



COMMISSION STATEMENT

August 20, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/15/2018	***-**-13IR - LOYCH, ALICE	AIM DIVERSIFIED DIVIDEND FUND - CL	3,000.00	9.00	18.00	-9.00	100.0	-9.00
	ACCOUNT #: LSR012051	SELL 150 Units @19.9800						
08/15/2018	***-**-32IR - SHAW, WILLIAM	EUROPACIFIC GROWTH FUND-A	50.00	1.38	0.00	1.38	85.0	1.17
	ACCOUNT #: 00082011931	BUY 1 Units @53.9100						
08/13/2018	***-**-36IN - HERDSON, JOHN	OPPENHEIMER INTERNATIONAL DIVERSIFI	63.36	0.63	0.00	0.63	85.0	0.54
	ACCOUNT #: 01971115433	BUY 0 Units @0.0000						
08/13/2018	***-**-36IR - HERDSON, JOHN	QUEST BALANCED FUND C	63.36	0.63	0.00	0.63	85.0	0.54
	ACCOUNT #: 02592150962	BUY 0 Units @0.0000						
08/17/2018	***-**-09IR - WILSON, EDWARD	THE GROWTH FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333515	BUY 4 Units @56.6300						
08/17/2018	***-**-09IR - WILSON, EDWARD	THE INCOME FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333515	BUY 8 Units @24.0700						
08/15/2018	***-**-72IR - SHAW, SUSAN	THE INVESTMENT COMPANY OF AMERICA-A	50.00	1.38	0.00	1.38	85.0	1.17
	ACCOUNT #: 00082013491	BUY 1 Units @42.4800						
* MUTUAL FUNDS SUBTOTAL *			\$3,626.72	\$24.02	\$18.00	\$6.02		\$3.78
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/08/2018	***-**-83IN - SCHWARTZ, EMILY	JACKSON NAT PERS II OPT E 0-80	61,700.17	1,234.00	0.00	1,234.00	85.0	1,048.90
		BUY 1 Units @62000.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$61,700.17	\$1,234.00	\$0.00	\$1,234.00		\$1,048.90
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	***-**-036I - CROWL, LINDA	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.53	0.00	0.53	85.0	0.45
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
/ /	***-**-831I - REED, JACK	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	16.12	0.00	16.12	85.0	13.70
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
/ /	***-**-34IR - MCKENNA, EDWARD	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	11.16	0.00	11.16	85.0	9.49
	ACCOUNT #: LSR011376	BUY 1 Units @0.0000						
/ /	***-**-373X - MANGANELLO, TIRA	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	17.48	0.00	17.48	85.0	14.86
	ACCOUNT #: LSR011551	BUY 1 Units @0.0000						
/ /	***-**-373X - MANGANELLO, TIRA	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	22.88	0.00	22.88	85.0	19.45
	ACCOUNT #: LSR011552	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***--260X - ILGENFRITZ, CORY	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	18.35	0.00	18.35	85.0	15.60
	ACCOUNT #: LSR011553	BUY 1 Units @0.0000						
/ /	***--181X - ILGENFRITZ,	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	19.20	0.00	19.20	85.0	16.32
	ACCOUNT #: LSR011555	BUY 1 Units @0.0000						
/ /	***--055X - ILGENFRITZ,	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	23.13	0.00	23.13	85.0	19.66
	ACCOUNT #: LSR011558	BUY 1 Units @0.0000						
/ /	***--352I - KUNKEL, RAY	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	13.47	0.00	13.47	85.0	11.45
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						
/ /	***--8100 - BLAUCH, CATHY	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	8.79	0.00	8.79	85.0	7.47
	ACCOUNT #: LSR011859	BUY 1 Units @0.0000						
/ /	***--881I - HOUSER, LORI	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	14.19	0.00	14.19	85.0	12.06
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
/ /	***--131R - LOYCH, ALICE	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.44	0.00	0.44	85.0	0.37
	ACCOUNT #: LSR012051	BUY 1 Units @0.0000						
/ /	***--811R - BLEILER, CARLTON	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.11	0.00	0.11	85.0	0.09
	ACCOUNT #: LSR012082	BUY 1 Units @0.0000						
/ /	***--872I - GAROFALO,	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	0.33	0.00	0.33	85.0	0.28
	ACCOUNT #: LSR010758	BUY 1 Units @0.0000						
/ /	***--244X - REED, GENEVIEVE	AIM HIGH YIELD FUND - CLASS A	0.00	1.69	0.00	1.69	85.0	1.44
	ACCOUNT #: LSR012032	BUY 1 Units @0.0000						
/ /	***--8100 - BLAUCH, CATHY	AIM INCOME ALLOCATION FUND - CLASS	0.00	12.88	0.00	12.88	85.0	10.95
	ACCOUNT #: LSR011859	BUY 1 Units @0.0000						
/ /	***--881I - HOUSER, LORI	AIM INCOME ALLOCATION FUND - CLASS	0.00	13.88	0.00	13.88	85.0	11.80
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
/ /	***--228I - BUCHANAN,	AIM UTILITIES FUND - CLASS C	0.00	18.10	0.00	18.10	85.0	15.39
	ACCOUNT #: LSR010538	BUY 1 Units @0.0000						
/ /	***--630X - WEAVER, NICOLE	AIM UTILITIES FUND - CLASS C	0.00	67.04	0.00	67.04	85.0	56.98
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
/ /	***--4000 - ROMIG, MARK	AIM UTILITIES FUND - CLASS C	0.00	13.25	0.00	13.25	85.0	11.26
	ACCOUNT #: LSR011567	BUY 1 Units @0.0000						
/ /	***--831I - REED, JACK	AMCAP FUND-A	0.00	12.84	0.00	12.84	85.0	10.91
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-036I - KAKAREKA, PAUL	AMCAP FUND-C	0.00	20.92	0.00	20.92	85.0	17.78
	ACCOUNT #: LSR011085	BUY 1 Units @0.0000						
/ /	***-**-949I - KLOKIS, DENISE	AMERICAN 2035 TARGET DATE RETIREMNT	0.00	2.11	0.00	2.11	85.0	1.79
	ACCOUNT #: LSR010542	BUY 1 Units @0.0000						
/ /	***-**-831I - KAKAREKA,	AMERICAN 2040 TARGET DATE RETIREMNT	0.00	18.29	0.00	18.29	85.0	15.55
	ACCOUNT #: LSR011084	BUY 1 Units @0.0000						
/ /	***-**-036I - KAKAREKA, PAUL	AMERICAN 2040 TARGET DATE RETIREMNT	0.00	4.91	0.00	4.91	85.0	4.17
	ACCOUNT #: LSR011085	BUY 1 Units @0.0000						
/ /	***-**-73IR - MANGANELLO, TIRA	AMERICAN 2045 TARGET DATE RETIREMNT	0.00	11.21	0.00	11.21	85.0	9.53
	ACCOUNT #: LSR011771	BUY 1 Units @0.0000						
/ /	***-**-60IR - ILGENFRITZ, CORY	AMERICAN 2045 TARGET DATE RETIREMNT	0.00	10.45	0.00	10.45	85.0	8.88
	ACCOUNT #: LSR011825	BUY 1 Units @0.0000						
/ /	***-**-054I - GAROFALO,	AMERICAN BALANCED FUND-A	0.00	4.96	0.00	4.96	85.0	4.22
	ACCOUNT #: LSF000299	BUY 1 Units @0.0000						
/ /	***-**-036I - CROWL, LINDA	AMERICAN BALANCED FUND-C	0.00	76.91	0.00	76.91	85.0	65.37
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
/ /	***-**-59IR - FREY, RYAN	AMERICAN BALANCED FUND-C	0.00	9.49	0.00	9.49	85.0	8.07
	ACCOUNT #: LSR011056	BUY 1 Units @0.0000						
/ /	***-**-036I - KAKAREKA, PAUL	AMERICAN BALANCED FUND-C	0.00	36.37	0.00	36.37	85.0	30.91
	ACCOUNT #: LSR011085	BUY 1 Units @0.0000						
/ /	***-**-376X - WAGNER, LINDA	AMERICAN FUNDS BALANCED PORT CL C	0.00	30.69	0.00	30.69	85.0	26.09
	ACCOUNT #: LSR010737	BUY 1 Units @0.0000						
/ /	***-**-14IR - MCKENNA, DONNA	AMERICAN FUNDS BALANCED PORT CL C	0.00	14.06	0.00	14.06	85.0	11.95
	ACCOUNT #: LSR011375	BUY 1 Units @0.0000						
/ /	***-**-285I - ACKERMAN, SEAN	AMERICAN FUNDS GROWTH PORT CL C	0.00	11.73	0.00	11.73	85.0	9.97
	ACCOUNT #: LSR010998	BUY 1 Units @0.0000						
/ /	***-**-265I - NORNHOLD,	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	25.18	0.00	25.18	85.0	21.40
	ACCOUNT #: LSR010794	BUY 1 Units @0.0000						
/ /	***-**-057I - PLAPPERT,	AMERICAN FUNDS GRWTH & INCOME PORT	0.00	42.92	0.00	42.92	85.0	36.48
	ACCOUNT #: LSR010833	BUY 1 Units @0.0000						
/ /	***-**-057I - PLAPPERT,	AMERICAN FUNDS INCOME PORT CL C	0.00	8.93	0.00	8.93	85.0	7.59
	ACCOUNT #: LSR010833	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-8540 - FREY, RYAN	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	14.14	0.00	14.14	85.0	12.02
	ACCOUNT #: LSR011528	BUY 1 Units @0.0000						
/ /	***-**-2600 - ILGENFRITZ, TOD	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	44.86	0.00	44.86	85.0	38.13
	ACCOUNT #: LSR011549	BUY 1 Units @0.0000						
/ /	***-**-3730 - MANGANELLO, TIRA	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	28.90	0.00	28.90	85.0	24.57
	ACCOUNT #: LSR011550	BUY 1 Units @0.0000						
/ /	***-**-07IN - SHOCKEY, CHELSEA	AMERICAN FUNDS TAX ADVANTGED INC PO	0.00	8.94	0.00	8.94	85.0	7.60
	ACCOUNT #: LSR011926	BUY 1 Units @0.0000						
/ /	***-**-992I - SMITH, FBO MR	CAPITAL INCOME BUILDER-A	0.00	11.85	0.00	11.85	85.0	10.07
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
/ /	***-**-184I - BEAVERSON, ROYCE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	9.92	0.00	9.92	85.0	8.43
	ACCOUNT #: LSR010468	BUY 1 Units @0.0000						
/ /	***-**-831I - REED, JACK	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	0.81	0.00	0.81	85.0	0.69
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
/ /	***-**-65IR - NORNHOLD,	HARTFORD BALANCED INCOME FD CL C	0.00	2.68	0.00	2.68	85.0	2.28
	ACCOUNT #: LSR012005	BUY 1 Units @0.0000						
/ /	***-**-934I - BEAVERSON, MARY	HARTFORD BALANCED INCOME FD CL C	0.00	10.79	0.00	10.79	85.0	9.17
	ACCOUNT #: LSR010467	BUY 1 Units @0.0000						
/ /	***-**-184I - BEAVERSON, ROYCE	HARTFORD BALANCED INCOME FD CL C	0.00	14.08	0.00	14.08	85.0	11.97
	ACCOUNT #: LSR010468	BUY 1 Units @0.0000						
/ /	***-**-566I - DURKIN, FBO MRS	HARTFORD BALANCED INCOME FD CL C	0.00	56.31	0.00	56.31	85.0	47.86
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
/ /	***-**-859X - FREY, RYAN	HARTFORD BALANCED INCOME FD CL C	0.00	69.30	0.00	69.30	85.0	58.91
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
/ /	***-**-630X - WEAVER, NICOLE	HARTFORD BALANCED INCOME FD CL C	0.00	64.81	0.00	64.81	85.0	55.09
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
/ /	***-**-260X - ILGENFRITZ, CORY	HARTFORD BALANCED INCOME FD CL C	0.00	22.82	0.00	22.82	85.0	19.40
	ACCOUNT #: LSR011554	BUY 1 Units @0.0000						
/ /	***-**-181X - ILGENFRITZ,	HARTFORD BALANCED INCOME FD CL C	0.00	22.63	0.00	22.63	85.0	19.24
	ACCOUNT #: LSR011556	BUY 1 Units @0.0000						
/ /	***-**-055X - ILGENFRITZ,	HARTFORD BALANCED INCOME FD CL C	0.00	17.93	0.00	17.93	85.0	15.24
	ACCOUNT #: LSR011559	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-352I - KUNKEL, RAY	HARTFORD BALANCED INCOME FD CL C	0.00	13.79	0.00	13.79	85.0	11.72
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						
/ /	***-**-8100 - BLAUCH, CATHY	HARTFORD BALANCED INCOME FD CL C	0.00	8.80	0.00	8.80	85.0	7.48
	ACCOUNT #: LSR011859	BUY 1 Units @0.0000						
/ /	***-**-14IR - SCHMIDT, DAVID	HARTFORD BALANCED INCOME FD CL C	0.00	9.57	0.00	9.57	85.0	8.13
	ACCOUNT #: LSR011865	BUY 1 Units @0.0000						
/ /	***-**-830J - STRAUB, JANE	HARTFORD BALANCED INCOME FD CL C	0.00	1.27	0.00	1.27	85.0	1.08
	ACCOUNT #: LSR011950	BUY 1 Units @0.0000						
/ /	***-**-881I - HOUSER, LORI	HARTFORD BALANCED INCOME FD CL C	0.00	14.23	0.00	14.23	85.0	12.10
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
/ /	***-**-381J - BLEILER, CONNIE	HARTFORD BALANCED INCOME FD CL C	0.00	0.48	0.00	0.48	85.0	0.41
	ACCOUNT #: LSR012081	BUY 1 Units @0.0000						
/ /	***-**-162I - ANDERSON, THOMAS	HARTFORD BALANCED INCOME FD CL C	0.00	0.31	0.00	0.31	85.0	0.26
	ACCOUNT #: LSR012358	BUY 1 Units @0.0000						
/ /	***-**-837I - KUNKEL, JANET	HARTFORD BALANCED INCOME FD CL C	0.00	0.12	0.00	0.12	85.0	0.10
	ACCOUNT #: LSR012410	BUY 1 Units @0.0000						
/ /	***-**-21IR - ILGENFRITZ,	HARTFORD CHECKS AND BALANCED FUND C	0.00	10.01	0.00	10.01	85.0	8.51
	ACCOUNT #: LSR011824	BUY 1 Units @0.0000						
/ /	***-**-859X - FREY, RYAN	HARTFORD CORE EQUITY CLASS C	0.00	60.93	0.00	60.93	85.0	51.79
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
/ /	***-**-630X - WEAVER, NICOLE	HARTFORD CORE EQUITY CLASS C	0.00	69.05	0.00	69.05	85.0	58.69
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
/ /	***-**-2600 - ILGENFRITZ, TOD	HARTFORD CORE EQUITY CLASS C	0.00	22.55	0.00	22.55	85.0	19.17
	ACCOUNT #: LSR011549	BUY 1 Units @0.0000						
/ /	***-**-373X - MANGANELLO, TIRA	HARTFORD CORE EQUITY CLASS C	0.00	21.58	0.00	21.58	85.0	18.34
	ACCOUNT #: LSR011551	BUY 1 Units @0.0000						
/ /	***-**-352I - KUNKEL, RAY	HARTFORD CORE EQUITY CLASS C	0.00	16.16	0.00	16.16	85.0	13.74
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						
/ /	***-**-214I - SCHMIDT, DAVID	HARTFORD CORE EQUITY CLASS C	0.00	15.25	0.00	15.25	85.0	12.96
	ACCOUNT #: LSR011691	BUY 1 Units @0.0000						
/ /	***-**-67IR - NORNHOLD, DENNIS	HARTFORD SCHRODERS US SM/MID OPPOR	0.00	2.71	0.00	2.71	85.0	2.30
	ACCOUNT #: LSR012006	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-036I - CROWL, LINDA	HARTFORD SCHRODERS US SM/MID OPPOR	0.00	0.33	0.00	0.33	85.0	0.28
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
/ /	***-**-8100 - BLAUCH, CATHY	HARTFORD SCHRODERS US SM/MID OPPOR	0.00	21.33	0.00	21.33	85.0	18.13
	ACCOUNT #: LSR011859	BUY 1 Units @0.0000						
/ /	***-**-881I - HOUSER, LORI	HARTFORD SCHRODERS US SM/MID OPPOR	0.00	14.98	0.00	14.98	85.0	12.73
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
/ /	***-**-51IR - BLEILER, CONNIE	HARTFORD SCHRODERS US SM/MID OPPOR	0.00	0.07	0.00	0.07	85.0	0.06
	ACCOUNT #: LSR012077	BUY 1 Units @0.0000						
/ /	***-**-949I - KLOKIS, DENISE	INCOME FUND OF AMERICA CLASS C	0.00	2.94	0.00	2.94	85.0	2.50
	ACCOUNT #: LSR010542	BUY 1 Units @0.0000						
/ /	***-**-228I - BUCHANAN,	INVESCO BALANCED - RISK ALLOCATION	0.00	8.91	0.00	8.91	85.0	7.57
	ACCOUNT #: LSR010538	BUY 1 Units @0.0000						
/ /	***-**-276I - GASSWINT, SUSAN	INVESCO VAN KAMPEN EQUITY AND INCOM	0.00	20.50	0.00	20.50	85.0	17.43
	ACCOUNT #: LSR010633	BUY 1 Units @0.0000						
/ /	***-**-214I - SCHMIDT, DAVID	INVESCO VAN KAMPEN EQUITY AND INCOM	0.00	14.54	0.00	14.54	85.0	12.36
	ACCOUNT #: LSR011691	BUY 1 Units @0.0000						
/ /	***-**-322I - SHOCKEY, DEBORAH	INVESCO VAN KAMPEN EQUITY AND INCOM	0.00	5.88	0.00	5.88	85.0	5.00
	ACCOUNT #: LSR011848	BUY 1 Units @0.0000						
/ /	***-**-881I - HOUSER, LORI	INVESCO VAN KAMPEN EQUITY AND INCOM	0.00	7.83	0.00	7.83	85.0	6.66
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
/ /	***-**-334I - MCKENNA, EDWARD	LEGG MASON VALUE TRUST CL C	0.00	6.79	0.00	6.79	85.0	5.77
	ACCOUNT #: LSR011377	BUY 1 Units @0.0000						
/ /	***-**-83IN - SCHWARTZ, EMILY	MASS INVESTORS GROWTH STOCK FUND-A	0.00	6.88	0.00	6.88	85.0	5.85
	ACCOUNT #: LSR010190	BUY 1 Units @0.0000						
/ /	***-**-83IN - SCHWARTZ, EMILY	MASSACHUSETTS INVESTORS TRUST-A	0.00	6.79	0.00	6.79	85.0	5.77
	ACCOUNT #: LSR010190	BUY 1 Units @0.0000						
/ /	***-**-859X - FREY, RYAN	MFS GROWTH PORT CLASS C	0.00	45.70	0.00	45.70	85.0	38.85
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
/ /	***-**-630X - WEAVER, NICOLE	MFS GROWTH PORT CLASS C	0.00	63.47	0.00	63.47	85.0	53.95
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
/ /	***-**-181X - ILGENFRITZ,	MFS GROWTH PORT CLASS C	0.00	23.68	0.00	23.68	85.0	20.13
	ACCOUNT #: LSR011555	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-162I - ANDERSON, THOMAS	MFS GROWTH PORT CLASS C	0.00	0.09	0.00	0.09	85.0	0.08
	ACCOUNT #: LSR012358	BUY 1 Units @0.0000						
/ /	***-**-859X - FREY, RYAN	MFS DIVERSIFIED INCOME FD CL C	0.00	66.59	0.00	66.59	85.0	56.60
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
/ /	***-**-630X - WEAVER, NICOLE	MFS DIVERSIFIED INCOME FD CL C	0.00	48.83	0.00	48.83	85.0	41.51
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
/ /	***-**-881I - HOUSER, LORI	MFS DIVERSIFIED INCOME FD CL C	0.00	13.97	0.00	13.97	85.0	11.87
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
/ /	***-**-055O - ILGENFRITZ, TIM	MFS GLOBAL TOTAL RETURN FUND-C	0.00	0.10	0.00	0.10	85.0	0.09
	ACCOUNT #: LSR011557	BUY 1 Units @0.0000						
/ /	***-**-162I - ANDERSON, THOMAS	MFS GLOBAL TOTAL RETURN FUND-C	0.00	0.14	0.00	0.14	85.0	0.12
	ACCOUNT #: LSR012358	BUY 1 Units @0.0000						
/ /	***-**-881I - HOUSER, LORI	MFS MID CAP VALUE CLASS C	0.00	15.04	0.00	15.04	85.0	12.78
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
/ /	***-**-859X - FREY, RYAN	MFS NEW DISCOVERY VALUE FUND CL C	0.00	20.53	0.00	20.53	85.0	17.45
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
/ /	***-**-630X - WEAVER, NICOLE	MFS NEW DISCOVERY VALUE FUND CL C	0.00	41.07	0.00	41.07	85.0	34.91
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
/ /	***-**-260X - ILGENFRITZ, CORY	MFS NEW DISCOVERY VALUE FUND CL C	0.00	11.50	0.00	11.50	85.0	9.78
	ACCOUNT #: LSR011553	BUY 1 Units @0.0000						
/ /	***-**-214I - SCHMIDT, DAVID	MFS NEW DISCOVERY VALUE FUND CL C	0.00	15.72	0.00	15.72	85.0	13.36
	ACCOUNT #: LSR011691	BUY 1 Units @0.0000						
/ /	***-**-352I - KUNKEL, RAY	MFS TOTAL RETURN FUND-C	0.00	0.05	0.00	0.05	85.0	0.04
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						
/ /	***-**-837I - KUNKEL, JANET	MFS TOTAL RETURN FUND-C	0.00	0.06	0.00	0.06	85.0	0.05
	ACCOUNT #: LSR012410	BUY 1 Units @0.0000						
/ /	***-**-881I - HOUSER, LORI	MFS VALUE FUND-C	0.00	14.61	0.00	14.61	85.0	12.42
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
/ /	***-**-992I - SMITH, FBO MR	PUTNAM EQUITY INCOME FUND-C	0.00	26.40	0.00	26.40	85.0	22.44
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
/ /	***-**-334I - MCKENNA, EDWARD	ROYCE PENNSYLVANIA MUTUAL CONSULT	0.00	8.43	0.00	8.43	85.0	7.17
	ACCOUNT #: LSR011377	BUY 1 Units @0.0000						



COMMISSION STATEMENT

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
08/20/2018	***-**-22IR - SHOCKEY, DEBORAH SAMMONS MF TRAILS		0.00	5.47	0.00	5.47	85.0	4.65
	ACCOUNT #: 7200019359	BUY 0 Units @0.0000						
08/20/2018	***-**-53IR - SHOCKEY, JEFFREY SAMMONS MF TRAILS		0.00	9.21	0.00	9.21	85.0	7.83
	ACCOUNT #: 7200019367	BUY 0 Units @0.0000						
08/13/2018	***-**-36IN - HERDSON, JOHN	SMALL & MID-CAP GRWTH FND C	65.28	0.65	0.00	0.65	85.0	0.55
	ACCOUNT #: 07230336886	BUY 0 Units @0.0000						
/ /	***-**-831I - REED, JACK	THE GROWTH FUND OF AMERICA-A	0.00	6.88	0.00	6.88	85.0	5.85
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
/ /	***-**-214I - SCHMIDT, DAVID	THE HARTFORD ADVISERS FUND-C	0.00	30.07	0.00	30.07	85.0	25.56
	ACCOUNT #: LSR011691	BUY 1 Units @0.0000						
/ /	***-**-881I - HOUSER, LORI	THE HARTFORD ADVISERS FUND-C	0.00	14.93	0.00	14.93	85.0	12.69
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
/ /	***-**-83IN - SCHWARTZ, EMILY	THE INCOME FUND OF AMERICA-A	0.00	11.88	0.00	11.88	85.0	10.10
	ACCOUNT #: LSR010190	BUY 1 Units @0.0000						
/ /	***-**-934I - BEAVERSON, MARY	THE INCOME FUND OF AMERICA-A	0.00	4.55	0.00	4.55	85.0	3.87
	ACCOUNT #: LSR010467	BUY 1 Units @0.0000						
/ /	***-**-184I - BEAVERSON, ROYCE	THE INCOME FUND OF AMERICA-A	0.00	8.45	0.00	8.45	85.0	7.18
	ACCOUNT #: LSR010468	BUY 1 Units @0.0000						
/ /	***-**-831I - REED, JACK	THE INCOME FUND OF AMERICA-A	0.00	13.52	0.00	13.52	85.0	11.49
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
/ /	***-**-992I - SMITH, FBO MR	THE INCOME FUND OF AMERICA-A	0.00	12.64	0.00	12.64	85.0	10.74
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
/ /	***-**-653J - MYERS, AND	THE INCOME FUND OF AMERICA-A	0.00	1.85	0.00	1.85	85.0	1.57
	ACCOUNT #: LSR011521	BUY 1 Units @0.0000						
/ /	***-**-054I - GAROFALO,	THE INVESTMENT COMPANY OF AMERICA-A	0.00	8.46	0.00	8.46	85.0	7.19
	ACCOUNT #: LSF000299	BUY 1 Units @0.0000						
/ /	***-**-83IN - SCHWARTZ, EMILY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	10.56	0.00	10.56	85.0	8.98
	ACCOUNT #: LSR010190	BUY 1 Units @0.0000						
/ /	***-**-934I - BEAVERSON, MARY	THE INVESTMENT COMPANY OF AMERICA-A	0.00	5.24	0.00	5.24	85.0	4.45
	ACCOUNT #: LSR010467	BUY 1 Units @0.0000						
/ /	***-**-653J - MYERS, AND	THE INVESTMENT COMPANY OF AMERICA-A	0.00	2.20	0.00	2.20	85.0	1.87
	ACCOUNT #: LSR011521	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-054I - GAROFALO, ACCOUNT #: LSF000299	THE NEW ECONOMY FUND-A BUY 1 Units @0.0000	0.00	4.19	0.00	4.19	85.0	3.56
/ /	***-**-054J - GAROFALO, ACCOUNT #: LSF000300	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	6.55	0.00	6.55	85.0	5.57
/ /	***-**-29IR - MANGANELLO, ACCOUNT #: LSR011770	WELLS FARGO DIVERSIF INC BUILDER CL BUY 1 Units @0.0000	0.00	9.38	0.00	9.38	85.0	7.97
/ /	***-**-0550 - ILGENFRITZ, TIM ACCOUNT #: LSR011557	WELLS FARGO INDEX ASSET ALLOC C BUY 1 Units @0.0000	0.00	21.73	0.00	21.73	85.0	18.47
/ /	***-**-352I - KUNKEL, RAY ACCOUNT #: LSR011585	WELLS FARGO SPECIAL MID CAP CL C BUY 1 Units @0.0000	0.00	15.90	0.00	15.90	85.0	13.52
/ /	***-**-831I - KAKAREKA, ACCOUNT #: LSR011084	WELLS FARGO SPECIAL SMALL CAP VALUE BUY 1 Units @0.0000	0.00	1.18	0.00	1.18	85.0	1.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$65.28	\$2,041.81	\$0.00	\$2,041.81		\$1,735.55
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
08/13/2018	***-**-597I - LEAR, DIANE ACCOUNT #: R080334-ZS	ING ADVANTAGE SELECT BUY 0 Units @0.0000	0.00	14.72	0.00	14.72	85.0	12.51
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$14.72	\$0.00	\$14.72		\$12.51
*** BROKER TRANSACTIONS TOTAL ***			\$65,392.17	\$3,314.55	\$18.00	\$3,296.55		\$2,800.74



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BROKER COMMISSION TOTALS								
						Broker Transactions		\$2,800.74
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$40.41
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$2,841.15
						Year-to-date Earnings		\$86,290.25