



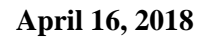
COMMISSION STATEMENT

April 16, 2018

ALAN FISHMAN
3 Buckley Place
SUITE 615
Hartsdale, NY 10530

BROKER #: 851
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/01/2018	***-**-305P - BLUMEN, ROBERT	AIM SMALL CAP GROWTH FUND - CLASS A	0.00	113.89	0.00	113.89	75.0	85.42
	ACCOUNT #: 05056135477	BUY 0 Units @0.0000						
04/01/2018	***-**-19IN - BLUMEN, IAN	AIM SMALL CAP GROWTH FUND - CLASS A	0.00	7.76	0.00	7.76	75.0	5.82
	ACCOUNT #: 05066338392	BUY 0 Units @0.0000						
03/31/2018	***-**-427I - FISHMAN, ALAN	INTERNATIONAL SMALL COMPANY A	0.00	62.14	0.00	62.14	75.0	46.61
	ACCOUNT #: 08150696707	BUY 0 Units @0.0000						
04/01/2018	***-**-305P - BLUMEN, ROBERT	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	75.95	0.00	75.95	75.0	56.96
	ACCOUNT #: 05056135477	BUY 0 Units @0.0000						
04/01/2018	***-**-305P - BLUMEN, ROBERT	INVESCO VAN KAMPEN MID CAP GROWTH A	0.00	87.57	0.00	87.57	75.0	65.68
	ACCOUNT #: 05056135477	BUY 0 Units @0.0000						
03/31/2018	***-**-427I - FISHMAN, ALAN	MAIN STREET FUND A	0.00	25.79	0.00	25.79	75.0	19.34
	ACCOUNT #: 07004434301	BUY 0 Units @0.0000						
03/31/2018	***-**-427I - FISHMAN, ALAN	OPPENHEIMER DEVELOPING MARKETS CLAS	0.00	50.32	0.00	50.32	75.0	37.74
	ACCOUNT #: 07852994145	BUY 0 Units @0.0000						
03/31/2018	***-**-427I - FISHMAN, ALAN	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	24.53	0.00	24.53	75.0	18.40
	ACCOUNT #: 02512697684	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$447.95	\$0.00	\$447.95		\$335.97
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$447.95	\$0.00	\$447.95		\$335.97



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				GROSS	CLEARING	NET	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
BROKER COMMISSION TOTALS							
						Broker Transactions	\$335.97
						Split Transactions	\$0.00
						Override Transactions	\$0.00
						Adjustments	\$0.00
						Payroll Draw	\$0.00
						Base Salary	\$0.00
						Prior Period Balance	\$0.00
						FINRA Assessment	\$0.00
						SIPC Assessment	\$0.00
						Net Commission Earned	\$335.97
						Year-to-date Earnings	\$6,592.25