Page No.



## COMMISSION STATEMENT July 31, 2018

JOSEPH, W128 WIEGAND

1220 N. 200 West

Suite B

Angola, IN 46703

BROKER #: W128

BRANCH: LIFETIME FINANCIAL S

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		DIRECT TRANS	ACTIONS					
PRODUCT CA	TEGORY: REIT							
06/30/2018	BAALS, GREY	GRIFFIN CAPITAL ESSENTIAL ASSET II	47,951.65	119.55	0.00	119.55	90.0	107.60
		* REIT SUBTOTAL *	\$47,951.65	\$119.55	\$0.00	\$119.55		\$107.60
PRODUCT CA	TEGORY: INTERVAL FUNDS							
06/30/2018	BAALS JT TEN, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	55,102.80	43.64	0.00	43.64	90.0	39.28
06/30/2018	BAALS, GREY	GRIFFIN INST'L ACCESS R E FUND C	51,721.78	42.51	0.00	42.51	90.0	38.26
		* INTERVAL FUNDS SUBTOTAL *	\$106,824.58	\$86.15	\$0.00	\$86.15		\$77.54
PRODUCT CA	TEGORY: MUTUAL FUND 12B1	TRAILS						
/ /	CONSTELLATION TRUST	MACQUARIE GLOBAL INFRASTRUCTURE FUN	0.00	1.25	0.00	1.25	90.0	1.13
/ /	CONSTELLATION TRUST	MACQUARIE GLOBAL INFRASTRUCTURE FUN	0.00	0.59	0.00	0.59	90.0	0.53
/ /	EVANS, MARVIN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	6.30	0.00	6.30	90.0	5.67
/ /	EVANS, MARVIN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	2.96	0.00	2.96	90.0	2.66
/ /	EVANS, MARVIN	OPPENHEIMER CORE BOND A	0.00	3.00	0.00	3.00	90.0	2.70
/ /	EVANS, MARVIN	OPPENHEIMER CORE BOND A	0.00	1.41	0.00	1.41	90.0	1.27
/ /	CONSTELLATION TRUST	OPPENHEIMER EMERG MKTS INNOV	0.00	3.76	0.00	3.76	90.0	3.38
/ /	CONSTELLATION TR	OPPENHEIMER EMERG MKTS INNOV	0.00	1.77	0.00	1.77	90.0	1.59
/ /	EVANS, MARVIN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	5.45	0.00	5.45	90.0	4.91
/ /	EVANS, MARVIN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	2.57	0.00	2.57	90.0	2.31
/ /	EVANS, MARVIN	OPPENHEIMER MAIN STREET A	0.00	6.17	0.00	6.17	90.0	5.55
/ /	EVANS, MARVIN	OPPENHEIMER MAIN STREET A	0.00	2.91	0.00	2.91	90.0	2.62
/ /	CONSTELLATION TR	OPPENHEIMER QUEST INT'L VALUE A	0.00	2.22	0.00	2.22	90.0	2.00
/ /	CONSTELLATION TR	OPPENHEIMER QUEST INT'L VALUE A	0.00	4.72	0.00	4.72	90.0	4.25
/ /	EVANS, MARVIN	OPPENHEIMER US GOVT TRUST A	0.00	5.52	0.00	5.52	90.0	4.97
/ /	EVANS, MARVIN	OPPENHEIMER US GOVT TRUST A	0.00	2.60	0.00	2.60	90.0	2.34
/ /	CONSTELLATION TR	OPPENHEIMER VALUE A	0.00	4.84	0.00	4.84	90.0	4.36
/ /	CONSTELLATION TR	OPPENHEIMER VALUE A	0.00	10.29	0.00	10.29	90.0	9.26

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

<sup>\*\* -</sup> Indicates adjustment is not included in Totals or Commissions

<sup>^</sup>Date - Split Trade - full Investment Amount & Gross Commission displayed

SPECIAL PRINCE   SPEC	DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
**************************************			SPLIT TRANSA	ACTIONS					
SPAINT   S	BROKER: L1	31-KEITH/JOE/FCCU LAYM	AN/WIEGAND/ #10						
### SPELIT TRANSACTIONS    SHERWOOD, JULIE			* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$68.33	\$0.00	\$68.33		\$61.50
BOKKER: L131-KEITH/JOE/FCCU LAYMAN/WIRGAND/ #10			*** DIRECT TRANSACTIONS TOTAL ***	\$154,776.23	\$274.03	\$0.00	\$274.03		\$246.64
			SPLIT TRANSA	ACTIONS					
	BROKER: L1	31-KEITH/JOE/FCCU LAYM	AN/WIEGAND/ #10						
07/13/2018 EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 50.00 0.18 0.00 0.18 90.0 0.07/13/2018 EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 50.00 0.18 0.00 0.18 90.0 0.00 0.7/13/2018 EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 50.00 0.18 0.00 0.18 90.0 0.00 0.00 0.00 0.00 0.00 0.00 0.0	07/13/2018	SHERWOOD, JULIE	INA 2019 ENROLLMENT PORT CLASS A	8.25	0.83	0.00	0.83	90.0	0.75
# L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL * \$108.25 \$2.02 \$0.00 \$2.02 \$1.  # L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209  707/17/2018 KLINE, NANCY AM FUNDS CAPITAL WORLD GRITH & INC A 500.00 \$8.75 0.00 \$8.75 90.0 \$2.02 \$1.  # L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209  707/17/2018 KLINE, NANCY AM FUNDS GROWTH FUND OF AMERICA A 200.00 \$8.75 0.00 \$8.75 90.0 \$3.  707/17/2018 KLINE, NANCY AM FUNDS GROWTH FUND OF AMERICA A 500.00 \$8.75 0.00 \$8.75 90.0 \$3.  707/17/2018 KLINE, NANCY AM FUNDS GROWTH FUND OF AMERICA A 500.00 \$8.75 0.00 \$8.75 90.0 \$7.  806/30/2018 BURINETTE, PATRICIA BR TOTAL INC & REAL ESTATE FUND C \$3.483.34 15.39 0.00 15.39 90.0 13.  806/30/2018 BURINETTE, PATRICIA BR TOTAL INC & REAL ESTATE FUND C 53.483.34 15.39 0.00 15.39 90.0 13.  806/30/2018 BURINETTE, PATRICIA BR TOTAL INC & REAL ESTATE FUND C 53.483.34 15.39 0.00 15.39 90.0 13.  806/30/2018 BURINETTE, PATRICIA GRIFFIN INST'L ACCESS R FUND C 53.483.34 15.39 0.00 15.32 90.0 13.  806/30/2018 BURINETTE, PATRICIA GRIFFIN INST'L ACCESS R FUND C 53.251.75 15.32 0.00 15.32 90.0 13.  806/30/2018 BURINETTE, PATRICIA GRIFFIN INST'L ACCESS R FUND C 53.251.75 15.32 0.00 15.32 90.0 13.  806/30/2018 BURINETTE, PATRICIA GRIFFIN INST'L ACCESS R FUND C 53.251.75 15.32 0.00 15.32 90.0 13.  806/30/2018 BURINETTE, PATRICIA GRIFFIN INST'L ACCESS R FUND C 53.251.75 15.32 0.00 15.32 90.0 0.0  806/30/2018 BURINETTE, PATRICIA GRIFFIN INST'L ACCESS R FUND C 53.251.75 15.32 0.00 1.18 90.0 0.0  806/30/2018 BURINETTE, PATRICIA GRIFFIN INST'L ACCESS R FUND C 53.251.75 15.32 0.00 1.18 90.0 0.0  806/30/2018 BURINETTE, PATRICIA GRIFFIN INST'L ACCESS R FUND C 53.251.75 15.32 0.00 1.18 90.0 0.0  806/30/2018 BURINETTE, PATRICIA GRIFFIN INST'L ACCESS R FUND C 53.251.75 15.32 0.00 0.667 90.0 0.0  806/30/2018 BURINETTE, PATRICIA GRIFFIN INST'L ACCESS R FUND C 53.251.75 15.32 0.00 0.667 90.0 0.67 90.0 0.0  806/30/2018 BURINETTE, PATRICIA GRIFFIN INST'L ACCESS R FUND C 53.251.75 15.32 0.00 0.667 90.0 0.67 90.0 0.0  806/30/2018 BURINETTE, PATRICIA GRIFFIN INST'L ACCESS R FUND C 53.251.7	/ /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.83	0.00	0.83	90.0	0.75
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ \$4209  07/17/2018 KLINE, NANCY AM FUNDS CAPITAL WORLD GRTH & INC A 500.00 8.75 0.00 8.75 90.0 7.  07/16/2018 ROBERTSON, DOUGLAS AM FUNDS GROWTH FUND OF AMERICA A 200.00 3.50 0.00 3.50 90.0 3.  07/17/2018 KLINE, NANCY AM FUNDS GROWTH FUND OF AMERICA A 200.00 3.50 0.00 3.50 90.0 3.  06/30/2018 BURINETTE, PATRICIA BR TOTAL INC & REAL ESTATE FUND C 53,483.34 15.39 0.00 15.39 90.0 13.  06/30/2018 BURINETTE, PATRICIA BR TOTAL INC & REAL ESTATE FUND C 53,483.34 15.39 0.00 15.39 90.0 13.  06/30/2018 BURINETTE, PATRICIA BR TOTAL INC & REAL ESTATE FUND C 53,483.34 15.39 0.00 15.39 90.0 13.  06/30/2018 BURINETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 153,483.34 15.39 0.00 15.39 90.0 13.  06/30/2018 BURINETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 153,251.75 15.32 0.00 15.32 90.0 13.  06/30/2018 BURINETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 153,251.75 15.32 0.00 15.32 90.0 13.  06/30/2018 BURINETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 153,251.75 15.32 0.00 0.0 15.39 90.0 13.  06/30/2018 BURINETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 153,251.75 15.32 0.00 0.0 15.30 90.0 13.  06/30/2018 BURINETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 153,251.75 15.32 0.00 0.0 0.18 90.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.	07/13/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.18	0.00	0.18	90.0	0.16
BROKER: Ll31-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209           07/17/2018 KLINE, NANCY         AM FUNDS CAPITAL WORLD GRTH & INC A 500.00         8.75 0.00         8.75 90.0         7.           07/16/2018 BOBERTSON, DOUGLAS         AM FUNDS GROWTH FUND OF AMERICA A 500.00         8.75 0.00         8.75 90.0         3.50           07/17/2018 KLINE, NANCY         AM FUNDS GROWTH FUND OF AMERICA A 500.00         8.75 0.00         8.75 90.0         7.           06/30/2018 BURTNETTE, PATRICIA         BR TOTAL INC & REAL ESTATE FUND C 53,483.34         15.39 0.00         15.39 90.0         13.           06/30/2018 UNA CLEAVE, BLAKE         GRIFFIN INST'L ACCESS R E FUND C 53,483.34         15.39 0.00         52.7 90.0         4.           06/30/2018 BURTNETTE, PATRICIA         GRIFFIN INST'L ACCESS R E FUND C 53,251.75         15.32 0.00         52.7 90.0         4.           06/30/2018 BURTNETTE, PATRICIA         GRIFFIN INST'L ACCESS R E FUND C 53,251.75         15.32 0.00         15.32 90.0         13.           06/30/2018 BURTNETTE, PATRICIA         GRIFFIN INST'L ACCESS R E FUND C 53,251.75         15.32 0.00         15.32 90.0         13.           06/30/2018 BURTNETTE, PATRICIA         GRIFFIN INST'L ACCESS R E FUND C 53,251.75         15.32 0.00         15.39 90.0         13.           06/30/2018 BURDLETE, PATRICIA         GRIFFIN INST'L ACCESS R E FUND C 53,251.75         15.32 0.00 <t< td=""><td>07/13/2018</td><td>EGLY, KEITH</td><td>INA BOSTON COMPANY DYNAMIC LARGE CA</td><td>50.00</td><td>0.18</td><td>0.00</td><td>0.18</td><td>90.0</td><td>0.16</td></t<>	07/13/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.18	0.00	0.18	90.0	0.16
07/17/2018 KLINE, NANCY AM FUNDS CAPITAL WORLD GRTH & INC A 500.00 8.75 0.00 8.75 90.0 7. 07/16/2018 ROBERTSON, DOUGLAS AM FUNDS GROWTH FUND OF AMERICA A 200.00 3.50 0.00 3.50 90.0 3. 07/17/2018 KLINE, NANCY AM FUNDS GROWTH FUND OF AMERICA A 500.00 8.75 0.00 8.75 90.0 7. 06/30/2018 BURTNETTE, PATRICIA BR TOTAL INC & REAL ESTATE FUND C 53,483.34 15.39 0.00 15.39 90.0 13. 06/30/2018 BURTNETTE, PATRICIA BR TOTAL INC & REAL ESTATE FUND C 53,483.34 15.39 0.00 15.39 90.0 13. 06/30/2018 VAN CLEAVE, BLAKE GRIFFIN INST'L ACCESS R E FUND C 18,336.32 5.27 0.00 5.27 90.0 4. 06/30/2018 BURTNETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 53,251.75 15.32 0.00 15.32 90.0 13. 06/30/2018 BURTNETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 53,251.75 15.32 0.00 15.32 90.0 13. 06/30/2018 BURTNETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 53,251.75 15.32 0.00 15.32 90.0 13. 06/30/2018 BURTNETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 53,251.75 15.32 0.00 15.32 90.0 13. 06/30/2018 BURTNETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 53,251.75 15.32 0.00 15.32 90.0 13. 06/30/2018 BURTNETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 53,251.75 15.32 0.00 0.18 90.0 0. 0// SHERWOOD, JULIE INA 2019 ENROLLMENT PORT CLASS A 0.00 0.18 0.00 0.18 90.0 0. 0// WALLS, ELENA INA 2022 ENROLLMENT PORT CLASS A 0.00 0.67 0.00 0.67 90.0 0. 0// WALLS, THOMAS INA 2025 ENROLLMENT PORT CLA 0.00 0.77 0.00 0.77 90.0 0. 0// HEFFELFINGER, TAMMY INA 2028 ENROLLMENT PORT CLA 0.00 0.04 0.00 0.04 90.0 0. 0// HEFFELFINGER, TAMMY INA 2028 ENROLLMENT PORT CLAS A 0.00 0.04 0.00 0.01 90.0 0.0 0// LINN, ROSANNA INA 2034 ENROLLMENT PORT CLASS A 0.00 0.01 0.00 0.01 90.0 0.0 0// BEGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 7.62 0.00 7.62 90.0 6. 0// EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 7.62 0.00 7.76 90.0 6. 0// EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.62 0.00 0.45 90.0 0.0 0// EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.57 0.00 0.57 90.0 0.0		* L131-K	KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *	\$108.25	\$2.02	\$0.00	\$2.02		\$1.82
07/16/2018 ROBERTSON, DOUGLAS AM FUNDS GROWTH FUND OF AMERICA A 200.00 3.50 0.00 3.50 90.0 3. 07/17/2018 KLINE, NANCY AM FUNDS GROWTH FUND OF AMERICA A 500.00 8.75 0.00 8.75 90.0 7. 06/30/2018 BURTNETTE, PATRICIA BR TOTAL INC & REAL ESTATE FUND C 53,483.34 15.39 0.00 15.39 90.0 13. 06/30/2018 BURTNETTE, PATRICIA BR TOTAL INC & REAL ESTATE FUND C 53,483.34 15.39 0.00 15.39 90.0 13. 06/30/2018 VAN CLEAVE, BLAKE GRIFFIN INST'L ACCESS R E FUND C 18,363.32 5.27 0.00 5.27 90.0 4. 06/30/2018 BURTNETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 53,251.75 15.32 0.00 15.32 90.0 13. 06/30/2018 BURTNETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 53,251.75 15.32 0.00 15.32 90.0 13. 06/30/2018 BURTNETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 53,251.75 15.32 0.00 15.32 90.0 13. 06/30/2018 BURTNETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 53,251.75 15.32 0.00 15.32 90.0 13. 06/30/2018 BURTNETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 53,251.75 15.32 0.00 15.32 90.0 13. 06/30/2018 BURTNETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 53,251.75 15.32 0.00 15.32 90.0 15.	BROKER: L1	31-KEITH/JOE/FCCU LAYM	AN/WIEGAND/ #209						
07/17/2018 KLINE, NANCY AM FUNDS GROWTH FUND OF AMERICA A 500.00 8.75 0.00 8.75 90.0 7. 06/30/2018 BURINETTE, PATRICIA BR TOTAL INC & REAL ESTATE FUND C 53,483.34 15.39 0.00 15.39 90.0 13. 06/30/2018 BURINETTE, PATRICIA BR TOTAL INC & REAL ESTATE FUND C 53,483.34 15.39 0.00 15.39 90.0 13. 06/30/2018 VAN CLEAVE, BLAKE GRIFFIN INST'L ACCESS R F FUND C 18,336.32 5.27 0.00 5.27 90.0 4. 06/30/2018 BURINETTE, PATRICIA GRIFFIN INST'L ACCESS R F FUND C 53,251.75 15.32 0.00 15.32 90.0 13. 06/30/2018 BURINETTE, PATRICIA GRIFFIN INST'L ACCESS R F FUND C 53,251.75 15.32 0.00 15.32 90.0 13. 06/30/2018 BURINETTE, PATRICIA GRIFFIN INST'L ACCESS R F FUND C 53,251.75 15.32 0.00 5.27 90.0 4. 06/30/2018 BURINETTE, PATRICIA GRIFFIN INST'L ACCESS R F FUND C 53,251.75 15.32 0.00 15.32 90.0 13. 06/30/2018 BURINETTE, PATRICIA GRIFFIN INST'L ACCESS R F FUND C 18,336.32 5.27 0.00 5.27 90.0 4. 06/30/2018 BURINETTE, PATRICIA GRIFFIN INST'L ACCESS R F FUND C 53,251.75 15.32 0.00 15.32 90.0 13. 06/30/2018 BURINETTE, PATRICIA GRIFFIN INST'L ACCESS R F FUND C 53,251.75 15.32 0.00 15.39 90.0 13. 06/30/2018 BURINETTE, PATRICIA GRIFFIN INST'L ACCESS R F FUND C 53,251.75 15.32 0.00 15.32 90.0 13. 06/30/2018 BURINETTE, PATRICIA GRIFFIN INST'L ACCESS R F FUND C 53,251.75 15.32 0.00 0.01 80.0 0.01 80.0 0.01 80.0 0.01 80.0 0.01 80.0 0.01 80.0 0.01 80.0 0.01 80.0 0.01 80.0 0.01 80.0 0.01 80.0 0.01 80.0 0.01 80.0 0.01 80.0 0.01 80.0 0.00 0.0	07/17/2018	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	8.75	0.00	8.75	90.0	7.88
06/30/2018 BURTNETTE, PATRICIA BR TOTAL INC & REAL ESTATE FUND C 53,483.34 15.39 0.00 15.39 90.0 13. 06/30/2018 BURTNETTE, PATRICIA BR TOTAL INC & REAL ESTATE FUND C 53,483.34 15.39 0.00 15.39 90.0 13. 06/30/2018 VAN CLEAVE, BLAKE GRIFFIN INST'L ACCESS R E FUND C 18,336.32 5.27 0.00 5.27 90.0 4. 06/30/2018 BURTNETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 53,251.75 15.32 0.00 15.32 90.0 13. 06/30/2018 BURTNETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 53,251.75 15.32 0.00 15.32 90.0 13. 06/30/2018 BURTNETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 53,251.75 15.32 0.00 15.32 90.0 13. 06/30/2018 BURTNETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 53,251.75 15.32 0.00 15.32 90.0 13. 06/30/2018 BURTNETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 53,251.75 15.32 0.00 15.32 90.0 13. 06/30/2018 BURTNETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 53,251.75 15.32 0.00 15.32 90.0 13. 06/30/2018 BURTNETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 53,251.75 15.32 0.00 15.32 90.0 13. 06/30/2018 BURTNETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 53,251.75 15.32 0.00 15.32 90.0 13. 06/30/2018 BURTNETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 53,251.75 15.32 0.00 1.18 90.0 0. 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0.01 0.00 0	07/16/2018	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	200.00	3.50	0.00	3.50	90.0	3.15
06/30/2018 BURTNETTE, PATRICIA BR TOTAL INC & REAL ESTATE FUND C 53,483.34 15.39 0.00 15.39 90.0 13. 06/30/2018 VAN CLEAVE, BLAKE GRIFFIN INST'L ACCESS R E FUND C 18,336.32 5.27 0.00 5.27 90.0 4. 06/30/2018 BURTNETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 53,251.75 15.32 0.00 15.32 90.0 13.  / / SHERWOOD, JULIE INA 2019 ENROLLMENT PORT CLASS A 0.00 0.18 0.00 0.18 90.0 0.  / / JOHNSON, ERIC INA 2022 ENROLLMENT PORT CLASS A 0.00 0.67 0.00 0.67 90.0 0.  / / WALLS, ELEMA INA 2025 ENROLLMENT PORTFOLIO CLASS 0.00 1.38 0.00 1.38 90.0 1.  / / WALLS, THOMAS INA 2028 ENROLLMENT PORT CLAS 0.00 0.77 0.00 0.77 90.0 0.77 90.0 0.  / / HEFFELFINGER, TAMMY INA 2028 ENROLLMENT PORT CLAS A 0.00 0.04 0.00 0.04 90.0 0.  / / LINN, ROSANNA INA 2028 ENROLLMENT PORT CLASS A 0.00 0.01 0.00 0.01 90.0 0.  / / HABESHY, BARBARA INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 7.62 0.00 7.62 90.0 6.  / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 7.76 0.00 7.76 90.0 6.  / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.45 0.00 0.45 90.0 0.  / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.62 0.00 0.62 90.0 0.  / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.62 0.00 0.62 90.0 0.  / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.62 0.00 0.62 90.0 0.  / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.62 0.00 0.62 90.0 0.	07/17/2018	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	500.00	8.75	0.00	8.75	90.0	7.88
06/30/2018 VAN CLEAVE, BLAKE GRIFFIN INST'L ACCESS R E FUND C 18,336.32 5.27 0.00 5.27 90.0 4.06/30/2018 BURTNETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 53,251.75 15.32 0.00 15.32 90.0 13.  / / SHERWOOD, JULIE INA 2019 ENROLLMENT PORT CLASS A 0.00 0.18 0.00 0.18 90.0 0.  / / JOHNSON, ERIC INA 2022 ENROLLMENT PORT CLASS A 0.00 0.67 0.00 0.67 90.0 0.  / / WALLS, ELENA INA 2025 ENROLLMENT PORTFOLIO CLASS 0.00 1.38 0.00 1.38 90.0 1.  / / WALLS, THOMAS INA 2028 ENROLLMENT PORT CLA 0.00 0.77 0.00 0.77 90.0 0.  / / HEFFELFINGER, TAMMY INA 2028 ENROLLMENT PORT CLA 0.00 0.77 0.00 0.77 90.0 0.  / / LINN, ROSANNA INA 2034 ENROLLMENT PORT CLASS A 0.00 0.01 0.00 0.01 90.0 0.  / / HABESHY, BARBARA INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 7.62 0.00 7.62 90.0 6.  / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 7.76 0.00 7.76 90.0 6.  / / PETERSON, BRYAN INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.45 0.00 0.45 90.0 0.  / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.45 0.00 0.45 90.0 0.  / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.62 0.00 0.62 90.0 0.  / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.57 0.00 0.57 90.0 0.	06/30/2018	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	53,483.34	15.39	0.00	15.39	90.0	13.85
06/30/2018 BURTNETTE, PATRICIA GRIFFIN INST'L ACCESS R E FUND C 53,251.75 15.32 0.00 15.32 90.0 13.  / / SHERWOOD, JULIE INA 2019 ENROLLMENT PORT CLASS A 0.00 0.18 0.00 0.18 90.0 0.  / / JOHNSON, ERIC INA 2022 ENROLLMENT PORT CLASS A 0.00 0.67 0.00 0.67 90.0 0.  / / WALLS, ELENA INA 2025 ENROLLMENT PORT CLASS 0.00 1.38 0.00 1.38 90.0 1.  / / WALLS, THOMAS INA 2028 ENROLLMENT PORT CL A 0.00 0.77 0.00 0.77 90.0 0.  / / HEFFELFINGER, TAMMY INA 2028 ENROLLMENT PORT CL A 0.00 0.04 0.00 0.04 90.0 0.0  / / LINN, ROSANNA INA 2034 ENROLLMENT PORT CLASS A 0.00 0.01 0.00 0.01 90.0 0.0  / / HABESHY, BARBARA INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 1.00 0.00 1.00 90.0 0.0  / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 7.62 0.00 7.62 90.0 6.  / / PETERSON, BRYAN INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.45 0.00 0.45 90.0 0.0  / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.45 0.00 0.45 90.0 0.0  / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.45 0.00 0.45 90.0 0.0  / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.62 0.00 0.45 90.0 0.0  / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.62 0.00 0.62 90.0 0.0  / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.62 0.00 0.62 90.0 0.0  / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.57 0.00 0.71 90.0 0.0  / / SHERWOOD, JULIE INA COLLEGE PORT CLASS A 0.00 0.57 0.00 0.57 90.0 0.0	06/30/2018	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	53,483.34	15.39	0.00	15.39	90.0	13.85
SHERWOOD, JULIE	06/30/2018	VAN CLEAVE, BLAKE	GRIFFIN INST'L ACCESS R E FUND C	18,336.32	5.27	0.00	5.27	90.0	4.74
// JOHNSON, ERIC INA 2022 ENROLLMENT FORT CLASS A 0.00 0.67 0.00 0.67 90.0 0. // WALLS, ELENA INA 2025 ENROLLMENT PORTFOLIO CLASS 0.00 1.38 0.00 1.38 90.0 1. // WALLS, THOMAS INA 2028 ENROLLMENT PORT CL A 0.00 0.77 0.00 0.77 90.0 0. // HEFFELFINGER, TAMMY INA 2028 ENROLLMENT PORT CL A 0.00 0.04 0.00 0.04 90.0 0. // LINN, ROSANNA INA 2034 ENROLLMENT PORT CLASS A 0.00 0.01 0.00 0.01 90.0 0. // HABESHY, BARBARA INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 1.00 0.00 1.00 90.0 0. // EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 7.62 0.00 7.62 90.0 6. // EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 7.76 0.00 7.76 90.0 6. // PETERSON, BRYAN INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.45 0.00 0.45 90.0 0. // EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.45 0.00 0.45 90.0 0. // EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.62 0.00 0.62 90.0 0. // EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.62 0.00 0.62 90.0 0. // EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.71 0.00 0.71 90.0 0. // EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.71 0.00 0.71 90.0 0. // SHERWOOD, JULIE INA COLLEGE PORT CLASS A 0.00 0.57 0.00 0.57 90.0 0.	06/30/2018	BURTNETTE, PATRICIA	GRIFFIN INST'L ACCESS R E FUND C	53,251.75	15.32	0.00	15.32	90.0	13.79
WALLS, ELENA	/ /	SHERWOOD, JULIE	INA 2019 ENROLLMENT PORT CLASS A	0.00	0.18	0.00	0.18	90.0	0.16
/ / WALLS, THOMAS INA 2028 ENROLLMENT PORT CL A 0.00 0.77 0.00 0.77 90.0 0. / / HEFFELFINGER, TAMMY INA 2028 ENROLLMENT PORT CL A 0.00 0.04 0.00 0.04 90.0 0. / / LINN, ROSANNA INA 2034 ENROLLMENT PORT CLASS A 0.00 0.01 0.00 0.01 90.0 0. / / HABESHY, BARBARA INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 1.00 0.00 1.00 90.0 0. / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 7.62 0.00 7.62 90.0 6. / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 7.76 0.00 7.76 90.0 6. / / PETERSON, BRYAN INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.45 0.00 0.45 90.0 0. / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.62 0.00 0.62 90.0 0. / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.62 0.00 0.62 90.0 0. / / SHERWOOD, JULIE INA COLLEGE PORT CLASS A 0.00 0.57 0.00 0.57 90.0 0.	/ /	JOHNSON, ERIC	INA 2022 ENROLLMENT PORT CLASS A	0.00	0.67	0.00	0.67	90.0	0.60
/ / HEFFELFINGER, TAMMY INA 2028 ENROLLMENT PORT CL A 0.00 0.04 0.00 0.04 90.0 0. / / LINN, ROSANNA INA 2034 ENROLLMENT PORT CLASS A 0.00 0.01 0.00 0.01 90.0 0. / / HABESHY, BARBARA INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 1.00 0.00 1.00 90.0 0. / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 7.62 0.00 7.62 90.0 6. / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 7.76 0.00 7.76 90.0 6. / / PETERSON, BRYAN INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.45 0.00 0.45 90.0 0. / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.62 0.00 0.62 90.0 0. / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.62 0.00 0.62 90.0 0. / / SHERWOOD, JULIE INA COLLEGE PORT CLASS A 0.00 0.57 0.00 0.57 90.0 0.	/ /	WALLS, ELENA	INA 2025 ENROLLMENT PORTFOLIO CLASS	0.00	1.38	0.00	1.38	90.0	1.24
/ / LINN, ROSANNA INA 2034 ENROLLMENT PORT CLASS A 0.00 0.01 0.00 0.01 90.0 0. / / HABESHY, BARBARA INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 1.00 0.00 1.00 90.0 0. / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 7.62 0.00 7.62 90.0 6. / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 7.76 0.00 7.76 90.0 6. / / PETERSON, BRYAN INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.45 0.00 0.45 90.0 0. / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.62 0.00 0.62 90.0 0. / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.62 0.00 0.62 90.0 0. / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.71 0.00 0.71 90.0 0. / / SHERWOOD, JULIE INA COLLEGE PORT CLASS A 0.00 0.57 0.00 0.57 90.0 0.	/ /	WALLS, THOMAS	INA 2028 ENROLLMENT PORT CL A	0.00	0.77	0.00	0.77	90.0	0.69
/ / HABESHY, BARBARA INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 1.00 0.00 1.00 90.0 0.  / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 7.62 0.00 7.62 90.0 6.  / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 7.76 0.00 7.76 90.0 6.  / / PETERSON, BRYAN INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.45 0.00 0.45 90.0 0.  / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.62 0.00 0.62 90.0 0.  / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.71 0.00 0.71 90.0 0.  / / SHERWOOD, JULIE INA COLLEGE PORT CLASS A 0.00 0.57 0.00 0.57 90.0 0.	/ /	HEFFELFINGER, TAMMY	INA 2028 ENROLLMENT PORT CL A	0.00	0.04	0.00	0.04	90.0	0.04
/ / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 7.62 0.00 7.62 90.0 6.  / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 7.76 0.00 7.76 90.0 6.  / / PETERSON, BRYAN INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.45 0.00 0.45 90.0 0.  / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.62 0.00 0.62 90.0 0.  / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.71 0.00 0.71 90.0 0.  / / SHERWOOD, JULIE INA COLLEGE PORT CLASS A 0.00 0.57 0.00 0.57 90.0 0.	/ /	LINN, ROSANNA	INA 2034 ENROLLMENT PORT CLASS A	0.00	0.01	0.00	0.01	90.0	0.01
/ / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 7.76 0.00 7.76 90.0 6.  / / PETERSON, BRYAN INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.45 0.00 0.45 90.0 0.  / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.62 0.00 0.62 90.0 0.  / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.71 0.00 0.71 90.0 0.  / / SHERWOOD, JULIE INA COLLEGE PORT CLASS A 0.00 0.57 0.00 0.57 90.0 0.	/ /	HABESHY, BARBARA	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	1.00	0.00	1.00	90.0	0.90
/ / PETERSON, BRYAN INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.45 0.00 0.45 90.0 0.  / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.62 0.00 0.62 90.0 0.  / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.71 0.00 0.71 90.0 0.  / / SHERWOOD, JULIE INA COLLEGE PORT CLASS A 0.00 0.57 0.00 0.57 90.0 0.	/ /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	7.62	0.00	7.62	90.0	6.86
/ / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.62 0.00 0.62 90.0 0. / / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.71 0.00 0.71 90.0 0. / / SHERWOOD, JULIE INA COLLEGE PORT CLASS A 0.00 0.57 0.00 0.57 90.0 0.	/ /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	7.76	0.00	7.76	90.0	6.98
/ / EGLY, KEITH INA BOSTON COMPANY DYNAMIC LARGE CA 0.00 0.71 0.00 0.71 90.0 0. / / SHERWOOD, JULIE INA COLLEGE PORT CLASS A 0.00 0.57 0.00 0.57 90.0 0.	/ /	PETERSON, BRYAN	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.45	0.00	0.45	90.0	0.41
/ / SHERWOOD, JULIE INA COLLEGE PORT CLASS A 0.00 0.57 0.00 0.57 90.0 0.	/ /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.62	0.00	0.62	90.0	0.56
	/ /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.71	0.00	0.71	90.0	0.64
/ / CRAWFORD, JOHN INA COLLEGE PORT CLASS A 0.00 0.01 0.00 0.01 90.0 0.	/ /	SHERWOOD, JULIE	INA COLLEGE PORT CLASS A	0.00	0.57	0.00	0.57	90.0	0.51
	/ /	CRAWFORD, JOHN	INA COLLEGE PORT CLASS A	0.00	0.01	0.00	0.01	90.0	0.01

NOTES: \* - Indicates adjustment is not included in 1099 Earnings \*\* - Indicates adjustment is not included in Totals or Commissions

<sup>^</sup>Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
SPLIT TRANSACTIONS								
DDOWED - 11	21 KETEU / TOE / EGGU T NOVN /							
BROKER: LI	31-KEITH/JOE/FCCU LAYMAN/ SHERWOOD, JULIE	WIEGAND/ #209  INA COLLEGE PORT CLASS A	0.00	0.24	0.00	0.24	90.0	0.22
/ /	BAILEY, LAURIE	INA COLLEGE PORT CLASS A	0.00	1.72	0.00	1.72	90.0	1.55
, ,	BAILEY, LAURIE	INA COLLEGE PORT CLASS A	0.00	1.12	0.00	1.12	90.0	1.01
, ,	SITES, EDWARD	INA COLLEGE PORT CLASS A	0.00	1.30	0.00	1.30	90.0	1.17
, ,	HAMM, GAIL	INA COLLEGE PORT CLASS A	0.00	1.05	0.00	1.05	90.0	0.95
, ,	LINN, JASON	INA EMERGINING MARKETS EQUITY INDEX	0.00	0.04	0.00	0.04	90.0	0.04
/ /	LINN, JASON	INA INTER EQTY INDEX PORTF A	0.00	0.14	0.00	0.14	90.0	0.13
/ /	LINN, JASON	INA INTER EQTY INDEX PORTF A	0.00	0.19	0.00	0.19	90.0	0.17
/ /	LINN, JASON	INA LG CAP INDEX PORT CLASS A	0.00	1.21	0.00	1.21	90.0	1.09
/ /	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	0.00	0.72	0.00	0.72	90.0	0.65
/ /	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	0.00	0.72	0.00	0.72	90.0	0.65
07/13/2018	LINN, JASON	INA LG CAP INDEX PORT CLASS A	38.50	0.64	0.00	0.64	90.0	0.58
07/13/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.42	0.00	0.42	90.0	0.38
07/13/2018	HINTON, SCOTT	INA LG CAP INDEX PORT CLASS A	25.00	0.42	0.00	0.42	90.0	0.38
/ /	LINN, JASON	INA PIMCO TOT RET PORT CLA A	0.00	0.21	0.00	0.21	90.0	0.19
07/13/2018	LINN, JASON	INA PIMCO TOT RET PORT CLA A	8.25	0.09	0.00	0.09	90.0	0.08
/ /	HABESHY, BARBARA	INA PIMCO TOT RET PORT CLA C	0.00	1.91	0.00	1.91	90.0	1.72
/ /	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	0.00	1.21	0.00	1.21	90.0	1.09
/ /	PETERSON, BRYAN	INA T ROWE PRICE LG CAP GROWTH PO A	0.00	0.54	0.00	0.54	90.0	0.49
/ /	LINN, ROSANNA	INA TIPS INDEX PORT CLASS A	0.00	0.28	0.00	0.28	90.0	0.25
/ /	HABESHY, BARBARA	INA TIPS INDEX PORT CLASS C	0.00	1.90	0.00	1.90	90.0	1.71
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.56	0.00	0.56	90.0	0.50
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND A	0.00	0.77	0.00	0.77	90.0	0.69
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND FUND C	0.00	0.96	0.00	0.96	90.0	0.86
/ /	LINN, JASON	OPPENHEIMER CORE BOND FUND C	0.00	0.51	0.00	0.51	90.0	0.46
/ /	LINN, JASON	OPPENHEIMER CORPORATE BOND FUND C	0.00	0.52	0.00	0.52	90.0	0.47
/ /	GUNZENHAUSER, MARK	OPPENHEIMER EQUITY INCOME A	0.00	0.35	0.00	0.35	90.0	0.32
/ /	DEWART, BECKY	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.12	0.00	0.12	90.0	0.11
/ /	DEWART, BECKY	OPPENHEIMER INTL BOND A	0.00	0.11	0.00	0.11	90.0	0.10
/ /	DEWART, BECKY	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	0.11	0.00	0.11	90.0	0.10
/ /	SALGUERO, ANNA	OPPENHEIMER MAIN STREET A	0.00	0.18	0.00	0.18	90.0	0.16
/ /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.98	0.00	0.98	90.0	0.88
/ /	DEWART, BECKY	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.27	0.00	0.27	90.0	0.24

<sup>^</sup>Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		SPLIT TRANS	ACTIONS					
BROKER:	L131-KEITH/JOE/FCCU L	AYMAN/WIEGAND/ #209						
/ /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE C	0.00	2.15	0.00	2.15	90.0	1.94
/ /	LINN, JASON	OPPENHEIMER SENIOR FLOATING RATE C	0.00	4.54	0.00	4.54	90.0	4.09
/ /	SALGUERO, ANNA	OPPENHEIMER US GOVT TRUST A	0.00	0.13	0.00	0.13	90.0	0.12
/ /	DEWART, BECKY	OPPENHEIMER US GOVT TRUST A	0.00	0.19	0.00	0.19	90.0	0.17
/ /	GUNZENHAUSER, MARK	OPPENHEIMER US GOVT TRUST C	0.00	1.79	0.00	1.79	90.0	1.61
	* L1	31-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *	\$179,851.50	\$124.47	\$0.00	\$124.47		\$112.07
BROKER:	L135-KEITH/JOE LAYMAN	/WIEGAND #267						
/ /	MARKS, RICHARD	INA 2031 ENROLLMENT PORT CLASS A	0.00	0.41	0.00	0.41	90.0	0.37
		* L135-KEITH/JOE LAYMAN/WIEGAND SUBTOTAL *	\$0.00	\$0.41	\$0.00	\$0.41		\$0.37
		*** SPLIT TRANSACTIONS TOTAL ***	\$179,959.75	\$126.90	\$0.00	\$126.90		\$114.26
					Е	BROKER COMMI	SSION	TOTALS
	Broker Transactions					\$246.64		
					Split Transactions			\$114.26
					Override Transactions			\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
					Prior I	Period Balance		\$-525.77
					FI	NRA Assessment		\$0.00
					SI	IPC Assessment		\$0.00
Please Retain for Your Records THERE WILL BE NO CHECK THIS PERIOD					F	Balance Forward	1	\$-164.87
					Year	r-to-date Gross	5	\$3,021.49
					Year-to	o-date Earnings	5	\$2,692.30