



All Companies

03/29/2018  
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# COMMISSION STATEMENT

April 02, 2018

JUDE RAMON L. BARCENAS  
3201 WAREHAM CIRCLE

BROKER #: 9620 Terminated 11/17/2013  
BRANCH: HOME OFFICE

Richardson, TX 75082-

## COMMISSION STATEMENT for JUDE RAMON L. BARCENAS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
03/05/2018	000000000011193 CABRERA, IVY/CHARLES	FRK INTL SMALL CAP GROWTH FUND - CL B		50.00	0.50	0.00	0.50	60.0	0.30
03/05/2018	000000000026245 BUSA, JOSEPHINE JANE	FRK INTL SMALL CAP GROWTH FUND - CL B		50.00	0.50	0.00	0.50	60.0	0.30
03/05/2018	0000000000188476 CABRERA, IVY/CHARLES	FRANKLIN TOTAL RETURN FUND - CLASS B		50.00	0.50	0.00	0.50	60.0	0.30
03/05/2018	0000000000870307 CABRERA, IVY/CHARLES	FRANKLIN STRATEGIC INC FD CL II B		50.00	0.50	0.00	0.50	60.0	0.30
03/05/2018	0000000001603101 CABRERA, IVY/CHARLES	FRANKLIN TEMPLETON DEVELOPING MARKE B		50.00	0.50	0.00	0.50	60.0	0.30
03/12/2018	0000000006329360 EVANGELISTA, AURELIO/MONIQUE	529 GROWTH & INCOME - C	B	50.00	0.50	0.00	0.50	60.0	0.30
03/12/2018	0000000006329360 EVANGELISTA, AURELIO/MONIQUE	529 SMALL-MID CAP GROWTH C	B	50.00	0.50	0.00	0.50	60.0	0.30
03/12/2018	0000000006330997 EVANGELISTA, AURELIO/BEATRIC	529 GROWTH & INCOME - C	B	75.00	0.75	0.00	0.75	60.0	0.45
03/15/2018	00006634371 JOSE, ANICIA P.	FIDELITY ADVISORS EQUITY INCM FD CL B		100.00	2.50	0.00	2.50	60.0	1.50
03/15/2018	00006634379 JOSE, ANTONIO C.	FIDELITY ADVISORS GRWTH OPPOR FD C B		100.00	2.50	0.00	2.50	60.0	1.50
03/12/2018	00059867817 AUSTRIA, FELIX	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	2.50	0.00	2.50	60.0	1.50
03/12/2018	00060555032 JOSE, ANTONIO C.	AMERICAN FUNDS NEW ECONOMY FD	B	50.00	1.88	0.00	1.88	60.0	1.13
03/12/2018	00060555033 JOSE, ANICIA P.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.88	0.00	1.88	60.0	1.13
03/12/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	40.00	1.50	0.00	1.50	60.0	0.90
03/12/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS CAPITAL WORLD BD FD B		40.00	1.20	0.00	1.20	60.0	0.72
03/12/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS HIGH INCOME TR FD	B	40.00	1.20	0.00	1.20	60.0	0.72
03/12/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS INCOME FD OF AMERI B		40.00	1.50	0.00	1.50	60.0	0.90
03/12/2018	00061616683 GERIO, EMMA B.	AMERICAN FUNDS NEW ECONOMY FD	B	40.00	1.50	0.00	1.50	60.0	0.90
03/12/2018	00061689866 JAVELOSA, SANDRA M.	AMERICAN FUNDS GROWTH FUND OF AMERI B		200.00	7.50	0.00	7.50	60.0	4.50
03/15/2018	00062049074 CALUNGSUD, KELLY M.	AMERICAN FUNDS CAPITAL WORLD GROWT B		50.00	1.88	0.00	1.88	60.0	1.13
03/15/2018	00062049074 CALUNGSUD, KELLY	AMERICAN FUNDS GROWTH FUND OF AMERI B		50.00	1.88	0.00	1.88	60.0	1.13

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
	M.								
03/15/2018	00062049074 CALUNGSUD, KELLY	AMERICAN FUNDS HIGH INCOME TR FD	B	50.00	1.50	0.00	1.50	60.0	0.90
	M.								
03/15/2018	00062049074 CALUNGSUD, KELLY	NEW WORLD FUND-A	B	50.00	1.88	0.00	1.88	60.0	1.13
	M.								
03/15/2018	00064071443 LABRADOR, THERESA/DANIEL	AMERICAN FUNDS AMERICAN MUTUAL FD	B	20.00	1.00	0.00	1.00	60.0	0.60
03/15/2018	00064071443 LABRADOR, THERESA/DANIEL	AMERICAN FUNDS CAPITAL WORLD BD FD B	B	20.00	0.60	0.00	0.60	60.0	0.36
03/15/2018	00064071443 LABRADOR, THERESA/DANIEL	AMERICAN FUNDS HIGH INCOME TR FD	B	20.00	0.60	0.00	0.60	60.0	0.36
03/15/2018	00064071443 LABRADOR, THERESA/DANIEL	AMERICAN FUNDS INCOME FD OF AMERI B	B	20.00	1.00	0.00	1.00	60.0	0.60
03/15/2018	00064071443 LABRADOR, THERESA/DANIEL	AMERICAN FUNDS NEW ECONOMY FD	B	20.00	1.00	0.00	1.00	60.0	0.60
03/15/2018	00066945809 BARCENAS, RODOLFO	AMERICAN HIGH-INCOME TRUST-C	B	110.00	1.10	0.00	1.10	60.0	0.66
	L.								
03/15/2018	00066945809 BARCENAS, RODOLFO	AMERICAN MUTUAL FUND-C	B	100.00	1.00	0.00	1.00	60.0	0.60
	L.								
03/15/2018	00066945809 BARCENAS, RODOLFO	CAPITAL WORLD BOND FUND-C	B	80.00	0.80	0.00	0.80	60.0	0.48
	L.								
03/15/2018	00066945809 BARCENAS, RODOLFO	THE INCOME FUND OF AMERICA-C	B	110.00	1.10	0.00	1.10	60.0	0.66
	L.								
03/15/2018	00066945809 BARCENAS, RODOLFO	THE NEW ECONOMY FUND-C	B	100.00	1.00	0.00	1.00	60.0	0.60
	L.								
03/12/2018	00073447265 JUMAO-AS, LAUREN	AMERICAN FUNDS - GENERAL	B	20.00	0.60	0.00	0.60	60.0	0.36
03/12/2018	00073447265 JUMAO-AS, LAUREN	AMERICAN MUTUAL FUND-529A	B	20.00	1.00	0.00	1.00	60.0	0.60
03/12/2018	00073447265 JUMAO-AS, LAUREN	CAPITAL WORLD BOND FUND-529A	B	20.00	0.60	0.00	0.60	60.0	0.36
03/12/2018	00073447265 JUMAO-AS, LAUREN	THE INCOME FUND OF AMERICA-529A	B	20.00	1.00	0.00	1.00	60.0	0.60
03/12/2018	00073447265 JUMAO-AS, LAUREN	THE NEW ECONOMY FUND-529A	B	20.00	1.00	0.00	1.00	60.0	0.60
03/15/2018	00073580088 FILOTEO-GONZALES, JUDELIA/ISABEL	AMERICAN FUNDS - GENERAL	B	30.00	0.83	0.00	0.83	60.0	0.50
03/15/2018	00073580088 FILOTEO-GONZALES, JUDELIA/ISABEL	AMERICAN MUTUAL FUND-529A	B	30.00	0.83	0.00	0.83	60.0	0.50
03/15/2018	00073580088 FILOTEO-GONZALES, JUDELIA/ISABEL	CAPITAL WORLD BOND FUND-529A	B	30.00	0.83	0.00	0.83	60.0	0.50
03/15/2018	00073580088 FILOTEO-GONZALES, JUDELIA/ISABEL	THE INCOME FUND OF AMERICA-529A	B	30.00	0.83	0.00	0.83	60.0	0.50
03/15/2018	00073580088 FILOTEO-GONZALES, JUDELIA/ISABEL	THE NEW ECONOMY FUND-529A	B	30.00	0.83	0.00	0.83	60.0	0.50

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/15/2018	00075871895 SEGUNDO, LORENCE/DANIEL	CAPITAL WORLD GROWTH AND INCOME-529 B		50.00	2.50	0.00	2.50	60.0	1.50
03/20/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS AMERICAN MUTUAL FD B		40.00	1.50	0.00	1.50	60.0	0.90
03/20/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS CAPITAL WORLD BD FD B		40.00	1.20	0.00	1.20	60.0	0.72
03/20/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS HIGH INCOME TR FD B		40.00	1.20	0.00	1.20	60.0	0.72
03/20/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS INCOME FD OF AMERI B		40.00	1.50	0.00	1.50	60.0	0.90
03/20/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS NEW ECONOMY FD B		40.00	1.50	0.00	1.50	60.0	0.90
03/20/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS AMERICAN MUTUAL FD B		40.00	1.50	0.00	1.50	60.0	0.90
03/20/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS CAPITAL WORLD BD FD B		40.00	1.20	0.00	1.20	60.0	0.72
03/20/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS HIGH INCOME TR FD B		40.00	1.20	0.00	1.20	60.0	0.72
03/20/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS INCOME FD OF AMERI B		40.00	1.50	0.00	1.50	60.0	0.90
03/20/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS NEW ECONOMY FD B		40.00	1.50	0.00	1.50	60.0	0.90
03/15/2018	00081127981 GARCIA, RODOLFO/RODIVIC	AMERICAN FUNDS - GENERAL B		30.00	0.90	0.00	0.90	60.0	0.54
03/15/2018	00081127981 GARCIA, RODOLFO/RODIVIC	AMERICAN MUTUAL FUND-529A B		30.00	1.13	0.00	1.13	60.0	0.68
03/15/2018	00081127981 GARCIA, RODOLFO/RODIVIC	CAPITAL WORLD BOND FUND-529A B		30.00	0.90	0.00	0.90	60.0	0.54
03/15/2018	00081127981 GARCIA, RODOLFO/RODIVIC	THE INCOME FUND OF AMERICA-529A B		30.00	1.13	0.00	1.13	60.0	0.68
03/15/2018	00081127981 GARCIA, RODOLFO/RODIVIC	THE NEW ECONOMY FUND-529A B		30.00	1.13	0.00	1.13	60.0	0.68
03/12/2018	00081663389 BUSA, JOSEPHINE JANE	AMERICAN FUNDS HIGH INCOME TR FD B		50.00	1.50	0.00	1.50	60.0	0.90
03/12/2018	00081663389 BUSA, JOSEPHINE JANE	AMERICAN FUNDS NEW ECONOMY FD B		50.00	2.50	0.00	2.50	60.0	1.50
03/15/2018	00082732703 CABRERA, IVY	AMERICAN FUNDS AMERICAN MUTUAL FD B		100.00	4.25	0.00	4.25	60.0	2.55
03/15/2018	00083580212 MASINAG, NELSON/KEVIN	AMERICAN FUNDS - GENERAL B		100.00	3.00	0.00	3.00	60.0	1.80
03/15/2018	00083580212 MASINAG, NELSON/KEVIN	AMERICAN MUTUAL FUND-529A B		100.00	3.75	0.00	3.75	60.0	2.25
03/15/2018	00083580212 MASINAG, NELSON/KEVIN	CAPITAL WORLD BOND FUND-529A B		100.00	3.00	0.00	3.00	60.0	1.80
03/15/2018	00083580212 MASINAG, NELSON/KEVIN	THE INCOME FUND OF AMERICA-529A B		100.00	3.75	0.00	3.75	60.0	2.25
03/15/2018	00083580212 MASINAG, NELSON/KEVIN	THE NEW ECONOMY FUND-529A B		100.00	3.75	0.00	3.75	60.0	2.25
03/12/2018	00087696729 LINUS L CUNANAN, MIGUEL	THE NEW ECONOMY FUND-529A B		100.00	5.00	0.00	5.00	60.0	3.00
03/12/2018	00087696759 LINUS L CUNANAN,	THE NEW ECONOMY FUND-529A B		100.00	5.00	0.00	5.00	60.0	3.00

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
MIGUEL									
03/12/2018	04038841757 JOSE, ANTONIO C.	AIM ENERGY FUND - CLASS A	B	50.00	2.50	0.00	2.50	60.0	1.50
03/15/2018	2203006058 TACUYAN, EVA/ANGELINE	OPPENHEIMER US GOVT TRUST FD	CL A B	100.00	2.00	0.00	2.00	60.0	1.20
03/01/2018	2203006069 TACUYAN, JERRY	OPPENHEIMER US GOVT TRUST FD	CL A B	50.00	1.00	0.00	1.00	60.0	0.60
03/15/2018	2304545742 TACUYAN, EVA/ANGELINE	OPPENHEIMER STRATEGIC INCOME	FD CL B	100.00	3.75	0.00	3.75	60.0	2.25
03/01/2018	2304545753 TACUYAN, JERRY	OPPENHEIMER STRATEGIC INCOME	FD CL B	50.00	1.88	0.00	1.88	60.0	1.13
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$4,075.00</b>	<b>\$122.72</b>	<b>\$0.00</b>	<b>\$122.72</b>		<b>\$73.66</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
02/26/2018	DEVEZA, MICHAEL NORMAN	-PACIFIC LIFE INSURANCE	B	29.25	0.88	0.00	0.88	60.0	0.53
02/26/2018	DEVEZA, NOEMYLINE ONG	-PACIFIC LIFE INSURANCE	B	26.92	0.81	0.00	0.81	60.0	0.49
02/08/2018	HAMMETT, CATHERINE M.	LINCOLN BENEFIT LIFE	B	29.45	0.96	0.00	0.96	60.0	0.58
02/13/2018	AFRICA, YOLANDA E	LINCOLN BENEFIT LIFE	B	31.42	1.02	0.00	1.02	60.0	0.61
02/18/2018	GATMAITAN, ANASTACIA H.	LINCOLN BENEFIT LIFE	B	49.03	1.59	0.00	1.59	60.0	0.95
02/18/2018	CALUNGSUD, KELLY M.	LINCOLN BENEFIT LIFE	B	20.00	0.65	0.00	0.65	60.0	0.39
02/18/2018	CRUZ, HAYLEY T.	LINCOLN BENEFIT LIFE	B	97.54	3.17	0.00	3.17	60.0	1.90
02/18/2018	AUSTRIA, FELIX	LINCOLN BENEFIT LIFE	B	127.00	4.13	0.00	4.13	60.0	2.48
02/28/2018	TRAILS	LINCOLN BENEFIT LIFE	B	63.16	63.16	0.00	63.16	60.0	37.90
02/28/2018	VALDENOR, MARGARET O.	LINCOLN BENEFIT LIFE	B	222.00	8.33	0.00	8.33	60.0	5.00
02/28/2018	GERIO, EMMA B.	LINCOLN BENEFIT LIFE	B	43.00	1.40	0.00	1.40	60.0	0.84
02/28/2018	CAMAGANACAN, CLIFORD JOHN	LINCOLN BENEFIT LIFE	B	48.18	1.57	0.00	1.57	60.0	0.94
02/28/2018	MARQUEZ-TALEON, JUDITH	LINCOLN BENEFIT LIFE	B	-32.26	-1.05	0.00	-1.05	60.0	-0.63
03/05/2018	TRAILS	LINCOLN BENEFIT LIFE	B	9.75	9.75	0.00	9.75	60.0	5.85
03/13/2018	TRAILS	LINCOLN BENEFIT LIFE	B	1.43	1.43	0.00	1.43	60.0	0.86
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$765.87</b>	<b>\$97.80</b>	<b>\$0.00</b>	<b>\$97.80</b>		<b>\$58.69</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$4,840.87</b>	<b>\$220.52</b>	<b>\$0.00</b>	<b>\$220.52</b>		<b>\$132.35</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$132.35
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

<b>Check Amount</b>	<b>\$132.35</b>
<b>Year-to-date Earnings</b>	<b>\$4,396.57</b>