

**COMMISSION STATEMENT****April 30, 2018**

ALAN, L133 LICHTENSTEIN
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: L133
BRANCH: FORTITUDE INVESTMENT

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|-------------------------------------|-------------------|-------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: RIA</u> | | | | | | | | |
| 04/13/2018 | WITKIN, CAROL ANN | EQIS CAPITAL MGMT | 25,810.91 | 10.75 | 0.00 | 10.75 | 75.0 | 8.06 |
| * RIA SUBTOTAL * | | | \$25,810.91 | \$10.75 | \$0.00 | \$10.75 | | \$8.06 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$25,810.91 | \$10.75 | \$0.00 | \$10.75 | | \$8.06 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$8.06 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|-----------------------|----------|
| Balance Forward | \$8.06 |
| Year-to-date Gross | \$287.58 |
| Year-to-date Earnings | \$215.69 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed