

STATEMENT

January 20, 2018

KENNETH STOKES
29 Central Ave
Unit 2
Montclair, NJ 07042-

BROKER #: 034
BRANCH: LA BRANCH

COMMISSION STATEMENT for KENNETH STOKES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
10/31/2017	#070344107084	MSDS-CONSU	NATIONWIDE FUNDS	B	0.00	22.49	0.00	0.00	22.49	80.0	17.99
11/30/2017	#0051922870	PAI TRUST	BLCKRCK	B	0.00	12.68	0.00	0.00	12.68	80.0	10.14
11/30/2017	#0051666527	JONATHAN P	BLCKRCK	B	0.00	0.08	0.00	0.00	0.08	80.0	0.06
11/30/2017	#0051922870	PAI TRUST	BLCKRCK	B	0.00	12.68	0.00	0.00	12.68	80.0	10.14
11/30/2017	#070344107084	MSDS-CONSU	NATIONWIDE FUNDS	B	0.00	22.04	0.00	0.00	22.04	80.0	17.63
* MUTUAL FUNDS SUBTOTAL *					\$0.00	\$69.97	\$0.00	\$0.00	\$69.97		\$55.96

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/01/2017	#01246004455	COMPUANAL	AMERICAN FUNDS 298706847	B	0.00	343.34	0.00	0.00	343.34	80.0	274.67
12/01/2017	#01324043253	COMPUANAL	AMERICAN FUNDS 82524A854	B	0.00	57.53	0.00	0.00	57.53	80.0	46.02
12/01/2017	#01247801798	COMPUANAL	AMERICAN FUNDS 831681846	B	0.00	136.07	0.00	0.00	136.07	80.0	108.86
12/01/2017	#01243289126	COMPUANAL	THE GROWTH FUND OF AMERICA-R4	B	0.00	696.73	0.00	0.00	696.73	80.0	557.38
01/01/2018	#00090000199	COMPUANAL	MFS 55275E499	B	0.00	205.38	0.00	0.00	205.38	80.0	164.30
01/01/2018	#00090000205	COMPUANAL	MFS 55275E598	B	0.00	193.10	0.00	0.00	193.10	80.0	154.48
01/01/2018	#00090000216	COMPUANAL	MFS 55275E697	B	0.00	239.91	0.00	0.00	239.91	80.0	191.93
01/01/2018	#09886112833	COMPUANAL	MFS 55275E804	B	0.00	120.95	0.00	0.00	120.95	80.0	96.76
01/01/2018	#00090000686	COMPUANAL	MFS 552986689	B	0.00	118.72	0.00	0.00	118.72	80.0	94.98
01/01/2018	#00090000084	COMPUANAL	MID CAP VALUE FUND	B	0.00	282.61	0.00	0.00	282.61	80.0	226.09
01/08/2018	#01468689839	COMPUANAL	FIDELITY ADVISOR ENERGY CL A	B	0.00	36.77	0.00	0.00	36.77	80.0	29.42
01/08/2018	#01468689839	COMPUANAL	FIDELITY ADVISOR SER VII ADVISOR RE B	B	0.00	60.28	0.00	0.00	60.28	80.0	48.22
01/08/2018	#00485888168	PARK-B-SMI	FMR1128	B	0.00	2.43	0.00	0.00	2.43	80.0	1.94
01/08/2018	#00485888168	PARK-B-SMI	FMR1184	B	0.00	27.60	0.00	0.00	27.60	80.0	22.08
01/08/2018	#00485888168	PARK-B-SMI	FMR1189	B	0.00	83.59	0.00	0.00	83.59	80.0	66.87
01/08/2018	#00485888168	PARK-B-SMI	FMR1194	B	0.00	20.19	0.00	0.00	20.19	80.0	16.15
01/08/2018	#00485888168	PARK-B-SMI	FMR1199	B	0.00	0.97	0.00	0.00	0.97	80.0	0.78
01/08/2018	#00485888168	PARK-B-SMI	FMR1296	B	0.00	8.19	0.00	0.00	8.19	80.0	6.55
01/08/2018	#00485888168	PARK-B-SMI	FMR1302	B	0.00	71.13	0.00	0.00	71.13	80.0	56.90
01/08/2018	#00485888168	PARK-B-SMI	FMR1307	B	0.00	91.04	0.00	0.00	91.04	80.0	72.83
01/08/2018	#00485888168	PARK-B-SMI	FMR1397	B	0.00	1.39	0.00	0.00	1.39	80.0	1.11
01/08/2018	#00485888168	PARK-B-SMI	FMR1599	B	0.00	15.55	0.00	0.00	15.55	80.0	12.44
01/08/2018	#00485888168	PARK-B-SMI	FMR1605	B	0.00	1.96	0.00	0.00	1.96	80.0	1.57

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/08/2018	#00485888168	PARK-B-SMI	FMR1816	B	0.00	2.51	0.00	0.00	2.51	80.0	2.01
01/08/2018	#00485888168	PARK-B-SMI	FMR246	B	0.00	2.46	0.00	0.00	2.46	80.0	1.97
01/08/2018	#00485888168	PARK-B-SMI	FMR260	B	0.00	1.11	0.00	0.00	1.11	80.0	0.89
01/08/2018	#00485888168	PARK-B-SMI	FMR272	B	0.00	2.93	0.00	0.00	2.93	80.0	2.34
01/08/2018	#00485888168	PARK-B-SMI	FMR2740	B	0.00	79.56	0.00	0.00	79.56	80.0	63.65
01/08/2018	#00485888168	PARK-B-SMI	FMR294	B	0.00	1.99	0.00	0.00	1.99	80.0	1.59
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$2,905.99	\$0.00	\$0.00	\$2,905.99		\$2,324.78
*** BROKER TRANSACTIONS TOTAL ***					\$0.00	\$2,975.96	\$0.00	\$0.00	\$2,975.96		\$2,380.74

ADJUSTMENTS

01/20/2018	ADMIN FEE: ADMIN FEE										-390.00
01/20/2018	E & O INSURANCE: E & O INSURANCE										-179.00
*** ADJUSTMENTS TOTAL ***											\$-569.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,380.74
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,811.74
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