

COMMISSION STATEMENT
April 26, 2018

MARLI SMYTHE
3200 N. CENTRAL AVE STE 200
PHOENIX, AZ 85012

BROKER #: 73690
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: CUE Financial Group, Inc

ADJUSTMENTS

PRIOR PERIOD BALANCE(SEE BELOW)							0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Prior Period Balance	\$-5.00
NASD Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-5.00
Year-to-date Earnings	\$0.00

COMMISSION STATEMENT for MARLI SMYTHE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: Securities AmericaBROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

04/26/2018	SMYTHE (73690), MARLI	SAI PERSHING CHARGES	0.00	-25.00	0.00	-25.00	-7.50
04/26/2018	SMYTHE (73690), MARLI	SAI SECURITES INCOME	0.00	34.00	0.00	34.00	10.20
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$9.00</u>	<u>\$0.00</u>	<u>\$9.00</u>	<u>\$2.70</u>

PRODUCT CATEGORY: RIA

04/26/2018	SMYTHE (73690), MARLI	SAI ADVISORY INCOME (CUE RIA)	0.00	95.47	0.00	95.47	28.64
04/26/2018	SMYTHE (73690), MARLI	SAI ADVISORY INCOME (CUE RIA)	0.00	-3.82	0.00	-3.82	-1.15
* RIA SUBTOTAL *			<u>\$0.00</u>	<u>\$91.65</u>	<u>\$0.00</u>	<u>\$91.65</u>	<u>\$27.49</u>

PRODUCT CATEGORY: TRAILERS

04/26/2018	SMYTHE (73690), MARLI	SAI.TRAILS	0.00	4.04	0.00	4.04	1.21
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$4.04</u>	<u>\$0.00</u>	<u>\$4.04</u>	<u>\$1.21</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$104.69</u>	<u>\$0.00</u>	<u>\$104.69</u>	<u>\$31.40</u>

SPLIT TRANSACTIONSBROKER: MELBY/SMYTHE #73916

04/26/2018	MELBY/SMYTHE (73916)	SAI ADVISORY INCOME (CUE RIA)	0.00	4,777.61	0.00	1,911.04	573.31
04/26/2018	MELBY/SMYTHE (73916)	SAI ADVISORY INCOME (CUE RIA)	0.00	-227.89	0.00	-91.16	-27.35
04/26/2018	MELBY/SMYTHE (73916)	SAI SECURITES INCOME	0.00	2.75	0.00	1.10	0.33
* MELBY/SMYTHE SUBTOTAL *			<u>\$0.00</u>	<u>\$4,552.47</u>	<u>\$0.00</u>	<u>\$1,820.98</u>	<u>\$546.29</u>
*** SPLIT TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$4,552.47</u>	<u>\$0.00</u>	<u>\$1,820.98</u>	<u>\$546.29</u>

ADJUSTMENTS

26. SAI SIPC PE 4/20/18							-0.43
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-0.43</u>

COMMISSION STATEMENT for MARLI SMYTHE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
							<u>BROKER COMMISSION TOTALS</u>
							Broker Transactions \$31.40
							Split Transactions \$546.29
							Override Transactions \$0.00
							Adjustments \$-0.43
							Prior Period Balance \$0.00
							NASD Assessment \$0.00
							SIPC Assessment \$0.00
							<u>Check Amount \$577.26</u>
							<u>Year-to-date Earnings \$1,685.78</u>