04/19/2018 Page No. 1

COMMISSION STATEMENT

April 19, 2018

STEVEN COOK

3200 N. Central Ave. Suite 200 Phoenix, AZ 85012 BROKER #: 73756

BRANCH: 04-#70A11 HOME OFFIC

			INVESTMENT	GROSS	CLEARING	NET	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	COMMISSION

Company: CUE Financial Group, Inc

ADJUSTMENTS

PRIOR PERIOD BALANCE(SEE	BELOW)					0.00
*** ADJUS	STMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
				BROKER	COMMISSION	TOTALS
				Broker Transa	ctions	\$0.00
				Split Transa	ctions	\$0.00
				Override Transa	ctions	\$0.00
				Adjus	tments	\$0.00
				Prior Period E	salance	\$-15.00
				NASD Asse	ssment	\$0.00
				SIPC Asse	ssment	\$0.00
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		e Retain for Your F ILL BE NO CHECK TH		Balance F	orward	\$-15.00
				Year-to-date Ea	rnings	\$0.00

CONDITION	G	e	ammi imi	COOTE	
COMMISSION	STATEMENT	LOL	SIEVEN	COOK	

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
Company	y: Securities Am	erica					
		BROKER TRANS	ACTIONS				
PRODUCT C	CATEGORY: MUTUAL FUNDS						
	3 COOK (73756), STEVE	SAI SECURITES INCOME	0.00	56.00	0.00	56.00	28.45
		* MUTUAL FUNDS SUBTOTAL *	\$0.00	\$56.00	\$0.00	\$56.00	\$28.45
PRODUCT C	CATEGORY: STOCKS			•	·	·	·
	3 COOK (73756), STEVE	SAI PERSHING CHARGES	0.00	-103.53	0.00	-103.53	-52.59
	3 COOK (73756), STEVE	SAI SECURITIES INCOME	0.00	299.71	0.00	299.71	152.25
		* STOCKS SUBTOTAL *	\$0.00	\$196.18	\$0.00	\$196.18	\$99.66
DDODIGE C	CATEGORY: RIA		4	,	4	4	4
	3 COOK (73756), STEVE	SAI ADVISORY INCOME (CUE RIA)	0.00	2,425.51	0.00	2,425.51	1,232.16
01/11/2010	, cook (,3,30), BIEVE						
		* RIA SUBTOTAL *	\$0.00	\$2,425.51	\$0.00	\$2,425.51	\$1,232.16
	CATEGORY: TRAILERS			500.60			222
04/19/2018	3 COOK (73756), STEVE	SAI.TRAILS	0.00	783.60	0.00	783.60	398.07
		* TRAILERS SUBTOTAL *	\$0.00	\$783.60	\$0.00	\$783.60	\$398.07
		*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$3,461.29	\$0.00	\$3,461.29	\$1,758.34
		ADJUSTME	NTS				
		15. SAI SIPC PE 4/13/18					-9.47
		15. SAI SIPC PE 4/13/18 *** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	-9.47 \$-9.4 7
			\$0.00	\$0.00		\$0.00 ER COMMISSION	\$-9.47
			\$0.00	\$0.00		ER COMMISSION	\$-9.47
			\$0.00	\$0.00	BROKE	ER COMMISSION	\$-9.47
			\$0.00	\$0.00	BROKE Broker Tra	ER COMMISSION nsactions nsactions	\$-9.47 I TOTALS \$1,758.34
			\$0.00	\$0.00	BROKE Broker Tra Split Tra Override Tra	ER COMMISSION nsactions nsactions	\$-9.47 I TOTALS \$1,758.34 \$0.00 \$0.00 \$-9.47
			\$0.00	\$0.00	BROKE Broker Tra Split Tra Override Tra	ER COMMISSION nsactions nsactions nsactions justments	\$-9.47 I TOTALS \$1,758.34 \$0.00 \$0.00 \$-9.47 \$0.00
			\$0.00	\$0.00	BROKE Broker Tra Split Tra Override Tra Ad Prior Perior	ER COMMISSION nsactions nsactions nsactions justments	\$-9.47 I TOTALS \$1,758.34 \$0.00 \$0.00 \$-9.47 \$0.00 \$0.00
			\$0.00	\$0.00	BROKE Broker Tra Split Tra Override Tra Ad Prior Perio NASD A	er COMMISSION nsactions nsactions nsactions justments d Balance	\$-9.47 I TOTALS \$1,758.34 \$0.00 \$0.00 \$-9.47 \$0.00
			\$0.00	\$0.00	BROKE Broker Tra Split Tra Override Tra Ad Prior Perior NASD A SIPC A	msactions nsactions nsactions nsactions justments d Balance ssessment	\$-9.47 I TOTALS \$1,758.34 \$0.00 \$0.00 \$-9.47 \$0.00 \$0.00