



All Companies

02/14/2018
Page No. 1

COMMISSION STATEMENT February 15, 2018

JUDE RAMON L. BARCENAS
3201 WAREHAM CIRCLE

BROKER #: 9620 Terminated 11/17/2013
BRANCH: HOME OFFICE

Richardson, TX 75082-

COMMISSION STATEMENT for JUDE RAMON L. BARCENAS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/31/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	162.39	162.39	0.00	162.39	60.0	97.43
01/22/2018	0000000000894129 BUSA, JOSEPHINE JANE	FRANKLIN VALUE FD CL II	B	50.00	0.50	0.00	0.50	60.0	0.30
01/22/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	40.00	1.50	0.00	1.50	60.0	0.90
01/22/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS CAPITAL WORLD BD FD B	B	40.00	1.20	0.00	1.20	60.0	0.72
01/22/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS HIGH INCOME TR FD	B	40.00	1.20	0.00	1.20	60.0	0.72
01/22/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS INCOME FD OF AMERI B	B	40.00	1.50	0.00	1.50	60.0	0.90
01/22/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS NEW ECONOMY FD	B	40.00	1.50	0.00	1.50	60.0	0.90
01/22/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	40.00	1.50	0.00	1.50	60.0	0.90
01/22/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS CAPITAL WORLD BD FD B	B	40.00	1.20	0.00	1.20	60.0	0.72
01/22/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS HIGH INCOME TR FD	B	40.00	1.20	0.00	1.20	60.0	0.72
01/22/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS INCOME FD OF AMERI B	B	40.00	1.50	0.00	1.50	60.0	0.90
01/22/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS NEW ECONOMY FD	B	40.00	1.50	0.00	1.50	60.0	0.90
02/02/2018	00086483831 KIM, ANDREW	AMERICAN BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
02/02/2018	00086483831 KIM, ANDREW	AMERICAN FUNDS - GENERAL	B	50.00	1.50	0.00	1.50	60.0	0.90
02/02/2018	00086483831 KIM, ANDREW	AMERICAN MUTUAL FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
02/02/2018	00086483831 KIM, ANDREW	NEW PERSPECTIVE FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
02/02/2018	00086483831 KIM, ANDREW	THE NEW ECONOMY FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
02/02/2018	00086483875 KIM, ANDREW	AMERICAN BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
02/02/2018	00086483875 KIM, ANDREW	AMERICAN FUNDS - GENERAL	B	50.00	1.50	0.00	1.50	60.0	0.90
02/02/2018	00086483875 KIM, ANDREW	AMERICAN MUTUAL FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
02/02/2018	00086483875 KIM, ANDREW	NEW PERSPECTIVE FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
02/02/2018	00086483875 KIM, ANDREW	THE NEW ECONOMY FUND-529A	B	50.00	2.13	0.00	2.13	60.0	1.28
01/22/2018	00089156141 KYSER, DICK/MARGIE	PUTNAM NEW OPPORTUNITIES FD	CL A B	220.00	11.00	0.00	11.00	60.0	6.60
01/16/2018	2203006058 TACUYAN, EVA/ANGELINE	OPPENHEIMER US GOVT TRUST FD	CL A B	100.00	2.00	0.00	2.00	60.0	1.20
01/16/2018	2304545742 TACUYAN, EVA/ANGELINE	OPPENHEIMER STRATEGIC INCOME	FD CL B	100.00	3.75	0.00	3.75	60.0	2.25
* MUTUAL FUNDS SUBTOTAL *				\$1,532.39	\$213.48	\$0.00	\$213.48		\$128.10

COMMISSION STATEMENT for JUDE RAMON L. BARCENAS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITIES

01/26/2018	TRAILS	-HARTFORD LIFE	B	50.26	50.26	0.00	50.26	60.0	30.16
01/24/2018	DEVEZA, MICHAEL NORMAN	-PACIFIC LIFE INSURANCE	B	29.25	0.88	0.00	0.88	60.0	0.53
01/24/2018	DEVEZA, NOEMLINA ONG	-PACIFIC LIFE INSURANCE	B	26.92	0.81	0.00	0.81	60.0	0.49
01/22/2018	GATMAITAN, ANASTACIA H.	LINCOLN BENEFIT LIFE	B	43.97	2.64	0.00	2.64	60.0	1.58
				* VARIABLE ANNUITIES SUBTOTAL *	\$150.40	\$54.59	\$0.00	\$54.59	\$32.76

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/15/2018	TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES		0.00	887.93	0.00	887.93	60.0	532.75
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$887.93	\$0.00	\$887.93	\$532.75
				*** BROKER TRANSACTIONS TOTAL ***	\$1,682.79	\$1,156.00	\$0.00	\$1,156.00	\$693.61

BROKER COMMISSION TOTALS

Broker Transactions	\$693.61
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$693.61
Year-to-date Earnings	\$3,094.28