

**COMMISSION STATEMENT****October 31, 2017**DAVID ZUPEK
1126 Bichara BlvdBROKER #: Z116
BRANCH: TADA WEALTH ADVISORS

The Villages, FL 32159

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
08/15/2017	EYNARD, STEPHEN	SEALEY STRATEGIC EQUITY PARTNERS LP	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
		* PRIVATE PLACEMENT SUBTOTAL *	\$50,000.00	\$3,500.00	\$0.00	\$3,500.00		\$3,150.00
<u>PRODUCT CATEGORY: NON-TRADED BDC</u>								
10/06/2017	RUGH, BARBARA	FS INVESTMENT CORP IV CLASS T	0.00	23.34	0.00	23.34	90.0	21.01
		* NON-TRADED BDC SUBTOTAL *	\$0.00	\$23.34	\$0.00	\$23.34		\$21.01
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/16/2017	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	125.00	2.50	0.00	2.50	90.0	2.25
10/16/2017	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
10/16/2017	VASSH, LYNN	AM FUNDS FUNDAMENTAL INVESTORS C	17.00	0.17	0.00	0.17	90.0	0.15
10/16/2017	VASSH, LYNN	AM FUNDS GROWTH FUND OF AMERICA C	16.50	0.17	0.00	0.17	90.0	0.15
10/16/2017	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	16.50	0.17	0.00	0.17	90.0	0.15
		* MUTUAL FUNDS SUBTOTAL *	\$225.00	\$5.51	\$0.00	\$5.51		\$4.95
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/16/2017	MARTIN, CHARMAINE	JNL PERSPECTIVE II 05/05	50.00	3.75	0.00	3.75	90.0	3.38
10/02/2017	CHAPMAN, GINGER	METLIFE SERIES L	15,566.33	38.92	0.00	38.92	90.0	35.03
10/10/2017	FALBO, DIANE	RELIASTAR FLEX DESIGN VUL	488,469.46	1,221.17	0.00	1,221.17	90.0	1,099.05
10/13/2017	CARL, MERVIN	SECURITY LIFE OF DENVER VUL-DB	9,963.46	249.09	0.00	249.09	90.0	224.18
10/16/2017	HUDEC, JOANNE	SECURITY LIFE OF DENVER VUL-DB	300.00	7.50	0.00	7.50	90.0	6.75
		* VARIABLE ANNUITIES SUBTOTAL *	\$514,349.25	\$1,520.43	\$0.00	\$1,520.43		\$1,368.39
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
10/05/2017	JOHNSON, ROBERT	ADVANTAGE	0.00	76.74	0.00	76.74	90.0	69.07
10/16/2017	BRUNNER, KENNETH	ING GOLDEN SELECT DVA PLUS	0.00	146.55	0.00	146.55	90.0	131.90
10/05/2017	JOHNSON, ROBERT	ING LANDMARK	0.00	54.98	0.00	54.98	90.0	49.48
10/07/2017	FALBO, LEWIS	ING LANDMARK	0.00	438.69	0.00	438.69	90.0	394.82

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
10/07/2017	FALBO, LEWIS	ING LANDMARK	0.00	392.93	0.00	392.93	90.0	353.64
10/07/2017	FALBO, LEWIS	ING LANDMARK	0.00	472.32	0.00	472.32	90.0	425.09
10/10/2017	HARTMAN, EDWARD	ING LANDMARK	0.00	216.29	0.00	216.29	90.0	194.66
10/18/2017	BOLAND, TERRENCE	ING PREMIUM PLUS	0.00	120.19	0.00	120.19	90.0	108.17
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,918.69	\$0.00	\$1,918.69		\$1,726.83
*** BROKER TRANSACTIONS TOTAL ***			\$564,574.25	\$6,967.97	\$0.00	\$6,967.97		\$6,271.18

OVERRIDE TRANSACTIONS**BROKER: MARK HUBER #89**

10/11/2017	COTE JT TEN, CAROL	BR TOTAL INC & REAL ESTATE FUND A	0.00	0.46	0.00	0.46	0.0	0.41
* MARK HUBER SUBTOTAL *			\$0.00	\$0.46	\$0.00	\$0.46		\$0.41

BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90

/ /	HALL, MICHAEL	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	16.16	0.00	16.16	0.0	14.54
/ /	HALL, MICHAEL	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	21.04	0.00	21.04	0.0	18.94
10/11/2017	CIPPARRONE, NANCY	BR TOTAL INC & REAL ESTATE FUND A	0.00	4.26	0.00	4.26	0.0	3.83
10/11/2017	NARDINI TRUST, RONALD	BR TOTAL INC & REAL ESTATE FUND A	0.00	5.19	0.00	5.19	0.0	4.67
10/11/2017	NARDINI, BARBARA	BR TOTAL INC & REAL ESTATE FUND A	0.00	18.63	0.00	18.63	0.0	16.77
10/11/2017	NARDINI, RONALD	BR TOTAL INC & REAL ESTATE FUND A	0.00	19.90	0.00	19.90	0.0	17.91
10/11/2017	NARDINI TRUST, BARBARA	BR TOTAL INC & REAL ESTATE FUND A	0.00	5.19	0.00	5.19	0.0	4.67
09/30/2017	GRANZYK, KATHLEEN	GRIFFIN CAPITAL ESSENTIAL ASSET II	0.00	70.96	0.00	70.96	0.0	63.86
10/04/2017	ARCIUCH, ARTUR	MFS EMERGING MARKETS EQUITY A	237.70	4.16	0.00	4.16	0.0	3.74
10/04/2017	ARCIUCH, ARTUR	MFS EMERGING MARKETS EQUITY A	1,100.00	19.25	0.00	19.25	0.0	17.33
* R122-RICHARD/MARK RODRIGUEZ/HUBER SUBTOTAL *			\$1,337.70	\$184.74	\$0.00	\$184.74		\$166.26

BROKER: DAVE/MIKE ZUPEK/VENTURINI #129

10/01/2017	KISSH, BARBARA	MFS CORE EQUITY A	0.00	1.62	0.00	1.62	0.0	1.46
* DAVE/MIKE ZUPEK/VENTURINI SUBTOTAL *			\$0.00	\$1.62	\$0.00	\$1.62		\$1.46

BROKER: R133-RICH/MARK/DAVE RODRIGUEZ/HUBER #269

10/16/2017	WEBER, SANDRA	AM FUNDS 2020 TARGET DATE-A	25.00	0.50	0.00	0.50	0.0	0.45
10/16/2017	HEFT, SAMANTHA	AM FUNDS 2035 TARGET DATE-A	50.00	1.00	0.00	1.00	0.0	0.90
09/30/2017	SWAFFORD, WILLIAM	BLK MOD 13-16 AGE BASED A	0.00	1.41	0.00	1.41	0.0	1.27
09/30/2017	SWAFFORD, WILLIAM	BLK MOD 17+ AGE BASED A	0.00	1.59	0.00	1.59	0.0	1.43

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<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: R133-RICH/MARK/DAVE RODRIGUEZ/HUBER #269</u>								
10/06/2017	SKOWRON, ALEKSANDER	FS INVESTMENT CORP IV CLASS T	0.00	13.87	0.00	13.87	0.0	12.48
10/06/2017	GIBSON, PAMELA	FS INVESTMENT CORP IV CLASS T	0.00	25.99	0.00	25.99	0.0	23.39
09/30/2017	PARAGON, CARRIE	GRIFFIN CAPITAL ESSENTIAL ASSET II	0.00	16.56	0.00	16.56	0.0	14.90
09/30/2017	RACK TRUST, JOAN	GRIFFIN CAPITAL ESSENTIAL ASSET II	0.00	35.48	0.00	35.48	0.0	31.93
09/30/2017	GIBSON, PAMELA	GRIFFIN CAPITAL ESSENTIAL ASSET II	0.00	118.26	0.00	118.26	0.0	106.43
10/05/2017	HELD, RONALD	ING LANDMARK	0.00	477.20	0.00	477.20	0.0	429.48
10/06/2017	O'HARA, THOMAS	TRILINC GLOBAL IMPACT FUND C	0.00	16.90	0.00	16.90	0.0	15.21
* R133-RICH/MARK/DAVE RODRIGUEZ/HUBER SUBTOTAL *			\$75.00	\$708.76	\$0.00	\$708.76		\$637.87
<u>BROKER: R150-RICHARD RODRIGUEZ, JR. #398</u>								
09/14/2017	KIM, DERRICK	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	3,500.00	0.00	3,500.00	0.0	3,150.00
* R150-RICHARD RODRIGUEZ, JR. SUBTOTAL *			\$50,000.00	\$3,500.00	\$0.00	\$3,500.00		\$3,150.00
*** OVERRIDE TRANSACTIONS TOTAL ***			\$51,412.70	\$4,395.58	\$0.00	\$4,395.58		\$3,956.00

ADJUSTMENTS

10/31/2017		*EO INS: REFUND E&O RICH	0.00					300.00
10/31/2017		*MISC: >\$4800 TO HUBER/FR WIKAR	0.00					732.75
10/31/2017		*TECHNOLOGY: REFUND RICH BASIC	0.00					180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$1,212.75

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BROKER COMMISSION TOTALS

Broker Transactions	\$6,271.18
Split Transactions	\$0.00
Override Transactions	\$3,956.00
Adjustments	\$1,212.75
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$11,439.93
Year-to-date Gross	\$204,239.18
Year-to-date Earnings	\$343,322.01