



Concorde Investment Services, LLC

04/12/2017

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## COMMISSION STATEMENT

April 15, 2017

BRENT LEE

13423 Kings View Lane

Williston, ND 58801-

BROKER #: L137

BRANCH: TRUWEALTH FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
03/31/2017	KUHN, KENNETH	JNL PERSPECTIVE L	245,020.74	204.18	0.00	204.18	90.0	183.76
03/31/2017	KUHN, KRISTINE	JNL PERSPECTIVE L	132,141.20	110.12	0.00	110.12	90.0	99.11
03/31/2017	FREIH, DIANE	JNL PERSPECTIVE L	107,464.22	89.55	0.00	89.55	90.0	80.60
03/31/2017	KEMPER, SHERRY	JNL PERSPECTIVE L	211,411.41	176.18	0.00	176.18	90.0	158.56
03/31/2017	RETZLAFF, SANDRA	JNL PERSPECTIVE L	36,489.75	30.41	0.00	30.41	90.0	27.37
03/31/2017	GRANT, GREGORY	JNL PERSPECTIVE L	91,441.92	76.20	0.00	76.20	90.0	68.58
03/31/2017	MONETTE, RONALD	JNL PERSPECTIVE L	58,243.31	48.54	0.00	48.54	90.0	43.69
03/31/2017	LIESENER, MARTY	JNL PERSPECTIVE L	42,038.06	35.03	0.00	35.03	90.0	31.53
03/31/2017	BARTINGALE, JAMES	JNL PERSPECTIVE L	60,202.31	50.17	0.00	50.17	90.0	45.15
03/31/2017	MONETTE, RONALD	JNL PERSPECTIVE L	197,845.61	164.87	0.00	164.87	90.0	148.38
03/31/2017	SIKOLE, ROBERT	PRU PREMIER RETIREMENT B	33,478.07	13.95	0.00	13.95	90.0	12.56
03/31/2017	BARTINGALE, JAMES	PRU PREMIER RETIREMENT B	118,424.35	74.02	0.00	74.02	90.0	66.62
* VARIABLE ANNUITIES SUBTOTAL *			\$1,334,200.95	\$1,073.22	\$0.00	\$1,073.22		\$965.91
*** BROKER TRANSACTIONS TOTAL ***			\$1,334,200.95	\$1,073.22	\$0.00	\$1,073.22		\$965.91

### SPLIT TRANSACTIONS

**BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332**

04/03/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	180.00	3.37	0.00	3.37	90.0	3.03
04/03/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	225.00	4.22	0.00	4.22	90.0	3.80
04/03/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	280.00	5.25	0.00	5.25	90.0	4.73
04/03/2017	KLINE, STEWART	AMERICAN FD GLOBAL GROWTH PORTFOLIO	160.00	3.00	0.00	3.00	90.0	2.70
04/06/2017	HELLER, GREG	HPI REAL ESTATE FUND V LLC	100,000.00	0.00	0.00	0.00	90.0	0.00
03/24/2017	BARTINGALE, JAMES	LIGHTSTONE VALUE PLUS REIT III INC	54,000.00	1,890.00	0.00	1,890.00	90.0	1,701.00
04/06/2017	HELLER, GREG	SEALY STRATEGIC EQUITY PARTNERS LP	100,000.00	0.00	0.00	0.00	90.0	0.00
* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *			\$254,845.00	\$1,905.84	\$0.00	\$1,905.84		\$1,715.26

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u></b>								
04/07/2017	EDISS, ALEXANDER	AM FUNDS GROWTH FUND OF AMERICA C	3,895.00	4.29	0.00	4.29	90.0	3.86
* JBB JEN-BRENT-BRION TRU-DONAIS-LEE- SUBTOTAL *			<b>\$3,895.00</b>	<b>\$4.29</b>	<b>\$0.00</b>	<b>\$4.29</b>		<b>\$3.86</b>
<b><u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u></b>								
04/03/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	111.00	1.22	0.00	1.22	90.0	1.10
04/03/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	58.00	0.64	0.00	0.64	90.0	0.58
04/03/2017	HEEN, JEREMY	AM FUNDS CAPITAL WORLD GRTH & INC 5	73.00	0.81	0.00	0.81	90.0	0.73
04/03/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	74.00	0.81	0.00	0.81	90.0	0.73
04/03/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	60.00	0.66	0.00	0.66	90.0	0.59
04/03/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	50.00	0.55	0.00	0.55	90.0	0.50
04/03/2017	HEEN, JEREMY	AM FUNDS NEW ECONOMY 529A	50.00	0.55	0.00	0.55	90.0	0.50
04/03/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	111.00	1.22	0.00	1.22	90.0	1.10
04/03/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	65.00	0.71	0.00	0.71	90.0	0.64
04/03/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	57.00	0.63	0.00	0.63	90.0	0.57
04/03/2017	HEEN, JEREMY	AM FUNDS NEW PERSPECTIVE 529A	72.00	0.79	0.00	0.79	90.0	0.71
04/03/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	74.00	0.81	0.00	0.81	90.0	0.73
04/03/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	65.00	0.71	0.00	0.71	90.0	0.64
04/03/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	50.00	0.55	0.00	0.55	90.0	0.50
04/03/2017	HEEN, JEREMY	AM FUNDS NEW WORLD 529A	50.00	0.55	0.00	0.55	90.0	0.50
03/03/2017	RASMUSSEN, CLINTON	HPI FUND V	98,000.00	2,352.00	0.00	2,352.00	90.0	2,116.80
03/15/2017	KUHN, KRISTINE	HPI FUND V	74,000.00	1,776.00	0.00	1,776.00	90.0	1,598.40
04/11/2017	JORGENSEN, BLAINE	HPI REAL ESTATE FUND V LLC	100,000.00	0.00	0.00	0.00	90.0	0.00
03/23/2017	JORGENSEN, BLAINE	LIGHTSTONE VALUE PLUS REIT III INC	100,000.00	2,800.00	0.00	2,800.00	90.0	2,520.00
03/28/2017	KUHN, KENNETH	LIGHTSTONE VALUE PLUS REIT III INC	87,000.00	2,436.00	0.00	2,436.00	90.0	2,192.40
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			<b>\$460,020.00</b>	<b>\$9,375.21</b>	<b>\$0.00</b>	<b>\$9,375.21</b>		<b>\$8,437.72</b>
<b><u>BROKER: TBB TYLER-BRENT-BRIO TRU-LIFFFRIG-L #336</u></b>								
03/20/2017	HENNEN, STUART	HPI FUND V	75,000.00	585.00	0.00	585.00	90.0	526.50
* TBB TYLER-BRENT-BRIO TRU-LIFFFRIG-L SUBTOTAL *			<b>\$75,000.00</b>	<b>\$585.00</b>	<b>\$0.00</b>	<b>\$585.00</b>		<b>\$526.50</b>
*** SPLIT TRANSACTIONS TOTAL ***			<b>\$793,760.00</b>	<b>\$11,870.34</b>	<b>\$0.00</b>	<b>\$11,870.34</b>		<b>\$10,683.34</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
04/15/2017		*CONCIERGE SVC: 188921 188924 18892	0.00					-26.00
04/15/2017		*CONCIERGE SVC: 189216 189221	0.00					-40.00
04/15/2017		*EO INS: CURRENT MONTH E&O	0.00					-300.00
04/15/2017		*MISC: LOAN PAYMENT	0.00					-3,418.38
04/15/2017		*MISC: LOAN PMT	0.00					-2,000.00
04/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-1.50
04/15/2017		*PERSHING: BOOKS AND RECORDS	0.00					-1.80
04/15/2017		*PERSHING: MF PROSPECTUS	0.00					-0.78
04/15/2017		*TECH: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-6,063.46

**BROKER COMMISSION TOTALS**

Broker Transactions	\$965.91
Split Transactions	\$10,683.34
Override Transactions	\$0.00
Adjustments	\$-6,063.46
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,585.79
Year-to-date Gross	\$22,618.69
Year-to-date Earnings	\$20,350.70

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