

All Companies  
**STATEMENT**  
**March 20, 2018**

CYNTHIA HERRON  
2321 Travis Lane  
#608  
Overland Park, KS 66209-

**BROKER #: 227**  
BRANCH: LA BRANCH

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
01/01/0018	#005226561946	FREY, RUTH	JOHN HANCOCK FRE	0.00	32.31	92.0	0.00	29.73	29.73
01/01/0018	#005226562026	FREY, RUTH	JOHN HANCOCK FRE	0.00	27.28	92.0	0.00	25.10	25.10
02/05/2018	#00088576061	DAKEMICHEL	2055 TARGET DATE RETIREMENT FUND	49.17	0.98	92.0	0.00	0.90	0.90
02/05/2018	#00088576061	DAKEMICHEL	2055 TARGET DATE RETIREMENT FUND	31.21	0.62	92.0	0.00	0.57	0.57
02/05/2018	#00086985099	LOWNANCYEL	AMERICAN FUNDS 2010 TARGET DATE-A	388.00	7.76	92.0	0.00	7.14	7.14
02/05/2018	#00086985099	LOWNANCYEL	AMERICAN FUNDS 2010 TARGET DATE-A	93.12	1.86	92.0	0.00	1.71	1.71
02/05/2018	#00087552633	TALBERTLUC	AMERICAN FUNDS 2010 TARGET DATE-A	462.21	9.24	92.0	0.00	8.50	8.50
02/05/2018	#00087552633	TALBERTLUC	AMERICAN FUNDS 2010 TARGET DATE-A	86.66	1.73	92.0	0.00	1.59	1.59
02/05/2018	#00087708562	JONES-WISN	AMERICAN FUNDS 2015 TARGET DATE-A	74.28	1.49	92.0	0.00	1.37	1.37
02/05/2018	#00087708562	JONES-WISN	AMERICAN FUNDS 2015 TARGET DATE-A	74.28	1.49	92.0	0.00	1.37	1.37
02/05/2018	#04000101422	MCGINNISMI	AMERICAN FUNDS 2015 TARGET DATE-A	57.70	1.15	92.0	0.00	1.06	1.06
02/05/2018	#04000101422	MCGINNISMI	AMERICAN FUNDS 2015 TARGET DATE-A	57.70	1.15	92.0	0.00	1.06	1.06
02/05/2018	#04000101439	GIBBONSHEA	AMERICAN FUNDS 2015 TARGET DATE-A	55.68	1.11	92.0	0.00	1.02	1.02
02/05/2018	#04000101439	GIBBONSHEA	AMERICAN FUNDS 2015 TARGET DATE-A	55.68	1.11	92.0	0.00	1.02	1.02
02/05/2018	#00086986934	KUNKELGRET	AMERICAN FUNDS 2035 TARGET DATE-A	298.07	5.96	92.0	0.00	5.48	5.48
02/05/2018	#00086986934	KUNKELGRET	AMERICAN FUNDS 2035 TARGET DATE-A	62.01	1.24	92.0	0.00	1.14	1.14
02/05/2018	#00088576061	DAKEMICHEL	BOND FUND OF AMERICA INC CL A	9.83	0.20	92.0	0.00	0.18	0.18
02/05/2018	#00088576061	DAKEMICHEL	BOND FUND OF AMERICA INC CL A	6.24	0.12	92.0	0.00	0.11	0.11
02/05/2018	#00085515885	GUITY, NIQUICHE	COLLEGE 2024 FUND-529C	50.00	0.50	92.0	0.00	0.46	0.46
02/05/2018	#00085515930	GUITYORBIL	COLLEGE 2027 FUND-529C	50.00	0.50	92.0	0.00	0.46	0.46
02/05/2018	#00088467617	GUITY, NIQUICHE	COLLEGE 2030 FUND-529A	50.00	1.75	92.0	0.00	1.61	1.61
02/05/2018	#00086986934	KUNKELGRET	GROWTH AND INCOME PORTFOLIO-A	298.08	5.96	92.0	0.00	5.48	5.48
02/05/2018	#00086986934	KUNKELGRET	GROWTH AND INCOME PORTFOLIO-A	62.02	1.24	92.0	0.00	1.14	1.14
02/05/2018	#04000101795	BEDDINGFIE	INVESTMENT CO AMER CLASS A	85.97	1.72	92.0	0.00	1.58	1.58
02/05/2018	#04000101795	BEDDINGFIE	INVESTMENT CO AMER CLASS A	85.97	1.72	92.0	0.00	1.58	1.58
02/05/2018	# HALL, ERIN		MFS EQUITY OPPORTUNITIES FD CL A	65.00	3.25	92.0	0.00	2.99	-17.01
02/05/2018	#00088576061	DAKEMICHEL	SMALLCAP WORLD FUND INC CLASS A	24.97	0.50	92.0	0.00	0.46	0.46
02/05/2018	#00088576061	DAKEMICHEL	SMALLCAP WORLD FUND INC CLASS A	39.33	0.79	92.0	0.00	0.73	0.73

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
02/05/2018	#00085978086	RARDIN, JOHN	THE BOND FUND OF AMERICA-529A	50.00	1.50	92.0	0.00	1.38	0.00	1.38
02/09/2018	#04000672747	LISHER, GINA	2055 TARGET DATE RETIREMENT FUND	10.50	0.39	92.0	0.00	0.36	0.00	0.36
02/09/2018	#04000672747	LISHER, GINA	2055 TARGET DATE RETIREMENT FUND	22.00	0.83	92.0	0.00	0.76	0.00	0.76
02/09/2018	#04000748210	RALEIGH, GRAHAM	2055 TARGET DATE RETIREMENT FUND	7.92	0.30	92.0	0.00	0.28	0.00	0.28
02/09/2018	#04000748210	RALEIGH, GRAHAM	2055 TARGET DATE RETIREMENT FUND	12.00	0.45	92.0	0.00	0.41	0.00	0.41
02/09/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FDS PORTFOLIO SER GROWTH F	15.00	0.56	92.0	0.00	0.52	0.00	0.52
02/09/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FDS PORTFOLIO SER GROWTH F	30.00	1.13	92.0	0.00	1.04	0.00	1.04
02/09/2018	#04000652163	PRICE B J	AMERICAN FDS PORTFOLIO SER GROWTH F	10.62	0.40	92.0	0.00	0.37	0.00	0.37
02/09/2018	#04000652163	PRICE B J	AMERICAN FDS PORTFOLIO SER GROWTH F	17.70	0.66	92.0	0.00	0.61	0.00	0.61
02/09/2018	#04000652169	WATKINS,	AMERICAN FDS PORTFOLIO SER GROWTH F	15.00	0.56	92.0	0.00	0.52	0.00	0.52
02/09/2018	#04000652169	WATKINS,	AMERICAN FDS PORTFOLIO SER GROWTH F	15.00	0.56	92.0	0.00	0.52	0.00	0.52
02/09/2018	#04000672747	LISHER, GINA	AMERICAN FDS PORTFOLIO SER GROWTH F	15.75	0.59	92.0	0.00	0.54	0.00	0.54
02/09/2018	#04000672747	LISHER, GINA	AMERICAN FDS PORTFOLIO SER GROWTH F	33.00	1.24	92.0	0.00	1.14	0.00	1.14
02/09/2018	#04000672757	SHANNON,	AMERICAN FDS PORTFOLIO SER GROWTH F	10.15	0.38	92.0	0.00	0.35	0.00	0.35
02/09/2018	#04000672757	SHANNON,	AMERICAN FDS PORTFOLIO SER GROWTH F	10.15	0.38	92.0	0.00	0.35	0.00	0.35
02/09/2018	#04000748210	RALEIGH, GRAHAM	AMERICAN FDS PORTFOLIO SER GROWTH F	11.88	0.45	92.0	0.00	0.41	0.00	0.41
02/09/2018	#04000748210	RALEIGH, GRAHAM	AMERICAN FDS PORTFOLIO SER GROWTH F	18.00	0.68	92.0	0.00	0.63	0.00	0.63
02/09/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FUNDS 2030 TARGET DATE-A	22.50	0.84	92.0	0.00	0.77	0.00	0.77
02/09/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FUNDS 2030 TARGET DATE-A	45.00	1.69	92.0	0.00	1.55	0.00	1.55
02/09/2018	#04000652163	PRICE B J	AMERICAN FUNDS 2030 TARGET DATE-A	15.92	0.60	92.0	0.00	0.55	0.00	0.55
02/09/2018	#04000652163	PRICE B J	AMERICAN FUNDS 2030 TARGET DATE-A	26.55	1.00	92.0	0.00	0.92	0.00	0.92
02/09/2018	#04000652169	WATKINS,	AMERICAN FUNDS 2030 TARGET DATE-A	22.50	0.84	92.0	0.00	0.77	0.00	0.77
02/09/2018	#04000652169	WATKINS,	AMERICAN FUNDS 2030 TARGET DATE-A	22.50	0.84	92.0	0.00	0.77	0.00	0.77
02/09/2018	#04000672757	SHANNON,	AMERICAN FUNDS 2030 TARGET DATE-A	15.23	0.57	92.0	0.00	0.52	0.00	0.52
02/09/2018	#04000672757	SHANNON,	AMERICAN FUNDS 2030 TARGET DATE-A	15.23	0.57	92.0	0.00	0.52	0.00	0.52
02/09/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FUNDS 2045 TARGET DATE-A	11.25	0.42	92.0	0.00	0.39	0.00	0.39
02/09/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FUNDS 2045 TARGET DATE-A	22.50	0.84	92.0	0.00	0.77	0.00	0.77
02/09/2018	#04000652163	PRICE B J	AMERICAN FUNDS 2045 TARGET DATE-A	7.97	0.30	92.0	0.00	0.28	0.00	0.28
02/09/2018	#04000652163	PRICE B J	AMERICAN FUNDS 2045 TARGET DATE-A	13.28	0.50	92.0	0.00	0.46	0.00	0.46
02/09/2018	#04000652169	WATKINS,	AMERICAN FUNDS 2045 TARGET DATE-A	11.25	0.42	92.0	0.00	0.39	0.00	0.39
02/09/2018	#04000652169	WATKINS,	AMERICAN FUNDS 2045 TARGET DATE-A	11.25	0.42	92.0	0.00	0.39	0.00	0.39
02/09/2018	#04000672757	SHANNON,	AMERICAN FUNDS 2045 TARGET DATE-A	7.62	0.29	92.0	0.00	0.27	0.00	0.27
02/09/2018	#04000672757	SHANNON,	AMERICAN FUNDS 2045 TARGET DATE-A	7.62	0.29	92.0	0.00	0.27	0.00	0.27
02/09/2018	#04000672747	LISHER, GINA	AMERICAN FUNDS TARGET DATE RETIREME	10.50	0.39	92.0	0.00	0.36	0.00	0.36
02/09/2018	#04000672747	LISHER, GINA	AMERICAN FUNDS TARGET DATE RETIREME	22.00	0.83	92.0	0.00	0.76	0.00	0.76
02/09/2018	#04000748210	RALEIGH, GRAHAM	AMERICAN FUNDS TARGET DATE RETIREME	7.92	0.30	92.0	0.00	0.28	0.00	0.28

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/09/2018	#04000748210	RALEIGH, GRAHAM	AMERICAN FUNDS TARGET DATE RETIREME	12.00	0.45	92.0	0.00	0.41	0.41
02/09/2018	#04000672747	LISHER, GINA	GLOBAL GROWTH PORTFOLIO-A	15.75	0.59	92.0	0.00	0.54	0.54
02/09/2018	#04000672747	LISHER, GINA	GLOBAL GROWTH PORTFOLIO-A	33.00	1.24	92.0	0.00	1.14	1.14
02/09/2018	#04000748210	RALEIGH, GRAHAM	GLOBAL GROWTH PORTFOLIO-A	11.88	0.45	92.0	0.00	0.41	0.41
02/09/2018	#04000748210	RALEIGH, GRAHAM	GLOBAL GROWTH PORTFOLIO-A	18.00	0.68	92.0	0.00	0.63	0.63
02/09/2018	#04000652154	GRAHAM, TAMMY	GROWTH AND INCOME PORTFOLIO-A	26.25	0.98	92.0	0.00	0.90	0.90
02/09/2018	#04000652154	GRAHAM, TAMMY	GROWTH AND INCOME PORTFOLIO-A	52.50	1.97	92.0	0.00	1.81	1.81
02/09/2018	#04000652163	PRICE B J	GROWTH AND INCOME PORTFOLIO-A	18.59	0.70	92.0	0.00	0.64	0.64
02/09/2018	#04000652163	PRICE B J	GROWTH AND INCOME PORTFOLIO-A	30.97	1.16	92.0	0.00	1.07	1.07
02/09/2018	#04000652169	WATKINS,	GROWTH AND INCOME PORTFOLIO-A	26.25	0.98	92.0	0.00	0.90	0.90
02/09/2018	#04000652169	WATKINS,	GROWTH AND INCOME PORTFOLIO-A	26.25	0.98	92.0	0.00	0.90	0.90
02/09/2018	#04000672757	SHANNON,	GROWTH AND INCOME PORTFOLIO-A	17.77	0.67	92.0	0.00	0.62	0.62
02/09/2018	#04000672757	SHANNON,	GROWTH AND INCOME PORTFOLIO-A	17.77	0.67	92.0	0.00	0.62	0.62
02/12/2018	# RUSSELL, JOHN		MFS EQUITY OPPORTUNITIES FD CL A	100.00	5.00	92.0	0.00	4.60	-15.40
02/20/2018	#00088576061	DAKEMICHEL	2055 TARGET DATE RETIREMENT FUND	34.02	0.68	92.0	0.00	0.63	0.63
02/20/2018	#00088576061	DAKEMICHEL	2055 TARGET DATE RETIREMENT FUND	113.40	2.27	92.0	0.00	2.09	2.09
02/20/2018	#00086985099	LOWNANCYEL	AMERICAN FUNDS 2010 TARGET DATE-A	411.10	8.22	92.0	0.00	7.56	7.56
02/20/2018	#00086985099	LOWNANCYEL	AMERICAN FUNDS 2010 TARGET DATE-A	98.66	1.97	92.0	0.00	1.81	1.81
02/20/2018	#00087552633	TALBERTLUC	AMERICAN FUNDS 2010 TARGET DATE-A	91.85	1.84	92.0	0.00	1.69	1.69
02/20/2018	#00087552633	TALBERTLUC	AMERICAN FUNDS 2010 TARGET DATE-A	489.86	9.80	92.0	0.00	9.02	9.02
02/20/2018	#00087708562	JONES-WISN	AMERICAN FUNDS 2015 TARGET DATE-A	80.53	1.61	92.0	0.00	1.48	1.48
02/20/2018	#00087708562	JONES-WISN	AMERICAN FUNDS 2015 TARGET DATE-A	80.53	1.61	92.0	0.00	1.48	1.48
02/20/2018	#04000101422	MCGINNISMI	AMERICAN FUNDS 2015 TARGET DATE-A	62.88	1.26	92.0	0.00	1.16	1.16
02/20/2018	#04000101422	MCGINNISMI	AMERICAN FUNDS 2015 TARGET DATE-A	62.88	1.26	92.0	0.00	1.16	1.16
02/20/2018	#04000101439	GIBBONSHEA	AMERICAN FUNDS 2015 TARGET DATE-A	60.69	1.21	92.0	0.00	1.11	1.11
02/20/2018	#04000101439	GIBBONSHEA	AMERICAN FUNDS 2015 TARGET DATE-A	60.69	1.21	92.0	0.00	1.11	1.11
02/20/2018	#04000790583		AMERICAN FUNDS 2015 TARGET DATE-A	43.27	0.87	92.0	0.00	0.80	0.80
02/20/2018	#04000790583		AMERICAN FUNDS 2015 TARGET DATE-A	43.27	0.87	92.0	0.00	0.80	0.80
02/20/2018	#00086986934	KUNKELGRET	AMERICAN FUNDS 2035 TARGET DATE-A	65.76	1.32	92.0	0.00	1.21	1.21
02/20/2018	#00086986934	KUNKELGRET	AMERICAN FUNDS 2035 TARGET DATE-A	298.07	5.96	92.0	0.00	5.48	5.48
02/20/2018	#00088576061	DAKEMICHEL	BOND FUND OF AMERICA INC CL A	6.80	0.14	92.0	0.00	0.13	0.13
02/20/2018	#00088576061	DAKEMICHEL	BOND FUND OF AMERICA INC CL A	22.68	0.45	92.0	0.00	0.41	0.41
02/20/2018	#00086986934	KUNKELGRET	GROWTH AND INCOME PORTFOLIO-A	65.76	1.32	92.0	0.00	1.21	1.21
02/20/2018	#00086986934	KUNKELGRET	GROWTH AND INCOME PORTFOLIO-A	298.08	5.96	92.0	0.00	5.48	5.48
02/20/2018	#04000101795	BEDDINGFIE	INVESTMENT CO AMER CLASS A	93.67	1.87	92.0	0.00	1.72	1.72
02/20/2018	#04000101795	BEDDINGFIE	INVESTMENT CO AMER CLASS A	93.67	1.87	92.0	0.00	1.72	1.72

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
02/20/2018	#00088576061	DAKEMICHEL	SMALLCAP WORLD FUND INC CLASS A	27.22	0.54	92.0	0.00	0.50	0.50
02/20/2018	#00088576061	DAKEMICHEL	SMALLCAP WORLD FUND INC CLASS A	90.72	1.81	92.0	0.00	1.67	1.67
02/23/2018	#04000672747	LISHER, GINA	2055 TARGET DATE RETIREMENT FUND	22.00	0.83	92.0	0.00	0.76	0.76
02/23/2018	#04000672747	LISHER, GINA	2055 TARGET DATE RETIREMENT FUND	10.06	0.38	92.0	0.00	0.35	0.35
02/23/2018	#04000748210	RALEIGH, GRAHAM	2055 TARGET DATE RETIREMENT FUND	12.00	0.45	92.0	0.00	0.41	0.41
02/23/2018	#04000748210	RALEIGH, GRAHAM	2055 TARGET DATE RETIREMENT FUND	7.92	0.30	92.0	0.00	0.28	0.28
02/23/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FDS PORTFOLIO SER GROWTH F	13.44	0.50	92.0	0.00	0.46	0.46
02/23/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FDS PORTFOLIO SER GROWTH F	40.00	1.50	92.0	0.00	1.38	1.38
02/23/2018	#04000652163	PRICE B J	AMERICAN FDS PORTFOLIO SER GROWTH F	16.95	0.64	92.0	0.00	0.59	0.59
02/23/2018	#04000652163	PRICE B J	AMERICAN FDS PORTFOLIO SER GROWTH F	10.17	0.38	92.0	0.00	0.35	0.35
02/23/2018	#04000652169	WATKINS,	AMERICAN FDS PORTFOLIO SER GROWTH F	15.00	0.56	92.0	0.00	0.52	0.52
02/23/2018	#04000652169	WATKINS,	AMERICAN FDS PORTFOLIO SER GROWTH F	15.00	0.56	92.0	0.00	0.52	0.52
02/23/2018	#04000672747	LISHER, GINA	AMERICAN FDS PORTFOLIO SER GROWTH F	33.00	1.24	92.0	0.00	1.14	1.14
02/23/2018	#04000672747	LISHER, GINA	AMERICAN FDS PORTFOLIO SER GROWTH F	15.09	0.57	92.0	0.00	0.52	0.52
02/23/2018	#04000672757	SHANNON,	AMERICAN FDS PORTFOLIO SER GROWTH F	10.81	0.41	92.0	0.00	0.38	0.38
02/23/2018	#04000672757	SHANNON,	AMERICAN FDS PORTFOLIO SER GROWTH F	10.81	0.41	92.0	0.00	0.38	0.38
02/23/2018	#04000748210	RALEIGH, GRAHAM	AMERICAN FDS PORTFOLIO SER GROWTH F	18.00	0.68	92.0	0.00	0.63	0.63
02/23/2018	#04000748210	RALEIGH, GRAHAM	AMERICAN FDS PORTFOLIO SER GROWTH F	11.88	0.45	92.0	0.00	0.41	0.41
02/23/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FUNDS 2030 TARGET DATE-A	20.16	0.76	92.0	0.00	0.70	0.70
02/23/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FUNDS 2030 TARGET DATE-A	60.00	2.25	92.0	0.00	2.07	2.07
02/23/2018	#04000652163	PRICE B J	AMERICAN FUNDS 2030 TARGET DATE-A	25.43	0.95	92.0	0.00	0.87	0.87
02/23/2018	#04000652163	PRICE B J	AMERICAN FUNDS 2030 TARGET DATE-A	15.26	0.57	92.0	0.00	0.52	0.52
02/23/2018	#04000652169	WATKINS,	AMERICAN FUNDS 2030 TARGET DATE-A	22.50	0.84	92.0	0.00	0.77	0.77
02/23/2018	#04000652169	WATKINS,	AMERICAN FUNDS 2030 TARGET DATE-A	22.50	0.84	92.0	0.00	0.77	0.77
02/23/2018	#04000672757	SHANNON,	AMERICAN FUNDS 2030 TARGET DATE-A	16.22	0.61	92.0	0.00	0.56	0.56
02/23/2018	#04000672757	SHANNON,	AMERICAN FUNDS 2030 TARGET DATE-A	16.21	0.61	92.0	0.00	0.56	0.56
02/23/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FUNDS 2045 TARGET DATE-A	10.08	0.38	92.0	0.00	0.35	0.35
02/23/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FUNDS 2045 TARGET DATE-A	30.00	1.13	92.0	0.00	1.04	1.04
02/23/2018	#04000652163	PRICE B J	AMERICAN FUNDS 2045 TARGET DATE-A	12.71	0.48	92.0	0.00	0.44	0.44
02/23/2018	#04000652163	PRICE B J	AMERICAN FUNDS 2045 TARGET DATE-A	7.63	0.29	92.0	0.00	0.27	0.27
02/23/2018	#04000652169	WATKINS,	AMERICAN FUNDS 2045 TARGET DATE-A	11.25	0.42	92.0	0.00	0.39	0.39
02/23/2018	#04000652169	WATKINS,	AMERICAN FUNDS 2045 TARGET DATE-A	11.25	0.42	92.0	0.00	0.39	0.39
02/23/2018	#04000672757	SHANNON,	AMERICAN FUNDS 2045 TARGET DATE-A	8.11	0.30	92.0	0.00	0.28	0.28
02/23/2018	#04000672757	SHANNON,	AMERICAN FUNDS 2045 TARGET DATE-A	8.10	0.30	92.0	0.00	0.28	0.28
02/23/2018	#04000672747	LISHER, GINA	AMERICAN FUNDS TARGET DATE RETIREME	22.00	0.83	92.0	0.00	0.76	0.76
02/23/2018	#04000672747	LISHER, GINA	AMERICAN FUNDS TARGET DATE RETIREME	10.06	0.38	92.0	0.00	0.35	0.35

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
02/23/2018	#04000748210	RALEIGH, GRAHAM	AMERICAN FUNDS TARGET DATE RETIREME	12.00	0.45	92.0	0.00	0.41	0.41
02/23/2018	#04000748210	RALEIGH, GRAHAM	AMERICAN FUNDS TARGET DATE RETIREME	7.92	0.30	92.0	0.00	0.28	0.28
02/23/2018	#04000672747	LISHER, GINA	GLOBAL GROWTH PORTFOLIO-A	33.00	1.24	92.0	0.00	1.14	1.14
02/23/2018	#04000672747	LISHER, GINA	GLOBAL GROWTH PORTFOLIO-A	15.09	0.57	92.0	0.00	0.52	0.52
02/23/2018	#04000748210	RALEIGH, GRAHAM	GLOBAL GROWTH PORTFOLIO-A	18.00	0.68	92.0	0.00	0.63	0.63
02/23/2018	#04000748210	RALEIGH, GRAHAM	GLOBAL GROWTH PORTFOLIO-A	11.88	0.45	92.0	0.00	0.41	0.41
02/23/2018	#04000652154	GRAHAM, TAMMY	GROWTH AND INCOME PORTFOLIO-A	23.52	0.88	92.0	0.00	0.81	0.81
02/23/2018	#04000652154	GRAHAM, TAMMY	GROWTH AND INCOME PORTFOLIO-A	70.00	2.63	92.0	0.00	2.42	2.42
02/23/2018	#04000652163	PRICE B J	GROWTH AND INCOME PORTFOLIO-A	29.67	1.11	92.0	0.00	1.02	1.02
02/23/2018	#04000652163	PRICE B J	GROWTH AND INCOME PORTFOLIO-A	17.80	0.67	92.0	0.00	0.62	0.62
02/23/2018	#04000652169	WATKINS,	GROWTH AND INCOME PORTFOLIO-A	26.25	0.98	92.0	0.00	0.90	0.90
02/23/2018	#04000652169	WATKINS,	GROWTH AND INCOME PORTFOLIO-A	26.25	0.98	92.0	0.00	0.90	0.90
02/23/2018	#04000672757	SHANNON,	GROWTH AND INCOME PORTFOLIO-A	18.92	0.71	92.0	0.00	0.65	0.65
02/23/2018	#04000672757	SHANNON,	GROWTH AND INCOME PORTFOLIO-A	18.92	0.71	92.0	0.00	0.65	0.65
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$7,420.30</b>	<b>\$244.76</b>		<b>\$0.00</b>	<b>\$225.15</b>	<b>\$40.00</b>	<b>\$185.15</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
01/01/0018	#575320000	LANE HEALTH,	JOHN HANCOCK LIFE TRAILS	0.00	100.95	92.0	0.00	92.87	92.87
01/01/0018	#1315500000	SOLUTIONS,	JOHN HANCOCK LIFE TRAILS	0.00	33.59	92.0	0.00	30.90	30.90
01/01/0018	#1226040000	PRESTRESSED	JOHN HANCOCK LIFE TRAILS	0.00	123.49	92.0	0.00	113.61	113.61
01/01/0018	#E1867419	JONES, ERIC	OHIO NATIONAL	0.00	16.86	92.0	0.00	15.51	15.51
02/02/0018	#E1707258	KIRSE, JENNIFER	OHIO NATIONAL	0.00	30.36	92.0	0.00	27.93	27.93
02/02/0018	#E1850235	GALVAN, DAMIAN	OHIO NATIONAL	0.00	311.14	92.0	0.00	286.25	286.25
02/02/0018	#E1873067	KIRSE, JOHN	OHIO NATIONAL	0.00	65.04	92.0	0.00	59.84	59.84
02/04/0018	#E1849845	NYANG, LAMIN	OHIO NATIONAL	0.00	24.52	92.0	0.00	22.56	22.56
02/04/0018	#E1849995	CARY, GAYLA	OHIO NATIONAL	0.00	27.15	92.0	0.00	24.98	24.98
02/04/0018	#E1852641	HILTE, MONTY	OHIO NATIONAL	0.00	29.99	92.0	0.00	27.59	27.59
02/04/0018	#E1852800	PAULSEN, LINDA	OHIO NATIONAL	0.00	19.64	92.0	0.00	18.07	18.07
02/04/0018	#E1864288	CANTRELL, JAMES	OHIO NATIONAL	0.00	92.56	92.0	0.00	85.16	85.16
02/03/2018	#C1845720X	MATTHEWS, PAT	VOYA FINANCIAL	0.00	47.08	92.0	0.00	43.31	43.31
02/07/2018	#E1849619	ARVIN, PEGGY	OHIO NATIONAL	0.00	29.71	92.0	0.00	27.33	27.33
02/07/2018	#E1849844	OLSEN, SALLY	OHIO NATIONAL	0.00	87.19	92.0	0.00	80.21	80.21
02/07/2018	#E1854749	RUSSELL, VICTORIA	OHIO NATIONAL	0.00	35.03	92.0	0.00	32.23	32.23
02/07/2018	#E1861772	RARDIN, CRISTY	OHIO NATIONAL	0.00	9.83	92.0	0.00	9.04	9.04
02/07/2018	#E1867808	RANSOM, REBA	OHIO NATIONAL	0.00	3.08	92.0	0.00	2.83	2.83
02/20/2018	#1013722249	OLIVER, HAROLD	PERSP II 09/12	150.00	11.25	92.0	0.00	10.35	10.35

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
02/28/2018	#1018781901 COLFER, THOMAS	PERSP II 04/14	33,296.41	13.87	92.0	0.00	12.76	0.00	12.76
02/28/2018	#1016423285 DUDLEY, PAMELA	PERSP II 04/14	299,443.71	124.77	92.0	0.00	114.79	0.00	114.79
02/28/2018	#1018282220 GALVAS, MARIE	PERSP II 04/14	103,818.17	43.26	92.0	0.00	39.80	0.00	39.80
02/28/2018	#1018331701 STRACHAN, GRACE	PERSP II 04/14	32,654.56	13.61	92.0	0.00	12.52	0.00	12.52
02/28/2018	#1017097110 MAHER, WILLIAM	PERSP II 04/14	31,038.71	12.93	92.0	0.00	11.90	0.00	11.90
02/28/2018	#1018865714 MOON, MELISSA	PERSP II 04/14	67,964.46	28.32	92.0	0.00	26.05	0.00	26.05
02/28/2018	#1016423315 MOOT, PEGGY	PERSP II 04/14	81,910.31	34.13	92.0	0.00	31.40	0.00	31.40
02/28/2018	#1017975869 RARDIN, JOHN	PERSP II 04/14	53,966.91	22.49	92.0	0.00	20.69	0.00	20.69
02/28/2018	#1017913227 STRACHAN, GRACE	PERSP II 04/14	132,690.43	55.29	92.0	0.00	50.87	0.00	50.87
02/28/2018	#1016035579 TAYLOR, R	PERSP II 04/14	47,047.41	19.60	92.0	0.00	18.03	0.00	18.03
02/28/2018	#1015862358 WHEATON, RICK	PERSP II 04/14	95,233.93	39.68	92.0	0.00	36.51	0.00	36.51
02/28/2018	#1018781774 WILKERSON, TERRY	PERSP II 04/14	40,114.44	16.71	92.0	0.00	15.37	0.00	15.37
02/28/2018	#1018743570 WILLIAMS,	PERSP II 04/14	6,871.08	2.86	92.0	0.00	2.63	0.00	2.63
02/28/2018	#1015043497 ALBIN, CHARLES	PERSP II 09/12	16,885.84	7.04	92.0	0.00	6.48	0.00	6.48
02/28/2018	#1014026084 KELLY, MARY	PERSP II 09/12	23,576.17	9.82	92.0	0.00	9.03	0.00	9.03
02/28/2018	#1014027650 KELLY, STEVEN	PERSP II 09/12	70,068.15	29.20	92.0	0.00	26.86	0.00	26.86
02/28/2018	#1013061951 WATSON, ROSEZENA	PERSP II 09/12	9,373.04	3.91	92.0	0.00	3.60	0.00	3.60
02/28/2018	#1014134537 WITHEROW,	PERSP II 09/12	39,337.96	16.39	92.0	0.00	15.08	0.00	15.08
02/28/2018	#1013027893 LEWANDOWSKI, KIM	PERSP L 08/11	59,636.64	49.70	92.0	0.00	45.72	0.00	45.72
02/28/2018	#1014818002 PENDER, PENNY	PERSP L 08/11	41,114.91	34.26	92.0	0.00	31.52	0.00	31.52
02/28/2018	#1014025991 STOUT, KYLE	PERSP L 08/11	67,393.45	56.16	92.0	0.00	51.67	0.00	51.67
03/01/2018	#E1868410 CANTRELL, MARGIE	OHIO NATIONAL	0.00	32.36	92.0	0.00	29.77	0.00	29.77
03/01/2018	#E1869430 WATSON, WILLIE	OHIO NATIONAL	0.00	29.32	92.0	0.00	26.97	0.00	26.97
03/01/2018	#E1873046 KIRSE, JENNIFER	OHIO NATIONAL	0.00	43.86	92.0	0.00	40.35	0.00	40.35
03/01/2018	#E1889379 MARCO, JUSTIN	OHIO NATIONAL	0.00	10.53	92.0	0.00	9.69	0.00	9.69
03/01/2018	#E1900790 BERG, ERIK	OHIO NATIONAL	0.00	4.35	92.0	0.00	4.00	0.00	4.00
03/01/2018	#E1906419 PAGSIAT, ARVIN	OHIO NATIONAL	0.00	8.67	92.0	0.00	7.98	0.00	7.98
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$1,353,586.69</b>	<b>\$1,861.55</b>		<b>\$0.00</b>	<b>\$1,712.61</b>	<b>\$0.00</b>	<b>\$1,712.61</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
02/05/2018	# RUSSELL, MEREDITH	DAIN TRAILER	135.00	6.75	92.0	0.00	6.21	0.00	6.21
02/05/2018	# RUSSELL, MEREDITH	DAIN TRAILER	145.00	5.80	92.0	0.00	5.34	0.00	5.34
02/05/2018	# HALL, ERIN	DAIN TRAILER	65.00	2.60	92.0	0.00	2.39	0.00	2.39
02/05/2018	# HALL, ERIN	DAIN TRAILER	65.00	3.25	92.0	0.00	2.99	0.00	2.99
02/09/2018	# KELLY, STEVEN	DAIN TRAILER	0.00	3.60	92.0	0.00	3.31	0.00	3.31
02/09/2018	# KELLY, STEVEN	DAIN TRAILER	0.00	1.09	92.0	0.00	1.00	0.00	1.00

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/09/2018	# KELLY, STEVEN	DAIN TRAILER	0.00	1.61	92.0	0.00	1.48	0.00	1.48
02/09/2018	# HALL, ERIN	DAIN TRAILER	0.00	0.69	92.0	0.00	0.63	0.00	0.63
02/09/2018	# HALL, ERIN	DAIN TRAILER	0.00	0.73	92.0	0.00	0.67	0.00	0.67
02/09/2018	# HALL, ERIN	DAIN TRAILER	0.00	0.67	92.0	0.00	0.62	0.00	0.62
02/09/2018	# WILKERSON, TERRY	DAIN TRAILER	0.00	0.42	92.0	0.00	0.39	0.00	0.39
02/09/2018	# WILKERSON, TERRY	DAIN TRAILER	0.00	0.20	92.0	0.00	0.18	0.00	0.18
02/09/2018	# WILKERSON, TERRY	DAIN TRAILER	0.00	0.20	92.0	0.00	0.18	0.00	0.18
02/09/2018	# SMITH, MATT	DAIN TRAILER	0.00	1.06	92.0	0.00	0.98	0.00	0.98
02/09/2018	# SMITH, MATT	DAIN TRAILER	0.00	1.06	92.0	0.00	0.98	0.00	0.98
02/09/2018	# SMITH, MATT	DAIN TRAILER	0.00	0.87	92.0	0.00	0.80	0.00	0.80
02/09/2018	# NELSON, MIKE	DAIN TRAILER	0.00	0.72	92.0	0.00	0.66	0.00	0.66
02/09/2018	# NELSON, MIKE	DAIN TRAILER	0.00	2.86	92.0	0.00	2.63	0.00	2.63
02/09/2018	# NELSON, MIKE	DAIN TRAILER	0.00	0.28	92.0	0.00	0.26	0.00	0.26
02/09/2018	# HILTE, MONTY	DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06
02/09/2018	# HILTE, MONTY	DAIN TRAILER	0.00	0.05	92.0	0.00	0.05	0.00	0.05
02/09/2018	# HILTE, MONTY	DAIN TRAILER	0.00	0.90	92.0	0.00	0.83	0.00	0.83
02/09/2018	# PEKAREK, MARJAN	DAIN TRAILER	0.00	1.03	92.0	0.00	0.95	0.00	0.95
02/09/2018	# PEKAREK, MARJAN	DAIN TRAILER	0.00	1.32	92.0	0.00	1.21	0.00	1.21
02/09/2018	# PEKAREK, MARJAN	DAIN TRAILER	0.00	0.68	92.0	0.00	0.63	0.00	0.63
02/09/2018	# PEKAREK, MARJAN	DAIN TRAILER	0.00	1.30	92.0	0.00	1.20	0.00	1.20
02/09/2018	# SHANDY, RONNITA	DAIN TRAILER	0.00	0.33	92.0	0.00	0.30	0.00	0.30
02/09/2018	# SHANDY, RONNITA	DAIN TRAILER	0.00	0.21	92.0	0.00	0.19	0.00	0.19
02/09/2018	# SHANDY, RONNITA	DAIN TRAILER	0.00	0.57	92.0	0.00	0.52	0.00	0.52
02/09/2018	# RARDIN, JOHN	DAIN TRAILER	0.00	1.57	92.0	0.00	1.44	0.00	1.44
02/09/2018	# RARDIN, JOHN	DAIN TRAILER	0.00	0.21	92.0	0.00	0.19	0.00	0.19
02/09/2018	# RARDIN, JOHN	DAIN TRAILER	0.00	0.99	92.0	0.00	0.91	0.00	0.91
02/09/2018	# RARDIN, JOHN	DAIN TRAILER	0.00	1.87	92.0	0.00	1.72	0.00	1.72
02/09/2018	# MCNARY, JALEESA	DAIN TRAILER	0.00	0.10	92.0	0.00	0.09	0.00	0.09
02/09/2018	# MCNARY, JALEESA	DAIN TRAILER	0.00	0.13	92.0	0.00	0.12	0.00	0.12
02/09/2018	# STOUT, KYLE	DAIN TRAILER	0.00	5.03	92.0	0.00	4.63	0.00	4.63
02/09/2018	# STOUT, KYLE	DAIN TRAILER	0.00	7.51	92.0	0.00	6.91	0.00	6.91
02/09/2018	# STOUT, KYLE	DAIN TRAILER	0.00	3.90	92.0	0.00	3.59	0.00	3.59
02/09/2018	# GUILTY, NIQUICHE	DAIN TRAILER	0.00	3.11	92.0	0.00	2.86	0.00	2.86
02/09/2018	# GUILTY, NIQUICHE	DAIN TRAILER	0.00	0.26	92.0	0.00	0.24	0.00	0.24
02/09/2018	# GUILTY, NIQUICHE	DAIN TRAILER	0.00	0.28	92.0	0.00	0.26	0.00	0.26
02/09/2018	# PENDER, PENNY	DAIN TRAILER	0.00	0.01	92.0	0.00	0.01	0.00	0.01

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/09/2018	# LISHER, GINA	DAIN TRAILER	0.00	0.34	92.0	0.00	0.31	0.00	0.31
02/09/2018	# LISHER, GINA	DAIN TRAILER	0.00	1.48	92.0	0.00	1.36	0.00	1.36
02/09/2018	# LISHER, GINA	DAIN TRAILER	0.00	1.39	92.0	0.00	1.28	0.00	1.28
02/09/2018	# LISHER, GINA	DAIN TRAILER	0.00	1.37	92.0	0.00	1.26	0.00	1.26
02/09/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	0.15	92.0	0.00	0.14	0.00	0.14
02/09/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	2.76	92.0	0.00	2.54	0.00	2.54
02/09/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	0.11	92.0	0.00	0.10	0.00	0.10
02/09/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	3.10	92.0	0.00	2.85	0.00	2.85
02/09/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	1.31	92.0	0.00	1.21	0.00	1.21
02/09/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	0.28	92.0	0.00	0.26	0.00	0.26
02/09/2018	# AREY, GARRISON	DAIN TRAILER	0.00	0.21	92.0	0.00	0.19	0.00	0.19
02/09/2018	# AREY, GARRISON	DAIN TRAILER	0.00	0.28	92.0	0.00	0.26	0.00	0.26
02/09/2018	# AREY, GARRISON	DAIN TRAILER	0.00	0.46	92.0	0.00	0.42	0.00	0.42
02/09/2018	# AREY, GARRISON	DAIN TRAILER	0.00	0.08	92.0	0.00	0.07	0.00	0.07
02/09/2018	# AREY, GARRISON	DAIN TRAILER	0.00	0.04	92.0	0.00	0.04	0.00	0.04
02/09/2018	# AREY, GARRISON	DAIN TRAILER	0.00	0.07	92.0	0.00	0.06	0.00	0.06
02/09/2018	# AREY, GARRISON	DAIN TRAILER	0.00	0.07	92.0	0.00	0.06	0.00	0.06
02/09/2018	# LANE, JAMES	DAIN TRAILER	0.00	1.83	92.0	0.00	1.68	0.00	1.68
02/09/2018	# LANE, JAMES	DAIN TRAILER	0.00	0.68	92.0	0.00	0.63	0.00	0.63
02/09/2018	# LANE, JAMES	DAIN TRAILER	0.00	3.01	92.0	0.00	2.77	0.00	2.77
02/09/2018	# LANE, JAMES	DAIN TRAILER	0.00	1.77	92.0	0.00	1.63	0.00	1.63
02/09/2018	# LANE, JAMES	DAIN TRAILER	0.00	0.26	92.0	0.00	0.24	0.00	0.24
02/09/2018	# LANE, JAMES	DAIN TRAILER	0.00	1.27	92.0	0.00	1.17	0.00	1.17
02/09/2018	# LANE, JAMES	DAIN TRAILER	0.00	0.25	92.0	0.00	0.23	0.00	0.23
02/09/2018	# LANE, JAMES	DAIN TRAILER	0.00	3.94	92.0	0.00	3.62	0.00	3.62
02/09/2018	# RANSOM, REBA	DAIN TRAILER	0.00	0.33	92.0	0.00	0.30	0.00	0.30
02/09/2018	# RANSOM, REBA	DAIN TRAILER	0.00	0.78	92.0	0.00	0.72	0.00	0.72
02/09/2018	# RANSOM, HERMAN	DAIN TRAILER	0.00	0.13	92.0	0.00	0.12	0.00	0.12
02/09/2018	# RANSOM, HERMAN	DAIN TRAILER	0.00	0.08	92.0	0.00	0.07	0.00	0.07
02/09/2018	# RANSOM, HERMAN	DAIN TRAILER	0.00	0.10	92.0	0.00	0.09	0.00	0.09
02/09/2018	# RANSOM, HERMAN	DAIN TRAILER	0.00	0.20	92.0	0.00	0.18	0.00	0.18
02/09/2018	# HARRIMAN, DARELL	DAIN TRAILER	0.00	1.23	92.0	0.00	1.13	0.00	1.13
02/09/2018	# HARRIMAN, DARELL	DAIN TRAILER	0.00	0.21	92.0	0.00	0.19	0.00	0.19
02/09/2018	# HARRIMAN, DARELL	DAIN TRAILER	0.00	1.52	92.0	0.00	1.40	0.00	1.40
02/09/2018	# MARCUM, MICHAEL	DAIN TRAILER	0.00	7.05	92.0	0.00	6.49	0.00	6.49
02/09/2018	# MARCUM, MICHAEL	DAIN TRAILER	0.00	1.64	92.0	0.00	1.51	0.00	1.51



COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/09/2018	# WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	1.75	92.0	0.00	1.61	0.00	1.61
02/09/2018	# WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	3.77	92.0	0.00	3.47	0.00	3.47
02/09/2018	# WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	1.31	92.0	0.00	1.21	0.00	1.21
02/09/2018	# WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	2.38	92.0	0.00	2.19	0.00	2.19
02/09/2018	# WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	1.52	92.0	0.00	1.40	0.00	1.40
02/09/2018	# WATSON, ROSEZENA	DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06
02/09/2018	# WATSON, ROSEZENA	DAIN TRAILER	0.00	0.03	92.0	0.00	0.03	0.00	0.03
02/09/2018	# WATSON, ROSEZENA	DAIN TRAILER	0.00	0.02	92.0	0.00	0.02	0.00	0.02
02/09/2018	# WATSON, ROSEZENA	DAIN TRAILER	0.00	0.27	92.0	0.00	0.25	0.00	0.25
02/09/2018	# RUSSELL, JOHN	DAIN TRAILER	0.00	2.78	92.0	0.00	2.56	0.00	2.56
02/09/2018	# RUSSELL, JOHN	DAIN TRAILER	0.00	3.35	92.0	0.00	3.08	0.00	3.08
02/09/2018	# RUSSELL, JOHN	DAIN TRAILER	0.00	1.35	92.0	0.00	1.24	0.00	1.24
02/09/2018	# RUSSELL, JOHN	DAIN TRAILER	0.00	4.32	92.0	0.00	3.97	0.00	3.97
02/09/2018	# HARDY, JAMES WILLIAM	DAIN TRAILER	0.00	2.53	92.0	0.00	2.33	0.00	2.33
02/09/2018	# ALBIN, JANETTE	DAIN TRAILER	0.00	2.50	92.0	0.00	2.30	0.00	2.30
02/09/2018	# ALBIN, JANETTE	DAIN TRAILER	0.00	0.90	92.0	0.00	0.83	0.00	0.83
02/09/2018	# ALBIN, JANETTE	DAIN TRAILER	0.00	0.25	92.0	0.00	0.23	0.00	0.23
02/09/2018	# ALBIN, JANETTE	DAIN TRAILER	0.00	0.63	92.0	0.00	0.58	0.00	0.58
02/09/2018	# GALVAS, MARIE	DAIN TRAILER	0.00	1.00	92.0	0.00	0.92	0.00	0.92
02/09/2018	# GALVAS, MARIE	DAIN TRAILER	0.00	0.48	92.0	0.00	0.44	0.00	0.44
02/09/2018	# OLSON, SALLY	DAIN TRAILER	0.00	3.08	92.0	0.00	2.83	0.00	2.83
02/09/2018	# OLSON, SALLY	DAIN TRAILER	0.00	1.42	92.0	0.00	1.31	0.00	1.31
02/09/2018	# OLSON, SALLY	DAIN TRAILER	0.00	1.29	92.0	0.00	1.19	0.00	1.19
02/09/2018	# ELL, MICHAEL	DAIN TRAILER	0.00	0.04	92.0	0.00	0.04	0.00	0.04
02/09/2018	# ELL, MICHAEL	DAIN TRAILER	0.00	0.08	92.0	0.00	0.07	0.00	0.07
02/09/2018	# WILLIAMS, DARRELL	DAIN TRAILER	0.00	0.34	92.0	0.00	0.31	0.00	0.31
02/09/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	7.20	92.0	0.00	6.62	0.00	6.62
02/09/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	6.32	92.0	0.00	5.81	0.00	5.81
02/09/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	6.58	92.0	0.00	6.05	0.00	6.05
02/09/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	1.43	92.0	0.00	1.32	0.00	1.32
02/09/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	1.19	92.0	0.00	1.09	0.00	1.09
02/09/2018	# EUBANKS, WILLONE	DAIN TRAILER	0.00	0.52	92.0	0.00	0.48	0.00	0.48
02/09/2018	# EUBANKS, WILLONE	DAIN TRAILER	0.00	0.25	92.0	0.00	0.23	0.00	0.23
02/09/2018	# WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	6.33	92.0	0.00	5.82	0.00	5.82
02/09/2018	# WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	7.31	92.0	0.00	6.73	0.00	6.73
02/09/2018	# WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	6.39	92.0	0.00	5.88	0.00	5.88

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/09/2018	# WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	7.03	92.0	0.00	6.47	0.00	6.47
02/09/2018	# WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	0.22	92.0	0.00	0.20	0.00	0.20
02/09/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06
02/09/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06
02/09/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	0.02	92.0	0.00	0.02	0.00	0.02
02/09/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	0.61	92.0	0.00	0.56	0.00	0.56
02/09/2018	# GALVAN, DAMIAN	DAIN TRAILER	0.00	3.56	92.0	0.00	3.28	0.00	3.28
02/09/2018	# GALVAN, DAMIAN	DAIN TRAILER	0.00	0.88	92.0	0.00	0.81	0.00	0.81
02/09/2018	# GALVAN, DAMIAN	DAIN TRAILER	0.00	0.93	92.0	0.00	0.86	0.00	0.86
02/09/2018	# BAUM, HARRY	DAIN TRAILER	0.00	4.25	92.0	0.00	3.91	0.00	3.91
02/09/2018	# BAUM, HARRY	DAIN TRAILER	0.00	1.34	92.0	0.00	1.23	0.00	1.23
02/09/2018	# BAUM, HARRY	DAIN TRAILER	0.00	8.01	92.0	0.00	7.37	0.00	7.37
02/09/2018	# BAUM, HARRY	DAIN TRAILER	0.00	7.08	92.0	0.00	6.51	0.00	6.51
02/09/2018	# DAVIDSON, JANEL	DAIN TRAILER	0.00	0.53	92.0	0.00	0.49	0.00	0.49
02/09/2018	# DAVIDSON, JANEL	DAIN TRAILER	0.00	0.35	92.0	0.00	0.32	0.00	0.32
02/09/2018	# DAVIDSON, JANEL	DAIN TRAILER	0.00	0.90	92.0	0.00	0.83	0.00	0.83
02/09/2018	# HARDY, JAMES WILLIAM	DAIN TRAILER	0.00	1.39	92.0	0.00	1.28	0.00	1.28
02/09/2018	# RUSSELL, MEREDITH	DAIN TRAILER	0.00	3.00	92.0	0.00	2.76	0.00	2.76
02/09/2018	# RUSSELL, MEREDITH	DAIN TRAILER	0.00	2.23	92.0	0.00	2.05	0.00	2.05
02/09/2018	# RUSSELL, MEREDITH	DAIN TRAILER	0.00	2.11	92.0	0.00	1.94	0.00	1.94
02/09/2018	# NELSON, MIKE	DAIN TRAILER	0.00	0.45	92.0	0.00	0.41	0.00	0.41
02/09/2018	# NYANG, LAMIN	DAIN TRAILER	0.00	0.08	92.0	0.00	0.07	0.00	0.07
02/09/2018	# LEWANDOWSKI, KIM	DAIN TRAILER	0.00	0.93	92.0	0.00	0.86	0.00	0.86
02/09/2018	# LEWANDOWSKI, KIM	DAIN TRAILER	0.00	0.35	92.0	0.00	0.32	0.00	0.32
02/09/2018	# LEWANDOWSKI, KIM	DAIN TRAILER	0.00	2.71	92.0	0.00	2.49	0.00	2.49
02/09/2018	# NYANG, LAMIN	DAIN TRAILER	0.00	0.13	92.0	0.00	0.12	0.00	0.12
02/09/2018	# NYANG, LAMIN	DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06
02/09/2018	# MOOT, PEGGY	DAIN TRAILER	0.00	1.15	92.0	0.00	1.06	0.00	1.06
02/09/2018	# MOOT, PEGGY	DAIN TRAILER	0.00	1.54	92.0	0.00	1.42	0.00	1.42
02/09/2018	# MOOT, PEGGY	DAIN TRAILER	0.00	0.28	92.0	0.00	0.26	0.00	0.26
02/09/2018	# MOOT, PEGGY	DAIN TRAILER	0.00	0.56	92.0	0.00	0.52	0.00	0.52
02/09/2018	# ARVIN, PEGGY	DAIN TRAILER	0.00	0.95	92.0	0.00	0.87	0.00	0.87
02/09/2018	# ARVIN, PEGGY	DAIN TRAILER	0.00	0.25	92.0	0.00	0.23	0.00	0.23
02/09/2018	# ARVIN, PEGGY	DAIN TRAILER	0.00	0.37	92.0	0.00	0.34	0.00	0.34
02/09/2018	# ARVIN, PEGGY	DAIN TRAILER	0.00	1.44	92.0	0.00	1.32	0.00	1.32
02/09/2018	# MYERS, DAVE	DAIN TRAILER	0.00	1.00	92.0	0.00	0.92	0.00	0.92

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
02/09/2018 #	MYERS, DAVE	DAIN TRAILER	0.00	4.97	92.0	0.00	4.57	0.00	4.57
02/09/2018 #	NELSON, MIKE	DAIN TRAILER	0.00	0.45	92.0	0.00	0.41	0.00	0.41
02/09/2018 #	NELSON, MIKE	DAIN TRAILER	0.00	0.57	92.0	0.00	0.52	0.00	0.52
02/09/2018 #	BERG, ERIK	DAIN TRAILER	0.00	1.23	92.0	0.00	1.13	0.00	1.13
02/09/2018 #	BERG, ERIK	DAIN TRAILER	0.00	1.26	92.0	0.00	1.16	0.00	1.16
02/09/2018 #	BERG, ERIK	DAIN TRAILER	0.00	0.68	92.0	0.00	0.63	0.00	0.63
02/09/2018 #	MOON, MELISSA	DAIN TRAILER	0.00	1.77	92.0	0.00	1.63	0.00	1.63
02/09/2018 #	MOON, MELISSA	DAIN TRAILER	0.00	1.16	92.0	0.00	1.07	0.00	1.07
02/09/2018 #	HARDY, PATRICIA	DAIN TRAILER	0.00	1.02	92.0	0.00	0.94	0.00	0.94
02/09/2018 #	HARDY, PATRICIA	DAIN TRAILER	0.00	0.72	92.0	0.00	0.66	0.00	0.66
02/09/2018 #	EUBANKS, WILLONE	DAIN TRAILER	0.00	0.25	92.0	0.00	0.23	0.00	0.23
02/09/2018 #	WILLIAMS, DARRELL	DAIN TRAILER	0.00	0.32	92.0	0.00	0.29	0.00	0.29
02/09/2018 #	WILLIAMS, DARRELL	DAIN TRAILER	0.00	0.24	92.0	0.00	0.22	0.00	0.22
02/09/2018 #	BOWEN, CHRISTINA	DAIN TRAILER	0.00	0.66	92.0	0.00	0.61	0.00	0.61
02/09/2018 #	BOWEN, CHRISTINA	DAIN TRAILER	0.00	0.07	92.0	0.00	0.06	0.00	0.06
02/09/2018 #	BOWEN, CHRISTINA	DAIN TRAILER	0.00	0.24	92.0	0.00	0.22	0.00	0.22
02/09/2018 #	BOWEN, CHRISTINA	DAIN TRAILER	0.00	0.48	92.0	0.00	0.44	0.00	0.44
02/09/2018 #	WILLIAMS, KERSTIN	DAIN TRAILER	0.00	0.40	92.0	0.00	0.37	0.00	0.37
02/09/2018 #	WILLIAMS, KERSTIN	DAIN TRAILER	0.00	0.21	92.0	0.00	0.19	0.00	0.19
02/12/2018 #	RANSOM, HERMAN	DAIN TRAILER	25.00	1.25	92.0	0.00	1.15	0.00	1.15
02/12/2018 #	RUSSELL, MEREDITH	DAIN TRAILER	100.00	5.00	92.0	0.00	4.60	0.00	4.60
02/12/2018 #	RUSSELL, JOHN	DAIN TRAILER	100.00	5.00	92.0	0.00	4.60	0.00	4.60
02/12/2018 #	RUSSELL, JOHN	DAIN TRAILER	100.00	4.75	92.0	0.00	4.37	0.00	4.37
02/12/2018 #	RANSOM, HERMAN	DAIN TRAILER	25.00	1.25	92.0	0.00	1.15	0.00	1.15
02/12/2018 #	RUSSELL, JOHN	DAIN TRAILER	115.00	4.60	92.0	0.00	4.23	0.00	4.23
02/15/2018 #	LISHER, GINA	DAIN TRAILER	200.00	10.00	92.0	0.00	9.20	0.00	9.20
02/16/2018 #	LEWANDOWSKI, KIM	DAIN TRAILER	6,500.00	308.75	92.0	0.00	284.05	0.00	284.05
02/16/2018 #	LEWANDOWSKI, KIM	DAIN TRAILER	6,500.00	0.00	92.0	0.00	0.00	0.00	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$14,075.00</b>	<b>\$600.74</b>		<b>\$0.00</b>	<b>\$552.67</b>	<b>\$0.00</b>	<b>\$552.67</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$1,375,081.99</b>	<b>\$2,707.05</b>		<b>\$0.00</b>	<b>\$2,490.43</b>	<b>\$40.00</b>	<b>\$2,450.43</b>

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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ADJUSTMENTS

03/20/2018		ADMIN FEE: ADMIN FEE							-390.00
03/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-50.00
		DEBIT BALANCE							
*** ADJUSTMENTS TOTAL ***									\$-619.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,450.43
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-619.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,831.43
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