



Concorde Investment Services, LLC

01/13/2017
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COMMISSION STATEMENT

January 17, 2017

WILLIAM THOMAS
2565 West Maple Road

BROKER #: T123 Terminated 12/31/2016
BRANCH: SECURE ASSET FINANCI

Troy, MI 48084

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/27/2016	WLODARCZYK, MARGARET	AM FUNDS AMCAP A	99.99	2.00	0.00	2.00	90.0	1.80
12/27/2016	ISBELL, LINDA	AM FUNDS AMCAP A	50.00	2.50	0.00	2.50	90.0	2.25
01/03/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	89.42	2.46	0.00	2.46	90.0	2.21
01/03/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	21.64	0.60	0.00	0.60	90.0	0.54
01/03/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	21.64	0.60	0.00	0.60	90.0	0.54
01/03/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	89.42	2.46	0.00	2.46	90.0	2.21
01/03/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	89.42	2.46	0.00	2.46	90.0	2.21
01/03/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	21.64	0.60	0.00	0.60	90.0	0.54
01/03/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	89.42	2.46	0.00	2.46	90.0	2.21
01/03/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	21.64	0.60	0.00	0.60	90.0	0.54
01/03/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	89.42	2.46	0.00	2.46	90.0	2.21
01/03/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	21.64	0.60	0.00	0.60	90.0	0.54
01/03/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	89.42	2.46	0.00	2.46	90.0	2.21
01/03/2017	WLODARCZYK, MAREK	AM FUNDS AMCAP A	21.64	0.60	0.00	0.60	90.0	0.54
12/27/2016	ISBELL, LINDA	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	90.0	2.25
01/03/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	59.62	0.60	0.00	0.60	90.0	0.54
01/03/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	14.42	0.14	0.00	0.14	90.0	0.13
01/03/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	14.42	0.14	0.00	0.14	90.0	0.13
01/03/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	59.62	0.60	0.00	0.60	90.0	0.54
01/03/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	59.62	0.60	0.00	0.60	90.0	0.54
01/03/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	14.42	0.14	0.00	0.14	90.0	0.13
01/03/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	59.62	0.60	0.00	0.60	90.0	0.54
01/03/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	14.42	0.14	0.00	0.14	90.0	0.13
01/03/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	59.62	0.60	0.00	0.60	90.0	0.54
01/03/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	14.42	0.14	0.00	0.14	90.0	0.13
01/03/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	59.62	0.60	0.00	0.60	90.0	0.54

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/03/2017	WLODARCZYK, MAREK	AM FUNDS CAPITAL WORLD GRTH & INC C	14.42	0.14	0.00	0.14	90.0	0.13
12/27/2016	THOMAS, NICOLE	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	4.25	0.00	4.25	90.0	3.83
12/30/2016	VALICEVIC, ROBERT	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
12/27/2016	ISBELL, LINDA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
01/03/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	59.62	0.60	0.00	0.60	90.0	0.54
01/03/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	14.42	0.14	0.00	0.14	90.0	0.13
01/03/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	59.62	0.60	0.00	0.60	90.0	0.54
01/03/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	14.42	0.14	0.00	0.14	90.0	0.13
01/03/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	59.62	0.60	0.00	0.60	90.0	0.54
01/03/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	14.42	0.14	0.00	0.14	90.0	0.13
01/03/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	59.62	0.60	0.00	0.60	90.0	0.54
01/03/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	14.42	0.14	0.00	0.14	90.0	0.13
01/03/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	59.62	0.60	0.00	0.60	90.0	0.54
01/03/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	14.42	0.14	0.00	0.14	90.0	0.13
01/03/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	59.62	0.60	0.00	0.60	90.0	0.54
01/03/2017	WLODARCZYK, MAREK	AM FUNDS GROWTH FUND OF AMERICA C	14.42	0.14	0.00	0.14	90.0	0.13
12/27/2016	ISBELL, LINDA	AM FUNDS ICA A	50.00	2.50	0.00	2.50	90.0	2.25
01/03/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	24.00	0.24	0.00	0.24	90.0	0.22
01/03/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	22.80	0.23	0.00	0.23	90.0	0.21
01/03/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	24.00	0.24	0.00	0.24	90.0	0.22
01/03/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	22.80	0.23	0.00	0.23	90.0	0.21
01/03/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	24.23	0.24	0.00	0.24	90.0	0.22
01/03/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	22.80	0.23	0.00	0.23	90.0	0.21
01/03/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	24.45	0.24	0.00	0.24	90.0	0.22
01/03/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	22.80	0.23	0.00	0.23	90.0	0.21
01/03/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	24.00	0.24	0.00	0.24	90.0	0.22
01/03/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	22.80	0.23	0.00	0.23	90.0	0.21
01/03/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	24.00	0.24	0.00	0.24	90.0	0.22
01/03/2017	VOKITS, DOUGLAS	AM FUNDS NEW ECONOMY C	22.80	0.23	0.00	0.23	90.0	0.21
12/27/2016	WLODARCZYK, MARGARET	AM FUNDS WASHINGTON MUTUAL INVESTOR	99.99	2.00	0.00	2.00	90.0	1.80
01/03/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	89.42	2.46	0.00	2.46	90.0	2.21
01/03/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	21.64	0.60	0.00	0.60	90.0	0.54
01/03/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	89.42	2.46	0.00	2.46	90.0	2.21

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/03/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	21.64	0.60	0.00	0.60	90.0	0.54
01/03/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	89.42	2.46	0.00	2.46	90.0	2.21
01/03/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	21.64	0.60	0.00	0.60	90.0	0.54
01/03/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	89.42	2.46	0.00	2.46	90.0	2.21
01/03/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	21.64	0.60	0.00	0.60	90.0	0.54
01/03/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	89.42	2.46	0.00	2.46	90.0	2.21
01/03/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	21.64	0.60	0.00	0.60	90.0	0.54
01/03/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	89.42	2.46	0.00	2.46	90.0	2.21
01/03/2017	WLODARCZYK, MAREK	AM FUNDS WASHINGTON MUTUAL INVESTOR	21.64	0.60	0.00	0.60	90.0	0.54
* MUTUAL FUNDS SUBTOTAL *			\$3,052.66	\$67.17	\$0.00	\$67.17		\$60.50
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/28/2016	WOJCICKIJ, TARAS	NWL NEXT GEN II FP VUL	300.00	6.00	0.00	6.00	90.0	5.40
* VARIABLE ANNUITIES SUBTOTAL *			\$300.00	\$6.00	\$0.00	\$6.00		\$5.40
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/31/2016	LUKE, CECILIA	LFG TRAILS	0.00	243.02	0.00	243.02	90.0	218.72
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$243.02	\$0.00	\$243.02		\$218.72
*** BROKER TRANSACTIONS TOTAL ***			\$3,352.66	\$316.19	\$0.00	\$316.19		\$284.62

SPLIT TRANSACTIONS**BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240**

12/30/2016	POLL, KELLY	ALLIANZ VISION BASIC	73,735.06	46.09	0.00	46.09	90.0	41.48
01/03/2017	CROCKETT, DAVID	AM FUNDS AMERICAN BALANCED 529C	100.00	0.50	0.00	0.50	90.0	0.45
01/03/2017	CROCKETT, DAVID	AM FUNDS AMERICAN BALANCED 529C	100.00	0.50	0.00	0.50	90.0	0.45
12/28/2016	VANDERWAL, ELIZABETH	AM FUNDS AMERICAN BALANCED C	50.00	0.25	0.00	0.25	90.0	0.23
12/27/2016	KOCHANOWSKI, DONALD	FRANKLIN GROWTH OPPORTUNITIES FUND	200.00	1.00	0.00	1.00	90.0	0.90
/ /	CHISHOLM, DANIEL	JH 529 PORT 2029-2032 A	0.00	1.32	0.00	1.32	90.0	1.19
12/31/2016	REYNOLDS, BARBARA	NW DESTINATION B2 05	47,960.94	29.98	0.00	29.98	90.0	26.98
01/02/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	350.00	0.88	0.00	0.88	90.0	0.79
01/03/2017	ONDOSKEY, TARA	NWL YOURLIFE ACCUM VUL	125.00	0.63	0.00	0.63	90.0	0.57
/ /	CROCKETT, DAVID	OPPENHEIMER DEVELOPING MARKETS C	0.00	3.53	0.00	3.53	90.0	3.18
/ /	REICH, MICHELLE	OPPENHEIMER FUND 68385E200	0.00	33.54	0.00	33.54	90.0	30.19

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u>								
12/19/2016	REICH, MICHELLE	OPPENHEIMER FUND 68385E200	50.00	0.25	0.00	0.25	90.0	0.23
/ /	CROCKETT, DAVID	OPPENHEIMER GLOBAL OPPORTUNITIES C	0.00	6.86	0.00	6.86	90.0	6.17
/ /	REICH, MICHELLE	OPPENHEIMER PTF SERIES EQUITY INVES	0.00	30.57	0.00	30.57	90.0	27.51
12/19/2016	REICH, MICHELLE	OPPENHEIMER PTF SERIES EQUITY INVES	50.00	0.25	0.00	0.25	90.0	0.23
12/19/2016	JOHNSTONE, WILLIAM	PAC LIFE VUL	152.00	0.76	0.00	0.76	90.0	0.68
12/31/2016	DAILEY, SUZANNE	PRU AS APEX II	17,934.67	5.61	0.00	5.61	90.0	5.05
12/31/2016	DAILEY, SUZANNE	PRU AS APEX II	17,934.67	22.42	0.00	22.42	90.0	20.18
12/31/2016	BONGIOVANNI, CLARICE	PRU AS APEX II	90,586.34	28.31	0.00	28.31	90.0	25.48
12/31/2016	BONGIOVANNI, CLARICE	PRU AS APEX II	90,586.34	113.24	0.00	113.24	90.0	101.92
12/31/2016	BOWLBY, TAMIE	PRU AS XTRA CREDIT SIX	49,165.99	15.37	0.00	15.37	90.0	13.83
12/31/2016	BOWLBY, TAMIE	PRU AS XTRA CREDIT SIX	49,165.99	15.37	0.00	15.37	90.0	13.83
12/31/2016	PIERCE, KATHLEEN	PRU DEFINED INCOME	159,568.62	199.46	0.00	199.46	90.0	179.51
12/23/2016	SHAYER, BARBARA	PRU PREMIER INVT A	70,450.93	1,849.34	0.00	1,849.34	90.0	1,664.41
12/31/2016	FOWLER, SHARON	PRU PREMIER INVT A	90,550.53	33.96	0.00	33.96	90.0	30.56
12/31/2016	WOOD, JOHN	PRU PREMIER INVT A	101,872.93	38.20	0.00	38.20	90.0	34.38
12/31/2016	WHITE, LAURA	PRU PREMIER INVT A	91,212.83	114.02	0.00	114.02	90.0	102.62
12/31/2016	TAMER, FIDAA	PRU PREMIER INVT A	12,075.60	4.53	0.00	4.53	90.0	4.08
12/31/2016	WILLER, KAREN	PRU PREMIER INVT A	29,157.94	10.94	0.00	10.94	90.0	9.85
12/31/2016	KIMMEL, JOSEPH	PRU PREMIER INVT A	54,814.60	20.56	0.00	20.56	90.0	18.50
12/31/2016	TURNER, CHRISTOPHER	PRU PREMIER INVT A	101,373.13	38.02	0.00	38.02	90.0	34.22
12/31/2016	LORENCZ, MARY	PRU PREMIER INVT A	20,428.53	7.66	0.00	7.66	90.0	6.89
12/31/2016	DINSMOORE, JERRY	PRU PREMIER INVT A	195,554.92	73.34	0.00	73.34	90.0	66.01
12/31/2016	YOUNG, MICHAEL	PRU PREMIER INVT A	45,692.55	17.14	0.00	17.14	90.0	15.43
12/31/2016	WHALEN, KIMBERLY	PRU PREMIER INVT A	69,857.08	26.20	0.00	26.20	90.0	23.58
12/31/2016	KMIOTEK, DIANE	PRU PREMIER INVT A	47,450.95	17.80	0.00	17.80	90.0	16.02
12/31/2016	LEWIS, JOANN	PRU PREMIER INVT VA C	11,194.29	14.00	0.00	14.00	90.0	12.60
12/31/2016	BAIRD, JASON	PRU PREMIER INVT VA C	18,042.62	22.56	0.00	22.56	90.0	20.30
12/31/2016	BEECH, KENNETH	PRU PREMIER INVT VA C	36,495.55	45.62	0.00	45.62	90.0	41.06
12/31/2016	BLACKWELL, RENAE	PRU PREMIER INVT VA C	79,779.26	99.73	0.00	99.73	90.0	89.76
12/31/2016	RICCI, SARAH	PRU PREMIER INVT VA C	34,696.08	43.37	0.00	43.37	90.0	39.03
12/31/2016	PIERCE, GARY	PRU PREMIER INVT VA C	103,998.23	130.00	0.00	130.00	90.0	117.00
12/31/2016	HARDIGAN, WILLIAM	PRU PREMIER INVT VA C	20,322.05	25.41	0.00	25.41	90.0	22.87
12/31/2016	ISOTALO, SUSAN	PRU PREMIER INVT VA C	27,249.28	34.06	0.00	34.06	90.0	30.65

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u>								
12/27/2016	THOMAS, JILLIAN	PRU PREMIER RETIREMENT B	150.00	2.63	0.00	2.63	90.0	2.37
12/31/2016	SNOW, CONLEY	PRU PREMIER RETIREMENT B	79,641.83	24.89	0.00	24.89	90.0	22.40
12/31/2016	ANNONI, LINDA	PRU PREMIER RETIREMENT B	41,612.78	13.01	0.00	13.01	90.0	11.71
12/31/2016	WELCH, PATRICK	PRU PREMIER RETIREMENT B	177,898.75	55.60	0.00	55.60	90.0	50.04
12/31/2016	RUSSELL, LAWANDA	PRU PREMIER RETIREMENT B	58,065.24	18.15	0.00	18.15	90.0	16.34
12/31/2016	BENKERT, RICHARD	PRU PREMIER RETIREMENT B	285,278.59	89.15	0.00	89.15	90.0	80.24
12/31/2016	COOPER, FRANK	PRU PREMIER RETIREMENT B	64,808.58	20.26	0.00	20.26	90.0	18.23
12/31/2016	THURM, ANITA	PRU PREMIER RETIREMENT B	98,687.85	30.84	0.00	30.84	90.0	27.76
12/31/2016	ALBERT, ALLISON	PRU PREMIER RETIREMENT B	5,224.69	1.64	0.00	1.64	90.0	1.48
12/31/2016	BLACKWELL, KING	PRU PREMIER RETIREMENT L	120,751.02	150.94	0.00	150.94	90.0	135.85
12/31/2016	KOCHANOWSKI, DONALD	PRU PREMIER RETIREMENT L	216,097.95	270.12	0.00	270.12	90.0	243.11
12/31/2016	KING, HARRY	PRU PREMIER RETIREMENT L	140,951.68	176.19	0.00	176.19	90.0	158.57
12/31/2016	ALLIS, WAYNE	PRU PREMIER RETIREMENT L	168,798.88	211.00	0.00	211.00	90.0	189.90
12/31/2016	RUNION, TIMOTHY	PRU PREMIER RETIREMENT L	154,348.63	192.94	0.00	192.94	90.0	173.65
12/31/2016	VEITH, RICKY	PRU PREMIER RETIREMENT L	52,978.43	66.23	0.00	66.23	90.0	59.61
12/31/2016	SUGGATE, FRANK	PRU PREMIER RETIREMENT L	35,452.74	44.32	0.00	44.32	90.0	39.89
12/31/2016	BONGIOVANNI, JOSEPH	PRU PREMIER RETIREMENT L	11,275.97	14.10	0.00	14.10	90.0	12.69
12/31/2016	GABRIEL, WILLIAM	PRU PREMIER RETIREMENT L	85,413.80	106.77	0.00	106.77	90.0	96.09
12/31/2016	KMIOTEK, DIANE	PRU PREMIER RETIREMENT L	14,876.23	18.60	0.00	18.60	90.0	16.74
12/31/2016	THOMPSON, MARGARET	PRU PREMIER RETIREMENT L	123,050.88	153.82	0.00	153.82	90.0	138.44
12/31/2016	POTTER, NEIL	PRU PREMIER RETIREMENT L	158,160.74	197.70	0.00	197.70	90.0	177.93
12/31/2016	CARTER TRUST, ROXINE	PRU PREMIER RETIREMENT L	154,347.90	192.94	0.00	192.94	90.0	173.65
01/04/2017	BONGIOVANNI TOD, CLARICE	TRANSAMERICA AA CONSERVATIVE A	0.00	1.64	0.00	1.64	90.0	1.48
01/04/2017	BAIRD, ALEX	TRANSAMERICA AA GROWTH A	0.00	5.86	0.00	5.86	90.0	5.27
01/04/2017	COCHRILL, NATHAN	TRANSAMERICA AA GROWTH A	0.00	1.52	0.00	1.52	90.0	1.37
01/04/2017	PUVALOWSKI, WILLIAM	TRANSAMERICA AA GROWTH A	0.00	1.05	0.00	1.05	90.0	0.95
12/19/2016	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	25.00	0.60	0.00	0.60	90.0	0.54
01/03/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	25.00	0.60	0.00	0.60	90.0	0.54
01/04/2017	BAIRD, NATHAN	TRANSAMERICA AA MOD GROWTH A	0.00	8.28	0.00	8.28	90.0	7.45
01/04/2017	COCHRILL, MELANIE	TRANSAMERICA AA MOD GROWTH A	0.00	4.25	0.00	4.25	90.0	3.83
01/04/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	0.00	4.07	0.00	4.07	90.0	3.66
01/04/2017	COCHRILL, MELANIE	TRANSAMERICA AA MOD GROWTH A	0.00	1.24	0.00	1.24	90.0	1.12
01/04/2017	BONGIOVANNI, CLARICE	TRANSAMERICA AA MOD GROWTH A	0.00	2.15	0.00	2.15	90.0	1.94

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u>								
01/04/2017	CROCKETT, DAVID	TRANSAMERICA AA MOD GROWTH C	0.00	1.84	0.00	1.84	90.0	1.66
12/01/2016	THOMPSON, MARGARET	TRANSAMERICA LIBERTY	17,786.38	7.41	0.00	7.41	90.0	6.67
* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *			\$4,125,795.04	\$5,315.50	\$0.00	\$5,315.50		\$4,784.02
*** SPLIT TRANSACTIONS TOTAL ***			\$4,125,795.04	\$5,315.50	\$0.00	\$5,315.50		\$4,784.02

<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARIA BONGIOVANNI #237</u>								
12/27/2016	PRATUS, LINDSEY	AM FUNDS GROWTH FUND OF AMERICA C	150.00	1.50	0.00	1.50	90.0	0.68
12/27/2016	PRATUS, LINDSEY	AM FUNDS ICA C	150.00	1.50	0.00	1.50	90.0	0.68
* MARIA BONGIOVANNI SUBTOTAL *			\$300.00	\$3.00	\$0.00	\$3.00		\$1.36
*** OVERRIDE TRANSACTIONS TOTAL ***			\$300.00	\$3.00	\$0.00	\$3.00		\$1.36

<u>BROKER COMMISSION TOTALS</u>	
Broker Transactions	\$284.62
Split Transactions	\$4,784.02
Override Transactions	\$1.36
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,070.00
Year-to-date Gross	\$5,630.93
Year-to-date Earnings	\$5,070.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed