



COMMISSION STATEMENT

April 02, 2018

ALFREDO BALLESTEROS
21830 Walnut Street
Wildmar, CA 92595

BROKER #: B10
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
04/04/2018	***-**-16FB - DOWNS-MINETREE, ACCOUNT #: 925310184	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	103.90	103.90	0.00	103.90	80.0	83.12
04/04/2018	***-**-55FB - LAROCK, ANDREA ACCOUNT #: 925396270	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	55.45	55.45	0.00	55.45	80.0	44.36
04/04/2018	***-**-55FB - LAROCK, ANDREA ACCOUNT #: 925394309	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	34.24	34.24	0.00	34.24	80.0	27.39
04/04/2018	***-**-43FB - GOLLINGER, DAVID ACCOUNT #: 925429570	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	177.30	177.30	0.00	177.30	80.0	141.84
04/04/2018	***-**-90FB - DUARTE, BERTHA ACCOUNT #: 925332301	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	33.68	33.68	0.00	33.68	80.0	26.94
04/04/2018	***-**-20FB - TOWNSEND, ACCOUNT #: 925348380	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	21.56	21.56	0.00	21.56	80.0	17.25
04/04/2018	***-**-20FB - TOWNSEND, ACCOUNT #: 925348773	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	38.72	38.72	0.00	38.72	80.0	30.98
04/04/2018	***-**-11FB - FRIEDLANDER, ACCOUNT #: 925348711	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	118.59	118.59	0.00	118.59	80.0	94.87
04/04/2018	***-**-11FB - FRIEDLANDER, ACCOUNT #: 925348770	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	19.77	19.77	0.00	19.77	80.0	15.82
04/04/2018	***-**-99FB - GARCIA, JENNY ACCOUNT #: 925348382	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	136.05	136.05	0.00	136.05	80.0	108.84
04/04/2018	***-**-87FB - GARCIA, ONORIO ACCOUNT #: 925348386	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	45.94	45.94	0.00	45.94	80.0	36.75
04/04/2018	***-**-38FB - WUSTHOF, PAMELA ACCOUNT #: 925353402	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	23.62	23.62	0.00	23.62	80.0	18.90
04/04/2018	***-**-38FB - WUSTHOF, PAMELA ACCOUNT #: 925353396	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	21.60	21.60	0.00	21.60	80.0	17.28
04/04/2018	***-**-38FB - WUSTHOF, PAMELA ACCOUNT #: 925353393	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	14.72	14.72	0.00	14.72	80.0	11.78
04/04/2018	***-**-48FB - WAY, JANET ACCOUNT #: 925367463	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	23.15	23.15	0.00	23.15	80.0	18.52
04/04/2018	***-**-98FB - RUFFING, JODIE ACCOUNT #: 925378876	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	14.61	14.61	0.00	14.61	80.0	11.69



COMMISSION STATEMENT

April 02, 2018

ALFREDO BALLESTEROS
21830 Walnut Street
Wildmar, CA 92595

BROKER #: B10
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
04/04/2018	***-**-98FB - RUFFING, JODIE ACCOUNT #: 925379613	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	65.17	65.17	0.00	65.17	80.0	52.14
04/04/2018	***-**-54FB - COOK, DENNIS ACCOUNT #: 925402364	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	8.91	8.91	0.00	8.91	80.0	7.13
04/04/2018	***-**-54FB - COOK, DENNIS ACCOUNT #: 925402359	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	15.17	15.17	0.00	15.17	80.0	12.14
04/04/2018	***-**-97FB - GASTON-PIERCE, ACCOUNT #: 925305856	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	24.93	24.93	0.00	24.93	80.0	19.94
04/04/2018	***-**-61FB - LONGSWORTH, CITA ACCOUNT #: 925319593	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	31.08	31.08	0.00	31.08	80.0	24.86
04/04/2018	***-**-46FB - HAYWOOD, CARLA ACCOUNT #: 925320080	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	42.60	42.60	0.00	42.60	80.0	34.08
04/04/2018	***-**-46FB - HAYWOOD, CARLA ACCOUNT #: 925320981	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	357.82	357.82	0.00	357.82	80.0	286.26
04/04/2018	***-**-46FB - HAYWOOD, CARLA ACCOUNT #: 925320095	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	18.11	18.11	0.00	18.11	80.0	14.49
04/04/2018	***-**-85FB - HAYWOOD, JOHN ACCOUNT #: 925320991	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	178.91	178.91	0.00	178.91	80.0	143.13
04/04/2018	***-**-33FB - CLAY, MARIA ACCOUNT #: 925323203	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	82.06	82.06	0.00	82.06	80.0	65.65
04/04/2018	***-**-21FB - VALLE, NORMA ACCOUNT #: 925331134	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	23.30	23.30	0.00	23.30	80.0	18.64
04/04/2018	***-**-21FB - VALLE, NORMA ACCOUNT #: 925331129	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	8.37	8.37	0.00	8.37	80.0	6.70
04/04/2018	***-**-48FB - WONG, WALTER ACCOUNT #: 925406630	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	54.26	54.26	0.00	54.26	80.0	43.41
04/04/2018	***-**-48FB - WONG, WALTER ACCOUNT #: 925406639	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	38.64	38.64	0.00	38.64	80.0	30.91
04/04/2018	***-**-52FB - NGUYEN, VICKIE ACCOUNT #: 925329024	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	97.33	97.33	0.00	97.33	80.0	77.86
04/04/2018	***-**-52FB - NGUYEN, VICKIE ACCOUNT #: 925344068	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	5.80	5.80	0.00	5.80	80.0	4.64



COMMISSION STATEMENT

April 02, 2018

ALFREDO BALLESTEROS
21830 Walnut Street
Wildmar, CA 92595

BROKER #: B10
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
04/04/2018	***-**-61FB - VALLE, EDUARDO	LIFEMARK INVESTMENT ADVISORY FEE	4.77	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 925332341	BUY 0 Units @0.0000						
04/04/2018	***-**-90FB - MAI, JOHNNY	LIFEMARK INVESTMENT ADVISORY FEE	37.29	37.29	0.00	37.29	80.0	29.83
	ACCOUNT #: 925336235	BUY 0 Units @0.0000						
04/04/2018	***-**-90FB - MAI, JOHNNY	LIFEMARK INVESTMENT ADVISORY FEE	7.57	7.57	0.00	7.57	80.0	6.06
	ACCOUNT #: 925344043	BUY 0 Units @0.0000						
04/04/2018	***-**-62FB - METZGER, JEANNE	LIFEMARK INVESTMENT ADVISORY FEE	21.82	21.82	0.00	21.82	80.0	17.46
	ACCOUNT #: 925344624	BUY 0 Units @0.0000						
04/04/2018	***-**-31FB - WATSON,	LIFEMARK INVESTMENT ADVISORY FEE	73.35	73.35	0.00	73.35	80.0	58.68
	ACCOUNT #: 925362048	BUY 0 Units @0.0000						
04/04/2018	***-**-14FB - DE LOS SANTOS,	LIFEMARK INVESTMENT ADVISORY FEE	5.83	5.83	0.00	5.83	80.0	4.66
	ACCOUNT #: 925435799	BUY 0 Units @0.0000						
04/04/2018	***-**-14FB - DE LOS SANTOS,	LIFEMARK INVESTMENT ADVISORY FEE	9.03	9.03	0.00	9.03	80.0	7.22
	ACCOUNT #: 925435795	BUY 0 Units @0.0000						
04/04/2018	***-**-14FB - DE LOS SANTOS,	LIFEMARK INVESTMENT ADVISORY FEE	26.83	26.83	0.00	26.83	80.0	21.46
	ACCOUNT #: 925435789	BUY 0 Units @0.0000						
04/04/2018	***-**-57FB - LARKIN, MICHAEL	LIFEMARK INVESTMENT ADVISORY FEE	111.87	111.87	0.00	111.87	80.0	89.50
	ACCOUNT #: 925427487	BUY 0 Units @0.0000						
04/04/2018	***-**-18FB - LARKIN, KAREN	LIFEMARK INVESTMENT ADVISORY FEE	75.99	75.99	0.00	75.99	80.0	60.79
	ACCOUNT #: 925427475	BUY 0 Units @0.0000						
04/04/2018	***-**-86FB - GALLEGOS,	LIFEMARK INVESTMENT ADVISORY FEE	6.39	6.39	0.00	6.39	80.0	5.11
	ACCOUNT #: 925401872	BUY 0 Units @0.0000						
04/04/2018	***-**-86FB - GALLEGOS,	LIFEMARK INVESTMENT ADVISORY FEE	86.19	86.19	0.00	86.19	80.0	68.95
	ACCOUNT #: 925401890	BUY 0 Units @0.0000						
04/04/2018	***-**-22FB - KARR-CHEBAT,	LIFEMARK INVESTMENT ADVISORY FEE	134.14	134.14	0.00	134.14	80.0	107.31
	ACCOUNT #: 925373485	BUY 0 Units @0.0000						
04/04/2018	***-**-22FB - KARR-CHEBAT,	LIFEMARK INVESTMENT ADVISORY FEE	17.30	17.30	0.00	17.30	80.0	13.84
	ACCOUNT #: 925402005	BUY 0 Units @0.0000						
04/04/2018	***-**-53FB - CHEBAT, JOE	LIFEMARK INVESTMENT ADVISORY FEE	3.09	3.09	0.00	3.09	80.0	2.47
	ACCOUNT #: 925401991	BUY 0 Units @0.0000						
04/04/2018	***-**-43FB - MINETREE,	LIFEMARK INVESTMENT ADVISORY FEE	119.22	119.22	0.00	119.22	80.0	95.38
	ACCOUNT #: 925411309	BUY 0 Units @0.0000						



COMMISSION STATEMENT

April 02, 2018

ALFREDO BALLESTEROS
21830 Walnut Street
Wildmar, CA 92595

BROKER #: B10
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: RIA (continued)</u>								
04/04/2018	***-**-90FB - RIVERA, LYNDIA ACCOUNT #: 925419577	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	23.44	23.44	0.00	23.44	80.0	18.75
04/04/2018	***-**-90FB - RIVERA, LYNDIA ACCOUNT #: 925422680	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	16.53	16.53	0.00	16.53	80.0	13.22
04/04/2018	***-**-97FB - RIVERA, ACCOUNT #: 925419429	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	93.67	93.67	0.00	93.67	80.0	74.94
04/04/2018	***-**-65FB - FRANKIAN, TIAHNA ACCOUNT #: 925422175	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	4.15	4.15	0.00	4.15	80.0	3.32
04/04/2018	***-**-52FB - FRANKIAN, ACCOUNT #: 925422183	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	3.21	3.21	0.00	3.21	80.0	2.57
04/04/2018	***-**-17FB - GOLLINGER, ACCOUNT #: 925429573	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	6.92	6.92	0.00	6.92	80.0	5.54
04/04/2018	***-**-17FB - GOLLINGER, ACCOUNT #: 925429578	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	2.51	2.51	0.00	2.51	80.0	2.01
04/04/2018	***-**-68FB - KIEL, JANET ACCOUNT #: 925434257	LIFEMARK INVESTMENT ADVISORY FEE BUY 0 Units @0.0000	22.89	22.89	0.00	22.89	80.0	18.31
* RIA SUBTOTAL *			\$2,849.36	\$2,849.36	\$0.00	\$2,849.36		\$2,279.51
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
03/29/2018	***-**-0405 - STANDRIDGE,	GROWTH AND INCOME PORTFOLIO-529A BUY 0 Units @0.0000	0.00	0.24	0.00	0.24	80.0	0.19
03/29/2018	***-**-7817 - GOLLINGER,	GROWTH AND INCOME PORTFOLIO-529A BUY 0 Units @0.0000	0.00	0.09	0.00	0.09	80.0	0.07
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$0.33	\$0.00	\$0.33		\$0.26
*** BROKER TRANSACTIONS TOTAL ***			\$2,849.36	\$2,849.69	\$0.00	\$2,849.69		\$2,279.77



BROKER #: B10
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$2,279.77
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$49.86
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$2,329.63
						Year-to-date Earnings		\$9,935.99