

COMMISSION STATEMENT

June 30, 2018

JIM HUGHES-D349

BROKER #: D349

BRANCH: DMV

, TX

COMMISSION STATEMENT for JIM HUGHES-D349

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/31/2018 (None)	HUGHES, VARIOUS	MF MAINSTAY	B	0.00	34.16	0.00	34.16	80.0	27.33
06/11/2018 000000002367136	JONES, JESS	MF FRK TEMP FOUNDING FUNDS ALLOC	B	150.00	1.50	0.00	1.50	80.0	1.20
06/11/2018 000000002367163	JONES, JOHN	MF FRK TEMP FOUNDING FUNDS ALLOC	B	450.00	4.50	0.00	4.50	80.0	3.60
06/22/2018 000000006172934	BURNE, CLAUL	MF FRK TEMP FOUNDING FUNDS ALLOC	B	40.00	2.00	0.00	2.00	80.0	1.60
06/22/2018 000000006172934	BURNE, CLAUL	MF FRK TEMP FOUNDING FUNDS ALLOC	B	33.60	1.68	0.00	1.68	80.0	1.34
* MUTUAL FUNDS SUBTOTAL *				\$673.60	\$43.84	\$0.00	\$43.84		\$35.07
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
06/22/2018 (None)	ADVANTAGE	VAT MASS MUTUAL	B	0.00	38.29	0.00	38.29	80.0	30.63
06/22/2018 (None)	ADVANTAGE	VAT MASS MUTUAL	B	0.00	0.92	0.00	0.92	80.0	0.74
06/18/2018 VM15001220	MATTHEWS, LATISHA	VAT PACIFIC LIFE VA 69448B191	B	0.00	10.58	0.00	10.58	80.0	8.46
06/21/2018 VM16000353	THOMPSON, SANDRA	VAT PACIFIC LIFE VA 69448B175	B	0.00	3.34	0.00	3.34	80.0	2.67
06/21/2018 VM16000357	WALSTON, FAITH	VAT PACIFIC LIFE VA 69448B175	B	0.00	2.75	0.00	2.75	80.0	2.20
06/21/2018 VM16000361	GARESS, LAURA	VAT PACIFIC LIFE VA 69448B175	B	0.00	7.53	0.00	7.53	80.0	6.02
06/21/2018 VM16000365	HENSARLING, SHAWNA	VAT PACIFIC LIFE VA 69448B175	B	0.00	2.56	0.00	2.56	80.0	2.05
06/21/2018 VM16000385	CLOWERS, SUNNIE	VAT PACIFIC LIFE VA 69448B175	B	0.00	1.60	0.00	1.60	80.0	1.28
06/21/2018 VM16000393	DALTON, MELISSA	VAT PACIFIC LIFE VA 69448B175	B	0.00	2.11	0.00	2.11	80.0	1.69
06/21/2018 VM16005144	BRONSTAD, LORI	VAT PACIFIC LIFE VA 69448B191	B	0.00	8.51	0.00	8.51	80.0	6.81
06/18/2018 VM17002103	WEBB, BRIAN	VAT PACIFIC LIFE VA 69448B191	B	0.00	14.92	0.00	14.92	80.0	11.94
06/18/2018 VM17004814	DAVIES, ANDREW	VAT PACIFIC LIFE VA 69448B191	B	0.00	8.75	0.00	8.75	80.0	7.00
06/18/2018 VM17004943	WINN, TYLER	VAT PACIFIC LIFE VA 69448B191	B	0.00	9.37	0.00	9.37	80.0	7.50
06/18/2018 VR11009288	DRAKE, JORDAN	VAT PACIFIC LIFE CUSIP 694475807	B	0.00	20.83	0.00	20.83	80.0	16.66
06/18/2018 VR11010756	OGDEN, KRISTI	VAT PACIFIC LIFE CUSIP 694475807	B	0.00	13.73	0.00	13.73	80.0	10.98
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$145.79	\$0.00	\$145.79		\$116.63
*** DIRECT TRANSACTIONS TOTAL ***				\$673.60	\$189.63	\$0.00	\$189.63		\$151.70

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ADJUSTMENTS TRANSACTIONSBROKER: #0

06/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
06/30/2018	:	E&O		0.00	0.00	0.00	0.00		-120.00
06/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
06/30/2018	:	FEDEX - M. EVERETT 05-18		0.00	0.00	0.00	0.00		-26.73
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-201.73</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-201.73</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$151.70
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-201.73
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-50.03
Year-to-date Commissions	\$20,223.34