



# COMMISSION STATEMENT

August 13, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/31/2018	***-**-3083 - SWIRSKI, KATHY	AMERICAN 2040 TARGET DATE RETIREMNT	138.66	1.39	0.00	1.39	80.0	1.11
	ACCOUNT #: 04000939769	BUY 9 Units @15.5500						
07/30/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	33.74	0.93	0.00	0.93	80.0	0.74
	ACCOUNT #: 00083064667	BUY 1 Units @28.6700						
07/30/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00083064667	BUY 1 Units @28.6700						
07/30/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	47.78	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000563712	BUY 2 Units @28.6700						
07/30/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	159.28	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563712	BUY 6 Units @28.6700						
08/01/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 1 Units @28.0500						
08/01/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 1 Units @28.0500						
08/01/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @28.0500						
08/01/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @28.0500						
08/01/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @28.0500						
08/01/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @28.0500						
08/01/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 00081806027	BUY 0 Units @28.0500						
08/01/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081806027	BUY 11 Units @28.0500						
08/01/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	23.37	1.17	0.00	1.17	80.0	0.94
	ACCOUNT #: 04000812765	BUY 1 Units @29.3200						
08/06/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00083064667	BUY 1 Units @28.7800						
08/06/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	33.74	0.93	0.00	0.93	80.0	0.74
	ACCOUNT #: 00083064667	BUY 1 Units @28.7800						



# COMMISSION STATEMENT

August 13, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
08/06/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	159.28	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563712	BUY 6 Units @28.7800						
08/06/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	47.78	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000563712	BUY 2 Units @28.7800						
08/06/2018	***-**-065P - LEE, DANA	AMERICAN BALANCED FUND-A	150.00	6.38	0.00	6.38	80.0	5.10
	ACCOUNT #: 00064518010	BUY 5 Units @29.2300						
08/08/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	26.60	1.33	0.00	1.33	80.0	1.06
	ACCOUNT #: 04000812765	BUY 1 Units @29.5000						
08/10/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 1 Units @28.1300						
08/10/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.36	0.00	0.36	80.0	0.29
	ACCOUNT #: 00081805810	BUY 1 Units @28.1300						
08/10/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @28.1300						
08/10/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 00081805964	BUY 1 Units @28.1300						
08/10/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @28.1300						
08/10/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081805988	BUY 11 Units @28.1300						
08/10/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.12	0.00	0.12	80.0	0.10
	ACCOUNT #: 00081806027	BUY 0 Units @28.1300						
08/10/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	3.58	0.00	3.58	80.0	2.86
	ACCOUNT #: 00081806027	BUY 11 Units @28.1300						
07/31/2018	***-**-0474 - PEPICE, VITO	AMERICAN BALANCED FUND-C	187.23	1.87	0.00	1.87	80.0	1.50
	ACCOUNT #: 04000939776	BUY 7 Units @27.5300						
08/02/2018	***-**-3358 - WILCOX, JOANNE	AMERICAN BALANCED FUND-C	24.87	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 04001030342	BUY 1 Units @27.4700						
08/02/2018	***-**-3358 - WILCOX, JOANNE	AMERICAN BALANCED FUND-C	400.00	4.00	0.00	4.00	80.0	3.20
	ACCOUNT #: 04001030342	BUY 15 Units @27.4700						
08/09/2018	***-**-3358 - WILCOX, JOANNE	AMERICAN BALANCED FUND-C	24.72	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 04001030342	BUY 1 Units @27.6200						



# COMMISSION STATEMENT

August 13, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
08/09/2018	***-**-3358 - WILCOX, JOANNE	AMERICAN BALANCED FUND-C	400.00	4.00	0.00	4.00	80.0	3.20
	ACCOUNT #: 04001030342	BUY 14 Units @27.6200						
07/30/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00087513340	BUY 2 Units @13.1900						
07/30/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	21.01	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 00087513340	BUY 2 Units @13.1900						
08/06/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	30.00	0.83	0.00	0.83	80.0	0.66
	ACCOUNT #: 00087513340	BUY 2 Units @13.2200						
08/06/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	21.01	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 00087513340	BUY 2 Units @13.2200						
07/31/2018	***-**-3991 - ROBERTS, STEPHEN	AMERICAN FUNDS 2030 TARGET DATE-A	750.00	37.50	0.00	37.50	80.0	30.00
	ACCOUNT #: 04000939783	BUY 48 Units @15.7700						
08/01/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000812746	BUY 23 Units @15.7200						
08/02/2018	***-**-857I - MUNDO, DIANE	AMERICAN FUNDS 2030 TARGET DATE-A	33.91	1.70	0.00	1.70	80.0	1.36
	ACCOUNT #: 04001030256	BUY 2 Units @15.7100						
08/02/2018	***-**-857I - MUNDO, DIANE	AMERICAN FUNDS 2030 TARGET DATE-A	33.91	1.70	0.00	1.70	80.0	1.36
	ACCOUNT #: 04001030256	BUY 2 Units @15.7100						
08/08/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000812746	BUY 23 Units @15.7900						
08/09/2018	***-**-857I - MUNDO, DIANE	AMERICAN FUNDS 2030 TARGET DATE-A	30.38	1.52	0.00	1.52	80.0	1.22
	ACCOUNT #: 04001030256	BUY 2 Units @15.7900						
08/09/2018	***-**-857I - MUNDO, DIANE	AMERICAN FUNDS 2030 TARGET DATE-A	30.38	1.52	0.00	1.52	80.0	1.22
	ACCOUNT #: 04001030256	BUY 2 Units @15.7900						
07/31/2018	***-**-4768 - MSADOQUIS,	AMERICAN FUNDS 2030 TARGET DATE-C	90.00	0.90	0.00	0.90	80.0	0.72
	ACCOUNT #: 04000939762	BUY 6 Units @14.5800						
07/31/2018	***-**-0216 - STEINBACHER,	AMERICAN FUNDS 2030 TARGET DATE-C	111.39	1.11	0.00	1.11	80.0	0.89
	ACCOUNT #: 04000939792	BUY 8 Units @14.5800						
07/31/2018	***-**-7250 - RAJKOWSKI, DIANE	AMERICAN FUNDS 2030 TARGET DATE-C	153.72	1.54	0.00	1.54	80.0	1.23
	ACCOUNT #: 04000939806	BUY 11 Units @14.5800						
07/30/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	118.85	3.27	0.00	3.27	80.0	2.62
	ACCOUNT #: 04000563724	BUY 7 Units @15.9400						



# COMMISSION STATEMENT

August 13, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
07/30/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	44.57	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: 04000563724	BUY 3 Units @15.9400						
08/01/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000813270	BUY 22 Units @16.3300						
08/06/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	44.57	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: 04000563724	BUY 3 Units @15.9900						
08/06/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	118.85	3.27	0.00	3.27	80.0	2.62
	ACCOUNT #: 04000563724	BUY 7 Units @15.9900						
08/08/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000813270	BUY 22 Units @16.4100						
07/31/2018	***-**-3266 - DIDOMINZIO,	AMERICAN FUNDS 2040 TARGET DATE-A	155.76	7.79	0.00	7.79	80.0	6.23
	ACCOUNT #: 04000939801	BUY 9 Units @16.8200						
08/01/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	300.00	15.00	0.00	15.00	80.0	12.00
	ACCOUNT #: 04000812733	BUY 18 Units @16.7700						
08/01/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000812773	BUY 1 Units @16.7700						
08/08/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	300.00	15.00	0.00	15.00	80.0	12.00
	ACCOUNT #: 04000812733	BUY 18 Units @16.8600						
08/08/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000812773	BUY 1 Units @16.8600						
07/30/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	45.41	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00081526588	BUY 3 Units @16.3100						
07/30/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	187.00	5.14	0.00	5.14	80.0	4.11
	ACCOUNT #: 00081526588	BUY 11 Units @16.3100						
07/30/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 04000563702	BUY 6 Units @16.3100						
07/30/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	50.30	1.38	0.00	1.38	80.0	1.10
	ACCOUNT #: 04000563702	BUY 3 Units @16.3100						
08/06/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	187.00	5.14	0.00	5.14	80.0	4.11
	ACCOUNT #: 00081526588	BUY 11 Units @16.3700						
08/06/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	45.41	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00081526588	BUY 3 Units @16.3700						



# COMMISSION STATEMENT

August 13, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
08/06/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 3 Units @16.3700	50.30	1.38	0.00	1.38	80.0	1.10
08/06/2018	***-**-6923 - MELIN-MILES, ACCOUNT #: 04000563702	AMERICAN FUNDS 2050 TARGET DATE-A BUY 6 Units @16.3700	100.00	2.75	0.00	2.75	80.0	2.20
08/01/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @13.1000	75.00	0.90	0.00	0.90	80.0	0.72
08/01/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @13.1000	75.00	0.90	0.00	0.90	80.0	0.72
08/10/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @13.0600	75.00	0.90	0.00	0.90	80.0	0.72
08/10/2018	***-**-0611 - FAULKNER, ACCOUNT #: 04000827629	AMERICAN FUNDS 2060 TARGET DATE-A BUY 6 Units @13.0600	75.00	0.90	0.00	0.90	80.0	0.72
08/10/2018	***-**-527I - LAWLOR, RICHARD ACCOUNT #: LSR011410	T ROWE PRICE RET 2020 FUND BUY 87 Units @22.9500	2,000.00	3.00	3.00	0.00	80.0	0.00
08/01/2018	***-**-875P - SHIMUNOV, ILICH ACCOUNT #: 00081805810	U.S. GOVERNMENT SECURITIES FUND CLA BUY 2 Units @13.4900	30.00	0.36	0.00	0.36	80.0	0.29
08/01/2018	***-**-875P - SHIMUNOV, ILICH ACCOUNT #: 00081805810	U.S. GOVERNMENT SECURITIES FUND CLA BUY 2 Units @13.4900	30.00	0.36	0.00	0.36	80.0	0.29
08/10/2018	***-**-875P - SHIMUNOV, ILICH ACCOUNT #: 00081805810	U.S. GOVERNMENT SECURITIES FUND CLA BUY 2 Units @13.5900	30.00	0.36	0.00	0.36	80.0	0.29
08/10/2018	***-**-875P - SHIMUNOV, ILICH ACCOUNT #: 00081805810	U.S. GOVERNMENT SECURITIES FUND CLA BUY 2 Units @13.5900	30.00	0.36	0.00	0.36	80.0	0.29
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$11,029.26</b>	<b>\$260.08</b>	<b>\$3.00</b>	<b>\$257.08</b>		<b>\$205.62</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
08/13/2018	***-**-657Q - EDWARD SEGAL ACCOUNT #: 00670223965	QUALIFIED PLAN SUMMARY BUY 0 Units @0.0000	0.00	382.60	0.00	382.60	80.0	306.08
08/13/2018	***-**-963I - MORRO, JAMES ACCOUNT #: 7200018502	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	83.23	0.00	83.23	80.0	66.58
08/13/2018	***-**-176I - RIOS, CARLOS ACCOUNT #: 7200020442	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	14.29	0.00	14.29	80.0	11.43
08/13/2018	***-**-358I - KALLIPOLITES, ACCOUNT #: 7200022756	SAMMONS MF TRAILS BUY 0 Units @0.0000	0.00	47.24	0.00	47.24	80.0	37.79



# COMMISSION STATEMENT

August 13, 2018

JOSEPH FERRY  
327 Church Street  
Naugatuck, CT 06770

BROKER #: 180  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$527.36	\$0.00	\$527.36		\$421.88
		*** BROKER TRANSACTIONS TOTAL ***	\$11,029.26	\$787.44	\$3.00	\$784.44		\$627.50

## BROKER COMMISSION TOTALS

Broker Transactions	\$328.18
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$627.50
Year-to-date Earnings	\$62,864.58