



COMMISSION STATEMENT

May 31, 2017

KEITH LAYMAN
4321 Flagstaff

BROKER #: L128
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
05/16/2017	AMBRIOLE, BETH	VANGUARD SCOTTSDALE FDS VANGUARD SH	-7,993.35	0.00	0.00	0.00	90.0	0.00
		* STOCKS SUBTOTAL *	\$-7,993.35	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: RIA</u>								
05/15/2017	ASHBAUGH, SUSANNA	ATLAS CAPITAL MANAGEMENT	19,384.91	0.53	0.00	0.53	90.0	0.48
05/15/2017	COLE, TIMOTHY	ATLAS CAPITAL MANAGEMENT	35,749.02	32.21	0.00	32.21	90.0	28.99
05/15/2017	FEIPEL, ESTHER	ATLAS CAPITAL MANAGEMENT	17,625.83	4.38	0.00	4.38	90.0	3.94
05/15/2017	FORTE, ANTHONY	ATLAS CAPITAL MANAGEMENT	48,396.76	29.76	0.00	29.76	90.0	26.78
05/15/2017	GUNSOLLEY, MARY	ATLAS CAPITAL MANAGEMENT	38,644.76	20.18	0.00	20.18	90.0	18.16
05/15/2017	HELLER, MONICA	ATLAS CAPITAL MANAGEMENT	5,500.00	0.15	0.00	0.15	90.0	0.14
05/15/2017	MIZNER, FREDRIC	ATLAS CAPITAL MANAGEMENT	20,458.67	4.90	0.00	4.90	90.0	4.41
05/15/2017	MULLINS, CYNTHIA	ATLAS CAPITAL MANAGEMENT	12,127.30	7.89	0.00	7.89	90.0	7.10
05/15/2017	MUSSEY, LORI	ATLAS CAPITAL MANAGEMENT	23,093.98	5.22	0.00	5.22	90.0	4.70
05/15/2017	NEWBAUER, CAMERON	ATLAS CAPITAL MANAGEMENT	98,848.31	126.79	0.00	126.79	90.0	114.11
05/15/2017	RAABE, MARIANNE	ATLAS CAPITAL MANAGEMENT	13,687.30	9.11	0.00	9.11	90.0	8.20
05/15/2017	SIEBER, AARON	ATLAS CAPITAL MANAGEMENT	57,100.63	3.29	0.00	3.29	90.0	2.96
05/15/2017	THOMAS, THELMA	ATLAS CAPITAL MANAGEMENT	150,396.44	195.74	0.00	195.74	90.0	176.17
05/15/2017	TULLOCH, DAVID	ATLAS CAPITAL MANAGEMENT	30,358.69	10.18	0.00	10.18	90.0	9.16
05/15/2017	TUTTLE, THOMAS	ATLAS CAPITAL MANAGEMENT	213,457.25	371.60	0.00	371.60	90.0	334.44
05/15/2017	WILLING, LEONARD	ATLAS CAPITAL MANAGEMENT	147,090.67	4.11	0.00	4.11	90.0	3.70
05/15/2017	WINANS, BEVERLY	ATLAS CAPITAL MANAGEMENT	18,220.60	11.59	0.00	11.59	90.0	10.43
05/01/2017	HOLLIS, JAMES	CLS INVESTMENTS	32,289.51	37.20	0.00	37.20	90.0	33.48
05/01/2017	HOLLIS, JAMES	CLS INVESTMENTS	25,000.00	33.43	0.00	33.43	90.0	30.09
05/01/2017	HOLLIS, JAMES	CLS INVESTMENTS	75.01	0.08	0.00	0.08	90.0	0.07
05/01/2017	HOLLIS, JAMES	CLS INVESTMENTS	154.00	0.12	0.00	0.12	90.0	0.11
05/01/2017	HOLLIS, JAMES	CLS INVESTMENTS	20.63	0.01	0.00	0.01	90.0	0.01
05/01/2017	HOLLIS, JAMES	CLS INVESTMENTS	32,289.51	37.20	0.00	37.20	90.0	33.48

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
05/01/2017	HOLLIS, JAMES	CLS INVESTMENTS	25,000.00	33.43	0.00	33.43	90.0	30.09
05/01/2017	HOLLIS, JAMES	CLS INVESTMENTS	97.95	0.10	0.00	0.10	90.0	0.09
05/01/2017	HOLLIS, JAMES	CLS INVESTMENTS	84.77	0.07	0.00	0.07	90.0	0.06
05/01/2017	PLATT, GINA	CLS INVESTMENTS	19,685.75	23.20	0.00	23.20	90.0	20.88
05/01/2017	PLATT, GINA	CLS INVESTMENTS	65.37	0.05	0.00	0.05	90.0	0.05
05/01/2017	PLATT, GINA	CLS INVESTMENTS	7,874.30	9.28	0.00	9.28	90.0	8.35
05/01/2017	PLATT, GINA	CLS INVESTMENTS	40.43	0.04	0.00	0.04	90.0	0.04
05/01/2017	PLATT, GINA	CLS INVESTMENTS	1,182.97	1.19	0.00	1.19	90.0	1.07
05/01/2017	PLATT, GINA	CLS INVESTMENTS	49,999.99	59.10	0.00	59.10	90.0	53.19
05/01/2017	PLATT, GINA	CLS INVESTMENTS	108.08	0.09	0.00	0.09	90.0	0.08
05/01/2017	PLATT, GINA	CLS INVESTMENTS	15.99	0.01	0.00	0.01	90.0	0.01
05/18/2017	GEVERINK, ANN	CLS INVESTMENTS	36,883.67	104.08	0.00	104.08	90.0	93.67
05/18/2017	GEVERINK, RAYMOND	CLS INVESTMENTS	15,088.86	42.57	0.00	42.57	90.0	38.31
05/18/2017	MAIERS, GREGORY	CLS INVESTMENTS	49,147.46	138.69	0.00	138.69	90.0	124.82
05/18/2017	SORG, BECKY	CLS INVESTMENTS	33,744.29	85.05	0.00	85.05	90.0	76.55
05/18/2017	SORG, BECKY	CLS INVESTMENTS	47,568.24	119.90	0.00	119.90	90.0	107.91
05/18/2017	TULLOCH, CAROLYN	CLS INVESTMENTS	30,797.51	89.44	0.00	89.44	90.0	80.50
04/26/2017	ALLAN, BETTY	ITS MANAGEMENT	40,467.06	75.67	0.00	75.67	90.0	68.10
04/26/2017	BLAIR, BRIAN	ITS MANAGEMENT	36,408.94	90.78	0.00	90.78	90.0	81.70
04/26/2017	BRUNTON, RYAN	ITS MANAGEMENT	53,021.86	99.15	0.00	99.15	90.0	89.24
04/26/2017	CLARK, KATHLEEN	ITS MANAGEMENT	21,794.11	40.75	0.00	40.75	90.0	36.68
04/26/2017	SMITH, DAWN	ITS MANAGEMENT	35,820.56	89.31	0.00	89.31	90.0	80.38
04/26/2017	DISLER, SHARON	ITS MANAGEMENT	34,105.55	63.78	0.00	63.78	90.0	57.40
04/26/2017	ELLIOTT, RANDALL	ITS MANAGEMENT	70,874.38	88.35	0.00	88.35	90.0	79.52
04/26/2017	FISCHER, HELEN	ITS MANAGEMENT	122,777.28	229.58	0.00	229.58	90.0	206.62
04/26/2017	HUESMAN, PATRICIA	ITS MANAGEMENT	9,019.29	16.87	0.00	16.87	90.0	15.18
04/26/2017	HUESMAN, PATRICIA	ITS MANAGEMENT	263,662.57	493.02	0.00	493.02	90.0	443.72
04/26/2017	JACKSON, NICK	ITS MANAGEMENT	39,516.53	98.52	0.00	98.52	90.0	88.67
04/26/2017	JAMSCO INC	ITS MANAGEMENT	222,124.98	276.90	0.00	276.90	90.0	249.21
04/26/2017	LAYMAN, ROBERT	ITS MANAGEMENT	2,834.73	3.50	0.00	3.50	90.0	3.15
04/26/2017	LIPSCOMB, SAMUEL	ITS MANAGEMENT	111,958.02	209.35	0.00	209.35	90.0	188.42
04/26/2017	LIPSCOMB, JOAN	ITS MANAGEMENT	56,689.79	106.00	0.00	106.00	90.0	95.40
04/26/2017	LIPSCOMB, JOAN	ITS MANAGEMENT	50,367.93	94.18	0.00	94.18	90.0	84.76

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/26/2017	LIPSCOMB, SAMUEL	ITS MANAGEMENT	57,146.05	106.86	0.00	106.86	90.0	96.17
04/26/2017	MCBRIDE, KEITH	ITS MANAGEMENT	57,716.06	143.90	0.00	143.90	90.0	129.51
04/26/2017	MYERS, HELEN	ITS MANAGEMENT	22,747.15	28.36	0.00	28.36	90.0	25.52
04/26/2017	OAR, WARREN	ITS MANAGEMENT	182,995.96	570.29	0.00	570.29	90.0	513.26
04/26/2017	RICHARDSON, AMY	ITS MANAGEMENT	65,508.69	122.49	0.00	122.49	90.0	110.24
04/26/2017	RICHARDSON, JARRED	ITS MANAGEMENT	64,181.67	120.01	0.00	120.01	90.0	108.01
04/26/2017	ROBINSON, DAVID	ITS MANAGEMENT	26,834.57	33.12	0.00	33.12	90.0	29.81
04/26/2017	ROSS, DEBRA	ITS MANAGEMENT	122,146.36	228.40	0.00	228.40	90.0	205.56
04/26/2017	ROTHGEB, CRAIG	ITS MANAGEMENT	25,495.82	53.29	0.00	53.29	90.0	47.96
04/26/2017	ROTHGEB, KELLY	ITS MANAGEMENT	12,431.25	24.42	0.00	24.42	90.0	21.98
04/26/2017	SCHISLER, ROBERT	ITS MANAGEMENT	5,728.35	10.71	0.00	10.71	90.0	9.64
04/26/2017	SENGER, LYNDIA	ITS MANAGEMENT	134,839.70	47.10	0.00	47.10	90.0	42.39
04/26/2017	SMITH, BRENT	ITS MANAGEMENT	22,175.90	41.47	0.00	41.47	90.0	37.32
04/26/2017	SMITH, NICOLE	ITS MANAGEMENT	38,682.80	96.44	0.00	96.44	90.0	86.80
04/26/2017	STENDAHL, RANDALL	ITS MANAGEMENT	28,701.96	53.67	0.00	53.67	90.0	48.30
04/26/2017	VAN AUKEN, KAREN	ITS MANAGEMENT	4,252.37	5.25	0.00	5.25	90.0	4.73
04/26/2017	WHITE, LOU ANN	ITS MANAGEMENT	9,612.02	17.98	0.00	17.98	90.0	16.18
04/26/2017	WILLIAMS, BARBARA	ITS MANAGEMENT	31,753.29	39.59	0.00	39.59	90.0	35.63
04/26/2017	WILLING, AARON	ITS MANAGEMENT	36,578.63	91.20	0.00	91.20	90.0	82.08
04/26/2017	GREENWALT, PAUL	ITS MANAGEMENT	87,945.65	-5.42	0.00	-5.42	90.0	-4.88
04/26/2017	GRIME, MARK	ITS MANAGEMENT	123,902.17	-71.29	0.00	-71.29	90.0	-64.16
04/26/2017	THOMAS, THELMA	ITS MANAGEMENT	147,537.66	-87.92	0.00	-87.92	90.0	-79.13
04/26/2017	WILLING, LEONARD	ITS MANAGEMENT	143,333.93	-29.45	0.00	-29.45	90.0	-26.51
04/26/2017	NEWBAUER, CAMERON	ITS MANAGEMENT	95,543.64	-58.89	0.00	-58.89	90.0	-53.00
04/26/2017	TUTTLE, THOMAS	ITS MANAGEMENT	206,276.92	-135.63	0.00	-135.63	90.0	-122.07
04/26/2017	COLE, TIMOTHY	ITS MANAGEMENT	34,746.43	-32.13	0.00	-32.13	90.0	-28.92
04/26/2017	HOLLIS, TONYUA	ITS MANAGEMENT	43,985.22	-51.52	0.00	-51.52	90.0	-46.37
04/26/2017	HOLLIS, JAMES	ITS MANAGEMENT	111,729.94	-119.39	0.00	-119.39	90.0	-107.45
04/26/2017	LESHER, DAVID	ITS MANAGEMENT	144,630.54	-172.37	0.00	-172.37	90.0	-155.13
04/26/2017	PARROT, CHARLES	ITS MANAGEMENT	36,114.53	-70.25	0.00	-70.25	90.0	-63.23
04/26/2017	PLATT, GINA	ITS MANAGEMENT	76,537.29	-106.94	0.00	-106.94	90.0	-96.25
04/26/2017	REESE, BARRY	ITS MANAGEMENT	32,895.75	-44.61	0.00	-44.61	90.0	-40.15
05/02/2017	HATTERY, KARI	JEFFERSON NATIONAL	17,254.35	64.70	0.00	64.70	90.0	58.23

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
05/04/2017	KAUZLICK, MICHAEL	JEFFERSON NATIONAL	13.58	0.03	0.00	0.03	90.0	0.03
05/23/2017	GROTE, DONALD	JEFFERSON NATIONAL	136,201.72	340.50	0.00	340.50	90.0	306.45
* RIA SUBTOTAL *			\$4,916,976.91	\$4,981.64	\$0.00	\$4,981.64		\$4,483.48
*** BROKER TRANSACTIONS TOTAL ***			\$4,908,983.56	\$4,981.64	\$0.00	\$4,981.64		\$4,483.48

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

05/09/2017	FORT WAYNE, CITY OF	FIDELITY INVESTMENTS	0.00	67.75	0.00	67.75	90.0	60.98
05/11/2017	FORT WAYNE, CITY OF	FIDELITY INVESTMENTS	0.00	2,264.72	0.00	2,264.72	90.0	2,038.25
04/26/2017	BECKER, PATRICIA	ITS MANAGEMENT	31,047.57	27.10	0.00	27.10	90.0	24.39
04/26/2017	GATER, CAREY	ITS MANAGEMENT	70,598.53	46.21	0.00	46.21	90.0	41.59
04/26/2017	COSTANZA, JOSEPH	ITS MANAGEMENT	19,379.25	-10.60	0.00	-10.60	90.0	-9.54
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$121,025.35	\$2,395.18	\$0.00	\$2,395.18		\$2,155.67
*** SPLIT TRANSACTIONS TOTAL ***			\$121,025.35	\$2,395.18	\$0.00	\$2,395.18		\$2,155.67

ADJUSTMENTS

05/31/2017		*PERSHING: ETF PROSPECTUS	0.00					-3.92
05/31/2017		*PERSHING: MF PROSPECTUS	0.00					-16.58
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-20.50

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BROKER COMMISSION TOTALS

Broker Transactions	\$4,483.48
Split Transactions	\$2,155.67
Override Transactions	\$0.00
Adjustments	\$-20.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,618.65
Year-to-date Gross	\$167,289.65
Year-to-date Earnings	\$150,419.25