



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**March 31, 2017**

04/18/2017  
 Page No. 1

MATT BRANSTETTER  
 PO Box 250  
 111 S. Main Street  
 Noble, OK 73068

BROKER #: B116  
 BRANCH: BRAEVEST

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/06/2017	FOX, JERALDINE	AM FUNDS CAPITAL INCOME BUILDER C	120.00	1.20	80.0	0.96	0.00	0.96
03/15/2017	DOBELBOWER, MARY	AM FUNDS EUROPACIFIC GROWTH FUND A	50.00	2.50	80.0	2.00	0.00	2.00
03/20/2017	MILLER, RILEY	AM FUNDS NEW PERSPECTIVE C	50.00	0.50	80.0	0.40	0.00	0.40
03/15/2017	BENNETT, MARLA	AM FUNDS WASHINGTON MUTUAL	100.00	3.75	80.0	3.00	0.00	3.00
02/28/2017	ROWE-BRANF	BLK CAPITAL APPRECIATION A	0.00	0.20	80.0	0.16	0.00	0.16
02/28/2017	ROWE-BRANF	BLK CAPITAL APPRECIATION A	0.00	0.80	80.0	0.64	0.00	0.64
02/28/2017	ROWE-BRANF	BLK MOD 13-16 AGE BASED A	0.00	0.14	80.0	0.11	0.00	0.11
03/08/2017	TAYLOR, PATTY	PAC LIFE PORT OPT AGG	7,500.00	120.00	80.0	96.00	0.00	96.00
03/08/2017	TAYLOR, RANDALL	PAC LIFE PORT OPTIM MOD AGG	44,000.00	704.00	80.0	563.20	0.00	563.20
03/15/2017	TAYLOR, RANDALL	PAC LIFE PORT OPTIM MOD AGG	1,250.00	20.00	80.0	16.00	0.00	16.00
03/13/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 100-A	6.75	0.07	80.0	0.06	0.00	0.06
03/13/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 300-A	6.75	0.07	80.0	0.06	0.00	0.06
03/13/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 500-A	6.75	0.34	80.0	0.27	0.00	0.27
03/13/2017	LLTD INVESTMENTS LLC	PUTNAM ABSOLUTE RETURN 700-A	6.75	0.34	80.0	0.27	0.00	0.27
03/14/2017	ALLGIRE, ERNESTINE	PUTNAM EQUITY INCOME A	1,000.00	50.00	80.0	40.00	0.00	40.00
03/06/2017	ALLGIRE, ERNESTINE	PUTNAM GROWTH & INCOME A	1,960.88	98.04	80.0	78.43	0.00	78.43
03/06/2017	ALLGIRE, ERNESTINE	PUTNAM GROWTH & INCOME A	-1,960.88	-98.04	80.0	-78.43	0.00	-78.43
03/10/2017	ALLGIRE, ERNESTINE	PUTNAM GROWTH & INCOME A	960.88	48.04	80.0	38.43	0.00	38.43
03/10/2017	ALLGIRE, ERNESTINE	PUTNAM GROWTH & INCOME A	-960.88	-48.04	80.0	-38.43	0.00	-38.43
03/16/2017	CASTLE, DAVID	PUTNAM INTL CAPITAL OPPS-B	100.00	4.00	80.0	3.20	0.00	3.20
03/06/2017	MYERS, JON	PUTNAM INTL CAPITAL OPPS-C	100.00	1.00	80.0	0.80	0.00	0.80
03/10/2017	DOWNING, JOHN	PUTNAM MULTI CAP GROWTH A	100.00	5.00	80.0	4.00	0.00	4.00
03/16/2017	DOWNING, JOHN	PUTNAM MULTI-CAP GROWTH M	75.00	2.25	80.0	1.80	0.00	1.80
03/06/2017	SNYDER, LESTER	PUTNAM SMALL CAP GROWTH-C	100.00	1.00	80.0	0.80	0.00	0.80
/ /	DOWNING, JOHN	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	3.00	80.0	2.40	0.00	2.40
/ /	VOCI, ROBERTO	RYDEX GUGG NASDAQ 100 2X STRATEGY	0.00	0.20	80.0	0.16	0.00	0.16

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/20/2017	ARRINGTON, NANCY	RYDEX GUGG NASDAQ 100 2X STRATEGY	100.00	1.00	80.0	0.80	0.00	0.80
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$54,672.00</b>	<b>\$921.36</b>		<b>\$737.09</b>	<b>\$0.00</b>	<b>\$737.09</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
03/15/2017	STANFORD, DAVID	DIREXION SHS ETF TR DAILY	11,020.95	49.00	80.0	39.20	22.50	16.70
03/15/2017	ACOSTA, ANNA	DIREXION SHS ETF TR DAILY	11,021.37	49.00	80.0	39.20	22.50	16.70
03/15/2017	TREADWAY, TOMMY	DIREXION SHS ETF TR DAILY	7,345.80	49.00	80.0	39.20	22.50	16.70
03/20/2017	ACOSTA, ANNA	DIREXION SHS ETF TR DEVELOPED MKTS	11,197.66	49.00	80.0	39.20	22.50	16.70
03/20/2017	STANFORD, DAVID	DIREXION SHS ETF TR DIREXION DAILY	7,630.95	49.00	80.0	39.20	22.50	16.70
03/20/2017	ACOSTA, ANNA	DIREXION SHS ETF TR DIREXION DAILY	7,639.30	49.00	80.0	39.20	22.50	16.70
<b>* STOCKS SUBTOTAL *</b>			<b>\$55,856.03</b>	<b>\$294.00</b>		<b>\$235.20</b>	<b>\$135.00</b>	<b>\$100.20</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
03/20/2017	ARRINGTON, NANCY	JNL ELITE ACCESS	100.00	5.25	80.0	4.20	0.00	4.20
03/07/2017	ALLGIRE, ERNESTINE	JNL PERSPECTIVE II 04/14	100,000.00	5,500.00	80.0	4,400.00	0.00	4,400.00
03/24/2017	DOBELBOWER, JORGE LARRY	JNL PERSPECTIVE L	117.34	6.98	80.0	5.58	0.00	5.58
03/13/2017	ARRINGTON, NANCY	PAC LIFE INNOVATIVE SELECT	0.00	30.26	80.0	24.21	0.00	24.21
03/16/2017	STEAD, ROBIN	PAC LIFE INNOVATIVE SELECT	0.00	45.66	80.0	36.53	0.00	36.53
03/16/2017	HOLMAN, MEEGAN	PAC LIFE INNOVATIVE SELECT	0.00	2.98	80.0	2.38	0.00	2.38
03/16/2017	HOLMAN, TIMOTHY	PAC LIFE INNOVATIVE SELECT	0.00	3.62	80.0	2.90	0.00	2.90
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$100,217.34</b>	<b>\$5,594.75</b>		<b>\$4,475.80</b>	<b>\$0.00</b>	<b>\$4,475.80</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
03/15/2017	STANFORD, ELAINE	ING PREMIUM PLUS	0.00	1.09	80.0	0.87	0.00	0.87
03/20/2017	MANSFIELD, CORDELIA	PAC LIFE INNOVATIVE SELECT	0.00	69.40	80.0	55.52	0.00	55.52
03/06/2017	BACON, RICHARD	PAC LIFE PORTFOLIOS	0.00	7.18	80.0	5.74	0.00	5.74
03/06/2017	BEESON, ELIZABETH	PAC LIFE VALUE	0.00	4.97	80.0	3.98	0.00	3.98
03/07/2017	COLWELL, JEREMY	PAC LIFE VALUE	0.00	142.54	80.0	114.03	0.00	114.03
02/13/2017	STANFORD, DAVID	VOYA RETIREMENT & ANNUITY	0.00	0.93	80.0	0.74	0.00	0.74
03/13/2017	STANFORD, DAVID	VOYA RETIREMENT & ANNUITY	0.00	0.96	80.0	0.77	0.00	0.77
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$227.07</b>		<b>\$181.65</b>	<b>\$0.00</b>	<b>\$181.65</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$210,745.37</b>	<b>\$7,037.18</b>		<b>\$5,629.74</b>	<b>\$135.00</b>	<b>\$5,494.74</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
------	--------	------------	----------------------	---------------------	------	-------------------	--------------------	----------------------

ADJUSTMENTS

03/31/2017		*AUDIT FEE: BRANCH EXAM	0.00					-1,545.42
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00		\$-1,545.42	\$0.00	\$-1,545.42

BROKER COMMISSION TOTALS

Broker Transactions	\$5,494.74
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,545.42
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,949.32
Year-to-date Gross	\$17,242.58
Year-to-date Earnings	\$13,254.43

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed