

COMMISSION STATEMENT

January 15, 2018

MARIE OFFITT-D047

BROKER #: D047

BRANCH: DME

, TX

COMMISSION STATEMENT for MARIE OFFITT-D047

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
12/29/2017	00067212328	GEORGE C CARLISLE	MF	INVESCO COMSTOCK FUND - CLASS A	B	25.00	1.25	0.00	1.25	70.0	0.88
12/28/2017	00068623960	FIRST BAPTIST	MF	CAPITAL INCOME BUILDER-A	B	1,118.75	22.38	0.00	22.38	70.0	15.67
12/28/2017	00068623960	FIRST BAPTIST	MF	THE GROWTH FUND OF AMERICA-A	B	1,118.75	22.38	0.00	22.38	70.0	15.67
12/28/2017	00068623960	FIRST BAPTIST	MF	THE INCOME FUND OF AMERICA-A	B	1,118.75	22.38	0.00	22.38	70.0	15.67
12/28/2017	00068623960	FIRST BAPTIST	MF	THE INVESTMENT COMPANY OF	B	1,118.75	22.38	0.00	22.38	70.0	15.67
12/28/2017	00075964908	MAYS, GARY	MF	THE GROWTH FUND OF AMERICA-A	B	50.00	2.50	0.00	2.50	70.0	1.75
12/28/2017	00081281401	HAMILTON, MOLLY	MF	CAPITAL INCOME BUILDER-A	B	25.00	1.25	0.00	1.25	70.0	0.88
12/28/2017	00081281401	HAMILTON, MOLLY	MF	THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25	70.0	0.88
01/05/2018	04000248795	EVERETT, KINGSTON	MF	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
01/05/2018	04000248831	EVERETT, KINGSTON	MF	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
01/05/2018	04000248863	EVERETT, KINGSTON	MF	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
01/02/2018	04000580926	LEWISFELEC	MF	CAPITAL INCOME BUILDER-A	B	500.00	25.00	0.00	25.00	70.0	17.50
01/02/2018	04000580926	LEWISFELEC	MF	THE INCOME FUND OF AMERICA-A	B	500.00	25.00	0.00	25.00	70.0	17.50
* MUTUAL FUNDS SUBTOTAL *						\$5,750.00	\$153.27	\$0.00	\$153.27	\$107.32	

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/31/2017	(None)	VARIOUS, OFFITT	MFT	OPPENHEIMER	B	0.00	1.95	0.00	1.95	70.0	1.37
01/08/2018	(None)	HIBBLER, ADRIANA	MFT	FIDELITY ADVISOR FDS	B	0.00	12.00	0.00	12.00	70.0	8.40
01/01/2018	00060451120	JACKSON, LAURIE	MFT	MFS EMERGING GROWTH FUND CLASS	B	0.00	11.83	0.00	11.83	70.0	8.28
01/01/2018	00067212328	GEORGE C CARLISLE	MFT	INVESCO COMSTOCK FUND - CLASS A	B	0.00	1.14	0.00	1.14	70.0	0.80
01/01/2018	000671943693	MAYS, GARY	MFT	INVESCO GOVT MONEY MARKET FUND	B	0.00	0.74	0.00	0.74	70.0	0.52
01/01/2018	000671943926	WILHITE, DANIEL	MFT	INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.25	0.00	0.25	70.0	0.18
01/01/2018	000671943926	WILHITE, DANIEL	MFT	INVESCO COMSTOCK FUND - CLASS A	B	0.00	0.44	0.00	0.44	70.0	0.31
01/01/2018	000671959437	TRAN MIN ACT-TN,	MFT	INVESCO COMSTOCK FUND - CLASS A	B	0.00	0.56	0.00	0.56	70.0	0.39
01/01/2018	000671959438	OLUTA A GBASIN,	MFT	INVESCO COMSTOCK FUND - CLASS A	B	0.00	0.50	0.00	0.50	70.0	0.35
01/01/2018	000671997678	MCQUIDDY, LINDA	MFT	INVESCO COMSTOCK FUND - CLASS A	B	0.00	0.84	0.00	0.84	70.0	0.59
01/01/2018	000672001122	GASTON, ANGEL	MFT	INVESCO AMERICAN FRANCHISE FUND	B	0.00	1.53	0.00	1.53	70.0	1.07
01/01/2018	000672001122	GASTON, ANGEL	MFT	INVESCO COMSTOCK FUND - CLASS A	B	0.00	3.49	0.00	3.49	70.0	2.44
01/01/2018	000672001122	GASTON, ANGEL	MFT	INVESCO EQUITY AND INCOME FUND	B	0.00	2.11	0.00	2.11	70.0	1.48
01/01/2018	000672001122	GASTON, ANGEL	MFT	INVESCO MID CAP GROWTH FUND -	B	0.00	1.90	0.00	1.90	70.0	1.33
01/01/2018	000672048323	IGE, ABEL	MFT	INVESCO COMSTOCK FUND - CLASS A	B	0.00	0.42	0.00	0.42	70.0	0.29

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01/01/2018 00672090643	WILLIAMS, EUDITH	MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	0.26	0.00	0.26	70.0	0.18
01/01/2018 00672090643	WILLIAMS, EUDITH	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.96	0.00	0.96	70.0	0.67
01/01/2018 00672200148	SHAW, FERRELL	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	2.72	0.00	2.72	70.0	1.90
01/01/2018 08187857253	HIBBLER, ADRIANA	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	11.24	0.00	11.24	70.0	7.87
01/01/2018 08187857257	HIBBLER, ADRIANA	MFT MFS CORE EQUITY FUND-A	B	0.00	10.98	0.00	10.98	70.0	7.69
01/01/2018 08187857261	HIBBLER, ADRIANA	MFT MASS INVESTORS GROWTH STOCK	B	0.00	11.04	0.00	11.04	70.0	7.73
01/01/2018 08191006046	JACKSON, DERRICK	MFT MFS EMERGING GROWTH FUND CLASS	B	0.00	10.29	0.00	10.29	70.0	7.20
01/01/2018 08800698412	LOWE JT TEN,	MFT INVESCO GOVT MONEY MARKET FUND	B	0.00	0.25	0.00	0.25	70.0	0.18
01/01/2018 08800798873	SHARON D MCKIBBEN	MFT INVESCO GOVT MONEY MARKET FUND	B	0.00	2.22	0.00	2.22	70.0	1.55
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$89.66	\$0.00	\$89.66		\$62.77
*** DIRECT TRANSACTIONS TOTAL ***				\$5,750.00	\$242.93	\$0.00	\$242.93		\$170.09

BROKER COMMISSION TOTALS

Broker Transactions	\$170.09
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$170.09
Year-to-date Commissions	\$184.89