

**COMMISSION STATEMENT****December 15, 2017**

1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOSK
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: 1JR
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
^12/08/2017	LAGALA, JAMES	OMEROS CORP COM	877.71	30.00	22.50	7.50	50.0	0.00
* STOCKS SUBTOTAL *			\$877.71	\$30.00	\$22.50	\$7.50		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$877.71	\$30.00	\$22.50	\$7.50		\$0.00
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^11/07/2017	PARILLO, DOMINICK	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.68	0.00	2.68	50.0	0.00
^11/07/2017	RENEGAR, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	6.37	0.00	6.37	50.0	0.00
^11/07/2017	WYFFELS, ALAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	7.70	0.00	7.70	50.0	0.00
^11/07/2017	PARILLO, RALPH	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.68	0.00	2.68	50.0	0.00
^11/20/2017	LAGALA, JASON	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	8.64	0.00	8.64	50.0	0.00
^11/20/2017	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.51	0.00	0.51	50.0	0.00
^11/20/2017	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.44	0.00	0.44	50.0	0.00
^11/20/2017	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.46	0.00	2.46	50.0	0.00
^11/20/2017	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.58	0.00	0.58	50.0	0.00
^11/20/2017	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.64	0.00	0.64	50.0	0.00
^11/20/2017	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.31	0.00	0.31	50.0	0.00
^11/17/2017	WOLD, JOHN	VOYA MID-CAP OPPORTUNITIES FUND CLA	0.00	0.23	0.00	0.23	50.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$33.24	\$0.00	\$33.24		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$33.24	\$0.00	\$33.24		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

12/15/2017		*PERSHING: MARKUP TICKET CHARGE 1 1	0.00					0.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.05
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.05
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed