Page No.

## **INVESTMENT SERVICES**

## **COMMISSION STATEMENT** August 15, 2017

WILLIAM WALSH 2565 West Maple

Troy, MI 48084

BROKER #: W127

BRANCH: WILLIAM WALSH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANS	ACTIONS					
PRODUCT CA	TEGORY: MUTUAL FUNDS							
07/17/2017	SENGSTOCK, KATHLEEN	**CALVER SMALL CAP FD	0.00	29.39	0.00	29.39	87.0	25.57
07/17/2017	SENGSTOCK, KATHLEEN	**CALVERT FD	0.00	3.12	0.00	3.12	87.0	2.71
07/12/2017	SENGSTOCK, KATHLEEN	**PAX FDS SER TR I	0.00	6.42	0.00	6.42	87.0	5.59
07/12/2017	MURPHY, CAROL	ALGER CAPITAL APPRECIATION A	0.00	4.36	0.00	4.36	87.0	3.79
07/12/2017	BOARD, MONTE	ALGER CAPITAL APPRECIATION A	0.00	2.41	0.00	2.41	87.0	2.10
07/12/2017	WALSH, PATRICIA	ALLIANCE SMALLCAP	0.00	0.06	0.00	0.06	87.0	0.05
07/12/2017	WALSH, DAWN ERIN	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	1.64	0.00	1.64	87.0	1.43
07/12/2017	WALSH, PATRICIA	ALLIANCEBERNSTEIN GL THEMATIC GROWT	0.00	0.29	0.00	0.29	87.0	0.25
07/12/2017	WALSH, DAWN ERIN	ALLIANCEBERNSTEIN LARGE CAP GROWTH	0.00	11.07	0.00	11.07	87.0	9.63
07/12/2017	HANSZ, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	41.95	0.00	41.95	87.0	36.50
07/12/2017	SENGSTOCK, JODIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	13.46	0.00	13.46	87.0	11.71
07/12/2017	WALSH, MEGAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.11	0.00	0.11	87.0	0.10
07/12/2017	DARGO, JILL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.09	0.00	1.09	87.0	0.95
07/12/2017	CHAWLA, MAHINDER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	33.40	0.00	33.40	87.0	29.06
07/12/2017	CHAWLA, SUVIRA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	76.16	0.00	76.16	87.0	66.26
07/12/2017	SENGSTOCK, JODIE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.03	0.00	14.03	87.0	12.21
07/12/2017	SENGSTOCK, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.84	0.00	12.84	87.0	11.17
07/12/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	42.05	0.00	42.05	87.0	36.58
07/12/2017	MURPHY, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	15.17	0.00	15.17	87.0	13.20
07/12/2017	HAMM, MARK	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.13	0.00	4.13	87.0	3.59
07/12/2017	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.36	0.00	0.36	87.0	0.31
07/12/2017	CHAWLA, SUVIRA	AM FUNDS SMALLCAP WORLD A	0.00	4.49	0.00	4.49	87.0	3.91
07/12/2017	WALSH, DAWN ERIN	BLACKROCK FUNDS FLEXIBLE EQUITY FUN	0.00	4.79	0.00	4.79	87.0	4.17
07/17/2017	SENGSTOCK, KATHLEEN	CALVERT BOND PORT A	0.00	9.06	0.00	9.06	87.0	7.88
07/12/2017	MURPHY, CAROL	DAVIS NEW YORK VENTURE A	0.00	1.23	0.00	1.23	87.0	1.07
07/12/2017	DARGO, JILL	DAVIS NEW YORK VENTURE A	0.00	1.05	0.00	1.05	87.0	0.91

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

 $<sup>\</sup>ensuremath{^{\star\star}}$  - Indicates adjustment is not included in Totals or Commissions

<sup>^</sup>Date - Split Trade - full Investment Amount & Gross Commission displayed

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PRODUCT CATEGORY: MUTUAL FUNDS	DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CATEFORY: MUTUAL FUNDS			DDOVED TDANG	A CTTONE					
07/12/2017 MALSH, DANN ERIN DANY ERIN DAVIS NEW YORK VENTURE A 0.00 12.35 0.00 12.35 87.0 07/12/2017 MALSH, MARTANNE DAVIS NEW YORK VENTURE A 0.00 9.74 0.00 9.74 87.0 07/12/2017 SENGSTOCK, JODIE DAVIS NEW YORK VENTURE C 0.00 13.28 0.00 13.28 0.00 13.28 87.0 07/12/2017 SENGSTOCK, JODIE DAVIS NEW YORK VENTURE C 0.00 13.28 0.00 13.28 87.0 07/12/2017 BDARD, MONTE DAVIS NEW YORK VENTURE C 0.00 12.72 0.00 12.72 87.0 07/12/2017 BDARD, MONTE DAVIS NEW YORK VENTURE C 0.00 12.72 0.00 12.72 87.0 07/12/2017 HAMM, MARK DAVIS NEW YORK VENTURE C 0.00 12.72 0.00 12.72 87.0 07/12/2017 HAMM, MARK DAVIS NEW YORK VENTURE C 0.00 17.92 0.00 12.92 87.0 07/12/2017 HAMM, MARK DAVIS NEW YORK VENTURE C 0.00 1.74 0.00 1.04 87.0 07/12/2017 CHAWLAS, PARTECIA DAVIS NEW YORK VENTURE C 0.00 1.74 0.00 1.04 87.0 07/12/2017 CHAWLAS, SUVIRA FIRST EAGLE GLOBAL C 0.00 1.74 0.00 7.04 87.0 07/12/2017 CHAWLAS, SUVIRA FIRST EAGLE GLOBAL C 0.00 1.74 0.00 7.00 1.04 87.0 07/12/2017 CHAWLAS, SUVIRA FIRST EAGLE GLOBAL C 0.00 44.01 0.00 44.01 87.0 07/12/2017 CHAWLAS, SUVIRA FIRST EAGLE GLOBAL C 0.00 7.76 0.00 7.76 87.0 07/12/2017 DARGO, JILL INVESCO SMALL CAP VALUE C 0.00 1.36 0.00 1.36 0.00 1.36 87.0 07/12/2017 DARGO, JILL INVESCO SMALL CAP VALUE C 0.00 79.12 0.00 79.12 87.0 07/12/2017 SENSSTOCK, JODIE INVESCO SMALL CAP VALUE C 0.00 3.36.3 0.00 3.36.8 87.0 07/12/2017 SENSSTOCK, JODIE INVESCO SMALL CAP VALUE C 0.00 3.36.3 0.00 3.36.8 87.0 07/12/2017 SENSSTOCK, JODIE INVESCO SMALL CAP VALUE C 0.00 5.42 0.00 5.42 87.0 07/12/2017 SENSSTOCK, JODIE INVESCO SMALL CAP VALUE C 0.00 5.42 0.00 5.42 87.0 07/12/2017 SENSSTOCK, JODIE INVESCO SMALL CAP VALUE C 0.00 5.42 0.00 5.42 87.0 07/12/2017 DARGO, JILL JH SYSCO SMALL CAP VALUE C 0.00 5.42 0.00 5.42 87.0 07/12/2017 SENSSTOCK, JODIE JAPA STATISKEY FUND CLASS A 0.00 5.42 0.00 5.42 87.0 07/12/2017 SENSSTOCK, JODIE JAPA STATISKEY FUND CLASS A 0.00 5.42 0.00 5.42 87.0 07/12/2017 DARGO, JILL JAPA STATISKEY FUND CLASS A 0.00 5.42 0.00 5.42 87.0 07/12/2017 DARGO, JILL JAPA STATISKEY FUND CLASS A 0.00 5.42 0.00 5.42 87.0 07/12/			DRUKER IRANS	ACTIONS					
07/12/2017         WLSH, MARIANNE         DAVIS NEN YORK VENTURE A         0.00         9.74         0.00         9.74         0.00           07/12/2017         MUDRIY, DANTEL         DAVIS NEN YORK VENTURE C         0.00         9.74         0.00         3.28         0.00         13.28         0.00         3.28         0.00         0.71.29         0.00         0.71.27         0.00         0.23.1         0.00         2.31         0.00         0.23.1         0.00         0.23.1         0.00         0.23.1         0.00         0.27.2         0.00         0.27.2         0.00         0.27.2         0.00         0.27.2         0.00         0.27.2         0.00         0.12.72         0.00         0.12.72         0.00         0.12.72         0.00         0.12.72         0.00         0.12.72         0.00         0.12.72         0.00         0.12.72         0.00         0.12.72         0.00         0.12.72         0.00         0.12.72         0.00         0.12.72         0.00         0.12.72         0.00         0.12.72         0.00         0.12.72         0.00         0.00         0.00         0.00         0.00         0.00         0.00         0.00         0.00         0.00         0.00         0.00         0.00         0.00	RODUCT CAI	regory: Mutual funds							
07/12/2017 MURPHY, DANIEL DAVIS NEW YORK VENTURE A 0.00 9.74 0.00 13.28 87.0 07/12/2017 SENSSTOCK, JODIE DAVIS NEW YORK VENTURE C 0.00 13.28 0.00 13.28 87.0 07/12/2017 SENSSTOCK, DAVID DAVIS NEW YORK VENTURE C 0.00 13.28 0.00 12.72 87.0 07/12/2017 SENSSTOCK, DAVID DAVIS NEW YORK VENTURE C 0.00 12.72 0.00 12.72 87.0 07/12/2017 HAMM, MARK DAVIS NEW YORK VENTURE C 0.00 19.92 0.00 19.92 87.0 07/12/2017 SENSSTOCK, DAVID DAVIS NEW YORK VENTURE C 0.00 19.92 0.00 19.92 87.0 07/12/2017 SENSSTOCK, RATHLEEN DAVIS NEW YORK VENTURE C 0.00 17.04 0.00 17.04 87.0 07/12/2017 SENSSTOCK, KATHLEEN EVENTIDE GILEAD FUND CLASS A 0.00 7.04 0.00 44.01 87.0 07/12/2017 CHAMLA, SUVIRA FIRST EAGLS GLOBAL C 0.00 44.01 0.00 44.01 87.0 07/12/2017 WALSH, DANN ERIN FIRST EAGLS GLOBAL C 0.00 44.01 0.00 44.01 87.0 07/12/2017 WALSH, DANN ERIN FIRST EAGLS GLOBAL CA VALUE A 0.00 7.04 0.00 7.04 87.0 07/12/2017 WALSH, DANN ERIN FIRST EAGLS GLOBAL CA VALUE A 0.00 1.04 0.00 1.04 87.0 07/12/2017 WALSH, DANN ERIN FIRST EAGLS GLOBAL CAP VALUE C 0.00 1.04 0.00 1.04 87.0 07/12/2017 WALSH, MAHINDER INVESCO SMALL CAP VALUE C 0.00 79.12 0.00 9.01 97.12 87.0 07/12/2017 SENSSTOCK, JODIE INVESCO SMALL CAP VALUE C 0.00 33.63 0.00 33.63 87.0 07/12/2017 SENSSTOCK, JODIE INVESCO SMALL CAP VALUE C 0.00 0.00 4.00 0.00 4.00 87.0 07/12/2017 WILPHY, DANNEL IVY ASSET STRATEGY FUND CLASS A 0.00 5.42 0.00 0.00 0.00 0.00 87.0 07/12/2017 WILPHY, DANNEL IVY ASSET STRATEGY FUND CLASS A 0.00 5.42 0.00 0.00 0.01 87.0 07/12/2017 MURPHY, DANNEL IVY ASSET STRATEGY FUND CLASS A 0.00 0.01 0.00 0.00 0.01 87.0 07/12/2017 MURPHY, DANNEL IVY ASSET STRATEGY FUND CLASS A 0.00 0.01 0.00 0.00 0.01 0.00		WALSH, DAWN ERIN	DAVIS NEW YORK VENTURE A	0.00	12.35	0.00	12.35		10.74
07/12/2017         SENGSTOCK, JODIE         DAVIS NEW YORK VENTURE C         0.00         13.28         0.00         2.31         0.00         2.31         0.00         2.31         0.00         2.31         0.00         2.31         0.00         2.31         0.00         2.31         0.00         2.31         0.70         0.70         0.71         2017         SENGSTOCK, DAVID         DAVIS NEW YORK VENTURE C         0.00         12.72         0.00         12.72         0.00         19.92         0.00         19.92         0.00         19.92         0.00         19.92         0.00         17.44         0.00         17.44         0.00         17.44         0.00         17.44         0.00         17.44         0.00         17.44         0.00         7.01         07/12/2017         VALSH, PATRICIA         DAVIS NEW YORK VENTURE C         0.00         1.04         0.00         1.04         0.00         1.04         0.00         1.04         0.00         1.01         0.00         07/12/2017         0.00         1.04         0.00         1.04         0.00         1.04         0.00         1.04         0.00         1.04         0.00         1.04         0.00         1.04         0.00         0.12         0.00         0.72         0.00<	7/12/2017	WALSH, MARIANNE	DAVIS NEW YORK VENTURE A	0.00	1.01	0.00	1.01	87.0	0.88
07/12/2017 BOARD, MONTE DAVIS NEW YORK VENTURE C 0.00 2.31 0.00 2.31 0.00 12.72 87.0 07/12/2017 SENSSTOCK, DAVID DAVIS NEW YORK VENTURE C 0.00 12.72 0.00 12.72 87.0 07/12/2017 MADM, MARK DAVIS NEW YORK VENTURE C 0.00 12.92 0.00 19.92 87.0 07/12/2017 WALSH, PATRICIA DAVIS NEW YORK VENTURE C 0.00 1.04 0.00 1.04 87.0 07/12/2017 SENSSTOCK, KAIHLEEN EVENTIDE GILEAD FUND CLASS A 0.00 7.04 0.00 7.04 87.0 07/12/2017 CHANLA, SUVIRA PIRST FACEL GLOBAL C 0.00 44.01 0.00 44.01 87.0 07/12/2017 WALSH, DAWN ERIN FRANKLIN TEMPLETON FUNDS CORV SECUR 0.00 1.04 0.00 7.76 87.0 07/12/2017 WALSH, DAWN ERIN FRANKLIN TEMPLETON FUNDS CORV SECUR 0.00 1.04 0.00 1.04 87.0 07/12/2017 WALSH, DAWN ERIN INVESCO SMALL CAP VALUE C 0.00 79.12 0.00 79.12 87.0 07/12/2017 CHANLA, MAHINDER INVESCO SMALL CAP VALUE C 0.00 79.12 0.00 79.12 87.0 07/12/2017 SENSSTOCK, DAVID INVESCO SMALL CAP VALUE C 0.00 33.63 87.0 07/12/2017 SENSSTOCK, DAVID INVESCO SMALL CAP VALUE C 0.00 33.63 87.0 07/12/2017 WALSH, DAWN ERIN INVESCO SMALL CAP VALUE C 0.00 33.63 87.0 07/12/2017 WALSH, DAWN ERIN INVESCO SMALL CAP VALUE C 0.00 5.42 0.00 5.42 87.0 07/12/2017 WALSH, DAWN ERIN INVESCO SMALL CAP VALUE C 0.00 5.42 0.00 5.42 87.0 07/12/2017 WALSH, DAWN ERIN INVESCO SMALL CAP VALUE C 0.00 5.42 0.00 5.42 87.0 07/12/2017 WALSH, DAWN ERIN INVESCO SMALL CAP VALUE C 0.00 5.42 0.00 5.42 87.0 07/12/2017 WALSH, DAWN ERIN INVESCO SMALL CAP VALUE C 0.00 5.42 0.00 5.42 87.0 07/12/2017 WALSH, DAWN ERIN INVESCO SMALL CAP VALUE C 0.00 5.42 0.00 0.01 0.00 0.01 0.01 0.01 0.01 0.0	7/12/2017	MURPHY, DANIEL	DAVIS NEW YORK VENTURE A	0.00	9.74	0.00	9.74	87.0	8.47
07/12/2017 SENGSTOCK, DAVID DAVIS NEW YORK VENTURE C 0.00 19.92 0.00 19.92 87.0 07/12/2017 HAMM, MARK DAVIS NEW YORK VENTURE C 0.00 19.92 0.00 19.92 87.0 07/12/2017 SENGSTOCK, KATHLEEN DAVIS NEW YORK VENTURE C 0.00 1.74 0.00 1.74 87.0 07/12/2017 SENGSTOCK, KATHLEEN EVENTURE GILEAD FUND CLASS A 0.00 7.04 0.00 7.04 87.0 07/12/2017 CHAWLA, SUVIRA FIRST EAGLE GLOBAL C 0.00 44.01 0.00 7.06 87.0 07/12/2017 WALSH, DAWN REIN FRANKLIN ISMALL CAP GROWTH A 0.00 7.76 0.00 7.76 87.0 07/12/2017 WALSH, DAWN REIN FRANKLIN ISMALL CAP GROWTH A 0.00 1.04 0.00 1.04 0.00 1.04 87.0 07/12/2017 WALSH, DAWN REIN FRANKLIN TEMPLETON FUNDS CONV SECUR 0.00 1.04 0.00 1.04 0.00 1.04 87.0 07/12/2017 DARGO, JILL INVESCO SMALL CAP VALUE C 0.00 79.12 0.00 79.12 87.0 07/12/2017 CHAWLA, MAHINDER INVESCO SMALL CAP VALUE C 0.00 79.12 0.00 79.12 87.0 07/12/2017 SENGSTOCK, DAVID INVESCO SMALL CAP VALUE C 0.00 33.63 0.00 33.63 87.0 07/12/2017 SENGSTOCK, DAVID INVESCO SMALL CAP VALUE C 0.00 0.00 0.00 0.00 0.00 87.0 07/12/2017 MURPHY, DANIEL INVESCO SMALL CAP VALUE C 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	7/12/2017	SENGSTOCK, JODIE	DAVIS NEW YORK VENTURE C	0.00	13.28	0.00	13.28	87.0	11.55
07/12/2017         HAMM, MARK         DAVIS NEW YORK VENTURE C         0.00         19.92         0.00         19.92         87.0           07/12/2017         WALSH, PATRICIA         DAVIS NEW YORK VENTURE C         0.00         1.74         0.00         1.74         87.0           07/10/2017         SERGSTOCK, KATHLEEN         EVENTIDE GILEAD FUND CLASS A         0.00         44.01         0.00         7.04         0.00         44.01         87.0           07/12/2017         CHANLA, SUVIRA         FIRST EAGLE GLOBAL C         0.00         44.01         0.00         7.76         87.0           07/12/2017         WALSH, DANN ERIN         FRANKLIN SMALL CAP GROWTH A         0.00         1.04         0.00         1.04         97.0           07/12/2017         WALSH, PATRICIA         FRANKLIN TEMPLETON FUNDS CONV SECUR         0.00         1.04         0.00         1.04         87.0           07/12/2017         WALSH, PATRICIA         INVESCO SMALL CAP VALUE C         0.00         1.36         0.00         33.63         0.00         33.63         87.0           07/12/2017         SENGSTOCK, JODIE         INVESCO SMALL CAP VALUE C         0.00         0.00         0.00         33.63         87.0           07/12/2017         SENGSTOCK, DAVID	7/12/2017	BOARD, MONTE	DAVIS NEW YORK VENTURE C	0.00	2.31	0.00	2.31	87.0	2.01
07/12/2017         WALSH, PATRICIA         DAVIS NEW YORK VENTURE C         0.00         1.74         0.00         1.74         8.70           07/10/2017         SENGSTOCK, KATHLEEN         EVENTIDE GILEAD FUND CLASS A         0.00         7.04         0.00         7.04         87.0           07/12/2017         CHAMLA, SUVIRA         FIRST EAGLE GLOBAL C         0.00         44.01         0.00         44.01         87.0           07/12/2017         WALSH, PATRICIA         FRANKLIN TEMPLETON FUNDS CONV SECUR         0.00         1.04         0.00         1.04         87.0           07/12/2017         CHARLA, MARITNER         INVESCO SMALL CAP VALUE C         0.00         1.36         0.00         79.12         87.0           07/12/2017         SENGSTOCK, JODIE         INVESCO SMALL CAP VALUE C         0.00         79.12         0.0         79.12         87.0           07/12/2017         SENGSTOCK, DAVID         INVESCO SMALL CAP VALUE C         0.00         0.00         0.00         33.63         0.00         37.0         37.0         87.0           07/12/2017         SENGSTOCK, DAVID         INVESCO SMALL CAP VALUE C         0.00         0.0         0.0         0.0         3.0         0.0         1.0         0.0         1.0         0.0	7/12/2017	SENGSTOCK, DAVID	DAVIS NEW YORK VENTURE C	0.00	12.72	0.00	12.72	87.0	11.07
07/10/2017         SENGSTOCK, KATHLEEN         EVENTIDE GILEAD FUND CLASS A         0.00         7.04         0.00         7.04         87.01           07/12/2017         CHAMLA, SUUTRA         FIRST EAGLE GLOBAL C         0.00         44.01         0.00         44.01         87.0           07/12/2017         WALSH, DANW ERIN         FRANKLIN SMALL CAP GROWTH A         0.00         7.76         0.00         1.04         87.0           07/12/2017         WALSH, PATRICIA         FRANKLIN TEMPLETON FUNDS CONV SECUR         0.00         1.04         0.00         1.04         87.0           07/12/2017         DARGO, JILL         INVESCO SMALL CAP VALUE A         0.00         79.12         0.00         79.12         0.00         79.12         0.00         33.63         0.00         33.63         0.00         33.63         0.00         33.63         0.00         0.01         30.0         33.63         0.00         0.01         0.00         0.01         0.00         0.01         0.00         0.01         0.00         0.01         0.00         0.01         0.00         0.01         0.00         0.01         0.00         0.01         0.00         0.01         0.00         0.01         0.00         0.01         0.00         0.01 <t< td=""><td>7/12/2017</td><td>HAMM, MARK</td><td>DAVIS NEW YORK VENTURE C</td><td>0.00</td><td>19.92</td><td>0.00</td><td>19.92</td><td>87.0</td><td>17.33</td></t<>	7/12/2017	HAMM, MARK	DAVIS NEW YORK VENTURE C	0.00	19.92	0.00	19.92	87.0	17.33
07/12/2017         CHAWLA, SUVIRA         FIRST EAGLE GLOBAL C         0.00         44.01         0.00         44.01         87.0           07/12/2017         WALSH, DAWN ERIN         FRANKLIN SMALL CAP GROWTH A         0.00         7.76         0.00         7.76         87.0           07/12/2017         WALSH, PATRICIA         FRANKLIN TEMPLETON FUNDS CONV SECUR         0.00         1.04         0.00         1.04         87.0           07/12/2017         DARGO, JILL         INVESCO SMALL CAP VALUE C         0.00         79.12         0.00         79.12         87.0           07/12/2017         SENGSTOCK, JODIE         INVESCO SMALL CAP VALUE C         0.00         33.63         0.00         33.63         87.0           07/12/2017         SENGSTOCK, JODIE         INVESCO SMALL CAP VALUE C         0.00         33.63         0.00         33.63         87.0           07/12/2017         MURPHY, DANIEL         INVESCO SMALL CAP VALUE C         0.00         0.00         0.00         45.1         87.0           07/12/2017         MURPHY, DANIEL         IVY ASSET STRATEGY FUND CLASS A         0.00         45.1         0.00         45.1         87.0           07/12/2017         MURPHY, DANIEL         IVY ASSET STRATEGY FUND CLASS A         0.00         0.01	7/12/2017	WALSH, PATRICIA	DAVIS NEW YORK VENTURE C	0.00	1.74	0.00	1.74	87.0	1.51
07/12/2017         WALSH, DAWN ERIN         FRANKLIN SMALL CAP GROWTH A         0.00         7.76         0.00         7.76         87.0           07/12/2017         WALSH, PATRICIA         FRANKLIN TEMPLETON FUNDS CONV SECUR         0.00         1.04         0.00         1.04         0.00         1.04         87.0           07/12/2017         DARGO, JILL         INVESCO SMALL CAP VALUE A         0.00         79.12         0.00         79.12         87.0           07/12/2017         SENGSTOCK, JODIE         INVESCO SMALL CAP VALUE C         0.00         33.63         0.00         33.63         87.0           07/12/2017         SENGSTOCK, DAVID         INVESCO SMALL CAP VALUE C         0.00         0.00         0.00         0.00         0.00         33.63         87.0           07/12/2017         MURPHY, DANIEL         IVY ASSET STRATEGY FUND CLASS A         0.00         4.51         0.00         4.51         87.0           07/12/2017         MURPHY, DANIEL         IVY ASSET STRATEGY FUND CLASS A         0.00         5.42         0.00         5.42         0.00         6.51         87.0           07/12/2017         MURPHY, DANIEL         IVY ASSET STRATEGY FUND CLASS A         0.00         5.42         0.00         0.01         2.7         0.00	7/10/2017	SENGSTOCK, KATHLEEN	EVENTIDE GILEAD FUND CLASS A	0.00	7.04	0.00	7.04	87.0	6.12
07/12/2017         WALSH, PATRICIA         FRANKLIN TEMPLETON FUNDS CONV SECUR         0.00         1.04         0.00         1.04         0.00         1.04         87.0           07/12/2017         DARGO, JILL         INVESCO SMALL CAP VALUE C         0.00         79.12         0.00         79.12         0.00         79.12         87.0           07/12/2017         SENGSTOCK, JODIE         INVESCO SMALL CAP VALUE C         0.00         33.63         0.00         33.63         87.0           07/12/2017         SENGSTOCK, DAVID         INVESCO SMALL CAP VALUE C         0.00         0.00         0.00         0.00         33.63         0.00         87.0           07/12/2017         SENGSTOCK, DAVID         INVESCO SMALL CAP VALUE C         0.00         0.00         0.00         0.00         0.00         4.51         0.00         4.51         87.0         47.1         0.00         4.51         0.00         4.51         87.0         47.1         0.00         4.51         87.0         47.1         0.00         4.51         0.00         4.51         87.0         47.1         0.00         4.51         0.00         4.51         87.0         47.0         0.00         4.51         87.0         47.1         0.00         4.51         87.0	7/12/2017	CHAWLA, SUVIRA	FIRST EAGLE GLOBAL C	0.00	44.01	0.00	44.01	87.0	38.29
07/12/2017         DARGO, JILL         INVESCO SMALL CAP VALUE A         0.00         1.36         0.00         1.36         87.0           07/12/2017         CHAWLA, MAHINDER         INVESCO SMALL CAP VALUE C         0.00         79.12         0.00         33.63         87.0           07/12/2017         SENGSTOCK, JODIE         INVESCO SMALL CAP VALUE C         0.00         33.63         0.00         33.63         87.0           07/12/2017         MURPHY, DANIEL         IVY ASSET STATEGY FUND CLASS A         0.00         4.51         0.00         4.51         87.0           07/12/2017         MURPHY, DANIEL         IVY ASSET STATEGY FUND CLASS A         0.00         5.42         0.00         4.51         87.0           07/12/2017         MURPHY, DANIEL         IVY ASSET STATEGY FUND CLASS A         0.00         5.42         0.00         5.42         0.00         5.02         87.0           07/12/2017         MURPHY, DANIEL         IVY ASSET STATEGY FUND CLASS A         0.00         0.01         0.00         0.01         4.51         87.0           07/12/2017         WALSH, DARIN ERIN         JH FINANCIAL INDUSTRIES A         0.00         0.01         0.00         1.72         80.0           07/12/2017         MURPHY, DANIEL         JH REGIONAL B	7/12/2017	WALSH, DAWN ERIN	FRANKLIN SMALL CAP GROWTH A	0.00	7.76	0.00	7.76	87.0	6.75
07/12/2017         CHAWLA, MAHINDER         INVESCO SMALL CAP VALUE C         0.00         79.12         0.00         79.12         87.0           07/12/2017         SENGSTOCK, JODIE         INVESCO SMALL CAP VALUE C         0.00         33.63         0.00         33.63         87.0           07/12/2017         SENGSTOCK, DAVID         INVESCO SMALL CAP VALUE C         0.00         0.00         0.00         0.00         0.00         0.00         87.0           07/12/2017         MURPHY, DANIEL         IVY ASSET STRATEGY FUND CLASS A         0.00         4.51         0.00         5.42         0.00         5.42         87.0           07/12/2017         MURPHY, DANIEL         IVY ASSET STRATEGY FUND CLASS A         0.00         5.42         0.00         5.42         0.00         5.42         87.0           07/12/2017         DARGO, JILL         JY ASSET STRATEGY FUND CLASS A         0.00         0.01         0.00         0.01         8.0           07/12/2017         DARGO, JILL         JH FINANCIAL INDUSTRIES A         0.00         0.01         1.72         0.00         1.72         87.0           07/12/2017         MURPHY, DANIEL         JH REGIONAL BANK A         0.00         0.04         0.00         0.04         8.51         87.0	7/12/2017	WALSH, PATRICIA	FRANKLIN TEMPLETON FUNDS CONV SECUR	0.00	1.04	0.00	1.04	87.0	0.90
07/12/2017         SENGSTOCK, JODIE         INVESCO SMALL CAP VALUE C         0.00         33.63         0.00         33.63         87.0           07/12/2017         SENGSTOCK, DAVID         INVESCO SMALL CAP VALUE C         0.00         0.00         0.00         0.00         0.00         4.51         0.00         4.51         87.0           07/12/2017         MURPHY, DANIEL         IVY ASSET STRATEGY FUND CLASS A         0.00         5.42         0.00         5.42         87.0           07/12/2017         DARGO, JILL         JH 529 EQUITY PORT A         0.00         0.01         0.00         0.01         87.0           07/12/2017         WALSH, DAWN ERIN         JH FINANCIAL INDUSTRIES A         0.00         1.72         0.00         1.72         87.0           07/12/2017         WALSH, DAWN ERIN         JH REGIONAL BANK A         0.00         8.51         0.00         1.72         87.0           07/12/2017         MURPHY, DANIEL         JH REGIONAL BANK A         0.00         8.51         0.00         1.72         87.0           07/12/2017         MURPHY, DANIEL         JH REGIONAL BANK A         0.00         8.51         0.00         1.72         87.0           07/12/2017         DARGO, JILL         LORD ABBETT DEVELOPING GROWTH FUND<	7/12/2017	DARGO, JILL	INVESCO SMALL CAP VALUE A	0.00	1.36	0.00	1.36	87.0	1.18
07/12/2017         SENGSTOCK, DAVID         INVESCO SMALL CAP VALUE C         0.00         0.00         0.00         0.00         87.0           07/12/2017         MURPHY, DANIEL         IVY ASSET STRATEGY FUND CLASS A         0.00         4.51         0.00         4.51         87.0           07/12/2017         MURPHY, DANIEL         IVY ASSET STRATEGY FUND CLASS A         0.00         5.42         0.00         5.42         87.0           07/12/2017         DARGO, JILL         JH 529 EQUITY PORT A         0.00         0.01         0.00         0.01         8.51         87.0           07/12/2017         WALSH, DAWN ERIN         JH FINANCIAL INDUSTRIES A         0.00         1.72         0.00         1.72         87.0           07/12/2017         MURPHY, DANIEL         JH REGIONAL BANK A         0.00         8.51         0.00         8.51         87.0           07/12/2017         DARGO, JILL         LORD ABBETT DEVELOPING GROWTH A         0.00         0.42         0.00         0.42         87.0           07/12/2017         CHAWLA, MAHINDER         LORD ABBETT DEVELOPING GROWTH FUND         0.00         51.57         0.00         55.15         87.0           07/12/2017         SENGSTOCK, DOILE         LORD ABBETT DEVELOPING GROWTH FUND         0.00	7/12/2017	CHAWLA, MAHINDER	INVESCO SMALL CAP VALUE C	0.00	79.12	0.00	79.12	87.0	68.83
07/12/2017         MURPHY, DANIEL         IVY ASSET STRATEGY FUND CLASS A         0.00         4.51         0.00         4.51         87.0           07/12/2017         MURPHY, DANIEL         IVY ASSET STRATEGY FUND CLASS A         0.00         5.42         0.00         5.42         87.0           / / DARGO, JILL         JH 529 EQUITY PORT A         0.00         0.01         0.00         0.01         87.0           07/12/2017         WALSH, DAWN ERIN         JH FINANCIAL INDUSTRIES A         0.00         1.72         0.00         1.72         87.0           07/12/2017         MURPHY, DANIEL         JH REGIONAL BANK A         0.00         8.51         0.00         8.51         87.0           07/12/2017         DARGO, JILL         LORD ABBETT DEVELOPING GROWTH A         0.00         0.42         0.00         0.42         87.0           07/12/2017         CHAWLA, MAHINDER         LORD ABBETT DEVELOPING GROWTH FUND         0.00         51.57         0.00         51.57         87.0           07/12/2017         SENGSTOCK, JODIE         LORD ABBETT DEVELOPING GROWTH FUND         0.00         10.01         0.00         10.01         87.0           07/12/2017         SENGSTOCK, DAVID         LORD ABBETT DEVELOPING GROWTH FUND         0.00         10.01         0.00	7/12/2017	SENGSTOCK, JODIE	INVESCO SMALL CAP VALUE C	0.00	33.63	0.00	33.63	87.0	29.26
07/12/2017         MURPHY, DANIEL         IVY ASSET STRATEGY FUND CLASS A         0.00         5.42         0.00         5.42         87.0           / / DARGO, JILL         JH 529 EQUITY PORT A         0.00         0.01         0.00         0.01         87.0           07/12/2017         WALSH, DAWN ERIN         JH FINANCIAL INDUSTRIES A         0.00         1.72         0.00         1.72         87.0           07/12/2017         MURPHY, DANIEL         JH REGIONAL BANK A         0.00         8.51         0.00         8.51         87.0           07/12/2017         DARGO, JILL         LORD ABBETT DEVELOPING GROWTH A         0.00         0.42         0.00         0.42         87.0           07/12/2017         CHAWLA, MAHINDER         LORD ABBETT DEVELOPING GROWTH FUND         0.00         25.19         0.00         25.19         87.0           07/12/2017         SENGSTOCK, JODIE         LORD ABBETT DEVELOPING GROWTH FUND         0.00         51.57         0.00         51.57         87.0           07/12/2017         SENGSTOCK, DAVID         LORD ABBETT DEVELOPING GROWTH FUND         0.00         10.01         0.00         10.01         87.0           07/12/2017         WALSH, MARIANNE         MFS MASS INVESTORS GROWTH STOCK A         0.00         1.62	7/12/2017	SENGSTOCK, DAVID	INVESCO SMALL CAP VALUE C	0.00	0.00	0.00	0.00	87.0	0.00
/ /         DARGO, JILL         JH 529 EQUITY PORT A         0.00         0.01         0.00         0.01         87.0           07/12/2017         WALSH, DAWN ERIN         JH FINANCIAL INDUSTRIES A         0.00         1.72         0.00         1.72         87.0           07/12/2017         MURPHY, DANIEL         JH REGIONAL BANK A         0.00         8.51         0.00         8.51         87.0           07/12/2017         DARGO, JILL         LORD ABBETT DEVELOPING GROWTH A         0.00         0.42         0.00         0.42         87.0           07/12/2017         CHAWLA, MAHINDER         LORD ABBETT DEVELOPING GROWTH FUND         0.00         25.19         0.00         25.19         87.0           07/12/2017         SENGSTOCK, JODIE         LORD ABBETT DEVELOPING GROWTH FUND         0.00         10.01         0.00         10.01         87.0           07/12/2017         SENGSTOCK, DAVID         LORD ABBETT DEVELOPING GROWTH FUND         0.00         10.10         0.00         10.01         87.0           07/12/2017         WALSH, MARIANNE         MFS MASS INVESTORS GROWTH STOCK A         0.00         1.06         0.00         1.06         87.0           07/12/2017         SENGSTOCK, FRANK         MFS MASSACHUSETTS INVESTORS TRUST C         0.00 <td< td=""><td>7/12/2017</td><td>MURPHY, DANIEL</td><td>IVY ASSET STRATEGY FUND CLASS A</td><td>0.00</td><td>4.51</td><td>0.00</td><td>4.51</td><td>87.0</td><td>3.92</td></td<>	7/12/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	4.51	0.00	4.51	87.0	3.92
07/12/2017 WALSH, DAWN ERIN JH FINANCIAL INDUSTRIES A 0.00 1.72 0.00 1.72 87.0 07/12/2017 MURPHY, DANIEL JH REGIONAL BANK A 0.00 8.51 0.00 8.51 87.0 07/12/2017 DARGO, JILL LORD ABBETT DEVELOPING GROWTH A 0.00 0.42 0.00 0.42 87.0 07/12/2017 CHAWLA, MAHINDER LORD ABBETT DEVELOPING GROWTH FUND 0.00 25.19 0.00 25.19 87.0 07/12/2017 CHAWLA, SUVIRA LORD ABBETT DEVELOPING GROWTH FUND 0.00 51.57 0.00 51.57 87.0 07/12/2017 SENGSTOCK, JODIE LORD ABBETT DEVELOPING GROWTH FUND 0.00 10.01 0.00 10.01 87.0 07/12/2017 SENGSTOCK, DAVID LORD ABBETT DEVELOPING GROWTH FUND 0.00 10.10 0.00 10.10 87.0 07/12/2017 WALSH, MARIANNE MFS MASS INVESTORS GROWTH STOCK A 0.00 1.06 0.00 1.06 87.0 07/12/2017 SENGSTOCK, FRANK MFS MASSACHUSETTS INVESTORS TRUST C 0.00 1.62 0.00 1.62 87.0 07/12/2017 HAMM, MARK OPPENHEIMER SMALL MID CAP VALUE C 0.00 500.24 0.00 500.24 87.0 07/12/2017 APPLECON, LICC PIMCO ALL ASSET A 0.00 31.88 0.00 31.88 87.0 07/12/2017 YOUNG PROFIT SHARING, RICK PIMCO ALL ASSET A 0.00 31.88 0.00 31.88 87.0 07/12/2017 YOUNG PROFIT SHARING, RICK PIMCO ALL ASSET A 0.00 31.88 0.00 31.88 87.0 07/12/2017 YOUNG PROFIT SHARING, RICK PIMCO ALL ASSET A 0.00 31.88 87.0 07/12/2017 YOUNG PROFIT SHARING, RICK PIMCO ALL ASSET A 0.00 31.88 87.0 07/12/2017 YOUNG PROFIT SHARING, RICK PIMCO ALL ASSET A 0.00 31.88 87.0 07/12/2017 YOUNG PROFIT SHARING, RICK PIMCO ALL ASSET A 0.00 31.88 87.0 07/12/2017 YOUNG PROFIT SHARING, RICK PIMCO ALL ASSET A 0.00 31.88 87.0 07/12/2017 YOUNG PROFIT SHARING, RICK PIMCO ALL ASSET A 0.00 31.88 87.0 07/12/2017 YOUNG PROFIT SHARING, RICK PIMCO ALL ASSET A 0.00 31.88 87.0 07/12/2017 YOUNG PROFIT SHARING, RICK PIMCO ALL ASSET A 0.00 31.88 87.0 07/12/2017 YOUNG PROFIT SHARING, RICK PIMCO ALL ASSET A 0.00 31.88 87.0 07/12/2017 YOUNG PROFIT SHARING, RICK PIMCO ALL ASSET A 0.00 31.88 87.0 07/12/2017 YOUNG PROFIT SHARING, RICK PIMCO ALL ASSET A 0.00 31.88 87.0 07/12/2017 YOUNG PROFIT SHARING, RICK PIMCO ALL ASSET A 0.00 31.88 87.0 07/12/2017 YOUNG PROFIT SHARING, RICK PIMCO ALL ASSET A 0.00 31.88 87.0 07/12/2017 YOUNG PROFIT SH	7/12/2017	MURPHY, DANIEL	IVY ASSET STRATEGY FUND CLASS A	0.00	5.42	0.00	5.42	87.0	4.72
07/12/2017         MURPHY, DANIEL         JH REGIONAL BANK A         0.00         8.51         0.00         8.51         87.0           07/12/2017         DARGO, JILL         LORD ABBETT DEVELOPING GROWTH A         0.00         0.42         0.00         0.42         87.0           07/12/2017         CHAWLA, MAHINDER         LORD ABBETT DEVELOPING GROWTH FUND         0.00         25.19         0.00         25.19         87.0           07/12/2017         SENGSTOCK, JODIE         LORD ABBETT DEVELOPING GROWTH FUND         0.00         10.01         0.00         10.01         87.0           07/12/2017         SENGSTOCK, JODIE         LORD ABBETT DEVELOPING GROWTH FUND         0.00         10.01         0.00         10.01         87.0           07/12/2017         SENGSTOCK, DAVID         LORD ABBETT DEVELOPING GROWTH FUND         0.00         10.10         0.00         10.10         87.0           07/12/2017         WALSH, MARIANNE         MFS MASS INVESTORS GROWTH STOCK A         0.00         1.06         0.00         1.06         87.0           07/12/2017         SENGSTOCK, FRANK         MFS MASSACHUSETTS INVESTORS TRUST C         0.00         1.62         0.00         1.62         87.0           07/12/2017         HAMM, MARK         OPPENHEIMER SMALL MID CAP VALUE C	/ /	DARGO, JILL	JH 529 EQUITY PORT A	0.00	0.01	0.00	0.01	87.0	0.01
07/12/2017         DARGO, JILL         LORD ABBETT DEVELOPING GROWTH A         0.00         0.42         0.00         0.42         87.0           07/12/2017         CHAWLA, MAHINDER         LORD ABBETT DEVELOPING GROWTH FUND         0.00         25.19         0.00         25.19         87.0           07/12/2017         CHAWLA, SUVIRA         LORD ABBETT DEVELOPING GROWTH FUND         0.00         51.57         0.00         51.57         87.0           07/12/2017         SENGSTOCK, JODIE         LORD ABBETT DEVELOPING GROWTH FUND         0.00         10.01         0.00         10.01         87.0           07/12/2017         SENGSTOCK, DAVID         LORD ABBETT DEVELOPING GROWTH FUND         0.00         10.10         0.00         10.10         87.0           07/12/2017         WALSH, MARIANNE         MFS MASS INVESTORS GROWTH STOCK A         0.00         1.06         0.00         1.06         87.0           07/12/2017         SENGSTOCK, FRANK         MFS MASSACHUSETTS INVESTORS TRUST C         0.00         1.62         0.00         1.62         87.0           07/12/2017         HAMM, MARK         OPPENHEIMER SMALL MID CAP VALUE C         0.00         14.51         0.00         14.51         87.0           07/12/2017         APPLECON, LLC         PIMCO ALL ASSET A	7/12/2017	WALSH, DAWN ERIN	JH FINANCIAL INDUSTRIES A	0.00	1.72	0.00	1.72	87.0	1.50
07/12/2017         DARGO, JILL         LORD ABBETT DEVELOPING GROWTH A         0.00         0.42         0.00         0.42         87.0           07/12/2017         CHAWLA, MAHINDER         LORD ABBETT DEVELOPING GROWTH FUND         0.00         25.19         0.00         25.19         87.0           07/12/2017         CHAWLA, SUVIRA         LORD ABBETT DEVELOPING GROWTH FUND         0.00         51.57         0.00         51.57         87.0           07/12/2017         SENGSTOCK, JODIE         LORD ABBETT DEVELOPING GROWTH FUND         0.00         10.01         0.00         10.01         87.0           07/12/2017         SENGSTOCK, DAVID         LORD ABBETT DEVELOPING GROWTH FUND         0.00         10.10         0.00         10.10         87.0           07/12/2017         WALSH, MARIANNE         MFS MASS INVESTORS GROWTH STOCK A         0.00         1.06         0.00         1.06         87.0           07/12/2017         SENGSTOCK, FRANK         MFS MASSACHUSETTS INVESTORS TRUST C         0.00         1.62         0.00         1.62         87.0           07/12/2017         HAMM, MARK         OPPENHEIMER SMALL MID CAP VALUE C         0.00         14.51         0.00         500.24         87.0           07/12/2017         YOUNG PROFIT SHARING, RICK         PIMCO ALL ASSE	7/12/2017	MURPHY, DANIEL	JH REGIONAL BANK A	0.00	8.51	0.00	8.51	87.0	7.40
07/12/2017         CHAWLA, MAHINDER         LORD ABBETT DEVELOPING GROWTH FUND         0.00         25.19         0.00         25.19         87.0           07/12/2017         CHAWLA, SUVIRA         LORD ABBETT DEVELOPING GROWTH FUND         0.00         51.57         0.00         51.57         87.0           07/12/2017         SENGSTOCK, JODIE         LORD ABBETT DEVELOPING GROWTH FUND         0.00         10.01         0.00         10.01         87.0           07/12/2017         SENGSTOCK, DAVID         LORD ABBETT DEVELOPING GROWTH FUND         0.00         10.10         0.00         10.10         0.00         10.10         87.0           07/12/2017         WALSH, MARIANNE         MFS MASS INVESTORS GROWTH STOCK A         0.00         1.06         0.00         1.06         87.0           07/12/2017         SENGSTOCK, FRANK         MFS MASSACHUSETTS INVESTORS TRUST C         0.00         1.62         0.00         1.62         87.0           07/12/2017         HAMM, MARK         OPPENHEIMER SMALL MID CAP VALUE C         0.00         14.51         0.00         14.51         87.0           07/12/2017         APPLECON, LLC         PIMCO ALL ASSET A         0.00         31.88         0.00         31.88         87.0	7/12/2017		LORD ABBETT DEVELOPING GROWTH A	0.00		0.00	0.42	87.0	0.37
07/12/2017 CHAWLA, SUVIRA LORD ABBETT DEVELOPING GROWTH FUND 0.00 51.57 0.00 51.57 87.0 07/12/2017 SENGSTOCK, JODIE LORD ABBETT DEVELOPING GROWTH FUND 0.00 10.01 0.00 10.01 87.0 07/12/2017 SENGSTOCK, DAVID LORD ABBETT DEVELOPING GROWTH FUND 0.00 10.10 0.00 10.10 87.0 07/12/2017 WALSH, MARIANNE MFS MASS INVESTORS GROWTH STOCK A 0.00 1.06 0.00 1.06 87.0 07/12/2017 SENGSTOCK, FRANK MFS MASSACHUSETTS INVESTORS TRUST C 0.00 1.62 0.00 1.62 87.0 07/12/2017 HAMM, MARK OPPENHEIMER SMALL MID CAP VALUE C 0.00 14.51 0.00 14.51 87.0 07/12/2017 APPLECON, LLC PIMCO ALL ASSET A 0.00 500.24 0.00 31.88 87.0 07/12/2017 YOUNG PROFIT SHARING, RICK PIMCO ALL ASSET A 0.00 31.88 87.0	7/12/2017			0.00		0.00		87.0	21.92
07/12/2017       SENGSTOCK, JODIE       LORD ABBETT DEVELOPING GROWTH FUND       0.00       10.01       0.00       10.01       87.0         07/12/2017       SENGSTOCK, DAVID       LORD ABBETT DEVELOPING GROWTH FUND       0.00       10.10       0.00       10.10       87.0         07/12/2017       WALSH, MARIANNE       MFS MASS INVESTORS GROWTH STOCK A       0.00       1.06       0.00       1.06       87.0         07/12/2017       SENGSTOCK, FRANK       MFS MASSACHUSETTS INVESTORS TRUST C       0.00       1.62       0.00       1.62       87.0         07/12/2017       HAMM, MARK       OPPENHEIMER SMALL MID CAP VALUE C       0.00       14.51       0.00       14.51       87.0         07/12/2017       APPLECON, LLC       PIMCO ALL ASSET A       0.00       500.24       0.00       500.24       87.0         07/12/2017       YOUNG PROFIT SHARING, RICK       PIMCO ALL ASSET A       0.00       31.88       0.00       31.88       87.0	7/12/2017		LORD ABBETT DEVELOPING GROWTH FUND	0.00		0.00			44.87
07/12/2017 SENGSTOCK, DAVID LORD ABBETT DEVELOPING GROWTH FUND 0.00 10.10 0.00 10.10 87.0 07/12/2017 WALSH, MARIANNE MFS MASS INVESTORS GROWTH STOCK A 0.00 1.06 0.00 1.06 87.0 07/12/2017 SENGSTOCK, FRANK MFS MASSACHUSETTS INVESTORS TRUST C 0.00 1.62 0.00 1.62 87.0 07/12/2017 HAMM, MARK OPPENHEIMER SMALL MID CAP VALUE C 0.00 14.51 0.00 14.51 87.0 07/12/2017 APPLECON, LLC PIMCO ALL ASSET A 0.00 500.24 0.00 500.24 87.0 07/12/2017 YOUNG PROFIT SHARING, RICK PIMCO ALL ASSET A 0.00 31.88 87.0									8.71
07/12/2017       WALSH, MARIANNE       MFS MASS INVESTORS GROWTH STOCK A       0.00       1.06       0.00       1.06       87.0         07/12/2017       SENGSTOCK, FRANK       MFS MASSACHUSETTS INVESTORS TRUST C       0.00       1.62       0.00       1.62       87.0         07/12/2017       HAMM, MARK       OPPENHEIMER SMALL MID CAP VALUE C       0.00       14.51       0.00       14.51       87.0         07/12/2017       APPLECON, LLC       PIMCO ALL ASSET A       0.00       500.24       0.00       500.24       87.0         07/12/2017       YOUNG PROFIT SHARING, RICK       PIMCO ALL ASSET A       0.00       31.88       0.00       31.88       87.0		•							8.79
07/12/2017       SENGSTOCK, FRANK       MFS MASSACHUSETTS INVESTORS TRUST C       0.00       1.62       0.00       1.62       87.0         07/12/2017       HAMM, MARK       OPPENHEIMER SMALL MID CAP VALUE C       0.00       14.51       0.00       14.51       87.0         07/12/2017       APPLECON, LLC       PIMCO ALL ASSET A       0.00       500.24       0.00       500.24       87.0         07/12/2017       YOUNG PROFIT SHARING, RICK       PIMCO ALL ASSET A       0.00       31.88       0.00       31.88       87.0									0.92
07/12/2017       HAMM, MARK       OPPENHEIMER SMALL MID CAP VALUE C       0.00       14.51       0.00       14.51       87.0         07/12/2017       APPLECON, LLC       PIMCO ALL ASSET A       0.00       500.24       0.00       500.24       87.0         07/12/2017       YOUNG PROFIT SHARING, RICK       PIMCO ALL ASSET A       0.00       31.88       0.00       31.88       87.0									1.41
07/12/2017 APPLECON, LLC PIMCO ALL ASSET A 0.00 500.24 0.00 500.24 87.0 07/12/2017 YOUNG PROFIT SHARING, RICK PIMCO ALL ASSET A 0.00 31.88 87.0									12.62
07/12/2017 YOUNG PROFIT SHARING, RICK PIMCO ALL ASSET A 0.00 31.88 0.00 31.88 87.0		•							435.21
									27.74
07/12/2017 YOUNG-CASH BALANCE, RICK PIMCO ALL ASSET A 0.00 159.42 0.00 159.42 87.0									138.70
07/12/2017 DARGO, JILL THORNBURG INT'L VALUE A 0.00 0.30 0.00 0.30 87.0									0.26

NOTES: \* - Indicates adjustment is not included in 1099 Earnings \*\* - Indicates adjustment is not included in Totals or Commissions

<sup>^</sup>Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANS	SACTIONS					
PRODUCT CA	ATEGORY: MUTUAL FUNDS							
07/12/2017	WALSH, DAWN ERIN	THORNBURG INT'L VALUE A	0.00	5.90	0.00	5.90	87.0	5.13
07/12/2017	SENGSTOCK, JODIE	THORNBURG INVT TR INTL VALUE FD CL	0.00	7.15	0.00	7.15	87.0	6.22
07/12/2017	SENGSTOCK, DAVID	THORNBURG INVT TR INTL VALUE FD CL	0.00	8.73	0.00	8.73	87.0	7.60
07/12/2017	HAMM, MARK	THORNBURG INVT TR INTL VALUE FD CL	0.00	13.51	0.00	13.51	87.0	11.75
07/12/2017	WALSH, PATRICIA	THORNBURG INVT TR INTL VALUE FD CL	0.00	0.49	0.00	0.49	87.0	0.43
07/26/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	1.11	0.00	1.11	87.0	0.97
07/26/2017	MURPHY, CAROL	VICTORY CAPITAL MANAGEMENT	0.00	0.22	0.00	0.22	87.0	0.19
07/26/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.21	0.00	0.21	87.0	0.18
07/26/2017	MURPHY, DANIEL	VICTORY CAPITAL MANAGEMENT	0.00	0.46	0.00	0.46	87.0	0.40
		* MUTUAL FUNDS SUBTOTAL *	\$0.00	\$1,445.43	\$0.00	\$1,445.43		\$1,257.53
PRODUCT CA	ATEGORY: VARIABLE ANNUITIES							
08/02/2017	EAGLE LANDSCAPING	GWL GRP ANNUITY 401K	2,938,508.60	873.50	0.00	873.50	87.0	759.95
08/02/2017	MCCLAIN, SMITH & WENZ	GWL GRP ANNUITY 401K	1,304,700.31	554.05	0.00	554.05	87.0	482.02
08/02/2017	IHRIE O'BRIEN PC, 401K	GWL GRP ANNUITY 401K	884,609.30	375.66	0.00	375.66	87.0	326.82
08/02/2017	JACKSON ASSOCIATES, 401K	GWL GRP ANNUITY 401K	432,653.92	183.73	0.00	183.73	87.0	159.85
08/02/2017	INDUSTRIAL FLUID	GWL GRP ANNUITY 401K	531,118.02	225.54	0.00	225.54	87.0	196.22
08/02/2017	WESTSHORE GOLF, RET PLAN	GWL GRP ANNUITY 401K	355,257.45	150.86	0.00	150.86	87.0	131.25
08/02/2017	APPLECON, LLC	GWL GRP ANNUITY 401K	1,323,935.27	562.22	0.00	562.22	87.0	489.13
08/02/2017	RIVERVIEW COMM FED	GWL GRP ANNUITY 401K	262,986.44	111.68	0.00	111.68	87.0	97.16
08/02/2017	STEPHEN HARRIS, DDS, PROFIT	GWL NET ASSET VALUE 401K	1,748,108.63	742.35	0.00	742.35	87.0	645.84
/ /	ACADEMY MANAGEMENT	NW RETIREMENT CLR ADVANTAGE	998,569.97	218.86	0.00	218.86	87.0	190.41
/ /	PREMIERE PACKAGING	NWL FLEX ADVANTAGE	1,127,765.40	123.59	0.00	123.59	87.0	107.52
/ /	PREMIERE PACKAGING	NWL FLEX ADVANTAGE	2,172,806.78	238.12	0.00	238.12	87.0	207.16
/ /	SHAW & SLAVSKY	NWL FLEX ADVANTAGE	2,901,252.98	635.89	0.00	635.89	87.0	553.22
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	1,707,187.55	374.18	0.00	374.18	87.0	325.54
/ /	MARYSVILLE ETHANOL	NWL FLEX ADVANTAGE	587,521.40	128.77	0.00	128.77	87.0	112.03
/ /	COMMUNITY HOUSE	NWL FLEX ADVANTAGE	45,104.50	9.89	0.00	9.89	87.0	8.60
/ /	SHAW & SLAVSKY	NWL POOLED FXD	14,363.83	3.15	0.00	3.15	87.0	2.74
/ /	COMMUNITY HOUSE	NWL POOLED FXD	308.64	0.07	0.00	0.07	87.0	0.06
/ /	DETROIT CONNECTION	NWL RETIREMENT INNOVATOR	483,349.02	127.13	0.00	127.13	87.0	110.60
/ /	DETROIT CONNECTION	NWL SELF DIRECTED BROKERAGE GRP	48,751.85	12.82	0.00	12.82	87.0	11.15
/ /	MARYSVILLE ETHANOL	NWL SELF DIRECTED BROKERAGE GRP	94,722.90	20.76	0.00	20.76	87.0	18.06
07/25/2017	BROOKS, JAMES F & MARGE	PAC LIFE INNOVATIVE SELECT	0.00	646.04	0.00	646.04	87.0	562.05

<sup>^</sup>Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRAN	SACTIONS					
PRODUCT CA	TEGORY: VARIABLE ANNU	JITIES						
07/17/2017	SENGSTOCK, FRANK	PAC LIFE PACIFIC CHOICE	0.00	829.34	0.00	829.34	87.0	721.53
		* VARIABLE ANNUITIES SUBTOTAL *	\$19,963,582.76	\$7,148.20	\$0.00	\$7,148.20		\$6,218.91
PRODUCT CA	TEGORY: VARIABLE ANNU	JITY 12B1 TRAILS						
07/31/2017	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	577.06	0.00	577.06	87.0	502.04
07/31/2017	DETROIT GOLF	VOYA RETIREMENT & ANNUITY	0.00	65.92	0.00	65.92	87.0	57.35
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$642.98	\$0.00	\$642.98		\$559.39
		*** BROKER TRANSACTIONS TOTAL ***	\$19,963,582.76	\$9,236.61	\$0.00	\$9,236.61		\$8,035.83
		ADJUSTM	IENTS					
08/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
08/15/2017		*RBC: ADMIN	0.00					-25.00
08/15/2017		*RBC: WRITE OFF-7A502644	0.00					-35.00
08/15/2017		*RBC: WRITE OFF-7A502647	0.00					-35.00
08/15/2017		*RBC: WRITE OFF-7A502650	0.00					-35.00
08/15/2017		*TECHNOLOGY: ADMIN - JILL DARGO	0.00					-100.00
08/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-805.00

## BROKER COMMISSION TOTALS \$8,035.83 Broker Transactions Split Transactions \$0.00 \$0.00 Override Transactions Adjustments \$-805.00 Payroll Draw \$0.00 \$0.00 Base Salary Prior Period Balance \$0.00 FINRA Assessment \$0.00 \$0.00 SIPC Assessment \$7,230.83 Check Amount \$96,943.10 Year-to-date Gross \$83,782.97 Year-to-date Earnings