



# COMMISSION STATEMENT

## March 19, 2018

**GARRETT MORETZ**  
181 North Main Street, Ste 208  
Mooresville, NC 28115

**BROKER #: B09**  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/13/2018	***-**-677I - LAIL, DWIGHT	PRUDENTIAL HIGH YIELD CLASS A	3,403.39	0.00	0.00	0.00	82.0	0.00
	ACCOUNT #: LSR012166	SELL 624 Units @5.4500						
		<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$3,403.39</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>								
03/15/2018	***-**-32FB - FRAZIER, WILLIAM	EQIS ADVISOR FEES	0.00	6.25	0.00	6.25	82.0	5.13
		BUY 1 Units @0.0000						
03/15/2018	***-**-32FB - FRAZIER, WILLIAM	EQIS ADVISOR FEES	0.00	30.69	0.00	30.69	82.0	25.17
		BUY 1 Units @0.0000						
03/15/2018	***-**-29FB - ANTHONY, APRIL	LIFEMARK INVESTMENT ADVISORY FEE	77.01	77.01	0.00	77.01	82.0	63.15
	ACCOUNT #: RB5070200L	BUY 0 Units @0.0000						
03/15/2018	***-**-86FB - ARRINGTON, ROGER	LIFEMARK INVESTMENT ADVISORY FEE	94.77	94.77	0.00	94.77	82.0	77.71
	ACCOUNT #: RH0813100D	BUY 0 Units @0.0000						
03/15/2018	***-**-71FB - BEDDOW, DONALD	LIFEMARK INVESTMENT ADVISORY FEE	117.66	117.66	0.00	117.66	82.0	96.48
	ACCOUNT #: RE1889900Z	BUY 0 Units @0.0000						
03/15/2018	***-**-71FB - BEDDOW, DONALD	LIFEMARK INVESTMENT ADVISORY FEE	45.98	45.98	0.00	45.98	82.0	37.70
	ACCOUNT #: RE1910700J	BUY 0 Units @0.0000						
03/15/2018	***-**-40FB - BOHNAKER, ANGELA	LIFEMARK INVESTMENT ADVISORY FEE	42.29	42.29	0.00	42.29	82.0	34.68
	ACCOUNT #: RC6490700F	BUY 0 Units @0.0000						
03/15/2018	***-**-95FB - BROWN, SUSAN	LIFEMARK INVESTMENT ADVISORY FEE	80.46	80.46	0.00	80.46	82.0	65.98
	ACCOUNT #: RD9347700L	BUY 0 Units @0.0000						
03/15/2018	***-**-71FB - CARPENTIER,	LIFEMARK INVESTMENT ADVISORY FEE	26.46	26.46	0.00	26.46	82.0	21.70
	ACCOUNT #: RC9591500B	BUY 0 Units @0.0000						
03/15/2018	***-**-10FB - CLINGON, BILLY	LIFEMARK INVESTMENT ADVISORY FEE	30.76	30.76	0.00	30.76	82.0	25.22
	ACCOUNT #: RE18633003	BUY 0 Units @0.0000						
03/15/2018	***-**-10FB - CLINGON, BILLY	LIFEMARK INVESTMENT ADVISORY FEE	37.61	37.61	0.00	37.61	82.0	30.84
	ACCOUNT #: RE3069500F	BUY 0 Units @0.0000						
03/15/2018	***-**-10FB - CLINGON, BILLY	LIFEMARK INVESTMENT ADVISORY FEE	41.78	41.78	0.00	41.78	82.0	34.26
	ACCOUNT #: RH0640000T	BUY 0 Units @0.0000						
03/15/2018	***-**-34FB - CLYMER, BETTY	LIFEMARK INVESTMENT ADVISORY FEE	12.59	12.59	0.00	12.59	82.0	10.32
	ACCOUNT #: RB3961400D	BUY 0 Units @0.0000						
03/15/2018	***-**-58FB - CLYMER, DEFOREST	LIFEMARK INVESTMENT ADVISORY FEE	9.16	9.16	0.00	9.16	82.0	7.51
	ACCOUNT #: RB48104005	BUY 0 Units @0.0000						



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: RIA (continued)</u></b>								
03/15/2018	***-**-41FB - COOPER, JOHN	LIFEMARK INVESTMENT ADVISORY FEE	31.75	31.75	0.00	31.75	82.0	26.04
	ACCOUNT #: RD5958000D	BUY 0 Units @0.0000						
03/15/2018	***-**-01FB - DAGENHART, JAMES	LIFEMARK INVESTMENT ADVISORY FEE	51.72	51.72	0.00	51.72	82.0	42.41
	ACCOUNT #: RD06254009	BUY 0 Units @0.0000						
03/15/2018	***-**-01FB - DAGENHART, JAMES	LIFEMARK INVESTMENT ADVISORY FEE	46.31	46.31	0.00	46.31	82.0	37.97
	ACCOUNT #: RD0777800L	BUY 0 Units @0.0000						
03/15/2018	***-**-21FB - DAVIS, SIMONE	LIFEMARK INVESTMENT ADVISORY FEE	234.28	234.28	0.00	234.28	82.0	192.11
	ACCOUNT #: RD9797800H	BUY 0 Units @0.0000						
03/15/2018	***-**-26FB - FARZALO, STEVE	LIFEMARK INVESTMENT ADVISORY FEE	14.60	14.60	0.00	14.60	82.0	11.97
	ACCOUNT #: RG9748700J	BUY 0 Units @0.0000						
03/15/2018	***-**-34FB - FOWLER, MILTON	LIFEMARK INVESTMENT ADVISORY FEE	141.78	141.78	0.00	141.78	82.0	116.26
	ACCOUNT #: RH1017400L	BUY 0 Units @0.0000						
03/15/2018	***-**-39FB - GRINDLE, ROBERT	LIFEMARK INVESTMENT ADVISORY FEE	127.39	127.39	0.00	127.39	82.0	104.46
	ACCOUNT #: RE0934000F	BUY 0 Units @0.0000						
03/15/2018	***-**-77FB - HARRIS,	LIFEMARK INVESTMENT ADVISORY FEE	51.61	51.61	0.00	51.61	82.0	42.32
	ACCOUNT #: RE25241003	BUY 0 Units @0.0000						
03/15/2018	***-**-77FB - HARRIS,	LIFEMARK INVESTMENT ADVISORY FEE	128.67	128.67	0.00	128.67	82.0	105.51
	ACCOUNT #: RE3069400N	BUY 0 Units @0.0000						
03/15/2018	***-**-48FB - HEFNER, EULA	LIFEMARK INVESTMENT ADVISORY FEE	23.57	23.57	0.00	23.57	82.0	19.33
	ACCOUNT #: RH0641100H	BUY 0 Units @0.0000						
03/15/2018	***-**-03FB - HINES, WALTER	LIFEMARK INVESTMENT ADVISORY FEE	21.10	21.10	0.00	21.10	82.0	17.30
	ACCOUNT #: RD57517005	BUY 0 Units @0.0000						
03/15/2018	***-**-84FB - HOLDER, DERRICK	LIFEMARK INVESTMENT ADVISORY FEE	111.34	111.34	0.00	111.34	82.0	91.30
	ACCOUNT #: RA4189700Z	BUY 0 Units @0.0000						
03/15/2018	***-**-84FB - HOLDER, DERRICK	LIFEMARK INVESTMENT ADVISORY FEE	54.04	54.04	0.00	54.04	82.0	44.31
	ACCOUNT #: RA41927007	BUY 0 Units @0.0000						
03/15/2018	***-**-98FB - HUNTER, JEFFREY	LIFEMARK INVESTMENT ADVISORY FEE	17.69	17.69	0.00	17.69	82.0	14.51
	ACCOUNT #: RH0076800D	BUY 0 Units @0.0000						
03/15/2018	***-**-27FB - KULIKOWSKI, SARA	LIFEMARK INVESTMENT ADVISORY FEE	11.26	11.26	0.00	11.26	82.0	9.23
	ACCOUNT #: RC4594100B	BUY 0 Units @0.0000						
03/15/2018	***-**-94FB - MARQUIS, ALAN	LIFEMARK INVESTMENT ADVISORY FEE	41.08	41.08	0.00	41.08	82.0	33.69
	ACCOUNT #: RE3062300N	BUY 0 Units @0.0000						



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: RIA (continued)</u></b>								
03/15/2018	***-**-65FB - MCINTYRE, SHARON	LIFEMARK INVESTMENT ADVISORY FEE	48.02	48.02	0.00	48.02	82.0	39.38
	ACCOUNT #: RD90434001	BUY 0 Units @0.0000						
03/15/2018	***-**-65FB - MCINTYRE, SHARON	LIFEMARK INVESTMENT ADVISORY FEE	85.40	85.40	0.00	85.40	82.0	70.03
	ACCOUNT #: RD9897700X	BUY 0 Units @0.0000						
03/15/2018	***-**-64FB - MERLO, RALPH	LIFEMARK INVESTMENT ADVISORY FEE	36.14	36.14	0.00	36.14	82.0	29.63
	ACCOUNT #: RB5869400H	BUY 0 Units @0.0000						
03/15/2018	***-**-64FB - MERLO, RALPH	LIFEMARK INVESTMENT ADVISORY FEE	10.44	10.44	0.00	10.44	82.0	8.56
	ACCOUNT #: RB5866400T	BUY 0 Units @0.0000						
03/15/2018	***-**-70FB - FERRELL,	LIFEMARK INVESTMENT ADVISORY FEE	51.11	51.11	0.00	51.11	82.0	41.91
	ACCOUNT #: RB50708009	BUY 0 Units @0.0000						
03/15/2018	***-**-759I - MERRILL, SARA	LIFEMARK INVESTMENT ADVISORY FEE	24.32	24.32	0.00	24.32	82.0	19.94
	ACCOUNT #: RB5875400D	BUY 0 Units @0.0000						
03/15/2018	***-**-58FB - NELSON-GAINES,	LIFEMARK INVESTMENT ADVISORY FEE	13.22	13.22	0.00	13.22	82.0	10.84
	ACCOUNT #: RH0166600L	BUY 0 Units @0.0000						
03/15/2018	***-**-06FB - PARSONS, CLARE	LIFEMARK INVESTMENT ADVISORY FEE	75.26	75.26	0.00	75.26	82.0	61.71
	ACCOUNT #: RG9534800V	BUY 0 Units @0.0000						
03/15/2018	***-**-06FB - PARSONS, CLARE	LIFEMARK INVESTMENT ADVISORY FEE	23.21	23.21	0.00	23.21	82.0	19.03
	ACCOUNT #: RG9885700V	BUY 0 Units @0.0000						
03/15/2018	***-**-77FB - PECK, GORDON	LIFEMARK INVESTMENT ADVISORY FEE	33.81	33.81	0.00	33.81	82.0	27.72
	ACCOUNT #: RE14731003	BUY 0 Units @0.0000						
03/15/2018	***-**-98FB - PFYFFER, ALBERT	LIFEMARK INVESTMENT ADVISORY FEE	41.50	41.50	0.00	41.50	82.0	34.03
	ACCOUNT #: RE1500600F	BUY 0 Units @0.0000						
03/15/2018	***-**-60FB - PLANK, MICHAEL	LIFEMARK INVESTMENT ADVISORY FEE	71.52	71.52	0.00	71.52	82.0	58.65
	ACCOUNT #: RG9882800Z	BUY 0 Units @0.0000						
03/15/2018	***-**-60FB - PLANK, MICHAEL	LIFEMARK INVESTMENT ADVISORY FEE	48.41	48.41	0.00	48.41	82.0	39.70
	ACCOUNT #: RG9885300R	BUY 0 Units @0.0000						
03/15/2018	***-**-43FB - RODES, KENNETH	LIFEMARK INVESTMENT ADVISORY FEE	87.85	87.85	0.00	87.85	82.0	72.04
	ACCOUNT #: RE1686200F	BUY 0 Units @0.0000						
03/15/2018	***-**-13FB - RUSSELL, MARK	LIFEMARK INVESTMENT ADVISORY FEE	32.52	32.52	0.00	32.52	82.0	26.67
	ACCOUNT #: RG9722700B	BUY 0 Units @0.0000						
03/15/2018	***-**-52FB - SCHNABEL,	LIFEMARK INVESTMENT ADVISORY FEE	70.23	70.23	0.00	70.23	82.0	57.59
	ACCOUNT #: RH00769005	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: RIA (continued)</b>								
03/15/2018	***-**-94FB - SWAN, ANDREW	LIFEMARK INVESTMENT ADVISORY FEE	34.32	34.32	0.00	34.32	82.0	28.14
	ACCOUNT #: RE0718400R	BUY 0 Units @0.0000						
03/15/2018	***-**-97FB - SWAN, MELANIE	LIFEMARK INVESTMENT ADVISORY FEE	23.52	23.52	0.00	23.52	82.0	19.29
	ACCOUNT #: RE0718300Z	BUY 0 Units @0.0000						
03/15/2018	***-**-66FB - WHITE, BARBARA	LIFEMARK INVESTMENT ADVISORY FEE	5.66	5.66	0.00	5.66	82.0	4.64
	ACCOUNT #: RE0718500J	BUY 0 Units @0.0000						
03/15/2018	***-**-72FB - YOUNG, TAMMY	LIFEMARK INVESTMENT ADVISORY FEE	170.22	170.22	0.00	170.22	82.0	139.58
	ACCOUNT #: RH03043009	BUY 0 Units @0.0000						
<b>* RIA SUBTOTAL *</b>			<b>\$2,711.40</b>	<b>\$2,748.34</b>	<b>\$0.00</b>	<b>\$2,748.34</b>		<b>\$2,253.65</b>
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
03/19/2018	***-**-071X - HENDRIX, NSPS	AMERICAN BALANCED FUND-A	0.00	0.34	0.00	0.34	82.0	0.28
	ACCOUNT #: LSR012263	BUY 1 Units @0.0000						
03/19/2018	***-**-972I - GRIFFIN, OTIS	EATON VANCE INCOME FUND OF BOSTON C	0.00	1.40	0.00	1.40	82.0	1.15
	ACCOUNT #: LSR011960	BUY 1 Units @0.0000						
03/19/2018	***-**-10IR - CLINGON, BILLY	EATON VANCE MULTI SECTOR INCOME CL	0.00	3.59	0.00	3.59	82.0	2.94
	ACCOUNT #: LSR012010	BUY 1 Units @0.0000						
03/19/2018	***-**-726O - FARZALO, STEVE	EATON VANCE NATIONAL MUNICIPAL FUND	0.00	8.77	0.00	8.77	82.0	7.19
	ACCOUNT #: LSR011965	BUY 1 Units @0.0000						
03/19/2018	***-**-71IR - HENDRIX, DONNA	GROWTH FUND OF AMERICA CLASS F	0.00	0.34	0.00	0.34	82.0	0.28
	ACCOUNT #: LSR012260	BUY 1 Units @0.0000						
03/19/2018	***-**-972I - GRIFFIN, OTIS	JANUS HENDERSON HIGH YIELD A-NFS	0.00	1.32	0.00	1.32	82.0	1.08
	ACCOUNT #: LSR011960	BUY 1 Units @0.0000						
03/19/2018	***-**-277I - PECK, GORDON	JPMORGAN GROWTH ADVANTAGE FUND CL A	0.00	0.24	0.00	0.24	82.0	0.20
	ACCOUNT #: LSR012201	BUY 1 Units @0.0000						
03/19/2018	***-**-50IR - JONES, MICHAEL	JPMORGAN GROWTH ADVANTAGE FUND CL A	0.00	0.51	0.00	0.51	82.0	0.42
	ACCOUNT #: LSR012331	BUY 1 Units @0.0000						
03/19/2018	***-**-440I - BOHNAKER, ANGELA	JPMORGAN GROWTH ADVANTAGE FUND CL A	0.00	1.52	0.00	1.52	82.0	1.25
	ACCOUNT #: LSR012403	BUY 1 Units @0.0000						
03/19/2018	***-**-440O - BOHNAKER, ANGELA	JPMORGAN GROWTH ADVANTAGE FUND CL A	0.00	2.41	0.00	2.41	82.0	1.98
	ACCOUNT #: LSR012409	BUY 1 Units @0.0000						
03/19/2018	***-**-06IR - KULIKOWSKI,	JPMORGAN GROWTH ADVANTAGE FUND CL A	0.00	0.31	0.00	0.31	82.0	0.25
	ACCOUNT #: LSR012423	BUY 1 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
03/19/2018	***-**-27IR - KULIKOWSKI, SARA	JPMORGAN GROWTH ADVANTAGE FUND CL A	0.00	0.32	0.00	0.32	82.0	0.26
	ACCOUNT #: LSR012424	BUY 1 Units @0.0000						
03/19/2018	***-**-384I - HOLDER, DERRICK	JPMORGAN GROWTH ADVANTAGE FUND CL A	0.00	0.73	0.00	0.73	82.0	0.60
	ACCOUNT #: LSR012436	BUY 1 Units @0.0000						
03/19/2018	***-**-03IR - HINES, WALTER	PRINCIPAL INVESTOR HIGH YIELD II CL	0.00	0.88	0.00	0.88	82.0	0.72
	ACCOUNT #: LSR012153	BUY 1 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$22.68</b>	<b>\$0.00</b>	<b>\$22.68</b>		<b>\$18.60</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$6,114.79</b>	<b>\$2,771.02</b>	<b>\$0.00</b>	<b>\$2,771.02</b>		<b>\$2,272.25</b>

## BROKER COMMISSION TOTALS

Broker Transactions	\$2,272.25
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO PAYMENT THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>