

COMMISSION STATEMENT**December 31, 2017**

HENRY HACKEL
 179 EAST 70TH STREET
 APT 6B
 NEW YORK, NY 10021

BROKER #: 30
BRANCH: HOME OFFICE

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/30/2017	00084739455	SHABAZZ, JAHZARA							
		AMERICAN BALANCED FUND-529C	B	200.00	2.00	0.00	2.00	80.0	1.60
11/30/2017	00085890289	DAS, SPANDAN							
		AMERICAN HIGH-INCOME TRUST-529A	B	150.00	4.13	0.00	4.13	80.0	3.30
11/30/2017	00085890289	DAS, SPANDAN							
		NEW WORLD FUND-529A	B	150.00	4.13	0.00	4.13	80.0	3.30
11/30/2017	00085890289	DAS, SPANDAN							
		SMALLCAP WORLD FUND-529A	B	150.00	4.13	0.00	4.13	80.0	3.30
11/30/2017	00085890289	DAS, SPANDAN							
		THE GROWTH FUND OF AMERICA-529A	B	150.00	4.13	0.00	4.13	80.0	3.30
11/30/2017	00085890289	DAS, SPANDAN							
		THE INVESTMENT COMPANY OF AMERICA-5	B	150.00	4.13	0.00	4.13	80.0	3.30
12/18/2017	00086531491	DAS, SPANDAN							
		AMERICAN HIGH-INCOME TRUST-529A	B	100.00	2.75	0.00	2.75	80.0	2.20
12/18/2017	00086531491	DAS, SPANDAN							
		NEW WORLD FUND-529A	B	100.00	2.75	0.00	2.75	80.0	2.20
12/18/2017	00086531491	DAS, SPANDAN							
		SMALLCAP WORLD FUND-529A	B	100.00	2.75	0.00	2.75	80.0	2.20
12/18/2017	00086531491	DAS, SPANDAN							
		THE GROWTH FUND OF AMERICA-529A	B	250.00	6.88	0.00	6.88	80.0	5.50
12/18/2017	00086531491	DAS, SPANDAN							
		THE INVESTMENT COMPANY OF AMERICA-5	B	200.00	5.50	0.00	5.50	80.0	4.40
12/04/2017	00087121704	JOGLEKAR, SHAYNE							
		AMERICAN BALANCED FUND-529A	B	500.00	13.75	0.00	13.75	80.0	11.00
12/04/2017	00087122294	JOGLEKAR, SHAYNE							
		AMERICAN BALANCED FUND-529A	B	500.00	13.75	0.00	13.75	80.0	11.00
12/20/2017	00087149656	CHANDELA, AJAY							
		AMERICAN BALANCED FUND-529C	B	1,000.00	10.00	0.00	10.00	80.0	8.00
12/20/2017	00087149667	CHANDELA, AJAY							
		NEW WORLD FUND-529C	B	1,000.00	10.00	0.00	10.00	80.0	8.00
12/20/2017	00087149689	CHANDELA, AJAY							
		NEW WORLD FUND-529C	B	1,000.00	10.00	0.00	10.00	80.0	8.00
12/20/2017	00087149729	CHANDELA, AJAY							
		AMERICAN BALANCED FUND-529C	B	1,000.00	10.00	0.00	10.00	80.0	8.00
12/06/2017	00087205674	MORRELL, CHARLES							
		THE GROWTH FUND OF AMERICA-529A	B	300.00	15.00	0.00	15.00	80.0	12.00
11/28/2017	00087630417	SHABAZZ, JAHZARA							
		AMERICAN BALANCED FUND-529C	B	200.00	2.00	0.00	2.00	80.0	1.60
12/15/2017	00089081756	FOSTER, JAKE							
		AMERICAN FUND-02629M587	B	200.00	7.00	0.00	7.00	80.0	5.60
12/15/2017	00089084643	FOSTER, JAKE							
		AMERICAN FUND-02629M587	B	200.00	7.00	0.00	7.00	80.0	5.60
12/11/2017	01204161104	PASQUALONE,							
		THE INVESTMENT COMPANY OF AMERICA-5	B	150.00	6.38	0.00	6.38	80.0	5.10
12/11/2017	01204463227	PASQUALONE,							
		THE GROWTH FUND OF AMERICA-529A	B	200.00	8.50	0.00	8.50	80.0	6.80
12/11/2017	01205067051	PASQUALONE,							
		NEW PERSPECTIVE FUND-529A	B	200.00	8.50	0.00	8.50	80.0	6.80
* MUTUAL FUNDS SUBTOTAL *				\$8,150.00	\$165.16	\$0.00	\$165.16		\$132.10

PRODUCT CATEGORY: STOCKS

12/13/2017	75500458	HACKEL, HENRY							
		MACYS INC	S	516,478.00	0.00	0.00	0.00	80.0	0.00
12/06/2017	75500635	CABO CANYON LLC							
		INTIVA BIOPHARMA INC COMMON STOCK	S	1,900.00	50.00	0.00	50.00	80.0	40.00
12/06/2017	75500635	CABO CANYON LLC							
		M101 CORP COMMON STOCK	S	1,400.00	50.00	0.00	50.00	80.0	40.00
12/18/2017	75500635	CABO CANYON LLC							
		INTIVA BIOPHARMA INC COMMON STOCK	S	1,250.00	50.00	0.00	50.00	80.0	40.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/22/2017	75500635 CABO CANYON LLC	INTIVA BIOPHARMA INC COMMON STOCK	S	1,750.00	50.00	0.00	50.00	80.0	40.00
12/12/2017	75501455 HACKEL, HENRY	MACYS INC	S	517,292.00	0.00	0.00	0.00	80.0	0.00
11/29/2017	QGW001018 GRAYMAN, EZRA	BBX CAP CORP NEW CL A	B	36,000.00	10.00	0.00	10.00	80.0	8.00
11/30/2017	QGW001018 GRAYMAN, EZRA	BBX CAP CORP NEW CL A	S	-37,441.92	10.00	0.00	10.00	80.0	8.00
11/30/2017	QGW001018 GRAYMAN, EZRA	ENSCO PLC NEW CLASS A ORD	S	-27,413.50	10.00	0.00	10.00	80.0	8.00
12/01/2017	QGW001018 GRAYMAN, EZRA	PETROSHARE CORP COM	S	-9,750.00	10.00	0.00	10.00	80.0	8.00
12/07/2017	QGW001018 GRAYMAN, EZRA	EMISPHERE TECHNOLOGIES INC	B	6,250.00	10.00	0.00	10.00	80.0	8.00
12/08/2017	QGW001018 GRAYMAN, EZRA	SYNERGY PHARMACEUTICALS INC	B	18,500.00	10.00	0.00	10.00	80.0	8.00
12/11/2017	QGW001018 GRAYMAN, EZRA	SYNERGY PHARMACEUTICALS INC	S	-9,750.00	10.00	0.00	10.00	80.0	8.00
12/13/2017	QGW001018 GRAYMAN, EZRA	ENTERCOM COMMUNICATIONS CORP CLASS	B	53,875.00	10.00	0.00	10.00	80.0	8.00
12/13/2017	QGW001018 GRAYMAN, EZRA	SYNERGY PHARMACEUTICALS INC	S	-9,800.00	10.00	0.00	10.00	80.0	8.00
12/18/2017	QGW001018 GRAYMAN, EZRA	ENSCO PLC NEW CLASS A ORD	B	12,725.00	10.00	0.00	10.00	80.0	8.00
12/01/2017	QGW001042 GRAYMAN, EZRA	PETROSHARE CORP COM	B	9,750.00	10.00	0.00	10.00	80.0	8.00
12/22/2017	QGW001042 GRAYMAN, EZRA	GLOBALSTAR INC	B	13,000.00	10.00	0.00	10.00	80.0	8.00
12/27/2017	QGW001042 GRAYMAN, EZRA	PETROSHARE CORP COM	B	3,901.00	10.00	0.00	10.00	80.0	8.00
11/29/2017	QGW001166 KOONDEL	INTELLIPHARMACEUTICS INTERNATIONAL	B	1,596.24	25.30	0.00	25.30	80.0	20.24
11/29/2017	QGW001166 KOONDEL	OPKO HEALTH INC	B	1,485.00	12.55	0.00	12.55	80.0	10.04
11/30/2017	QGW001166 KOONDEL	INTELLIPHARMACEUTICS INTERNATIONAL	B	4,956.15	58.45	0.00	58.45	80.0	46.76
12/01/2017	QGW001166 KOONDEL	BROOKFIELD RENEWABLE PARTNERS L P	B	9,915.00	12.55	0.00	12.55	80.0	10.04
12/06/2017	QGW001166 KOONDEL	CME GROUP INC COM	S	-34,500.00	5.05	0.00	5.05	80.0	4.04
12/06/2017	QGW001166 KOONDEL	CME GROUP INC COM	S	-40,500.00	5.05	0.00	5.05	80.0	4.04
12/06/2017	QGW001166 KOONDEL	CME GROUP INC COM	S	-72,000.00	10.10	0.00	10.10	80.0	8.08
12/06/2017	QGW001166 KOONDEL	OPKO HEALTH INC	B	10,353.00	27.85	0.00	27.85	80.0	22.28
12/06/2017	QGW001166 KOONDEL	OPKO HEALTH INC	B	-10,353.00	-27.85	0.00	-27.85	80.0	-22.28 C
12/06/2017	QGW001166 KOONDEL	OPKO HEALTH INC	B	10,353.00	27.85	0.00	27.85	80.0	22.28
12/12/2017	QGW001166 KOONDEL	INTELLIPHARMACEUTICS INTERNATIONAL	B	612.48	16.80	0.00	16.80	80.0	13.44
12/13/2017	QGW001166 KOONDEL	ENABLE MIDSTREAM PARTNERS LP COM UN B	B	2,921.94	11.70	0.00	11.70	80.0	9.36
12/13/2017	QGW001166 KOONDEL	INTELLIPHARMACEUTICS INTERNATIONAL	B	1,092.15	22.75	0.00	22.75	80.0	18.20
12/14/2017	QGW001166 KOONDEL	OPKO HEALTH INC	B	8,720.10	25.30	0.00	25.30	80.0	20.24
12/14/2017	QGW001166 KOONDEL	OPKO HEALTH INC	B	-8,720.10	-25.30	0.00	-25.30	80.0	-20.24 C
12/14/2017	QGW001166 KOONDEL	OPKO HEALTH INC	B	8,720.10	25.30	0.00	25.30	80.0	20.24
12/26/2017	QGW001166 KOONDEL	ICONIX BRAND GROUP INC COM	B	1,300.00	18.50	0.00	18.50	80.0	14.80
12/27/2017	QGW001166 KOONDEL	JOEY NEW YORK INC NEW COM	B	148.00	44.00	0.00	44.00	80.0	35.20
11/29/2017	QGW001174 KOONDEL	LADENBURG THALMANN FINL SVCS	S	-3,431.00	18.50	0.00	18.50	80.0	14.80
11/29/2017	QGW001174 KOONDEL	LADENBURG THALMANN FINL SVCS	S	3,431.00	-18.50	0.00	-18.50	80.0	-14.80 C
11/29/2017	QGW001174 KOONDEL	LADENBURG THALMANN FINL SVCS	S	-3,431.00	18.50	0.00	18.50	80.0	14.80
12/01/2017	QGW001174 KOONDEL	INTELLIPHARMACEUTICS INTERNATIONAL	B	3,785.80	49.10	0.00	49.10	80.0	39.28
12/12/2017	QGW001174 KOONDEL	INTELLIPHARMACEUTICS INTERNATIONAL	B	764.40	18.50	0.00	18.50	80.0	14.80
12/13/2017	QGW001174 KOONDEL	INTELLIPHARMACEUTICS INTERNATIONAL	B	876.12	20.20	0.00	20.20	80.0	16.16
12/15/2017	QGW001174 KOONDEL	OPKO HEALTH INC	B	5,853.48	20.20	0.00	20.20	80.0	16.16

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/18/2017	QGW001174 KOONDEL	OPKO HEALTH INC	S	-6,120.00	20.20	0.00	20.20	80.0	16.16
12/19/2017	QGW001174 KOONDEL	OPKO HEALTH INC	B	9,682.00	27.00	0.00	27.00	80.0	21.60
12/19/2017	QGW001174 KOONDEL	OPKO HEALTH INC	B	-9,682.00	-27.00	0.00	-27.00	80.0	-21.60 C
12/19/2017	QGW001174 KOONDEL	OPKO HEALTH INC	B	9,682.00	27.00	0.00	27.00	80.0	21.60
12/22/2017	QGW001174 KOONDEL	OPKO HEALTH INC	B	2,430.00	14.25	0.00	14.25	80.0	11.40
11/30/2017	QGW001737 HEATH, KIMBERLY	CATALYST PHARMACEUTICAL INC COM	S	-10,300.50	20.00	0.00	20.00	80.0	16.00
12/01/2017	QGW001737 HEATH, KIMBERLY	HORIZON PHARMA PLC SHS	S	-14,540.00	20.00	0.00	20.00	80.0	16.00
12/06/2017	QGW001760 HEATH, KIMBERLY	ICAD INC COM NEW	B	3,460.00	75.00	0.00	75.00	80.0	60.00
12/14/2017	QGW004905 CAPRARO, DAVID	OMEGA HEALTHCARE INVESTORS INC	S	-27,802.40	10.00	0.00	10.00	80.0	8.00
12/14/2017	QGW004905 CAPRARO, DAVID	OMEGA HEALTHCARE INVESTORS INC	S	-41,692.95	15.00	0.00	15.00	80.0	12.00
12/20/2017	QGW004905 CAPRARO, DAVID	FRONTIER COMMUNICATIONS CORP MANDAT B		11,150.00	10.00	0.00	10.00	80.0	8.00
12/22/2017	QGW004905 CAPRARO, DAVID	FRONTIER COMMUNICATIONS CORP MANDAT B		31,344.00	30.00	0.00	30.00	80.0	24.00
12/22/2017	QGW004905 CAPRARO, DAVID	FRONTIER COMMUNICATIONS CORPORATION S		-31,354.65	45.00	0.00	45.00	80.0	36.00
12/26/2017	QGW004905 CAPRARO, DAVID	FRONTIER COMMUNICATIONS CORP MANDAT B		10,500.00	10.00	0.00	10.00	80.0	8.00
12/26/2017	QGW004905 CAPRARO, DAVID	FRONTIER COMMUNICATIONS CORPORATION S		-31,376.70	45.00	0.00	45.00	80.0	36.00
12/27/2017	QGW004905 CAPRARO, DAVID	FRONTIER COMMUNICATIONS CORP MANDAT B		20,499.80	20.00	0.00	20.00	80.0	16.00
12/27/2017	QGW004905 CAPRARO, DAVID	FRONTIER COMMUNICATIONS CORPORATION S		-20,445.00	30.00	0.00	30.00	80.0	24.00
12/06/2017	QGW005084 FRIED, ALLAN	MADALENA ENERGY INC COM ISIN#CA5562 B		19,000.00	40.00	0.00	40.00	80.0	32.00
12/12/2017	QGW005084 FRIED, ALLAN	MADALENA ENERGY INC COM ISIN#CA5562 B		7,271.16	15.34	0.00	15.34	80.0	12.27
12/13/2017	QGW005084 FRIED, ALLAN	MADALENA ENERGY INC COM ISIN#CA5562 B		11,609.00	24.44	0.00	24.44	80.0	19.55
12/26/2017	QGW005084 FRIED, ALLAN	GENIE ENERGY LTD CL B	S	-93,676.02	400.00	0.00	400.00	80.0	320.00
12/27/2017	QGW005084 FRIED, ALLAN	CONSOLIDATED COMMUNICATIONS HLDGS I B		122,400.00	300.00	0.00	300.00	80.0	240.00
12/27/2017	QGW005084 FRIED, ALLAN	CONSOLIDATED COMMUNICATIONS HLDGS I B		-122,400.00	-300.00	0.00	-300.00	80.0	-240.00 C
12/27/2017	QGW005084 FRIED, ALLAN	CONSOLIDATED COMMUNICATIONS HLDGS I B		122,400.00	300.00	0.00	300.00	80.0	240.00
12/04/2017	QGW005456 KISVER, SHERRY	TEVA PHARMACEUTICAL INDUSTRIES LIMIS		-4,518.15	88.73	0.00	88.73	80.0	70.98
12/11/2017	QGW005936 RABBITO, RICHARD	BITCOIN SVCS INC COM	B	1,029.00	35.00	0.00	35.00	80.0	28.00
12/12/2017	QGW005936 RABBITO, RICHARD	BITCOIN SVCS INC COM	S	-1,298.50	35.00	0.00	35.00	80.0	28.00
12/14/2017	QGW005936 RABBITO, RICHARD	BANRO CORPORATION COM NEW ISIN# CA0 B		1,563.90	35.00	0.00	35.00	80.0	28.00
12/22/2017	QGW005936 RABBITO, RICHARD	AURIS MED HLDG AG COM ISIN#CH024937 B		50.17	3.50	0.00	3.50	80.0	2.80
12/22/2017	QGW005936 RABBITO, RICHARD	AURIS MED HLDG AG COM ISIN#CH024937 B		451.62	31.50	0.00	31.50	80.0	25.20
12/01/2017	QGW007148 MARIE PIGNALOSA	GENERAL ELECTRIC CO	B	126.56	20.00	0.00	20.00	80.0	16.00
12/01/2017	QGW009730 SHARTSIS, JAY	ADVANCED SEMICONDUCTOR ENGR INC SPO B		16,172.00	20.00	0.00	20.00	80.0	16.00
12/01/2017	QGW009730 SHARTSIS, JAY	US FOODS HLDG CORP COM	B	14,554.95	20.00	0.00	20.00	80.0	16.00
12/04/2017	QGW009730 SHARTSIS, JAY	US FOODS HLDG CORP COM	S	-15,102.85	20.00	0.00	20.00	80.0	16.00
12/05/2017	QGW009730 SHARTSIS, JAY	ADVANCED SEMICONDUCTOR ENGR INC SPO S		-16,175.00	20.00	0.00	20.00	80.0	16.00
12/05/2017	QGW009730 SHARTSIS, JAY	EDISON INTERNATIONAL	B	13,969.84	20.00	0.00	20.00	80.0	16.00
12/05/2017	QGW009730 SHARTSIS, JAY	EDISON INTERNATIONAL	B	-13,969.84	-20.00	0.00	-20.00	80.0	-16.00 C
12/05/2017	QGW009730 SHARTSIS, JAY	EDISON INTERNATIONAL	B	13,969.84	20.00	0.00	20.00	80.0	16.00
12/06/2017	QGW009730 SHARTSIS, JAY	CHICAGO BRIDGE & IRON CO NV	B	17,276.50	20.00	0.00	20.00	80.0	16.00
12/07/2017	QGW009730 SHARTSIS, JAY	CHICAGO BRIDGE & IRON CO NV	S	-17,416.60	20.00	0.00	20.00	80.0	16.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/07/2017	QGW009730	SHARTSIS, JAY		CONNS INC COM	S	-16,750.00	20.00	0.00	20.00 80.0 16.00
12/07/2017	QGW009730	SHARTSIS, JAY		EDISON INTERNATIONAL	S	-13,932.00	20.00	0.00	20.00 80.0 16.00
12/07/2017	QGW009730	SHARTSIS, JAY		EDISON INTERNATIONAL	S	13,932.00	-20.00	0.00	-20.00 80.0 -16.00 C
12/07/2017	QGW009730	SHARTSIS, JAY		EDISON INTERNATIONAL	S	-13,932.00	20.00	0.00	20.00 80.0 16.00
12/07/2017	QGW009730	SHARTSIS, JAY		HERTZ GLOBAL HLDGS INC NEW COM	B	10,025.00	20.00	0.00	20.00 80.0 16.00
12/08/2017	QGW009730	SHARTSIS, JAY		GROUPON INC COM CL A	S	-17,370.90	20.00	0.00	20.00 80.0 16.00
12/11/2017	QGW009730	SHARTSIS, JAY		ROKU INC CLASS A COMMON STOCK	S	-11,400.00	20.00	0.00	20.00 80.0 16.00
12/12/2017	QGW009730	SHARTSIS, JAY		GROUPON INC COM CL A	B	16,799.40	20.00	0.00	20.00 80.0 16.00
12/12/2017	QGW009730	SHARTSIS, JAY		STITCH FIX INC CLASS A COMMON STOCK S		-11,850.00	10.00	0.00	10.00 80.0 8.00
12/12/2017	QGW009730	SHARTSIS, JAY		STITCH FIX INC CLASS A COMMON STOCK S		-11,929.50	10.00	0.00	10.00 80.0 8.00
12/12/2017	QGW009730	SHARTSIS, JAY		TRONOX LTD SHS CL A ISIN#AU000XINEO B		20,085.00	20.00	0.00	20.00 80.0 16.00
12/12/2017	QGW009730	SHARTSIS, JAY		ZAGG INC	S	-19,800.00	20.00	0.00	20.00 80.0 16.00
12/13/2017	QGW009730	SHARTSIS, JAY		TRONOX LTD SHS CL A ISIN#AU000XINEO S		-20,205.00	20.00	0.00	20.00 80.0 16.00
12/14/2017	QGW009730	SHARTSIS, JAY		OVERSTOCK COM INC DEL COM	B	12,050.00	20.00	0.00	20.00 80.0 16.00
12/14/2017	QGW009730	SHARTSIS, JAY		ROKU INC CLASS A COMMON STOCK	B	14,133.00	20.00	0.00	20.00 80.0 16.00
12/14/2017	QGW009730	SHARTSIS, JAY		STITCH FIX INC CLASS A COMMON STOCK B		24,001.00	20.00	0.00	20.00 80.0 16.00
12/14/2017	QGW009730	SHARTSIS, JAY		ZAGG INC	B	20,125.00	20.00	0.00	20.00 80.0 16.00
12/15/2017	QGW009730	SHARTSIS, JAY		BARCLAYS BANK PLC IPATH S&P 500 VIX S		-16,500.00	10.00	0.00	10.00 80.0 8.00
12/15/2017	QGW009730	SHARTSIS, JAY		BARCLAYS BANK PLC IPATH S&P 500 VIX B		37,000.00	20.00	0.00	20.00 80.0 16.00
12/15/2017	QGW009730	SHARTSIS, JAY		BARCLAYS BANK PLC IPATH S&P 500 VIX S		-15,500.00	10.00	0.00	10.00 80.0 8.00
12/15/2017	QGW009730	SHARTSIS, JAY		HERTZ GLOBAL HLDGS INC NEW COM	S	-20,000.00	20.00	0.00	20.00 80.0 16.00
12/18/2017	QGW009730	SHARTSIS, JAY		HERTZ GLOBAL HLDGS INC NEW COM	B	11,689.10	20.00	0.00	20.00 80.0 16.00
12/19/2017	QGW009730	SHARTSIS, JAY		CHICAGO BRIDGE & IRON CO NV	S	-11,571.00	20.00	0.00	20.00 80.0 16.00
12/19/2017	QGW009730	SHARTSIS, JAY		CHICAGO BRIDGE & IRON CO NV	B	11,427.50	20.00	0.00	20.00 80.0 16.00
12/20/2017	QGW009730	SHARTSIS, JAY		MICRON TECHNOLOGY INC	S	-13,659.00	20.00	0.00	20.00 80.0 16.00
12/21/2017	QGW009730	SHARTSIS, JAY		MCEWEN MINING INC	S	-8,934.00	20.00	0.00	20.00 80.0 16.00
12/21/2017	QGW009730	SHARTSIS, JAY		MICRON TECHNOLOGY INC	B	13,512.00	20.00	0.00	20.00 80.0 16.00
12/21/2017	QGW009730	SHARTSIS, JAY		PG&E CORP	B	8,486.60	20.00	0.00	20.00 80.0 16.00
12/21/2017	QGW009730	SHARTSIS, JAY		PG&E CORP	S	-8,898.00	20.00	0.00	20.00 80.0 16.00
11/29/2017	QGW011975	RINGER, DENNIS		BEBE STORES INC COM NEW	S	-6,612.12	65.00	0.00	65.00 80.0 52.00
11/29/2017	QGW011975	RINGER, DENNIS		G-III APPAREL GROUP LTD	S	-11,645.76	65.00	0.00	65.00 80.0 52.00
11/29/2017	QGW011975	RINGER, DENNIS		PROVISION HLDG INC COM	S	-2,678.00	65.00	0.00	65.00 80.0 52.00
12/11/2017	QGW012718	DEPALMA, RALPH		SWEDISH KRONA CURRENCY	S	595.00	0.14	0.00	0.14 80.0 0.11
12/22/2017	QGW012759	FORST, BARRY		QUATERRA RESOURCES INC	S	-298.90	10.88	0.00	10.88 80.0 8.70
12/26/2017	QGW012759	FORST, BARRY		TANZANIAN ROYALTY EXPLORATION CORPO B		1,180.00	20.00	0.00	20.00 80.0 16.00
12/11/2017	QGW012767	FORST, DANIEL		KRAKEN ROBOTICS INC COM ISIN#CA5007 B		1,636.80	30.00	0.00	30.00 80.0 24.00
12/15/2017	QGW012809	GILPIN, DOUGLAS		CHICAGO BRIDGE & IRON CO NV	B	8,994.75	20.00	0.00	20.00 80.0 16.00
12/18/2017	QGW012809	GILPIN, DOUGLAS		CHICAGO BRIDGE & IRON CO NV	S	-8,665.00	20.00	0.00	20.00 80.0 16.00
11/30/2017	QGW013013	QUINONES, CAROL		SNAP INC CLASS A COMMON STOCK	B	694.91	10.00	0.00	10.00 80.0 8.00
12/06/2017	QGW013104	GRASS, BRIAN		APPLE INC	B	1,689.94	20.00	0.00	20.00 80.0 16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
12/06/2017	QGW013104	GRASS, BRIAN	BERKSHIRE HATHAWAY INC DEL CL B	B	1,956.25	20.00	0.00	20.00	80.0	16.00
12/06/2017	QGW013104	GRASS, BRIAN	HOME DEPOT INC	B	1,792.70	20.00	0.00	20.00	80.0	16.00
12/06/2017	QGW013104	GRASS, BRIAN	OPKO HEALTH INC	S	-2,982.06	20.00	0.00	20.00	80.0	16.00
12/15/2017	QGW013369	FORST, DANIEL	KRAKEN ROBOTICS INC COM ISIN#CA5007	B	1,452.00	20.00	0.00	20.00	80.0	16.00
12/22/2017	QGW013385	CUSTODIAN, ANTONIO	AVON PRODUCTS INC	B	1,149.50	20.00	0.00	20.00	80.0	16.00
12/22/2017	QGW013385	CUSTODIAN, ANTONIO	WEIGHT WATCHERS INTL INC NEW	S	-1,282.76	20.00	0.00	20.00	80.0	16.00
12/22/2017	QGW013393	CUSTOIAN, ANTONIO	AVON PRODUCTS INC	B	1,149.70	20.00	0.00	20.00	80.0	16.00
12/22/2017	QGW013393	CUSTOIAN, ANTONIO	WEIGHT WATCHERS INTL INC NEW	S	-1,285.15	20.00	0.00	20.00	80.0	16.00
11/30/2017	QGW013484	HACKEL, DEBBIE	CADENCE DESIGN SYS INC	B	43,560.00	10.00	0.00	10.00	80.0	8.00
11/30/2017	QGW013484	HACKEL, DEBBIE	CADENCE DESIGN SYS INC	S	-43,540.00	10.00	0.00	10.00	80.0	8.00
11/30/2017	QGW013484	HACKEL, DEBBIE	SPROUTS FARMERS MARKET INC COM	S	-35,487.00	10.00	0.00	10.00	80.0	8.00
11/30/2017	QGW013484	HACKEL, DEBBIE	SPROUTS FARMERS MARKET INC COM	B	35,449.95	10.00	0.00	10.00	80.0	8.00
12/07/2017	QGW013484	HACKEL, DEBBIE	AMERICAN OUTDOOR BRANDS CORP COM	S	-25,833.00	10.00	0.00	10.00	80.0	8.00
12/07/2017	QGW013484	HACKEL, DEBBIE	UNDER ARMOUR INC CLASS C COMMON STO B		23,180.80	10.00	0.00	10.00	80.0	8.00
12/07/2017	QGW013484	HACKEL, DEBBIE	UNDER ARMOUR INC CLASS C COMMON STO S		-23,220.00	10.00	0.00	10.00	80.0	8.00
12/08/2017	QGW013484	HACKEL, DEBBIE	AMERICAN OUTDOOR BRANDS CORP COM	B	25,031.40	10.00	0.00	10.00	80.0	8.00
12/08/2017	QGW013484	HACKEL, DEBBIE	STURM RUGER & CO INC	S	-26,925.00	10.00	0.00	10.00	80.0	8.00
12/08/2017	QGW013484	HACKEL, DEBBIE	STURM RUGER & CO INC	B	26,735.00	10.00	0.00	10.00	80.0	8.00
12/13/2017	QGW013484	HACKEL, DEBBIE	NORDSON CORP	B	20,776.50	10.00	0.00	10.00	80.0	8.00
12/13/2017	QGW013484	HACKEL, DEBBIE	PIER ONE IMPORTS INC (DEL)	S	-10,100.00	10.00	0.00	10.00	80.0	8.00
12/14/2017	QGW013484	HACKEL, DEBBIE	NORDSON CORP	S	-21,046.50	10.00	0.00	10.00	80.0	8.00
12/14/2017	QGW013484	HACKEL, DEBBIE	PIER ONE IMPORTS INC (DEL)	B	8,513.60	10.00	0.00	10.00	80.0	8.00
12/19/2017	QGW013484	HACKEL, DEBBIE	MILLER HERMAN INC	S	-35,600.00	10.00	0.00	10.00	80.0	8.00
12/20/2017	QGW013484	HACKEL, DEBBIE	MILLER HERMAN INC	B	35,935.00	10.00	0.00	10.00	80.0	8.00
12/01/2017	QGW013609	WOLLOCH, PSP	AT&T INC	B	7,277.00	20.00	0.00	20.00	80.0	16.00
12/01/2017	QGW013609	WOLLOCH, PSP	BERKSHIRE HATHAWAY INC DEL CL B	B	3,850.60	20.00	0.00	20.00	80.0	16.00
12/01/2017	QGW020513	FORST, BARRY	CLEAN TEQ HOLDINGS LTD MELBOURNE SH S		-2,979.00	20.00	0.00	20.00	80.0	16.00
12/01/2017	QGW020513	FORST, BARRY	KRAKEN ROBOTICS INC COM ISIN#CA5007	B	137.00	1.33	0.00	1.33	80.0	1.06
12/01/2017	QGW020513	FORST, BARRY	KRAKEN ROBOTICS INC COM ISIN#CA5007	B	1,438.50	14.00	0.00	14.00	80.0	11.20
12/01/2017	QGW020513	FORST, BARRY	KRAKEN ROBOTICS INC COM ISIN#CA5007	B	479.50	4.67	0.00	4.67	80.0	3.74
12/08/2017	QGW020513	FORST, BARRY	CLEAN TEQ HOLDINGS LTD MELBOURNE SH B		939.00	10.00	0.00	10.00	80.0	8.00
12/08/2017	QGW020513	FORST, BARRY	CLEAN TEQ HOLDINGS LTD MELBOURNE SH B		940.00	10.00	0.00	10.00	80.0	8.00
12/01/2017	QGW020521	FORST, DANIEL	CLEAN TEQ HOLDINGS LTD MELBOURNE SH S		-1,787.40	20.00	0.00	20.00	80.0	16.00
12/08/2017	QGW020521	FORST, DANIEL	CLEAN TEQ HOLDINGS LTD MELBOURNE SH B		1,410.00	20.00	0.00	20.00	80.0	16.00
12/15/2017	QGW020679	GRASS, ELAINE	CSX CORPORATION COM	B	5,289.64	20.00	0.00	20.00	80.0	16.00
12/15/2017	QGW020679	GRASS, ELAINE	CSX CORPORATION COM	B	-5,289.64	-20.00	0.00	-20.00	80.0	-16.00 C
12/15/2017	QGW020679	GRASS, ELAINE	CSX CORPORATION COM	B	4,231.71	20.00	0.00	20.00	80.0	16.00
12/11/2017	QGW021156	SIEGEL, STUART	DELTA AIR LINES INC DEL COM	B	8,061.98	20.00	0.00	20.00	80.0	16.00
12/11/2017	QGW021156	SIEGEL, STUART	ENERGY TRANSFER PARTNERS L P	B	6,607.96	20.00	0.00	20.00	80.0	16.00
12/20/2017	QGW021156	SIEGEL, STUART	DELTA AIR LINES INC DEL COM	S	-8,470.52	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/22/2017	QGW021156	SIEGEL, STUART							
		ENTERPRISE PRODUCTS PARTNERS LP	S	-7,917.78	20.00	0.00	20.00	80.0	16.00
12/06/2017	QGW021263	KOLENIK, PATRICK							
		LEGACY ED ALLIANCE INC COM	B	507.10	40.00	0.00	40.00	80.0	32.00
12/14/2017	QGW021263	KOLENIK, PATRICK							
		LEVEL BRANDS INC COM NEW	B	11,511.25	40.00	0.00	40.00	80.0	32.00
12/18/2017	QGW021263	KOLENIK, PATRICK							
		LEGACY ED ALLIANCE INC COM	B	1,225.00	40.00	0.00	40.00	80.0	32.00
12/18/2017	QGW021263	KOLENIK, PATRICK							
		LEVEL BRANDS INC COM NEW	B	4,649.90	40.00	0.00	40.00	80.0	32.00
12/19/2017	QGW021263	KOLENIK, PATRICK							
		LEGACY ED ALLIANCE INC COM	B	424.90	40.00	0.00	40.00	80.0	32.00
12/19/2017	QGW021263	KOLENIK, PATRICK							
		LEVEL BRANDS INC COM NEW	B	2,124.95	40.00	0.00	40.00	80.0	32.00
12/20/2017	QGW021263	KOLENIK, PATRICK							
		LEGACY ED ALLIANCE INC COM	B	425.00	40.00	0.00	40.00	80.0	32.00
12/01/2017	QGW023160	RAFANELLO, KRISTA							
		CLEAN TEQ HOLDINGS LTD MELBOURNE SH S	S	-1,191.60	20.00	0.00	20.00	80.0	16.00
12/13/2017	QGW023160	RAFANELLO, KRISTA							
		CLEAN TEQ HOLDINGS LTD MELBOURNE SH B	B	999.00	18.18	0.00	18.18	80.0	14.54
12/13/2017	QGW023160	RAFANELLO, KRISTA							
		CLEAN TEQ HOLDINGS LTD MELBOURNE SH B	B	100.00	1.82	0.00	1.82	80.0	1.46
12/13/2017	QGW028193	DARWISH, MENACHEM							
		MACYS INC	S	-77,550.00	35.00	0.00	35.00	80.0	28.00
11/29/2017	QGW029019	SHARTSIS, JAY							
		SANDSTORM GOLD LTD COM NEW ISIN#CA8 S	S	-5,772.00	20.00	0.00	20.00	80.0	16.00
12/04/2017	QGW030298	CHERNOFF, GLENN							
		INTERNATIONAL BUSINESS MACHINES COR B	B	31,349.96	20.00	0.00	20.00	80.0	16.00
12/07/2017	QGW030298	CHERNOFF, GLENN							
		ZEBRA TECHNOLOGIES CORP CL A	S	-31,863.00	20.00	0.00	20.00	80.0	16.00
12/15/2017	QGW030298	CHERNOFF, GLENN							
		INTERNATIONAL BUSINESS MACHINES COR S	S	-30,409.40	20.00	0.00	20.00	80.0	16.00
12/18/2017	QGW030298	CHERNOFF, GLENN							
		FREEMPORT MCMORAN INC	S	-33,762.80	20.00	0.00	20.00	80.0	16.00
12/18/2017	QGW030298	CHERNOFF, GLENN							
		TOPBUILD CORP COM	S	-36,950.00	20.00	0.00	20.00	80.0	16.00
12/19/2017	QGW030298	CHERNOFF, GLENN							
		VISA INC CL A COMMON STOCK	B	33,899.43	20.00	0.00	20.00	80.0	16.00
12/19/2017	QGW030298	CHERNOFF, GLENN							
		WALT DISNEY CO	B	33,630.00	20.00	0.00	20.00	80.0	16.00
12/15/2017	QGW030306	HERMAN, PAUL							
		CSX CORPORATION COM	B	10,563.96	75.00	0.00	75.00	80.0	60.00
12/15/2017	QGW030306	HERMAN, PAUL							
		GENERAL ELECTRIC CO	B	8,867.50	75.00	0.00	75.00	80.0	60.00
12/20/2017	QGW030629	BEGLEY, MICHAEL							
		WHEATON PRECIOUS METALS CORP COM	S	-13,278.06	20.00	0.00	20.00	80.0	16.00
11/29/2017	QGW030702	RUDOLPH, MARTIN							
		MARATHON PATENT GROUP INC COM PAR \$ B	B	10,720.00	20.00	0.00	20.00	80.0	16.00
11/29/2017	QGW030702	RUDOLPH, MARTIN							
		PHILIP MORRIS INTERNATIONAL INC	S	-2,773.67	20.00	0.00	20.00	80.0	16.00
12/11/2017	QGW030702	RUDOLPH, MARTIN							
		CENTURYTEL INC	S	-15,810.00	20.00	0.00	20.00	80.0	16.00
12/18/2017	QGW030702	RUDOLPH, MARTIN							
		APTIV PLC SHS COM	S	-8,451.65	20.00	0.00	20.00	80.0	16.00
12/18/2017	QGW030702	RUDOLPH, MARTIN							
		DELPHI TECHNOLOGIES PLC SHS	S	-1,755.27	20.00	0.00	20.00	80.0	16.00
12/19/2017	QGW030702	RUDOLPH, MARTIN							
		RIOT BLOCKCHAIN INC COM	B	8,950.00	20.00	0.00	20.00	80.0	16.00
12/21/2017	QGW030702	RUDOLPH, MARTIN							
		HOME DEPOT INC	B	18,869.00	20.00	0.00	20.00	80.0	16.00
12/26/2017	QGW030702	RUDOLPH, MARTIN							
		DIREXION SHS ETF TR DAILY JR GOLD M S	S	-3,602.00	20.00	0.00	20.00	80.0	16.00
11/29/2017	QGW030728	RUDOLPH, MARTIN							
		AMAZON.COM INC	B	11,598.70	20.00	0.00	20.00	80.0	16.00
11/29/2017	QGW030793	RUDOLPH, HOWARD							
		BANK OF AMERICA CORP	B	5,633.00	20.00	0.00	20.00	80.0	16.00
12/05/2017	QGW030827	TO B CAPITAL SPECIAL LM FDG AMER INC COM	B	2,160.00	96.89	0.00	96.89	80.0	77.51
12/06/2017	QGW030827	TO B CAPITAL SPECIAL LM FDG AMER INC COM	S	-2,840.00	105.12	0.00	105.12	80.0	84.10
12/15/2017	QGW030827	TO B CAPITAL SPECIAL AVINO SILVER & GOLD MINES LTD COM I B	B	2,375.82	148.37	0.00	148.37	80.0	118.70
12/06/2017	QGW030850	SEXER LEVY							
		VIKING THERAPEUTICS INC COM	B	1,674.00	20.00	0.00	20.00	80.0	16.00
11/29/2017	QGW031049	WINNICK, CHARLES							
		TIER REIT INC COM	B	89,924.85	20.00	0.00	20.00	80.0	16.00
11/30/2017	QGW031049	WINNICK, CHARLES							
		ELLIS PERRY INTERNATIONAL INC	S	-98,015.20	20.00	0.00	20.00	80.0	16.00
11/30/2017	QGW031049	WINNICK, CHARLES							
		SIMPLY GOOD FOODS CO COM	S	-60,439.86	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/01/2017	QGW031049	WINNICK, CHARLES	ELLIS PERRY INTERNATIONAL INC	S	-38,269.66	20.00	0.00	20.00	16.00
12/04/2017	QGW031049	WINNICK, CHARLES	GREEN BRICK PARTNERS INC COM	S	-70,965.60	20.00	0.00	20.00	16.00
12/08/2017	QGW031049	WINNICK, CHARLES	LILIS ENERGY INC COM NEW	B	11,437.50	20.00	0.00	20.00	16.00
12/11/2017	QGW031049	WINNICK, CHARLES	ELLIS PERRY INTERNATIONAL INC	B	124,113.50	20.00	0.00	20.00	16.00
12/11/2017	QGW031049	WINNICK, CHARLES	LIBERTY MEDIA CORPORATION SERIES A	B	76,938.05	20.00	0.00	20.00	16.00
12/12/2017	QGW031049	WINNICK, CHARLES	CRAZY WOMAN CREEK BANCORP INC COM	B	17,500.00	20.00	0.00	20.00	16.00
12/12/2017	QGW031049	WINNICK, CHARLES	LILIS ENERGY INC COM NEW	B	20,155.05	20.00	0.00	20.00	16.00
12/18/2017	QGW031049	WINNICK, CHARLES	LIBERTY MEDIA CORPORATION SERIES A	S	-105,672.60	20.00	0.00	20.00	16.00
12/19/2017	QGW031049	WINNICK, CHARLES	TIER REIT INC COM	S	-40,643.60	20.00	0.00	20.00	16.00
12/12/2017	QGW031585	WARD, HEATHER	ENTERCOM COMMUNICATIONS CORP CLASS	S	-616.00	50.00	0.00	50.00	40.00
12/12/2017	QGW031585	WARD, HEATHER	UNITED STATES STL CORP NEW	S	-3,341.00	100.00	0.00	100.00	80.00
12/18/2017	QGW033482	CASALE, MARCO	VIPSHOP HLDGS LTD SPONS ADR ISIN#US	S	-4,897.40	20.00	0.00	20.00	16.00
12/22/2017	QGW033482	CASALE, MARCO	MOMO INC ADR	B	5,089.00	20.00	0.00	20.00	16.00
12/18/2017	QGW033516	CUSTODIAN, MARISA	NIC INC	B	5,505.00	20.00	0.00	20.00	16.00
12/18/2017	QGW033516	CUSTODIAN, MARISA	VIPSHOP HLDGS LTD SPONS ADR ISIN#US	S	-6,130.90	20.00	0.00	20.00	16.00
12/04/2017	QGW034167	BAAI, KHOZAIM	GENERAL ELECTRIC CO	B	809.55	20.00	0.00	20.00	16.00
12/15/2017	QGW036451	BELZ, RICHARD	RIOT BLOCKCHAIN INC COM	S	-81,000.00	20.00	0.00	20.00	16.00
12/18/2017	QGW036451	BELZ, RICHARD	RIOT BLOCKCHAIN INC COM	B	111,957.00	20.00	0.00	20.00	16.00
12/06/2017	QGW036550	FATTORUSO, PHYLLIS	ARES CAPITAL CORPORATION	B	8,153.30	20.00	0.00	20.00	16.00
12/15/2017	QGW036642	GRASS, PAUL	CSX CORPORATION COM	B	5,290.32	20.00	0.00	20.00	16.00
12/15/2017	QGW036642	GRASS, PAUL	CSX CORPORATION COM	B	1,057.93	10.00	0.00	10.00	8.00
12/18/2017	QGW036642	GRASS, PAUL	SIEBERT FINL CORP COM	B	9,414.00	20.00	0.00	20.00	16.00
12/19/2017	QGW036642	GRASS, PAUL	RIOT BLOCKCHAIN INC COM	B	8,430.00	20.00	0.00	20.00	16.00
12/20/2017	QGW036642	GRASS, PAUL	KRAFT HEINZ COMPANY (THE) COMMON ST	B	7,769.50	20.00	0.00	20.00	16.00
12/20/2017	QGW036642	GRASS, PAUL	SIEBERT FINL CORP COM	S	-8,000.00	20.00	0.00	20.00	16.00
12/21/2017	QGW036642	GRASS, PAUL	RIOT BLOCKCHAIN INC COM	B	6,430.00	20.00	0.00	20.00	16.00
12/26/2017	QGW036642	GRASS, PAUL	GENERAL ELECTRIC CO	B	1,926.65	20.00	0.00	20.00	16.00
12/27/2017	QGW036642	GRASS, PAUL	PARTEUM CORPORATION COMMON STOCK	B	3,100.00	20.00	0.00	20.00	16.00
12/15/2017	QGW036709	GILPIN, DOUGLAS	HABIT RESTAURANTS INC COM CL A	B	4,080.00	90.99	0.00	90.99	72.79
12/15/2017	QGW036709	GILPIN, DOUGLAS	HAIN CELESTIAL GROUP INC	B	3,998.87	63.79	0.00	63.79	51.03
12/15/2017	QGW036865	MURPHY, DENNIS	CSX CORPORATION COM	B	5,289.30	80.73	0.00	80.73	64.58
12/01/2017	QGW036998	PEDROZA, NOEMI	ALIBABA GROUP HOLDING LTD SPONSORED	S	-26,163.00	20.00	0.00	20.00	16.00
12/01/2017	QGW036998	PEDROZA, NOEMI	DRYSHIPS INC COM PAR NEW \$0.01 JULY	S	-20,700.00	10.00	0.00	10.00	8.00
11/29/2017	QGW037368	HEIBER, JENNIFER	GNC HLDGS INC COM CL A	S	-61,000.00	25.00	0.00	25.00	20.00
11/29/2017	QGW037368	HEIBER, JENNIFER	RIOT BLOCKCHAIN INC COM	B	15,160.00	25.00	0.00	25.00	20.00
12/01/2017	QGW037368	HEIBER, JENNIFER	PROSHARES TRUST II PROSHARES ULTRA	B	81,241.50	25.00	0.00	25.00	20.00
12/05/2017	QGW037368	HEIBER, JENNIFER	ENVIRONMENTAL PACKAGING TECHNOLOGIE	S	-3,000.00	25.00	0.00	25.00	20.00
12/06/2017	QGW037368	HEIBER, JENNIFER	PROSHARES TRUST II PROSHARES ULTRA	S	-206,250.00	25.00	0.00	25.00	20.00
12/07/2017	QGW037368	HEIBER, JENNIFER	RIOT BLOCKCHAIN INC COM	B	149,998.00	25.00	0.00	25.00	20.00
12/07/2017	QGW037368	HEIBER, JENNIFER	VISTA OUTDOOR INC COM	S	-6,050.00	25.00	0.00	25.00	20.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
12/11/2017	QGW037368	HEIBER, JENNIFER							
		RIOT BLOCKCHAIN INC COM	S	-10,795.00	25.00	0.00	25.00	80.0	20.00
12/12/2017	QGW037368	HEIBER, JENNIFER							
		RIOT BLOCKCHAIN INC COM	B	146,000.00	25.00	0.00	25.00	80.0	20.00
12/13/2017	QGW037368	HEIBER, JENNIFER							
		RIOT BLOCKCHAIN INC COM	B	126,250.00	25.00	0.00	25.00	80.0	20.00
12/15/2017	QGW037368	HEIBER, JENNIFER							
		KINERJAPAY CORP COM	S	-7,244.05	25.00	0.00	25.00	80.0	20.00
12/15/2017	QGW037368	HEIBER, JENNIFER							
		RIOT BLOCKCHAIN INC COM	S	-140,000.00	25.00	0.00	25.00	80.0	20.00
12/18/2017	QGW037368	HEIBER, JENNIFER							
		ON TRACK INNOVATION LTD SHS ISIN#IL B		22,427.00	25.00	0.00	25.00	80.0	20.00
12/18/2017	QGW037368	HEIBER, JENNIFER							
		PARETEUM CORPORATION COMMON STOCK	B	13,399.00	25.00	0.00	25.00	80.0	20.00
12/19/2017	QGW037368	HEIBER, JENNIFER							
		GNC HLDGS INC COM CL A	S	-144,459.00	25.00	0.00	25.00	80.0	20.00
12/19/2017	QGW037368	HEIBER, JENNIFER							
		LONGFIN CORP COM CL A	B	67,000.00	25.00	0.00	25.00	80.0	20.00
12/20/2017	QGW037368	HEIBER, JENNIFER							
		LONGFIN CORP COM CL A	S	-67,550.00	25.00	0.00	25.00	80.0	20.00
12/20/2017	QGW037368	HEIBER, JENNIFER							
		LONGFIN CORP COM CL A	B	71,000.00	25.00	0.00	25.00	80.0	20.00
12/20/2017	QGW037368	HEIBER, JENNIFER							
		MARATHON PATENT GROUP INC COM PAR \$ B		29,000.00	25.00	0.00	25.00	80.0	20.00
12/21/2017	QGW037368	HEIBER, JENNIFER							
		NET ELEMENT INC COM PAR \$0.0001	B	139,487.00	25.00	0.00	25.00	80.0	20.00
12/21/2017	QGW037368	HEIBER, JENNIFER							
		NET ELEMENT INC COM PAR \$0.0001	S	-75,098.40	25.00	0.00	25.00	80.0	20.00
12/27/2017	QGW037368	HEIBER, JENNIFER							
		LONGFIN CORP COM CL A	B	60,000.00	25.00	0.00	25.00	80.0	20.00
12/27/2017	QGW037368	HEIBER, JENNIFER							
		RIOT BLOCKCHAIN INC COM	B	153,633.50	25.00	0.00	25.00	80.0	20.00
12/27/2017	QGW037368	HEIBER, JENNIFER							
		RIOT BLOCKCHAIN INC COM	S	-148,750.00	25.00	0.00	25.00	80.0	20.00
11/29/2017	QGW037558	HIEBER TRUST,							
		RIOT BLOCKCHAIN INC COM	B	15,160.00	100.00	0.00	100.00	80.0	80.00
12/08/2017	QGW037558	HIEBER TRUST,							
		22ND CENTY GROUP INC COM	B	12,500.00	100.00	0.00	100.00	80.0	80.00
12/08/2017	QGW037558	HIEBER TRUST,							
		RIOT BLOCKCHAIN INC COM	S	-39,625.75	99.99	0.00	99.99	80.0	79.99
12/08/2017	QGW037558	HIEBER TRUST,							
		RIOT BLOCKCHAIN INC COM	B	36,875.00	100.00	0.00	100.00	80.0	80.00
12/08/2017	QGW037558	HIEBER TRUST,							
		SQUARE INC CLASS A COM	B	38,749.60	100.00	0.00	100.00	80.0	80.00
12/11/2017	QGW037558	HIEBER TRUST,							
		RIOT BLOCKCHAIN INC COM	S	-10,795.00	100.00	0.00	100.00	80.0	80.00
12/18/2017	QGW037558	HIEBER TRUST,							
		INTEGRATED VENTURES INC COM NEW	B	26,249.50	100.00	0.00	100.00	80.0	80.00
12/18/2017	QGW037558	HIEBER TRUST,							
		INTEGRATED VENTURES INC COM NEW	S	-32,400.00	100.00	0.00	100.00	80.0	80.00
12/18/2017	QGW037558	HIEBER TRUST,							
		LONGFIN CORP COM CL A	S	-9,599.00	100.00	0.00	100.00	80.0	80.00
12/18/2017	QGW037558	HIEBER TRUST,							
		LONGFIN CORP COM CL A	B	8,373.94	100.00	0.00	100.00	80.0	80.00
12/12/2017	QGW038259	ROSS, THOMAS							
		RIOT BLOCKCHAIN INC COM	B	5,195.90	25.00	0.00	25.00	80.0	20.00
12/12/2017	QGW038259	ROSS, THOMAS							
		RIOT BLOCKCHAIN INC COM	S	-6,430.00	25.00	0.00	25.00	80.0	20.00
* STOCKS SUBTOTAL *				\$1,114,528.23	\$7,589.31	\$0.00	\$7,589.31		\$6,071.43
<u>PRODUCT CATEGORY: BONDS</u>									
12/21/2017	75500349	POMP, BARRY							
		HORNBECK OFFSHORE SVCS INC NEW GTD B		19,440.00	0.00	0.00	0.00	80.0	0.00
* BONDS SUBTOTAL *				\$19,440.00	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: OPTIONS</u>									
11/30/2017	75500426	MIGLIORATO, DOMENICK	PUT OSTK 12/15/17 50	B	8,000.00	80.00	0.00	80.00	64.00
12/04/2017	75500426	MIGLIORATO, DOMENICK	CALL SPY 01/19/18 268	B	6,090.00	120.00	0.00	120.00	96.00
12/04/2017	75500426	MIGLIORATO, DOMENICK	PUT SPY 01/19/18 258	S	2,355.00	60.00	0.00	60.00	48.00
11/29/2017	QGW001166	KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-5,737.00	13.40	0.00	13.40	10.72

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
11/29/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 02-1 B		37,005.00	20.20	0.00	20.20	80.0	16.16
11/29/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 02-1 S		-32,358.96	20.20	0.00	20.20	80.0	16.16
11/29/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-20-18 B		37,254.00	45.70	0.00	45.70	80.0	36.56
11/29/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-20-18 S		-24,591.00	45.70	0.00	45.70	80.0	36.56
11/29/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 B		7,150.00	13.40	0.00	13.40	80.0	10.72
11/29/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 06- B		665.00	14.25	0.00	14.25	80.0	11.40
11/29/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 06- S		-795.00	22.75	0.00	22.75	80.0	18.20
11/29/2017	QGW001166 KOONDEL	CALL 100 CME GROUP INC COM EXP 06-1 S		-990.00	12.55	0.00	12.55	80.0	10.04
11/29/2017	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 01-19 B		1,072.00	11.70	0.00	11.70	80.0	9.36
11/29/2017	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 01-19 S		-1,664.00	13.40	0.00	13.40	80.0	10.72
11/29/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 12-22-17@ S		-125.00	14.25	0.00	14.25	80.0	11.40
11/29/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 12-29-17@ S		-145.00	14.25	0.00	14.25	80.0	11.40
11/29/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 12-15-17@ S		-110.00	14.25	0.00	14.25	80.0	11.40
11/30/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 01-05-18 S		-1,100.00	13.40	0.00	13.40	80.0	10.72
11/30/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 06- B		2,178.00	22.75	0.00	22.75	80.0	18.20
11/30/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 06- S		-2,664.00	48.25	0.00	48.25	80.0	38.60
12/01/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 01-19-18 B		22,156.00	18.50	0.00	18.50	80.0	14.80
12/01/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 04-20-18 S		-24,668.00	18.50	0.00	18.50	80.0	14.80
12/01/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-18-19@ S		-2,412.00	15.10	0.00	15.10	80.0	12.08
12/01/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-18-19@ B		2,002.00	13.40	0.00	13.40	80.0	10.72
12/01/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-18-19@ S		-4,476.00	15.10	0.00	15.10	80.0	12.08
12/01/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-18-19@ B		3,594.00	13.40	0.00	13.40	80.0	10.72
12/04/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 01-19-18 B		22,951.00	18.50	0.00	18.50	80.0	14.80
12/04/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-51,912.99	12.55	0.00	12.55	80.0	10.04
12/04/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 01-18-19 B		2,550.00	12.55	0.00	12.55	80.0	10.04
12/04/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 S		-19,639.00	18.50	0.00	18.50	80.0	14.80
12/04/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 01-18-19 S		-2,205.00	12.55	0.00	12.55	80.0	10.04
12/04/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 B		39,177.99	12.55	0.00	12.55	80.0	10.04
12/04/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 01- S		-64,140.00	137.50	0.00	137.50	80.0	110.00
12/04/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 06- B		1,650.00	13.50	0.00	13.50	80.0	10.80
12/04/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 06- B		1,730.00	13.50	0.00	13.50	80.0	10.80
12/04/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 06- B		710.00	27.00	0.00	27.00	80.0	21.60
12/04/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 02- B		53,450.00	95.00	0.00	95.00	80.0	76.00
12/04/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 06- S		-4,221.00	61.00	0.00	61.00	80.0	48.80
12/04/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 06- B		-1,650.00	-13.50	0.00	-13.50	80.0	-10.80 C
12/04/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 06- B		1,650.00	13.50	0.00	13.50	80.0	10.80
12/04/2017	QGW001166 KOONDEL	CALL 100 CME GROUP INC COM EXP 06-1 S		-1,170.00	12.55	0.00	12.55	80.0	10.04
12/04/2017	QGW001166 KOONDEL	CALL 100 CME GROUP INC COM EXP 06-1 S		-1,200.00	13.40	0.00	13.40	80.0	10.72
12/05/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 06- B		452.01	21.05	0.00	21.05	80.0	16.84
12/06/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 01-19-18 B		20,050.00	18.50	0.00	18.50	80.0	14.80

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
12/06/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 B		21,213.00	18.50	0.00	18.50	80.0	14.80
12/06/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 S		-21,200.00	18.50	0.00	18.50	80.0	14.80
12/06/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 S		-17,064.00	18.50	0.00	18.50	80.0	14.80
12/06/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-20-18 B		10,116.96	20.20	0.00	20.20	80.0	16.16
12/06/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-20-18 S		-6,542.04	20.20	0.00	20.20	80.0	16.16
12/06/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 B		-21,213.00	-18.50	0.00	-18.50	80.0	-14.80 C
12/06/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 B		21,213.00	18.50	0.00	18.50	80.0	14.80
12/06/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 06- B		960.00	35.50	0.00	35.50	80.0	28.40
12/06/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 07-20-18@ S		-2,154.08	23.60	0.00	23.60	80.0	18.88
12/06/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 07-20-18@ B		1,484.00	16.80	0.00	16.80	80.0	13.44
12/07/2017	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP B		108.00	13.40	0.00	13.40	80.0	10.72
12/07/2017	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP S		-206.00	13.40	0.00	13.40	80.0	10.72
12/08/2017	QGW001166 KOONDEL	PUT 100 AIR PRODS & CHEMS EXP 01-19 S		-375.00	12.55	0.00	12.55	80.0	10.04
12/08/2017	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP B		36.00	10.85	0.00	10.85	80.0	8.68
12/08/2017	QGW001166 KOONDEL	PUT 100 TEXAS INSTRUMENTS INC EXP 0 S		-306.00	12.55	0.00	12.55	80.0	10.04
12/11/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 01-19-18 B		42,567.00	27.00	0.00	27.00	80.0	21.60
12/11/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-15-18 S		-25,426.00	18.50	0.00	18.50	80.0	14.80
12/11/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 12-15-17 B		41.00	13.40	0.00	13.40	80.0	10.72
12/11/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 S		-17,673.00	18.50	0.00	18.50	80.0	14.80
12/11/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 02-16-18 B		59,229.00	35.50	0.00	35.50	80.0	28.40
12/11/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 07-20-18 S		-61,509.90	35.50	0.00	35.50	80.0	28.40
12/11/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 01-12-18 S		-737.00	13.40	0.00	13.40	80.0	10.72
12/11/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 06- B		964.04	33.80	0.00	33.80	80.0	27.04
12/11/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 02- B		33,600.00	95.00	0.00	95.00	80.0	76.00
12/11/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 02- S		-150.00	18.50	0.00	18.50	80.0	14.80
12/11/2017	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO S		-41,400.00	95.00	0.00	95.00	80.0	76.00
12/11/2017	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO S		-626.08	33.80	0.00	33.80	80.0	27.04
12/12/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 06- B		926.00	31.25	0.00	31.25	80.0	25.00
12/12/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 02- S		-255.00	22.75	0.00	22.75	80.0	18.20
12/12/2017	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO S		-651.00	31.25	0.00	31.25	80.0	25.00
12/13/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 06- B		3,720.00	95.00	0.00	95.00	80.0	76.00
12/13/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 01- S		-280.00	18.50	0.00	18.50	80.0	14.80
12/13/2017	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO S		-2,055.00	61.00	0.00	61.00	80.0	48.80
12/13/2017	QGW001166 KOONDEL	PUT 100 CVS HEALTH CORP COM EXP 12- B		15.00	12.55	0.00	12.55	80.0	10.04
12/13/2017	QGW001166 KOONDEL	PUT 100 CVS HEALTH CORP COM EXP 01- S		-165.00	12.55	0.00	12.55	80.0	10.04
12/13/2017	QGW001166 KOONDEL	PUT 100 REALTY INCOME CORP COM EXP S		-171.00	12.55	0.00	12.55	80.0	10.04
12/13/2017	QGW001166 KOONDEL	PUT 100 REALTY INCOME CORP COM EXP B		15.00	12.55	0.00	12.55	80.0	10.04
12/13/2017	QGW001166 KOONDEL	PUT 100 WAL MART STORES INC COM EXP S		-174.00	11.70	0.00	11.70	80.0	9.36
12/14/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-18-19@ S		-3,220.00	18.50	0.00	18.50	80.0	14.80
12/14/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-18-19@ B		4,070.00	18.50	0.00	18.50	80.0	14.80

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
12/15/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 01-19-18 S		-2,444.00	16.80	0.00	16.80	80.0	13.44
12/15/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 B		56,226.00	16.80	0.00	16.80	80.0	13.44
12/15/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 06-1 S		-61,266.00	20.20	0.00	20.20	80.0	16.16
12/15/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 12-22-17 B		348.00	13.40	0.00	13.40	80.0	10.72
12/15/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 12-29-17 B		588.00	13.40	0.00	13.40	80.0	10.72
12/15/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-1,000.00	11.70	0.00	11.70	80.0	9.36
12/15/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 06- B		300.00	18.50	0.00	18.50	80.0	14.80
12/15/2017	QGW001166 KOONDEL	CALL 100 CME GROUP INC COM EXP 01-1 B		2,658.00	13.40	0.00	13.40	80.0	10.72
12/15/2017	QGW001166 KOONDEL	CALL 100 CME GROUP INC COM EXP 01-1 S		-4,810.00	13.40	0.00	13.40	80.0	10.72
12/15/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 07-20-18@ S		-5,490.10	39.75	0.00	39.75	80.0	31.80
12/15/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 07-20-18@ B		3,000.06	21.90	0.00	21.90	80.0	17.52
12/18/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-1,693.00	11.70	0.00	11.70	80.0	9.36
12/18/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 12-2 B		362.00	10.85	0.00	10.85	80.0	8.68
12/18/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 12-2 B		180.00	10.85	0.00	10.85	80.0	8.68
12/18/2017	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 01-18 S		-2,010.00	13.40	0.00	13.40	80.0	10.72
12/18/2017	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 01-18 S		-8,852.00	18.50	0.00	18.50	80.0	14.80
12/18/2017	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 01-18 B		13,542.00	18.50	0.00	18.50	80.0	14.80
12/18/2017	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP S		-84.00	13.40	0.00	13.40	80.0	10.72
12/18/2017	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP S		-96.00	13.40	0.00	13.40	80.0	10.72
12/18/2017	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP S		-172.00	13.40	0.00	13.40	80.0	10.72
12/18/2017	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP S		-152.00	13.40	0.00	13.40	80.0	10.72
12/18/2017	QGW001166 KOONDEL	PUT 100 METLIFE INC COM EXP 01-05-1 S		-144.00	12.55	0.00	12.55	80.0	10.04
12/19/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 06- B		1,110.00	35.50	0.00	35.50	80.0	28.40
12/19/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 06- S		-1,065.00	22.75	0.00	22.75	80.0	18.20
12/19/2017	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 01-18 S		-1,030.00	11.70	0.00	11.70	80.0	9.36
12/19/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-19-18@ S		-192.00	13.40	0.00	13.40	80.0	10.72
12/19/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-05-18@ S		-176.00	13.40	0.00	13.40	80.0	10.72
12/19/2017	QGW001166 KOONDEL	PUT 100 APPLE INC COM EXP 01-12-18@ S		-192.00	13.40	0.00	13.40	80.0	10.72
12/19/2017	QGW001166 KOONDEL	PUT 100 GENERAL MTRS CO COM EXP 01- S		-102.00	12.55	0.00	12.55	80.0	10.04
12/19/2017	QGW001166 KOONDEL	PUT 100 GENERAL MTRS CO COM EXP 01- S		-144.00	12.55	0.00	12.55	80.0	10.04
12/20/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 B		6,466.00	10.85	0.00	10.85	80.0	8.68
12/20/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-10,166.00	11.70	0.00	11.70	80.0	9.36
12/20/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 B		37,085.00	18.50	0.00	18.50	80.0	14.80
12/20/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 S		-28,578.00	18.50	0.00	18.50	80.0	14.80
12/20/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 03-1 S		-2,730.00	12.55	0.00	12.55	80.0	10.04
12/20/2017	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 01-18 S		-2,060.00	13.40	0.00	13.40	80.0	10.72
12/20/2017	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 01-18 S		-8,664.00	18.50	0.00	18.50	80.0	14.80
12/20/2017	QGW001166 KOONDEL	PUT 100 ALPHABET INC CL C EXP 01-18 B		13,295.00	18.50	0.00	18.50	80.0	14.80
12/21/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 01- B		12,250.00	31.25	0.00	31.25	80.0	25.00
12/21/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 01- B		422.00	11.70	0.00	11.70	80.0	9.36

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
12/21/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 02- S		-472.00	11.70	0.00	11.70	80.0	9.36
12/21/2017	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO S		-14,125.00	31.25	0.00	31.25	80.0	25.00
12/22/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 01- B		62,825.00	116.25	0.00	116.25	80.0	93.00
12/22/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 01- B		9,315.09	46.55	0.00	46.55	80.0	37.24
12/22/2017	QGW001166 KOONDEL	CALL 100 BANK AMER CORP COM EXP 02- S		-10,313.98	46.55	0.00	46.55	80.0	37.24
12/22/2017	QGW001166 KOONDEL	CALL 100 BANK OF AMERICA CORPORATIO S		-71,948.75	116.25	0.00	116.25	80.0	93.00
12/22/2017	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP B		145.00	14.25	0.00	14.25	80.0	11.40
12/22/2017	QGW001166 KOONDEL	PUT 100 GENERAL ELECTRIC CO COM EXP S		-245.00	14.25	0.00	14.25	80.0	11.40
12/26/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-28,428.00	10.85	0.00	10.85	80.0	8.68
12/26/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-23,427.00	10.85	0.00	10.85	80.0	8.68
12/26/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-15-18 B		8,940.00	18.50	0.00	18.50	80.0	14.80
12/26/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-15-18 S		-6,954.00	18.50	0.00	18.50	80.0	14.80
12/26/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-15-18 B		5,325.06	21.05	0.00	21.05	80.0	16.84
12/26/2017	QGW001166 KOONDEL	CALL 100 APPLE INC COM EXP 06-15-18 S		-3,998.02	21.05	0.00	21.05	80.0	16.84
12/26/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-0 B		19,581.00	10.85	0.00	10.85	80.0	8.68
12/26/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-2 B		25,728.00	10.85	0.00	10.85	80.0	8.68
12/27/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 01-1 S		-46,289.00	11.70	0.00	11.70	80.0	9.36
12/27/2017	QGW001166 KOONDEL	CALL 100 ALPHABET INC CL C EXP 04-2 B		42,101.00	11.70	0.00	11.70	80.0	9.36
12/27/2017	QGW001828 BRIMBERG BIOTECH	CALL 100 EXELIXIS INC COM EXP 01-19 S		-3,075.00	300.00	0.00	300.00	80.0	240.00
12/27/2017	QGW001828 BRIMBERG BIOTECH	CALL 100 EXELIXIS INC COM EXP 01-19 S		-1,450.00	200.00	0.00	200.00	80.0	160.00
12/27/2017	QGW001828 BRIMBERG BIOTECH	CALL 100 EXELIXIS INC COM EXP 01-19 S		3,075.00	-300.00	0.00	-300.00	80.0	-240.00 C
12/27/2017	QGW001828 BRIMBERG BIOTECH	CALL 100 EXELIXIS INC COM EXP 01-19 S		-3,075.00	150.00	0.00	150.00	80.0	120.00
12/27/2017	QGW001828 BRIMBERG BIOTECH	CALL 100 EXELIXIS INC COM EXP 01-19 S		1,450.00	-200.00	0.00	-200.00	80.0	-160.00 C
12/27/2017	QGW001828 BRIMBERG BIOTECH	CALL 100 EXELIXIS INC COM EXP 01-19 S		-1,450.00	100.00	0.00	100.00	80.0	80.00
12/14/2017	QGW004905 CAPRARO, DAVID	CALL 100 UNITI GROUP INC EXP 01-19- S		-2,250.00	30.00	0.00	30.00	80.0	24.00
12/14/2017	QGW004905 CAPRARO, DAVID	PUT 100 FRONTIER COMMUNICATIONS COR S		-5,260.00	100.00	0.00	100.00	80.0	80.00
12/20/2017	QGW004905 CAPRARO, DAVID	PUT 100 FRONTIER COMMUNICATIONS COR S		-10,500.00	100.00	0.00	100.00	80.0	80.00
12/20/2017	QGW004905 CAPRARO, DAVID	PUT 100 FRONTIER COMMUNICATIONS COR S		10,500.00	-100.00	0.00	-100.00	80.0	-80.00 C
12/20/2017	QGW004905 CAPRARO, DAVID	PUT 100 FRONTIER COMMUNICATIONS COR S		-10,500.00	100.00	0.00	100.00	80.0	80.00
12/15/2017	QGW005894 JT/WROS, MINNA	CALL 100 DISNEY WALT CO DISNEY COM B		1,065.00	50.00	0.00	50.00	80.0	40.00
12/15/2017	QGW005894 JT/WROS, MINNA	CALL 100 DISNEY WALT CO DISNEY COM S		-1,115.00	50.00	0.00	50.00	80.0	40.00
12/01/2017	QGW005936 RABBITO, RICHARD	CALL 100 INTEL CORP COM EXP 01-19-1 B		684.00	35.00	0.00	35.00	80.0	28.00
12/08/2017	QGW005936 RABBITO, RICHARD	CALL 100 CISCO SYSTEMS INC EXP 12-1 S		-2,048.00	35.00	0.00	35.00	80.0	28.00
12/22/2017	QGW005936 RABBITO, RICHARD	CALL 100 INTEL CORP COM EXP 01-19-1 S		-1,008.00	35.00	0.00	35.00	80.0	28.00
11/29/2017	QGW009730 SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET S		-1,340.00	10.00	0.00	10.00	80.0	8.00
11/29/2017	QGW009730 SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET B		1,630.00	20.00	0.00	20.00	80.0	16.00
11/30/2017	QGW009730 SHARTSIS, JAY	PUT 100 BARCLAYS BK PLC IPATH S&P 5 B		425.00	20.00	0.00	20.00	80.0	16.00
12/01/2017	QGW009730 SHARTSIS, JAY	PUT 100 CITIGROUP INC COM NEW ISIN# S		-861.00	20.00	0.00	20.00	80.0	16.00
12/01/2017	QGW009730 SHARTSIS, JAY	PUT 100 HERTZ GLOBAL HLDGS INC NEW S		-1,300.00	10.00	0.00	10.00	80.0	8.00
12/01/2017	QGW009730 SHARTSIS, JAY	PUT 100 HERTZ GLOBAL HLDGS INC NEW S		1,300.00	-10.00	0.00	-10.00	80.0	-8.00 C

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
12/01/2017	QGW009730	SHARTSIS, JAY	PUT 100 HERTZ GLOBAL HLDGS INC NEW S	-1,300.00	10.00	0.00	10.00	80.0	8.00
12/01/2017	QGW009730	SHARTSIS, JAY	PUT 100 PROSHARES TR II PROSHARES S S	-5,400.00	20.00	0.00	20.00	80.0	16.00
12/04/2017	QGW009730	SHARTSIS, JAY	CALL 100 COOPER COS INC COM NEW EXP S	-1,900.00	20.00	0.00	20.00	80.0	16.00
12/05/2017	QGW009730	SHARTSIS, JAY	CALL 100 HERTZ GLOBAL HLDGS INC NEW S	-850.00	20.00	0.00	20.00	80.0	16.00
12/07/2017	QGW009730	SHARTSIS, JAY	CALL 100 OVERSTOCK COM INC DEL COM S	-2,240.00	20.00	0.00	20.00	80.0	16.00
12/08/2017	QGW009730	SHARTSIS, JAY	PUT 100 CONNS INC COM EXP 12-15-17@ S	-250.00	20.00	0.00	20.00	80.0	16.00
12/12/2017	QGW009730	SHARTSIS, JAY	CALL 100 APPLE INC COM EXP 12-15-17 S	-1,001.00	20.00	0.00	20.00	80.0	16.00
12/12/2017	QGW009730	SHARTSIS, JAY	PUT 100 OVERSTOCK COM INC DEL COM E S	-1,800.00	20.00	0.00	20.00	80.0	16.00
12/14/2017	QGW009730	SHARTSIS, JAY	CALL 100 APPLE INC COM EXP 12-15-17 B	1,156.00	20.00	0.00	20.00	80.0	16.00
12/14/2017	QGW009730	SHARTSIS, JAY	CALL 100 RH COM EXP 12-15-17 @105 B	20.00	20.00	0.00	20.00	80.0	16.00
12/15/2017	QGW009730	SHARTSIS, JAY	CALL 100 ISHARES TR RUSSELL 2000 ET B	12,000.00	20.00	0.00	20.00	80.0	16.00
12/18/2017	QGW009730	SHARTSIS, JAY	CALL 100 AMAZON COM INC EXP 01-19-1 B	2,363.00	20.00	0.00	20.00	80.0	16.00
12/19/2017	QGW009730	SHARTSIS, JAY	CALL 100 RIOT BLOCKCHAIN INC COM EX S	-320.00	20.00	0.00	20.00	80.0	16.00
12/27/2017	QGW009730	SHARTSIS, JAY	CALL 100 RIOT BLOCKCHAIN INC COM EX S	-900.00	20.00	0.00	20.00	80.0	16.00
12/27/2017	QGW009730	SHARTSIS, JAY	CALL 100 UNITED CONTL HLDGS INC COM S	-3,650.00	20.00	0.00	20.00	80.0	16.00
12/13/2017	QGW014391	DARWISH, MENACHEM	CALL 100 PHILLIPS 66 COM EXP 01-19- S	-83,700.00	35.00	0.00	35.00	80.0	28.00
12/14/2017	QGW014391	DARWISH, MENACHEM	CALL 100 INTEL CORP COM EXP 03-16-1 B	14,400.00	35.00	0.00	35.00	80.0	28.00
12/19/2017	QGW014391	DARWISH, MENACHEM	CALL 100 CYPRESS SEMICONDUCTOR CORP B	11,960.00	35.00	0.00	35.00	80.0	28.00
12/22/2017	QGW014391	DARWISH, MENACHEM	CALL 100 EXXON MOBIL CORP COM EXP 0 B	26,644.00	35.00	0.00	35.00	80.0	28.00
12/08/2017	QGW021743	HANNIGAN, PAMELA	CALL 100 INTEL CORP COM EXP 01-19-1 B	495.00	50.00	0.00	50.00	80.0	40.00
12/11/2017	QGW021743	HANNIGAN, PAMELA	CALL 100 INTEL CORP COM EXP 01-19-1 B	910.00	76.44	0.00	76.44	80.0	61.15
12/19/2017	QGW021743	HANNIGAN, PAMELA	CALL 100 INTEL CORP COM EXP 01-19-1 S	-4,215.00	153.93	0.00	153.93	80.0	123.14
12/20/2017	QGW021743	HANNIGAN, PAMELA	CALL 100 INTEL CORP COM EXP 02-16-1 B	1,700.00	103.51	0.00	103.51	80.0	82.81
12/21/2017	QGW021743	HANNIGAN, PAMELA	CALL 100 FREEPORT-MCMORAN INC CL B B	1,620.00	124.37	0.00	124.37	80.0	99.50
12/22/2017	QGW021743	HANNIGAN, PAMELA	CALL 100 INTEL CORP COM EXP 02-16-1 S	-1,330.00	98.22	0.00	98.22	80.0	78.58
11/29/2017	QGW029019	SHARTSIS, JAY	CALL 100 PROSHARES TR II SHORT VIX S	-954.00	20.00	0.00	20.00	80.0	16.00
11/29/2017	QGW029019	SHARTSIS, JAY	CALL 100 TEUCRIUM COMMODITY TR WHEA S	-600.00	20.00	0.00	20.00	80.0	16.00
11/29/2017	QGW029019	SHARTSIS, JAY	PUT 100 PROSHARES TR II PROSHARES S S	-5,300.00	20.00	0.00	20.00	80.0	16.00
12/15/2017	QGW029019	SHARTSIS, JAY	PUT 100 PROSHARES TR II PROSHARES S S	-13,700.00	20.00	0.00	20.00	80.0	16.00
12/15/2017	QGW029019	SHARTSIS, JAY	PUT 100 PROSHARES TR II SHORT VIX S B	2,200.00	20.00	0.00	20.00	80.0	16.00
11/29/2017	QGW030629	BEGLEY, MICHAEL	PUT 100 HOME DEPOT INC COM EXP 12-1 B	750.00	20.00	0.00	20.00	80.0	16.00
11/29/2017	QGW030702	RUDOLPH, MARTIN	CALL 100 PHILIP MORRIS INTL INC COM S	-2,060.00	20.00	0.00	20.00	80.0	16.00
12/11/2017	QGW030702	RUDOLPH, MARTIN	CALL 100 MARATHON PATENT GROUP INC S	-500.00	20.00	0.00	20.00	80.0	16.00
12/13/2017	QGW030702	RUDOLPH, MARTIN	CALL 100 SIRIUS XM HLDGS INC COM EX S	-530.00	20.00	0.00	20.00	80.0	16.00
12/18/2017	QGW030702	RUDOLPH, MARTIN	CALL 100 DELPHI AUTOMOTIVE PLC N/C B	430.00	20.00	0.00	20.00	80.0	16.00
12/26/2017	QGW030702	RUDOLPH, MARTIN	CALL 100 DIREXION SHS ETF TR DAILY B	410.00	20.00	0.00	20.00	80.0	16.00
12/28/2017	QGW030702	RUDOLPH, MARTIN	CALL 100 DIREXION SHS ETF TR DAILY B	396.00	20.00	0.00	20.00	80.0	16.00
11/30/2017	QGW030728	RUDOLPH, MARTIN	PUT 100 AMAZON COM INC EXP 04-20-18 S	-2,698.00	20.00	0.00	20.00	80.0	16.00
11/30/2017	QGW030728	RUDOLPH, MARTIN	PUT 100 AMAZON COM INC EXP 03-16-18 B	666.00	20.00	0.00	20.00	80.0	16.00
12/01/2017	QGW030728	RUDOLPH, MARTIN	PUT 100 AMAZON COM INC EXP 03-16-18 S	-800.00	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
12/13/2017	QGW030728	RUDOLPH, MARTIN	PUT 100 PHILIP MORRIS INTL INC COM B	380.00	20.00	0.00	20.00	80.0	16.00
12/19/2017	QGW030728	RUDOLPH, MARTIN	CALL 100 BRISTOL MYERS SQUIBB CO CO B	106.00	20.00	0.00	20.00	80.0	16.00
12/19/2017	QGW030728	RUDOLPH, MARTIN	CALL 100 VANECK VECTORS ETF TR GOLD B	100.00	20.00	0.00	20.00	80.0	16.00
12/21/2017	QGW030728	RUDOLPH, MARTIN	CALL 100 BARRICK GOLD CORP COM ISIN B	15.00	20.00	0.00	20.00	80.0	16.00
12/28/2017	QGW030728	RUDOLPH, MARTIN	PUT 100 ENCANA CORP COM SHS ISIN#CA B	550.00	20.00	0.00	20.00	80.0	16.00
12/28/2017	QGW031049	WINNICK, CHARLES	CALL 100 PDC ENERGY INC COM EXP 04- S	-9,900.00	20.00	0.00	20.00	80.0	16.00
11/30/2017	QGW036444	BELZ, RICHARD	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-2,705.03	20.00	0.00	20.00	80.0	16.00
12/01/2017	QGW036451	BELZ, RICHARD	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-4,800.00	20.00	0.00	20.00	80.0	16.00
12/04/2017	QGW036451	BELZ, RICHARD	PUT 100 PAYPAL HLDGS INC COM EXP 12 S	-1,100.00	20.00	0.00	20.00	80.0	16.00
12/14/2017	QGW036451	BELZ, RICHARD	CALL 100 RIOT BLOCKCHAIN INC COM EX S	-2,550.00	20.00	0.00	20.00	80.0	16.00
12/05/2017	QGW038200	CARROLL, DAVID	PUT 100 INTEL CORP COM EXP 06-15-18 B	4,718.00	180.91	0.00	180.91	80.0	144.73
12/05/2017	QGW038200	CARROLL, DAVID	PUT 100 SPDR S&P 500 ETF TR TR UNIT S	-7,540.00	126.51	0.00	126.51	80.0	101.21
12/05/2017	QGW038200	CARROLL, DAVID	PUT 100 SPDR S&P 500 ETF TR TR UNIT S	7,540.00	-126.51	0.00	-126.51	80.0	-101.21 C
12/05/2017	QGW038200	CARROLL, DAVID	PUT 100 SPDR S&P 500 ETF TR TR UNIT S	-7,540.00	126.51	0.00	126.51	80.0	101.21
12/19/2017	QGW038200	CARROLL, DAVID	PUT 100 SPDR S&P 500 ETF TR TR UNIT S	-1,617.00	82.52	0.00	82.52	80.0	66.02
12/21/2017	QGW038200	CARROLL, DAVID	CALL 100 BANK AMER CORP COM EXP 02- B	2,771.00	148.43	0.00	148.43	80.0	118.74
12/21/2017	QGW038200	CARROLL, DAVID	PUT 100 SPDR S&P 500 ETF TR TR UNIT S	-3,198.00	55.86	0.00	55.86	80.0	44.69
12/01/2017	QGW038853	KAZLOWSKI, PHILIP	CALL 100 ENERGY TRANSFER OF PARTNER B	200.00	20.00	0.00	20.00	80.0	16.00
12/11/2017	QGW038887	ANDERSON, JAMES	CALL 100 ADVANCED MICRO DEVICES INC B	250.00	20.00	0.00	20.00	80.0	16.00
12/11/2017	QGW038887	ANDERSON, JAMES	CALL 100 TWITTER INC COM EXP 03-16- B	395.00	20.00	0.00	20.00	80.0	16.00
12/15/2017	QGW038887	ANDERSON, JAMES	CALL 100 OVERSTOCK COM INC DEL COM B	800.00	20.00	0.00	20.00	80.0	16.00
12/20/2017	QGW038887	ANDERSON, JAMES	CALL 100 TWITTER INC COM EXP 03-16- S	-660.00	20.00	0.00	20.00	80.0	16.00
12/21/2017	QGW038887	ANDERSON, JAMES	CALL 100 ADVANCED MICRO DEVICES INC S	-344.00	20.00	0.00	20.00	80.0	16.00
* OPTIONS SUBTOTAL *				\$-132,187.72	\$6,444.25	\$0.00	\$6,444.25		\$5,155.41

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/01/2017	00058581921	HAMROCK /SEP,	THE INVESTMENT COMPANY OF AMERICA-A B	0.00	65.01	0.00	65.01	80.0	52.01
12/01/2017	00062773949	UNTIL AGE 21,	WASHINGTON MUTUAL INVESTORS FUND-A B	0.00	4.30	0.00	4.30	80.0	3.44
12/01/2017	00062773950	UNTIL AGE 21,	WASHINGTON MUTUAL INVESTORS FUND-A B	0.00	3.85	0.00	3.85	80.0	3.08
12/01/2017	00063363878	NGUYEN, BANG	AMCAP FUND-A B	0.00	0.75	0.00	0.75	80.0	0.60
12/01/2017	00063363878	NGUYEN, BANG	CAPITAL WORLD GROWTH AND INCOME FUN B	0.00	0.91	0.00	0.91	80.0	0.73
12/01/2017	00063363878	NGUYEN, BANG	EUROPACIFIC GROWTH FUND-A B	0.00	6.65	0.00	6.65	80.0	5.32
12/01/2017	00063363878	NGUYEN, BANG	FUNDAMENTAL INVESTORS-A B	0.00	2.08	0.00	2.08	80.0	1.66
12/01/2017	00063363878	NGUYEN, BANG	NEW PERSPECTIVE FUND-A B	0.00	1.92	0.00	1.92	80.0	1.54
12/01/2017	00063363878	NGUYEN, BANG	NEW WORLD FUND-A B	0.00	2.05	0.00	2.05	80.0	1.64
12/01/2017	00063363878	NGUYEN, BANG	SMALLCAP WORLD FUND-A B	0.00	1.05	0.00	1.05	80.0	0.84
12/01/2017	00063363878	NGUYEN, BANG	THE GROWTH FUND OF AMERICA-A B	0.00	1.00	0.00	1.00	80.0	0.80
12/01/2017	00063363878	NGUYEN, BANG	THE INVESTMENT COMPANY OF AMERICA-A B	0.00	5.92	0.00	5.92	80.0	4.74
12/01/2017	00063363878	NGUYEN, BANG	THE NEW ECONOMY FUND-A B	0.00	1.12	0.00	1.12	80.0	0.90
12/01/2017	00064558607	BRECKENRIDGE,	EUROPACIFIC GROWTH FUND-A B	0.00	1.49	0.00	1.49	80.0	1.19

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	00064558607	BRECKENRIDGE, NEW PERSPECTIVE FUND-A	B	0.00	1.73	0.00	1.73	80.0	1.38
12/01/2017	00064558607	BRECKENRIDGE, NEW WORLD FUND-A	B	0.00	1.81	0.00	1.81	80.0	1.45
12/01/2017	00064558607	BRECKENRIDGE, THE GROWTH FUND OF AMERICA-A	B	0.00	0.01	0.00	0.01	80.0	0.01
12/01/2017	00064558607	BRECKENRIDGE, THE NEW ECONOMY FUND-A	B	0.00	1.83	0.00	1.83	80.0	1.46
12/01/2017	00067057124	SHORT, LAUREN GROWTH FUND OF AMERICA CLASS F	B	0.00	25.12	0.00	25.12	80.0	20.10
12/01/2017	00067057124	SHORT, LAUREN NEW PERSPECTIVE FUND INC CL F1	B	0.00	23.03	0.00	23.03	80.0	18.42
12/01/2017	00067057124	SHORT, LAUREN THE NEW ECONOMY FUND-F1	B	0.00	11.23	0.00	11.23	80.0	8.98
12/01/2017	00067985265	FAZELYAR, JOSEPH THE BOND FUND OF AMERICA-529A	B	0.00	0.99	0.00	0.99	80.0	0.79
12/01/2017	00067985266	FAZELYAR, JOSEPH THE BOND FUND OF AMERICA-529A	B	0.00	0.99	0.00	0.99	80.0	0.79
12/01/2017	00067985267	FAZELYAR, JOSEPH THE BOND FUND OF AMERICA-529A	B	0.00	0.99	0.00	0.99	80.0	0.79
12/01/2017	00069036587	ARDAYFIO, IMANI THE GROWTH FUND OF AMERICA-529A	B	0.00	15.08	0.00	15.08	80.0	12.06
12/01/2017	00069036587	ARDAYFIO, IMANI THE INCOME FUND OF AMERICA-529A	B	0.00	3.91	0.00	3.91	80.0	3.13
12/01/2017	00069275020	CREGAN, KIMBERLY EUROPACIFIC GROWTH FUND-A	B	0.00	5.12	0.00	5.12	80.0	4.10
12/01/2017	00069275020	CREGAN, KIMBERLY THE GROWTH FUND OF AMERICA-A	B	0.00	6.66	0.00	6.66	80.0	5.33
12/01/2017	00069275020	CREGAN, KIMBERLY THE INCOME FUND OF AMERICA-A	B	0.00	5.48	0.00	5.48	80.0	4.38
12/01/2017	00073356751	PENCE, MADELINE AMERICAN BALANCED FUND-529A	B	0.00	2.04	0.00	2.04	80.0	1.63
12/01/2017	00073356751	PENCE, MADELINE THE BOND FUND OF AMERICA-529A	B	0.00	2.47	0.00	2.47	80.0	1.98
12/01/2017	00073390879	TRIGGS, JOHN AMERICAN BALANCED FUND-A	B	0.00	1.00	0.00	1.00	80.0	0.80
12/01/2017	00073390879	TRIGGS, JOHN NEW PERSPECTIVE FUND-A	B	0.00	2.18	0.00	2.18	80.0	1.74
12/01/2017	00073390879	TRIGGS, JOHN THE BOND FUND OF AMERICA-A	B	0.00	1.34	0.00	1.34	80.0	1.07
12/01/2017	00073390879	TRIGGS, JOHN THE GROWTH FUND OF AMERICA-A	B	0.00	14.92	0.00	14.92	80.0	11.94
12/01/2017	00073390879	TRIGGS, JOHN THE INVESTMENT COMPANY OF AMERICA-A B	B	0.00	1.93	0.00	1.93	80.0	1.54
12/01/2017	00074087372	WITTE, AUDRA NEW PERSPECTIVE FUND-529A	B	0.00	0.19	0.00	0.19	80.0	0.15
12/01/2017	00074087372	WITTE, AUDRA THE GROWTH FUND OF AMERICA-529A	B	0.00	4.68	0.00	4.68	80.0	3.74
12/01/2017	00074087372	WITTE, AUDRA THE INVESTMENT COMPANY OF AMERICA-5 B	B	0.00	2.87	0.00	2.87	80.0	2.30
12/01/2017	00074264410	SUSI, GIANCARLO 529 INTERMEDIATE BOND	B	0.00	28.52	0.00	28.52	80.0	22.82
12/01/2017	00074264410	SUSI, GIANCARLO 529 SMALLCAP WORLD FUND	B	0.00	17.63	0.00	17.63	80.0	14.10
12/01/2017	00074264410	SUSI, GIANCARLO 529 THE NEW ECONOMY FUND CLASS E	B	0.00	52.64	0.00	52.64	80.0	42.11
12/01/2017	00074264410	SUSI, GIANCARLO FUNDAMENTAL INVESTORS-529E	B	0.00	54.78	0.00	54.78	80.0	43.82
12/01/2017	00074264410	SUSI, GIANCARLO THE GROWTH FUND OF AMERICA-529E	B	0.00	17.87	0.00	17.87	80.0	14.30
12/01/2017	00074683116	ARP, JAMES AMERICAN BALANCED FUND-529A	B	0.00	0.48	0.00	0.48	80.0	0.38
12/01/2017	00074683116	ARP, JAMES THE GROWTH FUND OF AMERICA-529A	B	0.00	7.20	0.00	7.20	80.0	5.76
12/01/2017	00074889957	BOFFETTI, DIANNE AMERICAN BALANCED FUND INC CL F1	B	0.00	3.32	0.00	3.32	80.0	2.66
12/01/2017	00074890025	HUDSON, DEAL AMERICAN BALANCED FUND INC CL F1	B	0.00	15.77	0.00	15.77	80.0	12.62
12/01/2017	00074890025	HUDSON, DEAL GROWTH FUND OF AMERICA CLASS F	B	0.00	19.28	0.00	19.28	80.0	15.42
12/01/2017	00074890807	HUDSON, DEAL AMERICAN BALANCED FUND-C	B	0.00	20.60	0.00	20.60	80.0	16.48
12/01/2017	00074890807	HUDSON, DEAL AMERICAN BALANCED FUND INC CL F1	B	0.00	51.83	0.00	51.83	80.0	41.46
12/01/2017	00074890807	HUDSON, DEAL GROWTH FUND OF AMERICA CLASS F	B	0.00	62.24	0.00	62.24	80.0	49.79
12/01/2017	00074890807	HUDSON, DEAL THE GROWTH FUND OF AMERICA-C	B	0.00	23.00	0.00	23.00	80.0	18.40
12/01/2017	00074946171	CABANISS, MARGARET GROWTH FUND OF AMERICA CLASS F	B	0.00	3.65	0.00	3.65	80.0	2.92

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	00074946171	CABANISS, MARGARET THE GROWTH FUND OF AMERICA-C	B	0.00	50.14	0.00	50.14	80.0	40.11
12/01/2017	00075121937	ROMANOWSKY GROWTH FUND OF AMERICA CLASS F	B	0.00	1.68	0.00	1.68	80.0	1.34
12/01/2017	00075121970	SAINT-PAUL, BRIAN CAPITAL WORLD GROWTH & INCOME FUND	B	0.00	3.95	0.00	3.95	80.0	3.16
12/01/2017	00075458097	VANDENHEUVEL, RYAN EUROPACIFIC GROWTH FUND-A	B	0.00	1.47	0.00	1.47	80.0	1.18
12/01/2017	00075458097	VANDENHEUVEL, RYAN NEW PERSPECTIVE FUND-A	B	0.00	1.82	0.00	1.82	80.0	1.46
12/01/2017	00075458097	VANDENHEUVEL, RYAN THE GROWTH FUND OF AMERICA-A	B	0.00	1.92	0.00	1.92	80.0	1.54
12/01/2017	00075458097	VANDENHEUVEL, RYAN THE INCOME FUND OF AMERICA-A	B	0.00	1.27	0.00	1.27	80.0	1.02
12/01/2017	00075458097	VANDENHEUVEL, RYAN THE INVESTMENT COMPANY OF AMERICA-A	B	0.00	1.41	0.00	1.41	80.0	1.13
12/01/2017	00075490501	TRICARICO, VINCENT THE BOND FUND OF AMERICA-529C	B	0.00	56.01	0.00	56.01	80.0	44.81
12/01/2017	00075490569	TRICARICO, VINCENT THE BOND FUND OF AMERICA-529C	B	0.00	32.82	0.00	32.82	80.0	26.26
12/01/2017	00075593923	VANDENHEUVEL, KURT EUROPACIFIC GROWTH FUND-A	B	0.00	2.13	0.00	2.13	80.0	1.70
12/01/2017	00075593923	VANDENHEUVEL, KURT THE GROWTH FUND OF AMERICA-A	B	0.00	5.33	0.00	5.33	80.0	4.26
12/01/2017	00075654717	MOUSSELLI, LAYLA THE GROWTH FUND OF AMERICA-529A	B	0.00	0.83	0.00	0.83	80.0	0.66
12/01/2017	00075654788	MOUSSELLI, LAYLA THE GROWTH FUND OF AMERICA-529A	B	0.00	2.42	0.00	2.42	80.0	1.94
12/01/2017	00075975643	CRISTE, VINCE GROWTH FUND OF AMERICA CLASS F	B	0.00	6.38	0.00	6.38	80.0	5.10
12/01/2017	00075975643	CRISTE, VINCE NEW WORLD FUND-C	B	0.00	6.65	0.00	6.65	80.0	5.32
12/01/2017	00075975643	CRISTE, VINCE THE GROWTH FUND OF AMERICA-C	B	0.00	58.58	0.00	58.58	80.0	46.86
12/01/2017	00081212157	CARDENAS, ELENA GROWTH FUND OF AMERICA CLASS F	B	0.00	0.58	0.00	0.58	80.0	0.46
12/01/2017	00081212176	JOPSON, CHRISTINA GROWTH FUND OF AMERICA CLASS F	B	0.00	1.17	0.00	1.17	80.0	0.94
12/01/2017	00081212176	JOPSON, CHRISTINA THE GROWTH FUND OF AMERICA-C	B	0.00	6.28	0.00	6.28	80.0	5.02
12/01/2017	00081234263	SAINT-PAUL, BRIAN GROWTH FUND OF AMERICA CLASS F	B	0.00	4.08	0.00	4.08	80.0	3.26
12/01/2017	00081234263	SAINT-PAUL, BRIAN THE GROWTH FUND OF AMERICA-C	B	0.00	52.23	0.00	52.23	80.0	41.78
12/01/2017	00081371070	HERD, JACK AMERICAN BALANCED FUND-529C	B	0.00	2.63	0.00	2.63	80.0	2.10
12/01/2017	00081371070	HERD, JACK NEW PERSPECTIVE FUND-529C	B	0.00	4.41	0.00	4.41	80.0	3.53
12/01/2017	00081371070	HERD, JACK SMALLCAP WORLD FUND-529C	B	0.00	4.19	0.00	4.19	80.0	3.35
12/01/2017	00081371070	HERD, JACK THE GROWTH FUND OF AMERICA-529C	B	0.00	8.94	0.00	8.94	80.0	7.15
12/01/2017	00081371070	HERD, JACK THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	7.59	0.00	7.59	80.0	6.07
12/01/2017	00081547907	SUSI, GIANCARLO 529 INTERMEDIATE BOND	B	0.00	22.46	0.00	22.46	80.0	17.97
12/01/2017	00081547907	SUSI, GIANCARLO 529 SMALLCAP WORLD FUND	B	0.00	13.88	0.00	13.88	80.0	11.10
12/01/2017	00081547907	SUSI, GIANCARLO 529 THE NEW ECONOMY FUND CLASS E	B	0.00	41.45	0.00	41.45	80.0	33.16
12/01/2017	00081547907	SUSI, GIANCARLO FUNDAMENTAL INVESTORS-529E	B	0.00	43.14	0.00	43.14	80.0	34.51
12/01/2017	00081547907	SUSI, GIANCARLO THE GROWTH FUND OF AMERICA-529E	B	0.00	14.07	0.00	14.07	80.0	11.26
12/01/2017	00081809334	SILVER, LORI THE GROWTH FUND OF AMERICA-A	B	0.00	12.82	0.00	12.82	80.0	10.26
12/01/2017	00082291100	MARIE F EWELL, AMERICAN BALANCED FUND INC CL F1	B	0.00	14.02	0.00	14.02	80.0	11.22
12/01/2017	00082291100	MARIE F EWELL, CAPITAL WORLD GROWTH & INCOME FUND	B	0.00	11.90	0.00	11.90	80.0	9.52
12/01/2017	00082291100	MARIE F EWELL, GROWTH FUND OF AMERICA CLASS F	B	0.00	0.60	0.00	0.60	80.0	0.48
12/01/2017	00082839379	WITTE, MAEVE AMCAP FUND-529A	B	0.00	0.67	0.00	0.67	80.0	0.54
12/01/2017	00082839379	WITTE, MAEVE THE GROWTH FUND OF AMERICA-529A	B	0.00	1.71	0.00	1.71	80.0	1.37
12/01/2017	00082839379	WITTE, MAEVE THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	0.69	0.00	0.69	80.0	0.55
12/01/2017	00083391523	TANKEL, LILIANA 529 EUROPACIFIC GROWTH FUND	B	0.00	12.60	0.00	12.60	80.0	10.08

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	00083391523	TANKEL, LILIANA	529 THE BOND FUND	B	0.00	5.04	0.00	5.04	80.0 4.03
12/01/2017	00083391523	TANKEL, LILIANA	529 THE INVESTMENT CO	B	0.00	16.07	0.00	16.07	80.0 12.86
12/01/2017	00083391523	TANKEL, LILIANA	CAPITAL INCOME BUILDER-529E	B	0.00	9.10	0.00	9.10	80.0 7.28
12/01/2017	00083391523	TANKEL, LILIANA	NEW WORLD FUND-529E	B	0.00	9.13	0.00	9.13	80.0 7.30
12/01/2017	00083391523	TANKEL, LILIANA	THE GROWTH FUND OF AMERICA-529E	B	0.00	14.56	0.00	14.56	80.0 11.65
12/01/2017	00083494057	BURTON, LINDA	THE GROWTH FUND OF AMERICA-C	B	0.00	7.05	0.00	7.05	80.0 5.64
12/01/2017	00083509409	MALCOLM, ISAAC	529 THE BOND FUND	B	0.00	18.61	0.00	18.61	80.0 14.89
12/01/2017	00083509489	MALCOLM, ISAAC	529 THE BOND FUND	B	0.00	12.29	0.00	12.29	80.0 9.83
12/01/2017	00083570166	OZOG, KATHERINE	AMERICAN BALANCED FUND-529C	B	0.00	114.35	0.00	114.35	80.0 91.48
12/01/2017	00083650929	MATHIS, JACK	AMERICAN BALANCED FUND-529E	B	0.00	38.30	0.00	38.30	80.0 30.64
12/01/2017	00083655410	ROBERTSON, MICHAEL	CAPITAL WORLD GROWTH AND INCOME FUN B	B	0.00	35.58	0.00	35.58	80.0 28.46
12/01/2017	00083655410	ROBERTSON, MICHAEL	THE GROWTH FUND OF AMERICA-C	B	0.00	45.20	0.00	45.20	80.0 36.16
12/01/2017	00083714875	MATHIS, JACK	AMERICAN BALANCED FUND-529E	B	0.00	37.55	0.00	37.55	80.0 30.04
12/01/2017	00083792434	VERA, ANDREA	NEW PERSPECTIVE FUND-A	B	0.00	0.45	0.00	0.45	80.0 0.36
12/01/2017	00083792434	VERA, ANDREA	THE GROWTH FUND OF AMERICA-A	B	0.00	0.37	0.00	0.37	80.0 0.30
12/01/2017	00083792434	VERA, ANDREA	WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	0.25	0.00	0.25	80.0 0.20
12/01/2017	00083863964	HERD, JACK	CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	0.24	0.00	0.24	80.0 0.19
12/01/2017	00083863964	HERD, JACK	SMALLCAP WORLD FUND-529A	B	0.00	0.28	0.00	0.28	80.0 0.22
12/01/2017	00083863964	HERD, JACK	THE GROWTH FUND OF AMERICA-529A	B	0.00	0.32	0.00	0.32	80.0 0.26
12/01/2017	00083863964	HERD, JACK	THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	0.21	0.00	0.21	80.0 0.17
12/01/2017	00083952983	EVANS, LEANNE	AMERICAN BALANCED FUND-529E	B	0.00	8.12	0.00	8.12	80.0 6.50
12/01/2017	00083956221	EVANS, LEANNE	AMERICAN BALANCED FUND-529E	B	0.00	0.18	0.00	0.18	80.0 0.14
12/01/2017	00084022714	KHURANA, KUNAL	AMCAP FUND-529A	B	0.00	3.52	0.00	3.52	80.0 2.82
12/01/2017	00084022714	KHURANA, KUNAL	CAPITAL INCOME BUILDER-529A	B	0.00	2.36	0.00	2.36	80.0 1.89
12/01/2017	00084022714	KHURANA, KUNAL	CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	2.55	0.00	2.55	80.0 2.04
12/01/2017	00084022714	KHURANA, KUNAL	FUNDAMENTAL INVESTORS-529A	B	0.00	3.27	0.00	3.27	80.0 2.62
12/01/2017	00084022714	KHURANA, KUNAL	NEW PERSPECTIVE FUND-529A	B	0.00	3.06	0.00	3.06	80.0 2.45
12/01/2017	00084041069	ARP, JAMES	THE GROWTH FUND OF AMERICA-529A	B	0.00	4.16	0.00	4.16	80.0 3.33
12/01/2017	00084080976	BEAL, MILES	AMERICAN BALANCED FUND-529E	B	0.00	23.99	0.00	23.99	80.0 19.19
12/01/2017	00084080976	BEAL, MILES	THE GROWTH FUND OF AMERICA-529E	B	0.00	0.06	0.00	0.06	80.0 0.05
12/01/2017	00084096413	BEAL, MILES	AMERICAN BALANCED FUND-529E	B	0.00	24.51	0.00	24.51	80.0 19.61
12/01/2017	00084116518	WALKER, BRYAN	AMERICAN BALANCED FUND-529C	B	0.00	2.25	0.00	2.25	80.0 1.80
12/01/2017	00084116518	WALKER, BRYAN	AMERICAN FUNDS	B	0.00	1.82	0.00	1.82	80.0 1.46
12/01/2017	00084116518	WALKER, BRYAN	NEW WORLD FUND-529C	B	0.00	1.64	0.00	1.64	80.0 1.31
12/01/2017	00084116518	WALKER, BRYAN	SMALLCAP WORLD FUND-529C	B	0.00	1.93	0.00	1.93	80.0 1.54
12/01/2017	00084116518	WALKER, BRYAN	THE GROWTH FUND OF AMERICA-529C	B	0.00	3.27	0.00	3.27	80.0 2.62
12/01/2017	00084116518	WALKER, BRYAN	THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	2.95	0.00	2.95	80.0 2.36
12/01/2017	00084116528	WALKER, BRYAN	AMERICAN BALANCED FUND-529C	B	0.00	0.87	0.00	0.87	80.0 0.70
12/01/2017	00084116528	WALKER, BRYAN	AMERICAN FUNDS	B	0.00	0.50	0.00	0.50	80.0 0.40
12/01/2017	00084116528	WALKER, BRYAN	NEW WORLD FUND-529C	B	0.00	0.66	0.00	0.66	80.0 0.53

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	00084116528	WALKER, BRYAN		SMALLCAP WORLD FUND-529C	B	0.00	0.61	0.00	0.61 80.0 0.49
12/01/2017	00084116528	WALKER, BRYAN		THE GROWTH FUND OF AMERICA-529C	B	0.00	1.65	0.00	1.65 80.0 1.32
12/01/2017	00084116528	WALKER, BRYAN		THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	1.46	0.00	1.46 80.0 1.17
12/01/2017	00084116532	WALKER, BRYAN		AMERICAN BALANCED FUND-529C	B	0.00	2.91	0.00	2.91 80.0 2.33
12/01/2017	00084116532	WALKER, BRYAN		AMERICAN FUNDS	B	0.00	3.35	0.00	3.35 80.0 2.68
12/01/2017	00084116532	WALKER, BRYAN		NEW WORLD FUND-529C	B	0.00	2.47	0.00	2.47 80.0 1.98
12/01/2017	00084116532	WALKER, BRYAN		SMALLCAP WORLD FUND-529C	B	0.00	2.84	0.00	2.84 80.0 2.27
12/01/2017	00084116532	WALKER, BRYAN		THE GROWTH FUND OF AMERICA-529C	B	0.00	4.29	0.00	4.29 80.0 3.43
12/01/2017	00084116532	WALKER, BRYAN		THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	4.17	0.00	4.17 80.0 3.34
12/01/2017	00084175814	CLEAVES, ELIZABETH		NEW PERSPECTIVE FUND-A	B	0.00	1.60	0.00	1.60 80.0 1.28
12/01/2017	00084175814	CLEAVES, ELIZABETH		THE GROWTH FUND OF AMERICA-A	B	0.00	1.62	0.00	1.62 80.0 1.30
12/01/2017	00084180216	KIMLICK, ELIZABETH		THE GROWTH FUND OF AMERICA-A	B	0.00	0.28	0.00	0.28 80.0 0.22
12/01/2017	00084180216	KIMLICK, ELIZABETH		THE INCOME FUND OF AMERICA-A	B	0.00	0.31	0.00	0.31 80.0 0.25
12/01/2017	00084739455	SHABAZZ, JAHZARA		AMERICAN BALANCED FUND-529C	B	0.00	65.26	0.00	65.26 80.0 52.21
12/01/2017	00084812978	VERA, INES		AMERICAN BALANCED FUND-529A	B	0.00	14.17	0.00	14.17 80.0 11.34
12/01/2017	00084917394	GRIGGS, DUSTIN		AMERICAN HIGH-INCOME TRUST-529A	B	0.00	0.60	0.00	0.60 80.0 0.48
12/01/2017	00084917394	GRIGGS, DUSTIN		CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	0.67	0.00	0.67 80.0 0.54
12/01/2017	00084917394	GRIGGS, DUSTIN		EUROPACIFIC GROWTH FUND-529A	B	0.00	0.59	0.00	0.59 80.0 0.47
12/01/2017	00084917394	GRIGGS, DUSTIN		FUNDAMENTAL INVESTORS-529A	B	0.00	0.83	0.00	0.83 80.0 0.66
12/01/2017	00084917394	GRIGGS, DUSTIN		NEW WORLD FUND-529A	B	0.00	0.57	0.00	0.57 80.0 0.46
12/01/2017	00084917404	GRIGGS, DUSTIN		AMERICAN HIGH-INCOME TRUST-529A	B	0.00	0.60	0.00	0.60 80.0 0.48
12/01/2017	00084917404	GRIGGS, DUSTIN		CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	0.51	0.00	0.51 80.0 0.41
12/01/2017	00084917404	GRIGGS, DUSTIN		EUROPACIFIC GROWTH FUND-529A	B	0.00	0.59	0.00	0.59 80.0 0.47
12/01/2017	00084917404	GRIGGS, DUSTIN		FUNDAMENTAL INVESTORS-529A	B	0.00	0.83	0.00	0.83 80.0 0.66
12/01/2017	00084917404	GRIGGS, DUSTIN		NEW WORLD FUND-529A	B	0.00	0.71	0.00	0.71 80.0 0.57
12/01/2017	00084917420	GRIGGS, DUSTIN		AMERICAN HIGH-INCOME TRUST-529A	B	0.00	0.60	0.00	0.60 80.0 0.48
12/01/2017	00084917420	GRIGGS, DUSTIN		CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	0.67	0.00	0.67 80.0 0.54
12/01/2017	00084917420	GRIGGS, DUSTIN		EUROPACIFIC GROWTH FUND-529A	B	0.00	0.59	0.00	0.59 80.0 0.47
12/01/2017	00084917420	GRIGGS, DUSTIN		FUNDAMENTAL INVESTORS-529A	B	0.00	0.83	0.00	0.83 80.0 0.66
12/01/2017	00084917420	GRIGGS, DUSTIN		NEW WORLD FUND-529A	B	0.00	0.57	0.00	0.57 80.0 0.46
12/01/2017	00084921884	GRIGGS, DUSTIN		529 FUNDAMENTAL	B	0.00	3.30	0.00	3.30 80.0 2.64
12/01/2017	00084921884	GRIGGS, DUSTIN		AMERICAN HIGH-INCOME TRUST-529C	B	0.00	3.54	0.00	3.54 80.0 2.83
12/01/2017	00084921884	GRIGGS, DUSTIN		CAPITAL WORLD BOND FUND-529C	B	0.00	3.34	0.00	3.34 80.0 2.67
12/01/2017	00084921884	GRIGGS, DUSTIN		NEW WORLD FUND-529C	B	0.00	1.12	0.00	1.12 80.0 0.90
12/01/2017	00084989238	BEURMANN, CONNOR		CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	55.80	0.00	55.80 80.0 44.64
12/01/2017	00084989238	BEURMANN, CONNOR		SMALLCAP WORLD FUND-529C	B	0.00	39.49	0.00	39.49 80.0 31.59
12/01/2017	00084989238	BEURMANN, CONNOR		THE BOND FUND OF AMERICA-529C	B	0.00	15.50	0.00	15.50 80.0 12.40
12/01/2017	00084989238	BEURMANN, CONNOR		THE GROWTH FUND OF AMERICA-529C	B	0.00	59.81	0.00	59.81 80.0 47.85
12/01/2017	00084989238	BEURMANN, CONNOR		THE INCOME FUND OF AMERICA-529C	B	0.00	23.53	0.00	23.53 80.0 18.82
12/01/2017	00084989238	BEURMANN, CONNOR		THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	55.09	0.00	55.09 80.0 44.07

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	00085019479	KIMLICK, ELIZABETH THE GROWTH FUND OF AMERICA-A	B	0.00	0.64	0.00	0.64	80.0	0.51
12/01/2017	00085019479	KIMLICK, ELIZABETH THE INCOME FUND OF AMERICA-A	B	0.00	0.58	0.00	0.58	80.0	0.46
12/01/2017	00085019484	CLEAVES, ELIZABETH NEW PERSPECTIVE FUND-A	B	0.00	1.24	0.00	1.24	80.0	0.99
12/01/2017	00085019484	CLEAVES, ELIZABETH THE GROWTH FUND OF AMERICA-A	B	0.00	1.35	0.00	1.35	80.0	1.08
12/01/2017	00085890289	DAS, SPANDAN AMERICAN HIGH-INCOME TRUST-529A	B	0.00	6.22	0.00	6.22	80.0	4.98
12/01/2017	00085890289	DAS, SPANDAN NEW WORLD FUND-529A	B	0.00	9.48	0.00	9.48	80.0	7.58
12/01/2017	00085890289	DAS, SPANDAN SMALLCAP WORLD FUND-529A	B	0.00	9.57	0.00	9.57	80.0	7.66
12/01/2017	00085890289	DAS, SPANDAN THE GROWTH FUND OF AMERICA-529A	B	0.00	17.80	0.00	17.80	80.0	14.24
12/01/2017	00085890289	DAS, SPANDAN THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	14.88	0.00	14.88	80.0	11.90
12/01/2017	00086003298	MATHIS, JACK AMERICAN BALANCED FUND-529A	B	0.00	12.97	0.00	12.97	80.0	10.38
12/01/2017	00086531491	DAS, SPANDAN AMERICAN HIGH-INCOME TRUST-529A	B	0.00	3.71	0.00	3.71	80.0	2.97
12/01/2017	00086531491	DAS, SPANDAN NEW WORLD FUND-529A	B	0.00	3.97	0.00	3.97	80.0	3.18
12/01/2017	00086531491	DAS, SPANDAN SMALLCAP WORLD FUND-529A	B	0.00	4.61	0.00	4.61	80.0	3.69
12/01/2017	00086531491	DAS, SPANDAN THE GROWTH FUND OF AMERICA-529A	B	0.00	10.99	0.00	10.99	80.0	8.79
12/01/2017	00086531491	DAS, SPANDAN THE INVESTMENT COMPANY OF AMERICA-5	B	0.00	9.04	0.00	9.04	80.0	7.23
12/01/2017	00087075485	GRAY, SUSAN AMCAP FUND-A	B	0.00	3.73	0.00	3.73	80.0	2.98
12/01/2017	00087075485	GRAY, SUSAN AMERICAN BALANCED FUND-A	B	0.00	2.01	0.00	2.01	80.0	1.61
12/01/2017	00087075485	GRAY, SUSAN EUROPACIFIC GROWTH FUND-A	B	0.00	1.90	0.00	1.90	80.0	1.52
12/01/2017	00087075485	GRAY, SUSAN NEW WORLD FUND-A	B	0.00	2.37	0.00	2.37	80.0	1.90
12/01/2017	00087075485	GRAY, SUSAN THE GROWTH FUND OF AMERICA-A	B	0.00	1.56	0.00	1.56	80.0	1.25
12/01/2017	00087075485	GRAY, SUSAN WASHINGTON MUTUAL INVESTORS FUND-A	B	0.00	2.16	0.00	2.16	80.0	1.73
12/01/2017	00087105779	ARP, JAMES THE GROWTH FUND OF AMERICA-529A	B	0.00	1.92	0.00	1.92	80.0	1.54
12/01/2017	00087121704	JOGLEKAR, SHAYNE AMERICAN BALANCED FUND-529A	B	0.00	16.80	0.00	16.80	80.0	13.44
12/01/2017	00087122294	JOGLEKAR, SHAYNE AMERICAN BALANCED FUND-529A	B	0.00	18.21	0.00	18.21	80.0	14.57
12/01/2017	00087149656	CHANDELA, AJAY AMERICAN BALANCED FUND-529C	B	0.00	142.48	0.00	142.48	80.0	113.98
12/01/2017	00087149667	CHANDELA, AJAY NEW WORLD FUND-529C	B	0.00	138.47	0.00	138.47	80.0	110.78
12/01/2017	00087149689	CHANDELA, AJAY NEW WORLD FUND-529C	B	0.00	138.47	0.00	138.47	80.0	110.78
12/01/2017	00087149729	CHANDELA, AJAY AMERICAN BALANCED FUND-529C	B	0.00	142.48	0.00	142.48	80.0	113.98
12/01/2017	00087205674	MORRELL, CHARLES THE GROWTH FUND OF AMERICA-529A	B	0.00	4.15	0.00	4.15	80.0	3.32
12/01/2017	00087475325	ARP, EVIE THE GROWTH FUND OF AMERICA-529A	B	0.00	4.16	0.00	4.16	80.0	3.33
12/01/2017	00087475364	ARP, EVIE AMERICAN BALANCED FUND-529A	B	0.00	0.48	0.00	0.48	80.0	0.38
12/01/2017	00087475364	ARP, EVIE THE GROWTH FUND OF AMERICA-529A	B	0.00	7.20	0.00	7.20	80.0	5.76
12/01/2017	00087475376	ARP, EVIE THE GROWTH FUND OF AMERICA-529A	B	0.00	1.92	0.00	1.92	80.0	1.54
12/01/2017	00087630417	SHABAZZ, JAHZARA AMERICAN BALANCED FUND-529C	B	0.00	32.19	0.00	32.19	80.0	25.75
12/01/2017	00088306683	MALLAVARAPU, AMERICAN BALANCED FUND-529A	B	0.00	3.90	0.00	3.90	80.0	3.12
12/01/2017	00088306683	MALLAVARAPU, AMERICAN HIGH-INCOME TRUST-529A	B	0.00	0.50	0.00	0.50	80.0	0.40
12/01/2017	00088306683	MALLAVARAPU, CAPITAL INCOME BUILDER-529A	B	0.00	6.94	0.00	6.94	80.0	5.55
12/01/2017	00088306683	MALLAVARAPU, CAPITAL WORLD GROWTH AND INCOME-529	B	0.00	0.59	0.00	0.59	80.0	0.47
12/01/2017	00088306683	MALLAVARAPU, EUROPACIFIC GROWTH FUND-529A	B	0.00	0.54	0.00	0.54	80.0	0.43
12/01/2017	00088306683	MALLAVARAPU, INTERNATIONAL GROWTH AND INCOME-529	B	0.00	0.94	0.00	0.94	80.0	0.75

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/01/2017	00088306683 MALLAVARAPU,	NEW PERSPECTIVE FUND-529A	B	0.00	4.08	0.00	4.08	80.0	3.26
12/01/2017	00088306683 MALLAVARAPU,	NEW WORLD FUND-529A	B	0.00	0.48	0.00	0.48	80.0	0.38
12/01/2017	00088306683 MALLAVARAPU,	THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	0.65	0.00	0.65	80.0	0.52
12/01/2017	00088310922 DABBI, VEER	EUROPACIFIC GROWTH FUND-529A	B	0.00	3.73	0.00	3.73	80.0	2.98
12/01/2017	00088310922 DABBI, VEER	FUNDAMENTAL INVESTORS-529A	B	0.00	4.45	0.00	4.45	80.0	3.56
12/01/2017	00088310922 DABBI, VEER	INTERNATIONAL GROWTH AND INCOME-529 B		0.00	1.66	0.00	1.66	80.0	1.33
12/01/2017	00088311010 DABBI, VEER	AMERICAN BALANCED FUND-529A	B	0.00	4.01	0.00	4.01	80.0	3.21
12/01/2017	00088311010 DABBI, VEER	AMERICAN HIGH-INCOME TRUST-529A	B	0.00	1.72	0.00	1.72	80.0	1.38
12/01/2017	00088311010 DABBI, VEER	CAPITAL INCOME BUILDER-529A	B	0.00	3.71	0.00	3.71	80.0	2.97
12/01/2017	00088311626 DABBI, VEER	NEW PERSPECTIVE FUND-529A	B	0.00	4.18	0.00	4.18	80.0	3.34
12/01/2017	00088311626 DABBI, VEER	SMALLCAP WORLD FUND-529A	B	0.00	2.02	0.00	2.02	80.0	1.62
12/01/2017	00088311626 DABBI, VEER	WASHINGTON MUTUAL INVESTORS FUND-52 B		0.00	4.29	0.00	4.29	80.0	3.43
12/01/2017	00088311652 DABBI, VEER	CAPITAL INCOME BUILDER-529A	B	0.00	3.71	0.00	3.71	80.0	2.97
12/01/2017	00088311652 DABBI, VEER	CAPITAL WORLD GROWTH AND INCOME-529 B		0.00	1.95	0.00	1.95	80.0	1.56
12/01/2017	00088311652 DABBI, VEER	THE INCOME FUND OF AMERICA-529A	B	0.00	3.88	0.00	3.88	80.0	3.10
12/01/2017	00089081756 FOSTER, JAKE	AMERICAN FUND-02629M587	B	0.00	2.29	0.00	2.29	80.0	1.83
12/01/2017	00089084643 FOSTER, JAKE	AMERICAN FUND-02629M587	B	0.00	2.29	0.00	2.29	80.0	1.83
12/01/2017	00089794319 FAWKES, MICHAEL	529 AMERICAN MUTUAL FUND	B	0.00	21.53	0.00	21.53	80.0	17.22
12/01/2017	00089794319 FAWKES, MICHAEL	AMERICAN BALANCED FUND-529A	B	0.00	1.67	0.00	1.67	80.0	1.34
12/01/2017	00089794319 FAWKES, MICHAEL	AMERICAN MUTUAL FUND-529A	B	0.00	5.12	0.00	5.12	80.0	4.10
12/01/2017	00089794319 FAWKES, MICHAEL	CAPITAL INCOME BUILDER-529C	B	0.00	4.47	0.00	4.47	80.0	3.58
12/01/2017	00089794319 FAWKES, MICHAEL	THE INCOME FUND OF AMERICA-529C	B	0.00	65.28	0.00	65.28	80.0	52.22
12/01/2017	00089794403 FAWKES, MICHAEL	529 FUNDAMENTAL	B	0.00	5.61	0.00	5.61	80.0	4.49
12/01/2017	00089794403 FAWKES, MICHAEL	CAPITAL INCOME BUILDER-529C	B	0.00	0.04	0.00	0.04	80.0	0.03
12/01/2017	01204161104 PASQUALONE,	THE INVESTMENT COMPANY OF AMERICA-5 B		0.00	3.39	0.00	3.39	80.0	2.71
12/01/2017	01204463227 PASQUALONE,	THE GROWTH FUND OF AMERICA-529A	B	0.00	5.51	0.00	5.51	80.0	4.41
12/01/2017	01205067051 PASQUALONE,	NEW PERSPECTIVE FUND-529A	B	0.00	5.46	0.00	5.46	80.0	4.37
12/01/2017	01229681594 LUMBER 401(K) PLAN	THE INVESTMENT COMPANY OF AMERICA-R B		0.00	2.77	0.00	2.77	80.0	2.22
12/01/2017	01229986589 LUMBER 401(K) PLAN	THE GROWTH FUND OF AMERICA-R2	B	0.00	2.86	0.00	2.86	80.0	2.29
12/01/2017	01230894562 LUMBER 401(K) PLAN	THE BOND FUND OF AMERICA-R2	B	0.00	0.05	0.00	0.05	80.0	0.04
12/01/2017	01233005681 LUMBER 401(K) PLAN	AMERICAN HIGH-INCOME TRUST-R2	B	0.00	0.05	0.00	0.05	80.0	0.04
12/01/2017	01234513265 LUMBER 401(K) PLAN	SMALLCAP WORLD FUND-R2	B	0.00	2.63	0.00	2.63	80.0	2.10
12/01/2017	01234817201 LUMBER 401(K) PLAN	NEW WORLD FUND-R2	B	0.00	2.32	0.00	2.32	80.0	1.86
12/01/2017	01238449785 TR, 401(K) PP & TR	AMERICAN BALANCED FUND-R3	B	0.00	3.96	0.00	3.96	80.0	3.17
12/01/2017	01239369901 NATIONAL WOODEN	EUROPACIFIC GROWTH FUND-R3	B	0.00	39.37	0.00	39.37	80.0	31.50
12/01/2017	01239370238 ADVANCED COMPUTER	EUROPACIFIC GROWTH FUND-R3	B	0.00	76.04	0.00	76.04	80.0	60.83
12/01/2017	01239376601 TR, 401(K) PP & TR	EUROPACIFIC GROWTH FUND-R3	B	0.00	264.81	0.00	264.81	80.0	211.85
12/01/2017	01239377111 TR, 401(K) PP & TR	EUROPACIFIC GROWTH FUND-R3	B	0.00	15.24	0.00	15.24	80.0	12.19
12/15/2017	QGW005472 KRELL, MOSHE	EUROPACIFIC GROWTH FUND CLASS C	B	0.00	19.82	0.00	19.82	80.0	15.86
12/18/2017	QGW005472 KRELL, MOSHE	PRINCIPAL PREFERRED SECURITIES FUND B		0.00	18.93	0.00	18.93	80.0	15.14

COMMISSION STATEMENT for HENRY HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
12/18/2017	QGW005472	KRELL, MOSHE		PRINCIPAL GLOBAL DIVERSIFIED INCOME B	0.00	40.82	0.00	40.82	80.0	32.66
12/19/2017	QGW005472	KRELL, MOSHE		JANUS HENDERSON GLOBAL EQUITY INCOM B	0.00	28.23	0.00	28.23	80.0	22.58
12/19/2017	QGW005472	KRELL, MOSHE		MAINSTAY CUSHING MLP PREMIER FUND C B	0.00	20.91	0.00	20.91	80.0	16.73
12/21/2017	QGW005472	KRELL, MOSHE		COHEN & STEERS GLOBAL REALTY SHARES B	0.00	33.55	0.00	33.55	80.0	26.84
12/21/2017	QGW005472	KRELL, MOSHE		OPPENHEIMERFUNDS 683940886	B	14.01	0.00	14.01	80.0	11.21
12/21/2017	QGW005472	KRELL, MOSHE		OPPENHEIMER ROCHESTER LIMITED TERM B	0.00	33.53	0.00	33.53	80.0	26.82
12/11/2017	QGW006884	VOGEL, NEALA		DAVIS NEW YORK VENTURE CLASS A	B	4.05	0.00	4.05	80.0	3.24
12/11/2017	QGW006884	VOGEL, NEALA		MFS RESEARCH FUND-A	B	3.51	0.00	3.51	80.0	2.81
12/13/2017	QGW006884	VOGEL, NEALA		COLUMBIA SELIGMAN COMMUNICATIONS AN B	0.00	6.49	0.00	6.49	80.0	5.19
12/15/2017	QGW006884	VOGEL, NEALA		PUTNAM 746809102	B	2.66	0.00	2.66	80.0	2.13
12/21/2017	QGW006884	VOGEL, NEALA		TEMPLETON GROWTH FUND CLASS A	B	3.20	0.00	3.20	80.0	2.56
12/13/2017	QGW007411	OPPELT, MARION		WELLS FARGO OPPORTUNITY FD ADMIN	B	1.92	0.00	1.92	80.0	1.54
12/21/2017	QGW008203	MCGOWAN, DIANE		FRK FL TF INC FND - CL A	B	1.10	0.00	1.10	80.0	0.88
12/21/2017	QGW008203	MCGOWAN, DIANE		ROCHESTER NATIONAL MUNICIPALS A	B	2.44	0.00	2.44	80.0	1.95
12/15/2017	QGW022154	MANOS, WAYNE		FINANCIAL INDUSTRIES A	B	6.04	0.00	6.04	80.0	4.83
12/15/2017	QGW022154	MANOS, WAYNE		PUTNAM 746802800	B	0.67	0.00	0.67	80.0	0.54
12/19/2017	QGW022154	MANOS, WAYNE		JANUS HENDERSON EUROPEAN FOCUS FUND B	0.00	10.41	0.00	10.41	80.0	8.33
12/20/2017	QGW022154	MANOS, WAYNE		GOLDMAN SACHS CAPITAL GROWTH FUND C B	0.00	8.30	0.00	8.30	80.0	6.64
12/11/2017	QGW023731	REILLY, DAVID		ALLIANCEBERNSTEIN GROWTH AND INC A B	0.00	0.76	0.00	0.76	80.0	0.61
12/11/2017	QGW023731	REILLY, DAVID		MFS INTERNATIONAL NEW DISCOVERY FUN B	0.00	0.26	0.00	0.26	80.0	0.21
12/11/2017	QGW023731	REILLY, DAVID		MFS TOTAL RETURN FUND-A	B	0.68	0.00	0.68	80.0	0.54
12/13/2017	QGW023731	REILLY, DAVID		WELLS FARGO ADVANTAGE SMALL-CAP VAL B	0.00	0.53	0.00	0.53	80.0	0.42
12/15/2017	QGW023731	REILLY, DAVID		DELAWARE REAL ESTATE INVESTMENT TRU B	0.00	0.27	0.00	0.27	80.0	0.22
12/15/2017	QGW023731	REILLY, DAVID		THE GROWTH FUND OF AMERICA-A	B	1.04	0.00	1.04	80.0	0.83
12/15/2017	QGW023731	REILLY, DAVID		WASHINGTON MUTUAL INVESTORS FUND-A B	0.00	1.16	0.00	1.16	80.0	0.93
12/21/2017	QGW023731	REILLY, DAVID		CAPITAL INCOME A	B	1.88	0.00	1.88	80.0	1.50
12/21/2017	QGW023731	REILLY, DAVID		GLOBAL FUND A	B	0.49	0.00	0.49	80.0	0.39
12/21/2017	QGW023731	REILLY, DAVID		TEMP FRGN FND - CL A	B	0.31	0.00	0.31	80.0	0.25
12/22/2017	QGW023731	REILLY, DAVID		FIRST EAGLE GLOBAL FUND CLASS A	B	0.59	0.00	0.59	80.0	0.47
12/15/2017	QGW028250	SAG LLC		CAPITAL WORLD GROWTH & INCOME FUND B	0.00	24.53	0.00	24.53	80.0	19.62
12/21/2017	QGW031999	HEIDENREICH, CLAIRE		FRANKLIN INCOME FUND CLASS A	B	0.41	0.00	0.41	80.0	0.33
12/15/2017	QGW035453	LIEBERT, JAMES		REGIONAL BANK A	B	1.11	0.00	1.11	80.0	0.89
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$3,620.33	\$0.00	\$3,620.33	\$2,896.29	
*** BROKER TRANSACTIONS TOTAL ***					\$1,009,930.51	\$17,819.05	\$0.00	\$17,819.05	\$14,255.23	

BROKER COMMISSION TOTALS

Broker Transactions	\$14,255.23
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-22.27
SIPC Assessment	\$-44.55

Check Amount	\$14,188.41
Year-to-date Earnings	\$72,702.08