

**COMMISSION STATEMENT****March 15, 2018**ROGER, B156 BOWLIN
507 SE Andrews StreetBROKER #: B156
BRANCH: ROGER BOWLIN

Issaquah, WA 98027-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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DIRECT TRANSACTIONS**PRODUCT CATEGORY: 1031 EXCHANGES**

02/20/2018	FEENY, PATRICK	HIGHLAND CAP -NREA ADAIR DST	300,000.00	18,000.00	92.0	16,560.00	0.00	16,560.00
* 1031 EXCHANGES SUBTOTAL *			\$300,000.00	\$18,000.00		\$16,560.00	\$0.00	\$16,560.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/01/2018	WALLACE, CHARLES	529 AMCAP FUND CLASS A	0.00	1.84	90.0	1.66	0.00	1.66
03/01/2018	PHILLIPS, GREGORY	AM FUNDS AMCAP A	0.00	20.86	90.0	18.77	0.00	18.77
03/01/2018	PHILLIPS, GREGORY	AM FUNDS AMCAP A	0.00	1.47	90.0	1.32	0.00	1.32
03/01/2018	WALLACE, CHARLES	AM FUNDS AMCAP F1	0.00	2.72	90.0	2.45	0.00	2.45
03/01/2018	WALLACE, CHARLES	AM FUNDS AMCAP F1	0.00	5.54	90.0	4.99	0.00	4.99
03/01/2018	WALLACE, CHARLES	AM FUNDS AMCAP F1	0.00	4.53	90.0	4.08	0.00	4.08
03/01/2018	DEDUCTIBLE, NON	AM FUNDS AMCAP F1	0.00	2.82	90.0	2.54	0.00	2.54
03/01/2018	WALLACE, CHARLES	AM FUNDS AMCAP F1	0.00	4.50	90.0	4.05	0.00	4.05
03/01/2018	WALLACE, CHARLES	AM FUNDS AMCAP F1	0.00	3.65	90.0	3.29	0.00	3.29
03/01/2018	WALLACE, CHARLES	AM FUNDS AMERICAN HIGH-INCOME	0.00	1.07	90.0	0.96	0.00	0.96
03/01/2018	DEDUCTIBLE, NON	AM FUNDS AMERICAN HIGH-INCOME	0.00	1.10	90.0	0.99	0.00	0.99
03/01/2018	WALLACE, CHARLES	AM FUNDS AMERICAN HIGH-INCOME	0.00	1.47	90.0	1.32	0.00	1.32
02/21/2018	NEIGEL, DAVID	AM FUNDS AMERICAN MUTUAL A	0.00	5.18	90.0	4.66	0.00	4.66
02/21/2018	HEARN, CAROL	AM FUNDS AMERICAN MUTUAL A	0.00	3.56	90.0	3.20	0.00	3.20
03/01/2018	PHILLIPS, GREGORY	AM FUNDS AMERICAN MUTUAL A	0.00	19.27	90.0	17.34	0.00	17.34
02/21/2018	HEARN, CAROL	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.62	90.0	8.66	0.00	8.66
03/01/2018	NELSEN, CRAIG	AM FUNDS CAPITAL INCOME BUILDER C	0.00	71.62	90.0	64.46	0.00	64.46
03/01/2018	HEARN, CAROL	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.98	90.0	0.88	0.00	0.88
03/01/2018	HEARN, GEORGE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.71	90.0	0.64	0.00	0.64
03/01/2018	WALLACE, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	0.21	90.0	0.19	0.00	0.19
03/01/2018	WALLACE, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	11.06	90.0	9.95	0.00	9.95
03/01/2018	PHILLIPS, GREGORY	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	17.52	90.0	15.77	0.00	15.77
03/01/2018	PHILLIPS, GREGORY	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	0.41	90.0	0.37	0.00	0.37

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/01/2018	WALLACE, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	3.98	90.0	3.58	0.00	3.58
03/01/2018	WALLACE, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	5.46	90.0	4.91	0.00	4.91
03/01/2018	WALLACE, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	4.66	90.0	4.19	0.00	4.19
03/01/2018	DEDUCTIBLE, NON	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	4.16	90.0	3.74	0.00	3.74
03/01/2018	WALLACE, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	6.71	90.0	6.04	0.00	6.04
03/01/2018	HEARN, CAROL	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	1.99	90.0	1.79	0.00	1.79
03/01/2018	HEARN, GEORGE	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	1.46	90.0	1.31	0.00	1.31
03/01/2018	WALLACE, CHARLES	AM FUNDS CAPITAL WORLD GRTH & INC	0.00	3.62	90.0	3.26	0.00	3.26
03/01/2018	PHILLIPS, GREGORY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.40	90.0	0.36	0.00	0.36
03/01/2018	SUBERT, TODD	AM FUNDS FUNDAMENTAL INVESTORS	0.00	3.37	90.0	3.03	0.00	3.03
03/01/2018	PHILLIPS, GREGORY	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.61	90.0	1.45	0.00	1.45
03/01/2018	SUBERT, TODD	AM FUNDS GROWTH FUND OF AMERICA	0.00	3.48	90.0	3.13	0.00	3.13
02/21/2018	NEIGEL, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.38	90.0	6.64	0.00	6.64
02/21/2018	LEE, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.05	90.0	0.05	0.00	0.05
03/01/2018	WALLACE, CHARLES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.01	90.0	2.71	0.00	2.71
03/01/2018	DEDUCTIBLE, NON	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.13	90.0	2.82	0.00	2.82
03/01/2018	WALLACE, CHARLES	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.00	90.0	4.50	0.00	4.50
03/01/2018	HEARN, CAROL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.78	90.0	2.50	0.00	2.50
03/01/2018	HEARN, GEORGE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.01	90.0	1.81	0.00	1.81
03/01/2018	AYE, JOSIAH	AM FUNDS GROWTH PORT 529A	0.00	2.89	90.0	2.60	0.00	2.60
03/01/2018	PHILLIPS, GREGORY	AM FUNDS INCOME FUND OF AMERICA A	0.00	8.31	90.0	7.48	0.00	7.48
02/21/2018	NEIGEL, DAVID	AM FUNDS NEW ECONOMY A	0.00	3.40	90.0	3.06	0.00	3.06
03/01/2018	PHILLIPS, GREGORY	AM FUNDS NEW ECONOMY A	0.00	16.65	90.0	14.99	0.00	14.99
03/01/2018	WALLACE, CHARLES	AM FUNDS NEW PERSPECTIVE 529A	0.00	0.82	90.0	0.74	0.00	0.74
03/01/2018	WALLACE, CHARLES	AM FUNDS NEW PERSPECTIVE 529C	0.00	8.78	90.0	7.90	0.00	7.90
02/21/2018	NEIGEL, DAVID	AM FUNDS NEW PERSPECTIVE A	0.00	5.04	90.0	4.54	0.00	4.54
03/01/2018	WALLACE, CHARLES	AM FUNDS NEW PERSPECTIVE F-1	0.00	1.76	90.0	1.58	0.00	1.58
03/01/2018	WALLACE, CHARLES	AM FUNDS NEW PERSPECTIVE F-1	0.00	5.90	90.0	5.31	0.00	5.31
03/01/2018	WALLACE, CHARLES	AM FUNDS NEW PERSPECTIVE F-1	0.00	4.93	90.0	4.44	0.00	4.44
03/01/2018	DEDUCTIBLE, NON	AM FUNDS NEW PERSPECTIVE F-1	0.00	1.82	90.0	1.64	0.00	1.64
03/01/2018	WALLACE, CHARLES	AM FUNDS NEW PERSPECTIVE F-1	0.00	2.42	90.0	2.18	0.00	2.18
03/01/2018	HEARN, CAROL	AM FUNDS NEW PERSPECTIVE F-1	0.00	3.45	90.0	3.11	0.00	3.11
03/01/2018	HEARN, GEORGE	AM FUNDS NEW PERSPECTIVE F-1	0.00	2.50	90.0	2.25	0.00	2.25

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
03/01/2018	WALLACE, CHARLES	AM FUNDS NEW PERSPECTIVE F-1	0.00	4.00	90.0	3.60	0.00	3.60
03/01/2018	PHILLIPS, GREGORY	AM FUNDS NEW WORLD A	0.00	0.40	90.0	0.36	0.00	0.36
03/01/2018	PHILLIPS, GREGORY	AM FUNDS SMALLCAP WORLD A	0.00	13.94	90.0	12.55	0.00	12.55
03/01/2018	WALLACE, CHARLES	AM FUNDS WASHINGTON MUTUAL	0.00	3.86	90.0	3.47	0.00	3.47
03/01/2018	WALLACE, CHARLES	AM FUNDS WASHINGTON MUTUAL	0.00	4.92	90.0	4.43	0.00	4.43
03/01/2018	WALLACE, CHARLES	AM FUNDS WASHINGTON MUTUAL	0.00	8.94	90.0	8.05	0.00	8.05
03/01/2018	DEDUCTIBLE, NON	AM FUNDS WASHINGTON MUTUAL	0.00	4.03	90.0	3.63	0.00	3.63
03/01/2018	WALLACE, CHARLES	AM FUNDS WASHINGTON MUTUAL	0.00	7.12	90.0	6.41	0.00	6.41
03/01/2018	HEARN, CAROL	AM FUNDS WASHINGTON MUTUAL	0.00	0.89	90.0	0.80	0.00	0.80
03/01/2018	HEARN, GEORGE	AM FUNDS WASHINGTON MUTUAL	0.00	0.56	90.0	0.50	0.00	0.50
03/01/2018	WALLACE, CHARLES	AM FUNDS WASHINGTON MUTUAL	0.00	3.16	90.0	2.84	0.00	2.84
03/01/2018	WALLACE, CHARLES	AM FUNDS WASHINGTON MUTUAL	0.00	0.76	90.0	0.68	0.00	0.68
03/01/2018	WALLACE, CHARLES	AM FUNDS WASHINGTON MUTUAL	0.00	6.94	90.0	6.25	0.00	6.25
03/01/2018	WALLACE, CHARLES	AMCAP FUND-529C	0.00	7.40	90.0	6.66	0.00	6.66
03/01/2018	WALLACE, CHARLES	AMERICAN FUND SMALLCAP WORKD	0.00	2.09	90.0	1.88	0.00	1.88
03/01/2018	DEDUCTIBLE, NON	AMERICAN FUND SMALLCAP WORKD	0.00	2.16	90.0	1.94	0.00	1.94
03/01/2018	WALLACE, CHARLES	AMERICAN FUND SMALLCAP WORKD	0.00	3.07	90.0	2.76	0.00	2.76
03/01/2018	WALLACE, CHARLES	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	1.86	90.0	1.67	0.00	1.67
03/01/2018	DEDUCTIBLE, NON	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	1.93	90.0	1.74	0.00	1.74
03/01/2018	WALLACE, CHARLES	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.91	90.0	2.62	0.00	2.62
03/01/2018	HEARN, CAROL	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.11	90.0	1.90	0.00	1.90
03/01/2018	HEARN, GEORGE	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	1.52	90.0	1.37	0.00	1.37
03/01/2018	WALLACE, CHARLES	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.96	90.0	2.66	0.00	2.66
02/16/2018	MCCAUGHAN, DANIEL	GRIFFIN INSTITUTIONAL ACCESS REAL	0.00	4.11	90.0	3.70	0.00	3.70
02/16/2018	SHIELDS, WILLIAM	GRIFFIN INSTITUTIONAL ACCESS REAL	0.00	10.32	90.0	9.29	0.00	9.29
02/16/2018	DURRANT, BRUCE	GRIFFIN INSTITUTIONAL ACCESS REAL	0.00	5.84	90.0	5.26	0.00	5.26
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$432.44		\$389.20	\$0.00	\$389.20
*** DIRECT TRANSACTIONS TOTAL ***			\$300,000.00	\$18,432.44		\$16,949.20	\$0.00	\$16,949.20

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<u>ADJUSTMENTS</u>								
03/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
03/15/2018		*ALBRIDGE: LIGHT USER	0.00					-76.00
03/15/2018		*EO INS: CURRENT MONTH INS	0.00					-300.00
03/15/2018		*GLOBAL RELAY: WEBSITE	0.00					-15.00
03/15/2018		*LASER APP: CURRENT MONTH	0.00					-18.00
03/15/2018		*TECHNOLOGY: ADMIN - K FROHARDT	0.00					-60.00
03/15/2018		*TECHNOLOGY: ADMIN - L BOWLIN	0.00					-130.00
03/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00		\$-786.00	\$0.00	\$-786.00

BROKER COMMISSION TOTALS

Broker Transactions	\$16,949.20
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-786.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$16,163.20
Year-to-date Gross	\$55,114.95
Year-to-date Earnings	\$50,615.10

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