



Concorde Investment Services, LLC
COMMISSION STATEMENT
May 31, 2017

05/25/2017
 Page No. 1

KEITH LAYMAN
 4321 Flagstaff

BROKER #: L128
 BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
05/15/2017	NEWBAUER, CAMERON	AM FUNDS AMERICAN BALANCED 529A	50.00	1.38	0.00	1.38	90.0	1.24
05/10/2017	GRIME, STEPHEN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	90.0	3.38
05/10/2017	HERRIMAN, JANET	AM FUNDS AMERICAN BALANCED A	50.00	2.13	0.00	2.13	90.0	1.92
05/15/2017	PEARSON, STACEY	AM FUNDS AMERICAN BALANCED A	15.00	0.75	0.00	0.75	90.0	0.68
05/15/2017	CACCAMO, MICHAEL	AM FUNDS AMERICAN BALANCED A	540.00	22.95	0.00	22.95	90.0	20.66
05/15/2017	WHITE, IAN	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
05/15/2017	WEIMER, RITA	AM FUNDS AMERICAN BALANCED A	300.00	15.00	0.00	15.00	90.0	13.50
05/16/2017	ROGERS, BRADLEY	AM FUNDS AMERICAN BALANCED A	8,000.00	400.00	22.00	378.00	90.0	340.20
05/18/2017	VAN AUKEN, KAREN	AM FUNDS AMERICAN HIGH-INCOME TRUST	-413.82	22.00	22.00	0.00	90.0	0.00
05/18/2017	VAN AUKEN, KAREN	AM FUNDS BOND FUND OF AMERICA A	-467.08	22.00	22.00	0.00	90.0	0.00
05/15/2017	NEWBAUER, CAMERON	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	1.38	0.00	1.38	90.0	1.24
05/18/2017	VAN AUKEN, KAREN	AM FUNDS CAPITAL WORLD BOND A	-421.82	22.00	22.00	0.00	90.0	0.00
05/15/2017	NEWBAUER, CAMERON	AM FUNDS CAPITAL WORLD GRTH & INC 5	100.00	2.75	0.00	2.75	90.0	2.48
05/15/2017	NEWBAUER, CAMERON	AM FUNDS CAPITAL WORLD GRTH & INC 5	100.00	2.75	0.00	2.75	90.0	2.48
05/08/2017	HERBER, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	90.0	1.24
05/15/2017	MOSURE, MONICA	AM FUNDS CAPITAL WORLD GRTH & INC A	109.00	3.00	0.00	3.00	90.0	2.70
05/15/2017	NEACE, VICTORIA	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.13	0.00	2.13	90.0	1.92
05/15/2017	MUSKIN, VERONICA	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.88	0.00	1.88	90.0	1.69
05/15/2017	EAGLESON, ROSS	AM FUNDS CAPITAL WORLD GRTH & INC A	17.50	0.48	0.00	0.48	90.0	0.43
05/15/2017	EAGLESON, ROSS	AM FUNDS CAPITAL WORLD GRTH & INC A	8.10	0.22	0.00	0.22	90.0	0.20
05/15/2017	EAGLESON, SAMUEL	AM FUNDS CAPITAL WORLD GRTH & INC A	20.00	0.55	0.00	0.55	90.0	0.50
05/15/2017	EAGLESON, SAMUEL	AM FUNDS CAPITAL WORLD GRTH & INC A	16.80	0.46	0.00	0.46	90.0	0.41
05/15/2017	EAGLESON, ROSS	AM FUNDS EUROPACIFIC GROWTH FUND A	26.25	0.72	0.00	0.72	90.0	0.65
05/15/2017	EAGLESON, ROSS	AM FUNDS EUROPACIFIC GROWTH FUND A	12.15	0.33	0.00	0.33	90.0	0.30
05/15/2017	EAGLESON, SAMUEL	AM FUNDS EUROPACIFIC GROWTH FUND A	30.00	0.83	0.00	0.83	90.0	0.75
05/15/2017	EAGLESON, SAMUEL	AM FUNDS EUROPACIFIC GROWTH FUND A	25.20	0.69	0.00	0.69	90.0	0.62

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/15/2017	MOSURE, MONICA	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	1.38	0.00	1.38	90.0	1.24
05/15/2017	EAGLESON, ROSS	AM FUNDS FUNDAMENTAL INVESTORS A	17.50	0.48	0.00	0.48	90.0	0.43
05/15/2017	EAGLESON, ROSS	AM FUNDS FUNDAMENTAL INVESTORS A	8.10	0.22	0.00	0.22	90.0	0.20
05/15/2017	EAGLESON, SAMUEL	AM FUNDS FUNDAMENTAL INVESTORS A	20.00	0.55	0.00	0.55	90.0	0.50
05/15/2017	EAGLESON, SAMUEL	AM FUNDS FUNDAMENTAL INVESTORS A	16.80	0.46	0.00	0.46	90.0	0.41
05/15/2017	NEWBAUER, CAMERON	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	2.75	0.00	2.75	90.0	2.48
05/08/2017	HERBER, TRINA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.38	0.00	1.38	90.0	1.24
05/10/2017	GRIME, STEPHEN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	3.75	0.00	3.75	90.0	3.38
05/15/2017	MOSURE, MONICA	AM FUNDS GROWTH FUND OF AMERICA A	89.00	2.45	0.00	2.45	90.0	2.21
05/15/2017	NEACE, VICTORIA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
05/15/2017	MUSKIN, VERONICA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
05/15/2017	EAGLESON, ROSS	AM FUNDS GROWTH FUND OF AMERICA A	26.25	0.72	0.00	0.72	90.0	0.65
05/15/2017	EAGLESON, ROSS	AM FUNDS GROWTH FUND OF AMERICA A	12.15	0.33	0.00	0.33	90.0	0.30
05/15/2017	EAGLESON, SAMUEL	AM FUNDS GROWTH FUND OF AMERICA A	30.00	0.83	0.00	0.83	90.0	0.75
05/15/2017	EAGLESON, SAMUEL	AM FUNDS GROWTH FUND OF AMERICA A	25.20	0.69	0.00	0.69	90.0	0.62
05/18/2017	VAN AUKEN, KAREN	AM FUNDS GROWTH FUND OF AMERICA A	-165.91	22.00	22.00	0.00	90.0	0.00
05/15/2017	NEWBAUER, CAMERON	AM FUNDS ICA 529A	100.00	2.75	0.00	2.75	90.0	2.48
05/10/2017	GRIME, STEPHEN	AM FUNDS ICA A	100.00	3.75	0.00	3.75	90.0	3.38
05/15/2017	MOSURE, MONICA	AM FUNDS INCOME FUND OF AMERICA A	60.00	1.65	0.00	1.65	90.0	1.49
05/15/2017	SLIGER, RUSTY	AM FUNDS INTERMEDIATE BOND FUND OF	120.00	2.40	0.00	2.40	90.0	2.16
05/15/2017	SLIGER, RUSTY	AM FUNDS INTERMEDIATE BOND FUND OF	87.90	1.76	0.00	1.76	90.0	1.58
05/18/2017	VAN AUKEN, KAREN	AM FUNDS INTERMEDIATE BOND FUND OF	-428.03	22.00	22.00	0.00	90.0	0.00
05/15/2017	EAGLESON, ROSS	AM FUNDS NEW ECONOMY A	17.50	0.48	0.00	0.48	90.0	0.43
05/15/2017	EAGLESON, ROSS	AM FUNDS NEW ECONOMY A	8.10	0.22	0.00	0.22	90.0	0.20
05/15/2017	EAGLESON, SAMUEL	AM FUNDS NEW ECONOMY A	20.00	0.55	0.00	0.55	90.0	0.50
05/15/2017	EAGLESON, SAMUEL	AM FUNDS NEW ECONOMY A	16.80	0.46	0.00	0.46	90.0	0.41
05/15/2017	MOSURE, MONICA	AM FUNDS NEW PERSPECTIVE A	50.00	1.38	0.00	1.38	90.0	1.24
05/15/2017	MUSKIN, VERONICA	AM FUNDS NEW PERSPECTIVE A	50.00	1.88	0.00	1.88	90.0	1.69
05/15/2017	EAGLESON, ROSS	AM FUNDS NEW PERSPECTIVE A	26.25	0.72	0.00	0.72	90.0	0.65
05/15/2017	EAGLESON, ROSS	AM FUNDS NEW PERSPECTIVE A	12.15	0.33	0.00	0.33	90.0	0.30
05/15/2017	EAGLESON, SAMUEL	AM FUNDS NEW PERSPECTIVE A	30.00	0.83	0.00	0.83	90.0	0.75
05/15/2017	EAGLESON, SAMUEL	AM FUNDS NEW PERSPECTIVE A	25.20	0.69	0.00	0.69	90.0	0.62
05/15/2017	MOSURE, MONICA	AM FUNDS NEW WORLD A	50.00	1.38	0.00	1.38	90.0	1.24

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/15/2017	ROEMER, SHERRI	AM FUNDS NEW WORLD A	50.00	2.13	0.00	2.13	90.0	1.92
05/15/2017	EAGLESON, ROSS	AM FUNDS NEW WORLD A	26.25	0.72	0.00	0.72	90.0	0.65
05/15/2017	EAGLESON, ROSS	AM FUNDS NEW WORLD A	12.15	0.33	0.00	0.33	90.0	0.30
05/15/2017	EAGLESON, SAMUEL	AM FUNDS NEW WORLD A	30.00	0.83	0.00	0.83	90.0	0.75
05/15/2017	EAGLESON, SAMUEL	AM FUNDS NEW WORLD A	25.20	0.69	0.00	0.69	90.0	0.62
05/16/2017	MUSSEY, LORI	AM FUNDS NEW WORLD A	50.00	2.50	0.00	2.50	90.0	2.25
05/18/2017	VAN AUKEN, KAREN	AM FUNDS SHORT TERM BND FUND OF AM	-413.94	22.00	22.00	0.00	90.0	0.00
05/15/2017	MOSURE, MONICA	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	1.38	0.00	1.38	90.0	1.24
05/15/2017	EAGLESON, ROSS	AM FUNDS WASHINGTON MUTUAL INVESTOR	17.50	0.48	0.00	0.48	90.0	0.43
05/15/2017	EAGLESON, ROSS	AM FUNDS WASHINGTON MUTUAL INVESTOR	8.10	0.22	0.00	0.22	90.0	0.20
05/15/2017	EAGLESON, SAMUEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	20.00	0.55	0.00	0.55	90.0	0.50
05/15/2017	EAGLESON, SAMUEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	16.80	0.46	0.00	0.46	90.0	0.41
/ /	GALENTINE, STEPHANIE	ASCENSUS COLLEGE SAV 194524518	0.00	0.83	0.00	0.83	90.0	0.75
05/12/2017	FREEZE, CORTNEY	ASCENSUS COLLEGE SAV 194524518	15.00	0.71	0.00	0.71	90.0	0.64
05/15/2017	JACKSON, BRENDA	ASCENSUS COLLEGE SAV 194524518	100.00	4.75	0.00	4.75	90.0	4.28
05/19/2017	GALENTINE, STEPHANIE	ASCENSUS COLLEGE SAV 194524518	20.80	0.83	0.00	0.83	90.0	0.75
05/19/2017	GALENTINE, STEPHANIE	ASCENSUS COLLEGE SAV 194524518	20.80	0.83	0.00	0.83	90.0	0.75
05/12/2017	FREEZE, CORTNEY	ASCENSUS INA AMERICAN FUNDS EUROPAC	15.00	0.71	0.00	0.71	90.0	0.64
05/16/2017	MOSURE, MONICA	ASCENSUS INA AMERICAN FUNDS EUROPAC	750.00	35.63	0.00	35.63	90.0	32.07
05/16/2017	MOSURE, MONICA	ASCENSUS INA AMERICAN FUNDS EUROPAC	750.00	35.63	0.00	35.63	90.0	32.07
05/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA AMERICAN FUNDS EUROPAC	20.80	0.83	0.00	0.83	90.0	0.75
05/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA AMERICAN FUNDS EUROPAC	20.80	0.83	0.00	0.83	90.0	0.75
05/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA AMERICAN FUNDS EUROPAC	20.80	0.83	0.00	0.83	90.0	0.75
05/12/2017	MULLINS, CYNTHIA	ASCENSUS INA COLLEGE PORTFOLIO CL C	50.00	0.50	0.00	0.50	90.0	0.45
05/12/2017	FREEZE, CORTNEY	ASCENSUS INA PIMCO TOTAL RETURN POR	15.00	0.49	0.00	0.49	90.0	0.44
05/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA PIMCO TOTAL RETURN POR	13.87	0.45	0.00	0.45	90.0	0.41
05/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA PIMCO TOTAL RETURN POR	27.73	0.90	0.00	0.90	90.0	0.81
05/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA T ROWE PRICE LG CAP GR	41.60	1.66	0.00	1.66	90.0	1.49
05/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA T ROWE PRICE LG CAP GR	48.53	1.94	0.00	1.94	90.0	1.75
05/19/2017	GALENTINE, STEPHANIE	ASCENSUS INA T ROWE PRICE LG CAP GR	34.67	1.39	0.00	1.39	90.0	1.25
05/15/2017	JACKSON, BRENDA	ASCENSUS-INA BLACKROCK LG CAP VALUE	100.00	4.75	0.00	4.75	90.0	4.28
05/19/2017	GALENTINE, STEPHANIE	ASCENSUS-INA BLACKROCK LG CAP VALUE	41.60	1.66	0.00	1.66	90.0	1.49
05/19/2017	GALENTINE, STEPHANIE	ASCENSUS-INA BLACKROCK LG CAP VALUE	48.53	1.94	0.00	1.94	90.0	1.75

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/19/2017	GALENTINE, STEPHANIE	ASCENSUS-INA BLACKROCK LG CAP VALUE	34.67	1.39	0.00	1.39	90.0	1.25
05/12/2017	FREEZE, CORTNEY	ASCENSUS-INA LG CAP INDEX PF CL A	55.00	2.61	0.00	2.61	90.0	2.35
05/12/2017	SCHUCH, AUTUMN	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	1.07
05/12/2017	SCHUCH, AUTUMN	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	1.07
05/15/2017	JACKSON, BRENDA	ASCENSUS-INA LG CAP INDEX PF CL A	100.00	4.75	0.00	4.75	90.0	4.28
05/16/2017	ROGERS, BRADLEY	MFS GROWTH B	-1,709.69	22.00	22.00	0.00	90.0	0.00
05/15/2017	SCHUCH, AUTUMN	OPPENHEIMER CAPITAL APPRECIATION A	100.00	4.75	0.00	4.75	90.0	4.28
05/15/2017	SEELY, CHARLES	OPPENHEIMER CAPITAL INCOME FUND C	50.00	0.50	0.00	0.50	90.0	0.45
05/15/2017	SEELY, CHARLES	OPPENHEIMER CORPORATE BOND FUND C	50.00	0.50	0.00	0.50	90.0	0.45
05/15/2017	GALENTINE, JUSTIN	OPPENHEIMER PORTFOLIO SERIES EQ INV	200.00	9.50	0.00	9.50	90.0	8.55
05/15/2017	URICK, DANIEL	TRANSAMERICA CAPITAL GROWTH A	100.00	4.00	0.00	4.00	90.0	3.60
05/15/2017	DELANEY, DIANA	VANGUARD 500 INDEX FUND INVESTOR SH	150.00	0.00	12.00	-12.00	90.0	-10.80
05/15/2017	DELANEY, MATTHEW	VANGUARD 500 INDEX FUND INVESTOR SH	25.00	0.00	12.00	-12.00	90.0	-10.80
* MUTUAL FUNDS SUBTOTAL *			\$10,544.81	\$802.00	\$200.00	\$602.00		\$541.92
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/15/2017	KAUFMAN, KATRINA	NWL BOA NG FP VUL	50.00	1.00	0.00	1.00	90.0	0.90
* VARIABLE ANNUITIES SUBTOTAL *			\$50.00	\$1.00	\$0.00	\$1.00		\$0.90
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/18/2017	BERNDT, TODD	AM FUNDS AMERICAN BALANCED A	0.00	0.68	0.00	0.68	90.0	0.61
04/18/2017	KAUFFMAN, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.78	0.00	0.78	90.0	0.70
04/18/2017	FEDLER, CHARLES	AM FUNDS AMERICAN BALANCED A	0.00	1.09	0.00	1.09	90.0	0.98
04/18/2017	ARCHBOLD, DANNY	AM FUNDS AMERICAN BALANCED A	0.00	0.42	0.00	0.42	90.0	0.38
04/18/2017	ZINN, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	11.20	0.00	11.20	90.0	10.08
04/18/2017	WILLIAMS, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	0.48	0.00	0.48	90.0	0.43
04/18/2017	WEIDES, LISA	AM FUNDS AMERICAN BALANCED A	0.00	0.91	0.00	0.91	90.0	0.82
04/18/2017	CRAWFORD, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	0.55	0.00	0.55	90.0	0.50
04/18/2017	ARCHBOLD, DANNY	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.45	0.00	0.45	90.0	0.41
04/18/2017	WILLIAMS, BARBARA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.75	0.00	0.75	90.0	0.68
04/18/2017	WEIDES, LISA	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.49	0.00	0.49	90.0	0.44
04/18/2017	CRAWFORD, JOHN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.69	0.00	0.69	90.0	0.62
04/18/2017	VAN AUKEN, KAREN	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.09	0.00	0.09	90.0	0.08
04/18/2017	VAN AUKEN, KAREN	AM FUNDS BOND FUND OF AMERICA A	0.00	0.10	0.00	0.10	90.0	0.09

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/18/2017	KAUFFMAN, JAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.14	0.00	1.14	90.0	1.03
04/18/2017	KAUFFMAN, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.43	0.00	0.43	90.0	0.39
04/18/2017	ZINN, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	8.53	0.00	8.53	90.0	7.68
04/18/2017	WEIDES, LISA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.56	0.00	0.56	90.0	0.50
04/18/2017	VAN AUKEN, KAREN	AM FUNDS CAPITAL WORLD BOND A	0.00	0.09	0.00	0.09	90.0	0.08
04/18/2017	KAUFFMAN, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.72	0.00	0.72	90.0	0.65
04/18/2017	ZINN, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.82	0.00	5.82	90.0	5.24
04/18/2017	WILLIAMS, BARBARA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.22	0.00	0.22	90.0	0.20
04/18/2017	ZINN, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	4.75	0.00	4.75	90.0	4.28
04/18/2017	BERNDT, TODD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.54	0.00	0.54	90.0	0.49
04/18/2017	ZINN, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.01	0.00	6.01	90.0	5.41
04/18/2017	WILLIAMS, BARBARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.26	0.00	0.26	90.0	0.23
04/18/2017	VAN AUKEN, KAREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.03	0.00	0.03	90.0	0.03
04/18/2017	ZINN, ROBERT	AM FUNDS ICA A	0.00	4.39	0.00	4.39	90.0	3.95
04/18/2017	BERNDT, TODD	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.51	0.00	0.51	90.0	0.46
04/18/2017	ZINN, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.61	0.00	5.61	90.0	5.05
04/18/2017	WILLIAMS, BARBARA	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.52	0.00	0.52	90.0	0.47
04/18/2017	VAN AUKEN, KAREN	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.09	0.00	0.09	90.0	0.08
04/18/2017	ZINN, ROBERT	AM FUNDS NEW PERSPECTIVE A	0.00	5.52	0.00	5.52	90.0	4.97
04/18/2017	ZINN, ROBERT	AM FUNDS NEW WORLD A	0.00	4.80	0.00	4.80	90.0	4.32
04/18/2017	VAN AUKEN, KAREN	AM FUNDS SHORT TERM BND FUND OF AM	0.00	0.05	0.00	0.05	90.0	0.05
04/20/2017	FEDLER, KIMBERLY	FRANKLIN EQUITY INCOME A	0.00	0.59	0.00	0.59	90.0	0.53
04/20/2017	FEDLER, CHARLES	FRANKLIN EQUITY INCOME A	0.00	0.59	0.00	0.59	90.0	0.53
04/20/2017	FEDLER, KIMBERLY	FRANKLIN INCOME A	0.00	0.58	0.00	0.58	90.0	0.52
04/20/2017	FEDLER, CHARLES	FRANKLIN INCOME A	0.00	0.58	0.00	0.58	90.0	0.52
04/20/2017	RANEY, GLEN	FRANKLIN RISING DIVIDENDS C	0.00	2.22	0.00	2.22	90.0	2.00
04/17/2017	DERBYSHIRE TRUST, KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.54	0.00	6.54	90.0	5.89
04/17/2017	DERBYSHIRE TRUST, KAREN	GRIFFIN INST'L ACCESS R E FUND C	0.00	19.63	0.00	19.63	90.0	17.67
04/17/2017	DERBYSHIRE, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.52	0.00	5.52	90.0	4.97
04/17/2017	DERBYSHIRE, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	16.55	0.00	16.55	90.0	14.90
04/17/2017	HARMEYER, SHARYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.01	0.00	3.01	90.0	2.71
04/17/2017	HARMEYER, SHARYN	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.03	0.00	9.03	90.0	8.13
04/18/2017	FEDLER, CHARLES	INFLATION LINKED BOND FUND A	0.00	0.20	0.00	0.20	90.0	0.18

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/18/2017	WEIDES, LISA	INFLATION LINKED BOND FUND A	0.00	0.20	0.00	0.20	90.0	0.18
04/18/2017	CRAWFORD, JOHN	INFLATION LINKED BOND FUND A	0.00	0.31	0.00	0.31	90.0	0.28
04/13/2017	FEDLER, KIMBERLY	INVESCO EQUITY AND INCOME A	0.00	0.46	0.00	0.46	90.0	0.41
04/13/2017	RANEY, GLEN	IVY ASSET STRATEGY FUND CLASS C	0.00	4.75	0.00	4.75	90.0	4.28
04/13/2017	MARTIN, GARY	IVY FDS INC ASSET STRATEGY FD CL B	0.00	1.89	0.00	1.89	90.0	1.70
04/13/2017	MARTIN, DEBERAH	IVY FDS INC ASSET STRATEGY FD CL B	0.00	1.89	0.00	1.89	90.0	1.70
04/20/2017	FEDLER, CHARLES	LORD ABBETT BOND DEBENTURE A	0.00	0.80	0.00	0.80	90.0	0.72
04/20/2017	ZINN, ROBERT	LORD ABBETT BOND DEBENTURE A	0.00	5.13	0.00	5.13	90.0	4.62
04/20/2017	ZINN, ROBERT	LORD ABBETT INCOME A	0.00	1.90	0.00	1.90	90.0	1.71
04/20/2017	ELLIOTT, RANDALL	TEMPLETON WORLD A	0.00	10.73	0.00	10.73	90.0	9.66
04/17/2017	ZINN, ROBERT	TRANSAMERICA FLEXIBLE INCOME A	0.00	7.47	0.00	7.47	90.0	6.72
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$169.84	\$0.00	\$169.84		\$152.91
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
05/08/2017	HEES, CHRISTEY	ING ESII	0.00	66.94	0.00	66.94	90.0	60.25
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$66.94	\$0.00	\$66.94		\$60.25
*** BROKER TRANSACTIONS TOTAL ***			\$10,594.81	\$1,039.78	\$200.00	\$839.78		\$755.98

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

04/18/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.23	0.00	0.23	90.0	0.21
04/18/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.07	0.00	0.07	90.0	0.06
04/18/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.08	0.00	0.08	90.0	0.07
04/18/2017	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.39	0.00	0.39	90.0	0.35
04/18/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.05	0.00	0.05	90.0	0.05
05/15/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.75	0.00	1.75	90.0	1.58
05/11/2017	JUNOD, BARBARA	AM FUNDS AMERICAN BALANCED C	2,500.00	8.75	7.70	1.05	90.0	0.95
04/18/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.21	0.00	0.21	90.0	0.19
05/11/2017	JUNOD, BARBARA	AM FUNDS AMERICAN HIGH-INCOME TRUST	3,600.00	12.60	7.70	4.90	90.0	4.41
05/11/2017	JUNOD, BARBARA	AM FUNDS BOND FUND OF AMERICA C	4,000.00	14.00	7.70	6.30	90.0	5.67
04/18/2017	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.12	0.00	0.12	90.0	0.11
05/17/2017	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	375.00	6.56	0.00	6.56	90.0	5.90
04/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.17	0.00	0.17	90.0	0.15

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
04/18/2017	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.15	0.00	0.15	90.0	0.14
05/15/2017	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	200.00	3.50	0.00	3.50	90.0	3.15
05/17/2017	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	375.00	6.56	0.00	6.56	90.0	5.90
04/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.17	0.00	0.17	90.0	0.15
04/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.25	0.00	0.25	90.0	0.23
04/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.17	0.00	0.17	90.0	0.15
05/11/2017	JUNOD, BARBARA	AMERICAN EMERGING MARKETS BOND FUND	1,500.00	5.25	7.70	-2.45	90.0	-2.21
05/11/2017	JUNOD, BARBARA	AMERICAN FUNDS STRATEGIC BOND FUND	6,000.00	21.00	7.70	13.30	90.0	11.97
05/12/2017	SHERWOOD, JULIE	ASCENSUS INA 2019 ENROLLMENT PORT C	50.00	0.84	0.00	0.84	90.0	0.76
05/19/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
05/19/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
/ /	SHERWOOD, JULIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.57	0.00	0.57	90.0	0.51
05/19/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.13	0.00	0.13	90.0	0.12
05/19/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.10	0.00	0.10	90.0	0.09
05/19/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.41	0.00	0.41	90.0	0.37
05/19/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.64	0.00	0.64	90.0	0.58
05/19/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
05/19/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
04/13/2017	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	0.99	0.00	0.99	90.0	0.89
04/17/2017	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	4.09	0.00	4.09	90.0	3.68
04/21/2017	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.18	0.00	0.18	90.0	0.16
04/19/2017	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.64	0.00	0.64	90.0	0.58
04/18/2017	JUNOD, BARBARA	JP MORGAN INVESTOR CONSERVATIVE GRO	0.00	0.14	0.00	0.14	90.0	0.13
04/21/2017	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.30	0.00	1.30	90.0	1.17
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$18,930.00	\$93.22	\$38.50	\$54.72		\$49.26
<u>BROKER: L135-KEITH/JOE LAYMAN/WIEGAND #267</u>								
05/12/2017	MULLINS, JAMES	ASCENSUS INA 2022 ENROLLMENT PTF A	50.00	1.19	0.00	1.19	90.0	1.07
* L135-KEITH/JOE LAYMAN/WIEGAND SUBTOTAL *			\$50.00	\$1.19	\$0.00	\$1.19		\$1.07
*** SPLIT TRANSACTIONS TOTAL ***			\$18,980.00	\$94.41	\$38.50	\$55.91		\$50.33

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: WILLIAM LAYMAN #207</u>								
05/15/2017	RABER, AMY	AM FUNDS AMERICAN MUTUAL A	300.00	15.00	0.00	15.00	45.0	6.75
		* WILLIAM LAYMAN SUBTOTAL *	\$300.00	\$15.00	\$0.00	\$15.00		\$6.75
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$300.00	\$15.00	\$0.00	\$15.00		\$6.75

BROKER COMMISSION TOTALS

Broker Transactions	\$755.98
Split Transactions	\$50.33
Override Transactions	\$6.75
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-186.05
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$627.01
Year-to-date Gross	\$20,346.61
Year-to-date Earnings	\$17,286.93

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