

COMMISSION STATEMENT

November 30, 2017

WILLIAM SAAS

32 William Street

Wallingford, CT 06492

BROKER #: 91

BRANCH: HOME OFFICE

| COMMISSION | STATEMENT | IOL | MILTITAM | SAAS |
|------------|-----------|-----------|----------|------|
| | | | | |
| | | TATISTICS | | |

| | | INVESTMENT | GROSS | CLEARING | NET | | BROKER |
|---------------------------------|-------------------------------------|------------|------------|----------|------------|------|------------|
| TRADE DATE CLIENT | INVESTMENT B/S | AMOUNT | COMMISSION | CHARGE | COMMISSION | RATE | COMMISSION |
| | | | | | | | |
| | BROKER TRANS | ACTIONS | | | | | |
| PRODUCT CATEGORY: MISCELLANEOUS | | | | | | | |
| 11/30/2017 VARIOUS, SAAS | INTEGRITY | 0.00 | 1,795.81 | 0.00 | 1,795.81 | 70.0 | 1,257.07 |
| | * MISCELLANEOUS SUBTOTAL * | \$0.00 | \$1,795.81 | \$0.00 | \$1,795.81 | | \$1,257.07 |
| | *** BROKER TRANSACTIONS TOTAL *** | \$0.00 | \$1,795.81 | \$0.00 | \$1,795.81 | | \$1,257.07 |
| | ADJUSTME | NTS | | | | | |
| 11/30/2017 | E & O INSURANCE: E & O INSURANCE | 0.00 | 0.00 | 0.00 | 0.00 | | -250.00 |
| 11/30/2017 | OTHER: OTHER-WEALTH MANAGEMENT STAT | 0.00 | 0.00 | 0.00 | 0.00 | | -25.00 |
| 11/30/2017 | OTHER: OTHER-FOXTROT | 0.00 | 0.00 | 0.00 | 0.00 | | -20.00 |
| 11/30/2017 | OTHER: OTHER-OFFICE 360 | 0.00 | 0.00 | 0.00 | 0.00 | | -8.00 |
| 11/30/2017 | OTHER: OTHER-SIPC AND FINRA EXPENSE | 0.00 | 0.00 | 0.00 | 0.00 | | -423.00 |
| | *** ADJUSTMENTS TOTAL *** | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-726.00 |

BROKER COMMISSION TOTALS

| Broker Transactions | \$1,257.07 |
|-----------------------|------------|
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-726.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |
| | |

| Check Amount | \$531.07 |
|-----------------------|-------------|
| Year-to-date Earnings | \$39,087.76 |