



Concorde Investment Services, LLC

04/03/2018

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COMMISSION STATEMENT

March 31, 2018

BRENT, L137 LEE
13423 Kings View Lane

BROKER #: L137
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #10								
03/20/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.20	0.00	0.20	90.0	0.18
03/20/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.20	0.00	0.20	90.0	0.18
03/20/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.20	0.00	0.20	90.0	0.18
03/20/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.20	0.00	0.20	90.0	0.18
03/20/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529A	16.50	0.20	0.00	0.20	90.0	0.18
03/20/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	16.50	0.07	0.00	0.07	90.0	0.06
03/20/2018	LEE, DONALD	AM FUNDS BOND FUND OF AMERICA 529C	16.50	0.07	0.00	0.07	90.0	0.06
03/20/2018	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.28	0.00	0.28	90.0	0.25
03/20/2018	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.28	0.00	0.28	90.0	0.25
03/20/2018	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.28	0.00	0.28	90.0	0.25
03/20/2018	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.28	0.00	0.28	90.0	0.25
03/20/2018	LEE, DONALD	AM FUNDS ICA 529A	16.50	0.28	0.00	0.28	90.0	0.25
03/20/2018	LEE, DONALD	AM FUNDS ICA 529C	16.50	0.07	0.00	0.07	90.0	0.06
03/20/2018	LEE, DONALD	AM FUNDS ICA 529C	17.00	0.07	0.00	0.07	90.0	0.06
03/20/2018	LEE, DONALD	AM FUNDS ICA 529C	16.50	0.07	0.00	0.07	90.0	0.06
03/20/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.29	0.00	0.29	90.0	0.26
03/20/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.29	0.00	0.29	90.0	0.26
03/20/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.29	0.00	0.29	90.0	0.26
03/20/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.29	0.00	0.29	90.0	0.26
03/20/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529A	17.00	0.29	0.00	0.29	90.0	0.26
03/20/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	17.00	0.07	0.00	0.07	90.0	0.06
03/20/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	16.50	0.07	0.00	0.07	90.0	0.06
03/20/2018	LEE, DONALD	AM FUNDS NEW PERSPECTIVE 529C	17.00	0.07	0.00	0.07	90.0	0.06
03/20/2018	LEE, DONALD	AM FUNDS SMALLCAP WORLD-529A	50.00	0.85	0.00	0.85	90.0	0.77
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$433.50	\$5.26	\$0.00	\$5.26		\$4.70

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u>								
03/22/2018	TAMEZ, JAMIE	FIDELITY CONTRAFUND	-15,038.38	11.00	11.00	0.00	90.0	0.00
03/22/2018	TAMEZ, JAMIE	HALCON RES CORP COM PAR\$ NEW	-116.86	0.00	11.25	-11.25	90.0	-10.13
03/22/2018	TAMEZ, JAMIE	HARBOR INTERNATIONAL FUND INSTITUTI	-9,925.44	11.00	11.00	0.00	90.0	0.00
03/22/2018	TAMEZ, JAMIE	KEY ENERGY SVCS INC DEL COM	-38.88	0.00	11.25	-11.25	90.0	-10.13
03/22/2018	TAMEZ, JAMIE	OASIS PETE INC NEW COM	-41,969.00	44.00	13.50	30.50	90.0	27.45
03/22/2018	TAMEZ, JAMIE	RAPID FIRE MARKETING INC COM NO PAR	-0.10	0.00	11.75	-11.75	90.0	-10.58
03/14/2018	AAFEDT, PAMELA	SEI CONSERVATIVE INCOME FUND CLASS	-17,405.48	11.00	11.00	0.00	90.0	0.00
03/14/2018	AAFEDT, PAMELA	SEI GLOBAL MANAGED VOLATILITY FUND	-5,552.10	11.00	11.00	0.00	90.0	0.00
03/14/2018	AAFEDT, PAMELA	SEI INSTITUTIONAL MANAGED TRUST MUL	-2,298.01	11.00	11.00	0.00	90.0	0.00
03/14/2018	AAFEDT, PAMELA	SEI INSTL MANAGED U.S. FIXED INCOME	-6,893.27	11.00	11.00	0.00	90.0	0.00
03/14/2018	AAFEDT, PAMELA	SEI MULTI-ASSET CAPITAL STABILITY F	-23,039.86	11.00	11.00	0.00	90.0	0.00
03/14/2018	AAFEDT, PAMELA	SEI MULTI-ASSET INCOME FUND CLASS A	-5,746.39	11.00	11.00	0.00	90.0	0.00
03/14/2018	AAFEDT, PAMELA	SEI MULTI-ASSET INFLATION MANAGED F	-5,688.24	11.00	11.00	0.00	90.0	0.00
03/14/2018	AAFEDT, PAMELA	SEI REAL RETURN FUND CLASS F	-5,803.86	11.00	11.00	0.00	90.0	0.00
03/14/2018	AAFEDT, PAMELA	SEI SHORT-DURATION GOVERNMENT FUND	-33,560.88	11.00	11.00	0.00	90.0	0.00
03/14/2018	AAFEDT, PAMELA	SEI U.S. MANAGED VOLATILITY FUND CL	-3,342.28	11.00	11.00	0.00	90.0	0.00
* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *			\$-176,419.03	\$176.00	\$179.75	\$-3.75		\$-3.39
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
03/22/2018	MANN, REID	ALBEMARLE CORP COM	-4,121.29	8.80	9.00	-0.20	90.0	-0.18
03/22/2018	MANN, REID	ALPHABET INC CAP STK CL A	-5,302.29	8.80	9.00	-0.20	90.0	-0.18
03/22/2018	MANN, REID	AMAZON COM INC	-9,294.69	8.80	9.00	-0.20	90.0	-0.18
03/22/2018	MANN, REID	APPLE INC COM	-6,795.24	8.80	9.00	-0.20	90.0	-0.18
03/22/2018	MANN, REID	BANK AMER CORP COM	-9,223.50	8.80	9.00	-0.20	90.0	-0.18
03/22/2018	MANN, REID	CELGENE CORP	-4,213.56	8.80	9.00	-0.20	90.0	-0.18
03/22/2018	MANN, REID	CENTENE CORP DEL COM	-4,711.41	8.80	9.00	-0.20	90.0	-0.18
03/22/2018	MANN, REID	CHIPOTLE MEXICAN GRILL INC COMMON S	-4,651.16	8.80	9.00	-0.20	90.0	-0.18
03/22/2018	MANN, REID	CITIGROUP INC COM NEW ISIN#US172967	-3,925.42	8.80	9.00	-0.20	90.0	-0.18
03/21/2018	RUFFIE, DAVID	CONTINENTAL RES INC COM ISIN#US2120	-44,429.79	8.80	9.27	-0.47	90.0	-0.42
03/22/2018	MANN, REID	EDWARDS LIFESCIENCES CORP	-6,254.41	8.80	9.00	-0.20	90.0	-0.18
03/22/2018	MANN, REID	EOG RES INC	-5,198.05	8.80	9.00	-0.20	90.0	-0.18
03/22/2018	MANN, REID	ESTEE LAUDER COMPANIES INC CL A	-8,001.65	8.80	9.00	-0.20	90.0	-0.18
03/22/2018	MANN, REID	ETFS GOLD TR SH	-6,932.57	8.80	9.00	-0.20	90.0	-0.18
03/07/2018	SKAARE, LARRY	FS INVESTMENT CORP IV CLASS T	0.00	13.81	0.00	13.81	90.0	12.43

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<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
03/20/2018	LEE, DONALD	GLOBAL GROWTH PORTFOLIO-529A	50.00	0.85	0.00	0.85	90.0	0.77
03/22/2018	MANN, REID	GUIDEWIRE SOFTWARE INC COM	-2,518.89	8.80	9.00	-0.20	90.0	-0.18
03/22/2018	MANN, REID	HONEYWELL INTL INC COM ISIN#US43851	-7,454.05	8.80	9.00	-0.20	90.0	-0.18
03/23/2018	AAFEDT, DEAN	HPI REAL ESTATE FUND VI LLC	100,000.00	0.00	0.00	0.00	90.0	0.00
03/22/2018	MANN, REID	JP MORGAN CHASE & CO COM ISIN#US466	-8,852.09	8.80	9.00	-0.20	90.0	-0.18
03/22/2018	MANN, REID	MARTIN MARIETTA MATERIALS INC	-4,073.24	8.80	9.00	-0.20	90.0	-0.18
03/22/2018	MANN, REID	MASIMO CORP COM	-4,582.43	8.80	9.00	-0.20	90.0	-0.18
03/22/2018	MANN, REID	MASTERCARD INCORPORATED	-7,129.95	8.80	9.00	-0.20	90.0	-0.18
03/22/2018	MANN, REID	MERCADOLIBRE INC COM	-5,194.65	8.80	9.00	-0.20	90.0	-0.18
03/01/2018	JORGENSEN, BLAINE	MOODY DFW DST	250,000.00	6,000.00	0.00	6,000.00	90.0	5,400.00
03/22/2018	MANN, REID	OASIS PETE INC NEW COM	-8,453.80	8.80	9.40	-0.60	90.0	-0.54
03/16/2018	ABELS, HALE	OPPENHEIMER INTERNATIONAL GROWTH FU	3,000.00	0.00	0.00	0.00	90.0	0.00
03/22/2018	MANN, REID	PALO ALTO NETWORKS INC COM	-5,979.37	8.80	9.00	-0.20	90.0	-0.18
03/22/2018	MANN, REID	POLARIS INDUSTRIES INC	-4,955.08	8.80	9.00	-0.20	90.0	-0.18
03/22/2018	MANN, REID	POST HLDGS INC COM	-4,179.10	8.80	9.00	-0.20	90.0	-0.18
03/22/2018	MANN, REID	PROGRESSIVE CORP OH COM	-4,783.94	8.80	9.00	-0.20	90.0	-0.18
03/22/2018	MANN, REID	PVH CORP COM	-4,402.43	8.80	9.00	-0.20	90.0	-0.18
03/22/2018	MANN, REID	ROPER TECHNOLOGIES INC COM	-6,520.17	8.80	9.00	-0.20	90.0	-0.18
03/22/2018	MANN, REID	RSP PERMIAN INC COM	-3,666.38	8.80	9.00	-0.20	90.0	-0.18
03/22/2018	MANN, REID	SCHWAB STRATEGIC TR EMERGING MKTS E	-9,826.82	8.80	9.01	-0.21	90.0	-0.19
03/22/2018	MANN, REID	SCHWAB STRATEGIC TR US SMALL-CAP ET	-13,907.69	8.80	9.00	-0.20	90.0	-0.18
03/22/2018	MANN, REID	SEALED AIR CORP NEW COM	-3,660.31	8.80	9.00	-0.20	90.0	-0.18
03/22/2018	MANN, REID	SM ENERGY CO COM	-20,293.18	8.80	9.45	-0.65	90.0	-0.59
03/22/2018	MANN, REID	STERICYCLE INC	-3,924.57	8.80	9.00	-0.20	90.0	-0.18
03/22/2018	MANN, REID	UNITED STS COMMODITY INDEX FD COMMO	-8,219.95	8.80	9.00	-0.20	90.0	-0.18
03/16/2018	ABELS, HALE	VANGUARD SMALL-CAP INDEX FUND ADMIR	2,000.00	12.00	8.80	3.20	90.0	2.88
03/22/2018	MANN, REID	WHITING PETE CORP COM NEW	-12,677.97	8.80	9.03	-0.23	90.0	-0.21
03/22/2018	MANN, REID	WILLISTON BASIN/MID- NORTH AMERICA	-5,203.36	8.80	8.80	0.00	90.0	0.00
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$71,535.55	\$6,352.26	\$342.76	\$6,009.50		\$5,408.55
*** SPLIT TRANSACTIONS TOTAL ***			\$-104,449.98	\$6,533.52	\$522.51	\$6,011.01		\$5,409.86

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ADJUSTMENTS

03/31/2018		*FINRA: RENEWAL FEES BAL	0.00					-525.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-525.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$5,409.86
Override Transactions	\$0.00
Adjustments	\$-525.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,884.86
Year-to-date Gross	\$25,500.72
Year-to-date Earnings	\$22,404.41

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