

**COMMISSION STATEMENT****February 15, 2018**

G0C-JEFF/DAN/JON PAU FT-NY KIESNO/RAUPP/C

417 E. Main Street

3rd Floor

Port Jefferson, NY 11777

BROKER #: G0C

BRANCH: FORTITUDE SPLITS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^01/18/2018	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.31	0.00	0.31	100.0	0.00
^01/02/2018	GALGANO, ROBERT	ARIEL APPRECIATION FUND	0.00	0.01	0.00	0.01	100.0	0.00
^01/10/2018	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	9.47	0.00	9.47	100.0	0.00
^01/10/2018	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.52	0.00	1.52	100.0	0.00
^01/10/2018	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	5.06	0.00	5.06	100.0	0.00
^01/10/2018	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	7.00	0.00	7.00	100.0	0.00
^01/12/2018	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	3.22	0.00	3.22	100.0	0.00
^01/24/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.07	0.00	4.07	100.0	0.00
^01/24/2018	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	9.04	0.00	9.04	100.0	0.00
^01/24/2018	CAVEGN, JOSEPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.44	0.00	1.44	100.0	0.00
^01/24/2018	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.51	0.00	0.51	100.0	0.00
^01/24/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	5.62	0.00	5.62	100.0	0.00
^01/24/2018	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	3.16	0.00	3.16	100.0	0.00
^01/16/2018	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	9.89	0.00	9.89	100.0	0.00
^01/12/2018	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	3.02	0.00	3.02	100.0	0.00
^01/12/2018	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	3.03	0.00	3.03	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$66.37	\$0.00	\$66.37		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$66.37	\$0.00	\$66.37		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.05
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.05
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00