All Companies

Signal SECURITIES Our significance is you.

COMMISSION STATEMENT

February 15, 2018

CHRISTOPHER SNOW

6336 WARWICK HILLS DR

FORT WORTH, TX 76132-

BROKER #: 4854

BRANCH: HOME OFFICE

COMMISSION STATEMENT for CHRISTOPHER SNOW

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKE	R TRANS	ACTIONS					
PRODUCT CAT	EGORY: MUTUAL FUNDS								
01/31/2018 T	RAILS	-FIDELITY ADVISOR FUNDS	В	20.84	20.84	0.00	20.84	70.0	14.59
01/31/2018 T	RAILS	-VIRTUS FUNDS	В	5.45	5.45	0.00	5.45	70.0	3.82
12/31/2017 T	RAILS	BLACK ROCK	В	3.19	3.19	0.00	3.19	70.0	2.23
12/31/2017 T	RAILS	TOMORROWS SCHOLAR 529 PLAN	В	22.54	22.54	0.00	22.54	70.0	15.78
01/22/2018 00	0001970770529 GARDNER, JR,	TEMPLETON GROWTH FD CL 1	В	50.00	2.50	0.00	2.50	70.0	1.75
JO:	HN HAROLD								
02/05/2018 00	067005104 TURNAGE, EASTON	WASHINGTON MUTUAL INVESTORS F	UND-52 B	50.00	2.13	0.00	2.13	70.0	1.49
02/05/2018 00	067005105 TURNAGE, EASTON	WASHINGTON MUTUAL INVESTORS F	UND-52 B	50.00	2.13	0.00	2.13	70.0	1.49
01/22/2018 00	087127617 BRENNAN, JEAN S	CAPITAL INCOME BUILDER-529A	В	50.00	2.50	0.00	2.50	70.0	1.75
02/01/2018 00	089517055 MCKIMMEY, KENDALL	AMCAP FUND-529A	В	115.00	5.75	0.00	5.75	70.0	4.03
W.									
01/26/2018 02	000171308 CENTURY	AMERICAN FUNDS AMCAP FD	В	15.18	0.15	0.00	0.15	70.0	0.11
EN	GINEERING								
02/05/2018 02	000171308 CENTURY	AMERICAN FUNDS AMCAP FD	В	15.18	0.15	0.00	0.15	70.0	0.11
EN	GINEERING								
01/26/2018 02	000171308 CENTURY	AMERICAN FUNDS BALANCED FD	В	15.18	0.15	0.00	0.15	70.0	0.11
EN	GINEERING								
02/05/2018 02	000171308 CENTURY	AMERICAN FUNDS BALANCED FD	В	15.18	0.15	0.00	0.15	70.0	0.11
EN	GINEERING								
01/26/2018 02	000171308 CENTURY	AMERICAN FUNDS BD FD OF AMER	FD B	15.18	0.15	0.00	0.15	70.0	0.11
EN	GINEERING								
02/05/2018 02	000171308 CENTURY	AMERICAN FUNDS BD FD OF AMER	FD B	15.18	0.15	0.00	0.15	70.0	0.11
EN	GINEERING								
01/26/2018 02	000171308 CENTURY	AMERICAN FUNDS CAPITAL WORLD	GROWT B	15.14	0.15	0.00	0.15	70.0	0.11
EN	GINEERING								
02/05/2018 02	000171308 CENTURY	AMERICAN FUNDS CAPITAL WORLD	GROWT B	15.14	0.15	0.00	0.15	70.0	0.11
EN	GINEERING								
01/26/2018 02	000171308 CENTURY	AMERICAN FUNDS EURO PACIFIC	GROWT B	15.18	0.15	0.00	0.15	70.0	0.11
EN	GINEERING								
02/05/2018 02	000171308 CENTURY	AMERICAN FUNDS EURO PACIFIC	GROWT B	15.18	0.15	0.00	0.15	70.0	0.11
EN	GINEERING								

COMMISSION STATEMENT for CHRISTOPHER SNOW

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BRO	OKER TRANS	ACTIONS					
PRODUCT CATEGORY: MUTUAL FUNDS								
01/26/2018 02000171308 CENTURY	AMERICAN FUNDS GROWTH FUN	D OF AMERIB	15.18	0.15	0.00	0.15	70.0	0.11
ENGINEERING								
02/05/2018 02000171308 CENTURY	AMERICAN FUNDS GROWTH FUND	D OF AMERIB	15.18	0.15	0.00	0.15	70.0	0.11
ENGINEERING								
01/26/2018 02000171308 CENTURY	AMERICAN FUNDS INCOME FD	OF AMERIB	15.18	0.15	0.00	0.15	70.0	0.11
ENGINEERING								
02/05/2018 02000171308 CENTURY	AMERICAN FUNDS INCOME FD (OF AMERIB	15.18	0.15	0.00	0.15	70.0	0.11
ENGINEERING								
01/26/2018 02000171308 CENTURY	AMERICAN FUNDS INTERM BD	FD OF AMER B	7.59	0.08	0.00	0.08	70.0	0.06
ENGINEERING								
02/05/2018 02000171308 CENTURY	AMERICAN FUNDS INTERM BD	FD OF AMER B	7.59	0.08	0.00	0.08	70.0	0.06
ENGINEERING		govp op 1 p	15 10	0.15	0.00	0.15	F0 0	0 11
01/26/2018 02000171308 CENTURY	AMERICAN FUNDS INVESTMENT	COMP OF A B	15.18	0.15	0.00	0.15	70.0	0.11
ENGINEERING 02/05/2018 02000171308 CENTURY	AMERICAN FUNDS INVESTMENT	COMP OF A P	15.18	0.15	0.00	0.15	70.0	0.11
ENGINEERING	AMERICAN FUNDS INVESTMENT	COMP OF A B	15.10	0.15	0.00	0.15	70.0	0.11
01/26/2018 02000171308 CENTURY	AMERICAN FUNDS NEW PERSPE	CTIVE FD B	15.18	0.15	0.00	0 15	70.0	0.11
ENGINEERING	AMERICAN FONDS NEW TERSTER	CIIVE PD D	13.10	0.13	0.00	0.13	70.0	0.11
02/05/2018 02000171308 CENTURY	AMERICAN FUNDS NEW PERSPE	CTIVE FD B	15.18	0.15	0.00	0.15	70.0	0.11
ENGINEERING								
01/26/2018 02000171308 CENTURY	AMERICAN FUNDS WA MUTUAL	INVES B	7.59	0.08	0.00	0.08	70.0	0.06
ENGINEERING								
02/05/2018 02000171308 CENTURY	AMERICAN FUNDS WA MUTUAL	INVES B	7.59	0.08	0.00	0.08	70.0	0.06
ENGINEERING								
$01/26/2018\ 02000171317\ ALL\ CALLS\ TO\ X$	AMERICAN FUNDS BD FD OF A	MER FD B	30.00	0.30	0.00	0.30	70.0	0.21
#8076, TRANSFER								
02/05/2018 02000171317 ALL CALLS TO X	AMERICAN FUNDS BD FD OF A	MER FD B	30.00	0.30	0.00	0.30	70.0	0.21
#8076, TRANSFER								
01/26/2018 02000171317 ALL CALLS TO $\ensuremath{\mathtt{X}}$	AMERICAN FUNDS INCOME FD	OF AMERIB	30.00	0.30	0.00	0.30	70.0	0.21
#8076, TRANSFER								
02/05/2018 02000171317 ALL CALLS TO X	AMERICAN FUNDS INCOME FD (OF AMERIB	30.00	0.30	0.00	0.30	70.0	0.21
#8076, TRANSFER								
01/26/2018 02000171317 ALL CALLS TO X	AMERICAN FUNDS INTERM BD	FD OF AMER B	30.00	0.30	0.00	0.30	70.0	0.21
#8076, TRANSFER			22.55	2.5-	2 2 2		E.C. 0	2 5-
02/05/2018 02000171317 ALL CALLS TO X	AMERICAN FUNDS INTERM BD	FD OF AMER B	30.00	0.30	0.00	0.30	70.0	0.21
#8076, TRANSFER	AMEDICANI DIMINO DA MIDULA	TMTTEGE	15 00	0.15	0.00	0.15	70 0	0 11
01/26/2018 02000171317 ALL CALLS TO X	AMERICAN FUNDS WA MUTUAL	INVES B	15.00	0.15	0.00	0.15	70.0	0.11
#8076, TRANSFER 02/05/2018 02000171317 ALL CALLS TO X	AMERICAN FUNDS WA MUTUAL	INVES B	15.00	0.15	0.00	0 15	70.0	0.11
#8076, TRANSFER	AMERICAN FUNDS WA MUTUAL	тилью в	15.00	0.15	0.00	0.15	,0.0	0.11
#00.0' TIVERSTER								

COMMISSION STATEMENT for CHRISTOPHER SNOW

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKER	TRANS	ACTIONS					
PRODUCT CATEGORY: MUTUAL FUNDS								
01/26/2018 02001077857 ALL CALLS TO X	AMERICAN FUNDS AMCAP FD	В	2.00	0.02	0.00	0.02	70.0	0.01
#8076, TRANSFER								
02/05/2018 02001077857 ALL CALLS TO X	AMERICAN FUNDS AMCAP FD	В	2.00	0.02	0.00	0.02	70.0	0.01
#8076, TRANSFER								
01/26/2018 02001077857 ALL CALLS TO X	AMERICAN FUNDS BD FD OF AMER	FD B	3.00	0.03	0.00	0.03	70.0	0.02
#8076, TRANSFER								
02/05/2018 02001077857 ALL CALLS TO X	AMERICAN FUNDS BD FD OF AMER	FD B	3.00	0.03	0.00	0.03	70.0	0.02
#8076, TRANSFER								
01/26/2018 02001077857 ALL CALLS TO X	AMERICAN FUNDS EURO PACIFIC	GROWT B	2.00	0.02	0.00	0.02	70.0	0.01
#8076, TRANSFER								
02/05/2018 02001077857 ALL CALLS TO X	AMERICAN FUNDS EURO PACIFIC	GROWT B	2.00	0.02	0.00	0.02	70.0	0.01
#8076, TRANSFER								
01/26/2018 02001077857 ALL CALLS TO X	AMERICAN FUNDS GROWTH FUND OF A	AMERI B	2.00	0.02	0.00	0.02	70.0	0.01
#8076, TRANSFER								
02/05/2018 02001077857 ALL CALLS TO X	AMERICAN FUNDS GROWTH FUND OF A	AMERI B	2.00	0.02	0.00	0.02	70.0	0.01
#8076, TRANSFER	AMERICAN FUNDS INTERM BD FD OF	AMED D	2 00	0.03	0.00	0.03	70.0	0.00
01/26/2018 02001077857 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS INTERM BD FD OF	AMER B	3.00	0.03	0.00	0.03	70.0	0.02
02/05/2018 02001077857 ALL CALLS TO X	AMERICAN FUNDS INTERM BD FD OF	VMED D	3.00	0.03	0.00	0 03	70.0	0.02
#8076, TRANSFER	AMERICAN FUNDS INTERM BD FD OF	AMER D	3.00	0.03	0.00	0.03	70.0	0.02
01/26/2018 02001077857 ALL CALLS TO X	AMERICAN FUNDS INVESTMENT COMP	OF A B	3.00	0.03	0.00	0.03	70.0	0.02
#8076, TRANSFER								
02/05/2018 02001077857 ALL CALLS TO X	AMERICAN FUNDS INVESTMENT COMP	OF A B	3.00	0.03	0.00	0.03	70.0	0.02
#8076, TRANSFER								
01/26/2018 02001077857 ALL CALLS TO X	AMERICAN FUNDS WA MUTUAL	INVES B	3.00	0.03	0.00	0.03	70.0	0.02
#8076, TRANSFER								
02/05/2018 02001077857 ALL CALLS TO X	AMERICAN FUNDS WA MUTUAL	INVES B	3.00	0.03	0.00	0.03	70.0	0.02
#8076, TRANSFER								
02/01/2018 04000205238 MCKIMMEY, KENDALL	CAPITAL INCOME BUILDER-529A	В	115.00	5.75	0.00	5.75	70.0	4.03
W.								
	* MUTUAL FUNDS SUBTO	TAL *	\$1,031.54	\$78.26	\$0.00	\$78.26		\$54.88
PRODUCT CATEGORY: VARIABLE ANNUITIES	5							
01/26/2018 TRAILS	- -HARTFORD LIFE	В	90.73	90.73	0.00	90.73	70.0	63.51
01/24/2018 PEPPER, HELEN	-NATIONWIDE LIFE	В	124,927.50	9,057.24	0.00	9,057.24		6,340.07
01/31/2018 TRAILS	AMERITAS VARIABLE ANNUITY	В	164.35	164.35	0.00	164.35		115.05
01/16/2018 COMP USA	METROPOLITAN LIFE AND AFFILIATE	ED COB	5,180.91	518.09	0.00	518.09	70.0	362.66
01/28/2018 TRAILS	TRANSAMERICA LIFE INSURANCE	В	49.76	49.76	0.00	49.76	70.0	34.83
	* VARIABLE ANNUITIES SUBTO	TAL *	\$130,413.25	\$9,880.17	\$0.00	\$9,880.17		\$6,916.12

Page No. COMMISSION STATEMENT for CHRISTOPHER SNOW NET BROKER INVESTMENT GROSS CLEARING TRADE DATE CLIENT INVESTMENT B/S AMOUNT COMMISSION CHARGE COMMISSION RATE COMMISSION BROKER TRANSACTIONS PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS 02/15/2018 TRAIL COMMISSIONS FRANKLIN TEMPLETON GROUP FEES 0.00 20.65 0.00 20.65 70.0 14.45 * MUTUAL FUND 12B1 TRAILS SUBTOTAL * \$0.00 \$20.65 \$0.00 \$20.65 \$14.45 *** BROKER TRANSACTIONS TOTAL *** \$6,985.45 \$131,444.79 \$9,979.08 \$0.00 \$9,979.08 SPLIT TRANSACTIONS BROKER: SNOW/MOYNIHAN #9543 77.59 01/31/2018 TRAILS -FIDELITY ADVISOR FUNDS 184.74 110.84 0.00 110.84 70.0 * SNOW/MOYNIHAN SUBTOTAL * \$184.74 \$110.84 \$0.00 \$110.84 \$77.59 BROKER: SNOW/POOL #9627 02/15/2018 TRAIL COMMISSIONS FRANKLIN TEMPLETON GROUP FEES 0.00 121.46 0.00 121.46 70.0 85.02 * SNOW/POOL SUBTOTAL * \$0.00 \$121.46 \$0.00 \$121.46 \$85.02 *** SPLIT TRANSACTIONS TOTAL *** \$184.74 \$232.30 \$0.00 \$232.30 \$162.61 OVERRIDE TRANSACTIONS BROKER: KELLERMAN PALMERSHEIM/ #9534 353.75 02/02/2018 FRIEDMANN, LINDA L SIGNAL SECURITIES RIA В 0.00 1,415.00 0.00 1,415.00 * KELLERMAN PALMERSHEIM/ SUBTOTAL * \$0.00 \$1,415.00 \$0.00 \$1,415.00 \$353.75 *** OVERRIDE TRANSACTIONS TOTAL *** \$0.00 \$1,415.00 \$0.00 \$1,415.00 \$353.75 BROKER COMMISSION TOTALS \$6,992.72 Broker Transactions \$155.34 Split Transactions \$353.75 Override Transactions Adjustments \$0.00 \$0.00 Payroll Draw Base Salary \$0.00 Prior Period Balance \$0.00

Check Amount

Year-to-date Earnings

\$7,501.81

\$47,084.77