

STATEMENT

January 20, 2018

RICHARD BARRONE
385 1/2 E North St
SUITE 620
Bradley, IL 60915

BROKER #: 140
BRANCH:

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/13/2017	#00088726885	ROOD, NATHANIEL AMERICAN FDS PORTFOLIO SER GROWTH F	24.92	1.25	93.0	0.00	1.16	0.00	1.16
11/13/2017	#00088726885	ROOD, NATHANIEL AMERICAN FDS PORTFOLIO SER GROWTH F	67.50	3.38	93.0	0.00	3.14	0.00	3.14
11/13/2017	#00088726870	COSSIDENTE AMERICAN FUNDS 2025 TARGET DATE-A	1.98	0.10	93.0	0.00	0.09	0.00	0.09
11/13/2017	#00088726870	COSSIDENTE AMERICAN FUNDS 2025 TARGET DATE-A	6.59	0.33	93.0	0.00	0.31	0.00	0.31
11/13/2017	#00088726885	ROOD, NATHANIEL GLOBAL GROWTH PORTFOLIO-A	67.50	3.38	93.0	0.00	3.14	0.00	3.14
11/13/2017	#00088726885	ROOD, NATHANIEL GLOBAL GROWTH PORTFOLIO-A	24.92	1.25	93.0	0.00	1.16	0.00	1.16
11/13/2017	#00088726885	ROOD, NATHANIEL GROWTH AND INCOME PORTFOLIO-A	33.23	1.66	93.0	0.00	1.54	0.00	1.54
11/13/2017	#00088726885	ROOD, NATHANIEL GROWTH AND INCOME PORTFOLIO-A	90.00	4.50	93.0	0.00	4.19	0.00	4.19
11/15/2017	#00089210188	GAMBLEMELI AMERICAN FUNDS 2045 TARGET DATE-A	400.00	20.00	93.0	0.00	18.60	0.00	18.60
11/17/2017	#00085651034	KEEN, MARK AMERICAN FUNDS 2025 TARGET DATE-A	6,500.00	243.75	93.0	0.00	226.69	0.00	226.69
11/17/2017	#00085651049	KEEN, JOANNE AMERICAN FUNDS 2025 TARGET DATE-A	6,500.00	243.75	93.0	0.00	226.69	0.00	226.69
11/20/2017	#00084440533	KUHLMANN, AMERICAN FUNDS 2045 TARGET DATE-A	578.34	15.90	93.0	0.00	14.79	0.00	14.79
11/20/2017	#00084440533	KUHLMANN, AMERICAN FUNDS 2045 TARGET DATE-A	195.00	5.36	93.0	0.00	4.98	0.00	4.98
11/20/2017	#00082145480	GREENLEE, AMERICAN HIGH INCOME TR SH BEN INT	80.00	2.20	93.0	0.00	2.05	0.00	2.05
11/20/2017	#00082145480	GREENLEE, AMERICAN MUTUAL FUND INC CLASS A	80.00	2.20	93.0	0.00	2.05	0.00	2.05
11/20/2017	#00082145480	GREENLEE, GLOBAL BALANCED FUND-A	80.00	2.20	93.0	0.00	2.05	0.00	2.05
11/20/2017	#00082145480	GREENLEE, GROWTH FUND AMERICA INC CLASS A	80.00	2.20	93.0	0.00	2.05	0.00	2.05
11/20/2017	#00082145480	GREENLEE, INCOME FUND OF AMERICA INC CLASS A	80.00	2.20	93.0	0.00	2.05	0.00	2.05
11/20/2017	#00082145480	GREENLEE, WASHINGTON MUTUAL INVS FD CLASS A	80.00	2.20	93.0	0.00	2.05	0.00	2.05
11/27/2017	#00088726885	ROOD, NATHANIEL AMERICAN FDS PORTFOLIO SER GROWTH F	67.50	3.38	93.0	0.00	3.14	0.00	3.14
11/27/2017	#00088726885	ROOD, NATHANIEL AMERICAN FDS PORTFOLIO SER GROWTH F	24.92	1.25	93.0	0.00	1.16	0.00	1.16
11/27/2017	#00088726870	COSSIDENTE AMERICAN FUNDS 2025 TARGET DATE-A	4.10	0.21	93.0	0.00	0.20	0.00	0.20
11/27/2017	#00088726870	COSSIDENTE AMERICAN FUNDS 2025 TARGET DATE-A	13.67	0.68	93.0	0.00	0.63	0.00	0.63
11/27/2017	#00088726885	ROOD, NATHANIEL GLOBAL GROWTH PORTFOLIO-A	67.50	3.38	93.0	0.00	3.14	0.00	3.14
11/27/2017	#00088726885	ROOD, NATHANIEL GLOBAL GROWTH PORTFOLIO-A	24.92	1.25	93.0	0.00	1.16	0.00	1.16
11/27/2017	#00088726885	ROOD, NATHANIEL GROWTH AND INCOME PORTFOLIO-A	90.00	4.50	93.0	0.00	4.19	0.00	4.19
11/27/2017	#00088726885	ROOD, NATHANIEL GROWTH AND INCOME PORTFOLIO-A	33.23	1.66	93.0	0.00	1.54	0.00	1.54
11/29/2017	#00086554949	CRISP, KRISTEN AMERICAN FUNDS 2020 TARGET DATE-A	246.00	6.77	93.0	0.00	6.30	0.00	6.30

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/29/2017	#00086554949 CRISP, KRISTEN	AMERICAN FUNDS 2020 TARGET DATE-A	246.00	6.77	93.0	0.00	6.30	0.00	6.30
11/29/2017	#00089478928 SURRATTRON	AMERICAN FUNDS 2025 TARGET DATE-A	620.00	17.05	93.0	0.00	15.86	0.00	15.86
11/29/2017	#00089478928 SURRATTRON	AMERICAN FUNDS 2025 TARGET DATE-A	186.00	5.12	93.0	0.00	4.76	0.00	4.76
11/29/2017	#00089299775 MOFFITTDV	AMERICAN FUNDS 2030 TARGET DATE-A	217.42	5.98	93.0	0.00	5.56	0.00	5.56
11/29/2017	#00089299775 MOFFITTDV	AMERICAN FUNDS 2030 TARGET DATE-A	217.42	5.98	93.0	0.00	5.56	0.00	5.56
11/29/2017	#04000208238 ROMACLAWRE	AMERICAN FUNDS 2030 TARGET DATE-A	142.70	3.92	93.0	0.00	3.65	0.00	3.65
11/29/2017	#04000208238 ROMACLAWRE	AMERICAN FUNDS 2030 TARGET DATE-A	142.70	3.92	93.0	0.00	3.65	0.00	3.65
11/29/2017	#00087499628 WILLIAMS, RO	AMERICAN FUNDS 2035 TARGET DATE-A	390.00	10.73	93.0	0.00	9.98	0.00	9.98
11/29/2017	#00087499628 WILLIAMS, RO	AMERICAN FUNDS 2035 TARGET DATE-A	195.00	5.36	93.0	0.00	4.98	0.00	4.98
11/29/2017	#00089608708 SHELTONTRA	AMERICAN FUNDS 2040 TARGET DATE-A	132.00	3.63	93.0	0.00	3.38	0.00	3.38
11/29/2017	#00089608708 SHELTONTRA	AMERICAN FUNDS 2040 TARGET DATE-A	132.00	3.63	93.0	0.00	3.38	0.00	3.38
11/29/2017	#00088516700 CAMISTIMOT	AMERICAN FUNDS TARGET DATE RETIREME	96.00	2.64	93.0	0.00	2.46	0.00	2.46
11/29/2017	#00088516700 CAMISTIMOT	AMERICAN FUNDS TARGET DATE RETIREME	96.00	2.64	93.0	0.00	2.46	0.00	2.46
12/11/2017	#00088726885 ROOD, NATHANIEL	AMERICAN FDS PORTFOLIO SER GROWTH F	67.50	3.38	93.0	0.00	3.14	0.00	3.14
12/11/2017	#00088726885 ROOD, NATHANIEL	AMERICAN FDS PORTFOLIO SER GROWTH F	24.92	1.25	93.0	0.00	1.16	0.00	1.16
12/11/2017	#00084174737 PIERCE,	AMERICAN FUNDS 2020 TARGET DATE-A	120.00	3.30	93.0	0.00	3.07	0.00	3.07
12/11/2017	#00088726885 ROOD, NATHANIEL	GLOBAL GROWTH PORTFOLIO-A	67.50	3.38	93.0	0.00	3.14	0.00	3.14
12/11/2017	#00088726885 ROOD, NATHANIEL	GLOBAL GROWTH PORTFOLIO-A	24.92	1.25	93.0	0.00	1.16	0.00	1.16
12/11/2017	#00087266963 MEEKERJENN	GROWTH AND INCOME PORFOLIO	50.00	0.50	93.0	0.00	0.47	0.00	0.47
12/11/2017	#00088726885 ROOD, NATHANIEL	GROWTH AND INCOME PORTFOLIO-A	33.23	1.66	93.0	0.00	1.54	0.00	1.54
12/11/2017	#00088726885 ROOD, NATHANIEL	GROWTH AND INCOME PORTFOLIO-A	90.00	4.50	93.0	0.00	4.19	0.00	4.19
12/11/2017	#00087266963 MEEKERJENN	GROWTH PORTFOLIO C	50.00	0.50	93.0	0.00	0.47	0.00	0.47
12/11/2017	#00087266963 MEEKERJENN	INCOME PORTFOLIO-C	25.00	0.25	93.0	0.00	0.23	0.00	0.23
12/15/2017	#00086554949 CRISP, KRISTEN	AMERICAN FUNDS 2020 TARGET DATE-A	307.50	8.46	93.0	0.00	7.87	0.00	7.87
12/15/2017	#00086554949 CRISP, KRISTEN	AMERICAN FUNDS 2020 TARGET DATE-A	307.50	8.46	93.0	0.00	7.87	0.00	7.87
12/15/2017	#00089478928 SURRATTRON	AMERICAN FUNDS 2025 TARGET DATE-A	232.50	6.39	93.0	0.00	5.94	0.00	5.94
12/15/2017	#00089478928 SURRATTRON	AMERICAN FUNDS 2025 TARGET DATE-A	775.00	21.31	93.0	0.00	19.82	0.00	19.82
12/15/2017	#00089299775 MOFFITTDV	AMERICAN FUNDS 2030 TARGET DATE-A	240.85	6.62	93.0	0.00	6.16	0.00	6.16
12/15/2017	#00089299775 MOFFITTDV	AMERICAN FUNDS 2030 TARGET DATE-A	240.85	6.62	93.0	0.00	6.16	0.00	6.16
12/15/2017	#04000208238 ROMACLAWRE	AMERICAN FUNDS 2030 TARGET DATE-A	148.45	4.08	93.0	0.00	3.79	0.00	3.79
12/15/2017	#04000208238 ROMACLAWRE	AMERICAN FUNDS 2030 TARGET DATE-A	148.45	4.08	93.0	0.00	3.79	0.00	3.79
12/15/2017	#00087499628 WILLIAMS, RO	AMERICAN FUNDS 2035 TARGET DATE-A	292.50	8.04	93.0	0.00	7.48	0.00	7.48
12/15/2017	#00087499628 WILLIAMS, RO	AMERICAN FUNDS 2035 TARGET DATE-A	585.00	16.09	93.0	0.00	14.96	0.00	14.96
12/15/2017	#00089608708 SHELTONTRA	AMERICAN FUNDS 2040 TARGET DATE-A	165.00	4.54	93.0	0.00	4.22	0.00	4.22
12/15/2017	#00089608708 SHELTONTRA	AMERICAN FUNDS 2040 TARGET DATE-A	165.00	4.54	93.0	0.00	4.22	0.00	4.22
12/15/2017	#00089210188 GAMBLEMEI	AMERICAN FUNDS 2045 TARGET DATE-A	400.00	20.00	93.0	0.00	18.60	0.00	18.60

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/15/2017	#00088516700	CAMISTIMOT	AMERICAN FUNDS TARGET DATE RETIREME	120.00	3.30	93.0	0.00	3.07	3.07
12/15/2017	#00088516700	CAMISTIMOT	AMERICAN FUNDS TARGET DATE RETIREME	120.00	3.30	93.0	0.00	3.07	3.07
12/18/2017	#00084440533	KUHLMANN,	AMERICAN FUNDS 2045 TARGET DATE-A	195.00	5.36	93.0	0.00	4.98	4.98
12/18/2017	#00084440533	KUHLMANN,	AMERICAN FUNDS 2045 TARGET DATE-A	578.34	15.90	93.0	0.00	14.79	14.79
12/20/2017	#00082145480	GREENLEE,	AMERICAN HIGH INCOME TR SH BEN INT	80.00	2.20	93.0	0.00	2.05	2.05
12/20/2017	#00082145480	GREENLEE,	AMERICAN MUTUAL FUND INC CLASS A	80.00	2.20	93.0	0.00	2.05	2.05
12/20/2017	#00082145480	GREENLEE,	GLOBAL BALANCED FUND-A	80.00	2.20	93.0	0.00	2.05	2.05
12/20/2017	#00082145480	GREENLEE,	GROWTH FUND AMERICA INC CLASS A	80.00	2.20	93.0	0.00	2.05	2.05
12/20/2017	#00082145480	GREENLEE,	INCOME FUND OF AMERICA INC CLASS A	80.00	2.20	93.0	0.00	2.05	2.05
12/20/2017	#00082145480	GREENLEE,	WASHINGTON MUTUAL INVS FD CLASS A	80.00	2.20	93.0	0.00	2.05	2.05
12/27/2017	#00088726885	ROOD, NATHANIEL	AMERICAN FDS PORTFOLIO SER GROWTH F	24.92	1.25	93.0	0.00	1.16	1.16
12/27/2017	#00088726885	ROOD, NATHANIEL	AMERICAN FDS PORTFOLIO SER GROWTH F	67.50	3.38	93.0	0.00	3.14	3.14
12/27/2017	#00088726885	ROOD, NATHANIEL	GLOBAL GROWTH PORTFOLIO-A	24.92	1.25	93.0	0.00	1.16	1.16
12/27/2017	#00088726885	ROOD, NATHANIEL	GLOBAL GROWTH PORTFOLIO-A	67.50	3.38	93.0	0.00	3.14	3.14
12/27/2017	#00088726885	ROOD, NATHANIEL	GROWTH AND INCOME PORTFOLIO-A	33.23	1.66	93.0	0.00	1.54	1.54
12/27/2017	#00088726885	ROOD, NATHANIEL	GROWTH AND INCOME PORTFOLIO-A	90.00	4.50	93.0	0.00	4.19	4.19
* MUTUAL FUNDS SUBTOTAL *			\$24,718.14	\$853.94		\$0.00	\$794.21	\$0.00	\$794.21

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/01/2017	#00073771609	MCCLURE, LESLIE	AMCAP FUND INC CLASS A	0.00	9.15	93.0	0.00	8.51	8.51
12/01/2017	#00082145480	GREENLEE,	AMCAP FUND INC CLASS A	0.00	1.26	93.0	0.00	1.17	1.17
12/01/2017	#00086554976	KUIKEN, DAVID	AMCAP FUND INC CLASS A	0.00	4.06	93.0	0.00	3.78	3.78
12/01/2017	#00069311629	CARLEY, RICHARD	AMCAP FUND INC CLASS A	0.00	32.83	93.0	0.00	30.53	30.53
12/01/2017	#00073570837	JOHNSTON,	AMCAP FUND INC CLASS A	0.00	4.09	93.0	0.00	3.80	3.80
12/01/2017	#00075012426	STEVENS, JAMES	AMCAP FUND INC CLASS A	0.00	6.80	93.0	0.00	6.32	6.32
12/01/2017	#00075959045	LINK, MICHELLE	AMCAP FUND INC CLASS A	0.00	5.36	93.0	0.00	4.98	4.98
12/01/2017	#00089133716	LINK, MICHELLE	AMCAP FUND INC CLASS A	0.00	2.47	93.0	0.00	2.30	2.30
12/01/2017	#00086429463	LITTLE, ELLIOTT	AMCAP FUND INC CLASS A	0.00	1.02	93.0	0.00	0.95	0.95
12/01/2017	#00086471590	BOONE, RANDAL	AMCAP FUND INC CLASS A	0.00	14.26	93.0	0.00	13.26	13.26
12/01/2017	#00086559404	BAILEY, KAREN	AMCAP FUND INC CLASS A	0.00	12.33	93.0	0.00	11.47	11.47
12/01/2017	#00086731559	PIERCE,	AMCAP FUND INC CLASS A	0.00	6.18	93.0	0.00	5.75	5.75
12/01/2017	#00087092737	JORDAN, JAMES	AMCAP FUND INC CLASS A	0.00	1.10	93.0	0.00	1.02	1.02
12/01/2017	#00075975866	GREENAWALT,	AMCAP FUND INC CLASS A	0.00	2.93	93.0	0.00	2.72	2.72
12/01/2017	#00081391700	GREENLEE, R	AMCAP FUND INC CLASS A	0.00	0.71	93.0	0.00	0.66	0.66
12/01/2017	#00081716214	TINGLEY,	AMCAP FUND INC CLASS A	0.00	12.61	93.0	0.00	11.73	11.73
12/01/2017	#00084440582	SOSTARICH, ALEX	AMCAP FUND INC CLASS A	0.00	1.72	93.0	0.00	1.60	1.60

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00084706273	HENRICHS, NELDA	AMCAP FUND INC CLASS A	0.00	8.83	93.0	0.00	8.21	8.21
12/01/2017	#00085057300	CARTER, GARY	AMCAP FUND INC CLASS A	0.00	3.07	93.0	0.00	2.86	2.86
12/01/2017	#00085057868		AMCAP FUND INC CLASS A	0.00	5.14	93.0	0.00	4.78	4.78
12/01/2017	#00085062501	CARTER, GARY	AMCAP FUND INC CLASS A	0.00	11.27	93.0	0.00	10.48	10.48
12/01/2017	#00084093970	YEATES, NORA	AMERICAN BALANCED FUND INC CLASS A	0.00	11.71	93.0	0.00	10.89	10.89
12/01/2017	#00073570837	JOHNSTON,	AMERICAN BALANCED FUND INC CLASS A	0.00	6.07	93.0	0.00	5.65	5.65
12/01/2017	#00075084357	HARVEY, ANTONIO	AMERICAN BALANCED FUND INC CLASS A	0.00	8.43	93.0	0.00	7.84	7.84
12/01/2017	#04000073507		AMERICAN BALANCED FUND INC CLASS A	0.00	2.71	93.0	0.00	2.52	2.52
12/01/2017	#04000092547		AMERICAN BALANCED FUND INC CLASS A	0.00	1.56	93.0	0.00	1.45	1.45
12/01/2017	#00075975866	GREENAWALT,	AMERICAN BALANCED FUND INC CLASS A	0.00	2.22	93.0	0.00	2.06	2.06
12/01/2017	#00082284073	HENRICHS, NELDA	AMERICAN BALANCED FUND INC CLASS A	0.00	4.26	93.0	0.00	3.96	3.96
12/01/2017	#00084885189	HENRICHS, NELDA	AMERICAN BALANCED FUND INC CLASS A	0.00	8.87	93.0	0.00	8.25	8.25
12/01/2017	#00067490088	LOVELL, ASHLEY	AMERICAN BALANCED FUND-529A	0.00	1.91	93.0	0.00	1.78	1.78
12/01/2017	#00075019244	STEVENS, JAMES	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	18.69	93.0	0.00	17.38	17.38
12/01/2017	#00086934802	KARR, JAY	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	6.00	93.0	0.00	5.58	5.58
12/01/2017	#00081391700	GREENLEE, R	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	0.40	93.0	0.00	0.37	0.37
12/01/2017	#00081708237	TINGLEY, JOHN	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	12.53	93.0	0.00	11.65	11.65
12/01/2017	#00084044679	DUFRAIN, SHARON	AMERICAN FDS DEVELOPING WORLD GROWT	0.00	2.36	93.0	0.00	2.19	2.19
12/01/2017	#00084093970	YEATES, NORA	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	9.12	93.0	0.00	8.48	8.48
12/01/2017	#00088726885	ROOD, NATHANIEL	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	1.71	93.0	0.00	1.59	1.59
12/01/2017	#00066994403		AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	2.76	93.0	0.00	2.57	2.57
12/01/2017	#00087989647	STEVENS, JAMES	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	49.31	93.0	0.00	45.86	45.86
12/01/2017	#00088037021		AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	3.49	93.0	0.00	3.25	3.25
12/01/2017	#00088978687		AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	54.63	93.0	0.00	50.81	50.81
12/01/2017	#00089339323	KING, LINDSAY	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	2.45	93.0	0.00	2.28	2.28
12/01/2017	#00089360005	MCCLURE, LESLIE	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	3.93	93.0	0.00	3.65	3.65
12/01/2017	#00086674610	BAILEY, KAREN	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	1.47	93.0	0.00	1.37	1.37
12/01/2017	#00087119085	TINGLEY, JOHN	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	7.20	93.0	0.00	6.70	6.70
12/01/2017	#00087146597	IL/TOD	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	4.61	93.0	0.00	4.29	4.29
12/01/2017	#00087249463		AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	12.57	93.0	0.00	11.69	11.69
12/01/2017	#00087350673		AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	1.85	93.0	0.00	1.72	1.72
12/01/2017	#00081716214	TINGLEY,	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	14.07	93.0	0.00	13.09	13.09
12/01/2017	#00085057808	CARTER, GARY	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	3.21	93.0	0.00	2.99	2.99
12/01/2017	#00085062501	CARTER, GARY	AMERICAN FDS PORTFOLIO SER GROWTH F	0.00	10.69	93.0	0.00	9.94	9.94
12/01/2017	#00085057300	CARTER, GARY	AMERICAN FUNDS 02629H109	0.00	2.01	93.0	0.00	1.87	1.87
12/01/2017	#00087266963	MEEKERJENN	AMERICAN FUNDS 02630D303	0.00	2.46	93.0	0.00	2.29	2.29

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00087266963	MEEKERJENN	AMERICAN FUNDS 02630R583	0.00	3.61	93.0	0.00	3.36	3.36
12/01/2017	#00087266963	MEEKERJENN	AMERICAN FUNDS 02630Y240	0.00	5.99	93.0	0.00	5.57	5.57
12/01/2017	#00086841916	MARTHODROT	AMERICAN FUNDS 02631L106	0.00	59.31	93.0	0.00	55.16	55.16
12/01/2017	#00086021648	DIONNE, DONNA	AMERICAN FUNDS 02631L825	0.00	24.01	93.0	0.00	22.33	22.33
12/01/2017	#00084093970	YEATES, NORA	AMERICAN FUNDS 2010 TARGET DATE-A	0.00	10.80	93.0	0.00	10.04	10.04
12/01/2017	#00086674610	BAILEY, KAREN	AMERICAN FUNDS 2010 TARGET DATE-A	0.00	5.30	93.0	0.00	4.93	4.93
12/01/2017	#00086982744	KOERNER, MARY	AMERICAN FUNDS 2010 TARGET DATE-A	0.00	8.21	93.0	0.00	7.64	7.64
12/01/2017	#00086982724	KOERNER, ROGER	AMERICAN FUNDS 2010 TARGET DATE-A	0.00	9.81	93.0	0.00	9.12	9.12
12/01/2017	#00085066155	JOHNSON, MARY	AMERICAN FUNDS 2010 TARGET DATE-A	0.00	45.62	93.0	0.00	42.43	42.43
12/01/2017	#00083223386	JOHNSTON,	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	20.31	93.0	0.00	18.89	18.89
12/01/2017	#00083223508	JOHNSTON,	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	22.38	93.0	0.00	20.81	20.81
12/01/2017	#00089124455		AMERICAN FUNDS 2015 TARGET DATE-A	0.00	48.91	93.0	0.00	45.49	45.49
12/01/2017	#00083869206	FARMAN,	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	20.93	93.0	0.00	19.46	19.46
12/01/2017	#00085161492	GREENAWALT,	AMERICAN FUNDS 2015 TARGET DATE-A	0.00	23.25	93.0	0.00	21.62	21.62
12/01/2017	#00084174737	PIERCE,	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	14.97	93.0	0.00	13.92	13.92
12/01/2017	#00086554949	CRISP, KRISTEN	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	12.98	93.0	0.00	12.07	12.07
12/01/2017	#00086554976	KUIKEN, DAVID	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	20.50	93.0	0.00	19.07	19.07
12/01/2017	#00088516505	YAKIMA, CYNTHIA	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	4.39	93.0	0.00	4.08	4.08
12/01/2017	#00063858242	KARR, DONNA	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	61.58	93.0	0.00	57.27	57.27
12/01/2017	#00063976641	KARR, DONNA	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	5.21	93.0	0.00	4.85	4.85
12/01/2017	#00063976642	KARR, JAY	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	5.21	93.0	0.00	4.85	4.85
12/01/2017	#00088710798		AMERICAN FUNDS 2020 TARGET DATE-A	0.00	39.94	93.0	0.00	37.14	37.14
12/01/2017	#00086674610	BAILEY, KAREN	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	2.46	93.0	0.00	2.29	2.29
12/01/2017	#00086693838	PIERCE,	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	8.55	93.0	0.00	7.95	7.95
12/01/2017	#00086965104	KARR, JAY	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	161.21	93.0	0.00	149.93	149.93
12/01/2017	#00085694409	GREENAWALT,	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	9.34	93.0	0.00	8.69	8.69
12/01/2017	#00084044679	DUFRAIN, SHARON	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	7.68	93.0	0.00	7.14	7.14
12/01/2017	#00084706273	HENRICHS, NELDA	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	44.35	93.0	0.00	41.25	41.25
12/01/2017	#00085057808	CARTER, GARY	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	4.47	93.0	0.00	4.16	4.16
12/01/2017	#00085062501	CARTER, GARY	AMERICAN FUNDS 2020 TARGET DATE-A	0.00	101.73	93.0	0.00	94.61	94.61
12/01/2017	#00085254551		AMERICAN FUNDS 2020 TARGET DATE-A	0.00	323.13	93.0	0.00	300.51	300.51
12/01/2017	#00085555044	ARTHURRHON	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	2.05	93.0	0.00	1.91	1.91
12/01/2017	#00085555083	ARRTHURKEVI	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	2.30	93.0	0.00	2.14	2.14
12/01/2017	#00085651034	KEEN, MARK	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	13.41	93.0	0.00	12.47	12.47
12/01/2017	#00085651049	KEEN, JOANNE	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	11.51	93.0	0.00	10.70	10.70
12/01/2017	#00088726870	COSSIDENTE	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	3.38	93.0	0.00	3.14	3.14

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00089478928	SURRATTRON	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	4.14	93.0	0.00	3.85	3.85
12/01/2017	#00089405854	FAIRFIELD,	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	3.82	93.0	0.00	3.55	3.55
12/01/2017	#00086471590	BOONE, RANDAL	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	61.78	93.0	0.00	57.46	57.46
12/01/2017	#00086622105	DONATO, GERALD	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	0.26	93.0	0.00	0.24	0.24
12/01/2017	#00087119085	TINGLEY, JOHN	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	6.38	93.0	0.00	5.93	5.93
12/01/2017	#00081708237	TINGLEY, JOHN	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	39.18	93.0	0.00	36.44	36.44
12/01/2017	#00081716214	TINGLEY,	AMERICAN FUNDS 2025 TARGET DATE-A	0.00	16.70	93.0	0.00	15.53	15.53
12/01/2017	#00084768483		AMERICAN FUNDS 2025 TARGET DATE-A	0.00	3.09	93.0	0.00	2.87	2.87
12/01/2017	#00089299775	MOFFITTDV	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	3.47	93.0	0.00	3.23	3.23
12/01/2017	#04000208238	ROMACLAWRE	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	0.24	93.0	0.00	0.22	0.22
12/01/2017	#00084327010	ROOD, NATHANIEL	AMERICAN FUNDS 2030 TARGET DATE-A	0.00	18.41	93.0	0.00	17.12	17.12
12/01/2017	#00087499628	WILLIAMS, RO	AMERICAN FUNDS 2035 TARGET DATE-A	0.00	14.65	93.0	0.00	13.62	13.62
12/01/2017	#00089608708	SHELTONTRA	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	1.37	93.0	0.00	1.27	1.27
12/01/2017	#00086555021	DONLEY, PATRICK	AMERICAN FUNDS 2040 TARGET DATE-A	0.00	13.56	93.0	0.00	12.61	12.61
12/01/2017	#00084440533	KUHLMANN,	AMERICAN FUNDS 2045 TARGET DATE-A	0.00	18.14	93.0	0.00	16.87	16.87
12/01/2017	#00089210188	GAMBLEMELI	AMERICAN FUNDS 2045 TARGET DATE-A	0.00	4.72	93.0	0.00	4.39	4.39
12/01/2017	#00084440582	SOSTARICH, ALEX	AMERICAN FUNDS INCOME SERIES U S GO	0.00	0.29	93.0	0.00	0.27	0.27
12/01/2017	#00088516700	CAMISTIMOT	AMERICAN FUNDS TARGET DATE RETIREME	0.00	3.29	93.0	0.00	3.06	3.06
12/01/2017	#00082103952	HOPKINS, DANA	AMERICAN FUNDS THE TAX EXEMPT BOND	0.00	4.06	93.0	0.00	3.78	3.78
12/01/2017	#00086882720	BROWN, DENISE	AMERICAN HIGH INCOME TR CL C	0.00	2.87	93.0	0.00	2.67	2.67
12/01/2017	#00073771609	MCCLURE, LESLIE	AMERICAN HIGH INCOME TR SH BEN INT	0.00	16.87	93.0	0.00	15.69	15.69
12/01/2017	#00074647782	LUSTIG, ROBERT	AMERICAN HIGH INCOME TR SH BEN INT	0.00	0.33	93.0	0.00	0.31	0.31
12/01/2017	#00081732143	SMITH, MARK	AMERICAN HIGH INCOME TR SH BEN INT	0.00	4.12	93.0	0.00	3.83	3.83
12/01/2017	#00082145480	GREENLEE,	AMERICAN HIGH INCOME TR SH BEN INT	0.00	5.72	93.0	0.00	5.32	5.32
12/01/2017	#00063858242	KARR, DONNA	AMERICAN HIGH INCOME TR SH BEN INT	0.00	3.89	93.0	0.00	3.62	3.62
12/01/2017	#00075012426	STEVENS, JAMES	AMERICAN HIGH INCOME TR SH BEN INT	0.00	17.60	93.0	0.00	16.37	16.37
12/01/2017	#00075959045	LINK, MICHELLE	AMERICAN HIGH INCOME TR SH BEN INT	0.00	2.35	93.0	0.00	2.19	2.19
12/01/2017	#04000073507		AMERICAN HIGH INCOME TR SH BEN INT	0.00	0.69	93.0	0.00	0.64	0.64
12/01/2017	#04000092547		AMERICAN HIGH INCOME TR SH BEN INT	0.00	0.40	93.0	0.00	0.37	0.37
12/01/2017	#00087989647	STEVENS, JAMES	AMERICAN HIGH INCOME TR SH BEN INT	0.00	30.02	93.0	0.00	27.92	27.92
12/01/2017	#00089133716	LINK, MICHELLE	AMERICAN HIGH INCOME TR SH BEN INT	0.00	1.56	93.0	0.00	1.45	1.45
12/01/2017	#00086674610	BAILEY, KAREN	AMERICAN HIGH INCOME TR SH BEN INT	0.00	1.39	93.0	0.00	1.29	1.29
12/01/2017	#00086731559	PIERCE,	AMERICAN HIGH INCOME TR SH BEN INT	0.00	6.15	93.0	0.00	5.72	5.72
12/01/2017	#00086872436	KLONOWSKI,	AMERICAN HIGH INCOME TR SH BEN INT	0.00	1.53	93.0	0.00	1.42	1.42
12/01/2017	#00084706273	HENRICHS, NELDA	AMERICAN HIGH INCOME TR SH BEN INT	0.00	6.98	93.0	0.00	6.49	6.49
12/01/2017	#00085062501	CARTER, GARY	AMERICAN HIGH INCOME TR SH BEN INT	0.00	12.25	93.0	0.00	11.39	11.39

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00087249463	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	6.83	93.0	0.00	6.35	0.00	6.35
12/01/2017	#00082103952 HOPKINS, DANA	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	4.09	93.0	0.00	3.80	0.00	3.80
12/01/2017	#00084330324 ROOD, NATHANIEL	AMERICAN HIGH-INCOME MUNI BOND FUND	0.00	4.65	93.0	0.00	4.32	0.00	4.32
12/01/2017	#00089729021	AMERICAN MUTUAL FUND INC CL C	0.00	14.02	93.0	0.00	13.04	0.00	13.04
12/01/2017	#00086835678 LARSEN, LANA	AMERICAN MUTUAL FUND INC CL C	0.00	6.70	93.0	0.00	6.23	0.00	6.23
12/01/2017	#00086882720 BROWN, DENISE	AMERICAN MUTUAL FUND INC CL C	0.00	8.22	93.0	0.00	7.64	0.00	7.64
12/01/2017	#00084330324 ROOD, NATHANIEL	AMERICAN MUTUAL FUND INC CL C	0.00	17.18	93.0	0.00	15.98	0.00	15.98
12/01/2017	#00073771609 MCCLURE, LESLIE	AMERICAN MUTUAL FUND INC CLASS A	0.00	7.54	93.0	0.00	7.01	0.00	7.01
12/01/2017	#00081732143 SMITH, MARK	AMERICAN MUTUAL FUND INC CLASS A	0.00	4.92	93.0	0.00	4.58	0.00	4.58
12/01/2017	#00082145480 GREENLEE,	AMERICAN MUTUAL FUND INC CLASS A	0.00	8.68	93.0	0.00	8.07	0.00	8.07
12/01/2017	#00084093970 YEATES, NORA	AMERICAN MUTUAL FUND INC CLASS A	0.00	8.41	93.0	0.00	7.82	0.00	7.82
12/01/2017	#00085555083 ARRTHURKEVI	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.12	93.0	0.00	1.04	0.00	1.04
12/01/2017	#00064165871 UNTIL AGE 21,	AMERICAN MUTUAL FUND INC CLASS A	0.00	3.73	93.0	0.00	3.47	0.00	3.47
12/01/2017	#00065191215 CARLEY, DOROTHY	AMERICAN MUTUAL FUND INC CLASS A	0.00	11.26	93.0	0.00	10.47	0.00	10.47
12/01/2017	#00069311629 CARLEY, RICHARD	AMERICAN MUTUAL FUND INC CLASS A	0.00	14.97	93.0	0.00	13.92	0.00	13.92
12/01/2017	#00073570837 JOHNSTON,	AMERICAN MUTUAL FUND INC CLASS A	0.00	9.67	93.0	0.00	8.99	0.00	8.99
12/01/2017	#00074675547 GREENAWALT,	AMERICAN MUTUAL FUND INC CLASS A	0.00	6.09	93.0	0.00	5.66	0.00	5.66
12/01/2017	#00075012426 STEVENS, JAMES	AMERICAN MUTUAL FUND INC CLASS A	0.00	13.44	93.0	0.00	12.50	0.00	12.50
12/01/2017	#00075084357 HARVEY, ANTONIO	AMERICAN MUTUAL FUND INC CLASS A	0.00	8.24	93.0	0.00	7.66	0.00	7.66
12/01/2017	#00075959045 LINK, MICHELLE	AMERICAN MUTUAL FUND INC CLASS A	0.00	2.81	93.0	0.00	2.61	0.00	2.61
12/01/2017	#04000073507	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.62	93.0	0.00	1.51	0.00	1.51
12/01/2017	#04000092547	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.10	93.0	0.00	1.02	0.00	1.02
12/01/2017	#04000506110	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.35	93.0	0.00	1.26	0.00	1.26
12/01/2017	#00087989647 STEVENS, JAMES	AMERICAN MUTUAL FUND INC CLASS A	0.00	37.10	93.0	0.00	34.50	0.00	34.50
12/01/2017	#00089133716 LINK, MICHELLE	AMERICAN MUTUAL FUND INC CLASS A	0.00	3.64	93.0	0.00	3.39	0.00	3.39
12/01/2017	#00089726999 ANDREWS,	AMERICAN MUTUAL FUND INC CLASS A	0.00	0.16	93.0	0.00	0.15	0.00	0.15
12/01/2017	#00089727839	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.51	93.0	0.00	1.40	0.00	1.40
12/01/2017	#00086471590 BOONE, RANDAL	AMERICAN MUTUAL FUND INC CLASS A	0.00	12.90	93.0	0.00	12.00	0.00	12.00
12/01/2017	#00086731559 PIERCE,	AMERICAN MUTUAL FUND INC CLASS A	0.00	5.75	93.0	0.00	5.35	0.00	5.35
12/01/2017	#00086835678 LARSEN, LANA	AMERICAN MUTUAL FUND INC CLASS A	0.00	0.19	93.0	0.00	0.18	0.00	0.18
12/01/2017	#00086872436 KLONOWSKI,	AMERICAN MUTUAL FUND INC CLASS A	0.00	4.17	93.0	0.00	3.88	0.00	3.88
12/01/2017	#00086934802 KARR, JAY	AMERICAN MUTUAL FUND INC CLASS A	0.00	4.14	93.0	0.00	3.85	0.00	3.85
12/01/2017	#00087092737 JORDAN, JAMES	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.21	93.0	0.00	1.13	0.00	1.13
12/01/2017	#00087146597 IL/TOD	AMERICAN MUTUAL FUND INC CLASS A	0.00	2.98	93.0	0.00	2.77	0.00	2.77
12/01/2017	#00087249463	AMERICAN MUTUAL FUND INC CLASS A	0.00	25.34	93.0	0.00	23.57	0.00	23.57
12/01/2017	#00087760162 TINGLEY, JOHN	AMERICAN MUTUAL FUND INC CLASS A	0.00	3.49	93.0	0.00	3.25	0.00	3.25

COMMISSION STATEMENT for RICHARD BARRONE

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00082103952	HOPKINS, DANA	AMERICAN MUTUAL FUND INC CLASS A	0.00	9.33	93.0	0.00	8.68	8.68
12/01/2017	#00082284073	HENRICHS, NELDA	AMERICAN MUTUAL FUND INC CLASS A	0.00	3.55	93.0	0.00	3.30	3.30
12/01/2017	#00084044679	DUFRAIN, SHARON	AMERICAN MUTUAL FUND INC CLASS A	0.00	2.81	93.0	0.00	2.61	2.61
12/01/2017	#00084440582	SOSTARICH, ALEX	AMERICAN MUTUAL FUND INC CLASS A	0.00	1.67	93.0	0.00	1.55	1.55
12/01/2017	#00084706273	HENRICHS, NELDA	AMERICAN MUTUAL FUND INC CLASS A	0.00	15.93	93.0	0.00	14.81	14.81
12/01/2017	#00085057300	CARTER, GARY	AMERICAN MUTUAL FUND INC CLASS A	0.00	3.55	93.0	0.00	3.30	3.30
12/01/2017	#00085057868		AMERICAN MUTUAL FUND INC CLASS A	0.00	4.34	93.0	0.00	4.04	4.04
12/01/2017	#00085288543	STEVENS, JAMES	AMERICAN MUTUAL FUND INC CLASS A	0.00	6.68	93.0	0.00	6.21	6.21
12/01/2017	#00085341614	HOPKINS, DANA	AMERICAN MUTUAL FUND INC CLASS A	0.00	0.29	93.0	0.00	0.27	0.27
12/01/2017	#00088516505	YAKIMA, CYNTHIA	BALANCED PORTFOLIO-A	0.00	31.26	93.0	0.00	29.07	29.07
12/01/2017	#00088978687		BALANCED PORTFOLIO-A	0.00	126.25	93.0	0.00	117.41	117.41
12/01/2017	#00087146597	IL/TOD	BALANCED PORTFOLIO-A	0.00	19.46	93.0	0.00	18.10	18.10
12/01/2017	#00086835678	LARSEN, LANA	BOND FUND AMER INC CL C	0.00	4.02	93.0	0.00	3.74	3.74
12/01/2017	#00074647782	LUSTIG, ROBERT	BOND FUND OF AMERICA INC CL A	0.00	0.42	93.0	0.00	0.39	0.39
12/01/2017	#00081732143	SMITH, MARK	BOND FUND OF AMERICA INC CL A	0.00	4.72	93.0	0.00	4.39	4.39
12/01/2017	#00082145480	GREENLEE,	BOND FUND OF AMERICA INC CL A	0.00	1.70	93.0	0.00	1.58	1.58
12/01/2017	#00088516505	YAKIMA, CYNTHIA	BOND FUND OF AMERICA INC CL A	0.00	25.85	93.0	0.00	24.04	24.04
12/01/2017	#00075012426	STEVENS, JAMES	BOND FUND OF AMERICA INC CL A	0.00	14.03	93.0	0.00	13.05	13.05
12/01/2017	#00075084357	HARVEY, ANTONIO	BOND FUND OF AMERICA INC CL A	0.00	5.22	93.0	0.00	4.85	4.85
12/01/2017	#04000073507		BOND FUND OF AMERICA INC CL A	0.00	1.26	93.0	0.00	1.17	1.17
12/01/2017	#04000092547		BOND FUND OF AMERICA INC CL A	0.00	0.73	93.0	0.00	0.68	0.68
12/01/2017	#00088978687		BOND FUND OF AMERICA INC CL A	0.00	46.17	93.0	0.00	42.94	42.94
12/01/2017	#00089405854	FAIRFIELD,	BOND FUND OF AMERICA INC CL A	0.00	1.08	93.0	0.00	1.00	1.00
12/01/2017	#00086559404	BAILEY, KAREN	BOND FUND OF AMERICA INC CL A	0.00	5.24	93.0	0.00	4.87	4.87
12/01/2017	#00086731559	PIERCE,	BOND FUND OF AMERICA INC CL A	0.00	5.30	93.0	0.00	4.93	4.93
12/01/2017	#00086872436	KLONOWSKI,	BOND FUND OF AMERICA INC CL A	0.00	2.67	93.0	0.00	2.48	2.48
12/01/2017	#00087092737	JORDAN, JAMES	BOND FUND OF AMERICA INC CL A	0.00	1.29	93.0	0.00	1.20	1.20
12/01/2017	#00087249463		BOND FUND OF AMERICA INC CL A	0.00	6.42	93.0	0.00	5.97	5.97
12/01/2017	#00081391700	GREENLEE, R	BOND FUND OF AMERICA INC CL A	0.00	0.19	93.0	0.00	0.18	0.18
12/01/2017	#00082284073	HENRICHS, NELDA	BOND FUND OF AMERICA INC CL A	0.00	3.34	93.0	0.00	3.11	3.11
12/01/2017	#00084044679	DUFRAIN, SHARON	BOND FUND OF AMERICA INC CL A	0.00	2.45	93.0	0.00	2.28	2.28
12/01/2017	#00084440582	SOSTARICH, ALEX	BOND FUND OF AMERICA INC CL A	0.00	0.64	93.0	0.00	0.60	0.60
12/01/2017	#00084706273	HENRICHS, NELDA	BOND FUND OF AMERICA INC CL A	0.00	6.67	93.0	0.00	6.20	6.20
12/01/2017	#00086835678	LARSEN, LANA	CAPITAL INCOME BLDR FUND CL C	0.00	5.37	93.0	0.00	4.99	4.99
12/01/2017	#00086882720	BROWN, DENISE	CAPITAL INCOME BLDR FUND CL C	0.00	6.52	93.0	0.00	6.06	6.06
12/01/2017	#00084330324	ROOD, NATHANIEL	CAPITAL INCOME BLDR FUND CL C	0.00	15.49	93.0	0.00	14.41	14.41

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00081732143 SMITH, MARK	CAPITAL INCOME BUILDER FD CL A	0.00	3.88	93.0	0.00	3.61	0.00	3.61
12/01/2017	#00082145480 GREENLEE,	CAPITAL INCOME BUILDER FD CL A	0.00	1.91	93.0	0.00	1.78	0.00	1.78
12/01/2017	#00084093970 YEATES, NORA	CAPITAL INCOME BUILDER FD CL A	0.00	12.81	93.0	0.00	11.91	0.00	11.91
12/01/2017	#00085555044 ARTHURRHON	CAPITAL INCOME BUILDER FD CL A	0.00	1.12	93.0	0.00	1.04	0.00	1.04
12/01/2017	#00085555083 ARTHURKEVI	CAPITAL INCOME BUILDER FD CL A	0.00	2.14	93.0	0.00	1.99	0.00	1.99
12/01/2017	#00065191215 CARLEY, DOROTHY	CAPITAL INCOME BUILDER FD CL A	0.00	0.19	93.0	0.00	0.18	0.00	0.18
12/01/2017	#00069311629 CARLEY, RICHARD	CAPITAL INCOME BUILDER FD CL A	0.00	17.47	93.0	0.00	16.25	0.00	16.25
12/01/2017	#00073570837 JOHNSTON,	CAPITAL INCOME BUILDER FD CL A	0.00	31.87	93.0	0.00	29.64	0.00	29.64
12/01/2017	#00074151999 GREENAWALT,	CAPITAL INCOME BUILDER FD CL A	0.00	0.98	93.0	0.00	0.91	0.00	0.91
12/01/2017	#00074675547 GREENAWALT,	CAPITAL INCOME BUILDER FD CL A	0.00	1.51	93.0	0.00	1.40	0.00	1.40
12/01/2017	#00075012426 STEVENS, JAMES	CAPITAL INCOME BUILDER FD CL A	0.00	11.94	93.0	0.00	11.10	0.00	11.10
12/01/2017	#00075019244 STEVENS, JAMES	CAPITAL INCOME BUILDER FD CL A	0.00	20.42	93.0	0.00	18.99	0.00	18.99
12/01/2017	#00075084357 HARVEY, ANTONIO	CAPITAL INCOME BUILDER FD CL A	0.00	7.41	93.0	0.00	6.89	0.00	6.89
12/01/2017	#00075959045 LINK, MICHELLE	CAPITAL INCOME BUILDER FD CL A	0.00	0.92	93.0	0.00	0.86	0.00	0.86
12/01/2017	#04000073507	CAPITAL INCOME BUILDER FD CL A	0.00	1.55	93.0	0.00	1.44	0.00	1.44
12/01/2017	#04000092547	CAPITAL INCOME BUILDER FD CL A	0.00	0.89	93.0	0.00	0.83	0.00	0.83
12/01/2017	#00089133716 LINK, MICHELLE	CAPITAL INCOME BUILDER FD CL A	0.00	2.78	93.0	0.00	2.59	0.00	2.59
12/01/2017	#00086429463 LITTLE, ELLIOTT	CAPITAL INCOME BUILDER FD CL A	0.00	0.79	93.0	0.00	0.73	0.00	0.73
12/01/2017	#00086731559 PIERCE,	CAPITAL INCOME BUILDER FD CL A	0.00	4.61	93.0	0.00	4.29	0.00	4.29
12/01/2017	#00086872436 KLONOWSKI,	CAPITAL INCOME BUILDER FD CL A	0.00	3.37	93.0	0.00	3.13	0.00	3.13
12/01/2017	#00086934802 KARR, JAY	CAPITAL INCOME BUILDER FD CL A	0.00	3.30	93.0	0.00	3.07	0.00	3.07
12/01/2017	#00087092737 JORDAN, JAMES	CAPITAL INCOME BUILDER FD CL A	0.00	1.62	93.0	0.00	1.51	0.00	1.51
12/01/2017	#00087146597 IL/TOD	CAPITAL INCOME BUILDER FD CL A	0.00	5.72	93.0	0.00	5.32	0.00	5.32
12/01/2017	#00087249463	CAPITAL INCOME BUILDER FD CL A	0.00	34.56	93.0	0.00	32.14	0.00	32.14
12/01/2017	#00085555136 ARTHURKEVI	CAPITAL INCOME BUILDER FD CL A	0.00	0.88	93.0	0.00	0.82	0.00	0.82
12/01/2017	#00081391700 GREENLEE, R	CAPITAL INCOME BUILDER FD CL A	0.00	0.37	93.0	0.00	0.34	0.00	0.34
12/01/2017	#00082103952 HOPKINS, DANA	CAPITAL INCOME BUILDER FD CL A	0.00	7.22	93.0	0.00	6.71	0.00	6.71
12/01/2017	#00084885189 HENRICHS, NELDA	CAPITAL INCOME BUILDER FD CL A	0.00	5.32	93.0	0.00	4.95	0.00	4.95
12/01/2017	#00085057300 CARTER, GARY	CAPITAL INCOME BUILDER FD CL A	0.00	2.57	93.0	0.00	2.39	0.00	2.39
12/01/2017	#00085341614 HOPKINS, DANA	CAPITAL INCOME BUILDER FD CL A	0.00	0.28	93.0	0.00	0.26	0.00	0.26
12/01/2017	#00074647782 LUSTIG, ROBERT	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	0.27	93.0	0.00	0.25	0.00	0.25
12/01/2017	#00081732143 SMITH, MARK	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	1.68	93.0	0.00	1.56	0.00	1.56
12/01/2017	#00075012426 STEVENS, JAMES	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	15.95	93.0	0.00	14.83	0.00	14.83
12/01/2017	#00086731559 PIERCE,	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	4.99	93.0	0.00	4.64	0.00	4.64
12/01/2017	#00086872436 KLONOWSKI,	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	1.26	93.0	0.00	1.17	0.00	1.17
12/01/2017	#00081391700 GREENLEE, R	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	0.26	93.0	0.00	0.24	0.00	0.24

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00084044679	DUFRAIN, SHARON	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	2.17	93.0	0.00	2.02	2.02
12/01/2017	#00084706273	HENRICHS, NELDA	CAPITAL WORLD BOND FUND-SBI CLASS A	0.00	6.47	93.0	0.00	6.02	6.02
12/01/2017	#00081732143	SMITH, MARK	CAPITAL WORLD GROWTH & INCOME FUND	0.00	4.34	93.0	0.00	4.04	4.04
12/01/2017	#00084093970	YEATES, NORA	CAPITAL WORLD GROWTH & INCOME FUND	0.00	4.61	93.0	0.00	4.29	4.29
12/01/2017	#00088516505	YAKIMA, CYNTHIA	CAPITAL WORLD GROWTH & INCOME FUND	0.00	4.96	93.0	0.00	4.61	4.61
12/01/2017	#00069311629	CARLEY, RICHARD	CAPITAL WORLD GROWTH & INCOME FUND	0.00	21.55	93.0	0.00	20.04	20.04
12/01/2017	#00074675547	GREENAWALT,	CAPITAL WORLD GROWTH & INCOME FUND	0.00	2.68	93.0	0.00	2.49	2.49
12/01/2017	#00075019244	STEVENS, JAMES	CAPITAL WORLD GROWTH & INCOME FUND	0.00	12.72	93.0	0.00	11.83	11.83
12/01/2017	#00075084357	HARVEY, ANTONIO	CAPITAL WORLD GROWTH & INCOME FUND	0.00	6.25	93.0	0.00	5.81	5.81
12/01/2017	#00075959045	LINK, MICHELLE	CAPITAL WORLD GROWTH & INCOME FUND	0.00	1.13	93.0	0.00	1.05	1.05
12/01/2017	#00086882720	BROWN, DENISE	CAPITAL WORLD GROWTH & INCOME FUND	0.00	2.44	93.0	0.00	2.27	2.27
12/01/2017	#00086934802	KARR, JAY	CAPITAL WORLD GROWTH & INCOME FUND	0.00	3.84	93.0	0.00	3.57	3.57
12/01/2017	#00087092737	JORDAN, JAMES	CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.91	93.0	0.00	0.85	0.85
12/01/2017	#00087146597	IL/TOD	CAPITAL WORLD GROWTH & INCOME FUND	0.00	5.62	93.0	0.00	5.23	5.23
12/01/2017	#00081708237	TINGLEY, JOHN	CAPITAL WORLD GROWTH & INCOME FUND	0.00	12.70	93.0	0.00	11.81	11.81
12/01/2017	#00082103952	HOPKINS, DANA	CAPITAL WORLD GROWTH & INCOME FUND	0.00	7.95	93.0	0.00	7.39	7.39
12/01/2017	#00084927475	TINGLEY,	CAPITAL WORLD GROWTH & INCOME FUND	0.00	6.39	93.0	0.00	5.94	5.94
12/01/2017	#00085288543	STEVENS, JAMES	CAPITAL WORLD GROWTH & INCOME FUND	0.00	2.77	93.0	0.00	2.58	2.58
12/01/2017	#00085341614	HOPKINS, DANA	CAPITAL WORLD GROWTH & INCOME FUND	0.00	0.32	93.0	0.00	0.30	0.30
12/01/2017	#00081732143	SMITH, MARK	EURO PACIFIC GROWTH FUND CLASS A	0.00	1.95	93.0	0.00	1.81	1.81
12/01/2017	#00069311629	CARLEY, RICHARD	EURO PACIFIC GROWTH FUND CLASS A	0.00	6.18	93.0	0.00	5.75	5.75
12/01/2017	#00086835678	LARSEN, LANA	EURO PACIFIC GROWTH FUND CLASS A	0.00	0.37	93.0	0.00	0.34	0.34
12/01/2017	#00081708237	TINGLEY, JOHN	EURO PACIFIC GROWTH FUND CLASS A	0.00	14.65	93.0	0.00	13.62	13.62
12/01/2017	#00084927475	TINGLEY,	EURO PACIFIC GROWTH FUND CLASS A	0.00	9.59	93.0	0.00	8.92	8.92
12/01/2017	#00085341614	HOPKINS, DANA	EURO PACIFIC GROWTH FUND CLASS A	0.00	0.27	93.0	0.00	0.25	0.25
12/01/2017	#00073771609	MCCLURE, LESLIE	FUNDAMENTAL INVESTORS INC CLASS A	0.00	6.98	93.0	0.00	6.49	6.49
12/01/2017	#00082145480	GREENLEE,	FUNDAMENTAL INVESTORS INC CLASS A	0.00	3.02	93.0	0.00	2.81	2.81
12/01/2017	#00084093970	YEATES, NORA	FUNDAMENTAL INVESTORS INC CLASS A	0.00	5.82	93.0	0.00	5.41	5.41
12/01/2017	#00084440533	KUHLMANN,	FUNDAMENTAL INVESTORS INC CLASS A	0.00	8.50	93.0	0.00	7.91	7.91
12/01/2017	#00063858242	KARR, DONNA	FUNDAMENTAL INVESTORS INC CLASS A	0.00	8.14	93.0	0.00	7.57	7.57
12/01/2017	#00063976641	KARR, DONNA	FUNDAMENTAL INVESTORS INC CLASS A	0.00	4.97	93.0	0.00	4.62	4.62
12/01/2017	#00069311629	CARLEY, RICHARD	FUNDAMENTAL INVESTORS INC CLASS A	0.00	23.97	93.0	0.00	22.29	22.29
12/01/2017	#00073570837	JOHNSTON,	FUNDAMENTAL INVESTORS INC CLASS A	0.00	12.52	93.0	0.00	11.64	11.64
12/01/2017	#00074151999	GREENAWALT,	FUNDAMENTAL INVESTORS INC CLASS A	0.00	2.11	93.0	0.00	1.96	1.96
12/01/2017	#00074675547	GREENAWALT,	FUNDAMENTAL INVESTORS INC CLASS A	0.00	6.34	93.0	0.00	5.90	5.90
12/01/2017	#00075959045	LINK, MICHELLE	FUNDAMENTAL INVESTORS INC CLASS A	0.00	4.04	93.0	0.00	3.76	3.76

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00086429463	LITTLE, ELLIOTT	FUNDAMENTAL INVESTORS INC CLASS A	0.00	1.11	93.0	0.00	1.03	1.03
12/01/2017	#00086934802	KARR, JAY	FUNDAMENTAL INVESTORS INC CLASS A	0.00	4.54	93.0	0.00	4.22	4.22
12/01/2017	#00087092737	JORDAN, JAMES	FUNDAMENTAL INVESTORS INC CLASS A	0.00	1.30	93.0	0.00	1.21	1.21
12/01/2017	#00087249463		FUNDAMENTAL INVESTORS INC CLASS A	0.00	13.77	93.0	0.00	12.81	12.81
12/01/2017	#00081391700	GREENLEE, R	FUNDAMENTAL INVESTORS INC CLASS A	0.00	0.63	93.0	0.00	0.59	0.59
12/01/2017	#00082284073	HENRICHS, NELDA	FUNDAMENTAL INVESTORS INC CLASS A	0.00	2.65	93.0	0.00	2.46	2.46
12/01/2017	#00084440582	SOSTARICH, ALEX	FUNDAMENTAL INVESTORS INC CLASS A	0.00	1.81	93.0	0.00	1.68	1.68
12/01/2017	#00085057808	CARTER, GARY	FUNDAMENTAL INVESTORS INC CLASS A	0.00	2.84	93.0	0.00	2.64	2.64
12/01/2017	#00085288543	STEVENS, JAMES	FUNDAMENTAL INVESTORS INC CLASS A	0.00	3.37	93.0	0.00	3.13	3.13
12/01/2017	#00085341614	HOPKINS, DANA	FUNDAMENTAL INVESTORS INC CLASS A	0.00	0.40	93.0	0.00	0.37	0.37
12/01/2017	#00089729021		FUNDAMENTAL INVS INC CL C	0.00	13.80	93.0	0.00	12.83	12.83
12/01/2017	#00074647782	LUSTIG, ROBERT	GLOBAL BALANCED FUND-A	0.00	0.28	93.0	0.00	0.26	0.26
12/01/2017	#00075960625	CARLEY, RICHARD	GLOBAL BALANCED FUND-A	0.00	4.84	93.0	0.00	4.50	4.50
12/01/2017	#00082145480	GREENLEE,	GLOBAL BALANCED FUND-A	0.00	4.19	93.0	0.00	3.90	3.90
12/01/2017	#00065191215	CARLEY, DOROTHY	GLOBAL BALANCED FUND-A	0.00	15.77	93.0	0.00	14.67	14.67
12/01/2017	#00069311629	CARLEY, RICHARD	GLOBAL BALANCED FUND-A	0.00	25.24	93.0	0.00	23.47	23.47
12/01/2017	#00073570837	JOHNSTON,	GLOBAL BALANCED FUND-A	0.00	22.59	93.0	0.00	21.01	21.01
12/01/2017	#00075012426	STEVENS, JAMES	GLOBAL BALANCED FUND-A	0.00	79.65	93.0	0.00	74.07	74.07
12/01/2017	#00075019244	STEVENS, JAMES	GLOBAL BALANCED FUND-A	0.00	5.38	93.0	0.00	5.00	5.00
12/01/2017	#00075959045	LINK, MICHELLE	GLOBAL BALANCED FUND-A	0.00	1.33	93.0	0.00	1.24	1.24
12/01/2017	#04000506110		GLOBAL BALANCED FUND-A	0.00	0.97	93.0	0.00	0.90	0.90
12/01/2017	#00089133716	LINK, MICHELLE	GLOBAL BALANCED FUND-A	0.00	2.28	93.0	0.00	2.12	2.12
12/01/2017	#00089726999	ANDREWS,	GLOBAL BALANCED FUND-A	0.00	0.12	93.0	0.00	0.11	0.11
12/01/2017	#00089727839		GLOBAL BALANCED FUND-A	0.00	1.08	93.0	0.00	1.00	1.00
12/01/2017	#00085532239	IL/TOD	GLOBAL BALANCED FUND-A	0.00	36.41	93.0	0.00	33.86	33.86
12/01/2017	#00082103952	HOPKINS, DANA	GLOBAL BALANCED FUND-A	0.00	7.51	93.0	0.00	6.98	6.98
12/01/2017	#00085057300	CARTER, GARY	GLOBAL BALANCED FUND-A	0.00	4.08	93.0	0.00	3.79	3.79
12/01/2017	#00088726885	ROOD, NATHANIEL	GLOBAL GROWTH PORTFOLIO-A	0.00	1.71	93.0	0.00	1.59	1.59
12/01/2017	#00066994403		GLOBAL GROWTH PORTFOLIO-A	0.00	2.70	93.0	0.00	2.51	2.51
12/01/2017	#00075012426	STEVENS, JAMES	GLOBAL GROWTH PORTFOLIO-A	0.00	20.83	93.0	0.00	19.37	19.37
12/01/2017	#00087989647	STEVENS, JAMES	GLOBAL GROWTH PORTFOLIO-A	0.00	47.14	93.0	0.00	43.84	43.84
12/01/2017	#00088037021		GLOBAL GROWTH PORTFOLIO-A	0.00	2.99	93.0	0.00	2.78	2.78
12/01/2017	#00088978687		GLOBAL GROWTH PORTFOLIO-A	0.00	53.06	93.0	0.00	49.35	49.35
12/01/2017	#00086674610	BAILEY, KAREN	GLOBAL GROWTH PORTFOLIO-A	0.00	1.38	93.0	0.00	1.28	1.28
12/01/2017	#00087092737	JORDAN, JAMES	GLOBAL GROWTH PORTFOLIO-A	0.00	3.74	93.0	0.00	3.48	3.48
12/01/2017	#00087119085	TINGLEY, JOHN	GLOBAL GROWTH PORTFOLIO-A	0.00	8.18	93.0	0.00	7.61	7.61

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00087146597	IL/TOD	GLOBAL GROWTH PORTFOLIO-A	0.00	6.22	93.0	0.00	5.78	5.78
12/01/2017	#00087249463		GLOBAL GROWTH PORTFOLIO-A	0.00	11.50	93.0	0.00	10.70	10.70
12/01/2017	#00087350673		GLOBAL GROWTH PORTFOLIO-A	0.00	1.74	93.0	0.00	1.62	1.62
12/01/2017	#00084927475	TINGLEY,	GLOBAL GROWTH PORTFOLIO-A	0.00	8.12	93.0	0.00	7.55	7.55
12/01/2017	#00087266963	MEEKERJENN	GROWTH AND INCOME PORFOLIO	0.00	11.41	93.0	0.00	10.61	10.61
12/01/2017	#00073771609	MCCLURE, LESLIE	GROWTH AND INCOME PORTFOLIO-A	0.00	9.26	93.0	0.00	8.61	8.61
12/01/2017	#00088516505	YAKIMA, CYNTHIA	GROWTH AND INCOME PORTFOLIO-A	0.00	31.61	93.0	0.00	29.40	29.40
12/01/2017	#00088726885	ROOD, NATHANIEL	GROWTH AND INCOME PORTFOLIO-A	0.00	2.17	93.0	0.00	2.02	2.02
12/01/2017	#00066994403		GROWTH AND INCOME PORTFOLIO-A	0.00	3.44	93.0	0.00	3.20	3.20
12/01/2017	#00087989647	STEVENS, JAMES	GROWTH AND INCOME PORTFOLIO-A	0.00	69.23	93.0	0.00	64.38	64.38
12/01/2017	#00088037021		GROWTH AND INCOME PORTFOLIO-A	0.00	3.24	93.0	0.00	3.01	3.01
12/01/2017	#00088978687		GROWTH AND INCOME PORTFOLIO-A	0.00	104.01	93.0	0.00	96.73	96.73
12/01/2017	#00089339323	KING, LINDSAY	GROWTH AND INCOME PORTFOLIO-A	0.00	2.35	93.0	0.00	2.19	2.19
12/01/2017	#00087146597	IL/TOD	GROWTH AND INCOME PORTFOLIO-A	0.00	18.38	93.0	0.00	17.09	17.09
12/01/2017	#00087249463		GROWTH AND INCOME PORTFOLIO-A	0.00	24.41	93.0	0.00	22.70	22.70
12/01/2017	#00087350673		GROWTH AND INCOME PORTFOLIO-A	0.00	1.67	93.0	0.00	1.55	1.55
12/01/2017	#00081708237	TINGLEY, JOHN	GROWTH AND INCOME PORTFOLIO-A	0.00	21.06	93.0	0.00	19.59	19.59
12/01/2017	#00081716214	TINGLEY,	GROWTH AND INCOME PORTFOLIO-A	0.00	17.22	93.0	0.00	16.01	16.01
12/01/2017	#00073771609	MCCLURE, LESLIE	GROWTH FUND AMERICA INC CLASS A	0.00	9.78	93.0	0.00	9.10	9.10
12/01/2017	#00075960625	CARLEY, RICHARD	GROWTH FUND AMERICA INC CLASS A	0.00	4.24	93.0	0.00	3.94	3.94
12/01/2017	#00081732143	SMITH, MARK	GROWTH FUND AMERICA INC CLASS A	0.00	2.68	93.0	0.00	2.49	2.49
12/01/2017	#00082145480	GREENLEE,	GROWTH FUND AMERICA INC CLASS A	0.00	10.95	93.0	0.00	10.18	10.18
12/01/2017	#00084093970	YEATES, NORA	GROWTH FUND AMERICA INC CLASS A	0.00	8.31	93.0	0.00	7.73	7.73
12/01/2017	#00086554976	KUIKEN, DAVID	GROWTH FUND AMERICA INC CLASS A	0.00	4.24	93.0	0.00	3.94	3.94
12/01/2017	#00063976642	KARR, JAY	GROWTH FUND AMERICA INC CLASS A	0.00	6.21	93.0	0.00	5.78	5.78
12/01/2017	#00065191215	CARLEY, DOROTHY	GROWTH FUND AMERICA INC CLASS A	0.00	9.50	93.0	0.00	8.84	8.84
12/01/2017	#00069311629	CARLEY, RICHARD	GROWTH FUND AMERICA INC CLASS A	0.00	13.57	93.0	0.00	12.62	12.62
12/01/2017	#00073570837	JOHNSTON,	GROWTH FUND AMERICA INC CLASS A	0.00	7.72	93.0	0.00	7.18	7.18
12/01/2017	#00074675547	GREENAWALT,	GROWTH FUND AMERICA INC CLASS A	0.00	2.83	93.0	0.00	2.63	2.63
12/01/2017	#00075012426	STEVENS, JAMES	GROWTH FUND AMERICA INC CLASS A	0.00	51.08	93.0	0.00	47.50	47.50
12/01/2017	#00075019244	STEVENS, JAMES	GROWTH FUND AMERICA INC CLASS A	0.00	13.89	93.0	0.00	12.92	12.92
12/01/2017	#00075959045	LINK, MICHELLE	GROWTH FUND AMERICA INC CLASS A	0.00	4.37	93.0	0.00	4.06	4.06
12/01/2017	#04000073507		GROWTH FUND AMERICA INC CLASS A	0.00	1.70	93.0	0.00	1.58	1.58
12/01/2017	#04000092547		GROWTH FUND AMERICA INC CLASS A	0.00	0.97	93.0	0.00	0.90	0.90
12/01/2017	#00064165872	UNTIL AGE 21,	GROWTH FUND AMERICA INC CLASS A	0.00	2.24	93.0	0.00	2.08	2.08
12/01/2017	#00086731559	PIERCE,	GROWTH FUND AMERICA INC CLASS A	0.00	6.50	93.0	0.00	6.05	6.05

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00086882720 BROWN, DENISE	GROWTH FUND AMERICA INC CLASS A	0.00	2.83	93.0	0.00	2.63	0.00	2.63
12/01/2017	#00087092737 JORDAN, JAMES	GROWTH FUND AMERICA INC CLASS A	0.00	1.12	93.0	0.00	1.04	0.00	1.04
12/01/2017	#00085532239 IL/TOD	GROWTH FUND AMERICA INC CLASS A	0.00	14.52	93.0	0.00	13.50	0.00	13.50
12/01/2017	#00075975866 GREENAWALT,	GROWTH FUND AMERICA INC CLASS A	0.00	3.00	93.0	0.00	2.79	0.00	2.79
12/01/2017	#00081391700 GREENLEE, R	GROWTH FUND AMERICA INC CLASS A	0.00	0.80	93.0	0.00	0.74	0.00	0.74
12/01/2017	#00082284073 HENRICHS, NELDA	GROWTH FUND AMERICA INC CLASS A	0.00	2.69	93.0	0.00	2.50	0.00	2.50
12/01/2017	#00084440582 SOSTARICH, ALEX	GROWTH FUND AMERICA INC CLASS A	0.00	1.86	93.0	0.00	1.73	0.00	1.73
12/01/2017	#00084706273 HENRICHS, NELDA	GROWTH FUND AMERICA INC CLASS A	0.00	3.00	93.0	0.00	2.79	0.00	2.79
12/01/2017	#00085062501 CARTER, GARY	GROWTH FUND AMERICA INC CLASS A	0.00	14.13	93.0	0.00	13.14	0.00	13.14
12/01/2017	#00085288543 STEVENS, JAMES	GROWTH FUND AMERICA INC CLASS A	0.00	7.55	93.0	0.00	7.02	0.00	7.02
12/01/2017	#00085341614 HOPKINS, DANA	GROWTH FUND AMERICA INC CLASS A	0.00	0.40	93.0	0.00	0.37	0.00	0.37
12/01/2017	#00087266963 MEEKERJENN	GROWTH PORTFOLIO C	0.00	12.32	93.0	0.00	11.46	0.00	11.46
12/01/2017	#00086882720 BROWN, DENISE	GROWTH PORTFOLIO C	0.00	9.70	93.0	0.00	9.02	0.00	9.02
12/01/2017	#00063858242 KARR, DONNA	INCOME FUND AMER INC CL C	0.00	128.60	93.0	0.00	119.60	0.00	119.60
12/01/2017	#00086835678 LARSEN, LANA	INCOME FUND AMER INC CL C	0.00	5.86	93.0	0.00	5.45	0.00	5.45
12/01/2017	#00086882720 BROWN, DENISE	INCOME FUND AMER INC CL C	0.00	7.08	93.0	0.00	6.58	0.00	6.58
12/01/2017	#00086965104 KARR, JAY	INCOME FUND AMER INC CL C	0.00	35.16	93.0	0.00	32.70	0.00	32.70
12/01/2017	#00084330324 ROOD, NATHANIEL	INCOME FUND AMER INC CL C	0.00	14.80	93.0	0.00	13.76	0.00	13.76
12/01/2017	#00064354795 SLOAN, VERNON	INCOME FUND OF AMERICA INC CLASS A	0.00	55.64	93.0	0.00	51.75	0.00	51.75
12/01/2017	#00073771609 MCCLURE, LESLIE	INCOME FUND OF AMERICA INC CLASS A	0.00	17.34	93.0	0.00	16.13	0.00	16.13
12/01/2017	#00074647782 LUSTIG, ROBERT	INCOME FUND OF AMERICA INC CLASS A	0.00	0.85	93.0	0.00	0.79	0.00	0.79
12/01/2017	#00075960625 CARLEY, RICHARD	INCOME FUND OF AMERICA INC CLASS A	0.00	3.57	93.0	0.00	3.32	0.00	3.32
12/01/2017	#00081732143 SMITH, MARK	INCOME FUND OF AMERICA INC CLASS A	0.00	6.48	93.0	0.00	6.03	0.00	6.03
12/01/2017	#00082145480 GREENLEE,	INCOME FUND OF AMERICA INC CLASS A	0.00	13.24	93.0	0.00	12.31	0.00	12.31
12/01/2017	#00084093970 YEATES, NORA	INCOME FUND OF AMERICA INC CLASS A	0.00	15.03	93.0	0.00	13.98	0.00	13.98
12/01/2017	#00084440533 KUHLMANN,	INCOME FUND OF AMERICA INC CLASS A	0.00	1.60	93.0	0.00	1.49	0.00	1.49
12/01/2017	#00085555044 ARTHURRHON	INCOME FUND OF AMERICA INC CLASS A	0.00	2.01	93.0	0.00	1.87	0.00	1.87
12/01/2017	#00085555083 ARTHURKEVI	INCOME FUND OF AMERICA INC CLASS A	0.00	1.45	93.0	0.00	1.35	0.00	1.35
12/01/2017	#00086554976 KUIKEN, DAVID	INCOME FUND OF AMERICA INC CLASS A	0.00	3.53	93.0	0.00	3.28	0.00	3.28
12/01/2017	#00088516505 YAKIMA, CYNTHIA	INCOME FUND OF AMERICA INC CLASS A	0.00	29.32	93.0	0.00	27.27	0.00	27.27
12/01/2017	#00063858242 KARR, DONNA	INCOME FUND OF AMERICA INC CLASS A	0.00	0.02	93.0	0.00	0.02	0.00	0.02
12/01/2017	#00063976641 KARR, DONNA	INCOME FUND OF AMERICA INC CLASS A	0.00	3.86	93.0	0.00	3.59	0.00	3.59
12/01/2017	#00064165871 UNTIL AGE 21,	INCOME FUND OF AMERICA INC CLASS A	0.00	5.57	93.0	0.00	5.18	0.00	5.18
12/01/2017	#00065191215 CARLEY, DOROTHY	INCOME FUND OF AMERICA INC CLASS A	0.00	13.53	93.0	0.00	12.58	0.00	12.58
12/01/2017	#00066994403	INCOME FUND OF AMERICA INC CLASS A	0.00	8.30	93.0	0.00	7.72	0.00	7.72
12/01/2017	#00069196763 GREENAWALT,	INCOME FUND OF AMERICA INC CLASS A	0.00	16.00	93.0	0.00	14.88	0.00	14.88

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00069311629	CARLEY, RICHARD	INCOME FUND OF AMERICA INC CLASS A	0.00	40.37	93.0	0.00	37.54	37.54
12/01/2017	#00073570837	JOHNSTON,	INCOME FUND OF AMERICA INC CLASS A	0.00	32.76	93.0	0.00	30.47	30.47
12/01/2017	#00074151999	GREENAWALT,	INCOME FUND OF AMERICA INC CLASS A	0.00	6.39	93.0	0.00	5.94	5.94
12/01/2017	#00074675547	GREENAWALT,	INCOME FUND OF AMERICA INC CLASS A	0.00	12.46	93.0	0.00	11.59	11.59
12/01/2017	#00075012426	STEVENS, JAMES	INCOME FUND OF AMERICA INC CLASS A	0.00	43.27	93.0	0.00	40.24	40.24
12/01/2017	#00075019244	STEVENS, JAMES	INCOME FUND OF AMERICA INC CLASS A	0.00	22.20	93.0	0.00	20.65	20.65
12/01/2017	#00075084357	HARVEY, ANTONIO	INCOME FUND OF AMERICA INC CLASS A	0.00	8.09	93.0	0.00	7.52	7.52
12/01/2017	#00075959045	LINK, MICHELLE	INCOME FUND OF AMERICA INC CLASS A	0.00	3.43	93.0	0.00	3.19	3.19
12/01/2017	#04000073507		INCOME FUND OF AMERICA INC CLASS A	0.00	3.53	93.0	0.00	3.28	3.28
12/01/2017	#04000092547		INCOME FUND OF AMERICA INC CLASS A	0.00	2.02	93.0	0.00	1.88	1.88
12/01/2017	#04000506110		INCOME FUND OF AMERICA INC CLASS A	0.00	1.06	93.0	0.00	0.99	0.99
12/01/2017	#00089133716	LINK, MICHELLE	INCOME FUND OF AMERICA INC CLASS A	0.00	3.96	93.0	0.00	3.68	3.68
12/01/2017	#00089405854	FAIRFIELD,	INCOME FUND OF AMERICA INC CLASS A	0.00	1.34	93.0	0.00	1.25	1.25
12/01/2017	#00089726999	ANDREWS,	INCOME FUND OF AMERICA INC CLASS A	0.00	0.13	93.0	0.00	0.12	0.12
12/01/2017	#00089727839		INCOME FUND OF AMERICA INC CLASS A	0.00	1.19	93.0	0.00	1.11	1.11
12/01/2017	#00064165872	UNTIL AGE 21,	INCOME FUND OF AMERICA INC CLASS A	0.00	2.78	93.0	0.00	2.59	2.59
12/01/2017	#00086471590	BOONE, RANDAL	INCOME FUND OF AMERICA INC CLASS A	0.00	11.02	93.0	0.00	10.25	10.25
12/01/2017	#00086559404	BAILEY, KAREN	INCOME FUND OF AMERICA INC CLASS A	0.00	7.39	93.0	0.00	6.87	6.87
12/01/2017	#00086674610	BAILEY, KAREN	INCOME FUND OF AMERICA INC CLASS A	0.00	1.45	93.0	0.00	1.35	1.35
12/01/2017	#00086731559	PIERCE,	INCOME FUND OF AMERICA INC CLASS A	0.00	7.50	93.0	0.00	6.98	6.98
12/01/2017	#00086835678	LARSEN, LANA	INCOME FUND OF AMERICA INC CLASS A	0.00	0.19	93.0	0.00	0.18	0.18
12/01/2017	#00086872436	KLONOWSKI,	INCOME FUND OF AMERICA INC CLASS A	0.00	3.68	93.0	0.00	3.42	3.42
12/01/2017	#00086934802	KARR, JAY	INCOME FUND OF AMERICA INC CLASS A	0.00	3.58	93.0	0.00	3.33	3.33
12/01/2017	#00087092737	JORDAN, JAMES	INCOME FUND OF AMERICA INC CLASS A	0.00	1.74	93.0	0.00	1.62	1.62
12/01/2017	#00087146597	IL/TOD	INCOME FUND OF AMERICA INC CLASS A	0.00	13.69	93.0	0.00	12.73	12.73
12/01/2017	#00087249463		INCOME FUND OF AMERICA INC CLASS A	0.00	36.78	93.0	0.00	34.21	34.21
12/01/2017	#00085532239	IL/TOD	INCOME FUND OF AMERICA INC CLASS A	0.00	12.19	93.0	0.00	11.34	11.34
12/01/2017	#00085555136	ARRTHURKEVI	INCOME FUND OF AMERICA INC CLASS A	0.00	0.98	93.0	0.00	0.91	0.91
12/01/2017	#00081391700	GREENLEE, R	INCOME FUND OF AMERICA INC CLASS A	0.00	0.36	93.0	0.00	0.33	0.33
12/01/2017	#00081716214	TINGLEY,	INCOME FUND OF AMERICA INC CLASS A	0.00	24.80	93.0	0.00	23.06	23.06
12/01/2017	#00082103952	HOPKINS, DANA	INCOME FUND OF AMERICA INC CLASS A	0.00	15.15	93.0	0.00	14.09	14.09
12/01/2017	#00082284073	HENRICHS, NELDA	INCOME FUND OF AMERICA INC CLASS A	0.00	2.13	93.0	0.00	1.98	1.98
12/01/2017	#00084044679	DUFRAIN, SHARON	INCOME FUND OF AMERICA INC CLASS A	0.00	4.92	93.0	0.00	4.58	4.58
12/01/2017	#00084706273	HENRICHS, NELDA	INCOME FUND OF AMERICA INC CLASS A	0.00	9.52	93.0	0.00	8.85	8.85
12/01/2017	#00084885189	HENRICHS, NELDA	INCOME FUND OF AMERICA INC CLASS A	0.00	14.37	93.0	0.00	13.36	13.36
12/01/2017	#00085057300	CARTER, GARY	INCOME FUND OF AMERICA INC CLASS A	0.00	5.21	93.0	0.00	4.85	4.85

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00085057868	INCOME FUND OF AMERICA INC CLASS A	0.00	3.72	93.0	0.00	3.46	0.00	3.46
12/01/2017	#00085062501 CARTER, GARY	INCOME FUND OF AMERICA INC CLASS A	0.00	7.01	93.0	0.00	6.52	0.00	6.52
12/01/2017	#00073771609 MCCLURE, LESLIE	INCOME PORTFOLIO A	0.00	10.65	93.0	0.00	9.90	0.00	9.90
12/01/2017	#00084093970 YEATES, NORA	INCOME PORTFOLIO A	0.00	7.62	93.0	0.00	7.09	0.00	7.09
12/01/2017	#00088516505 YAKIMA, CYNTHIA	INCOME PORTFOLIO A	0.00	30.37	93.0	0.00	28.24	0.00	28.24
12/01/2017	#00065191215 CARLEY, DOROTHY	INCOME PORTFOLIO A	0.00	6.45	93.0	0.00	6.00	0.00	6.00
12/01/2017	#04000073507	INCOME PORTFOLIO A	0.00	2.74	93.0	0.00	2.55	0.00	2.55
12/01/2017	#04000092547	INCOME PORTFOLIO A	0.00	1.57	93.0	0.00	1.46	0.00	1.46
12/01/2017	#00088710798	INCOME PORTFOLIO A	0.00	39.99	93.0	0.00	37.19	0.00	37.19
12/01/2017	#00088978687	INCOME PORTFOLIO A	0.00	124.77	93.0	0.00	116.04	0.00	116.04
12/01/2017	#00087146597 IL/TOD	INCOME PORTFOLIO A	0.00	29.03	93.0	0.00	27.00	0.00	27.00
12/01/2017	#00087249463	INCOME PORTFOLIO A	0.00	45.44	93.0	0.00	42.26	0.00	42.26
12/01/2017	#00087350673	INCOME PORTFOLIO A	0.00	1.46	93.0	0.00	1.36	0.00	1.36
12/01/2017	#00089679082 BAILEY, KAREN	INCOME PORTFOLIO A	0.00	34.90	93.0	0.00	32.46	0.00	32.46
12/01/2017	#00084044679 DUFRAIN, SHARON	INCOME PORTFOLIO A	0.00	2.63	93.0	0.00	2.45	0.00	2.45
12/01/2017	#00085057808 CARTER, GARY	INCOME PORTFOLIO A	0.00	2.15	93.0	0.00	2.00	0.00	2.00
12/01/2017	#00087266963 MEEKERJENN	INCOME PORTFOLIO-C	0.00	4.60	93.0	0.00	4.28	0.00	4.28
12/01/2017	#00075012426 STEVENS, JAMES	INFLATION LINKED BOND FUND-A	0.00	18.87	93.0	0.00	17.55	0.00	17.55
12/01/2017	#00085057300 CARTER, GARY	INFLATION LINKED BOND FUND-A	0.00	1.95	93.0	0.00	1.81	0.00	1.81
12/01/2017	#00087092737 JORDAN, JAMES	INTERNATIONAL GROWTH AND INCOME-A	0.00	0.90	93.0	0.00	0.84	0.00	0.84
12/01/2017	#00084044679 DUFRAIN, SHARON	INTERNATIONAL GROWTH AND INCOME-A	0.00	2.51	93.0	0.00	2.33	0.00	2.33
12/01/2017	#00084706273 HENRICHS, NELDA	INTERNATIONAL GROWTH AND INCOME-A	0.00	1.86	93.0	0.00	1.73	0.00	1.73
12/01/2017	#00089729021	INVESTMENT CO AMER CL C	0.00	13.39	93.0	0.00	12.45	0.00	12.45
12/01/2017	#00084093970 YEATES, NORA	INVESTMENT CO AMER CLASS A	0.00	7.54	93.0	0.00	7.01	0.00	7.01
12/01/2017	#00088516505 YAKIMA, CYNTHIA	INVESTMENT CO AMER CLASS A	0.00	7.65	93.0	0.00	7.11	0.00	7.11
12/01/2017	#00074151999 GREENAWALT,	INVESTMENT CO AMER CLASS A	0.00	3.39	93.0	0.00	3.15	0.00	3.15
12/01/2017	#00075959045 LINK, MICHELLE	INVESTMENT CO AMER CLASS A	0.00	1.23	93.0	0.00	1.14	0.00	1.14
12/01/2017	#00087092737 JORDAN, JAMES	INVESTMENT CO AMER CLASS A	0.00	1.28	93.0	0.00	1.19	0.00	1.19
12/01/2017	#00082284073 HENRICHS, NELDA	INVESTMENT CO AMER CLASS A	0.00	2.65	93.0	0.00	2.46	0.00	2.46
12/01/2017	#00084706273 HENRICHS, NELDA	INVESTMENT CO AMER CLASS A	0.00	18.14	93.0	0.00	16.87	0.00	16.87
12/01/2017	#00084440582 SOSTARICH, ALEX	NEW ECONOMY FUND SBI CLASS A	0.00	3.27	93.0	0.00	3.04	0.00	3.04
12/01/2017	#00081732143 SMITH, MARK	NEW PERSPECTIVE FUND INC CL A	0.00	5.15	93.0	0.00	4.79	0.00	4.79
12/01/2017	#00088516505 YAKIMA, CYNTHIA	NEW PERSPECTIVE FUND INC CL A	0.00	9.69	93.0	0.00	9.01	0.00	9.01
12/01/2017	#00086674610 BAILEY, KAREN	NEW PERSPECTIVE FUND INC CL A	0.00	1.71	93.0	0.00	1.59	0.00	1.59
12/01/2017	#00082284073 HENRICHS, NELDA	NEW PERSPECTIVE FUND INC CL A	0.00	1.26	93.0	0.00	1.17	0.00	1.17
12/01/2017	#00084706273 HENRICHS, NELDA	NEW PERSPECTIVE FUND INC CL A	0.00	4.73	93.0	0.00	4.40	0.00	4.40

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00084927475	TINGLEY, NEW PERSPECTIVE FUND INC CL A	0.00	7.70	93.0	0.00	7.16	0.00	7.16
12/01/2017	#00085288543	STEVENS, JAMES NEW PERSPECTIVE FUND INC CL A	0.00	3.06	93.0	0.00	2.85	0.00	2.85
12/01/2017	#00085341614	HOPKINS, DANA NEW PERSPECTIVE FUND INC CL A	0.00	0.35	93.0	0.00	0.33	0.00	0.33
12/01/2017	#00085555044	ARTHURRHON NEW WORLD FUND INC NEW	0.00	0.93	93.0	0.00	0.86	0.00	0.86
12/01/2017	#00075019244	STEVENS, JAMES NEW WORLD FUND INC NEW	0.00	4.50	93.0	0.00	4.19	0.00	4.19
12/01/2017	#00075959045	LINK, MICHELLE NEW WORLD FUND INC NEW	0.00	1.10	93.0	0.00	1.02	0.00	1.02
12/01/2017	#00086429463	LITTLE, ELLIOTT NEW WORLD FUND INC NEW	0.00	0.75	93.0	0.00	0.70	0.00	0.70
12/01/2017	#00087092737	JORDAN, JAMES NEW WORLD FUND INC NEW	0.00	0.76	93.0	0.00	0.71	0.00	0.71
12/01/2017	#00085341614	HOPKINS, DANA NEW WORLD FUND INC NEW	0.00	0.24	93.0	0.00	0.22	0.00	0.22
12/01/2017	#04000073507	PRESERVATION PORTFOLIO-A	0.00	2.35	93.0	0.00	2.19	0.00	2.19
12/01/2017	#04000092547	PRESERVATION PORTFOLIO-A	0.00	1.83	93.0	0.00	1.70	0.00	1.70
12/01/2017	#00084093970	YEATES, NORA RTMT INCOME PORTFOLIO ENHANCED-A	0.00	23.37	93.0	0.00	21.73	0.00	21.73
12/01/2017	#00087249463	RTMT INCOME PORTFOLIO ENHANCED-A	0.00	41.54	93.0	0.00	38.63	0.00	38.63
12/01/2017	#00083869206	FARMAN, RTMT INCOME PORTFOLIO ENHANCED-A	0.00	2.65	93.0	0.00	2.46	0.00	2.46
12/01/2017	#00085161492	GREENAWALT, RTMT INCOME PORTFOLIO ENHANCED-A	0.00	12.91	93.0	0.00	12.01	0.00	12.01
12/01/2017	#00085254551	RTMT INCOME PORTFOLIO ENHANCED-A	0.00	24.90	93.0	0.00	23.16	0.00	23.16
12/01/2017	#00064354795	SLOAN, VERNON RTMT INCOME PORTFOLIO MODERATE-A	0.00	62.43	93.0	0.00	58.06	0.00	58.06
12/01/2017	#00088516505	YAKIMA, CYNTHIA RTMT INCOME PORTFOLIO MODERATE-A	0.00	14.81	93.0	0.00	13.77	0.00	13.77
12/01/2017	#00087146597	IL/TOD RTMT INCOME PORTFOLIO MODERATE-A	0.00	20.34	93.0	0.00	18.92	0.00	18.92
12/01/2017	#00089286841	RTMT INCOME PORTFOLIO MODERATE-A	0.00	20.12	93.0	0.00	18.71	0.00	18.71
12/01/2017	#00085254551	RTMT INCOME PORTFOLIO MODERATE-A	0.00	24.33	93.0	0.00	22.63	0.00	22.63
12/01/2017	#00085305347	HOPKINS, RTMT INCOME PORTFOLIO MODERATE-A	0.00	62.31	93.0	0.00	57.95	0.00	57.95
12/01/2017	#00085305374	HOPKINS, RTMT INCOME PORTFOLIO MODERATE-A	0.00	51.18	93.0	0.00	47.60	0.00	47.60
12/01/2017	#00075012426	STEVENS, JAMES TAX-ADVANTAGED INCOME PORTFOLIO-A	0.00	95.02	93.0	0.00	88.37	0.00	88.37
12/01/2017	#00089360005	MCCLURE, LESLIE TAX-ADVANTAGED INCOME PORTFOLIO-A	0.00	3.44	93.0	0.00	3.20	0.00	3.20
12/01/2017	#00086934802	KARR, JAY TAX-ADVANTAGED INCOME PORTFOLIO-A	0.00	6.76	93.0	0.00	6.29	0.00	6.29
12/01/2017	#00082103952	HOPKINS, DANA TAX-ADVANTAGED INCOME PORTFOLIO-A	0.00	20.89	93.0	0.00	19.43	0.00	19.43
12/01/2017	#00067490090	LOVELL, ASHLEY THE BOND FUND OF AMERICA-529A	0.00	0.95	93.0	0.00	0.88	0.00	0.88
12/01/2017	#00082190172	UNTIL AGE 21, THE BOND FUND OF AMERICA-529A	0.00	0.11	93.0	0.00	0.10	0.00	0.10
12/01/2017	#00082190231	UNTIL AGE 21, THE BOND FUND OF AMERICA-529A	0.00	1.40	93.0	0.00	1.30	0.00	1.30
12/01/2017	#00067490088	LOVELL, ASHLEY THE INCOME FUND OF AMERICA-529A	0.00	7.48	93.0	0.00	6.96	0.00	6.96
12/01/2017	#00067490090	LOVELL, ASHLEY THE INCOME FUND OF AMERICA-529A	0.00	0.56	93.0	0.00	0.52	0.00	0.52
12/01/2017	#00082190172	UNTIL AGE 21, THE INCOME FUND OF AMERICA-529A	0.00	1.80	93.0	0.00	1.67	0.00	1.67
12/01/2017	#00082190231	UNTIL AGE 21, THE INCOME FUND OF AMERICA-529A	0.00	4.99	93.0	0.00	4.64	0.00	4.64
12/01/2017	#00084330324	ROOD, NATHANIEL THE TAX-EXEMPT BOND FUND OF AMERICA	0.00	9.51	93.0	0.00	8.84	0.00	8.84
12/01/2017	#00067490090	LOVELL, ASHLEY WASHINGTON MUTUAL INVESTORS FUND-52	0.00	1.95	93.0	0.00	1.81	0.00	1.81

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	#00082190172	UNTIL AGE 21, WASHINGTON MUTUAL INVESTORS FUND-52	0.00	0.24	93.0	0.00	0.22	0.00	0.22
12/01/2017	#00075960625	CARLEY, RICHARD WASHINGTON MUTUAL INVS FD CLASS A	0.00	3.91	93.0	0.00	3.64	0.00	3.64
12/01/2017	#00081732143	SMITH, MARK WASHINGTON MUTUAL INVS FD CLASS A	0.00	2.44	93.0	0.00	2.27	0.00	2.27
12/01/2017	#00082145480	GREENLEE, WASHINGTON MUTUAL INVS FD CLASS A	0.00	8.51	93.0	0.00	7.91	0.00	7.91
12/01/2017	#00084093970	YEATES, NORA WASHINGTON MUTUAL INVS FD CLASS A	0.00	9.08	93.0	0.00	8.44	0.00	8.44
12/01/2017	#00086554976	KUIKEN, DAVID WASHINGTON MUTUAL INVS FD CLASS A	0.00	3.95	93.0	0.00	3.67	0.00	3.67
12/01/2017	#00063976641	KARR, DONNA WASHINGTON MUTUAL INVS FD CLASS A	0.00	4.66	93.0	0.00	4.33	0.00	4.33
12/01/2017	#00069311629	CARLEY, RICHARD WASHINGTON MUTUAL INVS FD CLASS A	0.00	16.08	93.0	0.00	14.95	0.00	14.95
12/01/2017	#00073570837	JOHNSTON, WASHINGTON MUTUAL INVS FD CLASS A	0.00	4.08	93.0	0.00	3.79	0.00	3.79
12/01/2017	#00075012426	STEVENS, JAMES WASHINGTON MUTUAL INVS FD CLASS A	0.00	13.31	93.0	0.00	12.38	0.00	12.38
12/01/2017	#00075019244	STEVENS, JAMES WASHINGTON MUTUAL INVS FD CLASS A	0.00	7.70	93.0	0.00	7.16	0.00	7.16
12/01/2017	#00089405854	FAIRFIELD, WASHINGTON MUTUAL INVS FD CLASS A	0.00	1.47	93.0	0.00	1.37	0.00	1.37
12/01/2017	#00086471590	BOONE, RANDAL WASHINGTON MUTUAL INVS FD CLASS A	0.00	13.55	93.0	0.00	12.60	0.00	12.60
12/01/2017	#00086559404	BAILEY, KAREN WASHINGTON MUTUAL INVS FD CLASS A	0.00	11.98	93.0	0.00	11.14	0.00	11.14
12/01/2017	#00086674610	BAILEY, KAREN WASHINGTON MUTUAL INVS FD CLASS A	0.00	1.71	93.0	0.00	1.59	0.00	1.59
12/01/2017	#00087092737	JORDAN, JAMES WASHINGTON MUTUAL INVS FD CLASS A	0.00	1.24	93.0	0.00	1.15	0.00	1.15
12/01/2017	#00075975866	GREENAWALT, WASHINGTON MUTUAL INVS FD CLASS A	0.00	2.62	93.0	0.00	2.44	0.00	2.44
12/01/2017	#00081391700	GREENLEE, R WASHINGTON MUTUAL INVS FD CLASS A	0.00	0.60	93.0	0.00	0.56	0.00	0.56
12/01/2017	#00082103952	HOPKINS, DANA WASHINGTON MUTUAL INVS FD CLASS A	0.00	13.71	93.0	0.00	12.75	0.00	12.75
12/01/2017	#00084885189	HENRICHS, NELDA WASHINGTON MUTUAL INVS FD CLASS A	0.00	5.09	93.0	0.00	4.73	0.00	4.73
12/01/2017	#00085062501	CARTER, GARY WASHINGTON MUTUAL INVS FD CLASS A	0.00	13.35	93.0	0.00	12.42	0.00	12.42
12/14/2017	#00002114676	VARIOUS BARRONE ALTERNATIVE ASSET ALLOCATION FUND A	0.00	1.37	93.0	0.00	1.27	0.00	1.27
12/14/2017	#00002165352	VARIOUS BARRONE ALTERNATIVE ASSET ALLOCATION FUND A	0.00	40.11	93.0	0.00	37.30	0.00	37.30
12/14/2017	#00002169794	VARIOUS BARRONE ALTERNATIVE ASSET ALLOCATION FUND A	0.00	3.99	93.0	0.00	3.71	0.00	3.71
12/14/2017	#00002170076	VARIOUS BARRONE ALTERNATIVE ASSET ALLOCATION FUND A	0.00	1.54	93.0	0.00	1.43	0.00	1.43
12/14/2017	#00002409058	VARIOUS BARRONE EMERGING MARKETS EQUITY A	0.00	2.31	93.0	0.00	2.15	0.00	2.15
12/14/2017	#00002409016	VARIOUS BARRONE FUNDAMENTAL ALL CAP CORE A	0.00	7.17	93.0	0.00	6.67	0.00	6.67
12/14/2017	#00002409058	VARIOUS BARRONE FUNDAMENTAL ALL CAP CORE A	0.00	1.43	93.0	0.00	1.33	0.00	1.33
12/14/2017	#00002409635	VARIOUS BARRONE FUNDAMENTAL ALL CAP CORE A	0.00	1.82	93.0	0.00	1.69	0.00	1.69
12/14/2017	#00002492256	VARIOUS BARRONE FUNDAMENTAL GLOBAL FRANCHISE A	0.00	0.23	93.0	0.00	0.21	0.00	0.21
12/14/2017	#00002331186	VARIOUS BARRONE FUNDAMENTAL LARGE CAP CORE FUND B	0.00	2.50	93.0	0.00	2.33	0.00	2.33
12/14/2017	#00002409061	VARIOUS BARRONE GLOBAL INCOME A	0.00	1.20	93.0	0.00	1.12	0.00	1.12
12/14/2017	#00002492251	VARIOUS BARRONE GLOBAL INCOME A	0.00	0.22	93.0	0.00	0.20	0.00	0.20
12/14/2017	#00002114675	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	2.63	93.0	0.00	2.45	0.00	2.45
12/14/2017	#00002114766	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	2.15	93.0	0.00	2.00	0.00	2.00
12/14/2017	#00002123260	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	2.70	93.0	0.00	2.51	0.00	2.51

COMMISSION STATEMENT for RICHARD BARRONE

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/14/2017	#00002130590	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	3.14	93.0	0.00	2.92	0.00	2.92
12/14/2017	#00002131574	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	1.32	93.0	0.00	1.23	0.00	1.23
12/14/2017	#00002133900	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	5.10	93.0	0.00	4.74	0.00	4.74
12/14/2017	#00002161786	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	0.28	93.0	0.00	0.26	0.00	0.26
12/14/2017	#00002165355	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	33.68	93.0	0.00	31.32	0.00	31.32
12/14/2017	#00002168927	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	0.31	93.0	0.00	0.29	0.00	0.29
12/14/2017	#00002168930	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	0.31	93.0	0.00	0.29	0.00	0.29
12/14/2017	#00002174927	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	0.66	93.0	0.00	0.61	0.00	0.61
12/14/2017	#00002176030	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	1.16	93.0	0.00	1.08	0.00	1.08
12/14/2017	#00002178570	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	2.17	93.0	0.00	2.02	0.00	2.02
12/14/2017	#00002194065	VARIOUS BARRONE GLOBAL SHAREHOLDER YIELD A	0.00	0.25	93.0	0.00	0.23	0.00	0.23
12/14/2017	#00002130589	VARIOUS BARRONE HANCOCK FUNDS 41014P748	0.00	3.66	93.0	0.00	3.40	0.00	3.40
12/14/2017	#00002131573	VARIOUS BARRONE HANCOCK FUNDS 41014P748	0.00	2.03	93.0	0.00	1.89	0.00	1.89
12/14/2017	#00002161790	VARIOUS BARRONE HANCOCK FUNDS 41014P748	0.00	0.23	93.0	0.00	0.21	0.00	0.21
12/14/2017	#00002169793	VARIOUS BARRONE HANCOCK FUNDS 41014P748	0.00	3.68	93.0	0.00	3.42	0.00	3.42
12/14/2017	#00002170077	VARIOUS BARRONE HANCOCK FUNDS 41014P748	0.00	1.46	93.0	0.00	1.36	0.00	1.36
12/14/2017	#00002178568	VARIOUS BARRONE HANCOCK FUNDS 41014P748	0.00	1.89	93.0	0.00	1.76	0.00	1.76
12/14/2017	#00002182786	VARIOUS BARRONE HANCOCK FUNDS 47803P443	0.00	24.00	93.0	0.00	22.32	0.00	22.32
12/14/2017	#00002186017	VARIOUS BARRONE HANCOCK FUNDS 47803P443	0.00	2.37	93.0	0.00	2.20	0.00	2.20
12/14/2017	#00002186800	VARIOUS BARRONE HANCOCK FUNDS 47803P443	0.00	1.79	93.0	0.00	1.66	0.00	1.66
12/14/2017	#00002188815	VARIOUS BARRONE HANCOCK FUNDS 47803P443	0.00	0.44	93.0	0.00	0.41	0.00	0.41
12/14/2017	#00002192845	VARIOUS BARRONE HANCOCK FUNDS 47803P443	0.00	0.33	93.0	0.00	0.31	0.00	0.31
12/14/2017	#00002192854	VARIOUS BARRONE HANCOCK FUNDS 47803P443	0.00	0.33	93.0	0.00	0.31	0.00	0.31
12/14/2017	#00002194063	VARIOUS BARRONE HANCOCK FUNDS 47803P443	0.00	0.24	93.0	0.00	0.22	0.00	0.22
12/14/2017	#00002188817	TO (STA) TOD INCOME ALLOCATION A	0.00	0.99	93.0	0.00	0.92	0.00	0.92
12/14/2017	#00002402705	VAN OORT VAR INCOME ALLOCATION A	0.00	8.76	93.0	0.00	8.15	0.00	8.15
12/14/2017	#00002192842	VARIOUS BARRONE INTERNATIONAL GROWTH A	0.00	0.38	93.0	0.00	0.35	0.00	0.35
12/14/2017	#00002192851	VARIOUS BARRONE INTERNATIONAL GROWTH A	0.00	0.38	93.0	0.00	0.35	0.00	0.35
12/14/2017	#00002186802	VARIOUS BARRONE JH BALANCED FUND CLASS A	0.00	1.74	93.0	0.00	1.62	0.00	1.62
12/14/2017	#00002408352	VARIOUS BARRONE JH BALANCED FUND CLASS A	0.00	5.56	93.0	0.00	5.17	0.00	5.17
12/14/2017	#00002192843	VARIOUS BARRONE JH LARGE CAP EQUITY FD CL A	0.00	0.39	93.0	0.00	0.36	0.00	0.36
12/14/2017	#00002192852	VARIOUS BARRONE JH LARGE CAP EQUITY FD CL A	0.00	0.39	93.0	0.00	0.36	0.00	0.36
12/14/2017	#00002182787	VARIOUS BARRONE JOHN HANCOCK BOND FUND-CL A	0.00	13.43	93.0	0.00	12.49	0.00	12.49
12/14/2017	#00002186015	VARIOUS BARRONE JOHN HANCOCK BOND FUND-CL A	0.00	2.49	93.0	0.00	2.32	0.00	2.32
12/14/2017	#00002188813	VARIOUS BARRONE JOHN HANCOCK BOND FUND-CL A	0.00	0.42	93.0	0.00	0.39	0.00	0.39
12/14/2017	#00002192846	VARIOUS BARRONE JOHN HANCOCK BOND FUND-CL A	0.00	0.34	93.0	0.00	0.32	0.00	0.32

COMMISSION STATEMENT for RICHARD BARRONE

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/14/2017	#00002192855	VARIOUS BARRONE JOHN HANCOCK BOND FUND-CL A	0.00	0.34	93.0	0.00	0.32	0.00	0.32
12/14/2017	#00002195542	VARIOUS BARRONE JOHN HANCOCK BOND FUND-CL B	0.00	2.06	93.0	0.00	1.92	0.00	1.92
12/14/2017	#00002114672	VARIOUS BARRONE JOHN HANCOCK DISCIPLINED VALUE MID	0.00	3.43	93.0	0.00	3.19	0.00	3.19
12/14/2017	#00002123259	VARIOUS BARRONE JOHN HANCOCK DISCIPLINED VALUE MID	0.00	4.04	93.0	0.00	3.76	0.00	3.76
12/14/2017	#00002130593	VARIOUS BARRONE JOHN HANCOCK DISCIPLINED VALUE MID	0.00	3.95	93.0	0.00	3.67	0.00	3.67
12/14/2017	#00002161788	VARIOUS BARRONE JOHN HANCOCK DISCIPLINED VALUE MID	0.00	0.33	93.0	0.00	0.31	0.00	0.31
12/14/2017	#00002114674	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	3.80	93.0	0.00	3.53	0.00	3.53
12/14/2017	#00002123256	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	1.35	93.0	0.00	1.26	0.00	1.26
12/14/2017	#00002130591	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	2.27	93.0	0.00	2.11	0.00	2.11
12/14/2017	#00002158760	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	1.67	93.0	0.00	1.55	0.00	1.55
12/14/2017	#00002158956	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	5.48	93.0	0.00	5.10	0.00	5.10
12/14/2017	#00002161787	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	0.25	93.0	0.00	0.23	0.00	0.23
12/14/2017	#00002165354	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	41.34	93.0	0.00	38.45	0.00	38.45
12/14/2017	#00002169792	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	7.73	93.0	0.00	7.19	0.00	7.19
12/14/2017	#00002170075	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	3.13	93.0	0.00	2.91	0.00	2.91
12/14/2017	#00002174926	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	0.64	93.0	0.00	0.60	0.00	0.60
12/14/2017	#00002176032	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	0.56	93.0	0.00	0.52	0.00	0.52
12/14/2017	#00002178569	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	2.01	93.0	0.00	1.87	0.00	1.87
12/14/2017	#00002492248	VARIOUS BARRONE JOHN HANCOCK FDS II FLOATING RATE I	0.00	0.19	93.0	0.00	0.18	0.00	0.18
12/14/2017	#00002165353	VARIOUS BARRONE JOHN HANCOCK FDS II GBL ABSOLUTE RE	0.00	18.91	93.0	0.00	17.59	0.00	17.59
12/14/2017	#00002192844	VARIOUS BARRONE JOHN HANCOCK FDS II GBL ABSOLUTE RE	0.00	0.27	93.0	0.00	0.25	0.00	0.25
12/14/2017	#00002192853	VARIOUS BARRONE JOHN HANCOCK FDS II GBL ABSOLUTE RE	0.00	0.27	93.0	0.00	0.25	0.00	0.25
12/14/2017	#00002114679	VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM	0.00	1.42	93.0	0.00	1.32	0.00	1.32
12/14/2017	#00002114767	VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM	0.00	1.96	93.0	0.00	1.82	0.00	1.82
12/14/2017	#00002182789	VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM	0.00	13.71	93.0	0.00	12.75	0.00	12.75
12/14/2017	#00002192841	VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM	0.00	0.32	93.0	0.00	0.30	0.00	0.30
12/14/2017	#00002192850	VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM	0.00	0.32	93.0	0.00	0.30	0.00	0.30
12/14/2017	#00002194064	VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM	0.00	0.34	93.0	0.00	0.32	0.00	0.32
12/14/2017	#00002409061	VARIOUS BARRONE JOHN HANCOCK FDS II STRATEGIC INCOM	0.00	0.11	93.0	0.00	0.10	0.00	0.10
12/14/2017	#00002176031	VARIOUS BARRONE JOHN HANCOCK FDS III DISCIPLINED VA	0.00	1.33	93.0	0.00	1.24	0.00	1.24
12/14/2017	#00002192847	VARIOUS BARRONE JOHN HANCOCK FDS III DISCIPLINED VA	0.00	0.33	93.0	0.00	0.31	0.00	0.31
12/14/2017	#00002192856	VARIOUS BARRONE JOHN HANCOCK FDS III DISCIPLINED VA	0.00	0.33	93.0	0.00	0.31	0.00	0.31
12/14/2017	#00002034807	VAN OORT VAR JOHN HANCOCK FDS III GLOBAL SHAREHO	0.00	1.69	93.0	0.00	1.57	0.00	1.57
12/14/2017	#00003372667	VARIOUS BARRONE JOHN HANCOCK FDS III GLOBAL SHAREHO	0.00	7.80	93.0	0.00	7.25	0.00	7.25
12/14/2017	#00002409634	VARIOUS BARRONE JOHN HANCOCK FDS III JHF III SMALL	0.00	0.82	93.0	0.00	0.76	0.00	0.76
12/14/2017	#00002114678	VARIOUS BARRONE JOHN HANCOCK HIGH YIELD FUND CL A	0.00	1.48	93.0	0.00	1.38	0.00	1.38

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/14/2017	#00002114769	VARIOUS BARRONE JOHN HANCOCK HIGH YIELD FUND CL A	0.00	0.82	93.0	0.00	0.76	0.00	0.76
12/14/2017	#00002123258	VARIOUS BARRONE JOHN HANCOCK HIGH YIELD FUND CL A	0.00	0.92	93.0	0.00	0.86	0.00	0.86
12/14/2017	#00002182788	VARIOUS BARRONE JOHN HANCOCK HIGH YIELD FUND CL A	0.00	13.39	93.0	0.00	12.45	0.00	12.45
12/14/2017	#00002186016	VARIOUS BARRONE JOHN HANCOCK INCOME FUND CL A	0.00	2.47	93.0	0.00	2.30	0.00	2.30
12/14/2017	#00003372666	VARIOUS BARRONE JOHN HANCOCK INCOME FUND CL B	0.00	7.34	93.0	0.00	6.83	0.00	6.83
12/14/2017	#00002409018	VARIOUS BARRONE JOHN HANCOCK INVT TR DISCIPLINED VA	0.00	7.20	93.0	0.00	6.70	0.00	6.70
12/14/2017	#00002406751	VARIOUS BARRONE LIFESTYLE BALANCED PORTFOLIO A	0.00	4.29	93.0	0.00	3.99	0.00	3.99
12/14/2017	#00005820502	VARIOUS BARRONE LIFESTYLE BALANCED PORTFOLIO A	0.00	3.58	93.0	0.00	3.33	0.00	3.33
12/14/2017	#00002392767	VARIOUS BARRONE LIFESTYLE CONSERVATIVE PORTFOLIO C	0.00	17.43	93.0	0.00	16.21	0.00	16.21
12/14/2017	#00002402703	VAN OORT VAR MULTI MANAGER LIFESTYE MOD	0.00	9.02	93.0	0.00	8.39	0.00	8.39
12/14/2017	#00002406749	VARIOUS BARRONE MULTI MANAGER LIFESTYE MOD	0.00	5.13	93.0	0.00	4.77	0.00	4.77
12/14/2017	#00002409060	VARIOUS BARRONE SHORT DURATION CREDIT OPPORTUNITIES	0.00	1.05	93.0	0.00	0.98	0.00	0.98
12/14/2017	#00002409633	VARIOUS BARRONE SMALL CAP VALUE A	0.00	0.80	93.0	0.00	0.74	0.00	0.74
12/14/2017	#00002114673	VARIOUS BARRONE US GLOBAL LEADERS GROWTH A	0.00	3.17	93.0	0.00	2.95	0.00	2.95
12/14/2017	#00002114768	VARIOUS BARRONE US GLOBAL LEADERS GROWTH A	0.00	1.00	93.0	0.00	0.93	0.00	0.93
12/14/2017	#00002123254	VARIOUS BARRONE US GLOBAL LEADERS GROWTH A	0.00	3.11	93.0	0.00	2.89	0.00	2.89
12/14/2017	#00002130592	VARIOUS BARRONE US GLOBAL LEADERS GROWTH A	0.00	2.65	93.0	0.00	2.46	0.00	2.46
12/14/2017	#00002131575	VARIOUS BARRONE US GLOBAL LEADERS GROWTH A	0.00	1.59	93.0	0.00	1.48	0.00	1.48
12/14/2017	#00002161789	VARIOUS BARRONE US GLOBAL LEADERS GROWTH A	0.00	0.32	93.0	0.00	0.30	0.00	0.30
12/14/2017	#00002182790	VARIOUS BARRONE US GLOBAL LEADERS GROWTH A	0.00	17.59	93.0	0.00	16.36	0.00	16.36
12/14/2017	#00002194066	VARIOUS BARRONE US GLOBAL LEADERS GROWTH A	0.00	0.51	93.0	0.00	0.47	0.00	0.47
12/14/2017	#00002129851	VARIOUS BARRONE US GLOBAL LEADERS GROWTH B	0.00	2.99	93.0	0.00	2.78	0.00	2.78
12/14/2017	#00002188814	VARIOUS BARRONE US GROWTH A	0.00	0.67	93.0	0.00	0.62	0.00	0.62
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$6,341.93		\$0.00	\$5,897.92	\$0.00	\$5,897.92
*** BROKER TRANSACTIONS TOTAL ***			\$24,718.14	\$7,195.87		\$0.00	\$6,692.13	\$0.00	\$6,692.13

COMMISSION STATEMENT for RICHARD BARRONE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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ADJUSTMENTS

01/20/2018		ADMIN FEE: ADMIN FEE							-260.00
01/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
*** ADJUSTMENTS TOTAL ***									<u>\$-439.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$6,692.13
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-439.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,253.13
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