

**COMMISSION STATEMENT****October 15, 2017****MARC LINSKY**

104 B. Centre Blvd

Marlton, NJ 08053

BROKER #: L134

BRANCH: E STREET FINANCIAL L

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
08/31/2017	ROSENBLUM, LUCILLE	GRIFFIN INST'L ACCESS R E FUND C	0.00	45.53	0.00	45.53	90.0	40.98
10/03/2017	APPLEBAUM, ALVIN	GRIFFIN INST'L ACCESS R E FUND C	23,021.01	230.21	0.00	230.21	90.0	207.19
* INTERVAL FUNDS SUBTOTAL *			\$23,021.01	\$275.74	\$0.00	\$275.74		\$248.17
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/02/2017	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	400.00	17.00	0.00	17.00	90.0	15.30
10/02/2017	CHERRY, ADAM	AM FUNDS CAPITAL WORLD GRTH & INC 5	1,000.00	10.00	0.00	10.00	90.0	9.00
10/02/2017	RIZZO, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	-800.00	22.00	22.00	0.00	90.0	0.00
10/02/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	10.13
10/02/2017	RIZZO, DIANE	AM FUNDS GROWTH FUND OF AMERICA A	-600.00	22.00	22.00	0.00	90.0	0.00
10/02/2017	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	17.00	0.00	17.00	90.0	15.30
/ /	GOLDBERG, SCOTT	ASCENSUS WVA AGE-BASED PF 12-13 A	0.00	3.69	0.00	3.69	90.0	3.32
09/28/2017	GOLDBERG, SCOTT	ASCENSUS WVA AGE-BASED PF 12-13 A	200.00	5.00	0.00	5.00	90.0	4.50
10/02/2017	STRAUS, KAREN	LORD ABBETT BOND DEBENTURE A	-750.00	0.00	0.00	0.00	90.0	0.00
09/29/2017	APPLEBAUM, ALVIN	LORD ABBETT FUNDAMENTAL EQUITY A	17,011.02	850.55	22.00	828.55	90.0	745.70
09/26/2017	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	-35.00	22.00	22.00	0.00	90.0	0.00
09/26/2017	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	35.00	-22.00	-4.00	-18.00	90.0	-16.20
09/26/2017	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	-34.99	0.00	22.00	-22.00	90.0	-19.80
09/27/2017	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	-1,100.00	22.00	22.00	0.00	90.0	0.00
09/29/2017	APPLEBAUM, ALVIN	LORD ABBETT SHORT DURATION INCOME A	17,003.16	340.06	22.00	318.06	90.0	286.25
09/27/2017	KRAMER, LESLIE	LORD ABBETT SHORT DURATION INCOME F	-300.00	22.00	22.00	0.00	90.0	0.00
10/02/2017	TRICHON, DOROTHY	PUTNAM AMERICAN GOVT INCOME-A	0.00	4.08	0.00	4.08	90.0	3.67
10/02/2017	TRICHON, DOROTHY	PUTNAM AMERICAN GOVT INCOME-A	0.00	6.26	0.00	6.26	90.0	5.63
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	4.57	0.00	4.57	90.0	4.11
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.46	0.00	0.46	90.0	0.41
09/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	300.00	7.50	0.00	7.50	90.0	6.75
09/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	3.38

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	GOLDBERG, SCOTT	WVA AGED-BASED PORTFOLIO 9-13 A	0.00	3.20	0.00	3.20	90.0	2.88
* MUTUAL FUNDS SUBTOTAL *			\$33,104.19	\$1,372.37	\$172.00	\$1,200.37		\$1,080.33
<u>PRODUCT CATEGORY: STOCKS</u>								
09/27/2017	JACKSON, SHIRLEY	ISHARES SILVER TR ISHARES	9,956.70	30.00	22.94	7.06	90.0	6.35
10/09/2017	D'URSO, GIACOMO	PITNEY BOWES INC	-5,232.34	30.00	22.56	7.44	90.0	6.70
09/27/2017	JACKSON, SHIRLEY	SPDR GOLD TR GOLD SHS	10,017.04	30.00	22.50	7.50	90.0	6.75
09/28/2017	LINSKY, HARVEY	TIME WARNER INC NEW COM NEW	-1,644.69	30.00	22.50	7.50	90.0	6.75
* STOCKS SUBTOTAL *			\$13,096.71	\$120.00	\$90.50	\$29.50		\$26.55
<u>PRODUCT CATEGORY: BONDS</u>								
10/10/2017	DADINO JR, LAWRENCE	GENERAL MTRS ACCEP CORP FOR ISSUE S	-12,931.70	0.00	27.00	-27.00	90.0	-24.30
* BONDS SUBTOTAL *			\$-12,931.70	\$0.00	\$27.00	\$-27.00		\$-24.30
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/05/2017	LINSKY, VARIOUS	TRANSAMERICA	735.00	3.68	0.00	3.68	90.0	3.31
09/29/2017	LINSKY, MARC	ALLIANZ HIGH FIVE TRADITIONAL	118,752.59	296.88	0.00	296.88	90.0	267.19
09/29/2017	GALM, KATHLEEN	ALLIANZ HIGH FIVE TRADITIONAL	37,273.54	93.18	0.00	93.18	90.0	83.86
09/29/2017	HIRSHMAN, BETTY	ALLIANZ HIGH FIVE TRADITIONAL	291,822.23	729.56	0.00	729.56	90.0	656.60
09/29/2017	HAMBLETON, JOHN	ALLIANZ HIGH FIVE TRADITIONAL	75,989.66	189.97	0.00	189.97	90.0	170.97
09/29/2017	YANKOWITZ, HARRIETT	ALLIANZ HIGH FIVE TRADITIONAL	23,025.09	57.56	0.00	57.56	90.0	51.80
09/29/2017	DOLIN, RHODA	ALLIANZ HIGH FIVE TRADITIONAL	140,772.61	351.93	0.00	351.93	90.0	316.74
09/29/2017	CIBELLI, JANE	AXA ACCUM 04 ELITE (RO IRA)	46,013.77	143.79	0.00	143.79	90.0	129.41
09/29/2017	CZERWONKA, REMIGIA	HARTFORD LEADERS OUTLOOK (ILA)	16,027.36	40.07	0.00	40.07	90.0	36.06
09/29/2017	KROSNOWSKI, LISA	HARTFORD PHCM V	6,262.61	6.26	0.00	6.26	90.0	5.63
10/04/2017	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	18.90
09/30/2017	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	269,690.71	224.74	0.00	224.74	90.0	202.27
09/30/2017	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	278,188.38	231.82	0.00	231.82	90.0	208.64
09/30/2017	NANI, JOSEPH	JNL PERSPECTIVE L	412,986.23	344.16	0.00	344.16	90.0	309.74
09/30/2017	FELDNER, BARBARA	JNL PERSPECTIVE L	505,405.29	421.17	0.00	421.17	90.0	379.05
09/30/2017	STERR, CHRISTOPHER	JNL PERSPECTIVE L	110,390.34	91.99	0.00	91.99	90.0	82.79
09/30/2017	OTT, CAROL	JOHN HANCOCK VENTURE	14,027.43	2.92	0.00	2.92	90.0	2.63
09/30/2017	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	210,938.09	175.78	0.00	175.78	90.0	158.20
09/27/2017	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	149.46	0.00	149.46	90.0	134.51
09/24/2017	GALERMAN, ALAN	TRANSAMERICA LIBERTY	115,658.81	96.38	0.00	96.38	90.0	86.74

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
* VARIABLE ANNUITIES SUBTOTAL *			\$2,674,259.74	\$3,672.30	\$0.00	\$3,672.30		\$3,305.04
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/11/2017	CENTANNI, RICHARD	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.83	0.00	0.83	90.0	0.75
09/11/2017	TRICHON, DONNA-LYNN	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	11.71	0.00	11.71	90.0	10.54
09/14/2017	CHIECO, DANIEL	AM CENT EQUITY INCOME	0.00	3.99	0.00	3.99	90.0	3.59
09/18/2017	MEDIA ENTERPRISES	AM FUNDS AMCAP A	0.00	3.68	0.00	3.68	90.0	3.31
09/18/2017	GREENWELL, MARION	AM FUNDS AMCAP C	0.00	6.61	0.00	6.61	90.0	5.95
09/18/2017	GREENWELL, MARION	AM FUNDS AMCAP F1	0.00	0.28	0.00	0.28	90.0	0.25
09/18/2017	BERK, SHERRI	AM FUNDS AMERICAN BALANCED A	0.00	0.18	0.00	0.18	90.0	0.16
09/18/2017	MELVIN, MARY THOMPSON	AM FUNDS AMERICAN BALANCED A	0.00	1.77	0.00	1.77	90.0	1.59
09/18/2017	TAYLOR, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	0.47	0.00	0.47	90.0	0.42
09/18/2017	TAYLOR, DIANE	AM FUNDS AMERICAN BALANCED A	0.00	0.47	0.00	0.47	90.0	0.42
09/18/2017	APPLEGATE, PAMAEALA	AM FUNDS AMERICAN BALANCED A	0.00	0.97	0.00	0.97	90.0	0.87
09/18/2017	RIZZO, DIANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.97	0.00	0.97	90.0	0.87
09/18/2017	APPLEGATE, PAMAEALA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.80	0.00	0.80	90.0	0.72
09/18/2017	LINSKY, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.72	0.00	3.72	90.0	3.35
09/18/2017	MEDIA ENTERPRISES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.97	0.00	2.97	90.0	2.67
09/18/2017	RIZZO, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.40	0.00	1.40	90.0	1.26
09/18/2017	MELVIN, GREG	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.70	0.00	0.70	90.0	0.63
09/18/2017	POLLAN, JACLYN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.71	0.00	2.71	90.0	2.44
09/18/2017	FLEISCH, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	14.29	0.00	14.29	90.0	12.86
09/18/2017	MELVIN, MARY THOMPSON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.49	0.00	1.49	90.0	1.34
09/18/2017	CHERRY, AYDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.86	0.00	0.86	90.0	0.77
09/18/2017	LINSKY, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.40	0.00	4.40	90.0	3.96
09/18/2017	RIZZO, DIANE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.58	0.00	1.58	90.0	1.42
09/18/2017	OLIVETI, FRANK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.02	0.00	6.02	90.0	5.42
09/18/2017	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.33	0.00	4.33	90.0	3.90
09/18/2017	PERETTI, TERESA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.72	0.00	1.72	90.0	1.55
09/18/2017	CENTANNI, BETTY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.37	0.00	0.37	90.0	0.33
09/18/2017	CENTANNI, RICHARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.92	0.00	0.92	90.0	0.83
09/18/2017	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.35	0.00	1.35	90.0	1.22
09/18/2017	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.63	0.00	0.63	90.0	0.57
09/18/2017	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.02	0.00	1.02	90.0	0.92

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/18/2017	NATHAN, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.62	0.00	0.62	90.0	0.56
09/18/2017	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	2.60	0.00	2.60	90.0	2.34
09/18/2017	POLLAN, JACLYN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.55	0.00	2.55	90.0	2.30
09/18/2017	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.23	0.00	5.23	90.0	4.71
09/18/2017	SPECTER, SHELBY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.31	0.00	5.31	90.0	4.78
09/18/2017	RIZZO, DIANE	AM FUNDS ICA A	0.00	1.45	0.00	1.45	90.0	1.31
09/18/2017	FLEISCH, ROBERT	AM FUNDS ICA A	0.00	8.65	0.00	8.65	90.0	7.79
09/18/2017	BOND-HILTNER, LINDA	AM FUNDS ICA A	0.00	0.05	0.00	0.05	90.0	0.05
09/18/2017	LINSKY, MARC	AM FUNDS ICA A	0.00	0.22	0.00	0.22	90.0	0.20
09/18/2017	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.55	0.00	0.55	90.0	0.50
09/18/2017	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.89	0.00	0.89	90.0	0.80
09/18/2017	NATHAN, SUSAN	AM FUNDS ICA A	0.00	0.54	0.00	0.54	90.0	0.49
09/18/2017	TAYLOR, THOMAS	AM FUNDS ICA A	0.00	0.56	0.00	0.56	90.0	0.50
09/18/2017	TAYLOR, DIANE	AM FUNDS ICA A	0.00	0.56	0.00	0.56	90.0	0.50
09/18/2017	APPLEGATE, PAMAELA	AM FUNDS ICA A	0.00	0.97	0.00	0.97	90.0	0.87
09/18/2017	SPECTER, SHELBY	AM FUNDS ICA C	0.00	5.21	0.00	5.21	90.0	4.69
09/18/2017	SPECTER TRUST, STEPHANIE	AM FUNDS ICA F1	0.00	12.15	0.00	12.15	90.0	10.94
09/18/2017	SPECTER, LINDSAY	AM FUNDS ICA F1	0.00	4.71	0.00	4.71	90.0	4.24
09/18/2017	SPECTER, SHELBY	AM FUNDS ICA F1	0.00	4.52	0.00	4.52	90.0	4.07
09/18/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.12	0.00	5.12	90.0	4.61
09/18/2017	GARAFOLA, GRACE	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.26	0.00	4.26	90.0	3.83
09/18/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	20.66	0.00	20.66	90.0	18.59
09/18/2017	MEDIA ENTERPRISES	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.04	0.00	3.04	90.0	2.74
09/18/2017	TAYLOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	0.00	0.74	0.00	0.74	90.0	0.67
09/18/2017	TAYLOR, DIANE	AM FUNDS NEW PERSPECTIVE A	0.00	0.74	0.00	0.74	90.0	0.67
09/18/2017	APPLEGATE, PAMAELA	AM FUNDS NEW PERSPECTIVE A	0.00	1.06	0.00	1.06	90.0	0.95
09/18/2017	MELVIN, MARY THOMPSON	AM FUNDS SMALLCAP WORLD A	0.00	1.49	0.00	1.49	90.0	1.34
09/18/2017	OLIVETI, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.56	0.00	5.56	90.0	5.00
09/18/2017	BOND-HILTNER, LINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.04	0.00	0.04	90.0	0.04
09/18/2017	PERETTI, TERESA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.40	0.00	1.40	90.0	1.26
09/18/2017	COHEN, PAUL	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.17	0.00	3.17	90.0	2.85
09/18/2017	LINSKY, MARC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.22	0.00	0.22	90.0	0.20
09/18/2017	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.55	0.00	0.55	90.0	0.50

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/18/2017	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.90	0.00	0.90	90.0	0.81
09/18/2017	NATHAN, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.55	0.00	0.55	90.0	0.50
09/14/2017	O'DONNELL, PATRICIA	AMERICAN CENTURY STRATEGIC ALLOC MO	0.00	3.70	0.00	3.70	90.0	3.33
09/26/2017	PLEVAK, MICHAEL	BLACKROCK EQUITY DIVIDEND FUND CL C	0.00	17.65	0.00	17.65	90.0	15.89
09/20/2017	PERETTI, TERESA	CALAMOS GROWTH A	0.00	1.41	0.00	1.41	90.0	1.27
09/20/2017	DUBIN, JON	CALAMOS GROWTH A	0.00	4.41	0.00	4.41	90.0	3.97
09/15/2017	MEDIA ENTERPRISES	COLUMBIA ACORN A	0.00	1.01	0.00	1.01	90.0	0.91
09/15/2017	FLEISCH, ROBERT	COLUMBIA ACORN A	0.00	14.58	0.00	14.58	90.0	13.12
09/15/2017	FLEISCH, ROBERT	COLUMBIA ACORN SELECT A	0.00	7.57	0.00	7.57	90.0	6.81
09/15/2017	MEDIA ENTERPRISES	COLUMBIA ACORN USA FUND CLASS A	0.00	2.64	0.00	2.64	90.0	2.38
09/15/2017	BOWERS, DORA	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	1.13	0.00	1.13	90.0	1.02
09/15/2017	MEDIA ENTERPRISES	COLUMBIA EQUITY VALUE A	0.00	0.29	0.00	0.29	90.0	0.26
09/15/2017	MEDIA ENTERPRISES	COLUMBIA LARGECAP GROWTH A	0.00	0.32	0.00	0.32	90.0	0.29
09/15/2017	MELVIN, GREG	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.92	0.00	0.92	90.0	0.83
09/15/2017	MEDIA ENTERPRISES	COLUMBIA MIDCAP VALUE A	0.00	2.34	0.00	2.34	90.0	2.11
09/15/2017	BOWERS, DORA	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	1.07	0.00	1.07	90.0	0.96
09/15/2017	FLEISCH, ROBERT	COLUMBIA SMALL CAP INDEX FUND CLASS	0.00	10.81	0.00	10.81	90.0	9.73
09/15/2017	BOWERS, DORA	COLUMBIA SMALL CAP VALUE FUND II CL	0.00	0.19	0.00	0.19	90.0	0.17
09/15/2017	FLEISCH, ROBERT	COLUMBIA STRATEGIC INCOME A	0.00	2.42	0.00	2.42	90.0	2.18
09/11/2017	CENTANNI, BETTY	DAVIS NEW YORK VENTURE A	0.00	0.40	0.00	0.40	90.0	0.36
09/11/2017	CENTANNI, RICHARD	DAVIS NEW YORK VENTURE A	0.00	0.73	0.00	0.73	90.0	0.66
09/13/2017	GUERRIERI, CHARLES	DREYFUS CORE EQUITY FUND CLASS A	0.00	3.22	0.00	3.22	90.0	2.90
09/13/2017	GUERRIERI, CHARLES	DREYFUS HIGH YIELD FUND CLASS C	0.00	0.01	0.00	0.01	90.0	0.01
09/13/2017	COHEN, HARRIET	DREYFUS NEW JERSEY MUNICIPAL BOND F	0.00	63.58	0.00	63.58	90.0	57.22
09/18/2017	BOWERS, DORA	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	0.75	0.00	0.75	90.0	0.68
09/20/2017	HANKINS, ROBERT	FEDERATED HIGH INCOME BOND FUND INC	0.00	1.14	0.00	1.14	90.0	1.03
09/20/2017	CENTANNI, BETTY	FEDERATED KAUFMANN FUND A	0.00	0.33	0.00	0.33	90.0	0.30
09/20/2017	FLEISCH, ROBERT	FIDELITY ADV FLOATING RATE HIGH INC	0.00	7.85	0.00	7.85	90.0	7.07
09/20/2017	FLEISCH, ROBERT	FIDELITY ADV STRATEGIC INCOME A	0.00	8.92	0.00	8.92	90.0	8.03
09/20/2017	CENTANNI, BETTY	FIDELITY ADV STRATEGIC INCOME C	0.00	1.55	0.00	1.55	90.0	1.40
09/20/2017	CENTANNI, RICHARD	FIDELITY ADV STRATEGIC INCOME C	0.00	6.10	0.00	6.10	90.0	5.49
09/20/2017	BOWERS, DORA	FIDELITY ADVISOR MID CAP II FUND CL	0.00	0.27	0.00	0.27	90.0	0.24
09/20/2017	BEYERLE, PATRICIA	FIDELITY MIDCAP II T	0.00	2.74	0.00	2.74	90.0	2.47

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/14/2017	FLEISCH, ROBERT	FRANKLIN DYNATECH A	0.00	14.03	0.00	14.03	90.0	12.63
09/14/2017	FLEISCH, ROBERT	FRANKLIN GOLD & PRECIOUS METALS A	0.00	3.23	0.00	3.23	90.0	2.91
09/14/2017	HASTINGS TURST, OSCAR	FRANKLIN INCOME A	0.00	1.16	0.00	1.16	90.0	1.04
09/14/2017	FLEISCHMAN, RITA	FRANKLIN INCOME A	0.00	5.40	0.00	5.40	90.0	4.86
09/14/2017	COBB, DOROTHY	FRANKLIN INCOME A	0.00	0.80	0.00	0.80	90.0	0.72
09/14/2017	CENTANNI, RICHARD	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	0.57	0.00	0.57	90.0	0.51
09/14/2017	GREENWELL, MARION	FRNKLN FLOATING RATE DLY ACCESS FND	0.00	2.83	0.00	2.83	90.0	2.55
09/15/2017	BOWERS, STEVEN	GOLDMAN SACHS CAPITAL GROWTH FUND C	0.00	15.54	0.00	15.54	90.0	13.99
09/15/2017	CHERRY, ANDREW	GOLDMAN SACHS GROWTH OPPORT FD CLAS	0.00	5.75	0.00	5.75	90.0	5.18
09/15/2017	CHERRY, ANDREW	GOLDMAN SACHS GROWTH OPPORT FD CLAS	0.00	2.94	0.00	2.94	90.0	2.65
09/15/2017	BOWERS, DORA	GOLDMAN SACHS MID CAP VALUE FD CLAS	0.00	0.25	0.00	0.25	90.0	0.23
09/15/2017	PARSONS, MICHELLE	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.61	0.00	0.61	90.0	0.55
09/20/2017	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	44.54	0.00	44.54	90.0	40.09
09/20/2017	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	133.61	0.00	133.61	90.0	120.25
09/20/2017	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.31	0.00	4.31	90.0	3.88
09/20/2017	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.10	0.00	6.10	90.0	5.49
09/20/2017	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.13	0.00	5.13	90.0	4.62
09/14/2017	SPECTER TRUST, STEPHANIE	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	12.54	0.00	12.54	90.0	11.29
09/14/2017	LINSKY, MARC	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	4.26	0.00	4.26	90.0	3.83
09/19/2017	LINSKY, LISA	HARTFORD CAPITAL APPRECIATION A	0.00	3.69	0.00	3.69	90.0	3.32
09/19/2017	SPECTER TRUST, STEPHANIE	HARTFORD CAPITAL APPRECIATION C	0.00	84.35	0.00	84.35	90.0	75.92
09/19/2017	MEDIA ENTERPRISES	HARTFORD CAPITAL APPRECIATION C	0.00	40.57	0.00	40.57	90.0	36.51
09/19/2017	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	0.00	35.09	0.00	35.09	90.0	31.58
09/19/2017	KLEIN, HOWARD	HARTFORD CAPITAL APPRECIATION C	0.00	443.32	0.00	443.32	90.0	398.99
09/19/2017	CAMARDO, DONNA	HARTFORD CAPITAL APPRECIATION C	0.00	11.15	0.00	11.15	90.0	10.04
09/19/2017	GODLBERG, SHARON	HARTFORD CAPITAL APPRECIATION C	0.00	7.27	0.00	7.27	90.0	6.54
09/19/2017	BALZANO, AUGUSTUS	HARTFORD CAPITAL APPRECIATION C	0.00	7.75	0.00	7.75	90.0	6.98
09/19/2017	SPECTER, LINDSAY	HARTFORD CAPITAL APPRECIATION C	0.00	32.81	0.00	32.81	90.0	29.53
09/19/2017	SPECTER, SHELBY	HARTFORD CAPITAL APPRECIATION C	0.00	30.62	0.00	30.62	90.0	27.56
09/19/2017	HARRIS, MORGAN	HARTFORD CAPITAL APPRECIATION C	0.00	11.16	0.00	11.16	90.0	10.04
09/19/2017	BUSLER, SCOTT	HARTFORD CAPITAL APPRECIATION C	0.00	23.46	0.00	23.46	90.0	21.11
09/19/2017	BUSLER, MARIANNE	HARTFORD CAPITAL APPRECIATION C	0.00	10.50	0.00	10.50	90.0	9.45
09/19/2017	OLIVETI, ANTHONY	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.75	0.00	2.75	90.0	2.48

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/19/2017	OLIVETI, NICOLE	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.75	0.00	2.75	90.0	2.48
09/19/2017	PISANI, NICHOLAS	HARTFORD CHECKS AND BALANCES FUND A	0.00	4.12	0.00	4.12	90.0	3.71
09/19/2017	SPECTER TRUST, STEPHANIE	HARTFORD DIVIDEND & GROWTH C	0.00	72.45	0.00	72.45	90.0	65.21
09/19/2017	MEDIA ENTERPRISES	HARTFORD DIVIDEND & GROWTH C	0.00	45.18	0.00	45.18	90.0	40.66
09/19/2017	CAMARDO, DONNA	HARTFORD DIVIDEND & GROWTH C	0.00	20.79	0.00	20.79	90.0	18.71
09/19/2017	BALZANO, AUGUSTUS	HARTFORD DIVIDEND & GROWTH C	0.00	14.28	0.00	14.28	90.0	12.85
09/19/2017	HARRIS, MORGAN	HARTFORD DIVIDEND & GROWTH C	0.00	20.80	0.00	20.80	90.0	18.72
09/19/2017	SANDERLIN, CATHERINE	HARTFORD DIVIDEND & GROWTH C	0.00	0.07	0.00	0.07	90.0	0.06
09/19/2017	WILSON, KEVIN	HARTFORD DIVIDEND & GROWTH C	0.00	0.01	0.00	0.01	90.0	0.01
09/19/2017	BUSLER, SCOTT	HARTFORD DIVIDEND & GROWTH C	0.00	42.86	0.00	42.86	90.0	38.57
09/19/2017	BUSLER, MARIANNE	HARTFORD DIVIDEND & GROWTH C	0.00	19.18	0.00	19.18	90.0	17.26
09/19/2017	CAMPAGNA, MARIE	HARTFORD FLOATING RATE C	0.00	11.05	0.00	11.05	90.0	9.95
09/19/2017	GODLBERG, SHARON	HARTFORD TOTAL RETURN BOND FUND C	0.00	4.32	0.00	4.32	90.0	3.89
09/08/2017	APPLEGATE, PAMAELA	HIGHLAND FLOATING RATE OPPORTUNITIE	0.00	0.28	0.00	0.28	90.0	0.25
09/29/2017	CHERRY, ANDREW	HOTCHKIS & WILEY SMALL-CAP VALUE FU	0.00	3.85	0.00	3.85	90.0	3.47
09/29/2017	CHERRY, ANDREW	HOTCHKIS & WILEY SMALL-CAP VALUE FU	0.00	3.85	0.00	3.85	90.0	3.47
09/19/2017	BOWERS, DORA	JANUS RESEARCH FUND CLASS A	0.00	1.06	0.00	1.06	90.0	0.95
09/15/2017	BOWERS, DORA	JN DISCIPLINED VALUE INT'L A	0.00	0.17	0.00	0.17	90.0	0.15
09/14/2017	CENTANNI, RICHARD	JP MORGAN MID CAP VALUE FUND CLASS	0.00	0.62	0.00	0.62	90.0	0.56
09/21/2017	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	3.02	0.00	3.02	90.0	2.72
09/21/2017	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.11	0.00	2.11	90.0	1.90
09/21/2017	LA CORTE, CYNTHIA	LORD ABBETT BOND DEBENTURE A	0.00	0.20	0.00	0.20	90.0	0.18
09/21/2017	DEBRIELLE-HEALEY, ELIZABETH	LORD ABBETT BOND DEBENTURE A	0.00	2.81	0.00	2.81	90.0	2.53
09/21/2017	LAISO, MICHAEL	LORD ABBETT BOND DEBENTURE A	0.00	8.06	0.00	8.06	90.0	7.25
09/21/2017	STRAUS, KAREN	LORD ABBETT BOND DEBENTURE A	0.00	2.66	0.00	2.66	90.0	2.39
09/21/2017	CHERRY, ADAM	LORD ABBETT BOND DEBENTURE C	0.00	117.49	0.00	117.49	90.0	105.74
09/21/2017	HUDSON TRUST OF 2000, ROBERT	LORD ABBETT BOND DEBENTURE C	0.00	120.37	0.00	120.37	90.0	108.33
09/21/2017	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	28.92	0.00	28.92	90.0	26.03
09/21/2017	TOBIAS, DAVID	LORD ABBETT BOND DEBENTURE C	0.00	20.28	0.00	20.28	90.0	18.25
09/21/2017	LINSKY, LISA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.88	0.00	0.88	90.0	0.79
09/21/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	5.26	0.00	5.26	90.0	4.73
09/21/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	4.84	0.00	4.84	90.0	4.36
09/21/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.08	0.00	0.08	90.0	0.07

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/21/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.06	0.00	0.06	90.0	0.05
09/21/2017	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	11.03	0.00	11.03	90.0	9.93
09/21/2017	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	6.00	0.00	6.00	90.0	5.40
09/21/2017	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	6.26	0.00	6.26	90.0	5.63
09/21/2017	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	15.56	0.00	15.56	90.0	14.00
09/21/2017	LIBERTY, SUSAN	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	0.01	0.00	0.01	90.0	0.01
09/21/2017	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	3.14	0.00	3.14	90.0	2.83
09/21/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	2.77	0.00	2.77	90.0	2.49
09/21/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	2.34	0.00	2.34	90.0	2.11
09/21/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.04	0.00	0.04	90.0	0.04
09/21/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.51	0.00	1.51	90.0	1.36
09/21/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	20.46	0.00	20.46	90.0	18.41
09/21/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.71	0.00	1.71	90.0	1.54
09/21/2017	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.76	0.00	2.76	90.0	2.48
09/21/2017	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.11	0.00	2.11	90.0	1.90
09/21/2017	CAMPAGNA, MARIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.39	0.00	11.39	90.0	10.25
09/21/2017	CHERRY, ADAM	LORD ABBETT SHORT DURATION INCOME F	0.00	99.28	0.00	99.28	90.0	89.35
09/21/2017	KRAMER, LESLIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.70	0.00	11.70	90.0	10.53
09/21/2017	DADINO, CAROLE	LORD ABBETT SHORT DURATION INCOME F	0.00	6.06	0.00	6.06	90.0	5.45
09/21/2017	BROSIUS JR, ARTHUR	LORD ABBETT SHORT DURATION INCOME F	0.00	6.43	0.00	6.43	90.0	5.79
09/21/2017	HARDING, LORETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	13.05	0.00	13.05	90.0	11.75
09/21/2017	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	9.23	0.00	9.23	90.0	8.31
09/21/2017	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	4.68	0.00	4.68	90.0	4.21
09/19/2017	MURRY, YVONNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	10.41	0.00	10.41	90.0	9.37
09/19/2017	FARBMAN, SANDRA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.95	0.00	0.95	90.0	0.86
09/19/2017	FLEISCHMAN, RITA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.69	0.00	2.69	90.0	2.42
09/19/2017	FARBMAN TRUST, DANIEL & SANDRA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.86	0.00	0.86	90.0	0.77
09/19/2017	PAGLIA, DIANA	MAINSTAY FLOATING RATE FUND CLASS A	0.00	0.49	0.00	0.49	90.0	0.44
09/19/2017	PAGLIA, DIANA	MAINSTAY HIGH YIELD CORP BOND A	0.00	1.22	0.00	1.22	90.0	1.10
09/19/2017	PAGLIA, DIANA	MAINSTAY LARGE CAP GROWTH FUND A	0.00	0.71	0.00	0.71	90.0	0.64
09/14/2017	KROSNOWSKI, THOMAS	MFS CORE EQUITY C	0.00	6.34	0.00	6.34	90.0	5.71
09/14/2017	KROSNOWSKI, THOMAS	MFS EMERGINF MARKETS EQUITY FUND C	0.00	15.62	0.00	15.62	90.0	14.06
09/14/2017	CAMPAGNA, MARIE	MFS EMERGING MKTS DEBT FUND C	0.00	8.17	0.00	8.17	90.0	7.35

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<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/14/2017	SPECTER TRUST, STEPHANIE	MFS GROWTH C	0.00	15.16	0.00	15.16	90.0	13.64
09/14/2017	KROSNOWSKI, THOMAS	MFS INTERNATIONAL VALUE FUND C	0.00	15.33	0.00	15.33	90.0	13.80
09/14/2017	BOWERS, DORA	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.68	0.00	0.68	90.0	0.61
09/14/2017	SPECTER TRUST, BRADLEY	MFS MID-CAP VALUE FUND CLASS C	0.00	9.20	0.00	9.20	90.0	8.28
09/14/2017	SPECTER TRUST, STEPHANIE	MFS MID-CAP VALUE FUND CLASS C	0.00	22.02	0.00	22.02	90.0	19.82
09/14/2017	SPECTER TRUST, BRADLEY	MFS MIDCAP GROWTH C	0.00	7.98	0.00	7.98	90.0	7.18
09/14/2017	SPECTER TRUST, STEPHANIE	MFS MIDCAP GROWTH C	0.00	18.81	0.00	18.81	90.0	16.93
09/14/2017	KROSNOWSKI, THOMAS	MFS MIDCAP GROWTH C	0.00	5.46	0.00	5.46	90.0	4.91
09/14/2017	KROSNOWSKI, THOMAS	MFS RESEARCH FUND-C	0.00	5.77	0.00	5.77	90.0	5.19
09/14/2017	SPECTER TRUST, STEPHANIE	MFS RESEARCH INTL C	0.00	26.83	0.00	26.83	90.0	24.15
09/14/2017	KROSNOWSKI, THOMAS	MFS TECHNOLOGY FUND-C	0.00	11.14	0.00	11.14	90.0	10.03
09/14/2017	SPECTER TRUST, BRADLEY	MFS VALUE C	0.00	9.23	0.00	9.23	90.0	8.31
09/14/2017	SPECTER TRUST, STEPHANIE	MFS VALUE C	0.00	14.36	0.00	14.36	90.0	12.92
09/21/2017	KROSNOWSKI, THOMAS	OPPENHEIMER DISCOVERY A	0.00	1.14	0.00	1.14	90.0	1.03
09/21/2017	KROSNOWSKI, THOMAS	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	0.99	0.00	0.99	90.0	0.89
09/21/2017	KROSNOWSKI, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.42	0.00	0.42	90.0	0.38
09/21/2017	BOWERS, DORA	OPPENHEIMER INTL GROWTH A	0.00	0.61	0.00	0.61	90.0	0.55
09/21/2017	STERR, ERIN	OPPENHEIMER MAIN STREET A	0.00	2.39	0.00	2.39	90.0	2.15
09/21/2017	KROSNOWSKI, THOMAS	OPPENHEIMER MAIN STREET A	0.00	3.09	0.00	3.09	90.0	2.78
09/21/2017	PERETTI, DANIEL	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	0.93	0.00	0.93	90.0	0.84
09/21/2017	PERETTI, DANIEL	OPPENHEIMER PORTFOLIO SERIRES: MOD	0.00	38.84	0.00	38.84	90.0	34.96
09/21/2017	CHERRY, LISA	OPPENHEIMER QUEST OPP VALUE A	0.00	2.67	0.00	2.67	90.0	2.40
09/21/2017	KROSNOWSKI, THOMAS	OPPENHEIMER QUEST OPP VALUE A	0.00	1.81	0.00	1.81	90.0	1.63
09/20/2017	CHERRY, ADAM	PAC LIFE FUNDS PRT OPT MOD	0.00	247.25	0.00	247.25	90.0	222.53
09/19/2017	TRICHON, DONNA-LYNN	PUTNAM GROWTH OPP A	0.00	12.91	0.00	12.91	90.0	11.62
09/19/2017	NIEMINEN, EUGENE	PUTNAM MULTI CAP GROWTH A	0.00	2.90	0.00	2.90	90.0	2.61
09/18/2017	SPECTER, BRADLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	13.16	0.00	13.16	90.0	11.84
09/18/2017	SPECTER, STEPHANIE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	17.97	0.00	17.97	90.0	16.17
09/18/2017	TAROFF, MICHELLE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.91	0.00	5.91	90.0	5.32
09/18/2017	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	44.90	0.00	44.90	90.0	40.41
09/18/2017	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	134.69	0.00	134.69	90.0	121.22
09/14/2017	FLEISCH, ROBERT	TEMPLETON GLOBAL BOND A	0.00	12.01	0.00	12.01	90.0	10.81
09/14/2017	GREENWELL, MARION	TEMPLETON GROWTH A	0.00	1.59	0.00	1.59	90.0	1.43

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/14/2017	CENTANNI, RICHARD	TEMPLETON GROWTH A	0.00	0.35	0.00	0.35	90.0	0.32
09/14/2017	FLEISCH, ROBERT	TEMPLETON WORLD A	0.00	11.44	0.00	11.44	90.0	10.30
09/25/2017	EHRET, WAYNE	VICTORY CAPITAL MANAGEMENT	0.00	0.45	0.00	0.45	90.0	0.41
09/21/2017	CHERRY, LISA	VIRTUS SMALL-CAP SUSTAINABLE GROWTH	0.00	5.52	0.00	5.52	90.0	4.97
09/15/2017	PISANI, NICHOLAS	WELLS FARGO ADVANTAGE OMEGA GROWTH	0.00	8.96	0.00	8.96	90.0	8.06
09/15/2017	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	22.69	0.00	22.69	90.0	20.42
09/15/2017	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	11.08	0.00	11.08	90.0	9.97
09/15/2017	BOWERS, DORA	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.20	0.00	0.20	90.0	0.18
09/15/2017	TRICHON, DONNA-LYNN	WELLS FARGO DIVERSIFIED CAPITAL BUI	0.00	17.42	0.00	17.42	90.0	15.68
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$3,024.16	\$0.00	\$3,024.16		\$2,721.88
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
09/30/2017	LINSKY, VARIOUS	LFG TRAILS	0.00	27,449.59	0.00	27,449.59	90.0	24,704.63
10/03/2017	HAMMOND, HAROLD	PAC LIFE INNOVATIVE SELECT	0.00	81.43	0.00	81.43	90.0	73.29
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$27,531.02	\$0.00	\$27,531.02		\$24,777.92
*** BROKER TRANSACTIONS TOTAL ***			\$2,730,549.95	\$35,995.59	\$289.50	\$35,706.09		\$32,135.59

SPLIT TRANSACTIONS**BROKER: J30 MARC/MICHAEL LINSKY/FOX #266**

09/18/2017	CLARKE, PHYLLIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.23	0.00	1.23	90.0	1.11
09/18/2017	CLARKE, PHYLLIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.08	0.00	0.08	90.0	0.07
09/18/2017	BERK, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.35	0.00	1.35	90.0	1.22
09/14/2017	CLARKE, PHYLLIS	FRANKLIN GROWTH OPPORTUNITIES FUND	0.00	0.11	0.00	0.11	90.0	0.10
09/15/2017	SPOKANE, LOIS	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.61	0.00	0.61	90.0	0.55
09/19/2017	CLARKE, PHYLLIS	HARTFORD INFLATION PLUS FUIND C	0.00	3.46	0.00	3.46	90.0	3.11
09/21/2017	CLARKE, PHYLLIS	LORD ABBETT AFFILIATED A	0.00	1.11	0.00	1.11	90.0	1.00
09/21/2017	SPOKANE, LOIS	LORD ABBETT BOND DEBENTURE A	0.00	2.20	0.00	2.20	90.0	1.98
09/21/2017	SPOKANE, LOIS	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	3.85	0.00	3.85	90.0	3.47
09/13/2017	CLARKE, PHYLLIS	PIMCO TOTAL RETURN C	0.00	14.43	0.00	14.43	90.0	12.99
09/19/2017	BELLO, JOSEPH	PUTNAM MULTI CAP GROWTH A	0.00	0.58	0.00	0.58	90.0	0.52
09/18/2017	LANUTI, CHERYL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	9.27	0.00	9.27	90.0	8.34
09/20/2017	MAHONEY, MARIA	SENTINEL GOVERNMENT SECURITIES FUND	0.00	5.18	0.00	5.18	90.0	4.66
09/20/2017	MAHONEY, MARIA	SENTINEL MULTI- ASSET INCOME FUND A	0.00	4.96	0.00	4.96	90.0	4.46

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272								
		* J30 MARC/MICHAEL LINSKY/FOX SUBTOTAL *	\$0.00	\$48.42	\$0.00	\$48.42		\$43.58
BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272								
09/28/2017	DAMONE, DENNIS	COTTONWOOD MULTIFAMILY REIT II	32,500.00	292.50	0.00	292.50	90.0	263.25
09/14/2017	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.22	0.00	0.22	90.0	0.20
		* R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *	\$32,500.00	\$292.72	\$0.00	\$292.72		\$263.45
BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273								
09/18/2017	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	90.0	0.05
09/14/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.60	0.00	1.60	90.0	1.44
09/14/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	4.93	0.00	4.93	90.0	4.44
09/14/2017	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	3.25	0.00	3.25	90.0	2.93
09/14/2017	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.36	0.00	2.36	90.0	2.12
09/15/2017	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.37	0.00	0.37	90.0	0.33
09/15/2017	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.91	0.00	0.91	90.0	0.82
09/15/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.05	0.00	0.05	90.0	0.05
09/15/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.13	0.00	0.13	90.0	0.12
09/28/2017	CAMPBELL, VICTORIA	DREYFUS HIGH YIELD FUND CLASS I	-3,896.81	0.00	0.00	0.00	90.0	0.00
10/03/2017	CAMPBELL, CHARLES	DREYFUS HIGH YIELD FUND CLASS I	-447.40	0.00	0.00	0.00	90.0	0.00
09/28/2017	CAMPBELL, VICTORIA	FIDELITY COVINGTON TR MSCI FINLS IN	-1,209.02	10.50	7.88	2.62	90.0	2.36
09/28/2017	CAMPBELL, VICTORIA	FIDELITY COVINGTON TR MSCI REAL EST	-3,259.16	10.50	7.88	2.62	90.0	2.36
10/03/2017	CAMPBELL, CHARLES	FIDELITY COVINGTON TR MSCI REAL EST	-701.83	10.50	7.88	2.62	90.0	2.36
09/28/2017	CAMPBELL, VICTORIA	FORMULA FOLIOS U.S. EQUITY FUND INS	-5,190.36	0.00	7.70	-7.70	90.0	-6.93
10/03/2017	CAMPBELL, CHARLES	FORMULA FOLIOS U.S. EQUITY FUND INS	-1,108.44	0.00	7.70	-7.70	90.0	-6.93
09/20/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.92	0.00	0.92	90.0	0.83
09/20/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.76	0.00	2.76	90.0	2.48
09/20/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.15	0.00	1.15	90.0	1.04
09/20/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.45	0.00	3.45	90.0	3.11
09/20/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.77	0.00	0.77	90.0	0.69
09/20/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.30	0.00	2.30	90.0	2.07
09/20/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.92	0.00	0.92	90.0	0.83
09/20/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.76	0.00	2.76	90.0	2.48
09/20/2017	ROMAN, ROSA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.58	0.00	0.58	90.0	0.52
09/20/2017	ABRAMS, RAFAEL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.91	0.00	0.91	90.0	0.82

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<u>SPLIT TRANSACTIONS</u>								
BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273								
09/20/2017	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.56	0.00	1.56	90.0	1.40
09/20/2017	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.25	0.00	1.25	90.0	1.13
09/20/2017	FLAHERTY, CAITLIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.48	0.00	0.48	90.0	0.43
09/20/2017	KELLY, GORDON	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.49	0.00	1.49	90.0	1.34
09/20/2017	SCHENONE, LORI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.57	0.00	4.57	90.0	4.11
09/28/2017	CAMPBELL, VICTORIA	INVESCO HIGH YIELD FUND A	-3,887.61	0.00	0.00	0.00	90.0	0.00
10/03/2017	CAMPBELL, CHARLES	INVESCO HIGH YIELD FUND A	-449.10	0.00	0.00	0.00	90.0	0.00
09/28/2017	CAMPBELL, VICTORIA	ISHARES CORE S&P SMALL CAP ETF	-738.01	10.50	7.88	2.62	90.0	2.36
09/28/2017	CAMPBELL, VICTORIA	ISHARES TR CORE MSCI TOTAL INTL STK	-4,177.29	10.50	7.88	2.62	90.0	2.36
10/03/2017	CAMPBELL, CHARLES	ISHARES TR CORE MSCI TOTAL INTL STK	-856.53	10.50	7.88	2.62	90.0	2.36
09/28/2017	CAMPBELL, VICTORIA	ISHARES TR CORE S&P TOTAL U S STK M	-631.77	10.50	7.88	2.62	90.0	2.36
10/03/2017	CAMPBELL, CHARLES	ISHARES TR CORE S&P TOTAL U S STK M	-869.30	10.50	7.88	2.62	90.0	2.36
09/28/2017	CAMPBELL, VICTORIA	ISHARES TR IBOX \$ HIGH YIELD CORP	-30,664.25	10.50	7.88	2.62	90.0	2.36
09/28/2017	CAMPBELL, VICTORIA	ISHARES TR IBOX USD INVT GRADE COR	-10,643.91	10.50	7.88	2.62	90.0	2.36
09/28/2017	CAMPBELL, VICTORIA	ISHARES TR INTL TREASURY BD ETF	-11,671.42	10.50	7.88	2.62	90.0	2.36
09/28/2017	CAMPBELL, VICTORIA	ISHARES TR S&P 500 INDEX FD	-3,778.08	10.50	7.88	2.62	90.0	2.36
09/28/2017	CAMPBELL, VICTORIA	NEUBERGER BERMAN HIGH INCOME BOND F	-3,882.39	0.00	0.00	0.00	90.0	0.00
10/03/2017	CAMPBELL, CHARLES	NEUBERGER BERMAN HIGH INCOME BOND F	-447.42	0.00	0.00	0.00	90.0	0.00
10/03/2017	CAMPBELL, CHARLES	NORTHERN LTS FD TR IV FORMULA FOLIO	-11,709.98	10.50	7.95	2.55	90.0	2.30
10/03/2017	CAMPBELL, CHARLES	NORTHERN LTS FD TR IV FORMULA FOLIO	-1,097.58	10.50	7.88	2.62	90.0	2.36
09/28/2017	CAMPBELL, VICTORIA	PIMCO HIGH YIELD SPECTRUM FUND CLAS	-3,884.08	0.00	0.00	0.00	90.0	0.00
10/03/2017	CAMPBELL, CHARLES	PIMCO HIGH YIELD SPECTRUM FUND CLAS	-447.32	0.00	0.00	0.00	90.0	0.00
09/28/2017	CAMPBELL, VICTORIA	PRINCIPAL FUNDS HIGH YIELD II A	-3,904.33	0.00	0.00	0.00	90.0	0.00
10/03/2017	CAMPBELL, CHARLES	PRINCIPAL FUNDS HIGH YIELD II A	-448.15	0.00	0.00	0.00	90.0	0.00
09/28/2017	CAMPBELL, VICTORIA	PROFUNDS ULTRA MIDCAP INV	-911.02	0.00	0.00	0.00	90.0	0.00
09/28/2017	CAMPBELL, VICTORIA	REDWOOD MANAGED VOLATILITY FUND CLA	-23,374.54	0.00	7.70	-7.70	90.0	-6.93
10/03/2017	CAMPBELL, CHARLES	REDWOOD MANAGED VOLATILITY FUND CLA	-5,192.26	0.00	7.70	-7.70	90.0	-6.93
09/28/2017	CAMPBELL, VICTORIA	ULTRABULL-INV	-983.83	0.00	0.00	0.00	90.0	0.00
09/28/2017	CAMPBELL, VICTORIA	ULTRASMALL-CAP-INV	-927.54	0.00	0.00	0.00	90.0	0.00
09/28/2017	CAMPBELL, VICTORIA	VIRTUS SEIX HIGH INCOME FUND CLASS	-3,916.84	0.00	0.00	0.00	90.0	0.00
10/03/2017	CAMPBELL, CHARLES	VIRTUS SEIX HIGH INCOME FUND CLASS	-450.03	0.00	0.00	0.00	90.0	0.00
* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *			\$-145,757.60	\$186.53	\$141.19	\$45.34		\$40.84

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: C148 DAN/MARC FT-CHARLEY/LINSKY #315</u>								
09/18/2017	ANDERSON, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.48	0.00	0.48	90.0	0.43
09/14/2017	ANDERSON, WILLIAM	AMERICAN CENTURY CORE PLUS FUND CLA	0.00	2.89	0.00	2.89	90.0	2.60
09/26/2017	ANDERSON, ELAINE	BLK GLOBAL ALLOCATION C	0.00	2.50	0.00	2.50	90.0	2.25
09/20/2017	ANDERSON, ELAINE	CALAMOS GROWTH & INCOME A	0.00	0.89	0.00	0.89	90.0	0.80
09/15/2017	ANDERSON, WILLIAM	COLUMBIA BALANCED FUND CLASS C	0.00	3.49	0.00	3.49	90.0	3.14
09/15/2017	FIAMINGO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	1.11	0.00	1.11	90.0	1.00
09/11/2017	FIAMINGO, FRANK	DAVIS REAL ESTATE A	0.00	2.19	0.00	2.19	90.0	1.97
09/22/2017	ANDERSON, WILLIAM	EAGLE GROWTH AND INCOME FUND CLASS	0.00	6.04	0.00	6.04	90.0	5.44
09/18/2017	FIAMINGO, FRANK	FIRST EAGLE GLOBAL FUND CL A	0.00	4.93	0.00	4.93	90.0	4.44
09/18/2017	FIAMINGO, FRANK	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	1.74	0.00	1.74	90.0	1.57
09/18/2017	ANDERSON, WILLIAM	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	0.98	0.00	0.98	90.0	0.88
09/19/2017	ANDERSON, ELAINE	JANUS BALANCED FUND CLASS C	0.00	3.16	0.00	3.16	90.0	2.84
09/15/2017	ANDERSON, ELAINE	JH STRATEGIC INCOME A	0.00	0.44	0.00	0.44	90.0	0.40
09/21/2017	FIAMINGO, FRANK	OPPENHEIMER CAPITAL INCOME A	0.00	0.77	0.00	0.77	90.0	0.69
09/13/2017	ANDERSON, WILLIAM	PIMCO REAL RETURN C	0.00	1.72	0.00	1.72	90.0	1.55
09/15/2017	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	1.00	0.00	1.00	90.0	0.90
09/15/2017	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.53	0.00	0.53	90.0	0.48
09/15/2017	RYNEX, ANDREW	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.17	0.00	0.17	90.0	0.15
* C148 DAN/MARC FT-CHARLEY/LINSKY SUBTOTAL *			\$0.00	\$35.03	\$0.00	\$35.03		\$31.53
<u>BROKER: C155-LINS/CIRLLI/CHA FT-MARK/JP/DAN #392</u>								
09/20/2017	CARROLLTON, DONNA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.62	0.00	0.62	90.0	0.56
* C155-LINS/CIRLLI/CHA FT-MARK/JP/DAN SUBTOTAL *			\$0.00	\$0.62	\$0.00	\$0.62		\$0.56
<u>BROKER: C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR #400</u>								
09/20/2017	CARROLLTON, STEVE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.42	0.00	0.42	90.0	0.38
* C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR SUBTOTAL *			\$0.00	\$0.42	\$0.00	\$0.42		\$0.38
*** SPLIT TRANSACTIONS TOTAL ***			\$-113,257.60	\$563.74	\$141.19	\$422.55		\$380.34

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<u>ADJUSTMENTS</u>								
10/15/2017		*AUDIT FEE: BRANCH EXAM	0.00					-1,450.50
10/15/2017		*AUDIT FEE: BRANCH EXAM	0.00					-505.58
10/15/2017		*EO INS: CURRENT MONTH INS	0.00					-300.00
10/15/2017		*EO INS: CURRENT MONTH INS	0.00					-105.00
10/15/2017		*EO INS: CURRENT MONTH-T THOMPSON	0.00					-105.00
10/15/2017		*EO INS: N ELIAS	0.00					-105.00
10/15/2017		*FEDERAL EXPRESS: 5-943-41655 9/27	0.00					-18.05
10/15/2017		*FEDERAL EXPRESS: 5-950-57448 10/4	0.00					-214.17
10/15/2017		*MARKETING LIBRARY: MARKETING LIBRA	0.00					-45.00
10/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-3.00
10/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-1.58
10/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-1.50
10/15/2017		*PERSHING: BOOKS & RECORDS	0.00					-1.98
10/15/2017		*PERSHING: MARK UPS	0.00					189.00
10/15/2017		*PERSHING: MF PROSPECTUS	0.00					-26.30
10/15/2017		*PERSHING: MF PROSPECTUS	0.00					-1.31
10/15/2017		*PERSHING: MF PROSPECTUS	0.00					-2.20
10/15/2017		*PERSHING: MF PROSPECTUS	0.00					-1.50
10/15/2017		*TECH: ADMIN-CHRISTINE GILMER	0.00					-100.00
10/15/2017		*TECH: ADMIN-LINDA BOND-HILTNER	0.00					-100.00
10/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
10/15/2017		*TECH: CURRENT MONTH TECH	0.00					-96.25
10/15/2017		*TECH: CURRENT MONTH-T THOMPSON	0.00					-96.25
10/15/2017		*TECH: N ELIAS	0.00					-96.25
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-3,462.42

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BROKER COMMISSION TOTALS

Broker Transactions	\$32,135.59
Split Transactions	\$380.34
Override Transactions	\$0.00
Adjustments	\$-3,462.42
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$29,053.51
Year-to-date Gross	\$575,359.68
Year-to-date Earnings	\$516,641.51