

**COMMISSION STATEMENT****February 01, 2018**

MARLI SMYTHE  
3200 N. CENTRAL AVE STE 200  
PHOENIX, AZ 85012

BROKER #: 73690  
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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**Company: Securities America****BROKER TRANSACTIONS****PRODUCT CATEGORY: MUTUAL FUNDS**

02/01/2018	SMYTHE (73690), MARLI	SAI SECURITES INCOME	0.00	13.52	0.00	13.52	4.06
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$13.52</u>	<u>\$0.00</u>	<u>\$13.52</u>	<u>\$4.06</u>

**PRODUCT CATEGORY: STOCKS**

02/01/2018	SMYTHE (73690), MARLI	SAI PERSHING CHARGES	0.00	-25.00	0.00	-25.00	-7.50
02/01/2018	SMYTHE (73690), MARLI	SAI SECURITIES INCOME	0.00	99.00	0.00	99.00	29.70
* STOCKS SUBTOTAL *			<u>\$0.00</u>	<u>\$74.00</u>	<u>\$0.00</u>	<u>\$74.00</u>	<u>\$22.20</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$87.52</u>	<u>\$0.00</u>	<u>\$87.52</u>	<u>\$26.26</u>

**SPLIT TRANSACTIONS****BROKER: MELBY/SMYTHE #73916**

02/12/0018	MELBY/SMYTHE (73916)	SAI PERSHING CHARGES	0.00	-50.00	0.00	-20.00	-6.00
02/01/2018	MELBY/SMYTHE (73916)	SAI SECURITES INCOME	0.00	68.00	0.00	27.20	8.16
* MELBY/SMYTHE SUBTOTAL *			<u>\$0.00</u>	<u>\$18.00</u>	<u>\$0.00</u>	<u>\$7.20</u>	<u>\$2.16</u>
*** SPLIT TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$18.00</u>	<u>\$0.00</u>	<u>\$7.20</u>	<u>\$2.16</u>

COMMISSION STATEMENT for MARLI SMYTHE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: Securities America (continued)

ADJUSTMENTS

29. SAI SIPC FOR PE 01/19/2018							-0.30
45. SAI SIPC FOR PE 01/26/2018							-2.60
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-2.90</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$26.26
Split Transactions	\$2.16
Override Transactions	\$0.00
Adjustments	\$-2.90
Prior Period Balance	\$0.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$25.52
Year-to-date Earnings	\$692.14