

**COMMISSION STATEMENT****February 28, 2018**

JOHN BEAN  
6641 N. High Street  
Suite 203  
Worthington, OH 43085

BROKER #: B117 Terminated 05/22/2015  
BRANCH: THE FINANCIAL SOLUTI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
02/16/2016	HASENAUER, CAROL	AM FUNDS AMERICAN BALANCED A	0.00	4.74	0.00	4.74	0.0	0.00
02/16/2016	HASENAUER, CAROL	AM FUNDS AMERICAN BALANCED A	0.00	0.91	0.00	0.91	0.0	0.00
03/15/2016	HASENAUER, CAROL	AM FUNDS AMERICAN BALANCED A	0.00	5.27	0.00	5.27	0.0	0.00
04/20/2016	HASENAUER, CAROL	AM FUNDS AMERICAN BALANCED A	0.00	5.87	0.00	5.87	0.0	0.00
05/16/2016	HASENAUER, CAROL	AM FUNDS AMERICAN BALANCED A	0.00	4.84	0.00	4.84	0.0	0.00
02/16/2016	HODGE, WENDY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.29	0.00	0.29	0.0	0.00
02/16/2016	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	0.0	0.00
02/16/2016	DANIEL, MILLER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	0.0	0.00
02/16/2016	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	0.0	0.00
03/15/2016	HODGE, WENDY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.27	0.00	0.27	0.0	0.00
03/15/2016	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.05	0.00	0.05	0.0	0.00
03/15/2016	DANIEL, MILLER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.05	0.00	0.05	0.0	0.00
03/15/2016	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.05	0.00	0.05	0.0	0.00
04/20/2016	HODGE, WENDY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.30	0.00	0.30	0.0	0.00
04/20/2016	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	0.0	0.00
04/20/2016	DANIEL, MILLER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	0.0	0.00
04/20/2016	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	0.0	0.00
05/16/2016	HODGE, WENDY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.30	0.00	0.30	0.0	0.00
05/16/2016	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	0.0	0.00
05/16/2016	DANIEL, MILLER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	0.0	0.00
05/16/2016	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	0.0	0.00
06/15/2016	HODGE, WENDY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.31	0.00	0.31	0.0	0.00
06/15/2016	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	0.0	0.00
06/15/2016	DANIEL, MILLER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	0.0	0.00
06/15/2016	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	0.0	0.00
07/18/2016	HODGE, WENDY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.30	0.00	0.30	0.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
07/18/2016	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	0.0	0.00
07/18/2016	DANIEL, MILLER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	0.0	0.00
07/18/2016	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	0.0	0.00
08/15/2016	HODGE, WENDY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.32	0.00	0.32	0.0	0.00
08/15/2016	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	0.0	0.00
08/15/2016	DANIEL, MILLER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	0.0	0.00
08/15/2016	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	0.0	0.00
09/16/2016	HODGE, WENDY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.32	0.00	0.32	0.0	0.00
09/16/2016	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	0.0	0.00
09/16/2016	DANIEL, MILLER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	0.0	0.00
09/16/2016	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.07	0.00	0.07	0.0	0.00
10/17/2016	HODGE, WENDY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.31	0.00	0.31	0.0	0.00
10/17/2016	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	0.0	0.00
10/17/2016	DANIEL, MILLER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	0.0	0.00
10/17/2016	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	0.0	0.00
11/18/2016	HODGE, WENDY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.32	0.00	0.32	0.0	0.00
11/18/2016	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	0.0	0.00
11/18/2016	DANIEL, MILLER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	0.0	0.00
11/18/2016	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	0.0	0.00
12/15/2016	HODGE, WENDY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.30	0.00	0.30	0.0	0.00
12/15/2016	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	0.0	0.00
12/15/2016	DANIEL, MILLER	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	0.0	0.00
12/15/2016	DANIEL, GREGORY	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	0.0	0.00
02/16/2016	HODGE, WENDY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.25	0.00	0.25	0.0	0.00
02/16/2016	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.00
02/16/2016	DANIEL, MILLER	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.00
02/16/2016	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.00
03/15/2016	HODGE, WENDY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.24	0.00	0.24	0.0	0.00
03/15/2016	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.00
03/15/2016	DANIEL, MILLER	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.00
03/15/2016	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.00
04/20/2016	HODGE, WENDY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.26	0.00	0.26	0.0	0.00
04/20/2016	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
04/20/2016	DANIEL, MILLER	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.00
04/20/2016	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.00
05/16/2016	HODGE, WENDY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.26	0.00	0.26	0.0	0.00
05/16/2016	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.00
05/16/2016	DANIEL, MILLER	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.00
05/16/2016	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.00
06/15/2016	HODGE, WENDY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.27	0.00	0.27	0.0	0.00
06/15/2016	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.00
06/15/2016	DANIEL, MILLER	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.00
06/15/2016	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.00
07/18/2016	HODGE, WENDY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.26	0.00	0.26	0.0	0.00
07/18/2016	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.00
07/18/2016	DANIEL, MILLER	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.00
07/18/2016	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.00
08/15/2016	HODGE, WENDY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.27	0.00	0.27	0.0	0.00
08/15/2016	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.06	0.00	0.06	0.0	0.00
08/15/2016	DANIEL, MILLER	AM FUNDS CAPITAL WORLD BOND A	0.00	0.06	0.00	0.06	0.0	0.00
08/15/2016	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.06	0.00	0.06	0.0	0.00
09/16/2016	HODGE, WENDY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.28	0.00	0.28	0.0	0.00
09/16/2016	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.06	0.00	0.06	0.0	0.00
09/16/2016	DANIEL, MILLER	AM FUNDS CAPITAL WORLD BOND A	0.00	0.06	0.00	0.06	0.0	0.00
09/16/2016	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.06	0.00	0.06	0.0	0.00
10/17/2016	HODGE, WENDY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.27	0.00	0.27	0.0	0.00
10/17/2016	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.00
10/17/2016	DANIEL, MILLER	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.00
10/17/2016	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.00
11/18/2016	HODGE, WENDY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.27	0.00	0.27	0.0	0.00
11/18/2016	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.06	0.00	0.06	0.0	0.00
11/18/2016	DANIEL, MILLER	AM FUNDS CAPITAL WORLD BOND A	0.00	0.06	0.00	0.06	0.0	0.00
11/18/2016	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.06	0.00	0.06	0.0	0.00
12/15/2016	HODGE, WENDY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.26	0.00	0.26	0.0	0.00
12/15/2016	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.00
12/15/2016	DANIEL, MILLER	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/15/2016	DANIEL, GREGORY	AM FUNDS CAPITAL WORLD BOND A	0.00	0.05	0.00	0.05	0.0	0.00
02/16/2016	HODGE, WENDY	AM FUNDS NEW PERSPECTIVE A	0.00	0.63	0.00	0.63	0.0	0.00
02/16/2016	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.13	0.00	0.13	0.0	0.00
02/16/2016	DANIEL, MILLER	AM FUNDS NEW PERSPECTIVE A	0.00	0.13	0.00	0.13	0.0	0.00
02/16/2016	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.13	0.00	0.13	0.0	0.00
03/15/2016	HODGE, WENDY	AM FUNDS NEW PERSPECTIVE A	0.00	0.56	0.00	0.56	0.0	0.00
03/15/2016	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.11	0.00	0.11	0.0	0.00
03/15/2016	DANIEL, MILLER	AM FUNDS NEW PERSPECTIVE A	0.00	0.11	0.00	0.11	0.0	0.00
03/15/2016	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.11	0.00	0.11	0.0	0.00
04/20/2016	HODGE, WENDY	AM FUNDS NEW PERSPECTIVE A	0.00	0.64	0.00	0.64	0.0	0.00
04/20/2016	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.13	0.00	0.13	0.0	0.00
04/20/2016	DANIEL, MILLER	AM FUNDS NEW PERSPECTIVE A	0.00	0.13	0.00	0.13	0.0	0.00
04/20/2016	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.13	0.00	0.13	0.0	0.00
05/16/2016	HODGE, WENDY	AM FUNDS NEW PERSPECTIVE A	0.00	0.64	0.00	0.64	0.0	0.00
05/16/2016	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.13	0.00	0.13	0.0	0.00
05/16/2016	DANIEL, MILLER	AM FUNDS NEW PERSPECTIVE A	0.00	0.13	0.00	0.13	0.0	0.00
05/16/2016	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.13	0.00	0.13	0.0	0.00
06/15/2016	HODGE, WENDY	AM FUNDS NEW PERSPECTIVE A	0.00	0.65	0.00	0.65	0.0	0.00
06/15/2016	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.13	0.00	0.13	0.0	0.00
06/15/2016	DANIEL, MILLER	AM FUNDS NEW PERSPECTIVE A	0.00	0.13	0.00	0.13	0.0	0.00
06/15/2016	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.13	0.00	0.13	0.0	0.00
07/18/2016	HODGE, WENDY	AM FUNDS NEW PERSPECTIVE A	0.00	0.64	0.00	0.64	0.0	0.00
07/18/2016	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.13	0.00	0.13	0.0	0.00
07/18/2016	DANIEL, MILLER	AM FUNDS NEW PERSPECTIVE A	0.00	0.13	0.00	0.13	0.0	0.00
07/18/2016	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.13	0.00	0.13	0.0	0.00
08/15/2016	HODGE, WENDY	AM FUNDS NEW PERSPECTIVE A	0.00	0.67	0.00	0.67	0.0	0.00
08/15/2016	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.14	0.00	0.14	0.0	0.00
08/15/2016	DANIEL, MILLER	AM FUNDS NEW PERSPECTIVE A	0.00	0.14	0.00	0.14	0.0	0.00
08/15/2016	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.14	0.00	0.14	0.0	0.00
09/16/2016	HODGE, WENDY	AM FUNDS NEW PERSPECTIVE A	0.00	0.69	0.00	0.69	0.0	0.00
09/16/2016	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.14	0.00	0.14	0.0	0.00
09/16/2016	DANIEL, MILLER	AM FUNDS NEW PERSPECTIVE A	0.00	0.14	0.00	0.14	0.0	0.00
09/16/2016	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.14	0.00	0.14	0.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
10/17/2016	HODGE, WENDY	AM FUNDS NEW PERSPECTIVE A	0.00	0.67	0.00	0.67	0.0	0.00
10/17/2016	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.14	0.00	0.14	0.0	0.00
10/17/2016	DANIEL, MILLER	AM FUNDS NEW PERSPECTIVE A	0.00	0.14	0.00	0.14	0.0	0.00
10/17/2016	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.14	0.00	0.14	0.0	0.00
11/18/2016	HODGE, WENDY	AM FUNDS NEW PERSPECTIVE A	0.00	0.69	0.00	0.69	0.0	0.00
11/18/2016	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.14	0.00	0.14	0.0	0.00
11/18/2016	DANIEL, MILLER	AM FUNDS NEW PERSPECTIVE A	0.00	0.14	0.00	0.14	0.0	0.00
11/18/2016	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.14	0.00	0.14	0.0	0.00
12/15/2016	HODGE, WENDY	AM FUNDS NEW PERSPECTIVE A	0.00	0.65	0.00	0.65	0.0	0.00
12/15/2016	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.13	0.00	0.13	0.0	0.00
12/15/2016	DANIEL, MILLER	AM FUNDS NEW PERSPECTIVE A	0.00	0.13	0.00	0.13	0.0	0.00
12/15/2016	DANIEL, GREGORY	AM FUNDS NEW PERSPECTIVE A	0.00	0.13	0.00	0.13	0.0	0.00
02/19/2016	HASENAUER, CAROL	CALAMOS EVOLVING WORLD GROWTH A	0.00	0.17	0.00	0.17	0.0	0.00
02/19/2016	HASENAUER, CAROL	CALAMOS EVOLVING WORLD GROWTH A	0.00	0.15	0.00	0.15	0.0	0.00
02/19/2016	HASENAUER, CAROL	CALAMOS EVOLVING WORLD GROWTH A	0.00	0.03	0.00	0.03	0.0	0.00
03/17/2016	HASENAUER, CAROL	CALAMOS EVOLVING WORLD GROWTH A	0.00	0.16	0.00	0.16	0.0	0.00
03/17/2016	HASENAUER, CAROL	CALAMOS EVOLVING WORLD GROWTH A	0.00	0.16	0.00	0.16	0.0	0.00
04/20/2016	HASENAUER, CAROL	CALAMOS EVOLVING WORLD GROWTH A	0.00	0.18	0.00	0.18	0.0	0.00
04/20/2016	HASENAUER, CAROL	CALAMOS EVOLVING WORLD GROWTH A	0.00	0.09	0.00	0.09	0.0	0.00
05/19/2016	HASENAUER, CAROL	CALAMOS EVOLVING WORLD GROWTH A	0.00	0.18	0.00	0.18	0.0	0.00
06/16/2016	HASENAUER, CAROL	CALAMOS EVOLVING WORLD GROWTH A	0.00	0.18	0.00	0.18	0.0	0.00
07/21/2016	HASENAUER, CAROL	CALAMOS EVOLVING WORLD GROWTH A	0.00	0.18	0.00	0.18	0.0	0.00
08/19/2016	HASENAUER, CAROL	CALAMOS EVOLVING WORLD GROWTH A	0.00	0.19	0.00	0.19	0.0	0.00
09/21/2016	HASENAUER, CAROL	CALAMOS EVOLVING WORLD GROWTH A	0.00	0.20	0.00	0.20	0.0	0.00
10/21/2016	HASENAUER, CAROL	CALAMOS EVOLVING WORLD GROWTH A	0.00	0.19	0.00	0.19	0.0	0.00
11/18/2016	HASENAUER, CAROL	CALAMOS EVOLVING WORLD GROWTH A	0.00	0.20	0.00	0.20	0.0	0.00
12/20/2016	HASENAUER, CAROL	CALAMOS EVOLVING WORLD GROWTH A	0.00	0.18	0.00	0.18	0.0	0.00
02/19/2016	HASENAUER, CAROL	CALAMOS HIGH INCOME A	0.00	0.11	0.00	0.11	0.0	0.00
02/19/2016	HASENAUER, CAROL	CALAMOS HIGH INCOME A	0.00	0.18	0.00	0.18	0.0	0.00
02/19/2016	HODGE, WENDY	CALAMOS HIGH INCOME A	0.00	0.15	0.00	0.15	0.0	0.00
02/19/2016	HASENAUER, CAROL	CALAMOS HIGH INCOME A	0.00	0.03	0.00	0.03	0.0	0.00
03/17/2016	HASENAUER, CAROL	CALAMOS HIGH INCOME A	0.00	0.10	0.00	0.10	0.0	0.00
03/17/2016	HODGE, WENDY	CALAMOS HIGH INCOME A	0.00	0.14	0.00	0.14	0.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/17/2016	HASENAUER, CAROL	CALAMOS HIGH INCOME A	0.00	0.19	0.00	0.19	0.0	0.00
04/20/2016	HASENAUER, CAROL	CALAMOS HIGH INCOME A	0.00	0.11	0.00	0.11	0.0	0.00
04/20/2016	HODGE, WENDY	CALAMOS HIGH INCOME A	0.00	0.15	0.00	0.15	0.0	0.00
04/20/2016	HASENAUER, CAROL	CALAMOS HIGH INCOME A	0.00	0.11	0.00	0.11	0.0	0.00
05/19/2016	HASENAUER, CAROL	CALAMOS HIGH INCOME A	0.00	0.11	0.00	0.11	0.0	0.00
05/19/2016	HODGE, WENDY	CALAMOS HIGH INCOME A	0.00	0.15	0.00	0.15	0.0	0.00
06/16/2016	HASENAUER, CAROL	CALAMOS HIGH INCOME A	0.00	0.11	0.00	0.11	0.0	0.00
06/16/2016	HODGE, WENDY	CALAMOS HIGH INCOME A	0.00	0.16	0.00	0.16	0.0	0.00
07/21/2016	HASENAUER, CAROL	CALAMOS HIGH INCOME A	0.00	0.11	0.00	0.11	0.0	0.00
07/21/2016	HODGE, WENDY	CALAMOS HIGH INCOME A	0.00	0.15	0.00	0.15	0.0	0.00
08/19/2016	HASENAUER, CAROL	CALAMOS HIGH INCOME A	0.00	0.11	0.00	0.11	0.0	0.00
08/19/2016	HODGE, WENDY	CALAMOS HIGH INCOME A	0.00	0.16	0.00	0.16	0.0	0.00
09/21/2016	HASENAUER, CAROL	CALAMOS HIGH INCOME A	0.00	0.12	0.00	0.12	0.0	0.00
09/21/2016	HODGE, WENDY	CALAMOS HIGH INCOME A	0.00	0.16	0.00	0.16	0.0	0.00
10/21/2016	HASENAUER, CAROL	CALAMOS HIGH INCOME A	0.00	0.11	0.00	0.11	0.0	0.00
10/21/2016	HODGE, WENDY	CALAMOS HIGH INCOME A	0.00	0.16	0.00	0.16	0.0	0.00
11/18/2016	HASENAUER, CAROL	CALAMOS HIGH INCOME A	0.00	0.12	0.00	0.12	0.0	0.00
11/18/2016	HODGE, WENDY	CALAMOS HIGH INCOME A	0.00	0.17	0.00	0.17	0.0	0.00
12/20/2016	HASENAUER, CAROL	CALAMOS HIGH INCOME A	0.00	0.11	0.00	0.11	0.0	0.00
12/20/2016	HODGE, WENDY	CALAMOS HIGH INCOME A	0.00	0.16	0.00	0.16	0.0	0.00
02/08/2016	KING, TROY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.54	0.00	0.54	0.0	0.00
02/08/2016	KING, JODY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.46	0.00	0.46	0.0	0.00
03/07/2016	KING, TROY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.50	0.00	0.50	0.0	0.00
03/07/2016	KING, JODY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.42	0.00	0.42	0.0	0.00
04/12/2016	KING, TROY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.57	0.00	0.57	0.0	0.00
04/12/2016	KING, JODY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.48	0.00	0.48	0.0	0.00
05/11/2016	KING, TROY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.56	0.00	0.56	0.0	0.00
05/11/2016	KING, JODY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.47	0.00	0.47	0.0	0.00
06/09/2016	KING, TROY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.57	0.00	0.57	0.0	0.00
06/09/2016	KING, JODY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.48	0.00	0.48	0.0	0.00
07/11/2016	KING, TROY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.56	0.00	0.56	0.0	0.00
07/11/2016	KING, JODY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.47	0.00	0.47	0.0	0.00
08/08/2016	KING, TROY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.59	0.00	0.59	0.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
08/08/2016	KING, JODY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.50	0.00	0.50	0.0	0.00
09/12/2016	KING, TROY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.60	0.00	0.60	0.0	0.00
09/12/2016	KING, JODY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.51	0.00	0.51	0.0	0.00
10/11/2016	KING, TROY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.57	0.00	0.57	0.0	0.00
10/11/2016	KING, JODY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.48	0.00	0.48	0.0	0.00
11/15/2016	KING, TROY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.58	0.00	0.58	0.0	0.00
11/15/2016	KING, JODY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.49	0.00	0.49	0.0	0.00
12/12/2016	KING, TROY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.56	0.00	0.56	0.0	0.00
12/12/2016	KING, JODY	CALVERT EQUITY PORTFOLIO FUND CLASS	0.00	0.48	0.00	0.48	0.0	0.00
02/08/2016	KING, TROY	CALVERT INCOME FUND CLASS A	0.00	0.42	0.00	0.42	0.0	0.00
02/08/2016	KING, JODY	CALVERT INCOME FUND CLASS A	0.00	0.39	0.00	0.39	0.0	0.00
02/08/2016	HASENAUER, CAROL	CALVERT INCOME FUND CLASS A	0.00	2.84	0.00	2.84	0.0	0.00
02/08/2016	HASENAUER, CAROL	CALVERT INCOME FUND CLASS A	0.00	0.55	0.00	0.55	0.0	0.00
03/07/2016	KING, TROY	CALVERT INCOME FUND CLASS A	0.00	0.40	0.00	0.40	0.0	0.00
03/07/2016	KING, JODY	CALVERT INCOME FUND CLASS A	0.00	0.37	0.00	0.37	0.0	0.00
03/07/2016	HASENAUER, CAROL	CALVERT INCOME FUND CLASS A	0.00	3.17	0.00	3.17	0.0	0.00
04/12/2016	KING, TROY	CALVERT INCOME FUND CLASS A	0.00	0.43	0.00	0.43	0.0	0.00
04/12/2016	KING, JODY	CALVERT INCOME FUND CLASS A	0.00	0.40	0.00	0.40	0.0	0.00
04/12/2016	HASENAUER, CAROL	CALVERT INCOME FUND CLASS A	0.00	1.76	0.00	1.76	0.0	0.00
05/11/2016	KING, TROY	CALVERT INCOME FUND CLASS A	0.00	0.42	0.00	0.42	0.0	0.00
05/11/2016	KING, JODY	CALVERT INCOME FUND CLASS A	0.00	0.39	0.00	0.39	0.0	0.00
06/09/2016	KING, TROY	CALVERT INCOME FUND CLASS A	0.00	0.44	0.00	0.44	0.0	0.00
06/09/2016	KING, JODY	CALVERT INCOME FUND CLASS A	0.00	0.41	0.00	0.41	0.0	0.00
07/11/2016	KING, TROY	CALVERT INCOME FUND CLASS A	0.00	0.43	0.00	0.43	0.0	0.00
07/11/2016	KING, JODY	CALVERT INCOME FUND CLASS A	0.00	0.40	0.00	0.40	0.0	0.00
08/08/2016	KING, TROY	CALVERT INCOME FUND CLASS A	0.00	0.46	0.00	0.46	0.0	0.00
08/08/2016	KING, JODY	CALVERT INCOME FUND CLASS A	0.00	0.42	0.00	0.42	0.0	0.00
09/12/2016	KING, TROY	CALVERT INCOME FUND CLASS A	0.00	0.46	0.00	0.46	0.0	0.00
09/12/2016	KING, JODY	CALVERT INCOME FUND CLASS A	0.00	0.42	0.00	0.42	0.0	0.00
10/11/2016	KING, TROY	CALVERT INCOME FUND CLASS A	0.00	0.44	0.00	0.44	0.0	0.00
10/11/2016	KING, JODY	CALVERT INCOME FUND CLASS A	0.00	0.41	0.00	0.41	0.0	0.00
11/15/2016	KING, TROY	CALVERT INCOME FUND CLASS A	0.00	0.46	0.00	0.46	0.0	0.00
11/15/2016	KING, JODY	CALVERT INCOME FUND CLASS A	0.00	0.42	0.00	0.42	0.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/12/2016	KING, TROY	CALVERT INCOME FUND CLASS A	0.00	0.43	0.00	0.43	0.0	0.00
12/12/2016	KING, JODY	CALVERT INCOME FUND CLASS A	0.00	0.40	0.00	0.40	0.0	0.00
02/08/2016	KING, JODY	CALVERT INTERNATIONAL EQUITY FUND C	0.00	0.07	0.00	0.07	0.0	0.00
03/07/2016	KING, JODY	CALVERT INTERNATIONAL EQUITY FUND C	0.00	0.07	0.00	0.07	0.0	0.00
04/12/2016	KING, JODY	CALVERT INTERNATIONAL EQUITY FUND C	0.00	0.07	0.00	0.07	0.0	0.00
05/11/2016	KING, JODY	CALVERT INTERNATIONAL EQUITY FUND C	0.00	0.07	0.00	0.07	0.0	0.00
06/09/2016	KING, JODY	CALVERT INTERNATIONAL EQUITY FUND C	0.00	0.08	0.00	0.08	0.0	0.00
07/11/2016	KING, JODY	CALVERT INTERNATIONAL EQUITY FUND C	0.00	0.07	0.00	0.07	0.0	0.00
08/08/2016	KING, JODY	CALVERT INTERNATIONAL EQUITY FUND C	0.00	0.07	0.00	0.07	0.0	0.00
09/12/2016	KING, JODY	CALVERT INTERNATIONAL EQUITY FUND C	0.00	0.08	0.00	0.08	0.0	0.00
10/11/2016	KING, JODY	CALVERT INTERNATIONAL EQUITY FUND C	0.00	0.07	0.00	0.07	0.0	0.00
11/15/2016	KING, JODY	CALVERT INTERNATIONAL EQUITY FUND C	0.00	0.08	0.00	0.08	0.0	0.00
12/12/2016	KING, JODY	CALVERT INTERNATIONAL EQUITY FUND C	0.00	0.07	0.00	0.07	0.0	0.00
02/08/2016	KING, TROY	CALVERT SMALL CAP FUND CLASS A	0.00	0.24	0.00	0.24	0.0	0.00
02/08/2016	KING, JODY	CALVERT SMALL CAP FUND CLASS A	0.00	0.11	0.00	0.11	0.0	0.00
03/07/2016	KING, TROY	CALVERT SMALL CAP FUND CLASS A	0.00	0.22	0.00	0.22	0.0	0.00
03/07/2016	KING, JODY	CALVERT SMALL CAP FUND CLASS A	0.00	0.10	0.00	0.10	0.0	0.00
04/12/2016	KING, TROY	CALVERT SMALL CAP FUND CLASS A	0.00	0.25	0.00	0.25	0.0	0.00
04/12/2016	KING, JODY	CALVERT SMALL CAP FUND CLASS A	0.00	0.12	0.00	0.12	0.0	0.00
05/11/2016	KING, TROY	CALVERT SMALL CAP FUND CLASS A	0.00	0.25	0.00	0.25	0.0	0.00
05/11/2016	KING, JODY	CALVERT SMALL CAP FUND CLASS A	0.00	0.12	0.00	0.12	0.0	0.00
06/09/2016	KING, TROY	CALVERT SMALL CAP FUND CLASS A	0.00	0.25	0.00	0.25	0.0	0.00
06/09/2016	KING, JODY	CALVERT SMALL CAP FUND CLASS A	0.00	0.12	0.00	0.12	0.0	0.00
07/11/2016	KING, TROY	CALVERT SMALL CAP FUND CLASS A	0.00	0.25	0.00	0.25	0.0	0.00
07/11/2016	KING, JODY	CALVERT SMALL CAP FUND CLASS A	0.00	0.12	0.00	0.12	0.0	0.00
08/08/2016	KING, TROY	CALVERT SMALL CAP FUND CLASS A	0.00	0.27	0.00	0.27	0.0	0.00
08/08/2016	KING, JODY	CALVERT SMALL CAP FUND CLASS A	0.00	0.13	0.00	0.13	0.0	0.00
09/12/2016	KING, TROY	CALVERT SMALL CAP FUND CLASS A	0.00	0.27	0.00	0.27	0.0	0.00
09/12/2016	KING, JODY	CALVERT SMALL CAP FUND CLASS A	0.00	0.13	0.00	0.13	0.0	0.00
10/11/2016	KING, TROY	CALVERT SMALL CAP FUND CLASS A	0.00	0.26	0.00	0.26	0.0	0.00
10/11/2016	KING, JODY	CALVERT SMALL CAP FUND CLASS A	0.00	0.13	0.00	0.13	0.0	0.00
11/15/2016	KING, TROY	CALVERT SMALL CAP FUND CLASS A	0.00	0.27	0.00	0.27	0.0	0.00
11/15/2016	KING, JODY	CALVERT SMALL CAP FUND CLASS A	0.00	0.13	0.00	0.13	0.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed



DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/12/2016	KING, TROY	CALVERT SMALL CAP FUND CLASS A	0.00	0.27	0.00	0.27	0.0	0.00
12/12/2016	KING, JODY	CALVERT SMALL CAP FUND CLASS A	0.00	0.13	0.00	0.13	0.0	0.00
02/16/2016	HASENAUER, CAROL	FRANKLIN INCOME A	0.00	0.06	0.00	0.06	0.0	0.00
02/16/2016	HASENAUER, CAROL	FRANKLIN INCOME A	0.00	0.26	0.00	0.26	0.0	0.00
02/16/2016	HASENAUER, CAROL	FRANKLIN INCOME A	0.00	0.05	0.00	0.05	0.0	0.00
03/16/2016	HASENAUER, CAROL	FRANKLIN INCOME A	0.00	0.06	0.00	0.06	0.0	0.00
03/16/2016	HASENAUER, CAROL	FRANKLIN INCOME A	0.00	0.29	0.00	0.29	0.0	0.00
04/15/2016	HASENAUER, CAROL	FRANKLIN INCOME A	0.00	0.07	0.00	0.07	0.0	0.00
04/15/2016	HASENAUER, CAROL	FRANKLIN INCOME A	0.00	0.18	0.00	0.18	0.0	0.00
05/13/2016	HASENAUER, CAROL	FRANKLIN INCOME A	0.00	0.07	0.00	0.07	0.0	0.00
06/14/2016	HASENAUER, CAROL	FRANKLIN INCOME A	0.00	0.07	0.00	0.07	0.0	0.00
07/14/2016	HASENAUER, CAROL	FRANKLIN INCOME A	0.00	0.07	0.00	0.07	0.0	0.00
08/15/2016	HASENAUER, CAROL	FRANKLIN INCOME A	0.00	0.07	0.00	0.07	0.0	0.00
09/14/2016	HASENAUER, CAROL	FRANKLIN INCOME A	0.00	0.07	0.00	0.07	0.0	0.00
10/18/2016	HASENAUER, CAROL	FRANKLIN INCOME A	0.00	0.07	0.00	0.07	0.0	0.00
11/15/2016	HASENAUER, CAROL	FRANKLIN INCOME A	0.00	0.07	0.00	0.07	0.0	0.00
12/16/2016	HASENAUER, CAROL	FRANKLIN INCOME A	0.00	0.07	0.00	0.07	0.0	0.00
02/22/2016	MCCONAHAY, ANDREW	LORD ABBETT GROWTH OPPORTUNITIES FU	0.00	0.72	0.00	0.72	0.0	0.00
03/22/2016	MCCONAHAY, ANDREW	LORD ABBETT GROWTH OPPORTUNITIES FU	0.00	0.53	0.00	0.53	0.0	0.00
04/21/2016	MCCONAHAY, ANDREW	LORD ABBETT GROWTH OPPORTUNITIES FU	0.00	0.60	0.00	0.60	0.0	0.00
05/23/2016	MCCONAHAY, ANDREW	LORD ABBETT GROWTH OPPORTUNITIES FU	0.00	0.20	0.00	0.20	0.0	0.00
02/22/2016	MCCONAHAY, ANDREW	LORD ABBETT RESEARCH FUND INC-SMALL	0.00	0.57	0.00	0.57	0.0	0.00
03/22/2016	MCCONAHAY, ANDREW	LORD ABBETT RESEARCH FUND INC-SMALL	0.00	0.54	0.00	0.54	0.0	0.00
04/21/2016	MCCONAHAY, ANDREW	LORD ABBETT RESEARCH FUND INC-SMALL	0.00	0.59	0.00	0.59	0.0	0.00
05/23/2016	MCCONAHAY, ANDREW	LORD ABBETT RESEARCH FUND INC-SMALL	0.00	0.19	0.00	0.19	0.0	0.00
02/22/2016	THOMAS, MARILYN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.95	0.00	0.95	0.0	0.00
03/17/2016	THOMAS, MARILYN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.88	0.00	0.88	0.0	0.00
04/21/2016	THOMAS, MARILYN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.96	0.00	0.96	0.0	0.00
05/19/2016	THOMAS, MARILYN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.95	0.00	0.95	0.0	0.00
06/30/2016	THOMAS, MARILYN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.98	0.00	0.98	0.0	0.00
07/18/2016	THOMAS, MARILYN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.96	0.00	0.96	0.0	0.00
08/24/2016	THOMAS, MARILYN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	1.01	0.00	1.01	0.0	0.00
09/22/2016	THOMAS, MARILYN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	1.02	0.00	1.02	0.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
10/21/2016	THOMAS, MARILYN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.99	0.00	0.99	0.0	0.00
11/21/2016	THOMAS, MARILYN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	1.02	0.00	1.02	0.0	0.00
12/19/2016	THOMAS, MARILYN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.97	0.00	0.97	0.0	0.00
02/22/2016	THOMAS, MARILYN	OPPENHEIMER MAIN STREET A	0.00	3.87	0.00	3.87	0.0	0.00
03/17/2016	THOMAS, MARILYN	OPPENHEIMER MAIN STREET A	0.00	3.59	0.00	3.59	0.0	0.00
04/21/2016	THOMAS, MARILYN	OPPENHEIMER MAIN STREET A	0.00	4.08	0.00	4.08	0.0	0.00
05/19/2016	THOMAS, MARILYN	OPPENHEIMER MAIN STREET A	0.00	4.05	0.00	4.05	0.0	0.00
06/30/2016	THOMAS, MARILYN	OPPENHEIMER MAIN STREET A	0.00	4.20	0.00	4.20	0.0	0.00
07/18/2016	THOMAS, MARILYN	OPPENHEIMER MAIN STREET A	0.00	4.10	0.00	4.10	0.0	0.00
08/24/2016	THOMAS, MARILYN	OPPENHEIMER MAIN STREET A	0.00	4.38	0.00	4.38	0.0	0.00
09/22/2016	THOMAS, MARILYN	OPPENHEIMER MAIN STREET A	0.00	4.42	0.00	4.42	0.0	0.00
10/21/2016	THOMAS, MARILYN	OPPENHEIMER MAIN STREET A	0.00	4.24	0.00	4.24	0.0	0.00
11/21/2016	THOMAS, MARILYN	OPPENHEIMER MAIN STREET A	0.00	4.35	0.00	4.35	0.0	0.00
12/19/2016	THOMAS, MARILYN	OPPENHEIMER MAIN STREET A	0.00	4.27	0.00	4.27	0.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$144.57</b>	<b>\$0.00</b>	<b>\$144.57</b>		<b>\$0.00</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$144.57</b>	<b>\$0.00</b>	<b>\$144.57</b>		<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.00</b>
<b>Year-to-date Gross</b>	<b>\$1,540.36</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>