

## **COMMISSION STATEMENT**

**January 31, 2017** 

ROBERT THOMPSON

7261 W. Central Ave.

Toledo, OH 43617

BROKER #: T118

BRANCH: INTEGRATED FINANCIAL

| DATE                  | CLIENT                 | INVESTMENT                        | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|-----------------------|------------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|                       |                        | BROKER TRAI                       | NSACTIONS            |                     |                    |                   |      |                      |
| PRODUCT CATEGORY: RIA |                        |                                   |                      |                     |                    |                   |      |                      |
| 01/19/2017            | GRIM, SUSAN            | ASSETMARK                         | 59,504.04            | 146.68              | 0.00               | 146.68            | 85.0 | 124.68               |
| 01/19/2017            | FISH, SANDRA           | ASSETMARK                         | 40,884.04            | 100.78              | 0.00               | 100.78            | 85.0 | 85.66                |
| 01/19/2017            | RICE, GREGG            | ASSETMARK                         | 51,535.73            | 127.04              | 0.00               | 127.04            | 85.0 | 107.98               |
| 01/19/2017            | SCHWEINHAGEN, RITA     | ASSETMARK                         | 39,970.94            | 98.53               | 0.00               | 98.53             | 85.0 | 83.75                |
| 01/25/2017            | SHAFFER, EMILY         | ICON ADVISORS                     | 104,905.54           | 52.46               | 0.00               | 52.46             | 85.0 | 44.59                |
| 01/23/2017            | FERRELL, PAUL          | SAWTOOTH                          | 0.00                 | 104.37              | 0.00               | 104.37            | 85.0 | 88.71                |
| 01/23/2017            | FISH, SANDRA           | SAWTOOTH                          | 0.00                 | 192.08              | 0.00               | 192.08            | 85.0 | 163.27               |
| 01/23/2017            | FLECK, GERALD          | SAWTOOTH                          | 0.00                 | 267.21              | 0.00               | 267.21            | 85.0 | 227.13               |
| 01/23/2017            | FURNEY, DANNY          | SAWTOOTH                          | 0.00                 | 221.05              | 0.00               | 221.05            | 85.0 | 187.89               |
| 01/23/2017            | GAJEWSKI, WILLADEANE   | SAWTOOTH                          | 0.00                 | 94.39               | 0.00               | 94.39             | 85.0 | 80.23                |
| 01/23/2017            | HILEMAN, RONALD        | SAWTOOTH                          | 0.00                 | 231.77              | 0.00               | 231.77            | 85.0 | 197.00               |
| 01/23/2017            | JACOB, MARILYN         | SAWTOOTH                          | 0.00                 | 124.00              | 0.00               | 124.00            | 85.0 | 105.40               |
| 01/23/2017            | LABERDEE, JOYCE        | SAWTOOTH                          | 0.00                 | 60.23               | 0.00               | 60.23             | 85.0 | 51.20                |
| 01/23/2017            | MAXFIELD, RICHARD      | SAWTOOTH                          | 0.00                 | 129.29              | 0.00               | 129.29            | 85.0 | 109.90               |
| 01/23/2017            | MICHALSKI, GERALDINE   | SAWTOOTH                          | 0.00                 | 866.74              | 0.00               | 866.74            | 85.0 | 736.73               |
| 01/23/2017            | OSTER, JENNIFER        | SAWTOOTH                          | 0.00                 | 47.93               | 0.00               | 47.93             | 85.0 | 40.74                |
| 01/23/2017            | RICE, SUSAN            | SAWTOOTH                          | 0.00                 | 106.63              | 0.00               | 106.63            | 85.0 | 90.64                |
| 01/23/2017            | RICE, PAMELA           | SAWTOOTH                          | 0.00                 | 62.11               | 0.00               | 62.11             | 85.0 | 52.79                |
| 01/23/2017            | RICE SR, RUSSELL       | SAWTOOTH                          | 0.00                 | 155.21              | 0.00               | 155.21            | 85.0 | 131.93               |
| 01/23/2017            | RINGGER, MARK          | SAWTOOTH                          | 0.00                 | 162.78              | 0.00               | 162.78            | 85.0 | 138.36               |
| 01/23/2017            | RUTLEDGE, JOANNE       | SAWTOOTH                          | 0.00                 | 119.68              | 0.00               | 119.68            | 85.0 | 101.73               |
| 01/23/2017            | SEITZINGER, MICHELE    | SAWTOOTH                          | 0.00                 | 59.58               | 0.00               | 59.58             | 85.0 | 50.64                |
| 01/23/2017            | SEXTON TRUST, MARY LOU | SAWTOOTH                          | 0.00                 | 151.06              | 0.00               | 151.06            | 85.0 | 128.40               |
|                       |                        | * RIA SUBTOTAL *                  | \$296,800.29         | \$3,681.60          | \$0.00             | \$3,681.60        |      | \$3,129.35           |
|                       |                        | *** BROKER TRANSACTIONS TOTAL *** | \$296,800.29         | \$3,681.60          | \$0.00             | \$3,681.60        |      | \$3,129.35           |

 $<sup>\</sup>ensuremath{^{\star\star}}$  - Indicates adjustment is not included in Totals or Commissions

<sup>^</sup>Date - Split Trade - full Investment Amount & Gross Commission displayed

## BROKER COMMISSION TOTALS \$3,129.35 Broker Transactions \$0.00 Split Transactions \$0.00 Override Transactions Adjustments \$0.00 Payroll Draw \$0.00 \$0.00 Base Salary Prior Period Balance \$94.14 FINRA Assessment \$0.00 \$0.00 SIPC Assessment \$3,223.49 Check Amount \$3,681.60 Year-to-date Gross \$3,129.35 Year-to-date Earnings