



Concorde Investment Services, LLC
COMMISSION STATEMENT
February 15, 2017

02/14/2017
 Page No. 1

JASON KEMBLE
 4106 E. Doe Creek Drive

 Columbia, MO 65202-

BROKER #: K146
 BRANCH: JEFFREY KAUFMAN

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 01/24/2017 | DANIELS, CLINTON | AM FUNDS GROWTH FUND OF AMERICA A | 200.00 | 10.00 | 0.00 | 10.00 | 90.0 | 9.00 |
| 01/24/2017 | DANIELS, CLINTON | AM FUNDS SMALLCAP WORLD A | 200.00 | 10.00 | 0.00 | 10.00 | 90.0 | 9.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$400.00 | \$20.00 | \$0.00 | \$20.00 | | \$18.00 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$400.00 | \$20.00 | \$0.00 | \$20.00 | | \$18.00 |

SPLIT TRANSACTIONS

BROKER: JEFF/JASON KAUFMAN/KEMBLE #349

| | | | | | | | | |
|------------|------------------|-------------------------------------|----------|-------|------|-------|------|-------|
| 01/25/2017 | WAHLIG, KACEY | AM FUNDS AMERICAN BALANCED A | 28.59 | 0.13 | 0.00 | 0.13 | 90.0 | 0.12 |
| 01/25/2017 | WAHLIG, KACEY | AM FUNDS AMERICAN BALANCED A | 28.59 | 0.13 | 0.00 | 0.13 | 90.0 | 0.12 |
| 01/25/2017 | WAMPLER, JESSICA | AM FUNDS AMERICAN BALANCED A | 28.59 | 0.13 | 0.00 | 0.13 | 90.0 | 0.12 |
| 01/25/2017 | WAMPLER, JESSICA | AM FUNDS AMERICAN BALANCED A | 28.60 | 0.13 | 0.00 | 0.13 | 90.0 | 0.12 |
| 01/30/2017 | GUIER, LUCCA | AM FUNDS GROWTH FUND OF AMERICA 529 | 750.00 | 16.88 | 0.00 | 16.88 | 90.0 | 15.19 |
| 01/23/2017 | BROWN, CODY | AM FUNDS GROWTH FUND OF AMERICA A | 1,548.40 | 34.84 | 0.00 | 34.84 | 90.0 | 31.36 |
| 01/25/2017 | EISELE, HAMILTON | AM FUNDS GROWTH FUND OF AMERICA A | 22.80 | 0.10 | 0.00 | 0.10 | 90.0 | 0.09 |
| 01/25/2017 | EISELE, HAMILTON | AM FUNDS GROWTH FUND OF AMERICA A | 17.10 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 01/25/2017 | DOOLEY, BRUCE | AM FUNDS GROWTH FUND OF AMERICA A | 76.99 | 0.35 | 0.00 | 0.35 | 90.0 | 0.32 |
| 01/25/2017 | DOOLEY, BRUCE | AM FUNDS GROWTH FUND OF AMERICA A | 76.99 | 0.35 | 0.00 | 0.35 | 90.0 | 0.32 |
| 01/25/2017 | BONHAM, NICOLE | AM FUNDS GROWTH FUND OF AMERICA A | 11.30 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 01/25/2017 | BONHAM, NICOLE | AM FUNDS GROWTH FUND OF AMERICA A | 11.30 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 01/25/2017 | SPEIGLE, SARAH | AM FUNDS GROWTH FUND OF AMERICA A | 3.00 | 0.01 | 0.00 | 0.01 | 90.0 | 0.01 |
| 01/25/2017 | SPEIGLE, SARAH | AM FUNDS GROWTH FUND OF AMERICA A | 3.00 | 0.01 | 0.00 | 0.01 | 90.0 | 0.01 |
| 01/25/2017 | MCMILLIAN, KATY | AM FUNDS GROWTH FUND OF AMERICA A | 98.14 | 0.44 | 0.00 | 0.44 | 90.0 | 0.40 |
| 01/25/2017 | MCMILLIAN, KATY | AM FUNDS GROWTH FUND OF AMERICA A | 98.14 | 0.44 | 0.00 | 0.44 | 90.0 | 0.40 |
| 01/25/2017 | LUKIC, MIROSLAV | AM FUNDS GROWTH FUND OF AMERICA A | 25.43 | 0.11 | 0.00 | 0.11 | 90.0 | 0.10 |
| 01/25/2017 | LUKIC, MIROSLAV | AM FUNDS GROWTH FUND OF AMERICA A | 25.42 | 0.11 | 0.00 | 0.11 | 90.0 | 0.10 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|----------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>SPLIT TRANSACTIONS</u> | | | | | | | | |
| <u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u> | | | | | | | | |
| 01/30/2017 | BROWN, CODY | AM FUNDS GROWTH FUND OF AMERICA A | 100.00 | 2.25 | 0.00 | 2.25 | 90.0 | 2.03 |
| 01/25/2017 | ZELLMER, RIANNON | AM FUNDS ICA A | 12.15 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 01/25/2017 | ZELLMER, RIANNON | AM FUNDS ICA A | 12.15 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 01/25/2017 | WAHLIG, KACEY | AM FUNDS ICA A | 28.60 | 0.13 | 0.00 | 0.13 | 90.0 | 0.12 |
| 01/25/2017 | WAHLIG, KACEY | AM FUNDS ICA A | 28.60 | 0.13 | 0.00 | 0.13 | 90.0 | 0.12 |
| 01/25/2017 | HELMANDOLLAR, OLIVIA | AM FUNDS ICA A | 18.54 | 0.09 | 0.00 | 0.09 | 90.0 | 0.08 |
| 01/25/2017 | HELMANDOLLAR, OLIVIA | AM FUNDS ICA A | 18.54 | 0.09 | 0.00 | 0.09 | 90.0 | 0.08 |
| 01/25/2017 | EISELE, HAMILTON | AM FUNDS NEW WORLD A | 22.80 | 0.10 | 0.00 | 0.10 | 90.0 | 0.09 |
| 01/25/2017 | EISELE, HAMILTON | AM FUNDS NEW WORLD A | 17.10 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 01/25/2017 | WAMPLER, JESSICA | AM FUNDS SMALLCAP WORLD A | 28.60 | 0.13 | 0.00 | 0.13 | 90.0 | 0.12 |
| 01/25/2017 | WAMPLER, JESSICA | AM FUNDS SMALLCAP WORLD A | 28.59 | 0.13 | 0.00 | 0.13 | 90.0 | 0.12 |
| 01/25/2017 | HELMANDOLLAR, OLIVIA | AM FUNDS SMALLCAP WORLD A | 18.54 | 0.09 | 0.00 | 0.09 | 90.0 | 0.08 |
| 01/25/2017 | HELMANDOLLAR, OLIVIA | AM FUNDS SMALLCAP WORLD A | 18.54 | 0.09 | 0.00 | 0.09 | 90.0 | 0.08 |
| 01/25/2017 | LUKIC, MIROSLAV | AM FUNDS SMALLCAP WORLD A | 25.42 | 0.11 | 0.00 | 0.11 | 90.0 | 0.10 |
| 01/25/2017 | LUKIC, MIROSLAV | AM FUNDS SMALLCAP WORLD A | 25.43 | 0.11 | 0.00 | 0.11 | 90.0 | 0.10 |
| 01/30/2017 | GUIER, LUCCA | AM FUNDS SMALLCAP WORLD-529A | 250.00 | 5.63 | 0.00 | 5.63 | 90.0 | 5.07 |
| * JEFF/JASON KAUFMAN/KEMBLE SUBTOTAL * | | | \$3,535.98 | \$63.60 | \$0.00 | \$63.60 | | \$57.31 |
| *** SPLIT TRANSACTIONS TOTAL *** | | | \$3,535.98 | \$63.60 | \$0.00 | \$63.60 | | \$57.31 |

ADJUSTMENTS

| | | | | | | | | |
|----------------------------------|-------------------------------------|------|---------------|---------------|---------------|---------------|--|------------------|
| 02/15/2017 | *EO INS: CURRENT1/2 MONTH INS-KEMBL | 0.00 | | | | | | -150.00 |
| 02/15/2017 | *TECH: CURRENT 1/2 MONTH TECH-KEMBL | 0.00 | | | | | | -137.50 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-287.50 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|-----------|
| Broker Transactions | \$18.00 |
| Split Transactions | \$57.31 |
| Override Transactions | \$0.00 |
| Adjustments | \$-287.50 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|-------------------|
| Balance Forward | \$-212.19 |
| Year-to-date Gross | \$5,205.37 |
| Year-to-date Earnings | \$4,684.91 |