



# COMMISSION STATEMENT

June 25, 2018

JOHN KELLY  
2400 E. Arizona Biltmore Cir.  
Suite 1100  
Phoenix, AZ 85016

BROKER #: 279  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/22/2018	***-**-645P - DICARLO, MICHELE	AMCAP FUND-A	39.90	0.80	0.00	0.80	90.0	0.72
	ACCOUNT #: 00087877714	BUY 1 Units @34.6600						
06/22/2018	***-**-645P - DICARLO, MICHELE	AMCAP FUND-A	125.00	2.50	0.00	2.50	90.0	2.25
	ACCOUNT #: 00087877714	BUY 4 Units @34.6600						
06/07/2018	***-**-6863 - MARTIN, MITCHELL	AMCAP FUND-C	2.40	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @30.4500						
06/22/2018	***-**-6863 - MARTIN, MITCHELL	AMCAP FUND-C	2.40	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @29.7000						
06/07/2018	***-**-0900 - SMITH, PATRICK	AMERICAN 2035 TARGET DATE RETIREMNT	40.06	0.40	0.00	0.40	90.0	0.36
	ACCOUNT #: 00089949168	BUY 3 Units @15.0900						
06/07/2018	***-**-6890 - MARKS, MARK	AMERICAN 2035 TARGET DATE RETIREMNT	20.00	0.20	0.00	0.20	90.0	0.18
	ACCOUNT #: 04000001565	BUY 1 Units @15.0900						
06/22/2018	***-**-0900 - SMITH, PATRICK	AMERICAN 2035 TARGET DATE RETIREMNT	39.00	0.39	0.00	0.39	90.0	0.35
	ACCOUNT #: 00089949168	BUY 3 Units @15.0000						
06/22/2018	***-**-6890 - MARKS, MARK	AMERICAN 2035 TARGET DATE RETIREMNT	20.00	0.20	0.00	0.20	90.0	0.18
	ACCOUNT #: 04000001565	BUY 1 Units @15.0000						
06/22/2018	***-**-6584 - BACON, ANDREA	AMERICAN 2040 TARGET DATE RETIREMNT	66.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: 00089949172	BUY 4 Units @15.4000						
06/07/2018	***-**-1727 - PETERSON, JON	AMERICAN FUNDS 2030 TARGET DATE-C	10.00	0.10	0.00	0.10	90.0	0.09
	ACCOUNT #: 00089973868	BUY 1 Units @14.5100						
06/22/2018	***-**-1727 - PETERSON, JON	AMERICAN FUNDS 2030 TARGET DATE-C	10.00	0.10	0.00	0.10	90.0	0.09
	ACCOUNT #: 00089973868	BUY 1 Units @14.4300						
06/07/2018	***-**-6863 - MARTIN, MITCHELL	AMERICAN MUTUAL FUND-C	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @40.6100						
06/22/2018	***-**-6863 - MARTIN, MITCHELL	AMERICAN MUTUAL FUND-C	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @40.2000						
06/08/2018	***-**-835P - BARNETT, ROBERT	COLLEGE 2027 FUND-529A	100.00	2.00	0.00	2.00	90.0	1.80
	ACCOUNT #: 00089237420	BUY 8 Units @12.9800						
06/07/2018	***-**-6863 - MARTIN, MITCHELL	EUROPACIFIC GROWTH FUND CLASS C	6.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @55.8700						
06/22/2018	***-**-6863 - MARTIN, MITCHELL	EUROPACIFIC GROWTH FUND CLASS C	6.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @52.9300						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
06/22/2018	***-**-645P - DICARLO, MICHELE	EUROPACIFIC GROWTH FUND-A	56.51	1.13	0.00	1.13	90.0	1.02
	ACCOUNT #: 00087877714	BUY 1 Units @55.7400						
06/22/2018	***-**-645P - DICARLO, MICHELE	EUROPACIFIC GROWTH FUND-A	177.08	3.54	0.00	3.54	90.0	3.19
	ACCOUNT #: 00087877714	BUY 3 Units @55.7400						
06/07/2018	***-**-6863 - MARTIN, MITCHELL	INVESTMENT COMPANY OF AMERICA CLASS	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @40.9400						
06/22/2018	***-**-6863 - MARTIN, MITCHELL	INVESTMENT COMPANY OF AMERICA CLASS	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @40.2100						
06/22/2018	***-**-645P - DICARLO, MICHELE	NEW WORLD FUND-A	13.30	0.27	0.00	0.27	90.0	0.24
	ACCOUNT #: 00087877714	BUY 0 Units @67.8500						
06/22/2018	***-**-645P - DICARLO, MICHELE	NEW WORLD FUND-A	41.67	0.83	0.00	0.83	90.0	0.75
	ACCOUNT #: 00087877714	BUY 1 Units @67.8500						
06/07/2018	***-**-6863 - MARTIN, MITCHELL	NEW WORLD FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @65.3500						
06/22/2018	***-**-6863 - MARTIN, MITCHELL	NEW WORLD FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @63.7000						
06/22/2018	***-**-645P - DICARLO, MICHELE	SMALLCAP WORLD FUND-A	16.63	0.33	0.00	0.33	90.0	0.30
	ACCOUNT #: 00087877714	BUY 0 Units @61.2000						
06/22/2018	***-**-645P - DICARLO, MICHELE	SMALLCAP WORLD FUND-A	52.08	1.04	0.00	1.04	90.0	0.94
	ACCOUNT #: 00087877714	BUY 1 Units @61.2000						
06/07/2018	***-**-6863 - MARTIN, MITCHELL	SMALLCAP WORLD FUND-C	4.50	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @52.2400						
06/22/2018	***-**-6863 - MARTIN, MITCHELL	SMALLCAP WORLD FUND-C	4.50	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @52.2900						
06/22/2018	***-**-645P - DICARLO, MICHELE	THE BOND FUND OF AMERICA-A	56.53	1.13	0.00	1.13	90.0	1.02
	ACCOUNT #: 00087877714	BUY 4 Units @12.8400						
06/22/2018	***-**-645P - DICARLO, MICHELE	THE BOND FUND OF AMERICA-A	177.08	3.54	0.00	3.54	90.0	3.19
	ACCOUNT #: 00087877714	BUY 14 Units @12.8400						
06/07/2018	***-**-6863 - MARTIN, MITCHELL	THE BOND FUND OF AMERICA-C	1.50	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @12.5200						
06/22/2018	***-**-6863 - MARTIN, MITCHELL	THE BOND FUND OF AMERICA-C	1.50	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @12.5200						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
06/22/2018	***-**-645P - DICARLO, MICHELE	THE GROWTH FUND OF AMERICA-A	66.50	1.33	0.00	1.33	90.0	1.20
	ACCOUNT #: 00087877714	BUY 1 Units @56.2400						
06/22/2018	***-**-645P - DICARLO, MICHELE	THE GROWTH FUND OF AMERICA-A	208.35	4.17	0.00	4.17	90.0	3.75
	ACCOUNT #: 00087877714	BUY 4 Units @56.2400						
06/07/2018	***-**-6863 - MARTIN, MITCHELL	THE GROWTH FUND OF AMERICA-C	5.40	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @50.2200						
06/22/2018	***-**-6863 - MARTIN, MITCHELL	THE GROWTH FUND OF AMERICA-C	5.40	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @50.6600						
06/22/2018	***-**-645P - DICARLO, MICHELE	THE INVESTMENT COMPANY OF AMERICA-A	66.50	1.33	0.00	1.33	90.0	1.20
	ACCOUNT #: 00087877714	BUY 2 Units @41.6600						
06/22/2018	***-**-645P - DICARLO, MICHELE	THE INVESTMENT COMPANY OF AMERICA-A	208.33	4.17	0.00	4.17	90.0	3.75
	ACCOUNT #: 00087877714	BUY 5 Units @41.6600						
06/07/2018	***-**-6863 - MARTIN, MITCHELL	THE NEW ECONOMY FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @44.8300						
06/22/2018	***-**-6863 - MARTIN, MITCHELL	THE NEW ECONOMY FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @44.5600						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$1,670.52</b>	<b>\$30.76</b>	<b>\$0.00</b>	<b>\$30.76</b>		<b>\$27.74</b>
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
06/12/2018	***-**-8258 - IFG, KELLY,J	PACIFIC LIFE CHOICE DTCC	495.00	9.90	0.00	9.90	90.0	8.91
	ACCOUNT #: VP60358060	BUY 0 Units @0.0000						
06/15/2018	***-**-5757 - DEGROOT, ROBERT	PACIFIC LIFE DTCC RENEWAL	739.00	14.78	0.00	14.78	90.0	13.30
	ACCOUNT #: VP60706860	BUY 0 Units @0.0000						
06/15/2018	***-**-7979 - THOMPSON,	PACIFIC LIFE DTCC RENEWAL	500.00	10.00	0.00	10.00	90.0	9.00
	ACCOUNT #: VP60440630	BUY 0 Units @0.0000						
06/14/2018	***-**-4862 - BARTOLI, CARL	PACIFIC LIFE EXEC II DTCC	230.35	2.28	0.00	2.28	90.0	2.05
	ACCOUNT #: VP61059140	BUY 0 Units @0.0000						
06/14/2018	***-**-4862 - BARTOLI, CARL	PACIFIC LIFE EXEC II DTCC	230.35	2.30	0.00	2.30	90.0	2.07
	ACCOUNT #: VP61059140	BUY 0 Units @0.0000						
06/14/2018	***-**-4862 - BARTOLI, CARL	PACIFIC LIFE EXEC II DTCC	186.33	1.84	0.00	1.84	90.0	1.66
	ACCOUNT #: VP61059140	BUY 0 Units @0.0000						
06/14/2018	***-**-4862 - BARTOLI, CARL	PACIFIC LIFE EXEC II DTCC	186.33	1.86	0.00	1.86	90.0	1.67
	ACCOUNT #: VP61059140	BUY 0 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: 529 PLAN (continued)</b>								
* VARIABLE ANNUITIES SUBTOTAL *			\$2,567.36	\$42.96	\$0.00	\$42.96		\$38.66
<b>PRODUCT CATEGORY: 529 PLAN</b>								
06/15/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584124	EUROPACIFIC GROWTH FUND-529A BUY 1 Units @56.7700	50.00	1.88	0.00	1.88	90.0	1.69
06/15/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584201	EUROPACIFIC GROWTH FUND-529A BUY 1 Units @56.7700	50.00	1.88	0.00	1.88	90.0	1.69
06/15/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584124	THE BOND FUND OF AMERICA-529A BUY 4 Units @13.0000	50.00	1.50	0.00	1.50	90.0	1.35
06/15/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584201	THE BOND FUND OF AMERICA-529A BUY 4 Units @13.0000	50.00	1.50	0.00	1.50	90.0	1.35
06/15/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584124	THE GROWTH FUND OF AMERICA-529A BUY 1 Units @56.9700	50.00	1.88	0.00	1.88	90.0	1.69
06/15/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584201	THE GROWTH FUND OF AMERICA-529A BUY 1 Units @56.9700	50.00	1.88	0.00	1.88	90.0	1.69
06/15/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584124	THE INVESTMENT COMPANY OF AMERICA-5 BUY 1 Units @42.6900	50.00	1.88	0.00	1.88	90.0	1.69
06/15/2018	***-**-015P - GARCHA, ACCOUNT #: 00087584201	THE INVESTMENT COMPANY OF AMERICA-5 BUY 1 Units @42.6900	50.00	1.88	0.00	1.88	90.0	1.69
* 529 PLAN SUBTOTAL *			\$400.00	\$14.28	\$0.00	\$14.28		\$12.84
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
06/25/2018	***-**-85TR - KELLY TRUST, ACCOUNT #: LSR005290	AIM DEVELOPING MARKETS FUND CLASS A BUY 1 Units @0.0000	0.00	7.76	0.00	7.76	90.0	6.98
06/25/2018	***-**-85TR - KELLY TRUST, ACCOUNT #: LSR005290	AIM GLOBAL AGGRESSIVE GROWTH FUND C BUY 1 Units @0.0000	0.00	7.51	0.00	7.51	90.0	6.76
06/25/2018	***-**-0726 - DAHL, KEVIN ACCOUNT #: LSR107425	DREYFUS RESEARCH GROWTH FD CL A BUY 1 Units @0.0000	0.00	1.96	0.00	1.96	90.0	1.76
06/25/2018	***-**-7034 - DAHL, ROXANNE ACCOUNT #: LSR108782	DREYFUS RESEARCH GROWTH FD CL A BUY 1 Units @0.0000	0.00	3.94	0.00	3.94	90.0	3.55
06/25/2018	***-**-0726 - DAHL, KEVIN ACCOUNT #: LSR107409	FRANKLIN INCOME FUND CLASS C BUY 1 Units @0.0000	0.00	112.29	0.00	112.29	90.0	101.06



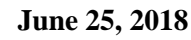
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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
06/25/2018	***-**-0726 - DAHL, KEVIN	GLOBAL FUND A	0.00	1.34	0.00	1.34	90.0	1.21
	ACCOUNT #: LSR107425	BUY 1 Units @0.0000						
06/25/2018	***-**-7034 - DAHL, ROXANNE	GLOBAL FUND A	0.00	2.61	0.00	2.61	90.0	2.35
	ACCOUNT #: LSR108782	BUY 1 Units @0.0000						
06/25/2018	***-**-83IN - BARNETT, ROBERT	INTERNATIONAL GROWTH FUND A	0.00	3.52	0.00	3.52	90.0	3.17
	ACCOUNT #: LSR010053	BUY 1 Units @0.0000						
06/25/2018	***-**-85TR - KELLY TRUST,	INVESCO VAN KAMPEN COMSTOCK CLASS A	0.00	15.10	0.00	15.10	90.0	13.59
	ACCOUNT #: LSR005290	BUY 1 Units @0.0000						
06/25/2018	***-**-0726 - DAHL, KEVIN	OPPENHEIMER SENIOR FLOATING RATE CL	0.00	1.27	0.00	1.27	90.0	1.14
	ACCOUNT #: LSR107425	BUY 1 Units @0.0000						
06/25/2018	***-**-7034 - DAHL, ROXANNE	OPPENHEIMER SENIOR FLOATING RATE CL	0.00	1.56	0.00	1.56	90.0	1.40
	ACCOUNT #: LSR108782	BUY 1 Units @0.0000						
	<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$158.86</b>	<b>\$0.00</b>	<b>\$158.86</b>		<b>\$142.97</b>
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
06/15/2018	***-**-3480 - KELLY, WARREN	PACIFIC LIFE EXEC II DTCC	0.00	143.26	0.00	143.26	90.0	128.93
	ACCOUNT #: VP65413480	BUY 0 Units @0.0000						
	<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$143.26</b>	<b>\$0.00</b>	<b>\$143.26</b>		<b>\$128.93</b>
	<b>*** BROKER TRANSACTIONS TOTAL ***</b>		<b>\$4,637.88</b>	<b>\$390.12</b>	<b>\$0.00</b>	<b>\$390.12</b>		<b>\$351.14</b>



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				GROSS	CLEARING	NET	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
BROKER COMMISSION TOTALS							
						Broker Transactions	\$351.14
						Split Transactions	\$0.00
						Override Transactions	\$0.00
						Adjustments	\$0.00
						Payroll Draw	\$0.00
						Base Salary	\$0.00
						Prior Period Balance	\$0.00
						FINRA Assessment	\$0.00
						SIPC Assessment	\$0.00
						Net Commission Earned	\$351.14
						Year-to-date Earnings	\$188,785.81