

COMMISSION STATEMENT

January 15, 2018

TRENT SOLOMON-D357

BROKER #: D357

BRANCH: DMN

, TX

COMMISSION STATEMENT for TRENT SOLOMON-D357

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/15/2017 (None)	SOLOMON, TRENT	MF NEST ADVISOR	B	0.00	1.00	0.00	1.00	75.0	0.75
01/02/2018 000000007007587	JANZA H COAR	MF FRANKLIN MODERATE ALLOCATION	B	200.00	10.00	0.00	10.00	75.0	7.50
01/02/2018 000000007007593	HARRIS,	MF FRK MOD ALC NEWB-8 YR 529 PORT	B	200.00	10.00	0.00	10.00	75.0	7.50
01/05/2018 000001978958815	WILLIAMS,	MF TEMPLETON GROWTH FUND CLASS A	B	150.00	5.63	0.00	5.63	75.0	4.22
12/26/2017 000001980980500	WILLIAMS JR,	MF TEMPLETON GROWTH FUND CLASS A	B	100.00	3.75	0.00	3.75	75.0	2.81
12/26/2017 00087382691	BEACHAM,	MF AMERICAN BALANCED FUND-A	B	6.00	0.12	0.00	0.12	75.0	0.09
12/26/2017 00087382691	BEACHAM,	MF AMERICAN BALANCED FUND-A	B	6.00	0.12	0.00	0.12	75.0	0.09
12/26/2017 00087382691	BEACHAM,	MF FUNDAMENTAL INVESTORS-A	B	6.00	0.12	0.00	0.12	75.0	0.09
12/26/2017 00087382691	BEACHAM,	MF FUNDAMENTAL INVESTORS-A	B	6.00	0.12	0.00	0.12	75.0	0.09
12/26/2017 00087382691	BEACHAM,	MF THE GROWTH FUND OF AMERICA-A	B	6.00	0.12	0.00	0.12	75.0	0.09
12/26/2017 00087382691	BEACHAM,	MF THE GROWTH FUND OF AMERICA-A	B	6.00	0.12	0.00	0.12	75.0	0.09
12/26/2017 00087382691	BEACHAM,	MF U.S. GOVERNMENT SECURITIES FUND	B	6.00	0.12	0.00	0.12	75.0	0.09
12/26/2017 00087382691	BEACHAM,	MF U.S. GOVERNMENT SECURITIES FUND	B	6.00	0.12	0.00	0.12	75.0	0.09
12/26/2017 00087382691	BEACHAM,	MF WASHINGTON MUTUAL INVESTORS	B	6.00	0.12	0.00	0.12	75.0	0.09
12/26/2017 00087382691	BEACHAM,	MF WASHINGTON MUTUAL INVESTORS	B	6.00	0.12	0.00	0.12	75.0	0.09
12/26/2017 00087382781	ROSEN, SHERRY	MF AMERICAN BALANCED FUND-A	B	4.84	0.10	0.00	0.10	75.0	0.08
12/26/2017 00087382781	ROSEN, SHERRY	MF AMERICAN BALANCED FUND-A	B	4.84	0.10	0.00	0.10	75.0	0.08
12/26/2017 00087382781	ROSEN, SHERRY	MF FUNDAMENTAL INVESTORS-A	B	4.84	0.10	0.00	0.10	75.0	0.08
12/26/2017 00087382781	ROSEN, SHERRY	MF FUNDAMENTAL INVESTORS-A	B	4.84	0.10	0.00	0.10	75.0	0.08
12/26/2017 00087382781	ROSEN, SHERRY	MF THE GROWTH FUND OF AMERICA-A	B	4.84	0.10	0.00	0.10	75.0	0.08
12/26/2017 00087382781	ROSEN, SHERRY	MF THE GROWTH FUND OF AMERICA-A	B	4.84	0.10	0.00	0.10	75.0	0.08
12/26/2017 00087382781	ROSEN, SHERRY	MF U.S. GOVERNMENT SECURITIES FUND	B	4.86	0.10	0.00	0.10	75.0	0.08
12/26/2017 00087382781	ROSEN, SHERRY	MF U.S. GOVERNMENT SECURITIES FUND	B	4.86	0.10	0.00	0.10	75.0	0.08
12/26/2017 00087382781	ROSEN, SHERRY	MF WASHINGTON MUTUAL INVESTORS	B	4.84	0.10	0.00	0.10	75.0	0.08
12/26/2017 00087382781	ROSEN, SHERRY	MF WASHINGTON MUTUAL INVESTORS	B	4.84	0.10	0.00	0.10	75.0	0.08
12/26/2017 00087382824	CAMMACK, NICOLE	MF AMERICAN BALANCED FUND-A	B	27.36	0.55	0.00	0.55	75.0	0.41
12/26/2017 00087382824	CAMMACK, NICOLE	MF AMERICAN BALANCED FUND-A	B	27.36	0.55	0.00	0.55	75.0	0.41
12/26/2017 00087382824	CAMMACK, NICOLE	MF FUNDAMENTAL INVESTORS-A	B	27.36	0.55	0.00	0.55	75.0	0.41
12/26/2017 00087382824	CAMMACK, NICOLE	MF FUNDAMENTAL INVESTORS-A	B	27.36	0.55	0.00	0.55	75.0	0.41
12/26/2017 00087382824	CAMMACK, NICOLE	MF THE GROWTH FUND OF AMERICA-A	B	27.36	0.55	0.00	0.55	75.0	0.41
12/26/2017 00087382824	CAMMACK, NICOLE	MF THE GROWTH FUND OF AMERICA-A	B	27.36	0.55	0.00	0.55	75.0	0.41

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					INVESTMENT	GROSS	CLEARING	NET	BROKER		
TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION		
12/26/2017	00087382824	CAMMACK, NICOLE	MF	U.S. GOVERNMENT SECURITIES FUND	B	27.36	0.55	0.00	0.55	75.0	0.41
12/26/2017	00087382824	CAMMACK, NICOLE	MF	U.S. GOVERNMENT SECURITIES FUND	B	27.36	0.55	0.00	0.55	75.0	0.41
12/26/2017	00087382824	CAMMACK, NICOLE	MF	WASHINGTON MUTUAL INVESTORS	B	27.36	0.55	0.00	0.55	75.0	0.41
12/26/2017	00087382824	CAMMACK, NICOLE	MF	WASHINGTON MUTUAL INVESTORS	B	27.36	0.55	0.00	0.55	75.0	0.41
12/26/2017	00087383086	HORNE, JACINDA	MF	AMERICAN BALANCED FUND-A	B	7.80	0.16	0.00	0.16	75.0	0.12
12/26/2017	00087383086	HORNE, JACINDA	MF	AMERICAN BALANCED FUND-A	B	7.80	0.16	0.00	0.16	75.0	0.12
12/26/2017	00087383086	HORNE, JACINDA	MF	FUNDAMENTAL INVESTORS-A	B	7.80	0.16	0.00	0.16	75.0	0.12
12/26/2017	00087383086	HORNE, JACINDA	MF	FUNDAMENTAL INVESTORS-A	B	7.80	0.16	0.00	0.16	75.0	0.12
12/26/2017	00087383086	HORNE, JACINDA	MF	THE GROWTH FUND OF AMERICA-A	B	7.80	0.16	0.00	0.16	75.0	0.12
12/26/2017	00087383086	HORNE, JACINDA	MF	THE GROWTH FUND OF AMERICA-A	B	7.80	0.16	0.00	0.16	75.0	0.12
12/26/2017	00087383086	HORNE, JACINDA	MF	U.S. GOVERNMENT SECURITIES FUND	B	7.80	0.16	0.00	0.16	75.0	0.12
12/26/2017	00087383086	HORNE, JACINDA	MF	U.S. GOVERNMENT SECURITIES FUND	B	7.80	0.16	0.00	0.16	75.0	0.12
12/26/2017	00087383086	HORNE, JACINDA	MF	WASHINGTON MUTUAL INVESTORS	B	7.80	0.16	0.00	0.16	75.0	0.12
12/26/2017	00087383086	HORNE, JACINDA	MF	WASHINGTON MUTUAL INVESTORS	B	7.80	0.16	0.00	0.16	75.0	0.12
12/26/2017	00087387620	MCDONALD, BELINDA	MF	AMERICAN BALANCED FUND-A	B	8.61	0.17	0.00	0.17	75.0	0.13
12/26/2017	00087387620	MCDONALD, BELINDA	MF	AMERICAN BALANCED FUND-A	B	18.00	0.36	0.00	0.36	75.0	0.27
12/26/2017	00087387620	MCDONALD, BELINDA	MF	FUNDAMENTAL INVESTORS-A	B	8.60	0.17	0.00	0.17	75.0	0.13
12/26/2017	00087387620	MCDONALD, BELINDA	MF	FUNDAMENTAL INVESTORS-A	B	18.00	0.36	0.00	0.36	75.0	0.27
12/26/2017	00087387620	MCDONALD, BELINDA	MF	THE GROWTH FUND OF AMERICA-A	B	8.60	0.17	0.00	0.17	75.0	0.13
12/26/2017	00087387620	MCDONALD, BELINDA	MF	THE GROWTH FUND OF AMERICA-A	B	18.00	0.36	0.00	0.36	75.0	0.27
12/26/2017	00087387620	MCDONALD, BELINDA	MF	U.S. GOVERNMENT SECURITIES FUND	B	8.60	0.17	0.00	0.17	75.0	0.13
12/26/2017	00087387620	MCDONALD, BELINDA	MF	U.S. GOVERNMENT SECURITIES FUND	B	18.00	0.36	0.00	0.36	75.0	0.27
12/26/2017	00087387620	MCDONALD, BELINDA	MF	WASHINGTON MUTUAL INVESTORS	B	8.60	0.17	0.00	0.17	75.0	0.13
12/26/2017	00087387620	MCDONALD, BELINDA	MF	WASHINGTON MUTUAL INVESTORS	B	18.00	0.36	0.00	0.36	75.0	0.27
12/26/2017	00087405098	ADCOCK, TONYA	MF	AMERICAN BALANCED FUND-A	B	23.99	0.48	0.00	0.48	75.0	0.36
12/26/2017	00087405098	ADCOCK, TONYA	MF	AMERICAN BALANCED FUND-A	B	24.01	0.48	0.00	0.48	75.0	0.36
12/26/2017	00087405098	ADCOCK, TONYA	MF	FUNDAMENTAL INVESTORS-A	B	23.99	0.48	0.00	0.48	75.0	0.36
12/26/2017	00087405098	ADCOCK, TONYA	MF	FUNDAMENTAL INVESTORS-A	B	23.99	0.48	0.00	0.48	75.0	0.36
12/26/2017	00087405098	ADCOCK, TONYA	MF	THE GROWTH FUND OF AMERICA-A	B	24.01	0.48	0.00	0.48	75.0	0.36
12/26/2017	00087405098	ADCOCK, TONYA	MF	THE GROWTH FUND OF AMERICA-A	B	23.99	0.48	0.00	0.48	75.0	0.36
12/26/2017	00087405098	ADCOCK, TONYA	MF	U.S. GOVERNMENT SECURITIES FUND	B	23.99	0.48	0.00	0.48	75.0	0.36
12/26/2017	00087405098	ADCOCK, TONYA	MF	U.S. GOVERNMENT SECURITIES FUND	B	23.99	0.48	0.00	0.48	75.0	0.36
12/26/2017	00087405098	ADCOCK, TONYA	MF	WASHINGTON MUTUAL INVESTORS	B	23.99	0.48	0.00	0.48	75.0	0.36
12/26/2017	00087405098	ADCOCK, TONYA	MF	WASHINGTON MUTUAL INVESTORS	B	23.99	0.48	0.00	0.48	75.0	0.36
01/05/2018	00087618649	WEST, BARBARA	MF	CAPITAL INCOME BUILDER-A	B	125.00	6.25	0.00	6.25	75.0	4.69
01/05/2018	00087618649	WEST, BARBARA	MF	SMALLCAP WORLD FUND-A	B	125.00	6.25	0.00	6.25	75.0	4.69
01/02/2018	00087763050	JANZA H COAR	MF	FUNDAMENTAL INVESTORS-A	B	200.00	10.00	0.00	10.00	75.0	7.50
12/26/2017	00088380175	NOELLE K LYLES,	MF	AMERICAN MUTUAL FUND-A	B	16.52	0.33	0.00	0.33	75.0	0.25
12/26/2017	00088380175	NOELLE K LYLES,	MF	AMERICAN MUTUAL FUND-A	B	16.52	0.33	0.00	0.33	75.0	0.25
12/26/2017	00088380175	NOELLE K LYLES,	MF	CAPITAL WORLD GROWTH AND INCOME	B	16.51	0.33	0.00	0.33	75.0	0.25
12/26/2017	00088380175	NOELLE K LYLES,	MF	CAPITAL WORLD GROWTH AND INCOME	B	16.51	0.33	0.00	0.33	75.0	0.25
12/26/2017	04000160165	RICKS, LINDA	MF	AMERICAN MUTUAL FUND-A	B	12.00	0.24	0.00	0.24	75.0	0.18
12/26/2017	04000160165	RICKS, LINDA	MF	AMERICAN MUTUAL FUND-A	B	12.00	0.24	0.00	0.24	75.0	0.18
12/26/2017	04000160165	RICKS, LINDA	MF	CAPITAL WORLD GROWTH AND INCOME	B	12.00	0.24	0.00	0.24	75.0	0.18

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
12/26/2017	04000160165	RICKS, LINDA	MF	CAPITAL WORLD GROWTH AND INCOME	B	12.00	0.24	0.00	0.24	75.0	0.18
12/26/2017	04000160166	MASON, JESSI	MF	AMERICAN MUTUAL FUND-A	B	13.20	0.26	0.00	0.26	75.0	0.20
12/26/2017	04000160166	MASON, JESSI	MF	AMERICAN MUTUAL FUND-A	B	13.20	0.26	0.00	0.26	75.0	0.20
12/26/2017	04000160166	MASON, JESSI	MF	CAPITAL INCOME BUILDER-A	B	13.20	0.26	0.00	0.26	75.0	0.20
12/26/2017	04000160166	MASON, JESSI	MF	CAPITAL INCOME BUILDER-A	B	13.20	0.26	0.00	0.26	75.0	0.20
12/29/2017	04000496907	HARPER, TAMMY	MF	AMERICAN BALANCED FUND-A	B	5,368.07	201.30	0.00	201.30	75.0	150.98
12/29/2017	04000496907	HARPER, TAMMY	MF	CAPITAL WORLD GROWTH AND INCOME	B	5,368.08	201.30	0.00	201.30	75.0	150.98
12/29/2017	04000496907	HARPER, TAMMY	MF	SMALLCAP WORLD FUND-A	B	5,368.08	201.30	0.00	201.30	75.0	150.98
12/29/2017	04000496907	HARPER, TAMMY	MF	U.S. GOVERNMENT SECURITIES FUND	B	5,368.08	161.04	0.00	161.04	75.0	120.78
* MUTUAL FUNDS SUBTOTAL *						\$23,572.16	\$837.89	\$0.00	\$837.89		\$628.50

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/15/2018	FEE COUNT - 1	0.00	0.04	0.00	0.04	75.0	0.03
01/15/2018	FEE COUNT - 2	0.00	10.07	0.00	10.07	75.0	7.55
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$10.11	\$0.00	\$10.11		\$7.58

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

01/15/2018	FEE COUNT - 3	0.00	5.67	0.00	5.67	75.0	4.25
	* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$5.67	\$0.00	\$5.67		\$4.25
	*** DIRECT TRANSACTIONS TOTAL ***	\$23,572.16	\$853.67	\$0.00	\$853.67		\$640.33

BROKER COMMISSION TOTALS

Broker Transactions	\$640.33
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$640.33
Year-to-date Commissions	\$844.30