

**COMMISSION STATEMENT****October 15, 2017**

L149-ROBERT LUCHSINGER

BROKER #: L149

BRANCH: ROBERT LUCHSINGER

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/30/2017	NEVIN, NANCY	JNL NY PERSPECTIVE L	232,411.68	193.68	0.00	193.68	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$232,411.68	\$193.68	\$0.00	\$193.68		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/15/2017	GARDNER, MARILYN	ALLIANZ NFJ DIVIDEND VALUE FUND CLA	0.00	4.13	0.00	4.13	0.0	0.00
09/15/2017	GARDNER, MARILYN	ALLIANZ NFJ LARGE CAP VALUE A	0.00	3.31	0.00	3.31	0.0	0.00
09/14/2017	GARDNER, MARILYN	FRANKLIN INCOME A	0.00	2.76	0.00	2.76	0.0	0.00
09/21/2017	HUNTE, SHEILA	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	6.17	0.00	6.17	0.0	0.00
09/20/2017	VIONE, LESLEY	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.06	0.00	1.06	0.0	0.00
09/20/2017	GARDNER, MARILYN	PAC LIFE FLOATING RATE INCOME FUND	0.00	1.34	0.00	1.34	0.0	0.00
09/20/2017	KNAACK, PATRICIA	PAC LIFE FLOATING RATE INCOME FUND	0.00	0.63	0.00	0.63	0.0	0.00
09/20/2017	GARDNER, MARILYN	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	5.21	0.00	5.21	0.0	0.00
09/20/2017	HESS, RALPH	PAC LIFE FUNDS PORT OPTIMIZATION CO	0.00	4.91	0.00	4.91	0.0	0.00
09/20/2017	REILLY, JAMES	PAC LIFE PORT OPTIM MOD AGG	0.00	8.67	0.00	8.67	0.0	0.00
09/20/2017	KNAACK, PATRICIA	PACIFIC LIFE FUNDS STRATEGIC INCOME	0.00	1.83	0.00	1.83	0.0	0.00
09/21/2017	MANNETTA, VIRGINIA	ROCHESTER LIMITED TERM NEW YORK MUN	0.00	5.19	0.00	5.19	0.0	0.00
09/13/2017	VIONE, LESLEY	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.14	0.00	0.14	0.0	0.00
09/13/2017	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA GROWTH A	0.00	0.54	0.00	0.54	0.0	0.00
09/13/2017	REILLY, JAMES	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	4.72	0.00	4.72	0.0	0.00
09/13/2017	CELENTANO, MAURA	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.97	0.00	1.97	0.0	0.00
09/13/2017	HUNTE, SHEILA	SUNAMERICA HIGH YIELD BOND A	0.00	0.74	0.00	0.74	0.0	0.00
09/13/2017	HUNTE, SHEILA	SUNAMERICA STRATEGIC BOND FUND CLAS	0.00	1.14	0.00	1.14	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$54.46	\$0.00	\$54.46		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
09/24/2017	LOITSCH, ROBERT	ING EMPIRE INNOVATIONS STD OPT A	0.00	356.30	0.00	356.30	0.0	0.00
09/29/2017	BELLOMO, DIANE	ING EMPIRE INNOVATIONS STD OPT A	0.00	202.37	0.00	202.37	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

09/14/2017	ROARKE, MARY ELIZABETH	ING EMPIRE INNOVATIONS STD OPT B	0.00	133.02	0.00	133.02	0.0	0.00
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$691.69	\$0.00	\$691.69		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$232,411.68	\$939.83	\$0.00	\$939.83		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$4,753.62
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
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