



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**March 31, 2017**

04/18/2017  
 Page No. 1

DAMIAN DERING  
 165 Culver Blvd  
 Suite A  
 Playa Del Rey, CA 90293

BROKER #: D127  
 BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
02/28/2017	AMIN FOUNDATION	TRILINC GLOBAL IMPACT FUND C	0.00	18.46	0.00	18.46	92.0	16.98
		<b>* PRIVATE PLACEMENT SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$18.46</b>	<b>\$0.00</b>	<b>\$18.46</b>		<b>\$16.98</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/06/2017	ANDREWS, LISA	AM FUNDS GROWTH FUND OF AMERICA A	500.00	18.75	0.00	18.75	92.0	17.25
03/09/2017	ANDREWS, LISA	OPPENHEIMER GLOBAL A	1,000.00	47.50	0.00	47.50	92.0	43.70
03/06/2017	HAVENS, JACK	OPPENHEIMER PORTFOLIO SERIRES: MOD	500.00	5.00	0.00	5.00	92.0	4.60
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$2,000.00</b>	<b>\$71.25</b>	<b>\$0.00</b>	<b>\$71.25</b>		<b>\$65.55</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
03/17/2017	ENTREKIN CHARB TRUST, GARY	CHEVRON CORP NEW COM	-21,668.26	216.68	22.50	194.18	92.0	178.65
03/27/2017	CASANOVA, JESSE	CREDIT SUISSE AG NASSAU BRH VELOCIT	8,300.00	83.00	22.75	60.25	92.0	55.43
03/27/2017	DERING, DAMIAN	CREDIT SUISSE AG NASSAU BRH VELOCIT	8,300.00	0.00	22.75	-22.75	92.0	-20.93
03/17/2017	ENTREKIN CHARB TRUST, GARY	INTERNATIONAL BUSINESS MACHS CORP C	-17,637.01	176.37	22.50	153.87	92.0	141.56
03/17/2017	ENTREKIN CHARB TRUST, GARY	NEUBERGER BERMAN REAL ESTATE SECS I	39,163.50	391.64	27.00	364.64	92.0	335.47
03/01/2017	CASANOVA, JESSE	SUNEDISON INC COM	411.00	-25.00	-27.00	2.00	92.0	1.84
03/01/2017	CASANOVA, JESSE	SUNEDISON INC COM	-411.00	22.00	27.00	-5.00	92.0	-4.60
		<b>* STOCKS SUBTOTAL *</b>	<b>\$16,458.23</b>	<b>\$864.69</b>	<b>\$117.50</b>	<b>\$747.19</b>		<b>\$687.42</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
03/21/2017	HAVENS, JACK	AM GEN SEASONS TRIPLE ELITE	75,060.99	281.48	0.00	281.48	92.0	258.96
03/22/2017	AMIN FOUNDATION	JNL PERSPECTIVE II 04/14	250,000.00	17,500.00	0.00	17,500.00	92.0	16,100.00
02/11/2017	BAUTISTA, MARYANN	TRANSAMERICA LIBERTY	187,070.01	155.89	0.00	155.89	92.0	143.42
		<b>* VARIABLE ANNUITIES SUBTOTAL *</b>	<b>\$512,131.00</b>	<b>\$17,937.37</b>	<b>\$0.00</b>	<b>\$17,937.37</b>		<b>\$16,502.38</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
03/17/2017	ENCINA, OPHELIA	LFG TRAILS	0.00	8.46	0.00	8.46	92.0	7.78
03/17/2017	ENCINA, OPHELIA	LFG TRAILS	0.00	-33.86	0.00	-33.86	92.0	-31.15

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *	\$0.00	\$-25.40	\$0.00	\$-25.40	\$-23.37
*** BROKER TRANSACTIONS TOTAL ***	\$530,589.23	\$18,866.37	\$117.50	\$18,748.87	\$17,248.96

ADJUSTMENTS

03/31/2017	*REIMBURSEMENT: CT #5486152-PASSCO	0.00			1,056.33
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$1,056.33

BROKER COMMISSION TOTALS

Broker Transactions	\$17,248.96
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$1,056.33
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$18,305.29
Year-to-date Gross	\$48,047.08
Year-to-date Earnings	\$43,871.55

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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