



Concorde Investment Services, LLC

06/29/2017
Page No. 1

COMMISSION STATEMENT

June 30, 2017

DAVID ZUPEK
6226 Bankers Rd.
Ste. 4
Racine, WI 53403

BROKER #: Z116
BRANCH: TADA WEALTH ADVISORS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/10/2017	BIRKHOLZ, WILLIAM	AM GEN POLARIS CHOICE IV	69,613.24	174.03	0.00	174.03	90.0	156.63
06/14/2017	EYNARD, STEPHEN	JNL PERSPECTIVE II 04/14	286,480.87	5,729.62	0.00	5,729.62	90.0	5,156.66
06/26/2017	EYNARD, STEPHEN	JNL PERSPECTIVE II 04/14	189.25	3.79	0.00	3.79	90.0	3.41
06/15/2017	MARTIN, CHARMAINE	JNL PERSPECTIVE II 05/05	50.00	3.75	0.00	3.75	90.0	3.38
06/03/2017	KIELPINSKI, ANTHONY	RELIASTAR LIFE PROTECTOR ELITE	119,749.63	119.75	0.00	119.75	90.0	107.78
06/12/2017	KIELPINSKI, ANTHONY	RELIASTAR LIFE PROTECTOR ELITE	8,000.00	160.00	0.00	160.00	90.0	144.00
06/05/2017	BABICKY, THOMAS	SECURITY LIFE OF DENVER VUL-DB	888.00	22.20	0.00	22.20	90.0	19.98
06/15/2017	HUDEC, JOANNE	SECURITY LIFE OF DENVER VUL-DB	300.00	7.50	0.00	7.50	90.0	6.75
* VARIABLE ANNUITIES SUBTOTAL *			\$485,270.99	\$6,220.64	\$0.00	\$6,220.64		\$5,598.59
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/10/2017	KRESCANKO, MARIE	ING LANDMARK	0.00	233.40	0.00	233.40	90.0	210.06
06/10/2017	EDQUIST, BETTY	ING LANDMARK	0.00	145.77	0.00	145.77	90.0	131.19
06/10/2017	SUNIGA, JESUS	ING LANDMARK	0.00	591.45	0.00	591.45	90.0	532.31
06/14/2017	HUDEC, JOANNE	ING LANDMARK	0.00	560.35	0.00	560.35	90.0	504.32
06/08/2017	FLETCHER, DONALD	ING PREMIUM PLUS	0.00	58.99	0.00	58.99	90.0	53.09
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,589.96	\$0.00	\$1,589.96		\$1,430.97
*** BROKER TRANSACTIONS TOTAL ***			\$485,270.99	\$7,810.60	\$0.00	\$7,810.60		\$7,029.56

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172</u>								
06/09/2017	WIKAR, HALINA	MFS BLENDED RESEARCH CORE C	11.89	0.04	0.00	0.04	90.0	0.04
06/09/2017	WIKAR, HALINA	MFS BLENDED RESEARCH CORE C	65.00	0.23	0.00	0.23	90.0	0.21
06/07/2017	WALCZYK, ANNA	MFS BLENDED RESEARCH CORE FD B	30.00	0.42	0.00	0.42	90.0	0.38
06/07/2017	WALCZYK, ANNA	MFS BLENDED RESEARCH CORE FD B	30.00	0.42	0.00	0.42	90.0	0.38
06/12/2017	DABROWSKI, PAUL	MFS BLENDED RESEARCH CORE FD B	114.50	1.60	0.00	1.60	90.0	1.44
06/12/2017	GUTT, MARTIN	MFS BLENDED RESEARCH CORE FD B	100.00	1.40	0.00	1.40	90.0	1.26
06/16/2017	SIENKIEWICZ, TOMASZ	MFS BLENDED RESEARCH CORE FD B	25.00	0.35	0.00	0.35	90.0	0.32
06/12/2017	DABROWSKI, PAUL	MFS BOND FUND B	114.50	1.60	0.00	1.60	90.0	1.44
06/20/2017	LOWISZ, WLADYSLAWA	MFS CONSERVATIVE ALLOCATION B	108.00	1.51	0.00	1.51	90.0	1.36
06/09/2017	DABROWSKI, AGNIESZKA	MFS DIVERSIFIED INCOME FUND C	135.00	0.47	0.00	0.47	90.0	0.42
06/20/2017	LOWISZ, WLADYSLAWA	MFS EMERGING MARKETS DEBT FUND B	108.00	1.51	0.00	1.51	90.0	1.36
06/07/2017	WALCZYK, ANNA	MFS GLOBAL REAL ESTATE FUND B	30.00	0.42	0.00	0.42	90.0	0.38
06/07/2017	WALCZYK, ANNA	MFS GLOBAL REAL ESTATE FUND B	30.00	0.42	0.00	0.42	90.0	0.38
06/07/2017	TULEWICZ, KRYSTYNA	MFS GLOBAL REAL ESTATE FUND B	7.05	0.10	0.00	0.10	90.0	0.09
06/07/2017	TULEWICZ, KRYSTYNA	MFS GLOBAL REAL ESTATE FUND B	11.75	0.16	0.00	0.16	90.0	0.14
06/09/2017	SZAJEWSKI, REGINA	MFS GLOBAL TOTAL RETURN FUND-B	224.40	3.14	0.00	3.14	90.0	2.83
06/09/2017	SZAJEWSKI, REGINA	MFS GLOBAL TOTAL RETURN FUND-B	33.66	0.47	0.00	0.47	90.0	0.42
06/07/2017	WALCZYK, ANNA	MFS GROWTH ALLOCATION B	30.00	0.42	0.00	0.42	90.0	0.38
06/07/2017	WALCZYK, ANNA	MFS GROWTH ALLOCATION B	30.00	0.42	0.00	0.42	90.0	0.38
06/07/2017	TULEWICZ, KRYSTYNA	MFS GROWTH ALLOCATION B	7.05	0.10	0.00	0.10	90.0	0.09
06/07/2017	TULEWICZ, KRYSTYNA	MFS GROWTH ALLOCATION B	11.75	0.16	0.00	0.16	90.0	0.14
06/09/2017	WIKAR, SYLVIA	MFS GROWTH ALLOCATION B	23.45	0.33	0.00	0.33	90.0	0.30
06/09/2017	WIKAR, SYLVIA	MFS GROWTH ALLOCATION B	39.10	0.55	0.00	0.55	90.0	0.50
06/09/2017	SZAJEWSKI, REGINA	MFS GROWTH ALLOCATION B	224.40	3.14	0.00	3.14	90.0	2.83
06/09/2017	SZAJEWSKI, REGINA	MFS GROWTH ALLOCATION B	33.66	0.47	0.00	0.47	90.0	0.42
06/12/2017	DABROWSKI, PAUL	MFS GROWTH ALLOCATION B	114.50	1.60	0.00	1.60	90.0	1.44
06/15/2017	PROTTO, CAMILLA	MFS GROWTH B	75.00	1.05	0.00	1.05	90.0	0.95
06/09/2017	WIKAR, HALINA	MFS GROWTH C	65.00	0.23	0.00	0.23	90.0	0.21
06/09/2017	WIKAR, HALINA	MFS GROWTH C	11.89	0.04	0.00	0.04	90.0	0.04
06/09/2017	WIKAR, SYLVIA	MFS INTERNATIOANL DEVERSIFICATION F	23.46	0.33	0.00	0.33	90.0	0.30
06/09/2017	WIKAR, SYLVIA	MFS INTERNATIOANL DEVERSIFICATION F	39.08	0.55	0.00	0.55	90.0	0.50
06/09/2017	WIKAR, HALINA	MFS LOW VOLATILITY EQUITY FUND C	71.30	0.25	0.00	0.25	90.0	0.23
06/09/2017	WIKAR, HALINA	MFS LOW VOLATILITY EQUITY FUND C	390.00	1.37	0.00	1.37	90.0	1.23

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<u>SPLIT TRANSACTIONS</u>								
BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172								
06/20/2017	LOWISZ, WLADYSLAWA	MFS MODERATE ALLOCATION B	108.00	1.51	0.00	1.51	90.0	1.36
06/09/2017	DABROWSKI, AGNIESZKA	MFS MODERATE ALLOCATION C	135.00	0.47	0.00	0.47	90.0	0.42
06/09/2017	WIKAR, HALINA	MFS NEW DISCOVERY VALUE FUND C	130.00	0.46	0.00	0.46	90.0	0.41
06/09/2017	WIKAR, HALINA	MFS NEW DISCOVERY VALUE FUND C	23.77	0.08	0.00	0.08	90.0	0.07
06/07/2017	WALCZYK, ANNA	MFS UTILITIES B	30.00	0.42	0.00	0.42	90.0	0.38
06/07/2017	WALCZYK, ANNA	MFS UTILITIES B	30.00	0.42	0.00	0.42	90.0	0.38
06/07/2017	TULEWICZ, KRYSTYNA	MFS UTILITIES B	7.05	0.10	0.00	0.10	90.0	0.09
06/07/2017	TULEWICZ, KRYSTYNA	MFS UTILITIES B	11.75	0.16	0.00	0.16	90.0	0.14
06/09/2017	WIKAR, SYLVIA	MFS UTILITIES B	23.46	0.33	0.00	0.33	90.0	0.30
06/09/2017	WIKAR, SYLVIA	MFS UTILITIES B	39.10	0.55	0.00	0.55	90.0	0.50
06/12/2017	DABROWSKI, PAUL	MFS UTILITIES B	114.50	1.60	0.00	1.60	90.0	1.44
06/12/2017	GUTT, MARTIN	MFS UTILITIES B	100.00	1.40	0.00	1.40	90.0	1.26
06/16/2017	SIENKIEWICZ, TOMASZ	MFS UTILITIES B	25.00	0.35	0.00	0.35	90.0	0.32
06/20/2017	LOWISZ, WLADYSLAWA	MFS UTILITIES B	108.00	1.51	0.00	1.51	90.0	1.36
06/09/2017	DABROWSKI, AGNIESZKA	MFS UTILITIES C	270.00	0.95	0.00	0.95	90.0	0.86
06/07/2017	WALCZYK, ANNA	MFS VALUE B	30.00	0.42	0.00	0.42	90.0	0.38
06/07/2017	WALCZYK, ANNA	MFS VALUE B	30.00	0.42	0.00	0.42	90.0	0.38
06/07/2017	TULEWICZ, KRYSTYNA	MFS VALUE B	11.75	0.16	0.00	0.16	90.0	0.14
06/07/2017	TULEWICZ, KRYSTYNA	MFS VALUE B	7.05	0.10	0.00	0.10	90.0	0.09
06/09/2017	WIKAR, SYLVIA	MFS VALUE B	23.46	0.33	0.00	0.33	90.0	0.30
06/09/2017	WIKAR, SYLVIA	MFS VALUE B	39.10	0.55	0.00	0.55	90.0	0.50
06/09/2017	SZAJEWSKI, REGINA	MFS VALUE B	231.20	3.24	0.00	3.24	90.0	2.92
06/09/2017	SZAJEWSKI, REGINA	MFS VALUE B	34.68	0.49	0.00	0.49	90.0	0.44
06/15/2017	PROTTO, CAMILLA	MFS VALUE B	75.00	1.05	0.00	1.05	90.0	0.95
06/20/2017	LOWISZ, WLADYSLAWA	MFS VALUE B	108.00	1.51	0.00	1.51	90.0	1.36
06/15/2017	CHOWANIEC, WOJCIECH	PUTNAM 529 AGE-BASED 2014-A	200.00	3.50	0.00	3.50	90.0	3.15
* R127-RICH/MARK/HALIN RODRIG/HUBER/W SUBTOTAL *			\$4,314.26	\$47.35	\$0.00	\$47.35		\$42.69
*** SPLIT TRANSACTIONS TOTAL ***			\$4,314.26	\$47.35	\$0.00	\$47.35		\$42.69

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARK HUBER #89 </u>								
06/15/2017	COTE JT TEN, CAROL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	13.88	0.00	13.88	0.0	12.49
* MARK HUBER SUBTOTAL *			\$0.00	\$13.88	\$0.00	\$13.88		\$12.49
<u> BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90 </u>								
06/15/2017	CIPPARRONE, NANCY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.31	0.00	4.31	0.0	3.88
06/15/2017	NARDINI, RONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	20.46	0.00	20.46	0.0	18.41
06/15/2017	NARDINI, BARBARA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	19.14	0.00	19.14	0.0	17.23
06/15/2017	NARDINI TRUST, BARBARA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.24	0.00	5.24	0.0	4.72
06/15/2017	NARDINI TRUST, RONALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.24	0.00	5.24	0.0	4.72
06/09/2017	ARCIUCH, ARTUR	MFS EMERGING MARKETS EQUITY A	1,100.00	19.25	0.00	19.25	0.0	17.33
06/09/2017	ARCIUCH, ARTUR	MFS EMERGING MARKETS EQUITY A	237.70	4.16	0.00	4.16	0.0	3.74
* R122-RICHARD/MARK RODRIGUEZ/HUBER SUBTOTAL *			\$1,337.70	\$77.80	\$0.00	\$77.80		\$70.03
<u> BROKER: DAVE/MIKE ZUPEK/VENTURINI #129 </u>								
06/12/2017	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	125.00	2.50	0.00	2.50	0.0	2.25
06/12/2017	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	125.00	2.50	0.00	2.50	0.0	2.25
06/19/2017	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	0.0	2.25
06/12/2017	VASSH, LYNN	AM FUNDS FUNDAMENTAL INVESTORS C	17.00	0.17	0.00	0.17	0.0	0.15
06/12/2017	VASSH, LYNN	AM FUNDS FUNDAMENTAL INVESTORS C	17.00	0.17	0.00	0.17	0.0	0.15
06/12/2017	VASSH, LYNN	AM FUNDS GROWTH FUND OF AMERICA C	16.50	0.17	0.00	0.17	0.0	0.15
06/12/2017	VASSH, LYNN	AM FUNDS GROWTH FUND OF AMERICA C	16.50	0.17	0.00	0.17	0.0	0.15
06/12/2017	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	16.50	0.17	0.00	0.17	0.0	0.15
06/12/2017	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	16.50	0.17	0.00	0.17	0.0	0.15
* DAVE/MIKE ZUPEK/VENTURINI SUBTOTAL *			\$400.00	\$8.52	\$0.00	\$8.52		\$7.65
<u> BROKER: WENDY GOODING #158 </u>								
06/15/2017	WALLACE, STEVEN	JP MORGAN INVESTOR GRTH & INC C	100.00	1.00	0.00	1.00	0.0	0.35
* WENDY GOODING SUBTOTAL *			\$100.00	\$1.00	\$0.00	\$1.00		\$0.35
<u> BROKER: HALINA WIKAR #171 </u>								
05/31/2017	KOZIOL SIMPLE PLAN,	BLK GROWTH PORT C1	0.00	8.96	0.00	8.96	55.0	3.14
05/31/2017	KOZIOL SIMPLE PLAN,	BLK GROWTH PORT C1	0.00	8.96	0.00	8.96	55.0	3.14
05/31/2017	KOZIOL SIMPLE PLAN,	BLK MOD 13-16 AGE BASED C1	0.00	6.50	0.00	6.50	55.0	2.28
05/31/2017	KOZIOL SIMPLE PLAN,	BLK MOD 13-16 AGE BASED C1	0.00	6.50	0.00	6.50	55.0	2.28
06/09/2017	WAL, SEBASTIAN	MFS BLENDED RESEARCH CORE C	136.20	1.36	0.00	1.36	55.0	0.48

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: HALINA WIKAR #171</u>								
06/09/2017	WAL, SEBASTIAN	MFS BLENDED RESEARCH CORE C	40.86	0.41	0.00	0.41	55.0	0.14
06/09/2017	THOMAS, SYLVIA	MFS BLENDED RESEARCH CORE C	54.18	0.54	0.00	0.54	55.0	0.19
06/09/2017	THOMAS, SYLVIA	MFS BLENDED RESEARCH CORE C	32.51	0.33	0.00	0.33	55.0	0.12
06/09/2017	THOMAS, SYLVIA	MFS DIVERSIFIED INCOME FUND C	54.18	0.54	0.00	0.54	55.0	0.19
06/09/2017	THOMAS, SYLVIA	MFS DIVERSIFIED INCOME FUND C	32.50	0.33	0.00	0.33	55.0	0.12
06/09/2017	WAL, SEBASTIAN	MFS DIVERSIFIED INCOME FUND C	136.20	1.36	0.00	1.36	55.0	0.48
06/09/2017	WAL, SEBASTIAN	MFS DIVERSIFIED INCOME FUND C	40.85	0.41	0.00	0.41	55.0	0.14
06/09/2017	WIKAR, HALINA	MFS DIVERSIFIED INCOME FUND C	23.77	0.24	0.00	0.24	55.0	0.08
06/09/2017	WIKAR, HALINA	MFS DIVERSIFIED INCOME FUND C	130.00	1.30	0.00	1.30	55.0	0.46
06/09/2017	WAL, SEBASTIAN	MFS EMERGING MKTS DEBT FUND C	102.15	1.02	0.00	1.02	55.0	0.36
06/09/2017	WAL, SEBASTIAN	MFS EMERGING MKTS DEBT FUND C	30.65	0.31	0.00	0.31	55.0	0.11
06/20/2017	TYLMAN, PETER	MFS EMERGING MKTS DEBT FUND C	50.00	0.50	0.00	0.50	55.0	0.18
06/20/2017	TYLMAN, JOANNA	MFS EMERGING MKTS DEBT FUND C	50.00	0.50	0.00	0.50	55.0	0.18
06/09/2017	THOMAS, SYLVIA	MFS INTERNATIONAL NEW DISCOVERY C	26.01	0.26	0.00	0.26	55.0	0.09
06/20/2017	SWIDER, JULIA	MFS LIMITED MATURITY FUND 529B	50.00	2.00	0.00	2.00	55.0	0.70
06/09/2017	WAL, SEBASTIAN	MFS RESEARCH BOND FUND C	136.20	1.36	0.00	1.36	55.0	0.48
06/09/2017	WAL, SEBASTIAN	MFS RESEARCH BOND FUND C	40.86	0.41	0.00	0.41	55.0	0.14
06/09/2017	THOMAS, SYLVIA	MFS RESEARCH BOND FUND C	6.50	0.07	0.00	0.07	55.0	0.02
06/09/2017	THOMAS, SYLVIA	MFS RESEARCH BOND FUND C	54.18	0.54	0.00	0.54	55.0	0.19
06/16/2017	GRESZTA, LIDIA	MFS UTILITIES B	300.00	12.00	0.00	12.00	55.0	4.20
06/09/2017	WIKAR, HALINA	MFS UTILITIES C	47.54	0.48	0.00	0.48	55.0	0.17
06/09/2017	WIKAR, HALINA	MFS UTILITIES C	260.00	2.60	0.00	2.60	55.0	0.91
06/09/2017	WAL, SEBASTIAN	MFS UTILITIES C	170.26	1.70	0.00	1.70	55.0	0.60
06/09/2017	WAL, SEBASTIAN	MFS UTILITIES C	51.08	0.51	0.00	0.51	55.0	0.18
06/09/2017	THOMAS, SYLVIA	MFS UTILITIES C	32.51	0.33	0.00	0.33	55.0	0.12
06/09/2017	THOMAS, SYLVIA	MFS UTILITIES C	54.17	0.54	0.00	0.54	55.0	0.19
06/20/2017	TYLMAN, JOANNA	MFS UTILITIES C	50.00	0.50	0.00	0.50	55.0	0.18
06/20/2017	TYLMAN, PETER	MFS UTILITIES C	50.00	0.50	0.00	0.50	55.0	0.18
06/16/2017	GRESZTA, LIDIA	MFS VALUE B	700.00	28.00	0.00	28.00	55.0	9.80
06/09/2017	WIKAR, HALINA	MFS VALUE C	47.54	0.48	0.00	0.48	55.0	0.17
06/09/2017	WIKAR, HALINA	MFS VALUE C	260.00	2.60	0.00	2.60	55.0	0.91
06/20/2017	TYLMAN, PETER	MFS VALUE C	50.00	0.50	0.00	0.50	55.0	0.18
06/20/2017	TYLMAN, JOANNA	MFS VALUE C	50.00	0.50	0.00	0.50	55.0	0.18

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<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: R133-RICH/MARK/DAVE RODRIGUEZ/HUBER #269</u>								
		* HALINA WIKAR SUBTOTAL *	\$3,350.90	\$95.95	\$0.00	\$95.95		\$33.66
<u>BROKER: R133-RICH/MARK/DAVE RODRIGUEZ/HUBER #269</u>								
06/12/2017	WEBER, SANDRA	AM FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	0.0	0.45
06/12/2017	WEBER, SANDRA	AM FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	0.0	0.45
06/12/2017	HEFT, SAMANTHA	AM FUNDS 2035 TARGET DATE-A	50.00	1.00	0.00	1.00	0.0	0.90
06/12/2017	HEFT, SAMANTHA	AM FUNDS 2035 TARGET DATE-A	50.00	1.00	0.00	1.00	0.0	0.90
05/31/2017	SWAFFORD, WILLIAM	BLK MOD 13-16 AGE BASED A	0.00	1.59	0.00	1.59	0.0	1.43
05/31/2017	SWAFFORD, WILLIAM	BLK MOD 13-16 AGE BASED A	0.00	1.40	0.00	1.40	0.0	1.26
06/16/2017	GALLAGHER, KELLY	MOODY NATIONAL REIT II	49,650.00	3,475.50	0.00	3,475.50	0.0	3,127.95
		* R133-RICH/MARK/DAVE RODRIGUEZ/HUBER SUBTOTAL *	\$49,800.00	\$3,481.49	\$0.00	\$3,481.49		\$3,133.34
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$54,988.60	\$3,678.64	\$0.00	\$3,678.64		\$3,257.52

BROKER COMMISSION TOTALS

Broker Transactions	\$7,029.56
Split Transactions	\$42.69
Override Transactions	\$3,257.52
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$10,329.77
Year-to-date Gross	\$102,349.78
Year-to-date Earnings	\$148,426.94

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed