



COMMISSION STATEMENT

January 22, 2018

RICHARD SOWERS
 2451 McMullen Booth Road
 Suite 263
 Clearwater, FL 33759

BROKER #: 71
 BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/08/2018	***-**-525P - WILSON, FRANCIS ACCOUNT #: 00082184129	CAPITAL INCOME BUILDER-A BUY 3 Units @65.0800	196.58	3.93	0.00	3.93	90.0	3.54
01/08/2018	***-**-255P - WILSON, HELEN ACCOUNT #: 00082184171	CAPITAL INCOME BUILDER-A BUY 1 Units @65.0800	50.00	1.00	0.00	1.00	90.0	0.90
01/08/2018	***-**-211I - MEGILL, ROBERT ACCOUNT #: 00084334457	CAPITAL INCOME BUILDER-A BUY 2 Units @65.7500	134.13	3.69	0.00	3.69	90.0	3.32
01/08/2018	***-**-828I - SHARMA, BOBBY ACCOUNT #: 00084334446	CAPITAL INCOME BUILDER-A BUY 1 Units @66.4400	77.13	2.89	0.00	2.89	90.0	2.60
01/08/2018	***-**-706I - HOFSTETTER, ACCOUNT #: 00084334451	CAPITAL INCOME BUILDER-A BUY 0 Units @66.7900	30.00	1.28	0.00	1.28	90.0	1.15
01/08/2018	***-**-525P - WILSON, FRANCIS ACCOUNT #: 00082184129	CAPITAL WORLD GROWTH AND INCOME FUN BUY 4 Units @53.8100	196.58	3.93	0.00	3.93	90.0	3.54
01/08/2018	***-**-255P - WILSON, HELEN ACCOUNT #: 00082184171	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @53.8100	50.00	1.00	0.00	1.00	90.0	0.90
01/08/2018	***-**-211I - MEGILL, ROBERT ACCOUNT #: 00084334457	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @54.3600	134.13	3.69	0.00	3.69	90.0	3.32
01/08/2018	***-**-828I - SHARMA, BOBBY ACCOUNT #: 00084334446	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @54.9300	77.13	2.89	0.00	2.89	90.0	2.60
01/08/2018	***-**-706I - HOFSTETTER, ACCOUNT #: 00084334451	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @55.2200	30.00	1.28	0.00	1.28	90.0	1.15
01/08/2018	***-**-46IN - MILLS, CATHERINE ACCOUNT #: 00086578408	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @55.2200	100.00	4.25	0.00	4.25	90.0	3.83
01/08/2018	***-**-224I - WILSON, FRANCIS ACCOUNT #: 00085899638	FUNDAMENTAL INVESTORS-A BUY 7 Units @66.9800	442.60	16.60	0.00	16.60	90.0	14.94
01/08/2018	***-**-525P - WILSON, FRANCIS ACCOUNT #: 00082184129	THE GROWTH FUND OF AMERICA-A BUY 4 Units @52.5400	196.58	3.93	0.00	3.93	90.0	3.54
01/08/2018	***-**-255P - WILSON, HELEN ACCOUNT #: 00082184171	THE GROWTH FUND OF AMERICA-A BUY 1 Units @52.5400	50.00	1.00	0.00	1.00	90.0	0.90
01/08/2018	***-**-211I - MEGILL, ROBERT ACCOUNT #: 00084334457	THE GROWTH FUND OF AMERICA-A BUY 3 Units @53.0900	134.13	3.69	0.00	3.69	90.0	3.32
01/08/2018	***-**-828I - SHARMA, BOBBY ACCOUNT #: 00084334446	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.6400	77.13	2.89	0.00	2.89	90.0	2.60



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
01/08/2018	***-**-706I - HOFSTETTER, ACCOUNT #: 00084334451	THE GROWTH FUND OF AMERICA-A BUY 1 Units @53.9300	30.00	1.28	0.00	1.28	90.0	1.15
01/08/2018	***-**-46IN - MILLS, CATHERINE ACCOUNT #: 00086578408	THE GROWTH FUND OF AMERICA-A BUY 2 Units @53.9300	100.00	4.25	0.00	4.25	90.0	3.83
01/08/2018	***-**-211I - MEGILL, ROBERT ACCOUNT #: 00084334457	THE INCOME FUND OF AMERICA-A BUY 5 Units @24.5300	134.13	3.69	0.00	3.69	90.0	3.32
01/08/2018	***-**-828I - SHARMA, BOBBY ACCOUNT #: 00084334446	THE INCOME FUND OF AMERICA-A BUY 3 Units @24.7900	77.13	2.89	0.00	2.89	90.0	2.60
01/08/2018	***-**-706I - HOFSTETTER, ACCOUNT #: 00084334451	THE INCOME FUND OF AMERICA-A BUY 1 Units @24.9200	30.00	1.28	0.00	1.28	90.0	1.15
01/08/2018	***-**-525P - WILSON, FRANCIS ACCOUNT #: 00082184129	WASHINGTON MUTUAL INVESTORS FUND-A BUY 4 Units @47.9000	196.58	3.93	0.00	3.93	90.0	3.54
01/08/2018	***-**-255P - WILSON, HELEN ACCOUNT #: 00082184171	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @47.9000	50.00	1.00	0.00	1.00	90.0	0.90
* MUTUAL FUNDS SUBTOTAL *			\$2,593.96	\$76.26	\$0.00	\$76.26		\$68.64
PRODUCT CATEGORY: 529 PLAN								
01/19/2018	***-**-217I - VITALE, ESTHER ACCOUNT #: 7200011021	LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	0.00	7.29	0.00	7.29	90.0	6.56
01/19/2018	***-**-36IN - CLAWSON, ACCOUNT #: 7200017170	LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	0.00	5.52	0.00	5.52	90.0	4.97
01/19/2018	***-**-339I - COBIAN, NANCY ACCOUNT #: 7200019226	LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	0.00	16.71	0.00	16.71	90.0	15.04
* 529 PLAN SUBTOTAL *			\$0.00	\$29.52	\$0.00	\$29.52		\$26.57
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
01/08/2018	***-**-322I - PITTM, BARB ACCOUNT #: 00940010050	ALLIANCEBERNSTEIN GROWTH AND INC A BUY 0 Units @0.0000	0.00	4.15	0.00	4.15	90.0	3.74
01/10/2018	***-**-254J - OTHEGUY, JUAN & ACCOUNT #: 00004910394	CALVERT ULTRA SHORT INCOME - A BUY 0 Units @0.0000	0.00	3.01	0.00	3.01	90.0	2.71
01/22/2018	***-**-654O - STOUT, LOUISE ACCOUNT #: LSR011072	CAPITAL INCOME BUILDER CLASS C BUY 1 Units @0.0000	0.00	1.66	0.00	1.66	90.0	1.49



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
01/22/2018	***-**-13IO - CHARD, DANIELLE	CAPITAL WORLD GROWTH AND INCOME FUN	0.00	2.86	0.00	2.86	90.0	2.57
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
01/22/2018	***-**-308I - KEENAN, PETER	COLUMBIA FUNDS MF TRAILS	0.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: 08696440853	BUY 0 Units @0.0000						
01/22/2018	***-**-237I - PIERSON, JEFFREY	COLUMBIA FUNDS MF TRAILS	0.00	11.26	0.00	11.26	90.0	10.13
	ACCOUNT #: 08696900918	BUY 0 Units @0.0000						
01/08/2018	***-**-6114 - HARBIN GRE	FIDELITY ADV EQUITY GROWTH CL A	0.00	4.09	0.00	4.09	90.0	3.68
	ACCOUNT #: 00655706638	BUY 0 Units @0.0000						
01/08/2018	***-**-114I - HARBIN, GREGORY	FIDELITY ADV EQUITY GROWTH CL A	0.00	2.15	0.00	2.15	90.0	1.94
	ACCOUNT #: 00704750850	BUY 0 Units @0.0000						
01/08/2018	***-**-9283 - HARBIN, JOANNA	FIDELITY ADVISOR GROWTH AND INCOME	0.00	6.42	0.00	6.42	90.0	5.78
	ACCOUNT #: 00504394842	BUY 0 Units @0.0000						
01/22/2018	***-**-13IO - CHARD, DANIELLE	FUNDAMENTAL INVESTORS-A	0.00	3.05	0.00	3.05	90.0	2.75
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
01/22/2018	***-**-10IR - JANNEY, DONNA	FUNDAMENTAL INVESTORS-A	0.00	0.88	0.00	0.88	90.0	0.79
	ACCOUNT #: LSR011203	BUY 1 Units @0.0000						
01/22/2018	***-**-08IR - JANNEY, DAVID	FUNDAMENTAL INVESTORS-A	0.00	0.88	0.00	0.88	90.0	0.79
	ACCOUNT #: LSR011204	BUY 1 Units @0.0000						
01/22/2018	***-**-7933 - PIERSON, KAREN	GRIFFIN CAPITAL ESS ASSET II TRAILS	0.00	179.69	0.00	179.69	90.0	161.72
	ACCOUNT #: 091125554	BUY 0 Units @0.0000						
01/22/2018	***-**-935I - LOWE, JAMES	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	12.24	0.00	12.24	90.0	11.02
	ACCOUNT #: LSR008460	BUY 1 Units @0.0000						
01/22/2018	***-**-935I - LOWE, JAMES	GRIFFIN INST ACCESS REAL ESTATE FD	0.00	4.08	0.00	4.08	90.0	3.67
	ACCOUNT #: LSR008460	BUY 1 Units @0.0000						
01/22/2018	***-**-13IO - CHARD, DANIELLE	NEW PERSPECTIVE FUND-A	0.00	3.08	0.00	3.08	90.0	2.77
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
01/22/2018	***-**-13IR - CHARD, DANIELLE	NEW PERSPECTIVE FUND-A	0.00	1.43	0.00	1.43	90.0	1.29
	ACCOUNT #: LSR010093	BUY 1 Units @0.0000						
01/22/2018	***-**-547J - BEST III, JOHN	NEW PERSPECTIVE FUND-A	0.00	5.99	0.00	5.99	90.0	5.39
	ACCOUNT #: LSR010824	BUY 1 Units @0.0000						
01/22/2018	***-**-10IR - JANNEY, DONNA	NEW PERSPECTIVE FUND-A	0.00	0.84	0.00	0.84	90.0	0.76
	ACCOUNT #: LSR011203	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/22/2018	***-**-08IR - JANNEY, DAVID	NEW PERSPECTIVE FUND-A	0.00	0.84	0.00	0.84	90.0	0.76
	ACCOUNT #: LSR011204	BUY 1 Units @0.0000						
01/22/2018	***-**-458I - GROSS, JAN	PRUDENTIAL MF TRAILS	0.00	12.02	0.00	12.02	90.0	10.82
	ACCOUNT #: 0007437612	BUY 0 Units @0.0000						
01/22/2018	***-**-436S - DUNLAP, RICHARD	PRUDENTIAL MF TRAILS	0.00	1.97	0.00	1.97	90.0	1.77
		BUY 0 Units @0.0000						
01/22/2018	***-**-558H - PEAVEY, DARREN	PRUDENTIAL MF TRAILS	0.00	3.74	0.00	3.74	90.0	3.37
	ACCOUNT #: 0007161513	BUY 0 Units @0.0000						
01/02/2018	***-**-78UG - REBMAN, AMANDA M	PUTNAM EQUITY INCOME FUND-A	0.00	10.54	0.00	10.54	90.0	9.49
	ACCOUNT #: 00435289055	BUY 0 Units @0.0000						
01/02/2018	***-**-833J - KAHERMANES, NORA	PUTNAM EQUITY INCOME FUND-A	0.00	6.23	0.00	6.23	90.0	5.61
	ACCOUNT #: 00494851506	BUY 0 Units @0.0000						
01/02/2018	***-**-58UG - HAUSMAN, LAURA E	PUTNAM EQUITY INCOME FUND-A	0.00	3.25	0.00	3.25	90.0	2.93
	ACCOUNT #: 00550830449	BUY 0 Units @0.0000						
01/02/2018	***-**-67UG - HAUSMAN, SYDNEY	PUTNAM EQUITY INCOME FUND-A	0.00	5.72	0.00	5.72	90.0	5.15
	ACCOUNT #: 00550842095	BUY 0 Units @0.0000						
01/02/2018	***-**-52IN - LORD, GEORGE	PUTNAM EQUITY INCOME FUND-A	0.00	10.31	0.00	10.31	90.0	9.28
	ACCOUNT #: 00550886148	BUY 0 Units @0.0000						
01/02/2018	***-**-58UG - HAUSMAN, LAURA E	PUTNAM GLOBAL HEALTH CARE FD-A	0.00	5.22	0.00	5.22	90.0	4.70
	ACCOUNT #: 00550830449	BUY 0 Units @0.0000						
01/02/2018	***-**-67UG - HAUSMAN, SYDNEY	PUTNAM GLOBAL HEALTH CARE FD-A	0.00	6.48	0.00	6.48	90.0	5.83
	ACCOUNT #: 00550842095	BUY 0 Units @0.0000						
01/02/2018	***-**-717I - FUERST, DOUGLAS	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	1.03	0.00	1.03	90.0	0.93
	ACCOUNT #: 00232502075	BUY 0 Units @0.0000						
01/02/2018	***-**-965P - WILSON, TINA	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	0.80	0.00	0.80	90.0	0.72
	ACCOUNT #: 00401490537	BUY 0 Units @0.0000						
01/02/2018	***-**-8831 - KILMER,	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	6.68	0.00	6.68	90.0	6.01
	ACCOUNT #: 00401506977	BUY 0 Units @0.0000						
01/02/2018	***-**-833J - KAHERMANES, NORA	PUTNAM GROWTH OPPORTUNITIES FD CL A	0.00	4.55	0.00	4.55	90.0	4.10
	ACCOUNT #: 00494851506	BUY 0 Units @0.0000						
01/02/2018	***-**-70UG - KAHERMANES,	PUTNAM INVESTORS FUND-A	0.00	9.62	0.00	9.62	90.0	8.66
	ACCOUNT #: 00507255867	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/02/2018	***-**-70UG - KAHERMANES, ACCOUNT #: 00507255867	PUTNAM INVESTORS FUND-B BUY 0 Units @0.0000	0.00	4.86	0.00	4.86	90.0	4.37
01/02/2018	***-**-52IN - LORD, GEORGE ACCOUNT #: 00550886148	PUTNAM MID-CAP VALUE FUND-A BUY 0 Units @0.0000	0.00	0.91	0.00	0.91	90.0	0.82
01/02/2018	***-**-52IN - LORD, GEORGE ACCOUNT #: 00550886148	PUTNAM NEW OPPORTUNITIES FUND CLASS BUY 0 Units @0.0000	0.00	6.08	0.00	6.08	90.0	5.47
01/02/2018	***-**-9717 - FUERST AND, ACCOUNT #: 00551486754	PUTNAM NEW OPPORTUNITIES FUND CLASS BUY 0 Units @0.0000	0.00	62.31	0.00	62.31	90.0	56.08
01/02/2018	***-**-58UG - HAUSMAN, LAURA E ACCOUNT #: 00550830449	PUTNAM SMALL CAP VALUE FUND-A BUY 0 Units @0.0000	0.00	6.86	0.00	6.86	90.0	6.17
01/02/2018	***-**-67UG - HAUSMAN, SYDNEY ACCOUNT #: 00550842095	PUTNAM SMALL CAP VALUE FUND-A BUY 0 Units @0.0000	0.00	4.19	0.00	4.19	90.0	3.77
01/22/2018	***-**-13IO - CHARD, DANIELLE ACCOUNT #: LSR010092	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	3.57	0.00	3.57	90.0	3.21
01/22/2018	***-**-547J - BEST III, JOHN ACCOUNT #: LSR010824	THE INVESTMENT COMPANY OF AMERICA-A BUY 1 Units @0.0000	0.00	5.99	0.00	5.99	90.0	5.39
01/22/2018	***-**-6540 - STOUT, LOUISE ACCOUNT #: LSR011072	THE NEW ECONOMY FUND-C BUY 1 Units @0.0000	0.00	6.93	0.00	6.93	90.0	6.24
01/22/2018	***-**-13IO - CHARD, DANIELLE ACCOUNT #: LSR010092	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @0.0000	0.00	2.59	0.00	2.59	90.0	2.33
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$441.11	\$0.00	\$441.11		\$397.02
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
01/02/2018	***-**-4155 - OUELLETTE, JOYCE ACCOUNT #: T06422061	GENWORTH 37250G277 BUY 0 Units @0.0000	0.00	41.02	0.00	41.02	90.0	36.92
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$41.02	\$0.00	\$41.02		\$36.92
*** BROKER TRANSACTIONS TOTAL ***			\$2,593.96	\$587.91	\$0.00	\$587.91		\$529.15



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BROKER COMMISSION TOTALS									
Broker Transactions \$529.15									
Split Transactions \$0.00									
Override Transactions \$0.00									
Adjustments \$0.00									
Payroll Draw \$0.00									
Base Salary \$0.00									
Prior Period Balance \$0.00									
FINRA Assessment \$0.00									
SIPC Assessment \$0.00									
Net Commission Earned \$529.15									
Year-to-date Earnings \$6,351.33									