



All Companies

04/27/2018
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COMMISSION STATEMENT

May 01, 2018

BUDDY JOSEPH BUTLER
1013 PALO DURO TRAIL

BROKER #: 9558
BRANCH: HOME OFFICE

Keller, TX 76248-

COMMISSION STATEMENT for BUDDY JOSEPH BUTLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

04/20/2018	00087016452	BOZARTH, BREWER	NEW WORLD FUND-529C	B	125.00	1.25	0.00	1.25	90.0	1.13
04/20/2018	00087016452	BOZARTH, BREWER	SMALLCAP WORLD FUND-529C	B	125.00	1.25	0.00	1.25	90.0	1.13
04/16/2018	00089961777	CHURCHILL, TODD	COLLEGE 2033 FUND-529C	B	50.00	0.50	0.00	0.50	90.0	0.45
04/16/2018	00089989427	HANKS, JENELLE F	GROWTH PORTFOLIO-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
04/16/2018	00089989432	HANKS, JENELLE F	GROWTH PORTFOLIO-529C	B	100.00	1.00	0.00	1.00	90.0	0.90
				* MUTUAL FUNDS SUBTOTAL *	\$500.00	\$5.00	\$0.00	\$5.00		\$4.51

PRODUCT CATEGORY: VARIABLE ANNUITIES

03/31/2018	TRAILS	-JACKSON NATIONAL	B	27.24	27.24	0.00	27.24	90.0	24.52
04/14/2018	BARTUSH-SCHNITZIUS, FOOD CO.	-JOHN HANCOCK	B	310.48	1.55	0.00	1.55	90.0	1.40
04/17/2018	BARTUSH-SCHNITZIUS, FOOD CO.	-JOHN HANCOCK	B	5,424.18	27.11	0.00	27.11	90.0	24.40
04/12/2018	TRAILS	-PACIFIC LIFE INSURANCE	B	79.80	79.80	0.00	79.80	90.0	71.82
04/11/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	7,391.19	7,391.19	0.00	7,391.19	90.0	6,652.07
04/11/2018	TRAILS	BRIGHTHOUSE FINANCIAL	B	5,962.93	5,962.93	0.00	5,962.93	90.0	5,366.64
04/04/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	337.81	337.81	0.00	337.81	90.0	304.03
* VARIABLE ANNUITIES SUBTOTAL *				\$19,533.63	\$13,827.63	\$0.00	\$13,827.63		\$12,444.88

PRODUCT CATEGORY: RIA

04/19/2018	BEHELER, PRISCILLA J	SIGNAL SECURITIES RIA	B	100,000.00	20.66	0.00	20.66	90.0	18.59
04/19/2018	HENDERSON, CHARLES A	SIGNAL SECURITIES RIA	B	500,000.00	304.65	0.00	304.65	90.0	274.19
04/19/2018	COST, LORENE	SIGNAL SECURITIES RIA	B	100,000.00	6.33	0.00	6.33	90.0	5.70
04/20/2018	NICHOLSON, LEONARD A	SIGNAL SECURITIES RIA	B	500,000.00	39.66	0.00	39.66	90.0	35.69
04/13/2018	10903303 LYBYER, SHELLEY F	SIGNAL SECURITIES RIA	B	0.00	186.00	0.00	186.00	90.0	167.40
04/13/2018	10985026 SNELL, TERESA P	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00	90.0	8.10
04/13/2018	11240553 HENDERSON, KELLIE D	SIGNAL SECURITIES RIA	B	0.00	308.33	0.00	308.33	90.0	277.50
04/13/2018	11575002 STRACK, NANCY	SIGNAL SECURITIES RIA	B	0.00	4.67	0.00	4.67	90.0	4.20
04/13/2018	12568962 SABLACK, GEORGE/ROSEMARY	SIGNAL SECURITIES RIA	B	0.00	166.33	0.00	166.33	90.0	149.70
04/13/2018	12646736 CHURCHILL, TODD E & JULIE	SIGNAL SECURITIES RIA	B	0.00	110.00	0.00	110.00	90.0	99.00
04/13/2018	15938838 GORDON, YANA DUKE	SIGNAL SECURITIES RIA	B	0.00	125.67	0.00	125.67	90.0	113.10
04/13/2018	17691322 CHURCHILL, JULIE F	SIGNAL SECURITIES RIA	B	0.00	19.33	0.00	19.33	90.0	17.40

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018	19311838 BUCKNER, ALFRED/SHARON	SIGNAL SECURITIES RIA	B	0.00	490.00	0.00	490.00	90.0	441.00
04/13/2018	21282922 KOZAK, RUTH S	SIGNAL SECURITIES RIA	B	0.00	987.67	0.00	987.67	90.0	888.90
04/13/2018	21697737 ROGERS REV. LVG. TRS, BILL C	SIGNAL SECURITIES RIA	B	0.00	1,271.00	0.00	1,271.00	90.0	1,143.90
04/13/2018	21839817 MCGINN FAM LIV TRUST, WILLIAM/KATHY	SIGNAL SECURITIES RIA	B	0.00	12.67	0.00	12.67	90.0	11.40
04/13/2018	21849623 HESSLING, ALAN J.	SIGNAL SECURITIES RIA	B	0.00	51.00	0.00	51.00	90.0	45.90
04/13/2018	21998355 PRAUL, KAREN S	SIGNAL SECURITIES RIA	B	0.00	433.67	0.00	433.67	90.0	390.30
04/13/2018	22402020 CHASE, ROBERT JAMES	SIGNAL SECURITIES RIA	B	0.00	263.67	0.00	263.67	90.0	237.30
04/13/2018	22431988 CHASE, SHEILA M	SIGNAL SECURITIES RIA	B	0.00	4.67	0.00	4.67	90.0	4.20
04/13/2018	24875646 HEINEMAN, MARTHA MOON	SIGNAL SECURITIES RIA	B	0.00	216.67	0.00	216.67	90.0	195.00
04/13/2018	25320334 MCGINN, TIMOTHY D	SIGNAL SECURITIES RIA	B	0.00	21.33	0.00	21.33	90.0	19.20
04/13/2018	25377313 MILLSAP, JO ANN	SIGNAL SECURITIES RIA	B	0.00	2.33	0.00	2.33	90.0	2.10
04/13/2018	25726497 BERGLUND, JAMES E.	SIGNAL SECURITIES RIA	B	0.00	49.67	0.00	49.67	90.0	44.70
04/13/2018	25780232 MERRELL, DENNIS/ DIANE	SIGNAL SECURITIES RIA	B	0.00	768.33	0.00	768.33	90.0	691.50
04/13/2018	25953225 FOSLIN, MARK V	SIGNAL SECURITIES RIA	B	0.00	57.00	0.00	57.00	90.0	51.30
04/13/2018	26508300 MECASKEY, CHARLES & IMOGE	SIGNAL SECURITIES RIA	B	0.00	185.67	0.00	185.67	90.0	167.10
04/13/2018	29000999 HEINEMAN, BRYON DONALD	SIGNAL SECURITIES RIA	B	0.00	315.67	0.00	315.67	90.0	284.10
04/13/2018	30442895 ROGERS MARITAL TRUST, BILL C.	SIGNAL SECURITIES RIA	B	0.00	7.67	0.00	7.67	90.0	6.90
04/13/2018	31191575 HESSLING, CONNIE M	SIGNAL SECURITIES RIA	B	0.00	20.33	0.00	20.33	90.0	18.30
04/19/2018	31794327 FLEMING, MARGARET J	SIGNAL SECURITIES RIA	B	0.00	501.30	0.00	501.30	90.0	451.17
04/13/2018	32068400 MERRELL, DENNIS/ DIANE	SIGNAL SECURITIES RIA	B	0.00	390.00	0.00	390.00	90.0	351.00
04/13/2018	32522388 DAHN, TRAVIS & DESIRA	SIGNAL SECURITIES RIA	B	0.00	63.67	0.00	63.67	90.0	57.30
04/13/2018	33247422 TAYLOR, LARRY MARCUS	SIGNAL SECURITIES RIA	B	0.00	81.00	0.00	81.00	90.0	72.90
04/13/2018	33352821 FLEMING, MARGARET J	SIGNAL SECURITIES RIA	B	0.00	167.67	0.00	167.67	90.0	150.90
04/13/2018	34554024 THOMAS, LISA CEA	SIGNAL SECURITIES RIA	B	0.00	14.00	0.00	14.00	90.0	12.60
04/13/2018	35202907 HORN, ALISA J	SIGNAL SECURITIES RIA	B	0.00	40.67	0.00	40.67	90.0	36.60
04/13/2018	36377350 KLINE, DIANE K.	SIGNAL SECURITIES RIA	B	0.00	99.67	0.00	99.67	90.0	89.70
04/13/2018	36445988 HENDERSON, CARSON P	SIGNAL SECURITIES RIA	B	0.00	2.67	0.00	2.67	90.0	2.40
04/13/2018	40233916 FABIAN, DEBRA A	SIGNAL SECURITIES RIA	B	0.00	41.00	0.00	41.00	90.0	36.90
04/13/2018	41000315 CHASE, SHEILA M	SIGNAL SECURITIES RIA	B	0.00	13.33	0.00	13.33	90.0	12.00
04/13/2018	41085541 HIGGINS, JOAN B	SIGNAL SECURITIES RIA	B	0.00	11.33	0.00	11.33	90.0	10.20
04/13/2018	41110684 STRACK, ADRIAN	SIGNAL SECURITIES RIA	B	0.00	12.67	0.00	12.67	90.0	11.40
04/13/2018	41140555 SNELL, TERESA P	SIGNAL SECURITIES RIA	B	0.00	4.00	0.00	4.00	90.0	3.60

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018	41395061 HEINEMAN, MARTHA MOON	SIGNAL SECURITIES RIA	B	0.00	277.00	0.00	277.00	90.0	249.30
04/13/2018	41445572 DAHN JR, WILLIAM E.	SIGNAL SECURITIES RIA	B	0.00	47.00	0.00	47.00	90.0	42.30
04/19/2018	41445572 DAHN JR, WILLIAM E.	SIGNAL SECURITIES RIA	B	0.00	32.89	0.00	32.89	90.0	29.60
04/13/2018	41515337 BUCKNER, ALFRED/SHARON	SIGNAL SECURITIES RIA	B	0.00	8.33	0.00	8.33	90.0	7.50
04/13/2018	41598212 BARTUSH-SCHNITZIUS, FOOD CO.	SIGNAL SECURITIES RIA	B	0.00	1,022.67	0.00	1,022.67	90.0	920.40
04/13/2018	43144139 BARRAGAN, GUILLERMO	SIGNAL SECURITIES RIA	B	0.00	3.33	0.00	3.33	90.0	3.00
04/13/2018	43216280 HESSLING, ALAN J.	SIGNAL SECURITIES RIA	B	0.00	541.33	0.00	541.33	90.0	487.20
04/13/2018	43408918 HIGGINS TRUST, WILLIAM/ JOAN	SIGNAL SECURITIES RIA	B	0.00	200.67	0.00	200.67	90.0	180.60
04/13/2018	45551096 KEENEY, DANNY B	SIGNAL SECURITIES RIA	B	0.00	44.33	0.00	44.33	90.0	39.90
04/13/2018	46467197 FOSTER, KAREN S	SIGNAL SECURITIES RIA	B	0.00	573.33	0.00	573.33	90.0	516.00
04/19/2018	46801250 JACOBSON, JAMES A/ROSE A	SIGNAL SECURITIES RIA	B	0.00	37.66	0.00	37.66	90.0	33.89
04/13/2018	46801252 JACOBSON, JAMES A/ROSE A	SIGNAL SECURITIES RIA	B	0.00	346.33	0.00	346.33	90.0	311.70
04/13/2018	47058294 HENDERSON, KELLIE D	SIGNAL SECURITIES RIA	B	0.00	186.33	0.00	186.33	90.0	167.70
04/13/2018	47639185 RONCK, PHILIP S	SIGNAL SECURITIES RIA	B	0.00	88.67	0.00	88.67	90.0	79.80
04/13/2018	48834901 HARTMANN, GERALDINE VESEY	SIGNAL SECURITIES RIA	B	0.00	387.00	0.00	387.00	90.0	348.30
04/13/2018	49134591 LYBYER, SHELLEY F	SIGNAL SECURITIES RIA	B	0.00	24.33	0.00	24.33	90.0	21.90
04/13/2018	49767010 HUFF, ARLIE D	SIGNAL SECURITIES RIA	B	0.00	221.33	0.00	221.33	90.0	199.20
04/19/2018	49767010 HUFF, ARLIE D	SIGNAL SECURITIES RIA	B	0.00	259.87	0.00	259.87	90.0	233.88
04/13/2018	49858081 KLINE, DIANE K.	SIGNAL SECURITIES RIA	B	0.00	74.33	0.00	74.33	90.0	66.90
04/13/2018	50386604 FOSLIN, MARK V	SIGNAL SECURITIES RIA	B	0.00	43.33	0.00	43.33	90.0	39.00
04/13/2018	51436088 BUCKNER, SHARON R	SIGNAL SECURITIES RIA	B	0.00	86.33	0.00	86.33	90.0	77.70
04/13/2018	52224402 STRACK, NANCY	SIGNAL SECURITIES RIA	B	0.00	10.67	0.00	10.67	90.0	9.60
04/13/2018	52891646 STONE, FRED STEPHEN	SIGNAL SECURITIES RIA	B	0.00	20.67	0.00	20.67	90.0	18.60
04/13/2018	53407653 STUCK, III, EDWARD O	SIGNAL SECURITIES RIA	B	0.00	703.33	0.00	703.33	90.0	633.00
04/13/2018	56380456 SOLDNER, ROBERT J	SIGNAL SECURITIES RIA	B	0.00	102.67	0.00	102.67	90.0	92.40
04/13/2018	61029197 ARMSTRONG REV. TRUST, MELBA	SIGNAL SECURITIES RIA	B	0.00	8.67	0.00	8.67	90.0	7.80
04/13/2018	61346794 SNELL, JR, RAYMOND F	SIGNAL SECURITIES RIA	B	0.00	106.33	0.00	106.33	90.0	95.70
04/13/2018	61517600 MCCOY, BRYAN/MARIA	SIGNAL SECURITIES RIA	B	0.00	30.67	0.00	30.67	90.0	27.60
04/13/2018	62583030 FOSLIN, MARK V	SIGNAL SECURITIES RIA	B	0.00	148.33	0.00	148.33	90.0	133.50
04/13/2018	62630420 CHASE, ROBERT JAMES	SIGNAL SECURITIES RIA	B	0.00	19.67	0.00	19.67	90.0	17.70
04/13/2018	63369933 MILLSAP, JO ANN	SIGNAL SECURITIES RIA	B	0.00	74.00	0.00	74.00	90.0	66.60
04/13/2018	67256991 NOWLIN, WILOYDEAN	SIGNAL SECURITIES RIA	B	0.00	58.33	0.00	58.33	90.0	52.50
04/13/2018	67256992 NOWLIN, WILOYDEAN	SIGNAL SECURITIES RIA	B	0.00	111.00	0.00	111.00	90.0	99.90

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<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: RIA									
04/13/2018	67527515 MCGINN, KATHY J	SIGNAL SECURITIES RIA	B	0.00	624.33	0.00	624.33	90.0	561.90
04/13/2018	67528927 MERRELL, DENNIS/ DIANE	SIGNAL SECURITIES RIA	B	0.00	122.33	0.00	122.33	90.0	110.10
04/13/2018	69485810 CHURCHILL, TODD E & JULIE	SIGNAL SECURITIES RIA	B	0.00	7.67	0.00	7.67	90.0	6.90
04/13/2018	71472539 MCGINN, WILLIAM	SIGNAL SECURITIES RIA	B	0.00	589.67	0.00	589.67	90.0	530.70
04/13/2018	71533523 MCGINN, NICOLE D	SIGNAL SECURITIES RIA	B	0.00	71.00	0.00	71.00	90.0	63.90
04/13/2018	73284089 HUGHES, DENNIS W.	SIGNAL SECURITIES RIA	B	0.00	137.33	0.00	137.33	90.0	123.60
04/13/2018	73298505 PRAUL VA IRREVOCABLE TRST	SIGNAL SECURITIES RIA	B	0.00	467.00	0.00	467.00	90.0	420.30
04/13/2018	73402532 MERRELL, DENNIS/ DIANE	SIGNAL SECURITIES RIA	B	0.00	6.33	0.00	6.33	90.0	5.70
04/18/2018	73428063 SILVA, JR, JESSE	SIGNAL SECURITIES RIA	B	0.00	35.67	0.00	35.67	90.0	32.10
04/13/2018	77272659 SABLACK, ROSEMARY	SIGNAL SECURITIES RIA	B	0.00	77.33	0.00	77.33	90.0	69.60
04/13/2018	77877392 PRILL, KAREN A	SIGNAL SECURITIES RIA	B	0.00	232.67	0.00	232.67	90.0	209.40
04/13/2018	77929375 STRACK, ADRIAN	SIGNAL SECURITIES RIA	B	0.00	8.67	0.00	8.67	90.0	7.80
04/13/2018	78866913 LYBYER, SHELLEY F	SIGNAL SECURITIES RIA	B	0.00	97.67	0.00	97.67	90.0	87.90
04/13/2018	79117403 MOORE, DAVID W	SIGNAL SECURITIES RIA	B	0.00	10.67	0.00	10.67	90.0	9.60
04/13/2018	79226928 SABLACK, ROSEMARY	SIGNAL SECURITIES RIA	B	0.00	14.33	0.00	14.33	90.0	12.90
04/13/2018	80658877 ROGERS FAMILY TRST, BILL C.	SIGNAL SECURITIES RIA	B	0.00	65.67	0.00	65.67	90.0	59.10
04/13/2018	80748872 ALWIN, STEPHEN L	SIGNAL SECURITIES RIA	B	0.00	7.33	0.00	7.33	90.0	6.60
04/13/2018	81352244 SNELL, TERESA P	SIGNAL SECURITIES RIA	B	0.00	0.67	0.00	0.67	90.0	0.60
04/13/2018	81532821 RODAK, STEVEN ANDREW	SIGNAL SECURITIES RIA	B	0.00	79.00	0.00	79.00	90.0	71.10
04/13/2018	83089595 SNELL, ALENA PAGE	SIGNAL SECURITIES RIA	B	0.00	3.67	0.00	3.67	90.0	3.30
04/13/2018	84733773 FOSTER, KAREN S	SIGNAL SECURITIES RIA	B	0.00	96.33	0.00	96.33	90.0	86.70
04/13/2018	85428341 STRACK, ADRIAN	SIGNAL SECURITIES RIA	B	0.00	5.67	0.00	5.67	90.0	5.10
04/13/2018	85539161 STRACK, NANCY	SIGNAL SECURITIES RIA	B	0.00	66.00	0.00	66.00	90.0	59.40
04/13/2018	85657154 STRACK, NANCY	SIGNAL SECURITIES RIA	B	0.00	2.00	0.00	2.00	90.0	1.80
04/13/2018	88087946 DAHN JR, WILLIAM E.	SIGNAL SECURITIES RIA	B	0.00	225.67	0.00	225.67	90.0	203.10
04/13/2018	90821543 KOZAK, RUTH S	SIGNAL SECURITIES RIA	B	0.00	1,495.33	0.00	1,495.33	90.0	1,345.80
04/13/2018	92229524 DAHN, SHARON A.	SIGNAL SECURITIES RIA	B	0.00	34.33	0.00	34.33	90.0	30.90
04/13/2018	94351664 OSBORN, WILLIAM A	SIGNAL SECURITIES RIA	B	0.00	1,963.67	0.00	1,963.67	90.0	1,767.30
04/13/2018	95245970 FABIAN, RANDALL/DEBRA	SIGNAL SECURITIES RIA	B	0.00	391.00	0.00	391.00	90.0	351.90
04/13/2018	96553430 YAPELLI, FRANK A.	SIGNAL SECURITIES RIA	B	0.00	615.67	0.00	615.67	90.0	554.10
04/13/2018	96553451 YAPELLI, KAREN Y	SIGNAL SECURITIES RIA	B	0.00	338.33	0.00	338.33	90.0	304.50
* RIA SUBTOTAL *				\$1,200,000.00	\$21,696.37	\$0.00	\$21,696.37		\$19,526.71
*** BROKER TRANSACTIONS TOTAL ***				\$1,220,033.63	\$35,529.00	\$0.00	\$35,529.00		\$31,976.10

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ADJUSTMENTS

05/01/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
05/01/2018		E & O INSURANCE: ZACH 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-200.00

BROKER COMMISSION TOTALS

Broker Transactions	\$31,976.10
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-200.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$31,776.10
Year-to-date Earnings	\$127,023.45