



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**December 15, 2017**

12/15/2017  
Page No. 1

JAMES PANOS  
330 North Street  
  
Boston, MA 02113-

BROKER #: P116 Terminated 12/31/2015  
BRANCH: JAMES PANOS

| DATE   | CLIENT             | INVESTMENT              | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|--------------------|-------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>                  |                    |                         |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: 529 PLANS</u></b>          |                    |                         |                      |                     |                    |                   |      |                      |
| 12/01/2017   | MURPHY, CHRIS      | FA 529 PORT 2025 C      | 250.00               | 2.50                | 0.00               | 2.50              | 0.0  | 0.00                 |
| 12/01/2017   | FITZGIBBON, EDMUND | FA 529 PORT 2025 C      | 200.00               | 2.00                | 0.00               | 2.00              | 0.0  | 0.00                 |
| 12/01/2017   | MACK, NEIL         | FA 529 PORT 2025 C      | 250.00               | 2.50                | 0.00               | 2.50              | 0.0  | 0.00                 |
| 12/01/2017   | MACK, NEIL         | FA 529 PORT 2028 C      | 250.00               | 2.50                | 0.00               | 2.50              | 0.0  | 0.00                 |
| * 529 PLANS SUBTOTAL *                             |                    |                         | \$950.00             | \$9.50              | \$0.00             | \$9.50            |      | \$0.00               |
| <b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b> |                    |                         |                      |                     |                    |                   |      |                      |
| 11/30/2017   | TATAROUNS, WILLIAM | JNL PERSPECTIVE L       | 84,650.09            | 70.54               | 0.00               | 70.54             | 0.0  | 0.00                 |
| 11/30/2017   | TATAROUNS, LELA    | JNL PERSPECTIVE REWARDS | 214,319.00           | 89.30               | 0.00               | 89.30             | 0.0  | 0.00                 |
| * VARIABLE ANNUITIES SUBTOTAL *                    |                    |                         | \$298,969.09         | \$159.84            | \$0.00             | \$159.84          |      | \$0.00               |
| *** DIRECT TRANSACTIONS TOTAL ***                  |                    |                         | \$299,919.09         | \$169.34            | \$0.00             | \$169.34          |      | \$0.00               |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

|                       |        |
|-----------------------|--------|
| Broker Transactions   | \$0.00 |
| Split Transactions    | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments           | \$0.00 |
| Payroll Draw          | \$0.00 |
| Base Salary           | \$0.00 |
| Prior Period Balance  | \$0.00 |
| FINRA Assessment      | \$0.00 |
| SIPC Assessment       | \$0.00 |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                              |                    |
|------------------------------|--------------------|
| <b>Balance Forward</b>       | <b>\$0.00</b>      |
| <b>Year-to-date Gross</b>    | <b>\$25,195.81</b> |
| <b>Year-to-date Earnings</b> | <b>\$0.00</b>      |