

**COMMISSION STATEMENT****August 31, 2018**DAVID, Z116 ZUPEK
1126 Bichara BlvdBROKER #: Z116
BRANCH: TADA WEALTH ADVISORS

The Villages, FL 32159

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|---------------------|--------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>DIRECT TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 08/06/2018 | BABICKY, THOMAS | SECURITY LIFE OF DENVER VUL-DB | 888.00 | 22.20 | 0.00 | 22.20 | 90.0 | 19.98 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | \$888.00 | \$22.20 | \$0.00 | \$22.20 | | \$19.98 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u> | | | | | | | | |
| 08/12/2018 | RACK, JOAN | AM GEN POLARIS CHOICE III | 0.00 | 142.59 | 0.00 | 142.59 | 90.0 | 128.33 |
| 08/04/2018 | HARTMAN, BRIAN | ING ESII | 4,944.61 | 3.09 | 0.00 | 3.09 | 90.0 | 2.78 |
| 08/07/2018 | NICOLAZZI, JOYCE | RELIASTAR FLEX DESIGN VUL | 0.00 | 558.24 | 0.00 | 558.24 | 90.0 | 502.42 |
| 07/05/2018 | RACK, JOAN | TRANSAMERICA LIBERTY | 0.00 | 143.74 | 0.00 | 143.74 | 90.0 | 129.37 |
| 07/13/2018 | SOENS, JAMES | TRANSAMERICA LIBERTY | 0.00 | 87.60 | 0.00 | 87.60 | 90.0 | 78.84 |
| 07/13/2018 | HARTGERINK, EDWARD | TRANSAMERICA LIBERTY | 0.00 | 252.75 | 0.00 | 252.75 | 90.0 | 227.48 |
| 07/15/2018 | FRAID, JEROME | TRANSAMERICA LIBERTY | 0.00 | 75.57 | 0.00 | 75.57 | 90.0 | 68.01 |
| 07/17/2018 | MANDLI, JODI | TRANSAMERICA LIBERTY | 0.00 | 29.78 | 0.00 | 29.78 | 90.0 | 26.80 |
| 07/21/2018 | LUDWIG, DEBORA | TRANSAMERICA LIBERTY | 0.00 | 31.51 | 0.00 | 31.51 | 90.0 | 28.36 |
| 07/23/2018 | STARK, GLORIA | TRANSAMERICA LIBERTY | 0.00 | 31.10 | 0.00 | 31.10 | 90.0 | 27.99 |
| 07/23/2018 | DENNE, THOMAS | TRANSAMERICA LIBERTY | 0.00 | 32.36 | 0.00 | 32.36 | 90.0 | 29.12 |
| 07/23/2018 | ZUPEK TRUST | TRANSAMERICA LIBERTY | 0.00 | 0.96 | 0.00 | 0.96 | 90.0 | 0.86 |
| 07/23/2018 | ZUPEK TRUST | TRANSAMERICA LIBERTY | 0.00 | 1.05 | 0.00 | 1.05 | 90.0 | 0.95 |
| 07/24/2018 | HAMILTON, RONALD | TRANSAMERICA LIBERTY | 0.00 | 169.48 | 0.00 | 169.48 | 90.0 | 152.53 |
| 07/24/2018 | KASDORF, LINDA | TRANSAMERICA LIBERTY | 0.00 | 57.36 | 0.00 | 57.36 | 90.0 | 51.62 |
| 07/26/2018 | WAYO, DARLENE | TRANSAMERICA LIBERTY | 0.00 | 136.00 | 0.00 | 136.00 | 90.0 | 122.40 |
| 07/27/2018 | KIELPINSKI, ANTHONY | TRANSAMERICA LIBERTY | 0.00 | 168.62 | 0.00 | 168.62 | 90.0 | 151.76 |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | | | \$4,944.61 | \$1,921.80 | \$0.00 | \$1,921.80 | | \$1,729.62 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | \$5,832.61 | \$1,944.00 | \$0.00 | \$1,944.00 | | \$1,749.60 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|----------------------|----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u> OVERRIDE TRANSACTIONS </u> | | | | | | | | |
| <u>BROKER: MARK-H122 HUBER #89</u> | | | | | | | | |
| 08/10/2018 | GUTT, MARTIN | MFS BLENDED RESEARCH CORE FD B | 100.00 | 4.00 | 0.00 | 4.00 | 0.0 | 3.60 |
| 08/09/2018 | DABROWSKI, AGNIESZKA | MFS DIVERSIFIED INCOME FUND C | 135.00 | 1.35 | 0.00 | 1.35 | 0.0 | 1.22 |
| 08/09/2018 | DABROWSKI, AGNIESZKA | MFS MODERATE ALLOCATION C | 135.00 | 1.35 | 0.00 | 1.35 | 0.0 | 1.22 |
| 08/10/2018 | GUTT, MARTIN | MFS UTILITIES B | 100.00 | 4.00 | 0.00 | 4.00 | 0.0 | 3.60 |
| 08/09/2018 | DABROWSKI, AGNIESZKA | MFS UTILITIES C | 270.00 | 2.70 | 0.00 | 2.70 | 0.0 | 2.43 |
| * MARK-H122 HUBER SUBTOTAL * | | | \$740.00 | \$13.40 | \$0.00 | \$13.40 | | \$12.07 |
| <u>BROKER: R133-MARK/DAVE HUBER/ZUPEK #269</u> | | | | | | | | |
| 08/06/2018 | CLINGERMAN, JENNIFER | AM FUNDS FUNDAMENTAL INVESTORS A | 50.00 | 2.50 | 0.00 | 2.50 | 0.0 | 2.25 |
| * R133-MARK/DAVE HUBER/ZUPEK SUBTOTAL * | | | \$50.00 | \$2.50 | \$0.00 | \$2.50 | | \$2.25 |
| <u>BROKER: MARK-H150 HUBER #396</u> | | | | | | | | |
| 08/06/2018 | ARNETT, DANIEL | LINCOLN LIFE | 408.00 | 10.20 | 0.00 | 10.20 | 0.0 | 9.18 |
| * MARK-H150 HUBER SUBTOTAL * | | | \$408.00 | \$10.20 | \$0.00 | \$10.20 | | \$9.18 |
| *** OVERRIDE TRANSACTIONS TOTAL *** | | | \$1,198.00 | \$26.10 | \$0.00 | \$26.10 | | \$23.50 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$1,749.60 |
| Split Transactions | \$0.00 |
| Override Transactions | \$23.50 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|--------------|
| Check Amount | \$1,773.10 |
| Year-to-date Gross | \$221,788.18 |
| Year-to-date Earnings | \$312,316.49 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed