

STATEMENT

January 20, 2018

MIKE MARCOTULLIO
3031 Wynsum Ave
SUITE 100
Merrick, NY 11566

BROKER #: 153
BRANCH:

COMMISSION STATEMENT for MIKE MARCOTULLIO

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
12/12/2017 #	ELEFANTE, ANTONIO	CITIGROUP INC 6.3% DEPOSITARY SHS R	6,826.70	100.00	75.0	0.00	75.00	19.95	55.05
12/14/2017 #	AUTILIA ZUGARO	SECTOR SPDR - FINANCIAL	2,508.98	71.93	75.0	0.00	53.95	19.95	34.00
* STOCKS SUBTOTAL *			\$9,335.68	\$171.93		\$0.00	\$128.95	\$39.90	\$89.05
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
12/11/2017 #	WALRATH, DOUGLAS	DAIN TRAILER	0.00	0.42	75.0	0.00	0.32	0.00	0.32
12/11/2017 #	BAILEY, MARTIN	DAIN TRAILER	0.00	0.76	75.0	0.00	0.57	0.00	0.57
12/11/2017 #	LA FEX, THOMAS	DAIN TRAILER	0.00	16.49	75.0	0.00	12.37	0.00	12.37
12/11/2017 #	GOLDSTEIN, STEVEN	DAIN TRAILER	0.00	7.14	75.0	0.00	5.36	0.00	5.36
12/11/2017 #	PARKS-HALL, MONICA	DAIN TRAILER	0.00	4.86	75.0	0.00	3.65	0.00	3.65
12/11/2017 #	PARKS, DOMINIQUE	DAIN TRAILER	0.00	4.86	75.0	0.00	3.65	0.00	3.65
12/11/2017 #	BUCKNER, TYRONE	DAIN TRAILER	0.00	3.08	75.0	0.00	2.31	0.00	2.31
12/11/2017 #	SANBORN, ROBERT	DAIN TRAILER	0.00	1.44	75.0	0.00	1.08	0.00	1.08
12/11/2017 #	STANLEY, MICHELLE	DAIN TRAILER	0.00	0.49	75.0	0.00	0.37	0.00	0.37
12/11/2017 #	STANLEY, MICHELLE	DAIN TRAILER	0.00	4.31	75.0	0.00	3.23	0.00	3.23
12/11/2017 #	BERRY, ROBERT	DAIN TRAILER	0.00	0.77	75.0	0.00	0.58	0.00	0.58
12/11/2017 #	BERRY, ROBERT	DAIN TRAILER	0.00	2.86	75.0	0.00	2.15	0.00	2.15
12/11/2017 #	LA FEX, THOMAS	DAIN TRAILER	0.00	1.18	75.0	0.00	0.89	0.00	0.89
12/11/2017 #	RICKS, DONNA	DAIN TRAILER	0.00	1.26	75.0	0.00	0.95	0.00	0.95
12/11/2017 #	WORTHINGTON, FARIS	DAIN TRAILER	0.00	3.23	75.0	0.00	2.42	0.00	2.42
12/11/2017 #	WORTHINGTON, FARIS	DAIN TRAILER	0.00	0.87	75.0	0.00	0.65	0.00	0.65
12/11/2017 #	WALRATH, DOUGLAS	DAIN TRAILER	0.00	0.76	75.0	0.00	0.57	0.00	0.57
12/11/2017 #	GOLDSTEIN, STEVEN	DAIN TRAILER	0.00	1.96	75.0	0.00	1.47	0.00	1.47
12/11/2017 #	FREDA, MARTIN	DAIN TRAILER	0.00	0.97	75.0	0.00	0.73	0.00	0.73
12/11/2017 #	SFERRAZZA, JOANN	DAIN TRAILER	0.00	1.57	75.0	0.00	1.18	0.00	1.18
12/11/2017 #	SFERRAZZA, PAUL	DAIN TRAILER	0.00	0.85	75.0	0.00	0.64	0.00	0.64
12/11/2017 #	SFERRAZZA, PAUL	DAIN TRAILER	0.00	5.88	75.0	0.00	4.41	0.00	4.41
12/11/2017 #	AUTILIA ZUGARO	DAIN TRAILER	0.00	4.75	75.0	0.00	3.56	0.00	3.56

COMMISSION STATEMENT for MIKE MARCOTULLIO

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$70.76		\$0.00	\$53.11	\$0.00	\$53.11
		*** BROKER TRANSACTIONS TOTAL ***	\$9,335.68	\$242.69		\$0.00	\$182.06	\$39.90	\$142.16

ADJUSTMENTS

01/20/2018	BETA BACK OFFICE: ADMIN FEE	-320.00
01/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
	*** ADJUSTMENTS TOTAL ***	\$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$142.16
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-356.84
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