

COMMISSION STATEMENT

July 31, 2018

FIRM 1-ACCOUNTS

BROKER #: 76

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for FIRM 1-ACCOUNTS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
02/08/2018	75599824 RF LAFFERTY & CO	MEDICINOVA INC	S	4,525.00	0.00	0.00	0.00	80.0	0.00
02/08/2018	75599824 RF LAFFERTY & CO	MEDICINOVA INC	B	-4,525.00	0.00	0.00	0.00	80.0	0.00 C
02/08/2018	75599824 RF LAFFERTY & CO	MEDICINOVA INC	S	9,050.00	0.00	0.00	0.00	80.0	0.00
02/08/2018	75599824 RF LAFFERTY & CO	MEDICINOVA INC	B	-9,050.00	0.00	0.00	0.00	80.0	0.00 C
02/08/2018	75599824 RF LAFFERTY & CO	MEDICINOVA INC	S	13,575.00	0.00	0.00	0.00	80.0	0.00
02/08/2018	75599824 RF LAFFERTY & CO	MEDICINOVA INC	B	-13,575.00	0.00	0.00	0.00	80.0	0.00 C
02/08/2018	75599824 RF LAFFERTY & CO	MEDICINOVA INC	S	13,575.00	0.00	0.00	0.00	80.0	0.00
02/08/2018	75599824 RF LAFFERTY & CO	MEDICINOVA INC	B	-13,575.00	0.00	0.00	0.00	80.0	0.00 C
02/08/2018	75599824 RF LAFFERTY & CO	MEDICINOVA INC	S	13,575.00	0.00	0.00	0.00	80.0	0.00
02/08/2018	75599824 RF LAFFERTY & CO	MEDICINOVA INC	B	-13,575.00	0.00	0.00	0.00	80.0	0.00 C
07/26/2018	75599824 RF LAFFERTY & CO	BERRY PETE CORP COM	B	34,557.50	0.00	0.00	0.00	80.0	0.00
07/26/2018	75599824 RF LAFFERTY & CO	BERRY PETE CORP COM	S	7,000.00	0.00	0.00	0.00	80.0	0.00
07/26/2018	75599824 RF LAFFERTY & CO	BERRY PETE CORP COM	S	14,000.00	0.00	0.00	0.00	80.0	0.00
07/26/2018	75599824 RF LAFFERTY & CO	BERRY PETE CORP COM	S	14,000.00	0.00	0.00	0.00	80.0	0.00
08/02/2018	75599824 RF LAFFERTY & CO	THERAPEUTICSMD INC	B	1,502.46	0.00	0.00	0.00	80.0	0.00
08/02/2018	75599824 RF LAFFERTY & CO	THERAPEUTICSMD INC	S	1,530.00	0.00	0.00	0.00	80.0	0.00
08/03/2018	75599824 RF LAFFERTY & CO	ARLO TECHNOLOGIES INC COMMON STOCK	B	4,699.20	0.00	0.00	0.00	80.0	0.00
08/03/2018	75599824 RF LAFFERTY & CO	ARLO TECHNOLOGIES INC COMMON STOCK	S	4,800.00	0.00	0.00	0.00	80.0	0.00
08/09/2018	75599824 RF LAFFERTY & CO	CENTRAL GARDEN & PET CO CL A NON-VT	B	22,001.85	0.00	0.00	0.00	80.0	0.00
08/09/2018	75599824 RF LAFFERTY & CO	CENTRAL GARDEN & PET CO CL A NON-VT	S	11,100.00	0.00	0.00	0.00	80.0	0.00
08/09/2018	75599824 RF LAFFERTY & CO	CENTRAL GARDEN & PET CO CL A NON-VT	S	11,100.00	0.00	0.00	0.00	80.0	0.00
08/09/2018	75599824 RF LAFFERTY & CO	GRAFTECH INTL LTD COM	S	10,000.00	0.00	0.00	0.00	80.0	0.00
08/09/2018	75599824 RF LAFFERTY & CO	GRAFTECH INTL LTD COM	S	10,000.00	0.00	0.00	0.00	80.0	0.00
08/09/2018	75599824 RF LAFFERTY & CO	GRAFTECH INTL LTD COM	S	8,000.00	0.00	0.00	0.00	80.0	0.00
08/09/2018	75599824 RF LAFFERTY & CO	GRAFTECH INTL LTD COM	B	47,622.00	0.00	0.00	0.00	80.0	0.00
08/09/2018	75599824 RF LAFFERTY & CO	GRAFTECH INTL LTD COM	S	20,000.00	0.00	0.00	0.00	80.0	0.00
08/10/2018	75599824 RF LAFFERTY & CO	RESTORATION ROBOTICS INC COMMON STO	B	40,383.75	0.00	0.00	0.00	80.0	0.00
08/10/2018	75599824 RF LAFFERTY & CO	RESTORATION ROBOTICS INC COMMON STO	S	2,250.00	0.00	0.00	0.00	80.0	0.00
08/10/2018	75599824 RF LAFFERTY & CO	RESTORATION ROBOTICS INC COMMON STO	S	9,000.00	0.00	0.00	0.00	80.0	0.00
08/10/2018	75599824 RF LAFFERTY & CO	RESTORATION ROBOTICS INC COMMON STO	S	7,500.00	0.00	0.00	0.00	80.0	0.00
08/10/2018	75599824 RF LAFFERTY & CO	RESTORATION ROBOTICS INC COMMON STO	S	7,500.00	0.00	0.00	0.00	80.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
08/10/2018	75599824 RF LAFFERTY & CO	RESTORATION ROBOTICS INC COMMON STO	S	7,500.00	0.00	0.00	0.00	80.0	0.00
08/10/2018	75599824 RF LAFFERTY & CO	RESTORATION ROBOTICS INC COMMON STO	S	7,500.00	0.00	0.00	0.00	80.0	0.00
* STOCKS SUBTOTAL *				\$303,546.76	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: BONDS</u>									
07/02/2018	75599900 RF LAFFERTY & CO	UNITED STATES TREASURY BILL RE-ISSU	S	23,747.02	0.00	0.00	0.00	80.0	0.00
07/02/2018	75599900 RF LAFFERTY & CO	UNITED STATES TREASURY BILL RE-ISSU	B	23,747.02	0.00	0.00	0.00	80.0	0.00
07/17/2018	75599900 RF LAFFERTY & CO	UNITED STATES TREASURY NOTE	B	148,992.68	0.00	0.00	0.00	80.0	0.00
07/17/2018	75599900 RF LAFFERTY & CO	UNITED STATES TREASURY NOTE	S	148,992.68	0.00	0.00	0.00	80.0	0.00
07/24/2018	75599900 RF LAFFERTY & CO	UNITED STATES TREASURY NOTE	B	149,010.26	0.00	0.00	0.00	80.0	0.00
07/24/2018	75599900 RF LAFFERTY & CO	UNITED STATES TREASURY NOTE	S	149,010.26	0.00	0.00	0.00	80.0	0.00
07/24/2018	75599900 RF LAFFERTY & CO	UNITED STATES TREASURY NOTE	B	198,628.37	0.00	0.00	0.00	80.0	0.00
07/24/2018	75599900 RF LAFFERTY & CO	UNITED STATES TREASURY NOTE	S	198,628.37	0.00	0.00	0.00	80.0	0.00
07/26/2018	75599900 RF LAFFERTY & CO	UNITED STATES TREASURY BILL	B	12,890.80	0.00	0.00	0.00	80.0	0.00
07/26/2018	75599900 RF LAFFERTY & CO	UNITED STATES TREASURY BILL	S	12,890.80	0.00	0.00	0.00	80.0	0.00
08/06/2018	75599900 RF LAFFERTY & CO	UNITED STATES TREAS BILLS 0.000% 06	B	195,972.01	0.00	0.00	0.00	80.0	0.00
08/06/2018	75599900 RF LAFFERTY & CO	UNITED STATES TREAS BILLS 0.000% 06	S	195,972.01	0.00	0.00	0.00	80.0	0.00
08/06/2018	75599900 RF LAFFERTY & CO	UNITED STATES TREAS BILLS 0.000% 06	B	195,972.01	0.00	0.00	0.00	80.0	0.00
08/06/2018	75599900 RF LAFFERTY & CO	UNITED STATES TREAS BILLS 0.000% 06	S	195,972.01	0.00	0.00	0.00	80.0	0.00
08/07/2018	75599900 RF LAFFERTY & CO	UNITED STATES TREASURY NOTE	S	538,142.00	0.00	0.00	0.00	80.0	0.00
08/07/2018	75599900 RF LAFFERTY & CO	UNITED STATES TREASURY NOTE	S	68,490.80	0.00	0.00	0.00	80.0	0.00
08/07/2018	75599900 RF LAFFERTY & CO	UNITED STATES TREASURY NOTE	S	68,490.80	0.00	0.00	0.00	80.0	0.00
08/07/2018	75599900 RF LAFFERTY & CO	UNITED STATES TREASURY NOTE	S	88,059.60	0.00	0.00	0.00	80.0	0.00
08/07/2018	75599900 RF LAFFERTY & CO	UNITED STATES TREASURY NOTE	S	39,137.60	0.00	0.00	0.00	80.0	0.00
08/07/2018	75599900 RF LAFFERTY & CO	UNITED STATES TREASURY NOTE	B	802,320.80	0.00	0.00	0.00	80.0	0.00
* BONDS SUBTOTAL *				\$3,455,067.90	\$0.00	\$0.00	\$0.00		\$0.00
*** BROKER TRANSACTIONS TOTAL ***				\$3,758,614.66	\$0.00	\$0.00	\$0.00		\$0.00
<u>ADJUSTMENTS</u>									
07/31/2018		MWOD:MISCELLANEOUS: WRITE-OFF DEBI		0.00	0.00	0.00	0.00		-2.00
07/31/2018		MWOD:MISCELLANEOUS: WRITE-OFF DEBI		0.00	0.00	0.00	0.00		-2.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-4.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-4.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-22,514.41
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-22,518.41
Year-to-date Earnings	\$-22,518.41