



COMMISSION STATEMENT

August 13, 2018

ANDY KALINOWSKI

BROKER #: AK417

BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/30/2018	***-**-3644 - BROOKMIRE, MATT	FA 529 COLLEGE PORT-CL A	100.00	3.00	0.00	3.00	95.0	2.85
	ACCOUNT #: 00701328528	BUY 5 Units @18.2000						
07/30/2018	***-**-35RO - MARCOCCIA, ROBIN	INTERMEDIATE BOND FUND OF AMERICA-A	50.00	1.00	0.00	1.00	95.0	0.95
	ACCOUNT #: 00086583849	BUY 4 Units @13.4400						
* MUTUAL FUNDS SUBTOTAL *			\$150.00	\$4.00	\$0.00	\$4.00		\$3.80
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/13/2018	***-**-43IN - AGLE, PATRICIA	COLUMBIA THREADNEEDLE TRAILS	0.00	55.76	0.00	55.76	95.0	52.97
		BUY 0 Units @0.0000						
08/13/2018	***-**-919I - KITSON, THOMAS	COLUMBIA THREADNEEDLE TRAILS	0.00	4.13	0.00	4.13	95.0	3.92
		BUY 0 Units @0.0000						
08/07/2018	***-**-3644 - BROOKMIRE, MATT	FA 529 COLLEGE PORT-CL A	0.00	1.07	0.00	1.07	95.0	1.02
	ACCOUNT #: 00701328528	BUY 0 Units @0.0000						
08/07/2018	***-**-8653 - WARNER, ROBERT	FIDELITY ADV GROWTH & INCOME CL A	0.00	1.72	0.00	1.72	95.0	1.63
	ACCOUNT #: 01469251977	BUY 0 Units @0.0000						
08/07/2018	***-**-01RI - TOTMAN, ANDREW	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	1.26	0.00	1.26	95.0	1.20
	ACCOUNT #: 00536998180	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$63.94	\$0.00	\$63.94		\$60.74
*** BROKER TRANSACTIONS TOTAL ***			\$150.00	\$67.94	\$0.00	\$67.94		\$64.54

VERRIDE TRANSACTIONS

BROKER: RONALD DRAKE

08/01/2018	***-**-3246 - SGROI, TERESA	AMCAP FUND-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00089976714	BUY 1 Units @35.8600						
08/08/2018	***-**-6233 - SHEPARD, ROBERT	AMCAP FUND-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 04000977909	BUY 1 Units @36.3200						
07/30/2018	***-**-24IR - BERTRAND, JOHN	AMERICAN BALANCED FUND-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00089941898	BUY 2 Units @29.3600						
08/01/2018	***-**-9408 - SNIZEK,	AMERICAN BALANCED FUND-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00085099445	BUY 2 Units @28.6300						



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<u> OVERRIDE TRANSACTIONS (continued) </u>								
<u> BROKER: RONALD DRAKE (continued) </u>								
08/06/2018	***-**-0911 - SPAZIANI, ACCOUNT #: 00082570536	AMERICAN BALANCED FUND-A BUY 5 Units @29.0800	135.00	5.06	0.00	5.06	20.0	1.01
08/07/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	AMERICAN BALANCED FUND-A BUY 4 Units @28.8200	125.00	3.44	0.00	3.44	20.0	0.69
08/07/2018	***-**-0939 - LAWRENCE, JASON ACCOUNT #: 00087909097	AMERICAN BALANCED FUND-A BUY 2 Units @29.5100	60.00	3.00	0.00	3.00	20.0	0.60
08/08/2018	***-**-6233 - SHEPARD, ROBERT ACCOUNT #: 04000977909	AMERICAN BALANCED FUND-A BUY 2 Units @29.5000	50.00	2.50	0.00	2.50	20.0	0.50
08/01/2018	***-**-9408 - SNIZEK, ACCOUNT #: 00085099445	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.6000	50.00	1.38	0.00	1.38	20.0	0.28
08/06/2018	***-**-0911 - SPAZIANI, ACCOUNT #: 00082570536	AMERICAN HIGH-INCOME TRUST-A BUY 8 Units @10.6400	80.00	2.40	0.00	2.40	20.0	0.48
08/07/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	AMERICAN HIGH-INCOME TRUST-A BUY 9 Units @10.6300	100.00	2.75	0.00	2.75	20.0	0.55
08/07/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	AMERICAN HIGH-INCOME TRUST-A BUY 4 Units @10.6300	38.25	1.05	0.00	1.05	20.0	0.21
08/08/2018	***-**-6233 - SHEPARD, ROBERT ACCOUNT #: 04000977909	AMERICAN HIGH-INCOME TRUST-A BUY 5 Units @10.6600	50.00	1.50	0.00	1.50	20.0	0.30
08/01/2018	***-**-6469 - BENNETT, DAVID ACCOUNT #: 00088370668	AMERICAN MUTUAL FUND-A BUY 1 Units @44.4500	50.00	2.50	0.00	2.50	20.0	0.50
08/01/2018	***-**-9408 - SNIZEK, ACCOUNT #: 00085099445	AMERICAN MUTUAL FUND-A BUY 1 Units @43.4100	50.00	1.38	0.00	1.38	20.0	0.28
08/01/2018	***-**-2119 - BENNETT, COLLEEN ACCOUNT #: 00088366890	AMERICAN MUTUAL FUND-A BUY 1 Units @44.4500	50.00	2.50	0.00	2.50	20.0	0.50
08/01/2018	***-**-3246 - SGROI, TERESA ACCOUNT #: 00089976714	CAPITAL INCOME BUILDER-A BUY 1 Units @64.6700	50.00	2.50	0.00	2.50	20.0	0.50
08/08/2018	***-**-6233 - SHEPARD, ROBERT ACCOUNT #: 04000977909	CAPITAL INCOME BUILDER-A BUY 1 Units @64.6600	50.00	2.50	0.00	2.50	20.0	0.50
08/07/2018	***-**-408B - SNIZEK, ACCOUNT #: 00085154620	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @53.5400	100.00	2.75	0.00	2.75	20.0	0.55
08/07/2018	***-**-1909 - BASHANT, MATTHEW ACCOUNT #: 00085839015	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @53.5400	47.25	1.30	0.00	1.30	20.0	0.26



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 OVERRIDE TRANSACTIONS (continued) 								
 <u>BROKER: RONALD DRAKE (continued)</u> 								
08/07/2018	***-**-1909 - BASHANT, MATTHEW	EUROPACIFIC GROWTH FUND-A	47.25	1.30	0.00	1.30	20.0	0.26
	ACCOUNT #: 00085839015	BUY 1 Units @56.0800						
08/06/2018	***-**-0911 - SPAZIANI,	FUNDAMENTAL INVESTORS-A	135.00	5.06	0.00	5.06	20.0	1.01
	ACCOUNT #: 00082570536	BUY 2 Units @67.5600						
07/30/2018	***-**-7823 - BERTRAND,	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00089897908	BUY 1 Units @58.1100						
07/30/2018	***-**-241R - BERTRAND, JOHN	THE GROWTH FUND OF AMERICA-A	50.00	2.50	0.00	2.50	20.0	0.50
	ACCOUNT #: 00089941898	BUY 1 Units @58.1100						
08/01/2018	***-**-9408 - SNIZEK,	THE GROWTH FUND OF AMERICA-A	50.00	1.38	0.00	1.38	20.0	0.28
	ACCOUNT #: 00085099445	BUY 1 Units @56.9200						
08/06/2018	***-**-0911 - SPAZIANI,	THE GROWTH FUND OF AMERICA-A	55.00	2.06	0.00	2.06	20.0	0.41
	ACCOUNT #: 00082570536	BUY 1 Units @58.1500						
08/07/2018	***-**-408B - SNIZEK,	THE GROWTH FUND OF AMERICA-A	175.00	4.81	0.00	4.81	20.0	0.96
	ACCOUNT #: 00085154620	BUY 3 Units @57.7800						
08/07/2018	***-**-1909 - BASHANT, MATTHEW	THE GROWTH FUND OF AMERICA-A	54.00	1.49	0.00	1.49	20.0	0.30
	ACCOUNT #: 00085839015	BUY 1 Units @57.7800						
08/01/2018	***-**-6790 - DRAKE, ROBERT	THE INCOME FUND OF AMERICA-A	50.00	1.88	0.00	1.88	20.0	0.38
	ACCOUNT #: 00086643595	BUY 2 Units @24.2800						
08/06/2018	***-**-0911 - SPAZIANI,	THE INCOME FUND OF AMERICA-A	135.00	5.06	0.00	5.06	20.0	1.01
	ACCOUNT #: 00082570536	BUY 6 Units @24.3400						
08/07/2018	***-**-1909 - BASHANT, MATTHEW	THE INCOME FUND OF AMERICA-A	38.25	1.05	0.00	1.05	20.0	0.21
	ACCOUNT #: 00085839015	BUY 2 Units @24.1300						
08/07/2018	***-**-0939 - LAWRENCE, JASON	THE INCOME FUND OF AMERICA-A	60.00	3.00	0.00	3.00	20.0	0.60
	ACCOUNT #: 00087909097	BUY 2 Units @24.7100						
 * RONALD DRAKE SUBTOTAL *			\$2,185.00	\$79.48	\$0.00	\$79.48		\$15.91
 <u>BROKER: RONALD CIOTOLI</u> 								
08/03/2018	***-**-5000 - CRAWFORD,	THE INCOME FUND OF AMERICA-A	190.00	3.80	0.00	3.80	35.0	1.33
	ACCOUNT #: 00067346436	BUY 8 Units @23.8300						
08/03/2018	***-**-5000 - CRAWFORD,	THE INCOME FUND OF AMERICA-A	57.00	1.14	0.00	1.14	35.0	0.40
	ACCOUNT #: 00067346436	BUY 2 Units @23.8300						
 * RONALD CIOTOLI SUBTOTAL *			\$247.00	\$4.94	\$0.00	\$4.94		\$1.73



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BROKER TRANSACTIONS (continued)								
*** OVERRIDE TRANSACTIONS TOTAL ***			\$2,432.00	\$84.42	\$0.00	\$84.42		\$17.64

BROKER COMMISSION TOTALS

Broker Transactions	\$64.54
Split Transactions	\$0.00
Override Transactions	\$17.64
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$57.32
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$139.50
Year-to-date Earnings	\$17,193.36