



## COMMISSION STATEMENT

July 15, 2018

KEITH, L128 LAYMAN  
4321 FlagstaffBROKER #: L128  
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
07/02/2018	LIPSCOMB, JOAN	CLS INVESTMENTS	20,622.14	11.84	0.00	11.84	80.0	9.47
07/02/2018	LIPSCOMB, JOAN	CLS INVESTMENTS	15,109.67	4.87	0.00	4.87	80.0	3.90
07/02/2018	LIPSCOMB, JOAN	CLS INVESTMENTS	4,498.49	1.97	0.00	1.97	80.0	1.58
07/02/2018	LIPSCOMB, SAMUEL	CLS INVESTMENTS	11,839.71	6.79	0.00	6.79	80.0	5.43
07/02/2018	LIPSCOMB, SAMUEL	CLS INVESTMENTS	14,312.82	4.62	0.00	4.62	80.0	3.70
07/02/2018	LIPSCOMB, SAMUEL	CLS INVESTMENTS	4,261.24	1.87	0.00	1.87	80.0	1.50
07/02/2018	OAR, RITA	CLS INVESTMENTS	8,832.96	7.82	0.00	7.82	80.0	6.26
07/02/2018	OAR, RITA	CLS INVESTMENTS	4,627.74	2.85	0.00	2.85	80.0	2.28
07/02/2018	OAR, RITA	CLS INVESTMENTS	28,321.99	19.92	0.00	19.92	80.0	15.94
07/06/2018	GUNSOLLEY, MARY	JEFFERSON NATIONAL	43,943.66	164.79	0.00	164.79	80.0	131.83
07/06/2018	DILLIE, MAXIE	JEFFERSON NATIONAL	42,705.83	160.15	0.00	160.15	80.0	128.12
07/09/2018	RICHEY, BECKY	JEFFERSON NATIONAL	144,682.51	542.56	0.00	542.56	80.0	434.05
07/09/2018	WILLING, PATRICIA	PERSHING	253,469.80	638.88	0.00	638.88	80.0	511.10
07/09/2018	JONES, TIM	PERSHING	64,704.30	-159.20	0.00	-159.20	80.0	-127.36
07/09/2018	JONES, TIM	PERSHING	64,704.30	0.00	0.00	0.00	80.0	0.00
07/09/2018	SIEBER, AARON	PERSHING	254,652.76	641.86	0.00	641.86	80.0	513.49
07/09/2018	JOUPPI, SHARON	PERSHING	244,615.05	0.00	0.00	0.00	80.0	0.00
07/09/2018	JOUPPI, SHARON	PERSHING	244,615.05	-723.44	0.00	-723.44	80.0	-578.75
07/09/2018	DAILEY, RITA	PERSHING	295,332.86	0.00	0.00	0.00	80.0	0.00
07/09/2018	DAILEY, RITA	PERSHING	295,332.86	-316.83	0.00	-316.83	80.0	-253.46
07/09/2018	GRIME, MARK	PERSHING	80,234.46	303.35	0.00	303.35	80.0	242.68
07/09/2018	KLITZMAN, RANDALL	PERSHING	57,104.59	215.90	0.00	215.90	80.0	172.72
07/09/2018	KLITZMAN, MARY	PERSHING	220,453.85	833.50	0.00	833.50	80.0	666.80
07/09/2018	FEDLER, CHARLES	PERSHING	193,249.75	730.64	0.00	730.64	80.0	584.51
07/09/2018	DODGE, CAROLYN	PERSHING	187,769.19	709.92	0.00	709.92	80.0	567.94
07/09/2018	TROUT, CHARLOTTE	PERSHING	21,996.56	83.17	0.00	83.17	80.0	66.54

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
07/09/2018	OAR, RITA	PERSHING	41,519.20	0.00	0.00	0.00	80.0	0.00
07/09/2018	OAR, RITA	PERSHING	41,519.20	-41.24	0.00	-41.24	80.0	-32.99
07/09/2018	SAHARIAN, CAROLYN	PERSHING	55,720.16	0.00	0.00	0.00	80.0	0.00
07/09/2018	SAHARIAN, CAROLYN	PERSHING	55,720.16	-172.04	0.00	-172.04	80.0	-137.63
07/09/2018	SHERBURNE, STEVEN	PERSHING	220,314.76	832.97	0.00	832.97	80.0	666.38
07/09/2018	ZINN, ROBERT	PERSHING	177,725.18	559.96	0.00	559.96	80.0	447.97
07/09/2018	JAMSCO INC	PERSHING	111,486.34	421.51	0.00	421.51	80.0	337.21
07/09/2018	BERBER, CATHY	PERSHING	305,789.62	1,156.14	0.00	1,156.14	80.0	924.91
07/09/2018	PEPPLE, GLEN	PERSHING	47,267.82	178.71	0.00	178.71	80.0	142.97
07/09/2018	RICHEY, BECKY	PERSHING	19,209.74	72.63	0.00	72.63	80.0	58.10
07/09/2018	ASHBAUGH, JOHN	PERSHING	88,864.79	335.98	0.00	335.98	80.0	268.78
07/09/2018	ZEEDYK, JANET	PERSHING	82,395.57	-32.87	0.00	-32.87	80.0	-26.30
07/09/2018	ZEEDYK, JANET	PERSHING	82,395.57	0.00	0.00	0.00	80.0	0.00
07/09/2018	ASHBAUGH, ALAN	PERSHING	91,783.50	-57.36	0.00	-57.36	80.0	-45.89
07/09/2018	ASHBAUGH, ALAN	PERSHING	91,783.50	0.00	0.00	0.00	80.0	0.00
07/09/2018	WILCOX, TIMOTHY	PERSHING	14,956.23	56.55	0.00	56.55	80.0	45.24
07/09/2018	NICOLET, CHERYL	PERSHING	321,045.70	1,213.82	0.00	1,213.82	80.0	971.06
07/09/2018	LOVETT, DAVID	PERSHING	672.87	2.54	0.00	2.54	80.0	2.03
07/09/2018	RUSK, JULIA	PERSHING	72,057.69	272.44	0.00	272.44	80.0	217.95
07/09/2018	BRZYCKI, MARTIN	PERSHING	127,904.98	483.59	0.00	483.59	80.0	386.87
07/09/2018	BULLER, DOUG	PERSHING	12,341.99	46.66	0.00	46.66	80.0	37.33
07/09/2018	KLOC, CLIFFORD	PERSHING	253,543.36	958.60	0.00	958.60	80.0	766.88
07/09/2018	DEGLER, DONNA	PERSHING	64,738.95	244.77	0.00	244.77	80.0	195.82
07/09/2018	HARDESTY, MARGARET	PERSHING	143,983.88	544.38	0.00	544.38	80.0	435.50
07/09/2018	HARDESTY, MARGARET	PERSHING	16,168.18	61.13	0.00	61.13	80.0	48.90
07/09/2018	HUESMAN, PATRICIA	PERSHING	278,111.70	0.00	0.00	0.00	80.0	0.00
07/09/2018	HUESMAN, PATRICIA	PERSHING	278,111.70	-663.82	0.00	-663.82	80.0	-531.06
07/09/2018	LIPSCOMB, SAMUEL	PERSHING	156.42	-0.12	0.00	-0.12	80.0	-0.10
07/09/2018	LIPSCOMB, SAMUEL	PERSHING	156.42	0.00	0.00	0.00	80.0	0.00
07/09/2018	DELANEY, DIANA	PERSHING	28,749.41	-36.33	0.00	-36.33	80.0	-29.06
07/09/2018	DELANEY, DIANA	PERSHING	28,749.41	0.00	0.00	0.00	80.0	0.00
07/09/2018	DELANEY, MATTHEW	PERSHING	32,925.01	124.48	0.00	124.48	80.0	99.58
07/09/2018	KAUZLICK, MICHAEL	PERSHING	148,455.44	0.00	0.00	0.00	80.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
07/09/2018	KAUZLICK, MICHAEL	PERSHING	148,455.44	-211.79	0.00	-211.79	80.0	-169.43
07/09/2018	ORT, LEON	PERSHING	94,489.11	357.25	0.00	357.25	80.0	285.80
07/09/2018	BUNN, LINDA	PERSHING	112,608.24	425.75	0.00	425.75	80.0	340.60
07/09/2018	HAHAJ, JUDITH	PERSHING	26,819.20	0.00	0.00	0.00	80.0	0.00
07/09/2018	HAHAJ, JUDITH	PERSHING	26,819.20	-18.04	0.00	-18.04	80.0	-14.43
07/09/2018	MARCH, MELODY	PERSHING	105,866.48	-49.69	0.00	-49.69	80.0	-39.75
07/09/2018	MARCH, MELODY	PERSHING	105,866.48	0.00	0.00	0.00	80.0	0.00
07/09/2018	CLARK, KATHLEEN	PERSHING	26,261.02	99.29	0.00	99.29	80.0	79.43
07/09/2018	GRIME, LAURA	PERSHING	54,918.45	207.64	0.00	207.64	80.0	166.11
07/09/2018	VIELKIND, LAWRENCE	PERSHING	311,548.45	1,177.91	0.00	1,177.91	80.0	942.33
07/09/2018	NOLL, PRISCILLA	PERSHING	369,224.67	1,395.97	0.00	1,395.97	80.0	1,116.78
07/09/2018	KAUZLICK, SHAWN	PERSHING	57,137.34	216.03	0.00	216.03	80.0	172.82
07/09/2018	KLITZMAN, RANDALL	PERSHING	107,838.40	407.72	0.00	407.72	80.0	326.18
07/09/2018	RICHEY, BECKY	PERSHING	442,812.28	1,674.19	0.00	1,674.19	80.0	1,339.35
07/09/2018	COMMUNITY GRACE	PERSHING	91,968.84	347.72	0.00	347.72	80.0	278.18
07/09/2018	KAUFFMAN, JOHN	PERSHING	74,145.97	280.33	0.00	280.33	80.0	224.26
07/09/2018	ZAMZOW, RONALD	PERSHING	29,118.42	110.09	0.00	110.09	80.0	88.07
07/09/2018	ZAMZOW, CARRIE	PERSHING	32,753.10	123.83	0.00	123.83	80.0	99.06
07/09/2018	TUTTLE, THOMAS	PERSHING	106,486.11	402.61	0.00	402.61	80.0	322.09
07/09/2018	DRUHOT, SCOTT	PERSHING	89,013.46	336.54	0.00	336.54	80.0	269.23
07/09/2018	WEIDES, LISA	PERSHING	150,904.26	570.54	0.00	570.54	80.0	456.43
07/09/2018	IGNEY, SHELLEY	PERSHING	176,355.41	666.77	0.00	666.77	80.0	533.42
07/09/2018	BERNDT, BARBARA	PERSHING	41,365.32	156.39	0.00	156.39	80.0	125.11
07/09/2018	HOUSER, DANIEL	PERSHING	33,165.32	125.39	0.00	125.39	80.0	100.31
07/09/2018	ISLEY, TERRY	PERSHING	51,243.90	129.16	0.00	129.16	80.0	103.33
07/09/2018	AMBRIOLE, WESLEY	PERSHING	150,090.07	567.46	0.00	567.46	80.0	453.97
07/09/2018	REED, VICTORIA	PERSHING	230,963.43	873.23	0.00	873.23	80.0	698.58
07/09/2018	NOLL, PRISCILLA	PERSHING	30,191.91	114.15	0.00	114.15	80.0	91.32
07/09/2018	THORNTON, PAUL	PERSHING	421,111.56	1,061.43	0.00	1,061.43	80.0	849.14
07/09/2018	KING, PATRICIA	PERSHING	50,723.36	191.78	0.00	191.78	80.0	153.42
07/09/2018	KING, PATRICIA	PERSHING	93,653.80	354.09	0.00	354.09	80.0	283.27
07/09/2018	VIELKIND, LAWRENCE	PERSHING	56,919.09	215.20	0.00	215.20	80.0	172.16
07/09/2018	LIPSCOMB, JOAN	PERSHING	49,906.85	-43.55	0.00	-43.55	80.0	-34.84

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
07/09/2018	LIPSCOMB, JOAN	PERSHING	49,906.85	0.00	0.00	0.00	80.0	0.00
07/09/2018	LIPSCOMB, SAMUEL	PERSHING	52,846.38	-43.27	0.00	-43.27	80.0	-34.62
07/09/2018	LIPSCOMB, SAMUEL	PERSHING	52,846.38	0.00	0.00	0.00	80.0	0.00
07/09/2018	LALLO, GARY	PERSHING	348,407.91	878.18	0.00	878.18	80.0	702.54
07/09/2018	THORNTON, PAUL	PERSHING	305,529.05	770.10	0.00	770.10	80.0	616.08
07/09/2018	SHERBURNE, STEVEN	PERSHING	110,442.19	417.56	0.00	417.56	80.0	334.05
07/09/2018	BAEUERLE, JASON	PERSHING	78,458.17	296.64	0.00	296.64	80.0	237.31
07/09/2018	WITMER, R KARIN	PERSHING	64,824.71	245.09	0.00	245.09	80.0	196.07
07/09/2018	KAUFFMAN, JAN	PERSHING	24,943.45	94.31	0.00	94.31	80.0	75.45
06/29/2018	KAUZLICK, MICHAEL	TD AMERITRADE	149,438.97	159.67	0.00	159.67	80.0	127.74
06/29/2018	DAILEY, RITA	TD AMERITRADE	314,829.84	232.89	0.00	232.89	80.0	186.31
* RIA SUBTOTAL *			<b>\$11,661,537.43</b>	<b>\$25,767.44</b>	<b>\$0.00</b>	<b>\$25,767.44</b>		<b>\$20,613.95</b>
*** DIRECT TRANSACTIONS TOTAL ***			<b>\$11,661,537.43</b>	<b>\$25,767.44</b>	<b>\$0.00</b>	<b>\$25,767.44</b>		<b>\$20,613.95</b>

**SPLIT TRANSACTIONS****BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

07/09/2018	HAMM, GAIL	PERSHING	230,919.50	305.58	0.00	305.58	80.0	244.46
07/09/2018	HAMM, GAIL	PERSHING	107,677.74	142.49	0.00	142.49	80.0	113.99
07/09/2018	HAMM, GAIL	PERSHING	115,017.87	152.20	0.00	152.20	80.0	121.76
07/09/2018	EISERLE, DAVID	PERSHING	18,336.04	24.26	0.00	24.26	80.0	19.41
07/09/2018	NOVELL, DEANA	PERSHING	105,998.12	140.26	0.00	140.26	80.0	112.21
07/09/2018	GROTE, DONALD	PERSHING	115,012.35	152.20	0.00	152.20	80.0	121.76
07/09/2018	GROTE, DONALD	PERSHING	31,175.69	41.26	0.00	41.26	80.0	33.01
07/09/2018	CHIDDISTER, LISA	PERSHING	92.05	0.12	0.00	0.12	80.0	0.10
07/09/2018	KAISER, ROBIN	PERSHING	49,211.32	65.12	0.00	65.12	80.0	52.10
07/09/2018	KAISER, ROBERT	PERSHING	15,725.68	20.81	0.00	20.81	80.0	16.65
07/09/2018	GAY, RICHARD	PERSHING	24,238.71	32.08	0.00	32.08	80.0	25.66
07/09/2018	SORG, RICHARD	PERSHING	84,207.89	74.28	0.00	74.28	80.0	59.42
07/09/2018	BURTNETTE, PATRICIA	PERSHING	407,218.97	359.25	0.00	359.25	80.0	287.40
07/09/2018	BAILEY, LAURIE	PERSHING	45,297.30	59.94	0.00	59.94	80.0	47.95
07/09/2018	CAIN, LISA	PERSHING	27,857.09	36.86	0.00	36.86	80.0	29.49
07/09/2018	CAIN, LISA	PERSHING	29,349.21	38.83	0.00	38.83	80.0	31.06

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VERRIDE TRANSACTIONSBROKER: WILLIAM, L129 LAYMAN #207

* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *	\$1,407,335.53	\$1,645.54	\$0.00	\$1,645.54	\$1,316.43
*** SPLIT TRANSACTIONS TOTAL ***	\$1,407,335.53	\$1,645.54	\$0.00	\$1,645.54	\$1,316.43

VERRIDE TRANSACTIONSBROKER: WILLIAM, L129 LAYMAN #207

07/02/2018	HUNNICUTT, MICHELLE	CLS INVESTMENTS	5,887.27	2.58	0.00	2.58	45.0	1.16
* WILLIAM, L129 LAYMAN SUBTOTAL *			\$5,887.27	\$2.58	\$0.00	\$2.58		\$1.16
*** OVERRIDE TRANSACTIONS TOTAL ***			\$5,887.27	\$2.58	\$0.00	\$2.58		\$1.16

ADJUSTMENTS

07/15/2018		*PERSHING: ETF PROSPECTUS	0.00					-6.20
07/15/2018		*PERSHING: MF PROSPECTUS	0.00					-1.55
07/15/2018		*PERSHING: MF PROSPECTUS	0.00					-0.54
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-8.29

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$20,613.95
Split Transactions	\$1,316.43
Override Transactions	\$1.16
Adjustments	\$-8.29
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$21,923.25
Year-to-date Gross	\$219,815.22
Year-to-date Earnings	\$177,166.60