

COMMISSION STATEMENT

July 31, 2018

 ALEX BRISTER-D010
 32180 Inverness Drive

 BROKER #: D010
 BRANCH: DMD

Evergreen, CO 80439

COMMISSION STATEMENT for ALEX BRISTER-D010

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

| | | | | | | | | | | |
|------------|-----------|------------------|-------------------------------------|---|------|-------|------|-------|------|-------|
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT ALLIANZ NFJ INTL VALUE FD CL C | B | 0.00 | 10.01 | 0.00 | 10.01 | 50.0 | 5.01 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT ALLIANZGI SHRT DURATION HIGH | B | 0.00 | 7.95 | 0.00 | 7.95 | 50.0 | 3.98 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT ALLIANZGI GLOBAL ALLOCATION A | B | 0.00 | 2.58 | 0.00 | 2.58 | 50.0 | 1.29 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT ALLIANZGI NFJ DIVIDEND VALUE C | B | 0.00 | 12.70 | 0.00 | 12.70 | 50.0 | 6.35 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT ALLIANZGI NFJ DIVIDEND VALUE C | B | 0.00 | 33.96 | 0.00 | 33.96 | 50.0 | 16.98 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT AMCAP FUND-A | B | 0.00 | 0.42 | 0.00 | 0.42 | 50.0 | 0.21 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT AMCAP FUND-A | B | 0.00 | 3.16 | 0.00 | 3.16 | 50.0 | 1.58 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT AMCAP FUND-A | B | 0.00 | 10.21 | 0.00 | 10.21 | 50.0 | 5.11 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT AMCAP FUND-C | B | 0.00 | 9.02 | 0.00 | 9.02 | 50.0 | 4.51 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT CAPITAL INCOME BUILDER-A | B | 0.00 | 0.27 | 0.00 | 0.27 | 50.0 | 0.14 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT CAPITAL WORLD GROWTH AND | B | 0.00 | 0.95 | 0.00 | 0.95 | 50.0 | 0.48 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 0.18 | 0.00 | 0.18 | 50.0 | 0.09 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT CAPITAL WORLD GROWTH AND INCOME | B | 0.00 | 2.17 | 0.00 | 2.17 | 50.0 | 1.09 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT EUROPACIFIC GROWTH FUND CLASS C | B | 0.00 | 3.74 | 0.00 | 3.74 | 50.0 | 1.87 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT EUROPACIFIC GROWTH FUND-A | B | 0.00 | 0.17 | 0.00 | 0.17 | 50.0 | 0.09 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT FUNDAMENTAL INVESTORS-A | B | 0.00 | 0.33 | 0.00 | 0.33 | 50.0 | 0.17 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT FUNDAMENTAL INVESTORS-A | B | 0.00 | 3.09 | 0.00 | 3.09 | 50.0 | 1.55 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT FUNDAMENTAL INVESTORS-A | B | 0.00 | 3.45 | 0.00 | 3.45 | 50.0 | 1.73 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT INVESTMENT COMPANY OF AMERICA | B | 0.00 | 2.20 | 0.00 | 2.20 | 50.0 | 1.10 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT INVESTMENT COMPANY OF AMERICA | B | 0.00 | 5.77 | 0.00 | 5.77 | 50.0 | 2.89 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 0.12 | 0.00 | 0.12 | 50.0 | 0.06 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 1.26 | 0.00 | 1.26 | 50.0 | 0.63 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 1.33 | 0.00 | 1.33 | 50.0 | 0.67 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 1.85 | 0.00 | 1.85 | 50.0 | 0.93 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT NEW PERSPECTIVE FUND-C | B | 0.00 | 2.82 | 0.00 | 2.82 | 50.0 | 1.41 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT NEW PERSPECTIVE FUND-C | B | 0.00 | 9.08 | 0.00 | 9.08 | 50.0 | 4.54 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT NEW WORLD FUND-A | B | 0.00 | 1.50 | 0.00 | 1.50 | 50.0 | 0.75 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT PIM 018918664 | B | 0.00 | 4.22 | 0.00 | 4.22 | 50.0 | 2.11 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT PIM 018918664 | B | 0.00 | 4.73 | 0.00 | 4.73 | 50.0 | 2.37 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT PIMCO STOCKSPLUS A | B | 0.00 | 2.55 | 0.00 | 2.55 | 50.0 | 1.28 |
| 07/23/2018 | mfc652653 | BRISTER A 652653 | MFT PIMCO STOCKSPLUS A | B | 0.00 | 8.00 | 0.00 | 8.00 | 50.0 | 4.00 |

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|--------------------------------------|----------------------------|-------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 07/23/2018 | mfc652653 BRISTER A 652653 | MFT SHORT-TERM BOND FUND OF AMERICA | B | 0.00 | 1.23 | 0.00 | 1.23 | 50.0 | 0.62 |
| 07/23/2018 | mfc652653 BRISTER A 652653 | MFT SMALLCAP WORLD FUND-C | B | 0.00 | 4.88 | 0.00 | 4.88 | 50.0 | 2.44 |
| 07/23/2018 | mfc652653 BRISTER A 652653 | MFT SMALLCAP WORLD FUND-C | B | 0.00 | 4.88 | 0.00 | 4.88 | 50.0 | 2.44 |
| 07/23/2018 | mfc652653 BRISTER A 652653 | MFT SMALLCAP WORLD FUND-A | B | 0.00 | 0.16 | 0.00 | 0.16 | 50.0 | 0.08 |
| 07/23/2018 | mfc652653 BRISTER A 652653 | MFT SMALLCAP WORLD FUND-A | B | 0.00 | 1.74 | 0.00 | 1.74 | 50.0 | 0.87 |
| 07/23/2018 | mfc652653 BRISTER A 652653 | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 5.77 | 0.00 | 5.77 | 50.0 | 2.89 |
| 07/23/2018 | mfc652653 BRISTER A 652653 | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 0.24 | 0.00 | 0.24 | 50.0 | 0.12 |
| 07/23/2018 | mfc652653 BRISTER A 652653 | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 1.67 | 0.00 | 1.67 | 50.0 | 0.84 |
| 07/23/2018 | mfc652653 BRISTER A 652653 | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 1.70 | 0.00 | 1.70 | 50.0 | 0.85 |
| 07/23/2018 | mfc652653 BRISTER A 652653 | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 2.15 | 0.00 | 2.15 | 50.0 | 1.08 |
| 07/23/2018 | mfc652653 BRISTER A 652653 | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 3.77 | 0.00 | 3.77 | 50.0 | 1.89 |
| 07/23/2018 | mfc652653 BRISTER A 652653 | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 11.68 | 0.00 | 11.68 | 50.0 | 5.84 |
| 07/23/2018 | mfc652653 BRISTER A 652653 | MFT WASHINGTON MUTUAL INVESTORS | B | 0.00 | 0.31 | 0.00 | 0.31 | 50.0 | 0.16 |
| 07/23/2018 | mfc652653 BRISTER A 652653 | MFT WASHINGTON MUTUAL INVESTORS | B | 0.00 | 8.93 | 0.00 | 8.93 | 50.0 | 4.47 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$198.90 | \$0.00 | \$198.90 | | \$99.56 |
| *** CLEARING TRANSACTIONS TOTAL *** | | | | \$0.00 | \$198.90 | \$0.00 | \$198.90 | | \$99.56 |

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

| | | | | | | | | |
|--------------------------------------|--------------------|---|--------|----------|---------|--------|---------|---------|
| 07/05/2018 (None) 0045711389 | MF SCHOLARS CHOICE | B | 50.00 | 1.50 | 0.00 | 1.50 | 50.0 | 0.75 |
| 07/05/2018 (None) 0045795127 | MF SCHOLARS CHOICE | B | 50.00 | 1.50 | 0.00 | 1.50 | 50.0 | 0.75 |
| 07/05/2018 (None) 0045709342 | MF SCHOLARS CHOICE | B | 50.00 | 1.50 | 0.00 | 1.50 | 50.0 | 0.75 |
| 07/12/2018 00068781179 GERRIE, SARA | MF AMCAP FUND-A | B | 300.00 | 8.25 | 0.00 | 8.25 | 50.0 | 4.13 |
| 07/16/2018 00083404474 BARNES, WENDY | MF AMCAP FUND-A | B | 500.00 | 18.75 | 0.00 | 18.75 | 50.0 | 9.38 |
| * MUTUAL FUNDS SUBTOTAL * | | | | \$950.00 | \$31.50 | \$0.00 | \$31.50 | \$15.76 |

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

| | | | | | | | | |
|--------------------------------------|---------------------|---|------|--------|----------|--------|----------|----------|
| 06/30/2018 (None) VARIOUS, BRISTER A | MFT ALLIANZ FUNDS | B | 0.00 | 62.78 | 0.00 | 62.78 | 50.0 | 31.39 |
| 06/30/2018 (None) CANADGUSD | MFT OKLAHOMA 529 | B | 0.00 | 0.46 | 0.00 | 0.46 | 50.0 | 0.23 |
| 06/30/2018 (None) VARIOUS, BRISTER A | MFT SCHOLARS CHOICE | B | 0.00 | 422.29 | 0.00 | 422.29 | 50.0 | 211.15 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$485.53 | \$0.00 | \$485.53 | \$242.77 |

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

| | | | | | | | | |
|---|--------------|---|------|----------|----------|--------|----------|----------|
| 07/18/2018 (None) BRISTER, GARETT | VAT AMERITAS | B | 0.00 | 1.30 | 0.00 | 1.30 | 50.0 | 0.65 |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$1.30 | \$0.00 | \$1.30 | \$0.65 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | | \$950.00 | \$518.33 | \$0.00 | \$518.33 | \$259.18 |

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|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

ADJUSTMENTS TRANSACTIONS

BROKER: #0

| | | | | | | | | | |
|--|---|--------------------|--|--------|--------|--------|--------|--|----------|
| 07/31/2018 | : | BROKER WORKSTATION | | 0.00 | 0.00 | 0.00 | 0.00 | | -30.00 |
| 07/31/2018 | : | E-MAIL ACCOUNT | | 0.00 | 0.00 | 0.00 | 0.00 | | -25.00 |
| * SUBTOTAL * | | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-55.00 |
| *** ADJUSTMENTS TRANSACTIONS TOTAL *** | | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-55.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$358.74 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-55.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|--------------------------|------------|
| Net Commissions | \$303.74 |
| Year-to-date Commissions | \$1,587.42 |