



COMMISSION STATEMENT

January 08, 2018

ALAN FISHMAN
3 Buckley Place
SUITE 615
Hartsdale, NY 10530

BROKER #: 851
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
01/05/2018	***-**-5103 - VICARI, KEVIN	VOYA INS TRAILS BUY 1 Units @0.0000	0.00	1.45	0.00	1.45	75.0	1.09
		* VARIABLE UNIVERSAL LIFE SUBTOTAL *	\$0.00	\$1.45	\$0.00	\$1.45		\$1.09
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
12/29/2017	***-**-430I - BLUMEN, ROBERT	ALLIANZ 018817155 BUY 0 Units @0.0000	0.00	365.52	0.00	365.52	75.0	274.14
12/29/2017	***-**-430I - BLUMEN, ROBERT	ACCOUNT #: DAX25472 ALLIANZ 018817155 BUY 0 Units @0.0000	0.00	489.70	0.00	489.70	75.0	367.28
12/29/2017	***-**-27CL - FISHMAN, CLIENTS	ACCOUNT #: DAX25507 ALLIANZ TRAILS 018817502 BUY 0 Units @0.0000	0.00	16.84	0.00	16.84	75.0	12.63
12/29/2017	***-**-3921 - BRADY, MICHAEL	ACCOUNT #: DA737088 ALLIANZ TRAILS 018817866 BUY 0 Units @0.0000	0.00	168.54	0.00	168.54	75.0	126.41
12/29/2017	***-**-3921 - BRADY, MICHAEL	ACCOUNT #: DA309184 ALLIANZ TRAILS 018817866 BUY 0 Units @0.0000	0.00	408.61	0.00	408.61	75.0	306.46
12/29/2017	***-**-27CL - FISHMAN, CLIENTS	ACCOUNT #: DA310294 ALLIANZ TRAILS 018817866 BUY 0 Units @0.0000	0.00	89.91	0.00	89.91	75.0	67.43
12/29/2017	***-**-27CL - FISHMAN, CLIENTS	ACCOUNT #: DA929914 ALLIANZ TRAILS 018817866 BUY 0 Units @0.0000	0.00	160.23	0.00	160.23	75.0	120.17
12/29/2017	***-**-27CL - FISHMAN, CLIENTS	ACCOUNT #: DA930883 ALLIANZ TRAILS 018817866 BUY 0 Units @0.0000	0.00	176.91	0.00	176.91	75.0	132.68
12/29/2017	***-**-27CL - FISHMAN, CLIENTS	ACCOUNT #: DA930884 ALLIANZ TRAILS 018817866 BUY 0 Units @0.0000	0.00	756.01	0.00	756.01	75.0	567.01
12/29/2017	***-**-27CL - FISHMAN, CLIENTS	ACCOUNT #: DA937680 ALLIANZ TRAILS 018817866 BUY 0 Units @0.0000	0.00	37.75	0.00	37.75	75.0	28.31
12/29/2017	***-**-27CL - FISHMAN, CLIENTS	ACCOUNT #: DA941620 ALLIANZ TRAILS 018817866 BUY 0 Units @0.0000	0.00	100.65	0.00	100.65	75.0	75.49
12/29/2017	***-**-535P - KOZLOWSKI,	ACCOUNT #: DA370020 ALLIANZ TRAILS 018817882 BUY 0 Units @0.0000	0.00	84.41	0.00	84.41	75.0	63.31
12/29/2017	***-**-27CL - FISHMAN, CLIENTS	ACCOUNT #: DA370747 ALLIANZ TRAILS 018817882 BUY 0 Units @0.0000	0.00	38.22	0.00	38.22	75.0	28.67
12/29/2017	***-**-27CL - FISHMAN, CLIENTS	ACCOUNT #: DA371348 ALLIANZ TRAILS 018817882 BUY 0 Units @0.0000	0.00	45.25	0.00	45.25	75.0	33.94
12/29/2017	***-**-27CL - FISHMAN, CLIENTS	ACCOUNT #: DA371349 ALLIANZ TRAILS 018817882 BUY 0 Units @0.0000	0.00					



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS (continued)								
12/29/2017	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	49.88	0.00	49.88	75.0	37.41
	ACCOUNT #: DA371350	BUY 0 Units @0.0000						
12/29/2017	***-**-195P - BLUMEN, IAN	ALLIANZ TRAILS 018817882	0.00	118.03	0.00	118.03	75.0	88.52
	ACCOUNT #: DA371477	BUY 0 Units @0.0000						
12/29/2017	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	43.11	0.00	43.11	75.0	32.33
	ACCOUNT #: DA371478	BUY 0 Units @0.0000						
12/29/2017	***-**-2171 - LIEPOLD, GERHARD	ALLIANZ TRAILS 018817882	0.00	130.17	0.00	130.17	75.0	97.63
	ACCOUNT #: DA372127	BUY 0 Units @0.0000						
12/29/2017	***-**-27CL - FISHMAN, CLIENTS	ALLIANZ TRAILS 018817882	0.00	33.26	0.00	33.26	75.0	24.95
	ACCOUNT #: DA372611	BUY 0 Units @0.0000						
12/29/2017	***-**-2171 - LIEPOLD, GERHARD	ALLIANZ TRAILS 018817882	0.00	95.35	0.00	95.35	75.0	71.51
	ACCOUNT #: DA372612	BUY 0 Units @0.0000						
01/08/2018	***-**-6538 - KOZLOWSKI, MARIA	QUALIFIED PLAN SUMMARY	0.00	180.11	0.00	180.11	75.0	135.08
	ACCOUNT #: DA370021	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$3,588.46	\$0.00	\$3,588.46		\$2,691.36
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$3,589.91	\$0.00	\$3,589.91		\$2,692.45



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$2,692.45
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$2,692.45
						Year-to-date Earnings		\$2,799.75