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R.F. Lafferty & Co., Inc.

# **COMMISSION STATEMENT**

**December 31, 2017** 

ABRAHAM HELLER

30 WOODSHIRE TERRACE

TOWACO, NJ 07082

#### BROKER #: 1

BRANCH: HOME OFFICE

TRADE DATE	CLIENT	INVESTMENT B/S	INVESTMENT  AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRAN	SACTIONS					
PRODUCT CA	TEGORY: STOCKS							
11/30/2017 7	75500550 KOKULAK, JOHN	AU OPTRONICS CORP SPON ADR B	8,433.00	25.00	7.00	18.00	80.0	13.00
11/30/2017 7	75500550 KOKULAK, JOHN	PACIFIC BIOSCIENCES OF CALIFORNIA I B	6,376.80	25.00	7.00	18.00	80.0	13.00
12/01/2017 7	75500550 KOKULAK, JOHN	REDHILL BIOPHARMA LTD AMERICAN DEPO B	5,020.00	25.00	7.00	18.00	80.0	13.00
12/11/2017 7	75500550 KOKULAK, JOHN	GLOBAL BLOCKCHAIN TECHNLOGY CORP CO B	3,718.00	25.00	7.00	18.00	80.0	13.00
12/11/2017 7	75500550 KOKULAK, JOHN	HIVE BLOCKCHAIN TECHNOLOGIS LTD COM B	4,900.00	25.00	7.00	18.00	80.0	13.00
12/11/2017 7	75500550 KOKULAK, JOHN	KORNIT-DIGITAL LTD SHS ISIN#IL00112 B	15,750.00	25.00	7.00	18.00	80.0	13.00
12/14/2017 7	75500550 KOKULAK, JOHN	EKSO BIONICS HOLDINGS INC COMMON ST B	2,520.00	25.00	7.00	18.00	80.0	13.00
12/18/2017 7	75500550 KOKULAK, JOHN	VASCO DATA SEC INTL B	14,325.00	25.00	7.00	18.00	80.0	13.00
12/05/2017 7	75500554 JOSEPH, BRUCE	VEEVA SYSTEMS INC CL A COM S	33,660.00	30.00	7.00	23.00	80.0	17.00
12/08/2017 7	75500554 JOSEPH, BRUCE	VERINT SYS INC COM S	27,755.00	30.00	7.00	23.00	80.0	17.00
12/11/2017 7	75500554 JOSEPH, BRUCE	CANTEL MEDICAL CORPORATION S	29,490.00	30.00	7.00	23.00	80.0	17.00
12/11/2017 7	75500554 JOSEPH, BRUCE	ICON PLC S	33,349.11	30.00	7.00	23.00	80.0	17.00
12/12/2017 7	75500554 JOSEPH, BRUCE	WASTE CONNECTIONS INC COM B	10,364.99	30.00	7.00	23.00	80.0	17.00
12/18/2017 7	75500554 JOSEPH, BRUCE	MODUSLINK GLOBAL SOLUTIONS INC COM B	27,100.00	120.00	7.00	113.00	80.0	89.00
12/22/2017 7	75500554 JOSEPH, BRUCE	SQUARE INC CLASS A COM S	50,011.00	30.00	7.00	23.00	80.0	17.00
11/29/2017 🤉	QGW001463 MERKIN VENTURE	SQUARE INC CLASS A COM B	11,954.13	32.00	7.00	25.00	80.0	18.60
11/29/2017 🤉	QGW001463 MERKIN VENTURE	TWITTER INC B	26,268.29	34.00	7.00	27.00	80.0	20.20
11/30/2017 (	QGW001463 MERKIN VENTURE	FUNKO INC CL A B	6,289.64	32.00	7.00	25.00	80.0	18.60
11/30/2017 (	QGW001463 MERKIN VENTURE	OVERSTOCK COM INC DEL COM B	43,805.16	32.00	7.00	25.00	80.0	18.60
12/01/2017 (	QGW001463 MERKIN VENTURE	HELIOS & MATHESON ANALYTICS INC COM B	12,834.31	34.00	7.00	27.00	80.0	20.20
12/01/2017 (	QGW001463 MERKIN VENTURE	OVERSTOCK COM INC DEL COM B	39,880.62	32.00	7.00	25.00	80.0	18.60
12/01/2017 (	QGW001463 MERKIN VENTURE	U S SILICA HOLDINGS INC B	24,587.95	32.00	7.00	25.00	80.0	18.60
12/04/2017 (	QGW001463 MERKIN VENTURE	FACEBOOK INC CL A S	-19,309.99	32.00	7.00	25.00	80.0	18.60
12/04/2017 (	QGW001463 MERKIN VENTURE	FUNKO INC CL A S	-6,811.07	32.00	7.00	25.00	80.0	18.60
12/04/2017 (	QGW001463 MERKIN VENTURE	OVERSTOCK COM INC DEL COM S	-10,833.90	32.00	7.00	25.00	80.0	18.60
12/04/2017 (	QGW001463 MERKIN VENTURE	OVERSTOCK COM INC DEL COM S	-43,839.69	32.00	7.00	25.00	80.0	18.60
12/04/2017 0	QGW001463 MERKIN VENTURE	TWITTER INC S	-25,659.85	32.00	7.00	25.00	80.0	18.60
12/04/2017 Ç	QGW001463 MERKIN VENTURE	U S SILICA HOLDINGS INC S	-25,374.32	32.00	7.00	25.00	80.0	18.60
12/05/2017 🤉	QGW001463 MERKIN VENTURE	EDISON INTERNATIONAL B	36,405.23	32.00	7.00	25.00	80.0	18.60
12/06/2017 Ç	QGW001463 MERKIN VENTURE	FACEBOOK INC CL A S	-21,199.21	32.00	7.00	25.00	80.0	18.60
12/06/2017 Ç	QGW001463 MERKIN VENTURE	SQUARE INC CLASS A COM S	-11,830.05	32.00	7.00	25.00	80.0	18.60

TRADE DATE

CLIENT

### COMMISSION STATEMENT for ABRAHAM HELLER

B/S

INVESTMENT

AMOUNT

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RATE

BROKER

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TRADE DATE	CLIENI	INVESIMENI	_,_	AMOUNI	COMMISSION	CHARGE	COMMISSION		COMMISSION
		BROKER TE	ANSA	CTIONS					
PRODUCT CAT	TEGORY: STOCKS								
12/07/2017 QG	GW001463 MERKIN VENTURE	EDISON INTERNATIONAL	S	-36,119.95	33.00	7.00	26.00	80.0	19.40
12/08/2017 QC	GW001463 MERKIN VENTURE	OVERSTOCK COM INC DEL COM	S	-26,400.00	50.00	7.00	43.00	80.0	33.00
12/11/2017 QG	GW001463 MERKIN VENTURE	DENALI THERAPEUTICS INC COM	В	8,745.35	32.00	7.00	25.00	80.0	18.60
12/11/2017 QG	GW001463 MERKIN VENTURE	SMART GLOBAL HLDGS INC SHS	В	22,472.40	32.00	7.00	25.00	80.0	18.60
12/15/2017 QG	GW001463 MERKIN VENTURE	AMAZON.COM INC	В	33,003.88	32.00	7.00	25.00	80.0	18.60
12/15/2017 QG	GW001463 MERKIN VENTURE	SMART GLOBAL HLDGS INC SHS	S	-23,680.00	32.00	7.00	25.00	80.0	18.60
12/18/2017 QG	GW001463 MERKIN VENTURE	REMARK HLDGS INC COM	В	12,617.93	34.00	7.00	27.00	80.0	20.20
12/19/2017 QC	GW001463 MERKIN VENTURE	AMAZON.COM INC	В	47,425.14	32.00	7.00	25.00	80.0	18.60
12/19/2017 QC	GW001463 MERKIN VENTURE	REMARK HLDGS INC COM	S	-14,487.80	34.00	7.00	27.00	80.0	20.20
12/20/2017 QC	GW001463 MERKIN VENTURE	ALLERGAN PLC	В	36,524.71	32.00	7.00	25.00	80.0	18.60
12/21/2017 QG	GW001463 MERKIN VENTURE	ALLERGAN PLC	S	-37,043.60	32.00	7.00	25.00	80.0	18.60
12/21/2017 QG	GW001463 MERKIN VENTURE	BITCOIN INVT TR SHS	В	19,696.49	32.00	7.00	25.00	80.0	18.60
12/21/2017 QG	GW001463 MERKIN VENTURE	DENALI THERAPEUTICS INC COM	В	16,849.60	32.00	7.00	25.00	80.0	18.60
12/22/2017 QC	GW001463 MERKIN VENTURE	BITCOIN INVT TR SHS	S	-17,646.13	32.00	7.00	25.00	80.0	18.60
12/22/2017 QG	GW001463 MERKIN VENTURE	DENALI THERAPEUTICS INC COM	В	5,103.30	32.00	7.00	25.00	80.0	18.60
12/26/2017 QG	GW001463 MERKIN VENTURE	DENALI THERAPEUTICS INC COM	S	-4,979.20	32.00	7.00	25.00	80.0	18.60
12/27/2017 QG	GW001463 MERKIN VENTURE	HELIOS & MATHESON ANALYTICS INC CO	мв	3,781.89	32.00	7.00	25.00	80.0	18.60
11/29/2017 QG	GW001471 MERKIN, JONATHA	N BITCOIN INVT TR SHS	S	-15,125.41	32.00	7.00	25.00	80.0	18.60
11/29/2017 QG	GW001471 MERKIN, JONATHA	N BITCOIN INVT TR SHS	В	13,967.44	32.00	7.00	25.00	80.0	18.60
11/30/2017 QG	GW001471 MERKIN, JONATHA	N ALLERGAN PLC	В	13,797.60	32.00	7.00	25.00	80.0	18.60
12/04/2017 QG	GW001471 MERKIN, JONATHA	N ALLERGAN PLC	В	16,628.00	32.00	7.00	25.00	80.0	18.60
12/05/2017 QG	GW001471 MERKIN, JONATHA	N BITCOIN INVT TR SHS	В	11,260.12	32.00	7.00	25.00	80.0	18.60
12/05/2017 QG	GW001471 MERKIN, JONATHA	N BITCOIN INVT TR SHS	S	-12,106.53	32.00	7.00	25.00	80.0	18.60
12/11/2017 QG	GW001471 MERKIN, JONATHA	N TESLA MOTORS INC	S	-7,145.27	32.00	7.00	25.00	80.0	18.60
12/12/2017 QG	GW001471 MERKIN, JONATHA	N OKTA INC CL A COMMON STOCK	В	17,681.93	32.00	7.00	25.00	80.0	18.60
12/12/2017 QG	GW001471 MERKIN, JONATHA	N RIOT BLOCKCHAIN INC COM	S	-9,605.88	32.00	7.00	25.00	80.0	18.60
12/12/2017 QG	GW001471 MERKIN, JONATHA	N RIOT BLOCKCHAIN INC COM	В	9,180.96	32.00	7.00	25.00	80.0	18.60
12/13/2017 QG	GW001471 MERKIN, JONATHA	N OKTA INC CL A COMMON STOCK	S	-17,983.00	32.00	7.00	25.00	80.0	18.60
	GW001471 MERKIN, JONATHA		S	-3,299.52	32.00	7.00	25.00	80.0	18.60
	GW001471 MERKIN, JONATHA		В	2,598.96	32.00	7.00	25.00	80.0	18.60
	GW001471 MERKIN, JONATHA		В	16,602.14	32.00	7.00	25.00		18.60
	GW001471 MERKIN, JONATHA		S	-12,450.00	32.00	7.00	25.00		18.60
	GW001471 MERKIN, JONATHA		В	15,757.19	32.00	7.00	25.00		18.60
	GW001471 MERKIN, JONATHA		S	-8,823.06	32.00	7.00	25.00	80.0	18.60
	GW001471 MERKIN, JONATHA		В	3,864.01	32.00	7.00		80.0	18.60
	GW019598 MERKIN, SOLOMON		S	-2,432.94	32.00	7.00		80.0	18.60
	GW019598 MERKIN, SOLOMON			20,842.03	32.00	7.00	25.00		18.60
	GW019598 MERKIN, SOLOMON			-26,699.11	32.00	7.00		80.0	18.60
	GW019598 MERKIN, SOLOMON			-20,626.88	32.00	7.00		80.0	18.60
	GW019598 MERKIN, SOLOMON		S	-25,338.34	32.00	7.00		80.0	18.60

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RATE

		BROKER TRANS	ACTIONS					
PRODUCT CATEGORY: STOCKS								
12/05/2017 QGW019598 MERKIN, S	SOLOMON	ALIBABA GROUP HOLDING LTD SPONSORED S	-49,367.76	32.00	7.00	25.00	80.0	18.60
12/05/2017 QGW019598 MERKIN, S	SOLOMON	ALIBABA GROUP HOLDING LTD SPONSORED B	48,812.39	32.00	7.00	25.00	80.0	18.60
12/05/2017 QGW019598 MERKIN, S	SOLOMON	HELIOS & MATHESON ANALYTICS INC COM B	20,285.99	32.00	7.00	25.00	80.0	18.60
12/07/2017 QGW019598 MERKIN, S	SOLOMON	U S SILICA HOLDINGS INC S	-103,031.38	36.00	7.00	29.00	80.0	21.80
12/12/2017 QGW019598 MERKIN, S	SOLOMON	RIOT BLOCKCHAIN INC COM S	-19,660.03	32.00	7.00	25.00	80.0	18.60
12/12/2017 QGW019598 MERKIN, S	SOLOMON	RIOT BLOCKCHAIN INC COM B	18,790.36	32.00	7.00	25.00	80.0	18.60
12/12/2017 QGW019598 MERKIN, S	SOLOMON	ROKU INC CLASS A COMMON STOCK B	22,253.04	32.00	7.00	25.00	80.0	18.60
12/13/2017 QGW019598 MERKIN, S	SOLOMON	ROKU INC CLASS A COMMON STOCK S	-22,946.06	32.00	7.00	25.00	80.0	18.60
12/13/2017 QGW019598 MERKIN, S	SOLOMON	U S SILICA HOLDINGS INC S	-6,145.20	32.00	7.00	25.00	80.0	18.60
12/13/2017 QGW019598 MERKIN, S	SOLOMON	U S SILICA HOLDINGS INC S	6,145.20	-32.00	-7.00	-25.00	80.0	-18.60
12/14/2017 QGW019598 MERKIN, S	SOLOMON	HELIOS & MATHESON ANALYTICS INC COM B	25,255.05	38.00	7.00	31.00	80.0	23.40
12/19/2017 QGW019598 MERKIN, S	SOLOMON	AMAZON.COM INC B	49,796.39	32.00	7.00	25.00	80.0	18.60
12/20/2017 QGW019598 MERKIN, S	SOLOMON	HELIOS & MATHESON ANALYTICS INC COM B	3,470.58	32.00	7.00	25.00	80.0	18.60
12/20/2017 QGW019598 MERKIN, S	SOLOMON	NET ELEMENT INC COM PAR \$0.0001 S	-4,511.56	32.00	7.00	25.00	80.0	18.60
12/20/2017 QGW019598 MERKIN, S	SOLOMON	NET ELEMENT INC COM PAR \$0.0001 B	4,034.32	32.00	7.00	25.00	80.0	18.60
12/20/2017 QGW019598 MERKIN, S	SOLOMON	U S SILICA HOLDINGS INC B	30,926.70	32.00	7.00	25.00	80.0	18.60
12/21/2017 QGW019598 MERKIN, S	SOLOMON	U S SILICA HOLDINGS INC S	-32,357.00	32.00	7.00	25.00	80.0	18.60
12/22/2017 QGW019598 MERKIN, S	SOLOMON	BITCOIN INVT TR SHS B	14,168.04	32.00	7.00	25.00	80.0	18.60
12/22/2017 QGW019598 MERKIN, S	SOLOMON	BITCOIN INVT TR SHS S	-19,410.74	32.00	7.00	25.00	80.0	18.60
11/29/2017 QGW019606 MERKIN F <i>F</i>	AMILY TRUST,	AMAZON.COM INC B	90,005.95	36.00	7.00	29.00	80.0	21.80
11/29/2017 QGW019606 MERKIN F <i>F</i>	AMILY TRUST,	BITCOIN INVT TR SHS S	-30,250.81	32.00	7.00	25.00	80.0	18.60
11/29/2017 QGW019606 MERKIN F <i>F</i>	AMILY TRUST,	BITCOIN INVT TR SHS B	27,934.88	32.00	7.00	25.00	80.0	18.60
11/29/2017 QGW019606 MERKIN F <i>F</i>	AMILY TRUST,	FUNKO INC CL A S	-14,734.92	32.00	7.00	25.00	80.0	18.60
11/29/2017 QGW019606 MERKIN F <i>F</i>	AMILY TRUST,	GAIN CAP HLDGS INC COM S	-48,593.14	60.00	7.00	53.00	80.0	41.00
11/29/2017 QGW019606 MERKIN F <i>I</i>	AMILY TRUST,	MARATHON PATENT GROUP INC COM PAR \$ S	-7,654.80	32.00	7.00	25.00	80.0	18.60
11/29/2017 QGW019606 MERKIN F <i>I</i>	AMILY TRUST,	PARETEUM CORPORATION COMMON STOCK B	4,110.70	36.00	7.00	29.00	80.0	21.80
11/29/2017 QGW019606 MERKIN F <i>A</i>	AMILY TRUST,	RIOT BLOCKCHAIN INC COM S	-17,245.41	33.00	7.00	26.00	80.0	19.40
11/29/2017 QGW019606 MERKIN F <i>I</i>	AMILY TRUST,	RYB EDUCATION INC SHS AMERICAN DEPOB	19,784.21	36.00	7.00	29.00	80.0	21.80
11/29/2017 QGW019606 MERKIN F <i>F</i>	AMILY TRUST,	SQUARE INC CLASS A COM B	45,744.47	32.00	7.00	25.00	80.0	18.60
11/29/2017 QGW019606 MERKIN F <i>A</i>	AMILY TRUST,	TWILIO INC CL A S	-45,821.26	34.00	7.00	27.00	80.0	20.20
11/30/2017 QGW019606 MERKIN F <i>A</i>	AMILY TRUST,	AMAZON.COM INC S	-15,252.77	32.00	7.00	25.00	80.0	18.60
11/30/2017 QGW019606 MERKIN F <i>A</i>	AMILY TRUST,	MACYS INC S	-41,612.36	33.00	7.00	26.00	80.0	19.40
11/30/2017 QGW019606 MERKIN F <i>I</i>	AMILY TRUST,	PARETEUM CORPORATION COMMON STOCK S	-7,337.96	64.00	7.00	57.00	80.0	44.20
11/30/2017 QGW019606 MERKIN F <i>I</i>	AMILY TRUST,	RYB EDUCATION INC SHS AMERICAN DEPOB	28,550.72	32.00	7.00	25.00	80.0	18.60
L2/01/2017 QGW019606 MERKIN F/	AMILY TRUST,	CYBERARK SOFTWARE LTD SHS ISIN#IL00 B	28,000.00	50.00	7.00	43.00	80.0	33.00
12/01/2017 QGW019606 MERKIN FA	AMILY TRUST,	FUNKO INC CL A S	-21,312.95	34.00	7.00	27.00	80.0	20.20
12/01/2017 QGW019606 MERKIN F <i>I</i>	AMILY TRUST,	HELIOS & MATHESON ANALYTICS INC COM S	-9,301.85	32.00	7.00	25.00	80.0	18.60
12/01/2017 QGW019606 MERKIN F?	AMILY TRUST,	PROSHARES TRUST II PROSHARES ULTRA S	-11,981.88	32.00	7.00	25.00	80.0	18.60
12/01/2017 QGW019606 MERKIN F7	AMILY TRUST,	RYB EDUCATION INC SHS AMERICAN DEPOS	-28,886.90	32.00	7.00	25.00	80.0	18.60
10/04/0015 00::010000 2::027	AMTIX MDIIOM	ALIBABA GROUP HOLDING LTD SPONSORED B	93,718.19	34.00	7.00	27.00	80.0	20.20

INVESTMENT

GROSS CLEARING

BROKER

NET

TRADE DATE	CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
										_
			BROK	ER TRANSA	CTIONS					
PRODUCT CAT										
_			RUST, ALIBABA GROUP HOLDING LTD SE		-20,779.25	32.00	7.00	25.00		18.60
_		MERKIN FAMILY T		S	-41,559.32	34.00	7.00	27.00		20.20
_			RUST, PROSHARES TRUST II PROSHARES		6,320.11	32.00	7.00	25.00		18.60
_			RUST, PROSHARES TR ULTRAPRO SHORT		-18,297.51	32.00	7.00	25.00		18.60
_			RUST, PROSHARES TR ULTRAPRO SHORT		17,838.68	32.00	7.00	25.00		18.60
_			RUST, RA PHARMACEUTICALS INC COM	В	6,441.67	32.00	7.00	25.00		18.60
_			RUST, RYB EDUCATION INC SHS AMERIC		14,338.48	32.00	7.00	25.00		18.60
_			RUST, U S SILICA HOLDINGS INC	В	24,620.28	33.00	7.00	26.00		19.40
_			RUST, U S SILICA HOLDINGS INC	S	-24,562.87	32.00	7.00	25.00		18.60
_			RUST, ALIBABA GROUP HOLDING LTD SE		-73,965.63	32.00	7.00	25.00		18.60
-			RUST, RA PHARMACEUTICALS INC COM	В	1,771.56	32.00	7.00	25.00		18.60
~			RUST, HELIOS & MATHESON ANALYTICS		8,401.33	32.00	7.00	25.00		18.60
~		MERKIN FAMILY T	,	В	54,467.60	34.00	7.00	27.00		20.20
-			RUST, RA PHARMACEUTICALS INC COM	S	-6,828.17	32.00	7.00	25.00		18.60
12/06/2017 QG	GW019606	MERKIN FAMILY T	RUST, SQUARE INC CLASS A COM	S	-42,193.85	32.00	7.00	25.00	80.0	18.60
-			RUST, TWILIO INC CL A	S	-36,200.25	33.00	7.00	26.00	80.0	19.40
12/06/2017 QG	GW019606	MERKIN FAMILY T	RUST, U S SILICA HOLDINGS INC	В	31,426.90	32.00	7.00	25.00	80.0	18.60
12/07/2017 QG	GW019606	MERKIN FAMILY T	RUST, HELIOS & MATHESON ANALYTICS	INC COM B	7,554.19	32.00	7.00	25.00	80.0	18.60
12/07/2017 QG	GW019606	MERKIN FAMILY T	RUST, SQUARE INC CLASS A COM	S	-23,417.44	32.00	7.00	25.00	80.0	18.60
12/08/2017 QG	GW019606	MERKIN FAMILY T	RUST, DENALI THERAPEUTICS INC COM	В	15,736.05	32.00	7.00	25.00	80.0	18.60
12/08/2017 QG	GW019606	MERKIN FAMILY T	RUST, HELIOS & MATHESON ANALYTICS	INC COM B	17,190.24	34.00	7.00	27.00	80.0	20.20
12/08/2017 QG	GW019606	MERKIN FAMILY T	RUST, RYB EDUCATION INC SHS AMERIC	CAN DEPOS	-31,957.79	34.00	7.00	27.00	80.0	20.20
12/08/2017 QG	GW019606	MERKIN FAMILY T	RUST, SQUARE INC CLASS A COM	S	-37,031.39	38.00	7.00	31.00	80.0	23.40
12/08/2017 QG	GW019606	MERKIN FAMILY T	RUST, U S SILICA HOLDINGS INC	S	-115,793.51	40.00	7.00	33.00	80.0	25.00
12/11/2017 QG	GW019606	MERKIN FAMILY T	RUST, DENALI THERAPEUTICS INC COM	В	25,106.28	32.00	7.00	25.00	80.0	18.60
12/11/2017 QG	GW019606	MERKIN FAMILY T	RUST, ROKU INC CLASS A COMMON STOC	K B	75,944.70	34.00	7.00	27.00	80.0	20.20
12/11/2017 QG	GW019606	MERKIN FAMILY T	RUST, ROKU INC CLASS A COMMON STOC	K S	-21,817.25	32.00	7.00	25.00	80.0	18.60
12/12/2017 QG	GW019606	MERKIN FAMILY T	RUST, BELLICUM PHARMACEUTICALS INC	C COM B	14,614.97	32.00	7.00	25.00	80.0	18.60
12/12/2017 QG	GW019606	MERKIN FAMILY T	RUST, DENALI THERAPEUTICS INC COM	В	18,158.00	32.00	7.00	25.00	80.0	18.60
12/12/2017 QG	GW019606	MERKIN FAMILY T	RUST, MACYS INC	В	28,806.40	32.00	7.00	25.00	80.0	18.60
12/12/2017 QG	GW019606	MERKIN FAMILY T	RUST, NEWLINK GENETICS CORP	S	-23,917.20	32.00	7.00	25.00	80.0	18.60
12/12/2017 QG	GW019606	MERKIN FAMILY T	RUST, ROKU INC CLASS A COMMON STOC	K S	-36,577.18	34.00	7.00	27.00	80.0	20.20
12/13/2017 QG	GW019606	MERKIN FAMILY T	RUST, CARTESIAN INC COM	S	-1,549.80	33.00	7.00	26.00	80.0	19.40
			RUST, CYBERARK SOFTWARE LTD SHS IS	SIN#ILOO S	-30,359.07	32.00	7.00	25.00		18.60
12/13/2017 QG	GW019606	MERKIN FAMILY T	RUST, DENALI THERAPEUTICS INC COM	В	11,209.24	32.00	7.00	25.00	80.0	18.60
12/13/2017 00	GW019606	MERKIN FAMILY T	RUST, ROKU INC CLASS A COMMON STOC	ck s	-20,161.65	32.00	7.00	25.00	80.0	18.60
			RUST, U S SILICA HOLDINGS INC	S	-6,145.20	32.00	7.00	25.00	80.0	18.60
			RUST, CARTESIAN INC COM	S	-1,209.99	32.00	7.00	25.00	80.0	18.60
_			RUST, DENALI THERAPEUTICS INC COM	В	5,681.13	32.00	7.00	25.00	80.0	18.60
			RUST, AMAZON.COM INC	В	41,255.20	32.00	7.00	25.00	80.0	18.60
,,,,				_	11,233.20	52.00	,	25.00		10.00

### COMMISSION STATEMENT for ABRAHAM HELLER

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TRADE DATE	CLIENT		INVESTMENT B	3/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
			BROKER TRA	NSA	CTTONS					
ספטטנוטיד מאיד	EGORY: STOCKS		<u> </u>							
		FAMILY TRUST	HELIOS & MATHESON ANALYTICS INC COM	S	-20,411.49	36.00	7.00	29.00	80.0	21.80
			HELIOS & MATHESON ANALYTICS INC COM		7,682.36	32.00	7.00	25.00		18.60
			ON TRACK INNOVATION LTD SHS ISIN#IL		-5,993.00	33.00	7.00	26.00		19.40
			ON TRACK INNOVATION LTD SHS ISIN#IL		8,413.60	36.00	7.00	29.00		21.80
~				В	10,074.00	32.00	7.00	25.00		18.60
	W019606 MERKIN			В	60,467.05	32.00	7.00	25.00		18.60
				S	-14,085.45	33.00	7.00	26.00		19.40
~		·	HELIOS & MATHESON ANALYTICS INC COM	-	7,712.93	32.00	7.00	25.00		18.60
			HI-CRUSH PARTNERS LP COM UNIT PARTN		14,636.79	32.00	7.00	25.00		18.60
			ON TRACK INNOVATION LTD SHS ISIN#IL		-3,990.00	32.00	7.00	25.00		18.60
				В	45,028.27	32.00	7.00	25.00		18.60
				S	-20,061.34	32.00	7.00	25.00		18.60
				В	9,016.03	32.00	7.00	25.00		18.60
				S	-12,352.29	34.00	7.00	27.00		20.20
			HELIOS & MATHESON ANALYTICS INC COM	В	3,956.13	32.00	7.00	25.00		18.60
				В	13,671.25	32.00	7.00	25.00		18.60
~		,	ALIBABA GROUP HOLDING LTD SPONSORED	В	61,943.58	32.00	7.00	25.00		18.60
~		·	HELIOS & MATHESON ANALYTICS INC COM		10,438.92	32.00	7.00	25.00		18.60
_				В	4,248.77	32.00	7.00	25.00	80.0	18.60
~		·	· ·	В	17,123.70	32.00	7.00	25.00		18.60
			HELIOS & MATHESON ANALYTICS INC COM	В	7,066.73	32.00	7.00	25.00		18.60
				В	4,328.96	32.00	7.00	25.00		18.60
				В	11,627.13	32.00	7.00	25.00		18.60
	W019622 MERKIN,			В	23,783.20	34.00	7.00	27.00	80.0	20.20
	W019622 MERKIN,		ALIBABA GROUP HOLDING LTD SPONSORED	S	-125,799.94	32.00	7.00	25.00		18.60
	W019622 MERKIN,		ALIBABA GROUP HOLDING LTD SPONSORED		125,183.17	32.00	7.00	25.00		18.60
.1/29/2017 QG	W019622 MERKIN,	SHARON	AMAZON.COM INC	В	27,855.97	32.00	7.00	25.00	80.0	18.60
.1/29/2017 QG	W019622 MERKIN,	SHARON	APTIV PLC SHS COM	В	10,612.21	32.00	7.00	25.00	80.0	18.60
.1/29/2017 QG	W019622 MERKIN,	SHARON	CARTESIAN INC COM	S	-6,548.31	60.00	7.00	53.00	80.0	41.00
.1/29/2017 QG	W019622 MERKIN,	SHARON	DIGATRADE FINL CORP CL A ISIN#CA253	В	8,422.96	78.00	7.00	71.00	80.0	55.40
.1/29/2017 QG	W019622 MERKIN,	SHARON	FUNKO INC CL A	S	-39,870.96	42.00	7.00	35.00		26.60
.1/29/2017 QG	W019622 MERKIN,	SHARON	HELIOS & MATHESON ANALYTICS INC COM	В	13,990.95	32.00	7.00	25.00	80.0	18.60
.1/29/2017 QG	W019622 MERKIN,	SHARON	RIOT BLOCKCHAIN INC COM	В	16,892.65	36.00	7.00	29.00	80.0	21.80
	W019622 MERKIN,			S	-112,588.02	34.00	7.00	27.00		20.20
	GW019622 MERKIN,			В	35,862.39	32.00	7.00	25.00		18.60
	GW019622 MERKIN,			S	-3,696.00	32.00	7.00	25.00		18.60
	GW019622 MERKIN,			S	-34,421.72	32.00	7.00	25.00		18.60
	GW019622 MERKIN,			В	30,073.12	34.00	7.00	27.00		20.20
	W019622 MERKIN,		ALIBABA GROUP HOLDING LTD SPONSORED		229,936.82	40.00	7.00	33.00		25.00
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TRADE DATE	CLIENT		INVESTMENT B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			BROKER TRANS	ACTIONS					
PRODUCT CAT	regory: stocks								
11/30/2017 Q	GW019622 MERKIN,	SHARON	APTIV PLC SHS COM B	20,317.60	32.00	7.00	25.00	80.0	18.60
11/30/2017 Q	GW019622 MERKIN,	SHARON	DIGATRADE FINL CORP CL A ISIN#CA253 B	1,311.00	34.00	7.00	27.00	80.0	20.20
11/30/2017 Q	GW019622 MERKIN,	SHARON	HELIOS & MATHESON ANALYTICS INC COM S	-22,514.21	34.00	7.00	27.00	80.0	20.20
11/30/2017 Q	GW019622 MERKIN,	SHARON	OVERSTOCK COM INC DEL COM B	73,008.60	32.00	7.00	25.00	80.0	18.60
11/30/2017 Q	GW019622 MERKIN,	SHARON	OVERSTOCK COM INC DEL COM S	-18,700.00	32.00	7.00	25.00	80.0	18.60
11/30/2017 Q	GW019622 MERKIN,	SHARON	PROSHARES TR ULTRAPRO SHORT DOW 30 B	19,106.33	32.00	7.00	25.00	80.0	18.60
11/30/2017 Q	GW019622 MERKIN,	SHARON	RIOT BLOCKCHAIN INC COM S	-22,415.60	32.00	7.00	25.00	80.0	18.60
11/30/2017 Q	GW019622 MERKIN,	SHARON	SMART GLOBAL HLDGS INC SHS B	48,491.52	32.00	7.00	25.00	80.0	18.60
11/30/2017 Q	GW019622 MERKIN,	SHARON	SQUARE INC CLASS A COM S	-10,130.00	32.00	7.00	25.00	80.0	18.60
11/30/2017 Q	GW019622 MERKIN,	SHARON	TESLA MOTORS INC S	-8,902.34	32.00	7.00	25.00	80.0	18.60
11/30/2017 Q	GW019622 MERKIN,	SHARON	U S SILICA HOLDINGS INC B	18,331.59	32.00	7.00	25.00	80.0	18.60
12/01/2017 Q	GW019622 MERKIN,	SHARON	ALIBABA GROUP HOLDING LTD SPONSORED B	55,961.92	34.00	7.00	27.00	80.0	20.20
12/01/2017 Q	GW019622 MERKIN,	SHARON	ALIBABA GROUP HOLDING LTD SPONSORED B	51,000.00	50.00	7.00	43.00	80.0	33.00
12/01/2017 Q	GW019622 MERKIN,	SHARON	APTIV PLC SHS COM B	13,227.83	32.00	7.00	25.00	80.0	18.60
12/01/2017 Q	GW019622 MERKIN,	SHARON	OVERSTOCK COM INC DEL COM B	16,439.68	32.00	7.00	25.00	80.0	18.60
12/01/2017 Q	GW019622 MERKIN,	SHARON	OVERSTOCK COM INC DEL COM S	-44,302.50	34.00	7.00	27.00	80.0	20.20
12/01/2017 Q	GW019622 MERKIN,	SHARON	PARETEUM CORPORATION COMMON STOCK B	10,782.00	100.00	7.00	93.00	80.0	73.0
12/01/2017 Q	GW019622 MERKIN,	SHARON	PROSHARES TR ULTRAPRO SHORT DOW 30 S	-13,317.90	32.00	7.00	25.00	80.0	18.60
12/01/2017 Q	GW019622 MERKIN,	SHARON	RIOT BLOCKCHAIN INC COM S	-16,230.16	32.00	7.00	25.00	80.0	18.6
12/01/2017 Q	GW019622 MERKIN,	SHARON	SMART GLOBAL HLDGS INC SHS S	-49,631.04	38.00	7.00	31.00	80.0	23.4
12/01/2017 Q	GW019622 MERKIN,	SHARON	SQUARE INC CLASS A COM S	-24,726.00	32.00	7.00	25.00	80.0	18.6
12/01/2017 Q	GW019622 MERKIN,	SHARON	SQUARE INC CLASS A COM B	34,369.43	32.00	7.00	25.00	80.0	18.6
12/01/2017 Q	GW019622 MERKIN,	SHARON	TESLA MOTORS INC S	-10,178.01	32.00	7.00	25.00	80.0	18.6
12/01/2017 Q	GW019622 MERKIN,	SHARON	U S SILICA HOLDINGS INC B	26,509.92	32.00	7.00	25.00	80.0	18.6
12/04/2017 Q	GW019622 MERKIN,	SHARON	ALIBABA GROUP HOLDING LTD SPONSORED B	38,509.65	32.00	7.00	25.00	80.0	18.60
	GW019622 MERKIN,		ALIBABA GROUP HOLDING LTD SPONSORED S	-69,264.16	32.00	7.00	25.00		18.60
	GW019622 MERKIN,		ALIBABA GROUP HOLDING LTD SPONSORED S	-39,050.00	32.00	7.00	25.00		18.6
12/04/2017 0	GW019622 MERKIN,	SHARON	DIGATRADE FINL CORP CL A ISIN#CA253 B	2,017.40	34.00	7.00	27.00	80.0	20.20
	GW019622 MERKIN,		OVERSTOCK COM INC DEL COM S	-25,833.30	32.00	7.00	25.00	80.0	18.6
12/04/2017 Q	GW019622 MERKIN,	SHARON	PROSHARES TR ULTRAPRO SHORT DOW 30 S	-6,138.84	32.00	7.00	25.00	80.0	18.6
12/04/2017 0	GW019622 MERKIN,	SHARON	REAL GOODS SOLAR INC CL A 2017 S	-25,808.60	140.00	7.00	133.00	80.0	105.0
	GW019622 MERKIN,		ALIBABA GROUP HOLDING LTD SPONSORED S	-102,519.81	32.00	7.00	25.00	80.0	18.60
_	GW019622 MERKIN,		ALIBABA GROUP HOLDING LTD SPONSORED B	49,322.62	32.00	7.00		80.0	18.6
	GW019622 MERKIN,		ALLERGAN PLC S	-94,440.50	32.00	7.00		80.0	18.6
_	GW019622 MERKIN,		ALLERGAN PLC B	169,723.02	32.00	7.00		80.0	18.6
	GW019622 MERKIN,		APTIV PLC SHS COM S	-56,132.39	34.00	7.00		80.0	20.2
_	GW019622 MERKIN,		BITCOIN INVT TR SHS S	-37,832.90	32.00	7.00		80.0	18.6
	GW019622 MERKIN,		BITCOIN INVT TR SHS B	35,187.88	32.00	7.00		80.0	18.6
	GW019622 MERKIN, GW019622 MERKIN,		DIGATRADE FINL CORP CL A ISIN#CA253 S	-3,480.00	35.00	7.00		80.0	21.00
	GW019622 MERKIN, GW019622 MERKIN,		EDISON INTERNATIONAL B	43,168.26	32.00	7.00		80.0	18.60
TZ/03/Z01/ Q	GWUIJUZZ MEKKIN,	NIONARG	EDISON INTERNATIONAL B	73,100.20	32.00	7.00	∠5.00	00.0	10.00

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	BROKER TRANSA	ACTIONS					
PRODUCT CATEGORY: STOCKS							
12/05/2017 QGW019622 MERKIN, SHARON	HELIOS & MATHESON ANALYTICS INC COM B	19,986.20	32.00	7.00	25.00	80.0	18.60
12/05/2017 QGW019622 MERKIN, SHARON	TESLA MOTORS INC S	-9,199.50	32.00	7.00	25.00	80.0	18.60
12/06/2017 QGW019622 MERKIN, SHARON	ALLERGAN PLC S	-78,313.42	34.00	7.00	27.00	80.0	20.20
12/06/2017 QGW019622 MERKIN, SHARON	DIGATRADE FINL CORP CL A ISIN#CA253 S	-5,350.40	45.00	7.00	38.00	80.0	29.00
12/06/2017 QGW019622 MERKIN, SHARON	EDISON INTERNATIONAL B	17,605.17	32.00	7.00	25.00	80.0	18.60
12/06/2017 QGW019622 MERKIN, SHARON	EDISON INTERNATIONAL S	-58,693.78	33.00	7.00	26.00	80.0	19.40
12/06/2017 QGW019622 MERKIN, SHARON	PARETEUM CORPORATION COMMON STOCK S	-17,379.89	140.00	7.00	133.00	80.0	105.00
12/06/2017 QGW019622 MERKIN, SHARON	REAL GOODS SOLAR INC CL A 2017 S	-6,170.94	42.00	7.00	35.00	80.0	26.60
12/06/2017 QGW019622 MERKIN, SHARON	SMART GLOBAL HLDGS INC SHS B	59,295.71	34.00	7.00	27.00	80.0	20.20
12/06/2017 QGW019622 MERKIN, SHARON	SMART GLOBAL HLDGS INC SHS S	-34,791.01	32.00	7.00	25.00	80.0	18.60
12/06/2017 QGW019622 MERKIN, SHARON	SQUARE INC CLASS A COM S	-35,805.62	32.00	7.00	25.00	80.0	18.60
12/06/2017 QGW019622 MERKIN, SHARON	TESLA MOTORS INC S	-13,706.37	32.00	7.00	25.00	80.0	18.60
12/06/2017 QGW019622 MERKIN, SHARON	TESLA MOTORS INC S	13,706.37	-32.00	-7.00	-25.00	80.0	-18.60
12/06/2017 QGW019622 MERKIN, SHARON	TESLA MOTORS INC S	-11,269.68	32.00	7.00	25.00	80.0	18.60
12/06/2017 QGW019622 MERKIN, SHARON	U S SILICA HOLDINGS INC B	125,927.59	40.00	7.00	33.00	80.0	25.00
12/06/2017 QGW019622 MERKIN, SHARON	U S SILICA HOLDINGS INC S	-94,987.97	34.00	7.00	27.00	80.0	20.20
12/07/2017 QGW019622 MERKIN, SHARON	APTIV PLC SHS COM B	26,505.53	32.00	7.00	25.00	80.0	18.60
12/07/2017 QGW019622 MERKIN, SHARON	DIGATRADE FINL CORP CL A ISIN#CA253 S	-2,310.00	36.00	7.00	29.00	80.0	21.80
12/07/2017 QGW019622 MERKIN, SHARON	DIGATRADE FINL CORP CL A ISIN#CA253 B	10,628.59	96.00	7.00	89.00	80.0	69.80
12/07/2017 QGW019622 MERKIN, SHARON	SMART GLOBAL HLDGS INC SHS S	-25,870.59	34.00	7.00	27.00	80.0	20.20
12/07/2017 QGW019622 MERKIN, SHARON	TESLA MOTORS INC S	-4,376.54	32.00	7.00	25.00	80.0	18.60
12/08/2017 QGW019622 MERKIN, SHARON	DENALI THERAPEUTICS INC COM B	26,247.73	34.00	7.00	27.00	80.0	20.20
12/08/2017 QGW019622 MERKIN, SHARON	DIGATRADE FINL CORP CL A ISIN#CA253 B	5,039.61	50.00	7.00	43.00	80.0	33.00
12/08/2017 QGW019622 MERKIN, SHARON	TESLA MOTORS INC S	-10,431.30	33.00	7.00	26.00	80.0	19.40
12/08/2017 QGW019622 MERKIN, SHARON	TWITTER INC B	73,500.00	65.00	7.00	58.00	80.0	45.00
12/08/2017 QGW019622 MERKIN, SHARON	U S SILICA HOLDINGS INC B	33,357.35	36.00	7.00	29.00	80.0	21.80
12/11/2017 QGW019622 MERKIN, SHARON	CARTESIAN INC COM S	-3,391.00	36.00	7.00	29.00	80.0	21.80
12/11/2017 QGW019622 MERKIN, SHARON	DENALI THERAPEUTICS INC COM S	-26,442.14	33.00	7.00	26.00	80.0	19.40
12/11/2017 QGW019622 MERKIN, SHARON	DIGATRADE FINL CORP CL A ISIN#CA253 B	4,045.44	40.00	7.00	33.00	80.0	25.00
12/11/2017 OGW019622 MERKIN, SHARON	HELIOS & MATHESON ANALYTICS INC COM S	-5,347.39	32.00	7.00	25.00	80.0	18.60
12/11/2017 QGW019622 MERKIN, SHARON	REAL GOODS SOLAR INC CL A 2017 S	-5,540.45	40.00	7.00	33.00	80.0	25.00
12/11/2017 QGW019622 MERKIN, SHARON	TWITTER INC S	-80,704.77	42.00	7.00	35.00	80.0	26.60
12/12/2017 QGW019622 MERKIN, SHARON	BELLICUM PHARMACEUTICALS INC COM B	14,078.24	32.00	7.00	25.00	80.0	18.60
12/12/2017 QGW019622 MERKIN, SHARON	DIGATRADE FINL CORP CL A ISIN#CA253 S	-24,280.20	210.00	7.00	203.00		161.00
12/12/2017 QGW019022 MERKIN, SHARON	DIGATRADE FINE CORP CL A ISIN#CA253 B	1,826.82	32.00	7.00	25.00	80.0	18.60
12/12/2017 QGW019022 MERKIN, SHARON 12/12/2017 QGW019622 MERKIN, SHARON	HELIOS & MATHESON ANALYTICS INC COM S	-53,944.24	45.00	7.00	38.00	80.0	29.00
12/12/2017 QGW019022 MERKIN, SHARON 12/12/2017 QGW019622 MERKIN, SHARON	HELIOS & MATHESON ANALYTICS INC COM B	112,182.65	110.00	7.00	103.00	80.0	81.00
12/12/2017 QGW019622 MERKIN, SHARON 12/12/2017 QGW019622 MERKIN, SHARON	NEWLINK GENETICS CORP B	10,039.75	32.00	7.00	25.00	80.0	18.60
		39,537.52		7.00			20.20
12/12/2017 QGW019622 MERKIN, SHARON 12/12/2017 QGW019622 MERKIN, SHARON	RIOT BLOCKCHAIN INC COM B RIOT BLOCKCHAIN INC COM S	-14,672.21	34.00 34.00	7.00	27.00 27.00	80.0	20.20

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		INVESTMENT B	/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		BROKER TRA	NSA	CTIONS					
EGORY: STOCKS									
W019622 MERKIN,	SHARON	TESLA MOTORS INC	S	-4,048.44	32.00	7.00	25.00	80.0	18.60
W019622 MERKIN,	SHARON	U S SILICA HOLDINGS INC	S	-40,115.96	32.00	7.00	25.00	80.0	18.60
W019622 MERKIN,	SHARON	DIGATRADE FINL CORP CL A ISIN#CA253	S	-39,932.61	310.00	7.00	303.00	80.0	241.00
W019622 MERKIN,	SHARON	HELIOS & MATHESON ANALYTICS INC COM	В	37,729.05	54.00	7.00	47.00	80.0	36.20
W019622 MERKIN,	SHARON	RIOT BLOCKCHAIN INC COM	S	-9,260.00	32.00	7.00	25.00	80.0	18.60
W019622 MERKIN,	SHARON	ALIBABA GROUP HOLDING LTD SPONSORED	В	50,071.20	34.00	7.00	27.00	80.0	20.20
W019622 MERKIN,	SHARON	DENALI THERAPEUTICS INC COM	S	-11,830.05	32.00	7.00	25.00	80.0	18.60
W019622 MERKIN,	SHARON	DENALI THERAPEUTICS INC COM	В	11,759.94	32.00	7.00	25.00	80.0	18.60
W019622 MERKIN,	SHARON	NUTANIX INC CL A	В	35,685.30	32.00	7.00	25.00	80.0	18.60
W019622 MERKIN,	SHARON	RIOT BLOCKCHAIN INC COM	S	-12,623.80	32.00	7.00	25.00	80.0	18.60
W019622 MERKIN,	SHARON	TWITTER INC	S	-27,552.00	33.00	7.00	26.00	80.0	19.40
W019622 MERKIN,	SHARON	U S SILICA HOLDINGS INC	S	-92,459.70	36.00	7.00	29.00	80.0	21.80
W019622 MERKIN,	SHARON	DIGATRADE FINL CORP CL A ISIN#CA253	S	-6,743.56	64.00	7.00	57.00	80.0	44.20
W019622 MERKIN,	SHARON	FAIRMOUNT SANTROL HLDGS INC COM	В	8,743.04	32.00	7.00	25.00	80.0	18.60
W019622 MERKIN,	SHARON	HELIOS & MATHESON ANALYTICS INC COM	В	5,597.60	32.00	7.00	25.00	80.0	18.60
W019622 MERKIN,	SHARON	NUTANIX INC CL A	В	15,593.99	32.00	7.00	25.00	80.0	18.60
W019622 MERKIN,	SHARON	UBI BLOCKCHAIN INTERNET LTD COM	В	24,149.10	36.00	7.00	29.00	80.0	21.80
W019622 MERKIN,	SHARON	UBI BLOCKCHAIN INTERNET LTD COM	S	-25,041.42	36.00	7.00	29.00	80.0	21.80
W019622 MERKIN,	SHARON	AMAZON.COM INC	В	47,661.60	32.00	7.00	25.00	80.0	18.60
W019622 MERKIN,	SHARON	AMAZON.COM INC	В	63,116.11	32.00	7.00	25.00	80.0	18.60
W019622 MERKIN,	SHARON	AMAZON.COM INC	В	32,176.02	32.00	7.00	25.00	80.0	18.60
W019622 MERKIN,	SHARON	APTIV PLC SHS COM	В	27,215.44	32.00	7.00	25.00	80.0	18.60
W019622 MERKIN,	SHARON	BELLICUM PHARMACEUTICALS INC COM	S	-4,145.00	32.00	7.00	25.00	80.0	18.60
W019622 MERKIN,	SHARON	DIGATRADE FINL CORP CL A ISIN#CA253	S	-3,111.44	36.00	7.00	29.00	80.0	21.80
W019622 MERKIN,	SHARON	DIGATRADE FINL CORP CL A ISIN#CA253	В	19,800.04	175.00	7.00	168.00	80.0	133.00
W019622 MERKIN,	SHARON	DOCUMENT SEC SYS INC COM NEW	S	-4,672.74	32.00	7.00	25.00	80.0	18.60
W019622 MERKIN,	SHARON	DOCUMENT SEC SYS INC COM NEW	В	4,732.86	32.00	7.00	25.00	80.0	18.60
W019622 MERKIN,	SHARON	FAIRMOUNT SANTROL HLDGS INC COM	В	1,945.40	32.00	7.00	25.00	80.0	18.60
W019622 MERKIN,	SHARON	NUTANIX INC CL A	В	15,063.11	32.00	7.00	25.00	80.0	18.60
W019622 MERKIN,	SHARON	TWITTER INC	S	-14,939.88	32.00	7.00	25.00	80.0	18.60
W019622 MERKIN,	SHARON	TWITTER INC	S	-17,279.50	32.00	7.00	25.00	80.0	18.60
		UBI BLOCKCHAIN INTERNET LTD COM	S		34.00	7.00		80.0	20.20
		UBI BLOCKCHAIN INTERNET LTD COM	В		32.00	7.00		80.0	18.60
			В						18.60
									20.20
•									18.60
									36.20
									36.20
		CREDIT SUISSE AG NASSAU BRH VELOCIT		8,015.00	32.00	7.00	25.00		18.60
W019622 MERKIN,									
	MO19622 MERKIN,	### STOCKS ### STOCKS #### STOCKS ##### STOCKS ####################################	ROORY: STOCKS  W019622 MERKIN, SHARON US SILICA HOLDINGS INC W019622 MERKIN, SHARON US SILICA HOLDINGS INC W019622 MERKIN, SHARON HELIOS & MATHESON ANALYTICS INC COM W019622 MERKIN, SHARON HELIOS & MATHESON ANALYTICS INC COM W019622 MERKIN, SHARON RIOT BLOCKCHAIN INC COM W019622 MERKIN, SHARON ALIBABA GROUP HOLDING LTD SPONSORED W019622 MERKIN, SHARON DENALI THERAPEUTICS INC COM W019622 MERKIN, SHARON DENALI THERAPEUTICS INC COM W019622 MERKIN, SHARON NUTANIX INC CL A W019622 MERKIN, SHARON RIOT BLOCKCHAIN INC COM W019622 MERKIN, SHARON RIOT BLOCKCHAIN INC COM W019622 MERKIN, SHARON TWITTER INC W019622 MERKIN, SHARON DIGATRADE FINL CORP CL A ISIN#CA253 W019622 MERKIN, SHARON HELIOS & MATHESON ANALYTICS INC COM W019622 MERKIN, SHARON HELIOS & MATHESON ANALYTICS INC COM W019622 MERKIN, SHARON WIB BLOCKCHAIN INTERNET LTD COM W019622 MERKIN, SHARON WIB BLOCKCHAIN INTERNET LTD COM W019622 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7.00 25.00 80.0 ### ROLES MERKIN, SHARON DIGATRADE FINL CORP CL A ISINGCA253 S -39,932.61 33.00 7.00 25.00 80.0 ### ROLES MERKIN, SHARON DIGATRADE FINL CORP CL A ISINGCA253 S -39,932.61 33.00 7.00 47.00 80.0 ### ROLES MERKIN, SHARON DIGATRADE FINL CORP CL A ISINGCA253 S -39,932.61 33.00 7.00 47.00 80.0 ### ROLES MERKIN, SHARON HELIOS & MATHESOS ANALYTICS INC COM B 37,729.05 54.00 7.00 47.00 80.0 ### ROLES MERKIN, SHARON HELIOS & MATHESOS ANALYTICS INC COM B 37,729.05 54.00 7.00 25.00 80.0 ### ROLES MERKIN, SHARON ALIBADA GROUP HOLDING INT SPONSORED B 50,071.20 34.00 7.00 25.00 80.0 ### ROLES MERKIN, SHARON DENALT THERAPEUTICS INC COM B 111,759.94 32.00 7.00 25.00 80.0 ### ROLES MERKIN, SHARON DENALT THERAPEUTICS INC COM B 111,759.94 32.00 7.00 25.00 80.0 ### ROLES MERKIN, SHARON DENALT THERAPEUTICS INC COM B 111,759.94 32.00 7.00 25.00 80.0 ### ROLES MERKIN, SHARON DENALT THERAPEUTICS INC COM B 111,759.94 32.00 7.00 25.00 80.0 ### ROLES MERKIN, SHARON DENALT THERAPEUTICS INC COM B 35,665.30 32.00 7.00 25.00 80.0 ### ROLES MERKIN, SHARON DENALT THERAPEUTICS INC COM S 12,623.80 32.00 7.00 25.00 80.0 ### ROLES MERKIN, SHARON DENALT THERAPEUTICS INC COM S 12,623.80 32.00 7.00 25.00 80.0 ### ROLES MERKIN, SHARON DENALT THERAPEUTICS INC COM S 12,7552.00 33.00 7.00 25.00 80.0 ### ROLES MERKIN, SHARON DENALT THERAPEUTICS INC COM S 12,7552.00 33.00 7.00 25.00 80.0 ### ROLES MERKIN, SHARON DENALT THERE IN COMP LA SISTEMAL STATEMAL STATEMA

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## COMMISSION STATEMENT for ABRAHAM HELLER

INVESTMENT

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IRADE DATE CEIENT	THATRIHMI 212	71100IV1	COLLIEBBION	CIMINOL	COLENEDE TON		COMMIDDION
	BROKER TRANSA	ACTIONS					
PRODUCT CATEGORY: STOCKS							
12/19/2017 QGW019622 MERKIN, SHARON	DIGATRADE FINL CORP CL A ISIN#CA253 B	23,697.84	200.00	7.00	193.00	80.0	153.00
12/19/2017 QGW019622 MERKIN, SHARON	DOCUMENT SEC SYS INC COM NEW B	22,031.10	80.00	7.00	73.00	80.0	57.00
12/19/2017 QGW019622 MERKIN, SHARON	FAIRMOUNT SANTROL HLDGS INC COM B	4,049.37	32.00	7.00	25.00	80.0	18.60
12/19/2017 QGW019622 MERKIN, SHARON	NUTANIX INC CL A B	20,517.86	32.00	7.00	25.00	80.0	18.60
12/19/2017 QGW019622 MERKIN, SHARON	TESLA MOTORS INC B	9,930.90	32.00	7.00	25.00	80.0	18.60
12/19/2017 QGW019622 MERKIN, SHARON	TWITTER INC S	-27,088.60	34.00	7.00	27.00	80.0	20.20
12/19/2017 QGW019622 MERKIN, SHARON	U S SILICA HOLDINGS INC B	58,605.12	32.00	7.00	25.00	80.0	18.60
12/20/2017 QGW019622 MERKIN, SHARON	CREDIT SUISSE AG NASSAU BRH VELOCIT B	27,192.18	33.00	7.00	26.00	80.0	19.40
12/20/2017 QGW019622 MERKIN, SHARON	DIGATRADE FINL CORP CL A ISIN#CA253 B	11,885.72	100.00	7.00	93.00	80.0	73.00
12/20/2017 QGW019622 MERKIN, SHARON	DIGATRADE FINL CORP CL A ISIN#CA253 S	-13,606.02	115.00	7.00	108.00	80.0	85.00
12/20/2017 QGW019622 MERKIN, SHARON	DOCUMENT SEC SYS INC COM NEW B	3,757.36	33.00	7.00	26.00	80.0	19.40
12/20/2017 QGW019622 MERKIN, SHARON	FAIRMOUNT SANTROL HLDGS INC COM B	5,262.28	33.00	7.00	26.00	80.0	19.40
12/20/2017 QGW019622 MERKIN, SHARON	HI-CRUSH PARTNERS LP COM UNIT PARTN B	7,302.06	32.00	7.00	25.00	80.0	18.60
12/20/2017 QGW019622 MERKIN, SHARON	NET ELEMENT INC COM PAR \$0.0001 B	11,494.19	34.00	7.00	27.00	80.0	20.20
12/20/2017 QGW019622 MERKIN, SHARON	NET ELEMENT INC COM PAR \$0.0001 S	-3,454.50	32.00	7.00	25.00	80.0	18.60
12/20/2017 QGW019622 MERKIN, SHARON	NEWLINK GENETICS CORP B	6,424.00	32.00	7.00	25.00	80.0	18.60
12/20/2017 QGW019622 MERKIN, SHARON	NUTANIX INC CL A B	54,655.49	36.00	7.00	29.00	80.0	21.80
12/20/2017 QGW019622 MERKIN, SHARON	TESLA MOTORS INC B	23,723.89	32.00	7.00	25.00	80.0	18.60
12/20/2017 QGW019622 MERKIN, SHARON	U S SILICA HOLDINGS INC B	54,585.63	32.00	7.00	25.00	80.0	18.60
12/21/2017 QGW019622 MERKIN, SHARON	ALIBABA GROUP HOLDING LTD SPONSORED S	-79,767.32	34.00	7.00	27.00	80.0	20.20
12/21/2017 QGW019622 MERKIN, SHARON	BITCOIN INVT TR SHS B	21,666.14	32.00	7.00	25.00	80.0	18.60
12/21/2017 QGW019622 MERKIN, SHARON	CREDIT SUISSE AG NASSAU BRH VELOCIT B	22,648.43	32.00	7.00	25.00	80.0	18.60
12/21/2017 QGW019622 MERKIN, SHARON	DENALI THERAPEUTICS INC COM B	16,849.60	32.00	7.00	25.00	80.0	18.60
12/21/2017 QGW019622 MERKIN, SHARON	DIGATRADE FINL CORP CL A ISIN#CA253 B	3,418.26	36.00	7.00	29.00	80.0	21.80
12/21/2017 QGW019622 MERKIN, SHARON	DOCUMENT SEC SYS INC COM NEW S	-28,045.41	100.00	7.00	93.00	80.0	73.00
12/21/2017 QGW019622 MERKIN, SHARON	NET ELEMENT INC COM PAR \$0.0001 S	-12,565.00	34.00	7.00	27.00	80.0	20.20
12/21/2017 QGW019622 MERKIN, SHARON	TESLA MOTORS INC B	23,215.75	32.00	7.00	25.00	80.0	18.60
12/21/2017 QGW019622 MERKIN, SHARON	U S SILICA HOLDINGS INC S	-13,629.00	32.00	7.00	25.00	80.0	18.60
12/22/2017 QGW019622 MERKIN, SHARON	ALLERGAN PLC B	48,941.56	34.00	7.00	27.00	80.0	20.20
12/22/2017 QGW019622 MERKIN, SHARON	BITCOIN INVT TR SHS S	-19,410.74	32.00	7.00	25.00	80.0	18.60
12/22/2017 QGW019622 MERKIN, SHARON	CREDIT SUISSE AG NASSAU BRH VELOCIT S	-58,726.80	32.00	7.00	25.00	80.0	18.60
12/22/2017 QGW019622 MERKIN, SHARON	DENALI THERAPEUTICS INC COM B	5,103.30	32.00	7.00	25.00	80.0	18.60
12/22/2017 QGW019622 MERKIN, SHARON	DIGATRADE FINL CORP CL A ISIN#CA253 B	2,280.35	34.00	7.00	27.00	80.0	20.20
12/22/2017 QGW019622 MERKIN, SHARON	OVERSTOCK COM INC DEL COM B	22,247.49	36.00	7.00	29.00	80.0	21.80
12/26/2017 QGW019622 MERKIN, SHARON	DENALI THERAPEUTICS INC COM B	27,468.00	34.00	7.00	27.00		20.20
12/26/2017 QGW019622 MERKIN, SHARON	DIGATRADE FINL CORP CL A ISIN#CA253 S	-5,321.70	50.00	7.00	43.00		33.00
12/26/2017 QGW019622 MERKIN, SHARON	FAIRMOUNT SANTROL HLDGS INC COM B	3,648.96	32.00	7.00	25.00		18.60
12/26/2017 QGW019622 MERKIN, SHARON	HELIOS & MATHESON ANALYTICS INC COM B	10,438.92	32.00	7.00	25.00		18.60
12/26/2017 QGW019622 MERKIN, SHARON	NET ELEMENT INC COM PAR \$0.0001 B	4,234.36	32.00	7.00	25.00		18.60
12/26/2017 QGW019622 MERKIN, SHARON	OVERSTOCK COM INC DEL COM S	-34,351.87	36.00	7.00	29.00		21.80
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ORY: STOCKS 19622 MERKIN, SHARO	BROKER	TRANS.	ACTIONS					
19622 MERKIN, SHARC 19622 MERKIN, SHARC		TRANS	ACTIONS					
19622 MERKIN, SHARC 19622 MERKIN, SHARC								
19622 MERKIN, SHARC								
	N OVERSTOCK COM INC DEL COM	В	9,678.75	32.00	7.00	25.00	80.0	18.60
	N DENALI THERAPEUTICS INC COM	S	-19,377.60	32.00	7.00	25.00	80.0	18.60
19622 MERKIN, SHARC	N NET ELEMENT INC COM PAR \$0.0001	В	4,341.37	32.00	7.00	25.00	80.0	18.60
19622 MERKIN, SHARC	N RIOT BLOCKCHAIN INC COM	В	14,948.02	32.00	7.00	25.00	80.0	18.60
19622 MERKIN, SHARC	N TESLA MOTORS INC	В	31,119.99	32.00	7.00	25.00	80.0	18.60
19622 MERKIN, SHARC	N TESLA MOTORS INC	В	31,444.00	32.00	7.00	25.00	80.0	18.60
19630 MERKIN, JAMES	TESLA MOTORS INC	S	-2,436.69	32.00	7.00	25.00	80.0	18.60
19648 MERKIN, SOLOM	ON DDR CORP COM	S	-2,628.00	32.00	7.00	25.00	80.0	18.60
19655 MERKIN, DAPHN	E SQUARE INC CLASS A COM	В	11,355.54	32.00	7.00	25.00	80.0	18.60
19655 MERKIN, DAPHN	E U S SILICA HOLDINGS INC	В	10,187.70	32.00	7.00	25.00	80.0	18.60
19655 MERKIN, DAPHN	E SQUARE INC CLASS A COM	S	-11,535.00	32.00	7.00	25.00	80.0	18.60
19655 MERKIN, DAPHN	E DENALI THERAPEUTICS INC COM	В	4,734.28	32.00	7.00	25.00	80.0	18.60
19655 MERKIN, DAPHN	E U S SILICA HOLDINGS INC	В	8,139.60	32.00	7.00	25.00	80.0	18.60
19655 MERKIN, DAPHN	E ALLERGAN PLC	В	11,621.50	32.00	7.00	25.00	80.0	18.60
19655 MERKIN, DAPHN	E SCHLUMBERGER LTD	S	-13,350.00	32.00	7.00	25.00	80.0	18.60
19655 MERKIN, DAPHN	E DENALI THERAPEUTICS INC COM	В	3,052.00	32.00	7.00	25.00	80.0	18.60
19663 MERKIN, DAVID	SQUARE INC CLASS A COM	В	11,355.54	32.00	7.00	25.00	80.0	18.60
19663 MERKIN, DAVID	U S SILICA HOLDINGS INC	В	10,187.70	32.00	7.00	25.00	80.0	18.60
19663 MERKIN, DAVID	SQUARE INC CLASS A COM	S	-11,535.00	32.00	7.00	25.00	80.0	18.60
19663 MERKIN, DAVID	DENALI THERAPEUTICS INC COM	В	4,734.28	32.00	7.00	25.00	80.0	18.60
19663 MERKIN, DAVID	U S SILICA HOLDINGS INC	В	6,511.68	32.00	7.00	25.00	80.0	18.60
19663 MERKIN, DAVID	SCHLUMBERGER LTD	S	-8,677.50	32.00	7.00	25.00	80.0	18.60
19663 MERKIN, DAVID		В	3,052.00	32.00	7.00	25.00	80.0	18.60
19671 GERBER, DEBRA	SOUARE INC CLASS A COM	В	11,355.54	32.00	7.00	25.00	80.0	18.60
	-	S		32.00		25.00	80.0	18.60
	-	В		32.00		25.00	80.0	18.60
		S		32.00		25.00	80.0	18.60
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	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		<u>B</u>	ROKER TRANS	ACTIONS					
11/30/2017 QGI	EGORY: STOCKS								
	W019713 MERKIN TRUST	C, SAMUEL ALLERGAN PLC	В	13,797.60	32.00	7.00	25.00	80.0	18.60
11/30/2017 QG	W019713 MERKIN TRUST	C, SAMUEL SMART GLOBAL HLDGS INC S	SHS B	17,760.02	32.00	7.00	25.00	80.0	18.60
12/01/2017 QG	W019713 MERKIN TRUST	, SAMUEL NAVIOS MARITIME MIDSTREA	AM PARTNERS B	6,375.44	32.00	7.00	25.00	80.0	18.60
12/01/2017 QG	W019713 MERKIN TRUST	, SAMUEL SMART GLOBAL HLDGS INC S	SHS S	-6,172.86	32.00	7.00	25.00	80.0	18.60
12/05/2017 QG	W019713 MERKIN TRUST	C, SAMUEL ALLERGAN PLC	В	36,346.04	32.00	7.00	25.00	80.0	18.60
12/05/2017 QG	W019713 MERKIN TRUST	C, SAMUEL ALLERGAN PLC	S	-36,726.86	32.00	7.00	25.00	80.0	18.60
12/06/2017 QG	W019713 MERKIN TRUST	C, SAMUEL ALLERGAN PLC	S	-16,625.10	32.00	7.00	25.00	80.0	18.60
12/11/2017 QG	W019713 MERKIN TRUST	C, SAMUEL SMART GLOBAL HLDGS INC S	SHS B	12,500.27	32.00	7.00	25.00	80.0	18.60
12/12/2017 QG	W019713 MERKIN TRUST	C, SAMUEL SMART GLOBAL HLDGS INC S	SHS B	18,490.65	32.00	7.00	25.00	80.0	18.60
12/13/2017 QG	W019713 MERKIN TRUST	C, SAMUEL SMART GLOBAL HLDGS INC S	SHS S	-43,362.41	34.00	7.00	27.00	80.0	20.20
12/18/2017 QG	W019713 MERKIN TRUST	C, SAMUEL HI-CRUSH PARTNERS LP COM	UNIT PARTN B	13,998.79	32.00	7.00	25.00	80.0	18.60
12/19/2017 QG	W019713 MERKIN TRUST	C, SAMUEL HI-CRUSH PARTNERS LP COM	UNIT PARTN B	2,587.21	32.00	7.00	25.00	80.0	18.60
12/20/2017 QG	W019713 MERKIN TRUST	C, SAMUEL ALLERGAN PLC	В	25,235.25	32.00	7.00	25.00	80.0	18.60
12/21/2017 QG	W019713 MERKIN TRUST	C, SAMUEL ALLERGAN PLC	S	-25,548.16	32.00	7.00	25.00	80.0	18.60
12/21/2017 QG	W019713 MERKIN TRUST	C, SAMUEL CREDIT SUISSE AG NASSAU	BRH VELOCIT B	12,559.58	32.00	7.00	25.00	80.0	18.60
12/21/2017 QG	W019713 MERKIN TRUST	C, SAMUEL CREDIT SUISSE AG NASSAU	BRH VELOCIT S	-6,031.20	32.00	7.00	25.00	80.0	18.60
12/22/2017 QG	W019713 MERKIN TRUST	C, SAMUEL BITCOIN INVT TR SHS	S	-1,764.61	32.00	7.00	25.00	80.0	18.60
12/22/2017 QG	W019713 MERKIN TRUST	C, SAMUEL BITCOIN INVT TR SHS	В	1,288.00	32.00	7.00	25.00	80.0	18.60
12/22/2017 QG	W019713 MERKIN TRUST	C, SAMUEL CREDIT SUISSE AG NASSAU	BRH VELOCIT S	-7,111.87	32.00	7.00	25.00	80.0	18.60
12/04/2017 QG	W019739 MERKIN TRUST	C, ESTHER RYB EDUCATION INC SHS AM	MERICAN DEPOB	5,304.00	32.00	7.00	25.00	80.0	18.60
12/05/2017 QG	W019739 MERKIN TRUST	C, ESTHER RYB EDUCATION INC SHS AM	MERICAN DEPOS	-5,409.00	32.00	7.00	25.00	80.0	18.60
12/18/2017 QG	W019739 MERKIN TRUST	, ESTHER FREEPORT MCMORAN INC	S	-5,208.03	32.00	7.00	25.00	80.0	18.60
12/18/2017 QG	W019762 BROD TRUST,	ZOE TWITTER INC	S	-9,202.96	32.00	7.00	25.00	80.0	18.60
12/13/2017 QG	W019788 MERKIN TRUST	, SOPHIA FREEPORT MCMORAN INC	S	-6,438.00	32.00	7.00	25.00	80.0	18.60
12/19/2017 QG	W019788 MERKIN TRUST	C, SOPHIA VIPSHOP HLDGS LTD SPONS	ADR ISIN#US B	4,220.97	32.00	7.00	25.00	80.0	18.60
12/04/2017 QG	W019796 MERKIN TRUST	, JENNY ALLERGAN PLC	В	9,145.40	32.00	7.00	25.00	80.0	18.60
12/18/2017 QG	W019796 MERKIN TRUST	, JENNY FREEPORT MCMORAN INC	S	-5,208.03	32.00	7.00	25.00	80.0	18.60
12/18/2017 QG	W019796 MERKIN TRUST	, JENNY TWITTER INC	S	-14,103.24	32.00	7.00	25.00	80.0	18.60
12/21/2017 QG	W019796 MERKIN TRUST	, JENNY FREEPORT MCMORAN INC	S	-10,857.00	32.00	7.00	25.00	80.0	18.60
12/13/2017 QG	W019804 MERKIN TRUST	, YAEL FREEPORT MCMORAN INC	S	-6,438.00	32.00	7.00	25.00	80.0	18.60
12/19/2017 QG	W019804 MERKIN TRUST	, YAEL VIPSHOP HLDGS LTD SPONS	ADR ISIN#US B	4,220.97	32.00	7.00	25.00	80.0	18.60
12/21/2017 QG	W019804 MERKIN TRUST	, YAEL FREEPORT MCMORAN INC	S	-10,857.00	32.00	7.00	25.00	80.0	18.60
11/29/2017 QG	W019887 LIEBERMAN, R	ROBERT APPLE INC	S	-338,700.20	75.00	7.00	68.00	80.0	53.00
12/05/2017 QG	W019887 LIEBERMAN, R	OBERT BANK OF AMERICA CORP	В	146,948.00	125.00	7.00	118.00	80.0	93.00
12/05/2017 QG	W028763 MERKIN, SOLO	OMON FUNKO INC CL A	S	-21,049.66	34.00	7.00	27.00	80.0	20.20
12/05/2017 QG	W028763 MERKIN, SOLO	OMON U S SILICA HOLDINGS INC	В	16,965.20	34.00	7.00	27.00	80.0	20.20
12/06/2017 QG	W028763 MERKIN, SOLO	OMON U S SILICA HOLDINGS INC	В	4,620.90	32.00	7.00	25.00	80.0	18.60
11/30/2017 QG	W028771 MERKIN, SOLO	OMON FUNKO INC CL A	В	4,492.60	32.00	7.00	25.00	80.0	18.60
11/30/2017 QG	W028771 MERKIN, SOLO	OMON FUNKO INC CL A	В	-4,492.60	-32.00	-7.00	-25.00	80.0	-18.60 C
11/30/2017 QG	W028771 MERKIN, SOLO	OMON FUNKO INC CL A	В	4,492.60	32.00	7.00	25.00	80.0	18.60

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		COLLEGE OF THE PARTY		·	CT EXPTMC	NET		DDOMES
TRADE DATE	CLIENT	INVESTMENT I	INVESTME B/S AMOUNT		CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		DDOVED TO	NICA CITTONIC					
		BROKER TRA	ANSACTIONS					
	regory: stocks							
_	GW028771 MERKIN, SOLOMON	FUNKO INC CL A	S -2,47		7.00			18.
_	GW028771 MERKIN, SOLOMON	FUNKO INC CL A	S -19,84		7.00	27.00		20.
	GW028771 MERKIN, SOLOMON	HELIOS & MATHESON ANALYTICS INC COM	,		7.00	25.00		18.
	GW028771 MERKIN, SOLOMON	HELIOS & MATHESON ANALYTICS INC COM			7.00	25.00		18.
~	GW028771 MERKIN, SOLOMON	HELIOS & MATHESON ANALYTICS INC COM			7.00	29.00		21.
2/13/2017 QC	GW028771 MERKIN, SOLOMON	HELIOS & MATHESON ANALYTICS INC COM			7.00	31.00	80.0	23.
		* STOCKS SUBTOTAL	* \$536,64	1.81 \$16,331.00	\$3,017.00	\$13,314.00		\$10,047
RODUCT CAT	regory: Options							
2/14/2017 QC	GV890403 RF LAFFERTY & CO	CALL 100 TESLA INC EXP 12-15-17 @33	B 2,51	0.00 32.00	7.00	25.00	80.0	18.
2/14/2017 QC	GV890403 RF LAFFERTY & CO	CALL 100 TESLA INC EXP 12-15-17 @33	B -2,51	0.00 -32.00	-7.00	-25.00	80.0	-18.
L/30/2017 QC	GW001463 MERKIN VENTURE	CALL 100 TIME WARNER INC NEW COM NE	B 4,55	0.00 32.00	7.00	25.00	80.0	18
2/04/2017 QC	GW001463 MERKIN VENTURE	CALL 100 OVERSTOCK COM INC DEL COM	S -2,04	0.00 32.00	7.00	25.00	80.0	18
2/07/2017 QC	GW001463 MERKIN VENTURE	PUT 100 TESLA INC EXP 06-15-18 @345	B 5,24	0.00 32.00	7.00	25.00	80.0	18
2/08/2017 QC	GW001463 MERKIN VENTURE	PUT 100 TESLA INC EXP 06-15-18 @345	B 5,29	0.00 32.00	7.00	25.00	80.0	18
:/11/2017 QC	GW001463 MERKIN VENTURE	PUT 100 TESLA INC EXP 06-15-18 @345	в 4,75	0.00 32.00	7.00	25.00	80.0	18
2/14/2017 QC	GW001463 MERKIN VENTURE	CALL 100 TESLA INC EXP 12-15-17 @33	B 2,51	0.00 32.00	7.00	25.00	80.0	18
2/15/2017 QC	GW001463 MERKIN VENTURE	CALL 100 TESLA INC EXP 12-15-17 @33	S -2,18	8.58 32.00	7.00	25.00	80.0	18
2/20/2017 QC	GW001463 MERKIN VENTURE	CALL 100 ALLERGAN PLC EXP 01-19-18	B 4,11	6.99 32.00	7.00	25.00	80.0	18
2/26/2017 QC	GW001463 MERKIN VENTURE	CALL 100 TIME WARNER INC NEW COM NE	S -2,74	5.00 32.00	7.00	25.00	80.0	18
2/14/2017 QC	GW001471 MERKIN, JONATHAN	CALL 100 TESLA INC EXP 12-15-17 @33	в 1,25	5.00 32.00	7.00	25.00	80.0	18
2/15/2017 QG	GW001471 MERKIN, JONATHAN	CALL 100 TESLA INC EXP 12-15-17 @33	S -1,17	0.00 32.00	7.00	25.00	80.0	18
2/01/2017 QC	GW019598 MERKIN, SOLOMON	CALL 100 TWITTER INC COM EXP 12-01-	S -4,39	5.04 33.00	7.00	26.00	80.0	19
2/04/2017 QC	GW019598 MERKIN, SOLOMON	CALL 100 ALLERGAN PLC EXP 12-29-17	в 7,09	0.00 32.00	7.00	25.00	80.0	18
2/05/2017 QC	GW019598 MERKIN, SOLOMON	CALL 100 U S SILICA HLDGS INC COM E	в 1,52	0.00 32.00	7.00	25.00	80.0	18
_	GW019598 MERKIN, SOLOMON	CALL 100 ALLERGAN PLC EXP 12-29-17			7.00		80.0	18
	GW019598 MERKIN, SOLOMON	CALL 100 U S SILICA HLDGS INC COM E		0.00 28.00	7.00		80.0	15
_	GW019598 MERKIN, SOLOMON	CALL 100 U S SILICA HLDGS INC COM E		0.00 28.00	7.00		80.0	15
	GW019598 MERKIN, SOLOMON	CALL 100 U S SILICA HLDGS INC COM E			7.00			18
	GW019598 MERKIN, SOLOMON	CALL 100 ALLERGAN PLC EXP 12-29-17	,		7.00	25.00		18
	GW019598 MERKIN, SOLOMON	CALL 100 ALIBABA GROUP HLDG LTD SPO			7.00	25.00		18
	GW019598 MERKIN, SOLOMON	CALL 100 ALIBABA GROUP HLDG LTD SPO			7.00		80.0	18
	GW019598 MERKIN, SOLOMON	CALL 100 ALIBABA GROUP HLDG LTD SPO			7.00	25.00		18
-	GW019598 MERKIN, SOLOMON GW019598 MERKIN, SOLOMON	CALL 100 ALIBABA GROUP HLDG LTD SPO			7.00	25.00		18
	GW019598 MERKIN, SOLOMON	CALL 100 TWITTER INC COM EXP 12-22-			7.00	25.00		18
	GW019598 MERKIN, SOLOMON	CALL 100 TWITTER INC COM EXP 12-29-			7.00	25.00		18
	GW019598 MERKIN, SOLOMON	CALL 100 U S SILICA HLDGS INC COM E			7.00	25.00		18
	GW019598 MERKIN, SOLOMON	CALL 100 ALLERGAN PLC EXP 01-19-18			7.00			18.
	GW019598 MERKIN, SOLOMON	CALL 100 ALIBABA GROUP HLDG LTD SPO			7.00			18.
2/21/2017 QC	GW019598 MERKIN, SOLOMON	CALL 100 ALIBABA GROUP HLDG LTD SPO	S -10,24	4.97 34.00	7.00	27.00	80.0	20.

TRADE DATE	CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS										
PRODUCT CAT	regory: Option	rs								
12/21/2017 00	GW019598 MERKIN	 , SOLOMON	CALL 100 U S SI	LICA HLDGS INC COM E S	-3,874.00	32.00	7.00	25.00	80.0	18.60
	GW019598 MERKIN		CALL 100 ALIBAB	A GROUP HLDG LTD SPO B	3,240.00	32.00	7.00	25.00	80.0	18.60
12/28/2017 QC	GW019598 MERKIN	, SOLOMON	PUT 100 TESLA I	NC EXP 04-20-18 @345 B	4,668.33	32.00	7.00	25.00	80.0	18.60
11/29/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 ALIBAB	A GROUP HLDG LTD SPO B	15,355.69	32.00	7.00	25.00	80.0	18.60
11/29/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 NVIDIA	CORP COM EXP 12-08-B	13,030.00	34.00	7.00	27.00	80.0	20.20
11/30/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 ALLERG	AN PLC EXP 01-19-18 B	9,880.00	32.00	7.00	25.00	80.0	18.60
11/30/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 ALIBAB	A GROUP HLDG LTD SPO B	4,520.75	32.00	7.00	25.00	80.0	18.60
11/30/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 NVIDIA	CORP COM EXP 12-08-S	-16,310.00	36.00	7.00	29.00	80.0	21.80
11/30/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 TWITTE	R INC COM EXP 12-01- B	396.00	36.00	7.00	29.00	80.0	21.80
12/01/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 ALIBAB	A GROUP HLDG LTD SPO S	-4,360.00	32.00	7.00	25.00	80.0	18.60
12/01/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 CYBERA	RK SOFTWARE LTD SHS S	-4,734.03	32.00	7.00	25.00	80.0	18.60
12/01/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 TWITTE	R INC COM EXP 12-01-S	-6,747.00	40.00	7.00	33.00	80.0	25.00
12/04/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 ALLERG	AN PLC EXP 01-19-18 B	1,860.00	32.00	7.00	25.00	80.0	18.60
12/04/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 ALIBAB	A GROUP HLDG LTD SPO B	2,820.00	32.00	7.00	25.00	80.0	18.60
12/04/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 ALIBAB	A GROUP HLDG LTD SPO B	7,620.00	34.00	7.00	27.00	80.0	20.20
12/04/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 NVIDIA	CORP COM EXP 12-15- B	5,435.01	32.00	7.00	25.00	80.0	18.60
12/05/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 NVIDIA	CORP COM EXP 12-15- S	-6,155.01	32.00	7.00	25.00	80.0	18.60
12/06/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 ALIBAB	A GROUP HLDG LTD SPO S	-5,540.00	33.00	7.00	26.00	80.0	19.40
12/06/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 U S SI	LICA HLDGS INC COM E B	2,450.00	32.00	7.00	25.00	80.0	18.60
12/06/2017 QC	GW019606 MERKIN	FAMILY TRUST,	PUT 100 TESLA I	NC EXP 04-20-18 @345 B	20,560.00	32.00	7.00	25.00	80.0	18.60
12/06/2017 QC	GW019606 MERKIN	FAMILY TRUST,	PUT 100 TESLA I	NC EXP 04-20-18 @250 S	-2,700.00	32.00	7.00	25.00	80.0	18.60
12/07/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 ALIBAB	A GROUP HLDG LTD SPO S	-3,550.00	32.00	7.00	25.00	80.0	18.60
12/07/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 ALIBAB	A GROUP HLDG LTD SPO S	-3,140.00	32.00	7.00	25.00	80.0	18.60
12/07/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 SCHLUM	BERGER LTD COM ISIN# B	5,229.98	32.00	7.00	25.00	80.0	18.60
12/07/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 SCHLUM	BERGER LTD COM ISIN# B	3,825.00	32.00	7.00	25.00	80.0	18.60
12/07/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 U S SI	LICA HLDGS INC COM E S	-1,850.00	32.00	7.00	25.00	80.0	18.60
12/07/2017 QC	GW019606 MERKIN	FAMILY TRUST,	PUT 100 TESLA I	NC EXP 04-20-18 @345 B	9,550.00	32.00	7.00	25.00	80.0	18.60
12/08/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 U S SI	LICA HLDGS INC COM E S	-1,260.00	32.00	7.00	25.00	80.0	18.60
12/11/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 ALLERG	AN PLC EXP 01-19-18 S	-11,605.00	33.00	7.00	26.00	80.0	19.40
12/11/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 SCHLUM	BERGER LTD COM ISIN# S	-6,514.97	32.00	7.00	25.00	80.0	18.60
12/11/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 SCHLUM	BERGER LTD COM ISIN# S	-4,870.00	32.00	7.00	25.00	80.0	18.60
12/11/2017 QC	GW019606 MERKIN	FAMILY TRUST,	PUT 100 TESLA I	NC EXP 04-20-18 @345 B	8,110.00	32.00	7.00	25.00	80.0	18.60
12/12/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 ALIBAB	A GROUP HLDG LTD SPO B	8,585.00	32.00	7.00	25.00	80.0	18.60
12/12/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 OKTA I	NC CL A EXP 01-19-18 B	5,190.03	32.00	7.00	25.00	80.0	18.60
12/12/2017 QC	GW019606 MERKIN	FAMILY TRUST,	PUT 100 TESLA I	NC EXP 04-20-18 @360 B	12,684.00	32.00	7.00	25.00	80.0	18.60
12/14/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 ALIBAB	A GROUP HLDG LTD SPO B	2,870.00	32.00	7.00	25.00	80.0	18.60
12/14/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 OKTA I	NC CL A EXP 01-19-18 S	-4,970.00	32.00	7.00	25.00	80.0	18.60
12/15/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 FIREEY	E INC COM EXP 12-29-S	-1,896.00	32.00	7.00	25.00	80.0	18.60
12/15/2017 QC	GW019606 MERKIN	FAMILY TRUST,	PUT 100 TESLA I	NC EXP 04-20-18 @260 S	-2,440.00	32.00	7.00	25.00	80.0	18.60
12/18/2017 QC	GW019606 MERKIN	FAMILY TRUST,	CALL 100 FIREEY	E INC COM EXP 01-05-S	-1,700.00	32.00	7.00	25.00	80.0	18.60

INVESTMENT

GROSS

CLEARING

NET

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BROKER

TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		BROK	ER TRANSA	CTIONS					
מסטוניי פאי	TEGORY: OPT	TONG.							
		<u>RIONS</u> RKIN FAMILY TRUST, CALL 100 U S SILICA HLDGS I	NC COM E D	4,580.40	32.00	7.00	25.00	80.0	18.60
		KIN FAMILY TRUST, CALL 100 0 5 SILICA HLDGS I.		2,720.00	32.00	7.00	25.00	80.0	18.60
	GW019600 MER GW019622 MER			17,549.36	32.00	7.00	25.00	80.0	18.60
	GW019622 MER			-4,872.00	33.00	7.00	26.00	80.0	19.40
	GW019622 MER			7,233.20	32.00	7.00	25.00	80.0	18.60
11/30/2017 Q				-18,914.97	36.00	7.00	29.00	80.0	21.80
11/30/2017 Q		•		1,190.00	34.00	7.00	27.00	80.0	20.20
	GW019622 MER	•		3,640.00	32.00	7.00	25.00	80.0	18.60
	GW019622 MER			9,090.00	34.00	7.00	27.00	80.0	20.20
	GW019622 MER			-4,300.00	32.00	7.00	25.00	80.0	18.60
	GW019622 MER GW019622 MER			1,295.00	33.00	7.00	26.00	80.0	19.40
	GW019622 MER GW019622 MER			-10,830.08	34.00	7.00	27.00	80.0	20.20
	GW019622 MER GW019622 MER	•		7,410.00	32.00	7.00	25.00	80.0	18.60
	GW019622 MER GW019622 MER	,		-3,420.00	32.00	7.00	25.00	80.0	18.60
	GW019622 MER GW019622 MER	•		-7,597.20	32.00	7.00	25.00	80.0	18.60
	GW019622 MER GW019622 MER			7,091.65	32.00	7.00	25.00	80.0	18.60
	GW019622 MER GW019622 MER	,		940.00	32.00	7.00	25.00	80.0	18.60
~	GW019622 MER GW019622 MER	•		-13,370.00	33.00	7.00	26.00	80.0	19.40
	GW019622 MER GW019622 MER	•		-5,032.95	34.00	7.00	27.00	80.0	20.20
	GW019622 MER GW019622 MER	,		3,200.00	32.00	7.00	25.00	80.0	18.60
				3,200.00				80.0	
	GW019622 MER				32.00	7.00	25.00	80.0	18.60
	GW019622 MER			2,052.00	32.00	7.00	25.00		18.60
	GW019622 MER			-2,375.00	32.00	7.00	25.00	80.0	18.60
	GW019622 MER			-1,880.00	32.00	7.00	25.00	80.0	18.60
	GW019622 MER			-15,980.00	36.00	7.00	29.00	80.0	21.80
	GW019622 MER			-3,750.00	32.00	7.00	25.00	80.0	18.60
	GW019622 MER			-2,640.00	32.00	7.00	25.00	80.0	18.60
	GW019622 MER	,		-8,449.95	36.00	7.00	29.00	80.0	21.80
	GW019622 MER			9,325.00	32.00	7.00	25.00	80.0	18.60
12/12/2017 Q				9,667.44	32.00	7.00	25.00	80.0	18.60
	GW019622 MER			1,800.00	32.00	7.00	25.00	80.0	18.60
12/12/2017 Q				5,190.03	32.00	7.00	25.00	80.0	18.60
12/12/2017 Q				13,800.00	32.00	7.00	25.00		18.60
~	GW019622 MER	•		8,456.00	32.00	7.00	25.00		18.60
12/14/2017 Q				5,850.00	34.00	7.00		80.0	20.20
12/14/2017 Q				-5,959.98	32.00	7.00	25.00	80.0	18.60
	GW019622 MER			6,275.00	32.00	7.00	25.00	80.0	18.60
	GW019622 MER			-5,471.45	32.00	7.00	25.00	80.0	18.60
	GW019622 MER			3,950.00	32.00	7.00	25.00	80.0	18.60
12/18/2017 Q	GWU19622 MER	KIN, SHARON CALL 100 NUTANIX INC COM EX	ь 01-19-В	2,040.00	32.00	7.00	25.00	80.0	18.60

TRADE DATE CLIENT	INVESTMENT B/	INVESTMENT S AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKER TRAN	SACTIONS					
PRODUCT CATEGORY: OPTIONS							
12/19/2017 QGW019622 MERKIN, SHARON	CALL 100 U S SILICA HLDGS INC COM E B	4,580.40	32.00	7.00	25.00	80.0	18.60
12/20/2017 QGW019622 MERKIN, SHARON	CALL 100 ALLERGAN PLC EXP 01-19-18 B	8,233.98	32.00	7.00	25.00	80.0	18.60
12/20/2017 QGW019622 MERKIN, SHARON	CALL 100 NUTANIX INC COM EXP 01-19- B	3,030.00	32.00	7.00	25.00	80.0	18.60
12/20/2017 QGW019622 MERKIN, SHARON	CALL 100 U S SILICA HLDGS INC COM E B	3,120.03	32.00	7.00	25.00	80.0	18.60
12/21/2017 QGW019622 MERKIN, SHARON	CALL 100 U S SILICA HLDGS INC COM E S	-1,937.00	32.00	7.00	25.00	80.0	18.60
12/26/2017 QGW019622 MERKIN, SHARON	PUT 100 TESLA INC EXP 04-20-18 @250 S	-2,540.00	32.00	7.00	25.00	80.0	18.60
12/27/2017 QGW019622 MERKIN, SHARON	CALL 100 FACEBOOK INC CL A EXP 02-1 B	2,000.00	32.00	7.00	25.00	80.0	18.60
12/27/2017 QGW019622 MERKIN, SHARON	PUT 100 TESLA INC EXP 04-20-18 @345 B	9,155.00	32.00	7.00	25.00	80.0	18.60
12/28/2017 QGW019622 MERKIN, SHARON	PUT 100 TESLA INC EXP 04-20-18 @345 B	9,336.66	32.00	7.00	25.00	80.0	18.60
11/30/2017 QGW019648 MERKIN, SOLOMON	CALL 100 JP MORGAN CHASE & CO COM I S	-310.00	32.00	7.00	25.00	80.0	18.60
11/30/2017 QGW019663 MERKIN, DAVID	CALL 100 JP MORGAN CHASE & CO COM I S	-620.00	32.00	7.00	25.00	80.0	18.60
12/05/2017 QGW019697 KIFFEL, ELI	CALL 100 ALLERGAN PLC EXP 12-29-17 B	5,673.32	32.00	7.00	25.00	80.0	18.60
12/05/2017 QGW019697 KIFFEL, ELI	CALL 100 ALLERGAN PLC EXP 12-29-17 S	-6,077.76	32.00	7.00	25.00	80.0	18.60
12/14/2017 QGW019713 MERKIN TRUST, SAMU	EL CALL 100 TESLA INC EXP 12-15-17 @33 B	2,510.00	32.00	7.00	25.00	80.0	18.60
12/15/2017 QGW019713 MERKIN TRUST, SAMU	EL CALL 100 TESLA INC EXP 12-15-17 @33 S	-2,340.00	32.00	7.00	25.00	80.0	18.60
11/29/2017 QGW019887 LIEBERMAN, ROBERT	CALL 100 APPLE INC COM EXP 01-19-18 B	3,760.00	65.00	7.00	58.00	80.0	45.00
	* OPTIONS SUBTOTAL *	\$136,583.20	\$4,075.00	\$875.00	\$3,200.00		\$2,385.00
	*** BROKER TRANSACTIONS TOTAL ***	\$673,225.01	\$20,406.00	\$3,892.00	\$16,514.00	,	\$12,432.80
	ADJUST	<u>ients</u>					
12/31/2017	ADVANCE: ADVANCE	0.00	0.00	0.00	0.00		-5,000.00
12/31/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00		-20.00
12/31/2017	OTHER: OTHER	0.00	0.00	0.00	0.00		240.00
12/31/2017	OTHER: OTHER	0.00	0.00	0.00	0.00		-8.00
12/31/2017	OTHER: OTHER-REPAYMENT OF LOAN	0.00	0.00	0.00	0.00		-1,000.00
12/31/2017	OTHER: OTHER	0.00	0.00	0.00	0.00		-156.80
12/31/2017	OTHER: OTHER-QGV896756	0.00	0.00	0.00	0.00		-40.59
12/31/2017	OTHER: OTHER-STATE REGIST	0.00	0.00	0.00	0.00		-432.00
12/31/2017	OTHER: REAL TICK	0.00	0.00	0.00	0.00		-500.00
12/31/2017	OTHER: EXCHANGE FEES	0.00	0.00	0.00	0.00		-54.44
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	1	\$-6,971.83

# BROKER COMMISSION TOTALS Broker Transactions Split Transactions Override Transactions Adjustments Payroll Draw Base Salary Prior Period Balance FINRA Assessment SIPC Assessment Check Amount Year-to-date Earnings

\$12,432.80

\$-6,971.83

\$0.00

\$0.00

\$0.00 \$0.00

\$0.00

\$-25.51 \$-51.02

\$5,384.44

\$47,225.01