



Concorde Asset Management

01/18/2017
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COMMISSION STATEMENT January 17, 2017

JAMES MAHER
3082 Quail Ridge Circle

Rochester Hills, MI 48309-

BROKER #: M127
BRANCH: JAMES MAHER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/09/2016	FINDLING, DARREN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	7.08	0.00	7.08	90.0	6.37
12/09/2016	BROEREN, LISA	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	5.14	0.00	5.14	90.0	4.63
12/09/2016	BROEREN, LISA	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	23.55	0.00	23.55	90.0	21.20
12/09/2016	FINDLING, DARREN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	20.75	0.00	20.75	90.0	18.68
12/09/2016	BROEREN, LISA	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	12.16	0.00	12.16	90.0	10.94
12/23/2016	FINDLING, DARREN	FRANKLIN RISING DIVIDENDS A	35,000.00	20.00	0.00	20.00	90.0	18.00
12/23/2016	FINDLING, DARREN	FRANKLIN RISING DIVIDENDS A	-35,000.00	-20.00	0.00	-20.00	90.0	-18.00
12/23/2016	FINDLING, DARREN	FRANKLIN RISING DIVIDENDS A	35,000.00	0.00	0.00	0.00	90.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$35,000.00	\$68.68	\$0.00	\$68.68		\$61.82
*** BROKER TRANSACTIONS TOTAL ***			\$35,000.00	\$68.68	\$0.00	\$68.68		\$61.82

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$61.82
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$79.79
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$141.61
Year-to-date Gross	\$68.68
Year-to-date Earnings	\$61.82