



All Companies

02/14/2018  
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# COMMISSION STATEMENT February 15, 2018

MICHAEL C. MOYNIHAN  
700 Throckmorton

BROKER #: 9528  
BRANCH: HOME OFFICE

Fort Worth, TX 76102

## COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

01/31/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	77.01	77.01	0.00	77.01	90.0	69.31
01/31/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	6.98	6.98	0.00	6.98	90.0	6.28
01/31/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	15.80	15.80	0.00	15.80	90.0	14.22
01/16/2018	HUDGINS/HYDINGER, CHRISTY/ELLERY	-TEXAS 529 PLAN	B	25.00	1.19	0.00	1.19	90.0	1.07
01/31/2018	TRAILS	-TEXAS 529 PLAN	B	5.34	5.34	0.00	5.34	90.0	4.81
01/31/2018	TRAILS	-TEXAS 529 PLAN	B	0.65	0.65	0.00	0.65	90.0	0.59
01/31/2018	TRAILS	-TEXAS 529 PLAN	B	12.58	12.58	0.00	12.58	90.0	11.32
01/24/2018	MCEACHERN, JAMES M	FORESTERS FINANCIAL	B	100.00	3.00	0.00	3.00	90.0	2.70
01/24/2018	MCEACHERN, PAIGE A	FORESTERS FINANCIAL	B	100.00	3.00	0.00	3.00	90.0	2.70
01/29/2018	000000006174104 COTE, PATTY S.	FRK TEMP FOUNDING FUNDS ALLOC FND C B		250.00	12.50	0.00	12.50	90.0	11.25
02/02/2018	00001664121 HUTCHISON, VIKKI	LIFESTYLE GROWTH PORTFOLIO A	B	223.08	6.36	0.00	6.36	90.0	5.72
02/01/2018	00001827877 LOTT, JEANNE	LIFESTYLE MODERATE PORTFOLIO C	B	100.00	1.00	0.00	1.00	90.0	0.90
01/24/2018	00002119967 MATHIS, JANET	LIFESTYLE GROWTH PORTFOLIO A	B	150.03	6.38	0.00	6.38	90.0	5.74
01/30/2018	00002406915 LOTT, EMMA	LIFESTYLE AGGRESSIVE PORTFOLIO A	B	3,000.00	85.50	0.00	85.50	90.0	76.95
01/22/2018	00031779996 DOYLE, BLAKE	GLOBAL OPPORTUNITIES (A)	B	140.00	4.20	0.00	4.20	90.0	3.78
01/22/2018	00031779996 DOYLE, BLAKE	PRINCIPAL INVESTORS LARGE CAP GROWTH B		100.00	3.00	0.00	3.00	90.0	2.70
01/22/2018	00031779996 DOYLE, BLAKE	SMALL-MIDCAP DIVIDEND INCOME (A)	B	140.00	4.20	0.00	4.20	90.0	3.78
01/22/2018	00031780010 DOYLE, BLAKE E.	EQUITY INCOME I (A)	B	110.00	3.30	0.00	3.30	90.0	2.97
01/22/2018	00031780010 DOYLE, BLAKE E.	GLOBAL OPPORTUNITIES (A)	B	110.00	3.30	0.00	3.30	90.0	2.97
02/01/2018	00052796859 LOTT, BRIAN K	PORTFOLIO 2025-2028 A	B	100.00	4.25	0.00	4.25	90.0	3.83
02/01/2018	00068047824 DE SMIT, DIANA D	AMERICAN FUNDS GROWTH FUND OF AMERI B		541.66	10.83	0.00	10.83	90.0	9.75
01/29/2018	00073345834 VASQUEZ, DAVID	AMERICAN FUNDS CAPITAL WORLD BD FD B		26.48	0.73	0.00	0.73	90.0	0.66
01/29/2018	00073345834 VASQUEZ, DAVID	AMERICAN FUNDS CAPITAL WORLD BD FD B		26.48	0.73	0.00	0.73	90.0	0.66
02/05/2018	00073689293 DE SMIT, PAUL	AMERICAN FUNDS NEW PERSPECTIVE FD B		541.66	10.83	0.00	10.83	90.0	9.75
02/05/2018	00075036376 GOLDEN, BARBARA	AMERICAN FUNDS CAPITAL INCOME BUILD B		150.00	4.13	0.00	4.13	90.0	3.72
02/05/2018	00075036376 GOLDEN, BARBARA	AMERICAN FUNDS CAPITAL WORLD GROWT B		150.00	4.13	0.00	4.13	90.0	3.72
01/30/2018	00075979142 LARA-MONTGOMERY, JENNIFER A	AMERICAN FUNDS CAPITAL WORLD GROWT B		100.00	2.00	0.00	2.00	90.0	1.80
01/29/2018	00082140597 DAVILA, JAIME A	AMERICAN FUNDS CAPITAL INCOME BUILD B		25.00	0.69	0.00	0.69	90.0	0.62
01/29/2018	00082140597 DAVILA, JAIME A	AMERICAN FUNDS CAPITAL INCOME BUILD B		26.48	0.73	0.00	0.73	90.0	0.66

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
02/01/2018	00084124051	PARISH/ALLEN, VICTORIA/JULIE		CAPITAL WORLD GROWTH AND INCOME-529 B	25.00	1.06	0.00	1.06	90.0 0.95
01/25/2018	00084917868	SASSMAN, STETSON		FUNDAMENTAL INVESTORS-529A B	100.00	4.25	0.00	4.25	90.0 3.83
01/25/2018	00086510197	SASSMAN, STETSON		FUNDAMENTAL INVESTORS-529A B	100.00	4.25	0.00	4.25	90.0 3.83
01/25/2018	00086510293	SASSMAN, STETSON		FUNDAMENTAL INVESTORS-529A B	100.00	4.25	0.00	4.25	90.0 3.83
02/02/2018	00086824865	BANKS, HEATHER		AMERICAN FUNDS CAPITAL WORLD GROWT B	148.81	5.58	0.00	5.58	90.0 5.02
02/02/2018	00086824865	BANKS, HEATHER		AMERICAN FUNDS CAPITAL WORLD GROWT B	74.40	2.79	0.00	2.79	90.0 2.51
02/02/2018	00086824865	BANKS, HEATHER		AMERICAN FUNDS NEW ECONOMY FD B	148.80	5.58	0.00	5.58	90.0 5.02
02/02/2018	00086824865	BANKS, HEATHER		AMERICAN FUNDS NEW ECONOMY FD B	74.41	2.79	0.00	2.79	90.0 2.51
02/01/2018	00087416414	WHITE, CINDY C.		GROWTH PORTFOLIO-A B	150.00	7.50	0.00	7.50	90.0 6.75
01/25/2018	00088005709	GOTTWALD, KATY WEBER		GROWTH PORTFOLIO-A B	100.00	4.25	0.00	4.25	90.0 3.83
01/29/2018	00088625904	SHUMATE, BRENDA K		AMERICAN FUNDS 2020 TARGET DATE-A B	150.00	4.13	0.00	4.13	90.0 3.72
01/29/2018	00088625904	SHUMATE, BRENDA K		AMERICAN FUNDS 2020 TARGET DATE-A B	81.89	2.25	0.00	2.25	90.0 2.03
01/29/2018	00088628251	GRINDELE, RUSSELL G.		AMERICAN FUNDS 2025 TARGET DATE-A B	26.40	0.73	0.00	0.73	90.0 0.66
01/29/2018	00088628251	GRINDELE, RUSSELL G.		AMERICAN FUNDS 2025 TARGET DATE-A B	26.40	0.73	0.00	0.73	90.0 0.66
01/29/2018	00089316769	JIMENEZ, MANUEL		AMERICAN FUNDS 2020 TARGET DATE-A B	10.00	0.28	0.00	0.28	90.0 0.25
01/29/2018	00089316769	JIMENEZ, MANUEL		AMERICAN FUNDS 2020 TARGET DATE-A B	10.00	0.28	0.00	0.28	90.0 0.25
01/29/2018	00089357575	CONTRERAS, JUAN J.		AMERICAN FUNDS 2020 TARGET DATE-A B	28.80	0.79	0.00	0.79	90.0 0.71
01/29/2018	00089357575	CONTRERAS, JUAN J.		AMERICAN FUNDS 2020 TARGET DATE-A B	70.00	1.93	0.00	1.93	90.0 1.74
01/25/2018	00089825255	SASSMAN, KATHLEEN/SARAH		COLLEGE 2033 FUND-529A B	100.00	3.50	0.00	3.50	90.0 3.15
01/16/2018	02000061966	MARTIN, BECK/REBECKA		-SCHOLARS EDGE 529 PLAN B	100.00	4.00	0.00	4.00	90.0 3.60
02/01/2018	04000020963	BURK, SHANNON KELLEY M.		AMERICAN FUNDS 2050 TARGET DATE-A B	458.33	19.48	0.00	19.48	90.0 17.53
02/05/2018	04000037092	KELLEY, JENNIFER F.		AMERICAN FUNDS 2040 TARGET DATE-A B	458.33	17.19	0.00	17.19	90.0 15.47
02/01/2018	04000470737	MINTER, ETHAN M.		AMERICAN FUNDS 2050 TARGET DATE-A B	300.00	15.00	0.00	15.00	90.0 13.50
02/01/2018	04000476660	PARISH, WESLEY		COLLEGE 2033 FUND-529A B	50.00	1.75	0.00	1.75	90.0 1.58
01/22/2018	04000527061	DE SMIT, PAUL		COLLEGE 2033 FUND-529A B	200.00	4.00	0.00	4.00	90.0 3.60
				<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$9,445.80</b>	<b>\$412.68</b>	<b>\$0.00</b>	<b>\$412.68</b>	<b>\$371.46</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
01/26/2018	TRAILS	-HARTFORD LIFE		B	3.53	3.53	0.00	3.53	90.0 3.18
02/02/2018	TRAILS	-JOHN HANCOCK		B	112.56	112.56	0.00	112.56	90.0 101.30
02/01/2018	HYDINGER, DANIEL	BRIGHTHOUSE FINANCIAL		B	200.00	4.00	0.00	4.00	90.0 3.60
				<b>* VARIABLE ANNUITIES SUBTOTAL *</b>	<b>\$316.09</b>	<b>\$120.09</b>	<b>\$0.00</b>	<b>\$120.09</b>	<b>\$108.08</b>

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

02/15/2018 TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES	0.00	1,924.29	0.00	1,924.29	90.0	1,731.90
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$1,924.29	\$0.00	\$1,924.29		\$1,731.90
	*** BROKER TRANSACTIONS TOTAL ***	\$9,761.89	\$2,457.06	\$0.00	\$2,457.06		\$2,211.44

SPLIT TRANSACTIONS

BROKER: SNOW/MOYNIHAN #9543

01/31/2018 TRAILS	-FIDELITY ADVISOR FUNDS	B	184.74	73.90	0.00	73.90	90.0	66.51
	* SNOW/MOYNIHAN SUBTOTAL *		\$184.74	\$73.90	\$0.00	\$73.90		\$66.51
	*** SPLIT TRANSACTIONS TOTAL ***		\$184.74	\$73.90	\$0.00	\$73.90		\$66.51

ADJUSTMENTS

02/15/2018	E & O INSURANCE: 1/2 MONTHLY	0.00	0.00	0.00	0.00	-100.00
02/15/2018	RENT: 1/2 MONTH	0.00	0.00	0.00	0.00	-125.00
02/15/2018	TECH: MONTHLY TECH SERVICES	0.00	0.00	0.00	0.00	-27.50
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-252.50

BROKER COMMISSION TOTALS

Broker Transactions	\$2,211.44
Split Transactions	\$66.51
Override Transactions	\$0.00
Adjustments	\$-252.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$2,025.45
Year-to-date Earnings	\$48,809.89