

COMMISSION STATEMENT

August 31, 2017

ROBERT STRAVINSKI
11384 Highbrook Court

BROKER #: 58
BRANCH: HOME OFFICE

POTOMAC FALLS, VA 20165

COMMISSION STATEMENT for ROBERT STRAVINSKI

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

08/01/2017 (None)	VARIOUS, STRAVINSKI	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	1,985.96	0.00	1,985.96	80.0	1,588.77
08/01/2017 (None)	VARIOUS, STRAVINSKI	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	222.16	0.00	222.16	80.0	177.73
08/01/2017 (None)	VARIOUS, STRAVINSKI	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	127.49	0.00	127.49	80.0	101.99
08/01/2017 (None)	VARIOUS, STRAVINSKI	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	487.28	0.00	487.28	80.0	389.82
08/01/2017 (None)	VARIOUS, STRAVINSKI	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	6.18	0.00	6.18	80.0	0.00
08/01/2017 (None)	VARIOUS, STRAVINSKI	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	20.74	0.00	20.74	80.0	0.00
08/01/2017 (None)	VARIOUS, STRAVINSKI	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	5.53	0.00	5.53	80.0	0.00
08/01/2017 (None)	VARIOUS, STRAVINSKI	AC ALTERNATIVES DISCIPLINED LONG SH B		0.00	7.78	0.00	7.78	80.0	0.00
08/10/2017 00061056926	LORENZEN, CAROLYN	EUROPACIFIC GROWTH FUND-A	B	50.00	1.00	0.00	1.00	80.0	0.00
08/10/2017 00061056926	LORENZEN, CAROLYN	NEW PERSPECTIVE FUND-A	B	50.00	1.00	0.00	1.00	80.0	0.00
08/10/2017 00061056926	LORENZEN, CAROLYN	THE INVESTMENT COMPANY OF AMERICA-A	B	50.00	1.00	0.00	1.00	80.0	0.00
08/01/2017 00075318858	MARSHALL, KADEN	AMCAP FUND-529A	B	50.00	1.88	0.00	1.88	80.0	0.00
08/01/2017 00075318858	MARSHALL, KADEN	AMERICAN MUTUAL FUND-529A	B	50.00	1.88	0.00	1.88	80.0	0.00
08/01/2017 00075318858	MARSHALL, KADEN	EUROPACIFIC GROWTH FUND-529A	B	50.00	1.88	0.00	1.88	80.0	0.00
08/01/2017 00082140659	MARSHALL, KADEN	AMCAP FUND-529A	B	50.00	1.88	0.00	1.88	80.0	0.00
08/01/2017 00082140659	MARSHALL, KADEN	AMERICAN MUTUAL FUND-529A	B	50.00	1.88	0.00	1.88	80.0	0.00
08/01/2017 00082140659	MARSHALL, KADEN	EUROPACIFIC GROWTH FUND-529A	B	50.00	1.88	0.00	1.88	80.0	0.00
07/24/2017 00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A	B	11.70	0.32	0.00	0.32	80.0	0.00
07/24/2017 00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A	B	11.70	0.32	0.00	0.32	80.0	0.00
07/24/2017 00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A	B	9.61	0.26	0.00	0.26	80.0	0.00
07/24/2017 00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A	B	9.61	0.26	0.00	0.26	80.0	0.00
07/24/2017 00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B		3.90	0.11	0.00	0.11	80.0	0.00
07/24/2017 00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B		3.90	0.11	0.00	0.11	80.0	0.00
07/24/2017 00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B		3.20	0.09	0.00	0.09	80.0	0.00
07/24/2017 00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B		3.20	0.09	0.00	0.09	80.0	0.00
07/24/2017 00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A	B	1.95	0.04	0.00	0.04	80.0	0.00
07/24/2017 00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A	B	1.95	0.04	0.00	0.04	80.0	0.00
07/24/2017 00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A	B	1.60	0.03	0.00	0.03	80.0	0.00
07/24/2017 00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A	B	1.60	0.03	0.00	0.03	80.0	0.00
07/24/2017 00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A	B	11.70	0.32	0.00	0.32	80.0	0.00
07/24/2017 00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A	B	11.70	0.32	0.00	0.32	80.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
07/24/2017	00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A	B	9.61	0.26	0.00	0.26	80.0	0.00
07/24/2017	00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A	B	9.61	0.26	0.00	0.26	80.0	0.00
07/24/2017	00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B		3.90	0.11	0.00	0.11	80.0	0.00
07/24/2017	00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B		3.90	0.11	0.00	0.11	80.0	0.00
07/24/2017	00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B		3.20	0.09	0.00	0.09	80.0	0.00
07/24/2017	00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B		3.20	0.09	0.00	0.09	80.0	0.00
07/24/2017	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A	B	3.90	0.11	0.00	0.11	80.0	0.00
07/24/2017	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A	B	3.90	0.11	0.00	0.11	80.0	0.00
07/24/2017	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A	B	3.21	0.09	0.00	0.09	80.0	0.00
07/24/2017	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A	B	3.21	0.09	0.00	0.09	80.0	0.00
07/31/2017	00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A	B	11.70	0.32	0.00	0.32	80.0	0.00
07/31/2017	00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A	B	9.61	0.26	0.00	0.26	80.0	0.00
07/31/2017	00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B		3.90	0.11	0.00	0.11	80.0	0.00
07/31/2017	00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B		3.20	0.09	0.00	0.09	80.0	0.00
07/31/2017	00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B		1.95	0.04	0.00	0.04	80.0	0.00
07/31/2017	00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B		1.60	0.03	0.00	0.03	80.0	0.00
07/31/2017	00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A	B	11.70	0.32	0.00	0.32	80.0	0.00
07/31/2017	00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A	B	9.61	0.26	0.00	0.26	80.0	0.00
07/31/2017	00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B		3.90	0.11	0.00	0.11	80.0	0.00
07/31/2017	00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B		3.20	0.09	0.00	0.09	80.0	0.00
07/31/2017	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A	B	3.90	0.11	0.00	0.11	80.0	0.00
07/31/2017	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A	B	3.21	0.09	0.00	0.09	80.0	0.00
08/07/2017	00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A	B	11.70	0.32	0.00	0.32	80.0	0.00
08/07/2017	00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A	B	9.61	0.26	0.00	0.26	80.0	0.00
08/07/2017	00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B		3.90	0.11	0.00	0.11	80.0	0.00
08/07/2017	00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B		3.20	0.09	0.00	0.09	80.0	0.00
08/07/2017	00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B		1.95	0.04	0.00	0.04	80.0	0.00
08/07/2017	00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B		1.60	0.03	0.00	0.03	80.0	0.00
08/07/2017	00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A	B	11.70	0.32	0.00	0.32	80.0	0.00
08/07/2017	00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A	B	9.61	0.26	0.00	0.26	80.0	0.00
08/07/2017	00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B		3.90	0.11	0.00	0.11	80.0	0.00
08/07/2017	00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B		3.20	0.09	0.00	0.09	80.0	0.00
08/07/2017	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A	B	3.90	0.11	0.00	0.11	80.0	0.00
08/07/2017	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A	B	3.21	0.09	0.00	0.09	80.0	0.00
08/08/2017	00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A	B	11.70	0.32	0.00	0.32	80.0	0.00
08/08/2017	00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A	B	9.61	0.26	0.00	0.26	80.0	0.00
08/08/2017	00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B		3.90	0.11	0.00	0.11	80.0	0.00
08/08/2017	00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B		3.20	0.09	0.00	0.09	80.0	0.00
08/08/2017	00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B		1.95	0.04	0.00	0.04	80.0	0.00
08/08/2017	00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B		1.60	0.03	0.00	0.03	80.0	0.00

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
08/08/2017	00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A	B	11.70	0.32	0.00	0.32	80.0	0.00
08/08/2017	00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A	B	9.61	0.26	0.00	0.26	80.0	0.00
08/08/2017	00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B		3.90	0.11	0.00	0.11	80.0	0.00
08/08/2017	00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B		3.20	0.09	0.00	0.09	80.0	0.00
08/08/2017	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A	B	3.90	0.11	0.00	0.11	80.0	0.00
08/08/2017	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A	B	3.21	0.09	0.00	0.09	80.0	0.00
08/10/2017	00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A	B	11.70	0.32	0.00	0.32	80.0	0.00
08/10/2017	00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A	B	9.61	0.26	0.00	0.26	80.0	0.00
08/10/2017	00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B		3.90	0.11	0.00	0.11	80.0	0.00
08/10/2017	00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B		3.20	0.09	0.00	0.09	80.0	0.00
08/10/2017	00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B		1.95	0.04	0.00	0.04	80.0	0.00
08/10/2017	00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B		1.60	0.03	0.00	0.03	80.0	0.00
08/10/2017	00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A	B	11.70	0.32	0.00	0.32	80.0	0.00
08/10/2017	00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A	B	9.61	0.26	0.00	0.26	80.0	0.00
08/10/2017	00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B		3.90	0.11	0.00	0.11	80.0	0.00
08/10/2017	00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B		3.20	0.09	0.00	0.09	80.0	0.00
08/10/2017	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A	B	3.90	0.11	0.00	0.11	80.0	0.00
08/10/2017	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A	B	3.21	0.09	0.00	0.09	80.0	0.00
08/17/2017	00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A	B	11.70	0.32	0.00	0.32	80.0	0.00
08/17/2017	00082988425	RIGGLEMAN, LUTHER	AMCAP FUND-A	B	9.61	0.26	0.00	0.26	80.0	0.00
08/17/2017	00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B		3.90	0.11	0.00	0.11	80.0	0.00
08/17/2017	00082988425	RIGGLEMAN, LUTHER	CAPITAL WORLD GROWTH AND INCOME FUN B		3.20	0.09	0.00	0.09	80.0	0.00
08/17/2017	00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B		1.95	0.04	0.00	0.04	80.0	0.00
08/17/2017	00082988425	RIGGLEMAN, LUTHER	INTERMEDIATE BOND FUND OF AMERICA-A B		1.60	0.03	0.00	0.03	80.0	0.00
08/17/2017	00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A	B	11.70	0.32	0.00	0.32	80.0	0.00
08/17/2017	00082988425	RIGGLEMAN, LUTHER	THE GROWTH FUND OF AMERICA-A	B	9.61	0.26	0.00	0.26	80.0	0.00
08/17/2017	00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B		3.90	0.11	0.00	0.11	80.0	0.00
08/17/2017	00082988425	RIGGLEMAN, LUTHER	THE INVESTMENT COMPANY OF AMERICA-A B		3.20	0.09	0.00	0.09	80.0	0.00
08/17/2017	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A	B	3.90	0.11	0.00	0.11	80.0	0.00
08/17/2017	00082988425	RIGGLEMAN, LUTHER	THE NEW ECONOMY FUND-A	B	3.21	0.09	0.00	0.09	80.0	0.00
07/24/2017	00082994053	SMITH, CHARLOTTE	AMCAP FUND-A	B	6.00	0.17	0.00	0.17	80.0	0.00
07/24/2017	00082994053	SMITH, CHARLOTTE	AMCAP FUND-A	B	6.00	0.17	0.00	0.17	80.0	0.00
07/24/2017	00082994053	SMITH, CHARLOTTE	AMCAP FUND-A	B	6.41	0.18	0.00	0.18	80.0	0.00
07/24/2017	00082994053	SMITH, CHARLOTTE	AMCAP FUND-A	B	6.41	0.18	0.00	0.18	80.0	0.00
07/24/2017	00082994053	SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	B	6.00	0.17	0.00	0.17	80.0	0.00
07/24/2017	00082994053	SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	B	6.00	0.17	0.00	0.17	80.0	0.00
07/24/2017	00082994053	SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	B	6.41	0.18	0.00	0.18	80.0	0.00
07/24/2017	00082994053	SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	B	6.41	0.18	0.00	0.18	80.0	0.00
07/24/2017	00082994053	SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.00
07/24/2017	00082994053	SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/24/2017	00082994053	SMITH, CHARLOTTE							
		EUROPACIFIC GROWTH FUND-A	B	3.20	0.09	0.00	0.09	80.0	0.00
07/24/2017	00082994053	SMITH, CHARLOTTE							
		EUROPACIFIC GROWTH FUND-A	B	3.20	0.09	0.00	0.09	80.0	0.00
07/24/2017	00082994053	SMITH, CHARLOTTE							
		SMALLCAP WORLD FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.00
07/24/2017	00082994053	SMITH, CHARLOTTE							
		SMALLCAP WORLD FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.00
07/24/2017	00082994053	SMITH, CHARLOTTE							
		SMALLCAP WORLD FUND-A	B	3.20	0.09	0.00	0.09	80.0	0.00
07/24/2017	00082994053	SMITH, CHARLOTTE							
		SMALLCAP WORLD FUND-A	B	3.20	0.09	0.00	0.09	80.0	0.00
07/24/2017	00082994053	SMITH, CHARLOTTE							
		THE GROWTH FUND OF AMERICA-A	B	7.50	0.21	0.00	0.21	80.0	0.00
07/24/2017	00082994053	SMITH, CHARLOTTE							
		THE GROWTH FUND OF AMERICA-A	B	7.50	0.21	0.00	0.21	80.0	0.00
07/24/2017	00082994053	SMITH, CHARLOTTE							
		THE GROWTH FUND OF AMERICA-A	B	8.01	0.22	0.00	0.22	80.0	0.00
07/24/2017	00082994053	SMITH, CHARLOTTE							
		THE GROWTH FUND OF AMERICA-A	B	8.01	0.22	0.00	0.22	80.0	0.00
07/24/2017	00082994053	SMITH, CHARLOTTE							
		THE INVESTMENT COMPANY OF AMERICA-A B		4.50	0.12	0.00	0.12	80.0	0.00
07/24/2017	00082994053	SMITH, CHARLOTTE							
		THE INVESTMENT COMPANY OF AMERICA-A B		4.50	0.12	0.00	0.12	80.0	0.00
07/24/2017	00082994053	SMITH, CHARLOTTE							
		THE INVESTMENT COMPANY OF AMERICA-A B		4.80	0.13	0.00	0.13	80.0	0.00
07/24/2017	00082994053	SMITH, CHARLOTTE							
		THE INVESTMENT COMPANY OF AMERICA-A B		4.80	0.13	0.00	0.13	80.0	0.00
07/31/2017	00082994053	SMITH, CHARLOTTE							
		AMCAP FUND-A	B	6.00	0.17	0.00	0.17	80.0	0.00
07/31/2017	00082994053	SMITH, CHARLOTTE							
		AMCAP FUND-A	B	6.41	0.18	0.00	0.18	80.0	0.00
07/31/2017	00082994053	SMITH, CHARLOTTE							
		CAPITAL INCOME BUILDER-A	B	6.00	0.17	0.00	0.17	80.0	0.00
07/31/2017	00082994053	SMITH, CHARLOTTE							
		CAPITAL INCOME BUILDER-A	B	6.41	0.18	0.00	0.18	80.0	0.00
07/31/2017	00082994053	SMITH, CHARLOTTE							
		EUROPACIFIC GROWTH FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.00
07/31/2017	00082994053	SMITH, CHARLOTTE							
		EUROPACIFIC GROWTH FUND-A	B	3.20	0.09	0.00	0.09	80.0	0.00
07/31/2017	00082994053	SMITH, CHARLOTTE							
		SMALLCAP WORLD FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.00
07/31/2017	00082994053	SMITH, CHARLOTTE							
		SMALLCAP WORLD FUND-A	B	3.20	0.09	0.00	0.09	80.0	0.00
07/31/2017	00082994053	SMITH, CHARLOTTE							
		THE GROWTH FUND OF AMERICA-A	B	7.50	0.21	0.00	0.21	80.0	0.00
07/31/2017	00082994053	SMITH, CHARLOTTE							
		THE GROWTH FUND OF AMERICA-A	B	8.01	0.22	0.00	0.22	80.0	0.00
07/31/2017	00082994053	SMITH, CHARLOTTE							
		THE INVESTMENT COMPANY OF AMERICA-A B		4.50	0.12	0.00	0.12	80.0	0.00
07/31/2017	00082994053	SMITH, CHARLOTTE							
		THE INVESTMENT COMPANY OF AMERICA-A B		4.80	0.13	0.00	0.13	80.0	0.00
08/07/2017	00082994053	SMITH, CHARLOTTE							
		AMCAP FUND-A	B	6.00	0.17	0.00	0.17	80.0	0.00
08/07/2017	00082994053	SMITH, CHARLOTTE							
		AMCAP FUND-A	B	6.41	0.18	0.00	0.18	80.0	0.00
08/07/2017	00082994053	SMITH, CHARLOTTE							
		CAPITAL INCOME BUILDER-A	B	6.00	0.17	0.00	0.17	80.0	0.00
08/07/2017	00082994053	SMITH, CHARLOTTE							
		CAPITAL INCOME BUILDER-A	B	6.41	0.18	0.00	0.18	80.0	0.00
08/07/2017	00082994053	SMITH, CHARLOTTE							
		EUROPACIFIC GROWTH FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.00
08/07/2017	00082994053	SMITH, CHARLOTTE							
		EUROPACIFIC GROWTH FUND-A	B	3.20	0.09	0.00	0.09	80.0	0.00
08/07/2017	00082994053	SMITH, CHARLOTTE							
		SMALLCAP WORLD FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.00
08/07/2017	00082994053	SMITH, CHARLOTTE							
		SMALLCAP WORLD FUND-A	B	3.20	0.09	0.00	0.09	80.0	0.00
08/07/2017	00082994053	SMITH, CHARLOTTE							
		THE GROWTH FUND OF AMERICA-A	B	7.50	0.21	0.00	0.21	80.0	0.00
08/07/2017	00082994053	SMITH, CHARLOTTE							
		THE GROWTH FUND OF AMERICA-A	B	8.01	0.22	0.00	0.22	80.0	0.00
08/07/2017	00082994053	SMITH, CHARLOTTE							
		THE INVESTMENT COMPANY OF AMERICA-A B		4.50	0.12	0.00	0.12	80.0	0.00
08/07/2017	00082994053	SMITH, CHARLOTTE							
		THE INVESTMENT COMPANY OF AMERICA-A B		4.80	0.13	0.00	0.13	80.0	0.00
08/08/2017	00082994053	SMITH, CHARLOTTE							
		AMCAP FUND-A	B	6.00	0.17	0.00	0.17	80.0	0.00
08/08/2017	00082994053	SMITH, CHARLOTTE							
		AMCAP FUND-A	B	6.41	0.18	0.00	0.18	80.0	0.00

COMMISSION STATEMENT for ROBERT STRAVINSKI

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
08/08/2017	00082994053	SMITH, CHARLOTTE							
		CAPITAL INCOME BUILDER-A	B	6.00	0.17	0.00	0.17	80.0	0.00
08/08/2017	00082994053	SMITH, CHARLOTTE							
		CAPITAL INCOME BUILDER-A	B	6.41	0.18	0.00	0.18	80.0	0.00
08/08/2017	00082994053	SMITH, CHARLOTTE							
		EUROPACIFIC GROWTH FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.00
08/08/2017	00082994053	SMITH, CHARLOTTE							
		EUROPACIFIC GROWTH FUND-A	B	3.20	0.09	0.00	0.09	80.0	0.00
08/08/2017	00082994053	SMITH, CHARLOTTE							
		SMALLCAP WORLD FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.00
08/08/2017	00082994053	SMITH, CHARLOTTE							
		SMALLCAP WORLD FUND-A	B	3.20	0.09	0.00	0.09	80.0	0.00
08/08/2017	00082994053	SMITH, CHARLOTTE							
		THE GROWTH FUND OF AMERICA-A	B	7.50	0.21	0.00	0.21	80.0	0.00
08/08/2017	00082994053	SMITH, CHARLOTTE							
		THE GROWTH FUND OF AMERICA-A	B	8.01	0.22	0.00	0.22	80.0	0.00
08/08/2017	00082994053	SMITH, CHARLOTTE							
		THE INVESTMENT COMPANY OF AMERICA-A B		4.50	0.12	0.00	0.12	80.0	0.00
08/08/2017	00082994053	SMITH, CHARLOTTE							
		THE INVESTMENT COMPANY OF AMERICA-A B		4.80	0.13	0.00	0.13	80.0	0.00
08/10/2017	00082994053	SMITH, CHARLOTTE							
		AMCAP FUND-A	B	6.00	0.17	0.00	0.17	80.0	0.00
08/10/2017	00082994053	SMITH, CHARLOTTE							
		AMCAP FUND-A	B	6.41	0.18	0.00	0.18	80.0	0.00
08/10/2017	00082994053	SMITH, CHARLOTTE							
		CAPITAL INCOME BUILDER-A	B	6.00	0.17	0.00	0.17	80.0	0.00
08/10/2017	00082994053	SMITH, CHARLOTTE							
		CAPITAL INCOME BUILDER-A	B	6.41	0.18	0.00	0.18	80.0	0.00
08/10/2017	00082994053	SMITH, CHARLOTTE							
		EUROPACIFIC GROWTH FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.00
08/10/2017	00082994053	SMITH, CHARLOTTE							
		EUROPACIFIC GROWTH FUND-A	B	3.20	0.09	0.00	0.09	80.0	0.00
08/10/2017	00082994053	SMITH, CHARLOTTE							
		SMALLCAP WORLD FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.00
08/10/2017	00082994053	SMITH, CHARLOTTE							
		SMALLCAP WORLD FUND-A	B	3.20	0.09	0.00	0.09	80.0	0.00
08/10/2017	00082994053	SMITH, CHARLOTTE							
		THE GROWTH FUND OF AMERICA-A	B	7.50	0.21	0.00	0.21	80.0	0.00
08/10/2017	00082994053	SMITH, CHARLOTTE							
		THE GROWTH FUND OF AMERICA-A	B	8.01	0.22	0.00	0.22	80.0	0.00
08/10/2017	00082994053	SMITH, CHARLOTTE							
		THE INVESTMENT COMPANY OF AMERICA-A B		4.50	0.12	0.00	0.12	80.0	0.00
08/10/2017	00082994053	SMITH, CHARLOTTE							
		THE INVESTMENT COMPANY OF AMERICA-A B		4.80	0.13	0.00	0.13	80.0	0.00
08/17/2017	00082994053	SMITH, CHARLOTTE							
		AMCAP FUND-A	B	6.00	0.17	0.00	0.17	80.0	0.00
08/17/2017	00082994053	SMITH, CHARLOTTE							
		AMCAP FUND-A	B	6.41	0.18	0.00	0.18	80.0	0.00
08/17/2017	00082994053	SMITH, CHARLOTTE							
		CAPITAL INCOME BUILDER-A	B	6.00	0.17	0.00	0.17	80.0	0.00
08/17/2017	00082994053	SMITH, CHARLOTTE							
		CAPITAL INCOME BUILDER-A	B	6.41	0.18	0.00	0.18	80.0	0.00
08/17/2017	00082994053	SMITH, CHARLOTTE							
		EUROPACIFIC GROWTH FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.00
08/17/2017	00082994053	SMITH, CHARLOTTE							
		EUROPACIFIC GROWTH FUND-A	B	3.20	0.09	0.00	0.09	80.0	0.00
08/17/2017	00082994053	SMITH, CHARLOTTE							
		SMALLCAP WORLD FUND-A	B	3.00	0.08	0.00	0.08	80.0	0.00
08/17/2017	00082994053	SMITH, CHARLOTTE							
		SMALLCAP WORLD FUND-A	B	3.20	0.09	0.00	0.09	80.0	0.00
08/17/2017	00082994053	SMITH, CHARLOTTE							
		THE GROWTH FUND OF AMERICA-A	B	7.50	0.21	0.00	0.21	80.0	0.00
08/17/2017	00082994053	SMITH, CHARLOTTE							
		THE GROWTH FUND OF AMERICA-A	B	8.01	0.22	0.00	0.22	80.0	0.00
08/17/2017	00082994053	SMITH, CHARLOTTE							
		THE INVESTMENT COMPANY OF AMERICA-A B		4.50	0.12	0.00	0.12	80.0	0.00
08/17/2017	00082994053	SMITH, CHARLOTTE							
		THE INVESTMENT COMPANY OF AMERICA-A B		4.80	0.13	0.00	0.13	80.0	0.00
07/24/2017	00087267645	GILLESPIE, JASON							
		AMERICAN BALANCED FUND-A	B	4.05	0.11	0.00	0.11	80.0	0.00
07/24/2017	00087267645	GILLESPIE, JASON							
		AMERICAN BALANCED FUND-A	B	4.74	0.13	0.00	0.13	80.0	0.00
07/24/2017	00087267645	GILLESPIE, JASON							
		AMERICAN BALANCED FUND-A	B	2.70	0.07	0.00	0.07	80.0	0.00
07/24/2017	00087267645	GILLESPIE, JASON							
		AMERICAN BALANCED FUND-A	B	3.16	0.09	0.00	0.09	80.0	0.00
07/24/2017	00087267645	GILLESPIE, JASON							
		AMERICAN FUNDS 02629W106	B	4.05	0.11	0.00	0.11	80.0	0.00
07/24/2017	00087267645	GILLESPIE, JASON							
		AMERICAN FUNDS 02629W106	B	4.74	0.13	0.00	0.13	80.0	0.00

COMMISSION STATEMENT for ROBERT STRAVINSKI

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
07/24/2017	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	2.70	0.07	0.00	0.07	80.0	0.00
07/24/2017	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	3.17	0.09	0.00	0.09	80.0	0.00
07/24/2017	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	4.05	0.11	0.00	0.11	80.0	0.00
07/24/2017	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	4.74	0.13	0.00	0.13	80.0	0.00
07/24/2017	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	2.70	0.07	0.00	0.07	80.0	0.00
07/24/2017	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	3.16	0.09	0.00	0.09	80.0	0.00
07/24/2017	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	6.08	0.17	0.00	0.17	80.0	0.00
07/24/2017	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	7.11	0.20	0.00	0.20	80.0	0.00
07/24/2017	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	4.05	0.11	0.00	0.11	80.0	0.00
07/24/2017	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	4.74	0.13	0.00	0.13	80.0	0.00
07/24/2017	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	12.14	0.33	0.00	0.33	80.0	0.00
07/24/2017	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	14.24	0.39	0.00	0.39	80.0	0.00
07/24/2017	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	8.10	0.22	0.00	0.22	80.0	0.00
07/24/2017	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	9.49	0.26	0.00	0.26	80.0	0.00
07/24/2017	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	4.05	0.11	0.00	0.11	80.0	0.00
07/24/2017	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	4.74	0.13	0.00	0.13	80.0	0.00
07/24/2017	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	2.70	0.07	0.00	0.07	80.0	0.00
07/24/2017	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	3.16	0.09	0.00	0.09	80.0	0.00
07/24/2017	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	6.08	0.17	0.00	0.17	80.0	0.00
07/24/2017	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	7.11	0.20	0.00	0.20	80.0	0.00
07/24/2017	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	4.05	0.11	0.00	0.11	80.0	0.00
07/24/2017	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	4.74	0.13	0.00	0.13	80.0	0.00
07/31/2017	00087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	B	4.05	0.11	0.00	0.11	80.0	0.00
07/31/2017	00087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	B	2.70	0.07	0.00	0.07	80.0	0.00
07/31/2017	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	4.05	0.11	0.00	0.11	80.0	0.00
07/31/2017	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	2.70	0.07	0.00	0.07	80.0	0.00
07/31/2017	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	4.05	0.11	0.00	0.11	80.0	0.00
07/31/2017	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	2.70	0.07	0.00	0.07	80.0	0.00
07/31/2017	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	6.08	0.17	0.00	0.17	80.0	0.00
07/31/2017	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	4.05	0.11	0.00	0.11	80.0	0.00
07/31/2017	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	12.14	0.33	0.00	0.33	80.0	0.00
07/31/2017	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	8.10	0.22	0.00	0.22	80.0	0.00
07/31/2017	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	4.05	0.11	0.00	0.11	80.0	0.00
07/31/2017	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	2.70	0.07	0.00	0.07	80.0	0.00
07/31/2017	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	6.08	0.17	0.00	0.17	80.0	0.00
07/31/2017	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	4.05	0.11	0.00	0.11	80.0	0.00
08/07/2017	00087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	B	4.05	0.11	0.00	0.11	80.0	0.00
08/07/2017	00087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	B	2.70	0.07	0.00	0.07	80.0	0.00
08/07/2017	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	4.05	0.11	0.00	0.11	80.0	0.00
08/07/2017	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	2.70	0.07	0.00	0.07	80.0	0.00

COMMISSION STATEMENT for ROBERT STRAVINSKI

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
08/07/2017	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	4.05	0.11	0.00	0.11	80.0	0.00
08/07/2017	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	2.70	0.07	0.00	0.07	80.0	0.00
08/07/2017	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	6.08	0.17	0.00	0.17	80.0	0.00
08/07/2017	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	4.05	0.11	0.00	0.11	80.0	0.00
08/07/2017	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	12.14	0.33	0.00	0.33	80.0	0.00
08/07/2017	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	8.10	0.22	0.00	0.22	80.0	0.00
08/07/2017	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	4.05	0.11	0.00	0.11	80.0	0.00
08/07/2017	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	2.70	0.07	0.00	0.07	80.0	0.00
08/07/2017	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	6.08	0.17	0.00	0.17	80.0	0.00
08/07/2017	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	4.05	0.11	0.00	0.11	80.0	0.00
08/08/2017	00087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	B	4.74	0.13	0.00	0.13	80.0	0.00
08/08/2017	00087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	B	3.16	0.09	0.00	0.09	80.0	0.00
08/08/2017	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	4.74	0.13	0.00	0.13	80.0	0.00
08/08/2017	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	3.17	0.09	0.00	0.09	80.0	0.00
08/08/2017	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	4.74	0.13	0.00	0.13	80.0	0.00
08/08/2017	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	3.16	0.09	0.00	0.09	80.0	0.00
08/08/2017	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	7.11	0.20	0.00	0.20	80.0	0.00
08/08/2017	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	4.74	0.13	0.00	0.13	80.0	0.00
08/08/2017	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	14.24	0.39	0.00	0.39	80.0	0.00
08/08/2017	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	9.49	0.26	0.00	0.26	80.0	0.00
08/08/2017	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	4.74	0.13	0.00	0.13	80.0	0.00
08/08/2017	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	3.16	0.09	0.00	0.09	80.0	0.00
08/08/2017	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	7.11	0.20	0.00	0.20	80.0	0.00
08/08/2017	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	4.74	0.13	0.00	0.13	80.0	0.00
08/10/2017	00087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	B	4.05	0.11	0.00	0.11	80.0	0.00
08/10/2017	00087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	B	2.70	0.07	0.00	0.07	80.0	0.00
08/10/2017	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	4.05	0.11	0.00	0.11	80.0	0.00
08/10/2017	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	2.70	0.07	0.00	0.07	80.0	0.00
08/10/2017	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	4.05	0.11	0.00	0.11	80.0	0.00
08/10/2017	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	2.70	0.07	0.00	0.07	80.0	0.00
08/10/2017	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	6.08	0.17	0.00	0.17	80.0	0.00
08/10/2017	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	4.05	0.11	0.00	0.11	80.0	0.00
08/10/2017	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	12.14	0.33	0.00	0.33	80.0	0.00
08/10/2017	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	8.10	0.22	0.00	0.22	80.0	0.00
08/10/2017	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	4.05	0.11	0.00	0.11	80.0	0.00
08/10/2017	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	2.70	0.07	0.00	0.07	80.0	0.00
08/10/2017	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	6.08	0.17	0.00	0.17	80.0	0.00
08/10/2017	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	4.05	0.11	0.00	0.11	80.0	0.00
08/17/2017	00087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	B	4.05	0.11	0.00	0.11	80.0	0.00
08/17/2017	00087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	B	2.70	0.07	0.00	0.07	80.0	0.00

COMMISSION STATEMENT for ROBERT STRAVINSKI

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
08/17/2017	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	4.05	0.11	0.00	0.11	80.0	0.00
08/17/2017	00087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	B	2.70	0.07	0.00	0.07	80.0	0.00
08/17/2017	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	4.05	0.11	0.00	0.11	80.0	0.00
08/17/2017	00087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	B	2.70	0.07	0.00	0.07	80.0	0.00
08/17/2017	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	6.08	0.17	0.00	0.17	80.0	0.00
08/17/2017	00087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	B	4.05	0.11	0.00	0.11	80.0	0.00
08/17/2017	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	12.14	0.33	0.00	0.33	80.0	0.00
08/17/2017	00087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	B	8.10	0.22	0.00	0.22	80.0	0.00
08/17/2017	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	4.05	0.11	0.00	0.11	80.0	0.00
08/17/2017	00087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	B	2.70	0.07	0.00	0.07	80.0	0.00
08/17/2017	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	6.08	0.17	0.00	0.17	80.0	0.00
08/17/2017	00087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	B	4.05	0.11	0.00	0.11	80.0	0.00
07/24/2017	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	25.00	0.69	0.00	0.69	80.0	0.00
07/24/2017	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	25.00	0.69	0.00	0.69	80.0	0.00
07/24/2017	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	22.20	0.61	0.00	0.61	80.0	0.00
07/24/2017	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	22.20	0.61	0.00	0.61	80.0	0.00
07/31/2017	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	25.00	0.69	0.00	0.69	80.0	0.00
07/31/2017	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	22.20	0.61	0.00	0.61	80.0	0.00
08/07/2017	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	25.00	0.69	0.00	0.69	80.0	0.00
08/07/2017	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	18.50	0.51	0.00	0.51	80.0	0.00
08/08/2017	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	25.00	0.69	0.00	0.69	80.0	0.00
08/08/2017	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	25.90	0.71	0.00	0.71	80.0	0.00
08/10/2017	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	25.00	0.69	0.00	0.69	80.0	0.00
08/10/2017	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	22.20	0.61	0.00	0.61	80.0	0.00
08/17/2017	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	25.00	0.69	0.00	0.69	80.0	0.00
08/17/2017	00087267752	MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	B	18.50	0.51	0.00	0.51	80.0	0.00
07/31/2017	00088550230	SWANSON,	AMCAP FUND-A	B	100.00	2.75	0.00	2.75	80.0	0.00
07/31/2017	00088550230	SWANSON,	AMCAP FUND-A	B	37.50	1.03	0.00	1.03	80.0	0.00
07/31/2017	00088550230	SWANSON,	AMERICAN HIGH-INCOME TRUST-A	B	50.00	1.38	0.00	1.38	80.0	0.00
07/31/2017	00088550230	SWANSON,	AMERICAN HIGH-INCOME TRUST-A	B	18.75	0.52	0.00	0.52	80.0	0.00
07/31/2017	00088550230	SWANSON,	CAPITAL WORLD BOND FUND-A	B	50.00	1.38	0.00	1.38	80.0	0.00
07/31/2017	00088550230	SWANSON,	CAPITAL WORLD BOND FUND-A	B	18.75	0.52	0.00	0.52	80.0	0.00
07/31/2017	00088550230	SWANSON,	NEW PERSPECTIVE FUND-A	B	100.00	2.75	0.00	2.75	80.0	0.00
07/31/2017	00088550230	SWANSON,	NEW PERSPECTIVE FUND-A	B	37.50	1.03	0.00	1.03	80.0	0.00
07/31/2017	00088550230	SWANSON,	SMALLCAP WORLD FUND-A	B	125.00	3.44	0.00	3.44	80.0	0.00
07/31/2017	00088550230	SWANSON,	SMALLCAP WORLD FUND-A	B	46.87	1.29	0.00	1.29	80.0	0.00
07/31/2017	00088550230	SWANSON,	THE GROWTH FUND OF AMERICA-A	B	75.00	2.06	0.00	2.06	80.0	0.00
07/31/2017	00088550230	SWANSON,	THE GROWTH FUND OF AMERICA-A	B	28.13	0.77	0.00	0.77	80.0	0.00
08/15/2017	00088550230	SWANSON,	AMCAP FUND-A	B	37.50	1.03	0.00	1.03	80.0	0.00
08/15/2017	00088550230	SWANSON,	AMCAP FUND-A	B	100.00	2.75	0.00	2.75	80.0	0.00

COMMISSION STATEMENT for ROBERT STRAVINSKI

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
08/15/2017	00088550230	SWANSON,		AMERICAN HIGH-INCOME TRUST-A	B	18.75	0.52	0.00	0.52 80.0 0.00
08/15/2017	00088550230	SWANSON,		AMERICAN HIGH-INCOME TRUST-A	B	50.00	1.38	0.00	1.38 80.0 0.00
08/15/2017	00088550230	SWANSON,		CAPITAL WORLD BOND FUND-A	B	18.75	0.52	0.00	0.52 80.0 0.00
08/15/2017	00088550230	SWANSON,		CAPITAL WORLD BOND FUND-A	B	50.00	1.38	0.00	1.38 80.0 0.00
08/15/2017	00088550230	SWANSON,		NEW PERSPECTIVE FUND-A	B	37.50	1.03	0.00	1.03 80.0 0.00
08/15/2017	00088550230	SWANSON,		NEW PERSPECTIVE FUND-A	B	100.00	2.75	0.00	2.75 80.0 0.00
08/15/2017	00088550230	SWANSON,		SMALLCAP WORLD FUND-A	B	125.00	3.44	0.00	3.44 80.0 0.00
08/15/2017	00088550230	SWANSON,		SMALLCAP WORLD FUND-A	B	46.87	1.29	0.00	1.29 80.0 0.00
08/15/2017	00088550230	SWANSON,		THE GROWTH FUND OF AMERICA-A	B	28.13	0.77	0.00	0.77 80.0 0.00
08/15/2017	00088550230	SWANSON,		THE GROWTH FUND OF AMERICA-A	B	75.00	2.06	0.00	2.06 80.0 0.00
08/02/2017	00089401051	EL, JUDITH		AMERICAN FUNDS 2045 TARGET DATE-A	B	808.34	34.35	0.00	34.35 80.0 0.00
08/02/2017	00089401051	EL, JUDITH		AMERICAN FUNDS 2045 TARGET DATE-A	B	161.66	6.87	0.00	6.87 80.0 0.00
08/02/2017	04000164266	STEPHENSON, RYAN		AMERICAN FUNDS 2045 TARGET DATE-A	B	280.00	11.90	0.00	11.90 80.0 0.00
07/31/2017	04000174210	GALLAGHER, AMY		AMERICAN FUNDS 2040 TARGET DATE-A	B	59.00	1.62	0.00	1.62 80.0 0.00
07/31/2017	04000174210	GALLAGHER, AMY		AMERICAN FUNDS 2040 TARGET DATE-A	B	137.81	3.79	0.00	3.79 80.0 0.00
08/15/2017	04000174210	GALLAGHER, AMY		AMERICAN FUNDS 2040 TARGET DATE-A	B	59.00	1.62	0.00	1.62 80.0 0.00
08/15/2017	04000174210	GALLAGHER, AMY		AMERICAN FUNDS 2040 TARGET DATE-A	B	137.81	3.79	0.00	3.79 80.0 0.00
				* MUTUAL FUNDS SUBTOTAL *		\$5,197.47	\$3,026.56	\$0.00	\$3,026.56 \$2,258.31
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/31/2017	0000000000008159	STREET, 717		FRANKLIN 354713539	B	0.00	104.29	0.00	104.29 80.0 83.43
07/31/2017	0000000000008452	STREET, 717		FRANKLIN 354713513	B	0.00	39.68	0.00	39.68 80.0 31.74
07/31/2017	0000000000011419	STREET, 717		FRANKLIN 354713513	B	0.00	4.75	0.00	4.75 80.0 3.80
07/31/2017	0000000000026308	STREET, 717		FRANKLIN-353538507	B	0.00	60.55	0.00	60.55 80.0 48.44
07/31/2017	0000000000036119	STREET, 717		FRANKLIN-353538507	B	0.00	62.86	0.00	62.86 80.0 50.29
07/31/2017	0000000000052086	STREET, 717		FRK SM CAP VALUE FND - CL R	B	0.00	33.42	0.00	33.42 80.0 26.74
07/31/2017	0000000000061489	STREET, 717		FRANKLIN-353538507	B	0.00	78.01	0.00	78.01 80.0 62.41
07/31/2017	0000000000173955	STREET, 717		FRK SM CAP VALUE FND - CL R	B	0.00	71.63	0.00	71.63 80.0 57.30
07/31/2017	000004978553471	STREET, 717		TEMP FRGN FND - CL A	B	0.00	1.85	0.00	1.85 80.0 1.48
07/31/2017	000010185921716	STREET, 717		FRANKLIN U.S. GOVERNMENT SECURITIES B	B	0.00	6.50	0.00	6.50 80.0 5.20
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$463.54	\$0.00	\$463.54 \$370.83
				*** BROKER TRANSACTIONS TOTAL ***		\$5,197.47	\$3,490.10	\$0.00	\$3,490.10 \$2,629.14

COMMISSION STATEMENT for ROBERT STRAVINSKI

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

08/31/2017		E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00		-200.00
08/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
08/31/2017		OTHER: OTHER-VIRTRU		0.00	0.00	0.00	0.00		-7.00
08/31/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-5.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-232.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,629.14
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-232.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-4.36
SIPC Assessment	\$-8.73

Check Amount	\$2,384.05
Year-to-date Earnings	\$8,719.33