



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 15, 2018

08/14/2018
 Page No. 1

ALAN, L133 LICHTENSTEIN
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: L133
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
07/24/2018	RUSSO REV TR, EUGENIE	BLK HIGH YIELD BOND A	0.00	3.14	0.00	3.14	75.0	2.36
07/27/2018	LICHTENSTEIN, ALAN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.55	0.00	1.55	75.0	1.16
07/27/2018	SANTOS, TEODOCIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.49	0.00	1.49	75.0	1.12
07/27/2018	KONCIUS, STEWART	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.48	0.00	0.48	75.0	0.36
07/27/2018	SMARZ, MARY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.77	0.00	0.77	75.0	0.58
07/27/2018	GRAVES, GLORIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.19	0.00	2.19	75.0	1.64
07/27/2018	HECKER, THOMAS	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.92	0.00	2.92	75.0	2.19
07/27/2018	SHEPHERD FOUNDATION	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.09	0.00	3.09	75.0	2.32
07/27/2018	BALDWIN, PATRICE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.92	0.00	2.92	75.0	2.19
07/27/2018	SANTOS, TEODOCIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.65	0.00	3.65	75.0	2.74
07/25/2018	RUSSO REV TR, EUGENIE	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	2.06	0.00	2.06	75.0	1.55
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$24.26	\$0.00	\$24.26		\$18.21
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$24.26	\$0.00	\$24.26		\$18.21
<u>ADJUSTMENTS</u>								
08/15/2018		*AI INSIGHT: AI INSIGHT	0.00					-7.00
08/15/2018		*EO INS: EO INS - MONTHLY	0.00					-300.00
08/15/2018		*FEDERAL EXPRESS: 6-247-81587 7-18-18	0.00					-33.10
08/15/2018		*MISC: OVERNIGHT FEE 7-31/18	0.00					-10.00
08/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-11.88
08/15/2018		*TECHNOLOGY: MONTHLY BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-541.98

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$18.21
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-541.98
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-523.77
Year-to-date Gross	\$23,575.40
Year-to-date Earnings	\$17,546.71