



COMMISSION STATEMENT

January 22, 2018

DAVID BRODER
143 Longfellow Rd
Shelton, CT 06484

BROKER #: 198
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/11/2018	***-**-674I - RAMSEY, MARION	JOHN HANCOCK TECHNICAL OPPS CL A	41.37	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012275	SELL 3 Units @12.0400						
01/19/2018	***-**-069I - RICH, PATRICIA	PIMCO LOW DURATION FUND CLASS D	3,100.89	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR010684	SELL 315 Units @9.8300						
01/02/2018	***-**-31RI - BRUNO, CAROL	PUTNAM EQUITY INCOME FUND-A	100.00	5.00	0.00	5.00	70.0	3.50
	ACCOUNT #: 00551361268	BUY 4 Units @26.2000						
01/11/2018	***-**-674I - RAMSEY, MARION	PUTNAM EQUITY INCOME FUND-A	83.06	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012275	SELL 3 Units @25.2700						
01/11/2018	***-**-674I - RAMSEY, MARION	PUTNAM HIGH YIELD ADVANTAGE-A	140.99	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR012275	SELL 24 Units @5.9700						
* MUTUAL FUNDS SUBTOTAL *			\$3,466.31	\$5.00	\$18.00	\$-13.00		\$-14.50
<u>PRODUCT CATEGORY: RIA</u>								
01/18/2018	***-**-44FB - ADDONA, MARIO	ASSETMARK QRTLY PLATFORM MAINTENANC	0.00	-125.00	0.00	-125.00	70.0	-87.50
		BUY 1 Units @0.0000						
01/18/2018	***-**-44FB - ADDONA, MARIO	GPS ACCUMULATION, PROFILE 5, GROWTH	14,116.37	52.20	0.00	52.20	70.0	36.54
	ACCOUNT #: 3394862	BUY 0 Units @141.1637						
01/18/2018	***-**-87FB - AMANTE, JAMES	GLOBAL MARKET BLEND, PROFILE 5, GRO	85,446.44	210.63	0.00	210.63	70.0	147.44
	ACCOUNT #: 3479710	BUY 0 Units @854.4644						
* RIA SUBTOTAL *			\$99,562.81	\$137.83	\$0.00	\$137.83		\$96.48
<u>PRODUCT CATEGORY: 529 PLAN</u>								
01/19/2018	***-**-5134 - KOONTZ, JOSEPH	CHET 529	50.00	0.50	0.00	0.50	70.0	0.35
	ACCOUNT #: 68455548301	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$50.00	\$0.50	\$0.00	\$0.50		\$0.35
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/16/2018	***-**-674I - RAMSEY, MARION	DAVIS NEW YORK VENTURE CLASS A	0.00	0.89	0.00	0.89	70.0	0.62
	ACCOUNT #: LSR012275	BUY 1 Units @0.0000						
01/08/2018	***-**-7210 - GUARNA, CATERINA	FA 529 EQUITY INCOME PORT	0.00	0.40	0.00	0.40	70.0	0.28
	ACCOUNT #: 01488781368	BUY 0 Units @0.0000						
01/08/2018	***-**-7210 - GUARNA, CATERINA	FA 529 EQUITY INCOME PORT	0.00	0.80	0.00	0.80	70.0	0.56
	ACCOUNT #: 01488781475	BUY 0 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/08/2018	***-**-7210 - GUARNA, CATERINA	FA 529 EQUITY INCOME PORT	0.00	1.11	0.00	1.11	70.0	0.78
	ACCOUNT #: 01488781509	BUY 0 Units @0.0000						
01/08/2018	***-**-7210 - GUARNA, CATERINA	FA 529 EQUITY INCOME PORT	0.00	1.10	0.00	1.10	70.0	0.77
	ACCOUNT #: 01488781533	BUY 0 Units @0.0000						
01/08/2018	***-**-49IN - TALLO, ROSE	FA 529 MID CAP PORT - CL A	0.00	0.45	0.00	0.45	70.0	0.32
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
01/08/2018	***-**-49IN - TALLO, ROSE	FA 529 NEW INSIGHTS PORT-CL A	0.00	1.05	0.00	1.05	70.0	0.74
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
01/08/2018	***-**-49IN - TALLO, ROSE	FA 529 VALUE STRATEGIES PORT-CL A	0.00	1.89	0.00	1.89	70.0	1.32
	ACCOUNT #: 01444479446	BUY 0 Units @0.0000						
01/22/2018	***-**-2870 - AMANTE, JAMES	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.74	0.00	0.74	70.0	0.52
	ACCOUNT #: LSR010473	BUY 1 Units @0.0000						
01/22/2018	***-**-776I - FENGLER, KERI	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.13	0.00	0.13	70.0	0.09
	ACCOUNT #: LSR011180	BUY 1 Units @0.0000						
01/22/2018	***-**-26IN - SANTOS, FATIMA	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.49	0.00	0.49	70.0	0.34
	ACCOUNT #: LSR011234	BUY 1 Units @0.0000						
01/22/2018	***-**-340J - PERRONE,	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	11.29	0.00	11.29	70.0	7.90
	ACCOUNT #: LSR011761	BUY 1 Units @0.0000						
01/22/2018	***-**-287K - AMANTE, JAMES	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	2.33	0.00	2.33	70.0	1.63
	ACCOUNT #: LSR011868	BUY 1 Units @0.0000						
01/22/2018	***-**-84IR - FARQUHAR, TARA	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.31	0.00	0.31	70.0	0.22
	ACCOUNT #: LSR012312	BUY 1 Units @0.0000						
01/22/2018	***-**-732J - FARQUHAR,	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	1.23	0.00	1.23	70.0	0.86
	ACCOUNT #: LSR012313	BUY 1 Units @0.0000						
01/22/2018	***-**-43IN - RISKALLA,	FIDELITY ADV BIOTECHNOLOGY-CL T	0.00	4.50	0.00	4.50	70.0	3.15
	ACCOUNT #: LSR010472	BUY 1 Units @0.0000						
01/22/2018	***-**-521I - SCHRYNEMAKERS,	FIDELITY ADV BIOTECHNOLOGY-CL T	0.00	7.20	0.00	7.20	70.0	5.04
	ACCOUNT #: LSR010663	BUY 1 Units @0.0000						
01/22/2018	***-**-809I - RICH, JOSEPH	FIDELITY ADV BIOTECHNOLOGY-CL T	0.00	3.82	0.00	3.82	70.0	2.67
	ACCOUNT #: LSR010668	BUY 1 Units @0.0000						
01/22/2018	***-**-069I - RICH, PATRICIA	FIDELITY ADV BIOTECHNOLOGY-CL T	0.00	3.54	0.00	3.54	70.0	2.48
	ACCOUNT #: LSR010684	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/22/2018	***-**-76TR - STEELE ACCOUNT #: LSR011320	FIDELITY ADV BIOTECHNOLOGY-CL T BUY 1 Units @0.0000	0.00	10.77	0.00	10.77	70.0	7.54
01/22/2018	***-**-76TR - STEELE ACCOUNT #: LSR011320	FIDELITY ADV CONSUMER STAPLES-CL T BUY 1 Units @0.0000	0.00	9.59	0.00	9.59	70.0	6.71
01/22/2018	***-**-809I - RICH, JOSEPH ACCOUNT #: LSR010668	FIDELITY ADV HEALTH CARE CL T BUY 1 Units @0.0000	0.00	11.82	0.00	11.82	70.0	8.27
01/22/2018	***-**-069I - RICH, PATRICIA ACCOUNT #: LSR010684	FIDELITY ADV HEALTH CARE CL T BUY 1 Units @0.0000	0.00	5.71	0.00	5.71	70.0	4.00
01/22/2018	***-**-226O - MAYERSON, MS ACCOUNT #: LSR010890	FIDELITY ADV HEALTH CARE CL T BUY 1 Units @0.0000	0.00	2.85	0.00	2.85	70.0	2.00
01/22/2018	***-**-776I - FENGLER, KERI ACCOUNT #: LSR011180	FIDELITY ADV HEALTH CARE-CL A BUY 1 Units @0.0000	0.00	0.12	0.00	0.12	70.0	0.08
01/08/2018	***-**-49IN - TALLO, ROSE ACCOUNT #: 01445267972	FIDELITY ADV MF A1 BUY 0 Units @0.0000	0.00	2.26	0.00	2.26	70.0	1.58
01/08/2018	***-**-49IN - TALLO, ROSE ACCOUNT #: 01445268004	FIDELITY ADV MF A1 BUY 0 Units @0.0000	0.00	1.34	0.00	1.34	70.0	0.94
01/22/2018	***-**-84IR - FARQUHAR, TARA ACCOUNT #: LSR012312	FIDELITY ADV NEW INSIGHTS-CL A BUY 1 Units @0.0000	0.00	0.61	0.00	0.61	70.0	0.43
01/22/2018	***-**-732J - FARQUHAR, ACCOUNT #: LSR012313	FIDELITY ADV NEW INSIGHTS-CL A BUY 1 Units @0.0000	0.00	2.42	0.00	2.42	70.0	1.69
01/22/2018	***-**-43IN - RISKALLA, ACCOUNT #: LSR010472	FIDELITY ADV STRATEGIC DIV & INCOME BUY 1 Units @0.0000	0.00	22.58	0.00	22.58	70.0	15.81
01/08/2018	***-**-262I - PATTERS, JOANN ACCOUNT #: 01445288234	FIDELITY ADV STRATEGIC INCOME CL A BUY 0 Units @0.0000	0.00	2.57	0.00	2.57	70.0	1.80
01/08/2018	***-**-472I - PARADIS, URSULA ACCOUNT #: 01455315984	FIDELITY ADV STRATEGIC INCOME CL A BUY 0 Units @0.0000	0.00	3.01	0.00	3.01	70.0	2.11
01/08/2018	***-**-839X - D'AMICO, AMY ACCOUNT #: 03900210291	FIDELITY ADV STRATEGIC INCOME CL A BUY 0 Units @0.0000	0.00	1.86	0.00	1.86	70.0	1.30
01/08/2018	***-**-1111 - ROMANOVSKY, ACCOUNT #: 01456178423	FIDELITY ADV TOTAL BOND-CL T BUY 0 Units @0.0000	0.00	2.92	0.00	2.92	70.0	2.04
01/08/2018	***-**-49IN - TALLO, ROSE ACCOUNT #: 01444479446	FIDELITY ADV TRAILS 31580T877 BUY 0 Units @0.0000	0.00	0.07	0.00	0.07	70.0	0.05



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/22/2018	***-**-521I - SCHRYNEMAKERS, ACCOUNT #: LSR010663	FIDELITY ADVISOR EQUITY INCOME CL T BUY 1 Units @0.0000	0.00	15.10	0.00	15.10	70.0	10.57
01/22/2018	***-**-43IN - RISKALLA, ACCOUNT #: LSR010472	FIDELITY ADVISOR REAL ESTATE INCOME BUY 1 Units @0.0000	0.00	7.06	0.00	7.06	70.0	4.94
01/16/2018	***-**-674I - RAMSEY, MARION ACCOUNT #: LSR012275	JOHN HANCOCK TECHNICAL OPPS CL A BUY 1 Units @0.0000	0.00	0.86	0.00	0.86	70.0	0.60
01/22/2018	***-**-226O - MAYERSON, MS ACCOUNT #: LSR010890	PACIFIC FUNDS FLOAT RATE INCOME CL BUY 1 Units @0.0000	0.00	2.82	0.00	2.82	70.0	1.97
01/22/2018	***-**-736I - UNGER, HELEN ACCOUNT #: LSR011196	PACIFIC FUNDS FLOAT RATE INCOME CL BUY 1 Units @0.0000	0.00	1.00	0.00	1.00	70.0	0.70
01/22/2018	***-**-673I - CZUBIK, ACCOUNT #: LSR010662	PACIFIC FUNDS LMT DURATION HIGH INC BUY 1 Units @0.0000	0.00	2.96	0.00	2.96	70.0	2.07
01/22/2018	***-**-521I - SCHRYNEMAKERS, ACCOUNT #: LSR010663	PACIFIC FUNDS LMT DURATION HIGH INC BUY 1 Units @0.0000	0.00	4.34	0.00	4.34	70.0	3.04
01/22/2018	***-**-809I - RICH, JOSEPH ACCOUNT #: LSR010668	PACIFIC FUNDS LMT DURATION HIGH INC BUY 1 Units @0.0000	0.00	4.41	0.00	4.41	70.0	3.09
01/22/2018	***-**-069I - RICH, PATRICIA ACCOUNT #: LSR010684	PACIFIC FUNDS LMT DURATION HIGH INC BUY 1 Units @0.0000	0.00	3.60	0.00	3.60	70.0	2.52
01/22/2018	***-**-918I - SANCHEZ, THERESA ACCOUNT #: LSR010742	PACIFIC FUNDS LMT DURATION HIGH INC BUY 1 Units @0.0000	0.00	2.80	0.00	2.80	70.0	1.96
01/22/2018	***-**-18IN - SANCHEZ, THERESA ACCOUNT #: LSR010749	PACIFIC FUNDS LMT DURATION HIGH INC BUY 1 Units @0.0000	0.00	3.28	0.00	3.28	70.0	2.30
01/22/2018	***-**-736I - UNGER, HELEN ACCOUNT #: LSR011196	PACIFIC FUNDS STRATEGIC INC FD A BUY 1 Units @0.0000	0.00	2.97	0.00	2.97	70.0	2.08
01/22/2018	***-**-15CL - BRODER, CLIENTS	PACIFIC LIFE FUNDS TRAILS BUY 0 Units @0.0000	0.00	3.99	0.00	3.99	70.0	2.79
01/22/2018	***-**-15CL - BRODER, CLIENTS	PACIFIC LIFE FUNDS TRAILS BUY 0 Units @0.0000	0.00	0.70	0.00	0.70	70.0	0.49
01/22/2018	***-**-041J - PARADIS, EDWARD	PACIFIC LIFE FUNDS TRAILS BUY 0 Units @0.0000	0.00	8.16	0.00	8.16	70.0	5.71
01/22/2018	***-**-0934 - FENGLER, SOPHIA	PACIFIC LIFE FUNDS TRAILS BUY 0 Units @0.0000	0.00	0.90	0.00	0.90	70.0	0.63



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/22/2018	***-**-6049 - BRANT, CAMRON	PACIFIC LIFE FUNDS TRAILS BUY 0 Units @0.0000	0.00	0.90	0.00	0.90	70.0	0.63
01/22/2018	***-**-4150 - PARADIS, CAMILLE	PACIFIC LIFE FUNDS TRAILS BUY 0 Units @0.0000	0.00	0.90	0.00	0.90	70.0	0.63
01/22/2018	***-**-6049 - BRANT, CAMRON ACCOUNT #: 2000128283	PACIFIC LIFE FUNDS TRAILS BUY 0 Units @0.0000	0.00	1.92	0.00	1.92	70.0	1.34
01/22/2018	***-**-41UT - FENGLER,	PACIFIC LIFE FUNDS TRAILS BUY 0 Units @0.0000	0.00	0.88	0.00	0.88	70.0	0.62
01/22/2018	***-**-4150 - PARADIS, CAMILLE	PACIFIC LIFE FUNDS TRAILS BUY 0 Units @0.0000	0.00	1.52	0.00	1.52	70.0	1.06
01/22/2018	***-**-15CL - BRODER, CLIENTS	PACIFIC LIFE FUNDS TRAILS BUY 0 Units @0.0000	0.00	11.73	0.00	11.73	70.0	8.21
01/22/2018	***-**-38UT - SANTOS UTMA CT, ACCOUNT #: LSR011266	PL PORT OPTIMIZATION MOD AGGRESSIVE BUY 1 Units @0.0000	0.00	0.99	0.00	0.99	70.0	0.69
01/22/2018	***-**-24UT - SANTOS UTMA CT, ACCOUNT #: LSR011267	PL PORT OPTIMIZATION MOD AGGRESSIVE BUY 1 Units @0.0000	0.00	0.99	0.00	0.99	70.0	0.69
01/22/2018	***-**-68UT - SANTOS UTMA CT, ACCOUNT #: LSR011270	PL PORT OPTIMIZATION MOD AGGRESSIVE BUY 1 Units @0.0000	0.00	0.50	0.00	0.50	70.0	0.35
01/22/2018	***-**-71UT - SANTOS UTMA CT, ACCOUNT #: LSR011271	PL PORT OPTIMIZATION MOD AGGRESSIVE BUY 1 Units @0.0000	0.00	0.50	0.00	0.50	70.0	0.35
01/22/2018	***-**-223I - GAY, LISA ACCOUNT #: LSR011789	PL PORTFOLIO OPTIMIZATION AGGRESSIV BUY 1 Units @0.0000	0.00	1.25	0.00	1.25	70.0	0.88
01/22/2018	***-**-340I - PERRONE, JOSEPH ACCOUNT #: LSR011803	PL PORTFOLIO OPTIMIZATION AGGRESSIV BUY 1 Units @0.0000	0.00	1.24	0.00	1.24	70.0	0.87
01/22/2018	***-**-732I - FARGUHAR, ACCOUNT #: LSR011806	PL PORTFOLIO OPTIMIZATION AGGRESSIV BUY 1 Units @0.0000	0.00	2.36	0.00	2.36	70.0	1.65
01/22/2018	***-**-57IO - SOCHA, CYNTHIA ACCOUNT #: LSR010500	PL PORTFOLIO OPTIMIZATION MOD AGGRE BUY 1 Units @0.0000	0.00	6.10	0.00	6.10	70.0	4.27
01/22/2018	***-**-340J - PERRONE, ACCOUNT #: LSR011761	PL PORTFOLIO OPTIMIZATION MOD AGGRE BUY 1 Units @0.0000	0.00	1.26	0.00	1.26	70.0	0.88
01/22/2018	***-**-223I - GAY, LISA ACCOUNT #: LSR011789	PL PORTFOLIO OPTIMIZATION MOD AGGRE BUY 1 Units @0.0000	0.00	2.88	0.00	2.88	70.0	2.02



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
01/22/2018	***-**-0590 - LIBBY, AMY	PL PORTFOLIO OPTIMIZATION MOD AGGRE	0.00	1.03	0.00	1.03	70.0	0.72
	ACCOUNT #: LSR012236	BUY 1 Units @0.0000						
01/22/2018	***-**-059I - LIBBY, AMY	PL PORTFOLIO OPTIMIZATION MOD AGGRE	0.00	2.55	0.00	2.55	70.0	1.79
	ACCOUNT #: LSR012269	BUY 1 Units @0.0000						
01/22/2018	***-**-036I - LIBBY, GREGORY	PL PORTFOLIO OPTIMIZATION MODERATE	0.00	0.68	0.00	0.68	70.0	0.48
	ACCOUNT #: LSR012248	BUY 1 Units @0.0000						
01/02/2018	***-**-04UT - BRODER, HARRISON	PUTNAM EQUITY INCOME FUND-A	0.00	0.03	0.00	0.03	70.0	0.02
	ACCOUNT #: 00551342997	BUY 0 Units @0.0000						
01/02/2018	***-**-54UT - BRODER, JOSHUA	PUTNAM EQUITY INCOME FUND-A	0.00	0.02	0.00	0.02	70.0	0.01
	ACCOUNT #: 00551342999	BUY 0 Units @0.0000						
01/02/2018	***-**-31RI - BRUNO, CAROL	PUTNAM EQUITY INCOME FUND-A	0.00	0.18	0.00	0.18	70.0	0.13
	ACCOUNT #: 00551361268	BUY 0 Units @0.0000						
01/02/2018	***-**-99UT - BRODER, ZACHARY	PUTNAM EQUITY INCOME FUND-B	0.00	0.05	0.00	0.05	70.0	0.04
	ACCOUNT #: 00551342992	BUY 0 Units @0.0000						
01/02/2018	***-**-04UT - BRODER, HARRISON	PUTNAM EQUITY INCOME FUND-B	0.00	0.05	0.00	0.05	70.0	0.04
	ACCOUNT #: 00551342997	BUY 0 Units @0.0000						
01/02/2018	***-**-54UT - BRODER, JOSHUA	PUTNAM EQUITY INCOME FUND-B	0.00	0.05	0.00	0.05	70.0	0.04
	ACCOUNT #: 00551342999	BUY 0 Units @0.0000						
01/02/2018	***-**-725I - SHEIK, JONATHAN	PUTNAM HIGH YIELD ADVANTAGE-A	0.00	2.51	0.00	2.51	70.0	1.76
	ACCOUNT #: 00551329386	BUY 0 Units @0.0000						
01/22/2018	***-**-52IN - BRODER, RUTH	RESOURCE REAL ESTATE TRAILS	0.00	3.20	0.00	3.20	70.0	2.24
		BUY 0 Units @0.0000						
01/22/2018	***-**-543I - RISKALLA,	RESOURCE REAL ESTATE TRAILS	0.00	2.95	0.00	2.95	70.0	2.07
	ACCOUNT #: 20033401	BUY 0 Units @0.0000						
01/22/2018	***-**-76TR - STEELE	RESOURCE REAL ESTATE TRAILS	0.00	2.05	0.00	2.05	70.0	1.44
	ACCOUNT #: 20033530	BUY 0 Units @0.0000						
01/22/2018	***-**-846I - BREEN, KENNETH	RESOURCE REAL ESTATE TRAILS	0.00	3.47	0.00	3.47	70.0	2.43
	ACCOUNT #: 020033838	BUY 0 Units @0.0000						
01/22/2018	***-**-674I - RAMSEY, MARION	RESOURCE REAL ESTATES-NFS	0.00	3.00	0.00	3.00	70.0	2.10
	ACCOUNT #: LSR012275	BUY 1 Units @0.0000						
01/22/2018	***-**-84IR - FARQUHAR, TARA	RESOURCE REAL ESTATES-NFS	0.00	0.50	0.00	0.50	70.0	0.35
	ACCOUNT #: LSR012312	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
01/22/2018	***-**-732J - FARQUHAR, ACCOUNT #: LSR012313	RESOURCE REAL ESTATES-NFS BUY 1 Units @0.0000	0.00	2.01	0.00	2.01	70.0	1.41
01/22/2018	***-**-721I - GUARNA, CATERINA ACCOUNT #: LSR012322	RESOURCE REAL ESTATES-NFS BUY 1 Units @0.0000	0.00	0.12	0.00	0.12	70.0	0.08
01/22/2018	***-**-889I - BAILLARGEON, ACCOUNT #: LSR012333	RESOURCE REAL ESTATES-NFS BUY 1 Units @0.0000	0.00	1.72	0.00	1.72	70.0	1.20
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$250.81	\$0.00	\$250.81		\$175.59
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
01/16/2018	***-**-18IN - SANCHEZ, THERESA ACCOUNT #: VM15002308	PACIFIC LIFE VA COMM BUY 0 Units @0.0000	0.00	16.41	0.00	16.41	70.0	11.49
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$16.41	\$0.00	\$16.41		\$11.49
*** BROKER TRANSACTIONS TOTAL ***			\$103,079.12	\$410.55	\$18.00	\$392.55		\$269.41

BROKER COMMISSION TOTALS

Broker Transactions	\$269.41
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$269.41
Year-to-date Earnings	\$8,861.27