

## COMMISSION STATEMENT

September 29, 2017

BILLY BEHRENS  
183 GRIFFEN AVENUE

BROKER #: 72  
BRANCH: HOME OFFICE

SCARSDALE, NY 10583

COMMISSION STATEMENT for BILLY BEHRENS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

08/31/2017	75501347	BEHRENS, GRACE		FREEMPORT MCMORAN INC	B	6,463.20	75.00	0.00	75.00	50.0	37.50
08/31/2017	75501347	BEHRENS, GRACE		PROSPECT CAPITAL CORPORATION	S	6,603.13	75.00	0.00	75.00	50.0	37.50
09/18/2017	75501378	BANDLER, LIONEL		FREEMPORT MCMORAN INC	B	14,210.00	55.00	0.00	55.00	50.0	27.50
09/25/2017	75501378	BANDLER, LIONEL		AGNICO-EAGLE MINES LTD	B	47,304.00	55.00	0.00	55.00	50.0	27.50
09/21/2017	75501380	LEVIS, THOMAS		CALGON CARBON CORP	S	83,366.40	234.00	0.00	234.00	50.0	117.00
09/21/2017	75501389	LEVIS, THOMAS		CALGON CARBON CORP	S	448,896.00	1,260.00	0.00	1,260.00	50.0	630.00
09/21/2017	75501390	CABELLO, CHRISTINE		CALGON CARBON CORP	S	26,720.00	75.00	0.00	75.00	50.0	37.50
09/21/2017	75501440	LANGE, WILLIAM		CALGON CARBON CORP	S	10,687.50	55.00	0.00	55.00	50.0	27.50
09/25/2017	75501440	LANGE, WILLIAM		CALGON CARBON CORP	S	10,687.50	55.00	0.00	55.00	50.0	27.50
09/15/2017	75501468	HAUSHALTER, HARRY		NUTRA PHARMA CORP COM	S	0.26	0.00	0.00	0.00	50.0	0.00
09/21/2017	75501823	HOGAN, MARTIN		CALGON CARBON CORP	S	21,375.00	125.00	0.00	125.00	50.0	62.50
				* STOCKS SUBTOTAL *		\$676,312.99	\$2,064.00	\$0.00	\$2,064.00		\$1,032.00

PRODUCT CATEGORY: OPTIONS

09/18/2017	75501378	BANDLER, LIONEL		CALL FCX	10/27/17	15	S	740.00	55.00	0.00	55.00	50.0	27.50
				* OPTIONS SUBTOTAL *				\$740.00	\$55.00	\$0.00	\$55.00		\$27.50

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

09/12/2017	75501457	CANIZARES, JORGE		MFS VALUE FUND-A	B	0.00	0.01	0.00	0.01	50.0	0.00
09/12/2017	75501472	SKROCKI, RICHARD		LORD ABBETT NEW JERSEY TAX FREE INC B	B	0.00	9.93	0.00	9.93	50.0	4.97
09/12/2017	75501489	CONLON, ITA		FRANKLIN NEW YORK TAX-FREE INCOME F B	B	0.00	16.44	0.00	16.44	50.0	8.22
09/12/2017	75501489	CONLON, ITA		ROCHESTER FUND MUNICIPALS A	B	0.00	25.68	0.00	25.68	50.0	12.84
09/12/2017	75501492	VECKER, LAWRENCE		**VOYA SER FD INC MIDCAP	B	0.00	3.06	0.00	3.06	50.0	1.53
09/12/2017	75501530	GREENE, HADLEY		LORD ABBETT SHORT DURATION INCOME F B	B	0.00	21.51	0.00	21.51	50.0	10.76
09/12/2017	75501530	GREENE, HADLEY		LORD ABBETT SECS TR VALUE OPPTYS FD B	B	0.00	5.83	0.00	5.83	50.0	2.92
09/12/2017	75501909	DERBY, ROSEMARIE		PUTNAM NEW OPPORTUNITIES FUND CLASS B	B	0.00	0.84	0.00	0.84	50.0	0.00
09/12/2017	75501931	MELANSON, MARK		BOND-DEBENTURE FUND CLASS A	B	0.00	3.47	0.00	3.47	50.0	1.74
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$86.77	\$0.00	\$86.77		\$42.98
				*** BROKER TRANSACTIONS TOTAL ***		\$677,052.99	\$2,205.77	\$0.00	\$2,205.77		\$1,102.48

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,102.48
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-2.76
SIPC Assessment	\$-5.51

Check Amount	\$1,094.21
Year-to-date Earnings	\$2,374.43