



Concorde Investment Services, LLC

01/13/2017
Page No. 1

COMMISSION STATEMENT

January 17, 2017

ACCOUNT HOUSE

1120 E. LONG LAKE ROAD
SUITE 207
TROY, MI 48085-

BROKER #: G999

BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/03/2017	DURR, LORENA	AM FUNDS 2030 TARGET DATE-A	100.00	4.25	0.00	4.25	0.0	0.00
12/28/2016	SOIORIO, ROQUE	AM FUNDS AMERICAN BALANCED 529A	125.00	5.31	0.00	5.31	0.0	0.00
01/03/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	0.0	0.00
01/03/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	0.0	0.00
01/03/2017	RAINONE, TRISTINA DAWN	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	0.0	0.00
01/03/2017	RAINONE, MICHAEL	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	0.0	0.00
01/03/2017	COLE, PAUL	AM FUNDS AMERICAN BALANCED A	250.00	9.38	0.00	9.38	0.0	0.00
01/03/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	0.0	0.00
01/03/2017	BOOTZ, MATTHEW	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	0.0	0.00
01/03/2017	CALZADA, LARA	AM FUNDS CAPITAL INCOME BUILDER 529	100.00	5.00	0.00	5.00	0.0	0.00
01/03/2017	RAMIREZ, MARCOS	AM FUNDS CAPITAL INCOME BUILDER 529	150.00	6.38	0.00	6.38	0.0	0.00
01/03/2017	CALZADA, LARA	AM FUNDS CAPITAL INCOME BUILDER 529	100.00	5.00	0.00	5.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	AM FUNDS CAPITAL INCOME BUILDER A	1,425.00	0.00	0.00	0.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	AM FUNDS CAPITAL INCOME BUILDER A	-1,500.00	0.00	0.00	0.00	0.0	0.00
01/03/2017	CESARE, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	525.00	19.69	0.00	19.69	0.0	0.00
01/03/2017	DANG, DIEM CHAU LUONG	AM FUNDS CAPITAL INCOME BUILDER A	100.00	5.00	0.00	5.00	0.0	0.00
01/05/2017	COX, DEBORAH	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.75	0.00	3.75	0.0	0.00
12/28/2016	GREEN, WILLIAM	AM FUNDS COLLEGE 2030 FUND 529A	50.00	1.75	0.00	1.75	0.0	0.00
01/03/2017	STUMP, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	0.0	0.00
01/06/2017	HO, PAULINA	AM FUNDS GROWTH AND INCOME C	200.00	2.00	0.00	2.00	0.0	0.00
01/03/2017	SAM, WINGO	AM FUNDS GROWTH FUND OF AMERICA A	150.00	5.63	0.00	5.63	0.0	0.00
01/03/2017	STUMP, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	0.0	0.00
01/06/2017	HO, PAULINA	AM FUNDS INCOME PORTFOLIO C	200.00	2.00	0.00	2.00	0.0	0.00
01/05/2017	AGUILAR, JOSE	AM FUNDS NEW ECONOMY 529A	50.00	2.50	0.00	2.50	0.0	0.00
01/03/2017	LITTRELL, CASEY	AM FUNDS NEW PERSPECTIVE A	50.00	2.50	0.00	2.50	0.0	0.00
01/05/2017	COX, DEBORAH	AM FUNDS SMALLCAP WORLD A	75.00	3.75	0.00	3.75	0.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/06/2017	KERN, KATHERINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	0.0	0.00
01/03/2017	CALZADA, LARA	AMERICAN FUND COLLEGE 2027 FUND 529	100.00	3.50	0.00	3.50	0.0	0.00
12/27/2016	ROBINSON, SARAH	AMERICAN FUNDS COLLEGE 2033 FUND-52	500.00	17.50	0.00	17.50	0.0	0.00
12/31/2016	KLEMP, DANIAL	DELAWARE VALUE A	0.00	7.19	0.00	7.19	0.0	0.00
12/31/2016	SIDLOROBEG	DELAWARE VALUE A	0.00	31.25	0.00	31.25	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	-10,000.00	0.00	0.00	0.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	9,500.00	0.00	0.00	0.00	0.0	0.00
01/03/2017	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	-13,675.00	0.00	0.00	0.00	0.0	0.00
01/03/2017	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	12,991.25	0.00	0.00	0.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	INFLATION LINKED BOND FUND A	-5,900.00	0.00	0.00	0.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	INFLATION LINKED BOND FUND A	-3,000.00	0.00	0.00	0.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	INFLATION LINKED BOND FUND A	5,782.00	0.00	0.00	0.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	INFLATION LINKED BOND FUND A	2,940.00	0.00	0.00	0.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	PIMCO INCOME FUND CLASS A	196,000.00	0.00	0.00	0.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	PIMCO INCOME FUND CLASS A	-200,000.00	0.00	0.00	0.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	-228.00	0.00	0.00	0.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	225.72	0.00	0.00	0.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-227.00	0.00	0.00	0.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	224.73	0.00	0.00	0.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH A	-5,500.00	0.00	0.00	0.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH A	5,280.00	0.00	0.00	0.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-300.00	0.00	0.00	0.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-2,500.00	0.00	0.00	0.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	2,475.00	0.00	0.00	0.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	297.00	0.00	0.00	0.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	445.50	0.00	0.00	0.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH C	-450.00	0.00	0.00	0.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-2,043.80	\$175.58	\$0.00	\$175.58		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
12/28/2016	CONCORDE, VARIOUS	COLUMBIA ETF TR CORE BD ETF	12,495.00	0.00	0.00	0.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	COLUMBIA ETF TR CORE BD ETF	-10,200.00	0.00	1.00	-1.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	COLUMBIA ETF TR CORE BD ETF	-2,295.00	0.00	1.00	-1.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	COLUMBIA ETF TR CORE BD ETF	-459.99	0.00	1.00	-1.00	0.0	0.00

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
12/28/2016	CONCORDE, VARIOUS	COLUMBIA ETF TR CORE BD ETF	-5,099.95	0.00	1.00	-1.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	COLUMBIA ETF TR CORE BD ETF	-20,444.00	0.00	1.00	-1.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	COLUMBIA ETF TR CORE BD ETF	-10,222.00	0.00	1.00	-1.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	COLUMBIA ETF TR CORE BD ETF	36,225.93	0.00	0.00	0.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	COLUMBIA ETF TR CORE BD ETF	-5,919.48	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	COLUMBIA ETF TR CORE BD ETF	-5,114.25	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	COLUMBIA ETF TR CORE BD ETF	13,534.21	0.00	0.00	0.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	COLUMBIA ETF TR CORE BD ETF	-8,421.60	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	COLUMBIA ETF TR CORE BD ETF	-5,112.60	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	COLUMBIA ETF TR CORE BD ETF	11,033.73	0.00	0.00	0.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	COLUMBIA ETF TR CORE BD ETF	27,564.08	0.00	0.00	0.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	COLUMBIA ETF TR CORE BD ETF	-10,208.00	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	COLUMBIA ETF TR CORE BD ETF	-12,249.60	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	COLUMBIA ETF TR CORE BD ETF	-5,106.50	0.00	1.00	-1.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	CONOCOPHILLIPS COM	-25,323.74	0.00	1.00	-1.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	CONOCOPHILLIPS COM	-20,671.00	0.00	1.00	-1.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	CONOCOPHILLIPS COM	45,994.76	0.00	0.00	0.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	CONOCOPHILLIPS COM	18,793.34	0.00	0.00	0.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	CONOCOPHILLIPS COM	-5,079.27	0.00	1.00	-1.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	CONOCOPHILLIPS COM	-10,158.58	0.00	1.00	-1.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	CONOCOPHILLIPS COM	-3,504.70	0.00	1.00	-1.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	CONOCOPHILLIPS COM	-50.79	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	CONOCOPHILLIPS COM	-2,986.74	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	CONOCOPHILLIPS COM	-2,075.42	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	CONOCOPHILLIPS COM	-5,062.39	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	CONOCOPHILLIPS COM	-5,062.28	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	CONOCOPHILLIPS COM	-19,486.12	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	CONOCOPHILLIPS COM	34,672.92	0.00	0.00	0.00	0.0	0.00
01/09/2017	CONCORDE, VARIOUS	FIRST TR STRATEGIC HIGH INCOME FD I	3,594.55	0.00	0.00	0.00	0.0	0.00
01/09/2017	CONCORDE, VARIOUS	FIRST TR STRATEGIC HIGH INCOME FD I	-1,239.50	0.00	1.00	-1.00	0.0	0.00
01/09/2017	CONCORDE, VARIOUS	FIRST TR STRATEGIC HIGH INCOME FD I	-2,355.05	0.00	1.00	-1.00	0.0	0.00
01/09/2017	CONCORDE, VARIOUS	FIRST TR STRATEGIC HIGH INCOME FD I	-1,214.71	0.00	1.00	-1.00	0.0	0.00
01/09/2017	CONCORDE, VARIOUS	FIRST TR STRATEGIC HIGH INCOME FD I	-582.45	0.00	1.00	-1.00	0.0	0.00

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PRODUCT CATEGORY: STOCKS

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
12/30/2016	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-778.00	0.00	1.00	-1.00	0.0	0.00
12/30/2016	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-778.00	0.00	1.00	-1.00	0.0	0.00
12/30/2016	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-778.00	0.00	1.00	-1.00	0.0	0.00
12/30/2016	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-778.00	0.00	1.00	-1.00	0.0	0.00
12/30/2016	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-778.00	0.00	1.00	-1.00	0.0	0.00
12/30/2016	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-778.00	0.00	1.00	-1.00	0.0	0.00
12/30/2016	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-778.00	0.00	1.00	-1.00	0.0	0.00
12/30/2016	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-76,244.00	0.00	1.00	-1.00	0.0	0.00
12/30/2016	CONCORDE, VARIOUS	GLOBAL NET LEASE INC COM	-52,989.58	0.00	1.00	-1.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	IRON MTN INC NEW COM	-10,087.15	0.00	0.00	0.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	IRON MTN INC NEW COM	3,255.13	0.00	1.00	-1.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	IRON MTN INC NEW COM	6,832.01	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	IRON MTN INC NEW COM	3,223.00	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	IRON MTN INC NEW COM	3,223.00	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	IRON MTN INC NEW COM	8,542.62	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	IRON MTN INC NEW COM	-14,988.62	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	ISHARES TR CORE TOTAL U S BD MKT ET	1,192.09	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	ISHARES TR CORE TOTAL U S BD MKT ET	975.35	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	ISHARES TR CORE TOTAL U S BD MKT ET	866.98	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	ISHARES TR CORE TOTAL U S BD MKT ET	758.60	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	ISHARES TR CORE TOTAL U S BD MKT ET	650.23	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	ISHARES TR CORE TOTAL U S BD MKT ET	325.12	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	ISHARES TR CORE TOTAL U S BD MKT ET	-4,768.37	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-135.98	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-135.98	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-135.98	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-271.96	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-407.93	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-135.98	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-815.87	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-135.98	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-135.98	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-135.98	0.00	0.00	0.00	0.0	0.00

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
01/06/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-135.98	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-271.96	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-1,223.80	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-135.98	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	4,215.32	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-227.86	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-227.86	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-227.86	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	911.46	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-227.86	0.00	0.00	0.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	15,227.56	0.00	0.00	0.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-9,650.00	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-1,930.00	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-965.00	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-965.00	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-12,545.00	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-1,930.00	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-965.50	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-3,860.00	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-965.00	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-1,930.00	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-965.00	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-4,825.00	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-1,930.00	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-38,600.00	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-14,475.00	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-961.00	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-1,672.37	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-964.50	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-24,100.25	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-3,856.04	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-964.00	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-1,928.00	0.00	1.00	-1.00	0.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-2,892.00	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-964.00	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-964.00	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-964.00	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-1,928.00	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-3,856.00	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-1,928.00	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-1,928.00	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-964.00	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	94,472.98	0.00	0.00	0.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	2,633.36	0.00	0.00	0.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-9,645.00	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-964.50	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-4,618.04	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-40,488.42	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-53,984.56	0.00	1.00	-1.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	48,201.00	0.00	0.00	0.00	0.0	0.00
01/05/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	96,501.00	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-16,796.00	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-988.00	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-26,409.24	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-7,805.20	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-7,083.96	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-11,124.88	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-2,371.20	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-988.00	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-2,173.60	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-3,556.80	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-8,002.80	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-790.40	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-20,589.92	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	54,780.17	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	108,680.00	0.00	0.00	0.00	0.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-1,975.00	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-987.50	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-740.25	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-987.00	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-987.00	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-246.75	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-3,948.00	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-4,935.00	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-4,026.96	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-987.00	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-414.54	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-987.00	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-3,681.51	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-4,214.49	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-4,935.00	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-4,935.00	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-7,896.00	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-4,935.00	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	NEW YORK REIT INC COM	-2,961.00	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	63,193.65	0.00	0.00	0.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	-1,620.00	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	-1,620.00	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	-1,621.00	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	-3,242.00	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	-1,620.00	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	-1,620.00	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	-3,242.00	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	-1,621.00	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	-1,621.00	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	-3,242.00	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	-4,863.00	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	-226.80	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	-226.80	0.00	1.00	-1.00	0.0	0.00

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	-226.80	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	-1,911.60	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	-16.20	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	-1,620.00	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	-1,620.00	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	-1,101.60	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	-1,620.00	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	-518.40	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	-1,101.60	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	-1,620.00	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	-17,821.65	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	-1,620.00	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	-1,620.00	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	-1,393.20	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	-1,620.00	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY FIN CORP COM PAR	-1,377.00	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NVIDIA CORP COM	26,608.70	0.00	0.00	0.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NVIDIA CORP COM	-10,644.00	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NVIDIA CORP COM	-10,643.00	0.00	1.00	-1.00	0.0	0.00
01/10/2017	CONCORDE, VARIOUS	NVIDIA CORP COM	-5,321.70	0.00	1.00	-1.00	0.0	0.00
12/21/2016	CONCORDE IS	PAN AMERN SILVER CORP COM FORMERLY	14,403.00	0.00	0.00	0.00	0.0	0.00
12/22/2016	CONCORDE IS	PAN AMERN SILVER CORP COM FORMERLY	14,377.00	0.00	0.00	0.00	0.0	0.00
12/21/2016	CONCORDE IS	PIMCO DYNAMIC INCOME FUND	14,542.00	0.00	0.00	0.00	0.0	0.00
12/22/2016	CONCORDE IS	PIMCO DYNAMIC INCOME FUND	13,864.50	0.00	0.00	0.00	0.0	0.00
12/22/2016	CONCORDE IS	PIMCO DYNAMIC INCOME FUND	-13,864.50	0.00	0.00	0.00	0.0	0.00
12/22/2016	CONCORDE IS	PIMCO DYNAMIC INCOME FUND	13,864.50	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-63.23	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-63.23	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-47.42	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-79.04	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-79.04	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-94.85	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-79.04	0.00	0.00	0.00	0.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
01/06/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-79.04	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-47.42	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-63.23	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-79.04	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-79.04	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-47.42	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-94.85	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	995.90	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-233.87	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	1,075.48	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	1,145.62	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	420.84	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-30,697.94	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-210.48	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-537.89	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	1,215.76	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	4,069.25	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	1,145.62	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	467.60	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-233.87	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-140.32	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-444.34	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	1,028.72	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	1,776.88	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	1,847.02	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	280.56	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	1,332.66	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	257.18	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	561.12	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	607.88	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	3,530.38	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-233.87	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	420.84	0.00	0.00	0.00	0.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-374.18	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	280.56	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-163.71	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-187.09	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-608.05	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	537.74	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-467.73	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	350.70	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	374.08	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	678.02	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	6,990.62	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-116.93	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	467.60	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-116.93	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	397.46	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	3,507.00	0.00	0.00	0.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-11,776.00	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	-17,654.28	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	PREFERRED APT CMNTYS INC COM	29,430.20	0.00	0.00	0.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	PUBLIC STORAGE	-16,541.25	0.00	0.00	0.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	PUBLIC STORAGE	882.20	0.00	1.00	-1.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	PUBLIC STORAGE	2,867.15	0.00	1.00	-1.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	PUBLIC STORAGE	220.55	0.00	1.00	-1.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	PUBLIC STORAGE	12,571.35	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	17,176.08	0.00	0.00	0.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-13,537.08	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-3,639.00	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	110.11	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	220.21	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	256.92	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	110.11	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	220.21	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	256.92	0.00	0.00	0.00	0.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
01/06/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	146.81	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-1,321.28	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS TIIPS ETF	5,600.49	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS TIIPS ETF	5,600.50	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS TIIPS ETF	5,600.90	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS TIIPS ETF	11,200.00	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS TIIPS ETF	5,600.50	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS TIIPS ETF	5,600.80	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS TIIPS ETF	-39,203.22	0.00	0.00	0.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SPDR SER TR S&P BIOTECH ETF	-11,926.20	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SPDR SER TR S&P BIOTECH ETF	-5,068.64	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SPDR SER TR S&P BIOTECH ETF	16,994.84	0.00	0.00	0.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SPDR SER TR S&P PHARMACEUTICALS ETF	14,518.61	0.00	0.00	0.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SPDR SER TR S&P PHARMACEUTICALS ETF	-3,871.10	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SPDR SER TR S&P PHARMACEUTICALS ETF	-3,872.40	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SPDR SER TR S&P PHARMACEUTICALS ETF	-6,775.13	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SUNOCO LOGISTICS PARTNERS LP COM UN	2,413.00	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SUNOCO LOGISTICS PARTNERS LP COM UN	2,413.00	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SUNOCO LOGISTICS PARTNERS LP COM UN	5,187.31	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SUNOCO LOGISTICS PARTNERS LP COM UN	2,419.00	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SUNOCO LOGISTICS PARTNERS LP COM UN	5,321.03	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SUNOCO LOGISTICS PARTNERS LP COM UN	2,419.00	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SUNOCO LOGISTICS PARTNERS LP COM UN	4,838.00	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SUNOCO LOGISTICS PARTNERS LP COM UN	7,262.19	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SUNOCO LOGISTICS PARTNERS LP COM UN	12,706.58	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SUNOCO LOGISTICS PARTNERS LP COM UN	-15,012.43	0.00	0.00	0.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SUNOCO LOGISTICS PARTNERS LP COM UN	-19,968.80	0.00	0.00	0.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SUNOCO LOGISTICS PARTNERS LP COM UN	14,471.94	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SUNOCO LOGISTICS PARTNERS LP COM UN	25,443.44	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SUNOCO LOGISTICS PARTNERS LP COM UN	-39,915.46	0.00	0.00	0.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SUNOCO LOGISTICS PARTNERS LP COM UN	9,623.44	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SUNOCO LOGISTICS PARTNERS LP COM UN	20,328.17	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SUNOCO LOGISTICS PARTNERS LP COM UN	-14,997.06	0.00	0.00	0.00	0.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
12/29/2016	CONCORDE, VARIOUS	SUNOCO LOGISTICS PARTNERS LP COM UN	-10,013.33	0.00	0.00	0.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SUNOCO LOGISTICS PARTNERS LP COM UN	2,421.00	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SUNOCO LOGISTICS PARTNERS LP COM UN	2,421.00	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SUNOCO LOGISTICS PARTNERS LP COM UN	2,421.00	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SUNOCO LOGISTICS PARTNERS LP COM UN	7,749.44	0.00	1.00	-1.00	0.0	0.00
12/29/2016	CONCORDE, VARIOUS	SUNOCO LOGISTICS PARTNERS LP COM UN	-29,951.59	0.00	0.00	0.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	TIER REIT INC COM NEW	-1,683.00	0.00	1.00	-1.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	TIER REIT INC COM NEW	-6,724.00	0.00	1.00	-1.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	TIER REIT INC COM NEW	-8,412.00	0.00	1.00	-1.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	TIER REIT INC COM NEW	16,819.00	0.00	0.00	0.00	0.0	0.00
12/30/2016	CONCORDE, VARIOUS	TIER REIT INC COM NEW	12,742.80	0.00	0.00	0.00	0.0	0.00
12/30/2016	CONCORDE, VARIOUS	TIER REIT INC COM NEW	-1,722.00	0.00	1.00	-1.00	0.0	0.00
12/30/2016	CONCORDE, VARIOUS	TIER REIT INC COM NEW	-5,166.00	0.00	1.00	-1.00	0.0	0.00
12/30/2016	CONCORDE, VARIOUS	TIER REIT INC COM NEW	-1,722.00	0.00	1.00	-1.00	0.0	0.00
12/30/2016	CONCORDE, VARIOUS	TIER REIT INC COM NEW	-3,444.02	0.00	1.00	-1.00	0.0	0.00
12/30/2016	CONCORDE, VARIOUS	TIER REIT INC COM NEW	-688.80	0.00	1.00	-1.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	VANGUARD BD INDEX FD INC INTERMEDIA	47,407.33	0.00	0.00	0.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	VANGUARD BD INDEX FD INC INTERMEDIA	-16,458.40	0.00	1.00	-1.00	0.0	0.00
12/28/2016	CONCORDE, VARIOUS	VANGUARD BD INDEX FD INC INTERMEDIA	-30,948.94	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	VANGUARD CHARLOTTE FDS TOTAL INTL B	162.01	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	VANGUARD CHARLOTTE FDS TOTAL INTL B	108.01	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	VANGUARD CHARLOTTE FDS TOTAL INTL B	-270.02	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	168.44	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	84.22	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	168.44	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	168.54	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-84.27	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-505.33	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-84.27	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	84.22	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-316.81	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-271.55	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-226.29	0.00	0.00	0.00	0.0	0.00

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
01/06/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-135.77	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-452.58	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-135.77	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-452.58	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-90.52	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-497.84	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-90.52	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-45.26	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-45.26	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-407.32	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	3,349.09	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-181.03	0.00	0.00	0.00	0.0	0.00
01/04/2017	CONCORDE, VARIOUS	VANGUARD WORLD FDS VANGUARD HEALTH	-1,800.12	0.00	1.00	-1.00	0.0	0.00
01/04/2017	CONCORDE, VARIOUS	VANGUARD WORLD FDS VANGUARD HEALTH	-1,414.38	0.00	1.00	-1.00	0.0	0.00
01/04/2017	CONCORDE, VARIOUS	VANGUARD WORLD FDS VANGUARD HEALTH	-257.16	0.00	1.00	-1.00	0.0	0.00
01/04/2017	CONCORDE, VARIOUS	VANGUARD WORLD FDS VANGUARD HEALTH	3,471.66	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	VEREIT INC COM	14,472.53	0.00	0.00	0.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	VEREIT INC COM	-883.01	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	VEREIT INC COM	-1,766.02	0.00	1.00	-1.00	0.0	0.00
01/06/2017	CONCORDE, VARIOUS	VEREIT INC COM	-11,823.50	0.00	1.00	-1.00	0.0	0.00
* STOCKS SUBTOTAL *			\$57,188.13	\$0.00	\$236.00	\$-236.00		\$0.00
PRODUCT CATEGORY: VARIABLE ANNUITIES								
12/30/2016	SCANE FAMILY TRUST	HARTFORD DIRECTOR OUTLOOK	967.59	2.42	0.00	2.42	0.0	0.00
12/30/2016	LI, YUAN	HARTFORD LEADERS ILA	39,755.75	24.85	0.00	24.85	0.0	0.00
12/30/2016	WIENER, ELAINE	HARTFORD LEADERS ILA	62,137.87	38.84	0.00	38.84	0.0	0.00
12/30/2016	PIROZZO, KATHLEEN	HARTFORD LEADERS OUTLOOK	29,853.66	74.63	0.00	74.63	0.0	0.00
12/30/2016	WHITE, CATHLEEN	HARTFORD LEADERS PLUS	20,908.72	13.07	0.00	13.07	0.0	0.00
12/30/2016	PIROZZO, PETER	HARTFORD LEADERS PLUS	137,721.09	86.08	0.00	86.08	0.0	0.00
12/31/2016	GULA, LAVON	JNL ELITE ANNUAL RESET INDEX	380,962.52	238.10	0.00	238.10	0.0	0.00
12/31/2016	PEEK, EUNICE	JOHN HANCOCK VANTAGE	13,682.74	2.85	0.00	2.85	0.0	0.00
12/31/2016	PEEK LI, VASSIE	JOHN HANCOCK VENTURE III	17,411.94	14.51	0.00	14.51	0.0	0.00
12/27/2016	WAKECHILD, NANCY	NATIONWIDE BEST AMERICNA VISION	65,000.00	4,062.50	0.00	4,062.50	0.0	0.00
12/31/2016	RIVERS, BETH	NWL BEST OF AMERICA FPVUL	10,430.64	10.43	0.00	10.43	0.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2016	WEBB, CONSTANCE	NWL BEST OF AMERICA FPVUL	14,462.94	14.46	0.00	14.46	0.0	0.00
12/22/2016	MOXON, HAROLD	OHIO NATL ONCORE PREMIER	20,934.01	52.34	0.00	52.34	0.0	0.00
12/20/2016	LIGON, JIM	PAC LIFE	0.00	9.72	0.00	9.72	0.0	0.00
12/28/2016	HALES, WILLIAM	PAC LIFE INNOVATIVE SELECT	0.00	63.95	0.00	63.95	0.0	0.00
12/27/2016	WEST, JERRY	PAC LIFE ONE	0.00	15.11	0.00	15.11	0.0	0.00
12/31/2016	LI, WEIXING	PRU AS ADVISOR PLAN - 1993	59,772.97	37.36	0.00	37.36	0.0	0.00
12/31/2016	KOKESH, JERRY	PRU AS ADVISOR PLAN III	8,439.19	5.27	0.00	5.27	0.0	0.00
12/31/2016	KOKESH, JERRY	PRU AS ADVISOR PLAN III	8,439.19	5.27	0.00	5.27	0.0	0.00
12/31/2016	BLANCKE, MARC	PRU AS APEX	92,939.84	58.09	0.00	58.09	0.0	0.00
12/31/2016	BLANCKE, MARC	PRU AS APEX	92,939.84	197.50	0.00	197.50	0.0	0.00
12/31/2016	LANE, JOAN	PRU AS APEX II	30,250.76	75.63	0.00	75.63	0.0	0.00
12/31/2016	LANE, JOAN	PRU AS APEX II	30,250.76	18.91	0.00	18.91	0.0	0.00
12/31/2016	BOOTS, ROBERTA	PRU AS APEX II	19,166.12	11.98	0.00	11.98	0.0	0.00
12/31/2016	BOOTS, ROBERTA	PRU AS APEX II	19,166.12	35.94	0.00	35.94	0.0	0.00
12/31/2016	DUSSEAU, KEITH	PRU AS APEX II	8,149.89	5.09	0.00	5.09	0.0	0.00
12/31/2016	DUSSEAU, KEITH	PRU AS APEX II	8,149.89	20.37	0.00	20.37	0.0	0.00
12/31/2016	GUINN, MARGARET	PRU AS LIFEVEST II	48,134.66	30.08	0.00	30.08	0.0	0.00
12/31/2016	GUINN, MARGARET	PRU AS LIFEVEST II	48,134.66	90.25	0.00	90.25	0.0	0.00
12/31/2016	HARTONG CR TRUST	PRU AS XTRA CREDIT	66,232.59	41.40	0.00	41.40	0.0	0.00
12/31/2016	GREENE, DAVID	PRU AS XTRA CREDIT	23,233.92	14.52	0.00	14.52	0.0	0.00
12/31/2016	SHELTON, PAUL	PRU AS XTRA CREDIT SIX	14,112.75	8.82	0.00	8.82	0.0	0.00
12/31/2016	MORSE, MARK	PRU AS XTRA CREDIT SIX	21,948.76	13.72	0.00	13.72	0.0	0.00
12/31/2016	SCHRITZ, BRYAN	PRU AS XTRA CREDIT SIX	36,898.55	23.06	0.00	23.06	0.0	0.00
12/31/2016	MCDONALD, CRAIG	PRU AS XTRA CREDIT VI	20,302.61	12.69	0.00	12.69	0.0	0.00
12/31/2016	SEMENIK, EMILY	PRU PREMIER RETIREMENT B	157,552.27	98.47	0.00	98.47	0.0	0.00
12/31/2016	SCHECK, VICTORIA	PRU PREMIER RETIREMENT B	27,138.61	16.96	0.00	16.96	0.0	0.00
12/31/2016	SEMENIK, JOHN	PRU PREMIER RETIREMENT B	76,393.79	47.75	0.00	47.75	0.0	0.00
12/31/2016	JENSEN, DANIEL	PRU PREMIER RETIREMENT L	3,336.85	8.34	0.00	8.34	0.0	0.00
12/31/2016	GAVIN, LINDA	PRU PREMIER RETIREMENT L	119,646.06	299.12	0.00	299.12	0.0	0.00
12/31/2016	GAVIN, GARY	PRU PREMIER RETIREMENT L	120,825.29	302.06	0.00	302.06	0.0	0.00
12/31/2016	REA, DIANA	PRU PREMIER RETIREMENT L	97,804.44	244.51	0.00	244.51	0.0	0.00
12/31/2016	PERSCHKE, WILMA	PRU PREMIER RETIREMENT L	117,487.58	293.72	0.00	293.72	0.0	0.00
12/31/2016	SUSSDORFF, VICKI	PRU PREMIER RETIREMENT L	35,519.55	88.80	0.00	88.80	0.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2016	DOLPH, GERALD	PRU PREMIER RETIREMENT X	53,106.66	33.19	0.00	33.19	0.0	0.00
12/31/2016	BACHTELL, JAMES	PRU PREMIER RETIREMENT X	31,612.95	19.76	0.00	19.76	0.0	0.00
12/29/2016	RIDDLEBARGE, DAWN	SBL ADVISOR PROGRAM	749.84	0.15	0.00	0.15	0.0	0.00
12/29/2016	BURNSIDE, SHARON	SBL ADVISOR PROGRAM	9,710.88	2.03	0.00	2.03	0.0	0.00
12/29/2016	LOOMIS, CATHERINE	SBL ADVISOR PROGRAM	4,811.69	1.00	0.00	1.00	0.0	0.00
12/02/2016	MCALLISTER, JEAN	TRANSAMERICA EXTRA	89,447.05	74.54	0.00	74.54	0.0	0.00
12/04/2016	BELTRAN, RANDOLPH	TRANSAMERICA EXTRA	111,490.94	92.91	0.00	92.91	0.0	0.00
12/27/2016	LEONARD, NITA	TRANSAMERICA EXTRA	48,394.05	40.33	0.00	40.33	0.0	0.00
12/12/2016	WILLIAMS, JESSIE	TRANSAMERICA LIBERTY	67,834.93	56.53	0.00	56.53	0.0	0.00
12/13/2016	MOORE, WILLIAM	TRANSAMERICA LIBERTY	9,277.96	7.73	0.00	7.73	0.0	0.00
12/28/2016	NIEMI TRUST, HARRY	TRANSAMERICA LIBERTY	42,197.08	35.16	0.00	35.16	0.0	0.00
12/24/2016	MORRISON, BARRETT	TRANSAMERICA PRINCIPIUM	72,785.91	60.65	0.00	60.65	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$2,768,016.92	\$7,253.52	\$0.00	\$7,253.52		\$0.00
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>								
12/27/2016	ADAMS, VARIOUS	LBL TRAILS	0.00	0.09	0.00	0.09	0.0	0.00
* LIFE INSURANCE SUBTOTAL *			\$0.00	\$0.09	\$0.00	\$0.09		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/23/2016	TRAIL HAULING, DD	PROFUNDS	0.00	0.03	0.00	0.03	0.0	0.00
11/30/2016	ARRINGTON, VARIOUS	VOYA TRAILS	0.00	2.47	0.00	2.47	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$2.50	\$0.00	\$2.50		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/31/2016	LUND, VARIOUS	ING RELIASTAR	0.00	727.76	0.00	727.76	0.0	0.00
01/06/2017	LUND, VARIOUS	ING RELIASTAR	0.00	493.43	0.00	493.43	0.0	0.00
12/31/2016	STANTON, ELOISE	LFG TRAILS	0.00	119.75	0.00	119.75	0.0	0.00
11/16/2016	MONROE, JOHN	METLIFE TRAILS	0.00	-2.25	0.00	-2.25	0.0	0.00
12/27/2016	MONROE, JOHN	METLIFE TRAILS	0.00	2.25	0.00	2.25	0.0	0.00
12/28/2016	LIGON, NANCY	ING LANDMARK	0.00	121.15	0.00	121.15	0.0	0.00
12/22/2016	GREGORY, RICHARD	ING PREMIUM PLUS	0.00	12.56	0.00	12.56	0.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,474.65	\$0.00	\$1,474.65		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$2,823,161.25	\$8,906.34	\$236.00	\$8,670.34		\$0.00

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: ACCOUNT HOUSE #1 </u>								
01/03/2017	DURR, LORENA	AM FUNDS 2030 TARGET DATE-A	100.00	4.25	0.00	4.25	0.0	4.25
12/28/2016	SOLORIO, ROQUE	AM FUNDS AMERICAN BALANCED 529A	125.00	5.31	0.00	5.31	0.0	5.31
01/03/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	0.0	3.75
01/03/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED 529A	100.00	3.75	0.00	3.75	0.0	3.75
01/03/2017	RAINONE, TRISTINA DAWN	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	0.0	4.25
01/03/2017	RAINONE, MICHAEL	AM FUNDS AMERICAN BALANCED 529A	100.00	4.25	0.00	4.25	0.0	4.25
01/03/2017	COLE, PAUL	AM FUNDS AMERICAN BALANCED A	250.00	9.38	0.00	9.38	0.0	9.38
01/03/2017	BUI, VIVIAN	AM FUNDS AMERICAN BALANCED A	100.00	3.75	0.00	3.75	0.0	3.75
01/03/2017	BOOTZ, MATTHEW	AM FUNDS AMERICAN MUTUAL A	50.00	2.50	0.00	2.50	0.0	2.50
01/03/2017	CALZADA, LARA	AM FUNDS CAPITAL INCOME BUILDER 529	100.00	5.00	0.00	5.00	0.0	5.00
01/03/2017	RAMIREZ, MARCOS	AM FUNDS CAPITAL INCOME BUILDER 529	150.00	6.38	0.00	6.38	0.0	6.38
01/03/2017	CALZADA, LARA	AM FUNDS CAPITAL INCOME BUILDER 529	100.00	5.00	0.00	5.00	0.0	5.00
01/03/2017	CESARE, MARIA	AM FUNDS CAPITAL INCOME BUILDER A	525.00	19.69	0.00	19.69	0.0	19.69
01/03/2017	DANG, DIEM CHAU LUONG	AM FUNDS CAPITAL INCOME BUILDER A	100.00	5.00	0.00	5.00	0.0	5.00
01/05/2017	COX, DEBORAH	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.75	0.00	3.75	0.0	3.75
12/28/2016	GREEN, WILLIAM	AM FUNDS COLLEGE 2030 FUND 529A	50.00	1.75	0.00	1.75	0.0	1.75
01/03/2017	STUMP, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	0.00	2.50	0.0	2.50
01/06/2017	HO, PAULINA	AM FUNDS GROWTH AND INCOME C	200.00	2.00	0.00	2.00	0.0	2.00
01/03/2017	SAM, WINGO	AM FUNDS GROWTH FUND OF AMERICA A	150.00	5.63	0.00	5.63	0.0	5.63
01/03/2017	STUMP, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	0.0	2.50
01/06/2017	HO, PAULINA	AM FUNDS INCOME PORTFOLIO C	200.00	2.00	0.00	2.00	0.0	2.00
01/05/2017	AGUILAR, JOSE	AM FUNDS NEW ECONOMY 529A	50.00	2.50	0.00	2.50	0.0	2.50
01/03/2017	LITTRELL, CASEY	AM FUNDS NEW PERSPECTIVE A	50.00	2.50	0.00	2.50	0.0	2.50
01/05/2017	COX, DEBORAH	AM FUNDS SMALLCAP WORLD A	75.00	3.75	0.00	3.75	0.0	3.75
01/03/2017	CALZADA, LARA	AMERICAN FUND COLLEGE 2027 FUND 529	100.00	3.50	0.00	3.50	0.0	3.50
12/27/2016	ROBINSON, SARAH	AMERICAN FUNDS COLLEGE 2033 FUND-52	500.00	17.50	0.00	17.50	0.0	17.50
* ACCOUNT HOUSE SUBTOTAL *			\$3,550.00	\$132.14	\$0.00	\$132.14		\$132.14
<u> BROKER: ANTHONY GUGLIELME #8 </u>								
12/19/2016	BATTALINI, ANTHONY	PAC LIFE PACIFIC CHOICE	0.00	32.40	0.00	32.40	0.0	32.40
* ANTHONY GUGLIELME SUBTOTAL *			\$0.00	\$32.40	\$0.00	\$32.40		\$32.40

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MATT BRANSTETTER #10 </u>								
01/03/2017	LAWTON, WHITNEY	AM FUNDS NEW ECONOMY A	250.00	12.50	0.00	12.50	80.0	12.50
01/03/2017	LUCAS, BRIAN	AM FUNDS NEW ECONOMY A	100.00	5.00	0.00	5.00	80.0	5.00
* MATT BRANSTETTER SUBTOTAL *			\$350.00	\$17.50	\$0.00	\$17.50		\$17.50
<u> BROKER: DANIEL WOLF #11 </u>								
12/31/2016	MARTIN, RONALD	NW DESTINATION B2 05	16,441.51	30.83	0.00	30.83	0.0	30.83
12/31/2016	CARIGNAN, JAMES	NW DESTINATION B2 05	172,258.89	322.99	0.00	322.99	0.0	322.99
* DANIEL WOLF SUBTOTAL *			\$188,700.40	\$353.82	\$0.00	\$353.82		\$353.82
<u> BROKER: EDWARD MCMANUS #25 </u>								
12/30/2016	GRIBBLE, MARGARET	ALLIANZ VISION BASIC	25,041.13	62.60	0.00	62.60	0.0	62.60
12/31/2016	KINCAID, LINDA	NATIONWIDE MARKETFLEX BB	41,006.77	25.63	0.00	25.63	0.0	25.63
* EDWARD MCMANUS SUBTOTAL *			\$66,047.90	\$88.23	\$0.00	\$88.23		\$88.23
<u> BROKER: ERIC HORNYAK #29 </u>								
12/09/2016	JOHNS, JOSEPH	AM FUNDS 2020 TARGET DATE-A	0.00	6.74	0.00	6.74	0.0	6.74
12/09/2016	MARSHALL, ANGELA	AM FUNDS AMERICAN BALANCED A	0.00	0.24	0.00	0.24	0.0	0.24
12/09/2016	SHEREDA, SUSAN	AM FUNDS AMERICAN BALANCED A	0.00	26.97	0.00	26.97	0.0	26.97
12/09/2016	MARSHALL, ANGELA	AM FUNDS BOND FUND OF AMERICA A	0.00	0.26	0.00	0.26	0.0	0.26
12/09/2016	SHEREDA, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	4.86	0.00	4.86	0.0	4.86
12/09/2016	JOHNS, JOHN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	69.22	0.00	69.22	0.0	69.22
12/09/2016	GELOW, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.50	0.00	1.50	0.0	1.50
12/09/2016	GELOW, RENEE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.34	0.00	1.34	0.0	1.34
12/09/2016	CAUL, JEFFREY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.07	0.00	1.07	0.0	1.07
12/09/2016	PALFFY, CARLA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	11.29	0.00	11.29	0.0	11.29
12/09/2016	RUTT, MARY LOU	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.18	0.00	7.18	0.0	7.18
12/09/2016	CAUL, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.69	0.00	1.69	0.0	1.69
12/09/2016	SHEREDA, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	39.37	0.00	39.37	0.0	39.37
12/13/2016	RUTT, MARY LOU	AM FUNDS GROWTH FUND OF AMERICA A	12,206.47	30.00	0.00	30.00	0.0	30.00
12/09/2016	MARSHALL, ANGELA	AM FUNDS ICA A	0.00	0.27	0.00	0.27	0.0	0.27
12/09/2016	RUTT, MARY LOU	AM FUNDS ICA A	0.00	6.09	0.00	6.09	0.0	6.09
12/09/2016	JOHNS, JOHN	AM FUNDS INCOME FUND OF AMERICA A	0.00	34.10	0.00	34.10	0.0	34.10
12/09/2016	MARSHALL, ANGELA	AM FUNDS NEW PERSPECTIVE A	0.00	0.22	0.00	0.22	0.0	0.22
12/09/2016	MARSHALL, ANGELA	AM FUNDS SMALLCAP WORLD A	0.00	0.22	0.00	0.22	0.0	0.22
12/09/2016	MARSHALL, ANGELA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.23	0.00	0.23	0.0	0.23

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: ERIC HORNYAK #29 </u>								
12/09/2016	JOHNS, JOHN	BLK GLOBAL ALLOCATION A	0.00	1.18	0.00	1.18	0.0	1.18
/ /	CAUL, JEFFREY	JH 529 PORT 2021-2024 A	0.00	0.51	0.00	0.51	0.0	0.51
12/09/2016	DEVILLING, LINDA	JP MORGAN INVESTOR BALANCED A	0.00	2.67	0.00	2.67	0.0	2.67
12/09/2016	HOWARD, JAMES	TRANSAMERICA MULTI-MANAGED BAL C	0.00	24.43	0.00	24.43	0.0	24.43
01/04/2017	DEVILLING, CHRISTOPHER	TRANSAMERICA MULTI-MANAGED BAL C	0.00	8.39	0.00	8.39	0.0	8.39
12/09/2016	SIELSKI, OLA	TRANSAMERICA SHORT-TERM BOND C	0.00	8.75	0.00	8.75	0.0	8.75
12/09/2016	HOWARD, JAMES	TRANSAMERICA SHORT-TERM BOND C	0.00	20.88	0.00	20.88	0.0	20.88
01/04/2017	DEVILLING, CHRISTOPHER	TRANSAMERICA TACTICAL INCOME C	0.00	8.66	0.00	8.66	0.0	8.66
* ERIC HORNYAK SUBTOTAL *			\$12,206.47	\$318.33	\$0.00	\$318.33		\$318.33

 BROKER: THOMAS SCHNEIDER #30

01/05/2017	CERIMELE, NICHOLAS	AM FUNDS AMERICAN BALANCED A	60.00	3.00	0.00	3.00	0.0	3.00
01/03/2017	STOLL, HEATHER	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	0.0	3.19
12/29/2016	O'CONNOR, TIMOTHY	PUTNAM GROWTH & INCOME B	50.00	2.00	0.00	2.00	0.0	2.00
12/29/2016	O'CONNOR, TIMOTHY	PUTNAM MULTI-CAP GROWTH B	50.00	2.00	0.00	2.00	0.0	2.00
12/29/2016	SCHECK, VICTORIA	SBL ADVISOR PROGRAM	12,493.02	2.60	0.00	2.60	0.0	2.60
12/29/2016	KELLER, MICHELLE	SBL ADVISOR PROGRAM	25,529.74	5.32	0.00	5.32	0.0	5.32
12/29/2016	YOUNG, MARCIA	SBL ADVISOR PROGRAM	22,378.74	4.66	0.00	4.66	0.0	4.66
12/29/2016	HAWK, DUANE	SBL ADVISORDESIGNS VA	3,643.79	0.76	0.00	0.76	0.0	0.76
12/29/2016	FULTON, JOHN	SBL ADVISORDESIGNS VA	8,126.42	1.69	0.00	1.69	0.0	1.69
12/29/2016	HAWK, MARYBELLE	SBL ADVISORDESIGNS VA	7,644.68	1.59	0.00	1.59	0.0	1.59
12/29/2016	HARTONG, DEAN	SBL ADVISORDESIGNS VA	12,198.90	2.55	0.00	2.55	0.0	2.55
12/29/2016	HAWK, DUANE	SBL ADVISORDESIGNS VA	3,288.83	0.68	0.00	0.68	0.0	0.68
12/29/2016	DEFRANCESCO, MARY	SBL ADVISORDESIGNS VA	8,093.18	1.68	0.00	1.68	0.0	1.68
12/29/2016	KUBAN, JOANNE	SBL ADVISORDESIGNS VA	21,538.77	4.49	0.00	4.49	0.0	4.49
12/29/2016	HAWK, MARYBELLE	SBL ADVISORDESIGNS VA	7,862.03	1.63	0.00	1.63	0.0	1.63
12/29/2016	CASALE, LILLIAN	SBL ADVISORDESIGNS VA	5,521.87	1.15	0.00	1.15	0.0	1.15
12/29/2016	BAKER, MARILYN	SBL ADVISORDESIGNS VA	6,045.45	1.27	0.00	1.27	0.0	1.27
12/29/2016	HAWK, GLENN	SBL ADVISORDESIGNS VA	5,846.65	1.22	0.00	1.22	0.0	1.22
12/29/2016	CASALE, LILLIAN	SBL ADVISORDESIGNS VA	64,687.85	13.49	0.00	13.49	0.0	13.49
12/29/2016	LITTRELL, REX	SBL ADVISORDESIGNS VA	6,831.01	1.42	0.00	1.42	0.0	1.42
12/29/2016	MELLERT, ELLEN	SBL ADVISORDESIGNS VA	3,723.62	3.11	0.00	3.11	0.0	3.11
12/29/2016	DEFRANCESCO, STEVEN	SBL ADVISORDESIGNS VA	7,400.64	1.54	0.00	1.54	0.0	1.54
12/29/2016	BAKER, MARILYN	SBL ADVISORDESIGNS VA	6,575.28	1.37	0.00	1.37	0.0	1.37

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<u>BROKER: THOMAS SCHNEIDER #30</u>								
12/29/2016	GREGORY, CAROL	SBL ADVISORDESIGNS VA	43,105.17	8.98	0.00	8.98	0.0	8.98
12/29/2016	MCCRANN, JAMES	SBL ADVISORDESIGNS VA	5,841.78	1.23	0.00	1.23	0.0	1.23
12/29/2016	GREGORY, RICHARD	SBL ADVISORDESIGNS VA	7,769.30	1.62	0.00	1.62	0.0	1.62
12/29/2016	BABIN, WILLIAM	SBL ADVISORDESIGNS VA	128,083.99	26.68	0.00	26.68	0.0	26.68
01/04/2017	BACHTELL, JAMES	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	15.35	0.00	15.35	0.0	15.35
* THOMAS SCHNEIDER SUBTOTAL *			\$424,465.71	\$116.27	\$0.00	\$116.27		\$116.27
<u>BROKER: BRUCE BAXTER #38</u>								
12/27/2016	GOLSCH, INVESTMENT LTD	ING ACCESS	0.00	52.22	0.00	52.22	0.0	52.22
01/03/2017	BROWN, IAN	MFS AGGRESSIVE GROWTH ALLOCATION A	100.00	5.00	0.00	5.00	0.0	5.00
12/23/2016	HUFFMAN, JUSTINE	PROFUNDS	0.00	14.35	0.00	14.35	0.0	14.35
12/31/2016	KNEPPER, MAX	PRU AS ADVISOR PLAN III	26,744.01	16.72	0.00	16.72	0.0	16.72
12/31/2016	KNEPPER, MAX	PRU AS ADVISOR PLAN III	26,744.01	16.72	0.00	16.72	0.0	16.72
12/31/2016	ZUPP, ELEANOR	PRU AS LIFEVEST II	62,593.27	39.12	0.00	39.12	0.0	39.12
12/31/2016	ZUPP, ELEANOR	PRU AS LIFEVEST II	62,593.27	117.36	0.00	117.36	0.0	117.36
12/31/2016	QUELETTE, KAREN	PRU AS XTRA CREDIT SIX	69,871.79	43.67	0.00	43.67	0.0	43.67
12/31/2016	QUELETTE, KAREN	PRU AS XTRA CREDIT SIX	69,871.79	43.67	0.00	43.67	0.0	43.67
12/31/2016	MCGINNIS, KATHLEEN	PRU AS XTRA CREDIT SIX	219,894.57	137.43	0.00	137.43	0.0	137.43
12/31/2016	BUCKEYE, ALEN	PRU AS XTRA CREDIT SIX	59,835.79	37.40	0.00	37.40	0.0	37.40
12/31/2016	GORDON, DAVID	PRU AS XTRA CREDIT SIX	461,213.13	288.26	0.00	288.26	0.0	288.26
12/29/2016	STAMBAUGH, GARY	SBL ADVISORDESIGNS VA	15,966.48	5.99	0.00	5.99	0.0	5.99
* BRUCE BAXTER SUBTOTAL *			\$1,075,428.11	\$817.91	\$0.00	\$817.91		\$817.91
<u>BROKER: MELANIE DICENSO #43</u>								
/ /	BROTH, KRISTEN	FIDELITY GROWTH & INCOME A	0.00	1.00	0.00	1.00	0.0	1.00
12/27/2016	PETERSON, MARY	FRANKLIN INCOME A	49.95	2.00	0.00	2.00	0.0	2.00
12/28/2016	COLE, SUSAN	SBL ADVISOR PROGRAM	50.00	2.00	0.00	2.00	0.0	2.00
12/29/2016	COLE, SUSAN	SBL ADVISOR PROGRAM	14,681.37	3.06	0.00	3.06	0.0	3.06
12/27/2016	PETERSON, MARY	TEMPLETON GROWTH A	49.95	2.50	0.00	2.50	0.0	2.50
* MELANIE DICENSO SUBTOTAL *			\$14,831.27	\$10.56	\$0.00	\$10.56		\$10.56
<u>BROKER: JOHN BEAN #45</u>								
12/30/2016	ENRIGHT, MYRA	MINNESOTA LIFE MULTIOPTION ADV B	434,404.13	1,086.01	0.00	1,086.01	0.0	1,086.01
12/31/2016	MAST, DEBORAH	NATIONWIDE DESTINATION L2 01	91,798.32	229.50	0.00	229.50	0.0	229.50
12/31/2016	DIXON, JANET	NATIONWIDE DESTINATION L2 01	10,297.99	25.74	0.00	25.74	0.0	25.74

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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VERRIDE TRANSACTIONSBROKER: ROBERT (RUSTY) TWEED #58

* JOHN BEAN SUBTOTAL *			\$536,500.44	\$1,341.25	\$0.00	\$1,341.25		\$1,341.25
<u>BROKER: ROBERT (RUSTY) TWEED #58</u>								
12/30/2016	PATEL / MANDAKINI P PATEL,	AXA ACCUM 04 ELITE (RO IRA)	284,517.41	889.12	0.00	889.12	0.0	889.12
12/30/2016	BURTON, MIKE	AXA ACCUM 04 ELITE (RO IRA)	51,997.81	162.49	0.00	162.49	0.0	162.49
12/30/2016	BURTON, CATHERINE	AXA ACCUM 04 ELITE (RO IRA)	43,179.84	134.94	0.00	134.94	0.0	134.94
12/30/2016	BRANIK, ROBERT	AXA ACCUM 04 ELITE (RO IRA)	18,380.38	57.44	0.00	57.44	0.0	57.44
12/30/2016	RINALDI, DONALD	AXA ACCUM 04 ELITE (RO IRA)	249,769.80	780.53	0.00	780.53	0.0	780.53
12/30/2016	CRAIG, WILMA	AXA ACCUM 04 ELITE (RO IRA)	107,222.04	335.07	0.00	335.07	0.0	335.07
12/30/2016	CLARK, JEAN MARIE	AXA ACCUM 07 ELITE (RO IRA)	11,019.86	27.55	0.00	27.55	0.0	27.55
12/30/2016	LIM MD TRUST, SUSAN	AXA ACCUM 9.0 ELITE (QP DC)	40,619.17	101.55	0.00	101.55	0.0	101.55
12/30/2016	MACLAUGHLIN, SUSAN	AXA RETIREMENT CORNERSTONE 13 B	298,578.66	373.22	0.00	373.22	0.0	373.22
12/31/2016	ZAX TRUST	JNL PERSPECTIVE	361.92	0.08	0.00	0.08	0.0	0.08
12/31/2016	STEPHENSON, STAR	JNL PERSPECTIVE II 04/14	7,986.50	3.33	0.00	3.33	0.0	3.33
12/31/2016	ANDERSON, DAVID LEE	JNL PERSPECTIVE L	55,238.18	59.84	0.00	59.84	0.0	59.84
12/31/2016	WHITE, LINDA	JNL PERSPECTIVE L	68,427.43	57.02	0.00	57.02	0.0	57.02
12/31/2016	ENGSTROM, WAYNE	JNL PERSPECTIVE L	33,062.97	27.55	0.00	27.55	0.0	27.55
12/31/2016	AMIGLEO, MICHELLE	JNL PERSPECTIVE L	16,041.02	13.37	0.00	13.37	0.0	13.37
12/31/2016	PARKER, LESLIE	JNL PERSPECTIVE L	50,595.46	42.16	0.00	42.16	0.0	42.16
01/04/2017	ENGSTROM, NOAH	TRANSAMERICA MORGAN STANLEY GRTH OP	0.00	4.00	0.00	4.00	0.0	4.00
01/06/2017	REYES, ARNOLD	VEREIT INC COM	-4,150.52	50.00	22.70	27.30	0.0	27.30
01/06/2017	REYES, JOSEFINE	VEREIT INC COM	-14,472.53	65.00	24.46	40.54	0.0	40.54
* ROBERT (RUSTY) TWEED SUBTOTAL *			\$1,318,375.40	\$3,184.26	\$47.16	\$3,137.10		\$3,137.10

BROKER: JIM LUND #60

12/30/2016	DOBBINS, BARBARA	AXA RETIREMENT CORNERSTONE 13 B	102,315.52	63.95	0.00	63.95	0.0	63.95
12/30/2016	HAIGH, PEGGY	AXA RETIREMENT CORNERSTONE 13 B	86,953.17	54.35	0.00	54.35	0.0	54.35
/ /	BARBOUR, ELEANOR	FA 529 PORT 2016 C	0.00	2.55	0.00	2.55	0.0	2.55
/ /	SOLORIO, ROSE	FA 529 PORT 2016 C	0.00	1.37	0.00	1.37	0.0	1.37
01/03/2017	SOLORIO, ROSE	FA 529 PORT 2016 C	125.00	6.25	0.00	6.25	0.0	6.25
/ /	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	0.00	0.83	0.00	0.83	0.0	0.83
/ /	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	0.00	0.83	0.00	0.83	0.0	0.83
/ /	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	0.00	0.83	0.00	0.83	0.0	0.83
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.12	0.00	1.12	0.0	1.12

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: JIM LUND #60 </u>								
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.12	0.00	1.12	0.0	1.12
/ /	HERNANDEZ, MARIA	FA 529 PORT 2025 A	0.00	0.94	0.00	0.94	0.0	0.94
01/05/2017	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	5.00
01/05/2017	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	5.00
01/06/2017	HERNANDEZ, MARIA	FA 529 PORT 2025 A	200.00	10.00	0.00	10.00	0.0	10.00
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	2.94	0.00	2.94	0.0	2.94
01/05/2017	BRYAN, TRICIA	FA 529 PORT 2028 A	120.00	6.00	0.00	6.00	0.0	6.00
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	0.00	11.87	0.00	11.87	0.0	11.87
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	0.45	0.00	0.45	0.0	0.45
01/03/2017	SANTANA, NICOLE	FA 529 PORT 2031 A	50.00	2.50	0.00	2.50	0.0	2.50
01/05/2017	THOMPSON, LESLIE	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	4.00
01/05/2017	PRESTON, JANEAN	FRANKLIN INCOME C	400.00	4.00	0.00	4.00	0.0	4.00
01/03/2017	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	200.00	2.00	0.00	2.00	0.0	2.00
12/19/2016	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	120.00	1.20	0.00	1.20	0.0	1.20
/ /	ELLSWORTH, CAROL	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	14.63	0.00	14.63	0.0	14.63
/ /	HOLZINGER, DIANE	OPPENHEIMER MAIN STREET A	0.00	25.96	0.00	25.96	0.0	25.96
/ /	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	6.81	0.00	6.81	0.0	6.81
/ /	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	0.00	16.01	0.00	16.01	0.0	16.01
/ /	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	0.00	0.25	0.00	0.25	0.0	0.25
/ /	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	0.00	7.40	0.00	7.40	0.0	7.40
/ /	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	0.00	0.08	0.00	0.08	0.0	0.08
 * JIM LUND SUBTOTAL * 			\$190,783.69	\$260.24	\$0.00	\$260.24		\$260.24
<u> BROKER: JAMES PANOS #61 </u>								
/ /	FACADA, MANUEL	FA 529 COLLEGE PORT C	0.00	27.13	0.00	27.13	0.0	27.13
/ /	FACADA, ANNA	FA 529 COLLEGE PORT C	0.00	23.15	0.00	23.15	0.0	23.15
/ /	KORNITSKY, MARK	FA 529 DIVERSIFIED INTL PORT C	0.00	2.45	0.00	2.45	0.0	2.45
/ /	KORNITSKY, MARK	FA 529 DIVERSIFIED INTL PORT C	0.00	3.32	0.00	3.32	0.0	3.32
/ /	KORNITSKY, KAYLA	FA 529 DIVERSIFIED INTL PORT C	0.00	6.69	0.00	6.69	0.0	6.69
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT C	0.00	8.87	0.00	8.87	0.0	8.87
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GROWTH PORT C	0.00	4.15	0.00	4.15	0.0	4.15
/ /	KORNITSKY, MARK	FA 529 DIVIDEND GROWTH PORT C	0.00	5.62	0.00	5.62	0.0	5.62
/ /	KORNITSKY, KAYLA	FA 529 DIVIDEND GROWTH PORT C	0.00	11.29	0.00	11.29	0.0	11.29
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT C	0.00	3.83	0.00	3.83	0.0	3.83

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JAMES PANOS #61</u>								
/ /	KORNITSKY, MARK	FA 529 EQUITY GROWTH PORT C	0.00	5.18	0.00	5.18	0.0	5.18
/ /	KORNITSKY, KAYLA	FA 529 EQUITY GROWTH PORT C	0.00	10.53	0.00	10.53	0.0	10.53
/ /	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	0.00	22.10	0.00	22.10	0.0	22.10
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT C	0.00	4.13	0.00	4.13	0.0	4.13
/ /	KORNITSKY, MARK	FA 529 NEW INSIGHTS PORT C	0.00	5.58	0.00	5.58	0.0	5.58
/ /	KORNITSKY, KAYLA	FA 529 NEW INSIGHTS PORT C	0.00	10.59	0.00	10.59	0.0	10.59
/ /	FITZGIBBON, EDMUND	FA 529 NEW INSIGHTS PORT C	0.00	3.69	0.00	3.69	0.0	3.69
/ /	MACUCH, PAUL	FA 529 PORT 2016 C	0.00	0.02	0.00	0.02	0.0	0.02
/ /	ALEXANDER, DOUGLAS	FA 529 PORT 2016 C	0.00	15.19	0.00	15.19	0.0	15.19
/ /	ALEXANDER, DOUGLAS	FA 529 PORT 2016 C	0.00	14.36	0.00	14.36	0.0	14.36
/ /	ALEXANDER, DOUGLAS	FA 529 PORT 2019 A	0.00	11.31	0.00	11.31	0.0	11.31
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2019 C	0.00	57.83	0.00	57.83	0.0	57.83
/ /	MACUCH, PAUL	FA 529 PORT 2019 C	0.00	5.24	0.00	5.24	0.0	5.24
/ /	DORAN, ANN MARIE	FA 529 PORT 2019 C	0.00	2.74	0.00	2.74	0.0	2.74
/ /	DORAN, PATRICK	FA 529 PORT 2019 C	0.00	9.38	0.00	9.38	0.0	9.38
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 C	0.00	58.33	0.00	58.33	0.0	58.33
/ /	LORA, MARCOS	FA 529 PORT 2022 C	0.00	39.06	0.00	39.06	0.0	39.06
/ /	LORA, CATALINA	FA 529 PORT 2022 C	0.00	22.94	0.00	22.94	0.0	22.94
/ /	POWERS, GARY	FA 529 PORT 2022 C	0.00	9.97	0.00	9.97	0.0	9.97
/ /	REMI, ALEX	FA 529 PORT 2022 C	0.00	15.09	0.00	15.09	0.0	15.09
/ /	REMI, ALEX	FA 529 PORT 2022 C	0.00	23.33	0.00	23.33	0.0	23.33
/ /	DORAN, PATRICK	FA 529 PORT 2022 C	0.00	6.30	0.00	6.30	0.0	6.30
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	10.42	0.00	10.42	0.0	10.42
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	10.55	0.00	10.55	0.0	10.55
/ /	KORNITSKY, GAVIN	FA 529 PORT 2022 C	0.00	26.11	0.00	26.11	0.0	26.11
/ /	MACUCH, PAUL	FA 529 PORT 2022 C	0.00	7.26	0.00	7.26	0.0	7.26
/ /	FRENCH, KRISTEN	FA 529 PORT 2022 C	0.00	12.46	0.00	12.46	0.0	12.46
/ /	FRENCH, KRISTEN	FA 529 PORT 2022 C	0.00	10.10	0.00	10.10	0.0	10.10
/ /	AHERN, KEVIN	FA 529 PORT 2022 C	0.00	30.25	0.00	30.25	0.0	30.25
/ /	DORAN, SHAWN	FA 529 PORT 2022 C	0.00	3.12	0.00	3.12	0.0	3.12
/ /	LORA, ROLANDO	FA 529 PORT 2022 C	0.00	18.75	0.00	18.75	0.0	18.75
/ /	DORAN, ANN MARIE	FA 529 PORT 2022 C	0.00	2.83	0.00	2.83	0.0	2.83
/ /	YFANTOPULOS, GEORGE	FA 529 PORT 2022 C	0.00	36.79	0.00	36.79	0.0	36.79

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JAMES PANOS #61</u>								
/ /	AHERN, KEVIN	FA 529 PORT 2025 C	0.00	17.42	0.00	17.42	0.0	17.42
/ /	AHERN, KEVIN	FA 529 PORT 2025 C	0.00	13.55	0.00	13.55	0.0	13.55
/ /	MURPHY, CHRIS	FA 529 PORT 2025 C	0.00	29.63	0.00	29.63	0.0	29.63
/ /	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	0.00	19.58	0.00	19.58	0.0	19.58
/ /	MACK, NEIL	FA 529 PORT 2025 C	0.00	15.95	0.00	15.95	0.0	15.95
01/03/2017	MURPHY, CHRIS	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
01/03/2017	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	200.00	2.00	0.00	2.00	0.0	2.00
01/03/2017	MACK, NEIL	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
/ /	MACK, NEIL	FA 529 PORT 2028 C	0.00	11.72	0.00	11.72	0.0	11.72
/ /	MACUCH, PAUL	FA 529 PORT 2028 C	0.00	4.43	0.00	4.43	0.0	4.43
01/03/2017	MACK, NEIL	FA 529 PORT 2028 C	250.00	2.50	0.00	2.50	0.0	2.50
12/31/2016	TATAROUNS, WILLIAM	JNL PERSPECTIVE L	87,822.85	73.19	0.00	73.19	0.0	73.19
12/31/2016	TATAROUNS, LELA	JNL PERSPECTIVE REWARDS	215,152.30	89.65	0.00	89.65	0.0	89.65
* JAMES PANOS SUBTOTAL *			\$303,925.15	\$902.65	\$0.00	\$902.65		\$902.65
<u>BROKER: JEFFREY BUTLER #93</u>								
12/31/2016	CRAWFORD, ROBERT	LFG TRAILS	0.00	34.87	0.00	34.87	0.0	34.87
12/30/2016	HOLLIDAY SHOPPING CT, 401K	HARTFORD RETIREMENT PLANS	391,652.43	489.57	0.00	489.57	0.0	489.57
* JEFFREY BUTLER SUBTOTAL *			\$391,652.43	\$524.44	\$0.00	\$524.44		\$524.44
<u>BROKER: JAMES AULENTI #101</u>								
12/31/2016	VANDAL, DONALD	PRU AS XTRA CREDIT SIX	36,976.37	23.11	0.00	23.11	0.0	23.11
12/31/2016	EVANS, SHARON	PRU AS XTRA CREDIT SIX	50,365.70	31.48	0.00	31.48	0.0	31.48
12/31/2016	MCCANDLESS, JAMES	PRU PREMIER RETIREMENT X	366,288.00	228.93	0.00	228.93	0.0	228.93
12/31/2016	WILLS, KAREN	PRU PREMIER RETIREMENT X	462,424.07	289.02	0.00	289.02	0.0	289.02
12/31/2016	LUCIER, ROBERT	PRU PREMIER RETIREMENT X	140,081.21	87.55	0.00	87.55	0.0	87.55
12/31/2016	LUCIER, LYNN	PRU PREMIER RETIREMENT X	52,788.87	32.99	0.00	32.99	0.0	32.99
* JAMES AULENTI SUBTOTAL *			\$1,108,924.22	\$693.08	\$0.00	\$693.08		\$693.08
<u>BROKER: FRED CHASALOW #103</u>								
12/30/2016	CHASALOW, FRED	ALLIANZ ALTERITY OPTIONS	94,123.07	235.31	0.00	235.31	0.0	235.31
12/30/2016	CHASALOW, SANDRA	ALLIANZ ALTERITY OPTIONS	213,477.62	533.69	0.00	533.69	0.0	533.69
12/30/2016	MCKEE, MARY	ALLIANZ ALTERITY OPTIONS	255,760.43	639.40	0.00	639.40	0.0	639.40
12/30/2016	CHASALOW, SANDRA	ALLIANZ HIGH FIVE ENHANCED	101,949.77	127.44	0.00	127.44	0.0	127.44
* FRED CHASALOW SUBTOTAL *			\$665,310.89	\$1,535.84	\$0.00	\$1,535.84		\$1,535.84

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: ERIK BLOOMFELDT #105</u>								
12/31/2016	DEACON, GALE	JNL PERSPECTIVE L	7,315.25	6.10	0.00	6.10	0.0	6.10
/ /	FREER, JADA	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	3.93	0.00	3.93	0.0	3.93
* ERIK BLOOMFELDT SUBTOTAL *			\$7,315.25	\$10.03	\$0.00	\$10.03		\$10.03
<u>BROKER: DANIEL COLLINS #106</u>								
01/01/2017	CHANDLER, MARY	ALLZ 222 ANNUITY	106,691.71	133.36	0.00	133.36	0.0	133.36
/ /	MORRISON, ISABELLA	COLLEGE PORTFOLIO B	0.00	0.22	0.00	0.22	0.0	0.22
/ /	MORRISON, ALEXANDRA	COLLEGE PORTFOLIO B	0.00	0.22	0.00	0.22	0.0	0.22
01/03/2017	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	37.50	1.88	0.00	1.88	0.0	1.88
01/03/2017	MOLNAR, JAMES	FRANKLIN GROWTH OPP A	37.50	1.88	0.00	1.88	0.0	1.88
01/03/2017	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	31.25	1.56	0.00	1.56	0.0	1.56
01/03/2017	FISACKERLY, MARK	FRANKLIN GROWTH OPP A	31.25	1.56	0.00	1.56	0.0	1.56
01/03/2017	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	37.50	1.88	0.00	1.88	0.0	1.88
01/03/2017	MOLNAR, JAMES	FRANKLIN RISING DIVIDENDS A	37.50	1.88	0.00	1.88	0.0	1.88
01/03/2017	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	31.25	1.56	0.00	1.56	0.0	1.56
01/03/2017	FISACKERLY, MARK	FRANKLIN RISING DIVIDENDS A	31.25	1.56	0.00	1.56	0.0	1.56
01/03/2017	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	37.50	1.88	0.00	1.88	0.0	1.88
01/03/2017	MOLNAR, JAMES	FRANKLIN SMALL CAP VALUE A	37.50	1.88	0.00	1.88	0.0	1.88
01/03/2017	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	31.25	1.56	0.00	1.56	0.0	1.56
01/03/2017	FISACKERLY, MARK	FRANKLIN SMALL CAP VALUE A	31.25	1.56	0.00	1.56	0.0	1.56
01/03/2017	MOLNAR, JAMES	FT GROWTH ALLOC A	37.50	1.88	0.00	1.88	0.0	1.88
01/03/2017	MOLNAR, JAMES	FT GROWTH ALLOC A	37.50	1.88	0.00	1.88	0.0	1.88
01/03/2017	FISACKERLY, MARK	FT GROWTH ALLOC A	31.25	1.56	0.00	1.56	0.0	1.56
01/03/2017	FISACKERLY, MARK	FT GROWTH ALLOC A	31.25	1.56	0.00	1.56	0.0	1.56
* DANIEL COLLINS SUBTOTAL *			\$107,241.71	\$161.32	\$0.00	\$161.32		\$161.32
<u>BROKER: G118 GRECO #110</u>								
01/01/2017	MOHR, STEPHEN	ALLZ ENDURANCE PLUS EQUITY INDEXED	500,000.00	625.00	0.00	625.00	0.0	625.00
12/09/2016	KLIMA, JANINA	AM FUNDS AMERICAN BALANCED A	0.00	20.26	0.00	20.26	0.0	20.26
12/09/2016	SOKOLNICKI, BRIAN	AM FUNDS AMERICAN BALANCED A	0.00	12.75	0.00	12.75	0.0	12.75
12/09/2016	AMENDOLA, KRISTA	AM FUNDS AMERICAN BALANCED A	0.00	7.99	0.00	7.99	0.0	7.99
12/09/2016	AMENDOLA, KEITH	AM FUNDS AMERICAN BALANCED A	0.00	12.13	0.00	12.13	0.0	12.13
12/09/2016	AMENDOLA, CRAIG	AM FUNDS AMERICAN BALANCED A	0.00	4.53	0.00	4.53	0.0	4.53
12/09/2016	CUSTER, FLORENCE	AM FUNDS AMERICAN BALANCED A	0.00	16.63	0.00	16.63	0.0	16.63

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<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: G118 GRECO #110</u>								
12/09/2016	O'DWYER, PATRICK	AM FUNDS AMERICAN BALANCED A	0.00	17.89	0.00	17.89	0.0	17.89
12/09/2016	PULLEN, DAWN	AM FUNDS AMERICAN BALANCED A	0.00	12.13	0.00	12.13	0.0	12.13
12/09/2016	BALDASSANO, DOMINICK	AM FUNDS AMERICAN BALANCED A	0.00	17.89	0.00	17.89	0.0	17.89
12/09/2016	KENNEDY, WENDY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.76	0.00	2.76	0.0	2.76
12/09/2016	AMENDOLA, KRISTA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.29	0.00	0.29	0.0	0.29
12/09/2016	AMENDOLA, KEITH	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.44	0.00	0.44	0.0	0.44
12/09/2016	AMENDOLA, KRISTA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.58	0.00	0.58	0.0	0.58
12/09/2016	AMENDOLA, CRAIG	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.17	0.00	0.17	0.0	0.17
12/09/2016	CUSTER, FLORENCE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	17.12	0.00	17.12	0.0	17.12
12/09/2016	PULLEN, DAWN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.44	0.00	0.44	0.0	0.44
12/09/2016	AMENDOLA, KRISTA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.80	0.00	0.80	0.0	0.80
12/09/2016	CUSTER, FLORENCE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	14.17	0.00	14.17	0.0	14.17
12/09/2016	KENNEDY, WENDY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.79	0.00	2.79	0.0	2.79
12/09/2016	AMENDOLA, KRISTA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.02	0.00	1.02	0.0	1.02
12/09/2016	KENNEDY, WENDY	AM FUNDS ICA A	0.00	2.64	0.00	2.64	0.0	2.64
12/09/2016	SOKOLNICKI, BRIAN	AM FUNDS ICA A	0.00	13.42	0.00	13.42	0.0	13.42
12/09/2016	CUSTER, FLORENCE	AM FUNDS ICA A	0.00	25.84	0.00	25.84	0.0	25.84
12/09/2016	KENNEDY, WENDY	AM FUNDS NEW PERSPECTIVE A	0.00	2.39	0.00	2.39	0.0	2.39
12/09/2016	SOKOLNICKI, BRIAN	AM FUNDS NEW PERSPECTIVE A	0.00	7.22	0.00	7.22	0.0	7.22
12/09/2016	AMENDOLA, KRISTA	AM FUNDS NEW PERSPECTIVE A	0.00	0.67	0.00	0.67	0.0	0.67
12/09/2016	PULLEN, DAWN	AM FUNDS NEW PERSPECTIVE A	0.00	10.27	0.00	10.27	0.0	10.27
12/09/2016	AMENDOLA, KRISTA	AM FUNDS SMALLCAP WORLD A	0.00	0.44	0.00	0.44	0.0	0.44
12/09/2016	MAENTANIS, JOHN	LEGG MASON CLEARBRIDGE APPRECIATION	0.00	13.72	0.00	13.72	0.0	13.72
12/20/2016	SOKOLNICKI, BRIAN	MORGAN STANLEY INSTL FD INC GBL APP	0.00	4.72	0.00	4.72	0.0	4.72
12/09/2016	MAENTANIS, JOHN	TRANSAMERICA AA MODERATE C	0.00	11.54	0.00	11.54	0.0	11.54
* G118 GRECO SUBTOTAL *			\$500,000.00	\$880.65	\$0.00	\$880.65		\$880.65
<u>BROKER: JAMES KOESTER #117</u>								
/ /	RADFORD, KIRK	FIDELITY ADVISOR 529 COLLEGE PORT C	0.00	0.11	0.00	0.11	0.0	0.11
/ /	SMITH-WINBERRY, CHERYL	JH 529 LIFESTYLE GROWTH A	0.00	2.13	0.00	2.13	0.0	2.13
/ /	SMITH-WINBERRY, CHERYL	JH 529 LIFESTYLE GROWTH A	0.00	0.61	0.00	0.61	0.0	0.61
/ /	WINBERRY, LARRY	JH 529 LIFESTYLE GROWTH A	0.00	0.61	0.00	0.61	0.0	0.61
/ /	WINBERRY, LARRY	JH 529 LIFESTYLE GROWTH A	0.00	1.05	0.00	1.05	0.0	1.05
/ /	SMITH-WINBERRY, CHERYL	JH 529 LIFESTYLE GROWTH A	0.00	0.51	0.00	0.51	0.0	0.51

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JAMES KOESTER #117</u>								
01/03/2017	SMITH-WINBERRY, CHERYL	JH 529 LIFESTYLE GROWTH A	50.00	2.13	0.00	2.13	0.0	2.13
01/03/2017	WINBERRY, LARRY	JH 529 LIFESTYLE GROWTH A	50.00	2.13	0.00	2.13	0.0	2.13
01/03/2017	WINBERRY, LARRY	JH 529 LIFESTYLE GROWTH A	50.00	2.13	0.00	2.13	0.0	2.13
/ /	MCDEVITT, JILL	JH 529 PORT 2021-2024 A	50.00	2.13	0.00	2.13	0.0	2.13
/ /	MCDEVITT, JILL	JH 529 PORT 2021-2024 A	0.00	1.47	0.00	1.47	0.0	1.47
/ /	HAMILTON, LAWRENCE	JH 529 PORT 2021-2024 A	0.00	0.74	0.00	0.74	0.0	0.74
/ /	MCDEVITT, JILL	JH 529 PORT 2025-2028 A	0.00	2.13	0.00	2.13	0.0	2.13
/ /	MCDEVITT, JILL	JH 529 PORT 2025-2028 A	0.00	1.54	0.00	1.54	0.0	1.54
/ /	HAMILTON, LAWRENCE	JH 529 PORT 2025-2028 A	0.00	0.72	0.00	0.72	0.0	0.72
12/31/2016	DEUBZER, ROZANNE (KOKKO)	JNL PERSPECTIVE II 05/05	105,537.80	43.97	0.00	43.97	0.0	43.97
* JAMES KOESTER SUBTOTAL *			\$105,737.80	\$64.11	\$0.00	\$64.11		\$64.11
<u>BROKER: JAY MCCLELLAN #120</u>								
01/01/2017	OVERHOLSER, DONALD	ALLIANZ 360 FIXED	0.00	124.30	0.00	124.30	90.0	124.30
12/15/2016	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	50.00	0.50	0.00	0.50	90.0	0.50
12/31/2016	ZEHRINGER, JAMES	EATON VANCE GREATER CHINA GROWTH A	0.00	2.09	0.00	2.09	90.0	2.09
12/31/2016	MILLER, DOUGLAS	MASS MUTUAL PANAMA PREMIER	17,645.17	5.88	0.00	5.88	90.0	5.88
/ /	WEST, PAMELA	OPPENHEIMER DEVELOPING MARKETS A	0.00	1.18	0.00	1.18	90.0	1.18
/ /	WEST, PAMELA	OPPENHEIMER EQUITY A	0.00	2.69	0.00	2.69	90.0	2.69
/ /	WEST, PAMELA	OPPENHEIMER GLOBAL A	0.00	4.89	0.00	4.89	90.0	4.89
/ /	WEST, PAMELA	OPPENHEIMER INTL BOND A	0.00	1.66	0.00	1.66	90.0	1.66
12/15/2016	FOUGHT, PAUL	PIONEER FUND A	25.00	1.25	0.00	1.25	90.0	1.25
12/27/2016	HUTTON JT TEN, JANET	PIONEER FUND A	30.00	1.50	0.00	1.50	90.0	1.50
12/15/2016	SHORT, DAWN	PIONEER MULTI-ASSET REAL RETURN A	500.00	15.00	0.00	15.00	90.0	15.00
12/31/2016	REVOCABLE, TRUST, SONDR	PRU AS ADVISOR PLAN II - 1996	136,031.67	85.02	0.00	85.02	90.0	85.02
12/31/2016	REVOCABLE, TRUST, SONDR	PRU AS ADVISOR PLAN II - 1996	136,031.67	255.06	0.00	255.06	90.0	255.06
12/31/2016	FORTKAMP, MARY	PRU AS XTRA CREDIT SIX	34,252.77	21.41	0.00	21.41	90.0	21.41
/ /	LEO, MICHAEL	RYDEX GUGG UTILITIES C	0.00	1.43	0.00	1.43	90.0	1.43
12/27/2016	CURTIS, VIRGINIA	TEMPLETON GROWTH A	199.73	5.59	0.00	5.59	90.0	5.59
01/04/2017	ZEHRINGER, JAMES	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	10.20	0.00	10.20	90.0	10.20
01/04/2017	ZEHRINGER, JAMES	TRANSAMERICA DIVERSIFIED EQUITY A	0.00	6.22	0.00	6.22	90.0	6.22
* JAY MCCLELLAN SUBTOTAL *			\$324,766.01	\$545.87	\$0.00	\$545.87		\$545.87

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: CHARLIE ARRINGTON #174</u>								
12/31/2016	SHURTZ, TERRI	JNL PERSPECTIVE L	42,711.57	35.59	0.00	35.59	0.0	35.59
		* CHARLIE ARRINGTON SUBTOTAL *	\$42,711.57	\$35.59	\$0.00	\$35.59		\$35.59
<u>BROKER: MICHELE BOYER #189</u>								
12/27/2016	BARTELS, CHRIS	INVESCO ALL CAP MARKET NEUTRAL FD -	22.19	0.22	0.00	0.22	0.0	0.22
12/27/2016	BARTELS, CHRIS	INVESCO ALL CAP MARKET NEUTRAL FD -	22.54	0.23	0.00	0.23	0.0	0.23
12/27/2016	HAWKINS, DAMON	INVESCO AMERICAN FRANCHISE A	36.74	0.64	0.00	0.64	0.0	0.64
12/27/2016	HAWKINS, DAMON	INVESCO AMERICAN FRANCHISE A	11.02	0.19	0.00	0.19	0.0	0.19
12/27/2016	HAWKINS, DAMON	INVESCO AMERICAN FRANCHISE A	36.80	0.64	0.00	0.64	0.0	0.64
12/27/2016	HAWKINS, DAMON	INVESCO AMERICAN FRANCHISE A	11.04	0.19	0.00	0.19	0.0	0.19
12/27/2016	NOEL, ANTHONY	INVESCO AMERICAN FRANCHISE A	18.00	0.32	0.00	0.32	0.0	0.32
12/27/2016	NOEL, ANTHONY	INVESCO AMERICAN FRANCHISE A	18.00	0.32	0.00	0.32	0.0	0.32
12/27/2016	NOEL, ANTHONY	INVESCO AMERICAN FRANCHISE A	13.50	0.24	0.00	0.24	0.0	0.24
12/27/2016	NOEL, ANTHONY	INVESCO AMERICAN FRANCHISE A	13.50	0.24	0.00	0.24	0.0	0.24
12/27/2016	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	22.22	0.22	0.00	0.22	0.0	0.22
12/27/2016	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	22.22	0.22	0.00	0.22	0.0	0.22
12/27/2016	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	22.16	0.22	0.00	0.22	0.0	0.22
12/27/2016	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	22.16	0.22	0.00	0.22	0.0	0.22
12/27/2016	EMERSON, JAMES	INVESCO AMERICAN FRANCHISE C	458.14	4.58	0.00	4.58	0.0	4.58
12/27/2016	EMERSON, JAMES	INVESCO AMERICAN FRANCHISE C	137.44	1.37	0.00	1.37	0.0	1.37
12/27/2016	EMERSON, JAMES	INVESCO AMERICAN FRANCHISE C	443.35	4.43	0.00	4.43	0.0	4.43
12/27/2016	EMERSON, JAMES	INVESCO AMERICAN FRANCHISE C	133.01	1.33	0.00	1.33	0.0	1.33
12/27/2016	CRONK, THOMAS	INVESCO AMERICAN FRANCHISE C	156.00	1.56	0.00	1.56	0.0	1.56
12/27/2016	CRONK, THOMAS	INVESCO AMERICAN FRANCHISE C	156.00	1.56	0.00	1.56	0.0	1.56
12/27/2016	CRONK, THOMAS	INVESCO AMERICAN FRANCHISE C	156.00	1.56	0.00	1.56	0.0	1.56
12/27/2016	CRONK, THOMAS	INVESCO AMERICAN FRANCHISE C	156.00	1.56	0.00	1.56	0.0	1.56
12/27/2016	BACON, KALVIN	INVESCO ASIA PACIFIC GROWTH C	35.00	0.35	0.00	0.35	0.0	0.35
12/27/2016	BACON, KALVIN	INVESCO ASIA PACIFIC GROWTH C	35.00	0.35	0.00	0.35	0.0	0.35
12/27/2016	BACON, KALVIN	INVESCO ASIA PACIFIC GROWTH C	35.83	0.36	0.00	0.36	0.0	0.36
12/27/2016	BACON, KALVIN	INVESCO ASIA PACIFIC GROWTH C	35.83	0.36	0.00	0.36	0.0	0.36
12/27/2016	KINNEY, JUSTIN	INVESCO BALANCED RISK RTRMNT 2050 A	108.00	1.89	0.00	1.89	0.0	1.89
12/27/2016	KINNEY, JUSTIN	INVESCO BALANCED RISK RTRMNT 2050 A	108.00	1.89	0.00	1.89	0.0	1.89
12/27/2016	KINNEY, JUSTIN	INVESCO BALANCED RISK RTRMNT 2050 A	108.00	1.89	0.00	1.89	0.0	1.89
12/27/2016	KINNEY, JUSTIN	INVESCO BALANCED RISK RTRMNT 2050 A	108.00	1.89	0.00	1.89	0.0	1.89

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MICHELE BOYER #189</u>								
12/27/2016	HALLER, CRISSY	INVESCO CHARTER FUND C	22.23	0.22	0.00	0.22	0.0	0.22
12/27/2016	HALLER, CRISSY	INVESCO CHARTER FUND C	22.23	0.22	0.00	0.22	0.0	0.22
12/27/2016	HALLER, CRISSY	INVESCO CHARTER FUND C	22.17	0.22	0.00	0.22	0.0	0.22
12/27/2016	HALLER, CRISSY	INVESCO CHARTER FUND C	22.17	0.22	0.00	0.22	0.0	0.22
12/27/2016	EMERSON, JAMES	INVESCO CHARTER FUND C	196.34	1.96	0.00	1.96	0.0	1.96
12/27/2016	EMERSON, JAMES	INVESCO CHARTER FUND C	58.91	0.59	0.00	0.59	0.0	0.59
12/27/2016	EMERSON, JAMES	INVESCO CHARTER FUND C	190.01	1.90	0.00	1.90	0.0	1.90
12/27/2016	EMERSON, JAMES	INVESCO CHARTER FUND C	57.00	0.57	0.00	0.57	0.0	0.57
12/27/2016	HAWKINS, DAMON	INVESCO COMSTOCK A	36.76	0.64	0.00	0.64	0.0	0.64
12/27/2016	HAWKINS, DAMON	INVESCO COMSTOCK A	11.04	0.19	0.00	0.19	0.0	0.19
12/27/2016	HAWKINS, DAMON	INVESCO COMSTOCK A	36.80	0.64	0.00	0.64	0.0	0.64
12/27/2016	HAWKINS, DAMON	INVESCO COMSTOCK A	11.03	0.19	0.00	0.19	0.0	0.19
12/27/2016	NOEL, ANTHONY	INVESCO COMSTOCK A	18.00	0.32	0.00	0.32	0.0	0.32
12/27/2016	NOEL, ANTHONY	INVESCO COMSTOCK A	18.00	0.32	0.00	0.32	0.0	0.32
12/27/2016	NOEL, ANTHONY	INVESCO COMSTOCK A	13.50	0.24	0.00	0.24	0.0	0.24
12/27/2016	NOEL, ANTHONY	INVESCO COMSTOCK A	13.50	0.24	0.00	0.24	0.0	0.24
12/27/2016	BACON, KALVIN	INVESCO CORE PLUS BOND FUND CL C	35.01	0.35	0.00	0.35	0.0	0.35
12/27/2016	BACON, KALVIN	INVESCO CORE PLUS BOND FUND CL C	35.01	0.35	0.00	0.35	0.0	0.35
12/27/2016	BACON, KALVIN	INVESCO CORE PLUS BOND FUND CL C	35.84	0.36	0.00	0.36	0.0	0.36
12/27/2016	BACON, KALVIN	INVESCO CORE PLUS BOND FUND CL C	35.84	0.36	0.00	0.36	0.0	0.36
12/27/2016	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	46.24	0.46	0.00	0.46	0.0	0.46
12/27/2016	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	23.12	0.23	0.00	0.23	0.0	0.23
12/27/2016	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	52.93	0.53	0.00	0.53	0.0	0.53
12/27/2016	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	26.46	0.26	0.00	0.26	0.0	0.26
12/27/2016	HAWKINS, DAMON	INVESCO DEVELOPING MARKETS A	29.39	0.51	0.00	0.51	0.0	0.51
12/27/2016	HAWKINS, DAMON	INVESCO DEVELOPING MARKETS A	8.82	0.15	0.00	0.15	0.0	0.15
12/27/2016	HAWKINS, DAMON	INVESCO DEVELOPING MARKETS A	29.44	0.52	0.00	0.52	0.0	0.52
12/27/2016	HAWKINS, DAMON	INVESCO DEVELOPING MARKETS A	8.83	0.15	0.00	0.15	0.0	0.15
12/27/2016	HAWKINS, DAMON	INVESCO ENERGY FUND A	33.07	0.58	0.00	0.58	0.0	0.58
12/27/2016	HAWKINS, DAMON	INVESCO ENERGY FUND A	9.92	0.17	0.00	0.17	0.0	0.17
12/27/2016	HAWKINS, DAMON	INVESCO ENERGY FUND A	33.12	0.58	0.00	0.58	0.0	0.58
12/27/2016	HAWKINS, DAMON	INVESCO ENERGY FUND A	9.94	0.17	0.00	0.17	0.0	0.17
12/27/2016	BACON, KALVIN	INVESCO ENERGY FUND CLASS C	37.18	0.37	0.00	0.37	0.0	0.37

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MICHELE BOYER #189 </u>								
12/27/2016	BACON, KALVIN	INVESCO ENERGY FUND CLASS C	37.18	0.37	0.00	0.37	0.0	0.37
12/27/2016	BACON, KALVIN	INVESCO ENERGY FUND CLASS C	38.06	0.38	0.00	0.38	0.0	0.38
12/27/2016	BACON, KALVIN	INVESCO ENERGY FUND CLASS C	38.06	0.38	0.00	0.38	0.0	0.38
12/27/2016	MILTON, KEVIN	INVESCO EQUITY AND INCOME C	202.39	2.02	0.00	2.02	0.0	2.02
12/27/2016	MILTON, KEVIN	INVESCO EQUITY AND INCOME C	202.39	2.02	0.00	2.02	0.0	2.02
12/27/2016	MILTON, KEVIN	INVESCO EQUITY AND INCOME C	185.11	1.85	0.00	1.85	0.0	1.85
12/27/2016	MILTON, KEVIN	INVESCO EQUITY AND INCOME C	185.11	1.85	0.00	1.85	0.0	1.85
12/27/2016	NOEL, ANTHONY	INVESCO GLOBAL GROWTH A	18.00	0.32	0.00	0.32	0.0	0.32
12/27/2016	NOEL, ANTHONY	INVESCO GLOBAL GROWTH A	18.00	0.32	0.00	0.32	0.0	0.32
12/27/2016	NOEL, ANTHONY	INVESCO GLOBAL GROWTH A	13.50	0.24	0.00	0.24	0.0	0.24
12/27/2016	NOEL, ANTHONY	INVESCO GLOBAL GROWTH A	13.50	0.24	0.00	0.24	0.0	0.24
12/27/2016	BACON, KALVIN	INVESCO GLOBAL HEALTH CARE C	37.18	0.37	0.00	0.37	0.0	0.37
12/27/2016	BACON, KALVIN	INVESCO GLOBAL HEALTH CARE C	37.18	0.37	0.00	0.37	0.0	0.37
12/27/2016	BACON, KALVIN	INVESCO GLOBAL HEALTH CARE C	38.06	0.38	0.00	0.38	0.0	0.38
12/27/2016	BACON, KALVIN	INVESCO GLOBAL HEALTH CARE C	38.06	0.38	0.00	0.38	0.0	0.38
12/27/2016	BARTELS, CHRIS	INVESCO GLOBAL INFRASTRUCTURE C	11.08	0.11	0.00	0.11	0.0	0.11
12/27/2016	BARTELS, CHRIS	INVESCO GLOBAL INFRASTRUCTURE C	11.28	0.11	0.00	0.11	0.0	0.11
12/27/2016	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	34.68	0.35	0.00	0.35	0.0	0.35
12/27/2016	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	17.34	0.17	0.00	0.17	0.0	0.17
12/27/2016	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	39.69	0.40	0.00	0.40	0.0	0.40
12/27/2016	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	19.85	0.20	0.00	0.20	0.0	0.20
12/27/2016	BACON, KALVIN	INVESCO GOLD & PRECOUIS METALS C	37.18	0.37	0.00	0.37	0.0	0.37
12/27/2016	BACON, KALVIN	INVESCO GOLD & PRECOUIS METALS C	37.18	0.37	0.00	0.37	0.0	0.37
12/27/2016	BACON, KALVIN	INVESCO GOLD & PRECOUIS METALS C	38.06	0.38	0.00	0.38	0.0	0.38
12/27/2016	BACON, KALVIN	INVESCO GOLD & PRECOUIS METALS C	38.06	0.38	0.00	0.38	0.0	0.38
12/27/2016	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	46.25	0.46	0.00	0.46	0.0	0.46
12/27/2016	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	23.12	0.23	0.00	0.23	0.0	0.23
12/27/2016	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	52.94	0.53	0.00	0.53	0.0	0.53
12/27/2016	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	26.45	0.26	0.00	0.26	0.0	0.26
12/27/2016	HAWKINS, DAMON	INVESCO HIGH YIELD FUND A	55.11	0.96	0.00	0.96	0.0	0.96
12/27/2016	HAWKINS, DAMON	INVESCO HIGH YIELD FUND A	16.53	0.29	0.00	0.29	0.0	0.29
12/27/2016	HAWKINS, DAMON	INVESCO HIGH YIELD FUND A	55.20	0.97	0.00	0.97	0.0	0.97
12/27/2016	HAWKINS, DAMON	INVESCO HIGH YIELD FUND A	16.56	0.29	0.00	0.29	0.0	0.29

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<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: MICHELE BOYER #189</u>								
12/27/2016	NOEL, ANTHONY	INVESCO HIGH YIELD FUND A	12.00	0.21	0.00	0.21	0.0	0.21
12/27/2016	NOEL, ANTHONY	INVESCO HIGH YIELD FUND A	12.00	0.21	0.00	0.21	0.0	0.21
12/27/2016	NOEL, ANTHONY	INVESCO HIGH YIELD FUND A	9.00	0.16	0.00	0.16	0.0	0.16
12/27/2016	NOEL, ANTHONY	INVESCO HIGH YIELD FUND A	9.00	0.16	0.00	0.16	0.0	0.16
12/27/2016	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	34.68	0.35	0.00	0.35	0.0	0.35
12/27/2016	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	17.34	0.17	0.00	0.17	0.0	0.17
12/27/2016	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	39.69	0.40	0.00	0.40	0.0	0.40
12/27/2016	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	19.85	0.20	0.00	0.20	0.0	0.20
12/27/2016	BARTELS, CHRIS	INVESCO LONG/SHORT EQUITY FUND - CL	22.19	0.22	0.00	0.22	0.0	0.22
12/27/2016	BARTELS, CHRIS	INVESCO LONG/SHORT EQUITY FUND - CL	22.54	0.23	0.00	0.23	0.0	0.23
12/27/2016	BARTELS, CHRIS	INVESCO LOW VOLATILITY EMERG MKTS -	33.28	0.33	0.00	0.33	0.0	0.33
12/27/2016	BARTELS, CHRIS	INVESCO LOW VOLATILITY EMERG MKTS -	33.81	0.34	0.00	0.34	0.0	0.34
12/27/2016	NOEL, ANTHONY	INVESCO MIDCAP CORE EQUITY A	12.00	0.21	0.00	0.21	0.0	0.21
12/27/2016	NOEL, ANTHONY	INVESCO MIDCAP CORE EQUITY A	12.00	0.21	0.00	0.21	0.0	0.21
12/27/2016	NOEL, ANTHONY	INVESCO MIDCAP CORE EQUITY A	9.00	0.16	0.00	0.16	0.0	0.16
12/27/2016	NOEL, ANTHONY	INVESCO MIDCAP CORE EQUITY A	9.00	0.16	0.00	0.16	0.0	0.16
12/27/2016	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	34.68	0.35	0.00	0.35	0.0	0.35
12/27/2016	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	17.34	0.17	0.00	0.17	0.0	0.17
12/27/2016	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	39.69	0.40	0.00	0.40	0.0	0.40
12/27/2016	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	19.85	0.20	0.00	0.20	0.0	0.20
12/27/2016	BARTELS, CHRIS	INVESCO MLP FUND - CLASS C	22.19	0.22	0.00	0.22	0.0	0.22
12/27/2016	BARTELS, CHRIS	INVESCO MLP FUND - CLASS C	22.54	0.23	0.00	0.23	0.0	0.23
12/27/2016	KINNEY, THOMAS	INVESCO MONEY MARKET C	132.00	1.32	0.00	1.32	0.0	1.32
12/27/2016	KINNEY, THOMAS	INVESCO MONEY MARKET C	132.00	1.32	0.00	1.32	0.0	1.32
12/27/2016	KINNEY, THOMAS	INVESCO MONEY MARKET C	132.00	1.32	0.00	1.32	0.0	1.32
12/27/2016	KINNEY, THOMAS	INVESCO MONEY MARKET C	132.00	1.32	0.00	1.32	0.0	1.32
12/27/2016	BACON, KALVIN	INVESCO REAL ESTATE FUND CL C	37.18	0.37	0.00	0.37	0.0	0.37
12/27/2016	BACON, KALVIN	INVESCO REAL ESTATE FUND CL C	37.18	0.37	0.00	0.37	0.0	0.37
12/27/2016	BACON, KALVIN	INVESCO REAL ESTATE FUND CL C	38.06	0.38	0.00	0.38	0.0	0.38
12/27/2016	BACON, KALVIN	INVESCO REAL ESTATE FUND CL C	38.06	0.38	0.00	0.38	0.0	0.38
12/27/2016	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	34.68	0.35	0.00	0.35	0.0	0.35
12/27/2016	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	17.34	0.17	0.00	0.17	0.0	0.17
12/27/2016	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	39.69	0.40	0.00	0.40	0.0	0.40

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MICHELE BOYER #189</u>								
12/27/2016	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	19.85	0.20	0.00	0.20	0.0	0.20
12/27/2016	HAWKINS, DAMON	INVESCO STRUCTURED CORE A	36.74	0.64	0.00	0.64	0.0	0.64
12/27/2016	HAWKINS, DAMON	INVESCO STRUCTURED CORE A	11.02	0.19	0.00	0.19	0.0	0.19
12/27/2016	HAWKINS, DAMON	INVESCO STRUCTURED CORE A	36.80	0.64	0.00	0.64	0.0	0.64
12/27/2016	HAWKINS, DAMON	INVESCO STRUCTURED CORE A	11.04	0.19	0.00	0.19	0.0	0.19
12/27/2016	HAWKINS, DAMON	INVESCO SUMMIT FUND A	55.11	0.96	0.00	0.96	0.0	0.96
12/27/2016	HAWKINS, DAMON	INVESCO SUMMIT FUND A	16.53	0.29	0.00	0.29	0.0	0.29
12/27/2016	HAWKINS, DAMON	INVESCO SUMMIT FUND A	55.20	0.97	0.00	0.97	0.0	0.97
12/27/2016	HAWKINS, DAMON	INVESCO SUMMIT FUND A	16.56	0.29	0.00	0.29	0.0	0.29
12/27/2016	HAWKINS, DAMON	INVESCO TECHNOLOGY A	33.07	0.58	0.00	0.58	0.0	0.58
12/27/2016	HAWKINS, DAMON	INVESCO TECHNOLOGY A	9.92	0.17	0.00	0.17	0.0	0.17
12/27/2016	HAWKINS, DAMON	INVESCO TECHNOLOGY A	33.12	0.58	0.00	0.58	0.0	0.58
12/27/2016	HAWKINS, DAMON	INVESCO TECHNOLOGY A	9.94	0.17	0.00	0.17	0.0	0.17
12/27/2016	NOEL, ANTHONY	INVESCO TECHNOLOGY A	18.00	0.32	0.00	0.32	0.0	0.32
12/27/2016	NOEL, ANTHONY	INVESCO TECHNOLOGY A	18.00	0.32	0.00	0.32	0.0	0.32
12/27/2016	NOEL, ANTHONY	INVESCO TECHNOLOGY A	13.50	0.24	0.00	0.24	0.0	0.24
12/27/2016	NOEL, ANTHONY	INVESCO TECHNOLOGY A	13.50	0.24	0.00	0.24	0.0	0.24
12/27/2016	HAWKINS, DAMON	INVESCO UTILITIES A	33.07	0.58	0.00	0.58	0.0	0.58
12/27/2016	HAWKINS, DAMON	INVESCO UTILITIES A	9.92	0.17	0.00	0.17	0.0	0.17
12/27/2016	HAWKINS, DAMON	INVESCO UTILITIES A	33.12	0.58	0.00	0.58	0.0	0.58
12/27/2016	HAWKINS, DAMON	INVESCO UTILITIES A	9.94	0.17	0.00	0.17	0.0	0.17
12/27/2016	NOEL, ANTHONY	INVESCO UTILITIES A	18.00	0.32	0.00	0.32	0.0	0.32
12/27/2016	NOEL, ANTHONY	INVESCO UTILITIES A	18.00	0.32	0.00	0.32	0.0	0.32
12/27/2016	NOEL, ANTHONY	INVESCO UTILITIES A	13.50	0.24	0.00	0.24	0.0	0.24
12/27/2016	NOEL, ANTHONY	INVESCO UTILITIES A	13.50	0.24	0.00	0.24	0.0	0.24
01/04/2017	ANGSTMAN, CHRISTINE	TRANSAMERICA AA CONSERVATIVE C	0.00	0.97	0.00	0.97	0.0	0.97
01/04/2017	ANGSTMAN, CHRISTINE	TRANSAMERICA FLEXIBLE INCOME C	0.00	1.94	0.00	1.94	0.0	1.94
01/04/2017	ANGSTMAN, CHRISTINE	TRANSAMERICA MULTI MGR ALT STRATEGI	0.00	0.87	0.00	0.87	0.0	0.87
* MICHELE BOYER SUBTOTAL *			\$7,370.69	\$90.52	\$0.00	\$90.52		\$90.52
<u>BROKER: MICHAEL PALMISANO #196</u>								
12/09/2016	KLEIST, ARTHUR	AM FUNDS NEW WORLD C	0.00	38.33	0.00	38.33	0.0	38.33
/ /	HOFFMANN, DARLENE	FIDELITY ADV UTILITIES C	0.00	0.77	0.00	0.77	0.0	0.77
12/09/2016	VINCENT, JUDITH	FIDELITY BALANCED C	0.00	1.96	0.00	1.96	0.0	1.96

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MICHAEL PALMISANO #196</u>								
/ /	HOFFMANN, DARLENE	FIDELITY DIVIDEND GROWTH C	0.00	0.86	0.00	0.86	0.0	0.86
12/09/2016	VINCENT, JUDITH	FIDELITY INTL CAP APP C	0.00	7.15	0.00	7.15	0.0	7.15
12/31/2016	WEGMAN, MARK	JNL PERSPECTIVE L	102,523.68	85.44	0.00	85.44	0.0	85.44
* MICHAEL PALMISANO SUBTOTAL *			\$102,523.68	\$134.51	\$0.00	\$134.51		\$134.51
<u>BROKER: MEREDITH MCCUTCHEN #221</u>								
12/30/2016	HAMMONS, LEO	HARTFORD LIFE DIRECTOR 8	2,269.63	1.42	0.00	1.42	0.0	1.42
12/30/2016	HUGGINS, STEVE	HARTFORD LIFE DIRECTOR 8	23,540.83	14.71	0.00	14.71	0.0	14.71
12/15/2016	HAMMONS, LEO	PIONEER FUND A	50.00	2.50	0.00	2.50	0.0	2.50
* MEREDITH MCCUTCHEN SUBTOTAL *			\$25,860.46	\$18.63	\$0.00	\$18.63		\$18.63
<u>BROKER: JAMES CRAWFORD #225</u>								
12/31/2016	ROBERTS, KERMIT	JOHN HANCOCK VENTURE 2006	9,408.41	1.96	0.00	1.96	0.0	1.96
12/31/2016	ROBERTS, ELLEN	JOHN HANCOCK VENTURE 2006	7,496.17	1.56	0.00	1.56	0.0	1.56
* JAMES CRAWFORD SUBTOTAL *			\$16,904.58	\$3.52	\$0.00	\$3.52		\$3.52
<u>BROKER: CARL WORDEN III #232</u>								
12/31/2016	ALVAREZ, ANTONIO	JNL PERSPECTIVE II 05/05	9,528.49	1.99	0.00	1.99	0.0	1.99
* CARL WORDEN III SUBTOTAL *			\$9,528.49	\$1.99	\$0.00	\$1.99		\$1.99
<u>BROKER: MICHAEL O'CALLAGHAN #305</u>								
12/31/2016	MODRELL, ROBERT	JNL ELITE ACCESS W/ LIQUIDITY	76,468.30	63.72	0.00	63.72	0.0	63.72
* MICHAEL O'CALLAGHAN SUBTOTAL *			\$76,468.30	\$63.72	\$0.00	\$63.72		\$63.72
*** OVERRIDE TRANSACTIONS TOTAL ***			\$7,627,480.62	\$12,339.38	\$47.16	\$12,292.22		\$12,292.22

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$12,292.22
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$12,292.22
Year-to-date Gross	\$8,906.25
Year-to-date Earnings	\$12,292.22