



All Companies

05/14/2018

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COMMISSION STATEMENT

May 15, 2018

JOHN J. O'REILLY
25042 SUMMIT CREEK

BROKER #: 9585
BRANCH: HOME OFFICE

San Antonio, TX 78258-

COMMISSION STATEMENT for JOHN J. O'REILLY

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

03/31/2018	TRAILS	BLACK ROCK	B	0.48	0.48	0.00	0.48	70.0	0.34
03/31/2018	TRAILS	BLACK ROCK	B	0.48	0.48	0.00	0.48	70.0	0.34
04/25/2018	GONZALES, JACOB L.	FORESTERS FINANCIAL	B	30.00	1.50	0.00	1.50	70.0	1.05
05/01/2018	000000000092231 TARPINIAN, JOSEPH	FRK INTL SMALL CAP GROWTH FUND - CL B	B	50.00	2.50	0.00	2.50	70.0	1.75
05/01/2018	000000000092243 JONES, KAREN	FRK INTL SMALL CAP GROWTH FUND - CL B	B	55.00	1.54	0.00	1.54	70.0	1.08
05/01/2018	0000000001177637 JONES, KAREN M.	FRK AGGRESSIVE GRWTH - CL A	B	96.25	2.70	0.00	2.70	70.0	1.89
05/01/2018	0000000001292996 SCOTT, TAMMY	FRANKLIN RISING DIVIDEND 2 FD	B	50.00	0.50	0.00	0.50	70.0	0.35
05/01/2018	000006982462769 JONES, KAREN	TEMPLETON GLOBAL BD FD CL I	B	27.50	0.77	0.00	0.77	70.0	0.54
05/01/2018	0000015813931074 TARPINIAN, JOSEPH	FRANKLIN RISING DIVIDEND FD CL I	B	50.00	2.50	0.00	2.50	70.0	1.75
05/01/2018	0000015813931100 JONES, KAREN	FRANKLIN RISING DIVIDEND FD CL I	B	96.25	2.70	0.00	2.70	70.0	1.89
04/30/2018	00084586485 GUAJARDO, JORGE	THE GROWTH FUND OF AMERICA-C	B	150.00	1.50	0.00	1.50	70.0	1.05
04/23/2018	00084750749 ARTAIL, KIMBERLY	CAPITAL WORLD GROWTH AND INCOME-529 B	B	50.00	0.50	0.00	0.50	70.0	0.35
05/02/2018	00084988489 KINZIE, CAROLINE	INTERNATIONAL GROWTH AND INCOME-529 B	B	50.00	2.50	0.00	2.50	70.0	1.75
04/25/2018	01001201559 PLAN - 211696, PS	INVESCO MID CAP CORE EQUITY FUND-CL B	B	4.88	0.04	0.00	0.04	70.0	0.03
05/01/2018	01001201559 PLAN - 211696, PS	INVESCO MID CAP CORE EQUITY FUND-CL B	B	3.94	0.03	0.00	0.03	70.0	0.02
04/30/2018	02000035695 RIOS, ELVIRA	-SCHOLARS EDGE 529 PLAN	B	50.00	1.50	0.00	1.50	70.0	1.05
04/18/2018	1952247729 KINZIE, MELANIE	INTERNATIONAL DIVERSIFIED FUND A	B	50.00	2.38	0.00	2.38	70.0	1.67
04/30/2018	1970666875 PINA-HERNANDEZ, DIANA	INTERNATIONAL DIVERSIFIED FUND C	B	131.25	1.31	0.00	1.31	70.0	0.92
04/19/2018	1970871068 MUSQUIZ, CYNTHIA	INTERNATIONAL DIVERSIFIED FUND C	B	30.00	0.30	0.00	0.30	70.0	0.21
04/19/2018	2270927927 MUSQUIZ, CYNTHIA	OPPENHEIMER QUEST VALUE FD CL C	B	45.00	0.45	0.00	0.45	70.0	0.32
04/19/2018	2322742234 MUSQUIZ, CYNTHIA	OPPENHEIMER STRATEGIC INCOME FD CL B	B	15.00	0.15	0.00	0.15	70.0	0.11
04/25/2018	2513644465 WONG, FRANCESCA	OPPENHEIMER QUEST SMALL CAP VALUE B	B	100.00	4.00	0.00	4.00	70.0	2.80
04/19/2018	2931797433 MUSQUIZ, CYNTHIA	SENIOR FLOATING RATE FUND C	B	15.00	0.15	0.00	0.15	70.0	0.11
04/25/2018	3305138454 DYKES, LORI LEE	OPPENHEIMER GLOBAL FD CL A	B	56.25	2.67	0.00	2.67	70.0	1.87
04/19/2018	4120809215 MUSQUIZ, CYNTHIA	OPPENHEIMER GOLD & SPECIAL MINER B	B	15.00	0.15	0.00	0.15	70.0	0.11
04/19/2018	5020458436 MUSQUIZ, CYNTHIA	OPPENHEIMER DISCOVERY FD CL C	B	30.00	0.30	0.00	0.30	70.0	0.21
04/19/2018	5920295900 MUSQUIZ, CYNTHIA	REAL ESTATE FUND C	B	15.00	0.15	0.00	0.15	70.0	0.11

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

04/19/2018	7872043426	MUSQUIZ, CYNTHIA		OPPENHEIMER DEVELOPING MARKET FD CL B	30.00	0.30	0.00	0.30	70.0	0.21
04/19/2018	8270776754	MUSQUIZ, CYNTHIA		OPPENHEIMER INTL GROWTH FD CL C B	45.00	0.45	0.00	0.45	70.0	0.32
04/18/2018	8351853291	KINZIE, MELANIE		OPPENHEIMER QUEST CAPITAL VALUE B	25.00	1.19	0.00	1.19	70.0	0.83
04/19/2018	8370475684	MUSQUIZ, CYNTHIA		EQUITY INCOME FUND, INC. C B	60.00	0.60	0.00	0.60	70.0	0.42
04/25/2018	8806365271	DYKES, LORI LEE		OPPENHEIMER INTL BD FD CL A B	18.75	0.75	0.00	0.75	70.0	0.53
04/18/2018	8809188872	KINZIE, MELANIE		OPPENHEIMER INTL BD FD CL A B	25.00	1.00	0.00	1.00	70.0	0.70
04/30/2018	8821716979	PINA-HERNANDEZ, DIANA		OPPENHEIMER INTL BD FD CL C B	43.75	0.44	0.00	0.44	70.0	0.31
				* MUTUAL FUNDS SUBTOTAL *	\$1,514.78	\$38.48	\$0.00	\$38.48		\$26.99

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/15/2018	TRAIL COMMISSIONS			FRANKLIN TEMPLETON GROUP FEES	0.00	241.63	0.00	241.63	70.0	169.16
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$241.63	\$0.00	\$241.63		\$169.16
				*** BROKER TRANSACTIONS TOTAL ***	\$1,514.78	\$280.11	\$0.00	\$280.11		\$196.15

ADJUSTMENTS

05/15/2018				TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00		-27.50
				*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-27.50

BROKER COMMISSION TOTALS

Broker Transactions	\$196.15
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$168.65
Year-to-date Earnings	\$21,111.91