



All Companies

05/30/2018

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## COMMISSION STATEMENT

June 01, 2018

KEITH A. BARFIELD  
407 HIGHLAND WAY

BROKER #: 9639  
BRANCH: HOME OFFICE

Coppell, TX 75019-

### COMMISSION STATEMENT for KEITH A. BARFIELD

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

|  |                                       |  |  |             |          |        |          |      |          |
|--|---------------------------------------|--|--|-------------|----------|--------|----------|------|----------|
| 05/14/2018 04000578443 RICHEY, ALICIA S. | SHORT-TERM BOND FUND OF AMERICA - A B |  |  | 12,700.00   | 254.00   | 0.00   | 254.00   | 80.0 | 203.20   |
|  | * MUTUAL FUNDS SUBTOTAL *             |  |  | \$12,700.00 | \$254.00 | \$0.00 | \$254.00 |      | \$203.20 |
|  | *** BROKER TRANSACTIONS TOTAL ***     |  |  | \$12,700.00 | \$254.00 | \$0.00 | \$254.00 |      | \$203.20 |

### SPLIT TRANSACTIONS

#### BROKER: WAGNER/BARFIELD #9036

|                                       |                                  |  |   |        |         |        |         |      |         |
|---------------------------------------|----------------------------------|--|---|--------|---------|--------|---------|------|---------|
| 04/13/2018 48948925 PANNECK, DEBRA G. | SIGNAL SECURITIES RIA            |  | B | 0.00   | 40.02   | 0.00   | 40.02   | 80.0 | 32.02   |
|                                       | * WAGNER/BARFIELD SUBTOTAL *     |  |   | \$0.00 | \$40.02 | \$0.00 | \$40.02 |      | \$32.02 |
|                                       | *** SPLIT TRANSACTIONS TOTAL *** |  |   | \$0.00 | \$40.02 | \$0.00 | \$40.02 |      | \$32.02 |

### ADJUSTMENTS

|            |                              |  |  |        |        |        |        |  |           |
|------------|------------------------------|--|--|--------|--------|--------|--------|--|-----------|
| 06/01/2018 | E & O INSURANCE: 1/2 MONTHLY |  |  | 0.00   | 0.00   | 0.00   | 0.00   |  | -100.00   |
| 06/01/2018 | TECH: MONTHLY TECH SERVICES  |  |  | 0.00   | 0.00   | 0.00   | 0.00   |  | -27.50    |
|            | *** ADJUSTMENTS TOTAL ***    |  |  | \$0.00 | \$0.00 | \$0.00 | \$0.00 |  | \$-127.50 |

**BROKER COMMISSION TOTALS**

|                       |           |
|-----------------------|-----------|
| Broker Transactions   | \$203.20  |
| Split Transactions    | \$32.02   |
| Override Transactions | \$0.00    |
| Adjustments           | \$-127.50 |
| Payroll Draw          | \$0.00    |
| Base Salary           | \$0.00    |
| Prior Period Balance  | \$0.00    |

|                              |                   |
|------------------------------|-------------------|
| <b>Check Amount</b>          | <b>\$107.72</b>   |
| <b>Year-to-date Earnings</b> | <b>\$4,286.39</b> |