



Concorde Investment Services, LLC
COMMISSION STATEMENT
 April 15, 2017

04/12/2017
 Page No. 1

JOSEPH WIEGAND
 1220 N. 200 West
 Suite B
 Angola, IN 46703

BROKER #: W128
 BRANCH: LIFETIME FINANCIAL S

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/05/2017	LEY, JEREMY	AM FUNDS BALANCED PORT A	50.00	2.50	0.00	2.50	90.0	2.25
04/01/2017	BAALS, GREY	INVESCO UTILITIES A	0.00	2.05	0.00	2.05	90.0	1.85
/ /	EVANS, MARVIN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	7.01	0.00	7.01	90.0	6.31
/ /	EVANS, MARVIN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	3.33	0.00	3.33	90.0	3.00
/ /	EVANS, MARVIN	OPPENHEIMER CORE BOND A	0.00	2.70	0.00	2.70	90.0	2.43
/ /	EVANS, MARVIN	OPPENHEIMER CORE BOND A	0.00	1.27	0.00	1.27	90.0	1.14
/ /	CONSTELLATION TRUST	OPPENHEIMER EMERG MKTS INNOV	0.00	2.48	0.00	2.48	90.0	2.23
/ /	EVANS, MARVIN	OPPENHEIMER EMERG MKTS INNOV	0.00	1.13	0.00	1.13	90.0	1.02
/ /	CONSTELLATION TRUST	OPPENHEIMER EQUITY A	0.00	4.13	0.00	4.13	90.0	3.72
/ /	CONSTELLATION TR	OPPENHEIMER EQUITY A	0.00	1.98	0.00	1.98	90.0	1.78
/ /	CONSTELLATION TRUST	OPPENHEIMER GLBL HIGH YIELD	0.00	2.72	0.00	2.72	90.0	2.45
/ /	CONSTELLATION TR	OPPENHEIMER GLBL HIGH YIELD	0.00	1.28	0.00	1.28	90.0	1.15
/ /	EVANS, MARVIN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	5.87	0.00	5.87	90.0	5.28
/ /	EVANS, MARVIN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	2.77	0.00	2.77	90.0	2.49
/ /	EVANS, MARVIN	OPPENHEIMER MAIN ST SMALL CAP FUND	0.00	5.92	0.00	5.92	90.0	5.33
/ /	EVANS, MARVIN	OPPENHEIMER MAIN ST SMALL CAP FUND	0.00	2.84	0.00	2.84	90.0	2.56
/ /	EVANS, MARVIN	OPPENHEIMER MAIN STREET A	0.00	0.91	0.00	0.91	90.0	0.82
/ /	EVANS, MARVIN	OPPENHEIMER MAIN STREET A	0.00	0.44	0.00	0.44	90.0	0.40
/ /	CONSTELLATION TR	OPPENHEIMER VALUE A	0.00	4.42	0.00	4.42	90.0	3.98
/ /	CONSTELLATION TR	OPPENHEIMER VALUE A	0.00	9.39	0.00	9.39	90.0	8.45
* MUTUAL FUNDS SUBTOTAL *			\$50.00	\$65.14	\$0.00	\$65.14		\$58.64
*** BROKER TRANSACTIONS TOTAL ***			\$50.00	\$65.14	\$0.00	\$65.14		\$58.64

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
03/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	300.00	4.46	0.00	4.46	90.0	4.01
03/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	207.69	3.09	0.00	3.09	90.0	2.78
03/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	143.80	2.14	0.00	2.14	90.0	1.93
03/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	143.80	2.14	0.00	2.14	90.0	1.93
03/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	415.38	6.18	0.00	6.18	90.0	5.56
03/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	207.69	3.09	0.00	3.09	90.0	2.78
03/31/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	346.14	5.15	0.00	5.15	90.0	4.64
03/31/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	207.69	3.09	0.00	3.09	90.0	2.78
03/31/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.75	0.00	1.75	90.0	1.58
03/28/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.88	0.00	0.88	90.0	0.79
03/30/2017	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	6.13	0.00	6.13	90.0	5.52
03/27/2017	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	50.00	0.83	0.00	0.83	90.0	0.75
03/31/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.11	0.00	0.11	90.0	0.10
04/07/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.18	0.00	0.18	90.0	0.16
04/07/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.18	0.00	0.18	90.0	0.16
04/07/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.14	0.00	0.14	90.0	0.13
03/31/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.25	0.00	0.25	90.0	0.23
04/07/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.09	0.00	0.09	90.0	0.08
03/31/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.42	0.00	0.42	90.0	0.38
03/31/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.25	0.00	0.25	90.0	0.23
03/31/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.42	0.00	0.42	90.0	0.38
04/07/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.42	0.00	0.42	90.0	0.38
04/07/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.64	0.00	0.64	90.0	0.58
04/07/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.42	0.00	0.42	90.0	0.38
04/07/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.42	0.00	0.42	90.0	0.38
03/31/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.11	0.00	0.11	90.0	0.10
04/03/2017	JUNOD, BARBARA	JP MORGAN INVESTOR CONSERVATIVE GRO	-2,400.00	0.00	0.00	0.00	90.0	0.00
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.45	0.00	0.45	90.0	0.41
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND A	0.00	0.75	0.00	0.75	90.0	0.68
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND FUND C	0.00	0.95	0.00	0.95	90.0	0.86
/ /	LINN, JASON	OPPENHEIMER CORE BOND FUND C	0.00	1.39	0.00	1.39	90.0	1.25
/ /	FIRKS, RICHARD	OPPENHEIMER CORE BOND FUND C	0.00	3.60	0.00	3.60	90.0	3.24
/ /	LINN, JASON	OPPENHEIMER CORPORATE BOND FUND C	0.00	1.40	0.00	1.40	90.0	1.26

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
/ /	SALGUERO, ANNA	OPPENHEIMER EQUITY A	0.00	0.13	0.00	0.13	90.0	0.12
/ /	GUNZENHAUSER, MARK	OPPENHEIMER EQUITY INCOME A	0.00	0.33	0.00	0.33	90.0	0.30
/ /	FIRKS, RICHARD	OPPENHEIMER GLOBAL HIGH YIELD FUND	0.00	3.78	0.00	3.78	90.0	3.40
/ /	DEWART, BECKY	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.24	0.00	0.24	90.0	0.22
/ /	DEWART, BECKY	OPPENHEIMER INTL BOND A	0.00	0.21	0.00	0.21	90.0	0.19
/ /	DEWART, BECKY	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	0.24	0.00	0.24	90.0	0.22
/ /	FIRKS, RICHARD	OPPENHEIMER LIMITED-TERM GOV'T FUND	0.00	4.40	0.00	4.40	90.0	3.96
/ /	SALGUERO, ANNA	OPPENHEIMER MAIN STREET A	0.00	0.03	0.00	0.03	90.0	0.03
/ /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.93	0.00	0.93	90.0	0.84
/ /	DEWART, BECKY	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.54	0.00	0.54	90.0	0.49
/ /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE C	0.00	2.05	0.00	2.05	90.0	1.85
/ /	LINN, JASON	OPPENHEIMER SENIOR FLOATING RATE C	0.00	2.61	0.00	2.61	90.0	2.35
/ /	FIRKS, RICHARD	OPPENHEIMER SENIOR FLOATING RATE C	0.00	3.92	0.00	3.92	90.0	3.53
/ /	SALGUERO, ANNA	OPPENHEIMER US GOVT TRUST A	0.00	0.12	0.00	0.12	90.0	0.11
/ /	DEWART, BECKY	OPPENHEIMER US GOVT TRUST A	0.00	0.40	0.00	0.40	90.0	0.36
/ /	GUNZENHAUSER, MARK	OPPENHEIMER US GOVT TRUST C	0.00	1.76	0.00	1.76	90.0	1.58
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$602.19	\$73.21	\$0.00	\$73.21		\$65.97
*** SPLIT TRANSACTIONS TOTAL ***			\$602.19	\$73.21	\$0.00	\$73.21		\$65.97

ADJUSTMENTS

04/15/2017	*EO INSURANCE: CURRENT MONTH INS	0.00						-300.00
04/15/2017	*PERSHING: BOOKS AND RECORDS	0.00						-0.53
04/15/2017	*PERSHING: MF PROSPECTUS	0.00						-2.71
04/15/2017	*TECHNOLOGY: CURRENT MONTH TECH	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-578.24

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$58.64
Split Transactions	\$65.97
Override Transactions	\$0.00
Adjustments	\$-578.24
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$28.40
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-425.23
Year-to-date Gross	\$883.98
Year-to-date Earnings	\$761.16