



Concorde Investment Services, LLC

07/05/2018

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COMMISSION STATEMENT

June 30, 2018

BRENT, L137 LEE
13423 Kings View Lane

BROKER #: L137
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>SPLIT TRANSACTIONS</u> | | | | | | | | |
| <u>BROKER: BRENT-BRION-JEN TRU-BBJ LEE-NORB #10</u> | | | | | | | | |
| 06/20/2018 | LEE, DONALD | AM FUNDS BOND FUND OF AMERICA 529A | 16.50 | 0.20 | 0.00 | 0.20 | 90.0 | 0.18 |
| 06/20/2018 | LEE, DONALD | AM FUNDS BOND FUND OF AMERICA 529A | 16.50 | 0.20 | 0.00 | 0.20 | 90.0 | 0.18 |
| 06/20/2018 | LEE, DONALD | AM FUNDS BOND FUND OF AMERICA 529A | 16.50 | 0.20 | 0.00 | 0.20 | 90.0 | 0.18 |
| 06/20/2018 | LEE, DONALD | AM FUNDS BOND FUND OF AMERICA 529A | 16.50 | 0.20 | 0.00 | 0.20 | 90.0 | 0.18 |
| 06/20/2018 | LEE, DONALD | AM FUNDS BOND FUND OF AMERICA 529A | 16.50 | 0.20 | 0.00 | 0.20 | 90.0 | 0.18 |
| 06/20/2018 | LEE, DONALD | AM FUNDS BOND FUND OF AMERICA 529C | 16.50 | 0.07 | 0.00 | 0.07 | 90.0 | 0.06 |
| 06/20/2018 | LEE, DONALD | AM FUNDS BOND FUND OF AMERICA 529C | 16.50 | 0.07 | 0.00 | 0.07 | 90.0 | 0.06 |
| 06/20/2018 | LEE, DONALD | AM FUNDS ICA 529A | 16.50 | 0.28 | 0.00 | 0.28 | 90.0 | 0.25 |
| 06/20/2018 | LEE, DONALD | AM FUNDS ICA 529A | 16.50 | 0.28 | 0.00 | 0.28 | 90.0 | 0.25 |
| 06/20/2018 | LEE, DONALD | AM FUNDS ICA 529A | 16.50 | 0.28 | 0.00 | 0.28 | 90.0 | 0.25 |
| 06/20/2018 | LEE, DONALD | AM FUNDS ICA 529A | 16.50 | 0.28 | 0.00 | 0.28 | 90.0 | 0.25 |
| 06/20/2018 | LEE, DONALD | AM FUNDS ICA 529A | 16.50 | 0.28 | 0.00 | 0.28 | 90.0 | 0.25 |
| 06/20/2018 | LEE, DONALD | AM FUNDS ICA 529C | 16.50 | 0.07 | 0.00 | 0.07 | 90.0 | 0.06 |
| 06/20/2018 | LEE, DONALD | AM FUNDS ICA 529C | 17.00 | 0.07 | 0.00 | 0.07 | 90.0 | 0.06 |
| 06/20/2018 | LEE, DONALD | AM FUNDS ICA 529C | 16.50 | 0.07 | 0.00 | 0.07 | 90.0 | 0.06 |
| 06/20/2018 | LEE, DONALD | AM FUNDS NEW PERSPECTIVE 529A | 17.00 | 0.29 | 0.00 | 0.29 | 90.0 | 0.26 |
| 06/20/2018 | LEE, DONALD | AM FUNDS NEW PERSPECTIVE 529A | 17.00 | 0.29 | 0.00 | 0.29 | 90.0 | 0.26 |
| 06/20/2018 | LEE, DONALD | AM FUNDS NEW PERSPECTIVE 529A | 17.00 | 0.29 | 0.00 | 0.29 | 90.0 | 0.26 |
| 06/20/2018 | LEE, DONALD | AM FUNDS NEW PERSPECTIVE 529A | 17.00 | 0.29 | 0.00 | 0.29 | 90.0 | 0.26 |
| 06/20/2018 | LEE, DONALD | AM FUNDS NEW PERSPECTIVE 529A | 17.00 | 0.29 | 0.00 | 0.29 | 90.0 | 0.26 |
| 06/20/2018 | LEE, DONALD | AM FUNDS NEW PERSPECTIVE 529C | 17.00 | 0.07 | 0.00 | 0.07 | 90.0 | 0.06 |
| 06/20/2018 | LEE, DONALD | AM FUNDS NEW PERSPECTIVE 529C | 16.50 | 0.07 | 0.00 | 0.07 | 90.0 | 0.06 |
| 06/20/2018 | LEE, DONALD | AM FUNDS NEW PERSPECTIVE 529C | 17.00 | 0.07 | 0.00 | 0.07 | 90.0 | 0.06 |
| 06/20/2018 | LEE, DONALD | AM FUNDS SMALLCAP WORLD-529A | 50.00 | 0.85 | 0.00 | 0.85 | 90.0 | 0.77 |
| * BRENT-BRION-JEN TRU-BBJ LEE-NORB SUBTOTAL * | | | \$433.50 | \$5.26 | \$0.00 | \$5.26 | | \$4.70 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|------------------|-------------------------------|----------------------|---------------------|--------------------|--------------------|------|----------------------|
| <u>SPLIT TRANSACTIONS</u> | | | | | | | | |
| <u>BROKER: BRENT/BRION TRU- BLB LEE/NORBY #332</u> | | | | | | | | |
| 05/31/2018 | RETZLAFF, SANDRA | MOODY NAT'L REIT II INC | 26,000.00 | 780.00 | 0.00 | 780.00 | 90.0 | 702.00 |
| 06/22/2018 | KASMER, KAYDEN | WHITING PETE CORP COM NEW | -55,296.00 | 0.00 | 11.77 | -11.77 | 90.0 | -10.59 |
| * BRENT/BRION TRU- BLB LEE/NORBY SUBTOTAL * | | | \$-29,296.00 | \$780.00 | \$11.77 | \$768.23 | | \$691.41 |
| <u>BROKER: BRENT-BRION-JEN TRU-BBJ LEE-NORB #335</u> | | | | | | | | |
| 05/08/2018 | LYNDE, STEVEN | ARCTRUST FOLLOW-ON 4 | 100,000.00 | 2,400.00 | 0.00 | 2,400.00 | 90.0 | 2,160.00 |
| 06/04/2018 | SKAARE, LARRY | FS INVESTMENT CORP IV CLASS T | 0.00 | 16.28 | 0.00 | 16.28 | 90.0 | 14.65 |
| 06/20/2018 | LEE, DONALD | GLOBAL GROWTH PORTFOLIO-529A | 50.00 | 0.85 | 0.00 | 0.85 | 90.0 | 0.77 |
| 06/20/2018 | GRANT, KATHLEEN | MOODY NATIONAL REIT II INC | 50,000.00 | 0.00 | 0.00 | 0.00 | 90.0 | 0.00 |
| * BRENT-BRION-JEN TRU-BBJ LEE-NORB SUBTOTAL * | | | \$150,050.00 | \$2,417.13 | \$0.00 | \$2,417.13 | | \$2,175.42 |
| <u>BROKER: BRE/BRI/JEN/TY TRU- L139 LEE/NOR/DO #358</u> | | | | | | | | |
| 06/18/2018 | SORENSEN, CURTIS | MOODY DFW DST | 722,520.00 | 14,305.90 | 0.00 | 14,305.90 | 90.0 | 12,875.31 |
| * BRE/BRI/JEN/TY TRU- L139 LEE/NOR/DO SUBTOTAL * | | | \$722,520.00 | \$14,305.90 | \$0.00 | \$14,305.90 | | \$12,875.31 |
| *** SPLIT TRANSACTIONS TOTAL *** | | | \$843,707.50 | \$17,508.29 | \$11.77 | \$17,496.52 | | \$15,746.84 |
| <u>ADJUSTMENTS</u> | | | | | | | | |
| 06/30/2018 | | *MISC: UNDERPAYMENT - LEE | 0.00 | | | | | 737.29 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$737.29 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|-------------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$15,746.84 |
| Override Transactions | \$0.00 |
| Adjustments | \$737.29 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|-------------|
| Check Amount | \$16,484.13 |
| Year-to-date Gross | \$84,855.22 |
| Year-to-date Earnings | \$75,769.99 |