

All Companies
STATEMENT
June 20, 2018

THOMAS MCGUIRE
16 Wiltshire Dr
SUITE 620
Hattiesburg, MS 39402

BROKER #: 131
BRANCH:

COMMISSION STATEMENT for THOMAS MCGUIRE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/01/0018	#08188849698	KNIGHT, THOMAS	MFS TOTAL RETURN FUND-B	50.00	2.00	93.0	0.00	1.86	1.86
05/21/2018	#08188849698	KNIGHT, THOMAS	MFS TOTAL RETURN FUND-B	50.00	2.00	93.0	0.00	1.86	1.86
* MUTUAL FUNDS SUBTOTAL *			\$100.00	\$4.00		\$0.00	\$3.72	\$0.00	\$3.72
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
05/01/0018	#2020015967	LOTT, LOUIS	SECURITY BEENFIT SBGTL	278,853.83	58.09	93.0	0.00	54.02	54.02
05/01/0018	#2020012509	RAYBURN,	SECURITY BEENFIT SBGTL	44,648.28	37.20	93.0	0.00	34.60	34.60
05/01/0018	#2020012433	RAYBURN,	SECURITY BEENFIT SBGTL	54,345.17	45.29	93.0	0.00	42.12	42.12
05/01/0018	#2020012081	RAYBURN,	SECURITY BEENFIT SBGTL	50,734.20	42.27	93.0	0.00	39.31	39.31
05/01/0018	#2020016514	SPARKS, MARRETA	SECURITY BEENFIT SBGTL	273,343.76	56.96	93.0	0.00	52.97	52.97
04/30/0201	#000549627	SPARKS, MARRETA	PRUDETIAL TRAILS	0.00	26.11	93.0	0.00	24.28	24.28
04/30/0201	#000549627	SPARKS, MARRETA	PRUDETIAL TRAILS	0.00	26.11	93.0	0.00	24.28	24.28
05/10/0201	#C064980LM	HUNT, MINNIE	VOYA	0.00	211.96	93.0	0.00	197.12	197.12
05/31/2018	#1018873628	DAVIS, MARY	ELITE ACCESS L	41,474.45	34.56	93.0	0.00	32.14	32.14
05/31/2018	#009629647A	GRAY, LARRY	L SERIES	60,147.88	65.16	93.0	0.00	60.60	60.60
* VARIABLE ANNUITIES SUBTOTAL *			\$803,547.57	\$603.71		\$0.00	\$561.44	\$0.00	\$561.44
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2018	#	SPARKS, THOMAS	DAIN TRAILER	0.00	12.82	93.0	0.00	11.92	11.92
05/09/2018	#	SPARKS, THOMAS	DAIN TRAILER	0.00	64.97	93.0	0.00	60.42	60.42
05/09/2018	#	SPARKS, THOMAS	DAIN TRAILER	0.00	114.47	93.0	0.00	106.46	106.46
05/09/2018	#	SPARKS, THOMAS	DAIN TRAILER	0.00	77.25	93.0	0.00	71.84	71.84
05/09/2018	#	HUNT, MINNIE	DAIN TRAILER	0.00	58.30	93.0	0.00	54.22	54.22
05/09/2018	#	LYNN, JOHN	DAIN TRAILER	0.00	2.64	93.0	0.00	2.46	2.46
05/09/2018	#	LYNN, JOHN	DAIN TRAILER	0.00	0.66	93.0	0.00	0.61	0.61
05/09/2018	#	CAROSELLO, JANE	DAIN TRAILER	0.00	3.92	93.0	0.00	3.65	3.65
05/09/2018	#	CAROSELLO, JANE	DAIN TRAILER	0.00	2.79	93.0	0.00	2.59	2.59
05/09/2018	#	CAROSELLO, JANE	DAIN TRAILER	0.00	2.17	93.0	0.00	2.02	2.02
05/09/2018	#	CAROSELLO, JANE	DAIN TRAILER	0.00	22.44	93.0	0.00	20.87	20.87

COMMISSION STATEMENT for THOMAS MCGUIRE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2018 #	CAROSELLO, JANE	DAIN TRAILER	0.00	19.42	93.0	0.00	18.06	0.00	18.06
05/09/2018 #	DAUGHDRILL, MARY	DAIN TRAILER	0.00	0.94	93.0	0.00	0.87	0.00	0.87
05/09/2018 #	DAUGHDRILL, MARY	DAIN TRAILER	0.00	3.56	93.0	0.00	3.31	0.00	3.31
05/09/2018 #	DAUGHDRILL, MARY	DAIN TRAILER	0.00	0.98	93.0	0.00	0.91	0.00	0.91
05/09/2018 #	SPARKS, THOMAS	DAIN TRAILER	0.00	15.56	93.0	0.00	14.47	0.00	14.47
05/09/2018 #	SPARKS, THOMAS	DAIN TRAILER	0.00	1.05	93.0	0.00	0.98	0.00	0.98
05/09/2018 #	SPARKS, THOMAS	DAIN TRAILER	0.00	27.13	93.0	0.00	25.23	0.00	25.23
05/09/2018 #	SPARKS, THOMAS	DAIN TRAILER	0.00	6.46	93.0	0.00	6.01	0.00	6.01
05/09/2018 #	MOSS, WILLIAM	DAIN TRAILER	0.00	2.49	93.0	0.00	2.32	0.00	2.32
05/09/2018 #	MOSS, WILLIAM	DAIN TRAILER	0.00	5.23	93.0	0.00	4.86	0.00	4.86
05/09/2018 #	MOSS, CARLA	DAIN TRAILER	0.00	5.64	93.0	0.00	5.25	0.00	5.25
05/09/2018 #	MOSS, CARLA	DAIN TRAILER	0.00	59.26	93.0	0.00	55.11	0.00	55.11
05/09/2018 #	MOSS, CARLA	DAIN TRAILER	0.00	43.06	93.0	0.00	40.05	0.00	40.05
05/09/2018 #	MOSS, CARLA	DAIN TRAILER	0.00	21.43	93.0	0.00	19.93	0.00	19.93
05/09/2018 #	MOSS, CARLA	DAIN TRAILER	0.00	12.73	93.0	0.00	11.84	0.00	11.84
05/09/2018 #	MOSS, CARLA	DAIN TRAILER	0.00	27.99	93.0	0.00	26.03	0.00	26.03
05/09/2018 #	PARKER, LOUIS	DAIN TRAILER	0.00	3.16	93.0	0.00	2.94	0.00	2.94
05/09/2018 #	PARKER, LOUIS	DAIN TRAILER	0.00	2.42	93.0	0.00	2.25	0.00	2.25
05/09/2018 #	PARKER, LOUIS	DAIN TRAILER	0.00	2.11	93.0	0.00	1.96	0.00	1.96
05/09/2018 #	PARKER, LOUIS	DAIN TRAILER	0.00	1.99	93.0	0.00	1.85	0.00	1.85
05/09/2018 #	PARKER, LOUIS	DAIN TRAILER	0.00	3.08	93.0	0.00	2.86	0.00	2.86
05/09/2018 #	PARKER, LOUIS	DAIN TRAILER	0.00	2.33	93.0	0.00	2.17	0.00	2.17
05/09/2018 #	YAWN, FAITH	DAIN TRAILER	0.00	4.83	93.0	0.00	4.49	0.00	4.49
05/09/2018 #	YAWN, FAITH	DAIN TRAILER	0.00	4.56	93.0	0.00	4.24	0.00	4.24
05/09/2018 #	YAWN, FAITH	DAIN TRAILER	0.00	5.25	93.0	0.00	4.88	0.00	4.88
05/09/2018 #	YAWN, FAITH	DAIN TRAILER	0.00	4.03	93.0	0.00	3.75	0.00	3.75
05/09/2018 #	YAWN, FAITH	DAIN TRAILER	0.00	9.70	93.0	0.00	9.02	0.00	9.02
05/09/2018 #	MCGEHEE, DONNA	DAIN TRAILER	0.00	4.97	93.0	0.00	4.62	0.00	4.62
05/09/2018 #	MCGEHEE, DONNA	DAIN TRAILER	0.00	15.00	93.0	0.00	13.95	0.00	13.95
05/09/2018 #	MCGEHEE, DONNA	DAIN TRAILER	0.00	31.70	93.0	0.00	29.48	0.00	29.48
05/09/2018 #	LYNN, JOHN	DAIN TRAILER	0.00	0.62	93.0	0.00	0.58	0.00	0.58
05/09/2018 #	LYNN, JOHN	DAIN TRAILER	0.00	1.30	93.0	0.00	1.21	0.00	1.21
05/09/2018 #	LYNN, JOHN	DAIN TRAILER	0.00	1.89	93.0	0.00	1.76	0.00	1.76
05/11/2018 #	MOSS, CARLA	DAIN TRAILER	0.00	42.49	93.0	0.00	39.52	0.00	39.52
05/14/2018 #	SPARKS, THOMAS	DAIN TRAILER	0.00	33.33	93.0	0.00	31.00	0.00	31.00

COMMISSION STATEMENT for THOMAS MCGUIRE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$790.12		\$0.00	\$734.82	\$0.00	\$734.82
		*** BROKER TRANSACTIONS TOTAL ***	\$803,647.57	\$1,397.83		\$0.00	\$1,299.98	\$0.00	\$1,299.98

ADJUSTMENTS

06/20/2018	BETA BACK OFFICE: ADMIN FEE	-390.00
06/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
	*** ADJUSTMENTS TOTAL ***	\$-569.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,299.98
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-569.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$730.98
--------------	----------