



# COMMISSION STATEMENT

## February 26, 2018

**SAMUEL BARBER**  
327 Church St  
Naugatuck, CT 06770

**BROKER #: B06**  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/26/2018	***-**-0853 - BOYER, THOMAS	NYA JPM 529 AGGR AGE-BASE A	50.00	2.38	0.00	2.38	75.0	1.79
	ACCOUNT #: 77467864401	BUY 0 Units @0.0000						
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$50.00</b>	<b>\$2.38</b>	<b>\$0.00</b>	<b>\$2.38</b>		<b>\$1.79</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
02/26/2018	***-**-7110 - ALBERTO, MICHAEL	BLACKROCK 09251M108	0.00	0.45	0.00	0.45	75.0	0.34
	ACCOUNT #: LSR011938	BUY 1 Units @0.0000						
02/26/2018	***-**-7340 - RODESCHINI,	BLACKROCK EQUITY DIVIDEND FD CL C	0.00	31.62	0.00	31.62	75.0	23.72
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
02/26/2018	***-**-6710 - FERNANDEZ, ANA	BLACKROCK EQUITY DIVIDEND FD CL C	0.00	2.30	0.00	2.30	75.0	1.73
	ACCOUNT #: LSR011946	BUY 1 Units @0.0000						
02/26/2018	***-**-2440 - RICHTER, DIANA	BLACKROCK MULTI - ASSET INCOME FUND	0.00	28.06	0.00	28.06	75.0	21.05
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
02/26/2018	***-**-6310 - BARBER,	BLACKROCK STRATEGIC INC OPP PORT CL	0.00	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
02/20/2018	***-**-7340 - RODESCHINI,	CLEARBRIDGE AGGRESSIVE GROWTH C	0.00	9.21	0.00	9.21	75.0	6.91
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
02/26/2018	***-**-6310 - BARBER,	FIRST EAGLE GLOBAL FUND CLASS C	0.00	0.64	0.00	0.64	75.0	0.48
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
02/26/2018	***-**-6710 - FERNANDEZ, ANA	FIRST EAGLE GLOBAL FUND CLASS C	0.00	0.90	0.00	0.90	75.0	0.68
	ACCOUNT #: LSR011946	BUY 1 Units @0.0000						
02/20/2018	***-**-7340 - RODESCHINI,	FUNDAMENTAL INVESTORS-C	0.00	6.88	0.00	6.88	75.0	5.16
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
02/20/2018	***-**-7110 - ALBERTO, MICHAEL	INTERNATIONAL EMERGING MARKETS (A)	0.00	0.45	0.00	0.45	75.0	0.34
	ACCOUNT #: LSR011938	BUY 1 Units @0.0000						
02/20/2018	***-**-6310 - BARBER,	JPMORGAN EQUITY INCOME FUND CLASS C	0.00	0.05	0.00	0.05	75.0	0.04
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
02/20/2018	***-**-6310 - BARBER,	JPMORGAN EQUITY INCOME FUND CLASS C	0.00	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
02/20/2018	***-**-7340 - RODESCHINI,	JPMORGAN LARGE CAP GROWTH CLASS C	0.00	33.56	0.00	33.56	75.0	25.17
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
02/20/2018	***-**-6710 - FERNANDEZ, ANA	JPMORGAN LARGE CAP GROWTH CLASS C	0.00	1.72	0.00	1.72	75.0	1.29
	ACCOUNT #: LSR011946	BUY 1 Units @0.0000						



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u></b>								
02/20/2018	***-**-7340 - RODESCHINI, ACCOUNT #: LSR011934	JPMORGAN LARGE CAP GROWTH CLASS C BUY 1 Units @0.0000	0.00	11.19	0.00	11.19	75.0	8.39
02/20/2018	***-**-67IO - FERNANDEZ, ANA ACCOUNT #: LSR011946	JPMORGAN LARGE CAP GROWTH CLASS C BUY 1 Units @0.0000	0.00	0.57	0.00	0.57	75.0	0.43
02/20/2018	***-**-71IO - ALBERTO, MICHAEL ACCOUNT #: LSR011938	LARGE CAP GROWTH FUND - A BUY 1 Units @0.0000	0.00	0.68	0.00	0.68	75.0	0.51
02/26/2018	***-**-2440 - RICHTER, DIANA ACCOUNT #: LSR011984	LORD ABBETT SHORT DURATION INCOME C BUY 1 Units @0.0000	0.00	11.64	0.00	11.64	75.0	8.73
02/26/2018	***-**-7340 - RODESCHINI, ACCOUNT #: LSR011934	OPPENHEIMER GLOBAL CLASS C BUY 1 Units @0.0000	0.00	26.60	0.00	26.60	75.0	19.95
02/26/2018	***-**-2440 - RICHTER, DIANA ACCOUNT #: LSR011984	PIMCO H/Y-NFS BUY 1 Units @0.0000	0.00	24.83	0.00	24.83	75.0	18.62
02/26/2018	***-**-2440 - RICHTER, DIANA ACCOUNT #: LSR011984	PIMCO H/Y-NFS BUY 1 Units @0.0000	0.00	9.55	0.00	9.55	75.0	7.16
02/26/2018	***-**-63IO - BARBER, ACCOUNT #: LSR011933	PIMCO INCOME FUND CL C BUY 1 Units @0.0000	0.00	0.07	0.00	0.07	75.0	0.05
02/26/2018	***-**-63IO - BARBER, ACCOUNT #: LSR011933	PIMCO INCOME FUND CL C BUY 1 Units @0.0000	0.00	0.03	0.00	0.03	75.0	0.02
02/26/2018	***-**-7340 - RODESCHINI, ACCOUNT #: LSR011934	PIMCO LOW DURATION INCOME FUND CL C BUY 1 Units @0.0000	0.00	8.46	0.00	8.46	75.0	6.35
02/26/2018	***-**-7340 - RODESCHINI, ACCOUNT #: LSR011934	PIMCO LOW DURATION INCOME FUND CL C BUY 1 Units @0.0000	0.00	8.46	0.00	8.46	75.0	6.35
02/26/2018	***-**-63IO - BARBER, ACCOUNT #: LSR011933	PIMCO TOTAL RETURN C BUY 1 Units @0.0000	0.00	0.02	0.00	0.02	75.0	0.02
02/26/2018	***-**-2440 - RICHTER, DIANA ACCOUNT #: LSR011984	PIMCO TOTAL RETURN C BUY 1 Units @0.0000	0.00	11.12	0.00	11.12	75.0	8.34
02/26/2018	***-**-63IO - BARBER, ACCOUNT #: LSR011933	PIMCO TOTAL RETURN C BUY 1 Units @0.0000	0.00	0.01	0.00	0.01	75.0	0.01
02/26/2018	***-**-2440 - RICHTER, DIANA ACCOUNT #: LSR011984	PIMCO TOTAL RETURN C BUY 1 Units @0.0000	0.00	4.28	0.00	4.28	75.0	3.21
02/20/2018	***-**-2440 - RICHTER, DIANA ACCOUNT #: LSR011984	PRINCIPAL EQUITY INC CL C BUY 1 Units @0.0000	0.00	26.37	0.00	26.37	75.0	19.78



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/20/2018	***-**-63IO - BARBER, ACCOUNT #: LSR011933	PRINCIPAL GLOBAL DIVERSIFIED INCOME BUY 1 Units @0.0000	0.00	0.38	0.00	0.38	75.0	0.29
02/20/2018	***-**-7340 - RODESCHINI, ACCOUNT #: LSR011934	PRINCIPAL GLOBAL DIVERSIFIED INCOME BUY 1 Units @0.0000	0.00	8.53	0.00	8.53	75.0	6.40
02/20/2018	***-**-2440 - RICHTER, DIANA ACCOUNT #: LSR011984	PRINCIPAL GLOBAL DIVERSIFIED INCOME BUY 1 Units @0.0000	0.00	48.31	0.00	48.31	75.0	36.23
02/20/2018	***-**-17IR - REAGAN, MATTHEW ACCOUNT #: LSR011947	PRINCIPAL GLOBAL MULTI-STRATEGY CL BUY 1 Units @0.0000	0.00	0.81	0.00	0.81	75.0	0.61
02/20/2018	***-**-71IO - ALBERTO, MICHAEL ACCOUNT #: LSR011938	PRINCIPAL INVESTORS SAM CONSERV GRO BUY 1 Units @0.0000	0.00	19.77	0.00	19.77	75.0	14.83
02/20/2018	***-**-17IR - REAGAN, MATTHEW ACCOUNT #: LSR011947	PRINCIPAL INVESTORS SAM STRATEGIC G BUY 1 Units @0.0000	0.00	2.39	0.00	2.39	75.0	1.79
02/20/2018	***-**-63IO - BARBER, ACCOUNT #: LSR011933	TEMPLETON GLOBAL BOND FUND - CLASS BUY 1 Units @0.0000	0.00	0.04	0.00	0.04	75.0	0.03
02/20/2018	***-**-7340 - RODESCHINI, ACCOUNT #: LSR011934	TEMPLETON GLOBAL BOND FUND - CLASS BUY 1 Units @0.0000	0.00	4.62	0.00	4.62	75.0	3.47
02/20/2018	***-**-2440 - RICHTER, DIANA ACCOUNT #: LSR011984	TEMPLETON GLOBAL BOND FUND - CLASS BUY 1 Units @0.0000	0.00	8.78	0.00	8.78	75.0	6.59
02/20/2018	***-**-7340 - RODESCHINI, ACCOUNT #: LSR011934	THE GROWTH FUND OF AMERICA-C BUY 1 Units @0.0000	0.00	19.64	0.00	19.64	75.0	14.73
02/20/2018	***-**-63IO - BARBER, ACCOUNT #: LSR011933	WESTERN ASSET CORE BOND FUND CL C BUY 1 Units @0.0000	0.00	0.03	0.00	0.03	75.0	0.02
02/20/2018	***-**-2440 - RICHTER, DIANA ACCOUNT #: LSR011984	WESTERN ASSET MANAGED MUNI CL C BUY 1 Units @0.0000	0.00	14.74	0.00	14.74	75.0	11.06
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$387.84</b>	<b>\$0.00</b>	<b>\$387.84</b>		<b>\$290.95</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$50.00</b>	<b>\$390.22</b>	<b>\$0.00</b>	<b>\$390.22</b>		<b>\$292.74</b>



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
BROKER COMMISSION TOTALS								
Broker Transactions							\$292.74	
Split Transactions							\$0.00	
Override Transactions							\$0.00	
Adjustments							\$0.00	
Payroll Draw							\$0.00	
Base Salary							\$0.00	
Prior Period Balance							\$0.00	
FINRA Assessment							\$0.00	
SIPC Assessment							\$0.00	
Net Commission Earned							\$292.74	
Year-to-date Earnings							\$0.00	