

COMMISSION STATEMENT
June 30, 2018

CALDWELL DPM

BROKER #: D113
BRANCH: DWA

, TX

COMMISSION STATEMENT for CALDWELL DPM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
06/12/2018 462238312	LIPSHIE, SAMUEL	S NOBLE ENERGY INC	B	3,469.95	15.00	15.00	0.00	0.0	0.00
06/26/2018 462238312	LIPSHIE, SAMUEL	S BED BATH & BEYOND INC	B	2,994.95	15.00	15.00	0.00	0.0	0.00
06/26/2018 462238312	LIPSHIE, SAMUEL	S CATCHMARK TIMBER TRUST INC CL A B	B	2,589.43	15.00	15.00	0.00	0.0	0.00
* STOCKS SUBTOTAL *				<u>\$9,054.33</u>	<u>\$45.00</u>	<u>\$45.00</u>	<u>\$0.00</u>		<u>\$0.00</u>
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/21/2018 mfc704574	DPM CALDWELL 704574	MFT FRANKLIN TN MUNI BOND FD CL A	B	0.00	0.80	0.00	0.80	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$0.80</u>	<u>\$0.00</u>	<u>\$0.80</u>		<u>\$0.00</u>
*** CLEARING TRANSACTIONS TOTAL ***				<u>\$9,054.33</u>	<u>\$45.80</u>	<u>\$45.00</u>	<u>\$0.80</u>		<u>\$0.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.00
Year-to-date Commissions	\$0.00