



All Companies

03/14/2018
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COMMISSION STATEMENT March 15, 2018

JUDE RAMON L. BARCENAS
3201 WAREHAM CIRCLE

BROKER #: 9620 Terminated 11/17/2013
BRANCH: HOME OFFICE

Richardson, TX 75082-

COMMISSION STATEMENT for JUDE RAMON L. BARCENAS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/28/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	144.19	144.19	0.00	144.19	60.0	86.51
02/20/2018	0000000000894129 BUSA, JOSEPHINE JANE	FRANKLIN VALUE FD CL II	B	50.00	0.50	0.00	0.50	60.0	0.30
02/15/2018	00006634371 JOSE, ANICIA P.	FIDELITY ADVISORS EQUITY INCM FD CL B		100.00	2.50	0.00	2.50	60.0	1.50
02/15/2018	00006634379 JOSE, ANTONIO C.	FIDELITY ADVISORS GRWTH OPPOR FD C B		100.00	2.50	0.00	2.50	60.0	1.50
02/20/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS AMERICAN MUTUAL FD B		40.00	1.50	0.00	1.50	60.0	0.90
02/20/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS CAPITAL WORLD BD FD B		40.00	1.20	0.00	1.20	60.0	0.72
02/20/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS HIGH INCOME TR FD B		40.00	1.20	0.00	1.20	60.0	0.72
02/20/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS INCOME FD OF AMERI B		40.00	1.50	0.00	1.50	60.0	0.90
02/20/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS NEW ECONOMY FD B		40.00	1.50	0.00	1.50	60.0	0.90
02/20/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS AMERICAN MUTUAL FD B		40.00	1.50	0.00	1.50	60.0	0.90
02/20/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS CAPITAL WORLD BD FD B		40.00	1.20	0.00	1.20	60.0	0.72
02/20/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS HIGH INCOME TR FD B		40.00	1.20	0.00	1.20	60.0	0.72
02/20/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS INCOME FD OF AMERI B		40.00	1.50	0.00	1.50	60.0	0.90
02/20/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS NEW ECONOMY FD B		40.00	1.50	0.00	1.50	60.0	0.90
03/02/2018	00086483831 KIM, ANDREW	AMERICAN BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
03/02/2018	00086483831 KIM, ANDREW	AMERICAN FUNDS - GENERAL	B	50.00	1.50	0.00	1.50	60.0	0.90
03/02/2018	00086483831 KIM, ANDREW	AMERICAN MUTUAL FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
03/02/2018	00086483831 KIM, ANDREW	NEW PERSPECTIVE FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
03/02/2018	00086483831 KIM, ANDREW	THE NEW ECONOMY FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
03/02/2018	00086483875 KIM, ANDREW	AMERICAN BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
03/02/2018	00086483875 KIM, ANDREW	AMERICAN FUNDS - GENERAL	B	50.00	1.50	0.00	1.50	60.0	0.90
03/02/2018	00086483875 KIM, ANDREW	AMERICAN MUTUAL FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
03/02/2018	00086483875 KIM, ANDREW	NEW PERSPECTIVE FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
03/02/2018	00086483875 KIM, ANDREW	THE NEW ECONOMY FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
02/22/2018	00089156141 KYSER, DICK/MARGIE PUTNAM	NEW OPPORTUNITIES FD CL A B		220.00	11.00	0.00	11.00	60.0	6.60
* MUTUAL FUNDS SUBTOTAL *				\$1,514.19	\$194.53	\$0.00	\$194.53		\$116.73

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
02/25/2018	TRAILS	-HARTFORD LIFE	B	10.60	10.60	0.00	10.60	60.0	6.36
02/28/2018	TRAILS	-HARTFORD LIFE	B	41.47	41.47	0.00	41.47	60.0	24.88
12/28/2017	GERIO, EMMA B.	LINCOLN BENEFIT LIFE	B	41.82	1.36	0.00	1.36	60.0	0.82
01/09/2018	CAMAGANACAN, ROSALINA	LINCOLN BENEFIT LIFE	B	48.13	1.57	0.00	1.57	60.0	0.94
01/09/2018	HAMMETT, CATHERINE M.	LINCOLN BENEFIT LIFE	B	29.45	0.96	0.00	0.96	60.0	0.58
01/13/2018	AFRICA, YOLANDA E	LINCOLN BENEFIT LIFE	B	31.42	1.02	0.00	1.02	60.0	0.61
01/17/2018	LABRADOR, THERESA O.	LINCOLN BENEFIT LIFE	B	93.04	3.48	0.00	3.48	60.0	2.09
01/18/2018	HONRALES, EDWIN	LINCOLN BENEFIT LIFE	B	77.00	2.50	0.00	2.50	60.0	1.50
01/18/2018	HONRALES, SHIRLEY A.	LINCOLN BENEFIT LIFE	B	93.00	3.02	0.00	3.02	60.0	1.81
01/18/2018	GARRIDO, RACHEL E.	LINCOLN BENEFIT LIFE	B	356.00	11.57	0.00	11.57	60.0	6.94
01/18/2018	GATMAITAN, ANASTACIA H.	LINCOLN BENEFIT LIFE	B	49.03	1.59	0.00	1.59	60.0	0.95
01/18/2018	CALUNGSUD, KELLY M.	LINCOLN BENEFIT LIFE	B	20.00	0.65	0.00	0.65	60.0	0.39
01/18/2018	AUSTRIA, MARIA	LINCOLN BENEFIT LIFE	B	127.00	4.13	0.00	4.13	60.0	2.48
01/19/2018	MARQUEZ-TALEON, JUDITH	LINCOLN BENEFIT LIFE	B	96.78	3.15	0.00	3.15	60.0	1.89
01/21/2018	TRAILS	LINCOLN BENEFIT LIFE	B	56.76	56.76	0.00	56.76	60.0	34.06
01/25/2018	CRUZ, HAYLEY T.	LINCOLN BENEFIT LIFE	B	97.54	3.17	0.00	3.17	60.0	1.90
01/25/2018	TRAILS	LINCOLN BENEFIT LIFE	B	54.45	54.45	0.00	54.45	60.0	32.67
01/28/2018	TRAILS	LINCOLN BENEFIT LIFE	B	18.14	18.14	0.00	18.14	60.0	10.88
02/01/2018	PABRUADA, MARIA EDNA	LINCOLN BENEFIT LIFE	B	94.82	3.08	0.00	3.08	60.0	1.85
02/20/2018	GATMAITAN, ANASTACIA H.	LINCOLN BENEFIT LIFE	B	43.97	2.64	0.00	2.64	60.0	1.58
01/31/2018	TRAILS	SECURITY LIFE OF DENVER	B	4.50	4.50	0.00	4.50	60.0	2.70
02/28/2018	TRAILS	SECURITY LIFE OF DENVER	B	4.31	4.31	0.00	4.31	60.0	2.59
* VARIABLE ANNUITIES SUBTOTAL *				\$1,489.23	\$234.12	\$0.00	\$234.12		\$140.47
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/15/2018	TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES		0.00	1,383.36	0.00	1,383.36	60.0	829.98
03/15/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	4.87	0.00	4.87	60.0	2.92
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,388.23	\$0.00	\$1,388.23		\$832.90
*** BROKER TRANSACTIONS TOTAL ***				\$3,003.42	\$1,816.88	\$0.00	\$1,816.88		\$1,090.10

BROKER COMMISSION TOTALS

Broker Transactions	\$1,090.10
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,090.10
Year-to-date Earnings	\$4,264.22