



COMMISSION STATEMENT

April 15, 2017

BRION NORBY
4905 Prairie Lane

BROKER #: N121
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
04/12/2017	NORBY, CHERISE	SEI	136,338.89	340.85	0.00	340.85	90.0	306.77
04/12/2017	NORBY, CHERISE	SEI	4,490.09	11.23	0.00	11.23	90.0	10.11
04/12/2017	NORBY, BRION	SEI	7,170.98	17.93	0.00	17.93	90.0	16.14
* RIA SUBTOTAL *			\$147,999.96	\$370.01	\$0.00	\$370.01		\$333.02
*** BROKER TRANSACTIONS TOTAL ***			\$147,999.96	\$370.01	\$0.00	\$370.01		\$333.02

SPLIT TRANSACTIONS

BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332

03/30/2017	HELLER, VICKIE	AMG GW&K ENHANCED CORE BOND FUND CL	-5,100.98	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	AMG GW&K ENHANCED CORE BOND FUND CL	-2,624.91	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	CAMBIAR INTERNATIONAL EQUITY FUND I	-11,345.54	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	CAMBIAR INTERNATIONAL EQUITY FUND I	-5,837.93	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	CLEARBRIDGE MID CAP	-3,825.11	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	CLEARBRIDGE MID CAP	-1,968.22	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	CREDIT SUISSE COMMODITY RETURN STRA	-2,331.62	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	CREDIT SUISSE COMMODITY RETURN STRA	-1,199.60	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	HORIZON ACTIVE ASSET ALLOCATION FUN	-8,536.83	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	HORIZON ACTIVE ASSET ALLOCATION FUN	-4,392.95	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	ISHARES TR RUSSELL 2000 INDEX FD	-2,332.09	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	ISHARES TR RUSSELL 2000 INDEX FD	-1,097.46	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, GREG	ISHARES TR RUSSELL 2000 INDEX FD	-12,172.53	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, GREG	ISHARES TR S&P 500 INDEX FD	-38,252.55	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	JH DISCIPLINED VAL CL 1	-5,303.91	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	JH DISCIPLINED VAL CL 1	-2,729.08	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	METROPOLITAN WEST TOTAL RETURN BOND	-5,094.00	0.00	0.00	0.00	90.0	0.00

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u>								
03/30/2017	HELLER, VICKIE	METROPOLITAN WEST TOTAL RETURN BOND	-2,621.34	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	MFS INTL NEW DISCOVERY FUND I	-2,309.62	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	MFS INTL NEW DISCOVERY FUND I	-1,188.45	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	NAVIGATOR TACTICAL FIXED INCOME FUN	-5,446.49	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	NAVIGATOR TACTICAL FIXED INCOME FUN	-2,802.67	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	NORTHERN MULTI- MANAGER EMERGING MA	-1,570.41	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	NORTHERN MULTI- MANAGER EMERGING MA	-808.06	0.00	0.00	0.00	90.0	0.00
04/11/2017	HELLER, GREG	PERSHING	159,734.74	248.90	0.00	248.90	90.0	224.01
04/11/2017	HELLER, GREG	PERSHING	31,910.68	49.73	0.00	49.73	90.0	44.76
04/11/2017	HICKEL, TONY	PERSHING	121,632.95	219.86	0.00	219.86	90.0	197.87
04/11/2017	WEBER, DANIEL	PERSHING	50,378.53	78.50	0.00	78.50	90.0	70.65
04/11/2017	WEBER, TICINIE	PERSHING	19,806.32	30.87	0.00	30.87	90.0	27.78
04/11/2017	WEBER, DANIEL	PERSHING	10,527.48	16.41	0.00	16.41	90.0	14.77
04/11/2017	LIESENER, MARTY	PERSHING	30,457.38	47.46	0.00	47.46	90.0	42.71
04/11/2017	LIESENER, MARTY	PERSHING	11,798.41	18.39	0.00	18.39	90.0	16.55
04/11/2017	MILLER, MELINDA	PERSHING	2,607.38	4.07	0.00	4.07	90.0	3.66
04/11/2017	MILLER, MELINDA	PERSHING	28,434.15	44.31	0.00	44.31	90.0	39.88
04/11/2017	MILLER, CHARLES	PERSHING	40,587.69	63.25	0.00	63.25	90.0	56.93
04/11/2017	MILLER, CHARLES	PERSHING	29,759.63	46.37	0.00	46.37	90.0	41.73
04/11/2017	BROE, CRAIG	PERSHING	110,513.17	172.21	0.00	172.21	90.0	154.99
04/11/2017	HICKEL, TONY	PERSHING	4,342.01	7.85	0.00	7.85	90.0	7.07
03/30/2017	HELLER, GREG	POWERSHARES DB COMMODITY INDEX TRAC	-7,014.92	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, GREG	POWERSHARES EXCHANGE-TRADED FD TR I	-4,925.57	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	RESEARCH MARKET NEUTRAL FUND-INST	-2,928.32	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	RESEARCH MARKET NEUTRAL FUND-INST	-1,506.84	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	RIDGEWORTH SEIX FLOATING RATE HIGH	-2,711.95	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	RIDGEWORTH SEIX FLOATING RATE HIGH	-1,393.99	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-5,379.28	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	RIVERPARK/WEDGEWOOD FUND INSTITUTIO	-2,767.84	0.00	0.00	0.00	90.0	0.00
04/12/2017	AAFEDT, CYNTHIA	SEI	421,373.04	184.35	0.00	184.35	90.0	165.92
04/12/2017	BENSON, JEFFREY	SEI	129,766.29	218.98	0.00	218.98	90.0	197.08
04/12/2017	HARMEL, ERIC	SEI	101.31	0.04	0.00	0.04	90.0	0.04
04/12/2017	HARMEL, ERIC	SEI	33,146.18	55.94	0.00	55.94	90.0	50.35

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u>								
04/12/2017	KRINGEN, STUART	SEI	324,683.37	121.76	0.00	121.76	90.0	109.58
04/12/2017	LIESENER, MARTY	SEI	55,317.41	93.35	0.00	93.35	90.0	84.02
04/12/2017	WEBER, DANIEL	SEI	19,061.81	7.15	0.00	7.15	90.0	6.44
04/12/2017	BENSON, JEFFREY	SEI	14,743.77	23.04	0.00	23.04	90.0	20.74
04/12/2017	HUGHES, MITCH	SEI	9,941.34	16.78	0.00	16.78	90.0	15.10
04/12/2017	HEEN, LINDA	SEI	36,334.75	15.43	0.00	15.43	90.0	13.89
03/30/2017	HELLER, GREG	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-16,211.10	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, GREG	SPDR SER TR BARCLAYS HIGH YIELD BD	-13,985.97	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	VANGUARD CHARLOTTE FDS TOTAL INTL B	-1,570.99	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	VANGUARD CHARLOTTE FDS TOTAL INTL B	-812.58	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	VANGUARD INDEX FDS VANGUARD REIT ET	-1,312.67	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, VICKIE	VANGUARD INDEX FDS VANGUARD REIT ET	-656.34	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, GREG	VANGUARD INDEX FDS VANGUARD REIT ET	-4,993.58	0.00	0.00	0.00	90.0	0.00
03/30/2017	HELLER, GREG	VANGUARD INTL EQUITY INDEX FD INC F	-96,474.96	0.00	0.00	0.00	90.0	0.00
* BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL *			\$1,401,420.54	\$1,785.00	\$0.00	\$1,785.00		\$1,606.52
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAI-LEE- #333</u>								
03/15/2017	ALEXANDER TRUST, DAVID &	AB HIGH INCOME MUNICIPAL AD	100,000.00	0.00	2.53	-2.53	90.0	-2.28
03/10/2017	SINNESS, STEVEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.01	0.00	1.01	90.0	0.91
03/10/2017	SINNESS, STEVEN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.16	0.00	0.16	90.0	0.14
03/10/2017	SINNESS, STEVEN	AM FUNDS ICA A	0.00	1.18	0.00	1.18	90.0	1.06
03/10/2017	SINNESS, STEVEN	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.54	0.00	1.54	90.0	1.39
03/10/2017	SINNESS, STEVEN	AM FUNDS NEW WORLD A	0.00	0.40	0.00	0.40	90.0	0.36
03/10/2017	SINNESS, STEVEN	AM FUNDS SMALLCAP WORLD A	0.00	0.20	0.00	0.20	90.0	0.18
03/16/2017	ALEXANDER TRUST, DAVID &	BLACKROCK MUN BD FD INC	10,000.00	0.00	0.00	0.00	90.0	0.00
03/10/2017	KALIL, DANIEL	BLACKROCK STRATEGIC INCOME OPPT POR	0.00	0.01	0.00	0.01	90.0	0.01
03/10/2017	KALIL, DANIEL	BLACKROCK STRATEGIC INCOME OPPT POR	0.00	0.01	0.00	0.01	90.0	0.01
03/10/2017	KALIL, DANIEL	BLACKROCK STRATEGIC INCOME OPPT POR	0.00	0.01	0.00	0.01	90.0	0.01
03/10/2017	KALIL, DANIEL	BLACKROCK STRATEGIC INCOME OPPT POR	0.00	0.09	0.00	0.09	90.0	0.08
03/10/2017	KALIL, DANIEL	BLACKROCK STRATEGIC INCOME OPPT POR	0.00	0.01	0.00	0.01	90.0	0.01
03/10/2017	MORRIS, SANDRA PACKER	COLUMBIA DIVIDEND OPP A	0.00	0.01	0.00	0.01	90.0	0.01
03/10/2017	KALIL, DANIEL	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.00	0.00	0.00	90.0	0.00
03/10/2017	KALIL, DANIEL	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.00	0.00	0.00	90.0	0.00
03/10/2017	KALIL, DANIEL	COLUMBIA INCOME OPPORTUNITIES FUND	0.00	0.05	0.00	0.05	90.0	0.05

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<u>SPLIT TRANSACTIONS</u>								
BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333								
03/10/2017	MORRIS, SANDRA PACKER	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	0.02	0.00	0.02	90.0	0.02
03/10/2017	MORRIS, PATRICK	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	0.00	0.00	0.00	90.0	0.00
03/10/2017	MORRIS, SANDRA PACKER	DELAWARE VALUE A	0.00	0.05	0.00	0.05	90.0	0.05
03/10/2017	MORRIS, PATRICK	DELAWARE VALUE A	0.00	0.00	0.00	0.00	90.0	0.00
03/10/2017	KALIL, DANIEL	DOUBLELINE TOTAL RETURN BOND FUND C	0.00	0.19	0.00	0.19	90.0	0.17
03/10/2017	MORRIS, SANDRA PACKER	DOUBLELINE TOTAL RETURN BOND FUND C	0.00	0.33	0.00	0.33	90.0	0.30
03/10/2017	MORRIS, PATRICK	DOUBLELINE TOTAL RETURN BOND FUND C	0.00	0.01	0.00	0.01	90.0	0.01
03/15/2017	SINNESS, STEVEN	ISHARES CORE S&P SMALL CAP ETF	6,218.10	0.00	2.42	-2.42	90.0	-2.18
03/15/2017	SINNESS, STEVEN	ISHARES S&P 500 VALUE ETF	21,747.92	0.00	2.42	-2.42	90.0	-2.18
03/15/2017	SINNESS, STEVEN	ISHARES TIPS BOND ETF	6,235.35	0.00	2.42	-2.42	90.0	-2.18
03/15/2017	SINNESS, STEVEN	ISHARES TR BARCLAYS 1-3 YR TREAS BD	6,157.55	0.00	2.42	-2.42	90.0	-2.18
03/15/2017	SINNESS, STEVEN	ISHARES TR BARCLAYS INTER CR BD FD	10,820.10	0.00	2.42	-2.42	90.0	-2.18
03/15/2017	SINNESS, STEVEN	ISHARES TR CORE S&P MID-CAP ETF	12,408.48	0.00	2.42	-2.42	90.0	-2.18
03/15/2017	SINNESS, STEVEN	ISHARES TR IBOX USD INVT GRADE COR	7,687.02	0.00	2.42	-2.42	90.0	-2.18
03/15/2017	SINNESS, STEVEN	ISHARES TR S&P 500 INDEX FD	38,877.12	0.00	2.42	-2.42	90.0	-2.18
03/10/2017	KALIL, DANIEL	JOHN HANCOCK GLOBAL ABSOLUTE RETURN	0.00	0.00	0.00	0.00	90.0	0.00
03/10/2017	KALIL, DANIEL	JOHN HANCOCK GLOBAL ABSOLUTE RETURN	0.00	0.03	0.00	0.03	90.0	0.03
03/10/2017	KALIL, DANIEL	JOHN HANCOCK GLOBAL ABSOLUTE RETURN	0.00	0.00	0.00	0.00	90.0	0.00
03/10/2017	KALIL, DANIEL	JP MORGAN LARGE-CAP GROWTH A	0.00	0.06	0.00	0.06	90.0	0.05
03/10/2017	KALIL, DANIEL	JP MORGAN LARGE-CAP GROWTH A	0.00	0.00	0.00	0.00	90.0	0.00
03/10/2017	KALIL, DANIEL	JP MORGAN LARGE-CAP GROWTH A	0.00	0.01	0.00	0.01	90.0	0.01
03/10/2017	KALIL, DANIEL	JP MORGAN LARGE-CAP GROWTH A	0.00	0.08	0.00	0.08	90.0	0.07
03/10/2017	KALIL, DANIEL	JP MORGAN LARGE-CAP GROWTH A	0.00	0.01	0.00	0.01	90.0	0.01
03/10/2017	MORRIS, SANDRA PACKER	JP MORGAN STRATEGIC INCOME OPPORTUN	0.00	0.00	0.00	0.00	90.0	0.00
03/10/2017	MORRIS, SANDRA PACKER	LEGG MASON BW ABSOLUTE RETURN OPP F	0.00	0.00	0.00	0.00	90.0	0.00
03/10/2017	DONAI, RUTH	METROPOLITAN WEST TOTAL RETURN BOND	0.00	0.18	0.00	0.18	90.0	0.16
03/10/2017	KALIL, DANIEL	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.00	0.00	0.00	90.0	0.00
03/10/2017	KALIL, DANIEL	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.00	0.00	0.00	90.0	0.00
03/10/2017	KALIL, DANIEL	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.01	0.00	0.01	90.0	0.01
03/10/2017	KALIL, DANIEL	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.09	0.00	0.09	90.0	0.08
03/10/2017	KALIL, DANIEL	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.01	0.00	0.01	90.0	0.01
03/10/2017	KALIL, DANIEL	MFS VALUE A	0.00	0.01	0.00	0.01	90.0	0.01
03/10/2017	KALIL, DANIEL	MFS VALUE A	0.00	0.02	0.00	0.02	90.0	0.02

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<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u>								
03/10/2017	KALIL, DANIEL	MFS VALUE A	0.00	0.03	0.00	0.03	90.0	0.03
03/10/2017	KALIL, DANIEL	MFS VALUE A	0.00	0.10	0.00	0.10	90.0	0.09
03/10/2017	KALIL, DANIEL	MFS VALUE A	0.00	0.03	0.00	0.03	90.0	0.03
03/10/2017	KALIL, DANIEL	OPPENHEIMER INTL GROWTH A	0.00	0.01	0.00	0.01	90.0	0.01
03/10/2017	KALIL, DANIEL	OPPENHEIMER INTL GROWTH A	0.00	0.01	0.00	0.01	90.0	0.01
03/10/2017	KALIL, DANIEL	OPPENHEIMER INTL GROWTH A	0.00	0.01	0.00	0.01	90.0	0.01
03/10/2017	KALIL, DANIEL	OPPENHEIMER INTL GROWTH A	0.00	0.10	0.00	0.10	90.0	0.09
03/10/2017	KALIL, DANIEL	OPPENHEIMER INTL GROWTH A	0.00	0.01	0.00	0.01	90.0	0.01
03/15/2017	SINNESS, STEVEN	POWERSHARES GLOBAL EXCHANGE TRADED	4,654.86	0.00	2.42	-2.42	90.0	-2.18
03/10/2017	KALIL, DANIEL	PRUDENTIAL JENNISON MID CAP GROWTH	0.00	0.00	0.00	0.00	90.0	0.00
03/10/2017	KALIL, DANIEL	PRUDENTIAL JENNISON MID CAP GROWTH	0.00	0.00	0.00	0.00	90.0	0.00
03/10/2017	KALIL, DANIEL	PRUDENTIAL JENNISON MID CAP GROWTH	0.00	0.01	0.00	0.01	90.0	0.01
03/10/2017	KALIL, DANIEL	PRUDENTIAL JENNISON MID CAP GROWTH	0.00	0.06	0.00	0.06	90.0	0.05
03/10/2017	KALIL, DANIEL	PRUDENTIAL JENNISON MID CAP GROWTH	0.00	0.01	0.00	0.01	90.0	0.01
03/15/2017	ALEXANDER TRUST, DAVID &	PUTNAM TAX EXEMPT INCOME-Y	69,481.09	0.00	0.00	0.00	90.0	0.00
04/01/2017	DONAI, RUTH	RBC	0.00	-0.98	0.00	-0.98	90.0	-0.88
04/01/2017	HATLESTAD, PATRICK	RBC	0.00	-1.78	0.00	-1.78	90.0	-1.60
04/01/2017	DONAI, DONALD	RBC	0.00	-2.44	0.00	-2.44	90.0	-2.20
04/01/2017	DONAI, DONALD	RBC	0.00	-0.72	0.00	-0.72	90.0	-0.65
04/01/2017	POWELL, WILLIAM	RBC	0.00	-0.55	0.00	-0.55	90.0	-0.50
04/01/2017	KALIL, DANIEL	RBC	0.00	-1.73	0.00	-1.73	90.0	-1.56
04/01/2017	KALIL, DANIEL	RBC	0.00	-2.59	0.00	-2.59	90.0	-2.33
04/01/2017	KALIL, DANIEL	RBC	0.00	-2.06	0.00	-2.06	90.0	-1.85
04/01/2017	KALIL, DANIEL	RBC	0.00	-3.58	0.00	-3.58	90.0	-3.22
04/01/2017	KALIL, DANIEL	RBC	0.00	-4.20	0.00	-4.20	90.0	-3.78
04/01/2017	KALIL, DANIEL	RBC	0.00	-2.92	0.00	-2.92	90.0	-2.63
04/01/2017	MORRIS, PATRICK	RBC	0.00	-7.07	0.00	-7.07	90.0	-6.36
04/01/2017	MORRIS, SANDRA PACKER	RBC	0.00	-0.22	0.00	-0.22	90.0	-0.20
04/01/2017	VESTAL, KATHY	RBC	0.00	-0.69	0.00	-0.69	90.0	-0.62
04/01/2017	SINNESS, STEVEN	RBC	0.00	-10.87	0.00	-10.87	90.0	-9.78
04/12/2017	KALIL, DANIEL	RBC	61,631.83	16.90	0.00	16.90	90.0	15.21
04/12/2017	KALIL, DANIEL	RBC	120,219.75	32.97	0.00	32.97	90.0	29.67
04/12/2017	KALIL, DANIEL	RBC	65,097.70	17.85	0.00	17.85	90.0	16.07

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333								
04/12/2017	KALIL, DANIEL	RBC	100,487.17	27.56	0.00	27.56	90.0	24.80
04/12/2017	KALIL, DANIEL	RBC	353,245.04	96.88	0.00	96.88	90.0	87.19
04/12/2017	KALIL, DANIEL	RBC	99,949.99	27.41	0.00	27.41	90.0	24.67
04/12/2017	SCHWAN, BRIAN	RBC	188,795.74	51.78	0.00	51.78	90.0	46.60
04/12/2017	SCHWAN, BRIAN	RBC	33,968.08	9.32	0.00	9.32	90.0	8.39
04/12/2017	DONAIIS, RUTH	RBC	59,567.67	16.34	0.00	16.34	90.0	14.71
04/12/2017	DONAIIS, RUTH	RBC	133,648.18	36.65	0.00	36.65	90.0	32.99
04/12/2017	DONAIIS, DONALD	RBC	82,523.04	22.63	0.00	22.63	90.0	20.37
04/12/2017	DONAIIS, DONALD	RBC	70,463.25	19.32	0.00	19.32	90.0	17.39
04/12/2017	DONAIIS, DONALD	RBC	112,306.32	30.80	0.00	30.80	90.0	27.72
04/12/2017	HATLESTAD, PATRICK	RBC	19,971.75	5.48	0.00	5.48	90.0	4.93
04/12/2017	HATLESTAD, PATRICK	RBC	179,552.34	49.24	0.00	49.24	90.0	44.32
04/12/2017	HATLESTAD, DURELLE	RBC	9,037.98	2.48	0.00	2.48	90.0	2.23
04/12/2017	HATLESTAD, DURELLE	RBC	135,727.87	37.22	0.00	37.22	90.0	33.50
04/12/2017	SINNESS, STEVEN	RBC	480,898.35	131.88	0.00	131.88	90.0	118.69
04/12/2017	SINNESS, STEVEN	RBC	75,001.59	20.57	0.00	20.57	90.0	18.51
04/12/2017	SINNESS, STEVEN	RBC	4,830.39	1.32	0.00	1.32	90.0	1.19
04/12/2017	SINNESS, ELAINE	RBC	70,716.57	19.39	0.00	19.39	90.0	17.45
04/12/2017	SINNESS, ELAINE	RBC	10,089.89	2.77	0.00	2.77	90.0	2.49
04/12/2017	SINNESS, ELAINE	RBC	108,688.34	29.81	0.00	29.81	90.0	26.83
04/12/2017	POWELL, WILLIAM	RBC	145,672.13	39.95	0.00	39.95	90.0	35.96
04/12/2017	POWELL, JANICE	RBC	85,123.86	23.35	0.00	23.35	90.0	21.02
04/12/2017	LARAMIE, JAMES	RBC	18,882.99	5.18	0.00	5.18	90.0	4.66
04/12/2017	LARAMIE, JAMES	RBC	179,517.89	49.23	0.00	49.23	90.0	44.31
04/12/2017	MORRIS, PATRICK	RBC	6,711.15	1.84	0.00	1.84	90.0	1.66
04/12/2017	MORRIS, SANDRA PACKER	RBC	16,016.56	4.39	0.00	4.39	90.0	3.95
04/12/2017	MORRIS, PATRICK	RBC	33,685.58	9.24	0.00	9.24	90.0	8.32
04/12/2017	VESTAL, KATHY	RBC	144,577.81	39.65	0.00	39.65	90.0	35.69
04/12/2017	VESTAL, KATHY	RBC	240,008.77	65.82	0.00	65.82	90.0	59.24
04/12/2017	ALEXANDER, LAWRENCE	RBC	467,896.66	128.32	0.00	128.32	90.0	115.49
03/10/2017	MORRIS, SANDRA PACKER	RIVERNORTH CORE OPPORTUNITY FUND CL	0.00	0.16	0.00	0.16	90.0	0.14
03/10/2017	MORRIS, PATRICK	RIVERNORTH CORE OPPORTUNITY FUND CL	0.00	0.01	0.00	0.01	90.0	0.01
03/10/2017	HATLESTAD, PATRICK	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.15	0.00	0.15	90.0	0.14

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAIS-LEE- #333</u>								
03/10/2017	DONAI, DONALD	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.47	0.00	0.47	90.0	0.42
03/10/2017	DONAI, DONALD	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.14	0.00	0.14	90.0	0.13
03/10/2017	MORRIS, SANDRA PACKER	T ROWE PRICE GROWTH STOCK FUND ADVI	0.00	0.31	0.00	0.31	90.0	0.28
03/10/2017	MORRIS, PATRICK	T ROWE PRICE GROWTH STOCK FUND ADVI	0.00	0.03	0.00	0.03	90.0	0.03
03/10/2017	MORRIS, SANDRA PACKER	T ROWE PRICE INT STOCK - ADVISOR CL	0.00	0.18	0.00	0.18	90.0	0.16
03/10/2017	MORRIS, PATRICK	T ROWE PRICE INT STOCK - ADVISOR CL	0.00	0.01	0.00	0.01	90.0	0.01
03/10/2017	MORRIS, SANDRA PACKER	TCW DIVIDEND FOCUSED FUND CLASS N	0.00	0.31	0.00	0.31	90.0	0.28
03/10/2017	MORRIS, PATRICK	TCW DIVIDEND FOCUSED FUND CLASS N	0.00	0.01	0.00	0.01	90.0	0.01
03/10/2017	MORRIS, SANDRA PACKER	TOUCHSTONE FOCUSED FUND CLASS A	0.00	0.01	0.00	0.01	90.0	0.01
03/10/2017	MORRIS, SANDRA PACKER	TOUCHSTONE SMALL CAP VALUE FUND CLA	0.00	0.01	0.00	0.01	90.0	0.01
03/15/2017	SINNESS, STEVEN	VANGUARD INDEX FDS VANGUARD GROWTH	6,221.24	0.00	2.42	-2.42	90.0	-2.18
03/15/2017	SINNESS, STEVEN	VANGUARD INTL EQUITY INDEX FDS MSCI	4,640.81	0.00	2.42	-2.42	90.0	-2.18
03/15/2017	SINNESS, STEVEN	VANGUARD SCOTTSDALE FUNDS	4,668.80	0.00	2.42	-2.42	90.0	-2.18
03/15/2017	SINNESS, STEVEN	VANGUARD TAX-MANAGED INTL FD FTSE D	24,951.89	0.00	2.42	-2.42	90.0	-2.18
03/10/2017	KALIL, DANIEL	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.04	0.00	0.04	90.0	0.04
03/10/2017	DONAI, RUTH	WESTERN ASSET CORE PLUS BOND FUND C	0.00	0.13	0.00	0.13	90.0	0.12
03/10/2017	HATLESTAD, PATRICK	WESTERN ASSET CORE PLUS BOND FUND C	0.00	0.31	0.00	0.31	90.0	0.28
03/10/2017	POWELL, WILLIAM	WESTERN ASSET CORE PLUS BOND FUND C	0.00	0.17	0.00	0.17	90.0	0.15
03/10/2017	KALIL, DANIEL	WESTERN ASSET CORE PLUS BOND FUND C	0.00	0.26	0.00	0.26	90.0	0.23
03/10/2017	VESTAL, KATHY	WESTERN ASSET CORE PLUS BOND FUND C	0.00	0.22	0.00	0.22	90.0	0.20
* JBB JEN-BRENT-BRION TRU-DONAI-LEE- SUBTOTAL *			\$4,249,282.56	\$1,040.24	\$33.99	\$1,006.25		\$905.67
<u>BROKER: BJB JEN-BRENT-BRION TRU-DONAI-LEE- #334</u>								
04/01/2017	PATOROS, JOHN	RBC	0.00	-0.70	0.00	-0.70	90.0	-0.63
04/01/2017	PATOROS, LORI	RBC	0.00	-0.96	0.00	-0.96	90.0	-0.86
04/12/2017	PATOROS, LORI	RBC	95,372.97	38.04	0.00	38.04	90.0	34.24
04/12/2017	PATOROS, JOHN	RBC	155,160.97	61.89	0.00	61.89	90.0	55.70
04/12/2017	PATOROS, JOHN	RBC	1,336.04	0.53	0.00	0.53	90.0	0.48
04/12/2017	LARAMIE, ELAINE	RBC	379,464.92	151.37	0.00	151.37	90.0	136.23
04/12/2017	HUGGINS, JAMES	RBC	261,505.51	104.32	0.00	104.32	90.0	93.89
04/12/2017	HUGGINS, JAMES	RBC	83,426.17	33.28	0.00	33.28	90.0	29.95
03/10/2017	PATOROS, JOHN	RYDEX S&P 500 PURE GROWTH FUND	0.00	0.25	0.00	0.25	90.0	0.23
03/10/2017	PATOROS, LORI	WESTERN ASSET CORE PLUS BOND FUND C	0.00	0.30	0.00	0.30	90.0	0.27
* BJB JEN-BRENT-BRION TRU-DONAI-LEE- SUBTOTAL *			\$976,266.58	\$388.32	\$0.00	\$388.32		\$349.50

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335								
04/04/2017	RASMUSSEN, CLINTON	JEFFERSON NATIONAL	348,165.02	435.21	0.00	435.21	90.0	391.69
04/11/2017	KUHN, KENNETH	PERSHING	58,867.94	73.38	0.00	73.38	90.0	66.04
04/11/2017	RASMUSSEN, CLINTON	PERSHING	98,051.04	122.23	0.00	122.23	90.0	110.01
04/11/2017	RASMUSSEN, CLINTON	PERSHING	62,827.39	78.32	0.00	78.32	90.0	70.49
04/11/2017	RASMUSSEN, PAMELA	PERSHING	19,953.52	24.87	0.00	24.87	90.0	22.38
04/11/2017	RASMUSSEN, PAMELA	PERSHING	18,829.42	23.47	0.00	23.47	90.0	21.12
04/11/2017	FREIH, DIANE	PERSHING	58,767.29	73.26	0.00	73.26	90.0	65.93
04/11/2017	KRINGEN, MARK	PERSHING	390,206.68	330.77	0.00	330.77	90.0	297.69
04/11/2017	KEMPER, JEROME	PERSHING	222,391.47	277.23	0.00	277.23	90.0	249.51
04/11/2017	LEE, DONALD	PERSHING	132,602.92	165.30	0.00	165.30	90.0	148.77
04/11/2017	LEE, CONNIE	PERSHING	50,214.95	62.60	0.00	62.60	90.0	56.34
04/11/2017	LEE, CONNIE	PERSHING	14,389.09	17.94	0.00	17.94	90.0	16.15
04/11/2017	MONETTE, PEGGY	PERSHING	209,416.70	261.05	0.00	261.05	90.0	234.95
04/11/2017	MONETTE, PEGGY	PERSHING	37,672.65	46.96	0.00	46.96	90.0	42.26
04/11/2017	RETZLAFF, SANDRA	PERSHING	126,089.80	157.18	0.00	157.18	90.0	141.46
04/11/2017	RETZLAFF, SANDRA	PERSHING	25,230.25	31.45	0.00	31.45	90.0	28.31
04/11/2017	SKAARE, LARRY	PERSHING	30,560.71	44.19	0.00	44.19	90.0	39.77
04/11/2017	SKAARE, LARRY	PERSHING	192,575.99	278.47	0.00	278.47	90.0	250.62
04/11/2017	SKAARE, LARRY	PERSHING	17,851.85	25.82	0.00	25.82	90.0	23.24
04/12/2017	DEAVER, ROSS	SEI	31,830.93	42.97	0.00	42.97	90.0	38.67
04/12/2017	ERICKSTAD, SAMUEL	SEI	60,326.63	81.44	0.00	81.44	90.0	73.30
04/12/2017	HARSHBARGER, RORY	SEI	79,419.84	107.22	0.00	107.22	90.0	96.50
04/12/2017	HEEN, JEREMY	SEI	200,389.79	270.53	0.00	270.53	90.0	243.48
04/12/2017	HEEN, KRISTA	SEI	60,501.04	81.68	0.00	81.68	90.0	73.51
04/12/2017	HEEN, JEREMY	SEI	127,928.94	172.70	0.00	172.70	90.0	155.43
04/12/2017	JORGENSEN, BLAINE	SEI	3,107,473.01	932.24	0.00	932.24	90.0	839.02
04/12/2017	PACHECO, MICHAEL	SEI	6,975.10	6.78	0.00	6.78	90.0	6.10
04/12/2017	RETZLAFF, SANDRA	SEI	20,055.57	6.02	0.00	6.02	90.0	5.42
04/12/2017	SKAARE, LARRY	SEI	20,106.54	6.03	0.00	6.03	90.0	5.43
04/12/2017	SMITH, AUSTIN	SEI	19,342.57	26.11	0.00	26.11	90.0	23.50
04/12/2017	HAUGEN, PATRICK	SEI	166,970.27	225.41	0.00	225.41	90.0	202.87
04/12/2017	HAUGEN, PATRICK	SEI	101,781.57	137.40	0.00	137.40	90.0	123.66
04/12/2017	LYNDE, STEVEN	SEI	48,516.27	60.64	0.00	60.64	90.0	54.58

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
04/12/2017	LYNDE, LANA	SEI	20,911.44	26.14	0.00	26.14	90.0	23.53
04/12/2017	GRANT, GREGORY	SEI	70,987.60	106.48	0.00	106.48	90.0	95.83
04/12/2017	GRANT, KATHLEEN	SEI	49,275.34	73.91	0.00	73.91	90.0	66.52
04/12/2017	JOHNSON, BRAD	SEI	15,859.51	21.41	0.00	21.41	90.0	19.27
04/12/2017	JOHNSON, SHARI	SEI	15,083.27	20.36	0.00	20.36	90.0	18.32
04/12/2017	JOHNSON, BRAD	SEI	2,199.28	2.97	0.00	2.97	90.0	2.67
04/12/2017	JOHNSON, SHARI	SEI	17,046.16	23.01	0.00	23.01	90.0	20.71
04/12/2017	JOHNSON, BRAD	SEI	24,873.62	33.58	0.00	33.58	90.0	30.22
04/12/2017	KRINGEN, MARK	SEI	1,407,746.86	422.32	0.00	422.32	90.0	380.09
04/12/2017	MARISTUEN, JON	SEI	123,579.71	166.83	0.00	166.83	90.0	150.15
04/12/2017	JORGENSEN, BLAINE	SEI	104,274.08	104.28	0.00	104.28	90.0	93.85
04/12/2017	MARISTUEN, JON	SEI	3,344.30	2.00	0.00	2.00	90.0	1.80
04/12/2017	FREIH, DIANE	SEI	142,425.24	192.28	0.00	192.28	90.0	173.05
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$8,163,889.16	\$5,882.44	\$0.00	\$5,882.44		\$5,294.21
<u>BROKER: TBB TYLER-BRENT-BRIO TRU-LIFFFRIG-L #336</u>								
04/11/2017	HENNEN, ANN	PERSHING	20,305.28	8.81	0.00	8.81	90.0	7.93
04/11/2017	HENNEN, STUART	PERSHING	18,863.66	8.18	0.00	8.18	90.0	7.36
04/11/2017	HENNEN, ANN	PERSHING	481,106.75	208.71	0.00	208.71	90.0	187.84
04/12/2017	LOOMIS, MATT	SEI	6,950.89	0.69	0.00	0.69	90.0	0.62
* TBB TYLER-BRENT-BRIO TRU-LIFFFRIG-L SUBTOTAL *			\$527,226.58	\$226.39	\$0.00	\$226.39		\$203.75
<u>BROKER: L139-BRE/BRI/JEN/TY TRU-LEE/NOR/DON #358</u>								
04/12/2017	SORENSEN, CURTIS	SEI	354,541.46	89.66	0.00	89.66	90.0	80.69
* L139-BRE/BRI/JEN/TY TRU-LEE/NOR/DON SUBTOTAL *			\$354,541.46	\$89.66	\$0.00	\$89.66		\$80.69
*** SPLIT TRANSACTIONS TOTAL ***			\$15,672,626.88	\$9,412.05	\$33.99	\$9,378.06		\$8,440.34

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BROKER COMMISSION TOTALS

Broker Transactions	\$333.02
Split Transactions	\$8,440.34
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-298.14
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$8,475.22
Year-to-date Gross	\$19,398.06
Year-to-date Earnings	\$17,111.60