



All Companies

02/27/2018
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COMMISSION STATEMENT March 01, 2018

MICHAEL C. MOYNIHAN
700 Throckmorton

BROKER #: 9528
BRANCH: HOME OFFICE

Fort Worth, TX 76102

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

02/01/2018	RICHWINE, RANDALL	-TEXAS 529 PLAN	B	400.00	16.00	0.00	16.00	90.0	14.40
02/01/2018	HUDGINS/HYDINGER, CHRISTY/SUTTON	-TEXAS 529 PLAN	B	25.00	1.19	0.00	1.19	90.0	1.07
02/01/2018	RICHWINE, RANDALL	-TEXAS 529 PLAN	B	400.00	16.00	0.00	16.00	90.0	14.40
02/12/2018	PARISH, RUSSELL	-TEXAS 529 PLAN	B	150.00	7.13	0.00	7.13	90.0	6.42
02/12/2018	PARISH, RUSSELL/CAROLIN	-TEXAS 529 PLAN	B	150.00	7.13	0.00	7.13	90.0	6.42
02/15/2018	HUDGINS/HYDINGER, CHRISTY/ELLERY	-TEXAS 529 PLAN	B	25.00	1.19	0.00	1.19	90.0	1.07
02/12/2018	RANDOLPH, DUJON ESPIE	FORESTERS FINANCIAL	B	174.79	8.74	0.00	8.74	90.0	7.87
02/12/2018	SANCHEZ, FIDEL	FORESTERS FINANCIAL	B	100.00	4.00	0.00	4.00	90.0	3.60
02/12/2018	SANCHEZ, FIDEL	FORESTERS FINANCIAL	B	100.00	4.00	0.00	4.00	90.0	3.60
02/12/2018	MARTINEZ, LUCIANO	FORESTERS FINANCIAL	B	400.00	16.00	0.00	16.00	90.0	14.40
02/12/2018	WHISENAND, JANICE D	FORESTERS FINANCIAL	B	899.30	20.24	0.00	20.24	90.0	18.22
02/12/2018	SAUNDERS, MARTHA J.	FORESTERS FINANCIAL	B	280.00	14.00	0.00	14.00	90.0	12.60
02/12/2018	TAPIA, ISIDRO	FORESTERS FINANCIAL	B	320.00	9.60	0.00	9.60	90.0	8.64
02/12/2018	WHISENAND, JANICE D	FORESTERS FINANCIAL	B	872.85	19.64	0.00	19.64	90.0	17.68
02/12/2018	WHISENAND, JAMES MICHAEL	FORESTERS FINANCIAL	B	372.50	11.18	0.00	11.18	90.0	10.06
02/12/2018	WHISENAND, MICHAEL D	FORESTERS FINANCIAL	B	400.00	12.00	0.00	12.00	90.0	10.80
02/12/2018	WHISENAND, JANICE D	FORESTERS FINANCIAL	B	872.85	19.64	0.00	19.64	90.0	17.68
02/12/2018	RANDOLPH, DUJON ESPIE	FORESTERS FINANCIAL	B	174.78	8.74	0.00	8.74	90.0	7.87
02/12/2018	RAMIREZ, VICTOR	FORESTERS FINANCIAL	B	363.55	18.18	0.00	18.18	90.0	16.36
02/12/2018	VERA, MANUEL H.	FORESTERS FINANCIAL	B	275.37	13.77	0.00	13.77	90.0	12.39
02/12/2018	CRUZ, PATRICK	FORESTERS FINANCIAL	B	200.00	10.00	0.00	10.00	90.0	9.00
02/12/2018	SANCHEZ, NORMA	FORESTERS FINANCIAL	B	200.00	10.00	0.00	10.00	90.0	9.00
02/12/2018	MATSON, VICTOR G.	FORESTERS FINANCIAL	B	200.00	10.00	0.00	10.00	90.0	9.00
02/12/2018	BUSBY, RODNEY	FORESTERS FINANCIAL	B	240.00	12.00	0.00	12.00	90.0	10.80
02/12/2018	STACY, LARRY	FORESTERS FINANCIAL	B	400.00	20.00	0.00	20.00	90.0	18.00
02/12/2018	WHISENAND, JAMES MICHAEL	FORESTERS FINANCIAL	B	372.50	11.18	0.00	11.18	90.0	10.06
02/16/2018	MCEACHERN, JAMES M	FORESTERS FINANCIAL	B	200.00	6.00	0.00	6.00	90.0	5.40
02/16/2018	MCEACHERN, PAIGE A	FORESTERS FINANCIAL	B	200.00	6.00	0.00	6.00	90.0	5.40
02/15/2018	000000000705804 STRAWSER,	FRK TEMP COREFOLIO ALLOC FND CL - A B		200.00	10.00	0.00	10.00	90.0	9.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
	ROBERT K.								
02/15/2018	000000000874040 STRAWSER, LAURA	FRK AGGRESSIVE GRWTH - CL A	B	150.00	7.50	0.00	7.50	90.0	6.75
02/15/2018	0000000001032921 BLADEN, WILLIAM T.	FRK AGGRESSIVE GRWTH - CL A	B	458.33	7.33	0.00	7.33	90.0	6.60
02/15/2018	0000000001281157 BLADEN, WILLIAM /KATHRI	FRK AGGRESSIVE GRWTH - CL A	B	100.00	1.60	0.00	1.60	90.0	1.44
02/15/2018	0000000001889841 BLADEN, WILLIAM T.	MUTUAL DISC FND - CL A	B	100.00	1.60	0.00	1.60	90.0	1.44
02/15/2018	0000000003044308 BLADEN, WILLIAM T.	FRANKLIN MUTUAL SHARES FD CL 1	B	100.00	1.60	0.00	1.60	90.0	1.44
02/15/2018	0000000004809378 BLADEN, KATHRINE	FRK TEMP FOUNDING FUNDS ALLOC FND C B		458.33	7.33	0.00	7.33	90.0	6.60
02/05/2018	0000000005773748 DUNKIN, PHILLIP/COLBY	GROWTH ASSET ALLOC 13-16 YRS - CLAS B		50.00	1.40	0.00	1.40	90.0	1.26
02/15/2018	0000000006891410 BLADEN, WILLIAM	GROWTH ASSET ALLOC NEWBORN-8 YRS-CL B		100.00	1.60	0.00	1.60	90.0	1.44
02/15/2018	0000000007430553 BLADEN, WILLIAM/BRYNJA	GROWTH ASSET ALLOC NEWBORN-8 YRS-CL B		300.00	4.80	0.00	4.80	90.0	4.32
02/15/2018	000013912664577 STRAWSER, LAURA	FRANKLIN SPECIAL EQUITY INCOME FD C B		150.00	7.50	0.00	7.50	90.0	6.75
02/15/2018	000015815261389 BLADEN, WILLIAM T.	FRANKLIN RISING DIVIDEND FD CL I	B	100.00	1.60	0.00	1.60	90.0	1.44
02/05/2018	00001611968 BELCHER, LORENA	LIFESTYLE AGGRESSIVE PORTFOLIO A	B	200.00	8.50	0.00	8.50	90.0	7.65
02/05/2018	00001664145 KANESHIRO, JOAN A	LIFESTYLE GROWTH PORTFOLIO A	B	200.00	8.50	0.00	8.50	90.0	7.65
02/05/2018	00001731052 MITCHELL, NATALIE	LIFESTYLE BALANCED PORTFOLIO A	B	120.00	4.50	0.00	4.50	90.0	4.05
02/05/2018	00052854186 HALL, HARRISON	PORTFOLIO 2017-2020A	B	500.00	18.75	0.00	18.75	90.0	16.88
02/15/2018	00052962457 BELCHER, JAMES W/JAMES C	PORTFOLIO 2033-2036 A	B	50.00	2.13	0.00	2.13	90.0	1.92
02/12/2018	00073345834 VASQUEZ, DAVID	AMERICAN FUNDS CAPITAL WORLD BD FD B		27.89	0.77	0.00	0.77	90.0	0.69
02/12/2018	00073345834 VASQUEZ, DAVID	AMERICAN FUNDS CAPITAL WORLD BD FD B		27.89	0.77	0.00	0.77	90.0	0.69
02/16/2018	00075397174 MCCARTHY, CHRIS/ANNALIE	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
02/15/2018	00075979142 LARA-MONTGOMERY, JENNIFER A	AMERICAN FUNDS CAPITAL WORLD GROWT B		100.00	2.00	0.00	2.00	90.0	1.80
02/12/2018	00081123206 THOMPSON, JAMES	AMERICAN FUNDS CAPITAL WORLD GROWT B		3,965.98	148.72	0.00	148.72	90.0	133.85
02/16/2018	00081508155 MCCARTHY, CHRIS/OLIVIA	THE GROWTH FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
02/12/2018	00082140597 DAVILA, JAIME A	AMERICAN FUNDS CAPITAL INCOME BUILD B		26.52	0.73	0.00	0.73	90.0	0.66
02/12/2018	00082140597 DAVILA, JAIME A	AMERICAN FUNDS CAPITAL INCOME BUILD B		25.00	0.69	0.00	0.69	90.0	0.62

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/15/2018	00082630504 THURMAN, MEGAN	AMERICAN FUNDS CAPITAL INCOME BUILD B		50.00	2.50	0.00	2.50	90.0	2.25
02/15/2018	00082697643 MCEACHERN, KYLIE	CAPITAL WORLD GROWTH AND INCOME-529 B		100.00	4.25	0.00	4.25	90.0	3.83
02/15/2018	00083124311 MONTGOMERY, ZANDER	CAPITAL WORLD GROWTH AND INCOME-529 B		75.00	1.50	0.00	1.50	90.0	1.35
02/15/2018	00084124051 PARISH/ALLEN, VICTORIA/JULIE	CAPITAL INCOME BUILDER-529A	B	25.00	1.06	0.00	1.06	90.0	0.95
02/15/2018	00084124070 PARISH/ALLEN, VICTORIA/JULIE	CAPITAL INCOME BUILDER-529A	B	50.00	2.13	0.00	2.13	90.0	1.92
02/15/2018	00085752451 MONTGOMERY, ZANDER	CAPITAL WORLD GROWTH AND INCOME-529 B		75.00	1.50	0.00	1.50	90.0	1.35
02/16/2018	00086824865 BANKS, HEATHER	AMERICAN FUNDS CAPITAL WORLD GROWT B		74.40	2.79	0.00	2.79	90.0	2.51
02/16/2018	00086824865 BANKS, HEATHER	AMERICAN FUNDS CAPITAL WORLD GROWT B		148.81	5.58	0.00	5.58	90.0	5.02
02/16/2018	00086824865 BANKS, HEATHER	AMERICAN FUNDS NEW ECONOMY FD	B	74.41	2.79	0.00	2.79	90.0	2.51
02/16/2018	00086824865 BANKS, HEATHER	AMERICAN FUNDS NEW ECONOMY FD	B	148.80	5.58	0.00	5.58	90.0	5.02
02/15/2018	00087076225 PARISH/ALLEN, VICTORIA/JULIE	CAPITAL WORLD GROWTH AND INCOME-529 B		50.00	2.13	0.00	2.13	90.0	1.92
02/15/2018	00087416414 WHITE, CINDY C.	GROWTH PORTFOLIO-A	B	150.00	7.50	0.00	7.50	90.0	6.75
02/15/2018	00088409989 PARISH/ALLEN, VICTORIA/JULIE	COLLEGE 2030 FUND-529A	B	50.00	1.75	0.00	1.75	90.0	1.58
02/15/2018	00088439412 RICHARDSON, CLAY & BRIANA	COLLEGE 2030 FUND-529A	B	500.00	17.50	0.00	17.50	90.0	15.75
02/15/2018	00088439441 RICHARDSON, CLAY & BRIANA	COLLEGE 2030 FUND-529A	B	500.00	17.50	0.00	17.50	90.0	15.75
02/12/2018	00088625904 SHUMATE, BRENDA K	AMERICAN FUNDS 2020 TARGET DATE-A	B	150.00	4.13	0.00	4.13	90.0	3.72
02/12/2018	00088625904 SHUMATE, BRENDA K	AMERICAN FUNDS 2020 TARGET DATE-A	B	32.75	0.90	0.00	0.90	90.0	0.81
02/12/2018	00088628251 GRINDELE, RUSSELL G.	AMERICAN FUNDS 2025 TARGET DATE-A	B	26.40	0.73	0.00	0.73	90.0	0.66
02/12/2018	00088628251 GRINDELE, RUSSELL G.	AMERICAN FUNDS 2025 TARGET DATE-A	B	26.40	0.73	0.00	0.73	90.0	0.66
02/16/2018	00089201070 PARISH, SAMMY/CALEB	COLLEGE 2033 FUND-529A	B	50.00	1.75	0.00	1.75	90.0	1.58
02/12/2018	00089316769 JIMENEZ, MANUEL	AMERICAN FUNDS 2020 TARGET DATE-A	B	10.00	0.28	0.00	0.28	90.0	0.25
02/12/2018	00089316769 JIMENEZ, MANUEL	AMERICAN FUNDS 2020 TARGET DATE-A	B	10.00	0.28	0.00	0.28	90.0	0.25
02/12/2018	00089357575 CONTRERAS, JUAN J.	AMERICAN FUNDS 2020 TARGET DATE-A	B	28.80	0.79	0.00	0.79	90.0	0.71
02/12/2018	00089357575 CONTRERAS, JUAN J.	AMERICAN FUNDS 2020 TARGET DATE-A	B	70.00	1.93	0.00	1.93	90.0	1.74
02/15/2018	00207266994 INGRAM, MEGAN L/MONDRAE	PORTFOLIO 2029-2032 A	B	50.00	2.13	0.00	2.13	90.0	1.92
02/05/2018	01471247542 OWENS, CATHERINE J.	FIDELITY ADV ASSET MANAGER 85%-CL A B		458.33	22.92	0.00	22.92	90.0	20.63
02/15/2018	02000061966 MARTIN, BECK/REBECKA	ULTRA CONSERVATIVE PORTFOLIO A	B	100.00	4.00	0.00	4.00	90.0	3.60
02/15/2018	04000405006 HILLMAN, DUSTIN	COLLEGE 2033 FUND-529A	B	100.00	3.50	0.00	3.50	90.0	3.15

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
02/12/2018	04000795732	BETTIS, ZACHERY	GROWTH PORTFOLIO-529A	B	100.00	5.00	0.00	5.00	90.0	4.50
02/12/2018	04000795807	BETTIS, ZACHERY	GROWTH PORTFOLIO-529A	B	100.00	5.00	0.00	5.00	90.0	4.50
02/15/2018	04718377567	MIERS, JOSHUA	ALLIANCE TECHNOLOGY FD CL A	B	458.33	18.33	0.00	18.33	90.0	16.50
02/05/2018	07000073711	MINTER, MERIDITH	PRINCIPAL INVESTORS SAM STRAT GRO (B		229.16	9.17	0.00	9.17	90.0	8.25
02/05/2018	07000073711	MINTER, MERIDITH	PRINCIPAL INVESTORS WEST COAST EQTY B		229.17	9.17	0.00	9.17	90.0	8.25
02/15/2018	07004631807	INGRAM, MEGAN	PORTFOLIO 2033-2036 A	B	50.00	2.13	0.00	2.13	90.0	1.92
	/MORGHAN									
02/05/2018	08900188177	MINTER, MERIDITH	PRINCIPAL INVESTORS SAM CONSERV GRO B		1,000.00	10.00	0.00	10.00	90.0	9.00
02/01/2018	5551032020	WHITT, CHAD M.	PORTFOLIO SERIES: EQUITY INVESTOR F B		200.00	9.50	0.00	9.50	90.0	8.55
			* MUTUAL FUNDS SUBTOTAL *		\$21,929.19	\$756.16	\$0.00	\$756.16		\$680.60
PRODUCT CATEGORY: STOCKS										
02/27/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	132.88	132.88	0.00	132.88	90.0	119.59	
			* STOCKS SUBTOTAL *		\$132.88	\$132.88	\$0.00	\$132.88		\$119.59
PRODUCT CATEGORY: VARIABLE ANNUITIES										
02/09/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	170.85	170.85	0.00	170.85	90.0	153.77	
02/09/2018	TRAILS	GENWORTH LIFE ANNUITY	B	60.17	60.17	0.00	60.17	90.0	54.15	
02/19/2018	1020889370	RICHARDSON, BRIANA	-JACKSON NATIONAL	B	5,000.00	62.50	0.00	62.50	90.0	56.25
			* VARIABLE ANNUITIES SUBTOTAL *		\$5,231.02	\$293.52	\$0.00	\$293.52		\$264.17
PRODUCT CATEGORY: RIA										
01/12/2018	11567839	BARTON, LISA D	SIGNAL SECURITIES RIA	B	0.00	7.67	0.00	7.67	90.0	6.90
01/12/2018	11750216	HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	95.00	0.00	95.00	90.0	85.50
01/12/2018	11754268	KEITH, THOMAS G	SIGNAL SECURITIES RIA	B	0.00	21.00	0.00	21.00	90.0	18.90
01/12/2018	13004139	SUTTON, TERRE I	SIGNAL SECURITIES RIA	B	0.00	27.67	0.00	27.67	90.0	24.90
01/12/2018	14849603	SCASTA, BRANDI	SIGNAL SECURITIES RIA	B	0.00	16.67	0.00	16.67	90.0	15.00
01/12/2018	15090246	RICHWINE, RANDALL & KIMBE	SIGNAL SECURITIES RIA	B	0.00	10.33	0.00	10.33	90.0	9.30
01/12/2018	15327103	PARISH, VICTORIA J	SIGNAL SECURITIES RIA	B	0.00	42.33	0.00	42.33	90.0	38.10
01/12/2018	15535924	HILGARDNER, THERESA SUE	SIGNAL SECURITIES RIA	B	0.00	773.00	0.00	773.00	90.0	695.70
01/12/2018	15961193	RICE, JULIE D	SIGNAL SECURITIES RIA	B	0.00	141.67	0.00	141.67	90.0	127.50
01/12/2018	16731246	HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	0.67	0.00	0.67	90.0	0.60
01/12/2018	16737554	BROWN, WILMA JO	SIGNAL SECURITIES RIA	B	0.00	220.00	0.00	220.00	90.0	198.00
01/12/2018	16830093	HALL, MICHAEL & AIMEE	SIGNAL SECURITIES RIA	B	0.00	1,421.33	0.00	1,421.33	90.0	1,279.20
01/12/2018	19861374	GOLDENBERG, KRISTY	SIGNAL SECURITIES RIA	B	0.00	12.33	0.00	12.33	90.0	11.10
01/12/2018	20873922	WEST, MARSHA R	SIGNAL SECURITIES RIA	B	0.00	36.33	0.00	36.33	90.0	32.70
01/12/2018	20966370	MCCARTHY, CHRIS/CANDICE	SIGNAL SECURITIES RIA	B	0.00	3.67	0.00	3.67	90.0	3.30
01/12/2018	21210794	KIGER, MICHAEL K	SIGNAL SECURITIES RIA	B	0.00	190.00	0.00	190.00	90.0	171.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	21603998 PALO, REBECCA K.	SIGNAL SECURITIES RIA	B	0.00	73.67	0.00	73.67	90.0	66.30
01/12/2018	22006691 MCCOY, RAYMOND/LINDA	SIGNAL SECURITIES RIA	B	0.00	591.67	0.00	591.67	90.0	532.50
01/12/2018	23822702 STRAWSER, ROBERT KEVIN	SIGNAL SECURITIES RIA	B	0.00	307.00	0.00	307.00	90.0	276.30
01/12/2018	25342680 VISTINE, TIM A	SIGNAL SECURITIES RIA	B	0.00	16.33	0.00	16.33	90.0	14.70
01/12/2018	26871153 VISTINE, CHARLES DAVID	SIGNAL SECURITIES RIA	B	0.00	120.00	0.00	120.00	90.0	108.00
01/12/2018	27056511 SUTTON, TERRE I	SIGNAL SECURITIES RIA	B	0.00	155.67	0.00	155.67	90.0	140.10
01/12/2018	27336748 SPENCER, JR, LOUIS	SIGNAL SECURITIES RIA	B	0.00	22.33	0.00	22.33	90.0	20.10
01/12/2018	27636763 MCCRANIE, JACK & PERRI	SIGNAL SECURITIES RIA	B	0.00	19.67	0.00	19.67	90.0	17.70
01/12/2018	29148734 POWELL, LAMONT	SIGNAL SECURITIES RIA	B	0.00	173.00	0.00	173.00	90.0	155.70
01/12/2018	29861027 ZIMMERMAN, BROCK S	SIGNAL SECURITIES RIA	B	0.00	14.00	0.00	14.00	90.0	12.60
01/12/2018	30118591 PARISH, RUSSELL & KELLY	SIGNAL SECURITIES RIA	B	0.00	187.00	0.00	187.00	90.0	168.30
01/12/2018	30212369 COTE, DARIN C & PATTY	SIGNAL SECURITIES RIA	B	0.00	67.33	0.00	67.33	90.0	60.60
01/12/2018	30854428 HARTMAN, MATTIE E	SIGNAL SECURITIES RIA	B	0.00	39.33	0.00	39.33	90.0	35.40
01/12/2018	30933785 JAYMES, SHELEEN A	SIGNAL SECURITIES RIA	B	0.00	86.00	0.00	86.00	90.0	77.40
01/12/2018	31462737 BOBER, KATHERINE K	SIGNAL SECURITIES RIA	B	0.00	23.33	0.00	23.33	90.0	21.00
01/12/2018	31660678 SPENCER, KELLI/ABBY	SIGNAL SECURITIES RIA	B	0.00	9.67	0.00	9.67	90.0	8.70
01/12/2018	31805488 ZIMMERMAN, MARY ALYCE	SIGNAL SECURITIES RIA	B	0.00	24.00	0.00	24.00	90.0	21.60
01/12/2018	31811649 WILLIAMS, BRENDA ANN	SIGNAL SECURITIES RIA	B	0.00	25.33	0.00	25.33	90.0	22.80
01/12/2018	32562790 SPENCER, KELLI S	SIGNAL SECURITIES RIA	B	0.00	215.33	0.00	215.33	90.0	193.80
01/12/2018	33609517 WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	69.00	0.00	69.00	90.0	62.10
02/15/2018	33752039 RICHARDSON, CLAY & BRIANA	SIGNAL SECURITIES RIA	B	0.00	417.00	0.00	417.00	90.0	375.30
01/12/2018	33996338 CAMPBELL, IAN & MARY	SIGNAL SECURITIES RIA	B	0.00	152.00	0.00	152.00	90.0	136.80
01/12/2018	34850080 RICE, BRIAN & JULIE	SIGNAL SECURITIES RIA	B	0.00	219.33	0.00	219.33	90.0	197.40
01/12/2018	36226079 PARISH, RUSSELL & KELLY	SIGNAL SECURITIES RIA	B	0.00	15.00	0.00	15.00	90.0	13.50
01/12/2018	36650710 GOLDENBERG, ADAM M	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	90.0	7.20
01/12/2018	36816725 PHILP, JAMES & BETH	SIGNAL SECURITIES RIA	B	0.00	639.00	0.00	639.00	90.0	575.10
01/12/2018	37455579 HILLMAN, DUSTIN & CHELSY	SIGNAL SECURITIES RIA	B	0.00	26.00	0.00	26.00	90.0	23.40
01/12/2018	37648579 LUBWAMA, ADRIAN & ROSETT	SIGNAL SECURITIES RIA	B	0.00	16.33	0.00	16.33	90.0	14.70
01/12/2018	38767645 LOONEY, RANDY L	SIGNAL SECURITIES RIA	B	0.00	168.00	0.00	168.00	90.0	151.20
01/12/2018	38839950 CAMPBELL, MARY G	SIGNAL SECURITIES RIA	B	0.00	170.33	0.00	170.33	90.0	153.30
01/12/2018	39267061 KASPER, ANTHONY T	SIGNAL SECURITIES RIA	B	0.00	44.33	0.00	44.33	90.0	39.90

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018 39273336	PARISH, SAM D	SIGNAL SECURITIES RIA	B	0.00	136.00	0.00	136.00	90.0	122.40
01/12/2018 39438762	LUCUS, THOMAS BRENT	SIGNAL SECURITIES RIA	B	0.00	120.00	0.00	120.00	90.0	108.00
01/12/2018 39508930	LIPP, JENNIFER L	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
01/12/2018 39898429	BELCHER, TAMMY JEAN	SIGNAL SECURITIES RIA	B	0.00	50.33	0.00	50.33	90.0	45.30
01/12/2018 40149138	SAUNDERS, MARTHA	SIGNAL SECURITIES RIA	B	0.00	45.33	0.00	45.33	90.0	40.80
01/12/2018 40488610	SHISLER, LOREN W/JOYCE	SIGNAL SECURITIES RIA	B	0.00	292.67	0.00	292.67	90.0	263.40
01/12/2018 41387564	HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	165.67	0.00	165.67	90.0	149.10
01/12/2018 41427542	MEADE, ROBERT & GAIL	SIGNAL SECURITIES RIA	B	0.00	262.33	0.00	262.33	90.0	236.10
01/12/2018 41566521	MCCARTHY, CANDICE M	SIGNAL SECURITIES RIA	B	0.00	42.00	0.00	42.00	90.0	37.80
01/12/2018 41607521	MCCARTHY, CHRIS/CANDICE	SIGNAL SECURITIES RIA	B	0.00	39.67	0.00	39.67	90.0	35.70
01/12/2018 41778487	VISTINE, TIM A	SIGNAL SECURITIES RIA	B	0.00	113.67	0.00	113.67	90.0	102.30
01/12/2018 44608676	MACNAUGHTON, KATHLEEN	SIGNAL SECURITIES RIA	B	0.00	51.67	0.00	51.67	90.0	46.50
01/12/2018 44819267	WEBER, PATRICA R	SIGNAL SECURITIES RIA	B	0.00	127.33	0.00	127.33	90.0	114.60
01/12/2018 45214078	SAUNDERS, MARTHA	SIGNAL SECURITIES RIA	B	0.00	15.00	0.00	15.00	90.0	13.50
01/12/2018 45397194	CAMPBELL, IAN & MARY	SIGNAL SECURITIES RIA	B	0.00	270.67	0.00	270.67	90.0	243.60
01/12/2018 46403906	ROYAL, WILLIAM J	SIGNAL SECURITIES RIA	B	0.00	53.67	0.00	53.67	90.0	48.30
01/12/2018 46599623	RICHWINE, RANDALL & KIMBE	SIGNAL SECURITIES RIA	B	0.00	42.33	0.00	42.33	90.0	38.10
01/12/2018 48515094	SHISLER, MICHAEL C	SIGNAL SECURITIES RIA	B	0.00	6.67	0.00	6.67	90.0	6.00
01/12/2018 49301407	HILGARDNER, THERESA SUE	SIGNAL SECURITIES RIA	B	0.00	756.00	0.00	756.00	90.0	680.40
01/12/2018 49711323	RUSSELL, JAMES E	SIGNAL SECURITIES RIA	B	0.00	4.33	0.00	4.33	90.0	3.90
01/12/2018 50668393	MILLER FAMILY TRUST, LARRY/ANDREA	SIGNAL SECURITIES RIA	B	0.00	59.33	0.00	59.33	90.0	53.40
01/12/2018 50743604	RICE, BRIAN & JULIE	SIGNAL SECURITIES RIA	B	0.00	103.67	0.00	103.67	90.0	93.30
01/12/2018 51491652	MOYNIHAN, LINDA A.	SIGNAL SECURITIES RIA	B	0.00	14.33	0.00	14.33	90.0	12.90
01/12/2018 52388846	MOYNIHAN, LINDA A.	SIGNAL SECURITIES RIA	B	0.00	8.33	0.00	8.33	90.0	7.50
01/12/2018 53175378	WILLIAMS III, THEODORE/BRENDA	SIGNAL SECURITIES RIA	B	0.00	8.67	0.00	8.67	90.0	7.80
01/12/2018 53186569	RANEY, JASON	SIGNAL SECURITIES RIA	B	0.00	0.67	0.00	0.67	90.0	0.60
01/12/2018 54673339	ZIMMERMAN, NOAH B	SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00	90.0	15.30
01/12/2018 54771115	HYDINGER, DANIEL/MICHELLE	SIGNAL SECURITIES RIA	B	0.00	337.67	0.00	337.67	90.0	303.90
01/12/2018 54860066	BURKETT, MICHAEL R	SIGNAL SECURITIES RIA	B	0.00	358.67	0.00	358.67	90.0	322.80
01/12/2018 55421837	SPENCER, KELLI/JEFFREY	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00	90.0	9.00
01/12/2018 55632540	DELGADO, EDUARDO/ P GAIL	SIGNAL SECURITIES RIA	B	0.00	353.00	0.00	353.00	90.0	317.70

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018 55689243	PARISH, KELLY PAIGE	SIGNAL SECURITIES RIA	B	0.00	5.33	0.00	5.33	90.0	4.80
01/12/2018 56480647	KEITH, CHARLOTTE MEEK	SIGNAL SECURITIES RIA	B	0.00	226.33	0.00	226.33	90.0	203.70
01/12/2018 56483663	SPENCER, JR, LOUIS	SIGNAL SECURITIES RIA	B	0.00	19.67	0.00	19.67	90.0	17.70
01/12/2018 58128434	LIPP, CHRISTOPHER S	SIGNAL SECURITIES RIA	B	0.00	29.33	0.00	29.33	90.0	26.40
01/12/2018 58720438	LIPP, JENNIFER L	SIGNAL SECURITIES RIA	B	0.00	4.33	0.00	4.33	90.0	3.90
01/12/2018 58847212	HILGARDNER, THERESA SUE	SIGNAL SECURITIES RIA	B	0.00	75.33	0.00	75.33	90.0	67.80
01/12/2018 59247893	PALO, RICK & REBECCA	SIGNAL SECURITIES RIA	B	0.00	204.33	0.00	204.33	90.0	183.90
01/12/2018 59303330	GETTO, MARY VIRGINIA	SIGNAL SECURITIES RIA	B	0.00	100.67	0.00	100.67	90.0	90.60
01/12/2018 59483304	KEITH, THOMAS G	SIGNAL SECURITIES RIA	B	0.00	319.00	0.00	319.00	90.0	287.10
01/12/2018 60172642	WEBER, PATRICA R	SIGNAL SECURITIES RIA	B	0.00	78.67	0.00	78.67	90.0	70.80
01/12/2018 60176438	HUTCHISON, ROBERT & VIKKI	SIGNAL SECURITIES RIA	B	0.00	208.33	0.00	208.33	90.0	187.50
01/12/2018 60650863	BREAUX, SANDRA K	SIGNAL SECURITIES RIA	B	0.00	180.33	0.00	180.33	90.0	162.30
01/12/2018 62006841	WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	64.67	0.00	64.67	90.0	58.20
01/12/2018 62721211	SCASTA, STEPHEN	SIGNAL SECURITIES RIA	B	0.00	147.00	0.00	147.00	90.0	132.30
01/12/2018 63523092	BREAUX, SANDRA K	SIGNAL SECURITIES RIA	B	0.00	75.67	0.00	75.67	90.0	68.10
01/12/2018 63534306	WATKINS, RICHARD/ ASHLEY	SIGNAL SECURITIES RIA	B	0.00	57.33	0.00	57.33	90.0	51.60
01/12/2018 65038561	PALO, REBECCA K.	SIGNAL SECURITIES RIA	B	0.00	28.00	0.00	28.00	90.0	25.20
01/12/2018 65960021	REEVES/HARRIS, TYLER/COURTNEY	SIGNAL SECURITIES RIA	B	0.00	2.67	0.00	2.67	90.0	2.40
01/12/2018 66465361	MUFUNBIRO, LISA M	SIGNAL SECURITIES RIA	B	0.00	5.67	0.00	5.67	90.0	5.10
01/12/2018 66475080	PALO, RICK & REBECCA	SIGNAL SECURITIES RIA	B	0.00	77.67	0.00	77.67	90.0	69.90
01/12/2018 66477226	SCASTA, STEPHEN	SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00	90.0	15.30
01/12/2018 67017804	COTE, PATTY	SIGNAL SECURITIES RIA	B	0.00	16.67	0.00	16.67	90.0	15.00
01/12/2018 67138671	BOBER, THOMAS & KATHY	SIGNAL SECURITIES RIA	B	0.00	53.67	0.00	53.67	90.0	48.30
01/12/2018 67763155	COTE, DARIN C & PATTY	SIGNAL SECURITIES RIA	B	0.00	89.67	0.00	89.67	90.0	80.70
01/12/2018 69525005	GOLDEN, DARRYL LYNN	SIGNAL SECURITIES RIA	B	0.00	216.33	0.00	216.33	90.0	194.70
01/12/2018 71272747	PARISH, WESLEY & LESLIE	SIGNAL SECURITIES RIA	B	0.00	311.67	0.00	311.67	90.0	280.50
01/12/2018 71349299	WEST TEST. TRUST, DAVID S	SIGNAL SECURITIES RIA	B	0.00	441.33	0.00	441.33	90.0	397.20
01/12/2018 71376962	SCOTT, TIMOTHY L	SIGNAL SECURITIES RIA	B	0.00	204.00	0.00	204.00	90.0	183.60
01/12/2018 71717760	ZIMMERMAN, W B &MARY ALYCE	SIGNAL SECURITIES RIA	B	0.00	268.67	0.00	268.67	90.0	241.80
01/12/2018 72586770	PARISH, SAM D	SIGNAL SECURITIES RIA	B	0.00	406.00	0.00	406.00	90.0	365.40
01/12/2018 72722158	PHILP, REBECCA ELIZABE	SIGNAL SECURITIES RIA	B	0.00	14.67	0.00	14.67	90.0	13.20
01/12/2018 73441084	COX, SHARON	SIGNAL SECURITIES RIA	B	0.00	127.67	0.00	127.67	90.0	114.90

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	74258191 WEBER, PATRICA R	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	90.0	7.20
01/12/2018	74717597 HUBBARD, MARK R	SIGNAL SECURITIES RIA	B	0.00	35.00	0.00	35.00	90.0	31.50
01/12/2018	74742534 SPENCER, JR, LOUIS	SIGNAL SECURITIES RIA	B	0.00	22.67	0.00	22.67	90.0	20.40
01/12/2018	75508848 GETTO, MARY VIRGINIA	SIGNAL SECURITIES RIA	B	0.00	15.67	0.00	15.67	90.0	14.10
01/12/2018	75899513 BRYAN, ROBERT/MELISSA	SIGNAL SECURITIES RIA	B	0.00	23.00	0.00	23.00	90.0	20.70
01/12/2018	76384301 RANEY, JASON	SIGNAL SECURITIES RIA	B	0.00	38.33	0.00	38.33	90.0	34.50
01/12/2018	77083119 WEST, MARSHA R	SIGNAL SECURITIES RIA	B	0.00	60.67	0.00	60.67	90.0	54.60
01/12/2018	78079060 LEON, ASHLEY MICHELLE	SIGNAL SECURITIES RIA	B	0.00	38.00	0.00	38.00	90.0	34.20
01/12/2018	78489883 SHISLER, MICHAEL C	SIGNAL SECURITIES RIA	B	0.00	86.00	0.00	86.00	90.0	77.40
01/12/2018	80005105 SMITH, AVONNE M	SIGNAL SECURITIES RIA	B	0.00	97.33	0.00	97.33	90.0	87.60
01/12/2018	80455638 RUSSELL, JAMES E	SIGNAL SECURITIES RIA	B	0.00	5.67	0.00	5.67	90.0	5.10
01/12/2018	81582307 WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	199.33	0.00	199.33	90.0	179.40
01/12/2018	82214691 HARRIS, GARY D	SIGNAL SECURITIES RIA	B	0.00	151.33	0.00	151.33	90.0	136.20
01/12/2018	82904951 HUBBARD, MARK R	SIGNAL SECURITIES RIA	B	0.00	45.67	0.00	45.67	90.0	41.10
01/12/2018	83237717 MOYNIHAN, P. JOHN	SIGNAL SECURITIES RIA	B	0.00	71.33	0.00	71.33	90.0	64.20
01/12/2018	83318344 KEITH, THOMAS G	SIGNAL SECURITIES RIA	B	0.00	29.00	0.00	29.00	90.0	26.10
01/12/2018	83532025 LANCE, CHERYL L	SIGNAL SECURITIES RIA	B	0.00	8.67	0.00	8.67	90.0	7.80
01/12/2018	83978523 ADKINS, PEGGY R	SIGNAL SECURITIES RIA	B	0.00	62.33	0.00	62.33	90.0	56.10
01/12/2018	84984988 HALL, ALISA LYNN	SIGNAL SECURITIES RIA	B	0.00	84.33	0.00	84.33	90.0	75.90
01/12/2018	85627507 RUSSELL, CHRISTINE C	SIGNAL SECURITIES RIA	B	0.00	5.67	0.00	5.67	90.0	5.10
01/12/2018	85704471 FOWLKES, STEVEN & ELLEN	SIGNAL SECURITIES RIA	B	0.00	1,910.33	0.00	1,910.33	90.0	1,719.30
01/12/2018	86788791 ZIMMERMAN, ZACHARY J	SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00	90.0	15.30
01/12/2018	88805216 WILLIAMS, BRENDA ANN	SIGNAL SECURITIES RIA	B	0.00	33.67	0.00	33.67	90.0	30.30
01/12/2018	89271206 TESTA, JOSEPH A	SIGNAL SECURITIES RIA	B	0.00	279.33	0.00	279.33	90.0	251.40
01/12/2018	90142952 HARTMAN, CRAIG T	SIGNAL SECURITIES RIA	B	0.00	18.33	0.00	18.33	90.0	16.50
01/12/2018	90584473 HARRIS, JOHN C	SIGNAL SECURITIES RIA	B	0.00	50.33	0.00	50.33	90.0	45.30
01/12/2018	91672459 TOWNSEND, MARILYN & LAWSON	SIGNAL SECURITIES RIA	B	0.00	48.67	0.00	48.67	90.0	43.80
01/12/2018	91748202 HARRIS, COURTNEY A	SIGNAL SECURITIES RIA	B	0.00	8.67	0.00	8.67	90.0	7.80
01/12/2018	91781366 LIPP, CHRISTOPHER S	SIGNAL SECURITIES RIA	B	0.00	38.67	0.00	38.67	90.0	34.80
01/12/2018	92167936 REEVES/HARRIS, TYLER/COURTNEY	SIGNAL SECURITIES RIA	B	0.00	1.00	0.00	1.00	90.0	0.90
01/12/2018	92531509 BUELL, JEFFREY & CHARL F.	SIGNAL SECURITIES RIA	B	0.00	234.33	0.00	234.33	90.0	210.90
01/12/2018	92627603 BARTON, LISA D	SIGNAL SECURITIES RIA	B	0.00	93.67	0.00	93.67	90.0	84.30
01/12/2018	95074654 DOYLE, TERESA M	SIGNAL SECURITIES RIA	B	0.00	38.33	0.00	38.33	90.0	34.50
01/12/2018	95140665 WEST, ROBERT G.	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00	90.0	9.00
01/12/2018	95683095 LANCE, CHERYL L	SIGNAL SECURITIES RIA	B	0.00	51.33	0.00	51.33	90.0	46.20
01/12/2018	95788083 LUCUS, LADONNA	SIGNAL SECURITIES RIA	B	0.00	130.00	0.00	130.00	90.0	117.00

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
01/12/2018	96521624 MOYNIHAN, P. JOHN	SIGNAL SECURITIES RIA	B	0.00	13.67	0.00	13.67	90.0	12.30
01/12/2018	97505602 SPENCER, KELLI S	SIGNAL SECURITIES RIA	B	0.00	19.33	0.00	19.33	90.0	17.40
01/12/2018	97611628 MILLER FAMILY TRUST, LARRY/ANDREA	SIGNAL SECURITIES RIA	B	0.00	308.67	0.00	308.67	90.0	277.80
01/12/2018	97754476 WILLIAMS III, THEODORE/BRENDA	SIGNAL SECURITIES RIA	B	0.00	33.67	0.00	33.67	90.0	30.30
01/12/2018	98213803 SASSMAN, KATHLEEN/JAMES	SIGNAL SECURITIES RIA	B	0.00	435.00	0.00	435.00	90.0	391.50
01/12/2018	99473573 MACNAUGHTON, KATHLEEN	SIGNAL SECURITIES RIA	B	0.00	22.67	0.00	22.67	90.0	20.40
* RIA SUBTOTAL *				\$0.00	\$19,969.68	\$0.00	\$19,969.68		\$17,972.70
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
03/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	649.11	0.00	649.11	90.0	584.26
03/01/2018	TRAIL COMMISSIONS	PRINCIPAL MUTUAL FUNDS FEES		0.00	11.72	0.00	11.72	90.0	10.55
03/01/2018	TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES		0.00	21.57	0.00	21.57	90.0	19.43
03/01/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	3.03	0.00	3.03	90.0	2.73
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$685.43	\$0.00	\$685.43		\$616.97
*** BROKER TRANSACTIONS TOTAL ***				\$27,293.09	\$21,837.67	\$0.00	\$21,837.67		\$19,654.03

SPLIT TRANSACTIONS**BROKER: SNOW/MOYNIHAN #9543**

01/12/2018	47895792 HICKERSON, JAMIE MARJORIE	SIGNAL SECURITIES RIA	B	0.00	176.93	0.00	176.93	90.0	159.24
01/12/2018	75756775 HICKERSON, JAMIE MARJORIE	SIGNAL SECURITIES RIA	B	0.00	105.33	0.00	105.33	90.0	94.80
01/12/2018	83385351 COX, HARVEY N	SIGNAL SECURITIES RIA	B	0.00	15.60	0.00	15.60	90.0	14.04
01/12/2018	91616746 COX, HARVEY N	SIGNAL SECURITIES RIA	B	0.00	7.33	0.00	7.33	90.0	6.60
* SNOW/MOYNIHAN SUBTOTAL *				\$0.00	\$305.19	\$0.00	\$305.19		\$274.68

BROKER: SINGLETON/MOYNIHAN #9595

01/12/2018	15381199 WEST, MARK	SIGNAL SECURITIES RIA	B	0.00	80.00	0.00	80.00	90.0	72.00
01/12/2018	17599493 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	62.83	0.00	62.83	90.0	56.55
01/12/2018	31646344 DAVIS, RUFUS L	SIGNAL SECURITIES RIA	B	0.00	106.00	0.00	106.00	90.0	95.40
01/12/2018	41564753 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	12.66	0.00	12.66	90.0	11.39
01/12/2018	41989330 YOUNGBERG, THOMAS N	SIGNAL SECURITIES RIA	B	0.00	49.83	0.00	49.83	90.0	44.85
01/12/2018	42529214 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	79.83	0.00	79.83	90.0	71.85
01/12/2018	45771580 WARREN, THOMAS & SUSAN	SIGNAL SECURITIES RIA	B	0.00	130.66	0.00	130.66	90.0	117.59

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: SINGLETON/MOYNIHAN #9595</u>									
01/12/2018	51406766 WEST, BRYAN	SIGNAL SECURITIES RIA	B	0.00	93.83	0.00	93.83	90.0	84.45
01/12/2018	62437500 LAVENDER, JANICE ARLENE	SIGNAL SECURITIES RIA	B	0.00	242.83	0.00	242.83	90.0	218.55
01/12/2018	63181693 WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	88.33	0.00	88.33	90.0	79.50
01/12/2018	67846422 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	0.83	0.00	0.83	90.0	0.75
01/12/2018	68333401 MASON, SARA WEST	SIGNAL SECURITIES RIA	B	0.00	63.83	0.00	63.83	90.0	57.45
01/12/2018	69683604 WEST, W. ZACHARY	SIGNAL SECURITIES RIA	B	0.00	63.66	0.00	63.66	90.0	57.29
01/12/2018	82910782 LAVENDER, JANICE ARLENE	SIGNAL SECURITIES RIA	B	0.00	59.66	0.00	59.66	90.0	53.69
01/12/2018	86316364 WEST, MARK	SIGNAL SECURITIES RIA	B	0.00	62.50	0.00	62.50	90.0	56.25
* SINGLETON/MOYNIHAN SUBTOTAL *				\$0.00	\$1,197.28	\$0.00	\$1,197.28		\$1,077.56
<u>BROKER: WALKER/MOYNIHAN #9609</u>									
02/27/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	250.00	125.00	0.00	125.00	90.0	112.50
* WALKER/MOYNIHAN SUBTOTAL *				\$250.00	\$125.00	\$0.00	\$125.00		\$112.50
*** SPLIT TRANSACTIONS TOTAL ***				\$250.00	\$1,627.47	\$0.00	\$1,627.47		\$1,464.74
<u>VERRIDE TRANSACTIONS</u>									
<u>BROKER: THOMPSON/SIGNAL #9622</u>									
01/12/2018	35296442 DAUM (SEPARATE PROP), JERRY F	SIGNAL SECURITIES RIA	B	0.00	5,603.67	0.00	5,603.67		308.20
* THOMPSON/SIGNAL SUBTOTAL *				\$0.00	\$5,603.67	\$0.00	\$5,603.67		\$308.20
*** OVERRIDE TRANSACTIONS TOTAL ***				\$0.00	\$5,603.67	\$0.00	\$5,603.67		\$308.20
<u>ADJUSTMENTS</u>									
03/01/2018	E & O INSURANCE: 1/2 MONTHLY			0.00	0.00	0.00	0.00		-100.00
03/01/2018	HILLTOP: CLEARING CHARGES			0.00	0.00	0.00	0.00		-67.50
03/01/2018	RENT: 1/2 MONTH			0.00	0.00	0.00	0.00		-125.00
03/01/2018	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-320.00

BROKER COMMISSION TOTALS

Broker Transactions	\$19,654.03
Split Transactions	\$1,464.74
Override Transactions	\$308.20
Adjustments	\$-320.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$21,106.97
Year-to-date Earnings	\$69,916.86