

**COMMISSION STATEMENT****October 31, 2017**

ROBERT (RUSTY) TWEED
2060 Huntington Drive
Suite 1
San Marino, CA 91108

BROKER #: T117 Terminated 10/30/2015
BRANCH: TWEED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/04/2017	ENGSTROM, COLEMAN	COLUMBIA ACORN INT'L A	0.00	2.52	0.00	2.52	0.0	0.00
10/04/2017	PANTON, GARY T & DEBORA	COLUMBIA CALIFORNIA TAX-EXEMPT FUND	0.00	0.86	0.00	0.86	0.0	0.00
10/04/2017	UMBRELLO, ALBERT	COLUMBIA LARGE CAP GROWTH A	0.00	6.64	0.00	6.64	0.0	0.00
10/04/2017	UMBRELLO, ALBERT	COLUMBIA SELIGMAN COMM AND INFO A	0.00	5.52	0.00	5.52	0.0	0.00
10/02/2017	DESIANO, ELIZABETH	INVESCO AMERICAN FRANCHISE A	0.00	6.15	0.00	6.15	0.0	0.00
10/02/2017	DESIANO, ELIZABETH	INVESCO CHARTER FUND A	0.00	5.95	0.00	5.95	0.0	0.00
10/02/2017	MCCUTCHAN, BARBARA	INVESCO MONEY MARKET C	0.00	2.77	0.00	2.77	0.0	0.00
10/02/2017	MCCUTCHAN, BARBARA	INVESCO SMALL CAP EQUITY FUND	0.00	17.03	0.00	17.03	0.0	0.00
10/02/2017	DESIANO, ELIZABETH	INVESCO VALUE OPP A	0.00	5.58	0.00	5.58	0.0	0.00
10/13/2017	VAN DE BRAKE, MARY	JH FINANCIAL INDUSTRIES A	0.00	2.08	0.00	2.08	0.0	0.00
10/13/2017	VAN DE BRAKE, ARTHUR	JH FINANCIAL INDUSTRIES A	0.00	2.06	0.00	2.06	0.0	0.00
10/13/2017	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.08	0.00	1.08	0.0	0.00
10/13/2017	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.77	0.00	1.77	0.0	0.00
10/13/2017	FURUKAWA, CLARA	JH STRATEGIC GROWTH A	0.00	2.00	0.00	2.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$62.01	\$0.00	\$62.01		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
10/13/2017	JAGER FAMILY TRUST, EARL & ANH	COLONY NORTHSTAR INC CL A COM	-1,394.26	22.00	22.50	-0.50	0.0	0.00
10/13/2017	JAGER FAMILY TRUST, EARL & ANH	NORTHSTAR RLTY EUROPE CORP COM	-452.46	22.00	22.50	-0.50	0.0	0.00
* STOCKS SUBTOTAL *			\$-1,846.72	\$44.00	\$45.00	\$-1.00		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$-1,846.72	\$106.01	\$45.00	\$61.01		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-7.50
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-7.50
Year-to-date Gross	\$10,553.94
Year-to-date Earnings	\$0.00