



Concorde Investment Services, LLC

06/13/2017
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COMMISSION STATEMENT

June 15, 2017

ALAN LICHTENSTEIN
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: L133
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/15/2017	RUSO REV TR, EUGENIE	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.49	0.00	1.49	75.0	1.12
05/30/2017	RUSO REV TR, EUGENIE	BLK HIGH YIELD BOND A	0.00	3.07	0.00	3.07	75.0	2.30
05/11/2017	WITKIN, CAROL ANN	FRANKLIN INCOME A	0.00	0.38	0.00	0.38	75.0	0.29
05/10/2017	RUSO REV TR, EUGENIE	LOOMIS SAYLES STRATEGIC INCOME FUND	0.00	4.01	0.00	4.01	75.0	3.01
05/31/2017	RUSO REV TR, EUGENIE	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	2.04	0.00	2.04	75.0	1.53
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$10.99	\$0.00	\$10.99		\$8.25
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$10.99	\$0.00	\$10.99		\$8.25
<u>ADJUSTMENTS</u>								
06/15/2017		*FINRA: S65 EXAM	0.00					-165.00
06/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-1.50
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-166.50

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$8.25
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-166.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$42.25
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-116.00
Year-to-date Gross	\$16,707.60
Year-to-date Earnings	\$18,280.18