

**COMMISSION STATEMENT****May 31, 2017**

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU  
11617 Coldwater Road  
Suite 103  
Fort Wayne, IN 46845

BROKER #: L131  
BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
^05/09/2017	FORT WAYNE, CITY OF	FIDELITY INVESTMENTS	0.00	193.59	0.00	193.59	90.0	0.00
^05/11/2017	FORT WAYNE, CITY OF	FIDELITY INVESTMENTS	0.00	6,470.63	0.00	6,470.63	90.0	0.00
^04/26/2017	BECKER, PATRICIA	ITS MANAGEMENT	31,047.57	77.41	0.00	77.41	90.0	0.00
^04/26/2017	GATER, CAREY	ITS MANAGEMENT	70,598.53	132.01	0.00	132.01	90.0	0.00
^04/26/2017	COSTANZA, JOSEPH	ITS MANAGEMENT	19,379.25	-30.27	0.00	-30.27	90.0	0.00
* RIA SUBTOTAL *			\$121,025.35	\$6,843.37	\$0.00	\$6,843.37		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$121,025.35	\$6,843.37	\$0.00	\$6,843.37		\$0.00
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u></b>								
05/09/2017	FORT WAYNE, CITY OF	FIDELITY INVESTMENTS	0.00	58.08	0.00	58.08	90.0	52.27
05/11/2017	FORT WAYNE, CITY OF	FIDELITY INVESTMENTS	0.00	1,941.19	0.00	1,941.19	90.0	1,747.07
04/26/2017	BECKER, PATRICIA	ITS MANAGEMENT	31,047.57	23.22	0.00	23.22	90.0	20.90
04/26/2017	GATER, CAREY	ITS MANAGEMENT	70,598.53	39.60	0.00	39.60	90.0	35.64
04/26/2017	COSTANZA, JOSEPH	ITS MANAGEMENT	19,379.25	-9.08	0.00	-9.08	90.0	-8.17
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$121,025.35	\$2,053.01	\$0.00	\$2,053.01		\$1,847.71
*** SPLIT TRANSACTIONS TOTAL ***			\$121,025.35	\$2,053.01	\$0.00	\$2,053.01		\$1,847.71

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$1,847.71
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,847.71
Year-to-date Gross	\$7,111.04
Year-to-date Earnings	\$6,399.94