



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 15, 2017

08/14/2017
 Page No. 1

DANIEL CHARLEY
 6 Lexington Court

BROKER #: C142
 BRANCH: E STREET FINANCIAL L

Shamong, NJ 08088-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: C148 DAN/MARC FT-CHARLEY/LINSKY #315</u>								
07/18/2017	ANDERSON, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.46	0.00	0.46	90.0	0.41
07/21/2017	ANDERSON, WILLIAM	AMERICAN CENTURY CORE PLUS FUND CLA	0.00	2.77	0.00	2.77	90.0	2.49
07/28/2017	ANDERSON, ELAINE	BLK GLOBAL ALLOCATION C	0.00	2.39	0.00	2.39	90.0	2.15
07/20/2017	ANDERSON, ELAINE	CALAMOS GROWTH & INCOME A	0.00	0.84	0.00	0.84	90.0	0.76
07/17/2017	ANDERSON, WILLIAM	COLUMBIA BALANCED FUND CLASS C	0.00	3.34	0.00	3.34	90.0	3.01
07/17/2017	FIAMINGO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	1.40	0.00	1.40	90.0	1.26
08/02/2017	EORIO, JOSEPH	COTTONWOOD RESIDENTIAL 2016 PREFR'D	100,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
07/17/2017	FIAMINGO, FRANK	DAVIS REAL ESTATE A	0.00	2.10	0.00	2.10	90.0	1.89
07/26/2017	ANDERSON, WILLIAM	EAGLE GROWTH AND INCOME FUND CLASS	0.00	5.81	0.00	5.81	90.0	5.23
07/14/2017	FIAMINGO, FRANK	FIRST EAGLE GLOBAL FUND CL A	0.00	6.14	0.00	6.14	90.0	5.53
07/14/2017	FIAMINGO, FRANK	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	2.15	0.00	2.15	90.0	1.94
07/14/2017	ANDERSON, WILLIAM	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	0.94	0.00	0.94	90.0	0.85
07/28/2017	ANDREWS, THERESA	GRIFFIN INST'L ACCESS R E FUND C	7,000.00	35.00	0.00	35.00	90.0	31.50
07/28/2017	ANDREWS, THERESA	GRIFFIN INST'L ACCESS R E FUND C	20,000.00	100.00	0.00	100.00	90.0	90.00
07/18/2017	FIAMINGO, FRANK	INVESCO TECHNOLOGY A	0.00	2.41	0.00	2.41	90.0	2.17
07/24/2017	ANDERSON, ELAINE	JANUS BALANCED FUND CLASS C	0.00	3.02	0.00	3.02	90.0	2.72
07/14/2017	ANDERSON, ELAINE	JH STRATEGIC INCOME A	0.00	0.42	0.00	0.42	90.0	0.38
06/23/2017	BOWERS, STEVEN	METLIFE SHEILD LEVEL SELECTOR EQTY	190,100.92	-2,851.51	0.00	-2,851.51	90.0	-2,566.36
06/23/2017	BOWERS, STEVEN	METLIFE SHEILD LEVEL SELECTOR EQTY	190,100.92	5,703.03	0.00	5,703.03	90.0	5,132.73
07/21/2017	FIAMINGO, FRANK	OPPENHEIMER CAPITAL INCOME A	0.00	0.95	0.00	0.95	90.0	0.86
07/26/2017	ANDERSON, WILLIAM	PIMCO REAL RETURN C	0.00	1.66	0.00	1.66	90.0	1.49
07/27/2017	ANDREWS, THERESA	PIMCO TOTAL RETURN D	-7,268.78	0.00	0.00	0.00	90.0	0.00
07/27/2017	ANDREWS, THERESA	PIMCO TOTAL RETURN D	-20,456.45	0.00	0.00	0.00	90.0	0.00
07/13/2017	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	0.96	0.00	0.96	90.0	0.86
07/13/2017	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.50	0.00	0.50	90.0	0.45
07/13/2017	RYNEX, ANDREW	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.16	0.00	0.16	90.0	0.14

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: C155-LINS/CIRLLI/CHA FT-MARK/JP/DAN #392</u>								
		* C148 DAN/MARC FT-CHARLEY/LINSKY SUBTOTAL *	\$479,476.61	\$6,524.94	\$0.00	\$6,524.94		\$5,872.46
<u>BROKER: C155-LINS/CIRLLI/CHA FT-MARK/JP/DAN #392</u>								
08/07/2017	CARROLLTON, STEVE	BLACKROCK GLOBAL OPPORTUNITIES EQUI	-7,383.95	0.00	7.53	-7.53	90.0	-6.78
08/08/2017	CARROLLTON, STEVE	HSBC USA INC MARKET LKD TO INDU 0.0	-21,546.00	0.00	8.91	-8.91	90.0	-8.02
08/07/2017	CARROLLTON, DONNA	NEXTERA ENERGY INC	-38,165.40	0.00	7.43	-7.43	90.0	-6.69
08/07/2017	CARROLLTON, STEVE	PNC FINL SVCS GROUP INC COM	-6,559.01	0.00	7.43	-7.43	90.0	-6.69
08/08/2017	CARROLLTON, STEVE	WELLS FARGO BK N A SIOUX FALLS S D	-25,740.00	0.00	8.91	-8.91	90.0	-8.02
		* C155-LINS/CIRLLI/CHA FT-MARK/JP/DAN SUBTOTAL *	\$-99,394.36	\$0.00	\$40.21	\$-40.21		\$-36.20
		*** SPLIT TRANSACTIONS TOTAL ***	\$380,082.25	\$6,524.94	\$40.21	\$6,484.73		\$5,836.26
<u>ADJUSTMENTS</u>								
08/15/2017		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					575.00
08/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
08/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$5,836.26
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,836.26
Year-to-date Gross	\$23,456.55
Year-to-date Earnings	\$21,041.65