



# COMMISSION STATEMENT

June 18, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: CHRISTOPHER MILGROOM</u></b>								
06/07/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07468200598	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	1.25	0.00	1.25	15.0	0.19
06/07/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07468200633	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	7.74	0.00	7.74	15.0	1.16
06/07/2018	***-**-2107 - FIGUERAS, DAURIS ACCOUNT #: 07468200945	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	0.73	0.00	0.73	15.0	0.11
06/07/2018	***-**-2111 - SPECTRUM TOURS ACCOUNT #: 07468201048	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	0.12	0.00	0.12	15.0	0.02
06/07/2018	***-**-7574 - G-MEN CORP ACCOUNT #: 07468201148	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	1.07	0.00	1.07	15.0	0.16
06/01/2018	***-**-775P - KING, GREGORY ACCOUNT #: 00082438825	AMCAP FUND-A BUY 0 Units @0.0000	0.00	20.38	0.00	20.38	15.0	3.06
06/01/2018	***-**-985P - KEATING, EILEEN ACCOUNT #: 00069168694	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	0.34	0.00	0.34	15.0	0.05
06/01/2018	***-**-8357 - KEATING, ROBERT ACCOUNT #: 00069168696	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	0.34	0.00	0.34	15.0	0.05
06/01/2018	***-**-165P - COOPER-KEATING, ACCOUNT #: 00081502206	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	4.31	0.00	4.31	15.0	0.65
06/01/2018	***-**-964I - HARRELL, ZELDA ACCOUNT #: 00085724317	AMERICAN BALANCED FUND-A BUY 0 Units @0.0000	0.00	16.36	0.00	16.36	15.0	2.45
06/01/2018	***-**-3178 - IONESCU, MIHAELA ACCOUNT #: 00088835521	AMERICAN FUNDS 023375702 BUY 0 Units @0.0000	0.00	8.57	0.00	8.57	15.0	1.29
06/01/2018	***-**-3178 - IONESCU, MIHAELA ACCOUNT #: 00088835540	AMERICAN FUNDS 023375702 BUY 0 Units @0.0000	0.00	8.76	0.00	8.76	15.0	1.31
06/01/2018	***-**-0720 - COCKTAILS DREAMS ACCOUNT #: 01847001648	AMERICAN FUNDS MORTGAGE FUND-R3 BUY 0 Units @0.0000	0.00	7.68	0.00	7.68	15.0	1.15
06/01/2018	***-**-0720 - COCKTAILS DREAMS ACCOUNT #: 01847001667	AMERICAN FUNDS MORTGAGE FUND-R3 BUY 0 Units @0.0000	0.00	21.10	0.00	21.10	15.0	3.17
06/01/2018	***-**-2111 - SPECTRUM TOURS ACCOUNT #: 01847002404	AMERICAN FUNDS MORTGAGE FUND-R3 BUY 0 Units @0.0000	0.00	1.08	0.00	1.08	15.0	0.16
06/01/2018	***-**-7264 - HOLLANDER, SHANE ACCOUNT #: 00081895798	AMERICAN MUTUAL FUND-529A BUY 0 Units @0.0000	0.00	7.65	0.00	7.65	15.0	1.15



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<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: CHRISTOPHER MILGROOM (continued)</u></b>								
06/01/2018	***-**-7264 - HOLLANDER, SHANE	AMERICAN MUTUAL FUND-529A	0.00	7.65	0.00	7.65	15.0	1.15
	ACCOUNT #: 00081895839	BUY 0 Units @0.0000						
06/01/2018	***-**-7264 - HOLLANDER, SHANE	AMERICAN MUTUAL FUND-529A	0.00	7.33	0.00	7.33	15.0	1.10
	ACCOUNT #: 00085243860	BUY 0 Units @0.0000						
06/01/2018	***-**-129I - HOLLANDER,	AMERICAN MUTUAL FUND-A	0.00	26.68	0.00	26.68	15.0	4.00
	ACCOUNT #: 00075689213	BUY 0 Units @0.0000						
06/01/2018	***-**-264I - HOLLANDER, TED	AMERICAN MUTUAL FUND-A	0.00	26.81	0.00	26.81	15.0	4.02
	ACCOUNT #: 00075689223	BUY 0 Units @0.0000						
06/01/2018	***-**-964I - HARRELL, ZELDA	AMERICAN MUTUAL FUND-A	0.00	4.80	0.00	4.80	15.0	0.72
	ACCOUNT #: 00085724317	BUY 0 Units @0.0000						
06/01/2018	***-**-1982 - HOHNECKER, LAURA	AMERICAN MUTUAL FUND-A	0.00	1.35	0.00	1.35	15.0	0.20
	ACCOUNT #: 00086976260	BUY 0 Units @0.0000						
06/01/2018	***-**-985P - KEATING, EILEEN	CAPITAL INCOME BUILDER-A	0.00	0.32	0.00	0.32	15.0	0.05
	ACCOUNT #: 00069168694	BUY 0 Units @0.0000						
06/01/2018	***-**-8357 - KEATING, ROBERT	CAPITAL INCOME BUILDER-A	0.00	0.32	0.00	0.32	15.0	0.05
	ACCOUNT #: 00069168696	BUY 0 Units @0.0000						
06/01/2018	***-**-6273 - ALICEA, JULIANNE	CAPITAL INCOME BUILDER-A	0.00	2.84	0.00	2.84	15.0	0.43
	ACCOUNT #: 00081274080	BUY 0 Units @0.0000						
06/01/2018	***-**-775P - KING, GREGORY	CAPITAL INCOME BUILDER-A	0.00	16.83	0.00	16.83	15.0	2.52
	ACCOUNT #: 00082438825	BUY 0 Units @0.0000						
06/01/2018	***-**-165P - COOPER-KEATING,	CAPITAL WORLD BOND FUND-A	0.00	2.97	0.00	2.97	15.0	0.45
	ACCOUNT #: 00081502206	BUY 0 Units @0.0000						
06/01/2018	***-**-1982 - HOHNECKER, LAURA	CAPITAL WORLD BOND FUND-A	0.00	1.38	0.00	1.38	15.0	0.21
	ACCOUNT #: 00086976260	BUY 0 Units @0.0000						
06/01/2018	***-**-425P - SMOLLER, MICHAEL	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	62.46	0.00	62.46	15.0	9.37
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
06/01/2018	***-**-985P - KEATING, EILEEN	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.43	0.00	0.43	15.0	0.06
	ACCOUNT #: 00069168694	BUY 0 Units @0.0000						
06/01/2018	***-**-8357 - KEATING, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.43	0.00	0.43	15.0	0.06
	ACCOUNT #: 00069168696	BUY 0 Units @0.0000						
06/01/2018	***-**-109I - PASCH, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	5.32	0.00	5.32	15.0	0.80
	ACCOUNT #: 00073775313	BUY 0 Units @0.0000						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: CHRISTOPHER MILGROOM (continued)</u></b>								
06/01/2018	***-**-129I - HOLLANDER, ACCOUNT #: 00075689213	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	24.49	0.00	24.49	15.0	3.67
06/01/2018	***-**-264I - HOLLANDER, TED ACCOUNT #: 00075689223	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	24.15	0.00	24.15	15.0	3.62
06/01/2018	***-**-6273 - ALICEA, JULIANNE ACCOUNT #: 00081274080	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	3.36	0.00	3.36	15.0	0.50
06/01/2018	***-**-165P - COOPER-KEATING, ACCOUNT #: 00081502206	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	3.95	0.00	3.95	15.0	0.59
06/01/2018	***-**-135P - PASCH, SHAHAR ACCOUNT #: 00081639466	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	1.05	0.00	1.05	15.0	0.16
06/01/2018	***-**-045P - DEANE, ZAIN ACCOUNT #: 00081785432	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	30.96	0.00	30.96	15.0	4.64
06/01/2018	***-**-075P - HUTCHINSON, ACCOUNT #: 00082192962	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	1.31	0.00	1.31	15.0	0.20
06/01/2018	***-**-635I - CAMPBELL, ACCOUNT #: 00084984847	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	0.38	0.00	0.38	15.0	0.06
06/01/2018	***-**-461I - CAMPBELL, COLIN ACCOUNT #: 00084984880	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	9.64	0.00	9.64	15.0	1.45
06/01/2018	***-**-964I - HARRELL, ZELDA ACCOUNT #: 00085724317	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	13.98	0.00	13.98	15.0	2.10
06/01/2018	***-**-1982 - HOHNECKER, LAURA ACCOUNT #: 00086976260	CAPITAL WORLD GROWTH AND INCOME FUN BUY 0 Units @0.0000	0.00	1.46	0.00	1.46	15.0	0.22
06/01/2018	***-**-7264 - HOLLANDER, SHANE ACCOUNT #: 00081895798	CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000	0.00	7.61	0.00	7.61	15.0	1.14
06/01/2018	***-**-7264 - HOLLANDER, SHANE ACCOUNT #: 00081895839	CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000	0.00	7.61	0.00	7.61	15.0	1.14
06/01/2018	***-**-7264 - HOLLANDER, SHANE ACCOUNT #: 00085243860	CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000	0.00	7.16	0.00	7.16	15.0	1.07
06/01/2018	***-**-2461 - CAMPBELL, HOPE ACCOUNT #: 00085796879	CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000	0.00	5.91	0.00	5.91	15.0	0.89
06/01/2018	***-**-2461 - CAMPBELL, HOPE ACCOUNT #: 00085796907	CAPITAL WORLD GROWTH AND INCOME-529 BUY 0 Units @0.0000	0.00	6.63	0.00	6.63	15.0	0.99



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: CHRISTOPHER MILGROOM (continued)</u></b>								
06/01/2018	***-**-275P - BUBLA, MADISON	CAPITAL WORLD GROWTH AND INCOME-529	0.00	0.44	0.00	0.44	15.0	0.07
	ACCOUNT #: 00085932755	BUY 0 Units @0.0000						
06/01/2018	***-**-6927 - DEMAREST, DAVID	CAPITAL WORLD GROWTH AND INCOME-529	0.00	5.44	0.00	5.44	15.0	0.82
	ACCOUNT #: 00085340702	BUY 0 Units @0.0000						
06/01/2018	***-**-425P - SMOLLER, MICHAEL	CAPITAL WORLD GROWTH AND INCOME-F1	0.00	1.20	0.00	1.20	15.0	0.18
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
06/18/2018	***-**-844P - COLIN CAMPBELL	EATON VANCE MF TRAILS	0.00	0.24	0.00	0.24	15.0	0.04
	ACCOUNT #: 5045816922	BUY 0 Units @0.0000						
06/01/2018	***-**-425P - SMOLLER, MICHAEL	EUROPACIFIC GROWTH FUND CLASS C	0.00	10.78	0.00	10.78	15.0	1.62
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
06/01/2018	***-**-425P - SMOLLER, MICHAEL	EUROPACIFIC GROWTH FUND CLASS F	0.00	2.60	0.00	2.60	15.0	0.39
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
06/01/2018	***-**-2461 - CAMPBELL, HOPE	EUROPACIFIC GROWTH FUND-529A	0.00	5.57	0.00	5.57	15.0	0.84
	ACCOUNT #: 00085796879	BUY 0 Units @0.0000						
06/01/2018	***-**-2461 - CAMPBELL, HOPE	EUROPACIFIC GROWTH FUND-529A	0.00	6.28	0.00	6.28	15.0	0.94
	ACCOUNT #: 00085796907	BUY 0 Units @0.0000						
06/01/2018	***-**-6927 - DEMAREST, DAVID	EUROPACIFIC GROWTH FUND-529E	0.00	4.87	0.00	4.87	15.0	0.73
	ACCOUNT #: 00085340702	BUY 0 Units @0.0000						
06/01/2018	***-**-425P - SMOLLER, MICHAEL	EUROPACIFIC GROWTH FUND-A	0.00	0.95	0.00	0.95	15.0	0.14
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
06/01/2018	***-**-109I - PASCH, ROBERT	EUROPACIFIC GROWTH FUND-A	0.00	4.63	0.00	4.63	15.0	0.69
	ACCOUNT #: 00073775313	BUY 0 Units @0.0000						
06/01/2018	***-**-135P - PASCH, SHAHAR	EUROPACIFIC GROWTH FUND-A	0.00	0.94	0.00	0.94	15.0	0.14
	ACCOUNT #: 00081639466	BUY 0 Units @0.0000						
06/01/2018	***-**-635I - CAMPBELL,	EUROPACIFIC GROWTH FUND-A	0.00	0.35	0.00	0.35	15.0	0.05
	ACCOUNT #: 00084984847	BUY 0 Units @0.0000						
06/01/2018	***-**-461I - CAMPBELL, COLIN	EUROPACIFIC GROWTH FUND-A	0.00	9.33	0.00	9.33	15.0	1.40
	ACCOUNT #: 00084984880	BUY 0 Units @0.0000						
06/01/2018	***-**-2461 - CAMPBELL, HOPE	FUNDAMENTAL INVESTORS-529A	0.00	6.72	0.00	6.72	15.0	1.01
	ACCOUNT #: 00085796879	BUY 0 Units @0.0000						
06/01/2018	***-**-2461 - CAMPBELL, HOPE	FUNDAMENTAL INVESTORS-529A	0.00	7.49	0.00	7.49	15.0	1.12
	ACCOUNT #: 00085796907	BUY 0 Units @0.0000						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: CHRISTOPHER MILGROOM (continued)</u></b>								
06/01/2018	***-**-3178 - IONESCU, MIHAELA	FUNDAMENTAL INVESTORS-529C	0.00	8.73	0.00	8.73	15.0	1.31
	ACCOUNT #: 00088835521	BUY 0 Units @0.0000						
06/01/2018	***-**-3178 - IONESCU, MIHAELA	FUNDAMENTAL INVESTORS-529C	0.00	8.92	0.00	8.92	15.0	1.34
	ACCOUNT #: 00088835540	BUY 0 Units @0.0000						
06/01/2018	***-**-894I - GARCIA, MARIANO	FUNDAMENTAL INVESTORS-529E	0.00	81.77	0.00	81.77	15.0	12.27
	ACCOUNT #: 00073051316	BUY 0 Units @0.0000						
06/01/2018	***-**-4894 - GARCIA, MARIANO	FUNDAMENTAL INVESTORS-529E	0.00	41.80	0.00	41.80	15.0	6.27
	ACCOUNT #: 00083302737	BUY 0 Units @0.0000						
06/01/2018	***-**-725P - MOLLE, PAUL	FUNDAMENTAL INVESTORS-C	0.00	52.66	0.00	52.66	15.0	7.90
	ACCOUNT #: 00068105507	BUY 0 Units @0.0000						
06/01/2018	***-**-425P - SMOLLER, MICHAEL	GROWTH FUND OF AMERICA CLASS F	0.00	1.99	0.00	1.99	15.0	0.30
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
06/11/2018	***-**-67CL - MILGROOM,	ING ADVANTAGE SELECT	0.00	3.63	0.00	3.63	15.0	0.54
	ACCOUNT #: R096007-ZS	BUY 0 Units @0.0000						
06/11/2018	***-**-67CL - MILGROOM,	ING ADVANTAGE SELECT	0.00	5.97	0.00	5.97	15.0	0.90
	ACCOUNT #: R096023-ZS	BUY 0 Units @0.0000						
06/13/2018	***-**-4209 - DEBS, KEVIN	ING ADVANTAGE SELECT	0.00	14.12	0.00	14.12	15.0	2.12
	ACCOUNT #: R081292-ZS	BUY 0 Units @0.0000						
06/13/2018	***-**-6833 - FANO, DENNIS	ING ADVANTAGE SELECT	0.00	7.91	0.00	7.91	15.0	1.19
	ACCOUNT #: R110847-ZS	BUY 0 Units @0.0000						
06/01/2018	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	17.88	0.13	0.00	0.13	15.0	0.02
	ACCOUNT #: 07123000351	BUY 1 Units @13.3700						
06/04/2018	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	129.74	0.97	0.00	0.97	15.0	0.15
	ACCOUNT #: 07123000494	BUY 10 Units @13.5300						
06/18/2018	***-**-67CL - MILGROOM,	JANUS MF TRAILS	0.00	47.22	0.00	47.22	15.0	7.08
		BUY 0 Units @0.0000						
06/01/2018	***-**-7264 - HOLLANDER, SHANE	NEW PERSPECTIVE FUND-529A	0.00	7.44	0.00	7.44	15.0	1.12
	ACCOUNT #: 00081895798	BUY 0 Units @0.0000						
06/01/2018	***-**-7264 - HOLLANDER, SHANE	NEW PERSPECTIVE FUND-529A	0.00	7.44	0.00	7.44	15.0	1.12
	ACCOUNT #: 00081895839	BUY 0 Units @0.0000						
06/01/2018	***-**-7264 - HOLLANDER, SHANE	NEW PERSPECTIVE FUND-529A	0.00	7.02	0.00	7.02	15.0	1.05
	ACCOUNT #: 00085243860	BUY 0 Units @0.0000						



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<b><u>BROKER: CHRISTOPHER MILGROOM (continued)</u></b>								
06/01/2018	***-**-3178 - IONESCU, MIHAELA	NEW PERSPECTIVE FUND-529C	0.00	8.44	0.00	8.44	15.0	1.27
	ACCOUNT #: 00088835521	BUY 0 Units @0.0000						
06/01/2018	***-**-3178 - IONESCU, MIHAELA	NEW PERSPECTIVE FUND-529C	0.00	8.62	0.00	8.62	15.0	1.29
	ACCOUNT #: 00088835540	BUY 0 Units @0.0000						
06/01/2018	***-**-894I - GARCIA, MARIANO	NEW PERSPECTIVE FUND-529E	0.00	78.21	0.00	78.21	15.0	11.73
	ACCOUNT #: 00073051316	BUY 0 Units @0.0000						
06/01/2018	***-**-4894 - GARCIA, MARIANO	NEW PERSPECTIVE FUND-529E	0.00	41.11	0.00	41.11	15.0	6.17
	ACCOUNT #: 00083302737	BUY 0 Units @0.0000						
06/01/2018	***-**-129I - HOLLANDER,	NEW PERSPECTIVE FUND-A	0.00	23.67	0.00	23.67	15.0	3.55
	ACCOUNT #: 00075689213	BUY 0 Units @0.0000						
06/01/2018	***-**-264I - HOLLANDER, TED	NEW PERSPECTIVE FUND-A	0.00	23.66	0.00	23.66	15.0	3.55
	ACCOUNT #: 00075689223	BUY 0 Units @0.0000						
06/01/2018	***-**-0720 - COCKTAILS DREAMS	NEW PERSPECTIVE FUND-R2	0.00	49.52	0.00	49.52	15.0	7.43
	ACCOUNT #: 01230591027	BUY 0 Units @0.0000						
06/01/2018	***-**-0720 - COCKTAILS DREAMS	NEW PERSPECTIVE FUND-R2	0.00	7.58	0.00	7.58	15.0	1.14
	ACCOUNT #: 01230591048	BUY 0 Units @0.0000						
06/01/2018	***-**-9109 - PASCH, ROBERT	NEW WORLD FUND-R3	0.00	7.08	0.00	7.08	15.0	1.06
	ACCOUNT #: 01241470723	BUY 0 Units @0.0000						
06/01/2018	***-**-8780 - PALM BEACH	NEW WORLD FUND-R3	0.00	22.21	0.00	22.21	15.0	3.33
	ACCOUNT #: 01241471887	BUY 0 Units @0.0000						
06/01/2018	***-**-2111 - SPECTRUM TOURS	NEW WORLD FUND-R3	0.00	0.65	0.00	0.65	15.0	0.10
	ACCOUNT #: 01241474946	BUY 0 Units @0.0000						
06/07/2018	***-**-67CL - MILGROOM,	SMALL CAP VALUE FUND - R2	0.00	2.24	0.00	2.24	15.0	0.34
	ACCOUNT #: 07418403711	BUY 0 Units @0.0000						
06/07/2018	***-**-67CL - MILGROOM,	SMALL CAP VALUE FUND - R2	0.00	0.62	0.00	0.62	15.0	0.09
	ACCOUNT #: 07418403731	BUY 0 Units @0.0000						
06/07/2018	***-**-7574 - G-MEN CORP	SMALL CAP VALUE FUND - R2	0.00	0.08	0.00	0.08	15.0	0.01
	ACCOUNT #: 07418404146	BUY 0 Units @0.0000						
06/18/2018	***-**-9109 - PASCH, ROBERT	T ROWE TRAILS	0.00	6.20	0.00	6.20	15.0	0.93
	ACCOUNT #: 9927000799	BUY 0 Units @0.0000						
06/18/2018	***-**-8780 - PALM BEACH	T ROWE TRAILS	0.00	27.20	0.00	27.20	15.0	4.08
	ACCOUNT #: 9927000872	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

June 18, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: CHRISTOPHER MILGROOM (continued)</u></b>								
06/18/2018	***-**-2111 - SPECTRUM TOURS	T ROWE TRAILS	0.00	0.62	0.00	0.62	15.0	0.09
	ACCOUNT #: 9927001222	BUY 0 Units @0.0000						
06/18/2018	***-**-7574 - G-MEN CORP	T ROWE TRAILS	0.00	1.06	0.00	1.06	15.0	0.16
	ACCOUNT #: 9940003415	BUY 0 Units @0.0000						
06/18/2018	***-**-67CL - MILGROOM,	T ROWE TRAILS	0.00	42.08	0.00	42.08	15.0	6.31
		BUY 0 Units @0.0000						
06/01/2018	***-**-985P - KEATING, EILEEN	THE BOND FUND OF AMERICA-A	0.00	0.19	0.00	0.19	15.0	0.03
	ACCOUNT #: 00069168694	BUY 0 Units @0.0000						
06/01/2018	***-**-725P - MOLLE, PAUL	THE GROWTH FUND OF AMERICA-529A	0.00	9.12	0.00	9.12	15.0	1.37
	ACCOUNT #: 00073116984	BUY 0 Units @0.0000						
06/01/2018	***-**-2461 - CAMPBELL, HOPE	THE GROWTH FUND OF AMERICA-529A	0.00	7.08	0.00	7.08	15.0	1.06
	ACCOUNT #: 00085796879	BUY 0 Units @0.0000						
06/01/2018	***-**-2461 - CAMPBELL, HOPE	THE GROWTH FUND OF AMERICA-529A	0.00	7.89	0.00	7.89	15.0	1.18
	ACCOUNT #: 00085796907	BUY 0 Units @0.0000						
06/01/2018	***-**-725P - MOLLE, PAUL	THE GROWTH FUND OF AMERICA-529C	0.00	12.11	0.00	12.11	15.0	1.82
	ACCOUNT #: 00073116984	BUY 0 Units @0.0000						
06/01/2018	***-**-3178 - IONESCU, MIHAELA	THE GROWTH FUND OF AMERICA-529C	0.00	9.06	0.00	9.06	15.0	1.36
	ACCOUNT #: 00088835521	BUY 0 Units @0.0000						
06/01/2018	***-**-3178 - IONESCU, MIHAELA	THE GROWTH FUND OF AMERICA-529C	0.00	9.26	0.00	9.26	15.0	1.39
	ACCOUNT #: 00088835540	BUY 0 Units @0.0000						
06/01/2018	***-**-894I - GARCIA, MARIANO	THE GROWTH FUND OF AMERICA-529E	0.00	92.28	0.00	92.28	15.0	13.84
	ACCOUNT #: 00073051316	BUY 0 Units @0.0000						
06/01/2018	***-**-4894 - GARCIA, MARIANO	THE GROWTH FUND OF AMERICA-529E	0.00	44.41	0.00	44.41	15.0	6.66
	ACCOUNT #: 00083302737	BUY 0 Units @0.0000						
06/01/2018	***-**-745P - BETTS, WILLIAM	THE GROWTH FUND OF AMERICA-A	0.00	0.30	0.00	0.30	15.0	0.05
	ACCOUNT #: 00066945923	BUY 0 Units @0.0000						
06/01/2018	***-**-725P - MOLLE, PAUL	THE GROWTH FUND OF AMERICA-A	0.00	26.82	0.00	26.82	15.0	4.02
	ACCOUNT #: 00068105507	BUY 0 Units @0.0000						
06/01/2018	***-**-425P - SMOLLER, MICHAEL	THE GROWTH FUND OF AMERICA-A	0.00	114.94	0.00	114.94	15.0	17.24
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
06/01/2018	***-**-8357 - KEATING, ROBERT	THE GROWTH FUND OF AMERICA-A	0.00	0.47	0.00	0.47	15.0	0.07
	ACCOUNT #: 00069168696	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

June 18, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: CHRISTOPHER MILGROOM (continued)</u></b>								
06/01/2018	***-**-109I - PASCH, ROBERT	THE GROWTH FUND OF AMERICA-A	0.00	6.31	0.00	6.31	15.0	0.95
	ACCOUNT #: 00073775313	BUY 0 Units @0.0000						
06/01/2018	***-**-6273 - ALICEA, JULIANNE	THE GROWTH FUND OF AMERICA-A	0.00	4.23	0.00	4.23	15.0	0.63
	ACCOUNT #: 00081274080	BUY 0 Units @0.0000						
06/01/2018	***-**-165P - COOPER-KEATING,	THE GROWTH FUND OF AMERICA-A	0.00	4.99	0.00	4.99	15.0	0.75
	ACCOUNT #: 00081502206	BUY 0 Units @0.0000						
06/01/2018	***-**-135P - PASCH, SHAHAR	THE GROWTH FUND OF AMERICA-A	0.00	1.37	0.00	1.37	15.0	0.21
	ACCOUNT #: 00081639466	BUY 0 Units @0.0000						
06/01/2018	***-**-045P - DEANE, ZAIN	THE GROWTH FUND OF AMERICA-A	0.00	40.31	0.00	40.31	15.0	6.05
	ACCOUNT #: 00081785432	BUY 0 Units @0.0000						
06/01/2018	***-**-675P - MONTEFUSCO,	THE GROWTH FUND OF AMERICA-A	0.00	0.23	0.00	0.23	15.0	0.03
	ACCOUNT #: 00081937980	BUY 0 Units @0.0000						
06/01/2018	***-**-075P - HUTCHINSON,	THE GROWTH FUND OF AMERICA-A	0.00	1.19	0.00	1.19	15.0	0.18
	ACCOUNT #: 00082192962	BUY 0 Units @0.0000						
06/01/2018	***-**-775P - KING, GREGORY	THE GROWTH FUND OF AMERICA-A	0.00	26.79	0.00	26.79	15.0	4.02
	ACCOUNT #: 00082438825	BUY 0 Units @0.0000						
06/01/2018	***-**-635I - CAMPBELL,	THE GROWTH FUND OF AMERICA-A	0.00	0.41	0.00	0.41	15.0	0.06
	ACCOUNT #: 00084984847	BUY 0 Units @0.0000						
06/01/2018	***-**-461I - CAMPBELL, COLIN	THE GROWTH FUND OF AMERICA-A	0.00	10.90	0.00	10.90	15.0	1.64
	ACCOUNT #: 00084984880	BUY 0 Units @0.0000						
06/01/2018	***-**-1982 - HOHNECKER, LAURA	THE GROWTH FUND OF AMERICA-A	0.00	1.56	0.00	1.56	15.0	0.23
	ACCOUNT #: 00086976260	BUY 0 Units @0.0000						
06/01/2018	***-**-425P - SMOLLER, MICHAEL	THE GROWTH FUND OF AMERICA-C	0.00	8.90	0.00	8.90	15.0	1.34
	ACCOUNT #: 00068829975	BUY 0 Units @0.0000						
06/01/2018	***-**-165P - COOPER-KEATING,	THE INCOME FUND OF AMERICA-A	0.00	3.81	0.00	3.81	15.0	0.57
	ACCOUNT #: 00081502206	BUY 0 Units @0.0000						
06/01/2018	***-**-045P - DEANE, ZAIN	THE INCOME FUND OF AMERICA-A	0.00	29.40	0.00	29.40	15.0	4.41
	ACCOUNT #: 00081785432	BUY 0 Units @0.0000						
06/01/2018	***-**-964I - HARRELL, ZELDA	THE INCOME FUND OF AMERICA-A	0.00	4.79	0.00	4.79	15.0	0.72
	ACCOUNT #: 00085724317	BUY 0 Units @0.0000						
06/01/2018	***-**-815P - BETTS, ALICIA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.24	0.00	0.24	15.0	0.04
	ACCOUNT #: 00066945925	BUY 0 Units @0.0000						





# COMMISSION STATEMENT

June 18, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: CHRISTOPHER MILGROOM (continued)</u></b>								
06/01/2018	***-**-54IN - CECIARELLI, ACCOUNT #: 00067091901	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	0.56	0.00	0.56	15.0	0.08
06/01/2018	***-**-40IN - GEORGE, DORIS ACCOUNT #: 00069103756	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	0.41	0.00	0.41	15.0	0.06
06/01/2018	***-**-985P - KEATING, EILEEN ACCOUNT #: 00069168694	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	0.17	0.00	0.17	15.0	0.03
06/01/2018	***-**-8357 - KEATING, ROBERT ACCOUNT #: 00069168696	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	0.17	0.00	0.17	15.0	0.03
06/01/2018	***-**-6273 - ALICEA, JULIANNE ACCOUNT #: 00081274080	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	3.66	0.00	3.66	15.0	0.55
06/01/2018	***-**-075P - HUTCHINSON, ACCOUNT #: 00082192962	WASHINGTON MUTUAL INVESTORS FUND-A BUY 0 Units @0.0000	0.00	0.77	0.00	0.77	15.0	0.12
* CHRISTOPHER MILGROOM SUBTOTAL *			\$147.62	\$1,626.55	\$0.00	\$1,626.55		\$244.04
*** OVERRIDE TRANSACTIONS TOTAL ***			\$147.62	\$1,626.55	\$0.00	\$1,626.55		\$244.04

## **BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$244.04
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$73.39
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$317.43
Year-to-date Earnings	\$1,970.94