

**COMMISSION STATEMENT****November 15, 2017**

ROBERT (RUSTY) TWEED  
2060 Huntington Drive  
Suite 1  
San Marino, CA 91108

BROKER #: T117 Terminated 10/30/2015  
BRANCH: TWEED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/07/2017	DANIELS, PHILIP	OPPENHEIMER CA MUNICIPAL A	-12,100.00	0.00	0.00	0.00	0.0	0.00
10/24/2017	PETRIE, RODNEY	VERTICAL CAPITAL INCOME FUND A	-3,400.95	22.00	22.00	0.00	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-15,500.95	\$22.00	\$22.00	\$0.00		\$0.00
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
11/10/2017	UMBRELLO-WHITE, RAQUEL	DISNEY WALT CO DISNEY COM	-18,340.80	22.00	22.50	-0.50	0.0	0.00
* STOCKS SUBTOTAL *			\$-18,340.80	\$22.00	\$22.50	\$-0.50		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$-33,841.75	\$44.00	\$44.50	\$-0.50		\$0.00

**DIRECT TRANSACTIONS**

<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
11/03/2017	ENGSTROM, COLEMAN	COLUMBIA ACORN INT'L A	0.00	2.65	0.00	2.65	0.0	0.00
11/03/2017	PANTON, GARY T & DEBORA	COLUMBIA CALIFORNIA TAX-EXEMPT FUND	0.00	0.89	0.00	0.89	0.0	0.00
11/03/2017	UMBRELLO, ALBERT	COLUMBIA LARGE CAP GROWTH A	0.00	6.99	0.00	6.99	0.0	0.00
11/03/2017	UMBRELLO, ALBERT	COLUMBIA SELIGMAN COMM AND INFO A	0.00	5.98	0.00	5.98	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$0.00	\$16.51	\$0.00	\$16.51		\$0.00
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
10/31/2017	ZAX TRUST	JNL PERSPECTIVE	386.89	0.08	0.00	0.08	0.0	0.00
10/31/2017	STEPHENSON, STAR	JNL PERSPECTIVE II 04/14	8,805.84	3.67	0.00	3.67	0.0	0.00
10/31/2017	ANDERSON, DAVID LEE	JNL PERSPECTIVE L	62,594.75	67.81	0.00	67.81	0.0	0.00
10/31/2017	WHITE, LINDA	JNL PERSPECTIVE L	78,551.04	65.46	0.00	65.46	0.0	0.00
10/31/2017	ENGSTROM, WAYNE	JNL PERSPECTIVE L	34,649.11	28.87	0.00	28.87	0.0	0.00
10/31/2017	AMIGLEO, MICHELLE	JNL PERSPECTIVE L	15,808.92	13.17	0.00	13.17	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$200,796.55	\$179.06	\$0.00	\$179.06		\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
10/19/2017	SMITH, REBECCA	AM FUNDS AMERICAN BALANCED C	0.00	8.91	0.00	8.91	0.0	0.00
10/13/2017	ZELLMAN, DEAN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.26	0.00	2.26	0.0	0.00
10/23/2017	SCIOLINI, ALDO	FRANKLIN INCOME A	0.00	2.20	0.00	2.20	0.0	0.00
10/27/2017	LEDWITZ TRUST, MARTIN & ELIZAB	JAMES ALPHA GLOBAL REAL ESTATE FUND	0.00	1.02	0.00	1.02	0.0	0.00
10/13/2017	CHAVEZ, VICTOR	JH CLASSIC VALUE A	0.00	7.54	0.00	7.54	0.0	0.00
10/19/2017	DANIELS, PHILIP	OPPENHEIMER CA MUNICIPAL A	0.00	13.84	0.00	13.84	0.0	0.00
10/24/2017	CHAVEZ, VICTOR	VICTORY INTEGRITY SMALL-CAP VALUE F	0.00	5.24	0.00	5.24	0.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$41.01</b>	<b>\$0.00</b>	<b>\$41.01</b>		<b>\$0.00</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$200,796.55</b>	<b>\$236.58</b>	<b>\$0.00</b>	<b>\$236.58</b>		<b>\$0.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-7.50
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$-7.50</b>
<b>Year-to-date Gross</b>	<b>\$10,834.52</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>

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