

All Companies
STATEMENT
July 20, 2018

DEAN WELLS
689 W Morgan St
SUITE 620
Spencer, IN 47460

BROKER #: 156
BRANCH:

COMMISSION STATEMENT for DEAN WELLS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/01/0018	#08900056028	WELLS, MICHELLE BLUE CHIP (A)	3,122.20	0.66	90.0	0.00	0.59	0.00	0.59
05/01/0018	#08900056028	WELLS, MICHELLE LARGE CAP GROWTH I (A)	744.84	0.16	90.0	0.00	0.14	0.00	0.14
05/01/0018	#08900056028	WELLS, MICHELLE ORIGIN EMERGING MARKETS (A)	729.44	0.15	90.0	0.00	0.14	0.00	0.14
05/01/0018	#08900014069	WILLIAMS, PRINCIPAL 74254B521	16,060.46	13.64	90.0	0.00	12.28	0.00	12.28
05/01/0018	#08900044381	BLANDFORD, PRINCIPAL 745254V44	7,177.20	1.52	90.0	0.00	1.37	0.00	1.37
05/01/0018	#08900044535	BLANDFORD, PRINCIPAL 745254V44	5,823.08	1.24	90.0	0.00	1.12	0.00	1.12
05/01/0018	#08900012357	MONIX, EDWARD PRINCIPAL FDS INC GLOBAL DIVERSIFIE	1,514.26	0.32	90.0	0.00	0.29	0.00	0.29
05/01/0018	#08900012357	MONIX, EDWARD PRINCIPAL FDS INC SML MIDCAP DIVIDE	1,922.00	0.41	90.0	0.00	0.37	0.00	0.37
05/01/0018	#08900039892	WELLS UTMA IN, PRINCIPAL INVS FD INC	590.68	0.13	90.0	0.00	0.12	0.00	0.12
05/01/0018	#08900039893	WELLS UTMA IN, PRINCIPAL INVS FD INC	586.90	0.12	90.0	0.00	0.11	0.00	0.11
05/01/0018	#08900039898	WELLS UTMA IN, PRINCIPAL INVS FD INC	469.96	0.10	90.0	0.00	0.09	0.00	0.09
05/01/0018	#08900056028	WELLS, MICHELLE PRINCIPAL INVS FD INC	1,511.06	0.32	90.0	0.00	0.29	0.00	0.29
05/01/0018	#08900012357	MONIX, EDWARD PRINCIPAL INVS FD INC CAPITAL APPRE	1,963.61	0.42	90.0	0.00	0.38	0.00	0.38
05/01/0018	#08900012357	MONIX, EDWARD PRINCIPAL INVS FD INC EQUITY INCOME	1,821.35	0.39	90.0	0.00	0.35	0.00	0.35
05/01/0018	#08900012357	MONIX, EDWARD PRINCIPAL INVS FD INC MIDCAP BLEND	2,038.56	0.43	90.0	0.00	0.39	0.00	0.39
05/01/0018	#08900012357	MONIX, EDWARD PRINCIPAL INVS FD INC REAL ESTATE S	1,486.10	0.32	90.0	0.00	0.29	0.00	0.29
05/01/0018	#00015232446	FYAN, THOMAS PRINCIPAL INVS FD INC STRTC ASST MG	13,534.55	2.87	90.0	0.00	2.58	0.00	2.58
05/01/0018	#08900023334	DUNCAN, LACEY PRINCIPAL INVS FD INC STRTC ASST MG	4,140.77	0.88	90.0	0.00	0.79	0.00	0.79
05/01/0018	#08900056028	WELLS, MICHELLE PRINCIPAL INVS FD INC STRTIC ASST M	48.16	0.01	90.0	0.00	0.01	0.00	0.01
05/01/0018	#08900032969	MCKINZIE, SARAH S A M CONSERVATIVE GROWTH PORT (C)	3,333.12	2.83	90.0	0.00	2.55	0.00	2.55
05/01/0018	#08900032968	MCKINZIE, S A M STRATEGIC GROWTH PORTFOLIO (C	11,097.98	9.43	90.0	0.00	8.49	0.00	8.49
06/04/0018	#62664558602	BLANDFORD, COLLEGE PORTFOLIO CLASS C	200.00	2.00	90.0	0.00	1.80	0.00	1.80
06/04/0018	#62281123602	BLANDFORD, LARGE CAP INDEX PORTFOLIO CLASS A	25.00	1.19	90.0	0.00	1.07	0.00	1.07
06/11/2018	#04000958059	BLANDFORD, CAPITAL WORLD GROWTH & INCOME FUND	50.00	2.50	90.0	0.00	2.25	0.00	2.25
06/18/2018	#00214767874	PUTNAM EQUITY INCOME FUND NEW-CL A	25.00	1.25	90.0	0.00	1.13	0.00	1.13
06/18/2018	#00214738435	PUTNAM GROWTH OPPORTUNITIES FUND-CL	25.00	1.25	90.0	0.00	1.13	0.00	1.13
06/21/2018	# YAPP, BERNADETTE	ARTISAN FUNDS INC MID CAP FUND	300.00	0.00	90.0	0.00	0.00	20.00	-20.00

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* MUTUAL FUNDS SUBTOTAL *			\$80,341.28	\$44.54		\$0.00	\$40.12	\$20.00	\$20.12	
PRODUCT CATEGORY: VARIABLE ANNUITIES										
06/20/2021	#00007718267	BRINSON, BRIGHTHOUSE MVLAC	0.00	0.18	90.0	0.00	0.16	0.00	0.16	
06/20/2021	#00007718268	BRINSON, BRIGHTHOUSE MVLAC	0.00	0.39	90.0	0.00	0.35	0.00	0.35	
06/20/2021	#00007718269	BRINSON, BRIGHTHOUSE MVLAC	0.00	0.35	90.0	0.00	0.32	0.00	0.32	
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$0.92		\$0.00	\$0.83	\$0.00	\$0.83	
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
06/01/2018	#00075993930	DAVIES, DAVE	AMCAP FUND INC CLASS A	0.00	2.78	90.0	0.00	2.50	0.00	2.50
06/01/2018	#00081632391	HOOVER, DANIEL	AMCAP FUND INC CLASS A	0.00	6.81	90.0	0.00	6.13	0.00	6.13
06/01/2018	#00083302166	04/05/2006, UDT	AMCAP FUND INC CLASS A	0.00	0.62	90.0	0.00	0.56	0.00	0.56
06/01/2018	#00929134691	IN/UTMA	AMCAP FUND INC CLASS A	0.00	6.33	90.0	0.00	5.70	0.00	5.70
06/01/2018	#00929134692	IN/UTMA	AMCAP FUND INC CLASS A	0.00	2.62	90.0	0.00	2.36	0.00	2.36
06/01/2018	#00929134693	IN/UTMA	AMCAP FUND INC CLASS A	0.00	1.71	90.0	0.00	1.54	0.00	1.54
06/01/2018	#00089585778	SHRUM, JERRY	AMERICAN BALANCED FUND INC CLASS A	0.00	1.18	90.0	0.00	1.06	0.00	1.06
06/01/2018	#00089589105		AMERICAN BALANCED FUND INC CLASS A	0.00	0.64	90.0	0.00	0.58	0.00	0.58
06/01/2018	#00929134691	IN/UTMA	AMERICAN BALANCED FUND INC CLASS A	0.00	4.63	90.0	0.00	4.17	0.00	4.17
06/01/2018	#00929134692	IN/UTMA	AMERICAN BALANCED FUND INC CLASS A	0.00	1.34	90.0	0.00	1.21	0.00	1.21
06/01/2018	#00929134693	IN/UTMA	AMERICAN BALANCED FUND INC CLASS A	0.00	1.21	90.0	0.00	1.09	0.00	1.09
06/01/2018	#00083302166	04/05/2006, UDT	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	0.60	90.0	0.00	0.54	0.00	0.54
06/01/2018	#00073380549	FLORES, KATHRYN	AMERICAN FUNDS 023375405	0.00	4.55	90.0	0.00	4.10	0.00	4.10
06/01/2018	#00073380549	FLORES, KATHRYN	AMERICAN FUNDS 643822406	0.00	4.89	90.0	0.00	4.40	0.00	4.40
06/01/2018	#00083302166	04/05/2006, UDT	AMERICAN MUTUAL FUND INC CLASS A	0.00	0.56	90.0	0.00	0.50	0.00	0.50
06/01/2018	#00087294755		AMERICAN MUTUAL FUND INC CLASS A	0.00	9.93	90.0	0.00	8.94	0.00	8.94
06/01/2018	#00089585778	SHRUM, JERRY	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.21	90.0	0.00	1.09	0.00	1.09
06/01/2018	#00089589105		AMERICAN MUTUAL FUND INC CLASS A	0.00	0.67	90.0	0.00	0.60	0.00	0.60
06/01/2018	#00082515422	YEISER, THOMAS	CAPITAL INCOME BUILDER FD CL A	0.00	3.16	90.0	0.00	2.84	0.00	2.84
06/01/2018	#00083163028		CAPITAL INCOME BUILDER FD CL A	0.00	7.21	90.0	0.00	6.49	0.00	6.49
06/01/2018	#00064822273		CAPITAL WORLD GROWTH & INCOME FUND	0.00	3.47	90.0	0.00	3.12	0.00	3.12
06/01/2018	#00081842320	STURGEON,	CAPITAL WORLD GROWTH & INCOME FUND	0.00	21.18	90.0	0.00	19.06	0.00	19.06
06/01/2018	#00082515422	YEISER, THOMAS	CAPITAL WORLD GROWTH & INCOME FUND	0.00	3.88	90.0	0.00	3.49	0.00	3.49
06/01/2018	#00083163028		CAPITAL WORLD GROWTH & INCOME FUND	0.00	15.98	90.0	0.00	14.38	0.00	14.38
06/01/2018	#00087229992		CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.25	90.0	0.00	0.23	0.00	0.23
06/01/2018	#04000958059	BLANDFORD,	CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.01	90.0	0.00	0.01	0.00	0.01
06/01/2018	#00084191746	NEWGENT, DAVID	CAPITAL WORLD GROWTH & INCOME FUND	0.00	6.29	90.0	0.00	5.66	0.00	5.66
06/01/2018	#00083319810	UNTIL AGE 21,	CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.80	90.0	0.00	0.72	0.00	0.72
06/01/2018	#00064822273		EURO PACIFIC GROWTH FUND CLASS A	0.00	3.05	90.0	0.00	2.75	0.00	2.75
06/01/2018	#00075993930	DAVIES, DAVE	GLOBAL BALANCED FUND-A	0.00	1.84	90.0	0.00	1.66	0.00	1.66
06/01/2018	#00087229992		GLOBAL GROWTH PORTFOLIO-A	0.00	0.26	90.0	0.00	0.23	0.00	0.23

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00084191746 NEWGENT, DAVID	GROWTH FUND AMER INC CL C	0.00	12.76	90.0	0.00	11.48	0.00	11.48
06/01/2018	#00064822273	GROWTH FUND AMERICA INC CLASS A	0.00	11.35	90.0	0.00	10.22	0.00	10.22
06/01/2018	#00083163028	GROWTH FUND AMERICA INC CLASS A	0.00	13.82	90.0	0.00	12.44	0.00	12.44
06/01/2018	#00089585778 SHRUM, JERRY	GROWTH FUND AMERICA INC CLASS A	0.00	1.41	90.0	0.00	1.27	0.00	1.27
06/01/2018	#00089589105	GROWTH FUND AMERICA INC CLASS A	0.00	0.76	90.0	0.00	0.68	0.00	0.68
06/01/2018	#00081842320 STURGEON,	INCOME FUND OF AMERICA INC CLASS A	0.00	6.74	90.0	0.00	6.07	0.00	6.07
06/01/2018	#00082515422 YEISER, THOMAS	INCOME FUND OF AMERICA INC CLASS A	0.00	3.77	90.0	0.00	3.39	0.00	3.39
06/01/2018	#00089585778 SHRUM, JERRY	INCOME FUND OF AMERICA INC CLASS A	0.00	1.16	90.0	0.00	1.04	0.00	1.04
06/01/2018	#00089589105	INCOME FUND OF AMERICA INC CLASS A	0.00	0.62	90.0	0.00	0.56	0.00	0.56
06/01/2018	#00064822273	INVESTMENT CO AMER CLASS A	0.00	10.62	90.0	0.00	9.56	0.00	9.56
06/01/2018	#00081632391 HOOVER, DANIEL	INVESTMENT CO AMER CLASS A	0.00	6.72	90.0	0.00	6.05	0.00	6.05
06/01/2018	#00082515422 YEISER, THOMAS	INVESTMENT CO AMER CLASS A	0.00	3.33	90.0	0.00	3.00	0.00	3.00
06/01/2018	#00083302166 04/05/2006, UDT	INVESTMENT CO AMER CLASS A	0.00	0.60	90.0	0.00	0.54	0.00	0.54
06/01/2018	#00087294755	INVESTMENT CO AMER CLASS A	0.00	10.31	90.0	0.00	9.28	0.00	9.28
06/01/2018	#00081632391 HOOVER, DANIEL	NEW ECONOMY FUND SBI CLASS A	0.00	9.37	90.0	0.00	8.43	0.00	8.43
06/01/2018	#00082515422 YEISER, THOMAS	NEW ECONOMY FUND SBI CLASS A	0.00	14.11	90.0	0.00	12.70	0.00	12.70
06/01/2018	#00993518657 DAVIES, DAVE	NEW WORLD FUND INC NEW	0.00	0.64	90.0	0.00	0.58	0.00	0.58
06/01/2018	#00084191746 NEWGENT, DAVID	SMALLCAP WORLD FUND INC CL C	0.00	8.09	90.0	0.00	7.28	0.00	7.28
06/01/2018	#00064822273	SMALLCAP WORLD FUND INC CLASS A	0.00	10.74	90.0	0.00	9.67	0.00	9.67
06/01/2018	#00075993930 DAVIES, DAVE	SMALLCAP WORLD FUND INC CLASS A	0.00	2.43	90.0	0.00	2.19	0.00	2.19
06/01/2018	#00082515422 YEISER, THOMAS	SMALLCAP WORLD FUND INC CLASS A	0.00	2.65	90.0	0.00	2.39	0.00	2.39
06/01/2018	#00083163028	SMALLCAP WORLD FUND INC CLASS A	0.00	6.83	90.0	0.00	6.15	0.00	6.15
06/01/2018	#00929134691 IN/UTMA	SMALLCAP WORLD FUND INC CLASS A	0.00	7.64	90.0	0.00	6.88	0.00	6.88
06/01/2018	#00929134692 IN/UTMA	SMALLCAP WORLD FUND INC CLASS A	0.00	3.88	90.0	0.00	3.49	0.00	3.49
06/01/2018	#00929134693 IN/UTMA	SMALLCAP WORLD FUND INC CLASS A	0.00	2.03	90.0	0.00	1.83	0.00	1.83
06/01/2018	#00073380549 FLORES, KATHRYN	THE INVESTMENT COMPANY OF AMERICA F	0.00	4.47	90.0	0.00	4.02	0.00	4.02
06/01/2018	#00084191746 NEWGENT, DAVID	WASHINGTON MUTUAL INVESTORS FUND-C	0.00	11.04	90.0	0.00	9.94	0.00	9.94
06/01/2018	#00064822273	WASHINGTON MUTUAL INVS FD CLASS A	0.00	11.38	90.0	0.00	10.24	0.00	10.24
06/01/2018	#00087294755	WASHINGTON MUTUAL INVS FD CLASS A	0.00	9.68	90.0	0.00	8.71	0.00	8.71
06/11/2018	# BUELL, ROBERTA	DAIN TRAILER	0.00	2.21	90.0	0.00	1.99	0.00	1.99
06/11/2018	# BUELL, ROBERTA	DAIN TRAILER	0.00	3.14	90.0	0.00	2.83	0.00	2.83
06/11/2018	# BUELL, ROBERTA	DAIN TRAILER	0.00	2.27	90.0	0.00	2.04	0.00	2.04
06/11/2018	# LEFFEL, KEVIN	DAIN TRAILER	0.00	14.05	90.0	0.00	12.65	0.00	12.65
06/11/2018	# CRAIG, CHERYL	DAIN TRAILER	0.00	1.73	90.0	0.00	1.56	0.00	1.56
06/11/2018	# YAPP, BERNADETTE	DAIN TRAILER	0.00	0.50	90.0	0.00	0.45	0.00	0.45
06/11/2018	# MCCALLISTER, ROBIN	DAIN TRAILER	0.00	0.63	90.0	0.00	0.57	0.00	0.57

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
06/11/2018 #	MCCALLISTER, ROBIN	DAIN TRAILER	0.00	1.09	90.0	0.00	0.98	0.00	0.98
06/11/2018 #	MCCALLISTER, ROBIN	DAIN TRAILER	0.00	0.92	90.0	0.00	0.83	0.00	0.83
06/11/2018 #		DAIN TRAILER	0.00	1.39	90.0	0.00	1.25	0.00	1.25
06/19/2018 #	CRAIG, CHERYL	DAIN TRAILER	0.00	0.45	90.0	0.00	0.41	0.00	0.41
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$336.99		\$0.00	\$303.35	\$0.00	\$303.35
*** BROKER TRANSACTIONS TOTAL ***			\$80,341.28	\$382.45		\$0.00	\$344.30	\$20.00	\$324.30

ADJUSTMENTS

07/20/2018	BETA BACK OFFICE: ADMIN FEE	-390.00
07/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
07/20/2018	CIRCLEBLACK: CIRCLEBLACK	-175.00
07/20/2018	CRD FEES: CRD FEES - KY INDIVIDUAL	-50.00
	REG FEE	
*** ADJUSTMENTS TOTAL ***		\$-794.00

BROKER COMMISSION TOTALS

Broker Transactions	\$324.30
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-794.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-2,252.42
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-2,722.12
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