



All Companies

02/14/2018

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COMMISSION STATEMENT

February 15, 2018

DANIEL WILLIAM ASHLEY
10809 Pebblecreek Court

BROKER #: 5276
BRANCH: HOME OFFICE

Benbrook, TX 76126

COMMISSION STATEMENT for DANIEL WILLIAM ASHLEY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

01/31/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	175.27	175.27	0.00	175.27	70.0	122.69
01/31/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	4.94	4.94	0.00	4.94	70.0	3.46
01/26/2018	00089239225	LUTT, ROBERT R FUNDAMENTAL INVESTORS-C	B	600.00	6.00	0.00	6.00	70.0	4.20
01/26/2018	00089239225	LUTT, ROBERT R FUNDAMENTAL INVESTORS-C	B	75.00	0.75	0.00	0.75	70.0	0.53
01/26/2018	00089239238	LUTT, ANDREW T FUNDAMENTAL INVESTORS-C	B	75.00	0.75	0.00	0.75	70.0	0.53
01/26/2018	00089239238	LUTT, ANDREW T FUNDAMENTAL INVESTORS-C	B	480.00	4.80	0.00	4.80	70.0	3.36
01/26/2018	00089262606	PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C	B	60.00	0.60	0.00	0.60	70.0	0.42
01/26/2018	00089262606	PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C	B	7.50	0.08	0.00	0.08	70.0	0.06
02/02/2018	00089262606	PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C	B	7.50	0.08	0.00	0.08	70.0	0.06
02/02/2018	00089262606	PETERSON, SCOTT S. CAPITAL INCOME BUILDER-C	B	60.00	0.60	0.00	0.60	70.0	0.42
01/26/2018	00089262606	PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C	B	60.00	0.60	0.00	0.60	70.0	0.42
01/26/2018	00089262606	PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C	B	7.50	0.08	0.00	0.08	70.0	0.06
02/02/2018	00089262606	PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C	B	60.00	0.60	0.00	0.60	70.0	0.42
02/02/2018	00089262606	PETERSON, SCOTT S. INTERNATIONAL GROWTH AND INCOME-C	B	7.50	0.08	0.00	0.08	70.0	0.06
01/26/2018	00089262606	PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
01/26/2018	00089262606	PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C	B	7.50	0.08	0.00	0.08	70.0	0.06
02/02/2018	00089262606	PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
02/02/2018	00089262606	PETERSON, SCOTT S. NEW PERSPECTIVE FUND-C	B	7.50	0.08	0.00	0.08	70.0	0.06
01/26/2018	00089262606	PETERSON, SCOTT S. SMALLCAP WORLD FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
01/26/2018	00089262606	PETERSON, SCOTT S. SMALLCAP WORLD FUND-C	B	7.50	0.08	0.00	0.08	70.0	0.06
02/02/2018	00089262606	PETERSON, SCOTT S. SMALLCAP WORLD FUND-C	B	7.50	0.08	0.00	0.08	70.0	0.06
02/02/2018	00089262606	PETERSON, SCOTT S. SMALLCAP WORLD FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
01/26/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
01/26/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	7.50	0.08	0.00	0.08	70.0	0.06
02/02/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	60.00	0.60	0.00	0.60	70.0	0.42
02/02/2018	00089262606	PETERSON, SCOTT S. THE NEW ECONOMY FUND-C	B	7.50	0.08	0.00	0.08	70.0	0.06
01/26/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
01/26/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
02/02/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
02/02/2018	00089300272	HORTON, COREY A. AMERICAN FUNDS 2045 TARGET DATE-C	B	30.00	0.30	0.00	0.30	70.0	0.21
01/26/2018	00089300292	DAVIS, JUSTIN S. AMERICAN FUNDS 2045 TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07

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BROKER TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
01/26/2018	00089300292	DAVIS, JUSTIN S.	AMERICAN FUNDS 2045	TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
02/02/2018	00089300292	DAVIS, JUSTIN S.	AMERICAN FUNDS 2045	TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
02/02/2018	00089300292	DAVIS, JUSTIN S.	AMERICAN FUNDS 2045	TARGET DATE-C	B	10.00	0.10	0.00	0.10	70.0	0.07
01/26/2018	00089300309	VESTA III, DON	AMERICAN FUNDS 2045	TARGET DATE-C	B	33.81	0.34	0.00	0.34	70.0	0.24
01/26/2018	00089300309	VESTA III, DON	AMERICAN FUNDS 2045	TARGET DATE-C	B	56.35	0.56	0.00	0.56	70.0	0.39
02/02/2018	00089300309	VESTA III, DON	AMERICAN FUNDS 2045	TARGET DATE-C	B	64.16	0.64	0.00	0.64	70.0	0.45
02/02/2018	00089300309	VESTA III, DON	AMERICAN FUNDS 2045	TARGET DATE-C	B	38.50	0.39	0.00	0.39	70.0	0.27
01/26/2018	00089330264	GONZALES, ADRIAN	AMERICAN FUNDS 2030	TARGET DATE-C	B	33.00	0.33	0.00	0.33	70.0	0.23
01/26/2018	00089330264	GONZALES, ADRIAN	AMERICAN FUNDS 2030	TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
02/02/2018	00089330264	GONZALES, ADRIAN	AMERICAN FUNDS 2030	TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
02/02/2018	00089330264	GONZALES, ADRIAN	AMERICAN FUNDS 2030	TARGET DATE-C	B	33.00	0.33	0.00	0.33	70.0	0.23
01/26/2018	00089330303	DELVALLE, ERIC	AMERICAN FUNDS 2050	TARGET DATE-C	B	41.03	0.41	0.00	0.41	70.0	0.29
01/26/2018	00089330303	DELVALLE, ERIC	AMERICAN FUNDS 2050	TARGET DATE-C	B	25.20	0.25	0.00	0.25	70.0	0.18
02/02/2018	00089330303	DELVALLE, ERIC	AMERICAN FUNDS 2050	TARGET DATE-C	B	28.10	0.28	0.00	0.28	70.0	0.20
02/02/2018	00089330303	DELVALLE, ERIC	AMERICAN FUNDS 2050	TARGET DATE-C	B	25.20	0.25	0.00	0.25	70.0	0.18
01/26/2018	00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
01/26/2018	00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045	TARGET DATE-C	B	20.10	0.20	0.00	0.20	70.0	0.14
02/02/2018	00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
02/02/2018	00089330539	HANSFORD, JAMES L.	AMERICAN FUNDS 2045	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
01/26/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025	TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
01/26/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025	TARGET DATE-C	B	20.81	0.21	0.00	0.21	70.0	0.15
02/02/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025	TARGET DATE-C	B	60.00	0.60	0.00	0.60	70.0	0.42
02/02/2018	00089333558	SMITH, SANDRA	AMERICAN FUNDS 2025	TARGET DATE-C	B	20.81	0.21	0.00	0.21	70.0	0.15
02/05/2018	00089827548	STONE, TINA M.	AMERICAN FUNDS INVESTMENT COMP OF A	B	5,000.00	212.50	0.00	212.50	70.0	148.75	
02/05/2018	00089827548	STONE, TINA M.	AMERICAN FUNDS WA MUTUAL	INVES	B	4,845.81	205.95	0.00	205.95	70.0	144.17
01/26/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
01/26/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040	TARGET DATE-C	B	23.16	0.23	0.00	0.23	70.0	0.16
02/02/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040	TARGET DATE-C	B	23.52	0.24	0.00	0.24	70.0	0.17
02/02/2018	04000050235	RAINBOLT, JOEY	AMERICAN FUNDS 2040	TARGET DATE-C	B	25.00	0.25	0.00	0.25	70.0	0.18
* MUTUAL FUNDS SUBTOTAL *						\$12,942.77	\$627.88	\$0.00	\$627.88		\$439.62
PRODUCT CATEGORY: VARIABLE ANNUITIES											
01/31/2018	TRAILS	-JOHN HANCOCK			B	493.90	493.90	0.00	493.90	70.0	345.73
02/02/2018	TRAILS	-JOHN HANCOCK			B	31.22	31.22	0.00	31.22	70.0	21.85
01/03/2018	SEXTON, THOMAS	-SECURITY BENEFIT			B	250.00	12.50	0.00	12.50	70.0	8.75
01/31/2018	TRAILS	-SECURITY BENEFIT			B	129.66	129.66	0.00	129.66	70.0	90.76
* VARIABLE ANNUITIES SUBTOTAL *						\$904.78	\$667.28	\$0.00	\$667.28		\$467.09

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/15/2018 TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES	0.00	43.74	0.00	43.74	70.0	30.62
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$43.74	\$0.00	\$43.74		\$30.62
	*** BROKER TRANSACTIONS TOTAL ***	\$13,847.55	\$1,338.90	\$0.00	\$1,338.90		\$937.33

ADJUSTMENTS

02/15/2018	E & O INSURANCE: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-100.00
02/15/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$937.33
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$809.83
Year-to-date Earnings	\$2,917.34