



All Companies  
**COMMISSION STATEMENT**  
**May 01, 2018**

04/27/2018  
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JEFFREY ROTHSCHILD  
609 Gunnison Dr.

BROKER #: 9100  
BRANCH: HOME OFFICE

ARLINGTON, TX 76006-

COMMISSION STATEMENT for JEFFREY ROTHSCHILD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
03/31/2018	TRAILS	COLLEGEBOUND 529	B	141.02	141.02	0.00	141.02	70.0	98.71
03/31/2018	TRAILS	DELAWARE - GENERAL	B	8.22	8.22	0.00	8.22	70.0	5.75
04/03/2018	00002032720 QUALMAN, LESLIE	LIFESTYLE GROWTH PORTFOLIO A	B	200.00	8.50	0.00	8.50	70.0	5.95
04/16/2018	000090238363748 MINATRA, ROYCE	FRANKLIN INCOME FD CL I	B	450.00	18.00	0.00	18.00	70.0	12.60
04/16/2018	00060669572 GAYLORD, CYNTHIA	AMERICAN FUNDS INVESTMENT COMP OF A B	B	50.00	2.13	0.00	2.13	70.0	1.49
04/16/2018	00060669573 GAYLORD, THURMOND	AMERICAN FUNDS INVESTMENT COMP OF A B	B	50.00	2.13	0.00	2.13	70.0	1.49
04/16/2018	00088220301 MINTON, SESSILY/KAIN A.	COLLEGE 2030 FUND-529A	B	100.00	3.50	0.00	3.50	70.0	2.45
04/16/2018	00088220301 MINTON, SESSILY/KAIN A.	THE INVESTMENT COMPANY OF AMERICA-5 B	B	50.00	2.50	0.00	2.50	70.0	1.75
04/16/2018	00088662742 BLUMKA, RONALD	AMERICAN FUNDS BALANCED FD	B	500.00	18.75	0.00	18.75	70.0	13.13
04/16/2018	00089743823 MINTON, SESSILY	CAPITAL INCOME BUILDER-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
04/16/2018	00089743823 MINTON, SESSILY	COLLEGE 2033 FUND-529A	B	100.00	3.50	0.00	3.50	70.0	2.45
04/16/2018	00089743830 MINTON, SESSILY	CAPITAL INCOME BUILDER-529A	B	50.00	2.50	0.00	2.50	70.0	1.75
04/16/2018	00089743830 MINTON, SESSILY	COLLEGE 2033 FUND-529A	B	100.00	3.50	0.00	3.50	70.0	2.45
04/12/2018	04000442065 MANNARI, ALEXANDER	AMERICAN FUNDS 2055 TARGET DATE-A	B	82.67	3.51	0.00	3.51	70.0	2.46
04/12/2018	04000442065 MANNARI, ALEXANDER	GROWTH AND INCOME PORTFOLIO-A	B	55.12	2.34	0.00	2.34	70.0	1.64
04/12/2018	04000443214 CASTILLO, MAYRA	AMERICAN FUNDS 2040 TARGET DATE-A	B	474.02	20.15	0.00	20.15	70.0	14.11
04/12/2018	04000461585 DARLING, AMANDA M.	AMERICAN FUNDS 2050 TARGET DATE-A	B	64.40	2.74	0.00	2.74	70.0	1.92
04/12/2018	04000475370 PERRY, JORDAN W.	AMERICAN FUNDS 2055 TARGET DATE-A	B	149.56	6.36	0.00	6.36	70.0	4.45
04/12/2018	04000475395 GRAY, MICHAEL W.	AMERICAN FUNDS 2030 TARGET DATE-A	B	360.00	15.30	0.00	15.30	70.0	10.71
04/12/2018	04000475406 MINTON, SESSILY A.	AMERICAN FUNDS 2045 TARGET DATE-A	B	360.00	15.30	0.00	15.30	70.0	10.71
04/12/2018	04000587935 DELANO, JEFFREY	AMERICAN FUNDS 2030 TARGET DATE-A	B	360.00	15.30	0.00	15.30	70.0	10.71
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$3,755.01</b>	<b>\$297.75</b>	<b>\$0.00</b>	<b>\$297.75</b>		<b>\$208.43</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
04/27/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	145.95	145.95	0.00	145.95	70.0	102.17
<b>* STOCKS SUBTOTAL *</b>				<b>\$145.95</b>	<b>\$145.95</b>	<b>\$0.00</b>	<b>\$145.95</b>		<b>\$102.17</b>

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
03/31/2018	TRAILS	-JACKSON NATIONAL	B	9.18	9.18	0.00	9.18	70.0	6.43
03/31/2018	TRAILS	-JACKSON NATIONAL	B	20.03	20.03	0.00	20.03	70.0	14.02
04/06/2018	TRAILS	-JOHN HANCOCK	B	106.59	106.59	0.00	106.59	70.0	74.61
04/11/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	41.92	41.92	0.00	41.92	70.0	29.34
04/11/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	5,615.37	5,615.37	0.00	5,615.37	70.0	3,930.76
04/04/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	208.32	208.32	0.00	208.32	70.0	145.82
04/04/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	0.95	0.95	0.00	0.95	70.0	0.67
04/04/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	193.11	193.11	0.00	193.11	70.0	135.18
04/04/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	86.35	86.35	0.00	86.35	70.0	60.45
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$6,281.82</b>	<b>\$6,281.82</b>	<b>\$0.00</b>	<b>\$6,281.82</b>		<b>\$4,397.28</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
05/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	23.61	0.00	23.61	70.0	16.52
05/01/2018	TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES		0.00	195.52	0.00	195.52	70.0	136.87
05/01/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	7.84	0.00	7.84	70.0	5.49
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$226.97</b>	<b>\$0.00</b>	<b>\$226.97</b>		<b>\$158.88</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$10,182.78</b>	<b>\$6,952.49</b>	<b>\$0.00</b>	<b>\$6,952.49</b>		<b>\$4,866.76</b>

**SPLIT TRANSACTIONS****BROKER: ROTHSCHILD/POOL #9629**

04/16/2018	000000000016042	ANGELO, IRINEO FRK LIFESMART 2015 RTMT TARGET - CL B		88,624.95	531.75	0.00	531.75	70.0	372.23
		S.							
05/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	7.68	0.00	7.68	70.0	5.38
04/18/2018	00061397698	RIVARD, JOHN M	AMERICAN FUNDS INVESTMENT COMP OF A B	65.00	1.07	0.00	1.07	70.0	0.75
04/16/2018	00061957268	SMITH, MARK	AMERICAN FUNDS INVESTMENT COMP OF A B	100.00	1.65	0.00	1.65	70.0	1.16
04/13/2018	00062777037	MILLER, KIMMARIE	AMERICAN FUNDS CAPITAL WORLD GROWT B	1,390.00	16.68	0.00	16.68	70.0	11.68
04/13/2018	00062777037	MILLER, KIMMARIE	AMERICAN FUNDS INVESTMENT COMP OF A B	1,000.00	12.00	0.00	12.00	70.0	8.40
04/18/2018	00063348505	RIVARD, JOHN M	AMERICAN FUNDS BD FD OF AMER FD B	62.50	1.03	0.00	1.03	70.0	0.72
04/18/2018	00063348505	RIVARD, JOHN M	AMERICAN FUNDS INCOME FD OF AMERI B	62.50	1.03	0.00	1.03	70.0	0.72
04/18/2018	00063348505	RIVARD, JOHN M	AMERICAN FUNDS NEW PERSPECTIVE FD B	62.50	1.03	0.00	1.03	70.0	0.72
04/18/2018	00063348505	RIVARD, JOHN M	AMERICAN FUNDS WA MUTUAL INVES B	62.50	1.03	0.00	1.03	70.0	0.72
04/17/2018	00063465378	GONZALEZ, CHRISTINA	AMERICAN FUNDS INCOME FD OF AMERI B	2,550.00	42.08	0.00	42.08	70.0	29.46
04/17/2018	00063465378	GONZALEZ, CHRISTINA	AMERICAN FUNDS INVESTMENT COMP OF A B	2,550.00	42.08	0.00	42.08	70.0	29.46
04/16/2018	00063806455	ROBERTS, KEVIN	AMERICAN FUNDS INCOME FD OF AMERI B	50.00	1.50	0.00	1.50	70.0	1.05
04/16/2018	00063806455	ROBERTS, KEVIN	AMERICAN FUNDS INVESTMENT COMP OF A B	50.00	1.50	0.00	1.50	70.0	1.05
04/16/2018	00063806455	ROBERTS, KEVIN	AMERICAN FUNDS NEW PERSPECTIVE FD B	50.00	1.50	0.00	1.50	70.0	1.05

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<u>SPLIT TRANSACTIONS</u>									
<b>BROKER: ROTHSCHILD/POOL #9629</b>									
04/16/2018	00067724745 ANGELO, CONCORDIA/MILES	AMERICAN MUTUAL FUND-529A	B	30.00	0.77	0.00	0.77	70.0	0.54
04/16/2018	00067724745 ANGELO, CONCORDIA/MILES	CAPITAL WORLD BOND FUND-529A	B	30.00	0.54	0.00	0.54	70.0	0.38
04/16/2018	00067724745 ANGELO, CONCORDIA/MILES	THE INCOME FUND OF AMERICA-529A	B	30.00	0.77	0.00	0.77	70.0	0.54
04/16/2018	00067724745 ANGELO, CONCORDIA/MILES	THE NEW ECONOMY FUND-529A	B	110.00	2.81	0.00	2.81	70.0	1.97
04/09/2018	00068080307 PILAND, CALLEY S	AMERICAN FUNDS CAPITAL INCOME BUILD B		104.00	2.65	0.00	2.65	70.0	1.86
04/09/2018	00068080307 PILAND, CALLEY S	AMERICAN FUNDS INVESTMENT COMP OF A B		208.00	5.30	0.00	5.30	70.0	3.71
04/09/2018	00068080307 PILAND, CALLEY S	AMERICAN FUNDS NEW PERSPECTIVE FD	B	105.00	2.68	0.00	2.68	70.0	1.88
04/13/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS BD FD OF AMER FD	B	253.85	4.57	0.00	4.57	70.0	3.20
04/13/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS BD FD OF AMER FD	B	253.85	4.57	0.00	4.57	70.0	3.20
04/13/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS INVESTMENT COMP OF A B		261.55	7.85	0.00	7.85	70.0	5.50
04/13/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS INVESTMENT COMP OF A B		261.55	7.85	0.00	7.85	70.0	5.50
04/13/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS NEW PERSPECTIVE FD	B	253.85	7.61	0.00	7.61	70.0	5.33
04/13/2018	00069023045 EMBACH, PETE	AMERICAN FUNDS NEW PERSPECTIVE FD	B	253.85	7.61	0.00	7.61	70.0	5.33
04/17/2018	00074701525 ARCHER, SETH	AMERICAN FUNDS BD FD OF AMER FD	B	5,500.00	66.00	0.00	66.00	70.0	46.20
04/10/2018	00081663389 BUSA, JOSEPHINE JANE	AMERICAN FUNDS HIGH INCOME TR FD	B	50.00	0.90	0.00	0.90	70.0	0.63
04/10/2018	00081663389 BUSA, JOSEPHINE JANE	AMERICAN FUNDS NEW ECONOMY FD	B	50.00	1.50	0.00	1.50	70.0	1.05
04/16/2018	00082838137 HODGES, MICHAEL L	AMERICAN FUNDS NEW PERSPECTIVE FD	B	50.00	1.28	0.00	1.28	70.0	0.90
04/16/2018	00085777888 BABAO, ROMMEL/ISABELLE	NEW PERSPECTIVE FUND-529C	B	100.00	0.60	0.00	0.60	70.0	0.42
04/16/2018	00085777888 BABAO, ROMMEL/ISABELLE	THE GROWTH FUND OF AMERICA-529C	B	100.00	0.60	0.00	0.60	70.0	0.42
04/16/2018	00085777916 BABAO, ROMMEL/RAPHAEL	AMERICAN BALANCED FUND-529C	B	50.00	0.30	0.00	0.30	70.0	0.21
04/20/2018	00087441316 DAMRON/HUPP, MARTHA/ABIGAIL	COLLEGE ENROLLMENT FUND-529A	B	50.00	0.60	0.00	0.60	70.0	0.42
04/19/2018	00088056101 PYLE, DYLAN	AMERICAN FUNDS INVESTMENT COMP OF A B		45.00	1.15	0.00	1.15	70.0	0.81
04/16/2018	01000245775 WONGPANICH, MANERATANA	IVK COMSTOCK FUND - CLASS C	B	6,500.00	39.00	0.00	39.00	70.0	27.30
04/09/2018	04000826735 WELBORN, THOMAS A.	AMERICAN FUNDS 2045 TARGET DATE-A	B	417.00	10.63	0.00	10.63	70.0	7.44
05/01/2018	TRAIL COMMISSIONS	MFS FUND DISTRIBUTORS, INC. FEES		0.00	134.71	0.00	134.71	70.0	94.29
* ROTHSCHILD/POOL SUBTOTAL *				<u>\$111,747.45</u>	<u>\$976.46</u>	<u>\$0.00</u>	<u>\$976.46</u>		<u>\$683.58</u>
*** SPLIT TRANSACTIONS TOTAL ***				<u>\$111,747.45</u>	<u>\$976.46</u>	<u>\$0.00</u>	<u>\$976.46</u>		<u>\$683.58</u>

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

05/01/2018		POSTAGE: FEDEX CHARGES		0.00	0.00	0.00	0.00		-10.43
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-10.43

BROKER COMMISSION TOTALS

Broker Transactions	\$4,955.18
Split Transactions	\$595.16
Override Transactions	\$0.00
Adjustments	\$-10.43
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$5,539.91
Year-to-date Earnings	\$25,434.72