

COMMISSION STATEMENT

April 30, 2017

EDWARD BYRNE

421 HANOVER CENTER ROAD

ETNA, NH 03750

BROKER #:

BRANCH: HOME OFFICE

COMMISSION STATEMENT for EDWARD BYRNE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

03/29/2017	QGN005720	MARIO CAMARA	VUZIX CORP COM NEW	B	14,500.00	250.00	17.00	233.00	75.0	170.50
04/25/2017	QGN005720	MARIO CAMARA	REGULUS THERAPEUTICS INC COM	B	14,418.00	300.00	17.00	283.00	75.0	208.00
03/29/2017	QGW031809	SABER, MARK	NEONODE INC COM NEW	S	-2,394.30	25.00	17.00	8.00	75.0	1.75
04/17/2017	QGW031833	CASSADY REVOCABLE	NEONODE INC COM NEW	S	-4,838.76	40.00	17.00	23.00	75.0	13.00
03/29/2017	QGW031882	MICKES, THOMAS	VUZIX CORP COM NEW	B	14,491.50	150.00	17.00	133.00	75.0	95.50
03/30/2017	QGW031882	MICKES, THOMAS	VUZIX CORP COM NEW	B	15,375.00	200.00	17.00	183.00	75.0	133.00
04/25/2017	QGW031882	MICKES, THOMAS	NEONODE INC COM NEW	B	12,609.75	225.00	17.00	208.00	75.0	151.75
04/25/2017	QGW031908	FINNEGAN, MICHAEL	NEONODE INC COM NEW	B	12,695.25	225.00	17.00	208.00	75.0	151.75
03/29/2017	QGW031957	COLE, PHILLIP	NEONODE INC COM NEW	S	-3,181.40	25.00	17.00	8.00	75.0	1.75
04/25/2017	QGW031957	COLE, PHILLIP	NEONODE INC COM NEW	B	8,249.50	150.00	17.00	133.00	75.0	95.50
* STOCKS SUBTOTAL *					<u>\$81,924.54</u>	<u>\$1,590.00</u>	<u>\$170.00</u>	<u>\$1,420.00</u>		<u>\$1,022.50</u>

PRODUCT CATEGORY: OPTIONS

04/24/2017	QGW031882	MICKES, THOMAS	CALL 100 ENERGOUS CORP COM EXP 01-1 B		2,800.00	161.92	30.00	131.92	75.0	91.44
* OPTIONS SUBTOTAL *					<u>\$2,800.00</u>	<u>\$161.92</u>	<u>\$30.00</u>	<u>\$131.92</u>		<u>\$91.44</u>
*** BROKER TRANSACTIONS TOTAL ***					<u>\$84,724.54</u>	<u>\$1,751.92</u>	<u>\$200.00</u>	<u>\$1,551.92</u>		<u>\$1,113.94</u>

ADJUSTMENTS

04/30/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
04/30/2017		OTHER: OTHER-ERROR		0.00	0.00	0.00	0.00		-479.53
04/30/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-5.00
*** ADJUSTMENTS TOTAL ***					<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-504.53</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$1,113.94
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-504.53
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-2.19
SIPC Assessment	\$-32.85

Check Amount	\$574.37
Year-to-date Earnings	\$7,777.84