



Concorde Investment Services, LLC

07/27/2018

Page No. 1

# COMMISSION STATEMENT

July 31, 2018

JOEL, W143 WOODS  
1412 Blue Quail Run

BROKER #: W143  
BRANCH: WOODS FINANCIAL

Crowley, TX 76036-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: REIT</u></b>								
06/27/2018	JOHNSON, LONZO	CIM COMMERCIAL TRUST SERIES A	10,000.00	500.00	0.00	500.00	92.0	460.00
07/17/2018	PROPST FAMILY TRUST	CIM COMMERCIAL TRUST SERIES A	10,000.00	500.00	0.00	500.00	92.0	460.00
07/19/2018	PROPST, MARGARETT	CIM COMMERCIAL TRUST SERIES A	10,000.00	500.00	0.00	500.00	92.0	460.00
07/03/2018	VINCENTI, JULENE	COTTONWOOD MULTIFAMILY REIT II	45,000.00	2,700.00	0.00	2,700.00	92.0	2,484.00
07/03/2018	VINCENTI, JULENE	CW MULTIFAMILY OPPORTUNITY FUND	45,000.00	2,700.00	0.00	2,700.00	92.0	2,484.00
06/30/2018	SCOGGIN, HELEN	GRIFFIN CAPITAL ESSENTIAL ASSET II	17,454.40	43.52	0.00	43.52	92.0	40.04
06/30/2018	SHERRIEB, HAROLD	GRIFFIN CAPITAL ESSENTIAL ASSET II	71,927.47	179.33	0.00	179.33	92.0	164.98
<b>* REIT SUBTOTAL *</b>			<b>\$209,381.87</b>	<b>\$7,122.85</b>	<b>\$0.00</b>	<b>\$7,122.85</b>		<b>\$6,553.02</b>
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
06/30/2018	TMICO CUSTODIAN	BR TOTAL INC & REAL ESTATE FUND A	64,628.30	13.28	0.00	13.28	92.0	12.22
06/30/2018	EUBANKS, MILDRED	BR TOTAL INC & REAL ESTATE FUND A	20,376.53	16.75	0.00	16.75	92.0	15.41
06/30/2018	TMICO CUSTODIAN	BR TOTAL INC & REAL ESTATE FUND C	15,760.47	12.96	0.00	12.96	92.0	11.92
06/30/2018	TMICO TRUST CO	BR TOTAL INC & REAL ESTATE FUND C	13,522.32	11.12	0.00	11.12	92.0	10.23
06/30/2018	TMICO CUSTODIAN	BR TOTAL INC & REAL ESTATE FUND C	15,908.61	13.08	0.00	13.08	92.0	12.03
06/30/2018	OXFORD, RONALD	BR TOTAL INC & REAL ESTATE FUND C	25,479.56	20.95	0.00	20.95	92.0	19.27
06/30/2018	TMICO TRUST CO	BR TOTAL INC & REAL ESTATE FUND C	73,658.37	60.55	0.00	60.55	92.0	55.71
06/30/2018	MIRES, LINDA K OXFORD-	BR TOTAL INC & REAL ESTATE FUND C	25,435.14	20.91	0.00	20.91	92.0	19.24
06/30/2018	RECTOR, RICHARD	BR TOTAL INC & REAL ESTATE FUND C	20,333.91	16.71	0.00	16.71	92.0	15.37
06/30/2018	LYBBERT, BLAIR	BR TOTAL INC & REAL ESTATE FUND C	27,109.14	22.28	0.00	22.28	92.0	20.50
06/30/2018	PERRY CHILDRENS TR, RUDOLPH	BR TOTAL INC & REAL ESTATE FUND C	12,477.73	10.25	0.00	10.25	92.0	9.43
06/30/2018	THREADGILL, VICKY	BR TOTAL INC & REAL ESTATE FUND C	15,564.43	12.79	0.00	12.79	92.0	11.77
06/30/2018	BARFIELD, RONALD	BR TOTAL INC & REAL ESTATE FUND C	3,620.29	2.97	0.00	2.97	92.0	2.73
06/30/2018	LYONS, DAVID	BR TOTAL INC & REAL ESTATE FUND C	3,095.95	2.55	0.00	2.55	92.0	2.35
06/30/2018	LYONS, LORA	BR TOTAL INC & REAL ESTATE FUND C	20,123.58	16.53	0.00	16.53	92.0	15.21
06/30/2018	TOMLINSON, HAROLD	BR TOTAL INC & REAL ESTATE FUND C	3,575.88	2.93	0.00	2.93	92.0	2.70
07/02/2018	TOMLINSON, HAROLD	BR TOTAL INC & REAL ESTATE FUND C	500.00	5.00	0.00	5.00	92.0	4.60

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
06/30/2018	MCELROY, MARGARET	GRIFFIN INST'L ACCESS R E FUND	5,283.03	1.09	0.00	1.09	92.0	1.00
07/02/2018	KILPATRICK, SUSAN	GRIFFIN INST'L ACCESS R E FUND C	5,000.00	50.00	0.00	50.00	92.0	46.00
<b>* INTERVAL FUNDS SUBTOTAL *</b>			<b>\$371,453.24</b>	<b>\$312.70</b>	<b>\$0.00</b>	<b>\$312.70</b>		<b>\$287.69</b>
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
06/30/2018	GORDON, JEFFREY	AGE-BASED 9 (AGES 17 AND OVER) C	15,510.59	38.67	0.00	38.67	90.0	34.80
06/30/2018	GORDON, JEFFREY	AGE-BASED 9 (AGES 17 AND OVER) C	8,484.98	21.15	0.00	21.15	90.0	19.04
07/05/2018	GORDON, JEFFREY	AGE-BASED 9 (AGES 17 AND OVER) C	750.00	7.50	0.00	7.50	90.0	6.75
07/05/2018	GORDON, JEFFREY	AGE-BASED 9 (AGES 17 AND OVER) C	50.00	0.50	0.00	0.50	90.0	0.45
07/16/2018	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL 529A	50.00	2.13	0.00	2.13	90.0	1.92
07/16/2018	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL 529A	50.00	2.13	0.00	2.13	90.0	1.92
07/12/2018	TURNER, CATALINA	AM FUNDS CAPITAL WORLD GRTH & INC 5	1,000.00	50.00	0.00	50.00	90.0	45.00
07/16/2018	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	90.0	1.92
07/16/2018	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	90.0	1.92
07/10/2018	ST JOHN, FINN	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.13	0.00	2.13	90.0	1.92
07/09/2018	GRAVES, BENJAMIN	COLLEGE 2033 FUND-529A	10.00	0.35	0.00	0.35	90.0	0.32
07/16/2018	GRAVES, BENJAMIN	COLLEGE 2033 FUND-529A	10.00	0.35	0.00	0.35	90.0	0.32
<b>* 529 PLANS SUBTOTAL *</b>			<b>\$26,065.57</b>	<b>\$129.17</b>	<b>\$0.00</b>	<b>\$129.17</b>		<b>\$116.28</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/16/2018	GARCIA, SUSAN	AM FUNDS AMERICAN MUTUAL A	50.00	2.13	0.00	2.13	90.0	1.92
07/16/2018	GARCIA, SUSAN	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.13	0.00	2.13	90.0	1.92
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$100.00</b>	<b>\$4.26</b>	<b>\$0.00</b>	<b>\$4.26</b>		<b>\$3.84</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
07/12/2018	HIPKINS, DAVID	JNL PERSPECTIVE II 04/14	63,471.06	4,442.97	0.00	4,442.97	90.0	3,998.67
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$63,471.06</b>	<b>\$4,442.97</b>	<b>\$0.00</b>	<b>\$4,442.97</b>		<b>\$3,998.67</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
/ /	SOMMERS, MARY	CALAMOS GLOBAL GROWTH & INCOME C	0.00	2.16	0.00	2.16	90.0	1.94
/ /	SOMMERS, MARY	CALAMOS GROWTH C	0.00	30.86	0.00	30.86	90.0	27.77
07/01/2018	MOORE, JOHN	INVESCO AMERICAN FRANCHISE A	0.00	14.17	0.00	14.17	90.0	12.75
07/01/2018	TMICO TRUST CO	INVESCO BALANCED RISK ALLOCATION A	0.00	5.71	0.00	5.71	90.0	5.14
07/01/2018	ROGERS, HELEN	INVESCO BALANCED RISK ALLOCATION A	0.00	29.51	0.00	29.51	90.0	26.56
07/01/2018	CONTE, CYNTHIA	INVESCO BALANCED RISK ALLOCATION A	0.00	4.80	0.00	4.80	90.0	4.32

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
07/01/2018	CONTE, CYNTHIA	INVESCO BALANCED RISK ALLOCATION A	0.00	3.30	0.00	3.30	90.0	2.97
07/01/2018	MCELVAIN, TED	INVESCO BALANCED-RISK ALLOCATION-C	0.00	12.61	0.00	12.61	90.0	11.35
07/01/2018	MCELVAIN, CHAD	INVESCO BALANCED-RISK ALLOCATION-C	0.00	5.82	0.00	5.82	90.0	5.24
07/01/2018	BURTS, TERRY	INVESCO BALANCED-RISK ALLOCATION-C	0.00	7.12	0.00	7.12	90.0	6.41
07/01/2018	HEZEL, KATHLEEN	INVESCO BALANCED-RISK ALLOCATION-C	0.00	106.49	0.00	106.49	90.0	95.84
07/01/2018	KMAK, SHANNON	INVESCO BALANCED-RISK ALLOCATION-C	0.00	31.48	0.00	31.48	90.0	28.33
07/01/2018	HAMPTON, JARROTT	INVESCO BALANCED-RISK ALLOCATION-C	0.00	37.19	0.00	37.19	90.0	33.47
07/01/2018	TMICO TRUST CO	INVESCO BALANCED-RISK ALLOCATION-C	0.00	15.16	0.00	15.16	90.0	13.64
07/01/2018	HUGGETT, PAUL	INVESCO BALANCED-RISK ALLOCATION-C	0.00	92.70	0.00	92.70	90.0	83.43
07/01/2018	TMICO TRUST CO	INVESCO BALANCED-RISK ALLOCATION-C	0.00	3.51	0.00	3.51	90.0	3.16
07/01/2018	KACHMAN UTMA/TX, VICTORIA	INVESCO BALANCED-RISK ALLOCATION-C	0.00	17.00	0.00	17.00	90.0	15.30
07/01/2018	TMICO TRUST CO	INVESCO BALANCED-RISK ALLOCATION-C	0.00	27.60	0.00	27.60	90.0	24.84
07/01/2018	TMICO TRUST CO	INVESCO BALANCED-RISK ALLOCATION-C	0.00	117.24	0.00	117.24	90.0	105.52
07/01/2018	TMICO TRUST CO	INVESCO BALANCED-RISK ALLOCATION-C	0.00	115.74	0.00	115.74	90.0	104.17
07/01/2018	WILSON, TED	INVESCO BALANCED-RISK ALLOCATION-C	0.00	11.45	0.00	11.45	90.0	10.31
07/01/2018	PHIPPS, CHRISTEEN	INVESCO BALANCED-RISK ALLOCATION-C	0.00	9.18	0.00	9.18	90.0	8.26
07/01/2018	TMICO CUSTODIAN	INVESCO BALANCED-RISK ALLOCATION-C	0.00	12.86	0.00	12.86	90.0	11.57
07/01/2018	MOORE, JOHN	INVESCO COMSTOCK A	0.00	8.15	0.00	8.15	90.0	7.34
07/01/2018	MOORE, JOHN	INVESCO TECHNOLOGY A	0.00	10.02	0.00	10.02	90.0	9.02
07/01/2018	THREADGILL, VICKY	MFS CORE EQUITY A	0.00	3.13	0.00	3.13	90.0	2.82
07/01/2018	THREADGILL, VICKY	MFS TOTAL RETURN A	0.00	5.53	0.00	5.53	90.0	4.98
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$740.49</b>	<b>\$0.00</b>	<b>\$740.49</b>		<b>\$666.45</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$670,471.74</b>	<b>\$12,752.44</b>	<b>\$0.00</b>	<b>\$12,752.44</b>		<b>\$11,625.95</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: MARK-S141 REG SMITH #367</u></b>								
07/16/2018	JOHNSON, FRANKLIN	AM FUNDS AMCAP A	50.00	2.13	0.00	2.13	48.0	0.89
07/16/2018	JOHNSON, FRANKLIN	AM FUNDS AMERICAN MUTUAL A	50.00	2.13	0.00	2.13	48.0	0.89
07/16/2018	JOHNSON, FRANKLIN	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.13	0.00	2.13	48.0	0.89
07/16/2018	JOHNSON, FRANKLIN	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.13	0.00	2.13	48.0	0.89
07/16/2018	JOHNSON, FRANKLIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	48.0	0.89
07/16/2018	VOORHEES, CLIFFORD	AM FUNDS GROWTH PORT A	50.00	1.88	0.00	1.88	48.0	0.79
07/13/2018	KIRKLAND, BILLY	AM GEN POLARIS PLATINUM III	42,550.42	2,978.53	0.00	2,978.53	48.0	1,250.98
07/05/2018	SPURGIN, LISBETH	FT FOUNDING FDS ALLOC C	300.00	3.00	0.00	3.00	48.0	1.26
07/01/2018	WHISTLER, ROBERT	INVESCO ASIA PACIFIC GROWTH C	0.00	8.13	0.00	8.13	48.0	3.41
07/01/2018	BRIDGES, JANIS	INVESCO BALANCED RISK ALLOCATION A	0.00	13.37	0.00	13.37	48.0	5.62
07/01/2018	TMICO	INVESCO BALANCED RISK ALLOCATION A	0.00	4.93	0.00	4.93	48.0	2.07
07/01/2018	TMICO	INVESCO BALANCED RISK ALLOCATION A	0.00	15.26	0.00	15.26	48.0	6.41
07/01/2018	WALLACE, SHEILA	INVESCO BALANCED RISK ALLOCATION A	0.00	0.03	0.00	0.03	48.0	0.01
07/01/2018	GODWIN, SANDRA	INVESCO BALANCED-RISK ALLOCATION-C	0.00	39.54	0.00	39.54	48.0	16.61
07/01/2018	TMICO	INVESCO BALANCED-RISK ALLOCATION-C	0.00	41.60	0.00	41.60	48.0	17.47
07/01/2018	WHISTLER, ROBERT	INVESCO BALANCED-RISK ALLOCATION-C	0.00	17.17	0.00	17.17	48.0	7.21
07/01/2018	WALLACE, CHARLES	INVESCO BALANCED-RISK ALLOCATION-C	0.00	27.29	0.00	27.29	48.0	11.46
07/01/2018	DODD, AIMEE	INVESCO BALANCED-RISK ALLOCATION-C	0.00	58.54	0.00	58.54	48.0	24.59
07/01/2018	DODD, AIMEE	INVESCO CORPORATE BOND A	0.00	0.30	0.00	0.30	48.0	0.13
07/01/2018	WHISTLER, ROBERT	INVESCO DIV INC 00142F519	0.00	17.91	0.00	17.91	48.0	7.52
07/01/2018	WHISTLER, ROBERT	INVESCO GLOBAL HEALTH CARE C	0.00	6.87	0.00	6.87	48.0	2.89
07/01/2018	WHISTLER, ROBERT	INVESCO MID CAP GROWTH FUND - CLASS	0.00	5.80	0.00	5.80	48.0	2.44
07/01/2018	DODD, AIMEE	INVESCO TECHNOLOGY A	0.00	0.45	0.00	0.45	48.0	0.19
/ /	ORR, RICHARD	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	5.21	0.00	5.21	48.0	2.19
07/05/2018	WARD, SHERRY	PIMCO INCOME FUND C	0.00	2.44	0.00	2.44	48.0	1.02
07/05/2018	WARD, SHERRY	PIMCO INCOME FUND C	0.00	6.34	0.00	6.34	48.0	2.66
07/05/2018	MASHBURN, PHYLLIS	PIMCO INCOME FUND C	0.00	0.34	0.00	0.34	48.0	0.14
07/05/2018	MASHBURN, PHYLLIS	PIMCO INCOME FUND C	0.00	0.89	0.00	0.89	48.0	0.37
<b>* MARK-S141 REG SMITH SUBTOTAL *</b>			<b>\$43,150.42</b>	<b>\$3,266.47</b>	<b>\$0.00</b>	<b>\$3,266.47</b>		<b>\$1,371.89</b>
<b><u>BROKER: MARK-S142 ALTS SMITH #368</u></b>								
06/30/2018	ORR POWER OF ATTORNEY,	BR TOTAL INC & REAL ESTATE FUND A	81,467.19	16.74	0.00	16.74	49.0	7.20
06/30/2018	BONGIORNO, VALERIE	BR TOTAL INC & REAL ESTATE FUND A	1,084.82	0.22	0.00	0.22	49.0	0.09
06/30/2018	ORR, PATRICIA	BR TOTAL INC & REAL ESTATE FUND A	8,401.64	1.73	0.00	1.73	49.0	0.74

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: MARK-S142 ALTS SMITH #368</u></b>								
06/30/2018	ORR, RICHARD	BR TOTAL INC & REAL ESTATE FUND A	19,333.33	3.97	0.00	3.97	49.0	1.71
06/30/2018	CORLEY, WILBUR	BR TOTAL INC & REAL ESTATE FUND A	21,220.50	4.36	0.00	4.36	49.0	1.87
06/30/2018	CORLEY, WILBUR	BR TOTAL INC & REAL ESTATE FUND A	23,418.19	4.81	0.00	4.81	49.0	2.07
06/30/2018	WILSON TRUST, WOODROW & JOANN	BR TOTAL INC & REAL ESTATE FUND A	10,579.24	2.17	0.00	2.17	49.0	0.93
06/30/2018	TMICO	BR TOTAL INC & REAL ESTATE FUND C	38,180.62	31.39	0.00	31.39	49.0	13.50
06/30/2018	TMICO	BR TOTAL INC & REAL ESTATE FUND C	32,900.74	27.04	0.00	27.04	49.0	11.63
06/30/2018	TMICO	BR TOTAL INC & REAL ESTATE FUND C	21,196.63	17.43	0.00	17.43	49.0	7.49
06/30/2018	WISE TRUST, LUCILE	BR TOTAL INC & REAL ESTATE FUND C	20,312.68	16.69	0.00	16.69	49.0	7.18
06/30/2018	BONGIORNO, VALERIE	BR TOTAL INC & REAL ESTATE FUND C	30,405.50	24.99	0.00	24.99	49.0	10.75
06/30/2018	BONGIORNO, VALERIE	BR TOTAL INC & REAL ESTATE FUND C	15,575.37	12.80	0.00	12.80	49.0	5.50
07/03/2018	MOBLEY, MICHAEL	CIM COMMERCIAL TRUST SERIES A	10,000.00	500.00	0.00	500.00	49.0	215.00
07/11/2018	SMITH, RICHARD	CIM COMMERCIAL TRUST SERIES A	50,000.00	2,500.00	0.00	2,500.00	49.0	1,075.00
07/12/2018	MOBLEY, MICHAEL	COTTONWOOD MULTIFAMILY REIT II	10,000.00	600.00	0.00	600.00	49.0	258.00
06/30/2018	SULLIVAN, SONIA	GRIFFIN CAPITAL ESSENTIAL ASSET II	2,397.58	5.98	0.00	5.98	49.0	2.57
07/24/2018	WISE TRUST, LUCILE	HPI REAL ESTATE FUND VI LLC	50,000.00	3,000.00	0.00	3,000.00	49.0	1,290.00
<b>* MARK-S142 ALTS SMITH SUBTOTAL *</b>			<b>\$446,474.03</b>	<b>\$6,770.32</b>	<b>\$0.00</b>	<b>\$6,770.32</b>		<b>\$2,911.23</b>
<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>			<b>\$489,624.45</b>	<b>\$10,036.79</b>	<b>\$0.00</b>	<b>\$10,036.79</b>		<b>\$4,283.12</b>

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$11,625.95
Split Transactions	\$0.00
Override Transactions	\$4,283.12
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$15,909.07
Year-to-date Gross	\$146,091.27
Year-to-date Earnings	\$173,850.94