



Concorde Investment Services, LLC
COMMISSION STATEMENT
February 28, 2017

03/06/2017
 Page No. 1

JEFFREY KAUFMAN
 801 Gray Oak Drive

BROKER #: K119
 BRANCH: JEFFREY KAUFMAN

Columbia, MO 65201

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/16/2017	MEDLEY, LISA	AM FUNDS AMERICAN BALANCED A	31.02	0.31	0.00	0.31	90.0	0.28
02/16/2017	MEDLEY, LISA	AM FUNDS AMERICAN BALANCED A	31.02	0.31	0.00	0.31	90.0	0.28
02/06/2017	STALEY, JOSELYN	AM FUNDS EUROPACIFIC GROWTH FUND A	200.00	10.00	0.00	10.00	90.0	9.00
02/09/2017	CROWLEY, MICHA	AM FUNDS EUROPACIFIC GROWTH FUND A	184.62	3.69	0.00	3.69	90.0	3.32
02/06/2017	LEHMAN, COLBY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	4.50
02/06/2017	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	150.00	7.50	0.00	7.50	90.0	6.75
02/09/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	369.23	7.38	0.00	7.38	90.0	6.64
02/13/2017	GUIER, CLINT	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.00	0.00	2.00	90.0	1.80
02/13/2017	GUIER, CLINT	AM FUNDS GROWTH FUND OF AMERICA A	350.00	7.00	0.00	7.00	90.0	6.30
02/13/2017	GUIER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	450.00	9.00	0.00	9.00	90.0	8.10
02/15/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	100.00	3.75	0.00	3.75	90.0	3.38
02/15/2017	GRIFFIN, SHAWN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
02/16/2017	EULTGEN, VICKI	AM FUNDS GROWTH FUND OF AMERICA A	21.83	0.22	0.00	0.22	90.0	0.20
02/16/2017	EULTGEN, VICKI	AM FUNDS GROWTH FUND OF AMERICA A	37.50	0.38	0.00	0.38	90.0	0.34
02/16/2017	TURNER, JOSH	AM FUNDS GROWTH FUND OF AMERICA A	17.81	0.18	0.00	0.18	90.0	0.16
02/16/2017	TURNER, JOSH	AM FUNDS GROWTH FUND OF AMERICA A	17.82	0.18	0.00	0.18	90.0	0.16
02/16/2017	MURPHY, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	20.63	0.21	0.00	0.21	90.0	0.19
02/16/2017	MURPHY, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	130.13	1.30	0.00	1.30	90.0	1.17
02/16/2017	MURPHY, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	41.25	0.41	0.00	0.41	90.0	0.37
02/16/2017	MURPHY, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	260.25	2.60	0.00	2.60	90.0	2.34
02/16/2017	VICTOR, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	14.10	0.14	0.00	0.14	90.0	0.13
02/16/2017	VICTOR, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	14.10	0.14	0.00	0.14	90.0	0.13
02/16/2017	TURNER, COREY	AM FUNDS GROWTH FUND OF AMERICA A	20.63	0.21	0.00	0.21	90.0	0.19
02/16/2017	TURNER, COREY	AM FUNDS GROWTH FUND OF AMERICA A	130.11	1.30	0.00	1.30	90.0	1.17
02/16/2017	RYAN, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	33.75	0.34	0.00	0.34	90.0	0.31
02/16/2017	RYAN, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	33.75	0.34	0.00	0.34	90.0	0.31

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
02/16/2017	UNZICKER, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	46.90	0.47	0.00	0.47	90.0	0.42
02/16/2017	UNZICKER, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	46.90	0.47	0.00	0.47	90.0	0.42
02/16/2017	EULTGEN, VICKI	AM FUNDS ICA A	21.83	0.22	0.00	0.22	90.0	0.20
02/16/2017	EULTGEN, VICKI	AM FUNDS ICA A	37.50	0.38	0.00	0.38	90.0	0.34
02/16/2017	MURPHY, MELISSA	AM FUNDS ICA A	16.50	0.17	0.00	0.17	90.0	0.15
02/16/2017	MURPHY, MELISSA	AM FUNDS ICA A	104.10	1.04	0.00	1.04	90.0	0.94
02/16/2017	RIDGWAY, BENJAMIN	AM FUNDS ICA A	25.00	0.25	0.00	0.25	90.0	0.23
02/16/2017	RIDGWAY, BENJAMIN	AM FUNDS ICA A	25.00	0.25	0.00	0.25	90.0	0.23
02/16/2017	SUTTON, CHRISTOPHER	AM FUNDS ICA A	42.19	0.42	0.00	0.42	90.0	0.38
02/16/2017	SUTTON, CHRISTOPHER	AM FUNDS ICA A	56.00	0.56	0.00	0.56	90.0	0.50
02/16/2017	ELMORE, STUART	AM FUNDS ICA A	46.61	0.47	0.00	0.47	90.0	0.42
02/16/2017	ELMORE, STUART	AM FUNDS ICA A	46.61	0.47	0.00	0.47	90.0	0.42
02/16/2017	MEDLEY, LISA	AM FUNDS ICA A	31.02	0.31	0.00	0.31	90.0	0.28
02/16/2017	MEDLEY, LISA	AM FUNDS ICA A	31.02	0.31	0.00	0.31	90.0	0.28
02/16/2017	MURPHY, MELISSA	AM FUNDS INTL GROWTH AND INCOME A	16.50	0.17	0.00	0.17	90.0	0.15
02/16/2017	MURPHY, MELISSA	AM FUNDS INTL GROWTH AND INCOME A	104.09	1.04	0.00	1.04	90.0	0.94
02/06/2017	MO/TOD	AM FUNDS NEW ECONOMY A	60.00	3.00	0.00	3.00	90.0	2.70
02/09/2017	CROWLEY, MICHA	AM FUNDS NEW ECONOMY A	184.61	3.69	0.00	3.69	90.0	3.32
02/16/2017	ROBINETT, CHARISSA	AM FUNDS NEW ECONOMY A	26.11	0.26	0.00	0.26	90.0	0.23
02/16/2017	ROBINETT, CHARISSA	AM FUNDS NEW ECONOMY A	26.11	0.26	0.00	0.26	90.0	0.23
02/16/2017	SUTTON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	18.08	0.18	0.00	0.18	90.0	0.16
02/16/2017	SUTTON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	24.00	0.24	0.00	0.24	90.0	0.22
02/16/2017	EULTGEN, VICKI	AM FUNDS NEW PERSPECTIVE A	14.55	0.15	0.00	0.15	90.0	0.14
02/16/2017	EULTGEN, VICKI	AM FUNDS NEW PERSPECTIVE A	25.00	0.25	0.00	0.25	90.0	0.23
02/16/2017	TURNER, JOSH	AM FUNDS NEW PERSPECTIVE A	17.82	0.18	0.00	0.18	90.0	0.16
02/16/2017	TURNER, JOSH	AM FUNDS NEW PERSPECTIVE A	17.81	0.18	0.00	0.18	90.0	0.16
02/16/2017	MURPHY, MELISSA	AM FUNDS NEW PERSPECTIVE A	16.49	0.16	0.00	0.16	90.0	0.14
02/16/2017	MURPHY, MELISSA	AM FUNDS NEW PERSPECTIVE A	104.10	1.04	0.00	1.04	90.0	0.94
02/16/2017	ROBINETT, CHARISSA	AM FUNDS NEW PERSPECTIVE A	26.11	0.26	0.00	0.26	90.0	0.23
02/16/2017	ROBINETT, CHARISSA	AM FUNDS NEW PERSPECTIVE A	26.11	0.26	0.00	0.26	90.0	0.23
02/16/2017	VICTOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	14.10	0.14	0.00	0.14	90.0	0.13
02/16/2017	VICTOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	14.10	0.14	0.00	0.14	90.0	0.13
02/16/2017	TURNER, COREY	AM FUNDS NEW PERSPECTIVE A	20.63	0.21	0.00	0.21	90.0	0.19

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
02/16/2017	TURNER, COREY	AM FUNDS NEW PERSPECTIVE A	130.13	1.30	0.00	1.30	90.0	1.17
02/09/2017	CROWLEY, MICHA	AM FUNDS NEW WORLD A	184.62	3.69	0.00	3.69	90.0	3.32
02/16/2017	EULTGEN, VICKI	AM FUNDS NEW WORLD A	7.28	0.07	0.00	0.07	90.0	0.06
02/16/2017	EULTGEN, VICKI	AM FUNDS NEW WORLD A	12.50	0.13	0.00	0.13	90.0	0.12
02/16/2017	TURNER, JOSH	AM FUNDS NEW WORLD A	17.81	0.18	0.00	0.18	90.0	0.16
02/16/2017	TURNER, JOSH	AM FUNDS NEW WORLD A	17.81	0.18	0.00	0.18	90.0	0.16
02/16/2017	MURPHY, MELISSA	AM FUNDS NEW WORLD A	4.13	0.04	0.00	0.04	90.0	0.04
02/16/2017	MURPHY, MELISSA	AM FUNDS NEW WORLD A	26.03	0.26	0.00	0.26	90.0	0.23
02/16/2017	MURPHY, MICHAEL	AM FUNDS NEW WORLD A	41.25	0.41	0.00	0.41	90.0	0.37
02/16/2017	MURPHY, MICHAEL	AM FUNDS NEW WORLD A	260.25	2.60	0.00	2.60	90.0	2.34
02/16/2017	VICTOR, THOMAS	AM FUNDS NEW WORLD A	14.08	0.14	0.00	0.14	90.0	0.13
02/16/2017	VICTOR, THOMAS	AM FUNDS NEW WORLD A	14.08	0.14	0.00	0.14	90.0	0.13
02/16/2017	TURNER, COREY	AM FUNDS NEW WORLD A	20.63	0.21	0.00	0.21	90.0	0.19
02/16/2017	TURNER, COREY	AM FUNDS NEW WORLD A	130.13	1.30	0.00	1.30	90.0	1.17
02/16/2017	RYAN, MATTHEW	AM FUNDS NEW WORLD A	33.75	0.34	0.00	0.34	90.0	0.31
02/16/2017	RYAN, MATTHEW	AM FUNDS NEW WORLD A	33.75	0.34	0.00	0.34	90.0	0.31
02/16/2017	EULTGEN, VICKI	AM FUNDS SMALLCAP WORLD A	7.28	0.07	0.00	0.07	90.0	0.06
02/16/2017	EULTGEN, VICKI	AM FUNDS SMALLCAP WORLD A	12.50	0.13	0.00	0.13	90.0	0.12
02/16/2017	TURNER, JOSH	AM FUNDS SMALLCAP WORLD A	17.81	0.18	0.00	0.18	90.0	0.16
02/16/2017	TURNER, JOSH	AM FUNDS SMALLCAP WORLD A	17.81	0.18	0.00	0.18	90.0	0.16
02/16/2017	MURPHY, MELISSA	AM FUNDS SMALLCAP WORLD A	8.25	0.08	0.00	0.08	90.0	0.07
02/16/2017	MURPHY, MELISSA	AM FUNDS SMALLCAP WORLD A	52.05	0.52	0.00	0.52	90.0	0.47
02/16/2017	VICTOR, THOMAS	AM FUNDS SMALLCAP WORLD A	14.10	0.14	0.00	0.14	90.0	0.13
02/16/2017	VICTOR, THOMAS	AM FUNDS SMALLCAP WORLD A	14.10	0.14	0.00	0.14	90.0	0.13
02/16/2017	TURNER, COREY	AM FUNDS SMALLCAP WORLD A	20.61	0.21	0.00	0.21	90.0	0.19
02/16/2017	TURNER, COREY	AM FUNDS SMALLCAP WORLD A	130.13	1.30	0.00	1.30	90.0	1.17
02/16/2017	HOLTKAMP, MARIGENE	AM FUNDS U.S. GOVERNMENT SECURITIES	39.95	0.40	0.00	0.40	90.0	0.36
02/16/2017	HOLTKAMP, MARIGENE	AM FUNDS U.S. GOVERNMENT SECURITIES	187.50	1.88	0.00	1.88	90.0	1.69
02/16/2017	TOMPKINS, ERIKA	AM FUNDS WASHINGTON MUTUAL INVESTOR	62.10	0.62	0.00	0.62	90.0	0.56
02/16/2017	TOMPKINS, ERIKA	AM FUNDS WASHINGTON MUTUAL INVESTOR	103.50	1.04	0.00	1.04	90.0	0.94
02/06/2017	GRIFFITH, CHERYL	FIDELITY ADV LARGE CAP	75.00	1.50	0.00	1.50	90.0	1.35
* MUTUAL FUNDS SUBTOTAL *			\$5,953.97	\$103.09	\$0.00	\$103.09		\$92.82
*** BROKER TRANSACTIONS TOTAL ***			\$5,953.97	\$103.09	\$0.00	\$103.09		\$92.82

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: JEFF/JASON KAUFMAN/KEMBLE #349								
02/06/2017	JOHNSON, KASEY	AM FUNDS GROWTH FUND OF AMERICA A	250.00	6.87	0.00	6.87	90.0	6.18
02/06/2017	PRICE, KATHRYN	AM FUNDS GROWTH FUND OF AMERICA A	350.00	9.62	0.00	9.62	90.0	8.66
02/06/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	300.00	8.25	0.00	8.25	90.0	7.43
02/15/2017	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
02/16/2017	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	41.05	0.23	0.00	0.23	90.0	0.21
02/16/2017	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	24.63	0.14	0.00	0.14	90.0	0.13
02/06/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS ICA A	300.00	8.25	0.00	8.25	90.0	7.43
02/16/2017	HAGUE, VICTORIA	AM FUNDS ICA A	34.06	0.19	0.00	0.19	90.0	0.17
02/16/2017	HAGUE, VICTORIA	AM FUNDS ICA A	200.00	1.10	0.00	1.10	90.0	0.99
02/16/2017	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	8.51	0.05	0.00	0.05	90.0	0.05
02/16/2017	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	50.00	0.27	0.00	0.27	90.0	0.24
02/16/2017	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	24.63	0.14	0.00	0.14	90.0	0.13
02/16/2017	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	41.05	0.23	0.00	0.23	90.0	0.21
* JEFF/JASON KAUFMAN/KEMBLE SUBTOTAL *			\$1,723.93	\$38.09	\$0.00	\$38.09		\$34.31
*** SPLIT TRANSACTIONS TOTAL ***			\$1,723.93	\$38.09	\$0.00	\$38.09		\$34.31

<u>VERRIDE TRANSACTIONS</u>								
BROKER: JASON KEMBLE #348								
02/10/2017	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	2.00
02/10/2017	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	90.0	2.00
* JASON KEMBLE SUBTOTAL *			\$200.00	\$10.00	\$0.00	\$10.00		\$4.00
*** OVERRIDE TRANSACTIONS TOTAL ***			\$200.00	\$10.00	\$0.00	\$10.00		\$4.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$92.82
Split Transactions	\$34.31
Override Transactions	\$4.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$131.13
Year-to-date Gross	\$10,600.55
Year-to-date Earnings	\$11,942.98