

All Companies
STATEMENT
May 20, 2018

CYNTHIA HERRON
2321 Travis Lane
#608
Overland Park, KS 66209-

BROKER #: 227
BRANCH: LA BRANCH

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
01/01/0018	#685765	ANTELLA CONSULTING	ADP 46X220XX3	0.00	0.70	92.0	0.00	0.64	0.64
01/01/0018	#685765	ANTELLA CONSULTING	ADP 74149P580	0.00	0.49	92.0	0.00	0.45	0.45
01/01/0018	#685765	ANTELLA CONSULTING	ADP 74149P614	0.00	2.13	92.0	0.00	1.96	1.96
01/01/0018	#685765	ANTELLA CONSULTING	ADP 74149P630	0.00	1.98	92.0	0.00	1.82	1.82
01/01/0018	#685765	ANTELLA CONSULTING	ADP 74149P705	0.00	0.16	92.0	0.00	0.15	0.15
01/01/0018	#685765	ANTELLA CONSULTING	ADP 74149P721	0.00	0.75	92.0	0.00	0.69	0.69
01/01/0018	#685765	ANTELLA CONSULTING	ADP 74149P804	0.00	24.33	92.0	0.00	22.38	22.38
01/01/0018	#685765	ANTELLA CONSULTING	ADP 74149P887	0.00	10.23	92.0	0.00	9.41	9.41
01/01/0018	#685765	ANTELLA CONSULTING	ADP 77954Q304	0.00	1.61	92.0	0.00	1.48	1.48
01/01/0018	#685765	ANTELLA CONSULTING	ADP 85744A513	0.00	7.27	92.0	0.00	6.69	6.69
01/01/0018	#685765	ANTELLA CONSULTING	ADP 85744A554	0.00	0.24	92.0	0.00	0.22	0.22
01/01/0018	#685765	ANTELLA CONSULTING	ADP 904504586	0.00	2.04	92.0	0.00	1.88	1.88
01/01/0018	#685765	ANTELLA CONSULTING	EATON VANCE ATLANTA CAPITAL SMID CA	0.00	0.24	92.0	0.00	0.22	0.22
01/01/0018	#685765	ANTELLA CONSULTING	FIDELITY ADV DIVERSIFIED STOCK CL A	0.00	0.71	92.0	0.00	0.65	0.65
01/01/0018	#685765	ANTELLA CONSULTING	FRANKLIN 880196209	0.00	4.02	92.0	0.00	3.70	3.70
01/01/0018	#685765	ANTELLA CONSULTING	FRANKLIN STRATEGIC SER SMALL CAP GR	0.00	0.11	92.0	0.00	0.10	0.10
01/01/0018	#685765	ANTELLA CONSULTING	JPMORGAN TR II EQUITY INCOME FD CL	0.00	1.47	92.0	0.00	1.35	1.35
01/01/0018	#685765	ANTELLA CONSULTING	OPPENHEIMER INTERNATIONAL GROWTH FU	0.00	0.11	92.0	0.00	0.10	0.10
02/08/0018	#685765	ANTELLA CONSULTING	ADP 46X220XX3	0.00	1.07	92.0	0.00	0.98	0.98
02/08/0018	#685765	ANTELLA CONSULTING	ADP 74149P580	0.00	0.47	92.0	0.00	0.43	0.43
02/08/0018	#685765	ANTELLA CONSULTING	ADP 74149P614	0.00	1.87	92.0	0.00	1.72	1.72
02/08/0018	#685765	ANTELLA CONSULTING	ADP 74149P630	0.00	1.77	92.0	0.00	1.63	1.63
02/08/0018	#685765	ANTELLA CONSULTING	ADP 74149P705	0.00	0.16	92.0	0.00	0.15	0.15
02/08/0018	#685765	ANTELLA CONSULTING	ADP 74149P721	0.00	0.73	92.0	0.00	0.67	0.67
02/08/0018	#685765	ANTELLA CONSULTING	ADP 74149P804	0.00	21.82	92.0	0.00	20.07	20.07
02/08/0018	#685765	ANTELLA CONSULTING	ADP 74149P887	0.00	9.12	92.0	0.00	8.39	8.39
02/08/0018	#685765	ANTELLA CONSULTING	ADP 77954Q304	0.00	1.52	92.0	0.00	1.40	1.40
02/08/0018	#685765	ANTELLA CONSULTING	ADP 85744A513	0.00	6.53	92.0	0.00	6.01	6.01

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/08/0018	#685765	ANTELLA CONSULTING	ADP 85744A554	0.00	0.23	92.0	0.00	0.21	0.21
02/08/0018	#685765	ANTELLA CONSULTING	ADP 904504586	0.00	1.78	92.0	0.00	1.64	1.64
02/08/0018	#685765	ANTELLA CONSULTING	EATON VANCE ATLANTA CAPITAL SMID CA	0.00	0.23	92.0	0.00	0.21	0.21
02/08/0018	#685765	ANTELLA CONSULTING	FIDELITY ADV DIVERSIFIED STOCK CL A	0.00	0.70	92.0	0.00	0.64	0.64
02/08/0018	#685765	ANTELLA CONSULTING	FRANKLIN 880196209	0.00	3.51	92.0	0.00	3.23	3.23
02/08/0018	#685765	ANTELLA CONSULTING	FRANKLIN STRATEGIC SER SMALL CAP GR	0.00	0.11	92.0	0.00	0.10	0.10
02/08/0018	#685765	ANTELLA CONSULTING	JPMORGAN TR II EQUITY INCOME FD CL	0.00	1.35	92.0	0.00	1.24	1.24
02/08/0018	#685765	ANTELLA CONSULTING	OPPENHEIMER INTERNATIONAL GROWTH FU	0.00	0.11	92.0	0.00	0.10	0.10
03/01/0018	#685765	ANTELLA CONSULTING	ADP 46X220XX3	0.00	2.19	92.0	0.00	2.01	2.01
03/01/0018	#685765	ANTELLA CONSULTING	ADP 74149P580	0.00	0.72	92.0	0.00	0.66	0.66
03/01/0018	#685765	ANTELLA CONSULTING	ADP 74149P614	0.00	2.08	92.0	0.00	1.91	1.91
03/01/0018	#685765	ANTELLA CONSULTING	ADP 74149P630	0.00	2.08	92.0	0.00	1.91	1.91
03/01/0018	#685765	ANTELLA CONSULTING	ADP 74149P705	0.00	0.03	92.0	0.00	0.03	0.03
03/01/0018	#685765	ANTELLA CONSULTING	ADP 74149P721	0.00	0.14	92.0	0.00	0.13	0.13
03/01/0018	#685765	ANTELLA CONSULTING	ADP 74149P804	0.00	24.05	92.0	0.00	22.13	22.13
03/01/0018	#685765	ANTELLA CONSULTING	ADP 74149P887	0.00	10.30	92.0	0.00	9.48	9.48
03/01/0018	#685765	ANTELLA CONSULTING	ADP 77954Q304	0.00	2.01	92.0	0.00	1.85	1.85
03/01/0018	#685765	ANTELLA CONSULTING	ADP 85744A513	0.00	7.78	92.0	0.00	7.16	7.16
03/01/0018	#685765	ANTELLA CONSULTING	ADP 85744A554	0.00	0.33	92.0	0.00	0.30	0.30
03/01/0018	#685765	ANTELLA CONSULTING	ADP 904504586	0.00	2.02	92.0	0.00	1.86	1.86
03/01/0018	#685765	ANTELLA CONSULTING	EATON VANCE ATLANTA CAPITAL SMID CA	0.00	0.33	92.0	0.00	0.30	0.30
03/01/0018	#685765	ANTELLA CONSULTING	FIDELITY ADV DIVERSIFIED STOCK CL A	0.00	1.02	92.0	0.00	0.94	0.94
03/01/0018	#685765	ANTELLA CONSULTING	FRANKLIN 880196209	0.00	3.92	92.0	0.00	3.61	3.61
03/01/0018	#685765	ANTELLA CONSULTING	FRANKLIN STRATEGIC SER SMALL CAP GR	0.00	0.19	92.0	0.00	0.17	0.17
03/01/0018	#685765	ANTELLA CONSULTING	JPMORGAN TR II EQUITY INCOME FD CL	0.00	1.77	92.0	0.00	1.63	1.63
03/01/0018	#685765	ANTELLA CONSULTING	OPPENHEIMER INTERNATIONAL GROWTH FU	0.00	0.17	92.0	0.00	0.16	0.16
04/02/2018	#00088046313	BAUCOMKARA	AMCAP FUND INC CLASS A	46.20	1.96	92.0	0.00	1.80	1.80
04/02/2018	#00088046313	BAUCOMKARA	AMCAP FUND INC CLASS A	153.80	6.54	92.0	0.00	6.02	6.02
04/02/2018	#00085613691	LISHER, GINA	AMERICAN BALANCED FUND-529A	75.00	3.75	92.0	0.00	3.45	3.45
04/02/2018	#00088046313	BAUCOMKARA	AMERICAN FUNDS 2015 TARGET DATE-A	28.88	1.23	92.0	0.00	1.13	1.13
04/02/2018	#00088046313	BAUCOMKARA	AMERICAN FUNDS 2015 TARGET DATE-A	96.12	4.09	92.0	0.00	3.76	3.76
04/02/2018	#00088046313	BAUCOMKARA	AMERICAN MUTUAL FUND INC CLASS A	40.42	1.72	92.0	0.00	1.58	1.58
04/02/2018	#00088046313	BAUCOMKARA	AMERICAN MUTUAL FUND INC CLASS A	134.58	5.72	92.0	0.00	5.26	5.26
04/02/2018	#00088727323	RUSSELL, JOHN	COLLEGE 2024 FUND 529A	100.00	3.50	92.0	0.00	3.22	3.22
04/03/2018	#	WARD-MARCUM, KATHLEEN	MFS SER TR I TECHNOLOGY FD-CL A	477.00	23.85	92.0	0.00	21.94	1.94
04/05/2018	#00085515885	GUITY, NIQUICHE	COLLEGE 2024 FUND-529C	50.00	0.50	92.0	0.00	0.46	0.46

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/05/2018	#00085515930	GUITYORBIL COLLEGE 2027 FUND-529C	50.00	0.50	92.0	0.00	0.46	0.00	0.46
04/05/2018	#00088467617	GUITY, NIQUICHE COLLEGE 2030 FUND-529A	50.00	1.75	92.0	0.00	1.61	0.00	1.61
04/05/2018	# EUBANKS, WILLONE	JANUS INVT FD HENDERSON EURPEAN FCU	1,000.00	0.00	92.0	0.00	0.00	20.00	-20.00
04/05/2018	# HALL, ERIN	MFS EQUITY OPPORTUNITIES FD CL A	65.00	3.25	92.0	0.00	2.99	20.00	-17.01
04/05/2018	#00085978086	RARDIN, JOHN THE BOND FUND OF AMERICA-529A	50.00	1.50	92.0	0.00	1.38	0.00	1.38
04/06/2018	#00088576061	DAKEMICHEL 2055 TARGET DATE RETIREMENT FUND	32.15	0.64	92.0	0.00	0.59	0.00	0.59
04/06/2018	#00088576061	DAKEMICHEL 2055 TARGET DATE RETIREMENT FUND	107.16	2.14	92.0	0.00	1.97	0.00	1.97
04/06/2018	#04000834615	ERPELDING, AMERICAN FDS PORTFOLIO SER GROWTH F	16.15	0.32	92.0	0.00	0.29	0.00	0.29
04/06/2018	#04000834615	ERPELDING, AMERICAN FDS PORTFOLIO SER GROWTH F	21.54	0.43	92.0	0.00	0.40	0.00	0.40
04/06/2018	#00086985099	LOWNANCYEL AMERICAN FUNDS 2010 TARGET DATE-A	94.97	1.90	92.0	0.00	1.75	0.00	1.75
04/06/2018	#00086985099	LOWNANCYEL AMERICAN FUNDS 2010 TARGET DATE-A	395.70	7.91	92.0	0.00	7.28	0.00	7.28
04/06/2018	#00087552633	TALBERTLUC AMERICAN FUNDS 2010 TARGET DATE-A	88.39	1.77	92.0	0.00	1.63	0.00	1.63
04/06/2018	#00087552633	TALBERTLUC AMERICAN FUNDS 2010 TARGET DATE-A	589.28	11.79	92.0	0.00	10.85	0.00	10.85
04/06/2018	#00087708562	JONES-WISN AMERICAN FUNDS 2015 TARGET DATE-A	76.51	1.53	92.0	0.00	1.41	0.00	1.41
04/06/2018	#00087708562	JONES-WISN AMERICAN FUNDS 2015 TARGET DATE-A	76.51	1.53	92.0	0.00	1.41	0.00	1.41
04/06/2018	#04000101422	MCGINNISMI AMERICAN FUNDS 2015 TARGET DATE-A	59.42	1.19	92.0	0.00	1.09	0.00	1.09
04/06/2018	#04000101422	MCGINNISMI AMERICAN FUNDS 2015 TARGET DATE-A	59.42	1.19	92.0	0.00	1.09	0.00	1.09
04/06/2018	#04000101439	GIBBONSHEA AMERICAN FUNDS 2015 TARGET DATE-A	57.35	1.15	92.0	0.00	1.06	0.00	1.06
04/06/2018	#04000101439	GIBBONSHEA AMERICAN FUNDS 2015 TARGET DATE-A	57.35	1.15	92.0	0.00	1.06	0.00	1.06
04/06/2018	#04000790583	AMERICAN FUNDS 2015 TARGET DATE-A	43.27	0.87	92.0	0.00	0.80	0.00	0.80
04/06/2018	#04000790583	AMERICAN FUNDS 2015 TARGET DATE-A	43.27	0.87	92.0	0.00	0.80	0.00	0.80
04/06/2018	#04000834615	ERPELDING, AMERICAN FUNDS 2030 TARGET DATE-A	24.23	0.48	92.0	0.00	0.44	0.00	0.44
04/06/2018	#04000834615	ERPELDING, AMERICAN FUNDS 2030 TARGET DATE-A	32.30	0.65	92.0	0.00	0.60	0.00	0.60
04/06/2018	#00086986934	KUNKELGRET AMERICAN FUNDS 2035 TARGET DATE-A	298.07	5.96	92.0	0.00	5.48	0.00	5.48
04/06/2018	#00086986934	KUNKELGRET AMERICAN FUNDS 2035 TARGET DATE-A	63.26	1.27	92.0	0.00	1.17	0.00	1.17
04/06/2018	#04000834615	ERPELDING, AMERICAN FUNDS 2045 TARGET DATE-A	12.11	0.24	92.0	0.00	0.22	0.00	0.22
04/06/2018	#04000834615	ERPELDING, AMERICAN FUNDS 2045 TARGET DATE-A	16.15	0.32	92.0	0.00	0.29	0.00	0.29
04/06/2018	#00088576061	DAKEMICHEL BOND FUND OF AMERICA INC CL A	6.43	0.13	92.0	0.00	0.12	0.00	0.12
04/06/2018	#00088576061	DAKEMICHEL BOND FUND OF AMERICA INC CL A	21.43	0.43	92.0	0.00	0.40	0.00	0.40
04/06/2018	#00086986934	KUNKELGRET GROWTH AND INCOME PORTFOLIO-A	63.27	1.27	92.0	0.00	1.17	0.00	1.17
04/06/2018	#00086986934	KUNKELGRET GROWTH AND INCOME PORTFOLIO-A	298.08	5.96	92.0	0.00	5.48	0.00	5.48
04/06/2018	#04000834615	ERPELDING, GROWTH AND INCOME PORTFOLIO-A	28.27	0.57	92.0	0.00	0.52	0.00	0.52
04/06/2018	#04000834615	ERPELDING, GROWTH AND INCOME PORTFOLIO-A	37.69	0.75	92.0	0.00	0.69	0.00	0.69
04/06/2018	#04000101795	BEDDINGFIE INVESTMENT CO AMER CLASS A	88.54	1.77	92.0	0.00	1.63	0.00	1.63
04/06/2018	#04000101795	BEDDINGFIE INVESTMENT CO AMER CLASS A	88.54	1.77	92.0	0.00	1.63	0.00	1.63
04/06/2018	#00088576061	DAKEMICHEL SMALLCAP WORLD FUND INC CLASS A	25.72	0.51	92.0	0.00	0.47	0.00	0.47

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/06/2018	#00088576061	DAKEMICHEL	SMALLCAP WORLD FUND INC CLASS A	85.73	1.71	92.0	0.00	1.57	1.57
04/09/2018	#04000672747	LISHER, GINA	2055 TARGET DATE RETIREMENT FUND	40.00	1.50	92.0	0.00	1.38	1.38
04/09/2018	#04000672747	LISHER, GINA	2055 TARGET DATE RETIREMENT FUND	10.06	0.38	92.0	0.00	0.35	0.35
04/09/2018	#04000748210	RALEIGH, GRAHAM	2055 TARGET DATE RETIREMENT FUND	9.74	0.37	92.0	0.00	0.34	0.34
04/09/2018	#04000748210	RALEIGH, GRAHAM	2055 TARGET DATE RETIREMENT FUND	12.00	0.45	92.0	0.00	0.41	0.41
04/09/2018	#04000672757	SHANNON,	AMERICAN FDS PORTFOLIO SER GROWTH F	10.69	0.40	92.0	0.00	0.37	0.37
04/09/2018	#04000672757	SHANNON,	AMERICAN FDS PORTFOLIO SER GROWTH F	10.69	0.40	92.0	0.00	0.37	0.37
04/09/2018	#04000672747	LISHER, GINA	AMERICAN FDS PORTFOLIO SER GROWTH F	60.00	2.25	92.0	0.00	2.07	2.07
04/09/2018	#04000672747	LISHER, GINA	AMERICAN FDS PORTFOLIO SER GROWTH F	15.09	0.57	92.0	0.00	0.52	0.52
04/09/2018	#04000652169	WATKINS,	AMERICAN FDS PORTFOLIO SER GROWTH F	15.00	0.56	92.0	0.00	0.52	0.52
04/09/2018	#04000652169	WATKINS,	AMERICAN FDS PORTFOLIO SER GROWTH F	15.00	0.56	92.0	0.00	0.52	0.52
04/09/2018	#04000652163	PRICE B J	AMERICAN FDS PORTFOLIO SER GROWTH F	16.95	0.64	92.0	0.00	0.59	0.59
04/09/2018	#04000652163	PRICE B J	AMERICAN FDS PORTFOLIO SER GROWTH F	10.17	0.38	92.0	0.00	0.35	0.35
04/09/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FDS PORTFOLIO SER GROWTH F	40.00	1.50	92.0	0.00	1.38	1.38
04/09/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FDS PORTFOLIO SER GROWTH F	13.44	0.50	92.0	0.00	0.46	0.46
04/09/2018	#04000748210	RALEIGH, GRAHAM	AMERICAN FDS PORTFOLIO SER GROWTH F	14.60	0.55	92.0	0.00	0.51	0.51
04/09/2018	#04000748210	RALEIGH, GRAHAM	AMERICAN FDS PORTFOLIO SER GROWTH F	18.00	0.68	92.0	0.00	0.63	0.63
04/09/2018	#04000672757	SHANNON,	AMERICAN FUNDS 2030 TARGET DATE-A	16.03	0.60	92.0	0.00	0.55	0.55
04/09/2018	#04000672757	SHANNON,	AMERICAN FUNDS 2030 TARGET DATE-A	16.03	0.60	92.0	0.00	0.55	0.55
04/09/2018	#04000652169	WATKINS,	AMERICAN FUNDS 2030 TARGET DATE-A	22.50	0.84	92.0	0.00	0.77	0.77
04/09/2018	#04000652169	WATKINS,	AMERICAN FUNDS 2030 TARGET DATE-A	22.50	0.84	92.0	0.00	0.77	0.77
04/09/2018	#04000652163	PRICE B J	AMERICAN FUNDS 2030 TARGET DATE-A	25.43	0.95	92.0	0.00	0.87	0.87
04/09/2018	#04000652163	PRICE B J	AMERICAN FUNDS 2030 TARGET DATE-A	15.26	0.57	92.0	0.00	0.52	0.52
04/09/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FUNDS 2030 TARGET DATE-A	60.00	2.25	92.0	0.00	2.07	2.07
04/09/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FUNDS 2030 TARGET DATE-A	20.16	0.76	92.0	0.00	0.70	0.70
04/09/2018	#04000652169	WATKINS,	AMERICAN FUNDS 2045 TARGET DATE-A	11.25	0.42	92.0	0.00	0.39	0.39
04/09/2018	#04000652169	WATKINS,	AMERICAN FUNDS 2045 TARGET DATE-A	11.25	0.42	92.0	0.00	0.39	0.39
04/09/2018	#04000652163	PRICE B J	AMERICAN FUNDS 2045 TARGET DATE-A	12.71	0.48	92.0	0.00	0.44	0.44
04/09/2018	#04000652163	PRICE B J	AMERICAN FUNDS 2045 TARGET DATE-A	7.63	0.29	92.0	0.00	0.27	0.27
04/09/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FUNDS 2045 TARGET DATE-A	30.00	1.13	92.0	0.00	1.04	1.04
04/09/2018	#04000652154	GRAHAM, TAMMY	AMERICAN FUNDS 2045 TARGET DATE-A	10.08	0.38	92.0	0.00	0.35	0.35
04/09/2018	#04000672757	SHANNON,	AMERICAN FUNDS 2045 TARGET DATE-A	8.01	0.30	92.0	0.00	0.28	0.28
04/09/2018	#04000672757	SHANNON,	AMERICAN FUNDS 2045 TARGET DATE-A	8.01	0.30	92.0	0.00	0.28	0.28
04/09/2018	#04000672747	LISHER, GINA	AMERICAN FUNDS TARGET DATE RETIREME	40.00	1.50	92.0	0.00	1.38	1.38
04/09/2018	#04000672747	LISHER, GINA	AMERICAN FUNDS TARGET DATE RETIREME	10.06	0.38	92.0	0.00	0.35	0.35
04/09/2018	#04000748210	RALEIGH, GRAHAM	AMERICAN FUNDS TARGET DATE RETIREME	9.74	0.37	92.0	0.00	0.34	0.34

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/09/2018	#04000748210	RALEIGH, GRAHAM	AMERICAN FUNDS TARGET DATE RETIREME	12.00	0.45	92.0	0.00	0.41	0.41
04/09/2018	#04000672747	LISHER, GINA	GLOBAL GROWTH PORTFOLIO-A	60.00	2.25	92.0	0.00	2.07	2.07
04/09/2018	#04000672747	LISHER, GINA	GLOBAL GROWTH PORTFOLIO-A	15.09	0.57	92.0	0.00	0.52	0.52
04/09/2018	#04000748210	RALEIGH, GRAHAM	GLOBAL GROWTH PORTFOLIO-A	14.61	0.55	92.0	0.00	0.51	0.51
04/09/2018	#04000748210	RALEIGH, GRAHAM	GLOBAL GROWTH PORTFOLIO-A	18.00	0.68	92.0	0.00	0.63	0.63
04/09/2018	#04000672757	SHANNON,	GROWTH AND INCOME PORTFOLIO-A	18.70	0.70	92.0	0.00	0.64	0.64
04/09/2018	#04000672757	SHANNON,	GROWTH AND INCOME PORTFOLIO-A	18.70	0.70	92.0	0.00	0.64	0.64
04/09/2018	#04000652169	WATKINS,	GROWTH AND INCOME PORTFOLIO-A	26.25	0.98	92.0	0.00	0.90	0.90
04/09/2018	#04000652169	WATKINS,	GROWTH AND INCOME PORTFOLIO-A	26.25	0.98	92.0	0.00	0.90	0.90
04/09/2018	#04000652163	PRICE B J	GROWTH AND INCOME PORTFOLIO-A	29.67	1.11	92.0	0.00	1.02	1.02
04/09/2018	#04000652163	PRICE B J	GROWTH AND INCOME PORTFOLIO-A	17.80	0.67	92.0	0.00	0.62	0.62
04/09/2018	#04000652154	GRAHAM, TAMMY	GROWTH AND INCOME PORTFOLIO-A	70.00	2.63	92.0	0.00	2.42	2.42
04/09/2018	#04000652154	GRAHAM, TAMMY	GROWTH AND INCOME PORTFOLIO-A	23.52	0.88	92.0	0.00	0.81	0.81
04/10/2018	# RUSSELL, JOHN		MFS EQUITY OPPORTUNITIES FD CL A	100.00	5.00	92.0	0.00	4.60	-15.40
04/12/2018	#04000539467	KELLY, STEVEN	AMERICAN FUNDS 2015 TARGET DATE-A	1,000.00	50.00	92.0	0.00	46.00	46.00
04/12/2018	#04000539467	KELLY, STEVEN	AMERICAN FUNDS 2015 TARGET DATE-A	1,000.00	50.00	92.0	0.00	46.00	46.00
04/18/2018	#00088576061	DAKEMICHEL	2055 TARGET DATE RETIREMENT FUND	32.15	0.64	92.0	0.00	0.59	0.59
04/18/2018	#00088576061	DAKEMICHEL	2055 TARGET DATE RETIREMENT FUND	107.16	2.14	92.0	0.00	1.97	1.97
04/18/2018	#04000834615	ERPELDING,	AMERICAN FDS PORTFOLIO SER GROWTH F	16.15	0.32	92.0	0.00	0.29	0.29
04/18/2018	#04000834615	ERPELDING,	AMERICAN FDS PORTFOLIO SER GROWTH F	21.54	0.43	92.0	0.00	0.40	0.40
04/18/2018	#00086985099	LOWNANCYEL	AMERICAN FUNDS 2010 TARGET DATE-A	94.97	1.90	92.0	0.00	1.75	1.75
04/18/2018	#00086985099	LOWNANCYEL	AMERICAN FUNDS 2010 TARGET DATE-A	395.70	7.91	92.0	0.00	7.28	7.28
04/18/2018	#00087552633	TALBERTLUC	AMERICAN FUNDS 2010 TARGET DATE-A	88.39	1.77	92.0	0.00	1.63	1.63
04/18/2018	#00087552633	TALBERTLUC	AMERICAN FUNDS 2010 TARGET DATE-A	589.28	11.79	92.0	0.00	10.85	10.85
04/18/2018	#00087708562	JONES-WISN	AMERICAN FUNDS 2015 TARGET DATE-A	76.51	1.53	92.0	0.00	1.41	1.41
04/18/2018	#00087708562	JONES-WISN	AMERICAN FUNDS 2015 TARGET DATE-A	76.51	1.53	92.0	0.00	1.41	1.41
04/18/2018	#04000101422	MCGINNISMI	AMERICAN FUNDS 2015 TARGET DATE-A	59.42	1.19	92.0	0.00	1.09	1.09
04/18/2018	#04000101422	MCGINNISMI	AMERICAN FUNDS 2015 TARGET DATE-A	59.42	1.19	92.0	0.00	1.09	1.09
04/18/2018	#04000101439	GIBBONSHEA	AMERICAN FUNDS 2015 TARGET DATE-A	57.35	1.15	92.0	0.00	1.06	1.06
04/18/2018	#04000101439	GIBBONSHEA	AMERICAN FUNDS 2015 TARGET DATE-A	57.35	1.15	92.0	0.00	1.06	1.06
04/18/2018	#04000790583		AMERICAN FUNDS 2015 TARGET DATE-A	43.27	0.87	92.0	0.00	0.80	0.80
04/18/2018	#04000790583		AMERICAN FUNDS 2015 TARGET DATE-A	43.27	0.87	92.0	0.00	0.80	0.80
04/18/2018	#04000834615	ERPELDING,	AMERICAN FUNDS 2030 TARGET DATE-A	24.23	0.48	92.0	0.00	0.44	0.44
04/18/2018	#04000834615	ERPELDING,	AMERICAN FUNDS 2030 TARGET DATE-A	32.30	0.65	92.0	0.00	0.60	0.60
04/18/2018	#00086986934	KUNKELGRET	AMERICAN FUNDS 2035 TARGET DATE-A	63.26	1.27	92.0	0.00	1.17	1.17
04/18/2018	#00086986934	KUNKELGRET	AMERICAN FUNDS 2035 TARGET DATE-A	298.07	5.96	92.0	0.00	5.48	5.48

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/18/2018	#04000834615	ERPELDING, AMERICAN FUNDS 2045 TARGET DATE-A	12.11	0.24	92.0	0.00	0.22	0.00	0.22
04/18/2018	#04000834615	ERPELDING, AMERICAN FUNDS 2045 TARGET DATE-A	16.15	0.32	92.0	0.00	0.29	0.00	0.29
04/18/2018	#00088576061	DAKEMICHEL BOND FUND OF AMERICA INC CL A	6.43	0.13	92.0	0.00	0.12	0.00	0.12
04/18/2018	#00088576061	DAKEMICHEL BOND FUND OF AMERICA INC CL A	21.43	0.43	92.0	0.00	0.40	0.00	0.40
04/18/2018	#00086986934	KUNKELGRET GROWTH AND INCOME PORTFOLIO-A	63.27	1.27	92.0	0.00	1.17	0.00	1.17
04/18/2018	#00086986934	KUNKELGRET GROWTH AND INCOME PORTFOLIO-A	298.08	5.96	92.0	0.00	5.48	0.00	5.48
04/18/2018	#04000834615	ERPELDING, GROWTH AND INCOME PORTFOLIO-A	37.69	0.75	92.0	0.00	0.69	0.00	0.69
04/18/2018	#04000834615	ERPELDING, GROWTH AND INCOME PORTFOLIO-A	28.27	0.57	92.0	0.00	0.52	0.00	0.52
04/18/2018	#04000101795	BEDDINGFIE INVESTMENT CO AMER CLASS A	88.54	1.77	92.0	0.00	1.63	0.00	1.63
04/18/2018	#04000101795	BEDDINGFIE INVESTMENT CO AMER CLASS A	88.54	1.77	92.0	0.00	1.63	0.00	1.63
04/18/2018	#00088576061	DAKEMICHEL SMALLCAP WORLD FUND INC CLASS A	25.72	0.51	92.0	0.00	0.47	0.00	0.47
04/18/2018	#00088576061	DAKEMICHEL SMALLCAP WORLD FUND INC CLASS A	85.73	1.71	92.0	0.00	1.57	0.00	1.57
04/19/2018	#04000515434	LEE, CHRISTINA 2055 TARGET DATE RETIREMENT FUND	54.00	2.70	92.0	0.00	2.48	0.00	2.48
04/19/2018	#04000515434	LEE, CHRISTINA 2055 TARGET DATE RETIREMENT FUND	1,800.00	90.00	92.0	0.00	82.80	0.00	82.80
04/19/2018	#04000515434	LEE, CHRISTINA AMERICAN FUNDS 2040 TARGET DATE-A	54.00	2.70	92.0	0.00	2.48	0.00	2.48
04/19/2018	#04000515434	LEE, CHRISTINA AMERICAN FUNDS 2040 TARGET DATE-A	1,800.00	90.00	92.0	0.00	82.80	0.00	82.80
04/20/2018	# ALBIN, JANETTE	MFS DIVERSIFIED INCOME FD CL A	2,869.31	0.00	92.0	0.00	0.00	20.00	-20.00
04/23/2018	#04000748210	RALEIGH, GRAHAM 2055 TARGET DATE RETIREMENT FUND	11.67	0.44	92.0	0.00	0.40	0.00	0.40
04/23/2018	#04000748210	RALEIGH, GRAHAM 2055 TARGET DATE RETIREMENT FUND	12.00	0.45	92.0	0.00	0.41	0.00	0.41
04/23/2018	#04000652154	GRAHAM, TAMMY AMERICAN FDS PORTFOLIO SER GROWTH F	40.00	1.50	92.0	0.00	1.38	0.00	1.38
04/23/2018	#04000652154	GRAHAM, TAMMY AMERICAN FDS PORTFOLIO SER GROWTH F	13.44	0.50	92.0	0.00	0.46	0.00	0.46
04/23/2018	#04000652163	PRICE B J AMERICAN FDS PORTFOLIO SER GROWTH F	16.95	0.64	92.0	0.00	0.59	0.00	0.59
04/23/2018	#04000652163	PRICE B J AMERICAN FDS PORTFOLIO SER GROWTH F	10.17	0.38	92.0	0.00	0.35	0.00	0.35
04/23/2018	#04000652169	WATKINS, AMERICAN FDS PORTFOLIO SER GROWTH F	15.00	0.56	92.0	0.00	0.52	0.00	0.52
04/23/2018	#04000652169	WATKINS, AMERICAN FDS PORTFOLIO SER GROWTH F	15.00	0.56	92.0	0.00	0.52	0.00	0.52
04/23/2018	#04000672757	SHANNON, AMERICAN FDS PORTFOLIO SER GROWTH F	11.18	0.42	92.0	0.00	0.39	0.00	0.39
04/23/2018	#04000672757	SHANNON, AMERICAN FDS PORTFOLIO SER GROWTH F	11.18	0.42	92.0	0.00	0.39	0.00	0.39
04/23/2018	#04000748210	RALEIGH, GRAHAM AMERICAN FDS PORTFOLIO SER GROWTH F	17.50	0.66	92.0	0.00	0.61	0.00	0.61
04/23/2018	#04000748210	RALEIGH, GRAHAM AMERICAN FDS PORTFOLIO SER GROWTH F	18.00	0.68	92.0	0.00	0.63	0.00	0.63
04/23/2018	#04000652154	GRAHAM, TAMMY AMERICAN FUNDS 2030 TARGET DATE-A	60.00	2.25	92.0	0.00	2.07	0.00	2.07
04/23/2018	#04000652154	GRAHAM, TAMMY AMERICAN FUNDS 2030 TARGET DATE-A	20.16	0.76	92.0	0.00	0.70	0.00	0.70
04/23/2018	#04000652163	PRICE B J AMERICAN FUNDS 2030 TARGET DATE-A	15.26	0.57	92.0	0.00	0.52	0.00	0.52
04/23/2018	#04000652163	PRICE B J AMERICAN FUNDS 2030 TARGET DATE-A	25.43	0.95	92.0	0.00	0.87	0.00	0.87
04/23/2018	#04000652169	WATKINS, AMERICAN FUNDS 2030 TARGET DATE-A	22.50	0.84	92.0	0.00	0.77	0.00	0.77
04/23/2018	#04000652169	WATKINS, AMERICAN FUNDS 2030 TARGET DATE-A	22.50	0.84	92.0	0.00	0.77	0.00	0.77
04/23/2018	#04000672757	SHANNON, AMERICAN FUNDS 2030 TARGET DATE-A	16.76	0.63	92.0	0.00	0.58	0.00	0.58

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/23/2018	#04000672757 SHANNON,	AMERICAN FUNDS 2030 TARGET DATE-A	16.77	0.63	92.0	0.00	0.58	0.00	0.58
04/23/2018	#04000652154 GRAHAM, TAMMY	AMERICAN FUNDS 2045 TARGET DATE-A	30.00	1.13	92.0	0.00	1.04	0.00	1.04
04/23/2018	#04000652154 GRAHAM, TAMMY	AMERICAN FUNDS 2045 TARGET DATE-A	10.08	0.38	92.0	0.00	0.35	0.00	0.35
04/23/2018	#04000652163 PRICE B J	AMERICAN FUNDS 2045 TARGET DATE-A	12.71	0.48	92.0	0.00	0.44	0.00	0.44
04/23/2018	#04000652163 PRICE B J	AMERICAN FUNDS 2045 TARGET DATE-A	7.63	0.29	92.0	0.00	0.27	0.00	0.27
04/23/2018	#04000652169 WATKINS,	AMERICAN FUNDS 2045 TARGET DATE-A	11.25	0.42	92.0	0.00	0.39	0.00	0.39
04/23/2018	#04000652169 WATKINS,	AMERICAN FUNDS 2045 TARGET DATE-A	11.25	0.42	92.0	0.00	0.39	0.00	0.39
04/23/2018	#04000672757 SHANNON,	AMERICAN FUNDS 2045 TARGET DATE-A	8.39	0.31	92.0	0.00	0.29	0.00	0.29
04/23/2018	#04000672757 SHANNON,	AMERICAN FUNDS 2045 TARGET DATE-A	8.38	0.31	92.0	0.00	0.29	0.00	0.29
04/23/2018	#04000748210 RALEIGH, GRAHAM	AMERICAN FUNDS TARGET DATE RETIREME	11.67	0.44	92.0	0.00	0.40	0.00	0.40
04/23/2018	#04000748210 RALEIGH, GRAHAM	AMERICAN FUNDS TARGET DATE RETIREME	12.00	0.45	92.0	0.00	0.41	0.00	0.41
04/23/2018	# GUILTY, NIQUICHE	DREYFUS TECHNOLOGY GROWTH FUND-CLAS	1,721.09	0.00	92.0	0.00	0.00	20.00	-20.00
04/23/2018	#04000748210 RALEIGH, GRAHAM	GLOBAL GROWTH PORTFOLIO-A	17.50	0.66	92.0	0.00	0.61	0.00	0.61
04/23/2018	#04000748210 RALEIGH, GRAHAM	GLOBAL GROWTH PORTFOLIO-A	18.00	0.68	92.0	0.00	0.63	0.00	0.63
04/23/2018	#04000652154 GRAHAM, TAMMY	GROWTH AND INCOME PORTFOLIO-A	70.00	2.63	92.0	0.00	2.42	0.00	2.42
04/23/2018	#04000652154 GRAHAM, TAMMY	GROWTH AND INCOME PORTFOLIO-A	23.52	0.88	92.0	0.00	0.81	0.00	0.81
04/23/2018	#04000652163 PRICE B J	GROWTH AND INCOME PORTFOLIO-A	29.67	1.11	92.0	0.00	1.02	0.00	1.02
04/23/2018	#04000652163 PRICE B J	GROWTH AND INCOME PORTFOLIO-A	17.80	0.67	92.0	0.00	0.62	0.00	0.62
04/23/2018	#04000652169 WATKINS,	GROWTH AND INCOME PORTFOLIO-A	26.25	0.98	92.0	0.00	0.90	0.00	0.90
04/23/2018	#04000652169 WATKINS,	GROWTH AND INCOME PORTFOLIO-A	26.25	0.98	92.0	0.00	0.90	0.00	0.90
04/23/2018	#04000672757 SHANNON,	GROWTH AND INCOME PORTFOLIO-A	19.57	0.73	92.0	0.00	0.67	0.00	0.67
04/23/2018	#04000672757 SHANNON,	GROWTH AND INCOME PORTFOLIO-A	19.57	0.73	92.0	0.00	0.67	0.00	0.67
04/23/2018	# BAUM, HARRY	JANUS INVT FD HENDERSON EURPEAN FCU	6,099.42	0.00	92.0	0.00	0.00	20.00	-20.00
04/23/2018	# BOWEN, CHRISTINA	JANUS INVT FD HENDERSON EURPEAN FCU	1,468.27	0.00	92.0	0.00	0.00	20.00	-20.00
04/23/2018	# RANSOM, REBA	MFS EQUITY OPPORTUNITIES FD CL A	3,447.59	0.00	92.0	0.00	0.00	20.00	-20.00
04/24/2018	# WARD-MARCUM, KATHLEEN	MFS SER TR I TECHNOLOGY FD-CL A	33,672.05	0.00	92.0	0.00	0.00	20.00	-20.00
* MUTUAL FUNDS SUBTOTAL *			\$65,320.88	\$710.98		\$0.00	\$654.09	\$200.00	\$454.09

PRODUCT CATEGORY: VARIABLE ANNUITIES

03/01/0018	#575320000 LANE HEALTH,	JOHN HANCOCK ARA	483,683.33	100.65	92.0	0.00	92.60	0.00	92.60
03/01/0018	#1315500000 SOLUTIONS,	JOHN HANCOCK ARA	54,340.23	33.84	92.0	0.00	31.13	0.00	31.13
03/01/0018	#1226040000 PRESTRESSED	JOHN HANCOCK ARA	302,521.08	125.76	92.0	0.00	115.70	0.00	115.70
04/01/0018	#E1849011 MCCURRY, DONNA	OHIO NATIONAL	0.00	69.80	92.0	0.00	64.22	0.00	64.22
04/01/0018	#E1851750 RARDIN, JOHN	OHIO NATIONAL	0.00	24.81	92.0	0.00	22.83	0.00	22.83
04/01/0018	#E1866843 HANKINS, DEBRA	OHIO NATIONAL	0.00	23.67	92.0	0.00	21.78	0.00	21.78
04/03/0018	#I1855750X HONDERICK, EDWINA	VOYA	7,537.61	4.71	92.0	0.00	4.33	0.00	4.33

COMMISSION STATEMENT for CYNTHIA HERRON

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/05/0018	#E1849324 GUY, STANLEY	OHIO NATIONAL	0.00	29.68	92.0	0.00	27.31	0.00	27.31
04/05/0018	#E1849424 DRAKE, SARA	OHIO NATIONAL	0.00	85.32	92.0	0.00	78.49	0.00	78.49
04/08/0018	#E1849229 WARD-MARCUM,	OHIO NATIONAL	159,703.35	99.81	92.0	0.00	91.83	0.00	91.83
04/08/0018	#E1849309 GUY, CHERYL	OHIO NATIONAL	61,301.91	38.31	92.0	0.00	35.25	0.00	35.25
04/08/0018	#E1871314 WARD-MARCUM,	OHIO NATIONAL	158,684.55	99.18	92.0	0.00	91.25	0.00	91.25
04/08/0018	#E1888475 WALKER, MARY BETH	OHIO NATIONAL	26,225.98	16.39	92.0	0.00	15.08	0.00	15.08
03/31/2018	#1018781901 COLFER, THOMAS	PERSP II 04/14	32,044.34	13.35	92.0	0.00	12.28	0.00	12.28
03/31/2018	#1016423285 DUDLEY, PAMELA	PERSP II 04/14	289,311.12	120.55	92.0	0.00	110.91	0.00	110.91
03/31/2018	#1018282220 GALVAS, MARIE	PERSP II 04/14	99,530.81	41.47	92.0	0.00	38.15	0.00	38.15
03/31/2018	#1018331701 STRACHAN, GRACE	PERSP II 04/14	31,435.01	13.10	92.0	0.00	12.05	0.00	12.05
03/31/2018	#1017097110 MAHER, WILLIAM	PERSP II 04/14	29,887.06	12.45	92.0	0.00	11.45	0.00	11.45
03/31/2018	#1018865714 MOON, MELISSA	PERSP II 04/14	65,480.48	27.28	92.0	0.00	25.10	0.00	25.10
03/31/2018	#1016423315 MOOT, PEGGY	PERSP II 04/14	79,144.55	32.98	92.0	0.00	30.34	0.00	30.34
03/31/2018	#1017975869 RARDIN, JOHN	PERSP II 04/14	51,927.80	21.64	92.0	0.00	19.91	0.00	19.91
03/31/2018	#1017913227 STRACHAN, GRACE	PERSP II 04/14	126,845.18	52.85	92.0	0.00	48.62	0.00	48.62
03/31/2018	#1016035579 TAYLOR, R	PERSP II 04/14	45,339.05	18.89	92.0	0.00	17.38	0.00	17.38
03/31/2018	#1015862358 WHEATON, RICK	PERSP II 04/14	91,496.74	38.12	92.0	0.00	35.07	0.00	35.07
03/31/2018	#1018781774 WILKERSON, TERRY	PERSP II 04/14	38,603.81	16.08	92.0	0.00	14.79	0.00	14.79
03/31/2018	#1018743570 WILLIAMS,	PERSP II 04/14	6,609.15	2.75	92.0	0.00	2.53	0.00	2.53
03/31/2018	#1015043497 ALBIN, CHARLES	PERSP II 09/12	16,191.36	6.75	92.0	0.00	6.21	0.00	6.21
03/31/2018	#1014026084 KELLY, MARY	PERSP II 09/12	21,937.39	9.14	92.0	0.00	8.41	0.00	8.41
03/31/2018	#1014027650 KELLY, STEVEN	PERSP II 09/12	67,384.62	28.08	92.0	0.00	25.83	0.00	25.83
03/31/2018	#1013061951 WATSON, ROSEZENA	PERSP II 09/12	8,921.87	3.72	92.0	0.00	3.42	0.00	3.42
03/31/2018	#1014134537 WITHEROW,	PERSP II 09/12	37,693.27	15.71	92.0	0.00	14.45	0.00	14.45
03/31/2018	#1013027893 LEWANDOWSKI, KIM	PERSP L 08/11	57,643.81	48.04	92.0	0.00	44.20	0.00	44.20
03/31/2018	#1014818002 PENDER, PENNY	PERSP L 08/11	39,525.30	32.94	92.0	0.00	30.30	0.00	30.30
03/31/2018	#1014025991 STOUT, KYLE	PERSP L 08/11	64,770.97	53.98	92.0	0.00	49.66	0.00	49.66
04/04/2018	#E1848897 GARRISON	OHIO NATIONAL	0.00	98.81	92.0	0.00	90.91	0.00	90.91
04/04/2018	#E1848897 GARRISON	OHIO NATIONAL	0.00	64.46	92.0	0.00	59.30	0.00	59.30
04/04/2018	#E1856568 LEWANDOWSKI, KIM	OHIO NATIONAL	0.00	161.88	92.0	0.00	148.93	0.00	148.93
04/04/2018	#E1860662 MYERS, DAVE	OHIO NATIONAL	0.00	34.07	92.0	0.00	31.34	0.00	31.34
04/04/2018	#E1870875 SANTOS, MONICA	OHIO NATIONAL	0.00	47.28	92.0	0.00	43.50	0.00	43.50
04/04/2018	#1021206799 ERPELDING,	PERSP II 01/16	17,545.22	877.26	92.0	0.00	807.08	0.00	807.08
04/04/2018	#1021206799 ERPELDING,	PERSP II 01/16	6,845.46	342.27	92.0	0.00	314.89	0.00	314.89
04/20/2018	#1013722249 OLIVER, HAROLD	PERSP II 09/12	150.00	11.25	92.0	0.00	10.35	0.00	10.35
04/30/2018	#1016423285 DUDLEY, PAMELA	PERSP II 04/14	294,836.80	122.85	92.0	0.00	113.02	0.00	113.02

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: VARIABLE ANNUITIES										
04/30/2018	#1018282220	GALVAS, MARIE	PERSP II 04/14	103,462.40	43.11	92.0	0.00	39.66	39.66	
04/30/2018	#1018331701	STRACHAN, GRACE	PERSP II 04/14	32,404.87	13.50	92.0	0.00	12.42	12.42	
04/30/2018	#1018865714	MOON, MELISSA	PERSP II 04/14	68,299.85	28.46	92.0	0.00	26.18	26.18	
04/30/2018	#1016423315	MOOT, PEGGY	PERSP II 04/14	80,710.51	33.63	92.0	0.00	30.94	30.94	
04/30/2018	#1017975869	RARDIN, JOHN	PERSP II 04/14	53,523.53	22.30	92.0	0.00	20.52	20.52	
04/30/2018	#1017913227	STRACHAN, GRACE	PERSP II 04/14	131,547.38	54.81	92.0	0.00	50.43	50.43	
04/30/2018	#1016035579	TAYLOR, R	PERSP II 04/14	46,173.84	19.24	92.0	0.00	17.70	17.70	
04/30/2018	#1015862358	WHEATON, RICK	PERSP II 04/14	93,432.01	38.93	92.0	0.00	35.82	35.82	
04/30/2018	#1018781774	WILKERSON, TERRY	PERSP II 04/14	40,245.98	16.77	92.0	0.00	15.43	15.43	
04/30/2018	#1018743570	WILLIAMS,	PERSP II 04/14	6,872.75	2.86	92.0	0.00	2.63	2.63	
04/30/2018	#1015043497	ALBIN, CHARLES	PERSP II 09/12	16,538.81	6.89	92.0	0.00	6.34	6.34	
04/30/2018	#1014026084	KELLY, MARY	PERSP II 09/12	22,435.62	9.35	92.0	0.00	8.60	8.60	
04/30/2018	#1014027650	KELLY, STEVEN	PERSP II 09/12	75,541.46	31.48	92.0	0.00	28.96	28.96	
04/30/2018	#1013061951	WATSON, ROSEZENA	PERSP II 09/12	9,150.14	3.81	92.0	0.00	3.51	3.51	
04/30/2018	#1014134537	WITHEROW,	PERSP II 09/12	38,548.12	16.06	92.0	0.00	14.78	14.78	
04/30/2018	#1013027893	LEWANDOWSKI, KIM	PERSP L 08/11	59,160.79	49.30	92.0	0.00	45.36	45.36	
04/30/2018	#1014818002	PENDER, PENNY	PERSP L 08/11	40,410.62	33.68	92.0	0.00	30.99	30.99	
04/30/2018	#1014025991	STOUT, KYLE	PERSP L 08/11	66,227.35	55.19	92.0	0.00	50.77	50.77	
* VARIABLE ANNUITIES SUBTOTAL *				\$3,859,785.24	\$3,601.30		\$0.00	\$3,313.22	\$0.00	\$3,313.22
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
04/02/2018	#		DAIN TRAILER	7,413.00	352.12	92.0	0.00	323.95	0.00	323.95
04/02/2018	#		DAIN TRAILER	7,413.00	296.52	92.0	0.00	272.80	0.00	272.80
04/03/2018	#	WARD-MARCUM, KATHLEEN	DAIN TRAILER	477.00	19.08	92.0	0.00	17.55	0.00	17.55
04/03/2018	#	WARD-MARCUM, KATHLEEN	DAIN TRAILER	477.00	23.85	92.0	0.00	21.94	0.00	21.94
04/03/2018	#	WARD-MARCUM, KATHLEEN	DAIN TRAILER	477.00	19.08	92.0	0.00	17.55	0.00	17.55
04/03/2018	#	RUSSELL, MEREDITH	DAIN TRAILER	145.00	5.80	92.0	0.00	5.34	0.00	5.34
04/05/2018	#	EUBANKS, WILLONE	DAIN TRAILER	1,000.00	0.00	92.0	0.00	0.00	0.00	0.00
04/05/2018	#	HALL, ERIN	DAIN TRAILER	65.00	3.25	92.0	0.00	2.99	0.00	2.99
04/05/2018	#	EUBANKS, WILLONE	DAIN TRAILER	1,000.00	0.00	92.0	0.00	0.00	0.00	0.00
04/05/2018	#	HALL, ERIN	DAIN TRAILER	65.00	2.60	92.0	0.00	2.39	0.00	2.39
04/06/2018	#	WILKERSON, BARBARA	DAIN TRAILER	0.00	0.05	92.0	0.00	0.05	0.00	0.05
04/06/2018	#	WILKERSON, BARBARA	DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06
04/06/2018	#	GALVAN, DAMIAN	DAIN TRAILER	0.00	3.51	92.0	0.00	3.23	0.00	3.23
04/06/2018	#	BERG, ERIK	DAIN TRAILER	0.00	1.24	92.0	0.00	1.14	0.00	1.14
04/06/2018	#	AREY, GARRISON	DAIN TRAILER	0.00	0.08	92.0	0.00	0.07	0.00	0.07

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/06/2018	# HARRIMAN, DARELL	DAIN TRAILER	0.00	0.20	92.0	0.00	0.18	0.00	0.18
04/06/2018	# RUSSELL, MEREDITH	DAIN TRAILER	0.00	2.23	92.0	0.00	2.05	0.00	2.05
04/06/2018	# ALBIN, JANETTE	DAIN TRAILER	0.00	0.89	92.0	0.00	0.82	0.00	0.82
04/10/2018	# LISHER, GINA	DAIN TRAILER	0.00	0.33	92.0	0.00	0.30	0.00	0.30
04/10/2018	# LISHER, GINA	DAIN TRAILER	0.00	1.46	92.0	0.00	1.34	0.00	1.34
04/10/2018	# LISHER, GINA	DAIN TRAILER	0.00	1.37	92.0	0.00	1.26	0.00	1.26
04/10/2018	# LISHER, GINA	DAIN TRAILER	0.00	1.43	92.0	0.00	1.32	0.00	1.32
04/10/2018	# WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	2.34	92.0	0.00	2.15	0.00	2.15
04/10/2018	# WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	6.06	92.0	0.00	5.58	0.00	5.58
04/10/2018	# WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	7.56	92.0	0.00	6.96	0.00	6.96
04/10/2018	# WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	6.21	92.0	0.00	5.71	0.00	5.71
04/10/2018	# WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	7.30	92.0	0.00	6.72	0.00	6.72
04/10/2018	# WARD-MARCUM, KATHLEEN	DAIN TRAILER	0.00	0.22	92.0	0.00	0.20	0.00	0.20
04/10/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	0.02	92.0	0.00	0.02	0.00	0.02
04/10/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	0.60	92.0	0.00	0.55	0.00	0.55
04/10/2018	# GALVAN, DAMIAN	DAIN TRAILER	0.00	0.86	92.0	0.00	0.79	0.00	0.79
04/10/2018	# GALVAN, DAMIAN	DAIN TRAILER	0.00	0.88	92.0	0.00	0.81	0.00	0.81
04/10/2018	# BAUM, HARRY	DAIN TRAILER	0.00	4.14	92.0	0.00	3.81	0.00	3.81
04/10/2018	# BAUM, HARRY	DAIN TRAILER	0.00	1.25	92.0	0.00	1.15	0.00	1.15
04/10/2018	# BAUM, HARRY	DAIN TRAILER	0.00	7.67	92.0	0.00	7.06	0.00	7.06
04/10/2018	# PENDER, PENNY	DAIN TRAILER	0.00	0.01	92.0	0.00	0.01	0.00	0.01
04/10/2018	# SMITH, MATT	DAIN TRAILER	0.00	1.03	92.0	0.00	0.95	0.00	0.95
04/10/2018	# SMITH, MATT	DAIN TRAILER	0.00	1.03	92.0	0.00	0.95	0.00	0.95
04/10/2018	# SMITH, MATT	DAIN TRAILER	0.00	0.87	92.0	0.00	0.80	0.00	0.80
04/10/2018	# OLSON, SALLY	DAIN TRAILER	0.00	2.95	92.0	0.00	2.71	0.00	2.71
04/10/2018	# OLSON, SALLY	DAIN TRAILER	0.00	1.38	92.0	0.00	1.27	0.00	1.27
04/10/2018	# OLSON, SALLY	DAIN TRAILER	0.00	1.28	92.0	0.00	1.18	0.00	1.18
04/10/2018	# ELL, MICHAEL	DAIN TRAILER	0.00	0.04	92.0	0.00	0.04	0.00	0.04
04/10/2018	# ELL, MICHAEL	DAIN TRAILER	0.00	0.07	92.0	0.00	0.06	0.00	0.06
04/10/2018	# WILLIAMS, DARRELL	DAIN TRAILER	0.00	0.33	92.0	0.00	0.30	0.00	0.30
04/10/2018	# MOON, MELISSA	DAIN TRAILER	0.00	2.18	92.0	0.00	2.01	0.00	2.01
04/10/2018	# MOON, MELISSA	DAIN TRAILER	0.00	1.45	92.0	0.00	1.33	0.00	1.33
04/10/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	6.89	92.0	0.00	6.34	0.00	6.34
04/10/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	6.53	92.0	0.00	6.01	0.00	6.01
04/10/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	6.60	92.0	0.00	6.07	0.00	6.07
04/10/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	1.45	92.0	0.00	1.33	0.00	1.33

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/10/2018	# WILKERSON, BARBARA	DAIN TRAILER	0.00	1.13	92.0	0.00	1.04	0.00	1.04
04/10/2018	# WILLIAMS, DARRELL	DAIN TRAILER	0.00	0.30	92.0	0.00	0.28	0.00	0.28
04/10/2018	# WILLIAMS, DARRELL	DAIN TRAILER	0.00	0.24	92.0	0.00	0.22	0.00	0.22
04/10/2018	# BOWEN, CHRISTINA	DAIN TRAILER	0.00	0.63	92.0	0.00	0.58	0.00	0.58
04/10/2018	# BOWEN, CHRISTINA	DAIN TRAILER	0.00	0.27	92.0	0.00	0.25	0.00	0.25
04/10/2018	# BOWEN, CHRISTINA	DAIN TRAILER	0.00	0.19	92.0	0.00	0.17	0.00	0.17
04/10/2018	# BOWEN, CHRISTINA	DAIN TRAILER	0.00	0.30	92.0	0.00	0.28	0.00	0.28
04/10/2018	# WILLIAMS, KERSTIN	DAIN TRAILER	0.00	0.39	92.0	0.00	0.36	0.00	0.36
04/10/2018	# WILLIAMS, KERSTIN	DAIN TRAILER	0.00	0.21	92.0	0.00	0.19	0.00	0.19
04/10/2018	# GALVAS, MARIE	DAIN TRAILER	0.00	0.96	92.0	0.00	0.88	0.00	0.88
04/10/2018	# GALVAS, MARIE	DAIN TRAILER	0.00	0.45	92.0	0.00	0.41	0.00	0.41
04/10/2018	# RANSOM, HERMAN	DAIN TRAILER	25.00	1.25	92.0	0.00	1.15	0.00	1.15
04/10/2018	# NELSON, MIKE	DAIN TRAILER	0.00	0.44	92.0	0.00	0.40	0.00	0.40
04/10/2018	# NELSON, MIKE	DAIN TRAILER	0.00	0.56	92.0	0.00	0.52	0.00	0.52
04/10/2018	# NELSON, MIKE	DAIN TRAILER	0.00	0.68	92.0	0.00	0.63	0.00	0.63
04/10/2018	# NELSON, MIKE	DAIN TRAILER	0.00	2.74	92.0	0.00	2.52	0.00	2.52
04/10/2018	# NELSON, MIKE	DAIN TRAILER	0.00	0.27	92.0	0.00	0.25	0.00	0.25
04/10/2018	# HILTE, MONTY	DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06
04/10/2018	# HILTE, MONTY	DAIN TRAILER	0.00	0.05	92.0	0.00	0.05	0.00	0.05
04/10/2018	# HILTE, MONTY	DAIN TRAILER	0.00	0.89	92.0	0.00	0.82	0.00	0.82
04/10/2018	# PEKAREK, MARJAN	DAIN TRAILER	0.00	0.98	92.0	0.00	0.90	0.00	0.90
04/10/2018	# PEKAREK, MARJAN	DAIN TRAILER	0.00	1.30	92.0	0.00	1.20	0.00	1.20
04/10/2018	# PEKAREK, MARJAN	DAIN TRAILER	0.00	0.66	92.0	0.00	0.61	0.00	0.61
04/10/2018	# PEKAREK, MARJAN	DAIN TRAILER	0.00	1.29	92.0	0.00	1.19	0.00	1.19
04/10/2018	# SHANDY, RONNITA	DAIN TRAILER	0.00	0.32	92.0	0.00	0.29	0.00	0.29
04/10/2018	# SHANDY, RONNITA	DAIN TRAILER	0.00	0.21	92.0	0.00	0.19	0.00	0.19
04/10/2018	# SHANDY, RONNITA	DAIN TRAILER	0.00	0.57	92.0	0.00	0.52	0.00	0.52
04/10/2018	# RARDIN, JOHN	DAIN TRAILER	0.00	1.50	92.0	0.00	1.38	0.00	1.38
04/10/2018	# RARDIN, JOHN	DAIN TRAILER	0.00	0.21	92.0	0.00	0.19	0.00	0.19
04/10/2018	# RARDIN, JOHN	DAIN TRAILER	0.00	0.96	92.0	0.00	0.88	0.00	0.88
04/10/2018	# RARDIN, JOHN	DAIN TRAILER	0.00	1.85	92.0	0.00	1.70	0.00	1.70
04/10/2018	# STOUT, KYLE	DAIN TRAILER	0.00	4.92	92.0	0.00	4.53	0.00	4.53
04/10/2018	# STOUT, KYLE	DAIN TRAILER	0.00	7.51	92.0	0.00	6.91	0.00	6.91
04/10/2018	# STOUT, KYLE	DAIN TRAILER	0.00	3.80	92.0	0.00	3.50	0.00	3.50
04/10/2018	# GUILTY, NIQUICHE	DAIN TRAILER	0.00	2.98	92.0	0.00	2.74	0.00	2.74
04/10/2018	# GUILTY, NIQUICHE	DAIN TRAILER	0.00	0.25	92.0	0.00	0.23	0.00	0.23

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/10/2018	# GUILTY, NIQUICHE	DAIN TRAILER	0.00	0.27	92.0	0.00	0.25	0.00	0.25
04/10/2018	# BERG, ERIK	DAIN TRAILER	0.00	1.20	92.0	0.00	1.10	0.00	1.10
04/10/2018	# BERG, ERIK	DAIN TRAILER	0.00	0.66	92.0	0.00	0.61	0.00	0.61
04/10/2018	# HALL, ERIN	DAIN TRAILER	0.00	0.70	92.0	0.00	0.64	0.00	0.64
04/10/2018	# HALL, ERIN	DAIN TRAILER	0.00	0.72	92.0	0.00	0.66	0.00	0.66
04/10/2018	# HALL, ERIN	DAIN TRAILER	0.00	0.68	92.0	0.00	0.63	0.00	0.63
04/10/2018	# BAUM, HARRY	DAIN TRAILER	0.00	7.31	92.0	0.00	6.73	0.00	6.73
04/10/2018	# DAVIDSON, JANEL	DAIN TRAILER	0.00	0.52	92.0	0.00	0.48	0.00	0.48
04/10/2018	# DAVIDSON, JANEL	DAIN TRAILER	0.00	0.34	92.0	0.00	0.31	0.00	0.31
04/10/2018	# DAVIDSON, JANEL	DAIN TRAILER	0.00	0.89	92.0	0.00	0.82	0.00	0.82
04/10/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	0.15	92.0	0.00	0.14	0.00	0.14
04/10/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	2.70	92.0	0.00	2.48	0.00	2.48
04/10/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	0.10	92.0	0.00	0.09	0.00	0.09
04/10/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	3.07	92.0	0.00	2.82	0.00	2.82
04/10/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	1.39	92.0	0.00	1.28	0.00	1.28
04/10/2018	# MAGARGEE, RAY	DAIN TRAILER	0.00	0.25	92.0	0.00	0.23	0.00	0.23
04/10/2018	# AREY, GARRISON	DAIN TRAILER	0.00	0.21	92.0	0.00	0.19	0.00	0.19
04/10/2018	# AREY, GARRISON	DAIN TRAILER	0.00	0.28	92.0	0.00	0.26	0.00	0.26
04/10/2018	# AREY, GARRISON	DAIN TRAILER	0.00	0.45	92.0	0.00	0.41	0.00	0.41
04/10/2018	# AREY, GARRISON	DAIN TRAILER	0.00	0.04	92.0	0.00	0.04	0.00	0.04
04/10/2018	# AREY, GARRISON	DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06
04/10/2018	# AREY, GARRISON	DAIN TRAILER	0.00	0.07	92.0	0.00	0.06	0.00	0.06
04/10/2018	# LANE, JAMES	DAIN TRAILER	0.00	1.78	92.0	0.00	1.64	0.00	1.64
04/10/2018	# LANE, JAMES	DAIN TRAILER	0.00	0.66	92.0	0.00	0.61	0.00	0.61
04/10/2018	# LANE, JAMES	DAIN TRAILER	0.00	2.95	92.0	0.00	2.71	0.00	2.71
04/10/2018	# LANE, JAMES	DAIN TRAILER	0.00	1.70	92.0	0.00	1.56	0.00	1.56
04/10/2018	# LANE, JAMES	DAIN TRAILER	0.00	0.25	92.0	0.00	0.23	0.00	0.23
04/10/2018	# LANE, JAMES	DAIN TRAILER	0.00	1.23	92.0	0.00	1.13	0.00	1.13
04/10/2018	# LANE, JAMES	DAIN TRAILER	0.00	0.24	92.0	0.00	0.22	0.00	0.22
04/10/2018	# LANE, JAMES	DAIN TRAILER	0.00	3.90	92.0	0.00	3.59	0.00	3.59
04/10/2018	# RANSOM, REBA	DAIN TRAILER	0.00	0.32	92.0	0.00	0.29	0.00	0.29
04/10/2018	# RANSOM, REBA	DAIN TRAILER	0.00	0.74	92.0	0.00	0.68	0.00	0.68
04/10/2018	# RANSOM, HERMAN	DAIN TRAILER	0.00	0.13	92.0	0.00	0.12	0.00	0.12
04/10/2018	# RANSOM, HERMAN	DAIN TRAILER	0.00	0.09	92.0	0.00	0.08	0.00	0.08
04/10/2018	# RANSOM, HERMAN	DAIN TRAILER	0.00	0.09	92.0	0.00	0.08	0.00	0.08
04/10/2018	# RANSOM, HERMAN	DAIN TRAILER	0.00	0.23	92.0	0.00	0.21	0.00	0.21

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/10/2018	# HARRIMAN, DARELL	DAIN TRAILER	0.00	1.18	92.0	0.00	1.09	0.00	1.09
04/10/2018	# HARRIMAN, DARELL	DAIN TRAILER	0.00	1.50	92.0	0.00	1.38	0.00	1.38
04/10/2018	# MARCUM, MICHAEL	DAIN TRAILER	0.00	6.75	92.0	0.00	6.21	0.00	6.21
04/10/2018	# MARCUM, MICHAEL	DAIN TRAILER	0.00	1.59	92.0	0.00	1.46	0.00	1.46
04/10/2018	# WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	1.69	92.0	0.00	1.55	0.00	1.55
04/10/2018	# WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	3.61	92.0	0.00	3.32	0.00	3.32
04/10/2018	# WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	1.27	92.0	0.00	1.17	0.00	1.17
04/10/2018	# WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	2.36	92.0	0.00	2.17	0.00	2.17
04/10/2018	# WEEKS, RICHARD LOREN	DAIN TRAILER	0.00	1.50	92.0	0.00	1.38	0.00	1.38
04/10/2018	# WATSON, ROSEZENA	DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06
04/10/2018	# WATSON, ROSEZENA	DAIN TRAILER	0.00	0.03	92.0	0.00	0.03	0.00	0.03
04/10/2018	# HARDY, PATRICIA	DAIN TRAILER	0.00	1.06	92.0	0.00	0.98	0.00	0.98
04/10/2018	# HARDY, PATRICIA	DAIN TRAILER	0.00	0.67	92.0	0.00	0.62	0.00	0.62
04/10/2018	# WATSON, ROSEZENA	DAIN TRAILER	0.00	0.02	92.0	0.00	0.02	0.00	0.02
04/10/2018	# WATSON, ROSEZENA	DAIN TRAILER	0.00	0.27	92.0	0.00	0.25	0.00	0.25
04/10/2018	# RUSSELL, JOHN	DAIN TRAILER	0.00	2.70	92.0	0.00	2.48	0.00	2.48
04/10/2018	# RUSSELL, JOHN	DAIN TRAILER	0.00	3.37	92.0	0.00	3.10	0.00	3.10
04/10/2018	# RUSSELL, JOHN	DAIN TRAILER	0.00	1.34	92.0	0.00	1.23	0.00	1.23
04/10/2018	# RUSSELL, JOHN	DAIN TRAILER	0.00	4.15	92.0	0.00	3.82	0.00	3.82
04/10/2018	# HARDY, JAMES WILLIAM	DAIN TRAILER	0.00	2.48	92.0	0.00	2.28	0.00	2.28
04/10/2018	# HARDY, JAMES WILLIAM	DAIN TRAILER	0.00	1.36	92.0	0.00	1.25	0.00	1.25
04/10/2018	# RUSSELL, MEREDITH	DAIN TRAILER	0.00	3.14	92.0	0.00	2.89	0.00	2.89
04/10/2018	# RUSSELL, MEREDITH	DAIN TRAILER	0.00	2.27	92.0	0.00	2.09	0.00	2.09
04/10/2018	# NELSON, MIKE	DAIN TRAILER	0.00	0.44	92.0	0.00	0.40	0.00	0.40
04/10/2018	# NYANG, LAMIN	DAIN TRAILER	0.00	0.08	92.0	0.00	0.07	0.00	0.07
04/10/2018	# LEWANDOWSKI, KIM	DAIN TRAILER	0.00	1.30	92.0	0.00	1.20	0.00	1.20
04/10/2018	# LEWANDOWSKI, KIM	DAIN TRAILER	0.00	0.91	92.0	0.00	0.84	0.00	0.84
04/10/2018	# LEWANDOWSKI, KIM	DAIN TRAILER	0.00	0.34	92.0	0.00	0.31	0.00	0.31
04/10/2018	# LEWANDOWSKI, KIM	DAIN TRAILER	0.00	1.32	92.0	0.00	1.21	0.00	1.21
04/10/2018	# NYANG, LAMIN	DAIN TRAILER	0.00	0.13	92.0	0.00	0.12	0.00	0.12
04/10/2018	# NYANG, LAMIN	DAIN TRAILER	0.00	0.06	92.0	0.00	0.06	0.00	0.06
04/10/2018	# MOOT, PEGGY	DAIN TRAILER	0.00	1.10	92.0	0.00	1.01	0.00	1.01
04/10/2018	# MOOT, PEGGY	DAIN TRAILER	0.00	1.48	92.0	0.00	1.36	0.00	1.36
04/10/2018	# MOOT, PEGGY	DAIN TRAILER	0.00	0.27	92.0	0.00	0.25	0.00	0.25
04/10/2018	# MOOT, PEGGY	DAIN TRAILER	0.00	0.55	92.0	0.00	0.51	0.00	0.51
04/10/2018	# ARVIN, PEGGY	DAIN TRAILER	0.00	0.97	92.0	0.00	0.89	0.00	0.89

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/10/2018 #	ARVIN, PEGGY	DAIN TRAILER	0.00	0.24	92.0	0.00	0.22	0.00	0.22
04/10/2018 #	ARVIN, PEGGY	DAIN TRAILER	0.00	0.36	92.0	0.00	0.33	0.00	0.33
04/10/2018 #	ARVIN, PEGGY	DAIN TRAILER	0.00	1.32	92.0	0.00	1.21	0.00	1.21
04/10/2018 #	MYERS, DAVE	DAIN TRAILER	0.00	0.97	92.0	0.00	0.89	0.00	0.89
04/10/2018 #	MYERS, DAVE	DAIN TRAILER	0.00	4.92	92.0	0.00	4.53	0.00	4.53
04/10/2018 #	ALBIN, JANETTE	DAIN TRAILER	0.00	2.43	92.0	0.00	2.24	0.00	2.24
04/10/2018 #	ALBIN, JANETTE	DAIN TRAILER	0.00	0.25	92.0	0.00	0.23	0.00	0.23
04/10/2018 #	ALBIN, JANETTE	DAIN TRAILER	0.00	0.61	92.0	0.00	0.56	0.00	0.56
04/10/2018 #	EUBANKS, WILLONE	DAIN TRAILER	0.00	0.50	92.0	0.00	0.46	0.00	0.46
04/10/2018 #	EUBANKS, WILLONE	DAIN TRAILER	0.00	0.25	92.0	0.00	0.23	0.00	0.23
04/10/2018 #	EUBANKS, WILLONE	DAIN TRAILER	0.00	0.23	92.0	0.00	0.21	0.00	0.21
04/10/2018 #	WHITAKER, KATHERINE	DAIN TRAILER	0.00	0.37	92.0	0.00	0.34	0.00	0.34
04/10/2018 #	WHITAKER, KATHERINE	DAIN TRAILER	0.00	1.18	92.0	0.00	1.09	0.00	1.09
04/10/2018 #	WILKERSON, TERRY	DAIN TRAILER	0.00	0.95	92.0	0.00	0.87	0.00	0.87
04/10/2018 #	WILKERSON, TERRY	DAIN TRAILER	0.00	0.47	92.0	0.00	0.43	0.00	0.43
04/10/2018 #	WILKERSON, TERRY	DAIN TRAILER	0.00	0.46	92.0	0.00	0.42	0.00	0.42
04/10/2018 #	RUSSELL, JOHN	DAIN TRAILER	100.00	5.00	92.0	0.00	4.60	0.00	4.60
04/10/2018 #	RUSSELL, JOHN	DAIN TRAILER	115.00	4.60	92.0	0.00	4.23	0.00	4.23
04/10/2018 #	RANSOM, HERMAN	DAIN TRAILER	25.00	1.25	92.0	0.00	1.15	0.00	1.15
04/11/2018 #	RUSSELL, JOHN	DAIN TRAILER	100.00	4.75	92.0	0.00	4.37	0.00	4.37
04/11/2018 #	RUSSELL, MEREDITH	DAIN TRAILER	100.00	5.00	92.0	0.00	4.60	0.00	4.60
04/16/2018 #	LISHER, GINA	DAIN TRAILER	200.00	10.00	92.0	0.00	9.20	0.00	9.20
04/20/2018 #	ALBIN, JANETTE	DAIN TRAILER	4,126.56	0.00	92.0	0.00	0.00	0.00	0.00
04/20/2018 #	ALBIN, JANETTE	DAIN TRAILER	3,975.00	188.81	92.0	0.00	173.71	0.00	173.71
04/23/2018 #	RANSOM, HERMAN	DAIN TRAILER	432.29	0.00	92.0	0.00	0.00	0.00	0.00
04/23/2018 #	NELSON, MIKE	DAIN TRAILER	12,969.04	0.00	92.0	0.00	0.00	0.00	0.00
04/23/2018 #	LANE, JAMES	DAIN TRAILER	13,829.51	0.00	92.0	0.00	0.00	0.00	0.00
04/23/2018 #	RARDIN, JOHN	DAIN TRAILER	988.11	0.00	92.0	0.00	0.00	0.00	0.00
04/23/2018 #	BERG, ERIK	DAIN TRAILER	2,597.00	0.00	92.0	0.00	0.00	0.00	0.00
04/23/2018 #	DAVIDSON, JANEL	DAIN TRAILER	2,452.17	0.00	92.0	0.00	0.00	0.00	0.00
04/23/2018 #	RANSOM, HERMAN	DAIN TRAILER	599.47	0.00	92.0	0.00	0.00	0.00	0.00
04/23/2018 #	BOWEN, CHRISTINA	DAIN TRAILER	1,265.01	0.00	92.0	0.00	0.00	0.00	0.00
04/23/2018 #	LANE, JAMES	DAIN TRAILER	3,119.18	0.00	92.0	0.00	0.00	0.00	0.00
04/23/2018 #	ARVIN, PEGGY	DAIN TRAILER	1,147.60	0.00	92.0	0.00	0.00	0.00	0.00
04/23/2018 #	LANE, JAMES	DAIN TRAILER	7,977.30	0.00	92.0	0.00	0.00	0.00	0.00
04/23/2018 #	BAUM, HARRY	DAIN TRAILER	16,723.00	0.00	92.0	0.00	0.00	0.00	0.00

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/23/2018 #	WILKERSON, BARBARA	DAIN TRAILER	29,734.54	0.00	92.0	0.00	0.00	0.00	0.00
04/23/2018 #	GALVAN, DAMIAN	DAIN TRAILER	16,301.96	0.00	92.0	0.00	0.00	0.00	0.00
04/23/2018 #	BERG, ERIK	DAIN TRAILER	5,763.32	0.00	92.0	0.00	0.00	0.00	0.00
04/23/2018 #	NELSON, MIKE	DAIN TRAILER	3,216.42	0.00	92.0	0.00	0.00	0.00	0.00
04/23/2018 #	LANE, JAMES	DAIN TRAILER	1,156.96	0.00	92.0	0.00	0.00	0.00	0.00
04/23/2018 #	RANSOM, HERMAN	DAIN TRAILER	300.00	0.00	92.0	0.00	0.00	0.00	0.00
04/23/2018 #	GALVAN, DAMIAN	DAIN TRAILER	7,200.00	342.00	92.0	0.00	314.64	0.00	314.64
04/23/2018 #	BAUM, HARRY	DAIN TRAILER	5,879.00	279.25	92.0	0.00	256.91	0.00	256.91
04/23/2018 #	LANE, JAMES	DAIN TRAILER	12,500.00	593.75	92.0	0.00	546.25	0.00	546.25
04/23/2018 #	RANSOM, REBA	DAIN TRAILER	1,600.00	76.00	92.0	0.00	69.92	0.00	69.92
04/23/2018 #	RANSOM, HERMAN	DAIN TRAILER	500.00	23.75	92.0	0.00	21.85	0.00	21.85
04/23/2018 #	ARVIN, PEGGY	DAIN TRAILER	1,800.00	85.50	92.0	0.00	78.66	0.00	78.66
04/23/2018 #	NELSON, MIKE	DAIN TRAILER	7,700.00	365.75	92.0	0.00	336.49	0.00	336.49
04/23/2018 #	RARDIN, JOHN	DAIN TRAILER	2,400.00	114.00	92.0	0.00	104.88	0.00	104.88
04/23/2018 #	GUITY, NIQUICHE	DAIN TRAILER	1,200.00	57.00	92.0	0.00	52.44	0.00	52.44
04/23/2018 #	ALBIN, JANETTE	DAIN TRAILER	2,700.00	128.25	92.0	0.00	117.99	0.00	117.99
04/23/2018 #	BERG, ERIK	DAIN TRAILER	4,000.00	190.00	92.0	0.00	174.80	0.00	174.80
04/23/2018 #	OLSON, SALLY	DAIN TRAILER	1,300.00	61.75	92.0	0.00	56.81	0.00	56.81
04/23/2018 #	WILKERSON, BARBARA	DAIN TRAILER	16,900.00	802.75	92.0	0.00	738.53	0.00	738.53
04/23/2018 #	BOWEN, CHRISTINA	DAIN TRAILER	1,300.00	61.75	92.0	0.00	56.81	0.00	56.81
04/23/2018 #	WILKERSON, BARBARA	DAIN TRAILER	5,355.81	0.00	92.0	0.00	0.00	0.00	0.00
04/23/2018 #	DAVIDSON, JANEL	DAIN TRAILER	2,044.00	0.00	92.0	0.00	0.00	0.00	0.00
04/23/2018 #	ARVIN, PEGGY	DAIN TRAILER	2,671.00	0.00	92.0	0.00	0.00	0.00	0.00
04/23/2018 #	RARDIN, JOHN	DAIN TRAILER	4,238.00	0.00	92.0	0.00	0.00	0.00	0.00
04/23/2018 #	OLSON, SALLY	DAIN TRAILER	2,754.00	0.00	92.0	0.00	0.00	0.00	0.00
04/23/2018 #	GALVAN, DAMIAN	DAIN TRAILER	7,200.00	342.00	92.0	0.00	314.64	0.00	314.64
04/23/2018 #	BAUM, HARRY	DAIN TRAILER	16,000.00	760.00	92.0	0.00	699.20	0.00	699.20
04/23/2018 #	LANE, JAMES	DAIN TRAILER	12,500.00	593.75	92.0	0.00	546.25	0.00	546.25
04/23/2018 #	RANSOM, REBA	DAIN TRAILER	1,600.00	76.00	92.0	0.00	69.92	0.00	69.92
04/23/2018 #	RANSOM, HERMAN	DAIN TRAILER	500.00	23.75	92.0	0.00	21.85	0.00	21.85
04/23/2018 #	ARVIN, PEGGY	DAIN TRAILER	1,800.00	85.50	92.0	0.00	78.66	0.00	78.66
04/23/2018 #	NELSON, MIKE	DAIN TRAILER	7,700.00	365.75	92.0	0.00	336.49	0.00	336.49
04/23/2018 #	RARDIN, JOHN	DAIN TRAILER	2,400.00	114.00	92.0	0.00	104.88	0.00	104.88
04/23/2018 #	GUITY, NIQUICHE	DAIN TRAILER	1,200.00	57.00	92.0	0.00	52.44	0.00	52.44
04/23/2018 #	BERG, ERIK	DAIN TRAILER	4,000.00	190.00	92.0	0.00	174.80	0.00	174.80
04/23/2018 #	OLSON, SALLY	DAIN TRAILER	1,300.00	61.75	92.0	0.00	56.81	0.00	56.81

COMMISSION STATEMENT for CYNTHIA HERRON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/23/2018 #	WILKERSON, BARBARA	DAIN TRAILER	16,900.00	802.75	92.0	0.00	738.53	0.00	738.53
04/23/2018 #	BOWEN, CHRISTINA	DAIN TRAILER	1,300.00	61.75	92.0	0.00	56.81	0.00	56.81
04/23/2018 #	GUITY, NIQUICHE	DAIN TRAILER	1,284.48	0.00	92.0	0.00	0.00	0.00	0.00
04/24/2018 #	SMITH, MATT	DAIN TRAILER	4,763.77	0.00	92.0	0.00	0.00	0.00	0.00
04/24/2018 #	SMITH, MATT	DAIN TRAILER	6,990.73	0.00	92.0	0.00	0.00	0.00	0.00
04/24/2018 #	WARD-MARCUM, KATHLEEN	DAIN TRAILER	3,672.17	0.00	92.0	0.00	0.00	0.00	0.00
04/24/2018 #	WARD-MARCUM, KATHLEEN	DAIN TRAILER	34,186.62	0.00	92.0	0.00	0.00	0.00	0.00
04/24/2018 #	SMITH, MATT	DAIN TRAILER	3,993.44	0.00	92.0	0.00	0.00	0.00	0.00
04/24/2018 #	WARD-MARCUM, KATHLEEN	DAIN TRAILER	33,500.00	1,340.00	92.0	0.00	1,232.80	0.00	1,232.80
04/24/2018 #	SMITH, MATT	DAIN TRAILER	7,400.00	351.50	92.0	0.00	323.38	0.00	323.38
04/24/2018 #	WARD-MARCUM, KATHLEEN	DAIN TRAILER	33,500.00	1,340.00	92.0	0.00	1,232.80	0.00	1,232.80
04/24/2018 #	SMITH, MATT	DAIN TRAILER	7,500.00	356.25	92.0	0.00	327.75	0.00	327.75
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$443,104.46	\$11,283.53		\$0.00	\$10,380.83	\$0.00	\$10,380.83
*** BROKER TRANSACTIONS TOTAL ***			\$4,368,210.58	\$15,595.81		\$0.00	\$14,348.14	\$200.00	\$14,148.14

ADJUSTMENTS

05/20/2018	ADMIN FEE: ADMIN FEE	-320.00
05/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
05/20/2018	DOCUSIGN: DOCUSIGN	-51.00
05/20/2018	ADVANCE: ADVANCE APRIL ADVANCE 4/17	-1,043.87
05/20/2018	FEDEX: FEDEX	-10.61
05/20/2018	FEDEX: FEDEX 4/4/2018	-10.61
*** ADJUSTMENTS TOTAL ***		\$-1,615.09

BROKER COMMISSION TOTALS

Broker Transactions	\$14,148.14
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,615.09
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$12,533.05
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