

COMMISSION STATEMENT

May 31, 2018

CHARLES LAWRENCE
10729 NORTHRIDGE COURT

BROKER #: A02 T02
BRANCH: FULCRUM GROUP

TRINITY, FL 34655

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
05/09/2018	75502013	DEC, JOHN	INVESCO GROWTH AND INCOME FUND CL Y S	4,000.00	0.00	0.00	0.00	60.0	0.00
05/09/2018	75502014	DEC, JOHN	INVESCO GROWTH AND INCOME FUND CL Y S	4,000.00	0.00	0.00	0.00	60.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$8,000.00	\$0.00	\$0.00	\$0.00		\$0.00

PRODUCT CATEGORY: STOCKS

04/27/2018	75501981	LAWRENCE, VICTORIA	XPO LOGISTICS INC COM	B	23,900.00	0.00	0.00	0.00	60.0	0.00
05/09/2018	75501981	LAWRENCE, VICTORIA	MARATHON OIL CORP	S	10,697.50	0.00	0.00	0.00	60.0	0.00
05/15/2018	75501981	LAWRENCE, VICTORIA	IQVIA HOLDINGS INC COMMON STOCK	S	25,956.38	0.00	0.00	0.00	60.0	0.00
05/17/2018	75501981	LAWRENCE, VICTORIA	COHERUS BIOSCIENCES INC COM	B	11,875.00	0.00	0.00	0.00	60.0	0.00
05/18/2018	75501981	LAWRENCE, VICTORIA	OPKO HEALTH INC	B	10,498.00	0.00	0.00	0.00	60.0	0.00
05/22/2018	75501981	LAWRENCE, VICTORIA	TEVA PHARMACEUTICAL INDUSTRIES LTD	S	12,000.00	0.00	0.00	0.00	60.0	0.00
05/29/2018	75501981	LAWRENCE, VICTORIA	DROPBOX INC CL A COM	B	16,376.42	0.00	0.00	0.00	60.0	0.00
04/27/2018	75501986	LAWRENCE, CHRISTOPHER	XPO LOGISTICS INC COM	B	9,560.00	0.00	0.00	0.00	60.0	0.00
05/21/2018	75501987	SCHWARTZ, MARILYN	IQVIA HOLDINGS INC COMMON STOCK	S	17,955.00	55.00	0.00	55.00	60.0	33.00
05/22/2018	75501987	SCHWARTZ, MARILYN	ACTIVISION BLIZZARD INC	B	19,598.32	55.00	0.00	55.00	60.0	33.00
04/27/2018	75501988	LAWRENCE, CHRISTOPHER	XPO LOGISTICS INC COM	B	9,560.00	0.00	0.00	0.00	60.0	0.00
05/09/2018	75501988	LAWRENCE, CHRISTOPHER	ALIGN TECHNOLOGY INC	S	5,391.60	0.00	0.00	0.00	60.0	0.00
05/17/2018	75501988	LAWRENCE, CHRISTOPHER	COHERUS BIOSCIENCES INC COM	B	6,335.00	0.00	0.00	0.00	60.0	0.00
05/21/2018	75501988	LAWRENCE, CHRISTOPHER	OPKO HEALTH INC	B	4,950.40	0.00	0.00	0.00	60.0	0.00
05/25/2018	75501988	LAWRENCE, CHRISTOPHER	AK STEEL HOLDING CORP	S	8,903.20	0.00	0.00	0.00	60.0	0.00
05/25/2018	75501988	LAWRENCE, CHRISTOPHER	GARTNER INC	S	16,346.13	0.00	0.00	0.00	60.0	0.00
05/25/2018	75501988	LAWRENCE, CHRISTOPHER	INTERNATIONAL BUSINESS MACHINES COR S	S	5,044.55	0.00	0.00	0.00	60.0	0.00
05/25/2018	75501988	LAWRENCE, CHRISTOPHER	TEVA PHARMACEUTICAL INDUSTRIES LIMIS	S	2,189.50	0.00	0.00	0.00	60.0	0.00
05/29/2018	75501988	LAWRENCE, CHRISTOPHER	NINTENDO CO LTD-ADR NEW	S	9,647.00	0.00	0.00	0.00	60.0	0.00
05/29/2018	75501988	LAWRENCE, CHRISTOPHER	SQUARE INC CLASS A COM	S	11,266.00	0.00	0.00	0.00	60.0	0.00
05/04/2018	75501989	BINES, BURTON	GW PHARMACEUTICALS PLC ADS	S	14,031.85	0.00	0.00	0.00	60.0	0.00
05/11/2018	75501989	BINES, BURTON	NUANCE COMMUNICATIONS INC	S	38,676.00	0.00	0.00	0.00	60.0	0.00
05/21/2018	75501989	BINES, BURTON	ACTIVISION BLIZZARD INC	B	35,633.50	0.00	0.00	0.00	60.0	0.00
05/21/2018	75501989	BINES, BURTON	IQVIA HOLDINGS INC COMMON STOCK	S	76,950.00	0.00	0.00	0.00	60.0	0.00
04/27/2018	75501990	LAWRENCE, JEFFREY	XPO LOGISTICS INC COM	B	2,868.00	0.00	0.00	0.00	60.0	0.00
05/09/2018	75501990	LAWRENCE, JEFFREY	ALIGN TECHNOLOGY INC	S	2,696.30	0.00	0.00	0.00	60.0	0.00

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/17/2018	75501990 LAWRENCE, JEFFREY	COHERUS BIOSCIENCES INC COM	B	3,166.48	0.00	0.00	0.00	60.0	0.00
05/03/2018	75501991 NSH 81 LLC	APPLE INC	B	26,264.45	0.00	0.00	0.00	60.0	0.00
05/03/2018	75501992 THE BARBARA & NEAL	APPLE INC	B	9,457.01	0.00	0.00	0.00	60.0	0.00
05/08/2018	75501999 LAWRENCE, CHARLES	SAREPTA THERAPEUTICS INC COM	B	17,976.00	150.00	0.00	150.00	60.0	90.00
05/08/2018	75501999 LAWRENCE, CHARLES	XPO LOGISTICS INC COM	S	13,949.00	65.00	0.00	65.00	60.0	39.00
05/09/2018	75501999 LAWRENCE, CHARLES	PAYPAL HOLDINGS INC COM	S	12,307.20	51.00	0.00	51.00	60.0	30.60
05/10/2018	75501999 LAWRENCE, CHARLES	SQUARE INC CLASS A COM	S	15,254.25	75.00	0.00	75.00	60.0	45.00
05/14/2018	75501999 LAWRENCE, CHARLES	SAREPTA THERAPEUTICS INC COM	S	19,866.00	75.00	0.00	75.00	60.0	45.00
05/15/2018	75501999 LAWRENCE, CHARLES	TWILIO INC CL A	B	17,015.72	175.00	0.00	175.00	60.0	105.00
05/17/2018	75501999 LAWRENCE, CHARLES	LOXO ONCOLOGY INC COM	B	20,450.00	125.00	0.00	125.00	60.0	75.00
05/18/2018	75501999 LAWRENCE, CHARLES	SAREPTA THERAPEUTICS INC COM	B	19,026.00	175.00	0.00	175.00	60.0	105.00
05/18/2018	75501999 LAWRENCE, CHARLES	TEVA PHARMACEUTICAL INDUSTRIES LIM	S	11,916.80	55.00	0.00	55.00	60.0	33.00
05/18/2018	75501999 LAWRENCE, CHARLES	TWILIO INC CL A	S	17,869.50	75.00	0.00	75.00	60.0	45.00
05/21/2018	75501999 LAWRENCE, CHARLES	LOXO ONCOLOGY INC COM	B	15,155.10	150.00	0.00	150.00	60.0	90.00
05/21/2018	75501999 LAWRENCE, CHARLES	UNIVERSAL DISPLAY CORPORATION	S	7,704.00	51.00	0.00	51.00	60.0	30.60
05/23/2018	75501999 LAWRENCE, CHARLES	TWILIO INC CL A	B	14,347.58	125.00	0.00	125.00	60.0	75.00
04/27/2018	75502000 LAWRENCE, WILLIAM	REGENERON PHARMACEUTICALS INC	B	7,662.50	55.00	0.00	55.00	60.0	33.00
05/02/2018	75502000 LAWRENCE, WILLIAM	BLUEBIRD BIO INC COM	S	10,818.00	51.00	0.00	51.00	60.0	30.60
05/03/2018	75502000 LAWRENCE, WILLIAM	LOXO ONCOLOGY INC COM	B	13,178.00	125.00	0.00	125.00	60.0	75.00
05/07/2018	75502000 LAWRENCE, WILLIAM	EXTREME NETWORKS INC COM	S	12,857.60	65.00	0.00	65.00	60.0	39.00
05/08/2018	75502000 LAWRENCE, WILLIAM	LOXO ONCOLOGY INC COM	S	13,706.00	55.00	0.00	55.00	60.0	33.00
05/08/2018	75502000 LAWRENCE, WILLIAM	NVIDIA CORP	B	12,391.88	65.00	0.00	65.00	60.0	39.00
05/11/2018	75502000 LAWRENCE, WILLIAM	EXTREME NETWORKS INC COM	B	5,488.90	55.00	0.00	55.00	60.0	33.00
05/11/2018	75502000 LAWRENCE, WILLIAM	NVIDIA CORP	S	12,930.00	65.00	0.00	65.00	60.0	39.00
05/16/2018	75502000 LAWRENCE, WILLIAM	SQUARE INC CLASS A COM	S	9,730.00	55.00	0.00	55.00	60.0	33.00
05/17/2018	75502000 LAWRENCE, WILLIAM	COHERUS BIOSCIENCES INC COM	B	9,190.70	103.11	0.00	103.11	60.0	61.87
05/18/2018	75502000 LAWRENCE, WILLIAM	OPKO HEALTH INC	B	5,695.20	55.00	0.00	55.00	60.0	33.00
05/21/2018	75502000 LAWRENCE, WILLIAM	EXTREME NETWORKS INC COM	S	5,986.70	55.00	0.00	55.00	60.0	33.00
05/21/2018	75502000 LAWRENCE, WILLIAM	OPKO HEALTH INC	B	5,195.20	55.00	0.00	55.00	60.0	33.00
05/22/2018	75502000 LAWRENCE, WILLIAM	NEKTAR THERAPEUTICS COM	B	10,142.43	100.00	0.00	100.00	60.0	60.00
05/23/2018	75502000 LAWRENCE, WILLIAM	TEVA PHARMACEUTICAL INDUSTRIES LIM	S	11,885.45	55.00	0.00	55.00	60.0	33.00
05/24/2018	75502000 LAWRENCE, WILLIAM	COHERUS BIOSCIENCES INC COM	B	8,711.25	75.00	0.00	75.00	60.0	45.00
04/27/2018	75502001 LAWRENCE, CHARLES	ENPHASE ENERGY INC	B	5,365.71	51.00	0.00	51.00	60.0	30.60
04/27/2018	75502001 LAWRENCE, CHARLES	WESTPORT FUEL SYSTEMS INC	S	3,712.80	51.00	0.00	51.00	60.0	30.60
05/01/2018	75502001 LAWRENCE, CHARLES	NINTENDO CO LTD-ADR NEW	S	13,741.00	55.00	0.00	55.00	60.0	33.00
05/01/2018	75502001 LAWRENCE, CHARLES	REGENERON PHARMACEUTICALS INC	B	7,415.00	55.00	0.00	55.00	60.0	33.00
05/03/2018	75502001 LAWRENCE, CHARLES	COHERUS BIOSCIENCES INC COM	S	7,166.25	55.00	0.00	55.00	60.0	33.00
05/03/2018	75502001 LAWRENCE, CHARLES	ENPHASE ENERGY INC	B	4,609.52	55.00	0.00	55.00	60.0	33.00
05/03/2018	75502001 LAWRENCE, CHARLES	TEVA PHARMACEUTICAL INDUSTRIES LIM	B	10,424.40	100.00	0.00	100.00	60.0	60.00
05/03/2018	75502001 LAWRENCE, CHARLES	TWITTER INC	S	13,244.82	75.00	0.00	75.00	60.0	45.00

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/04/2018	75502001	LAWRENCE, CHARLES							
		ENPHASE ENERGY INC	B	4,608.88	55.00	0.00	55.00	60.0	33.00
05/04/2018	75502001	LAWRENCE, CHARLES							
		SNAP INC CLASS A COMMON STOCK	S	11,532.80	51.00	0.00	51.00	60.0	30.60
05/08/2018	75502001	LAWRENCE, CHARLES							
		HERTZ GLOBAL HLDGS INC NEW COM	B	10,772.72	100.00	0.00	100.00	60.0	60.00
05/08/2018	75502001	LAWRENCE, CHARLES							
		XPO LOGISTICS INC COM	S	15,335.42	55.00	0.00	55.00	60.0	33.00
05/09/2018	75502001	LAWRENCE, CHARLES							
		FAIRMOUNT SANTROL HLDGS INC COM	S	13,514.99	75.00	0.00	75.00	60.0	45.00
05/09/2018	75502001	LAWRENCE, CHARLES							
		OPKO HEALTH INC	B	5,300.82	55.00	0.00	55.00	60.0	33.00
05/09/2018	75502001	LAWRENCE, CHARLES							
		OPKO HEALTH INC	B	5,250.42	55.00	0.00	55.00	60.0	33.00
05/09/2018	75502001	LAWRENCE, CHARLES							
		ROKU INC CLASS A COMMON STOCK	S	18,444.00	75.00	0.00	75.00	60.0	45.00
05/10/2018	75502001	LAWRENCE, CHARLES							
		OPKO HEALTH INC	B	5,475.96	55.00	0.00	55.00	60.0	33.00
05/10/2018	75502001	LAWRENCE, CHARLES							
		SAREPTA THERAPEUTICS INC COM	B	18,759.62	175.00	0.00	175.00	60.0	105.00
05/11/2018	75502001	LAWRENCE, CHARLES							
		DROPBOX INC CL A COM	B	10,428.00	100.00	0.00	100.00	60.0	60.00
05/11/2018	75502001	LAWRENCE, CHARLES							
		SQUARE INC CLASS A COM	S	15,218.78	75.00	0.00	75.00	60.0	45.00
05/14/2018	75502001	LAWRENCE, CHARLES							
		AKEBIA THERAPEUTICS INC COM	S	11,024.00	55.00	0.00	55.00	60.0	33.00
05/14/2018	75502001	LAWRENCE, CHARLES							
		REGENERON PHARMACEUTICALS INC	B	7,728.25	55.00	0.00	55.00	60.0	33.00
05/14/2018	75502001	LAWRENCE, CHARLES							
		TEVA PHARMACEUTICAL INDUSTRIES LIM	S	11,452.00	55.00	0.00	55.00	60.0	33.00
05/15/2018	75502001	LAWRENCE, CHARLES							
		ENPHASE ENERGY INC	S	11,151.20	55.00	0.00	55.00	60.0	33.00
05/15/2018	75502001	LAWRENCE, CHARLES							
		NVIDIA CORP	B	15,781.19	150.00	0.00	150.00	60.0	90.00
05/15/2018	75502001	LAWRENCE, CHARLES							
		REGENERON PHARMACEUTICALS INC	B	9,119.40	55.00	0.00	55.00	60.0	33.00
05/16/2018	75502001	LAWRENCE, CHARLES							
		DROPBOX INC CL A COM	B	10,129.19	100.00	0.00	100.00	60.0	60.00
05/16/2018	75502001	LAWRENCE, CHARLES							
		ENPHASE ENERGY INC	S	7,233.03	55.00	0.00	55.00	60.0	33.00
05/16/2018	75502001	LAWRENCE, CHARLES							
		FAIRMOUNT SANTROL HLDGS INC COM	B	7,711.20	75.00	0.00	75.00	60.0	45.00
05/16/2018	75502001	LAWRENCE, CHARLES							
		SAREPTA THERAPEUTICS INC COM	S	19,818.77	100.00	0.00	100.00	60.0	60.00
05/17/2018	75502001	LAWRENCE, CHARLES							
		LOXO ONCOLOGY INC COM	B	25,536.00	150.00	0.00	150.00	60.0	90.00
05/17/2018	75502001	LAWRENCE, CHARLES							
		TEVA PHARMACEUTICAL INDUSTRIES LIM	S	10,550.00	55.00	0.00	55.00	60.0	33.00
05/21/2018	75502001	LAWRENCE, CHARLES							
		SAREPTA THERAPEUTICS INC COM	B	19,998.00	200.00	0.00	200.00	60.0	120.00
05/21/2018	75502001	LAWRENCE, CHARLES							
		TEVA PHARMACEUTICAL INDUSTRIES LIM	S	23,654.40	125.00	0.00	125.00	60.0	75.00
05/22/2018	75502001	LAWRENCE, CHARLES							
		FREEMPORT MCMORAN INC	B	9,878.34	100.00	0.00	100.00	60.0	60.00
05/23/2018	75502001	LAWRENCE, CHARLES							
		ENPHASE ENERGY INC	B	6,482.66	65.00	0.00	65.00	60.0	39.00
05/23/2018	75502001	LAWRENCE, CHARLES							
		NVIDIA CORP	S	15,951.81	55.00	0.00	55.00	60.0	33.00
05/24/2018	75502001	LAWRENCE, CHARLES							
		NEKTAR THERAPEUTICS COM	B	14,567.98	150.00	0.00	150.00	60.0	90.00
05/24/2018	75502001	LAWRENCE, CHARLES							
		NINTENDO CO LTD-ADR NEW	B	13,562.20	125.00	0.00	125.00	60.0	75.00
05/24/2018	75502001	LAWRENCE, CHARLES							
		SQUARE INC CLASS A COM	S	32,715.50	150.00	0.00	150.00	60.0	90.00
05/25/2018	75502001	LAWRENCE, CHARLES							
		COHERUS BIOSCIENCES INC COM	B	9,009.00	100.00	0.00	100.00	60.0	60.00
05/25/2018	75502001	LAWRENCE, CHARLES							
		LOXO ONCOLOGY INC COM	B	13,266.39	125.00	0.00	125.00	60.0	75.00
05/25/2018	75502001	LAWRENCE, CHARLES							
		SQUARE INC CLASS A COM	S	15,606.53	75.00	0.00	75.00	60.0	45.00
05/10/2018	75502002	LAWRENCE, WILLIAM							
		NUANCE COMMUNICATIONS INC	S	14,649.60	51.00	0.00	51.00	60.0	30.60
05/22/2018	75502002	LAWRENCE, WILLIAM							
		LOXO ONCOLOGY INC COM	B	12,511.49	75.00	0.00	75.00	60.0	45.00
05/24/2018	75502002	LAWRENCE, WILLIAM							
		TEVA PHARMACEUTICAL INDUSTRIES LIM	S	24,248.00	100.00	0.00	100.00	60.0	60.00
05/25/2018	75502002	LAWRENCE, WILLIAM							
		NVIDIA CORP	S	14,945.55	55.00	0.00	55.00	60.0	33.00
04/27/2018	75502003	LAWRENCE, SARAH							
		XPO LOGISTICS INC COM	B	5,736.00	55.00	0.00	55.00	60.0	33.00

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
05/09/2018	75502003 LAWRENCE, SARAH	XPO LOGISTICS INC COM	S	6,339.01	55.00	0.00	55.00	60.0	33.00
05/10/2018	75502003 LAWRENCE, SARAH	ENPHASE ENERGY INC	S	6,072.00	55.00	0.00	55.00	60.0	33.00
05/15/2018	75502003 LAWRENCE, SARAH	COHERUS BIOSCIENCES INC COM	B	9,293.50	75.00	0.00	75.00	60.0	45.00
05/03/2018	75502005 SHEMIN, VICKI	ABBVIE INC	B	9,835.40	55.00	0.00	55.00	60.0	33.00
05/11/2018	75502005 SHEMIN, VICKI	AMGEN INC	B	17,354.00	55.00	0.00	55.00	60.0	33.00
05/14/2018	75502005 SHEMIN, VICKI	ABBVIE INC	S	10,480.39	55.00	0.00	55.00	60.0	33.00
05/14/2018	75502005 SHEMIN, VICKI	AMGEN INC	B	14,112.00	55.00	0.00	55.00	60.0	33.00
05/18/2018	75502005 SHEMIN, VICKI	BITCOIN INVT TR SHS	B	6,625.00	55.00	0.00	55.00	60.0	33.00
05/21/2018	75502005 SHEMIN, VICKI	AMGEN INC	S	32,004.00	55.00	0.00	55.00	60.0	33.00
05/25/2018	75502005 SHEMIN, VICKI	QTS RLTY TR INC COM CL A	B	18,925.00	55.00	0.00	55.00	60.0	33.00
05/04/2018	75502006 SHEMIN, VICKI	FIRST DATA CORP NEW COM CL A	S	14,623.92	55.00	0.00	55.00	60.0	33.00
05/10/2018	75502006 SHEMIN, VICKI	FACEBOOK INC CL A	S	11,151.00	55.00	0.00	55.00	60.0	33.00
05/11/2018	75502006 SHEMIN, VICKI	AMGEN INC	B	17,365.48	55.00	0.00	55.00	60.0	33.00
05/18/2018	75502006 SHEMIN, VICKI	AMGEN INC	S	17,651.00	55.00	0.00	55.00	60.0	33.00
05/18/2018	75502006 SHEMIN, VICKI	CARVANA CO CL A	B	26,625.40	77.00	0.00	77.00	60.0	46.20
04/27/2018	75502008 DEC, JOHN	MATTEL INCORPORATED	S	15,163.00	0.00	0.00	0.00	60.0	0.00
05/01/2018	75502008 DEC, JOHN	MATTEL INCORPORATED	B	15,143.70	0.00	0.00	0.00	60.0	0.00
05/04/2018	75502008 DEC, JOHN	MICROSOFT CORP	B	9,334.00	0.00	0.00	0.00	60.0	0.00
05/11/2018	75502008 DEC, JOHN	MATTEL INCORPORATED	S	16,349.30	0.00	0.00	0.00	60.0	0.00
05/11/2018	75502008 DEC, JOHN	NUANCE COMMUNICATIONS INC	S	6,451.50	0.00	0.00	0.00	60.0	0.00
05/21/2018	75502008 DEC, JOHN	ACTIVISION BLIZZARD INC	B	14,247.32	0.00	0.00	0.00	60.0	0.00
05/04/2018	75502010 KEETER, LANCE	MICROSOFT CORP	B	4,661.00	0.00	0.00	0.00	60.0	0.00
05/11/2018	75502010 KEETER, LANCE	MATTEL INCORPORATED	S	7,431.50	0.00	0.00	0.00	60.0	0.00
05/16/2018	75502010 KEETER, LANCE	TEREX CORP	S	8,365.36	0.00	0.00	0.00	60.0	0.00
05/18/2018	75502010 KEETER, LANCE	REGENERON PHARMACEUTICALS INC	B	3,027.30	0.00	0.00	0.00	60.0	0.00
05/21/2018	75502010 KEETER, LANCE	ACTIVISION BLIZZARD INC	B	7,126.66	0.00	0.00	0.00	60.0	0.00
05/21/2018	75502010 KEETER, LANCE	CATERPILLAR INC	S	6,417.70	0.00	0.00	0.00	60.0	0.00
04/27/2018	75502011 DEC, JANICE	MATTEL INCORPORATED	S	7,616.50	0.00	0.00	0.00	60.0	0.00
05/01/2018	75502011 DEC, JANICE	MATTEL INCORPORATED	B	7,560.85	0.00	0.00	0.00	60.0	0.00
05/04/2018	75502011 DEC, JANICE	MICROSOFT CORP	B	4,665.50	0.00	0.00	0.00	60.0	0.00
05/11/2018	75502011 DEC, JANICE	MATTEL INCORPORATED	S	8,163.65	0.00	0.00	0.00	60.0	0.00
05/11/2018	75502011 DEC, JANICE	NUANCE COMMUNICATIONS INC	S	3,871.02	0.00	0.00	0.00	60.0	0.00
05/21/2018	75502011 DEC, JANICE	ACTIVISION BLIZZARD INC	B	7,124.66	0.00	0.00	0.00	60.0	0.00
05/01/2018	75502012 DEC, JOHN	APPLE INC	S	16,803.00	0.00	0.00	0.00	60.0	0.00
05/04/2018	75502012 DEC, JOHN	MICROSOFT CORP	B	18,667.48	0.00	0.00	0.00	60.0	0.00
05/11/2018	75502012 DEC, JOHN	AKEBIA THERAPEUTICS INC COM	S	9,664.00	0.00	0.00	0.00	60.0	0.00
05/11/2018	75502012 DEC, JOHN	NUANCE COMMUNICATIONS INC	S	9,677.25	0.00	0.00	0.00	60.0	0.00
05/21/2018	75502012 DEC, JOHN	IQVIA HOLDINGS INC COMMON STOCK	S	30,780.00	0.00	0.00	0.00	60.0	0.00
05/21/2018	75502013 DEC, JOHN	CATERPILLAR INC	S	8,014.63	0.00	0.00	0.00	60.0	0.00
05/21/2018	75502014 DEC, JOHN	CATERPILLAR INC	S	4,001.31	0.00	0.00	0.00	60.0	0.00

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
05/04/2018	75502021	ARCARO, DANIEL		MICROSOFT CORP	B	3,732.20	0.00	0.00	0.00	60.0	0.00
05/10/2018	75502021	ARCARO, DANIEL		ALIGN TECHNOLOGY INC	S	4,056.75	0.00	0.00	0.00	60.0	0.00
05/18/2018	75502021	ARCARO, DANIEL		REGENERON PHARMACEUTICALS INC	B	2,118.90	0.00	0.00	0.00	60.0	0.00
05/21/2018	75502021	ARCARO, DANIEL		ACTIVISION BLIZZARD INC	B	4,277.85	0.00	0.00	0.00	60.0	0.00
05/21/2018	75502021	ARCARO, DANIEL		CATERPILLAR INC	S	3,996.25	0.00	0.00	0.00	60.0	0.00
05/23/2018	75502021	ARCARO, DANIEL		IQVIA HOLDINGS INC COMMON STOCK	S	4,098.80	0.00	0.00	0.00	60.0	0.00
05/02/2018	75502022	APPEL, CHARLES		T MOBILE US INC	B	5,800.00	55.00	0.00	55.00	60.0	33.00
05/03/2018	75502022	APPEL, CHARLES		ABBVIE INC	B	9,858.17	55.00	0.00	55.00	60.0	33.00
05/14/2018	75502022	APPEL, CHARLES		ABBVIE INC	S	10,455.64	55.00	0.00	55.00	60.0	33.00
05/18/2018	75502023	APPEL, CHARLES		INVITAE CORP COM	B	3,700.84	55.00	0.00	55.00	60.0	33.00
05/11/2018	75502035	ROMANO, LISA		PAYPAL HOLDINGS INC COM	S	1,578.05	0.00	0.00	0.00	60.0	0.00
05/21/2018	75502035	ROMANO, LISA		ACTIVISION BLIZZARD INC	B	3,562.38	0.00	0.00	0.00	60.0	0.00
05/21/2018	75502035	ROMANO, LISA		CATERPILLAR INC	S	3,197.00	0.00	0.00	0.00	60.0	0.00
05/11/2018	75502036	ROMANO, MARK		PAYPAL HOLDINGS INC COM	S	2,759.84	0.00	0.00	0.00	60.0	0.00
05/21/2018	75502036	ROMANO, MARK		ACTIVISION BLIZZARD INC	B	5,699.80	0.00	0.00	0.00	60.0	0.00
05/21/2018	75502036	ROMANO, MARK		CATERPILLAR INC	S	7,193.25	0.00	0.00	0.00	60.0	0.00
05/17/2018	75502041	MCCORD, ELIZABETH		THERMO FISHER SCIENTIFIC INC	B	10,571.00	0.00	0.00	0.00	60.0	0.00
05/17/2018	75502044	MCCORD, ELIZABETH		THERMO FISHER SCIENTIFIC INC	B	10,150.08	0.00	0.00	0.00	60.0	0.00
05/22/2018	75502070	HAND, STEPHEN		TEKLA LIFE SCIENCES SH BEN INT	B	18,900.00	175.00	0.00	175.00	60.0	105.00
05/03/2018	75502073	HAND FAMILY LP		TEKLA LIFE SCIENCES SH BEN INT	B	27,293.55	270.00	0.00	270.00	60.0	162.00
05/09/2018	75502073	HAND FAMILY LP		ALLIANZGI CONV & INCOME FD COM	B	15,412.50	225.00	0.00	225.00	60.0	135.00
05/09/2018	75502073	HAND FAMILY LP		NUVEEN MUNICIPAL CREDIT INCOME FUND B	B	25,235.00	175.00	0.00	175.00	60.0	105.00
05/11/2018	75502113	BEHAR, RAYMOND		PAYPAL HOLDINGS INC COM	S	5,922.94	0.00	0.00	0.00	60.0	0.00
05/10/2018	75502114	BEHAR, RAYMOND		J P MORGAN CHASE & CO	B	7,180.58	0.00	0.00	0.00	60.0	0.00
05/11/2018	75502114	BEHAR, RAYMOND		PAYPAL HOLDINGS INC COM	S	7,897.34	0.00	0.00	0.00	60.0	0.00
				* STOCKS SUBTOTAL *		\$2,073,974.14	\$8,467.11	\$0.00	\$8,467.11		\$5,080.27
PRODUCT CATEGORY: BONDS											
05/09/2018	75502073	HAND FAMILY LP		JPMORGAN CHASE & CO	B	48,125.00	1,000.00	0.00	1,000.00	60.0	600.00
05/22/2018	75502073	HAND FAMILY LP		BANK OF AMERICA CORPORATION	B	25,406.25	437.50	0.00	437.50	60.0	262.50
05/22/2018	75502073	HAND FAMILY LP		GOLDMAN SACHS GROUP INC DEP SHS REP B	B	73,387.50	1,312.50	0.00	1,312.50	60.0	787.50
				* BONDS SUBTOTAL *		\$146,918.75	\$2,750.00	\$0.00	\$2,750.00		\$1,650.00
PRODUCT CATEGORY: RIA											
04/01/2018	75501981	LAWRENCE, VICTORIA		FAS FEES PT. II/III	B	-1,529.49	443.55	0.00	443.55	60.0	266.13
04/01/2018	75501985	LAWRENCE, CHRISTOPHER		FAS FEES PT. II/III	B	-51.92	11.25	0.00	11.25	60.0	6.75
04/24/2018	75501985	LAWRENCE, CHRISTOPHER		FAS FEES PT. II/III	B	26.89	-7.84	0.00	-7.84	60.0	-4.70
04/01/2018	75501986	LAWRENCE, CHRISTOPHER		FAS FEES PT. II/III	B	-565.91	157.19	0.00	157.19	60.0	94.31
04/01/2018	75501988	LAWRENCE, CHRISTOPHER		FAS FEES PT. II/III	B	-389.45	97.36	0.00	97.36	60.0	58.42
04/01/2018	75501989	BINES, BURTON		FAS FEES PT. II/III	B	-4,523.69	1,342.02	0.00	1,342.02	60.0	805.21

COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/01/2018	75501990	LAWRENCE, JEFFREY	FAS FEES PT. II/III	B	-166.75	36.13	0.00	36.13	60.0 21.68
04/01/2018	75501991	NSH 81 LLC	FAS FEES PT. II/III	B	-4,196.74	1,245.03	0.00	1,245.03	60.0 747.02
04/01/2018	75501992	THE BARBARA & NEAL	FAS FEES PT. II/III	B	-1,400.47	406.13	0.00	406.13	60.0 243.68
04/01/2018	75502008	DEC, JOHN	FAS FEES PT. II/III	B	-913.17	249.60	0.00	249.60	60.0 149.76
04/01/2018	75502010	KEETER, LANCE	FAS FEES PT. II/III	B	-818.02	235.82	0.00	235.82	60.0 141.49
04/01/2018	75502011	DEC, JANICE	FAS FEES PT. II/III	B	-439.23	109.80	0.00	109.80	60.0 65.88
04/01/2018	75502012	DEC, JOHN	FAS FEES PT. II/III	B	-3,419.69	1,014.50	0.00	1,014.50	60.0 608.70
04/01/2018	75502013	DEC, JOHN	FAS FEES PT. II/III	B	-489.38	122.34	0.00	122.34	60.0 73.40
04/26/2018	75502013	DEC, JOHN	FAS FEES PT. II/III	B	18.08	-6.27	0.00	-6.27	60.0 -3.76
04/01/2018	75502014	DEC, JOHN	FAS FEES PT. II/III	B	-418.13	104.53	0.00	104.53	60.0 62.72
04/01/2018	75502021	ARCARO, DANIEL	FAS FEES PT. II/III	B	-408.35	110.36	0.00	110.36	60.0 66.22
04/01/2018	75502035	ROMANO, LISA	FAS FEES PT. II/III	B	-279.42	71.40	0.00	71.40	60.0 42.84
04/01/2018	75502036	ROMANO, MARK	FAS FEES PT. II/III	B	-461.10	128.08	0.00	128.08	60.0 76.85
04/01/2018	75502041	MCCORD, ELIZABETH	FAS FEES PT. II/III	B	-1,102.38	301.31	0.00	301.31	60.0 180.79
04/01/2018	75502043	MCCORD, ELIZABETH	FAS FEES PT. II/III	B	-2,392.43	411.33	0.00	411.33	60.0 246.80
04/01/2018	75502044	MCCORD, ELIZABETH	FAS FEES PT. II/III	B	-1,970.17	571.35	0.00	571.35	60.0 342.81
04/01/2018	75502060	MASSEY, GEORGE	FAS FEES PT. II/III	B	-351.92	93.84	0.00	93.84	60.0 56.30
04/01/2018	75502061	MASSEY, GEORGE	FAS FEES PT. II/III	B	-189.87	45.57	0.00	45.57	60.0 27.34
04/01/2018	75502113	BEHAR, RAYMOND	FAS FEES PT. II/III	B	-340.21	85.05	0.00	85.05	60.0 51.03
04/01/2018	75502114	BEHAR, RAYMOND	FAS FEES PT. II/III	B	-679.86	185.83	0.00	185.83	60.0 111.50
04/01/2018	75502115	BEHAR, RAYMOND	FAS FEES PT. II/III	B	-401.59	53.54	0.00	53.54	60.0 32.12
* RIA SUBTOTAL *					<u>\$-27,854.37</u>	<u>\$7,618.80</u>	<u>\$0.00</u>	<u>\$7,618.80</u>	<u>\$4,571.29</u>
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/31/2018		FEE COUNT - 15			0.00	422.72	0.00	422.72	60.0 253.64
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					<u>\$0.00</u>	<u>\$422.72</u>	<u>\$0.00</u>	<u>\$422.72</u>	<u>\$253.64</u>
*** BROKER TRANSACTIONS TOTAL ***					<u>\$2,201,038.52</u>	<u>\$19,258.63</u>	<u>\$0.00</u>	<u>\$19,258.63</u>	<u>\$11,555.20</u>
<u>ADJUSTMENTS</u>									
05/31/2018		DETLA:OTHER: TRADE OVER LIMIT FEES			0.00	0.00	0.00	0.00	-120.00
05/31/2018		DETLA:OTHER: TRADE OVER LIMIT FEES			0.00	0.00	0.00	0.00	-228.00
*** ADJUSTMENTS TOTAL ***					<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-348.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$11,555.20
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-348.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-24.07
SIPC Assessment	\$-48.15

Check Amount	\$11,134.98
Year-to-date Earnings	\$48,071.42