

**COMMISSION STATEMENT****January 31, 2018**JOHN KILLEEN  
306 SCHUNNEMUNK STREETBROKER #: 43  
BRANCH: HOME OFFICE

MONROE, NY 10950

**COMMISSION STATEMENT for JOHN KILLEEN**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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**BROKER TRANSACTIONS****PRODUCT CATEGORY: MUTUAL FUNDS**

12/29/2017	00061663272	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	300.00	1.50	0.00	1.50	86.0	1.29
12/29/2017	00061663272	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	1.29
12/29/2017	00061663272	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.86
12/29/2017	00061663272	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/29/2017	00061663272	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/29/2017	00061736606	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	312.54	1.56	0.00	1.56	86.0	1.34
12/29/2017	00061736606	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	168.29	0.84	0.00	0.84	86.0	0.72
12/29/2017	00061736608	HEGARTY, EILEEN		AMCAP FUND-A	B	120.00	0.60	0.00	0.60	86.0	0.52
12/29/2017	00061736608	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	120.00	0.60	0.00	0.60	86.0	0.52
12/29/2017	00061736614	HEGARTY, EILEEN		AMCAP FUND-A	B	150.00	0.75	0.00	0.75	86.0	0.65
12/29/2017	00061736614	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/29/2017	00061736614	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/29/2017	00061736614	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.86
12/29/2017	00061736614	HEGARTY, EILEEN		THE INCOME FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.86
12/29/2017	00061736614	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/29/2017	00061736614	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	150.00	0.75	0.00	0.75	86.0	0.65
12/29/2017	00061736616	HEGARTY, EILEEN		AMCAP FUND-A	B	500.00	2.50	0.00	2.50	86.0	2.15
12/29/2017	00061736616	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	1.29
12/29/2017	00061736616	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86
12/29/2017	00062829276	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.34
12/29/2017	00062829276	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	120.00	0.60	0.00	0.60	86.0	0.52
12/29/2017	00062829276	HEGARTY, EILEEN		THE NEW ECONOMY FUND-A	B	80.00	0.40	0.00	0.40	86.0	0.34
12/29/2017	00062829276	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	120.00	0.60	0.00	0.60	86.0	0.52
12/29/2017	00063329982	HEGARTY, EILEEN		EUROPACIFIC GROWTH FUND-A	B	71.07	0.36	0.00	0.36	86.0	0.31
12/29/2017	00063329982	HEGARTY, EILEEN		NEW WORLD FUND-A	B	71.07	0.36	0.00	0.36	86.0	0.31
12/29/2017	00063329982	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	94.76	0.47	0.00	0.47	86.0	0.40
12/29/2017	00063362305	HEGARTY, EILEEN		AMCAP FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86
12/29/2017	00063362305	HEGARTY, EILEEN		NEW PERSPECTIVE FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/29/2017	00063362305	HEGARTY, EILEEN		THE BOND FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	1.29
12/29/2017	00063362305	HEGARTY, EILEEN		THE GROWTH FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.86
12/29/2017	00063362305	HEGARTY, EILEEN		WASHINGTON MUTUAL INVESTORS FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/29/2017	00063362311	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	250.00	1.25	0.00	1.25	86.0	1.08
12/29/2017	00063362311	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	200.00	1.00	0.00	1.00	86.0	0.86
12/29/2017	00063362311	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/29/2017	00063362311	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86
12/29/2017	00063362311	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	250.00	1.25	0.00	1.25	86.0	1.08
12/29/2017	00063795979	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	300.00	1.50	0.00	1.50	86.0	1.29
12/29/2017	00063795979	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75	86.0	0.65
12/29/2017	00063795979	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	1.29
12/29/2017	00064217122	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	82.52	0.41	0.00	0.41	86.0	0.35
12/29/2017	00064217122	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	33.01	0.17	0.00	0.17	86.0	0.15
12/29/2017	00064217122	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	49.51	0.25	0.00	0.25	86.0	0.22
12/29/2017	00064217123	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	65.00	0.33	0.00	0.33	86.0	0.28
12/29/2017	00064217123	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	65.00	0.33	0.00	0.33	86.0	0.28
12/29/2017	00064217123	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	65.00	0.33	0.00	0.33	86.0	0.28
12/29/2017	00064217123	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	65.00	0.33	0.00	0.33	86.0	0.28
12/26/2017	00064617395	BRAGHIROL, DANA							
		NEW PERSPECTIVE FUND-A	B	13.28	0.37	0.00	0.37	86.0	0.32
12/26/2017	00064617395	BRAGHIROL, DANA							
		NEW PERSPECTIVE FUND-A	B	15.00	0.41	0.00	0.41	86.0	0.35
12/26/2017	00064617395	BRAGHIROL, DANA							
		THE GROWTH FUND OF AMERICA-A	B	17.69	0.49	0.00	0.49	86.0	0.42
12/26/2017	00064617395	BRAGHIROL, DANA							
		THE GROWTH FUND OF AMERICA-A	B	20.00	0.55	0.00	0.55	86.0	0.47
12/26/2017	00064617395	BRAGHIROL, DANA							
		THE NEW ECONOMY FUND-A	B	13.28	0.37	0.00	0.37	86.0	0.32
12/26/2017	00064617395	BRAGHIROL, DANA							
		THE NEW ECONOMY FUND-A	B	15.00	0.41	0.00	0.41	86.0	0.35
01/02/2018	00064617395	BRAGHIROL, DANA							
		NEW PERSPECTIVE FUND-A	B	13.28	0.37	0.00	0.37	86.0	0.32
01/02/2018	00064617395	BRAGHIROL, DANA							
		NEW PERSPECTIVE FUND-A	B	15.00	0.41	0.00	0.41	86.0	0.35
01/02/2018	00064617395	BRAGHIROL, DANA							
		THE GROWTH FUND OF AMERICA-A	B	17.69	0.49	0.00	0.49	86.0	0.42
01/02/2018	00064617395	BRAGHIROL, DANA							
		THE GROWTH FUND OF AMERICA-A	B	20.00	0.55	0.00	0.55	86.0	0.47
01/02/2018	00064617395	BRAGHIROL, DANA							
		THE NEW ECONOMY FUND-A	B	13.28	0.37	0.00	0.37	86.0	0.32
01/02/2018	00064617395	BRAGHIROL, DANA							
		THE NEW ECONOMY FUND-A	B	15.00	0.41	0.00	0.41	86.0	0.35
01/11/2018	00064617395	BRAGHIROL, DANA							
		NEW PERSPECTIVE FUND-A	B	13.28	0.27	0.00	0.27	86.0	0.23
01/11/2018	00064617395	BRAGHIROL, DANA							
		NEW PERSPECTIVE FUND-A	B	15.00	0.30	0.00	0.30	86.0	0.26
01/11/2018	00064617395	BRAGHIROL, DANA							
		THE GROWTH FUND OF AMERICA-A	B	17.69	0.35	0.00	0.35	86.0	0.30
01/11/2018	00064617395	BRAGHIROL, DANA							
		THE GROWTH FUND OF AMERICA-A	B	20.00	0.40	0.00	0.40	86.0	0.34
01/11/2018	00064617395	BRAGHIROL, DANA							
		THE NEW ECONOMY FUND-A	B	13.28	0.27	0.00	0.27	86.0	0.23
01/11/2018	00064617395	BRAGHIROL, DANA							
		THE NEW ECONOMY FUND-A	B	15.00	0.30	0.00	0.30	86.0	0.26
01/16/2018	00064617395	BRAGHIROL, DANA							
		NEW PERSPECTIVE FUND-A	B	13.28	0.27	0.00	0.27	86.0	0.23
01/16/2018	00064617395	BRAGHIROL, DANA							
		NEW PERSPECTIVE FUND-A	B	15.00	0.30	0.00	0.30	86.0	0.26
01/16/2018	00064617395	BRAGHIROL, DANA							
		THE GROWTH FUND OF AMERICA-A	B	17.69	0.35	0.00	0.35	86.0	0.30
01/16/2018	00064617395	BRAGHIROL, DANA							
		THE GROWTH FUND OF AMERICA-A	B	20.00	0.40	0.00	0.40	86.0	0.34
01/16/2018	00064617395	BRAGHIROL, DANA							
		THE NEW ECONOMY FUND-A	B	13.28	0.27	0.00	0.27	86.0	0.23
01/16/2018	00064617395	BRAGHIROL, DANA							
		THE NEW ECONOMY FUND-A	B	15.00	0.30	0.00	0.30	86.0	0.26
01/22/2018	00064617395	BRAGHIROL, DANA							
		NEW PERSPECTIVE FUND-A	B	15.00	0.30	0.00	0.30	86.0	0.26

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/22/2018	00064617395	BRAGHIROL, DANA							
		NEW PERSPECTIVE FUND-A	B	13.28	0.27	0.00	0.27	86.0	0.23
01/22/2018	00064617395	BRAGHIROL, DANA							
		THE GROWTH FUND OF AMERICA-A	B	20.00	0.40	0.00	0.40	86.0	0.34
01/22/2018	00064617395	BRAGHIROL, DANA							
		THE GROWTH FUND OF AMERICA-A	B	17.69	0.35	0.00	0.35	86.0	0.30
01/22/2018	00064617395	BRAGHIROL, DANA							
		THE NEW ECONOMY FUND-A	B	15.00	0.30	0.00	0.30	86.0	0.26
01/22/2018	00064617395	BRAGHIROL, DANA							
		THE NEW ECONOMY FUND-A	B	13.28	0.27	0.00	0.27	86.0	0.23
01/16/2018	00067963444	GALLAGHER, ROBIN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	6,500.00	276.25	0.00	276.25	86.0	237.58
01/16/2018	00067963444	GALLAGHER, ROBIN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	6,500.00	276.25	0.00	276.25	86.0	237.58
12/29/2017	00068080343	HEGARTY, EILEEN							
		AMCAP FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/29/2017	00068080343	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/29/2017	00068080343	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	500.00	2.50	0.00	2.50	86.0	2.15
12/29/2017	00068080343	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/29/2017	00068080343	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	200.00	1.00	0.00	1.00	86.0	0.86
12/29/2017	00068086982	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	150.57	0.75	0.00	0.75	86.0	0.65
12/29/2017	00068086982	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	150.57	0.75	0.00	0.75	86.0	0.65
12/29/2017	00070001812	HEGARTY, EILEEN							
		AMCAP FUND-A	B	16.98	0.08	0.00	0.08	86.0	0.07
12/29/2017	00070001812	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	33.95	0.17	0.00	0.17	86.0	0.15
12/29/2017	00070001812	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	33.95	0.17	0.00	0.17	86.0	0.15
12/29/2017	00070001812	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	33.95	0.17	0.00	0.17	86.0	0.15
12/29/2017	00070001812	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	50.93	0.25	0.00	0.25	86.0	0.22
12/29/2017	00070004355	HEGARTY, EILEEN							
		AMCAP FUND-A	B	31.97	0.16	0.00	0.16	86.0	0.14
12/29/2017	00070004355	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	28.77	0.14	0.00	0.14	86.0	0.12
12/29/2017	00070004355	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	73.53	0.37	0.00	0.37	86.0	0.32
12/29/2017	00070004355	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	54.35	0.27	0.00	0.27	86.0	0.23
12/29/2017	00070004355	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	57.55	0.29	0.00	0.29	86.0	0.25
12/29/2017	00070004355	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	67.14	0.34	0.00	0.34	86.0	0.29
12/29/2017	00070004361	HEGARTY, EILEEN							
		AMCAP FUND-A	B	74.25	0.37	0.00	0.37	86.0	0.32
12/29/2017	00070004361	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	74.25	0.37	0.00	0.37	86.0	0.32
12/29/2017	00070004361	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	76.50	0.38	0.00	0.38	86.0	0.33
12/29/2017	00070005362	HEGARTY, EILEEN							
		NEW PERSPECTIVE FUND-A	B	4.96	0.02	0.00	0.02	86.0	0.02
12/29/2017	00070005362	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	9.92	0.05	0.00	0.05	86.0	0.04
12/29/2017	00070005362	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	14.88	0.07	0.00	0.07	86.0	0.06
12/29/2017	00070005362	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	19.84	0.10	0.00	0.10	86.0	0.09
12/29/2017	00070005362	HEGARTY, EILEEN							
		WASHINGTON MUTUAL INVESTORS FUND-A	B	9.91	0.05	0.00	0.05	86.0	0.04
12/29/2017	00070005678	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75	86.0	0.65
12/29/2017	00070005678	HEGARTY, EILEEN							
		THE INVESTMENT COMPANY OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	1.29
12/29/2017	00070005678	HEGARTY, EILEEN							
		THE NEW ECONOMY FUND-A	B	60.00	0.30	0.00	0.30	86.0	0.26
12/29/2017	00086545644	HEGARTY, EILEEN							
		EUROPACIFIC GROWTH FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.07
12/29/2017	00086545644	HEGARTY, EILEEN							
		THE BOND FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.09
12/29/2017	00086545644	HEGARTY, EILEEN							
		THE GROWTH FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.09
12/29/2017	00086545644	HEGARTY, EILEEN							
		THE INCOME FUND OF AMERICA-A	B	20.00	0.10	0.00	0.10	86.0	0.09

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
12/29/2017	00086545644	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	25.00	0.13	0.00	0.13	86.0	0.11
12/29/2017	00087384406	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	750.00	3.75	0.00	3.75	86.0	3.23
12/29/2017	00087384416	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	750.00	3.75	0.00	3.75	86.0	3.23
12/29/2017	00087384433	HEGARTY, EILEEN	AMCAP FUND-A	B	300.00	1.50	0.00	1.50	86.0	1.29
12/29/2017	00087384433	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	75.00	0.38	0.00	0.38	86.0	0.33
12/29/2017	00087384433	HEGARTY, EILEEN	NEW WORLD FUND-A	B	75.00	0.38	0.00	0.38	86.0	0.33
12/29/2017	00087384433	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75	86.0	0.65
12/29/2017	00087384433	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	150.00	0.75	0.00	0.75	86.0	0.65
12/29/2017	00087384446	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	59.89	0.30	0.00	0.30	86.0	0.26
12/29/2017	00087384446	HEGARTY, EILEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	44.91	0.22	0.00	0.22	86.0	0.19
12/29/2017	00087384446	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	44.92	0.22	0.00	0.22	86.0	0.19
12/29/2017	00087384464	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.07
12/29/2017	00087384478	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	312.50	1.56	0.00	1.56	86.0	1.34
12/29/2017	00087384478	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	312.50	1.56	0.00	1.56	86.0	1.34
12/29/2017	00087384507	HEGARTY, EILEEN	AMCAP FUND-A	B	2.00	0.01	0.00	0.01	86.0	0.01
12/29/2017	00087384507	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	10.00	0.05	0.00	0.05	86.0	0.04
12/29/2017	00087384507	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	8.00	0.04	0.00	0.04	86.0	0.03
12/29/2017	00087384541	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	50.00	0.25	0.00	0.25	86.0	0.22
12/29/2017	00087384541	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	50.00	0.25	0.00	0.25	86.0	0.22
12/29/2017	00087384558	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	48.49	0.24	0.00	0.24	86.0	0.21
12/29/2017	00087384558	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	48.49	0.24	0.00	0.24	86.0	0.21
12/29/2017	00087842379	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	750.00	3.75	0.00	3.75	86.0	3.23
12/29/2017	00088877759	HEGARTY, EILEEN	EUROPACIFIC GROWTH FUND-A	B	45.57	0.23	0.00	0.23	86.0	0.20
12/29/2017	00088877759	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	136.72	0.68	0.00	0.68	86.0	0.58
12/29/2017	00089378227	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	42.06	0.21	0.00	0.21	86.0	0.18
12/29/2017	00089378259	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	45.00	0.23	0.00	0.23	86.0	0.20
12/29/2017	00089378259	HEGARTY, EILEEN	THE GROWTH FUND OF AMERICA-A	B	45.00	0.23	0.00	0.23	86.0	0.20
12/29/2017	00089378259	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	30.00	0.15	0.00	0.15	86.0	0.13
12/29/2017	00089378259	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.07
12/29/2017	00089378259	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	15.00	0.08	0.00	0.08	86.0	0.07
12/29/2017	00089378412	HEGARTY, EILEEN	AMCAP FUND-A	B	17.50	0.09	0.00	0.09	86.0	0.08
12/29/2017	00089378412	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	43.75	0.22	0.00	0.22	86.0	0.19
12/29/2017	00089378412	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	52.50	0.26	0.00	0.26	86.0	0.22
12/29/2017	00089378412	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	43.75	0.22	0.00	0.22	86.0	0.19
12/29/2017	00089378412	HEGARTY, EILEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	17.50	0.09	0.00	0.09	86.0	0.08
01/16/2018	00089545290	RONGA, DANIEL	THE GROWTH FUND OF AMERICA-A	B	200.00	7.50	0.00	7.50	86.0	6.45
12/29/2017	04000113773	VARIOUS, KILLEEN	AMCAP FUND-A	B	14.96	0.07	0.00	0.07	86.0	0.06
12/29/2017	04000113773	VARIOUS, KILLEEN	EUROPACIFIC GROWTH FUND-A	B	14.96	0.07	0.00	0.07	86.0	0.06
12/29/2017	04000113773	VARIOUS, KILLEEN	NEW WORLD FUND-A	B	6.65	0.03	0.00	0.03	86.0	0.03
12/29/2017	04000113773	VARIOUS, KILLEEN	THE BOND FUND OF AMERICA-A	B	16.63	0.08	0.00	0.08	86.0	0.07

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
12/29/2017	04000113773	VARIOUS, KILLEEN	THE GROWTH FUND OF AMERICA-A	B	14.96	0.07	0.00	0.07	86.0	0.06
12/29/2017	04000113773	VARIOUS, KILLEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	14.96	0.07	0.00	0.07	86.0	0.06
12/29/2017	04000113881	VARIOUS, KILLEEN	AMCAP FUND-A	B	93.60	0.47	0.00	0.47	86.0	0.40
12/29/2017	04000113881	VARIOUS, KILLEEN	EUROPACIFIC GROWTH FUND-A	B	28.07	0.14	0.00	0.14	86.0	0.12
12/29/2017	04000113881	VARIOUS, KILLEEN	NEW WORLD FUND-A	B	28.08	0.14	0.00	0.14	86.0	0.12
12/29/2017	04000113881	VARIOUS, KILLEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	37.44	0.19	0.00	0.19	86.0	0.16
12/29/2017	04000113984	VARIOUS, KILLEEN	AMCAP FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/29/2017	04000113984	VARIOUS, KILLEEN	EUROPACIFIC GROWTH FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/29/2017	04000113984	VARIOUS, KILLEEN	NEW PERSPECTIVE FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/29/2017	04000113984	VARIOUS, KILLEEN	NEW WORLD FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/29/2017	04000113984	VARIOUS, KILLEEN	THE BOND FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/29/2017	04000113984	VARIOUS, KILLEEN	THE GROWTH FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/29/2017	04000113984	VARIOUS, KILLEEN	THE INCOME FUND OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/29/2017	04000113984	VARIOUS, KILLEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/29/2017	04000113984	VARIOUS, KILLEEN	THE NEW ECONOMY FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
12/29/2017	04000113984	VARIOUS, KILLEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	100.00	0.50	0.00	0.50	86.0	0.43
01/16/2018	04000292411	CUNICELLI, LILY	WASHINGTON MUTUAL INVESTORS FUND-A	B	125.00	6.25	0.00	6.25	86.0	5.38
12/29/2017	04000493953	HEGARTY, EILEEN	NEW PERSPECTIVE FUND-A	B	75.00	0.38	0.00	0.38	86.0	0.33
12/29/2017	04000493953	HEGARTY, EILEEN	NEW WORLD FUND-A	B	225.00	1.13	0.00	1.13	86.0	0.97
12/29/2017	04000493953	HEGARTY, EILEEN	THE BOND FUND OF AMERICA-A	B	75.00	0.38	0.00	0.38	86.0	0.33
12/29/2017	04000493953	HEGARTY, EILEEN	THE INCOME FUND OF AMERICA-A	B	300.00	1.50	0.00	1.50	86.0	1.29
12/29/2017	04000493953	HEGARTY, EILEEN	THE NEW ECONOMY FUND-A	B	75.00	0.38	0.00	0.38	86.0	0.33
12/29/2017	04000635709	VARIOUS, KILLEEN	THE GROWTH FUND OF AMERICA-A	B	1,662.50	8.31	0.00	8.31	86.0	7.15
12/29/2017	04000635709	VARIOUS, KILLEEN	THE INVESTMENT COMPANY OF AMERICA-A	B	1,662.50	8.31	0.00	8.31	86.0	7.15
12/29/2017	04000635709	VARIOUS, KILLEEN	WASHINGTON MUTUAL INVESTORS FUND-A	B	1,425.00	7.13	0.00	7.13	86.0	6.13
* MUTUAL FUNDS SUBTOTAL *					\$35,334.10	\$684.84	\$0.00	\$684.84		\$589.08
PRODUCT CATEGORY: MISCELLANEOUS										
01/31/2018	VARIOUS, KILLEEN	INTERGRITY		B	0.00	4,244.92	0.00	4,244.92	86.0	3,650.63
01/31/2018	VARIOUS, KILLEEN	NATIONWIDE		B	0.00	72.45	0.00	72.45	86.0	62.31
* MISCELLANEOUS SUBTOTAL *					\$0.00	\$4,317.37	\$0.00	\$4,317.37		\$3,712.94
*** BROKER TRANSACTIONS TOTAL ***					\$35,334.10	\$5,002.21	\$0.00	\$5,002.21		\$4,302.02

COMMISSION STATEMENT for JOHN KILLEEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
01/31/2018		E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00		-200.00
01/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
01/31/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
01/31/2018		OTHER: OTHER-SIPC AND FINRA		0.00	0.00	0.00	0.00		-16.20
01/31/2018		OTHER: VIRTRU		0.00	0.00	0.00	0.00		-7.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-251.20

BROKER COMMISSION TOTALS

Broker Transactions	\$4,302.02
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-251.20
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.86
SIPC Assessment	\$-1.71

Check Amount	\$4,048.25
Year-to-date Earnings	\$4,048.25