



COMMISSION STATEMENT

July 30, 2018

WILLIAM FRANCIS
2 Bobrick Road
Poughkeepsie, NY 12601

BROKER #: A88
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/18/2018	***-**-2471 - COLUCCI, ATHENA	AF MODERATE GROWTH AND INCOME-A	-675.01	-13.50	0.00	-13.50	70.0	-9.45
	ACCOUNT #: LSR011898	CANCEL 44 Units @15.3900						
07/16/2018	***-**-0485 - WHALEN, STEPHEN	ALLIANCE BERNSTEIN LARGE-CAP GROWTH	40.00	1.60	0.00	1.60	70.0	1.12
	ACCOUNT #: 04752066331	BUY 1 Units @40.0200						
07/25/2018	***-**-460I - MILLS, ALEXIS	AMERICAN 2055 TARGET DATE RETIREMEN	5,540.00	277.00	18.00	259.00	70.0	181.30
	ACCOUNT #: LSR012769	BUY 263 Units @21.0500						
07/26/2018	***-**-569K - ANDERSON,	AMERICAN FUNDS GROWTH PORTFOLIO A	7,147.99	196.57	18.00	178.57	70.0	125.00
	ACCOUNT #: LSR011745	BUY 355 Units @20.1500						
07/26/2018	***-**-569K - ANDERSON,	AMERICAN FUNDS INCOME PORT CL A	7,149.00	196.59	18.00	178.59	70.0	125.01
	ACCOUNT #: LSR011745	BUY 547 Units @13.0600						
07/16/2018	***-**-3281 - DEWITT JR, LEE	BALANCED STRATEGY FUND CLASS A	100.00	2.00	0.00	2.00	70.0	1.40
	ACCOUNT #: 02400113961	BUY 8 Units @12.0800						
07/20/2018	***-**-5922 - HOTAILING,	FRANKLIN INCOME FUND CLASS A	112.50	3.15	0.00	3.15	70.0	2.21
	ACCOUNT #: 000090221306726	BUY 47 Units @2.4000						
07/20/2018	***-**-5922 - HOTAILING,	FRANKLIN RISING DIVIDENDS FD CLASS	112.50	3.15	0.00	3.15	70.0	2.21
	ACCOUNT #: 000015812472167	BUY 2 Units @65.2100						
07/20/2018	***-**-5922 - HOTAILING,	FRK HIGH INC FND - CL A	112.50	3.15	0.00	3.15	70.0	2.21
	ACCOUNT #: 000050118127094	BUY 59 Units @1.9100						
07/16/2018	***-**-4642 - COOKE, ROBERT	MAINSTAY TOTAL RETURN BOND FUND CL	200.00	2.00	0.00	2.00	70.0	1.40
	ACCOUNT #: 00055761480	BUY 19 Units @10.3200						
07/20/2018	***-**-5922 - HOTAILING,	TEMP GLOBAL BOND FND - CL A	112.50	3.15	0.00	3.15	70.0	2.21
	ACCOUNT #: 000006984984022	BUY 9 Units @12.1200						
07/25/2018	***-**-60IN - MILLS, ALEXIS	THE TAX-EXEMPT BOND FUND OF AMERICA	2,162.00	21.62	18.00	3.62	70.0	2.53
	ACCOUNT #: LSR012585	BUY 169 Units @12.8200						
* MUTUAL FUNDS SUBTOTAL *			\$22,113.98	\$696.48	\$72.00	\$624.48		\$437.15
<u>PRODUCT CATEGORY: RIA</u>								
07/30/2018	***-**-47FB - TORRES, SHARI	INVESTMENT ADVISORY (PARTICIPANTS)	49,289.70	123.22	0.00	123.22	70.0	86.25
	ACCOUNT #: 297864	BUY 0 Units @4.9289						
* RIA SUBTOTAL *			\$49,289.70	\$123.22	\$0.00	\$123.22		\$86.25



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<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/30/2018	***-**-2471 - COLUCCI, ATHENA	CALAMOS TRAILS	0.00	3.14	0.00	3.14	70.0	2.20
	ACCOUNT #: 6020029186	BUY 0 Units @0.0000						
07/31/2018	***-**-637I - BRUSIE, DOROTHY	EQUITY INCOME FUND, INC. A	0.00	2.61	0.00	2.61	70.0	1.83
	ACCOUNT #: LSR011447	BUY 1 Units @0.0000						
07/31/2018	***-**-091I - GLASSFORD,	OPP PORT SERIES: MOD INV FD A	0.00	1.88	0.00	1.88	70.0	1.32
	ACCOUNT #: LSR011526	BUY 1 Units @0.0000						
07/31/2018	***-**-637I - BRUSIE, DOROTHY	OPPENHEIMER GLOBAL HIGH YIELD CL A	0.00	2.01	0.00	2.01	70.0	1.41
	ACCOUNT #: LSR011447	BUY 1 Units @0.0000						
07/31/2018	***-**-637I - BRUSIE, DOROTHY	OPPENHEIMER SENIOR FLOATING RATE PL	0.00	2.11	0.00	2.11	70.0	1.48
	ACCOUNT #: LSR011447	BUY 1 Units @0.0000						
07/31/2018	***-**-637I - BRUSIE, DOROTHY	OPPENHEIMER STEELPATH MLP INC.FND C	0.00	0.33	0.00	0.33	70.0	0.23
	ACCOUNT #: LSR011447	BUY 1 Units @0.0000						
07/31/2018	***-**-445J - NORTH, CHARLES	ROCHESTER FUND MUNICIPALS A	0.00	9.25	0.00	9.25	70.0	6.48
	ACCOUNT #: LSR011778	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$21.33	\$0.00	\$21.33		\$14.95
*** BROKER TRANSACTIONS TOTAL ***			\$71,403.68	\$841.03	\$72.00	\$769.03		\$538.35



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$538.35
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$538.35
						Year-to-date Earnings		\$39,924.98