

All Companies  
**STATEMENT**  
 July 20, 2018

MICHAEL DORN

BROKER #: 058  
 BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for MICHAEL DORN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITIES

06/08/2018	#1021325453	SCHWARTZ, DAVID	PERSP II 01/16	39,001.35	1,950.07	85.0	0.00	1,657.56	0.00	1,657.56
* VARIABLE ANNUITIES SUBTOTAL *				\$39,001.35	\$1,950.07		\$0.00	\$1,657.56	\$0.00	\$1,657.56

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/11/2018 #	DAIN TRAILER	0.00	2.44	85.0	0.00	2.07	0.00	2.07
06/11/2018 #	DAIN TRAILER	0.00	1.04	85.0	0.00	0.88	0.00	0.88
06/11/2018 #	DAIN TRAILER	0.00	0.61	85.0	0.00	0.52	0.00	0.52
06/11/2018 #	DAIN TRAILER	0.00	1.84	85.0	0.00	1.56	0.00	1.56
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$5.93		\$0.00	\$5.03	\$0.00	\$5.03
*** BROKER TRANSACTIONS TOTAL ***		\$39,001.35	\$1,956.00		\$0.00	\$1,662.59	\$0.00	\$1,662.59

ADJUSTMENTS

07/20/2018	ADMIN FEE: ADMIN FEE	-320.00
07/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		<u>\$-499.00</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,662.59
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$1,163.59</b>
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