

All Companies  
**STATEMENT**  
**May 20, 2018**

PAT CORCORAN

BROKER #: 702  
BRANCH: LG BRANCH

, NV -

COMMISSION STATEMENT for PAT CORCORAN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
03/28/2018 #	MCGILL, SEAMUS	FIREEYE INC COM	10,803.57	154.38	85.0	0.00	131.22	18.00	113.22
03/28/2018 #	MCGILL, SEAMUS	LASALLE HOTEL PROPERTIES	26,102.84	296.88	85.0	0.00	252.35	18.00	234.35
03/28/2018 #	MCGILL, SEAMUS	SWITCH INC	15,496.40	178.94	85.0	0.00	152.10	18.00	134.10
03/28/2018 #	CORCORAN, PAT	SWITCH INC	3,106.92	30.00	85.0	0.00	25.50	18.00	7.50
04/03/2018 #	MCGILL, SEAMUS	SWITCH INC	13,646.40	50.00	85.0	0.00	42.50	18.00	24.50
04/03/2018 #	CORCORAN, PAT	SWITCH INC	33,991.32	50.00	85.0	0.00	42.50	18.00	24.50
04/03/2018 #	RICHARDSON, SAMUEL	SWITCH INC	14,042.81	50.00	85.0	0.00	42.50	18.00	24.50
04/03/2018 #	MCGILL, SEAMUS	SWITCH INC	15,853.82	50.00	85.0	0.00	42.50	18.00	24.50
04/03/2018 #	CORCORAN, JAMES	SWITCH INC	30,854.56	50.00	85.0	0.00	42.50	18.00	24.50
04/03/2018 #	RICHARDSON, KYANN	SWITCH INC	27,946.60	50.00	85.0	0.00	42.50	18.00	24.50
04/03/2018 #	LIV TRUST, AGNES	SWITCH INC	11,713.00	50.00	85.0	0.00	42.50	18.00	24.50
04/09/2018 #	RICHARDSON, SAMUEL	FIREEYE INC COM	13,467.18	168.93	85.0	0.00	143.59	18.00	125.59
04/09/2018 #	LIV TRUST, AGNES	FIREEYE INC COM	12,121.90	155.86	85.0	0.00	132.48	18.00	114.48
04/11/2018 #	CORCORAN, PAT	FIREEYE INC COM	48,479.89	35.00	85.0	0.00	29.75	18.00	11.75
04/11/2018 #	MCGILL, SEAMUS	PENNYMAC MORTGAGE INVESTMENT	13,198.33	162.54	85.0	0.00	138.16	18.00	120.16
04/11/2018 #	CORCORAN, PAT	PENNYMAC MORTGAGE INVESTMENT	27,127.50	35.00	85.0	0.00	29.75	18.00	11.75
04/11/2018 #	CORCORAN, JAMES	PENNYMAC MORTGAGE INVESTMENT	20,804.51	217.85	85.0	0.00	185.17	18.00	167.17
04/12/2018 #	MCGILL, SEAMUS	FIREEYE INC COM	11,829.81	144.59	85.0	0.00	122.90	18.00	104.90
04/12/2018 #	MCGILL, SEAMUS	FIREEYE INC COM	37,024.00	217.92	85.0	0.00	185.23	18.00	167.23
04/12/2018 #	CORCORAN, JAMES	FIREEYE INC COM	37,024.00	217.92	85.0	0.00	185.23	18.00	167.23
04/12/2018 #	RICHARDSON, KYANN	FIREEYE INC COM	37,064.00	217.92	85.0	0.00	185.23	18.00	167.23
04/12/2018 #	LIV TRUST, AGNES	FIREEYE INC COM	13,092.10	169.84	85.0	0.00	144.36	18.00	126.36
04/13/2018 #	RODRIGUEZ, VICTOR	FIREEYE INC COM	9,798.36	139.85	85.0	0.00	118.87	18.00	100.87
04/13/2018 #	RICHARDSON, SAMUEL	FIREEYE INC COM	14,618.83	145.76	85.0	0.00	123.90	18.00	105.90
04/17/2018 #	CORCORAN, PAT	FIREEYE INC COM	28,318.50	35.00	85.0	0.00	29.75	18.00	11.75
04/17/2018 #	MCGILL, SEAMUS	OMEGA HEALTHCARE INVESTORS INC	11,923.31	156.22	85.0	0.00	132.79	18.00	114.79
04/17/2018 #	CORCORAN, JAMES	OMEGA HEALTHCARE INVESTORS INC	39,613.50	359.64	85.0	0.00	305.69	18.00	287.69
04/17/2018 #	RODRIGUEZ, VICTOR	PENNYMAC MORTGAGE INVESTMENT	9,810.08	129.73	85.0	0.00	110.27	18.00	92.27

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
04/17/2018 #	CORCORAN, PAT	PENNYMAC MORTGAGE INVESTMENT	26,278.50	35.00	85.0	0.00	29.75	18.00	11.75
04/17/2018 #	MCGILL, SEAMUS	WELLS FARGO & CO	45,600.30	378.92	85.0	0.00	322.08	18.00	304.08
04/17/2018 #	RICHARDSON, KYANN	WELLS FARGO & CO	39,330.81	289.94	85.0	0.00	246.45	18.00	228.45
04/23/2018 #	RICHARDSON, SAMUEL	FIREEYE INC COM	14,782.88	176.92	85.0	0.00	150.38	18.00	132.38
04/23/2018 #	MCGILL, SEAMUS	FIREEYE INC COM	18,398.00	189.44	85.0	0.00	161.02	18.00	143.02
04/23/2018 #	CORCORAN, JAMES	FIREEYE INC COM	22,137.87	231.67	85.0	0.00	196.92	18.00	178.92
04/23/2018 #	RICHARDSON, KYANN	FIREEYE INC COM	27,880.50	276.83	85.0	0.00	235.31	18.00	217.31
04/23/2018 #	LIV TRUST, AGNES	FIREEYE INC COM	13,282.38	163.88	85.0	0.00	139.30	18.00	121.30
<b>* STOCKS SUBTOTAL *</b>			<b>\$796,565.28</b>	<b>\$5,462.37</b>		<b>\$0.00</b>	<b>\$4,643.00</b>	<b>\$648.00</b>	<b>\$3,995.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
03/31/2018 #04104570148	VARIOUS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	1.26	85.0	0.00	1.07	0.00	1.07
03/31/2018 #08571110769	VARIOUS	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	55.30	85.0	0.00	47.01	0.00	47.01
03/31/2018 #08571136839	VARIOUS	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	39.83	85.0	0.00	33.86	0.00	33.86
04/01/2018 #03811057458	VARIOUS	INVESCO CHARTER FUND CL A	0.00	0.19	85.0	0.00	0.16	0.00	0.16
04/02/2018 #00550583522	UGMA/UTMA,	PUTNAM CAP. OPPORTUNITIES-A	0.00	1.13	85.0	0.00	0.96	0.00	0.96
04/02/2018 #00441258960	ESA, COVERDELL	PUTNAM DYNAMIC ASSET ALL CON-A	0.00	3.88	85.0	0.00	3.30	0.00	3.30
04/02/2018 #00441289729	PLAN	PUTNAM DYNAMIC ASSET ALL CON-A	0.00	0.04	85.0	0.00	0.03	0.00	0.03
04/02/2018 #00441352750	PLAN	PUTNAM DYNAMIC ASSET ALL CON-A	0.00	0.52	85.0	0.00	0.44	0.00	0.44
04/02/2018 #00451439709	ESA, COVERDELL	PUTNAM DYNAMIC ASSET ALL CON-A	0.00	1.07	85.0	0.00	0.91	0.00	0.91
04/02/2018 #00550583522	UGMA/UTMA,	PUTNAM INTL CAPITAL OPPS-A	0.00	0.80	85.0	0.00	0.68	0.00	0.68
04/02/2018 #00550186120	PLAN	PUTNAM U S GOVERNMENT INCOME TRUST-	0.00	0.63	85.0	0.00	0.54	0.00	0.54
04/02/2018 #00550186121	PLAN	PUTNAM U S GOVERNMENT INCOME TRUST-	0.00	0.07	85.0	0.00	0.06	0.00	0.06
04/02/2018 #00550583522	UGMA/UTMA,	PUTNAM U S GOVERNMENT INCOME TRUST-	0.00	0.92	85.0	0.00	0.78	0.00	0.78
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$105.64</b>		<b>\$0.00</b>	<b>\$89.80</b>	<b>\$0.00</b>	<b>\$89.80</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$796,565.28</b>	<b>\$5,568.01</b>		<b>\$0.00</b>	<b>\$4,732.80</b>	<b>\$648.00</b>	<b>\$4,084.80</b>

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ADJUSTMENTS

05/20/2018		BETA BACK OFFICE: ADMIN FEE							-320.00
05/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
*** ADJUSTMENTS TOTAL ***									<u>\$-499.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$4,084.80
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,585.80
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