



COMMISSION STATEMENT

May 14, 2018

JOHN KELLY
2400 E. Arizona Biltmore Cir.
Suite 1100
Phoenix, AZ 85016

BROKER #: 279
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/30/2018	***-**-6863 - MARTIN, MITCHELL	AMCAP FUND-C	2.40	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @29.0000						
04/30/2018	***-**-6890 - MARKS, MARK	AMERICAN 2035 TARGET DATE RETIREMNT	20.00	0.20	0.00	0.20	90.0	0.18
	ACCOUNT #: 04000001565	BUY 1 Units @14.6900						
04/30/2018	***-**-0900 - SMITH, PATRICK	AMERICAN 2035 TARGET DATE RETIREMNT	39.06	0.39	0.00	0.39	90.0	0.35
	ACCOUNT #: 00089949168	BUY 3 Units @14.6900						
04/30/2018	***-**-6584 - BACON, ANDREA	AMERICAN 2040 TARGET DATE RETIREMNT	20.00	0.20	0.00	0.20	90.0	0.18
	ACCOUNT #: 00089949172	BUY 1 Units @15.0700						
04/30/2018	***-**-1727 - PETERSON, JON	AMERICAN FUNDS 2030 TARGET DATE-C	10.00	0.10	0.00	0.10	90.0	0.09
	ACCOUNT #: 00089973868	BUY 1 Units @14.2100						
04/30/2018	***-**-6863 - MARTIN, MITCHELL	AMERICAN MUTUAL FUND-C	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @39.4000						
04/30/2018	***-**-6863 - MARTIN, MITCHELL	EUROPACIFIC GROWTH FUND CLASS C	6.00	0.06	0.00	0.06	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @55.5100						
04/30/2018	***-**-6863 - MARTIN, MITCHELL	INVESTMENT COMPANY OF AMERICA CLASS	3.00	0.03	0.00	0.03	90.0	0.03
	ACCOUNT #: 04000003339	BUY 0 Units @39.6500						
04/30/2018	***-**-6863 - MARTIN, MITCHELL	NEW WORLD FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @64.9100						
04/30/2018	***-**-6863 - MARTIN, MITCHELL	SMALLCAP WORLD FUND-C	4.50	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @49.7200						
04/30/2018	***-**-6863 - MARTIN, MITCHELL	THE BOND FUND OF AMERICA-C	1.50	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @12.5200						
04/30/2018	***-**-6863 - MARTIN, MITCHELL	THE GROWTH FUND OF AMERICA-C	5.40	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: 04000003339	BUY 0 Units @47.8700						
04/30/2018	***-**-6863 - MARTIN, MITCHELL	THE NEW ECONOMY FUND-C	2.10	0.02	0.00	0.02	90.0	0.02
	ACCOUNT #: 04000003339	BUY 0 Units @42.3600						
* MUTUAL FUNDS SUBTOTAL *			\$119.06	\$1.19	\$0.00	\$1.19		\$1.09



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
05/07/2018	***-**-8270 - KELLY, PAMELA	PACIFIC LIFE DTCC RENEWAL	416.67	8.33	0.00	8.33	90.0	7.50
	ACCOUNT #: VP60088270	BUY 0 Units @0.0000						
05/07/2018	***-**-125P - CANNADY, JAKE	PACIFIC LIFE EXEC II DTCC	800.00	15.84	0.00	15.84	90.0	14.26
	ACCOUNT #: VP61059840	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$1,216.67	\$24.17	\$0.00	\$24.17		\$21.76
PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE								
05/14/2018	***-**-58CL - KELLY, CLIENTS	VOYA INS TRAILS	0.00	0.54	0.00	0.54	90.0	0.49
		BUY 1 Units @0.0000						
05/14/2018	***-**-58CL - KELLY, CLIENTS	VOYA INS TRAILS	0.00	0.09	0.00	0.09	90.0	0.08
		BUY 1 Units @0.0000						
05/14/2018	***-**-2436 - RT MANAGEMENT,	VOYA INS TRAILS	0.00	3.63	0.00	3.63	90.0	3.27
		BUY 1 Units @0.0000						
05/14/2018	***-**-5760 - KR SALINE, &	VOYA INS TRAILS	0.00	3,267.28	0.00	3,267.28	90.0	2,940.55
		BUY 1 Units @0.0000						
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$0.00	\$3,271.54	\$0.00	\$3,271.54		\$2,944.39
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
05/14/2018	***-**-85TR - KELLY TRUST,	AIM DEVELOPING MARKETS FUND CLASS A	0.00	7.94	0.00	7.94	90.0	7.15
	ACCOUNT #: LSR005290	BUY 1 Units @0.0000						
05/14/2018	***-**-85TR - KELLY TRUST,	AIM GLOBAL AGGRESSIVE GROWTH FUND C	0.00	7.21	0.00	7.21	90.0	6.49
	ACCOUNT #: LSR005290	BUY 1 Units @0.0000						
05/14/2018	***-**-85TR - KELLY TRUST,	INVESCO VAN KAMPEN COMSTOCK CLASS A	0.00	14.28	0.00	14.28	90.0	12.85
	ACCOUNT #: LSR005290	BUY 1 Units @0.0000						
05/14/2018	***-**-0726 - DAHL, KEVIN	MFS HIGH-YIELD OPPORTUNITIES FUND C	0.00	0.62	0.00	0.62	90.0	0.56
	ACCOUNT #: LSR107425	BUY 1 Units @0.0000						
05/14/2018	***-**-7034 - DAHL, ROXANNE	MFS HIGH-YIELD OPPORTUNITIES FUND C	0.00	1.45	0.00	1.45	90.0	1.31
	ACCOUNT #: LSR108782	BUY 1 Units @0.0000						
05/14/2018	***-**-1330 - SKIN SPECTRUM,	QUALIFIED PLAN SUMMARY	0.00	1.79	0.00	1.79	90.0	1.61
	ACCOUNT #: 000000000018580	BUY 0 Units @0.0000						
05/14/2018	***-**-98JK - VESTAR	QUALIFIED PLAN SUMMARY	0.00	71.72	0.00	71.72	90.0	64.55
	ACCOUNT #: 00017851506	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$105.01	\$0.00	\$105.01		\$94.52



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
05/14/2018	***-**-5031 - VICI PROPERTIES	JOHN HANCOCK VA TRAILS	0.00	1,463.90	0.00	1,463.90	90.0	1,317.51
	ACCOUNT #: 131264	BUY 1 Units @0.0000						
05/14/2018	***-**-0664 - FOCUS	JOHN HANCOCK VA TRAILS	0.00	802.47	0.00	802.47	90.0	722.22
	ACCOUNT #: 114317	BUY 1 Units @0.0000						
05/14/2018	***-**-7053 - VISHWANATH MD	QUALIFIED PLAN SUMMARY	0.00	443.37	0.00	443.37	90.0	399.03
	ACCOUNT #: 87501	BUY 1 Units @0.0000						
05/14/2018	***-**-7528 - ELLIOTT	QUALIFIED PLAN SUMMARY	0.00	95.31	0.00	95.31	90.0	85.78
	ACCOUNT #: 23170	BUY 1 Units @0.0000						
05/14/2018	***-**-8042 - JCM AMERICAN	QUALIFIED PLAN SUMMARY	0.00	1,420.18	0.00	1,420.18	90.0	1,278.16
	ACCOUNT #: 130807	BUY 1 Units @0.0000						
05/14/2018	***-**-74JK - RSI ENTERPRISES,	QUALIFIED PLAN SUMMARY	0.00	524.17	0.00	524.17	90.0	471.75
	ACCOUNT #: 129968	BUY 1 Units @0.0000						
05/14/2018	***-**-2744 - SHREE GANESH LLC	QUALIFIED PLAN SUMMARY	0.00	38.74	0.00	38.74	90.0	34.87
	ACCOUNT #: 127802	BUY 1 Units @0.0000						
05/14/2018	***-**-2070 - TCH LAW PLC 401K	QUALIFIED PLAN SUMMARY	0.00	433.40	0.00	433.40	90.0	390.06
	ACCOUNT #: 123577	BUY 1 Units @0.0000						
05/14/2018	***-**-54QP - STAR NURSERY INC	QUALIFIED PLAN SUMMARY	0.00	1,291.16	0.00	1,291.16	90.0	1,162.04
	ACCOUNT #: 118948	BUY 1 Units @0.0000						
05/14/2018	***-**-7584 - MED-SMART,	QUALIFIED PLAN SUMMARY	0.00	1,499.91	0.00	1,499.91	90.0	1,349.92
	ACCOUNT #: 116011	BUY 1 Units @0.0000						
05/14/2018	***-**-4580 - ROSS AERIAL,	QUALIFIED PLAN SUMMARY	0.00	1,181.97	0.00	1,181.97	90.0	1,063.77
	ACCOUNT #: 114840	BUY 1 Units @0.0000						
05/14/2018	***-**-888K - MKS GRAPHICS,	QUALIFIED PLAN SUMMARY	0.00	1,011.08	0.00	1,011.08	90.0	909.97
	ACCOUNT #: 114333	BUY 1 Units @0.0000						
05/14/2018	***-**-728Q - HORSEMEN INC	QUALIFIED PLAN SUMMARY	0.00	719.60	0.00	719.60	90.0	647.64
	ACCOUNT #: 109030	BUY 1 Units @0.0000						
05/14/2018	***-**-66QP - MVT SERVICES LLC	QUALIFIED PLAN SUMMARY	0.00	1,804.27	0.00	1,804.27	90.0	1,623.84
	ACCOUNT #: 107713	BUY 1 Units @0.0000						
05/14/2018	***-**-0215 - PRO-STEEL	QUALIFIED PLAN SUMMARY	0.00	780.64	0.00	780.64	90.0	702.57
	ACCOUNT #: 107166	BUY 2 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$13,510.17	\$0.00	\$13,510.17		\$12,159.13
*** BROKER TRANSACTIONS TOTAL ***			\$1,335.73	\$16,912.08	\$0.00	\$16,912.08		\$15,220.89



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				INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT			AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
						BROKER COMMISSION TOTALS			
						Broker Transactions			\$14,992.94
						Split Transactions			\$0.00
						Override Transactions			\$0.00
						Adjustments			\$0.00
						Payroll Draw			\$0.00
						Base Salary			\$0.00
						Prior Period Balance			\$0.00
						FINRA Assessment			\$0.00
						SIPC Assessment			\$0.00
						Net Commission Earned			\$15,220.89
						Year-to-date Earnings			\$149,311.79