



Concorde Investment Services, LLC
COMMISSION STATEMENT
December 31, 2017

12/28/2017
Page No. 1

ROBIN EDGAR
1161 WAYZATA BLVD E
#400
Wayzata, MN 55391

BROKER #: E119
BRANCH: ROBIN EDGAR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
12/12/2017	KOSS-WINN BANC ESOP	BTCS INC COM NEW	-22,770.00	50.00	27.00	23.00	90.0	20.70
12/14/2017	KOSS-WINN BANC ESOP	BTCS INC COM NEW	11,610.00	50.00	27.00	23.00	90.0	20.70
12/18/2017	KOSS-WINN BANC ESOP	BTCS INC COM NEW	-16,350.00	50.00	27.00	23.00	90.0	20.70
12/18/2017	KOSS-WINN BANC ESOP	JD COM INC SPONS ADR REPSTG COM CL	-20,816.70	50.00	22.75	27.25	90.0	24.53
12/13/2017	KOSS-WINN BANC ESOP	TIVO CORP COM	15,140.30	50.00	23.50	26.50	90.0	23.85
12/20/2017	KOSS-WINN BANC ESOP	WINNEBAGO INDUSTRIES INC	26,921.10	22.00	22.75	-0.75	90.0	-0.68
12/21/2017	KOSS-WINN BANC ESOP	WINNEBAGO INDUSTRIES INC	-28,350.00	50.00	22.75	27.25	90.0	24.53
* STOCKS SUBTOTAL *			\$-34,615.30	\$322.00	\$172.75	\$149.25		\$134.33
*** CLEARING TRANSACTIONS TOTAL ***			\$-34,615.30	\$322.00	\$172.75	\$149.25		\$134.33
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
12/14/2017	KEHN, LEVI	HPI FUND V	25,000.00	1,500.00	0.00	1,500.00	90.0	1,350.00
11/13/2017	TORNQUIST, SCOTT	SHOPOFF LAND FUND V	50,000.00	3,500.00	0.00	3,500.00	90.0	3,150.00
* PRIVATE PLACEMENT SUBTOTAL *			\$75,000.00	\$5,000.00	\$0.00	\$5,000.00		\$4,500.00
<u>PRODUCT CATEGORY: OIL & GAS</u>								
12/14/2017	KEHN, LEVI	RESOURCE ROYALTY INC & GRWTH FD V	25,000.00	1,750.00	0.00	1,750.00	90.0	1,575.00
* OIL & GAS SUBTOTAL *			\$25,000.00	\$1,750.00	\$0.00	\$1,750.00		\$1,575.00
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
12/17/2017	EDGAR, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	0.00	25.11	0.00	25.11	90.0	22.60
12/13/2017	KOSS-WINN BANC ESOP	MULTI STRATEGY GRWTH & INC FUND A	0.00	5.31	0.00	5.31	90.0	4.78
12/13/2017	TORNQUIST, SCOTT	MULTI STRATEGY GRWTH & INC FUND A	0.00	15.03	0.00	15.03	90.0	13.53
12/13/2017	KONEFES, ALISON	MULTI STRATEGY GRWTH & INC FUND A	0.00	9.14	0.00	9.14	90.0	8.23
12/13/2017	KOSS-WINN BANC ESOP	MULTI STRATEGY GRWTH & INC FUND A	0.00	4.38	0.00	4.38	90.0	3.94

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
12/13/2017	KOSS-WINN BANC ESOP	MULTI STRATEGY GRWTH & INC FUND A	0.00	7.62	0.00	7.62	90.0	6.86
12/13/2017	KOSS-WINN BANC ESOP	MULTI STRATEGY GRWTH & INC FUND A	0.00	5.47	0.00	5.47	90.0	4.92
12/13/2017	KOSS-WINN BANC ESOP	MULTI STRATEGY GRWTH & INC FUND A	0.00	10.94	0.00	10.94	90.0	9.85
12/13/2017	ENGBRETSON, ROGER	MULTI STRATEGY GRWTH & INC FUND A	0.00	5.61	0.00	5.61	90.0	5.05
12/13/2017	EDGAR, ROBIN	MULTI STRATEGY GRWTH & INC FUND A	0.00	2.37	0.00	2.37	90.0	2.13
12/13/2017	SCHLICHTING, LAURA	MULTI STRATEGY GRWTH & INC FUND A	0.00	5.61	0.00	5.61	90.0	5.05
12/13/2017	GROSS, ROBERT	MULTI STRATEGY GRWTH & INC FUND A	0.00	16.73	0.00	16.73	90.0	15.06
12/13/2017	STRIPLING, KERRY	MULTI STRATEGY GRWTH & INC FUND A	0.00	7.14	0.00	7.14	90.0	6.43
* INTERVAL FUNDS SUBTOTAL *			\$0.00	\$120.46	\$0.00	\$120.46		\$108.43

PRODUCT CATEGORY: MUTUAL FUNDS

12/15/2017	GOCHE, ANN	AM FUNDS AMERICAN BALANCED A	100.00	1.60	0.00	1.60	90.0	1.44
11/27/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	0.95	0.00	0.95	90.0	0.86
11/27/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	0.95	0.00	0.95	90.0	0.86
12/14/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	0.95	0.00	0.95	90.0	0.86
12/14/2017	QUAM, KENNETH	AM FUNDS BALANCED PORT A	34.50	0.95	0.00	0.95	90.0	0.86
12/01/2017	VANDER WILT, CARA	AM FUNDS CAPITAL INCOME BUILDER A	75.00	2.81	0.00	2.81	90.0	2.53
12/11/2017	REIDEL, DENNIS	AM FUNDS CAPITAL INCOME BUILDER A	100.00	2.75	0.00	2.75	90.0	2.48
12/15/2017	GOCHE, ANN	AM FUNDS CAPITAL INCOME BUILDER A	100.00	1.60	0.00	1.60	90.0	1.44
12/01/2017	VANDER WILT, CARA	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.81	0.00	2.81	90.0	2.53
12/15/2017	GOCHE, ANN	AM FUNDS CAPITAL WORLD GRTH & INC A	100.00	1.60	0.00	1.60	90.0	1.44
12/18/2017	BRUNS, MARCUS	AM FUNDS CAPITAL WORLD GRTH & INC A	150.00	4.13	0.00	4.13	90.0	3.72
12/11/2017	REIDEL, DENNIS	AM FUNDS GLOBAL BALANCED A	200.00	5.50	0.00	5.50	90.0	4.95
11/27/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	28.59	0.79	0.00	0.79	90.0	0.71
11/27/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	28.59	0.79	0.00	0.79	90.0	0.71
12/11/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	23.88	0.66	0.00	0.66	90.0	0.59
12/11/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	23.88	0.66	0.00	0.66	90.0	0.59
12/18/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	25.00	0.69	0.00	0.69	90.0	0.62
12/18/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	25.00	0.69	0.00	0.69	90.0	0.62
12/22/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	100.00	3.75	0.00	3.75	90.0	3.38
12/22/2017	NUTTING, MARY	AM FUNDS GROWTH AND INCOME A	75.00	2.81	0.00	2.81	90.0	2.53
12/22/2017	SHERMAN, LAURA	AM FUNDS GROWTH AND INCOME A	55.21	2.07	0.00	2.07	90.0	1.86
12/22/2017	SHERMAN, LAURA	AM FUNDS GROWTH AND INCOME A	33.12	1.24	0.00	1.24	90.0	1.12
12/22/2017	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	44.87	1.68	0.00	1.68	90.0	1.51

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/22/2017	JOHNSON, TODD	AM FUNDS GROWTH AND INCOME A	44.87	1.68	0.00	1.68	90.0	1.51
12/22/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	23.51	0.65	0.00	0.65	90.0	0.59
12/22/2017	GROSLAND, TROY	AM FUNDS GROWTH AND INCOME A	23.51	0.65	0.00	0.65	90.0	0.59
11/27/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	32.92	0.91	0.00	0.91	90.0	0.82
11/27/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	54.86	1.51	0.00	1.51	90.0	1.36
12/11/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	29.64	0.82	0.00	0.82	90.0	0.74
12/11/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	49.40	1.36	0.00	1.36	90.0	1.22
12/18/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	47.26	1.30	0.00	1.30	90.0	1.17
12/18/2017	WENTWORTH, THOMAS	AM FUNDS GROWTH PORT A	28.36	0.78	0.00	0.78	90.0	0.70
12/22/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	100.00	3.75	0.00	3.75	90.0	3.38
12/22/2017	NUTTING, MARY	AM FUNDS GROWTH PORT A	75.00	2.81	0.00	2.81	90.0	2.53
12/15/2017	GOCHE, ANN	AM FUNDS INCOME FUND OF AMERICA A	100.00	1.60	0.00	1.60	90.0	1.44
12/01/2017	VANDER WILT, CARA	AM FUNDS NEW PERSPECTIVE A	75.00	2.81	0.00	2.81	90.0	2.53
12/18/2017	BRUNS, MARCUS	AM FUNDS NEW PERSPECTIVE A	150.00	4.13	0.00	4.13	90.0	3.72
12/11/2017	REIDEL, DENNIS	AM FUNDS NEW WORLD A	200.00	5.50	0.00	5.50	90.0	4.95
12/18/2017	BRUNS, MARCUS	AM FUNDS NEW WORLD A	150.00	4.13	0.00	4.13	90.0	3.72
12/11/2017	O'CONNELL, LANE	AM FUNDS SMALLCAP WORLD A	150.00	1.80	0.00	1.80	90.0	1.62
11/27/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	8.16	0.22	0.00	0.22	90.0	0.20
11/27/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	8.16	0.22	0.00	0.22	90.0	0.20
11/27/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	71.03	1.95	0.00	1.95	90.0	1.76
11/27/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	35.52	0.98	0.00	0.98	90.0	0.88
11/27/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	23.49	0.65	0.00	0.65	90.0	0.59
11/27/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	39.15	1.08	0.00	1.08	90.0	0.97
11/27/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	233.33	6.42	0.00	6.42	90.0	5.78
11/27/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	100.00	2.75	0.00	2.75	90.0	2.48
12/11/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	20.28	0.56	0.00	0.56	90.0	0.50
12/11/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	20.28	0.56	0.00	0.56	90.0	0.50
12/11/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	26.45	0.73	0.00	0.73	90.0	0.66
12/11/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	52.89	1.45	0.00	1.45	90.0	1.31
12/11/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	43.43	1.19	0.00	1.19	90.0	1.07
12/11/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	26.06	0.72	0.00	0.72	90.0	0.65
12/12/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	100.00	2.75	0.00	2.75	90.0	2.48
12/12/2017	LOW, TROY	AMERICAN FUND GLOBAL GROWTH PORTFOL	233.33	6.42	0.00	6.42	90.0	5.78

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/18/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	12.00	0.33	0.00	0.33	90.0	0.30
12/18/2017	CARMAN, BARBARA	AMERICAN FUND GLOBAL GROWTH PORTFOL	12.00	0.33	0.00	0.33	90.0	0.30
12/18/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	56.58	1.56	0.00	1.56	90.0	1.40
12/18/2017	LOW, THOMAS	AMERICAN FUND GLOBAL GROWTH PORTFOL	28.29	0.78	0.00	0.78	90.0	0.70
12/18/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	44.78	1.23	0.00	1.23	90.0	1.11
12/18/2017	DUVALL, JOSHUA	AMERICAN FUND GLOBAL GROWTH PORTFOL	26.87	0.74	0.00	0.74	90.0	0.67
12/22/2017	SHERMAN, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	33.13	1.24	0.00	1.24	90.0	1.12
12/22/2017	SHERMAN, LAURA	AMERICAN FUND GLOBAL GROWTH PORTFOL	55.21	2.07	0.00	2.07	90.0	1.86
12/22/2017	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	44.88	1.68	0.00	1.68	90.0	1.51
12/22/2017	JOHNSON, TODD	AMERICAN FUND GLOBAL GROWTH PORTFOL	44.88	1.68	0.00	1.68	90.0	1.51
12/11/2017	REIDEL, DENNIS	AMERICAN FUNDS DEVELOPING WORLD GRO	100.00	2.75	0.00	2.75	90.0	2.48
* MUTUAL FUNDS SUBTOTAL *			\$4,335.65	\$121.66	\$0.00	\$121.66		\$109.57
*** DIRECT TRANSACTIONS TOTAL ***			\$104,335.65	\$6,992.12	\$0.00	\$6,992.12		\$6,293.00

BROKER COMMISSION TOTALS

Broker Transactions	\$6,427.33
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$6,427.33
Year-to-date Gross	\$377,440.20
Year-to-date Earnings	\$336,817.62

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