



Concorde Investment Services, LLC

05/11/2017
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COMMISSION STATEMENT

May 15, 2017

JIM LUND
2060 Huntington Drive
Suite 1
San Marino, CA 91108

BROKER #: L119 Terminated 10/30/2015
BRANCH: TWEED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	BARBOUR, ELEANOR	FA 529 PORT 2016 C	0.00	2.70	0.00	2.70	0.0	0.00
/ /	SOLORIO, ROSE	FA 529 PORT 2016 C	0.00	1.46	0.00	1.46	0.0	0.00
04/17/2017	BARBOUR, ELEANOR	FA 529 PORT 2016 C	200.00	10.00	0.00	10.00	0.0	0.00
05/01/2017	SOLORIO, ROSE	FA 529 PORT 2016 C	125.00	6.25	0.00	6.25	0.0	0.00
/ /	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	0.00	0.88	0.00	0.88	0.0	0.00
/ /	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	0.00	0.88	0.00	0.88	0.0	0.00
/ /	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	0.00	0.88	0.00	0.88	0.0	0.00
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.22	0.00	1.22	0.0	0.00
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.22	0.00	1.22	0.0	0.00
/ /	HERNANDEZ, MARIA	FA 529 PORT 2025 A	0.00	1.12	0.00	1.12	0.0	0.00
04/17/2017	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	50.00	2.50	0.00	2.50	0.0	0.00
04/17/2017	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	50.00	2.50	0.00	2.50	0.0	0.00
04/17/2017	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	50.00	2.50	0.00	2.50	0.0	0.00
05/05/2017	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	0.00
05/05/2017	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	0.00
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	3.12	0.00	3.12	0.0	0.00
05/05/2017	BRYAN, TRICIA	FA 529 PORT 2028 A	120.00	6.00	0.00	6.00	0.0	0.00
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	0.00	12.16	0.00	12.16	0.0	0.00
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	0.51	0.00	0.51	0.0	0.00
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	2.50	0.00	2.50	0.0	0.00
04/30/2017	KENNEY, CLIFF	FRANKLIN BALANCED A	0.00	3.51	0.00	3.51	0.0	0.00
04/30/2017	LUND TRUST, ANDREA	FRANKLIN CA TF INCOME A	0.00	31.54	0.00	31.54	0.0	0.00
04/30/2017	RUBLY, DELPHINE	FRANKLIN CA TF INCOME A	0.00	41.46	0.00	41.46	0.0	0.00
04/30/2017	RUBLY, WILLIAM	FRANKLIN CA TF INCOME A	0.00	60.89	0.00	60.89	0.0	0.00
04/30/2017	LUND, ANDREA	FRANKLIN CA TF INCOME A	0.00	66.13	0.00	66.13	0.0	0.00
04/30/2017	LUND, JIM	FRANKLIN INCOME A	0.00	3.76	0.00	3.76	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/30/2017	YUGE, SEAN	FRANKLIN INCOME A	0.00	0.83	0.00	0.83	0.0	0.00
04/30/2017	LUND TRUST, ANDREA	FRANKLIN INCOME A	0.00	31.13	0.00	31.13	0.0	0.00
04/30/2017	LUND, ANDREA	FRANKLIN INCOME A	0.00	195.01	0.00	195.01	0.0	0.00
04/30/2017	WURMLINGER, MARTIN	FRANKLIN INCOME A	0.00	2.13	0.00	2.13	0.0	0.00
04/30/2017	CASTANEDA, CLAUDIA	FRANKLIN INCOME A	0.00	0.27	0.00	0.27	0.0	0.00
04/30/2017	GUTHRIE, JACOB	FRANKLIN INCOME A	0.00	0.40	0.00	0.40	0.0	0.00
04/30/2017	MORIOKA-SHIMAZU, TAMMY	FRANKLIN INCOME A	0.00	49.80	0.00	49.80	0.0	0.00
04/30/2017	RUBLY, WILLIAM	FRANKLIN INCOME A	0.00	76.14	0.00	76.14	0.0	0.00
04/30/2017	THOMPSON, LESLIE	FRANKLIN INCOME A	0.00	0.94	0.00	0.94	0.0	0.00
05/05/2017	THOMPSON, LESLIE	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	0.00
04/30/2017	GUTHRIE, JACOB	FRANKLIN INCOME C	0.00	22.38	0.00	22.38	0.0	0.00
04/30/2017	HO, PAULINA	FRANKLIN INCOME C	0.00	67.49	0.00	67.49	0.0	0.00
04/30/2017	PRESTON, JANEAN	FRANKLIN INCOME C	0.00	5.24	0.00	5.24	0.0	0.00
04/30/2017	STARK, TONI	FRANKLIN INCOME C	0.00	26.28	0.00	26.28	0.0	0.00
05/05/2017	PRESTON, JANEAN	FRANKLIN INCOME C	400.00	4.00	0.00	4.00	0.0	0.00
04/30/2017	CASTANEDA, CLAUDIA	FRANKLIN LOW DURATION TOTAL RETURN-	0.00	3.75	0.00	3.75	0.0	0.00
04/30/2017	YUGE, SEAN	FRANKLIN MUTUAL SHARES A	0.00	1.42	0.00	1.42	0.0	0.00
04/30/2017	GUTHRIE, JACOB	FRANKLIN SMALL CAP VALUE A	0.00	2.30	0.00	2.30	0.0	0.00
04/30/2017	BODNAR, AMY	FRANKLIN TARGET FUND 2035 C	0.00	4.51	0.00	4.51	0.0	0.00
04/30/2017	YOUNG-DIAZ, NAIDA	FT FOUNDING FDS ALLOC C	0.00	21.55	0.00	21.55	0.0	0.00
04/30/2017	TURNQUIST, SARA	FT FOUNDING FDS ALLOC C	0.00	25.48	0.00	25.48	0.0	0.00
04/30/2017	TAM, YIM	FT FOUNDING FDS ALLOC C	0.00	78.97	0.00	78.97	0.0	0.00
04/30/2017	BARNES, WENDY	FT FOUNDING FDS ALLOC C	0.00	0.95	0.00	0.95	0.0	0.00
04/30/2017	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	0.00	95.67	0.00	95.67	0.0	0.00
04/30/2017	DIPASQUALE-YU, CHRISTOPHER	FT FOUNDING FDS ALLOC C	0.00	63.40	0.00	63.40	0.0	0.00
05/01/2017	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	200.00	2.00	0.00	2.00	0.0	0.00
04/30/2017	KENNEY, CLIFF	FT FOUNDING FUNDS ALLOC A	0.00	40.74	0.00	40.74	0.0	0.00
04/30/2017	YU-DIPASQUALE, MEI-KIN MAGGIE	FT FOUNDING FUNDS ALLOC A	0.00	19.46	0.00	19.46	0.0	0.00
04/30/2017	ANDREWS, BRANDON	FT FOUNDING FUNDS ALLOC A	0.00	5.26	0.00	5.26	0.0	0.00
04/30/2017	RAMIREZ, MYRNA	FT FOUNDING FUNDS ALLOC A	0.00	97.72	0.00	97.72	0.0	0.00
04/30/2017	VILLANUEVA, HELEN	FT FOUNDING FUNDS ALLOC A	0.00	3.90	0.00	3.90	0.0	0.00
04/30/2017	WARANIYAGODA GAMAGE, SURANGI	FT MODERATE ALLOCATION FUND C	0.00	18.95	0.00	18.95	0.0	0.00
04/30/2017	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	0.00	27.90	0.00	27.90	0.0	0.00

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

04/30/2017	YUGE, SEAN	TEMPLETON GROWTH A	0.00	1.18	0.00	1.18	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$1,495.00	\$1,276.84	\$0.00	\$1,276.84		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$1,495.00	\$1,276.84	\$0.00	\$1,276.84		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$4,928.59
Year-to-date Earnings	\$0.00

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