



Concorde Investment Services, LLC

07/16/2018

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COMMISSION STATEMENT**July 15, 2018**

DAN/MARC FT-C148 CHARLEY/LINS

BROKER #: C148

BRANCH: E STREET FINANCIAL L

, NJ

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^06/15/2018	ANDERSON, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.93	0.00	0.93	100.0	0.00
^06/15/2018	NEELD, JUDEANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.70	0.00	2.70	100.0	0.00
^06/15/2018	NEELD, JUDEANN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	7.00	0.00	7.00	100.0	0.00
^06/20/2018	ANDERSON, WILLIAM	AMERICAN CENTURY CORE PLUS FUND CLA	0.00	5.63	0.00	5.63	100.0	0.00
^06/25/2018	ANDERSON, ELAINE	BLK GLOBAL ALLOCATION C	0.00	5.12	0.00	5.12	100.0	0.00
^06/20/2018	ANDERSON, ELAINE	CALAMOS GROWTH & INCOME A	0.00	1.94	0.00	1.94	100.0	0.00
^06/11/2018	ANDERSON, WILLIAM	CARILLON EAGLE GROWTH & INCOME FUND	0.00	13.12	0.00	13.12	100.0	0.00
^06/13/2018	ANDERSON, WILLIAM	COLUMBIA BALANCED FUND CLASS C	0.00	7.08	0.00	7.08	100.0	0.00
^06/18/2018	FIAMINGO, FRANK	DAVIS REAL ESTATE A	0.00	4.39	0.00	4.39	100.0	0.00
^06/13/2018	ANDERSON, WILLIAM	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	2.01	0.00	2.01	100.0	0.00
^06/18/2018	FIAMINGO, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	21.66	0.00	21.66	100.0	0.00
^06/18/2018	FIAMINGO, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	64.97	0.00	64.97	100.0	0.00
^06/18/2018	FIAMINGO, FRANK	GRIFFIN INST'L ACCESS R E FUND C	0.00	28.16	0.00	28.16	100.0	0.00
^06/18/2018	FIAMINGO, FRANK	GRIFFIN INST'L ACCESS R E FUND C	0.00	84.47	0.00	84.47	100.0	0.00
^06/18/2018	GELFGAT, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.66	0.00	10.66	100.0	0.00
^06/18/2018	GELFGAT, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	31.98	0.00	31.98	100.0	0.00
^06/18/2018	BUTKUS, ROBERT	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.57	0.00	10.57	100.0	0.00
^06/18/2018	BUTKUS, ROBERT	GRIFFIN INST'L ACCESS R E FUND C	0.00	31.72	0.00	31.72	100.0	0.00
^06/18/2018	CLARKE SR, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.57	0.00	9.57	100.0	0.00
^06/18/2018	CLARKE SR, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	28.72	0.00	28.72	100.0	0.00
^06/15/2018	ANDERSON, ELAINE	JANUS BALANCED FUND CLASS C	0.00	6.79	0.00	6.79	100.0	0.00
^06/15/2018	ANDERSON, ELAINE	JH STRATEGIC INCOME A	0.00	0.87	0.00	0.87	100.0	0.00
^06/21/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.52	0.00	1.52	100.0	0.00
^06/18/2018	FIAMINGO, FRANK	OPPENHEIMER CAPITAL INCOME A	0.00	0.01	0.00	0.01	100.0	0.00
^06/14/2018	ANDERSON, WILLIAM	PIMCO REAL RETURN C	0.00	3.42	0.00	3.42	100.0	0.00
^06/13/2018	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	2.12	0.00	2.12	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
DIRECT TRANSACTIONS								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^06/13/2018	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	1.29	0.00	1.29	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$388.42	\$0.00	\$388.42		\$0.00
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
^06/30/2018	NEELD, JUDEANN	LFG TRAILS	32,509.39	81.27	0.00	81.27	100.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$32,509.39	\$81.27	\$0.00	\$81.27		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$32,509.39	\$469.69	\$0.00	\$469.69		\$0.00

ADJUSTMENTS								
07/15/2018		*PERSHING: MANDATORY REORG DEPOIST PNW099745	0.00					0.00
07/15/2018		*PERSHING: BOOKS & RECORDS	0.00					0.01
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.01

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.01
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.01
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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