

All Companies  
**STATEMENT**  
**May 20, 2018**

SCOTT MACNEILAGE  
8826 N Bank Dr  
SUITE 620  
Ventura, CA 93004

BROKER #: 050  
BRANCH:

COMMISSION STATEMENT for SCOTT MACNEILAGE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
04/16/2018	#01482511589 RUBIOSANDD	FIDELITY ADV	100.00	1.00	75.0	0.00	0.75	0.00	0.75
* MUTUAL FUNDS SUBTOTAL *			\$100.00	\$1.00		\$0.00	\$0.75	\$0.00	\$0.75
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
04/25/2018	# SYLVIA, CHARLOTTE	***BARRICK GOLD CORP	2,026.43	84.13	75.0	0.00	63.10	18.00	45.10
04/25/2018	# FINDLEY, MARIAN	SPDR GOLD TR	6,271.38	105.00	75.0	0.00	78.75	18.00	60.75
04/25/2018	# RUBIO, JOSEPH	VANECK VECTORS ETF TR GOLD MINERS E	2,479.03	88.35	75.0	0.00	66.26	18.00	48.26
04/25/2018	# SYLVIA, CHARLOTTE	VANECK VECTORS ETF TR GOLD MINERS E	879.35	50.00	75.0	0.00	37.50	18.00	19.50
04/25/2018	# SYLVIA, JOHN	VANECK VECTORS ETF TR GOLD MINERS E	1,579.03	53.33	75.0	0.00	40.00	18.00	22.00
* STOCKS SUBTOTAL *			\$13,235.22	\$380.81		\$0.00	\$285.61	\$90.00	\$195.61
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
03/09/0018	#77899 CALENDER'S, MARIE	LINCOLN	9,909.24	2.48	75.0	0.00	1.86	0.00	1.86
03/09/0018	#77899 CALENDER'S, MARIE	LINCOLN	9,909.24	9.91	75.0	0.00	7.43	0.00	7.43
* VARIABLE ANNUITIES SUBTOTAL *			\$19,818.48	\$12.39		\$0.00	\$9.29	\$0.00	\$9.29
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
04/06/2018	#00702703950 VARIOUS	FA 529 LIMITED TERM BOND PORTFOLIO	0.00	0.14	75.0	0.00	0.11	0.00	0.11
04/06/2018	#00534236732 VARIOUS	FA 529 DIVERSIFIED INTL PORT-CL A	0.00	1.75	75.0	0.00	1.31	0.00	1.31
04/06/2018	#00702703950 VARIOUS	FA 529 HIGH INCOME PORT-CL A	0.00	0.09	75.0	0.00	0.07	0.00	0.07
04/06/2018	#01440047817 VARIOUS	FA 529 SMALL CAP PORT-CL A	0.00	1.16	75.0	0.00	0.87	0.00	0.87
04/06/2018	#00701810756 VARIOUS	FA 529 VALUE STRATEGIES	0.00	2.45	75.0	0.00	1.84	0.00	1.84
04/06/2018	#00701810756 VARIOUS	FA 529 VALUE STRATEGIES PORT-CL C	0.00	1.98	75.0	0.00	1.49	0.00	1.49
04/06/2018	#01482511589 RUBIOSANDD	FIDELITY ADV	0.00	2.38	75.0	0.00	1.79	0.00	1.79
04/06/2018	#00702703950 VARIOUS	FIDELITY STRATIGIC	0.00	0.29	75.0	0.00	0.22	0.00	0.22
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$10.24		\$0.00	\$7.70	\$0.00	\$7.70
*** BROKER TRANSACTIONS TOTAL ***			\$33,153.70	\$404.44		\$0.00	\$303.35	\$90.00	\$213.35

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ADJUSTMENTS

05/20/2018		BETA BACK OFFICE: ADMIN FEE							-390.00
05/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
05/20/2018		OTHER: OTHER NEGATIVE BALANCE PAID							776.28
*** ADJUSTMENTS TOTAL ***									\$207.28

BROKER COMMISSION TOTALS

Broker Transactions	\$213.35
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$207.28
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-776.28
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-355.65
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