

**COMMISSION STATEMENT****April 30, 2018**

MATT, B116 BRANSTETTER  
PO Box 250  
111 S. Main Street  
Noble, OK 73068

BROKER #: B116  
BRANCH: BRAEVEST

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/13/2018	SAINT PAUL LUTHERAN, CHURCH	FIDELITY STRATEGIC INCOME FUND	-1,500.00	49.00	80.0	39.20	22.00	17.20
04/18/2018	DAILEY, TREVA	POWER DIVIDEND INDEX FUND CLASS C	-4,072.27	0.00	80.0	0.00	22.00	-22.00
* MUTUAL FUNDS SUBTOTAL *			\$-5,572.27	\$49.00		\$39.20	\$44.00	\$-4.80
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
04/18/2018	DAILEY, TREVA	ANTHEM INC COM	-7,790.56	39.00	80.0	31.20	22.50	8.70
04/18/2018	STANFORD, DAVID	DIREXION SHS ETF TR DEVELOPED MKTS	8,349.99	49.00	80.0	39.20	22.50	16.70
04/18/2018	SAINT PAUL LUTHERAN, CHURCH	DOWDUPONT INC COM	-6,993.70	49.00	80.0	39.20	22.50	16.70
04/18/2018	DAILEY, TREVA	POWERSHARES EXCHANGE-TRADED FD TR D	-5,933.74	39.00	80.0	31.20	22.50	8.70
04/18/2018	DAILEY, TREVA	POWERSHARES QQQ TR UNIT SER 1	-6,637.36	39.00	80.0	31.20	22.50	8.70
04/18/2018	STANFORD, DAVID	PROSHARES TR PROSHARES ULTRA OIL &	12,049.77	49.00	80.0	39.20	22.50	16.70
04/16/2018	DAILEY, TREVA	SPDR SER TR S&P PHARMACEUTICALS ETF	-3,733.29	49.00	80.0	39.20	22.50	16.70
04/16/2018	DAILEY, TREVA	WISDOMTREE TR INDIA EARNINGS FD	-5,352.56	49.00	80.0	39.20	22.50	16.70
* STOCKS SUBTOTAL *			\$-16,041.45	\$362.00		\$289.60	\$180.00	\$109.60
*** CLEARING TRANSACTIONS TOTAL ***			\$-21,613.72	\$411.00		\$328.80	\$224.00	\$104.80

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
04/16/2018	MOORE, TONI	WPC CORP PROP ASSOC 18 GBL INC CL C	0.00	11.02	80.0	8.82	0.00	8.82
04/16/2018	CASTLE, DAVID	WPC CORP PROP ASSOC 18 GBL INC CL C	0.00	11.02	80.0	8.82	0.00	8.82
* PRIVATE PLACEMENT SUBTOTAL *			\$0.00	\$22.04		\$17.64	\$0.00	\$17.64
<b><u>PRODUCT CATEGORY: REIT</u></b>								
03/31/2018	MILLER, MARGA	GRIFFIN CAPITAL ESSENTIAL ASSET II	47,757.22	117.76	80.0	94.21	0.00	94.21
* REIT SUBTOTAL *			\$47,757.22	\$117.76		\$94.21	\$0.00	\$94.21
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
04/16/2018	DOBELBOWER, MARY	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	80.0	2.00	0.00	2.00
04/20/2018	MILLER, RILEY	AM FUNDS NEW PERSPECTIVE C	50.00	0.50	80.0	0.40	0.00	0.40
04/16/2018	BENNETT, MARLA	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	4.25	80.0	3.40	0.00	3.40
04/02/2018	MOORE, TONI	PUTNAM GLOBAL INDUSTRIALS-A	5,000.00	250.00	80.0	200.00	0.00	200.00
04/02/2018	PLAN	PUTNAM GLOBAL INDUSTRIALS-A	6,000.00	225.00	80.0	180.00	0.00	180.00
04/02/2018	WILLIAMS, JESSIE	PUTNAM GLOBAL INDUSTRIALS-A	3,957.54	197.88	80.0	158.30	0.00	158.30
04/02/2018	PLAN	PUTNAM GLOBAL INDUSTRIALS-A	250.00	12.50	80.0	10.00	0.00	10.00
04/02/2018	ALLGIRE, ERNESTINE	PUTNAM GLOBAL INDUSTRIALS-A	4,000.00	150.00	80.0	120.00	0.00	120.00
04/02/2018	PLAN	PUTNAM GLOBAL INDUSTRIALS-A	994.83	49.74	80.0	39.79	0.00	39.79
04/05/2018	MYERS, JON	PUTNAM INTL CAPITAL OPPS-C	100.00	1.00	80.0	0.80	0.00	0.80
04/06/2018	SNYDER, LESTER	PUTNAM SMALL CAP GROWTH-C	100.00	1.00	80.0	0.80	0.00	0.80
04/16/2018	DOWNING, JOHN	RYDEX GUGG NASDAQ 100 2X STRATEGY C	300.00	3.00	80.0	2.40	0.00	2.40
* MUTUAL FUNDS SUBTOTAL *			\$20,902.37	\$897.37		\$717.89	\$0.00	\$717.89
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
04/20/2018	ARRINGTON, NANCY	JNL ELITE ACCESS	200.00	10.50	80.0	8.40	0.00	8.40
04/16/2018	FRANKS, MARTHA	JNL PERSPECTIVE L	13.24	0.79	80.0	0.63	0.00	0.63
04/23/2018	FRANKS, MARTHA	JNL PERSPECTIVE L	25.30	1.51	80.0	1.21	0.00	1.21
* VARIABLE ANNUITIES SUBTOTAL *			\$238.54	\$12.80		\$10.24	\$0.00	\$10.24

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/31/2018	ROWE-BRANF	BLACKROCK 67776G469	0.00	0.17	80.0	0.14	0.00	0.14
/ /	ROWE-BRANF	BLK CAPITAL APPRECIATION A	0.00	0.30	80.0	0.24	0.00	0.24
03/31/2018	ROWE-BRANF	BLK CAPITAL APPRECIATION A	0.00	1.19	80.0	0.95	0.00	0.95
/ /	WHITETREE, THOMAS	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	CASTLE, DAVID	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.17	80.0	0.14	0.00	0.14
/ /	LIVELY, ELIZABETH	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.14	80.0	0.11	0.00	0.11
/ /	LIVELY, DUSTIN	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.14	80.0	0.11	0.00	0.11
/ /	HUNTER, TIMOTHY	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.13	80.0	0.10	0.00	0.10
/ /	PUCKETT, CHERI	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.19	80.0	0.15	0.00	0.15
/ /	HARRALD, DONALD	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	SALLEE, MARY	GUGGENHEIM DOW 2X STRATEGY A	0.00	0.23	80.0	0.18	0.00	0.18
/ /	BACON, RICHARD	GUGGENHEIM GOV'T LONG BOND 1.2X STG	0.00	0.29	80.0	0.23	0.00	0.23
/ /	WHITETREE, THOMAS	GUGGENHEIM MID-CAP 1.5X STGY-A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	CASTLE, DAVID	GUGGENHEIM MID-CAP 1.5X STGY-A	0.00	0.11	80.0	0.09	0.00	0.09
/ /	LIVELY, ELIZABETH	GUGGENHEIM MID-CAP 1.5X STGY-A	0.00	0.09	80.0	0.07	0.00	0.07
/ /	LIVELY, DUSTIN	GUGGENHEIM MID-CAP 1.5X STGY-A	0.00	0.09	80.0	0.07	0.00	0.07
/ /	HUNTER, TIMOTHY	GUGGENHEIM MID-CAP 1.5X STGY-A	0.00	0.08	80.0	0.06	0.00	0.06
/ /	PUCKETT, CHERI	GUGGENHEIM MID-CAP 1.5X STGY-A	0.00	0.12	80.0	0.10	0.00	0.10
/ /	HARRALD, DONALD	GUGGENHEIM MID-CAP 1.5X STGY-A	0.00	0.04	80.0	0.03	0.00	0.03
/ /	SALLEE, MARY	GUGGENHEIM MID-CAP 1.5X STGY-A	0.00	0.15	80.0	0.12	0.00	0.12
04/01/2018	MCKINNEY, E KIM	INVESCO AMERICAN FRANCHISE A	0.00	5.57	80.0	4.46	0.00	4.46
04/01/2018	WHITE, JOANNE	INVESCO AMERICAN FRANCHISE A	0.00	7.88	80.0	6.30	0.00	6.30
04/01/2018	CRETSINGER, DON	INVESCO AMERICAN FRANCHISE A	0.00	5.88	80.0	4.70	0.00	4.70
04/01/2018	MCKINNEY, E KIM	INVESCO HIGH YIELD FUND A	0.00	0.56	80.0	0.45	0.00	0.45
04/01/2018	MCKINNEY, E KIM	INVESCO INTERNATIONAL GROWTH A	0.00	2.15	80.0	1.72	0.00	1.72
/ /	ROLLINS, LISA	RYDEX DOW 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX DOW 2X STRATEGY C	0.00	0.18	80.0	0.14	0.00	0.14
/ /	TREADWAY, PAMELA	RYDEX DOW 2X STRATEGY C	0.00	0.56	80.0	0.45	0.00	0.45
/ /	DOWNING, JOHN	RYDEX DOW 2X STRATEGY C	0.00	3.21	80.0	2.57	0.00	2.57
/ /	ACOSTA, JF	RYDEX DOW 2X STRATEGY C	0.00	0.20	80.0	0.16	0.00	0.16
/ /	BENSON, KARLA	RYDEX DOW 2X STRATEGY C	0.00	0.16	80.0	0.13	0.00	0.13
/ /	VOCI, ROBERTO	RYDEX DOW 2X STRATEGY C	0.00	1.79	80.0	1.43	0.00	1.43

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
/ /	WRIGHT, MARK	RYDEX DOW 2X STRATEGY C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX DOW 2X STRATEGY C	0.00	0.21	80.0	0.17	0.00	0.17
/ /	POTTER, RK	RYDEX DOW 2X STRATEGY C	0.00	0.21	80.0	0.17	0.00	0.17
/ /	HOLMAN, KENLEE	RYDEX DOW 2X STRATEGY C	0.00	0.12	80.0	0.10	0.00	0.10
/ /	HOLMAN, MEEGAN	RYDEX DOW 2X STRATEGY C	0.00	0.41	80.0	0.33	0.00	0.33
/ /	BACON, RICHARD	RYDEX GUGG DYNAMIC RUSSELL 2000 C	0.00	0.35	80.0	0.28	0.00	0.28
/ /	WHITETREE, THOMAS	RYDEX GUGG ELECTRONICS A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	CASTLE, DAVID	RYDEX GUGG ELECTRONICS A	0.00	0.14	80.0	0.11	0.00	0.11
/ /	LIVELY, ELIZABETH	RYDEX GUGG ELECTRONICS A	0.00	0.12	80.0	0.10	0.00	0.10
/ /	LIVELY, DUSTIN	RYDEX GUGG ELECTRONICS A	0.00	0.12	80.0	0.10	0.00	0.10
/ /	HUNTER, TIMOTHY	RYDEX GUGG ELECTRONICS A	0.00	0.11	80.0	0.09	0.00	0.09
/ /	PUCKETT, CHERI	RYDEX GUGG ELECTRONICS A	0.00	0.16	80.0	0.13	0.00	0.13
/ /	HARRALD, DONALD	RYDEX GUGG ELECTRONICS A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	SALLEE, MARY	RYDEX GUGG ELECTRONICS A	0.00	0.20	80.0	0.16	0.00	0.16
/ /	ROLLINS, LISA	RYDEX GUGG ELECTRONICS C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX GUGG ELECTRONICS C	0.00	0.16	80.0	0.13	0.00	0.13
/ /	TREADWAY, PAMELA	RYDEX GUGG ELECTRONICS C	0.00	0.48	80.0	0.38	0.00	0.38
/ /	DOWNING, JOHN	RYDEX GUGG ELECTRONICS C	0.00	2.81	80.0	2.25	0.00	2.25
/ /	ACOSTA, JF	RYDEX GUGG ELECTRONICS C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	BENSON, KARLA	RYDEX GUGG ELECTRONICS C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	VOCI, ROBERTO	RYDEX GUGG ELECTRONICS C	0.00	1.53	80.0	1.22	0.00	1.22
/ /	WRIGHT, MARK	RYDEX GUGG ELECTRONICS C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX GUGG ELECTRONICS C	0.00	0.18	80.0	0.14	0.00	0.14
/ /	POTTER, RK	RYDEX GUGG ELECTRONICS C	0.00	0.18	80.0	0.14	0.00	0.14
/ /	BACON, RICHARD	RYDEX GUGG ELECTRONICS C	0.00	0.32	80.0	0.26	0.00	0.26
/ /	HOLMAN, KENLEE	RYDEX GUGG ELECTRONICS C	0.00	0.11	80.0	0.09	0.00	0.09
/ /	HOLMAN, MEEGAN	RYDEX GUGG ELECTRONICS C	0.00	0.35	80.0	0.28	0.00	0.28
/ /	ROLLINS, LISA	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	0.04	80.0	0.03	0.00	0.03
/ /	BACON-HILL, MICHELE	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	0.21	80.0	0.17	0.00	0.17
/ /	TREADWAY, PAMELA	RYDEX GUGG NASDAQ 100 2X STRATEGY C	0.00	0.62	80.0	0.50	0.00	0.50
/ /	MORIELS, FELICIA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.01	80.0	0.01	0.00	0.01

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
		C						
/ /	DOWNING, JOHN	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	3.08	80.0	2.46	0.00	2.46
		C						
/ /	ACOSTA, JF	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.23	80.0	0.18	0.00	0.18
		C						
/ /	BENSON, KARLA	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.18	80.0	0.14	0.00	0.14
		C						
/ /	VOCI, ROBERTO	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	2.00	80.0	1.60	0.00	1.60
		C						
/ /	WRIGHT, MARK	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.03	80.0	0.02	0.00	0.02
		C						
/ /	POTTER, G	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.24	80.0	0.19	0.00	0.19
		C						
/ /	POTTER, RK	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.23	80.0	0.18	0.00	0.18
		C						
/ /	BACON, RICHARD	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	1.63	80.0	1.30	0.00	1.30
		C						
/ /	HOLMAN, KENLEE	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.14	80.0	0.11	0.00	0.11
		C						
/ /	HOLMAN, MEEGAN	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.45	80.0	0.36	0.00	0.36
		C						
/ /	WHITETREE, THOMAS	RYDEX GUGG TITAN 500 A	0.00	0.07	80.0	0.06	0.00	0.06
/ /	CASTLE, DAVID	RYDEX GUGG TITAN 500 A	0.00	0.13	80.0	0.10	0.00	0.10
/ /	LIVELY, ELIZABETH	RYDEX GUGG TITAN 500 A	0.00	0.11	80.0	0.09	0.00	0.09
/ /	LIVELY, DUSTIN	RYDEX GUGG TITAN 500 A	0.00	0.11	80.0	0.09	0.00	0.09
/ /	HUNTER, TIMOTHY	RYDEX GUGG TITAN 500 A	0.00	0.10	80.0	0.08	0.00	0.08
/ /	PUCKETT, CHERI	RYDEX GUGG TITAN 500 A	0.00	0.15	80.0	0.12	0.00	0.12
/ /	HARRALD, DONALD	RYDEX GUGG TITAN 500 A	0.00	0.05	80.0	0.04	0.00	0.04
/ /	SALLEE, MARY	RYDEX GUGG TITAN 500 A	0.00	0.19	80.0	0.15	0.00	0.15
/ /	WHITETREE, THOMAS	RYDEX GUGG VELOCITY 100 A	0.00	0.09	80.0	0.07	0.00	0.07
/ /	CASTLE, DAVID	RYDEX GUGG VELOCITY 100 A	0.00	0.18	80.0	0.14	0.00	0.14
/ /	LIVELY, ELIZABETH	RYDEX GUGG VELOCITY 100 A	0.00	0.16	80.0	0.13	0.00	0.13
/ /	LIVELY, DUSTIN	RYDEX GUGG VELOCITY 100 A	0.00	0.16	80.0	0.13	0.00	0.13
/ /	HUNTER, TIMOTHY	RYDEX GUGG VELOCITY 100 A	0.00	0.14	80.0	0.11	0.00	0.11

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
/ /	PUCKETT, CHERI	RYDEX GUGG VELOCITY 100 A	0.00	0.22	80.0	0.18	0.00	0.18
/ /	HARRALD, DONALD	RYDEX GUGG VELOCITY 100 A	0.00	0.06	80.0	0.05	0.00	0.05
/ /	SALLEE, MARY	RYDEX GUGG VELOCITY 100 A	0.00	0.26	80.0	0.21	0.00	0.21
/ /	KINNEY, ERICK	RYDEX GUGGENHEIM NASDAQ-100-A	0.00	0.35	80.0	0.28	0.00	0.28
/ /	ROLLINS, LISA	RYDEX JAPAN 2X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX JAPAN 2X STRATEGY C	0.00	0.09	80.0	0.07	0.00	0.07
/ /	TREADWAY, PAMELA	RYDEX JAPAN 2X STRATEGY C	0.00	0.28	80.0	0.22	0.00	0.22
/ /	DOWNING, JOHN	RYDEX JAPAN 2X STRATEGY C	0.00	1.61	80.0	1.29	0.00	1.29
/ /	ACOSTA, JF	RYDEX JAPAN 2X STRATEGY C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	BENSON, KARLA	RYDEX JAPAN 2X STRATEGY C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	VOCI, ROBERTO	RYDEX JAPAN 2X STRATEGY C	0.00	0.90	80.0	0.72	0.00	0.72
/ /	WRIGHT, MARK	RYDEX JAPAN 2X STRATEGY C	0.00	0.01	80.0	0.01	0.00	0.01
/ /	POTTER, G	RYDEX JAPAN 2X STRATEGY C	0.00	0.11	80.0	0.09	0.00	0.09
/ /	POTTER, RK	RYDEX JAPAN 2X STRATEGY C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	BACON, RICHARD	RYDEX JAPAN 2X STRATEGY C	0.00	0.33	80.0	0.26	0.00	0.26
/ /	HOLMAN, KENLEE	RYDEX JAPAN 2X STRATEGY C	0.00	0.06	80.0	0.05	0.00	0.05
/ /	HOLMAN, MEEGAN	RYDEX JAPAN 2X STRATEGY C	0.00	0.20	80.0	0.16	0.00	0.16
/ /	WHITETREE, THOMAS	RYDEX JAPAN 2X STRATEGY H	0.00	0.04	80.0	0.03	0.00	0.03
/ /	CASTLE, DAVID	RYDEX JAPAN 2X STRATEGY H	0.00	0.08	80.0	0.06	0.00	0.06
/ /	LIVELY, ELIZABETH	RYDEX JAPAN 2X STRATEGY H	0.00	0.07	80.0	0.06	0.00	0.06
/ /	LIVELY, DUSTIN	RYDEX JAPAN 2X STRATEGY H	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HUNTER, TIMOTHY	RYDEX JAPAN 2X STRATEGY H	0.00	0.06	80.0	0.05	0.00	0.05
/ /	PUCKETT, CHERI	RYDEX JAPAN 2X STRATEGY H	0.00	0.09	80.0	0.07	0.00	0.07
/ /	HARRALD, DONALD	RYDEX JAPAN 2X STRATEGY H	0.00	0.03	80.0	0.02	0.00	0.02
/ /	SALLEE, MARY	RYDEX JAPAN 2X STRATEGY H	0.00	0.12	80.0	0.10	0.00	0.10
/ /	ROLLINS, LISA	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.12	80.0	0.10	0.00	0.10
/ /	TREADWAY, PAMELA	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.36	80.0	0.29	0.00	0.29
/ /	DOWNING, JOHN	RYDEX MID CAP 1.5X STRATEGY C	0.00	2.19	80.0	1.75	0.00	1.75
/ /	ACOSTA, JF	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.13	80.0	0.10	0.00	0.10
/ /	BENSON, KARLA	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	VOCI, ROBERTO	RYDEX MID CAP 1.5X STRATEGY C	0.00	1.16	80.0	0.93	0.00	0.93
/ /	WRIGHT, MARK	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.02	80.0	0.02	0.00	0.02

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
/ /	POTTER, G	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.14	80.0	0.11	0.00	0.11
/ /	POTTER, RK	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.13	80.0	0.10	0.00	0.10
/ /	HOLMAN, KENLEE	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.08	80.0	0.06	0.00	0.06
/ /	HOLMAN, MEEGAN	RYDEX MID CAP 1.5X STRATEGY C	0.00	0.26	80.0	0.21	0.00	0.21
/ /	ROLLINS, LISA	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.02	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.11	80.0	0.09	0.00	0.09
/ /	TREADWAY, PAMELA	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.33	80.0	0.26	0.00	0.26
/ /	DOWNING, JOHN	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	1.56	80.0	1.25	0.00	1.25
/ /	ACOSTA, JF	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.12	80.0	0.10	0.00	0.10
/ /	BENSON, KARLA	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.09	80.0	0.07	0.00	0.07
/ /	VOCI, ROBERTO	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	1.06	80.0	0.85	0.00	0.85
/ /	WRIGHT, MARK	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.02	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.13	80.0	0.10	0.00	0.10
/ /	POTTER, RK	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.12	80.0	0.10	0.00	0.10
/ /	BACON, RICHARD	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.42	80.0	0.34	0.00	0.34
/ /	HOLMAN, KENLEE	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.07	80.0	0.06	0.00	0.06
/ /	HOLMAN, MEEGAN	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.24	80.0	0.19	0.00	0.19
/ /	WHITETREE, THOMAS	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.05	80.0	0.04	0.00	0.04
/ /	CASTLE, DAVID	RYDEX MONTHLY REBAL NASDAQ100 2X ST	0.00	0.10	80.0	0.08	0.00	0.08
/ /	LIVELY, ELIZABETH	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.08	80.0	0.06	0.00	0.06

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
		ST						
/ /	LIVELY, DUSTIN	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.08	80.0	0.06	0.00	0.06
		ST						
/ /	HUNTER, TIMOTHY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.08	80.0	0.06	0.00	0.06
		ST						
/ /	PUCKETT, CHERI	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.11	80.0	0.09	0.00	0.09
		ST						
/ /	HARRALD, DONALD	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.03	80.0	0.02	0.00	0.02
		ST						
/ /	SALLEE, MARY	RYDEX MONTHLY REBAL NASDAQ100 2X	0.00	0.14	80.0	0.11	0.00	0.11
		ST						
/ /	ROLLINS, LISA	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.03	80.0	0.02	0.00	0.02
/ /	BACON-HILL, MICHELE	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.15	80.0	0.12	0.00	0.12
/ /	TREADWAY, PAMELA	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.45	80.0	0.36	0.00	0.36
/ /	DOWNING, JOHN	RYDEX S&P 500 2X STRATEGY FUND C	0.00	2.55	80.0	2.04	0.00	2.04
/ /	ACOSTA, JF	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	BENSON, KARLA	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.13	80.0	0.10	0.00	0.10
/ /	VOCI, ROBERTO	RYDEX S&P 500 2X STRATEGY FUND C	0.00	1.45	80.0	1.16	0.00	1.16
/ /	WRIGHT, MARK	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.02	80.0	0.02	0.00	0.02
/ /	POTTER, G	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	POTTER, RK	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.17	80.0	0.14	0.00	0.14
/ /	HOLMAN, KENLEE	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.10	80.0	0.08	0.00	0.08
/ /	HOLMAN, MEEGAN	RYDEX S&P 500 2X STRATEGY FUND C	0.00	0.33	80.0	0.26	0.00	0.26
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$72.23</b>		<b>\$57.77</b>	<b>\$0.00</b>	<b>\$57.77</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
04/03/2018	STINSON, BRADLEY	PAC LIFE INNOVATIVE SELECT	0.00	5.00	80.0	4.00	0.00	4.00
04/10/2018	STANFORD, ELAINE	PAC LIFE INNOVATIVE SELECT	0.00	73.79	80.0	59.03	0.00	59.03
04/11/2018	NEWBERN, BRENDA	PAC LIFE INNOVATIVE SELECT	0.00	5.07	80.0	4.06	0.00	4.06
04/02/2018	PARA JR, JOSE	PAC LIFE PACIFIC CHOICE	0.00	3.40	80.0	2.72	0.00	2.72
04/09/2018	BRANCH, MARY	PAC LIFE PORTFOLIOS	0.00	226.16	80.0	180.93	0.00	180.93
04/02/2018	JUDKINS, TIFFANY	PAC LIFE VALUE	0.00	51.72	80.0	41.38	0.00	41.38
04/04/2018	ROLLINS, LISA	PAC LIFE VALUE	0.00	50.95	80.0	40.76	0.00	40.76
04/06/2018	ROSENFELT, PHYLIS	PAC LIFE VALUE	0.00	6.02	80.0	4.82	0.00	4.82

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed



DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
04/09/2018	HAYES, GARY	PAC LIFE VALUE	0.00	7.58	80.0	6.06	0.00	6.06
04/13/2018	STANFORD, DAVID	VOYA RETIREMENT & ANNUITY	3,477.05	1.16	80.0	0.93	0.00	0.93
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$3,477.05	\$430.85		\$344.69	\$0.00	\$344.69
*** DIRECT TRANSACTIONS TOTAL ***			\$72,375.18	\$1,553.05		\$1,242.44	\$0.00	\$1,242.44

BROKER COMMISSION TOTALS

Broker Transactions	\$1,347.24
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,347.24
Year-to-date Gross	\$22,611.14
Year-to-date Earnings	\$17,663.45

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed