



All Companies

07/12/2018

Page No. 1

# COMMISSION STATEMENT

July 16, 2018

**WILLIAM L. BROOKMAN**  
1840 Hurstview Drive

**BROKER #: 9081**  
BRANCH: HOME OFFICE

HURST, TX 76054

## COMMISSION STATEMENT for WILLIAM L. BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

06/30/2018	TRAILS	-OPPENHEIMER FUNDS	B	1.79	1.79	0.00	1.79	90.0	1.61
06/30/2018	TRAILS	-PUTNAM	B	14.24	14.24	0.00	14.24	90.0	12.82
06/25/2018	00082017680 LAVALLEY, WILLIAM	AMERICAN FUNDS CAPITAL WORLD GROWT	B	100.00	3.75	0.00	3.75	90.0	3.38
06/25/2018	00082017680 LAVALLEY, WILLIAM	AMERICAN FUNDS GROWTH FUND OF AMERI	B	100.00	3.75	0.00	3.75	90.0	3.38
07/02/2018	00082311284 SCHUMANN, EDGAR/REESE	WASHINGTON MUTUAL INVESTORS FUND-52	B	75.00	0.75	0.00	0.75	90.0	0.68
07/02/2018	00084533081 SCHUMANN, EDGAR/KAI	CAPITAL INCOME BUILDER-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
07/02/2018	00086109209 SCHUMANN, EDGAR/JAYLA	AMERICAN MUTUAL FUND-529C	B	75.00	0.75	0.00	0.75	90.0	0.68
06/25/2018	00089501407 LAVALLEY, PEGGY	AMERICAN FUNDS INCOME FD OF AMERI	B	200.00	7.50	0.00	7.50	90.0	6.75
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$641.03</b>	<b>\$33.28</b>	<b>\$0.00</b>	<b>\$33.28</b>		<b>\$29.98</b>

#### PRODUCT CATEGORY: VARIABLE ANNUITIES

06/30/2018	TRAILS	-HARTFORD LIFE	B	519.62	519.62	0.00	519.62	90.0	467.66
06/27/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	134.25	134.25	0.00	134.25	90.0	120.83
07/05/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	129.62	129.62	0.00	129.62	90.0	116.66
06/29/2018	TRAILS	NEW YORK LIFE INSURANCE COMPANY	B	1,242.21	1,242.21	0.00	1,242.21	90.0	1,117.99
06/30/2018	TRAILS	PRUDENTIAL INSURANCE CO.	B	5.71	0.00	0.00	0.00	90.0	0.00
06/30/2018	TRAILS	PRUDENTIAL INSURANCE CO.	B	5.71	5.71	0.00	5.71	90.0	5.14
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>				<b>\$2,037.12</b>	<b>\$2,031.41</b>	<b>\$0.00</b>	<b>\$2,031.41</b>		<b>\$1,828.28</b>

#### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/16/2018	TRAIL COMMISSIONS	INVESCO LTD FEES		0.00	8.55	0.00	8.55	90.0	7.69
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$8.55</b>	<b>\$0.00</b>	<b>\$8.55</b>		<b>\$7.69</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$2,678.15</b>	<b>\$2,073.24</b>	<b>\$0.00</b>	<b>\$2,073.24</b>		<b>\$1,865.95</b>

COMMISSION STATEMENT for WILLIAM L. BROOKMAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

07/16/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
07/16/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-7.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-107.50

BROKER COMMISSION TOTALS

Broker Transactions	\$1,865.95
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-107.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,758.45
Year-to-date Earnings	\$17,027.95