

**COMMISSION STATEMENT****February 28, 2018**K146-JASON KEMBLE  
4106 E. Doe Creek DriveBROKER #: K146  
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65202-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
02/09/2018	MO/TOD	AM FUNDS AMERICAN BALANCED A	17,500.00	656.25	0.00	656.25	90.0	590.63
02/09/2018	MO/TOD	AM FUNDS AMERICAN BALANCED A	25,000.00	687.50	0.00	687.50	90.0	618.75
02/13/2018	MO/TOD	AM FUNDS AMERICAN BALANCED A	75,000.00	2,062.50	0.00	2,062.50	90.0	1,856.25
02/09/2018	MO/TOD	AM FUNDS GROWTH FUND OF AMERICA A	3,000.00	112.50	0.00	112.50	90.0	101.25
02/12/2018	DANIELS, AMY	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
02/09/2018	MO/TOD	AM FUNDS NEW ECONOMY A	3,000.00	112.50	0.00	112.50	90.0	101.25
02/12/2018	DANIELS, AMY	AM FUNDS NEW PERSPECTIVE A	50.00	2.13	0.00	2.13	90.0	1.92
* MUTUAL FUNDS SUBTOTAL *			\$123,600.00	\$3,635.51	\$0.00	\$3,635.51		\$3,271.97
*** DIRECT TRANSACTIONS TOTAL ***			\$123,600.00	\$3,635.51	\$0.00	\$3,635.51		\$3,271.97

**SPLIT TRANSACTIONS****BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349**

02/09/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	28.87	0.13	0.00	0.13	90.0	0.12
02/09/2018	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	28.87	0.13	0.00	0.13	90.0	0.12
02/12/2018	LANGE, ARLEN	AM FUNDS AMERICAN BALANCED A	800.00	18.00	0.00	18.00	90.0	16.20
02/09/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.28	0.13	0.00	0.13	90.0	0.12
02/09/2018	LUKIC, MIROSLAV	AM FUNDS EUROPACIFIC GROWTH FUND A	28.27	0.13	0.00	0.13	90.0	0.12
02/09/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	61.23	0.27	0.00	0.27	90.0	0.24
02/09/2018	SKELTON, SARAH	AM FUNDS EUROPACIFIC GROWTH FUND A	61.23	0.27	0.00	0.27	90.0	0.24
02/09/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	70.45	0.32	0.00	0.32	90.0	0.29
02/09/2018	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	70.45	0.32	0.00	0.32	90.0	0.29
02/09/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	78.09	0.35	0.00	0.35	90.0	0.32
02/09/2018	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	78.09	0.35	0.00	0.35	90.0	0.32
02/09/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	24.00	0.11	0.00	0.11	90.0	0.10
02/09/2018	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	18.00	0.08	0.00	0.08	90.0	0.07

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u></b>								
02/09/2018	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.05	0.00	0.05	90.0	0.05
02/09/2018	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	7.35	0.03	0.00	0.03	90.0	0.03
02/09/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	76.69	0.35	0.00	0.35	90.0	0.32
02/09/2018	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	76.69	0.35	0.00	0.35	90.0	0.32
02/09/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.05	0.00	0.05	90.0	0.05
02/09/2018	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.70	0.05	0.00	0.05	90.0	0.05
02/09/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.13	0.00	0.13	90.0	0.12
02/09/2018	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	29.13	0.13	0.00	0.13	90.0	0.12
02/09/2018	CARTER, NICKOLAS	AM FUNDS GROWTH FUND OF AMERICA A	11.75	0.05	0.00	0.05	90.0	0.05
02/09/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	56.93	0.26	0.00	0.26	90.0	0.23
02/09/2018	BOEDECKER, SUZANNE	AM FUNDS GROWTH FUND OF AMERICA A	56.93	0.26	0.00	0.26	90.0	0.23
02/09/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	53.93	0.24	0.00	0.24	90.0	0.22
02/09/2018	BERGH, AMELIA	AM FUNDS GROWTH FUND OF AMERICA A	53.93	0.24	0.00	0.24	90.0	0.22
02/09/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	79.86	0.36	0.00	0.36	90.0	0.32
02/09/2018	WILBANKS, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	79.86	0.36	0.00	0.36	90.0	0.32
02/09/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	45.68	0.21	0.00	0.21	90.0	0.19
02/09/2018	YARICK, ABIGAIL	AM FUNDS GROWTH FUND OF AMERICA A	45.68	0.21	0.00	0.21	90.0	0.19
02/09/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	101.21	0.45	0.00	0.45	90.0	0.41
02/09/2018	SHIELDS, DANA	AM FUNDS GROWTH FUND OF AMERICA A	43.38	0.19	0.00	0.19	90.0	0.17
02/09/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	11.47	0.05	0.00	0.05	90.0	0.05
02/09/2018	PINTOR, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	11.47	0.05	0.00	0.05	90.0	0.05
02/09/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	53.56	0.24	0.00	0.24	90.0	0.22
02/09/2018	PANHORST, ELIZABETH	AM FUNDS GROWTH FUND OF AMERICA A	53.56	0.24	0.00	0.24	90.0	0.22
02/09/2018	HELMANDOLLAR, ARIC	AM FUNDS GROWTH FUND OF AMERICA A	13.46	0.06	0.00	0.06	90.0	0.05
02/09/2018	HELMANDOLLAR, ARIC	AM FUNDS GROWTH FUND OF AMERICA A	13.46	0.06	0.00	0.06	90.0	0.05
02/09/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.14	0.00	0.14	90.0	0.13
02/09/2018	DIXON, CAITLIN	AM FUNDS GROWTH FUND OF AMERICA A	31.20	0.14	0.00	0.14	90.0	0.13
02/09/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	51.72	0.23	0.00	0.23	90.0	0.21
02/09/2018	JOHNSON, CHADRIC	AM FUNDS GROWTH FUND OF AMERICA A	51.72	0.23	0.00	0.23	90.0	0.21
02/09/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	58.44	0.26	0.00	0.26	90.0	0.23
02/09/2018	OLIVER, ASHLEY	AM FUNDS GROWTH FUND OF AMERICA A	58.44	0.26	0.00	0.26	90.0	0.23
02/09/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	15.54	0.07	0.00	0.07	90.0	0.06
02/09/2018	FAGAN, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	15.54	0.07	0.00	0.07	90.0	0.06

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: JEFF/JASON, K148 KAUFMAN/KEMBLE #349</u></b>								
02/12/2018	WHEAT, BRYAN	AM FUNDS GROWTH FUND OF AMERICA A	229.00	5.15	0.00	5.15	90.0	4.64
02/13/2018	HEASTON, JENNALEA	AM FUNDS GROWTH FUND OF AMERICA A	9.14	0.21	0.00	0.21	90.0	0.19
02/13/2018	HEASTON, JENNALEA	AM FUNDS GROWTH FUND OF AMERICA A	9.14	0.21	0.00	0.21	90.0	0.19
02/13/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	26.16	0.59	0.00	0.59	90.0	0.53
02/13/2018	GUTIERREZ, GRISELDA	AM FUNDS GROWTH FUND OF AMERICA A	26.15	0.59	0.00	0.59	90.0	0.53
02/15/2018	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.25	0.00	2.25	90.0	2.03
02/15/2018	MCNERNEY, DASAN	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.25	0.00	2.25	90.0	2.03
02/09/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	209.76	0.95	0.00	0.95	90.0	0.86
02/09/2018	CULLEY, TRACY	AM FUNDS GROWTH PORT A	62.93	0.28	0.00	0.28	90.0	0.25
02/09/2018	ZELLMER, RIANNON	AM FUNDS ICA A	14.11	0.06	0.00	0.06	90.0	0.05
02/09/2018	ZELLMER, RIANNON	AM FUNDS ICA A	14.11	0.06	0.00	0.06	90.0	0.05
02/09/2018	WAHLIG, KACEY	AM FUNDS ICA A	28.87	0.13	0.00	0.13	90.0	0.12
02/09/2018	WAHLIG, KACEY	AM FUNDS ICA A	28.87	0.13	0.00	0.13	90.0	0.12
02/09/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.91	0.09	0.00	0.09	90.0	0.08
02/09/2018	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.91	0.09	0.00	0.09	90.0	0.08
02/05/2018	MCKENZIE, JADA	AM FUNDS NEW ECONOMY A	60.00	1.35	0.00	1.35	90.0	1.22
02/09/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	41.93	0.19	0.00	0.19	90.0	0.17
02/09/2018	POLSON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	41.93	0.19	0.00	0.19	90.0	0.17
02/09/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	1,000.00	4.50	0.00	4.50	90.0	4.05
02/09/2018	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	0.55	0.00	0.55	90.0	0.50
02/09/2018	AHMED, OMAR	AM FUNDS NEW PERSPECTIVE A	47.93	0.22	0.00	0.22	90.0	0.20
02/09/2018	AHMED, OMAR	AM FUNDS NEW PERSPECTIVE A	47.93	0.22	0.00	0.22	90.0	0.20
02/09/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	18.00	0.08	0.00	0.08	90.0	0.07
02/09/2018	EISELE, HAMILTON	AM FUNDS NEW WORLD A	24.00	0.11	0.00	0.11	90.0	0.10
02/09/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	50.93	0.23	0.00	0.23	90.0	0.21
02/09/2018	STIDHAM, AUSTIN	AM FUNDS NEW WORLD A	84.88	0.38	0.00	0.38	90.0	0.34
02/09/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.91	0.09	0.00	0.09	90.0	0.08
02/09/2018	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.91	0.09	0.00	0.09	90.0	0.08
02/09/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.27	0.13	0.00	0.13	90.0	0.12
02/09/2018	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	28.28	0.13	0.00	0.13	90.0	0.12
02/12/2018	LANGE, ARLEN	AM FUNDS SMALLCAP WORLD A	200.00	4.50	0.00	4.50	90.0	4.05
02/15/2018	DOOLEY, BRUCE	AM FUNDS SMALLCAP WORLD A	50.00	1.13	0.00	1.13	90.0	1.02
<b>* JEFF/JASON, K148 KAUFMAN/KEMBLE SUBTOTAL *</b>			<b>\$5,509.10</b>	<b>\$53.79</b>	<b>\$0.00</b>	<b>\$53.79</b>		<b>\$48.51</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: JASON, K151 KEMBLE #394</b>								
02/12/2018	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.55	0.00	2.55	90.0	2.30
02/12/2018	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	2.55	0.00	2.55	90.0	2.30
* JASON, K151 KEMBLE SUBTOTAL *			\$200.00	\$5.10	\$0.00	\$5.10		\$4.60
*** SPLIT TRANSACTIONS TOTAL ***			\$5,709.10	\$58.89	\$0.00	\$58.89		\$53.11

**BROKER COMMISSION TOTALS**

Broker Transactions	\$3,271.97
Split Transactions	\$53.11
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-558.14
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,766.94
Year-to-date Gross	\$4,811.19
Year-to-date Earnings	\$4,370.05

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