BROKER

All Companies

R.F. Lafferty & Co., Inc.

# COMMISSION STATEMENT

March 31, 2018

CHARLES LAWRENCE

10729 NORTHRIDGE COURT

TRINITY, FL 34655

#### BROKER #: 13

GROSS

BRANCH: FULCRUM GROUP

CLEARING

NET

#### COMMISSION STATEMENT for CHARLES LAWRENCE

INVESTMENT

TRADE DATE	CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
			DD0##D #		GET ONG					
			BROKER T	RANSA	CTIONS					
PRODUCT CAT	regory: stocks									
02/28/2018 7	5501981 LAWRENCE,	VICTORIA	TEVA PHARMACEUTICAL INDUSTRIES LI	D B	7,020.00	0.00	0.00	0.00	60.0	0.00
03/02/2018 7	5501981 LAWRENCE,	VICTORIA	MOMO INC ADR	S	16,503.00	0.00	0.00	0.00	60.0	0.00
03/06/2018 7	5501981 LAWRENCE,	VICTORIA	MAZOR ROBOTICS LTD SPONSORED ADS	В	16,400.00	0.00	0.00	0.00	60.0	0.00
03/07/2018 7	5501981 LAWRENCE,	VICTORIA	INTERNATIONAL BUSINESS MACHINES (	COR S	23,732.25	0.00	0.00	0.00	60.0	0.00
03/15/2018 7	5501981 LAWRENCE,	VICTORIA	GW PHARMACEUTICALS PLC ADS	В	11,877.54	0.00	0.00	0.00	60.0	0.00
03/21/2018 7	5501981 LAWRENCE,	VICTORIA	DIREXION SHS ETF TR DAILY FINL BU	JLL S	25,315.26	0.00	0.00	0.00	60.0	0.00
03/22/2018 7	5501981 LAWRENCE,	VICTORIA	EXTREME NETWORKS INC COM	В	10,067.20	0.00	0.00	0.00	60.0	0.00
03/23/2018 7	5501981 LAWRENCE,	VICTORIA	SQUARE INC CLASS A COM	В	13,014.15	0.00	0.00	0.00	60.0	0.00
03/27/2018 7	5501981 LAWRENCE,	VICTORIA	GARTNER INC	В	11,881.50	0.00	0.00	0.00	60.0	0.00
02/28/2018 7	5501986 LAWRENCE,	CHRISTOPHE	R TEVA PHARMACEUTICAL INDUSTRIES LI	MI B	7,666.64	0.00	0.00	0.00	60.0	0.00
03/05/2018 7	5501986 LAWRENCE,	CHRISTOPHE	R LVMH MOET HENNESSY LOUIS VUITTON	SA S	2,982.40	0.00	0.00	0.00	60.0	0.00
03/05/2018 7	5501986 LAWRENCE,	CHRISTOPHE	R MOMO INC ADR	S	8,356.70	0.00	0.00	0.00	60.0	0.00
03/15/2018 7	5501986 LAWRENCE,	CHRISTOPHE	R TWILIO INC CL A	В	8,865.21	0.00	0.00	0.00	60.0	0.00
03/21/2018 7	5501986 LAWRENCE,	CHRISTOPHE	R PROSHARES TRUST ULTRA GOLD MINERS	S S	5,760.00	0.00	0.00	0.00	60.0	0.00
03/26/2018 7	5501986 LAWRENCE,	CHRISTOPHE	R IQVIA HOLDINGS INC COMMON STOCK	S	7,589.26	0.00	0.00	0.00	60.0	0.00
03/27/2018 7	5501986 LAWRENCE,	CHRISTOPHE	R GARTNER INC	В	8,913.00	0.00	0.00	0.00	60.0	0.00
03/01/2018 7	5501987 SCHWARTZ,	MARILYN	UNIVERSAL DISPLAY CORPORATION	В	18,877.50	55.00	0.00	55.00	60.0	33.00
03/05/2018 7	5501987 SCHWARTZ,	MARILYN	BROADCOM LIMITED SHS	S	15,031.35	55.00	0.00	55.00	60.0	33.00
03/07/2018 7	5501987 SCHWARTZ,	MARILYN	NVIDIA CORP	В	17,993.06	55.00	0.00	55.00	60.0	33.00
03/15/2018 7	5501987 SCHWARTZ,	MARILYN	GARTNER INC	S	24,463.20	55.00	0.00	55.00	60.0	33.00
02/28/2018 7	5501988 LAWRENCE,	CHRISTOPHE	R INTERNATIONAL BUSINESS MACHINES (	COR S	6,320.00	0.00	0.00	0.00	60.0	0.00
02/28/2018 7	5501988 LAWRENCE,	CHRISTOPHE	R TEVA PHARMACEUTICAL INDUSTRIES LI	MI B	7,526.64	0.00	0.00	0.00	60.0	0.00
03/05/2018 7	5501988 LAWRENCE,	CHRISTOPHE	R MOMO INC ADR	S	13,220.00	0.00	0.00	0.00	60.0	0.00
03/09/2018 7	5501988 LAWRENCE,	CHRISTOPHE	R DIREXION SHS ETF TR DAILY FINL BU	JLL S	5,565.76	0.00	0.00	0.00	60.0	0.00
03/16/2018 7	5501988 LAWRENCE,	CHRISTOPHE	R MAZOR ROBOTICS LTD SPONSORED ADS	В	6,738.26	0.00	0.00	0.00	60.0	0.00
03/21/2018 7	5501988 LAWRENCE,	CHRISTOPHE	R DIREXION SHS ETF TR DAILY FINL BU	JLL S	7,229.10	0.00	0.00	0.00	60.0	0.00
03/22/2018 7	5501988 LAWRENCE,	CHRISTOPHE	R EXTREME NETWORKS INC COM	В	3,780.96	0.00	0.00	0.00	60.0	0.00
03/23/2018 7	5501988 LAWRENCE,	CHRISTOPHE	R SQUARE INC CLASS A COM	В	5,194.32	0.00	0.00	0.00	60.0	0.00
03/27/2018 7	5501988 LAWRENCE,	CHRISTOPHE	R GARTNER INC	В	5,942.00	0.00	0.00	0.00	60.0	0.00
03/01/2018 7	5501989 BINES, BU	RTON	UNIVERSAL DISPLAY CORPORATION	В	31,462.50	0.00	0.00	0.00	60.0	0.00
03/19/2018 7	5501989 BINES, BU	RTON	REGENERON PHARMACEUTICALS INC	В	16,920.50	0.00	0.00	0.00	60.0	0.00

Page No.

RATE

BROKER

COMMISSION

2

# 4/16/2018 COMMISSION STATEMENT for CHARLES LAWRENCE

INVESTMENT

TRADE DATE

CLIENT

	BROKER TRANS	ACTIONS				
PRODUCT CATEGORY: STOCKS						
03/20/2018 75501989 BINES, BURTON	DIREXION SHS ETF TR DAILY FINL BULL S	35,800.00	0.00	0.00	0.00 60	.0 0.00
03/22/2018 75501989 BINES, BURTON	GARTNER INC B	12,140.00	0.00	0.00	0.00 60	
02/28/2018 75501990 LAWRENCE, JEFFREY	INTERNATIONAL BUSINESS MACHINES COR S	4,724.40	0.00	0.00	0.00 60	
02/28/2018 75501990 LAWRENCE, JEFFREY	TEVA PHARMACEUTICAL INDUSTRIES LIMI B	3,781.32	0.00	0.00	0.00 60	
03/05/2018 75501990 LAWRENCE, JEFFREY	MOMO INC ADR S	6,610.00	0.00	0.00	0.00 60	
03/06/2018 75501990 LAWRENCE, JEFFREY	DIREXION SHS ETF TR DAILY FINL BULL S	7,132.00	0.00	0.00	0.00 60	
03/07/2018 75501990 LAWRENCE, JEFFREY	INTERNATIONAL BUSINESS MACHINES COR S	3,168.20	0.00	0.00	0.00 60	
03/15/2018 75501990 LAWRENCE, JEFFREY	TWILIO INC CL A B	4,427.48	0.00	0.00	0.00 60	
03/22/2018 75501990 LAWRENCE, JEFFREY	MAZOR ROBOTICS LTD SPONSORED ADS B	1,866.23	0.00	0.00	0.00 60	
03/07/2018 75501991 NSH 81 LLC	NVIDIA CORP B	24,020.30	0.00	0.00	0.00 60	
03/20/2018 75501991 NSH 81 LLC	DIREXION SHS ETF TR DAILY FINL BULL S	23,409.46	0.00	0.00	0.00 60	
02/28/2018 75501999 LAWRENCE, CHARLES	FAIRMOUNT SANTROL HLDGS INC COM B	5,312.68	55.00	0.00	55.00 60	
03/01/2018 75501999 LAWRENCE, CHARLES	CATERPILLAR INC B	11,232.74	100.00	0.00	100.00 60	
03/05/2018 75501999 LAWRENCE, CHARLES	BLUEBIRD BIO INC COM S	12,828.00	60.00	0.00	60.00 60	
03/06/2018 75501999 LAWRENCE, CHARLES	CATERPILLAR INC S	11,490.00	55.00	0.00	55.00 60	
03/07/2018 75501999 LAWRENCE, CHARLES	NEKTAR THERAPEUTICS COM B	17,964.00	150.00	0.00	150.00 60	
03/07/2018 75501999 LAWRENCE, CHARLES	SQUARE INC CLASS A COM S	10,804.50	55.00	0.00	55.00 60	
03/08/2018 75501999 LAWRENCE, CHARLES	BLUEBIRD BIO INC COM B	16,695.00	100.00	0.00	100.00 60	
03/08/2018 75501999 LAWRENCE, CHARLES	SQUARE INC CLASS A COM S	9,404.42	55.00	0.00	55.00 60	
03/12/2018 75501999 LAWRENCE, CHARLES	LOXO ONCOLOGY INC COM B	16,718.00	125.00	0.00	125.00 60	
03/12/2018 75501999 LAWRENCE, CHARLES	NEKTAR THERAPEUTICS COM S	19,944.00	100.00	0.00	100.00 60	
03/13/2018 75501999 LAWRENCE, CHARLES	TWILIO INC CL A B	14,143.10	125.00	0.00	125.00 60	
03/20/2018 75501999 LAWRENCE, CHARLES	TWILIO INC CL A S	14,726.45	55.00	0.00	55.00 60	.0 33.00
03/21/2018 75501999 LAWRENCE, CHARLES	ARCUS BIOSCIENCES INC COMMON STOCK B	6,612.46	65.00	0.00	65.00 60	.0 39.00
03/22/2018 75501999 LAWRENCE, CHARLES	ARCUS BIOSCIENCES INC COMMON STOCK B	6,331.89	55.00	0.00	55.00 60	.0 33.00
03/23/2018 75501999 LAWRENCE, CHARLES	DIREXION SHARES ETF TRUST DIREXION S	8,813.84	51.00	0.00	51.00 60	.0 30.60
03/27/2018 75501999 LAWRENCE, CHARLES	SQUARE INC CLASS A COM B	14,717.89	150.00	0.00	150.00 60	.0 90.00
02/27/2018 75502000 LAWRENCE, WILLIAM	EXTREME NETWORKS INC COM S	5,546.13	55.00	0.00	55.00 60	.0 33.00
02/28/2018 75502000 LAWRENCE, WILLIAM	TEREX CORP B	5,306.65	55.00	0.00	55.00 60	.0 33.00
03/01/2018 75502000 LAWRENCE, WILLIAM	EXTREME NETWORKS INC COM B	6,284.75	55.00	0.00	55.00 60	.0 33.00
03/02/2018 75502000 LAWRENCE, WILLIAM	AVIANCA HOLDINGS SA SPONSORED ADR B	9,306.80	100.00	0.00	100.00 60	.0 60.00
03/05/2018 75502000 LAWRENCE, WILLIAM	EXTREME NETWORKS INC COM S	6,694.73	55.00	0.00	55.00 60	.0 33.00
03/05/2018 75502000 LAWRENCE, WILLIAM	LENNAR CORP CL A S	9,350.40	51.00	0.00	51.00 60	.0 30.60
03/06/2018 75502000 LAWRENCE, WILLIAM	BLUEBIRD BIO INC COM S	13,026.00	65.00	0.00	65.00 60	.0 39.00
03/07/2018 75502000 LAWRENCE, WILLIAM	MATTEL INCORPORATED B	9,372.05	100.00	0.00	100.00 60	.0 60.00
03/08/2018 75502000 LAWRENCE, WILLIAM	LOXO ONCOLOGY INC COM B	12,830.00	100.00	0.00	100.00 60	.0 60.00
03/12/2018 75502000 LAWRENCE, WILLIAM	SQUARE INC CLASS A COM S	21,691.79	100.00	0.00	100.00 60	.0 60.00
03/15/2018 75502000 LAWRENCE, WILLIAM	GW PHARMACEUTICALS PLC ADS B	15,639.00	125.00	0.00	125.00 60	.0 75.00
03/19/2018 75502000 LAWRENCE, WILLIAM	AVIANCA HOLDINGS SA SPONSORED ADR S	9,942.80	55.00	0.00	55.00 60	.0 33.00
03/19/2018 75502000 LAWRENCE, WILLIAM	DIREXION SHARES ETF TRUST DIREXION B	8,506.76	75.00	0.00	75.00 60	.0 45.00

INVESTMENT

AMOUNT

B/S

GROSS

COMMISSION

CLEARING

CHARGE

NET

COMMISSION

## COMMISSION STATEMENT for CHARLES LAWRENCE

Page No. 3

TRADE DATE	CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			BROKER TR	ANS	ACTIONS					
PRODUCT CAT	regory: stocks									
03/21/2018 7	5502000 LAWRENCE	, WILLIAM	DIREXION SHARES ETF TRUST DIREXION	S	8,649.68	55.00	0.00	55.00	60.0	33.00
	5502000 LAWRENCE		RANDGOLD RESOURCES LTD ADR	В	10,492.51	100.00	0.00	100.00	60.0	60.00
03/22/2018 7	5502000 LAWRENCE	, WILLIAM	AVIANCA HOLDINGS SA SPONSORED ADR	В	5,049.91	55.00	0.00	55.00	60.0	33.00
03/23/2018 7	5502000 LAWRENCE	, WILLIAM	SQUARE INC CLASS A COM	В	9,379.34	100.00	0.00	100.00	60.0	60.00
03/26/2018 7	5502000 LAWRENCE	, WILLIAM	AVIANCA HOLDINGS SA SPONSORED ADR	В	4,916.80	55.00	0.00	55.00	60.0	33.00
03/26/2018 7	5502000 LAWRENCE	, WILLIAM	RANDGOLD RESOURCES LTD ADR	S	10,673.39	55.00	0.00	55.00	60.0	33.00
03/27/2018 7	5502000 LAWRENCE	, WILLIAM	BLUEBIRD BIO INC COM	В	10,728.00	100.00	0.00	100.00	60.0	60.00
02/27/2018 7	5502001 LAWRENCE	CHARLES	HERTZ GLOBAL HLDGS INC NEW COM	В	5,036.15	55.00	0.00	55.00	60.0	33.00
02/28/2018 7	5502001 LAWRENCE	CHARLES	CATERPILLAR INC	В	15,599.38	150.00	0.00	150.00	60.0	90.00
03/02/2018 7	5502001 LAWRENCE	CHARLES	MATTEL INCORPORATED	S	9,284.80	55.00	0.00	55.00	60.0	33.00
03/05/2018 7	5502001 LAWRENCE	CHARLES	AVIANCA HOLDINGS SA SPONSORED ADR	В	9,197.09	100.00	0.00	100.00	60.0	60.00
03/05/2018 7	5502001 LAWRENCE	CHARLES	BLUEBIRD BIO INC COM	S	14,651.00	75.00	0.00	75.00	60.0	45.00
03/06/2018 7	5502001 LAWRENCE	CHARLES	NEKTAR THERAPEUTICS COM	В	15,776.00	150.00	0.00	150.00	60.0	90.00
03/06/2018 7	5502001 LAWRENCE	CHARLES	NEKTAR THERAPEUTICS COM	В	15,681.41	150.00	0.00	150.00	60.0	90.00
03/08/2018 7	5502001 LAWRENCE	CHARLES	SNAP INC CLASS A COMMON STOCK	S	9,936.08	55.00	0.00	55.00	60.0	33.00
03/09/2018 7	5502001 LAWRENCE	CHARLES	HERTZ GLOBAL HLDGS INC NEW COM	S	13,969.50	75.00	0.00	75.00	60.0	45.00
03/09/2018 7	5502001 LAWRENCE	CHARLES	LOXO ONCOLOGY INC COM	В	14,223.00	125.00	0.00	125.00	60.0	75.00
03/09/2018 7	5502001 LAWRENCE	CHARLES	SQUARE INC CLASS A COM	S	27,578.72	150.00	0.00	150.00	60.0	90.00
03/12/2018 7	5502001 LAWRENCE	CHARLES	LOXO ONCOLOGY INC COM	В	20,673.79	175.00	0.00	175.00	60.0	105.00
03/13/2018 7	5502001 LAWRENCE	CHARLES	BLUEBIRD BIO INC COM	В	16,796.25	100.00	0.00	100.00	60.0	60.00
03/13/2018 7	5502001 LAWRENCE	CHARLES	NEKTAR THERAPEUTICS COM	S	16,448.00	75.00	0.00	75.00	60.0	45.00
03/14/2018 7	5502001 LAWRENCE	CHARLES	AVIANCA HOLDINGS SA SPONSORED ADR	В	4,965.55	51.00	0.00	51.00	60.0	30.60
03/15/2018 7	5502001 LAWRENCE	CHARLES	ARCUS BIOSCIENCES INC COMMON STOCK	В	6,693.75	75.00	0.00	75.00	60.0	45.00
03/16/2018 7	5502001 LAWRENCE	CHARLES	NEKTAR THERAPEUTICS COM	S	16,373.23	75.00	0.00	75.00	60.0	45.00
03/19/2018 7	5502001 LAWRENCE	CHARLES	ARCUS BIOSCIENCES INC COMMON STOCK	В	7,101.41	75.00	0.00	75.00	60.0	45.00
03/21/2018 7	5502001 LAWRENCE	CHARLES	ARCUS BIOSCIENCES INC COMMON STOCK	В	6,360.40	55.00	0.00	55.00	60.0	33.00
03/22/2018 7	5502001 LAWRENCE	CHARLES	SQUARE INC CLASS A COM	В	12,574.05	125.00	0.00	125.00	60.0	75.00
03/26/2018 7	5502001 LAWRENCE	CHARLES	DIREXION SHARES ETF TRUST DIREXION	S	30,854.03	100.00	0.00	100.00	60.0	60.00
03/26/2018 7	5502001 LAWRENCE	CHARLES	LONGFIN CORP COM CL A	В	7,449.00	75.00	0.00	75.00	60.0	45.00
03/27/2018 7	5502001 LAWRENCE	CHARLES	AKEBIA THERAPEUTICS INC COM	В	10,532.48	100.00	0.00	100.00	60.0	60.00
03/27/2018 7	5502001 LAWRENCE	CHARLES	SQUARE INC CLASS A COM	В	14,540.13	150.00	0.00	150.00	60.0	90.00
02/27/2018 7	5502002 LAWRENCE	, WILLIAM	TEVA PHARMACEUTICAL INDUSTRIES LIM	ΙB	11,136.72	125.00	0.00	125.00	60.0	75.00
02/27/2018 7	5502002 LAWRENCE	, WILLIAM	TEVA PHARMACEUTICAL INDUSTRIES LIM	ΙB	10,963.12	100.00	0.00	100.00	60.0	60.00
03/12/2018 7	5502002 LAWRENCE	, WILLIAM	AK STEEL HOLDING CORP	S	6,580.10	51.00	0.00	51.00	60.0	30.60
03/12/2018 7	5502002 LAWRENCE,	, WILLIAM	ENPHASE ENERGY INC	В	6,333.60	55.00	0.00	55.00	60.0	33.00
03/15/2018 7	5502002 LAWRENCE,	, WILLIAM	ENPHASE ENERGY INC	S	6,926.40	55.00	0.00	55.00	60.0	33.00
03/16/2018 7	5502002 LAWRENCE	, WILLIAM	MAZOR ROBOTICS LTD SPONSORED ADS	В	13,454.56	125.00	0.00	125.00		75.00
03/21/2018 7	5502002 LAWRENCE	, WILLIAM	DIREXION SHARES ETF TRUST DIREXION	S	26,904.00	55.00	0.00	55.00		33.00
03/22/2018 7	5502002 LAWRENCE	, WILLIAM	RANDGOLD RESOURCES LTD ADR	В	12,984.98	125.00	0.00	125.00		75.00
	5502002 LAWRENCE		RANDGOLD RESOURCES LTD ADR	S	13,520.37	55.00	0.00	55.00		33.00

INVESTMENT

AMOUNT

B/S

GROSS

COMMISSION

CLEARING

CHARGE

NET

COMMISSION

Page No. 4

BROKER

COMMISSION

RATE

## COMMISSION STATEMENT for CHARLES LAWRENCE

INVESTMENT

TRADE DATE

CLIENT

INADE DATE CELENT	INVESTMENT	_, _	HIOUNI	COMMIDDION	СПИКОН	COMMIDDION	IGHT	COMMIDDION
	BROKER TI	RANSA	CTIONS					
PRODUCT CATEGORY: STOCKS								
03/27/2018 75502002 LAWRENCE, WILLIAM	NVIDIA CORP	В	14,150.25	105.00	0.00	105.00	60.0	63.00
03/08/2018 75502003 LAWRENCE, SARAH	IQVIA HOLDINGS INC COMMON STOCK	S	10,319.53	51.00	0.00	51.00	60.0	30.60
03/19/2018 75502003 LAWRENCE, SARAH	LOXO ONCOLOGY INC COM	В	8,743.49	55.00	0.00	55.00	60.0	33.00
03/20/2018 75502003 LAWRENCE, SARAH	LOXO ONCOLOGY INC COM	S	9,135.00	55.00	0.00	55.00	60.0	33.00
03/21/2018 75502003 LAWRENCE, SARAH	LOXO ONCOLOGY INC COM	В	9,224.00	55.00	0.00	55.00	60.0	33.00
03/26/2018 75502006 SHEMIN, VICKI	FACEBOOK INC CL A	В	9,171.45	55.00	0.00	55.00	60.0	33.00
03/09/2018 75502008 DEC, JOHN	MATTEL INCORPORATED	В	14,629.90	0.00	0.00	0.00	60.0	0.00
03/15/2018 75502008 DEC, JOHN	TEVA PHARMACEUTICAL INDUSTRIES LIM	II B	13,527.75	0.00	0.00	0.00	60.0	0.00
03/20/2018 75502008 DEC, JOHN	GARTNER INC	S	18,704.55	0.00	0.00	0.00	60.0	0.00
03/22/2018 75502008 DEC, JOHN	GARTNER INC	В	18,087.45	0.00	0.00	0.00	60.0	0.00
03/26/2018 75502008 DEC, JOHN	FACEBOOK INC CL A	В	9,296.25	0.00	0.00	0.00	60.0	0.00
03/27/2018 75502008 DEC, JOHN	IQVIA HOLDINGS INC COMMON STOCK	S	20,293.78	0.00	0.00	0.00	60.0	0.00
03/01/2018 75502010 KEETER, LANCE	TEVA PHARMACEUTICAL INDUSTRIES LIM	II B	6,512.31	0.00	0.00	0.00	60.0	0.00
03/08/2018 75502010 KEETER, LANCE	CELGENE CORP	S	4,537.13	0.00	0.00	0.00	60.0	0.00
03/09/2018 75502010 KEETER, LANCE	MATTEL INCORPORATED	В	7,334.35	0.00	0.00	0.00	60.0	0.00
03/12/2018 75502010 KEETER, LANCE	APPLE INC	S	4,546.50	0.00	0.00	0.00	60.0	0.00
03/19/2018 75502010 KEETER, LANCE	CATERPILLAR INC	В	6,101.20	0.00	0.00	0.00	60.0	0.00
03/20/2018 75502010 KEETER, LANCE	GARTNER INC	S	9,333.00	0.00	0.00	0.00	60.0	0.00
03/22/2018 75502010 KEETER, LANCE	GARTNER INC	В	9,037.13	0.00	0.00	0.00	60.0	0.00
03/26/2018 75502010 KEETER, LANCE	FACEBOOK INC CL A	В	3,874.94	0.00	0.00	0.00	60.0	0.00
03/27/2018 75502010 KEETER, LANCE	IQVIA HOLDINGS INC COMMON STOCK	S	10,171.19	0.00	0.00	0.00	60.0	0.00
03/09/2018 75502011 DEC, JANICE	MATTEL INCORPORATED	В	7,327.50	0.00	0.00	0.00	60.0	0.00
03/15/2018 75502011 DEC, JANICE	TEVA PHARMACEUTICAL INDUSTRIES LIM	II B	7,194.64	0.00	0.00	0.00	60.0	0.00
03/20/2018 75502011 DEC, JANICE	GARTNER INC	S	6,236.50	0.00	0.00	0.00	60.0	0.00
03/22/2018 75502011 DEC, JANICE	GARTNER INC	В	6,031.00	0.00	0.00	0.00	60.0	0.00
03/26/2018 75502011 DEC, JANICE	FACEBOOK INC CL A	В	4,655.33	0.00	0.00	0.00	60.0	0.00
03/27/2018 75502011 DEC, JANICE	IQVIA HOLDINGS INC COMMON STOCK	S	10,157.62	0.00	0.00	0.00	60.0	0.00
03/01/2018 75502012 DEC, JOHN	TEVA PHARMACEUTICAL INDUSTRIES LIM	II B	18,627.00	0.00	0.00	0.00	60.0	0.00
03/09/2018 75502012 DEC, JOHN	MATTEL INCORPORATED	В	18,275.00	0.00	0.00	0.00	60.0	0.00
03/22/2018 75502012 DEC, JOHN	GARTNER INC	В	12,083.60	0.00	0.00	0.00	60.0	0.00
03/19/2018 75502013 DEC, JOHN	CATERPILLAR INC	В	7,633.00	0.00	0.00	0.00	60.0	0.00
03/20/2018 75502013 DEC, JOHN	GARTNER INC	S	6,174.00	0.00	0.00	0.00	60.0	0.00
03/19/2018 75502014 DEC, JOHN	CATERPILLAR INC	В	3,814.75	0.00	0.00	0.00	60.0	0.00
03/20/2018 75502014 DEC, JOHN	GARTNER INC	S	6,174.00	0.00	0.00	0.00	60.0	0.00
03/19/2018 75502021 ARCARO, DANIEL	CATERPILLAR INC	В	3,813.50	0.00	0.00	0.00	60.0	0.00
03/26/2018 75502021 ARCARO, DANIEL	FACEBOOK INC CL A	В	3,098.95	0.00	0.00	0.00	60.0	0.00
03/20/2018 75502022 APPEL, CHARLES	DIREXION SHS ETF TR DAILY FINL BUI	L S	8,950.00	55.00	0.00	55.00	60.0	33.00
03/15/2018 75502023 APPEL, CHARLES	EXTREME NETWORKS INC COM	В	6,988.85	55.00	0.00	55.00	60.0	33.00
03/19/2018 75502023 APPEL, CHARLES	UNIVERSAL DISPLAY CORPORATION	В	5,700.00	55.00	0.00	55.00	60.0	33.00
03/20/2018 75502023 APPEL, CHARLES	DIREXION SHS ETF TR DAILY FINL BUL		10,740.00	55.00	0.00		60.0	33.00
, ,			.,					/

Page No.

### COMMISSION STATEMENT for CHARLES LAWRENCE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKE	ER TRANS	ACTIONS					
PRODUCT CAT	regory: stocks								
03/12/2018 75	5502035 ROMANO, LISA	INTERNATIONAL BUSINESS MACHI	NES COR S	2,413.50	0.00	0.00	0.00	60.0	0.00
03/19/2018 75	5502035 ROMANO, LISA	CATERPILLAR INC	В	3,054.00	0.00	0.00	0.00	60.0	0.00
03/12/2018 75	5502036 ROMANO, MARK	INTERNATIONAL BUSINESS MACHI	NES COR S	4,024.00	0.00	0.00	0.00	60.0	0.00
03/19/2018 75	5502036 ROMANO, MARK	CATERPILLAR INC	В	6,871.05	0.00	0.00	0.00	60.0	0.00
03/20/2018 75	5502043 MCCORD, ELIZABETH	GARTNER INC	В	10,462.23	0.00	0.00	0.00	60.0	0.00
03/02/2018 75	5502104 LAWRENCE, CHARLES	LENNAR CORP CL A	S	5,746.34	51.00	0.00	51.00	60.0	30.60
03/14/2018 75	5502104 LAWRENCE, CHARLES	SQUARE INC CLASS A COM	В	8,600.51	55.00	0.00	55.00	60.0	33.00
03/20/2018 75	5502104 LAWRENCE, CHARLES	SQUARE INC CLASS A COM	S	9,064.00	55.00	0.00	55.00	60.0	33.00
03/22/2018 75	5502104 LAWRENCE, CHARLES	ARCUS BIOSCIENCES INC COMMON	STOCK B	6,431.25	55.00	0.00	55.00	60.0	33.00
02/27/2018 75	5502113 BEHAR, RAYMOND	APPLE INC	S	4,493.75	0.00	0.00	0.00	60.0	0.00
03/19/2018 75	5502113 BEHAR, RAYMOND	AMGEN INC	В	6,365.36	0.00	0.00	0.00	60.0	0.00
03/26/2018 75	5502113 BEHAR, RAYMOND	CELGENE CORP	S	3,485.70	0.00	0.00	0.00	60.0	0.00
02/27/2018 75	5502114 BEHAR, RAYMOND	AMGEN INC	В	7,850.12	0.00	0.00	0.00	60.0	0.00
02/27/2018 75	5502114 BEHAR, RAYMOND	APPLE INC	S	4,485.00	0.00	0.00	0.00	60.0	0.00
03/05/2018 75	5502114 BEHAR, RAYMOND	WAL-MART STORES INC	S	3,393.12	0.00	0.00	0.00	60.0	0.00
03/19/2018 75	5502114 BEHAR, RAYMOND	ARISTA NETWORKS INC COM	В	8,276.10	0.00	0.00	0.00	60.0	0.00
03/20/2018 75	5502114 BEHAR, RAYMOND	DIREXION SHS ETF TR DAILY FIR	NL BULL S	14,320.00	0.00	0.00	0.00	60.0	0.00
03/26/2018 75	5502114 BEHAR, RAYMOND	CELGENE CORP	S	6,533.44	0.00	0.00	0.00	60.0	0.00
03/20/2018 75	5502115 BEHAR, RAYMOND	GARTNER INC	В	1,619.16	0.00	0.00	0.00	60.0	0.00
		* STOCKS SUE	BTOTAL *	\$1,809,409.18	\$7,016.00	\$0.00	\$7,016.00		\$4,209.60
PRODUCT CAI	regory: RIA								
	5501981 LAWRENCE, VICTORIA	FAS FEES PT. III/III	В	-501.91	436.66	0.00	436.66	60.0	262.00
	5501985 LAWRENCE, CHRISTOPHE		В	9.10	-5.91	0.00	-5.91		0.00
	5501985 LAWRENCE, CHRISTOPHE		В	-29.29	19.04	0.00	19.04	60.0	0.00
	5501986 LAWRENCE, CHRISTOPHE		В	-185.56	154.63	0.00	154.63	60.0	92.78
	5501988 LAWRENCE, CHRISTOPHE		В	-126.22	94.66	0.00	94.66	60.0	56.80
01/17/2018 75	5501989 BINES, BURTON	FAS FEES PT. III/III	В	-1,459.83	1,299.25	0.00	1,299.25	60.0	779.55
01/17/2018 75	5501990 LAWRENCE, JEFFREY	FAS FEES PT. III/III	В	-64.62	42.01	0.00	42.01	60.0	0.00
03/09/2018 75	5501990 LAWRENCE, JEFFREY	FAS FEES PT. I/III	В	2.85	-1.85	0.00	-1.85	60.0	0.00
03/09/2018 75	5501990 LAWRENCE, JEFFREY	FAS FEES PT. II/III	В	2.85	-1.85	0.00	-1.85	60.0	0.00
03/09/2018 75	5501990 LAWRENCE, JEFFREY	FAS FEES PT. III/III	В	2.85	-1.85	0.00	-1.85	60.0	0.00
	5501991 NSH 81 LLC	FAS FEES PT. III/III	В	-1,351.92	1,203.21	0.00	1,203.21	60.0	721.93
01/17/2018 75	5501992 THE BARBARA & NEAL	FAS FEES PT. III/III	В	-465.79	405.23	0.00	405.23	60.0	243.14
01/17/2018 75	5502008 DEC, JOHN	FAS FEES PT. III/III	В	-283.03	232.09	0.00	232.09		139.25
	5502010 KEETER, LANCE	FAS FEES PT. III/III	В	-260.76	225.53	0.00	225.53	60.0	135.32
	5502011 DEC, JANICE	FAS FEES PT. III/III	В	-136.18	102.13	0.00	102.13		61.28
01/17/2018 75	5502012 DEC, JOHN	FAS FEES PT. III/III	В	-1,106.11	984.44	0.00	984.44		590.66
01/17/2018 75	5502013 DEC, JOHN	FAS FEES PT. III/III	В	-158.28	118.71	0.00	118.71	60.0	71.23
01/17/2018 75	5502014 DEC, JOHN	FAS FEES PT. III/III	В	-138.66	103.99	0.00	103.99	60.0	62.39

#### COMMISSION STATEMENT for CHARLES LAWRENCE

01/17/2018 75502035 ROMANO, LISA	TRADE DATE CLIENT		INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CATEGORY: RIA										
01/17/2018 75502031 ARCARO, DANIEL   PAS FEES PT. III/III   B   -125.64   101.87   0.00   101.87   60.0   61.01/17/2018 75502035 ROMANO, LISA   FAS FEES PT. III/III   B   -90.16   69.13   0.00   69.13   60.0   41.01/17/2018 75502035 ROMANO, MARK   FAS FEES PT. III/III   B   -364.44   298.84   0.00   228.84   60.0   179.01/17/2018 75502043 MCCORD, ELIZABETH   FAS FEES PT. III/III   B   -364.44   298.84   0.00   298.84   60.0   0.73   01/17/2018 75502043 MCCORD, ELIZABETH   FAS FEES PT. III/III   B   -399.28   511.29   0.00   511.29   60.0   0.00   01/17/2018 75502044 MCCORD, ELIZABETH   FAS FEES PT. III/III   B   -399.28   511.29   0.00   511.29   60.0   0.00   01/17/2018 75502044 MCCORD, ELIZABETH   FAS FEES PT. III/III   B   -399.28   511.29   0.00   511.29   60.0   0.00   01/17/2018 75502044 MCCORD, ELIZABETH   FAS FEES PT. III/III   B   -424.66   195.45   0.00   195.45   60.0   117.01/17/2018 75502044 MCCORD, ELIZABETH   FAS FEES PT. III/III   B   -411.49   90.79   0.00   90.79   60.0   54.00   01/17/2018 75502061 MASSEY, GEORGE   FAS FEES PT. III/III   B   -113.49   90.79   0.00   90.79   60.0   54.00   01/17/2018 75502113 BEHAR, RAYMOND   FAS FEES PT. III/III   B   -127.1   84.53   0.00   84.53   60.0   50.0   01/17/2018 75502114 BEHAR, RAYMOND   FAS FEES PT. III/III   B   -128.16   51.27   0.00   51.27   60.0   108.00   01/17/2018 75502115 BEHAR, RAYMOND   FAS FEES PT. III/III   B   -220.63   180.92   0.00   391.62   60.0   391.62   01/17/2018 75502115 BEHAR, RAYMOND   FAS FEES PT. III/III   B   -220.63   180.92   0.00   391.62   60.0   391.62   01/17/2018 75502115 BEHAR, RAYMOND   FAS FEES PT. III/III   B   -128.16   51.27   0.00   51.47   60.0   50.0   01/17/2018 75502115 BEHAR, RAYMOND   FAS FEES PT. III/III   B   -220.63   180.92   0.00   391.62   60.0   391.62   60.0   391.62   60.0   391.62   60.0   391.62   60.0   391.62   60.0   391.62   60.0   391.62   60.0   391.62   60.0   391.62   60.0   391.62   60.0   391.62   60.0   391.62   60.0   391.62   60.0   391.62   60.0   60.0   60.0   60.0   60.0			BRO	OKER TRANS	ACTIONS					
01/17/2018 75502035 ROMANO, LISA	PRODUCT CATEGORY: RIA									
01/17/2018 75502016 ROMENO, MERK FAS FEES PT. III/III B -141.19 122.66 0.00 122.66 0.00 73.01/17/2018 75502041 MCCORD, ELIZABETH PAS FEES PT. III/III B -364.44 298.84 0.00 298.84 60.0 179.01/10/2018 75502043 MCCORD, ELIZABETH PAS FEES PT. III/III B -361.342 1-110.08 0.00 -511.09 0.00 1-10.00 10/10/2018 75502044 MCCORD, ELIZABETH PAS FEES PT. III/III B -991.28 511.29 0.00 511.29 0.00 0.00 10/10/2018 75502044 MCCORD, ELIZABETH PAS FEES PT. III/III B -241.66 199.45 0.00 0.00 195.45 0.00 0.00 10/10/2018 75502044 MCCORD, ELIZABETH PAS FEES PT. III/III B -418.25 363.88 0.00 363.88 0.00 363.88 0.00 0.00 0.00 0.00 0.00 0.00 0.00	01/17/2018 75502021 ARCAI	RO, DANIEL	FAS FEES PT. III/III	В	-125.64	101.87	0.00	101.87	60.0	61.12
01/17/2018 75502041 MCCORD, ELIZABETH FAS FEES PT. III/III B 213.42 -110.08 0.00 298.84 60.0 179.01/10/2018 75502043 MCCORD, ELIZABETH FAS FEES PT. III/III B 213.42 -110.08 0.00 511.29 0.00 511.29 60.0 306.01/10/2018 75502044 MCCORD, ELIZABETH FAS FEES PT. III/III B 224.66 195.45 0.00 1515.45 60.0 306.01/10/2018 75502044 MCCORD, ELIZABETH FAS FEES PT. III/III B 248.55 363.88 0.00 363.88 60.0 151.79 0.00 171/2018 75502044 MCCORD, ELIZABETH FAS FEES PT. III/III B 348.55 363.88 0.00 363.88 60.0 161.70 0.00 171/2018 75502044 MCCORD, ELIZABETH FAS FEES PT. III/III B 341.34 90.79 0.00 90.79 60.0 51.29 60.0 171/2018 75502064 MCCORD, ELIZABETH FAS FEES PT. III/III B 341.34 90.79 0.00 90.79 60.0 54.01/17/2018 75502064 MCCORD, ELIZABETH FAS FEES PT. III/III B 341.34 90.79 0.00 90.79 60.0 54.01/17/2018 75502064 MCCORD, ELIZABETH FAS FEES PT. III/III B 341.34 90.79 0.00 90.79 60.0 54.00 0.01/17/2018 75502013 BEHAR, RAYMOND FAS FEES PT. III/III B 341.34 90.79 0.00 84.88 60.0 50.01/17/2018 75502113 BEHAR, RAYMOND FAS FEES PT. III/III B 341.34 90.79 0.00 180.92 0.00 180.92 60.0 100.01/17/2018 75502113 BEHAR, RAYMOND FAS FEES PT. III/III B 341.34 90.00 75.12 60.0 30.01/17/2018 75502113 BEHAR, RAYMOND FAS FEES PT. III/III B 341.34 90.00 75.14 90.00 75.12 60.0 30.01/17/2018 75502113 BEHAR, RAYMOND FAS FEES PT. III/III B 341.34 90.00 75.14 90.00 75.12 60.0 30.01/17/2018 75502113 BEHAR, RAYMOND FAS FEES PT. III/III B 341.34 90.00 75.14 90.00	01/17/2018 75502035 ROMAN	NO, LISA	FAS FEES PT. III/III	В	-90.16	69.13	0.00	69.13	60.0	41.48
01/10/2018 75502043 MCCCCRD, ELIZABETH FAS FEES PT. III/III B 213.42 -110.08 0.00 -110.08 60.0 0.00 0.01/17/2018 75502044 MCCCCRD, ELIZABETH FAS FEES PT. III/III B -224.66 195.45 0.00 151.29 0.00 151.29 0.00 151.00 0.01/17/2018 75502044 MCCCRD, ELIZABETH FAS FEES PT. III/III B -224.66 195.45 0.00 155.45 0.00 151.00 0.01/17/2018 75502044 MCCCRD, ELIZABETH FAS FEES PT. III/III B -418.25 363.88 0.00 363.88 0.00 363.88 0.00 17/17/2018 75502064 MCCCRD, ELIZABETH FAS FEES PT. III/III B -113.49 90.79 0.00 90.79 0.00 90.79 0.00 0.00	01/17/2018 75502036 ROMAN	NO, MARK	FAS FEES PT. III/III	В	-147.19	122.66	0.00	122.66	60.0	73.60
01/17/2018 75502043 MCCORD, ELIZABETH FAS FEES PT. III/III B -991.28 511.29 0.00 511.29 60.0 10/10/2018 75502044 MCCORD, ELIZABETH FAS FEES PT. III/III B -248.65 195.45 0.00 195.45 0.0 195.45 0.0 117. 01/17/2018 75502060 MASSEY, GEORGE FAS FEES PT. III/III B -418.25 36.38 0.00 36.38 60.0 128. 01/17/2018 75502060 MASSEY, GEORGE FAS FEES PT. III/III B -418.25 36.38 0.00 48.88 0.0 50.0 117. 01/17/2018 75502061 MASSEY, GEORGE FAS FEES PT. III/III B -618.27 48.88 0.00 48.88 0.0 50.0 117. 01/17/2018 75502061 MASSEY, GEORGE FAS FEES PT. III/III B -618.27 48.88 0.00 48.88 0.0 50.0 117. 01/17/2018 75502014 BEHAR, RAYMOND FAS FEES PT. III/III B -122.63 180.92 0.00 180.92 60.0 108.01/17/2018 75502115 BEHAR, RAYMOND FAS FEES PT. III/III B -220.63 180.92 0.00 180.92 60.0 108.01/17/2018 75502115 BEHAR, RAYMOND FAS FEES PT. III/III B -220.63 180.92 0.00 \$7.419.55 \$0.00 \$1.27 60.0 30.00 \$1.27 60.0 \$1.27 60.0 \$1.27 60.0 \$1.27 60.0 \$1.27 60.0 \$1.27 60.0 \$1.27 60.0 \$1.27 60.0 \$1.	01/17/2018 75502041 MCCOR	RD, ELIZABETH	FAS FEES PT. III/III	В	-364.44	298.84	0.00	298.84	60.0	179.30
01/10/2018 75502044 MCCORD, ELIZABETH FAS FEES PT. III/III B -134.65 363.88 0.00 363.88 60.0 218. 01/17/2018 75502064 MCCORD, ELIZABETH FAS FEES PT. III/III B -134.95 90.79 0.00 90.79 60.0 54. 01/17/2018 75502061 MASSEY, GEORGE FAS FEES PT. III/III B -134.99 90.79 0.00 90.79 60.0 54. 01/17/2018 75502061 MASSEY, GEORGE FAS FEES PT. III/III B -134.99 0.00 0.00 0.00 0.00 0.00 0.00 0.00	01/10/2018 75502043 MCCOM	RD, ELIZABETH	FAS FEES PT. III/III	В	213.42	-110.08	0.00	-110.08	60.0	0.00
01/17/2018 75502044 MCCORD, ELIZABETH FAS FEES PT. III/III B -418.25 363.88 0.00 363.88 60.0 218.01/17/2018 75502060 MASSEY, GEORGE FAS FEES PT. III/III B -113.49 90.79 0.00 90.79 60.0 54.01/17/2018 75502061 MASSEY, GEORGE FAS FEES PT. III/III B -67.89 48.88 0.00 48.88 60.0 0.01/17/2018 75502113 BEHAR, RAYMOND FAS FEES PT. III/III B -112.71 84.53 0.00 84.53 60.0 50.01/17/2018 75502113 BEHAR, RAYMOND FAS FEES PT. III/III B -120.63 180.92 0.00 180.92 60.0 108.01/17/2018 75502115 BEHAR, RAYMOND FAS FEES PT. III/III B -220.63 180.92 0.00 51.27 60.0 30.01/17/2018 75502115 BEHAR, RAYMOND FAS FEES PT. III/III B -128.16 51.27 0.00 \$7,419.55 60.0 30.01/17/2018 75502115 BEHAR, RAYMOND FAS FEES PT. III/III B -79,041.59 \$7,419.55 \$0.00 \$7,419.55 60.0 30.01/17/2018 75502115 BEHAR, RAYMOND FAS FEES PT. III/III B -128.16 51.27 0.00 \$7,419.55 60.0 30.01/17/2018 75502115 BEHAR, RAYMOND FAS FEES PT. III/III B -128.16 51.27 0.00 \$7,419.55 60.0 30.01/17/2018 75502115 BEHAR, RAYMOND FAS FEES PT. III/III B -79,041.59 \$7,419.55 \$0.00 \$7,419.55 60.0 30.01/17/2018 75502115 BEHAR, RAYMOND FAS FEES PT. III/III B -79,041.59 \$7,419.55 \$0.00 \$7,419.55 \$0.00 \$7,419.55 \$0.00 \$7,419.55 \$0.00 \$0.0	01/17/2018 75502043 MCCO	RD, ELIZABETH	FAS FEES PT. III/III	В	-991.28	511.29	0.00	511.29	60.0	306.77
01/17/2018 75502060 MASSEY, GEORGE FAS FEES PT. III/III B -113.49 90.79 0.00 90.79 60.0 54.0 01/17/2018 75502061 MASSEY, GEORGE FAS FEES PT. III/III B -67.89 48.88 0.00 48.88 60.0 0.0 01/17/2018 75502113 BEHAR, RAYMOND FAS FEES PT. III/III B -112.71 84.53 0.00 84.53 60.0 50.0 01/17/2018 75502114 BEHAR, RAYMOND FAS FEES PT. III/III B -128.16 51.27 0.00 51.27 60.0 30.0 10.00 10	01/10/2018 75502044 MCCO	RD, ELIZABETH	FAS FEES PT. III/III	В	-224.66	195.45	0.00	195.45	60.0	117.27
01/17/2018 75502061 MASSEY, GEORGE	01/17/2018 75502044 MCCO	RD, ELIZABETH	FAS FEES PT. III/III	В	-418.25	363.88	0.00	363.88	60.0	218.33
01/17/2018 75502113 BEHAR, RAYMOND FAS FEES PT. III/III B -112.71 84.53 0.00 84.53 60.0 50.0 1/17/2018 75502114 BEHAR, RAYMOND FAS FEES PT. III/III B -220.63 180.92 0.00 180.92 60.0 108.01/17/2018 75502115 BEHAR, RAYMOND FAS FEES PT. III/III B -128.16 51.27 0.00 51.27 60.0 30.0	01/17/2018 75502060 MASSI	EY, GEORGE	FAS FEES PT. III/III	В	-113.49	90.79	0.00	90.79	60.0	54.47
01/17/2018 75502114 BEHAR, RAYMOND FAS FEES PT. III/III B -220.63 180.92 0.00 180.92 60.0 108.01/17/2018 75502115 BEHAR, RAYMOND FAS FEES PT. III/III B -128.16 51.27 0.00 51.27 60.0 30.00 108.01 108.01 109	01/17/2018 75502061 MASSI	EY, GEORGE	FAS FEES PT. III/III	В	-67.89	48.88	0.00	48.88	60.0	0.00
01/17/2018 75502115 BEHAR, RAYMOND FAS FEES PT. III/III B -128.16 51.27 0.00 51.27 60.0 30.0	01/17/2018 75502113 BEHAN	R, RAYMOND	FAS FEES PT. III/III	В	-112.71	84.53	0.00	84.53	60.0	50.72
* RIA SUBTOTAL * \$-9,041.59 \$7,419.55 \$0.00 \$7,419.55 \$4,458.  PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS  03/31/2018 FEE COUNT - 15 0.00 391.62 0.00 391.62 60.0 234.  * MUTUAL FUND 12B1 TRAILS SUBTOTAL * \$0.00 \$391.62 \$0.00 \$3	01/17/2018 75502114 BEHAN	R, RAYMOND	FAS FEES PT. III/III	В	-220.63	180.92	0.00	180.92	60.0	108.55
### BROKER TRANSACTIONS TOTAL *** \$1,800,367.59   \$14,827.17   \$0.00   \$391.62   \$0.	01/17/2018 75502115 BEHAN	R, RAYMOND	FAS FEES PT. III/III	В	-128.16	51.27	0.00	51.27	60.0	30.76
### BROKER TRANSACTIONS TOTAL *** \$1,800,367.59 \$14,827.17 \$0.00 \$391.62 60.0 \$234.  ### BROKER TRANSACTIONS TOTAL *** \$1,800,367.59 \$14,827.17 \$0.00 \$14,827.17 \$8,903.2 \$234.  ### BROKER TRANSACTIONS TOTAL *** \$1,800,367.59 \$14,827.17 \$0.00 \$14,827.17 \$8,903.2 \$14,827.17 \$1,000 \$1			* RIA	SUBTOTAL *	\$-9,041.59	\$7,419.55	\$0.00	\$7,419.55		\$4,458.70
* MUTUAL FUND 12B1 TRAILS SUBTOTAL * \$0.00 \$391.62 \$0.00 \$391.62 \$234.  *** BROKER TRANSACTIONS TOTAL *** \$1,800,367.59 \$14,827.17 \$0.00 \$14,827.17 \$8,903.2  BROKER COMMISSION TOTALS  Broker Transactions \$8,903.2  Split Transactions \$0.0  Override Transactions \$0.0  Adjustments \$0.0  Payroll Draw \$0.0  Base Salary \$0.0  Prior Period Balance \$0.0  FINRA Assessment \$-18.5	PRODUCT CATEGORY: MUT	UAL FUND 12B1	TRAILS							
*** BROKER TRANSACTIONS TOTAL *** \$1,800,367.59 \$14,827.17 \$0.00 \$14,827.17 \$8,903.2  BROKER COMMISSION TOTALS  Broker Transactions \$8,903.2  Split Transactions \$0.0  Override Transactions \$0.0  Adjustments \$0.0  Payroll Draw \$0.0  Base Salary \$0.0  Prior Period Balance \$0.0  FINRA Assessment \$-18.5	03/31/2018		FEE COUNT - 15		0.00	391.62	0.00	391.62	60.0	234.98
BROKER COMMISSION TOTALS  Broker Transactions \$8,903.2  Split Transactions \$0.0  Override Transactions \$0.0  Adjustments \$0.0  Payroll Draw \$0.0  Base Salary \$0.0  Prior Period Balance \$0.0  FINRA Assessment \$-18.5			* MUTUAL FUND 12B1 TRAILS	SUBTOTAL *	\$0.00	\$391.62	\$0.00	\$391.62		\$234.98
Broker Transactions \$8,903.2  Split Transactions \$0.0  Override Transactions \$0.0  Adjustments \$0.0  Payroll Draw \$0.0  Base Salary \$0.0  Prior Period Balance \$0.0  FINRA Assessment \$-18.5			*** BROKER TRANSACTIONS	TOTAL ***	\$1,800,367.59	\$14,827.17	\$0.00	\$14,827.17		\$8,903.28
Split Transactions \$0.0  Override Transactions \$0.0  Adjustments \$0.0  Payroll Draw \$0.0  Base Salary \$0.0  Prior Period Balance \$0.0  FINRA Assessment \$-18.5							<u> </u>	BROKER COMMI	SSION	TOTALS
Override Transactions \$0.0  Adjustments \$0.0  Payroll Draw \$0.0  Base Salary \$0.0  Prior Period Balance \$0.0  FINRA Assessment \$-18.5							Broke	r Transactions		\$8,903.28
Adjustments \$0.0 Payroll Draw \$0.0 Base Salary \$0.0 Prior Period Balance \$0.0 FINRA Assessment \$-18.5							Spli	t Transactions		\$0.00
Payroll Draw \$0.0  Base Salary \$0.0  Prior Period Balance \$0.0  FINRA Assessment \$-18.5							Overrid	e Transactions		\$0.00
Base Salary \$0.0 Prior Period Balance \$0.0 FINRA Assessment \$-18.5								Adjustments		\$0.00
Base Salary \$0.0 Prior Period Balance \$0.0 FINRA Assessment \$-18.5								-		\$0.00
Prior Period Balance \$0.0  FINRA Assessment \$-18.5								-		\$0.00
FINRA Assessment \$-18.5							Drior	_		\$0.00
										\$-37.07

\$8,847.68

\$29,649.70

Check Amount

Year-to-date Earnings