

All Companies  
**STATEMENT**  
April 20, 2018

RICHARD BARRONE  
385 1/2 E North St  
SUITE 620  
Bradley, IL 60915

BROKER #: 140  
BRANCH:

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
03/02/2018	#00074151999	GREENAWALT, AMERICAN FDS DEVELOPING WORLD GROWT	8,000.00	160.00	93.0	0.00	148.80	0.00	148.80
03/02/2018	#00074675547	GREENAWALT, AMERICAN FDS DEVELOPING WORLD GROWT	3,000.00	60.00	93.0	0.00	55.80	0.00	55.80
03/02/2018	#00074151999	GREENAWALT, CAPITAL WORLD BOND FUND-SBI CLASS A	7,000.00	140.00	93.0	0.00	130.20	0.00	130.20
03/02/2018	#00074151999	GREENAWALT, EMERGING MARKETS BOND FUND-A	7,000.00	140.00	93.0	0.00	130.20	0.00	130.20
03/02/2018	#00074151999	GREENAWALT, EURO PACIFIC GROWTH FUND CLASS A	8,000.00	160.00	93.0	0.00	148.80	0.00	148.80
03/06/2018	#00088726885	ROOD, NATHANIEL AMERICAN FDS PORTFOLIO SER GROWTH F	67.50	2.87	93.0	0.00	2.67	0.00	2.67
03/06/2018	#00088726885	ROOD, NATHANIEL AMERICAN FDS PORTFOLIO SER GROWTH F	24.92	1.06	93.0	0.00	0.99	0.00	0.99
03/06/2018	#04000807159	SCHAEFER, KARL AMERICAN FUNDS 02631L106	39.87	1.69	93.0	0.00	1.57	0.00	1.57
03/06/2018	#04000807159	SCHAEFER, KARL AMERICAN FUNDS 02631L106	39.87	1.69	93.0	0.00	1.57	0.00	1.57
03/06/2018	#00088726885	ROOD, NATHANIEL GLOBAL GROWTH PORTFOLIO-A	67.50	2.87	93.0	0.00	2.67	0.00	2.67
03/06/2018	#00088726885	ROOD, NATHANIEL GLOBAL GROWTH PORTFOLIO-A	24.92	1.06	93.0	0.00	0.99	0.00	0.99
03/06/2018	#00088726885	ROOD, NATHANIEL GROWTH AND INCOME PORTFOLIO-A	90.00	3.83	93.0	0.00	3.56	0.00	3.56
03/06/2018	#00088726885	ROOD, NATHANIEL GROWTH AND INCOME PORTFOLIO-A	33.23	1.41	93.0	0.00	1.31	0.00	1.31
03/12/2018	#00087266963	MEEKERJENN AMERICAN FUNDS 02630R583	2,000.00	20.00	93.0	0.00	18.60	0.00	18.60
03/12/2018	#00087266963	MEEKERJENN AMERICAN FUNDS 02630R583	50.00	0.50	93.0	0.00	0.47	0.00	0.47
03/12/2018	#00084174737	PIERCE, AMERICAN FUNDS 2020 TARGET DATE-A	120.00	3.30	93.0	0.00	3.07	0.00	3.07
03/12/2018	#00087266963	MEEKERJENN GROWTH AND INCOME PORFOLIO	50.00	0.50	93.0	0.00	0.47	0.00	0.47
03/12/2018	#00087266963	MEEKERJENN GROWTH PORTFOLIO C	50.00	0.50	93.0	0.00	0.47	0.00	0.47
03/15/2018	#00086554976	KUIKEN, DAVID AMCAP FUND INC CLASS A	39.00	1.07	93.0	0.00	1.00	0.00	1.00
03/15/2018	#00086554976	KUIKEN, DAVID AMCAP FUND INC CLASS A	39.00	1.07	93.0	0.00	1.00	0.00	1.00
03/15/2018	#00086554949	CRISP, KRISTEN AMERICAN FUNDS 2020 TARGET DATE-A	246.00	6.77	93.0	0.00	6.30	0.00	6.30
03/15/2018	#00086554949	CRISP, KRISTEN AMERICAN FUNDS 2020 TARGET DATE-A	246.00	6.77	93.0	0.00	6.30	0.00	6.30
03/15/2018	#00086554976	KUIKEN, DAVID AMERICAN FUNDS 2020 TARGET DATE-A	234.00	6.44	93.0	0.00	5.99	0.00	5.99
03/15/2018	#00086554976	KUIKEN, DAVID AMERICAN FUNDS 2020 TARGET DATE-A	234.00	6.44	93.0	0.00	5.99	0.00	5.99
03/15/2018	#00089478928	SURRATTRON AMERICAN FUNDS 2025 TARGET DATE-A	186.00	5.12	93.0	0.00	4.76	0.00	4.76
03/15/2018	#00089478928	SURRATTRON AMERICAN FUNDS 2025 TARGET DATE-A	620.00	17.05	93.0	0.00	15.86	0.00	15.86
03/15/2018	#00089299775	MOFFITTDV AMERICAN FUNDS 2030 TARGET DATE-A	192.75	5.30	93.0	0.00	4.93	0.00	4.93
03/15/2018	#00089299775	MOFFITTDV AMERICAN FUNDS 2030 TARGET DATE-A	192.75	5.30	93.0	0.00	4.93	0.00	4.93

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/15/2018	#04000208238	ROMACLAWRE	AMERICAN FUNDS 2030 TARGET DATE-A	114.87	3.16	93.0	0.00	2.94	2.94
03/15/2018	#04000208238	ROMACLAWRE	AMERICAN FUNDS 2030 TARGET DATE-A	114.87	3.16	93.0	0.00	2.94	2.94
03/15/2018	#00087499628	WILLIAMS, RO	AMERICAN FUNDS 2035 TARGET DATE-A	198.00	5.45	93.0	0.00	5.07	5.07
03/15/2018	#00087499628	WILLIAMS, RO	AMERICAN FUNDS 2035 TARGET DATE-A	396.00	10.89	93.0	0.00	10.13	10.13
03/15/2018	#00089608708	SHELTONTRA	AMERICAN FUNDS 2040 TARGET DATE-A	138.00	3.80	93.0	0.00	3.53	3.53
03/15/2018	#00089608708	SHELTONTRA	AMERICAN FUNDS 2040 TARGET DATE-A	138.00	3.80	93.0	0.00	3.53	3.53
03/15/2018	#00089210188	GAMBLEMELI	AMERICAN FUNDS 2045 TARGET DATE-A	400.00	20.00	93.0	0.00	18.60	18.60
03/15/2018	#00088516700	CAMISTIMOT	AMERICAN FUNDS TARGET DATE RETIREME	99.00	2.72	93.0	0.00	2.53	2.53
03/15/2018	#00088516700	CAMISTIMOT	AMERICAN FUNDS TARGET DATE RETIREME	99.00	2.72	93.0	0.00	2.53	2.53
03/15/2018	#00086554976	KUIKEN, DAVID	GROWTH FUND AMERICA INC CLASS A	39.00	1.07	93.0	0.00	1.00	1.00
03/15/2018	#00086554976	KUIKEN, DAVID	GROWTH FUND AMERICA INC CLASS A	39.00	1.07	93.0	0.00	1.00	1.00
03/15/2018	#00086554976	KUIKEN, DAVID	INCOME FUND OF AMERICA INC CLASS A	39.00	1.07	93.0	0.00	1.00	1.00
03/15/2018	#00086554976	KUIKEN, DAVID	INCOME FUND OF AMERICA INC CLASS A	39.00	1.07	93.0	0.00	1.00	1.00
03/15/2018	#00086554976	KUIKEN, DAVID	WASHINGTON MUTUAL INVS FD CLASS A	39.00	1.07	93.0	0.00	1.00	1.00
03/15/2018	#00086554976	KUIKEN, DAVID	WASHINGTON MUTUAL INVS FD CLASS A	39.00	1.07	93.0	0.00	1.00	1.00
03/16/2018	#00084330324	ROOD, NATHANIEL	AMERICAN BALANCED FUND INC CL C	50.00	0.50	93.0	0.00	0.47	0.47
03/16/2018	#00084330324	ROOD, NATHANIEL	AMERICAN MUTUAL FUND INC CL C	50.00	0.50	93.0	0.00	0.47	0.47
03/16/2018	#00084330324	ROOD, NATHANIEL	CAPITAL INCOME BLDR FUND CL C	50.00	0.50	93.0	0.00	0.47	0.47
03/16/2018	#00084330324	ROOD, NATHANIEL	INCOME FUND AMER INC CL C	50.00	0.50	93.0	0.00	0.47	0.47
03/16/2018	#00084330324	ROOD, NATHANIEL	THE TAX-EXEMPT BOND FUND OF AMERICA	50.00	0.50	93.0	0.00	0.47	0.47
03/19/2018	#00088726885	ROOD, NATHANIEL	AMERICAN FDS PORTFOLIO SER GROWTH F	67.50	2.87	93.0	0.00	2.67	2.67
03/19/2018	#00088726885	ROOD, NATHANIEL	AMERICAN FDS PORTFOLIO SER GROWTH F	24.92	1.06	93.0	0.00	0.99	0.99
03/19/2018	#04000807159	SCHAEFER, KARL	AMERICAN FUNDS 02631L106	48.49	2.06	93.0	0.00	1.92	1.92
03/19/2018	#04000807159	SCHAEFER, KARL	AMERICAN FUNDS 02631L106	48.49	2.06	93.0	0.00	1.92	1.92
03/19/2018	#00084440533	KUHLMANN,	AMERICAN FUNDS 2045 TARGET DATE-A	195.00	5.36	93.0	0.00	4.98	4.98
03/19/2018	#00084440533	KUHLMANN,	AMERICAN FUNDS 2045 TARGET DATE-A	578.34	15.90	93.0	0.00	14.79	14.79
03/19/2018	#00088726885	ROOD, NATHANIEL	GLOBAL GROWTH PORTFOLIO-A	24.92	1.06	93.0	0.00	0.99	0.99
03/19/2018	#00088726885	ROOD, NATHANIEL	GLOBAL GROWTH PORTFOLIO-A	67.50	2.87	93.0	0.00	2.67	2.67
03/19/2018	#00088726885	ROOD, NATHANIEL	GROWTH AND INCOME PORTFOLIO-A	90.00	3.83	93.0	0.00	3.56	3.56
03/19/2018	#00088726885	ROOD, NATHANIEL	GROWTH AND INCOME PORTFOLIO-A	33.23	1.41	93.0	0.00	1.31	1.31
03/20/2018	#00082145480	GREENLEE,	AMERICAN HIGH INCOME TR SH BEN INT	80.00	2.20	93.0	0.00	2.05	2.05
03/20/2018	#00082145480	GREENLEE,	AMERICAN MUTUAL FUND INC CLASS A	80.00	2.20	93.0	0.00	2.05	2.05
03/20/2018	#00082145480	GREENLEE,	GLOBAL BALANCED FUND-A	80.00	2.20	93.0	0.00	2.05	2.05
03/20/2018	#00082145480	GREENLEE,	GROWTH FUND AMERICA INC CLASS A	80.00	2.20	93.0	0.00	2.05	2.05
03/20/2018	#00082145480	GREENLEE,	INCOME FUND OF AMERICA INC CLASS A	80.00	2.20	93.0	0.00	2.05	2.05
03/20/2018	#00082145480	GREENLEE,	WASHINGTON MUTUAL INVS FD CLASS A	80.00	2.20	93.0	0.00	2.05	2.05

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* MUTUAL FUNDS SUBTOTAL *			\$41,727.44	\$878.91		\$0.00	\$817.52	\$0.00	\$817.52
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00069311629	CARLEY, RICHARD	AMCAP FUND INC CLASS A	0.00	34.98	93.0	0.00	32.53	32.53
03/01/2018	#00073570837	JOHNSTON,	AMCAP FUND INC CLASS A	0.00	4.36	93.0	0.00	4.05	4.05
03/01/2018	#00073771609	MCCLURE, LESLIE	AMCAP FUND INC CLASS A	0.00	8.36	93.0	0.00	7.77	7.77
03/01/2018	#00075012426	STEVENS, JAMES	AMCAP FUND INC CLASS A	0.00	7.25	93.0	0.00	6.74	6.74
03/01/2018	#00075959045	LINK, MICHELLE	AMCAP FUND INC CLASS A	0.00	5.70	93.0	0.00	5.30	5.30
03/01/2018	#00075975866	GREENAWALT,	AMCAP FUND INC CLASS A	0.00	3.12	93.0	0.00	2.90	2.90
03/01/2018	#00081391700	GREENLEE, R	AMCAP FUND INC CLASS A	0.00	0.75	93.0	0.00	0.70	0.70
03/01/2018	#00081716214	TINGLEY,	AMCAP FUND INC CLASS A	0.00	13.43	93.0	0.00	12.49	12.49
03/01/2018	#00082145480	GREENLEE,	AMCAP FUND INC CLASS A	0.00	1.34	93.0	0.00	1.25	1.25
03/01/2018	#00084440582	SOSTARICH, ALEX	AMCAP FUND INC CLASS A	0.00	1.83	93.0	0.00	1.70	1.70
03/01/2018	#00084706273	HENRICHS, NELDA	AMCAP FUND INC CLASS A	0.00	9.41	93.0	0.00	8.75	8.75
03/01/2018	#00085057300	CARTER, GARY	AMCAP FUND INC CLASS A	0.00	3.27	93.0	0.00	3.04	3.04
03/01/2018	#00085057868		AMCAP FUND INC CLASS A	0.00	5.47	93.0	0.00	5.09	5.09
03/01/2018	#00085062501	CARTER, GARY	AMCAP FUND INC CLASS A	0.00	12.01	93.0	0.00	11.17	11.17
03/01/2018	#00086429463	LITTLE, ELLIOTT	AMCAP FUND INC CLASS A	0.00	1.08	93.0	0.00	1.00	1.00
03/01/2018	#00086471590	BOONE, RANDAL	AMCAP FUND INC CLASS A	0.00	15.20	93.0	0.00	14.14	14.14
03/01/2018	#00086554976	KUIKEN, DAVID	AMCAP FUND INC CLASS A	0.00	4.41	93.0	0.00	4.10	4.10
03/01/2018	#00086559404	BAILEY, KAREN	AMCAP FUND INC CLASS A	0.00	13.36	93.0	0.00	12.42	12.42
03/01/2018	#00086731559	PIERCE,	AMCAP FUND INC CLASS A	0.00	6.34	93.0	0.00	5.90	5.90
03/01/2018	#00087092737	JORDAN, JAMES	AMCAP FUND INC CLASS A	0.00	1.17	93.0	0.00	1.09	1.09
03/01/2018	#00089133716	LINK, MICHELLE	AMCAP FUND INC CLASS A	0.00	3.14	93.0	0.00	2.92	2.92
03/01/2018	#00073570837	JOHNSTON,	AMERICAN BALANCED FUND INC CLASS A	0.00	6.18	93.0	0.00	5.75	5.75
03/01/2018	#00075084357	HARVEY, ANTONIO	AMERICAN BALANCED FUND INC CLASS A	0.00	8.70	93.0	0.00	8.09	8.09
03/01/2018	#00075975866	GREENAWALT,	AMERICAN BALANCED FUND INC CLASS A	0.00	2.29	93.0	0.00	2.13	2.13
03/01/2018	#00082284073	HENRICHS, NELDA	AMERICAN BALANCED FUND INC CLASS A	0.00	4.40	93.0	0.00	4.09	4.09
03/01/2018	#00084093970	YEATES, NORA	AMERICAN BALANCED FUND INC CLASS A	0.00	11.87	93.0	0.00	11.04	11.04
03/01/2018	#00084885189	HENRICHS, NELDA	AMERICAN BALANCED FUND INC CLASS A	0.00	9.16	93.0	0.00	8.52	8.52
03/01/2018	#04000073507		AMERICAN BALANCED FUND INC CLASS A	0.00	2.80	93.0	0.00	2.60	2.60
03/01/2018	#04000092547		AMERICAN BALANCED FUND INC CLASS A	0.00	1.43	93.0	0.00	1.33	1.33
03/01/2018	#00067490088	LOVELL, ASHLEY	AMERICAN BALANCED FUND-529A	0.00	1.97	93.0	0.00	1.83	1.83
03/01/2018	#00073771609	MCCLURE, LESLIE	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	1.71	93.0	0.00	1.59	1.59
03/01/2018	#00075019244	STEVENS, JAMES	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	19.53	93.0	0.00	18.16	18.16
03/01/2018	#00081391700	GREENLEE, R	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	0.42	93.0	0.00	0.39	0.39
03/01/2018	#00081708237	TINGLEY, JOHN	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	13.09	93.0	0.00	12.17	12.17
03/01/2018	#00084044679	DUFRAIN, SHARON	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	2.51	93.0	0.00	2.33	2.33
03/01/2018	#00085062501	CARTER, GARY	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	1.42	93.0	0.00	1.32	1.32
03/01/2018	#00086731559	PIERCE,	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	0.24	93.0	0.00	0.22	0.22

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00086872436	KLONOWSKI,	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	0.38	93.0	0.00	0.35	0.35
03/01/2018	#00086934802	KARR, JAY	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	6.27	93.0	0.00	5.83	5.83
03/01/2018	#00066994403		AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	2.92	93.0	0.00	2.72	2.72
03/01/2018	#00081716214	TINGLEY,	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	14.89	93.0	0.00	13.85	13.85
03/01/2018	#00084093970	YEATES, NORA	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	9.49	93.0	0.00	8.83	8.83
03/01/2018	#00085057808	CARTER, GARY	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	3.39	93.0	0.00	3.15	3.15
03/01/2018	#00085062501	CARTER, GARY	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	11.31	93.0	0.00	10.52	10.52
03/01/2018	#00086674610	BAILEY, KAREN	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	1.55	93.0	0.00	1.44	1.44
03/01/2018	#00087119085	TINGLEY, JOHN	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	7.61	93.0	0.00	7.08	7.08
03/01/2018	#00087146597	IL/TOD	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	4.64	93.0	0.00	4.32	4.32
03/01/2018	#00087249463		AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	13.31	93.0	0.00	12.38	12.38
03/01/2018	#00087350673		AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	1.96	93.0	0.00	1.82	1.82
03/01/2018	#00087989647	STEVENS, JAMES	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	49.93	93.0	0.00	46.43	46.43
03/01/2018	#00088037021		AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	3.70	93.0	0.00	3.44	3.44
03/01/2018	#00088726885	ROOD, NATHANIEL	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	2.43	93.0	0.00	2.26	2.26
03/01/2018	#00088978687		AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	57.05	93.0	0.00	53.06	53.06
03/01/2018	#00089339323	KING, LINDSAY	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	2.60	93.0	0.00	2.42	2.42
03/01/2018	#00089360005	MCCLURE, LESLIE	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	4.16	93.0	0.00	3.87	3.87
03/01/2018	#00085057300	CARTER, GARY	AMERICAN FUNDS 02629H109	0.00	1.39	93.0	0.00	1.29	1.29
03/01/2018	#00087266963	MEEKERJENN	AMERICAN FUNDS 02630D303	0.00	2.41	93.0	0.00	2.24	2.24
03/01/2018	#00087266963	MEEKERJENN	AMERICAN FUNDS 02630R583	0.00	3.78	93.0	0.00	3.52	3.52
03/01/2018	#00087266963	MEEKERJENN	AMERICAN FUNDS 02630Y240	0.00	6.15	93.0	0.00	5.72	5.72
03/01/2018	#00089133716	LINK, MICHELLE	AMERICAN FUNDS 02631E102	0.00	0.32	93.0	0.00	0.30	0.30
03/01/2018	#00086841916	MARTHODROT	AMERICAN FUNDS 02631L106	0.00	58.18	93.0	0.00	54.11	54.11
03/01/2018	#04000807159	SCHAEFER, KARL	AMERICAN FUNDS 02631L106	0.00	0.04	93.0	0.00	0.04	0.04
03/01/2018	#00086021648	DIONNE, DONNA	AMERICAN FUNDS 02631L825	0.00	23.60	93.0	0.00	21.95	21.95
03/01/2018	#00084093970	YEATES, NORA	AMERICAN FUNDS 2010 TARGET DATE-A	0.00	10.70	93.0	0.00	9.95	9.95
03/01/2018	#00085066155	JOHNSON, MARY	AMERICAN FUNDS 2010 TARGET DATE-A	0.00	46.08	93.0	0.00	42.85	42.85
03/01/2018	#00086674610	BAILEY, KAREN	AMERICAN FUNDS 2010 TARGET DATE-A	0.00	5.35	93.0	0.00	4.98	4.98
03/01/2018	#00086982724	KOERNER, ROGER	AMERICAN FUNDS 2010 TARGET DATE-A	0.00	9.91	93.0	0.00	9.22	9.22
03/01/2018	#00086982744	KOERNER, MARY	AMERICAN FUNDS 2010 TARGET DATE-A	0.00	8.28	93.0	0.00	7.70	7.70
03/01/2018	#00083223386	JOHNSTON,	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	20.11	93.0	0.00	18.70	18.70
03/01/2018	#00083223508	JOHNSTON,	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	22.21	93.0	0.00	20.66	20.66
03/01/2018	#00083869206	FARMAN,	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	35.57	93.0	0.00	33.08	33.08
03/01/2018	#00085161492	GREENAWALT,	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	23.55	93.0	0.00	21.90	21.90
03/01/2018	#00089124455		AMERICAN FUNDS 2015 TARGET DATE-A	0.00	49.54	93.0	0.00	46.07	46.07

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00063858242 KARR, DONNA	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	61.35	93.0	0.00	57.06	0.00	57.06
03/01/2018	#00063976641 KARR, DONNA	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	5.31	93.0	0.00	4.94	0.00	4.94
03/01/2018	#00063976642 KARR, JAY	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	5.31	93.0	0.00	4.94	0.00	4.94
03/01/2018	#00084044679 DUFRAIN, SHARON	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	7.58	93.0	0.00	7.05	0.00	7.05
03/01/2018	#00084174737 PIERCE,	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	15.68	93.0	0.00	14.58	0.00	14.58
03/01/2018	#00084706273 HENRICHS, NELDA	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	45.12	93.0	0.00	41.96	0.00	41.96
03/01/2018	#00085057808 CARTER, GARY	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	4.55	93.0	0.00	4.23	0.00	4.23
03/01/2018	#00085062501 CARTER, GARY	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	96.54	93.0	0.00	89.78	0.00	89.78
03/01/2018	#00085254551 U, ELLEN	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	328.74	93.0	0.00	305.73	0.00	305.73
03/01/2018	#00085694409 GREENAWALT,	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	9.49	93.0	0.00	8.83	0.00	8.83
03/01/2018	#00086554949 CRISP, KRISTEN	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	15.39	93.0	0.00	14.31	0.00	14.31
03/01/2018	#00086554976 KUIKEN, DAVID	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	21.33	93.0	0.00	19.84	0.00	19.84
03/01/2018	#00086674610 BAILEY, KAREN	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	2.50	93.0	0.00	2.33	0.00	2.33
03/01/2018	#00086693838 PIERCE,	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	8.70	93.0	0.00	8.09	0.00	8.09
03/01/2018	#00086965104 KARR, JAY	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	161.22	93.0	0.00	149.93	0.00	149.93
03/01/2018	#00088710798	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	40.63	93.0	0.00	37.79	0.00	37.79
03/01/2018	#00081708237 TINGLEY, JOHN	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	40.17	93.0	0.00	37.36	0.00	37.36
03/01/2018	#00081716214 TINGLEY,	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	17.12	93.0	0.00	15.92	0.00	15.92
03/01/2018	#00084768483	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	3.16	93.0	0.00	2.94	0.00	2.94
03/01/2018	#00085651034 KEEN, MARK	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	17.07	93.0	0.00	15.88	0.00	15.88
03/01/2018	#00085651049 KEEN, JOANNE	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	15.13	93.0	0.00	14.07	0.00	14.07
03/01/2018	#00086471590 BOONE, RANDAL	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	63.34	93.0	0.00	58.91	0.00	58.91
03/01/2018	#00086622105 DONATO, GERALD	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	0.26	93.0	0.00	0.24	0.00	0.24
03/01/2018	#00087119085 TINGLEY, JOHN	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	6.54	93.0	0.00	6.08	0.00	6.08
03/01/2018	#00088726870 COSSIDENTE	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	3.90	93.0	0.00	3.63	0.00	3.63
03/01/2018	#00089405854 FAIRFIELD,	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	3.92	93.0	0.00	3.65	0.00	3.65
03/01/2018	#00089478928 SURRATTRON	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	7.74	93.0	0.00	7.20	0.00	7.20
03/01/2018	#00084327010 ROOD, NATHANIEL	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	19.03	93.0	0.00	17.70	0.00	17.70
03/01/2018	#00089299775 MOFFITTDV	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	5.61	93.0	0.00	5.22	0.00	5.22
03/01/2018	#04000208238 ROMACLAWRE	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	0.80	93.0	0.00	0.74	0.00	0.74
03/01/2018	#00087499628 WILLIAMS, RO	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	18.07	93.0	0.00	16.81	0.00	16.81
03/01/2018	#00086555021 DONLEY, PATRICK	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	14.16	93.0	0.00	13.17	0.00	13.17
03/01/2018	#00089608708 SHELTONTRA	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	2.64	93.0	0.00	2.46	0.00	2.46
03/01/2018	#00084440533 KUHLMANN,	AMERICAN FUNDS 2045 TARGET DATE-A	0.00	20.35	93.0	0.00	18.93	0.00	18.93
03/01/2018	#00089210188 GAMBLEMELI	AMERICAN FUNDS 2045 TARGET DATE-A	0.00	6.50	93.0	0.00	6.05	0.00	6.05
03/01/2018	#00084440582 SOSTARICH, ALEX	AMERICAN FUNDS INCOME SERIES U S GO	0.00	0.29	93.0	0.00	0.27	0.00	0.27

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00088516700	CAMISTIMOT	AMERICAN FUNDS TARGET DATE RETIREME	0.00	3.95	93.0	0.00	3.67	3.67
03/01/2018	#00082103952	HOPKINS, DANA	AMERICAN FUNDS THE TAX EXEMPT BOND	0.00	4.02	93.0	0.00	3.74	3.74
03/01/2018	#00086882720	BROWN, DENISE	AMERICAN HIGH INCOME TR CL C	0.00	2.86	93.0	0.00	2.66	2.66
03/01/2018	#00063858242	KARR, DONNA	AMERICAN HIGH INCOME TR SH BEN INT	0.00	3.88	93.0	0.00	3.61	3.61
03/01/2018	#00073771609	MCCLURE, LESLIE	AMERICAN HIGH INCOME TR SH BEN INT	0.00	13.01	93.0	0.00	12.10	12.10
03/01/2018	#00075012426	STEVENS, JAMES	AMERICAN HIGH INCOME TR SH BEN INT	0.00	17.51	93.0	0.00	16.28	16.28
03/01/2018	#00075959045	LINK, MICHELLE	AMERICAN HIGH INCOME TR SH BEN INT	0.00	2.33	93.0	0.00	2.17	2.17
03/01/2018	#00081732143	SMITH, MARK	AMERICAN HIGH INCOME TR SH BEN INT	0.00	0.14	93.0	0.00	0.13	0.13
03/01/2018	#00082145480	GREENLEE,	AMERICAN HIGH INCOME TR SH BEN INT	0.00	5.99	93.0	0.00	5.57	5.57
03/01/2018	#00084706273	HENRICHS, NELDA	AMERICAN HIGH INCOME TR SH BEN INT	0.00	6.94	93.0	0.00	6.45	6.45
03/01/2018	#00085057300	CARTER, GARY	AMERICAN HIGH INCOME TR SH BEN INT	0.00	0.57	93.0	0.00	0.53	0.53
03/01/2018	#00085062501	CARTER, GARY	AMERICAN HIGH INCOME TR SH BEN INT	0.00	12.19	93.0	0.00	11.34	11.34
03/01/2018	#00086674610	BAILEY, KAREN	AMERICAN HIGH INCOME TR SH BEN INT	0.00	1.38	93.0	0.00	1.28	1.28
03/01/2018	#00086731559	PIERCE,	AMERICAN HIGH INCOME TR SH BEN INT	0.00	6.12	93.0	0.00	5.69	5.69
03/01/2018	#00086872436	KLONOWSKI,	AMERICAN HIGH INCOME TR SH BEN INT	0.00	1.53	93.0	0.00	1.42	1.42
03/01/2018	#00087092737	JORDAN, JAMES	AMERICAN HIGH INCOME TR SH BEN INT	0.00	0.21	93.0	0.00	0.20	0.20
03/01/2018	#00087989647	STEVENS, JAMES	AMERICAN HIGH INCOME TR SH BEN INT	0.00	28.39	93.0	0.00	26.40	26.40
03/01/2018	#00089133716	LINK, MICHELLE	AMERICAN HIGH INCOME TR SH BEN INT	0.00	1.88	93.0	0.00	1.75	1.75
03/01/2018	#04000073507		AMERICAN HIGH INCOME TR SH BEN INT	0.00	0.69	93.0	0.00	0.64	0.64
03/01/2018	#04000092547		AMERICAN HIGH INCOME TR SH BEN INT	0.00	0.35	93.0	0.00	0.33	0.33
03/01/2018	#00082103952	HOPKINS, DANA	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	4.07	93.0	0.00	3.79	3.79
03/01/2018	#00087249463		AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	6.80	93.0	0.00	6.32	6.32
03/01/2018	#00084330324	ROOD, NATHANIEL	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	4.62	93.0	0.00	4.30	4.30
03/01/2018	#00084330324	ROOD, NATHANIEL	AMERICAN MUTUAL FUND INC CL C	0.00	17.96	93.0	0.00	16.70	16.70
03/01/2018	#00086835678	LARSEN, LANA	AMERICAN MUTUAL FUND INC CL C	0.00	7.00	93.0	0.00	6.51	6.51
03/01/2018	#00086882720	BROWN, DENISE	AMERICAN MUTUAL FUND INC CL C	0.00	8.59	93.0	0.00	7.99	7.99
03/01/2018	#00089729021		AMERICAN MUTUAL FUND INC CL C	0.00	14.32	93.0	0.00	13.32	13.32
03/01/2018	#00064165871	UNTIL AGE 21,	AMERICAN MUTUAL FUND INC CLASS A	0.00	3.90	93.0	0.00	3.63	3.63
03/01/2018	#00065191215	CARLEY, DOROTHY	AMERICAN MUTUAL FUND INC CLASS A	0.00	11.60	93.0	0.00	10.79	10.79
03/01/2018	#00069311629	CARLEY, RICHARD	AMERICAN MUTUAL FUND INC CLASS A	0.00	15.68	93.0	0.00	14.58	14.58
03/01/2018	#00073570837	JOHNSTON,	AMERICAN MUTUAL FUND INC CLASS A	0.00	10.03	93.0	0.00	9.33	9.33
03/01/2018	#00073771609	MCCLURE, LESLIE	AMERICAN MUTUAL FUND INC CLASS A	0.00	4.57	93.0	0.00	4.25	4.25
03/01/2018	#00074675547	GREENAWALT,	AMERICAN MUTUAL FUND INC CLASS A	0.00	6.37	93.0	0.00	5.92	5.92
03/01/2018	#00075012426	STEVENS, JAMES	AMERICAN MUTUAL FUND INC CLASS A	0.00	14.08	93.0	0.00	13.09	13.09
03/01/2018	#00075084357	HARVEY, ANTONIO	AMERICAN MUTUAL FUND INC CLASS A	0.00	7.62	93.0	0.00	7.09	7.09
03/01/2018	#00075959045	LINK, MICHELLE	AMERICAN MUTUAL FUND INC CLASS A	0.00	2.95	93.0	0.00	2.74	2.74

COMMISSION STATEMENT for RICHARD BARRONE

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00081732143 SMITH, MARK	AMERICAN MUTUAL FUND INC CLASS A	0.00	0.17	93.0	0.00	0.16	0.00	0.16
03/01/2018	#00082103952 HOPKINS, DANA	AMERICAN MUTUAL FUND INC CLASS A	0.00	9.77	93.0	0.00	9.09	0.00	9.09
03/01/2018	#00082145480 GREENLEE,	AMERICAN MUTUAL FUND INC CLASS A	0.00	9.40	93.0	0.00	8.74	0.00	8.74
03/01/2018	#00082284073 HENRICHS, NELDA	AMERICAN MUTUAL FUND INC CLASS A	0.00	3.72	93.0	0.00	3.46	0.00	3.46
03/01/2018	#00084044679 DUFRAIN, SHARON	AMERICAN MUTUAL FUND INC CLASS A	0.00	2.70	93.0	0.00	2.51	0.00	2.51
03/01/2018	#00084093970 YEATES, NORA	AMERICAN MUTUAL FUND INC CLASS A	0.00	8.64	93.0	0.00	8.04	0.00	8.04
03/01/2018	#00084440582 SOSTARICH, ALEX	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.75	93.0	0.00	1.63	0.00	1.63
03/01/2018	#00084706273 HENRICHS, NELDA	AMERICAN MUTUAL FUND INC CLASS A	0.00	16.68	93.0	0.00	15.51	0.00	15.51
03/01/2018	#00085057300 CARTER, GARY	AMERICAN MUTUAL FUND INC CLASS A	0.00	3.72	93.0	0.00	3.46	0.00	3.46
03/01/2018	#00085057868	AMERICAN MUTUAL FUND INC CLASS A	0.00	4.55	93.0	0.00	4.23	0.00	4.23
03/01/2018	#00085288543 STEVENS, JAMES	AMERICAN MUTUAL FUND INC CLASS A	0.00	6.99	93.0	0.00	6.50	0.00	6.50
03/01/2018	#00085341614 HOPKINS, DANA	AMERICAN MUTUAL FUND INC CLASS A	0.00	0.30	93.0	0.00	0.28	0.00	0.28
03/01/2018	#00086471590 BOONE, RANDAL	AMERICAN MUTUAL FUND INC CLASS A	0.00	13.51	93.0	0.00	12.56	0.00	12.56
03/01/2018	#00086731559 PIERCE,	AMERICAN MUTUAL FUND INC CLASS A	0.00	5.78	93.0	0.00	5.38	0.00	5.38
03/01/2018	#00086835678 LARSEN, LANA	AMERICAN MUTUAL FUND INC CLASS A	0.00	0.19	93.0	0.00	0.18	0.00	0.18
03/01/2018	#00086872436 KLONOWSKI,	AMERICAN MUTUAL FUND INC CLASS A	0.00	3.82	93.0	0.00	3.55	0.00	3.55
03/01/2018	#00086934802 KARR, JAY	AMERICAN MUTUAL FUND INC CLASS A	0.00	4.34	93.0	0.00	4.04	0.00	4.04
03/01/2018	#00087092737 JORDAN, JAMES	AMERICAN MUTUAL FUND INC CLASS A	0.00	0.89	93.0	0.00	0.83	0.00	0.83
03/01/2018	#00087146597 IL/TOD	AMERICAN MUTUAL FUND INC CLASS A	0.00	3.13	93.0	0.00	2.91	0.00	2.91
03/01/2018	#00087249463	AMERICAN MUTUAL FUND INC CLASS A	0.00	26.55	93.0	0.00	24.69	0.00	24.69
03/01/2018	#00087760162 TINGLEY, JOHN	AMERICAN MUTUAL FUND INC CLASS A	0.00	3.65	93.0	0.00	3.39	0.00	3.39
03/01/2018	#00087989647 STEVENS, JAMES	AMERICAN MUTUAL FUND INC CLASS A	0.00	37.09	93.0	0.00	34.49	0.00	34.49
03/01/2018	#00089133716 LINK, MICHELLE	AMERICAN MUTUAL FUND INC CLASS A	0.00	4.17	93.0	0.00	3.88	0.00	3.88
03/01/2018	#00089727839	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.58	93.0	0.00	1.47	0.00	1.47
03/01/2018	#04000073507	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.69	93.0	0.00	1.57	0.00	1.57
03/01/2018	#04000092547	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.02	93.0	0.00	0.95	0.00	0.95
03/01/2018	#04000506110	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.58	93.0	0.00	1.47	0.00	1.47
03/01/2018	#00087146597 IL/TOD	BALANCED PORTFOLIO-A	0.00	19.27	93.0	0.00	17.92	0.00	17.92
03/01/2018	#00088978687	BALANCED PORTFOLIO-A	0.00	127.17	93.0	0.00	118.27	0.00	118.27
03/01/2018	#00086835678 LARSEN, LANA	BOND FUND AMER INC CL C	0.00	3.93	93.0	0.00	3.65	0.00	3.65
03/01/2018	#00075012426 STEVENS, JAMES	BOND FUND OF AMERICA INC CL A	0.00	13.74	93.0	0.00	12.78	0.00	12.78
03/01/2018	#00075084357 HARVEY, ANTONIO	BOND FUND OF AMERICA INC CL A	0.00	5.12	93.0	0.00	4.76	0.00	4.76
03/01/2018	#00081391700 GREENLEE, R	BOND FUND OF AMERICA INC CL A	0.00	0.19	93.0	0.00	0.18	0.00	0.18
03/01/2018	#00081732143 SMITH, MARK	BOND FUND OF AMERICA INC CL A	0.00	0.16	93.0	0.00	0.15	0.00	0.15
03/01/2018	#00082145480 GREENLEE,	BOND FUND OF AMERICA INC CL A	0.00	1.66	93.0	0.00	1.54	0.00	1.54
03/01/2018	#00082284073 HENRICHS, NELDA	BOND FUND OF AMERICA INC CL A	0.00	3.27	93.0	0.00	3.04	0.00	3.04

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00084044679	DUFRAIN, SHARON	BOND FUND OF AMERICA INC CL A	0.00	2.18	93.0	0.00	2.03	2.03
03/01/2018	#00084440582	SOSTARICH, ALEX	BOND FUND OF AMERICA INC CL A	0.00	0.62	93.0	0.00	0.58	0.58
03/01/2018	#00084706273	HENRICHS, NELDA	BOND FUND OF AMERICA INC CL A	0.00	6.54	93.0	0.00	6.08	6.08
03/01/2018	#00086559404	BAILEY, KAREN	BOND FUND OF AMERICA INC CL A	0.00	5.13	93.0	0.00	4.77	4.77
03/01/2018	#00086731559	PIERCE,	BOND FUND OF AMERICA INC CL A	0.00	5.00	93.0	0.00	4.65	4.65
03/01/2018	#00086872436	KLONOWSKI,	BOND FUND OF AMERICA INC CL A	0.00	1.84	93.0	0.00	1.71	1.71
03/01/2018	#00087092737	JORDAN, JAMES	BOND FUND OF AMERICA INC CL A	0.00	0.89	93.0	0.00	0.83	0.83
03/01/2018	#00087249463		BOND FUND OF AMERICA INC CL A	0.00	6.29	93.0	0.00	5.85	5.85
03/01/2018	#00088978687		BOND FUND OF AMERICA INC CL A	0.00	43.55	93.0	0.00	40.50	40.50
03/01/2018	#00089405854	FAIRFIELD,	BOND FUND OF AMERICA INC CL A	0.00	1.05	93.0	0.00	0.98	0.98
03/01/2018	#04000073507		BOND FUND OF AMERICA INC CL A	0.00	1.24	93.0	0.00	1.15	1.15
03/01/2018	#04000092547		BOND FUND OF AMERICA INC CL A	0.00	0.62	93.0	0.00	0.58	0.58
03/01/2018	#00084330324	ROOD, NATHANIEL	CAPITAL INCOME BLDR FUND CL C	0.00	15.68	93.0	0.00	14.58	14.58
03/01/2018	#00086835678	LARSEN, LANA	CAPITAL INCOME BLDR FUND CL C	0.00	5.43	93.0	0.00	5.05	5.05
03/01/2018	#00086882720	BROWN, DENISE	CAPITAL INCOME BLDR FUND CL C	0.00	6.60	93.0	0.00	6.14	6.14
03/01/2018	#00069311629	CARLEY, RICHARD	CAPITAL INCOME BUILDER FD CL A	0.00	17.72	93.0	0.00	16.48	16.48
03/01/2018	#00073570837	JOHNSTON,	CAPITAL INCOME BUILDER FD CL A	0.00	32.05	93.0	0.00	29.81	29.81
03/01/2018	#00074151999	GREENAWALT,	CAPITAL INCOME BUILDER FD CL A	0.00	1.00	93.0	0.00	0.93	0.93
03/01/2018	#00074675547	GREENAWALT,	CAPITAL INCOME BUILDER FD CL A	0.00	1.53	93.0	0.00	1.42	1.42
03/01/2018	#00075012426	STEVENS, JAMES	CAPITAL INCOME BUILDER FD CL A	0.00	12.11	93.0	0.00	11.26	11.26
03/01/2018	#00075019244	STEVENS, JAMES	CAPITAL INCOME BUILDER FD CL A	0.00	20.71	93.0	0.00	19.26	19.26
03/01/2018	#00075084357	HARVEY, ANTONIO	CAPITAL INCOME BUILDER FD CL A	0.00	7.52	93.0	0.00	6.99	6.99
03/01/2018	#00075959045	LINK, MICHELLE	CAPITAL INCOME BUILDER FD CL A	0.00	0.93	93.0	0.00	0.86	0.86
03/01/2018	#00081391700	GREENLEE, R	CAPITAL INCOME BUILDER FD CL A	0.00	0.38	93.0	0.00	0.35	0.35
03/01/2018	#00081732143	SMITH, MARK	CAPITAL INCOME BUILDER FD CL A	0.00	0.13	93.0	0.00	0.12	0.12
03/01/2018	#00082103952	HOPKINS, DANA	CAPITAL INCOME BUILDER FD CL A	0.00	7.32	93.0	0.00	6.81	6.81
03/01/2018	#00082145480	GREENLEE,	CAPITAL INCOME BUILDER FD CL A	0.00	1.94	93.0	0.00	1.80	1.80
03/01/2018	#00084093970	YEATES, NORA	CAPITAL INCOME BUILDER FD CL A	0.00	12.75	93.0	0.00	11.86	11.86
03/01/2018	#00084885189	HENRICHS, NELDA	CAPITAL INCOME BUILDER FD CL A	0.00	5.39	93.0	0.00	5.01	5.01
03/01/2018	#00085057300	CARTER, GARY	CAPITAL INCOME BUILDER FD CL A	0.00	2.60	93.0	0.00	2.42	2.42
03/01/2018	#00085341614	HOPKINS, DANA	CAPITAL INCOME BUILDER FD CL A	0.00	0.28	93.0	0.00	0.26	0.26
03/01/2018	#00086429463	LITTLE, ELLIOTT	CAPITAL INCOME BUILDER FD CL A	0.00	0.80	93.0	0.00	0.74	0.74
03/01/2018	#00086731559	PIERCE,	CAPITAL INCOME BUILDER FD CL A	0.00	4.68	93.0	0.00	4.35	4.35
03/01/2018	#00086872436	KLONOWSKI,	CAPITAL INCOME BUILDER FD CL A	0.00	3.42	93.0	0.00	3.18	3.18
03/01/2018	#00086934802	KARR, JAY	CAPITAL INCOME BUILDER FD CL A	0.00	3.35	93.0	0.00	3.12	3.12
03/01/2018	#00087092737	JORDAN, JAMES	CAPITAL INCOME BUILDER FD CL A	0.00	1.46	93.0	0.00	1.36	1.36



COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00087146597	IL/TOD	CAPITAL INCOME BUILDER FD CL A	0.00	5.67	93.0	0.00	5.27	5.27
03/01/2018	#00087249463		CAPITAL INCOME BUILDER FD CL A	0.00	35.05	93.0	0.00	32.60	32.60
03/01/2018	#00089133716	LINK, MICHELLE	CAPITAL INCOME BUILDER FD CL A	0.00	3.17	93.0	0.00	2.95	2.95
03/01/2018	#04000073507		CAPITAL INCOME BUILDER FD CL A	0.00	1.58	93.0	0.00	1.47	1.47
03/01/2018	#04000092547		CAPITAL INCOME BUILDER FD CL A	0.00	0.80	93.0	0.00	0.74	0.74
03/01/2018	#00073771609	MCCLURE, LESLIE	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	2.28	93.0	0.00	2.12	2.12
03/01/2018	#00075012426	STEVENS, JAMES	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	15.90	93.0	0.00	14.79	14.79
03/01/2018	#00081391700	GREENLEE, R	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	0.26	93.0	0.00	0.24	0.24
03/01/2018	#00081732143	SMITH, MARK	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	0.06	93.0	0.00	0.06	0.06
03/01/2018	#00084044679	DUFRAIN, SHARON	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	2.20	93.0	0.00	2.05	2.05
03/01/2018	#00084706273	HENRICHS, NELDA	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	6.45	93.0	0.00	6.00	6.00
03/01/2018	#00085057300	CARTER, GARY	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	0.59	93.0	0.00	0.55	0.55
03/01/2018	#00085062501	CARTER, GARY	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	1.38	93.0	0.00	1.28	1.28
03/01/2018	#00086731559	PIERCE,	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	5.16	93.0	0.00	4.80	4.80
03/01/2018	#00086872436	KLONOWSKI,	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	1.26	93.0	0.00	1.17	1.17
03/01/2018	#00087092737	JORDAN, JAMES	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	0.38	93.0	0.00	0.35	0.35
03/01/2018	#00089133716	LINK, MICHELLE	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	0.34	93.0	0.00	0.32	0.32
03/01/2018	#00069311629	CARLEY, RICHARD	CAPITAL WORLD GROWTH & INCOME FUND	0.00	22.59	93.0	0.00	21.01	21.01
03/01/2018	#00074675547	GREENAWALT,	CAPITAL WORLD GROWTH & INCOME FUND	0.00	2.81	93.0	0.00	2.61	2.61
03/01/2018	#00075019244	STEVENS, JAMES	CAPITAL WORLD GROWTH & INCOME FUND	0.00	13.34	93.0	0.00	12.41	12.41
03/01/2018	#00075084357	HARVEY, ANTONIO	CAPITAL WORLD GROWTH & INCOME FUND	0.00	6.55	93.0	0.00	6.09	6.09
03/01/2018	#00075959045	LINK, MICHELLE	CAPITAL WORLD GROWTH & INCOME FUND	0.00	1.18	93.0	0.00	1.10	1.10
03/01/2018	#00081708237	TINGLEY, JOHN	CAPITAL WORLD GROWTH & INCOME FUND	0.00	13.32	93.0	0.00	12.39	12.39
03/01/2018	#00081732143	SMITH, MARK	CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.15	93.0	0.00	0.14	0.14
03/01/2018	#00082103952	HOPKINS, DANA	CAPITAL WORLD GROWTH & INCOME FUND	0.00	8.33	93.0	0.00	7.75	7.75
03/01/2018	#00084093970	YEATES, NORA	CAPITAL WORLD GROWTH & INCOME FUND	0.00	4.74	93.0	0.00	4.41	4.41
03/01/2018	#00084927475	TINGLEY,	CAPITAL WORLD GROWTH & INCOME FUND	0.00	6.70	93.0	0.00	6.23	6.23
03/01/2018	#00085288543	STEVENS, JAMES	CAPITAL WORLD GROWTH & INCOME FUND	0.00	2.91	93.0	0.00	2.71	2.71
03/01/2018	#00085341614	HOPKINS, DANA	CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.33	93.0	0.00	0.31	0.31
03/01/2018	#00086882720	BROWN, DENISE	CAPITAL WORLD GROWTH & INCOME FUND	0.00	2.56	93.0	0.00	2.38	2.38
03/01/2018	#00086934802	KARR, JAY	CAPITAL WORLD GROWTH & INCOME FUND	0.00	4.03	93.0	0.00	3.75	3.75
03/01/2018	#00087092737	JORDAN, JAMES	CAPITAL WORLD GROWTH & INCOME FUND	0.00	1.14	93.0	0.00	1.06	1.06
03/01/2018	#00087146597	IL/TOD	CAPITAL WORLD GROWTH & INCOME FUND	0.00	5.89	93.0	0.00	5.48	5.48
03/01/2018	#00073771609	MCCLURE, LESLIE	EMERGING MARKETS BOND FUND-A	0.00	2.50	93.0	0.00	2.33	2.33
03/01/2018	#00085062501	CARTER, GARY	EMERGING MARKETS BOND FUND-A	0.00	1.38	93.0	0.00	1.28	1.28
03/01/2018	#00086731559	PIERCE,	EMERGING MARKETS BOND FUND-A	0.00	0.24	93.0	0.00	0.22	0.22

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00086872436	KLONOWSKI, EMERGING MARKETS BOND FUND-A	0.00	0.55	93.0	0.00	0.51	0.00	0.51
03/01/2018	#00087092737	JORDAN, JAMES EMERGING MARKETS BOND FUND-A	0.00	0.41	93.0	0.00	0.38	0.00	0.38
03/01/2018	#00069311629	CARLEY, RICHARD EURO PACIFIC GROWTH FUND CLASS A	0.00	6.37	93.0	0.00	5.92	0.00	5.92
03/01/2018	#00081708237	TINGLEY, JOHN EURO PACIFIC GROWTH FUND CLASS A	0.00	15.10	93.0	0.00	14.04	0.00	14.04
03/01/2018	#00081732143	SMITH, MARK EURO PACIFIC GROWTH FUND CLASS A	0.00	0.06	93.0	0.00	0.06	0.00	0.06
03/01/2018	#00084927475	TINGLEY, EURO PACIFIC GROWTH FUND CLASS A	0.00	9.88	93.0	0.00	9.19	0.00	9.19
03/01/2018	#00085341614	HOPKINS, DANA EURO PACIFIC GROWTH FUND CLASS A	0.00	0.28	93.0	0.00	0.26	0.00	0.26
03/01/2018	#00086731559	PIERCE, EURO PACIFIC GROWTH FUND CLASS A	0.00	0.24	93.0	0.00	0.22	0.00	0.22
03/01/2018	#00086835678	LARSEN, LANA EURO PACIFIC GROWTH FUND CLASS A	0.00	0.38	93.0	0.00	0.35	0.00	0.35
03/01/2018	#00087092737	JORDAN, JAMES EURO PACIFIC GROWTH FUND CLASS A	0.00	0.21	93.0	0.00	0.20	0.00	0.20
03/01/2018	#00063858242	KARR, DONNA FUNDAMENTAL INVESTORS INC CLASS A	0.00	8.65	93.0	0.00	8.04	0.00	8.04
03/01/2018	#00063976641	KARR, DONNA FUNDAMENTAL INVESTORS INC CLASS A	0.00	5.28	93.0	0.00	4.91	0.00	4.91
03/01/2018	#00069311629	CARLEY, RICHARD FUNDAMENTAL INVESTORS INC CLASS A	0.00	25.47	93.0	0.00	23.69	0.00	23.69
03/01/2018	#00073570837	JOHNSTON, FUNDAMENTAL INVESTORS INC CLASS A	0.00	13.11	93.0	0.00	12.19	0.00	12.19
03/01/2018	#00073771609	MCCLURE, LESLIE FUNDAMENTAL INVESTORS INC CLASS A	0.00	5.82	93.0	0.00	5.41	0.00	5.41
03/01/2018	#00074151999	GREENAWALT, FUNDAMENTAL INVESTORS INC CLASS A	0.00	2.24	93.0	0.00	2.08	0.00	2.08
03/01/2018	#00074675547	GREENAWALT, FUNDAMENTAL INVESTORS INC CLASS A	0.00	6.74	93.0	0.00	6.27	0.00	6.27
03/01/2018	#00075959045	LINK, MICHELLE FUNDAMENTAL INVESTORS INC CLASS A	0.00	4.29	93.0	0.00	3.99	0.00	3.99
03/01/2018	#00081391700	GREENLEE, R FUNDAMENTAL INVESTORS INC CLASS A	0.00	0.67	93.0	0.00	0.62	0.00	0.62
03/01/2018	#00082145480	GREENLEE, FUNDAMENTAL INVESTORS INC CLASS A	0.00	3.21	93.0	0.00	2.99	0.00	2.99
03/01/2018	#00082284073	HENRICHS, NELDA FUNDAMENTAL INVESTORS INC CLASS A	0.00	2.82	93.0	0.00	2.62	0.00	2.62
03/01/2018	#00084093970	YEATES, NORA FUNDAMENTAL INVESTORS INC CLASS A	0.00	6.08	93.0	0.00	5.65	0.00	5.65
03/01/2018	#00084440533	KUHLMANN, FUNDAMENTAL INVESTORS INC CLASS A	0.00	9.04	93.0	0.00	8.41	0.00	8.41
03/01/2018	#00084440582	SOSTARICH, ALEX FUNDAMENTAL INVESTORS INC CLASS A	0.00	1.92	93.0	0.00	1.79	0.00	1.79
03/01/2018	#00085057808	CARTER, GARY FUNDAMENTAL INVESTORS INC CLASS A	0.00	3.01	93.0	0.00	2.80	0.00	2.80
03/01/2018	#00085288543	STEVENS, JAMES FUNDAMENTAL INVESTORS INC CLASS A	0.00	3.59	93.0	0.00	3.34	0.00	3.34
03/01/2018	#00085341614	HOPKINS, DANA FUNDAMENTAL INVESTORS INC CLASS A	0.00	0.42	93.0	0.00	0.39	0.00	0.39
03/01/2018	#00086429463	LITTLE, ELLIOTT FUNDAMENTAL INVESTORS INC CLASS A	0.00	1.18	93.0	0.00	1.10	0.00	1.10
03/01/2018	#00086934802	KARR, JAY FUNDAMENTAL INVESTORS INC CLASS A	0.00	4.83	93.0	0.00	4.49	0.00	4.49
03/01/2018	#00087092737	JORDAN, JAMES FUNDAMENTAL INVESTORS INC CLASS A	0.00	0.97	93.0	0.00	0.90	0.00	0.90
03/01/2018	#00087249463	FUNDAMENTAL INVESTORS INC CLASS A	0.00	14.64	93.0	0.00	13.62	0.00	13.62
03/01/2018	#00089729021	FUNDAMENTAL INVS INC CL C	0.00	14.32	93.0	0.00	13.32	0.00	13.32
03/01/2018	#00065191215	CARLEY, DOROTHY GLOBAL BALANCED FUND-A	0.00	16.12	93.0	0.00	14.99	0.00	14.99
03/01/2018	#00069311629	CARLEY, RICHARD GLOBAL BALANCED FUND-A	0.00	25.81	93.0	0.00	24.00	0.00	24.00
03/01/2018	#00073570837	JOHNSTON, GLOBAL BALANCED FUND-A	0.00	22.91	93.0	0.00	21.31	0.00	21.31
03/01/2018	#00075012426	STEVENS, JAMES GLOBAL BALANCED FUND-A	0.00	81.45	93.0	0.00	75.75	0.00	75.75

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00075019244	STEVENS, JAMES	GLOBAL BALANCED FUND-A	0.00	5.50	93.0	0.00	5.12	5.12
03/01/2018	#00075959045	LINK, MICHELLE	GLOBAL BALANCED FUND-A	0.00	1.36	93.0	0.00	1.26	1.26
03/01/2018	#00075960625	CARLEY, RICHARD	GLOBAL BALANCED FUND-A	0.00	4.74	93.0	0.00	4.41	4.41
03/01/2018	#00082103952	HOPKINS, DANA	GLOBAL BALANCED FUND-A	0.00	7.68	93.0	0.00	7.14	7.14
03/01/2018	#00082145480	GREENLEE,	GLOBAL BALANCED FUND-A	0.00	4.59	93.0	0.00	4.27	4.27
03/01/2018	#00085057300	CARTER, GARY	GLOBAL BALANCED FUND-A	0.00	4.17	93.0	0.00	3.88	3.88
03/01/2018	#00085532239	IL/TOD	GLOBAL BALANCED FUND-A	0.00	37.23	93.0	0.00	34.62	34.62
03/01/2018	#00089133716	LINK, MICHELLE	GLOBAL BALANCED FUND-A	0.00	2.69	93.0	0.00	2.50	2.50
03/01/2018	#00089727839		GLOBAL BALANCED FUND-A	0.00	1.11	93.0	0.00	1.03	1.03
03/01/2018	#04000506110		GLOBAL BALANCED FUND-A	0.00	1.11	93.0	0.00	1.03	1.03
03/01/2018	#00066994403		GLOBAL GROWTH PORTFOLIO-A	0.00	2.83	93.0	0.00	2.63	2.63
03/01/2018	#00073771609	MCCLURE, LESLIE	GLOBAL GROWTH PORTFOLIO-A	0.00	5.74	93.0	0.00	5.34	5.34
03/01/2018	#00075012426	STEVENS, JAMES	GLOBAL GROWTH PORTFOLIO-A	0.00	21.83	93.0	0.00	20.30	20.30
03/01/2018	#00084927475	TINGLEY,	GLOBAL GROWTH PORTFOLIO-A	0.00	8.51	93.0	0.00	7.91	7.91
03/01/2018	#00085062501	CARTER, GARY	GLOBAL GROWTH PORTFOLIO-A	0.00	2.84	93.0	0.00	2.64	2.64
03/01/2018	#00086674610	BAILEY, KAREN	GLOBAL GROWTH PORTFOLIO-A	0.00	1.44	93.0	0.00	1.34	1.34
03/01/2018	#00087092737	JORDAN, JAMES	GLOBAL GROWTH PORTFOLIO-A	0.00	3.92	93.0	0.00	3.65	3.65
03/01/2018	#00087119085	TINGLEY, JOHN	GLOBAL GROWTH PORTFOLIO-A	0.00	8.57	93.0	0.00	7.97	7.97
03/01/2018	#00087146597	IL/TOD	GLOBAL GROWTH PORTFOLIO-A	0.00	6.38	93.0	0.00	5.93	5.93
03/01/2018	#00087249463		GLOBAL GROWTH PORTFOLIO-A	0.00	12.05	93.0	0.00	11.21	11.21
03/01/2018	#00087350673		GLOBAL GROWTH PORTFOLIO-A	0.00	1.82	93.0	0.00	1.69	1.69
03/01/2018	#00087989647	STEVENS, JAMES	GLOBAL GROWTH PORTFOLIO-A	0.00	47.34	93.0	0.00	44.03	44.03
03/01/2018	#00088037021		GLOBAL GROWTH PORTFOLIO-A	0.00	3.14	93.0	0.00	2.92	2.92
03/01/2018	#00088726885	ROOD, NATHANIEL	GLOBAL GROWTH PORTFOLIO-A	0.00	2.42	93.0	0.00	2.25	2.25
03/01/2018	#00088978687		GLOBAL GROWTH PORTFOLIO-A	0.00	54.47	93.0	0.00	50.66	50.66
03/01/2018	#00087266963	MEEKERJENN	GROWTH AND INCOME PORFOLIO	0.00	12.30	93.0	0.00	11.44	11.44
03/01/2018	#00066994403		GROWTH AND INCOME PORTFOLIO-A	0.00	3.58	93.0	0.00	3.33	3.33
03/01/2018	#00073771609	MCCLURE, LESLIE	GROWTH AND INCOME PORTFOLIO-A	0.00	9.46	93.0	0.00	8.80	8.80
03/01/2018	#00081708237	TINGLEY, JOHN	GROWTH AND INCOME PORTFOLIO-A	0.00	21.93	93.0	0.00	20.39	20.39
03/01/2018	#00081716214	TINGLEY,	GROWTH AND INCOME PORTFOLIO-A	0.00	17.93	93.0	0.00	16.67	16.67
03/01/2018	#00087146597	IL/TOD	GROWTH AND INCOME PORTFOLIO-A	0.00	18.30	93.0	0.00	17.02	17.02
03/01/2018	#00087249463		GROWTH AND INCOME PORTFOLIO-A	0.00	25.41	93.0	0.00	23.63	23.63
03/01/2018	#00087350673		GROWTH AND INCOME PORTFOLIO-A	0.00	1.74	93.0	0.00	1.62	1.62
03/01/2018	#00087989647	STEVENS, JAMES	GROWTH AND INCOME PORTFOLIO-A	0.00	68.82	93.0	0.00	64.00	64.00
03/01/2018	#00088037021		GROWTH AND INCOME PORTFOLIO-A	0.00	3.37	93.0	0.00	3.13	3.13
03/01/2018	#00088726885	ROOD, NATHANIEL	GROWTH AND INCOME PORTFOLIO-A	0.00	3.05	93.0	0.00	2.84	2.84

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00088978687	GROWTH AND INCOME PORTFOLIO-A	0.00	106.40	93.0	0.00	98.95	0.00	98.95
03/01/2018	#00089339323 KING, LINDSAY	GROWTH AND INCOME PORTFOLIO-A	0.00	2.44	93.0	0.00	2.27	0.00	2.27
03/01/2018	#00063976642 KARR, JAY	GROWTH FUND AMERICA INC CLASS A	0.00	6.64	93.0	0.00	6.18	0.00	6.18
03/01/2018	#00064165872 UNTIL AGE 21,	GROWTH FUND AMERICA INC CLASS A	0.00	2.28	93.0	0.00	2.12	0.00	2.12
03/01/2018	#00065191215 CARLEY, DOROTHY	GROWTH FUND AMERICA INC CLASS A	0.00	10.17	93.0	0.00	9.46	0.00	9.46
03/01/2018	#00069311629 CARLEY, RICHARD	GROWTH FUND AMERICA INC CLASS A	0.00	14.53	93.0	0.00	13.51	0.00	13.51
03/01/2018	#00073570837 JOHNSTON,	GROWTH FUND AMERICA INC CLASS A	0.00	8.26	93.0	0.00	7.68	0.00	7.68
03/01/2018	#00073771609 MCCLURE, LESLIE	GROWTH FUND AMERICA INC CLASS A	0.00	6.00	93.0	0.00	5.58	0.00	5.58
03/01/2018	#00074675547 GREENAWALT,	GROWTH FUND AMERICA INC CLASS A	0.00	3.03	93.0	0.00	2.82	0.00	2.82
03/01/2018	#00075012426 STEVENS, JAMES	GROWTH FUND AMERICA INC CLASS A	0.00	54.70	93.0	0.00	50.87	0.00	50.87
03/01/2018	#00075019244 STEVENS, JAMES	GROWTH FUND AMERICA INC CLASS A	0.00	14.87	93.0	0.00	13.83	0.00	13.83
03/01/2018	#00075959045 LINK, MICHELLE	GROWTH FUND AMERICA INC CLASS A	0.00	4.68	93.0	0.00	4.35	0.00	4.35
03/01/2018	#00075960625 CARLEY, RICHARD	GROWTH FUND AMERICA INC CLASS A	0.00	4.37	93.0	0.00	4.06	0.00	4.06
03/01/2018	#00075975866 GREENAWALT,	GROWTH FUND AMERICA INC CLASS A	0.00	3.21	93.0	0.00	2.99	0.00	2.99
03/01/2018	#00081391700 GREENLEE, R	GROWTH FUND AMERICA INC CLASS A	0.00	0.86	93.0	0.00	0.80	0.00	0.80
03/01/2018	#00081732143 SMITH, MARK	GROWTH FUND AMERICA INC CLASS A	0.00	0.09	93.0	0.00	0.08	0.00	0.08
03/01/2018	#00082145480 GREENLEE,	GROWTH FUND AMERICA INC CLASS A	0.00	12.05	93.0	0.00	11.21	0.00	11.21
03/01/2018	#00082284073 HENRICHS, NELDA	GROWTH FUND AMERICA INC CLASS A	0.00	2.88	93.0	0.00	2.68	0.00	2.68
03/01/2018	#00084093970 YEATES, NORA	GROWTH FUND AMERICA INC CLASS A	0.00	8.75	93.0	0.00	8.14	0.00	8.14
03/01/2018	#00084440582 SOSTARICH, ALEX	GROWTH FUND AMERICA INC CLASS A	0.00	1.99	93.0	0.00	1.85	0.00	1.85
03/01/2018	#00084706273 HENRICHS, NELDA	GROWTH FUND AMERICA INC CLASS A	0.00	3.21	93.0	0.00	2.99	0.00	2.99
03/01/2018	#00085062501 CARTER, GARY	GROWTH FUND AMERICA INC CLASS A	0.00	13.71	93.0	0.00	12.75	0.00	12.75
03/01/2018	#00085288543 STEVENS, JAMES	GROWTH FUND AMERICA INC CLASS A	0.00	8.08	93.0	0.00	7.51	0.00	7.51
03/01/2018	#00085341614 HOPKINS, DANA	GROWTH FUND AMERICA INC CLASS A	0.00	0.43	93.0	0.00	0.40	0.00	0.40
03/01/2018	#00085532239 IL/TOD	GROWTH FUND AMERICA INC CLASS A	0.00	15.55	93.0	0.00	14.46	0.00	14.46
03/01/2018	#00086554976 KUIKEN, DAVID	GROWTH FUND AMERICA INC CLASS A	0.00	4.63	93.0	0.00	4.31	0.00	4.31
03/01/2018	#00086731559 PIERCE,	GROWTH FUND AMERICA INC CLASS A	0.00	6.71	93.0	0.00	6.24	0.00	6.24
03/01/2018	#00086882720 BROWN, DENISE	GROWTH FUND AMERICA INC CLASS A	0.00	3.02	93.0	0.00	2.81	0.00	2.81
03/01/2018	#00087092737 JORDAN, JAMES	GROWTH FUND AMERICA INC CLASS A	0.00	1.20	93.0	0.00	1.12	0.00	1.12
03/01/2018	#04000073507	GROWTH FUND AMERICA INC CLASS A	0.00	1.80	93.0	0.00	1.67	0.00	1.67
03/01/2018	#04000092547	GROWTH FUND AMERICA INC CLASS A	0.00	0.94	93.0	0.00	0.87	0.00	0.87
03/01/2018	#00086882720 BROWN, DENISE	GROWTH PORTFOLIO C	0.00	10.24	93.0	0.00	9.52	0.00	9.52
03/01/2018	#00087266963 MEEKERJENN	GROWTH PORTFOLIO C	0.00	13.47	93.0	0.00	12.53	0.00	12.53
03/01/2018	#00063858242 KARR, DONNA	INCOME FUND AMER INC CL C	0.00	131.50	93.0	0.00	122.30	0.00	122.30
03/01/2018	#00084330324 ROOD, NATHANIEL	INCOME FUND AMER INC CL C	0.00	15.13	93.0	0.00	14.07	0.00	14.07
03/01/2018	#00086835678 LARSEN, LANA	INCOME FUND AMER INC CL C	0.00	6.00	93.0	0.00	5.58	0.00	5.58

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00086882720 BROWN, DENISE	INCOME FUND AMER INC CL C	0.00	7.24	93.0	0.00	6.73	0.00	6.73
03/01/2018	#00086965104 KARR, JAY	INCOME FUND AMER INC CL C	0.00	35.95	93.0	0.00	33.43	0.00	33.43
03/01/2018	#00063858242 KARR, DONNA	INCOME FUND OF AMERICA INC CLASS A	0.00	0.02	93.0	0.00	0.02	0.00	0.02
03/01/2018	#00063976641 KARR, DONNA	INCOME FUND OF AMERICA INC CLASS A	0.00	3.95	93.0	0.00	3.67	0.00	3.67
03/01/2018	#00064165871 UNTIL AGE 21,	INCOME FUND OF AMERICA INC CLASS A	0.00	5.70	93.0	0.00	5.30	0.00	5.30
03/01/2018	#00064165872 UNTIL AGE 21,	INCOME FUND OF AMERICA INC CLASS A	0.00	2.67	93.0	0.00	2.48	0.00	2.48
03/01/2018	#00064354795 SLOAN, VERNON	INCOME FUND OF AMERICA INC CLASS A	0.00	54.97	93.0	0.00	51.12	0.00	51.12
03/01/2018	#00065191215 CARLEY, DOROTHY	INCOME FUND OF AMERICA INC CLASS A	0.00	12.93	93.0	0.00	12.02	0.00	12.02
03/01/2018	#00066994403	INCOME FUND OF AMERICA INC CLASS A	0.00	8.50	93.0	0.00	7.91	0.00	7.91
03/01/2018	#00069196763 GREENAWALT,	INCOME FUND OF AMERICA INC CLASS A	0.00	16.39	93.0	0.00	15.24	0.00	15.24
03/01/2018	#00069311629 CARLEY, RICHARD	INCOME FUND OF AMERICA INC CLASS A	0.00	41.36	93.0	0.00	38.46	0.00	38.46
03/01/2018	#00073570837 JOHNSTON,	INCOME FUND OF AMERICA INC CLASS A	0.00	33.29	93.0	0.00	30.96	0.00	30.96
03/01/2018	#00073771609 MCCLURE, LESLIE	INCOME FUND OF AMERICA INC CLASS A	0.00	16.77	93.0	0.00	15.60	0.00	15.60
03/01/2018	#00074151999 GREENAWALT,	INCOME FUND OF AMERICA INC CLASS A	0.00	6.54	93.0	0.00	6.08	0.00	6.08
03/01/2018	#00074675547 GREENAWALT,	INCOME FUND OF AMERICA INC CLASS A	0.00	12.76	93.0	0.00	11.87	0.00	11.87
03/01/2018	#00075012426 STEVENS, JAMES	INCOME FUND OF AMERICA INC CLASS A	0.00	44.33	93.0	0.00	41.23	0.00	41.23
03/01/2018	#00075019244 STEVENS, JAMES	INCOME FUND OF AMERICA INC CLASS A	0.00	22.75	93.0	0.00	21.16	0.00	21.16
03/01/2018	#00075084357 HARVEY, ANTONIO	INCOME FUND OF AMERICA INC CLASS A	0.00	8.29	93.0	0.00	7.71	0.00	7.71
03/01/2018	#00075959045 LINK, MICHELLE	INCOME FUND OF AMERICA INC CLASS A	0.00	3.51	93.0	0.00	3.26	0.00	3.26
03/01/2018	#00075960625 CARLEY, RICHARD	INCOME FUND OF AMERICA INC CLASS A	0.00	3.50	93.0	0.00	3.26	0.00	3.26
03/01/2018	#00081391700 GREENLEE, R	INCOME FUND OF AMERICA INC CLASS A	0.00	0.37	93.0	0.00	0.34	0.00	0.34
03/01/2018	#00081716214 TINGLEY,	INCOME FUND OF AMERICA INC CLASS A	0.00	25.41	93.0	0.00	23.63	0.00	23.63
03/01/2018	#00081732143 SMITH, MARK	INCOME FUND OF AMERICA INC CLASS A	0.00	0.22	93.0	0.00	0.20	0.00	0.20
03/01/2018	#00082103952 HOPKINS, DANA	INCOME FUND OF AMERICA INC CLASS A	0.00	15.52	93.0	0.00	14.43	0.00	14.43
03/01/2018	#00082145480 GREENLEE,	INCOME FUND OF AMERICA INC CLASS A	0.00	13.87	93.0	0.00	12.90	0.00	12.90
03/01/2018	#00082284073 HENRICHS, NELDA	INCOME FUND OF AMERICA INC CLASS A	0.00	2.18	93.0	0.00	2.03	0.00	2.03
03/01/2018	#00084044679 DUFRAIN, SHARON	INCOME FUND OF AMERICA INC CLASS A	0.00	4.90	93.0	0.00	4.56	0.00	4.56
03/01/2018	#00084093970 YEATES, NORA	INCOME FUND OF AMERICA INC CLASS A	0.00	15.10	93.0	0.00	14.04	0.00	14.04
03/01/2018	#00084440533 KUHLMANN,	INCOME FUND OF AMERICA INC CLASS A	0.00	5.02	93.0	0.00	4.67	0.00	4.67
03/01/2018	#00084706273 HENRICHS, NELDA	INCOME FUND OF AMERICA INC CLASS A	0.00	9.75	93.0	0.00	9.07	0.00	9.07
03/01/2018	#00084885189 HENRICHS, NELDA	INCOME FUND OF AMERICA INC CLASS A	0.00	14.72	93.0	0.00	13.69	0.00	13.69
03/01/2018	#00085057300 CARTER, GARY	INCOME FUND OF AMERICA INC CLASS A	0.00	5.33	93.0	0.00	4.96	0.00	4.96
03/01/2018	#00085057868	INCOME FUND OF AMERICA INC CLASS A	0.00	3.81	93.0	0.00	3.54	0.00	3.54
03/01/2018	#00085062501 CARTER, GARY	INCOME FUND OF AMERICA INC CLASS A	0.00	7.18	93.0	0.00	6.68	0.00	6.68
03/01/2018	#00085532239 IL/TOD	INCOME FUND OF AMERICA INC CLASS A	0.00	12.49	93.0	0.00	11.62	0.00	11.62
03/01/2018	#00086471590 BOONE, RANDAL	INCOME FUND OF AMERICA INC CLASS A	0.00	11.30	93.0	0.00	10.51	0.00	10.51

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00086554976 KUIKEN, DAVID	INCOME FUND OF AMERICA INC CLASS A	0.00	3.70	93.0	0.00	3.44	0.00	3.44
03/01/2018	#00086559404 BAILEY, KAREN	INCOME FUND OF AMERICA INC CLASS A	0.00	7.57	93.0	0.00	7.04	0.00	7.04
03/01/2018	#00086674610 BAILEY, KAREN	INCOME FUND OF AMERICA INC CLASS A	0.00	1.49	93.0	0.00	1.39	0.00	1.39
03/01/2018	#00086731559 PIERCE,	INCOME FUND OF AMERICA INC CLASS A	0.00	7.45	93.0	0.00	6.93	0.00	6.93
03/01/2018	#00086835678 LARSEN, LANA	INCOME FUND OF AMERICA INC CLASS A	0.00	0.20	93.0	0.00	0.19	0.00	0.19
03/01/2018	#00086872436 KLONOWSKI,	INCOME FUND OF AMERICA INC CLASS A	0.00	3.77	93.0	0.00	3.51	0.00	3.51
03/01/2018	#00086934802 KARR, JAY	INCOME FUND OF AMERICA INC CLASS A	0.00	3.67	93.0	0.00	3.41	0.00	3.41
03/01/2018	#00087092737 JORDAN, JAMES	INCOME FUND OF AMERICA INC CLASS A	0.00	1.78	93.0	0.00	1.66	0.00	1.66
03/01/2018	#00087146597 IL/TOD	INCOME FUND OF AMERICA INC CLASS A	0.00	13.29	93.0	0.00	12.36	0.00	12.36
03/01/2018	#00087249463	INCOME FUND OF AMERICA INC CLASS A	0.00	35.48	93.0	0.00	33.00	0.00	33.00
03/01/2018	#00089133716 LINK, MICHELLE	INCOME FUND OF AMERICA INC CLASS A	0.00	4.40	93.0	0.00	4.09	0.00	4.09
03/01/2018	#00089405854 FAIRFIELD,	INCOME FUND OF AMERICA INC CLASS A	0.00	1.37	93.0	0.00	1.27	0.00	1.27
03/01/2018	#00089727839	INCOME FUND OF AMERICA INC CLASS A	0.00	1.22	93.0	0.00	1.13	0.00	1.13
03/01/2018	#04000073507	INCOME FUND OF AMERICA INC CLASS A	0.00	3.59	93.0	0.00	3.34	0.00	3.34
03/01/2018	#04000092547	INCOME FUND OF AMERICA INC CLASS A	0.00	1.83	93.0	0.00	1.70	0.00	1.70
03/01/2018	#04000506110	INCOME FUND OF AMERICA INC CLASS A	0.00	1.22	93.0	0.00	1.13	0.00	1.13
03/01/2018	#00065191215 CARLEY, DOROTHY	INCOME PORTFOLIO A	0.00	6.45	93.0	0.00	6.00	0.00	6.00
03/01/2018	#00073771609 MCCLURE, LESLIE	INCOME PORTFOLIO A	0.00	10.61	93.0	0.00	9.87	0.00	9.87
03/01/2018	#00084044679 DUFRAIN, SHARON	INCOME PORTFOLIO A	0.00	2.44	93.0	0.00	2.27	0.00	2.27
03/01/2018	#00084093970 YEATES, NORA	INCOME PORTFOLIO A	0.00	7.59	93.0	0.00	7.06	0.00	7.06
03/01/2018	#00085057808 CARTER, GARY	INCOME PORTFOLIO A	0.00	1.70	93.0	0.00	1.58	0.00	1.58
03/01/2018	#00087146597 IL/TOD	INCOME PORTFOLIO A	0.00	28.53	93.0	0.00	26.53	0.00	26.53
03/01/2018	#00087249463	INCOME PORTFOLIO A	0.00	42.81	93.0	0.00	39.81	0.00	39.81
03/01/2018	#00087350673	INCOME PORTFOLIO A	0.00	1.48	93.0	0.00	1.38	0.00	1.38
03/01/2018	#00088710798	INCOME PORTFOLIO A	0.00	40.57	93.0	0.00	37.73	0.00	37.73
03/01/2018	#00088978687	INCOME PORTFOLIO A	0.00	124.35	93.0	0.00	115.65	0.00	115.65
03/01/2018	#00089679082 BAILEY, KAREN	INCOME PORTFOLIO A	0.00	35.40	93.0	0.00	32.92	0.00	32.92
03/01/2018	#04000073507	INCOME PORTFOLIO A	0.00	2.78	93.0	0.00	2.59	0.00	2.59
03/01/2018	#04000092547	INCOME PORTFOLIO A	0.00	1.41	93.0	0.00	1.31	0.00	1.31
03/01/2018	#04000092642 YEATES, NORA	INCOME PORTFOLIO A	0.00	1.47	93.0	0.00	1.37	0.00	1.37
03/01/2018	#00087266963 MEEKERJENN	INCOME PORTFOLIO-C	0.00	4.86	93.0	0.00	4.52	0.00	4.52
03/01/2018	#00075012426 STEVENS, JAMES	INFLATION LINKED BOND FUND-A	0.00	18.57	93.0	0.00	17.27	0.00	17.27
03/01/2018	#00085057300 CARTER, GARY	INFLATION LINKED BOND FUND-A	0.00	1.35	93.0	0.00	1.26	0.00	1.26
03/01/2018	#00073771609 MCCLURE, LESLIE	INTERNATIONAL GROWTH AND INCOME-A	0.00	1.47	93.0	0.00	1.37	0.00	1.37
03/01/2018	#00084044679 DUFRAIN, SHARON	INTERNATIONAL GROWTH AND INCOME-A	0.00	2.66	93.0	0.00	2.47	0.00	2.47
03/01/2018	#00084706273 HENRICHS, NELDA	INTERNATIONAL GROWTH AND INCOME-A	0.00	1.93	93.0	0.00	1.79	0.00	1.79

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00087092737 JORDAN, JAMES	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.93	93.0	0.00	0.86	0.00	0.86
03/01/2018	#00089729021	INVESTMENT CO AMER CL C	0.00	13.89	93.0	0.00	12.92	0.00	12.92
03/01/2018	#00074151999 GREENAWALT,	INVESTMENT CO AMER CLASS A	0.00	3.60	93.0	0.00	3.35	0.00	3.35
03/01/2018	#00075959045 LINK, MICHELLE	INVESTMENT CO AMER CLASS A	0.00	1.31	93.0	0.00	1.22	0.00	1.22
03/01/2018	#00082284073 HENRICHS, NELDA	INVESTMENT CO AMER CLASS A	0.00	2.81	93.0	0.00	2.61	0.00	2.61
03/01/2018	#00084093970 YEATES, NORA	INVESTMENT CO AMER CLASS A	0.00	7.86	93.0	0.00	7.31	0.00	7.31
03/01/2018	#00084706273 HENRICHS, NELDA	INVESTMENT CO AMER CLASS A	0.00	19.26	93.0	0.00	17.91	0.00	17.91
03/01/2018	#00087092737 JORDAN, JAMES	INVESTMENT CO AMER CLASS A	0.00	0.95	93.0	0.00	0.88	0.00	0.88
03/01/2018	#00084440582 SOSTARICH, ALEX	NEW ECONOMY FUND SBI CLASS A	0.00	3.47	93.0	0.00	3.23	0.00	3.23
03/01/2018	#00081732143 SMITH, MARK	NEW PERSPECTIVE FUND INC CL A	0.00	0.17	93.0	0.00	0.16	0.00	0.16
03/01/2018	#00082284073 HENRICHS, NELDA	NEW PERSPECTIVE FUND INC CL A	0.00	1.32	93.0	0.00	1.23	0.00	1.23
03/01/2018	#00084706273 HENRICHS, NELDA	NEW PERSPECTIVE FUND INC CL A	0.00	4.93	93.0	0.00	4.58	0.00	4.58
03/01/2018	#00084927475 TINGLEY,	NEW PERSPECTIVE FUND INC CL A	0.00	8.02	93.0	0.00	7.46	0.00	7.46
03/01/2018	#00085057808 CARTER, GARY	NEW PERSPECTIVE FUND INC CL A	0.00	0.49	93.0	0.00	0.46	0.00	0.46
03/01/2018	#00085062501 CARTER, GARY	NEW PERSPECTIVE FUND INC CL A	0.00	1.42	93.0	0.00	1.32	0.00	1.32
03/01/2018	#00085288543 STEVENS, JAMES	NEW PERSPECTIVE FUND INC CL A	0.00	3.19	93.0	0.00	2.97	0.00	2.97
03/01/2018	#00085341614 HOPKINS, DANA	NEW PERSPECTIVE FUND INC CL A	0.00	0.37	93.0	0.00	0.34	0.00	0.34
03/01/2018	#00086674610 BAILEY, KAREN	NEW PERSPECTIVE FUND INC CL A	0.00	1.79	93.0	0.00	1.66	0.00	1.66
03/01/2018	#00086731559 PIERCE,	NEW PERSPECTIVE FUND INC CL A	0.00	0.24	93.0	0.00	0.22	0.00	0.22
03/01/2018	#00086872436 KLONOWSKI,	NEW PERSPECTIVE FUND INC CL A	0.00	0.38	93.0	0.00	0.35	0.00	0.35
03/01/2018	#00087092737 JORDAN, JAMES	NEW PERSPECTIVE FUND INC CL A	0.00	0.38	93.0	0.00	0.35	0.00	0.35
03/01/2018	#00087760162 TINGLEY, JOHN	NEW PERSPECTIVE FUND INC CL A	0.00	5.30	93.0	0.00	4.93	0.00	4.93
03/01/2018	#00075019244 STEVENS, JAMES	NEW WORLD FUND INC NEW	0.00	4.69	93.0	0.00	4.36	0.00	4.36
03/01/2018	#00075959045 LINK, MICHELLE	NEW WORLD FUND INC NEW	0.00	1.15	93.0	0.00	1.07	0.00	1.07
03/01/2018	#00085062501 CARTER, GARY	NEW WORLD FUND INC NEW	0.00	1.43	93.0	0.00	1.33	0.00	1.33
03/01/2018	#00085341614 HOPKINS, DANA	NEW WORLD FUND INC NEW	0.00	0.26	93.0	0.00	0.24	0.00	0.24
03/01/2018	#00086429463 LITTLE, ELLIOTT	NEW WORLD FUND INC NEW	0.00	0.78	93.0	0.00	0.73	0.00	0.73
03/01/2018	#00087092737 JORDAN, JAMES	NEW WORLD FUND INC NEW	0.00	0.79	93.0	0.00	0.73	0.00	0.73
03/01/2018	#00087760162 TINGLEY, JOHN	NEW WORLD FUND INC NEW	0.00	5.26	93.0	0.00	4.89	0.00	4.89
03/01/2018	#04000073507	PRESERVATION PORTFOLIO-A	0.00	1.67	93.0	0.00	1.55	0.00	1.55
03/01/2018	#04000092547	PRESERVATION PORTFOLIO-A	0.00	1.57	93.0	0.00	1.46	0.00	1.46
03/01/2018	#00074675547 GREENAWALT,	RTMT INCOME PORTFOLIO ENHANCED-A	0.00	0.30	93.0	0.00	0.28	0.00	0.28
03/01/2018	#00081732143 SMITH, MARK	RTMT INCOME PORTFOLIO ENHANCED-A	0.00	41.87	93.0	0.00	38.94	0.00	38.94
03/01/2018	#00083869206 FARMAN,	RTMT INCOME PORTFOLIO ENHANCED-A	0.00	24.37	93.0	0.00	22.66	0.00	22.66
03/01/2018	#00084093970 YEATES, NORA	RTMT INCOME PORTFOLIO ENHANCED-A	0.00	23.43	93.0	0.00	21.79	0.00	21.79
03/01/2018	#00085161492 GREENAWALT,	RTMT INCOME PORTFOLIO ENHANCED-A	0.00	13.19	93.0	0.00	12.27	0.00	12.27

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00085254551 U, ELLEN	RTMT INCOME PORTFOLIO ENHANCED-A	0.00	65.74	93.0	0.00	61.14	0.00	61.14
03/01/2018	#00087249463	RTMT INCOME PORTFOLIO ENHANCED-A	0.00	42.44	93.0	0.00	39.47	0.00	39.47
03/01/2018	#00064354795 SLOAN, VERNON	RTMT INCOME PORTFOLIO MODERATE-A	0.00	60.92	93.0	0.00	56.66	0.00	56.66
03/01/2018	#00085254551 U, ELLEN	RTMT INCOME PORTFOLIO MODERATE-A	0.00	63.80	93.0	0.00	59.33	0.00	59.33
03/01/2018	#00085305347 HOPKINS,	RTMT INCOME PORTFOLIO MODERATE-A	0.00	62.03	93.0	0.00	57.69	0.00	57.69
03/01/2018	#00085305374 HOPKINS,	RTMT INCOME PORTFOLIO MODERATE-A	0.00	50.90	93.0	0.00	47.34	0.00	47.34
03/01/2018	#00087146597 IL/TOD	RTMT INCOME PORTFOLIO MODERATE-A	0.00	20.09	93.0	0.00	18.68	0.00	18.68
03/01/2018	#00088516505 YAKIMA, CYNTHIA	RTMT INCOME PORTFOLIO MODERATE-A	0.00	193.35	93.0	0.00	179.82	0.00	179.82
03/01/2018	#00089286841	RTMT INCOME PORTFOLIO MODERATE-A	0.00	20.37	93.0	0.00	18.94	0.00	18.94
03/01/2018	#00087760162 TINGLEY, JOHN	SMALLCAP WORLD FUND INC CLASS A	0.00	3.51	93.0	0.00	3.26	0.00	3.26
03/01/2018	#00075012426 STEVENS, JAMES	TAX-ADVANTAGED INCOME PORTFOLIO-A	0.00	97.10	93.0	0.00	90.30	0.00	90.30
03/01/2018	#00082103952 HOPKINS, DANA	TAX-ADVANTAGED INCOME PORTFOLIO-A	0.00	21.35	93.0	0.00	19.86	0.00	19.86
03/01/2018	#00086934802 KARR, JAY	TAX-ADVANTAGED INCOME PORTFOLIO-A	0.00	6.91	93.0	0.00	6.43	0.00	6.43
03/01/2018	#00087760162 TINGLEY, JOHN	TAX-ADVANTAGED INCOME PORTFOLIO-A	0.00	20.91	93.0	0.00	19.45	0.00	19.45
03/01/2018	#00089360005 MCCLURE, LESLIE	TAX-ADVANTAGED INCOME PORTFOLIO-A	0.00	3.52	93.0	0.00	3.27	0.00	3.27
03/01/2018	#04000092642 YEATES, NORA	TAX-ADVANTAGED INCOME PORTFOLIO-A	0.00	1.50	93.0	0.00	1.40	0.00	1.40
03/01/2018	#00067490090 LOVELL, ASHLEY	THE BOND FUND OF AMERICA-529A	0.00	0.93	93.0	0.00	0.86	0.00	0.86
03/01/2018	#00082190172 UNTIL AGE 21,	THE BOND FUND OF AMERICA-529A	0.00	0.11	93.0	0.00	0.10	0.00	0.10
03/01/2018	#00082190231 UNTIL AGE 21,	THE BOND FUND OF AMERICA-529A	0.00	1.37	93.0	0.00	1.27	0.00	1.27
03/01/2018	#00067490088 LOVELL, ASHLEY	THE INCOME FUND OF AMERICA-529A	0.00	7.66	93.0	0.00	7.12	0.00	7.12
03/01/2018	#00067490090 LOVELL, ASHLEY	THE INCOME FUND OF AMERICA-529A	0.00	0.57	93.0	0.00	0.53	0.00	0.53
03/01/2018	#00082190172 UNTIL AGE 21,	THE INCOME FUND OF AMERICA-529A	0.00	1.06	93.0	0.00	0.99	0.00	0.99
03/01/2018	#00082190231 UNTIL AGE 21,	THE INCOME FUND OF AMERICA-529A	0.00	3.93	93.0	0.00	3.65	0.00	3.65
03/01/2018	#00084330324 ROOD, NATHANIEL	THE TAX-EXEMPT BOND FUND OF AMERICA	0.00	9.58	93.0	0.00	8.91	0.00	8.91
03/01/2018	#00067490090 LOVELL, ASHLEY	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	2.07	93.0	0.00	1.93	0.00	1.93
03/01/2018	#00082190172 UNTIL AGE 21,	WASHINGTON MUTUAL INVESTORS FUND-52	0.00	0.26	93.0	0.00	0.24	0.00	0.24
03/01/2018	#00063976641 KARR, DONNA	WASHINGTON MUTUAL INVS FD CLASS A	0.00	4.94	93.0	0.00	4.59	0.00	4.59
03/01/2018	#00069311629 CARLEY, RICHARD	WASHINGTON MUTUAL INVS FD CLASS A	0.00	17.08	93.0	0.00	15.88	0.00	15.88
03/01/2018	#00073570837 JOHNSTON,	WASHINGTON MUTUAL INVS FD CLASS A	0.00	4.33	93.0	0.00	4.03	0.00	4.03
03/01/2018	#00075012426 STEVENS, JAMES	WASHINGTON MUTUAL INVS FD CLASS A	0.00	14.13	93.0	0.00	13.14	0.00	13.14
03/01/2018	#00075019244 STEVENS, JAMES	WASHINGTON MUTUAL INVS FD CLASS A	0.00	8.17	93.0	0.00	7.60	0.00	7.60
03/01/2018	#00075960625 CARLEY, RICHARD	WASHINGTON MUTUAL INVS FD CLASS A	0.00	3.98	93.0	0.00	3.70	0.00	3.70
03/01/2018	#00075975866 GREENAWALT,	WASHINGTON MUTUAL INVS FD CLASS A	0.00	2.78	93.0	0.00	2.59	0.00	2.59
03/01/2018	#00081391700 GREENLEE, R	WASHINGTON MUTUAL INVS FD CLASS A	0.00	0.63	93.0	0.00	0.59	0.00	0.59
03/01/2018	#00081732143 SMITH, MARK	WASHINGTON MUTUAL INVS FD CLASS A	0.00	0.08	93.0	0.00	0.07	0.00	0.07
03/01/2018	#00082103952 HOPKINS, DANA	WASHINGTON MUTUAL INVS FD CLASS A	0.00	14.56	93.0	0.00	13.54	0.00	13.54



COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	#00082145480	GREENLEE, WASHINGTON MUTUAL INVS FD CLASS A	0.00	9.35	93.0	0.00	8.70	0.00	8.70
03/01/2018	#00084093970	YEATES, NORA WASHINGTON MUTUAL INVS FD CLASS A	0.00	9.47	93.0	0.00	8.81	0.00	8.81
03/01/2018	#00084885189	HENRICHS, NELDA WASHINGTON MUTUAL INVS FD CLASS A	0.00	5.41	93.0	0.00	5.03	0.00	5.03
03/01/2018	#00085062501	CARTER, GARY WASHINGTON MUTUAL INVS FD CLASS A	0.00	12.76	93.0	0.00	11.87	0.00	11.87
03/01/2018	#00086471590	BOONE, RANDAL WASHINGTON MUTUAL INVS FD CLASS A	0.00	14.39	93.0	0.00	13.38	0.00	13.38
03/01/2018	#00086554976	KUIKEN, DAVID WASHINGTON MUTUAL INVS FD CLASS A	0.00	4.28	93.0	0.00	3.98	0.00	3.98
03/01/2018	#00086559404	BAILEY, KAREN WASHINGTON MUTUAL INVS FD CLASS A	0.00	12.95	93.0	0.00	12.04	0.00	12.04
03/01/2018	#00086674610	BAILEY, KAREN WASHINGTON MUTUAL INVS FD CLASS A	0.00	1.81	93.0	0.00	1.68	0.00	1.68
03/01/2018	#00087092737	JORDAN, JAMES WASHINGTON MUTUAL INVS FD CLASS A	0.00	1.31	93.0	0.00	1.22	0.00	1.22
03/01/2018	#00089405854	FAIRFIELD, WASHINGTON MUTUAL INVS FD CLASS A	0.00	1.55	93.0	0.00	1.44	0.00	1.44
03/14/2018	#00002114676	VARIOUS BARRONE ALTERNATIVE ASSET ALLOCATION FUND A	0.00	1.28	93.0	0.00	1.19	0.00	1.19
03/14/2018	#00002165352	VARIOUS BARRONE ALTERNATIVE ASSET ALLOCATION FUND A	0.00	37.54	93.0	0.00	34.91	0.00	34.91
03/14/2018	#00002169794	VARIOUS BARRONE ALTERNATIVE ASSET ALLOCATION FUND A	0.00	3.74	93.0	0.00	3.48	0.00	3.48
03/14/2018	#00002170076	VARIOUS BARRONE ALTERNATIVE ASSET ALLOCATION FUND A	0.00	1.44	93.0	0.00	1.34	0.00	1.34
03/14/2018	#00003376227	U, ELLEN EMERGING MARKETS A	0.00	4.27	93.0	0.00	3.97	0.00	3.97
03/14/2018	#00003377593	BARRONE, EMERGING MARKETS A	0.00	0.33	93.0	0.00	0.31	0.00	0.31
03/14/2018	#00002409058	VARIOUS BARRONE EMERGING MARKETS EQUITY A	0.00	2.27	93.0	0.00	2.11	0.00	2.11
03/14/2018	#00003377577	GREEN, ELMER FLOATING RATE INCOME B	0.00	0.55	93.0	0.00	0.51	0.00	0.51
03/14/2018	#00002409016	VARIOUS BARRONE FUNDAMENTAL ALL CAP CORE A	0.00	6.93	93.0	0.00	6.44	0.00	6.44
03/14/2018	#00002409058	VARIOUS BARRONE FUNDAMENTAL ALL CAP CORE A	0.00	1.39	93.0	0.00	1.29	0.00	1.29
03/14/2018	#00002409635	VARIOUS BARRONE FUNDAMENTAL ALL CAP CORE A	0.00	1.76	93.0	0.00	1.64	0.00	1.64
03/14/2018	#00002492256	VARIOUS BARRONE FUNDAMENTAL GLOBAL FRANCHISE A	0.00	0.10	93.0	0.00	0.09	0.00	0.09
03/14/2018	#00002331186	VARIOUS BARRONE FUNDAMENTAL LARGE CAP CORE FUND B	0.00	2.41	93.0	0.00	2.24	0.00	2.24
03/14/2018	#00002409061	VARIOUS BARRONE GLOBAL INCOME A	0.00	1.04	93.0	0.00	0.97	0.00	0.97
03/14/2018	#00002492251	VARIOUS BARRONE GLOBAL INCOME A	0.00	0.37	93.0	0.00	0.34	0.00	0.34
03/14/2018	#00003377575	JOHNSON, MARY GLOBAL INCOME A	0.00	2.47	93.0	0.00	2.30	0.00	2.30
03/14/2018	#00002114675	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	2.45	93.0	0.00	2.28	0.00	2.28
03/14/2018	#00002114766	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	2.00	93.0	0.00	1.86	0.00	1.86
03/14/2018	#00002123260	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	2.51	93.0	0.00	2.33	0.00	2.33
03/14/2018	#00002130590	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	2.81	93.0	0.00	2.61	0.00	2.61
03/14/2018	#00002131574	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	1.22	93.0	0.00	1.13	0.00	1.13
03/14/2018	#00002133900	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	4.74	93.0	0.00	4.41	0.00	4.41
03/14/2018	#00002161786	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	0.26	93.0	0.00	0.24	0.00	0.24
03/14/2018	#00002165355	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	31.31	93.0	0.00	29.12	0.00	29.12
03/14/2018	#00002168927	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	0.29	93.0	0.00	0.27	0.00	0.27
03/14/2018	#00002168930	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	0.29	93.0	0.00	0.27	0.00	0.27

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/14/2018	#00002174927	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	0.62	93.0	0.00	0.58	0.00	0.58
03/14/2018	#00002176030	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	1.08	93.0	0.00	1.00	0.00	1.00
03/14/2018	#00002194065	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	0.23	93.0	0.00	0.21	0.00	0.21
03/14/2018	#00002130589	VARIOUS BARRONE HANCOCK FUNDS 41014P748	0.00	3.17	93.0	0.00	2.95	0.00	2.95
03/14/2018	#00002131573	VARIOUS BARRONE HANCOCK FUNDS 41014P748	0.00	1.88	93.0	0.00	1.75	0.00	1.75
03/14/2018	#00002161790	VARIOUS BARRONE HANCOCK FUNDS 41014P748	0.00	0.21	93.0	0.00	0.20	0.00	0.20
03/14/2018	#00002169793	VARIOUS BARRONE HANCOCK FUNDS 41014P748	0.00	3.42	93.0	0.00	3.18	0.00	3.18
03/14/2018	#00002170077	VARIOUS BARRONE HANCOCK FUNDS 41014P748	0.00	1.35	93.0	0.00	1.26	0.00	1.26
03/14/2018	#00002182786	VARIOUS BARRONE HANCOCK FUNDS 47803P443	0.00	21.61	93.0	0.00	20.10	0.00	20.10
03/14/2018	#00002186017	VARIOUS BARRONE HANCOCK FUNDS 47803P443	0.00	2.13	93.0	0.00	1.98	0.00	1.98
03/14/2018	#00002186800	VARIOUS BARRONE HANCOCK FUNDS 47803P443	0.00	1.61	93.0	0.00	1.50	0.00	1.50
03/14/2018	#00002192845	VARIOUS BARRONE HANCOCK FUNDS 47803P443	0.00	0.30	93.0	0.00	0.28	0.00	0.28
03/14/2018	#00002192854	VARIOUS BARRONE HANCOCK FUNDS 47803P443	0.00	0.30	93.0	0.00	0.28	0.00	0.28
03/14/2018	#00002194063	VARIOUS BARRONE HANCOCK FUNDS 47803P443	0.00	0.22	93.0	0.00	0.20	0.00	0.20
03/14/2018	#00002188817	TO (STA) TOD INCOME ALLOCATION A	0.00	0.67	93.0	0.00	0.62	0.00	0.62
03/14/2018	#00002402705	VAN OORT VAR INCOME ALLOCATION A	0.00	2.04	93.0	0.00	1.90	0.00	1.90
03/14/2018	#00002192842	VARIOUS BARRONE INTERNATIONAL GROWTH A	0.00	0.37	93.0	0.00	0.34	0.00	0.34
03/14/2018	#00002192851	VARIOUS BARRONE INTERNATIONAL GROWTH A	0.00	0.37	93.0	0.00	0.34	0.00	0.34
03/14/2018	#00003377594	BARRONE, INTERNATIONAL GROWTH A	0.00	0.25	93.0	0.00	0.23	0.00	0.23
03/14/2018	#00002186802	VARIOUS BARRONE JH BALANCED FUND CLASS A	0.00	1.67	93.0	0.00	1.55	0.00	1.55
03/14/2018	#00002408352	VARIOUS BARRONE JH BALANCED FUND CLASS A	0.00	5.22	93.0	0.00	4.85	0.00	4.85
03/14/2018	#00002192843	VARIOUS BARRONE JH LARGE CAP EQUITY FD CL A	0.00	0.38	93.0	0.00	0.35	0.00	0.35
03/14/2018	#00002192852	VARIOUS BARRONE JH LARGE CAP EQUITY FD CL A	0.00	0.38	93.0	0.00	0.35	0.00	0.35
03/14/2018	#00002182787	VARIOUS BARRONE JOHN HANCOCK BOND FUND-CL A	0.00	12.35	93.0	0.00	11.49	0.00	11.49
03/14/2018	#00002186015	VARIOUS BARRONE JOHN HANCOCK BOND FUND-CL A	0.00	2.23	93.0	0.00	2.07	0.00	2.07
03/14/2018	#00002192846	VARIOUS BARRONE JOHN HANCOCK BOND FUND-CL A	0.00	0.31	93.0	0.00	0.29	0.00	0.29
03/14/2018	#00002192855	VARIOUS BARRONE JOHN HANCOCK BOND FUND-CL A	0.00	0.31	93.0	0.00	0.29	0.00	0.29
03/14/2018	#00002195542	VARIOUS BARRONE JOHN HANCOCK BOND FUND-CL B	0.00	1.34	93.0	0.00	1.25	0.00	1.25
03/14/2018	#00002114672	VARIOUS BARRONE JOHN HANCOCK DISCIPLINED VALUE MID	0.00	3.30	93.0	0.00	3.07	0.00	3.07
03/14/2018	#00002123259	VARIOUS BARRONE JOHN HANCOCK DISCIPLINED VALUE MID	0.00	3.75	93.0	0.00	3.49	0.00	3.49
03/14/2018	#00002130593	VARIOUS BARRONE JOHN HANCOCK DISCIPLINED VALUE MID	0.00	3.74	93.0	0.00	3.48	0.00	3.48
03/14/2018	#00002161788	VARIOUS BARRONE JOHN HANCOCK DISCIPLINED VALUE MID	0.00	0.32	93.0	0.00	0.30	0.00	0.30
03/14/2018	#00002114674	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	3.59	93.0	0.00	3.34	0.00	3.34
03/14/2018	#00002123256	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	1.36	93.0	0.00	1.26	0.00	1.26
03/14/2018	#00002130591	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	2.03	93.0	0.00	1.89	0.00	1.89
03/14/2018	#00002158760	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	1.58	93.0	0.00	1.47	0.00	1.47

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/14/2018	#00002158956	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	2.68	93.0	0.00	2.49	0.00	2.49
03/14/2018	#00002161787	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	0.23	93.0	0.00	0.21	0.00	0.21
03/14/2018	#00002165354	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	39.06	93.0	0.00	36.33	0.00	36.33
03/14/2018	#00002169792	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	7.30	93.0	0.00	6.79	0.00	6.79
03/14/2018	#00002170075	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	2.95	93.0	0.00	2.74	0.00	2.74
03/14/2018	#00002174926	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	0.60	93.0	0.00	0.56	0.00	0.56
03/14/2018	#00002176032	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	0.53	93.0	0.00	0.49	0.00	0.49
03/14/2018	#00002492248	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	0.34	93.0	0.00	0.32	0.00	0.32
03/14/2018	#00002165353	VARIOUS BARRONE JOHN HANCOCK FDS II GBL ABSOLUTE RE	0.00	17.67	93.0	0.00	16.43	0.00	16.43
03/14/2018	#00002192844	VARIOUS BARRONE JOHN HANCOCK FDS II GBL ABSOLUTE RE	0.00	0.25	93.0	0.00	0.23	0.00	0.23
03/14/2018	#00002192853	VARIOUS BARRONE JOHN HANCOCK FDS II GBL ABSOLUTE RE	0.00	0.25	93.0	0.00	0.23	0.00	0.23
03/14/2018	#00002114679	VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM	0.00	1.33	93.0	0.00	1.24	0.00	1.24
03/14/2018	#00002114767	VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM	0.00	1.84	93.0	0.00	1.71	0.00	1.71
03/14/2018	#00002182789	VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM	0.00	12.82	93.0	0.00	11.92	0.00	11.92
03/14/2018	#00002192841	VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM	0.00	0.30	93.0	0.00	0.28	0.00	0.28
03/14/2018	#00002192850	VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM	0.00	0.30	93.0	0.00	0.28	0.00	0.28
03/14/2018	#00002194064	VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM	0.00	0.32	93.0	0.00	0.30	0.00	0.30
03/14/2018	#00002409061	VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM	0.00	0.09	93.0	0.00	0.08	0.00	0.08
03/14/2018	#00003376229	U, ELLEN JOHN HANCOCK FDS II TECHNICAL OPPTY	0.00	2.11	93.0	0.00	1.96	0.00	1.96
03/14/2018	#00002176031	VARIOUS BARRONE JOHN HANCOCK FDS III DISCIPLINED VA	0.00	1.31	93.0	0.00	1.22	0.00	1.22
03/14/2018	#00002192847	VARIOUS BARRONE JOHN HANCOCK FDS III DISCIPLINED VA	0.00	0.33	93.0	0.00	0.31	0.00	0.31
03/14/2018	#00002192856	VARIOUS BARRONE JOHN HANCOCK FDS III DISCIPLINED VA	0.00	0.33	93.0	0.00	0.31	0.00	0.31
03/14/2018	#00002034807	VAN OORT VAR JOHN HANCOCK FDS III GLOBAL SHAREHO	0.00	1.51	93.0	0.00	1.40	0.00	1.40
03/14/2018	#00003372667	VARIOUS BARRONE JOHN HANCOCK FDS III GLOBAL SHAREHO	0.00	6.66	93.0	0.00	6.19	0.00	6.19
03/14/2018	#00002409634	VARIOUS BARRONE JOHN HANCOCK FDS III JHF III SMALL	0.00	0.78	93.0	0.00	0.73	0.00	0.73
03/14/2018	#00003376228	U, ELLEN JOHN HANCOCK FINANCIAL INDUSTRIES F	0.00	4.21	93.0	0.00	3.92	0.00	3.92
03/14/2018	#00003377592	BARRONE, JOHN HANCOCK FINANCIAL INDUSTRIES F	0.00	0.25	93.0	0.00	0.23	0.00	0.23
03/14/2018	#00003378494	BARRONE IL/TOD, JOHN HANCOCK FINANCIAL INDUSTRIES F	0.00	0.01	93.0	0.00	0.01	0.00	0.01
03/14/2018	#00002114678	VARIOUS BARRONE JOHN HANCOCK HIGH YIELD FUND CL A	0.00	1.38	93.0	0.00	1.28	0.00	1.28
03/14/2018	#00002114769	VARIOUS BARRONE JOHN HANCOCK HIGH YIELD FUND CL A	0.00	0.77	93.0	0.00	0.72	0.00	0.72
03/14/2018	#00002123258	VARIOUS BARRONE JOHN HANCOCK HIGH YIELD FUND CL A	0.00	0.80	93.0	0.00	0.74	0.00	0.74
03/14/2018	#00002182788	VARIOUS BARRONE JOHN HANCOCK HIGH YIELD FUND CL A	0.00	12.54	93.0	0.00	11.66	0.00	11.66
03/14/2018	#00002186016	VARIOUS BARRONE JOHN HANCOCK INCOME FUND CL A	0.00	2.24	93.0	0.00	2.08	0.00	2.08
03/14/2018	#00003372666	VARIOUS BARRONE JOHN HANCOCK INCOME FUND CL B	0.00	6.82	93.0	0.00	6.34	0.00	6.34
03/14/2018	#00002409018	VARIOUS BARRONE JOHN HANCOCK INVT TR DISCIPLINED VA	0.00	6.82	93.0	0.00	6.34	0.00	6.34
03/14/2018	#00003377595	BARRONE, JOHN HANCOCK REGIONAL BANK FUND-CL	0.00	0.25	93.0	0.00	0.23	0.00	0.23

				INVESTMENT	GROSS		NET	CLEARING	BROKER	
DATE	CLIENT	INVESTMENT		AMOUNT	COMMISSION	RATE	POSTAGE	COMMISSION	CHARGE	COMMISSION

04/20/2018	ADMIN FEE: ADMIN FEE	-320.00
04/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
04/20/2018	FINRA: FINRA ANNUAL ASSESMENT FEE	-215.00
*** ADJUSTMENTS TOTAL ***		<u>\$-714.00</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$6,949.67
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-714.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$6,235.67</b>
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