



Concorde Investment Services, LLC

08/29/2017
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COMMISSION STATEMENT **August 31, 2017**

ROBERT LUCHSINGER
600 Johnson Ave.
Suite B5
Bohemia, NY 11716

BROKER #: L117
BRANCH: ROBERT LUCHSINGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/07/2017	YBAY, ERLINDA	AM FUNDS ICA A	5,000.00	250.00	0.00	250.00	95.0	237.50
08/21/2017	HANAK, EMMA	AM FUNDS WASHINGTON MUTUAL INVESTOR	297.29	14.86	0.00	14.86	95.0	14.12
08/07/2017	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
08/07/2017	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
08/14/2017	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	69.71	1.12	0.00	1.12	95.0	1.06
08/14/2017	PRYDETKO, WALTER	OPPENHEIMER CAPITAL APPRECIATION A	33.75	0.54	0.00	0.54	95.0	0.51
08/07/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
08/07/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
08/14/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	69.71	1.12	0.00	1.12	95.0	1.06
08/14/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL A	33.75	0.54	0.00	0.54	95.0	0.51
08/07/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
08/07/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
08/14/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	41.83	0.67	0.00	0.67	95.0	0.64
08/14/2017	PRYDETKO, WALTER	OPPENHEIMER GLOBAL ALLOCATION A	20.25	0.32	0.00	0.32	95.0	0.30
08/07/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
08/07/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
08/14/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	27.89	0.45	0.00	0.45	95.0	0.43
08/14/2017	PRYDETKO, WALTER	OPPENHEIMER GOLD & SPECIAL MINERALS	13.50	0.22	0.00	0.22	95.0	0.21
08/10/2017	ALBANESE, MICHAEL	OPPENHEIMER MAIN ST SMALL & MID CAP	100.00	1.00	0.00	1.00	95.0	0.95
08/07/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
08/07/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
08/14/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	69.71	1.12	0.00	1.12	95.0	1.06
08/14/2017	PRYDETKO, WALTER	OPPENHEIMER MAIN STREET SMALLCAP A	33.75	0.54	0.00	0.54	95.0	0.51
08/03/2017	FOURNIER, ELIZABETH	OPPENHEIMER ROCHESTER MUNICIPALS A	20,000.00	800.00	0.00	800.00	95.0	760.00
08/07/2017	HAZEN, JAMES	PAC LIFE FUNDS STRATEGIC INC FUND	147.31	1.47	0.00	1.47	95.0	1.40
08/08/2017	HAZEN, JAMES	PAC LIFE FUNDS STRATEGIC INC FUND	134.23	1.34	0.00	1.34	95.0	1.27

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/10/2017	MAZZA, JUSTIN	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	2.46	0.00	2.46	95.0	2.34
08/10/2017	MAZZA, DOMENICK	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	1.24	0.00	1.24	95.0	1.18
08/10/2017	CHAPMAN, CLARENCE	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	0.24	0.00	0.24	95.0	0.23
08/10/2017	MAZZA, JUSTIN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.23	0.00	1.23	95.0	1.17
08/10/2017	MAZZA, DOMENICK	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.51	0.00	1.51	95.0	1.43
08/10/2017	ROBERTO, JOSEPH	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	6.48	0.00	6.48	95.0	6.16
08/10/2017	BREITFELLER, CYNTHIA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	0.19	0.00	0.19	95.0	0.18
08/10/2017	BREITFELLER, CYNTHIA	SUNAMERICA SENIOR FLOATING RATE A	0.00	0.79	0.00	0.79	95.0	0.75
08/10/2017	SEAMAN, PATRICIA	SUNAMERICA US GOVT SECURITIES CL A	0.00	6.43	0.00	6.43	95.0	6.11
* MUTUAL FUNDS SUBTOTAL *			\$26,506.53	\$1,102.52	\$0.00	\$1,102.52		\$1,047.37
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/17/2017	MOLNAR, J S KIP	JNL 468511134	5,000.00	225.00	0.00	225.00	95.0	213.75
08/10/2017	YETTER, DEBRA	JNL PERSPECTIVE II 04/14	1,000.00	55.00	0.00	55.00	95.0	52.25
08/14/2017	HAMLIN, JOANNE	JNL PERSPECTIVE II 04/14	200,000.00	11,000.00	0.00	11,000.00	95.0	10,450.00
08/04/2017	PATTERSON, BARBARA	PAC LIFE INNOVATIVE SELECT	0.00	674.82	0.00	674.82	95.0	641.08
07/31/2017	DEITSCH, PAUL	PAC LIFE INNOVATIVE SELECT NY	0.00	273.15	0.00	273.15	95.0	259.49
07/31/2017	CORREIA, MARIA	PAC LIFE INNOVATIVE SELECT NY	0.00	85.13	0.00	85.13	95.0	80.87
07/31/2017	CORREIA, LUDOVINO	PAC LIFE INNOVATIVE SELECT NY	0.00	106.53	0.00	106.53	95.0	101.20
08/01/2017	KUDLEK, ARTHUR	PAC LIFE INNOVATIVE SELECT NY	0.00	571.90	0.00	571.90	95.0	543.31
08/10/2017	YBAY, ERLINDA	SBL SECURE DESIGNS VA	15,000.00	600.00	0.00	600.00	95.0	570.00
07/07/2017	SALANITRO, ISABEL	TRANSAMERICA LIBERTY	6,143.64	5.12	0.00	5.12	95.0	4.86
07/13/2017	PUMA, ANN	TRANSAMERICA LIBERTY	36,846.69	30.71	0.00	30.71	95.0	29.17
07/15/2017	MAZZA, JOSEPH	TRANSAMERICA LIBERTY	108,538.92	90.45	0.00	90.45	95.0	85.93
07/30/2017	LUCHSINGER, JANE	TRANSAMERICA LIBERTY	14,305.32	11.92	0.00	11.92	95.0	11.32
* VARIABLE ANNUITIES SUBTOTAL *			\$386,834.57	\$13,729.73	\$0.00	\$13,729.73		\$13,043.23
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/03/2017	LUCHSINGER, ELIZABETH	COLUMBIA GREATER CHINA A	0.00	4.40	0.00	4.40	95.0	4.18
08/03/2017	532 COUNTY RT 111	COLUMBIA LG VALUE QUANTITATIVE T	0.00	0.00	0.00	0.00	95.0	0.00
08/03/2017	LUCHSINGER, ELIZABETH	COLUMBIA MIDCAP VALUE A	0.00	4.08	0.00	4.08	95.0	3.88
08/03/2017	BREITFELLER, CYNTHIA	COLUMBIA STRATEGIC INCOME A	0.00	0.41	0.00	0.41	95.0	0.39
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$8.89	\$0.00	\$8.89		\$8.45

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
08/20/2017	MAZZA, JOSEPH	ING EMPIRE INNOVATIONS STD OPT A	0.00	1,441.92	0.00	1,441.92	95.0	1,369.82
08/07/2017	OHNGEMACH, ROBERT	PAC LIFE INNOVATIVE SELECT	0.00	81.18	0.00	81.18	95.0	77.12
08/07/2017	HANDLER, EMMA	PAC LIFE INNOVATIVE SELECT	0.00	151.98	0.00	151.98	95.0	144.38
08/09/2017	MANNETTA, VIRGINIA	PAC LIFE INNOVATIVE SELECT NY	0.00	242.09	0.00	242.09	95.0	229.99
08/09/2017	BURKHARD, BARBARA	PAC LIFE INNOVATIVE SELECT NY	0.00	401.01	0.00	401.01	95.0	380.96
08/09/2017	FOURNIER, ELIZABETH	PAC LIFE INNOVATIVE SELECT NY	0.00	334.24	0.00	334.24	95.0	317.53
08/10/2017	HANAK, SUSAN	PAC LIFE INNOVATIVE SELECT NY	0.00	235.35	0.00	235.35	95.0	223.58
08/14/2017	HANDLER, LOUIS	PAC LIFE INNOVATIVE SELECT NY	0.00	71.11	0.00	71.11	95.0	67.55
08/15/2017	SMITH, GARY	PAC LIFE INNOVATIVE SELECT NY	0.00	27.08	0.00	27.08	95.0	25.73
08/17/2017	LUCHSINGER, WILLIAM	PAC LIFE INNOVATIVE SELECT NY	0.00	550.65	0.00	550.65	95.0	523.12
08/17/2017	MOLNAR, J S KIP	PAC LIFE INNOVATIVE SELECT NY	0.00	285.19	0.00	285.19	95.0	270.93
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$3,821.80	\$0.00	\$3,821.80		\$3,630.71
*** BROKER TRANSACTIONS TOTAL ***			\$413,341.10	\$18,662.94	\$0.00	\$18,662.94		\$17,729.76

VERRIDE TRANSACTIONS**BROKER: L149-ROBERT LUCHSINGER #388**

08/02/2017	GORMAN, LORAIN	ING EMPIRE INNOVATIONS STD OPT A	0.00	229.09	0.00	229.09	0.0	91.64
08/10/2017	TIERNAN, JOAN	ING EMPIRE INNOVATIONS STD OPT A	0.00	245.33	0.00	245.33	0.0	98.13
08/19/2017	MALIVA, JOAN	ING EMPIRE INNOVATIONS STD OPT A	0.00	47.50	0.00	47.50	0.0	19.00
* L149-ROBERT LUCHSINGER SUBTOTAL *			\$0.00	\$521.92	\$0.00	\$521.92		\$208.77
*** OVERRIDE TRANSACTIONS TOTAL ***			\$0.00	\$521.92	\$0.00	\$521.92		\$208.77

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BROKER COMMISSION TOTALS

Broker Transactions	\$17,729.76
Split Transactions	\$0.00
Override Transactions	\$208.77
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$17,938.53
Year-to-date Gross	\$157,900.86
Year-to-date Earnings	\$150,931.80