



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**September 15, 2017**

09/13/2017  
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WENDY GOODING  
4139 S. Scatterfield Rd.  
  
Anderson, IN 46013

BROKER #: G121 Terminated 01/12/2016  
BRANCH: WENDY GOODING

| DATE                                                    | CLIENT         | INVESTMENT                         | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---------------------------------------------------------|----------------|------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>                       |                |                                    |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b> |                |                                    |                      |                     |                    |                   |      |                      |
| 08/15/2017                                              | SCOTT, NATALIE | JP MORGAN INVESTOR GROWTH & INCOME | 0.00                 | 9.79                | 0.00               | 9.79              | 0.0  | 0.00                 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL *                    |                |                                    | \$0.00               | \$9.79              | \$0.00             | \$9.79            |      | \$0.00               |
| *** BROKER TRANSACTIONS TOTAL ***                       |                |                                    | \$0.00               | \$9.79              | \$0.00             | \$9.79            |      | \$0.00               |

| <b><u>BROKER COMMISSION TOTALS</u></b> |        |
|----------------------------------------|--------|
| Broker Transactions                    | \$0.00 |
| Split Transactions                     | \$0.00 |
| Override Transactions                  | \$0.00 |
| Adjustments                            | \$0.00 |
| Payroll Draw                           | \$0.00 |
| Base Salary                            | \$0.00 |
| Prior Period Balance                   | \$0.00 |
| FINRA Assessment                       | \$0.00 |
| SIPC Assessment                        | \$0.00 |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                       |         |
|-----------------------|---------|
| Balance Forward       | \$0.00  |
| Year-to-date Gross    | \$54.27 |
| Year-to-date Earnings | \$0.00  |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed