



Concorde Investment Services, LLC
COMMISSION STATEMENT
October 31, 2017

10/30/2017
Page No. 1

JOSEPH WIEGAND
1220 N. 200 West
Suite B
Angola, IN 46703

BROKER #: W128
BRANCH: LIFETIME FINANCIAL S

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: REIT</u>								
09/30/2017	BAALS, GREY	GRIFFIN CAPITAL ESSENTIAL ASSET II	0.00	118.26	0.00	118.26	90.0	106.43
		* REIT SUBTOTAL *	\$0.00	\$118.26	\$0.00	\$118.26		\$106.43
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
10/11/2017	BAALS JT TEN, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	0.00	43.64	0.00	43.64	90.0	39.28
09/30/2017	BAALS, GREY	GRIFFIN INST'L ACCESS R E FUND C	0.00	40.80	0.00	40.80	90.0	36.72
		* INTERVAL FUNDS SUBTOTAL *	\$0.00	\$84.44	\$0.00	\$84.44		\$76.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/02/2017	BAALS, GREY	INVESCO UTILITIES A	0.00	0.44	0.00	0.44	90.0	0.40
		* MUTUAL FUNDS SUBTOTAL *	\$0.00	\$0.44	\$0.00	\$0.44		\$0.40
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
09/30/2017	WIEGAND, VARIOUS	PRUDENTIAL TRAILS	0.00	1.02	0.00	1.02	90.0	0.92
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$1.02	\$0.00	\$1.02		\$0.92
		*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$204.16	\$0.00	\$204.16		\$183.75

SPLIT TRANSACTIONS

BROKER: L135-KEITH/JOE LAYMAN/WIEGAND #10

/ /	MARKS, RICHARD	ASCENSUS 529 2031 ENROLLMENT PORT A	0.00	0.38	0.00	0.38	90.0	0.34
		* L135-KEITH/JOE LAYMAN/WIEGAND SUBTOTAL *	\$0.00	\$0.38	\$0.00	\$0.38		\$0.34

BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209

10/16/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.75	0.00	1.75	90.0	1.58
10/24/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	200.00	3.50	7.70	-4.20	90.0	-3.78
10/24/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	300.00	5.25	7.70	-2.45	90.0	-2.21
10/17/2017	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	8.75	0.00	8.75	90.0	7.88

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
10/16/2017	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	200.00	3.50	0.00	3.50	90.0	3.15
10/17/2017	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	500.00	8.75	0.00	8.75	90.0	7.88
/ /	LINN, ROSANNA	ASCENSUS 529-2034 ENROLLMENT PF CL	0.00	0.01	0.00	0.01	90.0	0.01
/ /	SHERWOOD, JULIE	ASCENSUS INA 2019 ENROLLMENT PORT C	0.00	0.08	0.00	0.08	90.0	0.07
10/13/2017	SHERWOOD, JULIE	ASCENSUS INA 2019 ENROLLMENT PORT C	50.00	0.83	0.00	0.83	90.0	0.75
/ /	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.47	0.00	0.47	90.0	0.42
/ /	MULLINS, JAMES	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.49	0.00	0.49	90.0	0.44
10/13/2017	MULLINS, JAMES	ASCENSUS INA 2022 ENROLLMENT PTF A	50.00	0.83	0.00	0.83	90.0	0.75
/ /	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	0.76	0.00	0.76	90.0	0.68
/ /	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	8.37	0.00	8.37	90.0	7.53
/ /	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	6.23	0.00	6.23	90.0	5.61
10/20/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.18	0.00	0.18	90.0	0.16
10/20/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.18	0.00	0.18	90.0	0.16
/ /	CRAWFORD, JOHN	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.01	0.00	0.01	90.0	0.01
/ /	SHERWOOD, JULIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.15	0.00	0.15	90.0	0.14
/ /	BAILEY, LAURIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.73	0.00	1.73	90.0	1.56
/ /	BAILEY, LAURIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.35	0.00	0.35	90.0	0.32
/ /	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.20	0.00	1.20	90.0	1.08
/ /	SHERWOOD, JULIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.57	0.00	0.57	90.0	0.51
10/09/2017	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	50.00	0.57	0.00	0.57	90.0	0.51
/ /	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	0.00	0.19	0.00	0.19	90.0	0.17
10/20/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.14	0.00	0.14	90.0	0.13
/ /	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	1.60	0.00	1.60	90.0	1.44
/ /	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.18	0.00	0.18	90.0	0.16
10/20/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.09	0.00	0.09	90.0	0.08
/ /	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	0.41	0.00	0.41	90.0	0.37
/ /	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	0.00	1.55	0.00	1.55	90.0	1.40
/ /	LINN, ROSANNA	ASCENSUS INA TIPS PORTFOLIO CL A	0.00	0.28	0.00	0.28	90.0	0.25
/ /	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.37	0.00	0.37	90.0	0.33
/ /	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.53	0.00	0.53	90.0	0.48
10/20/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.42	0.00	0.42	90.0	0.38
/ /	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.96	0.00	0.96	90.0	0.86
/ /	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.56	0.00	0.56	90.0	0.50

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<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
/ /	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.56	0.00	0.56	90.0	0.50
10/20/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.64	0.00	0.64	90.0	0.58
10/20/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.42	0.00	0.42	90.0	0.38
10/20/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.42	0.00	0.42	90.0	0.38
10/11/2017	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	0.00	15.18	0.00	15.18	90.0	13.66
09/30/2017	BURTNETTE, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.29	0.00	15.29	90.0	13.76
/ /	WALLS, ELENA	INA 2025 ENROLLMENT PORTFOLIO CLASS	0.00	1.32	0.00	1.32	90.0	1.19
/ /	WALLS, THOMAS	INA 2028 ENROLLMENT PORT CL A	0.00	0.73	0.00	0.73	90.0	0.66
/ /	HEFFELFINGER, TAMMY	INA 2028 ENROLLMENT PORT CL A	0.00	0.04	0.00	0.04	90.0	0.04
/ /	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	0.00	0.84	0.00	0.84	90.0	0.76
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$2,180.00	\$97.23	\$15.40	\$81.83		\$73.67
*** SPLIT TRANSACTIONS TOTAL ***			\$2,180.00	\$97.61	\$15.40	\$82.21		\$74.01

ADJUSTMENTS

10/31/2017	*CREDIT CARD PYT: CREDIT CARD PYT	0.00					1,747.44
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$1,747.44

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BROKER COMMISSION TOTALS

Broker Transactions	\$183.75
Split Transactions	\$74.01
Override Transactions	\$0.00
Adjustments	\$1,747.44
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-2,005.20
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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Balance Forward	\$0.00
Year-to-date Gross	\$3,484.52
Year-to-date Earnings	\$2,885.86