



Concorde Investment Services, LLC

01/27/2017
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COMMISSION STATEMENT

January 31, 2017

JACK MICHALETZ
150 St. Andrews Ct.
Ste. 210
Mankato, MN 56001

BROKER #: M137
BRANCH: DISCIPLINE ADVISORS,

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/17/2017	BLOCK, EUGENE	JH DISCIPLINED VALUE INT'L C	0.00	1.10	0.00	1.10	0.0	0.00
01/17/2017	ROBERTS, MICHELLE	JH DISCIPLINED VALUE INT'L C	0.00	0.19	0.00	0.19	0.0	0.00
01/17/2017	WALLEM, HARRY	JH FINANCIAL INDUSTRIES A	0.00	2.26	0.00	2.26	0.0	0.00
01/17/2017	BLOCK, EUGENE	JH INCOME B	0.00	1.40	0.00	1.40	0.0	0.00
01/17/2017	WALLEM, HARRY	JH LARGE CAP EQUITY A	0.00	3.50	0.00	3.50	0.0	0.00
01/17/2017	BLOCK, EUGENE	JH LARGE CAP EQUITY A	0.00	1.49	0.00	1.49	0.0	0.00
01/17/2017	BLOCK, EUGENE	JH LARGE CAP EQUITY B	0.00	1.32	0.00	1.32	0.0	0.00
01/17/2017	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY B	0.00	0.22	0.00	0.22	0.0	0.00
01/17/2017	BLOCK, EUGENE	JH LARGE CAP EQUITY C	0.00	1.62	0.00	1.62	0.0	0.00
01/17/2017	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY C	0.00	1.44	0.00	1.44	0.0	0.00
01/17/2017	ROBERTS, MICHELLE	JH LARGE CAP EQUITY C	0.00	0.05	0.00	0.05	0.0	0.00
01/17/2017	TOLZMANN, RICHARD	JH LIFESTYLE AGGRESSIVE PORTFOLIO C	0.00	6.20	0.00	6.20	0.0	0.00
01/17/2017	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT A	0.00	4.79	0.00	4.79	0.0	0.00
01/17/2017	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT B	0.00	2.28	0.00	2.28	0.0	0.00
01/17/2017	OLSON, HARLAN	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	2.76	0.00	2.76	0.0	0.00
01/17/2017	HERMEL, ROBERT	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	4.30	0.00	4.30	0.0	0.00
01/17/2017	SCHWARTZ, TRAVIS	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	2.92	0.00	2.92	0.0	0.00
01/17/2017	WALLEM, HARRY	JH NEW OPPORTUNITIES A	0.00	2.03	0.00	2.03	0.0	0.00
01/17/2017	BLOCK, EUGENE	JH NEW OPPORTUNITIES A	0.00	0.60	0.00	0.60	0.0	0.00
01/17/2017	GROMMERSCH, STACI	JH NEW OPPORTUNITIES A	0.00	0.06	0.00	0.06	0.0	0.00
01/17/2017	BLOCK, EUGENE	JH NEW OPPORTUNITIES C	0.00	1.29	0.00	1.29	0.0	0.00
01/17/2017	ROBERTS, MICHELLE	JH SMALL COMPANY FUND A	0.00	0.07	0.00	0.07	0.0	0.00
01/17/2017	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH A	0.00	0.28	0.00	0.28	0.0	0.00
01/17/2017	BLOCK, EUGENE	JH STRATEGIC GROWTH A	0.00	2.11	0.00	2.11	0.0	0.00
01/25/2017	STEFFL, JOSEPH	JH STRATEGIC GROWTH A	-500.00	0.00	0.00	0.00	0.0	0.00
01/26/2017	STEFFL, CHRISTINE	JH STRATEGIC GROWTH A	-500.00	0.00	0.00	0.00	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/17/2017	BLOCK, EUGENE	JH STRATEGIC GROWTH C	0.00	1.47	0.00	1.47	0.0	0.00
01/17/2017	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH C	0.00	1.37	0.00	1.37	0.0	0.00
01/17/2017	WALLEM, HARRY	JH STRATEGIC INCOME A	0.00	2.57	0.00	2.57	0.0	0.00
01/17/2017	BLOCK, EUGENE	JH STRATEGIC INCOME A	0.00	1.18	0.00	1.18	0.0	0.00
01/17/2017	BLOCK, EUGENE	JH STRATEGIC INCOME C	0.00	1.28	0.00	1.28	0.0	0.00
01/17/2017	ROBERTS, MICHELLE	JH STRATEGIC INCOME C	0.00	0.82	0.00	0.82	0.0	0.00
01/17/2017	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	0.00	4.93	0.00	4.93	0.0	0.00
01/17/2017	WALLEM, HARRY	JN DISCIPLINED VALUE INT'L A	0.00	1.58	0.00	1.58	0.0	0.00
01/17/2017	BLOCK, EUGENE	JN DISCIPLINED VALUE INT'L A	0.00	1.76	0.00	1.76	0.0	0.00
01/17/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	0.00	4.90	0.00	4.90	0.0	0.00
01/17/2017	ROBERTS, MICHELLE	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	0.11	0.00	0.11	0.0	0.00
01/17/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	4.24	0.00	4.24	0.0	0.00
01/17/2017	HENZE, DUANE	JOHN HANCOCK LIFESTYLE AGGR PORTFOL	0.00	0.02	0.00	0.02	0.0	0.00
01/17/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	0.00	3.50	0.00	3.50	0.0	0.00
01/04/2017	ESLINGER, JEREMY	PIIONEER INDEPENDENCE A	0.00	2.86	0.00	2.86	0.0	0.00
01/17/2017	ZIEGLER, KRISTINE	PUTNAM ASSET ALLOC GROWTH A	50.00	2.50	12.00	-9.50	0.0	0.00
01/17/2017	FLO, PAUL	PUTNAM CAPITAL SPECTRUM C	150.00	1.50	12.00	-10.50	0.0	0.00
01/17/2017	FLO, PAUL	PUTNAM EQUITY SPECTRUM C	150.00	1.50	12.00	-10.50	0.0	0.00
01/17/2017	SIEBERG, KYLE	PUTNAM EQUITY SPECTRUM C	100.00	1.00	12.00	-11.00	0.0	0.00
01/17/2017	PROKOP, MATTHEW	TRANSAMERICA AA GROWTH A	588.09	27.93	0.00	27.93	0.0	0.00
01/09/2017	SIEBERG, KYLE	TRANSAMERICA AA GROWTH C	19.94	0.20	0.00	0.20	0.0	0.00
01/09/2017	SIEBERG, KYLE	TRANSAMERICA AA GROWTH C	19.94	0.20	0.00	0.20	0.0	0.00
01/09/2017	EARLS, RUTH	TRANSAMERICA AA GROWTH C	42.18	0.42	0.00	0.42	0.0	0.00
01/09/2017	EARLS, RUTH	TRANSAMERICA AA GROWTH C	42.18	0.42	0.00	0.42	0.0	0.00
01/09/2017	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	76.53	0.77	0.00	0.77	0.0	0.00
01/09/2017	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	76.53	0.77	0.00	0.77	0.0	0.00
01/10/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.00
01/10/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.00
01/10/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.00
01/10/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.00
01/10/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	53.59	0.54	0.00	0.54	0.0	0.00
01/10/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	53.59	0.54	0.00	0.54	0.0	0.00
01/12/2017	TRANS MIN ACT - MN, UNIF	TRANSAMERICA AA GROWTH C	1,000.00	10.00	0.00	10.00	0.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/17/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	200.00	2.00	0.00	2.00	0.0	0.00
01/17/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	200.00	2.00	0.00	2.00	0.0	0.00
01/17/2017	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	0.0	0.00
01/09/2017	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	659.14	6.59	0.00	6.59	0.0	0.00
01/09/2017	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	219.72	2.20	0.00	2.20	0.0	0.00
01/09/2017	ROELOFS, JODI	TRANSAMERICA AA MOD GROWTH C	587.36	5.87	0.00	5.87	0.0	0.00
01/09/2017	ROELOFS, JODI	TRANSAMERICA AA MOD GROWTH C	19.58	0.20	0.00	0.20	0.0	0.00
01/09/2017	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	64.27	0.64	0.00	0.64	0.0	0.00
01/09/2017	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	64.27	0.64	0.00	0.64	0.0	0.00
01/13/2017	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	350.00	3.50	0.00	3.50	0.0	0.00
01/13/2017	ESLINGER, MADELINE	TRANSAMERICA AA MOD GROWTH C	350.00	3.50	0.00	3.50	0.0	0.00
01/13/2017	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	350.00	3.50	0.00	3.50	0.0	0.00
01/17/2017	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	100.00	1.00	0.00	1.00	0.0	0.00
01/17/2017	ESLINGER, MADELINE	TRANSAMERICA AA MOD GROWTH C	200.00	2.00	0.00	2.00	0.0	0.00
01/17/2017	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	100.00	1.00	0.00	1.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$5,099.43	\$161.94	\$48.00	\$113.94		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/14/2016	ROY, DEBRA	JNL PERSPECTIVE II 04/14	-143,237.05	-7,878.04	0.00	-7,878.04	0.0	0.00
11/14/2016	ROY, DEBRA	JNL PERSPECTIVE II 04/14	143,237.05	2,864.74	0.00	2,864.74	0.0	0.00
12/16/2016	VAUBEL, TIMOTHY	JNL PERSPECTIVE II 04/14	-40,000.00	-2,200.00	0.00	-2,200.00	0.0	0.00
12/16/2016	VAUBEL, TIMOTHY	JNL PERSPECTIVE II 04/14	40,000.00	800.00	0.00	800.00	0.0	0.00
12/27/2016	WATTS, BAMBI	JNL PERSPECTIVE II 04/14	-4,457.67	-245.17	0.00	-245.17	0.0	0.00
12/27/2016	WATTS, BAMBI	JNL PERSPECTIVE II 04/14	4,457.67	89.15	0.00	89.15	0.0	0.00
01/09/2017	WATTS, BAMBI	JNL PERSPECTIVE II 04/14	-646.35	-35.55	0.00	-35.55	0.0	0.00
01/09/2017	WATTS, BAMBI	JNL PERSPECTIVE II 04/14	646.35	12.93	0.00	12.93	0.0	0.00
01/03/2017	WOLFE JR, THEODORE	MET LIFE USA SERIES C SHARE	662,159.98	2,069.25	0.00	2,069.25	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$662,159.98	\$-4,522.69	\$0.00	\$-4,522.69		\$0.00
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>								
12/25/2016	LORENTZ, ANDREW	METLIFE LIFE INS	0.00	280.00	0.00	280.00	0.0	0.00
* LIFE INSURANCE SUBTOTAL *			\$0.00	\$280.00	\$0.00	\$280.00		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$667,259.41	\$-4,080.75	\$48.00	\$-4,128.75		\$0.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$-1,290.60
Year-to-date Earnings	\$0.00