



All Companies

03/14/2018  
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# COMMISSION STATEMENT March 15, 2018

MOYNIHAN/SSI  
4813 BELLFLOWER WAY

BROKER #: 9527  
BRANCH: HOME OFFICE

FORT WORTH, TX 76123

## COMMISSION STATEMENT for MOYNIHAN/SSI

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: MUTUAL FUNDS</b>									
03/06/2018 00063673248	SAENZ, OLGA LYDIA	AMERICAN FUNDS AMCAP FD	B	50.00	1.38	0.00	1.38	90.0	1.24
03/06/2018 00063673248	SAENZ, OLGA LYDIA	AMERICAN FUNDS AMCAP FD	B	25.20	0.69	0.00	0.69	90.0	0.62
03/06/2018 00064570660	MONTALVO, VICTOR M	AMERICAN FUNDS AMCAP FD	B	25.00	0.69	0.00	0.69	90.0	0.62
03/06/2018 00064570660	MONTALVO, VICTOR M	AMERICAN FUNDS AMCAP FD	B	25.00	0.69	0.00	0.69	90.0	0.62
02/27/2018 00082020519	POINTS, J	AMERICAN FUNDS CAPITAL WORLD GROWT	B	130.00	3.58	0.00	3.58	90.0	3.22
02/27/2018 00082020519	POINTS, J	AMERICAN FUNDS CAPITAL WORLD GROWT	B	162.00	4.46	0.00	4.46	90.0	4.01
02/27/2018 00082020527	ORINGDERFF, MARY	AMERICAN FUNDS CAPITAL INCOME BUILD	B	10.00	0.28	0.00	0.28	90.0	0.25
02/27/2018 00082020527	ORINGDERFF, MARY	AMERICAN FUNDS CAPITAL INCOME BUILD	B	10.00	0.28	0.00	0.28	90.0	0.25
02/27/2018 00082020539	ORINGDERFF, KENNETH	AMERICAN FUNDS CAPITAL INCOME BUILD	B	10.00	0.28	0.00	0.28	90.0	0.25
02/27/2018 00082020539	ORINGDERFF, KENNETH	AMERICAN FUNDS CAPITAL INCOME BUILD	B	10.00	0.28	0.00	0.28	90.0	0.25
02/27/2018 00082020556	TALASEK, RAYMOND C	AMERICAN FUNDS INVESTMENT COMP OF A	B	96.00	2.64	0.00	2.64	90.0	2.38
02/27/2018 00082020556	TALASEK, RAYMOND C	AMERICAN FUNDS INVESTMENT COMP OF A	B	96.00	2.64	0.00	2.64	90.0	2.38
02/27/2018 00082020593	POINTS, CAREY R	AMERICAN FUNDS CAPITAL INCOME BUILD	B	75.00	2.06	0.00	2.06	90.0	1.85
02/27/2018 00082020593	POINTS, CAREY R	AMERICAN FUNDS CAPITAL INCOME BUILD	B	95.88	2.64	0.00	2.64	90.0	2.38
03/06/2018 00085015791	CRUZ, DIANA B.	AMERICAN FUNDS 2025 TARGET DATE-A	B	27.60	0.76	0.00	0.76	90.0	0.68
03/06/2018 00085015791	CRUZ, DIANA B.	AMERICAN FUNDS 2025 TARGET DATE-A	B	50.00	1.38	0.00	1.38	90.0	1.24
03/06/2018 00085038819	ESTRADA, GRACIELA	AMERICAN FUNDS 2015 TARGET DATE-A	B	50.00	1.38	0.00	1.38	90.0	1.24
03/06/2018 00085038819	ESTRADA, GRACIELA	AMERICAN FUNDS 2015 TARGET DATE-A	B	25.20	0.69	0.00	0.69	90.0	0.62
03/06/2018 00085500213	MEISER, JEFFREY	AMERICAN FUNDS CAPITAL WORLD BD FD	B	41.57	1.14	0.00	1.14	90.0	1.03
03/06/2018 00085500213	MEISER, JEFFREY	AMERICAN FUNDS CAPITAL WORLD BD FD	B	24.94	0.69	0.00	0.69	90.0	0.62
03/06/2018 00085500213	MEISER, JEFFREY	AMERICAN FUNDS CAPITAL WORLD GROWT	B	62.36	1.71	0.00	1.71	90.0	1.54
03/06/2018 00085500213	MEISER, JEFFREY	AMERICAN FUNDS CAPITAL WORLD GROWT	B	37.42	1.03	0.00	1.03	90.0	0.93
03/06/2018 00085500213	MEISER, JEFFREY	AMERICAN FUNDS INVESTMENT COMP OF A	B	62.36	1.71	0.00	1.71	90.0	1.54
03/06/2018 00085500213	MEISER, JEFFREY	AMERICAN FUNDS INVESTMENT COMP OF A	B	37.42	1.03	0.00	1.03	90.0	0.93
03/06/2018 00085500213	MEISER, JEFFREY	AMERICAN FUNDS NEW PERSPECTIVE FD	B	41.57	1.14	0.00	1.14	90.0	1.03
03/06/2018 00085500213	MEISER, JEFFREY	AMERICAN FUNDS NEW PERSPECTIVE FD	B	24.94	0.69	0.00	0.69	90.0	0.62
03/06/2018 00085622106	CRUZ, RAMON	AMERICAN FUNDS 2015 TARGET DATE-A	B	24.00	0.66	0.00	0.66	90.0	0.59
03/06/2018 00085622106	CRUZ, RAMON	AMERICAN FUNDS 2015 TARGET DATE-A	B	30.00	0.83	0.00	0.83	90.0	0.75
* MUTUAL FUNDS SUBTOTAL *				\$1,359.46	\$37.43	\$0.00	\$37.43		\$33.68

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/15/2018 TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES	0.00	492.01	0.00	492.01	90.0	442.87
03/15/2018 TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES	0.00	7.90	0.00	7.90	90.0	7.10
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$499.91	\$0.00	\$499.91		\$449.97
*** BROKER TRANSACTIONS TOTAL ***		\$1,359.46	\$537.34	\$0.00	\$537.34		\$483.65

BROKER COMMISSION TOTALS

Broker Transactions	\$483.65
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$483.65
Year-to-date Earnings	\$1,125.12