

## **COMMISSION STATEMENT**

November 30, 2017

BARRY POMP

21 HANCOCK COURT

EAST BRUNSWICK, NJ 08816

BROKER #: 8

BRANCH: HOME OFFICE

COMMISSION STATEMENT 1	for	BARRY	POMP
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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MISCELLANEOUS									
11/30/2017	VARIOUS, POMP	QGV891088	В	0.18	0.18	0.00	0.18	50.0	0.09
11/30/2017	VARIOUS, POMP	QGV891096	В	0.00	3,267.56	0.00	3,267.56	50.0	1,633.78
11/30/2017	VARIOUS, POMP	RBC 755-99826	В	0.00	2,378.99	0.00	2,378.99	50.0	1,189.50
		* MISCELLANEOUS SUBTOTAL *		\$0.18	\$5,646.73	\$0.00	\$5,646.73		\$2,823.37
		*** BROKER TRANSACTIONS TOTAL ***		\$0.18	\$5,646.73	\$0.00	\$5,646.73		\$2,823.37
<u>ADJUSTMENTS</u>									
11/30/2017		OTHER: BLOOMBERG		0.00	0.00	0.00	0.00		-952.50
11/30/2017		OTHER: COMPLIANCE AND	rechnology	0.00	0.00	0.00	0.00		-20.00
11/30/2017		OTHER: OTHER-360 OFFICE	€	0.00	0.00	0.00	0.00		-8.00
11/30/2017		OTHER: OTHER-TICKET CHA	ARGES	0.00	0.00	0.00	0.00		-110.00
		*** ADJUSTME	ENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-1,090.50

## Year-to-date Earnings

## BROKER COMMISSION TOTALS

Check Amount	\$1,463.12
SIPC Assessment	\$0.00
FINRA Assessment	\$0.00
Prior Period Balance	\$-269.75
Base Salary	\$0.00
Payroll Draw	\$0.00
Adjustments	\$-1,090.50
Override Transactions	\$0.00
Split Transactions	\$0.00
Broker Transactions	\$2,823.37

\$9,808.37