



Concorde Investment Services, LLC
COMMISSION STATEMENT
January 17, 2017

01/13/2017
Page No. 1

JASON KEMBLE
4106 E. Doe Creek Drive

Columbia, MO 65202-

BROKER #: K146
BRANCH: JEFFREY KAUFMAN

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 12/30/2016 | DANIELS, CLINTON | AM FUNDS AMERICAN BALANCED A | 5,000.00 | 250.00 | 0.00 | 250.00 | 90.0 | 225.00 |
| 12/30/2016 | DANIELS, CLINTON | AM FUNDS AMERICAN BALANCED C | 4,500.00 | 45.00 | 0.00 | 45.00 | 90.0 | 40.50 |
| 12/30/2016 | DANIELS, CLINTON | AM FUNDS GROWTH FUND OF AMERICA A | 1,250.00 | 62.50 | 0.00 | 62.50 | 90.0 | 56.25 |
| 12/30/2016 | DANIELS, CLINTON | AM FUNDS SMALLCAP WORLD A | 1,250.00 | 62.50 | 0.00 | 62.50 | 90.0 | 56.25 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$12,000.00 | \$420.00 | \$0.00 | \$420.00 | | \$378.00 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$12,000.00 | \$420.00 | \$0.00 | \$420.00 | | \$378.00 |

SPLIT TRANSACTIONS

BROKER: JEFF/JASON KAUFMAN/KEMBLE #349

| | | | | | | | | |
|------------|------------------|-----------------------------------|--------|------|------|------|------|------|
| 01/06/2017 | WAHLIG, KACEY | AM FUNDS AMERICAN BALANCED A | 18.45 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 01/06/2017 | WAHLIG, KACEY | AM FUNDS AMERICAN BALANCED A | 18.45 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 01/06/2017 | WAMPLER, JESSICA | AM FUNDS AMERICAN BALANCED A | 19.20 | 0.09 | 0.00 | 0.09 | 90.0 | 0.08 |
| 01/06/2017 | WAMPLER, JESSICA | AM FUNDS AMERICAN BALANCED A | 19.20 | 0.09 | 0.00 | 0.09 | 90.0 | 0.08 |
| 12/27/2016 | JOHNSON, KASEY | AM FUNDS GROWTH FUND OF AMERICA A | 250.00 | 5.63 | 0.00 | 5.63 | 90.0 | 5.07 |
| 12/27/2016 | PRICE, KATHRYN | AM FUNDS GROWTH FUND OF AMERICA A | 250.00 | 5.63 | 0.00 | 5.63 | 90.0 | 5.07 |
| 01/06/2017 | EISELE, HAMILTON | AM FUNDS GROWTH FUND OF AMERICA A | 21.60 | 0.10 | 0.00 | 0.10 | 90.0 | 0.09 |
| 01/06/2017 | EISELE, HAMILTON | AM FUNDS GROWTH FUND OF AMERICA A | 16.20 | 0.07 | 0.00 | 0.07 | 90.0 | 0.06 |
| 01/06/2017 | DOOLEY, BRUCE | AM FUNDS GROWTH FUND OF AMERICA A | 36.90 | 0.17 | 0.00 | 0.17 | 90.0 | 0.15 |
| 01/06/2017 | DOOLEY, BRUCE | AM FUNDS GROWTH FUND OF AMERICA A | 36.90 | 0.17 | 0.00 | 0.17 | 90.0 | 0.15 |
| 01/06/2017 | BONHAM, NICOLE | AM FUNDS GROWTH FUND OF AMERICA A | 10.90 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 01/06/2017 | BONHAM, NICOLE | AM FUNDS GROWTH FUND OF AMERICA A | 10.90 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 01/06/2017 | SPEIGLE, SARAH | AM FUNDS GROWTH FUND OF AMERICA A | 2.16 | 0.01 | 0.00 | 0.01 | 90.0 | 0.01 |
| 01/06/2017 | SPEIGLE, SARAH | AM FUNDS GROWTH FUND OF AMERICA A | 2.16 | 0.01 | 0.00 | 0.01 | 90.0 | 0.01 |
| 01/06/2017 | MCMILLIAN, KATY | AM FUNDS GROWTH FUND OF AMERICA A | 59.70 | 0.27 | 0.00 | 0.27 | 90.0 | 0.24 |
| 01/06/2017 | MCMILLIAN, KATY | AM FUNDS GROWTH FUND OF AMERICA A | 59.70 | 0.27 | 0.00 | 0.27 | 90.0 | 0.24 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|----------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>SPLIT TRANSACTIONS</u> | | | | | | | | |
| BROKER: JEFF/JASON KAUFMAN/KEMBLE #349 | | | | | | | | |
| 01/06/2017 | LUKIC, MIROSLAV | AM FUNDS GROWTH FUND OF AMERICA A | 24.68 | 0.11 | 0.00 | 0.11 | 90.0 | 0.10 |
| 01/06/2017 | LUKIC, MIROSLAV | AM FUNDS GROWTH FUND OF AMERICA A | 24.69 | 0.11 | 0.00 | 0.11 | 90.0 | 0.10 |
| 01/06/2017 | ZELLMER, RIANNON | AM FUNDS ICA A | 12.42 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 01/06/2017 | ZELLMER, RIANNON | AM FUNDS ICA A | 12.42 | 0.05 | 0.00 | 0.05 | 90.0 | 0.05 |
| 01/06/2017 | WAHLIG, KACEY | AM FUNDS ICA A | 18.45 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 01/06/2017 | WAHLIG, KACEY | AM FUNDS ICA A | 18.45 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 01/06/2017 | HELMANDOLLAR, OLIVIA | AM FUNDS ICA A | 18.00 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 01/06/2017 | HELMANDOLLAR, OLIVIA | AM FUNDS ICA A | 18.00 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 01/06/2017 | EISELE, HAMILTON | AM FUNDS NEW WORLD A | 21.60 | 0.10 | 0.00 | 0.10 | 90.0 | 0.09 |
| 01/06/2017 | EISELE, HAMILTON | AM FUNDS NEW WORLD A | 16.20 | 0.07 | 0.00 | 0.07 | 90.0 | 0.06 |
| 01/06/2017 | WAMPLER, JESSICA | AM FUNDS SMALLCAP WORLD A | 19.20 | 0.09 | 0.00 | 0.09 | 90.0 | 0.08 |
| 01/06/2017 | WAMPLER, JESSICA | AM FUNDS SMALLCAP WORLD A | 19.20 | 0.09 | 0.00 | 0.09 | 90.0 | 0.08 |
| 01/06/2017 | HELMANDOLLAR, OLIVIA | AM FUNDS SMALLCAP WORLD A | 18.00 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 01/06/2017 | HELMANDOLLAR, OLIVIA | AM FUNDS SMALLCAP WORLD A | 18.00 | 0.08 | 0.00 | 0.08 | 90.0 | 0.07 |
| 01/06/2017 | LUKIC, MIROSLAV | AM FUNDS SMALLCAP WORLD A | 24.69 | 0.11 | 0.00 | 0.11 | 90.0 | 0.10 |
| 01/06/2017 | LUKIC, MIROSLAV | AM FUNDS SMALLCAP WORLD A | 24.68 | 0.11 | 0.00 | 0.11 | 90.0 | 0.10 |
| * JEFF/JASON KAUFMAN/KEMBLE SUBTOTAL * | | | \$1,141.10 | \$14.14 | \$0.00 | \$14.14 | | \$12.72 |
| *** SPLIT TRANSACTIONS TOTAL *** | | | \$1,141.10 | \$14.14 | \$0.00 | \$14.14 | | \$12.72 |

ADJUSTMENTS

| | | | | | | | | |
|---------------------------|-------------------------------------|------|--------|--------|--------|--------|--|-----------|
| 01/17/2017 | *EO INS: CURRENT1/2 MONTH INS-KEMBL | 0.00 | | | | | | -150.00 |
| 01/17/2017 | *TECH: CURRENT 1/2 MONTH TECH-KEMBL | 0.00 | | | | | | -137.50 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-287.50 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|-----------|
| Broker Transactions | \$378.00 |
| Split Transactions | \$12.72 |
| Override Transactions | \$0.00 |
| Adjustments | \$-287.50 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|----------|
| Check Amount | \$103.22 |
| Year-to-date Gross | \$434.14 |
| Year-to-date Earnings | \$390.72 |