



Concorde Investment Services, LLC
COMMISSION STATEMENT
September 15, 2017

09/13/2017
Page No. 1

JOHN KLETT
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: K142
BRANCH: FORTITUDE INVESTMENT

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|---------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| 08/17/2017 | KLETT, MARTIN | COLUMBIA MARSICO FOCUSED EQUITIES F | 0.00 | 7.39 | 0.00 | 7.39 | 75.0 | 5.54 |
| 08/17/2017 | KLETT, JEAN | FEDERATED HIGH INCOME BOND FUND INC | 0.00 | 4.03 | 0.00 | 4.03 | 75.0 | 3.02 |
| 08/16/2017 | KLETT TRUST, MARTIN | GRIFFIN INSTITUTIONAL ACCESS REAL E | 0.00 | 6.17 | 0.00 | 6.17 | 75.0 | 4.63 |
| 08/17/2017 | KLETT, JEAN | MAINSTAY CUSHING MLP PREMIER FUND C | 0.00 | 5.46 | 0.00 | 5.46 | 75.0 | 4.10 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$23.05 | \$0.00 | \$23.05 | | \$17.29 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$0.00 | \$23.05 | \$0.00 | \$23.05 | | \$17.29 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-----------|
| Broker Transactions | \$17.29 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$-364.42 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|-----------------------|-----------|
| Balance Forward | \$-347.13 |
| Year-to-date Gross | \$146.97 |
| Year-to-date Earnings | \$76.50 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed