



All Companies
COMMISSION STATEMENT
July 02, 2018

06/28/2018
Page No. 1

WILLIAM L. BROOKMAN
1840 Hurstview Drive

BROKER #: 9081
BRANCH: HOME OFFICE

HURST, TX 76054

COMMISSION STATEMENT for WILLIAM L. BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
06/15/2018	00088728542	CABLE, MARTHA I.	AMERICAN BALANCED FUND-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
06/15/2018	00088728542	CABLE, MARTHA I.	FUNDAMENTAL INVESTORS-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
06/15/2018	00088728542	CABLE, MARTHA I.	NEW PERSPECTIVE FUND-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
06/15/2018	00088728542	CABLE, MARTHA I.	WASHINGTON MUTUAL INVESTORS FUND-52	B	50.00	0.50	0.00	0.50	90.0	0.45
* MUTUAL FUNDS SUBTOTAL *					\$250.00	\$2.50	\$0.00	\$2.50		\$2.26
PRODUCT CATEGORY: VARIABLE ANNUITIES										
05/31/2018	TRAILS		GENWORTH LIFE ANNUITY	B	101.01	101.01	0.00	101.01	90.0	90.91
* VARIABLE ANNUITIES SUBTOTAL *					\$101.01	\$101.01	\$0.00	\$101.01		\$90.91
PRODUCT CATEGORY: RIA										
04/13/2018	25632715	DIAZ, CARMEN A	SIGNAL SECURITIES RIA	B	0.00	119.00	0.00	119.00	90.0	107.10
04/13/2018	49283223	HERNANDEZ & DIAZ, HUMBERTO/CARMEN	SIGNAL SECURITIES RIA	B	0.00	18.00	0.00	18.00	90.0	16.20
04/13/2018	62315710	HERNANDEZ & DIAZ, HUMBERTO/CARMEN	SIGNAL SECURITIES RIA	B	0.00	13.00	0.00	13.00	90.0	11.70
* RIA SUBTOTAL *					\$0.00	\$150.00	\$0.00	\$150.00		\$135.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
07/02/2018	TRAIL COMMISSIONS		WELLS FARGO FUNDS FEES		0.00	3.53	0.00	3.53	90.0	3.18
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$3.53	\$0.00	\$3.53		\$3.18
*** BROKER TRANSACTIONS TOTAL ***					\$351.01	\$257.04	\$0.00	\$257.04		\$231.35

COMMISSION STATEMENT for WILLIAM L. BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

07/02/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
07/02/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-7.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-107.50

BROKER COMMISSION TOTALS

Broker Transactions	\$231.35
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-107.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$123.85
Year-to-date Earnings	\$15,269.50