

All Companies  
**STATEMENT**  
**July 20, 2018**

RONALD NALVEN  
269 Century Way

BROKER #: 028  
BRANCH: LA BRANCH

Manalapan, NJ 07726-

COMMISSION STATEMENT for RONALD NALVEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: STOCKS</u></b>										
06/27/2018 #	BOGUCHWAL, JONATHAN	ISHARES TR DOW JONES SEL DIVID INDE S		1,954.40	0.00	0.00	0.00	0.00	90.0	0.00
06/27/2018 #	BOGUCHWAL, JONATHAN	ISHARES TRUST IBOXX \$ INVESTOP INVE S		2,062.49	0.00	0.00	0.00	0.00	90.0	0.00
06/27/2018 #	BOGUCHWAL, JONATHAN	ISHARES TRUST ISHARES 3 TO 7 YEAR T S		2,161.67	0.00	0.00	0.00	0.00	90.0	0.00
<b>* STOCKS SUBTOTAL *</b>				<b>\$6,178.56</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

**PRODUCT CATEGORY: VARIABLE ANNUITIES**

05/01/0018 #PHR546	VOYA		B	1,178,133.96	981.78	0.00	0.00	981.78	86.0	844.33
05/01/0018 #ZH8660	VOYA		B	6,833,878.12	2,562.70	0.00	0.00	2,562.70	86.0	2,203.92
05/02/0018 #100818TAL	BERBERIAN, JOSEPH	TRANS LIFE FTLB04	B	258,186.79	215.16	0.00	0.00	215.16	86.0	185.04
05/02/0018 #111219TAL	BROPHY, SUSAN	TRANS LIFE FTLB06	B	80,842.34	67.37	0.00	0.00	67.37	86.0	57.94
05/05/0018 #105766LB8	PARTNOYUTE, LAUR	TRANS LIFEFLDP26	B	339,362.77	282.80	0.00	0.00	282.80	86.0	243.21
05/05/0018 #105767LB8	SHEYNNIN, MICHAEL	TRANS LIFEFLDP26	B	358,064.79	298.39	0.00	0.00	298.39	86.0	256.62
05/06/0018 #130283LB8	WILLIAMSON	TRANS LIFEFLDP26	B	107,035.44	89.20	0.00	0.00	89.20	86.0	76.71
05/09/0018 #110865LB8	PEREZ, HECTOR	TRANS LIFEFLDP26	B	91,796.97	76.50	0.00	0.00	76.50	86.0	65.79
05/09/0018 #ZH8660	VOYA		B	6,735.27	0.00	0.00	0.00	0.00	86.0	0.00
06/05/0018 #GAPCPJN90	FOOD EXCHANGE,	NATIONWIDE 020P15685	B	0.00	329.20	0.00	0.00	329.20	86.0	283.11
06/05/0018 #GAPCPJZ6B	PANZA AND SONS	NATIONWIDE 020P15685	B	0.00	72.90	0.00	0.00	72.90	86.0	62.69
06/05/0018 #GAPC7DN4J	MEDICAL, UNIVERSITY	NATIONWIDE 620	B	0.00	197.50	0.00	0.00	197.50	86.0	169.85
06/05/0018 #GAPC3JN9N	FOOD EXCHANGE,	NATIONWIDE 620	B	0.00	453.14	0.00	0.00	453.14	86.0	389.70
06/05/0018 #GAPC3JZ6A	PANZA AND SONS	NATIONWIDE 620	B	0.00	321.67	0.00	0.00	321.67	86.0	276.64
06/05/0018 #GAPC3JZ3J	REAL ESTATE, MRH	NATIONWIDE 620	B	0.00	309.40	0.00	0.00	309.40	86.0	266.08
06/06/0018 #P61D2527580	GARLICK, GORDO	AMERICAN GEN TRAILS	B	0.00	136.50	0.00	0.00	136.50	86.0	117.39
06/06/0018 #P61D2527581	GARLICK, JUNE	AMERICAN GEN TRAILS	B	0.00	63.57	0.00	0.00	63.57	86.0	54.67
06/09/0018 #27045	COMPONENTS AND CONT	LINCOLN	B	0.00	351.06	0.00	0.00	351.06	86.0	301.91
06/30/0201 #GAPCPJN90	FOOD EXCHANGE,	NATIONWIDE 020P01568	B	0.00	329.14	0.00	0.00	329.14	86.0	283.06
06/30/0201 #GAPCPJZ6B	PANZA AND SONS	NATIONWIDE 020P01568	B	0.00	66.75	0.00	0.00	66.75	86.0	57.41
06/30/0201 #GAPC7DN4J	MEDICAL, UNIVERSITY	NATIONWIDE 620	B	0.00	199.86	0.00	0.00	199.86	86.0	171.88
06/30/0201 #GAPC3JN9N	FOOD EXCHANGE,	NATIONWIDE 620	B	0.00	456.55	0.00	0.00	456.55	86.0	392.63
06/30/0201 #GAPC3JZ6A	PANZA AND SONS	NATIONWIDE 620	B	0.00	280.06	0.00	0.00	280.06	86.0	240.85
06/30/0201 #GAPC3JZ3J	REAL ESTATE, MRH	NATIONWIDE 620	B	0.00	312.08	0.00	0.00	312.08	86.0	268.39
05/04/2018 #119229TAL	BOGUCHWAL, SHERRY	TRANS LIFE FTLB06	B	60,835.69	50.70	0.00	0.00	50.70	86.0	43.60

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<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>										
05/05/2018	#119794TAL HAHN, IRWIN	TRANS LIFE FTLB01	B	46,732.69	38.94	0.00	0.00	38.94	86.0	33.49
06/30/2018	#1007781489 CIAGLIA, RITA	L SERIES	B	245,158.66	265.59	0.00	0.00	265.59	86.0	228.41
06/30/2018	#1007589308 EHRLICH, HAROLD	L SERIES	B	130,583.22	108.82	0.00	0.00	108.82	86.0	93.59
06/30/2018	#1005032888 GALLUCCI,	L SERIES	B	53,340.73	44.45	0.00	0.00	44.45	86.0	38.23
06/30/2018	#1005754573 HERMAN, MICHAEL	L SERIES	B	44,170.09	47.85	0.00	0.00	47.85	86.0	41.15
06/30/2018	#1006254655 JUBINSKI, STACIE	PERSP II	B	4,016.24	1.67	0.00	0.00	1.67	86.0	1.44
06/30/2018	#1011390591 CIAGLIA, RITA	PERSP L 08/11	B	272,145.80	226.79	0.00	0.00	226.79	86.0	195.04
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$10,111,019.57</b>	<b>\$9,238.09</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$9,238.09</b>		<b>\$7,944.77</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

06/07/2018	#01446893602 CIAGLIA, RITA	FA GROWTH OPPORTUNITIES C	B	0.00	5.76	0.00	0.00	5.76	86.0	4.95
06/07/2018	#01446893602 CIAGLIA, RITA	TREASURY FUND ADVISOR-CL C	B	0.00	1.53	0.00	0.00	1.53	86.0	1.32
06/11/2018	# CIAGLIA, ANTHONY	DAIN TRAILER	B	0.00	1.06	0.00	0.00	1.06	86.0	0.91
06/11/2018	# JUBINSKI, STACIE	DAIN TRAILER	B	0.00	7.89	0.00	0.00	7.89	86.0	6.79
06/11/2018	# JUBINSKI, STACIE	DAIN TRAILER	B	0.00	6.39	0.00	0.00	6.39	86.0	5.50
06/11/2018	# EVA MAGNES MEMORIAL FAMIL	DAIN TRAILER	B	0.00	12.43	0.00	0.00	12.43	86.0	10.69
06/11/2018	# BERBERIAN, ANI	DAIN TRAILER	B	0.00	0.16	0.00	0.00	0.16	86.0	0.14
06/11/2018	# BERBERIAN, ANI	DAIN TRAILER	B	0.00	0.79	0.00	0.00	0.79	86.0	0.68
06/11/2018	# BERBERIAN, ANI	DAIN TRAILER	B	0.00	0.63	0.00	0.00	0.63	86.0	0.54
06/11/2018	# BOGUCHWAL, SHERRY	DAIN TRAILER	B	0.00	0.39	0.00	0.00	0.39	86.0	0.34
06/11/2018	# BOGUCHWAL, SHERRY	DAIN TRAILER	B	0.00	1.22	0.00	0.00	1.22	86.0	1.05
06/11/2018	# BOGUCHWAL, SCOTT	DAIN TRAILER	B	0.00	0.44	0.00	0.00	0.44	86.0	0.38
06/11/2018	# AMATO, NICHOLAS	DAIN TRAILER	B	0.00	1.75	0.00	0.00	1.75	86.0	1.51
06/11/2018	# AMATO, JOHN	DAIN TRAILER	B	0.00	1.71	0.00	0.00	1.71	86.0	1.47
06/11/2018	# AMATO, CHRISTOPHER	DAIN TRAILER	B	0.00	1.05	0.00	0.00	1.05	86.0	0.90
06/11/2018	# MILLER, SUSAN	DAIN TRAILER	B	0.00	4.78	0.00	0.00	4.78	86.0	4.11
06/11/2018	# MILLER, SUSAN	DAIN TRAILER	B	0.00	1.74	0.00	0.00	1.74	86.0	1.50
06/11/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	1.00	0.00	0.00	1.00	86.0	0.86
06/11/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.50	0.00	0.00	0.50	86.0	0.43
06/11/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	1.23	0.00	0.00	1.23	86.0	1.06
06/11/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.66	0.00	0.00	0.66	86.0	0.57
06/11/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.52	0.00	0.00	0.52	86.0	0.45
06/11/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	1.00	0.00	0.00	1.00	86.0	0.86
06/11/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	1.21	0.00	0.00	1.21	86.0	1.04
06/11/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.31	0.00	0.00	0.31	86.0	0.27
06/11/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.16	0.00	0.00	0.16	86.0	0.14
06/11/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.39	0.00	0.00	0.39	86.0	0.34
06/11/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.21	0.00	0.00	0.21	86.0	0.18
06/11/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.16	0.00	0.00	0.16	86.0	0.14
06/11/2018	# BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.32	0.00	0.00	0.32	86.0	0.28

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/11/2018 # BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.38	0.00	0.00	0.38	86.0	0.33
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$57.77	\$0.00	\$0.00	\$57.77	\$49.73
*** BROKER TRANSACTIONS TOTAL ***				\$10,117,198.13	\$9,295.86	\$0.00	\$0.00	\$9,295.86	\$7,994.50

ADJUSTMENTS

07/20/2018	ADMIN FEE: ADMIN FEE								-390.00
07/20/2018	E & O INSURANCE: E & O INSURANCE								-179.00
07/20/2018	CIRCLEBLACK: CIRCLEBLACK								-175.00
07/20/2018	DEMNL:MANUAL PROCESS FEE (LPFS):								-25.00
	MANUAL PROCESS FEE								
07/20/2018	DEMNL:MANUAL PROCESS FEE (LPFS):								-25.00
	MANUAL PROCESS FEE								
07/20/2018	DEMNL:MANUAL PROCESS FEE (LPFS):								-25.00
	MANUAL PROCESS FEE								
07/20/2018	FINRA: CRD FEES - FINRA MEMBER								-110.00
	DISC PROC FEE								
07/20/2018	FINRA: CRD FEES - FINRA MEMBER DISC								-110.00
	PROC FEE								
07/20/2018	ASSISTANT FEE: ASSISTANT FEE								-59.00
*** ADJUSTMENTS TOTAL ***									\$-1,098.00

BROKER COMMISSION TOTALS

Broker Transactions	\$7,994.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,098.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,896.50
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