

COMMISSION STATEMENT

April 03, 2017

COLIN GLENNON
711 ELLINGTON ROAD

RIDGEWOOD, NJ 07450

BROKER #:
BRANCH: HOME OFFICECOMMISSION STATEMENT for COLIN GLENNON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
<u>BROKER TRANSACTIONS</u>											
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>											
03/10/2017	75501350	GLENNON, COLIN		NUVEEN INVT FDS INC EQUITY INDEX FD B	0.00	0.14	0.00	0.14	50.0	0.00	
03/10/2017	75501429	COMACK, KATHLEEN		FRANKLIN U.S. GOVERNMENT SECURITIES B	0.00	5.89	0.00	5.89	50.0	2.95	
03/10/2017	75501609	BUCHNER, LORETTA		GOLDMAN SACHS U S EQUITY INSIGHTS F B	0.00	3.31	0.00	3.31	50.0	1.66	
03/10/2017	75501609	BUCHNER, LORETTA		GOLDMAN SACHS TOLLKEEPER FUND CLASS B	0.00	12.88	0.00	12.88	50.0	6.44	
03/10/2017	75501609	BUCHNER, LORETTA		JOHN HANCOCK FDS III STRATEGIC GROW B	0.00	1.77	0.00	1.77	50.0	0.89	
03/10/2017	75501668	PARKER, FRANCINE		FRK SM CAP GRWTH FND II - CL A	B	0.00	4.75	0.00	4.75	50.0	2.38
03/10/2017	75501668	PARKER, FRANCINE		GLOBAL OPPORTUNITIES A	B	0.00	6.27	0.00	6.27	50.0	3.14
03/10/2017	75501669	PARKER, JAMES		FRANKLIN U.S. GOVERNMENT SECURITIES B		0.00	0.24	0.00	0.24	50.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$35.25	\$0.00	\$35.25		\$17.46	
*** BROKER TRANSACTIONS TOTAL ***					\$0.00	\$35.25	\$0.00	\$35.25		\$17.46	

BROKER COMMISSION TOTALS

Broker Transactions	\$17.46
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.04
SIPC Assessment	\$-0.66

Check Amount	\$16.76
Year-to-date Earnings	\$61.63