



COMMISSION STATEMENT

January 15, 2018

LOUIS HORWIN
7670 E La Junta Rd
Scottsdale, AZ 85255

BROKER #: A92
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
01/08/2018	***-**-48TR - LIVING TR, U/A ACCOUNT #: LSR011463	FIRST TR STRATEGIC HIGH INC FD COM SELL 455 Units @12.8400	5,842.20	94.06	24.10	69.96	50.0	34.98
01/05/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	GLOBAL X FDS INTERNET OF THNG SELL 690 Units @20.2900	14,000.10	210.00	28.80	181.20	50.0	90.60
01/08/2018	***-**-48TR - LIVING TR, U/A ACCOUNT #: LSR011463	MATTEL INC SOLICITED ORDER SELL 150 Units @15.8540	2,378.10	69.93	18.00	51.93	50.0	25.97
01/05/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	STELLUS CAP INVT CORP COM USD0.01 BUY 1120 Units @12.4400	13,932.80	215.00	37.40	177.60	50.0	88.80
* STOCKS SUBTOTAL *			\$36,153.20	\$588.99	\$108.30	\$480.69		\$240.35
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
01/05/2018	***-**-03IO - STANTON, KAREN ACCOUNT #: LSR011517	CROSS TIMBERS ROYALTY TRUST SOLICIT SELL 40 Units @15.0200	600.80	39.71	24.00	15.71	50.0	7.86
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$600.80	\$39.71	\$24.00	\$15.71		\$7.86
*** BROKER TRANSACTIONS TOTAL ***			\$36,754.00	\$628.70	\$132.30	\$496.40		\$248.21

BROKER COMMISSION TOTALS

Broker Transactions	\$248.21
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$248.21
Year-to-date Earnings	\$248.21