

All Companies  
**STATEMENT**  
**July 20, 2018**

JEFF - TERMINATED HEADRICK  
3214 Tazewell Pike Suite 1

BROKER #: 163  
BRANCH:

Knoxville, TN 37918

COMMISSION STATEMENT for JEFF - TERMINATED HEADRICK

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/07/2018	#00069217595	ROBERTS,	CAPITAL WORLD BOND FUND-529A	50.00	1.50	94.0	0.00	1.41	0.00	1.41
06/07/2018	#00069217597	ROBERTS,	CAPITAL WORLD BOND FUND-529A	50.00	1.50	94.0	0.00	1.41	0.00	1.41
06/15/2018	#00087004650	MERRIMAN,	CAPITAL INCOME BUILDER FD CL A	50.00	2.50	94.0	0.00	2.35	0.00	2.35
06/18/2018	#00075342200	ROBERTS,	CAPITAL WORLD BOND FUND-529A	50.00	1.50	94.0	0.00	1.41	0.00	1.41
06/25/2018	#00082027966	STANIFER, BRIAN	CAPITAL INCOME BUILDER FD CL A	50.00	2.50	94.0	0.00	2.35	0.00	2.35
06/25/2018	#00075765433	DISHNER, ALEXA	CAPITAL WORLD GROWTH AND INCOME-529	50.00	1.88	94.0	0.00	1.77	0.00	1.77
06/26/2018	#00086947739	ROBERTS,	AMERICAN BALANCED FUND-529A	50.00	2.13	94.0	0.00	2.00	0.00	2.00
06/26/2018	#00086947755	ROBERTS,	AMERICAN BALANCED FUND-529A	50.00	2.13	94.0	0.00	2.00	0.00	2.00
* MUTUAL FUNDS SUBTOTAL *				<b>\$400.00</b>	<b>\$15.64</b>		<b>\$0.00</b>	<b>\$14.70</b>	<b>\$0.00</b>	<b>\$14.70</b>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/01/2018	#00068718506	BULLOCK, DONNA	AMERICAN BALANCED FUND INC CLASS A	0.00	10.30	94.0	0.00	9.68	0.00	9.68
06/01/2018	#00068718507	BULLOCK, PARIS	AMERICAN BALANCED FUND INC CLASS A	0.00	10.80	94.0	0.00	10.15	0.00	10.15
06/01/2018	#00068500106	HARRIS, MALLORY	AMERICAN BALANCED FUND-529A	0.00	2.84	94.0	0.00	2.67	0.00	2.67
06/01/2018	#00069217595	ROBERTS,	AMERICAN BALANCED FUND-529A	0.00	3.67	94.0	0.00	3.45	0.00	3.45
06/01/2018	#00069217597	ROBERTS,	AMERICAN BALANCED FUND-529A	0.00	4.85	94.0	0.00	4.56	0.00	4.56
06/01/2018	#00073992451	HENDERSON, JACK	AMERICAN BALANCED FUND-529A	0.00	1.43	94.0	0.00	1.34	0.00	1.34
06/01/2018	#00075264474	KURZ, KERRY	AMERICAN BALANCED FUND-529A	0.00	1.32	94.0	0.00	1.24	0.00	1.24
06/01/2018	#00075342200	ROBERTS,	AMERICAN BALANCED FUND-529A	0.00	3.85	94.0	0.00	3.62	0.00	3.62
06/01/2018	#00086947739	ROBERTS,	AMERICAN BALANCED FUND-529A	0.00	2.43	94.0	0.00	2.28	0.00	2.28
06/01/2018	#00086947755	ROBERTS,	AMERICAN BALANCED FUND-529A	0.00	2.43	94.0	0.00	2.28	0.00	2.28
06/01/2018	#00086052216	KNIGHT, CLAYTON	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	17.85	94.0	0.00	16.78	0.00	16.78
06/01/2018	#00073103103	WESSON, JOHN	AMERICAN FUNDS INCOME SERIES U S GO	0.00	2.10	94.0	0.00	1.97	0.00	1.97
06/01/2018	#00086050183	FOX, EDWARD	AMERICAN FUNDS TARGET DATE RETIREME	0.00	1.47	94.0	0.00	1.38	0.00	1.38
06/01/2018	#00086969821	MCMILLAN, DARIN	CAPITAL INCOME BLDR FUND CL C	0.00	44.60	94.0	0.00	41.92	0.00	41.92
06/01/2018	#00073451748	THOMAS, CYNTHIA	CAPITAL INCOME BUILDER FD CL A	0.00	2.68	94.0	0.00	2.52	0.00	2.52
06/01/2018	#00074706995	SPURLOCK,	CAPITAL INCOME BUILDER FD CL A	0.00	2.17	94.0	0.00	2.04	0.00	2.04
06/01/2018	#00075151781	WESSON, JOHN	CAPITAL INCOME BUILDER FD CL A	0.00	0.03	94.0	0.00	0.03	0.00	0.03

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
06/01/2018	#00082027966	STANIFER, BRIAN	CAPITAL INCOME BUILDER FD CL A	0.00	9.56	94.0	0.00	8.99	8.99
06/01/2018	#00083809336	FIELDEN,	CAPITAL INCOME BUILDER FD CL A	0.00	6.14	94.0	0.00	5.77	5.77
06/01/2018	#00086973073	HAMES, SCOTT	CAPITAL INCOME BUILDER FD CL A	0.00	1.40	94.0	0.00	1.32	1.32
06/01/2018	#00086973081	HAMES, NICHOLAS	CAPITAL INCOME BUILDER FD CL A	0.00	1.21	94.0	0.00	1.14	1.14
06/01/2018	#00087004650	MERRIMAN,	CAPITAL INCOME BUILDER FD CL A	0.00	2.96	94.0	0.00	2.78	2.78
06/01/2018	#00069217595	ROBERTS,	CAPITAL WORLD BOND FUND-529A	0.00	2.24	94.0	0.00	2.11	2.11
06/01/2018	#00069217597	ROBERTS,	CAPITAL WORLD BOND FUND-529A	0.00	3.25	94.0	0.00	3.06	3.06
06/01/2018	#00075342200	ROBERTS,	CAPITAL WORLD BOND FUND-529A	0.00	2.68	94.0	0.00	2.52	2.52
06/01/2018	#00085619576	ELLIS, PHILLIP	CAPITAL WORLD BOND FUND-529A	0.00	0.35	94.0	0.00	0.33	0.33
06/01/2018	#00085619609	ELLIS, PHILLIP	CAPITAL WORLD BOND FUND-529A	0.00	0.35	94.0	0.00	0.33	0.33
06/01/2018	#00086969821	MCMILLAN, DARIN	CAPITAL WORLD BOND FUND-C	0.00	38.96	94.0	0.00	36.62	36.62
06/01/2018	#00073544978	LOVE, LEWIS	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	0.42	94.0	0.00	0.39	0.39
06/01/2018	#00075151781	WESSON, JOHN	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	0.03	94.0	0.00	0.03	0.03
06/01/2018	#00073544978	LOVE, LEWIS	CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.90	94.0	0.00	0.85	0.85
06/01/2018	#00075151781	WESSON, JOHN	CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.11	94.0	0.00	0.10	0.10
06/01/2018	#00073019641	DISHNER,	CAPITAL WORLD GROWTH AND INCOME-529	0.00	6.12	94.0	0.00	5.75	5.75
06/01/2018	#00075765433	DISHNER, ALEXA	CAPITAL WORLD GROWTH AND INCOME-529	0.00	6.13	94.0	0.00	5.76	5.76
06/01/2018	#00083113709	DISHNER, ALEXA	CAPITAL WORLD GROWTH AND INCOME-529	0.00	11.13	94.0	0.00	10.46	10.46
06/01/2018	#00075151781	WESSON, JOHN	EURO PACIFIC GROWTH FUND CLASS A	0.00	0.10	94.0	0.00	0.09	0.09
06/01/2018	#00073019641	DISHNER,	EUROPACIFIC GROWTH FUND-529A	0.00	6.46	94.0	0.00	6.07	6.07
06/01/2018	#00075765433	DISHNER, ALEXA	EUROPACIFIC GROWTH FUND-529A	0.00	3.67	94.0	0.00	3.45	3.45
06/01/2018	#00068677867	HARRIS, JOHN	GROWTH FUND AMERICA INC CLASS A	0.00	6.94	94.0	0.00	6.52	6.52
06/01/2018	#00073544978	LOVE, LEWIS	GROWTH FUND AMERICA INC CLASS A	0.00	0.71	94.0	0.00	0.67	0.67
06/01/2018	#00087022422	HANRAHAN,	INCOME PORTFOLIO-C	0.00	0.69	94.0	0.00	0.65	0.65
06/01/2018	#00075151781	WESSON, JOHN	INTERMEDIATE BOND FUND OF AMERICA-A	0.00	0.02	94.0	0.00	0.02	0.02
06/01/2018	#00087022422	HANRAHAN,	PRESERVATION PORTFOLIO-C	0.00	1.81	94.0	0.00	1.70	1.70
06/01/2018	#00085619576	ELLIS, PHILLIP	SHORT-TERM BOND FUND OF AMERICA-529	0.00	0.32	94.0	0.00	0.30	0.30
06/01/2018	#00085619609	ELLIS, PHILLIP	SHORT-TERM BOND FUND OF AMERICA-529	0.00	0.32	94.0	0.00	0.30	0.30
06/01/2018	#00083809336	FIELDEN,	SHORT-TERM BOND FUND OF AMERICA-A	0.00	1.31	94.0	0.00	1.23	1.23
06/01/2018	#00073544978	LOVE, LEWIS	SMALLCAP WORLD FUND INC CLASS A	0.00	0.89	94.0	0.00	0.84	0.84
06/01/2018	#00068500106	HARRIS, MALLORY	THE GROWTH FUND OF AMERICA-529A	0.00	3.66	94.0	0.00	3.44	3.44
06/01/2018	#00073019641	DISHNER,	THE GROWTH FUND OF AMERICA-529A	0.00	7.73	94.0	0.00	7.27	7.27
06/01/2018	#00075765433	DISHNER, ALEXA	THE GROWTH FUND OF AMERICA-529A	0.00	5.05	94.0	0.00	4.75	4.75
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$248.44	\$0.00	\$233.52	\$0.00	\$233.52
*** BROKER TRANSACTIONS TOTAL ***				\$400.00	\$264.08	\$0.00	\$248.22	\$0.00	\$248.22

**BROKER COMMISSION TOTALS**

Broker Transactions	\$248.22
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$248.22</b>
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