



COMMISSION STATEMENT

February 26, 2018

LIFEMARK HOUSE
400 West Metro Financial Ctr
Rochester, NY 14623

BROKER #: LMSHO
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
02/15/2018	***-**-6438 - IM, KATE ACCOUNT #: 00089219765	AMERICAN FUNDS 02629M587 BUY 8 Units @12.2000	100.00	1.60	0.00	1.60	0.0	0.00
02/15/2018	***-**-735P - STEVENS, SCOTT ACCOUNT #: 00089508283	AMERICAN FUNDS 02629M587 BUY 16 Units @12.3900	200.00	5.50	0.00	5.50	0.0	0.00
02/16/2018	***-**-8981 - HELTMAN, JOHN ACCOUNT #: 04000050648	AMERICAN FUNDS 02629M587 BUY 4 Units @12.4900	50.00	1.75	0.00	1.75	0.0	0.00
02/15/2018	***-**-549I - BOXMAN, KENNETH ACCOUNT #: 00068811528	AMERICAN FUNDS 02629M645 BUY 5 Units @9.9200	50.00	1.00	0.00	1.00	0.0	0.00
02/15/2018	***-**-205P - BISCOTTI, ACCOUNT #: 00064937834	AMERICAN FUNDS 2030 TARGET DATE-A BUY 2 Units @15.3600	30.00	1.13	0.00	1.13	0.0	0.00
02/15/2018	***-**-60RI - DUGGAN, TERESA ACCOUNT #: 00073561351	AMERICAN FUNDS 2030 TARGET DATE-A BUY 10 Units @15.3600	150.00	5.63	0.00	5.63	0.0	0.00
02/15/2018	***-**-13IR - CULBERT, ACCOUNT #: 00086860608	AMERICAN FUNDS 2030 TARGET DATE-A BUY 16 Units @15.3600	250.00	9.38	0.00	9.38	0.0	0.00
02/15/2018	***-**-745P - MILETO, LEO ACCOUNT #: 00082235651	AMERICAN FUNDS 2035 TARGET DATE-A BUY 3 Units @15.7400	50.00	1.38	0.00	1.38	0.0	0.00
02/15/2018	***-**-07IR - ROVIRA, IRENE ACCOUNT #: 00085130072	AMERICAN FUNDS 2035 TARGET DATE-A BUY 16 Units @15.9100	250.00	9.38	0.00	9.38	0.0	0.00
02/15/2018	***-**-32SI - FERRARA, FABIO ACCOUNT #: 00082423834	AMERICAN FUNDS 2035 TARGET DATE-A BUY 28 Units @15.9900	450.00	19.13	0.00	19.13	0.0	0.00
02/15/2018	***-**-265P - MILETO, LINDA ACCOUNT #: 00082235774	AMERICAN FUNDS 2040 TARGET DATE-A BUY 6 Units @16.1600	100.00	2.75	0.00	2.75	0.0	0.00
02/15/2018	***-**-765P - PETERSON, AMY ACCOUNT #: 00073810635	AMERICAN FUNDS 2040 TARGET DATE-A BUY 6 Units @16.4100	100.00	4.25	0.00	4.25	0.0	0.00
02/15/2018	***-**-525P - FERRARA, ACCOUNT #: 00082423808	AMERICAN FUNDS 2040 TARGET DATE-A BUY 27 Units @16.4100	450.00	19.13	0.00	19.13	0.0	0.00
02/13/2018	***-**-2822 - FRANGOULIS, ACCOUNT #: 04000297016	AMERICAN FUNDS 2045 TARGET DATE-A BUY 22 Units @16.4200	360.00	18.00	0.00	18.00	0.0	0.00
02/13/2018	***-**-9707 - SETLEN, JAMIE ACCOUNT #: 04000428014	AMERICAN FUNDS 2045 TARGET DATE-A BUY 9 Units @16.4200	150.00	7.50	0.00	7.50	0.0	0.00
02/15/2018	***-**-504I - MARSHALL, ACCOUNT #: 00086714414	AMERICAN FUNDS 2045 TARGET DATE-A BUY 12 Units @16.6000	200.00	7.50	0.00	7.50	0.0	0.00



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u>								
02/15/2018	***-**-887I - IM, RICHARD	AMERICAN FUNDS GRWTH & INCOME PORT	50.00	0.80	0.00	0.80	0.0	0.00
	ACCOUNT #: 00086890530	BUY 3 Units @15.7700						
02/15/2018	***-**-452J - GARDNER, DANIEL	AMERICAN MUTUAL FUND-A	1,500.00	30.00	0.00	30.00	0.0	0.00
	ACCOUNT #: 00084433333	BUY 35 Units @42.3100						
02/15/2018	***-**-452J - GARDNER, DANIEL	CAPITAL INCOME BUILDER-A	1,500.00	30.00	0.00	30.00	0.0	0.00
	ACCOUNT #: 00084433333	BUY 23 Units @64.0500						
02/16/2018	***-**-04IN - MURPHY, PAUL	CAPITAL INCOME BUILDER-A	200.00	3.20	0.00	3.20	0.0	0.00
	ACCOUNT #: 00075938729	BUY 3 Units @63.8400						
02/15/2018	***-**-655P - DUDAS, DANIELLE	CAPITAL WORLD GROWTH AND INCOME FUN	50.00	2.13	0.00	2.13	0.0	0.00
	ACCOUNT #: 00075306339	BUY 1 Units @55.5300						
02/15/2018	***-**-625P - OSBORNE, ASA J	CAPITAL WORLD GROWTH AND INCOME FUN	120.00	5.10	0.00	5.10	0.0	0.00
	ACCOUNT #: 00081116910	BUY 2 Units @55.5300						
02/15/2018	***-**-105P - REDDIAR,	COLLEGE 2018 FUND-529A	250.00	5.00	0.00	5.00	0.0	0.00
	ACCOUNT #: 00068500024	BUY 23 Units @10.7300						
02/15/2018	***-**-205P - VAN DYK,	COLLEGE 2018 FUND-529A	500.00	17.50	0.00	17.50	0.0	0.00
	ACCOUNT #: 00084319083	BUY 46 Units @10.9200						
02/15/2018	***-**-43FB - FISHER, BETH	COLLEGE 2021 FUND-529A	1,000.00	16.00	0.00	16.00	0.0	0.00
	ACCOUNT #: 00087645860	BUY 89 Units @11.2000						
02/15/2018	***-**-965P - ROZENCWAIG,	COLLEGE 2021 FUND-529A	250.00	6.88	0.00	6.88	0.0	0.00
	ACCOUNT #: 00074006097	BUY 22 Units @11.3800						
02/15/2018	***-**-549I - BOXMAN, KENNETH	COLLEGE 2021 FUND-529A	50.00	1.75	0.00	1.75	0.0	0.00
	ACCOUNT #: 00084815726	BUY 4 Units @11.4700						
02/15/2018	***-**-43FB - FISHER, BETH	COLLEGE 2024 FUND-529A	1,000.00	16.00	0.00	16.00	0.0	0.00
	ACCOUNT #: 00087645856	BUY 83 Units @12.0700						
02/15/2018	***-**-238I - STELLER, KEITH	COLLEGE 2024 FUND-529A	100.00	2.00	0.00	2.00	0.0	0.00
	ACCOUNT #: 00081574508	BUY 8 Units @12.1300						
02/15/2018	***-**-095P - BECKER, STEPHEN	COLLEGE 2024 FUND-529A	200.00	5.50	0.00	5.50	0.0	0.00
	ACCOUNT #: 00083497419	BUY 16 Units @12.2600						
02/15/2018	***-**-915P - ANAND, POOJA	COLLEGE 2024 FUND-529A	250.00	8.75	0.00	8.75	0.0	0.00
	ACCOUNT #: 00087471634	BUY 20 Units @12.3600						
02/15/2018	***-**-555P - FUCHS, JEFF	COLLEGE 2027 FUND-529A	400.00	4.80	0.00	4.80	0.0	0.00
	ACCOUNT #: 00085687170	BUY 31 Units @12.8600						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u>								
02/15/2018	***-**-3204 - MURPHY, PAUL	COLLEGE 2027 FUND-529A	300.00	4.80	0.00	4.80	0.0	0.00
	ACCOUNT #: 00084140220	BUY 23 Units @12.9300						
02/15/2018	***-**-385P - STELLER, KEITH	COLLEGE 2027 FUND-529A	100.00	2.00	0.00	2.00	0.0	0.00
	ACCOUNT #: 00084096050	BUY 8 Units @12.9900						
02/15/2018	***-**-7623 - BESTIMT, JASON	COLLEGE 2027 FUND-529A	200.00	5.50	0.00	5.50	0.0	0.00
	ACCOUNT #: 00084698857	BUY 15 Units @13.1300						
02/15/2018	***-**-095P - BECKER, STEPHEN	COLLEGE 2027 FUND-529A	200.00	5.50	0.00	5.50	0.0	0.00
	ACCOUNT #: 00085704555	BUY 15 Units @13.1300						
02/15/2018	***-**-715P - WIEZOREK, STEVEN	COLLEGE 2027 FUND-529A	200.00	7.00	0.00	7.00	0.0	0.00
	ACCOUNT #: 00085664947	BUY 15 Units @13.2300						
02/15/2018	***-**-145P - ZUKERBERG,	COLLEGE 2027 FUND-529A	50.00	1.75	0.00	1.75	0.0	0.00
	ACCOUNT #: 00089641045	BUY 4 Units @13.2300						
02/16/2018	***-**-04IN - MURPHY, PAUL	EUROPACIFIC GROWTH FUND-A	200.00	3.20	0.00	3.20	0.0	0.00
	ACCOUNT #: 00075938729	BUY 3 Units @59.2000						
02/15/2018	***-**-887I - IM, RICHARD	GLOBAL GROWTH PORTFOLIO-A	50.00	0.80	0.00	0.80	0.0	0.00
	ACCOUNT #: 00086890530	BUY 3 Units @17.7000						
02/15/2018	***-**-452J - GARDNER, DANIEL	INTERNATIONAL GROWTH AND INCOME-A	1,500.00	30.00	0.00	30.00	0.0	0.00
	ACCOUNT #: 00084433333	BUY 41 Units @36.9800						
02/15/2018	***-**-452J - GARDNER, DANIEL	NEW WORLD FUND-A	1,500.00	30.00	0.00	30.00	0.0	0.00
	ACCOUNT #: 00084433333	BUY 21 Units @70.7800						
02/16/2018	***-**-04IN - MURPHY, PAUL	NEW WORLD FUND-A	200.00	3.20	0.00	3.20	0.0	0.00
	ACCOUNT #: 00075938729	BUY 3 Units @70.2700						
02/15/2018	***-**-452J - GARDNER, DANIEL	SMALLCAP WORLD FUND-A	1,500.00	30.00	0.00	30.00	0.0	0.00
	ACCOUNT #: 00084433333	BUY 26 Units @58.5100						
02/15/2018	***-**-805P - DUDAS, DEAN	THE GROWTH FUND OF AMERICA-A	50.00	2.13	0.00	2.13	0.0	0.00
	ACCOUNT #: 07366655519	BUY 1 Units @55.0100						
02/16/2018	***-**-04IN - MURPHY, PAUL	THE GROWTH FUND OF AMERICA-A	200.00	3.20	0.00	3.20	0.0	0.00
	ACCOUNT #: 00075938729	BUY 4 Units @53.2400						
02/15/2018	***-**-08IN - SHAAB, KERRY	THE INCOME FUND OF AMERICA-A	50.00	1.38	0.00	1.38	0.0	0.00
	ACCOUNT #: 00082254619	BUY 2 Units @24.2300						
* MUTUAL FUNDS SUBTOTAL *			\$16,660.00	\$400.88	\$0.00	\$400.88		\$0.00



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: 529 PLAN</u>								
02/15/2018	***-**-525P - GARDNER, DANIEL	AMCAP FUND-529A	600.00	12.00	0.00	12.00	0.0	0.00
	ACCOUNT #: 00086081038	BUY 18 Units @33.6000						
02/16/2018	***-**-185P - BROZIC, MICHAEL	AMCAP FUND-529A	125.00	2.50	0.00	2.50	0.0	0.00
	ACCOUNT #: 00086510064	BUY 4 Units @33.6000						
02/15/2018	***-**-265P - MILETO, LINDA	AMERICAN MUTUAL FUND-529A	50.00	1.38	0.00	1.38	0.0	0.00
	ACCOUNT #: 00082236188	BUY 1 Units @42.6400						
02/15/2018	***-**-445P - PRAGER, TIFFANY	AMERICAN MUTUAL FUND-529A	200.00	7.50	0.00	7.50	0.0	0.00
	ACCOUNT #: 00073887960	BUY 5 Units @43.0900						
02/16/2018	***-**-245P - GALFORD, DAVID	AMERICAN MUTUAL FUND-529A	50.00	2.50	0.00	2.50	0.0	0.00
	ACCOUNT #: 00084737271	BUY 1 Units @43.7700						
02/15/2018	***-**-385P - LITMAN, WENDY	CAPITAL WORLD GROWTH AND INCOME-529	50.00	1.38	0.00	1.38	0.0	0.00
	ACCOUNT #: 00074632301	BUY 1 Units @54.4800						
02/15/2018	***-**-745P - MILETO, LEO	CAPITAL WORLD GROWTH AND INCOME-529	50.00	1.38	0.00	1.38	0.0	0.00
	ACCOUNT #: 00081348773	BUY 1 Units @54.4800						
02/15/2018	***-**-425P - LEWIS, SALLY	CAPITAL WORLD GROWTH AND INCOME-529	50.00	2.13	0.00	2.13	0.0	0.00
	ACCOUNT #: 00074632483	BUY 1 Units @55.3400						
02/16/2018	***-**-245P - GALFORD, DAVID	CAPITAL WORLD GROWTH AND INCOME-529	50.00	2.50	0.00	2.50	0.0	0.00
	ACCOUNT #: 00084737271	BUY 1 Units @55.8300						
02/15/2018	***-**-3204 - MURPHY, PAUL	COLLEGE 2030 FUND-529A	300.00	4.80	0.00	4.80	0.0	0.00
	ACCOUNT #: 00086597475	BUY 21 Units @14.0800						
02/15/2018	***-**-875P - IM, RICHARD	COLLEGE 2030 FUND-529A	100.00	1.60	0.00	1.60	0.0	0.00
	ACCOUNT #: 00087215160	BUY 7 Units @14.0800						
02/15/2018	***-**-185P - BROZIC, MICHAEL	COLLEGE 2030 FUND-529A	500.00	10.00	0.00	10.00	0.0	0.00
	ACCOUNT #: 00087251237	BUY 35 Units @14.1500						
02/15/2018	***-**-735P - STEVENS, SCOTT	COLLEGE 2030 FUND-529A	200.00	5.50	0.00	5.50	0.0	0.00
	ACCOUNT #: 00086510410	BUY 14 Units @14.3000						
02/15/2018	***-**-235P - BESTIMT, JASON	COLLEGE 2030 FUND-529A	200.00	5.50	0.00	5.50	0.0	0.00
	ACCOUNT #: 00087180468	BUY 14 Units @14.3000						
02/15/2018	***-**-457I - CRAIG, EDWARD	COLLEGE 2030 FUND-529A	50.00	1.38	0.00	1.38	0.0	0.00
	ACCOUNT #: 00087736955	BUY 3 Units @14.3000						
02/15/2018	***-**-045P - MARSHALL,	COLLEGE 2030 FUND-529A	200.00	7.00	0.00	7.00	0.0	0.00
	ACCOUNT #: 00087648239	BUY 14 Units @14.4100						



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: 529 PLAN (continued)</u>								
02/15/2018	***-**-715P - WIEZOREK, STEVEN	COLLEGE 2030 FUND-529A	200.00	7.00	0.00	7.00	0.0	0.00
	ACCOUNT #: 00087693003	BUY 14 Units @14.4100						
02/15/2018	***-**-145P - ZUKERBERG,	COLLEGE 2030 FUND-529A	50.00	1.75	0.00	1.75	0.0	0.00
	ACCOUNT #: 00089641028	BUY 3 Units @14.4100						
02/15/2018	***-**-1014 -	COLLEGE 2030 FUND-529A	250.00	8.75	0.00	8.75	0.0	0.00
	ACCOUNT #: 04000140755	BUY 17 Units @14.4100						
02/15/2018	***-**-525P - GARDNER, DANIEL	EUROPACIFIC GROWTH FUND-529A	300.00	6.00	0.00	6.00	0.0	0.00
	ACCOUNT #: 00084433353	BUY 5 Units @58.9000						
02/15/2018	***-**-525P - GARDNER, DANIEL	EUROPACIFIC GROWTH FUND-529A	600.00	12.00	0.00	12.00	0.0	0.00
	ACCOUNT #: 00086081038	BUY 10 Units @58.9000						
02/16/2018	***-**-185P - BROZIC, MICHAEL	EUROPACIFIC GROWTH FUND-529A	125.00	2.50	0.00	2.50	0.0	0.00
	ACCOUNT #: 00086510064	BUY 2 Units @58.8100						
02/15/2018	***-**-525P - GARDNER, DANIEL	FUNDAMENTAL INVESTORS-529A	600.00	12.00	0.00	12.00	0.0	0.00
	ACCOUNT #: 00086081038	BUY 9 Units @65.5000						
02/16/2018	***-**-185P - BROZIC, MICHAEL	FUNDAMENTAL INVESTORS-529A	125.00	2.50	0.00	2.50	0.0	0.00
	ACCOUNT #: 00086510064	BUY 2 Units @65.4300						
02/15/2018	***-**-525P - GARDNER, DANIEL	NEW WORLD FUND-529A	300.00	6.00	0.00	6.00	0.0	0.00
	ACCOUNT #: 00084433353	BUY 4 Units @70.1600						
02/15/2018	***-**-525P - GARDNER, DANIEL	SMALLCAP WORLD FUND-529A	300.00	6.00	0.00	6.00	0.0	0.00
	ACCOUNT #: 00084433353	BUY 5 Units @57.8500						
02/15/2018	***-**-525P - GARDNER, DANIEL	SMALLCAP WORLD FUND-529A	600.00	12.00	0.00	12.00	0.0	0.00
	ACCOUNT #: 00086081038	BUY 10 Units @57.8500						
02/16/2018	***-**-185P - BROZIC, MICHAEL	SMALLCAP WORLD FUND-529A	125.00	2.50	0.00	2.50	0.0	0.00
	ACCOUNT #: 00086510064	BUY 2 Units @58.0200						
02/15/2018	***-**-525P - GARDNER, DANIEL	THE GROWTH FUND OF AMERICA-529A	300.00	6.00	0.00	6.00	0.0	0.00
	ACCOUNT #: 00084433353	BUY 6 Units @53.0500						
02/15/2018	***-**-425P - LEWIS, SALLY	THE GROWTH FUND OF AMERICA-529A	50.00	2.13	0.00	2.13	0.0	0.00
	ACCOUNT #: 00082721773	BUY 1 Units @54.4400						
* 529 PLAN SUBTOTAL *			\$6,700.00	\$156.18	\$0.00	\$156.18		\$0.00



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
02/20/2018	***-**-89IR - PAPPAS, ARTHUR	CAPITAL INCOME BUILDER-A	0.00	1.26	0.00	1.26	0.0	0.00
	ACCOUNT #: LSR010709	BUY 1 Units @0.0000						
02/20/2018	***-**-25IR - BEAUBOUF, TAMMY	HCM DIVIDEND SECTOR PLUS FND CL A-N	0.00	0.69	0.00	0.69	0.0	0.00
	ACCOUNT #: LSR012348	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1.95	\$0.00	\$1.95		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$23,360.00	\$559.01	\$0.00	\$559.01		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-3,055.02
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$-3,055.02
Year-to-date Earnings	\$0.00