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R.F. Lafferty & Co., Inc. Est. 1946

COMMISSION STATEMENT

August 31, 2018

JAMES FLANAGAN

200 Cove Way Unit 910 Quincy, MA 02169-

BROKER #: 93

BRANCH: FULCRUM GROUP

| COMMISSION STATEMENT for JAMES FLANAGAN | | | | | | | | |
|---|----------------------------|---------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| TRADE DATE CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
| | | | | | | | | |
| | BROKE | R TRANS | ACTIONS | | | | | |
| PRODUCT CATEGORY: VARIABLE ANNUITIE | <u>s</u> | | | | | | | |
| 08/07/2018 (None) VARIOUS, FLANAGAN | PACIFIC LIFE INNOV-SELE | В | 0.00 | 153.11 | 0.00 | 153.11 | 80.0 | 122.49 |
| 08/22/2018 (None) VARIOUS, FLANAGAN | PACIFIC LIFE CUSIP C | В | 0.00 | 1,606.96 | 0.00 | 1,606.96 | 80.0 | 1,285.57 |
| 08/28/2018 016271377 VARIOUS, FLANAGAN | NATIONWIDE | В | 0.00 | 422.46 | 0.00 | 422.46 | 80.0 | 337.97 |
| | * VARIABLE ANNUITIES SUB | TOTAL * | \$0.00 | \$2,182.53 | \$0.00 | \$2,182.53 | | \$1,746.03 |
| PRODUCT CATEGORY: LIFE INSURANCE | | | | | | | | |
| 08/14/2018 016271377 VARIOUS, FLANAGAN | NATIONWIDE LIFE INS | В | 0.00 | 76.92 | 0.00 | 76.92 | 80.0 | 61.54 |
| | * LIFE INSURANCE SUB | TOTAL * | \$0.00 | \$76.92 | \$0.00 | \$76.92 | | \$61.54 |
| | *** BROKER TRANSACTIONS TO | TAL *** | \$0.00 | \$2,259.45 | \$0.00 | \$2,259.45 | | \$1,807.57 |
| | | | | | <u> </u> | BROKER COMMI | SSION | TOTALS |
| | | | | | Broke | r Transactions | | \$1,807.57 |
| | | | | | Split | t Transactions | | \$0.00 |

| Year-to-date Earnings | \$13,232.04 | | | |
|-----------------------|-------------|--|--|--|
| Check Amount | \$1,799.38 | | | |
| SIFC ASSESSMENC | ψ 3.10 | | | |
| SIPC Assessment | \$-5.46 | | | |
| FINRA Assessment | \$-2.73 | | | |
| Prior Period Balance | \$0.00 | | | |
| Base Salary | \$0.00 | | | |
| Payroll Draw | \$0.00 | | | |
| Adjustments | \$0.00 | | | |
| Override Transactions | \$0.00 | | | |
| Split Transactions | \$0.00 | | | |
| Broker Transactions | \$1,807.57 | | | |
| | | | | |