



All Companies
COMMISSION STATEMENT
June 01, 2018

05/30/2018
Page No. 1

SIGNAL POOL

BROKER #: 9623
BRANCH: HOME OFFICE

, TX

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: RIA

04/13/2018	15626417	VILBIG, MOLLYE S	SIGNAL SECURITIES RIA	B	0.00	340.00	0.00	340.00	100.0	340.00
* RIA SUBTOTAL *					\$0.00	\$340.00	\$0.00	\$340.00		\$340.00
*** BROKER TRANSACTIONS TOTAL ***					\$0.00	\$340.00	\$0.00	\$340.00		\$340.00

SPLIT TRANSACTIONS

BROKER: ROTHSCHILD/POOL #9629

06/01/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	5.11	0.00	5.11	100.0	5.11	
05/18/2018	00061397698	RIVARD, JOHN M	AMERICAN FUNDS INVESTMENT COMP OF A B		65.00	0.72	0.00	0.72	100.0	0.72
05/15/2018	00061957268	SMITH, MARK	AMERICAN FUNDS INVESTMENT COMP OF A B		100.00	1.10	0.00	1.10	100.0	1.10
05/18/2018	00063348505	RIVARD, JOHN M	AMERICAN FUNDS BD FD OF AMER FD B		62.50	0.69	0.00	0.69	100.0	0.69
05/18/2018	00063348505	RIVARD, JOHN M	AMERICAN FUNDS INCOME FD OF AMERI B		62.50	0.69	0.00	0.69	100.0	0.69
05/18/2018	00063348505	RIVARD, JOHN M	AMERICAN FUNDS NEW PERSPECTIVE FD B		62.50	0.69	0.00	0.69	100.0	0.69
05/18/2018	00063348505	RIVARD, JOHN M	AMERICAN FUNDS WA MUTUAL INVES B		62.50	0.69	0.00	0.69	100.0	0.69
05/15/2018	00063806455	ROBERTS, KEVIN	AMERICAN FUNDS INCOME FD OF AMERI B		50.00	1.00	0.00	1.00	100.0	1.00
05/15/2018	00063806455	ROBERTS, KEVIN	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	1.00	0.00	1.00	100.0	1.00
05/15/2018	00063806455	ROBERTS, KEVIN	AMERICAN FUNDS NEW PERSPECTIVE FD B		50.00	1.00	0.00	1.00	100.0	1.00
05/15/2018	00067724745	ANGELO, CONCORDIA/MILES	AMERICAN MUTUAL FUND-529A B		30.00	0.51	0.00	0.51	100.0	0.51
05/15/2018	00067724745	ANGELO, CONCORDIA/MILES	CAPITAL WORLD BOND FUND-529A B		30.00	0.36	0.00	0.36	100.0	0.36
05/15/2018	00067724745	ANGELO, CONCORDIA/MILES	THE INCOME FUND OF AMERICA-529A B		30.00	0.51	0.00	0.51	100.0	0.51
05/15/2018	00067724745	ANGELO, CONCORDIA/MILES	THE NEW ECONOMY FUND-529A B		110.00	1.87	0.00	1.87	100.0	1.87
05/07/2018	00068080307	PILAND, CALLEY S	AMERICAN FUNDS CAPITAL INCOME BUILD B		104.00	1.77	0.00	1.77	100.0	1.77
05/07/2018	00068080307	PILAND, CALLEY S	AMERICAN FUNDS INVESTMENT COMP OF A B		208.00	3.54	0.00	3.54	100.0	3.54
05/07/2018	00068080307	PILAND, CALLEY S	AMERICAN FUNDS NEW PERSPECTIVE FD B		105.00	1.78	0.00	1.78	100.0	1.78
05/11/2018	00069023045	EMBACH, PETE	AMERICAN FUNDS BD FD OF AMER FD B		203.08	2.44	0.00	2.44	100.0	2.44
05/11/2018	00069023045	EMBACH, PETE	AMERICAN FUNDS BD FD OF AMER FD B		203.08	2.44	0.00	2.44	100.0	2.44

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: ROTHSCHILD/POOL #9629									
05/11/2018	00069023045	EMBACH, PETE		AMERICAN FUNDS INVESTMENT COMP OF A B	209.24	4.18	0.00	4.18 100.0	4.18
05/11/2018	00069023045	EMBACH, PETE		AMERICAN FUNDS INVESTMENT COMP OF A B	209.24	4.18	0.00	4.18 100.0	4.18
05/11/2018	00069023045	EMBACH, PETE		AMERICAN FUNDS NEW PERSPECTIVE FD B	203.08	4.06	0.00	4.06 100.0	4.06
05/11/2018	00069023045	EMBACH, PETE		AMERICAN FUNDS NEW PERSPECTIVE FD B	203.08	4.06	0.00	4.06 100.0	4.06
05/15/2018	00082838137	HODGES, MICHAEL L		AMERICAN FUNDS INVESTMENT COMP OF A B	50.00	0.85	0.00	0.85 100.0	0.85
05/15/2018	00084382054	ROBERTS, ALEXXA		AMERICAN FUNDS BD FD OF AMER FD B	50.00	0.60	0.00	0.60 100.0	0.60
05/15/2018	00084382054	ROBERTS, ALEXXA		AMERICAN FUNDS INVESTMENT COMP OF A B	50.00	1.00	0.00	1.00 100.0	1.00
05/15/2018	00084382054	ROBERTS, ALEXXA		AMERICAN FUNDS NEW PERSPECTIVE FD B	50.00	1.00	0.00	1.00 100.0	1.00
05/15/2018	00085777888	BABAO, ROMMEL/ISABELLE		NEW PERSPECTIVE FUND-529C B	100.00	0.40	0.00	0.40 100.0	0.40
05/15/2018	00085777888	BABAO, ROMMEL/ISABELLE		THE GROWTH FUND OF AMERICA-529C B	100.00	0.40	0.00	0.40 100.0	0.40
05/15/2018	00085777916	BABAO, ROMMEL/RAPHAEL		AMERICAN BALANCED FUND-529C B	100.00	0.40	0.00	0.40 100.0	0.40
05/15/2018	00085777916	BABAO, ROMMEL/RAPHAEL		CAPITAL INCOME BUILDER-529C B	100.00	0.40	0.00	0.40 100.0	0.40
05/15/2018	00085777916	BABAO, ROMMEL/RAPHAEL		THE BOND FUND OF AMERICA-529C B	100.00	0.40	0.00	0.40 100.0	0.40
05/15/2018	00085777916	BABAO, ROMMEL/RAPHAEL		THE INCOME FUND OF AMERICA-529C B	50.00	0.20	0.00	0.20 100.0	0.20
05/15/2018	00085777916	BABAO, ROMMEL/RAPHAEL		U.S. GOVERNMENT SECURITIES FUND-529 B	100.00	0.40	0.00	0.40 100.0	0.40
05/07/2018	04000826735	WELBORN, THOMAS A.		AMERICAN FUNDS 2045 TARGET DATE-A B	417.00	7.09	0.00	7.09 100.0	7.09
05/18/2018	04000984802	MCMANN, CAROL BLANTON		RTMT INCOME PORTFOLIO MODERATE-A B	20,000.00	220.00	0.00	220.00 100.0	220.00
				* ROTHSCHILD/POOL SUBTOTAL *	\$23,679.80	\$277.53	\$0.00	\$277.53	\$277.53
BROKER: WILLIAMS/HOUSE #9640									
05/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B		3.32	1.33	0.00	1.33 100.0	1.33
				* WILLIAMS/HOUSE SUBTOTAL *	\$3.32	\$1.33	\$0.00	\$1.33	\$1.33
				*** SPLIT TRANSACTIONS TOTAL ***	\$23,683.12	\$278.86	\$0.00	\$278.86	\$278.86

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>VERRIDE TRANSACTIONS</u>									
<u>BROKER: JUDE RAMON L. BARCENAS #9620</u>									
04/16/2018	DEVEZA, NENITA	-PACIFIC LIFE INSURANCE	B	7,450.49	22.83	0.00	22.83		0.23
04/16/2018	ALONZO, KELLY/KYLE	-PACIFIC LIFE INSURANCE	B	72.10	1.44	0.00	1.44		0.01
04/16/2018	ALONZO, KELLY/KACEY	-PACIFIC LIFE INSURANCE	B	34.75	0.70	0.00	0.70		0.01
04/24/2018	DEVEZA, MICHAEL NORMAN	-PACIFIC LIFE INSURANCE	B	29.25	0.88	0.00	0.88		0.01
04/24/2018	DEVEZA, NOEMLINA ONG	-PACIFIC LIFE INSURANCE	B	26.92	0.81	0.00	0.81		0.01
05/04/2018	TRAILS	-PACIFIC LIFE INSURANCE	B	0.51	0.51	0.00	0.51		0.01
05/15/2018	DEVEZA, NENITA	-PACIFIC LIFE INSURANCE	B	283.14	8.50	0.00	8.50		0.09
05/15/2018	ALONZO, KELLY/KYLE	-PACIFIC LIFE INSURANCE	B	72.10	1.44	0.00	1.44		0.01
05/15/2018	ALONZO, KELLY/KACEY	-PACIFIC LIFE INSURANCE	B	34.75	0.70	0.00	0.70		0.01
04/18/2018	CALUNGSUD, KELLY M.	LINCOLN BENEFIT LIFE	B	20.00	0.65	0.00	0.65		0.01
04/18/2018	AUSTRIA, MARIA	LINCOLN BENEFIT LIFE	B	127.00	4.13	0.00	4.13		0.04
04/28/2018	GERIO, EMMA B.	LINCOLN BENEFIT LIFE	B	43.00	1.40	0.00	1.40		0.01
05/07/2018	TRAILS	LINCOLN BENEFIT LIFE	B	2.21	2.21	0.00	2.21		0.02
05/17/2018	HONRALES, EDWIN	LINCOLN BENEFIT LIFE	B	46.50	1.51	0.00	1.51		0.02
05/17/2018	HONRALES, EDWIN	LINCOLN BENEFIT LIFE	B	38.50	1.25	0.00	1.25		0.01
05/18/2018	CAMAGANACAN, CLIFORD JOHN	LINCOLN BENEFIT LIFE	B	48.18	1.57	0.00	1.57		0.02
05/18/2018	AFRICA, YOLANDA E	LINCOLN BENEFIT LIFE	B	31.42	1.02	0.00	1.02		0.01
05/18/2018	HAMMETT, CATHERINE M.	LINCOLN BENEFIT LIFE	B	29.45	0.96	0.00	0.96		0.01
05/18/2018	CRUZ, HARRY	LINCOLN BENEFIT LIFE	B	97.54	3.17	0.00	3.17		0.03
05/18/2018	TRAILS	LINCOLN BENEFIT LIFE	B	144.23	144.23	0.00	144.23		1.44
05/07/2018	000000000011193 CABRERA, IVY/CHARLES	FRK INTL SMALL CAP GROWTH FUND - CL B		50.00	0.50	0.00	0.50		0.01
05/07/2018	000000000026245 BUSA, JOSEPHINE JANE	FRK INTL SMALL CAP GROWTH FUND - CL B		50.00	0.50	0.00	0.50		0.01
05/07/2018	000000000188476 CABRERA, IVY/CHARLES	FRANKLIN TOTAL RETURN FUND - CLASS B		50.00	0.50	0.00	0.50		0.01
05/07/2018	0000000000870307 CABRERA, IVY/CHARLES	FRANKLIN STRATEGIC INC FD CL II B		50.00	0.50	0.00	0.50		0.01
05/07/2018	0000000001603101 CABRERA, IVY/CHARLES	FRANKLIN TEMPLETON DEVELOPING MARKE B		50.00	0.50	0.00	0.50		0.01
05/10/2018	0000000006329360 EVANGELISTA, AURELIO/MONIQUE	529 GROWTH & INCOME - C	B	50.00	0.50	0.00	0.50		0.01
05/10/2018	0000000006329360 EVANGELISTA, AURELIO/MONIQUE	529 SMALL-MID CAP GROWTH C	B	50.00	0.50	0.00	0.50		0.01
05/10/2018	0000000006330997 EVANGELISTA, AURELIO/BEATRIC	529 GROWTH & INCOME - C	B	75.00	0.75	0.00	0.75		0.01
05/15/2018	00006634371 JOSE, ANICIA P.	FIDELITY ADVISORS EQUITY INCM FD CL B		100.00	2.50	0.00	2.50		0.03
05/15/2018	00006634379 JOSE, ANTONIO C.	FIDELITY ADVISORS GRWTH OPPOR FD C B		100.00	2.50	0.00	2.50		0.03
05/10/2018	00059867817 AUSTRIA, FELIX	AMERICAN FUNDS INVESTMENT COMP OF A B		50.00	2.50	0.00	2.50		0.03
05/10/2018	00060555032 JOSE, ANTONIO C.	AMERICAN FUNDS NEW ECONOMY FD	B	50.00	1.88	0.00	1.88		0.02

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<u> OVERRIDE TRANSACTIONS </u>									
<u> BROKER: JUDE RAMON L. BARCENAS #9620 </u>									
05/10/2018	00060555033	JOSE, ANICIA P.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.88	0.00	1.88	0.02
05/10/2018	00061616683	GERIO, EMMA B.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	40.00	1.50	0.00	1.50	0.02
05/10/2018	00061616683	GERIO, EMMA B.	AMERICAN FUNDS CAPITAL WORLD BD FD	B	40.00	1.20	0.00	1.20	0.01
05/10/2018	00061616683	GERIO, EMMA B.	AMERICAN FUNDS HIGH INCOME TR FD	B	40.00	1.20	0.00	1.20	0.01
05/10/2018	00061616683	GERIO, EMMA B.	AMERICAN FUNDS INCOME FD OF AMERI	B	40.00	1.50	0.00	1.50	0.02
05/10/2018	00061616683	GERIO, EMMA B.	AMERICAN FUNDS NEW ECONOMY FD	B	40.00	1.50	0.00	1.50	0.02
05/10/2018	00061689866	JAVELOSA, SANDRA	AMERICAN FUNDS GROWTH FUND OF AMERI	B	200.00	7.50	0.00	7.50	0.08
		M.							
05/15/2018	00062049074	CALUNGSUD, KELLY	AMERICAN FUNDS CAPITAL WORLD GROWT	B	50.00	1.88	0.00	1.88	0.02
		M.							
05/15/2018	00062049074	CALUNGSUD, KELLY	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	1.88	0.00	1.88	0.02
		M.							
05/15/2018	00062049074	CALUNGSUD, KELLY	AMERICAN FUNDS HIGH INCOME TR FD	B	50.00	1.50	0.00	1.50	0.02
		M.							
05/15/2018	00062049074	CALUNGSUD, KELLY	NEW WORLD FUND-A	B	50.00	1.88	0.00	1.88	0.02
		M.							
05/15/2018	00066945809	BARCENAS, RODOLFO	AMERICAN HIGH-INCOME TRUST-C	B	100.00	1.00	0.00	1.00	0.01
		L.							
05/15/2018	00066945809	BARCENAS, RODOLFO	AMERICAN MUTUAL FUND-C	B	110.00	1.10	0.00	1.10	0.01
		L.							
05/15/2018	00066945809	BARCENAS, RODOLFO	CAPITAL WORLD BOND FUND-C	B	80.00	0.80	0.00	0.80	0.01
		L.							
05/15/2018	00066945809	BARCENAS, RODOLFO	THE INCOME FUND OF AMERICA-C	B	100.00	1.00	0.00	1.00	0.01
		L.							
05/15/2018	00066945809	BARCENAS, RODOLFO	THE NEW ECONOMY FUND-C	B	110.00	1.10	0.00	1.10	0.01
		L.							
05/14/2018	00073447265	JUMAO-AS, LAUREN	AMERICAN FUNDS - GENERAL	B	20.00	0.60	0.00	0.60	0.01
05/14/2018	00073447265	JUMAO-AS, LAUREN	AMERICAN MUTUAL FUND-529A	B	20.00	1.00	0.00	1.00	0.01
05/14/2018	00073447265	JUMAO-AS, LAUREN	CAPITAL WORLD BOND FUND-529A	B	20.00	0.60	0.00	0.60	0.01
05/14/2018	00073447265	JUMAO-AS, LAUREN	THE INCOME FUND OF AMERICA-529A	B	20.00	1.00	0.00	1.00	0.01
05/14/2018	00073447265	JUMAO-AS, LAUREN	THE NEW ECONOMY FUND-529A	B	20.00	1.00	0.00	1.00	0.01
05/15/2018	00073580088	FILOTEO-GONZALES,	AMERICAN FUNDS - GENERAL	B	30.00	0.83	0.00	0.83	0.01
		JUDELIA/ISABEL							
05/15/2018	00073580088	FILOTEO-GONZALES,	AMERICAN MUTUAL FUND-529A	B	30.00	0.83	0.00	0.83	0.01
		JUDELIA/ISABEL							
05/15/2018	00073580088	FILOTEO-GONZALES,	CAPITAL WORLD BOND FUND-529A	B	30.00	0.83	0.00	0.83	0.01
		JUDELIA/ISABEL							
05/15/2018	00073580088	FILOTEO-GONZALES,	THE INCOME FUND OF AMERICA-529A	B	30.00	0.83	0.00	0.83	0.01
		JUDELIA/ISABEL							
05/15/2018	00073580088	FILOTEO-GONZALES,	THE NEW ECONOMY FUND-529A	B	30.00	0.83	0.00	0.83	0.01

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
<u> BROKER: JUDE RAMON L. BARCENAS #9620 </u>									
	JUDELIA/ISABEL								
05/15/2018	00075871895	SEGUNDO, CAPITAL WORLD GROWTH AND INCOME-529 B		50.00	2.50	0.00	2.50		0.03
	LORENCE/DANIEL								
05/15/2018	00081127981	GARCIA, AMERICAN FUNDS - GENERAL	B	30.00	0.90	0.00	0.90		0.01
	RODOLFO/RODIVIC								
05/15/2018	00081127981	GARCIA, AMERICAN MUTUAL FUND-529A	B	30.00	1.13	0.00	1.13		0.01
	RODOLFO/RODIVIC								
05/15/2018	00081127981	GARCIA, CAPITAL WORLD BOND FUND-529A	B	30.00	0.90	0.00	0.90		0.01
	RODOLFO/RODIVIC								
05/15/2018	00081127981	GARCIA, THE INCOME FUND OF AMERICA-529A	B	30.00	1.13	0.00	1.13		0.01
	RODOLFO/RODIVIC								
05/15/2018	00081127981	GARCIA, THE NEW ECONOMY FUND-529A	B	30.00	1.13	0.00	1.13		0.01
	RODOLFO/RODIVIC								
05/10/2018	00081663389	BUSA, JOSEPHINE AMERICAN FUNDS HIGH INCOME TR FD	B	50.00	1.50	0.00	1.50		0.02
	JANE								
05/10/2018	00081663389	BUSA, JOSEPHINE AMERICAN FUNDS NEW ECONOMY FD	B	50.00	2.50	0.00	2.50		0.03
	JANE								
05/15/2018	00082732703	CABRERA, IVY AMERICAN FUNDS AMERICAN MUTUAL FD	B	100.00	4.25	0.00	4.25		0.04
05/15/2018	00083580212	MASINAG, AMERICAN FUNDS - GENERAL	B	100.00	3.00	0.00	3.00		0.03
	NELSON/KEVIN								
05/15/2018	00083580212	MASINAG, AMERICAN MUTUAL FUND-529A	B	100.00	3.75	0.00	3.75		0.04
	NELSON/KEVIN								
05/15/2018	00083580212	MASINAG, CAPITAL WORLD BOND FUND-529A	B	100.00	3.00	0.00	3.00		0.03
	NELSON/KEVIN								
05/15/2018	00083580212	MASINAG, THE INCOME FUND OF AMERICA-529A	B	100.00	3.75	0.00	3.75		0.04
	NELSON/KEVIN								
05/15/2018	00083580212	MASINAG, THE NEW ECONOMY FUND-529A	B	100.00	3.75	0.00	3.75		0.04
	NELSON/KEVIN								
05/10/2018	00087696729	LINUS L CUNANAN, THE NEW ECONOMY FUND-529A	B	100.00	5.00	0.00	5.00		0.05
	MIGUEL								
05/10/2018	00087696759	LINUS L CUNANAN, THE NEW ECONOMY FUND-529A	B	100.00	5.00	0.00	5.00		0.05
	MIGUEL								
05/10/2018	04038841757	JOSE, ANTONIO C. AIM ENERGY FUND - CLASS A	B	50.00	2.50	0.00	2.50		0.03
05/03/2018	08695384489	KYSER, DICK DWAYNE COLUMBIA SELIGMAN COMM & INFO FD A	B	0.00	5.27	0.00	5.27		0.05
05/15/2018	2203006058	TACUYAN, OPPENHEIMER US GOVT TRUST FD CL A	B	100.00	2.00	0.00	2.00		0.02
	EVA/ANGELINE								
05/01/2018	2203006069	TACUYAN, JERRY OPPENHEIMER US GOVT TRUST FD CL A	B	50.00	1.00	0.00	1.00		0.01
05/15/2018	2304545742	TACUYAN, OPPENHEIMER STRATEGIC INCOME FD CL B	B	100.00	3.75	0.00	3.75		0.04
	EVA/ANGELINE								
05/01/2018	2304545753	TACUYAN, JERRY OPPENHEIMER STRATEGIC INCOME FD CL B	B	50.00	1.88	0.00	1.88		0.02

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<u> OVERRIDE TRANSACTIONS </u>									
<u>BROKER: THOMPSON/SIGNAL #9622</u>									
		* JUDE RAMON L. BARCENAS SUBTOTAL *		\$12,207.04	\$309.90	\$0.00	\$309.90		\$3.23
<u>BROKER: THOMPSON/SIGNAL #9622</u>									
04/13/2018	35296442 DAUM (SEPARATE PROP), JERRY F	SIGNAL SECURITIES RIA	B	0.00	5,145.67	0.00	5,145.67		334.47
		* THOMPSON/SIGNAL SUBTOTAL *		\$0.00	\$5,145.67	\$0.00	\$5,145.67		\$334.47
<u>BROKER: MATTHEW THOMAS KUNZ #9631</u>									
05/16/2018	TRAILS	OHIO LIFE	B	68.83	68.83	0.00	68.83		0.69
04/13/2018	13999807 ROBINSON, DALE & SANDRA	SIGNAL SECURITIES RIA	B	0.00	82.67	0.00	82.67		0.83
04/13/2018	14454837 KUNZ, GEORGE JAMES	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00		0.08
04/13/2018	18165138 GARCIA, ERICK	SIGNAL SECURITIES RIA	B	0.00	24.33	0.00	24.33		0.24
04/13/2018	19423693 MASSEY, ROY	SIGNAL SECURITIES RIA	B	0.00	69.67	0.00	69.67		0.70
04/13/2018	23188870 HARRIS, BETTIE SUE	SIGNAL SECURITIES RIA	B	0.00	10.67	0.00	10.67		0.11
04/13/2018	24243215 HARRIS, BETTIE SUE	SIGNAL SECURITIES RIA	B	0.00	39.00	0.00	39.00		0.39
04/13/2018	30098457 BITTNER, DEBBIE	SIGNAL SECURITIES RIA	B	0.00	47.67	0.00	47.67		0.48
04/13/2018	34357806 BITTNER, MARK & DEBBIE	SIGNAL SECURITIES RIA	B	0.00	5.33	0.00	5.33		0.05
04/13/2018	35223174 COULTER, JEFF & MARY	SIGNAL SECURITIES RIA	B	0.00	145.33	0.00	145.33		1.45
04/13/2018	35861208 BRINEY, DAWN	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00		0.08
04/13/2018	35873038 LARGE/OREO, LANCE C. & KARE	SIGNAL SECURITIES RIA	B	0.00	32.00	0.00	32.00		0.32
04/13/2018	36130788 HABEGGER, TIM FBO RILEY	SIGNAL SECURITIES RIA	B	0.00	6.67	0.00	6.67		0.07
04/13/2018	36635455 GIANNINO, RICHARD & ELLEN	SIGNAL SECURITIES RIA	B	0.00	182.33	0.00	182.33		1.82
04/13/2018	39035372 LAMMERS, JILL	SIGNAL SECURITIES RIA	B	0.00	44.00	0.00	44.00		0.44
04/13/2018	41063658 KUNZ, MARY SUE	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00		0.08
04/13/2018	43007438 BRINEY, BYRON & DAWN	SIGNAL SECURITIES RIA	B	0.00	2.33	0.00	2.33		0.02
04/13/2018	43666774 DOSTER, JIM & STEPHANIE	SIGNAL SECURITIES RIA	B	0.00	199.33	0.00	199.33		1.99
04/13/2018	46130317 MCCLEARY, PAMELA R	SIGNAL SECURITIES RIA	B	0.00	10.00	0.00	10.00		0.10
04/13/2018	47094293 PASTORE, CRISTINA	SIGNAL SECURITIES RIA	B	0.00	37.00	0.00	37.00		0.37
04/13/2018	47342185 POPHAM, RICHARD (RICK)	SIGNAL SECURITIES RIA	B	0.00	57.33	0.00	57.33		0.57
04/13/2018	50152262 MEYERS REV. LIV TRST, ROBERT S	SIGNAL SECURITIES RIA	B	0.00	70.00	0.00	70.00		0.70
04/13/2018	56921472 GIANNINO, MARY ELLEN	SIGNAL SECURITIES RIA	B	0.00	112.00	0.00	112.00		1.12
04/13/2018	61467712 MCCLEARY, JR, JAMES &	SIGNAL SECURITIES RIA	B	0.00	51.67	0.00	51.67		0.52

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
<u>BROKER: MATTHEW THOMAS KUNZ #9631</u>									
	PAMELA								
04/13/2018	62130162	MCCLEARY, JR, JAMES & SIGNAL SECURITIES RIA	B	0.00	29.33	0.00	29.33		0.29
	PAMELA								
04/13/2018	62263883	FERRARI, JAMES J SIGNAL SECURITIES RIA	B	0.00	186.33	0.00	186.33		1.86
04/13/2018	63431955	FERRARI, JAMES J SIGNAL SECURITIES RIA	B	0.00	3.33	0.00	3.33		0.03
04/13/2018	63575586	MCCLEARY, PAMELA R SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00		0.09
04/13/2018	76118748	MCCLEARY, PAMELA R SIGNAL SECURITIES RIA	B	0.00	56.00	0.00	56.00		0.56
04/13/2018	80576825	MCCLEARY, JR, JAMES & SIGNAL SECURITIES RIA	B	0.00	9.33	0.00	9.33		0.09
	PAMELA								
04/13/2018	83507537	BITTNER, MARK & SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00		0.09
	DEBBIE								
04/13/2018	85830018	WALLACE, RONALD G SIGNAL SECURITIES RIA	B	0.00	889.33	0.00	889.33		8.89
04/13/2018	86727200	MCCLEARY, JR, JAMES & SIGNAL SECURITIES RIA	B	0.00	9.33	0.00	9.33		0.09
	PAMELA								
04/13/2018	86846823	LAMMERS, ROBERT & SIGNAL SECURITIES RIA	B	0.00	17.33	0.00	17.33		0.17
	JILL								
04/13/2018	88062363	PASTORE, CRISTINA SIGNAL SECURITIES RIA	B	0.00	2.33	0.00	2.33		0.02
04/13/2018	89518307	KUNZ, MARY SUE SIGNAL SECURITIES RIA	B	0.00	23.00	0.00	23.00		0.23
04/13/2018	92937702	WELCH, ITASKA SIGNAL SECURITIES RIA	B	0.00	25.00	0.00	25.00		0.25
04/13/2018	93877838	MCCLEARY, JR, JAMES & SIGNAL SECURITIES RIA	B	0.00	179.33	0.00	179.33		1.79
	PAMELA								
04/13/2018	95921292	BITTNER, MARK & SIGNAL SECURITIES RIA	B	0.00	129.33	0.00	129.33		1.29
	DEBBIE								
04/13/2018	98256346	LAMMERS, ROBERT & SIGNAL SECURITIES RIA	B	0.00	23.00	0.00	23.00		0.23
	JILL								
04/13/2018	98398336	GIANNINO, ELLEN SIGNAL SECURITIES RIA	B	0.00	3.67	0.00	3.67		0.04
04/13/2018	98523493	HABEGGER, DENISE M SIGNAL SECURITIES RIA	B	0.00	38.00	0.00	38.00		0.38
04/13/2018	98811946	CREWS-MORRIS, SIGNAL SECURITIES RIA	B	0.00	283.33	0.00	283.33		2.83
	SHONDEANA								
04/13/2018	99183964	HABEGGER, TIMOTHY J SIGNAL SECURITIES RIA	B	0.00	24.67	0.00	24.67		0.25
04/13/2018	99251079	POPHAM, RICHARD SIGNAL SECURITIES RIA	B	0.00	17.33	0.00	17.33		0.17
	(RICK)								
		* MATTHEW THOMAS KUNZ SUBTOTAL *		\$68.83	\$3,289.46	\$0.00	\$3,289.46		\$32.86
<u>BROKER: JOHN HOWARD RUSSELL #9632</u>									
05/04/2018	TRAILS	-JOHN HANCOCK	B	15.18	15.18	0.00	15.18		0.15
05/15/2018	00062722452	POFF, RICKY L AMERICAN FUNDS AMERICAN MUTUAL FD	B	55.10	0.66	0.00	0.66		0.01
05/15/2018	00062722452	POFF, RICKY L AMERICAN FUNDS AMERICAN MUTUAL FD	B	55.10	0.66	0.00	0.66		0.01
05/15/2018	00062936733	HOLDRIDGE, SANDI AMERICAN FUNDS CAPITAL WORLD GROWT	B	200.00	2.40	0.00	2.40		0.02
05/15/2018	00062936733	HOLDRIDGE, SANDI AMERICAN FUNDS CAPITAL WORLD GROWT	B	84.00	1.01	0.00	1.01		0.01

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>VERRIDE TRANSACTIONS</u>									
BROKER: JOHN HOWARD RUSSELL #9632									
05/15/2018	00062936733	HOLDRIDGE, SANDI	AMERICAN FUNDS FUNDAMENTAL	INVES B	200.00	2.40	0.00	2.40	0.02
05/15/2018	00062936733	HOLDRIDGE, SANDI	AMERICAN FUNDS FUNDAMENTAL	INVES B	84.00	1.01	0.00	1.01	0.01
05/15/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS EURO PACIFIC	GROWT B	156.68	1.88	0.00	1.88	0.02
05/15/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS EURO PACIFIC	GROWT B	156.68	1.88	0.00	1.88	0.02
05/15/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS SMALL CAP WORLD FD	B	156.68	1.88	0.00	1.88	0.02
05/15/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS SMALL CAP WORLD FD	B	156.68	1.88	0.00	1.88	0.02
05/15/2018	00067425273	GREEN, ARTHUR C	NEW WORLD FUND-A	B	161.43	1.94	0.00	1.94	0.02
05/15/2018	00067425273	GREEN, ARTHUR C	NEW WORLD FUND-A	B	161.43	1.94	0.00	1.94	0.02
05/15/2018	00073302989	RUSSELL, DAVID/NICKY	THE GROWTH FUND OF AMERICA-529C	B	50.00	0.50	0.00	0.50	0.01
05/17/2018	00073404574	SULLIVAN/POTTS, SHERRY/JADEN	NEW WORLD FUND-529A	B	50.00	1.00	0.00	1.00	0.01
05/14/2018	00073552220	BARR, CHESTER A	CAPITAL WORLD GROWTH AND INCOME-529	B	75.00	2.81	0.00	2.81	0.03
05/14/2018	00073552220	BARR, CHESTER A	WASHINGTON MUTUAL INVESTORS FUND-52	B	75.00	2.81	0.00	2.81	0.03
05/17/2018	00074657443	SULLIVAN/POTTS, SHERRY/GRANT	NEW WORLD FUND-529A	B	50.00	1.00	0.00	1.00	0.01
05/15/2018	00074669049	BLACKBURN, CURTIS D	AMERICAN FUNDS AMCAP FD	B	101.77	1.22	0.00	1.22	0.01
05/15/2018	00074669049	BLACKBURN, CURTIS D	AMERICAN FUNDS AMCAP FD	B	101.77	1.22	0.00	1.22	0.01
05/15/2018	00074669049	BLACKBURN, CURTIS D	AMERICAN FUNDS CAPITAL WORLD	GROWT B	61.06	0.73	0.00	0.73	0.01
05/15/2018	00074669049	BLACKBURN, CURTIS D	AMERICAN FUNDS CAPITAL WORLD	GROWT B	61.06	0.73	0.00	0.73	0.01
05/15/2018	00074775427	BOONE, LONNIE	AMERICAN FUNDS AMCAP FD	B	119.92	1.44	0.00	1.44	0.01
05/15/2018	00074775427	BOONE, LONNIE	AMERICAN FUNDS CAPITAL INCOME	BUILD B	89.95	1.08	0.00	1.08	0.01
05/15/2018	00074775427	BOONE, LONNIE	AMERICAN FUNDS CAPITAL WORLD	GROWT B	89.95	1.08	0.00	1.08	0.01
05/10/2018	00075700317	BOZEMAN, LARRY K.	AMERICAN FUNDS CAPITAL INCOME	BUILD B	201.68	4.03	0.00	4.03	0.04
05/10/2018	00075700317	BOZEMAN, LARRY K.	AMERICAN FUNDS CAPITAL INCOME	BUILD B	403.36	8.07	0.00	8.07	0.08
05/10/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS AMERICAN MUTUAL FD	B	81.96	1.64	0.00	1.64	0.02
05/10/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS AMERICAN MUTUAL FD	B	245.86	4.92	0.00	4.92	0.05
05/10/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS FUNDAMENTAL	INVES B	147.51	2.95	0.00	2.95	0.03
05/10/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS FUNDAMENTAL	INVES B	442.56	8.85	0.00	8.85	0.09
05/10/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS INCOME FD OF	AMERI B	98.35	1.97	0.00	1.97	0.02
05/10/2018	00075700331	BOZEMAN, HEATH	AMERICAN FUNDS INCOME FD OF	AMERI B	295.04	5.90	0.00	5.90	0.06
05/10/2018	00075700380	COBBS, DONNY	AMERICAN FUNDS CAPITAL INCOME	BUILD B	80.00	1.60	0.00	1.60	0.02
05/10/2018	00075700380	COBBS, DONNY	AMERICAN FUNDS CAPITAL INCOME	BUILD B	33.99	0.68	0.00	0.68	0.01
05/10/2018	00075700380	COBBS, DONNY	AMERICAN FUNDS FUNDAMENTAL	INVES B	80.00	1.60	0.00	1.60	0.02
05/10/2018	00075700380	COBBS, DONNY	AMERICAN FUNDS FUNDAMENTAL	INVES B	33.99	0.68	0.00	0.68	0.01
05/10/2018	00082170622	SHEPHERD, ROY C	AMERICAN FUNDS CAPITAL WORLD	GROWT B	52.82	1.06	0.00	1.06	0.01

COMMISSION STATEMENT for SIGNAL POOL

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<u> OVERRIDE TRANSACTIONS </u>									
<u> BROKER: JOHN HOWARD RUSSELL #9632 </u>									
05/10/2018	00082170622	SHEPHERD, ROY C	AMERICAN FUNDS CAPITAL WORLD	GROWT B	52.82	1.06	0.00	1.06	0.01
05/10/2018	00083008236	RUSSWURM, CLAYTON	AMERICAN FUNDS EURO PACIFIC	GROWT B	45.51	0.91	0.00	0.91	0.01
	R								
05/10/2018	00083008236	RUSSWURM, CLAYTON	AMERICAN FUNDS EURO PACIFIC	GROWT B	113.79	2.28	0.00	2.28	0.02
	R								
05/10/2018	00083008236	RUSSWURM, CLAYTON	AMERICAN FUNDS GROWTH FUND OF AMERI	B	136.55	2.73	0.00	2.73	0.03
	R								
05/10/2018	00083008236	RUSSWURM, CLAYTON	AMERICAN FUNDS GROWTH FUND OF AMERI	B	341.36	6.83	0.00	6.83	0.07
	R								
05/10/2018	00083008373	BOZEMAN, DEREK K	AMERICAN FUNDS CAPITAL WORLD	GROWT B	150.55	3.01	0.00	3.01	0.03
05/10/2018	00083008373	BOZEMAN, DEREK K	AMERICAN FUNDS CAPITAL WORLD	GROWT B	310.35	6.21	0.00	6.21	0.06
05/10/2018	00083008373	BOZEMAN, DEREK K	AMERICAN FUNDS FUNDAMENTAL	INVES B	150.55	3.01	0.00	3.01	0.03
05/10/2018	00083008373	BOZEMAN, DEREK K	AMERICAN FUNDS FUNDAMENTAL	INVES B	310.35	6.21	0.00	6.21	0.06
05/08/2018	00083499515	SEYMORE, BENJAMIN	CAPITAL WORLD GROWTH AND INCOME FUN	B	200.00	2.00	0.00	2.00	0.02
05/16/2018	00083626829	SEYMORE, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN	B	150.00	1.50	0.00	1.50	0.02
05/07/2018	00084635934	GIBSON/KIDD,	FUNDAMENTAL INVESTORS-529C	B	200.00	2.00	0.00	2.00	0.02
	ANTHONY/RYLAN								
05/15/2018	00084758983	HOWARD/BEAUDOIN,	GLOBAL BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	0.02
	IRENE/LAUREN.								
05/15/2018	00084759195	HOWARD/BEAUDOIN,	GLOBAL BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	0.02
	IRENE/LANDON								
05/15/2018	00084759209	HOWARD/BEAUDOIN,	GLOBAL BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	0.02
	IRENE/AUSTIN								
05/15/2018	00084759232	HOWARD/BEAUDOIN,	THE INCOME FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	0.02
	IRENE/DAKOTA								
05/17/2018	00085209795	SULLIVAN/MCGRAFF,	NEW WORLD FUND-529A	B	50.00	1.00	0.00	1.00	0.01
	SHERRY/REECE								
05/15/2018	00087897269	ASHCRAFT OGDEN,	FUNDAMENTAL INVESTORS-529C	B	200.00	2.00	0.00	2.00	0.02
	ZOIE								
05/15/2018	00088263914	GREESON, JOHN D.	EUROPACIFIC GROWTH FUND-C	B	76.84	0.77	0.00	0.77	0.01
05/15/2018	00088263914	GREESON, JOHN D.	EUROPACIFIC GROWTH FUND-C	B	76.84	0.77	0.00	0.77	0.01
05/07/2018	00088272160	DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	75.00	0.75	0.00	0.75	0.01
05/07/2018	00088272160	DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	75.00	0.75	0.00	0.75	0.01
05/15/2018	00088272160	DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	114.00	1.14	0.00	1.14	0.01
05/15/2018	00088272160	DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	114.00	1.14	0.00	1.14	0.01
05/18/2018	00088272160	DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	90.00	0.90	0.00	0.90	0.01
05/18/2018	00088272160	DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	90.00	0.90	0.00	0.90	0.01
05/07/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	0.01
05/07/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	0.01
05/15/2018	00088753150	MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	0.01

COMMISSION STATEMENT for SIGNAL POOL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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OVERRIDE TRANSACTIONSBROKER: JOHN HOWARD RUSSELL #9632

05/15/2018	00088753150	MCBRIDE, STEVEN M. AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62		0.01
05/18/2018	00088753150	MCBRIDE, STEVEN M. AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62		0.01
05/18/2018	00088753150	MCBRIDE, STEVEN M. AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62		0.01
05/15/2018	00089506836	HAWKINS, SAMUEL L. AMERICAN FUNDS FUNDAMENTAL	INVES B	103.65	1.24	0.00	1.24		0.01
05/15/2018	00089506836	HAWKINS, SAMUEL L. AMERICAN FUNDS FUNDAMENTAL	INVES B	71.65	0.86	0.00	0.86		0.01
05/15/2018	00089506836	HAWKINS, SAMUEL L. AMERICAN FUNDS NEW ECONOMY FD	B	103.65	1.24	0.00	1.24		0.01
05/15/2018	00089506836	HAWKINS, SAMUEL L. AMERICAN FUNDS NEW ECONOMY FD	B	71.65	0.86	0.00	0.86		0.01
05/15/2018	00089986176	YOUNG, MARCUS FUNDAMENTAL INVESTORS-529C	B	100.00	1.00	0.00	1.00		0.01
05/15/2018	04000108054	HAMILTON, JAMES FUNDAMENTAL INVESTORS-529C	B	50.00	0.50	0.00	0.50		0.01
05/15/2018	04000150405	BLAKE, MATTHEW THE GROWTH FUND OF AMERICA-C	B	166.50	1.67	0.00	1.67		0.02
05/15/2018	04000150405	BLAKE, MATTHEW THE GROWTH FUND OF AMERICA-C	B	166.50	1.67	0.00	1.67		0.02
05/15/2018	04000623745	BLAKE, DAVID THE GROWTH FUND OF AMERICA-C	B	132.00	1.32	0.00	1.32		0.01
05/15/2018	04000623745	BLAKE, DAVID THE GROWTH FUND OF AMERICA-C	B	132.00	1.32	0.00	1.32		0.01
05/15/2018	04000660400	TAYLOR, ROBERT T. AMERICAN FUNDS AMERICAN MUTUAL FD	B	18.04	0.50	0.00	0.50		0.01
05/15/2018	04000660400	TAYLOR, ROBERT T. AMERICAN FUNDS AMERICAN MUTUAL FD	B	18.04	0.50	0.00	0.50		0.01
05/15/2018	04000695668	GILBREATH, LAURA AMERICAN FUNDS FUNDAMENTAL	INVES B	100.00	1.20	0.00	1.20		0.01
	D.								
05/15/2018	04000695668	GILBREATH, LAURA AMERICAN FUNDS FUNDAMENTAL	INVES B	76.80	0.92	0.00	0.92		0.01
	D.								
05/10/2018	04000752171	BOZEMAN, JENNIFER NEW WORLD FUND-A	B	28.05	0.56	0.00	0.56		0.01
05/10/2018	04000752171	BOZEMAN, JENNIFER NEW WORLD FUND-A	B	42.05	0.84	0.00	0.84		0.01
05/03/2018	07210129905	MCMILLIAN, MARILYN COLUMBIA CAPITAL ALLOC MOD CONS POR B		0.00	2.34	0.00	2.34		0.02
	J								
* JOHN HOWARD RUSSELL SUBTOTAL *				\$9,839.01	\$172.94	\$0.00	\$172.94		\$1.80
*** OVERRIDE TRANSACTIONS TOTAL ***				\$22,114.88	\$8,917.97	\$0.00	\$8,917.97		\$372.36

BROKER COMMISSION TOTALS

Broker Transactions	\$342.28
Split Transactions	\$276.58
Override Transactions	\$372.36
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$991.22
Year-to-date Earnings	\$12,703.86