



COMMISSION STATEMENT

February 12, 2018

CHRISTOPHER MILGROOM
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 542
BRANCH #01002 - 02 - SOWERS

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-------------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 01/30/2018 | ***-**-6647 - CABLE, ROBERT | AIM SELECT REAL ESTATE INCOME FD-CL | 5.15 | 0.05 | 0.00 | 0.05 | 75.0 | 0.04 |
| | ACCOUNT #: 07122874988 | BUY 1 Units @9.0700 | | | | | | |
| 01/30/2018 | ***-**-6647 - CABLE, ROBERT | AIM SELECT REAL ESTATE INCOME FD-CL | 0.50 | 0.01 | 0.00 | 0.01 | 75.0 | 0.01 |
| | ACCOUNT #: 07122877590 | BUY 0 Units @9.0700 | | | | | | |
| 01/26/2018 | ***-**-67CL - MILGROOM, | INVESCO GLOBAL REAL ESTATE FUND -CL | 139.93 | 1.05 | 0.00 | 1.05 | 75.0 | 0.79 |
| | ACCOUNT #: 07123000494 | BUY 10 Units @13.8500 | | | | | | |
| 01/29/2018 | ***-**-67CL - MILGROOM, | INVESCO GLOBAL REAL ESTATE FUND -CL | 120.19 | 0.90 | 0.00 | 0.90 | 75.0 | 0.68 |
| | ACCOUNT #: 07123000494 | BUY 9 Units @13.6700 | | | | | | |
| 01/30/2018 | ***-**-67CL - MILGROOM, | MFS NEW DISCOVERY VALUE FUND CL C | 0.50 | 0.01 | 0.00 | 0.01 | 75.0 | 0.01 |
| | ACCOUNT #: 00090000070 | BUY 0 Units @15.2400 | | | | | | |
| * MUTUAL FUNDS SUBTOTAL * | | | \$266.27 | \$2.02 | \$0.00 | \$2.02 | | \$1.53 |
| <u>PRODUCT CATEGORY: 529 PLAN</u> | | | | | | | | |
| 02/01/2018 | ***-**-2461 - CAMPBELL, HOPE | CAPITAL WORLD GROWTH AND INCOME-529 | 100.00 | 2.75 | 0.00 | 2.75 | 75.0 | 2.06 |
| | ACCOUNT #: 00085796907 | BUY 2 Units @56.0200 | | | | | | |
| 02/01/2018 | ***-**-2461 - CAMPBELL, HOPE | EUROPACIFIC GROWTH FUND-529A | 100.00 | 2.75 | 0.00 | 2.75 | 75.0 | 2.06 |
| | ACCOUNT #: 00085796907 | BUY 2 Units @61.1100 | | | | | | |
| 02/01/2018 | ***-**-2461 - CAMPBELL, HOPE | FUNDAMENTAL INVESTORS-529A | 100.00 | 2.75 | 0.00 | 2.75 | 75.0 | 2.06 |
| | ACCOUNT #: 00085796907 | BUY 1 Units @68.0800 | | | | | | |
| 02/01/2018 | ***-**-2461 - CAMPBELL, HOPE | THE GROWTH FUND OF AMERICA-529A | 100.00 | 2.75 | 0.00 | 2.75 | 75.0 | 2.06 |
| | ACCOUNT #: 00085796907 | BUY 2 Units @54.7900 | | | | | | |
| * 529 PLAN SUBTOTAL * | | | \$400.00 | \$11.00 | \$0.00 | \$11.00 | | \$8.24 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 01/31/2018 | ***-**-67CL - MILGROOM, | FRANKLIN 354713539 | 0.00 | 21.04 | 0.00 | 21.04 | 75.0 | 15.78 |
| | ACCOUNT #: 000000000020709 | BUY 0 Units @0.0000 | | | | | | |
| 01/31/2018 | ***-**-1584 - CAMPBELL, COLIN | FRANKLIN RISING DIVIDENDS FD CLASS | 0.00 | 2.03 | 0.00 | 2.03 | 75.0 | 1.52 |
| | ACCOUNT #: 000015813609108 | BUY 0 Units @0.0000 | | | | | | |
| 01/31/2018 | ***-**-1584 - CAMPBELL, COLIN | FRK UTIL FND - CL A | 0.00 | 2.59 | 0.00 | 2.59 | 75.0 | 1.94 |
| | ACCOUNT #: 000070187501637 | BUY 0 Units @0.0000 | | | | | | |
| 01/31/2018 | ***-**-67CL - MILGROOM, | MUTUAL DISC FND - CL C | 0.00 | 114.78 | 0.00 | 114.78 | 75.0 | 86.09 |
| | ACCOUNT #: 000000004246486 | BUY 0 Units @0.0000 | | | | | | |



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|--|--|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| BROKER TRANSACTIONS (continued) | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued) | | | | | | | | |
| 01/31/2018 | ***-**-67CL - MILGROOM, ACCOUNT #: 000000004415562 | MUTUAL DISC FND - CL C BUY 0 Units @0.0000 | 0.00 | 51.67 | 0.00 | 51.67 | 75.0 | 38.75 |
| 01/31/2018 | ***-**-67CL - MILGROOM, ACCOUNT #: 000000004419469 | MUTUAL DISC FND - CL C BUY 0 Units @0.0000 | 0.00 | 6.62 | 0.00 | 6.62 | 75.0 | 4.97 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$198.73 | \$0.00 | \$198.73 | | \$149.05 |
| PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS | | | | | | | | |
| 02/04/2018 | ***-**-1022 - ZYLKA, VERONICA ACCOUNT #: R078851-ZS | ING ADVANTAGE SELECT BUY 0 Units @0.0000 | 0.00 | 4.24 | 0.00 | 4.24 | 75.0 | 3.18 |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$4.24 | \$0.00 | \$4.24 | | \$3.18 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$666.27 | \$215.99 | \$0.00 | \$215.99 | | \$162.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$162.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|----------|
| Net Commission Earned | \$162.00 |
| Year-to-date Earnings | \$162.00 |