

## COMMISSION STATEMENT

January 31, 2018

JOHN BOYCE

15408 DUNCAN HILL ROAD

BULTER, MD 21023

BROKER #: 41

BRANCH: HOME OFFICE

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/05/2018	75500360	BOYCE, ANN HAGERTY		SPDR SERIES TRUST S&P AEROSPACE & D B	8,456.00	25.00	10.00	15.00	70.0 7.50
01/10/2018	75500360	BOYCE, ANN HAGERTY		CISCO SYS INC	19,943.50	30.00	10.00	20.00	70.0 11.00
01/10/2018	75500360	BOYCE, ANN HAGERTY		SEABRIDGE GOLD INC	10,787.50	30.00	10.00	20.00	70.0 11.00
01/11/2018	75500360	BOYCE, ANN HAGERTY		AMAZON.COM INC	12,614.00	20.00	10.00	10.00	70.0 4.00
01/12/2018	75500360	BOYCE, ANN HAGERTY		ANADARKO PETROLEUM CORP	11,770.00	40.00	10.00	30.00	70.0 18.00
01/12/2018	75500360	BOYCE, ANN HAGERTY		PIONEER NATURAL RESOURCES COMPANY	18,402.00	40.00	10.00	30.00	70.0 18.00
01/17/2018	75500360	BOYCE, ANN HAGERTY		BARCLAYS BK PLC IPATH BLOOMBERG GRA S	7,278.00	0.00	10.00	-10.00	70.0 -10.00
01/24/2018	75500360	BOYCE, ANN HAGERTY		MACQUARIE INFRASTRUCTURE CORPORATIO B	66,431.90	40.00	10.00	30.00	70.0 18.00
01/29/2018	75500360	BOYCE, ANN HAGERTY		ENPHASE ENERGY INC	4,216.00	30.00	10.00	20.00	70.0 11.00
12/29/2017	75500361	BOYCE, JOHN C		HECLA MINING COMPANY	114,698.32	500.00	10.00	490.00	70.0 340.00
01/11/2018	75500361	BOYCE, JOHN C		MGT CAP INVTS INC COM NEW	7,788.00	30.00	10.00	20.00	70.0 11.00
01/19/2018	75500361	BOYCE, JOHN C		FMC CORP NEW	17,874.60	35.00	10.00	25.00	70.0 14.50
01/23/2018	75500361	BOYCE, JOHN C		MACQUARIE INFRASTRUCTURE CORPORATIO B	32,924.70	30.00	10.00	20.00	70.0 11.00
01/24/2018	75500361	BOYCE, JOHN C		MACQUARIE INFRASTRUCTURE CORPORATIO B	33,215.95	25.00	10.00	15.00	70.0 7.50
01/25/2018	75500361	BOYCE, JOHN C		COLONY NORTHSTAR INC CL A COM	9,855.00	30.00	10.00	20.00	70.0 11.00
01/25/2018	75500361	BOYCE, JOHN C		ETF MANAGERS TR ETFMG ALTERNATIVE H B	38,210.00	30.00	10.00	20.00	70.0 11.00
01/25/2018	75500361	BOYCE, JOHN C		INTELLICHECK MOBILISA INC COM NEW	10,352.00	70.00	10.00	60.00	70.0 39.00
01/02/2018	75500366	BOYCE, JOHN C		ALIBABA GROUP HOLDING LTD SPONSORED B	24,919.42	25.00	10.00	15.00	70.0 7.50
01/02/2018	75500366	BOYCE, JOHN C		TENCENT HOLDINGS LIMITED UNSPONSORE B	10,785.90	30.00	10.00	20.00	70.0 11.00
01/03/2018	75500366	BOYCE, JOHN C		BITCOIN INVT TR SHS	11,657.45	20.00	10.00	10.00	70.0 4.00
01/11/2018	75500366	BOYCE, JOHN C		BITCOIN INVT TR SHS	9,385.15	30.00	10.00	20.00	70.0 11.00
01/12/2018	75500366	BOYCE, JOHN C		FACEBOOK INC CL A	9,025.88	20.00	10.00	10.00	70.0 4.00
01/22/2018	75500366	BOYCE, JOHN C		VANECK VECTORS ETF TR JR GOLD MINER S	6,896.00	30.00	10.00	20.00	70.0 11.00
12/28/2017	75500368	PETR, THOMAS		A10 NETWORKS INC COM	30,652.80	240.00	10.00	230.00	70.0 158.00
12/28/2017	75500368	PETR, THOMAS		INPHI CORP COM	185,985.00	300.00	10.00	290.00	70.0 200.00
12/28/2017	75500368	PETR, THOMAS		SQUARE INC CLASS A COM	70,492.00	120.00	10.00	110.00	70.0 74.00
01/05/2018	75500368	PETR, THOMAS		JD.COM INC SPONSORED ADR REPSTG COM B	180,389.88	240.00	10.00	230.00	70.0 158.00
01/12/2018	75500368	PETR, THOMAS		FACEBOOK INC CL A	180,177.00	70.00	10.00	60.00	70.0 39.00
01/16/2018	75500368	PETR, THOMAS		ACTIVISION BLIZZARD INC	138,568.00	0.06	10.00	-9.94	70.0 -9.96
01/16/2018	75500368	PETR, THOMAS		ACTIVISION BLIZZARD INC	-138,568.00	-0.06	-10.00	9.94	70.0 9.96 C
01/16/2018	75500368	PETR, THOMAS		ACTIVISION BLIZZARD INC	138,568.00	120.00	10.00	110.00	70.0 74.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/16/2018	75500368	PETR, THOMAS		AMAZON.COM INC	B	920,773.93	0.06	10.00	-9.94 70.0 -9.96
01/16/2018	75500368	PETR, THOMAS		AMAZON.COM INC	S	-920,774.00	-0.06	-10.00	9.94 70.0 9.96 C
01/16/2018	75500368	PETR, THOMAS		AMAZON.COM INC	B	920,773.93	42.00	10.00	32.00 70.0 19.40
01/22/2018	75500368	PETR, THOMAS		BROADCOM LIMITED SHS	S	526,021.19	120.00	10.00	110.00 70.0 74.00
01/22/2018	75500368	PETR, THOMAS		ELECTRONIC ARTS INC	B	354,067.98	180.00	10.00	170.00 70.0 116.00
01/22/2018	75500368	PETR, THOMAS		HORTONWORKS INC	S	202,269.76	600.00	10.00	590.00 70.0 410.00
01/22/2018	75500368	PETR, THOMAS		NVIDIA CORP	B	699,826.20	180.00	10.00	170.00 70.0 116.00
01/22/2018	75500368	PETR, THOMAS		SKYWORKS SOLUTIONS INC	S	293,365.44	180.00	10.00	170.00 70.0 116.00
01/25/2018	75500368	PETR, THOMAS		COLONY NORTHSTAR INC CL A COM	S	357,219.80	1,822.55	10.00	1,812.55 70.0 1,265.79
01/25/2018	75500368	PETR, THOMAS		MACQUARIE INFRASTRUCTURE CORPORATIO	B	334,099.50	250.00	10.00	240.00 70.0 165.00
01/02/2018	75500370	HUBARD, MARGARET		MARATHON PETE CORP COM	B	13,348.00	40.00	10.00	30.00 70.0 18.00
01/24/2018	75500370	HUBARD, MARGARET		GENERAL ELECTRIC CO	S	10,437.36	70.00	10.00	60.00 70.0 39.00
01/24/2018	75500370	HUBARD, MARGARET		MACQUARIE INFRASTRUCTURE CORPORATIO	B	33,074.55	50.00	10.00	40.00 70.0 25.00
01/29/2018	75500378	CLOUGH, CHARLES EVANS		MARTIN MIDSTREAM PARTNERS L P	B	1,657.50	40.00	10.00	30.00 70.0 18.00
12/28/2017	75500385	HUBARD, JOHN		ENERGY TRANSFER PARTNERS L P	S	21,624.00	100.00	10.00	90.00 70.0 60.00
12/28/2017	75500385	HUBARD, JOHN		KRAFT HEINZ COMPANY (THE) COMMON ST	S	39,110.00	70.00	10.00	60.00 70.0 39.00
12/28/2017	75500385	HUBARD, JOHN		MARTIN MIDSTREAM PARTNERS L P	S	35,750.00	200.00	10.00	190.00 70.0 130.00
12/28/2017	75500385	HUBARD, JOHN		VANECK VECTORS ETF TR JR GOLD MINER	S	24,017.00	100.00	10.00	90.00 70.0 60.00
01/02/2018	75500385	HUBARD, JOHN		WEYERHAEUSER CO	B	17,617.50	70.00	10.00	60.00 70.0 39.00
01/05/2018	75500385	HUBARD, JOHN		AMAZON.COM INC	B	24,354.00	100.00	10.00	90.00 70.0 60.00
01/05/2018	75500385	HUBARD, JOHN		BOEING CO	B	29,838.60	75.00	10.00	65.00 70.0 42.50
01/17/2018	75500385	HUBARD, JOHN		CITIGROUP INC COM	B	31,034.64	70.00	10.00	60.00 70.0 39.00
01/19/2018	75500385	HUBARD, JOHN		VANECK VECTORS ETF TR JR GOLD MINER	B	15,000.00	50.00	10.00	40.00 70.0 25.00
01/23/2018	75500385	HUBARD, JOHN		ALPHABET INC CLASS C CAPITAL STOCK	B	17,534.85	25.00	10.00	15.00 70.0 7.50
01/24/2018	75500385	HUBARD, JOHN		GENERAL ELECTRIC CO	S	1,815.11	40.00	10.00	30.00 70.0 18.00
01/24/2018	75500385	HUBARD, JOHN		MACQUARIE INFRASTRUCTURE CORPORATIO	B	33,074.55	50.00	10.00	40.00 70.0 25.00
01/04/2018	75500391	NIEDRINGHAUS, WALLACE		RIO TINTO PLC SPONSORED ADR	B	27,354.50	70.00	10.00	60.00 70.0 39.00
01/09/2018	75500391	NIEDRINGHAUS, WALLACE		TECK RESOURCES LIMITED SUB VOTING C	B	28,238.87	70.00	10.00	60.00 70.0 39.00
01/16/2018	75500391	NIEDRINGHAUS, WALLACE		MGT CAP INVTS INC COM NEW	S	7,900.00	30.00	10.00	20.00 70.0 11.00
01/22/2018	75500391	NIEDRINGHAUS, WALLACE		TECK RESOURCES LIMITED SUB VOTING C	S	28,493.00	50.00	10.00	40.00 70.0 25.00
01/26/2018	75500413	RUSSELL, WILLIAM		GENERAL ELECTRIC CO	S	6,393.20	40.00	10.00	30.00 70.0 18.00
01/26/2018	75500419	SANCHEZ, DEBRA		GENERAL ELECTRIC CO	S	1,597.25	25.00	10.00	15.00 70.0 7.50
12/29/2017	75500424	JOHN B HUBARD	TTEE	MARTIN MIDSTREAM PARTNERS L P	S	12,040.00	51.60	10.00	41.60 70.0 26.12
01/08/2018	75500424	JOHN B HUBARD	TTEE	AMERICAN EXPRESS COMPANY (N.Y)	B	20,035.32	60.00	10.00	50.00 70.0 32.00
01/17/2018	75500424	JOHN B HUBARD	TTEE	ALPHABET INC CLASS C CAPITAL STOCK	B	22,615.60	30.00	10.00	20.00 70.0 11.00
01/17/2018	75500424	JOHN B HUBARD	TTEE	CITIGROUP INC COM	B	7,742.66	40.00	10.00	30.00 70.0 18.00
01/23/2018	75500424	JOHN B HUBARD	TTEE	ENBRIDGE ENERGY PARTNERS L P	B	15,967.55	100.00	10.00	90.00 70.0 60.00
01/23/2018	75500424	JOHN B HUBARD	TTEE	SHIP FINANCE INTERNATIONAL LIMITED	B	7,925.00	70.00	10.00	60.00 70.0 39.00
01/23/2018	75500424	JOHN B HUBARD	TTEE	SYSCO CORP	B	19,050.54	70.00	10.00	60.00 70.0 39.00
12/28/2017	75500428	JOHN B HUBARD	TTEE	JOHNSON CONTROLS INTERNATIONAL PLC	S	27,010.53	70.00	10.00	60.00 70.0 39.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/04/2018	75500428	JOHN B HUBARD TTEE							
		AMAZON.COM INC	B	60,558.50	50.00	10.00	40.00	70.0	25.00
01/04/2018	75500428	JOHN B HUBARD TTEE							
		BOEING CO	B	29,673.98	75.00	10.00	65.00	70.0	42.50
01/19/2018	75500443	GOOD, TIMOTHY							
		AMAZON.COM INC	B	39,078.30	75.00	10.00	65.00	70.0	42.50
01/19/2018	75500443	GOOD, TIMOTHY							
		APPLE INC	B	35,724.00	75.00	10.00	65.00	70.0	42.50
01/19/2018	75500443	GOOD, TIMOTHY							
		RANDGOLD RESOURCES LTD ADR	B	80,500.00	50.00	10.00	40.00	70.0	25.00
01/19/2018	75500443	GOOD, TIMOTHY							
		U S SILICA HOLDINGS INC	B	36,815.00	75.00	10.00	65.00	70.0	42.50
01/25/2018	75500443	GOOD, TIMOTHY							
		MACQUARIE INFRASTRUCTURE CORPORATIO B	B	33,292.60	70.00	10.00	60.00	70.0	39.00
01/10/2018	75500444	GOOD, TIMOTHY							
		BP P L C SPONSORED ADR (FRM BP AMOC B	B	10,729.15	60.00	10.00	50.00	70.0	32.00
01/10/2018	75500444	GOOD, TIMOTHY							
		DYADIC INTERNATIONAL INC	S	7,051.00	25.00	10.00	15.00	70.0	7.50
01/10/2018	75500444	GOOD, TIMOTHY							
		JD.COM INC SPONSORED ADR REPSTG COM B	B	13,933.98	60.00	10.00	50.00	70.0	32.00
01/11/2018	75500444	GOOD, TIMOTHY							
		TEKLA HEALTHCARE INVS SH BEN INT	S	4,253.40	20.00	10.00	10.00	70.0	4.00
01/26/2018	75500445	GOOD, TIMOTHY							
		RED LION HOTELS CORP COM	B	18,632.43	87.50	10.00	77.50	70.0	51.25
01/25/2018	75500454	GARLAND, JAMES							
		ADVANCED MICRO DEVICES INC	B	6,410.00	50.00	10.00	40.00	70.0	25.00
01/25/2018	75500454	GARLAND, JAMES							
		INTEL CORP	B	9,172.00	50.00	10.00	40.00	70.0	25.00
01/26/2018	75500454	GARLAND, JAMES							
		ALLIANT ENERGY CORP	B	6,070.50	35.00	10.00	25.00	70.0	14.50
01/26/2018	75500454	GARLAND, JAMES							
		NRG ENERGY INC	B	5,546.00	35.00	10.00	25.00	70.0	14.50
01/29/2018	75500456	GREEN, H FRANKLIN							
		BANK OF AMERICA CORP	B	8,096.75	50.00	10.00	40.00	70.0	25.00
01/03/2018	75500515	GARLAND, JAMES							
		MGM RESORTS INTL COM	B	16,740.00	100.00	10.00	90.00	70.0	60.00
01/24/2018	75501269	KNOX, DAVID							
		U S SILICA HOLDINGS INC	B	7,397.98	70.00	10.00	60.00	70.0	39.00
01/29/2018	75501269	KNOX, DAVID							
		GENERAL ELECTRIC CO	S	3,197.00	104.68	10.00	94.68	70.0	63.28
01/26/2018	75501337	COMPTON, ROBERT							
		GENERAL ELECTRIC CO	S	4,000.85	40.00	10.00	30.00	70.0	18.00
01/26/2018	75501337	COMPTON, ROBERT							
		MACQUARIE INFRASTRUCTURE CORPORATIO B	B	6,682.51	40.00	10.00	30.00	70.0	18.00
01/09/2018	75501338	BOYCE, ROGER F							
		AMAZON.COM INC	B	4,977.72	10.00	10.00	0.00	70.0	-3.00
01/22/2018	75501338	BOYCE, ROGER F							
		CATERPILLAR INC	B	17,027.99	25.00	10.00	15.00	70.0	7.50
01/26/2018	75502091	BURGHART, ROBIN							
		GENERAL ELECTRIC CO	S	3,196.60	30.00	10.00	20.00	70.0	11.00
01/26/2018	75502091	BURGHART, ROBIN							
		GENERAL ELECTRIC CO	S	990.95	20.00	10.00	10.00	70.0	4.00
01/26/2018	75502118	BURGHART, ROBIN							
		GENERAL ELECTRIC CO	S	9,589.80	70.00	10.00	60.00	70.0	39.00
01/08/2018	75502122	BURGHART, ROBIN							
		AMAZON.COM INC	B	24,806.60	40.00	10.00	30.00	70.0	18.00
01/08/2018	75502122	BURGHART, ROBIN							
		WEYERHAEUSER CO	B	17,654.50	70.00	10.00	60.00	70.0	39.00
01/09/2018	75502122	BURGHART, ROBIN							
		BANK OF AMERICA CORP	B	9,115.50	50.00	10.00	40.00	70.0	25.00
12/28/2017	QGV002942	ASSOCIATES, F/A/O							
		ABBOTT LABORATORIES	B	180,707.00	125.60	10.00	115.60	70.0	77.92
01/16/2018	QGV002942	ASSOCIATES, F/A/O							
		ALLSCRIPTS HEALTHCARE SOLUTIONS INC B	B	250,175.20	490.20	10.00	480.20	70.0	333.14
01/23/2018	QGV002942	ASSOCIATES, F/A/O							
		FMC CORP NEW	S	-123,009.86	55.44	10.00	45.44	70.0	28.81
01/24/2018	QGV002942	ASSOCIATES, F/A/O							
		TEXAS INSTRUMENTS INCORPORATED	S	-199,872.58	72.80	10.00	62.80	70.0	40.96
01/24/2018	QGV002942	ASSOCIATES, F/A/O							
		TEXAS INSTRUMENTS INCORPORATED	S	-277,013.75	101.20	10.00	91.20	70.0	60.84
01/24/2018	QGV002942	ASSOCIATES, F/A/O							
		WILLIAMS COMPANIES (DEL)	B	265,191.30	319.60	10.00	309.60	70.0	213.72
01/25/2018	QGV002942	ASSOCIATES, F/A/O							
		COLUMBIA SPORTSWEAR CO	S	-171,177.70	92.40	10.00	82.40	70.0	54.68
01/25/2018	QGV002942	ASSOCIATES, F/A/O							
		GIBALTAR INDS INC COM	B	1,002,817.36	1,055.60	10.00	1,045.60	70.0	728.92
01/26/2018	QGV002942	ASSOCIATES, F/A/O							
		ABBOTT LABORATORIES	B	1,257.50	0.00	10.00	-10.00	70.0	-10.00
01/26/2018	QGV002942	ASSOCIATES, F/A/O							
		AMGEN INC	B	6,717.75	0.00	10.00	-10.00	70.0	-10.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/26/2018	QGV002942 ASSOCIATES, F/A/O	ATMOS ENERGY CORP	B	10,347.19	0.00	10.00	-10.00	70.0	-10.00
01/26/2018	QGV002942 ASSOCIATES, F/A/O	AVANGRID INC COM	S	-16,819.10	13.80	10.00	3.80	70.0	-0.34
01/26/2018	QGV002942 ASSOCIATES, F/A/O	BLACK KNIGHT INC COMMON STOCK	S	-8,661.25	0.00	10.00	-10.00	70.0	-10.00
01/26/2018	QGV002942 ASSOCIATES, F/A/O	BOEING CO	S	-8,560.26	0.00	10.00	-10.00	70.0	-10.00
01/26/2018	QGV002942 ASSOCIATES, F/A/O	BROADRIDGE FINANCIAL SOLUTIONS INC	S	-5,766.86	0.00	10.00	-10.00	70.0	-10.00
01/26/2018	QGV002942 ASSOCIATES, F/A/O	DELUXE CORP	S	-4,536.34	0.00	10.00	-10.00	70.0	-10.00
01/26/2018	QGV002942 ASSOCIATES, F/A/O	DOWDUPONT INC COMMON STOCK	B	5,317.62	0.00	10.00	-10.00	70.0	-10.00
01/26/2018	QGV002942 ASSOCIATES, F/A/O	EATON CORPORATION PLC	B	7,270.32	0.00	10.00	-10.00	70.0	-10.00
01/26/2018	QGV002942 ASSOCIATES, F/A/O	EOG RES INC	S	-7,074.65	0.00	10.00	-10.00	70.0	-10.00
01/26/2018	QGV002942 ASSOCIATES, F/A/O	GENERAL MILLS INC	B	6,910.34	0.00	10.00	-10.00	70.0	-10.00
01/26/2018	QGV002942 ASSOCIATES, F/A/O	MARSH & MCLENNAN COMPANIES INC	S	-7,165.32	0.00	10.00	-10.00	70.0	-10.00
01/26/2018	QGV002942 ASSOCIATES, F/A/O	PEBBLEBROOK HOTEL TRUST	B	1,372.44	0.00	10.00	-10.00	70.0	-10.00
01/26/2018	QGV002942 ASSOCIATES, F/A/O	TEXAS INSTRUMENTS INCORPORATED	S	-23,095.80	0.00	10.00	-10.00	70.0	-10.00
01/26/2018	QGV002942 ASSOCIATES, F/A/O	WILLIAMS COMPANIES (DEL)	B	31,247.50	38.20	10.00	28.20	70.0	16.74
12/28/2017	QGV002959 PERSHING LLC	ABBOTT LABORATORIES	B	48,342.00	33.60	10.00	23.60	70.0	13.52
12/28/2017	QGV002959 PERSHING LLC	APPLIED MINERALS INC	S	-8,523.90	50.00	10.00	40.00	70.0	25.00
12/29/2017	QGV002959 PERSHING LLC	APPLIED MINERALS INC	S	-12,237.50	50.00	10.00	40.00	70.0	25.00
01/03/2018	QGV002959 PERSHING LLC	APPLIED MINERALS INC	S	-13,057.92	50.00	10.00	40.00	70.0	25.00
01/04/2018	QGV002959 PERSHING LLC	APPLIED MINERALS INC	S	-2.42	0.00	10.00	-10.00	70.0	-10.00
01/04/2018	QGV002959 PERSHING LLC	APPLIED MINERALS INC	S	2.42	0.00	-10.00	10.00	70.0	10.00 C
01/05/2018	QGV002959 PERSHING LLC	APPLIED MINERALS INC	S	-2,786.34	50.00	10.00	40.00	70.0	25.00
01/08/2018	QGV002959 PERSHING LLC	APPLIED MINERALS INC	S	-360.00	10.00	10.00	0.00	70.0	-3.00
01/12/2018	QGV002959 PERSHING LLC	APPLIED MINERALS INC	S	-17,339.52	50.00	10.00	40.00	70.0	25.00
01/16/2018	QGV002959 PERSHING LLC	ALLSCRIPTS HEALTHCARE SOLUTIONS INC	B	265,179.59	519.60	10.00	509.60	70.0	353.72
01/16/2018	QGV002959 PERSHING LLC	APPLIED MINERALS INC	S	-6,358.38	50.00	10.00	40.00	70.0	25.00
01/17/2018	QGV002959 PERSHING LLC	APPLIED MINERALS INC	S	-8,750.00	50.00	10.00	40.00	70.0	25.00
01/18/2018	QGV002959 PERSHING LLC	APPLIED MINERALS INC	S	-13,055.00	50.00	10.00	40.00	70.0	25.00
01/24/2018	QGV002959 PERSHING LLC	TEXAS INSTRUMENTS INCORPORATED	S	-76,874.07	28.00	10.00	18.00	70.0	9.60
01/24/2018	QGV002959 PERSHING LLC	TEXAS INSTRUMENTS INCORPORATED	S	-74,454.29	27.20	10.00	17.20	70.0	9.04
01/24/2018	QGV002959 PERSHING LLC	WILLIAMS COMPANIES (DEL)	B	102,890.24	124.00	10.00	114.00	70.0	76.80
01/25/2018	QGV002959 PERSHING LLC	COLUMBIA SPORTSWEAR CO	S	-182,293.13	98.40	10.00	88.40	70.0	58.88
01/25/2018	QGV002959 PERSHING LLC	GIBRALTAR INDS INC COM	B	1,097,057.11	1,154.80	10.00	1,144.80	70.0	798.36
01/26/2018	QGV002959 PERSHING LLC	ABBOTT LABORATORIES	B	21,377.50	13.60	10.00	3.60	70.0	-0.48
01/26/2018	QGV002959 PERSHING LLC	AMGEN INC	B	8,637.11	0.00	10.00	-10.00	70.0	-10.00
01/26/2018	QGV002959 PERSHING LLC	AMGEN INC	B	169,863.18	35.40	10.00	25.40	70.0	14.78
01/26/2018	QGV002959 PERSHING LLC	ATMOS ENERGY CORP	B	13,658.29	0.00	10.00	-10.00	70.0	-10.00
01/26/2018	QGV002959 PERSHING LLC	ATMOS ENERGY CORP	B	310,001.74	149.80	10.00	139.80	70.0	94.86
01/26/2018	QGV002959 PERSHING LLC	AVANGRID INC COM	S	-504,557.51	417.20	10.00	407.20	70.0	282.04
01/26/2018	QGV002959 PERSHING LLC	BLACK KNIGHT INC COMMON STOCK	S	-254,968.75	199.00	10.00	189.00	70.0	129.30
01/26/2018	QGV002959 PERSHING LLC	BLACK KNIGHT INC COMMON STOCK	S	-10,608.75	0.00	10.00	-10.00	70.0	-10.00

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: STOCKS									
01/26/2018	QGV002959	PERSHING LLC		BOEING CO	S	-275,640.37	32.20	10.00	22.20 70.0 12.54
01/26/2018	QGV002959	PERSHING LLC		BOEING CO	S	-10,272.31	0.00	10.00	-10.00 70.0 -10.00
01/26/2018	QGV002959	PERSHING LLC		BROADRIDGE FINANCIAL SOLUTIONS INC	S	-157,147.04	65.40	10.00	55.40 70.0 35.78
01/26/2018	QGV002959	PERSHING LLC		BROADRIDGE FINANCIAL SOLUTIONS INC	S	-6,728.01	0.00	10.00	-10.00 70.0 -10.00
01/26/2018	QGV002959	PERSHING LLC		CISCO SYS INC	B	35,782.96	34.00	10.00	24.00 70.0 13.80
01/26/2018	QGV002959	PERSHING LLC		DELUXE CORP	S	-122,859.10	65.00	10.00	55.00 70.0 35.50
01/26/2018	QGV002959	PERSHING LLC		DELUXE CORP	S	-4,914.36	0.00	10.00	-10.00 70.0 -10.00
01/26/2018	QGV002959	PERSHING LLC		DOUGLAS DYNAMICS INC COM	B	19,492.50	18.40	10.00	8.40 70.0 2.88
01/26/2018	QGV002959	PERSHING LLC		DOWDUPONT INC COMMON STOCK	B	6,457.11	0.00	10.00	-10.00 70.0 -10.00
01/26/2018	QGV002959	PERSHING LLC		DOWDUPONT INC COMMON STOCK	B	126,483.39	66.60	10.00	56.60 70.0 36.62
01/26/2018	QGV002959	PERSHING LLC		EATON CORPORATION PLC	B	8,125.65	0.00	10.00	-10.00 70.0 -10.00
01/26/2018	QGV002959	PERSHING LLC		EATON CORPORATION PLC	B	203,141.35	95.00	10.00	85.00 70.0 56.50
01/26/2018	QGV002959	PERSHING LLC		EOG RES INC	S	-195,731.93	66.40	10.00	56.40 70.0 36.48
01/26/2018	QGV002959	PERSHING LLC		EOG RES INC	S	-7,074.65	0.00	10.00	-10.00 70.0 -10.00
01/26/2018	QGV002959	PERSHING LLC		FIDELITY NATIONAL FINANCIAL INC	B	1,031.98	0.00	10.00	-10.00 70.0 -10.00
01/26/2018	QGV002959	PERSHING LLC		FIDELITY NATIONAL FINANCIAL INC	B	15,066.87	14.60	10.00	4.60 70.0 0.22
01/26/2018	QGV002959	PERSHING LLC		GENERAL MILLS INC	B	205,207.01	136.60	10.00	126.60 70.0 85.62
01/26/2018	QGV002959	PERSHING LLC		GENERAL MILLS INC	B	9,914.83	0.00	10.00	-10.00 70.0 -10.00
01/26/2018	QGV002959	PERSHING LLC		HUNTINGTON BANCSHARES INC	B	18,131.63	45.00	10.00	35.00 70.0 21.50
01/26/2018	QGV002959	PERSHING LLC		MARSH & MCLENNAN COMPANIES INC	S	-8,429.79	0.00	10.00	-10.00 70.0 -10.00
01/26/2018	QGV002959	PERSHING LLC		MARSH & MCLENNAN COMPANIES INC	S	-210,323.26	99.80	10.00	89.80 70.0 59.86
01/26/2018	QGV002959	PERSHING LLC		PACWEST BANCORP	B	28,326.51	21.60	10.00	11.60 70.0 5.12
01/26/2018	QGV002959	PERSHING LLC		PACWEST BANCORP	B	1,311.41	0.00	10.00	-10.00 70.0 -10.00
01/26/2018	QGV002959	PERSHING LLC		PACWEST BANCORP	B	29,637.92	22.60	10.00	12.60 70.0 5.82
01/26/2018	QGV002959	PERSHING LLC		PACWEST BANCORP	B	-28,326.51	-21.60	-10.00	-11.60 70.0 -5.12 C
01/26/2018	QGV002959	PERSHING LLC		PACWEST BANCORP	B	-1,311.41	0.00	-10.00	10.00 70.0 10.00 C
01/26/2018	QGV002959	PERSHING LLC		PEBBLEBROOK HOTEL TRUST	B	25,292.06	25.80	10.00	15.80 70.0 8.06
01/26/2018	QGV002959	PERSHING LLC		PFIZER INCORPORATED	B	22,447.33	23.80	10.00	13.80 70.0 6.66
01/26/2018	QGV002959	PERSHING LLC		ROYAL DUTCH SHELL PLC SPONSORED ADR	B	25,020.19	13.60	10.00	3.60 70.0 -0.48
01/26/2018	QGV002959	PERSHING LLC		TEXAS INSTRUMENTS INCORPORATED	S	-662,629.50	241.00	10.00	231.00 70.0 158.70
01/26/2018	QGV002959	PERSHING LLC		TEXAS INSTRUMENTS INCORPORATED	S	-29,144.70	0.00	10.00	-10.00 70.0 -10.00
01/26/2018	QGV002959	PERSHING LLC		VERIZON COMMUNICATIONS	B	21,791.00	16.00	10.00	6.00 70.0 1.20
01/26/2018	QGV002959	PERSHING LLC		WILLIAMS COMPANIES (DEL)	B	38,103.66	0.00	10.00	-10.00 70.0 -10.00
01/26/2018	QGV002959	PERSHING LLC		WILLIAMS COMPANIES (DEL)	B	895,517.66	1,095.20	10.00	1,085.20 70.0 756.64
12/28/2017	QGV006034	BANK OF AMERICA							

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
01/25/2018	QGV006034	BANK OF AMERICA	GIBRALTAR INDS INC COM	B	74,859.80	78.80	10.00	68.80	70.0	45.16
01/25/2018	QGV006034	BANK OF AMERICA	WILLIAMS COMPANIES (DEL)	B	100,309.80	124.00	10.00	114.00	70.0	76.80
01/09/2018	QGW896664	RF LAFFERTY & CO	MY SIZE INC COM	B	1,400.00	0.00	10.00	-10.00	70.0	-10.00
01/09/2018	QGW896664	RF LAFFERTY & CO	MY SIZE INC COM	B	787.50	0.00	10.00	-10.00	70.0	-10.00
01/09/2018	QGW896664	RF LAFFERTY & CO	MY SIZE INC COM	B	5,162.50	0.00	10.00	-10.00	70.0	-10.00
01/09/2018	QGW896664	RF LAFFERTY & CO	MY SIZE INC COM	B	175.00	0.00	10.00	-10.00	70.0	-10.00
01/09/2018	QGW896664	RF LAFFERTY & CO	MY SIZE INC COM	B	1,225.00	0.00	10.00	-10.00	70.0	-10.00
* STOCKS SUBTOTAL *					\$7,999,262.87	\$17,301.37	\$1,880.00	\$15,421.37		\$10,230.97
PRODUCT CATEGORY: OPTIONS										
01/02/2018	75500360	BOYCE, ANN HAGERTY	PUT GWPH 08/17/18 130	S	14,800.00	30.00	10.00	20.00	70.0	11.00
01/04/2018	75500360	BOYCE, ANN HAGERTY	PUT AMZN 07/20/18 1050	S	5,322.00	20.00	10.00	10.00	70.0	4.00
01/04/2018	75500360	BOYCE, ANN HAGERTY	PUT AMZN 06/15/18 980	B	2,460.00	20.00	10.00	10.00	70.0	4.00
01/04/2018	75500360	BOYCE, ANN HAGERTY	PUT SQ 06/15/18 40	S	5,400.00	30.00	10.00	20.00	70.0	11.00
01/05/2018	75500360	BOYCE, ANN HAGERTY	PUT BP 07/20/18 45	S	3,750.00	30.00	10.00	20.00	70.0	11.00
01/05/2018	75500360	BOYCE, ANN HAGERTY	PUT BP 06/15/18 40	B	550.00	20.00	10.00	10.00	70.0	4.00
01/05/2018	75500360	BOYCE, ANN HAGERTY	PUT GWPH 02/16/18 120	B	1,125.00	20.00	10.00	10.00	70.0	4.00
01/05/2018	75500360	BOYCE, ANN HAGERTY	PUT V 03/16/18 110	B	1,370.00	30.00	10.00	20.00	70.0	11.00
01/05/2018	75500360	BOYCE, ANN HAGERTY	PUT V 06/15/18 120	S	7,180.00	30.00	10.00	20.00	70.0	11.00
01/10/2018	75500360	BOYCE, ANN HAGERTY	PUT USCR 08/17/18 80	S	10,000.00	40.00	10.00	30.00	70.0	18.00
01/11/2018	75500360	BOYCE, ANN HAGERTY	PUT INTC 07/20/18 47	S	2,710.00	25.00	10.00	15.00	70.0	7.50
01/16/2018	75500360	BOYCE, ANN HAGERTY	PUT GS 01/18/19 250	S	9,125.00	20.00	10.00	10.00	70.0	4.00
01/17/2018	75500360	BOYCE, ANN HAGERTY	PUT ULTA 06/15/18 240	S	10,500.00	25.00	10.00	15.00	70.0	7.50
01/24/2018	75500360	BOYCE, ANN HAGERTY	PUT AMZN 09/21/18 1000	B	2,794.00	25.00	10.00	15.00	70.0	7.50
01/24/2018	75500360	BOYCE, ANN HAGERTY	PUT AMZN 01/18/19 1150	S	11,142.00	30.00	10.00	20.00	70.0	11.00
01/24/2018	75500360	BOYCE, ANN HAGERTY	PUT GOOG 09/21/18 1020	S	14,022.00	30.00	10.00	20.00	70.0	11.00
01/24/2018	75500360	BOYCE, ANN HAGERTY	PUT GOOG 06/15/18 860	B	2,087.01	30.00	10.00	20.00	70.0	11.00
01/24/2018	75500360	BOYCE, ANN HAGERTY	PUT GS 06/15/18 240	B	2,805.00	30.00	10.00	20.00	70.0	11.00
01/24/2018	75500360	BOYCE, ANN HAGERTY	PUT GS 01/18/19 250	S	8,539.00	30.00	10.00	20.00	70.0	11.00
01/26/2018	75500360	BOYCE, ANN HAGERTY	PUT NVDA 06/15/18 180	B	6,400.50	40.00	10.00	30.00	70.0	18.00
01/26/2018	75500360	BOYCE, ANN HAGERTY	PUT NVDA 09/21/18 220	S	19,719.50	40.00	10.00	30.00	70.0	18.00
01/29/2018	75500360	BOYCE, ANN HAGERTY	PUT UNH 09/21/18 200	B	3,500.00	30.00	10.00	20.00	70.0	11.00
01/29/2018	75500360	BOYCE, ANN HAGERTY	PUT UNH 01/18/19 230	S	13,500.00	40.00	10.00	30.00	70.0	18.00
01/30/2018	75500360	BOYCE, ANN HAGERTY	PUT GOLD 03/16/18 100	B	3,500.00	40.00	10.00	30.00	70.0	18.00
01/30/2018	75500360	BOYCE, ANN HAGERTY	PUT GOLD 09/21/18 105	S	10,400.00	40.00	10.00	30.00	70.0	18.00
12/29/2017	75500361	BOYCE, JOHN C	PUT HL 06/15/18 4	S	8,750.00	100.00	10.00	90.00	70.0	60.00
01/03/2018	75500361	BOYCE, JOHN C	PUT INTC 02/16/18 42	B	890.00	20.00	10.00	10.00	70.0	4.00
01/03/2018	75500361	BOYCE, JOHN C	PUT TXRH 06/15/18 55	S	3,600.00	30.00	10.00	20.00	70.0	11.00
01/03/2018	75500361	BOYCE, JOHN C	PUT TXRH 03/16/18 50	B	450.00	20.00	10.00	10.00	70.0	4.00
01/04/2018	75500361	BOYCE, JOHN C	PUT NVDA 06/15/18 200	S	6,750.00	20.00	10.00	10.00	70.0	4.00

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT				B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS													
PRODUCT CATEGORY: OPTIONS													
01/05/2018	75500361	BOYCE, JOHN C	PUT	OLED	06/15/18	175	S	10,400.00	25.00	10.00	15.00	70.0	7.50
01/10/2018	75500361	BOYCE, JOHN C	PUT	TRQ	01/18/19	4	S	2,760.00	20.00	10.00	10.00	70.0	4.00
01/11/2018	75500361	BOYCE, JOHN C	CALL	VMC	02/16/18	130	S	2,620.00	20.00	10.00	10.00	70.0	4.00
01/11/2018	75500361	BOYCE, JOHN C	PUT	INTC	02/16/18	42	S	1,270.00	20.00	10.00	10.00	70.0	4.00
01/17/2018	75500361	BOYCE, JOHN C	CALL	MRO	07/20/18	20	B	2,900.00	25.00	10.00	15.00	70.0	7.50
01/19/2018	75500361	BOYCE, JOHN C	PUT	FMC	07/20/18	90	S	6,400.00	30.00	10.00	20.00	70.0	11.00
01/22/2018	75500361	BOYCE, JOHN C	PUT	GILD	08/17/18	85	S	8,128.00	30.00	10.00	20.00	70.0	11.00
01/26/2018	75500361	BOYCE, JOHN C	CALL	VMC	02/16/18	130	S	3,725.00	20.00	10.00	10.00	70.0	4.00
01/29/2018	75500361	BOYCE, JOHN C	CALL	VMC	02/16/18	130	B	1,550.00	25.00	10.00	15.00	70.0	7.50
01/23/2018	75500368	PETR, THOMAS	PUT	NVDA	01/18/19	230	S	61,800.00	50.00	10.00	40.00	70.0	25.00
01/23/2018	75500368	PETR, THOMAS	PUT	NVDA	06/15/18	190	B	11,188.00	50.00	10.00	40.00	70.0	25.00
01/02/2018	75500370	HUBARD, MARGARET	PUT	GWPH	08/17/18	130	S	14,800.00	40.00	10.00	30.00	70.0	18.00
01/02/2018	75500370	HUBARD, MARGARET	PUT	MPC	07/20/18	70	S	12,960.00	60.00	10.00	50.00	70.0	32.00
01/05/2018	75500370	HUBARD, MARGARET	PUT	C	09/21/18	67.50	S	5,480.00	35.00	10.00	25.00	70.0	14.50
01/05/2018	75500370	HUBARD, MARGARET	PUT	C	06/15/18	70	B	2,250.00	35.00	10.00	25.00	70.0	14.50
01/05/2018	75500370	HUBARD, MARGARET	PUT	RIO	01/18/19	55	S	6,550.00	35.00	10.00	25.00	70.0	14.50
01/05/2018	75500370	HUBARD, MARGARET	PUT	RIO	06/15/18	45	B	950.00	30.00	10.00	20.00	70.0	11.00
01/08/2018	75500370	HUBARD, MARGARET	PUT	HSY	01/18/19	115	S	7,314.00	40.00	10.00	30.00	70.0	18.00
01/26/2018	75500370	HUBARD, MARGARET	PUT	NVDA	06/15/18	180	B	6,400.50	40.00	10.00	30.00	70.0	18.00
01/26/2018	75500370	HUBARD, MARGARET	PUT	NVDA	09/21/18	220	S	19,719.50	40.00	10.00	30.00	70.0	18.00
01/02/2018	75500385	HUBARD, JOHN	PUT	APC	09/21/18	55	S	5,400.00	40.00	10.00	30.00	70.0	18.00
01/02/2018	75500385	HUBARD, JOHN	PUT	GWPH	08/17/18	130	S	14,800.00	40.00	10.00	30.00	70.0	18.00
01/05/2018	75500385	HUBARD, JOHN	PUT	APC	02/16/18	50	B	560.00	25.00	10.00	15.00	70.0	7.50
01/05/2018	75500385	HUBARD, JOHN	PUT	HD	06/15/18	160	B	1,536.00	30.00	10.00	20.00	70.0	11.00
01/05/2018	75500385	HUBARD, JOHN	PUT	HD	09/21/18	190	S	12,720.00	40.00	10.00	30.00	70.0	18.00
01/17/2018	75500385	HUBARD, JOHN	PUT	C	09/21/18	67.50	S	10,380.00	50.00	10.00	40.00	70.0	25.00
01/17/2018	75500385	HUBARD, JOHN	PUT	C	06/15/18	70	B	2,400.00	40.00	10.00	30.00	70.0	18.00
01/19/2018	75500385	HUBARD, JOHN	PUT	ABBV	06/15/18	85	B	1,000.00	30.00	10.00	20.00	70.0	11.00
01/19/2018	75500385	HUBARD, JOHN	PUT	ABBV	09/21/18	100	S	6,110.00	40.00	10.00	30.00	70.0	18.00
01/19/2018	75500385	HUBARD, JOHN	PUT	DIS	09/21/18	100	B	1,812.00	30.00	10.00	20.00	70.0	11.00
01/19/2018	75500385	HUBARD, JOHN	PUT	DIS	09/21/18	115	S	9,300.00	40.00	10.00	30.00	70.0	18.00
01/23/2018	75500385	HUBARD, JOHN	PUT	GOOG	09/21/18	1020	S	9,404.00	40.00	10.00	30.00	70.0	18.00
01/23/2018	75500385	HUBARD, JOHN	PUT	GOOG	06/15/18	860	B	1,300.00	40.00	10.00	30.00	70.0	18.00
01/29/2018	75500385	HUBARD, JOHN	PUT	NVDA	01/18/19	210	S	20,300.00	40.00	10.00	30.00	70.0	18.00
01/29/2018	75500385	HUBARD, JOHN	PUT	NVDA	06/15/18	180	B	2,025.00	30.00	10.00	20.00	70.0	11.00
01/08/2018	75500424	JOHN B HUBARD TTEE	PUT	AXP	06/15/18	85	B	910.00	30.00	10.00	20.00	70.0	11.00
01/08/2018	75500424	JOHN B HUBARD TTEE	PUT	AXP	01/18/19	100	S	7,915.00	40.00	10.00	30.00	70.0	18.00
01/08/2018	75500424	JOHN B HUBARD TTEE	PUT	FCX	08/17/18	25	S	11,580.00	60.00	10.00	50.00	70.0	32.00
01/17/2018	75500424	JOHN B HUBARD TTEE	PUT	C	03/16/18	70	B	450.00	30.00	10.00	20.00	70.0	11.00
01/17/2018	75500424	JOHN B HUBARD TTEE	PUT	C	01/18/19	77.50	S	7,200.00	50.00	10.00	40.00	70.0	25.00

COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: OPTIONS										
01/17/2018	75500424	JOHN B HUBARD TTEE	PUT	GOOG 09/21/18 1020	S	18,600.00	50.00	10.00	40.00 70.0 25.00	
01/17/2018	75500424	JOHN B HUBARD TTEE	PUT	GOOG 06/15/18 860	B	5,340.00	50.00	10.00	40.00 70.0 25.00	
01/23/2018	75500424	JOHN B HUBARD TTEE	PUT	SFL 08/17/18 17.50	S	4,720.00	40.00	10.00	30.00 70.0 18.00	
01/23/2018	75500424	JOHN B HUBARD TTEE	PUT	SYI 05/18/18 55	B	380.00	25.00	10.00	15.00 70.0 7.50	
01/23/2018	75500424	JOHN B HUBARD TTEE	PUT	SYI 08/17/18 65	S	8,040.00	50.00	10.00	40.00 70.0 25.00	
01/09/2018	75500443	GOOD, TIMOTHY	PUT	AAPL 09/21/18 160	S	7,290.00	40.00	10.00	30.00 70.0 18.00	
01/09/2018	75500443	GOOD, TIMOTHY	PUT	AMZN 09/21/18 1160	S	30,012.00	40.00	10.00	30.00 70.0 18.00	
01/09/2018	75500443	GOOD, TIMOTHY	PUT	BABA 01/18/19 170	S	6,164.00	40.00	10.00	30.00 70.0 18.00	
01/09/2018	75500443	GOOD, TIMOTHY	PUT	GWPH 08/17/18 125	S	12,500.00	40.00	10.00	30.00 70.0 18.00	
01/09/2018	75500443	GOOD, TIMOTHY	PUT	PXD 01/18/19 190	S	26,200.00	40.00	10.00	30.00 70.0 18.00	
01/09/2018	75500443	GOOD, TIMOTHY	PUT	PXD 06/15/18 155	B	4,340.00	40.00	10.00	30.00 70.0 18.00	
01/19/2018	75500443	GOOD, TIMOTHY	PUT	AAPL 01/18/19 170	S	12,400.00	40.00	10.00	30.00 70.0 18.00	
01/19/2018	75500443	GOOD, TIMOTHY	PUT	AAPL 06/15/18 150	B	2,000.00	40.00	10.00	30.00 70.0 18.00	
01/19/2018	75500443	GOOD, TIMOTHY	PUT	AMZN 01/18/19 1200	S	41,350.00	40.00	10.00	30.00 70.0 18.00	
01/19/2018	75500443	GOOD, TIMOTHY	PUT	SLCA 06/15/18 40	S	6,240.00	40.00	10.00	30.00 70.0 18.00	
01/25/2018	75500443	GOOD, TIMOTHY	PUT	BABA 01/18/19 180	S	16,350.00	40.00	10.00	30.00 70.0 18.00	
01/25/2018	75500443	GOOD, TIMOTHY	PUT	BABA 06/15/18 145	B	3,586.00	40.00	10.00	30.00 70.0 18.00	
01/25/2018	75500443	GOOD, TIMOTHY	PUT	C 06/15/18 72.50	B	3,200.00	50.00	10.00	40.00 70.0 25.00	
01/25/2018	75500443	GOOD, TIMOTHY	PUT	C 12/30/16 60	S	10,228.00	50.00	10.00	40.00 70.0 25.00	
01/25/2018	75500443	GOOD, TIMOTHY	PUT	ILMN 09/21/18 220	S	13,600.00	50.00	10.00	40.00 70.0 25.00	
01/25/2018	75500443	GOOD, TIMOTHY	PUT	ILMN 06/15/18 200	B	2,050.00	40.00	10.00	30.00 70.0 18.00	
01/25/2018	75500443	GOOD, TIMOTHY	PUT	MLM 04/20/18 200	B	2,860.00	50.00	10.00	40.00 70.0 25.00	
01/25/2018	75500443	GOOD, TIMOTHY	PUT	MLM 07/20/18 220	S	11,900.00	50.00	10.00	40.00 70.0 25.00	
01/25/2018	75500443	GOOD, TIMOTHY	PUT	USCR 08/17/18 80	S	7,300.00	50.00	10.00	40.00 70.0 25.00	
01/09/2018	75501338	BOYCE, ROGER F	PUT	AMZN 09/21/18 1000	S	4,109.00	15.00	10.00	5.00 70.0 0.50	
01/09/2018	75501338	BOYCE, ROGER F	PUT	AMZN 06/15/18 940	B	610.00	15.00	10.00	5.00 70.0 0.50	
01/09/2018	75501338	BOYCE, ROGER F	PUT	NVDA 01/18/19 190	S	3,794.00	20.00	10.00	10.00 70.0 4.00	
01/09/2018	75501338	BOYCE, ROGER F	PUT	NVDA 06/15/18 180	B	632.00	15.00	10.00	5.00 70.0 0.50	
01/09/2018	75501338	BOYCE, ROGER F	PUT	NVDA 06/15/18 200	B	1,236.00	15.00	10.00	5.00 70.0 0.50	
* OPTIONS SUBTOTAL *						\$776,439.01	\$3,485.00	\$990.00	\$2,495.00	\$1,449.50
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
01/10/2018	75500378	CLOUGH, CHARLES EVANS	THE INVESTMENT COMPANY OF AMERICA-A	B		0.00	52.28	0.00	52.28 70.0 36.60	
01/10/2018	75500389	STEM, RICHARD	COLUMBIA FDS SER TR II MASS SELIGMA	B		0.00	4.08	0.00	4.08 70.0 2.86	
01/10/2018	75500389	STEM, RICHARD	COLUMBIA SELIGMAN COMMUNICATIONS AN	B		0.00	8.49	0.00	8.49 70.0 5.94	
01/10/2018	75500394	SKELTON, NANCY	CALAMOS GROWTH FUND CLASS A	B		0.00	22.93	0.00	22.93 70.0 16.05	
01/10/2018	75500394	SKELTON, NANCY	NEW WORLD FUND-A	B		0.00	5.32	0.00	5.32 70.0 3.72	
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$93.10	\$0.00	\$93.10	\$65.17
*** BROKER TRANSACTIONS TOTAL ***						\$8,775,701.88	\$20,879.47	\$2,870.00	\$18,009.47	\$11,745.64



COMMISSION STATEMENT for JOHN BOYCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

01/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
01/31/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-28.00

BROKER COMMISSION TOTALS

Broker Transactions	\$11,745.64
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-28.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-26.10
SIPC Assessment	\$-52.20

Check Amount	\$11,639.34
Year-to-date Earnings	\$11,639.34