



Concorde Investment Services, LLC
COMMISSION STATEMENT
August 31, 2017

08/29/2017
Page No. 1

DANIEL RAUPP
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: R132
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
08/15/2017	RAUPP, DANIEL	GPB AUTOMOTIVE PORTFOLIO LP	93,000.00	0.00	0.00	0.00	100.0	0.00
08/18/2017	RAUPP, DANIEL	GPB AUTOMOTIVE PORTFOLIO LP	93,000.00	0.00	0.00	0.00	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$186,000.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
07/29/2017	TRAINOR, EDWARD	TRANSAMERICA LIBERTY	55,644.90	46.37	0.00	46.37	100.0	46.37
* VARIABLE ANNUITIES SUBTOTAL *			\$55,644.90	\$46.37	\$0.00	\$46.37		\$46.37
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/31/2017	RAUPP, DANIEL	ASCENSUS 529 TRAILS	0.00	2.56	0.00	2.56	100.0	2.56
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$2.56	\$0.00	\$2.56		\$2.56
*** BROKER TRANSACTIONS TOTAL ***			\$241,644.90	\$48.93	\$0.00	\$48.93		\$48.93

SPLIT TRANSACTIONS

<u>BROKER: 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS #252</u>								
07/31/2017	BELL	ASCENSUS 529 TRAILS	0.00	24.59	0.00	24.59	100.0	24.59
07/31/2017	BELL	ASCENSUS 529 TRAILS	0.00	26.30	0.00	26.30	100.0	26.30
* 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS SUBTOTAL *			\$0.00	\$50.89	\$0.00	\$50.89		\$50.89
<u>BROKER: BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU #253</u>								
08/15/2017	IAQUINTO, JOSEPH	GPB AUTOMOTIVE PORTFOLIO LP	150,000.00	2,625.00	0.00	2,625.00	100.0	2,625.00
06/09/2017	ORSO, MONICA	INLAND SELF STORAGE PF IV DST	109,861.11	1,373.27	0.00	1,373.27	100.0	1,373.27
* BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU SUBTOTAL *			\$259,861.11	\$3,998.27	\$0.00	\$3,998.27		\$3,998.27
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
08/15/2017	BRIDGEHAMPTON CHILD	DISNEY WALT CO DISNEY COM	-1,014.71	0.00	7.86	-7.86	100.0	-7.86
08/25/2017	WHITE, SCOTT	MAINSTAY CUSHING MLP PREMIER FUND C	3,400.00	11.90	7.70	4.20	100.0	4.20

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
08/25/2017	WHITE, ALISON	MAINSTAY CUSHING MLP PREMIER FUND C	3,400.00	11.90	7.70	4.20	100.0	4.20
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$5,785.29	\$23.80	\$23.26	\$0.54		\$0.54
<u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u>								
08/11/2017	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	8.98	0.00	8.98	100.0	8.98
* G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$8.98	\$0.00	\$8.98		\$8.98
<u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u>								
08/15/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	1,006.88	6.04	3.30	2.74	100.0	2.74
08/18/2017	FLAHERTY, CAITLIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	15,007.73	112.56	3.30	109.26	100.0	109.26
08/14/2017	FLAHERTY, STEPHEN	ING PREMIUM PLUS	0.00	5.30	0.00	5.30	100.0	5.30
08/14/2017	FLAHERTY, PATRICIA	ING PREMIUM PLUS	0.00	2.71	0.00	2.71	100.0	2.71
08/14/2017	MORONEY, SEAN	JANUS CONTRARIAN FUND CLASS T	-2,286.27	0.00	3.30	-3.30	100.0	-3.30
08/14/2017	MORONEY, SEAN	JANUS CONTRARIAN FUND CLASS T	-4,631.51	0.00	3.30	-3.30	100.0	-3.30
08/14/2017	MORONEY, SEAN	JANUS RESEARCH FUND CLASS T	-5,040.15	0.00	3.30	-3.30	100.0	-3.30
08/14/2017	MORONEY, SEAN	JANUS RESEARCH FUND CLASS T	-4,701.29	0.00	3.30	-3.30	100.0	-3.30
* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *			\$-644.61	\$126.61	\$19.80	\$106.81		\$106.81
<u>BROKER: M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR #277</u>								
07/31/2017	KISSIL, GEORGE	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.43	0.00	0.43	0.0	0.00
* M136-JAY/JONP/JEFF/D FT-FL MCPH/CIR SUBTOTAL *			\$0.00	\$0.43	\$0.00	\$0.43		\$0.00
<u>BROKER: P128-ROB/DAN/JON PAU FT-PECHA/RAUPP #379</u>								
08/16/2017	KRESLAVSKAYA, YEVGENIYA	HPI FUND V	50,000.00	750.00	0.00	750.00	100.0	750.00
* P128-ROB/DAN/JON PAU FT-PECHA/RAUPP SUBTOTAL *			\$50,000.00	\$750.00	\$0.00	\$750.00		\$750.00
<u>BROKER: C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR #400</u>								
08/21/2017	CARROLLTON, STEVE	GRIFFIN INSTITUTIONAL ACCESS REAL E	18,027.65	81.12	1.98	79.14	100.0	79.14
* C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR SUBTOTAL *			\$18,027.65	\$81.12	\$1.98	\$79.14		\$79.14
*** SPLIT TRANSACTIONS TOTAL ***			\$333,029.44	\$5,040.10	\$45.04	\$4,995.06		\$4,994.63

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: ROY PFLEGER II #244</u>								
08/07/2017	LANDGREBE, CAROL	TRANSAMERICA B SHARE NY	71,000.00	4,970.00	0.00	4,970.00	75.0	621.25
		* ROY PFLEGER II SUBTOTAL *	\$71,000.00	\$4,970.00	\$0.00	\$4,970.00		\$621.25
<u>BROKER: JON PAUL CIRELLI #246</u>								
08/11/2017	KISSIL, GEORGE	CARTER VALIDUS MC REIT II CLASS T	0.00	21.40	0.00	21.40	95.0	1.07
		* JON PAUL CIRELLI SUBTOTAL *	\$0.00	\$21.40	\$0.00	\$21.40		\$1.07
<u>BROKER: ALAN LICHTENSTEIN #249</u>								
08/17/2017	KONCIUS, PATRICE	ISHARES TR S&P MIDCAP 400 GROWTH ET	20,119.18	0.00	22.50	-22.50	75.0	-2.81
08/17/2017	KONCIUS, PATRICE	POWERSHARES QQQ TR UNIT SER 1	25,003.70	0.00	22.50	-22.50	75.0	-2.81
08/17/2017	KONCIUS, PATRICE	VANGUARD WHITEHALL FDS HIGH DIVIDEN	20,014.08	0.00	22.50	-22.50	75.0	-2.81
		* ALAN LICHTENSTEIN SUBTOTAL *	\$65,136.96	\$0.00	\$67.50	\$-67.50		\$-8.43
<u>BROKER: MARC LINSKY #263</u>								
08/15/2017	CHIECO, DANIEL	AM CENT EQUITY INCOME	406.72	20.34	22.00	-1.66	90.0	-0.03
08/21/2017	CIMMINO, DEAN	AM FUNDS AMERICAN BALANCED 529A	46.50	2.33	0.00	2.33	90.0	0.04
08/21/2017	CIMMINO, DEAN	AM FUNDS AMERICAN BALANCED 529A	37.50	1.88	0.00	1.88	90.0	0.03
08/21/2017	CIMMINO, DEAN	AM FUNDS AMERICAN MUTUAL 529A	46.50	2.33	0.00	2.33	90.0	0.04
08/21/2017	CIMMINO, DEAN	AM FUNDS AMERICAN MUTUAL 529A	37.50	1.88	0.00	1.88	90.0	0.03
08/21/2017	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	57.00	2.85	0.00	2.85	90.0	0.05
08/21/2017	CIMMINO, DEAN	AM FUNDS CAPITAL WORLD GRTH & INC 5	75.00	3.75	0.00	3.75	90.0	0.06
08/15/2017	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	0.19
08/15/2017	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	102.36	5.12	22.00	-16.88	90.0	-0.29
08/15/2017	BOND-HILTNER, LINDA	AM FUNDS ICA A	415.60	20.78	22.00	-1.22	90.0	-0.02
08/15/2017	LINSKY, MARC	AM FUNDS ICA A	2,009.98	75.37	22.00	53.37	90.0	0.91
08/15/2017	BOND-HILTNER, LINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	20.00	22.00	-2.00	90.0	-0.03
08/15/2017	LINSKY, MARC	AM FUNDS WASHINGTON MUTUAL INVESTOR	2,000.68	75.03	22.00	53.03	90.0	0.90
07/31/2017	ROSENBLUM, LUCILLE	GRIFFIN INST'L ACCESS R E FUND C	0.00	45.43	0.00	45.43	0.0	0.77
08/15/2017	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	227.08	2.27	22.00	-19.73	90.0	-0.34
08/22/2017	GUERRIERI, CHARLES	ING ACCESS	0.00	62.71	0.00	62.71	90.0	1.07
08/05/2017	MCFADDEN, ANDREW	ING LANDMARK	0.00	890.64	0.00	890.64	90.0	15.14
08/24/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	805.62	26.18	22.00	4.18	90.0	0.07
08/24/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	14,987.31	487.09	22.00	465.09	90.0	7.91
08/24/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	804.08	12.06	22.00	-9.94	90.0	-0.17
08/24/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	13,997.11	209.96	22.00	187.96	90.0	3.20

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC LINSKY #263</u>								
06/15/2017	VALEK, JOHN	METLIFE SHEILD LEVEL SELECTOR EQTY	118,082.45	-3,542.47	0.00	-3,542.47	90.0	-60.22
06/15/2017	VALEK, JOHN	METLIFE SHEILD LEVEL SELECTOR EQTY	118,082.45	3,542.47	0.00	3,542.47	90.0	60.22
08/03/2017	HAMMOND, HAROLD	PAC LIFE INNOVATIVE SELECT	0.00	83.13	0.00	83.13	90.0	1.41
08/10/2017	MORTON, MARTHA	PAC LIFE INNOVATIVE SELECT	0.00	54.50	0.00	54.50	90.0	0.93
08/14/2017	HALL, GLORIA	PAC LIFE INNOVATIVE SELECT	0.00	218.38	0.00	218.38	90.0	3.71
08/22/2017	KROSNOWSKI SR, THOMAS	RMR GROUP INC CL A	-142.19	0.00	22.50	-22.50	90.0	-0.38
08/22/2017	KROSNOWSKI SR, THOMAS	SELECT INCOME REIT COM SH BEN INT	-5,440.71	30.00	22.50	7.50	90.0	0.13
08/25/2017	KRAMER, LARRY	SELECT INCOME REIT COM SH BEN INT	-22,790.40	30.00	23.50	6.50	90.0	0.11
08/25/2017	KRAMER, LARRY	SELECT INCOME REIT COM SH BEN INT	-22,767.60	30.00	23.50	6.50	90.0	0.11
07/24/2017	GALEMAN, ALAN	TRANSAMERICA LIBERTY	115,653.92	96.38	0.00	96.38	90.0	1.64
08/15/2017	PERETTI, FRANCES	VANGUARD NJ LONG-TERM TAX-EXEMPT FU	250.00	0.00	22.00	-22.00	90.0	-0.37
* MARC LINSKY SUBTOTAL *			\$337,609.46	\$2,521.64	\$356.00	\$2,165.64		\$36.82
<u>BROKER: ANDREW (ANDREAS) ZIGOURAS #282</u>								
08/11/2017	KWO, SOPHIA	EATON VANCE TAX ADVANTAGED GLO GLOB	10,820.03	110.00	22.98	87.02	90.0	2.96
* ANDREW (ANDREAS) ZIGOURAS SUBTOTAL *			\$10,820.03	\$110.00	\$22.98	\$87.02		\$2.96
*** OVERRIDE TRANSACTIONS TOTAL ***			\$484,566.45	\$7,623.04	\$446.48	\$7,176.56		\$653.67

ADJUSTMENTS

08/31/2017		*FEDERAL EXPRESS: 5-891-73443 8/9/1	0.00					-51.39
08/31/2017		*FEDERAL EXPRESS: 5-898-90680 8/16/	0.00					-15.93
08/31/2017		*FEDERAL EXPRESS: 5-907-50064 8/23/	0.00					-25.51
08/31/2017		*FEDERAL EXPRESS: MONTHLY ALLOWANCE	0.00					550.00
08/31/2017		*MISC: C FULMER, A LEEDS K141	0.00					540.00
08/31/2017		*MISC: C FULMER, A LEEDS C141	0.00					540.00
08/31/2017		*MISC: MISC	0.00					-13.34
08/31/2017		*MISC: ROY AFLAC 1031DST	0.00					1,126.00
08/31/2017		*MISC: ROY, AFLAC, 1031DST	0.00					1,326.00
08/31/2017		*OVERRIDE: BRANCH-CIRELLI	0.00					16.47
08/31/2017		*OVERRIDE: BRANCH-KIESNOSKI	0.00					122.83
08/31/2017		*OVERRIDE: LINSKY OVERRIDE	0.00					36.82
08/31/2017		*OVERRIDE: ZIGOURAS FIA % ADJ	0.00					-300.00

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<u>ADJUSTMENTS</u>								
08/31/2017		*PERSHING: MARK UPS	0.00					31.50
08/31/2017		*PERSHING: MARK UPS	0.00					27.00
08/31/2017		*REIMBURSEMENT: CT# 5832209-INLAND	0.00					495.22
08/31/2017		*SPLIT PAYOUT/OVERRIDE: SPLITS-CIRE	0.00					73.41
08/31/2017		*SPLIT PAYOUT/OVERRIDE: SPLITS-KIES	0.00					734.21
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$5,213.29

BROKER COMMISSION TOTALS

Broker Transactions	\$48.93
Split Transactions	\$4,994.63
Override Transactions	\$653.67
Adjustments	\$5,213.29
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$10,910.52
Year-to-date Gross	\$644,284.98
Year-to-date Earnings	\$659,654.69

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