

COMMISSION STATEMENT

July 31, 2017

ANDREW STUART SACHS

1 DEVONSHIRE COURT

PLAINVIEW, NY 11803

BROKER #: 5

BRANCH: HOME OFFICE

COMMISSION STATEMENT for ANDREW STUART SACHS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

07/17/2017 (None)	VARIOUS, SACHS	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	40.52	0.00	40.52	82.5	33.43
07/17/2017 (None)	VARIOUS, SACHS	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	83.50	0.00	83.50	82.5	68.89
* MUTUAL FUNDS SUBTOTAL *				\$0.00	\$124.02	\$0.00	\$124.02		\$102.32

PRODUCT CATEGORY: STOCKS

07/07/2017	QGW032013	STROPE, DALE	VODAFONE GROUP PLC SPONSORED ADR NO S	-14,350.00	100.00	0.00	100.00	82.5	82.50
07/25/2017	QGW032013	STROPE, DALE	FACEBOOK INC CL A	16,478.00	350.00	0.00	350.00	82.5	288.75
07/07/2017	QGW032021	JONES, HARVEY	VODAFONE GROUP PLC SPONSORED ADR NO S	-20,006.00	100.00	0.00	100.00	82.5	82.50
06/28/2017	QGW032039	SANDERS, RALPH	GILEAD SCIENCES INC	-21,450.00	120.00	0.00	120.00	82.5	99.00
07/19/2017	QGW032047	SHIER, ANDY	KINDER MORGAN INC	-20,640.00	200.00	0.00	200.00	82.5	165.00
07/21/2017	QGW032047	SHIER, ANDY	AXALTA COATING SYSTEMS LTD SHS ISIN B	29,311.02	875.00	0.00	875.00	82.5	721.88
07/07/2017	QGW032054	PAEGLOW, JOSEPH	SIMULATIONS PLUS INC COM	-15,360.00	100.00	0.00	100.00	82.5	82.50
07/24/2017	QGW032054	PAEGLOW, JOSEPH	TARGET CORP	32,560.20	875.00	0.00	875.00	82.5	721.88
06/28/2017	QGW032096	YALINEWITZ, BOAZ	GILEAD SCIENCES INC	-17,887.50	100.00	0.00	100.00	82.5	82.50
07/19/2017	QGW032096	YALINEWITZ, BOAZ	AMERICAN EXPRESS COMPANY (N.Y)	14,953.75	425.00	0.00	425.00	82.5	350.63
07/19/2017	QGW032096	YALINEWITZ, BOAZ	INTERNATIONAL BUSINESS MACHINES COR S	-19,502.60	100.00	0.00	100.00	82.5	82.50
07/19/2017	QGW032096	YALINEWITZ, BOAZ	JD.COM INC SPONSORED ADR REPSTG COM B	13,104.00	385.00	0.00	385.00	82.5	317.63
07/05/2017	QGW032104	DAVIDOV, WILLIAM	INTEVAC INC COM	-3,937.50	40.00	0.00	40.00	82.5	33.00
07/12/2017	QGW032104	DAVIDOV, WILLIAM	SMART GLOBAL HLDGS INC SHS	1,539.86	40.00	0.00	40.00	82.5	33.00
07/21/2017	QGW032104	DAVIDOV, WILLIAM	SOUTHWEST AIRLINES CO	-9,007.50	40.00	0.00	40.00	82.5	33.00
07/24/2017	QGW032104	DAVIDOV, WILLIAM	FACEBOOK INC CL A	3,319.00	40.00	0.00	40.00	82.5	33.00
07/25/2017	QGW032104	DAVIDOV, WILLIAM	OCLARO INC COM NEW	3,088.50	40.00	0.00	40.00	82.5	33.00
07/26/2017	QGW032104	DAVIDOV, WILLIAM	ADVANCED MICRO DEVICES INC	1,560.00	20.00	0.00	20.00	82.5	16.50
07/19/2017	QGW032120	DAVIDOV, WILLIAM	STARBUCKS CORP	-5,053.84	40.00	0.00	40.00	82.5	33.00
07/19/2017	QGW032120	DAVIDOV, WILLIAM	STARBUCKS CORP	-755.56	5.20	0.00	5.20	82.5	4.29
07/25/2017	QGW032120	DAVIDOV, WILLIAM	JD.COM INC SPONSORED ADR REPSTG COM S	-5,347.20	50.00	0.00	50.00	82.5	41.25
07/10/2017	QGW032146	WINGO, CHARLES	PATTERN ENERGY GROUP INC CL A	2,031.50	50.00	0.00	50.00	82.5	41.25
07/17/2017	QGW032161	JOERS, DAVID	AXALTA COATING SYSTEMS LTD SHS ISIN S	-13,376.00	150.00	0.00	150.00	82.5	123.75
07/17/2017	QGW032161	JOERS, DAVID	QUALCOMM INC	14,175.00	425.00	0.00	425.00	82.5	350.63
07/17/2017	QGW032161	JOERS, DAVID	WHITING PETROLEUM CORPORATION	-1,924.00	50.00	0.00	50.00	82.5	41.25
07/19/2017	QGW032187	CASTILLERO, MARK	APPLE INC	-10,542.14	365.00	0.00	365.00	82.5	301.13

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
07/19/2017	QGW032187	CASTILLERO, MARK		NETFLIX COM INC	S	-3,734.00	130.00	0.00	130.00 82.5 107.25
07/19/2017	QGW032187	CASTILLERO, MARK		TWITTER INC	S	-3,018.45	100.00	0.00	100.00 82.5 82.50
07/19/2017	QGW032195	NERY, GENEROSO		JD.COM INC SPONSORED ADR REPSTG COM B		11,825.00	350.00	0.00	350.00 82.5 288.75
07/19/2017	QGW032195	NERY, GENEROSO		STARBUCKS CORP	S	-5,826.30	100.00	0.00	100.00 82.5 82.50
07/17/2017	QGW032237	PETERSON, SHAWN		VODAFONE GROUP PLC SPONSORED ADR NO S		-14,656.50	150.00	0.00	150.00 82.5 123.75
07/19/2017	QGW032237	PETERSON, SHAWN		KINDER MORGAN INC	S	-20,700.00	200.00	0.00	200.00 82.5 165.00
07/20/2017	QGW032237	PETERSON, SHAWN		JD.COM INC SPONSORED ADR REPSTG COM B		12,891.00	365.00	0.00	365.00 82.5 301.13
07/24/2017	QGW032237	PETERSON, SHAWN		DINEEQUITY INC	B	30,100.00	875.00	0.00	875.00 82.5 721.88
07/24/2017	QGW032237	PETERSON, SHAWN		DINEEQUITY INC	B	-30,100.00	-875.00	0.00	-875.00 82.5 -721.88 C
07/24/2017	QGW032237	PETERSON, SHAWN		DINEEQUITY INC	B	30,100.00	875.00	0.00	875.00 82.5 721.88
07/26/2017	QGW032278	DUFRESNE, WAYNE		FACEBOOK INC CL A	B	4,960.80	100.00	0.00	100.00 82.5 82.50
07/26/2017	QGW032278	DUFRESNE, WAYNE		SACHEM CAPITAL CORP COMMON SHARES	S	-4,688.00	40.00	0.00	40.00 82.5 33.00
07/20/2017	QGW032302	CHEN, PETER		JD.COM INC SPONSORED ADR REPSTG COM B		11,792.00	345.00	0.00	345.00 82.5 284.63
07/24/2017	QGW032377	YALINEWITZ, BOAZ		APPLE INC	S	-4,077.00	120.00	0.00	120.00 82.5 99.00
07/18/2017	QGW032401	DEFILIPO, STEVEN		NETFLIX COM INC	S	-13,330.80	120.00	0.00	120.00 82.5 99.00
07/20/2017	QGW032401	DEFILIPO, STEVEN		QUALCOMM INC	B	12,405.51	370.00	0.00	370.00 82.5 305.25
07/24/2017	QGW032476	HANNAN, JOHN		FACEBOOK INC CL A	B	7,796.36	220.00	0.00	220.00 82.5 181.50
07/24/2017	QGW032476	HANNAN, JOHN		HILTON WORLDWIDE HLDGS INC COM NEW	S	-2,047.32	65.00	0.00	65.00 82.5 53.63
07/24/2017	QGW032476	HANNAN, JOHN		NUANCE COMMUNICATIONS INC	S	-5,854.80	75.00	0.00	75.00 82.5 61.88
07/12/2017	QGW032674	ONESTO, RICHARD		AT&T INC	B	7,370.00	35.00	0.00	35.00 82.5 28.88
07/07/2017	QGW033151	DEROIN, JOHN		GAMCO GLOBAL GOLD NATURAL RESOURCES B		2,997.50	100.00	0.00	100.00 82.5 82.50
07/07/2017	QGW033383	DEROIN, KATHLEEN		GAMCO GLOBAL GOLD NATURAL RESOURCES B		2,997.50	100.00	0.00	100.00 82.5 82.50
07/20/2017	QGW033581	RYKEN, MICHAEL		JD.COM INC SPONSORED ADR REPSTG COM B		12,843.00	365.00	0.00	365.00 82.5 301.13
07/21/2017	QGW034100	SOMOGYI, ANTHONY		FACEBOOK INC CL A	B	3,612.18	100.00	0.00	100.00 82.5 82.50
07/21/2017	QGW034100	SOMOGYI, ANTHONY		VISA INC CL A COMMON STOCK	S	-3,996.00	120.00	0.00	120.00 82.5 99.00
07/21/2017	QGW034100	SOMOGYI, ANTHONY		VISA INC CL A COMMON STOCK	S	3,996.00	-120.00	0.00	-120.00 82.5 -99.00 C
07/21/2017	QGW034100	SOMOGYI, ANTHONY		VISA INC CL A COMMON STOCK	S	-3,996.00	61.00	0.00	61.00 82.5 50.33
07/06/2017	QGW035305	POORMAN, JEFFREY		GAMCO GLOBAL GOLD NATURAL RESOURCES B		546.00	15.00	0.00	15.00 82.5 12.38
				* STOCKS SUBTOTAL *		\$-6,811.33	\$9,586.20	\$0.00	\$9,586.20 \$7,908.69
<u>PRODUCT CATEGORY: OPTIONS</u>									
07/12/2017	QGW032674	ONESTO, RICHARD		PUT 100 ALIBABA GROUP HLDG LTD SPON S		-375.00	20.00	0.00	20.00 82.5 16.50
07/12/2017	QGW032674	ONESTO, RICHARD		PUT 100 FACEBOOK INC CL A EXP 08-04 S		-380.00	20.00	0.00	20.00 82.5 16.50
07/27/2017	QGW032674	ONESTO, RICHARD		PUT 100 TESLA INC EXP 09-15-17 @300 S		-900.00	20.00	0.00	20.00 82.5 16.50
07/27/2017	QGW032674	ONESTO, RICHARD		PUT 100 TESLA INC EXP 09-15-17 @300 S		900.00	-20.00	0.00	-20.00 82.5 -16.50 C
07/27/2017	QGW032674	ONESTO, RICHARD		PUT 100 TESLA INC EXP 09-15-17 @300 S		-900.00	20.00	0.00	20.00 82.5 16.50
				* OPTIONS SUBTOTAL *		\$-1,655.00	\$60.00	\$0.00	\$60.00 \$49.50

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
07/13/2017	QGW033185	DEVORE, DOREEN	WELLS FARGO EMERGING MARKETS EQUITY B	0.00	0.49	0.00	0.49	82.5	0.40
07/14/2017	QGW033185	DEVORE, DOREEN	AIT ARROW DWA BALANCED FUND CLASS C B	0.00	5.79	0.00	5.79	82.5	4.78
07/13/2017	QGW033383	DEROIN, KATHLEEN	FRK AGE HIGH INC FND - CL A B	0.00	0.68	0.00	0.68	82.5	0.56
07/13/2017	QGW035305	POORMAN, JEFFREY	FRANKLIN INCOME FUND CLASS A B	0.00	0.24	0.00	0.24	82.5	0.20
07/13/2017	QGW035305	POORMAN, JEFFREY	MUTUAL SERIES SHARES CLASS A B	0.00	0.46	0.00	0.46	82.5	0.38
07/18/2017	QGW036923	CHAPMAN, HERBERT	EUROPACIFIC GROWTH FUND-A B	0.00	0.29	0.00	0.29	82.5	0.24
07/18/2017	QGW036923	CHAPMAN, HERBERT	FUNDAMENTAL INVESTORS-A B	0.00	0.35	0.00	0.35	82.5	0.29
07/18/2017	QGW036923	CHAPMAN, HERBERT	SMALLCAP WORLD FUND-A B	0.00	0.16	0.00	0.16	82.5	0.13
07/18/2017	QGW036923	CHAPMAN, HERBERT	THE BOND FUND OF AMERICA-A B	0.00	0.28	0.00	0.28	82.5	0.23
07/18/2017	QGW036923	CHAPMAN, HERBERT	THE GROWTH FUND OF AMERICA-A B	0.00	0.36	0.00	0.36	82.5	0.30
07/18/2017	QGW036923	CHAPMAN, HERBERT	THE INVESTMENT COMPANY OF AMERICA-A B	0.00	0.16	0.00	0.16	82.5	0.13
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$9.26	\$0.00	\$9.26		\$7.64
*** BROKER TRANSACTIONS TOTAL ***				\$-8,466.33	\$9,779.48	\$0.00	\$9,779.48		\$8,068.15

ADJUSTMENTS

07/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-123.75
07/31/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
07/31/2017		OTHER: OTHER-POSTAGE		0.00	0.00	0.00	0.00		-50.87
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-182.62

BROKER COMMISSION TOTALS

Broker Transactions	\$8,068.15
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-182.62
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-12.22
SIPC Assessment	\$-24.45

Check Amount	\$7,848.86
Year-to-date Earnings	\$12,497.82