

COMMISSION STATEMENT

August 31, 2017

ANDREW LINDNER
37 GOLDENEYE LANE

BROKER #: 4
BRANCH: HOME OFFICE

PORT MONMOUTH, NJ 07758

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

08/01/2017	QGN005274	OLSTEIN ALL CAP		TWENTY FIRST CENTURY FOX INC CLASS S	-145,000.00	150.00	6.00	144.00	50.0	72.00
08/03/2017	QGN005274	OLSTEIN ALL CAP		DELPHI AUTOMOTIVE PLC SHS ISIN#JE00 S	-560,349.60	180.00	6.00	174.00	50.0	87.00
08/03/2017	QGN005274	OLSTEIN ALL CAP		SERVICEMASTER GLOBAL HLDGS INC COM S	-221,310.18	147.93	6.00	141.93	50.0	70.97
08/03/2017	QGN005274	OLSTEIN ALL CAP		VISA INC CL A COMMON STOCK S	-303,030.60	90.00	6.00	84.00	50.0	42.00
08/04/2017	QGN005274	OLSTEIN ALL CAP		MASTERCARD INCORPORATED S	-18,850.00	4.35	6.00	-1.65	50.0	-1.65
08/07/2017	QGN005274	OLSTEIN ALL CAP		COACH INC EX-DIV DATE 10/02/03 RECO S	-474,524.00	300.00	6.00	294.00	50.0	147.00
08/08/2017	QGN005274	OLSTEIN ALL CAP		COACH INC EX-DIV DATE 10/02/03 RECO S	-481,338.00	300.00	6.00	294.00	50.0	147.00
08/09/2017	QGN005274	OLSTEIN ALL CAP		UNIVERSAL HEALTH SVCS INC CL B B	213,000.00	60.00	6.00	54.00	50.0	27.00
08/09/2017	QGN005274	OLSTEIN ALL CAP		ZIMMER BIOMET HLDGS INC COM B	567,222.50	150.00	6.00	144.00	50.0	72.00
08/10/2017	QGN005274	OLSTEIN ALL CAP		DISCOVERY COMMUNICATIONS INC COM SE B	109,559.50	150.00	6.00	144.00	50.0	72.00
08/10/2017	QGN005274	OLSTEIN ALL CAP		PATTERSON COS INC COM B	89,508.24	72.00	6.00	66.00	50.0	33.00
08/11/2017	QGN005274	OLSTEIN ALL CAP		GOODYEAR TIRE & RUBBER CO B	151,223.50	150.00	6.00	144.00	50.0	72.00
08/14/2017	QGN005274	OLSTEIN ALL CAP		COACH INC EX-DIV DATE 10/02/03 RECO S	-144,027.00	90.00	6.00	84.00	50.0	42.00
08/15/2017	QGN005274	OLSTEIN ALL CAP		AMERICAN EXPRESS COMPANY (N.Y) S	-8,690.00	3.00	6.00	-3.00	50.0	-3.00
08/15/2017	QGN005274	OLSTEIN ALL CAP		GOODYEAR TIRE & RUBBER CO B	151,223.00	150.00	6.00	144.00	50.0	72.00
08/17/2017	QGN005274	OLSTEIN ALL CAP		GOODYEAR TIRE & RUBBER CO B	1,199,796.00	1,200.00	6.00	1,194.00	50.0	597.00
08/18/2017	QGN005274	OLSTEIN ALL CAP		PATTERSON COS INC COM B	182,500.00	150.00	6.00	144.00	50.0	72.00
08/21/2017	QGN005274	OLSTEIN ALL CAP		INTERNATIONAL BUSINESS MACHINES COR B	278,444.60	60.00	6.00	54.00	50.0	27.00
08/22/2017	QGN005274	OLSTEIN ALL CAP		MATTEL INCORPORATED B	162,370.00	300.00	6.00	294.00	50.0	147.00
08/24/2017	QGN005274	OLSTEIN ALL CAP		DELPHI AUTOMOTIVE PLC SHS ISIN#JE00 S	-190,000.00	60.00	6.00	54.00	50.0	27.00
08/03/2017	QGN005282	OPPORTUNITIES,		SERVICEMASTER GLOBAL HLDGS INC COM S	-148,108.62	99.00	6.00	93.00	50.0	46.50
08/09/2017	QGN005282	OPPORTUNITIES,		ZIMMER BIOMET HLDGS INC COM B	136,133.40	36.00	6.00	30.00	50.0	15.00
08/10/2017	QGN005282	OPPORTUNITIES,		PATTERSON COS INC COM B	114,123.01	91.80	6.00	85.80	50.0	42.90
08/10/2017	QGN005282	OPPORTUNITIES,		XPERI CORP COM B	66,690.00	78.00	6.00	72.00	50.0	36.00
08/18/2017	QGN005282	OPPORTUNITIES,		PATTERSON COS INC COM B	36,500.00	30.00	6.00	24.00	50.0	12.00
08/22/2017	QGN005282	OPPORTUNITIES,		MATTEL INCORPORATED B	16,237.00	30.00	6.00	24.00	50.0	12.00
08/03/2017	QGN005308	DIOCESE OF ARLINGTON		SERVICEMASTER GLOBAL HLDGS INC COM S	-8,976.28	6.00	6.00	0.00	50.0	0.00
08/09/2017	QGN005308	DIOCESE OF ARLINGTON		ZIMMER BIOMET HLDGS INC COM B	13,613.34	3.60	6.00	-2.40	50.0	-2.40
08/10/2017	QGN005308	DIOCESE OF ARLINGTON		PATTERSON COS INC COM B	3,729.51	3.00	6.00	-3.00	50.0	-3.00
08/10/2017	QGN005308	DIOCESE OF ARLINGTON		XPERI CORP COM B	2,565.00	3.00	6.00	-3.00	50.0	-3.00
08/18/2017	QGN005308	DIOCESE OF ARLINGTON		PATTERSON COS INC COM B	2,190.00	1.80	6.00	-4.20	50.0	-4.20

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/22/2017	QGN005308	DIOCESE OF ARLINGTON MATTEL INCORPORATED	B	974.22	1.80	6.00	-4.20	50.0	-4.20
* STOCKS SUBTOTAL *				\$793,398.54	\$4,151.28	\$192.00	\$3,959.28		\$1,968.92
<u>PRODUCT CATEGORY: BONDS</u>									
08/18/2017	QGN001000	WORKERS LOCAL 451	UNITED STATES TREAS BDS 2.500% 02/1 B	23,757.81	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN001026	DEFINED CONTRIBUTION	UNITED STATES TREAS NTS 2.250% 11/3 S	-10,034.38	50.00	7.50	42.50	50.0	21.25
08/11/2017	QGN001059	OF, INTERNATIONAL	UNITED STATES TREAS BILLS 0.000% 12 S	-49,837.08	50.00	7.50	42.50	50.0	21.25
08/11/2017	QGN001059	OF, INTERNATIONAL	UNITED STATES TREAS NTS 0.875% 01/1 S	-99,875.00	50.00	7.50	42.50	50.0	21.25
08/11/2017	QGN001059	OF, INTERNATIONAL	UNITED STATES TREAS NTS 0.750% 02/2 S	-49,882.81	50.00	7.50	42.50	50.0	21.25
08/10/2017	QGN001075	UNION OF OPERATING,	UNITED STATES TREAS NTS 2.000% 11/1 B	98,437.50	50.00	7.50	42.50	50.0	21.25
08/11/2017	QGN001083	TEAMSTERS LOCAL 237	NATIONAL RURAL UTILS COOP FIN CORP S	-18,270.00	50.00	7.50	42.50	50.0	21.25
08/17/2017	QGN001091	STACEY BRAUN	UNITED STATES TREAS BDS 2.500% 02/1 B	47,375.00	50.00	7.50	42.50	50.0	21.25
08/17/2017	QGN001091	STACEY BRAUN	UNITED STATES TREAS BDS 2.500% 02/1 B	-47,375.00	-50.00	-7.50	-42.50	50.0	-21.25 C
08/17/2017	QGN001091	STACEY BRAUN	UNITED STATES TREAS BDS 2.500% 02/1 B	47,375.00	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN001091	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 11/3 S	-25,078.13	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREAS BDS 2.500% 02/1 B	94,000.00	50.00	7.50	42.50	50.0	21.25
08/10/2017	QGN001109	LOCAL 282 WELFARE	UNITED STATES TREAS NTS 2.000% 11/1 B	98,437.50	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN001109	LOCAL 282 WELFARE	BERKSHIRE HATHAWAY FIN CORP SR NT 5 S	-201,410.00	62.50	7.50	55.00	50.0	27.50
08/18/2017	QGN001109	LOCAL 282 WELFARE	BERKSHIRE HATHAWAY FIN CORP SR NT 5 S	-201,410.00	62.50	7.50	55.00	50.0	27.50
08/18/2017	QGN001109	LOCAL 282 WELFARE	BERKSHIRE HATHAWAY FIN CORP SR NT 5 S	201,410.00	-62.50	-7.50	-55.00	50.0	-27.50 C
08/18/2017	QGN001109	LOCAL 282 WELFARE	BERKSHIRE HATHAWAY FIN CORP SR NT 5 S	-201,410.00	62.50	7.50	55.00	50.0	27.50
08/18/2017	QGN001109	LOCAL 282 WELFARE	BERKSHIRE HATHAWAY FIN CORP SR NT 5 S	201,410.00	-62.50	-7.50	-55.00	50.0	-27.50 C
08/18/2017	QGN001109	LOCAL 282 WELFARE	BERKSHIRE HATHAWAY FIN CORP SR NT 5 S	201,410.00	-62.50	-7.50	-55.00	50.0	-27.50 C
08/18/2017	QGN001109	LOCAL 282 WELFARE	BERKSHIRE HATHAWAY FIN CORP SR NT 5 S	-205,410.00	62.50	7.50	55.00	50.0	27.50
08/03/2017	QGN001117	ASSOCIATES, STACEY	UNITED STATES TREAS BDS 2.500% 02/1 B	14,100.00	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN001117	ASSOCIATES, STACEY	UNITED STATES TREAS NTS 2.250% 02/1 B	15,021.09	50.00	7.50	42.50	50.0	21.25
08/10/2017	QGN001158	CPA'S, SCHULTHEIS &	UNITED STATES TREAS NTS 2.250% 11/3 S	-301,031.25	93.75	7.50	86.25	50.0	43.13
08/10/2017	QGN001158	CPA'S, SCHULTHEIS &	UNITED STATES TREAS NTS 2.000% 11/1 B	196,875.00	62.50	7.50	55.00	50.0	27.50
08/18/2017	QGN001158	CPA'S, SCHULTHEIS &	UNITED STATES TREAS NTS 2.250% 11/3 S	-75,234.38	50.00	7.50	42.50	50.0	21.25
08/10/2017	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 2.000% 11/1 B	98,437.50	50.00	7.50	42.50	50.0	21.25
08/17/2017	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 1.500% 01/3 B	148,734.38	50.00	7.50	42.50	50.0	21.25
08/17/2017	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 1.625% 10/3 B	147,515.63	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 1.500% 01/3 B	99,281.25	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 0.750% 12/3 S	-204,679.69	64.06	7.50	56.56	50.0	28.28
08/18/2017	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 1.500% 01/3 B	-99,281.25	-50.00	-7.50	-42.50	50.0	-21.25 C
08/18/2017	QGN001182	LOCAL UNION 43, IBEW	UNITED STATES TREAS NTS 1.500% 01/3 B	99,281.25	50.00	7.50	42.50	50.0	21.25
08/22/2017	QGN001182	LOCAL UNION 43, IBEW	GOLDMAN SACHS GROUP INC SR NT 2.375 S	-100,200.00	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN001216	STACEY BRAUN	GOLDMAN SACHS GROUP INC SR NT 2.375 S	-20,010.40	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN001216	STACEY BRAUN	GOLDMAN SACHS GROUP INC SR NT 2.375 S	20,010.40	-50.00	-7.50	-42.50	50.0	-21.25 C
08/18/2017	QGN001216	STACEY BRAUN	GOLDMAN SACHS GROUP INC SR NT 2.375 S	-20,010.40	50.00	7.50	42.50	50.0	21.25

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
08/18/2017	QGN001356	804 IBT & LOCAL 447,	UNITED STATES TREAS NTS 1.625% 10/3 B	147,703.13	50.00	7.50	42.50	50.0	21.25
08/17/2017	QGN001364	LOCAL 300 CIVIL	UNITED STATES TREAS NTS 1.500% 01/3 B	99,093.75	50.00	7.50	42.50	50.0	21.25
08/17/2017	QGN001364	LOCAL 300 CIVIL	UNITED STATES TREAS NTS 1.500% 01/3 B	-99,093.75	-50.00	-7.50	-42.50	50.0	-21.25 C
08/17/2017	QGN001364	LOCAL 300 CIVIL	UNITED STATES TREAS NTS 1.500% 01/3 B	99,093.75	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN001364	LOCAL 300 CIVIL	UNITED STATES TREAS NTS 1.625% 10/3 B	49,234.38	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN001380	TEAMSTERS LOCAL 445	UNITED STATES TREAS NTS 2.250% 02/1 B	35,049.22	50.00	7.50	42.50	50.0	21.25
08/28/2017	QGN001380	TEAMSTERS LOCAL 445	UNITED STATES TREAS NTS 1.000% 05/3 S	-39,925.00	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN001414	11, TEAMSTERS	UNITED STATES TREAS BDS 2.500% 02/1 B	23,757.81	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN001422	WESTCHESTER MODULAR	UNITED STATES TREASURY NOTE S	-50,609.38	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN001505	OF, CARPENTERS &	UNITED STATES TREAS NTS 2.250% 11/3 S	-100,343.75	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN001505	OF, CARPENTERS &	UNITED STATES TREAS NTS 2.250% 02/1 B	25,035.16	50.00	7.50	42.50	50.0	21.25
08/10/2017	QGN001505	OF, CARPENTERS &	UNITED STATES TREAS NTS 2.250% 11/3 S	-200,687.50	62.50	7.50	55.00	50.0	27.50
08/10/2017	QGN001505	OF, CARPENTERS &	UNITED STATES TREAS NTS 2.000% 11/1 B	98,437.50	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN001554	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 02/1 B	100,140.63	50.00	7.50	42.50	50.0	21.25
08/10/2017	QGN001554	STACEY BRAUN	UNITED STATES TREAS NTS 2.000% 11/1 B	123,085.94	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN001554	STACEY BRAUN	UNITED STATES TREAS BDS 2.500% 02/1 B	190,062.50	62.50	7.50	55.00	50.0	27.50
08/18/2017	QGN001554	STACEY BRAUN	UNITED STATES TREAS BDS 2.500% 02/1 B	-190,062.50	-62.50	-7.50	-55.00	50.0	-27.50 C
08/03/2017	QGN001620	LOCAL, WESTCHESTER	UNITED STATES TREAS NTS 2.250% 02/1 B	20,028.13	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN001695	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 02/1 B	25,035.16	50.00	7.50	42.50	50.0	21.25
08/10/2017	QGN001695	STACEY BRAUN	UNITED STATES TREAS NTS 2.000% 11/1 B	39,387.50	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN001695	STACEY BRAUN	UNITED STATES TREAS BDS 2.500% 02/1 B	38,012.50	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN001695	STACEY BRAUN	UNITED STATES TREAS BDS 2.500% 02/1 B	14,254.69	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN001695	STACEY BRAUN	UNITED STATES TREAS BDS 2.500% 02/1 B	23,757.81	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN001695	STACEY BRAUN	UNITED STATES TREAS BDS 2.500% 02/1 B	9,503.13	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN001695	STACEY BRAUN	UNITED STATES TREAS BDS 2.500% 02/1 B	9,503.13	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN001695	STACEY BRAUN	UNITED STATES TREAS BDS 2.500% 02/1 B	47,515.63	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN001695	STACEY BRAUN	UNITED STATES TREAS BDS 2.500% 02/1 B	23,757.81	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN001695	STACEY BRAUN	UNITED STATES TREAS BDS 2.500% 02/1 B	-38,012.50	-50.00	-7.50	-42.50	50.0	-21.25 C
08/18/2017	QGN001695	STACEY BRAUN	UNITED STATES TREAS BDS 2.500% 02/1 B	-14,254.69	-50.00	-7.50	-42.50	50.0	-21.25 C
08/18/2017	QGN001695	STACEY BRAUN	UNITED STATES TREAS BDS 2.500% 02/1 B	-23,757.81	-50.00	-7.50	-42.50	50.0	-21.25 C
08/18/2017	QGN001695	STACEY BRAUN	UNITED STATES TREAS BDS 2.500% 02/1 B	-9,503.13	-50.00	-7.50	-42.50	50.0	-21.25 C
08/18/2017	QGN001695	STACEY BRAUN	UNITED STATES TREAS BDS 2.500% 02/1 B	-9,503.13	-50.00	-7.50	-42.50	50.0	-21.25 C
08/18/2017	QGN001695	STACEY BRAUN	UNITED STATES TREAS BDS 2.500% 02/1 B	-47,515.63	-50.00	-7.50	-42.50	50.0	-21.25 C
08/18/2017	QGN001695	STACEY BRAUN	UNITED STATES TREAS BDS 2.500% 02/1 B	-23,757.81	-50.00	-7.50	-42.50	50.0	-21.25 C
08/03/2017	QGN001711	OPPENHEIMER & CO	UNITED STATES TREAS NTS 2.250% 02/1 B	50,070.31	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.250% 11/3 S	-135,464.06	50.00	7.50	42.50	50.0	21.25
08/10/2017	QGN001745	RETIREMENT, SOUTHERN	GOLDMAN SACHS GROUP INC SR NT 2.375 S	-360,972.00	112.50	7.50	105.00	50.0	52.50
08/17/2017	QGN001794	SERVICE EMPLOYEES	UNITED STATES TREAS NTS 1.500% 01/3 B	247,812.50	78.13	7.50	70.63	50.0	35.32
08/03/2017	QGN001885	BRAUN ASSOCIATES IN,	UNITED STATES TREAS NTS 2.250% 02/1 B	10,014.06	50.00	7.50	42.50	50.0	21.25
08/10/2017	QGN001885	BRAUN ASSOCIATES IN,	UNITED STATES TREAS BDS 2.500% 02/1 B	33,118.75	50.00	7.50	42.50	50.0	21.25

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
08/18/2017	QGN001901	IBT, LOCAL	UNITED STATES TREAS NTS 1.500% 01/3 B	74,460.94	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN001901	IBT, LOCAL	UNITED STATES TREAS NTS 1.500% 01/3 B	-74,460.94	-50.00	-7.50	-42.50	50.0	-21.25 C
08/18/2017	QGN001901	IBT, LOCAL	UNITED STATES TREAS NTS 1.500% 01/3 B	74,460.94	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN002024	SAVASTA, MR	UNITED STATES TREAS BDS 2.500% 02/1 B	18,800.00	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN002024	SAVASTA, MR	UNITED STATES TREASURY NOTE S	-54,914.06	50.00	7.50	42.50	50.0	21.25
08/10/2017	QGN002024	SAVASTA, MR	UNITED STATES TREAS NTS 0.750% 12/3 S	-24,960.94	50.00	7.50	42.50	50.0	21.25
08/10/2017	QGN002024	SAVASTA, MR	UNITED STATES TREAS NTS 2.000% 11/1 B	24,609.38	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN002164	PENSION FUND LOCAL	UNITED STATES TREAS BDS 2.500% 02/1 B	70,500.00	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN002164	PENSION FUND LOCAL	UNITED STATES TREASURY NOTE S	-45,548.44	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN002164	PENSION FUND LOCAL	UNITED STATES TREAS BDS 2.500% 02/1 B	47,515.63	50.00	7.50	42.50	50.0	21.25
08/17/2017	QGN002180	LOCAL 804 WELFARE	UNITED STATES TREAS BDS 2.500% 02/1 B	142,125.00	50.00	7.50	42.50	50.0	21.25
08/17/2017	QGN002180	LOCAL 804 WELFARE	UNITED STATES TREAS BDS 2.500% 02/1 B	-142,125.00	-50.00	-7.50	-42.50	50.0	-21.25 C
08/17/2017	QGN002180	LOCAL 804 WELFARE	UNITED STATES TREAS BDS 2.500% 02/1 B	142,125.00	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN002180	LOCAL 804 WELFARE	UNITED STATES TREAS NTS 1.625% 10/3 B	295,406.25	93.75	7.50	86.25	50.0	43.13
08/18/2017	QGN002180	LOCAL 804 WELFARE	UNITED STATES TREAS BDS 2.500% 02/1 B	190,062.50	62.50	7.50	55.00	50.0	27.50
08/03/2017	QGN002206	817 AFFILIATED WITH	UNITED STATES TREAS NTS 0.750% 02/2 S	-49,875.00	50.00	7.50	42.50	50.0	21.25
08/10/2017	QGN002206	817 AFFILIATED WITH	UNITED STATES TREAS NTS 2.000% 11/1 B	24,609.38	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN002248	LOCAL 1205 WELFARE	FEDERAL HOME LN MTG CORP REFERENCE S	-49,881.00	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN002248	LOCAL 1205 WELFARE	FEDERAL HOME LN MTG CORP REFERENCE S	49,881.00	-50.00	-7.50	-42.50	50.0	-21.25 C
08/03/2017	QGN002248	LOCAL 1205 WELFARE	NEXTERA ENERGY CAP HLDGS INC GTD DE S	-101,225.00	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN002248	LOCAL 1205 WELFARE	AT&T INC FXD RT NT 2.300% 03/11/19 S	-50,250.00	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN002248	LOCAL 1205 WELFARE	AT&T INC FXD RT NT 2.300% 03/11/19 S	50,250.00	-50.00	-7.50	-42.50	50.0	-21.25 C
08/18/2017	QGN002248	LOCAL 1205 WELFARE	AT&T INC FXD RT NT 2.300% 03/11/19 S	-50,250.00	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 2.750% 02/1 S	-25,523.44	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 1.375% 02/2 S	-75,070.31	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN002271	HEALTH AND WELFARE	UNITED STATES TREAS NTS 2.250% 02/1 B	25,035.16	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN002313	LABORERS LOCAL 235	UNITED STATES TREAS NTS 2.250% 02/1 B	15,021.09	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN002347	ROCHELLE FEDERATION	UNITED STATES TREAS NTS 1.500% 01/3 B	99,281.25	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN002347	ROCHELLE FEDERATION	UNITED STATES TREAS NTS 1.500% 01/3 B	-99,281.25	-50.00	-7.50	-42.50	50.0	-21.25 C
08/18/2017	QGN002347	ROCHELLE FEDERATION	UNITED STATES TREAS NTS 1.500% 01/3 B	99,281.25	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN002479	AND STONE MASONS,	UNITED STATES TREAS BDS 2.500% 02/1 B	47,000.00	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN002560	LOCAL UNION # 177,	UNITED STATES TREAS NTS 1.500% 01/3 B	99,281.25	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN002560	LOCAL UNION # 177,	UNITED STATES TREAS NTS 1.500% 01/3 B	-99,281.25	-50.00	-7.50	-42.50	50.0	-21.25 C
08/18/2017	QGN002560	LOCAL UNION # 177,	UNITED STATES TREAS NTS 1.500% 01/3 B	99,281.25	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN002578	NY LABORERS DIST	UNITED STATES TREAS NTS 0.750% 02/2 S	-19,950.00	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN002594	CARNEY,, MICHAEL	UNITED STATES TREAS NTS 2.250% 02/1 B	20,028.13	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN002917	LOCAL # 1181, CWA	UNITED STATES TREAS NTS 2.250% 11/3 S	-5,017.19	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN003105	YORK STATE PUBLIC	UNITED STATES TREAS NTS 2.250% 02/1 B	10,014.06	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN003188	OPPENHEIMER & CO	UNITED STATES TREAS NTS 2.250% 02/1 B	25,035.16	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN003279	VERNON FEDERATION OF	FEDERAL HOME LN MTG CORP REFERENCE S	-49,881.00	50.00	7.50	42.50	50.0	21.25

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
08/28/2017	QGN003279	VERNON FEDERATION OF UNITED STATES TREAS NTS 2.000%	11/1 S	-35,503.13	50.00	7.50	42.50	50.0	21.25
08/28/2017	QGN003279	VERNON FEDERATION OF UNITED STATES TREAS NTS 1.000%	06/3 S	-19,881.25	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN003337	OPPENHEIMER & CO UNITED STATES TREAS BDS 2.500%	02/1 B	9,503.13	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN003345	OPPENHEIMER & CO UNITED STATES TREAS NTS 0.750%	12/3 S	-14,976.56	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN003345	OPPENHEIMER & CO UNITED STATES TREAS BDS 2.500%	02/1 B	9,503.13	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN005209	SETTERS LOCAL 84, UNITED STATES TREAS NTS 1.500%	01/3 B	24,820.31	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN005209	SETTERS LOCAL 84, UNITED STATES TREAS BDS 2.500%	02/1 B	14,254.69	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN005209	SETTERS LOCAL 84, UNITED STATES TREAS NTS 1.500%	01/3 B	-24,820.31	-50.00	-7.50	-42.50	50.0	-21.25 C
08/18/2017	QGN005209	SETTERS LOCAL 84, UNITED STATES TREAS NTS 1.500%	01/3 B	24,820.31	50.00	7.50	42.50	50.0	21.25
08/21/2017	QGN005209	SETTERS LOCAL 84, PRUDENTIAL FINL INC MEDIUM TERM NTS	S	-25,256.25	50.00	7.50	42.50	50.0	21.25
08/28/2017	QGN006223	STACEY BRAUN & ASSOC ENTERPRISE PRODS OPER LLC GTD FXD R	S	-252,137.50	78.13	7.50	70.63	50.0	35.32
08/28/2017	QGN006223	STACEY BRAUN & ASSOC OMNICOM GROUP INC SR NT 3.650%	11/0 S	-154,807.50	50.00	7.50	42.50	50.0	21.25
08/28/2017	QGN006223	STACEY BRAUN & ASSOC SIMON PPTY GROUP L P FXD RT 3.750%	S	-209,810.00	62.50	7.50	55.00	50.0	27.50
08/28/2017	QGN006223	STACEY BRAUN & ASSOC SYSCO CORP GTD SR NT 2.600%	06/12/2 S	-251,887.50	78.13	7.50	70.63	50.0	35.32
08/29/2017	QGN006223	STACEY BRAUN & ASSOC UNITED STATES TREAS NTS 0.625%	06/3 S	-248,750.00	78.13	7.50	70.63	50.0	35.32
08/29/2017	QGN006223	STACEY BRAUN & ASSOC UNITED STATES TREAS NTS 0.625%	06/3 S	248,750.00	-78.13	-7.50	-70.63	50.0	-35.32 C
08/29/2017	QGN006223	STACEY BRAUN & ASSOC UNITED STATES TREAS NTS 0.625%	06/3 S	-248,750.00	78.13	7.50	70.63	50.0	35.32
08/30/2017	QGN006223	STACEY BRAUN & ASSOC UNITED STATES TREAS NTS 1.250%	10/3 B	196,812.50	62.50	7.50	55.00	50.0	27.50
08/30/2017	QGN006223	STACEY BRAUN & ASSOC UNITED STATES TREAS NTS 1.250%	10/3 B	-196,812.50	-62.50	-7.50	-55.00	50.0	-27.50 C
08/03/2017	QGN026205	DEFINED CONTRIBUTION UNITED STATES TREAS NTS 0.750%	10/3 S	-74,929.69	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN026205	DEFINED CONTRIBUTION UNITED STATES TREAS NTS 2.250%	11/3 S	-300,937.50	93.75	7.50	86.25	50.0	43.13
08/03/2017	QGN026239	PNC BANK UNITED STATES TREAS NTS 0.750%	02/2 S	-124,687.50	50.00	7.50	42.50	50.0	21.25
08/10/2017	QGN026239	PNC BANK UNITED STATES TREAS BDS 2.500%	02/1 B	236,562.50	78.13	7.50	70.63	50.0	35.32
08/11/2017	QGN026239	PNC BANK UNITED STATES TREAS NTS 0.750%	02/2 S	-124,707.03	50.00	7.50	42.50	50.0	21.25
08/17/2017	QGN026239	PNC BANK UNITED STATES TREAS NTS 1.500%	01/3 B	99,093.75	50.00	7.50	42.50	50.0	21.25
08/17/2017	QGN026239	PNC BANK UNITED STATES TREAS NTS 1.625%	10/3 B	24,585.94	50.00	7.50	42.50	50.0	21.25
08/17/2017	QGN026239	PNC BANK UNITED STATES TREAS NTS 1.500%	01/3 B	-99,093.75	-50.00	-7.50	-42.50	50.0	-21.25 C
08/17/2017	QGN026239	PNC BANK UNITED STATES TREAS NTS 1.500%	01/3 B	99,093.75	50.00	7.50	42.50	50.0	21.25
08/17/2017	QGN026239	PNC BANK UNITED STATES TREAS NTS 1.625%	10/3 B	-24,585.94	-50.00	-7.50	-42.50	50.0	-21.25 C
08/17/2017	QGN026239	PNC BANK UNITED STATES TREAS NTS 1.625%	10/3 B	24,585.94	50.00	7.50	42.50	50.0	21.25
08/10/2017	QGN026270	BROTHERHOOD OF UNITED STATES TREAS BDS 2.500%	02/1 B	141,937.50	50.00	7.50	42.50	50.0	21.25
08/10/2017	QGN026270	BROTHERHOOD OF UNITED STATES TREAS NTS 2.000%	11/1 B	132,932.81	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN026270	BROTHERHOOD OF UNITED STATES TREAS BDS 2.500%	02/1 B	23,757.81	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN026270	BROTHERHOOD OF UNITED STATES TREAS BDS 2.500%	02/1 B	-23,757.81	-50.00	-7.50	-42.50	50.0	-21.25 C
08/11/2017	QGN026536	DRIVERS LOCAL 550 UNITED STATES TREAS NTS 2.250%	11/3 S	-100,312.50	50.00	7.50	42.50	50.0	21.25
08/17/2017	QGN026536	DRIVERS LOCAL 550 UNITED STATES TREAS NTS 1.625%	10/3 B	73,757.81	50.00	7.50	42.50	50.0	21.25
08/17/2017	QGN026536	DRIVERS LOCAL 550 UNITED STATES TREAS NTS 1.625%	10/3 B	-73,757.81	-50.00	-7.50	-42.50	50.0	-21.25 C
08/17/2017	QGN026536	DRIVERS LOCAL 550 UNITED STATES TREAS NTS 1.625%	10/3 B	73,757.81	50.00	7.50	42.50	50.0	21.25
08/10/2017	QGN026627	STACEY BRAUN UNITED STATES TREAS BDS 2.500%	02/1 B	108,818.75	50.00	7.50	42.50	50.0	21.25
08/10/2017	QGN026627	STACEY BRAUN UNITED STATES TREAS NTS 2.000%	11/1 B	49,234.38	50.00	7.50	42.50	50.0	21.25

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: BONDS									
08/18/2017	QGN026627	STACEY BRAUN	UNITED STATES TREAS BDS 2.500% 02/1 B	95,031.25	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN026627	STACEY BRAUN	UNITED STATES TREAS BDS 2.500% 02/1 B	-95,031.25	-50.00	-7.50	-42.50	50.0	-21.25 C
08/09/2017	QGN026643	LOCAL, PLUMBERS &	INTERNATIONAL BUSINESS MACHS CORP N S	-100,258.00	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN026759	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 02/1 B	75,105.47	50.00	7.50	42.50	50.0	21.25
08/17/2017	QGN026759	STACEY BRAUN	UNITED STATES TREAS NTS 1.500% 01/3 B	297,281.25	93.75	7.50	86.25	50.0	43.13
08/17/2017	QGN026759	STACEY BRAUN	UNITED STATES TREAS NTS 1.500% 01/3 B	-297,281.25	-93.75	-7.50	-86.25	50.0	-43.13 C
08/17/2017	QGN026759	STACEY BRAUN	UNITED STATES TREAS NTS 1.500% 01/3 B	297,281.25	93.75	7.50	86.25	50.0	43.13
08/18/2017	QGN026916	LOCAL 804 ANNUITY	BERKSHIRE HATHAWAY FIN CORP SR NT 5 S	-102,655.00	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN026916	LOCAL 804 ANNUITY	BERKSHIRE HATHAWAY FIN CORP SR NT 5 S	102,655.00	-50.00	-7.50	-42.50	50.0	-21.25 C
08/18/2017	QGN026916	LOCAL 804 ANNUITY	BERKSHIRE HATHAWAY FIN CORP SR NT 5 S	-102,655.00	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN027005	LOCAL UNION #313	UNITED STATES TREAS BDS 2.500% 02/1 B	37,600.00	50.00	7.50	42.50	50.0	21.25
08/11/2017	QGN027005	LOCAL UNION #313	UNITEDHEALTH GROUP INC SR NT 6.000% S	-102,105.00	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN027005	LOCAL UNION #313	UNITED STATES TREAS NTS 0.750% 04/1 S	-49,843.75	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN027088	237, TEAMSTERS	UNITED STATES TREAS BDS 2.500% 02/1 B	141,000.00	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.250% 11/3 S	-301,031.25	93.75	7.50	86.25	50.0	43.13
08/10/2017	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.250% 11/3 S	-301,031.25	93.75	7.50	86.25	50.0	43.13
08/10/2017	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.000% 11/1 B	172,265.63	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.250% 11/3 S	-401,250.00	125.00	7.50	117.50	50.0	58.75
08/04/2017	QGN027104	LEAQUE GRAPHIC	TIME WARNER INC NEW GTD FIXED RT DE S	-54,260.50	50.00	7.50	42.50	50.0	21.25
08/04/2017	QGN027104	LEAQUE GRAPHIC	UNITED STATES TREAS NTS 1.250% 01/3 S	-139,343.75	50.00	7.50	42.50	50.0	21.25
08/04/2017	QGN027104	LEAQUE GRAPHIC	UNITED STATES TREAS NTS 0.750% 04/1 S	-34,885.16	50.00	7.50	42.50	50.0	21.25
08/04/2017	QGN027104	LEAQUE GRAPHIC	UNITED STATES TREAS NTS 1.125% 02/2 S	-235,837.50	75.00	7.50	67.50	50.0	33.75
08/04/2017	QGN027104	LEAQUE GRAPHIC	UNITED STATES TREAS NTS 0.750% 07/1 S	-49,906.25	50.00	7.50	42.50	50.0	21.25
08/04/2017	QGN027104	LEAQUE GRAPHIC	UNITED STATES TREAS NTS 1.000% 05/3 S	-29,943.75	50.00	7.50	42.50	50.0	21.25
08/04/2017	QGN027104	LEAQUE GRAPHIC	UNITED STATES TREAS NTS 2.625% 11/1 S	-51,664.06	50.00	7.50	42.50	50.0	21.25
08/04/2017	QGN027104	LEAQUE GRAPHIC	UNITED STATES TREAS NTS 1.000% 06/3 S	-49,671.88	50.00	7.50	42.50	50.0	21.25
08/04/2017	QGN027104	LEAQUE GRAPHIC	UNITED STATES TREAS NTS 1.375% 01/3 S	-49,937.50	50.00	7.50	42.50	50.0	21.25
08/04/2017	QGN027104	LEAQUE GRAPHIC	UNITED STATES TREAS NTS 0.625% 04/3 S	-24,890.63	50.00	7.50	42.50	50.0	21.25
08/04/2017	QGN027104	LEAQUE GRAPHIC	UNITED STATES TREAS NTS 0.750% 07/1 S	49,906.25	-50.00	-7.50	-42.50	50.0	-21.25 C
08/04/2017	QGN027104	LEAQUE GRAPHIC	UNITED STATES TREAS NTS 0.750% 07/1 S	-49,406.25	50.00	7.50	42.50	50.0	21.25
08/04/2017	QGN027104	LEAQUE GRAPHIC	UNITED TECHNOLOGIES CORP FIXED RT N S	-27,232.00	50.00	7.50	42.50	50.0	21.25
08/10/2017	QGN027120	HOUSE, VOLUNTARY	UNITED STATES TREAS NTS 2.000% 11/1 B	98,437.50	50.00	7.50	42.50	50.0	21.25
08/17/2017	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS NTS 1.500% 01/3 B	148,734.38	50.00	7.50	42.50	50.0	21.25
08/17/2017	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS NTS 1.625% 10/3 B	147,515.63	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS NTS 1.500% 01/3 B	99,281.25	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS NTS 1.500% 01/3 B	-99,281.25	-50.00	-7.50	-42.50	50.0	-21.25 C
08/18/2017	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS NTS 1.500% 01/3 B	99,281.25	50.00	7.50	42.50	50.0	21.25
08/22/2017	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS NTS 0.750% 10/3 S	-99,906.25	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN027187	SAVASTA, MR	UNITED STATES TREAS NTS 2.250% 11/3 S	-120,412.50	50.00	7.50	42.50	50.0	21.25
08/10/2017	QGN027187	SAVASTA, MR	UNITED STATES TREAS NTS 0.750% 02/2 S	-99,781.25	50.00	7.50	42.50	50.0	21.25

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: BONDS									
08/10/2017	QGN027187	SAVASTA, MR	UNITED STATES TREAS NTS 2.000% 11/1 B	73,828.13	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN027187	SAVASTA, MR	UNITED STATES TREAS BDS 2.500% 02/1 B	23,757.81	50.00	7.50	42.50	50.0	21.25
08/23/2017	QGN027187	SAVASTA, MR	UNITED STATES TREAS NTS 0.625% 04/3 S	-99,593.75	50.00	7.50	42.50	50.0	21.25
08/23/2017	QGN027187	SAVASTA, MR	UNITED STATES TREAS NTS 1.375% 07/3 S	-150,187.50	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN027229	OF DELAWARE LOCAL	UNITED STATES TREAS BDS 2.500% 02/1 B	95,031.25	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 1.875% 10/3 S	-100,156.25	50.00	7.50	42.50	50.0	21.25
08/10/2017	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 2.000% 11/1 B	393,750.00	125.00	7.50	117.50	50.0	58.75
08/18/2017	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 2.250% 11/3 S	-50,156.25	50.00	7.50	42.50	50.0	21.25
08/18/2017	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS BDS 2.500% 02/1 B	38,012.50	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.250% 02/1 B	50,070.31	50.00	7.50	42.50	50.0	21.25
08/17/2017	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 1.625% 10/3 B	393,375.00	125.00	7.50	117.50	50.0	58.75
08/17/2017	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 1.625% 10/3 B	-393,375.00	-125.00	-7.50	-117.50	50.0	-58.75 C
08/17/2017	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 1.625% 10/3 B	393,375.00	125.00	7.50	117.50	50.0	58.75
08/03/2017	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS BDS 2.500% 02/1 B	211,500.00	70.31	7.50	62.81	50.0	31.41
08/15/2017	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS BDS 2.750% 11/1 S	-173,414.06	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN027898	LOCAL 237	UNITED STATES TREAS BDS 2.500% 02/1 B	70,500.00	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 2.250% 11/3 S	-125,429.69	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN027898	LOCAL 237	UNITED STATES TREASURY NOTE S	-125,898.44	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 0.750% 12/3 S	-49,914.06	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 0.750% 02/2 S	-124,687.50	50.00	7.50	42.50	50.0	21.25
08/03/2017	QGN027898	LOCAL 237	WELLS FARGO & CO NEW SR UNSECD NT 5 S	-18,202.50	50.00	7.50	42.50	50.0	21.25
08/10/2017	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 0.750% 02/2 S	-49,890.63	50.00	7.50	42.50	50.0	21.25
08/04/2017	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS BDS 2.750% 08/1 S	-19,806.25	50.00	7.50	42.50	50.0	21.25
08/04/2017	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS BDS 2.750% 11/1 S	-24,734.38	50.00	7.50	42.50	50.0	21.25
08/04/2017	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS BDS 2.750% 11/1 S	-24,734.38	50.00	7.50	42.50	50.0	21.25
08/04/2017	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS BDS 2.750% 11/1 S	24,734.38	-50.00	-7.50	-42.50	50.0	-21.25 C
08/10/2017	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS BDS 2.500% 02/1 B	47,312.50	50.00	7.50	42.50	50.0	21.25
08/10/2017	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.000% 11/1 B	49,218.75	50.00	7.50	42.50	50.0	21.25
* BONDS SUBTOTAL *				<u>\$-999,418.76</u>	<u>\$8,512.52</u>	<u>\$1,155.00</u>	<u>\$7,357.52</u>		<u>\$3,678.82</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$-206,020.22</u>	<u>\$12,663.80</u>	<u>\$1,347.00</u>	<u>\$11,316.80</u>		<u>\$5,647.74</u>

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
08/31/2017		OTHER: BLOOMBERG		0.00	0.00	0.00	0.00		-996.21
08/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
08/31/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-15.00
08/31/2017		OTHER: OTHER-QGN ACCOUMTS		0.00	0.00	0.00	0.00		-5.40
08/31/2017		OTHER: OTHER-STACEY BRAUN		0.00	0.00	0.00	0.00		-2,766.57
08/31/2017		OTHER: REAL TICK		0.00	0.00	0.00	0.00		-212.50
08/31/2017		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-54.33
08/31/2017		OTHER: EZ CASTLE		0.00	0.00	0.00	0.00		-55.56
08/31/2017		OTHER: PIVOT		0.00	0.00	0.00	0.00		-68.05
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-4,193.62

BROKER COMMISSION TOTALS

Broker Transactions	\$5,647.74
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-4,193.62
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-15.83
SIPC Assessment	\$-31.66

Check Amount	\$1,406.63
Year-to-date Earnings	\$13,795.59