



Concorde Investment Services, LLC

06/15/2018

Page No. 1

**COMMISSION STATEMENT****June 15, 2018**

DAN/MARC FT-C148 CHARLEY/LINS

BROKER #: C148

BRANCH: E STREET FINANCIAL L

, NJ

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
^06/01/2018	FIAMINGO, NANCY	GRIFFIN INST'L ACCESS R E FUND C	28,000.00	280.00	0.00	280.00	100.0	0.00
		* INTERVAL FUNDS SUBTOTAL *	\$28,000.00	\$280.00	\$0.00	\$280.00		\$0.00
		*** CLEARING TRANSACTIONS TOTAL ***	\$28,000.00	\$280.00	\$0.00	\$280.00		\$0.00

<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^05/15/2018	ANDERSON, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.90	0.00	0.90	100.0	0.00
^05/15/2018	NEELD, JUDEANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.60	0.00	2.60	100.0	0.00
^05/15/2018	NEELD, JUDEANN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.66	0.00	6.66	100.0	0.00
^05/17/2018	ANDERSON, WILLIAM	AMERICAN CENTURY CORE PLUS FUND CLA	0.00	5.49	0.00	5.49	100.0	0.00
^05/16/2018	ANDERSON, ELAINE	BLK GLOBAL ALLOCATION C	0.00	4.97	0.00	4.97	100.0	0.00
^05/17/2018	ANDERSON, ELAINE	CALAMOS GROWTH & INCOME A	0.00	1.84	0.00	1.84	100.0	0.00
^05/14/2018	ANDERSON, WILLIAM	CARILLON EAGLE GROWTH & INCOME FUND	0.00	12.62	0.00	12.62	100.0	0.00
^05/14/2018	ANDERSON, WILLIAM	COLUMBIA BALANCED FUND CLASS C	0.00	6.82	0.00	6.82	100.0	0.00
^05/14/2018	FIAMINGO, FRANK	DAVIS REAL ESTATE A	0.00	4.13	0.00	4.13	100.0	0.00
^05/21/2018	ANDERSON, WILLIAM	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	1.94	0.00	1.94	100.0	0.00
^05/17/2018	FIAMINGO, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	20.83	0.00	20.83	100.0	0.00
^05/17/2018	FIAMINGO, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	62.48	0.00	62.48	100.0	0.00
^05/17/2018	FIAMINGO, FRANK	GRIFFIN INST'L ACCESS R E FUND C	0.00	27.07	0.00	27.07	100.0	0.00
^05/17/2018	FIAMINGO, FRANK	GRIFFIN INST'L ACCESS R E FUND C	0.00	81.22	0.00	81.22	100.0	0.00
^05/17/2018	GELFGAT, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.25	0.00	10.25	100.0	0.00
^05/17/2018	GELFGAT, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	30.75	0.00	30.75	100.0	0.00
^05/17/2018	CLARKE SR, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.38	0.00	3.38	100.0	0.00
^05/17/2018	CLARKE SR, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.13	0.00	10.13	100.0	0.00
^05/17/2018	BUTKUS, ROBERT	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.17	0.00	10.17	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^05/17/2018	BUTKUS, ROBERT	GRIFFIN INST'L ACCESS R E FUND C	0.00	30.51	0.00	30.51	100.0	0.00
^05/17/2018	CLARKE SR, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.83	0.00	5.83	100.0	0.00
^05/17/2018	CLARKE SR, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	17.49	0.00	17.49	100.0	0.00
^05/18/2018	ANDERSON, ELAINE	JANUS BALANCED FUND CLASS C	0.00	6.48	0.00	6.48	100.0	0.00
^05/11/2018	ANDERSON, ELAINE	JH STRATEGIC INCOME A	0.00	0.85	0.00	0.85	100.0	0.00
^05/17/2018	FIAMINGO, FRANK	OPPENHEIMER CAPITAL INCOME A	0.00	0.01	0.00	0.01	100.0	0.00
^05/23/2018	ANDERSON, WILLIAM	PIMCO REAL RETURN C	0.00	3.32	0.00	3.32	100.0	0.00
^05/11/2018	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	2.04	0.00	2.04	100.0	0.00
^05/11/2018	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	1.20	0.00	1.20	100.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$371.98</b>	<b>\$0.00</b>	<b>\$371.98</b>		<b>\$0.00</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$371.98</b>	<b>\$0.00</b>	<b>\$371.98</b>		<b>\$0.00</b>

**ADJUSTMENTS**

06/15/2018		*PERSHING: BOND REDEMPTION PNW099737 CUSIP# 89837LAA3	0.00					0.00
06/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					0.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Gross</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>