

**COMMISSION STATEMENT****May 15, 2018**

ALAN, L133 LICHTENSTEIN
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: L133
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
03/31/2018	RUSSO REV TR, EUGENIE	GRIFFIN-AMERICAN HC REIT IV T	10,000.00	24.66	0.00	24.66	75.0	18.50
		* REIT SUBTOTAL *	\$10,000.00	\$24.66	\$0.00	\$24.66		\$18.50
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/19/2018	RUSSO REV TR, EUGENIE	BLK HIGH YIELD BOND A	0.00	3.25	0.00	3.25	75.0	2.44
04/11/2018	LICHTENSTEIN, ALAN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.51	0.00	1.51	75.0	1.13
04/11/2018	BALDWIN, PATRICE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.85	0.00	2.85	75.0	2.14
04/11/2018	SANTOS, TEODOCIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.57	0.00	3.57	75.0	2.68
04/11/2018	SANTOS, TEODOCIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.45	0.00	1.45	75.0	1.09
04/11/2018	KONCIUS, STEWART	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.46	0.00	0.46	75.0	0.35
04/11/2018	SMARZ, MARY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.75	0.00	0.75	75.0	0.56
04/11/2018	HECKER, THOMAS	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.85	0.00	2.85	75.0	2.14
04/11/2018	SHEPHERD FOUNDATION	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.02	0.00	3.02	75.0	2.27
04/11/2018	GRAVES, GLORIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.14	0.00	2.14	75.0	1.61
04/17/2018	RUSSO REV TR, EUGENIE	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	2.17	0.00	2.17	75.0	1.63
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$24.02	\$0.00	\$24.02		\$18.04
		*** DIRECT TRANSACTIONS TOTAL ***	\$10,000.00	\$48.68	\$0.00	\$48.68		\$36.54

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
05/15/2018		*AI INSIGHT: AI INSIGHT	0.00					-7.00
05/15/2018		*EO INS: EO INS - MONTHLY	0.00					-300.00
05/15/2018		*PERSHING: ADDRESS VERIFICATION LETTERS - PNW094159	0.00					-1.50
05/15/2018		*TECHNOLOGY: MONTHLY BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-488.50

BROKER COMMISSION TOTALS

Broker Transactions	\$36.54
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-488.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-13.33
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-465.29
Year-to-date Gross	\$18,028.06
Year-to-date Earnings	\$13,436.79

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