



Concorde Investment Services, LLC

12/15/2017

Page No. 1

**COMMISSION STATEMENT****December 15, 2017**NATHANIEL KUHN  
612 S. Bristol LaneBROKER #: K134  
BRANCH: KUHN FINANCIAL, INC

Arlington Heights, IL 60005-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
11/13/2017	PIERCE TRUST, MICHAEL	HPI FUND V	25,000.00	1,500.00	0.00	1,500.00	90.0	1,350.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$25,000.00	\$1,500.00	\$0.00	\$1,500.00		\$1,350.00
<b><u>PRODUCT CATEGORY: 1031 EXCHANGES</u></b>								
12/08/2017	ORDOWER, LAWRENCE	PASSCO PARKER DST	118,720.00	3,561.21	0.00	3,561.21	90.0	3,205.09
		* 1031 EXCHANGES SUBTOTAL *	\$118,720.00	\$3,561.21	\$0.00	\$3,561.21		\$3,205.09
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
12/05/2017	WIRTZ, GRETCHEN	AM FUNDS GROWTH AND INCOME 529A	150.00	6.38	0.00	6.38	90.0	5.74
11/17/2017	MOLBERGER, KIMBERLY	UBT AGGRESSIVE AGE BASED 0-02-A	100.00	3.00	0.00	3.00	90.0	2.70
11/07/2017	SAAM, ROBERT	UBT AGGRESSIVE AGE BASED 06-08-A	200.00	6.00	0.00	6.00	90.0	5.40
11/15/2017	MOLBERGER, KIMBERLY	UBT AGGRESSIVE AGE BASED 06-08-A	100.00	3.00	0.00	3.00	90.0	2.70
11/15/2017	MOLBERGER, KIMBERLY	UBT AGGRESSIVE AGE BASED 09-10-A	100.00	3.00	0.00	3.00	90.0	2.70
11/20/2017	BAXTER, KIMBERLY	UBT AGGRESSIVE AGE BASED 09-10-A	25.00	0.75	0.00	0.75	90.0	0.68
11/20/2017	BAXTER, KIMBERLY	UBT AGGRESSIVE AGE BASED 11-12-A	25.00	0.75	0.00	0.75	90.0	0.68
11/15/2017	WASPI, GRANT	UBT MODERATE AGE BASED 0-02-A	50.00	1.50	0.00	1.50	90.0	1.35
11/17/2017	ARIAS, MELISSA	UBT MODERATE AGE BASED 0-02-A	300.00	9.00	0.00	9.00	90.0	8.10
11/17/2017	LAMPI, KEITH	UBT MODERATE AGE BASED 0-02-A	50.00	1.50	0.00	1.50	90.0	1.35
11/17/2017	MARIQUINA, JACQUELINE	UBT MODERATE AGE BASED 0-02-A	10.00	0.30	0.00	0.30	90.0	0.27
11/17/2017	MACK, ALEXANDER	UBT MODERATE AGE BASED 0-02-A	250.00	7.50	0.00	7.50	90.0	6.75
11/17/2017	NORRIS, VALERIE	UBT MODERATE AGE BASED 0-02-A	150.00	4.50	0.00	4.50	90.0	4.05
11/17/2017	ROLLINGER, LAUREN	UBT MODERATE AGE BASED 0-02-A	30.00	0.90	0.00	0.90	90.0	0.81
11/17/2017	MEGER, AMANDA	UBT MODERATE AGE BASED 0-02-A	100.00	3.00	0.00	3.00	90.0	2.70
11/17/2017	TUCCINI, MELISSA	UBT MODERATE AGE BASED 0-02-A	50.00	1.50	0.00	1.50	90.0	1.35
11/17/2017	TUCCINI, MELISSA	UBT MODERATE AGE BASED 0-02-A	50.00	1.50	0.00	1.50	90.0	1.35
11/17/2017	DEUTSCH, SCOTT	UBT MODERATE AGE BASED 0-02-A	385.00	11.55	0.00	11.55	90.0	10.40
11/01/2017	KLABACHA, JASON	UBT MODERATE AGE BASED 06-08-A	300.00	9.00	0.00	9.00	90.0	8.10

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: 529 PLANS</b>								
11/01/2017	SILKAITIS, MYIA	UBT MODERATE AGE BASED 06-08-A	50.00	1.50	0.00	1.50	90.0	1.35
11/01/2017	LIM, SUSIE	UBT MODERATE AGE BASED 06-08-A	100.00	3.00	0.00	3.00	90.0	2.70
11/01/2017	KLABACHA, JASON	UBT MODERATE AGE BASED 06-08-A	300.00	9.00	0.00	9.00	90.0	8.10
11/01/2017	REIDY, TIFFANY	UBT MODERATE AGE BASED 06-08-A	150.00	4.50	0.00	4.50	90.0	4.05
11/01/2017	BATTAGLIA, MICHAEL	UBT MODERATE AGE BASED 06-08-A	50.00	1.50	0.00	1.50	90.0	1.35
11/01/2017	SAAM, ROBERT	UBT MODERATE AGE BASED 06-08-A	200.00	6.00	0.00	6.00	90.0	5.40
11/07/2017	WILKINS, AARON	UBT MODERATE AGE BASED 06-08-A	150.00	4.50	0.00	4.50	90.0	4.05
11/15/2017	LIEBERMAN, DAVID	UBT MODERATE AGE BASED 06-08-A	100.00	3.00	0.00	3.00	90.0	2.70
11/15/2017	LAMPI, KEITH	UBT MODERATE AGE BASED 06-08-A	1,650.00	49.50	0.00	49.50	90.0	44.55
11/16/2017	MARTIN, JOELLYN	UBT MODERATE AGE BASED 06-08-A	250.00	7.50	0.00	7.50	90.0	6.75
11/17/2017	LIEBERMAN, DAVID	UBT MODERATE AGE BASED 06-08-A	8,800.00	264.00	0.00	264.00	90.0	237.60
11/17/2017	MEYER, ELIZABETH	UBT MODERATE AGE BASED 06-08-A	25.00	0.75	0.00	0.75	90.0	0.68
11/17/2017	MEYER, ELIZABETH	UBT MODERATE AGE BASED 06-08-A	25.00	0.75	0.00	0.75	90.0	0.68
11/17/2017	CONTRERAS, ANDY	UBT MODERATE AGE BASED 06-08-A	50.00	1.50	0.00	1.50	90.0	1.35
11/17/2017	CONTRERAS, ANDY	UBT MODERATE AGE BASED 06-08-A	50.00	1.50	0.00	1.50	90.0	1.35
11/20/2017	CATANESE, ERIN	UBT MODERATE AGE BASED 06-08-A	500.00	15.00	0.00	15.00	90.0	13.50
11/20/2017	CATANESE, ERIN	UBT MODERATE AGE BASED 06-08-A	500.00	15.00	0.00	15.00	90.0	13.50
11/30/2017	COLAN, MICHAEL	UBT MODERATE AGE BASED 06-08-A	75.00	2.25	0.00	2.25	90.0	2.03
11/01/2017	MUELLNER, MARK	UBT MODERATE AGE BASED 09-10-A	100.00	3.00	0.00	3.00	90.0	2.70
11/01/2017	MUELLNER, MARK	UBT MODERATE AGE BASED 09-10-A	100.00	3.00	0.00	3.00	90.0	2.70
11/30/2017	COLAN, MICHAEL	UBT MODERATE AGE BASED 09-10-A	75.00	2.25	0.00	2.25	90.0	2.03
11/01/2017	BATTAGLIA, MICHAEL	UBT MODERATE AGE BASED 11-12-A	100.00	3.00	0.00	3.00	90.0	2.70
11/01/2017	HINTCH, ELIZABETH	UBT MODERATE AGE BASED 11-12-A	917.00	27.51	0.00	27.51	90.0	24.76
11/01/2017	STETTER, MARIA	UBT MODERATE AGE BASED 11-12-A	50.00	1.50	0.00	1.50	90.0	1.35
11/07/2017	WILKINS, AARON	UBT MODERATE AGE BASED 11-12-A	150.00	4.50	0.00	4.50	90.0	4.05
11/15/2017	LIEBERMAN, DAVID	UBT MODERATE AGE BASED 11-12-A	100.00	3.00	0.00	3.00	90.0	2.70
11/15/2017	WELLINGTON, ERICA	UBT MODERATE AGE BASED 11-12-A	250.00	7.50	0.00	7.50	90.0	6.75
11/17/2017	LIEBERMAN, DAVID	UBT MODERATE AGE BASED 11-12-A	8,800.00	264.00	0.00	264.00	90.0	237.60
11/17/2017	HIRSTIUS, KERRIE	UBT MODERATE AGE BASED 11-12-A	255.00	7.65	0.00	7.65	90.0	6.89
11/21/2017	WELLINGTON, ERICA	UBT MODERATE AGE BASED 11-12-A	7,000.00	210.00	0.00	210.00	90.0	189.00
11/01/2017	MUELLNER, MARK	UBT MODERATE AGE BASED 13-14-A	100.00	3.00	0.00	3.00	90.0	2.70
11/15/2017	WELLINGTON, ERICA	UBT MODERATE AGE BASED 13-14-A	250.00	7.50	0.00	7.50	90.0	6.75
11/21/2017	WELLINGTON, ERICA	UBT MODERATE AGE BASED 13-14-A	7,000.00	210.00	0.00	210.00	90.0	189.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
11/07/2017	LOPEZ, JOSE	UBT MODERATE AGE BASED 15-16-A	50.00	1.50	0.00	1.50	90.0	1.35
11/20/2017	GUIRAGOSSIAN, BETSY	UBT MODERATE AGE BASED 15-16-A	100.00	3.00	0.00	3.00	90.0	2.70
11/07/2017	LOPEZ, JOSE	UBT MODERATE AGE BASED 17-18-A	50.00	1.50	0.00	1.50	90.0	1.35
11/07/2017	ROSALES-HARRIGAN, ESTELA	UBT MODERATE AGE BASED 17-18-A	150.00	4.50	0.00	4.50	90.0	4.05
11/20/2017	GUIRAGOSSIAN, BETSY	UBT MODERATE AGE BASED 17-18-A	100.00	3.00	0.00	3.00	90.0	2.70
11/07/2017	LOPEZ, JOSE	UBT MODERATE AGE BASED 19+-A	50.00	1.50	0.00	1.50	90.0	1.35
<b>* 529 PLANS SUBTOTAL *</b>			<b>\$41,197.00</b>	<b>\$1,237.79</b>	<b>\$0.00</b>	<b>\$1,237.79</b>		<b>\$1,114.05</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/20/2017	STETTER, MARIA	AM FUNDS AMCAP A	50.00	1.88	0.00	1.88	90.0	1.69
11/20/2017	STETTER, MARIA	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
11/24/2017	JOHNSON, LISA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	90.0	2.25
12/07/2017	GOODMAN, TIFFANY	AM FUNDS GROWTH FUND OF AMERICA A	2,395.50	101.81	0.00	101.81	90.0	91.63
12/01/2017	SANTOS, LOURDES	HARTFORD DIVIDEND & GROWTH C	50.00	0.50	0.00	0.50	90.0	0.45
12/01/2017	SANTOS, LOURDES	HARTFORD TOTAL RETURN BOND FUND C	50.00	0.50	0.00	0.50	90.0	0.45
/ /	VOLKOVER, SUSAN	UB TRUST FUND 60 A	0.00	1.50	0.00	1.50	90.0	1.35
11/20/2017	VOLKOVER, SUSAN	UB TRUST FUND 60 A	50.00	1.50	0.00	1.50	90.0	1.35
11/13/2017	REHMAN, AFSHAN	UB TRUST FUND 80 A	75.00	2.25	0.00	2.25	90.0	2.03
11/13/2017	REHMAN, AFSHAN	UB TRUST FUND 80 A	12.50	0.38	0.00	0.38	90.0	0.34
11/13/2017	REHMAN, AFSHAN	UB TRUST FUND 80 A	12.50	0.38	0.00	0.38	90.0	0.34
11/27/2017	REHMAN, AFSHAN	UB TRUST FUND 80 A	75.00	2.25	0.00	2.25	90.0	2.03
11/27/2017	REHMAN, AFSHAN	UB TRUST FUND 80 A	12.50	0.38	0.00	0.38	90.0	0.34
11/27/2017	REHMAN, AFSHAN	UB TRUST FUND 80 A	12.50	0.38	0.00	0.38	90.0	0.34
11/01/2017	LAMPI, KEITH	UBT MODERATE AGE BASED 03-05-A	1,250.00	37.50	0.00	37.50	90.0	33.75
11/01/2017	BRANNAN, JESSE	UBT MODERATE AGE BASED 03-05-A	250.00	7.50	0.00	7.50	90.0	6.75
11/01/2017	NORRIS, VALERIE	UBT MODERATE AGE BASED 03-05-A	150.00	4.50	0.00	4.50	90.0	4.05
11/01/2017	CATANESE, JODY	UBT MODERATE AGE BASED 03-05-A	100.00	3.00	0.00	3.00	90.0	2.70
11/01/2017	ROLLINGER, LAUREN	UBT MODERATE AGE BASED 03-05-A	30.00	0.90	0.00	0.90	90.0	0.81
11/01/2017	WHITE, AMANDA	UBT MODERATE AGE BASED 03-05-A	100.00	3.00	0.00	3.00	90.0	2.70
11/01/2017	REIDY, TIFFANY	UBT MODERATE AGE BASED 03-05-A	150.00	4.50	0.00	4.50	90.0	4.05
11/01/2017	BRANNAN, JESSE	UBT MODERATE AGE BASED 03-05-A	250.00	7.50	0.00	7.50	90.0	6.75
11/07/2017	ARATA, MATHEW	UBT MODERATE AGE BASED 03-05-A	111.00	3.33	0.00	3.33	90.0	3.00
11/08/2017	BUERSTATTE, BRANDI	UBT MODERATE AGE BASED 03-05-A	200.00	6.00	0.00	6.00	90.0	5.40
11/15/2017	ARIAS, MELISSA	UBT MODERATE AGE BASED 03-05-A	300.00	9.00	0.00	9.00	90.0	8.10

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/15/2017	GREINER, PAUL	UBT MODERATE AGE BASED 03-05-A	150.00	4.50	0.00	4.50	90.0	4.05
11/15/2017	GREINER, PAUL	UBT MODERATE AGE BASED 03-05-A	150.00	4.50	0.00	4.50	90.0	4.05
11/16/2017	MARTIN, JOELLYN	UBT MODERATE AGE BASED 03-05-A	250.00	7.50	0.00	7.50	90.0	6.75
11/17/2017	WILSON, JOE	UBT MODERATE AGE BASED 03-05-A	30.00	0.90	0.00	0.90	90.0	0.81
11/17/2017	CATANESE, JODY	UBT MODERATE AGE BASED 03-05-A	100.00	3.00	0.00	3.00	90.0	2.70
11/17/2017	DEUTSCH, SCOTT	UBT MODERATE AGE BASED 03-05-A	385.00	11.55	0.00	11.55	90.0	10.40
11/17/2017	ARATA, MATHEW	UBT MODERATE AGE BASED 03-05-A	111.00	3.33	0.00	3.33	90.0	3.00
11/17/2017	TUCKER, BRANDON	UBT MODERATE AGE BASED 03-05-A	250.00	7.50	0.00	7.50	90.0	6.75
11/17/2017	ROLLINGER, LAUREN	UBT MODERATE AGE BASED 03-05-A	30.00	0.90	0.00	0.90	90.0	0.81
11/17/2017	SILKAITIS, MYIA	UBT MODERATE AGE BASED 03-05-A	50.00	1.50	0.00	1.50	90.0	1.35
11/17/2017	MARIQUINA, JACQUELINE	UBT MODERATE AGE BASED 03-05-A	25.00	0.75	0.00	0.75	90.0	0.68
11/17/2017	SAYRE, MICHAEL	UBT MODERATE AGE BASED 03-05-A	50.00	1.50	0.00	1.50	90.0	1.35
11/17/2017	SAYRE, MICHAEL	UBT MODERATE AGE BASED 03-05-A	50.00	1.50	0.00	1.50	90.0	1.35
11/30/2017	COLAN, MICHAEL	UBT MODERATE AGE BASED 03-05-A	75.00	2.25	0.00	2.25	90.0	2.03
* MUTUAL FUNDS SUBTOTAL *			\$7,492.50	\$256.00	\$0.00	\$256.00		\$230.42
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
11/16/2017	KUHN TRUST, LINDA	GUARDIAN LIFE INS TRAILS	0.00	89.96	0.00	89.96	90.0	80.96
12/01/2017	GALLESE, ALLISON	JNL ELITE ACCESS	500.00	26.25	0.00	26.25	90.0	23.63
11/29/2017	LOIKA, GREGORY	SBL ADVISOR PROGRAM	6,757.38	5.64	0.00	5.64	90.0	5.08
11/29/2017	LOIKA, JENNIFER	SBL ADVISOR PROGRAM	6,761.78	5.64	0.00	5.64	90.0	5.08
11/30/2017	KUHN, VARIOUS	VOYA RETIREMENT & ANNUITY	0.00	50.18	0.00	50.18	90.0	45.16
* VARIABLE ANNUITIES SUBTOTAL *			\$14,019.16	\$177.67	\$0.00	\$177.67		\$159.91
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	RUSSELL, ELIZABETH	AM FUNDS 2045 TARGET DATE-A	0.00	5.47	0.00	5.47	90.0	4.92
12/01/2017	WELLINGTON, AARON	AM FUNDS AMCAP A	0.00	4.71	0.00	4.71	90.0	4.24
12/01/2017	STETTER, MARIA	AM FUNDS AMCAP A	0.00	8.82	0.00	8.82	90.0	7.94
12/01/2017	KOVACH, ERIC	AM FUNDS AMCAP A	0.00	4.78	0.00	4.78	90.0	4.30
12/01/2017	GREINER, PAUL	AM FUNDS AMCAP A	0.00	1.97	0.00	1.97	90.0	1.77
12/01/2017	RAPATA, DANIEL	AM FUNDS AMCAP A	0.00	5.12	0.00	5.12	90.0	4.61
12/01/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS AMCAP A	0.00	7.92	0.00	7.92	90.0	7.13
12/01/2017	FUSCO, JAMES	AM FUNDS AMERICAN BALANCED A	0.00	2.91	0.00	2.91	90.0	2.62
12/01/2017	WELLINGTON, AARON	AM FUNDS AMERICAN BALANCED A	0.00	1.72	0.00	1.72	90.0	1.55

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	ATKINSON, ANGELA	AM FUNDS AMERICAN BALANCED A	0.00	4.17	0.00	4.17	90.0	3.75
12/01/2017	FUSCO, MELISSA	AM FUNDS AMERICAN BALANCED A	0.00	1.48	0.00	1.48	90.0	1.33
12/01/2017	STETTER, MARIA	AM FUNDS AMERICAN BALANCED A	0.00	7.68	0.00	7.68	90.0	6.91
12/01/2017	LIEBERMAN, DAVID	AM FUNDS AMERICAN BALANCED A	0.00	1.19	0.00	1.19	90.0	1.07
12/01/2017	MARASOVICH, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	5.12	0.00	5.12	90.0	4.61
12/01/2017	DELLAPORTAS, VASILIS	AM FUNDS AMERICAN BALANCED A	0.00	0.82	0.00	0.82	90.0	0.74
12/01/2017	HEIMANN, MARK	AM FUNDS AMERICAN BALANCED A	0.00	0.58	0.00	0.58	90.0	0.52
12/01/2017	LOIKA, GREGORY	AM FUNDS AMERICAN BALANCED A	0.00	1.55	0.00	1.55	90.0	1.40
12/01/2017	WILKINS, LYN	AM FUNDS AMERICAN BALANCED A	0.00	2.18	0.00	2.18	90.0	1.96
12/01/2017	STETTER, MARIA	AM FUNDS AMERICAN BALANCED A	0.00	2.74	0.00	2.74	90.0	2.47
12/01/2017	LIEBERMAN, SHARON	AM FUNDS AMERICAN BALANCED A	0.00	1.95	0.00	1.95	90.0	1.76
12/01/2017	SANTOS, LOURDES	AM FUNDS AMERICAN BALANCED C	0.00	54.37	0.00	54.37	90.0	48.93
12/01/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS AMERICAN BALANCED C	0.00	34.30	0.00	34.30	90.0	30.87
12/01/2017	STETTER, MARIA	AM FUNDS AMERICAN MUTUAL A	0.00	3.78	0.00	3.78	90.0	3.40
12/01/2017	GOODMAN, MICHAEL	AM FUNDS BALANCED PORT A	0.00	1.26	0.00	1.26	90.0	1.13
12/01/2017	WELLINGTON, AARON	AM FUNDS BOND FUND OF AMERICA A	0.00	1.04	0.00	1.04	90.0	0.94
12/01/2017	JACKER, RITA	AM FUNDS BOND FUND OF AMERICA A	0.00	5.37	0.00	5.37	90.0	4.83
12/01/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS BOND FUND OF AMERICA C	0.00	12.18	0.00	12.18	90.0	10.96
12/01/2017	LIEBERMAN, DAVID	AM FUNDS CAPITAL INCOME BUILDER 529	0.00	2.27	0.00	2.27	90.0	2.04
12/01/2017	RUNDELL, TRACY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.63	0.00	2.63	90.0	2.37
12/01/2017	LOPEZ, JOSE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.16	0.00	1.16	90.0	1.04
12/01/2017	RUNDELL, TRACY	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.18	0.00	3.18	90.0	2.86
12/01/2017	ATKINSON, ANGELA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.71	0.00	4.71	90.0	4.24
12/01/2017	DEUTSCH, SCOTT	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.09	0.00	2.09	90.0	1.88
12/01/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	16.32	0.00	16.32	90.0	14.69
12/01/2017	LIEBERMAN, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	1.64	0.00	1.64	90.0	1.48
12/01/2017	GOODMAN, ERIC	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.98	0.00	1.98	90.0	1.78
12/01/2017	FUSCO, JAMES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	15.22	0.00	15.22	90.0	13.70
12/01/2017	WELLINGTON, AARON	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.15	0.00	2.15	90.0	1.94
12/01/2017	JACKER, RITA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.62	0.00	3.62	90.0	3.26
12/01/2017	DELLAPORTAS, VASILIS	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.35	0.00	0.35	90.0	0.32
12/01/2017	RAPATA, DANIEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.68	0.00	3.68	90.0	3.31
12/01/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.74	0.00	5.74	90.0	5.17

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	LOIKA, GREGORY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.20	0.00	1.20	90.0	1.08
12/01/2017	TOLLEFSON, LOUANN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	3.39	0.00	3.39	90.0	3.05
12/01/2017	WILKINS, LYN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.59	0.00	1.59	90.0	1.43
12/01/2017	WEIDENFELLER, KIRSTEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.95	0.00	4.95	90.0	4.46
12/01/2017	STETTER, MARIA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.95	0.00	1.95	90.0	1.76
12/01/2017	BIEGERT, LAUREN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.87	0.00	0.87	90.0	0.78
12/01/2017	RUNDELL, TRACY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.70	0.00	3.70	90.0	3.33
12/01/2017	DELLAPORTAS, VASILIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.96	0.00	1.96	90.0	1.76
12/01/2017	RAPATA, DANIEL	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	5.02	0.00	5.02	90.0	4.52
12/01/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	7.88	0.00	7.88	90.0	7.09
12/01/2017	RAY, SARA	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.84	0.00	1.84	90.0	1.66
12/01/2017	ROLLINGER, LAUREN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	3.54	0.00	3.54	90.0	3.19
12/01/2017	MARASOVICH, THOMAS	AM FUNDS GLOBAL BALANCED A	0.00	4.12	0.00	4.12	90.0	3.71
12/01/2017	WIRTZ, GRETCHEN	AM FUNDS GROWTH AND INCOME 529A	0.00	4.78	0.00	4.78	90.0	4.30
12/01/2017	WIRTZ, GRETCHEN	AM FUNDS GROWTH AND INCOME 529A	0.00	11.93	0.00	11.93	90.0	10.74
12/01/2017	LIEBERMAN, DAVID	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.24	0.00	2.24	90.0	2.02
12/01/2017	MEYER, PATRICIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.98	0.00	1.98	90.0	1.78
12/01/2017	LOPEZ, JOSE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.54	0.00	1.54	90.0	1.39
12/01/2017	GOODMAN, ERIC	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.93	0.00	5.93	90.0	5.34
12/01/2017	HIRSTIUS, CHARLES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.72	0.00	2.72	90.0	2.45
12/01/2017	PICKENS, ROBERT (RJ)	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.50	0.00	0.50	90.0	0.45
12/01/2017	RUNDELL, TRACY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.88	0.00	3.88	90.0	3.49
12/01/2017	JOHNSON, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.94	0.00	9.94	90.0	8.95
12/01/2017	FUSCO, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.21	0.00	10.21	90.0	9.19
12/01/2017	WELLINGTON, AARON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.26	0.00	5.26	90.0	4.73
12/01/2017	ATKINSON, ANGELA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.03	0.00	11.03	90.0	9.93
12/01/2017	FUSCO, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.74	0.00	1.74	90.0	1.57
12/01/2017	LIEBERMAN, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.77	0.00	8.77	90.0	7.89
12/01/2017	JACKER, RITA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.44	0.00	7.44	90.0	6.70
12/01/2017	KOVACH, ERIC	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.36	0.00	9.36	90.0	8.42
12/01/2017	GREINER, PAUL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.10	0.00	1.10	90.0	0.99
12/01/2017	MARASOVICH, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.14	0.00	10.14	90.0	9.13
12/01/2017	LAMPI, SEAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.35	0.00	3.35	90.0	3.02

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	SANTOS, JAIMEE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.95	0.00	7.95	90.0	7.16
12/01/2017	DELLAPORTAS, VASILIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.65	0.00	3.65	90.0	3.29
12/01/2017	RAPATA, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.69	0.00	6.69	90.0	6.02
12/01/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.76	0.00	10.76	90.0	9.68
12/01/2017	HEIMANN, MARK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.98	0.00	1.98	90.0	1.78
12/01/2017	LOIKA, GREGORY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.74	0.00	1.74	90.0	1.57
12/01/2017	CHILDS, EVON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.55	0.00	1.55	90.0	1.40
12/01/2017	HOLLENBECK, MARISSA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.71	0.00	4.71	90.0	4.24
12/01/2017	TOLLEFSON, LOUANN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.94	0.00	9.94	90.0	8.95
12/01/2017	GOODMAN, TIFFANY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.78	0.00	6.78	90.0	6.10
12/01/2017	CATANESE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.16	0.00	2.16	90.0	1.94
12/01/2017	RAY, SARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.85	0.00	1.85	90.0	1.67
12/01/2017	RAY, SARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.74	0.00	1.74	90.0	1.57
12/01/2017	MANSKE, DONNA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.76	0.00	6.76	90.0	6.08
12/01/2017	WILKINS, LYN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.32	0.00	2.32	90.0	2.09
12/01/2017	WEIDENFELLER, KIRSTEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.59	0.00	6.59	90.0	5.93
12/01/2017	STETTER, MARIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.95	0.00	5.95	90.0	5.36
12/01/2017	BIEGERT, LAUREN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.32	0.00	1.32	90.0	1.19
12/01/2017	WILLIS, JUDE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.01	0.00	6.01	90.0	5.41
12/01/2017	ROLLINGER, JOHN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.07	0.00	3.07	90.0	2.76
12/01/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS GROWTH FUND OF AMERICA C	0.00	51.46	0.00	51.46	90.0	46.31
12/01/2017	PERSHA, ADAM	AM FUNDS GROWTH PORT A	0.00	2.24	0.00	2.24	90.0	2.02
12/01/2017	MEYER, PATRICIA	AM FUNDS ICA A	0.00	1.57	0.00	1.57	90.0	1.41
12/01/2017	LOPEZ, JOSE	AM FUNDS ICA A	0.00	1.35	0.00	1.35	90.0	1.22
12/01/2017	ATKINSON, ANGELA	AM FUNDS ICA A	0.00	6.68	0.00	6.68	90.0	6.01
12/01/2017	JACKER, RITA	AM FUNDS ICA A	0.00	12.48	0.00	12.48	90.0	11.23
12/01/2017	KOVACH, ERIC	AM FUNDS ICA A	0.00	5.00	0.00	5.00	90.0	4.50
12/01/2017	GREINER, PAUL	AM FUNDS ICA A	0.00	7.29	0.00	7.29	90.0	6.56
12/01/2017	DELLAPORTAS, VASILIS	AM FUNDS ICA A	0.00	1.70	0.00	1.70	90.0	1.53
12/01/2017	RAPATA, DANIEL	AM FUNDS ICA A	0.00	6.72	0.00	6.72	90.0	6.05
12/01/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS ICA A	0.00	10.56	0.00	10.56	90.0	9.50
12/01/2017	HEIMANN, MARK	AM FUNDS ICA A	0.00	1.42	0.00	1.42	90.0	1.28
12/01/2017	LOIKA, GREGORY	AM FUNDS ICA A	0.00	1.48	0.00	1.48	90.0	1.33

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	CHILDS, EVON	AM FUNDS ICA A	0.00	1.31	0.00	1.31	90.0	1.18
12/01/2017	HOLLENBECK, MARISSA	AM FUNDS ICA A	0.00	4.27	0.00	4.27	90.0	3.84
12/01/2017	TOLLEFSON, LOUANN	AM FUNDS ICA A	0.00	4.42	0.00	4.42	90.0	3.98
12/01/2017	CATANESE, SARAH	AM FUNDS ICA A	0.00	1.88	0.00	1.88	90.0	1.69
12/01/2017	RAY, SARA	AM FUNDS ICA A	0.00	1.41	0.00	1.41	90.0	1.27
12/01/2017	MANSKE, DONNA	AM FUNDS ICA A	0.00	6.22	0.00	6.22	90.0	5.60
12/01/2017	WILKINS, LYN	AM FUNDS ICA A	0.00	2.02	0.00	2.02	90.0	1.82
12/01/2017	WEIDENFELLER, KIRSTEN	AM FUNDS ICA A	0.00	6.05	0.00	6.05	90.0	5.45
12/01/2017	STETTER, MARIA	AM FUNDS ICA A	0.00	3.72	0.00	3.72	90.0	3.35
12/01/2017	BIEGERT, LAUREN	AM FUNDS ICA A	0.00	1.12	0.00	1.12	90.0	1.01
12/01/2017	LIEBERMAN, SHARON	AM FUNDS ICA A	0.00	1.90	0.00	1.90	90.0	1.71
12/01/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS ICA C	0.00	48.33	0.00	48.33	90.0	43.50
12/01/2017	JACKER, RITA	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.39	0.00	7.39	90.0	6.65
12/01/2017	LIEBERMAN, DAVID	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.20	0.00	1.20	90.0	1.08
12/01/2017	WELLINGTON, AARON	AM FUNDS NEW ECONOMY A	0.00	6.01	0.00	6.01	90.0	5.41
12/01/2017	WELLINGTON, AARON	AM FUNDS NEW WORLD A	0.00	2.54	0.00	2.54	90.0	2.29
12/01/2017	RAPATA, DANIEL	AM FUNDS NEW WORLD A	0.00	3.52	0.00	3.52	90.0	3.17
12/01/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS NEW WORLD A	0.00	5.68	0.00	5.68	90.0	5.11
12/01/2017	DEUTSCH, SCOTT	AM FUNDS NEW WORLD A	0.00	1.93	0.00	1.93	90.0	1.74
12/01/2017	GIOVANNINI TRUST, VICTOR	AM FUNDS NEW WORLD C	0.00	14.07	0.00	14.07	90.0	12.66
12/01/2017	HIRSTIUS, CHARLES	AM FUNDS SMALLCAP WORLD A	0.00	2.99	0.00	2.99	90.0	2.69
12/01/2017	FUSCO, JAMES	AM FUNDS SMALLCAP WORLD A	0.00	1.70	0.00	1.70	90.0	1.53
12/01/2017	WELLINGTON, AARON	AM FUNDS SMALLCAP WORLD A	0.00	2.63	0.00	2.63	90.0	2.37
12/01/2017	FUSCO, MELISSA	AM FUNDS SMALLCAP WORLD A	0.00	1.92	0.00	1.92	90.0	1.73
12/01/2017	LIEBERMAN, DAVID	AM FUNDS SMALLCAP WORLD A	0.00	6.24	0.00	6.24	90.0	5.62
12/01/2017	KOVACH, ERIC	AM FUNDS SMALLCAP WORLD A	0.00	8.92	0.00	8.92	90.0	8.03
12/01/2017	GREINER, PAUL	AM FUNDS SMALLCAP WORLD A	0.00	6.46	0.00	6.46	90.0	5.81
12/01/2017	MARASOVICH, THOMAS	AM FUNDS SMALLCAP WORLD A	0.00	5.31	0.00	5.31	90.0	4.78
12/01/2017	SANTOS, JAIMEE	AM FUNDS SMALLCAP WORLD A	0.00	4.40	0.00	4.40	90.0	3.96
12/01/2017	DELLAPORTAS, VASILIS	AM FUNDS SMALLCAP WORLD A	0.00	0.99	0.00	0.99	90.0	0.89
12/01/2017	RAPATA, DANIEL	AM FUNDS SMALLCAP WORLD A	0.00	3.54	0.00	3.54	90.0	3.19
12/01/2017	CULLEY-RAPATA, KATHRYN	AM FUNDS SMALLCAP WORLD A	0.00	5.46	0.00	5.46	90.0	4.91
12/01/2017	RAY, SARA	AM FUNDS SMALLCAP WORLD A	0.00	1.41	0.00	1.41	90.0	1.27

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed



DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/01/2017	PRUS, CARRIE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.01	0.00	0.01	90.0	0.01
12/01/2017	LIEBERMAN, DAVID	AMERICAN FUNDS COLLEGE 2024 FD-529A	0.00	5.09	0.00	5.09	90.0	4.58
/ /	HOGLUND, KRISTEN	HARTFORD BALANCED ALLOCATION FUND C	0.00	0.14	0.00	0.14	90.0	0.13
/ /	WILLIS, JUDE	HARTFORD BALANCED ALLOCATION FUND C	0.00	5.42	0.00	5.42	90.0	4.88
/ /	SANTOS, LOURDES	HARTFORD CAPITAL APPRECIATION C	0.00	3.06	0.00	3.06	90.0	2.75
/ /	MEYER, WILLIAM	HARTFORD CHECKS AND BALANCES FUND A	0.00	5.85	0.00	5.85	90.0	5.27
/ /	LOPEZ, JOSE	HARTFORD CHECKS AND BALANCES FUND A	0.00	6.15	0.00	6.15	90.0	5.54
/ /	RAY, SCOTT	HARTFORD CONSERVATIVE ALLOC C	0.00	2.76	0.00	2.76	90.0	2.48
/ /	SANTOS, LOURDES	HARTFORD DIVIDEND & GROWTH C	0.00	6.13	0.00	6.13	90.0	5.52
/ /	BORJA, AIDA	HARTFORD DIVIDEND & GROWTH C	0.00	3.64	0.00	3.64	90.0	3.28
/ /	SANTOS, JAIMEE	HARTFORD FLOATING RATE C	0.00	10.99	0.00	10.99	90.0	9.89
/ /	LIEBERMAN, SHARON	HARTFORD GROWTH ALLOCATION A	0.00	2.07	0.00	2.07	90.0	1.86
/ /	BAXTER, KIMBERLY	HARTFORD GROWTH ALLOCATION A	0.00	0.26	0.00	0.26	90.0	0.23
/ /	EAR, SIEV	HARTFORD GROWTH ALLOCATION A	0.00	2.33	0.00	2.33	90.0	2.10
/ /	STEFFEN, NATHAN	HARTFORD GROWTH ALLOCATION A	0.00	5.07	0.00	5.07	90.0	4.56
/ /	BIEGERT, LAUREN	HARTFORD GROWTH ALLOCATION A	0.00	12.96	0.00	12.96	90.0	11.66
/ /	FLORES, KARINA	HARTFORD GROWTH ALLOCATION A	0.00	0.71	0.00	0.71	90.0	0.64
/ /	STEFFEN, NATHAN	HARTFORD GROWTH OPP A	0.00	1.90	0.00	1.90	90.0	1.71
/ /	DELLAPORTAS, VASILIS	HARTFORD TOTAL RETURN BOND A	0.00	1.81	0.00	1.81	90.0	1.63
/ /	SANTOS, LOURDES	HARTFORD TOTAL RETURN BOND FUND C	0.00	4.45	0.00	4.45	90.0	4.01
/ /	BORJA, AIDA	HARTFORD TOTAL RETURN BOND FUND C	0.00	2.37	0.00	2.37	90.0	2.13
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$871.37</b>	<b>\$0.00</b>	<b>\$871.37</b>		<b>\$784.33</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$206,428.66</b>	<b>\$7,604.04</b>	<b>\$0.00</b>	<b>\$7,604.04</b>		<b>\$6,843.80</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
12/15/2017		*: FINRA CE TRACKED WEB FEE S101	0.00					-55.00
12/15/2017		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
12/15/2017		*ALBRIDGE: FULL USER	0.00					-176.00
12/15/2017		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					1,146.00
12/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
12/15/2017		*GLOBAL RELAY: WEBSITE	0.00					-15.00
12/15/2017		*LASER APP: CURRENT MONTH	0.00					-18.00
12/15/2017		*TECH: ADMIN-K JALOWIECKI	0.00					-130.00
12/15/2017		*TECH: ADMIN-W CLARK	0.00					-130.00
12/15/2017		*TECHNOLOGY: ADMIN - MATT KUHN	0.00					-135.00
12/15/2017		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$6,843.80
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,843.80
Year-to-date Gross	\$502,287.00
Year-to-date Earnings	\$452,060.21

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed