

COMMISSION STATEMENT

February 28, 2018

CORY LYON

14255 US Hwy One Suite 232 Juno Beach, FL 33408 BROKER #: L132 Terminated 10/08/2015

BRANCH: FORTITUDE-JUNO BEACH

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION | | |
|---|-----------------|--------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|--|--|
| | | DIRECT TRANS | SACTIONS | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS | | | | | | | | | | |
| 02/16/2016 | PLOSZEK, CARTER | AM FUNDS NEW PERSPECTIVE A | 0.00 | 0.54 | 0.00 | 0.54 | 0.0 | 0.00 | | |
| 03/15/2016 | PLOSZEK, CARTER | AM FUNDS NEW PERSPECTIVE A | 0.00 | 0.49 | 0.00 | 0.49 | 0.0 | 0.00 | | |
| 04/20/2016 | PLOSZEK, CARTER | AM FUNDS NEW PERSPECTIVE A | 0.00 | 0.56 | 0.00 | 0.56 | 0.0 | 0.00 | | |
| 05/16/2016 | PLOSZEK, CARTER | AM FUNDS NEW PERSPECTIVE A | 0.00 | 0.56 | 0.00 | 0.56 | 0.0 | 0.00 | | |
| 06/15/2016 | PLOSZEK, CARTER | AM FUNDS NEW PERSPECTIVE A | 0.00 | 0.57 | 0.00 | 0.57 | 0.0 | 0.00 | | |
| 07/18/2016 | PLOSZEK, CARTER | AM FUNDS NEW PERSPECTIVE A | 0.00 | 0.55 | 0.00 | 0.55 | 0.0 | 0.00 | | |
| 08/15/2016 | PLOSZEK, CARTER | AM FUNDS NEW PERSPECTIVE A | 0.00 | 0.59 | 0.00 | 0.59 | 0.0 | 0.00 | | |
| 09/16/2016 | PLOSZEK, CARTER | AM FUNDS NEW PERSPECTIVE A | 0.00 | 0.60 | 0.00 | 0.60 | 0.0 | 0.00 | | |
| 10/17/2016 | PLOSZEK, CARTER | AM FUNDS NEW PERSPECTIVE A | 0.00 | 0.58 | 0.00 | 0.58 | 0.0 | 0.00 | | |
| 11/18/2016 | PLOSZEK, CARTER | AM FUNDS NEW PERSPECTIVE A | 0.00 | 0.60 | 0.00 | 0.60 | 0.0 | 0.00 | | |
| 12/15/2016 | PLOSZEK, CARTER | AM FUNDS NEW PERSPECTIVE A | 0.00 | 0.57 | 0.00 | 0.57 | 0.0 | 0.00 | | |
| | | * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | \$0.00 | \$6.21 | \$0.00 | \$6.21 | | \$0.00 | | |
| | | *** DIRECT TRANSACTIONS TOTAL *** | \$0.00 | \$6.21 | \$0.00 | \$6.21 | | \$0.00 | | |

^{** -} Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| Broker | Transactions | \$0.00 |
|----------|---------------|--------|
| Split | Transactions | \$0.00 |
| Override | Transactions | \$0.00 |
| | Adjustments | \$0.00 |
| | Payroll Draw | \$0.00 |
| | Base Salary | \$0.00 |
| Prior Pe | eriod Balance | \$0.00 |
| FIN | RA Assessment | \$0.00 |
| SII | PC Assessment | \$0.00 |
| | | |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| ſ | Balance Forward | \$0.00 |
|---|-----------------------|--------|
| | Year-to-date Gross | \$7.71 |
| | Year-to-date Earnings | \$0.00 |