

## COMMISSION STATEMENT

January 31, 2018

CHARLES WINNICK

970 PARK AVE

NEW YORK, NY 10028

BROKER #: 11

BRANCH: HOME OFFICE

## COMMISSION STATEMENT for CHARLES WINNICK

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: STOCKS</b>									
01/19/2018	75501342 WINNICK, HILLARY	TIER REIT INC COM	B	39,076.20	20.00	0.00	20.00	55.0	0.00
01/19/2018	75501345 WINNICK, JOELY	TIER REIT INC COM	B	39,052.00	20.00	0.00	20.00	55.0	0.00
01/09/2018	QGV006075 PARTNERS, F/A/O:	VERA BRADLEY INC COM	S	-27,802.00	100.00	0.00	100.00	55.0	55.00
01/16/2018	QGV006075 PARTNERS, F/A/O:	GREENHILL & CO INC COM	S	-36,900.00	80.00	0.00	80.00	55.0	44.00
01/03/2018	QGV006430 JPMORGAN CLEARING	TRIUMPH BANCORP INC COM	B	271,382.61	415.00	0.00	415.00	55.0	228.25
01/12/2018	QGV006430 JPMORGAN CLEARING	BLACKROCK INC	B	1,042,599.92	95.00	0.00	95.00	55.0	52.25
01/12/2018	QGV006430 JPMORGAN CLEARING	CENTURYTEL INC	B	593,868.55	1,705.00	0.00	1,705.00	55.0	937.75
01/29/2018	QGV006430 JPMORGAN CLEARING	PAGSEGURO DIGITAL LTD REGISTERED SH S	S	-2,821,290.00	5,000.00	0.00	5,000.00	55.0	2,750.00
01/03/2018	QGV006448 MORGAN STANLEY	TRIUMPH BANCORP INC COM	B	271,382.61	415.00	0.00	415.00	55.0	228.25
01/12/2018	QGV006448 MORGAN STANLEY	BLACKROCK INC	B	1,042,599.92	95.00	0.00	95.00	55.0	52.25
01/12/2018	QGV006448 MORGAN STANLEY	CENTURYTEL INC	B	593,868.55	1,705.00	0.00	1,705.00	55.0	937.75
01/12/2018	QGV006448 MORGAN STANLEY	LIBERTY OILFIELD SVCS INC CL A COM S	S	-21,200.00	60.00	0.00	60.00	55.0	33.00
01/29/2018	QGV006448 MORGAN STANLEY	PAGSEGURO DIGITAL LTD REGISTERED SH S	S	-2,821,290.00	5,000.00	0.00	5,000.00	55.0	2,750.00
01/04/2018	QGW031106 TIMYAN, PHILIP	COASTAL BKG CO INC COM	S	-94,518.00	49.34	0.00	49.34	55.0	27.14
01/04/2018	QGW031106 TIMYAN, PHILIP	COASTAL BKG CO INC COM	S	-3,142.50	51.00	0.00	51.00	55.0	28.05
01/04/2018	QGW031106 TIMYAN, PHILIP	FIRST COLEBROOK BANCORP INC CMT-COM S	S	-43,950.00	51.00	0.00	51.00	55.0	28.05
01/04/2018	QGW031106 TIMYAN, PHILIP	GLEN BURNIE BANCORP (GLEN BURNIE, M B	B	28,121.00	51.00	0.00	51.00	55.0	28.05
01/04/2018	QGW031106 TIMYAN, PHILIP	HOME BANCORP WIS INC COM	S	-8,970.00	14.07	0.00	14.07	55.0	0.00
01/04/2018	QGW031106 TIMYAN, PHILIP	HORIZON BANCORP-IND	S	-67,322.00	50.00	0.00	50.00	55.0	27.50
01/04/2018	QGW031106 TIMYAN, PHILIP	MAINSTREET BANCSHARES INC COM	B	1,748.00	2.55	0.00	2.55	55.0	0.00
01/04/2018	QGW031106 TIMYAN, PHILIP	STATE BK FINL CORP COM	S	-59,048.43	51.00	0.00	51.00	55.0	28.05
01/04/2018	QGW031106 TIMYAN, PHILIP	SUMMIT ST BK SANTA ROSA CALIF COM	B	91.70	0.17	0.00	0.17	55.0	0.00
01/05/2018	QGW031106 TIMYAN, PHILIP	SUMMIT ST BK SANTA ROSA CALIF COM	B	26,108.30	51.00	0.00	51.00	55.0	28.05
01/05/2018	QGW031106 TIMYAN, PHILIP	UNITED CMNTY BANCORP IND COM	B	42,500.00	51.00	0.00	51.00	55.0	28.05
01/10/2018	QGW031106 TIMYAN, PHILIP	HOME BANCORP WIS INC COM	S	-23,530.00	36.92	0.00	36.92	55.0	20.31
01/16/2018	QGW031106 TIMYAN, PHILIP	TFS FINL CORP COM	B	22,732.65	51.00	0.00	51.00	55.0	28.05
01/23/2018	QGW031163 KOPIN, JOSHUA	TEEKAY OFFSHORE PARTNERS L P CUM RE B	B	7,425.00	51.00	0.00	51.00	55.0	28.05
01/23/2018	QGW031163 KOPIN, JOSHUA	VERIZON COMMUNICATIONS	S	-18,728.92	51.00	0.00	51.00	55.0	28.05
01/23/2018	QGW031171 KOPIN, ZACHARY	TEEKAY OFFSHORE PARTNERS L P CUM RE B	B	7,425.00	51.00	0.00	51.00	55.0	28.05
01/23/2018	QGW031171 KOPIN, ZACHARY	VERIZON COMMUNICATIONS	S	-18,728.92	51.00	0.00	51.00	55.0	28.05
01/16/2018	QGW031197 WADLER, FREDERICK	X5 RETAIL GROUP N V SPONS GDR REG S S	S	-6,614.54	51.00	0.00	51.00	55.0	28.05

COMMISSION STATEMENT for CHARLES WINNICK

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
01/23/2018	QGW031197	WADLER, FREDERICK	TENCENT HLDGS LTD SHS PAR HKD 0.000	B	5,974.17	51.00	0.00	51.00	55.0	28.05
01/05/2018	QGW034852	HOLMES, MEGAN	OVERSTOCK COM INC DEL COM	S	-74,000.00	51.00	0.00	51.00	55.0	28.05
01/08/2018	QGW034852	HOLMES, MEGAN	MGT CAP INVTS INC COM NEW	B	46,890.00	20.00	0.00	20.00	55.0	0.00
01/19/2018	QGW034852	HOLMES, MEGAN	OVERSTOCK COM INC DEL COM	S	-140,000.00	75.00	0.00	75.00	55.0	41.25
01/26/2018	QGW034852	HOLMES, MEGAN	MGT CAP INVTS INC COM NEW	B	16,100.00	75.00	0.00	75.00	55.0	41.25
01/26/2018	QGW034852	HOLMES, MEGAN	MGT CAP INVTS INC COM NEW	B	-16,100.00	-75.00	0.00	-75.00	55.0	-41.25
01/26/2018	QGW034852	HOLMES, MEGAN	MGT CAP INVTS INC COM NEW	B	16,100.00	150.00	0.00	150.00	55.0	82.50
* STOCKS SUBTOTAL *					\$-2,188,089.13	\$15,822.05	\$0.00	\$15,822.05		\$8,659.90

**PRODUCT CATEGORY: OPTIONS**

01/08/2018	QGW034852	HOLMES, MEGAN	PUT 100 OVERSTOCK COM INC DEL COM E S	-4,500.00	75.00	0.00	75.00	55.0	41.25
01/22/2018	QGW034852	HOLMES, MEGAN	CALL 100 OVERSTOCK COM INC DEL COM S	-2,040.00	75.00	0.00	75.00	55.0	41.25
01/29/2018	QGW034852	HOLMES, MEGAN	CALL 100 OVERSTOCK COM INC DEL COM S	-2,230.00	75.00	0.00	75.00	55.0	41.25
* OPTIONS SUBTOTAL *				\$-8,770.00	\$225.00	\$0.00	\$225.00		\$123.75

**PRODUCT CATEGORY: MISCELLANEOUS**

01/31/2018	VARIOUS, WINNICK	BARON		B	0.00	2,500.00	0.00	2,500.00	55.0	1,375.00
<b>* MISCELLANEOUS SUBTOTAL *</b>					<b>\$0.00</b>	<b>\$2,500.00</b>	<b>\$0.00</b>	<b>\$2,500.00</b>		<b>\$1,375.00</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>					<b>\$-2,196,859.13</b>	<b>\$18,547.05</b>	<b>\$0.00</b>	<b>\$18,547.05</b>		<b>\$10,158.65</b>

**ADJUSTMENTS**

01/31/2018	OTHER: OTHER-FOREIGN CHARGES	0.00	0.00	0.00	0.00	-27.51
	*** ADJUSTMENTS TOTAL ***	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-27.51</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$10,158.65
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.51
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-20.06
SIPC Assessment	\$-40.12

<b>Check Amount</b>	<b>\$10,070.96</b>
<b>Year-to-date Earnings</b>	<b>\$10,070.96</b>