

COMMISSION STATEMENT

June 30, 2018

EDWARD BYRNE

421 HANOVER CENTER ROAD

ETNA, NH 03750

BROKER #: C20

BRANCH: HOME OFFICE

COMMISSION STATEMENT for EDWARD BYRNE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/30/2018	QGN005720	MARIO CAMARA	CATASYS INC COM PAR\$	0001 2017	S	-35,500.00	500.00	17.00	483.00 75.0 358.00
06/05/2018	QGN005720	MARIO CAMARA	ENERGIOUS CORP COM		B	17,193.20	280.00	17.00	263.00 75.0 193.00
06/06/2018	QGN005720	MARIO CAMARA	VUZIX CORP COM NEW		B	29,354.00	316.12	17.00	299.12 75.0 220.09
06/22/2018	QGW031809	SABER, MARK	VUZIX CORP COM NEW		S	-18,750.00	385.95	17.00	368.95 75.0 272.46
05/30/2018	QGW031825	CARPENTER, BOBBY	CATASYS INC COM PAR\$	0001 2017	S	-21,300.00	300.00	17.00	283.00 75.0 208.00
05/30/2018	QGW031825	CARPENTER, BOBBY	ENERGIOUS CORP COM		B	18,128.80	200.00	17.00	183.00 75.0 133.00
06/22/2018	QGW031833	CASSADY REVOCABLE	VUZIX CORP COM NEW		S	-15,000.00	319.19	17.00	302.19 75.0 222.39
05/30/2018	QGW031874	LABOMBARD, JOYCE	CATASYS INC COM PAR\$	0001 2017	S	-7,200.00	120.00	17.00	103.00 75.0 73.00
06/05/2018	QGW031874	LABOMBARD, JOYCE	ENERGIOUS CORP COM		B	4,906.50	92.57	17.00	75.57 75.0 52.43
06/15/2018	QGW031882	MICKES, THOMAS	VUZIX CORP COM NEW		S	-36,547.50	631.17	17.00	614.17 75.0 456.38
06/22/2018	QGW031882	MICKES, THOMAS	VUZIX CORP COM NEW		S	-37,500.00	635.90	17.00	618.90 75.0 459.93
06/22/2018	QGW031957	COLE, PHILLIP	VUZIX CORP COM NEW		B	37,500.00	635.90	17.00	618.90 75.0 459.93
06/22/2018	QGW031957	COLE, PHILLIP	VUZIX CORP COM NEW		B	-37,500.00	-635.90	-17.00	-618.90 75.0 -459.93 C
06/25/2018	QGW031957	COLE, PHILLIP	VUZIX CORP COM NEW		S	-37,500.00	635.90	17.00	618.90 75.0 459.93
* STOCKS SUBTOTAL *				\$-139,715.00	\$4,416.80	\$204.00	\$4,212.80		\$3,108.61

PRODUCT CATEGORY: OPTIONS

06/12/2018	QGW031809	SABER, MARK	CALL 100 VUZIX CORP COM NEW EXP 01-	B	2,800.00	161.92	30.00	131.92	75.0 91.44
06/12/2018	QGW031809	SABER, MARK	CALL 100 VUZIX CORP COM NEW EXP 01-	B	1,900.00	129.00	30.00	99.00	75.0 66.75
06/12/2018	QGW031809	SABER, MARK	CALL 100 VUZIX CORP COM NEW EXP 01-	B	-2,800.00	-161.92	-30.00	-131.92	75.0 -91.44 C
06/12/2018	QGW031809	SABER, MARK	CALL 100 VUZIX CORP COM NEW EXP 01-	B	2,800.00	135.00	30.00	105.00	75.0 71.25
06/12/2018	QGW031809	SABER, MARK	CALL 100 VUZIX CORP COM NEW EXP 01-	B	-1,900.00	-129.00	-30.00	-99.00	75.0 -66.75 C
06/12/2018	QGW031809	SABER, MARK	CALL 100 VUZIX CORP COM NEW EXP 01-	B	1,900.00	90.00	30.00	60.00	75.0 37.50
06/13/2018	QGW031833	CASSADY REVOCABLE	CALL 100 VUZIX CORP COM NEW EXP 01-	B	4,050.00	218.30	35.00	183.30	75.0 128.73
06/13/2018	QGW031833	CASSADY REVOCABLE	CALL 100 VUZIX CORP COM NEW EXP 01-	B	2,250.00	146.50	35.00	111.50	75.0 74.88
06/13/2018	QGW031833	CASSADY REVOCABLE	CALL 100 VUZIX CORP COM NEW EXP 01-	B	-4,050.00	-218.30	-35.00	-183.30	75.0 -128.73 C
06/13/2018	QGW031833	CASSADY REVOCABLE	CALL 100 VUZIX CORP COM NEW EXP 01-	B	4,050.00	190.00	35.00	155.00	75.0 107.50
06/13/2018	QGW031833	CASSADY REVOCABLE	CALL 100 VUZIX CORP COM NEW EXP 01-	B	-2,250.00	-146.50	-35.00	-111.50	75.0 -74.88 C
06/13/2018	QGW031833	CASSADY REVOCABLE	CALL 100 VUZIX CORP COM NEW EXP 01-	B	2,250.00	100.00	35.00	65.00	75.0 40.00
06/12/2018	QGW031882	MICKES, THOMAS	CALL 100 VUZIX CORP COM NEW EXP 01-	B	6,500.00	373.22	45.00	328.22	75.0 234.92
06/12/2018	QGW031882	MICKES, THOMAS	CALL 100 VUZIX CORP COM NEW EXP 01-	B	3,750.00	221.50	45.00	176.50	75.0 121.13

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
06/12/2018	QGW031882	MICKES, THOMAS	CALL 100 VUZIX CORP COM NEW EXP 01- B	-6,500.00	-373.22	-45.00	-328.22	75.0	-234.92 C
06/12/2018	QGW031882	MICKES, THOMAS	CALL 100 VUZIX CORP COM NEW EXP 01- B	6,500.00	320.00	45.00	275.00	75.0	195.00
06/12/2018	QGW031882	MICKES, THOMAS	CALL 100 VUZIX CORP COM NEW EXP 01- B	-3,750.00	-221.50	-45.00	-176.50	75.0	-121.13 C
06/12/2018	QGW031882	MICKES, THOMAS	CALL 100 VUZIX CORP COM NEW EXP 01- B	3,750.00	183.75	45.00	138.75	75.0	92.81
06/12/2018	QGW031957	COLE, PHILLIP	CALL 100 VUZIX CORP COM NEW EXP 01- B	5,600.00	313.48	40.00	273.48	75.0	195.11
06/12/2018	QGW031957	COLE, PHILLIP	CALL 100 VUZIX CORP COM NEW EXP 01- B	-5,600.00	-313.48	-40.00	-273.48	75.0	-195.11 C
06/12/2018	QGW031957	COLE, PHILLIP	CALL 100 VUZIX CORP COM NEW EXP 01- B	5,600.00	270.00	40.00	230.00	75.0	162.50
06/13/2018	QGW031957	COLE, PHILLIP	CALL 100 VUZIX CORP COM NEW EXP 01- B	3,000.00	184.00	40.00	144.00	75.0	98.00
06/13/2018	QGW031957	COLE, PHILLIP	CALL 100 VUZIX CORP COM NEW EXP 01- B	-3,000.00	-184.00	-40.00	-144.00	75.0	-98.00 C
06/13/2018	QGW031957	COLE, PHILLIP	CALL 100 VUZIX CORP COM NEW EXP 01- B	3,000.00	140.00	40.00	100.00	75.0	65.00
* OPTIONS SUBTOTAL *				<u>\$29,850.00</u>	<u>\$1,428.75</u>	<u>\$300.00</u>	<u>\$1,128.75</u>		<u>\$771.56</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$-109,865.00</u>	<u>\$5,845.55</u>	<u>\$504.00</u>	<u>\$5,341.55</u>		<u>\$3,880.17</u>

ADJUSTMENTS

06/30/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
06/30/2018	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-8.00
06/30/2018	OTHER: W/O QGW031924	0.00	0.00	0.00	0.00	-12.79
06/30/2018	OTHER: W/O QGW031908	0.00	0.00	0.00	0.00	-49.22
*** ADJUSTMENTS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-90.01</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$3,880.17
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-90.01
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-7.31
SIPC Assessment	\$-14.61

Check Amount	\$3,768.24
Year-to-date Earnings	\$43,670.89