



COMMISSION STATEMENT

August 20, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/14/2018	***-**-425P - DELGAIZO, LOUISA	AMCAP FUND-A	89.00	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 00063412117	BUY 3 Units @34.5200						
08/14/2018	***-**-535P - DELGAIZO,	AMCAP FUND-A	89.00	1.07	0.00	1.07	80.0	0.86
	ACCOUNT #: 00063451518	BUY 3 Units @34.5200						
08/13/2018	***-**-0006 - FORSYTH, KATHRYN	AMCAP FUND-C	57.69	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 04000650661	BUY 2 Units @29.7300						
08/15/2018	***-**-535P - DELGAIZO,	AMERICAN BALANCED FUND-A	50.00	2.13	0.00	2.13	80.0	1.70
	ACCOUNT #: 00068454444	BUY 2 Units @28.9800						
08/15/2018	***-**-425P - DELGAIZO, LOUISA	AMERICAN BALANCED FUND-A	50.00	2.13	0.00	2.13	80.0	1.70
	ACCOUNT #: 00068454445	BUY 2 Units @28.9800						
08/13/2018	***-**-3834 - SWARTWOUT,	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00089957234	BUY 2 Units @27.4200						
08/13/2018	***-**-435P - BOSCO, SOFIA	AMERICAN BALANCED FUND-C	187.00	1.87	0.00	1.87	80.0	1.50
	ACCOUNT #: 00073003754	BUY 7 Units @27.4200						
08/13/2018	***-**-2163 - TRAPANI, JAMES	AMERICAN BALANCED FUND-C	3.63	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @27.4200						
08/13/2018	***-**-2163 - TRAPANI, JAMES	AMERICAN BALANCED FUND-C	28.05	0.28	0.00	0.28	80.0	0.22
	ACCOUNT #: 00085902249	BUY 1 Units @27.4200						
08/13/2018	***-**-3955 - EAST, MICHAEL	AMERICAN BALANCED FUND-C	6.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 00085904181	BUY 0 Units @27.4200						
08/13/2018	***-**-3955 - EAST, MICHAEL	AMERICAN BALANCED FUND-C	1.79	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904181	BUY 0 Units @27.4200						
08/13/2018	***-**-2081 - SHELHAMER, DIANE	AMERICAN BALANCED FUND-C	4.30	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085904210	BUY 0 Units @27.4200						
08/13/2018	***-**-2081 - SHELHAMER, DIANE	AMERICAN BALANCED FUND-C	16.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00085904210	BUY 1 Units @27.4200						
08/13/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089045192	BUY 1 Units @27.4200						
08/13/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	16.32	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00089051066	BUY 1 Units @27.4200						
08/13/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	61.20	0.61	0.00	0.61	80.0	0.49
	ACCOUNT #: 00089051066	BUY 2 Units @27.4200						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
08/13/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 1 Units @27.4200	16.32	0.16	0.00	0.16	80.0	0.13
08/13/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	AMERICAN BALANCED FUND-C BUY 1 Units @27.4200	40.80	0.41	0.00	0.41	80.0	0.33
08/13/2018	***-**-1134 - BARTON, WILLIAM ACCOUNT #: 00089244081	AMERICAN BALANCED FUND-C BUY 3 Units @27.4200	87.96	0.88	0.00	0.88	80.0	0.70
08/13/2018	***-**-312S - DISCH, JASMINE ACCOUNT #: 00089583477	AMERICAN BALANCED FUND-C BUY 1 Units @27.4200	18.96	0.19	0.00	0.19	80.0	0.15
08/13/2018	***-**-035S - CAPIZZI, TRACIE ACCOUNT #: 00089679504	AMERICAN BALANCED FUND-C BUY 1 Units @27.4200	37.75	0.38	0.00	0.38	80.0	0.30
08/14/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	AMERICAN BALANCED FUND-C BUY 4 Units @27.5000	120.00	1.20	0.00	1.20	80.0	0.96
08/15/2018	***-**-61IO - BONACCI, FBO ACCOUNT #: LSR011143	AMERICAN BALANCED FUND-C BUY 5 Units @27.3300	149.99	1.50	3.00	-1.50	100.0	-1.50
08/15/2018	***-**-36IN - SILLUZZIO, THOMAS ACCOUNT #: 00068088908	AMERICAN BALANCED FUND-C BUY 2 Units @27.3300	50.00	0.50	0.00	0.50	80.0	0.40
08/15/2018	***-**-315P - SILLUZZIO, JUSTIN ACCOUNT #: 00068803650	AMERICAN BALANCED FUND-C BUY 2 Units @27.3300	50.00	0.50	0.00	0.50	80.0	0.40
08/15/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087429936	AMERICAN BALANCED FUND-C BUY 2 Units @27.3300	50.00	0.50	0.00	0.50	80.0	0.40
08/13/2018	***-**-4478 - YACONO, JOSEPH ACCOUNT #: 04000820464	AMERICAN FUNDS 2055 TARGET DATE-C BUY 0 Units @19.1000	7.32	0.07	0.00	0.07	80.0	0.06
08/13/2018	***-**-4478 - YACONO, JOSEPH ACCOUNT #: 04000820464	AMERICAN FUNDS 2055 TARGET DATE-C BUY 2 Units @19.1000	43.93	0.44	0.00	0.44	80.0	0.35
08/13/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607	AMERICAN HIGH-INCOME TRUST-C BUY 0 Units @10.2200	4.55	0.05	0.00	0.05	80.0	0.04
08/13/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087236607	AMERICAN HIGH-INCOME TRUST-C BUY 10 Units @10.2200	105.00	1.05	0.00	1.05	80.0	0.84
08/13/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN MUTUAL FUND-C BUY 0 Units @41.1600	5.00	0.05	0.00	0.05	80.0	0.04
08/13/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	AMERICAN MUTUAL FUND-C BUY 0 Units @41.1600	1.48	0.01	0.00	0.01	80.0	0.01



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
08/14/2018	***-**-482I - MARCONI, TODD	AMERICAN MUTUAL FUND-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @41.3600						
08/15/2018	***-**-01UG - DIGIOVANNI,	AMERICAN MUTUAL FUND-C	150.00	1.50	0.00	1.50	80.0	1.20
	ACCOUNT #: 00087087389	BUY 4 Units @41.1700						
08/03/2018	***-**-7330 - JOHNSON, DONALD	CAPITAL APPRECIATION C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 03220387068	BUY 0 Units @0.0000						
08/03/2018	***-**-772I - KERHAERT,	CAPITAL APPRECIATION C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 03222178044	BUY 0 Units @0.0000						
08/03/2018	***-**-9206 - SANDER, DAVID	CAPITAL APPRECIATION C	80.00	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 03222178055	BUY 0 Units @0.0000						
08/03/2018	***-**-6603 - JOHNSON, TERRY	CAPITAL APPRECIATION C	68.00	0.68	0.00	0.68	80.0	0.54
	ACCOUNT #: 03222192157	BUY 0 Units @0.0000						
08/03/2018	***-**-1834 - BUTLER, KIM	CAPITAL APPRECIATION C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 03222200176	BUY 0 Units @0.0000						
08/14/2018	***-**-425P - DELGAIZO, LOUISA	CAPITAL WORLD BOND FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063412117	BUY 1 Units @19.5700						
08/14/2018	***-**-535P - DELGAIZO,	CAPITAL WORLD BOND FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063451518	BUY 1 Units @19.5700						
08/13/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	96.40	0.96	0.00	0.96	80.0	0.77
	ACCOUNT #: 00087038420	BUY 2 Units @50.0900						
08/13/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	3.60	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00087038420	BUY 0 Units @50.0900						
08/13/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00089045192	BUY 1 Units @50.0900						
08/14/2018	***-**-2825 - SPERANZA, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089910177	BUY 1 Units @50.1100						
08/15/2018	***-**-01UG - DIGIOVANNI,	CAPITAL WORLD GROWTH AND INCOME FUN	150.00	1.50	0.00	1.50	80.0	1.20
	ACCOUNT #: 00087087389	BUY 3 Units @49.5100						
08/16/2018	***-**-68IN - RANDALL, JASON	CAPITAL WORLD GROWTH AND INCOME FUN	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00084905937	BUY 5 Units @49.7000						
08/03/2018	***-**-85IN - MAZZOLA, BARBARA	EMERGING MARKETS INNOVATORS FUND C	7.50	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00100051019	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
08/15/2018	***-**-43IN - BISHOP, EVELYN	EUROPACIFIC GROWTH FUND CLASS C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000849605	BUY 1 Units @50.6100						
08/14/2018	***-**-425P - DELGAIZO, LOUISA	EUROPACIFIC GROWTH FUND-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063412117	BUY 1 Units @53.6500						
08/14/2018	***-**-535P - DELGAIZO,	EUROPACIFIC GROWTH FUND-A	66.75	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 00063451518	BUY 1 Units @53.6500						
08/14/2018	***-**-425P - DELGAIZO, LOUISA	FUNDAMENTAL INVESTORS-A	133.50	1.60	0.00	1.60	80.0	1.28
	ACCOUNT #: 00063412117	BUY 2 Units @65.0500						
08/14/2018	***-**-535P - DELGAIZO,	FUNDAMENTAL INVESTORS-A	133.50	1.60	0.00	1.60	80.0	1.28
	ACCOUNT #: 00063451518	BUY 2 Units @65.0500						
08/13/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	9.00	0.09	0.00	0.09	80.0	0.07
	ACCOUNT #: 00085902226	BUY 0 Units @63.3500						
08/13/2018	***-**-1721 - TABER, LISA	FUNDAMENTAL INVESTORS-C	9.00	0.09	0.00	0.09	80.0	0.07
	ACCOUNT #: 00085902226	BUY 0 Units @63.3500						
08/13/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	2.20	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @63.3500						
08/13/2018	***-**-8667 - BRONGO, ROBERT	FUNDAMENTAL INVESTORS-C	18.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00085904203	BUY 0 Units @63.3500						
08/13/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	8.16	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @63.3500						
08/13/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	30.60	0.31	0.00	0.31	80.0	0.25
	ACCOUNT #: 00089051066	BUY 0 Units @63.3500						
08/13/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	8.16	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @63.3500						
08/13/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @63.3500						
08/14/2018	***-**-482I - MARCONI, TODD	FUNDAMENTAL INVESTORS-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00089570936	BUY 0 Units @63.6700						
08/03/2018	***-**-85IN - MAZZOLA, BARBARA	GLOBAL OPPORTUNITIES FUND C	15.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 02171893331	BUY 0 Units @0.0000						
08/03/2018	***-**-9206 - SANDER, DAVID	GLOBAL OPPORTUNITIES FUND C	80.00	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 02171629936	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
08/03/2018	***-**-7330 - JOHNSON, DONALD	GLOBAL OPPORTUNITIES FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 02171798599	BUY 0 Units @0.0000						
08/13/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	1.95	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087236607	BUY 0 Units @22.7400						
08/13/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00087236607	BUY 2 Units @22.7400						
08/13/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	3.74	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @40.6900						
08/13/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	28.90	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00085902249	BUY 1 Units @40.6900						
08/13/2018	***-**-2081 - SHELHAMER, DIANE	INVESTMENT COMPANY OF AMERICA CLASS	1.07	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 00085904210	BUY 0 Units @40.6900						
08/13/2018	***-**-2081 - SHELHAMER, DIANE	INVESTMENT COMPANY OF AMERICA CLASS	4.00	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085904210	BUY 0 Units @40.6900						
08/13/2018	***-**-312S - DISCH, JASMINE	INVESTMENT COMPANY OF AMERICA CLASS	18.40	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 00089583477	BUY 0 Units @40.6900						
08/14/2018	***-**-564I - CARNEY, BARBARA	INVESTMENT COMPANY OF AMERICA CLASS	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089563161	BUY 0 Units @40.8500						
08/15/2018	***-**-0578 - DIODATO, ZACHARY	INVESTMENT COMPANY OF AMERICA CLASS	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000618224	BUY 1 Units @40.5200						
08/15/2018	***-**-4232 - DIODATO, MELISSA	INVESTMENT COMPANY OF AMERICA CLASS	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000618245	BUY 1 Units @40.5200						
08/03/2018	***-**-9206 - SANDER, DAVID	MAIN STREET FUND C	80.00	0.80	0.00	0.80	80.0	0.64
	ACCOUNT #: 07024170947	BUY 0 Units @0.0000						
08/03/2018	***-**-6603 - JOHNSON, TERRY	MAIN STREET FUND C	66.00	0.66	0.00	0.66	80.0	0.53
	ACCOUNT #: 07024184114	BUY 0 Units @0.0000						
08/03/2018	***-**-7330 - JOHNSON, DONALD	MAIN STREET FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 07024393312	BUY 0 Units @0.0000						
08/14/2018	***-**-425P - DELGAIZO, LOUISA	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063412117	BUY 0 Units @46.6700						
08/14/2018	***-**-535P - DELGAIZO,	NEW PERSPECTIVE FUND-A	22.25	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 00063451518	BUY 0 Units @46.6700						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
08/13/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	10.50	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00085902226	BUY 0 Units @43.7900						
08/13/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	10.50	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00085902226	BUY 0 Units @43.7900						
08/13/2018	***-**-6500 - COOK, MARTIN	NEW PERSPECTIVE FUND-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @43.7900						
08/13/2018	***-**-6500 - COOK, MARTIN	NEW PERSPECTIVE FUND-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @43.7900						
08/13/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	2.56	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @43.7900						
08/13/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	21.00	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00085904203	BUY 0 Units @43.7900						
08/13/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	8.15	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @43.7900						
08/13/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	30.60	0.31	0.00	0.31	80.0	0.25
	ACCOUNT #: 00089051066	BUY 1 Units @43.7900						
08/13/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	8.15	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @43.7900						
08/13/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	20.40	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089051066	BUY 0 Units @43.7900						
08/13/2018	***-**-1721 - TABER, LISA	NEW WORLD FUND-C	10.50	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00085902226	BUY 0 Units @61.8400						
08/13/2018	***-**-1721 - TABER, LISA	NEW WORLD FUND-C	10.50	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00085902226	BUY 0 Units @61.8400						
08/13/2018	***-**-8667 - BRONGO, ROBERT	NEW WORLD FUND-C	21.00	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00085904203	BUY 0 Units @61.8400						
08/13/2018	***-**-8667 - BRONGO, ROBERT	NEW WORLD FUND-C	2.57	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @61.8400						
08/13/2018	***-**-96CL - SCARPULLA,	NEW WORLD FUND-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @61.8400						
08/13/2018	***-**-96CL - SCARPULLA,	NEW WORLD FUND-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @61.8400						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
08/16/2018	***-**-68IN - RANDALL, JASON	NEW WORLD FUND-C	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00084905937	BUY 4 Units @60.7400						
08/03/2018	***-**-3407 - SUTTELL, PAULA	OPP PORT SERIES: MOD INV FD C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 05470454740	BUY 0 Units @0.0000						
08/03/2018	***-**-56SI - ODELL, JULIE	OPP PORT SERIES: MOD INV FD C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 05470419034	BUY 0 Units @0.0000						
08/03/2018	***-**-7072 - SMITH, DEBBIE	OPP PORT SERIES: MOD INV FD C	400.00	4.00	0.00	4.00	80.0	3.20
	ACCOUNT #: 06670037664	BUY 0 Units @0.0000						
08/03/2018	***-**-4143 - STONE, GEORGE	OPP PORT SERIES: MOD INV FD C	180.00	1.80	0.00	1.80	80.0	1.44
	ACCOUNT #: 06670037675	BUY 0 Units @0.0000						
08/03/2018	***-**-3526 - STONE, LINDA	OPP PORT SERIES: MOD INV FD C	180.00	1.80	0.00	1.80	80.0	1.44
	ACCOUNT #: 06670037686	BUY 0 Units @0.0000						
08/03/2018	***-**-6603 - JOHNSON, TERRY	OPPENHEIMER GLOBAL CLASS C	66.00	0.66	0.00	0.66	80.0	0.53
	ACCOUNT #: 03322638581	BUY 0 Units @0.0000						
08/03/2018	***-**-85IN - MAZZOLA, BARBARA	OPPENHEIMER INTERNATIONAL DIVERSIFI	7.50	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 01971104114	BUY 0 Units @0.0000						
08/03/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER RISING DIVIDENDS FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 02271182830	BUY 0 Units @0.0000						
08/03/2018	***-**-772I - KERHAERT,	QUEST BALANCED FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 02592262304	BUY 0 Units @0.0000						
08/03/2018	***-**-7330 - JOHNSON, DONALD	REAL ESTATE FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 05920398266	BUY 0 Units @0.0000						
08/03/2018	***-**-1834 - BUTLER, KIM	SMALL- & MID- CAP VALUE FUND C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 02531162106	BUY 0 Units @0.0000						
08/13/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @51.5400						
08/13/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @51.5400						
08/13/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	8.16	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 00089051066	BUY 0 Units @51.5400						
08/13/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	30.60	0.31	0.00	0.31	80.0	0.25
	ACCOUNT #: 00089051066	BUY 1 Units @51.5400						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
08/13/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @51.5400	8.16	0.08	0.00	0.08	80.0	0.06
08/13/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	SMALLCAP WORLD FUND-C BUY 0 Units @51.5400	20.40	0.20	0.00	0.20	80.0	0.16
08/15/2018	***-**-43IN - BISHOP, EVELYN ACCOUNT #: 04000849605	SMALLCAP WORLD FUND-C BUY 1 Units @51.1600	50.00	0.50	0.00	0.50	80.0	0.40
08/03/2018	***-**-1834 - BUTLER, KIM ACCOUNT #: 01920863291	STRATEGIC INCOME FUND C BUY 0 Units @0.0000	10.00	0.10	0.00	0.10	80.0	0.08
08/03/2018	***-**-9206 - SANDER, DAVID ACCOUNT #: 02322653794	STRATEGIC INCOME FUND C BUY 0 Units @0.0000	80.00	0.80	0.00	0.80	80.0	0.64
08/14/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	THE BOND FUND OF AMERICA-A BUY 3 Units @12.7500	44.50	0.53	0.00	0.53	80.0	0.42
08/14/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	THE BOND FUND OF AMERICA-A BUY 3 Units @12.7500	44.50	0.53	0.00	0.53	80.0	0.42
08/13/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	THE BOND FUND OF AMERICA-C BUY 0 Units @12.5700	3.63	0.04	0.00	0.04	80.0	0.03
08/13/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	THE BOND FUND OF AMERICA-C BUY 2 Units @12.5700	28.05	0.28	0.00	0.28	80.0	0.22
08/13/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	THE BOND FUND OF AMERICA-C BUY 1 Units @12.5700	9.00	0.09	0.00	0.09	80.0	0.07
08/13/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	THE BOND FUND OF AMERICA-C BUY 0 Units @12.5700	2.68	0.03	0.00	0.03	80.0	0.02
08/14/2018	***-**-425P - DELGAIZO, LOUISA ACCOUNT #: 00063412117	THE GROWTH FUND OF AMERICA-A BUY 1 Units @56.1200	66.75	0.80	0.00	0.80	80.0	0.64
08/14/2018	***-**-535P - DELGAIZO, ACCOUNT #: 00063451518	THE GROWTH FUND OF AMERICA-A BUY 1 Units @56.1200	66.75	0.80	0.00	0.80	80.0	0.64
08/13/2018	***-**-435P - BOSCO, SOFIA ACCOUNT #: 00073003754	THE GROWTH FUND OF AMERICA-C BUY 3 Units @50.8100	176.00	1.76	0.00	1.76	80.0	1.41
08/13/2018	***-**-6500 - COOK, MARTIN ACCOUNT #: 00085902231	THE GROWTH FUND OF AMERICA-C BUY 0 Units @50.8100	2.50	0.03	0.00	0.03	80.0	0.02
08/13/2018	***-**-6500 - COOK, MARTIN ACCOUNT #: 00085902231	THE GROWTH FUND OF AMERICA-C BUY 0 Units @50.8100	2.50	0.03	0.00	0.03	80.0	0.02



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
08/13/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	THE GROWTH FUND OF AMERICA-C BUY 1 Units @50.8100	48.20	0.48	0.00	0.48	80.0	0.38
08/13/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	THE GROWTH FUND OF AMERICA-C BUY 0 Units @50.8100	1.80	0.02	0.00	0.02	80.0	0.02
08/13/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089045192	THE GROWTH FUND OF AMERICA-C BUY 1 Units @50.8100	30.00	0.30	0.00	0.30	80.0	0.24
08/13/2018	***-**-1134 - BARTON, WILLIAM ACCOUNT #: 00089319958	THE GROWTH FUND OF AMERICA-C BUY 1 Units @50.8100	65.76	0.66	0.00	0.66	80.0	0.53
08/13/2018	***-**-312S - DISCH, JASMINE ACCOUNT #: 00089583477	THE GROWTH FUND OF AMERICA-C BUY 0 Units @50.8100	18.40	0.18	0.00	0.18	80.0	0.14
08/13/2018	***-**-0006 - FORSYTH, KATHRYN ACCOUNT #: 04000650661	THE GROWTH FUND OF AMERICA-C BUY 2 Units @50.8100	86.54	0.87	0.00	0.87	80.0	0.70
08/14/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	THE GROWTH FUND OF AMERICA-C BUY 0 Units @51.0200	10.00	0.10	0.00	0.10	80.0	0.08
08/15/2018	***-**-3431 - SPENCER, ACCOUNT #: 04000347049	THE GROWTH FUND OF AMERICA-C BUY 2 Units @50.3000	100.00	1.00	0.00	1.00	80.0	0.80
08/15/2018	***-**-845P - MARTHALLER, ACCOUNT #: 00087023930	THE GROWTH FUND OF AMERICA-C BUY 1 Units @50.3000	60.00	0.60	0.00	0.60	80.0	0.48
08/17/2018	***-**-9024 - HACKETT, ETHAN ACCOUNT #: 00088123299	THE NEW ECONOMY FUND-C BUY 1 Units @42.9000	25.00	0.25	0.00	0.25	80.0	0.20
08/15/2018	***-**-8932 - RUSH, MICHELLE ACCOUNT #: 04000574835	THE TAX-EXEMPT BOND FUND OF AMERICA BUY 4 Units @12.8100	50.00	0.50	0.00	0.50	80.0	0.40
08/03/2018	***-**-9206 - SANDER, DAVID ACCOUNT #: 03770488829	VALUE FUND C BUY 0 Units @0.0000	80.00	0.80	0.00	0.80	80.0	0.64
08/13/2018	***-**-435P - BOSCO, SOFIA ACCOUNT #: 00073003754	WASHINGTON MUTUAL INVESTORS FUND-C BUY 4 Units @44.9200	187.00	1.87	0.00	1.87	80.0	1.50
08/13/2018	***-**-305P - MESH, RONALD ACCOUNT #: 00074720484	WASHINGTON MUTUAL INVESTORS FUND-C BUY 9 Units @44.9200	400.00	4.00	0.00	4.00	80.0	3.20
08/14/2018	***-**-482I - MARCONI, TODD ACCOUNT #: 00089570936	WASHINGTON MUTUAL INVESTORS FUND-C BUY 0 Units @45.1400	5.00	0.05	0.00	0.05	80.0	0.04
08/14/2018	***-**-2825 - SPERANZA, JOHN ACCOUNT #: 00089910177	WASHINGTON MUTUAL INVESTORS FUND-C BUY 1 Units @45.1400	40.00	0.40	0.00	0.40	80.0	0.32



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
08/15/2018	***-**-436I - RAGO, LISA	WASHINGTON MUTUAL INVESTORS FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000456708	BUY 1 Units @44.8500						
		* MUTUAL FUNDS SUBTOTAL *	\$7,345.43	\$78.55	\$3.00	\$75.55		\$60.10
PRODUCT CATEGORY: 529 PLAN								
08/15/2018	***-**-195P - HECHT, ANDREW	AMERICAN BALANCED FUND-529A	200.00	10.00	0.00	10.00	80.0	8.00
	ACCOUNT #: 00068453142	BUY 7 Units @29.1600						
08/15/2018	***-**-7255 - LIPPA, HARITINI	AMERICAN BALANCED FUND-529C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00087198121	BUY 2 Units @27.4600						
08/15/2018	***-**-7255 - LIPPA, HARITINI	AMERICAN BALANCED FUND-529C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00087198137	BUY 2 Units @27.4600						
08/15/2018	***-**-385P - ARBORE, TAYLER	CAPITAL WORLD GROWTH AND INCOME-529	50.00	2.13	0.00	2.13	80.0	1.70
	ACCOUNT #: 00081282910	BUY 1 Units @52.5500						
08/15/2018	***-**-385P - ARBORE, TAYLER	CAPITAL WORLD GROWTH AND INCOME-529	50.00	2.13	0.00	2.13	80.0	1.70
	ACCOUNT #: 00081283006	BUY 1 Units @52.5500						
08/15/2018	***-**-415P - SCHILLACI, AMY	THE GROWTH FUND OF AMERICA-529C	75.00	0.75	0.00	0.75	80.0	0.60
	ACCOUNT #: 00081268733	BUY 1 Units @50.4500						
		* 529 PLAN SUBTOTAL *	\$475.00	\$16.01	\$0.00	\$16.01		\$12.80
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
/ /	***-**-14IN - BENEDETTO,	AMERICAN BALANCED FUND-C	0.00	27.72	0.00	27.72	80.0	22.18
	ACCOUNT #: LSR010421	BUY 1 Units @0.0000						
/ /	***-**-19IN - LIPPA, MARISSA	AMERICAN BALANCED FUND-C	0.00	1.49	0.00	1.49	80.0	1.19
	ACCOUNT #: LSR011011	BUY 1 Units @0.0000						
/ /	***-**-61IO - BONACCI, FBO	AMERICAN BALANCED FUND-C	0.00	6.17	0.00	6.17	80.0	4.94
	ACCOUNT #: LSR011143	BUY 1 Units @0.0000						
/ /	***-**-58IR - HINDERLAND, GARY	AMERICAN BALANCED FUND-C	0.00	1.99	0.00	1.99	80.0	1.59
	ACCOUNT #: LSR011249	BUY 1 Units @0.0000						
/ /	***-**-283I - RICCI, GABRIELLE	AMERICAN BALANCED FUND-C	0.00	4.87	0.00	4.87	80.0	3.90
	ACCOUNT #: LSR011473	BUY 1 Units @0.0000						
/ /	***-**-95IO - KELLY, COURTNEY	AMERICAN BALANCED FUND-C	0.00	3.11	0.00	3.11	80.0	2.49
	ACCOUNT #: LSR011685	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-18IO - TAYLOR, GREGORY	AMERICAN BALANCED FUND-C	0.00	23.78	0.00	23.78	80.0	19.02
	ACCOUNT #: LSR011690	BUY 1 Units @0.0000						
/ /	***-**-80IN - MOYER, COLLEEN	AMERICAN BALANCED FUND-C	0.00	13.30	0.00	13.30	80.0	10.64
	ACCOUNT #: LSR011724	BUY 1 Units @0.0000						
/ /	***-**-80IR - MOYER, COLLEEN	AMERICAN BALANCED FUND-C	0.00	15.27	0.00	15.27	80.0	12.22
	ACCOUNT #: LSR011726	BUY 1 Units @0.0000						
/ /	***-**-92IR - CHEN, CYNTHIA	AMERICAN BALANCED FUND-C	0.00	1.58	0.00	1.58	80.0	1.26
	ACCOUNT #: LSR011784	BUY 1 Units @0.0000						
/ /	***-**-80IR - ROBERTS,	AMERICAN BALANCED FUND-C	0.00	0.32	0.00	0.32	80.0	0.26
	ACCOUNT #: LSR011795	BUY 1 Units @0.0000						
/ /	***-**-11IN - RUSSELL, ALAN	AMERICAN FUND TAX - EXEMPT FUND OF	0.00	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: LSR011417	BUY 1 Units @0.0000						
/ /	***-**-61IN - OLIVERI, WILLIAM	AMERICAN HIGH INCOME MUNI BOND CLAS	0.00	106.58	0.00	106.58	80.0	85.26
	ACCOUNT #: LSR011543	BUY 1 Units @0.0000						
/ /	***-**-306I - SINCLAIR,	AMERICAN HIGH-INCOME TRUST-C	0.00	5.19	0.00	5.19	80.0	4.15
	ACCOUNT #: LSR010992	BUY 1 Units @0.0000						
/ /	***-**-05IO - KIMBLE, LONNY	AMERICAN HIGH-INCOME TRUST-C	0.00	53.56	0.00	53.56	80.0	42.85
	ACCOUNT #: LSR011472	BUY 1 Units @0.0000						
/ /	***-**-18IO - TAYLOR, GREGORY	AMERICAN HIGH-INCOME TRUST-C	0.00	21.85	0.00	21.85	80.0	17.48
	ACCOUNT #: LSR011690	BUY 1 Units @0.0000						
/ /	***-**-80IN - MOYER, COLLEEN	AMERICAN HIGH-INCOME TRUST-C	0.00	12.19	0.00	12.19	80.0	9.75
	ACCOUNT #: LSR011724	BUY 1 Units @0.0000						
/ /	***-**-14IN - BENEDETTO,	AMERICAN MUTUAL FUND-C	0.00	29.11	0.00	29.11	80.0	23.29
	ACCOUNT #: LSR010421	BUY 1 Units @0.0000						
/ /	***-**-44IR - ROBERTSON,	AMERICAN MUTUAL FUND-C	0.00	1.85	0.00	1.85	80.0	1.48
	ACCOUNT #: LSR010558	BUY 1 Units @0.0000						
/ /	***-**-61IO - BONACCI, FBO	AMERICAN MUTUAL FUND-C	0.00	7.69	0.00	7.69	80.0	6.15
	ACCOUNT #: LSR011143	BUY 1 Units @0.0000						
/ /	***-**-14IN - BENEDETTO,	CAPITAL INCOME BUILDER CLASS C	0.00	94.59	0.00	94.59	80.0	75.67
	ACCOUNT #: LSR010421	BUY 1 Units @0.0000						
/ /	***-**-306I - SINCLAIR,	CAPITAL INCOME BUILDER CLASS C	0.00	5.30	0.00	5.30	80.0	4.24
	ACCOUNT #: LSR010992	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-18IO - TAYLOR, GREGORY	CAPITAL INCOME BUILDER-A	0.00	6.72	0.00	6.72	80.0	5.38
	ACCOUNT #: LSR011690	BUY 1 Units @0.0000						
/ /	***-**-92IR - CHEN, CYNTHIA	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	1.67	0.00	1.67	80.0	1.34
	ACCOUNT #: LSR011784	BUY 1 Units @0.0000						
/ /	***-**-18IO - TAYLOR, GREGORY	FUNDAMENTAL INVESTORS-C	0.00	25.92	0.00	25.92	80.0	20.74
	ACCOUNT #: LSR011690	BUY 1 Units @0.0000						
/ /	***-**-80IN - MOYER, COLLEEN	FUNDAMENTAL INVESTORS-C	0.00	14.48	0.00	14.48	80.0	11.58
	ACCOUNT #: LSR011724	BUY 1 Units @0.0000						
/ /	***-**-80IR - MOYER, COLLEEN	FUNDAMENTAL INVESTORS-C	0.00	8.24	0.00	8.24	80.0	6.59
	ACCOUNT #: LSR011726	BUY 1 Units @0.0000						
/ /	***-**-14IN - BENEDETTO,	INCOME FUND OF AMERICA CLASS C	0.00	100.95	0.00	100.95	80.0	80.76
	ACCOUNT #: LSR010421	BUY 1 Units @0.0000						
/ /	***-**-306I - SINCLAIR,	INCOME FUND OF AMERICA CLASS C	0.00	5.51	0.00	5.51	80.0	4.41
	ACCOUNT #: LSR010992	BUY 1 Units @0.0000						
/ /	***-**-05IO - KIMBLE, LONNY	INCOME FUND OF AMERICA CLASS C	0.00	53.32	0.00	53.32	80.0	42.66
	ACCOUNT #: LSR011472	BUY 1 Units @0.0000						
/ /	***-**-70UT - GALLETTS CUST,	INVESTMENT COMPANY OF AMERICA CLASS	0.00	7.24	0.00	7.24	80.0	5.79
	ACCOUNT #: LSR011191	BUY 1 Units @0.0000						
/ /	***-**-04IR - CANORI, AMOREENA	NEW PERSPECTIVE FUND-A	0.00	1.76	0.00	1.76	80.0	1.41
	ACCOUNT #: LSR012753	BUY 1 Units @0.0000						
/ /	***-**-92IR - CRISPINO, FBO	NEW PERSPECTIVE FUND-C	0.00	12.81	0.00	12.81	80.0	10.25
	ACCOUNT #: LSR011073	BUY 1 Units @0.0000						
/ /	***-**-61IO - BONACCI, FBO	NEW PERSPECTIVE FUND-C	0.00	5.71	0.00	5.71	80.0	4.57
	ACCOUNT #: LSR011143	BUY 1 Units @0.0000						
/ /	***-**-825P - CRISPINO, DIANA	NEW PERSPECTIVE FUND-C	0.00	6.13	0.00	6.13	80.0	4.90
	ACCOUNT #: LSR011759	BUY 1 Units @0.0000						
08/03/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER CORP BOND FND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00100067156	BUY 0 Units @0.0000						
/ /	***-**-61IO - BONACCI, FBO	THE BOND FUND OF AMERICA-C	0.00	6.04	0.00	6.04	80.0	4.83
	ACCOUNT #: LSR011143	BUY 1 Units @0.0000						
/ /	***-**-497J - WARNEY, KENNETH	THE GROWTH FUND OF AMERICA-C	0.00	0.34	0.00	0.34	80.0	0.27
	ACCOUNT #: LSR011248	BUY 1 Units @0.0000						



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400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-283I - RICCI, GABRIELLE THE GROWTH FUND OF AMERICA-C	BUY 1 Units @0.0000	0.00	7.19	0.00	7.19	80.0	5.75
	ACCOUNT #: LSR011473							
/ /	***-**-04IR - CANORI, AMOREENA THE INCOME FUND OF AMERICA-A	BUY 1 Units @0.0000	0.00	0.68	0.00	0.68	80.0	0.54
	ACCOUNT #: LSR012753							
/ /	***-**-20IN - GIANGRECO, TERRY THE TAX-EXEMPT BOND FUND OF AMERICA	BUY 1 Units @0.0000	0.00	372.65	0.00	372.65	80.0	298.12
	ACCOUNT #: LSR010603							
/ /	***-**-61IN - OLIVERI, WILLIAM THE TAX-EXEMPT BOND FUND OF AMERICA	BUY 1 Units @0.0000	0.00	154.43	0.00	154.43	80.0	123.54
	ACCOUNT #: LSR011543							
/ /	***-**-80IR - MOYER, COLLEEN WASHINGTON MUTUAL INVESTORS FUND-C	BUY 1 Units @0.0000	0.00	8.06	0.00	8.06	80.0	6.45
	ACCOUNT #: LSR011726							
/ /	***-**-92IR - CHEN, CYNTHIA WASHINGTON MUTUAL INVESTORS FUND-C	BUY 1 Units @0.0000	0.00	1.65	0.00	1.65	80.0	1.32
	ACCOUNT #: LSR011784							
08/13/2018	***-**-27IN - TINKLEPAUGH, WELLS FGO AVTG DIVERSFD CAPITAL BLD	BUY 0 Units @0.0000	0.00	1.13	0.00	1.13	80.0	0.90
	ACCOUNT #: 01005412974							
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$25.00	\$1,240.50	\$0.00	\$1,240.50		\$992.40
*** BROKER TRANSACTIONS TOTAL ***			\$7,845.43	\$1,335.06	\$3.00	\$1,332.06		\$1,065.30



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER COMMISSION TOTALS								
Broker Transactions								\$1,065.30
Split Transactions								\$0.00
Override Transactions								\$0.00
Adjustments								\$0.00
Payroll Draw								\$0.00
Base Salary								\$0.00
Prior Period Balance								\$0.00
FINRA Assessment								\$0.00
SIPC Assessment								\$0.00
Net Commission Earned								\$1,065.30
Year-to-date Earnings								\$144,379.09