



Concorde Investment Services, LLC

02/14/2017  
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# **COMMISSION STATEMENT** **February 15, 2017**

JAY MCCLELLAN  
8801 N. Main Street  
Ste. 201  
Dayton, OH 45415

BROKER #: M124 Terminated 11/14/2016  
BRANCH: ASSET MANAGEMENT SYS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/17/2017	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	50.00	0.50	0.00	0.50	90.0	0.45
02/03/2017	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	0.00	2.65	0.00	2.65	90.0	2.39
/ /	ZEHRINGER, JAMES	EATON VANCE GREATER CHINA GROWTH A	0.00	2.20	0.00	2.20	90.0	1.98
01/31/2017	TOMA, MARCELLE	FLOATING RATE DLY ACCESS	0.00	8.72	0.00	8.72	90.0	7.85
01/31/2017	CLEMMONS, CHERYL	FLOATING RATE DLY ACCESS	0.00	3.36	0.00	3.36	90.0	3.02
01/31/2017	CLEMMONS, CHERYL	FRANKLIN BALANCE SHEET INVST A	0.00	3.58	0.00	3.58	90.0	3.22
01/31/2017	BOYER, JUDY	FRANKLIN BALANCED A	0.00	4.05	0.00	4.05	90.0	3.65
01/31/2017	CLEMMONS, CHERYL	FRANKLIN BALANCED A	0.00	4.65	0.00	4.65	90.0	4.19
01/31/2017	BOYER, JUDY	FRANKLIN CONSERVATIVE ALLOC A	0.00	24.01	0.00	24.01	90.0	21.61
01/31/2017	WELLES, CLAUDIA L	FRANKLIN CONSERVATIVE ALLOC A	0.00	44.59	0.00	44.59	90.0	40.13
01/31/2017	BOYER, JUDY	FRANKLIN CONV SEC A	0.00	5.58	0.00	5.58	90.0	5.02
01/31/2017	CLEMMONS, CHERYL	FRANKLIN CONV SEC A	0.00	5.29	0.00	5.29	90.0	4.76
01/31/2017	KULBACK, PAUL	FRANKLIN CONV SEC A	0.00	14.51	0.00	14.51	90.0	13.06
01/31/2017	BOYER, JUDY	FRANKLIN DYNATECH A	0.00	8.46	0.00	8.46	90.0	7.61
01/31/2017	CLEMMONS, CHERYL	FRANKLIN DYNATECH A	0.00	5.90	0.00	5.90	90.0	5.31
01/31/2017	WELLES, CLAUDIA L	FRANKLIN DYNATECH A	0.00	9.81	0.00	9.81	90.0	8.83
01/31/2017	CLEMMONS, CHERYL	FRANKLIN EQUITY INCOME A	0.00	5.29	0.00	5.29	90.0	4.76
01/31/2017	KULBACK, PAUL	FRANKLIN EQUITY INCOME A	0.00	6.23	0.00	6.23	90.0	5.61
01/31/2017	BOYER, JUDY	FRANKLIN GLOBAL REAL ESTATE A	0.00	4.03	0.00	4.03	90.0	3.63
01/31/2017	CLEMMONS, CHERYL	FRANKLIN GOLD & PRECIOUS METALS A	0.00	1.36	0.00	1.36	90.0	1.22
01/31/2017	KULBACK, PAUL	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.90	0.00	2.90	90.0	2.61
01/31/2017	BOYER, JUDY	FRANKLIN MUTUAL FINANCIAL SERVICES	0.00	7.14	0.00	7.14	90.0	6.43
01/31/2017	WELLES, CLAUDIA L	FRANKLIN MUTUAL FINANCIAL SERVICES	0.00	7.39	0.00	7.39	90.0	6.65
01/31/2017	CLEMMONS, CHERYL	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	3.47	0.00	3.47	90.0	3.12
01/31/2017	KULBACK, ANDREW	FRANKLIN OH TAX FR INCOME FUND - CL	0.00	13.94	0.00	13.94	90.0	12.55
01/31/2017	KAISER, CHARLES	FRANKLIN REAL ESTATE SEC FUND - CLA	0.00	7.34	0.00	7.34	90.0	6.61

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/31/2017	BURLING, MARY	FRANKLIN REAL ESTATE SEC FUND - CLA	0.00	6.53	0.00	6.53	90.0	5.88
01/31/2017	CLEMMONS, CHERYL	FRANKLIN SMALL CAP VALUE A	0.00	3.23	0.00	3.23	90.0	2.91
01/31/2017	BOYER, JUDY	FRANKLIN UTILITIES A	0.00	6.10	0.00	6.10	90.0	5.49
01/31/2017	WELLES, CLAUDIA L	FRANKLIN UTILITIES A	0.00	7.93	0.00	7.93	90.0	7.14
01/31/2017	MARLIN, GRIFFIN	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	2.55	0.00	2.55	90.0	2.30
01/31/2017	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	6.08	0.00	6.08	90.0	5.47
01/31/2017	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	9.26	0.00	9.26	90.0	8.33
01/31/2017	MARLIN, ADALYN	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	1.56	0.00	1.56	90.0	1.40
01/31/2017	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	6.16	0.00	6.16	90.0	5.54
01/31/2017	WELLES, CLAUDIA	FRK TEM COREFOLIO ALLOCAT FND - CLA	0.00	6.13	0.00	6.13	90.0	5.52
01/31/2017	KULBACK, ANDREW	FRNKLN FLOATING RATE DLY ACCESS FND	0.00	8.80	0.00	8.80	90.0	7.92
01/31/2017	CLEMMONS, CHERYL	FT GROWTH ALLOC A	0.00	2.57	0.00	2.57	90.0	2.31
01/17/2017	FOUGHT, PAUL	PIONEER FUND A	25.00	1.25	0.00	1.25	90.0	1.13
/ /	LEO, MICHAEL	RYDEX GUGG UTILITIES C	0.00	1.46	0.00	1.46	90.0	1.31
01/31/2017	GAY, REGINALD	TEMPLETON DEVELOPING MKTS A	0.00	1.38	0.00	1.38	90.0	1.24
01/31/2017	KAISER, CHARLES	TEMPLETON DEVELOPING MKTS A	0.00	3.77	0.00	3.77	90.0	3.39
01/31/2017	REYNOLDS, BRUCE	TEMPLETON DEVELOPING MKTS A	0.00	3.08	0.00	3.08	90.0	2.77
01/31/2017	CLEMMONS, CHERYL	TEMPLETON DEVELOPING MKTS A	0.00	0.85	0.00	0.85	90.0	0.77
01/31/2017	KAISER, CHARLES	TEMPLETON FOREIGN FUND A	0.00	5.39	0.00	5.39	90.0	4.85
01/31/2017	MANOS, SCOTT	TEMPLETON GLOBAL BALANCED FUND	0.00	0.63	0.00	0.63	90.0	0.57
01/31/2017	CLEMMONS, CHERYL	TEMPLETON GLOBAL TOTAL RETURN A	0.00	6.96	0.00	6.96	90.0	6.26
01/31/2017	WELLES, CLAUDIA L	TEMPLETON GLOBAL TOTAL RETURN A	0.00	4.19	0.00	4.19	90.0	3.77
01/31/2017	CURTIS, VIRGINIA	TEMPLETON GROWTH A	0.00	33.50	0.00	33.50	90.0	30.15
01/31/2017	CLEMMONS, CHERYL	TEMPLETON GROWTH A	0.00	6.28	0.00	6.28	90.0	5.65
01/31/2017	THOMPSON-REYNOLDS, TERESA	TEMPLETON WORLD A	0.00	4.70	0.00	4.70	90.0	4.23
01/31/2017	KAISER, CHARLES	TEMPLETON WORLD A	0.00	7.50	0.00	7.50	90.0	6.75
01/31/2017	PETRY, PAUL	TEMPLETON WORLD A	0.00	2.00	0.00	2.00	90.0	1.80
01/31/2017	REYNOLDS, BRUCE	TEMPLETON WORLD A	0.00	7.21	0.00	7.21	90.0	6.49
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$75.00</b>	<b>\$364.00</b>	<b>\$0.00</b>	<b>\$364.00</b>		<b>\$327.61</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
01/31/2017	MILLER, DOUGLAS	MASS MUTUAL PANAMA PREMIER	17,973.83	5.99	0.00	5.99	90.0	5.39
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$17,973.83</b>	<b>\$5.99</b>	<b>\$0.00</b>	<b>\$5.99</b>		<b>\$5.39</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
		*** BROKER TRANSACTIONS TOTAL ***	\$18,048.83	\$369.99	\$0.00	\$369.99		\$333.00

<b><u>ADJUSTMENTS</u></b>								
02/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-1.50
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-1.50

<b><u>BROKER COMMISSION TOTALS</u></b>	
Broker Transactions	\$333.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$331.50
Year-to-date Gross	\$1,876.03
Year-to-date Earnings	\$1,800.42

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