

**COMMISSION STATEMENT****October 15, 2017**

C148 DAN/MARC FT-CHARLEY/LINSKY

BROKER #: C148

BRANCH: E STREET FINANCIAL L

, NJ

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^09/18/2017	ANDERSON, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.95	0.00	0.95	100.0	0.00
^09/14/2017	ANDERSON, WILLIAM	AMERICAN CENTURY CORE PLUS FUND CLA	0.00	5.77	0.00	5.77	100.0	0.00
^09/26/2017	ANDERSON, ELAINE	BLK GLOBAL ALLOCATION C	0.00	5.00	0.00	5.00	100.0	0.00
^09/20/2017	ANDERSON, ELAINE	CALAMOS GROWTH & INCOME A	0.00	1.77	0.00	1.77	100.0	0.00
^09/15/2017	ANDERSON, WILLIAM	COLUMBIA BALANCED FUND CLASS C	0.00	6.97	0.00	6.97	100.0	0.00
^09/15/2017	FIAMINGO, FRANK	COLUMBIA SELIGMAN COMM AND INFO A	0.00	2.22	0.00	2.22	100.0	0.00
^09/11/2017	FIAMINGO, FRANK	DAVIS REAL ESTATE A	0.00	4.38	0.00	4.38	100.0	0.00
^09/22/2017	ANDERSON, WILLIAM	EAGLE GROWTH AND INCOME FUND CLASS	0.00	12.07	0.00	12.07	100.0	0.00
^09/18/2017	FIAMINGO, FRANK	FIRST EAGLE GLOBAL FUND CL A	0.00	9.85	0.00	9.85	100.0	0.00
^09/18/2017	FIAMINGO, FRANK	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	3.47	0.00	3.47	100.0	0.00
^09/18/2017	ANDERSON, WILLIAM	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	1.96	0.00	1.96	100.0	0.00
^09/19/2017	ANDERSON, ELAINE	JANUS BALANCED FUND CLASS C	0.00	6.32	0.00	6.32	100.0	0.00
^09/15/2017	ANDERSON, ELAINE	JH STRATEGIC INCOME A	0.00	0.88	0.00	0.88	100.0	0.00
^09/21/2017	FIAMINGO, FRANK	OPPENHEIMER CAPITAL INCOME A	0.00	1.53	0.00	1.53	100.0	0.00
^09/13/2017	ANDERSON, WILLIAM	PIMCO REAL RETURN C	0.00	3.44	0.00	3.44	100.0	0.00
^09/15/2017	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	1.99	0.00	1.99	100.0	0.00
^09/15/2017	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	1.06	0.00	1.06	100.0	0.00
^09/15/2017	RYNEX, ANDREW	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.34	0.00	0.34	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$69.97	\$0.00	\$69.97		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$69.97	\$0.00	\$69.97		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
10/15/2017		*PERSHING: BOOKS & RECORDS	0.00					0.00
10/15/2017		*PERSHING: MF PROSPECTUS	0.00					0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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