



All Companies
COMMISSION STATEMENT
August 15, 2018

08/14/2018
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EDWARD F. TATE
4620 CUMMINGS DRIVE E

Fort Worth, TX 76180-

BROKER #: 9526
BRANCH: HOME OFFICE

COMMISSION STATEMENT for EDWARD F. TATE

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

| | | | | | | | | | |
|------------|-----------------------------------------|------------------------------------|---|---------------------------|----------|---------|--------|---------|---------|
| 07/31/2018 | TRAILS | -FIDELITY ADVISOR FUNDS | B | 0.44 | 0.44 | 0.00 | 0.44 | 65.0 | 0.29 |
| 08/01/2018 | 00081406712 MEYERS, RYAN/SOFIA | THE GROWTH FUND OF AMERICA-529A | B | 60.00 | 3.00 | 0.00 | 3.00 | 65.0 | 1.95 |
| 08/01/2018 | 00081406712 MEYERS, RYAN/SOFIA | THE GROWTH FUND OF AMERICA-529A | B | 100.00 | 5.00 | 0.00 | 5.00 | 65.0 | 3.25 |
| 07/25/2018 | 00085223378 QUINTANA, ROMEL | AMERICAN FUNDS CAPITAL WORLD GROWT | B | 100.00 | 5.00 | 0.00 | 5.00 | 65.0 | 3.25 |
| 07/26/2018 | 04001088582 DALAPATHY, SURESH | COLLEGE 2030 FUND-529A | B | 250.00 | 8.75 | 0.00 | 8.75 | 65.0 | 5.69 |
| 07/26/2018 | 04001088651 MADDIGATLA, USHA | AMERICAN FUNDS 2040 TARGET DATE-A | B | 250.00 | 12.50 | 0.00 | 12.50 | 65.0 | 8.13 |
| 08/01/2018 | 07900035229 LUNDREN, TERRY/STEPHANIE | ALLIANCE PREMIER GROWTH FD CL B | B | 100.00 | 4.00 | 0.00 | 4.00 | 65.0 | 2.60 |
| | | | | * MUTUAL FUNDS SUBTOTAL * | \$860.44 | \$38.69 | \$0.00 | \$38.69 | \$25.16 |

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

| | | | | | | | | | |
|------------|-------------------|-------------------------------|--|--------------------------------------|----------|---------|--------|---------|---------|
| 08/15/2018 | TRAIL COMMISSIONS | FRANKLIN TEMPLETON GROUP FEES | | 0.00 | 2.64 | 0.00 | 2.64 | 65.0 | 1.72 |
| | | | | * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | \$0.00 | \$2.64 | \$0.00 | \$2.64 | \$1.72 |
| | | | | *** BROKER TRANSACTIONS TOTAL *** | \$860.44 | \$41.33 | \$0.00 | \$41.33 | \$26.88 |

ADJUSTMENTS

| | | | | | | | | | |
|------------|--|------------------------------|--|---------------------------|--------|--------|--------|--------|-----------|
| 08/15/2018 | | E & O INSURANCE: 1/2 MONTHLY | | 0.00 | 0.00 | 0.00 | 0.00 | | -100.00 |
| 08/15/2018 | | TECH: MONTHLY TECH SERVICES | | 0.00 | 0.00 | 0.00 | 0.00 | | -27.50 |
| | | | | *** ADJUSTMENTS TOTAL *** | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$-127.50 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-----------|
| Broker Transactions | \$26.88 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-127.50 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$7.80 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|-------------------|
| Balance Forward | \$-92.82 |
| Year-to-date Earnings | \$2,240.57 |