

# Bill Payment Stub

Check Date:	5/7/2018
Check No.:	ACH
Check Amount:	1,561.76

Dominion Investor Services  
P.O. Box 4768  
Horseshoe Bay, TX 78657-4768

Paid To: MONROE P. PARKER  
112 SW 8TH AVENUE SUITE 932  
AMARILLO, TX 79101

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
4/15/2018	Bill	COMMISSIONS	72.00	72.00		72.00
4/30/2018	Bill	COMMISSIONS	1,744.76	1,744.76		1,744.76
4/5/2018	Credit	FINRA ASSESMENT	-135.00	-135.00		-135.00
5/1/2018	Credit	E&O	-120.00	-120.00		-120.00

# COMMISSION STATEMENT

April 30, 2018

MONROE PARKER-D334

BROKER #: D334

BRANCH: DMN

, TX

## COMMISSION STATEMENT for MONROE PARKER-D334

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
CLEARING TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
04/24/2018	368467655	CASEY, CHERI	MF	WASHINGTON MUTUAL INVESTORS	S	30,002.45	1,275.00	5.00	1,270.00	82.0	1,041.40
* MUTUAL FUNDS SUBTOTAL *						\$30,002.45	\$1,275.00	\$5.00	\$1,270.00		\$1,041.40
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
04/20/2018	mfc614631	PARKER 614631		MFT CAPITAL INCOME BUILDER-A	B	0.00	8.13	0.00	8.13	82.0	6.67
04/20/2018	mfc614631	PARKER 614631		MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.20	0.00	1.20	82.0	0.98
04/20/2018	mfc614631	PARKER 614631		MFT DELAWARE SMALL CAP CORE FD A	B	0.00	3.10	0.00	3.10	82.0	2.54
04/20/2018	mfc614631	PARKER 614631		MFT DEVELOPING WORLD GROWTH &	B	0.00	0.60	0.00	0.60	82.0	0.49
04/20/2018	mfc614631	PARKER 614631		MFT DREYFUS AMT FREE MUNI BD FD C	B	0.00	158.56	0.00	158.56	82.0	130.02
04/20/2018	mfc614631	PARKER 614631		MFT DREYFUS STRATEGIC VALUE FD CL A	B	0.00	6.37	0.00	6.37	82.0	5.22
04/20/2018	mfc614631	PARKER 614631		MFT DREYFUS STRUCTURED MIDCAP FD CL	B	0.00	1.82	0.00	1.82	82.0	1.49
04/20/2018	mfc614631	PARKER 614631		MFT FRANKLIN BIOTECHNOLOGY	B	0.00	5.90	0.00	5.90	82.0	4.84
04/20/2018	mfc614631	PARKER 614631		MFT PUTNAM ASSET ALLOCATION	B	0.00	5.63	0.00	5.63	82.0	4.62
04/20/2018	mfc614631	PARKER 614631		MFT QUEST OPPORTUNITY VALUE A	B	0.00	2.26	0.00	2.26	82.0	1.85
04/20/2018	mfc614631	PARKER 614631		MFT RYDEX TRANSPORTATION FD CL A	B	0.00	4.38	0.00	4.38	82.0	3.59
04/20/2018	mfc614631	PARKER 614631		MFT THE HARTFORD DISCIPLINED EQUITY	B	0.00	6.15	0.00	6.15	82.0	5.04
04/20/2018	mfc614631	PARKER 614631		MFT THE NEW ECONOMY FUND-A	B	0.00	4.39	0.00	4.39	82.0	3.60
04/20/2018	mfc614631	PARKER 614631		MFT VAN ECK INTERNATIONAL INVESTORS	B	0.00	2.86	0.00	2.86	82.0	2.35
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$211.35	\$0.00	\$211.35		\$173.30
*** CLEARING TRANSACTIONS TOTAL ***						\$30,002.45	\$1,486.35	\$5.00	\$1,481.35		\$1,214.70

COMMISSION STATEMENT for MONROE PARKER-D334

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

04/16/2018	00089900405	LOVETT, PEG	MF	BALANCED PORTFOLIO-C	B	400.00	4.00	0.00	4.00	82.0	3.28
				* MUTUAL FUNDS SUBTOTAL *		\$400.00	\$4.00	\$0.00	\$4.00		\$3.28

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/31/2018	(None)	VARIOUS, PARKER	MFT	PIMCO 12B-1	B	0.00	46.67	0.00	46.67	82.0	38.27
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$46.67	\$0.00	\$46.67		\$38.27

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

04/06/2018	(None)	VARIOUS, PARKER	VAT	TRANSAMERICA LIFE	B	0.00	347.95	0.00	347.95	82.0	285.32
04/06/2018	(None)	VARIOUS, PARKER	VAT	TRANSAMERICA LIFE	B	0.00	89.82	0.00	89.82	82.0	73.65
04/06/2018	(None)	VARIOUS, PARKER	VAT	TRANSAMERICA LIFE	B	0.00	225.05	0.00	225.05	82.0	184.54
				* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$662.82	\$0.00	\$662.82		\$543.51
				*** DIRECT TRANSACTIONS TOTAL ***		\$400.00	\$713.49	\$0.00	\$713.49		\$585.06

ADJUSTMENTS

04/30/2018		: BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
04/30/2018		: E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
				*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$-55.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,799.76
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-55.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,744.76
Year-to-date Commissions	\$6,500.52