

COMMISSION STATEMENT June 30, 2018

GEORGE OFFUTT-D067

 BROKER #: D067
 BRANCH: DMT

, TX

COMMISSION STATEMENT for GEORGE OFFUTT-D067

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------------|-----------|---------------------|-----|----------------------------|---------------------|--------------------|-------------------|----------------|------------------------|
| CLEARING TRANSACTIONS | | | | | | | | | |
| PRODUCT CATEGORY: STOCKS | | | | | | | | | |
| 05/31/2018 | 306858156 | CORPORATION, GO | S | GOLAR LNG LIMITED SHS ISIN | B | 2,969.41 | 30.00 | 15.00 | 15.00 90.0 13.50 |
| 06/06/2018 | 306858156 | CORPORATION, GO | S | RING ENERGY INC COM | S | -3,029.96 | 30.00 | 15.00 | 15.00 90.0 13.50 |
| 06/07/2018 | 554518343 | OFFUTT, GEORGE | S | MACYS INC | S | -4,090.51 | 30.00 | 15.00 | 15.00 90.0 13.50 |
| 06/25/2018 | 677515638 | TTEE, GEORGE OFFUTT | S | AMERICAN ELECTRIC POWER CO | S | -3,941.81 | 20.00 | 15.00 | 5.00 90.0 4.50 |
| | | | | * STOCKS SUBTOTAL * | | \$-8,092.87 | \$110.00 | \$60.00 | \$50.00 \$45.00 |

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

| | | | | | | | | |
|------------|-----------|---------------|---------------------------------------|---|------|-------|------|-----------------|
| 06/21/2018 | mfc668438 | OFFUTT 668438 | MFT ALLIANCE BERNSTEIN | B | 0.00 | 1.97 | 0.00 | 1.97 90.0 1.77 |
| 06/21/2018 | mfc668438 | OFFUTT 668438 | MFT ALLIANCE BERNSTEIN | B | 0.00 | 3.28 | 0.00 | 3.28 90.0 2.95 |
| 06/21/2018 | mfc668438 | OFFUTT 668438 | MFT ALLIANCEBERNSTEIN GROWTH A | B | 0.00 | 2.76 | 0.00 | 2.76 90.0 2.48 |
| 06/21/2018 | mfc668438 | OFFUTT 668438 | MFT ALLIANCEBERNSTEIN GROWTH A | B | 0.00 | 3.24 | 0.00 | 3.24 90.0 2.92 |
| 06/21/2018 | mfc668438 | OFFUTT 668438 | MFT ALLIANZ NFJ SMALL CAP VALUE | B | 0.00 | 0.66 | 0.00 | 0.66 90.0 0.59 |
| 06/21/2018 | mfc668438 | OFFUTT 668438 | MFT AMERICAN BALANCED FUND-A | B | 0.00 | 0.09 | 0.00 | 0.09 90.0 0.08 |
| 06/21/2018 | mfc668438 | OFFUTT 668438 | MFT BLACKROCK GLOBAL RESOURCES FUND B | B | 0.00 | 0.75 | 0.00 | 0.75 90.0 0.68 |
| 06/21/2018 | mfc668438 | OFFUTT 668438 | MFT BLACKROCK GLOBAL RESOURCES FUND B | B | 0.00 | 0.86 | 0.00 | 0.86 90.0 0.77 |
| 06/21/2018 | mfc668438 | OFFUTT 668438 | MFT BLK 091928788 | B | 0.00 | 2.03 | 0.00 | 2.03 90.0 1.83 |
| 06/21/2018 | mfc668438 | OFFUTT 668438 | MFT COLUMBIA SMALL CAP VALUE FUND I B | B | 0.00 | 2.70 | 0.00 | 2.70 90.0 2.43 |
| 06/21/2018 | mfc668438 | OFFUTT 668438 | MFT COLUMBIA SELECT INTL EQUITY | B | 0.00 | 2.39 | 0.00 | 2.39 90.0 2.15 |
| 06/21/2018 | mfc668438 | OFFUTT 668438 | MFT DAVIS NEW YORK VENTURE CLASS A B | B | 0.00 | 0.36 | 0.00 | 0.36 90.0 0.32 |
| 06/21/2018 | mfc668438 | OFFUTT 668438 | MFT DAVIS NEW YORK VENTURE CLASS A B | B | 0.00 | 1.39 | 0.00 | 1.39 90.0 1.25 |
| 06/21/2018 | mfc668438 | OFFUTT 668438 | MFT DAVIS NEW YORK VENTURE CLASS A B | B | 0.00 | 2.52 | 0.00 | 2.52 90.0 2.27 |
| 06/21/2018 | mfc668438 | OFFUTT 668438 | MFT DAVIS NEW YORK VENTURE CLASS A B | B | 0.00 | 3.41 | 0.00 | 3.41 90.0 3.07 |
| 06/21/2018 | mfc668438 | OFFUTT 668438 | MFT DAVIS NEW YORK VENTURE CLASS A B | B | 0.00 | 6.53 | 0.00 | 6.53 90.0 5.88 |
| 06/21/2018 | mfc668438 | OFFUTT 668438 | MFT DELAWARE DIVIDEND INCOME FUND A B | B | 0.00 | 2.52 | 0.00 | 2.52 90.0 2.27 |
| 06/21/2018 | mfc668438 | OFFUTT 668438 | MFT DEUTSCHE CROCI | B | 0.00 | 3.16 | 0.00 | 3.16 90.0 2.84 |
| 06/21/2018 | mfc668438 | OFFUTT 668438 | MFT DEUTSCHE CROCI | B | 0.00 | 4.29 | 0.00 | 4.29 90.0 3.86 |
| 06/21/2018 | mfc668438 | OFFUTT 668438 | MFT DEUTSCHE CROCI | B | 0.00 | 4.69 | 0.00 | 4.69 90.0 4.22 |
| 06/21/2018 | mfc668438 | OFFUTT 668438 | MFT DEUTSCHE CORE EQUITY FUND-A | B | 0.00 | 3.79 | 0.00 | 3.79 90.0 3.41 |
| 06/21/2018 | mfc668438 | OFFUTT 668438 | MFT DEUTSCHE REAL ESTATE SECURITIES B | B | 0.00 | 0.87 | 0.00 | 0.87 90.0 0.78 |
| 06/21/2018 | mfc668438 | OFFUTT 668438 | MFT DEUTSCHE SCIENCE AND TECHNOLOGY B | B | 0.00 | 1.69 | 0.00 | 1.69 90.0 1.52 |
| 06/21/2018 | mfc668438 | OFFUTT 668438 | MFT EATON VANCE NATIONAL MUNICIPAL B | B | 0.00 | 11.08 | 0.00 | 11.08 90.0 9.97 |

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| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-------------------------|-------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 06/21/2018 | mfc668438 OFFUTT 668438 | MFT EHV 277902813 | B | 0.00 | 1.16 | 0.00 | 1.16 | 90.0 | 1.04 |
| 06/21/2018 | mfc668438 OFFUTT 668438 | MFT IVY GLOBAL NATURAL RESOURCES | B | 0.00 | 0.84 | 0.00 | 0.84 | 90.0 | 0.76 |
| 06/21/2018 | mfc668438 OFFUTT 668438 | MFT IVY SCIENCE AND TECHNOLOGY FUND | B | 0.00 | 1.25 | 0.00 | 1.25 | 90.0 | 1.13 |
| 06/21/2018 | mfc668438 OFFUTT 668438 | MFT OPPENHEIMERFUNDS 68380E304 | B | 0.00 | 1.19 | 0.00 | 1.19 | 90.0 | 1.07 |
| 06/21/2018 | mfc668438 OFFUTT 668438 | MFT OPPENHEIMER SMALL & MID CAP | B | 0.00 | 4.26 | 0.00 | 4.26 | 90.0 | 3.83 |
| 06/21/2018 | mfc668438 OFFUTT 668438 | MFT TEMP FRGN FND - CL A | B | 0.00 | 0.93 | 0.00 | 0.93 | 90.0 | 0.84 |
| 06/21/2018 | mfc668438 OFFUTT 668438 | MFT TEMP WORLD FND - CL A | B | 0.00 | 1.59 | 0.00 | 1.59 | 90.0 | 1.43 |
| 06/21/2018 | mfc668438 OFFUTT 668438 | MFT VICTORY MUNDER MULTI CAP FUND | B | 0.00 | 1.18 | 0.00 | 1.18 | 90.0 | 1.06 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$79.43 | \$0.00 | \$79.43 | | \$71.47 |
| *** CLEARING TRANSACTIONS TOTAL *** | | | | \$-8,092.87 | \$189.43 | \$60.00 | \$129.43 | | \$116.47 |

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

| | | | | | | | | | |
|----------------------------------|----------------------------|------------------------------------|---|-----------------|---------------|---------------|---------------|------|---------------|
| 06/05/2018 | 00000275988 JACKSON, TANYA | MF COLUMBIA HIGH YIELD BOND FUND-A | B | 15.00 | 0.53 | 0.00 | 0.53 | 90.0 | 0.48 |
| 06/05/2018 | 07320103858 JACKSON, TANYA | MF COLUMBIA SELECT INTL EQUITY | B | 20.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 06/05/2018 | 07790166208 JACKSON, TANYA | MF COLUMBIA GLOBAL EQUITY VALUE-A | B | 40.00 | 1.50 | 0.00 | 1.50 | 90.0 | 1.35 |
| 06/05/2018 | 09690115201 JACKSON, TANYA | MF COLUMBIA SMALL CAP VALUE FUND I | B | 25.00 | 0.94 | 0.00 | 0.94 | 90.0 | 0.85 |
| * MUTUAL FUNDS SUBTOTAL * | | | | \$100.00 | \$3.72 | \$0.00 | \$3.72 | | \$3.36 |

PRODUCT CATEGORY: VARIABLE ANNUITIES

| | | | | | | | | | |
|--|-----------------------|--------------------|---|-----------------|----------------|---------------|----------------|------|----------------|
| 06/15/2018 | (None) OFFUTT, GEORGE | VA PRUDENTIAL LIFE | B | 300.00 | 21.00 | 0.00 | 21.00 | 90.0 | 18.90 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | | \$300.00 | \$21.00 | \$0.00 | \$21.00 | | \$18.90 |

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

| | | | | | | | | | |
|---|----------------------------|-------------------------------------|---|---------------|----------------|---------------|----------------|------|----------------|
| 06/11/2018 | (None) BLACK, JESSICA | MFT TEXAS 529 PLAN | B | 0.00 | 1.46 | 0.00 | 1.46 | 90.0 | 1.31 |
| 06/05/2018 | 00000275988 JACKSON, TANYA | MFT COLUMBIA HIGH YIELD BOND FUND-A | B | 0.00 | 1.95 | 0.00 | 1.95 | 90.0 | 1.76 |
| 06/05/2018 | 07320103858 JACKSON, TANYA | MFT COLUMBIA SELECT INTL EQUITY | B | 0.00 | 2.00 | 0.00 | 2.00 | 90.0 | 1.80 |
| 06/05/2018 | 07790166208 JACKSON, TANYA | MFT COLUMBIA GLOBAL EQUITY VALUE-A | B | 0.00 | 6.02 | 0.00 | 6.02 | 90.0 | 5.42 |
| 06/05/2018 | 09690115201 JACKSON, TANYA | MFT COLUMBIA SMALL CAP VALUE FUND I | B | 0.00 | 5.82 | 0.00 | 5.82 | 90.0 | 5.24 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$17.25 | \$0.00 | \$17.25 | | \$15.53 |

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

| | | | | | | | | | |
|--|---------------------|--------------|---|-----------------|----------------|---------------|----------------|------|----------------|
| 06/18/2018 | (None) HILL, GERALD | VAT AMERITAS | B | 0.00 | 15.00 | 0.00 | 15.00 | 90.0 | 13.50 |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$15.00 | \$0.00 | \$15.00 | | \$13.50 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | | \$400.00 | \$56.97 | \$0.00 | \$56.97 | | \$51.29 |

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|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

ADJUSTMENTS TRANSACTIONS

| | | | | | | | | | |
|--|--|--------------------------------|--|--------|--------|--------|--------|--|-----------|
| BROKER: #0 | | | | | | | | | |
| 06/30/2018 | | : BROKER WORKSTATION | | 0.00 | 0.00 | 0.00 | 0.00 | | -30.00 |
| 06/30/2018 | | : CUSTOMER WRITE OFF 276075800 | | 0.00 | 0.00 | 0.00 | 0.00 | | -100.45 |
| 06/30/2018 | | : CUSTOMER WRITE OFF 400397953 | | 0.00 | 0.00 | 0.00 | 0.00 | | -100.45 |
| 06/30/2018 | | : CUSTOMER WRITE OFF 909425907 | | 0.00 | 0.00 | 0.00 | 0.00 | | -200.90 |
| 06/30/2018 | | : E-MAIL ACCOUNT | | 0.00 | 0.00 | 0.00 | 0.00 | | -25.00 |
| 06/30/2018 | | : MONEY MARKET TRAILS | | 0.00 | 0.00 | 0.00 | 0.00 | | 247.82 |
| * SUBTOTAL * | | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-208.98 |
| *** ADJUSTMENTS TRANSACTIONS TOTAL *** | | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-208.98 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-----------|
| Broker Transactions | \$167.76 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-208.98 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|--------------------------|------------|
| Balance Forward | \$-41.22 |
| Year-to-date Commissions | \$7,411.67 |