

All Companies
STATEMENT
March 20, 2018

JEFF JONES
1601 Mier St

BROKER #: 016
BRANCH: LA BRANCH

Laredo, TX 78043-

COMMISSION STATEMENT for JEFF JONES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>										
01/05/0018 #2000347795	PEREZ, SELINA	AGGRESSIVEC	B	50.00	0.50	0.00	0.00	0.50	85.0	0.43
01/05/0018 #2000347795	PEREZ, SELINA	CONSERVATIVE C	B	50.00	0.50	0.00	0.00	0.50	85.0	0.43
02/15/2018 #00074389206	HUMB, LAUREL	DEVELOPING WORLD GROWTH & INCOME-C	B	49.30	0.49	0.00	0.00	0.49	85.0	0.42
02/15/2018 #00074389206	HUMB, LAUREL	DEVELOPING WORLD GROWTH & INCOME-C	B	49.67	0.50	0.00	0.00	0.50	85.0	0.43
02/15/2018 #00074389206	HUMB, LAUREL	EURO PAC GROWTH FUND CL C	B	24.65	0.25	0.00	0.00	0.25	85.0	0.21
02/15/2018 #00074389206	HUMB, LAUREL	EURO PAC GROWTH FUND CL C	B	24.84	0.25	0.00	0.00	0.25	85.0	0.21
02/15/2018 #00074389206	HUMB, LAUREL	FUNDAMENTAL INVS INC CL C	B	49.30	0.49	0.00	0.00	0.49	85.0	0.42
02/15/2018 #00074389206	HUMB, LAUREL	FUNDAMENTAL INVS INC CL C	B	49.67	0.50	0.00	0.00	0.50	85.0	0.43
02/15/2018 #00074389206	HUMB, LAUREL	GROWTH FUND AMER INC CL C	B	49.30	0.49	0.00	0.00	0.49	85.0	0.42
02/15/2018 #00074389206	HUMB, LAUREL	GROWTH FUND AMER INC CL C	B	49.67	0.50	0.00	0.00	0.50	85.0	0.43
02/15/2018 #00088848033	DELTORRER	INTERMEDIATE BOND FUND OF AMERICA-A	B	75.00	1.50	0.00	0.00	1.50	85.0	1.28
02/15/2018 #00088859005	REGALADO, JOSE	INVESTMENT CO AMER CL C	B	132.77	1.33	0.00	0.00	1.33	85.0	1.13
02/15/2018 #00088859005	REGALADO, JOSE	INVESTMENT CO AMER CL C	B	103.88	1.04	0.00	0.00	1.04	85.0	0.88
02/15/2018 #00089051015	VELACESARE	INVESTMENT CO AMER CL C	B	117.21	1.17	0.00	0.00	1.17	85.0	0.99
02/15/2018 #00089051015	VELACESARE	INVESTMENT CO AMER CL C	B	118.10	1.18	0.00	0.00	1.18	85.0	1.00
02/15/2018 #00089128773	VELACESARE	INVESTMENT CO AMER CL C	B	50.00	0.50	0.00	0.00	0.50	85.0	0.43
02/15/2018 #00089125404	KELLETJULI	INVESTMENT CO AMER CLASS A	B	87.74	4.39	0.00	0.00	4.39	85.0	3.73
02/15/2018 #00089125404	KELLETJULI	NEW PERSPECTIVE FUND INC CL A	B	35.10	1.76	0.00	0.00	1.76	85.0	1.50
02/15/2018 #00088859005	REGALADO, JOSE	NEW PERSPECTIVE FUND INC CL C	B	53.11	0.53	0.00	0.00	0.53	85.0	0.45
02/15/2018 #00088859005	REGALADO, JOSE	NEW PERSPECTIVE FUND INC CL C	B	41.55	0.42	0.00	0.00	0.42	85.0	0.36
02/15/2018 #00089051015	VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	46.88	0.47	0.00	0.00	0.47	85.0	0.40
02/15/2018 #00089051015	VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	47.24	0.47	0.00	0.00	0.47	85.0	0.40
02/15/2018 #00089128773	VELACESARE	NEW PERSPECTIVE FUND INC CL C	B	20.00	0.20	0.00	0.00	0.20	85.0	0.17
02/15/2018 #00089778964	MILLER, THOMAS	NEW PERSPECTIVE FUND INC CL C	B	250.00	2.50	0.00	0.00	2.50	85.0	2.13
02/15/2018 #00089125404	KELLETJULI	NEW WORLD FUND INC NEW	B	35.10	1.76	0.00	0.00	1.76	85.0	1.50
02/15/2018 #00074389206	HUMB, LAUREL	NEW WORLD FUND INC NEW CL C	B	24.65	0.25	0.00	0.00	0.25	85.0	0.21
02/15/2018 #00074389206	HUMB, LAUREL	NEW WORLD FUND INC NEW CL C	B	24.84	0.25	0.00	0.00	0.25	85.0	0.21
02/15/2018 #00088848033	DELTORRER	NEW WORLD FUND INC NEW CL C	B	30.00	0.30	0.00	0.00	0.30	85.0	0.26
02/15/2018 #00088859005	REGALADO, JOSE	NEW WORLD FUND INC NEW CL C	B	26.56	0.27	0.00	0.00	0.27	85.0	0.23
02/15/2018 #00088859005	REGALADO, JOSE	NEW WORLD FUND INC NEW CL C	B	20.77	0.21	0.00	0.00	0.21	85.0	0.18
02/15/2018 #00089051015	VELACESARE	NEW WORLD FUND INC NEW CL C	B	46.89	0.47	0.00	0.00	0.47	85.0	0.40

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<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>										
02/15/2018	#00089051015	VELACESARE NEW WORLD FUND INC NEW CL C	B	47.24	0.47	0.00	0.00	0.47	85.0	0.40
02/15/2018	#00089128773	VELACESARE NEW WORLD FUND INC NEW CL C	B	20.00	0.20	0.00	0.00	0.20	85.0	0.17
02/15/2018	#00074389206	HUMB, LAUREL SMALLCAP WORLD FUND INC CL C	B	24.65	0.25	0.00	0.00	0.25	85.0	0.21
02/15/2018	#00074389206	HUMB, LAUREL SMALLCAP WORLD FUND INC CL C	B	24.84	0.25	0.00	0.00	0.25	85.0	0.21
02/15/2018	#00088848033	DELTORRER SMALLCAP WORLD FUND INC CL C	B	15.00	0.15	0.00	0.00	0.15	85.0	0.13
02/15/2018	#00088859005	REGALADO, JOSE SMALLCAP WORLD FUND INC CL C	B	53.11	0.53	0.00	0.00	0.53	85.0	0.45
02/15/2018	#00088859005	REGALADO, JOSE SMALLCAP WORLD FUND INC CL C	B	41.55	0.42	0.00	0.00	0.42	85.0	0.36
02/15/2018	#00089051015	VELACESARE SMALLCAP WORLD FUND INC CL C	B	23.44	0.23	0.00	0.00	0.23	85.0	0.20
02/15/2018	#00089051015	VELACESARE SMALLCAP WORLD FUND INC CL C	B	23.62	0.24	0.00	0.00	0.24	85.0	0.20
02/15/2018	#00089128773	VELACESARE SMALLCAP WORLD FUND INC CL C	B	10.00	0.10	0.00	0.00	0.10	85.0	0.09
02/15/2018	#00089125404	KELLETJULI SMALLCAP WORLD FUND INC CLASS A	B	17.54	0.88	0.00	0.00	0.88	85.0	0.75
02/15/2018	#00074389206	HUMB, LAUREL THE NEW ECONOMY FUND-C	B	24.65	0.25	0.00	0.00	0.25	85.0	0.21
02/15/2018	#00074389206	HUMB, LAUREL THE NEW ECONOMY FUND-C	B	24.84	0.25	0.00	0.00	0.25	85.0	0.21
02/15/2018	#00088848033	DELTORRER THE NEW ECONOMY FUND-C	B	30.00	0.30	0.00	0.00	0.30	85.0	0.26
02/15/2018	#00089778964	MILLER, THOMAS THE NEW ECONOMY FUND-C	B	250.00	2.50	0.00	0.00	2.50	85.0	2.13
02/20/2018	#00084419230	MELENDEZ, JA INTERNATIONAL GROWTH AND INCOME-A	B	100.00	5.00	0.00	0.00	5.00	85.0	4.25
* MUTUAL FUNDS SUBTOTAL *				\$2,564.27	\$37.46	\$0.00	\$0.00	\$37.46		\$31.90
<u>PRODUCT CATEGORY: STOCKS</u>										
02/01/2018	# S TRUST CO R/O IRA, BNYM I	INTERNATIONAL BANCSHARES CORP TEX	S	137,235.95	1,379.80	19.95	0.00	1,359.85	85.0	1,155.87
02/01/2018	#	INTERNATIONAL BANCSHARES CORP TEX	S	137,235.95	1,379.80	19.95	0.00	1,359.85	85.0	1,155.87
02/01/2018	# PENA, DIANA	QORVO INC COM	S	8,213.10	105.00	19.95	0.00	85.05	85.0	72.29
02/01/2018	# PENA, DIANA	QORVO INC COM	S	1,416.78	50.07	19.95	0.00	30.12	85.0	25.60
* STOCKS SUBTOTAL *				\$284,101.78	\$2,914.67	\$79.80	\$0.00	\$2,834.87		\$2,409.63
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>										
01/01/0018	#1098000000	DEL NORTE, JOHN HANCOCK LIFE TRAILS	B	0.00	189.05	0.00	0.00	189.05	85.0	160.69
02/02/2018	#702155	BARRERA, ADRIANA JOHN HANCOCK LIFE TRAILS	B	0.00	57.84	0.00	0.00	57.84	85.0	49.16
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$246.89	\$0.00	\$0.00	\$246.89		\$209.85
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
02/06/2018	# BENAVIDES, ENRIQUE	DAIN TRAILER	B	0.00	0.72	0.00	0.00	0.72	85.0	0.61
02/06/2018	# ROSILLO, MARIA	DAIN TRAILER	B	0.00	4.56	0.00	0.00	4.56	85.0	3.88
02/06/2018	# CANTU, VIDAL	DAIN TRAILER	B	0.00	7.35	0.00	0.00	7.35	85.0	6.25
02/09/2018	# PENA, DIANA	DAIN TRAILER	B	0.00	1.15	0.00	0.00	1.15	85.0	0.98
02/09/2018	# PENA, DIANA	DAIN TRAILER	B	0.00	8.08	0.00	0.00	8.08	85.0	6.87
02/09/2018	# PENA, DIANA	DAIN TRAILER	B	0.00	0.81	0.00	0.00	0.81	85.0	0.69
02/09/2018	# PENA, DIANA	DAIN TRAILER	B	0.00	2.73	0.00	0.00	2.73	85.0	2.32
02/09/2018	# PENA, DIANA	DAIN TRAILER	B	0.00	1.11	0.00	0.00	1.11	85.0	0.94
02/09/2018	# PENA, DIANA	DAIN TRAILER	B	0.00	8.93	0.00	0.00	8.93	85.0	7.59
02/09/2018	# PENA, DIANA	DAIN TRAILER	B	0.00	3.83	0.00	0.00	3.83	85.0	3.26

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/09/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	6.83	0.00	0.00	6.83	85.0	5.81
02/09/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	17.04	0.00	0.00	17.04	85.0	14.48
02/09/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	15.35	0.00	0.00	15.35	85.0	13.05
02/09/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	8.85	0.00	0.00	8.85	85.0	7.52
02/09/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	4.90	0.00	0.00	4.90	85.0	4.17
02/09/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	0.07	0.00	0.00	0.07	85.0	0.06
02/09/2018 #	PENA, DIANA	DAIN TRAILER	B	0.00	1.74	0.00	0.00	1.74	85.0	1.48
02/09/2018 #	GARCIA, YOLANDA	DAIN TRAILER	B	0.00	7.66	0.00	0.00	7.66	85.0	6.51
02/09/2018 #	BARRERA, ADRIANA	DAIN TRAILER	B	0.00	7.46	0.00	0.00	7.46	85.0	6.34
02/09/2018 #	RAMIREZ, J EDMUNDO	DAIN TRAILER	B	0.00	2.95	0.00	0.00	2.95	85.0	2.51
02/09/2018 #	MARTINEZ, MARISSA	DAIN TRAILER	B	0.00	0.25	0.00	0.00	0.25	85.0	0.21
02/09/2018 #	LAMKIN, DYANNE	DAIN TRAILER	B	0.00	0.96	0.00	0.00	0.96	85.0	0.82
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$113.33	\$0.00	\$0.00	\$113.33		\$96.35
*** BROKER TRANSACTIONS TOTAL ***				\$286,666.05	\$3,312.35	\$79.80	\$0.00	\$3,232.55		\$2,747.73

ADJUSTMENTS

03/20/2018	ADMIN FEE: ADMIN FEE	-320.00
03/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
03/20/2018	MWWOD:MISCELLANEOUS: WRITE-OFF	-49.89
	DEBIT BALANCE	
*** ADJUSTMENTS TOTAL ***		\$-548.89

BROKER COMMISSION TOTALS

Broker Transactions	\$2,747.73
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-548.89
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount \$2,198.84