

COMMISSION STATEMENT

September 29, 2017

FIRM 1-ACCOUNTS

BROKER #: 76

BRANCH: HOME OFFICE

, NY

COMMISSION STATEMENT for FIRM 1-ACCOUNTS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

07/17/2017	00551427251	PLAN	PUTNAM 746933100	B	100.00	5.00	0.00	5.00	80.0	4.00
07/17/2017	00551427251	PLAN	PUTNAM 74676P102	B	100.00	5.00	0.00	5.00	80.0	4.00
09/15/2017	00551427251	PLAN	PUTNAM 746933100	B	100.00	5.00	0.00	5.00	80.0	4.00
09/15/2017	00551427251	PLAN	PUTNAM 74676P102	B	100.00	5.00	0.00	5.00	80.0	4.00
* MUTUAL FUNDS SUBTOTAL *					\$400.00	\$20.00	\$0.00	\$20.00		\$16.00

PRODUCT CATEGORY: STOCKS

09/26/2017	QGN005720	MARIO CAMARA	REGULUS THERAPEUTICS INC COM	B	4,049.70	218.29	0.00	218.29	80.0	174.63
09/20/2017	QGW002024	DELAWARE CHARTER	G&T CENTERPOINT ENERGY INC	S	-4,005.61	75.00	0.00	75.00	80.0	60.00
09/20/2017	QGW002024	DELAWARE CHARTER	G&T COMCAST CORP CL A	S	-24,213.37	75.00	0.00	75.00	80.0	60.00
09/20/2017	QGW002024	DELAWARE CHARTER	G&T MOTOROLA SOLUTIONS INC	S	-855.50	75.00	0.00	75.00	80.0	60.00
09/20/2017	QGW002024	DELAWARE CHARTER	G&T NRG ENERGY INC	S	-318.57	75.00	0.00	75.00	80.0	60.00
09/20/2017	QGW002024	DELAWARE CHARTER	G&T PRUDENTIAL FINANCIAL INC	S	-7,000.17	75.00	0.00	75.00	80.0	60.00
08/30/2017	QGW005985	ROTHBEIN, SYLVIA	MOMO INC ADR	B	26,325.00	35.00	0.00	35.00	80.0	28.00
* STOCKS SUBTOTAL *					\$-6,018.52	\$628.29	\$0.00	\$628.29		\$502.63

PRODUCT CATEGORY: OPTIONS

09/18/2017	QGW005985	ROTHBEIN, SYLVIA	CALL 100 MOMO INC ADR ISIN#US60879B S		-1,840.00	35.00	0.00	35.00	80.0	28.00
* OPTIONS SUBTOTAL *					\$-1,840.00	\$35.00	\$0.00	\$35.00		\$28.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/01/2017	00058689679	BENEDETTO, MARY	AMCAP FUND-A	B	0.00	28.37	0.00	28.37	80.0	22.70
09/01/2017	00058689680	BENEDETTO, ROCCO	AMCAP FUND-A	B	0.00	131.24	0.00	131.24	80.0	104.99
09/01/2017	00061778315	BENEDETTO, MARY	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	34.28	0.00	34.28	80.0	27.42
09/01/2017	00063934346	BENEDETTO, ROCCO	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	34.28	0.00	34.28	80.0	27.42
09/01/2017	00063965493	BENEDETTO, MARY	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	46.42	0.00	46.42	80.0	37.14
09/01/2017	00063982104	BENEDETTO, CYNTHIA	AMCAP FUND-A	B	0.00	7.28	0.00	7.28	80.0	5.82
09/11/2017	QGW008369	FRIEDMAN, RICHARD	ALLIANCEBERNSTEIN GROWTH A	B	0.00	0.83	0.00	0.83	80.0	0.66
09/14/2017	QGW014177	MORSE, SUSANNA	FRANKLIN SMALL-MID CAP GROWTH FUND	B	0.00	6.20	0.00	6.20	80.0	4.96
09/14/2017	QGW014177	MORSE, SUSANNA	MASS INVESTORS GROWTH STOCK FUND-A	B	0.00	2.41	0.00	2.41	80.0	1.93
09/19/2017	QGW014177	MORSE, SUSANNA	PUTNAM 74680E101	B	0.00	0.86	0.00	0.86	80.0	0.69
09/14/2017	QGW021115	ROTHBEIN, SYLVIA	MFS EQUITY OPPORTUNITIES FUND CLASS B		0.00	57.06	0.00	57.06	80.0	45.65

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BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/21/2017	QGW021347	ROTHBEIN, HOWARD		OPPENHEIMER DEVELOPING MARKETS FUND B	0.00	32.08	0.00	32.08	80.0	25.66
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$381.31	\$0.00	\$381.31		\$305.04
*** BROKER TRANSACTIONS TOTAL ***					\$-7,458.52	\$1,064.60	\$0.00	\$1,064.60		\$851.67

ADJUSTMENTS

09/29/2017		MWWOD:MISCELLANEOUS: WRITE-OFF DEBI		0.00	0.00	0.00	0.00			-2.00
09/29/2017		MWWOD:MISCELLANEOUS: WRITE-OFF DEBI		0.00	0.00	0.00	0.00			-1.39
09/29/2017		MWWOD:MISCELLANEOUS: WRITE-OFF DEBI		0.00	0.00	0.00	0.00			-2.00
09/29/2017		MWWOD:MISCELLANEOUS: WRITE-OFF DEBI		0.00	0.00	0.00	0.00			-50.00
09/29/2017		MWWOD:MISCELLANEOUS: WRITE-OFF DEBI		0.00	0.00	0.00	0.00			-115.02
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00		\$-170.41

BROKER COMMISSION TOTALS

Broker Transactions	\$851.67
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-170.41
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-660.84
FINRA Assessment	\$-1.33
SIPC Assessment	\$-2.66

Check Amount	\$16.43
Year-to-date Earnings	\$2,369.83