

COMMISSION STATEMENT

May 31, 2018

LAWRENCE HORN

301 E 47TH STREET

APT 16J

NEW YORK, NY 10017

BROKER #: I 20 I75 K17 I21 I 2

BRANCH: HOME OFFICE

COMMISSION STATEMENT for LAWRENCE HORN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

04/30/2018	QGV005606 ASSOCIATES, F/A/O	SPDR S&P 500 ETF TRUST	B	2,645,300.00	600.00	10.00	590.00	50.0	295.00
05/23/2018	QGV005630 MORGAN STANLEY	MAGNACHIP SEMICONDUCTOR CORP NEW CO S		-8,840.00	24.00	10.00	14.00	50.0	7.00
05/24/2018	QGV005630 MORGAN STANLEY	MAGNACHIP SEMICONDUCTOR CORP NEW CO S		-13,260.00	36.00	10.00	26.00	50.0	13.00
05/29/2018	QGV005630 MORGAN STANLEY	MAGNACHIP SEMICONDUCTOR CORP NEW CO S		-281,710.00	750.00	10.00	740.00	50.0	370.00
05/04/2018	QGV006240 GS ARBITRAGE	DCT INDL TR INC COM NEW	B	660,411.00	400.00	10.00	390.00	50.0	195.00
05/21/2018	QGV006240 GS ARBITRAGE	TWENTY FIRST CENTURY FOX INC CLASS B	B	189,549.00	200.00	10.00	190.00	50.0	95.00
* STOCKS SUBTOTAL *				<u>\$3,191,450.00</u>	<u>\$2,010.00</u>	<u>\$60.00</u>	<u>\$1,950.00</u>		<u>\$975.00</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$3,191,450.00</u>	<u>\$2,010.00</u>	<u>\$60.00</u>	<u>\$1,950.00</u>		<u>\$975.00</u>

ADJUSTMENTS

05/31/2018	OTHER: BLOOMBERG			0.00	0.00	0.00	0.00		-1,050.00
05/31/2018	OTHER: COMPLIANCE AND TECHNOLOGY			0.00	0.00	0.00	0.00		-20.00
05/31/2018	OTHER: OTHER-360 OFFICE			0.00	0.00	0.00	0.00		-5.00
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-1,075.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$975.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,075.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-2.51
SIPC Assessment	\$-5.03

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-107.54
Year-to-date Earnings	\$5,964.06