

COMMISSION STATEMENT

December 31, 2017

CHARLES WINNICK

970 PARK AVE

NEW YORK, NY 10028

BROKER #: 11

BRANCH: HOME OFFICE

COMMISSION STATEMENT for CHARLES WINNICK

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/14/2017	QGW031072	KRAFF REVOCABLE							
		INTREXON CORP COM	S	-372,600.00	3,000.00	0.00	3,000.00	55.0	1,650.00
12/04/2017	QGW031106	TIMYAN, PHILIP							
		EXCHANGE BANKSHARES INC COM	B	12,218.25	51.00	0.00	51.00	55.0	28.05
12/01/2017	QGW034852	HOLMES, MEGAN							
		OVERSTOCK COM INC DEL COM	B	62,000.00	51.00	0.00	51.00	55.0	28.05
12/01/2017	QGW034852	HOLMES, MEGAN							
		OVERSTOCK COM INC DEL COM	B	55,000.00	51.00	0.00	51.00	55.0	28.05
12/11/2017	QGW034852	HOLMES, MEGAN							
		MGT CAP INVTS INC COM NEW	B	28,861.00	300.00	0.00	300.00	55.0	165.00
12/20/2017	QGW034852	HOLMES, MEGAN							
		CONSOL COAL RES LP COM UNIT	S	-29,600.00	75.00	0.00	75.00	55.0	41.25
12/20/2017	QGW034852	HOLMES, MEGAN							
		MGT CAP INVTS INC COM NEW	S	-39,010.00	150.00	0.00	150.00	55.0	82.50
12/22/2017	QGW034852	HOLMES, MEGAN							
		MGT CAP INVTS INC COM NEW	B	21,200.00	150.00	0.00	150.00	55.0	82.50
* STOCKS SUBTOTAL *				\$-261,930.75	\$3,828.00	\$0.00	\$3,828.00		\$2,105.40
<u>PRODUCT CATEGORY: OPTIONS</u>									
12/14/2017	QGW031072	KRAFF REVOCABLE							
		CALL 100 INTREXON CORP COM EXP 01-1 B		52,500.00	900.00	0.00	900.00	55.0	495.00
12/06/2017	QGW034852	HOLMES, MEGAN							
		CALL 100 OVERSTOCK COM INC DEL COM	S	-2,600.00	75.00	0.00	75.00	55.0	41.25
12/15/2017	QGW034852	HOLMES, MEGAN							
		CALL 100 OVERSTOCK COM INC DEL COM	S	-1,500.00	75.00	0.00	75.00	55.0	41.25
12/26/2017	QGW034852	HOLMES, MEGAN							
		CALL 100 OVERSTOCK COM INC DEL COM	S	-1,935.00	75.00	0.00	75.00	55.0	41.25
* OPTIONS SUBTOTAL *				\$46,465.00	\$1,125.00	\$0.00	\$1,125.00		\$618.75
*** BROKER TRANSACTIONS TOTAL ***				\$-215,465.75	\$4,953.00	\$0.00	\$4,953.00		\$2,724.15

BROKER COMMISSION TOTALS

Broker Transactions	\$2,724.15
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-6.19
SIPC Assessment	\$-12.38

Check Amount	\$2,705.58
Year-to-date Earnings	\$29,529.57