

**COMMISSION STATEMENT****June 15, 2018**

ALAN, L133 LICHTENSTEIN
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: L133
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
05/16/2018	RUSSO REV TR, EUGENIE	BLK HIGH YIELD BOND A	0.00	3.15	0.00	3.15	75.0	2.36
05/21/2018	LICHTENSTEIN, ALAN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.45	0.00	1.45	75.0	1.09
05/21/2018	BALDWIN, PATRICE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.74	0.00	2.74	75.0	2.06
05/21/2018	SANTOS, TEODOCIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.42	0.00	3.42	75.0	2.57
05/21/2018	SANTOS, TEODOCIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.40	0.00	1.40	75.0	1.05
05/21/2018	KONCIUS, STEWART	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.45	0.00	0.45	75.0	0.34
05/21/2018	SMARZ, MARY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.73	0.00	0.73	75.0	0.55
05/21/2018	GRAVES, GLORIA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.05	0.00	2.05	75.0	1.54
05/21/2018	HECKER, THOMAS	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.74	0.00	2.74	75.0	2.06
05/21/2018	SHEPHERD FOUNDATION	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.90	0.00	2.90	75.0	2.18
05/16/2018	RUSSO REV TR, EUGENIE	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	2.10	0.00	2.10	75.0	1.58
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$23.13	\$0.00	\$23.13		\$17.38
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$23.13	\$0.00	\$23.13		\$17.38
<u>ADJUSTMENTS</u>								
06/15/2018		*AI INSIGHT: AI INSIGHT	0.00					-7.00
06/15/2018		*EO INS: EO INS - MONTHLY	0.00					-300.00
06/15/2018		*TECHNOLOGY: MONTHLY BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-487.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$17.38
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-487.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-465.29
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-934.91
Year-to-date Gross	\$18,051.19
Year-to-date Earnings	\$13,454.17