

COMMISSION STATEMENT**June 21, 2018**

STEVEN COOK
3200 N. Central Ave.
Suite 200
Phoenix, AZ 85012

BROKER #: 73756
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
------	--------	------------	----------------------	---------------------	--------------------	-------------------	----------------------

Company: Securities America**BROKER TRANSACTIONS****PRODUCT CATEGORY: MUTUAL FUNDS**

06/20/2018	COOK (73756), STEVE	SAI SECURITES INCOME	0.00	2.50	0.00	2.50	1.27
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$2.50</u>	<u>\$0.00</u>	<u>\$2.50</u>	<u>\$1.27</u>

PRODUCT CATEGORY: RIA

06/20/2018	COOK (73756), STEVE	SAI ADVISORY INCOME (CUE RIA)	0.00	2,132.41	0.00	2,132.41	1,083.26
* RIA SUBTOTAL *			<u>\$0.00</u>	<u>\$2,132.41</u>	<u>\$0.00</u>	<u>\$2,132.41</u>	<u>\$1,083.26</u>

PRODUCT CATEGORY: TRAILERS

06/20/2018	COOK (73756), STEVE	SAI.TRAILS	0.00	433.78	0.00	433.78	220.36
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$433.78</u>	<u>\$0.00</u>	<u>\$433.78</u>	<u>\$220.36</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$2,568.69</u>	<u>\$0.00</u>	<u>\$2,568.69</u>	<u>\$1,304.89</u>

ADJUSTMENTS

15. SAI SIPC FOR PE 06/15/2018							-6.81
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-6.81</u>

COMMISSION STATEMENT for STEVEN COOK

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
							<u>BROKER COMMISSION TOTALS</u>
							Broker Transactions \$1,304.89
							Split Transactions \$0.00
							Override Transactions \$0.00
							Adjustments \$-6.81
							Prior Period Balance \$0.00
							NASD Assessment \$0.00
							SIPC Assessment \$0.00
							<u>Check Amount \$1,298.08</u>
							<u>Year-to-date Earnings \$106,502.93</u>