

COMMISSION STATEMENT

August 15, 2018

 BRIAN MAPES-D040
 6936 Angling Road

 BROKER #: D040
 BRANCH: DMN

Portage, MI 49024

COMMISSION STATEMENT for BRIAN MAPES-D040

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-----------------------|----------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | | |
| 07/31/0201 | 7200011302 MARTIN | VA SAMMONS FINANCIAL | B | 10,967.58 | 4.58 | 0.00 | 4.58 | 85.0 | 3.89 |
| 08/02/2018 | 7200012804 THOMAN | VA SAMMONS FINANCIAL | B | 120,043.28 | 50.02 | 0.00 | 50.02 | 85.0 | 42.52 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | | \$131,010.86 | \$54.60 | \$0.00 | \$54.60 | | \$46.41 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | | |
| 07/31/2018 (None) | VARIOUS, MAPES | MFT JOHN HANCOCK FREEDOM | B | 0.00 | 45.87 | 0.00 | 45.87 | 85.0 | 38.99 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$45.87 | \$0.00 | \$45.87 | | \$38.99 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u> | | | | | | | | | |
| 08/03/2018 (None) | VARIOUS, MAPES | VAT MANULIFE | B | 0.00 | 1,863.54 | 0.00 | 1,863.54 | 85.0 | 1,584.01 |
| 08/06/2018 (None) | CLAPP | VAT SAMMONS | B | 0.00 | 13.54 | 0.00 | 13.54 | 85.0 | 11.51 |
| 08/06/2018 (None) | GENTILIA | VAT SAMMONS | B | 0.00 | 6.01 | 0.00 | 6.01 | 85.0 | 5.11 |
| 08/08/2018 (None) | GENTILIA | VAT SAMMONS | B | 0.00 | 5.48 | 0.00 | 5.48 | 85.0 | 4.66 |
| 08/03/2018 | VR03073247 WILSON | VAT PACIFIC LIFE CUSIP 694474701 | B | 0.00 | 328.29 | 0.00 | 328.29 | 85.0 | 279.05 |
| 08/06/2018 | VR03087336 FULLER | VAT PACIFIC LIFE CUSIP 694474701 | B | 0.00 | 20.02 | 0.00 | 20.02 | 85.0 | 17.02 |
| 07/30/2018 | VR04000659 COPELAND | VAT PACIFIC LIFE CUSIP 694474800 | B | 0.00 | 535.18 | 0.00 | 535.18 | 85.0 | 454.90 |
| 08/08/2018 | VR11010406 SWATRZ CRE | VAT PACIFIC LIFE CUSIP 694474701 | B | 0.00 | 185.28 | 0.00 | 185.28 | 85.0 | 157.49 |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$2,957.34 | \$0.00 | \$2,957.34 | | \$2,513.75 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | | \$131,010.86 | \$3,057.81 | \$0.00 | \$3,057.81 | | \$2,599.15 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$2,599.15 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|---------------------------------|--------------------|
| Net Commissions | \$2,599.15 |
| Year-to-date Commissions | \$27,057.43 |