



Concorde Investment Services, LLC

06/13/2017  
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## COMMISSION STATEMENT

June 15, 2017

JENNIFER DONAIS  
78955 Via Trieste

BROKER #: D134  
BRANCH: TRUWEALTH FINANCIAL

LaQuinta, CA 92253-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/01/2017	EDISS, ALEXANDER	AM FUNDS CAPITAL INCOME BUILDER C	0.00	34.54	0.00	34.54	90.0	31.09
06/01/2017	EDISS, ALEXANDER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	38.23	0.00	38.23	90.0	34.41
05/05/2017	DONAIS, JENNIFER	ASPIRE BUFFALO HIGH YIELD FD	10,000.00	0.00	0.00	0.00	90.0	0.00
05/05/2017	DONAIS, BRADLEY	FRK BIOTECHNOLOGY DISCOVERY FD ADV-	13,706.88	0.00	0.00	0.00	90.0	0.00
05/09/2017	SINNESS, LOYE	HARTFORD CAPITAL APPRECIATION A	0.00	1.49	0.00	1.49	90.0	1.34
05/09/2017	SINNESS, LOYE	MFS VALUE A	0.00	0.74	0.00	0.74	90.0	0.67
05/09/2017	SINNESS, LOYE	PUTNAM MULTI CAP GROWTH A	0.00	2.59	0.00	2.59	90.0	2.33
05/09/2017	DONAIS, BRADLEY	RYDEX S&P 500 PURE GROWTH FUND	0.00	3.16	0.00	3.16	90.0	2.84
05/05/2017	DONAIS, BRADLEY	VOYA SER FD IN	15,000.00	0.00	0.00	0.00	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$38,706.88	\$80.75	\$0.00	\$80.75		\$72.68
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
05/08/2017	DONAIS, JENNIFER	ISHARES TR	9,098.99	0.00	22.00	-22.00	90.0	-19.80
05/08/2017	DONAIS, BRADLEY	ISHARES TR JPMORGAN USD EMERGING MK	11,421.50	0.00	22.00	-22.00	90.0	-19.80
05/08/2017	DONAIS, BRADLEY	VANGUARD CHARLOTTE FDS TOTAL INTL B	18,999.20	0.00	22.00	-22.00	90.0	-19.80
* STOCKS SUBTOTAL *			\$39,519.69	\$0.00	\$66.00	\$-66.00		\$-59.40
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
05/31/2017	LARAMIE, CECILIA	JOHN HANCOCK VENTURE	80,005.51	16.67	0.00	16.67	90.0	15.00
* VARIABLE ANNUITIES SUBTOTAL *			\$80,005.51	\$16.67	\$0.00	\$16.67		\$15.00
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
05/15/2017	DONAIS, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.57	0.00	0.57	90.0	0.51
05/15/2017	DONAIS, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.71	0.00	2.71	90.0	2.44
05/15/2017	DONAIS, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.30	0.00	0.30	90.0	0.27
05/15/2017	DONAIS, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.79	0.00	2.79	90.0	2.51
05/15/2017	DONAIS, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.58	0.00	2.58	90.0	2.32
05/15/2017	DONAIS, JEREMY	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.41	0.00	1.41	90.0	1.27

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
05/15/2017	DONAIS, BRADLEY	AM FUNDS NEW PERSPECTIVE 529C	0.00	1.29	0.00	1.29	90.0	1.16
05/15/2017	DONAIS, BRADLEY	AM FUNDS NEW PERSPECTIVE 529C	0.00	1.43	0.00	1.43	90.0	1.29
05/31/2017	DONAIS, JEREMY	PRINCIPAL INVESTORS INCOME A	0.00	1.12	0.00	1.12	90.0	1.01
05/31/2017	DONAIS, JEREMY	PRINCIPAL LARGECAP S&P 500 INDEX FU	0.00	4.20	0.00	4.20	90.0	3.78
05/31/2017	DONAIS, JEREMY	PRINCIPAL SMALLCAP FUND CLASS A	0.00	3.13	0.00	3.13	90.0	2.82
05/31/2017	DONAIS, JEREMY	PRINICPAL MIDCAP BLEND A	0.00	2.40	0.00	2.40	90.0	2.16
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$23.93</b>	<b>\$0.00</b>	<b>\$23.93</b>		<b>\$21.54</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$158,232.08</b>	<b>\$121.35</b>	<b>\$66.00</b>	<b>\$55.35</b>		<b>\$49.82</b>

**SPLIT TRANSACTIONS****BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333**

05/15/2017	GALINDO, TAMORA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.19	0.00	1.19	90.0	1.07
05/30/2017	GALINDO, TAMORA	BLK HIGH YIELD BOND A	0.00	0.34	0.00	0.34	90.0	0.31
05/18/2017	GALINDO, TAMORA	FIDELITY ADVISOR STRATEGIC DIVIDEND	0.00	0.56	0.00	0.56	90.0	0.50
06/09/2017	NEWBERRY TRUST, GLORIA	FROST TOTAL RETURN BOND FUND INVEST	15,000.00	0.00	0.00	0.00	90.0	0.00
06/09/2017	NEWBERRY TRUST, GLORIA	INVESCO GROWTH AND INCOME FUND CLAS	4,000.00	23.40	17.16	6.24	90.0	5.62
05/31/2017	NEWBERRY, GLORIA	PRINCIPAL GOVERNMENT & HIGH QUALITY	0.00	0.09	0.00	0.09	90.0	0.08
05/31/2017	NEWBERRY, GLORIA	PRINCIPAL INVESTORS INCOME A	0.00	0.77	0.00	0.77	90.0	0.69
05/31/2017	NEWBERRY, GLORIA	PRINCIPAL S A M CONSERVATIVE BALANC	0.00	3.73	0.00	3.73	90.0	3.36
06/09/2017	NEWBERRY TRUST, GLORIA	VANGUARD INFL-PROTECTED SECS	7,000.00	163.80	17.16	146.64	90.0	131.98
06/09/2017	NEWBERRY TRUST, GLORIA	VANGUARD INTL EQUITY INDEX FD INC F	6,296.10	148.20	17.55	130.65	90.0	117.59
06/09/2017	NEWBERRY TRUST, GLORIA	VANGUARD MID-CAP INDEX	3,000.00	70.20	17.16	53.04	90.0	47.74
06/09/2017	NEWBERRY TRUST, GLORIA	VANGUARD SMALL-CAP INDEX FUND	3,000.00	70.20	17.16	53.04	90.0	47.74
<b>* JBB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *</b>			<b>\$38,296.10</b>	<b>\$482.48</b>	<b>\$86.19</b>	<b>\$396.29</b>		<b>\$356.68</b>

**BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335**

06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.09	0.00	0.09	90.0	0.08
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.09	0.00	0.09	90.0	0.08
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.08	0.00	0.08	90.0	0.07
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.09	0.00	0.09	90.0	0.08
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.08	0.00	0.08	90.0	0.07
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.06	0.00	0.06	90.0	0.05
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.07	0.00	0.07	90.0	0.06

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</b>								
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	0.07	0.00	0.07	90.0	0.06
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.04	0.00	0.04	90.0	0.04
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.21	0.00	0.21	90.0	0.19
06/01/2017	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.18	0.00	0.18	90.0	0.16
06/01/2017	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	1.18	0.00	1.18	90.0	1.06
06/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.13	0.00	0.13	90.0	0.12
06/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.13	0.00	0.13	90.0	0.12
06/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.13	0.00	0.13	90.0	0.12
06/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.13	0.00	0.13	90.0	0.12
06/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.13	0.00	0.13	90.0	0.12
06/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.11	0.00	0.11	90.0	0.10
06/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.09	0.00	0.09	90.0	0.08
06/01/2017	LEE, DONALD	AM FUNDS ICA 529A	0.00	0.09	0.00	0.09	90.0	0.08
06/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.05	0.00	0.05	90.0	0.05
06/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.32	0.00	0.32	90.0	0.29
06/01/2017	LEE, DONALD	AM FUNDS ICA 529C	0.00	0.26	0.00	0.26	90.0	0.23
06/01/2017	UNTIL AGE 21, MN/UTMA	AM FUNDS ICA A	0.00	0.14	0.00	0.14	90.0	0.13
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.13	0.00	0.13	90.0	0.12
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.13	0.00	0.13	90.0	0.12
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.14	0.00	0.14	90.0	0.13
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.13	0.00	0.13	90.0	0.12
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.15	0.00	0.15	90.0	0.14
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.11	0.00	0.11	90.0	0.10
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.10	0.00	0.10	90.0	0.09
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.10	0.00	0.10	90.0	0.09
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.05	0.00	0.05	90.0	0.05
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2017	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	0.00	0.25	0.00	0.25	90.0	0.23
06/01/2017	LEE, DONALD	AM FUNDS SMALLCAP WORLD-529A	0.00	0.14	0.00	0.14	90.0	0.13
06/01/2017	LEE, DONALD	AMERICAN FD GLOBAL GROWTH PORTFOLIO	0.00	0.07	0.00	0.07	90.0	0.06
06/01/2017	HEEN, JEREMY	AMERICAN FDS COLLEGE 2021 FUND 529A	370.00	2.04	0.00	2.04	90.0	1.84
06/01/2017	HEEN, JEREMY	AMERICAN FUND COLLEGE 2027 FUND 529	245.00	1.35	0.00	1.35	90.0	1.22
06/01/2017	HEEN, JEREMY	AMERICAN FUNDS COLLEGE 2033 FUND-52	190.00	1.05	0.00	1.05	90.0	0.95

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u></b>								
05/31/2017	SKAARE, LARRY	FS INVESTMENT CORP IV CLASS T	0.00	7.63	0.00	7.63	90.0	6.87
		* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *	\$1,020.00	\$17.80	\$0.00	\$17.80		\$16.07
<b><u>BROKER: L147-BRE/BRI/JEN/TYL LEE/NORB/DON/L #376</u></b>								
06/01/2017	SORENSEN, CURTIS	INLAND SELF-STORAGE PF II DST	163,000.00	978.00	0.00	978.00	90.0	880.20
		* L147-BRE/BRI/JEN/TYL LEE/NORB/DON/L SUBTOTAL *	\$163,000.00	\$978.00	\$0.00	\$978.00		\$880.20
		*** SPLIT TRANSACTIONS TOTAL ***	\$202,316.10	\$1,478.28	\$86.19	\$1,392.09		\$1,252.95

**ADJUSTMENTS**

06/15/2017		*CONCIERGE SVC: 193394 NEWBERRY	0.00					-39.00
06/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
06/15/2017		*PERSHING: APR CDAR -ALEXANDER TRUS	0.00					38.35
06/15/2017		*PERSHING: MAY CDAR-ALEXANDER TRUST	0.00					38.36
06/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-537.29

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**BROKER COMMISSION TOTALS**

Broker Transactions	\$49.82
Split Transactions	\$1,252.95
Override Transactions	\$0.00
Adjustments	\$-537.29
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$63.84
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$829.32
Year-to-date Gross	\$13,799.75
Year-to-date Earnings	\$11,943.59