

2:48 PM

05/23/18

Accrual Basis

Dominion Investor Services
Vendor Open Balance
All Transactions

Type	Date	Num	Memo	Due Date	Open Balance	Amount
HENTEMANN, MARK T.-D034						
Credit	03/31/2018	COM...			-39.15	-39.15
Credit	04/01/2018	E&O			-120.00	-120.00
Credit	04/05/2018	FINR...			-135.00	-135.00
Bill	04/15/2018	COM...		04/15/2018	129.16	129.16
Bill	04/30/2018	COM...		04/30/2018	5.47	5.47
Credit	05/01/2018	E&O			-120.00	-120.00
Bill	05/15/2018	COM...		05/15/2018	131.03	131.03
Total HENTEMANN, MARK T.-D034					-148.49	-148.49
TOTAL					-148.49	-148.49

COMMISSION STATEMENT May 15, 2018

MARK HENTEMANN-D034

BROKER #: D034

BRANCH: DMT

, TX

COMMISSION STATEMENT for MARK HENTEMANN-D034

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
04/23/2018	00074147989	LUKE, RICHARD	MF	CAPITAL WORLD GROWTH AND INCOME	B	230.76	6.35	0.00	6.35	75.0	4.76
04/23/2018	00074147989	LUKE, RICHARD	MF	THE GROWTH FUND OF AMERICA-A	B	230.76	6.35	0.00	6.35	75.0	4.76
04/23/2018	00074147989	LUKE, RICHARD	MF	THE INVESTMENT COMPANY OF	B	230.76	6.35	0.00	6.35	75.0	4.76
05/11/2018	00074147989	LUKE, RICHARD	MF	CAPITAL WORLD GROWTH AND INCOME	B	230.77	6.35	0.00	6.35	75.0	4.76
05/11/2018	00074147989	LUKE, RICHARD	MF	THE GROWTH FUND OF AMERICA-A	B	230.76	6.35	0.00	6.35	75.0	4.76
05/11/2018	00074147989	LUKE, RICHARD	MF	THE INVESTMENT COMPANY OF	B	230.76	6.35	0.00	6.35	75.0	4.76
04/23/2018	00074148433	CLARK, DAN	MF	AMERICAN BALANCED FUND-A	B	13.11	0.36	0.00	0.36	75.0	0.27
04/23/2018	00074148433	CLARK, DAN	MF	THE GROWTH FUND OF AMERICA-A	B	30.59	0.84	0.00	0.84	75.0	0.63
05/11/2018	00074148433	CLARK, DAN	MF	AMERICAN BALANCED FUND-A	B	55.34	1.52	0.00	1.52	75.0	1.14
05/11/2018	00074148433	CLARK, DAN	MF	THE GROWTH FUND OF AMERICA-A	B	129.11	3.55	0.00	3.55	75.0	2.66
04/23/2018	00088990408	OAKS, SAMUEL	MF	CAPITAL WORLD GROWTH AND INCOME	B	33.34	0.92	0.00	0.92	75.0	0.69
04/23/2018	00088990408	OAKS, SAMUEL	MF	THE GROWTH FUND OF AMERICA-A	B	38.89	1.07	0.00	1.07	75.0	0.80
04/23/2018	00088990408	OAKS, SAMUEL	MF	THE INVESTMENT COMPANY OF	B	38.89	1.07	0.00	1.07	75.0	0.80
05/11/2018	00088990408	OAKS, SAMUEL	MF	CAPITAL WORLD GROWTH AND INCOME	B	29.69	0.82	0.00	0.82	75.0	0.62
05/11/2018	00088990408	OAKS, SAMUEL	MF	THE GROWTH FUND OF AMERICA-A	B	34.64	0.95	0.00	0.95	75.0	0.71
05/11/2018	00088990408	OAKS, SAMUEL	MF	THE INVESTMENT COMPANY OF	B	34.64	0.95	0.00	0.95	75.0	0.71
04/23/2018	00088990432	BRESSERS, AMANDA	MF	AMERICAN BALANCED FUND-A	B	55.55	1.53	0.00	1.53	75.0	1.15
04/23/2018	00088990432	BRESSERS, AMANDA	MF	CAPITAL WORLD GROWTH AND INCOME	B	33.33	0.92	0.00	0.92	75.0	0.69
04/23/2018	00088990432	BRESSERS, AMANDA	MF	FUNDAMENTAL INVESTORS-A	B	44.44	1.22	0.00	1.22	75.0	0.92
04/23/2018	00088990432	BRESSERS, AMANDA	MF	THE GROWTH FUND OF AMERICA-A	B	44.44	1.22	0.00	1.22	75.0	0.92
04/23/2018	00088990432	BRESSERS, AMANDA	MF	THE INVESTMENT COMPANY OF	B	44.43	1.22	0.00	1.22	75.0	0.92
05/11/2018	00088990432	BRESSERS, AMANDA	MF	AMERICAN BALANCED FUND-A	B	50.58	1.39	0.00	1.39	75.0	1.04
05/11/2018	00088990432	BRESSERS, AMANDA	MF	CAPITAL WORLD GROWTH AND INCOME	B	30.35	0.83	0.00	0.83	75.0	0.62
05/11/2018	00088990432	BRESSERS, AMANDA	MF	FUNDAMENTAL INVESTORS-A	B	40.46	1.11	0.00	1.11	75.0	0.83
05/11/2018	00088990432	BRESSERS, AMANDA	MF	THE GROWTH FUND OF AMERICA-A	B	40.46	1.11	0.00	1.11	75.0	0.83
05/11/2018	00088990432	BRESSERS, AMANDA	MF	THE INVESTMENT COMPANY OF	B	40.46	1.11	0.00	1.11	75.0	0.83
04/23/2018	00088990439	VANBEELEN, JORDAN	MF	CAPITAL WORLD GROWTH AND INCOME	B	2.89	0.08	0.00	0.08	75.0	0.06
04/23/2018	00088990439	VANBEELEN, JORDAN	MF	FUNDAMENTAL INVESTORS-A	B	2.89	0.08	0.00	0.08	75.0	0.06
04/23/2018	00088990439	VANBEELEN, JORDAN	MF	THE GROWTH FUND OF AMERICA-A	B	4.34	0.12	0.00	0.12	75.0	0.09
04/23/2018	00088990439	VANBEELEN, JORDAN	MF	THE INVESTMENT COMPANY OF	B	4.35	0.12	0.00	0.12	75.0	0.09
05/11/2018	00088990439	VANBEELEN, JORDAN	MF	CAPITAL WORLD GROWTH AND INCOME	B	13.19	0.36	0.00	0.36	75.0	0.27

COMMISSION STATEMENT for MARK HENTEMANN-D034

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
05/11/2018 00088990439	VANBEELEN, JORDAN	MF FUNDAMENTAL INVESTORS-A	B	13.19	0.36	0.00	0.36	75.0	0.27
05/11/2018 00088990439	VANBEELEN, JORDAN	MF THE GROWTH FUND OF AMERICA-A	B	19.78	0.54	0.00	0.54	75.0	0.41
05/11/2018 00088990439	VANBEELEN, JORDAN	MF THE INVESTMENT COMPANY OF	B	19.78	0.54	0.00	0.54	75.0	0.41
04/23/2018 00088990441	WHITE, SCOTT	MF AMERICAN BALANCED FUND-A	B	2.02	0.06	0.00	0.06	75.0	0.05
04/23/2018 00088990441	WHITE, SCOTT	MF CAPITAL WORLD GROWTH AND INCOME	B	4.04	0.11	0.00	0.11	75.0	0.08
04/23/2018 00088990441	WHITE, SCOTT	MF THE GROWTH FUND OF AMERICA-A	B	2.02	0.06	0.00	0.06	75.0	0.05
04/23/2018 00088990441	WHITE, SCOTT	MF THE INVESTMENT COMPANY OF	B	2.01	0.06	0.00	0.06	75.0	0.05
05/11/2018 00088990441	WHITE, SCOTT	MF AMERICAN BALANCED FUND-A	B	10.12	0.28	0.00	0.28	75.0	0.21
05/11/2018 00088990441	WHITE, SCOTT	MF CAPITAL WORLD GROWTH AND INCOME	B	20.25	0.56	0.00	0.56	75.0	0.42
05/11/2018 00088990441	WHITE, SCOTT	MF THE GROWTH FUND OF AMERICA-A	B	10.12	0.28	0.00	0.28	75.0	0.21
05/11/2018 00088990441	WHITE, SCOTT	MF THE INVESTMENT COMPANY OF	B	10.13	0.28	0.00	0.28	75.0	0.21
04/23/2018 00088990540	MONROE, LACEY	MF AMERICAN BALANCED FUND-A	B	23.93	0.66	0.00	0.66	75.0	0.50
04/23/2018 00088990540	MONROE, LACEY	MF FUNDAMENTAL INVESTORS-A	B	23.93	0.66	0.00	0.66	75.0	0.50
04/23/2018 00088990540	MONROE, LACEY	MF THE GROWTH FUND OF AMERICA-A	B	35.89	0.99	0.00	0.99	75.0	0.74
04/23/2018 00088990540	MONROE, LACEY	MF THE INVESTMENT COMPANY OF	B	35.89	0.99	0.00	0.99	75.0	0.74
05/11/2018 00088990540	MONROE, LACEY	MF AMERICAN BALANCED FUND-A	B	17.44	0.48	0.00	0.48	75.0	0.36
05/11/2018 00088990540	MONROE, LACEY	MF FUNDAMENTAL INVESTORS-A	B	17.44	0.48	0.00	0.48	75.0	0.36
05/11/2018 00088990540	MONROE, LACEY	MF THE GROWTH FUND OF AMERICA-A	B	26.16	0.72	0.00	0.72	75.0	0.54
05/11/2018 00088990540	MONROE, LACEY	MF THE INVESTMENT COMPANY OF	B	26.17	0.72	0.00	0.72	75.0	0.54
04/23/2018 00088990639	HILER, STEVEN	MF AMERICAN BALANCED FUND-A	B	64.46	1.77	0.00	1.77	75.0	1.33
04/23/2018 00088990639	HILER, STEVEN	MF THE GROWTH FUND OF AMERICA-A	B	64.46	1.77	0.00	1.77	75.0	1.33
05/11/2018 00088990639	HILER, STEVEN	MF AMERICAN BALANCED FUND-A	B	30.74	0.85	0.00	0.85	75.0	0.64
05/11/2018 00088990639	HILER, STEVEN	MF THE GROWTH FUND OF AMERICA-A	B	30.73	0.85	0.00	0.85	75.0	0.64
04/23/2018 00088991330	HART, JACOB	MF CAPITAL WORLD GROWTH AND INCOME	B	5.62	0.15	0.00	0.15	75.0	0.11
04/23/2018 00088991330	HART, JACOB	MF THE GROWTH FUND OF AMERICA-A	B	5.62	0.15	0.00	0.15	75.0	0.11
04/23/2018 00088991330	HART, JACOB	MF THE INVESTMENT COMPANY OF	B	5.79	0.16	0.00	0.16	75.0	0.12
05/11/2018 00088991330	HART, JACOB	MF CAPITAL WORLD GROWTH AND INCOME	B	16.18	0.44	0.00	0.44	75.0	0.33
05/11/2018 00088991330	HART, JACOB	MF THE GROWTH FUND OF AMERICA-A	B	16.18	0.44	0.00	0.44	75.0	0.33
05/11/2018 00088991330	HART, JACOB	MF THE INVESTMENT COMPANY OF	B	16.68	0.46	0.00	0.46	75.0	0.35
04/23/2018 00089942792	BELLOLI, EMILY	MF AMERICAN BALANCED FUND-A	B	104.63	2.88	0.00	2.88	75.0	2.16
04/23/2018 00089942792	BELLOLI, EMILY	MF THE GROWTH FUND OF AMERICA-A	B	101.55	2.79	0.00	2.79	75.0	2.09
04/23/2018 00089942792	BELLOLI, EMILY	MF THE INVESTMENT COMPANY OF	B	101.55	2.79	0.00	2.79	75.0	2.09
05/11/2018 00089942792	BELLOLI, EMILY	MF AMERICAN BALANCED FUND-A	B	83.82	2.31	0.00	2.31	75.0	1.73
05/11/2018 00089942792	BELLOLI, EMILY	MF THE GROWTH FUND OF AMERICA-A	B	81.36	2.24	0.00	2.24	75.0	1.68
05/11/2018 00089942792	BELLOLI, EMILY	MF THE INVESTMENT COMPANY OF	B	81.36	2.24	0.00	2.24	75.0	1.68
* MUTUAL FUNDS SUBTOTAL *				\$3,406.01	\$93.69	\$0.00	\$93.69		\$70.28
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/01/2018 (None)	VARIOUS, HENTEMANN	MFT FIDELITY ADVISOR FDS	B	0.00	81.00	0.00	81.00	75.0	60.75
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$81.00	\$0.00	\$81.00		\$60.75
*** DIRECT TRANSACTIONS TOTAL ***				\$3,406.01	\$174.69	\$0.00	\$174.69		\$131.03

BROKER COMMISSION TOTALS

Broker Transactions	\$131.03
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$131.03
Year-to-date Commissions	\$2,974.11