



Concorde Investment Services, LLC

07/12/2017
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COMMISSION STATEMENT

July 15, 2017

JIM LUND
2060 Huntington Drive
Suite 1
San Marino, CA 91108

BROKER #: L119 Terminated 10/30/2015
BRANCH: TWEED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	ELLSWORTH, CAROL	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	14.92	0.00	14.92	0.0	0.00
/ /	HOLZINGER, DIANE	OPPENHEIMER MAIN STREET A	0.00	28.59	0.00	28.59	0.0	0.00
/ /	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	8.13	0.00	8.13	0.0	0.00
/ /	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	0.00	16.21	0.00	16.21	0.0	0.00
/ /	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	0.00	1.14	0.00	1.14	0.0	0.00
/ /	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	0.00	7.91	0.00	7.91	0.0	0.00
/ /	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	0.00	0.37	0.00	0.37	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$77.27	\$0.00	\$77.27		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
06/30/2017	DOBBINS, BARBARA	AXA RETIREMENT CORNERSTONE 13 B	108,002.73	67.50	0.00	67.50	0.0	0.00
06/30/2017	HAIGH, PEGGY	AXA RETIREMENT CORNERSTONE 13 B	91,786.46	57.37	0.00	57.37	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$199,789.19	\$124.87	\$0.00	\$124.87		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$199,789.19	\$202.14	\$0.00	\$202.14		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$5,349.78
Year-to-date Earnings	\$0.00