

COMMISSION STATEMENT

March 31, 2018

STEPHEN SUSLOW

14 WEST 12TH STREET

APT 6B

NEW YORK, NY 10011

BROKER #: 61

BRANCH: HOME OFFICE

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/06/2018	75500933 LAWRENCE, IRA	VIPSHOP HLDGS LTD SPONS ADR ISIN#US B		33,140.00	0.00	7.00	-7.00	85.0	-7.00
03/08/2018	75500933 LAWRENCE, IRA	ACADIA PHARMACEUTICALS INC COM	B	25,210.00	10.00	7.00	3.00	85.0	1.50
03/08/2018	75500933 LAWRENCE, IRA	ACADIA PHARMACEUTICALS INC COM	S	-25,210.00	-10.00	-7.00	-3.00	85.0	-1.50
03/08/2018	75500933 LAWRENCE, IRA	PATTERSON COS INC COM	B	24,740.00	10.00	7.00	3.00	85.0	1.50
02/27/2018	75500935 LAWRENCE, IRA	DIREXION SHARES ETF TRUST DIREXION	B	23,410.00	10.00	7.00	3.00	85.0	1.50
03/23/2018	75500935 LAWRENCE, IRA	DIREXION SHARES ETF TRUST DIREXION	S	25,400.00	10.00	7.00	3.00	85.0	1.50
03/06/2018	75501161 LAWRENCE, DAVID	DIREXION SHARES ETF TRUST DIREXION	S	25,410.00	0.00	7.00	-7.00	85.0	-7.00
03/07/2018	75501161 LAWRENCE, DAVID	DIREXION SHARES ETF TRUST DIREXION	B	23,210.00	10.00	7.00	3.00	85.0	1.50
03/23/2018	75501161 LAWRENCE, DAVID	DIREXION SHARES ETF TRUST DIREXION	S	25,680.00	10.00	7.00	3.00	85.0	1.50
03/08/2018	75501189 IRA S LAWRENCE TTEE	ACADIA PHARMACEUTICALS INC COM	B	25,210.00	10.00	7.00	3.00	85.0	1.50
03/01/2018	75502141 BOSGANG, ALVIN	WELLS FARGO & CO	B	900,000.00	0.00	7.00	-7.00	85.0	-7.00
03/01/2018	75502141 BOSGANG, ALVIN	WELLS FARGO & CO	B	5,056,900.00	0.00	7.00	-7.00	85.0	-7.00
03/09/2018	75502141 BOSGANG, ALVIN	WELLS FARGO & CO	S	1,972,000.00	0.00	7.00	-7.00	85.0	-7.00
03/09/2018	75502141 BOSGANG, ALVIN	WELLS FARGO & CO	S	3,674,250.00	0.00	7.00	-7.00	85.0	-7.00
03/14/2018	75502141 BOSGANG, ALVIN	WELLS FARGO & CO	B	457,500.00	0.00	7.00	-7.00	85.0	-7.00
03/21/2018	75502141 BOSGANG, ALVIN	CITIGROUP INC COM	B	380,000.00	0.00	7.00	-7.00	85.0	-7.00
03/26/2018	75502141 BOSGANG, ALVIN	CITIGROUP INC COM	B	540,200.00	0.00	7.00	-7.00	85.0	-7.00
* STOCKS SUBTOTAL *				\$13,187,050.00	\$60.00	\$105.00	\$-45.00		\$-54.00

PRODUCT CATEGORY: OPTIONS

03/02/2018	75500864 WINICK, JESSE	PUT C	03/09/18	75	S	87,119.31	1,200.00	7.00	1,193.00	85.0	1,013.00
03/02/2018	75500864 WINICK, JESSE	PUT C	03/02/18	73	B	71,149.37	0.00	7.00	-7.00	85.0	-7.00
03/02/2018	75500864 WINICK, JESSE	PUT WFC	03/23/18	60	S	63,170.00	426.00	7.00	419.00	85.0	355.10
03/02/2018	75500864 WINICK, JESSE	PUT WFC	03/02/18	60	B	58,910.00	0.00	7.00	-7.00	85.0	-7.00
03/08/2018	75500864 WINICK, JESSE	PUT C	03/16/18	75	S	34,711.33	560.00	7.00	553.00	85.0	469.00
03/08/2018	75500864 WINICK, JESSE	PUT C	03/09/18	75	B	29,111.33	0.00	7.00	-7.00	85.0	-7.00
03/15/2018	75500864 WINICK, JESSE	PUT C	03/16/18	75	B	33,532.00	0.00	7.00	-7.00	85.0	-7.00
03/15/2018	75500864 WINICK, JESSE	PUT C	03/23/18	75	S	38,598.66	506.00	7.00	499.00	85.0	423.10
03/15/2018	75500864 WINICK, JESSE	PUT WFC	03/23/18	60	B	60,845.00	0.00	7.00	-7.00	85.0	-7.00
03/20/2018	75500864 WINICK, JESSE	PUT C	03/23/18	75	B	37,560.00	0.00	7.00	-7.00	85.0	-7.00
02/28/2018	75500881 SUSLOW, RONNIE	PUT WFC	03/02/18	60	B	29,783.16	0.00	7.00	-7.00	85.0	-7.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
03/07/2018	75500881	SUSLOW, RONNIE	CALL WFC	03/09/18	58	S	640.00	0.00	7.00	-7.00	85.0	-7.00
03/09/2018	75500881	SUSLOW, RONNIE	CALL WFC	03/09/18	58	B	1,300.00	0.00	7.00	-7.00	85.0	-7.00
03/09/2018	75500881	SUSLOW, RONNIE	CALL WFC	03/16/18	59.50	S	1,500.00	0.00	7.00	-7.00	85.0	-7.00
03/14/2018	75500881	SUSLOW, RONNIE	CALL WFC	03/16/18	58	S	1,100.00	0.00	7.00	-7.00	85.0	-7.00
03/15/2018	75500881	SUSLOW, RONNIE	PUT AABA	03/23/18	75.50	S	2,220.00	0.00	7.00	-7.00	85.0	-7.00
03/16/2018	75500881	SUSLOW, RONNIE	PUT C	03/23/18	70.50	S	800.00	0.00	7.00	-7.00	85.0	-7.00
03/20/2018	75500881	SUSLOW, RONNIE	PUT AABA	03/23/18	76	S	1,820.00	0.00	7.00	-7.00	85.0	-7.00
03/21/2018	75500881	SUSLOW, RONNIE	PUT C	03/23/18	71.50	S	1,520.00	0.00	7.00	-7.00	85.0	-7.00
03/22/2018	75500881	SUSLOW, RONNIE	PUT AABA	03/23/18	75.50	B	8,106.33	0.00	7.00	-7.00	85.0	-7.00
03/22/2018	75500881	SUSLOW, RONNIE	PUT AABA	03/23/18	76	B	12,800.00	0.00	7.00	-7.00	85.0	-7.00
03/22/2018	75500881	SUSLOW, RONNIE	PUT C	03/23/18	71.50	B	9,660.00	0.00	7.00	-7.00	85.0	-7.00
03/22/2018	75500881	SUSLOW, RONNIE	PUT C	03/23/18	70.50	B	2,400.00	0.00	7.00	-7.00	85.0	-7.00
03/20/2018	75500882	SUSLOW, RONNIE	PUT AABA	03/23/18	75	S	1,000.00	0.00	7.00	-7.00	85.0	-7.00
03/20/2018	75500882	SUSLOW, RONNIE	PUT AABA	03/23/18	75.50	S	1,360.00	0.00	7.00	-7.00	85.0	-7.00
03/22/2018	75500882	SUSLOW, RONNIE	PUT AABA	03/23/18	75	B	4,800.00	0.00	7.00	-7.00	85.0	-7.00
03/22/2018	75500882	SUSLOW, RONNIE	PUT AABA	03/23/18	75.50	B	8,106.33	0.00	7.00	-7.00	85.0	-7.00
02/28/2018	75500918	SUSLOW, ELISSA	PUT WFC	03/02/18	60	B	10,636.84	0.00	7.00	-7.00	85.0	-7.00
03/01/2018	75500918	SUSLOW, ELISSA	PUT WFC	03/02/18	60	B	20,760.00	0.00	7.00	-7.00	85.0	-7.00
03/07/2018	75500918	SUSLOW, ELISSA	CALL WFC	03/09/18	58	S	640.00	0.00	7.00	-7.00	85.0	-7.00
03/07/2018	75500918	SUSLOW, ELISSA	CALL WFC	03/29/18	52	S	1,760.00	0.00	7.00	-7.00	85.0	-7.00
03/07/2018	75500918	SUSLOW, ELISSA	CALL WFC	03/29/18	52	B	1,560.00	0.00	7.00	-7.00	85.0	-7.00
03/09/2018	75500918	SUSLOW, ELISSA	CALL WFC	03/09/18	58	B	1,300.00	0.00	7.00	-7.00	85.0	-7.00
03/09/2018	75500918	SUSLOW, ELISSA	CALL WFC	03/16/18	59.50	S	1,500.00	0.00	7.00	-7.00	85.0	-7.00
03/14/2018	75500918	SUSLOW, ELISSA	CALL WFC	03/16/18	58	S	1,100.00	0.00	7.00	-7.00	85.0	-7.00
03/15/2018	75500918	SUSLOW, ELISSA	PUT AABA	03/23/18	75.50	S	2,220.00	0.00	7.00	-7.00	85.0	-7.00
03/16/2018	75500918	SUSLOW, ELISSA	PUT C	03/23/18	71	S	1,200.00	0.00	7.00	-7.00	85.0	-7.00
03/16/2018	75500918	SUSLOW, ELISSA	PUT C	03/23/18	71.50	S	1,600.00	0.00	7.00	-7.00	85.0	-7.00
03/16/2018	75500918	SUSLOW, ELISSA	PUT T	03/29/18	36	S	950.00	0.00	7.00	-7.00	85.0	-7.00
03/16/2018	75500918	SUSLOW, ELISSA	PUT WFC	03/16/18	61	B	181,500.00	0.00	7.00	-7.00	85.0	-7.00
03/16/2018	75500918	SUSLOW, ELISSA	PUT WFC	03/16/18	61	S	179,920.18	0.00	7.00	-7.00	85.0	-7.00
03/22/2018	75500918	SUSLOW, ELISSA	PUT AABA	03/23/18	75.50	B	8,106.33	0.00	7.00	-7.00	85.0	-7.00
03/22/2018	75500918	SUSLOW, ELISSA	PUT C	03/23/18	71	B	3,760.00	0.00	7.00	-7.00	85.0	-7.00
03/22/2018	75500918	SUSLOW, ELISSA	PUT C	03/23/18	71.50	B	6,440.00	0.00	7.00	-7.00	85.0	-7.00
03/26/2018	75500918	SUSLOW, ELISSA	CALL T	04/06/18	35.50	S	1,129.33	0.00	7.00	-7.00	85.0	-7.00
02/28/2018	75500954	SUSLOW, STEPHEN	PUT AABA	03/02/18	70.50	B	160.00	0.00	7.00	-7.00	85.0	-7.00
03/05/2018	75500954	SUSLOW, STEPHEN	CALL C	03/29/18	72	S	520.00	0.00	7.00	-7.00	85.0	-7.00
03/06/2018	75500954	SUSLOW, STEPHEN	CALL C	03/29/18	72	B	520.00	0.00	7.00	-7.00	85.0	-7.00
03/15/2018	75500954	SUSLOW, STEPHEN	PUT AABA	03/23/18	75	S	3,420.00	0.00	7.00	-7.00	85.0	-7.00
03/15/2018	75500954	SUSLOW, STEPHEN	PUT AABA	03/23/18	76	S	527.50	0.00	7.00	-7.00	85.0	-7.00
03/16/2018	75500954	SUSLOW, STEPHEN	PUT WFC	03/16/18	60	B	395,680.00	0.00	7.00	-7.00	85.0	-7.00

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TRADE DATE	CLIENT	INVESTMENT				B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS													
PRODUCT CATEGORY: OPTIONS													
03/16/2018	75500954	SUSLOW, STEPHEN	PUT	WFC	03/16/18	60	S	398,090.00	0.00	7.00	-7.00	85.0	-7.00
03/20/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	03/23/18	75.50	S	5,220.00	0.00	7.00	-7.00	85.0	-7.00
03/22/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	03/23/18	75	B	9,600.00	0.00	7.00	-7.00	85.0	-7.00
03/22/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	03/23/18	76	B	2,560.00	0.00	7.00	-7.00	85.0	-7.00
03/23/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	04/13/18	76.50	S	86,599.00	0.00	7.00	-7.00	85.0	-7.00
03/23/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	03/23/18	75.50	B	69,190.44	0.00	7.00	-7.00	85.0	-7.00
03/28/2018	75500954	SUSLOW, STEPHEN	PUT	AABA	04/13/18	76.50	B	94,500.00	0.00	7.00	-7.00	85.0	-7.00
03/16/2018	75500986	SUSLOVICH, JACOB	PUT	T	03/29/18	36	S	950.00	0.00	7.00	-7.00	85.0	-7.00
03/26/2018	75500986	SUSLOVICH, JACOB	CALL	T	04/06/18	35.50	S	1,129.33	0.00	7.00	-7.00	85.0	-7.00
03/02/2018	75501098	WINICK FAMILY	PUT	C	03/09/18	75	S	65,339.49	900.00	7.00	893.00	85.0	758.00
03/02/2018	75501098	WINICK FAMILY	PUT	C	03/02/18	73	B	53,362.03	0.00	7.00	-7.00	85.0	-7.00
03/02/2018	75501098	WINICK FAMILY	PUT	WFC	03/23/18	60	S	63,170.00	426.00	7.00	419.00	85.0	355.10
03/02/2018	75501098	WINICK FAMILY	PUT	WFC	03/02/18	60	B	58,910.00	0.00	7.00	-7.00	85.0	-7.00
03/08/2018	75501098	WINICK FAMILY	PUT	C	03/16/18	75	S	17,355.67	280.00	7.00	273.00	85.0	231.00
03/08/2018	75501098	WINICK FAMILY	PUT	C	03/09/18	75	B	14,555.67	0.00	7.00	-7.00	85.0	-7.00
03/15/2018	75501098	WINICK FAMILY	PUT	C	03/16/18	75	B	16,766.00	0.00	7.00	-7.00	85.0	-7.00
03/15/2018	75501098	WINICK FAMILY	PUT	C	03/23/18	75	S	19,299.33	253.00	7.00	246.00	85.0	208.05
03/15/2018	75501098	WINICK FAMILY	PUT	WFC	03/23/18	60	B	60,845.00	0.00	7.00	-7.00	85.0	-7.00
03/20/2018	75501098	WINICK FAMILY	PUT	C	03/23/18	75	B	18,780.00	0.00	7.00	-7.00	85.0	-7.00
03/01/2018	75501215	SISTERS TWO LLC	PUT	C	03/02/18	73	B	565.00	0.00	7.00	-7.00	85.0	-7.00
03/01/2018	75501215	SISTERS TWO LLC	PUT	C	03/02/18	73	B	840.00	0.00	7.00	-7.00	85.0	-7.00
03/16/2018	75501215	SISTERS TWO LLC	PUT	T	03/29/18	35.50	S	680.00	0.00	7.00	-7.00	85.0	-7.00
03/26/2018	75501215	SISTERS TWO LLC	CALL	T	04/06/18	35.50	S	1,631.33	0.00	7.00	-7.00	85.0	-7.00
03/02/2018	75502141	BOSGANG, ALVIN	PUT	C	01/19/18	67.50	S	101,192.00	510.00	7.00	503.00	85.0	426.50
03/02/2018	75502141	BOSGANG, ALVIN	PUT	C	03/23/18	75	S	986,000.00	5,760.00	7.00	5,753.00	85.0	4,889.00
03/02/2018	75502141	BOSGANG, ALVIN	PUT	C	03/02/18	73	B	683,033.99	0.00	7.00	-7.00	85.0	-7.00
03/02/2018	75502141	BOSGANG, ALVIN	PUT	C	03/02/18	73	B	81,480.00	0.00	7.00	-7.00	85.0	-7.00
03/02/2018	75502141	BOSGANG, ALVIN	PUT	WFC	03/23/18	61	S	179,033.88	171.00	7.00	164.00	85.0	138.35
03/02/2018	75502141	BOSGANG, ALVIN	PUT	WFC	03/23/18	60	S	428,676.00	1,234.00	7.00	1,227.00	85.0	1,041.90
03/02/2018	75502141	BOSGANG, ALVIN	PUT	WFC	03/02/18	60	B	403,978.00	0.00	7.00	-7.00	85.0	-7.00
03/02/2018	75502141	BOSGANG, ALVIN	PUT	WFC	03/02/18	60	B	175,608.88	0.00	7.00	-7.00	85.0	-7.00
03/05/2018	75502141	BOSGANG, ALVIN	CALL	WFC	03/09/18	58	S	8,920.00	446.00	7.00	439.00	85.0	372.10
03/05/2018	75502141	BOSGANG, ALVIN	CALL	WFC	03/09/18	58.50	S	5,180.00	259.00	7.00	252.00	85.0	213.15
03/05/2018	75502141	BOSGANG, ALVIN	CALL	WFC	03/29/18	52	S	7,640.00	300.00	7.00	293.00	85.0	248.00
03/06/2018	75502141	BOSGANG, ALVIN	CALL	WFC	03/09/18	58	S	540.00	27.00	7.00	20.00	85.0	15.95
03/06/2018	75502141	BOSGANG, ALVIN	CALL	WFC	03/29/18	52	S	4,270.00	208.00	7.00	201.00	85.0	169.80
03/07/2018	75502141	BOSGANG, ALVIN	CALL	WFC	03/29/18	52	S	3,320.00	166.00	7.00	159.00	85.0	134.10
03/08/2018	75502141	BOSGANG, ALVIN	CALL	C	03/16/18	75.50	S	4,000.00	200.00	7.00	193.00	85.0	163.00
03/08/2018	75502141	BOSGANG, ALVIN	CALL	C	03/16/18	76	S	2,640.00	132.00	7.00	125.00	85.0	105.20
03/08/2018	75502141	BOSGANG, ALVIN	CALL	C	03/16/18	76.50	S	1,820.00	91.00	7.00	84.00	85.0	70.35

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
03/08/2018	75502141	BOSGANG, ALVIN	CALL C	03/09/18	75	S	1,500.00	75.00	7.00	68.00	85.0	56.75
03/08/2018	75502141	BOSGANG, ALVIN	CALL WFC	03/16/18	58	S	1,560.00	78.00	7.00	71.00	85.0	59.30
03/08/2018	75502141	BOSGANG, ALVIN	CALL WFC	03/16/18	58.50	S	880.00	44.00	7.00	37.00	85.0	30.40
03/08/2018	75502141	BOSGANG, ALVIN	CALL WFC	03/16/18	57.50	S	5,025.00	251.00	7.00	244.00	85.0	206.35
03/08/2018	75502141	BOSGANG, ALVIN	CALL WFC	03/29/18	52	S	3,825.00	191.00	7.00	184.00	85.0	155.35
03/09/2018	75502141	BOSGANG, ALVIN	CALL C	03/09/18	75	B	30,499.00	0.00	7.00	-7.00	85.0	-7.00
03/09/2018	75502141	BOSGANG, ALVIN	CALL WFC	03/29/18	52	B	30,824.00	0.00	7.00	-7.00	85.0	-7.00
03/09/2018	75502141	BOSGANG, ALVIN	PUT AABA	03/16/18	75	S	13,620.00	450.00	7.00	443.00	85.0	375.50
03/09/2018	75502141	BOSGANG, ALVIN	PUT C	01/19/18	67.50	S	22,052.00	0.00	7.00	-7.00	85.0	-7.00
03/09/2018	75502141	BOSGANG, ALVIN	PUT C	01/19/18	67.50	S	8,849.00	0.00	7.00	-7.00	85.0	-7.00
03/09/2018	75502141	BOSGANG, ALVIN	PUT WFC	03/23/18	61	S	21,756.00	0.00	7.00	-7.00	85.0	-7.00
03/12/2018	75502141	BOSGANG, ALVIN	PUT AABA	03/16/18	75	S	1,960.00	98.00	7.00	91.00	85.0	76.30
03/12/2018	75502141	BOSGANG, ALVIN	PUT AABA	03/16/18	75.50	S	2,820.00	141.00	7.00	134.00	85.0	112.85
03/12/2018	75502141	BOSGANG, ALVIN	PUT C	03/16/18	75	S	9,700.00	485.00	7.00	478.00	85.0	405.25
03/12/2018	75502141	BOSGANG, ALVIN	PUT C	03/16/18	74	S	1,080.00	54.00	7.00	47.00	85.0	38.90
03/12/2018	75502141	BOSGANG, ALVIN	PUT C	03/16/18	74.50	S	1,640.00	82.00	7.00	75.00	85.0	62.70
03/13/2018	75502141	BOSGANG, ALVIN	PUT C	03/16/18	75	S	1,820.00	90.00	7.00	83.00	85.0	69.50
03/15/2018	75502141	BOSGANG, ALVIN	PUT AABA	03/23/18	76	S	7,912.50	395.00	7.00	388.00	85.0	328.75
03/15/2018	75502141	BOSGANG, ALVIN	PUT AABA	03/23/18	75.50	S	13,482.00	600.00	7.00	593.00	85.0	503.00
03/15/2018	75502141	BOSGANG, ALVIN	PUT AABA	03/23/18	77	S	17,036.00	600.00	7.00	593.00	85.0	503.00
03/16/2018	75502141	BOSGANG, ALVIN	CALL WFC	03/29/18	58	S	25,167.05	0.00	7.00	-7.00	85.0	-7.00
03/16/2018	75502141	BOSGANG, ALVIN	CALL WFC	03/29/18	52	S	825.00	0.00	7.00	-7.00	85.0	-7.00
03/16/2018	75502141	BOSGANG, ALVIN	PUT AABA	03/23/18	75.50	S	1,720.00	86.00	7.00	79.00	85.0	66.10
03/16/2018	75502141	BOSGANG, ALVIN	PUT AABA	03/23/18	76	S	2,820.00	141.00	7.00	134.00	85.0	112.85
03/16/2018	75502141	BOSGANG, ALVIN	PUT AABA	03/23/18	75.50	S	4,250.00	212.00	7.00	205.00	85.0	173.20
03/16/2018	75502141	BOSGANG, ALVIN	PUT AABA	03/23/18	77	S	9,100.00	450.00	7.00	443.00	85.0	375.50
03/16/2018	75502141	BOSGANG, ALVIN	PUT AABA	03/23/18	75.50	S	11,400.00	450.00	7.00	443.00	85.0	375.50
03/16/2018	75502141	BOSGANG, ALVIN	PUT C	03/16/18	75	B	65,220.00	0.00	7.00	-7.00	85.0	-7.00
03/16/2018	75502141	BOSGANG, ALVIN	PUT C	03/16/18	74	B	3,360.00	0.00	7.00	-7.00	85.0	-7.00
03/16/2018	75502141	BOSGANG, ALVIN	PUT C	03/16/18	74.50	B	7,396.80	0.00	7.00	-7.00	85.0	-7.00
03/16/2018	75502141	BOSGANG, ALVIN	PUT C	03/29/18	74	S	74,160.00	0.00	7.00	-7.00	85.0	-7.00
03/16/2018	75502141	BOSGANG, ALVIN	PUT WFC	03/23/18	61	B	185,164.84	0.00	7.00	-7.00	85.0	-7.00
03/16/2018	75502141	BOSGANG, ALVIN	PUT WFC	03/23/18	60	B	550,433.00	0.00	7.00	-7.00	85.0	-7.00
03/20/2018	75502141	BOSGANG, ALVIN	CALL WFC	03/29/18	52	S	2,805.00	0.00	7.00	-7.00	85.0	-7.00
03/20/2018	75502141	BOSGANG, ALVIN	PUT AABA	03/23/18	77	S	12,320.00	600.00	7.00	593.00	85.0	503.00
03/20/2018	75502141	BOSGANG, ALVIN	PUT AABA	03/23/18	75.50	S	14,640.00	600.00	7.00	593.00	85.0	503.00
03/20/2018	75502141	BOSGANG, ALVIN	PUT C	03/23/18	71.50	S	5,080.00	254.00	7.00	247.00	85.0	208.90
03/21/2018	75502141	BOSGANG, ALVIN	CALL C	03/23/18	75	S	2,840.00	142.00	7.00	135.00	85.0	113.70
03/21/2018	75502141	BOSGANG, ALVIN	CALL C	03/23/18	74	S	9,300.00	360.00	7.00	353.00	85.0	299.00
03/21/2018	75502141	BOSGANG, ALVIN	CALL WFC	03/23/18	55.50	S	1,695.00	0.00	7.00	-7.00	85.0	-7.00

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION			
BROKER TRANSACTIONS												
PRODUCT CATEGORY: OPTIONS												
03/21/2018	75502141	BOSGANG, ALVIN	CALL WFC	03/29/18	52	S	3,040.00	0.00	7.00	-7.00	85.0	-7.00
03/21/2018	75502141	BOSGANG, ALVIN	PUT AABA	03/23/18	75.50	S	1,020.00	51.00	7.00	44.00	85.0	36.35
03/21/2018	75502141	BOSGANG, ALVIN	PUT AABA	03/23/18	76	S	1,460.00	73.00	7.00	66.00	85.0	55.05
03/21/2018	75502141	BOSGANG, ALVIN	PUT AABA	03/23/18	75.50	S	2,120.00	106.00	7.00	99.00	85.0	83.10
03/21/2018	75502141	BOSGANG, ALVIN	PUT AABA	03/23/18	77	S	8,780.00	439.00	7.00	432.00	85.0	366.15
03/21/2018	75502141	BOSGANG, ALVIN	PUT AABA	03/23/18	75.50	S	17,060.00	600.00	7.00	593.00	85.0	503.00
03/21/2018	75502141	BOSGANG, ALVIN	PUT C	01/19/18	67.50	S	5,640.00	282.00	7.00	275.00	85.0	232.70
03/22/2018	75502141	BOSGANG, ALVIN	CALL C	04/20/18	74	S	356,842.00	0.00	7.00	-7.00	85.0	-7.00
03/22/2018	75502141	BOSGANG, ALVIN	CALL C	04/13/18	74	S	10,350.00	0.00	7.00	-7.00	85.0	-7.00
03/22/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/20/18	74	S	605,863.00	0.00	7.00	-7.00	85.0	-7.00
03/22/2018	75502141	BOSGANG, ALVIN	PUT AABA	03/23/18	77	B	367,978.00	0.00	7.00	-7.00	85.0	-7.00
03/22/2018	75502141	BOSGANG, ALVIN	PUT AABA	03/23/18	75.50	B	357,417.00	0.00	7.00	-7.00	85.0	-7.00
03/22/2018	75502141	BOSGANG, ALVIN	PUT C	01/19/18	67.50	B	77,850.00	0.00	7.00	-7.00	85.0	-7.00
03/22/2018	75502141	BOSGANG, ALVIN	PUT C	01/19/18	67.50	B	215,908.00	0.00	7.00	-7.00	85.0	-7.00
03/22/2018	75502141	BOSGANG, ALVIN	PUT C	03/23/18	75	B	1,734,172.00	0.00	7.00	-7.00	85.0	-7.00
03/23/2018	75502141	BOSGANG, ALVIN	CALL C	04/13/18	74	S	11,300.00	0.00	7.00	-7.00	85.0	-7.00
03/23/2018	75502141	BOSGANG, ALVIN	CALL C	03/29/18	72	S	876.00	0.00	7.00	-7.00	85.0	-7.00
03/23/2018	75502141	BOSGANG, ALVIN	CALL WFC	03/29/18	52	S	4,575.00	0.00	7.00	-7.00	85.0	-7.00
03/23/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/06/18	75.50	S	74,020.00	360.00	7.00	353.00	85.0	299.00
03/23/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/06/18	76	S	201,840.00	870.00	7.00	863.00	85.0	732.50
03/23/2018	75502141	BOSGANG, ALVIN	PUT AABA	04/06/18	75.50	S	276,176.00	1,050.00	7.00	1,043.00	85.0	885.50
03/23/2018	75502141	BOSGANG, ALVIN	PUT AABA	03/23/18	75.50	B	54,620.00	0.00	7.00	-7.00	85.0	-7.00
03/23/2018	75502141	BOSGANG, ALVIN	PUT AABA	03/23/18	76	B	163,680.00	0.00	7.00	-7.00	85.0	-7.00
03/23/2018	75502141	BOSGANG, ALVIN	PUT AABA	03/23/18	75.50	B	242,166.56	0.00	7.00	-7.00	85.0	-7.00
03/23/2018	75502141	BOSGANG, ALVIN	PUT C	03/23/18	71.50	B	89,468.00	0.00	7.00	-7.00	85.0	-7.00
03/27/2018	75502141	BOSGANG, ALVIN	CALL C	04/20/18	72	S	42,783.68	0.00	7.00	-7.00	85.0	-7.00
03/27/2018	75502141	BOSGANG, ALVIN	CALL C	04/13/18	72	S	7,165.00	0.00	7.00	-7.00	85.0	-7.00
03/27/2018	75502141	BOSGANG, ALVIN	PUT C	03/29/18	74	B	299,703.52	0.00	7.00	-7.00	85.0	-7.00
03/28/2018	75502141	BOSGANG, ALVIN	CALL C	03/29/18	72	S	3,567.00	0.00	7.00	-7.00	85.0	-7.00
03/28/2018	75502141	BOSGANG, ALVIN	PUT AABA	03/29/18	71	S	2,660.00	133.00	7.00	126.00	85.0	106.05
* OPTIONS SUBTOTAL *							\$11,995,441.99	\$24,948.00	\$1,127.00	\$23,821.00		\$20,078.80
*** BROKER TRANSACTIONS TOTAL ***							\$25,182,491.99	\$25,008.00	\$1,232.00	\$23,776.00		\$20,024.80

COMMISSION STATEMENT for STEPHEN SUSLOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

03/31/2018		OTHER: OTHER-HEALTH INSURANCE		0.00	0.00	0.00	0.00		-556.05
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-556.05

BROKER COMMISSION TOTALS

Broker Transactions	\$20,024.80
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-556.05
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-31.26
SIPC Assessment	\$-62.52

Check Amount	\$19,374.97
Year-to-date Earnings	\$5,951.65