

STATEMENT

June 20, 2018

ANTHONY MASHINTONIO

PO BOX 1000

FREDERICK, PA 19435

BROKER #: 232

BRANCH: LA BRANCH

COMMISSION STATEMENT for ANTHONY MASHINTONIO

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/01/0018	#6000110149	DOTTEBRENS	DELAWARE VALUE FUND CL A	100.00	5.00	90.0	0.00	4.50	4.50
05/04/0018	#2000116110	RIST, STEPHAN	C - INTERNATIONAL GROWTH PORT	80.00	0.80	90.0	0.00	0.72	0.72
05/04/0018	#2000054244	RIST, STEPHAN	C - INTERNATIONAL GROWTH PORT	120.00	1.20	90.0	0.00	1.08	1.08
05/04/0018	#200016106	RIST, STEPHAN	C - INTERNATIONAL GROWTH PORT	80.00	0.80	90.0	0.00	0.72	0.72
05/04/0018	#2000054244	RIST, STEPHAN	C - LIMITED TERM GOV PORT	100.00	1.00	90.0	0.00	0.90	0.90
05/04/0018	#2000116110	RIST, STEPHAN	LARGE CAP VALUE C	70.00	0.70	90.0	0.00	0.63	0.63
05/04/0018	#2000116106	RIST, STEPHAN	LARGE CAP VALUE C	70.00	0.70	90.0	0.00	0.63	0.63
05/04/0018	#2000054244	RIST, STEPHAN	LARGE CAP VALUE C	100.00	1.00	90.0	0.00	0.90	0.90
05/04/0018	#2000116110	RIST, STEPHAN	SMALL - & MID - CAP PORTFOLIO C	50.00	0.50	90.0	0.00	0.45	0.45
05/04/0018	#2000116106	RIST, STEPHAN	SMALL - & MID - CAP PORTFOLIO C	50.00	0.50	90.0	0.00	0.45	0.45
05/04/0018	#2000054244	RIST, STEPHAN	SMALL - & MID - CAP PORTFOLIO C	80.00	0.80	90.0	0.00	0.72	0.72
04/30/0201	#000001775538	DEMORRAYM	4812A1456	0.00	48.13	90.0	0.00	43.32	43.32
04/30/0201	#0000373670	STRAN, MICHAEL	BLCKRCK	0.00	3.81	90.0	0.00	3.43	3.43
04/30/0201	#4000005118	OTTEBRENS	DELAWARE FUNDS	0.00	0.09	90.0	0.00	0.08	0.08
04/30/0201	#6000110149	DOTTEBRENS	DELAWARE FUNDS	0.00	0.35	90.0	0.00	0.32	0.32
04/30/0201	#6000110149	DOTTEBRENS	DELAWARE FUNDS	0.00	0.55	90.0	0.00	0.50	0.50
04/30/0201	#4000005118	OTTEBRENS	DELAWARE FUNDS	0.00	0.07	90.0	0.00	0.06	0.06
04/30/0201	#6000110149	DOTTEBRENS	DELAWARE FUNDS	0.00	0.50	90.0	0.00	0.45	0.45
04/30/0201	#6000110149	DOTTEBRENS	DELAWARE FUNDS	0.00	0.65	90.0	0.00	0.59	0.59
04/30/0201	#4000005118	OTTEBRENS	DELAWARE FUNDS	0.00	0.06	90.0	0.00	0.05	0.05
04/30/0201	#6000110149	DOTTEBRENS	DELAWARE FUNDS	0.00	0.26	90.0	0.00	0.23	0.23
04/30/0201	#6000110149	DOTTEBRENS	DELAWARE FUNDS	0.00	2.29	90.0	0.00	2.06	2.06
11/20/2017	#00704201797	FRANK X	FA 529 PORT 2022 CL A	-4,000.00	-150.00	90.0	0.00	-135.00	-135.00
03/16/2018	#00704201797	FRANK X	FA 529 PORT 2022 CL A	-2,000.00	-75.00	90.0	0.00	-67.50	-67.50
04/02/2018	#00704201797	FRANK X	FA 529 PORT 2022 CL A	-1,000.00	-37.50	90.0	0.00	-33.75	-33.75
04/20/2018	#07006658149	GINNETTI, JAMES	OPPENHEIMER MAIN STREET FUND CL A	6,500.00	260.00	90.0	0.00	234.00	234.00
04/20/2018	#04206698384	GUADAR, C	OPPENHEIMER MAIN STREET FUND CL A	50.00	2.38	90.0	0.00	2.14	2.14
04/20/2018	#07006658523	GINNETTI, JANET	OPPENHEIMER MAIN STREET FUND CL A	6,500.00	260.00	90.0	0.00	234.00	234.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/25/2018	#00101028919 SPERA, C	STEELPATH MLP INCOME FUND	325.00	13.00	90.0	0.00	11.70	0.00	11.70
04/27/2018	#00101038148 HOIN, DAVID	STEELPATH MLP INCOME FUND	10,000.00	400.00	90.0	0.00	360.00	0.00	360.00
05/01/2018	#07400359667 COLBY, 2	OPPENHEIMER ROCHEST PENNSYLVANIA MU	300.00	2.14	90.0	0.00	1.93	0.00	1.93
05/07/2018	#04206623595 MCPHILLIPS,	OPPENHEIMER MAIN STREET FUND CL A	250.00	11.88	90.0	0.00	10.69	0.00	10.69
05/07/2018	#04206623617 MCPHILLIPS,	OPPENHEIMER MAIN STREET FUND CL A	250.00	11.88	90.0	0.00	10.69	0.00	10.69
05/07/2018	#00101028919 SPERA, C	STEELPATH MLP INCOME FUND	325.00	13.00	90.0	0.00	11.70	0.00	11.70
05/09/2018	#07400211794 REICHA, R	OPPENHEIMER ROCHEST PENNSYLVANIA MU	800.00	14.40	90.0	0.00	12.96	0.00	12.96
* MUTUAL FUNDS SUBTOTAL *			\$19,200.00	\$795.94		\$0.00	\$716.35	\$0.00	\$716.35
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
05/02/0018	#P55D6536989 POZZESSERE	AMERICAN GEN TRAILS	0.00	68.81	90.0	0.00	61.93	0.00	61.93
05/04/0018	#P55D6536986 POZZESSERE	AMERICAN GEN TRAILS	0.00	137.84	90.0	0.00	124.06	0.00	124.06
05/09/0018	#P55D6536984 POZZESSERE	AMERICAN GEN TRAILS	0.00	27.54	90.0	0.00	24.79	0.00	24.79
04/30/0201	#P73A2020132 SAM, PAULA	AMERICAN GEN TRAILS	0.00	3.13	90.0	0.00	2.82	0.00	2.82
05/20/0201	#P73A2013850 SAM, GLENDA	AMERICAN GEN TRAILS	0.00	57.33	90.0	0.00	51.60	0.00	51.60
05/04/2018	#2557046 SPERA, CLARE	JOHN HANCOCK LIFE TRAILS	0.00	54.82	90.0	0.00	49.34	0.00	49.34
05/04/2018	#2559009 SPERA, CLARE	JOHN HANCOCK LIFE TRAILS	0.00	119.54	90.0	0.00	107.59	0.00	107.59
05/04/2018	#2570888 JONES, NANCY	JOHN HANCOCK LIFE TRAILS	0.00	50.41	90.0	0.00	45.37	0.00	45.37
05/04/2018	#2570889 MOYER, JEAN	JOHN HANCOCK LIFE TRAILS	0.00	50.41	90.0	0.00	45.37	0.00	45.37
05/04/2018	#2570890 TOTH, GARY	JOHN HANCOCK LIFE TRAILS	0.00	50.41	90.0	0.00	45.37	0.00	45.37
05/04/2018	#2582122 MATTES, ERICH	JOHN HANCOCK LIFE TRAILS	0.00	202.13	90.0	0.00	181.92	0.00	181.92
05/04/2018	#2621366 COLBY, DONNA	JOHN HANCOCK LIFE TRAILS	0.00	97.26	90.0	0.00	87.53	0.00	87.53
05/04/2018	#2692327 COLBY, MILDRED	JOHN HANCOCK LIFE TRAILS	0.00	81.97	90.0	0.00	73.77	0.00	73.77
05/06/2018	#P89A8513035 SAM, HOPE	AMERICAN GEN TRAILS	0.00	54.88	90.0	0.00	49.39	0.00	49.39
05/06/2018	#P89A8513035 SAM, HOPE	AMERICAN GEN TRAILS	0.00	-54.88	90.0	0.00	-49.39	0.00	-49.39
05/06/2018	#P89A8513035 SAM, HOPE	AMERICAN GEN TRAILS	0.00	54.88	90.0	0.00	49.39	0.00	49.39
05/09/2018	#P55D6536493 OTERI, STEVEN	AMERICAN GEN TRAILS	0.00	13.62	90.0	0.00	12.26	0.00	12.26
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$1,070.10		\$0.00	\$963.11	\$0.00	\$963.11
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/30/2018	#000011600769148 HALLEIRENA	FRANKLIN TEMPLETON FUNDS FED TAX FR	0.00	39.21	90.0	0.00	35.29	0.00	35.29
05/07/2018	#00704201797 FRANK X	FA 529 PORT 2022 CL A	0.00	16.11	90.0	0.00	14.50	0.00	14.50
05/09/2018	# COLONA, DOLORES	DAIN TRAILER	0.00	16.60	90.0	0.00	14.94	0.00	14.94
05/09/2018	# WILKINSON, CAROLE	DAIN TRAILER	0.00	4.79	90.0	0.00	4.31	0.00	4.31
05/09/2018	# WILKINSON, CAROLE	DAIN TRAILER	0.00	2.44	90.0	0.00	2.20	0.00	2.20
05/09/2018	# WILKINSON, CAROLE	DAIN TRAILER	0.00	1.82	90.0	0.00	1.64	0.00	1.64

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2018 #	WILKINSON, CAROLE	DAIN TRAILER	0.00	1.69	90.0	0.00	1.52	0.00	1.52
05/09/2018 #	WILKINSON, CAROLE	DAIN TRAILER	0.00	4.05	90.0	0.00	3.65	0.00	3.65
05/09/2018 #	WILKINSON, CAROLE	DAIN TRAILER	0.00	6.57	90.0	0.00	5.91	0.00	5.91
05/09/2018 #	CAMPANALE, LISA	DAIN TRAILER	0.00	10.42	90.0	0.00	9.38	0.00	9.38
05/09/2018 #	COLONA, DOLORES	DAIN TRAILER	0.00	4.93	90.0	0.00	4.44	0.00	4.44
05/09/2018 #	MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	2.67	90.0	0.00	2.40	0.00	2.40
05/09/2018 #	MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	2.60	90.0	0.00	2.34	0.00	2.34
05/09/2018 #	MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	1.01	90.0	0.00	0.91	0.00	0.91
05/09/2018 #	MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	0.88	90.0	0.00	0.79	0.00	0.79
05/09/2018 #	MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	8.53	90.0	0.00	7.68	0.00	7.68
05/09/2018 #	MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	11.85	90.0	0.00	10.67	0.00	10.67
05/09/2018 #	MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	6.86	90.0	0.00	6.17	0.00	6.17
05/09/2018 #	MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	6.46	90.0	0.00	5.81	0.00	5.81
05/09/2018 #	MCPHILLIPS, JAMES	DAIN TRAILER	0.00	2.67	90.0	0.00	2.40	0.00	2.40
05/09/2018 #	MCPHILLIPS, JAMES	DAIN TRAILER	0.00	2.55	90.0	0.00	2.30	0.00	2.30
05/09/2018 #	MCPHILLIPS, JAMES	DAIN TRAILER	0.00	1.26	90.0	0.00	1.13	0.00	1.13
05/09/2018 #	MCPHILLIPS, JAMES	DAIN TRAILER	0.00	0.70	90.0	0.00	0.63	0.00	0.63
05/09/2018 #	MCPHILLIPS, JAMES	DAIN TRAILER	0.00	6.43	90.0	0.00	5.79	0.00	5.79
05/09/2018 #	MCPHILLIPS, JAMES	DAIN TRAILER	0.00	4.93	90.0	0.00	4.44	0.00	4.44
05/09/2018 #	MCPHILLIPS, JAMES	DAIN TRAILER	0.00	5.00	90.0	0.00	4.50	0.00	4.50
05/09/2018 #	MCPHILLIPS, JAMES	DAIN TRAILER	0.00	4.88	90.0	0.00	4.39	0.00	4.39
05/10/2018 #04459206811		AIG 86691873	0.00	2.67	90.0	0.00	2.40	0.00	2.40
05/10/2018 #04459206613		FOCUSED ALPHA GROWTH FUND CLASS A	0.00	1.93	90.0	0.00	1.74	0.00	1.74
05/10/2018 #04459206811		FOCUSED ALPHA GROWTH FUND CLASS A	0.00	7.63	90.0	0.00	6.87	0.00	6.87
05/10/2018 #00009183300	VARIOUS MASHIN	FOCUSED ALPHA LARGE-CAP FUND CLASS	0.00	1.96	90.0	0.00	1.76	0.00	1.76
05/10/2018 #00009417982		FOCUSED ALPHA LARGE-CAP FUND CLASS	0.00	8.89	90.0	0.00	8.00	0.00	8.00
05/10/2018 #04459206613		FOCUSED ALPHA LARGE-CAP FUND CLASS	0.00	2.86	90.0	0.00	2.57	0.00	2.57
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$203.85		\$0.00	\$183.47	\$0.00	\$183.47
*** BROKER TRANSACTIONS TOTAL ***			\$19,200.00	\$2,069.89		\$0.00	\$1,862.93	\$0.00	\$1,862.93

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ADJUSTMENTS

06/20/2018		ADMIN FEE: ADMIN FEE							-390.00
06/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
*** ADJUSTMENTS TOTAL ***									<u>\$-569.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$1,862.93
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	<u>\$1,293.93</u>
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