



COMMISSION STATEMENT

February 05, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/25/2018	***-**-4115 - ROWE, BRITNEY	AMCAP FUND-C	44.50	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 04000409792	BUY 1 Units @30.0000						
01/25/2018	***-**-4115 - ROWE, BRITNEY	AMCAP FUND-C	4.45	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 04000409792	BUY 0 Units @30.0000						
01/25/2018	***-**-8208 - KARNS, SHANNON	AMCAP FUND-C	480.00	4.80	0.00	4.80	80.0	3.84
	ACCOUNT #: 04000409714	BUY 16 Units @30.0000						
01/25/2018	***-**-8208 - KARNS, SHANNON	AMCAP FUND-C	15.80	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 04000409714	BUY 1 Units @30.0000						
01/22/2018	***-**-965P - MCGUIRE, SCOTT	AMERICAN BALANCED FUND-A	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00073798048	BUY 1 Units @28.0600						
01/22/2018	***-**-4263 - HARTER, IAN	AMERICAN BALANCED FUND-A	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 00089461113	BUY 0 Units @28.0600						
01/24/2018	***-**-05IN - RANDALL, ADAM	AMERICAN BALANCED FUND-A	500.00	18.75	0.00	18.75	80.0	15.00
	ACCOUNT #: 00085941585	BUY 17 Units @29.4500						
01/22/2018	***-**-3834 - SWARTWOUT,	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00089957234	BUY 2 Units @27.8700						
01/22/2018	***-**-312S - DISCH, JASMINE	AMERICAN BALANCED FUND-C	20.60	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00089583477	BUY 1 Units @27.8700						
01/22/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	11.11	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00089051066	BUY 0 Units @27.8700						
01/22/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	59.60	0.60	0.00	0.60	80.0	0.48
	ACCOUNT #: 00089051066	BUY 2 Units @27.8700						
01/22/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	11.12	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00089051066	BUY 0 Units @27.8700						
01/22/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	59.60	0.60	0.00	0.60	80.0	0.48
	ACCOUNT #: 00089051066	BUY 2 Units @27.8700						
01/22/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089045192	BUY 1 Units @27.8700						
01/22/2018	***-**-2459 - MASCARO, ANTHONY	AMERICAN BALANCED FUND-C	6.69	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 00085904215	BUY 0 Units @27.8700						
01/22/2018	***-**-2459 - MASCARO, ANTHONY	AMERICAN BALANCED FUND-C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00085904215	BUY 1 Units @27.8700						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
01/22/2018	***-**-2081 - SHELHAMER, DIANE	AMERICAN BALANCED FUND-C	16.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00085904210	BUY 1 Units @27.8700						
01/22/2018	***-**-2081 - SHELHAMER, DIANE	AMERICAN BALANCED FUND-C	4.67	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00085904210	BUY 0 Units @27.8700						
01/22/2018	***-**-3955 - EAST, MICHAEL	AMERICAN BALANCED FUND-C	2.00	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904181	BUY 0 Units @27.8700						
01/22/2018	***-**-3955 - EAST, MICHAEL	AMERICAN BALANCED FUND-C	6.00	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 00085904181	BUY 0 Units @27.8700						
01/22/2018	***-**-2163 - TRAPANI, JAMES	AMERICAN BALANCED FUND-C	3.63	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @27.8700						
01/22/2018	***-**-2163 - TRAPANI, JAMES	AMERICAN BALANCED FUND-C	28.05	0.28	0.00	0.28	80.0	0.22
	ACCOUNT #: 00085902249	BUY 1 Units @27.8700						
01/24/2018	***-**-3834 - SWARTWOUT,	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00089957234	BUY 2 Units @27.9300						
01/24/2018	***-**-2825 - SPERANZA, JOHN	AMERICAN BALANCED FUND-C	120.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 00089910177	BUY 4 Units @27.9300						
01/24/2018	***-**-2825 - SPERANZA, JOHN	AMERICAN BALANCED FUND-C	-120.00	-1.20	0.00	-1.20	80.0	-0.96
	ACCOUNT #: 00089910177	BUY 4 Units @27.9300						
01/24/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	11.11	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00089051066	BUY 0 Units @27.9300						
01/24/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	59.60	0.60	0.00	0.60	80.0	0.48
	ACCOUNT #: 00089051066	BUY 2 Units @27.9300						
01/24/2018	***-**-2825 - SPERANZA, JOHN	AMERICAN BALANCED FUND-C	120.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 00089910177	BUY 4 Units @27.9300						
01/24/2018	***-**-2825 - SPERANZA, JOHN	AMERICAN BALANCED FUND-C	120.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 00089910177	BUY 4 Units @27.9300						
01/25/2018	***-**-398I - GUERRIERI, PAUL	AMERICAN BALANCED FUND-C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 00086040659	BUY 4 Units @27.9000						
01/26/2018	***-**-5838 - SPOLETA, BRENDA	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00087435959	BUY 2 Units @28.1100						
01/26/2018	***-**-5838 - SPOLETA, BRENDA	AMERICAN GLOBAL BALANCED CL C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 00088037501	BUY 3 Units @34.1100						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
01/26/2018	***-**-5838 - SPOLETA, BRENDA	AMERICAN HIGH INCOME MUNI BOND CLAS	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00087435959	BUY 3 Units @15.8500						
01/22/2018	***-**-96CL - SCARPULLA,	AMERICAN HIGH-INCOME TRUST-C	4.55	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00087236607	BUY 0 Units @10.4200						
01/22/2018	***-**-96CL - SCARPULLA,	AMERICAN HIGH-INCOME TRUST-C	105.00	1.05	0.00	1.05	80.0	0.84
	ACCOUNT #: 00087236607	BUY 10 Units @10.4200						
01/26/2018	***-**-5838 - SPOLETA, BRENDA	AMERICAN HIGH-INCOME TRUST-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00087435959	BUY 5 Units @10.4500						
01/22/2018	***-**-275P - LAMANNA, DANIEL	AMERICAN MUTUAL FUND-A	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00066758347	BUY 1 Units @42.6600						
01/22/2018	***-**-255P - EVANS, JAMES	AMERICAN MUTUAL FUND-A	729.02	7.29	0.00	7.29	80.0	5.83
	ACCOUNT #: 00066759711	BUY 17 Units @42.6600						
01/22/2018	***-**-3955 - EAST, MICHAEL	AMERICAN MUTUAL FUND-C	5.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 00085904181	BUY 0 Units @42.0400						
01/22/2018	***-**-3955 - EAST, MICHAEL	AMERICAN MUTUAL FUND-C	1.67	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00085904181	BUY 0 Units @42.0400						
01/25/2018	***-**-6781 - KARNS, DANIELLE	AMERICAN MUTUAL FUND-C	59.13	0.59	0.00	0.59	80.0	0.47
	ACCOUNT #: 04000409710	BUY 1 Units @42.0600						
01/25/2018	***-**-6781 - KARNS, DANIELLE	AMERICAN MUTUAL FUND-C	7.88	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 04000409710	BUY 0 Units @42.0600						
01/17/2018	***-**-729I - GIAMBRONE, KELLY	CAPITAL APPRECIATION C	61.61	0.62	0.00	0.62	80.0	0.50
	ACCOUNT #: 03222325444	BUY 0 Units @0.0000						
01/19/2018	***-**-7330 - JOHNSON, DONALD	CAPITAL APPRECIATION C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 03220387068	BUY 0 Units @0.0000						
01/19/2018	***-**-6603 - JOHNSON, TERRY	CAPITAL APPRECIATION C	68.00	0.68	0.00	0.68	80.0	0.54
	ACCOUNT #: 03222192157	BUY 0 Units @0.0000						
01/19/2018	***-**-1834 - BUTLER, KIM	CAPITAL APPRECIATION C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 03222200176	BUY 0 Units @0.0000						
01/22/2018	***-**-9611 - MACDUFFIE, LINDA	CAPITAL INCOME BUILDER CLASS C	300.00	3.00	0.00	3.00	80.0	2.40
	ACCOUNT #: 00087299599	BUY 5 Units @64.6300						
01/26/2018	***-**-5838 - SPOLETA, BRENDA	CAPITAL INCOME BUILDER CLASS C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 00088037501	BUY 2 Units @65.3800						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
01/22/2018	***-**-0447 - LEVINE, JACQUE	CAPITAL INCOME BUILDER-A	100.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 00084996765	BUY 1 Units @67.6100						
01/25/2018	***-**-398I - GUERRIERI, PAUL	CAPITAL WORLD BOND FUND-C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 00086040659	BUY 5 Units @19.9900						
01/22/2018	***-**-425P - MEEKS, PERDITA	CAPITAL WORLD GROWTH AND INCOME FUN	12.50	0.63	0.00	0.63	80.0	0.50
	ACCOUNT #: 00074210167	BUY 0 Units @57.3500						
01/22/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	15.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 00089045192	BUY 0 Units @53.4500						
01/22/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	96.40	0.96	0.00	0.96	80.0	0.77
	ACCOUNT #: 00087038420	BUY 2 Units @53.4500						
01/22/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	3.60	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00087038420	BUY 0 Units @53.4500						
01/24/2018	***-**-2825 - SPERANZA, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089910177	BUY 1 Units @53.7200						
01/24/2018	***-**-2825 - SPERANZA, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089910177	BUY 1 Units @53.7200						
01/24/2018	***-**-2825 - SPERANZA, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089910177	BUY 1 Units @53.7200						
01/24/2018	***-**-2825 - SPERANZA, JOHN	CAPITAL WORLD GROWTH AND INCOME FUN	-40.00	-0.40	0.00	-0.40	80.0	-0.32
	ACCOUNT #: 00089910177	BUY 1 Units @53.7200						
01/24/2018	***-**-05IN - RANDALL, ADAM	CAPITAL WORLD GROWTH AND INCOME FUN	500.00	5.00	0.00	5.00	80.0	4.00
	ACCOUNT #: 00085941585	BUY 9 Units @53.7200						
01/17/2018	***-**-729I - GIAMBRONE, KELLY	EQUITY INCOME FUND, INC. C	46.21	0.46	0.00	0.46	80.0	0.37
	ACCOUNT #: 08370596090	BUY 0 Units @0.0000						
01/31/2018	***-**-6188 - ROBERTS,	EQUITY INCOME FUND, INC. C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 08370627385	BUY 0 Units @0.0000						
01/22/2018	***-**-445P - BURNS, DANIEL	EUROPACIFIC GROWTH FUND-A	19.80	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00066758346	BUY 0 Units @59.7400						
01/22/2018	***-**-495P - HARTER, EDWARD	FUNDAMENTAL INVESTORS-A	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00066759710	BUY 0 Units @66.1700						
01/22/2018	***-**-96CL - SCARPULLA,	FUNDAMENTAL INVESTORS-C	5.56	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 00089051066	BUY 0 Units @65.7900						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
01/22/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	FUNDAMENTAL INVESTORS-C BUY 0 Units @65.7900	29.80	0.30	0.00	0.30	80.0	0.24
01/22/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	FUNDAMENTAL INVESTORS-C BUY 0 Units @65.7900	5.56	0.06	0.00	0.06	80.0	0.05
01/22/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	FUNDAMENTAL INVESTORS-C BUY 0 Units @65.7900	29.80	0.30	0.00	0.30	80.0	0.24
01/22/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	FUNDAMENTAL INVESTORS-C BUY 0 Units @65.7900	18.00	0.18	0.00	0.18	80.0	0.14
01/22/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	FUNDAMENTAL INVESTORS-C BUY 0 Units @65.7900	2.18	0.02	0.00	0.02	80.0	0.02
01/22/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	FUNDAMENTAL INVESTORS-C BUY 0 Units @65.7900	2.40	0.02	0.00	0.02	80.0	0.02
01/22/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	FUNDAMENTAL INVESTORS-C BUY 0 Units @65.7900	9.00	0.09	0.00	0.09	80.0	0.07
01/24/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	FUNDAMENTAL INVESTORS-C BUY 0 Units @65.9400	5.56	0.06	0.00	0.06	80.0	0.05
01/24/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	FUNDAMENTAL INVESTORS-C BUY 0 Units @65.9400	29.80	0.30	0.00	0.30	80.0	0.24
01/25/2018	***-**-6171 - ROWE, KEVIN ACCOUNT #: 04000409801	FUNDAMENTAL INVESTORS-C BUY 1 Units @65.7200	53.00	0.53	0.00	0.53	80.0	0.42
01/25/2018	***-**-6171 - ROWE, KEVIN ACCOUNT #: 04000409801	FUNDAMENTAL INVESTORS-C BUY 7 Units @65.7200	480.00	4.80	0.00	4.80	80.0	3.84
01/25/2018	***-**-615P - LE, TU ACCOUNT #: 00075107985	FUNDAMENTAL INVESTORS-C BUY 1 Units @65.7200	50.00	0.50	0.00	0.50	80.0	0.40
01/17/2018	***-**-2620 - CUSIMANO, MEGAN ACCOUNT #: 02171800557	GLOBAL OPPORTUNITIES FUND C BUY 0 Units @0.0000	25.00	0.25	0.00	0.25	80.0	0.20
01/17/2018	***-**-729I - GIAMBRONE, KELLY ACCOUNT #: 02171875445	GLOBAL OPPORTUNITIES FUND C BUY 0 Units @0.0000	77.02	0.77	0.00	0.77	80.0	0.62
01/19/2018	***-**-7330 - JOHNSON, DONALD ACCOUNT #: 02171798599	GLOBAL OPPORTUNITIES FUND C BUY 0 Units @0.0000	50.00	0.50	0.00	0.50	80.0	0.40
01/22/2018	***-**-90FB - DIODATO, CAROL ACCOUNT #: 02171777391	GLOBAL OPPORTUNITIES FUND C BUY 0 Units @0.0000	50.00	0.50	0.00	0.50	80.0	0.40



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
01/22/2018	***-**-9530 - MILAM, IAN	GLOBAL OPPORTUNITIES FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 02171852708	BUY 0 Units @0.0000						
01/22/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	1.95	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087236607	BUY 0 Units @23.8200						
01/22/2018	***-**-96CL - SCARPULLA,	INCOME FUND OF AMERICA CLASS C	45.00	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 00087236607	BUY 2 Units @23.8200						
01/22/2018	***-**-312S - DISCH, JASMINE	INVESTMENT COMPANY OF AMERICA CLASS	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089583477	BUY 0 Units @42.1700						
01/22/2018	***-**-2081 - SHELHAMER, DIANE	INVESTMENT COMPANY OF AMERICA CLASS	4.00	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085904210	BUY 0 Units @42.1700						
01/22/2018	***-**-2081 - SHELHAMER, DIANE	INVESTMENT COMPANY OF AMERICA CLASS	1.17	0.01	0.00	0.01	80.0	0.01
	ACCOUNT #: 00085904210	BUY 0 Units @42.1700						
01/22/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	3.74	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 00085902249	BUY 0 Units @42.1700						
01/22/2018	***-**-2163 - TRAPANI, JAMES	INVESTMENT COMPANY OF AMERICA CLASS	28.90	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00085902249	BUY 1 Units @42.1700						
01/25/2018	***-**-4115 - ROWE, BRITTNEY	INVESTMENT COMPANY OF AMERICA CLASS	4.45	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 04000409792	BUY 0 Units @42.2900						
01/25/2018	***-**-4115 - ROWE, BRITTNEY	INVESTMENT COMPANY OF AMERICA CLASS	44.51	0.45	0.00	0.45	80.0	0.36
	ACCOUNT #: 04000409792	BUY 1 Units @42.2900						
01/25/2018	***-**-6781 - KARNS, DANIELLE	INVESTMENT COMPANY OF AMERICA CLASS	59.14	0.59	0.00	0.59	80.0	0.47
	ACCOUNT #: 04000409710	BUY 1 Units @42.2900						
01/25/2018	***-**-6781 - KARNS, DANIELLE	INVESTMENT COMPANY OF AMERICA CLASS	7.89	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 04000409710	BUY 0 Units @42.2900						
01/25/2018	***-**-3727 - D'ANGELO, ANDREW	INVESTMENT COMPANY OF AMERICA CLASS	12.00	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 04000409699	BUY 0 Units @42.2900						
01/25/2018	***-**-3727 - D'ANGELO, ANDREW	INVESTMENT COMPANY OF AMERICA CLASS	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 04000409699	BUY 0 Units @42.2900						
01/17/2018	***-**-729I - GIAMBRONE, KELLY	MAIN STREET FUND C	77.02	0.77	0.00	0.77	80.0	0.62
	ACCOUNT #: 07024326366	BUY 0 Units @0.0000						
01/17/2018	***-**-2620 - CUSIMANO, MEGAN	MAIN STREET FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 07024397338	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u>								
01/19/2018	***-**-6603 - JOHNSON, TERRY	MAIN STREET FUND C	66.00	0.66	0.00	0.66	80.0	0.53
	ACCOUNT #: 07024184114	BUY 0 Units @0.0000						
01/19/2018	***-**-7330 - JOHNSON, DONALD	MAIN STREET FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 07024393312	BUY 0 Units @0.0000						
01/22/2018	***-**-4980 - MOYER, COLLEEN	MAIN STREET FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 07024431823	BUY 0 Units @0.0000						
01/22/2018	***-**-90FB - DIODATO, CAROL	MAIN STREET FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 07024358024	BUY 0 Units @0.0000						
01/22/2018	***-**-9530 - MILAM, IAN	MAIN STREET FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 07024469113	BUY 0 Units @0.0000						
01/22/2018	***-**-445P - BURNS, DANIEL	NEW PERSPECTIVE FUND-A	19.80	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00066758346	BUY 0 Units @46.3200						
01/22/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	5.55	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 00089051066	BUY 0 Units @44.4900						
01/22/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	29.80	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00089051066	BUY 1 Units @44.4900						
01/22/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	5.57	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 00089051066	BUY 0 Units @44.4900						
01/22/2018	***-**-96CL - SCARPULLA,	NEW PERSPECTIVE FUND-C	29.80	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00089051066	BUY 1 Units @44.4900						
01/22/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	21.00	0.21	0.00	0.21	80.0	0.17
	ACCOUNT #: 00085904203	BUY 0 Units @44.4900						
01/22/2018	***-**-8667 - BRONGO, ROBERT	NEW PERSPECTIVE FUND-C	2.55	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085904203	BUY 0 Units @44.4900						
01/22/2018	***-**-6500 - COOK, MARTIN	NEW PERSPECTIVE FUND-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @44.4900						
01/22/2018	***-**-6500 - COOK, MARTIN	NEW PERSPECTIVE FUND-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @44.4900						
01/22/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	2.80	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902226	BUY 0 Units @44.4900						
01/22/2018	***-**-1721 - TABER, LISA	NEW PERSPECTIVE FUND-C	10.50	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 00085902226	BUY 0 Units @44.4900						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u>								
01/24/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 0 Units @44.6500	5.55	0.06	0.00	0.06	80.0	0.05
01/24/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00089051066	NEW PERSPECTIVE FUND-C BUY 1 Units @44.6500	29.80	0.30	0.00	0.30	80.0	0.24
01/24/2018	***-**-05IN - RANDALL, ADAM ACCOUNT #: 00085941585	NEW PERSPECTIVE FUND-C BUY 17 Units @44.6500	750.00	7.50	0.00	7.50	80.0	6.00
01/25/2018	***-**-9004 - MARCELLUS, ACCOUNT #: 04000409785	NEW PERSPECTIVE FUND-C BUY 2 Units @44.5800	100.00	1.00	0.00	1.00	80.0	0.80
01/25/2018	***-**-9004 - MARCELLUS, ACCOUNT #: 04000409785	NEW PERSPECTIVE FUND-C BUY 0 Units @44.5800	10.82	0.11	0.00	0.11	80.0	0.09
01/25/2018	***-**-1955 - MARCELLUS, JAMES ACCOUNT #: 04000409718	NEW PERSPECTIVE FUND-C BUY 1 Units @44.5800	50.00	0.50	0.00	0.50	80.0	0.40
01/25/2018	***-**-1955 - MARCELLUS, JAMES ACCOUNT #: 04000409718	NEW PERSPECTIVE FUND-C BUY 1 Units @44.5800	23.08	0.23	0.00	0.23	80.0	0.18
01/22/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	NEW WORLD FUND-C BUY 1 Units @68.3400	48.20	0.48	0.00	0.48	80.0	0.38
01/22/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 00087038420	NEW WORLD FUND-C BUY 0 Units @68.3400	1.80	0.02	0.00	0.02	80.0	0.02
01/22/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	NEW WORLD FUND-C BUY 0 Units @68.3400	21.00	0.21	0.00	0.21	80.0	0.17
01/22/2018	***-**-8667 - BRONGO, ROBERT ACCOUNT #: 00085904203	NEW WORLD FUND-C BUY 0 Units @68.3400	2.55	0.03	0.00	0.03	80.0	0.02
01/22/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	NEW WORLD FUND-C BUY 0 Units @68.3400	2.80	0.03	0.00	0.03	80.0	0.02
01/22/2018	***-**-1721 - TABER, LISA ACCOUNT #: 00085902226	NEW WORLD FUND-C BUY 0 Units @68.3400	10.50	0.11	0.00	0.11	80.0	0.09
01/24/2018	***-**-05IN - RANDALL, ADAM ACCOUNT #: 00085941585	NEW WORLD FUND-C BUY 7 Units @68.7300	500.00	5.00	0.00	5.00	80.0	4.00
01/25/2018	***-**-398I - GUERRIERI, PAUL ACCOUNT #: 00086040659	NEW WORLD FUND-C BUY 2 Units @68.7700	150.00	1.50	0.00	1.50	80.0	1.20
02/05/2018	***-**-335P - JULIAN, GIOVANA ACCOUNT #: 77613466001	NYA JPM 529 EQUITY INCOME PORTF C BUY 0 Units @0.0000	138.52	1.39	0.00	1.39	80.0	1.11



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
02/05/2018	***-**-80IN - MOYER, COLLEEN	NYA JPM 529 MOD AGE-BASED A	50.00	1.52	0.00	1.52	80.0	1.22
	ACCOUNT #: 77705588101	BUY 0 Units @0.0000						
01/19/2018	***-**-3407 - SUTTELL, PAULA	OPP PORT SERIES: MOD INV FD C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 05470454740	BUY 0 Units @0.0000						
01/19/2018	***-**-56SI - ODELL, JULIE	OPP PORT SERIES: MOD INV FD C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 05470419034	BUY 0 Units @0.0000						
01/19/2018	***-**-56SI - ODELL, JULIE	OPP PORT SERIES: MOD INV FD C	966.77	9.67	0.00	9.67	80.0	7.74
	ACCOUNT #: 05470419034	BUY 0 Units @0.0000						
01/19/2018	***-**-6603 - JOHNSON, TERRY	OPPENHEIMER GLOBAL CLASS C	66.00	0.66	0.00	0.66	80.0	0.53
	ACCOUNT #: 03322638581	BUY 0 Units @0.0000						
01/17/2018	***-**-729I - GIAMBRONE, KELLY	OPPENHEIMER MAIN STREET SMALL CAP F	46.20	0.46	0.00	0.46	80.0	0.37
	ACCOUNT #: 08491596925	BUY 0 Units @0.0000						
01/17/2018	***-**-541P - SCHILLACI, AMY	OPPENHEIMER RISING DIVIDENDS FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 02271188506	BUY 0 Units @0.0000						
01/17/2018	***-**-1314 - BENEDETTO,	OPPENHEIMER RISING DIVIDENDS FUND C	125.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 02270934318	BUY 0 Units @0.0000						
01/17/2018	***-**-655P - DAVIS, BERTHA	OPPENHEIMER RISING DIVIDENDS FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 02271237808	BUY 0 Units @0.0000						
01/19/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER RISING DIVIDENDS FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 02271182830	BUY 0 Units @0.0000						
01/19/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER RISING DIVIDENDS FUND C	353.22	3.53	0.00	3.53	80.0	2.82
	ACCOUNT #: 02271182830	BUY 0 Units @0.0000						
01/22/2018	***-**-4980 - MOYER, COLLEEN	OPPENHEIMER RISING DIVIDENDS FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 02271217095	BUY 0 Units @0.0000						
01/31/2018	***-**-541P - SCHILLACI, AMY	OPPENHEIMER RISING DIVIDENDS FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 02271188506	BUY 0 Units @0.0000						
01/31/2018	***-**-655P - DAVIS, BERTHA	OPPENHEIMER RISING DIVIDENDS FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 02271237808	BUY 0 Units @0.0000						
01/17/2018	***-**-1314 - BENEDETTO,	QUEST BALANCED FUND C	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 02592292741	BUY 0 Units @0.0000						
01/24/2018	***-**-8706 - BOSCO, REN	QUEST BALANCED FUND C	500.00	5.00	0.00	5.00	80.0	4.00
	ACCOUNT #: 02590094105	BUY 0 Units @0.0000						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u>								
01/17/2018	***-**-2620 - CUSIMANO, MEGAN	REAL ESTATE FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 05920400103	BUY 0 Units @0.0000						
01/19/2018	***-**-7330 - JOHNSON, DONALD	REAL ESTATE FUND C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 05920398266	BUY 0 Units @0.0000						
01/22/2018	***-**-9530 - MILAM, IAN	REAL ESTATE FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 05920419496	BUY 0 Units @0.0000						
01/19/2018	***-**-1834 - BUTLER, KIM	SMALL- & MID- CAP VALUE FUND C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 02531162106	BUY 0 Units @0.0000						
01/22/2018	***-**-4980 - MOYER, COLLEEN	SMALL- & MID- CAP VALUE FUND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 02531288969	BUY 0 Units @0.0000						
01/22/2018	***-**-445P - BURNS, DANIEL	SMALLCAP WORLD FUND-A	28.60	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00066758346	BUY 0 Units @58.9600						
01/22/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	5.56	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 00089051066	BUY 0 Units @51.8400						
01/22/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	29.80	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00089051066	BUY 1 Units @51.8400						
01/22/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	5.56	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 00089051066	BUY 0 Units @51.8400						
01/22/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	29.80	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00089051066	BUY 1 Units @51.8400						
01/22/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @51.8400						
01/22/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @51.8400						
01/24/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	5.56	0.06	0.00	0.06	80.0	0.05
	ACCOUNT #: 00089051066	BUY 0 Units @52.1000						
01/24/2018	***-**-96CL - SCARPULLA,	SMALLCAP WORLD FUND-C	29.80	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00089051066	BUY 1 Units @52.1000						
01/17/2018	***-**-1314 - BENEDETTO,	STRATEGIC INCOME FUND C	125.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 02322751089	BUY 0 Units @0.0000						
01/19/2018	***-**-1834 - BUTLER, KIM	STRATEGIC INCOME FUND C	10.00	0.10	0.00	0.10	80.0	0.08
	ACCOUNT #: 01920863291	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
01/22/2018	***-**-445P - BURNS, DANIEL ACCOUNT #: 00066758346	THE BOND FUND OF AMERICA-A BUY 2 Units @12.7600	19.80	0.20	0.00	0.20	80.0	0.16
01/22/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	THE BOND FUND OF AMERICA-C BUY 0 Units @12.7600	3.00	0.03	0.00	0.03	80.0	0.02
01/22/2018	***-**-3955 - EAST, MICHAEL ACCOUNT #: 00085904181	THE BOND FUND OF AMERICA-C BUY 1 Units @12.7600	9.00	0.09	0.00	0.09	80.0	0.07
01/22/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	THE BOND FUND OF AMERICA-C BUY 0 Units @12.7600	3.63	0.04	0.00	0.04	80.0	0.03
01/22/2018	***-**-2163 - TRAPANI, JAMES ACCOUNT #: 00085902249	THE BOND FUND OF AMERICA-C BUY 2 Units @12.7600	28.05	0.28	0.00	0.28	80.0	0.22
01/26/2018	***-**-5838 - SPOLETA, BRENDA ACCOUNT #: 00088037501	THE BOND FUND OF AMERICA-C BUY 8 Units @12.7600	100.00	1.00	0.00	1.00	80.0	0.80
01/22/2018	***-**-195P - OZMINKOWSKI, ACCOUNT #: 00066758342	THE GROWTH FUND OF AMERICA-A BUY 5 Units @53.1400	250.00	2.50	0.00	2.50	80.0	2.00
01/22/2018	***-**-405P - FRISA, ALAN ACCOUNT #: 00066758344	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.1400	30.00	0.30	0.00	0.30	80.0	0.24
01/22/2018	***-**-445P - BURNS, DANIEL ACCOUNT #: 00066758346	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.1400	72.60	0.73	0.00	0.73	80.0	0.58
01/22/2018	***-**-275P - LAMANNA, DANIEL ACCOUNT #: 00066758347	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.1400	30.00	0.30	0.00	0.30	80.0	0.24
01/22/2018	***-**-945P - VEGA, EDWARD ACCOUNT #: 00066758348	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.1400	50.00	0.50	0.00	0.50	80.0	0.40
01/22/2018	***-**-095P - RIVERA, MIGUEL ACCOUNT #: 00074922243	THE GROWTH FUND OF AMERICA-A BUY 2 Units @53.1400	130.00	1.30	0.00	1.30	80.0	1.04
01/22/2018	***-**-4750 - OSCHMANN, MARK ACCOUNT #: 00083414110	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.1400	57.69	0.58	0.00	0.58	80.0	0.46
01/22/2018	***-**-9447 - ZAJONCZKOSKI, ACCOUNT #: 00087318332	THE GROWTH FUND OF AMERICA-A BUY 0 Units @53.1400	20.00	0.20	0.00	0.20	80.0	0.16
01/22/2018	***-**-4263 - HARTER, IAN ACCOUNT #: 00089461113	THE GROWTH FUND OF AMERICA-A BUY 0 Units @53.1400	10.00	0.10	0.00	0.10	80.0	0.08
01/22/2018	***-**-425P - MEEKS, PERDITA ACCOUNT #: 00074210167	THE GROWTH FUND OF AMERICA-A BUY 0 Units @56.3800	12.50	0.63	0.00	0.63	80.0	0.50



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
01/22/2018	***-**-312S - DISCH, JASMINE	THE GROWTH FUND OF AMERICA-C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089583477	BUY 0 Units @49.2600						
01/22/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	15.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 00089045192	BUY 0 Units @49.2600						
01/22/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	48.20	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00087038420	BUY 1 Units @49.2600						
01/22/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	1.80	0.02	0.00	0.02	80.0	0.02
	ACCOUNT #: 00087038420	BUY 0 Units @49.2600						
01/22/2018	***-**-6500 - COOK, MARTIN	THE GROWTH FUND OF AMERICA-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @49.2600						
01/22/2018	***-**-6500 - COOK, MARTIN	THE GROWTH FUND OF AMERICA-C	2.50	0.03	0.00	0.03	80.0	0.02
	ACCOUNT #: 00085902231	BUY 0 Units @49.2600						
01/24/2018	***-**-05IN - RANDALL, ADAM	THE GROWTH FUND OF AMERICA-C	1,150.00	11.50	0.00	11.50	80.0	9.20
	ACCOUNT #: 00085941585	BUY 23 Units @49.6300						
01/25/2018	***-**-6375 - MARCELLUS,	THE GROWTH FUND OF AMERICA-C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 04000598159	BUY 2 Units @49.6700						
01/25/2018	***-**-6375 - MARCELLUS,	THE GROWTH FUND OF AMERICA-C	24.00	0.24	0.00	0.24	80.0	0.19
	ACCOUNT #: 04000598159	BUY 0 Units @49.6700						
01/25/2018	***-**-9004 - MARCELLUS,	THE GROWTH FUND OF AMERICA-C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 04000409785	BUY 2 Units @49.6700						
01/25/2018	***-**-9004 - MARCELLUS,	THE GROWTH FUND OF AMERICA-C	10.82	0.11	0.00	0.11	80.0	0.09
	ACCOUNT #: 04000409785	BUY 0 Units @49.6700						
01/25/2018	***-**-1955 - MARCELLUS, JAMES	THE GROWTH FUND OF AMERICA-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000409718	BUY 1 Units @49.6700						
01/25/2018	***-**-1955 - MARCELLUS, JAMES	THE GROWTH FUND OF AMERICA-C	23.08	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: 04000409718	BUY 0 Units @49.6700						
01/25/2018	***-**-8208 - KARNS, SHANNON	THE GROWTH FUND OF AMERICA-C	480.00	4.80	0.00	4.80	80.0	3.84
	ACCOUNT #: 04000409714	BUY 10 Units @49.6700						
01/25/2018	***-**-8208 - KARNS, SHANNON	THE GROWTH FUND OF AMERICA-C	15.80	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 04000409714	BUY 0 Units @49.6700						
01/25/2018	***-**-3727 - D'ANGELO, ANDREW	THE GROWTH FUND OF AMERICA-C	12.00	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 04000409699	BUY 0 Units @49.6700						



COMMISSION STATEMENT

February 05, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
01/25/2018	***-**-3727 - D'ANGELO, ANDREW	THE GROWTH FUND OF AMERICA-C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 04000409699	BUY 0 Units @49.6700						
01/25/2018	***-**-2678 - VELLA, VINCENZO	THE GROWTH FUND OF AMERICA-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000248491	BUY 1 Units @49.6700						
01/25/2018	***-**-7186 - VELLA, DOMENICO	THE GROWTH FUND OF AMERICA-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000248474	BUY 1 Units @49.6700						
01/25/2018	***-**-6171 - ROWE, KEVIN	THE GROWTH FUND OF AMERICA-C	53.00	0.53	0.00	0.53	80.0	0.42
	ACCOUNT #: 04000409801	BUY 1 Units @49.6700						
01/25/2018	***-**-6171 - ROWE, KEVIN	THE GROWTH FUND OF AMERICA-C	480.00	4.80	0.00	4.80	80.0	3.84
	ACCOUNT #: 04000409801	BUY 10 Units @49.6700						
01/22/2018	***-**-8776 - LEVINE, TODD	THE INCOME FUND OF AMERICA-A	100.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 00067996697	BUY 4 Units @25.2700						
01/22/2018	***-**-195P - OZMINKOWSKI,	THE INVESTMENT COMPANY OF AMERICA-A	250.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00066758342	BUY 6 Units @42.6300						
01/22/2018	***-**-445P - BURNS, DANIEL	THE INVESTMENT COMPANY OF AMERICA-A	28.60	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 00066758346	BUY 1 Units @42.6300						
01/22/2018	***-**-945P - VEGA, EDWARD	THE INVESTMENT COMPANY OF AMERICA-A	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00066758348	BUY 1 Units @42.6300						
01/22/2018	***-**-965P - MCGUIRE, SCOTT	THE INVESTMENT COMPANY OF AMERICA-A	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00073798048	BUY 0 Units @42.6300						
01/22/2018	***-**-415P - MALOVICS, LASZLO	WASHINGTON MUTUAL INVESTORS FUND-A	500.00	5.00	0.00	5.00	80.0	4.00
	ACCOUNT #: 00066758345	BUY 10 Units @48.1900						
01/22/2018	***-**-445P - BURNS, DANIEL	WASHINGTON MUTUAL INVESTORS FUND-A	30.80	0.31	0.00	0.31	80.0	0.25
	ACCOUNT #: 00066758346	BUY 1 Units @48.1900						
01/24/2018	***-**-2825 - SPERANZA, JOHN	WASHINGTON MUTUAL INVESTORS FUND-C	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089910177	BUY 1 Units @47.5800						
01/24/2018	***-**-2825 - SPERANZA, JOHN	WASHINGTON MUTUAL INVESTORS FUND-C	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089910177	BUY 1 Units @47.5800						
01/24/2018	***-**-2825 - SPERANZA, JOHN	WASHINGTON MUTUAL INVESTORS FUND-C	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00089910177	BUY 1 Units @47.5800						
01/24/2018	***-**-2825 - SPERANZA, JOHN	WASHINGTON MUTUAL INVESTORS FUND-C	-40.00	-0.40	0.00	-0.40	80.0	-0.32
	ACCOUNT #: 00089910177	BUY 1 Units @47.5800						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
01/25/2018	***-**-398I - GUERRIERI, PAUL	WASHINGTON MUTUAL INVESTORS FUND-C	150.00	1.50	0.00	1.50	80.0	1.20
	ACCOUNT #: 00086040659	BUY 3 Units @47.5500						
01/26/2018	***-**-7645 - SUSS, PATRICK	WASHINGTON MUTUAL INVESTORS FUND-C	3,500.00	35.00	0.00	35.00	80.0	28.00
	ACCOUNT #: 04000680953	BUY 73 Units @48.1300						
02/05/2018	***-**-96CL - SCARPULLA,	WELLS FARGO MF TRIALS	0.00	0.74	0.00	0.74	80.0	0.59
		BUY 0 Units @0.0000						
		* MUTUAL FUNDS SUBTOTAL *	\$19,731.58	\$219.50	\$0.00	\$219.50		\$175.58
PRODUCT CATEGORY: RIA								
01/31/2018	***-**-90FB - DIODATO, CAROL	SYMMETRY RIA	394,780.99	986.95	0.00	986.95	80.0	789.56
	ACCOUNT #: 525805	BUY 0 Units @39.4780						
01/31/2018	***-**-44FB - PIAZZA, ANNIE	SYMMETRY RIA	19,604.39	49.01	0.00	49.01	80.0	39.21
	ACCOUNT #: 528418	BUY 0 Units @1.9604						
01/31/2018	***-**-22FB - WAGNER, LAUREL	SYMMETRY RIA	175,220.72	438.05	0.00	438.05	80.0	350.44
	ACCOUNT #: 544373	BUY 0 Units @17.5220						
01/31/2018	***-**-58IR - HINDERLAND, GARY	SYMMETRY RIA	56,020.94	140.05	0.00	140.05	80.0	112.04
	ACCOUNT #: 553934	BUY 0 Units @5.6020						
		* RIA SUBTOTAL *	\$645,627.04	\$1,614.06	\$0.00	\$1,614.06		\$1,291.25
PRODUCT CATEGORY: 529 PLAN								
02/01/2018	***-**-3758 - CONNORS, DAVID	NYA JPM 529 AGGR AGE-BASE C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 77162702001	BUY 0 Units @0.0000						
02/01/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 AGGR AGE-BASE C	138.52	1.39	0.00	1.39	80.0	1.11
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
		* 529 PLAN SUBTOTAL *	\$163.52	\$1.64	\$0.00	\$1.64		\$1.31
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
02/01/2018	***-**-526I - CAVALLARO,	EAGLE ASSET MGMNT TRAILS	0.00	31.72	0.00	31.72	80.0	25.38
	ACCOUNT #: 04000198669	BUY 0 Units @0.0000						
01/19/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER CORP BOND FND C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 00100067156	BUY 0 Units @0.0000						
01/19/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER CORP BOND FND C	353.23	3.53	0.00	3.53	80.0	2.82
	ACCOUNT #: 00100067156	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
02/01/2018	***-**-69IN - BUCK, LESLIE	TOUCHSTONE TRAILS BUY 0 Units @0.0000	0.00	2.21	0.00	2.21	80.0	1.77
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$378.23	\$37.71	\$0.00	\$37.71		\$30.17
*** BROKER TRANSACTIONS TOTAL ***			\$665,900.37	\$1,872.91	\$0.00	\$1,872.91		\$1,498.31

BROKER COMMISSION TOTALS

Broker Transactions	\$1,498.31
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$1,498.31
Year-to-date Earnings	\$1,498.31