

COMMISSION STATEMENT  
January 05, 2018

SYLVIA LUM  
209 Broadway Avenue  
Millbrae, CA 94030

BROKER #: 73896  
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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Company: Securities America

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

01/05/2018	LUM (73896), SYLVIA	SAI SECURITES INCOME	0.00	153.25	52.11	0.00	52.11
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$153.25	\$52.11	\$0.00	\$52.11
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$153.25	\$52.11	\$0.00	\$52.11

BROKER COMMISSION TOTALS

Broker Transactions	\$52.11
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Prior Period Balance	\$-5.27
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$46.84
Year-to-date Earnings	\$46.84