



Concorde Investment Services, LLC
COMMISSION STATEMENT
March 31, 2017

04/18/2017
Page No. 1

JAMES KOESTER
900 W University
Suite B
Rochester, MI 48307

BROKER #: K127 Terminated 11/04/2016
BRANCH: JAMES KOESTER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|--------------------|-------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 03/15/2017 | HAMILTON, LAWRENCE | JH 529 PORT 2021-2024 A | 250.00 | 10.63 | 0.00 | 10.63 | 0.0 | 0.00 |
| / / | HAMILTON, LAWRENCE | JH 529 PORT 2025-2028 A | 0.00 | 10.63 | 0.00 | 10.63 | 0.0 | 0.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$250.00 | \$21.26 | \$0.00 | \$21.26 | | \$0.00 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$250.00 | \$21.26 | \$0.00 | \$21.26 | | \$0.00 |

| <u>BROKER COMMISSION TOTALS</u> | |
|--|-----------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$-100.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|-----------------------|------------|
| Balance Forward | \$-100.00 |
| Year-to-date Gross | \$1,840.99 |
| Year-to-date Earnings | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed