



COMMISSION STATEMENT

May 21, 2018

DEVIN BORN
128 Matthew Dr
Sinking Springs, PA 19608

BROKER #: A95
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/10/2018	***-**-4593 - TOWER, GERTRUDE	FIDELITY ADV MF 040813	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 01471499648	BUY 2 Units @21.5800						
05/10/2018	***-**-03IN - COLLINS, CHRISTY	HIGH YIELD A	200.00	7.00	0.00	7.00	75.0	5.25
	ACCOUNT #: 00777296924	BUY 56 Units @3.5600						
05/10/2018	***-**-03IN - COLLINS, CHRISTY	HIGH YIELD A	100.00	3.50	0.00	3.50	75.0	2.63
	ACCOUNT #: 00777296925	BUY 28 Units @3.5600						
05/10/2018	***-**-03IN - COLLINS, CHRISTY	JOHN HANCOCK BLUE CHIP GROWTH CL A	100.00	3.75	0.00	3.75	75.0	2.81
	ACCOUNT #: 00003377198	BUY 2 Units @41.8100						
05/07/2018	***-**-0738 - WESSNER, TODD	JOHN HANCOCK DISCIPLINED VALUE A	127.49	3.63	0.00	3.63	75.0	2.72
	ACCOUNT #: 00002492630	BUY 6 Units @22.9400						
05/07/2018	***-**-0738 - WESSNER, TODD	JOHN HANCOCK DISCIPLINED VALUE A	38.25	1.09	0.00	1.09	75.0	0.82
	ACCOUNT #: 00002492630	BUY 2 Units @22.9400						
05/07/2018	***-**-0491 - SUTTON, WAYNE	LIFESTYLE AGGRESSIVE PORTFOLIO A	14.25	0.41	0.00	0.41	75.0	0.31
	ACCOUNT #: 00002409892	BUY 1 Units @17.3300						
05/07/2018	***-**-0491 - SUTTON, WAYNE	LIFESTYLE AGGRESSIVE PORTFOLIO A	14.25	0.41	0.00	0.41	75.0	0.31
	ACCOUNT #: 00002409892	BUY 1 Units @17.3300						
05/07/2018	***-**-3295 - KUNKEL, WILLIAM	LIFESTYLE AGGRESSIVE PORTFOLIO A	49.80	1.42	0.00	1.42	75.0	1.07
	ACCOUNT #: 00002492633	BUY 3 Units @17.3300						
05/07/2018	***-**-3295 - KUNKEL, WILLIAM	LIFESTYLE AGGRESSIVE PORTFOLIO A	49.80	1.42	0.00	1.42	75.0	1.07
	ACCOUNT #: 00002492633	BUY 3 Units @17.3300						
05/07/2018	***-**-9183 - DISSINGER,	LIFESTYLE AGGRESSIVE PORTFOLIO A	23.44	0.67	0.00	0.67	75.0	0.50
	ACCOUNT #: 00003368835	BUY 1 Units @17.3300						
05/07/2018	***-**-9183 - DISSINGER,	LIFESTYLE AGGRESSIVE PORTFOLIO A	23.44	0.67	0.00	0.67	75.0	0.50
	ACCOUNT #: 00003368835	BUY 1 Units @17.3300						
05/07/2018	***-**-3321 - WILLIAMS, EDWARD	LIFESTYLE MODERATE PORTFOLIO A	27.79	0.79	0.00	0.79	75.0	0.59
	ACCOUNT #: 00002410404	BUY 2 Units @14.1800						
05/07/2018	***-**-3321 - WILLIAMS, EDWARD	LIFESTYLE MODERATE PORTFOLIO A	27.79	0.79	0.00	0.79	75.0	0.59
	ACCOUNT #: 00002410404	BUY 2 Units @14.1800						
05/17/2018	***-**-660I - LUTZ, RAYMOND	VANGUARD DIVIDEND GROWTH INVESTOR C	31,157.91	0.00	0.00	0.00	75.0	0.00
	ACCOUNT #: LSM000158	SELL 1167 Units @26.7100						
* MUTUAL FUNDS SUBTOTAL *			\$32,004.21	\$28.05	\$0.00	\$28.05		\$21.05



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
05/11/2018	***-**-72IO - BUSHBY, JANET	BLACKROCK KELSO CAP CORPORATION SOL	7,791.00	101.99	40.44	61.55	75.0	46.16
	ACCOUNT #: LSR011729	SELL 1272 Units @6.1250						
05/11/2018	***-**-416J - ANGSTADT, BIANCA	GW PHARMACEUTICALS PLC ADS	4,784.67	25.00	15.66	9.34	75.0	7.01
	ACCOUNT #: LSR012022	BUY 33 Units @144.9900						
05/11/2018	***-**-87IR - BORN, LAUREN	ISHARES TR DOW JONES US MEDICAL DEV	9,823.45	12.00	0.00	12.00	75.0	9.00
	ACCOUNT #: LSM000173	BUY 50 Units @196.4690						
05/11/2018	***-**-72IO - BUSHBY, JANET	ISHARES TR DOW JONES US MEDICAL DEV	19,630.00	54.00	17.00	37.00	75.0	27.75
	ACCOUNT #: LSR011729	BUY 100 Units @196.3000						
05/11/2018	***-**-487I - BERSTLER, DIANA	POWERSHARES QQQ TR UNIT SER 1	12,706.50	54.00	18.00	36.00	75.0	27.00
	ACCOUNT #: LSR012374	BUY 75 Units @169.4200						
05/11/2018	***-**-7246 - KEENER, TAMMY	VANGUARD INDEX FDS FORMERLY VANGUAR	12,508.40	20.00	16.00	4.00	75.0	3.00
	ACCOUNT #: LSR012302	BUY 50 Units @250.1680						
* STOCKS SUBTOTAL *			\$67,244.02	\$266.99	\$107.10	\$159.89		\$119.92
<u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u>								
05/11/2018	***-**-05IR - BORN, SHAWN	BUCKEYE PARTNERS L P UNIT LTD PARTN	8,130.00	12.00	0.00	12.00	75.0	9.00
	ACCOUNT #: LSM000165	BUY 200 Units @40.6500						
05/11/2018	***-**-05IR - BORN, SHAWN	ENERGY TRANSFER PARTNERS LP UNIT LT	9,270.00	12.00	0.00	12.00	75.0	9.00
	ACCOUNT #: LSM000165	BUY 500 Units @18.5400						
05/11/2018	***-**-529J - KILLIAN, FRANCES	ENERGY TRANSFER PARTNERS LP UNIT LT	2,239.20	30.00	0.00	30.00	75.0	22.50
	ACCOUNT #: LSM000171	BUY 120 Units @18.6600						
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$19,639.20	\$54.00	\$0.00	\$54.00		\$40.50
<u>PRODUCT CATEGORY: 529 PLAN</u>								
05/10/2018	***-**-03IN - COLLINS, CHRISTY	FA 529 PORT 2019-OLD CL A	200.00	6.00	0.00	6.00	75.0	4.50
	ACCOUNT #: 00700912066	BUY 9 Units @21.1800						
05/10/2018	***-**-4593 - TOWER, GERTRUDE	FA 529 PORT 2022-CL A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 01471499630	BUY 2 Units @28.4800						
05/10/2018	***-**-4593 - TOWER, GERTRUDE	FA 529 PORT 2022-CL A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 01471499655	BUY 2 Units @28.4800						
* 529 PLAN SUBTOTAL *			\$300.00	\$11.00	\$0.00	\$11.00		\$8.26



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: FINANCIAL PLANNING								
05/22/2018	***-**-393I - HIMMELBERGER, ACCOUNT #: LSM-000154	NFS FINANCIAL PLANNING FEE BUY 0 Units @0.0000	0.00	180.00	0.00	180.00	75.0	135.00
05/22/2018	***-**-715I - HIMMELBERGER, ACCOUNT #: LSM-000155	NFS FINANCIAL PLANNING FEE BUY 0 Units @0.0000	0.00	180.00	0.00	180.00	75.0	135.00
05/22/2018	***-**-005I - BORN, SHAWN ACCOUNT #: LSM-000166	NFS FINANCIAL PLANNING FEE BUY 0 Units @0.0000	0.00	200.00	0.00	200.00	75.0	150.00
05/22/2018	***-**-01IO - RATH, ROBERT ACCOUNT #: LSM-000189	NFS FINANCIAL PLANNING FEE BUY 0 Units @0.0000	0.00	360.00	0.00	360.00	75.0	270.00
05/22/2018	***-**-05IR - BORN, SHAWN ACCOUNT #: LSM-000165	NFS FINANCIAL PLANNING FEE BUY 0 Units @0.0000	0.00	180.00	0.00	180.00	75.0	135.00
* FINANCIAL PLANNING SUBTOTAL *			\$0.00	\$1,100.00	\$0.00	\$1,100.00		\$825.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
05/14/2018	***-**-94IR - BARRETT, JAMES ACCOUNT #: 00002174510	BALANCED A BUY 0 Units @0.0000	0.00	0.78	0.00	0.78	75.0	0.59
05/14/2018	***-**-6725 - BARRETT, TRACIE ACCOUNT #: 00002174511	BALANCED A BUY 0 Units @0.0000	0.00	0.78	0.00	0.78	75.0	0.59
05/21/2018	***-**-17IO - KILLIAN, FRANCES ACCOUNT #: LSM000170	BLACKROCK HEALTH SCIENCES FUND CLAS BUY 1 Units @0.0000	0.00	6.16	0.00	6.16	75.0	4.62
05/14/2018	***-**-9897 - ZIMMERMAN, PAUL ACCOUNT #: 00002034883	BOND A BUY 0 Units @0.0000	0.00	19.61	0.00	19.61	75.0	14.71
05/21/2018	***-**-087J - BORN, LAUREN ACCOUNT #: LSM000172	BOND A BUY 1 Units @0.0000	0.00	14.06	0.00	14.06	75.0	10.55
05/21/2018	***-**-14IN - WHITE, DEBORAH ACCOUNT #: LSR012479	BOND A BUY 1 Units @0.0000	0.00	19.93	0.00	19.93	75.0	14.95
05/21/2018	***-**-55IO - APPLEBY, SHARON ACCOUNT #: LSR012278	COLUMBIA INCOME OPPT FD CL A BUY 1 Units @0.0000	0.00	8.56	0.00	8.56	75.0	6.42
05/21/2018	***-**-87IR - BORN, LAUREN ACCOUNT #: LSM000173	EQUITY INCOME BUY 1 Units @0.0000	0.00	1.47	0.00	1.47	75.0	1.10
05/21/2018	***-**-05IR - BORN, SHAWN ACCOUNT #: LSM000165	EQUITY INCOME FUND, INC. A BUY 1 Units @0.0000	0.00	3.12	0.00	3.12	75.0	2.34
05/21/2018	***-**-17IO - KILLIAN, FRANCES ACCOUNT #: LSM000170	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 1 Units @0.0000	0.00	41.15	0.00	41.15	75.0	30.86



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/21/2018	***-**-087J - BORN, LAUREN	FIDELITY ADV HIGH INCOME ADVANTAGE	0.00	2.58	0.00	2.58	75.0	1.94
	ACCOUNT #: LSM000172	BUY 1 Units @0.0000						
05/21/2018	***-**-915I - FIDLER, JOYCE	FIDELITY ADV HIGH INCOME ADVANTAGE	0.00	13.34	0.00	13.34	75.0	10.01
	ACCOUNT #: LSM000191	BUY 1 Units @0.0000						
05/21/2018	***-**-72IO - BOYER, SUSAN	FIDELITY ADV HIGH INCOME ADVANTAGE	0.00	7.08	0.00	7.08	75.0	5.31
	ACCOUNT #: LSR011696	BUY 1 Units @0.0000						
05/21/2018	***-**-26IN - NYBERG-PENNELL,	FIDELITY ADV HIGH INCOME ADVANTAGE	0.00	9.05	0.00	9.05	75.0	6.79
	ACCOUNT #: LSR011842	BUY 1 Units @0.0000						
05/21/2018	***-**-26IN - NYBERG-PENNELL,	FIDELITY ADV HIGH INCOME ADVANTAGE	0.00	24.88	0.00	24.88	75.0	18.66
	ACCOUNT #: LSR011843	BUY 1 Units @0.0000						
05/21/2018	***-**-57IN - NAUGLE, DENNIS	FIDELITY ADV HIGH INCOME-CL A	0.00	8.81	0.00	8.81	75.0	6.61
	ACCOUNT #: LSM000136	BUY 1 Units @0.0000						
05/21/2018	***-**-34IO - KAUFFMAN, GARY	FIDELITY ADV HIGH INCOME-CL A	0.00	6.99	0.00	6.99	75.0	5.24
	ACCOUNT #: LSM000145	BUY 1 Units @0.0000						
05/21/2018	***-**-915I - FIDLER, JOYCE	FIDELITY ADV HIGH INCOME-CL A	0.00	12.55	0.00	12.55	75.0	9.41
	ACCOUNT #: LSM000191	BUY 1 Units @0.0000						
05/21/2018	***-**-274I - EDRIS, DEBRA	FIDELITY ADV HIGH INCOME-CL A	0.00	9.48	0.00	9.48	75.0	7.11
	ACCOUNT #: LSR011699	BUY 1 Units @0.0000						
05/21/2018	***-**-33IO - GARGIULO, PAUL	FIDELITY ADV INTERMEDIATE BOND-CL A	0.00	1.13	0.00	1.13	75.0	0.85
	ACCOUNT #: LSR011694	BUY 1 Units @0.0000						
05/21/2018	***-**-72IO - BOYER, SUSAN	FIDELITY ADV INTERMEDIATE BOND-CL A	0.00	4.50	0.00	4.50	75.0	3.38
	ACCOUNT #: LSR011696	BUY 1 Units @0.0000						
05/21/2018	***-**-33IN - SCHWEMMER, JOHN	FIDELITY ADV INVESTMENT GRADE BOND-	0.00	7.73	0.00	7.73	75.0	5.80
	ACCOUNT #: LSR012199	BUY 1 Units @0.0000						
05/21/2018	***-**-17IO - KILLIAN, FRANCES	FIDELITY ADV LEVERAGED CO STOCK CL	0.00	6.34	0.00	6.34	75.0	4.76
	ACCOUNT #: LSM000170	BUY 1 Units @0.0000						
05/21/2018	***-**-55IO - APPLEBY, SHARON	FIDELITY ADV MID CAP II-CL A	0.00	3.67	0.00	3.67	75.0	2.75
	ACCOUNT #: LSR012278	BUY 1 Units @0.0000						
05/21/2018	***-**-38IO - SCHWEMMER,	FIDELITY ADV NEW INSIGHTS-CL A	0.00	7.09	0.00	7.09	75.0	5.32
	ACCOUNT #: LSR011612	BUY 1 Units @0.0000						
05/21/2018	***-**-38IO - SCHWEMMER, MARY	FIDELITY ADV NEW INSIGHTS-CL A	0.00	5.99	0.00	5.99	75.0	4.49
	ACCOUNT #: LSR011798	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/21/2018	***-**-17IO - KILLIAN, FRANCES	FIDELITY ADV VALUE LEADERS-CL A	0.00	6.85	0.00	6.85	75.0	5.14
	ACCOUNT #: LSM000170	BUY 1 Units @0.0000						
05/21/2018	***-**-87IR - BORN, LAUREN	FIDELITY ADV WORLDWIDE-CL A	0.00	1.43	0.00	1.43	75.0	1.07
	ACCOUNT #: LSM000173	BUY 1 Units @0.0000						
05/21/2018	***-**-4593 - TOWER, GERTRUDE	FIDELITY ADVISOR GOVERNMENT INCOME	0.00	6.50	0.00	6.50	75.0	4.88
	ACCOUNT #: LSM000148	BUY 1 Units @0.0000						
05/21/2018	***-**-596I - EDRIS, TERRY	FIDELITY ADVISOR HIGH INCOME	0.00	13.08	0.00	13.08	75.0	9.81
	ACCOUNT #: LSR011700	BUY 1 Units @0.0000						
05/21/2018	***-**-38IO - SCHWEMMER, MARY	FIDELITY ADVISOR LATIN AMERICA CL A	0.00	1.81	0.00	1.81	75.0	1.36
	ACCOUNT #: LSR011798	BUY 1 Units @0.0000						
05/21/2018	***-**-087J - BORN, LAUREN	FINANCIAL INDUSTRIES A	0.00	1.59	0.00	1.59	75.0	1.19
	ACCOUNT #: LSM000172	BUY 1 Units @0.0000						
05/21/2018	***-**-087J - BORN, LAUREN	GREATER CHINA OPP A	0.00	2.06	0.00	2.06	75.0	1.55
	ACCOUNT #: LSM000172	BUY 1 Units @0.0000						
05/14/2018	***-**-4330 - FRANTZ, LOIS	HIGH YIELD A	0.00	14.17	0.00	14.17	75.0	10.63
	ACCOUNT #: 00001455848	BUY 0 Units @0.0000						
05/14/2018	***-**-310I - NAUGLE, ANN	HIGH YIELD A	0.00	2.61	0.00	2.61	75.0	1.96
	ACCOUNT #: 00001860223	BUY 0 Units @0.0000						
05/14/2018	***-**-86IN - PATTON, JULIE	HIGH YIELD A	0.00	14.16	0.00	14.16	75.0	10.62
	ACCOUNT #: 00001999970	BUY 0 Units @0.0000						
05/14/2018	***-**-6725 - BARRETT, TRACIE	HIGH YIELD A	0.00	4.45	0.00	4.45	75.0	3.34
	ACCOUNT #: 00002174325	BUY 0 Units @0.0000						
05/14/2018	***-**-94IR - BARRETT, JAMES	HIGH YIELD A	0.00	3.93	0.00	3.93	75.0	2.95
	ACCOUNT #: 00002174327	BUY 0 Units @0.0000						
05/14/2018	***-**-9897 - ZIMMERMAN, PAUL	HIGH YIELD A	0.00	10.09	0.00	10.09	75.0	7.57
	ACCOUNT #: 00002176710	BUY 0 Units @0.0000						
05/14/2018	***-**-2137 - ECK, DREW	HIGH YIELD A	0.00	2.02	0.00	2.02	75.0	1.52
	ACCOUNT #: 00002188371	BUY 0 Units @0.0000						
05/14/2018	***-**-068I - SHOLLENBERGER,	HIGH YIELD A	0.00	2.76	0.00	2.76	75.0	2.07
	ACCOUNT #: 00002188879	BUY 0 Units @0.0000						
05/14/2018	***-**-0514 - WESSNER, ARLAN	HIGH YIELD A	0.00	7.03	0.00	7.03	75.0	5.27
	ACCOUNT #: 00002492869	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/14/2018	***-**-68IN - DODDS, JOHN	HIGH YIELD A	0.00	15.95	0.00	15.95	75.0	11.96
	ACCOUNT #: 00777291985	BUY 0 Units @0.0000						
05/14/2018	***-**-03IN - COLLINS, CHRISTY	HIGH YIELD A	0.00	5.95	0.00	5.95	75.0	4.46
	ACCOUNT #: 00777296924	BUY 0 Units @0.0000						
05/14/2018	***-**-03IN - COLLINS, CHRISTY	HIGH YIELD A	0.00	6.03	0.00	6.03	75.0	4.52
	ACCOUNT #: 00777296925	BUY 0 Units @0.0000						
05/14/2018	***-**-4803 - HERTZOG, MICHAEL	HIGH YIELD A	0.00	7.71	0.00	7.71	75.0	5.78
	ACCOUNT #: 00777305368	BUY 0 Units @0.0000						
05/21/2018	***-**-57IN - NAUGLE, DENNIS	HIGH YIELD A	0.00	10.71	0.00	10.71	75.0	8.03
	ACCOUNT #: LSM000136	BUY 1 Units @0.0000						
05/21/2018	***-**-068I - SHOLLENBERGER,	HIGH YIELD A	0.00	16.09	0.00	16.09	75.0	12.07
	ACCOUNT #: LSM000143	BUY 1 Units @0.0000						
05/21/2018	***-**-28IO - KAUFFMAN,	HIGH YIELD A	0.00	19.48	0.00	19.48	75.0	14.61
	ACCOUNT #: LSM000146	BUY 1 Units @0.0000						
05/21/2018	***-**-86IN - PATTON, JULIE	HIGH YIELD A	0.00	14.91	0.00	14.91	75.0	11.18
	ACCOUNT #: LSM000147	BUY 1 Units @0.0000						
05/21/2018	***-**-4593 - TOWER, GERTRUDE	HIGH YIELD A	0.00	7.51	0.00	7.51	75.0	5.63
	ACCOUNT #: LSM000148	BUY 1 Units @0.0000						
05/21/2018	***-**-393I - HIMMELBERGER,	HIGH YIELD A	0.00	18.73	0.00	18.73	75.0	14.05
	ACCOUNT #: LSM000154	BUY 1 Units @0.0000						
05/21/2018	***-**-715I - HIMMELBERGER,	HIGH YIELD A	0.00	11.80	0.00	11.80	75.0	8.85
	ACCOUNT #: LSM000155	BUY 1 Units @0.0000						
05/21/2018	***-**-55IO - HARTMAN, RICHARD	HIGH YIELD A	0.00	12.03	0.00	12.03	75.0	9.02
	ACCOUNT #: LSM000169	BUY 1 Units @0.0000						
05/21/2018	***-**-529J - KILLIAN, FRANCES	HIGH YIELD A	0.00	7.10	0.00	7.10	75.0	5.33
	ACCOUNT #: LSM000171	BUY 1 Units @0.0000						
05/21/2018	***-**-087J - BORN, LAUREN	HIGH YIELD A	0.00	17.17	0.00	17.17	75.0	12.88
	ACCOUNT #: LSM000172	BUY 1 Units @0.0000						
05/21/2018	***-**-25IO - SMITH, CAROL	HIGH YIELD A	0.00	8.49	0.00	8.49	75.0	6.37
	ACCOUNT #: LSM000178	BUY 1 Units @0.0000						
05/21/2018	***-**-915I - FIDLER, JOYCE	HIGH YIELD A	0.00	8.18	0.00	8.18	75.0	6.14
	ACCOUNT #: LSM000191	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/21/2018	***-**-596I - EDRIS, TERRY	HIGH YIELD A	0.00	15.38	0.00	15.38	75.0	11.54
	ACCOUNT #: LSR011700	BUY 1 Units @0.0000						
05/21/2018	***-**-225I - GANGEWERE, MARK	HIGH YIELD A	0.00	0.67	0.00	0.67	75.0	0.50
	ACCOUNT #: LSR011891	BUY 1 Units @0.0000						
05/21/2018	***-**-55IO - APPLEBY, SHARON	HIGH YIELD A	0.00	10.94	0.00	10.94	75.0	8.21
	ACCOUNT #: LSR012278	BUY 1 Units @0.0000						
05/21/2018	***-**-014I - WHITE, DEBORAH	HIGH YIELD A	0.00	20.15	0.00	20.15	75.0	15.11
	ACCOUNT #: LSR012480	BUY 1 Units @0.0000						
05/14/2018	***-**-86IN - PATTON, JULIE	HIGH YIELD B	0.00	5.56	0.00	5.56	75.0	4.17
	ACCOUNT #: 00001858344	BUY 0 Units @0.0000						
05/14/2018	***-**-9736 - SINDACO, LINDA	HIGH YIELD B	0.00	2.48	0.00	2.48	75.0	1.86
	ACCOUNT #: 00002001832	BUY 0 Units @0.0000						
05/14/2018	***-**-03IN - COLLINS, CHRISTY	HIGH YIELD B	0.00	1.81	0.00	1.81	75.0	1.36
	ACCOUNT #: 00005979470	BUY 0 Units @0.0000						
05/14/2018	***-**-03IN - COLLINS, CHRISTY	HIGH YIELD B	0.00	1.82	0.00	1.82	75.0	1.37
	ACCOUNT #: 00005979471	BUY 0 Units @0.0000						
05/14/2018	***-**-68IN - DODDS, JOHN	HIGH YIELD B	0.00	3.28	0.00	3.28	75.0	2.46
	ACCOUNT #: 00006280812	BUY 0 Units @0.0000						
05/21/2018	***-**-87IR - BORN, LAUREN	INTERNATIONAL BOND A	0.00	0.96	0.00	0.96	75.0	0.72
	ACCOUNT #: LSM000173	BUY 1 Units @0.0000						
05/21/2018	***-**-514J - GEHRINGER,	JOHN HANCOCK ALTER ASSET ALLOC FUND	0.00	2.01	0.00	2.01	75.0	1.51
	ACCOUNT #: LSR011750	BUY 1 Units @0.0000						
05/14/2018	***-**-03IN - COLLINS, CHRISTY	JOHN HANCOCK BLUE CHIP GROWTH CL A	0.00	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 00003377198	BUY 0 Units @0.0000						
05/21/2018	***-**-487I - BERSTLER, DIANA	JOHN HANCOCK BLUE CHIP GROWTH CL A	0.00	6.77	0.00	6.77	75.0	5.08
	ACCOUNT #: LSR012374	BUY 1 Units @0.0000						
05/21/2018	***-**-38IO - SCHWEMMER,	JOHN HANCOCK BOND FUND CLASS B	0.00	6.34	0.00	6.34	75.0	4.76
	ACCOUNT #: LSR011612	BUY 1 Units @0.0000						
05/21/2018	***-**-33IO - GARGIULO, PAUL	JOHN HANCOCK BOND FUND CLASS B	0.00	10.22	0.00	10.22	75.0	7.67
	ACCOUNT #: LSR011694	BUY 1 Units @0.0000						
05/21/2018	***-**-35IO - CAMERON, NANCY	JOHN HANCOCK BOND FUND CLASS B	0.00	6.77	0.00	6.77	75.0	5.08
	ACCOUNT #: LSR011782	BUY 1 Units @0.0000						



COMMISSION STATEMENT

May 21, 2018

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128 Matthew Dr
Sinking Springs, PA 19608

BROKER #: A95
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/21/2018	***-**-710J - MOYER, EVELYN	JOHN HANCOCK BOND FUND CLASS B	0.00	9.83	0.00	9.83	75.0	7.37
	ACCOUNT #: LSR011892	BUY 1 Units @0.0000						
05/21/2018	***-**-40IO - BERSTLER, DAVID	JOHN HANCOCK CLASSIC VALUE FUND CLA	0.00	2.43	0.00	2.43	75.0	1.82
	ACCOUNT #: LSR011823	BUY 1 Units @0.0000						
05/14/2018	***-**-94IR - BARRETT, JAMES	JOHN HANCOCK DISCIPLINED VALUE A	0.00	0.87	0.00	0.87	75.0	0.65
	ACCOUNT #: 00002174326	BUY 0 Units @0.0000						
05/14/2018	***-**-6725 - BARRETT, TRACIE	JOHN HANCOCK DISCIPLINED VALUE A	0.00	0.87	0.00	0.87	75.0	0.65
	ACCOUNT #: 00002174512	BUY 0 Units @0.0000						
05/14/2018	***-**-9897 - ZIMMERMAN, PAUL	JOHN HANCOCK DISCIPLINED VALUE A	0.00	0.58	0.00	0.58	75.0	0.44
	ACCOUNT #: 00002176709	BUY 0 Units @0.0000						
05/14/2018	***-**-0738 - WESSNER, TODD	JOHN HANCOCK DISCIPLINED VALUE A	0.00	17.84	0.00	17.84	75.0	13.38
	ACCOUNT #: 00002492630	BUY 0 Units @0.0000						
05/14/2018	***-**-6725 - BARRETT, TRACIE	JOHN HANCOCK GLOBAL SHAREHOLDER YIE	0.00	0.74	0.00	0.74	75.0	0.56
	ACCOUNT #: 00002174324	BUY 0 Units @0.0000						
05/21/2018	***-**-01IO - RATH, ROBERT	JOHN HANCOCK LIFESTYLE AGGR PORTFOL	0.00	10.71	0.00	10.71	75.0	8.03
	ACCOUNT #: LSM000189	BUY 1 Units @0.0000						
05/14/2018	***-**-514J - GEHRINGER,	JOHN HANCOCK NEW OPPORTUNITIES CL A	0.00	3.22	0.00	3.22	75.0	2.42
	ACCOUNT #: 00777222058	BUY 0 Units @0.0000						
05/14/2018	***-**-514J - GEHRINGER,	JOHN HANCOCK NEW OPPORTUNITIES CL A	0.00	4.78	0.00	4.78	75.0	3.59
	ACCOUNT #: 00777236470	BUY 0 Units @0.0000						
05/14/2018	***-**-2833 - SCHWEMMER, JOHN	JOHN HANCOCK NEW OPPORTUNITIES CL A	0.00	21.81	0.00	21.81	75.0	16.36
	ACCOUNT #: 00777288968	BUY 0 Units @0.0000						
05/14/2018	***-**-514J - GEHRINGER,	JOHN HANCOCK NEW OPPORTUNITIES CL A	0.00	1.19	0.00	1.19	75.0	0.89
	ACCOUNT #: 00777290682	BUY 0 Units @0.0000						
05/21/2018	***-**-10IN - YAKAITIS, JOSEPH	JOHN HANCOCK NEW OPPORTUNITIES CL A	0.00	3.26	0.00	3.26	75.0	2.45
	ACCOUNT #: LSM000175	BUY 1 Units @0.0000						
05/21/2018	***-**-33IN - SCHWEMMER, JOHN	JOHN HANCOCK NEW OPPORTUNITIES CL A	0.00	2.16	0.00	2.16	75.0	1.62
	ACCOUNT #: LSR012199	BUY 1 Units @0.0000						
05/14/2018	***-**-514J - GEHRINGER,	LARGE CAP EQUITY A	0.00	4.18	0.00	4.18	75.0	3.14
	ACCOUNT #: 00002049765	BUY 0 Units @0.0000						
05/14/2018	***-**-10IN - YAKAITIS, JOSEPH	LARGE CAP EQUITY A	0.00	1.51	0.00	1.51	75.0	1.13
	ACCOUNT #: 00777289121	BUY 0 Units @0.0000						



COMMISSION STATEMENT

May 21, 2018

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/14/2018	***-**-514J - GEHRINGER, ACCOUNT #: 00777290844	LARGE CAP EQUITY A BUY 0 Units @0.0000	0.00	1.32	0.00	1.32	75.0	0.99
05/21/2018	***-**-33IN - SCHWEMMER, JOHN ACCOUNT #: LSR012199	LARGE CAP EQUITY A BUY 1 Units @0.0000	0.00	5.08	0.00	5.08	75.0	3.81
05/21/2018	***-**-33IN - SCHWEMMER, JOHN ACCOUNT #: LSR012199	LARGE CAP EQUITY B BUY 1 Units @0.0000	0.00	0.03	0.00	0.03	75.0	0.02
05/14/2018	***-**-0491 - SUTTON, WAYNE ACCOUNT #: 00002409892	LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 0 Units @0.0000	0.00	0.34	0.00	0.34	75.0	0.26
05/14/2018	***-**-3295 - KUNKEL, WILLIAM ACCOUNT #: 00002492633	LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 0 Units @0.0000	0.00	1.07	0.00	1.07	75.0	0.80
05/14/2018	***-**-9183 - DISSINGER, ACCOUNT #: 00003368835	LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 0 Units @0.0000	0.00	0.43	0.00	0.43	75.0	0.32
05/21/2018	***-**-514J - GEHRINGER, ACCOUNT #: LSR011750	LIFESTYLE GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	9.33	0.00	9.33	75.0	7.00
05/14/2018	***-**-3321 - WILLIAMS, EDWARD ACCOUNT #: 00002410404	LIFESTYLE MODERATE PORTFOLIO A BUY 0 Units @0.0000	0.00	1.27	0.00	1.27	75.0	0.95
05/21/2018	***-**-225I - GANGEWERE, MARK ACCOUNT #: LSR011891	OPPENHEIMER SMALL & MID CAP VALUE F BUY 1 Units @0.0000	0.00	1.81	0.00	1.81	75.0	1.36
05/21/2018	***-**-87IR - BORN, LAUREN ACCOUNT #: LSM000173	PRINCIPAL INVESTOR HIGH YIELD II CL BUY 1 Units @0.0000	0.00	3.52	0.00	3.52	75.0	2.64
05/21/2018	***-**-33IN - SCHWEMMER, JOHN ACCOUNT #: LSR012199	PUTNAM CAPITAL SPECTRUM FD CL B BUY 1 Units @0.0000	0.00	3.45	0.00	3.45	75.0	2.59
05/21/2018	***-**-87IR - BORN, LAUREN ACCOUNT #: LSM000173	PUTNAM GROWTH OPPORTUNITIES FUND CL BUY 1 Units @0.0000	0.00	1.82	0.00	1.82	75.0	1.37
05/21/2018	***-**-33IO - GARGIULO, PAUL ACCOUNT #: LSR011694	PUTNAM GROWTH OPPORTUNITIES FUND CL BUY 1 Units @0.0000	0.00	1.78	0.00	1.78	75.0	1.34
05/21/2018	***-**-4593 - TOWER, GERTRUDE ACCOUNT #: LSM000148	PUTNAM HIGH YIELD ADVANTAGE-A BUY 1 Units @0.0000	0.00	6.61	0.00	6.61	75.0	4.96
05/21/2018	***-**-38IO - SCHWEMMER, MARY ACCOUNT #: LSR011798	PUTNAM HIGH YIELD ADVANTAGE-A BUY 1 Units @0.0000	0.00	3.68	0.00	3.68	75.0	2.76
05/21/2018	***-**-34IO - KAUFFMAN, GARY ACCOUNT #: LSM000145	PUTNAM INCOME FUND B BUY 1 Units @0.0000	0.00	3.42	0.00	3.42	75.0	2.57



COMMISSION STATEMENT

May 21, 2018

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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/21/2018	***-**-33IO - GARGIULO, PAUL	PUTNAM INCOME FUND B	0.00	5.09	0.00	5.09	75.0	3.82
	ACCOUNT #: LSR011694	BUY 1 Units @0.0000						
05/14/2018	***-**-4803 - HERTZOG, MICHAEL	RETIREMENT LIVING THROUGH 2040 PORT	0.00	4.31	0.00	4.31	75.0	3.23
	ACCOUNT #: 00001712975	BUY 0 Units @0.0000						
05/14/2018	***-**-514J - GEHRINGER,	STRATEGIC GROWTH A	0.00	2.46	0.00	2.46	75.0	1.85
	ACCOUNT #: 00777224541	BUY 0 Units @0.0000						
05/21/2018	***-**-652J - BUSHBY, WILLIAM	THE BOND FUND OF AMERICA-F1	0.00	0.83	0.00	0.83	75.0	0.62
	ACCOUNT #: LSR011728	BUY 1 Units @0.0000						
05/21/2018	***-**-17IO - KILLIAN, FRANCES	THE HARTFORD CAPITAL APPRECIATION F	0.00	32.32	0.00	32.32	75.0	24.24
	ACCOUNT #: LSM000170	BUY 1 Units @0.0000						
05/21/2018	***-**-57IN - NAUGLE, DENNIS	THE HARTFORD HIGH YIELD FUND-A	0.00	6.55	0.00	6.55	75.0	4.91
	ACCOUNT #: LSM000136	BUY 1 Units @0.0000						
05/21/2018	***-**-33IN - SCHWEMMER, JOHN	THE HARTFORD HIGH YIELD FUND-A	0.00	8.26	0.00	8.26	75.0	6.20
	ACCOUNT #: LSR012199	BUY 1 Units @0.0000						
05/21/2018	***-**-01IO - RATH, ROBERT	THE HARTFORD MID CAP FUND-A	0.00	16.30	0.00	16.30	75.0	12.23
	ACCOUNT #: LSM000189	BUY 1 Units @0.0000						
05/21/2018	***-**-652J - BUSHBY, WILLIAM	THE INCOME FUND OF AMERICA-F1	0.00	2.54	0.00	2.54	75.0	1.91
	ACCOUNT #: LSR011728	BUY 1 Units @0.0000						
05/21/2018	***-**-40IO - BERSTLER, DAVID	THE INCOME FUND OF AMERICA-F1	0.00	3.97	0.00	3.97	75.0	2.98
	ACCOUNT #: LSR011823	BUY 1 Units @0.0000						
05/21/2018	***-**-40IO - BERSTLER, DAVID	US GLOBAL LEADERS GROWTH C	0.00	17.69	0.00	17.69	75.0	13.27
	ACCOUNT #: LSR011823	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$855.69	\$0.00	\$855.69		\$641.92
*** BROKER TRANSACTIONS TOTAL ***			\$119,187.43	\$2,315.73	\$107.10	\$2,208.63		\$1,656.65



BROKER #: A95
BRANCH #01001 - LifeMark Home Office

				GROSS	CLEARING	NET	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
BROKER COMMISSION TOTALS							
						Broker Transactions	\$1,656.65
						Split Transactions	\$0.00
						Override Transactions	\$0.00
						Adjustments	\$0.00
						Payroll Draw	\$0.00
						Base Salary	\$0.00
						Prior Period Balance	\$0.00
						FINRA Assessment	\$0.00
						SIPC Assessment	\$0.00
						Net Commission Earned	\$1,656.65
						Year-to-date Earnings	\$23,767.53