



COMMISSION STATEMENT

April 23, 2018

RICHARD SOWERS
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/09/2018	***-**-3221 - PITTM, BARB	ALLIANCEBERNSTEIN GROWTH AND INC A	100.00	4.00	0.00	4.00	90.0	3.60
	ACCOUNT #: 00940010050	BUY 17 Units @5.9500						
04/12/2018	***-**-87IN - HALL, J	AMCAP FUND-A	420.00	11.55	0.00	11.55	90.0	10.40
	ACCOUNT #: 00084955124	BUY 12 Units @34.0500						
04/13/2018	***-**-255P - WILSON, HELEN	CAPITAL INCOME BUILDER-A	62.50	1.25	0.00	1.25	90.0	1.13
	ACCOUNT #: 00082184171	BUY 1 Units @62.7100						
04/13/2018	***-**-525P - WILSON, FRANCIS	CAPITAL INCOME BUILDER-A	245.73	4.91	0.00	4.91	90.0	4.42
	ACCOUNT #: 00082184129	BUY 4 Units @62.7100						
04/13/2018	***-**-211I - MEGILL, ROBERT	CAPITAL INCOME BUILDER-A	167.66	4.61	0.00	4.61	90.0	4.15
	ACCOUNT #: 00084334457	BUY 3 Units @63.3600						
04/13/2018	***-**-828I - SHARMA, BOBBY	CAPITAL INCOME BUILDER-A	125.00	4.69	0.00	4.69	90.0	4.22
	ACCOUNT #: 00084334446	BUY 2 Units @64.0200						
04/13/2018	***-**-706I - HOFSTETTER,	CAPITAL INCOME BUILDER-A	37.50	1.59	0.00	1.59	90.0	1.43
	ACCOUNT #: 00084334451	BUY 1 Units @64.3600						
04/12/2018	***-**-87IN - HALL, J	CAPITAL WORLD GROWTH AND INCOME FUN	420.00	11.55	0.00	11.55	90.0	10.40
	ACCOUNT #: 00084955124	BUY 8 Units @53.6100						
04/13/2018	***-**-255P - WILSON, HELEN	CAPITAL WORLD GROWTH AND INCOME FUN	62.50	1.25	0.00	1.25	90.0	1.13
	ACCOUNT #: 00082184171	BUY 1 Units @53.0400						
04/13/2018	***-**-525P - WILSON, FRANCIS	CAPITAL WORLD GROWTH AND INCOME FUN	245.73	4.91	0.00	4.91	90.0	4.42
	ACCOUNT #: 00082184129	BUY 5 Units @53.0400						
04/13/2018	***-**-211I - MEGILL, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	167.66	4.61	0.00	4.61	90.0	4.15
	ACCOUNT #: 00084334457	BUY 3 Units @53.5900						
04/13/2018	***-**-828I - SHARMA, BOBBY	CAPITAL WORLD GROWTH AND INCOME FUN	125.00	4.69	0.00	4.69	90.0	4.22
	ACCOUNT #: 00084334446	BUY 2 Units @54.1500						
04/13/2018	***-**-46IN - MILLS, CATHERINE	CAPITAL WORLD GROWTH AND INCOME FUN	125.00	5.31	0.00	5.31	90.0	4.78
	ACCOUNT #: 00086578408	BUY 2 Units @54.4300						
04/13/2018	***-**-706I - HOFSTETTER,	CAPITAL WORLD GROWTH AND INCOME FUN	37.50	1.59	0.00	1.59	90.0	1.43
	ACCOUNT #: 00084334451	BUY 1 Units @54.4300						
04/12/2018	***-**-87IN - HALL, J	FUNDAMENTAL INVESTORS-A	420.00	11.55	0.00	11.55	90.0	10.40
	ACCOUNT #: 00084955124	BUY 6 Units @64.7900						
04/13/2018	***-**-224I - WILSON, FRANCIS	FUNDAMENTAL INVESTORS-A	553.25	20.75	0.00	20.75	90.0	18.68
	ACCOUNT #: 00085899638	BUY 8 Units @65.3600						



COMMISSION STATEMENT

April 23, 2018

RICHARD SOWERS
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
04/04/2018	***-**-17IN - VITALE, ESTHER	OPPENHEIMER MAIN STREET OPPORTUNITY	2,038.17	95.88	0.00	95.88	90.0	86.29
	ACCOUNT #: 07315691136	BUY 0 Units @0.0000						
04/13/2018	***-**-525P - WILSON, FRANCIS	THE GROWTH FUND OF AMERICA-A	245.73	4.91	0.00	4.91	90.0	4.42
	ACCOUNT #: 00082184129	BUY 5 Units @52.7300						
04/13/2018	***-**-255P - WILSON, HELEN	THE GROWTH FUND OF AMERICA-A	62.50	1.25	0.00	1.25	90.0	1.13
	ACCOUNT #: 00082184171	BUY 1 Units @52.7300						
04/13/2018	***-**-211I - MEGILL, ROBERT	THE GROWTH FUND OF AMERICA-A	167.67	4.61	0.00	4.61	90.0	4.15
	ACCOUNT #: 00084334457	BUY 3 Units @53.2700						
04/13/2018	***-**-828I - SHARMA, BOBBY	THE GROWTH FUND OF AMERICA-A	125.00	4.69	0.00	4.69	90.0	4.22
	ACCOUNT #: 00084334446	BUY 2 Units @53.8300						
04/13/2018	***-**-46IN - MILLS, CATHERINE	THE GROWTH FUND OF AMERICA-A	125.00	5.31	0.00	5.31	90.0	4.78
	ACCOUNT #: 00086578408	BUY 2 Units @54.1200						
04/13/2018	***-**-706I - HOFSTETTER,	THE GROWTH FUND OF AMERICA-A	37.50	1.59	0.00	1.59	90.0	1.43
	ACCOUNT #: 00084334451	BUY 1 Units @54.1200						
04/13/2018	***-**-211I - MEGILL, ROBERT	THE INCOME FUND OF AMERICA-A	167.66	4.61	0.00	4.61	90.0	4.15
	ACCOUNT #: 00084334457	BUY 7 Units @23.7800						
04/13/2018	***-**-828I - SHARMA, BOBBY	THE INCOME FUND OF AMERICA-A	125.00	4.69	0.00	4.69	90.0	4.22
	ACCOUNT #: 00084334446	BUY 5 Units @24.0300						
04/13/2018	***-**-706I - HOFSTETTER,	THE INCOME FUND OF AMERICA-A	37.50	1.59	0.00	1.59	90.0	1.43
	ACCOUNT #: 00084334451	BUY 2 Units @24.1600						
04/12/2018	***-**-87IN - HALL, J	WASHINGTON MUTUAL INVESTORS FUND-A	420.00	11.55	0.00	11.55	90.0	10.40
	ACCOUNT #: 00084955124	BUY 9 Units @47.0500						
04/13/2018	***-**-255P - WILSON, HELEN	WASHINGTON MUTUAL INVESTORS FUND-A	62.50	1.25	0.00	1.25	90.0	1.13
	ACCOUNT #: 00082184171	BUY 1 Units @46.4400						
04/13/2018	***-**-525P - WILSON, FRANCIS	WASHINGTON MUTUAL INVESTORS FUND-A	245.71	4.91	0.00	4.91	90.0	4.42
	ACCOUNT #: 00082184129	BUY 5 Units @46.4400						
* MUTUAL FUNDS SUBTOTAL *			\$7,174.97	\$245.65	\$0.00	\$245.65		\$221.13



COMMISSION STATEMENT

April 23, 2018

RICHARD SOWERS
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
04/03/2018	***-**-254J - OTHEGUY, JUAN & ACCOUNT #: 00004910394	CALVERT ULTRA SHORT INCOME - A BUY 0 Units @0.0000	0.00	3.02	0.00	3.02	90.0	2.72
04/23/2018	***-**-6540 - STOUT, LOUISE ACCOUNT #: LSR011072	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	1.63	0.00	1.63	90.0	1.47
04/23/2018	***-**-13IO - CHARD, DANIELLE ACCOUNT #: LSR010092	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000	0.00	2.94	0.00	2.94	90.0	2.65
04/23/2018	***-**-13IO - CHARD, DANIELLE ACCOUNT #: LSR010092	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	3.12	0.00	3.12	90.0	2.81
04/23/2018	***-**-10IR - JANNEY, DONNA ACCOUNT #: LSR011203	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	0.90	0.00	0.90	90.0	0.81
04/23/2018	***-**-08IR - JANNEY, DAVID ACCOUNT #: LSR011204	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	0.90	0.00	0.90	90.0	0.81
04/23/2018	***-**-2960 - KELLEY, BRENDA ACCOUNT #: LSR011834	FUNDAMENTAL INVESTORS-C BUY 1 Units @0.0000	0.00	0.20	0.00	0.20	90.0	0.18
04/23/2018	***-**-55IR - LANGDON, TAMMY ACCOUNT #: 091138685	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	108.35	0.00	108.35	90.0	97.52
04/23/2018	***-**-99IR - LANGDON, WILLIAM ACCOUNT #: 091138868	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	508.68	0.00	508.68	90.0	457.81
04/23/2018	***-**-152J - LORD, GEORGE ACCOUNT #: 091140054	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	49.32	0.00	49.32	90.0	44.39
04/23/2018	***-**-657A - LIBERTY, ACCOUNT #: 091140682	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	92.47	0.00	92.47	90.0	83.22
04/23/2018	***-**-643J - CRAFT, LOIS ACCOUNT #: 091140712	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	64.11	0.00	64.11	90.0	57.70
04/23/2018	***-**-7933 - PIERSON, KAREN ACCOUNT #: 091125554	GRIFFIN CAPITAL ESS ASSET II TRAILS BUY 0 Units @0.0000	0.00	176.64	0.00	176.64	90.0	158.98
04/23/2018	***-**-935I - LOWE, JAMES ACCOUNT #: LSR008460	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	12.24	0.00	12.24	90.0	11.02
04/23/2018	***-**-935I - LOWE, JAMES ACCOUNT #: LSR008460	GRIFFIN INST ACCESS REAL ESTATE FD BUY 1 Units @0.0000	0.00	4.08	0.00	4.08	90.0	3.67
04/23/2018	***-**-13IO - CHARD, DANIELLE ACCOUNT #: LSR010092	NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000	0.00	3.23	0.00	3.23	90.0	2.91



COMMISSION STATEMENT

April 23, 2018

RICHARD SOWERS
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/23/2018	***-**-13IR - CHARD, DANIELLE	NEW PERSPECTIVE FUND-A	0.00	1.50	0.00	1.50	90.0	1.35
	ACCOUNT #: LSR010093	BUY 1 Units @0.0000						
04/23/2018	***-**-547J - BEST III, JOHN	NEW PERSPECTIVE FUND-A	0.00	7.67	0.00	7.67	90.0	6.90
	ACCOUNT #: LSR010824	BUY 1 Units @0.0000						
04/23/2018	***-**-10IR - JANNEY, DONNA	NEW PERSPECTIVE FUND-A	0.00	0.88	0.00	0.88	90.0	0.79
	ACCOUNT #: LSR011203	BUY 1 Units @0.0000						
04/23/2018	***-**-08IR - JANNEY, DAVID	NEW PERSPECTIVE FUND-A	0.00	0.88	0.00	0.88	90.0	0.79
	ACCOUNT #: LSR011204	BUY 1 Units @0.0000						
04/23/2018	***-**-559I - HUBBARD, JAMES	SAMMONS MF TRAILS	0.00	14.63	0.00	14.63	90.0	13.17
	ACCOUNT #: 7200005537	BUY 0 Units @0.0000						
04/23/2018	***-**-854I - SQUIRE, CAROLYN	SAMMONS MF TRAILS	0.00	3.50	0.00	3.50	90.0	3.15
	ACCOUNT #: 7200007202	BUY 0 Units @0.0000						
04/23/2018	***-**-7488 - SQUIRE, BARRY	SAMMONS MF TRAILS	0.00	6.78	0.00	6.78	90.0	6.10
	ACCOUNT #: 7200007204	BUY 0 Units @0.0000						
04/23/2018	***-**-82RI - MORGAN, BOBETTE	SAMMONS MF TRAILS	0.00	54.59	0.00	54.59	90.0	49.13
	ACCOUNT #: 7200009544	BUY 0 Units @0.0000						
04/23/2018	***-**-199I - MITCHEN, RICHARD	SAMMONS MF TRAILS	0.00	14.82	0.00	14.82	90.0	13.34
	ACCOUNT #: 7200011695	BUY 0 Units @0.0000						
04/23/2018	***-**-282I - MILLS, BENNEY	SAMMONS MF TRAILS	0.00	7.00	0.00	7.00	90.0	6.30
	ACCOUNT #: 7200012025	BUY 0 Units @0.0000						
04/23/2018	***-**-594I - REGULSKI, SUSAN	SAMMONS MF TRAILS	0.00	77.64	0.00	77.64	90.0	69.88
	ACCOUNT #: 7200012141	BUY 0 Units @0.0000						
04/23/2018	***-**-7933 - PIERSON, KAREN	SAMMONS MF TRAILS	0.00	45.04	0.00	45.04	90.0	40.54
	ACCOUNT #: 7200024944	BUY 0 Units @0.0000						
04/23/2018	***-**-224I - WILSON, FRANCIS	SAMMONS MF TRAILS	0.00	5.29	0.00	5.29	90.0	4.76
	ACCOUNT #: 7200036196	BUY 0 Units @0.0000						
04/23/2018	***-**-52IN - LORD, GEORGE	SAMMONS MF TRAILS	0.00	25.72	0.00	25.72	90.0	23.15
	ACCOUNT #: 7200036994	BUY 0 Units @0.0000						
04/23/2018	***-**-721I - CRAFT, LOIS	SAMMONS MF TRAILS	0.00	16.73	0.00	16.73	90.0	15.06
	ACCOUNT #: 7200013710	BUY 0 Units @0.0000						
04/23/2018	***-**-13IO - CHARD, DANIELLE	THE GROWTH FUND OF AMERICA-A	0.00	3.79	0.00	3.79	90.0	3.41
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						



COMMISSION STATEMENT

April 23, 2018

RICHARD SOWERS
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/23/2018	***-**-2960 - KELLEY, BRENDA	THE GROWTH FUND OF AMERICA-C	0.00	0.16	0.00	0.16	90.0	0.14
	ACCOUNT #: LSR011834	BUY 1 Units @0.0000						
04/23/2018	***-**-547J - BEST III, JOHN	THE INVESTMENT COMPANY OF AMERICA-A	0.00	7.42	0.00	7.42	90.0	6.68
	ACCOUNT #: LSR010824	BUY 1 Units @0.0000						
04/23/2018	***-**-6540 - STOUT, LOUISE	THE NEW ECONOMY FUND-C	0.00	7.49	0.00	7.49	90.0	6.74
	ACCOUNT #: LSR011072	BUY 1 Units @0.0000						
04/23/2018	***-**-13IO - CHARD, DANIELLE	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.61	0.00	2.61	90.0	2.35
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
04/06/2018	***-**-2209 - LING, BENJAMIN	WELLS FGO AVTG ENTERPRISE FUND - A	0.00	9.96	0.00	9.96	90.0	8.96
	ACCOUNT #: 01006543750	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,345.93	\$0.00	\$1,345.93		\$1,211.36
*** BROKER TRANSACTIONS TOTAL ***			\$7,174.97	\$1,591.58	\$0.00	\$1,591.58		\$1,432.49

BROKER COMMISSION TOTALS

Broker Transactions	\$1,432.49
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$1,432.49
Year-to-date Earnings	\$20,179.62