

## COMMISSION STATEMENT

August 31, 2018

MICHAEL BARTOLOTTA  
57 EISENHOWER DRIVEBROKER #: A10 W10  
BRANCH: FULCRUM GROUP

EAST QUOGUE, NY 11942

COMMISSION STATEMENT for MICHAEL BARTOLOTTA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

08/06/2018	75502078	BARTOLOTTA, MICHAEL	VANGUARD SMALL CAP VALUE INDEX FUND S	4,102.40	0.00	0.00	0.00	80.0	0.00
08/15/2018	75502140	HAUGHEY, CHRISTIAN	VANGUARD INDEX TR VANGUARD TOTAL ST S	3,000.00	0.00	0.00	0.00	80.0	0.00
* MUTUAL FUNDS SUBTOTAL *				<u>\$7,102.40</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$0.00</u>

PRODUCT CATEGORY: STOCKS

08/23/2018	75502127	HAUGHEY, LISA	AT&T INC	B	32,595.00	0.00	0.00	0.00	80.0	0.00
08/23/2018	75502127	HAUGHEY, LISA	BP P L C SPONSORED ADR (FRM BP AMOC B		34,351.92	0.00	0.00	0.00	80.0	0.00
08/23/2018	75502127	HAUGHEY, LISA	KITE REALTY GROUP TRUST	S	34,762.09	0.00	0.00	0.00	80.0	0.00
08/23/2018	75502127	HAUGHEY, LISA	QUALCOMM INC	S	33,495.66	0.00	0.00	0.00	80.0	0.00
08/23/2018	75502127	HAUGHEY, LISA	SUNTRUST BANKS INC	B	17,391.08	0.00	0.00	0.00	80.0	0.00
08/23/2018	75502127	HAUGHEY, LISA	WEYERHAEUSER CO	S	17,450.45	0.00	0.00	0.00	80.0	0.00
* STOCKS SUBTOTAL *					<u>\$170,046.20</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$0.00</u>

PRODUCT CATEGORY: VARIABLE ANNUITIES

08/08/2018	000000148892	TAB VARIOUS,	TRANSAMERICA	B	0.00	9,100.00	0.00	9,100.00	80.0	7,280.00
* VARIABLE ANNUITIES SUBTOTAL *					<u>\$0.00</u>	<u>\$9,100.00</u>	<u>\$0.00</u>	<u>\$9,100.00</u>		<u>\$7,280.00</u>

PRODUCT CATEGORY: MISCELLANEOUS

08/14/2018	VARIOUS,	BAROLOTTA	ADP 401K PLAN	B	0.00	19,288.14	0.00	19,288.14	80.0	15,430.51
* MISCELLANEOUS SUBTOTAL *					<u>\$0.00</u>	<u>\$19,288.14</u>	<u>\$0.00</u>	<u>\$19,288.14</u>		<u>\$15,430.51</u>

PRODUCT CATEGORY: RIA

07/01/2018	75502093	FIDER, LOURDES	FAS FEES PT. II/III	B	-50.51	8.37	0.00	8.37	80.0	6.70
07/01/2018	75502127	HAUGHEY, LISA	FAS FEES PT. II/III	B	-1,817.69	602.60	0.00	602.60	80.0	482.08
07/01/2018	75502134	HAUGHEY, LISA	FAS FEES PT. II/III	B	-485.15	160.84	0.00	160.84	80.0	128.67
07/01/2018	75502152	PELLEGRINO, CATHERINE	FAS FEES PT. II/III	B	-15.78	5.23	0.00	5.23	80.0	4.18
07/01/2018	75502164	BENNETT, EVERRETT	FAS FEES PT. II/III	B	-601.84	199.52	0.00	199.52	80.0	159.62
* RIA SUBTOTAL *					<u>\$-2,970.97</u>	<u>\$976.56</u>	<u>\$0.00</u>	<u>\$976.56</u>		<u>\$781.25</u>

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/31/2018		FEE COUNT - 29		0.00	104.61	0.00	104.61	80.0	79.86
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$104.61	\$0.00	\$104.61		\$79.86
		*** BROKER TRANSACTIONS TOTAL ***		\$174,177.63	\$29,469.31	\$0.00	\$29,469.31		\$23,571.62

ADJUSTMENTS

08/31/2018		OTHER: UNBUNDLED FEES 2/3		0.00	0.00	0.00	0.00		-224.45
08/31/2018		E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00		-200.00
08/31/2018		OTHER: 360 OFFICE		0.00	0.00	0.00	0.00		-12.50
08/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
08/31/2018		OTHER: RBC WEALTH STATION		0.00	0.00	0.00	0.00		-25.00
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-481.95

BROKER COMMISSION TOTALS

Broker Transactions	\$23,571.62
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-481.95
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-12.73
SIPC Assessment	\$-25.45

Check Amount	\$23,051.49
Year-to-date Earnings	\$129,688.66