

COMMISSION STATEMENT

April 30, 2017

BARRY POMP

21 HANCOCK COURT

EAST BRUNSWICK, NJ 08816

BROKER #:

BRANCH: HOME OFFICE

COMMISSION STATEMENT for BARRY POMP

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
03/31/2017	75500356 LITTIG, LAWRENCE	BEAR STEARNS ASSET BK SEC TR SERIES S		23,313.25	0.00	10.00	-10.00	50.0	-5.00
03/31/2017	75500356 LITTIG, LAWRENCE	WELLS FARGO MORTGAGE BACKED SECURIT B		14,748.80	0.00	10.00	-10.00	50.0	-5.00
03/31/2017	75500356 LITTIG, LAWRENCE	WELLS FARGO MORTGAGE BACKED SECURIT S		-14,748.80	0.00	-10.00	10.00	50.0	5.00 C
03/31/2017	75500356 LITTIG, LAWRENCE	WELLS FARGO MORTGAGE BACKED SECURIT B		14,643.91	0.00	10.00	-10.00	50.0	-5.00
04/06/2017	75500356 LITTIG, LAWRENCE	BANC AMER ALTERNATIVE LN TR SERIES B		30,443.36	0.00	10.00	-10.00	50.0	-5.00
04/06/2017	75500356 LITTIG, LAWRENCE	BANC AMER ALTERNATIVE LN TR SERIES S		-30,443.40	0.00	-10.00	10.00	50.0	5.00 C
04/06/2017	75500356 LITTIG, LAWRENCE	BANC AMER ALTERNATIVE LN TR SERIES B		30,374.42	0.00	10.00	-10.00	50.0	-5.00
04/06/2017	75500356 LITTIG, LAWRENCE	BANC AMER ALTERNATIVE LN TR SERIES S		-30,374.40	0.00	-10.00	10.00	50.0	5.00 C
04/06/2017	75500356 LITTIG, LAWRENCE	BANC AMER ALTERNATIVE LN TR SERIES B		30,374.39	0.00	10.00	-10.00	50.0	-5.00
04/06/2017	75500356 LITTIG, LAWRENCE	STRUCTURED ASSET INVESTMENT LOAN TR S		117,590.93	0.00	10.00	-10.00	50.0	-5.00
04/07/2017	75500356 LITTIG, LAWRENCE	CREDIT SUISSE FIRST BOSTON MORTGAGE B		38,378.38	0.00	10.00	-10.00	50.0	-5.00
04/07/2017	75500356 LITTIG, LAWRENCE	CREDIT SUISSE FIRST BOSTON MORTGAGE S		-38,378.40	0.00	-10.00	10.00	50.0	5.00 C
04/07/2017	75500356 LITTIG, LAWRENCE	CREDIT SUISSE FIRST BOSTON MORTGAGE B		36,864.58	0.00	10.00	-10.00	50.0	-5.00
04/07/2017	75500356 LITTIG, LAWRENCE	CREDIT SUISSE FIRST BOSTON MORTGAGE S		-36,864.60	0.00	-10.00	10.00	50.0	5.00 C
04/07/2017	75500356 LITTIG, LAWRENCE	CREDIT SUISSE FIRST BOSTON MORTGAGE B		36,864.58	0.00	10.00	-10.00	50.0	-5.00
04/07/2017	75500356 LITTIG, LAWRENCE	RESIDENTIAL ASSET MTG PRODS SERIES B		13,459.00	0.00	10.00	-10.00	50.0	-5.00
04/07/2017	75500356 LITTIG, LAWRENCE	RESIDENTIAL ASSET MTG PRODS SERIES S		-13,459.00	0.00	-10.00	10.00	50.0	5.00 C
04/07/2017	75500356 LITTIG, LAWRENCE	RESIDENTIAL ASSET MTG PRODS SERIES B		13,410.58	0.00	10.00	-10.00	50.0	-5.00
04/10/2017	75500356 LITTIG, LAWRENCE	WELLS FARGO MORTGAGE BACKED SECURIT B		29,127.74	0.00	10.00	-10.00	50.0	-5.00
04/10/2017	75500356 LITTIG, LAWRENCE	WELLS FARGO MORTGAGE BACKED SECURIT S		-29,127.70	0.00	-10.00	10.00	50.0	5.00 C
04/10/2017	75500356 LITTIG, LAWRENCE	WELLS FARGO MORTGAGE BACKED SECURIT B		28,920.60	0.00	10.00	-10.00	50.0	-5.00
04/13/2017	75500356 LITTIG, LAWRENCE	FEDL HOME LOAN MTG CORP#S50017 SER S		66,607.96	0.00	10.00	-10.00	50.0	-5.00
04/13/2017	75500356 LITTIG, LAWRENCE	STRUCTURED ADJUSTABLE RATE MORTGAGE B		25,715.95	0.00	10.00	-10.00	50.0	-5.00
04/13/2017	75500356 LITTIG, LAWRENCE	STRUCTURED ADJUSTABLE RATE MORTGAGE S		-25,716.00	0.00	-10.00	10.00	50.0	5.00 C
04/13/2017	75500356 LITTIG, LAWRENCE	STRUCTURED ADJUSTABLE RATE MORTGAGE B		25,643.92	0.00	10.00	-10.00	50.0	-5.00
04/13/2017	75500356 LITTIG, LAWRENCE	STRUCTURED ADJUSTABLE RATE MORTGAGE S		-25,643.90	0.00	-10.00	10.00	50.0	5.00 C
04/13/2017	75500356 LITTIG, LAWRENCE	STRUCTURED ADJUSTABLE RATE MORTGAGE B		25,643.92	0.00	10.00	-10.00	50.0	-5.00
04/25/2017	75500356 LITTIG, LAWRENCE	MORGAN STANLEY ABS CAPITAL I INC TR S		24,369.97	0.00	10.00	-10.00	50.0	-5.00
04/25/2017	75500356 LITTIG, LAWRENCE	STRUCTURED ADJUSTABLE RATE MORTGAGE B		69,754.49	0.00	10.00	-10.00	50.0	-5.00
04/26/2017	75500356 LITTIG, LAWRENCE	WELLS FARGO MORTGAGE BACKED SECURIT B		15,001.95	0.00	10.00	-10.00	50.0	-5.00
04/06/2017	75500367 HALSTEAD, MARK	STRUCTURED ASSET INVESTMENT LOAN TR S		58,795.46	0.00	10.00	-10.00	50.0	-5.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: BONDS

04/25/2017	75501230	LITTIG, LAWRENCE	MORGAN STANLEY ABS CAPITAL I INC TR B	24,645.34	0.00	10.00	-10.00	50.0	-5.00
* BONDS SUBTOTAL *				\$549,937.28	\$0.00	\$140.00	\$-140.00		\$-70.00
*** BROKER TRANSACTIONS TOTAL ***				\$549,937.28	\$0.00	\$140.00	\$-140.00		\$-70.00

ADJUSTMENTS

04/30/2017	OTHER: BLOOMBERG	0.00	0.00	0.00	0.00	-952.50
04/30/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
04/30/2017	OTHER: OTHER-RBC 755-99826	0.00	0.00	0.00	0.00	3,729.69
04/30/2017	OTHER: OTHER-QGV891096	0.00	0.00	0.00	0.00	1,494.53
04/30/2017	OTHER: OTHER-QGV891004	0.00	0.00	0.00	0.00	1,907.33
04/30/2017	OTHER: OTHER-QGV891088	0.00	0.00	0.00	0.00	1,026.85
04/30/2017	OTHER: OTHER-CLEARING CHARGES 755-9	0.00	0.00	0.00	0.00	-165.00
04/30/2017	OTHER: OTHER-CLEARING CHARGES-QGV89	0.00	0.00	0.00	0.00	-45.00
04/30/2017	OTHER: OTHER-QUEST	0.00	0.00	0.00	0.00	-50.00
04/30/2017	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-5.00
04/30/2017	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-5.00
04/30/2017	:	0.00	0.00	0.00	0.00	0.00
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$6,915.90

BROKER COMMISSION TOTALS

Broker Transactions	\$-70.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$6,915.90
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,845.90
Year-to-date Earnings	\$6,845.90