

Bill Payment Stub

Check Date:	4/6/2018
Check No.:	ACH
Check Amount:	2,345.33

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: DAN FENCL
422 MAIN STREET
CEDAR FALLS, IA 50613

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
3/31/2018	Bill	COMMISSIONS	2,345.33	2,345.33		2,345.33

COMMISSION STATEMENT

March 31, 2018

DAN FENCL-D022

BROKER #: D022

BRANCH: DMG

, TX

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
CLEARING TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
03/13/2018	276392608	LLC, FENCL	MF	VANGUARD DIVIDEND APPR INDEX FD	S	-5,409.54	0.00	5.00	-5.00	85.0	-4.25
03/13/2018	276392608	LLC, FENCL	MF	VANGUARD EQUITY INCOME FD	S	-5,412.67	0.00	5.00	-5.00	85.0	-4.25
03/15/2018	276393378	FENCL TTEE, DANIEL	MF	VANGUARD VALUE INDEX FD	S	502.45	0.00	2.50	-2.50	85.0	-2.13
03/14/2018	368295989	ANDERSON, GREGORY	MF	VANGUARD WINDSOR FD II	B	-5,987.55	0.00	5.00	-5.00	85.0	-4.25
03/14/2018	368349223	DRENNER, WILLIAM	MF	VANGUARD VALUE INDEX FD	B	-5,987.55	0.00	5.00	-5.00	85.0	-4.25
* MUTUAL FUNDS SUBTOTAL *						\$-22,294.86	\$0.00	\$22.50	\$-22.50		\$-19.13

PRODUCT CATEGORY: STOCKS

03/26/2018	153000125	BURESH, GEORGE	S	CHEVRON CORPORATION	B	2,871.91	22.00	15.00	7.00	85.0	5.95
03/13/2018	214445169	DRENNER, WILLIAM	S	LYONDELLBASELL INDU-CL A ISIN	S	-6,670.26	22.00	15.00	7.00	85.0	5.95
03/23/2018	276375932	FENCL, RANDALL	S	ROYAL DUTCH SHELL PLC-SPON ADR	B	5,152.44	22.00	15.00	7.00	85.0	5.95
03/13/2018	276392608	LLC, FENCL	S	AMERIGAS PARTNERS LP	S	-4,187.45	15.00	15.00	0.00	85.0	0.00
03/13/2018	276392608	LLC, FENCL	S	SOUTHERN COMPANY	S	-3,929.86	15.00	15.00	0.00	85.0	0.00
03/13/2018	276392608	LLC, FENCL	S	VERIZON COMMUNICATIONS INC	S	-4,887.44	15.00	15.00	0.00	85.0	0.00
03/05/2018	276393378	FENCL TTEE, DANIEL	S	ILLINOIS TOOL WORKS INC	S	-6,336.44	15.00	15.00	0.00	85.0	0.00
03/20/2018	306761161	GREINER, DENNIS	S	ANHEUSER-BUSCH INBEV SPONS ADR	B	4,528.01	22.00	15.00	7.00	85.0	5.95
03/20/2018	306761161	GREINER, DENNIS	S	BRIGHTHOUSE FINANCIAL INC	S	-459.39	22.00	15.00	7.00	85.0	5.95
03/20/2018	306761161	GREINER, DENNIS	S	EATON CORP PLC ISIN	B	4,893.39	22.00	15.00	7.00	85.0	5.95
03/20/2018	306761161	GREINER, DENNIS	S	NATIONAL OILWELL VARCO INC	S	-6,425.92	22.00	15.00	7.00	85.0	5.95
03/20/2018	306761161	GREINER, DENNIS	S	PHILLIPS 66	B	3,837.65	22.00	15.00	7.00	85.0	5.95
03/20/2018	306761161	GREINER, DENNIS	S	ROCKWELL COLLINS	S	-13,630.03	22.00	15.00	7.00	85.0	5.95
03/20/2018	306761161	GREINER, DENNIS	S	ROYAL DUTCH SHELL PLC-SPONS ADR	B	6,233.35	22.00	15.00	7.00	85.0	5.95
03/20/2018	306761161	GREINER, DENNIS	S	UNITED PARCEL SERVICE INC CL B	B	4,339.65	22.00	15.00	7.00	85.0	5.95
03/20/2018	306761161	GREINER, DENNIS	S	UNITED TECHNOLOGIES CORP	B	6,385.90	22.00	15.00	7.00	85.0	5.95
03/20/2018	306761161	GREINER, DENNIS	S	VERIZON COMMUNICATIONS INC	B	4,788.06	22.00	15.00	7.00	85.0	5.95
03/20/2018	306761161	GREINER, DENNIS	S	WEC ENERGY GROUP INC	B	1,562.43	22.00	15.00	7.00	85.0	5.95
03/20/2018	306761161	GREINER, DENNIS	S	WELLTOWER INC	B	1,353.43	22.00	15.00	7.00	85.0	5.95
03/26/2018	368321274	LEQUATTE, SUSAN	S	MONDELEZ INTERNATIONAL INC CL A	S	-1,002.97	15.00	15.00	0.00	85.0	0.00
03/19/2018	368349223	DRENNER, WILLIAM	S	ILLINOIS TOOL WORKS INC	B	8,473.40	22.00	15.00	7.00	85.0	5.95
03/06/2018	368431675	FENCL, RONALD	S	VANGUARD GROWTH ETF (FORMERLY)	S	-3,673.22	0.00	15.00	-15.00	85.0	-12.75
03/20/2018	368436519	GREINER, DENNIS	S	RAYTHEON COMPANY NEW	S	-2,099.11	22.00	15.00	7.00	85.0	5.95

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
03/26/2018 368445153	STANFORD, CHARLES	S NATIONAL GRID	S	-2,454.89	22.00	15.00	7.00	85.0	5.95
03/26/2018 368445153	STANFORD, CHARLES	S UNILEVER PLC SPONSORED ADR NEW	S	-3,926.46	22.00	15.00	7.00	85.0	5.95
03/21/2018 461029263	LAMPE, KAREN	S JOHNSON & JOHNSON	S	-5,892.91	22.00	15.00	7.00	85.0	5.95
03/21/2018 461029263	LAMPE, KAREN	S KINDER MORGAN INC	S	-460.77	15.00	15.00	0.00	85.0	0.00
03/14/2018 646201439	DRENNER, MARTHA	S BB&T CORP	B	8,281.76	22.00	15.00	7.00	85.0	5.95
03/14/2018 646201439	DRENNER, MARTHA	S DOMINION RESOURCES INC/VA NEW	B	5,518.13	22.00	15.00	7.00	85.0	5.95
03/19/2018 646209628	DRENNER, WILLIAM	S ROYAL DUTCH SHELL PLC-SPON ADR	B	9,438.44	22.00	15.00	7.00	85.0	5.95
03/23/2018 646262595	FENCL	S ATMOS ENERGY CORP	S	-3,975.97	22.00	15.00	7.00	85.0	5.95
03/23/2018 646262595	FENCL	S OCCIDENTAL PETROLEUM CORP	B	4,614.28	22.00	15.00	7.00	85.0	5.95
03/26/2018 646267500	BURESH, GEORGE	S ADR BRITISH AMERICAN TOBACCO	B	2,071.99	22.00	15.00	7.00	85.0	5.95
03/26/2018 646267500	BURESH, GEORGE	S AMERIGAS PARTNERS LP	B	2,067.95	22.00	15.00	7.00	85.0	5.95
03/26/2018 646267500	BURESH, GEORGE	S DUKE ENERGY CORP NEW COM NEW	B	3,813.90	22.00	15.00	7.00	85.0	5.95
03/27/2018 646282941	BURESH, JONI	S OCCIDENTAL PETROLEUM CORP	B	3,375.95	22.00	15.00	7.00	85.0	5.95
03/27/2018 646282941	BURESH, JONI	S PFIZER INCORPORATED	B	2,836.37	22.00	15.00	7.00	85.0	5.95
03/27/2018 646282941	BURESH, JONI	S ROYAL DUTCH SHELL PLC-SPONS ADR	B	2,616.01	22.00	15.00	7.00	85.0	5.95

*** STOCKS SUBTOTAL *****\$29,041.31****\$772.00****\$570.00****\$202.00****\$171.70****PRODUCT CATEGORY: MISCELLANEOUS**

03/14/2018 a03148327	FENCL-D022, DAN	MI MUTUAL FUND MISC FEE 276392608	S	0.00	5.00	0.00	5.00	85.0	4.25
03/15/2018 a03148327	FENCL-D022, DAN	MI MUTUAL FUND MISC FEE 276392608	S	0.00	5.00	0.00	5.00	85.0	4.25
03/15/2018 a03148327	FENCL-D022, DAN	MI MUTUAL FUND MISC FEE 368295989	S	0.00	10.00	0.00	10.00	85.0	8.50
03/15/2018 a03148327	FENCL-D022, DAN	MI MUTUAL FUND MISC FEE 368349223	S	0.00	10.00	0.00	10.00	85.0	8.50

*** MISCELLANEOUS SUBTOTAL *****\$0.00****\$30.00****\$0.00****\$30.00****\$25.50****PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

03/01/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.29	0.00	0.29	85.0	0.25
03/01/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.30	0.00	0.30	85.0	0.26
03/01/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.37	0.00	0.37	85.0	0.31
03/01/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.37	0.00	0.37	85.0	0.31
03/01/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.43	0.00	0.43	85.0	0.37
03/01/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.48	0.00	0.48	85.0	0.41
03/01/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.48	0.00	0.48	85.0	0.41
03/01/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.50	0.00	0.50	85.0	0.43
03/01/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.52	0.00	0.52	85.0	0.44
03/01/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.52	0.00	0.52	85.0	0.44
03/01/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.60	0.00	0.60	85.0	0.51
03/01/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.72	0.00	0.72	85.0	0.61
03/01/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.83	0.00	0.83	85.0	0.71
03/01/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.96	0.00	0.96	85.0	0.82
03/01/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	1.01	0.00	1.01	85.0	0.86
03/01/2018 mfc148327	FENCL 148327	MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	1.60	0.00	1.60	85.0	1.36
03/01/2018 mfc148327	FENCL 148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.11	0.00	0.11	85.0	0.09
03/01/2018 mfc148327	FENCL 148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.18	0.00	0.18	85.0	0.15
03/01/2018 mfc148327	FENCL 148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.29	0.00	0.29	85.0	0.25
03/01/2018 mfc148327	FENCL 148327	MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.48	0.00	0.48	85.0	0.41

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
03/01/2018	mfc148327	FENCL 148327		MFT MULTI-ASSET BALANCED	B	0.00	0.11	0.00	0.11	85.0	0.09
03/01/2018	mfc148327	FENCL 148327		MFT MULTI-ASSET BALANCED	B	0.00	0.18	0.00	0.18	85.0	0.15
03/15/2018	mfc148327	FENCL 148327		MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.29	0.00	0.29	85.0	0.25
03/15/2018	mfc148327	FENCL 148327		MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.34	0.00	0.34	85.0	0.29
03/15/2018	mfc148327	FENCL 148327		MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.40	0.00	0.40	85.0	0.34
03/15/2018	mfc148327	FENCL 148327		MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.47	0.00	0.47	85.0	0.40
03/15/2018	mfc148327	FENCL 148327		MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.47	0.00	0.47	85.0	0.40
03/15/2018	mfc148327	FENCL 148327		MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.47	0.00	0.47	85.0	0.40
03/15/2018	mfc148327	FENCL 148327		MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.48	0.00	0.48	85.0	0.41
03/15/2018	mfc148327	FENCL 148327		MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.53	0.00	0.53	85.0	0.45
03/15/2018	mfc148327	FENCL 148327		MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.53	0.00	0.53	85.0	0.45
03/15/2018	mfc148327	FENCL 148327		MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.58	0.00	0.58	85.0	0.49
03/15/2018	mfc148327	FENCL 148327		MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.70	0.00	0.70	85.0	0.60
03/15/2018	mfc148327	FENCL 148327		MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.78	0.00	0.78	85.0	0.66
03/15/2018	mfc148327	FENCL 148327		MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.80	0.00	0.80	85.0	0.68
03/15/2018	mfc148327	FENCL 148327		MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.80	0.00	0.80	85.0	0.68
03/15/2018	mfc148327	FENCL 148327		MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	0.93	0.00	0.93	85.0	0.79
03/15/2018	mfc148327	FENCL 148327		MFT DIVERSIFIED EQUITY STRATEGY C	B	0.00	1.92	0.00	1.92	85.0	1.63
03/15/2018	mfc148327	FENCL 148327		MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.11	0.00	0.11	85.0	0.09
03/15/2018	mfc148327	FENCL 148327		MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.18	0.00	0.18	85.0	0.15
03/15/2018	mfc148327	FENCL 148327		MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.29	0.00	0.29	85.0	0.25
03/15/2018	mfc148327	FENCL 148327		MFT LORD ABBETT MULTI-ASSET GROWTH	B	0.00	0.48	0.00	0.48	85.0	0.41
03/15/2018	mfc148327	FENCL 148327		MFT MULTI-ASSET BALANCED	B	0.00	0.11	0.00	0.11	85.0	0.09
03/15/2018	mfc148327	FENCL 148327		MFT MULTI-ASSET BALANCED	B	0.00	0.18	0.00	0.18	85.0	0.15
03/21/2018	mfc148327	FENCL 148327		MFT LIFESTYLE BALANCED PORTFOLIO C	B	0.00	2.74	0.00	2.74	85.0	2.33
03/21/2018	mfc148327	FENCL 148327		MFT LIFESTYLE GROWTH PORTFOLIO C	B	0.00	7.10	0.00	7.10	85.0	6.04
03/21/2018	mfc148327	FENCL 148327		MFT LIFESTYLE GROWTH PORTFOLIO C	B	0.00	7.10	0.00	7.10	85.0	6.04
03/21/2018	mfc148327	FENCL 148327		MFT LIFESTYLE GROWTH PORTFOLIO C	B	0.00	7.10	0.00	7.10	85.0	6.04
03/21/2018	mfc148327	FENCL 148327		MFT LIFESTYLE MODERATE PORTFOLIO C	B	0.00	1.81	0.00	1.81	85.0	1.54
03/21/2018	mfc148327	FENCL 148327		MFT LIFESTYLE MODERATE PORTFOLIO C	B	0.00	2.89	0.00	2.89	85.0	2.46
03/21/2018	mfc148327	FENCL 148327		MFT LORD ABBETT HIGH YIELD MUNI	B	0.00	0.01	0.00	0.01	85.0	0.01
03/21/2018	mfc148327	FENCL 148327		MFT LORD ABBETT HIGH YIELD MUNI	B	0.00	2.11	0.00	2.11	85.0	1.79
03/21/2018	mfc148327	FENCL 148327		MFT OPPENHEIMER INTL BOND FUND C	B	0.00	0.01	0.00	0.01	85.0	0.01
03/21/2018	mfc148327	FENCL 148327		MFT PACIFIC PORT OPTIMA MOD- AGGR	B	0.00	312.78	0.00	312.78	85.0	265.86
03/21/2018	mfc148327	FENCL 148327		MFT REGIONAL BANK C	B	0.00	0.99	0.00	0.99	85.0	0.84
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$367.81	\$0.00	\$367.81		\$312.66		
*** CLEARING TRANSACTIONS TOTAL ***				\$6,746.45	\$1,169.81	\$592.50	\$577.31		\$490.73		

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/12/2018 (None)	VARIOUS, FENCL	MF JOHN HANCOCK MUTUAL FUND	B	0.00	7.28	0.00	7.28	85.0	6.19
03/01/2018 06206144790	BERRY, DIANA	MF PIONEER FUND A	B	100.00	4.00	0.00	4.00	85.0	3.40
* MUTUAL FUNDS SUBTOTAL *				\$100.00	\$11.28	\$0.00	\$11.28		\$9.59
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/28/2018 (None)	VARIOUS, FENCL	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	309.37	0.00	309.37	85.0	262.96
03/05/2018 01001676965	VERDON, MICHAEL	MFT WELLS FARGO OPPORTUNITY-A	B	0.00	1.68	0.00	1.68	85.0	1.43
03/05/2018 01001676965	VERDON, MICHAEL	MFT WELLS FARGO DIVERSFD CAPITAL	B	0.00	1.53	0.00	1.53	85.0	1.30
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$312.58	\$0.00	\$312.58		\$265.69
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
03/06/2018 (None)	FLEMING, ARLENE	VAT TRANSAMERICA LIFE	B	0.00	28.22	0.00	28.22	85.0	23.99
03/06/2018 (None)	FLEMING, ARLENE	VAT TRANSAMERICA LIFE	B	0.00	28.22	0.00	28.22	85.0	23.99
03/06/2018 (None)	DRENNER, WILLIAM	VAT TRANSAMERICA LIFE	B	0.00	44.50	0.00	44.50	85.0	37.83
03/06/2018 (None)	DRENNER, WILLIAM	VAT TRANSAMERICA LIFE	B	0.00	44.50	0.00	44.50	85.0	37.83
03/06/2018 (None)	CHRISTENSEN II	VAT TRANSAMERICA LIFE	B	0.00	4.91	0.00	4.91	85.0	4.17
03/20/2018 (None)	ROCHE, DAVID	VAT NATIONWIDE	B	0.00	1.58	0.00	1.58	85.0	1.34
03/19/2018 VA96307360	EDWARDS	VAT PACIFIC LIFE VA 694474206	B	0.00	13.95	0.00	13.95	85.0	11.86
03/22/2018 VA99095880	HARN, MARGARET	VAT PACIFIC LIFE CUSIP 694474800	B	0.00	14.09	0.00	14.09	85.0	11.98
03/23/2018 VA99115690	REED	VAT PACIFIC LIFE VA 694474883	B	0.00	9.78	0.00	9.78	85.0	8.31
03/19/2018 VM14002310	HILSENBECK	VAT PACIFIC LIFE	B	0.00	48.77	0.00	48.77	85.0	41.45
03/22/2018 VM16005374	FENCL, ROBERT	VAT PACIFIC LIFE VA 69448B191	B	0.00	546.56	0.00	546.56	85.0	464.58
03/19/2018 VR00055838	HARVEY	VAT PACIFIC LIFE VA 694474883	B	0.00	27.29	0.00	27.29	85.0	23.20
03/19/2018 VR03017432	WHEELLOCK	VAT PACIFIC LIFE VA 694474586	B	0.00	37.62	0.00	37.62	85.0	31.98
03/19/2018 VR04073770	MILLER, DALE	VAT PACIFIC LIFE VA 694474594	B	0.00	219.07	0.00	219.07	85.0	186.21
03/12/2018 VR05013271	OPITZ	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	33.27	0.00	33.27	85.0	28.28
03/19/2018 VR05013613	OVERTURF	VAT PACIFIC LIFE VA 694474594	B	0.00	8.01	0.00	8.01	85.0	6.81
03/15/2018 VR06085023	COULTER, PATRICIA	VAT PACIFIC LIFE VA 694474594	B	0.00	155.57	0.00	155.57	85.0	132.23
03/13/2018 VR07012841	ARDITH L R	VAT PACIFIC LIFE VA 694474610	B	0.00	183.24	0.00	183.24	85.0	155.75
03/13/2018 VR07012842	ARDITH L R	VAT PACIFIC LIFE VA 694474610	B	0.00	155.31	0.00	155.31	85.0	132.01
03/13/2018 VR07012843	ARDITH L R	VAT PACIFIC LIFE VA 694474610	B	0.00	141.13	0.00	141.13	85.0	119.96
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,745.59	\$0.00	\$1,745.59		\$1,483.76
*** DIRECT TRANSACTIONS TOTAL ***				\$100.00	\$2,069.45	\$0.00	\$2,069.45		\$1,759.04

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>									
<u> BROKER: SARAH BRAUNSHAUSEN-D062 #13 </u>									
03/26/2018	152901006	BRAUNSHAUSEN, MF	AMERICAN BALANCED FUND-A	B	-2,987.55	0.00	5.00	-5.00	-3.00
03/15/2018	646107186	ROUSE, CHELSEY MF	AMCAP FUND-F1	S	122.45	0.00	2.50	-2.50	-1.50
03/15/2018	646107186	ROUSE, CHELSEY MF	FUNDAMENTAL INVESTORS FUND	S	82.45	0.00	2.50	-2.50	-1.50
03/15/2018	646230641	CHRISTENSEN, MF	AMERICAN FDS WA MUTUAL FD CL F2	S	87.45	0.00	2.50	-2.50	-1.50
03/15/2018	646230641	CHRISTENSEN, MF	THE GROWTH FUND OF AMERICA-F2	S	167.45	0.00	2.50	-2.50	-1.50
02/27/2018	646263851	BERST, KRISTINE S	3M COMPANY	B	4,857.03	22.00	15.00	7.00	4.20
02/27/2018	646263851	BERST, KRISTINE S	DUKE ENERGY CORP NEW COM NEW	B	4,629.44	22.00	15.00	7.00	4.20
03/27/2018	a03076832	BRAUNSHAUSEN-D062, MI	MUTUAL FUND MISC FEE 152901006	S	0.00	10.00	0.00	10.00	6.00
03/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	AMCAP FUND-F1	B	0.00	0.62	0.00	0.62	0.37
03/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	AMERICAN BALANCED FUND-A	B	0.00	4.53	0.00	4.53	2.72
03/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	AMERICAN BALANCED FUND-F1	B	0.00	0.99	0.00	0.99	0.59
03/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	AMERICAN MUTUAL FUND-F1	B	0.00	0.84	0.00	0.84	0.50
03/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	CAPITAL INCOME BUILDER-A	B	0.00	7.85	0.00	7.85	4.71
03/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	COLUMBIA DIVIDEND OPPORTUNITY	B	0.00	9.90	0.00	9.90	5.94
03/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	COLUMBIA GLOBAL EQUITY VALUE-A	B	0.00	10.40	0.00	10.40	6.24
03/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	COLUMBIA LARGE CAP GROWTH FUND	B	0.00	8.68	0.00	8.68	5.21
03/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	FUNDAMENTAL INVESTORS FUND	B	0.00	0.41	0.00	0.41	0.25
03/21/2018	mfc076832	BRAUNSHAUSEN 076832 MFT	GROWTH FUND OF AMERICA CLASS F	B	0.00	1.03	0.00	1.03	0.62
03/14/2018	VM15006698	BRAUNSHAUSEN, VAT	PACIFIC LIFE VA 69448B191	B	0.00	203.27	0.00	203.27	121.96
* SARAH BRAUNSHAUSEN-D062 SUBTOTAL *					\$6,958.72	\$302.52	\$45.00	\$257.52	\$154.51
<u> BROKER: SPENCER JOHNSON-383056 #30 </u>									
03/07/2018	431392912	KAVANAGH, KATHLEEN MF	VANGUARD TOTAL STOCK MKT INDEX	S	3,662.45	0.00	5.00	-5.00	-3.20
03/09/2018	368464222	KAVANAGH, KATHLEEN S	ANHEUSER-BUSCH INBEV SPONS ADR	B	3,473.22	22.00	15.00	7.00	4.48
03/09/2018	368464222	KAVANAGH, KATHLEEN S	ILLINOIS TOOL WORKS INC	B	4,269.68	22.00	15.00	7.00	4.48
03/08/2018	a03383056	JOHNSON-383056, MI	MUTUAL FUND MISC FEE 431392912	S	0.00	10.00	0.00	10.00	6.40
03/01/2018	mfc383056	JOHNSON SPENCER MFT	CALIBRATED DIVIDEND GROWTH C	B	0.00	0.29	0.00	0.29	0.19
03/01/2018	mfc383056	JOHNSON SPENCER MFT	CALIBRATED DIVIDEND GROWTH C	B	0.00	0.68	0.00	0.68	0.44
03/01/2018	mfc383056	JOHNSON SPENCER MFT	FUNDAMENTAL EQUITY C	B	0.00	0.44	0.00	0.44	0.28
03/01/2018	mfc383056	JOHNSON SPENCER MFT	FUNDAMENTAL EQUITY C	B	0.00	0.61	0.00	0.61	0.39
03/01/2018	mfc383056	JOHNSON SPENCER MFT	FUNDAMENTAL EQUITY C	B	0.00	1.48	0.00	1.48	0.95
03/01/2018	mfc383056	JOHNSON SPENCER MFT	FUNDAMENTAL EQUITY C	B	0.00	2.04	0.00	2.04	1.31
03/15/2018	mfc383056	JOHNSON SPENCER MFT	CALIBRATED DIVIDEND GROWTH C	B	0.00	0.35	0.00	0.35	0.22
03/15/2018	mfc383056	JOHNSON SPENCER MFT	CALIBRATED DIVIDEND GROWTH C	B	0.00	0.82	0.00	0.82	0.52
03/15/2018	mfc383056	JOHNSON SPENCER MFT	FUNDAMENTAL EQUITY C	B	0.00	0.34	0.00	0.34	0.22
03/15/2018	mfc383056	JOHNSON SPENCER MFT	FUNDAMENTAL EQUITY C	B	0.00	0.63	0.00	0.63	0.40
03/15/2018	mfc383056	JOHNSON SPENCER MFT	FUNDAMENTAL EQUITY C	B	0.00	1.12	0.00	1.12	0.72
03/15/2018	mfc383056	JOHNSON SPENCER MFT	FUNDAMENTAL EQUITY C	B	0.00	2.12	0.00	2.12	1.36
* SPENCER JOHNSON-383056 SUBTOTAL *					\$11,405.35	\$64.92	\$35.00	\$29.92	\$19.16

COMMISSION STATEMENT for DAN FENCL-D022

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
*** OVERRIDE TRANSACTIONS TOTAL ***				\$18,364.07	\$367.44	\$80.00	\$287.44		\$173.67

ADJUSTMENTS

03/31/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
03/31/2018	:	BROKER WORKSTATION-HARN		0.00	0.00	0.00	0.00		-30.00
03/31/2018	:	BROKER WORKSTATION-JOHNSON		0.00	0.00	0.00	0.00		-30.00
03/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
03/31/2018	:	E-MAIL ACCOUNT-HARN		0.00	0.00	0.00	0.00		-25.00
03/31/2018	:	E-MAIL ACCOUNT-JOHNSON		0.00	0.00	0.00	0.00		-25.00
03/31/2018	:	MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		86.89
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-78.11

BROKER COMMISSION TOTALS

Broker Transactions	\$2,249.77
Split Transactions	\$0.00
Override Transactions	\$173.67
Adjustments	\$-78.11
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$2,345.33
Year-to-date Commissions	\$23,511.51