

COMMISSION STATEMENT

July 15, 2018

 RICHARD DURAND-D020
 9100 IH 10 West
 Suite 210
 San Antonio, TX 78230

 BROKER #: D020
 BRANCH: DMN

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
06/28/2018 (None)	ZIMMERMAN, STEVEN	MF OPPENHEIMER	B	300.00	11.40	0.00	11.40	85.0	9.69
07/05/2018 00064992220	VELA, PATRICIA	MF THE GROWTH FUND OF AMERICA-A	B	125.00	5.31	0.00	5.31	85.0	4.51
07/02/2018 00067562789	PEREZ, GRACIELA	MF AMERICAN BALANCED FUND-A	B	140.50	5.27	0.00	5.27	85.0	4.48
07/02/2018 00068501235	GUTIERREZ, OTTO	MF THE BOND FUND OF AMERICA-A	B	191.59	5.27	0.00	5.27	85.0	4.48
07/06/2018 00073352550	SALINAS, MARICELA	MF THE INCOME FUND OF AMERICA-A	B	199.44	8.48	0.00	8.48	85.0	7.21
07/02/2018 00085713380	BENAVIDES, JOHN	MF CAPITAL WORLD GROWTH AND	B	105.00	3.94	0.00	3.94	85.0	3.35
07/02/2018 00085713380	BENAVIDES, JOHN	MF THE GROWTH FUND OF AMERICA-529A	B	105.00	3.94	0.00	3.94	85.0	3.35
* MUTUAL FUNDS SUBTOTAL *				\$1,166.53	\$43.61	\$0.00	\$43.61		\$37.07
PRODUCT CATEGORY: VARIABLE ANNUITIES									
06/30/2018 009066455A	STEPHENS, JUNE	VA PERSPECTIVE-RPL	B	41,856.86	8.72	0.00	8.72	85.0	7.41
06/30/2018 009066456A	STEPHENS, SHERMAN	VA PERSPECTIVE-RPL	B	31,179.64	6.50	0.00	6.50	85.0	5.53
06/30/2018 1016582030	BRANNIES,	VA PERSP II 04/14	B	326,815.86	136.17	0.00	136.17	85.0	115.74
* VARIABLE ANNUITIES SUBTOTAL *				\$399,852.36	\$151.39	\$0.00	\$151.39		\$128.68
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
06/30/2018 (None)	VARIOUS, DURAND	MFT OPPENHEIMER	B	0.00	29.87	0.00	29.87	85.0	25.39
07/02/2018 00011118709	CORNELISON,	MFT PUTNAM 746870104	B	0.00	17.10	0.00	17.10	85.0	14.54
07/01/2018 00660109470	HANSON, CATHY	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	42.35	0.00	42.35	85.0	36.00
07/01/2018 00670321666	HANSON, JON	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	7.71	0.00	7.71	85.0	6.55
07/01/2018 00670983622	BRASCOM, WAYNE	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	40.02	0.00	40.02	85.0	34.02
07/01/2018 00670983622	BRASCOM, WAYNE	MFT INVESCO COMSTOCK FUND - CLASS A	B	0.00	2.74	0.00	2.74	85.0	2.33
07/01/2018 00670983622	BRASCOM, WAYNE	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	89.51	0.00	89.51	85.0	76.08
07/01/2018 00670983622	BRASCOM, WAYNE	MFT INVESCO GOVT MONEY MARKET FUND	B	0.00	0.20	0.00	0.20	85.0	0.17
07/01/2018 00670983622	BRASCOM, WAYNE	MFT INVESCO SMALL CAP VALUE FUND	B	0.00	0.47	0.00	0.47	85.0	0.40
07/01/2018 00671967256	HUBERT O JOHNSON,	MFT AIM HIGH YIELD FUND - CLASS A	B	0.00	68.24	0.00	68.24	85.0	58.00
07/01/2018 00672205448	GAILER, FRANK	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	52.93	0.00	52.93	85.0	44.99
07/01/2018 00672205449	GAILER, FRANK	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	20.31	0.00	20.31	85.0	17.26
07/01/2018 01001297859	GIFFEN, BOBBIE	MFT INVESCO HIGH YIELD MUNICIPAL FD	B	0.00	57.03	0.00	57.03	85.0	48.48
07/01/2018 03324003970	MATTE, JOSEPH	MFT INVESCO HIGH YIELD MUNICIPAL FD	B	0.00	4.73	0.00	4.73	85.0	4.02
07/01/2018 04031090899	WINTER, MARTHA	MFT AIM CHARTER FUND - CLASS A	B	0.00	20.20	0.00	20.20	85.0	17.17
07/01/2018 04031090899	WINTER, MARTHA	MFT AIM TECHNOLOGY FUND - CLASS A	B	0.00	37.02	0.00	37.02	85.0	31.47

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07/01/2018 04031090899	WINTER, MARTHA	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	13.60	0.00	13.60	85.0	11.56
07/01/2018 04400048090	GIFFEN, BOBBIE	MFT AIM HIGH YIELD FUND - CLASS A	B	0.00	20.80	0.00	20.80	85.0	17.68
07/01/2018 04500008862	ESPARZA, JULIO	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	4.35	0.00	4.35	85.0	3.70
07/01/2018 06605517849	CORNELISON,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	38.38	0.00	38.38	85.0	32.62
07/01/2018 06900079283	GURGANUS, EVELYN	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	22.67	0.00	22.67	85.0	19.27
07/01/2018 06910641128	WALLACE, PATRICIA	MFT AIM INTERNATIONAL GROWTH FUND -	B	0.00	0.03	0.00	0.03	85.0	0.03
07/01/2018 06910641128	WALLACE, PATRICIA	MFT INVESCO GROWTH AND INCOME FUND	B	0.00	0.19	0.00	0.19	85.0	0.16
07/01/2018 08191226810	DEAN, COY	MFT MFS GROWTH ALLOCATION FUND-529A	B	0.00	52.67	0.00	52.67	85.0	44.77
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$643.12	\$0.00	\$643.12		\$546.66
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS									
06/30/2018 (None)	HOWARD, GARY	VAT HARTFORD LIFE	B	0.00	199.17	0.00	199.17	85.0	169.29
06/30/2018 (None)	VARIOUS, DURAND	VAT HARTFORD LIFE	B	0.00	410.07	0.00	410.07	85.0	348.56
07/09/2018 (None)	WCS CONTRA	VAT MASS MUTUAL	B	0.00	1.23	0.00	1.23	85.0	1.05
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$610.47	\$0.00	\$610.47		\$518.90
*** DIRECT TRANSACTIONS TOTAL ***				\$401,018.89	\$1,448.59	\$0.00	\$1,448.59		\$1,231.31

BROKER COMMISSION TOTALS

Broker Transactions	\$1,231.31
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,231.31
Year-to-date Commissions	\$76,267.98