

# Bill Payment Stub

Check Date:	5/7/2018
Check No.:	ACH
Check Amount:	3,022.29

Dominion Investor Services P.O. Box 4768 Horseshoe Bay, TX 78657-4768	Paid To: DAN FENCL 422 MAIN STREET CEDAR FALLS, IA 50613
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Date	Type	Reference	Original Amt.	Balance	Discount	Payment
4/30/2018	Bill	COMMISSIONS	3,022.29	3,022.29		3,022.29

# COMMISSION STATEMENT

April 30, 2018

DAN FENCL-D022

BROKER #: D022

BRANCH: DMG

, TX

## COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
CLEARING TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
04/13/2018	276393378	FENCL TTEE, DANIEL	MF	VANGUARD VALUE INDEX FD	S	502.45	0.00	2.50	-2.50	85.0	-2.13
04/16/2018	337521939	HARN, MARGARET	MF	LORD ABBETT HIGH YIELD MUNI	S	-9.86	0.00	5.00	-5.00	85.0	-4.25
04/03/2018	368298730	NEDERHOFF, RHONDA	MF	VANGUARD DIV APPR INDEX FD INV	S	-8,297.48	0.00	5.00	-5.00	85.0	-4.25
04/03/2018	368298730	NEDERHOFF, RHONDA	MF	VANGUARD SMALL CAP VALUE INDEX	S	-8,107.12	0.00	5.00	-5.00	85.0	-4.25
04/25/2018	368430692	FENCL, JUDITH	MF	LIFESTYLE MODERATE PORTFOLIO C	S	-3,708.28	0.00	5.00	-5.00	85.0	-4.25
04/25/2018	368430692	FENCL, JUDITH	MF	LIFESTYLE BALANCED PORTFOLIO C	S	-3,514.84	0.00	5.00	-5.00	85.0	-4.25
04/05/2018	523046620	NEDERHOFF, TERRY	MF	VANGUARD TOTAL STOCK MKT INDEX	S	10,912.45	0.00	5.00	-5.00	85.0	-4.25
* MUTUAL FUNDS SUBTOTAL *						\$-12,222.68	\$0.00	\$32.50	\$-32.50		\$-27.63

## PRODUCT CATEGORY: STOCKS

04/25/2018	122159877	FLEMING REV TRUST,	S	ATMOS ENERGY CORP	S	-4,219.46	22.00	15.00	7.00	85.0	5.95
04/25/2018	122159877	FLEMING REV TRUST,	S	BB&T CORP	B	5,354.45	22.00	15.00	7.00	85.0	5.95
04/25/2018	122159877	FLEMING REV TRUST,	S	BT GROUP PLC ADS	B	3,390.43	22.00	15.00	7.00	85.0	5.95
04/25/2018	122159877	FLEMING REV TRUST,	S	CHEVRON CORPORATION	B	3,072.95	22.00	15.00	7.00	85.0	5.95
04/25/2018	122159877	FLEMING REV TRUST,	S	CONOCOPHILLIPS	S	-3,235.48	22.00	15.00	7.00	85.0	5.95
04/25/2018	122159877	FLEMING REV TRUST,	S	EXXON-MOBIL CORPORATION	B	3,942.45	22.00	15.00	7.00	85.0	5.95
04/25/2018	122159877	FLEMING REV TRUST,	S	LOUISIANA-PACIFIC CORPORATION	S	-2,961.68	22.00	15.00	7.00	85.0	5.95
04/25/2018	122159877	FLEMING REV TRUST,	S	PROCTER & GAMBLE COMPANY	B	3,643.95	22.00	15.00	7.00	85.0	5.95
04/23/2018	276381495	FENCL, RONALD	S	WILLIAMS PARTNERS LP NEW	S	-742.71	15.00	15.00	0.00	85.0	0.00
04/13/2018	306743219	GREIG GRIMM 401K &	S	ARCHER-DANIELS-MIDLAND CO	B	923.85	15.00	15.00	0.00	85.0	0.00
04/13/2018	306743219	GREIG GRIMM 401K &	S	BT GROUP PLC ADS	B	5,190.42	22.00	15.00	7.00	85.0	5.95
04/13/2018	306743219	GREIG GRIMM 401K &	S	CONOCOPHILLIPS	S	-3,219.03	22.00	15.00	7.00	85.0	5.95
04/13/2018	306743219	GREIG GRIMM 401K &	S	ONEOK INCORPORATED	B	3,009.47	22.00	15.00	7.00	85.0	5.95
04/13/2018	306743219	GREIG GRIMM 401K &	S	PHILIP MORRIS INTERNATIONAL	B	2,577.95	22.00	15.00	7.00	85.0	5.95
04/13/2018	306743219	GREIG GRIMM 401K &	S	RAYTHEON COMPANY NEW	B	2,244.15	22.00	15.00	7.00	85.0	5.95
04/13/2018	306743219	GREIG GRIMM 401K &	S	WELLS FARGO & CO	B	1,606.69	22.00	15.00	7.00	85.0	5.95
04/13/2018	306748800	GREIG GRIMM 401K &	S	CVS HEALTH CORPORATION .	B	6,371.35	22.00	15.00	7.00	85.0	5.95
04/13/2018	306748800	GREIG GRIMM 401K &	S	EXXON-MOBIL CORPORATION	B	7,816.44	22.00	15.00	7.00	85.0	5.95
04/13/2018	306748800	GREIG GRIMM 401K &	S	JOHNSON & JOHNSON	B	6,554.40	22.00	15.00	7.00	85.0	5.95
04/13/2018	306748800	GREIG GRIMM 401K &	S	NEXTERA ENERGY INC	S	-8,002.91	22.00	15.00	7.00	85.0	5.95
04/13/2018	306748800	GREIG GRIMM 401K &	S	UNITED PARCEL SERVICE INC CL B	B	6,457.05	22.00	15.00	7.00	85.0	5.95

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
04/13/2018 306748800	GREIG GRIMM 401K &	S WELLS FARGO & CO	S	-7,065.78	22.00	15.00	7.00	85.0	5.95
04/12/2018 368244918	ROPP, WARREN	S BAXTER INTERNATIONAL INC	S	-4,578.64	22.00	15.00	7.00	85.0	5.95
04/12/2018 368244918	ROPP, WARREN	S CHESAPEAKE UTILITIES CORP.	S	-5,521.67	22.00	15.00	7.00	85.0	5.95
04/12/2018 368244918	ROPP, WARREN	S CVS HEALTH CORPORATION .	B	6,442.35	22.00	15.00	7.00	85.0	5.95
04/12/2018 368244918	ROPP, WARREN	S GARMIN LTD (SWITZERLAND) ISIN	B	8,961.15	22.00	15.00	7.00	85.0	5.95
04/12/2018 368244918	ROPP, WARREN	S MAGELLAN MIDSTREAM PARTNERS LP	B	12,520.31	22.00	15.00	7.00	85.0	5.95
04/03/2018 368268648	HERMANSEN, CHRISTIN	S SOUTHERN COMPANY	S	-4,971.54	15.00	15.00	0.00	85.0	0.00
04/02/2018 368286768	NEDERHOFF, TERRY	S CATERPILLAR INC	S	-4,261.28	22.00	15.00	7.00	85.0	5.95
04/02/2018 368286768	NEDERHOFF, TERRY	S CONOCOPHILLIPS	S	-4,006.41	22.00	15.00	7.00	85.0	5.95
04/02/2018 368286768	NEDERHOFF, TERRY	S ILLINOIS TOOL WORKS INC	B	3,826.93	22.00	15.00	7.00	85.0	5.95
04/02/2018 368286768	NEDERHOFF, TERRY	S ROYAL DUTCH SHELL PLC-SPON ADR	B	6,441.95	22.00	15.00	7.00	85.0	5.95
04/03/2018 368298730	NEDERHOFF, RHONDA	S AT&T INC	B	4,939.15	22.00	15.00	7.00	85.0	5.95
04/03/2018 368298730	NEDERHOFF, RHONDA	S BP PLC-SPONS ADR	B	2,047.85	22.00	15.00	7.00	85.0	5.95
04/03/2018 368298730	NEDERHOFF, RHONDA	S DUKE ENERGY CORP NEW COM NEW	B	3,877.90	22.00	15.00	7.00	85.0	5.95
04/03/2018 368298730	NEDERHOFF, RHONDA	S ENTERPRISE PRODS PARTNERS L	B	3,881.89	22.00	15.00	7.00	85.0	5.95
04/03/2018 368298730	NEDERHOFF, RHONDA	S INTEL CORPORATION	S	-3,446.78	22.00	15.00	7.00	85.0	5.95
04/03/2018 368298730	NEDERHOFF, RHONDA	S JOHNSON & JOHNSON	S	-3,796.89	22.00	15.00	7.00	85.0	5.95
04/03/2018 368298730	NEDERHOFF, RHONDA	S THE COCA-COLA COMPANY	B	3,055.38	22.00	15.00	7.00	85.0	5.95
04/03/2018 368298730	NEDERHOFF, RHONDA	S WELLTOWER INC	B	5,363.25	22.00	15.00	7.00	85.0	5.95
04/25/2018 368429332	FLEMING, ARLENE	S ATMOS ENERGY CORP	S	-4,221.46	22.00	15.00	7.00	85.0	5.95
04/25/2018 368429332	FLEMING, ARLENE	S COMPASS MINERALS INTL INC	B	4,784.45	22.00	15.00	7.00	85.0	5.95
04/25/2018 368429332	FLEMING, ARLENE	S ENTERPRISE PRODS PARTNERS L	B	4,007.85	22.00	15.00	7.00	85.0	5.95
04/25/2018 368429332	FLEMING, ARLENE	S LINCOLN NATIONAL CORPORATION	S	-3,533.97	22.00	15.00	7.00	85.0	5.95
04/06/2018 368432239	FENCL, DANIEL	S BT GROUP PLC ADS	B	3,171.45	15.00	15.00	0.00	85.0	0.00
04/25/2018 368432239	FENCL, DANIEL	S CONOCOPHILLIPS	S	-3,897.47	15.00	15.00	0.00	85.0	0.00
04/25/2018 368432239	FENCL, DANIEL	S GENERAL MILLS INCORPORATED	B	2,006.45	15.00	15.00	0.00	85.0	0.00
04/25/2018 368432239	FENCL, DANIEL	S GLAXOSMITHKLINE PLC ADS	B	2,368.84	15.00	15.00	0.00	85.0	0.00
04/25/2018 368432239	FENCL, DANIEL	S INTEL CORPORATION	S	-1,009.13	15.00	15.00	0.00	85.0	0.00
04/18/2018 368434100	BAILEY, JAMES	S BT GROUP PLC ADS	B	2,632.95	22.00	15.00	7.00	85.0	5.95
04/18/2018 368434100	BAILEY, JAMES	S JOHNSON & JOHNSON	B	1,304.45	22.00	15.00	7.00	85.0	5.95
04/18/2018 368434100	BAILEY, JAMES	S US BANCORP (NEW)	S	-3,471.34	22.00	15.00	7.00	85.0	5.95
04/18/2018 368434100	BAILEY, JAMES	S VERIZON COMMUNICATIONS INC	B	1,485.42	22.00	15.00	7.00	85.0	5.95
04/18/2018 368435370	FULLER, DUANE	S BT GROUP PLC ADS	B	2,459.04	22.00	15.00	7.00	85.0	5.95
04/12/2018 368445153	STANFORD, CHARLES	S AMERICAN ELECTRIC POWER CO	S	-3,002.23	22.00	15.00	7.00	85.0	5.95
04/18/2018 368460506	BAILEY, DEBBIE	S BP PLC-SPONS ADR	S	-2,160.01	22.00	15.00	7.00	85.0	5.95
04/18/2018 368491551	BAILEY, DEBBIE	S AMERIGAS PARTNERS LP	B	2,151.45	22.00	15.00	7.00	85.0	5.95
04/18/2018 368491551	BAILEY, DEBBIE	S COMPASS MINERALS INTL INC	B	1,713.20	22.00	15.00	7.00	85.0	5.95
04/18/2018 368491551	BAILEY, DEBBIE	S EXXON-MOBIL CORPORATION	B	4,007.95	22.00	15.00	7.00	85.0	5.95
04/18/2018 368491551	BAILEY, DEBBIE	S GENERAL MILLS INCORPORATED	B	1,818.45	22.00	15.00	7.00	85.0	5.95
04/18/2018 368491551	BAILEY, DEBBIE	S NOVARTIS AG-ADR	S	-4,052.46	22.00	15.00	7.00	85.0	5.95
04/18/2018 368491551	BAILEY, DEBBIE	S WELLS FARGO & CO	S	-935.60	22.00	15.00	7.00	85.0	5.95
04/02/2018 461493439	LEE TTEE, ELMERNA	S BB&T CORP	S	-3,020.54	22.00	15.00	7.00	85.0	5.95
04/02/2018 461493439	LEE TTEE, ELMERNA	S HUNT J B TRANSPORT SVCS INC	S	-23,214.01	22.00	15.00	7.00	85.0	5.95
04/02/2018 461493439	LEE TTEE, ELMERNA	S MERCK & CO INC NEW	S	-6,121.17	22.00	15.00	7.00	85.0	5.95

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
04/02/2018 461493439	LEE TTEE, ELMERNA	S MICROSOFT CORPORATION	S	-8,819.45	22.00	15.00	7.00	85.0	5.95
04/02/2018 461493439	LEE TTEE, ELMERNA	S VERIZON COMMUNICATIONS INC	S	-4,684.85	22.00	15.00	7.00	85.0	5.95
04/02/2018 461493439	LEE TTEE, ELMERNA	S XCEL ENERGY INC	S	-4,489.55	22.00	15.00	7.00	85.0	5.95
04/10/2018 492027948	MULHOLLEM, PAUL	S ASTRAZENECA P L C-SPONS ADR	B	16,511.01	22.00	15.00	7.00	85.0	5.95
04/10/2018 492027948	MULHOLLEM, PAUL	S BT GROUP PLC ADS	B	17,014.35	22.00	15.00	7.00	85.0	5.95
04/10/2018 492027948	MULHOLLEM, PAUL	S EXXON-MOBIL CORPORATION	B	23,312.76	22.00	15.00	7.00	85.0	5.95
04/10/2018 492027948	MULHOLLEM, PAUL	S HALYARD HEALTH INC	S	-9,385.53	22.00	15.00	7.00	85.0	5.95
04/10/2018 492027948	MULHOLLEM, PAUL	S ILLINOIS TOOL WORKS INC	B	31,179.45	22.00	15.00	7.00	85.0	5.95
04/10/2018 492027948	MULHOLLEM, PAUL	S NOVARTIS AG-ADR	S	-16,351.37	22.00	15.00	7.00	85.0	5.95
04/10/2018 492027948	MULHOLLEM, PAUL	S PROCTER & GAMBLE COMPANY	B	15,686.37	22.00	15.00	7.00	85.0	5.95
04/02/2018 523031281	NEDERHOFF, TERRY	S 3M COMPANY	B	5,294.93	22.00	15.00	7.00	85.0	5.95
04/02/2018 523031281	NEDERHOFF, TERRY	S BB&T CORP	B	5,109.95	22.00	15.00	7.00	85.0	5.95
04/02/2018 523031281	NEDERHOFF, TERRY	S COMPASS MINERALS INTL INC	B	5,964.45	22.00	15.00	7.00	85.0	5.95
04/02/2018 523031281	NEDERHOFF, TERRY	S CVS HEALTH CORPORATION .	B	6,136.15	22.00	15.00	7.00	85.0	5.95
04/02/2018 523031281	NEDERHOFF, TERRY	S EXXON-MOBIL CORPORATION	B	3,666.45	22.00	15.00	7.00	85.0	5.95
04/02/2018 523031281	NEDERHOFF, TERRY	S GENERAL MILLS INCORPORATED	B	3,099.20	22.00	15.00	7.00	85.0	5.95
04/02/2018 523031281	NEDERHOFF, TERRY	S LAMAR ADVERTISING CO-A	B	6,226.35	22.00	15.00	7.00	85.0	5.95
04/02/2018 523031281	NEDERHOFF, TERRY	S PROCTER & GAMBLE COMPANY	B	3,896.40	22.00	15.00	7.00	85.0	5.95
04/02/2018 523031281	NEDERHOFF, TERRY	S UNITED TECHNOLOGIES CORP	B	6,176.90	22.00	15.00	7.00	85.0	5.95
04/20/2018 584956315	GIBBINS, RUTH	S ADVANSIX INC	S	-57.37	15.00	15.00	0.00	85.0	0.00
04/20/2018 584956315	GIBBINS, RUTH	S ATMOS ENERGY CORP	S	-4,163.45	22.00	15.00	7.00	85.0	5.95
04/20/2018 584956315	GIBBINS, RUTH	S BB&T CORP	B	5,278.31	22.00	15.00	7.00	85.0	5.95
04/20/2018 584956315	GIBBINS, RUTH	S BRITISH PETROLEUM PRUDHOE BAY	S	-1,659.64	22.00	15.00	7.00	85.0	5.95
04/20/2018 584956315	GIBBINS, RUTH	S BT GROUP PLC ADS	B	5,139.42	22.00	15.00	7.00	85.0	5.95
04/20/2018 584956315	GIBBINS, RUTH	S EXXON-MOBIL CORPORATION	B	5,912.69	22.00	15.00	7.00	85.0	5.95
04/20/2018 584956315	GIBBINS, RUTH	S GARMIN LTD (SWITZERLAND) ISIN	B	5,899.25	22.00	15.00	7.00	85.0	5.95
04/20/2018 584956315	GIBBINS, RUTH	S HALYARD HEALTH INC	S	-266.82	15.00	15.00	0.00	85.0	0.00
04/20/2018 584956315	GIBBINS, RUTH	S L BRANDS INC	B	3,450.35	22.00	15.00	7.00	85.0	5.95
04/20/2018 584956315	GIBBINS, RUTH	S LAMB WESTON HOLDING INC	S	-2,119.84	22.00	15.00	7.00	85.0	5.95
04/20/2018 584956315	GIBBINS, RUTH	S PFIZER INCORPORATED	B	3,675.95	22.00	15.00	7.00	85.0	5.95
04/20/2018 584956315	GIBBINS, RUTH	S ROYAL DUTCH SHELL PLC-SPONS ADR	B	3,548.45	22.00	15.00	7.00	85.0	5.95
04/20/2018 584956315	GIBBINS, RUTH	S WELLTOWER INC	B	5,139.35	22.00	15.00	7.00	85.0	5.95
04/18/2018 646265963	FULLER, DUANE	S COMPASS MINERALS INTL INC	B	3,391.95	22.00	15.00	7.00	85.0	5.95
04/18/2018 646265963	FULLER, DUANE	S GLAXOSMITHKLINE PLC ADS	B	2,049.95	22.00	15.00	7.00	85.0	5.95
04/18/2018 646265963	FULLER, DUANE	S PROCTER & GAMBLE COMPANY	B	1,196.85	22.00	15.00	7.00	85.0	5.95
04/18/2018 646265963	FULLER, DUANE	S VERIZON COMMUNICATIONS INC	B	1,240.93	22.00	15.00	7.00	85.0	5.95
04/18/2018 646274889	FULLER, NANCY	S GENERAL MILLS INCORPORATED	B	1,594.73	22.00	15.00	7.00	85.0	5.95
04/18/2018 646274889	FULLER, NANCY	S PEPSICO INCORPORATED	B	2,700.70	22.00	15.00	7.00	85.0	5.95
04/18/2018 646274889	FULLER, NANCY	S PROCTER & GAMBLE COMPANY	B	1,586.85	22.00	15.00	7.00	85.0	5.95
04/18/2018 646274889	FULLER, NANCY	S WELLTOWER INC	B	5,323.25	22.00	15.00	7.00	85.0	5.95
04/19/2018 646791729	RIPPEL TTEE, SONDR	S CONOCOPHILLIPS	S	-6,541.50	22.00	15.00	7.00	85.0	5.95
04/19/2018 646791729	RIPPEL TTEE, SONDR	S NOVARTIS AG-ADR	S	-7,888.87	22.00	15.00	7.00	85.0	5.95
04/12/2018 646841126	ROPP, WARREN	S ATMOS ENERGY CORP	S	-4,124.46	22.00	15.00	7.00	85.0	5.95
04/12/2018 646841126	ROPP, WARREN	S BT GROUP PLC ADS	B	8,604.40	22.00	15.00	7.00	85.0	5.95

**COMMISSION STATEMENT for DAN FENCL-D022**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
04/12/2018 646841126	ROPP, WARREN	S DIEBOLD NIXDORF FORMERLY	S	-1,497.51	22.00	15.00	7.00	85.0	5.95
04/12/2018 646841126	ROPP, WARREN	S FNB CORPORATION -PA- HERMITAGE	S	-3,999.66	22.00	15.00	7.00	85.0	5.95
04/12/2018 646841126	ROPP, WARREN	S HONEYWELL INTERNATIONAL INC.	B	2,965.05	22.00	15.00	7.00	85.0	5.95
04/12/2018 646841126	ROPP, WARREN	S ILLINOIS TOOL WORKS INC	B	4,720.02	22.00	15.00	7.00	85.0	5.95
04/12/2018 646841126	ROPP, WARREN	S L BRANDS INC	B	7,266.09	22.00	15.00	7.00	85.0	5.95
04/12/2018 646841126	ROPP, WARREN	S LOUISIANA-PACIFIC CORPORATION	S	-4,496.45	22.00	15.00	7.00	85.0	5.95
04/12/2018 646841126	ROPP, WARREN	S MCDONALDS CORPORATION	B	4,927.05	22.00	15.00	7.00	85.0	5.95
04/12/2018 646841126	ROPP, WARREN	S NOVARTIS AG-ADR	S	-4,049.51	22.00	15.00	7.00	85.0	5.95
04/12/2018 646841126	ROPP, WARREN	S OCCIDENTAL PETROLEUM CORP	B	3,604.90	22.00	15.00	7.00	85.0	5.95
04/12/2018 646841126	ROPP, WARREN	S ROYAL DUTCH SHELL PLC-SPONS ADR	B	6,836.35	22.00	15.00	7.00	85.0	5.95
04/12/2018 646841126	ROPP, WARREN	S SMUCKER J M COMPANY NEW	B	4,928.01	22.00	15.00	7.00	85.0	5.95
04/12/2018 646841126	ROPP, WARREN	S WELLTOWER INC	B	5,292.25	22.00	15.00	7.00	85.0	5.95
04/12/2018 677654176	FENCL, DANIEL	S BT GROUP PLC ADS	B	5,153.39	15.00	15.00	0.00	85.0	0.00
04/12/2018 677654176	FENCL, DANIEL	S CAPSTONE TURBINE CORP NEW	B	937.45	15.00	15.00	0.00	85.0	0.00
04/12/2018 677654176	FENCL, DANIEL	S GENERAL ELECTRIC COMPANY	B	2,003.30	15.00	15.00	0.00	85.0	0.00
04/12/2018 677654176	FENCL, DANIEL	S NOVARTIS AG-ADR	S	-7,730.76	15.00	15.00	0.00	85.0	0.00
04/25/2018 677654176	FENCL, DANIEL	S ABBOTT LABORATORIES INC	S	-2,906.49	15.00	15.00	0.00	85.0	0.00
04/25/2018 677654176	FENCL, DANIEL	S GENERAL MILLS INCORPORATED	B	2,227.45	15.00	15.00	0.00	85.0	0.00
<b>* STOCKS SUBTOTAL *</b>				<b>\$209,392.74</b>	<b>\$2,682.00</b>	<b>\$1,905.00</b>	<b>\$777.00</b>		<b>\$660.45</b>

**PRODUCT CATEGORY: MISCELLANEOUS**

04/04/2018 a03148327	FENCL-D022, DAN	MI MUTUAL FUND MISC FEE 368298730	S	0.00	10.00	0.00	10.00	85.0	8.50
04/04/2018 a03148327	FENCL-D022, DAN	MI MUTUAL FUND MISC FEE 368298730	S	0.00	10.00	0.00	10.00	85.0	8.50
04/06/2018 a03148327	FENCL-D022, DAN	MI MUTUAL FUND MISC FEE 523046620	S	0.00	10.00	0.00	10.00	85.0	8.50
04/26/2018 a03148327	FENCL-D022, DAN	MI MUTUAL FUND MISC FEE 368430692	S	0.00	10.00	0.00	10.00	85.0	8.50
04/26/2018 a03148327	FENCL-D022, DAN	MI MUTUAL FUND MISC FEE 368430692	S	0.00	10.00	0.00	10.00	85.0	8.50
<b>* MISCELLANEOUS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$50.00</b>	<b>\$0.00</b>	<b>\$50.00</b>		<b>\$42.50</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

03/29/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.24	0.00	0.24	85.0	0.20
03/29/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.24	0.00	0.24	85.0	0.20
03/29/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.29	0.00	0.29	85.0	0.25
03/29/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.36	0.00	0.36	85.0	0.31
03/29/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.40	0.00	0.40	85.0	0.34
03/29/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.46	0.00	0.46	85.0	0.39
03/29/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.46	0.00	0.46	85.0	0.39
03/29/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.48	0.00	0.48	85.0	0.41
03/29/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.50	0.00	0.50	85.0	0.43
03/29/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.57	0.00	0.57	85.0	0.48
03/29/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.72	0.00	0.72	85.0	0.61
03/29/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.76	0.00	0.76	85.0	0.65
03/29/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.83	0.00	0.83	85.0	0.71
03/29/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.94	0.00	0.94	85.0	0.80
03/29/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	1.01	0.00	1.01	85.0	0.86
03/29/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	1.89	0.00	1.89	85.0	1.61

COMMISSION STATEMENT for DAN FENCL-D022

				INVESTMENT		GROSS	CLEARING	NET	BROKER		
TRADE DATE	CLIENT			INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
03/29/2018	mfc148327	FENCL	148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.11	0.00	0.11	85.0	0.09
03/29/2018	mfc148327	FENCL	148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.18	0.00	0.18	85.0	0.15
03/29/2018	mfc148327	FENCL	148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.29	0.00	0.29	85.0	0.25
03/29/2018	mfc148327	FENCL	148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.48	0.00	0.48	85.0	0.41
03/29/2018	mfc148327	FENCL	148327	MFT MULTI-ASSET BALANCED	B	0.00	0.11	0.00	0.11	85.0	0.09
03/29/2018	mfc148327	FENCL	148327	MFT MULTI-ASSET BALANCED	B	0.00	0.18	0.00	0.18	85.0	0.15
04/12/2018	mfc148327	FENCL	148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.29	0.00	0.29	85.0	0.25
04/12/2018	mfc148327	FENCL	148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.38	0.00	0.38	85.0	0.32
04/12/2018	mfc148327	FENCL	148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.44	0.00	0.44	85.0	0.37
04/12/2018	mfc148327	FENCL	148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.44	0.00	0.44	85.0	0.37
04/12/2018	mfc148327	FENCL	148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.44	0.00	0.44	85.0	0.37
04/12/2018	mfc148327	FENCL	148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.48	0.00	0.48	85.0	0.41
04/12/2018	mfc148327	FENCL	148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.51	0.00	0.51	85.0	0.43
04/12/2018	mfc148327	FENCL	148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.51	0.00	0.51	85.0	0.43
04/12/2018	mfc148327	FENCL	148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.51	0.00	0.51	85.0	0.43
04/12/2018	mfc148327	FENCL	148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.57	0.00	0.57	85.0	0.48
04/12/2018	mfc148327	FENCL	148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.76	0.00	0.76	85.0	0.65
04/12/2018	mfc148327	FENCL	148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.84	0.00	0.84	85.0	0.71
04/12/2018	mfc148327	FENCL	148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.85	0.00	0.85	85.0	0.72
04/12/2018	mfc148327	FENCL	148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	1.02	0.00	1.02	85.0	0.87
04/12/2018	mfc148327	FENCL	148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	1.12	0.00	1.12	85.0	0.95
04/12/2018	mfc148327	FENCL	148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	1.90	0.00	1.90	85.0	1.62
04/12/2018	mfc148327	FENCL	148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.11	0.00	0.11	85.0	0.09
04/12/2018	mfc148327	FENCL	148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.18	0.00	0.18	85.0	0.15
04/12/2018	mfc148327	FENCL	148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.29	0.00	0.29	85.0	0.25
04/12/2018	mfc148327	FENCL	148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.48	0.00	0.48	85.0	0.41
04/12/2018	mfc148327	FENCL	148327	MFT MULTI-ASSET BALANCED	B	0.00	0.11	0.00	0.11	85.0	0.09
04/12/2018	mfc148327	FENCL	148327	MFT MULTI-ASSET BALANCED	B	0.00	0.18	0.00	0.18	85.0	0.15
04/20/2018	mfc148327	FENCL	148327	MFT LIFESTYLE BALANCED PORTFOLIO C	B	0.00	3.03	0.00	3.03	85.0	2.58
04/20/2018	mfc148327	FENCL	148327	MFT LIFESTYLE GROWTH PORTFOLIO C	B	0.00	7.86	0.00	7.86	85.0	6.68
04/20/2018	mfc148327	FENCL	148327	MFT LIFESTYLE GROWTH PORTFOLIO C	B	0.00	7.86	0.00	7.86	85.0	6.68
04/20/2018	mfc148327	FENCL	148327	MFT LIFESTYLE GROWTH PORTFOLIO C	B	0.00	7.86	0.00	7.86	85.0	6.68
04/20/2018	mfc148327	FENCL	148327	MFT LIFESTYLE MODERATE PORTFOLIO C	B	0.00	2.00	0.00	2.00	85.0	1.70
04/20/2018	mfc148327	FENCL	148327	MFT LIFESTYLE MODERATE PORTFOLIO C	B	0.00	3.19	0.00	3.19	85.0	2.71
04/20/2018	mfc148327	FENCL	148327	MFT LORD ABBETT HIGH YIELD MUNI	B	0.00	0.01	0.00	0.01	85.0	0.01
04/20/2018	mfc148327	FENCL	148327	MFT LORD ABBETT HIGH YIELD MUNI	B	0.00	2.34	0.00	2.34	85.0	1.99
04/20/2018	mfc148327	FENCL	148327	MFT OPPENHEIMER INTL BOND FUND C	B	0.00	0.01	0.00	0.01	85.0	0.01
04/20/2018	mfc148327	FENCL	148327	MFT PACIFIC PORT OPTIMA MOD- AGGR	B	0.00	344.24	0.00	344.24	85.0	292.60
04/20/2018	mfc148327	FENCL	148327	MFT REGIONAL BANK C	B	0.00	1.10	0.00	1.10	85.0	0.94
04/26/2018	mfc148327	FENCL	148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.29	0.00	0.29	85.0	0.25
04/26/2018	mfc148327	FENCL	148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.35	0.00	0.35	85.0	0.30
04/26/2018	mfc148327	FENCL	148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.42	0.00	0.42	85.0	0.36
04/26/2018	mfc148327	FENCL	148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.42	0.00	0.42	85.0	0.36
04/26/2018	mfc148327	FENCL	148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.47	0.00	0.47	85.0	0.40

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
04/26/2018	mfc148327 FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.47	0.00	0.47	85.0	0.40
04/26/2018	mfc148327 FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.48	0.00	0.48	85.0	0.41
04/26/2018	mfc148327 FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.48	0.00	0.48	85.0	0.41
04/26/2018	mfc148327 FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.48	0.00	0.48	85.0	0.41
04/26/2018	mfc148327 FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.53	0.00	0.53	85.0	0.45
04/26/2018	mfc148327 FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.69	0.00	0.69	85.0	0.59
04/26/2018	mfc148327 FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.83	0.00	0.83	85.0	0.71
04/26/2018	mfc148327 FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.83	0.00	0.83	85.0	0.71
04/26/2018	mfc148327 FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.92	0.00	0.92	85.0	0.78
04/26/2018	mfc148327 FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	1.23	0.00	1.23	85.0	1.05
04/26/2018	mfc148327 FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	1.78	0.00	1.78	85.0	1.51
04/26/2018	mfc148327 FENCL 148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.11	0.00	0.11	85.0	0.09
04/26/2018	mfc148327 FENCL 148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.18	0.00	0.18	85.0	0.15
04/26/2018	mfc148327 FENCL 148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.29	0.00	0.29	85.0	0.25
04/26/2018	mfc148327 FENCL 148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.48	0.00	0.48	85.0	0.41
04/26/2018	mfc148327 FENCL 148327	MFT MULTI-ASSET BALANCED	B	0.00	0.11	0.00	0.11	85.0	0.09
04/26/2018	mfc148327 FENCL 148327	MFT MULTI-ASSET BALANCED	B	0.00	0.18	0.00	0.18	85.0	0.15
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$415.43</b>	<b>\$0.00</b>	<b>\$415.43</b>		<b>\$353.12</b>
<b>*** CLEARING TRANSACTIONS TOTAL ***</b>				<b>\$197,170.06</b>	<b>\$3,147.43</b>	<b>\$1,937.50</b>	<b>\$1,209.93</b>		<b>\$1,028.44</b>

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

04/02/2018 (None) VARIOUS, FENCL	MF	JOHN HANCOCK MUTUAL FUND	B	0.00	4.02	0.00	4.02	85.0	3.42
04/13/2018 (None) VARIOUS, FENCL	MF	JOHN HANCOCK MUTUAL FUND	B	0.00	7.98	0.00	7.98	85.0	6.78
04/02/2018 06206144790 BERRY, DIANA	MF	PIONEER FUND A	B	100.00	4.00	0.00	4.00	85.0	3.40
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$100.00</b>	<b>\$16.00</b>	<b>\$0.00</b>	<b>\$16.00</b>		<b>\$13.60</b>

PRODUCT CATEGORY: VARIABLE ANNUITIES

04/20/2018 (None) ROCHE, DAVID	VA	NATIONWIDE	B	30.00	1.58	0.00	1.58	85.0	1.34
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$30.00</b>	<b>\$1.58</b>	<b>\$0.00</b>	<b>\$1.58</b>		<b>\$1.34</b>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/31/2018 (None) VARIOUS, FENCL	MFT	JOHN HANCOCK MUTUAL FUND	B	0.00	343.70	0.00	343.70	85.0	292.15
03/31/2018 (None) VARIOUS, FENCL	MFT	PRINCIPAL	B	0.00	63.91	0.00	63.91	85.0	54.32
04/13/2018 00026011567 PULS, JERRY	MFT	DEUTSCHE CROCI EQUITY DIVIDEND	B	0.00	25.81	0.00	25.81	85.0	21.94
04/13/2018 00026011567 PULS, JERRY	MFT	DEUTSCHE CORE EQUITY FUND-C	B	0.00	16.00	0.00	16.00	85.0	13.60
04/13/2018 00026011567 PULS, JERRY	MFT	DEUTSCHE ENHANCED COMMODITY	B	0.00	4.61	0.00	4.61	85.0	3.92
04/13/2018 00026011567 PULS, JERRY	MFT	DEUTSCHE MID CAP VALUE FUND-C	B	0.00	14.71	0.00	14.71	85.0	12.50
04/13/2018 00026011567 PULS, JERRY	MFT	DEUTSCHE SMALL CAP CORE FUND-C	B	0.00	6.16	0.00	6.16	85.0	5.24
04/13/2018 00026011567 PULS, JERRY	MFT	DWS1	B	0.00	8.18	0.00	8.18	85.0	6.95
04/13/2018 00089161822 KING, DUANE	MFT	DEUTSCHE CAPITAL GROWTH FUND-A	B	0.00	10.58	0.00	10.58	85.0	8.99
04/13/2018 00089368987 STEFFEN, CAROL	MFT	DEUTSCHE CROCI INTERNATIONAL	B	0.00	1.04	0.00	1.04	85.0	0.88
04/13/2018 00089368987 STEFFEN, CAROL	MFT	DEUTSCHE ENHANCED GLOBAL BOND	B	0.00	3.06	0.00	3.06	85.0	2.60

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
04/13/2018	00089368987	STEFFEN, CAROL	MFT DEUTSCHE SMALL CAP VALUE FUND-A	B	0.00	0.68	0.00	0.68	85.0	0.58
04/13/2018	00089368987	STEFFEN, CAROL	MFT DEUTSCHE SMALL CAP CORE FUND-A	B	0.00	0.86	0.00	0.86	85.0	0.73
04/13/2018	00089531114	CLARK, TRACY	MFT DEUTSCHE SMALL CAP GROWTH	B	0.00	36.42	0.00	36.42	85.0	30.96
04/13/2018	00133355452	EDEKER, TROY	MFT DEUTSCHE GLOBAL SMALL CAP	B	0.00	0.51	0.00	0.51	85.0	0.43
04/06/2018	01001676965	VERDON, MICHAEL	MFT WELLS FARGO OPPORTUNITY-A	B	0.00	1.87	0.00	1.87	85.0	1.59
04/06/2018	01001676965	VERDON, MICHAEL	MFT WELLS FARGO DIVERSFD CAPITAL	B	0.00	1.70	0.00	1.70	85.0	1.45
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$539.80	\$0.00	\$539.80		\$458.83
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS										
04/04/2018	(None)	LEE, ELMERNA	VAT ZURICH	B	0.00	4.48	0.00	4.48	85.0	3.81
04/06/2018	(None)	VARIOUS, FENCL	VAT TRANSAMERICA LIFE	B	0.00	143.26	0.00	143.26	85.0	121.77
04/06/2018	(None)	VARIOUS, FENCL	VAT TRANSAMERICA LIFE	B	0.00	4.58	0.00	4.58	85.0	3.89
04/09/2018	VA96265060	MARQUETTE, LEO	VAT PACIFIC LIFE VA 694474206	B	0.00	32.36	0.00	32.36	85.0	27.51
04/12/2018	VA99151480	HARN, MARGARET	VAT PACIFIC LIFE CUSIP 694474107	B	0.00	36.50	0.00	36.50	85.0	31.03
04/16/2018	VA99319930	MARK	VAT PACIFIC LIFE CUSIP 694474107	B	0.00	87.30	0.00	87.30	85.0	74.21
04/16/2018	VM14002832	BEST	VAT PACIFIC LIFE VA 69448B175	B	0.00	664.93	0.00	664.93	85.0	565.19
04/18/2018	VM16005581	RIPPEL, SONDRRA	VAT PACIFIC LIFE VA 69448B191	B	0.00	608.17	0.00	608.17	85.0	516.94
04/17/2018	VR00011137	PAISLEY, RUTH	VAT PACIFIC LIFE CUSIP 694474107	B	0.00	21.09	0.00	21.09	85.0	17.93
04/13/2018	VR01021777	DUCKWORTH	VAT PACIFIC LIFE VA 694474883	B	0.00	23.33	0.00	23.33	85.0	19.83
04/16/2018	VR03024366	SBIRAL, SUSAN	VAT PACIFIC LIFE VA 694474586	B	0.00	73.02	0.00	73.02	85.0	62.07
04/11/2018	VR05042587	DUNHAM, ARTHUR	VAT PACIFIC LIFE VA 694474610	B	0.00	87.50	0.00	87.50	85.0	74.38
04/10/2018	VR06078033	CLEMMENSEN	VAT PACIFIC LIFE VA 694474594	B	0.00	21.47	0.00	21.47	85.0	18.25
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *					\$0.00	\$1,807.99	\$0.00	\$1,807.99		\$1,536.81
*** DIRECT TRANSACTIONS TOTAL ***					\$130.00	\$2,365.37	\$0.00	\$2,365.37		\$2,010.58

OVERRIDE TRANSACTIONS**BROKER: SARAH BRAUNSHAUSEN-D062 #13**

04/12/2018	00073381900	FBO TAYLOR WILEY, MF	THE GROWTH FUND OF AMERICA-A	B	100.00	5.00	0.00	5.00	3.00
04/12/2018	00073382069	FBO JACOB WILEY, MF	THE GROWTH FUND OF AMERICA-A	B	100.00	5.00	0.00	5.00	3.00
04/12/2018	00073458983	FBO OLIVIA WHITE, MF	THE GROWTH FUND OF AMERICA-A	B	100.00	5.00	0.00	5.00	3.00
04/12/2018	00073459694	FBO SYDNEY WHITE, MF	THE GROWTH FUND OF AMERICA-A	B	100.00	5.00	0.00	5.00	3.00
04/12/2018	00081762277	FBO ANDREW	MF THE GROWTH FUND OF AMERICA-A	B	100.00	4.25	0.00	4.25	2.55
04/12/2018	00087703969	FBO SAMUEL ISAAC	MF THE GROWTH FUND OF AMERICA-A	B	100.00	4.25	0.00	4.25	2.55
04/16/2018	152901006	BRAUNSHAUSEN,	MF AMERICAN BALANCED FUND-A	B	-2,987.55	0.00	5.00	-5.00	-3.00
04/13/2018	646107186	ROUSE, CHELSEY	MF AMCAP FUND-F1	S	122.45	0.00	2.50	-2.50	-1.50
04/13/2018	646107186	ROUSE, CHELSEY	MF FUNDAMENTAL INVESTORS FUND	S	82.45	0.00	2.50	-2.50	-1.50
04/13/2018	646230641	CHRISTENSEN,	MF AMERICAN FDS WA MUTUAL FD CL F2	S	87.45	0.00	2.50	-2.50	-1.50
04/13/2018	646230641	CHRISTENSEN,	MF THE GROWTH FUND OF AMERICA-F2	S	167.45	0.00	2.50	-2.50	-1.50
04/09/2018	368434370	BRAUNSHAUSEN,	S BB&T CORP	B	6,051.59	22.00	15.00	7.00	4.20
04/05/2018	368437852	BRAUNSHAUSEN,	S BB&T CORP	B	4,252.05	22.00	15.00	7.00	4.20
04/17/2018	a03076832	BRAUNSHAUSEN-D062,	MI MUTUAL FUND MISC FEE 152901006	S	0.00	10.00	0.00	10.00	6.00
04/20/2018	mfc076832	BRAUNSHAUSEN 076832	MFT AMCAP FUND-F1	B	0.00	0.72	0.00	0.72	0.43



COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
04/20/2018	mfc076832	BRAUNSHAUSEN 076832 MFT AMERICAN BALANCED FUND-A	B	0.00	4.86	0.00	4.86		2.92
04/20/2018	mfc076832	BRAUNSHAUSEN 076832 MFT AMERICAN BALANCED FUND-F1	B	0.00	1.09	0.00	1.09		0.65
04/20/2018	mfc076832	BRAUNSHAUSEN 076832 MFT AMERICAN MUTUAL FUND-F1	B	0.00	0.92	0.00	0.92		0.55
04/20/2018	mfc076832	BRAUNSHAUSEN 076832 MFT CAPITAL INCOME BUILDER-A	B	0.00	8.55	0.00	8.55		5.13
04/20/2018	mfc076832	BRAUNSHAUSEN 076832 MFT COLUMBIA DIVIDEND OPPORTUNITY	B	0.00	10.87	0.00	10.87		6.52
04/20/2018	mfc076832	BRAUNSHAUSEN 076832 MFT COLUMBIA GLOBAL EQUITY VALUE-A	B	0.00	11.33	0.00	11.33		6.80
04/20/2018	mfc076832	BRAUNSHAUSEN 076832 MFT COLUMBIA LARGE CAP GROWTH FUND	B	0.00	9.77	0.00	9.77		5.86
04/20/2018	mfc076832	BRAUNSHAUSEN 076832 MFT FUNDAMENTAL INVESTORS FUND	B	0.00	0.46	0.00	0.46		0.28
04/20/2018	mfc076832	BRAUNSHAUSEN 076832 MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	1.15	0.00	1.15		0.69
<b>* SARAH BRAUNSHAUSEN-D062 SUBTOTAL *</b>				<b>\$8,375.89</b>	<b>\$132.22</b>	<b>\$45.00</b>	<b>\$87.22</b>		<b>\$52.33</b>

**BROKER: SPENCER JOHNSON-383056 #30**

03/29/2018	mfc383056	JOHNSON SPENCER	MFT CALIBRATED DIVIDEND GROWTH C	B	0.00	0.33	0.00	0.33	0.21
03/29/2018	mfc383056	JOHNSON SPENCER	MFT CALIBRATED DIVIDEND GROWTH C	B	0.00	0.77	0.00	0.77	0.49
03/29/2018	mfc383056	JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	0.49	0.00	0.49	0.31
03/29/2018	mfc383056	JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	0.65	0.00	0.65	0.42
03/29/2018	mfc383056	JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	1.62	0.00	1.62	1.04
03/29/2018	mfc383056	JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	2.16	0.00	2.16	1.38
04/12/2018	mfc383056	JOHNSON SPENCER	MFT CALIBRATED DIVIDEND GROWTH C	B	0.00	0.29	0.00	0.29	0.19
04/12/2018	mfc383056	JOHNSON SPENCER	MFT CALIBRATED DIVIDEND GROWTH C	B	0.00	0.68	0.00	0.68	0.44
04/12/2018	mfc383056	JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	0.37	0.00	0.37	0.24
04/12/2018	mfc383056	JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	0.65	0.00	0.65	0.42
04/12/2018	mfc383056	JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	1.25	0.00	1.25	0.80
04/12/2018	mfc383056	JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	2.18	0.00	2.18	1.40
04/26/2018	mfc383056	JOHNSON SPENCER	MFT CALIBRATED DIVIDEND GROWTH C	B	0.00	0.42	0.00	0.42	0.27
04/26/2018	mfc383056	JOHNSON SPENCER	MFT CALIBRATED DIVIDEND GROWTH C	B	0.00	0.98	0.00	0.98	0.63
04/26/2018	mfc383056	JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	0.39	0.00	0.39	0.25
04/26/2018	mfc383056	JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	0.62	0.00	0.62	0.40
04/26/2018	mfc383056	JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	1.31	0.00	1.31	0.84
04/26/2018	mfc383056	JOHNSON SPENCER	MFT FUNDAMENTAL EQUITY C	B	0.00	2.06	0.00	2.06	1.32
<b>* SPENCER JOHNSON-383056 SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$17.22</b>	<b>\$0.00</b>	<b>\$17.22</b>		<b>\$11.05</b>
<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>				<b>\$8,375.89</b>	<b>\$149.44</b>	<b>\$45.00</b>	<b>\$104.44</b>		<b>\$63.38</b>

ADJUSTMENTS

04/30/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
04/30/2018	:	BROKER WORKSTATION-HARN	0.00	0.00	0.00	0.00	-30.00
04/30/2018	:	BROKER WORKSTATION-JOHNSON	0.00	0.00	0.00	0.00	-30.00
04/30/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
04/30/2018	:	E-MAIL ACCOUNT-HARN	0.00	0.00	0.00	0.00	-25.00
04/30/2018	:	E-MAIL ACCOUNT-JOHNSON	0.00	0.00	0.00	0.00	-25.00
04/30/2018	:	MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	84.89

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-80.11

BROKER COMMISSION TOTALS

Broker Transactions	\$3,039.02
Split Transactions	\$0.00
Override Transactions	\$63.38
Adjustments	\$-80.11
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$3,022.29
Year-to-date Commissions	\$30,016.46