



COMMISSION STATEMENT

March 12, 2018

STEPHEN CARVER
1921 W Willow Knolls Dr Ste C
Peoria, IL 61614

BROKER #: B15
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/07/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	CALVERT GLOBAL WATER FUND CLASS A SELL 1000 Units @20.0100	20,000.00	0.00	18.00	-18.00	100.0	-18.00
* MUTUAL FUNDS SUBTOTAL *			\$20,000.00	\$0.00	\$18.00	\$-18.00		\$-18.00
<u>PRODUCT CATEGORY: STOCKS</u>								
03/05/2018	***-**-84IO - COILE, ROBERT ACCOUNT #: LSR012460	ARLINGTON ASSET INVT CORP CL A NEW BUY 1000 Units @11.1450	11,145.00	220.00	35.00	185.00	85.0	157.25
03/05/2018	***-**-54IO - FRIES, SHARON ACCOUNT #: LSR012478	ARLINGTON ASSET INVT CORP CL A NEW BUY 1500 Units @11.1550	16,732.50	295.00	45.00	250.00	85.0	212.50
03/06/2018	***-**-447I - HEINZ-OTTE, ACCOUNT #: LSR012465	ATLAS FINANCIAL HOLDINGS INC COM NP SELL 1500 Units @11.2740	16,911.00	320.00	45.00	275.00	85.0	233.75
03/06/2018	***-**-447I - HEINZ-OTTE, ACCOUNT #: LSR012465	CIENA CORP COM NEW ISIN #US17177930 SELL 1500 Units @25.4850	38,227.50	650.00	45.00	605.00	85.0	514.25
03/07/2018	***-**-84IO - COILE, ROBERT ACCOUNT #: LSR012460	US FOODS HLDG CORP COM BUY 400 Units @34.0060	13,602.40	250.00	23.00	227.00	85.0	192.95
03/07/2018	***-**-496I - MCCOY, SHERIANNE ACCOUNT #: LSR012469	US FOODS HLDG CORP COM BUY 300 Units @34.0230	10,206.90	200.00	21.00	179.00	85.0	152.15
* STOCKS SUBTOTAL *			\$106,825.30	\$1,935.00	\$214.00	\$1,721.00		\$1,462.85
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
03/05/2018	***-**-84IO - COILE, ROBERT ACCOUNT #: LSR012460	JERNIGAN CAP INC COM UNSOLICITED OR BUY 1000 Units @17.4060	17,406.00	350.00	24.00	326.00	85.0	277.10
03/05/2018	***-**-54IO - FRIES, SHARON ACCOUNT #: LSR012478	JERNIGAN CAP INC COM UNSOLICITED OR BUY 100 Units @17.4250	1,742.50	35.00	24.00	11.00	85.0	9.35
03/05/2018	***-**-54IO - FRIES, SHARON ACCOUNT #: LSR012478	JERNIGAN CAP INC COM UNSOLICITED OR BUY 100 Units @17.4250	1,742.50	35.00	0.00	35.00	85.0	29.75
03/05/2018	***-**-54IO - FRIES, SHARON ACCOUNT #: LSR012478	JERNIGAN CAP INC COM UNSOLICITED OR BUY 100 Units @17.4250	1,742.50	35.00	0.00	35.00	85.0	29.75
03/05/2018	***-**-54IO - FRIES, SHARON ACCOUNT #: LSR012478	JERNIGAN CAP INC COM UNSOLICITED OR BUY 100 Units @17.4250	1,742.50	35.00	0.00	35.00	85.0	29.75
03/05/2018	***-**-54IO - FRIES, SHARON ACCOUNT #: LSR012478	JERNIGAN CAP INC COM UNSOLICITED OR BUY 200 Units @17.4250	3,485.00	70.00	0.00	70.00	85.0	59.50



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS (continued)								
03/05/2018	***-**-54IO - FRIES, SHARON	JERNIGAN CAP INC COM UNSOLICITED OR	3,485.00	70.00	0.00	70.00	85.0	59.50
	ACCOUNT #: LSR012478	BUY 200 Units @17.4250						
03/05/2018	***-**-54IO - FRIES, SHARON	JERNIGAN CAP INC COM UNSOLICITED OR	1,742.50	35.00	0.00	35.00	85.0	29.75
	ACCOUNT #: LSR012478	BUY 100 Units @17.4250						
03/05/2018	***-**-54IO - FRIES, SHARON	JERNIGAN CAP INC COM UNSOLICITED OR	1,742.50	35.00	0.00	35.00	85.0	29.75
	ACCOUNT #: LSR012478	BUY 100 Units @17.4250						
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$34,831.00	\$700.00	\$48.00	\$652.00		\$554.20
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
03/12/2018	***-**-84IO - COILE, ROBERT	CALVERT GLOBAL WATER FUND CLASS A	0.00	0.48	0.00	0.48	85.0	0.41
	ACCOUNT #: LSR012460	BUY 1 Units @0.0000						
03/12/2018	***-**-03IR - RHODE, SUSAN	CALVERT GLOBAL WATER FUND CLASS A	0.00	0.45	0.00	0.45	85.0	0.38
	ACCOUNT #: LSR012464	BUY 1 Units @0.0000						
03/12/2018	***-**-447I - HEINZ-OTTE,	CALVERT GLOBAL WATER FUND CLASS A	0.00	2.57	0.00	2.57	85.0	2.18
	ACCOUNT #: LSR012465	BUY 1 Units @0.0000						
03/12/2018	***-**-496I - MCCOY, SHERIANNE	CALVERT GLOBAL WATER FUND CLASS A	0.00	1.88	0.00	1.88	85.0	1.60
	ACCOUNT #: LSR012469	BUY 1 Units @0.0000						
03/12/2018	***-**-617I - DVIZAC, LORI	CALVERT GLOBAL WATER FUND CLASS A	0.00	1.00	0.00	1.00	85.0	0.85
	ACCOUNT #: LSR012473	BUY 1 Units @0.0000						
03/12/2018	***-**-434I - DVIZAC, ZACK	CALVERT GLOBAL WATER FUND CLASS A	0.00	0.19	0.00	0.19	85.0	0.16
	ACCOUNT #: LSR012474	BUY 1 Units @0.0000						
03/12/2018	***-**-54IO - FRIES, SHARON	CALVERT GLOBAL WATER FUND CLASS A	0.00	1.05	0.00	1.05	85.0	0.89
	ACCOUNT #: LSR012478	BUY 1 Units @0.0000						
03/12/2018	***-**-447I - HEINZ-OTTE,	DELAWARE EMERGING MARKETS FUND A CL	0.00	2.57	0.00	2.57	85.0	2.18
	ACCOUNT #: LSR012465	BUY 1 Units @0.0000						
03/12/2018	***-**-447I - HEINZ-OTTE,	RYDEX DOW 2X STRATEGY CL A	0.00	2.02	0.00	2.02	85.0	1.72
	ACCOUNT #: LSR012465	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$12.21	\$0.00	\$12.21		\$10.37
*** BROKER TRANSACTIONS TOTAL ***			\$161,656.30	\$2,647.21	\$280.00	\$2,367.21		\$2,009.42



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<u>BROKER COMMISSION TOTALS</u>								
								Broker Transactions \$2,009.42
								Split Transactions \$0.00
								Override Transactions \$0.00
								Adjustments \$0.00
								Payroll Draw \$0.00
								Base Salary \$0.00
								Prior Period Balance \$0.00
								FINRA Assessment \$0.00
								SIPC Assessment \$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD								
							Balance Forward	\$0.00
							Year-to-date Earnings	\$0.00