Page No.

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COMMISSION STATEMENT

December 31, 2017

NANCY(DOUG) NEVIN

600 Johnson Ave. Suite B5 Bohemia, NY 11716 BROKER #: N118

BRANCH: ROBERT LUCHSINGER

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|-----------------------|-------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| OVERRIDE TRANSACTIONS | | | | | | | | |
| | | | | | | | | |
| BROKER: L1 | 49-ROBERT LUCHSINGER #3 | <u>388</u> | | | | | | |
| 12/04/2017 | GALLAS, MATTHEW | PACIFIC LIFE TRAILS | 0.00 | 425.92 | 0.00 | 425.92 | 0.0 | 212.96 |
| 12/07/2017 | GARDNER, MARILYN | PACIFIC LIFE TRAILS | 0.00 | 336.41 | 0.00 | 336.41 | 0.0 | 168.21 |
| 12/14/2017 | ROARKE, MARY ELIZABETH | ING EMPIRE INNOVATIONS STD OPT B | 60,946.60 | 137.13 | 0.00 | 137.13 | 0.0 | 68.57 |
| / / | KNAACK, JOHN | RYDEX GUGG MID CAP VALUE A | 0.00 | 4.87 | 0.00 | 4.87 | 0.0 | 2.44 |
| 12/11/2017 | WESTRENEN, SUSAN | SUNAMERICA FOCUSED ALPHA LARGECAP A | 0.00 | 1.46 | 0.00 | 1.46 | 0.0 | 0.73 |
| 12/11/2017 | REILLY, MARGARET | SUNAMERICA FOCUSED MULTI ASSET A | 0.00 | 11.79 | 0.00 | 11.79 | 0.0 | 5.90 |
| 12/11/2017 | REILLY, MARGARET | SUNAMERICA FOCUSED MULTI ASSET B | 0.00 | 3.79 | 0.00 | 3.79 | 0.0 | 1.90 |
| 12/11/2017 | WESTRENEN, SUSAN | SUNAMERICA STRATEGIC VALUE PORTFOLI | 0.00 | 1.40 | 0.00 | 1.40 | 0.0 | 0.70 |
| | | * L149-ROBERT LUCHSINGER SUBTOTAL * | \$60,946.60 | \$922.77 | \$0.00 | \$922.77 | | \$461.41 |
| | | *** OVERRIDE TRANSACTIONS TOTAL *** | \$60,946.60 | \$922.77 | \$0.00 | \$922.77 | | \$461.41 |
| | | | | | | | | |
| <u>ADJUSTMENTS</u> | | | | | | | | |
| 12/31/2017 | | *PERSHING: BOOKS AND RECORDS | 0.00 | | | | | -2.67 |
| | | *** ADJUSTMENTS TOTAL *** | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-2.67 |

BROKER COMMISSION TOTALS \$0.00 Broker Transactions Split Transactions \$0.00 \$461.41 Override Transactions Adjustments \$-2.67 Payroll Draw \$0.00 \$0.00 Base Salary Prior Period Balance \$0.00 FINRA Assessment \$0.00 \$0.00 SIPC Assessment \$458.74 Check Amount \$30,143.55 Year-to-date Gross \$30,768.44 Year-to-date Earnings