



All Companies

06/28/2018

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COMMISSION STATEMENT

July 02, 2018

JON D. JOHNSON
6630 Dark Canyon Road

BROKER #: 9604
BRANCH: HOME OFFICE

Rapid City, SD 57702-

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

06/15/2018	PRECISION PHYS THERAPY	-NATIONWIDE QUALIFIED PLAN	B	6,252.28	98.38	0.00	98.38	90.0	88.54
06/20/2018	000000000946626 KELSON, MELISSA I.	TEMPLETON CHINA WORLD FUND - A	B	50.00	2.50	0.00	2.50	90.0	2.25
06/20/2018	000000001127897 KELSON, MELISSA I.	FRANKLIN MUTUAL FINCL SERVICES FD C B		50.00	2.50	0.00	2.50	90.0	2.25
06/20/2018	000000001632482 JOHNSEN, LACEY FRK SM CAP GRWTH FND II - CL A		B	114.00	5.70	0.00	5.70	90.0	5.13
06/15/2018	000000002736585 WEISCHEDEL, SCOTT	MUTUAL BEACON FND - CL A	B	110.00	3.08	0.00	3.08	90.0	2.77
06/20/2018	000000006924512 JOHNSEN, LACEY MUTUAL DISC FND - CL A		B	114.00	5.70	0.00	5.70	90.0	5.13
06/20/2018	000000006951519 PARKS, JUDY	MUTUAL DISC FND - CL A	B	200.00	5.60	0.00	5.60	90.0	5.04
06/20/2018	000000007699440 FOSSEN, NAOMI J.	FRANKLIN MUTUAL SHARES FD CL 1	B	115.00	5.75	0.00	5.75	90.0	5.18
06/15/2018	000000008622413 KILEY, JACOB L.	MUTUAL DISC FND - CL A	B	114.50	4.29	0.00	4.29	90.0	3.86
06/20/2018	000000008776484 FOSSEN, NAOMI J.	MUTUAL DISC FND - CL A	B	114.00	5.70	0.00	5.70	90.0	5.13
06/15/2018	000002974389195 WEISCHEDEL, SCOTT	TEMPLETON WORLD FD CL I	B	110.00	3.08	0.00	3.08	90.0	2.77
06/15/2018	000006983387835 NILLES, VICKIE	TEMPLETON GLOBAL BD FD CL I	B	500.00	20.00	0.00	20.00	90.0	18.00
06/20/2018	000015813753367 JOHNSEN, LACEY	FRANKLIN RISING DIVIDEND FD CL I	B	115.00	5.75	0.00	5.75	90.0	5.18
06/15/2018	000015815190438 KILEY, JACOB L.	FRANKLIN RISING DIVIDEND FD CL I	B	114.50	4.29	0.00	4.29	90.0	3.86
06/15/2018	000019818050371 WEISCHEDEL, SCOTT	FRANKLIN SMALL CAP GROWTH FD CL I	B	110.00	3.08	0.00	3.08	90.0	2.77
06/20/2018	000019818141653 FOSSEN, NAOMI J.	FRANKLIN SMALL CAP GROWTH FD CL I	B	114.00	5.70	0.00	5.70	90.0	5.13
06/04/2018	00002407595 SWANSON, JOHN H.	FUNDAMENTAL ALL CAP CORE C	B	99.00	0.99	0.00	0.99	90.0	0.89
06/04/2018	00002407597 SHOUP, MICHAEL	LARGE CAP EQUITY C	B	163.73	1.64	0.00	1.64	90.0	1.48
06/20/2018	000028212528031 FOSSEN, NAOMI J.	FRANKLIN VALUE FD CL 1	B	115.00	5.75	0.00	5.75	90.0	5.18
06/20/2018	000028212612217 JOHNSEN, LACEY	FRANKLIN VALUE FD CL 1	B	114.00	5.70	0.00	5.70	90.0	5.13

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
M.									
06/04/2018	00003378327	LAWRENCE, ANDRE X. MULTIMANAGER 2060 LIFETIME PORT A	B	99.00	4.21	0.00	4.21	90.0	3.79
06/15/2018	000060177587736	KILEY, JACOB FRANKLIN GROWTH FD CL I	B	114.50	4.29	0.00	4.29	90.0	3.86
L.									
06/20/2018	000060177612639	KELSON, FRANKLIN GROWTH FD CL I	B	50.00	2.50	0.00	2.50	90.0	2.25
MELISSA I.									
06/15/2018	000080193156393	KILEY, JACOB FRANKLIN DYNATECH FD CL I	B	114.50	4.29	0.00	4.29	90.0	3.86
L.									
06/20/2018	000080193171242	KELSON, FRANKLIN DYNATECH FD CL I	B	50.00	2.50	0.00	2.50	90.0	2.25
MELISSA I.									
06/07/2018	00035825027	FOWLER, RANDY S. IVY GLOBAL EQUITY INCOME A	B	25.00	1.13	0.00	1.13	90.0	1.02
06/07/2018	00035825027	FOWLER, RANDY S. IVY HIGH INCOME A	B	25.00	1.13	0.00	1.13	90.0	1.02
06/07/2018	00035825032	FOWLER, MARY B. IVY ASSET STRATEGY A	B	50.00	2.25	0.00	2.25	90.0	2.03
06/15/2018	00035837032	WEISCHEDEL, IVY ASSET STRATEGY A	B	110.00	4.95	0.00	4.95	90.0	4.46
VANESSA BRANDLI									
06/15/2018	00035837032	WEISCHEDEL, IVY INTERNATIONAL CORE EQUITY A	B	110.00	4.95	0.00	4.95	90.0	4.46
VANESSA BRANDLI									
06/15/2018	00035837032	WEISCHEDEL, IVY VALUE A	B	110.00	4.95	0.00	4.95	90.0	4.46
VANESSA BRANDLI									
06/11/2018	00035926510	ROSETTER, LUANN IVY BALANCED A	B	114.00	4.56	0.00	4.56	90.0	4.10
06/11/2018	00035926510	ROSETTER, LUANN IVY CUNDILL GLOBAL VALUE A	B	114.00	4.56	0.00	4.56	90.0	4.10
06/11/2018	00035926510	ROSETTER, LUANN IVY LARGE CAP GROWTH A	B	115.00	4.60	0.00	4.60	90.0	4.14
06/11/2018	00035926510	ROSETTER, LUANN IVY SCIENCE & TECH A	B	115.00	4.60	0.00	4.60	90.0	4.14
06/15/2018	00035932391	KILEY, ELIZABETH IVY LARGE CAP GROWTH A	B	50.00	2.50	0.00	2.50	90.0	2.25
06/15/2018	00036173189	TRANS MIN ACT NM, IVY CORE EQUITY A	B	163.34	3.27	0.00	3.27	90.0	2.94
UNIF									
06/15/2018	00036182725	KILEY, IVY VALUE A	B	50.00	2.50	0.00	2.50	90.0	2.25
EMILY/CHANDRA									
06/01/2018	00036207246	SAWYER, ALEXANDER IVY LARGE CAP GROWTH A	B	458.00	12.82	0.00	12.82	90.0	11.54
06/01/2018	00036207269	SAWYER, MADELYN E. IVY CORE EQUITY A	B	458.00	12.82	0.00	12.82	90.0	11.54
06/15/2018	00036277542	STELLWAGEN, JAY T. IVY GLOBAL EQUITY INCOME A	B	167.00	3.34	0.00	3.34	90.0	3.01
06/15/2018	00036277546	STELLWAGEN, JAY T. IVY GLOBAL EQUITY INCOME A	B	167.00	3.34	0.00	3.34	90.0	3.01
06/15/2018	00063960504	LECY, DOUG AMERICAN FUNDS AMCAP FD	B	115.00	2.30	0.00	2.30	90.0	2.07
06/15/2018	00063960504	LECY, DOUG AMERICAN FUNDS AMERICAN MUTUAL FD	B	115.00	2.30	0.00	2.30	90.0	2.07
06/15/2018	00063960504	LECY, DOUG AMERICAN FUNDS INCOME FD OF AMERI	B	114.00	2.28	0.00	2.28	90.0	2.05
06/15/2018	00063960504	LECY, DOUG AMERICAN FUNDS NEW PERSPECTIVE FD	B	114.00	2.28	0.00	2.28	90.0	2.05
06/15/2018	00069075893	BARBER, PEGGY AMERICAN FUNDS BD FD OF AMER	FD B	50.00	1.50	0.00	1.50	90.0	1.35
06/15/2018	00069075893	BARBER, PEGGY AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	1.88	0.00	1.88	90.0	1.69
06/20/2018	00073988659	KELLER, JANELLE AMERICAN FUNDS INVESTMENT COMP OF A	B	75.00	2.06	0.00	2.06	90.0	1.85
FLOTTME									

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/20/2018	00073988659 KELLER, JANELLE FLOTTME	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	2.75	0.00	2.75	90.0	2.48
06/20/2018	00073988659 KELLER, JANELLE FLOTTME	AMERICAN FUNDS SMALL CAP WORLD FD	B	75.00	2.06	0.00	2.06	90.0	1.85
06/20/2018	00073990739 KELLER, JANELLE FLOTTME	AMERICAN FUNDS BALANCED FD	B	250.00	6.88	0.00	6.88	90.0	6.19
06/18/2018	00075013619 BECK, EMILY	AMERICAN FUNDS AMCAP FD	B	76.25	2.10	0.00	2.10	90.0	1.89
06/18/2018	00075013619 BECK, EMILY	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	76.25	2.10	0.00	2.10	90.0	1.89
06/18/2018	00075013619 BECK, EMILY	GLOBAL BALANCED FUND-A	B	76.25	2.10	0.00	2.10	90.0	1.89
06/14/2018	00075982164 ASHEIM, KENNETH	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	103.95	2.08	0.00	2.08	90.0	1.87
06/14/2018	00075982164 ASHEIM, KENNETH	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	990.00	19.80	0.00	19.80	90.0	17.82
06/14/2018	00075982164 ASHEIM, KENNETH	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	103.95	2.08	0.00	2.08	90.0	1.87
06/14/2018	00075982164 ASHEIM, KENNETH	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	990.00	19.80	0.00	19.80	90.0	17.82
06/14/2018	00075982164 ASHEIM, KENNETH	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	107.10	2.14	0.00	2.14	90.0	1.93
06/14/2018	00075982164 ASHEIM, KENNETH	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	1,020.00	20.40	0.00	20.40	90.0	18.36
06/14/2018	00081152428 LECY, ANN	AMERICAN FUNDS AMCAP FD	B	115.00	2.30	0.00	2.30	90.0	2.07
06/14/2018	00081152428 LECY, ANN	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	114.00	2.28	0.00	2.28	90.0	2.05
06/14/2018	00081152428 LECY, ANN	AMERICAN FUNDS INCOME FD OF AMERI B	B	115.00	2.30	0.00	2.30	90.0	2.07
06/14/2018	00081152428 LECY, ANN	NEW WORLD FUND-A	B	114.00	2.28	0.00	2.28	90.0	2.05
06/14/2018	00081201789 AKERS, DENICE	NEW WORLD FUND-A	B	112.50	2.25	0.00	2.25	90.0	2.03
06/14/2018	00081201789 AKERS, DENICE	NEW WORLD FUND-A	B	112.50	2.25	0.00	2.25	90.0	2.03
06/18/2018	00081536505 BECK, MICHAEL	AMERICAN FUNDS FUNDAMENTAL INVES B	B	76.25	2.10	0.00	2.10	90.0	1.89
06/18/2018	00081536505 BECK, MICHAEL	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	76.25	2.10	0.00	2.10	90.0	1.89
06/18/2018	00081536505 BECK, MICHAEL	AMERICAN FUNDS SMALL CAP WORLD FD	B	76.25	2.10	0.00	2.10	90.0	1.89
06/11/2018	00081638112 KETZNER, TODD	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	50.00	1.38	0.00	1.38	90.0	1.24
06/11/2018	00081638112 KETZNER, TODD	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	50.00	1.38	0.00	1.38	90.0	1.24
06/11/2018	00081638112 KETZNER, TODD	AMERICAN FUNDS INVESTMENT COMP OF A B	B	50.00	1.38	0.00	1.38	90.0	1.24
06/11/2018	00081638112 KETZNER, TODD	AMERICAN FUNDS NEW PERSPECTIVE FD	B	50.00	1.38	0.00	1.38	90.0	1.24
06/11/2018	00082366615 KETZNER, KARISSA	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	50.00	1.38	0.00	1.38	90.0	1.24
06/11/2018	00082366615 KETZNER, KARISSA	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	50.00	1.38	0.00	1.38	90.0	1.24
06/11/2018	00082366615 KETZNER, KARISSA	AMERICAN FUNDS INVESTMENT COMP OF A B	B	50.00	1.38	0.00	1.38	90.0	1.24
06/11/2018	00082366615 KETZNER, KARISSA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	50.00	1.38	0.00	1.38	90.0	1.24
06/20/2018	00082638926 YAMASHITA, MARK/EMILY	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	200.00	8.50	0.00	8.50	90.0	7.65
06/11/2018	00082960130 REGIER, STEPHANIE	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	50.00	2.13	0.00	2.13	90.0	1.92
06/11/2018	00082960130 REGIER, STEPHANIE	AMERICAN FUNDS EURO PACIFIC GROWT B	B	50.00	2.13	0.00	2.13	90.0	1.92
06/11/2018	00082960130 REGIER, STEPHANIE	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	50.00	2.13	0.00	2.13	90.0	1.92
06/11/2018	00082960172 REGIER, DARYL	AMERICAN FUNDS AMCAP FD	B	50.00	2.13	0.00	2.13	90.0	1.92
06/11/2018	00082960172 REGIER, DARYL	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	50.00	2.13	0.00	2.13	90.0	1.92
06/11/2018	00082960172 REGIER, DARYL	AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	2.13	0.00	2.13	90.0	1.92

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/18/2018	00084775331	GESINGER, BLAINE	AMERICAN FUNDS AMERICAN MUTUAL FD	B	100.00	2.00	0.00	2.00	90.0 1.80
06/18/2018	00084775331	GESINGER, BLAINE	AMERICAN FUNDS CAPITAL INCOME BUILD	B	100.00	2.00	0.00	2.00	90.0 1.80
06/18/2018	00084775331	GESINGER, BLAINE	AMERICAN FUNDS CAPITAL WORLD GROWT	B	100.00	2.00	0.00	2.00	90.0 1.80
06/18/2018	00084775331	GESINGER, BLAINE	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	2.00	0.00	2.00	90.0 1.80
06/18/2018	00084775331	GESINGER, BLAINE	NEW WORLD FUND-A	B	100.00	2.00	0.00	2.00	90.0 1.80
06/14/2018	00085443655	ODEGARD, ROSS	AMERICAN FUNDS SMALL CAP WORLD FD	B	63.12	2.37	0.00	2.37	90.0 2.13
06/14/2018	00085443655	ODEGARD, ROSS	AMERICAN FUNDS SMALL CAP WORLD FD	B	63.12	2.37	0.00	2.37	90.0 2.13
06/20/2018	00085574568	MAKOWESKI, PETE	AMERICAN FUNDS AMERICAN MUTUAL FD	B	135.25	2.71	0.00	2.71	90.0 2.44
06/20/2018	00085574568	MAKOWESKI, PETE	AMERICAN FUNDS BD FD OF AMER FD	B	135.25	2.71	0.00	2.71	90.0 2.44
06/20/2018	00085574568	MAKOWESKI, PETE	AMERICAN FUNDS INCOME SER US GOVT	B	135.25	2.71	0.00	2.71	90.0 2.44
06/20/2018	00085574568	MAKOWESKI, PETE	GLOBAL BALANCED FUND-A	B	135.25	2.71	0.00	2.71	90.0 2.44
06/20/2018	00085956994	MASSIE, CLAY	AMERICAN FUNDS CAPITAL INCOME BUILD	B	76.39	2.86	0.00	2.86	90.0 2.57
06/20/2018	00085956994	MASSIE, CLAY	AMERICAN FUNDS CAPITAL WORLD GROWT	B	76.39	2.86	0.00	2.86	90.0 2.57
06/20/2018	00085956994	MASSIE, CLAY	AMERICAN FUNDS GROWTH FUND OF AMERI	B	76.39	2.86	0.00	2.86	90.0 2.57
06/20/2018	00086689358	YAMASHITA, SUZANNE	AMERICAN FUNDS WA MUTUAL	INVES B	150.00	6.38	0.00	6.38	90.0 5.74
06/12/2018	00086734816	JACOBS, COREY	AMERICAN MUTUAL FUND-C	B	334.00	3.34	0.00	3.34	90.0 3.01
06/12/2018	00086734816	JACOBS, COREY	CAPITAL INCOME BUILDER-C	B	334.00	3.34	0.00	3.34	90.0 3.01
06/12/2018	00086734849	JACOBS, CONNIE	AMERICAN FUNDS AMCAP FD	B	732.00	27.45	0.00	27.45	90.0 24.71
06/12/2018	00086734849	JACOBS, CONNIE	AMERICAN FUNDS CAPITAL WORLD GROWT	B	732.00	27.45	0.00	27.45	90.0 24.71
06/15/2018	00086832111	MARCOV, ERIK	AMERICAN FUNDS FUNDAMENTAL	INVES B	114.58	3.15	0.00	3.15	90.0 2.84
06/15/2018	00086832111	MARCOV, ERIK	AMERICAN FUNDS INCOME FD OF AMERI	B	114.58	3.15	0.00	3.15	90.0 2.84
06/15/2018	00086832111	MARCOV, ERIK	AMERICAN FUNDS INVESTMENT COMP OF A	B	114.58	3.15	0.00	3.15	90.0 2.84
06/15/2018	00086832111	MARCOV, ERIK	AMERICAN FUNDS SMALL CAP WORLD FD	B	114.58	3.15	0.00	3.15	90.0 2.84
06/15/2018	00086832145	MARCOV, SARAH F.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	114.58	3.15	0.00	3.15	90.0 2.84
06/15/2018	00086832145	MARCOV, SARAH F.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	114.58	3.15	0.00	3.15	90.0 2.84
06/15/2018	00086832145	MARCOV, SARAH F.	AMERICAN FUNDS NEW PERSPECTIVE FD	B	114.58	3.15	0.00	3.15	90.0 2.84
06/15/2018	00086832145	MARCOV, SARAH F.	NEW WORLD FUND-A	B	114.58	3.15	0.00	3.15	90.0 2.84
06/14/2018	00086957629	WILLIAMS, MELANIE	AMERICAN FUNDS AMCAP FD	B	63.55	2.38	0.00	2.38	90.0 2.14
06/14/2018	00086957629	WILLIAMS, MELANIE	AMERICAN FUNDS AMCAP FD	B	63.55	2.38	0.00	2.38	90.0 2.14
06/14/2018	00087044454	PACHL, JESSICA	AMERICAN FUNDS AMCAP FD	B	239.21	10.17	0.00	10.17	90.0 9.15
06/14/2018	00087044454	PACHL, JESSICA	AMERICAN FUNDS AMCAP FD	B	239.21	10.17	0.00	10.17	90.0 9.15
06/20/2018	00087062366	KRANTZ/SHOWALTER,	WASHINGTON MUTUAL INVESTORS FUND-52	B	50.00	2.50	0.00	2.50	90.0 2.25
		SANDRA L/KAMDEN							
06/20/2018	00087062378	SHOWALTER, DELANIE	FUNDAMENTAL INVESTORS-529A	B	50.00	2.50	0.00	2.50	90.0 2.25
06/18/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS AMCAP FD	B	55.00	2.34	0.00	2.34	90.0 2.11
06/18/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS CAPITAL WORLD GROWT	B	55.00	2.34	0.00	2.34	90.0 2.11
06/18/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS GROWTH FUND OF AMERI	B	55.00	2.34	0.00	2.34	90.0 2.11
06/18/2018	00087108999	FLOTTMEYER, BRENDA	AMERICAN FUNDS WA MUTUAL	INVES B	55.00	2.34	0.00	2.34	90.0 2.11
06/15/2018	00087295220	DELES DERNIER,	AMERICAN FUNDS AMCAP FD	B	100.00	4.25	0.00	4.25	90.0 3.83
		DOUGLAS							

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/15/2018	00087295220 DELES DERNIER, DOUGLAS	AMERICAN FUNDS GROWTH FUND OF AMERI B		100.00	4.25	0.00	4.25	90.0	3.83
06/15/2018	00087295220 DELES DERNIER, DOUGLAS	AMERICAN FUNDS WA MUTUAL	INVES B	100.00	4.25	0.00	4.25	90.0	3.83
06/15/2018	00087295220 DELES DERNIER, DOUGLAS	GLOBAL BALANCED FUND-A	B	100.00	4.25	0.00	4.25	90.0	3.83
06/15/2018	00087374237 KING, TIMOTHY	AMERICAN FUNDS AMCAP FD	B	50.00	2.50	0.00	2.50	90.0	2.25
06/15/2018	00087374237 KING, TIMOTHY	AMERICAN FUNDS CAPITAL WORLD GROWT B		50.00	2.50	0.00	2.50	90.0	2.25
06/15/2018	00087374237 KING, TIMOTHY	AMERICAN FUNDS NEW PERSPECTIVE FD	B	50.00	2.50	0.00	2.50	90.0	2.25
06/15/2018	00087374237 KING, TIMOTHY	AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	2.50	0.00	2.50	90.0	2.25
06/15/2018	00087391906 WILSON, DONNELLE	GLOBAL BALANCED FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
06/15/2018	00087391906 WILSON, DONNELLE	THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
06/11/2018	00087396195 DAHL, DAVID	AMERICAN FUNDS FUNDAMENTAL	INVES B	53.00	1.99	0.00	1.99	90.0	1.79
06/11/2018	00087396195 DAHL, DAVID	AMERICAN FUNDS GROWTH FUND OF AMERI B		53.00	1.99	0.00	1.99	90.0	1.79
06/11/2018	00087396195 DAHL, DAVID	AMERICAN FUNDS NEW ECONOMY FD	B	53.00	1.99	0.00	1.99	90.0	1.79
06/11/2018	00087396195 DAHL, DAVID	NEW WORLD FUND-A	B	70.00	2.63	0.00	2.63	90.0	2.37
06/11/2018	00087396210 DAHL, AMANDA	AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	90.0	1.69
06/11/2018	00087396210 DAHL, AMANDA	AMERICAN FUNDS CAPITAL WORLD GROWT B		65.00	2.44	0.00	2.44	90.0	2.20
06/11/2018	00087396210 DAHL, AMANDA	AMERICAN FUNDS SMALL CAP WORLD FD	B	64.00	2.40	0.00	2.40	90.0	2.16
06/11/2018	00087396210 DAHL, AMANDA	AMERICAN FUNDS WA MUTUAL	INVES B	50.00	1.88	0.00	1.88	90.0	1.69
06/18/2018	00087436654 LYONS, ASHLEY	AMERICAN FUNDS AMCAP FD	B	200.00	10.00	0.00	10.00	90.0	9.00
06/18/2018	00087436654 LYONS, ASHLEY	AMERICAN FUNDS CAPITAL WORLD GROWT B		200.00	10.00	0.00	10.00	90.0	9.00
06/14/2018	00087493891 ASHEIM, SHIRLEY	AMERICAN FUNDS BALANCED FD	B	225.00	4.50	0.00	4.50	90.0	4.05
06/14/2018	00087493891 ASHEIM, SHIRLEY	AMERICAN FUNDS BALANCED FD	B	3,000.00	60.00	0.00	60.00	90.0	54.00
06/15/2018	00087709550 GUNDERSON, SUSAN/DILLON	GROWTH PORTFOLIO-529A	B	400.00	17.00	0.00	17.00	90.0	15.30
06/21/2018	00087709550 GUNDERSON, SUSAN/DILLON	GROWTH PORTFOLIO-529A	B	5,000.00	212.50	0.00	212.50	90.0	191.25
06/18/2018	00087985974 MCGINLEY, CONSTANCE	AMERICAN FUNDS EURO PACIFIC	GROWT B	112.00	2.24	0.00	2.24	90.0	2.02
06/18/2018	00087985974 MCGINLEY, CONSTANCE	AMERICAN FUNDS INCOME FD OF	AMERI B	112.00	2.24	0.00	2.24	90.0	2.02
06/18/2018	00087985974 MCGINLEY, CONSTANCE	AMERICAN FUNDS INVESTMENT COMP OF A B		113.00	2.26	0.00	2.26	90.0	2.03
06/18/2018	00087985974 MCGINLEY, CONSTANCE	AMERICAN FUNDS NEW ECONOMY FD	B	113.00	2.26	0.00	2.26	90.0	2.03
06/18/2018	00087989188 MCGINLEY, JOHN	AMERICAN FUNDS AMCAP FD	B	112.00	2.24	0.00	2.24	90.0	2.02
06/18/2018	00087989188 MCGINLEY, JOHN	AMERICAN FUNDS AMERICAN MUTUAL FD	B	112.00	2.24	0.00	2.24	90.0	2.02
06/18/2018	00087989188 MCGINLEY, JOHN	AMERICAN FUNDS CAPITAL WORLD GROWT B		113.00	2.26	0.00	2.26	90.0	2.03
06/18/2018	00087989188 MCGINLEY, JOHN	AMERICAN FUNDS SMALL CAP WORLD FD	B	113.00	2.26	0.00	2.26	90.0	2.03

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
06/11/2018	00088093369	FRERICHS, CORA	AMERICAN FUNDS BALANCED FD	B	150.00	7.50	0.00	7.50	90.0	6.75
06/14/2018	00088216102	WEISBECK, CORISSA	AMERICAN MUTUAL FUND-C	B	45.00	0.45	0.00	0.45	90.0	0.41
A.										
06/14/2018	00088216102	WEISBECK, CORISSA	AMERICAN MUTUAL FUND-C	B	45.00	0.45	0.00	0.45	90.0	0.41
A.										
06/11/2018	00088267226	KEFFELER, TRACY L.	AMERICAN FUNDS AMCAP FD	B	50.00	2.13	0.00	2.13	90.0	1.92
06/18/2018	00088298154	ALEXANDER, MARILYN	AMERICAN FUNDS BALANCED FD	B	1,000.00	27.50	0.00	27.50	90.0	24.75
06/14/2018	00088306950	RICE, LOIS G.	AMERICAN BALANCED FUND-C	B	33.81	0.34	0.00	0.34	90.0	0.31
06/14/2018	00088306950	RICE, LOIS G.	AMERICAN BALANCED FUND-C	B	45.09	0.45	0.00	0.45	90.0	0.41
06/14/2018	00088306950	RICE, LOIS G.	THE GROWTH FUND OF AMERICA-C	B	33.82	0.34	0.00	0.34	90.0	0.31
06/14/2018	00088306950	RICE, LOIS G.	THE GROWTH FUND OF AMERICA-C	B	45.08	0.45	0.00	0.45	90.0	0.41
06/15/2018	00088320633	PACHL/AUTH PER, JESSICA L.	AMERICAN FUNDS WA MUTUAL	INVES B	100.00	4.25	0.00	4.25	90.0	3.83
06/18/2018	00088397974	LEWIS, MICAH	AMERICAN FUNDS WA MUTUAL	INVES B	50.00	2.50	0.00	2.50	90.0	2.25
06/20/2018	00088419853	SCHMIDT, ROSS L.	AMERICAN FUNDS BALANCED FD	B	200.00	10.00	0.00	10.00	90.0	9.00
06/13/2018	00088502628	SUTLIFF-GROSS, SABRINA	AMERICAN FUNDS AMERICAN MUTUAL FD	B	150.00	7.50	0.00	7.50	90.0	6.75
06/15/2018	00088504226	SUTLIFF-GROSS, SABRINA	CAPITAL INCOME BUILDER-529A	B	250.00	12.50	0.00	12.50	90.0	11.25
06/15/2018	00088565229	LIMKE, JESSICA	AMERICAN FUNDS INVESTMENT COMP OF A	B	200.00	8.50	0.00	8.50	90.0	7.65
06/14/2018	00088586746	MATTHEW, SHANNON	AMERICAN FUNDS BALANCED FD	B	62.50	1.25	0.00	1.25	90.0	1.13
06/14/2018	00088586746	MATTHEW, SHANNON	AMERICAN FUNDS FUNDAMENTAL	INVES B	62.50	1.25	0.00	1.25	90.0	1.13
06/14/2018	00088586746	MATTHEW, SHANNON	AMERICAN FUNDS INCOME FD OF	AMERI B	62.50	1.25	0.00	1.25	90.0	1.13
06/14/2018	00088586746	MATTHEW, SHANNON	AMERICAN FUNDS NEW PERSPECTIVE FD	B	62.50	1.25	0.00	1.25	90.0	1.13
06/15/2018	00088605154	LYONS, CAMERON	WASHINGTON MUTUAL INVESTORS FUND-52	B	100.00	5.00	0.00	5.00	90.0	4.50
06/11/2018	00088618305	LORD, TIMOTHY R.	AMERICAN FUNDS AMCAP FD	B	114.50	4.29	0.00	4.29	90.0	3.86
06/11/2018	00088618305	LORD, TIMOTHY R.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	114.50	4.29	0.00	4.29	90.0	3.86
06/11/2018	00088618305	LORD, TIMOTHY R.	AMERICAN FUNDS FUNDAMENTAL	INVES B	114.50	4.29	0.00	4.29	90.0	3.86
06/11/2018	00088618305	LORD, TIMOTHY R.	NEW WORLD FUND-A	B	114.50	4.29	0.00	4.29	90.0	3.86
06/11/2018	00088618538	LORD, CORRIN P.	AMERICAN FUNDS CAPITAL WORLD	GROWT B	114.50	4.29	0.00	4.29	90.0	3.86
06/11/2018	00088618538	LORD, CORRIN P.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	114.50	4.29	0.00	4.29	90.0	3.86
06/11/2018	00088618538	LORD, CORRIN P.	AMERICAN FUNDS INCOME FD OF	AMERI B	114.50	4.29	0.00	4.29	90.0	3.86
06/11/2018	00088618538	LORD, CORRIN P.	AMERICAN FUNDS INVESTMENT COMP OF A	B	114.50	4.29	0.00	4.29	90.0	3.86
06/15/2018	00088631279	FLOTTMEYER, KEVIN A.	AMERICAN FUNDS CAPITAL WORLD	GROWT B	125.00	6.25	0.00	6.25	90.0	5.63
06/18/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS AMCAP FD	B	50.00	2.13	0.00	2.13	90.0	1.92
06/18/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS BALANCED FD	B	50.00	2.13	0.00	2.13	90.0	1.92
06/18/2018	00088648517	BOWEN, LANA L.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	50.00	2.13	0.00	2.13	90.0	1.92
06/18/2018	00088648517	BOWEN, LANA L.	NEW WORLD FUND-A	B	50.00	2.13	0.00	2.13	90.0	1.92
06/11/2018	00088738575	SPITZER, BRUCE A.	AMERICAN FUNDS AMCAP FD	B	50.00	1.88	0.00	1.88	90.0	1.69

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
06/11/2018	00088738575	SPITZER, BRUCE A.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	50.00	1.88	0.00	1.88	90.0	1.69
06/11/2018	00088738575	SPITZER, BRUCE A.	AMERICAN FUNDS EURO PACIFIC GROWT	B	50.00	1.88	0.00	1.88	90.0	1.69
06/15/2018	00088828419	HOP, DANIEL J.	WASHINGTON MUTUAL INVESTORS FUND-52	B	50.00	2.50	0.00	2.50	90.0	2.25
06/15/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS AMCAP FD	B	57.30	2.44	0.00	2.44	90.0	2.20
	M.									
06/15/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS CAPITAL INCOME BUILD	B	57.30	2.44	0.00	2.44	90.0	2.20
	M.									
06/15/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS FUNDAMENTAL INVES	B	57.30	2.44	0.00	2.44	90.0	2.20
	M.									
06/15/2018	00088908568	ANDERSON, ANGELA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	57.30	2.44	0.00	2.44	90.0	2.20
	M.									
06/15/2018	00089019546	HOLLEARN, JAMES R.	AMERICAN FUNDS BALANCED FD	B	100.00	5.00	0.00	5.00	90.0	4.50
06/15/2018	00089019593	HOLLEARN, SEAN R.	AMERICAN FUNDS AMCAP FD	B	57.00	2.85	0.00	2.85	90.0	2.57
06/15/2018	00089019593	HOLLEARN, SEAN R.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	56.00	2.80	0.00	2.80	90.0	2.52
06/15/2018	00089019593	HOLLEARN, SEAN R.	AMERICAN FUNDS CAPITAL INCOME BUILD	B	56.00	2.80	0.00	2.80	90.0	2.52
06/15/2018	00089019593	HOLLEARN, SEAN R.	AMERICAN FUNDS SMALL CAP WORLD FD	B	56.00	2.80	0.00	2.80	90.0	2.52
06/15/2018	00089019699	HOLLEARN,	AMERICAN FUNDS GROWTH FUND OF AMERI	B	75.00	3.75	0.00	3.75	90.0	3.38
	CHRISTINA M.									
06/15/2018	00089019699	HOLLEARN,	AMERICAN FUNDS INCOME FD OF AMERI	B	50.00	2.50	0.00	2.50	90.0	2.25
	CHRISTINA M.									
06/15/2018	00089049697	WARREN, BRIANNA M.	AMERICAN FUNDS FUNDAMENTAL INVES	B	50.00	2.50	0.00	2.50	90.0	2.25
06/15/2018	00089123660	TEN EYCK, SHEILA	AMERICAN FUNDS INCOME FD OF AMERI	B	75.00	2.81	0.00	2.81	90.0	2.53
	T.									
06/15/2018	00089123704	TEN EYCK, JOHN C.	AMERICAN FUNDS AMCAP FD	B	75.00	2.81	0.00	2.81	90.0	2.53
06/18/2018	00089123815	KULESA, CASSIDY R.	AMERICAN FUNDS CAPITAL WORLD GROWT	B	81.25	3.45	0.00	3.45	90.0	3.11
06/18/2018	00089123815	KULESA, CASSIDY R.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	81.25	3.45	0.00	3.45	90.0	3.11
06/18/2018	00089123815	KULESA, CASSIDY R.	AMERICAN FUNDS INVESTMENT COMP OF A	B	81.25	3.45	0.00	3.45	90.0	3.11
06/18/2018	00089123815	KULESA, CASSIDY R.	AMERICAN FUNDS NEW PERSPECTIVE FD	B	81.25	3.45	0.00	3.45	90.0	3.11
06/15/2018	00089163089	CIOCARLAN,	AMERICAN FUNDS GROWTH FUND OF AMERI	B	55.00	1.51	0.00	1.51	90.0	1.36
	CHRISTOPHER J.									
06/15/2018	00089163089	CIOCARLAN,	NEW WORLD FUND-A	B	55.00	1.51	0.00	1.51	90.0	1.36
	CHRISTOPHER J.									
06/15/2018	00089163184	CIOCARLAN,	AMERICAN FUNDS WA MUTUAL INVES	B	100.00	2.75	0.00	2.75	90.0	2.48
	CHRISTOPHER J.									
06/15/2018	00089163224	CIOCARLAN,	AMERICAN FUNDS AMERICAN MUTUAL FD	B	100.00	2.75	0.00	2.75	90.0	2.48
	CHRISTOPHER J.									
06/01/2018	00089187466	BUREMAN, KEVIN	AMERICAN FUNDS GROWTH FUND OF AMERI	B	-50.00	-2.50	0.00	-2.50	90.0	-2.25
06/15/2018	00089249484	WILLIAMS, DONALD	AMERICAN FUNDS INCOME FD OF AMERI	B	405.00	11.14	0.00	11.14	90.0	10.03
06/15/2018	00089249484	WILLIAMS, DONALD	AMERICAN FUNDS INCOME FD OF AMERI	B	1,614.55	44.40	0.00	44.40	90.0	39.96
06/15/2018	00089249498	WILLIAMS,	AMERICAN FUNDS BALANCED FD	B	300.00	8.25	0.00	8.25	90.0	7.43

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BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
GERALDINE									
06/15/2018	00089249498	WILLIAMS, AMERICAN FUNDS BALANCED FD	B	1,614.55	44.40	0.00	44.40	90.0	39.96
GERALDINE									
06/15/2018	00089296173	WILLIAMS, KEVIN M. AMERICAN FUNDS GROWTH FUND OF AMERI	B	182.70	5.02	0.00	5.02	90.0	4.52
06/15/2018	00089296173	WILLIAMS, KEVIN M. AMERICAN FUNDS GROWTH FUND OF AMERI	B	609.00	16.75	0.00	16.75	90.0	15.08
06/18/2018	00089303112	MASSIE, KATEY AMERICAN FUNDS AMCAP FD	B	58.00	2.18	0.00	2.18	90.0	1.96
06/18/2018	00089303112	MASSIE, KATEY AMERICAN FUNDS SMALL CAP WORLD FD	B	57.00	2.14	0.00	2.14	90.0	1.93
06/18/2018	00089303112	MASSIE, KATEY AMERICAN FUNDS WA MUTUAL	INVES B	57.00	2.14	0.00	2.14	90.0	1.93
06/18/2018	00089303112	MASSIE, KATEY NEW WORLD FUND-A	B	57.00	2.14	0.00	2.14	90.0	1.93
06/15/2018	00089406954	KAUFMAN, EDWARD R. AMERICAN FUNDS 2020 TARGET DATE-A	B	106.20	2.92	0.00	2.92	90.0	2.63
06/15/2018	00089406954	KAUFMAN, EDWARD R. AMERICAN FUNDS 2020 TARGET DATE-A	B	247.80	6.81	0.00	6.81	90.0	6.13
06/20/2018	00089423246	YAMASHITA, MARK M. AMERICAN FUNDS WA MUTUAL	INVES B	75.00	3.19	0.00	3.19	90.0	2.87
06/14/2018	00089436102	BOHLMANN, JACOB B. AMERICAN FUNDS BALANCED FD	B	53.22	2.00	0.00	2.00	90.0	1.80
06/14/2018	00089436102	BOHLMANN, JACOB B. AMERICAN FUNDS BALANCED FD	B	53.22	2.00	0.00	2.00	90.0	1.80
06/14/2018	00089449545	ELLINGSON, KRISTEN AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
K.									
06/21/2018	00089449545	ELLINGSON, KRISTEN AMERICAN BALANCED FUND-C	B	75.00	0.75	0.00	0.75	90.0	0.68
K.									
06/15/2018	00089449609	THOURON, MARIA E. AMERICAN FUNDS AMERICAN MUTUAL FD	B	104.25	5.21	0.00	5.21	90.0	4.69
06/15/2018	00089449609	THOURON, MARIA E. AMERICAN FUNDS EURO PACIFIC	GROWT B	104.25	5.21	0.00	5.21	90.0	4.69
06/15/2018	00089449609	THOURON, MARIA E. AMERICAN FUNDS GROWTH FUND OF AMERI	B	104.25	5.21	0.00	5.21	90.0	4.69
06/15/2018	00089449609	THOURON, MARIA E. AMERICAN FUNDS INCOME FD OF	AMERI B	104.25	5.21	0.00	5.21	90.0	4.69
06/20/2018	00089470922	SPITZER, BETH E. AMERICAN FUNDS FUNDAMENTAL	INVES B	50.00	1.88	0.00	1.88	90.0	1.69
06/20/2018	00089470922	SPITZER, BETH E. AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	1.88	0.00	1.88	90.0	1.69
06/15/2018	00089491398	KOKOSZKA, KENNETH AMERICAN FUNDS 2025 TARGET DATE-A	B	220.00	11.00	0.00	11.00	90.0	9.90
J.									
06/15/2018	00089491398	KOKOSZKA, KENNETH AMERICAN FUNDS 2025 TARGET DATE-A	B	33.00	1.65	0.00	1.65	90.0	1.49
J.									
06/15/2018	00089491403	WELLS, DENISE M. AMERICAN FUNDS 2050 TARGET DATE-A	B	480.00	24.00	0.00	24.00	90.0	21.60
06/15/2018	00089491403	WELLS, DENISE M. AMERICAN FUNDS 2050 TARGET DATE-A	B	180.00	9.00	0.00	9.00	90.0	8.10
06/15/2018	00089549237	HENRY, STEVEN L. AMCAP FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
06/15/2018	00089549237	HENRY, STEVEN L. WASHINGTON MUTUAL INVESTORS FUND-C	B	50.00	0.50	0.00	0.50	90.0	0.45
06/15/2018	00089549281	HENRY, NICOLE M. FUNDAMENTAL INVESTORS-C	B	50.00	0.50	0.00	0.50	90.0	0.45
06/15/2018	00089549281	HENRY, NICOLE M. THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
06/15/2018	00089606497	DELES DERNIER, AMERICAN FUNDS FUNDAMENTAL	INVES B	250.00	10.63	0.00	10.63	90.0	9.57
CONNIE									
06/15/2018	00089606506	DELES DERNIER, AMERICAN FUNDS INVESTMENT COMP OF A	B	50.00	2.13	0.00	2.13	90.0	1.92
DOUGLAS									
06/15/2018	00089606506	DELES DERNIER, AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	2.13	0.00	2.13	90.0	1.92
DOUGLAS									

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/15/2018	00089621757	MORTON, BRET E.		THE GROWTH FUND OF AMERICA-C	B	75.00	0.75	0.00	0.75 90.0 0.68
06/15/2018	00089621765	MORTON, LINDA A.		AMCAP FUND-C	B	75.00	0.75	0.00	0.75 90.0 0.68
06/18/2018	00089679500	KULESA, ADAM M.		AMCAP FUND-C	B	109.00	1.09	0.00	1.09 90.0 0.98
06/18/2018	00089679500	KULESA, ADAM M.		CAPITAL WORLD GROWTH AND INCOME FUN B		108.00	1.08	0.00	1.08 90.0 0.97
06/18/2018	00089679500	KULESA, ADAM M.		WASHINGTON MUTUAL INVESTORS FUND-C	B	108.00	1.08	0.00	1.08 90.0 0.97
06/14/2018	00089859202	LABINE, JENNIFER		AMERICAN FUNDS AMCAP FD	B	90.00	1.80	0.00	1.80 90.0 1.62
		N.							
06/14/2018	00089859202	LABINE, JENNIFER		AMERICAN FUNDS AMCAP FD	B	90.00	1.80	0.00	1.80 90.0 1.62
		N.							
06/15/2018	00089961444	WEISS, CHRIS D.		AMCAP FUND-C	B	114.00	1.14	0.00	1.14 90.0 1.03
06/15/2018	00089961444	WEISS, CHRIS D.		AMERICAN MUTUAL FUND-C	B	114.00	1.14	0.00	1.14 90.0 1.03
06/15/2018	00089961444	WEISS, CHRIS D.		NEW WORLD FUND-C	B	115.00	1.15	0.00	1.15 90.0 1.04
06/15/2018	00089961444	WEISS, CHRIS D.		SMALLCAP WORLD FUND-C	B	115.00	1.15	0.00	1.15 90.0 1.04
06/15/2018	00201000577	GUNDERSON, SUSAN		COLUMBIA CONTRARIAN CORE FD A	B	135.25	4.06	0.00	4.06 90.0 3.65
		M.							
06/15/2018	00201000577	GUNDERSON, SUSAN		COLUMBIA MARSICO GLOBAL FUND A	B	135.25	4.06	0.00	4.06 90.0 3.65
		M.							
06/15/2018	00505047984	PETERSON, JEFF		-PUTNAM	B	114.00	4.28	0.00	4.28 90.0 3.85
06/15/2018	00505047984	PETERSON, JEFF		PUTNAM CAPITAL OPPORTUNITIES FUND	B	114.00	4.28	0.00	4.28 90.0 3.85
06/15/2018	00505047984	PETERSON, JEFF		PUTNAM GLOBAL GROWTH FD CL A	B	114.00	4.28	0.00	4.28 90.0 3.85
06/15/2018	00505047984	PETERSON, JEFF		PUTNAM GROWTH OPPORTUNITIES FD CL A	B	109.00	4.09	0.00	4.09 90.0 3.68
06/11/2018	00927850848	SCHAUER, AMIE		AMERICAN FUNDS AMCAP FD	B	25.00	0.69	0.00	0.69 90.0 0.62
06/11/2018	00927850850	MG TRUST, MG		AMERICAN FUNDS AMCAP FD	B	35.00	1.75	0.00	1.75 90.0 1.58
06/11/2018	00927850853	MG TRUST, MG		AMERICAN FUNDS AMCAP FD	B	28.75	0.79	0.00	0.79 90.0 0.71
06/11/2018	00930305469	SCHAUER, AMIE		AMERICAN FUNDS AMERICAN MUTUAL FD	B	25.00	1.25	0.00	1.25 90.0 1.13
06/15/2018	00932927612	KENDALL, REBECCA		AMERICAN FUNDS INVESTMENT COMP OF A	B	50.00	1.38	0.00	1.38 90.0 1.24
06/11/2018	00938506074	SCHAUER, AMIE		AMERICAN FUNDS INCOME FD OF AMERI	B	25.00	1.25	0.00	1.25 90.0 1.13
06/11/2018	00940999894	SCHAUER, AMIE		AMERICAN FUNDS NEW PERSPECTIVE FD	B	25.00	0.69	0.00	0.69 90.0 0.62
06/11/2018	00950644891	MG TRUST, MG		AMERICAN FUNDS BALANCED FD	B	28.75	0.79	0.00	0.79 90.0 0.71
06/11/2018	00955344971	MG TRUST, MG		AMERICAN FUNDS NEW ECONOMY FD	B	35.00	1.75	0.00	1.75 90.0 1.58
06/11/2018	00955361781	MG TRUST, MG		AMERICAN FUNDS NEW ECONOMY FD	B	28.75	0.79	0.00	0.79 90.0 0.71
06/11/2018	00978061831	MG TRUST, MG		AMERICAN FUNDS CAPITAL WORLD GROWT	B	30.00	1.50	0.00	1.50 90.0 1.35
06/11/2018	00978061834	MG TRUST, MG		AMERICAN FUNDS CAPITAL WORLD GROWT	B	28.75	0.79	0.00	0.79 90.0 0.71
06/11/2018	01000170691	WRIGHT, EDWARD		AIM DEVELOPING MARKETS FUND - CLASS	B	37.50	0.38	0.00	0.38 90.0 0.34
06/20/2018	01000170691	WRIGHT, EDWARD		AIM DEVELOPING MARKETS FUND - CLASS	B	37.50	0.38	0.00	0.38 90.0 0.34
06/11/2018	01000170691	WRIGHT, EDWARD		AIM GLOBAL AGGRESSIVE GROWTH FD CL	B	37.50	0.38	0.00	0.38 90.0 0.34
06/20/2018	01000170691	WRIGHT, EDWARD		AIM GLOBAL AGGRESSIVE GROWTH FD CL	B	37.50	0.38	0.00	0.38 90.0 0.34
06/11/2018	01000170691	WRIGHT, EDWARD		AIM UTILITIES FUND - CLASS C	B	37.50	0.38	0.00	0.38 90.0 0.34
06/20/2018	01000170691	WRIGHT, EDWARD		AIM UTILITIES FUND - CLASS C	B	37.50	0.38	0.00	0.38 90.0 0.34
06/11/2018	01000170691	WRIGHT, EDWARD		IVK AMERICAN VALUE FUND - CLASS C	B	37.50	0.38	0.00	0.38 90.0 0.34

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
06/20/2018	01000170691	WRIGHT, EDWARD	IVK AMERICAN VALUE FUND - CLASS C	B	37.50	0.38	0.00	0.38	90.0	0.34
06/01/2018	0100071050	FISCHER, DACIA M.	OPPENHEIMER MAIN ST SMALL CAP FUND	B	87.50	3.50	0.00	3.50	90.0	3.15
06/15/2018	01001342204	DERKSEN, NATHAN P.	IVK COMSTOCK FUND - CLASS A	B	100.00	5.00	0.00	5.00	90.0	4.50
06/15/2018	01001342204	DERKSEN, NATHAN P.	IVK SMALL CAP GROWTH FUND - CLASS A	B	100.00	5.00	0.00	5.00	90.0	4.50
06/21/2018	01001362660	VAN ASMA, STEPHANIE R.	AIM ASIAN GROWTH FD CL A	B	114.00	5.70	0.00	5.70	90.0	5.13
06/21/2018	01001362660	VAN ASMA, STEPHANIE R.	AIM DIVERSIFIED DIVIDEND FUND - CL	B	115.00	5.75	0.00	5.75	90.0	5.18
06/21/2018	01001362660	VAN ASMA, STEPHANIE R.	IVK MID CAP GROWTH FUND - CLASS A	B	115.00	5.75	0.00	5.75	90.0	5.18
06/21/2018	01001362660	VAN ASMA, STEPHANIE R.	IVK SMALL CAP GROWTH FUND - CLASS A	B	114.00	5.70	0.00	5.70	90.0	5.13
06/21/2018	01001362691	VAN ASMA, NICHOLAS A.	AIM EUROPEAN DEVELOPMENT FD CL A	B	114.00	5.70	0.00	5.70	90.0	5.13
06/21/2018	01001362691	VAN ASMA, NICHOLAS A.	AIM MID CAP GROWTH FD CL A	B	115.00	5.75	0.00	5.75	90.0	5.18
06/21/2018	01001362691	VAN ASMA, NICHOLAS A.	AIM SMALL CAP EQUITY FUND - CLASS A	B	114.00	5.70	0.00	5.70	90.0	5.13
06/21/2018	01001362691	VAN ASMA, NICHOLAS A.	AIM UTILITIES FUND - CLASS A	B	115.00	5.75	0.00	5.75	90.0	5.18
06/11/2018	01001396396	BENTLEY, BRANDON	IVK AMERICAN FRANCHISE FUND - CLASS B		100.00	5.00	0.00	5.00	90.0	4.50
06/22/2018	01001399447	DOBBS, MARI	AIM ASIAN GROWTH FD CL A	B	10,222.44	306.67	0.00	306.67	90.0	276.00
06/22/2018	01001399447	DOBBS, MARI	INVESCO FLOATING RATE FUND - CLASS B	B	10,174.04	152.61	0.00	152.61	90.0	137.35
06/22/2018	01001399447	DOBBS, MARI	IVK AMERICAN FRANCHISE FUND - CLASS B		10,222.44	306.67	0.00	306.67	90.0	276.00
06/22/2018	01001399447	DOBBS, MARI	IVK AMERICAN VALUE FUND - CLASS A	B	10,222.44	306.67	0.00	306.67	90.0	276.00
06/15/2018	01240555562	GUNDERSON, SUSAN M.	COLUMBIA MIDCAP GROWTH FUND A	B	135.25	4.06	0.00	4.06	90.0	3.65
06/11/2018	01334056911	DUTTON, MARCIA A	AMERICAN FUNDS 2015 TARGET DATE-A	B	75.00	3.75	0.00	3.75	90.0	3.38
06/15/2018	01390000410	GUNDERSON, KELLY M.	COLUMBIA TECHNOLOGY FUND A	B	114.00	3.42	0.00	3.42	90.0	3.08
06/15/2018	01793555613	GUNDERSON, KELLY M.	COLUMBIA ACORN INTERNATIONAL	B	114.00	3.42	0.00	3.42	90.0	3.08
06/15/2018	01981555565	GUNDERSON, KELLY M.	COLUMBIA MIDCAP VALUE	B	115.00	3.45	0.00	3.45	90.0	3.11
06/15/2018	02400107447	HOP, DANIEL J.	CALIBRATED MID CAP VALUE A	B	137.50	5.50	0.00	5.50	90.0	4.95
06/15/2018	02400107447	HOP, DANIEL J.	GROWTH LEADERS FUND A	B	137.50	5.50	0.00	5.50	90.0	4.95
06/15/2018	02400107447	HOP, DANIEL J.	LORD ABBETT ALPHA SERIES FD CL A	B	137.50	5.50	0.00	5.50	90.0	4.95
06/15/2018	02400107447	HOP, DANIEL J.	LORD ABBETT SECS TRUST INTL FD CL A	B	137.50	5.50	0.00	5.50	90.0	4.95
06/11/2018	03809167046	WRIGHT, SANDRA	AIM DIVERSIFIED DIVIDEND FUND - CL	B	25.00	0.75	0.00	0.75	90.0	0.68
06/20/2018	03809167046	WRIGHT, SANDRA	AIM DIVERSIFIED DIVIDEND FUND - CL	B	25.00	0.75	0.00	0.75	90.0	0.68

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
06/11/2018	03809167046	WRIGHT, SANDRA		AIM GLOBAL REAL ESTATE FUND - CLASS B	25.00	0.25	0.00	0.25	90.0	0.23
06/20/2018	03809167046	WRIGHT, SANDRA		AIM GLOBAL REAL ESTATE FUND - CLASS B	25.00	0.25	0.00	0.25	90.0	0.23
06/11/2018	03809167046	WRIGHT, SANDRA		AIM UTILITIES FUND - CLASS C	25.00	0.25	0.00	0.25	90.0	0.23
06/20/2018	03809167046	WRIGHT, SANDRA		AIM UTILITIES FUND - CLASS C	25.00	0.25	0.00	0.25	90.0	0.23
06/11/2018	03809167046	WRIGHT, SANDRA		IVK AMERICAN FRANCHISE FUND - CLASS B	25.00	0.25	0.00	0.25	90.0	0.23
06/20/2018	03809167046	WRIGHT, SANDRA		IVK AMERICAN FRANCHISE FUND - CLASS B	25.00	0.25	0.00	0.25	90.0	0.23
06/12/2018	04000012576	JACOBS, COREY		AMERICAN FUNDS AMERICAN MUTUAL FD	208.00	7.80	0.00	7.80	90.0	7.02
06/12/2018	04000012576	JACOBS, COREY		AMERICAN FUNDS GROWTH FUND OF AMERI	208.00	7.80	0.00	7.80	90.0	7.02
06/12/2018	04000012576	JACOBS, COREY		AMERICAN FUNDS INCOME FD OF AMERI	208.00	7.80	0.00	7.80	90.0	7.02
06/12/2018	04000012576	JACOBS, COREY		NEW WORLD FUND-A	208.00	7.80	0.00	7.80	90.0	7.02
06/20/2018	04000083040	RADKE, MICHELLE L.		THE GROWTH FUND OF AMERICA-529A	50.00	2.13	0.00	2.13	90.0	1.92
06/20/2018	04000083120	RADKE, MICHELLE L.		THE GROWTH FUND OF AMERICA-529A	50.00	2.13	0.00	2.13	90.0	1.92
06/20/2018	04000100624	BUREMAN, JANA		AMERICAN FUNDS INVESTMENT COMP OF A	100.00	5.00	0.00	5.00	90.0	4.50
06/15/2018	04000166931	KAUK, LISA M.		AMERICAN FUNDS AMERICAN MUTUAL FD	75.00	3.19	0.00	3.19	90.0	2.87
06/15/2018	04000166931	KAUK, LISA M.		AMERICAN FUNDS CAPITAL WORLD GROWT	75.00	3.19	0.00	3.19	90.0	2.87
06/15/2018	04000166931	KAUK, LISA M.		AMERICAN FUNDS GROWTH FUND OF AMERI	75.00	3.19	0.00	3.19	90.0	2.87
06/15/2018	04000166931	KAUK, LISA M.		AMERICAN FUNDS NEW PERSPECTIVE FD	75.00	3.19	0.00	3.19	90.0	2.87
06/15/2018	04000167035	KAUK, TIMOTHY		AMERICAN FUNDS BALANCED FD	75.00	3.19	0.00	3.19	90.0	2.87
06/15/2018	04000167035	KAUK, TIMOTHY		AMERICAN FUNDS FUNDAMENTAL INVES	75.00	3.19	0.00	3.19	90.0	2.87
06/15/2018	04000167035	KAUK, TIMOTHY		AMERICAN FUNDS GROWTH FUND OF AMERI	75.00	3.19	0.00	3.19	90.0	2.87
06/15/2018	04000167035	KAUK, TIMOTHY		NEW WORLD FUND-A	75.00	3.19	0.00	3.19	90.0	2.87
06/15/2018	04000211352	NEMEC, ERIN E.		AMERICAN FUNDS AMCAP FD	91.75	4.59	0.00	4.59	90.0	4.13
06/15/2018	04000211352	NEMEC, ERIN E.		AMERICAN FUNDS CAPITAL WORLD GROWT	91.75	4.59	0.00	4.59	90.0	4.13
06/15/2018	04000211352	NEMEC, ERIN E.		AMERICAN FUNDS INCOME FD OF AMERI	91.75	4.59	0.00	4.59	90.0	4.13
06/15/2018	04000211352	NEMEC, ERIN E.		AMERICAN FUNDS NEW PERSPECTIVE FD	91.75	4.59	0.00	4.59	90.0	4.13
06/15/2018	04000211366	NEMEC, LANCE L.		AMERICAN FUNDS EURO PACIFIC GROWT	114.50	5.73	0.00	5.73	90.0	5.16
06/15/2018	04000211366	NEMEC, LANCE L.		AMERICAN FUNDS FUNDAMENTAL INVES	114.50	5.73	0.00	5.73	90.0	5.16
06/15/2018	04000211366	NEMEC, LANCE L.		AMERICAN FUNDS GROWTH FUND OF AMERI	114.50	5.73	0.00	5.73	90.0	5.16
06/15/2018	04000211366	NEMEC, LANCE L.		AMERICAN FUNDS SMALL CAP WORLD FD	114.50	5.73	0.00	5.73	90.0	5.16
06/18/2018	04000219045	VEAZEY, CHRISTOPHER		AMERICAN FUNDS GROWTH FUND OF AMERI	82.50	4.13	0.00	4.13	90.0	3.72
06/18/2018	04000219045	VEAZEY, CHRISTOPHER		AMERICAN FUNDS INVESTMENT COMP OF A	82.50	4.13	0.00	4.13	90.0	3.72
06/18/2018	04000219045	VEAZEY, CHRISTOPHER		AMERICAN FUNDS NEW PERSPECTIVE FD	85.00	4.25	0.00	4.25	90.0	3.83
06/15/2018	04000452410	PACHL, JESSICA/EVE		GROWTH PORTFOLIO-A	100.00	4.25	0.00	4.25	90.0	3.83
06/21/2018	04000486090	CRILE, JODI		GROWTH PORTFOLIO-A	458.00	22.90	0.00	22.90	90.0	20.61
06/21/2018	04000489063	CRILE, JOHN M.		AMERICAN FUNDS GROWTH FUND OF AMERI	114.50	5.73	0.00	5.73	90.0	5.16
06/21/2018	04000489063	CRILE, JOHN M.		AMERICAN FUNDS SMALL CAP WORLD FD	114.50	5.73	0.00	5.73	90.0	5.16
06/21/2018	04000489063	CRILE, JOHN M.		AMERICAN FUNDS WA MUTUAL	114.50	5.73	0.00	5.73	90.0	5.16

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
06/21/2018	04000489063	CRILE, JOHN M.	NEW WORLD FUND-A	B	114.50	5.73	0.00	5.73	90.0	5.16
06/22/2018	04000644781	PEHRSON, KIMBERLY	GROWTH PORTFOLIO-A	B	37,603.20	1,598.14	0.00	1,598.14	90.0	1,438.33
	S.									
06/22/2018	04000644784	PEHRSON, KIMBERLY	AMERICAN FUNDS AMCAP FD	B	255.23	10.85	0.00	10.85	90.0	9.77
	S.									
06/22/2018	04000644784	PEHRSON, KIMBERLY	AMERICAN FUNDS FUNDAMENTAL	INVES B	260.56	11.07	0.00	11.07	90.0	9.96
	S.									
06/22/2018	04000644784	PEHRSON, KIMBERLY	AMERICAN FUNDS NEW PERSPECTIVE FD	B	260.56	11.07	0.00	11.07	90.0	9.96
	S.									
06/22/2018	04000644784	PEHRSON, KIMBERLY	AMERICAN FUNDS SMALL CAP WORLD FD	B	260.56	11.07	0.00	11.07	90.0	9.96
	S.									
06/15/2018	04000669878	KAUK, TIMOTHY	GLOBAL GROWTH PORTFOLIO-C	B	167.00	1.67	0.00	1.67	90.0	1.50
06/15/2018	04000906988	BRINK, LANDAN A.	THE GROWTH FUND OF AMERICA-C	B	50.00	0.50	0.00	0.50	90.0	0.45
06/04/2018	08000006896	KENDALL, DOUGLAS	PRINCIPAL INVESTORS SAM BALANCED (A	B	134.45	6.39	0.00	6.39	90.0	5.75
06/15/2018	15375555562	GUNDERSON, SUSAN	COLUMBIA SELECT SMALLER-CAP VALUE F	B	135.25	4.06	0.00	4.06	90.0	3.65
	M.									
06/01/2018	2252419461	JOHNSON, TINA	OPPENHEIMER QUEST VALUE FD	CL A B	166.67	6.67	0.00	6.67	90.0	6.00
06/01/2018	2254439479	NIDA, AARON R.	OPPENHEIMER QUEST VALUE FD	CL A B	200.00	8.00	0.00	8.00	90.0	7.20
06/01/2018	2514890897	FISCHER, BRANDON S.	OPPENHEIMER QUEST SMALL CAP	VALUE B	50.00	2.00	0.00	2.00	90.0	1.80
06/15/2018	4206310777	RUTSTEIN, ANDREA	OPPENHEIMER MAIN STREET INCOME & GR	B	100.00	4.75	0.00	4.75	90.0	4.28
06/01/2018	4206645562	FISCHER, BRANDON S.	OPPENHEIMER MAIN STREET INCOME & GR	B	50.00	2.00	0.00	2.00	90.0	1.80
06/01/2018	5004750427	JOHNSON, TINA	OPPENHEIMER DISCOVERY FD	CL A B	166.67	6.67	0.00	6.67	90.0	6.00
06/15/2018	51830000605	GUNDERSON, KELLY	COLUMBIA LARGE CORE QUAN FUND A	B	115.00	3.45	0.00	3.45	90.0	3.11
	M.									
06/01/2018	6870194370	FISCHER, BRANDON S.	OPPENHEIMER GLOBAL VALUE FUND A	B	50.00	2.00	0.00	2.00	90.0	1.80
06/01/2018	7006154393	RUTSTEIN, ANDREA/XAVIEN G.	OPPENHEIMER MAIN STREET INCOME & GR	B	75.00	3.56	0.00	3.56	90.0	3.20
06/01/2018	7210901109	FISCHER, DACIA M.	SMALL- & MID-CAP GROWTH FUND A	B	87.50	3.50	0.00	3.50	90.0	3.15
06/15/2018	7211015300	RUTSTEIN, ROBERT	SMALL- & MID-CAP GROWTH FUND A	B	100.00	4.75	0.00	4.75	90.0	4.28
06/01/2018	7860088551	JOHNSON, TINA	OPPENHEIMER DEVELOPING MKT FD	CL A B	166.67	6.67	0.00	6.67	90.0	6.00
06/01/2018	8259166608	FISCHER, DACIA M.	OPPENHEIMER INTL GROWTH FD	CL A B	87.50	3.50	0.00	3.50	90.0	3.15
06/01/2018	8351505449	RUTSTEIN, MILES	OPPENHEIMER QUEST CAPITAL	VALUE B	75.00	3.56	0.00	3.56	90.0	3.20
06/01/2018	8353044635	FISCHER, DACIA M.	OPPENHEIMER QUEST CAPITAL	VALUE B	87.50	3.50	0.00	3.50	90.0	3.15
06/01/2018	8477461344	FISCHER, BRANDON S.	MAIN STREET SMALL CAP FUND A	B	50.00	2.00	0.00	2.00	90.0	1.80
06/01/2018	8805969062	JOHNSON, TINA	OPPENHEIMER INTL BD FD	CL A B	166.65	6.25	0.00	6.25	90.0	5.63
* MUTUAL FUNDS SUBTOTAL *					\$138,500.24	\$4,574.75	\$0.00	\$4,574.75		\$4,117.64

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
06/28/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	667.42	667.42	0.00	667.42	90.0	600.68
		* STOCKS SUBTOTAL *		\$667.42	\$667.42	\$0.00	\$667.42		\$600.68
<u>PRODUCT CATEGORY: LIFE INSURANCE</u>									
06/17/2018	PATTEN, PHILLIP D.	NATIONWIDE LIFE	B	95.96	0.96	0.00	0.96	90.0	0.86
		* LIFE INSURANCE SUBTOTAL *		\$95.96	\$0.96	\$0.00	\$0.96		\$0.86
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018	10771324 GREGG, JOHN A.	SIGNAL SECURITIES RIA	B	0.00	6.66	0.00	6.66	90.0	5.99
04/13/2018	11760371 LONG, MICHAEL A	SIGNAL SECURITIES RIA	B	0.00	177.66	0.00	177.66	90.0	159.89
04/13/2018	12153707 STRUWE, TIM R	SIGNAL SECURITIES RIA	B	0.00	7.34	0.00	7.34	90.0	6.61
04/13/2018	12445993 PITMAN, REBECCA & TOM	SIGNAL SECURITIES RIA	B	0.00	604.66	0.00	604.66	90.0	544.19
04/13/2018	12520657 ABRAMS, LAURA JEANNE	SIGNAL SECURITIES RIA	B	0.00	125.66	0.00	125.66	90.0	113.09
04/13/2018	12976261 HINDMAN, ANTHONY J	SIGNAL SECURITIES RIA	B	0.00	270.00	0.00	270.00	90.0	243.00
04/13/2018	13142828 GARGIULO, HELEN	SIGNAL SECURITIES RIA	B	0.00	112.66	0.00	112.66	90.0	101.39
	PATRICIA P.								
04/13/2018	14348417 HOWE, WILLIAM/DENISE	SIGNAL SECURITIES RIA	B	0.00	19.34	0.00	19.34	90.0	17.41
04/13/2018	14381829 WERNER, JANET F	SIGNAL SECURITIES RIA	B	0.00	36.00	0.00	36.00	90.0	32.40
04/13/2018	14429709 QUADE, WILLIAM & JEAN	SIGNAL SECURITIES RIA	B	0.00	19.00	0.00	19.00	90.0	17.10
04/13/2018	15091367 HANSON, KAREN M	SIGNAL SECURITIES RIA	B	0.00	126.00	0.00	126.00	90.0	113.40
04/13/2018	15467725 BAUMANN, PATRICK J	SIGNAL SECURITIES RIA	B	0.00	18.66	0.00	18.66	90.0	16.79
06/19/2018	15820668 GROSS, SHERI M	SIGNAL SECURITIES RIA	B	0.00	163.00	0.00	163.00	90.0	146.70
04/13/2018	16270038 MUTCHLER, DAVID	SIGNAL SECURITIES RIA	B	0.00	157.34	0.00	157.34	90.0	141.61
04/13/2018	16412029 MILLER, BARBARA A	SIGNAL SECURITIES RIA	B	0.00	41.00	0.00	41.00	90.0	36.90
04/13/2018	17118785 HENRICKSON, LINDA M	SIGNAL SECURITIES RIA	B	0.00	23.00	0.00	23.00	90.0	20.70
04/13/2018	17352141 CHRISTENSEN, DAVE	SIGNAL SECURITIES RIA	B	0.00	27.00	0.00	27.00	90.0	24.30
04/13/2018	17440148 EIRING, DEBORAH F	SIGNAL SECURITIES RIA	B	0.00	12.34	0.00	12.34	90.0	11.11
04/13/2018	17456904 SHOUP, MICHAEL	SIGNAL SECURITIES RIA	B	0.00	91.34	0.00	91.34	90.0	82.21
04/13/2018	17662068 GLOECKLER, BEVERLY	SIGNAL SECURITIES RIA	B	0.00	164.00	0.00	164.00	90.0	147.60
	SUE								
04/13/2018	18212150 KELLER, SUSAN K	SIGNAL SECURITIES RIA	B	0.00	128.34	0.00	128.34	90.0	115.51
04/13/2018	19492576 CHAFEE, CHRIS T	SIGNAL SECURITIES RIA	B	0.00	5.34	0.00	5.34	90.0	4.81
04/13/2018	19560631 BRINK, TRAVIS A	SIGNAL SECURITIES RIA	B	0.00	141.66	0.00	141.66	90.0	127.49
04/13/2018	20144207 BETSON, RONALD J	SIGNAL SECURITIES RIA	B	0.00	84.66	0.00	84.66	90.0	76.19
04/13/2018	20206228 YAMASHITA, MARK M	SIGNAL SECURITIES RIA	B	0.00	325.00	0.00	325.00	90.0	292.50
04/13/2018	20556395 HOWE, DENISE L	SIGNAL SECURITIES RIA	B	0.00	72.66	0.00	72.66	90.0	65.39
04/13/2018	22504665 WILLIAMS, MARIE	SIGNAL SECURITIES RIA	B	0.00	140.00	0.00	140.00	90.0	126.00
	CLAUDE								
04/13/2018	23584507 KELLER, SUSAN K	SIGNAL SECURITIES RIA	B	0.00	229.00	0.00	229.00	90.0	206.10
04/13/2018	23996577 TORPEY, GLENNIS A	SIGNAL SECURITIES RIA	B	0.00	27.34	0.00	27.34	90.0	24.61

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: RIA										
04/13/2018	24030675	MCGINLEY, RONALD B	SIGNAL SECURITIES RIA	B	0.00	6.34	0.00	6.34	90.0	5.71
04/13/2018	24184005	MILLER, WAYNE G	SIGNAL SECURITIES RIA	B	0.00	97.66	0.00	97.66	90.0	87.89
04/13/2018	25436755	NILLES, VICKIE	SIGNAL SECURITIES RIA	B	0.00	176.00	0.00	176.00	90.0	158.40
04/13/2018	26598701	STAHL, DONALD EUGENE	SIGNAL SECURITIES RIA	B	0.00	122.34	0.00	122.34	90.0	110.11
04/13/2018	28007804	KRANTZ, RICHARD/SANDRA	SIGNAL SECURITIES RIA	B	0.00	28.00	0.00	28.00	90.0	25.20
04/13/2018	28346788	BAUMANN, GINGER G	SIGNAL SECURITIES RIA	B	0.00	4.66	0.00	4.66	90.0	4.19
04/13/2018	28373035	REEVES, JAMES & MICAEALA	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	90.0	7.20
04/13/2018	28433693	ZITNAK, STEPHEN W	SIGNAL SECURITIES RIA	B	0.00	46.66	0.00	46.66	90.0	41.99
04/13/2018	28684378	HOWE, WILLIAM/DENISE	SIGNAL SECURITIES RIA	B	0.00	202.00	0.00	202.00	90.0	181.80
04/13/2018	28705104	JOHNSON, JERRY D	SIGNAL SECURITIES RIA	B	0.00	2.66	0.00	2.66	90.0	2.39
04/13/2018	29556870	HRUSKA, CATHERINE E	SIGNAL SECURITIES RIA	B	0.00	113.00	0.00	113.00	90.0	101.70
04/13/2018	29589313	HOWE, DENISE L	SIGNAL SECURITIES RIA	B	0.00	120.66	0.00	120.66	90.0	108.59
04/13/2018	29650218	PIERCE, JOHN & JULIE	SIGNAL SECURITIES RIA	B	0.00	13.66	0.00	13.66	90.0	12.29
04/13/2018	29746730	WILLIAMS, DONALD/GERALDIN	SIGNAL SECURITIES RIA	B	0.00	22.34	0.00	22.34	90.0	20.11
04/13/2018	30072989	PYRIH, LUBA DARIA	SIGNAL SECURITIES RIA	B	0.00	158.34	0.00	158.34	90.0	142.51
04/13/2018	30322937	SWEENEY, MARY T	SIGNAL SECURITIES RIA	B	0.00	196.66	0.00	196.66	90.0	176.99
04/13/2018	30347787	BLACK HILLS CORP, FBO DAVID SAMUE	SIGNAL SECURITIES RIA	B	0.00	53.66	0.00	53.66	90.0	48.29
04/13/2018	30647698	MILLER, FLOYD R	SIGNAL SECURITIES RIA	B	0.00	12.00	0.00	12.00	90.0	10.80
04/13/2018	30677407	PITMAN, TOM	SIGNAL SECURITIES RIA	B	0.00	42.66	0.00	42.66	90.0	38.39
04/13/2018	30878907	QUINLISK, ERNIE J	SIGNAL SECURITIES RIA	B	0.00	295.66	0.00	295.66	90.0	266.09
04/13/2018	31781509	PLATT, ALAN B	SIGNAL SECURITIES RIA	B	0.00	141.34	0.00	141.34	90.0	127.21
04/13/2018	32417594	QUADE, JEAN M.	SIGNAL SECURITIES RIA	B	0.00	3.34	0.00	3.34	90.0	3.01
04/13/2018	32899427	WOOD, KRAIG A	SIGNAL SECURITIES RIA	B	0.00	60.66	0.00	60.66	90.0	54.59
04/13/2018	32902956	CHAFEE, RICHARD/CHRIS	SIGNAL SECURITIES RIA	B	0.00	228.34	0.00	228.34	90.0	205.51
04/13/2018	32989023	TENOLD, DARON L	SIGNAL SECURITIES RIA	B	0.00	138.66	0.00	138.66	90.0	124.79
04/13/2018	33413216	PIERCE, JOHN & JULIE	SIGNAL SECURITIES RIA	B	0.00	1.66	0.00	1.66	90.0	1.49
04/13/2018	33603400	PEDERSEN/SCHMELTZER, SIGNE R & LOUIS	SIGNAL SECURITIES RIA	B	0.00	45.34	0.00	45.34	90.0	40.81
04/13/2018	33769726	WOOD, HEATHER C	SIGNAL SECURITIES RIA	B	0.00	12.00	0.00	12.00	90.0	10.80
04/13/2018	34262259	JOHNSON, VICTORIA L	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00	90.0	6.30
04/13/2018	34296234	QUADE, WILLIAM & JEAN	SIGNAL SECURITIES RIA	B	0.00	17.66	0.00	17.66	90.0	15.89
04/13/2018	34299521	HARRISON, PAUL & JOAN	SIGNAL SECURITIES RIA	B	0.00	461.34	0.00	461.34	90.0	415.21
04/13/2018	35039653	LAMB, DONALD P	SIGNAL SECURITIES RIA	B	0.00	53.66	0.00	53.66	90.0	48.29
04/13/2018	35053536	BRINK, TRAVIS A	SIGNAL SECURITIES RIA	B	0.00	20.66	0.00	20.66	90.0	18.59
04/13/2018	35359393	KRANTZ, RICHARD/SANDRA	SIGNAL SECURITIES RIA	B	0.00	187.66	0.00	187.66	90.0	168.89

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018 36133640	GARGIULO, TIMOTHY FRANCIS	SIGNAL SECURITIES RIA	B	0.00	405.66	0.00	405.66	90.0	365.09
04/13/2018 37594469	KAHLER, KIM E & JANET C	SIGNAL SECURITIES RIA	B	0.00	13.34	0.00	13.34	90.0	12.01
04/13/2018 37802064	MILLER, BARBARA A	SIGNAL SECURITIES RIA	B	0.00	55.34	0.00	55.34	90.0	49.81
04/13/2018 38007046	TENOLD, AMY J	SIGNAL SECURITIES RIA	B	0.00	13.66	0.00	13.66	90.0	12.29
04/13/2018 38167769	QUINLISK, KAREN T	SIGNAL SECURITIES RIA	B	0.00	115.34	0.00	115.34	90.0	103.81
04/13/2018 38488926	BEITELSPACHER REV. TRUST, NICOLE A.	SIGNAL SECURITIES RIA	B	0.00	413.34	0.00	413.34	90.0	372.01
04/13/2018 38489479	GLOECKLER, BEVERLY SUE	SIGNAL SECURITIES RIA	B	0.00	170.00	0.00	170.00	90.0	153.00
04/13/2018 38652695	GIRARD-CARLSON, CATHRYN	SIGNAL SECURITIES RIA	B	0.00	107.34	0.00	107.34	90.0	96.61
04/13/2018 39033876	TENOLD, DARON L	SIGNAL SECURITIES RIA	B	0.00	13.66	0.00	13.66	90.0	12.29
04/13/2018 39663311	FRERICKS, MARSHA J	SIGNAL SECURITIES RIA	B	0.00	25.00	0.00	25.00	90.0	22.50
04/13/2018 39961103	WEBER, MARK D	SIGNAL SECURITIES RIA	B	0.00	272.34	0.00	272.34	90.0	245.11
04/13/2018 40257767	HESTON, WILLIAM K.	SIGNAL SECURITIES RIA	B	0.00	208.34	0.00	208.34	90.0	187.51
04/13/2018 40334505	LONG, MICHAEL A	SIGNAL SECURITIES RIA	B	0.00	30.66	0.00	30.66	90.0	27.59
04/13/2018 41168136	WILSON, JEFFERY & VICKY	SIGNAL SECURITIES RIA	B	0.00	86.00	0.00	86.00	90.0	77.40
04/13/2018 41176823	SUNDINE/WHEATLAKE, JUDD/LINDA	SIGNAL SECURITIES RIA	B	0.00	112.34	0.00	112.34	90.0	101.11
04/13/2018 41306013	REEVES, JAMES & MICAELA	SIGNAL SECURITIES RIA	B	0.00	96.66	0.00	96.66	90.0	86.99
04/13/2018 41561872	9225 W 44TH PROP.LLC	SIGNAL SECURITIES RIA	B	0.00	339.00	0.00	339.00	90.0	305.10
04/13/2018 41568893	JOHNSON, CINDY K	SIGNAL SECURITIES RIA	B	0.00	479.00	0.00	479.00	90.0	431.10
04/13/2018 42294828	HINDMAN, AMY	SIGNAL SECURITIES RIA	B	0.00	26.34	0.00	26.34	90.0	23.71
04/13/2018 42576807	MAAG, MONICA A	SIGNAL SECURITIES RIA	B	0.00	55.66	0.00	55.66	90.0	50.09
04/13/2018 42754170	ROMERO, JULIE KAY	SIGNAL SECURITIES RIA	B	0.00	1,063.34	0.00	1,063.34	90.0	957.01
04/13/2018 42758212	GREGG, CHARLENE M.	SIGNAL SECURITIES RIA	B	0.00	104.34	0.00	104.34	90.0	93.91
04/13/2018 42775183	EIRING, JOHN & DEBORAH	SIGNAL SECURITIES RIA	B	0.00	144.34	0.00	144.34	90.0	129.91
04/13/2018 43202964	CARLSON, RANDY N	SIGNAL SECURITIES RIA	B	0.00	37.34	0.00	37.34	90.0	33.61
04/13/2018 43438628	JENSEN, DENISE L	SIGNAL SECURITIES RIA	B	0.00	79.66	0.00	79.66	90.0	71.69
04/13/2018 43795301	HOLLEARN, MICHAEL C	SIGNAL SECURITIES RIA	B	0.00	26.66	0.00	26.66	90.0	23.99
04/13/2018 43835783	STARK, KATHLEEN A	SIGNAL SECURITIES RIA	B	0.00	75.66	0.00	75.66	90.0	68.09
04/13/2018 43903697	LEIVESTAD, DALE FBO MARISS	SIGNAL SECURITIES RIA	B	0.00	20.34	0.00	20.34	90.0	18.31
04/13/2018 43957902	GREGG, CHARLENE M.	SIGNAL SECURITIES RIA	B	0.00	26.00	0.00	26.00	90.0	23.40
04/13/2018 44129020	PIERCE, JULIE M	SIGNAL SECURITIES RIA	B	0.00	32.00	0.00	32.00	90.0	28.80

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018 45307716	FRERICKS, MARSHA J	SIGNAL SECURITIES RIA	B	0.00	16.34	0.00	16.34	90.0	14.71
04/13/2018 45460890	MILLER, FLOYD R	SIGNAL SECURITIES RIA	B	0.00	46.66	0.00	46.66	90.0	41.99
04/13/2018 45973210	TENOLD, AMY FBO DACE T	SIGNAL SECURITIES RIA	B	0.00	13.00	0.00	13.00	90.0	11.70
04/13/2018 46518151	WERNER, CORWYN & JANET	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
04/13/2018 46614088	CAMMACK, RYAN L	SIGNAL SECURITIES RIA	B	0.00	152.00	0.00	152.00	90.0	136.80
04/13/2018 46830951	VEAZEY, PAMELA D.	SIGNAL SECURITIES RIA	B	0.00	18.00	0.00	18.00	90.0	16.20
04/13/2018 46838476	GARGIULO, PAMELA A	SIGNAL SECURITIES RIA	B	0.00	377.00	0.00	377.00	90.0	339.30
04/13/2018 47144653	PFISTERER, TIMOTHY J	SIGNAL SECURITIES RIA	B	0.00	59.34	0.00	59.34	90.0	53.41
04/13/2018 47378557	WOOD, KRAIG A	SIGNAL SECURITIES RIA	B	0.00	12.34	0.00	12.34	90.0	11.11
04/13/2018 47487556	GIRARD-CARLSON, CATHRYN	SIGNAL SECURITIES RIA	B	0.00	81.66	0.00	81.66	90.0	73.49
04/13/2018 47601105	KRANTZ, RICHARD/SANDRA	SIGNAL SECURITIES RIA	B	0.00	206.66	0.00	206.66	90.0	185.99
04/13/2018 47914778	JENSEN, RONALD & DENISE	SIGNAL SECURITIES RIA	B	0.00	88.34	0.00	88.34	90.0	79.51
04/13/2018 48427060	HANSON, KAREN M	SIGNAL SECURITIES RIA	B	0.00	22.34	0.00	22.34	90.0	20.11
04/13/2018 48682692	CONNOLLY, BRIAN	SIGNAL SECURITIES RIA	B	0.00	25.66	0.00	25.66	90.0	23.09
04/13/2018 49346016	SHOUP, LONI J	SIGNAL SECURITIES RIA	B	0.00	246.34	0.00	246.34	90.0	221.71
04/13/2018 49831675	TENOLD, AMY J	SIGNAL SECURITIES RIA	B	0.00	26.00	0.00	26.00	90.0	23.40
04/13/2018 49985458	HOWE, WILLIAM/DENISE	SIGNAL SECURITIES RIA	B	0.00	61.00	0.00	61.00	90.0	54.90
04/13/2018 49998746	MILLER, WAYNE G	SIGNAL SECURITIES RIA	B	0.00	337.34	0.00	337.34	90.0	303.61
04/13/2018 50721833	KUHN, STACIA D	SIGNAL SECURITIES RIA	B	0.00	52.66	0.00	52.66	90.0	47.39
04/13/2018 52829344	YORK, CHRIS A	SIGNAL SECURITIES RIA	B	0.00	58.34	0.00	58.34	90.0	52.51
04/13/2018 53704449	KAHLER, JANET C	SIGNAL SECURITIES RIA	B	0.00	90.66	0.00	90.66	90.0	81.59
04/13/2018 53709669	TORPEY, GLENNIS A	SIGNAL SECURITIES RIA	B	0.00	316.34	0.00	316.34	90.0	284.71
04/13/2018 54158431	PIERCE, JOHN FB ABIGAIL	SIGNAL SECURITIES RIA	B	0.00	15.34	0.00	15.34	90.0	13.81
04/13/2018 54865811	THORELL, KRISTIE J T.	SIGNAL SECURITIES RIA	B	0.00	439.66	0.00	439.66	90.0	395.69
04/13/2018 54941170	KAHLER, KIM E & JANET C	SIGNAL SECURITIES RIA	B	0.00	205.00	0.00	205.00	90.0	184.50
04/13/2018 55526612	STRUWE, TIM R	SIGNAL SECURITIES RIA	B	0.00	178.34	0.00	178.34	90.0	160.51
04/13/2018 56096498	BUREMAN, MICHAEL & RITA	SIGNAL SECURITIES RIA	B	0.00	123.34	0.00	123.34	90.0	111.01
04/13/2018 56292092	PITMAN, REBECCA & TOM	SIGNAL SECURITIES RIA	B	0.00	101.66	0.00	101.66	90.0	91.49
04/13/2018 56595062	LAMB, LEANN	SIGNAL SECURITIES RIA	B	0.00	48.66	0.00	48.66	90.0	43.79
04/13/2018 56816203	JACKSON, LISA M	SIGNAL SECURITIES RIA	B	0.00	32.00	0.00	32.00	90.0	28.80
04/13/2018 58281647	ZARATE, JAIMEE E Z.	SIGNAL SECURITIES RIA	B	0.00	62.34	0.00	62.34	90.0	56.11
04/13/2018 59576874	HAYMAN, WILLIAM &	SIGNAL SECURITIES RIA	B	0.00	240.34	0.00	240.34	90.0	216.31

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
VICKI									
04/13/2018	60169490 GLOECKLER, BEVERLY SUE	SIGNAL SECURITIES RIA	B	0.00	56.66	0.00	56.66	90.0	50.99
04/13/2018	60197288 KOENIG, ROBERT A	SIGNAL SECURITIES RIA	B	0.00	24.00	0.00	24.00	90.0	21.60
04/13/2018	60253386 WILSON, JEFFERY & VICKY	SIGNAL SECURITIES RIA	B	0.00	101.66	0.00	101.66	90.0	91.49
04/13/2018	60791579 SATTERLEE, WARREN E	SIGNAL SECURITIES RIA	B	0.00	416.34	0.00	416.34	90.0	374.71
04/13/2018	61099660 JOHNSON, J DANIEL	SIGNAL SECURITIES RIA	B	0.00	148.66	0.00	148.66	90.0	133.79
04/13/2018	61542054 MAAG, MONICA A	SIGNAL SECURITIES RIA	B	0.00	212.34	0.00	212.34	90.0	191.11
04/13/2018	62004058 BAUMANN, PATRICK J	SIGNAL SECURITIES RIA	B	0.00	58.66	0.00	58.66	90.0	52.79
04/13/2018	62382731 DIAMOND, NOAH	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
04/13/2018	62650715 KOENIG, RUTH A	SIGNAL SECURITIES RIA	B	0.00	38.66	0.00	38.66	90.0	34.79
04/13/2018	63023683 JOHNSON, J DANIEL	SIGNAL SECURITIES RIA	B	0.00	71.34	0.00	71.34	90.0	64.21
04/13/2018	63140775 MILLER, FLOYD R	SIGNAL SECURITIES RIA	B	0.00	65.34	0.00	65.34	90.0	58.81
04/13/2018	63781985 CAMMACK, RYAN L	SIGNAL SECURITIES RIA	B	0.00	96.34	0.00	96.34	90.0	86.71
04/13/2018	64110138 KINSDALE, JANE	SIGNAL SECURITIES RIA	B	0.00	116.34	0.00	116.34	90.0	104.71
06/18/2018	64351301 PFISTERER, MICHELLE L	SIGNAL SECURITIES RIA	B	0.00	289.00	0.00	289.00	90.0	260.10
04/13/2018	64404215 STARK, KATHLEEN A	SIGNAL SECURITIES RIA	B	0.00	150.34	0.00	150.34	90.0	135.31
04/13/2018	64764636 KELLY, KOREY	SIGNAL SECURITIES RIA	B	0.00	23.00	0.00	23.00	90.0	20.70
04/13/2018	64833041 EIRING, JOHN & DEBORAH	SIGNAL SECURITIES RIA	B	0.00	22.34	0.00	22.34	90.0	20.11
04/13/2018	65226021 GARGIULO, KAITLIN	SIGNAL SECURITIES RIA	B	0.00	174.34	0.00	174.34	90.0	156.91
04/13/2018	65557704 MCGINLEY, RONALD B	SIGNAL SECURITIES RIA	B	0.00	253.34	0.00	253.34	90.0	228.01
04/13/2018	66425418 MACOLINI, FRANK & RUTHANN	SIGNAL SECURITIES RIA	B	0.00	446.66	0.00	446.66	90.0	401.99
04/13/2018	66917531 BUREMAN, RITA L.	SIGNAL SECURITIES RIA	B	0.00	265.00	0.00	265.00	90.0	238.50
04/13/2018	68100959 BRINK, CHANDELLE SKY B.	SIGNAL SECURITIES RIA	B	0.00	5.34	0.00	5.34	90.0	4.81
04/13/2018	68495356 JOHNSON, J DANIEL	SIGNAL SECURITIES RIA	B	0.00	19.66	0.00	19.66	90.0	17.69
04/13/2018	68548550 KRANTZ, SANDRA L	SIGNAL SECURITIES RIA	B	0.00	91.34	0.00	91.34	90.0	82.21
04/13/2018	68732372 BERG, RONALD	SIGNAL SECURITIES RIA	B	0.00	694.00	0.00	694.00	90.0	624.60
04/13/2018	69503797 PIERCE, JOHN & JULIE	SIGNAL SECURITIES RIA	B	0.00	33.66	0.00	33.66	90.0	30.29
04/13/2018	69769351 EIRING, DEBORAH F	SIGNAL SECURITIES RIA	B	0.00	259.34	0.00	259.34	90.0	233.41
04/13/2018	70053665 HOLLEARN, RITA	SIGNAL SECURITIES RIA	B	0.00	16.00	0.00	16.00	90.0	14.40
04/13/2018	70228215 ECHTER, SHELLY K	SIGNAL SECURITIES RIA	B	0.00	337.00	0.00	337.00	90.0	303.30
04/13/2018	71751206 KELLER, SUSAN K	SIGNAL SECURITIES RIA	B	0.00	23.34	0.00	23.34	90.0	21.01
04/13/2018	71785581 GREGG, JOHN A.	SIGNAL SECURITIES RIA	B	0.00	77.34	0.00	77.34	90.0	69.61
04/13/2018	71981417 KAHLER, KIM E & JANET C	SIGNAL SECURITIES RIA	B	0.00	66.00	0.00	66.00	90.0	59.40
04/13/2018	72180753 RHODES, MARK L	SIGNAL SECURITIES RIA	B	0.00	386.34	0.00	386.34	90.0	347.71

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018	73270490 CONNOLLY, BRIAN	SIGNAL SECURITIES RIA	B	0.00	3.00	0.00	3.00	90.0	2.70
04/13/2018	73463753 QUADE, WILLIAM & JEAN	SIGNAL SECURITIES RIA	B	0.00	34.34	0.00	34.34	90.0	30.91
04/13/2018	73606431 STEPHENS, VALERIE	SIGNAL SECURITIES RIA	B	0.00	2,051.34	0.00	2,051.34	90.0	1,846.21
04/13/2018	73984739 ACKERMAN, LAVONNE L	SIGNAL SECURITIES RIA	B	0.00	240.00	0.00	240.00	90.0	216.00
04/13/2018	74090733 BAUMANN, GINGER G	SIGNAL SECURITIES RIA	B	0.00	17.00	0.00	17.00	90.0	15.30
04/13/2018	74134537 JOHNSON, RUTHANNE	SIGNAL SECURITIES RIA	B	0.00	24.66	0.00	24.66	90.0	22.19
04/13/2018	74561646 JOHNSON, JEFFREY D	SIGNAL SECURITIES RIA	B	0.00	42.34	0.00	42.34	90.0	38.11
04/13/2018	74832638 STAHL, DONALD EUGENE	SIGNAL SECURITIES RIA	B	0.00	76.66	0.00	76.66	90.0	68.99
04/13/2018	75152036 STEELE, DAVID A	SIGNAL SECURITIES RIA	B	0.00	60.00	0.00	60.00	90.0	54.00
04/13/2018	75826019 WILLIAMS, GERALDINE	SIGNAL SECURITIES RIA	B	0.00	146.66	0.00	146.66	90.0	131.99
04/13/2018	76522415 HOLLEARN, MICHAEL C	SIGNAL SECURITIES RIA	B	0.00	161.34	0.00	161.34	90.0	145.21
04/13/2018	76748838 YORK, CHRIS A	SIGNAL SECURITIES RIA	B	0.00	20.00	0.00	20.00	90.0	18.00
04/13/2018	76891257 GIRARD-CARLSON, CATHRYN	SIGNAL SECURITIES RIA	B	0.00	137.00	0.00	137.00	90.0	123.30
04/13/2018	78957791 ECHTER, SHELLY K	SIGNAL SECURITIES RIA	B	0.00	374.66	0.00	374.66	90.0	337.19
04/13/2018	78986866 GUENZI, CAROL JO	SIGNAL SECURITIES RIA	B	0.00	307.34	0.00	307.34	90.0	276.61
04/13/2018	79301514 WERNER, CORWYN & JANET	SIGNAL SECURITIES RIA	B	0.00	64.66	0.00	64.66	90.0	58.19
04/13/2018	79495850 RAPID CITY MEDICAL CTR	SIGNAL SECURITIES RIA	B	0.00	507.00	0.00	507.00	90.0	456.30
04/13/2018	79828155 BARKER/STEVENS, KERRY/STEPHANIE	SIGNAL SECURITIES RIA	B	0.00	10.66	0.00	10.66	90.0	9.59
04/13/2018	80391965 KUHN, STACIA D	SIGNAL SECURITIES RIA	B	0.00	1.66	0.00	1.66	90.0	1.49
04/13/2018	80875540 ROMERO, DALE E.	SIGNAL SECURITIES RIA	B	0.00	236.00	0.00	236.00	90.0	212.40
04/13/2018	81096523 HENRICKSON, ORVILLE	SIGNAL SECURITIES RIA	B	0.00	18.34	0.00	18.34	90.0	16.51
04/13/2018	81501198 FRERICKS, MARSHA J	SIGNAL SECURITIES RIA	B	0.00	379.66	0.00	379.66	90.0	341.69
04/13/2018	81836304 PLATT, ALAN B	SIGNAL SECURITIES RIA	B	0.00	43.34	0.00	43.34	90.0	39.01
04/13/2018	82190461 TENOLD, AMY FBO DAWSON	SIGNAL SECURITIES RIA	B	0.00	2.34	0.00	2.34	90.0	2.11
04/13/2018	82997248 HARRISON, PAUL & JOAN	SIGNAL SECURITIES RIA	B	0.00	160.00	0.00	160.00	90.0	144.00
04/13/2018	83018285 WEBER, DARLENE A.	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
04/13/2018	83255347 WEBER, DARLENE A.	SIGNAL SECURITIES RIA	B	0.00	214.34	0.00	214.34	90.0	192.91
04/13/2018	83344292 BORK, WALLACE D	SIGNAL SECURITIES RIA	B	0.00	487.00	0.00	487.00	90.0	438.30
04/13/2018	83355639 PEDERSEN/SCHMELTZER, SIGNE R & LOUIS	SIGNAL SECURITIES RIA	B	0.00	57.66	0.00	57.66	90.0	51.89
04/13/2018	83433608 TENOLD, AMY FBO DEON R	SIGNAL SECURITIES RIA	B	0.00	16.34	0.00	16.34	90.0	14.71
04/13/2018	83754130 GREGG, CHARLENE M.	SIGNAL SECURITIES RIA	B	0.00	134.34	0.00	134.34	90.0	120.91
04/13/2018	84354375 QUADE, JEAN M.	SIGNAL SECURITIES RIA	B	0.00	16.66	0.00	16.66	90.0	14.99
04/13/2018	85064966 JACOBS, COREY T	SIGNAL SECURITIES RIA	B	0.00	165.00	0.00	165.00	90.0	148.50

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018	85082521 JENSEN, RONALD & DENISE	SIGNAL SECURITIES RIA	B	0.00	79.34	0.00	79.34	90.0	71.41
04/13/2018	85822210 KAHLER, JANET C	SIGNAL SECURITIES RIA	B	0.00	13.34	0.00	13.34	90.0	12.01
04/13/2018	85996569 PIERCE, JULIE M	SIGNAL SECURITIES RIA	B	0.00	1.66	0.00	1.66	90.0	1.49
06/19/2018	86018805 TENOLD, AMY FBO DUALY O	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00	90.0	6.30
04/13/2018	86057224 EIRING, JOHN & DEBORAH	SIGNAL SECURITIES RIA	B	0.00	12.34	0.00	12.34	90.0	11.11
04/13/2018	86469888 KOENIG, ROBERT A	SIGNAL SECURITIES RIA	B	0.00	138.34	0.00	138.34	90.0	124.51
04/13/2018	87210372 STEPHENS, VALERIE	SIGNAL SECURITIES RIA	B	0.00	18.34	0.00	18.34	90.0	16.51
04/13/2018	87411379 JOHNSON, JEFFREY D	SIGNAL SECURITIES RIA	B	0.00	171.00	0.00	171.00	90.0	153.90
04/13/2018	87992733 LAMB, DONALD P	SIGNAL SECURITIES RIA	B	0.00	57.34	0.00	57.34	90.0	51.61
04/13/2018	88251717 KETZNER, TODD J	SIGNAL SECURITIES RIA	B	0.00	91.66	0.00	91.66	90.0	82.49
04/13/2018	88260407 PITMAN, TOM	SIGNAL SECURITIES RIA	B	0.00	14.00	0.00	14.00	90.0	12.60
04/13/2018	88353473 CHAFEE, RICHARD/CHRIS	SIGNAL SECURITIES RIA	B	0.00	161.34	0.00	161.34	90.0	145.21
04/13/2018	89139909 LAMB, LEANN	SIGNAL SECURITIES RIA	B	0.00	53.00	0.00	53.00	90.0	47.70
04/13/2018	89348302 GARGIULO, TIMOTHY FRANCIS	SIGNAL SECURITIES RIA	B	0.00	602.66	0.00	602.66	90.0	542.39
04/13/2018	89790665 VEAZEY, PAMELA D.	SIGNAL SECURITIES RIA	B	0.00	843.66	0.00	843.66	90.0	759.29
04/13/2018	91013710 KOENIG, RUTH A	SIGNAL SECURITIES RIA	B	0.00	27.66	0.00	27.66	90.0	24.89
04/13/2018	92186431 WILLIAMS, DONALD/GERALDIN	SIGNAL SECURITIES RIA	B	0.00	9.00	0.00	9.00	90.0	8.10
04/13/2018	92202296 HINDMAN, ANTHONY J	SIGNAL SECURITIES RIA	B	0.00	24.00	0.00	24.00	90.0	21.60
04/13/2018	92327955 ABRAMS, LAURA JEANNE	SIGNAL SECURITIES RIA	B	0.00	33.66	0.00	33.66	90.0	30.29
04/13/2018	92545805 PITMAN, REBECCA & TOM	SIGNAL SECURITIES RIA	B	0.00	4.34	0.00	4.34	90.0	3.91
04/13/2018	92927312 LEIVESTAD, DALE L	SIGNAL SECURITIES RIA	B	0.00	37.00	0.00	37.00	90.0	33.30
04/13/2018	93010997 KINSDALE, JANE	SIGNAL SECURITIES RIA	B	0.00	414.34	0.00	414.34	90.0	372.91
04/13/2018	93015086 STRUWE, CAROLINE A	SIGNAL SECURITIES RIA	B	0.00	18.66	0.00	18.66	90.0	16.79
04/13/2018	93046418 WILLIAMS, DONALD/GERALDIN	SIGNAL SECURITIES RIA	B	0.00	308.66	0.00	308.66	90.0	277.79
04/13/2018	94507995 KUHN, STACIA D	SIGNAL SECURITIES RIA	B	0.00	7.00	0.00	7.00	90.0	6.30
04/13/2018	94629096 GUENZI, CAROL JO	SIGNAL SECURITIES RIA	B	0.00	8.34	0.00	8.34	90.0	7.51
04/13/2018	95008736 LEIVESTAD, DALE L	SIGNAL SECURITIES RIA	B	0.00	221.00	0.00	221.00	90.0	198.90
04/13/2018	95368200 REEVES, MICAELA S	SIGNAL SECURITIES RIA	B	0.00	8.00	0.00	8.00	90.0	7.20
04/13/2018	95392118 O'NEILL, WILLIAM C	SIGNAL SECURITIES RIA	B	0.00	255.00	0.00	255.00	90.0	229.50
04/13/2018	95605369 BARKER/STEVENS, KERRY/STEPHANIE	SIGNAL SECURITIES RIA	B	0.00	71.00	0.00	71.00	90.0	63.90
04/13/2018	95863247 JOHNSON, RUTHANNE	SIGNAL SECURITIES RIA	B	0.00	83.66	0.00	83.66	90.0	75.29
04/13/2018	96072766 PROFFITT, SUSAN N.	SIGNAL SECURITIES RIA	B	0.00	143.00	0.00	143.00	90.0	128.70
04/13/2018	96167222 HENRICKSON, ORVILLE	SIGNAL SECURITIES RIA	B	0.00	125.34	0.00	125.34	90.0	112.81

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
04/13/2018	96706135 WOLF, KATHRYN	SIGNAL SECURITIES RIA	B	0.00	134.34	0.00	134.34	90.0	120.91
04/13/2018	96806247 DIAMOND, KENNETH C.	SIGNAL SECURITIES RIA	B	0.00	273.00	0.00	273.00	90.0	245.70
04/13/2018	96937079 REEVES, JAMES & MICAELA	SIGNAL SECURITIES RIA	B	0.00	24.34	0.00	24.34	90.0	21.91
04/13/2018	96946210 HENRICKSON, ORVILLE	SIGNAL SECURITIES RIA	B	0.00	211.34	0.00	211.34	90.0	190.21
04/13/2018	97095581 SCHMIDT, GERALD & JUDITH	SIGNAL SECURITIES RIA	B	0.00	58.00	0.00	58.00	90.0	52.20
04/13/2018	97641202 HAYMAN, WILLIAM & VICKI	SIGNAL SECURITIES RIA	B	0.00	16.00	0.00	16.00	90.0	14.40
04/13/2018	97680079 STEVENS, STEPHANIE A	SIGNAL SECURITIES RIA	B	0.00	11.66	0.00	11.66	90.0	10.49
04/13/2018	97927074 GARGIULO, HELEN PATRICIA P.	SIGNAL SECURITIES RIA	B	0.00	10.66	0.00	10.66	90.0	9.59
04/13/2018	98361164 SANDER, RANDY L	SIGNAL SECURITIES RIA	B	0.00	153.00	0.00	153.00	90.0	137.70
04/13/2018	98617795 STRUWE, CAROLINE A	SIGNAL SECURITIES RIA	B	0.00	125.34	0.00	125.34	90.0	112.81
04/13/2018	98834954 KRANTZ, SANDRA L	SIGNAL SECURITIES RIA	B	0.00	37.00	0.00	37.00	90.0	33.30
04/13/2018	98954969 GARGIULO, KAITLIN	SIGNAL SECURITIES RIA	B	0.00	95.00	0.00	95.00	90.0	85.50
04/13/2018	99111980 WILSON, VICKY L	SIGNAL SECURITIES RIA	B	0.00	121.00	0.00	121.00	90.0	108.90
04/13/2018	99353116 DODGE, SALLY A	SIGNAL SECURITIES RIA	B	0.00	69.00	0.00	69.00	90.0	62.10
04/13/2018	99580715 BUREMAN, MICHAEL& RITA	SIGNAL SECURITIES RIA	B	0.00	22.00	0.00	22.00	90.0	19.80
* RIA SUBTOTAL *				\$0.00	\$31,557.76	\$0.00	\$31,557.76		\$28,402.04
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
07/02/2018	TRAIL COMMISSIONS	JOHN HANCOCK FEES		0.00	1.56	0.00	1.56	90.0	1.40
07/02/2018	TRAIL COMMISSIONS	COLUMBIA THREADNEEDLE FEES		0.00	18.42	0.00	18.42	90.0	16.58
07/02/2018	TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES		0.00	8.31	0.00	8.31	90.0	7.48
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$28.29	\$0.00	\$28.29		\$25.46
*** BROKER TRANSACTIONS TOTAL ***				\$139,263.62	\$36,829.18	\$0.00	\$36,829.18		\$33,146.68
<u>VERRIDE TRANSACTIONS</u>									
<u>BROKER: KELSEY MCDANIEL #9660</u>									
06/15/2018	000001977715529 MILLER, VALERIE A.	TEMPLETON GROWTH FD CL 1	B	50.00	2.50	0.00	2.50		0.50
06/20/2018	00086842890 BROWN, TAMI	THE GROWTH FUND OF AMERICA-C	B	125.00	1.25	0.00	1.25		0.25
06/20/2018	04000692266 FIESTER, JONATHAN D.	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	50.00	2.50	0.00	2.50		0.50
06/12/2018	04000889219 BROWN, DEANNE	AMERICAN FUNDS INCOME FD OF AMERI B	B	400.00	15.00	0.00	15.00		3.00
06/11/2018	04000928578 VALLETTE, TINA L.	AMERICAN FUNDS BALANCED FD	B	4,367.74	218.39	0.00	218.39		43.68

COMMISSION STATEMENT for JON D. JOHNSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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OVERRIDE TRANSACTIONSBROKER: KELSEY MCDANIEL #9660

06/20/2018	04000961286	EDDINGTON, TORI A.	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	5.00	0.00	5.00	1.00
06/22/2018	04000988556	WINTER, WHITNEY W.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	2.50	0.00	2.50	0.50
06/22/2018	04000988556	WINTER, WHITNEY W.	AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	2.50	0.00	2.50	0.50
06/22/2018	04000988556	WINTER, WHITNEY W.	AMERICAN FUNDS WA MUTUAL	INVEST	50.00	2.50	0.00	2.50	0.50
06/22/2018	04000988998	WINTER, SARAH M.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	50.00	2.50	0.00	2.50	0.50
06/22/2018	04000988998	WINTER, SARAH M.	AMERICAN FUNDS SMALL CAP WORLD FD	B	50.00	2.50	0.00	2.50	0.50
06/22/2018	04000988998	WINTER, SARAH M.	AMERICAN FUNDS WA MUTUAL	INVEST	50.00	2.50	0.00	2.50	0.50
06/18/2018	04000993025	VARILEK, SARAH	AMERICAN FUNDS GROWTH FUND OF AMERI	B	49.50	2.48	0.00	2.48	0.50
06/18/2018	04000993025	VARILEK, SARAH	AMERICAN FUNDS NEW ECONOMY FD	B	49.50	2.48	0.00	2.48	0.50
06/18/2018	04000993025	VARILEK, SARAH	AMERICAN FUNDS SMALL CAP WORLD FD	B	51.00	2.55	0.00	2.55	0.51
06/13/2018	04001022843	MENDEL, SETH A.	THE NEW ECONOMY FUND-529A	B	1,400.00	70.00	0.00	70.00	14.00
06/13/2018	04001022892	MENDEL, SETH A.	THE NEW ECONOMY FUND-529A	B	3,600.00	180.00	0.00	180.00	36.00
06/21/2018	04001035813	BENVENGA, TYLER J.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	85.00	4.25	0.00	4.25	0.85
06/21/2018	04001035813	BENVENGA, TYLER J.	AMERICAN FUNDS NEW ECONOMY FD	B	82.50	4.13	0.00	4.13	0.83
06/21/2018	04001035813	BENVENGA, TYLER J.	AMERICAN FUNDS SMALL CAP WORLD FD	B	82.50	4.13	0.00	4.13	0.83
06/21/2018	04001035825	BENVENGA, EMILY L.	AMERICAN FUNDS GROWTH FUND OF AMERI	B	85.00	4.25	0.00	4.25	0.85
06/21/2018	04001035825	BENVENGA, EMILY L.	AMERICAN FUNDS NEW ECONOMY FD	B	82.50	4.13	0.00	4.13	0.83
06/21/2018	04001035825	BENVENGA, EMILY L.	AMERICAN FUNDS SMALL CAP WORLD FD	B	82.50	4.13	0.00	4.13	0.83
06/18/2018	57775487	VALLETTE, DAVID	SIGNAL SECURITIES RIA	B	0.00	76.74	0.00	76.74	15.35
		ROBERT							
06/18/2018	99338479	DREXLER, FLOYD	SIGNAL SECURITIES RIA	B	0.00	222.70	0.00	222.70	44.54
		MICHAEL							

* KELSEY MCDANIEL SUBTOTAL *

\$11,042.74

\$841.61

\$0.00

\$841.61

\$168.35

*** OVERRIDE TRANSACTIONS TOTAL ***

\$11,042.74

\$841.61

\$0.00

\$841.61

\$168.35

ADJUSTMENTS

07/02/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
07/02/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
		*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$33,146.68
Split Transactions	\$0.00
Override Transactions	\$168.35
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$33,187.53
Year-to-date Earnings	\$296,690.10