

COMMISSION STATEMENT

June 30, 2018

FRANK WAGNER-879660

BROKER #:

BRANCH: D12

, TX

COMMISSION STATEMENT for FRANK WAGNER-879660

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
06/11/2018 368271956	RATHKE, CALVIN	S ISHARES MSCI FRANCE IND FD	S	-2,257.52	15.00	15.00	0.00	80.0	0.00
06/20/2018 368271956	RATHKE, CALVIN	S NEW RESIDENTIAL INVESTMENT	B	3,751.19	15.00	15.00	0.00	80.0	0.00
06/20/2018 368271956	RATHKE, CALVIN	S VMWARE INC-CLASS A COM	B	3,073.65	15.00	15.00	0.00	80.0	0.00
06/21/2018 368271956	RATHKE, CALVIN	S PPL CORPORATION	B	4,182.77	15.00	15.00	0.00	80.0	0.00
06/11/2018 645846940	ROWLING, DAVID	S ISHARES MSCI FRONTIER 100 FUND	S	-31,750.14	60.00	15.00	45.00	80.0	36.00
06/11/2018 645846940	ROWLING, DAVID	S ISHARES MSCI FRANCE IND FD	S	-32,452.13	60.00	15.00	45.00	80.0	36.00
06/11/2018 645846940	ROWLING, DAVID	S VANGUARD MSCI EUROPE ETF	S	-77,041.05	78.00	15.00	63.00	80.0	50.40
06/20/2018 645846940	ROWLING, DAVID	S NEW RESIDENTIAL INVESTMENT	B	37,462.45	120.00	15.00	105.00	80.0	84.00
06/20/2018 645846940	ROWLING, DAVID	S VMWARE INC-CLASS A COM	B	38,185.05	15.00	15.00	0.00	80.0	0.00
06/21/2018 645846940	ROWLING, DAVID	S PPL CORPORATION	B	33,313.25	72.00	15.00	57.00	80.0	45.60
06/11/2018 677227782	SCHMIDT, RONALD	S ISHARES MSCI FRANCE IND FD	S	-470.06	15.00	15.00	0.00	80.0	0.00
06/20/2018 677227782	SCHMIDT, RONALD	S NEW RESIDENTIAL INVESTMENT	B	483.95	15.00	15.00	0.00	80.0	0.00
* STOCKS SUBTOTAL *				\$-23,518.59	\$495.00	\$180.00	\$315.00		\$252.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/21/2018 mfc879660	VARIOUS,	MFT AMCAP FUND-A	B	0.00	14.46	0.00	14.46	80.0	11.57
06/21/2018 mfc879660	VARIOUS,	MFT AMCAP FUND-A	B	0.00	17.89	0.00	17.89	80.0	14.31
06/21/2018 mfc879660	VARIOUS,	MFT AMCAP FUND-A	B	0.00	64.87	0.00	64.87	80.0	51.90
06/21/2018 mfc879660	VARIOUS,	MFT AMERICAN BALANCED FUND-A	B	0.00	11.11	0.00	11.11	80.0	8.89
06/21/2018 mfc879660	VARIOUS,	MFT AMERICAN BALANCED FUND-C	B	0.00	26.83	0.00	26.83	80.0	21.46
06/21/2018 mfc879660	VARIOUS,	MFT AMERICAN MUTUAL FUND-A	B	0.00	14.83	0.00	14.83	80.0	11.86
06/21/2018 mfc879660	VARIOUS,	MFT CALAMOS GROWTH FUND CLASS C	B	0.00	12.17	0.00	12.17	80.0	9.74
06/21/2018 mfc879660	VARIOUS,	MFT CALAMOS GROWTH FUND CLASS C	B	0.00	21.18	0.00	21.18	80.0	16.94
06/21/2018 mfc879660	VARIOUS,	MFT CALAMOS GROWTH FUND CLASS A	B	0.00	9.35	0.00	9.35	80.0	7.48
06/21/2018 mfc879660	VARIOUS,	MFT CALVERT INCOME FD CL A	B	0.00	17.16	0.00	17.16	80.0	13.73
06/21/2018 mfc879660	VARIOUS,	MFT CALVERT LONG TERM INCOME FD CL	B	0.00	19.29	0.00	19.29	80.0	15.43
06/21/2018 mfc879660	VARIOUS,	MFT CAPITAL APPRECIATION - CLASS A	B	0.00	15.16	0.00	15.16	80.0	12.13
06/21/2018 mfc879660	VARIOUS,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.21	0.00	1.21	80.0	0.97
06/21/2018 mfc879660	VARIOUS,	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	1.39	0.00	1.39	80.0	1.11
06/21/2018 mfc879660	VARIOUS,	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	61.76	0.00	61.76	80.0	49.41
06/21/2018 mfc879660	VARIOUS,	MFT FEDERATED EQUITY INCOME FUND	B	0.00	2.37	0.00	2.37	80.0	1.90

COMMISSION STATEMENT for FRANK WAGNER-879660

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
06/21/2018	mfc879660	VARIOUS, MFT FEDERATED EQUITY INCOME FUND	B	0.00	3.45	0.00	3.45	80.0	2.76
06/21/2018	mfc879660	VARIOUS, MFT FEDERATED EQUITY INCOME FUND	B	0.00	11.57	0.00	11.57	80.0	9.26
06/21/2018	mfc879660	VARIOUS, MFT FEDERATED EQUITY INCOME FUND	B	0.00	13.87	0.00	13.87	80.0	11.10
06/21/2018	mfc879660	VARIOUS, MFT FEDERATED EQUITY INCOME FUND	B	0.00	14.67	0.00	14.67	80.0	11.74
06/21/2018	mfc879660	VARIOUS, MFT FUNDAMENTAL INVESTORS-A	B	0.00	4.26	0.00	4.26	80.0	3.41
06/21/2018	mfc879660	VARIOUS, MFT FUNDAMENTAL INVESTORS-A	B	0.00	49.84	0.00	49.84	80.0	39.87
06/21/2018	mfc879660	VARIOUS, MFT GLOBAL OPPORTUNITIES A	B	0.00	30.78	0.00	30.78	80.0	24.62
06/21/2018	mfc879660	VARIOUS, MFT GLOBAL OPPORTUNITIES A	B	0.00	50.11	0.00	50.11	80.0	40.09
06/21/2018	mfc879660	VARIOUS, MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	7.38	0.00	7.38	80.0	5.90
06/21/2018	mfc879660	VARIOUS, MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	12.22	0.00	12.22	80.0	9.78
06/21/2018	mfc879660	VARIOUS, MFT KAR CAPITAL GROWTH	B	0.00	2.71	0.00	2.71	80.0	2.17
06/21/2018	mfc879660	VARIOUS, MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	17.46	0.00	17.46	80.0	13.97
06/21/2018	mfc879660	VARIOUS, MFT MAIN STREET FUND A	B	0.00	10.50	0.00	10.50	80.0	8.40
06/21/2018	mfc879660	VARIOUS, MFT MID-CAP VALUE FUND CLASS A	B	0.00	12.78	0.00	12.78	80.0	10.22
06/21/2018	mfc879660	VARIOUS, MFT MID-CAP VALUE FUND CLASS A	B	0.00	13.11	0.00	13.11	80.0	10.49
06/21/2018	mfc879660	VARIOUS, MFT MID-CAP VALUE FUND CLASS A	B	0.00	14.74	0.00	14.74	80.0	11.79
06/21/2018	mfc879660	VARIOUS, MFT MID-CAP VALUE FUND CLASS A	B	0.00	28.89	0.00	28.89	80.0	23.11
06/21/2018	mfc879660	VARIOUS, MFT NEW PERSPECTIVE FUND-A	B	0.00	60.31	0.00	60.31	80.0	48.25
06/21/2018	mfc879660	VARIOUS, MFT NEW WORLD FUND-A	B	0.00	1.32	0.00	1.32	80.0	1.06
06/21/2018	mfc879660	VARIOUS, MFT NEW WORLD FUND-A	B	0.00	12.43	0.00	12.43	80.0	9.94
06/21/2018	mfc879660	VARIOUS, MFT NEW WORLD FUND-A	B	0.00	46.53	0.00	46.53	80.0	37.22
06/21/2018	mfc879660	VARIOUS, MFT OPPENHEIMERFUNDS 68381A103	B	0.00	15.08	0.00	15.08	80.0	12.06
06/21/2018	mfc879660	VARIOUS, MFT PIMCO REAL RETURN FUND (A)	B	0.00	3.32	0.00	3.32	80.0	2.66
06/21/2018	mfc879660	VARIOUS, MFT PIMCO REAL RETURN FUND (A)	B	0.00	4.66	0.00	4.66	80.0	3.73
06/21/2018	mfc879660	VARIOUS, MFT PIMCO REAL RETURN FUND (A)	B	0.00	9.32	0.00	9.32	80.0	7.46
06/21/2018	mfc879660	VARIOUS, MFT PIMCO REAL RETURN FUND (A)	B	0.00	25.97	0.00	25.97	80.0	20.78
06/21/2018	mfc879660	VARIOUS, MFT PIMCO REAL RETURN FUND (A)	B	0.00	35.93	0.00	35.93	80.0	28.74
06/21/2018	mfc879660	VARIOUS, MFT SMALLCAP WORLD FUND-A	B	0.00	0.80	0.00	0.80	80.0	0.64
06/21/2018	mfc879660	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.17	0.00	3.17	80.0	2.54
06/21/2018	mfc879660	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	31.78	0.00	31.78	80.0	25.42
06/21/2018	mfc879660	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	49.24	0.00	49.24	80.0	39.39
06/21/2018	mfc879660	VARIOUS, MFT THE GROWTH FUND OF AMERICA-A	B	0.00	73.03	0.00	73.03	80.0	58.42
06/21/2018	mfc879660	VARIOUS, MFT THE HARTFORD CAPITAL	B	0.00	0.19	0.00	0.19	80.0	0.15
06/21/2018	mfc879660	VARIOUS, MFT THE INVESTMENT COMPANY OF	B	0.00	39.21	0.00	39.21	80.0	31.37
06/21/2018	mfc879660	VARIOUS, MFT THE NEW ECONOMY FUND-A	B	0.00	10.95	0.00	10.95	80.0	8.76
06/21/2018	mfc879660	VARIOUS, MFT WASHINGTON MUTUAL INVESTORS	B	0.00	7.09	0.00	7.09	80.0	5.67
06/21/2018	mfc879660	VARIOUS, MFT WASHINGTON MUTUAL INVESTORS	B	0.00	19.25	0.00	19.25	80.0	15.40
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,058.95	\$0.00	\$1,058.95		\$847.17
*** CLEARING TRANSACTIONS TOTAL ***				\$-23,518.59	\$1,553.95	\$180.00	\$1,373.95		\$1,099.17

COMMISSION STATEMENT for FRANK WAGNER-879660

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS TRANSACTIONSBROKER: #0

06/30/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
06/30/2018	:	E&O		0.00	0.00	0.00	0.00		-120.00
06/30/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
* SUBTOTAL *				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-175.00</u>
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-175.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$1,099.17
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-175.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$924.17
Year-to-date Commissions	\$9,021.06