

All Companies  
**STATEMENT**  
 August 20, 2018

**RICHARD BARRONE**  
 385 1/2 E North St  
 SUITE 620  
 Bradley, IL 60915

**BROKER #: 140**  
 BRANCH:

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
07/09/2018	#00088726885	ROOD, NATHANIEL AMERICAN FDS PORTFOLIO SER GROWTH F	67.50	3.38	93.0	0.00	3.14	0.00	3.14
07/09/2018	#00088726885	ROOD, NATHANIEL AMERICAN FDS PORTFOLIO SER GROWTH F	24.92	1.25	93.0	0.00	1.16	0.00	1.16
07/09/2018	#04000807159	SCHAEFER, KARL AMERICAN FUNDS 02631L106	33.84	1.69	93.0	0.00	1.57	0.00	1.57
07/09/2018	#04000807159	SCHAEFER, KARL AMERICAN FUNDS 02631L106	33.84	1.69	93.0	0.00	1.57	0.00	1.57
07/09/2018	#04001014936	BURDEAUCHE AMERICAN FUNDS 2030 TARGET DATE-A	30.90	1.55	93.0	0.00	1.44	0.00	1.44
07/09/2018	#04001014936	BURDEAUCHE AMERICAN FUNDS 2030 TARGET DATE-A	30.90	1.55	93.0	0.00	1.44	0.00	1.44
07/09/2018	#00088726885	ROOD, NATHANIEL GLOBAL GROWTH PORTFOLIO-A	24.92	1.25	93.0	0.00	1.16	0.00	1.16
07/09/2018	#00088726885	ROOD, NATHANIEL GLOBAL GROWTH PORTFOLIO-A	67.50	3.38	93.0	0.00	3.14	0.00	3.14
07/09/2018	#00088726885	ROOD, NATHANIEL GROWTH AND INCOME PORTFOLIO-A	33.23	1.66	93.0	0.00	1.54	0.00	1.54
07/09/2018	#00088726885	ROOD, NATHANIEL GROWTH AND INCOME PORTFOLIO-A	90.00	4.50	93.0	0.00	4.19	0.00	4.19
07/10/2018	#00087266963	MEEKERJENN AMERICAN FUNDS 02630R583	50.00	0.50	93.0	0.00	0.47	0.00	0.47
07/10/2018	#00084174737	PIERCE, AMERICAN FUNDS 2020 TARGET DATE-A	180.00	4.95	93.0	0.00	4.60	0.00	4.60
07/10/2018	#00087266963	MEEKERJENN GROWTH AND INCOME PORFOLIO	50.00	0.50	93.0	0.00	0.47	0.00	0.47
07/10/2018	#00087266963	MEEKERJENN GROWTH PORTFOLIO C	50.00	0.50	93.0	0.00	0.47	0.00	0.47
07/16/2018	#00089210188	GAMBLEMELI AMERICAN FUNDS 2045 TARGET DATE-A	400.00	20.00	93.0	0.00	18.60	0.00	18.60
07/17/2018	#00084330324	ROOD, NATHANIEL AMERICAN BALANCED FUND INC CL C	50.00	0.50	93.0	0.00	0.47	0.00	0.47
07/17/2018	#00084330324	ROOD, NATHANIEL AMERICAN MUTUAL FUND INC CL C	50.00	0.50	93.0	0.00	0.47	0.00	0.47
07/17/2018	#00084330324	ROOD, NATHANIEL CAPITAL INCOME BLDR FUND CL C	50.00	0.50	93.0	0.00	0.47	0.00	0.47
07/17/2018	#00084330324	ROOD, NATHANIEL INCOME FUND AMER INC CL C	50.00	0.50	93.0	0.00	0.47	0.00	0.47
07/17/2018	#00084330324	ROOD, NATHANIEL THE TAX-EXEMPT BOND FUND OF AMERICA	50.00	0.50	93.0	0.00	0.47	0.00	0.47
07/20/2018	#00086554976	KUIKEN, DAVID AMCAP FUND INC CLASS A	36.00	0.99	93.0	0.00	0.92	0.00	0.92
07/20/2018	#00086554976	KUIKEN, DAVID AMCAP FUND INC CLASS A	36.00	0.99	93.0	0.00	0.92	0.00	0.92
07/20/2018	#00086554949	CRISP, KRISTEN AMERICAN FUNDS 2020 TARGET DATE-A	246.00	6.77	93.0	0.00	6.30	0.00	6.30
07/20/2018	#00086554949	CRISP, KRISTEN AMERICAN FUNDS 2020 TARGET DATE-A	246.00	6.77	93.0	0.00	6.30	0.00	6.30
07/20/2018	#00086554976	KUIKEN, DAVID AMERICAN FUNDS 2020 TARGET DATE-A	216.00	5.94	93.0	0.00	5.52	0.00	5.52
07/20/2018	#00086554976	KUIKEN, DAVID AMERICAN FUNDS 2020 TARGET DATE-A	216.00	5.94	93.0	0.00	5.52	0.00	5.52
07/20/2018	#00089478928	SURRATTRON AMERICAN FUNDS 2025 TARGET DATE-A	186.00	5.12	93.0	0.00	4.76	0.00	4.76
07/20/2018	#00089478928	SURRATTRON AMERICAN FUNDS 2025 TARGET DATE-A	620.00	17.05	93.0	0.00	15.86	0.00	15.86

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/20/2018	#00089299775	MOFFITTDV	AMERICAN FUNDS 2030 TARGET DATE-A	199.04	5.47	93.0	0.00	5.09	5.09
07/20/2018	#00089299775	MOFFITTDV	AMERICAN FUNDS 2030 TARGET DATE-A	199.04	5.47	93.0	0.00	5.09	5.09
07/20/2018	#04000208238	ROMACLAWE	AMERICAN FUNDS 2030 TARGET DATE-A	121.01	3.33	93.0	0.00	3.10	3.10
07/20/2018	#04000208238	ROMACLAWE	AMERICAN FUNDS 2030 TARGET DATE-A	121.01	3.33	93.0	0.00	3.10	3.10
07/20/2018	#00087499628	WILLIAMS, RO	AMERICAN FUNDS 2035 TARGET DATE-A	198.00	5.45	93.0	0.00	5.07	5.07
07/20/2018	#00087499628	WILLIAMS, RO	AMERICAN FUNDS 2035 TARGET DATE-A	396.00	10.89	93.0	0.00	10.13	10.13
07/20/2018	#00089608708	SHELTONTRA	AMERICAN FUNDS 2040 TARGET DATE-A	138.00	3.80	93.0	0.00	3.53	3.53
07/20/2018	#00089608708	SHELTONTRA	AMERICAN FUNDS 2040 TARGET DATE-A	138.00	3.80	93.0	0.00	3.53	3.53
07/20/2018	#00088516700	CAMISTIMOT	AMERICAN FUNDS TARGET DATE RETIREME	99.00	2.72	93.0	0.00	2.53	2.53
07/20/2018	#00088516700	CAMISTIMOT	AMERICAN FUNDS TARGET DATE RETIREME	99.00	2.72	93.0	0.00	2.53	2.53
07/20/2018	#00082145480	GREENLEE,	AMERICAN HIGH INCOME TR SH BEN INT	80.00	2.20	93.0	0.00	2.05	2.05
07/20/2018	#00082145480	GREENLEE,	AMERICAN MUTUAL FUND INC CLASS A	80.00	2.20	93.0	0.00	2.05	2.05
07/20/2018	#00082145480	GREENLEE,	GLOBAL BALANCED FUND-A	80.00	2.20	93.0	0.00	2.05	2.05
07/20/2018	#00082145480	GREENLEE,	GROWTH FUND AMERICA INC CLASS A	80.00	2.20	93.0	0.00	2.05	2.05
07/20/2018	#00086554976	KUIKEN, DAVID	GROWTH FUND AMERICA INC CLASS A	36.00	0.99	93.0	0.00	0.92	0.92
07/20/2018	#00086554976	KUIKEN, DAVID	GROWTH FUND AMERICA INC CLASS A	36.00	0.99	93.0	0.00	0.92	0.92
07/20/2018	#00082145480	GREENLEE,	INCOME FUND OF AMERICA INC CLASS A	80.00	2.20	93.0	0.00	2.05	2.05
07/20/2018	#00086554976	KUIKEN, DAVID	INCOME FUND OF AMERICA INC CLASS A	36.00	0.99	93.0	0.00	0.92	0.92
07/20/2018	#00086554976	KUIKEN, DAVID	INCOME FUND OF AMERICA INC CLASS A	36.00	0.99	93.0	0.00	0.92	0.92
07/20/2018	#00082145480	GREENLEE,	WASHINGTON MUTUAL INVS FD CLASS A	80.00	2.20	93.0	0.00	2.05	2.05
07/20/2018	#00086554976	KUIKEN, DAVID	WASHINGTON MUTUAL INVS FD CLASS A	36.00	0.99	93.0	0.00	0.92	0.92
07/20/2018	#00086554976	KUIKEN, DAVID	WASHINGTON MUTUAL INVS FD CLASS A	36.00	0.99	93.0	0.00	0.92	0.92
07/23/2018	#00088726885	ROOD, NATHANIEL	AMERICAN FDS PORTFOLIO SER GROWTH F	67.50	3.38	93.0	0.00	3.14	3.14
07/23/2018	#00088726885	ROOD, NATHANIEL	AMERICAN FDS PORTFOLIO SER GROWTH F	24.92	1.25	93.0	0.00	1.16	1.16
07/23/2018	#04000807159	SCHAEFER, KARL	AMERICAN FUNDS 02631L106	45.04	2.25	93.0	0.00	2.09	2.09
07/23/2018	#04000807159	SCHAEFER, KARL	AMERICAN FUNDS 02631L106	45.04	2.25	93.0	0.00	2.09	2.09
07/23/2018	#04001014936	BURDEAUCHE	AMERICAN FUNDS 2030 TARGET DATE-A	46.78	2.34	93.0	0.00	2.18	2.18
07/23/2018	#04001014936	BURDEAUCHE	AMERICAN FUNDS 2030 TARGET DATE-A	46.78	2.34	93.0	0.00	2.18	2.18
07/23/2018	#00088726885	ROOD, NATHANIEL	GLOBAL GROWTH PORTFOLIO-A	67.50	3.38	93.0	0.00	3.14	3.14
07/23/2018	#00088726885	ROOD, NATHANIEL	GLOBAL GROWTH PORTFOLIO-A	24.92	1.25	93.0	0.00	1.16	1.16
07/23/2018	#00088726885	ROOD, NATHANIEL	GROWTH AND INCOME PORTFOLIO-A	90.00	4.50	93.0	0.00	4.19	4.19
07/23/2018	#00088726885	ROOD, NATHANIEL	GROWTH AND INCOME PORTFOLIO-A	33.23	1.66	93.0	0.00	1.54	1.54
* MUTUAL FUNDS SUBTOTAL *			\$6,115.36	\$191.14		\$0.00	\$177.80	\$0.00	\$177.80

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/16/2018	#00002114676	VARIOUS BARRONE ALTERNATIVE ASSET ALLOCATION FUND A	0.00	1.36	93.0	0.00	1.26	0.00	1.26
07/16/2018	#00002165352	VARIOUS BARRONE ALTERNATIVE ASSET ALLOCATION FUND A	0.00	39.90	93.0	0.00	37.11	0.00	37.11
07/16/2018	#00002169794	VARIOUS BARRONE ALTERNATIVE ASSET ALLOCATION FUND A	0.00	3.97	93.0	0.00	3.69	0.00	3.69
07/16/2018	#00002170076	VARIOUS BARRONE ALTERNATIVE ASSET ALLOCATION FUND A	0.00	1.53	93.0	0.00	1.42	0.00	1.42
07/16/2018	#00003376227	U, ELLEN EMERGING MARKETS A	0.00	4.24	93.0	0.00	3.94	0.00	3.94
07/16/2018	#00003377593	BARRONE, EMERGING MARKETS A	0.00	0.38	93.0	0.00	0.35	0.00	0.35
07/16/2018	#00002409058	VARIOUS BARRONE EMERGING MARKETS EQUITY A	0.00	3.26	93.0	0.00	3.03	0.00	3.03
07/16/2018	#00003377577	GREEN, ELMER FLOATING RATE INCOME B	0.00	2.03	93.0	0.00	1.89	0.00	1.89
07/16/2018	#00002409016	VARIOUS BARRONE FUNDAMENTAL ALL CAP CORE A	0.00	7.60	93.0	0.00	7.07	0.00	7.07
07/16/2018	#00002409058	VARIOUS BARRONE FUNDAMENTAL ALL CAP CORE A	0.00	1.52	93.0	0.00	1.41	0.00	1.41
07/16/2018	#00002409635	VARIOUS BARRONE FUNDAMENTAL ALL CAP CORE A	0.00	1.93	93.0	0.00	1.79	0.00	1.79
07/16/2018	#00002492256	VARIOUS BARRONE FUNDAMENTAL GLOBAL FRANCHISE A	0.00	0.37	93.0	0.00	0.34	0.00	0.34
07/16/2018	#00002331186	VARIOUS BARRONE FUNDAMENTAL LARGE CAP CORE FUND B	0.00	2.51	93.0	0.00	2.33	0.00	2.33
07/16/2018	#00002114675	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	2.57	93.0	0.00	2.39	0.00	2.39
07/16/2018	#00002114766	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	2.09	93.0	0.00	1.94	0.00	1.94
07/16/2018	#00002123260	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	2.63	93.0	0.00	2.45	0.00	2.45
07/16/2018	#00002130590	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	2.78	93.0	0.00	2.59	0.00	2.59
07/16/2018	#00002131574	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	1.28	93.0	0.00	1.19	0.00	1.19
07/16/2018	#00002133900	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	4.97	93.0	0.00	4.62	0.00	4.62
07/16/2018	#00002161786	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	0.27	93.0	0.00	0.25	0.00	0.25
07/16/2018	#00002165355	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	32.82	93.0	0.00	30.52	0.00	30.52
07/16/2018	#00002168927	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	0.31	93.0	0.00	0.29	0.00	0.29
07/16/2018	#00002168930	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	0.31	93.0	0.00	0.29	0.00	0.29
07/16/2018	#00002174927	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	0.65	93.0	0.00	0.60	0.00	0.60
07/16/2018	#00002176030	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	1.13	93.0	0.00	1.05	0.00	1.05
07/16/2018	#00002194065	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	0.24	93.0	0.00	0.22	0.00	0.22
07/16/2018	#00002130589	VARIOUS BARRONE HANCOCK FUNDS 41014P748	0.00	3.19	93.0	0.00	2.97	0.00	2.97
07/16/2018	#00002131573	VARIOUS BARRONE HANCOCK FUNDS 41014P748	0.00	2.02	93.0	0.00	1.88	0.00	1.88
07/16/2018	#00002161790	VARIOUS BARRONE HANCOCK FUNDS 41014P748	0.00	0.23	93.0	0.00	0.21	0.00	0.21
07/16/2018	#00002169793	VARIOUS BARRONE HANCOCK FUNDS 41014P748	0.00	3.66	93.0	0.00	3.40	0.00	3.40
07/16/2018	#00002170077	VARIOUS BARRONE HANCOCK FUNDS 41014P748	0.00	1.45	93.0	0.00	1.35	0.00	1.35
07/16/2018	#00002182786	VARIOUS BARRONE HANCOCK FUNDS 47803P443	0.00	23.73	93.0	0.00	22.07	0.00	22.07
07/16/2018	#00002186017	VARIOUS BARRONE HANCOCK FUNDS 47803P443	0.00	2.34	93.0	0.00	2.18	0.00	2.18
07/16/2018	#00002186800	VARIOUS BARRONE HANCOCK FUNDS 47803P443	0.00	1.77	93.0	0.00	1.65	0.00	1.65
07/16/2018	#00002192845	VARIOUS BARRONE HANCOCK FUNDS 47803P443	0.00	0.33	93.0	0.00	0.31	0.00	0.31
07/16/2018	#00002192854	VARIOUS BARRONE HANCOCK FUNDS 47803P443	0.00	0.33	93.0	0.00	0.31	0.00	0.31

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/16/2018	#00002194063	VARIOUS BARRONE HANCOCK FUNDS 47803P443	0.00	0.43	93.0	0.00	0.40	0.00	0.40
07/16/2018	#00002402705	VAN OORT VAR INCOME ALLOCATION A	0.00	2.17	93.0	0.00	2.02	0.00	2.02
07/16/2018	#00002192842	VARIOUS BARRONE INTERNATIONAL GROWTH A	0.00	0.40	93.0	0.00	0.37	0.00	0.37
07/16/2018	#00002192851	VARIOUS BARRONE INTERNATIONAL GROWTH A	0.00	0.40	93.0	0.00	0.37	0.00	0.37
07/16/2018	#00003377594	BARRONE, INTERNATIONAL GROWTH A	0.00	0.32	93.0	0.00	0.30	0.00	0.30
07/16/2018	#00002409634	VARIOUS BARRONE J HANCOCK FUNDS 47803P575	0.00	0.86	93.0	0.00	0.80	0.00	0.80
07/16/2018	#00002186802	VARIOUS BARRONE JH BALANCED FUND CLASS A	0.00	1.79	93.0	0.00	1.66	0.00	1.66
07/16/2018	#00002408352	VARIOUS BARRONE JH BALANCED FUND CLASS A	0.00	5.30	93.0	0.00	4.93	0.00	4.93
07/16/2018	#00002192843	VARIOUS BARRONE JH LARGE CAP EQUITY FD CL A	0.00	0.41	93.0	0.00	0.38	0.00	0.38
07/16/2018	#00002192852	VARIOUS BARRONE JH LARGE CAP EQUITY FD CL A	0.00	0.41	93.0	0.00	0.38	0.00	0.38
07/16/2018	#00002182787	VARIOUS BARRONE JOHN HANCOCK BOND FUND-CL A	0.00	13.20	93.0	0.00	12.28	0.00	12.28
07/16/2018	#00002192846	VARIOUS BARRONE JOHN HANCOCK BOND FUND-CL A	0.00	0.34	93.0	0.00	0.32	0.00	0.32
07/16/2018	#00002192855	VARIOUS BARRONE JOHN HANCOCK BOND FUND-CL A	0.00	0.34	93.0	0.00	0.32	0.00	0.32
07/16/2018	#00002114672	VARIOUS BARRONE JOHN HANCOCK DISCIPLINED VALUE MID	0.00	3.56	93.0	0.00	3.31	0.00	3.31
07/16/2018	#00002123259	VARIOUS BARRONE JOHN HANCOCK DISCIPLINED VALUE MID	0.00	2.14	93.0	0.00	1.99	0.00	1.99
07/16/2018	#00002130593	VARIOUS BARRONE JOHN HANCOCK DISCIPLINED VALUE MID	0.00	3.95	93.0	0.00	3.67	0.00	3.67
07/16/2018	#00002161788	VARIOUS BARRONE JOHN HANCOCK DISCIPLINED VALUE MID	0.00	0.35	93.0	0.00	0.33	0.00	0.33
07/16/2018	#00002114674	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	3.89	93.0	0.00	3.62	0.00	3.62
07/16/2018	#00002123256	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	2.71	93.0	0.00	2.52	0.00	2.52
07/16/2018	#00002130591	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	4.43	93.0	0.00	4.12	0.00	4.12
07/16/2018	#00002158760	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	1.71	93.0	0.00	1.59	0.00	1.59
07/16/2018	#00002158956	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	5.55	93.0	0.00	5.16	0.00	5.16
07/16/2018	#00002161787	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	0.25	93.0	0.00	0.23	0.00	0.23
07/16/2018	#00002165354	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	42.27	93.0	0.00	39.31	0.00	39.31
07/16/2018	#00002169792	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	7.91	93.0	0.00	7.36	0.00	7.36
07/16/2018	#00002170075	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	3.20	93.0	0.00	2.98	0.00	2.98
07/16/2018	#00002174926	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	0.65	93.0	0.00	0.60	0.00	0.60
07/16/2018	#00002176032	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	0.58	93.0	0.00	0.54	0.00	0.54
07/16/2018	#00002492248	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	1.14	93.0	0.00	1.06	0.00	1.06
07/16/2018	#00002165353	VARIOUS BARRONE JOHN HANCOCK FDS II GBL ABSOLUTE RE	0.00	18.40	93.0	0.00	17.11	0.00	17.11
07/16/2018	#00002192844	VARIOUS BARRONE JOHN HANCOCK FDS II GBL ABSOLUTE RE	0.00	0.26	93.0	0.00	0.24	0.00	0.24
07/16/2018	#00002192853	VARIOUS BARRONE JOHN HANCOCK FDS II GBL ABSOLUTE RE	0.00	0.26	93.0	0.00	0.24	0.00	0.24
07/16/2018	#00002114679	VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM	0.00	1.39	93.0	0.00	1.29	0.00	1.29
07/16/2018	#00002114767	VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM	0.00	1.92	93.0	0.00	1.79	0.00	1.79
07/16/2018	#00002182789	VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM	0.00	13.41	93.0	0.00	12.47	0.00	12.47
07/16/2018	#00002192841	VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM	0.00	0.31	93.0	0.00	0.29	0.00	0.29

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/16/2018	#00002192850	VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM	0.00	0.31	93.0	0.00	0.29	0.00	0.29
07/16/2018	#00002194064	VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM	0.00	0.33	93.0	0.00	0.31	0.00	0.31
07/16/2018	#00003376229	U, ELLEN JOHN HANCOCK FDS II TECHNICAL OPPTY	0.00	2.39	93.0	0.00	2.22	0.00	2.22
07/16/2018	#00002176031	VARIOUS BARRONE JOHN HANCOCK FDS III DISCIPLINED VA	0.00	1.38	93.0	0.00	1.28	0.00	1.28
07/16/2018	#00002192847	VARIOUS BARRONE JOHN HANCOCK FDS III DISCIPLINED VA	0.00	0.34	93.0	0.00	0.32	0.00	0.32
07/16/2018	#00002192856	VARIOUS BARRONE JOHN HANCOCK FDS III DISCIPLINED VA	0.00	0.34	93.0	0.00	0.32	0.00	0.32
07/16/2018	#00002034807	VAN OORT VAR JOHN HANCOCK FDS III GLOBAL SHAREHO	0.00	1.50	93.0	0.00	1.40	0.00	1.40
07/16/2018	#00003372667	VARIOUS BARRONE JOHN HANCOCK FDS III GLOBAL SHAREHO	0.00	6.96	93.0	0.00	6.47	0.00	6.47
07/16/2018	#00003376228	U, ELLEN JOHN HANCOCK FINANCIAL INDUSTRIES F	0.00	4.48	93.0	0.00	4.17	0.00	4.17
07/16/2018	#00003377592	BARRONE, JOHN HANCOCK FINANCIAL INDUSTRIES F	0.00	0.31	93.0	0.00	0.29	0.00	0.29
07/16/2018	#00003378494	BARRONE IL/TOD, JOHN HANCOCK FINANCIAL INDUSTRIES F	0.00	2.26	93.0	0.00	2.10	0.00	2.10
07/16/2018	#00002114678	VARIOUS BARRONE JOHN HANCOCK HIGH YIELD FUND CL A	0.00	1.48	93.0	0.00	1.38	0.00	1.38
07/16/2018	#00002114769	VARIOUS BARRONE JOHN HANCOCK HIGH YIELD FUND CL A	0.00	0.83	93.0	0.00	0.77	0.00	0.77
07/16/2018	#00002182788	VARIOUS BARRONE JOHN HANCOCK HIGH YIELD FUND CL A	0.00	13.47	93.0	0.00	12.53	0.00	12.53
07/16/2018	#00002186016	VARIOUS BARRONE JOHN HANCOCK INCOME FUND CL A	0.00	2.28	93.0	0.00	2.12	0.00	2.12
07/16/2018	#00003372666	VARIOUS BARRONE JOHN HANCOCK INCOME FUND CL B	0.00	7.16	93.0	0.00	6.66	0.00	6.66
07/16/2018	#00002409018	VARIOUS BARRONE JOHN HANCOCK INVT TR DISCIPLINED VA	0.00	7.09	93.0	0.00	6.59	0.00	6.59
07/16/2018	#00003377595	BARRONE, JOHN HANCOCK REGIONAL BANK FUND-CL	0.00	0.33	93.0	0.00	0.31	0.00	0.31
07/16/2018	#00003378497	BARRONE IL/TOD, JOHN HANCOCK REGIONAL BANK FUND-CL	0.00	3.16	93.0	0.00	2.94	0.00	2.94
07/16/2018	#00002406751	VARIOUS BARRONE LIFESTYLE BALANCED PORTFOLIO A	0.00	4.39	93.0	0.00	4.08	0.00	4.08
07/16/2018	#00003375093	SMITH, CHERYL LIFESTYLE BALANCED PORTFOLIO A	0.00	6.21	93.0	0.00	5.78	0.00	5.78
07/16/2018	#00005820502	VARIOUS BARRONE LIFESTYLE BALANCED PORTFOLIO A	0.00	4.39	93.0	0.00	4.08	0.00	4.08
07/16/2018	#00002392767	VARIOUS BARRONE LIFESTYLE CONSERVATIVE PORTFOLIO C	0.00	12.81	93.0	0.00	11.91	0.00	11.91
07/16/2018	#00002402703	VAN OORT VAR MULTI MANAGER LIFESTYE MOD	0.00	4.53	93.0	0.00	4.21	0.00	4.21
07/16/2018	#00002406749	VARIOUS BARRONE MULTI MANAGER LIFESTYE MOD	0.00	5.16	93.0	0.00	4.80	0.00	4.80
07/16/2018	#00002409060	VARIOUS BARRONE SHORT DURATION CREDIT OPPORTUNITIES	0.00	1.05	93.0	0.00	0.98	0.00	0.98
07/16/2018	#00003378495	BARRONE IL/TOD, SHORT DURATION CREDIT OPPORTUNITIES	0.00	1.92	93.0	0.00	1.79	0.00	1.79
07/16/2018	#00002409633	VARIOUS BARRONE SMALL CAP VALUE A	0.00	0.85	93.0	0.00	0.79	0.00	0.79
07/16/2018	#00002114673	VARIOUS BARRONE US GLOBAL LEADERS GROWTH A	0.00	3.51	93.0	0.00	3.26	0.00	3.26
07/16/2018	#00002114768	VARIOUS BARRONE US GLOBAL LEADERS GROWTH A	0.00	1.11	93.0	0.00	1.03	0.00	1.03
07/16/2018	#00002123254	VARIOUS BARRONE US GLOBAL LEADERS GROWTH A	0.00	3.22	93.0	0.00	2.99	0.00	2.99
07/16/2018	#00002130592	VARIOUS BARRONE US GLOBAL LEADERS GROWTH A	0.00	2.85	93.0	0.00	2.65	0.00	2.65
07/16/2018	#00002131575	VARIOUS BARRONE US GLOBAL LEADERS GROWTH A	0.00	1.76	93.0	0.00	1.64	0.00	1.64
07/16/2018	#00002161789	VARIOUS BARRONE US GLOBAL LEADERS GROWTH A	0.00	0.35	93.0	0.00	0.33	0.00	0.33
07/16/2018	#00002182790	VARIOUS BARRONE US GLOBAL LEADERS GROWTH A	0.00	19.47	93.0	0.00	18.11	0.00	18.11
07/16/2018	#00002194066	VARIOUS BARRONE US GLOBAL LEADERS GROWTH A	0.00	0.57	93.0	0.00	0.53	0.00	0.53

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/16/2018	#00002129851	VARIOUS BARRONE US GLOBAL LEADERS GROWTH B	0.00	3.24	93.0	0.00	3.01	0.00	3.01
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$442.10		\$0.00	\$411.15	\$0.00	\$411.15
*** BROKER TRANSACTIONS TOTAL ***			\$6,115.36	\$633.24		\$0.00	\$588.95	\$0.00	\$588.95

ADJUSTMENTS

08/20/2018	ADMIN FEE: ADMIN FEE	-320.00
08/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		<hr/> \$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$588.95
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$89.95
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