

**COMMISSION STATEMENT****October 15, 2017**MARTIN PROSHEK
6721 Janel LaneBROKER #: P126
BRANCH: MARTIN PROSHEK

Maumee, OH 43537-1232

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
10/02/2017	WILSON, LYNNE	CHICAGO BRIDGE & IRON CO NV	-17,000.00	127.50	23.50	104.00	80.0	83.20
		* STOCKS SUBTOTAL *	\$-17,000.00	\$127.50	\$23.50	\$104.00		\$83.20
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/15/2017	NERO, PATRICK	ALLIANZGI FOCUSED GROWTH A	0.00	6.01	0.00	6.01	80.0	4.81
09/18/2017	MABEE, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.24	0.00	1.24	80.0	0.99
09/18/2017	MABEE, SUSAN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.24	0.00	0.24	80.0	0.19
09/18/2017	MABEE, SUSAN	AM FUNDS NEW WORLD A	0.00	0.44	0.00	0.44	80.0	0.35
09/26/2017	FUQUA, CHRIS	BLACKROCK ALL-CAP ENERGY & RESOURCE	0.00	0.47	0.00	0.47	80.0	0.38
09/26/2017	WILSON, LYNNE	BLACKROCK ALL-CAP ENERGY & RESOURCE	0.00	0.33	0.00	0.33	80.0	0.26
09/26/2017	WILSON, LYNNE	BLACKROCK ALL-CAP ENERGY & RESOURCE	0.00	0.08	0.00	0.08	80.0	0.06
09/26/2017	HORR, DIANE	BLACKROCK ENERGY & RESOURCES PORTFO	0.00	0.38	0.00	0.38	80.0	0.30
09/15/2017	WILSON, LYNNE	COLUMBIA SELIGMAN COMM AND INFO A	0.00	5.70	0.00	5.70	80.0	4.56
09/15/2017	KODAT, JANICE	COLUMBIA SELIGMAN GLOBAL TECH A	0.00	4.77	0.00	4.77	80.0	3.82
09/11/2017	MCPARTLAND, BARBARA	DAVIS APPRECIATION AND INCOME A	0.00	1.52	0.00	1.52	80.0	1.22
09/15/2017	HENNINGER, DAROLD	DELAWARE HEALTHCARE FUNDS CLASS A	0.00	7.38	0.00	7.38	80.0	5.90
09/20/2017	MCPARTLAND, BARBARA	FIDELITY ADVISOR BIOTECHNOLOGY FUND	0.00	3.37	0.00	3.37	80.0	2.70
09/20/2017	HENNINGER, DAROLD	FIDELITY ADVISOR TELECOMMUNICATIONS	0.00	6.13	0.00	6.13	80.0	4.90
09/18/2017	FUQUA, CHARLES (C.K.)	FIRST EAGLE GLOBAL FUND CL A	0.00	7.52	0.00	7.52	80.0	6.02
09/18/2017	HENNINGER, DAROLD	FIRST EAGLE GLOBAL FUND CL A	0.00	6.58	0.00	6.58	80.0	5.26
09/18/2017	MCPARTLAND, BARBARA	FIRST EAGLE GLOBAL FUND CL A	0.00	3.12	0.00	3.12	80.0	2.50
09/14/2017	NERO, PATRICK	FRANKLIN BALANCED C	0.00	11.56	0.00	11.56	80.0	9.25
09/14/2017	NERO, BARBARA	FRANKLIN BALANCED C	0.00	8.05	0.00	8.05	80.0	6.44
09/14/2017	FUQUA, CHARLES (C.K.)	FRANKLIN CONV SEC A	0.00	5.52	0.00	5.52	80.0	4.42
09/14/2017	MCPARTLAND, BARBARA	FRANKLIN INCOME A	0.00	1.05	0.00	1.05	80.0	0.84
09/19/2017	WARREN, KATHLEEN	JANUS GLOBAL LIFE SCIENCES FUND CLA	0.00	1.32	0.00	1.32	80.0	1.06
09/14/2017	HORR, DIANE	MFS EMERGING MARKETS DEBT FUND A	0.00	2.88	0.00	2.88	80.0	2.30

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
09/14/2017	FUQUA, CHARLES (C.K.)	MFS GROWTH ALLOCATION A	0.00	5.71	0.00	5.71	80.0	4.57
09/14/2017	FUQUA, CHRIS	MFS GROWTH ALLOCATION A	0.00	1.52	0.00	1.52	80.0	1.22
09/11/2017	HORR, DIANE	NATIXIS LOOMIS STRATEGIC INCOME A	0.00	1.89	0.00	1.89	80.0	1.51
09/13/2017	KODAT, JANICE	PIMCO FUNDAMENTAL INDEXPLUS AR FUND	0.00	8.08	0.00	8.08	80.0	6.46
09/14/2017	FUQUA, CHARLES (C.K.)	SALIENT SELECT INCOME FUND CLASS A	0.00	5.00	0.00	5.00	80.0	4.00
09/14/2017	MCPARTLAND, BARBARA	SALIENT SELECT INCOME FUND CLASS A	0.00	2.91	0.00	2.91	80.0	2.33
09/13/2017	KODAT, JANICE	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	7.72	0.00	7.72	80.0	6.18
09/13/2017	MORSE, PATRICIA	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	5.16	0.00	5.16	80.0	4.13
09/13/2017	FUQUA, CHARLES (C.K.)	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	6.69	0.00	6.69	80.0	5.35
09/13/2017	HENNINGER, DAROLD	SUNAMERICA FOCUSED DIVIDEND STRAT A	0.00	7.07	0.00	7.07	80.0	5.66
09/18/2017	MCPARTLAND, BARBARA	TRANSAMERICA MULTI-MANAGED BAL A	0.00	3.64	0.00	3.64	80.0	2.91
09/18/2017	MCPARTLAND, BARBARA	TRANSAMERICA MULTI-MANAGED BAL A	0.00	0.87	0.00	0.87	80.0	0.70
09/18/2017	NERO, PATRICK	TRANSAMERICA MULTI-MANAGED BAL C	0.00	12.43	0.00	12.43	80.0	9.94
09/18/2017	NERO, BARBARA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	8.66	0.00	8.66	80.0	6.93
09/18/2017	KODAT, JANICE	TRANSAMERICA SMALL/MID CAP VALUE A	0.00	7.33	0.00	7.33	80.0	5.86
09/14/2017	FUQUA, CHARLES (C.K.)	UNDISCOVERED MANAGERS BEHAVIORAL VA	0.00	9.78	0.00	9.78	80.0	7.82
09/15/2017	FUQUA, CHARLES (C.K.)	WELLS FARGO SPECIAL MID CAP VALUE F	0.00	7.34	0.00	7.34	80.0	5.87
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$187.46	\$0.00	\$187.46		\$149.97
*** BROKER TRANSACTIONS TOTAL ***			\$-17,000.00	\$314.96	\$23.50	\$291.46		\$233.17
<u>ADJUSTMENTS</u>								
10/15/2017		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
10/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
10/15/2017		*LASER APP: CURRENT MONTH	0.00					-18.00
10/15/2017		*TECHNOLOGY: CURENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-505.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$233.17
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-505.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-449.46
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-721.29
Year-to-date Gross	\$26,271.32
Year-to-date Earnings	\$19,820.56