



COMMISSION STATEMENT

April 02, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/20/2018	***-**-153J - SHOCKEY, JEFFREY	AMERICAN FUNDS 2025 TARGET DATE-A	1,700.00	46.75	0.00	46.75	85.0	39.74
	ACCOUNT #: 00075824133	BUY 121 Units @14.0200						
03/19/2018	***-**-54IN - SCHMIDT, JENNY	CAPITAL WORLD GROWTH AND INCOME FUN	150.00	1.50	0.00	1.50	85.0	1.28
	ACCOUNT #: 00082865142	BUY 3 Units @51.5600						
03/19/2018	***-**-54IN - SCHMIDT, JENNY	INCOME FUND OF AMERICA CLASS C	100.00	1.00	0.00	1.00	85.0	0.85
	ACCOUNT #: 00082865142	BUY 4 Units @22.5800						
03/27/2018	***-**-036I - CROWL, LINDA	INVESCO MULTI ASSET INCOME FD CL C	1,750.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR010466	SELL 170 Units @10.3100						
03/27/2018	***-**-036I - CROWL, LINDA	PIMCO INCOME FUND CL C	1,750.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR010466	SELL 144 Units @12.1800						
03/19/2018	***-**-09IR - WILSON, EDWARD	THE GROWTH FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333515	BUY 4 Units @54.3900						
03/19/2018	***-**-09IR - WILSON, EDWARD	THE INCOME FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333515	BUY 8 Units @23.6800						
* MUTUAL FUNDS SUBTOTAL *			\$5,850.00	\$60.25	\$0.00	\$60.25		\$51.23
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/02/2018	***-**-036I - CROWL, LINDA	BLACKROCK BALANCED CAP FD CL C	0.00	43.29	0.00	43.29	85.0	36.80
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
04/02/2018	***-**-992I - SMITH, FBO MR	BLACKROCK BALANCED CAP FD CL C	0.00	28.24	0.00	28.24	85.0	24.00
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
04/02/2018	***-**-036I - CROWL, LINDA	BLACKROCK US MORTGAGE PORT CL C	0.00	15.78	0.00	15.78	85.0	13.41
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
04/02/2018	***-**-992I - SMITH, FBO MR	BLACKROCK US MORTGAGE PORT CL C	0.00	8.51	0.00	8.51	85.0	7.23
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
04/02/2018	***-**-635I - TOBIN, MAUREEN	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR011912	BUY 1 Units @0.0000						
04/02/2018	***-**-830J - STRAUB, JANE	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR011950	BUY 1 Units @0.0000						
04/02/2018	***-**-881I - HOUSER, LORI	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
04/02/2018	***-**-65IR - NORNHOLD,	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR012005	BUY 1 Units @0.0000						



COMMISSION STATEMENT

April 02, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
04/02/2018	***-**-13IR - LOYCH, ALICE	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	85.0	0.00
	ACCOUNT #: LSR012051	BUY 1 Units @0.0000						
04/02/2018	***-**-376X - WAGNER, LINDA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.12	0.00	0.12	85.0	0.10
	ACCOUNT #: LSR010451	BUY 1 Units @0.0000						
04/02/2018	***-**-036I - CROWL, LINDA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.88	0.00	1.88	85.0	1.60
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
04/02/2018	***-**-184I - BEAVERSON, ROYCE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.03	0.00	0.03	85.0	0.03
	ACCOUNT #: LSR010468	BUY 1 Units @0.0000						
04/02/2018	***-**-228I - BUCHANAN,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	85.0	0.02
	ACCOUNT #: LSR010538	BUY 1 Units @0.0000						
04/02/2018	***-**-949I - LICHT, FBO MS	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.32	0.00	0.32	85.0	0.27
	ACCOUNT #: LSR010542	BUY 1 Units @0.0000						
04/02/2018	***-**-992I - SMITH, FBO MR	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.21	0.00	0.21	85.0	0.18
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
04/02/2018	***-**-276I - GASSWINT, SUSAN	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	85.0	0.01
	ACCOUNT #: LSR010633	BUY 1 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$98.41	\$0.00	\$98.41		\$83.65
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
03/27/2018	***-**-99IR - VITALE, DAMIAN	ING ADVANTAGE SELECT	0.00	5.01	0.00	5.01	85.0	4.26
	ACCOUNT #: R073271-ZS	BUY 0 Units @0.0000						
03/27/2018	***-**-94IR - VITALE, LAURIE	ING ADVANTAGE SELECT	0.00	5.01	0.00	5.01	85.0	4.26
	ACCOUNT #: R073283-ZS	BUY 0 Units @0.0000						
	* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$10.02	\$0.00	\$10.02		\$8.52
	*** BROKER TRANSACTIONS TOTAL ***		\$5,850.00	\$168.68	\$0.00	\$168.68		\$143.40



COMMISSION STATEMENT
April 02, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER COMMISSION TOTALS</u>								
						Broker Transactions		\$143.40
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Net Commission Earned								\$143.40
Year-to-date Earnings								\$28,688.72