

**COMMISSION STATEMENT****February 28, 2018**

1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOSK  
 417 E. Main Street  
 3rd Floor  
 Port Jefferson, NY 11777

BROKER #: 1JR  
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
^02/06/2018	QUADROZZI, CATHERINE	AXA EQUITABLE 00248C659	50,000.00	3,500.00	0.00	3,500.00	100.0	0.00
^02/06/2018	QUADROZZI, CATHERINE	AXA EQUITABLE 00248C659	0.00	3,500.00	0.00	3,500.00	100.0	0.00
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$50,000.00</b>	<b>\$7,000.00</b>	<b>\$0.00</b>	<b>\$7,000.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^02/12/2016	KLETT, MARTIN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	2.53	0.00	2.53	50.0	0.00
^03/14/2016	KLETT, MARTIN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	2.26	0.00	2.26	50.0	0.00
^04/15/2016	KLETT, MARTIN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	2.57	0.00	2.57	50.0	0.00
^05/24/2016	KLETT, MARTIN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	2.57	0.00	2.57	50.0	0.00
^06/14/2016	KLETT, MARTIN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	2.65	0.00	2.65	50.0	0.00
^07/20/2016	KLETT, MARTIN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	2.57	0.00	2.57	50.0	0.00
^08/17/2016	KLETT, MARTIN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	2.72	0.00	2.72	50.0	0.00
^09/16/2016	KLETT, MARTIN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	2.79	0.00	2.79	50.0	0.00
^10/14/2016	KLETT, MARTIN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	2.67	0.00	2.67	50.0	0.00
^11/15/2016	KLETT, MARTIN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	3.19	0.00	3.19	50.0	0.00
^12/15/2016	KLETT, MARTIN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	5.92	0.00	5.92	50.0	0.00
^02/12/2016	KLETT, MARTIN	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	3.14	0.00	3.14	50.0	0.00
^03/14/2016	KLETT, MARTIN	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	2.79	0.00	2.79	50.0	0.00
^04/15/2016	KLETT, MARTIN	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	3.17	0.00	3.17	50.0	0.00
^05/24/2016	KLETT, MARTIN	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	3.16	0.00	3.16	50.0	0.00
^06/14/2016	KLETT, MARTIN	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	3.26	0.00	3.26	50.0	0.00
^07/20/2016	KLETT, MARTIN	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	3.16	0.00	3.16	50.0	0.00
^08/17/2016	KLETT, MARTIN	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	3.35	0.00	3.35	50.0	0.00
^09/16/2016	KLETT, MARTIN	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	3.44	0.00	3.44	50.0	0.00
^10/14/2016	KLETT, MARTIN	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	3.29	0.00	3.29	50.0	0.00
^11/15/2016	KLETT, MARTIN	COLUMBIA MARSICO GROWTH FUND CLASS	0.00	2.97	0.00	2.97	50.0	0.00
^02/10/2016	KLETT, JEAN	FEDERATED HIGH INCOME BOND FUND INC	0.00	3.30	0.00	3.30	50.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^03/15/2016	KLETT, JEAN	FEDERATED HIGH INCOME BOND FUND INC	0.00	3.05	0.00	3.05	50.0	0.00
^04/20/2016	KLETT, JEAN	FEDERATED HIGH INCOME BOND FUND INC	0.00	3.43	0.00	3.43	50.0	0.00
^05/16/2016	KLETT, JEAN	FEDERATED HIGH INCOME BOND FUND INC	0.00	3.39	0.00	3.39	50.0	0.00
^06/15/2016	KLETT, JEAN	FEDERATED HIGH INCOME BOND FUND INC	0.00	3.55	0.00	3.55	50.0	0.00
^07/19/2016	KLETT, JEAN	FEDERATED HIGH INCOME BOND FUND INC	0.00	3.47	0.00	3.47	50.0	0.00
^08/12/2016	KLETT, JEAN	FEDERATED HIGH INCOME BOND FUND INC	0.00	3.67	0.00	3.67	50.0	0.00
^09/16/2016	KLETT, JEAN	FEDERATED HIGH INCOME BOND FUND INC	0.00	3.73	0.00	3.73	50.0	0.00
^10/21/2016	KLETT, JEAN	FEDERATED HIGH INCOME BOND FUND INC	0.00	3.63	0.00	3.63	50.0	0.00
^11/21/2016	KLETT, JEAN	FEDERATED HIGH INCOME BOND FUND INC	0.00	3.79	0.00	3.79	50.0	0.00
^12/16/2016	KLETT, JEAN	FEDERATED HIGH INCOME BOND FUND INC	0.00	3.62	0.00	3.62	50.0	0.00
^04/22/2016	KLETT TRUST, MARTIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	17.81	0.00	17.81	50.0	0.00
^08/01/2016	KLETT TRUST, MARTIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	18.01	0.00	18.01	50.0	0.00
^10/17/2016	KLETT TRUST, MARTIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	18.35	0.00	18.35	50.0	0.00
^09/15/2016	VICTOR, ELLEN	JP MORGAN GROWTH ADV A	0.00	1.09	0.00	1.09	50.0	0.00
^10/14/2016	VICTOR, ELLEN	JP MORGAN GROWTH ADV A	0.00	1.91	0.00	1.91	50.0	0.00
^11/21/2016	VICTOR, ELLEN	JP MORGAN GROWTH ADV A	0.00	1.97	0.00	1.97	50.0	0.00
^12/16/2016	VICTOR, ELLEN	JP MORGAN GROWTH ADV A	0.00	1.91	0.00	1.91	50.0	0.00
^02/25/2016	MALEN, BETTY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.68	0.00	0.68	50.0	0.00
^02/25/2016	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.40	0.00	0.40	50.0	0.00
^03/08/2016	MALEN, BETTY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.57	0.00	0.57	50.0	0.00
^03/08/2016	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.34	0.00	0.34	50.0	0.00
^04/22/2016	MALEN, BETTY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.68	0.00	0.68	50.0	0.00
^04/22/2016	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.41	0.00	0.41	50.0	0.00
^05/17/2016	MALEN, BETTY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.72	0.00	0.72	50.0	0.00
^05/17/2016	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.43	0.00	0.43	50.0	0.00
^06/15/2016	MALEN, BETTY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.81	0.00	0.81	50.0	0.00
^06/15/2016	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.49	0.00	0.49	50.0	0.00
^07/14/2016	MALEN, BETTY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.74	0.00	0.74	50.0	0.00
^07/14/2016	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.50	0.00	0.50	50.0	0.00
^08/18/2016	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.53	0.00	0.53	50.0	0.00
^09/15/2016	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.53	0.00	0.53	50.0	0.00
^10/21/2016	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.51	0.00	0.51	50.0	0.00
^11/09/2016	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.53	0.00	0.53	50.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^12/16/2016	KLETT, JEAN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.44	0.00	2.44	50.0	0.00
^12/16/2016	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.51	0.00	0.51	50.0	0.00
^02/25/2016	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.31	0.00	0.31	50.0	0.00
^02/25/2016	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.47	0.00	0.47	50.0	0.00
^02/25/2016	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.44	0.00	0.44	50.0	0.00
^03/08/2016	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.27	0.00	0.27	50.0	0.00
^03/08/2016	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.21	0.00	0.21	50.0	0.00
^03/08/2016	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.41	0.00	0.41	50.0	0.00
^03/08/2016	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.39	0.00	0.39	50.0	0.00
^03/08/2016	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.07	0.00	0.07	50.0	0.00
^04/22/2016	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.32	0.00	0.32	50.0	0.00
^04/22/2016	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.74	0.00	1.74	50.0	0.00
^04/22/2016	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.49	0.00	0.49	50.0	0.00
^04/22/2016	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.46	0.00	0.46	50.0	0.00
^04/22/2016	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.22	0.00	0.22	50.0	0.00
^05/17/2016	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.34	0.00	0.34	50.0	0.00
^05/17/2016	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.83	0.00	1.83	50.0	0.00
^05/17/2016	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.51	0.00	0.51	50.0	0.00
^05/17/2016	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.48	0.00	0.48	50.0	0.00
^05/17/2016	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.23	0.00	0.23	50.0	0.00
^06/15/2016	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.37	0.00	0.37	50.0	0.00
^06/15/2016	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.03	0.00	2.03	50.0	0.00
^06/15/2016	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.56	0.00	0.56	50.0	0.00
^06/15/2016	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.53	0.00	0.53	50.0	0.00
^06/15/2016	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.26	0.00	0.26	50.0	0.00
^07/14/2016	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.37	0.00	0.37	50.0	0.00
^07/14/2016	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.05	0.00	2.05	50.0	0.00
^07/14/2016	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.56	0.00	0.56	50.0	0.00
^07/14/2016	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.53	0.00	0.53	50.0	0.00
^07/14/2016	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.26	0.00	0.26	50.0	0.00
^08/18/2016	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.40	0.00	0.40	50.0	0.00
^08/18/2016	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.18	0.00	2.18	50.0	0.00
^08/18/2016	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.59	0.00	0.59	50.0	0.00

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^08/18/2016	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.57	0.00	0.57	50.0	0.00
^08/18/2016	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.28	0.00	0.28	50.0	0.00
^09/15/2016	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.39	0.00	0.39	50.0	0.00
^09/15/2016	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.21	0.00	2.21	50.0	0.00
^09/15/2016	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.59	0.00	0.59	50.0	0.00
^09/15/2016	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.57	0.00	0.57	50.0	0.00
^09/15/2016	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.28	0.00	0.28	50.0	0.00
^10/21/2016	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.38	0.00	0.38	50.0	0.00
^10/21/2016	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.15	0.00	2.15	50.0	0.00
^10/21/2016	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.57	0.00	0.57	50.0	0.00
^10/21/2016	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.56	0.00	0.56	50.0	0.00
^10/21/2016	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.28	0.00	0.28	50.0	0.00
^11/09/2016	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.41	0.00	0.41	50.0	0.00
^11/09/2016	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.30	0.00	2.30	50.0	0.00
^11/09/2016	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.60	0.00	0.60	50.0	0.00
^11/09/2016	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.60	0.00	0.60	50.0	0.00
^11/09/2016	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.29	0.00	0.29	50.0	0.00
^12/16/2016	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.40	0.00	0.40	50.0	0.00
^12/16/2016	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.24	0.00	2.24	50.0	0.00
^12/16/2016	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.58	0.00	0.58	50.0	0.00
^12/16/2016	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.58	0.00	0.58	50.0	0.00
^12/16/2016	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.29	0.00	0.29	50.0	0.00
^09/22/2016	VICTOR, ELLEN	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	0.66	0.00	0.66	50.0	0.00
^10/21/2016	VICTOR, ELLEN	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	1.18	0.00	1.18	50.0	0.00
^11/21/2016	VICTOR, ELLEN	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	1.21	0.00	1.21	50.0	0.00
^12/19/2016	VICTOR, ELLEN	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	1.16	0.00	1.16	50.0	0.00
^12/19/2016	WOLD, JOHN	VOYA MID-CAP OPPORTUNITIES FUND CLA	0.00	0.10	0.00	0.10	50.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$216.98</b>	<b>\$0.00</b>	<b>\$216.98</b>		<b>\$0.00</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$50,000.00</b>	<b>\$7,216.98</b>	<b>\$0.00</b>	<b>\$7,216.98</b>		<b>\$0.00</b>

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.05
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.05</b>
<b>Year-to-date Gross</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>