

**COMMISSION STATEMENT****April 30, 2018****BRENT, L137 LEE**
13423 Kings View Lane**BROKER #: L137**
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/12/2018	KUHN, KEVIN	PERSHING	3,314.73	10.33	0.00	10.33	90.0	9.30
04/12/2018	QUALE, MICHAEL	PERSHING	56,333.56	203.65	0.00	203.65	90.0	183.29
04/12/2018	QUALE, MICHAEL	PERSHING	16,706.96	60.40	0.00	60.40	90.0	54.36
04/12/2018	QUALE, LOLA	PERSHING	57,333.28	207.26	0.00	207.26	90.0	186.53
* RIA SUBTOTAL *			\$133,688.53	\$481.64	\$0.00	\$481.64		\$433.48
*** DIRECT TRANSACTIONS TOTAL ***			\$133,688.53	\$481.64	\$0.00	\$481.64		\$433.48

SPLIT TRANSACTIONS**BROKER: BRENT/BRION TRU- BLB LEE/NORBY #332**

04/12/2018	HELLER, GREG	PERSHING	177,864.48	277.15	0.00	277.15	90.0	249.44
04/12/2018	HELLER, GREG	PERSHING	35,394.63	55.15	0.00	55.15	90.0	49.64
04/12/2018	HICKEL, TONY	PERSHING	134,977.46	243.97	0.00	243.97	90.0	219.57
04/12/2018	WEBER, DANIEL	PERSHING	55,951.95	87.18	0.00	87.18	90.0	78.46
04/12/2018	WEBER, TICINIE	PERSHING	22,008.31	34.29	0.00	34.29	90.0	30.86
04/12/2018	WEBER, DANIEL	PERSHING	11,680.32	18.20	0.00	18.20	90.0	16.38
04/12/2018	LIESENER, MARTY	PERSHING	33,768.18	52.62	0.00	52.62	90.0	47.36
04/12/2018	MILLER, MELINDA	PERSHING	2,314.95	3.60	0.00	3.60	90.0	3.24
04/12/2018	MILLER, MELINDA	PERSHING	37,735.85	58.80	0.00	58.80	90.0	52.92
04/12/2018	MILLER, CHARLES	PERSHING	45,051.53	70.20	0.00	70.20	90.0	63.18
04/12/2018	MILLER, CHARLES	PERSHING	95,798.40	149.27	0.00	149.27	90.0	134.34
04/12/2018	BROE, CRAIG	PERSHING	122,896.22	191.50	0.00	191.50	90.0	172.35
04/12/2018	HICKEL, TONY	PERSHING	3,877.64	7.01	0.00	7.01	90.0	6.31
04/12/2018	DEVLIN, DON	PERSHING	3,309.63	5.98	0.00	5.98	90.0	5.38
04/12/2018	LIESENER TRUST, VICKI	PERSHING	12,149.86	21.96	0.00	21.96	90.0	19.76
04/12/2018	FEE, ERIC	PERSHING	173,261.24	313.17	0.00	313.17	90.0	281.85

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BRENT/BRION TRU- BLB LEE/NORBY #332</u>								
04/12/2018	EBEL, LUANE	PERSHING	26,970.29	48.75	0.00	48.75	90.0	43.88
04/12/2018	AAFEDT, LUKAS	PERSHING	4,823.33	8.72	0.00	8.72	90.0	7.85
04/12/2018	EBEL, DWIGHT	PERSHING	23,403.16	42.30	0.00	42.30	90.0	38.07
04/12/2018	EBEL, LUANE	PERSHING	23,350.26	42.20	0.00	42.20	90.0	37.98
04/12/2018	EBEL, DWIGHT	PERSHING	49,513.45	89.49	0.00	89.49	90.0	80.54
04/12/2018	TAMEZ, JAMIE	PERSHING	87,375.14	157.93	0.00	157.93	90.0	142.14
* BRENT/BRION TRU- BLB LEE/NORBY SUBTOTAL *			\$1,183,476.28	\$1,979.44	\$0.00	\$1,979.44		\$1,781.50
<u>BROKER: JEN-BRENT-BRION TRU-JBB DONAIS-L #333</u>								
04/12/2018	KALIL, DANIEL	RBC	69,857.84	19.16	0.00	19.16	90.0	17.24
04/12/2018	KALIL, DANIEL	RBC	129,314.41	35.47	0.00	35.47	90.0	31.92
04/12/2018	KALIL, DANIEL	RBC	71,391.11	19.58	0.00	19.58	90.0	17.62
04/12/2018	KALIL, DANIEL	RBC	109,940.06	30.15	0.00	30.15	90.0	27.14
04/12/2018	KALIL, DANIEL	RBC	376,726.66	103.31	0.00	103.31	90.0	92.98
04/12/2018	KALIL, DANIEL	RBC	105,380.26	28.90	0.00	28.90	90.0	26.01
04/12/2018	SCHWAN, BRIAN	RBC	207,182.94	56.82	0.00	56.82	90.0	51.14
04/12/2018	SCHWAN, BRIAN	RBC	37,754.77	10.36	0.00	10.36	90.0	9.32
04/12/2018	DONAI, RUTH	RBC	70,239.03	19.27	0.00	19.27	90.0	17.34
04/12/2018	DONAI, RUTH	RBC	231,860.68	63.58	0.00	63.58	90.0	57.22
04/12/2018	DONAI, DONALD	RBC	100,002.71	27.42	0.00	27.42	90.0	24.68
04/12/2018	DONAI, DONALD	RBC	82,760.00	22.69	0.00	22.69	90.0	20.42
04/12/2018	DONAI, DONALD	RBC	125,806.59	34.50	0.00	34.50	90.0	31.05
04/12/2018	HATLESTAD, PATRICK	RBC	21,959.85	6.02	0.00	6.02	90.0	5.42
04/12/2018	HATLESTAD, PATRICK	RBC	190,468.90	52.23	0.00	52.23	90.0	47.01
04/12/2018	HATLESTAD, DURELLE	RBC	9,114.25	2.50	0.00	2.50	90.0	2.25
04/12/2018	HATLESTAD, DURELLE	RBC	149,382.38	40.96	0.00	40.96	90.0	36.86
04/12/2018	SINNESS, STEVEN	RBC	449,919.25	123.39	0.00	123.39	90.0	111.05
04/12/2018	SINNESS, STEVEN	RBC	85,015.89	23.31	0.00	23.31	90.0	20.98
04/12/2018	SINNESS, STEVEN	RBC	149,802.97	41.09	0.00	41.09	90.0	36.98
04/12/2018	SINNESS, ELAINE	RBC	79,520.28	21.81	0.00	21.81	90.0	19.63
04/12/2018	SINNESS, ELAINE	RBC	10,887.89	2.98	0.00	2.98	90.0	2.68
04/12/2018	SINNESS, ELAINE	RBC	117,751.53	32.30	0.00	32.30	90.0	29.07
04/12/2018	POWELL, WILLIAM	RBC	152,301.88	41.77	0.00	41.77	90.0	37.59
04/12/2018	POWELL, JANICE	RBC	85,341.86	23.41	0.00	23.41	90.0	21.07

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEN-BRENT-BRION TRU-JBB DONAIS-L #333</u>								
04/12/2018	LARAMIE, JAMES	RBC	18,326.39	5.02	0.00	5.02	90.0	4.52
04/12/2018	LARAMIE, JAMES	RBC	204,481.15	56.08	0.00	56.08	90.0	50.47
04/12/2018	MORRIS, PATRICK	RBC	4,684.15	1.29	0.00	1.29	90.0	1.16
04/12/2018	MORRIS, SANDRA PACKER	RBC	28,222.55	7.74	0.00	7.74	90.0	6.97
04/12/2018	MORRIS, PATRICK	RBC	40,055.28	10.99	0.00	10.99	90.0	9.89
04/12/2018	VESTAL, KATHY	RBC	160,675.98	44.07	0.00	44.07	90.0	39.66
04/12/2018	VESTAL, KATHY	RBC	263,772.06	72.34	0.00	72.34	90.0	65.11
04/12/2018	LARAMIE, ELAINE	RBC	10,381.32	2.84	0.00	2.84	90.0	2.56
04/12/2018	ALEXANDER, MICHELLE	RBC	299,817.28	82.23	0.00	82.23	90.0	74.01
* JEN-BRENT-BRION TRU-JBB DONAIS-L SUBTOTAL *			\$4,250,100.15	\$1,165.58	\$0.00	\$1,165.58		\$1,049.02

<u>BROKER: JEN-BRENT-BRION TRU-BJB DONAIS-L #334</u>								
04/25/2018	FAMILY DENTISTRY, KLINE	PENTEGRA SERVICE	584,505.00	182.72	0.00	182.72	90.0	164.45
04/25/2018	SERVICES OF N DAKOTA, JERRY'S	PENTEGRA SERVICE	310,837.00	99.08	0.00	99.08	90.0	89.17
04/25/2018	HILL ELECTRIC, INDIAN	PENTEGRA SERVICE	310,005.00	98.81	0.00	98.81	90.0	88.93
04/25/2018	KINCAID INDUSTRIES	PENTEGRA SERVICE	1,004,400.00	298.43	0.00	298.43	90.0	268.59
04/12/2018	KINCAID INDUSTRIES	PERSHING	235,775.69	49.96	0.00	49.96	90.0	44.96
04/12/2018	PATOROS, LORI	RBC	104,291.72	44.20	0.00	44.20	90.0	39.78
04/12/2018	PATOROS, JOHN	RBC	167,928.08	71.17	0.00	71.17	90.0	64.05
04/12/2018	LARAMIE, JAMES	RBC	406,355.97	172.23	0.00	172.23	90.0	155.01
04/12/2018	HUGGINS, JAMES	RBC	720,192.22	305.24	0.00	305.24	90.0	274.72
04/12/2018	HUGGINS, JAMES	RBC	73,951.78	31.34	0.00	31.34	90.0	28.21
04/12/2018	HUGGINS, JAMES	RBC	118,557.76	50.25	0.00	50.25	90.0	45.23
04/25/2018	LARAMIE, JAMES	RBC	406,355.97	-172.23	0.00	-172.23	90.0	-155.01
* JEN-BRENT-BRION TRU-BJB DONAIS-L SUBTOTAL *			\$4,443,156.19	\$1,231.20	\$0.00	\$1,231.20		\$1,108.09

<u>BROKER: BRENT-BRION-JEN TRU-BBJ LEE-NORB #335</u>								
04/12/2018	RASMUSSEN, CLINTON	PERSHING	105,663.41	131.71	0.00	131.71	90.0	118.54
04/12/2018	RASMUSSEN, CLINTON	PERSHING	96,533.85	120.33	0.00	120.33	90.0	108.30
04/12/2018	RASMUSSEN, PAMELA	PERSHING	28,461.02	35.48	0.00	35.48	90.0	31.93
04/12/2018	RASMUSSEN, PAMELA	PERSHING	20,218.28	25.21	0.00	25.21	90.0	22.69
04/12/2018	FREIH, DIANE	PERSHING	64,406.10	80.29	0.00	80.29	90.0	72.26
04/12/2018	KEMPER, JEROME	PERSHING	223,685.34	278.84	0.00	278.84	90.0	250.96
04/12/2018	LEE, DONALD	PERSHING	139,995.94	174.51	0.00	174.51	90.0	157.06

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BRENT-BRION-JEN TRU-BBJ LEE-NORB #335</u>								
04/12/2018	LEE, CONNIE	PERSHING	62,672.15	78.13	0.00	78.13	90.0	70.32
04/12/2018	LEE, CONNIE	PERSHING	15,920.27	19.85	0.00	19.85	90.0	17.87
04/12/2018	MONETTE, PEGGY	PERSHING	228,792.91	285.21	0.00	285.21	90.0	256.69
04/12/2018	MONETTE, PEGGY	PERSHING	41,831.22	52.15	0.00	52.15	90.0	46.94
04/12/2018	RETZLAFF, SANDRA	PERSHING	82,356.06	102.67	0.00	102.67	90.0	92.40
04/12/2018	RETZLAFF, SANDRA	PERSHING	33,560.85	41.83	0.00	41.83	90.0	37.65
04/12/2018	SKAARE, LARRY	PERSHING	33,972.84	49.13	0.00	49.13	90.0	44.22
04/12/2018	SKAARE, LARRY	PERSHING	215,036.21	310.95	0.00	310.95	90.0	279.86
04/12/2018	SKAARE, LARRY	PERSHING	19,485.49	28.17	0.00	28.17	90.0	25.35
04/12/2018	RUFFIE, DAVID	PERSHING	269,637.95	389.91	0.00	389.91	90.0	350.92
04/12/2018	RUFFIE, TWYLA	PERSHING	9,137.04	13.21	0.00	13.21	90.0	11.89
04/12/2018	HEEN, JEREMY	PERSHING	23,469.86	33.94	0.00	33.94	90.0	30.55
04/12/2018	HEEN, KRISTA	PERSHING	106,507.02	154.01	0.00	154.01	90.0	138.61
04/12/2018	KASMER, SARA	PERSHING	41,811.50	60.46	0.00	60.46	90.0	54.41
04/12/2018	AAFEDT, LUKAS	PERSHING	37,302.55	53.94	0.00	53.94	90.0	48.55
04/17/2018	KASMER, JOHN	SEI	0.00	4.43	0.00	4.43	90.0	3.99
* BRENT-BRION-JEN TRU-BBJ LEE-NORB SUBTOTAL *			\$1,900,457.86	\$2,524.36	\$0.00	\$2,524.36		\$2,271.96
<u>BROKER: TYLER-BRENT-BRIO TRU-TBB LIFFFRIG-L #336</u>								
04/12/2018	HENNEN, ANN	PERSHING	22,506.13	10.58	0.00	10.58	90.0	9.52
04/12/2018	HENNEN, STUART	PERSHING	20,894.12	9.82	0.00	9.82	90.0	8.84
04/12/2018	HENNEN, ANN	PERSHING	534,285.22	251.09	0.00	251.09	90.0	225.98
* TYLER-BRENT-BRIO TRU-TBB LIFFFRIG-L SUBTOTAL *			\$577,685.47	\$271.49	\$0.00	\$271.49		\$244.34
*** SPLIT TRANSACTIONS TOTAL ***			\$12,354,875.95	\$7,172.07	\$0.00	\$7,172.07		\$6,454.91

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------	--------	------------	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

04/30/2018		*MISC: CONCORDE LOAN PAYMENT	0.00					-6,245.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-6,245.00

BROKER COMMISSION TOTALS

Broker Transactions	\$433.48
Split Transactions	\$6,454.91
Override Transactions	\$0.00
Adjustments	\$-6,245.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$643.39
Year-to-date Gross	\$27,235.19
Year-to-date Earnings	\$23,977.09

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed