02/08/2018 Page No. 1

COMMISSION STATEMENT

February 08, 2018

JOHN GARTON

P.O. Box 25587 Albuquerque, NM 87125 BROKER #: 725

BRANCH: TIJERAS, NM

| DATE CLIENT | | | INVESTM | ENT | | INVESTMENT AMOUNT | GROSS COMMISSION | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|-------------|-------|-----------|----------|------|---------------------------|----------------------|---------------------|-----------------------|--------------------|----------------------|
| Company | : CUI | Financial | Group, | Inc | | | | | | _ |
| | | | | | | | | | | |
| | | | | | ADJUSTMENTS | <u> </u> | | | | |
| | | | 1. MARKE | TING | | | | | | 1,000.00 |
| | | | | | *** ADJUSTMENTS TOTAL *** | \$0.00 | \$0.00 | \$1,000.00 | \$0.00 | \$1,000.00 |
| | | | | | | | | BROKER COMMISSION | | TOTALS |
| | | | | | | | | Broker Transac | tions | \$0.00 |
| | | | | | | | | Split Transactions | | \$0.00 |
| | | | | | | | | Override Transactions | | \$0.00 |
| | | | | | | | | Adjustments | | \$1,000.00 |
| | | | | | | | | Prior Period Balance | | \$0.00 |
| | | | | | | | | NASD Assessment | | \$0.00 |
| | | | | | | | | SIPC Asses | sment | \$0.00 |
| | | | | | | | | Check Amount | | \$1,000.00 |
| | | | | | | | | Year-to-date Ear | nings | \$1,062.13 |
| | | | | | | | | | | |