DOMINION INVESTOR SERVICES, INC.

All Companies Page No. 1

COMMISSION STATEMENT July 15, 2018

RANDY SMITH-D061

BROKER #: D061
BRANCH: DMA

, TX

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		DIRECT TR	ANS	ACTIONS					
PRODUCT CATEGORY: MUTUAL FUNDS									
06/18/2018 (None) 0030282465	MF	SCHOLARS CHOICE	В	50.00	1.50	0.00	1.50	50.0	0.75
06/20/2018 (None) GARTIN, ROBERT	MF	OPPENHEIMER	В	100.00	3.00	0.00	3.00	50.0	1.50
06/20/2018 (None) GARTIN, ROBERT	MF	OPPENHEIMER	В	100.00	3.00	0.00	3.00	50.0	1.50
06/25/2018 000005870019840 CAROL	MF	TEMP GLOBAL SM CO FND - CL A	В	30.00	1.50	0.00	1.50	50.0	0.75
07/06/2018 00058011489 FOSTER, EMILIE	MF	CAPITAL WORLD GROWTH AND INCOME	В	4,804.28	96.09	0.00	96.09	50.0	48.05
07/03/2018 00068681036 MURPHY, DEBORAH	MF	THE NEW ECONOMY FUND-A	В	75.00	3.19	0.00	3.19	50.0	1.60
07/03/2018 00068681036 MURPHY, DEBORAH	MF	WASHINGTON MUTUAL INVESTORS	В	75.00	3.19	0.00	3.19	50.0	1.60
06/25/2018 00083359485 SCHLESSIGER,	MF	AMERICAN BALANCED FUND-A	В	50.00	2.50	0.00	2.50	50.0	1.25
07/05/2018 00084512960 GIBBS, KENDRA	MF	CAPITAL WORLD GROWTH AND INCOME	В	170.00	6.38	0.00	6.38	50.0	3.19
07/05/2018 00084512960 GIBBS, KENDRA	MF	NEW PERSPECTIVE FUND-A	В	165.00	6.19	0.00	6.19	50.0	3.10
07/05/2018 00084512960 GIBBS, KENDRA	MF	THE GROWTH FUND OF AMERICA-A	В	165.00	6.19	0.00	6.19	50.0	3.10
06/29/2018 00086507215 TRAPP, ANDREA	MF	AMERICAN BALANCED FUND-A	В	100.00	3.75	0.00	3.75	50.0	1.88
06/25/2018 00087364129 DORER, SONIA	MF	AMERICAN BALANCED FUND-A	В	250.00	6.88	0.00	6.88	50.0	3.44
06/25/2018 00087364129 DORER, SONIA	MF	NEW PERSPECTIVE FUND-A	В	249.80	6.87	0.00	6.87	50.0	3.44
06/25/2018 00087369989 DORER, RICHARD	MF	SMALLCAP WORLD FUND-A	В	500.00	13.75	0.00	13.75	50.0	6.88
06/27/2018 04000163744 GARZA, ALEXANDER	MF	AMERICAN BALANCED FUND-A	В	100.00	5.00	0.00	5.00	50.0	2.50
06/27/2018 04000163744 GARZA, ALEXANDER	MF	FUNDAMENTAL INVESTORS-A	В	50.00	2.50	0.00	2.50	50.0	1.25
06/27/2018 04000163744 GARZA, ALEXANDER	MF	NEW PERSPECTIVE FUND-A	В	50.00	2.50	0.00	2.50	50.0	1.25
		* MUTUAL FUNDS SUBTOTAL	*	\$7,084.08	\$173.98	\$0.00	\$173.98		\$87.03
PRODUCT CATEGORY: VARIABLE ANNUITIE	s								
06/30/2018 009062915A BROWN, SARAH	VA	PERSPECTIVE	В	124,461.25	25.93	0.00	25.93	50.0	12.97
06/30/2018 1009661472 BAHAN, MARGARET	VA	PERSPECTIVE II	В	126,788.26	79.24	0.00	79.24	50.0	39.62
06/30/2018 1009737665 BARICKMAN,	VA	PERSPECTIVE II	В	108,928.55	68.08	0.00	68.08	50.0	34.04
06/30/2018 1013950608 BURNETT, PATRICIA	VA	PERSP II 09/12	В	1,171,913.50	976.59	0.00	976.59	50.0	488.30
06/30/2018 1015646752 DORER, VALORIE	VA	PERSP II 04/14	В	67,796.51	56.50	0.00	56.50	50.0	28.25
06/30/2018 1015845666 HOGAN, REBECCA	VA	PERSP II 04/14	В	60,541.90	50.45	0.00	50.45	50.0	25.23
06/30/2018 1016104708 SCHROEDER, RICHARD	VA	PERSP II 04/14	В	249,718.35	208.10	0.00	208.10	50.0	104.05
		* VARIABLE ANNUITIES SUBTOTAL	*	\$1,910,148.32	\$1,464.89	\$0.00	\$1,464.89		\$732.46

07/24/2018 Page No. 2

TRADE DATE CLIENT	INVESTMENT B,	/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS							
06/30/2018 (None) VARIOUS, RANDY SMITH	MFT OPPENHEIMER	В	0.00	395.67	0.00	395.67	50.0	197.84
06/30/2018 (None) VARIOUS, RANDY SMITH	MFT SCHOLAR'S EDGE	В	0.00	9.25	0.00	9.25	50.0	4.63
07/01/2018 00005627047 SHEPHERD, GARY	MFT AIM ENERGY FUND - CLASS A	В	0.00	9.68	0.00	9.68	50.0	4.84
07/01/2018 00006047615 JOHNSON, DIANNE	MFT INVESCO COMSTOCK FUND - CLASS A H	В	0.00	7.91	0.00	7.91	50.0	3.96
07/01/2018 00006047615 JOHNSON, DIANNE	MFT INVESCO EQUITY AND INCOME FUND	В	0.00	29.38	0.00	29.38	50.0	14.69
07/03/2018 00099707981 GILLHAM TOD,	MFT TRANSAMERICA MULTI-MANAGED	В	0.00	10.98	0.00	10.98	50.0	5.49
07/03/2018 00099707981 GILLHAM TOD,	MFT TRANSAMERICA-IDEX 893961813	В	0.00	11.44	0.00	11.44	50.0	5.72
07/03/2018 00099707981 GILLHAM TOD,	MFT TRANSAMERICA-IDEX 893962415	В	0.00	17.11	0.00	17.11	50.0	8.56
07/03/2018 00099804447 GRAVES TOD,	MFT TRANSAMERICA-IDEX 893962415	В	0.00	0.44	0.00	0.44	50.0	0.22
07/01/2018 00670514319 MARTINEZ, MANUE	L MFT AIM DIVERSIFIED DIVIDEND FUND - H	В	0.00	10.84	0.00	10.84	50.0	5.42
07/01/2018 00671304303 SANCHEZ, ADRIAN	A MFT INVESCO AMERICAN FRANCHISE FUND H	В	0.00	72.81	0.00	72.81	50.0	36.41
07/01/2018 00672055601 DOROTHY A LAWLE	R, MFT AIM REAL ESTATE FUND - CLASS A	В	0.00	2.53	0.00	2.53	50.0	1.27
07/03/2018 00961633589 MARTINEZ, MANUE	MFT PIONEER CLASSIC BALANCED FUND A H	В	0.00	33.55	0.00	33.55	50.0	16.78
07/03/2018 00963434381 HANSON, SARAH	MFT PIONEER VALUE FUND A	В	0.00	0.95	0.00	0.95	50.0	0.48
07/01/2018 03909106654 COLLAS, PAUL	MFT AIM DIVERSIFIED DIVIDEND FUND - H	В	0.00	14.48	0.00	14.48	50.0	7.24
07/01/2018 03909106654 COLLAS, PAUL	MFT INVESCO AMERICAN FRANCHISE FUND H	В	0.00	20.77	0.00	20.77	50.0	10.39
07/01/2018 04025619125 TAYLOR UGMA/TX,	MFT AIM INTERNATIONAL GROWTH FUND - H	В	0.00	2.83	0.00	2.83	50.0	1.42
07/01/2018 04025619125 TAYLOR UGMA/TX,	MFT INVESCO AMERICAN FRANCHISE FUND H	В	0.00	3.91	0.00	3.91	50.0	1.96
07/03/2018 04030010295 MARTINEZ, MANUE	MFT PIONEER CLASSIC BALANCED FUND A H	В	0.00	17.20	0.00	17.20	50.0	8.60
07/01/2018 05002779055 SHEPHERD, KATIE	MFT AIM ENERGY FUND - CLASS A	В	0.00	1.51	0.00	1.51	50.0	0.76
07/01/2018 05024959701 COLLAS, PAUL	MFT AIM DIVERSIFIED DIVIDEND FUND - H	В	0.00	8.72	0.00	8.72	50.0	4.36
07/03/2018 06500021438 GILLHAM (BENE),	MFT TRANSAMERICA HIGH YIELD BOND-A	В	0.00	13.78	0.00	13.78	50.0	6.89
07/03/2018 06500021438 GILLHAM (BENE),	MFT TRANSAMERICA-IDEX 893962415	В	0.00	15.45	0.00	15.45	50.0	7.73
07/03/2018 06500027143 CRAWFORD, CARLA	MFT TRANSAMERICA ASSET ALLC-GROWTH	В	0.00	0.33	0.00	0.33	50.0	0.17
07/03/2018 06500159364 GILLHAM TOD,	MFT TRANSAMERICA-IDEX 893962415	В	0.00	18.55	0.00	18.55	50.0	9.28
07/03/2018 06500159365 GILLHAM (BENE),	MFT TRANSAMERICA-IDEX 893962415	В	0.00	31.12	0.00	31.12	50.0	15.56
07/01/2018 06910071921 JOHNSON, DIANNE	MFT INVESCO COMSTOCK FUND - CLASS A H	В	0.00	0.62	0.00	0.62	50.0	0.31
07/01/2018 06910071921 JOHNSON, DIANNE	MFT INVESCO EQUITY AND INCOME FUND	В	0.00	3.48	0.00	3.48	50.0	1.74
07/01/2018 08000434478 JOHNSON, DIANNE	MFT INVESCO COMSTOCK FUND - CLASS A H	В	0.00	5.03	0.00	5.03	50.0	2.52
07/01/2018 08000434478 JOHNSON, DIANNE	MFT INVESCO EQUITY AND INCOME FUND	В	0.00	19.46	0.00	19.46	50.0	9.73
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	_	\$0.00	\$789.78	\$0.00	\$789.78		\$394.97
PRODUCT CATEGORY: VARIABLE ANNUITY	12B1 TRAILS							
06/30/2018 (None) VARIOUS, RANDALL SMIT	TH VAT HARTFORD LIFE	В	0.00	287.06	0.00	287.06	50.0	143.53
06/30/2018 (None) VARIOUS, RANDY SMITH	VAT LINCOLN NATL LIFE	В	0.00	414.64	0.00	414.64	50.0	207.32
06/30/2018 (None) VARIOUS, RANDALL SMI		В	0.00	2.75	0.00		50.0	1.38
06/30/2018 (None) MATHIS, MAGARET		В	0.00	88.40	0.00	88.40	50.0	44.20
06/30/2018 (None) WHITE, MARGARET		В	0.00	38.25	0.00	38.25		19.13
07/02/2018 (None) MEYER		В	0.00	777.57	0.00	777.57		388.79
*	VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	_	\$0.00	\$1,608.67	\$0.00	\$1,608.67		\$804.35
	*** DIRECT TRANSACTIONS TOTAL ***	\$	31,917,232.40	\$4,037.32	\$0.00	\$4,037.32		\$2,018.81

COMMISSION STATEMENT for RANDY SMITH-D061

**************************************	TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
## SPACER: RIX/RANDY SMITH/SMITH-D081 #80 07/06/2018 00073969963 SMITH, MOMADD # NEW PRESENCTIVE FUND—A # 4,83.81 48.24 50.00 48.24 224.1 ***********************************		SPI.T'	T TRANSA	CTTONS					
## NEW MINISTER FUND. A B 4,823.81 \$48.24 \$0.00 \$49.24 \$23.41 \$24.10 \$24	DDOVED - DIV/DANDY CMIMI /CMIMI D								
### SPLIT TRANSACTIONS SAME			D	4 823 81	48 24	0 00	48 24		24 12
SPILIT TRANSACTIONS S48.24 \$0.00 \$48.24 \$24.13	0770072010 00073909903 SMITH, KONALL								
**************************************		* RIX/RANDY SMITH/SMITH-D081 SUB	TOTAL *	\$4,823.81	\$48.24	\$0.00	\$48.24		\$24.12
BOKKER: RANDY SMITH-D601		*** SPLIT TRANSACTIONS TO	TAL ***	\$4,823.81	\$48.24	\$0.00	\$48.24		\$24.12
### PR MID CAP GROWTH FUND—C ### MF MFS MERGEING GROWTH FUND—C ### MF MFS MID CAP GROWTH FUND—C ### MF MF MFS MID CAP		LINKED I	DIRE TRA	NSACTIONS					
07/01/2018 00060644399 NOLTING, EVELYN MFT MFS EMERGING GROWTH FUND CLASS B 0.00 14.85 0.00 14.85 2.9 07/01/2018 00060644300 NARNIE, BAREARA MFT MFS EMERGING GROWTH FUND CLASS B 0.00 14.85 0.00 14.85 2.9 07/01/2018 00060644355 FINN, CONNOR MFT MFS EMERGING GROWTH FUND CLASS B 0.00 0.74 0.00 0.74 0.00 0.74 0.10 0.70 0.70 0.70 0.70 0.70 0.70 0.70	BROKER: RANDY SMITH-D601 #66			_					
07/01/2018 00060644399 NOLTING, EVELYN MFT MFS EMERGING GROWTH FUND CLASS B 0.00 14.85 0.00 14.85 2.9 07/01/2018 00060644300 NARNIE, BAREARA MFT MFS EMERGING GROWTH FUND CLASS B 0.00 14.85 0.00 14.85 2.9 07/01/2018 00060644355 FINN, CONNOR MFT MFS EMERGING GROWTH FUND CLASS B 0.00 0.74 0.00 0.74 0.00 0.74 0.10 0.70 0.70 0.70 0.70 0.70 0.70 0.70		R MF MFS MID CAP GROWTH FUND-C	В	50.00	0.50	0.00	0.50		0.10
07/01/2018 00060644347 FINN, CONNOR MET MES EMERGING GROWTH FUND CLASS B 0.00 0.74 0.00 0.74 0.00 0.74 0.00 0.77 0.70 0.70									0.96
07/01/2018 00060644355 FINN, CONNOR MFT MFS EMERGING GROWTH FUND CLASS B 0.00 0.74 0.00 0.74 0.70 0.70 0.70 0.70									2.97
07/01/2018 00060644365 JANICE K BARDEN MFT MFS EMERGING GROWTH FUND CLASS B 0.00 3.43 0.00 3.43 0.00 3.43 0.60 07/01/2018 000606654366 CALIVA, CAROLINE MFT MFS EMERGING GROWTH FUND CLASS B 0.00 3.43 0.00 3.43 0.00 3.43 0.60 07/01/2018 00060655688 NOLTING, EVELYN MFT MFS UTILITIES FUND-A B 0.00 3.20 0.00 3.20 0.00 0.70 07/01/2018 00060655689 WARNIE, BARBARA MFT MFS UTILITIES FUND-A B 0.00 6.41 0.00 6.41 0.00 6.41 1.20 07/01/2018 00060656370 ELGA, SUZANNE MFT MFS INTERNATIONAL NEW DISCOVERY B 0.00 0.934 0.00 9.34 1.88 07/01/2018 00060656370 CCKERHAM, CAROL MFT MFS INTERNATIONAL NEW DISCOVERY B 0.00 0.95 0.00 0.95 0.00 0.95 0.70 07/01/2018 00060656370 CCKERHAM, CAROL MFT MFS INTERNATIONAL NEW DISCOVERY B 0.00 2.95.9 0.00 2.95.9 0.70 0.70 0.70 0.70 0.70 0.70 0.70 0.	07/01/2018 00060644347 FINN, JOHN	MFT MFS EMERGING GROWTH FUND (CLASS B	0.00	34.38	0.00	34.38		6.88
07/01/2018 00060654366 CALIVA, CAROLINE MFT MFS EMERGING GROWTH FUND CLASS B 0.00 3.43 0.00 3.43 0.00 0.19 0.00 0.19 0.00 0.19 0.00 0.19 0.00 0.19 0.00 0.00	07/01/2018 00060644355 FINN, CONNOR	MFT MFS EMERGING GROWTH FUND (CLASS B	0.00	0.74	0.00	0.74		0.15
07/01/2018 00060655204 CORBETT LIVING MFT MFS UTILITIES FUND-A B 0.00 0.19 0.00 0.19 0.00 07/01/2018 00060655688 NOLITING, EVELYN MFT MFS UTILITIES FUND-A B 0.00 3.20 0.00 3.20 0.60 0.70/01/2018 00060655689 WARNIE, BARBARA MFT MFS UTILITIES FUND-A B 0.00 6.41 0.00 6.41 0.00 0.641 0.70/01/2018 00060656381 SASSEN, JOIN MFT MFS INTERNATIONAL NEW DISCOVERY B 0.00 0.95 0.00 9.34 0.00 9.34 0.70/01/2018 00060656387 DELSA, SUZANNE MFT MFS INTERNATIONAL NEW DISCOVERY B 0.00 0.95 0.00 0.95 0.00 0.95 0.00 0.95 0.70/01/2018 0006065532 COCKERHAN, CAROL MFT MFS INTERNATIONAL NEW DISCOVERY B 0.00 0.95 0.00 0.95 0.00 0.95 0.70/01/2018 0006065532 COCKERHAN, CAROL MFT MFS INTERNATIONAL NEW DISCOVERY B 0.00 0.95 0.00 0.95 0.00 0.95 0.70/01/2018 0006065532 COCKERHAN, CAROL MFT MFS INTERNATIONAL NEW DISCOVERY B 0.00 0.95 0.00 0.95 0.00 0.95 0.70/01/2018 00060657469 WARNIE, BARBARA MFT MFS INTERNATIONAL NEW DISCOVERY B 0.00 0.95 0.00 0.95 0.00 0.95 0.70/01/2018 00060657469 WARNIE, BARBARA MFT MFS MID CAD GROWTH FUND-A B 0.00 0.01 0.00 0.00 0.00 0.00 0.00 0.	07/01/2018 00060644365 JANICE K BARD	EN MFT MFS EMERGING GROWTH FUND (CLASS B	0.00	22.52	0.00	22.52		4.50
07/01/2018 00060655688 NOLTING, EVELYN MFT MFS UTILITIES FUND-A B 0.00 3.20 0.00 3.20 0.6 6.4 1.0 0.0 0.7 01/2018 00060655689 WARNIE, BARBARA MFT MFS UTILITIES FUND-A B 0.00 6.41 0.00 6.41 1.2 0.00 0.00 0.00 0.00 0.00 0.00 0.00	07/01/2018 00060644366 CALIVA, CAROL	INE MFT MFS EMERGING GROWTH FUND (CLASS B	0.00	3.43	0.00	3.43		0.69
07/01/2018 00060655689 WARNIE, BARBARA MFT MFS UTILITIES FUND-A B 0.00 6.41 0.00 6.41 1.2 07/01/2018 00060656331 SASSEN, JOHN MFT MFS INTERNATIONAL NEW DISCOVERY B 0.00 9.34 0.00 9.34 1.8 0.7 07/01/2018 00060656387 DELSA, SUZANNE MFT MFS INTERNATIONAL NEW DISCOVERY B 0.00 0.95 0.00 0.95 0.01 0.00 0.7 07/01/2018 00060656320 COCKERHAM, CARCL MFT MFS INTERNATIONAL NEW DISCOVERY B 0.00 2.10 0.00 2.10 0.00 0.4 07/01/2018 000606565420 COCKERHAM, CARCL MFT MFS INTERNATIONAL NEW DISCOVERY B 0.00 2.10 0.00 2.9.59 0.00 0.9.5 0.00 0.00 0.00 0.00 0.0	07/01/2018 00060655204 CORBETT LIVIN	G MFT MFS UTILITIES FUND-A	В	0.00	0.19	0.00	0.19		0.04
07/01/2018 00060656331 SASSEN, JOHN MFT MFS INTERNATIONAL NEW DISCOVERY B 0.00 9.34 0.00 9.34 0.00 9.34 0.70 0.70 0.70 0.70 0.70 0.70 0.70 0.7	07/01/2018 00060655688 NOLTING, EVEL	YN MFT MFS UTILITIES FUND-A	В	0.00	3.20	0.00	3.20		0.64
07/01/2018 00060656387 DELSA, SUZANNE MFT MFS INTERNATIONAL NEW DISCOVERY B 0.00 0.95 0.00 0.95 0.10 07/01/2018 00060656420 COCKERHAM, CAROL MFT MFS INTERNATIONAL NEW DISCOVERY B 0.00 2.10 0.00 2.10 0.4 0.7 0.7 01/2018 00060657469 WARNIE, BARBARA MFT MFS MID CAP GROWTH FUND-A B 0.00 14.00 0.00 14.00 1.00 14.00 0.00 0	07/01/2018 00060655689 WARNIE, BARBA	.RA MFT MFS UTILITIES FUND-A	В	0.00	6.41	0.00	6.41		1.28
07/01/2018 00060656387 DELSA, SUZANNE MFT MFS INTERNATIONAL NEW DISCOVERY B 0.00 0.95 0.00 0.95 0.10 07/01/2018 00060656420 COCKERHAM, CAROL MFT MFS INTERNATIONAL NEW DISCOVERY B 0.00 2.10 0.00 2.10 0.4 0.7 0.7 01/2018 00060657469 WARNIE, BARBARA MFT MFS MID CAP GROWTH FUND-A B 0.00 14.00 0.00 14.00 1.00 14.00 0.00 0			COVERY B	0.00					1.87
07/01/2018 00060656532 COCKERHAM, CAROL MFT MFS INTERNATIONAL NEW DISCOVERY B 0.00 29.59 0.00 29.59 0.00 29.59 0.70 07/01/2018 00060657469 WARNIE, BARBARA MFT MFS MID CAP GROWTH FUND-A B 0.00 21.54 0.00 21.54 0.00 21.54 0.70 07/01/2018 00060657481 JANICE K BARDEN MFT MFS MID CAP GROWTH FUND-A B 0.00 21.54 0.00 21.54 0.00 21.54 0.70 07/01/2018 00060657855 KNIGHT, WILLIAM MFT MFS INTERNATIONAL GROWTH FUND-A B 0.00 11.03 0.00 10.03 0.00 10.03 0.00 10.03 0.00 07/01/2018 00060661917 WARNIE, BARBARA MFT MFS TECHNOLOGY FUND-A B 0.00 17.51 0.00 17.51 0.00 17.51 0.00 07/01/2018 00060662730 DELSA, SUZANNE MFT MFS MID CAP VALUE FUND-A B 0.00 17.79 0.00 17.79 0.00 17.79 0.00 07/01/2018 00060662737 NOLTING, EVELYN MFT MFS MID CAP VALUE FUND-A B 0.00 21.31 0.00 21.31 0.00 07/01/2018 00060662746 JANICE K BARDEN MFT MFS MID CAP VALUE FUND-A B 0.00 21.31 0.00 21.31 0.00 21.31 0.00 07/01/2018 00060662747 CALIVA, CAROLINE MFT MFS MID CAP VALUE FUND-A B 0.00 19.97 0.00 19.97 0.00 19.97 0.70 07/01/2018 00060662747 FUND, CARDINA MFT MFS MID CAP VALUE FUND-A B 0.00 19.97 0.00 19.97 0.00 19.97 0.00 07/01/2018 0009001299 GULF STATES MFT MFS INTERNATIONAL GROWTH FUND-C B 0.00 123.40 0.00 123.40 0.00 0.00 0.00 0.00 0.00 0.00 0.00	07/01/2018 00060656387 DELSA, SUZANN	E MFT MFS INTERNATIONAL NEW DISC	COVERY B	0.00	0.95	0.00	0.95		0.19
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07/01/2018 08189050828 SASSEN, JOHN MFT MFS INTERNATIONAL NEW DISCOVERY B 0.00 11.91 0.00 11.91 2.3	•								5.02
									0.05

07/24/2018 Page No. 4

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
07/01/2018 08189251981 WARNIE, BARBARA	MFT MFS TECHNOLOGY FUND-C	В	0.00	20.93	0.00	20.93		4.19
07/01/2018 08189384163 DELSA, SUZANNE	MFT MFS INTERNATIONAL NEW DISCOVERY	В	0.00	1.21	0.00	1.21		0.24
	MFT MFS INTERNATIONAL NEW DISCOVERY		0.00	0.76	0.00	0.76		0.15
07/01/2018 08189401119 JAMII BARAKA ST	MFT MFS INTERNATIONAL NEW DISCOVERY	В	0.00	4.87	0.00	4.87		0.97
07/01/2018 08189401119 JAMII BARAKA ST	MFT MFS MID CAP VALUE FUND-A	В	0.00	3.17	0.00	3.17		0.63
07/01/2018 08189401123 ST JULIEN,	MFT MFS INTERNATIONAL NEW DISCOVERY	В	0.00	8.48	0.00	8.48		1.70
07/01/2018 08189401123 ST JULIEN,	MFT MFS MID CAP VALUE FUND-A	В	0.00	13.87	0.00	13.87		2.77
07/01/2018 08189402020 TAZAMA UPENDO ST.	MFT MFS INTERNATIONAL VALUE FUND-A	В	0.00	3.05	0.00	3.05		0.61
07/01/2018 08189516581 COCKERHAM, CAROL	MFT MFS INTERNATIONAL NEW DISCOVERY	В	0.00	2.68	0.00	2.68		0.54
07/01/2018 08190039961 COCKERHAM, CAROL	MFT MFS INTERNATIONAL NEW DISCOVERY	В	0.00	37.75	0.00	37.75		7.55
07/01/2018 08190095532 SASSEN, JOHN	MFT MFS CORE EQUITY FUND-C	В	0.00	38.71	0.00	38.71		7.74
07/01/2018 08190123620 CARLOS BOGRAN III	MFT MFS UTILITIES FUND-C	В	0.00	14.47	0.00	14.47		2.89
07/01/2018 08190128650 JOHN J FINN JR	MFT MFS MID CAP GROWTH FUND-C	В	0.00	19.90	0.00	19.90		3.98
07/01/2018 08190129109 FBO JULIETTE G	MFT MFS DIVERSIFIED INCOME FUND-C	В	0.00	5.67	0.00	5.67		1.13
07/01/2018 08190129109 FBO JULIETTE G	MFT MFS GROWTH FUND-C	В	0.00	20.70	0.00	20.70		4.14
07/01/2018 08190129110 PEYTON O LEBLANC,	MFT MFS GROWTH FUND-C	В	0.00	24.48	0.00	24.48		4.90
07/01/2018 08190129112 SARA E CANCIENNE,	MFT MFS GROWTH FUND-C	В	0.00	17.33	0.00	17.33		3.47
07/01/2018 08190129112 SARA E CANCIENNE,		В	0.00	5.60	0.00	5.60		1.12
	MFT MFS GROWTH FUND-C	В	0.00	16.29	0.00	16.29		3.26
07/01/2018 08190129113 LILLY M SUTTON	MFT MFS MID CAP VALUE FUND-C	В	0.00	5.60	0.00	5.60		1.12
07/01/2018 08190130171 PROPERTY,	MFT MFS GROWTH FUND-C	В	0.00	43.91	0.00	43.91		8.78
07/01/2018 08190130171 PROPERTY,	MFT MFS MID CAP GROWTH FUND-C	В	0.00	41.35	0.00	41.35		8.27
07/01/2018 08191017415 COCKERHAM, CAROL	MFT MFS MID CAP GROWTH FUND-A	В	0.00	61.08	0.00	61.08		12.22
07/01/2018 08191029111 PRESLIE G LEBLANC	MFT MFS GROWTH FUND-C	В	0.00	20.94	0.00	20.94		4.19
07/01/2018 08191043389 CARLOS BOGRAN III	MFT MFS MID CAP VALUE FUND-A	В	0.00	6.79	0.00	6.79		1.36
07/01/2018 08191043390 CARLOS BOGRAN III	MFT MFS TECHNOLOGY FUND-A	В	0.00	9.71	0.00	9.71		1.94
07/01/2018 08191059944 FINN, JOHN	MFT MFS DIVERSIFIED INCOME FUND-C	В	0.00	25.77	0.00	25.77		5.15
07/01/2018 08191117462 DELSA, SUZANNE	MFT MFS MID CAP VALUE FUND-C	В	0.00	2.23	0.00	2.23		0.45
07/01/2018 08191122694 KNIGHT, WILLIAM	MFT MFS INTERNATIONAL GROWTH FUND-C	В	0.00	12.57	0.00	12.57		2.51
07/01/2018 08191122694 KNIGHT, WILLIAM	MFT MFS MID CAP VALUE FUND-C	В	0.00	50.74	0.00	50.74		10.15
07/01/2018 08191161796 RAINES,	MFT MFS MID CAP VALUE FUND-C	В	0.00	6.54	0.00	6.54		1.31
07/01/2018 08191187109 BEACH, MELISSA	MFT MFS GROWTH FUND-C	В	0.00	36.29	0.00	36.29		7.26
07/01/2018 08191187109 BEACH, MELISSA	MFT MFS MID CAP GROWTH FUND-C	В	0.00	35.12	0.00	35.12		7.02
07/01/2018 08191187109 BEACH, MELISSA	MFT MFS TECHNOLOGY FUND-C	В	0.00	42.12	0.00	42.12		8.42
07/01/2018 08191191313 TICHENOR,	MFT MFS INTERNATIONAL NEW DISCOVERY	В	0.00	10.40	0.00	10.40		2.08
07/01/2018 08191191313 TICHENOR,	MFT MFS MID CAP GROWTH FUND-C	В	0.00	12.62	0.00	12.62		2.52
	MFT MFS MID CAP VALUE FUND-C	В	0.00	12.20	0.00	12.20		2.44
07/01/2018 08191197834 NOLTING, EVELYN	MFT MFS GROWTH FUND-C	В	0.00	5.86	0.00	5.86		1.17
	MFT MFS MID CAP VALUE FUND-C	В	0.00	5.02	0.00	5.02		1.00
	MFT MFS UTILITIES FUND-C	В	0.00	4.01	0.00	4.01		0.80
	MFT MFS GROWTH FUND-C	В	0.00	18.05	0.00	18.05		3.61
	MFT MFS MID CAP GROWTH FUND-C	В	0.00	17.07	0.00	17.07		3.41
	MFT MFS UTILITIES FUND-C	В	0.00	8.04	0.00	8.04		1.61
07/01/2018 08191216107 GEE, WANDA	MFT MFS GROWTH FUND-C	В	0.00	74.77	0.00	74.77		14.95

COMMISSION STATEMENT for RANDY SMITH-D061

		INVESTMENT	GROSS	CLEARING	NET		BROKER
TRADE DATE CLIENT INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
07/01/2018 08191216107 GEE, WANDA MFT MFS MID CAP VALUE	FUND-C B	0.00	61.68	0.00	61.68		12.34
07/01/2018 08191220624 COCKERHAM, CAROL MFT MFS EMERGING GROW	TH FUND CLASS B	0.00	62.94	0.00	62.94		12.59
07/01/2018 08191220625 COCKERHAM, ASHLEY MFT MFS MID CAP GROWTH	H FUND-A B	0.00	8.73	0.00	8.73		1.75
07/01/2018 08191220625 COCKERHAM, ASHLEY MFT MFS MID CAP VALUE	FUND-C B	0.00	29.90	0.00	29.90		5.98
07/01/2018 08191235410 PEREZ, CHRISTINA MFT MFS INTERNATIONAL	NEW DISCOVERY B	0.00	35.12	0.00	35.12		7.02
07/01/2018 08191235410 PEREZ, CHRISTINA MFT MFS MID CAP GROWTH	H FUND-C B	0.00	24.83	0.00	24.83		4.97
07/01/2018 08191235410 PEREZ, CHRISTINA MFT MFS MID CAP VALUE	FUND-C B	0.00	43.19	0.00	43.19		8.64
07/01/2018 08191250375 BARAKA ST JULIEN, MFT MFS GROWTH FUND-C	В	0.00	15.33	0.00	15.33		3.07
07/01/2018 08191250375 BARAKA ST JULIEN, MFT MFS MID CAP VALUE	FUND-C B	0.00	12.23	0.00	12.23		2.45
07/01/2018 08191250377 UPENDO ST JULIEN, MFT MFS GROWTH FUND-C	В	0.00	5.59	0.00	5.59		1.12
07/01/2018 08191259308 TAZAMA UPENDO ST. MFT MFS MID CAP VALUE	FUND-A B	0.00	5.18	0.00	5.18		1.04
07/01/2018 08191265828 LE BLANC, ERIN MFT MFS MID CAP VALUE	FUND-C B	0.00	63.34	0.00	63.34		12.67
07/01/2018 08191270658 BEAU OUTLAW, JOHN MFT MFS GROWTH FUND-C	В	0.00	13.24	0.00	13.24		2.65
07/01/2018 08191310353 MCELEARNEY, MFT MFS MID CAP GROWTH	H FUND-C B	0.00	44.33	0.00	44.33		8.87
07/01/2018 08191310353 MCELEARNEY, MFT MFS MID CAP VALUE	FUND-C B	0.00	38.68	0.00	38.68		7.74
07/01/2018 08191375494 FINN, JOHN MFT MFS GROWTH FUND-C	В	0.00	42.34	0.00	42.34		8.47
07/01/2018 08191395184 FINN, CONNOR MFT MFS GROWTH FUND-C	В	0.00	1.25	0.00	1.25		0.25
07/01/2018 08191398185 CORBETT, JOHN MFT MFS DIVERSIFIED IN	NCOME FUND-C B	0.00	43.93	0.00	43.93		8.79
07/01/2018 08191402994 JANICE K BARDEN MFT MFS GROWTH FUND-C	В	0.00	27.38	0.00	27.38		5.48
07/01/2018 08191402994 JANICE K BARDEN MFT MFS MID CAP GROWTH	H FUND-C B	0.00	26.27	0.00	26.27		5.25
07/01/2018 08191402994 JANICE K BARDEN MFT MFS MID CAP VALUE	FUND-C B	0.00	26.68	0.00	26.68		5.34
07/01/2018 08191403238 CALIVA, CAROLINE MFT MFS GROWTH FUND-C	В	0.00	15.86	0.00	15.86		3.17
07/01/2018 08191403238 CALIVA, CAROLINE MFT MFS MID CAP VALUE	FUND-C B	0.00	5.42	0.00	5.42		1.08
* RANDY SMITH-	D601 SUBTOTAL *	\$50.00	\$2,462.02	\$0.00	\$2,462.02		\$492.42
*** LINKED DIRE TRANSAC	TIONS TOTAL ***	\$50.00	\$2,462.02	\$0.00	\$2,462.02	1	\$492.42

BROKER COMMISSION TOTALS

Broker Transactions	\$2,511.23
Split Transactions	\$24.12
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net	Commissions	\$2,535.35
Year-to-date	Commissions	\$50,259.17