



COMMISSION STATEMENT

June 11, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/30/2018	***-**-207I - SHOCKEY, CHELSEA	AMERICAN BALANCED FUND-A	150.00	7.50	0.00	7.50	85.0	6.38
	ACCOUNT #: 00088283660	BUY 5 Units @28.8800						
06/01/2018	***-**-18IN - KUNKEL, RYAN	AMERICAN BALANCED FUND-A	50.00	1.88	0.00	1.88	85.0	1.60
	ACCOUNT #: 00087404382	BUY 2 Units @28.5200						
05/21/2018	***-**-36IR - HERDSON, JOHN	CAPITAL APPRECIATION C	63.36	0.63	0.00	0.63	85.0	0.54
	ACCOUNT #: 03222003518	BUY 0 Units @0.0000						
05/30/2018	***-**-207I - SHOCKEY, CHELSEA	CAPITAL INCOME BUILDER-A	100.00	5.00	0.00	5.00	85.0	4.25
	ACCOUNT #: 00088283660	BUY 2 Units @64.5000						
06/01/2018	***-**-18IN - KUNKEL, RYAN	CAPITAL INCOME BUILDER-A	50.00	1.88	0.00	1.88	85.0	1.60
	ACCOUNT #: 00087404382	BUY 1 Units @63.6100						
05/21/2018	***-**-36IR - HERDSON, JOHN	EQUITY INCOME FUND, INC. C	63.36	0.63	0.00	0.63	85.0	0.54
	ACCOUNT #: 08370183502	BUY 0 Units @0.0000						
05/30/2018	***-**-207I - SHOCKEY, CHELSEA	FUNDAMENTAL INVESTORS-A	150.00	7.50	0.00	7.50	85.0	6.38
	ACCOUNT #: 00088283660	BUY 2 Units @67.0800						
06/04/2018	***-**-830J - STRAUB, JANE	HARTFORD BALANCED INCOME FD CL C	1,000.00	10.00	0.00	10.00	85.0	8.50
	ACCOUNT #: LSR011950	BUY 72 Units @13.8900						
06/06/2018	***-**-859X - FREY, RYAN	IVY MANAGED INTERNAT IONAL OPPS CL	1,250.01	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR011527	SELL 106 Units @11.8100						
05/30/2018	***-**-207I - SHOCKEY, CHELSEA	NEW PERSPECTIVE FUND-A	100.00	5.00	0.00	5.00	85.0	4.25
	ACCOUNT #: 00088283660	BUY 2 Units @47.9400						
05/21/2018	***-**-36IR - HERDSON, JOHN	QUEST BALANCED FUND C	65.28	0.65	0.00	0.65	85.0	0.55
	ACCOUNT #: 02592150962	BUY 0 Units @0.0000						
06/01/2018	***-**-18IN - KUNKEL, RYAN	WASHINGTON MUTUAL INVESTORS FUND-A	100.00	3.75	0.00	3.75	85.0	3.19
	ACCOUNT #: 00087404382	BUY 2 Units @48.5200						
* MUTUAL FUNDS SUBTOTAL *			\$3,142.01	\$44.42	\$0.00	\$44.42		\$37.78
<u>PRODUCT CATEGORY: EQUITY INDEXED ANNUITIES</u>								
05/18/2018	***-**-1013 - LOYCH, ALICE	LEGEND III	19,000.00	570.00	0.00	570.00	100.0	570.00
		BUY 1 Units @42000.0000						
* EQUITY INDEXED ANNUITIES SUBTOTAL *			\$19,000.00	\$570.00	\$0.00	\$570.00		\$570.00



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
06/11/2018	***-**-334I - MCKENNA, EDWARD	ROYCE PENNSYLVANIA MUTUAL CONSULT	0.00	8.15	0.00	8.15	85.0	6.93
	ACCOUNT #: LSR011377	BUY 1 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$8.15	\$0.00	\$8.15		\$6.93
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
06/03/2018	***-**-859I - CAMPBELL, GERALD	ING ADVANTAGE SELECT	0.00	16.66	0.00	16.66	85.0	14.16
	ACCOUNT #: R073277-ZS	BUY 0 Units @0.0000						
05/31/2018	***-**-36IR - HERDSON, JOHN	JACKSON ELITE ACCESS E	0.00	40.35	0.00	40.35	85.0	34.30
	ACCOUNT #: 1012521924	BUY 0 Units @0.0000						
05/31/2018	***-**-632I - BURG, THERESA	JACKSON ELITE ACCESS E	0.00	69.03	0.00	69.03	85.0	58.68
	ACCOUNT #: 1015747249	BUY 0 Units @0.0000						
05/31/2018	***-**-648I - KANE, VIRGINIA	JACKSON ELITE ACCESS E	0.00	20.17	0.00	20.17	85.0	17.14
	ACCOUNT #: 1015810986	BUY 0 Units @0.0000						
05/31/2018	***-**-305I - WAGNER, KEITH	JACKSON ELITE ACCESS E	0.00	48.05	0.00	48.05	85.0	40.84
	ACCOUNT #: 1015946705	BUY 0 Units @0.0000						
05/31/2018	***-**-48IN - KANE, VIRGINIA	JACKSON ELITE ACCESS E	0.00	36.80	0.00	36.80	85.0	31.28
	ACCOUNT #: 1019931044	BUY 0 Units @0.0000						
05/31/2018	***-**-597I - LEAR, DIANE	JACKSON ELITE ACCESS OPTION A	0.00	18.74	0.00	18.74	85.0	15.93
	ACCOUNT #: 1014504202	BUY 0 Units @0.0000						
05/31/2018	***-**-36IN - CROWL, LINDA	JACKSON ELITE ACCESS OPTION A	0.00	208.84	0.00	208.84	85.0	177.51
	ACCOUNT #: 1016264217	BUY 0 Units @0.0000						
05/31/2018	***-**-94IR - VITALE, LAURIE	JACKSON ELITE ACCESS OPTION A	0.00	15.57	0.00	15.57	85.0	13.23
	ACCOUNT #: 1016299231	BUY 0 Units @0.0000						
05/31/2018	***-**-20IN - GARMAN, KARL	JACKSON ELITE ACCESS OPTION A	0.00	7.60	0.00	7.60	85.0	6.46
	ACCOUNT #: 1017012386	BUY 0 Units @0.0000						
05/31/2018	***-**-53IN - SHOCKEY, JEFFREY	JACKSON NATIONAL PERSPECTIVE II	0.00	36.94	0.00	36.94	85.0	31.40
	ACCOUNT #: 1014308870	BUY 0 Units @0.0000						
05/31/2018	***-**-113I - PIEMANI, JOHN	JACKSON NATIONAL PERSPECTIVE II	0.00	49.40	0.00	49.40	85.0	41.99
	ACCOUNT #: 1014761337	BUY 0 Units @0.0000						
05/31/2018	***-**-228J - BUCHANAN,	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	46.17	0.00	46.17	85.0	39.24
	ACCOUNT #: 1006011280	BUY 0 Units @0.0000						
05/31/2018	***-**-85IN - GRAHAM, BARBARA	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	56.13	0.00	56.13	85.0	47.71
	ACCOUNT #: 1012657141	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
05/31/2018	***-**-814I - MCKENNA, DONNA	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	149.96	0.00	149.96	85.0	127.47
	ACCOUNT #: 1013003528	BUY 0 Units @0.0000						
05/31/2018	***-**-400I - ROMIG, MARK	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	60.17	0.00	60.17	85.0	51.14
	ACCOUNT #: 1013168853	BUY 0 Units @0.0000						
05/31/2018	***-**-276I - GASSWINT, SUSAN	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	79.36	0.00	79.36	85.0	67.46
	ACCOUNT #: 1013258658	BUY 0 Units @0.0000						
05/31/2018	***-**-228J - BUCHANAN,	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	64.95	0.00	64.95	85.0	55.21
	ACCOUNT #: 1013442998	BUY 0 Units @0.0000						
05/31/2018	***-**-227I - ZELLERS,	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	61.95	0.00	61.95	85.0	52.66
	ACCOUNT #: 1013578113	BUY 0 Units @0.0000						
05/31/2018	***-**-364J - SHIFFLET,	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	56.74	0.00	56.74	85.0	48.23
	ACCOUNT #: 1013759851	BUY 0 Units @0.0000						
05/31/2018	***-**-697I - ENEDY, EMILY	JACKSON NATIONAL PERSPECTIVE L SERI	0.00	20.68	0.00	20.68	85.0	17.58
	ACCOUNT #: 1014092982	BUY 0 Units @0.0000						
05/31/2018	***-**-33IN - KNOBL, LISA	PERSPECTIVE II DTCC	0.00	31.19	0.00	31.19	85.0	26.51
	ACCOUNT #: 1018661027	BUY 0 Units @0.0000						
05/31/2018	***-**-136I - CONLON-SCOLTOCK,	PERSPECTIVE II DTCC	0.00	21.88	0.00	21.88	85.0	18.60
	ACCOUNT #: 1018710060	BUY 0 Units @0.0000						
05/31/2018	***-**-36IN - CROWL, LINDA	PERSPECTIVE II DTCC	0.00	216.57	0.00	216.57	85.0	184.08
	ACCOUNT #: 1018787969	BUY 0 Units @0.0000						
05/31/2018	***-**-52IN - KUNKEL, RAY	PERSPECTIVE II DTCC	0.00	110.58	0.00	110.58	85.0	93.99
	ACCOUNT #: 1018799355	BUY 0 Units @0.0000						
05/31/2018	***-**-99IR - BUCHANAN, STEVEN	PERSPECTIVE II DTCC	0.00	40.23	0.00	40.23	85.0	34.20
	ACCOUNT #: 1018892479	BUY 0 Units @0.0000						
05/31/2018	***-**-400I - ROMIG, MARK	PERSPECTIVE II DTCC	0.00	53.77	0.00	53.77	85.0	45.70
	ACCOUNT #: 1019057603	BUY 0 Units @0.0000						
05/31/2018	***-**-949I - LICHT, FBO MS	PERSPECTIVE II DTCC	0.00	34.81	0.00	34.81	85.0	29.59
	ACCOUNT #: 1019390361	BUY 0 Units @0.0000						
05/31/2018	***-**-962I - KLOKIS, ROBERT	PERSPECTIVE II DTCC	0.00	34.64	0.00	34.64	85.0	29.44
	ACCOUNT #: 1019390434	BUY 0 Units @0.0000						
05/31/2018	***-**-74IN - MCLAUGHLIN, JOHN	PERSPECTIVE II DTCC	0.00	85.88	0.00	85.88	85.0	73.00
	ACCOUNT #: 1019656124	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
05/31/2018	***-**-00IN - ROMIG, MARK	PERSPECTIVE II DTCC	0.00	32.86	0.00	32.86	85.0	27.93
	ACCOUNT #: 1020004262	BUY 0 Units @0.0000						
05/31/2018	***-**-14IN - MCKENNA, DONNA	PERSPECTIVE II DTCC	0.00	39.08	0.00	39.08	85.0	33.22
	ACCOUNT #: 1020006044	BUY 0 Units @0.0000						
05/31/2018	***-**-508I - FREY, DONALD	PERSPECTIVE II DTCC	0.00	11.41	0.00	11.41	85.0	9.70
	ACCOUNT #: 1020119299	BUY 0 Units @0.0000						
05/31/2018	***-**-35IN - TOBIN, MAUREEN	PERSPECTIVE II DTCC	0.00	45.32	0.00	45.32	85.0	38.52
	ACCOUNT #: 1020192220	BUY 0 Units @0.0000						
05/31/2018	***-**-30IR - STRAUB, FBO MRS	PERSPECTIVE II DTCC	0.00	45.54	0.00	45.54	85.0	38.71
	ACCOUNT #: 1020163875	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,968.02	\$0.00	\$1,968.02		\$1,672.81
*** BROKER TRANSACTIONS TOTAL ***			\$22,142.01	\$2,590.59	\$0.00	\$2,590.59		\$2,287.52

BROKER COMMISSION TOTALS

Broker Transactions	\$2,287.52
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$2,287.52
Year-to-date Earnings	\$52,005.59