



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
 February 28, 2017

03/06/2017  
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JOEL WOODS  
 1412 Blue Quail Run  
  
 Crowley, TX 76036-

BROKER #: W143  
 BRANCH: WOODS FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: REIT</u></b>								
01/31/2017	SHERRIEB, HAROLD	CARTER VALIDUS MC REIT II CLASS T	0.00	64.20	0.00	64.20	92.0	59.06
02/16/2017	CONNELL, TOMMY	PREFERRED APARTMENT COMMUNITIES	20,000.00	1,400.00	0.00	1,400.00	92.0	1,288.00
* REIT SUBTOTAL *			\$20,000.00	\$1,464.20	\$0.00	\$1,464.20		\$1,347.06
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
01/30/2017	TMICO	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.15	0.00	0.15	90.0	0.14
01/30/2017	TMICO	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.79	0.00	0.79	90.0	0.71
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$0.94	\$0.00	\$0.94		\$0.85
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/06/2017	ST JOHN, FINN	AMERICAN FUNDS COLLEGE 2033 FUND-52	6,000.00	210.00	0.00	210.00	90.0	189.00
02/02/2017	THREADGILL, MICHELLE	PRINCIPAL FUNDS SAM BALANCED PORT A	0.00	5.37	0.00	5.37	90.0	4.83
02/02/2017	THREADGILL, MICHELLE	PRINCIPAL FUNDS STRATEGIC GROWTH A	0.00	4.11	0.00	4.11	90.0	3.70
* MUTUAL FUNDS SUBTOTAL *			\$6,000.00	\$219.48	\$0.00	\$219.48		\$197.53
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
01/31/2017	MARKS, RICHARD	JNL PERSPECTIVE L	48,636.04	52.69	0.00	52.69	90.0	47.42
* VARIABLE ANNUITIES SUBTOTAL *			\$48,636.04	\$52.69	\$0.00	\$52.69		\$47.42
*** BROKER TRANSACTIONS TOTAL ***			\$74,636.04	\$1,737.31	\$0.00	\$1,737.31		\$1,592.86

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: MARK-S142 ALTS SMITH #368</u></b>								
01/30/2017	TMICO	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.35	0.00	0.35	49.0	0.15
		* MARK-S142 ALTS SMITH SUBTOTAL *	\$0.00	\$0.35	\$0.00	\$0.35		\$0.15
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$0.00	\$0.35	\$0.00	\$0.35		\$0.15

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,592.86
Split Transactions	\$0.00
Override Transactions	\$0.15
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,593.01
Year-to-date Gross	\$15,832.31
Year-to-date Earnings	\$45,293.71

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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