

## COMMISSION STATEMENT

February 28, 2018

JAY TOMLINSON  
474 GREGORY AVENUEBROKER #: 36  
BRANCH: HOME OFFICE

WEEHAWKEN, NJ 07086

COMMISSION STATEMENT for JAY TOMLINSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
01/30/2018	QGW029704	ROJAS, RAFAEL	ESSA PHARMA INC COM STK	B	4,220.00	50.00	10.00	40.00	70.0 25.00
01/30/2018	QGW029704	ROJAS, RAFAEL	ESSA PHARMA INC COM STK	B	-4,220.00	-50.00	-10.00	-40.00	70.0 -25.00 C
01/30/2018	QGW029704	ROJAS, RAFAEL	ESSA PHARMA INC COM STK	B	4,220.00	50.00	10.00	40.00	70.0 25.00
02/05/2018	QGW029704	ROJAS, RAFAEL	EXELIXIS INC	S	-5,800.02	50.00	10.00	40.00	70.0 25.00
02/14/2018	QGW029704	ROJAS, RAFAEL	ESSA PHARMA INC COM STK	B	2,000.00	50.00	10.00	40.00	70.0 25.00
02/14/2018	QGW029704	ROJAS, RAFAEL	ESSA PHARMA INC COM STK	B	-2,000.00	-50.00	-10.00	-40.00	70.0 -25.00 C
02/14/2018	QGW029704	ROJAS, RAFAEL	ESSA PHARMA INC COM STK	B	2,000.00	50.00	10.00	40.00	70.0 25.00
02/16/2018	QGW029704	ROJAS, RAFAEL	EXELIXIS INC	S	-14,500.00	226.67	10.00	216.67	70.0 148.67
* STOCKS SUBTOTAL *					\$-14,080.02	\$376.67	\$40.00	\$336.67	\$223.67
PRODUCT CATEGORY: OPTIONS									
02/14/2018	QGW029704	ROJAS, RAFAEL	CALL 100 EXELIXIS INC COM EXP 02-16 S		-475.00	50.00	10.00	40.00	70.0 25.00
02/14/2018	QGW029704	ROJAS, RAFAEL	CALL 100 EXELIXIS INC COM EXP 02-16 S		475.00	-50.00	-10.00	-40.00	70.0 -25.00 C
02/14/2018	QGW029704	ROJAS, RAFAEL	CALL 100 EXELIXIS INC COM EXP 02-16 S		-475.00	50.00	10.00	40.00	70.0 25.00
* OPTIONS SUBTOTAL *					\$-475.00	\$50.00	\$10.00	\$40.00	\$25.00
*** BROKER TRANSACTIONS TOTAL ***					\$-14,555.02	\$426.67	\$50.00	\$376.67	\$248.67
ADJUSTMENTS									
02/28/2018		ADVANCE: ADVANCE			0.00	0.00	0.00	0.00	-5,000.00
02/28/2018		OTHER: COMPLIANCE AND TECHNOLOGY			0.00	0.00	0.00	0.00	-20.00
02/28/2018		OTHER: OTHER			0.00	0.00	0.00	0.00	-154.44
02/28/2018		OTHER: OTHER-360 OFFICE			0.00	0.00	0.00	0.00	-8.00
02/28/2018		OTHER: REAL TICK			0.00	0.00	0.00	0.00	-425.00
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00	\$-5,607.44

**BROKER COMMISSION TOTALS**

Broker Transactions	\$248.67
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-5,607.44
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$20,029.82
FINRA Assessment	\$-0.53
SIPC Assessment	\$-1.07

<b>Check Amount</b>	<b>\$14,669.45</b>
<b>Year-to-date Earnings</b>	<b>\$-5,560.85</b>