

**COMMISSION STATEMENT****January 15, 2018**

BJB JEN-BRENT-BRION TRU-DONALD-LEE-NORBY

BROKER #: BJB

BRANCH: TRUWEALTH FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^12/11/2017	PATOROS, JOHN	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.00	0.00	0.00	100.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
^09/07/2017	HUGGINS, JAMES	***TECK RESOURCES LIMITED	-486.00	-50.00	-22.00	-28.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	***TECK RESOURCES LIMITED	486.00	0.00	22.00	-22.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	BRIGHTHOUSE FINL INC COM	-106.01	-50.00	-22.00	-28.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	BRIGHTHOUSE FINL INC COM	106.01	0.00	22.00	-22.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	CBS CORP CL B COM	-1,461.42	-50.97	-22.00	-28.97	100.0	0.00
^09/07/2017	HUGGINS, JAMES	CBS CORP CL B COM	1,461.42	0.00	22.00	-22.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	CONSOL ENERGY INC	-796.46	-50.00	-22.00	-28.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	CONSOL ENERGY INC	796.46	0.00	22.00	-22.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	DIAMONDBACK ENERGY INC COM	-908.03	-50.00	-22.00	-28.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	DIAMONDBACK ENERGY INC COM	908.03	0.00	22.00	-22.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	INTERCONTINENTALEXCHANGE GROUP INC	-1,090.89	-50.00	-22.00	-28.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	INTERCONTINENTALEXCHANGE GROUP INC	1,090.89	0.00	22.00	-22.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS 20+ YR TREAS BD	-9,954.56	-105.00	-22.00	-83.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	ISHARES TR BARCLAYS 20+ YR TREAS BD	9,954.56	0.00	22.00	-22.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	ISHARES TR CORE S&P MID-CAP ETF	-25,095.80	-210.00	-22.00	-188.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	ISHARES TR CORE S&P MID-CAP ETF	25,095.81	0.00	22.00	-22.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	ISHARES TR S&P 500 INDEX FD	-155,963.00	-735.00	-22.00	-713.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	ISHARES TR S&P 500 INDEX FD	155,962.86	0.00	22.00	-22.00	100.0	0.00
^12/18/2017	HUGGINS, JAMES	ISHARES TRUST	272.50	0.00	22.00	-22.00	100.0	0.00
^09/07/2017	HUGGINS, JAMES	SCHWAB CHARLES CORP NEW COM	-1,985.89	-61.50	-22.00	-39.50	100.0	0.00
^09/07/2017	HUGGINS, JAMES	SCHWAB CHARLES CORP NEW COM	1,985.89	0.00	22.00	-22.00	100.0	0.00
^12/18/2017	HUGGINS, JAMES	SCHWAB STRATEGIC TR US LARGE-CAP GR	71.28	0.00	22.00	-22.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 400 MID CAP GROWTH	-9,120.81	-27.00	0.00	-27.00	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
^08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 400 MID CAP GROWTH	9,120.81	0.00	0.00	0.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 400 MID CAP GROWTH	-9,120.81	0.00	0.00	0.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 400 MID CAP GROWTH	9,120.81	0.00	0.00	0.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 400 MID CAP GROWTH	9,120.81	0.00	0.00	0.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 400 MID CAP GROWTH	-9,120.81	-27.00	0.00	-27.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 600 SMALL CAP GROWT	-9,647.58	-27.00	0.00	-27.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 600 SMALL CAP GROWT	9,647.58	0.00	0.00	0.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 600 SMALL CAP GROWT	-9,647.58	0.00	0.00	0.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 600 SMALL CAP GROWT	9,647.58	0.00	0.00	0.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 600 SMALL CAP GROWT	9,647.58	27.00	0.00	27.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	SPDR SER TR S&P 600 SMALL CAP GROWT	-9,647.58	-27.00	0.00	-27.00	100.0	0.00
^12/18/2017	HUGGINS, JAMES	U S CONCRETE INC COM NEW	474.00	0.00	22.00	-22.00	100.0	0.00
^12/18/2017	HUGGINS, JAMES	UNITEDHEALTH GROUP INC COM	667.23	0.00	22.00	-22.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	-22,454.10	-27.00	-22.00	-5.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	22,454.14	0.00	22.00	-22.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	-22,454.10	0.00	-22.00	22.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	22,454.14	0.00	22.00	-22.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	22,454.14	27.00	22.00	5.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	VANGUARD INTL EQUITY INDEX FDS MSCI	-22,454.10	-27.00	-22.00	-5.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	VANGUARD SCOTTSDALE FUNDS	18,710.57	27.00	22.00	5.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	VANGUARD SCOTTSDALE FUNDS	-18,710.60	-27.00	-22.00	-5.00	100.0	0.00
^08/22/2017	HUGGINS, JAMES	VANGUARD SCOTTSDALE FUNDS	18,710.57	0.00	22.00	-22.00	100.0	0.00
^08/22/2017	HUGGINS, JAMES	VANGUARD SCOTTSDALE FUNDS	-18,710.60	0.00	-22.00	22.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	VANGUARD SCOTTSDALE FUNDS	-18,710.60	-27.00	-22.00	-5.00	100.0	0.00
^08/22/2017	LARAMIE, JAMES	VANGUARD SCOTTSDALE FUNDS	18,710.57	0.00	22.00	-22.00	100.0	0.00
* STOCKS SUBTOTAL *			\$1,484.91	\$-1,547.47	\$88.00	\$-1,635.47		\$0.00
<u>PRODUCT CATEGORY: RIA</u>								
^01/09/2018	KINCAID INDUSTRIES	PERSHING	237,998.49	293.42	0.00	293.42	100.0	0.00
^01/09/2018	KINCAID INDUSTRIES	PERSHING	63,332.24	78.08	0.00	78.08	100.0	0.00
* RIA SUBTOTAL *			\$301,330.73	\$371.50	\$0.00	\$371.50		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$302,815.64	\$-1,175.97	\$88.00	\$-1,263.97		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00