

# COMMISSION STATEMENT July 31, 2018

 ALAN SMITH-D206  
 1900 NW Loop 410

 BROKER #: D206  
 BRANCH: DMR

San Antonio, TX 78213

**COMMISSION STATEMENT for ALAN SMITH-D206**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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**CLEARING TRANSACTIONS**
**PRODUCT CATEGORY: MUTUAL FUNDS**

07/20/2018	430507106	KOLITZ, ROBERT	MF	AMERICAN BALANCED FUND-A	S	930,002.45	9,300.00	2.50	9,297.50	80.0	7,438.00
* MUTUAL FUNDS SUBTOTAL *						\$930,002.45	\$9,300.00	\$2.50	\$9,297.50		\$7,438.00

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

07/23/2018	mfc892289	SMITH ALAN 892289	MFT	AIM CHARTER FUND - CLASS A	B	0.00	3.18	0.00	3.18	80.0	2.54
07/23/2018	mfc892289	SMITH ALAN 892289	MFT	AMERICAN BALANCED FUND-A	B	0.00	24.22	0.00	24.22	80.0	19.38
07/23/2018	mfc892289	SMITH ALAN 892289	MFT	AMERICAN BALANCED FUND-A	B	0.00	32.93	0.00	32.93	80.0	26.34
07/23/2018	mfc892289	SMITH ALAN 892289	MFT	AMERICAN BALANCED FUND-A	B	0.00	131.73	0.00	131.73	80.0	105.38
07/23/2018	mfc892289	SMITH ALAN 892289	MFT	AMERICAN HIGH-INCOME TRUST-A	B	0.00	17.98	0.00	17.98	80.0	14.38
07/23/2018	mfc892289	SMITH ALAN 892289	MFT	CAPITAL INCOME BUILDER-A	B	0.00	21.59	0.00	21.59	80.0	17.27
07/23/2018	mfc892289	SMITH ALAN 892289	MFT	INVESCO AMERICAN FRANCHISE FUND	B	0.00	239.28	0.00	239.28	80.0	191.42
07/23/2018	mfc892289	SMITH ALAN 892289	MFT	WASHINGTON MUTUAL INVESTORS	B	0.00	40.93	0.00	40.93	80.0	32.74
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$511.84	\$0.00	\$511.84		\$409.45
*** CLEARING TRANSACTIONS TOTAL ***						\$930,002.45	\$9,811.84	\$2.50	\$9,809.34		\$7,847.45

**DIRECT TRANSACTIONS**
**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

06/30/2018	(None)	GLENNROBES	MFT	BLACKROCK	B	0.00	5.11	0.00	5.11	80.0	4.09
06/30/2018	(None)	GLENNROBES	MFT	BLACKROCK	B	0.00	5.54	0.00	5.54	80.0	4.43
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$10.65	\$0.00	\$10.65		\$8.52

**PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS**

07/12/2018	(None)	EXPLOREUSA	VAT	TRANSAMERICA LIFE	B	0.00	1,683.19	0.00	1,683.19	80.0	1,346.55
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *						\$0.00	\$1,683.19	\$0.00	\$1,683.19		\$1,346.55
*** DIRECT TRANSACTIONS TOTAL ***						\$0.00	\$1,693.84	\$0.00	\$1,693.84		\$1,355.07

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ADJUSTMENTS TRANSACTIONSBROKER: #0

07/31/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
07/31/2018	:	BROKER WORKSTATION-CASTILLO		0.00	0.00	0.00	0.00		-30.00
07/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
07/31/2018	:	E-MAIL ACCOUNT-CASTILLO		0.00	0.00	0.00	0.00		-25.00
07/31/2018	:	E-MAIL ACCOUNT-GONZALES		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-135.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-135.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$9,202.52
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-135.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$9,067.52
Year-to-date Commissions	\$39,642.66