



Concorde Investment Services, LLC

04/17/2018

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COMMISSION STATEMENT

April 15, 2018

JAMES, M127 MAHER
3082 Quail Ridge Circle

BROKER #: M127
BRANCH: JAMES MAHER

Rochester Hills, MI 48309-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
04/02/2018	QUSSAR, JAMES	AF CONSERVATIVE GROWTH AND INCOME-5	75.00	0.75	0.00	0.75	90.0	0.68
04/05/2018	QUSSAR, JAMES	AF CONSERVATIVE GROWTH AND INCOME-5	25.00	0.25	0.00	0.25	90.0	0.23
03/29/2018	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
03/29/2018	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
04/02/2018	HICKSON, MARK	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
04/02/2018	MARKHAM, CLAIRE	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
04/02/2018	JACK, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
04/02/2018	TEREBELO, MYAH	AM FUNDS CAPITAL INCOME BUILDER 529	62.50	0.63	0.00	0.63	90.0	0.57
04/03/2018	MARKHAM, JASON	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
04/04/2018	MARKHAM, CLAIRE	AM FUNDS CAPITAL INCOME BUILDER 529	750.00	7.50	0.00	7.50	90.0	6.75
04/04/2018	MARKHAM, JASON	AM FUNDS CAPITAL INCOME BUILDER 529	750.00	7.50	0.00	7.50	90.0	6.75
04/02/2018	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	18.75	0.19	0.00	0.19	90.0	0.17
04/02/2018	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	18.75	0.19	0.00	0.19	90.0	0.17
04/02/2018	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	37.50	0.38	0.00	0.38	90.0	0.34
04/02/2018	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	18.75	0.19	0.00	0.19	90.0	0.17
04/02/2018	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	18.75	0.19	0.00	0.19	90.0	0.17
04/02/2018	HICKSON, MARK	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
04/02/2018	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	18.75	0.19	0.00	0.19	90.0	0.17
04/02/2018	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	18.75	0.19	0.00	0.19	90.0	0.17
04/02/2018	HICKSON, MARK	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
04/02/2018	MARKHAM, CLAIRE	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
04/02/2018	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	37.50	0.38	0.00	0.38	90.0	0.34
04/02/2018	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
04/02/2018	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA 529	75.00	0.75	0.00	0.75	90.0	0.68
04/02/2018	TEREBELO, MYAH	AM FUNDS GROWTH FUND OF AMERICA 529	62.50	0.63	0.00	0.63	90.0	0.57
04/03/2018	MARKHAM, JASON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: 529 PLANS								
04/04/2018	MARKHAM, CLAIRE	AM FUNDS GROWTH FUND OF AMERICA 529	750.00	7.50	0.00	7.50	90.0	6.75
04/04/2018	MARKHAM, JASON	AM FUNDS GROWTH FUND OF AMERICA 529	750.00	7.50	0.00	7.50	90.0	6.75
04/05/2018	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
04/02/2018	JACK, KELLY	AM FUNDS ICA 529C	25.00	0.25	0.00	0.25	90.0	0.23
04/02/2018	QUSSAR, JAMES	AM FUNDS ICA 529C	75.00	0.75	0.00	0.75	90.0	0.68
04/05/2018	QUSSAR, JAMES	AM FUNDS ICA 529C	25.00	0.25	0.00	0.25	90.0	0.23
04/02/2018	HICKSON, MARK	AM FUNDS INCOME FUND OF AMERICA-529	25.00	0.25	0.00	0.25	90.0	0.23
04/02/2018	JACK, KELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	25.00	0.25	0.00	0.25	90.0	0.23
04/02/2018	TEREBELO, MYAH	AM FUNDS WASHINGTON MUTUAL INVESTOR	62.50	0.63	0.00	0.63	90.0	0.57
04/02/2018	O'CONNOR, AARON	AMCAP FUND-529C	18.75	0.19	0.00	0.19	90.0	0.17
04/02/2018	O'CONNOR, AARON	AMCAP FUND-529C	18.75	0.19	0.00	0.19	90.0	0.17
04/02/2018	TEREBELO, MYAH	AMCAP FUND-529C	62.50	0.63	0.00	0.63	90.0	0.57
04/02/2018	QUSSAR, JAMES	GROWTH AND INCOME PORTFOLIO-529C	75.00	0.75	0.00	0.75	90.0	0.68
04/05/2018	QUSSAR, JAMES	GROWTH AND INCOME PORTFOLIO-529C	25.00	0.25	0.00	0.25	90.0	0.23
* 529 PLANS SUBTOTAL *			\$4,375.00	\$43.80	\$0.00	\$43.80		\$39.50
PRODUCT CATEGORY: MUTUAL FUNDS								
03/09/2018	LINDSEY, CHARLES	AM FUNDS AMCAP A	0.00	1.90	0.00	1.90	90.0	1.71
03/09/2018	PALMER, TODD	AM FUNDS AMCAP C	0.00	23.42	0.00	23.42	90.0	21.08
03/09/2018	MAHER, MAIGHDLIN	AM FUNDS AMCAP C	0.00	1.55	0.00	1.55	90.0	1.40
03/09/2018	TAYLOR, JAMIE	AM FUNDS AMERICAN BALANCED C	0.00	22.23	0.00	22.23	90.0	20.01
03/09/2018	ALLEN, MARY	AM FUNDS AMERICAN BALANCED C	0.00	9.11	0.00	9.11	90.0	8.20
03/09/2018	NUNEZ TRUST, LYNDA	AM FUNDS AMERICAN BALANCED C	0.00	39.74	0.00	39.74	90.0	35.77
03/09/2018	PALMERI, DANIEL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.36	0.00	0.36	90.0	0.32
03/09/2018	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.01	0.00	2.01	90.0	1.81
03/09/2018	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.60	0.00	2.60	90.0	2.34
03/09/2018	GILLESPIE, HEATHER	AM FUNDS BOND FUND OF AMERICA A	0.00	4.01	0.00	4.01	90.0	3.61
03/09/2018	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.83	0.00	1.83	90.0	1.65
03/09/2018	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.88	0.00	1.88	90.0	1.69
03/09/2018	BUSSELL, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.80	0.00	5.80	90.0	5.22
03/09/2018	MAHER, HENRY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	34.69	0.00	34.69	90.0	31.22
03/09/2018	FRASER, SHARON	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.73	0.00	6.73	90.0	6.06
03/09/2018	PROKOP, STANLEY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	130.33	0.00	130.33	90.0	117.30
03/09/2018	FLEURY, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	20.32	0.00	20.32	90.0	18.29

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/09/2018	KOTT, DIANE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.38	0.00	5.38	90.0	4.84
03/09/2018	TAYLOR, JAMIE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	21.05	0.00	21.05	90.0	18.95
03/09/2018	STONE, EDWARD	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.30	0.00	11.30	90.0	10.17
03/09/2018	O'CONNOR, HOLLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.00	0.00	6.00	90.0	5.40
03/09/2018	O'DONNELL, JUDITH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.28	0.00	8.28	90.0	7.45
03/09/2018	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.28	0.00	6.28	90.0	5.65
03/09/2018	HENKE, FRANK	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.59	0.00	11.59	90.0	10.43
03/09/2018	PLASTIRAS, JULIANA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	10.44	0.00	10.44	90.0	9.40
03/09/2018	MARKHAM, ELIZABETH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.75	0.00	1.75	90.0	1.58
03/09/2018	RUSSO, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.10	0.00	6.10	90.0	5.49
03/09/2018	RUSSO, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.62	0.00	11.62	90.0	10.46
03/09/2018	ALLEN, MARY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.95	0.00	8.95	90.0	8.06
03/09/2018	HEILMANN, MAUREEN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.90	0.00	8.90	90.0	8.01
03/09/2018	KESSOR, LAURA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	21.07	0.00	21.07	90.0	18.96
03/09/2018	FOSTER SP TRUST, PATRICIA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	2.42	0.00	2.42	90.0	2.18
03/09/2018	NUNEZ TRUST, LYNDIA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	22.95	0.00	22.95	90.0	20.66
03/09/2018	EBERHARD, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.72	0.00	8.72	90.0	7.85
03/09/2018	HEIDLOFF, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER C	0.00	3.28	0.00	3.28	90.0	2.95
03/09/2018	MAHER, KEIRA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.50	0.00	1.50	90.0	1.35
03/09/2018	ZANONI, CARRIE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.41	0.00	1.41	90.0	1.27
03/09/2018	QUEDNAU, KELLY	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	8.41	0.00	8.41	90.0	7.57
03/09/2018	VIAR, DAVID	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.55	0.00	0.55	90.0	0.50
03/09/2018	KORESKY, JOHN	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.19	0.00	1.19	90.0	1.07
03/09/2018	PIZZO, MICHELE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.77	0.00	1.77	90.0	1.59
03/09/2018	PIZZO, REBECCA	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.33	0.00	1.33	90.0	1.20
03/09/2018	GUMBLETON, CARRIE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	2.49	0.00	2.49	90.0	2.24
03/09/2018	BANNICK, ROBERT	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.20	0.00	1.20	90.0	1.08
03/09/2018	MARIN REV TRUST, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	2.78	0.00	2.78	90.0	2.50
03/21/2018	ZANONI, CARRIE	AM FUNDS CAPITAL INCOME BUILDER F1	100.00	20.00	0.00	20.00	90.0	18.00
03/21/2018	VIAR, DAVID	AM FUNDS CAPITAL INCOME BUILDER F1	100.00	20.00	0.00	20.00	90.0	18.00
03/09/2018	LINDSEY, CHARLES	AM FUNDS CAPITAL WORLD BOND A	0.00	1.11	0.00	1.11	90.0	1.00
03/09/2018	HOPPE, KYLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.80	0.00	1.80	90.0	1.62
03/09/2018	OLIVER, WILLIAM	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.16	0.00	0.16	90.0	0.14

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/09/2018	PALMERI, DANIEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.71	0.00	0.71	90.0	0.64
03/09/2018	QUEDNAU, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	21.53	0.00	21.53	90.0	19.38
03/09/2018	LUJAN, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	7.53	0.00	7.53	90.0	6.78
03/09/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.09	0.00	4.09	90.0	3.68
03/09/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.18	0.00	1.18	90.0	1.06
03/09/2018	MARKHAM, JASON	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	5.48	0.00	5.48	90.0	4.93
03/09/2018	MAHER, HENRY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	21.20	0.00	21.20	90.0	19.08
03/09/2018	VIAR, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	5.34	0.00	5.34	90.0	4.81
03/09/2018	LOUGHLIN, DENNIS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.11	0.00	2.11	90.0	1.90
03/09/2018	QUSSAR, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	8.13	0.00	8.13	90.0	7.32
03/09/2018	NUNEZ TRUST, LYNDA	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	56.87	0.00	56.87	90.0	51.18
03/09/2018	BANNICK, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	9.26	0.00	9.26	90.0	8.33
03/09/2018	SCHWARTZENBERGER, THOMAS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.82	0.00	4.82	90.0	4.34
03/09/2018	LINDSEY, CHARLES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.63	0.00	0.63	90.0	0.57
03/09/2018	HOPPE, KYLE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.38	0.00	1.38	90.0	1.24
03/09/2018	COLASUONNO, RALPH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	11.35	0.00	11.35	90.0	10.22
03/09/2018	PIASCIK, SHAWN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	27.84	0.00	27.84	90.0	25.06
03/09/2018	LUJAN, DEBORAH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	9.99	0.00	9.99	90.0	8.99
03/09/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.31	0.00	4.31	90.0	3.88
03/09/2018	VEIT, BEVERLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	10.38	0.00	10.38	90.0	9.34
03/09/2018	MARKHAM, JASON	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.09	0.00	7.09	90.0	6.38
03/09/2018	JAMES, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	9.52	0.00	9.52	90.0	8.57
03/09/2018	DARR, CRAIG	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	11.16	0.00	11.16	90.0	10.04
03/09/2018	PALMERI, DANIEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.77	0.00	2.77	90.0	2.49
03/09/2018	MAHER, HENRY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	33.66	0.00	33.66	90.0	30.29
03/09/2018	PAGANO, MAUREEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	9.54	0.00	9.54	90.0	8.59
03/09/2018	MAHER, OWEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.27	0.00	2.27	90.0	2.04
03/09/2018	LOUGHLIN, DENNIS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	21.07	0.00	21.07	90.0	18.96
03/09/2018	O'DONNELL, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.29	0.00	8.29	90.0	7.46
03/09/2018	O'DONNELL, SHERYL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.15	0.00	4.15	90.0	3.74
03/09/2018	HOLMES, KAYLA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.28	0.00	1.28	90.0	1.15
03/09/2018	HOLMES, JACK PATRICK	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.18	0.00	1.18	90.0	1.06
03/09/2018	QUSSAR, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	5.64	0.00	5.64	90.0	5.08

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
03/09/2018	NUNEZ, LYNDA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	22.27	0.00	22.27	90.0	20.04
03/09/2018	NUNEZ TRUST, LYNDA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	51.29	0.00	51.29	90.0	46.16
03/09/2018	EBERHARD, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.35	0.00	8.35	90.0	7.52
03/09/2018	BANNICK, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.18	0.00	8.18	90.0	7.36
03/09/2018	BANNICK, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.48	0.00	2.48	90.0	2.23
03/09/2018	BIONDO, MELISSA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.43	0.00	7.43	90.0	6.69
03/09/2018	SCHWARTZENBERGER, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.14	0.00	4.14	90.0	3.73
03/09/2018	MAHER, KEIRA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.04	0.00	1.04	90.0	0.94
03/09/2018	MAHER, MAIGHDLIN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.06	0.00	1.06	90.0	0.95
03/28/2018	BIONDO, MELISSA	AM FUNDS EUROPACIFIC GROWTH FUND C	9,470.59	20.00	0.00	20.00	90.0	18.00
03/09/2018	QUEDNAU, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	4.95	0.00	4.95	90.0	4.46
03/09/2018	VIAR, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	0.41	0.00	0.41	90.0	0.37
03/09/2018	KORESKY, JOHN	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	1.05	0.00	1.05	90.0	0.95
03/09/2018	BANNICK, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	0.97	0.00	0.97	90.0	0.87
03/09/2018	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.85	0.00	1.85	90.0	1.67
03/09/2018	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.82	0.00	1.82	90.0	1.64
03/09/2018	PROKOP, STANLEY	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	195.42	0.00	195.42	90.0	175.88
03/09/2018	HEILMANN, MAUREEN	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	21.21	0.00	21.21	90.0	19.09
03/09/2018	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.97	0.00	0.97	90.0	0.87
03/09/2018	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	13.27	0.00	13.27	90.0	11.94
03/09/2018	MAHER, KYLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.28	0.00	4.28	90.0	3.85
03/09/2018	MAHER, OWEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.03	0.00	2.03	90.0	1.83
03/09/2018	CARROLL, EILEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.58	0.00	11.58	90.0	10.42
03/09/2018	O'DONNELL, SHERYL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.62	0.00	4.62	90.0	4.16
03/09/2018	HOLMES, KAYLA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.34	0.00	6.34	90.0	5.71
03/09/2018	HOLMES, JACK PATRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.25	0.00	5.25	90.0	4.73
03/09/2018	KOTT, DIANE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	17.24	0.00	17.24	90.0	15.52
03/09/2018	COLASUONNO, RALPH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	18.37	0.00	18.37	90.0	16.53
03/09/2018	PIASCIK, SHAWN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	29.97	0.00	29.97	90.0	26.97
03/09/2018	LUJAN, DEBORAH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.91	0.00	14.91	90.0	13.42
03/09/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.63	0.00	9.63	90.0	8.67
03/09/2018	MARKHAM, JASON	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.48	0.00	11.48	90.0	10.33
03/09/2018	DARR, CRAIG	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.96	0.00	13.96	90.0	12.56

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
03/09/2018	ANTHONY, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.58	0.00	4.58	90.0	4.12
03/09/2018	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.29	0.00	4.29	90.0	3.86
03/09/2018	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	48.55	0.00	48.55	90.0	43.70
03/09/2018	GILLESPIE, HEATHER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.92	0.00	4.92	90.0	4.43
03/09/2018	KESSOR, LAURA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	25.63	0.00	25.63	90.0	23.07
03/09/2018	LOUGHLIN, DENNIS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	31.33	0.00	31.33	90.0	28.20
03/09/2018	NORRIS, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	90.16	0.00	90.16	90.0	81.14
03/09/2018	HENKE, FRANK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.61	0.00	13.61	90.0	12.25
03/09/2018	QUSSAR, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.66	0.00	9.66	90.0	8.69
03/09/2018	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.42	0.00	11.42	90.0	10.28
03/09/2018	PLASTIRAS, JULIANA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.69	0.00	13.69	90.0	12.32
03/09/2018	SCACCIA, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.80	0.00	10.80	90.0	9.72
03/09/2018	HICKSON, JORDAN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	18.64	0.00	18.64	90.0	16.78
03/09/2018	PALMER, TODD	AM FUNDS GROWTH FUND OF AMERICA C	0.00	27.52	0.00	27.52	90.0	24.77
03/09/2018	BOLUS, ELLA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	36.44	0.00	36.44	90.0	32.80
03/09/2018	HEILMANN, MAUREEN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	17.02	0.00	17.02	90.0	15.32
03/09/2018	NUNEZ TRUST, LYNDA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	107.35	0.00	107.35	90.0	96.62
03/09/2018	EBERHARD, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.09	0.00	13.09	90.0	11.78
03/09/2018	HEIDLOFF, RAYMOND	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.21	0.00	4.21	90.0	3.79
03/09/2018	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	6.79	0.00	6.79	90.0	6.11
03/09/2018	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	16.03	0.00	16.03	90.0	14.43
03/09/2018	BANNICK, ROBERT	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.93	0.00	12.93	90.0	11.64
03/09/2018	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.92	0.00	4.92	90.0	4.43
03/09/2018	QUEDNAU, KELLY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	25.69	0.00	25.69	90.0	23.12
03/09/2018	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.00	0.00	5.00	90.0	4.50
03/09/2018	CARLINO, AMY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.29	0.00	3.29	90.0	2.96
03/09/2018	FRASER, SHARON	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.07	0.00	2.07	90.0	1.86
03/09/2018	VIAR, DAVID	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.04	0.00	3.04	90.0	2.74
03/09/2018	KEYES LIV TRUST, MURIEL WATSON	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.25	0.00	4.25	90.0	3.83
03/09/2018	PIZZO, MICHELE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.97	0.00	0.97	90.0	0.87
03/09/2018	PIZZO, FRANCESCO	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.17	0.00	0.17	90.0	0.15
03/09/2018	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.87	0.00	1.87	90.0	1.68
03/09/2018	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.38	0.00	2.38	90.0	2.14

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/09/2018	KNOPF, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.49	0.00	1.49	90.0	1.34
03/09/2018	GUMBLETON, CARRIE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.15	0.00	5.15	90.0	4.64
03/09/2018	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.92	0.00	1.92	90.0	1.73
03/09/2018	MARIN REV TRUST, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.49	0.00	4.49	90.0	4.04
03/21/2018	FRASER, SHARON	AM FUNDS GROWTH FUND OF AMERICA F1	4,000.00	20.00	0.00	20.00	90.0	18.00
03/09/2018	LINDSEY, CHARLES	AM FUNDS ICA A	0.00	0.84	0.00	0.84	90.0	0.76
03/09/2018	CARROLL, EILEEN	AM FUNDS ICA A	0.00	11.10	0.00	11.10	90.0	9.99
03/09/2018	HOPPE, KYLE	AM FUNDS ICA A	0.00	1.37	0.00	1.37	90.0	1.23
03/09/2018	GILLESPIE, HEATHER	AM FUNDS INCOME FUND OF AMERICA A	0.00	8.61	0.00	8.61	90.0	7.75
03/09/2018	STONE, JANE	AM FUNDS INCOME FUND OF AMERICA A	0.00	6.77	0.00	6.77	90.0	6.09
03/09/2018	HOPPE, KYLE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.41	0.00	1.41	90.0	1.27
03/09/2018	DAVIS, ALTON	AM FUNDS INCOME FUND OF AMERICA C	0.00	18.53	0.00	18.53	90.0	16.68
03/09/2018	PIASCIK, SHAWN	AM FUNDS INCOME FUND OF AMERICA C	0.00	37.05	0.00	37.05	90.0	33.35
03/09/2018	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	37.46	0.00	37.46	90.0	33.71
03/09/2018	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	20.90	0.00	20.90	90.0	18.81
03/09/2018	MAHER TRUST, MARY	AM FUNDS INCOME FUND OF AMERICA C	0.00	9.83	0.00	9.83	90.0	8.85
03/09/2018	PROKOP, STANLEY	AM FUNDS INCOME FUND OF AMERICA C	0.00	130.70	0.00	130.70	90.0	117.63
03/09/2018	BELONGIE, GINGER	AM FUNDS INCOME FUND OF AMERICA C	0.00	15.63	0.00	15.63	90.0	14.07
03/09/2018	HEILMANN, MAUREEN	AM FUNDS INCOME FUND OF AMERICA C	0.00	13.46	0.00	13.46	90.0	12.11
03/09/2018	NUNEZ TRUST, LYNDIA	AM FUNDS INCOME FUND OF AMERICA C	0.00	83.73	0.00	83.73	90.0	75.36
03/09/2018	EBERHARD, DAVID	AM FUNDS INCOME FUND OF AMERICA C	0.00	7.74	0.00	7.74	90.0	6.97
03/09/2018	WOLLACK TRUST, ROBERT	AM FUNDS INCOME FUND OF AMERICA C	0.00	5.69	0.00	5.69	90.0	5.12
03/09/2018	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA C	0.00	1.55	0.00	1.55	90.0	1.40
03/27/2018	MARTIN, JACOB	AM FUNDS INCOME FUND OF AMERICA C	25,000.00	270.00	0.00	270.00	90.0	243.00
03/09/2018	QUEDNAU, KELLY	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	6.62	0.00	6.62	90.0	5.96
03/09/2018	GUMBLETON, CARRIE	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	3.36	0.00	3.36	90.0	3.02
03/09/2018	KNOPF, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.10	0.00	0.10	90.0	0.09
03/09/2018	BANNICK, ROBERT	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.93	0.00	0.93	90.0	0.84
03/09/2018	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.68	0.00	0.68	90.0	0.61
03/21/2018	KNOPF, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA F-1	100.00	20.00	0.00	20.00	90.0	18.00
03/09/2018	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.23	0.00	0.23	90.0	0.21
03/09/2018	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.14	0.00	1.14	90.0	1.03
03/09/2018	MAHER, HENRY	AM FUNDS NEW ECONOMY C	0.00	31.89	0.00	31.89	90.0	28.70

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
03/09/2018	GILLESPIE, HEATHER	AM FUNDS NEW PERSPECTIVE A	0.00	5.95	0.00	5.95	90.0	5.36
03/09/2018	HICKSON, ANDREW	AM FUNDS NEW WORLD C	0.00	5.17	0.00	5.17	90.0	4.65
03/09/2018	MAHER, HENRY	AM FUNDS SMALLCAP WORLD C	0.00	16.68	0.00	16.68	90.0	15.01
03/09/2018	HOLMES, KAYLA	AM FUNDS SMALLCAP WORLD C	0.00	1.56	0.00	1.56	90.0	1.40
03/09/2018	HOLMES, JACK PATRICK	AM FUNDS SMALLCAP WORLD C	0.00	1.50	0.00	1.50	90.0	1.35
03/09/2018	HICKSON, ANDREW	AM FUNDS SMALLCAP WORLD C	0.00	3.44	0.00	3.44	90.0	3.10
03/09/2018	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.50	0.00	2.50	90.0	2.25
03/09/2018	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.14	0.00	1.14	90.0	1.03
03/09/2018	MAHER, HENRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	36.78	0.00	36.78	90.0	33.10
03/21/2018	RODDY, CHRISTINE	AMERICAN CENTURY ULTRA FUND INVESTO	100.00	20.00	23.00	-3.00	90.0	-2.70
03/09/2018	VIAR, DAVID	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.46	0.00	2.46	90.0	2.21
03/09/2018	PIZZO, SALVATORE	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	0.79	0.00	0.79	90.0	0.71
03/09/2018	BANNICK, ROBERT	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	0.87	0.00	0.87	90.0	0.78
03/21/2018	SCHMID JR TRUST, EDWARD	ASPIRE US GLOBAL INV GOLD & PREC ME	100.00	20.00	23.00	-3.00	90.0	-2.70
03/09/2018	MAHER, KYLE	BLK BASIC VALUE	0.00	1.62	0.00	1.62	90.0	1.46
03/09/2018	HOLMES, KAYLA	BLK BASIC VALUE	0.00	0.91	0.00	0.91	90.0	0.82
03/09/2018	MAHER, HENRY	BLK GLOBAL ALLOCATION A	0.00	11.41	0.00	11.41	90.0	10.27
03/08/2018	MAHER, HENRY	CATALYST MAP GLOBAL CAP APP	0.00	38.44	0.00	38.44	90.0	34.60
03/08/2018	MARSACK, KAREN	CATALYST MAP GLOBAL CAP APP	0.00	18.35	0.00	18.35	90.0	16.52
03/20/2018	MARSACK, KAREN	CATALYST MAP GLOBAL CAP APP	24,183.42	20.00	23.00	-3.00	90.0	-2.70
03/09/2018	HICKSON, ANDREW	COLUMBIA BALANCED FUND CLASS C	0.00	14.58	0.00	14.58	90.0	13.12
03/09/2018	LUJAN-LABADIE, JENNIFER	COLUMBIA FDS SER TR II MASS SELECT	0.00	7.64	0.00	7.64	90.0	6.88
03/09/2018	JAMES, THOMAS	COLUMBIA FDS SER TR II MASS SELECT	0.00	10.59	0.00	10.59	90.0	9.53
03/09/2018	MAHER, HENRY	COLUMBIA FDS SER TR II MASS SELECT	0.00	42.98	0.00	42.98	90.0	38.68
03/09/2018	PAGANO, MAUREEN	COLUMBIA FDS SER TR II MASS SELECT	0.00	7.95	0.00	7.95	90.0	7.16
03/09/2018	KARAS, MICHAEL	COLUMBIA FDS SER TR II MASS SELECT	0.00	5.08	0.00	5.08	90.0	4.57
03/09/2018	JAMES, THOMAS	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	7.91	0.00	7.91	90.0	7.12
03/09/2018	DARR, CRAIG	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	8.92	0.00	8.92	90.0	8.03
03/09/2018	PAGANO, MAUREEN	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	5.89	0.00	5.89	90.0	5.30
03/09/2018	NUNEZ TRUST, LYNDIA	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	18.74	0.00	18.74	90.0	16.87
03/09/2018	NUNEZ TRUST, LYNDIA	COLUMBIA SER TR II MASS	0.00	22.30	0.00	22.30	90.0	20.07
03/19/2018	MOTOR CITY COMPUTER	COLUMBIA SMALLCAP CORE FUND A	15.00	0.15	0.00	0.15	90.0	0.14
03/09/2018	MAHER, HENRY	DAVIS FINANCIAL A	0.00	7.83	0.00	7.83	90.0	7.05

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/09/2018	MAHER, HENRY	DELAWARE CORPORATE BOND A	0.00	10.25	0.00	10.25	90.0	9.23
03/26/2018	NUNEZ TRUST, LYNDIA	DEUTSCHE CORE EQUITY FUND CLASS C	25,000.00	270.00	0.00	270.00	90.0	243.00
03/27/2018	MARTIN, JACOB	DEUTSCHE CORE EQUITY FUND CLASS C	25,000.00	270.00	0.00	270.00	90.0	243.00
03/26/2018	NUNEZ TRUST, LYNDIA	DWS DEUTSCHE GLOBAL HIGH INC FUND C	25,000.00	270.00	0.00	270.00	90.0	243.00
03/27/2018	MARTIN, JACOB	DWS DEUTSCHE GLOBAL HIGH INC FUND C	50,000.00	520.00	0.00	520.00	90.0	468.00
03/09/2018	MAHER, HENRY	FEDERATED WORLD INVT SER INC INTL B	0.00	5.03	0.00	5.03	90.0	4.53
03/09/2018	MAHER, HENRY	FIRST EAGLE GLOBAL C	0.00	81.82	0.00	81.82	90.0	73.64
03/09/2018	JACK, STANLEY	FRANKLIN CORFOLIO	0.00	0.22	0.00	0.22	90.0	0.20
03/09/2018	BUSSELL, JEFFREY	FRANKLIN GOLD & PRECIOUS METALS C	0.00	1.34	0.00	1.34	90.0	1.21
03/09/2018	KEYES LIV TRUST, MURIEL WATSON	FRANKLIN GOLD & PRECIOUS METALS C	0.00	2.99	0.00	2.99	90.0	2.69
03/09/2018	QUSSAR, JAMES	FRANKLIN GOLD & PRECIOUS METALS C	0.00	1.86	0.00	1.86	90.0	1.67
03/09/2018	CANDELLA, TODD	FRANKLIN GROWTH A	0.00	0.53	0.00	0.53	90.0	0.48
03/09/2018	EBERHARD, KARIN	FRANKLIN GROWTH C	0.00	0.51	0.00	0.51	90.0	0.46
03/09/2018	MAHER, HENRY	FRANKLIN HIGH INCOME C	0.00	15.52	0.00	15.52	90.0	13.97
03/09/2018	HOLMES, KAYLA	FRANKLIN HIGH INCOME C	0.00	0.62	0.00	0.62	90.0	0.56
03/09/2018	HOLMES, JACK PATRICK	FRANKLIN HIGH INCOME C	0.00	0.78	0.00	0.78	90.0	0.70
03/09/2018	COLASUONNO, RALPH	FRANKLIN INCOME C	0.00	8.87	0.00	8.87	90.0	7.98
03/09/2018	PIASCIK, SHAWN	FRANKLIN INCOME C	0.00	5.11	0.00	5.11	90.0	4.60
03/09/2018	MAES, DEBRA	FRANKLIN INCOME C	0.00	7.33	0.00	7.33	90.0	6.60
03/09/2018	LUJAN, DEBORAH	FRANKLIN INCOME C	0.00	6.29	0.00	6.29	90.0	5.66
03/09/2018	MARKHAM, JASON	FRANKLIN INCOME C	0.00	4.15	0.00	4.15	90.0	3.74
03/09/2018	JAMES, THOMAS	FRANKLIN INCOME C	0.00	5.70	0.00	5.70	90.0	5.13
03/09/2018	DILAY, DENISE	FRANKLIN INCOME C	0.00	7.04	0.00	7.04	90.0	6.34
03/09/2018	DARR, CRAIG	FRANKLIN INCOME C	0.00	6.40	0.00	6.40	90.0	5.76
03/09/2018	PALMERI, PAUL	FRANKLIN INCOME C	0.00	9.89	0.00	9.89	90.0	8.90
03/09/2018	MAHER, HENRY	FRANKLIN INCOME C	0.00	12.59	0.00	12.59	90.0	11.33
03/09/2018	GILLESPIE, HEATHER	FRANKLIN INCOME C	0.00	1.60	0.00	1.60	90.0	1.44
03/09/2018	PAGANO, MAUREEN	FRANKLIN INCOME C	0.00	4.25	0.00	4.25	90.0	3.83
03/09/2018	PROKOP, STANLEY	FRANKLIN INCOME C	0.00	93.17	0.00	93.17	90.0	83.85
03/09/2018	KOTT, DIANE	FRANKLIN INCOME C	0.00	6.58	0.00	6.58	90.0	5.92
03/09/2018	PALMERI, BEVERLY	FRANKLIN INCOME C	0.00	6.86	0.00	6.86	90.0	6.17
03/09/2018	JACK, STANLEY	FRANKLIN INCOME C	0.00	5.97	0.00	5.97	90.0	5.37
03/09/2018	MARSHALL, RONALD	FRANKLIN INCOME C	0.00	11.79	0.00	11.79	90.0	10.61

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/09/2018	TAYLOR, JAMIE	FRANKLIN INCOME C	0.00	13.79	0.00	13.79	90.0	12.41
03/09/2018	LOUGHLIN, DENNIS	FRANKLIN INCOME C	0.00	6.41	0.00	6.41	90.0	5.77
03/09/2018	BELONGIE, GINGER	FRANKLIN INCOME C	0.00	5.56	0.00	5.56	90.0	5.00
03/09/2018	STONE, EDWARD	FRANKLIN INCOME C	0.00	6.89	0.00	6.89	90.0	6.20
03/09/2018	O'CONNOR, HOLLY	FRANKLIN INCOME C	0.00	3.06	0.00	3.06	90.0	2.75
03/09/2018	O'DONNELL, JUDITH	FRANKLIN INCOME C	0.00	5.27	0.00	5.27	90.0	4.74
03/09/2018	KEYES LIV TRUST, MURIEL WATSON	FRANKLIN INCOME C	0.00	7.90	0.00	7.90	90.0	7.11
03/09/2018	SCACCIA, KELLY	FRANKLIN INCOME C	0.00	2.82	0.00	2.82	90.0	2.54
03/09/2018	QUSSAR, JAMES	FRANKLIN INCOME C	0.00	4.67	0.00	4.67	90.0	4.20
03/09/2018	HOPPE, KYLE	FRANKLIN INCOME C	0.00	7.45	0.00	7.45	90.0	6.71
03/09/2018	BJERK JR, JOHN	FRANKLIN INCOME C	0.00	5.63	0.00	5.63	90.0	5.07
03/09/2018	MAES, DEBRA	FRANKLIN INCOME C	0.00	9.84	0.00	9.84	90.0	8.86
03/09/2018	HICKSON, JORDAN	FRANKLIN INCOME C	0.00	9.06	0.00	9.06	90.0	8.15
03/09/2018	PALMER, TODD	FRANKLIN INCOME C	0.00	14.96	0.00	14.96	90.0	13.46
03/09/2018	WHEELER, WILLARD	FRANKLIN INCOME C	0.00	17.50	0.00	17.50	90.0	15.75
03/09/2018	ALLEN, MARY	FRANKLIN INCOME C	0.00	5.87	0.00	5.87	90.0	5.28
03/09/2018	NUNEZ TRUST, LYNDA	FRANKLIN INCOME C	0.00	68.42	0.00	68.42	90.0	61.58
03/09/2018	EBERHARD, DAVID	FRANKLIN INCOME C	0.00	4.47	0.00	4.47	90.0	4.02
03/09/2018	FAIRLEY, JAMES	FRANKLIN INCOME C	0.00	6.76	0.00	6.76	90.0	6.08
03/09/2018	GUMBLETON, CARRIE	FRANKLIN INCOME C	0.00	8.30	0.00	8.30	90.0	7.47
03/09/2018	BANNICK, ROBERT	FRANKLIN INCOME C	0.00	2.01	0.00	2.01	90.0	1.81
03/09/2018	SCHWARTZENBERGER, THOMAS	FRANKLIN INCOME C	0.00	0.90	0.00	0.90	90.0	0.81
03/09/2018	MARIN REV TRUST, NICHOLAS	FRANKLIN INCOME C	0.00	11.40	0.00	11.40	90.0	10.26
03/09/2018	PIZZO, REBECCA	FRANKLIN INCOME C	0.00	2.73	0.00	2.73	90.0	2.46
03/21/2018	MAES, DEBRA	FRANKLIN INCOME C	100.00	20.00	0.00	20.00	90.0	18.00
03/21/2018	MAES, DEBRA	FRANKLIN INCOME C	100.00	20.00	0.00	20.00	90.0	18.00
03/27/2018	MARTIN, JACOB	FRANKLIN INCOME C	25,000.00	270.00	0.00	270.00	90.0	243.00
03/09/2018	NORRIS, CHRISTOPHER	FRANKLIN MI TAX FR INCOME C	0.00	29.88	0.00	29.88	90.0	26.89
03/26/2018	DE FIORE, LAWRENCE	FRANKLIN MUTUAL GLOBAL DISCOVERY A	78.26	2.19	0.00	2.19	90.0	1.97
03/26/2018	DE FIORE, LAWRENCE	FRANKLIN MUTUAL GLOBAL DISCOVERY A	12.46	0.35	0.00	0.35	90.0	0.32
03/09/2018	NORRIS, CHRISTOPHER	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	27.50	0.00	27.50	90.0	24.75
03/09/2018	EBERHARD, DAVID	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	9.90	0.00	9.90	90.0	8.91
03/09/2018	COLASUONNO, RALPH	FRANKLIN NATURAL RESOURCES C	0.00	5.08	0.00	5.08	90.0	4.57

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/09/2018	MARKHAM, JASON	FRANKLIN NATURAL RESOURCES C	0.00	3.83	0.00	3.83	90.0	3.45
03/09/2018	PALMERI, DANIEL	FRANKLIN NATURAL RESOURCES C	0.00	1.12	0.00	1.12	90.0	1.01
03/09/2018	GUMBLETON, CARRIE	FRANKLIN NATURAL RESOURCES C	0.00	5.12	0.00	5.12	90.0	4.61
03/09/2018	MARINI, ZAREFA	FRANKLIN RISING DIVIDENDS A	0.00	0.27	0.00	0.27	90.0	0.24
03/09/2018	HEILMANN, MAUREEN	FRANKLIN RISING DIVIDENDS A	0.00	1.34	0.00	1.34	90.0	1.21
03/09/2018	MAHER, KEIRA	FRANKLIN RISING DIVIDENDS A	0.00	1.40	0.00	1.40	90.0	1.26
03/09/2018	MAHER, MAIGHDLIN	FRANKLIN RISING DIVIDENDS A	0.00	2.34	0.00	2.34	90.0	2.11
03/09/2018	DAVIS, ALTON	FRANKLIN RISING DIVIDENDS C	0.00	20.34	0.00	20.34	90.0	18.31
03/09/2018	COLASUONNO, RALPH	FRANKLIN RISING DIVIDENDS C	0.00	23.96	0.00	23.96	90.0	21.56
03/09/2018	MAES, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	14.24	0.00	14.24	90.0	12.82
03/09/2018	LUJAN, DEBORAH	FRANKLIN RISING DIVIDENDS C	0.00	22.39	0.00	22.39	90.0	20.15
03/09/2018	LUJAN-LABADIE, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	7.22	0.00	7.22	90.0	6.50
03/09/2018	LUJAN-LABADIE, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	28.02	0.00	28.02	90.0	25.22
03/09/2018	ANTHONY, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	8.98	0.00	8.98	90.0	8.08
03/09/2018	MARKHAM, JASON	FRANKLIN RISING DIVIDENDS C	0.00	13.68	0.00	13.68	90.0	12.31
03/09/2018	JAMES, THOMAS	FRANKLIN RISING DIVIDENDS C	0.00	10.91	0.00	10.91	90.0	9.82
03/09/2018	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	6.87	0.00	6.87	90.0	6.18
03/09/2018	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	11.46	0.00	11.46	90.0	10.31
03/09/2018	MCCRACKEN, ROBERT	FRANKLIN RISING DIVIDENDS C	0.00	9.31	0.00	9.31	90.0	8.38
03/09/2018	DILAY, DENISE	FRANKLIN RISING DIVIDENDS C	0.00	13.81	0.00	13.81	90.0	12.43
03/09/2018	LINDSEY, BARBARA	FRANKLIN RISING DIVIDENDS C	0.00	11.13	0.00	11.13	90.0	10.02
03/09/2018	CORNWELL, VICTORIA	FRANKLIN RISING DIVIDENDS C	0.00	10.65	0.00	10.65	90.0	9.59
03/09/2018	DARR, CRAIG	FRANKLIN RISING DIVIDENDS C	0.00	14.88	0.00	14.88	90.0	13.39
03/09/2018	ANTHONY, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	11.06	0.00	11.06	90.0	9.95
03/09/2018	BUREK, CANDACE	FRANKLIN RISING DIVIDENDS C	0.00	6.60	0.00	6.60	90.0	5.94
03/09/2018	FLEURY, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	2.93	0.00	2.93	90.0	2.64
03/09/2018	ZETTEL, ANTHONY	FRANKLIN RISING DIVIDENDS C	0.00	0.57	0.00	0.57	90.0	0.51
03/09/2018	EBERHARD, KARIN	FRANKLIN RISING DIVIDENDS C	0.00	0.48	0.00	0.48	90.0	0.43
03/09/2018	PALMERI, DANIEL	FRANKLIN RISING DIVIDENDS C	0.00	1.47	0.00	1.47	90.0	1.32
03/09/2018	BUSSELL, JEFFREY	FRANKLIN RISING DIVIDENDS C	0.00	2.28	0.00	2.28	90.0	2.05
03/09/2018	PALMERI, PAUL	FRANKLIN RISING DIVIDENDS C	0.00	9.81	0.00	9.81	90.0	8.83
03/09/2018	MAHER, HENRY	FRANKLIN RISING DIVIDENDS C	0.00	8.18	0.00	8.18	90.0	7.36
03/09/2018	MAHER, HENRY	FRANKLIN RISING DIVIDENDS C	0.00	57.38	0.00	57.38	90.0	51.64

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/09/2018	JACK, KELLY	FRANKLIN RISING DIVIDENDS C	0.00	8.36	0.00	8.36	90.0	7.52
03/09/2018	FRASER, SHARON	FRANKLIN RISING DIVIDENDS C	0.00	23.41	0.00	23.41	90.0	21.07
03/09/2018	MAHER TRUST, MARY	FRANKLIN RISING DIVIDENDS C	0.00	28.28	0.00	28.28	90.0	25.45
03/09/2018	PAGANO, MAUREEN	FRANKLIN RISING DIVIDENDS C	0.00	8.13	0.00	8.13	90.0	7.32
03/09/2018	MAHER, OWEN	FRANKLIN RISING DIVIDENDS C	0.00	5.27	0.00	5.27	90.0	4.74
03/09/2018	OLIVER, WILLIAM	FRANKLIN RISING DIVIDENDS C	0.00	0.35	0.00	0.35	90.0	0.32
03/09/2018	POUPORE, JOHN	FRANKLIN RISING DIVIDENDS C	0.00	18.42	0.00	18.42	90.0	16.58
03/09/2018	PALMER, TODD	FRANKLIN RISING DIVIDENDS C	0.00	13.24	0.00	13.24	90.0	11.92
03/09/2018	FLEURY, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	21.42	0.00	21.42	90.0	19.28
03/09/2018	SCHOOLEY, MEGHAN	FRANKLIN RISING DIVIDENDS C	0.00	0.24	0.00	0.24	90.0	0.22
03/09/2018	DE FIORE, LAWRENCE	FRANKLIN RISING DIVIDENDS C	0.00	0.64	0.00	0.64	90.0	0.58
03/09/2018	KOTT, DIANE	FRANKLIN RISING DIVIDENDS C	0.00	23.37	0.00	23.37	90.0	21.03
03/09/2018	KOTT, DIANE	FRANKLIN RISING DIVIDENDS C	0.00	6.62	0.00	6.62	90.0	5.96
03/09/2018	PALMERI, SAMUEL	FRANKLIN RISING DIVIDENDS C	0.00	14.78	0.00	14.78	90.0	13.30
03/09/2018	PALMERI, BEVERLY	FRANKLIN RISING DIVIDENDS C	0.00	16.15	0.00	16.15	90.0	14.54
03/09/2018	JACK, STANLEY	FRANKLIN RISING DIVIDENDS C	0.00	0.39	0.00	0.39	90.0	0.35
03/09/2018	TAYLOR, JAMIE	FRANKLIN RISING DIVIDENDS C	0.00	24.81	0.00	24.81	90.0	22.33
03/09/2018	TAYLOR, JAMIE	FRANKLIN RISING DIVIDENDS C	0.00	0.99	0.00	0.99	90.0	0.89
03/09/2018	LOUGHLIN, DENNIS	FRANKLIN RISING DIVIDENDS C	0.00	21.80	0.00	21.80	90.0	19.62
03/09/2018	STONE, JANE	FRANKLIN RISING DIVIDENDS C	0.00	6.42	0.00	6.42	90.0	5.78
03/09/2018	STONE, EDWARD	FRANKLIN RISING DIVIDENDS C	0.00	12.41	0.00	12.41	90.0	11.17
03/09/2018	STONE, EDWARD	FRANKLIN RISING DIVIDENDS C	0.00	6.18	0.00	6.18	90.0	5.56
03/09/2018	O'CONNOR, HOLLY	FRANKLIN RISING DIVIDENDS C	0.00	7.06	0.00	7.06	90.0	6.35
03/09/2018	O'DONNELL, JUDITH	FRANKLIN RISING DIVIDENDS C	0.00	7.88	0.00	7.88	90.0	7.09
03/09/2018	SCACCIA, KELLY	FRANKLIN RISING DIVIDENDS C	0.00	12.80	0.00	12.80	90.0	11.52
03/09/2018	HENKE, FRANK	FRANKLIN RISING DIVIDENDS C	0.00	13.76	0.00	13.76	90.0	12.38
03/09/2018	HOLMES, JACK PATRICK	FRANKLIN RISING DIVIDENDS C	0.00	1.80	0.00	1.80	90.0	1.62
03/09/2018	QUSSAR, JAMES	FRANKLIN RISING DIVIDENDS C	0.00	4.18	0.00	4.18	90.0	3.76
03/09/2018	STOKES, RICHARD	FRANKLIN RISING DIVIDENDS C	0.00	4.21	0.00	4.21	90.0	3.79
03/09/2018	HOPPE, KYLE	FRANKLIN RISING DIVIDENDS C	0.00	14.26	0.00	14.26	90.0	12.83
03/09/2018	BJERK JR, JOHN	FRANKLIN RISING DIVIDENDS C	0.00	9.96	0.00	9.96	90.0	8.96
03/09/2018	PLASTIRAS, JULIANA	FRANKLIN RISING DIVIDENDS C	0.00	12.45	0.00	12.45	90.0	11.21
03/09/2018	MARKHAM, ELIZABETH	FRANKLIN RISING DIVIDENDS C	0.00	5.38	0.00	5.38	90.0	4.84

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/09/2018	BALDWIN, JASON	FRANKLIN RISING DIVIDENDS C	0.00	7.81	0.00	7.81	90.0	7.03
03/09/2018	HICKSON, MARK	FRANKLIN RISING DIVIDENDS C	0.00	31.94	0.00	31.94	90.0	28.75
03/09/2018	BUREK, ROSANNE	FRANKLIN RISING DIVIDENDS C	0.00	20.67	0.00	20.67	90.0	18.60
03/09/2018	PALMER, ROBERT	FRANKLIN RISING DIVIDENDS C	0.00	10.30	0.00	10.30	90.0	9.27
03/09/2018	PALMER, TODD	FRANKLIN RISING DIVIDENDS C	0.00	44.50	0.00	44.50	90.0	40.05
03/09/2018	WHEELER, WILLARD	FRANKLIN RISING DIVIDENDS C	0.00	24.39	0.00	24.39	90.0	21.95
03/09/2018	RUSSO, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	13.67	0.00	13.67	90.0	12.30
03/09/2018	BOLUS, ELLA	FRANKLIN RISING DIVIDENDS C	0.00	34.75	0.00	34.75	90.0	31.28
03/09/2018	RUSSO, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	13.46	0.00	13.46	90.0	12.11
03/09/2018	ALLEN, MARY	FRANKLIN RISING DIVIDENDS C	0.00	11.12	0.00	11.12	90.0	10.01
03/09/2018	KESSOR, LAURA	FRANKLIN RISING DIVIDENDS C	0.00	23.09	0.00	23.09	90.0	20.78
03/09/2018	PIZZO, PIETRO	FRANKLIN RISING DIVIDENDS C	0.00	2.69	0.00	2.69	90.0	2.42
03/09/2018	PIZZO, REBECCA	FRANKLIN RISING DIVIDENDS C	0.00	7.26	0.00	7.26	90.0	6.53
03/09/2018	PIZZO, SALVATORE	FRANKLIN RISING DIVIDENDS C	0.00	4.98	0.00	4.98	90.0	4.48
03/09/2018	PIZZO, SALVATORE	FRANKLIN RISING DIVIDENDS C	0.00	2.38	0.00	2.38	90.0	2.14
03/09/2018	FOSTER SP TRUST, PATRICIA	FRANKLIN RISING DIVIDENDS C	0.00	17.78	0.00	17.78	90.0	16.00
03/09/2018	KNOPF, KATHRYN	FRANKLIN RISING DIVIDENDS C	0.00	4.59	0.00	4.59	90.0	4.13
03/09/2018	NUNEZ TRUST, LYNDA	FRANKLIN RISING DIVIDENDS C	0.00	88.76	0.00	88.76	90.0	79.88
03/09/2018	EBERHARD, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	6.58	0.00	6.58	90.0	5.92
03/09/2018	WOLLACK TRUST, ROBERT	FRANKLIN RISING DIVIDENDS C	0.00	6.12	0.00	6.12	90.0	5.51
03/09/2018	BEDARD, MARY	FRANKLIN RISING DIVIDENDS C	0.00	10.40	0.00	10.40	90.0	9.36
03/09/2018	MARKHAM, JASON	FRANKLIN RISING DIVIDENDS C	0.00	0.09	0.00	0.09	90.0	0.08
03/09/2018	HEIDLOFF, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	4.27	0.00	4.27	90.0	3.84
03/09/2018	HICKSON, ANDREW	FRANKLIN RISING DIVIDENDS C	0.00	16.63	0.00	16.63	90.0	14.97
03/09/2018	HICKSON, ANDREW	FRANKLIN RISING DIVIDENDS C	0.00	25.24	0.00	25.24	90.0	22.72
03/09/2018	SCHWARTZENBERGER, THOMAS	FRANKLIN RISING DIVIDENDS C	0.00	15.08	0.00	15.08	90.0	13.57
03/09/2018	MARIN REV TRUST, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	55.93	0.00	55.93	90.0	50.34
03/21/2018	FOSTER SP TRUST, PATRICIA	FRANKLIN RISING DIVIDENDS C	13,100.00	20.00	0.00	20.00	90.0	18.00
03/21/2018	PLASTIRAS, JULIANA	FRANKLIN RISING DIVIDENDS C	100.00	20.00	0.00	20.00	90.0	18.00
03/21/2018	RUSSO, DAVID	FRANKLIN RISING DIVIDENDS C	1,700.00	20.00	0.00	20.00	90.0	18.00
03/22/2018	MYERS, JOHN	FRANKLIN RISING DIVIDENDS C	24,900.00	269.00	0.00	269.00	90.0	242.10
03/27/2018	MARTIN, JACOB	FRANKLIN RISING DIVIDENDS C	25,000.00	270.00	0.00	270.00	90.0	243.00
03/09/2018	PAGANO, MAUREEN	FRANKLIN SMALL CAP VALUE C	0.00	4.84	0.00	4.84	90.0	4.36

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/09/2018	PALMER, TODD	FRANKLIN SMALL CAP VALUE C	0.00	16.95	0.00	16.95	90.0	15.26
03/09/2018	PROKOP, STANLEY	FRANKLIN STRATEGIC INCOME C	0.00	71.75	0.00	71.75	90.0	64.58
03/09/2018	O'CONNOR, AARON	FRANKLIN UTILITIES C	0.00	3.36	0.00	3.36	90.0	3.02
03/09/2018	MAHER, HENRY	FRANKLIN UTILITIES C	0.00	18.94	0.00	18.94	90.0	17.05
03/09/2018	PAGANO, MAUREEN	FRANKLIN UTILITIES C	0.00	3.27	0.00	3.27	90.0	2.94
03/09/2018	DE FIORE, LAWRENCE	FT EQUITY INC CL C	0.00	0.51	0.00	0.51	90.0	0.46
03/09/2018	ZANONI, CARRIE	FT FOUNDING FDS ALLOC C	0.00	5.19	0.00	5.19	90.0	4.67
03/09/2018	GLINES, DAVID	FT FOUNDING FDS ALLOC C	0.00	2.77	0.00	2.77	90.0	2.49
03/09/2018	GLINES, WILBERT	FT FOUNDING FDS ALLOC C	0.00	7.83	0.00	7.83	90.0	7.05
03/21/2018	GLINES, WILBERT	FT FOUNDING FDS ALLOC C	100.00	20.00	0.00	20.00	90.0	18.00
03/26/2018	DE FIORE, LAWRENCE	FT FOUNDING FUNDS ALLOC A	365.20	10.23	0.00	10.23	90.0	9.21
03/26/2018	DE FIORE, LAWRENCE	FT FOUNDING FUNDS ALLOC A	58.16	1.63	0.00	1.63	90.0	1.47
03/26/2018	DE FIORE, LAWRENCE	FT MUTUAL BEACON FUND A	12.46	0.35	0.00	0.35	90.0	0.32
03/26/2018	DE FIORE, LAWRENCE	FT MUTUAL BEACON FUND A	78.26	2.19	0.00	2.19	90.0	1.97
03/09/2018	MAHER, HENRY	IVY ASSET STRATEGY FUND CLASS A	0.00	6.64	0.00	6.64	90.0	5.98
03/09/2018	HOLMES, KAYLA	IVY ASSET STRATEGY FUND CLASS A	0.00	0.29	0.00	0.29	90.0	0.26
03/09/2018	HOLMES, JACK PATRICK	IVY ASSET STRATEGY FUND CLASS A	0.00	0.33	0.00	0.33	90.0	0.30
03/09/2018	MAHER, MAIGHDLIN	IVY ASSET STRATEGY FUND CLASS A	0.00	0.28	0.00	0.28	90.0	0.25
03/09/2018	MAHER, OWEN	LORD ABBETT AFFILIATED A	0.00	1.36	0.00	1.36	90.0	1.22
03/09/2018	KORESKY, JOHN	LORD ABBETT EQUITY TR CALIBRTD LARG	0.00	26.37	0.00	26.37	90.0	23.73
03/09/2018	CANDELLA, TODD	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.69	0.00	0.69	90.0	0.62
03/09/2018	HOLMES, KAYLA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	1.32	0.00	1.32	90.0	1.19
03/09/2018	NORRIS, CHRISTOPHER	LORD ABBETT INCOME FUND CL C	0.00	20.28	0.00	20.28	90.0	18.25
03/09/2018	BUSSELL, JEFFREY	LORD ABBETT SECS TR VALUE OPPTYS FD	0.00	6.05	0.00	6.05	90.0	5.45
03/09/2018	MARIN REV TRUST, NICHOLAS	LORD ABBETT SECS TR VALUE OPPTYS FD	0.00	21.19	0.00	21.19	90.0	19.07
03/09/2018	MAHER, HENRY	MAINSTAY MAP A	0.00	12.99	0.00	12.99	90.0	11.69
03/09/2018	HOLMES, JACK PATRICK	MFS RESEARCH INTL A	0.00	0.32	0.00	0.32	90.0	0.29
03/09/2018	HOLMES, JACK PATRICK	MFS SER TR I RESEARCH INTL FD CL B	0.00	0.22	0.00	0.22	90.0	0.20
03/09/2018	HOLMES, JACK PATRICK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.39	0.00	0.39	90.0	0.35
03/09/2018	BUREK, ROSANNE	OPPENHEIMER ROCHESTER NATIONAL MUNI	0.00	1.68	0.00	1.68	90.0	1.51
03/21/2018	BUREK, ROSANNE	OPPENHEIMER ROCHESTER NATIONAL MUNI	100.00	20.00	0.00	20.00	90.0	18.00
03/19/2018	MOTOR CITY COMPUTER	PIONEER BOND FUND A	94.58	0.95	0.00	0.95	90.0	0.86
03/09/2018	MAHER, HENRY	PIONEER MID CAP VALUE A	0.00	7.75	0.00	7.75	90.0	6.98

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/09/2018	CANDELLA, TODD	PUTNAM MULTI CAP GROWTH A	0.00	1.28	0.00	1.28	90.0	1.15
03/09/2018	GILLESPIE, HEATHER	ROYCE PENNSYLVANIA MUTUAL	0.00	15.07	0.00	15.07	90.0	13.56
03/09/2018	MARINI, ZAREFA	TEMPLETON DEVELOPING MKTS A	0.00	0.08	0.00	0.08	90.0	0.07
03/09/2018	PIASCIK, SHAWN	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	28.07	0.00	28.07	90.0	25.26
03/09/2018	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	23.48	0.00	23.48	90.0	21.13
03/09/2018	PALMERI, PAUL	TEMPLETON GLOBAL BOND A	0.00	1.77	0.00	1.77	90.0	1.59
03/09/2018	GUMBLETON, CARRIE	TEMPLETON GLOBAL BOND C	0.00	5.15	0.00	5.15	90.0	4.64
03/09/2018	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON GLOBAL BOND C	0.00	14.40	0.00	14.40	90.0	12.96
03/09/2018	QUSSAR, KELLY	TEMPLETON GLOBAL BOND C	0.00	2.89	0.00	2.89	90.0	2.60
03/09/2018	NUNEZ TRUST, LYNDA	TEMPLETON GLOBAL BOND C	0.00	5.65	0.00	5.65	90.0	5.09
03/09/2018	EBERHARD, DAVID	TEMPLETON GLOBAL BOND C	0.00	6.42	0.00	6.42	90.0	5.78
03/09/2018	BANNICK, ROBERT	TEMPLETON GLOBAL BOND C	0.00	5.48	0.00	5.48	90.0	4.93
03/09/2018	SCHWARTZENBERGER, THOMAS	TEMPLETON GLOBAL BOND C	0.00	1.34	0.00	1.34	90.0	1.21
03/09/2018	MARIN REV TRUST, NICHOLAS	TEMPLETON GLOBAL BOND C	0.00	10.69	0.00	10.69	90.0	9.62
03/09/2018	ZANONI, CARRIE	TEMPLETON GROWTH C	0.00	4.83	0.00	4.83	90.0	4.35
03/09/2018	MARKHAM, JASON	TEMPLETON GROWTH C	0.00	9.49	0.00	9.49	90.0	8.54
03/09/2018	GUMBLETON, CARRIE	TEMPLETON GROWTH C	0.00	11.50	0.00	11.50	90.0	10.35
03/09/2018	PIZZO, SALVATORE	TEMPLETON GROWTH C	0.00	5.02	0.00	5.02	90.0	4.52
03/09/2018	BANNICK, ROBERT	TEMPLETON GROWTH C	0.00	1.70	0.00	1.70	90.0	1.53
03/09/2018	SCHWARTZENBERGER, THOMAS	TEMPLETON GROWTH C	0.00	2.26	0.00	2.26	90.0	2.03
03/09/2018	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON INCOME TR GLOBAL TOTAL RE	0.00	12.08	0.00	12.08	90.0	10.87
03/09/2018	PIASCIK, SHAWN	TRANSAMERICA AA MOD GROWTH C	0.00	34.99	0.00	34.99	90.0	31.49
03/09/2018	LOUGHLIN, DENNIS	TRANSAMERICA AA MOD GROWTH C	0.00	12.32	0.00	12.32	90.0	11.09
03/09/2018	GUMBLETON, CARRIE	TRANSAMERICA AA MOD GROWTH C	0.00	15.39	0.00	15.39	90.0	13.85
03/09/2018	BELONGIE, GINGER	TRANSAMERICA AA MODERATE C	0.00	10.49	0.00	10.49	90.0	9.44
03/09/2018	O'DONNELL, JUDITH	TRANSAMERICA AA MODERATE C	0.00	7.54	0.00	7.54	90.0	6.79
03/09/2018	PIZZO, PIETRO	TRANSAMERICA AA MODERATE C	0.00	7.53	0.00	7.53	90.0	6.78
03/09/2018	PIZZO, MICHELE	TRANSAMERICA AA MODERATE C	0.00	0.11	0.00	0.11	90.0	0.10
03/09/2018	PIZZO, FRANCESCO	TRANSAMERICA AA MODERATE C	0.00	7.73	0.00	7.73	90.0	6.96
03/09/2018	BANNICK, ROBERT	TRANSAMERICA AA MODERATE C	0.00	7.20	0.00	7.20	90.0	6.48
03/28/2018	O'DONNELL, JUDITH	TRANSAMERICA AA MODERATE C	1,800.00	20.00	23.00	-3.00	90.0	-2.70
03/09/2018	ROGERS, NICOLE	VOYA CORP LEADERS 100 A	0.00	2.46	0.00	2.46	90.0	2.21
03/21/2018	ROGERS, NICOLE	VOYA CORP LEADERS 100 A	100.00	20.00	0.00	20.00	90.0	18.00

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/09/2018	MAHER, HENRY	VOYA INTL VALUE EQUITY A	0.00	10.01	0.00	10.01	90.0	9.01
* MUTUAL FUNDS SUBTOTAL *			\$280,968.39	\$7,830.56	\$92.00	\$7,738.56		\$6,964.87
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/03/2018	ATTENDANT CARE, 401K	GWL GRP ANNUITY 401K	242,091.11	154.21	0.00	154.21	90.0	138.79
04/03/2018	RACHWAL, BARTON	GWL GRP ANNUITY 401K	414,307.80	175.94	0.00	175.94	90.0	158.35
04/03/2018	GURSTEN, KOLTONOW	GWL NET ASSET VALUE 401K	8,226,038.61	1,746.62	0.00	1,746.62	90.0	1,571.96
04/03/2018	CHRISTENSEN PLLC, DAVID	GWL NET ASSET VALUE 401K	522,768.51	222.00	0.00	222.00	90.0	199.80
04/03/2018	RACHWAL PC, BARTON	GWL NET ASSET VALUE 401K	100,356.63	21.31	0.00	21.31	90.0	19.18
03/31/2018	CARROLL, EILEEN	JNL ELITE ACCESS W/ LIQUIDITY	35,074.29	29.23	0.00	29.23	90.0	26.31
03/31/2018	NUNEZ, LYNDA	JNL ELITE ACCESS W/ LIQUIDITY	120,286.36	100.24	0.00	100.24	90.0	90.22
03/31/2018	CARROLL, JEROME	JNL PERSPECTIVE II 04/14	65,676.32	13.68	0.00	13.68	90.0	12.31
03/31/2018	TREVINO, PATRICIA	JNL PERSPECTIVE II 04/14	57,295.72	11.94	0.00	11.94	90.0	10.75
03/31/2018	RACHWAL, BARTON	JNL PERSPECTIVE II 05/05	177,165.35	36.91	0.00	36.91	90.0	33.22
03/31/2018	MAHER, HENRY	JNL PERSPECTIVE II 05/05	104,898.37	43.71	0.00	43.71	90.0	39.34
03/31/2018	HICKSON, ANDREW	JNL PERSPECTIVE II 05/05	48,166.46	20.07	0.00	20.07	90.0	18.06
03/31/2018	VEIT, BEVERLY	JNL PERSPECTIVE L	19,221.79	16.02	0.00	16.02	90.0	14.42
03/31/2018	PALMERI, BEVERLY	JNL PERSPECTIVE L	97,865.61	81.55	0.00	81.55	90.0	73.40
03/31/2018	FRASER, SHARON	JNL PERSPECTIVE L	42,653.41	35.54	0.00	35.54	90.0	31.99
03/31/2018	TAYLOR, JAMIE	JNL PERSPECTIVE L	44,871.16	37.39	0.00	37.39	90.0	33.65
03/31/2018	FLOWERS, EDWARD	JNL PERSPECTIVE L	148,081.09	123.40	0.00	123.40	90.0	111.06
03/31/2018	STOKES, RICHARD	JNL PERSPECTIVE L	82,403.21	68.67	0.00	68.67	90.0	61.80
03/31/2018	STONE, EDWARD	JNL PERSPECTIVE L	86,521.88	72.10	0.00	72.10	90.0	64.89
03/31/2018	PALMERI, SAMUEL	JNL PERSPECTIVE L	105,095.51	87.58	0.00	87.58	90.0	78.82
03/31/2018	STEINMETZ JR, JAMES	JNL PERSPECTIVE L	44,342.14	36.95	0.00	36.95	90.0	33.26
03/31/2018	VITALE, PETER	JNL PERSPECTIVE L	138,453.33	115.38	0.00	115.38	90.0	103.84
03/31/2018	BROEREN, ANTHONY	JNL PERSPECTIVE L	190,164.48	158.47	0.00	158.47	90.0	142.62
03/31/2018	KRALIK, GEORGEANNA	JNL PERSPECTIVE L	114,592.71	95.49	0.00	95.49	90.0	85.94
03/31/2018	CORNWELL, VICTORIA	JNL PERSPECTIVE L	205,430.63	171.19	0.00	171.19	90.0	154.07
03/20/2018	MARTIN, JACOB	LINCOLN BENEFIT LIFE	400,000.00	20,000.00	0.00	20,000.00	90.0	18,000.00
03/31/2018	HARRIS, JANE	PRU 74429E487	295,952.95	850.86	0.00	850.86	90.0	765.77
03/31/2018	YURIK, DEBRA	PRU AS ADVISOR PLAN III	40,234.60	25.15	0.00	25.15	90.0	22.64
03/31/2018	YURIK, DEBRA	PRU AS ADVISOR PLAN III	40,234.60	25.15	0.00	25.15	90.0	22.64
03/31/2018	KOLOMYJEC TRUST, LESLIE	PRU AS APEX II	72,906.82	182.27	0.00	182.27	90.0	164.04

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/31/2018	KOLOMYJEC TRUST, LESLIE	PRU AS APEX II	72,906.82	45.57	0.00	45.57	90.0	41.01
03/31/2018	FISCHER, SHIRLEY	PRU AS APEX II	52,185.87	130.46	0.00	130.46	90.0	117.41
03/31/2018	FISCHER, SHIRLEY	PRU AS APEX II	52,185.87	32.62	0.00	32.62	90.0	29.36
03/31/2018	STONE, ELAINE	PRU AS APEX II	213,510.53	533.78	0.00	533.78	90.0	480.40
03/31/2018	STONE, ELAINE	PRU AS APEX II	213,510.53	133.44	0.00	133.44	90.0	120.10
03/31/2018	BANNICK, ROBERT	PRU AS APEX II	139,459.79	348.65	0.00	348.65	90.0	313.79
03/31/2018	BANNICK, ROBERT	PRU AS APEX II	139,459.79	87.16	0.00	87.16	90.0	78.44
03/31/2018	MARSHALL TRUST, SANDRA	PRU AS APEX II	86,923.41	217.31	0.00	217.31	90.0	195.58
03/31/2018	MARSHALL TRUST, SANDRA	PRU AS APEX II	86,923.41	54.33	0.00	54.33	90.0	48.90
03/31/2018	MARSHALL, SANDRA	PRU AS APEX II	182,818.96	457.05	0.00	457.05	90.0	411.35
03/31/2018	MARSHALL, SANDRA	PRU AS APEX II	182,818.96	114.26	0.00	114.26	90.0	102.83
03/31/2018	TROKIC, MEVLIDA	PRU AS APEX II	211,914.76	529.79	0.00	529.79	90.0	476.81
03/31/2018	TROKIC, MEVLIDA	PRU AS APEX II	211,914.76	132.45	0.00	132.45	90.0	119.21
03/31/2018	LUJAN, DEBORAH	PRU AS APEX II	132,967.03	332.42	0.00	332.42	90.0	299.18
03/31/2018	LUJAN, DEBORAH	PRU AS APEX II	132,967.03	83.10	0.00	83.10	90.0	74.79
03/31/2018	SCHWARTZENBERGER, THOMAS	PRU AS APEX II	32,312.44	80.78	0.00	80.78	90.0	72.70
03/31/2018	SCHWARTZENBERGER, THOMAS	PRU AS APEX II	32,312.44	20.20	0.00	20.20	90.0	18.18
03/31/2018	HARRISON, SHARI	PRU AS APEX II	31,915.27	79.79	0.00	79.79	90.0	71.81
03/31/2018	HARRISON, SHARI	PRU AS APEX II	31,915.27	19.95	0.00	19.95	90.0	17.96
03/31/2018	LIU REVOCABLE TRUST, ALEX	PRU AS APEX II	142,662.68	356.66	0.00	356.66	90.0	320.99
03/31/2018	LIU REVOCABLE TRUST, ALEX	PRU AS APEX II	142,662.68	89.16	0.00	89.16	90.0	80.24
03/31/2018	ZERVOS JR, ARISTEDES	PRU AS APEX II	828,257.65	2,070.64	0.00	2,070.64	90.0	1,863.58
03/31/2018	ZERVOS JR, ARISTEDES	PRU AS APEX II	828,257.65	517.66	0.00	517.66	90.0	465.89
03/31/2018	MAHER TRUST, MARY	PRU AS APEX II	174,029.08	435.07	0.00	435.07	90.0	391.56
03/31/2018	MAHER TRUST, MARY	PRU AS APEX II	174,029.08	108.77	0.00	108.77	90.0	97.89
03/31/2018	NUNEZ, LYNDA	PRU AS APEX II	695,295.65	1,303.68	0.00	1,303.68	90.0	1,173.31
03/31/2018	NUNEZ, LYNDA	PRU AS APEX II	695,295.65	434.56	0.00	434.56	90.0	391.10
03/31/2018	PALMERI, BEVERLY	PRU AS APEX II	329,103.62	822.76	0.00	822.76	90.0	740.48
03/31/2018	PALMERI, BEVERLY	PRU AS APEX II	329,103.62	205.69	0.00	205.69	90.0	185.12
03/31/2018	O'DONNELL, JUDITH	PRU AS APEX II	189,197.12	472.99	0.00	472.99	90.0	425.69
03/31/2018	O'DONNELL, JUDITH	PRU AS APEX II	189,197.12	118.25	0.00	118.25	90.0	106.43
03/31/2018	JACK, LINDA	PRU AS APEX II	63,086.68	157.72	0.00	157.72	90.0	141.95
03/31/2018	JACK, LINDA	PRU AS APEX II	63,086.68	39.43	0.00	39.43	90.0	35.49

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/31/2018	PIASCIK, SHAWN	PRU AS APEX II	105,573.24	263.93	0.00	263.93	90.0	237.54
03/31/2018	PIASCIK, SHAWN	PRU AS APEX II	105,573.24	65.98	0.00	65.98	90.0	59.38
03/31/2018	ELSE, KATHERINE	PRU AS APEX II	106,510.32	266.28	0.00	266.28	90.0	239.65
03/31/2018	ELSE, KATHERINE	PRU AS APEX II	106,510.32	66.57	0.00	66.57	90.0	59.91
03/31/2018	BARNES, TAI	PRU AS APEX II	13,426.17	33.57	0.00	33.57	90.0	30.21
03/31/2018	BARNES, TAI	PRU AS APEX II	13,426.17	8.39	0.00	8.39	90.0	7.55
03/31/2018	GILLESPIE, HEATHER	PRU AS APEX II	136,892.83	342.23	0.00	342.23	90.0	308.01
03/31/2018	GILLESPIE, HEATHER	PRU AS APEX II	136,892.83	85.56	0.00	85.56	90.0	77.00
03/31/2018	TACCONELLI, JOHN	PRU AS APEX II	55,496.02	138.74	0.00	138.74	90.0	124.87
03/31/2018	TACCONELLI, JOHN	PRU AS APEX II	55,496.02	34.69	0.00	34.69	90.0	31.22
03/31/2018	TACCONELLI, JOHN	PRU AS APEX II	113,459.27	283.65	0.00	283.65	90.0	255.29
03/31/2018	TACCONELLI, JOHN	PRU AS APEX II	113,459.27	70.91	0.00	70.91	90.0	63.82
03/31/2018	FLEURY, DAVID	PRU AS APEX II	119,014.51	297.54	0.00	297.54	90.0	267.79
03/31/2018	FLEURY, DAVID	PRU AS APEX II	119,014.51	74.38	0.00	74.38	90.0	66.94
03/31/2018	BERARD, LISA	PRU AS APEX II	76,737.33	47.96	0.00	47.96	90.0	43.16
03/31/2018	BERARD, LISA	PRU AS APEX II	76,737.33	191.84	0.00	191.84	90.0	172.66
03/31/2018	FRASER, SHARON	PRU AS APEX II	41,004.06	102.51	0.00	102.51	90.0	92.26
03/31/2018	FRASER, SHARON	PRU AS APEX II	41,004.06	25.63	0.00	25.63	90.0	23.07
03/31/2018	FINLEY, RENEATHIA	PRU AS APEX II	6,188.83	15.47	0.00	15.47	90.0	13.92
03/31/2018	FINLEY, RENEATHIA	PRU AS APEX II	6,188.83	3.87	0.00	3.87	90.0	3.48
03/31/2018	GILMORE, JOHNSON	PRU AS APEX II	1,751.83	4.38	0.00	4.38	90.0	3.94
03/31/2018	GILMORE, JOHNSON	PRU AS APEX II	1,751.83	1.09	0.00	1.09	90.0	0.98
03/31/2018	VIAR, DAVID	PRU AS APEX II	21,377.49	53.44	0.00	53.44	90.0	48.10
03/31/2018	VIAR, DAVID	PRU AS APEX II	21,377.49	13.36	0.00	13.36	90.0	12.02
03/31/2018	EDUCATIONAL TECHNICAL MAR	PRU AS APEX II	14,922.12	37.31	0.00	37.31	90.0	33.58
03/31/2018	EDUCATIONAL TECHNICAL MAR	PRU AS APEX II	14,922.12	9.33	0.00	9.33	90.0	8.40
03/31/2018	YURIK, DEBRA	PRU AS APEX II	90,998.56	227.50	0.00	227.50	90.0	204.75
03/31/2018	YURIK, DEBRA	PRU AS APEX II	90,998.56	56.87	0.00	56.87	90.0	51.18
03/31/2018	MARSACK, KAREN	PRU AS APEX II	73,849.92	184.62	0.00	184.62	90.0	166.16
03/31/2018	MARSACK, KAREN	PRU AS APEX II	73,849.92	46.16	0.00	46.16	90.0	41.54
03/31/2018	QUEDNAU, KELLY	PRU AS APEX II	14,504.19	36.26	0.00	36.26	90.0	32.63
03/31/2018	QUEDNAU, KELLY	PRU AS APEX II	14,504.19	9.07	0.00	9.07	90.0	8.16
03/31/2018	MARSACK, KAREN	PRU AS APEX II	513,217.03	1,283.04	0.00	1,283.04	90.0	1,154.74

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
03/31/2018	MARSACK, KAREN	PRU AS APEX II	513,217.03	320.76	0.00	320.76	90.0	288.68
03/31/2018	LINDSEY, BARBARA	PRU DEFINED INCOME	66,446.73	166.12	0.00	166.12	90.0	149.51
03/31/2018	LEFKA, KAYE	PRU DEFINED INCOME	52,383.01	130.96	0.00	130.96	90.0	117.86
03/31/2018	TAYLOR, JAMIE	PRU DEFINED INCOME	159,885.58	399.71	0.00	399.71	90.0	359.74
03/31/2018	WALSH, LORRAINE	PRU DEFINED INCOME	74,804.25	187.01	0.00	187.01	90.0	168.31
03/31/2018	FOSTER SP TRUST, PATRICIA	PRU DEFINED INCOME	107,144.33	267.86	0.00	267.86	90.0	241.07
03/31/2018	MARKHAM, PATRICK	PRU DEFINED INCOME	119,282.98	298.21	0.00	298.21	90.0	268.39
03/31/2018	MARSACK, KAREN	PRU DEFINED INCOME	146,504.52	366.26	0.00	366.26	90.0	329.63
03/31/2018	DURST, BARBARA	PRU DEFINED INCOME	243,316.48	608.29	0.00	608.29	90.0	547.46
03/31/2018	HICKS, CURTIS	PRU DEFINED INCOME	52,234.90	130.59	0.00	130.59	90.0	117.53
03/31/2018	WHEELER, WILLARD	PRU DEFINED INCOME	543,504.17	1,358.76	0.00	1,358.76	90.0	1,222.88
03/31/2018	ELSE, KATHERINE	PRU DEFINED INCOME	20,827.82	52.07	0.00	52.07	90.0	46.86
03/31/2018	ALLEN, MARY	PRU DEFINED INCOME	158,772.83	396.93	0.00	396.93	90.0	357.24
03/19/2018	MARTIN, JACOB	PRU PREMIER INVT A	200,000.00	2,500.00	0.00	2,500.00	90.0	2,250.00
03/23/2018	MYERS, JOHN	PRU PREMIER RETIREMENT B	100,000.00	2,000.00	0.00	2,000.00	90.0	1,800.00
03/31/2018	FLEURY, DAVID	PRU PREMIER RETIREMENT B	55,847.60	34.90	0.00	34.90	90.0	31.41
03/31/2018	DINON, MARY	PRU PREMIER RETIREMENT C	81,143.36	202.86	0.00	202.86	90.0	182.57
03/31/2018	PALMERI, PAUL	PRU PREMIER RETIREMENT L	41,370.12	103.43	0.00	103.43	90.0	93.09
03/31/2018	O'DONNELL, JUDITH	PRU PREMIER RETIREMENT L	58,432.24	146.08	0.00	146.08	90.0	131.47
03/31/2018	STONE, EDWARD	PRU PREMIER RETIREMENT L	218,203.98	545.51	0.00	545.51	90.0	490.96
03/31/2018	PALMERI, SAMUEL	PRU PREMIER RETIREMENT L	117,764.44	294.41	0.00	294.41	90.0	264.97
03/31/2018	KOTT, DIANE	PRU PREMIER RETIREMENT L	159,881.66	399.70	0.00	399.70	90.0	359.73
03/31/2018	HATHAWAY, RICHARD	PRU PREMIER RETIREMENT L	34,889.71	87.22	0.00	87.22	90.0	78.50
03/31/2018	DILAY, DANIEL	PRU PREMIER RETIREMENT L	12,952.56	32.38	0.00	32.38	90.0	29.14
03/31/2018	LINDSEY, CHARLES	PRU PREMIER RETIREMENT L	85,679.51	214.20	0.00	214.20	90.0	192.78
03/31/2018	DILAY, DENISE	PRU PREMIER RETIREMENT L	284,015.46	710.04	0.00	710.04	90.0	639.04
03/31/2018	WOLLACK, ROBERT	PRU PREMIER RETIREMENT L	83,351.07	208.38	0.00	208.38	90.0	187.54
03/31/2018	FOREMAN, CYNTHIA	PRU PREMIER RETIREMENT L	71,383.05	178.46	0.00	178.46	90.0	160.61
03/31/2018	MARSHALL, CAROLYN	PRU PREMIER RETIREMENT L	185,595.44	463.99	0.00	463.99	90.0	417.59
03/31/2018	PASSALACQUA, PAULA	PRU PREMIER RETIREMENT L	25,136.95	62.84	0.00	62.84	90.0	56.56
03/31/2018	FAIRLEY, JAMES	PRU PREMIER RETIREMENT L	200,663.93	501.66	0.00	501.66	90.0	451.49
03/31/2018	EDWARDS, ROSALIND	PRU PREMIER RETIREMENT L	104,453.42	261.13	0.00	261.13	90.0	235.02
03/31/2018	PEVOS, DEBRA	PRU PREMIER RETIREMENT L	232,325.29	580.81	0.00	580.81	90.0	522.73

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
03/31/2018	PAGANO, MAUREEN	PRU PREMIER RETIREMENT L	138,500.60	346.25	0.00	346.25	90.0	311.63
03/31/2018	PAGANO, SALVATORE	PRU PREMIER RETIREMENT L	109,655.09	274.14	0.00	274.14	90.0	246.73
03/31/2018	IBARRA, JAIME	PRU PREMIER RETIREMENT L	136,771.20	341.93	0.00	341.93	90.0	307.74
03/31/2018	HANNAH, EDWARD	PRU PREMIER RETIREMENT L	305,829.22	764.57	0.00	764.57	90.0	688.11
03/31/2018	BIXBY, STEVEN	PRU PREMIER RETIREMENT L	243,978.60	609.95	0.00	609.95	90.0	548.96
03/31/2018	MARSHALL, DEMETRIA	PRU PREMIER RETIREMENT L	57,104.72	142.76	0.00	142.76	90.0	128.48
03/31/2018	BUSSELL, JEFFREY	PRU PREMIER RETIREMENT L	110,960.64	277.40	0.00	277.40	90.0	249.66
03/31/2018	HAJDAS, MICHAEL	PRU PREMIER RETIREMENT L	51,772.27	129.43	0.00	129.43	90.0	116.49
03/31/2018	MARSHALL, IRONA	PRU PREMIER RETIREMENT L	153,114.26	382.79	0.00	382.79	90.0	344.51
03/31/2018	MAHER, HENRY	PRU PREMIER RETIREMENT L	482,259.17	1,205.65	0.00	1,205.65	90.0	1,085.09
03/31/2018	NUNEZ, LYNDA	PRU PREMIER RETIREMENT L	82,721.20	206.80	0.00	206.80	90.0	186.12
03/31/2018	CARROLL, EILEEN	PRU PREMIER RETIREMENT L	75,654.79	189.14	0.00	189.14	90.0	170.23
03/31/2018	PARIC, ELVEDINA	PRU PREMIER RETIREMENT L	104,264.51	260.66	0.00	260.66	90.0	234.59
03/31/2018	WALSH, LORRAINE	PRU PREMIER RETIREMENT L	99,138.51	247.85	0.00	247.85	90.0	223.07
03/31/2018	WALSH, LORRAINE	PRU PREMIER RETIREMENT L	194,903.05	487.26	0.00	487.26	90.0	438.53
03/31/2018	TAYLOR, JAMIE	PRU PREMIER RETIREMENT L	783,087.72	1,957.72	0.00	1,957.72	90.0	1,761.95
03/31/2018	WHEELER, WILLARD	PRU PREMIER RETIREMENT L	551,818.37	1,379.55	0.00	1,379.55	90.0	1,241.60
03/31/2018	MCCRACKEN, ROBERT	PRU PREMIER RETIREMENT L	155,023.56	387.56	0.00	387.56	90.0	348.80
03/31/2018	ELSE, KATHERINE	PRU PREMIER RETIREMENT L	19,787.73	49.47	0.00	49.47	90.0	44.52
03/31/2018	MARSHALL, DONALD	PRU XTRA CREDIT EIGHT	21,273.75	13.30	0.00	13.30	90.0	11.97
03/31/2018	MARSHALL, DONALD	PRU XTRA CREDIT EIGHT	21,273.75	39.89	0.00	39.89	90.0	35.90
03/31/2018	MARSHALL, RONALD	PRU XTRA CREDIT EIGHT	351,848.09	219.91	0.00	219.91	90.0	197.92
03/31/2018	MARSHALL, RONALD	PRU XTRA CREDIT EIGHT	351,848.09	659.72	0.00	659.72	90.0	593.75
03/31/2018	JACK, STANLEY	PRU XTRA CREDIT EIGHT	277,112.06	346.39	0.00	346.39	90.0	311.75
03/31/2018	JACK, STANLEY	PRU XTRA CREDIT EIGHT	277,112.06	173.20	0.00	173.20	90.0	155.88
* VARIABLE ANNUITIES SUBTOTAL *			\$31,632,197.19	\$65,012.12	\$0.00	\$65,012.12		\$58,510.92
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/31/2018	MOTOR CITY COMPUTER	PACIFIC LIFE FUNDS	24,196.29	14.92	0.00	14.92	90.0	13.43
03/31/2018	MOTOR CITY COMPUTER	PACIFIC LIFE FUNDS	35,928.24	22.15	0.00	22.15	90.0	19.94
/ /	MOTOR CITY COMPUTER	OPPENHEIMER DEV MARKETS (N)	0.00	72.88	0.00	72.88	90.0	65.59
04/03/2018	MOTOR CITY COMPUTER	PIONEER BOND FUND A	0.00	25.00	0.00	25.00	90.0	22.50
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$60,124.53	\$134.95	\$0.00	\$134.95		\$121.46

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
03/31/2018	KOLOMYJEC, LESLIE	LINCOLN BENEFIT TRAIL RENEWALS	22,098.60	55.25	0.00	55.25	90.0	49.73
03/31/2018	TACCONELLI, JOHN	LINCOLN BENEFIT TRAIL RENEWALS	27,370.78	68.43	0.00	68.43	90.0	61.59
03/31/2018	HICKSON, ANDREW	LINCOLN BENEFIT TRAIL RENEWALS	58,895.67	147.24	0.00	147.24	90.0	132.52
03/31/2018	O'DONNELL, JUDITH	LINCOLN BENEFIT TRAIL RENEWALS	57,458.47	143.65	0.00	143.65	90.0	129.29
03/31/2018	RUSSO, DAVID	LINCOLN BENEFIT TRAIL RENEWALS	30,411.40	19.01	0.00	19.01	90.0	17.11
03/31/2018	RUSSO, NICHOLAS	LINCOLN BENEFIT TRAIL RENEWALS	31,768.13	19.86	0.00	19.86	90.0	17.87
03/31/2018	RUSSO, PHILLIP	LINCOLN BENEFIT TRAIL RENEWALS	5,017.52	3.14	0.00	3.14	90.0	2.83
03/31/2018	MARSHALL, RONALD	LINCOLN BENEFIT TRAIL RENEWALS	285,576.29	713.94	0.00	713.94	90.0	642.55
03/26/2018	CALLOWAY, DOMINIQUE	PACIFIC LIFE FRONTIERS 694475138	0.00	210.08	0.00	210.08	90.0	189.07
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$518,596.86	\$1,380.60	\$0.00	\$1,380.60		\$1,242.56
*** DIRECT TRANSACTIONS TOTAL ***			\$32,496,261.97	\$74,402.03	\$92.00	\$74,310.03		\$66,879.31

ADJUSTMENTS

04/15/2018	*AI INSIGHT: CURRENT MONTH	0.00						-7.00
04/15/2018	*ALBRIDGE: LIGHT USER	0.00						-76.00
04/15/2018	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
04/15/2018	*GLOBAL RELAY: WEBSITE	0.00						-15.00
04/15/2018	*RBC: ADMIN WEALTH STATION	0.00						-25.00
04/15/2018	*RBC: CURRENT MONTH	0.00						-25.00
04/15/2018	*RBC: ORDER ENTRY	0.00						-65.00
04/15/2018	*RBC: W/O DB 7A502503	0.00						-2.00
04/15/2018	*TECHNOLOGY: ADM - ZAREFA MARINI	0.00						-130.00
04/15/2018	*TECHNOLOGY: CURRENT MONTH BASIC	0.00						-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-825.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$66,879.31
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-825.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$66,054.31
Year-to-date Gross	\$169,388.82
Year-to-date Earnings	\$151,832.19