

All Companies
STATEMENT
June 20, 2018

NICK DREW - LPCM
12721 Sawmill Rd
Suite 105
The Woodlands, TX 77380

BROKER #: 3169
BRANCH: LIBERTY PARTNERS CAP

COMMISSION STATEMENT for NICK DREW - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/02/2018 #	REDDICK, MICHELLE	IAFEES	-285.22	218.67	95.0	0.00	207.74	0.00	207.74
05/02/2018 #	REDDICK, MICHELLE	IAFEES	-610.56	468.10	95.0	0.00	444.70	0.00	444.70
05/02/2018 #		IAFEES	-89.24	62.47	95.0	0.00	59.35	0.00	59.35
05/02/2018 #		IAFEES	-88.94	62.26	95.0	0.00	59.15	0.00	59.15
05/02/2018 #		IAFEES	-123.76	86.63	95.0	0.00	82.30	0.00	82.30
05/07/2018 #		IAFEES	-131.16	91.82	95.0	0.00	87.23	0.00	87.23
05/30/2018 #		IAFEES	-39.28	27.49	95.0	0.00	26.12	0.00	26.12
* MUTUAL FUNDS SUBTOTAL *			\$-1,368.16	\$1,017.44		\$0.00	\$966.59	\$0.00	\$966.59
<u>PRODUCT CATEGORY: RIA</u>									
05/04/0018 #547695	SLAVIN, WYATT	TCA FEES	0.00	-197.35	95.0	0.00	-187.48	0.00	-187.48
05/10/0018 #514300	ATKINSON, JEFFRY	TCA FEES	0.00	-9.24	95.0	0.00	-8.78	0.00	-8.78
05/16/0018 #514259	STOVALL, JANET	TCA FEES	0.00	-30.26	95.0	0.00	-28.75	0.00	-28.75
05/16/0018 #514248	STOVALL, BLAKE	TCA FEES	0.00	-9.08	95.0	0.00	-8.63	0.00	-8.63
05/16/0018 #514068	STOVALL, BLAKE	TCA FEES	0.00	-45.56	95.0	0.00	-43.28	0.00	-43.28
06/01/2018 #514073	MORVANT, GEORGE	TCA ADVISOR MODEL	406.87	4.17	95.0	0.00	4.17	0.00	4.17
06/01/2018 #514095	LAZRINE, SANDRA	TCA ADVISOR MODEL	63,636.59	79.55	95.0	0.00	79.55	0.00	79.55
06/01/2018 #514126	LAZRINE, DONALD	TCA ADVISOR MODEL	55,471.38	69.34	95.0	0.00	69.34	0.00	69.34
06/01/2018 #514177	BOLIVAR, ANDRES	TCA ADVISOR MODEL	29,373.84	36.72	95.0	0.00	36.72	0.00	36.72
06/01/2018 #514202	BRAKEFIELD, JONMARC	TCA ADVISOR MODEL	17,182.13	21.48	95.0	0.00	21.48	0.00	21.48
06/01/2018 #514211	GRANT, BARBARA	TCA ADVISOR MODEL	4,415.14	5.52	95.0	0.00	5.52	0.00	5.52
06/01/2018 #514275	BOLIVAR, ANDRES	TCA ADVISOR MODEL	8,933.86	9.31	95.0	0.00	9.31	0.00	9.31
06/01/2018 #540040	ANDERSON, JOHNNY	TCA ADVISOR MODEL	250,337.43	312.92	95.0	0.00	312.92	0.00	312.92
06/01/2018 #542443	ES FAMILY TRUST	TCA ADVISOR MODEL	114,705.53	143.38	95.0	0.00	143.38	0.00	143.38
06/01/2018 #547013	SAILORS, JAMES	TCA ADVISOR MODEL	9,798.18	12.25	95.0	0.00	12.25	0.00	12.25
06/01/2018 #593725	TCA DREW VAR	TCA ADVISOR MODEL	58,984.44	36.87	95.0	0.00	36.87	0.00	36.87
06/01/2018 #615636	TCA DREW VAR	TCA ADVISOR MODEL	93,484.14	116.86	95.0	0.00	116.86	0.00	116.86
06/01/2018 #514073	MORVANT, GEORGE	TCA ADVISOR MODEL	406.87	-0.11	95.0	0.00	-0.11	0.00	-0.11

COMMISSION STATEMENT for NICK DREW - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
06/01/2018	#514095 LAZRINE, SANDRA	TCA ADVISOR MODEL	63,636.59	-18.56	95.0	0.00	-18.56	0.00	-18.56
06/01/2018	#514126 LAZRINE, DONALD	TCA ADVISOR MODEL	55,471.38	-16.17	95.0	0.00	-16.17	0.00	-16.17
06/01/2018	#514177 BOLIVAR, ANDRES	TCA ADVISOR MODEL	29,373.84	-8.56	95.0	0.00	-8.56	0.00	-8.56
06/01/2018	#514202 BRAKEFIELD, JONMARC	TCA ADVISOR MODEL	17,182.13	-5.01	95.0	0.00	-5.01	0.00	-5.01
06/01/2018	#514211 GRANT, BARBARA	TCA ADVISOR MODEL	4,415.14	-1.28	95.0	0.00	-1.28	0.00	-1.28
06/01/2018	#514275 BOLIVAR, ANDRES	TCA ADVISOR MODEL	8,933.86	-2.60	95.0	0.00	-2.60	0.00	-2.60
06/01/2018	#540040 ANDERSON, JOHNNY	TCA ADVISOR MODEL	250,337.43	-73.01	95.0	0.00	-73.01	0.00	-73.01
06/01/2018	#542443 ES FAMILY TRUST	TCA ADVISOR MODEL	114,705.53	-33.45	95.0	0.00	-33.45	0.00	-33.45
06/01/2018	#547013 SAILORS, JAMES	TCA ADVISOR MODEL	9,798.18	-2.85	95.0	0.00	-2.85	0.00	-2.85
06/01/2018	#593725 TCA DREW VAR	TCA ADVISOR MODEL	58,984.44	-17.20	95.0	0.00	-17.20	0.00	-17.20
06/01/2018	#615636 TCA DREW VAR	TCA ADVISOR MODEL	93,484.14	-27.26	95.0	0.00	-27.26	0.00	-27.26
06/01/2018	#514244 TCA DREW VAR	TCA NULL MODEL	15,380.84	-7.69	95.0	0.00	-7.69	0.00	-7.69
06/01/2018	#514157 BEDORE, ROBERT	TCA NULL MODEL	464.22	-0.23	95.0	0.00	-0.23	0.00	-0.23
06/01/2018	#514269 TCA DREW VAR	TCA NULL MODEL	6,745.80	-3.37	95.0	0.00	-3.37	0.00	-3.37
06/01/2018	#547523 TCA DREW VAR	TCA NULL MODEL	4,278.66	-2.13	95.0	0.00	-2.13	0.00	-2.13
06/01/2018	#514253 TCA DREW VAR	TCA NULL MODEL	5,034.58	-2.51	95.0	0.00	-2.51	0.00	-2.51
06/01/2018	#659085	TCA NULL MODEL	12,497.20	-6.24	95.0	0.00	-6.24	0.00	-6.24
06/01/2018	#514079 TCA DREW VAR	TCA NULL MODEL	5,891.24	-2.94	95.0	0.00	-2.94	0.00	-2.94
06/01/2018	#514219 TCA DREW VAR	TCA NULL MODEL	1,176.31	-0.58	95.0	0.00	-0.58	0.00	-0.58
06/01/2018	#514225 LAZRINE, DONALD	TCA NULL MODEL	4,336.46	-2.16	95.0	0.00	-2.16	0.00	-2.16
06/01/2018	#514235 TCA DREW VAR	TCA NULL MODEL	182.88	-0.09	95.0	0.00	-0.09	0.00	-0.09
06/01/2018	#514158 MONTEGUT, MAX	TCA NULL MODEL	1,606.04	-0.80	95.0	0.00	-0.80	0.00	-0.80
06/01/2018	#514218 TCA DREW VAR	TCA NULL MODEL	2,279.90	-1.13	95.0	0.00	-1.13	0.00	-1.13
06/01/2018	#514228 TCA DREW VAR	TCA NULL MODEL	18,933.36	-9.46	95.0	0.00	-9.46	0.00	-9.46
06/01/2018	#514243 TCA DREW VAR	TCA NULL MODEL	12,264.40	-6.13	95.0	0.00	-6.13	0.00	-6.13
06/01/2018	#514254 VINEYARD, MARK	TCA NULL MODEL	6,349.60	-3.17	95.0	0.00	-3.17	0.00	-3.17
06/01/2018	#514264 TCA DREW VAR	TCA NULL MODEL	8,857.53	-4.42	95.0	0.00	-4.42	0.00	-4.42
06/01/2018	#514166 BROWN, CHRISTIAN	TCA NULL MODEL	58,474.40	-29.23	95.0	0.00	-29.23	0.00	-29.23
06/01/2018	#514101 BROWN, DAVID	TCA NULL MODEL	20,700.24	-10.35	95.0	0.00	-10.35	0.00	-10.35
06/01/2018	#514067 BROWN, KAREN	TCA NULL MODEL	73,567.13	-36.78	95.0	0.00	-36.78	0.00	-36.78
06/01/2018	#514308 TCA DREW VAR	TCA NULL MODEL	5,659.74	-2.82	95.0	0.00	-2.82	0.00	-2.82
06/01/2018	#514103 TCA DREW VAR	TCA NULL MODEL	11,878.40	-5.93	95.0	0.00	-5.93	0.00	-5.93
06/01/2018	#514100 JACKSON, JOHN	TCA NULL MODEL	17,643.85	-8.82	95.0	0.00	-8.82	0.00	-8.82
06/01/2018	#514278 KRISHNASWAMY,	TCA NULL MODEL	25,917.00	-12.95	95.0	0.00	-12.95	0.00	-12.95
06/01/2018	#514116 MANGIAMELI, ROSWITHA	TCA NULL MODEL	1,000.24	-0.50	95.0	0.00	-0.50	0.00	-0.50
06/01/2018	#514241 MONTEGUT, MAX	TCA NULL MODEL	18,979.19	-9.48	95.0	0.00	-9.48	0.00	-9.48

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
06/01/2018	#514134	MONTEGUT, TONI	TCA NULL MODEL	12,851.48	-6.42	95.0	0.00	-6.42	-6.42
06/01/2018	#514074	PALMER, BRIAN	TCA NULL MODEL	9,828.81	-4.91	95.0	0.00	-4.91	-4.91
06/01/2018	#514173	PIPIRINGOS, PANDORA	TCA NULL MODEL	1,206.75	-0.60	95.0	0.00	-0.60	-0.60
06/01/2018	#514104	REDDICK, MICHELLE	TCA NULL MODEL	20,470.42	-10.23	95.0	0.00	-10.23	-10.23
06/01/2018	#514154	SHOAF, JOHN	TCA NULL MODEL	500.00	-0.25	95.0	0.00	-0.25	-0.25
06/01/2018	#514097	SMITH, SHARON	TCA NULL MODEL	187.46	-0.09	95.0	0.00	-0.09	-0.09
06/01/2018	#514110	KANNAPPAN, JOTHI	TCA PLANNING FEES	0.00	4,750.00	95.0	0.00	4,512.50	4,512.50
06/01/2018	#514090	MORGAN, TIFFANY	TCA PLANNING FEES	0.00	3,000.00	95.0	0.00	2,850.00	2,850.00
06/01/2018	#514071	HOPPERS, KIMBERLY	TCA PLANNING FEES	0.00	5,000.00	95.0	0.00	4,750.00	4,750.00
06/01/2018	#514100	JACKSON, JOHN	TCA PLANNING FEES	0.00	2,000.00	95.0	0.00	1,900.00	1,900.00
06/01/2018	#514166	BROWN, CHRISTIAN	TCA PLANNING FEES	0.00	5,000.00	95.0	0.00	4,750.00	4,750.00
06/01/2018	#514067	BROWN, KAREN	WOODLANDS AGGRESSIVE	37,030.94	46.29	95.0	0.00	46.29	46.29
06/01/2018	#514071	HOPPERS, KIMBERLY	WOODLANDS AGGRESSIVE	181,083.25	226.35	95.0	0.00	226.35	226.35
06/01/2018	#514074	PALMER, BRIAN	WOODLANDS AGGRESSIVE	39,094.79	52.13	95.0	0.00	52.13	52.13
06/01/2018	#514090	MORGAN, TIFFANY	WOODLANDS AGGRESSIVE	18,924.76	23.66	95.0	0.00	23.66	23.66
06/01/2018	#514102	HAVARD, NICK	WOODLANDS AGGRESSIVE	80,630.33	100.79	95.0	0.00	100.79	100.79
06/01/2018	#514104	REDDICK, MICHELLE	WOODLANDS AGGRESSIVE	85,563.72	106.96	95.0	0.00	106.96	106.96
06/01/2018	#514110	KANNAPPAN, JOTHI	WOODLANDS AGGRESSIVE	30,672.94	38.34	95.0	0.00	38.34	38.34
06/01/2018	#514119	MURILLO, VICTOR	WOODLANDS AGGRESSIVE	16,317.07	20.40	95.0	0.00	20.40	20.40
06/01/2018	#514134	MONTEGUT, TONI	WOODLANDS AGGRESSIVE	130,336.58	162.92	95.0	0.00	162.92	162.92
06/01/2018	#514139	JACKSON, JENNIFER	WOODLANDS AGGRESSIVE	23,897.16	29.87	95.0	0.00	29.87	29.87
06/01/2018	#514145	DURCHHOLZ, BRADLEY	WOODLANDS AGGRESSIVE	84,937.74	106.17	95.0	0.00	106.17	106.17
06/01/2018	#514149	VINEYARD, MARK	WOODLANDS AGGRESSIVE	23,840.08	29.80	95.0	0.00	29.80	29.80
06/01/2018	#514156	MANGIAMELI, PAUL	WOODLANDS AGGRESSIVE	57,672.48	72.09	95.0	0.00	72.09	72.09
06/01/2018	#514161	RAYBURN, CHRISTOPHER	WOODLANDS AGGRESSIVE	50,332.19	62.92	95.0	0.00	62.92	62.92
06/01/2018	#514166	BROWN, CHRISTIAN	WOODLANDS AGGRESSIVE	233,663.93	292.08	95.0	0.00	292.08	292.08
06/01/2018	#514170	MC ELHOE, GEORGE	WOODLANDS AGGRESSIVE	1,939.62	4.17	95.0	0.00	4.17	4.17
06/01/2018	#514220	KRISHNASWAMY,	WOODLANDS AGGRESSIVE	8,398.90	10.50	95.0	0.00	10.50	10.50
06/01/2018	#514237	DURCHHOLZ, BRADLEY	WOODLANDS AGGRESSIVE	150,697.47	188.37	95.0	0.00	188.37	188.37
06/01/2018	#514240	BROWN, CHRISTIAN	WOODLANDS AGGRESSIVE	15,904.45	19.88	95.0	0.00	19.88	19.88
06/01/2018	#514241	MONTEGUT, MAX	WOODLANDS AGGRESSIVE	92,391.82	115.49	95.0	0.00	115.49	115.49
06/01/2018	#514251	JACKSON, CLIFF	WOODLANDS AGGRESSIVE	43,150.43	53.94	95.0	0.00	53.94	53.94
06/01/2018	#514256	JACKSON, JENNIFER	WOODLANDS AGGRESSIVE	36,302.29	45.38	95.0	0.00	45.38	45.38
06/01/2018	#514266	MANGIAMELI, ROSWITHA	WOODLANDS AGGRESSIVE	12,065.19	15.08	95.0	0.00	15.08	15.08
06/01/2018	#514270	MANGIAMELI, PAUL	WOODLANDS AGGRESSIVE	20,228.17	25.29	95.0	0.00	25.29	25.29
06/01/2018	#514278	KRISHNASWAMY,	WOODLANDS AGGRESSIVE	61,703.49	77.13	95.0	0.00	77.13	77.13

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
06/01/2018	#514305 RAYBURN, CHRISTINE	WOODLANDS AGGRESSIVE	281,353.03	351.69	95.0	0.00	351.69	0.00	351.69
06/01/2018	#545632 MARTIN, BRANDON	WOODLANDS AGGRESSIVE	12,733.94	15.92	95.0	0.00	15.92	0.00	15.92
06/01/2018	#514067 BROWN, KAREN	WOODLANDS AGGRESSIVE	37,030.94	-10.80	95.0	0.00	-10.80	0.00	-10.80
06/01/2018	#514071 HOPPERS, KIMBERLY	WOODLANDS AGGRESSIVE	181,083.25	-52.81	95.0	0.00	-52.81	0.00	-52.81
06/01/2018	#514074 PALMER, BRIAN	WOODLANDS AGGRESSIVE	39,094.79	-11.40	95.0	0.00	-11.40	0.00	-11.40
06/01/2018	#514090 MORGAN, TIFFANY	WOODLANDS AGGRESSIVE	18,924.76	-5.51	95.0	0.00	-5.51	0.00	-5.51
06/01/2018	#514102 HAVARD, NICK	WOODLANDS AGGRESSIVE	80,630.33	-23.51	95.0	0.00	-23.51	0.00	-23.51
06/01/2018	#514104 REDDICK, MICHELLE	WOODLANDS AGGRESSIVE	85,563.72	-24.95	95.0	0.00	-24.95	0.00	-24.95
06/01/2018	#514110 KANNAPPAN, JOTHI	WOODLANDS AGGRESSIVE	30,672.94	-8.94	95.0	0.00	-8.94	0.00	-8.94
06/01/2018	#514119 MURILLO, VICTOR	WOODLANDS AGGRESSIVE	16,317.07	-4.75	95.0	0.00	-4.75	0.00	-4.75
06/01/2018	#514134 MONTEGUT, TONI	WOODLANDS AGGRESSIVE	130,336.58	-38.01	95.0	0.00	-38.01	0.00	-38.01
06/01/2018	#514139 JACKSON, JENNIFER	WOODLANDS AGGRESSIVE	23,897.16	-6.97	95.0	0.00	-6.97	0.00	-6.97
06/01/2018	#514145 DURCHHOLZ, BRADLEY	WOODLANDS AGGRESSIVE	84,937.74	-24.77	95.0	0.00	-24.77	0.00	-24.77
06/01/2018	#514149 VINEYARD, MARK	WOODLANDS AGGRESSIVE	23,840.08	-6.95	95.0	0.00	-6.95	0.00	-6.95
06/01/2018	#514156 MANGIAMELI, PAUL	WOODLANDS AGGRESSIVE	57,672.48	-16.82	95.0	0.00	-16.82	0.00	-16.82
06/01/2018	#514161 RAYBURN, CHRISTOPHER	WOODLANDS AGGRESSIVE	50,332.19	-14.68	95.0	0.00	-14.68	0.00	-14.68
06/01/2018	#514166 BROWN, CHRISTIAN	WOODLANDS AGGRESSIVE	233,663.93	-68.15	95.0	0.00	-68.15	0.00	-68.15
06/01/2018	#514170 MC ELHOE, GEORGE	WOODLANDS AGGRESSIVE	1,939.62	-0.56	95.0	0.00	-0.56	0.00	-0.56
06/01/2018	#514220 KRISHNASWAMY,	WOODLANDS AGGRESSIVE	8,398.90	-2.44	95.0	0.00	-2.44	0.00	-2.44
06/01/2018	#514237 DURCHHOLZ, BRADLEY	WOODLANDS AGGRESSIVE	150,697.47	-43.95	95.0	0.00	-43.95	0.00	-43.95
06/01/2018	#514240 BROWN, CHRISTIAN	WOODLANDS AGGRESSIVE	15,904.45	-4.63	95.0	0.00	-4.63	0.00	-4.63
06/01/2018	#514241 MONTEGUT, MAX	WOODLANDS AGGRESSIVE	92,391.82	-26.94	95.0	0.00	-26.94	0.00	-26.94
06/01/2018	#514251 JACKSON, CLIFF	WOODLANDS AGGRESSIVE	43,150.43	-12.58	95.0	0.00	-12.58	0.00	-12.58
06/01/2018	#514256 JACKSON, JENNIFER	WOODLANDS AGGRESSIVE	36,302.29	-10.58	95.0	0.00	-10.58	0.00	-10.58
06/01/2018	#514266 MANGIAMELI, ROSWITHA	WOODLANDS AGGRESSIVE	12,065.19	-3.51	95.0	0.00	-3.51	0.00	-3.51
06/01/2018	#514270 MANGIAMELI, PAUL	WOODLANDS AGGRESSIVE	20,228.17	-5.89	95.0	0.00	-5.89	0.00	-5.89
06/01/2018	#514278 KRISHNASWAMY,	WOODLANDS AGGRESSIVE	61,703.49	-17.99	95.0	0.00	-17.99	0.00	-17.99
06/01/2018	#514305 RAYBURN, CHRISTINE	WOODLANDS AGGRESSIVE	281,353.03	-82.06	95.0	0.00	-82.06	0.00	-82.06
06/01/2018	#545632 MARTIN, BRANDON	WOODLANDS AGGRESSIVE	12,733.94	-3.71	95.0	0.00	-3.71	0.00	-3.71
06/01/2018	#514111 O'DONNELL, KATHY	WOODLANDS CONSERVATIVE	47,518.32	59.40	95.0	0.00	59.40	0.00	59.40
06/01/2018	#514127 BRAKEFIELD, JONMARC	WOODLANDS CONSERVATIVE	66,669.88	83.34	95.0	0.00	83.34	0.00	83.34
06/01/2018	#514167 O'DONNELL, JAMES	WOODLANDS CONSERVATIVE	237,587.47	296.98	95.0	0.00	296.98	0.00	296.98
06/01/2018	#514202 BRAKEFIELD, JONMARC	WOODLANDS CONSERVATIVE	25,434.73	31.79	95.0	0.00	31.79	0.00	31.79
06/01/2018	#514111 O'DONNELL, KATHY	WOODLANDS CONSERVATIVE	47,518.32	-13.85	95.0	0.00	-13.85	0.00	-13.85
06/01/2018	#514127 BRAKEFIELD, JONMARC	WOODLANDS CONSERVATIVE	66,669.88	-19.44	95.0	0.00	-19.44	0.00	-19.44
06/01/2018	#514167 O'DONNELL, JAMES	WOODLANDS CONSERVATIVE	237,587.47	-69.29	95.0	0.00	-69.29	0.00	-69.29

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
06/01/2018	#514202	BRAKEFIELD, JONMARC	WOODLANDS CONSERVATIVE	25,434.73	-7.41	95.0	0.00	-7.41	-7.41
06/01/2018	#514064	BRAKEFIELD, JONMARC	WOODLANDS MODERATE	14,049.84	17.56	95.0	0.00	17.56	17.56
06/01/2018	#514100	JACKSON, JOHN	WOODLANDS MODERATE	56.54	4.17	95.0	0.00	4.17	4.17
06/01/2018	#514116	MANGIAMELI, ROSWITHA	WOODLANDS MODERATE	321,870.90	402.34	95.0	0.00	402.34	402.34
06/01/2018	#514140	HOUSER, JAQUELINE	WOODLANDS MODERATE	162,141.53	202.68	95.0	0.00	202.68	202.68
06/01/2018	#514294	FIRST J TRUST	WOODLANDS MODERATE	95,718.68	119.65	95.0	0.00	119.65	119.65
06/01/2018	#514314	SMITH, KAREN	WOODLANDS MODERATE	4,184.05	5.23	95.0	0.00	5.23	5.23
06/01/2018	#514064	BRAKEFIELD, JONMARC	WOODLANDS MODERATE	14,049.84	-4.09	95.0	0.00	-4.09	-4.09
06/01/2018	#514100	JACKSON, JOHN	WOODLANDS MODERATE	56.54	-0.01	95.0	0.00	-0.01	-0.01
06/01/2018	#514116	MANGIAMELI, ROSWITHA	WOODLANDS MODERATE	321,870.90	-93.87	95.0	0.00	-93.87	-93.87
06/01/2018	#514140	HOUSER, JAQUELINE	WOODLANDS MODERATE	162,141.53	-47.29	95.0	0.00	-47.29	-47.29
06/01/2018	#514294	FIRST J TRUST	WOODLANDS MODERATE	95,718.68	-27.91	95.0	0.00	-27.91	-27.91
06/01/2018	#514314	SMITH, KAREN	WOODLANDS MODERATE	4,184.05	-1.22	95.0	0.00	-1.22	-1.22
* RIA SUBTOTAL *				\$7,410,800.59	\$22,606.92	\$0.00	\$21,633.99	\$0.00	\$21,633.99
*** BROKER TRANSACTIONS TOTAL ***				\$7,409,432.43	\$23,624.36	\$0.00	\$22,600.58	\$0.00	\$22,600.58

ADJUSTMENTS

06/20/2018	OTHER: OTHER RBC OUTSIDE MANAGEMENT FEE	2,850.00
06/20/2018	OTHER: OTHER RBC WRITE OFF DEBIT BALANCE	-2,850.00
*** ADJUSTMENTS TOTAL ***		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$22,600.58
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$22,600.58
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