

## COMMISSION STATEMENT

June 30, 2018

FIRM 1-ACCOUNTS

BROKER #: 76

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for FIRM 1-ACCOUNTS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

06/21/2018	75599824	RF LAFFERTY & CO	INVIVO THERAPEUTICS HOLDINGS CORP C B	34,858.43	0.00	0.00	0.00	80.0	0.00
06/21/2018	75599824	RF LAFFERTY & CO	INVIVO THERAPEUTICS HOLDINGS CORP C S	4,975.00	0.00	0.00	0.00	80.0	0.00
06/21/2018	75599824	RF LAFFERTY & CO	INVIVO THERAPEUTICS HOLDINGS CORP C S	3,582.00	0.00	0.00	0.00	80.0	0.00
06/21/2018	75599824	RF LAFFERTY & CO	INVIVO THERAPEUTICS HOLDINGS CORP C S	2,985.00	0.00	0.00	0.00	80.0	0.00
06/21/2018	75599824	RF LAFFERTY & CO	INVIVO THERAPEUTICS HOLDINGS CORP C S	7,164.00	0.00	0.00	0.00	80.0	0.00
06/21/2018	75599824	RF LAFFERTY & CO	INVIVO THERAPEUTICS HOLDINGS CORP C S	4,975.00	0.00	0.00	0.00	80.0	0.00
06/21/2018	75599824	RF LAFFERTY & CO	INVIVO THERAPEUTICS HOLDINGS CORP C S	5,970.00	0.00	0.00	0.00	80.0	0.00
06/21/2018	75599824	RF LAFFERTY & CO	INVIVO THERAPEUTICS HOLDINGS CORP C S	2,985.00	0.00	0.00	0.00	80.0	0.00
06/21/2018	75599824	RF LAFFERTY & CO	INVIVO THERAPEUTICS HOLDINGS CORP C S	1,990.00	0.00	0.00	0.00	80.0	0.00
06/21/2018	75599824	RF LAFFERTY & CO	INVIVO THERAPEUTICS HOLDINGS CORP C S	1,990.00	0.00	0.00	0.00	80.0	0.00
06/21/2018	75599824	RF LAFFERTY & CO	WTS INVIVO THERAPEUTICS HLDGS CORP B	175.17	0.00	0.00	0.00	80.0	0.00
06/21/2018	75599824	RF LAFFERTY & CO	WTS INVIVO THERAPEUTICS HLDGS CORP S	10.00	0.00	0.00	0.00	80.0	0.00
06/21/2018	75599824	RF LAFFERTY & CO	WTS INVIVO THERAPEUTICS HLDGS CORP S	10.00	0.00	0.00	0.00	80.0	0.00
06/21/2018	75599824	RF LAFFERTY & CO	WTS INVIVO THERAPEUTICS HLDGS CORP S	15.00	0.00	0.00	0.00	80.0	0.00
06/21/2018	75599824	RF LAFFERTY & CO	WTS INVIVO THERAPEUTICS HLDGS CORP S	30.00	0.00	0.00	0.00	80.0	0.00
06/21/2018	75599824	RF LAFFERTY & CO	WTS INVIVO THERAPEUTICS HLDGS CORP S	25.00	0.00	0.00	0.00	80.0	0.00
06/21/2018	75599824	RF LAFFERTY & CO	WTS INVIVO THERAPEUTICS HLDGS CORP S	36.00	0.00	0.00	0.00	80.0	0.00
06/21/2018	75599824	RF LAFFERTY & CO	WTS INVIVO THERAPEUTICS HLDGS CORP S	15.00	0.00	0.00	0.00	80.0	0.00
06/21/2018	75599824	RF LAFFERTY & CO	WTS INVIVO THERAPEUTICS HLDGS CORP S	18.00	0.00	0.00	0.00	80.0	0.00
06/21/2018	75599824	RF LAFFERTY & CO	WTS INVIVO THERAPEUTICS HLDGS CORP S	25.00	0.00	0.00	0.00	80.0	0.00
* STOCKS SUBTOTAL *				\$71,833.60	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: BONDS

06/11/2018	75599900	RF LAFFERTY & CO	UNITED STATES TREASURY BILL	S	70,255.19	0.00	0.00	0.00	80.0	0.00
06/11/2018	75599900	RF LAFFERTY & CO	UNITED STATES TREASURY BILL	B	70,255.19	0.00	0.00	0.00	80.0	0.00
06/11/2018	75599900	RF LAFFERTY & CO	UNITED STATES TREASURY BILL	S	98,950.97	0.00	0.00	0.00	80.0	0.00
06/11/2018	75599900	RF LAFFERTY & CO	UNITED STATES TREASURY BILL	B	98,950.97	0.00	0.00	0.00	80.0	0.00
06/18/2018	75599900	RF LAFFERTY & CO	UNITED STATES TREASURY BILL	S	148,426.46	0.00	0.00	0.00	80.0	0.00
06/18/2018	75599900	RF LAFFERTY & CO	UNITED STATES TREASURY BILL	B	148,426.46	0.00	0.00	0.00	80.0	0.00
* BONDS SUBTOTAL *					\$635,265.24	\$0.00	\$0.00	\$0.00		\$0.00

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BROKER TRANSACTIONS

PRODUCT CATEGORY: OPTIONS

06/22/2018 QGW009292 OSTOW, MARK	CALL 100 AMAZON COM INC EXP 06-29-1 S	-1,785.00	50.00	0.00	50.00	80.0	40.00
	* OPTIONS SUBTOTAL *	\$-1,785.00	\$50.00	\$0.00	\$50.00		\$40.00
	*** BROKER TRANSACTIONS TOTAL ***	\$705,313.84	\$50.00	\$0.00	\$50.00		\$40.00

BROKER COMMISSION TOTALS

Broker Transactions	\$40.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-22,554.22
FINRA Assessment	\$-0.06
SIPC Assessment	\$-0.13

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-22,514.41
Year-to-date Earnings	\$-22,514.41