



Concorde Investment Services, LLC

06/15/2018

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COMMISSION STATEMENT

June 15, 2018

DAVID MIKE, B132 BREEDLOVE
1024 Pruitt Place

BROKER #: B132
BRANCH: DAVID MICHAEL BREEDL

Tyler, TX 75703

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/31/2018	BREEDLOVE, TOMMYE	JNL ELITE ACCESS W/ LIQUIDITY	4,263.04	3.55	90.0	3.20	0.00	3.20
05/31/2018	SPEED, LINDA	JNL PERSPECTIVE II 05/05	30,973.73	25.81	90.0	23.23	0.00	23.23
04/30/2018	KENNEDY, EVELYN	PRU AS ADVISOR PLAN II - 1996	1,526.30	0.32	90.0	0.29	0.00	0.29
04/30/2018	KENNEDY, EVELYN	PRU AS ADVISOR PLAN II - 1996	1,526.30	0.32	90.0	0.29	0.00	0.29
05/31/2018	KENNEDY, EVELYN	PRU AS ADVISOR PLAN II - 1996	1,530.00	0.32	90.0	0.29	0.00	0.29
05/31/2018	KENNEDY, EVELYN	PRU AS ADVISOR PLAN II - 1996	1,530.00	0.32	90.0	0.29	0.00	0.29
04/30/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	153,404.63	31.96	90.0	28.76	0.00	28.76
04/30/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	153,404.63	31.96	90.0	28.76	0.00	28.76
04/30/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	153,404.63	31.96	90.0	28.76	0.00	28.76
04/30/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	153,404.63	31.96	90.0	28.76	0.00	28.76
04/30/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	153,404.63	31.96	90.0	28.76	0.00	28.76
04/30/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	153,404.63	31.96	90.0	28.76	0.00	28.76
04/30/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	22,411.58	4.67	90.0	4.20	0.00	4.20
04/30/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	22,411.58	4.67	90.0	4.20	0.00	4.20
04/30/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	153,404.63	31.96	90.0	28.76	0.00	28.76
04/30/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	290,284.56	60.48	90.0	54.43	0.00	54.43
04/30/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	153,404.63	31.96	90.0	28.76	0.00	28.76
04/30/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	153,404.63	31.96	90.0	28.76	0.00	28.76
04/30/2018	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	168,437.49	35.09	90.0	31.58	0.00	31.58
04/30/2018	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	168,437.49	35.09	90.0	31.58	0.00	31.58
04/30/2018	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	200,625.48	41.80	90.0	37.62	0.00	37.62
04/30/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	127,264.72	26.51	90.0	23.86	0.00	23.86
04/30/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	127,264.72	26.51	90.0	23.86	0.00	23.86
04/30/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	46,495.26	9.69	90.0	8.72	0.00	8.72
04/30/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	46,495.26	9.69	90.0	8.72	0.00	8.72
04/30/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	25,699.67	5.35	90.0	4.82	0.00	4.82
04/30/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	25,699.67	5.35	90.0	4.82	0.00	4.82

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/30/2018	BREEDLOVE, TOMMYE	PRU AS XTRA CREDIT SIX	23,931.81	4.99	90.0	4.49	0.00	4.49
04/30/2018	BREEDLOVE, TOMMYE	PRU AS XTRA CREDIT SIX	23,931.81	4.99	90.0	4.49	0.00	4.49
04/30/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	34,466.39	7.18	90.0	6.46	0.00	6.46
04/30/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	34,466.39	7.18	90.0	6.46	0.00	6.46
04/30/2018	FULMER, WILLIAM	PRU AS XTRA CREDIT SIX	14,057.97	2.93	90.0	2.64	0.00	2.64
04/30/2018	FULMER, WILLIAM	PRU AS XTRA CREDIT SIX	14,057.97	2.93	90.0	2.64	0.00	2.64
04/30/2018	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	168,437.49	35.09	90.0	31.58	0.00	31.58
04/30/2018	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	24,975.92	5.20	90.0	4.68	0.00	4.68
04/30/2018	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	24,975.92	5.20	90.0	4.68	0.00	4.68
05/31/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	153,530.17	31.99	90.0	28.79	0.00	28.79
05/31/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	153,530.17	31.99	90.0	28.79	0.00	28.79
05/31/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	153,530.17	31.99	90.0	28.79	0.00	28.79
05/31/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	153,530.17	31.99	90.0	28.79	0.00	28.79
05/31/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	153,530.17	31.99	90.0	28.79	0.00	28.79
05/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	22,347.57	4.66	90.0	4.19	0.00	4.19
05/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	22,347.57	4.66	90.0	4.19	0.00	4.19
05/31/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	153,530.17	31.99	90.0	28.79	0.00	28.79
05/31/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	290,547.78	60.53	90.0	54.48	0.00	54.48
05/31/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	153,530.17	31.99	90.0	28.79	0.00	28.79
05/31/2018	MARTIN, KATHRYN	PRU AS XTRA CREDIT SIX	153,530.17	31.99	90.0	28.79	0.00	28.79
05/31/2018	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	168,809.23	35.17	90.0	31.65	0.00	31.65
05/31/2018	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	168,809.23	35.17	90.0	31.65	0.00	31.65
05/31/2018	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	201,068.25	41.89	90.0	37.70	0.00	37.70
05/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	126,937.34	26.45	90.0	23.81	0.00	23.81
05/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	126,937.34	26.45	90.0	23.81	0.00	23.81
05/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	46,375.85	9.66	90.0	8.69	0.00	8.69
05/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	46,375.85	9.66	90.0	8.69	0.00	8.69
05/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	25,639.24	5.34	90.0	4.81	0.00	4.81
05/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	25,639.24	5.34	90.0	4.81	0.00	4.81
05/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	34,381.31	7.16	90.0	6.44	0.00	6.44
05/31/2018	BREEDLOVE, DAVID	PRU AS XTRA CREDIT SIX	34,381.31	7.16	90.0	6.44	0.00	6.44
05/31/2018	BREEDLOVE, TOMMYE	PRU AS XTRA CREDIT SIX	23,862.09	4.97	90.0	4.47	0.00	4.47
05/31/2018	BREEDLOVE, TOMMYE	PRU AS XTRA CREDIT SIX	23,862.09	4.97	90.0	4.47	0.00	4.47

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/31/2018	FULMER, WILLIAM	PRU AS XTRA CREDIT SIX	14,063.13	2.93	90.0	2.64	0.00	2.64
05/31/2018	FULMER, WILLIAM	PRU AS XTRA CREDIT SIX	14,063.13	2.93	90.0	2.64	0.00	2.64
05/31/2018	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	168,809.23	35.17	90.0	31.65	0.00	31.65
05/31/2018	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	25,007.70	5.21	90.0	4.69	0.00	4.69
05/31/2018	GHRIST, TIMOTHY	PRU AS XTRA CREDIT SIX	25,007.70	5.21	90.0	4.69	0.00	4.69
04/30/2018	BREEDLOVE, DAVID	PRU PREMIER RETIREMENT C	24,873.32	20.72	90.0	18.65	0.00	18.65
04/30/2018	BURNS, HENRY	PRU PREMIER RETIREMENT C	24,914.88	20.76	90.0	18.68	0.00	18.68
* VARIABLE ANNUITIES SUBTOTAL *			\$5,816,717.30	\$1,265.00		\$1,138.46	\$0.00	\$1,138.46
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
05/23/2018	REFRIGERATION DESIGN	LBL VUL	7,596.00	6,456.60	90.0	5,810.94	0.00	5,810.94
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$7,596.00	\$6,456.60		\$5,810.94	\$0.00	\$5,810.94
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	MCANINCH, LYNSEY	AM FUNDS AMERICAN MUTUAL 529C	0.00	0.80	90.0	0.72	0.00	0.72
06/01/2018	MASONIC LODGE #785, BULLARD	AM FUNDS CAPITAL INCOME BUILDER C	0.00	7.51	90.0	6.76	0.00	6.76
06/01/2018	MCANINCH, LYNSEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.93	90.0	0.84	0.00	0.84
06/01/2018	HENDERSON, SUE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	48.23	90.0	43.41	0.00	43.41
06/01/2018	MILLER, VICKI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.16	90.0	8.24	0.00	8.24
06/01/2018	MILLER, FOSTER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.77	90.0	8.79	0.00	8.79
06/01/2018	SPERRY JR, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.23	90.0	0.21	0.00	0.21
06/01/2018	HENDERSON, SUE	AM FUNDS INCOME FUND OF AMERICA A	0.00	12.24	90.0	11.02	0.00	11.02
06/01/2018	MCANINCH, LYNSEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.84	90.0	0.76	0.00	0.76
06/01/2018	MCANINCH, LYNSEY	AMCAP FUND-529C	0.00	0.87	90.0	0.78	0.00	0.78
05/23/2018	KLINGER, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.43	90.0	2.19	0.00	2.19
05/23/2018	SPERRY JR, ROBERT	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.92	90.0	0.83	0.00	0.83
05/23/2018	SPERRY, LYNNE BREEDLOVE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.82	90.0	0.74	0.00	0.74
05/14/2018	SMITH, BETTY	COLUMBIA DIVIDEND OPP A	0.00	2.05	90.0	1.85	0.00	1.85
/ /	KENNEDY, EVELYN	FIDELITY ADV ENERGY CL M	0.00	2.25	90.0	2.03	0.00	2.03
/ /	KENNEDY, EVELYN	FIDELITY ADV EQUITY GROWTH CL M	0.00	8.86	90.0	7.97	0.00	7.97

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/23/2018	TOMPKINS, HARLOD	PIMCO INCOME FUND CLASS A	0.00	1.71	90.0	1.54	0.00	1.54
05/23/2018	MICHIELS, RICHARD	PIMCO INCOME FUND CLASS A	0.00	2.97	90.0	2.67	0.00	2.67
05/23/2018	SMITH, JESSE	PIMCO INCOME FUND CLASS A	0.00	4.42	90.0	3.98	0.00	3.98
05/23/2018	SMITH, BETTY	PIMCO INCOME FUND CLASS A	0.00	12.33	90.0	11.10	0.00	11.10
05/23/2018	GONZALES, CARMEN	PIMCO INCOME FUND CLASS A	0.00	1.76	90.0	1.58	0.00	1.58
05/23/2018	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	0.00	22.52	90.0	20.27	0.00	20.27
05/23/2018	CAPEL, JIMMY	PIMCO INCOME FUND CLASS A	0.00	72.83	90.0	65.55	0.00	65.55
05/23/2018	CAPEL TRUST, NARLENE FORTENB	PIMCO INCOME FUND CLASS A	0.00	20.69	90.0	18.62	0.00	18.62
05/23/2018	CAPEL, BETTYE	PIMCO INCOME FUND CLASS A	0.00	15.97	90.0	14.37	0.00	14.37
05/23/2018	LADNER TRUST	PIMCO INCOME FUND CLASS A	0.00	65.76	90.0	59.18	0.00	59.18
05/23/2018	SMITH, JESSE	PIMCO INVESTMENT GRADE CORP BOND A	0.00	2.01	90.0	1.81	0.00	1.81
05/23/2018	SMITH, BETTY	PIMCO INVESTMENT GRADE CORP BOND A	0.00	5.70	90.0	5.13	0.00	5.13
05/23/2018	LADNER TRUST	PIMCO INVESTMENT GRADE CORP BOND A	0.00	42.65	90.0	38.39	0.00	38.39
05/23/2018	SMITH, JESSE	PIMCO TOTAL RETURN A	0.00	1.88	90.0	1.69	0.00	1.69
05/23/2018	SMITH, BETTY	PIMCO TOTAL RETURN A	0.00	5.45	90.0	4.91	0.00	4.91
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$386.56		\$347.93	\$0.00	\$347.93
*** DIRECT TRANSACTIONS TOTAL ***			\$5,824,313.30	\$8,108.16		\$7,297.33	\$0.00	\$7,297.33

ADJUSTMENTS

06/15/2018	*AI INSIGHT: CURRENT MONTH	0.00						-7.00
06/15/2018	*ALBRIDGE: LIGHT USER	0.00						-76.00
06/15/2018	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
06/15/2018	*GLOBAL RELAY: WEBSITE	0.00						-15.00
06/15/2018	*LASER APP: CURRENT MONTH	0.00						-18.00
06/15/2018	*MARKETING PRO: PRO USER	0.00						-45.00
06/15/2018	*PERSHING: MF PROSPECTUS MAILING	0.00						-1.00
06/15/2018	*PERSHING: BOOKS & RECORDS	0.00						-3.96
06/15/2018	*TECHNOLOGY: ADMIN - KAY BREEDLOVE	0.00						-130.00
06/15/2018	*TECHNOLOGY: ADMIN - R WILLIAMS	0.00						-130.00
06/15/2018	*TECHNOLOGY: CURRENT MONTH BASIC	0.00						-180.00

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<u>ADJUSTMENTS</u>								
06/15/2018		*TECHNOLOGY: MONTHLY - ADMIN M. COTTON	0.00					-100.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00		\$-1,005.96	\$0.00	\$-1,005.96

BROKER COMMISSION TOTALS

Broker Transactions	\$7,297.33
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,005.96
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,291.37
Year-to-date Gross	\$27,667.26
Year-to-date Earnings	\$24,856.76

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