



Concorde Investment Services, LLC

08/29/2017
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COMMISSION STATEMENT

August 31, 2017

101 CFGROUP/KOSANKE
1120 E. Long Lake Road
Suite 250
Troy, MI 48085

BROKER #: 101
BRANCH: CONCORDE FINANCIAL G

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
07/31/2017	YOUNG, RICK	BR TOTAL INC & REAL ESTATE FUND C	39,000.00	390.00	0.00	390.00	95.0	370.50
07/31/2017	WEYCKER, AARON	GRIFFIN INST'L ACCESS R E FUND C	0.00	29.09	0.00	29.09	95.0	27.64
07/31/2017	BAKER, GENE	GRIFFIN INST'L ACCESS R E FUND C	0.00	62.89	0.00	62.89	95.0	59.75
07/31/2017	THOMSON, DOUGLAS	GRIFFIN INST'L ACCESS R E FUND C	0.00	85.15	0.00	85.15	95.0	80.89
07/31/2017	MILLER, RAYMOND	GRIFFIN INST'L ACCESS R E FUND C	0.00	25.56	0.00	25.56	95.0	24.28
07/31/2017	SUTTON, TIMOTHY	GRIFFIN INST'L ACCESS R E FUND C	0.00	97.44	0.00	97.44	95.0	92.57
07/31/2017	ANDERSON TRUST, JUDITH	GRIFFIN INST'L ACCESS R E FUND C	0.00	92.33	0.00	92.33	95.0	87.71
07/31/2017	DEMAN, KENNETH	GRIFFIN INST'L ACCESS R E FUND C	0.00	43.81	0.00	43.81	95.0	41.62
07/31/2017	KEELER, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	21.72	0.00	21.72	95.0	20.63
07/31/2017	BUSZEK, PAUL	GRIFFIN INST'L ACCESS R E FUND C	0.00	21.51	0.00	21.51	95.0	20.43
07/31/2017	WETZEL, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.52	0.00	8.52	95.0	8.09
07/31/2017	ELLIOT, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	81.71	0.00	81.71	95.0	77.62
07/31/2017	ELLIOT, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	0.00	55.12	0.00	55.12	95.0	52.36
07/31/2017	JOUPPI, SHARON	GRIFFIN INST'L ACCESS R E FUND C	0.00	45.81	0.00	45.81	95.0	43.52
07/31/2017	FEWLESS, SCOTT	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.79	0.00	5.79	95.0	5.50
07/31/2017	BARTOI, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	71.81	0.00	71.81	95.0	68.22
07/31/2017	MERRITT REVOCABLE TRUST, KATHY	GRIFFIN INST'L ACCESS R E FUND C	0.00	42.00	0.00	42.00	95.0	39.90
07/31/2017	KOPCZYK TRUST, LAWRENCE & PATR	GRIFFIN INST'L ACCESS R E FUND C	0.00	64.05	0.00	64.05	95.0	60.85
07/31/2017	THOMSON, DOUGLAS	GRIFFIN INST'L ACCESS R E FUND C	0.00	85.56	0.00	85.56	95.0	81.28
07/31/2017	MODRYCKI TRUST, MARGUERTIE	GRIFFIN INST'L ACCESS R E FUND C	0.00	65.73	0.00	65.73	95.0	62.44
07/31/2017	JACKSON, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	38.05	0.00	38.05	95.0	36.15
07/31/2017	BAUMGART, ROY MARK	GRIFFIN INST'L ACCESS R E FUND C	0.00	11.43	0.00	11.43	95.0	10.86
07/31/2017	VOGEL, KEITH	GRIFFIN INST'L ACCESS R E FUND C	0.00	70.96	0.00	70.96	95.0	67.41
07/31/2017	STRAITH LIV TRUST, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	42.20	0.00	42.20	95.0	40.09
07/31/2017	WILMAN, ANN ELAINE	GRIFFIN INST'L ACCESS R E FUND C	0.00	22.79	0.00	22.79	95.0	21.65
07/31/2017	ETHIER, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	39.08	0.00	39.08	95.0	37.13

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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
07/31/2017	KNIGHT, SHARON	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.69	0.00	8.69	95.0	8.26
07/31/2017	SMITH REV TRUST #2, CHARLES	GRIFFIN INST'L ACCESS R E FUND C	0.00	30.92	0.00	30.92	95.0	29.37
07/31/2017	MCKENZIE TRUST, ROBERT & DONNAGRIFFIN	INST'L ACCESS R E FUND C	0.00	98.92	0.00	98.92	95.0	93.97
07/31/2017	STAHL, CURTIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	22.27	0.00	22.27	95.0	21.16
07/31/2017	BERNARDI TRUST, DONALD &	GRIFFIN INST'L ACCESS R E FUND C	0.00	41.27	0.00	41.27	95.0	39.21
07/31/2017	ORTAGO, PAULA	GRIFFIN INST'L ACCESS R E FUND C	0.00	35.05	0.00	35.05	95.0	33.30
07/31/2017	KOSANKE, CHARLES W	GRIFFIN INST'L ACCESS R E FUND C	0.00	21.16	0.00	21.16	95.0	20.10
07/31/2017	JOUPPI, SHARON	GRIFFIN INST'L ACCESS R E FUND C	0.00	20.51	0.00	20.51	95.0	19.48
* INTERVAL FUNDS SUBTOTAL *			\$39,000.00	\$1,898.90	\$0.00	\$1,898.90		\$1,803.94

PRODUCT CATEGORY: MUTUAL FUNDS

08/25/2017	SILVERSTEIN, MICHAEL	AM FUNDS AMCAP A	50.00	2.13	0.00	2.13	95.0	2.02
08/18/2017	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	3.00	0.00	3.00	95.0	2.85
08/21/2017	CHALKEY, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	63.06	2.68	0.00	2.68	95.0	2.55
08/21/2017	CHALKEY, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER A	75.67	3.22	0.00	3.22	95.0	3.06
08/21/2017	BUTT, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	28.00	1.40	0.00	1.40	95.0	1.33
08/21/2017	BUTT, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	28.00	1.40	0.00	1.40	95.0	1.33
08/09/2017	BOWEN, ED	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	95.0	2.02
08/15/2017	STOLL, BENJAMIN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	95.0	2.02
08/15/2017	VAN DAELE, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC 5	100.00	5.00	0.00	5.00	95.0	4.75
08/23/2017	SILVERSTEIN, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	95.0	2.02
08/10/2017	NANAS, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	5.00	0.00	5.00	95.0	4.75
08/10/2017	NANAS, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	-100.00	-5.00	0.00	-5.00	95.0	-4.75
08/21/2017	NAGEOTTE, NIKOLAUS	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	5.00	0.00	5.00	95.0	4.75
08/15/2017	VAN DAELE, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND 52	100.00	5.00	0.00	5.00	95.0	4.75
08/09/2017	BOWEN, ED	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.13	0.00	2.13	95.0	2.02
08/21/2017	MI/TOD	AM FUNDS GROWTH FUND OF AMERICA A	3,015.94	150.80	0.00	150.80	95.0	143.26
08/21/2017	MI/TOD	AM FUNDS GROWTH FUND OF AMERICA A	9,827.51	491.38	0.00	491.38	95.0	466.81
08/14/2017	SOBIESKI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA C	37.50	0.38	0.00	0.38	95.0	0.36
08/10/2017	HAWK, CYNTHIA	AM FUNDS NEW PERSPECTIVE A	100.00	5.00	0.00	5.00	95.0	4.75
08/21/2017	CHALKEY, MICHAEL	AM FUNDS NEW WORLD A	189.18	8.04	0.00	8.04	95.0	7.64
08/21/2017	CHALKEY, MICHAEL	AM FUNDS NEW WORLD A	75.68	3.22	0.00	3.22	95.0	3.06
08/21/2017	BUTT, DENNIS	AM FUNDS NEW WORLD A	84.00	4.20	0.00	4.20	95.0	3.99
08/21/2017	BUTT, DENNIS	AM FUNDS NEW WORLD A	84.00	4.20	0.00	4.20	95.0	3.99

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/23/2017	MOWINSKI, VINCENZO	AM FUNDS NEW WORLD C	150.00	1.50	0.00	1.50	95.0	1.43
08/09/2017	FOLLETT, JACOB	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	95.0	4.75
08/09/2017	FOLLETT, JACOB	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	95.0	4.75
08/10/2017	IVEZAJ, GYSTE	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	1.88	0.00	1.88	95.0	1.79
08/14/2017	SOBIESKI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	37.50	0.38	0.00	0.38	95.0	0.36
08/15/2017	KEMP, JEREMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.13	0.00	2.13	95.0	2.02
08/15/2017	BEHNKE, DANIEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	95.0	2.38
08/15/2017	BEHNKE, MARK	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	95.0	4.75
08/15/2017	ULJAJ, JOSEPH	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.13	0.00	2.13	95.0	2.02
07/31/2017	GALLAS, JAMES L & ANN M	BLACKROCK 19452P473	0.00	7.50	0.00	7.50	95.0	7.13
07/31/2017	GALLAS, ANN	BLACKROCK 19452P473	0.00	3.66	0.00	3.66	95.0	3.48
07/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P594	0.00	2.55	0.00	2.55	95.0	2.42
07/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P594	0.00	7.66	0.00	7.66	95.0	7.28
07/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P610	0.00	2.08	0.00	2.08	95.0	1.98
07/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P628	0.00	2.13	0.00	2.13	95.0	2.02
07/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P628	0.00	6.39	0.00	6.39	95.0	6.07
07/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P628	0.00	6.34	0.00	6.34	95.0	6.02
07/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P628	0.00	2.11	0.00	2.11	95.0	2.00
07/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P636	0.00	6.12	0.00	6.12	95.0	5.81
07/31/2017	BURKE, KIMBERLY	BLACKROCK FUND 19452P636	0.00	6.48	0.00	6.48	95.0	6.16
07/31/2017	BAKER, JEAN-ANNE	BLK AGG 10-12 AGE BASED A	0.00	1.79	0.00	1.79	95.0	1.70
07/31/2017	BAKER, JEAN-ANNE	BLK AGG 10-12 AGE BASED A	0.00	0.80	0.00	0.80	95.0	0.76
07/31/2017	MERRITT, GREGORY	BLK AGG 10-12 AGE BASED A	0.00	4.65	0.00	4.65	95.0	4.42
07/31/2017	YASCH, KEN	BLK AGG 13-16 AGE BASED A	0.00	0.60	0.00	0.60	95.0	0.57
07/31/2017	YASCH, SANDY	BLK AGG 6-9 AGE BASED A	0.00	0.63	0.00	0.63	95.0	0.60
07/31/2017	WILLIT	BLK AGG GROWTH A	0.00	2.38	0.00	2.38	95.0	2.26
07/31/2017	BAKER, JEAN-ANNE	BLK COLLEGE ADVANTAGE 529 PLAN	0.00	0.09	0.00	0.09	95.0	0.09
07/31/2017	BAKER, JEAN-ANNE	BLK COLLEGE ADVANTAGE 529 PLAN	0.00	0.03	0.00	0.03	95.0	0.03
07/31/2017	KING, DAVID	BLK EQUITY DIVIDEND C	0.00	0.17	0.00	0.17	95.0	0.16
07/31/2017	KING, DAVID	BLK EQUITY DIVIDEND C	0.00	0.50	0.00	0.50	95.0	0.48
07/31/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	0.89	0.00	0.89	95.0	0.85
07/31/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	0.81	0.00	0.81	95.0	0.77
07/31/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	2.43	0.00	2.43	95.0	2.31

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/31/2017	CFGROUP, VARIOUS	BLK MOD 0-5 AGE BASED C	0.00	3.48	0.00	3.48	95.0	3.31
07/31/2017	WETZEL, DIANNE	BLK MOD 0-5 AGE BASED C	0.00	2.66	0.00	2.66	95.0	2.53
07/31/2017	CFGROUP, VARIOUS	BLK MOD 0-5 AGE BASED C	0.00	1.16	0.00	1.16	95.0	1.10
07/31/2017	GAWTHROP, NANCY	BLK MOD 0-5 AGE BASED C	0.00	0.12	0.00	0.12	95.0	0.11
07/31/2017	GAWTHROP, NANCY	BLK MOD 0-5 AGE BASED C	0.00	0.37	0.00	0.37	95.0	0.35
07/31/2017	BAKER, JEAN-ANNE	BLK MOD 0-5 AGE BASED C	0.00	0.25	0.00	0.25	95.0	0.24
07/31/2017	BAKER, JEAN-ANNE	BLK MOD 0-5 AGE BASED C	0.00	0.08	0.00	0.08	95.0	0.08
07/31/2017	GAWTHROP, NANCY	BLK MOD 0-5 AGE BASED C	0.00	1.13	0.00	1.13	95.0	1.07
07/31/2017	GAWTHROP, NANCY	BLK MOD 0-5 AGE BASED C	0.00	0.38	0.00	0.38	95.0	0.36
07/31/2017	BUSZEK, RICHARD	BLK MOD 0-5 AGE BASED C	0.00	3.31	0.00	3.31	95.0	3.14
07/31/2017	BUSZEK, RICHARD	BLK MOD 0-5 AGE BASED C	0.00	1.10	0.00	1.10	95.0	1.05
07/31/2017	BUSZEK, RICHARD	BLK MOD 0-5 AGE BASED C	0.00	0.62	0.00	0.62	95.0	0.59
07/31/2017	BUSZEK, RICHARD	BLK MOD 0-5 AGE BASED C	0.00	1.87	0.00	1.87	95.0	1.78
07/31/2017	GAWTHROP, NANCY	BLK MOD 10-12 AGE BASED A	0.00	1.00	0.00	1.00	95.0	0.95
07/31/2017	HOOTNER-JONES, ANN	BLK MOD 10-12 AGE BASED A	0.00	3.36	0.00	3.36	95.0	3.19
07/31/2017	HOOTNER-JONES, ANN	BLK MOD 10-12 AGE BASED A	0.00	3.36	0.00	3.36	95.0	3.19
07/31/2017	COOPER, LISA	BLK MOD 10-12 AGE BASED A	0.00	3.32	0.00	3.32	95.0	3.15
07/31/2017	MOELLER, RICHARD	BLK MOD 10-12 AGE BASED C	0.00	0.48	0.00	0.48	95.0	0.46
07/31/2017	NIZIO, MARGARET	BLK MOD 10-12 AGE BASED C	0.00	2.52	0.00	2.52	95.0	2.39
07/31/2017	NIZIO, MARGARET	BLK MOD 10-12 AGE BASED C	0.00	7.55	0.00	7.55	95.0	7.17
07/31/2017	COOPER, LISA	BLK MOD 10-12 AGE BASED C	0.00	1.99	0.00	1.99	95.0	1.89
07/31/2017	COOPER, LISA	BLK MOD 10-12 AGE BASED C	0.00	0.66	0.00	0.66	95.0	0.63
07/31/2017	BARENTS, SUZANNE	BLK MOD 10-12 AGE BASED C	0.00	1.11	0.00	1.11	95.0	1.05
07/31/2017	BARENTS, SUZANNE	BLK MOD 10-12 AGE BASED C	0.00	3.34	0.00	3.34	95.0	3.17
07/31/2017	GAWTHROP, NANCY	BLK MOD 10-12 AGE BASED C	0.00	0.83	0.00	0.83	95.0	0.79
07/31/2017	GAWTHROP, NANCY	BLK MOD 10-12 AGE BASED C	0.00	2.48	0.00	2.48	95.0	2.36
07/31/2017	MOELLER, RICHARD	BLK MOD 10-12 AGE BASED C	0.00	0.16	0.00	0.16	95.0	0.15
07/31/2017	NIZIO, EDMUND	BLK MOD 10-12 AGE BASED C	0.00	2.52	0.00	2.52	95.0	2.39
07/31/2017	NIZIO, EDMUND	BLK MOD 10-12 AGE BASED C	0.00	7.55	0.00	7.55	95.0	7.17
08/02/2017	NIZIO, MARGARET	BLK MOD 10-12 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
08/02/2017	NIZIO, EDMUND	BLK MOD 10-12 AGE BASED C	50.00	0.50	0.00	0.50	95.0	0.48
07/31/2017	KUCHAREK, PAUL	BLK MOD 13-16 AGE BASED A	0.00	2.39	0.00	2.39	95.0	2.27
07/31/2017	HOOTNER-JONES, ANN	BLK MOD 13-16 AGE BASED A	0.00	5.10	0.00	5.10	95.0	4.85

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/31/2017	NIK, YASCH	BLK MOD 13-16 AGE BASED A	0.00	0.56	0.00	0.56	95.0	0.53
07/31/2017	GAWTHROP, NANCY	BLK MOD 13-16 AGE BASED A	0.00	1.43	0.00	1.43	95.0	1.36
07/31/2017	MERRITT, GREGORY	BLK MOD 13-16 AGE BASED A	0.00	2.12	0.00	2.12	95.0	2.01
07/31/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED A	0.00	2.97	0.00	2.97	95.0	2.82
07/31/2017	KUCHAREK, PAUL	BLK MOD 13-16 AGE BASED A	0.00	4.27	0.00	4.27	95.0	4.06
08/01/2017	KUCHAREK, PAUL	BLK MOD 13-16 AGE BASED A	50.00	1.88	0.00	1.88	95.0	1.79
08/01/2017	KUCHAREK, PAUL	BLK MOD 13-16 AGE BASED A	50.00	1.88	0.00	1.88	95.0	1.79
07/31/2017	GAWTHROP, NANCY	BLK MOD 13-16 AGE BASED C	0.00	0.66	0.00	0.66	95.0	0.63
07/31/2017	GAWTHROP, NANCY	BLK MOD 13-16 AGE BASED C	0.00	1.99	0.00	1.99	95.0	1.89
07/31/2017	TRYNAN, SHELLY	BLK MOD 13-16 AGE BASED C	0.00	2.83	0.00	2.83	95.0	2.69
07/31/2017	TRYNAN, SHELLY	BLK MOD 13-16 AGE BASED C	0.00	0.94	0.00	0.94	95.0	0.89
07/31/2017	TRYNAN, SHELLY	BLK MOD 13-16 AGE BASED C	0.00	0.49	0.00	0.49	95.0	0.47
07/31/2017	TRYNAN, SHELLY	BLK MOD 13-16 AGE BASED C	0.00	1.48	0.00	1.48	95.0	1.41
07/31/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED C	0.00	0.60	0.00	0.60	95.0	0.57
07/31/2017	COOPER, LISA	BLK MOD 13-16 AGE BASED C	0.00	1.80	0.00	1.80	95.0	1.71
07/31/2017	KUCHAREK, PAUL	BLK MOD 17+ AGE BASED A	0.00	2.23	0.00	2.23	95.0	2.12
07/31/2017	KUCHAREK, PAUL	BLK MOD 17+ AGE BASED A	0.00	3.94	0.00	3.94	95.0	3.74
07/31/2017	MUENCH, DANIEL	BLK MOD 17+ AGE BASED A	0.00	2.41	0.00	2.41	95.0	2.29
07/31/2017	MUENCH, DANIEL	BLK MOD 17+ AGE BASED A	0.00	0.89	0.00	0.89	95.0	0.85
07/31/2017	MERRITT, GREGORY	BLK MOD 17+ AGE BASED A	0.00	0.39	0.00	0.39	95.0	0.37
07/31/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED A	0.00	0.38	0.00	0.38	95.0	0.36
07/31/2017	MERRITT, GREGORY	BLK MOD 6-9 AGE BASED A	0.00	4.24	0.00	4.24	95.0	4.03
07/31/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.09	0.00	0.09	95.0	0.09
07/31/2017	BUSZEK, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	3.30	0.00	3.30	95.0	3.14
07/31/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED C	0.00	2.49	0.00	2.49	95.0	2.37
07/31/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED C	0.00	0.83	0.00	0.83	95.0	0.79
07/31/2017	BUSZEK, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	1.10	0.00	1.10	95.0	1.05
07/31/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.11	0.00	0.11	95.0	0.10
07/31/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED C	0.00	2.51	0.00	2.51	95.0	2.38
07/31/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.27	0.00	0.27	95.0	0.26
07/31/2017	MOELLER, RICHARD	BLK MOD 6-9 AGE BASED C	0.00	0.34	0.00	0.34	95.0	0.32
07/31/2017	GAWTHROP, NANCY	BLK MOD 6-9 AGE BASED C	0.00	0.84	0.00	0.84	95.0	0.80
07/31/2017	COOPER, LISA	BLK MOD 6-9 AGE BASED C	0.00	2.26	0.00	2.26	95.0	2.15

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/31/2017	COOPER, LISA	BLK MOD 6-9 AGE BASED C	0.00	6.77	0.00	6.77	95.0	6.43
07/31/2017	BARENTS, SUZANNE	BLK MOD 6-9 AGE BASED C	0.00	3.44	0.00	3.44	95.0	3.27
07/31/2017	BARENTS, SUZANNE	BLK MOD 6-9 AGE BASED C	0.00	1.15	0.00	1.15	95.0	1.09
08/07/2017	KOSUTH, SARA	COLUMBIA STRATEGIC INVESTOR A	100.00	5.00	0.00	5.00	95.0	4.75
08/01/2017	PARTALIS, CHRISTINE	DAVIS NEW YORK VENTURE A	125.00	5.00	0.00	5.00	95.0	4.75
08/08/2017	RAPER, LORRAINE	DAVIS NEW YORK VENTURE A	20.00	0.80	0.00	0.80	95.0	0.76
08/15/2017	ROBINETTE, JANET	DAVIS NEW YORK VENTURE A	50.00	2.00	0.00	2.00	95.0	1.90
08/15/2017	MORICI, MICHELLE	DAVIS NEW YORK VENTURE B	50.00	2.00	0.00	2.00	95.0	1.90
08/15/2017	GARLAND, DAWN	DAVIS NEW YORK VENTURE B	30.00	1.20	0.00	1.20	95.0	1.14
08/01/2017	HOFER, KATHLEEN	FEDERATED BOND A	0.00	1.65	0.00	1.65	95.0	1.57
08/01/2017	ELLIOT, PATRICIA	FEDERATED EQUITY INCOME A	0.00	10.73	0.00	10.73	95.0	10.19
08/01/2017	KING, VICTORIA	FEDERATED KAUFMANN FUND A	0.00	9.45	0.00	9.45	95.0	8.98
08/01/2017	ELLIOT, JAMES	FEDERATED KAUFMANN FUND-C	0.00	10.12	0.00	10.12	95.0	9.61
08/01/2017	ELLIOT, JAMES	FEDERATED KAUFMANN FUND-C	0.00	30.36	0.00	30.36	95.0	28.84
08/01/2017	FINCH, BEVERLY	FEDERATED SVCS CORP	0.00	0.28	0.00	0.28	95.0	0.27
08/01/2017	HEGEL, SUSAN	FEDERATED US GOV SEC A	0.00	2.44	0.00	2.44	95.0	2.32
08/01/2017	KUKIELKA, LOTTIE	FEDERATED US GOV SEC A	0.00	3.03	0.00	3.03	95.0	2.88
08/10/2017	TYSZKIEWICZ, KURT	FRANKLIN GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
/ /	BERDESKI, AUDREY	GUGGENHEIM NASDAQ 100-H	0.00	0.71	0.00	0.71	95.0	0.67
/ /	WAGNER, SHARON	GUGGENHEIM NASDAQ 100-H	0.00	0.23	0.00	0.23	95.0	0.22
08/07/2017	BERGLUND, KRIS	INVESCO AMERICAN FRANCHISE A	50.00	2.50	0.00	2.50	95.0	2.38
08/14/2017	LAI, MICHAEL	JH FINANCIAL INDUSTRIES A	0.00	1.21	0.00	1.21	95.0	1.15
08/14/2017	MALINAK, FRANCES	JH FINANCIAL INDUSTRIES A	0.00	7.25	0.00	7.25	95.0	6.89
08/14/2017	BOHACEK, STEVE	JH LARGE CAP EQUITY A	0.00	5.79	0.00	5.79	95.0	5.50
08/14/2017	RACK, ROBERT	JH LARGE CAP EQUITY A	0.00	5.80	0.00	5.80	95.0	5.51
08/14/2017	ENGLEBERT, JAMES	JH REGIONAL BANK A	0.00	4.81	0.00	4.81	95.0	4.57
08/14/2017	HELTSLEY, MARTHA	JH REGIONAL BANK A	0.00	1.86	0.00	1.86	95.0	1.77
08/14/2017	BOZELKA, KEVIN	JH REGIONAL BANK A	0.00	1.91	0.00	1.91	95.0	1.81
08/14/2017	JAHNKE, DENNIS	JH REGIONAL BANK A	0.00	4.50	0.00	4.50	95.0	4.28
08/14/2017	MIKLEVICH, MICHAEL	JH REGIONAL BANK A	0.00	6.71	0.00	6.71	95.0	6.37
08/14/2017	EIS (MINOR), MARGO	JH STRATEGIC GROWTH A	0.00	0.44	0.00	0.44	95.0	0.42
08/14/2017	MIKLEVICH, MICHAEL	JH STRATEGIC GROWTH A	0.00	0.50	0.00	0.50	95.0	0.48
08/14/2017	EIS (MINOR), AUSTIN	JH STRATEGIC GROWTH A	0.00	0.71	0.00	0.71	95.0	0.67

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/14/2017	EIS (MINOR), STEFANIE	JH STRATEGIC GROWTH A	0.00	0.71	0.00	0.71	95.0	0.67
08/14/2017	EIS, AUSTIN	JN DISCIPLINED VALUE INT'L A	0.00	1.64	0.00	1.64	95.0	1.56
08/14/2017	EIS, STEFANIE	JN DISCIPLINED VALUE INT'L A	0.00	1.64	0.00	1.64	95.0	1.56
08/14/2017	MANZO, JOHN	LORD ABBETT AFFILIATED A	0.00	212.46	0.00	212.46	95.0	201.84
08/14/2017	FREAR, BARBARA	LORD ABBETT AFFILIATED A	0.00	17.19	0.00	17.19	95.0	16.33
08/14/2017	MINOLETTI, JANE	LORD ABBETT AFFILIATED A	0.00	55.42	0.00	55.42	95.0	52.65
08/15/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL APPRECIATION A	50.00	2.38	0.00	2.38	95.0	2.26
08/15/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL APPRECIATION A	27.02	1.28	0.00	1.28	95.0	1.22
08/15/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL APPRECIATION A	50.00	1.50	0.00	1.50	95.0	1.43
08/15/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL APPRECIATION A	43.74	1.31	0.00	1.31	95.0	1.24
08/14/2017	HICKS, MELISSA	OPPENHEIMER CAPITAL APPRECIATION C	25.00	0.25	0.00	0.25	95.0	0.24
08/14/2017	HICKS, MELISSA	OPPENHEIMER CAPITAL APPRECIATION C	25.00	0.25	0.00	0.25	95.0	0.24
08/14/2017	SCHULTZ, DEBRA	OPPENHEIMER CAPITAL APPRECIATION C	120.00	1.20	0.00	1.20	95.0	1.14
08/14/2017	SCHULTZ, DEBRA	OPPENHEIMER CAPITAL APPRECIATION C	38.99	0.39	0.00	0.39	95.0	0.37
08/15/2017	RENTROP, GARRETT	OPPENHEIMER CAPITAL APPRECIATION C	32.30	0.32	0.00	0.32	95.0	0.30
08/15/2017	RENTROP, GARRETT	OPPENHEIMER CAPITAL APPRECIATION C	32.30	0.32	0.00	0.32	95.0	0.30
08/15/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL INCOME A	50.00	2.38	0.00	2.38	95.0	2.26
08/15/2017	KRAYDICH, KELLIE	OPPENHEIMER CAPITAL INCOME A	27.02	1.28	0.00	1.28	95.0	1.22
08/15/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL INCOME A	50.00	1.50	0.00	1.50	95.0	1.43
08/15/2017	LEPPEK, JUDITH	OPPENHEIMER CAPITAL INCOME A	43.74	1.31	0.00	1.31	95.0	1.24
08/14/2017	SCHULTZ, DEBRA	OPPENHEIMER DEVELOPING MARKETS C	60.00	0.60	0.00	0.60	95.0	0.57
08/14/2017	SCHULTZ, DEBRA	OPPENHEIMER DEVELOPING MARKETS C	19.49	0.19	0.00	0.19	95.0	0.18
08/14/2017	DINKA, CATHERINE	OPPENHEIMER GLOBAL OPPORTUNITIES C	37.50	0.38	0.00	0.38	95.0	0.36
08/14/2017	SCHULTZ, DEBRA	OPPENHEIMER GLOBAL OPPORTUNITIES C	120.00	1.20	0.00	1.20	95.0	1.14
08/14/2017	SCHULTZ, DEBRA	OPPENHEIMER GLOBAL OPPORTUNITIES C	38.98	0.39	0.00	0.39	95.0	0.37
08/15/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL OPPORTUNITIES C	32.30	0.32	0.00	0.32	95.0	0.30
08/15/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL OPPORTUNITIES C	32.30	0.32	0.00	0.32	95.0	0.30
08/15/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL STRATEGIC INC C	32.30	0.32	0.00	0.32	95.0	0.30
08/15/2017	RENTROP, GARRETT	OPPENHEIMER GLOBAL STRATEGIC INC C	32.30	0.32	0.00	0.32	95.0	0.30
08/15/2017	KRAYDICH, KELLIE	OPPENHEIMER INTL SMALL CO A	50.00	2.38	0.00	2.38	95.0	2.26
08/15/2017	KRAYDICH, KELLIE	OPPENHEIMER INTL SMALL CO A	27.00	1.28	0.00	1.28	95.0	1.22
08/15/2017	LEPPEK, JUDITH	OPPENHEIMER INTL SMALL CO A	50.00	1.50	0.00	1.50	95.0	1.43
08/15/2017	LEPPEK, JUDITH	OPPENHEIMER INTL SMALL CO A	43.75	1.31	0.00	1.31	95.0	1.24

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/14/2017	HICKS, MELISSA	OPPENHEIMER MAIN ST SELECT C	25.00	0.25	0.00	0.25	95.0	0.24
08/14/2017	HICKS, MELISSA	OPPENHEIMER MAIN ST SELECT C	25.00	0.25	0.00	0.25	95.0	0.24
08/14/2017	DINKA, CATHERINE	OPPENHEIMER MAIN ST SELECT C	112.50	1.13	0.00	1.13	95.0	1.07
08/14/2017	DINKA, CATHERINE	OPPENHEIMER MAIN ST SMALL & MID CAP	112.50	1.13	0.00	1.13	95.0	1.07
08/15/2017	RENTROP, GARRETT	OPPENHEIMER MAIN ST SMALL & MID CAP	32.32	0.32	0.00	0.32	95.0	0.30
08/15/2017	RENTROP, GARRETT	OPPENHEIMER MAIN ST SMALL & MID CAP	32.32	0.32	0.00	0.32	95.0	0.30
08/14/2017	DINKA, CATHERINE	OPPENHEIMER MAIN STREET C	112.50	1.13	0.00	1.13	95.0	1.07
08/15/2017	BARRY, MEGAN	OPPENHEIMER MAIN STREET C	50.00	0.50	0.00	0.50	95.0	0.48
08/14/2017	SMOLAREK, CHERYL	OPPENHEIMER PTF SERIES EQUITY INVES	150.00	1.50	0.00	1.50	95.0	1.43
08/14/2017	SMOLAREK, CHERYL	OPPENHEIMER PTF SERIES EQUITY INVES	150.00	1.50	0.00	1.50	95.0	1.43
08/15/2017	LEPPEK, JUDITH	OPPENHEIMER QUEST INT'L VALUE A	50.00	1.50	0.00	1.50	95.0	1.43
08/15/2017	LEPPEK, JUDITH	OPPENHEIMER QUEST INT'L VALUE A	43.74	1.31	0.00	1.31	95.0	1.24
08/14/2017	TERPSTRA, SANDRA	OPPENHEIMER QUEST OPP VALUE C	600.00	6.00	0.00	6.00	95.0	5.70
08/14/2017	TERPSTRA, SANDRA	OPPENHEIMER QUEST OPP VALUE C	86.56	0.87	0.00	0.87	95.0	0.83
08/14/2017	TORRICE, PAULA	OPPENHEIMER QUEST OPP VALUE C	50.00	0.50	0.00	0.50	95.0	0.48
08/14/2017	TORRICE, PAULA	OPPENHEIMER QUEST OPP VALUE C	50.00	0.50	0.00	0.50	95.0	0.48
08/14/2017	DEREY, DENISE	OPPENHEIMER SMALL MID CAP VALUE C	80.00	0.80	0.00	0.80	95.0	0.76
08/14/2017	DEREY, DENISE	OPPENHEIMER SMALL MID CAP VALUE C	80.00	0.80	0.00	0.80	95.0	0.76
08/15/2017	RENTROP, GARRETT	OPPENHEIMER QUEST BALANCED C	32.30	0.32	0.00	0.32	95.0	0.30
08/15/2017	RENTROP, GARRETT	OPPENHEIMER QUEST BALANCED C	32.30	0.32	0.00	0.32	95.0	0.30
08/01/2017	WONCH, LIZ	PUTNAM INVESTORS A	50.00	2.50	0.00	2.50	95.0	2.38
/ /	BERDESKI, AUDREY	RYDEX GUGG S&P 500 H	0.00	0.59	0.00	0.59	95.0	0.56
/ /	WAGNER, SHARON	RYDEX GUGG S&P 500 H	0.00	0.19	0.00	0.19	95.0	0.18
08/07/2017	LOPICCOLO, CATHERINE	TRANSAMERICA AA GROWTH C	128.38	1.28	0.00	1.28	95.0	1.22
08/15/2017	TOBEY, TIMOTHY	TRANSAMERICA AA GROWTH C	100.00	1.00	0.00	1.00	95.0	0.95
08/15/2017	KUCHAREK, PAUL	TRANSAMERICA AA MOD GROWTH A	250.00	10.00	0.00	10.00	95.0	9.50
08/15/2017	WEYCKER, ROBERT	TRANSAMERICA MULTI-MANAGED BAL C	300.00	3.00	0.00	3.00	95.0	2.85
08/16/2017	EICHENLAUB, TRACEY	TRANSAMERICA MULTI-MANAGED BAL C	400.00	4.00	0.00	4.00	95.0	3.80
08/03/2017	BUSSELL REV LV TRUST, SHARON	WELLS FARGO 100% TREASURY MMKT-A	0.00	7.39	0.00	7.39	95.0	7.02
08/03/2017	DAMIANO, MAURICE	WELLS FARGO HIGH YIELD BOND A	0.00	60.81	0.00	60.81	95.0	57.77
08/03/2017	THOMAS, HENRY	WELLS FARGO INCOME PLUS A	0.00	3.41	0.00	3.41	95.0	3.24
08/03/2017	THOMAS, SYBIL	WELLS FARGO INCOME PLUS A	0.00	2.69	0.00	2.69	95.0	2.56
08/03/2017	TOTTEFF, JESSIE	WELLS FARGO INCOME PLUS A	0.00	3.40	0.00	3.40	95.0	3.23

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/03/2017	SPEAROT, ALAN	WELLS FARGO INCOME PLUS A	0.00	0.91	0.00	0.91	95.0	0.86
08/03/2017	SPEAROT, DOUGLAS	WELLS FARGO INCOME PLUS A	0.00	0.91	0.00	0.91	95.0	0.86
08/03/2017	DAMIANO, MAURICE	WELLS FARGO INTL BOND A	0.00	18.12	0.00	18.12	95.0	17.21
08/03/2017	GUTMAN, KENNETH	WELLS FARGO INTL BOND A	0.00	2.14	0.00	2.14	95.0	2.03
08/03/2017	GUTMAN, MATHEW	WELLS FARGO INTL BOND A	0.00	2.14	0.00	2.14	95.0	2.03
08/03/2017	JAGGER, ALBERT	WELLS FARGO INTL BOND A	0.00	27.58	0.00	27.58	95.0	26.20
08/03/2017	BUSSELL REV LIV TRST, GEROGE &	WELLS FARGO MONEY MKT A	0.00	28.82	0.00	28.82	95.0	27.38
08/03/2017	STEBBINS, DAVID	WELLS FARGO OPP A	0.00	0.62	0.00	0.62	95.0	0.59
08/03/2017	BASILE, NINO	WELLS FARGO OPP A	0.00	0.92	0.00	0.92	95.0	0.87
08/03/2017	DUDLEY, CHERYL	WELLS FARGO OPP A	0.00	1.14	0.00	1.14	95.0	1.08
08/03/2017	BASILE, ANNA	WELLS FARGO OPP A	0.00	0.80	0.00	0.80	95.0	0.76
08/03/2017	CODDING, CRAIG	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	3.28	0.00	3.28	95.0	3.12
08/03/2017	KISH, VIVIAN	WELLS FARGO STRATEGIC MUNI A	0.00	0.98	0.00	0.98	95.0	0.93
08/03/2017	ROEHRIG, RITA	WELLS FARGO STRATEGIC MUNI A	0.00	2.72	0.00	2.72	95.0	2.58
08/03/2017	JAGGER, ALBERT	WELLS FARGO STRATEGIC MUNI A	0.00	25.13	0.00	25.13	95.0	23.87
08/03/2017	PER, MARIANNE	WELLS FARGO STRATEGIC MUNI A	0.00	0.18	0.00	0.18	95.0	0.17
08/03/2017	TSEREGOUNIS, SPYROS	WELLS FARGO STRATEGIC MUNI A	0.00	5.26	0.00	5.26	95.0	5.00
08/03/2017	ALEXOPOULOS, PAUL	WELLS FARGO STRATEGIC MUNI A	0.00	5.77	0.00	5.77	95.0	5.48
08/03/2017	REBNER, WALDEMAR	WELLS FARGO STRATEGIC MUNI A	0.00	9.33	0.00	9.33	95.0	8.86
08/03/2017	DAMIANO, MAURICE	WELLS FARGO STRATEGIC MUNI A	0.00	12.19	0.00	12.19	95.0	11.58
08/03/2017	SPEAROT, REBECCA	WELLS FARGO STRATEGIC MUNI A	0.00	1.66	0.00	1.66	95.0	1.58
08/03/2017	CLEMAK, CHARLES	WELLS FARGO STRATEGIC MUNI A	0.00	28.20	0.00	28.20	95.0	26.79
08/03/2017	MUGLIA, DOMINIC	WELLS FARGO STRATEGIC MUNI A	0.00	37.39	0.00	37.39	95.0	35.52
08/03/2017	MCLUCAS, ROBERT	WELLS FARGO STRATEGIC MUNI A	0.00	54.89	0.00	54.89	95.0	52.15
08/03/2017	KATEHI, PISTI	WELLS FARGO TOTAL RETURN BOND A	0.00	1.16	0.00	1.16	95.0	1.10
08/03/2017	TSEREGOUNIS, SPYROS	WELLS FARGO TOTAL RETURN BOND A	0.00	1.09	0.00	1.09	95.0	1.04
08/03/2017	SNYDER, SHIRLEY	WELLS FARGO TOTAL RETURN BOND A	0.00	0.59	0.00	0.59	95.0	0.56
08/03/2017	BAYS, MARVIN	WELLS FARGO UTILITY AND TELECOM A	0.00	11.18	0.00	11.18	95.0	10.62
* MUTUAL FUNDS SUBTOTAL *			\$19,957.49	\$1,783.55	\$0.00	\$1,783.55		\$1,694.42
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/04/2017	SCHROETER, RICHARD	TRANSAMERICA EXTRA	36,832.42	30.69	0.00	30.69	95.0	29.16
07/14/2017	GRUSH, ERNEST	TRANSAMERICA LANDMARK	145,743.43	121.45	0.00	121.45	95.0	115.38
07/30/2017	MADSEN, JOYCE	TRANSAMERICA LANDMARK	4,661.59	3.88	0.00	3.88	95.0	3.69

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
* VARIABLE ANNUITIES SUBTOTAL *			\$187,237.44	\$156.02	\$0.00	\$156.02		\$148.23
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
08/07/2017	DINKA, JOHN	PAC LIFE VUL	2,500.00	50.00	0.00	50.00	95.0	47.50
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$2,500.00	\$50.00	\$0.00	\$50.00		\$47.50
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/03/2017	MERRITT, KATHY	COLUMBIA ACORN A	0.00	9.41	0.00	9.41	95.0	8.94
08/03/2017	EQUITY TRUST CO	COLUMBIA ACORN A	0.00	5.67	0.00	5.67	95.0	5.39
08/03/2017	SMARK, CHARLES	COLUMBIA ACORN A	0.00	6.62	0.00	6.62	95.0	6.29
08/03/2017	MOORE, DONYELLE	COLUMBIA EQUITY VALUE A	0.00	0.96	0.00	0.96	95.0	0.91
08/03/2017	MUNTEANU, RADU	COLUMBIA GREATER CHINA A	0.00	7.63	0.00	7.63	95.0	7.25
08/03/2017	KLEMPNER TRUST, VLADIMIR	COLUMBIA INCOME BUILDER FUND A	0.00	9.29	0.00	9.29	95.0	8.83
08/03/2017	SMYTH, DAVID	COLUMBIA LARGE CAP GROWTH A	0.00	3.34	0.00	3.34	95.0	3.17
08/03/2017	MOORE, DONYELLE	COLUMBIA MIDCAP VALUE A	0.00	8.89	0.00	8.89	95.0	8.45
08/03/2017	KULA, THOMAS	COLUMBIA SELIGMAN COMM AND INFO A	0.00	2.83	0.00	2.83	95.0	2.69
08/03/2017	HOSKIN, JOHN	COLUMBIA SELIGMAN COMM AND INFO A	0.00	5.73	0.00	5.73	95.0	5.44
08/03/2017	SWIDER, ROB	COLUMBIA SELIGMAN COMM AND INFO A	0.00	5.91	0.00	5.91	95.0	5.61
08/03/2017	VANMEER, MICHAEL	COLUMBIA SELIGMAN COMM AND INFO A	0.00	3.52	0.00	3.52	95.0	3.34
08/03/2017	SCULLY, DOROTHY	COLUMBIA SELIGMAN COMM AND INFO C	0.00	44.64	0.00	44.64	95.0	42.41
08/03/2017	FALKIEWICZ, DAVID	COLUMBIA SMALL CAP VALUE I A	0.00	5.90	0.00	5.90	95.0	5.61
08/03/2017	MOORE, DONYELLE	COLUMBIA SMALL CAP VALUE I A	0.00	4.90	0.00	4.90	95.0	4.66
08/03/2017	KOSUTH, SARA	COLUMBIA STRATEGIC INVESTOR A	0.00	6.77	0.00	6.77	95.0	6.43
08/03/2017	KUCHAREK, EMMA	COLUMBIA STRATEGIC INVESTOR A	0.00	0.19	0.00	0.19	95.0	0.18
08/03/2017	KUCHAREK, PETER	COLUMBIA STRATEGIC INVESTOR A	0.00	0.19	0.00	0.19	95.0	0.18
08/03/2017	KUCHAREK, GILLIAN	COLUMBIA STRATEGIC INVESTOR A	0.00	0.19	0.00	0.19	95.0	0.18
08/03/2017	KUCHAREK, SARAH	COLUMBIA STRATEGIC INVESTOR A	0.00	0.19	0.00	0.19	95.0	0.18
08/03/2017	KINGSLEY, BRIAN GENE	COLUMBIA STRATEGIC INVESTOR A	0.00	2.73	0.00	2.73	95.0	2.59
08/03/2017	KUCHAREK, MIRIAM	COLUMBIA STRATEGIC INVESTOR A	0.00	0.20	0.00	0.20	95.0	0.19
06/30/2017	CFGROUP, VARIOUS	VOYA TRAILS	0.00	3.09	0.00	3.09	95.0	2.94
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$138.79	\$0.00	\$138.79		\$131.86

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
08/09/2017	MEYER, THOMAS	ING PREMIUM PLUS	0.00	289.79	0.00	289.79	95.0	275.30
		* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$289.79	\$0.00	\$289.79		\$275.30
		*** BROKER TRANSACTIONS TOTAL ***	\$248,694.93	\$4,317.05	\$0.00	\$4,317.05		\$4,101.25

SPLIT TRANSACTIONS**BROKER: MARK KOSANKE #3**

08/03/2017	MCCLAIN, DOROTHY	GENWORTH CHOICE 03 (UNBUN GUAR 1.5)	94,489.87	78.74	0.00	78.74	95.0	74.80
08/03/2017	EMBACH, KEVIN	GENWORTH CHOICE VA	75,893.66	63.24	0.00	63.24	95.0	60.08
08/03/2017	EMBACH, JAMES	GENWORTH CHOICE VA	75,893.67	63.24	0.00	63.24	95.0	60.08
08/03/2017	ZACK, MAUREEN	GENWORTH CHOICE VA	75,893.67	63.24	0.00	63.24	95.0	60.08
08/03/2017	HARTOUNIAN, HAGOB	GENWORTH CHOICE VA	11,200.56	9.33	0.00	9.33	95.0	8.86
08/03/2017	BYERS, SHARRON	GENWORTH COMMONWEALTH VA 1994 (NON-	101,922.84	16.99	0.00	16.99	95.0	16.14
08/28/2017	STEFFES, MARTIN	JNL REWARD 10 YR TERM	32.61	0.16	0.00	0.16	95.0	0.15
08/03/2017	RIMKUS, MARILYN	WELLS FARGO ENTERPRISE A	0.00	4.29	0.00	4.29	95.0	4.08
08/03/2017	ALEXANDER, JEAN	WELLS FARGO STRATEGIC MUNI A	0.00	0.90	0.00	0.90	95.0	0.86
08/03/2017	EMMER, ILENE	WELLS FARGO TOTAL RETURN BOND A	0.00	0.17	0.00	0.17	95.0	0.16
08/03/2017	DANIELS, KATHLEEN	WELLS FARGO TOTAL RETURN BOND A	0.00	3.01	0.00	3.01	95.0	2.86
08/03/2017	SMOLBOSKI, BARBARA	WELLS FARGO TOTAL RETURN BOND A	0.00	0.23	0.00	0.23	95.0	0.22
		* MARK KOSANKE SUBTOTAL *	\$435,326.88	\$303.54	\$0.00	\$303.54		\$288.37
		*** SPLIT TRANSACTIONS TOTAL ***	\$435,326.88	\$303.54	\$0.00	\$303.54		\$288.37

OVERRIDE TRANSACTIONS**BROKER: 101 CFGROUP/KOSANKE #9**

08/15/2017	STOLL, BENJAMIN	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	2.13	0.00	2.13	95.0	2.13
08/21/2017	NAGEOTTE, NIKOLAUS	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	5.00	0.00	5.00	95.0	5.00
		* 101 CFGROUP/KOSANKE SUBTOTAL *	\$150.00	\$7.13	\$0.00	\$7.13		\$7.13

BROKER: THOMAS SCHNEIDER #30

/ /	D&D TRAILER HAULING INC	PROFUNDS RISING RATES SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GREENE, DAVID	PROFUNDS RISING RATES SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	D&D TRAILER HAULING INC	PROFUNDS RISING RATES SVC	0.00	0.03	0.00	0.03	0.0	0.03

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: THOMAS SCHNEIDER #30</u>								
/ /	GREENE, DAVID	PROFUNDS RISING RATES SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.06	0.00	0.06	0.0	0.06
/ /	GREENE, DAVID	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.06	0.00	0.06	0.0	0.06
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.17	0.00	0.17	0.0	0.17
/ /	GREENE, DAVID	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.17	0.00	0.17	0.0	0.17
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	GREENE, DAVID	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.08	0.00	0.08	0.0	0.08
/ /	GREENE, DAVID	PROFUNDS ULTRASHORT NASDAQ 100 SVC	0.00	0.08	0.00	0.08	0.0	0.08
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	GREENE, DAVID	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	D&D TRAILER HAULING INC	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.05
/ /	GREENE, DAVID	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.05	0.00	0.05	0.0	0.05
/ /	D&D TRAILER HAULING INC	PROFUNDS US GOV PLUS SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GREENE, DAVID	PROFUNDS US GOV PLUS SVC	0.00	0.01	0.00	0.01	0.0	0.01
* THOMAS SCHNEIDER SUBTOTAL *			\$0.00	\$0.92	\$0.00	\$0.92		\$0.92
*** OVERRIDE TRANSACTIONS TOTAL ***			\$150.00	\$8.05	\$0.00	\$8.05		\$8.05

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BROKER COMMISSION TOTALS

Broker Transactions	\$4,101.25
Split Transactions	\$288.37
Override Transactions	\$8.05
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,397.67
Year-to-date Gross	\$247,582.16
Year-to-date Earnings	\$238,611.38