



Concorde Investment Services, LLC
COMMISSION STATEMENT
May 15, 2017

05/11/2017
Page No. 1

K143 JEFF/TROY KAUFMAN/BERGERON

BROKER #: K143
BRANCH: JEFFREY KAUFMAN

, MO

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-------------------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|-------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| ^05/01/2017 | JONES, MICHAEL | AM FUNDS GROWTH FUND OF AMERICA A | 59.02 | 2.21 | 0.00 | 2.21 | 100.0 | 0.00 |
| ^05/01/2017 | MCKEAGE, NICHOLAS | AM FUNDS GROWTH FUND OF AMERICA A | 54.22 | 2.03 | 0.00 | 2.03 | 100.0 | 0.00 |
| ^05/01/2017 | MCKEAGE, NICHOLAS | AM FUNDS ICA A | 54.22 | 2.03 | 0.00 | 2.03 | 100.0 | 0.00 |
| ^05/01/2017 | JONES, MICHAEL | AM FUNDS NEW PERSPECTIVE A | 59.02 | 2.21 | 0.00 | 2.21 | 100.0 | 0.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$226.48 | \$8.48 | \$0.00 | \$8.48 | | \$0.00 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$226.48 | \$8.48 | \$0.00 | \$8.48 | | \$0.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|-----------------------|--------|
| Balance Forward | \$0.00 |
| Year-to-date Gross | \$0.00 |
| Year-to-date Earnings | \$0.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed