



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**February 28, 2017**

03/06/2017  
 Page No. 1

KEITH LAYMAN  
 4321 Flagstaff

BROKER #: L128  
 BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
01/30/2016	WILLING, LEONARD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.43	0.00	0.43	90.0	0.39
01/30/2017	DERBYSHIRE TRUST, KAREN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.87	0.00	0.87	90.0	0.78
01/30/2017	DERBYSHIRE, JAMES	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.85	0.00	0.85	90.0	0.77
01/30/2017	HARMEYER, SHARYN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.92	0.00	0.92	90.0	0.83
01/30/2017	BYRUM, GERALD	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.01	0.00	1.01	90.0	0.91
01/30/2017	BUSH, SANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.79	0.00	0.79	90.0	0.71
01/30/2017	DIETSCH, RHONDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.68	0.00	0.68	90.0	0.61
01/30/2017	PEPPLE, GLEN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.33	0.00	0.33	90.0	0.30
01/30/2017	MURPHY, SUSAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.55	0.00	0.55	90.0	0.50
01/30/2017	LOWDEN TRUST, KATHERINE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.47	0.00	0.47	90.0	0.42
01/30/2017	ZINN, JEANETTE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.80	0.00	0.80	90.0	0.72
* INTERVAL FUNDS SUBTOTAL *			<b>\$0.00</b>	<b>\$7.70</b>	<b>\$0.00</b>	<b>\$7.70</b>		<b>\$6.94</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/15/2017	NEWBAUER, CAMERON	AM FUNDS AMERICAN BALANCED 529A	50.00	1.38	0.00	1.38	90.0	1.24
02/10/2017	GRIME, STEPHEN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	90.0	3.38
02/10/2017	HERRIMAN, JANET	AM FUNDS AMERICAN BALANCED A	50.00	2.13	0.00	2.13	90.0	1.92
02/10/2017	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS AMERICAN BALANCED A	300.00	3.60	0.00	3.60	90.0	3.24
02/15/2017	PEARSON, STACEY	AM FUNDS AMERICAN BALANCED A	15.00	0.75	0.00	0.75	90.0	0.68
02/15/2017	CACCAMO, MICHAEL	AM FUNDS AMERICAN BALANCED A	540.00	22.95	0.00	22.95	90.0	20.66
02/15/2017	WHITE, IAN	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
02/15/2017	WEIMER, RITA	AM FUNDS AMERICAN BALANCED A	300.00	15.00	0.00	15.00	90.0	13.50
02/15/2017	NEWBAUER, CAMERON	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	1.38	0.00	1.38	90.0	1.24
02/06/2017	BAILEY, KEVIN	AM FUNDS CAPITAL INCOME BUILDER A	30.14	1.13	0.00	1.13	90.0	1.02
02/10/2017	WEAVER, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER A	50.00	2.50	0.00	2.50	90.0	2.25
02/10/2017	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS CAPITAL INCOME BUILDER A	300.00	3.60	0.00	3.60	90.0	3.24
02/17/2017	BAILEY, KEVIN	AM FUNDS CAPITAL INCOME BUILDER A	30.14	1.13	0.00	1.13	90.0	1.02

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>								
02/15/2017	NEWBAUER, CAMERON	AM FUNDS CAPITAL WORLD GRTH & INC 5	100.00	2.75	0.00	2.75	90.0	2.48
02/15/2017	NEWBAUER, CAMERON	AM FUNDS CAPITAL WORLD GRTH & INC 5	100.00	2.75	0.00	2.75	90.0	2.48
02/06/2017	BAILEY, KEVIN	AM FUNDS CAPITAL WORLD GRTH & INC A	30.15	1.13	0.00	1.13	90.0	1.02
02/07/2017	HERBER, MARK	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	90.0	1.24
02/10/2017	WEAVER, JEFFREY	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	5.00	0.00	5.00	90.0	4.50
02/10/2017	FORTE, ANTHONY	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	4.25	0.00	4.25	90.0	3.83
02/15/2017	MOSURE, MONICA	AM FUNDS CAPITAL WORLD GRTH & INC A	109.00	3.00	0.00	3.00	90.0	2.70
02/15/2017	NEACE, VICTORIA	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.13	0.00	2.13	90.0	1.92
02/15/2017	MUSKIN, VERONICA	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.13	0.00	2.13	90.0	1.92
02/15/2017	EAGLESON, ROSS	AM FUNDS CAPITAL WORLD GRTH & INC A	10.00	0.28	0.00	0.28	90.0	0.25
02/15/2017	EAGLESON, ROSS	AM FUNDS CAPITAL WORLD GRTH & INC A	14.90	0.41	0.00	0.41	90.0	0.37
02/15/2017	EAGLESON, SAMUEL	AM FUNDS CAPITAL WORLD GRTH & INC A	20.00	0.55	0.00	0.55	90.0	0.50
02/15/2017	EAGLESON, SAMUEL	AM FUNDS CAPITAL WORLD GRTH & INC A	16.80	0.46	0.00	0.46	90.0	0.41
02/15/2017	RAABE, MARIANNE	AM FUNDS CAPITAL WORLD GRTH & INC A	150.00	7.50	0.00	7.50	90.0	6.75
02/17/2017	BAILEY, KEVIN	AM FUNDS CAPITAL WORLD GRTH & INC A	30.15	1.13	0.00	1.13	90.0	1.02
02/15/2017	EAGLESON, ROSS	AM FUNDS EUROPACIFIC GROWTH FUND A	15.00	0.41	0.00	0.41	90.0	0.37
02/15/2017	EAGLESON, ROSS	AM FUNDS EUROPACIFIC GROWTH FUND A	22.36	0.61	0.00	0.61	90.0	0.55
02/15/2017	EAGLESON, SAMUEL	AM FUNDS EUROPACIFIC GROWTH FUND A	30.00	0.83	0.00	0.83	90.0	0.75
02/15/2017	EAGLESON, SAMUEL	AM FUNDS EUROPACIFIC GROWTH FUND A	25.20	0.69	0.00	0.69	90.0	0.62
02/06/2017	BAILEY, KEVIN	AM FUNDS FUNDAMENTAL INVESTORS A	30.14	1.13	0.00	1.13	90.0	1.02
02/10/2017	WEAVER, JEFFREY	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	90.0	2.25
02/15/2017	MOSURE, MONICA	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	1.38	0.00	1.38	90.0	1.24
02/15/2017	EAGLESON, ROSS	AM FUNDS FUNDAMENTAL INVESTORS A	10.00	0.28	0.00	0.28	90.0	0.25
02/15/2017	EAGLESON, ROSS	AM FUNDS FUNDAMENTAL INVESTORS A	14.90	0.41	0.00	0.41	90.0	0.37
02/15/2017	EAGLESON, SAMUEL	AM FUNDS FUNDAMENTAL INVESTORS A	20.00	0.55	0.00	0.55	90.0	0.50
02/15/2017	EAGLESON, SAMUEL	AM FUNDS FUNDAMENTAL INVESTORS A	16.80	0.46	0.00	0.46	90.0	0.41
02/17/2017	BAILEY, KEVIN	AM FUNDS FUNDAMENTAL INVESTORS A	30.14	1.13	0.00	1.13	90.0	1.02
02/15/2017	NEWBAUER, CAMERON	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	2.75	0.00	2.75	90.0	2.48
02/06/2017	BAILEY, KEVIN	AM FUNDS GROWTH FUND OF AMERICA A	30.14	1.13	0.00	1.13	90.0	1.02
02/07/2017	HERBER, TRINA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.38	0.00	1.38	90.0	1.24
02/10/2017	WEAVER, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	4.50
02/10/2017	GRIME, STEPHEN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	3.75	0.00	3.75	90.0	3.38
02/10/2017	FORTE, ANTHONY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	90.0	3.83

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/15/2017	MOSURE, MONICA	AM FUNDS GROWTH FUND OF AMERICA A	89.00	2.45	0.00	2.45	90.0	2.21
02/15/2017	NEACE, VICTORIA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
02/15/2017	MUSKIN, VERONICA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
02/15/2017	EAGLESON, ROSS	AM FUNDS GROWTH FUND OF AMERICA A	15.00	0.41	0.00	0.41	90.0	0.37
02/15/2017	EAGLESON, ROSS	AM FUNDS GROWTH FUND OF AMERICA A	22.36	0.61	0.00	0.61	90.0	0.55
02/15/2017	EAGLESON, SAMUEL	AM FUNDS GROWTH FUND OF AMERICA A	30.00	0.83	0.00	0.83	90.0	0.75
02/15/2017	EAGLESON, SAMUEL	AM FUNDS GROWTH FUND OF AMERICA A	25.20	0.69	0.00	0.69	90.0	0.62
02/17/2017	BAILEY, KEVIN	AM FUNDS GROWTH FUND OF AMERICA A	30.14	1.13	0.00	1.13	90.0	1.02
02/15/2017	NEWBAUER, CAMERON	AM FUNDS ICA 529A	100.00	2.75	0.00	2.75	90.0	2.48
02/10/2017	GRIME, STEPHEN	AM FUNDS ICA A	100.00	3.75	0.00	3.75	90.0	3.38
02/10/2017	WEAVER, JEFFREY	AM FUNDS INCOME FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
02/10/2017	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS INCOME FUND OF AMERICA A	300.00	3.60	0.00	3.60	90.0	3.24
02/15/2017	MOSURE, MONICA	AM FUNDS INCOME FUND OF AMERICA A	60.00	1.65	0.00	1.65	90.0	1.49
02/15/2017	SLIGER, RUSTY	AM FUNDS INTERMEDIATE BOND FUND OF	120.00	2.40	0.00	2.40	90.0	2.16
02/15/2017	SLIGER, RUSTY	AM FUNDS INTERMEDIATE BOND FUND OF	81.00	1.62	0.00	1.62	90.0	1.46
02/10/2017	MURPHY REV LIV TRUST, WILLIAM	AM FUNDS NEW ECONOMY A	300.00	3.60	0.00	3.60	90.0	3.24
02/15/2017	EAGLESON, ROSS	AM FUNDS NEW ECONOMY A	10.00	0.28	0.00	0.28	90.0	0.25
02/15/2017	EAGLESON, ROSS	AM FUNDS NEW ECONOMY A	14.90	0.41	0.00	0.41	90.0	0.37
02/15/2017	EAGLESON, SAMUEL	AM FUNDS NEW ECONOMY A	20.00	0.55	0.00	0.55	90.0	0.50
02/15/2017	EAGLESON, SAMUEL	AM FUNDS NEW ECONOMY A	16.80	0.46	0.00	0.46	90.0	0.41
02/06/2017	BAILEY, KEVIN	AM FUNDS NEW PERSPECTIVE A	30.14	1.13	0.00	1.13	90.0	1.02
02/10/2017	WEAVER, JEFFREY	AM FUNDS NEW PERSPECTIVE A	50.00	2.50	0.00	2.50	90.0	2.25
02/15/2017	MOSURE, MONICA	AM FUNDS NEW PERSPECTIVE A	50.00	1.38	0.00	1.38	90.0	1.24
02/15/2017	MUSKIN, VERONICA	AM FUNDS NEW PERSPECTIVE A	50.00	2.13	0.00	2.13	90.0	1.92
02/15/2017	EAGLESON, ROSS	AM FUNDS NEW PERSPECTIVE A	15.00	0.41	0.00	0.41	90.0	0.37
02/15/2017	EAGLESON, ROSS	AM FUNDS NEW PERSPECTIVE A	22.36	0.61	0.00	0.61	90.0	0.55
02/15/2017	EAGLESON, SAMUEL	AM FUNDS NEW PERSPECTIVE A	30.00	0.83	0.00	0.83	90.0	0.75
02/15/2017	EAGLESON, SAMUEL	AM FUNDS NEW PERSPECTIVE A	25.20	0.69	0.00	0.69	90.0	0.62
02/17/2017	BAILEY, KEVIN	AM FUNDS NEW PERSPECTIVE A	30.14	1.13	0.00	1.13	90.0	1.02
02/06/2017	BAILEY, KEVIN	AM FUNDS NEW WORLD A	30.15	1.13	0.00	1.13	90.0	1.02
02/10/2017	WEAVER, JEFFREY	AM FUNDS NEW WORLD A	50.00	2.50	0.00	2.50	90.0	2.25
02/10/2017	FORTE, ANTHONY	AM FUNDS NEW WORLD A	100.00	4.25	0.00	4.25	90.0	3.83
02/15/2017	MOSURE, MONICA	AM FUNDS NEW WORLD A	50.00	1.38	0.00	1.38	90.0	1.24

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/15/2017	ROEMER, SHERRI	AM FUNDS NEW WORLD A	50.00	2.13	0.00	2.13	90.0	1.92
02/15/2017	EAGLESON, ROSS	AM FUNDS NEW WORLD A	15.00	0.41	0.00	0.41	90.0	0.37
02/15/2017	EAGLESON, ROSS	AM FUNDS NEW WORLD A	22.36	0.61	0.00	0.61	90.0	0.55
02/15/2017	EAGLESON, SAMUEL	AM FUNDS NEW WORLD A	30.00	0.83	0.00	0.83	90.0	0.75
02/15/2017	EAGLESON, SAMUEL	AM FUNDS NEW WORLD A	25.20	0.69	0.00	0.69	90.0	0.62
02/16/2017	MUSSEY, LORI	AM FUNDS NEW WORLD A	50.00	2.13	0.00	2.13	90.0	1.92
02/17/2017	BAILEY, KEVIN	AM FUNDS NEW WORLD A	30.15	1.13	0.00	1.13	90.0	1.02
02/06/2017	BAILEY, KEVIN	AM FUNDS WASHINGTON MUTUAL INVESTOR	30.14	1.13	0.00	1.13	90.0	1.02
02/10/2017	WEAVER, JEFFREY	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	2.50	0.00	2.50	90.0	2.25
02/15/2017	MOSURE, MONICA	AM FUNDS WASHINGTON MUTUAL INVESTOR	50.00	1.38	0.00	1.38	90.0	1.24
02/15/2017	EAGLESON, ROSS	AM FUNDS WASHINGTON MUTUAL INVESTOR	10.00	0.28	0.00	0.28	90.0	0.25
02/15/2017	EAGLESON, ROSS	AM FUNDS WASHINGTON MUTUAL INVESTOR	14.90	0.41	0.00	0.41	90.0	0.37
02/15/2017	EAGLESON, SAMUEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	20.00	0.55	0.00	0.55	90.0	0.50
02/15/2017	EAGLESON, SAMUEL	AM FUNDS WASHINGTON MUTUAL INVESTOR	16.80	0.46	0.00	0.46	90.0	0.41
02/17/2017	BAILEY, KEVIN	AM FUNDS WASHINGTON MUTUAL INVESTOR	30.14	1.13	0.00	1.13	90.0	1.02
02/14/2017	FREEZE, CORTNEY	ASCENSUS COLLEGE SAV 194524518	15.00	0.71	0.00	0.71	90.0	0.64
02/17/2017	GALENTINE, STEPHANIE	ASCENSUS COLLEGE SAV 194524518	20.80	0.83	0.00	0.83	90.0	0.75
02/17/2017	GALENTINE, STEPHANIE	ASCENSUS COLLEGE SAV 194524518	20.80	0.83	0.00	0.83	90.0	0.75
02/17/2017	GALENTINE, STEPHANIE	ASCENSUS COLLEGE SAV 194524518	20.80	0.83	0.00	0.83	90.0	0.75
02/14/2017	FREEZE, CORTNEY	ASCENSUS INA AMERICAN FUNDS EUROPAC	15.00	0.71	0.00	0.71	90.0	0.64
02/17/2017	GALENTINE, STEPHANIE	ASCENSUS INA AMERICAN FUNDS EUROPAC	20.80	0.83	0.00	0.83	90.0	0.75
02/17/2017	GALENTINE, STEPHANIE	ASCENSUS INA AMERICAN FUNDS EUROPAC	20.80	0.83	0.00	0.83	90.0	0.75
02/17/2017	GALENTINE, STEPHANIE	ASCENSUS INA AMERICAN FUNDS EUROPAC	20.80	0.83	0.00	0.83	90.0	0.75
/ /	MULLINS, CYNTHIA	ASCENSUS INA COLLEGE PORTFOLIO CL C	0.00	0.50	0.00	0.50	90.0	0.45
02/14/2017	FREEZE, CORTNEY	ASCENSUS INA PIMCO TOTAL RETURN POR	15.00	0.49	0.00	0.49	90.0	0.44
02/17/2017	GALENTINE, STEPHANIE	ASCENSUS INA PIMCO TOTAL RETURN POR	13.87	0.45	0.00	0.45	90.0	0.41
02/17/2017	GALENTINE, STEPHANIE	ASCENSUS INA PIMCO TOTAL RETURN POR	27.73	0.90	0.00	0.90	90.0	0.81
02/17/2017	GALENTINE, STEPHANIE	ASCENSUS INA T ROWE PRICE LG CAP GR	41.60	1.66	0.00	1.66	90.0	1.49
02/17/2017	GALENTINE, STEPHANIE	ASCENSUS INA T ROWE PRICE LG CAP GR	48.53	1.94	0.00	1.94	90.0	1.75
02/17/2017	GALENTINE, STEPHANIE	ASCENSUS INA T ROWE PRICE LG CAP GR	34.67	1.39	0.00	1.39	90.0	1.25
02/17/2017	MCGUIRE, EDWARD	ASCENSUS INA T ROWE PRICE LG CAP GR	600.00	28.50	0.00	28.50	90.0	25.65
02/17/2017	MCGUIRE, EDWARD	ASCENSUS INA T ROWE PRICE LG CAP GR	600.00	28.50	0.00	28.50	90.0	25.65
02/17/2017	GALENTINE, STEPHANIE	ASCENSUS-INA BLACKROCK LG CAP VALUE	41.60	1.66	0.00	1.66	90.0	1.49

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/17/2017	GALENTINE, STEPHANIE	ASCENSUS-INA BLACKROCK LG CAP VALUE	48.53	1.94	0.00	1.94	90.0	1.75
02/17/2017	GALENTINE, STEPHANIE	ASCENSUS-INA BLACKROCK LG CAP VALUE	34.67	1.39	0.00	1.39	90.0	1.25
02/17/2017	MCGUIRE, EDWARD	ASCENSUS-INA BLACKROCK LG CAP VALUE	600.00	28.50	0.00	28.50	90.0	25.65
02/17/2017	MCGUIRE, EDWARD	ASCENSUS-INA BLACKROCK LG CAP VALUE	600.00	28.50	0.00	28.50	90.0	25.65
02/14/2017	FREEZE, CORTNEY	ASCENSUS-INA LG CAP INDEX PF CL A	55.00	2.61	0.00	2.61	90.0	2.35
02/14/2017	SCHUCH, AUTUMN	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	1.07
02/14/2017	SCHUCH, AUTUMN	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	1.07
01/31/2017	MCFEETERS, VALERIE	BLK CAPITAL APPRECIATION A	0.00	0.16	0.00	0.16	90.0	0.14
01/31/2017	GILROY, MATTHEW	BLK INTL OPP A	0.00	0.49	0.00	0.49	90.0	0.44
02/15/2017	SCHUCH, AUTUMN	OPPENHEIMER CAPITAL APPRECIATION A	100.00	4.75	0.00	4.75	90.0	4.28
02/15/2017	GALENTINE, JUSTIN	OPPENHEIMER PORTFOLIO SERIES EQ INV	200.00	9.50	0.00	9.50	90.0	8.55
02/15/2017	URICK, DANIEL	TRANSAMERICA CAPITAL GROWTH A	100.00	4.00	0.00	4.00	90.0	3.60
02/15/2017	DELANEY, DIANA	VANGUARD 500 INDEX FUND INVESTOR SH	150.00	0.00	12.00	-12.00	90.0	-10.80
02/15/2017	DELANEY, MATTHEW	VANGUARD 500 INDEX FUND INVESTOR SH	25.00	0.00	12.00	-12.00	90.0	-10.80
* MUTUAL FUNDS SUBTOTAL *			\$9,594.04	\$351.69	\$24.00	\$327.69		\$295.08
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
02/23/2017	GUNSOLLEY, MARY	ISHARES TR BARCLAYS 1-3 YR TREAS BD	-8,288.93	0.00	22.50	-22.50	90.0	-20.25
02/23/2017	GUNSOLLEY, MARY	SPDR SER TR BARCLAYS TIIPS ETF	-11,163.38	0.00	22.50	-22.50	90.0	-20.25
02/23/2017	GUNSOLLEY, MARY	VANGUARD BD INDEX FD INC INTERMEDIA	-6,190.54	0.00	22.50	-22.50	90.0	-20.25
02/23/2017	GUNSOLLEY, MARY	VANGUARD SCOTTSDALE FDS VANGUARD SH	-6,784.02	0.00	22.50	-22.50	90.0	-20.25
* STOCKS SUBTOTAL *			\$-32,426.87	\$0.00	\$90.00	\$-90.00		\$-81.00
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
02/15/2017	KAUFMAN, KATRINA	NWL BOA NG FP VUL	50.00	1.00	0.00	1.00	90.0	0.90
* VARIABLE ANNUITIES SUBTOTAL *			\$50.00	\$1.00	\$0.00	\$1.00		\$0.90
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/31/2017	LAYMAN, VARIOUS	DREYFUS	0.00	7.92	0.00	7.92	90.0	7.13
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$7.92	\$0.00	\$7.92		\$7.13
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
02/03/2017	MARTZ, PHILLIS	ING ACCESS	0.00	837.92	0.00	837.92	90.0	754.13
02/08/2017	HEES, CHRISTEY	ING ESII	0.00	63.80	0.00	63.80	90.0	57.42
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$901.72	\$0.00	\$901.72		\$811.55

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
*** BROKER TRANSACTIONS TOTAL ***			\$-22,782.83	\$1,270.03	\$114.00	\$1,156.03		\$1,040.60
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u></b>								
02/15/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.75	0.00	1.75	90.0	1.58
02/17/2017	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	375.00	6.56	0.00	6.56	90.0	5.90
02/15/2017	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	175.00	3.06	0.00	3.06	90.0	2.75
02/17/2017	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	375.00	6.56	0.00	6.56	90.0	5.90
02/10/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
02/10/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
02/10/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.13	0.00	0.13	90.0	0.12
/ /	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.10	0.00	0.10	90.0	0.09
02/10/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.41	0.00	0.41	90.0	0.37
02/10/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.64	0.00	0.64	90.0	0.58
02/10/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
02/10/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
01/30/2017	BURTNETTE, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	15.52	0.00	15.52	90.0	13.97
02/14/2017	SITES, TEGAN	LORD ABBETT SHORT DURATION INCOME A	0.00	0.13	0.00	0.13	90.0	0.12
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$1,246.75	\$36.02	\$0.00	\$36.02		\$32.42
<b><u>BROKER: L135-KEITH/JOE LAYMAN/WIEGAND #267</u></b>								
02/14/2017	MULLINS, JAMES	ASCENSUS INA 2022 ENROLLMENT PTF A	50.00	1.19	0.00	1.19	90.0	1.07
* L135-KEITH/JOE LAYMAN/WIEGAND SUBTOTAL *			\$50.00	\$1.19	\$0.00	\$1.19		\$1.07
*** SPLIT TRANSACTIONS TOTAL ***			\$1,296.75	\$37.21	\$0.00	\$37.21		\$33.49

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\*\* - Indicates adjustment is not included in Totals or Commissions

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: WILLIAM LAYMAN #207</u></b>								
02/15/2017	RABER, AMY	AM FUNDS AMERICAN MUTUAL A	300.00	15.00	0.00	15.00	90.0	6.75
		* WILLIAM LAYMAN SUBTOTAL *	\$300.00	\$15.00	\$0.00	\$15.00		\$6.75
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$300.00	\$15.00	\$0.00	\$15.00		\$6.75

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,040.60
Split Transactions	\$33.49
Override Transactions	\$6.75
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-1,581.86
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-501.02
Year-to-date Gross	\$4,721.48
Year-to-date Earnings	\$3,801.75

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed