



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 15, 2017

08/14/2017
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L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU
11617 Coldwater Road
Suite 103
Fort Wayne, IN 46845

BROKER #: L131
BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
^07/30/2017	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	0.00	44.45	0.00	44.45	90.0	0.00
		* INTERVAL FUNDS SUBTOTAL *	\$0.00	\$44.45	\$0.00	\$44.45		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^07/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	7.50	0.00	7.50	90.0	0.00
^07/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	5.19	0.00	5.19	90.0	0.00
^07/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	129.62	4.86	0.00	4.86	90.0	0.00
^07/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	129.62	4.86	0.00	4.86	90.0	0.00
^07/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	10.38	0.00	10.38	90.0	0.00
^07/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	5.19	0.00	5.19	90.0	0.00
^07/31/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	90.0	0.00
^08/01/2017	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	90.0	0.00
^07/28/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	0.00
^07/31/2017	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	17.50	0.00	17.50	90.0	0.00
^07/27/2017	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	100.00	4.75	0.00	4.75	90.0	0.00
^07/28/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.50	0.00	0.50	90.0	0.00
^07/28/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.50	0.00	0.50	90.0	0.00
^07/31/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.30	0.00	0.30	90.0	0.00
^07/28/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.39	0.00	0.39	90.0	0.00
^07/28/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.27	0.00	0.27	90.0	0.00
^07/31/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.70	0.00	0.70	90.0	0.00
^07/31/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	1.19	0.00	1.19	90.0	0.00
^07/31/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.70	0.00	0.70	90.0	0.00
^07/28/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	1.19	0.00	1.19	90.0	0.00
^07/31/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	1.19	0.00	1.19	90.0	0.00
^07/28/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	1.83	0.00	1.83	90.0	0.00
^07/28/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^07/28/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
^07/31/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.30	0.00	0.30	90.0	0.00
^07/31/2017	ROBERTSON, RACHEL	TEMPLETON GROWTH C	0.00	15.56	0.00	15.56	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$2,143.08	\$97.23	\$0.00	\$97.23		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^07/18/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.64	0.00	0.64	90.0	0.00
^07/18/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.23	0.00	0.23	90.0	0.00
^07/18/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.23	0.00	0.23	90.0	0.00
^07/18/2017	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	1.13	0.00	1.13	90.0	0.00
^07/18/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.20	0.00	0.20	90.0	0.00
^07/18/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.60	0.00	0.60	90.0	0.00
^07/18/2017	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.36	0.00	0.36	90.0	0.00
^07/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.51	0.00	0.51	90.0	0.00
^07/18/2017	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.46	0.00	0.46	90.0	0.00
^07/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.50	0.00	0.50	90.0	0.00
^07/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.70	0.00	0.70	90.0	0.00
^07/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.48	0.00	0.48	90.0	0.00
^07/17/2017	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	2.88	0.00	2.88	90.0	0.00
^07/19/2017	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	11.97	0.00	11.97	90.0	0.00
^07/14/2017	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.50	0.00	0.50	90.0	0.00
^07/21/2017	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	1.77	0.00	1.77	90.0	0.00
^07/26/2017	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	3.64	0.00	3.64	90.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$26.80	\$0.00	\$26.80		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$2,143.08	\$168.48	\$0.00	\$168.48		\$0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
07/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.25	0.00	2.25	90.0	2.03
07/31/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	1.56	0.00	1.56	90.0	1.40
07/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	129.62	1.46	0.00	1.46	90.0	1.31
07/31/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	129.62	1.46	0.00	1.46	90.0	1.31
07/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	3.11	0.00	3.11	90.0	2.80
07/31/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	1.56	0.00	1.56	90.0	1.40
07/18/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN BALANCED A	0.00	0.19	0.00	0.19	90.0	0.17
07/18/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	0.00	0.07	0.00	0.07	90.0	0.06
07/18/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	0.07	0.00	0.07	90.0	0.06
07/18/2017	SORG, MAURICE	AM FUNDS AMERICAN BALANCED A	0.00	0.34	0.00	0.34	90.0	0.31
07/18/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	0.00	0.06	0.00	0.06	90.0	0.05
07/31/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.50	0.00	1.50	90.0	1.35
07/18/2017	BRAUTZSCH, CHRIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.18	0.00	0.18	90.0	0.16
07/18/2017	BRAUTZSCH, CHRIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.11	0.00	0.11	90.0	0.10
07/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.15	0.00	0.15	90.0	0.14
08/01/2017	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	0.75	0.00	0.75	90.0	0.68
07/18/2017	BRAUTZSCH, CHRIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.14	0.00	0.14	90.0	0.13
07/28/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.75	0.00	0.75	90.0	0.68
07/31/2017	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	5.25	0.00	5.25	90.0	4.73
07/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.15	0.00	0.15	90.0	0.14
07/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.21	0.00	0.21	90.0	0.19
07/18/2017	BRAUTZSCH, CHRISTINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.14	0.00	0.14	90.0	0.13
07/27/2017	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	100.00	1.43	0.00	1.43	90.0	1.29
07/28/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.15	0.00	0.15	90.0	0.14
07/28/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.15	0.00	0.15	90.0	0.14
07/31/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.09	0.00	0.09	90.0	0.08
07/28/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.12	0.00	0.12	90.0	0.11
07/28/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.08	0.00	0.08	90.0	0.07
07/31/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.21	0.00	0.21	90.0	0.19
07/31/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.36	0.00	0.36	90.0	0.32
07/31/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.21	0.00	0.21	90.0	0.19
07/28/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.36	0.00	0.36	90.0	0.32
07/31/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.36	0.00	0.36	90.0	0.32

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
07/28/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.55	0.00	0.55	90.0	0.50
07/28/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
07/28/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
07/30/2017	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	0.00	13.34	0.00	13.34	90.0	12.01
07/17/2017	FLECK, KATHIE	FEDERATED KAUFMANN FUND A	0.00	0.86	0.00	0.86	90.0	0.77
07/19/2017	FLECK, KATHIE	FIDELITY ADV NEW INSIGHTS C	0.00	3.59	0.00	3.59	90.0	3.23
07/14/2017	FLECK, KATHIE	FIRST EAGLE GLOBAL FUND CL A	0.00	0.15	0.00	0.15	90.0	0.14
07/21/2017	FLECK, KATHIE	GOLDMAN SACHS SMALL CAP VALUE FUND-	0.00	0.53	0.00	0.53	90.0	0.48
07/31/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.09	0.00	0.09	90.0	0.08
07/26/2017	BROCKMYER, PHYLLIS	PIMCO INCOME FUND C	0.00	1.09	0.00	1.09	90.0	0.98
07/31/2017	ROBERTSON, RACHEL	TEMPLETON GROWTH C	0.00	4.67	0.00	4.67	90.0	4.20
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$2,143.08	\$50.57	\$0.00	\$50.57		\$45.53
*** SPLIT TRANSACTIONS TOTAL ***			\$2,143.08	\$50.57	\$0.00	\$50.57		\$45.53

ADJUSTMENTS

08/15/2017	*PERSHING: BOOKS & RECORDS	0.00						0.00
08/15/2017	*PERSHING: BOOKS & RECORDS	0.00						-3.56
08/15/2017	*PERSHING: MF PROSPECTUS MAILING	0.00						0.01
08/15/2017	*PERSHING: MF PROSPECTUS MAILING	0.00						-1.40
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-4.95

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$45.53
Override Transactions	\$0.00
Adjustments	\$-4.95
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$89.42
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$130.00
Year-to-date Gross	\$1,310.88
Year-to-date Earnings	\$1,055.53