



Concorde Investment Services, LLC
COMMISSION STATEMENT
January 31, 2017

01/27/2017
Page No. 1

G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRELLI/
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: G0B
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
^01/13/2017	PAVIS, NANCY	WPC CORP PROP ASSOC 18 GBL INC CL C	42,245.99	106.19	0.00	106.19	100.0	0.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$42,245.99	\$106.19	\$0.00	\$106.19		\$0.00
<u>PRODUCT CATEGORY: REIT</u>								
^01/09/2017	JOHNSON, JAMES	CARTER VALIDUS MC REIT II CLASS A	10,000.00	700.00	0.00	700.00	100.0	0.00
^12/31/2016	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	17.93	0.00	17.93	100.0	0.00
^01/12/2017	JOHNSON, JAMES	COTTONWOOD MULTIFAMILY REIT I-REG A	10,000.00	600.00	0.00	600.00	100.0	0.00
		* REIT SUBTOTAL *	\$20,000.00	\$1,317.93	\$0.00	\$1,317.93		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^01/26/2017	JOHNSON, JAMES	AM FUNDS EUROPACIFIC GROWTH FUND A	-2,500.55	22.00	22.00	0.00	100.0	0.00
^01/26/2017	JOHNSON, JAMES	AM FUNDS FUNDAMENTAL INVESTORS A	-4,807.32	22.00	22.00	0.00	100.0	0.00
^01/26/2017	JOHNSON, JAMES	AM FUNDS GROWTH FUND OF AMERICA A	-9,824.01	22.00	22.00	0.00	100.0	0.00
^01/26/2017	JOHNSON, JAMES	AM FUNDS WASHINGTON MUTUAL INVESTOR	-5,144.82	22.00	22.00	0.00	100.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$-22,276.70	\$88.00	\$88.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
^01/18/2017	RYDER, THOMAS	COLONY NORTHSTAR INC CL A COM	-115,427.28	2,850.00	27.00	2,823.00	100.0	0.00
^01/24/2017	HENNEFORTH, PAUL	COLONY NORTHSTAR INC CL A COM	-3,018.96	25.00	22.50	2.50	100.0	0.00
^01/18/2017	RYDER, THOMAS	NEW YORK REIT INC COM	-28,654.90	500.00	26.35	473.65	100.0	0.00
		* STOCKS SUBTOTAL *	\$-147,101.14	\$3,375.00	\$75.85	\$3,299.15		\$0.00
		*** BROKER TRANSACTIONS TOTAL ***	\$-107,131.85	\$4,887.12	\$163.85	\$4,723.27		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
01/31/2017		*PERSHING: MARK UPS	0.00					0.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.04
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.04
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed