

**COMMISSION STATEMENT****July 06, 2018**

STEVEN COOK  
 3200 N. Central Ave.  
 Suite 200  
 Phoenix, AZ 85012

BROKER #: 73756  
 BRANCH: 04-#70A11 HOME OFFIC

| DATE | CLIENT | INVESTMENT | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | BROKER<br>COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|----------------------|

**Company: Securities America**

**BROKER TRANSACTIONS****PRODUCT CATEGORY: RIA**

|                                   |                     |                               |               |                |               |                |                |
|-----------------------------------|---------------------|-------------------------------|---------------|----------------|---------------|----------------|----------------|
| 07/03/2018                        | COOK (73756), STEVE | SAI ADVISORY INCOME (CUE RIA) | 0.00          | 74.73          | 0.00          | 74.73          | 37.96          |
| 07/03/2018                        | COOK (73756), STEVE | SAI ADVISORY INCOME (CUE RIA) | 0.00          | -7.41          | 0.00          | -7.41          | -3.76          |
| * RIA SUBTOTAL *                  |                     |                               | <u>\$0.00</u> | <u>\$67.32</u> | <u>\$0.00</u> | <u>\$67.32</u> | <u>\$34.20</u> |
| *** BROKER TRANSACTIONS TOTAL *** |                     |                               | <u>\$0.00</u> | <u>\$67.32</u> | <u>\$0.00</u> | <u>\$67.32</u> | <u>\$34.20</u> |

**ADJUSTMENTS**

|                           |  |  |               |               |               |               |                  |
|---------------------------|--|--|---------------|---------------|---------------|---------------|------------------|
| 29. SAI MAY 2018          |  |  |               |               |               |               | -60.00           |
| 30. SAI JUNE 2018         |  |  |               |               |               |               | -60.00           |
| *** ADJUSTMENTS TOTAL *** |  |  | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$-120.00</u> |

**BROKER COMMISSION TOTALS**

|                       |           |
|-----------------------|-----------|
| Broker Transactions   | \$34.20   |
| Split Transactions    | \$0.00    |
| Override Transactions | \$0.00    |
| Adjustments           | \$-120.00 |
| Prior Period Balance  | \$-12.45  |
| NASD Assessment       | \$0.00    |
| SIPC Assessment       | \$0.00    |

Please Retain for Your Records  
 THERE WILL BE NO CHECK THIS PERIOD

|                       |              |
|-----------------------|--------------|
| Balance Forward       | \$-98.25     |
| Year-to-date Earnings | \$106,417.13 |