



# COMMISSION STATEMENT

April 16, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: CHRISTOPHER MILGROOM</u></b>								
04/04/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07468200598	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	1.22	0.00	1.22	15.0	0.18
04/04/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07468200633	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	6.87	0.00	6.87	15.0	1.03
04/04/2018	***-**-2111 - SPECTRUM TOURS ACCOUNT #: 07468201048	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	0.09	0.00	0.09	15.0	0.01
04/04/2018	***-**-7574 - G-MEN CORP ACCOUNT #: 07468201148	MID CAP GROWTH - R2 BUY 0 Units @0.0000	0.00	0.42	0.00	0.42	15.0	0.06
04/09/2018	***-**-67CL - MILGROOM, ACCOUNT #: 04892189304	AB GLOBAL BOND R BUY 0 Units @0.0000	0.00	2.05	0.00	2.05	15.0	0.31
04/09/2018	***-**-67CL - MILGROOM, ACCOUNT #: 04892189311	AB GLOBAL BOND R BUY 0 Units @0.0000	0.00	0.83	0.00	0.83	15.0	0.12
04/09/2018	***-**-0720 - COCKTAILS DREAMS ACCOUNT #: 04892189770	AB GLOBAL BOND R BUY 0 Units @0.0000	0.00	0.08	0.00	0.08	15.0	0.01
04/01/2018	***-**-6647 - CABLE, ROBERT ACCOUNT #: 07122874988	AIM SELECT REAL ESTATE INCOME FD-CL BUY 0 Units @0.0000	0.00	41.13	0.00	41.13	15.0	6.17
04/01/2018	***-**-6647 - CABLE, ROBERT ACCOUNT #: 07122877590	AIM SELECT REAL ESTATE INCOME FD-CL BUY 0 Units @0.0000	0.00	5.93	0.00	5.93	15.0	0.89
03/31/2018	***-**-1427 - MERCIE, TIFFANY ACCOUNT #: 03207265852	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	5.99	0.00	5.99	15.0	0.90
03/31/2018	***-**-335P - BARBIC, TARA ACCOUNT #: 03207695028	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	12.88	0.00	12.88	15.0	1.93
03/31/2018	***-**-295P - AMEIJEIRAS, OMAR ACCOUNT #: 03207759763	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	1.43	0.00	1.43	15.0	0.21
04/02/2018	***-**-2461 - CAMPBELL, HOPE ACCOUNT #: 00085796907	CAPITAL WORLD GROWTH AND INCOME-529 BUY 2 Units @51.8800	100.00	2.75	0.00	2.75	15.0	0.41
03/31/2018	***-**-07IN - DEBS, ARLENE ACCOUNT #: 07890050677	DEVELOPING MARKETS FUND N BUY 0 Units @0.0000	0.00	24.29	0.00	24.29	15.0	3.64
03/31/2018	***-**-07IN - DEBS, ARLENE ACCOUNT #: 07890050677	DEVELOPING MARKETS FUND N BUY 0 Units @0.0000	0.00	46.21	0.00	46.21	15.0	6.93
03/31/2018	***-**-59IN - DEBS, CARLOS ACCOUNT #: 07890117106	DEVELOPING MARKETS FUND N BUY 0 Units @0.0000	0.00	29.32	0.00	29.32	15.0	4.40



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u> BROKER: CHRISTOPHER MILGROOM (continued) </u></b>								
03/31/2018	***-**-59IN - DEBS, CARLOS	DEVELOPING MARKETS FUND N	0.00	52.00	0.00	52.00	15.0	7.80
	ACCOUNT #: 07890117106	BUY 0 Units @0.0000						
03/31/2018	***-**-729I - DIMON, DANIEL	EQUITY INCOME FUND, INC. A	0.00	0.09	0.00	0.09	15.0	0.01
	ACCOUNT #: 08351052898	BUY 0 Units @0.0000						
03/31/2018	***-**-829I - EGORT, MARC	EQUITY INCOME FUND, INC. A	0.00	14.17	0.00	14.17	15.0	2.13
	ACCOUNT #: 08351558117	BUY 0 Units @0.0000						
03/31/2018	***-**-3804 - LETOURNEAU,	EQUITY INCOME FUND, INC. A	0.00	2.94	0.00	2.94	15.0	0.44
	ACCOUNT #: 08352639846	BUY 0 Units @0.0000						
04/02/2018	***-**-2461 - CAMPBELL, HOPE	EUROPACIFIC GROWTH FUND-529A	100.00	2.75	0.00	2.75	15.0	0.41
	ACCOUNT #: 00085796907	BUY 2 Units @57.4200						
04/02/2018	***-**-2461 - CAMPBELL, HOPE	FUNDAMENTAL INVESTORS-529A	100.00	2.75	0.00	2.75	15.0	0.41
	ACCOUNT #: 00085796907	BUY 2 Units @62.4900						
03/31/2018	***-**-335P - BARBIC, TARA	GLOBAL FUND A	0.00	15.96	0.00	15.96	15.0	2.39
	ACCOUNT #: 03303170356	BUY 0 Units @0.0000						
03/31/2018	***-**-848I - HILLMAN, RICHARD	GLOBAL FUND A	0.00	0.65	0.00	0.65	15.0	0.10
	ACCOUNT #: 03303719641	BUY 0 Units @0.0000						
03/31/2018	***-**-97IR - CRAWFORD, JAMES	GLOBAL FUND A	0.00	2.75	0.00	2.75	15.0	0.41
	ACCOUNT #: 03305198767	BUY 0 Units @0.0000						
03/31/2018	***-**-3804 - LETOURNEAU,	GLOBAL OPPORTUNITIES A	0.00	4.62	0.00	4.62	15.0	0.69
	ACCOUNT #: 02156825863	BUY 0 Units @0.0000						
04/11/2018	***-**-67CL - MILGROOM,	ING ADVANTAGE SELECT	0.00	3.47	0.00	3.47	15.0	0.52
	ACCOUNT #: R096007-ZS	BUY 0 Units @0.0000						
04/11/2018	***-**-67CL - MILGROOM,	ING ADVANTAGE SELECT	0.00	5.71	0.00	5.71	15.0	0.86
	ACCOUNT #: R096023-ZS	BUY 0 Units @0.0000						
04/13/2018	***-**-4209 - DEBS, KEVIN	ING ADVANTAGE SELECT	0.00	13.53	0.00	13.53	15.0	2.03
	ACCOUNT #: R081292-ZS	BUY 0 Units @0.0000						
04/13/2018	***-**-6833 - FANO, DENNIS	ING ADVANTAGE SELECT	0.00	7.59	0.00	7.59	15.0	1.14
	ACCOUNT #: R110847-ZS	BUY 0 Units @0.0000						
03/31/2018	***-**-97IR - CRAWFORD, JAMES	INTERNATIONAL BOND A	0.00	2.44	0.00	2.44	15.0	0.37
	ACCOUNT #: 08806519546	BUY 0 Units @0.0000						
03/31/2018	***-**-07IN - DEBS, ARLENE	INTERNATIONAL BOND FUND N	0.00	17.24	0.00	17.24	15.0	2.59
	ACCOUNT #: 08790092598	BUY 0 Units @0.0000						



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<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: CHRISTOPHER MILGROOM (continued)</u></b>								
03/31/2018	***-**-07IN - DEBS, ARLENE	INTERNATIONAL BOND FUND N	0.00	43.53	0.00	43.53	15.0	6.53
	ACCOUNT #: 08790092598	BUY 0 Units @0.0000						
03/31/2018	***-**-59IN - DEBS, CARLOS	INTERNATIONAL BOND FUND N	0.00	22.61	0.00	22.61	15.0	3.39
	ACCOUNT #: 08790131087	BUY 0 Units @0.0000						
03/31/2018	***-**-59IN - DEBS, CARLOS	INTERNATIONAL BOND FUND N	0.00	50.71	0.00	50.71	15.0	7.61
	ACCOUNT #: 08790131087	BUY 0 Units @0.0000						
03/31/2018	***-**-67CL - MILGROOM,	INTERNATIONAL GROWTH FUND C	0.00	8.05	0.00	8.05	15.0	1.21
	ACCOUNT #: 08270868868	BUY 0 Units @0.0000						
03/31/2018	***-**-67CL - MILGROOM,	INTERNATIONAL GROWTH FUND C	0.00	60.93	0.00	60.93	15.0	9.14
	ACCOUNT #: 08270867328	BUY 0 Units @0.0000						
03/31/2018	***-**-5604 - GINN-REY, AMY	INTERNATIONAL GROWTH FUND N	0.00	2.04	0.00	2.04	15.0	0.31
	ACCOUNT #: 08280148071	BUY 0 Units @0.0000						
03/31/2018	***-**-67CL - MILGROOM,	INTERNATIONAL GROWTH FUND N	0.00	27.27	0.00	27.27	15.0	4.09
	ACCOUNT #: 08280195712	BUY 0 Units @0.0000						
03/31/2018	***-**-67CL - MILGROOM,	INTERNATIONAL GROWTH FUND N	0.00	8.62	0.00	8.62	15.0	1.29
	ACCOUNT #: 08280195723	BUY 0 Units @0.0000						
03/31/2018	***-**-67CL - MILGROOM,	INTERNATIONAL GROWTH FUND N	0.00	0.53	0.00	0.53	15.0	0.08
	ACCOUNT #: 08280206261	BUY 0 Units @0.0000						
03/31/2018	***-**-9679 - ZEDIKER, GARRETT	INTERNATIONAL SMALL COMPANY A	0.00	0.58	0.00	0.58	15.0	0.09
	ACCOUNT #: 08152373195	BUY 0 Units @0.0000						
03/31/2018	***-**-5604 - GINN-REY, AMY	INTERNATIONAL SMALL COMPANY FUND N	0.00	2.66	0.00	2.66	15.0	0.40
	ACCOUNT #: 08180043164	BUY 0 Units @0.0000						
04/01/2018	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	0.00	0.07	0.00	0.07	15.0	0.01
	ACCOUNT #: 07123000351	BUY 0 Units @0.0000						
04/01/2018	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	0.00	7.49	0.00	7.49	15.0	1.12
	ACCOUNT #: 07123000494	BUY 0 Units @0.0000						
04/01/2018	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	0.00	2.54	0.00	2.54	15.0	0.38
	ACCOUNT #: 07123000641	BUY 0 Units @0.0000						
04/02/2018	***-**-67CL - MILGROOM,	INVESCO GLOBAL REAL ESTATE FUND -CL	16.68	0.13	0.00	0.13	15.0	0.02
	ACCOUNT #: 07123000351	BUY 1 Units @12.9500						
04/09/2018	***-**-6927 - DEMAREST, DAVID	JACKSON NATIONAL PERSPECTIVE II	100.00	7.50	0.00	7.50	15.0	1.13
	ACCOUNT #: 1008530439	BUY 0 Units @0.0000						



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: CHRISTOPHER MILGROOM (continued)</u></b>								
04/16/2018	***-**-6647 - CABLE, ROBERT	JANUS MF TRAILS	0.00	46.31	0.00	46.31	15.0	6.95
	ACCOUNT #: 716745689	BUY 0 Units @0.0000						
03/31/2018	***-**-1427 - MERCIE, TIFFANY	MAIN STREET FUND A	0.00	7.40	0.00	7.40	15.0	1.11
	ACCOUNT #: 07000836509	BUY 0 Units @0.0000						
03/31/2018	***-**-335P - BARBIC, TARA	MAIN STREET FUND A	0.00	14.41	0.00	14.41	15.0	2.16
	ACCOUNT #: 07001587259	BUY 0 Units @0.0000						
03/31/2018	***-**-295P - AMEIJEIRAS, OMAR	MAIN STREET FUND A	0.00	2.19	0.00	2.19	15.0	0.33
	ACCOUNT #: 07001661168	BUY 0 Units @0.0000						
03/31/2018	***-**-848I - HILLMAN, RICHARD	MAIN STREET FUND A	0.00	0.80	0.00	0.80	15.0	0.12
	ACCOUNT #: 07002116678	BUY 0 Units @0.0000						
04/01/2018	***-**-67CL - MILGROOM,	MFS MID CAP VALUE FUND-R3	0.00	3.53	0.00	3.53	15.0	0.53
	ACCOUNT #: 00090008712	BUY 0 Units @0.0000						
04/01/2018	***-**-67CL - MILGROOM,	MFS MID CAP VALUE FUND-R3	0.00	26.83	0.00	26.83	15.0	4.02
	ACCOUNT #: 00090009135	BUY 0 Units @0.0000						
04/01/2018	***-**-0720 - COCKTAILS DREAMS	MFS MID CAP VALUE FUND-R3	0.00	0.21	0.00	0.21	15.0	0.03
	ACCOUNT #: 00090010010	BUY 0 Units @0.0000						
04/01/2018	***-**-67CL - MILGROOM,	MFS NEW DISCOVERY VALUE FUND CL C	0.00	7.08	0.00	7.08	15.0	1.06
	ACCOUNT #: 00090000070	BUY 0 Units @0.0000						
04/01/2018	***-**-67CL - MILGROOM,	MFS VALUE FUND-R2	0.00	7.62	0.00	7.62	15.0	1.14
	ACCOUNT #: 00090003553	BUY 0 Units @0.0000						
04/01/2018	***-**-67CL - MILGROOM,	MFS VALUE FUND-R2	0.00	38.73	0.00	38.73	15.0	5.81
	ACCOUNT #: 00090003620	BUY 0 Units @0.0000						
04/01/2018	***-**-0720 - COCKTAILS DREAMS	MFS VALUE FUND-R2	0.00	0.49	0.00	0.49	15.0	0.07
	ACCOUNT #: 00090004144	BUY 0 Units @0.0000						
04/01/2018	***-**-443K - FIGUERAS MD 401K	MFS VALUE FUND-R2	0.00	0.11	0.00	0.11	15.0	0.02
	ACCOUNT #: 00090004003	BUY 0 Units @0.0000						
04/01/2018	***-**-7574 - G-MEN CORP	MFS VALUE FUND-R2	0.00	0.39	0.00	0.39	15.0	0.06
	ACCOUNT #: 00090004301	BUY 0 Units @0.0000						
03/31/2018	***-**-5604 - GINN-REY, AMY	OPP GLBL VALUE FUND R	0.00	2.20	0.00	2.20	15.0	0.33
	ACCOUNT #: 06900007370	BUY 0 Units @0.0000						
03/31/2018	***-**-67CL - MILGROOM,	OPPENHEIMER DEV MARKETS FD CLASS C	0.00	124.57	0.00	124.57	15.0	18.69
	ACCOUNT #: 07872097678	BUY 0 Units @0.0000						



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<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: CHRISTOPHER MILGROOM (continued)</u> </b>								
03/31/2018	***-**-821I - MILGROOM, ACCOUNT #: 07856807174	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 0 Units @0.0000	0.00	0.38	0.00	0.38	15.0	0.06
03/31/2018	***-**-729I - DIMON, DANIEL ACCOUNT #: 07856905151	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 0 Units @0.0000	0.00	0.80	0.00	0.80	15.0	0.12
03/31/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07858396432	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 0 Units @0.0000	0.00	3.54	0.00	3.54	15.0	0.53
03/31/2018	***-**-829I - EGORT, MARC ACCOUNT #: 07859875690	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 0 Units @0.0000	0.00	9.98	0.00	9.98	15.0	1.50
03/31/2018	***-**-9679 - ZEDIKER, GARRETT ACCOUNT #: 07861840631	OPPENHEIMER DEVELOPING MARKETS CLAS BUY 0 Units @0.0000	0.00	0.92	0.00	0.92	15.0	0.14
03/31/2018	***-**-9679 - ZEDIKER, GARRETT ACCOUNT #: 05005620527	OPPENHEIMER DISCOVERY CLASS A BUY 0 Units @0.0000	0.00	1.38	0.00	1.38	15.0	0.21
03/31/2018	***-**-9679 - ZEDIKER, GARRETT ACCOUNT #: 01952600862	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	0.00	1.10	0.00	1.10	15.0	0.17
03/31/2018	***-**-3804 - LETOURNEAU, ACCOUNT #: 01952878018	OPPENHEIMER INTERNATIONAL DIVERSIFI BUY 0 Units @0.0000	0.00	3.06	0.00	3.06	15.0	0.46
03/31/2018	***-**-4829 - EGORT, MARC ACCOUNT #: 02512289694	OPPENHEIMER SMALL & MID CAP VALUE F BUY 0 Units @0.0000	0.00	12.79	0.00	12.79	15.0	1.92
04/16/2018	***-**-67CL - MILGROOM, ACCOUNT #: 07858396432	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	59.68	0.00	59.68	15.0	8.95
04/02/2018	***-**-57IE - NIELSEN, GERT ACCOUNT #: 00287535582	PUTNAM ASSET ALLOC GROWTH-A BUY 0 Units @0.0000	0.00	0.82	0.00	0.82	15.0	0.12
04/02/2018	***-**-32IE - NIELSEN, GERT ACCOUNT #: 00287599362	PUTNAM ASSET ALLOC GROWTH-A BUY 0 Units @0.0000	0.00	0.82	0.00	0.82	15.0	0.12
04/02/2018	***-**-57IE - NIELSEN, GERT ACCOUNT #: 00287535582	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	0.75	0.00	0.75	15.0	0.11
04/02/2018	***-**-32IE - NIELSEN, GERT ACCOUNT #: 00287599362	PUTNAM EQUITY INCOME FUND-A BUY 0 Units @0.0000	0.00	0.75	0.00	0.75	15.0	0.11
04/02/2018	***-**-948I - JOHNSON, ACCOUNT #: 00370423037	PUTNAM INVESTORS FUND-A BUY 0 Units @0.0000	0.00	2.97	0.00	2.97	15.0	0.45
04/02/2018	***-**-948I - JOHNSON, ACCOUNT #: 00551324878	PUTNAM INVESTORS FUND-A BUY 0 Units @0.0000	0.00	0.90	0.00	0.90	15.0	0.14



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<b><u> OVERRIDE TRANSACTIONS (continued) </u></b>								
<b><u>BROKER: CHRISTOPHER MILGROOM (continued)</u></b>								
04/02/2018	***-**-525P - LOYOLA, GILBERTO	PUTNAM INVESTORS FUND-A	0.00	0.27	0.00	0.27	15.0	0.04
	ACCOUNT #: 00282475603	BUY 0 Units @0.0000						
04/02/2018	***-**-203I - VERBURG, JOHN	PUTNAM INVESTORS FUND-A	0.00	14.34	0.00	14.34	15.0	2.15
	ACCOUNT #: 00313014737	BUY 0 Units @0.0000						
04/02/2018	***-**-12IR - JONGAKIEM,	PUTNAM INVESTORS FUND-A	0.00	1.69	0.00	1.69	15.0	0.25
	ACCOUNT #: 00314170955	BUY 0 Units @0.0000						
04/02/2018	***-**-203I - VERBURG, JOHN	PUTNAM INVESTORS FUND-A	0.00	2.87	0.00	2.87	15.0	0.43
	ACCOUNT #: 00550314209	BUY 0 Units @0.0000						
03/31/2018	***-**-5604 - GINN-REY, AMY	QUEST CAPITAL VALUE FUND, INC. N	0.00	1.88	0.00	1.88	15.0	0.28
	ACCOUNT #: 08380118041	BUY 0 Units @0.0000						
03/31/2018	***-**-07IN - DEBS, ARLENE	QUEST CAPITAL VALUE FUND, INC. N	0.00	20.04	0.00	20.04	15.0	3.01
	ACCOUNT #: 08380024233	BUY 0 Units @0.0000						
03/31/2018	***-**-07IN - DEBS, ARLENE	QUEST CAPITAL VALUE FUND, INC. N	0.00	49.85	0.00	49.85	15.0	7.48
	ACCOUNT #: 08380024233	BUY 0 Units @0.0000						
03/31/2018	***-**-59IN - DEBS, CARLOS	QUEST CAPITAL VALUE FUND, INC. N	0.00	30.48	0.00	30.48	15.0	4.57
	ACCOUNT #: 08380043758	BUY 0 Units @0.0000						
03/31/2018	***-**-59IN - DEBS, CARLOS	QUEST CAPITAL VALUE FUND, INC. N	0.00	60.76	0.00	60.76	15.0	9.11
	ACCOUNT #: 08380043758	BUY 0 Units @0.0000						
03/31/2018	***-**-97IR - CRAWFORD, JAMES	QUEST OPPORTUNITY VALUE A	0.00	0.43	0.00	0.43	15.0	0.06
	ACCOUNT #: 02364758930	BUY 0 Units @0.0000						
03/31/2018	***-**-9679 - ZEDIKER, GARRETT	QUEST OPPORTUNITY VALUE A	0.00	0.42	0.00	0.42	15.0	0.06
	ACCOUNT #: 02365534320	BUY 0 Units @0.0000						
03/31/2018	***-**-829I - EGORT, MARC	RISING DIVIDENDS FUND A	0.00	12.59	0.00	12.59	15.0	1.89
	ACCOUNT #: 02252163898	BUY 0 Units @0.0000						
03/31/2018	***-**-29IR - DIMON, DANIEL	RISING DIVIDENDS FUND A	0.00	0.05	0.00	0.05	15.0	0.01
	ACCOUNT #: 02252741959	BUY 0 Units @0.0000						
03/31/2018	***-**-729I - DIMON, DANIEL	RISING DIVIDENDS FUND A	0.00	0.23	0.00	0.23	15.0	0.03
	ACCOUNT #: 02252741981	BUY 0 Units @0.0000						
03/31/2018	***-**-07IN - DEBS, ARLENE	RISING DIVIDENDS FUND, INC. N	0.00	28.97	0.00	28.97	15.0	4.35
	ACCOUNT #: 02350047179	BUY 0 Units @0.0000						
03/31/2018	***-**-07IN - DEBS, ARLENE	RISING DIVIDENDS FUND, INC. N	0.00	62.46	0.00	62.46	15.0	9.37
	ACCOUNT #: 02350047179	BUY 0 Units @0.0000						



# COMMISSION STATEMENT

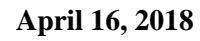
April 16, 2018

RICK SOWERS

BROKER #: STPBR

BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: CHRISTOPHER MILGROOM (continued)</u> </b>								
03/31/2018	***-**-59IN - DEBS, CARLOS	RISING DIVIDENDS FUND, INC. N	0.00	28.93	0.00	28.93	15.0	4.34
	ACCOUNT #: 02350078562	BUY 0 Units @0.0000						
03/31/2018	***-**-59IN - DEBS, CARLOS	RISING DIVIDENDS FUND, INC. N	0.00	69.13	0.00	69.13	15.0	10.37
	ACCOUNT #: 02350078562	BUY 0 Units @0.0000						
04/04/2018	***-**-67CL - MILGROOM,	SMALL CAP VALUE FUND - R2	0.00	2.00	0.00	2.00	15.0	0.30
	ACCOUNT #: 07418403711	BUY 0 Units @0.0000						
04/04/2018	***-**-67CL - MILGROOM,	SMALL CAP VALUE FUND - R2	0.00	0.57	0.00	0.57	15.0	0.09
	ACCOUNT #: 07418403731	BUY 0 Units @0.0000						
04/04/2018	***-**-7574 - G-MEN CORP	SMALL CAP VALUE FUND - R2	0.00	0.05	0.00	0.05	15.0	0.01
	ACCOUNT #: 07418404146	BUY 0 Units @0.0000						
03/31/2018	***-**-07IN - DEBS, ARLENE	SMALL- & MID- CAP VALUE FUND N	0.00	27.11	0.00	27.11	15.0	4.07
	ACCOUNT #: 02690088583	BUY 0 Units @0.0000						
03/31/2018	***-**-07IN - DEBS, ARLENE	SMALL- & MID- CAP VALUE FUND N	0.00	47.10	0.00	47.10	15.0	7.07
	ACCOUNT #: 02690088583	BUY 0 Units @0.0000						
03/31/2018	***-**-59IN - DEBS, CARLOS	SMALL- & MID- CAP VALUE FUND N	0.00	37.52	0.00	37.52	15.0	5.63
	ACCOUNT #: 02690104610	BUY 0 Units @0.0000						
03/31/2018	***-**-59IN - DEBS, CARLOS	SMALL- & MID- CAP VALUE FUND N	0.00	55.44	0.00	55.44	15.0	8.32
	ACCOUNT #: 02690104610	BUY 0 Units @0.0000						
04/02/2018	***-**-2461 - CAMPBELL, HOPE	THE GROWTH FUND OF AMERICA-529A	100.00	2.75	0.00	2.75	15.0	0.41
	ACCOUNT #: 00085796907	BUY 2 Units @51.0900						
04/03/2018	***-**-67CL - MILGROOM,	TRANSAMERICA SMALL/MID CAP VALUE-C	0.00	14.65	0.00	14.65	15.0	2.20
	ACCOUNT #: 07748008823	BUY 0 Units @0.0000						
04/03/2018	***-**-67CL - MILGROOM,	TRANSAMERICA SMALL/MID CAP VALUE-C	0.00	18.30	0.00	18.30	15.0	2.75
	ACCOUNT #: 07748008142	BUY 0 Units @0.0000						
* CHRISTOPHER MILGROOM SUBTOTAL *			\$516.68	\$1,549.80	\$0.00	\$1,549.80		\$232.49
*** OVERRIDE TRANSACTIONS TOTAL ***			\$516.68	\$1,549.80	\$0.00	\$1,549.80		\$232.49



BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
						<b><u>BROKER COMMISSION TOTALS</u></b>			
						Broker Transactions		\$0.00	
						Split Transactions		\$0.00	
						Override Transactions		\$232.49	
						Adjustments		\$0.00	
						Payroll Draw		\$0.00	
						Base Salary		\$0.00	
						Prior Period Balance		\$0.00	
						FINRA Assessment		\$0.00	
						SIPC Assessment		\$0.00	
						Net Commission Earned		\$232.49	
						Year-to-date Earnings		\$1,437.14	