

# STATEMENT

June 20, 2018

JENNE SEVILLA

BROKER #: 032

BRANCH: LA BRANCH

, CA

## COMMISSION STATEMENT for JENNE SEVILLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: STOCKS

05/02/2018 # MYERS, STANLEY	***BP P L C SPONSORED ADR	S	6,726.51	0.00	0.00	0.00	0.00	90.0	0.00
05/03/2018 # MYERS, STANLEY	ISHARES CORE S&P 500 ETF	B	37,105.45	0.00	0.00	0.00	0.00	90.0	0.00
05/03/2018 # MYERS, STANLEY	ISHARES CORE S&P MID CAP ETF	B	12,770.45	0.00	0.00	0.00	0.00	90.0	0.00
05/03/2018 # MYERS, STANLEY	ISHARES TR JPMORGAN USD EMERGING MK B		8,355.66	0.00	0.00	0.00	0.00	90.0	0.00
05/03/2018 # MYERS, STANLEY	ISHARES TRUST IBOXX \$ INVESTOP INVE B		48,665.45	0.00	0.00	0.00	0.00	90.0	0.00
05/03/2018 # MYERS, STANLEY	ISHARES TRUST ISHARES U S TREASURY B		40,905.48	0.00	0.00	0.00	0.00	90.0	0.00
05/03/2018 # MYERS, STANLEY	SPDR SERIES TRUST BARCLAYS HIGH YIE B		8,483.75	0.00	0.00	0.00	0.00	90.0	0.00
05/03/2018 # MYERS, STANLEY	VANGUARD TAX-MANAGED FD MSCI EAFE E B		34,127.67	0.00	0.00	0.00	0.00	90.0	0.00
05/03/2018 # MYERS, STANLEY	VANGUARD TOTAL INTERNATIONAL BOND E B		17,429.84	0.00	0.00	0.00	0.00	90.0	0.00
05/04/2018 # MARY JO HERRIMAN EXEC	***AMARIN CORPORATION PLC	S	2,666.14	75.00	0.00	0.00	75.00	90.0	67.50
05/04/2018 # GOLDMAN, JASON	COMMERCIAL METALS CO	S	10,877.40	75.00	0.00	0.00	75.00	90.0	67.50
05/04/2018 # GOLDMAN, JASON	ENBRIDGE ENERGY PARTNERS L P	B	11,996.79	75.00	0.00	0.00	75.00	90.0	67.50
05/04/2018 # MYERS, STANLEY	ISHARES CORE S&P 500 ETF	B	800.18	0.00	0.00	0.00	0.00	90.0	0.00
05/04/2018 # MYERS, STANLEY	ISHARES CORE S&P MID CAP ETF	B	377.72	0.00	0.00	0.00	0.00	90.0	0.00
05/04/2018 # MYERS, STANLEY	ISHARES TR JPMORGAN USD EMERGING MK B		325.04	0.00	0.00	0.00	0.00	90.0	0.00
05/04/2018 # MYERS, STANLEY	ISHARES TRUST IBOXX \$ INVESTOP INVE B		2,062.22	0.00	0.00	0.00	0.00	90.0	0.00
05/04/2018 # MYERS, STANLEY	ISHARES TRUST ISHARES U S TREASURY B		1,735.17	0.00	0.00	0.00	0.00	90.0	0.00
05/04/2018 # MYERS, STANLEY	SPDR SERIES TRUST BARCLAYS HIGH YIE B		356.96	0.00	0.00	0.00	0.00	90.0	0.00
05/04/2018 # MYERS, STANLEY	VANGUARD TAX-MANAGED FD MSCI EAFE E B		1,388.80	0.00	0.00	0.00	0.00	90.0	0.00
05/04/2018 # MYERS, STANLEY	VANGUARD TOTAL INTERNATIONAL BOND E B		709.89	0.00	0.00	0.00	0.00	90.0	0.00
05/11/2018 # READ, RALPH	***POWER METALS CORP	B	396.00	75.00	0.00	0.00	75.00	90.0	67.50
05/14/2018 # AGUIAR, A GENE	ARC RESOURCES LTD	S	8,474.48	75.00	0.00	0.00	75.00	90.0	67.50
05/14/2018 # AGUIAR, A GENE	CRESTWOOD EQUITY PARTNERS LP COMMON S		5,451.00	75.00	0.00	0.00	75.00	90.0	67.50
05/14/2018 # AGUIAR, PAMELA	FRONTLINE LTD SHS NEW	S	94.25	0.00	0.00	0.00	0.00	90.0	0.00
05/14/2018 # AGUIAR, A GENE	FRONTLINE LTD SHS NEW	S	206.47	0.00	0.00	0.00	0.00	90.0	0.00
05/14/2018 # AGUIAR, A GENE	GOLDEN OCEAN GROUP LIMITED COMMON S S		437.00	0.00	0.00	0.00	0.00	90.0	0.00
05/14/2018 # AGUIAR, A GENE	MEXICO FUND INC	S	4,610.43	75.00	0.00	0.00	75.00	90.0	67.50
05/14/2018 # AGUIAR, A GENE	NEWALTA CORPORATION	S	494.55	0.00	0.00	0.00	0.00	90.0	0.00
05/14/2018 # AGUIAR, PAMELA	QUALITY CARE PROPERTIES INC COMMON S		2,109.82	75.00	0.00	0.00	75.00	90.0	67.50
05/15/2018 # FREEMAN, FRANK	CONOCOPHILLIPS	S	2,240.00	0.00	0.00	0.00	0.00	90.0	0.00
05/23/2018 # AGUIAR, A GENE	KRANESHARES TR	B	10,143.00	75.00	0.00	0.00	75.00	90.0	67.50

COMMISSION STATEMENT for JENNE SEVILLA

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
* STOCKS SUBTOTAL *				\$282,523.57	\$675.00	\$0.00	\$0.00	\$675.00		\$607.50
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
04/30/2018 #		DAIN TRAILER	S	44,314.41	0.00	0.00	0.00	0.00	90.0	0.00
04/30/2018 #		DAIN TRAILER	S	40,081.81	0.00	0.00	0.00	0.00	90.0	0.00
04/30/2018 #		DAIN TRAILER	S	45,764.13	0.00	0.00	0.00	0.00	90.0	0.00
04/30/2018 #		DAIN TRAILER	S	58,902.80	0.00	0.00	0.00	0.00	90.0	0.00
05/01/2018 #		DAIN TRAILER	B	33,421.00	0.00	0.00	0.00	0.00	90.0	0.00
05/01/2018 #		DAIN TRAILER	B	33,421.00	0.00	0.00	0.00	0.00	90.0	0.00
05/01/2018 #		DAIN TRAILER	B	44,561.00	0.00	0.00	0.00	0.00	90.0	0.00
05/01/2018 #		DAIN TRAILER	B	55,701.00	0.00	0.00	0.00	0.00	90.0	0.00
05/02/2018 #	MYERS, STANLEY	DAIN TRAILER	S	3,131.89	0.00	0.00	0.00	0.00	90.0	0.00
05/02/2018 #	MYERS, STANLEY	DAIN TRAILER	S	26,897.55	0.00	0.00	0.00	0.00	90.0	0.00
05/02/2018 #		DAIN TRAILER	B	16,973.00	0.00	0.00	0.00	0.00	90.0	0.00
05/02/2018 #	MYERS, STANLEY	DAIN TRAILER	S	47,022.70	0.00	0.00	0.00	0.00	90.0	0.00
05/23/2018 #		DAIN TRAILER	S	9,666.13	175.00	0.00	0.00	175.00	90.0	157.50
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$459,858.42	\$175.00	\$0.00	\$0.00	\$175.00		\$157.50
*** BROKER TRANSACTIONS TOTAL ***				\$742,381.99	\$850.00	\$0.00	\$0.00	\$850.00		\$765.00

ADJUSTMENTS

06/20/2018	FEDEX: FEDEX RICHARD KELLY 5/11	-14.04
06/20/2018	FEDEX: FEDEX RICHARD KELLY 5/11	-12.20
06/20/2018	FEDEX: FEDEX RICHARD KELLY 5/3	-14.01
*** ADJUSTMENTS TOTAL ***		\$-40.25

BROKER COMMISSION TOTALS

Broker Transactions	\$765.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-40.25
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$724.75
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