00	174.00	50.0	87.00
03/23/2018	QGN005274	OLSTEIN ALL CAP	MEDTRONIC PLC COM	B	153,000.00	60.00	6.00	54.00	50.0	27.00
03/26/2018	QGN005274	OLSTEIN ALL CAP	COMCAST CORP CL A	B	165,000.00	150.00	6.00	144.00	50.0	72.00
02/27/2018	QGV005689	MERRILL LYNCH PROF	ANTARES PHARMA INC COM	S	-452,340.00	8,000.00	6.00	7,994.00	50.0	3,997.00
* STOCKS SUBTOTAL *					\$953,384.10	\$10,409.00	\$84.00	\$10,325.00		\$5,162.50

PRODUCT CATEGORY: BONDS

03/13/2018	QGN001000	WORKERS LOCAL 451	UNITED STATES TREAS NTS 2.375% 03/1 B		49,921.88	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001000	WORKERS LOCAL 451	UNITED STATES TREAS BDS 3.000% 05/1 B		34,923.44	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001000	WORKERS LOCAL 451	UNITED STATES TREAS NTS 2.375% 05/1 B		48,250.00	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001000	WORKERS LOCAL 451	UNITED STATES TREAS BDS 3.000% 05/1 B		24,960.94	50.00	5.00	45.00	50.0	22.50
03/08/2018	QGN001018	UNION OF OPERATING,	UNITED STATES TREAS BDS 3.000% 05/1 B		19,718.75	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN001018	UNION OF OPERATING,	UNITED STATES TREAS NTS 2.000% 07/3 B		49,609.38	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001018	UNION OF OPERATING,	UNITED STATES TREAS BDS 3.000% 05/1 B		14,932.03	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001018	UNION OF OPERATING,	UNITED STATES TREAS NTS 2.375% 05/1 B		14,432.81	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001018	UNION OF OPERATING,	UNITED STATES TREAS BDS 3.000% 05/1 B		24,960.94	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001026	DEFINED CONTRIBUTION	UNITED STATES TREASURY NOTE	B	14,992.97	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN001059	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.375% 03/1 B		99,843.75	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001059	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.375% 05/1 B		144,328.13	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001059	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.000% 02/1 B		48,617.19	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN001075	UNION OF OPERATING,	UNITED STATES TREAS NTS 2.000% 07/3 B		24,804.69	50.00	5.00	45.00	50.0	22.50

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
03/14/2018	QGN001075	UNION OF OPERATING,	UNITED STATES TREAS NTS 2.375% 05/1 B	9,621.88	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001075	UNION OF OPERATING,	UNITED STATES TREAS NTS 1.375% 07/3 S	-34,923.44	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001075	UNION OF OPERATING,	UNITED STATES TREAS NTS 2.375% 05/1 B	24,109.38	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN001083	TEAMSTERS LOCAL 237	UNITED STATES TREAS NTS 2.375% 03/1 B	49,921.88	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001083	TEAMSTERS LOCAL 237	UNITED STATES TREAS BDS 3.000% 05/1 B	34,841.41	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001083	TEAMSTERS LOCAL 237	UNITED STATES TREAS NTS 2.375% 05/1 B	48,117.19	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001083	TEAMSTERS LOCAL 237	UNITED STATES TREAS NTS 2.375% 05/1 B	24,109.38	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN001091	STACEY BRAUN	UNITED STATES TREAS NTS 2.375% 03/1 B	34,945.31	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001091	STACEY BRAUN	UNITED STATES TREAS BDS 3.000% 05/1 B	59,728.13	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001091	STACEY BRAUN	UNITED STATES TREAS NTS 2.375% 05/1 B	38,600.00	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001091	STACEY BRAUN	UNITED STATES TREAS NTS 2.000% 02/1 B	24,308.59	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001091	STACEY BRAUN	UNITED STATES TREAS NTS 2.375% 05/1 B	24,109.38	50.00	5.00	45.00	50.0	22.50
03/08/2018	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREAS BDS 3.000% 05/1 B	49,296.88	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREAS NTS 2.375% 03/1 B	74,882.81	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREAS BDS 3.000% 05/1 B	134,029.69	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREAS BDS 3.000% 05/1 B	49,921.88	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001117	ASSOCIATES, STACEY	UNITED STATES TREAS BDS 3.000% 05/1 B	14,932.03	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001117	ASSOCIATES, STACEY	UNITED STATES TREAS NTS 2.375% 05/1 B	24,109.38	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN001125	TRUSTEES, BOARD	UNITED STATES TREAS NTS 2.000% 07/3 B	24,804.69	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN001125	TRUSTEES, BOARD	UNITED STATES TREAS NTS 2.375% 03/1 B	24,960.94	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001125	TRUSTEES, BOARD	UNITED STATES TREAS BDS 3.000% 05/1 B	29,934.38	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001125	TRUSTEES, BOARD	UNITED STATES TREAS NTS 2.375% 05/1 B	24,058.59	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001125	TRUSTEES, BOARD	UNITED STATES TREAS NTS 2.375% 05/1 B	24,109.38	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001158	CPA'S, SCHULTHEIS &	UNITED STATES TREAS BDS 3.000% 05/1 B	124,433.59	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001158	CPA'S, SCHULTHEIS &	UNITED STATES TREAS NTS 2.375% 05/1 B	168,875.00	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001158	CPA'S, SCHULTHEIS &	UNITED STATES TREAS BDS 3.000% 05/1 B	24,960.94	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001158	CPA'S, SCHULTHEIS &	UNITED STATES TREAS NTS 2.000% 02/1 B	24,308.59	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001158	CPA'S, SCHULTHEIS &	UNITED STATES TREAS NTS 2.375% 05/1 B	24,109.38	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 2.000% 07/3 B	99,218.75	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 2.375% 05/1 B	192,437.50	62.50	5.00	57.50	50.0	28.75
03/15/2018	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 2.000% 02/1 B	48,617.19	50.00	5.00	45.00	50.0	22.50
03/19/2018	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 2.000% 02/1 B	97,156.25	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN001190	LOCOMOTIVE,	UNITED STATES TREAS NTS 2.000% 07/3 B	24,804.69	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001190	LOCOMOTIVE,	UNITED STATES TREAS BDS 3.000% 05/1 B	14,967.19	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001190	LOCOMOTIVE,	UNITED STATES TREAS NTS 2.375% 05/1 B	14,435.16	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001190	LOCOMOTIVE,	UNITED STATES TREAS BDS 3.000% 05/1 B	24,960.94	50.00	5.00	45.00	50.0	22.50
03/08/2018	QGN001216	STACEY BRAUN	UNITED STATES TREAS BDS 3.000% 05/1 B	14,789.06	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001216	STACEY BRAUN	UNITED STATES TREAS BDS 3.000% 05/1 B	19,956.25	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001216	STACEY BRAUN	UNITED STATES TREAS NTS 2.375% 05/1 B	9,623.44	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001216	STACEY BRAUN	UNITED STATES TREAS NTS 2.000% 02/1 B	24,308.59	50.00	5.00	45.00	50.0	22.50

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
03/14/2018	QGN001224 ASSOCIATES, STACEY	UNITED STATES TREAS NTS 2.375% 05/1 B		9,650.00	50.00	5.00	45.00	50.0	22.50
03/22/2018	QGN001273 PUBLIC EMPLOYEES	UNITED STATES TREAS BDS 2.875% 05/1 B		48,718.75	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN001307 CLERKS, NY	UNITED STATES TREAS NTS 2.000% 07/3 B		19,843.75	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001307 CLERKS, NY	UNITED STATES TREAS NTS 2.375% 05/1 B		19,300.00	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001307 CLERKS, NY	UNITED STATES TREAS NTS 2.375% 05/1 B		24,109.38	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001315 STATE COURT CLERKS,	UNITED STATES TREAS NTS 2.375% 05/1 B		14,475.00	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001315 STATE COURT CLERKS,	UNITED STATES TREAS NTS 2.375% 05/1 B		19,287.50	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN001364 LOCAL 300 CIVIL	UNITED STATES TREAS NTS 2.000% 07/3 B		49,609.38	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001364 LOCAL 300 CIVIL	UNITED STATES TREAS NTS 2.375% 05/1 B		96,500.00	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001364 LOCAL 300 CIVIL	UNITED STATES TREAS NTS 2.000% 02/1 B		24,308.59	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001364 LOCAL 300 CIVIL	UNITED STATES TREAS NTS 2.375% 05/1 B		24,109.38	50.00	5.00	45.00	50.0	22.50
02/27/2018	QGN001380 TEAMSTERS LOCAL 445	PRUDENTIAL FINL INC MEDIUM TERM NTS S		-26,156.25	50.00	5.00	45.00	50.0	22.50
03/12/2018	QGN001380 TEAMSTERS LOCAL 445	UNITED STATES TREAS BDS 2.750% 11/1 S		-23,406.25	50.00	5.00	45.00	50.0	22.50
03/12/2018	QGN001380 TEAMSTERS LOCAL 445	UNITED STATES TREAS NTS 2.000% 08/1 S		-33,058.59	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001380 TEAMSTERS LOCAL 445	UNITED STATES TREAS NTS 2.375% 05/1 B		48,117.19	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001380 TEAMSTERS LOCAL 445	UNITED STATES TREAS NTS 2.000% 02/1 B		19,446.88	50.00	5.00	45.00	50.0	22.50
03/19/2018	QGN001380 TEAMSTERS LOCAL 445	UNITED STATES TREAS NTS 1.000% 05/3 S		-64,898.44	50.00	5.00	45.00	50.0	22.50
03/22/2018	QGN001380 TEAMSTERS LOCAL 445	CLOROX CO FXD RT SR NT 3.500% 12/15 S		-49,912.50	50.00	5.00	45.00	50.0	22.50
03/22/2018	QGN001380 TEAMSTERS LOCAL 445	UNITED STATES TREAS NTS 2.250% 11/1 B		48,468.75	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN001414 11, TEAMSTERS	UNITED STATES TREAS NTS 2.000% 07/3 B		74,414.06	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001414 11, TEAMSTERS	UNITED STATES TREAS BDS 3.000% 05/1 B		49,773.44	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001414 11, TEAMSTERS	UNITED STATES TREAS NTS 2.375% 05/1 B		48,109.38	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001414 11, TEAMSTERS	UNITED STATES TREAS BDS 3.000% 05/1 B		24,960.94	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001414 11, TEAMSTERS	UNITED STATES TREAS NTS 2.375% 05/1 B		24,109.38	50.00	5.00	45.00	50.0	22.50
03/08/2018	QGN001422 WESTCHESTER MODULAR	UNITED STATES TREAS BDS 3.000% 05/1 B		24,648.44	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN001422 WESTCHESTER MODULAR	UNITED STATES TREAS NTS 2.000% 07/3 B		49,609.38	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001422 WESTCHESTER MODULAR	UNITED STATES TREAS BDS 3.000% 05/1 B		49,890.63	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001422 WESTCHESTER MODULAR	UNITED STATES TREAS NTS 2.375% 05/1 B		241,093.75	78.13	5.00	73.13	50.0	36.57
03/14/2018	QGN001422 WESTCHESTER MODULAR	UNITED STATES TREAS NTS 2.375% 05/1 B		-241,093.75	-78.13	-5.00	-73.13	50.0	-36.57 C
03/14/2018	QGN001422 WESTCHESTER MODULAR	UNITED STATES TREAS NTS 2.375% 05/1 B		48,218.75	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001422 WESTCHESTER MODULAR	UNITED STATES TREAS BDS 3.000% 05/1 B		24,960.94	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001422 WESTCHESTER MODULAR	UNITED STATES TREAS NTS 2.375% 05/1 B		24,109.38	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN001430 WORKERS LOCAL 12,	UNITED STATES TREAS NTS 2.000% 07/3 B		14,882.81	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001430 WORKERS LOCAL 12,	UNITED STATES TREASURY NOTE B		14,992.97	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001430 WORKERS LOCAL 12,	UNITED STATES TREAS NTS 2.000% 02/1 B		19,446.88	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN001463 COUNCIL, TEAMSTER	UNITED STATES TREAS NTS 2.375% 03/1 B		24,960.94	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001463 COUNCIL, TEAMSTER	UNITED STATES TREAS BDS 3.000% 05/1 B		99,281.25	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001463 COUNCIL, TEAMSTER	UNITED STATES TREAS NTS 2.375% 05/1 B		96,234.38	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001463 COUNCIL, TEAMSTER	UNITED STATES TREAS BDS 3.000% 05/1 B		24,960.94	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN001505 OF, CARPENTERS &	UNITED STATES TREAS NTS 2.375% 03/1 B		154,757.81	50.00	5.00	45.00	50.0	22.50

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
03/14/2018	QGN001505	OF, CARPENTERS &	UNITED STATES TREAS BDS 3.000% 05/1 B	199,562.50	62.50	5.00	57.50	50.0	28.75
03/14/2018	QGN001505	OF, CARPENTERS &	UNITED STATES TREAS NTS 2.375% 05/1 B	48,218.75	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001505	OF, CARPENTERS &	UNITED STATES TREAS NTS 2.375% 05/1 B	-48,218.75	-50.00	-5.00	-45.00	50.0	-22.50 C
03/14/2018	QGN001505	OF, CARPENTERS &	UNITED STATES TREAS NTS 2.375% 05/1 B	241,093.75	78.13	5.00	73.13	50.0	36.57
03/15/2018	QGN001505	OF, CARPENTERS &	UNITED STATES TREAS BDS 3.000% 05/1 B	24,960.94	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001505	OF, CARPENTERS &	UNITED STATES TREAS NTS 2.375% 05/1 B	48,218.75	50.00	5.00	45.00	50.0	22.50
03/08/2018	QGN001554	STACEY BRAUN	UNITED STATES TREAS BDS 3.000% 05/1 B	49,296.88	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN001554	STACEY BRAUN	UNITED STATES TREAS NTS 2.375% 03/1 B	149,765.63	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001554	STACEY BRAUN	UNITED STATES TREAS BDS 3.000% 05/1 B	124,433.59	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001554	STACEY BRAUN	UNITED STATES TREAS NTS 2.375% 05/1 B	241,093.75	78.13	5.00	73.13	50.0	36.57
03/15/2018	QGN001554	STACEY BRAUN	UNITED STATES TREAS BDS 3.000% 05/1 B	49,921.88	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001554	STACEY BRAUN	UNITED STATES TREAS NTS 2.375% 05/1 B	48,218.75	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN001620	LOCAL, WESTCHESTER	UNITED STATES TREAS NTS 2.375% 03/1 B	99,843.75	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001620	LOCAL, WESTCHESTER	UNITED STATES TREASURY NOTE B	49,976.56	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001620	LOCAL, WESTCHESTER	UNITED STATES TREAS NTS 2.000% 02/1 B	24,308.59	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN001679	ASSISTANT DIRECTOR	UNITED STATES TREAS NTS 2.000% 07/3 B	34,726.56	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001679	ASSISTANT DIRECTOR	UNITED STATES TREAS NTS 2.000% 02/1 B	24,308.59	50.00	5.00	45.00	50.0	22.50
03/09/2018	QGN001695	STACEY BRAUN	UNITED STATES TREAS BILLS 0.000% 04 S	-99,864.81	50.00	5.00	45.00	50.0	22.50
03/09/2018	QGN001695	STACEY BRAUN	UNITED STATES TREAS BDS 2.750% 11/1 S	-93,125.00	50.00	5.00	45.00	50.0	22.50
03/09/2018	QGN001695	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 07/3 S	-100,140.63	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001695	STACEY BRAUN	UNITED STATES TREAS NTS 2.375% 05/1 B	48,117.19	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001695	STACEY BRAUN	UNITED STATES TREAS BDS 3.000% 05/1 B	24,960.94	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001695	STACEY BRAUN	UNITED STATES TREAS NTS 1.375% 11/3 S	-24,875.00	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001711	OPPENHEIMER & CO	UNITED STATES TREAS BDS 3.000% 05/1 B	24,960.94	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.375% 03/1 B	224,648.44	70.31	5.00	65.31	50.0	32.66
03/13/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.375% 03/1 B	-224,648.44	-70.31	-5.00	-65.31	50.0	-32.66 C
03/13/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.375% 03/1 B	224,648.44	70.31	5.00	65.31	50.0	32.66
03/13/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.375% 03/1 B	-224,648.44	-70.31	-5.00	-65.31	50.0	-32.66 C
03/13/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.375% 03/1 B	224,648.44	70.31	5.00	65.31	50.0	32.66
03/14/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.375% 05/1 B	336,820.31	109.38	5.00	104.38	50.0	52.19
03/14/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREASURY NOTE B	99,953.13	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.375% 05/1 B	-336,820.31	-109.38	-5.00	-104.38	50.0	-52.19 C
03/14/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.375% 05/1 B	336,820.31	109.38	5.00	104.38	50.0	52.19
03/14/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREASURY NOTE B	-99,953.13	-50.00	-5.00	-45.00	50.0	-22.50 C
03/14/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREASURY NOTE B	99,953.13	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.000% 02/1 B	24,308.59	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.375% 05/1 B	48,218.75	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.000% 02/1 B	-24,308.59	-50.00	-5.00	-45.00	50.0	-22.50 C
03/15/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.000% 02/1 B	24,308.59	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.375% 05/1 B	-48,218.75	-50.00	-5.00	-45.00	50.0	-22.50 C

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
03/15/2018	QGN001745	RETIREMENT, SOUTHERN UNITED STATES	TREAS NTS 2.375% 05/1 B	48,218.75	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN001794	SERVICE EMPLOYEES UNITED STATES	TREAS NTS 2.000% 07/3 B	198,437.50	62.50	5.00	57.50	50.0	28.75
03/14/2018	QGN001828	INTERNS, COMMIOF UNITED STATES	TREAS BDS 3.000% 05/1 B	14,967.19	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001828	INTERNS, COMMIOF UNITED STATES	TREAS NTS 2.375% 05/1 B	14,435.16	50.00	5.00	45.00	50.0	22.50
03/08/2018	QGN001885	BRAUN ASSOCIATES IN, UNITED STATES	TREAS BDS 3.000% 05/1 B	14,789.06	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN001885	BRAUN ASSOCIATES IN, UNITED STATES	TREAS NTS 2.000% 07/3 B	29,765.63	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001885	BRAUN ASSOCIATES IN, UNITED STATES	TREAS BDS 3.000% 05/1 B	19,956.25	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN001885	BRAUN ASSOCIATES IN, UNITED STATES	TREAS NTS 2.375% 05/1 B	19,287.50	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001885	BRAUN ASSOCIATES IN, UNITED STATES	TREAS BDS 3.000% 05/1 B	24,960.94	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001885	BRAUN ASSOCIATES IN, UNITED STATES	TREAS NTS 2.375% 05/1 B	24,109.38	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN001901	IBT, LOCAL UNITED STATES	TREAS NTS 2.000% 07/3 B	29,765.63	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001901	IBT, LOCAL UNITED STATES	TREAS NTS 2.000% 02/1 B	24,308.59	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN001992	INTERNS, COMMITTE UNITED STATES	TREAS NTS 2.000% 07/3 B	49,609.38	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN001992	INTERNS, COMMITTE UNITED STATES	TREAS NTS 2.000% 02/1 B	48,617.19	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN002024	SAVASTA, MR UNITED STATES	TREAS NTS 2.375% 05/1 B	19,243.75	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN002024	SAVASTA, MR UNITED STATES	TREAS BDS 3.000% 05/1 B	19,968.75	50.00	5.00	45.00	50.0	22.50
03/09/2018	QGN002040	CONGRESS OF TEACHER, FEDERAL HOME LN MTG CORP REFERENCE S		-5,081.25	50.00	5.00	45.00	50.0	22.50
03/26/2018	QGN002040	CONGRESS OF TEACHER, UNITED STATES	TREAS NTS 1.000% 11/3 S	-99,296.88	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN002131	ORTHODOX COMM UNITED STATES	TREAS NTS 2.375% 05/1 B	19,287.50	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN002164	PENSION FUND LOCAL UNITED STATES	TREAS BDS 3.000% 05/1 B	24,886.72	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN002164	PENSION FUND LOCAL UNITED STATES	TREAS NTS 2.375% 05/1 B	48,117.19	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN002164	PENSION FUND LOCAL UNITED STATES	TREAS BDS 3.000% 05/1 B	24,960.94	50.00	5.00	45.00	50.0	22.50
03/20/2018	QGN002164	PENSION FUND LOCAL LOCKHEED MARTIN CORP FXD RT SER B 4 S		-72,806.25	50.00	5.00	45.00	50.0	22.50
03/20/2018	QGN002164	PENSION FUND LOCAL PRUDENTIAL FINL INC MEDIUM TERM NTS S		-130,381.25	50.00	5.00	45.00	50.0	22.50
03/27/2018	QGN002164	PENSION FUND LOCAL WASTE MGMT INC DEL GTD SR NT 3.500% B		100,795.00	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN002172	STACEY BRAUN & ASSOC UNITED STATES	TREAS NTS 2.125% 12/3 B	73,945.31	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN002172	STACEY BRAUN & ASSOC UNITED STATES	TREAS NTS 2.000% 11/3 B	58,425.00	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN002172	STACEY BRAUN & ASSOC UNITED STATES	TREAS NTS 2.000% 11/1 B	46,687.50	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN002172	STACEY BRAUN & ASSOC UNITED STATES	TREAS NTS 2.125% 11/3 B	72,726.56	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN002172	STACEY BRAUN & ASSOC UNITED STATES	TREAS NTS 2.000% 07/3 B	74,414.06	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN002172	STACEY BRAUN & ASSOC UNITED STATES	TREAS NTS 2.125% 05/1 B	71,718.75	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN002172	STACEY BRAUN & ASSOC UNITED STATES	TREAS NTS 2.000% 07/3 B	73,253.91	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN002172	STACEY BRAUN & ASSOC UNITED STATES	TREAS NTS 2.250% 11/1 B	47,398.44	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN002172	STACEY BRAUN & ASSOC UNITED STATES	TREAS NTS 2.250% 11/1 B	47,453.13	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN002172	STACEY BRAUN & ASSOC UNITED STATES	TREASURY NOTE B	49,976.56	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN002172	STACEY BRAUN & ASSOC UNITED STATES	TREAS NTS 2.000% 02/1 B	24,308.59	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN002206	817 AFFILIATED WITH UNITED STATES	TREAS NTS 2.375% 03/1 B	34,945.31	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN002206	817 AFFILIATED WITH UNITED STATES	TREAS BDS 3.000% 05/1 B	19,956.25	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN002206	817 AFFILIATED WITH UNITED STATES	TREASURY NOTE B	19,990.63	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN002206	817 AFFILIATED WITH UNITED STATES	TREAS BDS 3.000% 05/1 B	14,976.56	50.00	5.00	45.00	50.0	22.50

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
03/15/2018	QGN002206	817 AFFILIATED WITH	UNITED STATES TREAS NTS 2.000% 02/1 B	24,308.59	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS BDS 3.000% 05/1 B	24,945.31	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 2.375% 05/1 B	24,058.59	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS BDS 3.000% 05/1 B	24,960.94	50.00	5.00	45.00	50.0	22.50
03/26/2018	QGN002248	LOCAL 1205 WELFARE	CSX CORP FIXED RT NTS 5.500% 04/15/ S	-115,000.00	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN002271	HEALTH AND WELFARE	UNITED STATES TREAS NTS 2.000% 07/3 B	29,765.63	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN002271	HEALTH AND WELFARE	UNITED STATES TREAS BDS 3.000% 05/1 B	24,820.31	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN002271	HEALTH AND WELFARE	UNITED STATES TREASURY NOTE B	24,988.28	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN002271	HEALTH AND WELFARE	UNITED STATES TREAS BDS 3.000% 05/1 B	19,968.75	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN002289	RETIREMENT PLAN OF,	UNITED STATES TREAS BDS 3.000% 05/1 B	19,956.25	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN002289	RETIREMENT PLAN OF,	UNITED STATES TREAS NTS 2.375% 05/1 B	14,475.00	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN002313	LABORERS LOCAL 235	UNITED STATES TREAS NTS 2.000% 07/3 B	19,843.75	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN002313	LABORERS LOCAL 235	UNITED STATES TREASURY NOTE B	19,990.63	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN002313	LABORERS LOCAL 235	UNITED STATES TREAS NTS 2.000% 02/1 B	24,308.59	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN002321	DOUGHERTY MEMORIAL	UNITED STATES TREAS NTS 2.000% 02/1 B	14,585.16	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN002339	PATIENT CARE TRUST	UNITED STATES TREAS NTS 2.000% 07/3 B	29,765.63	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN002339	PATIENT CARE TRUST	UNITED STATES TREAS NTS 2.000% 02/1 B	48,617.19	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN002347	ROCHELLE FEDERATION	UNITED STATES TREAS NTS 2.000% 07/3 B	24,804.69	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN002347	ROCHELLE FEDERATION	UNITED STATES TREASURY NOTE B	14,992.97	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN002347	ROCHELLE FEDERATION	UNITED STATES TREAS NTS 2.750% 02/1 S	-50,281.25	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN002347	ROCHELLE FEDERATION	UNITED STATES TREAS NTS 0.750% 04/1 S	-24,976.56	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN002347	ROCHELLE FEDERATION	UNITED STATES TREAS NTS 2.000% 02/1 B	24,308.59	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN002347	ROCHELLE FEDERATION	UNITED STATES TREAS NTS 2.750% 02/1 S	50,281.25	-50.00	-5.00	-45.00	50.0	-22.50 C
03/14/2018	QGN002354	LOCAL UNION 491,	UNITED STATES TREAS NTS 2.375% 05/1 B	337,531.25	109.38	5.00	104.38	50.0	52.19
03/14/2018	QGN002354	LOCAL UNION 491,	UNITED STATES TREAS NTS 2.375% 05/1 B	-337,531.25	-109.38	-5.00	-104.38	50.0	-52.19 C
03/14/2018	QGN002354	LOCAL UNION 491,	UNITED STATES TREAS NTS 2.375% 05/1 B	14,465.63	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN002388	LESTER, AUGUSTUS	UNITED STATES TREAS NTS 2.000% 07/3 B	24,804.69	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN002388	LESTER, AUGUSTUS	UNITED STATES TREAS NTS 2.375% 05/1 B	33,775.00	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN002388	LESTER, AUGUSTUS	UNITED STATES TREAS NTS 2.375% 05/1 B	24,109.38	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN002479	AND STONE MASONS,	UNITED STATES TREAS NTS 2.375% 03/1 B	24,960.94	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN002479	AND STONE MASONS,	UNITED STATES TREAS BDS 3.000% 05/1 B	9,954.69	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN002479	AND STONE MASONS,	UNITED STATES TREAS NTS 2.375% 05/1 B	9,623.44	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN002479	AND STONE MASONS,	UNITED STATES TREAS NTS 2.000% 02/1 B	24,308.59	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN002487	LEAGUE GRAPHIC ARTS,	UNITED STATES TREAS BDS 3.000% 05/1 B	24,820.31	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN002487	LEAGUE GRAPHIC ARTS,	UNITED STATES TREAS NTS 2.375% 05/1 B	48,109.38	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN002487	LEAGUE GRAPHIC ARTS,	UNITED STATES TREAS NTS 2.375% 05/1 B	24,109.38	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN002495	108 RWDSU RETIREMENT	UNITED STATES TREAS NTS 2.000% 07/3 B	19,843.75	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN002495	108 RWDSU RETIREMENT	UNITED STATES TREASURY NOTE B	24,988.28	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN002495	108 RWDSU RETIREMENT	UNITED STATES TREAS NTS 2.000% 02/1 B	24,308.59	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN002503	CITY EMPLOYEES UNION	UNITED STATES TREAS NTS 2.375% 03/1 B	24,960.94	50.00	5.00	45.00	50.0	22.50

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
03/14/2018	QGN002503	CITY EMPLOYEES UNION	UNITED STATES	TREASURY NOTE	B	19,990.63	50.00	5.00	45.00 50.0 22.50
03/13/2018	QGN002560	LOCAL UNION # 177,	UNITED STATES	TREAS NTS 2.000% 07/3	B	74,414.06	50.00	5.00	45.00 50.0 22.50
03/15/2018	QGN002560	LOCAL UNION # 177,	UNITED STATES	TREAS NTS 2.000% 02/1	B	24,308.59	50.00	5.00	45.00 50.0 22.50
03/13/2018	QGN002578	NY LABORERS DIST	UNITED STATES	TREAS NTS 2.000% 07/3	B	14,882.81	50.00	5.00	45.00 50.0 22.50
03/14/2018	QGN002578	NY LABORERS DIST	UNITED STATES	TREASURY NOTE	B	14,992.97	50.00	5.00	45.00 50.0 22.50
03/14/2018	QGN002594	CARNEY,, MICHAEL	UNITED STATES	TREASURY NOTE	B	14,992.97	50.00	5.00	45.00 50.0 22.50
03/13/2018	QGN002891	STACEY BRAUN ASSOC,	UNITED STATES	TREAS NTS 2.000% 07/3	B	198,437.50	62.50	5.00	57.50 50.0 28.75
03/13/2018	QGN002891	STACEY BRAUN ASSOC,	UNITED STATES	TREAS NTS 2.000% 07/3	B	-198,437.50	-62.50	-5.00	-57.50 50.0 -28.75 C
03/13/2018	QGN002891	STACEY BRAUN ASSOC,	UNITED STATES	TREAS NTS 2.000% 07/3	B	198,437.50	62.50	5.00	57.50 50.0 28.75
03/14/2018	QGN002891	STACEY BRAUN ASSOC,	UNITED STATES	TREAS NTS 2.375% 05/1	B	144,328.13	50.00	5.00	45.00 50.0 22.50
03/14/2018	QGN002891	STACEY BRAUN ASSOC,	UNITED STATES	TREAS NTS 2.375% 05/1	B	-144,328.13	-50.00	-5.00	-45.00 50.0 -22.50 C
03/14/2018	QGN002891	STACEY BRAUN ASSOC,	UNITED STATES	TREAS NTS 2.375% 05/1	B	144,328.13	50.00	5.00	45.00 50.0 22.50
03/15/2018	QGN002891	STACEY BRAUN ASSOC,	UNITED STATES	TREAS NTS 2.000% 02/1	B	48,617.19	50.00	5.00	45.00 50.0 22.50
03/15/2018	QGN003212	ODONNELL, THOMAS	UNITED STATES	TREAS BDS 3.000% 05/1	B	14,976.56	50.00	5.00	45.00 50.0 22.50
03/13/2018	QGN003618	OPPENHEIMER & CO	UNITED STATES	TREAS NTS 2.000% 07/3	B	19,843.75	50.00	5.00	45.00 50.0 22.50
03/14/2018	QGN003618	OPPENHEIMER & CO	UNITED STATES	TREASURY NOTE	B	19,990.63	50.00	5.00	45.00 50.0 22.50
03/15/2018	QGN003618	OPPENHEIMER & CO	UNITED STATES	TREAS BDS 3.000% 05/1	B	19,968.75	50.00	5.00	45.00 50.0 22.50
03/13/2018	QGN004913	LOCAL, LABORERS	UNITED STATES	TREAS NTS 2.000% 07/3	B	49,609.38	50.00	5.00	45.00 50.0 22.50
03/14/2018	QGN004913	LOCAL, LABORERS	UNITED STATES	TREAS BDS 3.000% 05/1	B	24,820.31	50.00	5.00	45.00 50.0 22.50
03/14/2018	QGN004913	LOCAL, LABORERS	UNITED STATES	TREAS NTS 2.375% 05/1	B	24,054.69	50.00	5.00	45.00 50.0 22.50
03/15/2018	QGN004913	LOCAL, LABORERS	UNITED STATES	TREAS BDS 3.000% 05/1	B	24,960.94	50.00	5.00	45.00 50.0 22.50
03/15/2018	QGN004913	LOCAL, LABORERS	UNITED STATES	TREAS NTS 2.375% 05/1	B	24,109.38	50.00	5.00	45.00 50.0 22.50
03/13/2018	QGN005175	OPPENHEIMER & CO	UNITED STATES	TREAS NTS 2.375% 03/1	B	19,968.75	50.00	5.00	45.00 50.0 22.50
03/14/2018	QGN005175	OPPENHEIMER & CO	UNITED STATES	TREAS BDS 3.000% 05/1	B	29,784.38	50.00	5.00	45.00 50.0 22.50
03/14/2018	QGN005175	OPPENHEIMER & CO	UNITED STATES	TREASURY NOTE	B	34,983.59	50.00	5.00	45.00 50.0 22.50
03/15/2018	QGN005175	OPPENHEIMER & CO	UNITED STATES	TREAS BDS 3.000% 05/1	B	14,976.56	50.00	5.00	45.00 50.0 22.50
03/15/2018	QGN005175	OPPENHEIMER & CO	UNITED STATES	TREAS NTS 2.000% 02/1	B	14,585.16	50.00	5.00	45.00 50.0 22.50
03/15/2018	QGN005175	OPPENHEIMER & CO	UNITED STATES	TREAS NTS 2.375% 05/1	B	14,465.63	50.00	5.00	45.00 50.0 22.50
03/14/2018	QGN005241	DEL JERSEY, NECA,	UNITED STATES	TREAS BDS 3.000% 05/1	B	14,892.19	50.00	5.00	45.00 50.0 22.50
03/14/2018	QGN005241	DEL JERSEY, NECA,	UNITED STATES	TREAS NTS 2.375% 05/1	B	14,432.81	50.00	5.00	45.00 50.0 22.50
03/13/2018	QGN005324	LOCAL 235 WELFARE	UNITED STATES	TREAS NTS 2.000% 07/3	B	24,804.69	50.00	5.00	45.00 50.0 22.50
03/14/2018	QGN005324	LOCAL 235 WELFARE	UNITED STATES	TREASURY NOTE	B	14,992.97	50.00	5.00	45.00 50.0 22.50
03/15/2018	QGN005324	LOCAL 235 WELFARE	UNITED STATES	TREAS NTS 2.000% 02/1	B	19,446.88	50.00	5.00	45.00 50.0 22.50
03/19/2018	QGN005407	OPPENHEIMER & CO	MICROSOFT CORP	FXD RT NT TEST 123	3 B	25,686.25	50.00	5.00	45.00 50.0 22.50
03/19/2018	QGN005415	OPPENHEIMER & CO	MICROSOFT CORP	FXD RT NT TEST 123	3 B	15,411.75	50.00	5.00	45.00 50.0 22.50
03/19/2018	QGN005449	OPPENHEIMER & CO	MICROSOFT CORP	FXD RT NT TEST 123	3 B	15,411.75	50.00	5.00	45.00 50.0 22.50
03/19/2018	QGN005456	OPPENHEIMER & CO	MICROSOFT CORP	FXD RT NT TEST 123	3 B	10,274.50	50.00	5.00	45.00 50.0 22.50
03/14/2018	QGN005597	LEGAL, CONNECTICUT	UNITED STATES	TREAS BDS 3.000% 05/1	B	24,820.31	50.00	5.00	45.00 50.0 22.50
03/08/2018	QGN006041	TEAMSTERS LOCAL 237	UNITED STATES	TREAS BDS 3.000% 05/1	B	24,648.44	50.00	5.00	45.00 50.0 22.50
03/13/2018	QGN006041	TEAMSTERS LOCAL 237	UNITED STATES	TREAS NTS 2.000% 07/3	B	24,804.69	50.00	5.00	45.00 50.0 22.50

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
03/14/2018	QGN006041	TEAMSTERS LOCAL 237 UNITED STATES TREAS BDS 3.000%	05/1 B	29,864.06	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN006041	TEAMSTERS LOCAL 237 UNITED STATES TREAS NTS 2.375%	05/1 B	24,058.59	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN006041	TEAMSTERS LOCAL 237 UNITED STATES TREAS BDS 3.000%	05/1 B	24,960.94	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN006223	STACEY BRAUN & ASSOC UNITED STATES TREAS NTS 2.000%	07/3 B	49,609.38	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN006223	STACEY BRAUN & ASSOC UNITED STATES TREASURY NOTE	B	74,964.84	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN006223	STACEY BRAUN & ASSOC UNITED STATES TREAS NTS 2.000%	02/1 B	24,308.59	50.00	5.00	45.00	50.0	22.50
03/22/2018	QGN006223	STACEY BRAUN & ASSOC BANK AMER CORP FXD RT SR NT SER L 2 S		-196,000.00	62.50	5.00	57.50	50.0	28.75
03/22/2018	QGN006223	STACEY BRAUN & ASSOC UNITED STATES TREAS NTS 2.375%	03/1 B	199,640.62	62.50	5.00	57.50	50.0	28.75
03/08/2018	QGN006363	STACEY BRAUN & ASSOC UNITED STATES TREAS BDS 3.000%	05/1 B	197,187.50	62.50	5.00	57.50	50.0	28.75
03/14/2018	QGN006363	STACEY BRAUN & ASSOC UNITED STATES TREAS BDS 3.000%	05/1 B	372,304.69	117.19	5.00	112.19	50.0	56.10
03/15/2018	QGN006363	STACEY BRAUN & ASSOC UNITED STATES TREAS BDS 3.000%	05/1 B	74,882.81	50.00	5.00	45.00	50.0	22.50
03/22/2018	QGN006363	STACEY BRAUN & ASSOC BANK MONTREAL MEDIUM TERM SR NTS 1. S		-191,000.00	62.50	5.00	57.50	50.0	28.75
03/22/2018	QGN006363	STACEY BRAUN & ASSOC CITIGROUP INC NT 3.750%	06/16/24 B/ S	-100,255.00	50.00	5.00	45.00	50.0	22.50
03/22/2018	QGN006363	STACEY BRAUN & ASSOC GENERAL ELEC CAP CORP MEDIUM TERM N S		-97,715.00	50.00	5.00	45.00	50.0	22.50
03/22/2018	QGN006363	STACEY BRAUN & ASSOC UNION PAC CORP NT - EXCHANGED FROM S		-104,000.00	50.00	5.00	45.00	50.0	22.50
03/22/2018	QGN006363	STACEY BRAUN & ASSOC UNITED STATES TREAS NTS 2.375%	08/1 B	196,062.50	62.50	5.00	57.50	50.0	28.75
03/22/2018	QGN006363	STACEY BRAUN & ASSOC UNITED STATES TREAS NTS 2.125%	12/3 B	199,187.50	62.50	5.00	57.50	50.0	28.75
03/22/2018	QGN006363	STACEY BRAUN & ASSOC UNITED STATES TREAS NTS 2.000%	07/3 B	97,687.50	50.00	5.00	45.00	50.0	22.50
03/26/2018	QGN006363	STACEY BRAUN & ASSOC GENERAL ELEC CAP CORP MEDIUM TERM N S		-292,320.00	93.75	5.00	88.75	50.0	44.38
03/15/2018	QGN026064	YORK STATE COURT UNITED STATES TREAS NTS 2.000%	02/1 B	14,585.16	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN026098	DOCTORS COUNCIL UNITED STATES TREAS NTS 2.000%	07/3 B	19,843.75	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN026098	DOCTORS COUNCIL UNITED STATES TREASURY NOTE	B	19,990.63	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN026098	DOCTORS COUNCIL UNITED STATES TREAS NTS 2.000%	02/1 B	24,308.59	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN026114	DEL JERSEY, NECA, UNITED STATES TREAS NTS 2.375%	03/1 B	14,976.56	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN026114	DEL JERSEY, NECA, UNITED STATES TREAS BDS 3.000%	05/1 B	14,892.19	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN026114	DEL JERSEY, NECA, UNITED STATES TREASURY NOTE	B	14,992.97	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN026122	OF DELAWARE LOCAL UNITED STATES TREAS BDS 3.000%	05/1 B	9,954.69	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN026122	OF DELAWARE LOCAL UNITED STATES TREAS NTS 2.375%	05/1 B	9,650.00	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN026122	OF DELAWARE LOCAL UNITED STATES TREAS BDS 3.000%	05/1 B	14,976.56	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN026205	DEFINED CONTRIBUTION UNITED STATES TREAS NTS 2.375%	03/1 B	99,843.75	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN026205	DEFINED CONTRIBUTION UNITED STATES TREAS BDS 3.000%	05/1 B	99,546.88	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN026205	DEFINED CONTRIBUTION UNITED STATES TREAS NTS 2.375%	05/1 B	96,234.38	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN026205	DEFINED CONTRIBUTION UNITED STATES TREAS BDS 3.000%	05/1 B	49,921.88	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN026205	DEFINED CONTRIBUTION UNITED STATES TREAS NTS 2.375%	05/1 B	24,109.38	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN026239	PNC BANK UNITED STATES TREAS NTS 2.000%	07/3 B	124,023.44	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN026239	PNC BANK UNITED STATES TREAS BDS 3.000%	05/1 B	99,546.88	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN026239	PNC BANK UNITED STATES TREAS NTS 2.375%	05/1 B	96,500.00	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN026239	PNC BANK UNITED STATES TREAS BDS 3.000%	05/1 B	24,960.94	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN026239	PNC BANK UNITED STATES TREAS NTS 2.000%	02/1 B	24,308.59	50.00	5.00	45.00	50.0	22.50
03/08/2018	QGN026270	BROTHERHOOD OF UNITED STATES TREAS BDS 3.000%	05/1 B	73,945.31	50.00	5.00	45.00	50.0	22.50

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
03/13/2018	QGN026270	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.000% 07/3 B	124,023.44	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN026270	BROTHERHOOD OF	UNITED STATES TREAS BDS 3.000% 05/1 B	124,726.56	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN026270	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.375% 05/1 B	144,750.00	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN026270	BROTHERHOOD OF	UNITED STATES TREAS BDS 3.000% 05/1 B	24,960.94	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN026270	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.000% 02/1 B	24,308.59	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN026270	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.375% 05/1 B	24,109.38	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN026304	SALOMON SMITH BARNEY	UNITED STATES TREAS NTS 2.375% 05/1 B	9,650.00	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN026544	EMPLOYEES, NYS	UNITED STATES TREAS NTS 2.000% 07/3 B	49,609.38	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN026544	EMPLOYEES, NYS	UNITED STATES TREAS NTS 2.375% 05/1 B	24,125.00	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN026544	EMPLOYEES, NYS	UNITED STATES TREAS NTS 2.375% 05/1 B	24,109.38	50.00	5.00	45.00	50.0	22.50
03/08/2018	QGN026627	STACEY BRAUN	UNITED STATES TREAS BDS 3.000% 05/1 B	24,648.44	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN026627	STACEY BRAUN	UNITED STATES TREAS BDS 3.000% 05/1 B	34,923.44	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN026627	STACEY BRAUN	UNITED STATES TREAS NTS 2.375% 05/1 B	24,125.00	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN026627	STACEY BRAUN	UNITED STATES TREAS BDS 3.000% 05/1 B	19,968.75	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN026643	LOCAL, PLUMBERS &	UNITED STATES TREAS NTS 2.375% 03/1 B	99,843.75	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN026643	LOCAL, PLUMBERS &	UNITED STATES TREAS BDS 3.000% 05/1 B	49,640.63	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN026643	LOCAL, PLUMBERS &	UNITED STATES TREAS NTS 2.375% 05/1 B	72,164.06	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN026643	LOCAL, PLUMBERS &	UNITED STATES TREAS NTS 2.000% 02/1 B	24,308.59	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN026643	LOCAL, PLUMBERS &	UNITED STATES TREAS NTS 2.375% 05/1 B	24,109.38	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN026759	STACEY BRAUN	UNITED STATES TREAS NTS 2.375% 05/1 B	96,500.00	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN026759	STACEY BRAUN	UNITED STATES TREAS NTS 2.375% 05/1 B	24,109.38	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN026825	CRAFTSMEN,	UNITED STATES TREASURY NOTE B	14,992.97	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN026957	LOCAL 177 UNION	UNITED STATES TREAS NTS 2.000% 07/3 B	39,687.50	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN026957	LOCAL 177 UNION	UNITED STATES TREAS BDS 3.000% 05/1 B	14,967.19	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN026957	LOCAL 177 UNION	UNITED STATES TREASURY NOTE B	19,990.63	50.00	5.00	45.00	50.0	22.50
03/08/2018	QGN027005	LOCAL UNION #313	UNITED STATES TREAS BDS 3.000% 05/1 B	98,593.75	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN027005	LOCAL UNION #313	UNITED STATES TREAS NTS 2.375% 03/1 B	199,687.50	62.50	5.00	57.50	50.0	28.75
03/14/2018	QGN027005	LOCAL UNION #313	UNITED STATES TREAS BDS 3.000% 05/1 B	134,388.28	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN027005	LOCAL UNION #313	UNITED STATES TREAS NTS 2.375% 05/1 B	48,109.38	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN027005	LOCAL UNION #313	UNITED STATES TREASURY NOTE B	124,941.41	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN027005	LOCAL UNION #313	UNITED STATES TREAS BDS 3.000% 05/1 B	24,960.94	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN027005	LOCAL UNION #313	UNITED STATES TREAS NTS 2.375% 05/1 B	33,753.13	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.000% 07/3 B	223,242.19	70.31	5.00	65.31	50.0	32.66
03/14/2018	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.375% 05/1 B	289,500.00	93.75	5.00	88.75	50.0	44.38
03/14/2018	QGN027088	237, TEAMSTERS	UNITED STATES TREASURY NOTE B	99,953.13	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.000% 02/1 B	24,308.59	50.00	5.00	45.00	50.0	22.50
03/08/2018	QGN027104	LEAQUE GRAPHIC	UNITED STATES TREAS BDS 3.000% 05/1 B	19,718.75	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN027104	LEAQUE GRAPHIC	UNITED STATES TREAS NTS 2.375% 03/1 B	19,968.75	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN027104	LEAQUE GRAPHIC	UNITED STATES TREAS BDS 3.000% 05/1 B	9,928.13	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN027104	LEAQUE GRAPHIC	UNITED STATES TREAS NTS 2.375% 05/1 B	14,432.81	50.00	5.00	45.00	50.0	22.50

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
03/15/2018	QGN027104	LEAQUE GRAPHIC	UNITED STATES TREAS NTS 2.375% 05/1 B	24,109.38	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN027120	HOUSE, VOLUNTARY	UNITED STATES TREAS NTS 2.375% 03/1 B	99,843.75	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN027120	HOUSE, VOLUNTARY	UNITED STATES TREAS BDS 3.000% 05/1 B	168,778.13	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN027120	HOUSE, VOLUNTARY	UNITED STATES TREAS NTS 2.375% 05/1 B	96,500.00	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN027120	HOUSE, VOLUNTARY	UNITED STATES TREAS NTS 2.000% 02/1 B	24,308.59	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN027120	HOUSE, VOLUNTARY	UNITED STATES TREAS NTS 2.375% 05/1 B	24,109.38	50.00	5.00	45.00	50.0	22.50
03/08/2018	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS BDS 3.000% 05/1 B	34,507.81	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS NTS 2.000% 07/3 B	148,828.13	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS BDS 3.000% 05/1 B	124,433.59	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS NTS 2.375% 05/1 B	144,328.13	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS BDS 3.000% 05/1 B	34,945.31	50.00	5.00	45.00	50.0	22.50
03/19/2018	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS NTS 2.000% 02/1 B	145,734.38	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN027187	SAVASTA, MR	UNITED STATES TREAS NTS 2.375% 05/1 B	120,292.97	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN027187	SAVASTA, MR	UNITED STATES TREAS NTS 2.375% 05/1 B	33,753.13	50.00	5.00	45.00	50.0	22.50
03/08/2018	QGN027229	OF DELAWARE LOCAL	UNITED STATES TREAS BDS 3.000% 05/1 B	34,507.81	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN027229	OF DELAWARE LOCAL	UNITED STATES TREAS BDS 3.000% 05/1 B	39,818.75	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN027229	OF DELAWARE LOCAL	UNITED STATES TREAS NTS 2.375% 05/1 B	33,775.00	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN027229	OF DELAWARE LOCAL	UNITED STATES TREAS NTS 2.000% 02/1 B	24,308.59	50.00	5.00	45.00	50.0	22.50
03/20/2018	QGN027229	OF DELAWARE LOCAL	UNITED STATES TREAS BDS 3.000% 05/1 B	24,687.50	50.00	5.00	45.00	50.0	22.50
03/20/2018	QGN027229	OF DELAWARE LOCAL	UNITED STATES TREAS NTS 2.000% 07/3 B	194,875.00	62.50	5.00	57.50	50.0	28.75
03/20/2018	QGN027229	OF DELAWARE LOCAL	UNITED STATES TREASURY NOTE B	199,250.00	62.50	5.00	57.50	50.0	28.75
03/21/2018	QGN027229	OF DELAWARE LOCAL	UNITED STATES TREAS BDS 3.000% 05/1 B	24,632.81	50.00	5.00	45.00	50.0	22.50
03/21/2018	QGN027229	OF DELAWARE LOCAL	UNITED STATES TREAS NTS 2.000% 07/3 B	194,687.50	62.50	5.00	57.50	50.0	28.75
03/21/2018	QGN027229	OF DELAWARE LOCAL	UNITED STATES TREASURY NOTE B	199,000.00	62.50	5.00	57.50	50.0	28.75
03/13/2018	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 2.375% 03/1 B	249,609.38	78.13	5.00	73.13	50.0	36.57
03/14/2018	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 2.375% 05/1 B	313,625.00	101.56	5.00	96.56	50.0	48.28
03/14/2018	QGN027286	ANNUITY FUND OF	UNITED STATES TREASURY NOTE B	124,941.41	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 2.000% 02/1 B	24,308.59	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 2.375% 05/1 B	48,218.75	50.00	5.00	45.00	50.0	22.50
03/08/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS BDS 3.000% 05/1 B	123,242.19	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.375% 03/1 B	299,531.25	93.75	5.00	88.75	50.0	44.38
03/14/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS BDS 3.000% 05/1 B	274,398.44	85.94	5.00	80.94	50.0	40.47
03/14/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.375% 05/1 B	14,465.63	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.375% 05/1 B	-14,465.63	-50.00	-5.00	-45.00	50.0	-22.50 C
03/14/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.375% 05/1 B	337,531.25	109.38	5.00	104.38	50.0	52.19
03/15/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS BDS 3.000% 05/1 B	49,921.88	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.375% 05/1 B	48,218.75	50.00	5.00	45.00	50.0	22.50
03/08/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS BDS 3.000% 05/1 B	49,296.88	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.375% 03/1 B	99,843.75	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS BDS 3.000% 05/1 B	74,835.94	50.00	5.00	45.00	50.0	22.50

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
03/14/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.375% 05/1 B	120,625.00	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS BDS 3.000% 05/1 B	49,921.88	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.375% 05/1 B	33,753.13	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN027351	LOCAL 1205 PENSION	UNITED STATES TREAS NTS 2.375% 05/1 B	62,684.38	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN027351	LOCAL 1205 PENSION	UNITED STATES TREAS BDS 3.000% 05/1 B	24,960.94	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN027351	LOCAL 1205 PENSION	UNITED STATES TREAS NTS 2.375% 05/1 B	24,109.38	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN027351	LOCAL 1205 PENSION	UNITED STATES TREAS NTS 2.750% 02/1 S	-50,281.25	50.00	5.00	45.00	50.0	22.50
03/22/2018	QGN027351	LOCAL 1205 PENSION	EATON CORP OHIO SR NT 4.150% 11/02/ S	-97,425.00	50.00	5.00	45.00	50.0	22.50
03/22/2018	QGN027351	LOCAL 1205 PENSION	SOUTHERN PWR CO SR NT SER 2011A 5.1 S	-53,000.00	50.00	5.00	45.00	50.0	22.50
03/22/2018	QGN027351	LOCAL 1205 PENSION	UNITED STATES TREAS NTS 2.750% 02/1 S	-120,675.00	50.00	5.00	45.00	50.0	22.50
03/26/2018	QGN027351	LOCAL 1205 PENSION	APPLE INC FXD RT SR NT 2.500% 02/09 S	-93,800.00	50.00	5.00	45.00	50.0	22.50
03/26/2018	QGN027351	LOCAL 1205 PENSION	BURLINGTON NORTHN SANTA FE CORP DEB S	-123,250.00	50.00	5.00	45.00	50.0	22.50
03/26/2018	QGN027351	LOCAL 1205 PENSION	GOLDMAN SACHS GROUP INC MEDIUM TERM S	-103,850.00	50.00	5.00	45.00	50.0	22.50
03/26/2018	QGN027351	LOCAL 1205 PENSION	NORFOLK SOUTHN CORP SR FIXED RT NT S	-100,550.00	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN027484	SETTERS LOCAL 84,	UNITED STATES TREAS NTS 2.375% 05/1 B	24,054.69	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN027484	SETTERS LOCAL 84,	UNITED STATES TREAS NTS 2.375% 05/1 B	14,465.63	50.00	5.00	45.00	50.0	22.50
03/13/2018	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 2.000% 07/3 B	99,218.75	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 2.375% 05/1 B	193,000.00	62.50	5.00	57.50	50.0	28.75
03/14/2018	QGN027898	LOCAL 237	UNITED STATES TREASURY NOTE B	49,976.56	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 2.000% 02/1 B	24,308.59	50.00	5.00	45.00	50.0	22.50
03/08/2018	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS BDS 3.000% 05/1 B	24,648.44	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS BDS 3.000% 05/1 B	24,945.31	50.00	5.00	45.00	50.0	22.50
03/14/2018	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.375% 05/1 B	33,775.00	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS BDS 3.000% 05/1 B	24,960.94	50.00	5.00	45.00	50.0	22.50
03/15/2018	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.375% 05/1 B	24,109.38	50.00	5.00	45.00	50.0	22.50
* BONDS SUBTOTAL *				<u>\$16,963,146.71</u>	<u>\$19,379.71</u>	<u>\$1,865.00</u>	<u>\$17,514.71</u>		<u>\$8,757.40</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$17,916,530.81</u>	<u>\$29,788.71</u>	<u>\$1,949.00</u>	<u>\$27,839.71</u>		<u>\$13,919.90</u>
<u>ADJUSTMENTS</u>									
03/31/2018		OTHER: BLOOMBERG		0.00	0.00	0.00	0.00		-996.21
03/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
03/31/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-15.00
03/31/2018		OTHER: REAL TICK		0.00	0.00	0.00	0.00		-212.50
03/31/2018		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-54.33
03/31/2018		OTHER: EZ CASTLE		0.00	0.00	0.00	0.00		-55.56
03/31/2018		OTHER: PIVOT		0.00	0.00	0.00	0.00		-68.05

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-1,421.65
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BROKER COMMISSION TOTALS

Broker Transactions	\$13,919.90
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,421.65
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-37.24
SIPC Assessment	\$-74.47

Check Amount	\$12,386.54
Year-to-date Earnings	\$17,142.22