

COMMISSION STATEMENT**January 12, 2018**

MARLI SMYTHE
3200 N. CENTRAL AVE STE 200
PHOENIX, AZ 85012

BROKER #: 73690
BRANCH: 04-#70A11 HOME OFFIC

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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Company: Securities America**BROKER TRANSACTIONS****PRODUCT CATEGORY: MUTUAL FUNDS**

01/11/2018	SMYTHE (73690), MARLI	SAI SECURITES INCOME	0.00	2.50	0.00	2.50	0.75
* MUTUAL FUNDS SUBTOTAL *			<u>\$0.00</u>	<u>\$2.50</u>	<u>\$0.00</u>	<u>\$2.50</u>	<u>\$0.75</u>

PRODUCT CATEGORY: TRAILERS

01/11/2018	SMYTHE (73690), MARLI	SAI.TRAILS	0.00	202.95	0.00	202.95	60.89
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$202.95</u>	<u>\$0.00</u>	<u>\$202.95</u>	<u>\$60.89</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$205.45</u>	<u>\$0.00</u>	<u>\$205.45</u>	<u>\$61.64</u>

SPLIT TRANSACTIONS**BROKER: MELBY/SMYTHE #73916**

01/11/2018	MELBY/SMYTHE (73916)	SAI.TRAILS	0.00	65.90	0.00	26.36	7.91
* MELBY/SMYTHE SUBTOTAL *			<u>\$0.00</u>	<u>\$65.90</u>	<u>\$0.00</u>	<u>\$26.36</u>	<u>\$7.91</u>
*** SPLIT TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$65.90</u>	<u>\$0.00</u>	<u>\$26.36</u>	<u>\$7.91</u>

ADJUSTMENTS

7. MONTHLY SVC & TECHNOLOGY FEE							-50.00
19. SAI SIPC PE 1/5/18							-0.02
*** ADJUSTMENTS TOTAL ***			<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-50.02</u>

COMMISSION STATEMENT for MARLI SMYTHE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
							<u>BROKER COMMISSION TOTALS</u>
							Broker Transactions \$61.64
							Split Transactions \$7.91
							Override Transactions \$0.00
							Adjustments \$-50.02
							Prior Period Balance \$0.00
							NASD Assessment \$0.00
							SIPC Assessment \$0.00
							<u>Check Amount \$19.53</u>
							<u>Year-to-date Earnings \$23.59</u>