

COMMISSION STATEMENT

April 03, 2017

LAWRENCE HORN

301 E 47TH STREET

APT 16J

NEW YORK, NY 10017

BROKER #:

BRANCH: HOME OFFICE

COMMISSION STATEMENT for LAWRENCE HORN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/28/2017	QGV004930	GS ARBITRAGE	LCI INDS COM	B	253,105.62	77.55	10.00	67.55	50.0 33.78
03/07/2017	QGV005465	NORTH RUN CAPITAL	LP CROCS INC	S	-2,734.00	12.00	10.00	2.00	50.0 1.00
03/07/2017	QGV005465	NORTH RUN CAPITAL	LP CROCS INC	S	2,734.00	-12.00	-10.00	-2.00	50.0 -1.00 C
03/07/2017	QGV005465	NORTH RUN CAPITAL	LP CROCS INC	S	-2,732.00	12.00	10.00	2.00	50.0 1.00
03/09/2017	QGV005465	NORTH RUN CAPITAL	LP CROCS INC	S	-144,801.80	660.00	10.00	650.00	50.0 325.00
03/13/2017	QGV006240	GS ARBITRAGE	MEAD JOHNSON NUTRITION COMPANY COMM B		439,249.50	200.00	10.00	190.00	50.0 95.00
* STOCKS SUBTOTAL *					<u>\$544,821.32</u>	<u>\$949.55</u>	<u>\$40.00</u>	<u>\$909.55</u>	<u>\$454.78</u>
*** BROKER TRANSACTIONS TOTAL ***					<u>\$544,821.32</u>	<u>\$949.55</u>	<u>\$40.00</u>	<u>\$909.55</u>	<u>\$454.78</u>
<u>ADJUSTMENTS</u>									
04/03/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
04/03/2017		OTHER: HAMMERSTONE		0.00	0.00	0.00	0.00		-255.00
04/03/2017		OTHER: OTHER		0.00	0.00	0.00	0.00		-2,100.00
*** ADJUSTMENTS TOTAL ***					<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-2,375.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$454.78
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2,375.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-1,475.36
FINRA Assessment	\$-1.19
SIPC Assessment	\$-17.80

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-3,414.57
Year-to-date Earnings	\$-3,414.57