



COMMISSION STATEMENT

August 20, 2018

TIMOTHY STATES
1629 K ST NW STE 300
SUITE 300
WASHINGTON, DC 20001

BROKER #: A70
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/01/2018	***-**-8854 - WEINSTEIN, ACCOUNT #: 03211304425	CAPITAL APPRECIATION A BUY 0 Units @0.0000	904.00	27.12	0.00	27.12	75.0	20.34
08/14/2018	***-**-574I - DAVIS, MISCHA ACCOUNT #: LSR010630	GLOBAL OPPORTUNITIES A BUY 112 Units @75.6800	8,500.00	403.75	18.00	385.75	75.0	289.31
08/14/2018	***-**-1950 - CARTER, IRIS ACCOUNT #: LSR012687	PUTNAM CONV INCOME-GROWTH-A BUY 355 Units @28.1900	10,000.01	500.00	18.00	482.00	75.0	361.50
08/14/2018	***-**-735I - ANTOINE, FBO MS ACCOUNT #: LSR010596	THE GROWTH FUND OF AMERICA-A BUY 145 Units @58.6500	8,500.03	425.00	18.00	407.00	75.0	305.25
* MUTUAL FUNDS SUBTOTAL *			\$27,904.04	\$1,355.87	\$54.00	\$1,301.87		\$976.40
<u>PRODUCT CATEGORY: STOCKS</u>								
08/10/2018	***-**-1950 - CARTER, IRIS ACCOUNT #: LSR012687	CISCO SYSTEMS INC BUY 250 Units @43.8300	10,957.50	150.00	18.00	132.00	75.0	99.00
08/14/2018	***-**-837I - LEWIS, FBO V ACCOUNT #: LSR010565	FACEBOOK INC COM USD0.000006 CL A BUY 75 Units @181.8360	13,637.70	135.00	16.50	118.50	75.0	88.88
08/14/2018	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	FACEBOOK INC COM USD0.000006 CL A BUY 75 Units @181.6860	13,626.45	135.00	16.50	118.50	75.0	88.88
08/13/2018	***-**-542I - BROWN, MARY ACCOUNT #: LSR012531	FIDELITY LARGE CAP GROWTH INDX ISTL SELL 100 Units @14.9700	1,489.89	0.00	18.00	-18.00	100.0	-18.00
08/13/2018	***-**-100I - BRITT, FBO RUTH ACCOUNT #: LSR010568	FIFTH THIRD BANCORP SELL 1 Units @29.4600	29.46	0.50	15.02	-14.52	100.0	-14.52
08/13/2018	***-**-100I - BRITT, FBO RUTH ACCOUNT #: LSR010568	FIFTH THIRD BANCORP SELL 100 Units @29.4610	2,946.10	49.83	2.00	47.83	75.0	35.87
08/13/2018	***-**-100I - BRITT, FBO RUTH ACCOUNT #: LSR010568	FIFTH THIRD BANCORP SELL 100 Units @29.4600	2,946.00	49.83	2.00	47.83	75.0	35.87
08/13/2018	***-**-100I - BRITT, FBO RUTH ACCOUNT #: LSR010568	FIFTH THIRD BANCORP SELL 100 Units @29.4600	2,946.00	49.83	2.00	47.83	75.0	35.87
08/13/2018	***-**-74IN - DAVIS, MISCHA ACCOUNT #: LSR010627	FIFTH THIRD BANCORP SELL 100 Units @29.4900	2,949.00	53.78	17.00	36.78	75.0	27.59
08/13/2018	***-**-74IN - DAVIS, MISCHA ACCOUNT #: LSR010627	FIFTH THIRD BANCORP SELL 100 Units @29.4900	2,949.00	53.78	2.00	51.78	75.0	38.84
08/13/2018	***-**-74IN - DAVIS, MISCHA ACCOUNT #: LSR010627	FIFTH THIRD BANCORP SELL 51 Units @29.4900	1,503.99	27.43	1.02	26.41	75.0	19.81



COMMISSION STATEMENT

August 20, 2018

TIMOTHY STATES
1629 K ST NW STE 300
SUITE 300
WASHINGTON, DC 20001

BROKER #: A70
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
08/14/2018	***-**-837I - LEWIS, FBO V	FIFTH THIRD BANCORP	2,948.50	44.85	17.00	27.85	75.0	20.89
	ACCOUNT #: LSR010565	SELL 100 Units @29.4850						
08/14/2018	***-**-837I - LEWIS, FBO V	FIFTH THIRD BANCORP	2,948.50	44.85	2.00	42.85	75.0	32.14
	ACCOUNT #: LSR010565	SELL 100 Units @29.4850						
08/14/2018	***-**-837I - LEWIS, FBO V	FIFTH THIRD BANCORP	2,978.49	45.30	2.02	43.28	75.0	32.46
	ACCOUNT #: LSR010565	SELL 101 Units @29.4900						
08/14/2018	***-**-826I - CLARK, FBO MS	FIFTH THIRD BANCORP	16,288.62	150.00	26.06	123.94	75.0	92.96
	ACCOUNT #: LSR010588	SELL 553 Units @29.4550						
08/14/2018	***-**-735I - ANTOINE, FBO MS	FIFTH THIRD BANCORP	2,210.25	33.64	16.50	17.14	75.0	12.86
	ACCOUNT #: LSR010596	SELL 75 Units @29.4700						
08/14/2018	***-**-735I - ANTOINE, FBO MS	FIFTH THIRD BANCORP	2,947.00	44.85	2.00	42.85	75.0	32.14
	ACCOUNT #: LSR010596	SELL 100 Units @29.4700						
08/14/2018	***-**-735I - ANTOINE, FBO MS	FIFTH THIRD BANCORP	1,355.62	20.63	0.92	19.71	75.0	14.78
	ACCOUNT #: LSR010596	SELL 46 Units @29.4700						
08/14/2018	***-**-735I - ANTOINE, FBO MS	FIFTH THIRD BANCORP	2,210.25	33.64	1.50	32.14	75.0	24.11
	ACCOUNT #: LSR010596	SELL 75 Units @29.4700						
08/14/2018	***-**-735I - ANTOINE, FBO MS	FIFTH THIRD BANCORP	147.35	2.24	0.10	2.14	75.0	1.61
	ACCOUNT #: LSR010596	SELL 5 Units @29.4700						
08/14/2018	***-**-574I - DAVIS, MISCHA	FIFTH THIRD BANCORP	8,868.97	135.00	21.02	113.98	75.0	85.49
	ACCOUNT #: LSR010630	SELL 301 Units @29.4650						
08/10/2018	***-**-66IN - STATES, MR	MICROSOFT CORP UNSOLICITED ORDER EX	5,468.70	10.00	8.99	1.01	75.0	0.76
	ACCOUNT #: LSF000313	SELL 50 Units @109.3740						
08/10/2018	***-**-66IN - STATES, MR	NEKTAR THERAPEUTICS	5,452.38	10.00	9.89	0.11	75.0	0.08
	ACCOUNT #: LSF000313	BUY 90 Units @60.5820						
08/13/2018	***-**-74IN - DAVIS, MISCHA	NEKTAR THERAPEUTICS	7,657.50	140.00	17.50	122.50	75.0	91.88
	ACCOUNT #: LSR010627	BUY 125 Units @61.2600						
08/13/2018	***-**-537I - BRITT, FBO EDDIE	UNDER ARMOUR INC COM STK USD0.00033	1,255.14	35.00	16.20	18.80	75.0	14.10
	ACCOUNT #: LSR010574	SELL 60 Units @20.9190						
* STOCKS SUBTOTAL *			\$118,718.36	\$1,454.98	\$251.74	\$1,203.24		\$894.35



COMMISSION STATEMENT

August 20, 2018

TIMOTHY STATES
1629 K ST NW STE 300
SUITE 300
WASHINGTON, DC 20001

BROKER #: A70
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	***-**-44TR - LYNCH TTEE, ACCOUNT #: LSR010606	AIM DIVERSIFIED DIVIDEND FUND - CL BUY 1 Units @0.0000	0.00	6.09	0.00	6.09	75.0	4.57
/ /	***-**-5420 - BROWN, MARY ACCOUNT #: LSR012530	CLEARBRIDGE APPRECIATION CLASS A BUY 1 Units @0.0000	0.00	1.56	0.00	1.56	75.0	1.17
/ /	***-**-5420 - BROWN, MARY ACCOUNT #: LSR012530	COLUMBIA DISCIPLINED CORE A BUY 1 Units @0.0000	0.00	4.88	0.00	4.88	75.0	3.66
/ /	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	5.02	0.00	5.02	75.0	3.77
/ /	***-**-58IN - LUTZ, SAMUEL ACCOUNT #: LSR010594	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	2.91	0.00	2.91	75.0	2.18
/ /	***-**-74IN - DAVIS, MISCHA ACCOUNT #: LSR010627	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	0.37	0.00	0.37	75.0	0.28
/ /	***-**-820I - HIGHSMITH, ACCOUNT #: LSR010653	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	2.05	0.00	2.05	75.0	1.54
/ /	***-**-549I - WHITE, WILLIAM ACCOUNT #: LSR010690	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	2.05	0.00	2.05	75.0	1.54
/ /	***-**-736J - HIGHSMITH SR, ACCOUNT #: LSR010785	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	2.10	0.00	2.10	75.0	1.58
/ /	***-**-1950 - CARTER, IRIS ACCOUNT #: LSR012687	FUNDAMENTAL INVESTORS-A BUY 1 Units @0.0000	0.00	3.97	0.00	3.97	75.0	2.98
/ /	***-**-5420 - BROWN, MARY ACCOUNT #: LSR012530	INVESCO VAN KAMPEN COMSTOCK CLASS A BUY 1 Units @0.0000	0.00	6.68	0.00	6.68	75.0	5.01
/ /	***-**-44TR - LYNCH TTEE, ACCOUNT #: LSR010606	IVK GROWTH AND INCOME FUND - CLASS BUY 1 Units @0.0000	0.00	6.52	0.00	6.52	75.0	4.89
/ /	***-**-820I - HIGHSMITH, ACCOUNT #: LSR010653	IVK GROWTH AND INCOME FUND - CLASS BUY 1 Units @0.0000	0.00	1.87	0.00	1.87	75.0	1.40
/ /	***-**-437I - SIGUE, JOCELYN ACCOUNT #: LSR011597	NEW WORLD FUND-A BUY 1 Units @0.0000	0.00	1.92	0.00	1.92	75.0	1.44
/ /	***-**-5420 - BROWN, MARY ACCOUNT #: LSR012530	PUTNAM EUROPE EQUITY FUND-A BUY 1 Units @0.0000	0.00	1.20	0.00	1.20	75.0	0.90
/ /	***-**-826I - CLARK, FBO MS ACCOUNT #: LSR010588	THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000	0.00	7.81	0.00	7.81	75.0	5.86



COMMISSION STATEMENT

August 20, 2018

TIMOTHY STATES
1629 K ST NW STE 300
SUITE 300
WASHINGTON, DC 20001

BROKER #: A70
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-58IN - LUTZ, SAMUEL	THE GROWTH FUND OF AMERICA-A	0.00	2.61	0.00	2.61	75.0	1.96
	ACCOUNT #: LSR010594	BUY 1 Units @0.0000						
/ /	***-**-91IN - RICHARDS, RMR	THE GROWTH FUND OF AMERICA-A	0.00	1.26	0.00	1.26	75.0	0.95
	ACCOUNT #: LSR010600	BUY 1 Units @0.0000						
/ /	***-**-74IN - DAVIS, MISCHA	THE GROWTH FUND OF AMERICA-A	0.00	3.79	0.00	3.79	75.0	2.84
	ACCOUNT #: LSR010627	BUY 1 Units @0.0000						
/ /	***-**-574I - DAVIS, MISCHA	THE GROWTH FUND OF AMERICA-A	0.00	5.48	0.00	5.48	75.0	4.11
	ACCOUNT #: LSR010630	BUY 1 Units @0.0000						
/ /	***-**-736J - HIGHSMITH SR,	THE GROWTH FUND OF AMERICA-A	0.00	1.86	0.00	1.86	75.0	1.40
	ACCOUNT #: LSR010785	BUY 1 Units @0.0000						
/ /	***-**-542O - BROWN, MARY	THE GROWTH FUND OF AMERICA-A	0.00	7.50	0.00	7.50	75.0	5.63
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
/ /	***-**-195O - CARTER, IRIS	THE GROWTH FUND OF AMERICA-A	0.00	6.62	0.00	6.62	75.0	4.97
	ACCOUNT #: LSR012687	BUY 1 Units @0.0000						
/ /	***-**-826I - CLARK, FBO MS	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	0.79	0.00	0.79	75.0	0.59
	ACCOUNT #: LSR010588	BUY 1 Units @0.0000						
/ /	***-**-587I - KEARNEY, FBO MS	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.12	0.00	2.12	75.0	1.59
	ACCOUNT #: LSR010590	BUY 1 Units @0.0000						
/ /	***-**-58IN - LUTZ, SAMUEL	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.12	0.00	2.12	75.0	1.59
	ACCOUNT #: LSR010594	BUY 1 Units @0.0000						
/ /	***-**-35IN - ANTOINE, MS	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	2.12	0.00	2.12	75.0	1.59
	ACCOUNT #: LSR010597	BUY 1 Units @0.0000						
/ /	***-**-44TR - LYNCH TTEE,	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	7.05	0.00	7.05	75.0	5.29
	ACCOUNT #: LSR010606	BUY 1 Units @0.0000						
/ /	***-**-574I - DAVIS, MISCHA	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	3.76	0.00	3.76	75.0	2.82
	ACCOUNT #: LSR010630	BUY 1 Units @0.0000						
/ /	***-**-736J - HIGHSMITH SR,	WASHINGTON MUTUAL INVESTORS FUND-A	0.00	4.67	0.00	4.67	75.0	3.50
	ACCOUNT #: LSR010785	BUY 1 Units @0.0000						
/ /	***-**-542O - BROWN, MARY	WELLS FARGO ULTRA SHRT TRM MUNI CL	0.00	0.43	0.00	0.43	75.0	0.32
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						
/ /	***-**-542O - BROWN, MARY	WESTERN ASSET CORE BOND FUND CL A	0.00	2.16	0.00	2.16	75.0	1.62
	ACCOUNT #: LSR012530	BUY 1 Units @0.0000						



COMMISSION STATEMENT

August 20, 2018

TIMOTHY STATES
1629 K ST NW STE 300
SUITE 300
WASHINGTON, DC 20001

BROKER #: A70
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------	--------	------------	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONS (continued)

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$111.34	\$0.00	\$111.34	\$83.54
*** BROKER TRANSACTIONS TOTAL ***	\$146,622.40	\$2,922.19	\$305.74	\$2,616.45	\$1,954.29

BROKER COMMISSION TOTALS

Broker Transactions	\$1,954.29
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$1,954.29
Year-to-date Earnings	\$58,402.04