



Concorde Investment Services, LLC

09/28/2017  
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# COMMISSION STATEMENT

September 30, 2017

BARRY LEMAY  
2242 Camden Ave  
Suite 102  
San Jose, CA 95124

BROKER #: L122  
BRANCH: AMBAR FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
09/13/2017	SARMIENTO, ZOLIO	BOURNE SENIORS HOUSING III	56,000.00	3,920.00	0.00	3,920.00	90.0	3,528.00
09/13/2017	SARMIENTO, MARIA	HPI FUND V	25,000.00	1,500.00	0.00	1,500.00	90.0	1,350.00
09/13/2017	SARMIENTO, ZOLIO	HPI FUND V	56,000.00	3,360.00	0.00	3,360.00	90.0	3,024.00
09/18/2017	CHUI TRUST	HPI FUND V	250,000.00	15,000.00	0.00	15,000.00	90.0	13,500.00
* PRIVATE PLACEMENT SUBTOTAL *			\$387,000.00	\$23,780.00	\$0.00	\$23,780.00		\$21,402.00
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
09/12/2017	SARMIENTO, ZOLIO	BOURNE SENIORS HOUSING III LLC CL A	56,000.00	0.00	0.00	0.00	90.0	0.00
09/12/2017	SARMIENTO, ZOLIO	GPB AUTOMOTIVE PORTFOLIO LP	56,000.00	0.00	0.00	0.00	90.0	0.00
09/12/2017	SARMIENTO, ZOLIO	GPB WASTE MANAGEMENT LP CLASS A	56,000.00	0.00	0.00	0.00	90.0	0.00
09/12/2017	SARMIENTO, ZOLIO	HPI REAL ESTATE FUND V LLC	56,000.00	0.00	0.00	0.00	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$224,000.00	\$0.00	\$0.00	\$0.00		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$611,000.00	\$23,780.00	\$0.00	\$23,780.00		\$21,402.00
<b><u>ADJUSTMENTS</u></b>								
09/30/2017		*FINRA: FINRA CE TRACKED WEB FEE S	0.00					-55.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-55.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$21,402.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-55.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-636.98
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$20,710.02
Year-to-date Gross	\$172,635.72
Year-to-date Earnings	\$154,819.67