

# Bill Payment Stub

Check Date:	2/7/2018
Check No.:	ACH
Check Amount:	1,232.51

Dominion Investor Services  
P.O. Box 4768  
Horseshoe Bay, TX 78657-4768

Paid To: MONROE P. PARKER  
112 SW 8TH AVENUE SUITE 932  
AMARILLO, TX 79101

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
1/31/2018	Bill	COMMISSIONS	1,352.51	1,352.51		1,352.51
2/1/2018	Credit	E&O	-120.00	-120.00		-120.00

# COMMISSION STATEMENT January 31, 2018

MONROE PARKER-D334

 BROKER #: D334  
 BRANCH: DMN

, TX

**COMMISSION STATEMENT for MONROE PARKER-D334**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>									
<b>PRODUCT CATEGORY: STOCKS</b>									
01/19/2018 368467655	CASEY, CHERI	S BLACKROCK FLOATING RATE INCOME	S	-5,572.30	135.66	15.00	120.66	82.0	98.94
01/19/2018 368467655	CASEY, CHERI	S EATON VANCE SENIOR INCOME TR	S	-41,115.30	676.93	15.00	661.93	82.0	542.78
<b>* STOCKS SUBTOTAL *</b>				<b>\$-46,687.60</b>	<b>\$812.59</b>	<b>\$30.00</b>	<b>\$782.59</b>		<b>\$641.72</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>									
01/23/2018 mfc614631	PARKER 614631	MFT CAPITAL INCOME BUILDER-A	B	0.00	7.03	0.00	7.03	82.0	5.76
01/23/2018 mfc614631	PARKER 614631	MFT DELAWARE SMALL CAP CORE FD A	B	0.00	3.26	0.00	3.26	82.0	2.67
01/23/2018 mfc614631	PARKER 614631	MFT DREYFUS AMT FREE MUNI BD FD C	B	0.00	160.81	0.00	160.81	82.0	131.86
01/23/2018 mfc614631	PARKER 614631	MFT DREYFUS STRATEGIC VALUE FD CL A	B	0.00	6.33	0.00	6.33	82.0	5.19
01/23/2018 mfc614631	PARKER 614631	MFT DREYFUS STRUCTURED MIDCAP FD CL B	B	0.00	1.96	0.00	1.96	82.0	1.61
01/23/2018 mfc614631	PARKER 614631	MFT FRANKLIN BIOTECHNOLOGY	B	0.00	5.51	0.00	5.51	82.0	4.52
01/23/2018 mfc614631	PARKER 614631	MFT GUGGENHEIM FLOATING RATE	B	0.00	4.36	0.00	4.36	82.0	3.58
01/23/2018 mfc614631	PARKER 614631	MFT OPPENHEIMER SENIOR FLOATING	B	0.00	2.45	0.00	2.45	82.0	2.01
01/23/2018 mfc614631	PARKER 614631	MFT PIMCO INCOME FD CL A	B	0.00	4.70	0.00	4.70	82.0	3.85
01/23/2018 mfc614631	PARKER 614631	MFT PUTNAM ASSET ALLOCATION	B	0.00	5.67	0.00	5.67	82.0	4.65
01/23/2018 mfc614631	PARKER 614631	MFT QUEST OPPORTUNITY VALUE A	B	0.00	2.27	0.00	2.27	82.0	1.86
01/23/2018 mfc614631	PARKER 614631	MFT RYDEX TRANSPORTATION FD CL A	B	0.00	4.50	0.00	4.50	82.0	3.69
01/23/2018 mfc614631	PARKER 614631	MFT SHORT DURATION INCOME A	B	0.00	1.36	0.00	1.36	82.0	1.12
01/23/2018 mfc614631	PARKER 614631	MFT THE HARTFORD DISCIPLINED EQUITY	B	0.00	6.10	0.00	6.10	82.0	5.00
01/23/2018 mfc614631	PARKER 614631	MFT THE NEW ECONOMY FUND-A	B	0.00	4.05	0.00	4.05	82.0	3.32
01/23/2018 mfc614631	PARKER 614631	MFT VAN ECK INTERNATIONAL INVESTORS	B	0.00	2.94	0.00	2.94	82.0	2.41
01/23/2018 mfc614631	PARKER 614631	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	4.04	0.00	4.04	82.0	3.31
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$227.34</b>	<b>\$0.00</b>	<b>\$227.34</b>		<b>\$186.41</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$-46,687.60</b>	<b>\$1,039.93</b>	<b>\$30.00</b>	<b>\$1,009.93</b>		<b>\$828.13</b>

COMMISSION STATEMENT for MONROE PARKER-D334

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
01/16/2018	00089900405 LOVETT, PEG	MF BALANCED PORTFOLIO-C	B	400.00	4.00	0.00	4.00	82.0	3.28
		<b>* MUTUAL FUNDS SUBTOTAL *</b>		<b>\$400.00</b>	<b>\$4.00</b>	<b>\$0.00</b>	<b>\$4.00</b>		<b>\$3.28</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
12/31/2017 (None)	COFFELT, JANE	MFT PIMCO 12B-1	B	0.00	23.64	0.00	23.64	82.0	19.38
12/31/2017 (None)	COFFELT, JANE	MFT PIMCO 12B-1	B	0.00	11.76	0.00	11.76	82.0	9.64
12/31/2017 (None)	COFFELT, JANE	MFT PIMCO 12B-1	B	0.00	11.85	0.00	11.85	82.0	9.72
		<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$47.25</b>	<b>\$0.00</b>	<b>\$47.25</b>		<b>\$38.74</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>									
01/04/2018 (None)	MCELROY, TONIE	VAT TRANSAMERICA LIFE	B	0.00	64.82	0.00	64.82	82.0	53.15
01/04/2018 (None)	HODGES, GERALD	VAT TRANSAMERICA LIFE	B	0.00	122.54	0.00	122.54	82.0	100.48
01/04/2018 (None)	CASEY, AUSTIN	VAT TRANSAMERICA LIFE	B	0.00	56.67	0.00	56.67	82.0	46.47
01/04/2018 (None)	KOTARA, ADELA	VAT TRANSAMERICA LIFE	B	0.00	35.42	0.00	35.42	82.0	29.04
01/04/2018 (None)	COFFELT, JANE	VAT TRANSAMERICA LIFE	B	0.00	63.17	0.00	63.17	82.0	51.80
01/04/2018 (None)	HODGES, GERALD	VAT TRANSAMERICA LIFE	B	0.00	88.62	0.00	88.62	82.0	72.67
01/04/2018 (None)	PARSONS, LEONARD	VAT TRANSAMERICA LIFE	B	0.00	224.08	0.00	224.08	82.0	183.75
		<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$655.32</b>	<b>\$0.00</b>	<b>\$655.32</b>		<b>\$537.36</b>
		<b>*** DIRECT TRANSACTIONS TOTAL ***</b>		<b>\$400.00</b>	<b>\$706.57</b>	<b>\$0.00</b>	<b>\$706.57</b>		<b>\$579.38</b>
<b><u>ADJUSTMENTS</u></b>									
01/31/2018		: BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
01/31/2018		: E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
		<b>*** ADJUSTMENTS TOTAL ***</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-55.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,407.51
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-55.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$1,352.51</b>
<b>Year-to-date Commissions</b>	<b>\$2,088.13</b>