

COMMISSION STATEMENT

June 30, 2018

JAY TOMLINSON
474 GREGORY AVENUEBROKER #: I50
BRANCH: HOME OFFICE

WEEHAWKEN, NJ 07086

COMMISSION STATEMENT for JAY TOMLINSON

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

06/20/2018	QGV001928	FEDERATED KAUFMANN	STARBUCKS CORP	S	-3,447,825.18	2,639.64	10.00	2,629.64	70.0	1,837.75
06/20/2018	QGV001936	FEDERATED KAUFMANN	STARBUCKS CORP	S	-89,394.45	68.44	10.00	58.44	70.0	37.91
06/20/2018	QGV001977	KAUFMANN LARGE CAP,	STARBUCKS CORP	S	-3,481,576.68	2,665.48	10.00	2,655.48	70.0	1,855.84
06/20/2018	QGV004229	INTERACTIVE BROKERS	CATASYS INC COM PAR\$.0001 2017	S	-98,873.02	246.80	10.00	236.80	70.0	162.76
06/21/2018	QGV004229	INTERACTIVE BROKERS	CATASYS INC COM PAR\$.0001 2017	S	-118,339.50	300.00	10.00	290.00	70.0	200.00
06/20/2018	QGV005820	FEDERATED INVESTORS	STARBUCKS CORP	S	-112,905.55	86.44	10.00	76.44	70.0	50.51
06/05/2018	QGW001778	INTERACTIVE BROKERS	BRITISH POUND STERLING	B	-371,000.00	371.00	10.00	361.00	70.0	249.70
06/21/2018	QGW001778	INTERACTIVE BROKERS	ICO THERAPEUTICS INC COM ISIN#CA451 S	S	-40,800.00	990.00	10.00	980.00	70.0	683.00
06/13/2018	QGW029704	ROJAS, RAFAEL	CUE BIOPHARMA INC COM	B	7,026.00	50.00	10.00	40.00	70.0	25.00
* STOCKS SUBTOTAL *					<u>\$-7,753,688.38</u>	<u>\$7,417.80</u>	<u>\$90.00</u>	<u>\$7,327.80</u>		<u>\$5,102.47</u>
*** BROKER TRANSACTIONS TOTAL ***					<u>\$-7,753,688.38</u>	<u>\$7,417.80</u>	<u>\$90.00</u>	<u>\$7,327.80</u>		<u>\$5,102.47</u>

ADJUSTMENTS

06/30/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
06/30/2018	OTHER: OTHER	0.00	0.00	0.00	0.00	-154.44
06/30/2018	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-8.00
06/30/2018	OTHER: REAL TICK	0.00	0.00	0.00	0.00	-425.00
*** ADJUSTMENTS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-607.44</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$5,102.47
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-607.44
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-5,919.06
FINRA Assessment	\$-9.27
SIPC Assessment	\$-18.54

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-1,451.84
Year-to-date Earnings	\$5,589.76