All Companies

Signal SECURITIES Our significance is you.

# COMMISSION STATEMENT

**April 02, 2018** 

BROKER #: 4854

BRANCH: HOME OFFICE

FORT WORTH, TX 76132-

6336 WARWICK HILLS DR

CHRISTOPHER SNOW

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS								
PRODUCT CATEGORY: MUTUAL FUNDS								
03/20/2018 000001970770529 GARDNER, JR, JOHN HAROLD	TEMPLETON GROWTH FD CL 1	В	50.00	2.50	0.00	2.50	70.0	1.75
03/20/2018 00087127617 BRENNAN, JEAN S	CAPITAL INCOME BUILDER-529A	В	50.00	2.50	0.00	2.50	70.0	1.75
03/15/2018 00089517055 MCKIMMEY, KENDALL	AMCAP FUND-529A	В	115.00	5.75	0.00	5.75	70.0	4.03
W.								
03/20/2018 02000171308 CENTURY	AMERICAN FUNDS AMCAP FD	В	15.18	0.15	0.00	0.15	70.0	0.11
ENGINEERING 03/20/2018 02000171308 CENTURY	AMERICAN FUNDS BALANCED FD	В	15.18	0.15	0.00	0.15	70.0	0.11
ENGINEERING								
03/20/2018 02000171308 CENTURY	AMERICAN FUNDS BD FD OF AMER	FD B	15.18	0.15	0.00	0.15	70.0	0.11
ENGINEERING 03/20/2018 02000171308 CENTURY	AMERICAN FUNDS CAPITAL WORLD	GROWT B	15.14	0.15	0.00	0.15	70.0	0.11
ENGINEERING								
03/20/2018 02000171308 CENTURY	AMERICAN FUNDS EURO PACIFIC	GROWT B	15.18	0.15	0.00	0.15	70.0	0.11
ENGINEERING								
03/20/2018 02000171308 CENTURY	AMERICAN FUNDS GROWTH FUND OF	AMERI B	15.18	0.15	0.00	0.15	70.0	0.11
ENGINEERING								
03/20/2018 02000171308 CENTURY ENGINEERING	AMERICAN FUNDS INCOME FD OF	AMERI B	15.18	0.15	0.00	0.15	70.0	0.11
03/20/2018 02000171308 CENTURY	AMERICAN FUNDS INTERM BD FD OF	F AMER B	7.59	0.08	0.00	0.08	70.0	0.06
ENGINEERING								
03/20/2018 02000171308 CENTURY ENGINEERING	AMERICAN FUNDS INVESTMENT COM	P OF A B	15.18	0.15	0.00	0.15	70.0	0.11
03/20/2018 02000171308 CENTURY	AMERICAN FUNDS NEW PERSPECTIVE	E FD B	15.18	0.15	0.00	0.15	70.0	0.11
ENGINEERING 03/20/2018 02000171308 CENTURY	AMERICAN FUNDS WA MUTUAL	INVES B	7.59	0.08	0.00	0.08	70.0	0.06
ENGINEERING				2.00	2.00	3.00		3.00
03/20/2018 02000171317 ALL CALLS TO X	AMERICAN FUNDS BD FD OF AMER	FD B	30.00	0.30	0.00	0.30	70.0	0.21
#8076, TRANSFER 03/20/2018 02000171317 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS INCOME FD OF	AMERI B	30.00	0.30	0.00	0.30	70.0	0.21

TRADE DATE	CLIENT	INVESTMENT	B/	INVESTMENT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			BROKER TRAI	<u>ISACTIONS</u>					
PRODUCT CA	TEGORY: MUTUAL FUNDS								
	02000171317 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS INT	ERM BD FD OF AMER E	30.00	0.30	0.00	0.30	70.0	0.21
	02000171317 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS WA N	MUTUAL INVES E	15.00	0.15	0.00	0.15	70.0	0.11
	02001077857 ALL CALLS TO X \$8076, TRANSFER	AMERICAN FUNDS AMC	AP FD E	2.00	0.02	0.00	0.02	70.0	0.01
	02001077857 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS BD I	FD OF AMER FD E	3.00	0.03	0.00	0.03	70.0	0.02
	02001077857 ALL CALLS TO X #8076, TRANSFER	AMERICAN FUNDS EURO	O PACIFIC GROWT B	2.00	0.02	0.00	0.02	70.0	0.01
	02001077857 ALL CALLS TO X \$8076, TRANSFER	AMERICAN FUNDS GROV	WTH FUND OF AMERI B	2.00	0.02	0.00	0.02	70.0	0.01
	02001077857 ALL CALLS TO X \$8076, TRANSFER	AMERICAN FUNDS INT	ERM BD FD OF AMER B	3.00	0.03	0.00	0.03	70.0	0.02
	02001077857 ALL CALLS TO X \$8076, TRANSFER	AMERICAN FUNDS INVI	ESTMENT COMP OF A B	3.00	0.03	0.00	0.03	70.0	0.02
	02001077857 ALL CALLS TO X \$8076, TRANSFER	AMERICAN FUNDS WA 1	MUTUAL INVES E	3.00	0.03	0.00	0.03	70.0	0.02
	04000205238 MCKIMMEY, KENDALL	CAPITAL INCOME BUIL	LDER-529A E	115.00	5.75	0.00	5.75	70.0	4.03
		* MUTUAI	FUNDS SUBTOTAL *	\$604.76	\$19.24	\$0.00	\$19.24		\$13.5
PRODUCT CA	TEGORY: STOCKS								
	SECURITIES, HILLTOP	-HILLTOP SECURITIES	S B	618.92	618.92	0.00	618.92	70.0	433.24
		*	STOCKS SUBTOTAL *	\$618.92	\$618.92	\$0.00	\$618.92		\$433.24
PRODUCT CA	TEGORY: VARIABLE ANNUITIES	5							
03/09/2018	TRAILS	TRANSAMERICA LIFE	INSURANCE B	496.29	496.29	0.00	496.29	70.0	347.40
		* VARIABLE ANN	NUITIES SUBTOTAL *	\$496.29	\$496.29	\$0.00	\$496.29		\$347.40
PRODUCT CA	TEGORY: RIA								
	GUTH, ERIC C.	SIGNAL SECURITIES H	RIA B	0.00	0.34	0.00	0.34	70.0	0.24
	1124600 GOODMAN, PAUL R	SIGNAL SECURITIES H			280.00	0.00			196.00
01/12/2018 1	2390799 SINGLETON, MARION B &			0.00	40.00	0.00		70.0	28.00
01/12/2018 1	4179247 RITTBY, KRISTI E	SIGNAL SECURITIES H	RIA B	0.00	12.00	0.00	12.00	70.0	8.40
01/12/2018 1	8300233 MOORE, RUSSELL ALLAN	SIGNAL SECURITIES H	RIA B	0.00	134.00	0.00	134.00	70.0	93.80
	.8987768 TREADWELL, TONEY	SIGNAL SECURITIES I	RIA E	0.00	30.66	0.00	30.66	70.0	21.46
	22527639 ZEIGLER, MICHAEL PATRICK	SIGNAL SECURITIES I	RIA B	0.00	4.66	0.00	4.66	70.0	3.26

1/12/2018 275C4245 MCKINNEY, HAROLD   SIGNAL SECURITIES RIA   B   0.00   18.00   0.00   18.00   70.0   12.0	TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CATEGORY: RIA				BROKER TRANS	ACTIONS					
	ספ∧חזוריד רי∡יו	FCODV. DIA								
1412/2018 278644258 MACHINETY, HARGLID   SIGNAL SECURITIES RIA   B   0.00   18.00   0.00   18.00   70.0   12.0   12.0   13.15/2018 28442208 GUTH, ERIC C.   SIGNAL SECURITIES RIA   B   0.00   24.00   0.00   24.80			CICNAL CECUDITIES DIA	D	0 00	335 66	0 00	335 66	70 0	234.96
COURS   COUR										12.60
1412/2018 29442200 GUTH, RENC C. SIGNAL SECURITIES RIA   B   0.00   496.00   0.00   23.06   0.00   23.06   0.00   23.06   0.00   23.06   0.00   0.0			BIONAL BECORTITED RIA	ם	0.00	10.00	0.00	10.00	70.0	12.00
1/12/2018 20234022 UBH, ERNEST S SURMER SIGNAL SECURITIES RIA B 0.00   23.66   0.00   23.66   7.00   15.00   17.00			SIGNAL SECURITIES RIA	В	0.00	496.00	0.00	496.00	70.0	347.20
11/12/2018 309173518 SCHEIDEMAN, SUMMER   SIGNAL SECURITIES RIA   B   0.00   190.0   2.00   10.00										16.56
SHARIE  01/12/2018 32002100 TRIMARCO, JOHN R. SIGNAL SECURITIES RIA B 0.00 199.34 0.00 199.34 70.0 139.01/12/2018 32002100 TRIMARCO, JOHN R. SIGNAL SECURITIES RIA B 0.00 199.34 0.00 199.34 70.0 13.01/12/2018 39104200 THORSON, CRAIG ADAM SIGNAL SECURITIES RIA B 0.00 422.66 0.00 422.66 70.0 295.01/12/2018 39104200 THORSON, CRAIG ADAM SIGNAL SECURITIES RIA B 0.00 7.34 0.00 7.34 70.0 70.0 12.00 70		, , , , , , , , , , , , , , , , , , ,								1.40
0.112/2018 37406476 WALES, CHRIS & ANDREA SIGNAL SECURITIES RIA   B   0.00   19.34   0.00   19.34   70.0   13.0   13.1   12.2018 39942372 WOORE, RUSSELL ALIAN SIGNAL SECURITIES RIA   B   0.00   7.24   70.0   7.24   70.0   7.04   70.0   7.05   7.		,								
01/12/2018 939194207 HORSON, CRAIG ADAM SIGNAL SECURITIES RIA B 0.00 7.34 0.00 7.34 70.0 7.00 1.00 1.00 1.00 1.00 1.00 1.00	01/12/2018 32	2062105 TRIMARCO, JOHN R.	SIGNAL SECURITIES RIA	В	0.00	199.34	0.00	199.34	70.0	139.54
01/12/2018 39923727 MOORE, RUSSELL ALIAN SIGNAL SECURITIES RIA	01/12/2018 37	7406476 WALES, CHRIS & ANDREA	A SIGNAL SECURITIES RIA	В	0.00	19.34	0.00	19.34	70.0	13.54
01/12/2018 40772483 MCKINNEY, JUDITH ANN SIGNAL SECURITIES RIA B 0.00 12.00 0.00 12.00 70.0 98. 01/12/2018 41231228 MAGAN, SHREYL SIGNAL SECURITIES RIA B 0.00 217.00 0.00 217.00 70.0 151. 01/12/2018 45505881 D'ONOFRIO, JUN & SIGNAL SECURITIES RIA B 0.00 217.00 0.00 217.00 161.66 70.0 151. 01/12/2018 45505881 D'ONOFRIO, JUN & SIGNAL SECURITIES RIA B 0.00 161.66 0.00 161.66 70.0 161.66 70.0 171.  BOOKE  01/12/2018 45603849 HONARD REV. TRUST, SIGNAL SECURITIES RIA B 0.00 13.34 0.00 18.84.34 70.0 1.31.34 70.0 9.  CAROLIN COX  01/12/2018 50676897 MERLO, CHRIS J. SIGNAL SECURITIES RIA B 0.00 18.84.34 0.00 1.884.34 70.0 1.31.34 70.0 1.31.94.  01/12/2018 50676897 MERLO, CHRIS J. SIGNAL SECURITIES RIA B 0.00 2.34 0.00 2.34 70.0 2.34 70.0 1.31.94.  01/12/2018 508692695 MERLO, CHRIS J. SIGNAL SECURITIES RIA B 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	01/12/2018 39	9194204 THORSON, CRAIG ADAM	SIGNAL SECURITIES RIA	В	0.00	422.66	0.00	422.66	70.0	295.86
01/12/2018 41231228 HAGAN, SHERYL SIGNAL SECURITIES RIA B 0.00 1,293.34 0.00 1,293.34 70.0 150. 01/12/2018 44998184 FERLEY, REBECCA K SIGNAL SECURITIES RIA B 0.00 161.66 0.00 127.00 70.0 151.3  BROOKE  01/12/2018 45603849 HOWARD REV. TRUST, SIGNAL SECURITIES RIA B 0.00 13.34 0.00 13.34 70.0 13.34 70.0 11.3  BROOKE  01/12/2018 50429988 SINGLETON, MARION B & SIGNAL SECURITIES RIA B 0.00 1.884.34 0.00 1.884.34 70.0 1.31.3  ETHE STEW  01/12/2018 504676897 MERLO, CHRIS J. SIGNAL SECURITIES RIA B 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	01/12/2018 39	9923272 MOORE, RUSSELL ALLAN	SIGNAL SECURITIES RIA	В	0.00	7.34	0.00	7.34	70.0	5.14
01/12/2018 44998184 FENLEY, REBECCA K SIGNAL SECURITIES RIA B 0.00 161.66 0.00 161.66 70.0	01/12/2018 40	0772483 MCKINNEY, JUDITH ANN	SIGNAL SECURITIES RIA	В	0.00	12.00	0.00	12.00	70.0	8.40
01/12/2018 45505681 D'ONOFRIO, JUN & SIGNAL SECURITIES RIA   B   0.00   161.66   0.00   161.66   70.0   133.4   70.0	01/12/2018 41	1231228 HAGAN, SHERYL	SIGNAL SECURITIES RIA	В	0.00	1,293.34	0.00	1,293.34	70.0	905.34
BROOKE   CAROLYN COX   CAROL	01/12/2018 44	1998184 FENLEY, REBECCA K	SIGNAL SECURITIES RIA	В	0.00	217.00	0.00	217.00	70.0	151.90
01/12/2018 45603849 HOWARD REV. TRUST, SIGNAL SECURITIES RIA B 0.00 13.34 0.00 13.34 70.0 9.  CAROLIYN COX  01/12/2018 50429988 SINGLETON, MARION B & SIGNAL SECURITIES RIA B 0.00 1.884.34 0.00 1.884.34 70.0 1.319.  STEV  01/12/2018 50676897 MERLO, CHRIS J. SIGNAL SECURITIES RIA B 0.00 6.00 0.00 6.00 70.0 4.  01/12/2018 50869265 MERLO, CHRIS J. SIGNAL SECURITIES RIA B 0.00 6.00 0.00 6.00 70.0 4.  01/12/2018 52520997 ZEIGLER III, JOHN W SIGNAL SECURITIES RIA B 0.00 137.66 0.00 10.66 70.0 4.  01/12/2018 52520997 ZEIGLER III, JOHN W SIGNAL SECURITIES RIA B 0.00 137.66 0.00 137.66 70.0 96.  PENELOPE  01/12/2018 56345160 MCKIMMEY, DREW & SIGNAL SECURITIES RIA B 0.00 133.46 70.0 133.4 70.0 96.  RENDALL  01/12/2018 57264459 KELLERMAN, MICHAEL E SIGNAL SECURITIES RIA B 0.00 13.34 0.00 13.34 70.0 2.  01/12/2018 60684203 UHR, ERNEST S SIGNAL SECURITIES RIA B 0.00 3.34 0.00 3.34 70.0 2.  01/12/2018 61324514 MORGAN, HELGA I SIGNAL SECURITIES RIA B 0.00 38.00 0.00 33.00 70.0 2.  01/12/2018 62084203 UHR, ERNEST S SIGNAL SECURITIES RIA B 0.00 38.00 0.00 38.00 70.0 2.  01/12/2018 63085125 SIMONE, DREW & SIGNAL SECURITIES RIA B 0.00 17.66 0.00 17.66 70.0 12.  KENDALL  01/12/2018 63085125 SIMONE, PENELOPE ANN SIGNAL SECURITIES RIA B 0.00 17.66 0.00 31.06 70.0 2.  CENDALL  01/12/2018 63085125 SIMONE, PENELOPE ANN SIGNAL SECURITIES RIA B 0.00 17.66 0.00 31.06 70.0 2.  CENDALL  01/12/2018 73349180 WIMBERLY, MELISSA SIGNAL SECURITIES RIA B 0.00 575.66 0.00 575.66 70.0 402.  ELLEM  01/12/2018 73389180 WIMBERLY, MELISSA SIGNAL SECURITIES RIA B 0.00 90.00 0.00 90.00 70.0 63.  ELLEM ON DESCRIPTION ON DESCRIPTIES RIA B 0.00 90.00 0.00 90.00 70.0 63.  60.00 0.00 0.00 0.00 0.00 0.00 0.00 0	01/12/2018 45	5505681 D'ONOFRIO, JUN &	SIGNAL SECURITIES RIA	В	0.00	161.66	0.00	161.66	70.0	113.16
CAROLYN COX  01/12/2018 5042988 SINGLETON, MARION B & SIGNAL SECURITIES RIA  B 0.00 1,884.34 0.00 1,884.34 70.0 1,819.35  SIEV  01/12/2018 50676897 MERLO, CHRIS J. SIGNAL SECURITIES RIA B 0.00 2.34 0.00 2.34 70.0 1.01  01/12/2018 50869265 MERLO, CHRIS J. SIGNAL SECURITIES RIA B 0.00 10.00 0.00 0.00 10.00 70.0 1.01  01/12/2018 50869265 MERLO, CHRIS J. SIGNAL SECURITIES RIA B 0.00 10.06 0.00 10.06 70.0 70.0 1.01  01/12/2018 52520997 ZEIGLER III, JOHN W SIGNAL SECURITIES RIA B 0.00 137.06 0.00 10.06 70.0 70.0 1.01  01/12/2018 52520997 ZEIGLER III, JOHN W SIGNAL SECURITIES RIA B 0.00 137.06 0.00 137.06 70.0 90.0 1.01  PENELOPE  01/12/2018 56345160 MCKIMMEY, DREW & SIGNAL SECURITIES RIA B 0.00 137.06 0.00 137.06 70.0 90.0 1.00  01/12/2018 56345160 MCKIMMEY, DREW & SIGNAL SECURITIES RIA B 0.00 11.06 0.00 11.06 70.0 90.0 1.01  01/12/2018 57264459 KELLERMAN, MICHAEL E SIGNAL SECURITIES RIA B 0.00 31.00 31.00 31.00 31.00 70.0 2.0 1.01  01/12/2018 63085125 SIMONE, PENELOPE ANN SIGNAL SECURITIES RIA B 0.00 31.00 31.00 31.00 31.00 70.0 2.0 1.01  01/12/2018 63085125 SIMONE, PENELOPE ANN SIGNAL SECURITIES RIA B 0.00 31.06 0.00 31.06 70.0 12.0 1.01  NENDALL  01/12/2018 63085125 SIMONE, PENELOPE ANN SIGNAL SECURITIES RIA B 0.00 31.06 0.00 31.06 70.0 12.0 12.0 12.0 12.0 12.0 12.0 12.0 1										
01/12/2018 50429988 SINGLETON, MARION B & SIGNAL SECURITIES RIA B 0.00 1,884.34 0.00 2.34 70.0 1,894.34 70.0 10/12/2018 50676897 MERLO, CHRIS J. SIGNAL SECURITIES RIA B 0.00 6.00 0.00 6.00 70.0 4.00 1/12/2018 50869265 MERLO, CHRIS J. SIGNAL SECURITIES RIA B 0.00 10.66 0.00 0.00 6.00 70.0 70.0 70.0 1/12/2018 52520997 ZEIGLER III, JOHN W SIGNAL SECURITIES RIA B 0.00 10.66 0.00 10.66 70.0 137.66 70.0 96.00 10/12/2018 52610132 SIMONE, GARY & SIGNAL SECURITIES RIA B 0.00 137.66 0.00 137.66 70.0 96.00 10/12/2018 56345160 MCKIMMEY, DREW & SIGNAL SECURITIES RIA B 0.00 133.34 70.0 133.34 70.0 96.00 10/12/2018 56364160 MCKIMMEY, DREW & SIGNAL SECURITIES RIA B 0.00 133.34 70.0 133.34 70.0 96.00 10/12/2018 56364160 MCKIMMEY, DREW & SIGNAL SECURITIES RIA B 0.00 11.66 0.00 11.66 70.0 13.34 70.0 96.00 10/12/2018 56364160 MCKIMMEY, DREW & SIGNAL SECURITIES RIA B 0.00 11.66 0.00 11.66 70.0 8.0 10/12/2018 6764459 KELLERMAN, MICHAEL E SIGNAL SECURITIES RIA B 0.00 33.00 0.00 33.00 70.0 2.00 10/12/2018 61324514 MCRGAN, HELGA I SIGNAL SECURITIES RIA B 0.00 33.00 0.00 33.00 70.0 2.00 10/12/2018 61324514 MCRGAN, HELGA I SIGNAL SECURITIES RIA B 0.00 33.00 0.00 33.00 70.0 2.00 10/12/2018 63085125 SIMONE, PENELOPE ANN SIGNAL SECURITIES RIA B 0.00 310.66 0.00 17.66 70.0 12.00 12/12/2018 63085125 SIMONE, PENELOPE ANN SIGNAL SECURITIES RIA B 0.00 370.0 0.00 370.0 0.00 370.0 0.00 12.00 12/12/2018 63085125 SIMONE, PENELOPE ANN SIGNAL SECURITIES RIA B 0.00 575.66 0.00 575.66 70.0 217.00 12/12/2018 63085125 SIMONE, PENELOPE ANN SIGNAL SECURITIES RIA B 0.00 575.66 0.00 575.66 70.0 402.00 12/12/2018 73189180 WIMBERLY, MELISSA SIGNAL SECURITIES RIA B 0.00 575.66 0.00 575.66 70.0 402.00 12/12/2018 73189180 WIMBERLY, MELISSA SIGNAL SECURITIES RIA B 0.00 575.66 0.00 575.66 70.0 402.00 12/12/2018 73189180 WIMBERLY, MELISSA SIGNAL SECURITIES RIA B 0.00 575.66 0.00 575.66 70.0 575.66 70.0 575.66 70.0 575.66 70.0 575.66 70.0 575.66 70.0 575.66 70.0 575.66 70.0 575.66 70.0 575.66 70.0 575.66 70.0 575.66 70.0 575.66 70.0 575.66 70.0 575.66 70.0 575.66 70.0	01/12/2018 45	603849 HOWARD REV. TRUST,	SIGNAL SECURITIES RIA	В	0.00	13.34	0.00	13.34	70.0	9.34
STEV  01/12/2018 50676897 MERLO, CHRIS J. SIGNAL SECURITIES RIA B 0.00 2.34 0.00 2.34 70.0 1.01/12/2018 50869265 MERLO, CHRIS J. SIGNAL SECURITIES RIA B 0.00 6.00 0.00 6.00 70.0 4.01/12/2018 52520997 ZEIGLER III, JOHN W SIGNAL SECURITIES RIA B 0.00 10.66 0.00 10.66 70.0 7.01/12/2018 52610132 SIMONE, GARY & SIGNAL SECURITIES RIA B 0.00 137.66 70.0 137.6	CA	AROLYN COX								
01/12/2018 50676897 MERLO, CHRIS J. SIGNAL SECURITIES RIA B 0.00 2.34 0.00 2.34 70.0 1.01/12/2018 50869265 MERLO, CHRIS J. SIGNAL SECURITIES RIA B 0.00 6.00 0.00 6.00 70.0 4.01/12/2018 52520997 ZEIGLER III, JOHN W SIGNAL SECURITIES RIA B 0.00 10.66 0.00 10.66 70.0 7.0 7.0 7.0 1/12/2018 52520997 ZEIGLER III, JOHN W SIGNAL SECURITIES RIA B 0.00 10.66 0.00 10.66 70.0 7.0 96.	01/12/2018 50	0429988 SINGLETON, MARION B 8	SIGNAL SECURITIES RIA	В	0.00	1,884.34	0.00	1,884.34	70.0	1,319.04
01/12/2018 50869265 MERLO, CHRIS J. SIGNAL SECURITIES RIA B 0.00 6.00 0.00 6.00 70.0 4.01/12/2018 52520997 ZEIGLER III, JOHN W SIGNAL SECURITIES RIA B 0.00 10.66 0.00 10.66 70.0 7.01/12/2018 52520997 ZEIGLER III, JOHN W SIGNAL SECURITIES RIA B 0.00 137.66 0.00 137.66 70.0 96.00 PENELOPE  01/12/2018 56345160 MCKIMMEY, DREW & SIGNAL SECURITIES RIA B 0.00 133.44 0.00 133.34 70.0 8.00 1/12/2018 56345160 MCKIMMEY, DREW & SIGNAL SECURITIES RIA B 0.00 11.66 0.00 11.66 70.0 8.01/12/2018 57264459 KELLERMAN, MICHAEL E SIGNAL SECURITIES RIA B 0.00 11.66 0.00 11.66 70.0 8.01/12/2018 60884203 UHR, ERNEST S SIGNAL SECURITIES RIA B 0.00 3.34 0.00 3.34 70.0 2.01/12/2018 61324514 MORGAN, HELGAI SIGNAL SECURITIES RIA B 0.00 3.34 0.00 3.34 70.0 2.01/12/2018 62479593 MCKIMMEY, DREW & SIGNAL SECURITIES RIA B 0.00 3.00 3.00 3.00 3.00 3.00 70.0 2.01/12/2018 63085125 SIMONE, PENELOPE ANN SIGNAL SECURITIES RIA B 0.00 3.00 3.00 3.00 3.00 3.00 70.0 2.00 70.0 2.00 70.0 70.0 70.0 7	SI	ΓEV								
01/12/2018 52520997 ZEIGLER III, JOHN W SIGNAL SECURITIES RIA B 0.00 10.66 0.00 10.66 70.0 7.0 10.12/2018 52610132 SIMONE, GARY & SIGNAL SECURITIES RIA B 0.00 137.66 0.00 137.66 70.0 96.  PENELOPE  01/12/2018 56345160 MCKIMMEY, DREW & SIGNAL SECURITIES RIA B 0.00 133.34 0.00 13.34 70.0 96.  KENDALL  01/12/2018 57264459 KELLERMAN, MICHAEL E SIGNAL SECURITIES RIA B 0.00 11.66 0.00 11.66 70.0 8.  01/12/2018 60684203 UHR, ERNEST S SIGNAL SECURITIES RIA B 0.00 33.34 0.00 33.34 70.0 2.  01/12/2018 61324514 MORGAN, HELGA I SIGNAL SECURITIES RIA B 0.00 38.00 0.00 38.00 70.0 26.  01/12/2018 62479593 MCKIMMEY, DREW & SIGNAL SECURITIES RIA B 0.00 17.66 0.00 17.66 70.0 12.  EKENDALL  01/12/2018 63085125 SIMONE, PENELOPE ANN SIGNAL SECURITIES RIA B 0.00 310.66 0.00 310.66 70.0 217.  OW  01/12/2018 71324279 TREADWELL, TONEY SIGNAL SECURITIES RIA B 0.00 575.66 0.00 575.66 70.0 402.  ELLEN  01/12/2018 73189180 WIMBERLY, MELISSA SIGNAL SECURITIES RIA B 0.00 90.00 90.00 90.00 70.0 63.  EROWNIN  01/12/2018 73189180 WIMBERLY, MELISSA SIGNAL SECURITIES RIA B 0.00 90.00 90.00 90.00 70.0 63.	01/12/2018 50	)676897 MERLO, CHRIS J.	SIGNAL SECURITIES RIA	В	0.00	2.34	0.00	2.34	70.0	1.64
01/12/2018 52610132 SIMONE, GARY & SIGNAL SECURITIES RIA B 0.00 137.66 0.00 137.66 70.0 96.  PENELOPE  01/12/2018 56345160 MCKIMMEY, DREW & SIGNAL SECURITIES RIA B 0.00 13.34 0.00 13.34 70.0 9.  KENDALL  01/12/2018 57264459 KELLERMAN, MICHAEL E SIGNAL SECURITIES RIA B 0.00 11.66 0.00 11.66 70.0 8.  01/12/2018 60684203 UHR, ERNEST S SIGNAL SECURITIES RIA B 0.00 3.34 0.00 33.4 70.0 2.  01/12/2018 61324514 MORGAN, HELGA I SIGNAL SECURITIES RIA B 0.00 38.00 0.00 38.00 70.0 26.  01/12/2018 62479593 MCKIMMEY, DREW & SIGNAL SECURITIES RIA B 0.00 17.66 0.00 17.66 70.0 12.  KENDALL  01/12/2018 63085125 SIMONE, PENELOPE ANN SIGNAL SECURITIES RIA B 0.00 310.66 0.00 310.66 70.0 217.  ON  01/12/2018 73184279 TREADWELL, TONEY SIGNAL SECURITIES RIA B 0.00 575.66 0.00 575.66 70.0 402.  ELLEN  01/12/2018 73189180 WIMBERLY, MELISSA SIGNAL SECURITIES RIA B 0.00 90.00 0.00 90.00 70.0 63.  BROWNIN  01/12/2018 74389400 ZEIGLER, MICHAEL SIGNAL SECURITIES RIA B 0.00 99.34 0.00 99.34 70.0 63.	01/12/2018 50	)869265 MERLO, CHRIS J.	SIGNAL SECURITIES RIA	В	0.00	6.00	0.00	6.00	70.0	4.20
PENELOPE  01/12/2018 56345160 MCKIMMEY, DREW & SIGNAL SECURITIES RIA B 0.00 13.34 0.00 13.34 70.0 9.   KENDALL  01/12/2018 57264459 KELLERMAN, MICHAEL E SIGNAL SECURITIES RIA B 0.00 11.66 0.00 11.66 70.0 8.   01/12/2018 6084203 UHR, ERNEST S SIGNAL SECURITIES RIA B 0.00 33.4 0.00 3.34 70.0 2.   01/12/2018 61324514 MORGAN, HELGA I SIGNAL SECURITIES RIA B 0.00 38.00 0.00 38.00 70.0 26.   01/12/2018 62479593 MCKIMMEY, DREW & SIGNAL SECURITIES RIA B 0.00 17.66 0.00 17.66 70.0 17.66 70.0 12.   KENDALL  01/12/2018 63085125 SIMONE, PENELOPE ANN SIGNAL SECURITIES RIA B 0.00 310.66 0.00 310.66 70.0 217.   OW  01/12/2018 71324279 TREADWELL, TONEY SIGNAL SECURITIES RIA B 0.00 575.66 0.00 575.66 70.0 402.   ELLEN  01/12/2018 73189180 WIMBERLY, MELISSA SIGNAL SECURITIES RIA B 0.00 90.00 90.00 90.00 70.0 90.00 70.0 63.   BROWNIN  01/12/2018 74389400 ZEIGLER, MICHAEL SIGNAL SECURITIES RIA B 0.00 93.4 0.00 93.4 70.0 65.	01/12/2018 52	2520997 ZEIGLER III, JOHN W	SIGNAL SECURITIES RIA	В	0.00	10.66	0.00	10.66	70.0	7.46
01/12/2018 56345160 MCKIMMEY, DREW & SIGNAL SECURITIES RIA B 0.00 13.34 0.00 13.34 70.0 9.00 11.20 11.	01/12/2018 52	2610132 SIMONE, GARY &	SIGNAL SECURITIES RIA	В	0.00	137.66	0.00	137.66	70.0	96.36
KENDALL  01/12/2018 57264459 KELLERMAN, MICHAEL E SIGNAL SECURITIES RIA B 0.00 11.66 0.00 11.66 70.0 8.  01/12/2018 60684203 UHR, ERNEST S SIGNAL SECURITIES RIA B 0.00 3.34 0.00 3.34 70.0 2.  01/12/2018 61324514 MORGAN, HELGA I SIGNAL SECURITIES RIA B 0.00 38.00 0.00 38.00 70.0 38.00 70.0 26.  01/12/2018 62479593 MCKIMMEY, DREW & SIGNAL SECURITIES RIA B 0.00 17.66 0.00 17.66 70.0 17.66 70.0 17.66 70.0 17.66 70.0 17.66 70.0 17.66 70.0 17.66 70.0 17.66 70.0 17.66 70.0 17.66 70.0 17.66 70.0 17.66 70.0 70.0 70.0 70.0 70.0 70.0 70.0	PI	ENELOPE								
01/12/2018 57264459 KELLERMAN, MICHAEL E SIGNAL SECURITIES RIA B 0.00 11.66 0.00 11.66 70.0 8.0 0 11.1	01/12/2018 56	5345160 MCKIMMEY, DREW &	SIGNAL SECURITIES RIA	В	0.00	13.34	0.00	13.34	70.0	9.34
01/12/2018 60684203 UHR, ERNEST S SIGNAL SECURITIES RIA B 0.00 3.34 0.00 3.34 70.0 2.00 01/12/2018 61324514 MORGAN, HELGA I SIGNAL SECURITIES RIA B 0.00 38.00 0.00 38.00 70.0 26.00 01/12/2018 62479593 MCKIMMEY, DREW & SIGNAL SECURITIES RIA B 0.00 17.66 0.00 17.66 70.0 12.00 01/12/2018 63085125 SIMONE, PENELOPE ANN SIGNAL SECURITIES RIA B 0.00 310.66 0.00 310.66 70.0 217.00 00 01/12/2018 71324279 TREADWELL, TONEY SIGNAL SECURITIES RIA B 0.00 575.66 0.00 575.66 70.0 402.00 01/12/2018 73189180 WIMBERLY, MELISSA SIGNAL SECURITIES RIA B 0.00 90.00 90.00 90.00 70.0 63.00 01/12/2018 74389400 ZEIGLER, MICHAEL SIGNAL SECURITIES RIA B 0.00 9.34 0.00 9.34 70.0 63.00 01/12/2018 74389400 ZEIGLER, MICHAEL SIGNAL SECURITIES RIA B 0.00 9.34 0.00 9.34 70.0 63.00 01/12/2018 74389400 ZEIGLER, MICHAEL SIGNAL SECURITIES RIA B 0.00 9.34 0.00 9.34 70.0 63.00 01/12/2018 74389400 ZEIGLER, MICHAEL SIGNAL SECURITIES RIA B 0.00 9.34 0.00 9.34 70.0 63.00 01/12/2018 74389400 ZEIGLER, MICHAEL SIGNAL SECURITIES RIA B 0.00 9.34 0.00 9.34 70.0 63.00 01/12/2018 74389400 ZEIGLER, MICHAEL SIGNAL SECURITIES RIA B 0.00 9.34 0.00 9.34 70.0 63.00 01/12/2018 74389400 ZEIGLER, MICHAEL SIGNAL SECURITIES RIA B 0.00 9.34 0.00 9.34 70.0 63.00 01/12/2018 74389400 ZEIGLER, MICHAEL SIGNAL SECURITIES RIA B 0.00 9.34 0.00 9.34 70.0 63.00 01/12/2018 74389400 ZEIGLER, MICHAEL SIGNAL SECURITIES RIA B 0.00 9.34 0.00 9.34 70.0 63.00 01/12/2018 74389400 ZEIGLER, MICHAEL SIGNAL SECURITIES RIA B 0.00 9.34 0.00 9.34 70.0 63.00 01/12/2018 74389400 ZEIGLER, MICHAEL SIGNAL SECURITIES RIA B 0.00 9.34 0.00 9.34 70.0 63.00 01/12/2018 74389400 ZEIGLER, MICHAEL SIGNAL SECURITIES RIA B 0.00 9.34 0.00 9.34 70.0 63.00 01/12/2018 74389400 ZEIGLER, MICHAEL SIGNAL SECURITIES RIA B 0.00 9.34 0.00 9.34 70.0 63.00 01/12/2018 74389400 ZEIGLER, MICHAEL SIGNAL SECURITIES RIA B 0.00 9.00 9.34 0.00 9.34 70.0 63.00 01/12/2018 74389400 ZEIGLER, MICHAEL SIGNAL SECURITIES RIA B 0.00 9.00 9.34 0.00 9.34 70.0 9.34 70.0 9.34 70.0 9.34 70.0 9.34 70.0 9.34 70.0 9.34 70.0 9.34 70.0 9.34 70.0 9.34 70.0	KI	ENDALL								
01/12/2018 61324514 MORGAN, HELGA I SIGNAL SECURITIES RIA B 0.00 38.00 0.00 38.00 70.0 26.01/12/2018 62479593 MCKIMMEY, DREW & SIGNAL SECURITIES RIA B 0.00 17.66 0.00 17.66 70.0 12.01/12/2018 63085125 SIMONE, PENELOPE ANN SIGNAL SECURITIES RIA B 0.00 310.66 0.00 310.66 70.0 217.00 00 00 00 00 00 00 00 00 00 00 00 00	01/12/2018 57	7264459 KELLERMAN, MICHAEL E	SIGNAL SECURITIES RIA	В	0.00	11.66	0.00	11.66	70.0	8.16
01/12/2018 62479593 MCKIMMEY, DREW & SIGNAL SECURITIES RIA B 0.00 17.66 0.00 17.66 70.0 12.00 1.00 1.00 1.00 1.00 1.00 1.00	01/12/2018 60	0684203 UHR, ERNEST S	SIGNAL SECURITIES RIA	В	0.00	3.34	0.00	3.34	70.0	2.34
KENDALL 01/12/2018 63085125 SIMONE, PENELOPE ANN SIGNAL SECURITIES RIA 01/12/2018 71324279 TREADWELL, TONEY 01/12/2018 73189180 WIMBERLY, MELISSA SIGNAL SECURITIES RIA B 0.00 575.66 0.00 575.66 0.00 575.66 70.0 402.  01/12/2018 73189180 WIMBERLY, MELISSA SIGNAL SECURITIES RIA B 0.00 90.0	01/12/2018 61	1324514 MORGAN, HELGA I	SIGNAL SECURITIES RIA	В	0.00	38.00	0.00	38.00	70.0	26.60
01/12/2018 63085125 SIMONE, PENELOPE ANN SIGNAL SECURITIES RIA  B 0.00 310.66 0.00 310.66 70.0 217. 00 01/12/2018 71324279 TREADWELL, TONEY ELLEN 01/12/2018 73189180 WIMBERLY, MELISSA BROWNIN 01/12/2018 74389400 ZEIGLER, MICHAEL SIGNAL SECURITIES RIA B 0.00 90.00	01/12/2018 62	2479593 MCKIMMEY, DREW &	SIGNAL SECURITIES RIA	В	0.00	17.66	0.00	17.66	70.0	12.36
OW  01/12/2018 71324279 TREADWELL, TONEY SIGNAL SECURITIES RIA B 0.00 575.66 0.00 575.66 70.0 402.  ELLEN  01/12/2018 73189180 WIMBERLY, MELISSA SIGNAL SECURITIES RIA B 0.00 90.00 0.00 90.00 70.0 63.  BROWNIN  01/12/2018 74389400 ZEIGLER, MICHAEL SIGNAL SECURITIES RIA B 0.00 9.34 0.00 9.34 70.0 6.	KI	ENDALL								
01/12/2018 71324279 TREADWELL, TONEY SIGNAL SECURITIES RIA B 0.00 575.66 0.00 575.66 70.0 402.  ELLEN  01/12/2018 73189180 WIMBERLY, MELISSA SIGNAL SECURITIES RIA B 0.00 90.00 0.00 90.00 70.0 63.  BROWNIN  01/12/2018 74389400 ZEIGLER, MICHAEL SIGNAL SECURITIES RIA B 0.00 9.34 0.00 9.34 70.0 6.	01/12/2018 63	3085125 SIMONE, PENELOPE ANN	SIGNAL SECURITIES RIA	В	0.00	310.66	0.00	310.66	70.0	217.46
ELLEN 01/12/2018 73189180 WIMBERLY, MELISSA SIGNAL SECURITIES RIA B 0.00 90.00 0.00 90.00 70.0 63. BROWNIN 01/12/2018 74389400 ZEIGLER, MICHAEL SIGNAL SECURITIES RIA B 0.00 9.34 0.00 9.34 70.0 6.	OV	√								
01/12/2018 73189180 WIMBERLY, MELISSA SIGNAL SECURITIES RIA B 0.00 90.00 0.00 90.00 70.0 63. BROWNIN 01/12/2018 74389400 ZEIGLER, MICHAEL SIGNAL SECURITIES RIA B 0.00 9.34 0.00 9.34 70.0 6.	01/12/2018 71	1324279 TREADWELL, TONEY	SIGNAL SECURITIES RIA	В	0.00	575.66	0.00	575.66	70.0	402.96
BROWNIN 01/12/2018 74389400 ZEIGLER, MICHAEL SIGNAL SECURITIES RIA B 0.00 9.34 0.00 9.34 70.0 6.	EI	LLEN								
01/12/2018 74389400 ZEIGLER, MICHAEL SIGNAL SECURITIES RIA B 0.00 9.34 0.00 9.34 70.0 6.	01/12/2018 73	3189180 WIMBERLY, MELISSA	SIGNAL SECURITIES RIA	В	0.00	90.00	0.00	90.00	70.0	63.00
	BF	ROWNIN								
PATRICK	01/12/2018 74	4389400 ZEIGLER, MICHAEL	SIGNAL SECURITIES RIA	В	0.00	9.34	0.00	9.34	70.0	6.54
	PA	ATRICK								

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		220		GET OVE					_
		BROK	ER TRANSA	ACTIONS					
PRODUCT CAT	regory: RIA								
01/12/2018 7	4395342 ZEIGLER III, JOHN W	SIGNAL SECURITIES RIA	В	0.00	9.66	0.00	9.66	70.0	6.76
	4799834 RITTBY, MAGNUS ENNART	SIGNAL SECURITIES RIA	В	0.00	680.34	0.00	680.34	70.0	476.24
	6521383 HENDERSON, CLIFFORD 8 ATH	SIGNAL SECURITIES RIA	В	0.00	114.00	0.00	114.00	70.0	79.80
01/12/2018 7	7103397 UHR, MARGARET K	SIGNAL SECURITIES RIA	В	0.00	129.34	0.00	129.34	70.0	90.54
	7901571 MCKIMMEY, DREW & ENDALL	SIGNAL SECURITIES RIA	В	0.00	48.34	0.00	48.34	70.0	33.84
01/12/2018 7	8113997 UHR, ERNEST S	SIGNAL SECURITIES RIA	В	0.00	63.34	0.00	63.34	70.0	44.34
01/12/2018 7	8797347 WALES, CHRIS & ANDREA	A SIGNAL SECURITIES RIA	В	0.00	70.00	0.00	70.00	70.0	49.00
	8947702 SIMONE, GARY & ENELOPE	SIGNAL SECURITIES RIA	В	0.00	236.66	0.00	236.66	70.0	165.66
	0337453 TREADWELL, TONEY LLEN	SIGNAL SECURITIES RIA	В	0.00	110.66	0.00	110.66	70.0	77.46
	2350222 ZEIGLER, MICHAEL ATRICK	SIGNAL SECURITIES RIA	В	0.00	222.00	0.00	222.00	70.0	155.40
01/12/2018 8	2374695 KELLERMAN, MICHAEL E	SIGNAL SECURITIES RIA	В	0.00	184.66	0.00	184.66	70.0	129.26
01/12/2018 83 OT	3025761 SIMONE, PENELOPE ANN	SIGNAL SECURITIES RIA	В	0.00	133.34	0.00	133.34	70.0	93.34
01/12/2018 8	3130926 ZEIGLER, KATHY JO	SIGNAL SECURITIES RIA	В	0.00	76.00	0.00	76.00	70.0	53.20
	7845699 KELLERMAN, ANCY/MICHAEL	SIGNAL SECURITIES RIA	В	0.00	585.66	0.00	585.66	70.0	409.96
	2989374 SCHEIDEMAN, JOHN ARKER	SIGNAL SECURITIES RIA	В	0.00	0.66	0.00	0.66	70.0	0.46
	3302124 SCHEIDEMAN, JOHN ARKER	SIGNAL SECURITIES RIA	В	0.00	65.00	0.00	65.00	70.0	45.50
01/12/2018 9	5235681 STARE INVESTMENTS LP	SIGNAL SECURITIES RIA	В	0.00	486.00	0.00	486.00	70.0	340.20
01/12/2018 9	7573277 GARZA, RICARDO C	SIGNAL SECURITIES RIA	В	0.00	446.66	0.00	446.66	70.0	312.66
01/12/2018 9	8761332 LOPEZ, VICTOR	SIGNAL SECURITIES RIA	В	0.00	4.66	0.00	4.66	70.0	3.26
01/12/2018 9	9462551 ZEIGLER III, JOHN W	SIGNAL SECURITIES RIA	В	0.00	221.66	0.00	221.66	70.0	155.16
		* RIA SU	BTOTAL *	\$0.00	\$10,722.30	\$0.00	\$10,722.30		\$7,505.60
PRODUCT CAT	regory: Mutual fund 12B1	TRAILS							
04/02/2018 T	RAIL COMMISSIONS	THORNBURG FUNDS FEES		0.00	42.39	0.00	42.39	70.0	29.67
		* MUTUAL FUND 12B1 TRAILS SU	BTOTAL *	\$0.00	\$42.39	\$0.00	\$42.39		\$29.67
		*** BROKER TRANSACTIONS T	OTAL ***	\$1,719.97	\$11,899.14	\$0.00	\$11,899.14		\$8,329.43

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	SPLI	T TRANSA	CTIONS					
BROKER: SNOW/MOYNIHAN #9543								
01/12/2018 47895792 HICKERSON, JAMIE MARJORIE	SIGNAL SECURITIES RIA	В	0.00	265.40	0.00	265.40	70.0	185.78
01/12/2018 75756775 HICKERSON, JAMIE MARJORIE	SIGNAL SECURITIES RIA	В	0.00	158.00	0.00	158.00	70.0	110.60
01/12/2018 83385351 COX, HARVEY N	SIGNAL SECURITIES RIA	В	0.00	23.40	0.00	23.40	70.0	16.38
01/12/2018 91616746 COX, HARVEY N	SIGNAL SECURITIES RIA	В	0.00	11.00	0.00	11.00	70.0	7.70
	* SNOW/MOYNIHAN SU	BTOTAL *	\$0.00	\$457.80	\$0.00	\$457.80		\$320.46
BROKER: SINGLETON/SNOW #9575								
01/12/2018 57689062 SINGLETON, STEVEN B	SIGNAL SECURITIES RIA	В	0.00	115.83	0.00	115.83	70.0	81.08
01/12/2018 97231190 CHRISTOFORETTI, II, ERIN/JOHN	SIGNAL SECURITIES RIA	В	0.00	167.17	0.00	167.17	70.0	117.02
	* SINGLETON/SNOW SU	BTOTAL *	\$0.00	\$283.00	\$0.00	\$283.00		\$198.10
BROKER: PALMERSHEIM/SNOW #9613								
01/12/2018 15447982 PEARSON, DIANNE H	SIGNAL SECURITIES RIA	В	0.00	112.00	0.00	112.00	70.0	78.40
01/12/2018 16695746 QUANBECK, REA DENISE	SIGNAL SECURITIES RIA	В	0.00	30.00	0.00	30.00	70.0	21.00
01/12/2018 18091956 BRENNAN, JONATHAN & JEAN	SIGNAL SECURITIES RIA	В	0.00	23.74	0.00	23.74	70.0	16.62
01/12/2018 20770029 BRENNAN, JONATHAN & JEAN	SIGNAL SECURITIES RIA	В	0.00	187.34	0.00	187.34	70.0	131.14
01/12/2018 27933838 RUSCH, GIGI	SIGNAL SECURITIES RIA	В	0.00	19.34	0.00	19.34	70.0	13.54
01/12/2018 29192217 JOHNSON, TONYA WAYNETTE	SIGNAL SECURITIES RIA	В	0.00	62.00	0.00	62.00	70.0	43.40
01/12/2018 29329286 QUANBECK, GORDON (HAL)	SIGNAL SECURITIES RIA	В	0.00	240.14	0.00	240.14	70.0	168.10
01/12/2018 31207916 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	В	0.00	76.66	0.00	76.66	70.0	53.66
01/12/2018 31528580 STELLY, PAUL	SIGNAL SECURITIES RIA	В	0.00	217.86	0.00	217.86	70.0	152.50
01/12/2018 33117987 STELLY, PAUL	SIGNAL SECURITIES RIA	В	0.00	12.94	0.00	12.94	70.0	9.06
01/12/2018 35080832 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	В	0.00	21.86	0.00	21.86	70.0	15.30
01/12/2018 35770153 RUSCH, GIGI	SIGNAL SECURITIES RIA	В	0.00	4.94	0.00	4.94	70.0	3.46
01/12/2018 39222483 REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	В	0.00	104.80	0.00	104.80	70.0	73.36
01/12/2018 39816703 WARREN, GLORIA CLARK	SIGNAL SECURITIES RIA	В	0.00	73.06	0.00	73.06	70.0	51.14
03/15/2018 401K OPTIMIZER PUKIS, BARBARA ANN	SIGNAL SECURITIES RIA	В	0.00	85.87	0.00	85.87	70.0	60.11
01/12/2018 41540562 LOCKER, PAMELA LAYTO	N SIGNAL SECURITIES RIA	В	0.00	148.66	0.00	148.66	70.0	104.06
01/12/2018 42458316 WARREN, WILLIAM& GLORIA	SIGNAL SECURITIES RIA	В	0.00	42.14	0.00	42.14	70.0	29.50
01/12/2018 49635286 BERNARD, DONNA GAY PANCA	SIGNAL SECURITIES RIA	В	0.00	18.00	0.00	18.00	70.0	12.60

TRADE DATE CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			CDI III IIDANGA	CELONG					
			SPLIT TRANSA	CIIONS					
BROKER: PALMERSHEIM	1/SNOW #9613								
01/12/2018 56512595 BI JEAN	RENNAN, JONATHAN &	SIGNAL SECURITIES RIA	В	0.00	7.06	0.00	7.06	70.0	4.94
01/12/2018 58196619 ST HALEY	FELLY, TIMOTHY &	SIGNAL SECURITIES RIA	В	0.00	1.06	0.00	1.06	70.0	0.74
01/12/2018 59968411 K	INUNEN, LEZLIE ANNE	SIGNAL SECURITIES RIA	В	0.00	90.40	0.00	90.40	70.0	63.28
01/12/2018 62652031 J	ACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	В	0.00	103.20	0.00	103.20	70.0	72.24
01/12/2018 64024640 QT (HAL)	JANBECK, GORDON	SIGNAL SECURITIES RIA	В	0.00	26.00	0.00	26.00	70.0	18.20
01/12/2018 67104851 JI	ENSEN, ANN MARIE	SIGNAL SECURITIES RIA	В	0.00	126.26	0.00	126.26	70.0	88.38
01/12/2018 68428952 H	ORN, JEFFREY	SIGNAL SECURITIES RIA	В	0.00	235.06	0.00	235.06	70.0	164.54
01/12/2018 68892175 L	AWRENCE, JERROLD B	SIGNAL SECURITIES RIA	В	0.00	180.80	0.00	180.80	70.0	126.56
01/12/2018 70196330 J	ACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	В	0.00	32.00	0.00	32.00	70.0	22.40
01/12/2018 72490138 PT	UKIS, BARBARA ANN	SIGNAL SECURITIES RIA	В	0.00	85.86	0.00	85.86	70.0	60.10
01/12/2018 72540730 В J	LALOCK III, WILLIAM	SIGNAL SECURITIES RIA	В	0.00	158.66	0.00	158.66	70.0	111.06
01/12/2018 73959390 V	DIRIN FAMILY TRUST	SIGNAL SECURITIES RIA	В	0.00	21.46	0.00	21.46	70.0	15.02
01/12/2018 74609933 RI	EESE, PEGGY M	SIGNAL SECURITIES RIA	В	0.00	8.94	0.00	8.94	70.0	6.26
01/12/2018 74970598 MG	CKEEL, GWEN F	SIGNAL SECURITIES RIA	В	0.00	18.00	0.00	18.00	70.0	12.60
01/12/2018 75970772 SI	HIRLEY, RALPH W.	SIGNAL SECURITIES RIA	В	0.00	20.94	0.00	20.94	70.0	14.66
01/12/2018 81184800 SI	HIRLEY, RALPH W.	SIGNAL SECURITIES RIA	В	0.00	195.34	0.00	195.34	70.0	136.74
01/12/2018 81184802 SI	HIRLEY, RALPH W.	SIGNAL SECURITIES RIA	В	0.00	30.94	0.00	30.94	70.0	21.66
01/12/2018 81944763 RI SYLVIA/RICI		SIGNAL SECURITIES RIA	В	0.00	156.14	0.00	156.14	70.0	109.30
01/12/2018 85616643 ST HALEY	FELLY, TIMOTHY &	SIGNAL SECURITIES RIA	В	0.00	20.00	0.00	20.00	70.0	14.00
01/12/2018 87940275 Hz	ART, CHARLOTT/ROBER	T SIGNAL SECURITIES RIA	В	0.00	80.14	0.00	80.14	70.0	56.10
01/12/2018 91118996 BI	RENNAN, JEAN S	SIGNAL SECURITIES RIA	В	0.00	7.60	0.00	7.60	70.0	5.32
01/12/2018 96915588 JI	ENSEN, ANN MARIE	SIGNAL SECURITIES RIA	В	0.00	8.94	0.00	8.94	70.0	6.26
01/12/2018 97397744 RI	EESE, ANDREW / PEGGY	SIGNAL SECURITIES RIA	В	0.00	73.20	0.00	73.20	70.0	51.24
		* PALMERSHEIM/S	NOW SUBTOTAL *	\$0.00	\$3,169.35	\$0.00	\$3,169.35		\$2,218.55
BROKER: ERIC CHARLE	ES GUTH #9617								
01/12/2018 11999298 BI	ELL, GARY WILLIAM	SIGNAL SECURITIES RIA	В	0.00	252.16	0.00	252.16	70.0	176.51
01/12/2018 13081653 FI	LIERINGA, CHARLES	SIGNAL SECURITIES RIA	В	0.00	17.28	0.00	17.28	70.0	12.10
01/12/2018 17017159 RA	AY, PATSY & CHARLES	SIGNAL SECURITIES RIA	В	0.00	1.12	0.00	1.12	70.0	0.78
01/12/2018 24551097 H	OBAN, THOMAS WILLIA	M SIGNAL SECURITIES RIA	В	0.00	65.12	0.00	65.12	70.0	45.58
01/12/2018 27613913 RA JEFFREY	AINES, GEORGE	SIGNAL SECURITIES RIA	В	0.00	73.12	0.00	73.12	70.0	51.18
01/12/2018 29396124 CO TAMMY M.	OSTAS, PETER J &	SIGNAL SECURITIES RIA	В	0.00	16.56	0.00	16.56	70.0	11.59

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	QDT.T	T TRANS	ACTTONS					
	SFIII	I INAMA	CITONS					
BROKER: ERIC CHARLES GUTH #9617								
01/12/2018 32611628 BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	В	0.00	19.44	0.00	19.44	70.0	13.61
01/12/2018 34872059 HENDERSON, BILLY R	SIGNAL SECURITIES RIA	В	0.00	209.92	0.00	209.92	70.0	146.94
01/12/2018 37351723 CONNERY, FRANK /E DIANNE	SIGNAL SECURITIES RIA	В	0.00	187.04	0.00	187.04	70.0	130.93
01/12/2018 39099528 BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	В	0.00	23.68	0.00	23.68	70.0	16.58
01/12/2018 52065317 EWALD, JENNIFER JUDITH	SIGNAL SECURITIES RIA	В	0.00	26.80	0.00	26.80	70.0	18.76
01/12/2018 58050029 RAINES, JOEY SHANKLAND	SIGNAL SECURITIES RIA	В	0.00	13.92	0.00	13.92	70.0	9.74
01/12/2018 68493114 CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	В	0.00	121.28	0.00	121.28	70.0	84.90
01/12/2018 84865050 SHANKLAND, SCOTT GARBER	SIGNAL SECURITIES RIA	В	0.00	56.48	0.00	56.48	70.0	39.54
01/12/2018 86608828 FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	В	0.00	16.80	0.00	16.80	70.0	11.76
01/12/2018 89069414 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	В	0.00	0.96	0.00	0.96	70.0	0.67
01/12/2018 90329067 RAY, BRUCE EDWIN	SIGNAL SECURITIES RIA	В	0.00	94.88	0.00	94.88	70.0	66.42
01/12/2018 92709834 RAY, CHARLES A	SIGNAL SECURITIES RIA	В	0.00	8.40	0.00	8.40	70.0	5.88
01/12/2018 94409837 RAY, JUDITH ANDIA	SIGNAL SECURITIES RIA	В	0.00	5.84	0.00	5.84	70.0	4.09
01/12/2018 98008502 RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	В	0.00	2.16	0.00	2.16	70.0	1.51
01/12/2018 98257329 CONNERY, FRANK /E DIANNE	SIGNAL SECURITIES RIA	В	0.00	219.28	0.00	219.28	70.0	153.50
	* ERIC CHARLES GUTH SU	BTOTAL *	\$0.00	\$1,432.24	\$0.00	\$1,432.24		\$1,002.57
	*** SPLIT TRANSACTIONS TO	OTAL ***	\$0.00	\$5,342.39	\$0.00	\$5,342.39		\$3,739.68
	OVERR:	IDE TRAN	SACTIONS					
BROKER: KELLERMAN PALMERSHEIM/ #9	534							
03/16/2018 BUSH, ABE A. (JR.)	SIGNAL SECURITIES RIA	В	0.00	214.68	0.00	214.68		53.67
03/15/2018 00083950080 CUNNINGHAM, MARY	AMERICAN FUNDS EURO PACIFIC	GROWT B	175.00	8.75	0.00	8.75		2.19
01/12/2018 10556019 GILLILAND, JULIE DEANN	SIGNAL SECURITIES RIA	В	0.00	72.00	0.00	72.00		18.00
01/12/2018 10882572 BRUCE, JUDITH IRENE	SIGNAL SECURITIES RIA	В	0.00	222.66	0.00	222.66		55.67
01/12/2018 20872135 SLAUGHTER, JUSTINE MARIE	SIGNAL SECURITIES RIA	В	0.00	179.66	0.00	179.66		44.92
01/12/2018 22163519 ABBOTT, JAMES ADDISO	N SIGNAL SECURITIES RIA	В	0.00	35.34	0.00	35.34		8.84
01/12/2018 30561785 THOMAS, LARRY D	SIGNAL SECURITIES RIA	В	0.00	249.34	0.00	249.34		62.34
01/12/2018 31671856 THOMAS, POLLY S.	SIGNAL SECURITIES RIA	В	0.00	31.34	0.00	31.34		7.84
01/12/2018 41540562 LOCKER, PAMELA LAYTO	N SIGNAL SECURITIES RIA	В	0.00	22.34	0.00	22.34		5.59
01/12/2018 51260395 SUTHERLAND, MIKE DEA	N SIGNAL SECURITIES RIA	В	0.00	704.34	0.00	704.34		176.09

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
TRADE DATE CLIENT	INVESTMENT	5,5	AMOUNI	COMMISSION	CHARGE	COMMISSION	KAIE	COMMISSION
	OVERRI	DE TRAN	SACTIONS					
BROKER: KELLERMAN PALMERSHEIM/ #9	534							
01/12/2018 51489395 FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	В	0.00	343.66	0.00	343.66		85.93
01/12/2018 52007712 CHRISTY, MARYLOU B	SIGNAL SECURITIES RIA	В	0.00	6.66	0.00	6.66		1.6
1/12/2018 71014745 ELLIOTT, SUSAN HUDSO	N SIGNAL SECURITIES RIA	В	0.00	41.34	0.00	41.34		10.3
1/12/2018 79512456 BRUCE, GRANVILLE WAYNE	SIGNAL SECURITIES RIA	В	0.00	140.34	0.00	140.34		35.0
1/12/2018 82007581 KEOGH, FRANCIS JOSEP	H SIGNAL SECURITIES RIA	В	0.00	373.34	0.00	373.34		93.3
1/12/2018 89721188 HARRIS, SHARON K	SIGNAL SECURITIES RIA	В	0.00	1.34	0.00	1.34		0.34
1/12/2018 90768067 ELLIOTT, SUSAN HUDSO	N SIGNAL SECURITIES RIA	В	0.00	268.00	0.00	268.00		67.0
1/12/2018 91789542 FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	В	0.00	76.00	0.00	76.00		19.0
1/12/2018 91886872 ABBOTT, NANCYE/JAMES	SIGNAL SECURITIES RIA	В	0.00	674.34	0.00	674.34		168.5
1/12/2018 95761721 KEOGH, FRANCIS JOSEP	H SIGNAL SECURITIES RIA	В	0.00	10.00	0.00	10.00		2.5
1/12/2018 99442454 CHRISTY, MARYLOU B	SIGNAL SECURITIES RIA	В	0.00	43.00	0.00	43.00		10.7
	* KELLERMAN PALMERSHEIM/ SUB	TOTAL *	\$175.00	\$3,718.47	\$0.00	\$3,718.47		\$929.6
BROKER: THOMPSON/SIGNAL #9622								
1/12/2018 35296442 DAUM (SEPARATE PROP) JERRY F	, SIGNAL SECURITIES RIA	В	0.00	5,603.66	0.00	5,603.66		308.2
	* THOMPSON/SIGNAL SUB	TOTAL *	\$0.00	\$5,603.66	\$0.00	\$5,603.66		\$308.2
	*** OVERRIDE TRANSACTIONS TO	TAL ***	\$175.00	\$9,322.13	\$0.00	\$9,322.13		\$1,237.8
	<u>.</u>	DJUSTME	NTS					
4/02/2018	HILLTOP: CLEARING CHARGES		0.00	0.00	0.00	0.00		-13.0
4/02/2018	HILLTOP: CUSTOMER WRITE OFF		0.00	0.00	0.00	0.00		-30.5
4/02/2018	HILLTOP: MISC TICKET FEES		0.00	0.00	0.00	0.00		16.5
4/02/2018	MISC: 10% OVERRIDE 9533		0.00	0.00	0.00	0.00		147.9
4/02/2018	POSTAGE: FEDEX CHARGES		0.00	0.00	0.00	0.00		-14.5
	*** ADJUSTMENTS TO	TAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$106.32

## BROKER COMMISSION TOTALS

Broker	Transactions	\$8,329.43
Split	Transactions	\$3,739.68
Override	Transactions	\$1,237.89
	Adjustments	\$106.32
	Payroll Draw	\$0.00
	Base Salary	\$0.00
Prior Pe	eriod Balance	\$0.00

Check Amount	\$13,413.32
Year-to-date Earnings	\$78,548.22