



Concorde Investment Services, LLC

08/14/2017  
Page No. 1

## COMMISSION STATEMENT

August 15, 2017

K146-JASON KEMBLE  
4106 E. Doe Creek Drive

BROKER #: K146  
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65202-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
07/24/2017	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	4.50
07/24/2017	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	90.0	4.50
* MUTUAL FUNDS SUBTOTAL *			\$200.00	\$10.00	\$0.00	\$10.00		\$9.00
*** BROKER TRANSACTIONS TOTAL ***			\$200.00	\$10.00	\$0.00	\$10.00		\$9.00

### SPLIT TRANSACTIONS

**BROKER: JEFF/JASON KAUFMAN/KEMBLE #349**

07/27/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	45.44	0.20	0.00	0.20	90.0	0.18
07/27/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	45.44	0.20	0.00	0.20	90.0	0.18
07/27/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.27	0.09	0.00	0.09	90.0	0.08
07/27/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.27	0.09	0.00	0.09	90.0	0.08
07/28/2017	HANSEL, NAOMI	AM FUNDS AMERICAN BALANCED A	20,000.00	247.50	0.00	247.50	90.0	222.75
07/28/2017	BELLER, DONALD	AM FUNDS AMERICAN BALANCED A	58.80	1.32	0.00	1.32	90.0	1.19
07/31/2017	MOELLER, JOYCE	AM FUNDS AMERICAN BALANCED A	15,183.02	256.21	0.00	256.21	90.0	230.59
08/03/2017	MO/TOD	AM FUNDS AMERICAN BALANCED A	250.00	5.63	0.00	5.63	90.0	5.07
07/28/2017	HANSEL, NAOMI	AM FUNDS GLOBAL BALANCED A	20,000.00	247.50	0.00	247.50	90.0	222.75
07/24/2017	MO/TOD	AM FUNDS GROWTH FUND OF AMERICA A	500.00	11.25	0.00	11.25	90.0	10.13
07/27/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	22.80	0.10	0.00	0.10	90.0	0.09
07/27/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	17.10	0.08	0.00	0.08	90.0	0.07
07/27/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	50.05	0.23	0.00	0.23	90.0	0.21
07/27/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	50.05	0.23	0.00	0.23	90.0	0.21
07/27/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.05	0.00	0.05	90.0	0.05
07/27/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.05	0.00	0.05	90.0	0.05
07/27/2017	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	40.05	0.18	0.00	0.18	90.0	0.16
07/27/2017	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	40.05	0.18	0.00	0.18	90.0	0.16

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u></b>								
07/27/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	30.00	0.14	0.00	0.14	90.0	0.13
07/27/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	30.00	0.14	0.00	0.14	90.0	0.13
07/28/2017	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.25	0.00	2.25	90.0	2.03
07/31/2017	MOELLER, JOYCE	AM FUNDS GROWTH FUND OF AMERICA A	7,591.51	128.11	0.00	128.11	90.0	115.30
08/01/2017	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	81.00	0.36	0.00	0.36	90.0	0.32
08/01/2017	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	48.61	0.22	0.00	0.22	90.0	0.20
07/27/2017	ZELLMER, RIANNON	AM FUNDS ICA A	7.56	0.04	0.00	0.04	90.0	0.04
07/27/2017	ZELLMER, RIANNON	AM FUNDS ICA A	7.56	0.04	0.00	0.04	90.0	0.04
07/27/2017	WAHLIG, KACEY	AM FUNDS ICA A	21.28	0.09	0.00	0.09	90.0	0.08
07/27/2017	WAHLIG, KACEY	AM FUNDS ICA A	21.28	0.09	0.00	0.09	90.0	0.08
07/27/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.09	0.00	0.09	90.0	0.08
07/27/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.09	0.00	0.09	90.0	0.08
08/01/2017	HAGUE, VICTORIA	AM FUNDS ICA A	71.65	0.32	0.00	0.32	90.0	0.29
08/01/2017	HAGUE, VICTORIA	AM FUNDS ICA A	400.00	1.80	0.00	1.80	90.0	1.62
07/24/2017	MO/TOD	AM FUNDS NEW ECONOMY A	500.00	11.25	0.00	11.25	90.0	10.13
07/24/2017	MO/TOD	AM FUNDS NEW PERSPECTIVE A	500.00	11.25	0.00	11.25	90.0	10.13
07/31/2017	MOELLER, JOYCE	AM FUNDS NEW PERSPECTIVE A	7,591.51	128.11	0.00	128.11	90.0	115.30
08/01/2017	LEHMAN, COLBY	AM FUNDS NEW PERSPECTIVE A	50.00	1.13	0.00	1.13	90.0	1.02
07/24/2017	MO/TOD	AM FUNDS NEW WORLD A	500.00	11.25	0.00	11.25	90.0	10.13
07/27/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	22.80	0.10	0.00	0.10	90.0	0.09
07/27/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	17.10	0.08	0.00	0.08	90.0	0.07
07/27/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.09	0.00	0.09	90.0	0.08
07/27/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.09	0.00	0.09	90.0	0.08
07/27/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	30.00	0.14	0.00	0.14	90.0	0.13
07/27/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	30.00	0.14	0.00	0.14	90.0	0.13
08/01/2017	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	17.91	0.08	0.00	0.08	90.0	0.07
08/01/2017	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	100.00	0.45	0.00	0.45	90.0	0.41
08/01/2017	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	48.60	0.22	0.00	0.22	90.0	0.20
08/01/2017	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	81.01	0.36	0.00	0.36	90.0	0.32
07/28/2017	CARSON, SEDEL	PAC LIFE VUL	55.00	12.38	0.00	12.38	90.0	11.14
07/28/2017	CARSON, MATTHEW	PAC LIFE VUL	7.57	1.71	0.00	1.71	90.0	1.54
07/28/2017	CARSON, MATTHEW	PAC LIFE VUL	142.43	8.01	0.00	8.01	90.0	7.21
07/28/2017	CARSON, SEDEL	PAC LIFE VUL	55.00	12.38	0.00	12.38	90.0	11.14

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u></b>								
07/28/2017	CARSON, MATTHEW	PAC LIFE VUL	7.57	1.71	0.00	1.71	90.0	1.54
07/28/2017	CARSON, MATTHEW	PAC LIFE VUL	142.43	8.01	0.00	8.01	90.0	7.21
* JEFF/JASON KAUFMAN/KEMBLE SUBTOTAL *			<b>\$74,751.48</b>	<b>\$1,113.81</b>	<b>\$0.00</b>	<b>\$1,113.81</b>		<b>\$1,002.49</b>
*** SPLIT TRANSACTIONS TOTAL ***			<b>\$74,751.48</b>	<b>\$1,113.81</b>	<b>\$0.00</b>	<b>\$1,113.81</b>		<b>\$1,002.49</b>

<b><u>ADJUSTMENTS</u></b>								
08/15/2017		*EO INS: CURRENT 1/2 MONTH INS-KEMBL	0.00					-150.00
08/15/2017		*TECH: CURRENT 1/2 MONTH TECH-KEMBL	0.00					-137.50
*** ADJUSTMENTS TOTAL ***			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-287.50</b>

<b><u>BROKER COMMISSION TOTALS</u></b>	
Broker Transactions	\$9.00
Split Transactions	\$1,002.49
Override Transactions	\$0.00
Adjustments	\$-287.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$723.99</b>
<b>Year-to-date Gross</b>	<b>\$33,770.12</b>
<b>Year-to-date Earnings</b>	<b>\$30,393.54</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed