



Concorde Investment Services, LLC
COMMISSION STATEMENT
July 15, 2018

07/16/2018
Page No. 1

MARC, L134 LINSKY
104 B. Centre Blvd

BROKER #: L134
BRANCH: E STREET FINANCIAL L

Marlton, NJ 08053

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/28/2018	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	-3,837.58	22.00	22.00	0.00	90.0	0.00
07/06/2018	EISNAUGLE, NICOLA	RESOURCE REAL ESTATE DIVERSIFIED IN	75,000.00	750.00	22.00	728.00	90.0	655.20
* MUTUAL FUNDS SUBTOTAL *			\$71,162.42	\$772.00	\$44.00	\$728.00		\$655.20
*** CLEARING TRANSACTIONS TOTAL ***			\$71,162.42	\$772.00	\$44.00	\$728.00		\$655.20

<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
07/02/2018	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	400.00	15.00	0.00	15.00	90.0	13.50
07/02/2018	CHERRY, ADAM	AM FUNDS CAPITAL WORLD GRTH & INC 5	1,000.00	10.00	0.00	10.00	90.0	9.00
07/02/2018	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	15.00	0.00	15.00	90.0	13.50
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	0.00	10.00	0.00	10.00	90.0	9.00
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	7.50	0.00	7.50	90.0	6.75
06/28/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	3.38
* 529 PLANS SUBTOTAL *			\$1,950.00	\$61.25	\$0.00	\$61.25		\$55.13

<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/02/2018	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	9.56	0.00	9.56	90.0	8.60
* MUTUAL FUNDS SUBTOTAL *			\$225.00	\$9.56	\$0.00	\$9.56		\$8.60

<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/05/2018	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	18.90
* VARIABLE ANNUITIES SUBTOTAL *			\$300.00	\$21.00	\$0.00	\$21.00		\$18.90

<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/11/2018	CENTANNI, RICHARD	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.96	0.00	0.96	90.0	0.86
06/11/2018	TRICHON, DONNA-LYNN	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	15.09	0.00	15.09	90.0	13.58
06/20/2018	CHIECO, DANIEL	AM CENT EQUITY INCOME	0.00	4.80	0.00	4.80	90.0	4.32

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/15/2018	MEDIA ENTERPRISES	AM FUNDS AMCAP A	0.00	4.34	0.00	4.34	90.0	3.91
06/15/2018	GREENWELL, MARION	AM FUNDS AMCAP C	0.00	7.21	0.00	7.21	90.0	6.49
06/15/2018	GREENWELL, MARION	AM FUNDS AMCAP F1	0.00	0.47	0.00	0.47	90.0	0.42
06/15/2018	BERK, SHERRI	AM FUNDS AMERICAN BALANCED A	0.00	0.19	0.00	0.19	90.0	0.17
06/15/2018	MELVIN, MARY THOMPSON	AM FUNDS AMERICAN BALANCED A	0.00	1.54	0.00	1.54	90.0	1.39
06/15/2018	TAYLOR, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	0.51	0.00	0.51	90.0	0.46
06/15/2018	TAYLOR, DIANE	AM FUNDS AMERICAN BALANCED A	0.00	0.51	0.00	0.51	90.0	0.46
06/15/2018	APPLEGATE, PAMAELA	AM FUNDS AMERICAN BALANCED A	0.00	1.04	0.00	1.04	90.0	0.94
06/15/2018	RIZZO, DIANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.99	0.00	0.99	90.0	0.89
06/15/2018	APPLEGATE, PAMAELA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.82	0.00	0.82	90.0	0.74
06/15/2018	LINSKY, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	4.14	0.00	4.14	90.0	3.73
06/15/2018	MEDIA ENTERPRISES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.29	0.00	3.29	90.0	2.96
06/15/2018	RIZZO, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.38	0.00	1.38	90.0	1.24
06/15/2018	MELVIN, GREG	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.78	0.00	0.78	90.0	0.70
06/15/2018	POLLAN, JACLYN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	3.01	0.00	3.01	90.0	2.71
06/15/2018	FLEISCH, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	14.00	0.00	14.00	90.0	12.60
06/15/2018	MELVIN, MARY THOMPSON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.67	0.00	1.67	90.0	1.50
06/15/2018	CHERRY, AYDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.01	0.00	1.01	90.0	0.91
06/15/2018	LINSKY, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.17	0.00	5.17	90.0	4.65
06/15/2018	RIZZO, DIANE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.72	0.00	1.72	90.0	1.55
06/15/2018	OLIVETI, FRANK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.08	0.00	7.08	90.0	6.37
06/15/2018	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.77	0.00	4.77	90.0	4.29
06/15/2018	PERETTI, TERESA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.03	0.00	2.03	90.0	1.83
06/15/2018	CENTANNI, BETTY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.43	0.00	0.43	90.0	0.39
06/15/2018	CENTANNI, RICHARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.08	0.00	1.08	90.0	0.97
06/15/2018	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.10	0.00	2.10	90.0	1.89
06/15/2018	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.74	0.00	0.74	90.0	0.67
06/15/2018	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.20	0.00	1.20	90.0	1.08
06/15/2018	NATHAN, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.73	0.00	0.73	90.0	0.66
06/15/2018	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	3.04	0.00	3.04	90.0	2.74
06/15/2018	POLLAN, JACLYN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.00	0.00	3.00	90.0	2.70
06/15/2018	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	6.14	0.00	6.14	90.0	5.53
06/15/2018	SPECTER, SHELBY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	6.24	0.00	6.24	90.0	5.62

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/15/2018	RIZZO, DIANE	AM FUNDS ICA A	0.00	1.61	0.00	1.61	90.0	1.45
06/15/2018	FLEISCH, ROBERT	AM FUNDS ICA A	0.00	9.64	0.00	9.64	90.0	8.68
06/15/2018	BOND-HILTNER, LINDA	AM FUNDS ICA A	0.00	0.95	0.00	0.95	90.0	0.86
06/15/2018	LINSKY, MARC	AM FUNDS ICA A	0.00	2.09	0.00	2.09	90.0	1.88
06/15/2018	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.61	0.00	0.61	90.0	0.55
06/15/2018	NATHAN, EDWARD	AM FUNDS ICA A	0.00	1.00	0.00	1.00	90.0	0.90
06/15/2018	NATHAN, SUSAN	AM FUNDS ICA A	0.00	0.61	0.00	0.61	90.0	0.55
06/15/2018	TAYLOR, THOMAS	AM FUNDS ICA A	0.00	0.62	0.00	0.62	90.0	0.56
06/15/2018	TAYLOR, DIANE	AM FUNDS ICA A	0.00	0.62	0.00	0.62	90.0	0.56
06/15/2018	APPLEGATE, PAMAELA	AM FUNDS ICA A	0.00	1.08	0.00	1.08	90.0	0.97
06/15/2018	SPECTER, SHELBY	AM FUNDS ICA C	0.00	5.77	0.00	5.77	90.0	5.19
06/15/2018	SPECTER TRUST, STEPHANIE	AM FUNDS ICA F1	0.00	13.53	0.00	13.53	90.0	12.18
06/15/2018	SPECTER, LINDSAY	AM FUNDS ICA F1	0.00	5.25	0.00	5.25	90.0	4.73
06/15/2018	SPECTER, SHELBY	AM FUNDS ICA F1	0.00	5.03	0.00	5.03	90.0	4.53
06/15/2018	MEDIA ENTERPRISES	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.19	0.00	3.19	90.0	2.87
06/15/2018	TAYLOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	0.00	0.83	0.00	0.83	90.0	0.75
06/15/2018	TAYLOR, DIANE	AM FUNDS NEW PERSPECTIVE A	0.00	0.83	0.00	0.83	90.0	0.75
06/15/2018	APPLEGATE, PAMAELA	AM FUNDS NEW PERSPECTIVE A	0.00	1.19	0.00	1.19	90.0	1.07
06/15/2018	MELVIN, MARY THOMPSON	AM FUNDS SMALLCAP WORLD A	0.00	1.70	0.00	1.70	90.0	1.53
06/15/2018	OLIVETI, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.20	0.00	6.20	90.0	5.58
06/15/2018	BOND-HILTNER, LINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.95	0.00	0.95	90.0	0.86
06/15/2018	PERETTI, TERESA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.56	0.00	1.56	90.0	1.40
06/15/2018	LINSKY, MARC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.11	0.00	2.11	90.0	1.90
06/15/2018	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.61	0.00	0.61	90.0	0.55
06/15/2018	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.00	0.00	1.00	90.0	0.90
06/15/2018	NATHAN, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.61	0.00	0.61	90.0	0.55
06/20/2018	O'DONNELL, PATRICIA	AMERICAN CENTURY STRATEGIC ALLOC MO	0.00	2.84	0.00	2.84	90.0	2.56
06/25/2018	PLEVAK, MICHAEL	BLACKROCK EQUITY DIVIDEND FUND CL C	0.00	19.09	0.00	19.09	90.0	17.18
06/20/2018	PERETTI, TERESA	CALAMOS GROWTH A	0.00	1.63	0.00	1.63	90.0	1.47
06/20/2018	DUBIN, JON	CALAMOS GROWTH A	0.00	5.13	0.00	5.13	90.0	4.62
06/13/2018	MEDIA ENTERPRISES	COLUMBIA ACORN A	0.00	1.22	0.00	1.22	90.0	1.10
06/13/2018	FLEISCH, ROBERT	COLUMBIA ACORN A	0.00	16.44	0.00	16.44	90.0	14.80
06/13/2018	FLEISCH, ROBERT	COLUMBIA ACORN SELECT A	0.00	8.39	0.00	8.39	90.0	7.55

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/13/2018	MEDIA ENTERPRISES	COLUMBIA ACORN USA FUND CLASS A	0.00	3.15	0.00	3.15	90.0	2.84
06/13/2018	BOWERS, DORA	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	1.13	0.00	1.13	90.0	1.02
06/13/2018	MEDIA ENTERPRISES	COLUMBIA EQUITY VALUE A	0.00	0.31	0.00	0.31	90.0	0.28
06/13/2018	MEDIA ENTERPRISES	COLUMBIA LARGE CAP GROWTH A	0.00	0.37	0.00	0.37	90.0	0.33
06/13/2018	MELVIN, GREG	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	1.03	0.00	1.03	90.0	0.93
06/13/2018	MEDIA ENTERPRISES	COLUMBIA MIDCAP VALUE A	0.00	2.54	0.00	2.54	90.0	2.29
06/13/2018	BOWERS, DORA	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	1.19	0.00	1.19	90.0	1.07
06/13/2018	FLEISCH, ROBERT	COLUMBIA SMALL CAP INDEX FUND CLASS	0.00	12.87	0.00	12.87	90.0	11.58
06/13/2018	BOWERS, DORA	COLUMBIA SMALL CAP VALUE FUND II CL	0.00	0.21	0.00	0.21	90.0	0.19
06/13/2018	FLEISCH, ROBERT	COLUMBIA STRATEGIC INCOME A	0.00	2.43	0.00	2.43	90.0	2.19
06/18/2018	CENTANNI, BETTY	DAVIS NEW YORK VENTURE A	0.00	0.45	0.00	0.45	90.0	0.41
06/18/2018	CENTANNI, RICHARD	DAVIS NEW YORK VENTURE A	0.00	0.60	0.00	0.60	90.0	0.54
06/12/2018	GUERRIERI, CHARLES	DREYFUS CORE EQUITY FUND CLASS A	0.00	3.54	0.00	3.54	90.0	3.19
06/12/2018	COHEN, HARRIET	DREYFUS NEW JERSEY MUNICIPAL BOND F	0.00	63.21	0.00	63.21	90.0	56.89
06/12/2018	BOWERS, DORA	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	0.78	0.00	0.78	90.0	0.70
06/15/2018	HANKINS, ROBERT	FEDERATED HIGH INCOME BOND FUND INC	0.00	1.09	0.00	1.09	90.0	0.98
06/15/2018	CENTANNI, BETTY	FEDERATED KAUFMANN FUND A	0.00	0.42	0.00	0.42	90.0	0.38
06/21/2018	FLEISCH, ROBERT	FIDELITY ADV FLOATING RATE HIGH INC	0.00	8.04	0.00	8.04	90.0	7.24
06/21/2018	FLEISCH, ROBERT	FIDELITY ADV STRATEGIC INCOME A	0.00	8.95	0.00	8.95	90.0	8.06
06/21/2018	CENTANNI, BETTY	FIDELITY ADV STRATEGIC INCOME C	0.00	1.52	0.00	1.52	90.0	1.37
06/21/2018	CENTANNI, RICHARD	FIDELITY ADV STRATEGIC INCOME C	0.00	6.10	0.00	6.10	90.0	5.49
06/21/2018	BOWERS, DORA	FIDELITY ADVISOR MID CAP II FUND CL	0.00	0.28	0.00	0.28	90.0	0.25
06/21/2018	BEYERLE, PATRICIA	FIDELITY MIDCAP II T	0.00	2.27	0.00	2.27	90.0	2.04
06/18/2018	FLEISCH, ROBERT	FRANKLIN DYNATECH A	0.00	14.97	0.00	14.97	90.0	13.47
06/18/2018	FLEISCH, ROBERT	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.96	0.00	2.96	90.0	2.66
06/18/2018	HASTINGS TRUST, OSCAR	FRANKLIN INCOME A	0.00	1.14	0.00	1.14	90.0	1.03
06/18/2018	FLEISCHMAN, RITA	FRANKLIN INCOME A	0.00	5.32	0.00	5.32	90.0	4.79
06/18/2018	COBB, DOROTHY	FRANKLIN INCOME A	0.00	0.78	0.00	0.78	90.0	0.70
06/18/2018	CENTANNI, RICHARD	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	0.59	0.00	0.59	90.0	0.53
06/18/2018	GREENWELL, MARION	FRNKLN FLOATING RATE DLY ACCESS FND	0.00	2.89	0.00	2.89	90.0	2.60
06/22/2018	BOWERS, STEVEN	GOLDMAN SACHS CAPITAL GROWTH FUND C	0.00	17.97	0.00	17.97	90.0	16.17
06/22/2018	BOWERS, DORA	GOLDMAN SACHS MID CAP VALUE FD CLAS	0.00	0.23	0.00	0.23	90.0	0.21
06/22/2018	PARSONS, MICHELLE	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.70	0.00	0.70	90.0	0.63

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/18/2018	PRATOLA, PRESTON	GRIFFIN INST'L ACCESS R E FUND C	0.00	13.84	0.00	13.84	90.0	12.46
06/18/2018	PRATOLA, PRESTON	GRIFFIN INST'L ACCESS R E FUND C	0.00	41.53	0.00	41.53	90.0	37.38
06/18/2018	NANI, JOSEPH	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.21	0.00	3.21	90.0	2.89
06/18/2018	NANI, JOSEPH	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.63	0.00	9.63	90.0	8.67
06/18/2018	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.97	0.00	4.97	90.0	4.47
06/18/2018	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.92	0.00	14.92	90.0	13.43
06/18/2018	HASTINGS TRUST, OSCAR	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.82	0.00	7.82	90.0	7.04
06/18/2018	HASTINGS TRUST, OSCAR	GRIFFIN INST'L ACCESS R E FUND C	0.00	23.47	0.00	23.47	90.0	21.12
06/18/2018	FLEISCHMAN, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.73	0.00	10.73	90.0	9.66
06/18/2018	FLEISCHMAN, RITA	GRIFFIN INST'L ACCESS R E FUND C	0.00	32.19	0.00	32.19	90.0	28.97
06/18/2018	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.38	0.00	2.38	90.0	2.14
06/18/2018	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.15	0.00	7.15	90.0	6.44
06/18/2018	FENN, RONALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.11	0.00	14.11	90.0	12.70
06/18/2018	FENN, RONALD	GRIFFIN INST'L ACCESS R E FUND C	0.00	42.32	0.00	42.32	90.0	38.09
06/18/2018	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.20	0.00	15.20	90.0	13.68
06/18/2018	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	45.60	0.00	45.60	90.0	41.04
06/18/2018	GALERMAN, ALAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.44	0.00	6.44	90.0	5.80
06/18/2018	GALERMAN, ALAN	GRIFFIN INST'L ACCESS R E FUND C	0.00	19.31	0.00	19.31	90.0	17.38
06/18/2018	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	46.07	0.00	46.07	90.0	41.46
06/18/2018	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	138.20	0.00	138.20	90.0	124.38
06/18/2018	CAMARDO, DONNA	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.69	0.00	4.69	90.0	4.22
06/18/2018	CAMARDO, DONNA	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.08	0.00	14.08	90.0	12.67
06/18/2018	TOBIAS, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.49	0.00	1.49	90.0	1.34
06/18/2018	TOBIAS, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.46	0.00	4.46	90.0	4.01
06/18/2018	TOBIAS, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	11.66	0.00	11.66	90.0	10.49
06/18/2018	TOBIAS, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	34.98	0.00	34.98	90.0	31.48
06/18/2018	TOBIAS, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.97	0.00	2.97	90.0	2.67
06/18/2018	TOBIAS, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	8.90	0.00	8.90	90.0	8.01
06/18/2018	SPECTER, SHELBY	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.12	0.00	2.12	90.0	1.91
06/18/2018	SPECTER, SHELBY	GRIFFIN INST'L ACCESS R E FUND C	0.00	6.36	0.00	6.36	90.0	5.72
06/18/2018	FARBMAN, ERIC	GRIFFIN INST'L ACCESS R E FUND C	0.00	21.46	0.00	21.46	90.0	19.31
06/18/2018	FARBMAN, ERIC	GRIFFIN INST'L ACCESS R E FUND C	0.00	64.39	0.00	64.39	90.0	57.95
06/18/2018	NAYAK EX TRUST, VASUDER	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.58	0.00	7.58	90.0	6.82

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/18/2018	NAYAK EX TRUST, VASUDER	GRIFFIN INST'L ACCESS R E FUND C	0.00	22.74	0.00	22.74	90.0	20.47
06/18/2018	KIM, CHUNG	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.83	0.00	10.83	90.0	9.75
06/18/2018	KIM, CHUNG	GRIFFIN INST'L ACCESS R E FUND C	0.00	32.50	0.00	32.50	90.0	29.25
06/18/2018	CACCESE, LOUIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.26	0.00	4.26	90.0	3.83
06/18/2018	CACCESE, LOUIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	12.78	0.00	12.78	90.0	11.50
06/18/2018	FARBMAN TRUST, DANIEL & SANDRAGRIFFIN	INST'L ACCESS R E FUND C	0.00	10.56	0.00	10.56	90.0	9.50
06/18/2018	FARBMAN TRUST, DANIEL & SANDRAGRIFFIN	INST'L ACCESS R E FUND C	0.00	31.68	0.00	31.68	90.0	28.51
06/18/2018	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.41	0.00	6.41	90.0	5.77
06/18/2018	PERETTI, FRANCES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.28	0.00	4.28	90.0	3.85
06/18/2018	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.33	0.00	5.33	90.0	4.80
06/18/2018	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.49	0.00	4.49	90.0	4.04
06/18/2018	LINSKY, LISA	HARTFORD CAPITAL APPRECIATION A	0.00	4.09	0.00	4.09	90.0	3.68
06/18/2018	SPECTER TRUST, STEPHANIE	HARTFORD CAPITAL APPRECIATION C	0.00	93.04	0.00	93.04	90.0	83.74
06/18/2018	MEDIA ENTERPRISES	HARTFORD CAPITAL APPRECIATION C	0.00	44.75	0.00	44.75	90.0	40.28
06/18/2018	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	0.00	40.92	0.00	40.92	90.0	36.83
06/18/2018	KLEIN, HOWARD	HARTFORD CAPITAL APPRECIATION C	0.00	488.99	0.00	488.99	90.0	440.09
06/18/2018	CAMARDO, DONNA	HARTFORD CAPITAL APPRECIATION C	0.00	12.30	0.00	12.30	90.0	11.07
06/18/2018	GODLBERG, SHARON	HARTFORD CAPITAL APPRECIATION C	0.00	8.01	0.00	8.01	90.0	7.21
06/18/2018	BALZANO, AUGUSTUS	HARTFORD CAPITAL APPRECIATION C	0.00	8.55	0.00	8.55	90.0	7.70
06/18/2018	SPECTER, LINDSAY	HARTFORD CAPITAL APPRECIATION C	0.00	36.18	0.00	36.18	90.0	32.56
06/18/2018	SPECTER, SHELBY	HARTFORD CAPITAL APPRECIATION C	0.00	33.77	0.00	33.77	90.0	30.39
06/18/2018	HARRIS, MORGAN	HARTFORD CAPITAL APPRECIATION C	0.00	12.31	0.00	12.31	90.0	11.08
06/18/2018	BUSLER, SCOTT	HARTFORD CAPITAL APPRECIATION C	0.00	25.88	0.00	25.88	90.0	23.29
06/18/2018	BUSLER, MARIANNE	HARTFORD CAPITAL APPRECIATION C	0.00	11.58	0.00	11.58	90.0	10.42
06/18/2018	OLIVETI, ANTHONY	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.92	0.00	2.92	90.0	2.63
06/18/2018	OLIVETI, NICOLE	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.92	0.00	2.92	90.0	2.63
06/18/2018	PISANI, NICHOLAS	HARTFORD CHECKS AND BALANCES FUND A	0.00	4.38	0.00	4.38	90.0	3.94
06/18/2018	SPECTER TRUST, STEPHANIE	HARTFORD DIVIDEND & GROWTH C	0.00	78.68	0.00	78.68	90.0	70.81
06/18/2018	MEDIA ENTERPRISES	HARTFORD DIVIDEND & GROWTH C	0.00	49.07	0.00	49.07	90.0	44.16
06/18/2018	CAMARDO, DONNA	HARTFORD DIVIDEND & GROWTH C	0.00	22.58	0.00	22.58	90.0	20.32
06/18/2018	BALZANO, AUGUSTUS	HARTFORD DIVIDEND & GROWTH C	0.00	15.51	0.00	15.51	90.0	13.96
06/18/2018	HARRIS, MORGAN	HARTFORD DIVIDEND & GROWTH C	0.00	22.58	0.00	22.58	90.0	20.32
06/18/2018	SANDERLIN, CATHERINE	HARTFORD DIVIDEND & GROWTH C	0.00	23.69	0.00	23.69	90.0	21.32

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/18/2018	WILSON, KEVIN	HARTFORD DIVIDEND & GROWTH C	0.00	4.74	0.00	4.74	90.0	4.27
06/18/2018	BUSLER, SCOTT	HARTFORD DIVIDEND & GROWTH C	0.00	46.54	0.00	46.54	90.0	41.89
06/18/2018	BUSLER, MARIANNE	HARTFORD DIVIDEND & GROWTH C	0.00	20.83	0.00	20.83	90.0	18.75
06/18/2018	CAMPAGNA, MARIE	HARTFORD FLOATING RATE C	0.00	11.10	0.00	11.10	90.0	9.99
06/18/2018	GODLBERG, SHARON	HARTFORD TOTAL RETURN BOND FUND C	0.00	4.23	0.00	4.23	90.0	3.81
06/15/2018	BOWERS, DORA	JANUS RESEARCH FUND CLASS A	0.00	1.15	0.00	1.15	90.0	1.04
06/15/2018	BOWERS, DORA	JN DISCIPLINED VALUE INT'L A	0.00	0.18	0.00	0.18	90.0	0.16
06/22/2018	CENTANNI, RICHARD	JP MORGAN MID CAP VALUE FUND CLASS	0.00	0.65	0.00	0.65	90.0	0.59
06/21/2018	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	3.09	0.00	3.09	90.0	2.78
06/21/2018	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.16	0.00	2.16	90.0	1.94
06/21/2018	DEBRIELLE-HEALEY, ELIZABETH	LORD ABBETT BOND DEBENTURE A	0.00	2.87	0.00	2.87	90.0	2.58
06/21/2018	LAISO, MICHAEL	LORD ABBETT BOND DEBENTURE A	0.00	7.99	0.00	7.99	90.0	7.19
06/21/2018	STRAUS, KAREN	LORD ABBETT BOND DEBENTURE A	0.00	1.97	0.00	1.97	90.0	1.77
06/21/2018	CHERRY, ADAM	LORD ABBETT BOND DEBENTURE C	0.00	120.31	0.00	120.31	90.0	108.28
06/21/2018	HUDSON TRUST OF 2000, ROBERT	LORD ABBETT BOND DEBENTURE C	0.00	123.26	0.00	123.26	90.0	110.93
06/21/2018	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	31.06	0.00	31.06	90.0	27.95
06/21/2018	TOBIAS, DAVID	LORD ABBETT BOND DEBENTURE C	0.00	19.96	0.00	19.96	90.0	17.96
06/21/2018	LINSKY, LISA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.94	0.00	0.94	90.0	0.85
06/21/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	7.47	0.00	7.47	90.0	6.72
06/21/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.52	0.00	1.52	90.0	1.37
06/21/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	8.55	0.00	8.55	90.0	7.70
06/21/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.86	0.00	1.86	90.0	1.67
06/21/2018	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.50	0.00	0.50	90.0	0.45
06/21/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.42	0.00	1.42	90.0	1.28
06/21/2018	APPLEBAUM, RHEA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	3.53	0.00	3.53	90.0	3.18
06/21/2018	ROMERO, MARIA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.20	0.00	0.20	90.0	0.18
06/21/2018	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	10.86	0.00	10.86	90.0	9.77
06/21/2018	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	5.90	0.00	5.90	90.0	5.31
06/21/2018	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	6.16	0.00	6.16	90.0	5.54
06/21/2018	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	14.46	0.00	14.46	90.0	13.01
06/21/2018	LIBERTY, SUSAN	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	0.01	0.00	0.01	90.0	0.01
06/21/2018	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	2.92	0.00	2.92	90.0	2.63
06/21/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	3.90	0.00	3.90	90.0	3.51

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/21/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.92	0.00	0.92	90.0	0.83
06/21/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	4.20	0.00	4.20	90.0	3.78
06/21/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.88	0.00	0.88	90.0	0.79
06/21/2018	APPLEBAUM, RHEA	LORD ABBETT SHORT DURATION INCOME A	0.00	2.12	0.00	2.12	90.0	1.91
06/21/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	20.62	0.00	20.62	90.0	18.56
06/21/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.73	0.00	1.73	90.0	1.56
06/21/2018	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.79	0.00	2.79	90.0	2.51
06/21/2018	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.13	0.00	2.13	90.0	1.92
06/21/2018	CAMPAGNA, MARIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.11	0.00	11.11	90.0	10.00
06/21/2018	CHERRY, ADAM	LORD ABBETT SHORT DURATION INCOME F	0.00	100.04	0.00	100.04	90.0	90.04
06/21/2018	KRAMER, LESLIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.23	0.00	11.23	90.0	10.11
06/21/2018	DADINO, CAROLE	LORD ABBETT SHORT DURATION INCOME F	0.00	4.02	0.00	4.02	90.0	3.62
06/21/2018	BROSIUS JR, ARTHUR	LORD ABBETT SHORT DURATION INCOME F	0.00	6.48	0.00	6.48	90.0	5.83
06/21/2018	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	9.30	0.00	9.30	90.0	8.37
06/21/2018	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	4.71	0.00	4.71	90.0	4.24
06/21/2018	HARDING, LORETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	13.14	0.00	13.14	90.0	11.83
06/22/2018	MURRY, YVONNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	10.46	0.00	10.46	90.0	9.41
06/22/2018	FLEISCHMAN, RITA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	3.13	0.00	3.13	90.0	2.82
06/22/2018	FARBMAN TRUST, DANIEL & SANDRA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.18	0.00	2.18	90.0	1.96
06/22/2018	PAGLIA, DIANA	MAINSTAY FLOATING RATE FUND CLASS A	0.00	0.51	0.00	0.51	90.0	0.46
06/22/2018	PAGLIA, DIANA	MAINSTAY HIGH YIELD CORP BOND A	0.00	1.25	0.00	1.25	90.0	1.13
06/22/2018	PAGLIA, DIANA	MAINSTAY LARGE CAP GROWTH FUND A	0.00	0.83	0.00	0.83	90.0	0.75
06/18/2018	KROSNOWSKI, THOMAS	MFS CORE EQUITY A	0.00	1.78	0.00	1.78	90.0	1.60
06/18/2018	KROSNOWSKI, THOMAS	MFS EMERGING MARKETS EQUITY A	0.00	4.29	0.00	4.29	90.0	3.86
06/18/2018	CAMPAGNA, MARIE	MFS EMERGING MKTS DEBT FUND C	0.00	7.73	0.00	7.73	90.0	6.96
06/18/2018	SPECTER TRUST, STEPHANIE	MFS GROWTH A	0.00	4.48	0.00	4.48	90.0	4.03
06/18/2018	BOWERS, DORA	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.71	0.00	0.71	90.0	0.64
06/18/2018	KROSNOWSKI, THOMAS	MFS INTERNATIONAL VALUE FUND CLASS	0.00	4.10	0.00	4.10	90.0	3.69
06/18/2018	SPECTER TRUST, BRADLEY	MFS MID-CAP VALUE FUND CLASS A	0.00	2.47	0.00	2.47	90.0	2.22
06/18/2018	SPECTER TRUST, STEPHANIE	MFS MID-CAP VALUE FUND CLASS A	0.00	5.91	0.00	5.91	90.0	5.32
06/18/2018	SPECTER TRUST, BRADLEY	MFS MIDCAP GROWTH A	0.00	2.34	0.00	2.34	90.0	2.11
06/18/2018	SPECTER TRUST, STEPHANIE	MFS MIDCAP GROWTH A	0.00	5.51	0.00	5.51	90.0	4.96
06/18/2018	KROSNOWSKI, THOMAS	MFS MIDCAP GROWTH A	0.00	1.60	0.00	1.60	90.0	1.44

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06/18/2018	KROSNOWSKI, THOMAS	MFS RESEARCH A	0.00	1.60	0.00	1.60	90.0	1.44
06/18/2018	SPECTER TRUST, STEPHANIE	MFS RESEARCH INTL A	0.00	7.24	0.00	7.24	90.0	6.52
06/18/2018	KROSNOWSKI, THOMAS	MFS TECHNOLOGY FUND A	0.00	3.44	0.00	3.44	90.0	3.10
06/18/2018	SPECTER TRUST, BRADLEY	MFS VALUE A	0.00	2.40	0.00	2.40	90.0	2.16
06/18/2018	SPECTER TRUST, STEPHANIE	MFS VALUE A	0.00	3.74	0.00	3.74	90.0	3.37
06/19/2018	SCARPIGNATO, ANTHONY	NUVEEN HIGH YIELD MUNI A	0.00	3.20	0.00	3.20	90.0	2.88
06/18/2018	KROSNOWSKI, THOMAS	OPPENHEIMER DISCOVERY A	0.00	1.43	0.00	1.43	90.0	1.29
06/18/2018	KROSNOWSKI, THOMAS	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	1.29	0.00	1.29	90.0	1.16
06/18/2018	KROSNOWSKI, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.41	0.00	0.41	90.0	0.37
06/18/2018	BOWERS, DORA	OPPENHEIMER INTL GROWTH A	0.00	0.65	0.00	0.65	90.0	0.59
06/18/2018	STERR, ERIN	OPPENHEIMER MAIN STREET A	0.00	2.49	0.00	2.49	90.0	2.24
06/18/2018	KROSNOWSKI, THOMAS	OPPENHEIMER MAIN STREET A	0.00	3.21	0.00	3.21	90.0	2.89
06/18/2018	CHERRY, LISA	OPPENHEIMER QUEST OPP VALUE A	0.00	2.65	0.00	2.65	90.0	2.39
06/18/2018	KROSNOWSKI, THOMAS	OPPENHEIMER QUEST OPP VALUE A	0.00	1.79	0.00	1.79	90.0	1.61
06/18/2018	SCARPIGNATO, ANTHONY	OPPENHEIMER ROCHESTER NATIONAL MUNI	0.00	0.02	0.00	0.02	90.0	0.02
06/21/2018	CHERRY, ADAM	PAC LIFE FUNDS PRT OPT MOD	0.00	262.25	0.00	262.25	90.0	236.03
07/02/2018	TRICHON, DOROTHY	PUTNAM AMERICAN GOVT INCOME-A	0.00	0.82	0.00	0.82	90.0	0.74
07/02/2018	TRICHON, DOROTHY	PUTNAM AMERICAN GOVT INCOME-A	0.00	1.27	0.00	1.27	90.0	1.14
06/14/2018	TRICHON, DONNA-LYNN	PUTNAM GROWTH OPP A	0.00	15.20	0.00	15.20	90.0	13.68
06/14/2018	NIEMINEN, EUGENE	PUTNAM MULTI CAP GROWTH A	0.00	3.42	0.00	3.42	90.0	3.08
07/02/2018	TRICHON, DOROTHY	PUTNAM U.S. GOVT INCOME TR-A	0.00	2.46	0.00	2.46	90.0	2.21
07/02/2018	TRICHON, DOROTHY	PUTNAM U.S. GOVT INCOME TR-A	0.00	4.72	0.00	4.72	90.0	4.25
06/18/2018	SPECTER, BRADLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	13.76	0.00	13.76	90.0	12.38
06/18/2018	SPECTER, STEPHANIE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	18.79	0.00	18.79	90.0	16.91
06/18/2018	TAROFF, MICHELLE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	6.18	0.00	6.18	90.0	5.56
06/18/2018	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	46.81	0.00	46.81	90.0	42.13
06/18/2018	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	140.42	0.00	140.42	90.0	126.38
06/18/2018	FLEISCH, ROBERT	TEMPLETON GLOBAL BOND A	0.00	11.31	0.00	11.31	90.0	10.18
06/18/2018	GREENWELL, MARION	TEMPLETON GROWTH A	0.00	1.68	0.00	1.68	90.0	1.51
06/18/2018	CENTANNI, RICHARD	TEMPLETON GROWTH A	0.00	0.36	0.00	0.36	90.0	0.32
06/18/2018	FLEISCH, ROBERT	TEMPLETON WORLD A	0.00	11.33	0.00	11.33	90.0	10.20
06/25/2018	EHRET, WAYNE	VICTORY CAPITAL MANAGEMENT	0.00	0.51	0.00	0.51	90.0	0.46
06/14/2018	CHERRY, LISA	VIRTUS SMALL-CAP SUSTAINABLE GROWTH	0.00	7.29	0.00	7.29	90.0	6.56

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/13/2018	PISANI, NICHOLAS	WELLS FARGO ADVANTAGE OMEGA GROWTH	0.00	10.88	0.00	10.88	90.0	9.79
06/13/2018	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	22.92	0.00	22.92	90.0	20.63
06/13/2018	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	10.89	0.00	10.89	90.0	9.80
06/13/2018	BOWERS, DORA	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.20	0.00	0.20	90.0	0.18
06/13/2018	TRICHON, DONNA-LYNN	WELLS FARGO DIVERSIFIED CAPITAL BUI	0.00	18.91	0.00	18.91	90.0	17.02
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	0.00	8.26	0.00	8.26	90.0	7.43
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	1.48	0.00	1.48	90.0	1.33
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.74	0.00	0.74	90.0	0.67
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$3,665.38	\$0.00	\$3,665.38		\$3,298.97

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

06/30/2018	FELDNER, BARBARA	LFG TRAILS	234,058.77	585.15	0.00	585.15	90.0	526.64
06/30/2018	HARDING, LORETTA	LFG TRAILS	181,890.91	454.73	0.00	454.73	90.0	409.26
06/30/2018	HARDING, HARRY	LFG TRAILS	78,057.34	195.14	0.00	195.14	90.0	175.63
06/30/2018	LEVIN, JOYCE	LFG TRAILS	55,657.85	139.14	0.00	139.14	90.0	125.23
06/30/2018	LEVIN, BARRY	LFG TRAILS	491,426.09	1,228.57	0.00	1,228.57	90.0	1,105.71
06/30/2018	PISANI, NICHOLAS	LFG TRAILS	276,627.69	691.57	0.00	691.57	90.0	622.41
06/30/2018	BECKETT, CHRISTINE	LFG TRAILS	490,111.78	1,225.28	0.00	1,225.28	90.0	1,102.75
06/30/2018	SCARPIGNATO, ANTHONY	LFG TRAILS	1,944.09	4.86	0.00	4.86	90.0	4.37
06/30/2018	SCARPIGNATO, ANTHONY	LFG TRAILS	135,331.39	338.33	0.00	338.33	90.0	304.50
06/30/2018	CHERRY, ADAM	LFG TRAILS	544,214.10	1,360.54	0.00	1,360.54	90.0	1,224.49
06/30/2018	LINSKY, LISA	LFG TRAILS	103,103.63	257.76	0.00	257.76	90.0	231.98
06/30/2018	PISANI, CARLA	LFG TRAILS	126,900.22	79.31	0.00	79.31	90.0	71.38
06/30/2018	CARLINO, NANCY	LFG TRAILS	69,085.30	172.71	0.00	172.71	90.0	155.44
06/30/2018	SAVASTANO, VICTOR	LFG TRAILS	120,374.74	300.94	0.00	300.94	90.0	270.85
06/30/2018	ROSENBLUM, LUCILLE	LFG TRAILS	671,723.76	1,679.31	0.00	1,679.31	90.0	1,511.38
06/30/2018	FLYNN, EDWARD	LFG TRAILS	20,400.90	51.00	0.00	51.00	90.0	45.90
06/30/2018	TAROFF, SCOTT	LFG TRAILS	337,686.18	844.22	0.00	844.22	90.0	759.80
06/30/2018	OLIVETI, FRANK	LFG TRAILS	114,215.96	285.54	0.00	285.54	90.0	256.99
06/30/2018	MACDONALD, WILLIAM	LFG TRAILS	161,791.15	404.48	0.00	404.48	90.0	364.03
06/30/2018	SMITH, BARBARA	LFG TRAILS	282,813.44	707.03	0.00	707.03	90.0	636.33
06/30/2018	MURPHY, MARY	LFG TRAILS	326,012.86	815.03	0.00	815.03	90.0	733.53
06/30/2018	DEBRIELLE-HEALEY, ELIZABETH	LFG TRAILS	54,012.96	135.03	0.00	135.03	90.0	121.53
06/30/2018	MURPHY, MARY	LFG TRAILS	34,153.50	85.38	0.00	85.38	90.0	76.84

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/30/2018	SMITH, BARBARA	LFG TRAILS	688,527.61	1,721.32	0.00	1,721.32	90.0	1,549.19
06/30/2018	FELDNER, BARBARA	LFG TRAILS	257,758.27	644.40	0.00	644.40	90.0	579.96
06/30/2018	KLEIN, HOWARD	LFG TRAILS	1,635,079.64	4,087.70	0.00	4,087.70	90.0	3,678.93
06/30/2018	HUDSON TRUST, ROBERT	LFG TRAILS	586,931.14	1,467.33	0.00	1,467.33	90.0	1,320.60
06/30/2018	CHERRY, ADAM	LFG TRAILS	169,603.56	424.01	0.00	424.01	90.0	381.61
06/30/2018	FARBMAN, SANDRA	LFG TRAILS	679,737.44	1,699.34	0.00	1,699.34	90.0	1,529.41
06/30/2018	NEELD, JOHN	LFG TRAILS	79,110.98	197.78	0.00	197.78	90.0	178.00
06/30/2018	NEELD, JOHN	LFG TRAILS	3,813.77	97.03	0.00	97.03	90.0	87.33
06/30/2018	BALZANO, AUGUSTUS	LFG TRAILS	102,443.05	256.11	0.00	256.11	90.0	230.50
06/30/2018	PLEAT, LEAH	LFG TRAILS	86,379.46	215.95	0.00	215.95	90.0	194.36
06/30/2018	BAUER, JOHN	LFG TRAILS	132,211.97	330.53	0.00	330.53	90.0	297.48
06/30/2018	LA CORTE, CYNTHIA	LFG TRAILS	106,997.17	267.49	0.00	267.49	90.0	240.74
06/30/2018	PERETTI JR, ANTHONY	LFG TRAILS	43,167.64	107.92	0.00	107.92	90.0	97.13
06/30/2018	FELDNER, BARBARA	LFG TRAILS	142,804.76	357.01	0.00	357.01	90.0	321.31
06/30/2018	FARBMAN, ERIC	LFG TRAILS	544,354.51	340.22	0.00	340.22	90.0	306.20
06/30/2018	GALERMAN, ALAN	LFG TRAILS	182,931.01	457.33	0.00	457.33	90.0	411.60
06/30/2018	GALERMAN, LIORA	LFG TRAILS	26,939.33	67.35	0.00	67.35	90.0	60.62
06/30/2018	KIM, CHUNG	LFG TRAILS	65,666.54	164.17	0.00	164.17	90.0	147.75
06/30/2018	CHERRY, ROBERTA	LFG TRAILS	18,421.76	46.05	0.00	46.05	90.0	41.45
06/30/2018	CUNNINGHAM, EDWARD	LFG TRAILS	67,310.43	168.28	0.00	168.28	90.0	151.45
06/30/2018	KRAMER, LARRY	LFG TRAILS	658,465.22	1,646.16	0.00	1,646.16	90.0	1,481.54
06/30/2018	CRAMER, FRED	LFG TRAILS	142,747.62	356.87	0.00	356.87	90.0	321.18
06/29/2018	LINSKY, MARC	ALLIANZ HIGH FIVE TRADITIONAL	0.00	306.29	0.00	306.29	90.0	275.66
06/29/2018	GALM, KATHLEEN	ALLIANZ HIGH FIVE TRADITIONAL	0.00	86.96	0.00	86.96	90.0	78.26
06/29/2018	HIRSHMAN, BETTY	ALLIANZ HIGH FIVE TRADITIONAL	0.00	710.26	0.00	710.26	90.0	639.23
06/29/2018	HAMBLETON, JOHN	ALLIANZ HIGH FIVE TRADITIONAL	0.00	181.08	0.00	181.08	90.0	162.97
06/29/2018	YANKOWITZ, HARRIETT	ALLIANZ HIGH FIVE TRADITIONAL	0.00	50.72	0.00	50.72	90.0	45.65
06/29/2018	DOLIN, RHODA	ALLIANZ HIGH FIVE TRADITIONAL	0.00	341.18	0.00	341.18	90.0	307.06
06/29/2018	CIBELLI, JANE	AXA ACCUM 04 ELITE (RO IRA)	0.00	152.52	0.00	152.52	90.0	137.27
06/29/2018	CZERWONKA, REMIGIA	HARTFORD LEADERS OUTLOOK (ILA)	0.00	41.17	0.00	41.17	90.0	37.05
06/29/2018	KROSNOWSKI, LISA	HARTFORD PHCM V	0.00	6.87	0.00	6.87	90.0	6.18
06/30/2018	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	0.00	228.49	0.00	228.49	90.0	205.64
06/30/2018	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	0.00	242.04	0.00	242.04	90.0	217.84

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
06/30/2018	NANI, JOSEPH	JNL PERSPECTIVE L	0.00	343.85	0.00	343.85	90.0	309.47
06/30/2018	FELDNER, BARBARA	JNL PERSPECTIVE L	0.00	403.30	0.00	403.30	90.0	362.97
06/30/2018	STERR, CHRISTOPHER	JNL PERSPECTIVE L	0.00	93.45	0.00	93.45	90.0	84.11
06/30/2018	OTT, CAROL	JOHN HANCOCK VENTURE	0.00	2.91	0.00	2.91	90.0	2.62
06/30/2018	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	0.00	165.13	0.00	165.13	90.0	148.62
06/27/2018	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	150.68	0.00	150.68	90.0	135.61
06/24/2018	GALERMAN, ALAN	TRANSAMERICA LIBERTY	0.00	108.09	0.00	108.09	90.0	97.28
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$11,332,997.49	\$30,776.39	\$0.00	\$30,776.39		\$27,698.80
*** DIRECT TRANSACTIONS TOTAL ***			\$11,335,472.49	\$34,533.58	\$0.00	\$34,533.58		\$31,080.40

SPLIT TRANSACTIONS**BROKER: J30 MARC/MICHAEL LINSKY/FOX #266**

06/15/2018	CLARKE, PHYLLIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.20	0.00	1.20	90.0	1.08
06/15/2018	CLARKE, PHYLLIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.08	0.00	0.08	90.0	0.07
06/15/2018	BERK, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.10	0.00	2.10	90.0	1.89
06/18/2018	CLARKE, PHYLLIS	FRANKLIN GROWTH OPPORTUNITIES FUND	0.00	0.13	0.00	0.13	90.0	0.12
06/22/2018	SPOKANE, LOIS	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.70	0.00	0.70	90.0	0.63
06/18/2018	CLARKE, PHYLLIS	HARTFORD INFLATION PLUS FUIND C	0.00	3.29	0.00	3.29	90.0	2.96
06/21/2018	CLARKE, PHYLLIS	LORD ABBETT AFFILIATED A	0.00	1.18	0.00	1.18	90.0	1.06
06/21/2018	SPOKANE, LOIS	LORD ABBETT BOND DEBENTURE A	0.00	1.61	0.00	1.61	90.0	1.45
06/21/2018	SPOKANE, LOIS	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	4.12	0.00	4.12	90.0	3.71
06/14/2018	CLARKE, PHYLLIS	PIMCO TOTAL RETURN C	0.00	13.91	0.00	13.91	90.0	12.52
06/14/2018	BELLO, JOSEPH	PUTNAM MULTI CAP GROWTH A	0.00	0.69	0.00	0.69	90.0	0.62
06/18/2018	LANUTI, CHERYL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	9.27	0.00	9.27	90.0	8.34
* J30 MARC/MICHAEL LINSKY/FOX SUBTOTAL *			\$0.00	\$38.28	\$0.00	\$38.28		\$34.45

BROKER: DAN/JEFF/JON P/ FT-R134 NY RAUPP/KI #272

06/22/2018	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.23	0.00	0.23	90.0	0.21
* DAN/JEFF/JON P/ FT-R134 NY RAUPP/KI SUBTOTAL *			\$0.00	\$0.23	\$0.00	\$0.23		\$0.21

BROKER: DAN/MARC FT-C148 CHARLEY/LINS #315

06/30/2018	NEELD, JUDEANN	LFG TRAILS	32,509.39	40.64	0.00	40.64	90.0	36.58
06/15/2018	ANDERSON, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.47	0.00	0.47	90.0	0.42

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: DAN/MARC FT-C148 CHARLEY/LINS #315</u>								
06/15/2018	NEELD, JUDEANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.35	0.00	1.35	90.0	1.22
06/15/2018	NEELD, JUDEANN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.50	0.00	3.50	90.0	3.15
06/20/2018	ANDERSON, WILLIAM	AMERCIAN CENTURY CORE PLUS FUND CLA	0.00	2.82	0.00	2.82	90.0	2.54
06/25/2018	ANDERSON, ELAINE	BLK GLOBAL ALLOCATION C	0.00	2.56	0.00	2.56	90.0	2.30
06/20/2018	ANDERSON, ELAINE	CALAMOS GROWTH & INCOME A	0.00	0.97	0.00	0.97	90.0	0.87
06/11/2018	ANDERSON, WILLIAM	CARILLON EAGLE GROWTH & INCOME FUND	0.00	6.56	0.00	6.56	90.0	5.90
06/13/2018	ANDERSON, WILLIAM	COLUMBIA BALANCED FUND CLASS C	0.00	3.54	0.00	3.54	90.0	3.19
06/18/2018	FIAMINGO, FRANK	DAVIS REAL ESTATE A	0.00	2.20	0.00	2.20	90.0	1.98
06/13/2018	ANDERSON, WILLIAM	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	1.01	0.00	1.01	90.0	0.91
06/18/2018	FIAMINGO, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.83	0.00	10.83	90.0	9.75
06/18/2018	FIAMINGO, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	32.49	0.00	32.49	90.0	29.24
06/18/2018	FIAMINGO, FRANK	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.08	0.00	14.08	90.0	12.67
06/18/2018	FIAMINGO, FRANK	GRIFFIN INST'L ACCESS R E FUND C	0.00	42.24	0.00	42.24	90.0	38.02
06/18/2018	GELFGAT, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.33	0.00	5.33	90.0	4.80
06/18/2018	GELFGAT, DAVID	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.99	0.00	15.99	90.0	14.39
06/18/2018	BUTKUS, ROBERT	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.29	0.00	5.29	90.0	4.76
06/18/2018	BUTKUS, ROBERT	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.86	0.00	15.86	90.0	14.27
06/18/2018	CLARKE SR, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.79	0.00	4.79	90.0	4.31
06/18/2018	CLARKE SR, JAMES	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.36	0.00	14.36	90.0	12.92
06/15/2018	ANDERSON, ELAINE	JANUS BALANCED FUND CLASS C	0.00	3.40	0.00	3.40	90.0	3.06
06/15/2018	ANDERSON, ELAINE	JH STRATEGIC INCOME A	0.00	0.44	0.00	0.44	90.0	0.40
06/21/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	0.76	0.00	0.76	90.0	0.68
06/18/2018	FIAMINGO, FRANK	OPPENHEIMER CAPITAL INCOME A	0.00	0.01	0.00	0.01	90.0	0.01
06/14/2018	ANDERSON, WILLIAM	PIMCO REAL RETURN C	0.00	1.71	0.00	1.71	90.0	1.54
06/13/2018	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	1.06	0.00	1.06	90.0	0.95
06/13/2018	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.65	0.00	0.65	90.0	0.59
* DAN/MARC FT-C148 CHARLEY/LINS SUBTOTAL *			\$32,509.39	\$234.91	\$0.00	\$234.91		\$211.42
<u>BROKER: DA/MAR/JP/DA/JE FT- C157 CHA/LIN/CI #400</u>								
06/18/2018	CARROLLTON, DONNA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.74	0.00	1.74	90.0	1.57
06/18/2018	CARROLLTON, STEVE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.24	0.00	1.24	90.0	1.12
* DA/MAR/JP/DA/JE FT- C157 CHA/LIN/CI SUBTOTAL *			\$0.00	\$2.98	\$0.00	\$2.98		\$2.69
*** SPLIT TRANSACTIONS TOTAL ***			\$32,509.39	\$276.40	\$0.00	\$276.40		\$248.77

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<u>ADJUSTMENTS</u>								
07/15/2018		*EO INS: CURRENT MONTH INS	0.00					-300.00
07/15/2018		*FEDERAL EXPRESS: 6-226-81635 06-27-18	0.00					-28.61
07/15/2018		*MARKETING LIBRARY: MARKETING LIBRARY PRO	0.00					-45.00
07/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-1.55
07/15/2018		*PERSHING: MANDATORY REORG DEPOIST PNW099125	0.00					-10.00
07/15/2018		*PERSHING: MANDATORY REORG DEPOIST PNW099588	0.00					-10.00
07/15/2018		*PERSHING: MANDATORY REORG DEPOIST PNW099745	0.00					-5.00
07/15/2018		*PERSHING: MANDATORY REORG DEPOIST PNW101236	0.00					-10.00
07/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-78.03
07/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-5.96
07/15/2018		*TECH: ADMIN-CHRISTINE GILMER	0.00					-100.00
07/15/2018		*TECH: ADMIN-LINDA BOND-HILTNER	0.00					-100.00
07/15/2018		*TECH: CURRENT MONTH TECH	0.00					-275.00
07/15/2018		*TECHNOLOGY: ADMIN - D. LINSKY	0.00					-135.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,104.15

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BROKER COMMISSION TOTALS

Broker Transactions	\$31,735.60
Split Transactions	\$248.77
Override Transactions	\$0.00
Adjustments	\$-1,104.15
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$30,880.22
Year-to-date Gross	\$236,778.24
Year-to-date Earnings	\$211,043.23