



Concorde Investment Services, LLC

04/27/2017
Page No. 1

COMMISSION STATEMENT **April 30, 2017**

ACCOUNT HOUSE
1120 E. LONG LAKE ROAD
SUITE 207
TROY, MI 48085-

BROKER #: G999
BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
04/10/2017	HERNDON, TIMOTHY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.06	0.00	1.06	100.0	1.06
04/10/2017	MCGINNIS TRUST	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.86	0.00	6.86	100.0	6.86
04/10/2017	CICONE, SISENANDA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.36	0.00	1.36	100.0	1.36
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$9.28	\$0.00	\$9.28		\$9.28
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/17/2017	CONCORDE, VARIOUS	AM CENT EQUITY INCOME	-406.98	0.00	0.00	0.00	100.0	0.00
04/17/2017	CONCORDE, VARIOUS	AM CENT EQUITY INCOME	386.63	0.00	0.00	0.00	100.0	0.00
04/17/2017	LARA, DYLAN	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
04/17/2017	LARA, DEREK	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
04/12/2017	ESSARY, BRADLEY	AM FUNDS AMERICAN MUTUAL A	115.00	4.31	0.00	4.31	100.0	4.31
04/10/2017	BODO, DAVID	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.19	0.00	3.19	100.0	3.19
04/10/2017	BODO, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	100.0	3.19
04/12/2017	ESSARY, BRADLEY	AM FUNDS CAPITAL WORLD GRTH & INC A	115.00	4.31	0.00	4.31	100.0	4.31
04/10/2017	GREEN, WILLIAM	AM FUNDS GROWTH AND INCOME A	250.00	12.50	0.00	12.50	100.0	12.50
04/20/2017	HERNANDEZ, HECTOR	AM FUNDS GROWTH AND INCOME A	50.00	2.50	0.00	2.50	100.0	2.50
04/13/2017	COHEN, LORI PIERCE-	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	1.00	0.00	1.00	100.0	1.00
04/10/2017	BODO, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	100.0	2.13
04/12/2017	ESSARY, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA A	115.00	4.31	0.00	4.31	100.0	4.31
04/17/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	91.41	0.00	0.00	0.00	100.0	0.00
04/17/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-96.22	0.00	0.00	0.00	100.0	0.00
04/17/2017	HENRY, WYATT	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
04/17/2017	CONCORDE, VARIOUS	AM FUNDS ICA A	-402.80	0.00	0.00	0.00	100.0	0.00
04/17/2017	CONCORDE, VARIOUS	AM FUNDS ICA A	382.66	0.00	0.00	0.00	100.0	0.00
04/17/2017	CONCORDE, VARIOUS	AM FUNDS ICA A	-2,027.25	0.00	0.00	0.00	100.0	0.00
04/17/2017	CONCORDE, VARIOUS	AM FUNDS ICA A	1,951.23	0.00	0.00	0.00	100.0	0.00
04/13/2017	COHEN, LORI PIERCE-	AM FUNDS NEW PERSPECTIVE 529C	100.00	1.00	0.00	1.00	100.0	1.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/12/2017	ESSARY, BRADLEY	AM FUNDS NEW PERSPECTIVE A	115.00	4.31	0.00	4.31	100.0	4.31
04/10/2017	KERN, KATHERINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	100.0	5.00
04/17/2017	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	1,964.92	0.00	0.00	0.00	100.0	0.00
04/17/2017	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	384.49	0.00	0.00	0.00	100.0	0.00
04/17/2017	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	-2,041.48	0.00	0.00	0.00	100.0	0.00
04/17/2017	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	-404.73	0.00	0.00	0.00	100.0	0.00
04/17/2017	CONCORDE, VARIOUS	AMERICAN CENTURY STRATEGIC ALLOC AG	-1,000.35	0.00	0.00	0.00	100.0	0.00
04/17/2017	CONCORDE, VARIOUS	AMERICAN CENTURY STRATEGIC ALLOC AG	960.34	0.00	0.00	0.00	100.0	0.00
04/24/2017	CONCORDE, VARIOUS	CATALYST/MILLBURN HEDGE STRATEGY FU	-8,200.00	0.00	0.00	0.00	100.0	0.00
04/24/2017	CONCORDE, VARIOUS	CATALYST/MILLBURN HEDGE STRATEGY FU	7,790.00	0.00	0.00	0.00	100.0	0.00
04/25/2017	CONCORDE, VARIOUS	CATALYST/MILLBURN HEDGE STRATEGY FU	29,446.59	0.00	0.00	0.00	100.0	0.00
04/25/2017	CONCORDE, VARIOUS	CATALYST/MILLBURN HEDGE STRATEGY FU	-30,996.41	0.00	0.00	0.00	100.0	0.00
04/01/2017	TSEREGOUNIS, SPYROS	DAVIS GOVERNMENT BOND A	0.00	18.78	0.00	18.78	100.0	18.78
04/01/2017	KATEHI, PISTI	DAVIS GOVERNMENT BOND A	0.00	8.03	0.00	8.03	100.0	8.03
04/01/2017	WERNER, DOROTHY	DAVIS GOVERNMENT BOND A	0.00	33.58	0.00	33.58	100.0	33.58
04/01/2017	LEWIS, JOAN	DAVIS GOVERNMENT BOND A	0.00	1.42	0.00	1.42	100.0	1.42
04/01/2017	KNOCH JR, WALTER	DAVIS GOVERNMENT MONEY MARKET A	0.00	0.00	0.00	0.00	100.0	0.00
04/01/2017	OLWEEAN UGMA-MI, SARA	DAVIS GOVERNMENT MONEY MARKET B	0.00	0.00	0.00	0.00	100.0	0.00
04/01/2017	KNOCH JR, WALTER	DAVIS NEW YORK VENTURE A	0.00	151.19	0.00	151.19	100.0	151.19
04/01/2017	COCKRILL, JUDITH	DAVIS NEW YORK VENTURE A	0.00	27.97	0.00	27.97	100.0	27.97
04/01/2017	POLANT, LOUIS	DAVIS NEW YORK VENTURE A	0.00	19.93	0.00	19.93	100.0	19.93
04/01/2017	GARCIA, ADELA	DAVIS NEW YORK VENTURE A	0.00	22.89	0.00	22.89	100.0	22.89
04/01/2017	TSEREGOUNIS, SPYROS	DAVIS OPPORTUNITY A	0.00	21.69	0.00	21.69	100.0	21.69
04/11/2017	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	5,700.00	0.00	0.00	0.00	100.0	0.00
04/11/2017	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	-6,000.00	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	-65,028.07	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	61,776.67	0.00	0.00	0.00	100.0	0.00
04/17/2017	CONCORDE, VARIOUS	HARTFORD CAPITAL APPRECIATION C	212.47	0.00	0.00	0.00	100.0	0.00
04/17/2017	CONCORDE, VARIOUS	HARTFORD CAPITAL APPRECIATION C	-214.62	0.00	0.00	0.00	100.0	0.00
04/17/2017	CONCORDE, VARIOUS	PUTNAM ASSET ALLOC GROWTH A	47.50	0.00	0.00	0.00	100.0	0.00
04/17/2017	CONCORDE, VARIOUS	PUTNAM ASSET ALLOC GROWTH A	-50.00	0.00	0.00	0.00	100.0	0.00
04/17/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	-150.00	0.00	0.00	0.00	100.0	0.00
04/17/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	148.50	0.00	0.00	0.00	100.0	0.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/17/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-100.00	0.00	0.00	0.00	100.0	0.00
04/17/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	99.00	0.00	0.00	0.00	100.0	0.00
04/17/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-150.00	0.00	0.00	0.00	100.0	0.00
04/17/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	148.50	0.00	0.00	0.00	100.0	0.00
04/12/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH A	-1,800.00	0.00	0.00	0.00	100.0	0.00
04/12/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH A	1,728.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-4,440.00	\$360.73	\$0.00	\$360.73		\$360.73
<u>PRODUCT CATEGORY: STOCKS</u>								
04/21/2017	CONCORDE, VARIOUS	APOLLO GLOBAL MGMT LLC CL A SHS	-2,501.50	0.00	1.00	-1.00	100.0	-1.00
04/21/2017	CONCORDE, VARIOUS	APOLLO GLOBAL MGMT LLC CL A SHS	-2,501.50	0.00	1.00	-1.00	100.0	-1.00
04/21/2017	CONCORDE, VARIOUS	APOLLO GLOBAL MGMT LLC CL A SHS	-12,505.00	0.00	1.00	-1.00	100.0	-1.00
04/21/2017	CONCORDE, VARIOUS	APOLLO GLOBAL MGMT LLC CL A SHS	-20,008.08	0.00	1.00	-1.00	100.0	-1.00
04/21/2017	CONCORDE, VARIOUS	APOLLO GLOBAL MGMT LLC CL A SHS	37,516.05	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	BIODELIVERY SCIENCES INTL INC COM	-3,300.00	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	BIODELIVERY SCIENCES INTL INC COM	825.00	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	BIODELIVERY SCIENCES INTL INC COM	2,475.00	0.00	1.00	-1.00	100.0	-1.00
04/21/2017	CONCORDE, VARIOUS	BIODELIVERY SCIENCES INTL INC COM	-12,800.00	0.00	0.00	0.00	100.0	0.00
04/21/2017	CONCORDE, VARIOUS	BIODELIVERY SCIENCES INTL INC COM	5,760.00	0.00	1.00	-1.00	100.0	-1.00
04/21/2017	CONCORDE, VARIOUS	BIODELIVERY SCIENCES INTL INC COM	3,040.00	0.00	1.00	-1.00	100.0	-1.00
04/21/2017	CONCORDE, VARIOUS	BIODELIVERY SCIENCES INTL INC COM	640.00	0.00	1.00	-1.00	100.0	-1.00
04/21/2017	CONCORDE, VARIOUS	BIODELIVERY SCIENCES INTL INC COM	3,360.00	0.00	1.00	-1.00	100.0	-1.00
04/21/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-1,307.50	0.00	1.00	-1.00	100.0	-1.00
04/21/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-1,307.50	0.00	1.00	-1.00	100.0	-1.00
04/21/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-1,307.50	0.00	1.00	-1.00	100.0	-1.00
04/21/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-1,307.10	0.00	1.00	-1.00	100.0	-1.00
04/21/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-2,614.00	0.00	1.00	-1.00	100.0	-1.00
04/21/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-1,437.70	0.00	1.00	-1.00	100.0	-1.00
04/21/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-2,615.00	0.00	1.00	-1.00	100.0	-1.00
04/21/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	11,896.34	0.00	0.00	0.00	100.0	0.00
04/24/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	7,469.25	0.00	0.00	0.00	100.0	0.00
04/24/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-1,308.50	0.00	1.00	-1.00	100.0	-1.00
04/24/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-6,160.73	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	-23,785.20	0.00	0.00	0.00	100.0	0.00

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
04/18/2017	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	1,585.00	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	1,586.00	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	1,586.00	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	1,585.00	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	1,586.00	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	12,685.20	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	1,586.00	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	EATON VANCE TAX ADVANTAGED GLO GLOB	1,586.00	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	FORD MOTOR CO DEL COM PAR	1,449.50	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	FORD MOTOR CO DEL COM PAR	12,263.90	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	FORD MOTOR CO DEL COM PAR	1,114.50	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	FORD MOTOR CO DEL COM PAR	1,114.90	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	FORD MOTOR CO DEL COM PAR	5,575.00	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	FORD MOTOR CO DEL COM PAR	4,460.00	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	FORD MOTOR CO DEL COM PAR	1,115.00	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	FORD MOTOR CO DEL COM PAR	4,460.00	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	FORD MOTOR CO DEL COM PAR	1,115.00	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	FORD MOTOR CO DEL COM PAR	3,345.00	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	FORD MOTOR CO DEL COM PAR	3,345.00	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	FORD MOTOR CO DEL COM PAR	1,115.00	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	FORD MOTOR CO DEL COM PAR	2,230.00	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	FORD MOTOR CO DEL COM PAR	2,230.00	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	FORD MOTOR CO DEL COM PAR	-28,990.00	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	FORD MOTOR CO DEL COM PAR	-15,942.78	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-1,352.50	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-270.50	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	2,028.75	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-405.75	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-941.76	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	6,827.76	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-1,177.20	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-4,708.80	0.00	0.00	0.00	100.0	0.00
04/21/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	-1,149.01	0.00	1.00	-1.00	100.0	-1.00

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: STOCKS								
04/21/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	-1,149.01	0.00	1.00	-1.00	100.0	-1.00
04/21/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	-873.25	0.00	1.00	-1.00	100.0	-1.00
04/21/2017	CONCORDE, VARIOUS	NORTHSTAR RLTY EUROPE CORP COM	3,171.27	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-756.95	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	1,189.50	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-262.62	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-169.93	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-139.50	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-604.50	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	1,116.00	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-372.00	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	2,473.52	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-1,855.14	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-309.19	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-309.19	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-147.24	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-331.29	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-1,619.64	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	2,098.17	0.00	1.00	-1.00	100.0	-1.00
04/12/2017	CONCORDE, VARIOUS	SPROTT PHYSICAL SILVER TR TR UNIT I	2,363.85	0.00	0.00	0.00	100.0	0.00
04/12/2017	CONCORDE, VARIOUS	SPROTT PHYSICAL SILVER TR TR UNIT I	-1,668.84	0.00	1.00	-1.00	100.0	-1.00
04/12/2017	CONCORDE, VARIOUS	SPROTT PHYSICAL SILVER TR TR UNIT I	-695.01	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-85.48	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-512.88	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	769.32	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-170.96	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	16,271.61	0.00	1.00	-1.00	100.0	-1.00
04/18/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-2,798.91	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-11,812.34	0.00	0.00	0.00	100.0	0.00
04/18/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-1,660.37	0.00	0.00	0.00	100.0	0.00
04/17/2017	CONCORDE, VARIOUS	VEOLIA ENVIRONMENT SPONSORED ADR	15,427.50	0.00	0.00	0.00	100.0	0.00
04/17/2017	CONCORDE, VARIOUS	VEOLIA ENVIRONMENT SPONSORED ADR	-9,112.50	0.00	1.00	-1.00	100.0	-1.00
04/17/2017	CONCORDE, VARIOUS	VEOLIA ENVIRONMENT SPONSORED ADR	-5,422.50	0.00	1.00	-1.00	100.0	-1.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
04/17/2017	CONCORDE, VARIOUS	VEOLIA ENVIRONMENT SPONSORED ADR	-892.50	0.00	1.00	-1.00	100.0	-1.00
04/17/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	-38,325.00	0.00	0.00	0.00	100.0	0.00
04/17/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	2,555.00	0.00	1.00	-1.00	100.0	-1.00
04/17/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	562.10	0.00	1.00	-1.00	100.0	-1.00
04/17/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	2,555.00	0.00	1.00	-1.00	100.0	-1.00
04/17/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	1,098.65	0.00	1.00	-1.00	100.0	-1.00
04/17/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	2,555.00	0.00	1.00	-1.00	100.0	-1.00
04/17/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	1,405.25	0.00	1.00	-1.00	100.0	-1.00
04/17/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	2,555.00	0.00	1.00	-1.00	100.0	-1.00
04/17/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	2,555.00	0.00	1.00	-1.00	100.0	-1.00
04/17/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	22,484.00	0.00	1.00	-1.00	100.0	-1.00
04/24/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	41,100.00	0.00	0.00	0.00	100.0	0.00
04/24/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	-2,740.00	0.00	1.00	-1.00	100.0	-1.00
04/24/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	-2,740.00	0.00	1.00	-1.00	100.0	-1.00
04/24/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	-35,620.00	0.00	1.00	-1.00	100.0	-1.00
* STOCKS SUBTOTAL *			\$0.04	\$0.00	\$69.00	\$-69.00		\$-69.00
<u>PRODUCT CATEGORY: BONDS</u>								
04/11/2017	CONCORDE, VARIOUS	DENBURY RES INC GTD SR SUB NT 5.500	46,014.30	0.00	18.00	-18.00	100.0	-18.00
04/11/2017	CONCORDE, VARIOUS	DENBURY RES INC GTD SR SUB NT 5.500	-46,014.30	0.00	15.00	-15.00	100.0	-15.00
04/11/2017	CONCORDE, VARIOUS	RESOLUTE FST PRODS INC GTD SR NT FU	-15,603.45	0.00	15.00	-15.00	100.0	-15.00
04/11/2017	CONCORDE, VARIOUS	RESOLUTE FST PRODS INC GTD SR NT FU	15,603.45	0.00	18.00	-18.00	100.0	-18.00
* BONDS SUBTOTAL *			\$0.00	\$0.00	\$66.00	\$-66.00		\$-66.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/14/2017	LUND, VARIOUS	ING RELIASTAR	0.00	530.72	0.00	530.72	100.0	530.72
04/17/2017	FORMELLA, ANNETTE	GENWORTH INS	25.00	0.25	0.00	0.25	100.0	0.25
04/17/2017	FORMELLA, DAVID	GENWORTH INS	35.00	0.35	0.00	0.35	100.0	0.35
04/10/2017	SIKORA, JANET	PAC LIFE INNOVATIVE SELECT	0.00	292.52	0.00	292.52	100.0	292.52
04/12/2017	DURZO, ROSINA	PAC LIFE INNOVATIVE SELECT	0.00	79.01	0.00	79.01	100.0	79.01
* VARIABLE ANNUITIES SUBTOTAL *			\$60.00	\$902.85	\$0.00	\$902.85		\$902.85

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
04/25/2017	CONCORDE, VARIOUS	FT UNIT 6398 U S EQUITY CLOSED-END	-30,003.27	0.00	17.50	-17.50	100.0	-17.50
04/25/2017	CONCORDE, VARIOUS	FT UNIT 6398 U S EQUITY CLOSED-END	29,298.11	0.00	17.50	-17.50	100.0	-17.50
04/25/2017	CONCORDE, VARIOUS	FT UNIT 6490 STONEBRIDGE PFD INCOME	29,302.52	0.00	17.50	-17.50	100.0	-17.50
04/25/2017	CONCORDE, VARIOUS	FT UNIT 6490 STONEBRIDGE PFD INCOME	-30,007.66	0.00	17.50	-17.50	100.0	-17.50
04/21/2017	CONCORDE, VARIOUS	FT UNIT 6554 MLP; CLOSED END PORT	-20,993.01	0.00	17.50	-17.50	100.0	-17.50
04/21/2017	CONCORDE, VARIOUS	FT UNIT 6554 MLP; CLOSED END PORT	20,331.72	0.00	17.50	-17.50	100.0	-17.50
04/25/2017	CONCORDE, VARIOUS	FT UNIT 6554 MLP; CLOSED END PORT	-30,007.40	0.00	17.50	-17.50	100.0	-17.50
04/25/2017	CONCORDE, VARIOUS	FT UNIT 6554 MLP; CLOSED END PORT	29,302.08	0.00	17.50	-17.50	100.0	-17.50
04/25/2017	CONCORDE, VARIOUS	FT UNIT 6572 DIVID & INCOME PLUS CL	29,342.44	0.00	17.50	-17.50	100.0	-17.50
04/25/2017	CONCORDE, VARIOUS	FT UNIT 6572 DIVID & INCOME PLUS CL	-30,010.02	0.00	17.50	-17.50	100.0	-17.50
04/25/2017	CONCORDE, VARIOUS	FT UNIT 6590 TARGET GLOBAL DVD LEAD	-30,001.12	0.00	17.50	-17.50	100.0	-17.50
04/25/2017	CONCORDE, VARIOUS	FT UNIT 6590 TARGET GLOBAL DVD LEAD	29,551.02	0.00	17.50	-17.50	100.0	-17.50
04/25/2017	CONCORDE, VARIOUS	FT UNIT 6615 EQUITY CLOSED END PORT	29,301.44	0.00	17.50	-17.50	100.0	-17.50
04/25/2017	CONCORDE, VARIOUS	FT UNIT 6615 EQUITY CLOSED END PORT	-30,006.63	0.00	17.50	-17.50	100.0	-17.50
04/25/2017	CONCORDE, VARIOUS	FT UNIT 6619 COVERED CALL SELECT CL	29,298.69	0.00	17.50	-17.50	100.0	-17.50
04/25/2017	CONCORDE, VARIOUS	FT UNIT 6619 COVERED CALL SELECT CL	-30,003.88	0.00	17.50	-17.50	100.0	-17.50
04/25/2017	CONCORDE, VARIOUS	FT UNIT 6620 GLOBAL; EQTY INC	-30,006.15	0.00	17.50	-17.50	100.0	-17.50
04/25/2017	CONCORDE, VARIOUS	FT UNIT 6620 GLOBAL; EQTY INC	29,300.98	0.00	17.50	-17.50	100.0	-17.50
04/25/2017	CONCORDE, VARIOUS	FT UNIT 6623 PREFERRED INCOME PORT	-30,005.13	0.00	17.50	-17.50	100.0	-17.50
04/25/2017	CONCORDE, VARIOUS	FT UNIT 6623 PREFERRED INCOME PORT	29,300.10	0.00	17.50	-17.50	100.0	-17.50
04/25/2017	CONCORDE, VARIOUS	FT UNIT 6627 CLOSED END FD & ENERGY	29,304.26	0.00	17.50	-17.50	100.0	-17.50
04/25/2017	CONCORDE, VARIOUS	FT UNIT 6627 CLOSED END FD & ENERGY	-30,009.44	0.00	17.50	-17.50	100.0	-17.50
04/21/2017	CONCORDE, VARIOUS	FT UNIT 6636 SABRIENT BAKERS PORTFO	7,811.56	0.00	17.50	-17.50	100.0	-17.50
04/21/2017	CONCORDE, VARIOUS	FT UNIT 6636 SABRIENT BAKERS PORTFO	-4,992.11	0.00	17.50	-17.50	100.0	-17.50
04/21/2017	CONCORDE, VARIOUS	FT UNIT 6636 SABRIENT BAKERS PORTFO	-6,994.94	0.00	17.50	-17.50	100.0	-17.50
04/21/2017	CONCORDE, VARIOUS	FT UNIT 6636 SABRIENT BAKERS PORTFO	3,905.78	0.00	17.50	-17.50	100.0	-17.50
04/21/2017	CONCORDE, VARIOUS	FT UNIT 6636 SABRIENT BAKERS PORTFO	6,837.55	0.00	17.50	-17.50	100.0	-17.50
04/21/2017	CONCORDE, VARIOUS	FT UNIT 6636 SABRIENT BAKERS PORTFO	5,863.54	0.00	17.50	-17.50	100.0	-17.50
04/21/2017	CONCORDE, VARIOUS	FT UNIT 6636 SABRIENT BAKERS PORTFO	4,879.79	0.00	17.50	-17.50	100.0	-17.50
04/21/2017	CONCORDE, VARIOUS	FT UNIT 6636 SABRIENT BAKERS PORTFO	-3,995.68	0.00	17.50	-17.50	100.0	-17.50
04/21/2017	CONCORDE, VARIOUS	FT UNIT 6636 SABRIENT BAKERS PORTFO	-18,991.96	0.00	17.50	-17.50	100.0	-17.50
04/21/2017	CONCORDE, VARIOUS	FT UNIT 6636 SABRIENT BAKERS PORTFO	-5,998.51	0.00	17.50	-17.50	100.0	-17.50
04/21/2017	CONCORDE, VARIOUS	FT UNIT 6636 SABRIENT BAKERS PORTFO	-7,991.37	0.00	17.50	-17.50	100.0	-17.50

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
04/21/2017	CONCORDE, VARIOUS	FT UNIT 6636 SABRIENT BAKERS PORTFO	18,564.63	0.00	17.50	-17.50	100.0	-17.50
04/24/2017	CONCORDE, VARIOUS	FT UNIT 6636 SABRIENT BAKERS PORTFO	8,300.14	0.00	17.50	-17.50	100.0	-17.50
04/24/2017	CONCORDE, VARIOUS	FT UNIT 6636 SABRIENT BAKERS PORTFO	-8,491.17	0.00	17.50	-17.50	100.0	-17.50
04/24/2017	CONCORDE, VARIOUS	SMART TR CEFA SELECT BDC TR SER 9 U	50,484.82	0.00	17.50	-17.50	100.0	-17.50
04/24/2017	CONCORDE, VARIOUS	SMART TR CEFA SELECT BDC TR SER 9 U	-51,992.58	0.00	17.50	-17.50	100.0	-17.50
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$-10,220.86	\$0.00	\$665.00	\$-665.00		\$-665.00
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
04/03/2017	KENNARD, DEAN	PAC LIFE VUL	223.83	4.48	0.00	4.48	100.0	4.48
04/03/2017	PAI, ANITHA	PAC LIFE VUL	86.83	1.74	0.00	1.74	100.0	1.74
04/03/2017	PAI, ANITHA	PAC LIFE VUL	138.17	2.76	0.00	2.76	100.0	2.76
04/07/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	11.57	0.00	11.57	100.0	11.57
04/10/2017	PAI, YOGISH & ANITA	PAC LIFE VUL	700.00	14.00	0.00	14.00	100.0	14.00
04/14/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	2.55	0.00	2.55	100.0	2.55
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$1,148.83	\$37.10	\$0.00	\$37.10		\$37.10
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/31/2017	BROWN, LAURA	ASCENSUS 529 TRAILS	0.00	2.75	0.00	2.75	100.0	2.75
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$2.75	\$0.00	\$2.75		\$2.75
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
04/18/2017	VETERE, VICTORIA	ING PREMIUM PLUS	0.00	1.01	0.00	1.01	100.0	1.01
04/18/2017	BUCKEYE, JOAN	ING PREMIUM PLUS 2005	0.00	22.78	0.00	22.78	100.0	22.78
04/17/2017	MCGINNIS, JAMES	LANDMARK 2002	0.00	220.84	0.00	220.84	100.0	220.84
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$244.63	\$0.00	\$244.63		\$244.63
*** BROKER TRANSACTIONS TOTAL ***			\$-13,451.99	\$1,557.34	\$800.00	\$757.34		\$757.34

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: ACCOUNT HOUSE #1 </u>								
04/17/2017	LARA, DYLAN	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
04/17/2017	LARA, DEREK	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
04/12/2017	ESSARY, BRADLEY	AM FUNDS AMERICAN MUTUAL A	115.00	4.31	0.00	4.31	100.0	4.31
04/10/2017	BODO, DAVID	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.19	0.00	3.19	100.0	3.19
04/10/2017	BODO, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	100.0	3.19
04/12/2017	ESSARY, BRADLEY	AM FUNDS CAPITAL WORLD GRTH & INC A	115.00	4.31	0.00	4.31	100.0	4.31
04/10/2017	GREEN, WILLIAM	AM FUNDS GROWTH AND INCOME A	250.00	12.50	0.00	12.50	100.0	12.50
04/20/2017	HERNANDEZ, HECTOR	AM FUNDS GROWTH AND INCOME A	50.00	2.50	0.00	2.50	100.0	2.50
04/13/2017	COHEN, LORI PIERCE-	AM FUNDS GROWTH FUND OF AMERICA 529	100.00	1.00	0.00	1.00	100.0	1.00
04/10/2017	BODO, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	100.0	2.13
04/12/2017	ESSARY, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA A	115.00	4.31	0.00	4.31	100.0	4.31
04/17/2017	HENRY, WYATT	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
04/13/2017	COHEN, LORI PIERCE-	AM FUNDS NEW PERSPECTIVE 529C	100.00	1.00	0.00	1.00	100.0	1.00
04/12/2017	ESSARY, BRADLEY	AM FUNDS NEW PERSPECTIVE A	115.00	4.31	0.00	4.31	100.0	4.31
* ACCOUNT HOUSE SUBTOTAL *			\$1,310.00	\$50.25	\$0.00	\$50.25		\$50.25
<u> BROKER: ANTHONY GUGLIELME #8 </u>								
04/17/2017	BRANDUZZI, DOMENIC	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	4.25	0.00	4.25	0.0	4.25
04/17/2017	BRANDUZZI, DOMENIC	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	0.0	4.25
04/17/2017	BATTALINI, ANTHONY	FT UNIT 5780 DEEP VALUE DIVID PORT	-7,995.87	28.00	44.00	-16.00	0.0	-16.00
* ANTHONY GUGLIELME SUBTOTAL *			\$-7,795.87	\$36.50	\$44.00	\$-7.50		\$-7.50
<u> BROKER: 101 CFGROUP/KOSANKE #9 </u>								
04/10/2017	HAWK, CYNTHIA	AM FUNDS NEW PERSPECTIVE A	100.00	5.00	0.00	5.00	95.0	5.00
* 101 CFGROUP/KOSANKE SUBTOTAL *			\$100.00	\$5.00	\$0.00	\$5.00		\$5.00
<u> BROKER: MATT BRANSTETTER #10 </u>								
04/17/2017	BENNETT, MARLA	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	3.75	0.00	3.75	80.0	3.75
* MATT BRANSTETTER SUBTOTAL *			\$100.00	\$3.75	\$0.00	\$3.75		\$3.75
<u> BROKER: ERIC HORNYAK #29 </u>								
/ /	CROSSFIRE GROUP Q3AM	AM CENTURY HERITAGE	0.00	0.72	0.00	0.72	0.0	0.72
04/20/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	0.0	24.23
04/20/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	0.0	24.23
04/20/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	100.00	3.75	0.00	3.75	0.0	3.75

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: ERIC HORNYAK #29 </u>								
04/05/2017	BLINKE, RONALD	MAINSTAY HIGH YIELD CORP BOND A	0.00	68.11	0.00	68.11	0.0	68.11
		* ERIC HORNYAK SUBTOTAL *	\$1,392.00	\$121.04	\$0.00	\$121.04		\$121.04
<u> BROKER: THOMAS BOAKS #33 </u>								
04/05/2017	HAYES, REBECCA	ALGER CAPITAL APPRECIATION A	0.00	0.16	0.00	0.16	0.0	0.16
04/05/2017	MARTIN, DAVID	ALGER CAPITAL APPRECIATION A	0.00	0.34	0.00	0.34	0.0	0.34
04/05/2017	HAYES, REBECCA	ALGER GROWTH & INCOME A	0.00	0.13	0.00	0.13	0.0	0.13
04/05/2017	HAYES, REBECCA	ALGER LARGECAP GROWTH A	0.00	0.06	0.00	0.06	0.0	0.06
04/05/2017	MARTIN, DAVID	ALGER LARGECAP GROWTH A	0.00	0.15	0.00	0.15	0.0	0.15
04/05/2017	HAYES, REBECCA	ALGER MIDCAP GROWTH A	0.00	0.11	0.00	0.11	0.0	0.11
04/05/2017	MARTIN, DAVID	ALGER MIDCAP GROWTH A	0.00	0.05	0.00	0.05	0.0	0.05
04/05/2017	MARTIN, DAVID	ALGER SMALLCAP GROWTH A	0.00	0.20	0.00	0.20	0.0	0.20
04/01/2017	BOAKS, CHRISTOPHER	DAVIS NEW YORK VENTURE A	0.00	0.40	0.00	0.40	0.0	0.40
04/01/2017	JACKSON, MATTHEW	DAVIS NEW YORK VENTURE C	0.00	24.27	0.00	24.27	0.0	24.27
04/01/2017	KANDT, TRACY	DAVIS NEW YORK VENTURE C	0.00	81.47	0.00	81.47	0.0	81.47
04/01/2017	BURESS, KELLY	DAVIS NEW YORK VENTURE C	0.00	5.58	0.00	5.58	0.0	5.58
04/01/2017	HEAD, JOHN	DAVIS NEW YORK VENTURE C	0.00	6.98	0.00	6.98	0.0	6.98
04/13/2017	BORDERS, BARBARA	DWS STRATEGIC GOVERNMENT SECURITIES	0.00	2.12	0.00	2.12	0.0	2.12
		* THOMAS BOAKS SUBTOTAL *	\$0.00	\$122.02	\$0.00	\$122.02		\$122.02
<u> BROKER: MELANIE DICENSO #43 </u>								
04/13/2017	VANDERKHOVE, HANNAH	DWS DEUTSCHE EQUITY DIVIDEND FUND-A	0.00	0.45	0.00	0.45	0.0	0.45
04/13/2017	NASH, SUZANNE	DWS GLOBAL INCOME BUILDER A	0.00	0.04	0.00	0.04	0.0	0.04
04/04/2017	DAYTON, ROBERT	PIONEER FUND A	0.00	0.79	0.00	0.79	0.0	0.79
		* MELANIE DICENSO SUBTOTAL *	\$0.00	\$1.28	\$0.00	\$1.28		\$1.28
<u> BROKER: JOHN BEAN #45 </u>								
04/12/2017	TOTZKE, LARRY	CALVERT INCOME FUND CLASS A	0.00	3.71	0.00	3.71	0.0	3.71
04/03/2017	GOOD HOPE LUTHERAN	PIMCO LOW DURATION CLASS A	0.00	19.16	0.00	19.16	0.0	19.16
04/03/2017	STUMP, DAVID	PIMCO TOTAL RETURN D	0.00	1.33	0.00	1.33	0.0	1.33
04/03/2017	HENRY, REBECCA	PIMCO TOTAL RETURN D	0.00	1.19	0.00	1.19	0.0	1.19
		* JOHN BEAN SUBTOTAL *	\$0.00	\$25.39	\$0.00	\$25.39		\$25.39

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: ROBERT (RUSTY) TWEED #58</u>								
04/05/2017	ENGSTROM, COLEMAN	COLUMBIA ACORN INT'L A	0.00	2.28	0.00	2.28	0.0	2.28
04/05/2017	PANTON, GARY T & DEBORA	COLUMBIA CALIFORNIA TAX-EXEMPT FUND	0.00	0.85	0.00	0.85	0.0	0.85
04/05/2017	UMBRELLO, ALBERT	COLUMBIA LARGECAP GROWTH A	0.00	6.13	0.00	6.13	0.0	6.13
04/05/2017	UMBRELLO, ALBERT	COLUMBIA SELIGMAN COMM AND INFO A	0.00	5.07	0.00	5.07	0.0	5.07
04/17/2017	VAN DE BRAKE, MARY	JH FINANCIAL INDUSTRIES A	0.00	2.11	0.00	2.11	0.0	2.11
04/17/2017	VAN DE BRAKE, ARTHUR	JH FINANCIAL INDUSTRIES A	0.00	2.10	0.00	2.10	0.0	2.10
04/17/2017	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.09	0.00	1.09	0.0	1.09
04/17/2017	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.79	0.00	1.79	0.0	1.79
04/17/2017	FURUKAWA, CLARA	JH STRATEGIC GROWTH A	0.00	1.85	0.00	1.85	0.0	1.85
04/04/2017	MYERS, BARBARA	PIIONEER EQUITY INCOME A	0.00	3.50	0.00	3.50	0.0	3.50
04/04/2017	MYERS, BARBARA	PIIONEER MID CAP VALUE A	0.00	0.99	0.00	0.99	0.0	0.99
* ROBERT (RUSTY) TWEED SUBTOTAL *			\$0.00	\$27.76	\$0.00	\$27.76		\$27.76
<u>BROKER: JIM LUND #60</u>								
04/03/2017	SOIORIO, ROSE	FA 529 PORT 2016 C	125.00	6.25	0.00	6.25	0.0	6.25
04/05/2017	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	5.00
04/05/2017	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	5.00
04/06/2017	HERNANDEZ, MARIA	FA 529 PORT 2025 A	200.00	10.00	0.00	10.00	0.0	10.00
04/05/2017	BRYAN, TRICIA	FA 529 PORT 2028 A	120.00	6.00	0.00	6.00	0.0	6.00
04/03/2017	SANTANA, NICOLE	FA 529 PORT 2031 A	50.00	2.50	0.00	2.50	0.0	2.50
04/17/2017	WURMLINGER, MARTIN	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	4.00
04/18/2017	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	120.00	1.20	0.00	1.20	0.0	1.20
04/10/2017	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	200.00	9.50	0.00	9.50	0.0	9.50
04/11/2017	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	247.50	11.76	0.00	11.76	0.0	11.76
04/11/2017	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	82.50	3.92	0.00	3.92	0.0	3.92
* JIM LUND SUBTOTAL *			\$1,445.00	\$65.13	\$0.00	\$65.13		\$65.13
<u>BROKER: JAMES PANOS #61</u>								
04/03/2017	MURPHY, CHRIS	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
04/03/2017	FITZGIBBON, EDMUND	FA 529 PORT 2025 C	200.00	2.00	0.00	2.00	0.0	2.00
04/03/2017	MACK, NEIL	FA 529 PORT 2025 C	250.00	2.50	0.00	2.50	0.0	2.50
04/03/2017	MACK, NEIL	FA 529 PORT 2028 C	250.00	2.50	0.00	2.50	0.0	2.50
* JAMES PANOS SUBTOTAL *			\$950.00	\$9.50	\$0.00	\$9.50		\$9.50

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: RENE CASTRO #92</u>								
04/06/2017	PIRIO, PAUL	ING PREMIUM PLUS	0.00	85.55	0.00	85.55	0.0	85.55
04/17/2017	BUSTOS, ARMANDO	JH HIGH YIELD A	0.00	2.38	0.00	2.38	0.0	2.38
04/17/2017	BUSTOS, ARMANDO	JH STRATEGIC INCOME A	0.00	9.26	0.00	9.26	0.0	9.26
* RENE CASTRO SUBTOTAL *			\$0.00	\$97.19	\$0.00	\$97.19		\$97.19
<u>BROKER: JAMES AULENTI #101</u>								
04/17/2017	SIARKOWSKI, BRET	ALLIANZ VISION	37,400.00	2,244.00	0.00	2,244.00	0.0	2,244.00
* JAMES AULENTI SUBTOTAL *			\$37,400.00	\$2,244.00	\$0.00	\$2,244.00		\$2,244.00
<u>BROKER: DANIEL COLLINS #106</u>								
04/17/2017	EVANSUSA, DENISE	JH FINANCIAL INDUSTRIES A	0.00	1.56	0.00	1.56	0.0	1.56
04/17/2017	EVANSUSA, DENISE	JH FINANCIAL INDUSTRIES B	0.00	0.20	0.00	0.20	0.0	0.20
04/17/2017	EVANSUSA, DENISE	JH LARGE CAP EQUITY A	0.00	2.54	0.00	2.54	0.0	2.54
04/17/2017	EVANSUSA, DENISE	JH LARGE CAP EQUITY B	0.00	0.15	0.00	0.15	0.0	0.15
04/17/2017	EVANSUSA, DENISE	JH NEW OPPORTUNITIES A	0.00	1.87	0.00	1.87	0.0	1.87
04/17/2017	EVANSUSA, DENISE	JH STRATEGIC GROWTH A	0.00	1.87	0.00	1.87	0.0	1.87
* DANIEL COLLINS SUBTOTAL *			\$0.00	\$8.19	\$0.00	\$8.19		\$8.19
<u>BROKER: G118 GRECO #110</u>								
04/20/2017	GARZA, NATHAN	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.50	0.00	2.50	0.0	2.50
04/20/2017	GARZA, NATHAN	AM FUNDS NEW PERSPECTIVE 529A	50.00	2.50	0.00	2.50	0.0	2.50
* G118 GRECO SUBTOTAL *			\$100.00	\$5.00	\$0.00	\$5.00		\$5.00
<u>BROKER: JAMES KOESTER #117</u>								
03/31/2017	ROCHESTER HILLS, PUBLIC	PRINCIPAL LIFE	0.00	1,438.19	0.00	1,438.19	0.0	1,438.19
* JAMES KOESTER SUBTOTAL *			\$0.00	\$1,438.19	\$0.00	\$1,438.19		\$1,438.19
<u>BROKER: ERNEST DALE ANDERSON #119</u>								
04/04/2017	VAN BAAL, RUDOLF	AGI BEST STYLES INTRNTL EQ PORT MI-	0.00	1.45	0.00	1.45	0.0	1.45
04/04/2017	VAN BAAL, RUDOLF	AGI BEST STYLES INTRNTL EQ PORT MI-	0.00	0.27	0.00	0.27	0.0	0.27
04/04/2017	VAN BAAL, RUDOLF	AGI BEST STYLES INTRNTL EQ PORT MI-	0.00	0.30	0.00	0.30	0.0	0.30
04/04/2017	VAN BAAL, RUDOLF	AGI BEST STYLES US EQUITY PORT MI-A	0.00	1.58	0.00	1.58	0.0	1.58
04/04/2017	VAN BAAL, RUDOLF	AGI BEST STYLES US EQUITY PORT MI-A	0.00	0.37	0.00	0.37	0.0	0.37
04/04/2017	VAN BAAL, RUDOLF	AGI BEST STYLES US EQUITY PORT MI-A	0.00	0.33	0.00	0.33	0.0	0.33
04/04/2017	VAN BAAL, RUDOLF	MI 529 ADV ALLIANZGI INC & GRWTH MI	0.00	1.53	0.00	1.53	0.0	1.53
04/04/2017	VAN BAAL, RUDOLF	MI 529 ADV ALLIANZGI INC & GRWTH MI	0.00	0.18	0.00	0.18	0.0	0.18

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: ERNEST DALE ANDERSON #119</u>								
04/04/2017	VAN BAAL, RUDOLF	MI 529 ADV ALLIANZGI INC & GRWTH MI	0.00	0.18	0.00	0.18	0.0	0.18
04/04/2017	VAN BAAL, RUDOLF	MI 529 ADV TIAA-CREF SM-CAP BLEND I	0.00	1.68	0.00	1.68	0.0	1.68
04/04/2017	VAN BAAL, RUDOLF	MI 529 ADV TIAA-CREF SM-CAP BLEND I	0.00	0.35	0.00	0.35	0.0	0.35
04/04/2017	VAN BAAL, RUDOLF	MI 529 ADV TIAA-CREF SM-CAP BLEND I	0.00	0.33	0.00	0.33	0.0	0.33
04/04/2017	VAN BAAL, RUDOLF	MI 529 ADVISOR TIAA-CREF S&P 500 IN	0.00	1.64	0.00	1.64	0.0	1.64
04/04/2017	VAN BAAL, RUDOLF	MI 529 ADVISOR TIAA-CREF S&P 500 IN	0.00	0.39	0.00	0.39	0.0	0.39
04/04/2017	VAN BAAL, RUDOLF	MI 529 ADVISOR TIAA-CREF S&P 500 IN	0.00	0.39	0.00	0.39	0.0	0.39
* ERNEST DALE ANDERSON SUBTOTAL *			\$0.00	\$10.97	\$0.00	\$10.97		\$10.97
<u>BROKER: JAY MCCLELLAN #120</u>								
04/05/2017	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	0.00	2.78	0.00	2.78	0.0	2.78
03/31/2017	CIPRIAN, JOHN	GRIFFIN INST'L ACCESS R E FUND	0.00	6.56	0.00	6.56	0.0	6.56
03/31/2017	FORTKAMP TRUST, LAVERN & MARY	GRIFFIN INST'L ACCESS R E FUND	0.00	11.61	0.00	11.61	0.0	11.61
04/10/2017	CRABTREE, GARY	METLIFE	7,081.36	1.47	0.00	1.47	0.0	1.47
04/10/2017	CRABTREE, GARY	METLIFE	11,106.49	2.31	0.00	2.31	0.0	2.31
04/10/2017	CRABTREE, GARY	METLIFE	35,472.27	7.38	0.00	7.38	0.0	7.38
04/10/2017	CRABTREE, GARY	METLIFE	7,517.54	1.56	0.00	1.56	0.0	1.56
04/10/2017	CRABTREE, GARY	METLIFE	8,466.33	1.76	0.00	1.76	0.0	1.76
04/10/2017	CRABTREE, GARY	METLIFE	6,297.31	1.31	0.00	1.31	0.0	1.31
04/04/2017	PHILLIPS JT TEN, PATRICIA	PIONEER BOND FUND - INTERMEDIATE C	0.00	0.62	0.00	0.62	0.0	0.62
04/04/2017	PEACOCK, RICHARD	PIONEER BOND FUND A	0.00	4.74	0.00	4.74	0.0	4.74
04/04/2017	DEXTER, ROCHELLE	PIONEER BOND FUND A	0.00	3.08	0.00	3.08	0.0	3.08
04/04/2017	FOUGHT, PAUL	PIONEER BOND FUND A	0.00	1.22	0.00	1.22	0.0	1.22
04/04/2017	WEHRKAMP, KENNETH	PIONEER BOND FUND A	0.00	2.19	0.00	2.19	0.0	2.19
04/04/2017	COPPERSMITH, MIRANDA	PIONEER BOND FUND A	0.00	1.25	0.00	1.25	0.0	1.25
04/04/2017	CAMP, LINDA	PIONEER BOND FUND A	0.00	4.63	0.00	4.63	0.0	4.63
04/04/2017	WOOD, MARLENE	PIONEER BOND FUND A	0.00	5.19	0.00	5.19	0.0	5.19
04/04/2017	ZEHRINGER, JAMES	PIONEER BOND FUND A	0.00	1.33	0.00	1.33	0.0	1.33
04/04/2017	FORTKAMP TRUST, LAVERN & MARY	PIONEER BOND FUND A	0.00	3.67	0.00	3.67	0.0	3.67
04/04/2017	WEHRKAMP, REBECCA	PIONEER CLASSIC BALANCED FUND A	0.00	4.72	0.00	4.72	0.0	4.72
04/04/2017	MATHENEY, DONNA	PIONEER CLASSIC BALANCED FUND A	0.00	4.58	0.00	4.58	0.0	4.58
04/04/2017	WEHRKAMP, KENNETH	PIONEER CLASSIC BALANCED FUND A	0.00	4.85	0.00	4.85	0.0	4.85
04/04/2017	KEY, LARRY	PIONEER CLASSIC BALANCED FUND A	0.00	1.67	0.00	1.67	0.0	1.67
04/04/2017	PRICE, JOYCE	PIONEER CLASSIC BALANCED FUND A	0.00	3.75	0.00	3.75	0.0	3.75

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JAY MCCLELLAN #120</u>								
04/04/2017	FORTKAMP TRUST, LAVERN & MARY	PIONEER CLASSIC BALANCED FUND A	0.00	1.80	0.00	1.80	0.0	1.80
04/04/2017	WEHRKAMP, REBECCA	PIONEER CULLEN VALUE FUND A	0.00	4.17	0.00	4.17	0.0	4.17
04/04/2017	FOUGHT, PAUL	PIONEER CULLEN VALUE FUND A	0.00	0.77	0.00	0.77	0.0	0.77
04/04/2017	MINIX, DEBORAH	PIONEER CULLEN VALUE FUND A	0.00	10.82	0.00	10.82	0.0	10.82
04/04/2017	BROWN, EMILY	PIONEER CULLEN VALUE FUND A	0.00	3.29	0.00	3.29	0.0	3.29
04/04/2017	RATLIFF, DONNA	PIONEER CULLEN VALUE FUND A	0.00	5.06	0.00	5.06	0.0	5.06
04/04/2017	WEHRKAMP, KENNETH	PIONEER CULLEN VALUE FUND A	0.00	1.40	0.00	1.40	0.0	1.40
04/04/2017	COPPERSMITH, MIRANDA	PIONEER CULLEN VALUE FUND A	0.00	1.40	0.00	1.40	0.0	1.40
04/04/2017	ZEHRINGER, JAMES	PIONEER CULLEN VALUE FUND A	0.00	8.77	0.00	8.77	0.0	8.77
04/04/2017	MATHENEY, DONNA	PIONEER EMERGING MARKETS A	0.00	0.58	0.00	0.58	0.0	0.58
04/04/2017	MCCABE TRUST, DANNY	PIONEER EMERGING MARKETS A	0.00	0.03	0.00	0.03	0.0	0.03
04/04/2017	SHORT, DAWN	PIONEER EMERGING MARKETS A	0.00	0.29	0.00	0.29	0.0	0.29
04/04/2017	BROWN, EMILY	PIONEER EMERGING MARKETS A	0.00	1.86	0.00	1.86	0.0	1.86
04/04/2017	RATLIFF, DONNA	PIONEER EMERGING MARKETS A	0.00	0.61	0.00	0.61	0.0	0.61
04/04/2017	COPPERSMITH, MIRANDA	PIONEER EMERGING MARKETS A	0.00	0.27	0.00	0.27	0.0	0.27
04/04/2017	KEY, LARRY	PIONEER EMERGING MARKETS A	0.00	2.61	0.00	2.61	0.0	2.61
04/04/2017	MINIX, DEBORAH	PIONEER EMERGING MARKETS A	0.00	1.22	0.00	1.22	0.0	1.22
04/04/2017	THOMPSON REYNOLDS JT TEN,	PIONEER EMERGING MARKETS A	0.00	1.63	0.00	1.63	0.0	1.63
04/04/2017	ZUO, YUNWU	PIONEER EMERGING MARKETS A	0.00	2.41	0.00	2.41	0.0	2.41
04/04/2017	MCCLELLAN, JAY	PIONEER EMERGING MARKETS A	0.00	3.83	0.00	3.83	0.0	3.83
04/04/2017	STARNES, EDDIE	PIONEER EQUITY INCOME A	0.00	0.37	0.00	0.37	0.0	0.37
04/04/2017	ZEHRINGER, SHARON	PIONEER EQUITY INCOME A	0.00	6.26	0.00	6.26	0.0	6.26
04/04/2017	ZEHRINGER, JAMES	PIONEER EQUITY INCOME A	0.00	5.29	0.00	5.29	0.0	5.29
04/04/2017	JONES, JACQUELINE	PIONEER EQUITY INCOME A	0.00	2.40	0.00	2.40	0.0	2.40
04/04/2017	MILLER, MYRNA	PIONEER FLOATING RATE A	0.00	11.26	0.00	11.26	0.0	11.26
04/04/2017	SHORT, DAWN	PIONEER FLOATING RATE A	0.00	1.43	0.00	1.43	0.0	1.43
04/04/2017	MCCABE VA/UTMA, ELIZABETH	PIONEER FUND A	0.00	1.46	0.00	1.46	0.0	1.46
04/04/2017	WATKINS OH/UTMA, ALEXI	PIONEER FUND A	0.00	1.50	0.00	1.50	0.0	1.50
04/04/2017	FOUGHT, PAUL	PIONEER FUND A	0.00	1.46	0.00	1.46	0.0	1.46
04/04/2017	HUTTON JT TEN, JANET	PIONEER FUND A	0.00	6.23	0.00	6.23	0.0	6.23
04/04/2017	MINIX, DEBORAH	PIONEER FUND A	0.00	1.59	0.00	1.59	0.0	1.59
04/04/2017	CURTIS, RAY	PIONEER FUND A	0.00	2.94	0.00	2.94	0.0	2.94
04/04/2017	BROWN, EMILY	PIONEER FUND A	0.00	11.36	0.00	11.36	0.0	11.36

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<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: JAY MCCLELLAN #120</u>								
04/04/2017	JONES, JACQUELINE	PIONEER FUND A	0.00	1.68	0.00	1.68	0.0	1.68
04/04/2017	FORTKAMP TRUST, LAVERN & MARY	PIONEER FUND A	0.00	1.24	0.00	1.24	0.0	1.24
04/04/2017	RUSH, KAREN	PIONEER FUND A	0.00	11.88	0.00	11.88	0.0	11.88
04/04/2017	CRABTREE, GARY	PIONEER FUND C	0.00	9.14	0.00	9.14	0.0	9.14
04/04/2017	GREGONCZA, RONALD	PIONEER FUND C	0.00	27.06	0.00	27.06	0.0	27.06
04/04/2017	COPPERSMITH, MIRANDA	PIONEER FUNDAMENTAL GROWTH A	0.00	0.93	0.00	0.93	0.0	0.93
04/04/2017	THOMPSON REYNOLDS JT TEN,	PIONEER FUNDAMENTAL GROWTH A	0.00	7.08	0.00	7.08	0.0	7.08
04/04/2017	CAMP, LINDA	PIONEER FUNDAMENTAL GROWTH A	0.00	4.34	0.00	4.34	0.0	4.34
04/04/2017	RATLIFF, DONNA	PIONEER GLOBAL EQUITY A	0.00	1.20	0.00	1.20	0.0	1.20
04/04/2017	MINIX, DEBORAH	PIONEER GLOBAL EQUITY A	0.00	1.32	0.00	1.32	0.0	1.32
04/04/2017	WOOD, MARLENE	PIONEER GLOBAL EQUITY A	0.00	1.92	0.00	1.92	0.0	1.92
04/04/2017	PEACOCK, RICHARD	PIONEER GLOBAL HIGH YIELD A	0.00	3.93	0.00	3.93	0.0	3.93
04/04/2017	MINIX, DEBORAH	PIONEER GLOBAL HIGH YIELD A	0.00	1.92	0.00	1.92	0.0	1.92
04/04/2017	MATHENEY, DONNA	PIONEER GLOBAL HIGH YIELD A	0.00	1.21	0.00	1.21	0.0	1.21
04/04/2017	HUTTON JT TEN, JANET	PIONEER GLOBAL HIGH YIELD A	0.00	2.02	0.00	2.02	0.0	2.02
04/04/2017	RATLIFF, DONNA	PIONEER GLOBAL HIGH YIELD A	0.00	0.96	0.00	0.96	0.0	0.96
04/04/2017	COPPERSMITH, MIRANDA	PIONEER GLOBAL HIGH YIELD A	0.00	1.44	0.00	1.44	0.0	1.44
04/04/2017	MAYBERRY, JEFFREY	PIONEER GLOBAL HIGH YIELD A	0.00	2.16	0.00	2.16	0.0	2.16
04/04/2017	ZEHRINGER, JAMES	PIONEER GLOBAL HIGH YIELD A	0.00	1.01	0.00	1.01	0.0	1.01
04/04/2017	PHILLIPS JT TEN, PATRICIA	PIONEER GLOBAL HIGH YIELD C	0.00	0.25	0.00	0.25	0.0	0.25
04/04/2017	PRICE, JOYCE	PIONEER GROWTH OPPORTUNITIES A	0.00	5.34	0.00	5.34	0.0	5.34
04/04/2017	WONDERLY, MEGAN	PIONEER GROWTH OPPORTUNITIES A	0.00	0.99	0.00	0.99	0.0	0.99
04/04/2017	MONG, TRACY	PIONEER GROWTH OPPORTUNITIES A	0.00	3.95	0.00	3.95	0.0	3.95
04/04/2017	WEHRKAMP, KENNETH	PIONEER HIGH INCOME MUNICIPAL A	0.00	1.59	0.00	1.59	0.0	1.59
04/04/2017	WEHRKAMP, REBECCA	PIONEER HIGH YIELD A	0.00	6.14	0.00	6.14	0.0	6.14
04/04/2017	STARNES, EDDIE	PIONEER HIGH YIELD A	0.00	1.36	0.00	1.36	0.0	1.36
04/04/2017	MATTHIEU, PAUL	PIONEER HIGH YIELD A	0.00	0.99	0.00	0.99	0.0	0.99
04/04/2017	WEHRKAMP, KENNETH	PIONEER HIGH YIELD A	0.00	1.49	0.00	1.49	0.0	1.49
04/04/2017	CAMP, LINDA	PIONEER HIGH YIELD A	0.00	1.09	0.00	1.09	0.0	1.09
04/04/2017	JONES, JACQUELINE	PIONEER HIGH YIELD A	0.00	1.74	0.00	1.74	0.0	1.74
04/04/2017	FORTKAMP TRUST, LAVERN & MARY	PIONEER HIGH YIELD A	0.00	1.04	0.00	1.04	0.0	1.04
04/04/2017	MONG, TRACY	PIONEER HIGH YIELD A	0.00	3.04	0.00	3.04	0.0	3.04
04/04/2017	O'NEAL, KELLY	PIONEER IBBOTSON MODERATE ALLOC A	0.00	0.60	0.00	0.60	0.0	0.60

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JAY MCCLELLAN #120</u>								
04/04/2017	PRICE, JOYCE	PIONEER INDEPENDENCE A	0.00	7.98	0.00	7.98	0.0	7.98
04/04/2017	ZEHRINGER, SHARON	PIONEER INDEPENDENCE A	0.00	1.70	0.00	1.70	0.0	1.70
04/04/2017	DICKEY, CYNTHIA	PIONEER INDEPENDENCE A	0.00	4.08	0.00	4.08	0.0	4.08
04/04/2017	MONG, TRACY	PIONEER INDEPENDENCE A	0.00	5.49	0.00	5.49	0.0	5.49
04/04/2017	THOMPSON REYNOLDS JT TEN,	PIONEER INTERNATIONAL VALUE A	0.00	3.54	0.00	3.54	0.0	3.54
04/04/2017	FOUGHT, PAUL	PIONEER MID CAP VALUE A	0.00	0.62	0.00	0.62	0.0	0.62
04/04/2017	ZEHRINGER, JAMES	PIONEER MID CAP VALUE A	0.00	3.28	0.00	3.28	0.0	3.28
04/04/2017	ARMSTRONG, TODD	PIONEER MULTI ASSET ULTRASHORT INCO	0.00	2.49	0.00	2.49	0.0	2.49
04/04/2017	DEAN, DUANE	PIONEER MULTI ASSET ULTRASHORT INCO	0.00	1.54	0.00	1.54	0.0	1.54
04/04/2017	ZUO, YUNWU	PIONEER MULTI ASSET ULTRASHORT INCO	0.00	2.80	0.00	2.80	0.0	2.80
04/04/2017	HOLTMAN, MICHAEL	PIONEER MULTI-ASSET REAL RETURN A	0.00	4.83	0.00	4.83	0.0	4.83
04/04/2017	FOUGHT, PAUL	PIONEER MULTI-ASSET REAL RETURN A	0.00	1.00	0.00	1.00	0.0	1.00
04/04/2017	MATTHIEU, PAUL	PIONEER MULTI-ASSET REAL RETURN A	0.00	13.00	0.00	13.00	0.0	13.00
04/04/2017	SHORT, DAWN	PIONEER MULTI-ASSET REAL RETURN A	0.00	1.57	0.00	1.57	0.0	1.57
04/04/2017	BROWN, EMILY	PIONEER MULTI-ASSET REAL RETURN A	0.00	5.00	0.00	5.00	0.0	5.00
04/04/2017	COOVER, GARY	PIONEER MULTI-ASSET REAL RETURN A	0.00	0.71	0.00	0.71	0.0	0.71
04/04/2017	WEHRKAMP, KENNETH	PIONEER MULTI-ASSET REAL RETURN A	0.00	4.33	0.00	4.33	0.0	4.33
04/04/2017	MAYBERRY, JEFFREY	PIONEER MULTI-ASSET REAL RETURN A	0.00	5.03	0.00	5.03	0.0	5.03
04/04/2017	KEY, LARRY	PIONEER MULTI-ASSET REAL RETURN A	0.00	2.94	0.00	2.94	0.0	2.94
04/04/2017	PRICE, JOYCE	PIONEER MULTI-ASSET REAL RETURN A	0.00	3.67	0.00	3.67	0.0	3.67
04/04/2017	MINIX, DEBORAH	PIONEER MULTI-ASSET REAL RETURN A	0.00	1.24	0.00	1.24	0.0	1.24
04/04/2017	WOOD, MARLENE	PIONEER MULTI-ASSET REAL RETURN A	0.00	10.53	0.00	10.53	0.0	10.53
04/04/2017	FORTKAMP TRUST, LAVERN & MARY	PIONEER MULTI-ASSET REAL RETURN A	0.00	1.62	0.00	1.62	0.0	1.62
04/04/2017	ZHAO, WENJUN	PIONEER MULTI-ASSET REAL RETURN A	0.00	0.77	0.00	0.77	0.0	0.77
04/04/2017	ZUO, YUNWU	PIONEER MULTI-ASSET REAL RETURN A	0.00	7.07	0.00	7.07	0.0	7.07
04/04/2017	WEHRKAMP, REBECCA	PIONEER REAL ESTATE SHARES A	0.00	3.58	0.00	3.58	0.0	3.58
04/04/2017	DEXTER, ROCHELLE	PIONEER REAL ESTATE SHARES A	0.00	2.30	0.00	2.30	0.0	2.30
04/04/2017	SHORT, DAWN	PIONEER REAL ESTATE SHARES A	0.00	0.24	0.00	0.24	0.0	0.24
04/04/2017	BROWN, EMILY	PIONEER REAL ESTATE SHARES A	0.00	3.10	0.00	3.10	0.0	3.10
04/04/2017	WEHRKAMP, KENNETH	PIONEER REAL ESTATE SHARES A	0.00	2.36	0.00	2.36	0.0	2.36
04/04/2017	COPPERSMITH, MIRANDA	PIONEER REAL ESTATE SHARES A	0.00	1.61	0.00	1.61	0.0	1.61
04/04/2017	JONES, JACQUELINE	PIONEER REAL ESTATE SHARES A	0.00	1.45	0.00	1.45	0.0	1.45
04/04/2017	SHORT, DAWN	PIONEER SHORT TERM INC A	0.00	0.23	0.00	0.23	0.0	0.23

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JAY MCCLELLAN #120</u>								
04/04/2017	BROWN, EMILY	PIONEER SHORT TERM INC A	0.00	3.73	0.00	3.73	0.0	3.73
04/04/2017	PHILLIPS JT TEN, PATRICIA	PIONEER SHORT TERM INCOME FUND C	0.00	0.80	0.00	0.80	0.0	0.80
04/04/2017	O'NEAL, DEAN	PIONEER SOLUTIONS-GROWTH FUND A	0.00	0.62	0.00	0.62	0.0	0.62
04/04/2017	BOWDEN, GERALD	PIONEER STRATEGIC INCOME A	0.00	0.97	0.00	0.97	0.0	0.97
04/04/2017	FOUGHT, PAUL	PIONEER STRATEGIC INCOME A	0.00	0.59	0.00	0.59	0.0	0.59
04/04/2017	GRAHAM, RANDALL	PIONEER STRATEGIC INCOME A	0.00	13.14	0.00	13.14	0.0	13.14
03/31/2017	MATTHIEU, PAUL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	4.38	0.00	4.38	0.0	4.38
* JAY MCCLELLAN SUBTOTAL *			\$75,941.30	\$438.58	\$0.00	\$438.58		\$438.58
<u>BROKER: TIMOTHY KULHANEK #145</u>								
04/10/2017	GOVE, VARIOUS	AMERITAS MEDLEY	25,117.53	15.70	0.00	15.70	0.0	15.70
04/04/2017	DAVIS, AUSTIN	PIONEER FUND A	0.00	1.56	0.00	1.56	0.0	1.56
04/05/2017	BESENSEE, CHELSIE	PRINCIPAL FUNDS SAM BALANCED PORT A	150.00	7.13	0.00	7.13	0.0	7.13
* TIMOTHY KULHANEK SUBTOTAL *			\$25,267.53	\$24.39	\$0.00	\$24.39		\$24.39
<u>BROKER: CHARLIE ARRINGTON #174</u>								
04/05/2017	WRIGHT, GREGG	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	5.04	0.00	5.04	0.0	5.04
* CHARLIE ARRINGTON SUBTOTAL *			\$0.00	\$5.04	\$0.00	\$5.04		\$5.04
<u>BROKER: BRUCE CARNICK #175</u>								
04/01/2017	VANGORDER, MARY	DAVIS NEW YORK VENTURE A	0.00	81.58	0.00	81.58	0.0	81.58
04/01/2017	WOLAK, LORRAINE	DAVIS NEW YORK VENTURE A	0.00	45.43	0.00	45.43	0.0	45.43
* BRUCE CARNICK SUBTOTAL *			\$0.00	\$127.01	\$0.00	\$127.01		\$127.01
<u>BROKER: MICHELE BOYER #189</u>								
03/31/2017	MEDIGER, KYLE	GRIFFIN CAPITAL ESST'L ASSET II T	0.00	29.59	0.00	29.59	0.0	29.59
04/10/2017	BARTELS, CHRIS	INVESCO ALL CAP MARKET NEUTRAL FD -	22.64	0.23	0.00	0.23	0.0	0.23
04/19/2017	BARTELS, CHRIS	INVESCO ALL CAP MARKET NEUTRAL FD -	30.16	0.30	0.00	0.30	0.0	0.30
04/10/2017	HAWKINS, DAMON	INVESCO AMERICAN FRANCHISE A	36.63	0.64	0.00	0.64	0.0	0.64
04/10/2017	HAWKINS, DAMON	INVESCO AMERICAN FRANCHISE A	10.99	0.19	0.00	0.19	0.0	0.19
04/10/2017	NOEL, ANTHONY	INVESCO AMERICAN FRANCHISE A	18.00	0.32	0.00	0.32	0.0	0.32
04/10/2017	NOEL, ANTHONY	INVESCO AMERICAN FRANCHISE A	18.00	0.32	0.00	0.32	0.0	0.32
04/19/2017	HAWKINS, DAMON	INVESCO AMERICAN FRANCHISE A	46.56	0.81	0.00	0.81	0.0	0.81
04/19/2017	HAWKINS, DAMON	INVESCO AMERICAN FRANCHISE A	13.97	0.24	0.00	0.24	0.0	0.24
04/19/2017	NOEL, ANTHONY	INVESCO AMERICAN FRANCHISE A	22.50	0.39	0.00	0.39	0.0	0.39

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MICHELE BOYER #189</u>								
04/19/2017	NOEL, ANTHONY	INVESCO AMERICAN FRANCHISE A	22.50	0.39	0.00	0.39	0.0	0.39
04/10/2017	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	21.57	0.22	0.00	0.22	0.0	0.22
04/10/2017	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	21.57	0.22	0.00	0.22	0.0	0.22
04/10/2017	EMERSON, JAMES	INVESCO AMERICAN FRANCHISE C	404.54	4.05	0.00	4.05	0.0	4.05
04/10/2017	EMERSON, JAMES	INVESCO AMERICAN FRANCHISE C	121.36	1.21	0.00	1.21	0.0	1.21
04/10/2017	CRONK, THOMAS	INVESCO AMERICAN FRANCHISE C	156.00	1.56	0.00	1.56	0.0	1.56
04/10/2017	CRONK, THOMAS	INVESCO AMERICAN FRANCHISE C	156.00	1.56	0.00	1.56	0.0	1.56
04/19/2017	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	31.50	0.32	0.00	0.32	0.0	0.32
04/19/2017	HALLER, CRISSY	INVESCO AMERICAN FRANCHISE C	31.50	0.32	0.00	0.32	0.0	0.32
04/19/2017	EMERSON, JAMES	INVESCO AMERICAN FRANCHISE C	528.86	5.29	0.00	5.29	0.0	5.29
04/19/2017	EMERSON, JAMES	INVESCO AMERICAN FRANCHISE C	158.65	1.59	0.00	1.59	0.0	1.59
04/19/2017	CRONK, THOMAS	INVESCO AMERICAN FRANCHISE C	195.00	1.95	0.00	1.95	0.0	1.95
04/19/2017	CRONK, THOMAS	INVESCO AMERICAN FRANCHISE C	195.00	1.95	0.00	1.95	0.0	1.95
04/10/2017	BACON, KALVIN	INVESCO ASIA PACIFIC GROWTH C	37.07	0.37	0.00	0.37	0.0	0.37
04/10/2017	BACON, KALVIN	INVESCO ASIA PACIFIC GROWTH C	37.07	0.37	0.00	0.37	0.0	0.37
04/19/2017	BACON, KALVIN	INVESCO ASIA PACIFIC GROWTH C	43.79	0.44	0.00	0.44	0.0	0.44
04/19/2017	BACON, KALVIN	INVESCO ASIA PACIFIC GROWTH C	43.79	0.44	0.00	0.44	0.0	0.44
04/10/2017	KINNEY, JUSTIN	INVESCO BALANCED RISK RTRMNT 2050 A	108.00	1.89	0.00	1.89	0.0	1.89
04/10/2017	KINNEY, JUSTIN	INVESCO BALANCED RISK RTRMNT 2050 A	108.00	1.89	0.00	1.89	0.0	1.89
04/19/2017	KINNEY, JUSTIN	INVESCO BALANCED RISK RTRMNT 2050 A	135.00	2.36	0.00	2.36	0.0	2.36
04/19/2017	KINNEY, JUSTIN	INVESCO BALANCED RISK RTRMNT 2050 A	135.00	2.36	0.00	2.36	0.0	2.36
04/10/2017	HALLER, CRISSY	INVESCO CHARTER FUND C	21.57	0.22	0.00	0.22	0.0	0.22
04/10/2017	HALLER, CRISSY	INVESCO CHARTER FUND C	21.57	0.22	0.00	0.22	0.0	0.22
04/10/2017	EMERSON, JAMES	INVESCO CHARTER FUND C	173.38	1.73	0.00	1.73	0.0	1.73
04/10/2017	EMERSON, JAMES	INVESCO CHARTER FUND C	52.01	0.52	0.00	0.52	0.0	0.52
04/19/2017	HALLER, CRISSY	INVESCO CHARTER FUND C	31.50	0.32	0.00	0.32	0.0	0.32
04/19/2017	HALLER, CRISSY	INVESCO CHARTER FUND C	31.50	0.32	0.00	0.32	0.0	0.32
04/19/2017	EMERSON, JAMES	INVESCO CHARTER FUND C	226.66	2.27	0.00	2.27	0.0	2.27
04/19/2017	EMERSON, JAMES	INVESCO CHARTER FUND C	68.00	0.68	0.00	0.68	0.0	0.68
04/10/2017	HAWKINS, DAMON	INVESCO COMSTOCK A	36.62	0.64	0.00	0.64	0.0	0.64
04/10/2017	HAWKINS, DAMON	INVESCO COMSTOCK A	10.99	0.19	0.00	0.19	0.0	0.19
04/10/2017	NOEL, ANTHONY	INVESCO COMSTOCK A	18.00	0.32	0.00	0.32	0.0	0.32
04/10/2017	NOEL, ANTHONY	INVESCO COMSTOCK A	18.00	0.32	0.00	0.32	0.0	0.32

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MICHELE BOYER #189 </u>								
04/19/2017	HAWKINS, DAMON	INVESCO COMSTOCK A	46.56	0.81	0.00	0.81	0.0	0.81
04/19/2017	HAWKINS, DAMON	INVESCO COMSTOCK A	13.98	0.24	0.00	0.24	0.0	0.24
04/19/2017	NOEL, ANTHONY	INVESCO COMSTOCK A	22.50	0.39	0.00	0.39	0.0	0.39
04/19/2017	NOEL, ANTHONY	INVESCO COMSTOCK A	22.50	0.39	0.00	0.39	0.0	0.39
04/10/2017	BACON, KALVIN	INVESCO CORE PLUS BOND FUND CL C	37.08	0.37	0.00	0.37	0.0	0.37
04/10/2017	BACON, KALVIN	INVESCO CORE PLUS BOND FUND CL C	37.08	0.37	0.00	0.37	0.0	0.37
04/10/2017	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	42.78	0.43	0.00	0.43	0.0	0.43
04/10/2017	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	21.39	0.21	0.00	0.21	0.0	0.21
04/19/2017	BACON, KALVIN	INVESCO CORE PLUS BOND FUND CL C	43.79	0.44	0.00	0.44	0.0	0.44
04/19/2017	BACON, KALVIN	INVESCO CORE PLUS BOND FUND CL C	43.79	0.44	0.00	0.44	0.0	0.44
04/19/2017	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	57.50	0.58	0.00	0.58	0.0	0.58
04/19/2017	COPPERNOLL, CRAIG	INVESCO CORE PLUS BOND FUND CL C	28.75	0.29	0.00	0.29	0.0	0.29
04/10/2017	HAWKINS, DAMON	INVESCO DEVELOPING MARKETS A	29.30	0.51	0.00	0.51	0.0	0.51
04/10/2017	HAWKINS, DAMON	INVESCO DEVELOPING MARKETS A	8.79	0.15	0.00	0.15	0.0	0.15
04/19/2017	HAWKINS, DAMON	INVESCO DEVELOPING MARKETS A	37.25	0.65	0.00	0.65	0.0	0.65
04/19/2017	HAWKINS, DAMON	INVESCO DEVELOPING MARKETS A	11.18	0.20	0.00	0.20	0.0	0.20
04/10/2017	HAWKINS, DAMON	INVESCO ENERGY FUND A	32.97	0.58	0.00	0.58	0.0	0.58
04/10/2017	HAWKINS, DAMON	INVESCO ENERGY FUND A	9.89	0.17	0.00	0.17	0.0	0.17
04/19/2017	HAWKINS, DAMON	INVESCO ENERGY FUND A	41.91	0.73	0.00	0.73	0.0	0.73
04/19/2017	HAWKINS, DAMON	INVESCO ENERGY FUND A	12.57	0.22	0.00	0.22	0.0	0.22
04/10/2017	BACON, KALVIN	INVESCO ENERGY FUND CLASS C	39.39	0.39	0.00	0.39	0.0	0.39
04/10/2017	BACON, KALVIN	INVESCO ENERGY FUND CLASS C	39.39	0.39	0.00	0.39	0.0	0.39
04/19/2017	BACON, KALVIN	INVESCO ENERGY FUND CLASS C	46.52	0.47	0.00	0.47	0.0	0.47
04/19/2017	BACON, KALVIN	INVESCO ENERGY FUND CLASS C	46.52	0.47	0.00	0.47	0.0	0.47
04/10/2017	MILTON, KEVIN	INVESCO EQUITY AND INCOME C	207.14	2.07	0.00	2.07	0.0	2.07
04/10/2017	MILTON, KEVIN	INVESCO EQUITY AND INCOME C	207.14	2.07	0.00	2.07	0.0	2.07
04/19/2017	MILTON, KEVIN	INVESCO EQUITY AND INCOME C	262.80	2.63	0.00	2.63	0.0	2.63
04/19/2017	MILTON, KEVIN	INVESCO EQUITY AND INCOME C	262.80	2.63	0.00	2.63	0.0	2.63
04/10/2017	NOEL, ANTHONY	INVESCO GLOBAL GROWTH A	18.00	0.32	0.00	0.32	0.0	0.32
04/10/2017	NOEL, ANTHONY	INVESCO GLOBAL GROWTH A	18.00	0.32	0.00	0.32	0.0	0.32
04/19/2017	NOEL, ANTHONY	INVESCO GLOBAL GROWTH A	22.50	0.39	0.00	0.39	0.0	0.39
04/19/2017	NOEL, ANTHONY	INVESCO GLOBAL GROWTH A	22.50	0.39	0.00	0.39	0.0	0.39
04/10/2017	BACON, KALVIN	INVESCO GLOBAL HEALTH CARE C	39.39	0.39	0.00	0.39	0.0	0.39

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MICHELE BOYER #189</u>								
04/10/2017	BACON, KALVIN	INVESCO GLOBAL HEALTH CARE C	39.39	0.39	0.00	0.39	0.0	0.39
04/19/2017	BACON, KALVIN	INVESCO GLOBAL HEALTH CARE C	46.52	0.47	0.00	0.47	0.0	0.47
04/19/2017	BACON, KALVIN	INVESCO GLOBAL HEALTH CARE C	46.52	0.47	0.00	0.47	0.0	0.47
04/10/2017	BARTELS, CHRIS	INVESCO GLOBAL INFRASTRUCTURE C	11.33	0.11	0.00	0.11	0.0	0.11
04/19/2017	BARTELS, CHRIS	INVESCO GLOBAL INFRASTRUCTURE C	15.07	0.15	0.00	0.15	0.0	0.15
04/10/2017	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	32.09	0.32	0.00	0.32	0.0	0.32
04/10/2017	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	16.04	0.16	0.00	0.16	0.0	0.16
04/19/2017	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	43.12	0.43	0.00	0.43	0.0	0.43
04/19/2017	COPPERNOLL, CRAIG	INVESCO GLOBAL REAL ESTATE C	21.56	0.22	0.00	0.22	0.0	0.22
04/10/2017	BACON, KALVIN	INVESCO GOLD & PRECOUIS METALS C	39.39	0.39	0.00	0.39	0.0	0.39
04/10/2017	BACON, KALVIN	INVESCO GOLD & PRECOUIS METALS C	39.39	0.39	0.00	0.39	0.0	0.39
04/19/2017	BACON, KALVIN	INVESCO GOLD & PRECOUIS METALS C	46.52	0.47	0.00	0.47	0.0	0.47
04/19/2017	BACON, KALVIN	INVESCO GOLD & PRECOUIS METALS C	46.52	0.47	0.00	0.47	0.0	0.47
04/10/2017	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	42.76	0.43	0.00	0.43	0.0	0.43
04/10/2017	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	21.39	0.21	0.00	0.21	0.0	0.21
04/19/2017	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	57.51	0.58	0.00	0.58	0.0	0.58
04/19/2017	COPPERNOLL, CRAIG	INVESCO GROWTH AND INCOME C	28.75	0.29	0.00	0.29	0.0	0.29
04/10/2017	HAWKINS, DAMON	INVESCO HIGH YIELD FUND A	54.94	0.96	0.00	0.96	0.0	0.96
04/10/2017	HAWKINS, DAMON	INVESCO HIGH YIELD FUND A	16.48	0.29	0.00	0.29	0.0	0.29
04/10/2017	NOEL, ANTHONY	INVESCO HIGH YIELD FUND A	12.00	0.21	0.00	0.21	0.0	0.21
04/10/2017	NOEL, ANTHONY	INVESCO HIGH YIELD FUND A	12.00	0.21	0.00	0.21	0.0	0.21
04/19/2017	HAWKINS, DAMON	INVESCO HIGH YIELD FUND A	69.85	1.22	0.00	1.22	0.0	1.22
04/19/2017	HAWKINS, DAMON	INVESCO HIGH YIELD FUND A	20.95	0.37	0.00	0.37	0.0	0.37
04/19/2017	NOEL, ANTHONY	INVESCO HIGH YIELD FUND A	15.00	0.26	0.00	0.26	0.0	0.26
04/19/2017	NOEL, ANTHONY	INVESCO HIGH YIELD FUND A	15.00	0.26	0.00	0.26	0.0	0.26
04/10/2017	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	32.09	0.32	0.00	0.32	0.0	0.32
04/10/2017	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	16.04	0.16	0.00	0.16	0.0	0.16
04/19/2017	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	43.12	0.43	0.00	0.43	0.0	0.43
04/19/2017	COPPERNOLL, CRAIG	INVESCO INTERNATIONAL GROWTH C	21.56	0.22	0.00	0.22	0.0	0.22
04/10/2017	BARTELS, CHRIS	INVESCO LONG/SHORT EQUITY FUND - CL	22.64	0.23	0.00	0.23	0.0	0.23
04/19/2017	BARTELS, CHRIS	INVESCO LONG/SHORT EQUITY FUND - CL	30.16	0.30	0.00	0.30	0.0	0.30
04/10/2017	BARTELS, CHRIS	INVESCO LOW VOLATILITY EMERG MKTS -	33.97	0.34	0.00	0.34	0.0	0.34
04/19/2017	BARTELS, CHRIS	INVESCO LOW VOLATILITY EMERG MKTS -	45.23	0.45	0.00	0.45	0.0	0.45

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MICHELE BOYER #189 </u>								
04/10/2017	NOEL, ANTHONY	INVESCO MIDCAP CORE EQUITY A	12.00	0.21	0.00	0.21	0.0	0.21
04/10/2017	NOEL, ANTHONY	INVESCO MIDCAP CORE EQUITY A	12.00	0.21	0.00	0.21	0.0	0.21
04/19/2017	NOEL, ANTHONY	INVESCO MIDCAP CORE EQUITY A	15.00	0.26	0.00	0.26	0.0	0.26
04/19/2017	NOEL, ANTHONY	INVESCO MIDCAP CORE EQUITY A	15.00	0.26	0.00	0.26	0.0	0.26
04/10/2017	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	32.09	0.32	0.00	0.32	0.0	0.32
04/10/2017	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	16.04	0.16	0.00	0.16	0.0	0.16
04/19/2017	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	43.12	0.43	0.00	0.43	0.0	0.43
04/19/2017	COPPERNOLL, CRAIG	INVESCO MIDCAP CORE EQUITY C	21.56	0.22	0.00	0.22	0.0	0.22
04/10/2017	BARTELS, CHRIS	INVESCO MLP FUND - CLASS C	22.64	0.23	0.00	0.23	0.0	0.23
04/19/2017	BARTELS, CHRIS	INVESCO MLP FUND - CLASS C	30.16	0.30	0.00	0.30	0.0	0.30
04/10/2017	KINNEY, THOMAS	INVESCO MONEY MARKET C	132.00	1.32	0.00	1.32	0.0	1.32
04/10/2017	KINNEY, THOMAS	INVESCO MONEY MARKET C	132.00	1.32	0.00	1.32	0.0	1.32
04/19/2017	KINNEY, THOMAS	INVESCO MONEY MARKET C	165.00	1.65	0.00	1.65	0.0	1.65
04/19/2017	KINNEY, THOMAS	INVESCO MONEY MARKET C	165.00	1.65	0.00	1.65	0.0	1.65
04/10/2017	BACON, KALVIN	INVESCO REAL ESTATE FUND CL C	39.39	0.39	0.00	0.39	0.0	0.39
04/10/2017	BACON, KALVIN	INVESCO REAL ESTATE FUND CL C	39.39	0.39	0.00	0.39	0.0	0.39
04/19/2017	BACON, KALVIN	INVESCO REAL ESTATE FUND CL C	46.52	0.47	0.00	0.47	0.0	0.47
04/19/2017	BACON, KALVIN	INVESCO REAL ESTATE FUND CL C	46.52	0.47	0.00	0.47	0.0	0.47
04/10/2017	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	32.09	0.32	0.00	0.32	0.0	0.32
04/10/2017	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	16.04	0.16	0.00	0.16	0.0	0.16
04/19/2017	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	43.12	0.43	0.00	0.43	0.0	0.43
04/19/2017	COPPERNOLL, CRAIG	INVESCO SMALL CAP EQUITY FUND	21.56	0.22	0.00	0.22	0.0	0.22
04/10/2017	HAWKINS, DAMON	INVESCO STRUCTURED CORE A	36.63	0.64	0.00	0.64	0.0	0.64
04/10/2017	HAWKINS, DAMON	INVESCO STRUCTURED CORE A	10.99	0.19	0.00	0.19	0.0	0.19
04/19/2017	HAWKINS, DAMON	INVESCO STRUCTURED CORE A	46.56	0.81	0.00	0.81	0.0	0.81
04/19/2017	HAWKINS, DAMON	INVESCO STRUCTURED CORE A	13.97	0.24	0.00	0.24	0.0	0.24
04/10/2017	HAWKINS, DAMON	INVESCO SUMMIT FUND A	54.94	0.96	0.00	0.96	0.0	0.96
04/10/2017	HAWKINS, DAMON	INVESCO SUMMIT FUND A	16.48	0.29	0.00	0.29	0.0	0.29
04/19/2017	HAWKINS, DAMON	INVESCO SUMMIT FUND A	69.85	1.22	0.00	1.22	0.0	1.22
04/19/2017	HAWKINS, DAMON	INVESCO SUMMIT FUND A	20.95	0.37	0.00	0.37	0.0	0.37
04/10/2017	HAWKINS, DAMON	INVESCO TECHNOLOGY A	32.97	0.58	0.00	0.58	0.0	0.58
04/10/2017	HAWKINS, DAMON	INVESCO TECHNOLOGY A	9.89	0.17	0.00	0.17	0.0	0.17
04/10/2017	NOEL, ANTHONY	INVESCO TECHNOLOGY A	18.00	0.32	0.00	0.32	0.0	0.32

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MICHELE BOYER #189 </u>								
04/10/2017	NOEL, ANTHONY	INVESCO TECHNOLOGY A	18.00	0.32	0.00	0.32	0.0	0.32
04/19/2017	HAWKINS, DAMON	INVESCO TECHNOLOGY A	41.91	0.73	0.00	0.73	0.0	0.73
04/19/2017	HAWKINS, DAMON	INVESCO TECHNOLOGY A	12.57	0.22	0.00	0.22	0.0	0.22
04/19/2017	NOEL, ANTHONY	INVESCO TECHNOLOGY A	22.50	0.39	0.00	0.39	0.0	0.39
04/19/2017	NOEL, ANTHONY	INVESCO TECHNOLOGY A	22.50	0.39	0.00	0.39	0.0	0.39
04/10/2017	HAWKINS, DAMON	INVESCO UTILITIES A	32.97	0.58	0.00	0.58	0.0	0.58
04/10/2017	HAWKINS, DAMON	INVESCO UTILITIES A	9.89	0.17	0.00	0.17	0.0	0.17
04/10/2017	NOEL, ANTHONY	INVESCO UTILITIES A	18.00	0.32	0.00	0.32	0.0	0.32
04/10/2017	NOEL, ANTHONY	INVESCO UTILITIES A	18.00	0.32	0.00	0.32	0.0	0.32
04/19/2017	HAWKINS, DAMON	INVESCO UTILITIES A	41.91	0.73	0.00	0.73	0.0	0.73
04/19/2017	HAWKINS, DAMON	INVESCO UTILITIES A	12.57	0.22	0.00	0.22	0.0	0.22
04/19/2017	NOEL, ANTHONY	INVESCO UTILITIES A	22.50	0.39	0.00	0.39	0.0	0.39
04/19/2017	NOEL, ANTHONY	INVESCO UTILITIES A	22.50	0.39	0.00	0.39	0.0	0.39
04/03/2017	ROFFEY, TASHA	PIMCO COMMODITY REAL RETURN STRATEG	0.00	3.80	0.00	3.80	0.0	3.80
04/03/2017	ROFFEY, TASHA	PIMCO TOTAL RETURN A	0.00	8.17	0.00	8.17	0.0	8.17
* MICHELE BOYER SUBTOTAL *			\$8,234.86	\$139.13	\$0.00	\$139.13		\$139.13
<u> BROKER: MEREDITH MCCUTCHEN #221 </u>								
04/05/2017	TALBERT, ANN	COLUMBIA LARGE CAP GROWTH C	0.00	7.98	0.00	7.98	0.0	7.98
04/05/2017	TALBERT, ANN	COLUMBIA MIDCAP GROWTH FUND-C	0.00	7.35	0.00	7.35	0.0	7.35
04/03/2017	AGEE, SAMUEL	PIMCO REAL RETURN C	0.00	0.61	0.00	0.61	0.0	0.61
04/03/2017	AGEE, SAMUEL	PIMCO REAL RETURN C	0.00	1.11	0.00	1.11	0.0	1.11
04/04/2017	KINSEY, MARY	PIONEER FUND A	0.00	2.13	0.00	2.13	0.0	2.13
04/04/2017	HAMMONS, LEO	PIONEER FUND A	0.00	6.64	0.00	6.64	0.0	6.64
04/04/2017	KINSEY, MARY	PIONEER HIGH YIELD A	0.00	2.14	0.00	2.14	0.0	2.14
* MEREDITH MCCUTCHEN SUBTOTAL *			\$0.00	\$27.96	\$0.00	\$27.96		\$27.96
<u> BROKER: WILLIAM THOMAS #239 </u>								
04/17/2017	THOMAS, NATALIE	AM FUNDS AMCAP 529A	100.00	4.25	0.00	4.25	0.0	4.25
04/17/2017	VALICEVIC, ROBERT	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	0.0	0.50
04/17/2017	SHERMAN, BROOKE	AMERICAN FDS GROWTH PORTFOLIO 529C	50.00	0.50	0.00	0.50	0.0	0.50
04/16/2017	KUPPE, MARK	NWL BOA NG FP VUL	200.00	4.00	0.00	4.00	0.0	4.00
04/21/2017	KUPPE, PATRICIA	NWL BOA NG FP VUL	200.00	4.00	0.00	4.00	0.0	4.00
04/17/2017	THOMAS, MARIA	NWL NEXT GEN II FP VUL	250.00	5.00	0.00	5.00	0.0	5.00

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: WILLIAM THOMAS #239</u>								
04/04/2017	GILSON, ANN	PIONEER VALUE A	0.00	27.12	0.00	27.12	0.0	27.12
		* WILLIAM THOMAS SUBTOTAL *	\$850.00	\$45.37	\$0.00	\$45.37		\$45.37
<u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u>								
04/17/2017	VANDERWAL, ELIZABETH	AM FUNDS AMERICAN BALANCED C	50.00	0.50	0.00	0.50	0.0	0.50
04/17/2017	FEY, MICHAEL	AM FUNDS AMERICAN BALANCED C	50.00	0.50	0.00	0.50	0.0	0.50
04/17/2017	BRACHER, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA-529	50.00	0.50	0.00	0.50	0.0	0.50
04/17/2017	BOWLBY, TAMIE	AMERICAN FUND COLLEGE 2027 FUND 529	200.00	7.00	0.00	7.00	0.0	7.00
04/17/2017	BOWLBY, BRET	AMERICAN FUNDS COLLEGE 2024 FD-529A	250.00	8.75	0.00	8.75	0.0	8.75
04/17/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	3.00
04/17/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	3.00
04/17/2017	POLL, ROGOR	FT EQUITY INC CL C	600.00	6.00	0.00	6.00	0.0	6.00
04/15/2017	COCHRILL, MELANIE	NWL NEXT GEN II FP VUL	30.00	0.90	0.00	0.90	0.0	0.90
04/16/2017	BAIRD, KATHRYN	NWL NEXT GEN II FP VUL	142.00	4.26	0.00	4.26	0.0	4.26
04/15/2017	HINCHLIFFE, ERIC	NWL YOURLIFE ACCUM VUL	210.13	2.10	0.00	2.10	0.0	2.10
04/15/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	110.58	1.11	0.00	1.11	0.0	1.11
04/15/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	492.24	4.92	0.00	4.92	0.0	4.92
04/16/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	88.83	0.89	0.00	0.89	0.0	0.89
04/11/2017	REICH, MICHELLE	OPPENHEIMER FUND 68385E200	50.00	0.50	0.00	0.50	0.0	0.50
04/11/2017	REICH, MICHELLE	OPPENHEIMER PTF SERIES EQUITY INVES	50.00	0.50	0.00	0.50	0.0	0.50
		* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *	\$2,973.78	\$44.43	\$0.00	\$44.43		\$44.43
<u>BROKER: MICHAEL O'CALLAGHAN #305</u>								
04/17/2017	LUCHNER, BRUCE	BANK AMER CORP COM	-20,463.44	22.00	23.37	-1.37	0.0	-1.37
04/17/2017	LUCHNER, BRUCE	SPECTRA ENERGY PARTNERS LP COM	-18,069.62	22.00	22.61	-0.61	0.0	-0.61
04/17/2017	LUCHNER, BRUCE	VEOLIA ENVIRONMENT SPONSORED ADR	-15,427.50	22.00	23.28	-1.28	0.0	-1.28
		* MICHAEL O'CALLAGHAN SUBTOTAL *	\$-53,960.56	\$66.00	\$69.26	\$-3.26		\$-3.26
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$94,308.04	\$5,189.07	\$113.26	\$5,075.81		\$5,075.81

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BROKER COMMISSION TOTALS

Broker Transactions	\$757.34
Split Transactions	\$0.00
Override Transactions	\$5,075.81
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,833.15
Year-to-date Gross	\$33,715.59
Year-to-date Earnings	\$91,471.95