



COMMISSION STATEMENT

July 02, 2018

SAMUEL BARBER
327 Church St
Naugatuck, CT 06770

BROKER #: B06
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/11/2018	***-**-3812 - NORIEGA, CARLOS	FRK GTH ALC 9-12 YR 529 PORT CL A	600.00	22.50	0.00	22.50	75.0	16.88
	ACCOUNT #: 000000007007857	BUY 18 Units @32.5800						
06/28/2018	***-**-2440 - RICHTER, DIANA	PIMCO TOTAL RETURN C	19,792.82	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR011984	SELL 1985 Units @9.9700						
06/28/2018	***-**-2440 - RICHTER, DIANA	PRINCIPAL GLOBAL DIVERSIFIED INCOME	5,500.00	18.00	18.00	0.00	75.0	0.00
	ACCOUNT #: LSR011984	SELL 409 Units @13.4500						
* MUTUAL FUNDS SUBTOTAL *			\$25,892.82	\$40.50	\$36.00	\$4.50		\$-1.12
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/02/2018	***-**-7110 - ALBERTO, MICHAEL	BLACKROCK 09251M108	0.00	0.43	0.00	0.43	75.0	0.32
	ACCOUNT #: LSR011938	BUY 1 Units @0.0000						
07/02/2018	***-**-7340 - RODESCHINI,	BLACKROCK EQUITY DIVIDEND FD CL C	0.00	30.41	0.00	30.41	75.0	22.81
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
07/02/2018	***-**-6710 - FERNANDEZ, ANA	BLACKROCK EQUITY DIVIDEND FD CL C	0.00	2.21	0.00	2.21	75.0	1.66
	ACCOUNT #: LSR011946	BUY 1 Units @0.0000						
07/02/2018	***-**-2440 - RICHTER, DIANA	BLACKROCK MULTI - ASSET INCOME FUND	0.00	27.39	0.00	27.39	75.0	20.54
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
07/02/2018	***-**-6310 - BARBER,	BLACKROCK STRATEGIC INC OPP PORT CL	0.00	0.13	0.00	0.13	75.0	0.10
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$60.57	\$0.00	\$60.57		\$45.43
*** BROKER TRANSACTIONS TOTAL ***			\$25,892.82	\$101.07	\$36.00	\$65.07		\$44.31



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<u>BROKER COMMISSION TOTALS</u>								
						Broker Transactions		\$44.31
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD								
Balance Forward								\$44.31
Year-to-date Earnings								\$25,010.70