

**COMMISSION STATEMENT****February 15, 2018**

JEFFREY, K141 KIESNOSKI
 417 East Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: K141
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/10/2018	DEMIAN, CAROLE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.19	0.00	3.19	94.0	3.00
01/22/2018	FROH, PAULA SANDRA	FRANKLIN OREGON TAX- FREE INCOME FU	0.00	0.30	0.00	0.30	94.0	0.28
01/22/2018	DEMIAN, CAROLE	JP MORGAN CORE BOND A	0.00	2.85	0.00	2.85	94.0	2.68
01/22/2018	DEMIAN, CAROLE	JP MORGAN INCOME BUILDER FUND CLASS	0.00	0.30	0.00	0.30	94.0	0.28
01/24/2018	COREY, JEFFREY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.38	0.00	0.38	94.0	0.36
01/19/2018	FROH, PAULA SANDRA	MFS GLOBAL EQUITY A	0.00	2.38	0.00	2.38	94.0	2.24
01/10/2018	FROH, PAULA SANDRA	PRU JENNISON GROWTH	0.00	1.14	0.00	1.14	94.0	1.07
01/22/2018	FROH, PAULA SANDRA	PUTNAM EQUITY INCOME A	0.00	1.09	0.00	1.09	94.0	1.02
01/22/2018	FROH, PAULA SANDRA	PUTNAM GLOBAL HEALTH CARE A	0.00	0.11	0.00	0.11	94.0	0.10
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$11.74	\$0.00	\$11.74		\$11.03
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$11.74	\$0.00	\$11.74		\$11.03

SPLIT TRANSACTIONS**BROKER: 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE #251**

01/10/2018	MARKLEW, IAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.77	0.00	2.77	94.0	2.60
* 1AF-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$2.77	\$0.00	\$2.77		\$2.60

BROKER: 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS #252

01/10/2018	PARILLO, DOMINICK	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.39	0.00	1.39	94.0	1.31
01/10/2018	RENEGAR, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.30	0.00	3.30	94.0	3.10
01/10/2018	WYFFELS, ALAN	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.35	0.00	2.35	94.0	2.21
01/10/2018	PARILLO, RALPH	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.39	0.00	1.39	94.0	1.31
01/10/2018	KLETT, MARTIN	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	3.74	0.00	3.74	94.0	3.52
01/17/2018	KLETT, JEAN	FEDERATED HIGH INCOME BOND FUND INC	0.00	2.04	0.00	2.04	94.0	1.92
01/24/2018	LAGALA, JASON	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.33	0.00	4.33	94.0	4.07
01/24/2018	KLETT, JEAN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.51	0.00	2.51	94.0	2.36

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS #252</u>								
01/24/2018	WOLD, ALEXANDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.26	0.00	0.26	94.0	0.24
01/24/2018	RENEGAR, ROBERT	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.23	0.00	0.23	94.0	0.22
01/24/2018	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.27	0.00	1.27	94.0	1.19
01/24/2018	WYFFELS, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.30	0.00	0.30	94.0	0.28
01/24/2018	LUBIN, GLENN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.33	0.00	0.33	94.0	0.31
01/24/2018	WOLD, JOHN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.16	0.00	0.16	94.0	0.15
01/29/2018	WOLD, JOHN	VOYA MID-CAP OPPORTUNITIES FUND CLA	0.00	0.12	0.00	0.12	94.0	0.11
* 1JR-DAN/JEFFREY FT-NY RAUPP/KIESNOS SUBTOTAL *			\$0.00	\$23.72	\$0.00	\$23.72		\$22.30
<u>BROKER: BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU #253</u>								
01/10/2018	CRESPO, ALEJANDRO	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.98	0.00	2.98	94.0	2.80
01/10/2018	BARBER, STEVE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	1.31	0.00	1.31	94.0	1.23
01/10/2018	CHEW, ROLAND	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.19	0.00	3.19	94.0	3.00
01/10/2018	FIVE STAR BUS 401K	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	1.61	0.00	1.61	94.0	1.51
01/10/2018	PRECISION INTERIORS	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	6.46	0.00	6.46	94.0	6.07
01/12/2018	FIVE STAR BUS 401K	FORWARD GROWTH ALLOCATION FUND CLAS	0.00	4.03	0.00	4.03	94.0	3.79
01/17/2018	CAPOBIANCO, PETER	HARTFORD CAPITAL APPRECIATION A	0.00	1.60	0.00	1.60	94.0	1.50
01/17/2018	DEGREGORIO, JOHN	HARTFORD CAPITAL APPRECIATION A	0.00	2.63	0.00	2.63	94.0	2.47
01/22/2018	BARBER, STEVE	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.40	0.00	0.40	94.0	0.38
01/24/2018	BARBER, STEVE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.36	0.00	1.36	94.0	1.28
01/24/2018	CHEW, ROLAND	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.27	0.00	1.27	94.0	1.19
01/24/2018	CRESPO, ALEJANDRO	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.26	0.00	2.26	94.0	2.12
01/24/2018	AXELROD, ALAN	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.29	0.00	0.29	94.0	0.27
01/24/2018	RABETZ, WILLIAM	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.75	0.00	1.75	94.0	1.65
01/24/2018	IAQUINTO, JOSEPH	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.11	0.00	1.11	94.0	1.04
01/24/2018	FIVE STAR BUS 401K	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.62	0.00	0.62	94.0	0.58
01/24/2018	PRECISION INTERIORS	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.38	0.00	1.38	94.0	1.30
01/22/2018	AXELROD, ALAN	TRANSAMERICA SHORT-TERM BOND C	0.00	15.97	0.00	15.97	94.0	15.01
* BUM-JEFFREY/DAN FT-NY KIESNOSKI/RAU SUBTOTAL *			\$0.00	\$50.22	\$0.00	\$50.22		\$47.19
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
12/31/2017	PPJ LLC	JOHN HANCOCK LIFE INS CO	119,693.73	7.46	0.00	7.46	94.0	7.01
01/31/2018	PPJ LLC	JOHN HANCOCK LIFE INS CO	131,519.91	8.20	0.00	8.20	94.0	7.71
01/17/2018	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.04	0.00	0.04	94.0	0.04

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
01/19/2018	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.09	0.00	0.09	94.0	0.08
01/19/2018	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.16	0.00	0.16	94.0	0.15
02/05/2018	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	-4,813.13	3.30	3.30	0.00	94.0	0.00
01/22/2018	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.27	0.00	0.27	94.0	0.25
01/22/2018	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.17	0.00	0.17	94.0	0.16
01/22/2018	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.10	0.00	0.10	94.0	0.09
01/22/2018	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.22	0.00	0.22	94.0	0.21
01/22/2018	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.33	0.00	1.33	94.0	1.25
01/22/2018	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	1.18	0.00	1.18	94.0	1.11
01/22/2018	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.17	0.00	0.17	94.0	0.16
01/22/2018	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.12	0.00	0.12	94.0	0.11
01/22/2018	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.09	0.00	0.09	94.0	0.08
01/16/2018	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.16	0.00	0.16	94.0	0.15
01/16/2018	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.71	0.00	0.71	94.0	0.67
01/19/2018	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.32	0.00	0.32	94.0	0.30
02/06/2018	PFLEGER, CLIFFORD	VANGUARD ADMIRAL FDS INC S&P 500 GR	1,264.28	0.00	3.38	-3.38	94.0	-3.18
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$247,664.79	\$24.09	\$6.68	\$17.41		\$16.35
<u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u>								
02/01/2018	DOLCE, CHARLES	ALPHABET INC CAP STK CL C	10,483.38	18.75	5.63	13.12	94.0	12.33
02/01/2018	DOLCE, CHARLES	ALPHABET INC CAP STK CL C	8,154.68	18.75	5.63	13.12	94.0	12.33
02/01/2018	DOLCE, CHARLES	APPLE INC COM	-3,523.22	18.75	5.63	13.12	94.0	12.33
01/24/2018	LOYE, DEDRA	CARTER VALIDUS MC REIT II CLASS T	0.00	4.55	0.00	4.55	94.0	4.28
01/10/2018	DOLCE, CHARLES	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	14.98	0.00	14.98	94.0	14.08
01/10/2018	DOLCE, JENNIFER	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	30.18	0.00	30.18	94.0	28.37
01/10/2018	MAKRIDES, MARIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.14	0.00	0.14	94.0	0.13
01/22/2018	PAVIS, NANCY	CORP PROPERTY ASSOC 18 GLOBAL INC C	0.00	27.88	0.00	27.88	94.0	26.21
01/19/2018	JOHNSON, JAMES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.51	0.00	0.51	94.0	0.48
01/24/2018	CAPUTO, PHILIP	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.13	0.00	3.13	94.0	2.94
01/24/2018	DIDOMENICO, JOHN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	1.28	0.00	1.28	94.0	1.20
01/24/2018	LOYE, DEDRA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.09	0.00	2.09	94.0	1.96
01/24/2018	MAZZATTI, LEONARD	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.57	0.00	0.57	94.0	0.54
01/24/2018	PAVIS, NANCY	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.59	0.00	4.59	94.0	4.31
01/24/2018	PAVIS FAMILY TRUST	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.58	0.00	2.58	94.0	2.43

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE #256</u>								
01/24/2018	JOHNSON, LYNN	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.76	0.00	0.76	94.0	0.71
01/24/2018	SKOLNICK, SHEILA	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.10	0.00	4.10	94.0	3.85
01/24/2018	JOHNSON TRUST, GLORIA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.29	0.00	2.29	94.0	2.15
01/24/2018	STARK, LINDA	MAINSTAY MAP A	0.00	0.48	0.00	0.48	94.0	0.45
01/22/2018	JOHNSON, JAMES	OPPENHEIMER ROCHESTER MUNICIPALS A	0.00	2.50	0.00	2.50	94.0	2.35
* G0B-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$15,114.84	\$158.86	\$16.89	\$141.97		\$133.43
<u>BROKER: G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R #257</u>								
01/18/2018	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.15	0.00	0.15	94.0	0.14
01/02/2018	GALGANO, ROBERT	ARIEL APPRECIATION FUND	0.00	0.01	0.00	0.01	94.0	0.01
01/10/2018	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	4.73	0.00	4.73	94.0	4.45
01/10/2018	FORRAI FAMILY TRUST, LESLIE	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.76	0.00	0.76	94.0	0.71
01/10/2018	GALGANO, ROBERT	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	2.52	0.00	2.52	94.0	2.37
01/10/2018	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.50	0.00	3.50	94.0	3.29
01/12/2018	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	1.60	0.00	1.60	94.0	1.50
01/24/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.03	0.00	2.03	94.0	1.91
01/24/2018	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	4.52	0.00	4.52	94.0	4.25
01/24/2018	CAVEGN, JOSEPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.72	0.00	0.72	94.0	0.68
01/24/2018	FORRAI, MICHAEL	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.25	0.00	0.25	94.0	0.24
01/24/2018	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.80	0.00	2.80	94.0	2.63
01/24/2018	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.58	0.00	1.58	94.0	1.49
01/16/2018	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.95	0.00	4.95	94.0	4.65
01/12/2018	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	1.50	0.00	1.50	94.0	1.41
01/12/2018	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	1.51	0.00	1.51	94.0	1.42
* G0C-JEFF/DAN/JON PAU FT-NY KIESNO/R SUBTOTAL *			\$0.00	\$33.13	\$0.00	\$33.13		\$31.15
<u>BROKER: G0D-JON P/DAN/JEFF FT-FL CIREL/RAUP #258</u>								
01/30/2018	FRICKER TRUST, HAROLD MAX	RRTY KING RIVER ROYALTIES	100,000.00	1,500.00	0.00	1,500.00	94.0	1,410.00
* G0D-JON P/DAN/JEFF FT-FL CIREL/RAUP SUBTOTAL *			\$100,000.00	\$1,500.00	\$0.00	\$1,500.00		\$1,410.00
<u>BROKER: G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE #259</u>								
01/10/2018	SEITZ, CRAIG	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	3.75	0.00	3.75	94.0	3.53
01/24/2018	SEITZ, CRAIG	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	0.71	0.00	0.71	94.0	0.67
* G0E-DAN/JON P/JEFF FT-NY RAUPP/CIRE SUBTOTAL *			\$0.00	\$4.46	\$0.00	\$4.46		\$4.20

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272</u>								
01/22/2018	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.54	0.00	0.54	94.0	0.51
* R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *			\$0.00	\$0.54	\$0.00	\$0.54		\$0.51
<u>BROKER: P124-JONP/DAN/J FT-FL JUNO CIRE #273</u>								
01/18/2018	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.05	0.00	0.05	94.0	0.05
02/09/2018	WASSERMAN TRUST, NINA	AMAZON COM INC	25,526.09	0.00	6.08	-6.08	94.0	-5.72
01/23/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.64	0.00	1.64	94.0	1.54
01/23/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	4.04	0.00	4.04	94.0	3.80
01/23/2018	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.66	0.00	2.66	94.0	2.50
01/23/2018	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.93	0.00	1.93	94.0	1.81
01/23/2018	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.15	0.00	0.15	94.0	0.14
01/23/2018	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.15	0.00	0.15	94.0	0.14
01/22/2018	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.29	0.00	0.29	94.0	0.27
01/22/2018	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.72	0.00	0.72	94.0	0.68
01/22/2018	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.64	0.00	2.64	94.0	2.48
01/22/2018	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	7.92	0.00	7.92	94.0	7.44
02/07/2018	MORONEY, PHYLLIS	GPB AUTOMOTIVE PROTFOLIO LP 0GPB201	300,000.00	5,670.00	0.00	5,670.00	94.0	5,329.80
02/07/2018	MORONEY, PHYLLIS	GPB WASTE MANAGEMENT LP	100,000.00	1,890.00	0.00	1,890.00	94.0	1,776.60
01/19/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.72	0.00	0.72	94.0	0.68
01/19/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.17	0.00	2.17	94.0	2.04
01/19/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.91	0.00	0.91	94.0	0.86
01/19/2018	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.72	0.00	2.72	94.0	2.56
01/19/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.60	0.00	0.60	94.0	0.56
01/19/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.81	0.00	1.81	94.0	1.70
01/19/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.72	0.00	0.72	94.0	0.68
01/19/2018	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.17	0.00	2.17	94.0	2.04
01/19/2018	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.56	0.00	2.56	94.0	2.41
01/19/2018	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.68	0.00	7.68	94.0	7.22
01/31/2018	ROULHAC, JAMES	GRIFFIN INST'L ACCESS R E FUND C	99,975.01	269.93	0.00	269.93	94.0	253.73
01/19/2018	ROMAN, ROSA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.46	0.00	0.46	94.0	0.43
01/19/2018	ABRAMS, RAFAEL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.72	0.00	0.72	94.0	0.68
01/19/2018	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.24	0.00	1.24	94.0	1.17
01/19/2018	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.99	0.00	0.99	94.0	0.93
01/19/2018	FLAHERTY, CAITLIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.83	0.00	0.83	94.0	0.78

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: P124-JONP/DAN/J FT-FL JUNO CIRE #273</u>								
01/19/2018	KELLY, GORDON	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.18	0.00	1.18	94.0	1.11
01/19/2018	SCHENONE, LORI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.55	0.00	3.55	94.0	3.34
01/25/2018	GRISALES, CARMEN	INVESCO EQUALLY WEIGHTED S&P 500 A	0.00	0.58	0.00	0.58	94.0	0.55
01/25/2018	GRISALES, CARMEN	INVESCO EQUITY AND INCOME A	0.00	0.53	0.00	0.53	94.0	0.50
01/25/2018	CAMPBELL, CHARLES	INVESCO HIGH YIELD FUND A	0.00	0.00	0.00	0.00	94.0	0.00
01/22/2018	WILKINSKY, KARA-JANE	LORD ABBETT SHORT DURATION INCOME A	0.00	0.09	0.00	0.09	94.0	0.08
01/22/2018	WILKINSKY, MATTHEW	LORD ABBETT SHORT DURATION INCOME A	0.00	0.09	0.00	0.09	94.0	0.08
* P124-JONP/DAN/J FT-FL JUNO CIRE SUBTOTAL *			\$525,501.10	\$7,884.44	\$6.08	\$7,878.36		\$7,405.66
<u>BROKER: R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K #381</u>								
01/24/2018	RASMUSSEN, MELANIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.39	0.00	0.39	94.0	0.37
01/16/2018	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.10	0.00	1.10	94.0	1.03
* R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K SUBTOTAL *			\$0.00	\$1.49	\$0.00	\$1.49		\$1.40
<u>BROKER: C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR #400</u>								
01/19/2018	CARROLLTON, DONNA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.47	0.00	0.47	94.0	0.44
01/19/2018	CARROLLTON, STEVE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.33	0.00	0.33	94.0	0.31
* C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR SUBTOTAL *			\$0.00	\$0.80	\$0.00	\$0.80		\$0.75
*** SPLIT TRANSACTIONS TOTAL ***			\$888,280.73	\$9,684.52	\$29.65	\$9,654.87		\$9,075.54

OVERRIDE TRANSACTIONS

<u>BROKER: MARC, L134 LINSKY #10</u>								
02/01/2018	CHERRY, ADAM	AM FUNDS AMERICAN BALANCED 529C	1,000.00	10.00	0.00	10.00	90.0	0.17
02/01/2018	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	400.00	15.00	0.00	15.00	90.0	0.26
02/01/2018	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	15.00	0.00	15.00	90.0	0.26
01/29/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	400.00	10.00	0.00	10.00	90.0	0.17
01/29/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	300.00	7.50	0.00	7.50	90.0	0.13
01/29/2018	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	0.06
* MARC, L134 LINSKY SUBTOTAL *			\$2,650.00	\$61.25	\$0.00	\$61.25		\$1.05
<u>BROKER: ROY, P123 PFLEGER II #244</u>								
01/19/2018	ABRAHAM, BLESSY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.42	0.00	1.42	75.0	0.18
* ROY, P123 PFLEGER II SUBTOTAL *			\$0.00	\$1.42	\$0.00	\$1.42		\$0.18

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JON PAUL, C141 CIRELLI #246</u>								
12/31/2017	ONE PARKING	JOHN HANCOCK LIFE INS CO	395,522.02	164.42	0.00	164.42	95.0	0.99
01/31/2018	ONE PARKING	JOHN HANCOCK LIFE INS CO	442,570.87	183.98	0.00	183.98	95.0	1.10
* JON PAUL, C141 CIRELLI SUBTOTAL *			\$838,092.89	\$348.40	\$0.00	\$348.40		\$2.09
<u>BROKER: ALAN, L133 LICHTENSTEIN #249</u>								
01/24/2018	RUSSO REV TR, EUGENIE	BLK HIGH YIELD BOND A	0.00	3.30	0.00	3.30	75.0	0.41
01/31/2018	LICHTENSTEIN, ALAN	ISHARES TR S&P MIDCAP 400 GROWTH ET	2,716.02	0.00	22.50	-22.50	75.0	-2.81
01/31/2018	LICHTENSTEIN, ALAN	POWERSHARES QQQ TR UNIT SER 1	3,051.16	0.00	22.50	-22.50	75.0	-2.81
01/31/2018	LICHTENSTEIN, ALAN	POWERSHARES QQQ TR UNIT SER 1	2,547.40	0.00	22.50	-22.50	75.0	-2.81
01/17/2018	RUSSO REV TR, EUGENIE	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	2.21	0.00	2.21	75.0	0.28
01/31/2018	KONCIUS, PATRICE	VANGUARD INDEX FDS VANGUARD VALUE E	14,829.50	0.00	22.50	-22.50	75.0	-2.81
01/31/2018	LICHTENSTEIN, ALAN	VANGUARD WHITEHALL FDS HIGH DIVIDEN	2,231.23	0.00	22.50	-22.50	75.0	-2.81
* ALAN, L133 LICHTENSTEIN SUBTOTAL *			\$25,375.31	\$5.51	\$112.50	\$-106.99		\$-13.36
<u>BROKER: JOHN KLETT #250</u>								
01/19/2018	KLETT TRUST, MARTIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.23	0.00	6.23	75.0	0.78
* JOHN KLETT SUBTOTAL *			\$0.00	\$6.23	\$0.00	\$6.23		\$0.78
<u>BROKER: MARC, L134 LINSKY #263</u>								
01/18/2018	CENTANNI, RICHARD	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.93	0.00	0.93	90.0	0.02
01/18/2018	TRICHON, DONNA-LYNN	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	13.34	0.00	13.34	90.0	0.23
01/23/2018	CHIECO, DANIEL	AM CENT EQUITY INCOME	0.00	4.42	0.00	4.42	90.0	0.08
01/18/2018	MEDIA ENTERPRISES	AM FUNDS AMCAP A	0.00	4.04	0.00	4.04	90.0	0.07
01/18/2018	GREENWELL, MARION	AM FUNDS AMCAP C	0.00	6.73	0.00	6.73	90.0	0.11
01/18/2018	GREENWELL, MARION	AM FUNDS AMCAP F1	0.00	0.44	0.00	0.44	90.0	0.01
01/18/2018	MELVIN, MARY THOMPSON	AM FUNDS AMERICAN BALANCED A	0.00	1.53	0.00	1.53	90.0	0.03
01/18/2018	TAYLOR, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	0.50	0.00	0.50	90.0	0.01
01/18/2018	TAYLOR, DIANE	AM FUNDS AMERICAN BALANCED A	0.00	0.50	0.00	0.50	90.0	0.01
01/18/2018	APPLEGATE, PAMAELA	AM FUNDS AMERICAN BALANCED A	0.00	1.03	0.00	1.03	90.0	0.02
01/18/2018	RIZZO, DIANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.01	0.00	1.01	90.0	0.02
01/18/2018	APPLEGATE, PAMAELA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.83	0.00	0.83	90.0	0.01
01/18/2018	LINSKY, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.99	0.00	3.99	90.0	0.07
01/18/2018	MEDIA ENTERPRISES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.17	0.00	3.17	90.0	0.05
01/18/2018	RIZZO, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.33	0.00	1.33	90.0	0.02
01/18/2018	MELVIN, GREG	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.75	0.00	0.75	90.0	0.01

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
01/18/2018	POLLAN, JACLYN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.90	0.00	2.90	90.0	0.05
01/18/2018	FLEISCH, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	13.60	0.00	13.60	90.0	0.23
01/18/2018	MELVIN, MARY THOMPSON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.63	0.00	1.63	90.0	0.03
02/01/2018	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	9.56	0.00	9.56	90.0	0.16
01/18/2018	CHERRY, AYDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.94	0.00	0.94	90.0	0.02
01/18/2018	LINSKY, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.80	0.00	4.80	90.0	0.08
01/18/2018	RIZZO, DIANE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.59	0.00	1.59	90.0	0.03
01/18/2018	OLIVETI, FRANK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.58	0.00	6.58	90.0	0.11
01/18/2018	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.94	0.00	4.94	90.0	0.08
01/18/2018	PERETTI, TERESA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.88	0.00	1.88	90.0	0.03
01/18/2018	CENTANNI, BETTY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.40	0.00	0.40	90.0	0.01
01/18/2018	CENTANNI, RICHARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.00	0.00	1.00	90.0	0.02
01/18/2018	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.48	0.00	1.48	90.0	0.03
01/18/2018	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.68	0.00	0.68	90.0	0.01
01/18/2018	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.11	0.00	1.11	90.0	0.02
01/18/2018	NATHAN, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.68	0.00	0.68	90.0	0.01
01/29/2018	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	1,031.94	51.60	22.00	29.60	90.0	0.50
01/18/2018	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	2.84	0.00	2.84	90.0	0.05
01/18/2018	POLLAN, JACLYN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.78	0.00	2.78	90.0	0.05
01/18/2018	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.71	0.00	5.71	90.0	0.10
01/18/2018	SPECTER, SHELBY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.79	0.00	5.79	90.0	0.10
01/18/2018	RIZZO, DIANE	AM FUNDS ICA A	0.00	1.58	0.00	1.58	90.0	0.03
01/18/2018	FLEISCH, ROBERT	AM FUNDS ICA A	0.00	9.44	0.00	9.44	90.0	0.16
01/18/2018	BOND-HILTNER, LINDA	AM FUNDS ICA A	0.00	0.36	0.00	0.36	90.0	0.01
01/18/2018	LINSKY, MARC	AM FUNDS ICA A	0.00	0.44	0.00	0.44	90.0	0.01
01/18/2018	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.60	0.00	0.60	90.0	0.01
01/18/2018	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.98	0.00	0.98	90.0	0.02
01/18/2018	NATHAN, SUSAN	AM FUNDS ICA A	0.00	0.59	0.00	0.59	90.0	0.01
01/18/2018	TAYLOR, THOMAS	AM FUNDS ICA A	0.00	0.61	0.00	0.61	90.0	0.01
01/18/2018	TAYLOR, DIANE	AM FUNDS ICA A	0.00	0.61	0.00	0.61	90.0	0.01
01/18/2018	APPLEGATE, PAMAELA	AM FUNDS ICA A	0.00	1.06	0.00	1.06	90.0	0.02
01/18/2018	SPECTER, SHELBY	AM FUNDS ICA C	0.00	5.67	0.00	5.67	90.0	0.10
01/18/2018	SPECTER TRUST, STEPHANIE	AM FUNDS ICA F1	0.00	13.26	0.00	13.26	90.0	0.23

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
01/18/2018	SPECTER, LINDSAY	AM FUNDS ICA F1	0.00	5.14	0.00	5.14	90.0	0.09
01/18/2018	SPECTER, SHELBY	AM FUNDS ICA F1	0.00	4.93	0.00	4.93	90.0	0.08
01/18/2018	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	5.12	0.00	5.12	90.0	0.09
01/18/2018	GARAFOLA, GRACE	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.49	0.00	4.49	90.0	0.08
01/18/2018	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	21.37	0.00	21.37	90.0	0.36
01/18/2018	MEDIA ENTERPRISES	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.21	0.00	3.21	90.0	0.05
01/18/2018	TAYLOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	0.00	0.79	0.00	0.79	90.0	0.01
01/18/2018	TAYLOR, DIANE	AM FUNDS NEW PERSPECTIVE A	0.00	0.79	0.00	0.79	90.0	0.01
01/18/2018	APPLEGATE, PAMAEALA	AM FUNDS NEW PERSPECTIVE A	0.00	1.13	0.00	1.13	90.0	0.02
01/18/2018	MELVIN, MARY THOMPSON	AM FUNDS SMALLCAP WORLD A	0.00	1.62	0.00	1.62	90.0	0.03
01/18/2018	OLIVETI, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.08	0.00	6.08	90.0	0.10
01/18/2018	BOND-HILTNER, LINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.36	0.00	0.36	90.0	0.01
01/18/2018	PERETTI, TERESA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.53	0.00	1.53	90.0	0.03
01/18/2018	LINSKY, MARC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.44	0.00	0.44	90.0	0.01
01/18/2018	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.60	0.00	0.60	90.0	0.01
01/18/2018	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.98	0.00	0.98	90.0	0.02
01/18/2018	NATHAN, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.60	0.00	0.60	90.0	0.01
01/23/2018	O'DONNELL, PATRICIA	AMERICAN CENTURY STRATEGIC ALLOC MO	0.00	2.80	0.00	2.80	90.0	0.05
02/07/2018	STERR, CHRISTOPHER	AMERIGAS PARTNERS LTD PARTSHP	-6,545.45	30.00	22.50	7.50	90.0	0.13
01/24/2018	PLEVAK, MICHAEL	BLACKROCK EQUITY DIVIDEND FUND CL C	0.00	19.07	0.00	19.07	90.0	0.32
01/23/2018	PERETTI, TERESA	CALAMOS GROWTH A	0.00	1.54	0.00	1.54	90.0	0.03
01/23/2018	DUBIN, JON	CALAMOS GROWTH A	0.00	4.84	0.00	4.84	90.0	0.08
01/10/2018	MEDIA ENTERPRISES	COLUMBIA ACORN A	0.00	1.13	0.00	1.13	90.0	0.02
01/10/2018	FLEISCH, ROBERT	COLUMBIA ACORN A	0.00	15.28	0.00	15.28	90.0	0.26
01/10/2018	FLEISCH, ROBERT	COLUMBIA ACORN SELECT A	0.00	8.07	0.00	8.07	90.0	0.14
01/10/2018	MEDIA ENTERPRISES	COLUMBIA ACORN USA FUND CLASS A	0.00	2.88	0.00	2.88	90.0	0.05
01/10/2018	BOWERS, DORA	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	1.18	0.00	1.18	90.0	0.02
01/10/2018	MEDIA ENTERPRISES	COLUMBIA EQUITY VALUE A	0.00	0.31	0.00	0.31	90.0	0.01
01/10/2018	MEDIA ENTERPRISES	COLUMBIA LARGECAP GROWTH A	0.00	0.35	0.00	0.35	90.0	0.01
01/10/2018	MELVIN, GREG	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.98	0.00	0.98	90.0	0.02
01/10/2018	MEDIA ENTERPRISES	COLUMBIA MIDCAP VALUE A	0.00	2.54	0.00	2.54	90.0	0.04
01/10/2018	BOWERS, DORA	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	1.09	0.00	1.09	90.0	0.02
01/10/2018	FLEISCH, ROBERT	COLUMBIA SMALL CAP INDEX FUND CLASS	0.00	12.12	0.00	12.12	90.0	0.21

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<u> BROKER: MARC, L134 LINSKY #263 </u>								
01/10/2018	FLEISCH, ROBERT	COLUMBIA STRATEGIC INCOME A	0.00	2.45	0.00	2.45	90.0	0.04
01/25/2018	FARBMAN TRUST, DANIEL & SANDRACOTTONWOOD RESIDENTIAL 2016 PREFR'D		100,000.00	7,000.00	0.00	7,000.00	90.0	119.00
01/16/2018	CENTANNI, BETTY	DAVIS NEW YORK VENTURE A	0.00	0.44	0.00	0.44	90.0	0.01
01/16/2018	CENTANNI, RICHARD	DAVIS NEW YORK VENTURE A	0.00	0.58	0.00	0.58	90.0	0.01
01/12/2018	BOWERS, DORA	DEUTSCHE GLOBAL RE	0.00	0.91	0.00	0.91	90.0	0.02
01/12/2018	GUERRIERI, CHARLES	DREYFUS CORE EQUITY FUND CLASS A	0.00	3.53	0.00	3.53	90.0	0.06
01/12/2018	COHEN, HARRIET	DREYFUS NEW JERSEY MUNICIPAL BOND F	0.00	64.11	0.00	64.11	90.0	1.09
01/16/2018	BOWERS, DORA	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	0.79	0.00	0.79	90.0	0.01
02/07/2018	STERR, CHRISTOPHER	ENERGY TRANSFER PARTNERS L P NEW UN	6,465.50	30.00	22.51	7.49	90.0	0.13
01/17/2018	HANKINS, ROBERT	FEDERATED HIGH INCOME BOND FUND INC	0.00	1.13	0.00	1.13	90.0	0.02
01/17/2018	CENTANNI, BETTY	FEDERATED KAUFMANN FUND A	0.00	0.36	0.00	0.36	90.0	0.01
01/17/2018	FLEISCH, ROBERT	FIDELITY ADV FLOATING RATE HIGH INC	0.00	7.90	0.00	7.90	90.0	0.13
01/17/2018	FLEISCH, ROBERT	FIDELITY ADV STRATEGIC INCOME A	0.00	9.01	0.00	9.01	90.0	0.15
01/17/2018	CENTANNI, BETTY	FIDELITY ADV STRATEGIC INCOME C	0.00	1.55	0.00	1.55	90.0	0.03
01/17/2018	CENTANNI, RICHARD	FIDELITY ADV STRATEGIC INCOME C	0.00	6.16	0.00	6.16	90.0	0.10
01/17/2018	BEYERLE, PATRICIA	FIDELITY MIDCAP II T	0.00	2.20	0.00	2.20	90.0	0.04
01/22/2018	FLEISCH, ROBERT	FRANKLIN DYNATECH A	0.00	13.36	0.00	13.36	90.0	0.23
01/22/2018	FLEISCH, ROBERT	FRANKLIN GOLD & PRECIOUS METALS A	0.00	3.03	0.00	3.03	90.0	0.05
01/22/2018	HASTINGS TURST, OSCAR	FRANKLIN INCOME A	0.00	1.17	0.00	1.17	90.0	0.02
01/22/2018	FLEISCHMAN, RITA	FRANKLIN INCOME A	0.00	5.47	0.00	5.47	90.0	0.09
01/22/2018	COBB, DOROTHY	FRANKLIN INCOME A	0.00	0.81	0.00	0.81	90.0	0.01
01/22/2018	CENTANNI, RICHARD	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	0.59	0.00	0.59	90.0	0.01
01/22/2018	GREENWELL, MARION	FRNKLN FLOATING RATE DLY ACCESS FND	0.00	2.85	0.00	2.85	90.0	0.05
01/23/2018	BOWERS, STEVEN	GOLDMAN SACHS CAPITAL GROWTH FUND C	0.00	17.02	0.00	17.02	90.0	0.29
01/23/2018	PARSONS, MICHELLE	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.67	0.00	0.67	90.0	0.01
12/31/2017	ROSENBLUM, LUCILLE	GRIFFIN INST'L ACCESS R E FUND C	54,735.23	46.49	0.00	46.49	90.0	0.79
01/19/2018	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.91	0.00	4.91	90.0	0.08
01/19/2018	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.73	0.00	14.73	90.0	0.25
01/19/2018	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.35	0.00	2.35	90.0	0.04
01/19/2018	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.06	0.00	7.06	90.0	0.12
01/19/2018	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.00	0.00	15.00	90.0	0.26
01/19/2018	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	45.01	0.00	45.01	90.0	0.77
01/19/2018	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	45.47	0.00	45.47	90.0	0.77

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
01/19/2018	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	136.40	0.00	136.40	90.0	2.32
01/19/2018	NAYAK EX TRUST, VASUDER	GRIFFIN INST'L ACCESS R E FUND C	0.00	7.24	0.00	7.24	90.0	0.12
01/19/2018	NAYAK EX TRUST, VASUDER	GRIFFIN INST'L ACCESS R E FUND C	0.00	21.72	0.00	21.72	90.0	0.37
01/19/2018	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.42	0.00	4.42	90.0	0.08
01/19/2018	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.25	0.00	6.25	90.0	0.11
01/19/2018	PERETTI, FRANCES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.26	0.00	3.26	90.0	0.06
01/19/2018	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.25	0.00	5.25	90.0	0.09
01/16/2018	SPECTER TRUST, STEPHANIE	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	12.33	0.00	12.33	90.0	0.21
01/16/2018	LINSKY, MARC	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	4.19	0.00	4.19	90.0	0.07
01/17/2018	LINSKY, LISA	HARTFORD CAPITAL APPRECIATION A	0.00	3.94	0.00	3.94	90.0	0.07
01/17/2018	SPECTER TRUST, STEPHANIE	HARTFORD CAPITAL APPRECIATION C	0.00	89.81	0.00	89.81	90.0	1.53
01/17/2018	MEDIA ENTERPRISES	HARTFORD CAPITAL APPRECIATION C	0.00	43.20	0.00	43.20	90.0	0.73
01/17/2018	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	0.00	38.49	0.00	38.49	90.0	0.65
01/17/2018	KLEIN, HOWARD	HARTFORD CAPITAL APPRECIATION C	0.00	472.02	0.00	472.02	90.0	8.02
01/17/2018	CAMARDO, DONNA	HARTFORD CAPITAL APPRECIATION C	0.00	11.87	0.00	11.87	90.0	0.20
01/17/2018	GODLBERG, SHARON	HARTFORD CAPITAL APPRECIATION C	0.00	7.74	0.00	7.74	90.0	0.13
01/17/2018	BALZANO, AUGUSTUS	HARTFORD CAPITAL APPRECIATION C	0.00	8.25	0.00	8.25	90.0	0.14
01/17/2018	SPECTER, LINDSAY	HARTFORD CAPITAL APPRECIATION C	0.00	34.93	0.00	34.93	90.0	0.59
01/17/2018	SPECTER, SHELBY	HARTFORD CAPITAL APPRECIATION C	0.00	32.60	0.00	32.60	90.0	0.55
01/17/2018	HARRIS, MORGAN	HARTFORD CAPITAL APPRECIATION C	0.00	11.88	0.00	11.88	90.0	0.20
01/17/2018	BUSLER, SCOTT	HARTFORD CAPITAL APPRECIATION C	0.00	24.98	0.00	24.98	90.0	0.42
01/17/2018	BUSLER, MARIANNE	HARTFORD CAPITAL APPRECIATION C	0.00	11.18	0.00	11.18	90.0	0.19
01/17/2018	OLIVETI, ANTHONY	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.90	0.00	2.90	90.0	0.05
01/17/2018	OLIVETI, NICOLE	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.90	0.00	2.90	90.0	0.05
01/17/2018	PISANI, NICHOLAS	HARTFORD CHECKS AND BALANCES FUND A	0.00	4.35	0.00	4.35	90.0	0.07
01/17/2018	SPECTER TRUST, STEPHANIE	HARTFORD DIVIDEND & GROWTH C	0.00	78.93	0.00	78.93	90.0	1.34
01/17/2018	MEDIA ENTERPRISES	HARTFORD DIVIDEND & GROWTH C	0.00	49.22	0.00	49.22	90.0	0.84
01/17/2018	CAMARDO, DONNA	HARTFORD DIVIDEND & GROWTH C	0.00	22.65	0.00	22.65	90.0	0.39
01/17/2018	BALZANO, AUGUSTUS	HARTFORD DIVIDEND & GROWTH C	0.00	15.56	0.00	15.56	90.0	0.26
01/17/2018	HARRIS, MORGAN	HARTFORD DIVIDEND & GROWTH C	0.00	22.65	0.00	22.65	90.0	0.39
01/17/2018	SANDERLIN, CATHERINE	HARTFORD DIVIDEND & GROWTH C	0.00	1.37	0.00	1.37	90.0	0.02
01/17/2018	BUSLER, SCOTT	HARTFORD DIVIDEND & GROWTH C	0.00	46.69	0.00	46.69	90.0	0.79
01/17/2018	BUSLER, MARIANNE	HARTFORD DIVIDEND & GROWTH C	0.00	20.90	0.00	20.90	90.0	0.36

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
01/17/2018	CAMPAGNA, MARIE	HARTFORD FLOATING RATE C	0.00	11.07	0.00	11.07	90.0	0.19
01/17/2018	GODLBERG, SHARON	HARTFORD TOTAL RETURN BOND FUND C	0.00	4.33	0.00	4.33	90.0	0.07
01/21/2018	MCFADDEN, JOAN	ING LANDMARK	90,405.24	226.01	0.00	226.01	90.0	3.84
02/05/2018	MCFADDEN, ANDREW	ING LANDMARK	369,153.77	922.88	0.00	922.88	90.0	15.69
01/25/2018	SIEMANOWSKI, JOHN	INVESCO AMERICAN FRANCHISE A	0.00	130.77	0.00	130.77	90.0	2.22
01/25/2018	CHERRY, THEO	INVESCO AMERICAN FRANCHISE A	0.00	4.19	0.00	4.19	90.0	0.07
01/25/2018	SIEMANOWSKI, JOHN	INVESCO AMERICAN FRANCHISE A	0.00	275.36	0.00	275.36	90.0	4.68
01/25/2018	FARBMAN, ERIC	INVESCO AMERICAN FRANCHISE A	0.00	9.96	0.00	9.96	90.0	0.17
01/25/2018	WALENCZYK, CHIRSTOPHER	INVESCO AMERICAN FRANCHISE A	0.00	0.52	0.00	0.52	90.0	0.01
01/25/2018	SIEMANOWSKI, JOHN	INVESCO CHARTER FUND A	0.00	59.39	0.00	59.39	90.0	1.01
01/25/2018	GUERRIERI, ANTHONY	INVESCO COMSTOCK A	0.00	1.60	0.00	1.60	90.0	0.03
01/25/2018	FARBMAN, ERIC	INVESCO HIGH YIELD FUND A	0.00	13.36	0.00	13.36	90.0	0.23
01/25/2018	SIEMANOWSKI, JOHN	INVESCO INTERNATIONAL GROWTH A	0.00	13.25	0.00	13.25	90.0	0.23
01/25/2018	SIEMANOWSKI, JOHN	INVESCO INTERNATIONAL GROWTH A	0.00	41.59	0.00	41.59	90.0	0.71
01/25/2018	WALENCZYK, BRENDA	INVESCO MIDCAP CORE EQUITY A	0.00	0.54	0.00	0.54	90.0	0.01
01/25/2018	WALENCZYK, CHIRSTOPHER	INVESCO MIDCAP CORE EQUITY A	0.00	1.08	0.00	1.08	90.0	0.02
01/25/2018	GOLDBERGER, ANNA	INVESCO SENIOR LOAN FUND IB	0.00	9.40	0.00	9.40	90.0	0.16
01/25/2018	BOWERS, DORA	INVESCO SMALL CAP DISCOVERY A	0.00	0.44	0.00	0.44	90.0	0.01
01/25/2018	FARBMAN, ERIC	INVESCO STRUCTURED CORE A	0.00	16.67	0.00	16.67	90.0	0.28
01/25/2018	WALENCZYK, BRENDA	INVESCO VALUE OPP A	0.00	0.44	0.00	0.44	90.0	0.01
01/25/2018	WALENCZYK, CHIRSTOPHER	INVESCO VALUE OPP A	0.00	0.86	0.00	0.86	90.0	0.01
01/23/2018	BOWERS, DORA	JANUS RESEARCH FUND CLASS A	0.00	1.13	0.00	1.13	90.0	0.02
02/05/2018	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	0.36
01/31/2018	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	293,456.77	244.55	0.00	244.55	90.0	4.16
01/31/2018	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	301,377.78	251.15	0.00	251.15	90.0	4.27
01/31/2018	NANI, JOSEPH	JNL PERSPECTIVE L	420,704.85	350.59	0.00	350.59	90.0	5.96
01/31/2018	FELDNER, BARBARA	JNL PERSPECTIVE L	496,354.59	413.63	0.00	413.63	90.0	7.03
01/31/2018	STERR, CHRISTOPHER	JNL PERSPECTIVE L	120,901.37	100.75	0.00	100.75	90.0	1.71
01/31/2018	OTT, CAROL	JOHN HANCOCK VENTURE	14,001.84	2.92	0.00	2.92	90.0	0.05
01/31/2018	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	205,933.10	171.61	0.00	171.61	90.0	2.92
01/22/2018	CENTANNI, RICHARD	JP MORGAN MID CAP VALUE FUND CLASS	0.00	0.66	0.00	0.66	90.0	0.01
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	3.10	0.00	3.10	90.0	0.05
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.17	0.00	2.17	90.0	0.04

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BROKER: MARC, L134 LINSKY #263								
01/22/2018	DEBRIELLE-HEALEY, ELIZABETH	LORD ABBETT BOND DEBENTURE A	0.00	2.88	0.00	2.88	90.0	0.05
01/22/2018	LAISO, MICHAEL	LORD ABBETT BOND DEBENTURE A	0.00	8.17	0.00	8.17	90.0	0.14
01/22/2018	STRAUS, KAREN	LORD ABBETT BOND DEBENTURE A	0.00	2.62	0.00	2.62	90.0	0.04
01/22/2018	CHERRY, ADAM	LORD ABBETT BOND DEBENTURE C	0.00	120.35	0.00	120.35	90.0	2.05
01/22/2018	HUDSON TRUST OF 2000, ROBERT	LORD ABBETT BOND DEBENTURE C	0.00	123.30	0.00	123.30	90.0	2.10
01/22/2018	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	29.63	0.00	29.63	90.0	0.50
01/22/2018	TOBIAS, DAVID	LORD ABBETT BOND DEBENTURE C	0.00	20.49	0.00	20.49	90.0	0.35
01/22/2018	LINSKY, LISA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.95	0.00	0.95	90.0	0.02
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	6.64	0.00	6.64	90.0	0.11
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.78	0.00	0.78	90.0	0.01
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	8.41	0.00	8.41	90.0	0.14
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.88	0.00	1.88	90.0	0.03
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.19	0.00	1.19	90.0	0.02
01/22/2018	APPLEBAUM, RHEA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	3.52	0.00	3.52	90.0	0.06
01/22/2018	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	11.00	0.00	11.00	90.0	0.19
01/22/2018	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	5.98	0.00	5.98	90.0	0.10
01/22/2018	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	6.24	0.00	6.24	90.0	0.11
01/22/2018	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	14.65	0.00	14.65	90.0	0.25
01/22/2018	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	2.95	0.00	2.95	90.0	0.05
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	3.35	0.00	3.35	90.0	0.06
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.46	0.00	0.46	90.0	0.01
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	4.06	0.00	4.06	90.0	0.07
01/22/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.73	0.00	0.73	90.0	0.01
01/22/2018	APPLEBAUM, RHEA	LORD ABBETT SHORT DURATION INCOME A	0.00	2.12	0.00	2.12	90.0	0.04
01/22/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.52	0.00	1.52	90.0	0.03
01/22/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	20.50	0.00	20.50	90.0	0.35
01/22/2018	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.72	0.00	1.72	90.0	0.03
01/22/2018	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.77	0.00	2.77	90.0	0.05
01/22/2018	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.12	0.00	2.12	90.0	0.04
01/22/2018	CAMPAGNA, MARIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.28	0.00	11.28	90.0	0.19
01/22/2018	CHERRY, ADAM	LORD ABBETT SHORT DURATION INCOME F	0.00	99.46	0.00	99.46	90.0	1.69
01/22/2018	KRAMER, LESLIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.40	0.00	11.40	90.0	0.19
01/22/2018	DADINO, CAROLE	LORD ABBETT SHORT DURATION INCOME F	0.00	5.18	0.00	5.18	90.0	0.09

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<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
01/22/2018	BROSIUS JR, ARTHUR	LORD ABBETT SHORT DURATION INCOME F	0.00	6.44	0.00	6.44	90.0	0.11
01/22/2018	HARDING, LORETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	13.07	0.00	13.07	90.0	0.22
01/22/2018	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	9.24	0.00	9.24	90.0	0.16
01/22/2018	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	4.69	0.00	4.69	90.0	0.08
01/24/2018	MURRY, YVONNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	10.42	0.00	10.42	90.0	0.18
01/24/2018	FLEISCHMAN, RITA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.92	0.00	2.92	90.0	0.05
01/24/2018	FARBMAN TRUST, DANIEL & SANDRAMAINSTAY CUSHING ROYALTY ENERGY INC		0.00	1.99	0.00	1.99	90.0	0.03
01/24/2018	PAGLIA, DIANA	MAINSTAY FLOATING RATE FUND CLASS A	0.00	0.50	0.00	0.50	90.0	0.01
01/24/2018	PAGLIA, DIANA	MAINSTAY HIGH YIELD CORP BOND A	0.00	1.24	0.00	1.24	90.0	0.02
01/24/2018	PAGLIA, DIANA	MAINSTAY LARGE CAP GROWTH FUND A	0.00	0.74	0.00	0.74	90.0	0.01
01/29/2018	SCOZZAFAVA, THERESA	METLIFE SHIELD LEVEL SELECT 6 YR	108,899.26	3,266.98	0.00	3,266.98	90.0	55.54
01/19/2018	KROSNOWSKI, THOMAS	MFS CORE EQUITY C	0.00	6.92	0.00	6.92	90.0	0.12
01/19/2018	KROSNOWSKI, THOMAS	MFS EMERGINF MARKETS EQUITY FUND C	0.00	16.63	0.00	16.63	90.0	0.28
01/19/2018	CAMPAGNA, MARIE	MFS EMERGING MKTS DEBT FUND C	0.00	8.18	0.00	8.18	90.0	0.14
01/19/2018	SPECTER TRUST, STEPHANIE	MFS GROWTH C	0.00	16.42	0.00	16.42	90.0	0.28
01/19/2018	KROSNOWSKI, THOMAS	MFS INTERNATIONAL VALUE FUND C	0.00	16.31	0.00	16.31	90.0	0.28
01/19/2018	BOWERS, DORA	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.72	0.00	0.72	90.0	0.01
01/19/2018	SPECTER TRUST, BRADLEY	MFS MID-CAP VALUE FUND CLASS C	0.00	9.86	0.00	9.86	90.0	0.17
01/19/2018	SPECTER TRUST, STEPHANIE	MFS MID-CAP VALUE FUND CLASS C	0.00	23.60	0.00	23.60	90.0	0.40
01/19/2018	SPECTER TRUST, BRADLEY	MFS MIDCAP GROWTH C	0.00	8.61	0.00	8.61	90.0	0.15
01/19/2018	SPECTER TRUST, STEPHANIE	MFS MIDCAP GROWTH C	0.00	20.31	0.00	20.31	90.0	0.35
01/19/2018	KROSNOWSKI, THOMAS	MFS MIDCAP GROWTH C	0.00	5.89	0.00	5.89	90.0	0.10
01/19/2018	KROSNOWSKI, THOMAS	MFS RESEARCH FUND-C	0.00	6.25	0.00	6.25	90.0	0.11
01/19/2018	SPECTER TRUST, STEPHANIE	MFS RESEARCH INTL C	0.00	28.44	0.00	28.44	90.0	0.48
01/19/2018	KROSNOWSKI, THOMAS	MFS TECHNOLOGY FUND-C	0.00	12.14	0.00	12.14	90.0	0.21
01/19/2018	SPECTER TRUST, BRADLEY	MFS VALUE C	0.00	9.89	0.00	9.89	90.0	0.17
01/19/2018	SPECTER TRUST, STEPHANIE	MFS VALUE C	0.00	15.39	0.00	15.39	90.0	0.26
01/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER DISCOVERY A	0.00	1.29	0.00	1.29	90.0	0.02
01/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	1.18	0.00	1.18	90.0	0.02
01/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.41	0.00	0.41	90.0	0.01
01/22/2018	BOWERS, DORA	OPPENHEIMER INTL GROWTH A	0.00	0.64	0.00	0.64	90.0	0.01
01/22/2018	STERR, ERIN	OPPENHEIMER MAIN STREET A	0.00	2.52	0.00	2.52	90.0	0.04
01/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER MAIN STREET A	0.00	3.25	0.00	3.25	90.0	0.06

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u> BROKER: MARC, L134 LINSKY #263 </u>								
01/22/2018	PERETTI, DANIEL	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	1.00	0.00	1.00	90.0	0.02
01/22/2018	PERETTI, DANIEL	OPPENHEIMER PTF SERIES EQUITY INVES	0.00	40.72	0.00	40.72	90.0	0.69
01/22/2018	CHERRY, LISA	OPPENHEIMER QUEST OPP VALUE A	0.00	2.67	0.00	2.67	90.0	0.05
01/22/2018	KROSNOWSKI, THOMAS	OPPENHEIMER QUEST OPP VALUE A	0.00	1.81	0.00	1.81	90.0	0.03
01/18/2018	CHERRY, ADAM	PAC LIFE FUNDS PRT OPT MOD	0.00	260.40	0.00	260.40	90.0	4.43
01/22/2018	TRICHON, DONNA-LYNN	PUTNAM GROWTH OPP A	0.00	14.19	0.00	14.19	90.0	0.24
01/22/2018	NIEMINEN, EUGENE	PUTNAM MULTI CAP GROWTH A	0.00	3.18	0.00	3.18	90.0	0.05
01/18/2018	SPECTER, BRADLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	13.30	0.00	13.30	90.0	0.23
01/18/2018	SPECTER, STEPHANIE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	18.16	0.00	18.16	90.0	0.31
01/18/2018	TAROFF, MICHELLE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.98	0.00	5.98	90.0	0.10
01/18/2018	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	45.15	0.00	45.15	90.0	0.77
01/18/2018	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	135.45	0.00	135.45	90.0	2.30
01/22/2018	FLEISCH, ROBERT	TEMPLETON GLOBAL BOND A	0.00	11.36	0.00	11.36	90.0	0.19
01/22/2018	GREENWELL, MARION	TEMPLETON GROWTH A	0.00	1.67	0.00	1.67	90.0	0.03
01/22/2018	CENTANNI, RICHARD	TEMPLETON GROWTH A	0.00	0.36	0.00	0.36	90.0	0.01
01/22/2018	FLEISCH, ROBERT	TEMPLETON WORLD A	0.00	11.25	0.00	11.25	90.0	0.19
01/29/2018	PERETTI, DANIEL	TOUCHSTONE GROWTH OPP A	0.00	1.59	0.00	1.59	90.0	0.03
01/17/2018	LINSKY, VARIOUS	TRANSAMERICA TRAILS	735.00	3.68	0.00	3.68	90.0	0.06
01/25/2018	EHRET, WAYNE	VICTORY CAPITAL MANAGEMENT	0.00	0.51	0.00	0.51	90.0	0.01
01/16/2018	CHERRY, LISA	VIRTUS SMALL-CAP SUSTAINABLE GROWTH	0.00	6.18	0.00	6.18	90.0	0.11
01/12/2018	PISANI, NICHOLAS	WELLS FARGO ADVANTAGE OMEGA GROWTH	0.00	9.98	0.00	9.98	90.0	0.17
01/12/2018	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	23.32	0.00	23.32	90.0	0.40
01/12/2018	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	11.22	0.00	11.22	90.0	0.19
01/12/2018	TRICHON, DONNA-LYNN	WELLS FARGO DIVERSIFIED CAPITAL BUI	0.00	18.61	0.00	18.61	90.0	0.32
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 12-13 A	0.00	0.99	0.00	0.99	90.0	0.02
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 14-15 A	0.00	6.82	0.00	6.82	90.0	0.12
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.59	0.00	0.59	90.0	0.01
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.61	0.00	0.61	90.0	0.01
* MARC, L134 LINSKY SUBTOTAL *			\$2,578,135.79	\$17,011.87	\$67.01	\$16,944.86		\$288.20
<u> BROKER: DANIEL, C142 CHARLEY #338 </u>								
01/31/2018	PREMIER IMMEDIATE ME	VOYA RETIREMENT & ANNUITY	0.00	24.54	0.00	24.54	90.0	0.42
* DANIEL, C142 CHARLEY SUBTOTAL *			\$0.00	\$24.54	\$0.00	\$24.54		\$0.42

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS								
*** OVERRIDE TRANSACTIONS TOTAL ***			\$3,444,253.99	\$17,459.22	\$179.51	\$17,279.71		\$279.36
ADJUSTMENTS								
02/15/2018		*AI INSIGHT: AI INSIGHT - PECHA	0.00					-1.40
02/15/2018		*AI INSIGHT: AI INSIGHT - T THOMPSON	0.00					-1.75
02/15/2018		*EO INS: CURRENT MONTH	0.00					-45.00
02/15/2018		*EO INS: CURRENT MONTH INS	0.00					-60.00
02/15/2018		*EO INS: CURRENT MONTH INS	0.00					-81.00
02/15/2018		*EO INS: CURRENT MONTH KIESNOSKI	0.00					-300.00
02/15/2018		*EO INS: CURRENT MONTH-T THOMPSON	0.00					-75.00
02/15/2018		*FEDERAL EXPRESS: 6-080-52243 2/7/1	0.00					-9.48
02/15/2018		*FEDEX: 6-066-90233 1/24/18	0.00					-12.46
02/15/2018		*FEDEX: 6-073-83907 1/31/18	0.00					-19.95
02/15/2018		*FINRA: ADVISOR WITH CAM - WEATHERB	0.00					-37.50
02/15/2018		*FINRA: BRANCH OFFICE REG - WEATHER	0.00					-56.25
02/15/2018		*FINRA: CONTINUING EDU - WEATHERBIE	0.00					-31.25
02/15/2018		*FINRA: CRD REG APP WEATHERBIE	0.00					-25.00
02/15/2018		*FINRA: FINGERPRINTING - WEATHERBIE	0.00					-7.38
02/15/2018		*FINRA: PROCESSING - WEATHERBIE	0.00					-13.75
02/15/2018		*FINRA: STATE REG FL - WEATHERBIE	0.00					-12.50
02/15/2018		*LASER APP: LASER APP - PECHA	0.00					-3.60
02/15/2018		*MARKETING LIBRARY: MARKETING LIBRA	0.00					-45.00
02/15/2018		*MISC: C FULMER, A LEEDS	0.00					-540.00
02/15/2018		*MISC: MISC ROY, AFLAC, 1031DST	0.00					-1,326.00
02/15/2018		*MISC: MISC SARAH, FT L, 1031DST	0.00					-205.00
02/15/2018		*MISC: OVERNIGHT FEES	0.00					-20.00
02/15/2018		*MISC: PER DAN 1/29	0.00					328.43
02/15/2018		*MISC: REDISTRIBUTION OF P124	0.00					3,033.19
02/15/2018		*MISC: REF. DOLAN	0.00					1,522.00
02/15/2018		*MISC: SARAH, TOMMY, M&D MAILER	0.00					-2,862.00
02/15/2018		*PERSHING: ETF PROSPECTUS MAILING	0.00					-0.23
02/15/2018		*PERSHING: FOREIGN SEC - WASSERMAN	0.00					-6.21
02/15/2018		*PERSHING: FOREIGN SEC - WASSERMAN	0.00					-6.21

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<u>ADJUSTMENTS</u>								
02/15/2018		*PERSHING: PERSHING MARKUPS	0.00					9.00
02/15/2018		*PERSHING: PERSHING MARKUPS	0.00					22.50
02/15/2018		*PERSHING: PERSHING MARKUPS	0.00					8.10
02/15/2018		*SPLIT PAYOUT/OVERRIDE: BRANCH OVER	0.00					-120.00
02/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDE PA	0.00					5.38
02/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES A	0.00					5.86
02/15/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES A	0.00					284.19
02/15/2018		*TECH: ADMIN FULMER	0.00					-25.00
02/15/2018		*TECH: ADMIN-AMY LEEDS	0.00					-33.00
02/15/2018		*TECH: BASIC - PECHA	0.00					-36.00
02/15/2018		*TECH: CURRENT MONTH TECH	0.00					-74.25
02/15/2018		*TECHNOLOGY: ADMIN - SARAH BURNS	0.00					-25.00
02/15/2018		*TECHNOLOGY: BASIC - T THOMPSON	0.00					-45.00
02/15/2018		*TECHNOLOGY: CURRENT MONTH	0.00					-41.25
02/15/2018		*TECHNOLOGY: CURRENT MONTH KIESNOSK	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,259.77

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BROKER COMMISSION TOTALS

Broker Transactions	\$11.03
Split Transactions	\$9,075.54
Override Transactions	\$279.36
Adjustments	\$-1,259.77
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$8,106.16
Year-to-date Gross	\$54,087.04
Year-to-date Earnings	\$52,428.11