

All Companies  
**STATEMENT**  
**May 20, 2018**

RONALD NALVEN  
269 Century Way

BROKER #: 028  
BRANCH: LA BRANCH

Manalapan, NJ 07726-

COMMISSION STATEMENT for RONALD NALVEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>										
03/01/0018	#81917742201	BERBERIAN, JOSEPH COLLEGE BOUND 76220Y679	B	0.00	85.38	0.00	0.00	85.38	86.0	73.43
03/01/0018	#81917742202	BERBERIAN, ANI COLLEGE BOUND 76220Y844	B	0.00	47.00	0.00	0.00	47.00	86.0	40.42
03/01/0018	#81917742202	BERBERIAN, ANI COLLEGE BOUND 76220Y877	B	0.00	75.75	0.00	0.00	75.75	86.0	65.15
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$208.13</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$208.13</b>		<b>\$179.00</b>

**PRODUCT CATEGORY: VARIABLE ANNUITIES**

03/06/0018	#P61D2527580	GARLICK, GORDO AMERICAN GEN TRAILS	B	0.00	136.95	0.00	0.00	136.95	86.0	117.78
03/06/0018	#P61D2527581	GARLICK, JUNE AMERICAN GEN TRAILS	B	0.00	63.78	0.00	0.00	63.78	86.0	54.85
03/07/0018	#PHR546	VOYA RETIREMENT	B	1,003.52	0.00	0.00	0.00	0.00	86.0	0.00
03/07/0018	#ZH8660	VOYA RETIREMENT	B	11,689.38	0.00	0.00	0.00	0.00	86.0	0.00
03/09/0018	#27045	COMPONENTS AND CONT LINCOLN	B	774,926.13	322.89	0.00	0.00	322.89	86.0	277.69
03/09/0018	#PHR546	VOYA RETIREMENT	B	1,097,542.64	914.62	0.00	0.00	914.62	86.0	786.57
03/09/0018	#ZH8660	VOYA RETIREMENT	B	6,682,447.76	2,505.92	0.00	0.00	2,505.92	86.0	2,155.09
04/05/0018	#GAPCPJN90	FOOD EXCHANGE, NATIONWIDE 020	B	0.00	327.06	0.00	0.00	327.06	86.0	281.27
04/05/0018	#GAPCPJZ6B	PANZA AND SONS NATIONWIDE 020	B	1,071.47	71.99	0.00	0.00	71.99	86.0	61.91
04/05/0018	#GAPCPJZ3K	REAL ESTATE, MRH NATIONWIDE 020	B	0.00	16.48	0.00	0.00	16.48	86.0	14.17
04/05/0018	#GAPC7DN4J	MEDICAL, UNIVERSITY NATIONWIDE 620	B	5,616.95	185.46	0.00	0.00	185.46	86.0	159.50
04/05/0018	#GAPC3JN9N	FOOD EXCHANGE, NATIONWIDE 620	B	0.00	431.83	0.00	0.00	431.83	86.0	371.37
04/05/0018	#GAPC3JZ6A	PANZA AND SONS NATIONWIDE 620	B	8,166.89	310.75	0.00	0.00	310.75	86.0	267.25
04/05/0018	#GAPC3JZ3J	REAL ESTATE, MRH NATIONWIDE 620	B	240.00	134.19	0.00	0.00	134.19	86.0	115.40
04/30/0201	#GAPCPJN90	FOOD EXCHANGE, NATIONWIDE 020P15685	B	0.00	327.52	0.00	0.00	327.52	86.0	281.67
04/30/0201	#GAPCPJZ6B	PANZA AND SONS NATIONWIDE 020P15685	B	0.00	68.64	0.00	0.00	68.64	86.0	59.03
04/30/0201	#GAPC7DN4J	MEDICAL, UNIVERSITY NATIONWIDE 620	B	0.00	187.64	0.00	0.00	187.64	86.0	161.37
04/30/0201	#GAPC3JN9N	FOOD EXCHANGE, NATIONWIDE 620	B	0.00	434.10	0.00	0.00	434.10	86.0	373.33
04/30/0201	#GAPC3JZ6A	PANZA AND SONS NATIONWIDE 620	B	0.00	291.02	0.00	0.00	291.02	86.0	250.28
04/30/0201	#GAPC3JZ3J	REAL ESTATE, MRH NATIONWIDE 620	B	0.00	174.02	0.00	0.00	174.02	86.0	149.66
03/31/2018	#1007781489	CIAGLIA, RITA L SERIES	B	250,057.41	270.90	0.00	0.00	270.90	86.0	232.97
03/31/2018	#1007589308	EHRLICH, HAROLD L SERIES	B	128,330.25	106.94	0.00	0.00	106.94	86.0	91.97
03/31/2018	#1005032888	GALLUCCI, L SERIES	B	52,252.91	43.54	0.00	0.00	43.54	86.0	37.44
03/31/2018	#1005754573	HERMAN, MICHAEL L SERIES	B	43,254.45	46.86	0.00	0.00	46.86	86.0	40.30
03/31/2018	#1006254655	JUBINSKI, STACIE PERSP II	B	3,926.65	1.64	0.00	0.00	1.64	86.0	1.41

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<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>										
03/31/2018	#1011390591	CIAGLIA, RITA	PERSP L 08/11	B	269,530.19	224.61	0.00	0.00	224.61	86.0 193.16
04/01/2018	#P89D1506893	MILLER, SUSAN	AMERICAN GEN TRAILS	B	0.00	783.94	0.00	0.00	783.94	86.0 674.19
04/02/2018	#P89D0507510	PEREZ, HECTOR	AMERICAN GEN TRAILS	B	0.00	238.05	0.00	0.00	238.05	86.0 204.72
04/02/2018	#3205562463	ORLANDO, J	BRIGHTOUSE FINANCIAL	B	0.00	88.16	0.00	0.00	88.16	86.0 75.82
04/02/2018	#3205562703	ORLANDO, J	BRIGHTOUSE FINANCIAL	B	0.00	88.18	0.00	0.00	88.18	86.0 75.83
04/04/2018	#119794TAL	HAHN, IRWIN	TRANS LIFE FTLB01	B	0.00	39.33	0.00	0.00	39.33	86.0 33.82
04/04/2018	#100818TAL	BERBERIAN, JOSEPH	TRANS LIFE FTLB04	B	0.00	216.85	0.00	0.00	216.85	86.0 186.49
04/04/2018	#111219TAL	BROPHY, SUSAN	TRANS LIFE FTLB06	B	0.00	67.54	0.00	0.00	67.54	86.0 58.08
04/04/2018	#119229TAL	BOGUCHWAL, SHERRY	TRANS LIFE FTLB06	B	0.00	51.13	0.00	0.00	51.13	86.0 43.97
04/04/2018	#110865LB8	PEREZ, HECTOR	TRANS LIFEFLDP26	B	0.00	76.84	0.00	0.00	76.84	86.0 66.08
04/04/2018	#130283LB8	WILLIAMSON	TRANS LIFEFLDP26	B	0.00	91.84	0.00	0.00	91.84	86.0 78.98
04/04/2018	#151643TAB	BOGUCHWAL, MELIS	TRANSAMERICA FIN LIFE FTRNB4	B	2,200.00	110.00	0.00	0.00	110.00	86.0 94.60
04/30/2018	#1007781489	CIAGLIA, RITA	L SERIES	B	249,591.50	270.39	0.00	0.00	270.39	86.0 232.54
04/30/2018	#1007589308	EHRLICH, HAROLD	L SERIES	B	129,839.67	108.20	0.00	0.00	108.20	86.0 93.05
04/30/2018	#1005032888	GALLUCCI,	L SERIES	B	53,152.67	44.29	0.00	0.00	44.29	86.0 38.09
04/30/2018	#1005754573	HERMAN, MICHAEL	L SERIES	B	44,009.97	47.68	0.00	0.00	47.68	86.0 41.00
04/30/2018	#1006254655	JUBINSKI, STACIE	PERSP II	B	3,980.90	1.66	0.00	0.00	1.66	86.0 1.43
04/30/2018	#1011390591	CIAGLIA, RITA	PERSP L 08/11	B	272,602.54	227.17	0.00	0.00	227.17	86.0 195.37
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>					<b>\$10,085,433.85</b>	<b>\$10,150.60</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$10,150.60</b>	<b>\$8,729.50</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>										
04/06/2018	#01446893602	CIAGLIA, RITA	FA GROWTH OPPORTUNITIES C	B	0.00	5.65	0.00	0.00	5.65	86.0 4.86
04/06/2018	#01446893602	CIAGLIA, RITA	TREASURY FUND ADVISOR-CL C	B	0.00	1.53	0.00	0.00	1.53	86.0 1.32
04/10/2018	#	JUBINSKI, STACIE	DAIN TRAILER	B	0.00	7.88	0.00	0.00	7.88	86.0 6.78
04/10/2018	#	JUBINSKI, STACIE	DAIN TRAILER	B	0.00	6.49	0.00	0.00	6.49	86.0 5.58
04/10/2018	#	EVA MAGNES MEMORIAL FAMIL	DAIN TRAILER	B	0.00	12.38	0.00	0.00	12.38	86.0 10.65
04/10/2018	#	BERBERIAN, ANI	DAIN TRAILER	B	0.00	0.16	0.00	0.00	0.16	86.0 0.14
04/10/2018	#	BERBERIAN, ANI	DAIN TRAILER	B	0.00	0.79	0.00	0.00	0.79	86.0 0.68
04/10/2018	#	BERBERIAN, ANI	DAIN TRAILER	B	0.00	0.62	0.00	0.00	0.62	86.0 0.53
04/10/2018	#	BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.16	0.00	0.00	0.16	86.0 0.14
04/10/2018	#	BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.32	0.00	0.00	0.32	86.0 0.28
04/10/2018	#	BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.37	0.00	0.00	0.37	86.0 0.32
04/10/2018	#	CIAGLIA, ANTHONY	DAIN TRAILER	B	0.00	1.04	0.00	0.00	1.04	86.0 0.89
04/10/2018	#	BOGUCHWAL, SHERRY	DAIN TRAILER	B	0.00	0.39	0.00	0.00	0.39	86.0 0.34
04/10/2018	#	BOGUCHWAL, SHERRY	DAIN TRAILER	B	0.00	1.20	0.00	0.00	1.20	86.0 1.03
04/10/2018	#	BOGUCHWAL, SCOTT	DAIN TRAILER	B	0.00	0.44	0.00	0.00	0.44	86.0 0.38
04/10/2018	#	AMATO, NICHOLAS	DAIN TRAILER	B	0.00	1.75	0.00	0.00	1.75	86.0 1.51
04/10/2018	#	AMATO, JOHN	DAIN TRAILER	B	0.00	1.71	0.00	0.00	1.71	86.0 1.47
04/10/2018	#	AMATO, CHRISTOPHER	DAIN TRAILER	B	0.00	1.05	0.00	0.00	1.05	86.0 0.90
04/10/2018	#	MILLER, SUSAN	DAIN TRAILER	B	0.00	4.78	0.00	0.00	4.78	86.0 4.11

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## BROKER TRANSACTIONS

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

04/10/2018 # MILLER, SUSAN	DAIN TRAILER	B	0.00	1.73	0.00	0.00	1.73	86.0	1.49
04/10/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	2.34	0.00	0.00	2.34	86.0	2.01
04/10/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	2.87	0.00	0.00	2.87	86.0	2.47
04/10/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.99	0.00	0.00	0.99	86.0	0.85
04/10/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.52	0.00	0.00	0.52	86.0	0.45
04/10/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	1.22	0.00	0.00	1.22	86.0	1.05
04/10/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.65	0.00	0.00	0.65	86.0	0.56
04/10/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.52	0.00	0.00	0.52	86.0	0.45
04/10/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	1.00	0.00	0.00	1.00	86.0	0.86
04/10/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	1.18	0.00	0.00	1.18	86.0	1.01
04/10/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.74	0.00	0.00	0.74	86.0	0.64
04/10/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.89	0.00	0.00	0.89	86.0	0.77
04/10/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.31	0.00	0.00	0.31	86.0	0.27
04/10/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.16	0.00	0.00	0.16	86.0	0.14
04/10/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.38	0.00	0.00	0.38	86.0	0.33
04/10/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.20	0.00	0.00	0.20	86.0	0.17
04/18/2018 #VR08039424 GARLICK, JUNE	PL	B	0.00	88.87	0.00	0.00	88.87	86.0	76.43
04/18/2018 #VR08039423 GARLICK, GORDO	PL 1	B	0.00	280.74	0.00	0.00	280.74	86.0	241.44
04/20/2018 #VR08051761	PL 1	B	0.00	268.45	0.00	0.00	268.45	86.0	230.87
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$702.47	\$0.00	\$0.00	\$702.47		\$604.17
*** BROKER TRANSACTIONS TOTAL ***			\$10,085,433.85	\$11,061.20	\$0.00	\$0.00	\$11,061.20		\$9,512.67

## ADJUSTMENTS

05/20/2018	ADMIN FEE: ADMIN FEE	-390.00
05/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
05/20/2018	CIRCLEBLACK: CIRCLEBLACK	-175.00
*** ADJUSTMENTS TOTAL ***		<u>\$-744.00</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$9,512.67
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-744.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$8,768.67</b>
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