

All Companies
STATEMENT
 August 20, 2018

ED MCCLOUD-LPCM

BROKER #: 3151
 BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for ED MCCLOUD-LPCM

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | POSTAGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|---------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|---------|-------------------|------|----------------------|

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

| | | | | | | | | | | |
|-----------------------------------|----------------|-------------------------------------|---|-------------------|----------------|---------------|---------------|----------------|-----|---------------|
| 06/29/2018 #000000001170861 | FARLER, | FRANKLIN 628380883 | B | 360.29 | 3.60 | 0.00 | 0.00 | 3.60 | 0.0 | 0.00 |
| 06/29/2018 #000000000369443 | FARLER, | FRANKLIN INVS SECS TR TOTAL RETURN | B | 360.30 | 3.60 | 0.00 | 0.00 | 3.60 | 0.0 | 0.00 |
| 06/29/2018 #00100010737 | FARLER, JUDITH | OPPENHEIMER 68385D202 | B | 360.29 | 3.60 | 0.00 | 0.00 | 3.60 | 0.0 | 0.00 |
| 06/29/2018 #02381186251 | FARLER, JUDITH | OPPENHEIMER FLEXIBLE STRATEGIES FUN | B | 360.29 | 3.60 | 0.00 | 0.00 | 3.60 | 0.0 | 0.00 |
| 07/05/2018 #000000006817263 | BOYKI | FRANKLIN032 | B | 50.00 | 0.50 | 0.00 | 0.00 | 0.50 | 0.0 | 0.00 |
| 07/09/2018 #00074284781 | GAY, LEO | BALANCED PORTFOLIO-A | B | 950.00 | 47.50 | 0.00 | 0.00 | 47.50 | 0.0 | 0.00 |
| 07/16/2018 #000000001170861 | FARLER, | FRANKLIN 628380883 | B | 360.29 | 3.60 | 0.00 | 0.00 | 3.60 | 0.0 | 0.00 |
| 07/16/2018 #000000000369443 | FARLER, | FRANKLIN INVS SECS TR TOTAL RETURN | B | 360.30 | 3.60 | 0.00 | 0.00 | 3.60 | 0.0 | 0.00 |
| 07/16/2018 #00088217181 | ALVAREZ, RU | GROWTH PORTFOLIO C | B | 200.00 | 2.00 | 0.00 | 0.00 | 2.00 | 0.0 | 0.00 |
| 07/27/2018 #04000412108 | MARSH | AMERICAN BALANCED FUND-529C | B | 100.00 | 1.00 | 0.00 | 0.00 | 1.00 | 0.0 | 0.00 |
| 07/27/2018 #00089192044 | MARSH | BALANCED PORTFOLIO-529C | B | 100.00 | 1.00 | 0.00 | 0.00 | 1.00 | 0.0 | 0.00 |
| 07/27/2018 #00089610172 | MARSH | BALANCED PORTFOLIO-529C | B | 100.00 | 1.00 | 0.00 | 0.00 | 1.00 | 0.0 | 0.00 |
| 07/27/2018 #00089192056 | MARSH | BALANCED PORTFOLIO-529C | B | 100.00 | 1.00 | 0.00 | 0.00 | 1.00 | 0.0 | 0.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | | <u>\$3,761.76</u> | <u>\$75.60</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$75.60</u> | | <u>\$0.00</u> |
| *** BROKER TRANSACTIONS TOTAL *** | | | | <u>\$3,761.76</u> | <u>\$75.60</u> | <u>\$0.00</u> | <u>\$0.00</u> | <u>\$75.60</u> | | <u>\$0.00</u> |

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|---------------------|---------------|
| Check Amount | \$0.00 |
|---------------------|---------------|