

## **COMMISSION STATEMENT**

August 15, 2017

STEVEN COX 6500 Busch Blvd Suite 132 Columbus, OH 43229 BROKER #: C145 Terminated 03/31/2017

BRANCH: BREWER & ASSOCIATES

| DATE                 | CLIENT  | INVESTMENT                           | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE  | NET<br>COMMISSION | RATE        | BROKER<br>COMMISSION |
|----------------------|---|--------------------------------------|----------------------|---------------------|---|-------------------|-------------|----------------------|
| BROKER TRANSACTIONS  |   |                                      |                      |                     |   |                   |             |                      |
| PRODUCT CA           | TEGORY: MUTUAL FUND   | 12B1 TRAILS                          |                      |                     |   |                   |             |                      |
| 07/18/2017           | CROSBY, RONALD  | AM FUNDS AMERICAN BALANCED A         | 0.00                 | 9.12                | 0.00  | 9.12              | 0.0         | 0.00                 |
| 07/18/2017           | CROSBY, RONALD  | INVESCO BALANCED RISK ALLOCATION A   | 0.00                 | 14.16               | 0.00  | 14.16             | 0.0         | 0.00                 |
| 07/14/2017           | CROSBY, RONALD  | NATIONWIDE ALTERNATIVE ALLOCATIONS   | 0.00                 | 5.07                | 0.00  | 5.07              | 0.0         | 0.00                 |
|                      |   | * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | \$0.00               | \$28.35             | \$0.00  | \$28.35           |             | \$0.00               |
|                      |   | *** BROKER TRANSACTIONS TOTAL ***    | \$0.00               | \$28.35             | \$0.00  | \$28.35           |             | \$0.00               |
|                      |   |                                      |                      |                     | E   | ROKER COMMI       | SSION       | TOTALS               |
|                      | Broker Transactions   |                                      |                      |                     |   |                   | \$0.00      |                      |
|                      |   |                                      |                      |                     | Split Transactions Override Transactions Adjustments Payroll Draw |                   |             | \$0.00               |
|                      |   |                                      |                      |                     |   |                   |             | \$0.00               |
|                      |   |                                      |                      |                     |   |                   |             | \$0.00               |
|                      |   |                                      |                      |                     |   |                   |             | \$0.00               |
|                      |   |                                      |                      |                     |   | Base Salary       |             | \$0.00               |
| Prior Period Balance |   |                                      |                      |                     |   |                   | \$-4,029.47 |                      |
|                      |   |                                      |                      |                     | FI  | NRA Assessment    |             | \$0.00               |
|                      |   |                                      |                      |                     | S   | IPC Assessment    |             | \$0.00               |
|                      | Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD Balance Forwa |                                      |                      |                     |   |                   | 1           | \$-4,029.47          |
|                      |   |                                      |                      |                     | Year  | r-to-date Gross   | 5           | \$49.40              |
|                      |   |                                      |                      |                     | Year-to   | o-date Earnings   | 3           | \$0.00               |