

Bill Payment Stub

Check Date:	3/23/2018
Check No.:	ACH
Check Amount:	2,435.78

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: RICHARD A. DURAND
12919 KINGS FOREST
SAN ANTONIO, TX 78230

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
3/15/2018	Bill	COMMISSIONS	2,435.78	2,435.78		2,435.78

COMMISSION STATEMENT

March 15, 2018

RICHARD DURAND-D020

BROKER #: D020

BRANCH: DMN

, TX

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
02/26/2018 (None)	ZIMMERMAN, STEVEN	MF OPPENHEIMER	B	0.00	2.40	0.00	2.40	85.0	2.04
02/26/2018 (None)	ZIMMERMAN, STEVEN	MF OPPENHEIMER	B	0.00	2.52	0.00	2.52	85.0	2.14
02/26/2018 (None)	ZIMMERMAN, STEVEN	MF OPPENHEIMER	B	0.00	2.52	0.00	2.52	85.0	2.14
02/26/2018 (None)	ZIMMERMAN, STEVEN	MF OPPENHEIMER	B	0.00	3.36	0.00	3.36	85.0	2.86
02/26/2018 (None)	ZIMMERMAN, STEVEN	MF OPPENHEIMER	B	0.00	0.60	0.00	0.60	85.0	0.51
03/05/2018 00064992220	VELA, PATRICIA	MF THE GROWTH FUND OF AMERICA-A	B	125.00	5.31	0.00	5.31	85.0	4.51
03/01/2018 00067562789	PEREZ, GRACIELA	MF AMERICAN BALANCED FUND-A	B	143.73	5.39	0.00	5.39	85.0	4.58
03/01/2018 00068501235	GUTIERREZ, OTTO	MF THE BOND FUND OF AMERICA-A	B	193.81	5.33	0.00	5.33	85.0	4.53
03/08/2018 00073352550	SALINAS, MARICELA	MF THE INCOME FUND OF AMERICA-A	B	199.05	8.46	0.00	8.46	85.0	7.19
03/01/2018 00085713380	BENAVIDES, JOHN	MF CAPITAL WORLD GROWTH AND	B	105.00	3.94	0.00	3.94	85.0	3.35
03/01/2018 00085713380	BENAVIDES, JOHN	MF THE GROWTH FUND OF AMERICA-529A	B	105.00	3.94	0.00	3.94	85.0	3.35
03/05/2018 00086533271	VILLA, KELLIE	MF THE INCOME FUND OF AMERICA-529A	B	200.00	5.50	0.00	5.50	85.0	4.68
* MUTUAL FUNDS SUBTOTAL *				\$1,071.59	\$49.27	\$0.00	\$49.27		\$41.88
PRODUCT CATEGORY: VARIABLE ANNUITIES									
02/28/2018 009066455A	STEPHENS, JUNE	VA PERSPECTIVE-RPL	B	41,445.36	8.63	0.00	8.63	85.0	7.34
02/28/2018 009066456A	STEPHENS, SHERMAN	VA PERSPECTIVE-RPL	B	30,873.10	6.43	0.00	6.43	85.0	5.47
02/28/2018 009076002A	RIDDLE, MELVIN	VA PERSPECTIVE	B	85,280.95	17.77	0.00	17.77	85.0	15.10
02/28/2018 009076003A	RIDDLE, MELVIN	VA PERSPECTIVE-RPL	B	1,881.07	0.39	0.00	0.39	85.0	0.33
02/28/2018 1016582030	BRANNIES,	VA PERSP II 04/14	B	328,896.14	137.04	0.00	137.04	85.0	116.48
* VARIABLE ANNUITIES SUBTOTAL *				\$488,376.62	\$170.26	\$0.00	\$170.26		\$144.72
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
02/28/2018 (None)	VARIOUS, DURAND	MFT HARTFORD MUTUAL FUIND	B	0.00	1.85	0.00	1.85	85.0	1.57
03/01/2018 00050332808	ROSS, FRANCES	MFT AMERICAN BALANCED FUND-A	B	0.00	33.44	0.00	33.44	85.0	28.42
03/01/2018 00050332808	ROSS, FRANCES	MFT THE INCOME FUND OF AMERICA-A	B	0.00	22.66	0.00	22.66	85.0	19.26
03/01/2018 00050332808	ROSS, FRANCES	MFT THE INVESTMENT COMPANY OF	B	0.00	264.93	0.00	264.93	85.0	225.19
03/01/2018 00050332808	ROSS, FRANCES	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	38.16	0.00	38.16	85.0	32.44
03/01/2018 00064241136	GARZA, NELDA	MFT SMALLCAP WORLD FUND-A	B	0.00	1.55	0.00	1.55	85.0	1.32
03/01/2018 00064241136	GARZA, NELDA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.97	0.00	2.97	85.0	2.52
03/01/2018 00064241136	GARZA, NELDA	MFT THE INVESTMENT COMPANY OF	B	0.00	2.90	0.00	2.90	85.0	2.47
03/01/2018 00064241136	GARZA, NELDA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	11.12	0.00	11.12	85.0	9.45

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
03/01/2018 00064303663	HERNANDEZ, ANNA	MFT AMERICAN BALANCED FUND-A	B	0.00	11.29	0.00	11.29	85.0	9.60
03/01/2018 00064303663	HERNANDEZ, ANNA	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	8.74	0.00	8.74	85.0	7.43
03/01/2018 00064303663	HERNANDEZ, ANNA	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.02	0.00	2.02	85.0	1.72
03/01/2018 00064563501	MAUGHAN, ROSARIO	MFT AMCAP FUND-A	B	0.00	21.85	0.00	21.85	85.0	18.57
03/01/2018 00064563501	MAUGHAN, ROSARIO	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	19.96	0.00	19.96	85.0	16.97
03/01/2018 00064563501	MAUGHAN, ROSARIO	MFT THE INVESTMENT COMPANY OF	B	0.00	10.39	0.00	10.39	85.0	8.83
03/01/2018 00064722655	BENAVIDES, M	MFT AMERICAN BALANCED FUND-A	B	0.00	7.26	0.00	7.26	85.0	6.17
03/01/2018 00064722655	BENAVIDES, M	MFT THE INCOME FUND OF AMERICA-A	B	0.00	12.77	0.00	12.77	85.0	10.85
03/01/2018 00064884007	BURRELL, MARIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.67	0.00	3.67	85.0	3.12
03/01/2018 00064884007	BURRELL, MARIA	MFT THE NEW ECONOMY FUND-A	B	0.00	3.58	0.00	3.58	85.0	3.04
03/01/2018 00064884007	BURRELL, MARIA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	77.48	0.00	77.48	85.0	65.86
03/01/2018 00064884008	XIMENEZ, BETTY	MFT AMERICAN BALANCED FUND-A	B	0.00	3.21	0.00	3.21	85.0	2.73
03/01/2018 00064884008	XIMENEZ, BETTY	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	2.55	0.00	2.55	85.0	2.17
03/01/2018 00064884008	XIMENEZ, BETTY	MFT FUNDAMENTAL INVESTORS-A	B	0.00	3.04	0.00	3.04	85.0	2.58
03/01/2018 00064884008	XIMENEZ, BETTY	MFT THE BOND FUND OF AMERICA-A	B	0.00	1.17	0.00	1.17	85.0	0.99
03/01/2018 00064884008	XIMENEZ, BETTY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.29	0.00	3.29	85.0	2.80
03/01/2018 00064884008	XIMENEZ, BETTY	MFT THE INCOME FUND OF AMERICA-A	B	0.00	1.50	0.00	1.50	85.0	1.28
03/01/2018 00064884008	XIMENEZ, BETTY	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.31	0.00	2.31	85.0	1.96
03/01/2018 00064930229	PEREZ, GRACIELA	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	2.60	0.00	2.60	85.0	2.21
03/01/2018 00064930229	PEREZ, GRACIELA	MFT THE INCOME FUND OF AMERICA-A	B	0.00	7.19	0.00	7.19	85.0	6.11
03/01/2018 00064939725	GONZALEZ, EDULFO	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.28	0.00	0.28	85.0	0.24
03/01/2018 00064992220	VELA, PATRICIA	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.48	0.00	2.48	85.0	2.11
03/01/2018 00064992220	VELA, PATRICIA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.88	0.00	3.88	85.0	3.30
03/01/2018 00064992220	VELA, PATRICIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.70	0.00	6.70	85.0	5.70
03/01/2018 00066536308	VILLA, PETER	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	4.99	0.00	4.99	85.0	4.24
03/01/2018 00066536308	VILLA, PETER	MFT THE INVESTMENT COMPANY OF	B	0.00	8.64	0.00	8.64	85.0	7.34
03/01/2018 00066584889	BENAVIDES, JOHN	MFT AMERICAN BALANCED FUND-A	B	0.00	0.73	0.00	0.73	85.0	0.62
03/01/2018 00066584889	BENAVIDES, JOHN	MFT FUNDAMENTAL INVESTORS-A	B	0.00	2.91	0.00	2.91	85.0	2.47
03/01/2018 00066584889	BENAVIDES, JOHN	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	9.46	0.00	9.46	85.0	8.04
03/01/2018 00066584889	BENAVIDES, JOHN	MFT THE INVESTMENT COMPANY OF	B	0.00	4.86	0.00	4.86	85.0	4.13
03/01/2018 00066840040	HENDERSON, HAROLD	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	4.30	0.00	4.30	85.0	3.66
03/01/2018 00066840040	HENDERSON, HAROLD	MFT THE INVESTMENT COMPANY OF	B	0.00	6.15	0.00	6.15	85.0	5.23
03/01/2018 00067150884	SHANNON WOLFE	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.39	0.00	3.39	85.0	2.88
03/01/2018 00067311891	SALINAS, RUFINO	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	26.16	0.00	26.16	85.0	22.24
03/01/2018 00067311891	SALINAS, RUFINO	MFT AMERICAN MUTUAL FUND-A	B	0.00	14.96	0.00	14.96	85.0	12.72
03/01/2018 00067311891	SALINAS, RUFINO	MFT CAPITAL INCOME BUILDER-A	B	0.00	11.15	0.00	11.15	85.0	9.48
03/01/2018 00067311891	SALINAS, RUFINO	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	16.48	0.00	16.48	85.0	14.01
03/01/2018 00067311891	SALINAS, RUFINO	MFT THE BOND FUND OF AMERICA-A	B	0.00	21.48	0.00	21.48	85.0	18.26
03/01/2018 00067311891	SALINAS, RUFINO	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	10.87	0.00	10.87	85.0	9.24
03/01/2018 00067311891	SALINAS, RUFINO	MFT U.S. GOVERNMENT SECURITIES FUND	B	0.00	5.64	0.00	5.64	85.0	4.79
03/01/2018 00067311892	SALINAS, MARY	MFT AMERICAN BALANCED FUND-A	B	0.00	21.22	0.00	21.22	85.0	18.04
03/01/2018 00067311892	SALINAS, MARY	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	0.13	0.00	0.13	85.0	0.11
03/01/2018 00067311892	SALINAS, MARY	MFT AMERICAN HIGH-INCOME MUNI BOND	B	0.00	9.90	0.00	9.90	85.0	8.42
03/01/2018 00067311892	SALINAS, MARY	MFT FUNDAMENTAL INVESTORS-A	B	0.00	19.11	0.00	19.11	85.0	16.24

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
03/01/2018 00067311892	SALINAS, MARY	MFT LIMITED TERM TAX- EXEMPT BOND	B	0.00	5.89	0.00	5.89	85.0	5.01
03/01/2018 00067311892	SALINAS, MARY	MFT SHORT-TERM BOND FUND OF AMERICA	B	0.00	11.41	0.00	11.41	85.0	9.70
03/01/2018 00067311892	SALINAS, MARY	MFT SMALLCAP WORLD FUND-A	B	0.00	13.05	0.00	13.05	85.0	11.09
03/01/2018 00067311892	SALINAS, MARY	MFT THE INCOME FUND OF AMERICA-A	B	0.00	21.91	0.00	21.91	85.0	18.62
03/01/2018 00067311892	SALINAS, MARY	MFT THE INVESTMENT COMPANY OF	B	0.00	14.43	0.00	14.43	85.0	12.27
03/01/2018 00067311892	SALINAS, MARY	MFT U.S. GOVERNMENT SECURITIES FUND	B	0.00	7.20	0.00	7.20	85.0	6.12
03/01/2018 00067311892	SALINAS, MARY	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	21.53	0.00	21.53	85.0	18.30
03/01/2018 00067311893	SALINAS, MARICELA	MFT AMERICAN BALANCED FUND-A	B	0.00	8.67	0.00	8.67	85.0	7.37
03/01/2018 00067311893	SALINAS, MARICELA	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	9.43	0.00	9.43	85.0	8.02
03/01/2018 00067311893	SALINAS, MARICELA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	18.99	0.00	18.99	85.0	16.14
03/01/2018 00067311893	SALINAS, MARICELA	MFT THE NEW ECONOMY FUND-A	B	0.00	10.68	0.00	10.68	85.0	9.08
03/01/2018 00067311893	SALINAS, MARICELA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	13.33	0.00	13.33	85.0	11.33
03/01/2018 00067311894	SALINAS, MONICA	MFT AMERICAN BALANCED FUND-A	B	0.00	6.03	0.00	6.03	85.0	5.13
03/01/2018 00067311894	SALINAS, MONICA	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	4.95	0.00	4.95	85.0	4.21
03/01/2018 00067311894	SALINAS, MONICA	MFT FUNDAMENTAL INVESTORS-A	B	0.00	8.56	0.00	8.56	85.0	7.28
03/01/2018 00067311894	SALINAS, MONICA	MFT THE NEW ECONOMY FUND-A	B	0.00	6.85	0.00	6.85	85.0	5.82
03/01/2018 00067311894	SALINAS, MONICA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	8.88	0.00	8.88	85.0	7.55
03/01/2018 00067355718	JONES, WILLIAM	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	7.93	0.00	7.93	85.0	6.74
03/01/2018 00067355718	JONES, WILLIAM	MFT THE INCOME FUND OF AMERICA-F1	B	0.00	6.18	0.00	6.18	85.0	5.25
03/01/2018 00067355718	JONES, WILLIAM	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	6.89	0.00	6.89	85.0	5.86
03/01/2018 00067562789	PEREZ, GRACIELA	MFT AMERICAN BALANCED FUND-A	B	0.00	11.25	0.00	11.25	85.0	9.56
03/01/2018 00067562789	PEREZ, GRACIELA	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	13.08	0.00	13.08	85.0	11.12
03/01/2018 00067562789	PEREZ, GRACIELA	MFT THE BOND FUND OF AMERICA-A	B	0.00	5.00	0.00	5.00	85.0	4.25
03/01/2018 00067715647	MONTOYA/AUTH PER,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	8.29	0.00	8.29	85.0	7.05
03/01/2018 00067715649	MONTOYA, MELINDA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	13.30	0.00	13.30	85.0	11.31
03/01/2018 00067715649	MONTOYA, MELINDA	MFT THE INVESTMENT COMPANY OF	B	0.00	11.35	0.00	11.35	85.0	9.65
03/01/2018 00068410545	DURAND, LINDA	MFT INVESTMENT COMPANY OF AMERICA	B	0.00	8.98	0.00	8.98	85.0	7.63
03/01/2018 00068410545	DURAND, LINDA	MFT THE INVESTMENT COMPANY OF	B	0.00	1.50	0.00	1.50	85.0	1.28
03/01/2018 00068501235	GUTIERREZ, OTTO	MFT CAPITAL INCOME BUILDER-A	B	0.00	9.99	0.00	9.99	85.0	8.49
03/01/2018 00068501235	GUTIERREZ, OTTO	MFT FUNDAMENTAL INVESTORS-A	B	0.00	14.47	0.00	14.47	85.0	12.30
03/01/2018 00068501235	GUTIERREZ, OTTO	MFT THE BOND FUND OF AMERICA-A	B	0.00	12.18	0.00	12.18	85.0	10.35
03/01/2018 00068501235	GUTIERREZ, OTTO	MFT THE INCOME FUND OF AMERICA-A	B	0.00	11.44	0.00	11.44	85.0	9.72
03/01/2018 00068501237	GUTIERREZ, MARIA	MFT AMERICAN BALANCED FUND-A	B	0.00	21.23	0.00	21.23	85.0	18.05
03/01/2018 00068501237	GUTIERREZ, MARIA	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	13.05	0.00	13.05	85.0	11.09
03/01/2018 00068501237	GUTIERREZ, MARIA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	9.92	0.00	9.92	85.0	8.43
03/01/2018 00068501237	GUTIERREZ, MARIA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	6.16	0.00	6.16	85.0	5.24
03/01/2018 00068777733	BRANNIES,	MFT AMERICAN BALANCED FUND-A	B	0.00	56.43	0.00	56.43	85.0	47.97
03/01/2018 00068777733	BRANNIES,	MFT CAPITAL INCOME BUILDER-A	B	0.00	45.91	0.00	45.91	85.0	39.02
03/01/2018 00068777733	BRANNIES,	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	29.12	0.00	29.12	85.0	24.75
03/01/2018 00068777733	BRANNIES,	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	49.43	0.00	49.43	85.0	42.02
03/01/2018 00068777733	BRANNIES,	MFT THE INVESTMENT COMPANY OF	B	0.00	47.35	0.00	47.35	85.0	40.25
03/01/2018 00068786791	BENAVIDES,	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.24	0.00	0.24	85.0	0.20
03/01/2018 00068786791	BENAVIDES,	MFT THE INVESTMENT COMPANY OF	B	0.00	0.23	0.00	0.23	85.0	0.20
03/01/2018 00068826159	LAMBERTH, VIVIAN	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	6.93	0.00	6.93	85.0	5.89

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03/01/2018 00068826159	LAMBERTH, VIVIAN	MFT NEW PERSPECTIVE FUND-A	B	0.00	4.61	0.00	4.61	85.0	3.92
03/01/2018 00068826159	LAMBERTH, VIVIAN	MFT NEW WORLD FUND-A	B	0.00	3.78	0.00	3.78	85.0	3.21
03/01/2018 00073314665	SALINAS, MARY	MFT CAPITAL INCOME BUILDER-A	B	0.00	7.53	0.00	7.53	85.0	6.40
03/01/2018 00073314665	SALINAS, MARY	MFT THE INVESTMENT COMPANY OF	B	0.00	11.56	0.00	11.56	85.0	9.83
03/01/2018 00073352550	SALINAS, MARICELA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.15	0.00	4.15	85.0	3.53
03/01/2018 00073352550	SALINAS, MARICELA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	16.15	0.00	16.15	85.0	13.73
03/01/2018 00073352550	SALINAS, MARICELA	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.39	0.00	6.39	85.0	5.43
03/01/2018 00073352619	SALINAS, MONICA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	3.22	0.00	3.22	85.0	2.74
03/01/2018 00073352619	SALINAS, MONICA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	18.26	0.00	18.26	85.0	15.52
03/01/2018 00073572061	JONES, WILLIAM	MFT NEW PERSPECTIVE FUND-F1	B	0.00	16.34	0.00	16.34	85.0	13.89
03/01/2018 00073572061	JONES, WILLIAM	MFT THE INCOME FUND OF AMERICA-F1	B	0.00	17.07	0.00	17.07	85.0	14.51
03/01/2018 00073572061	JONES, WILLIAM	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	14.17	0.00	14.17	85.0	12.04
03/01/2018 00073897018	MARTIN, HANNAH	MFT AMERICAN BALANCED FUND-529A	B	0.00	15.54	0.00	15.54	85.0	13.21
03/01/2018 00073897018	MARTIN, HANNAH	MFT CAPITAL WORLD GROWTH AND	B	0.00	12.26	0.00	12.26	85.0	10.42
03/01/2018 00073897018	MARTIN, HANNAH	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	6.83	0.00	6.83	85.0	5.81
03/01/2018 00073897018	MARTIN, HANNAH	MFT THE INVESTMENT COMPANY OF	B	0.00	7.49	0.00	7.49	85.0	6.37
03/01/2018 00073897018	MARTIN, HANNAH	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	7.69	0.00	7.69	85.0	6.54
03/01/2018 00073903190	WOLFE, BRENNNA	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	3.51	0.00	3.51	85.0	2.98
03/01/2018 00073903397	WOLFE, BRENNNA	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	3.51	0.00	3.51	85.0	2.98
03/01/2018 00073903408	WOLFE, BRENNNA	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	3.51	0.00	3.51	85.0	2.98
03/01/2018 00073950669	BURRELL, MARIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.43	0.00	3.43	85.0	2.92
03/01/2018 00073950669	BURRELL, MARIA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.65	0.00	2.65	85.0	2.25
03/01/2018 00075030097	KONRAD, TYLER	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	35.10	0.00	35.10	85.0	29.84
03/01/2018 00075030149	KONRAD, TYLER	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	35.10	0.00	35.10	85.0	29.84
03/01/2018 00075478810	BELL, JACK	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	0.49	0.00	0.49	85.0	0.42
03/01/2018 00075552570	VILLA, KELLIE	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.25	0.00	3.25	85.0	2.76
03/01/2018 00081668774	SALINAS, RUFINO	MFT CAPITAL INCOME BUILDER-A	B	0.00	42.28	0.00	42.28	85.0	35.94
03/01/2018 00081668774	SALINAS, RUFINO	MFT INTERMEDIATE BOND FUND OF	B	0.00	6.12	0.00	6.12	85.0	5.20
03/01/2018 00081668774	SALINAS, RUFINO	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.64	0.00	6.64	85.0	5.64
03/01/2018 00081668774	SALINAS, RUFINO	MFT THE INVESTMENT COMPANY OF	B	0.00	8.91	0.00	8.91	85.0	7.57
03/01/2018 00081668774	SALINAS, RUFINO	MFT U.S. GOVERNMENT SECURITIES FUND	B	0.00	7.21	0.00	7.21	85.0	6.13
03/01/2018 00081668774	SALINAS, RUFINO	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	14.16	0.00	14.16	85.0	12.04
03/01/2018 00081764945		MFT THE INVESTMENT COMPANY OF	B	0.00	1.24	0.00	1.24	85.0	1.05
03/01/2018 00081987974	AMAYA, BARBARA	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	0.62	0.00	0.62	85.0	0.53
03/01/2018 00081987974	AMAYA, BARBARA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.68	0.00	0.68	85.0	0.58
03/01/2018 00082323763	HOWARD, GARY	MFT AMERICAN BALANCED FUND-A	B	0.00	37.16	0.00	37.16	85.0	31.59
03/01/2018 00082323763	HOWARD, GARY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	16.07	0.00	16.07	85.0	13.66
03/01/2018 00082589900	ENCINIA, ALBERT	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	0.18	0.00	0.18	85.0	0.15
03/01/2018 00083540133	WOLFE, BRENNNA	MFT FUNDAMENTAL INVESTORS-529A	B	0.00	2.66	0.00	2.66	85.0	2.26
03/01/2018 00083686001	STEELE, KELLY	MFT CAPITAL INCOME BUILDER-A	B	0.00	9.61	0.00	9.61	85.0	8.17
03/01/2018 00083686001	STEELE, KELLY	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	10.44	0.00	10.44	85.0	8.87
03/01/2018 00083686001	STEELE, KELLY	MFT FUNDAMENTAL INVESTORS-A	B	0.00	13.85	0.00	13.85	85.0	11.77
03/01/2018 00083686001	STEELE, KELLY	MFT NEW PERSPECTIVE FUND-A	B	0.00	12.55	0.00	12.55	85.0	10.67
03/01/2018 00083686001	STEELE, KELLY	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	14.39	0.00	14.39	85.0	12.23

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
03/01/2018 00084143620	ROBERTS, PATRICIA	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	84.40	0.00	84.40	85.0	71.74
03/01/2018 00084143620	ROBERTS, PATRICIA	MFT SMALLCAP WORLD FUND-A	B	0.00	49.42	0.00	49.42	85.0	42.01
03/01/2018 00084143620	ROBERTS, PATRICIA	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	171.41	0.00	171.41	85.0	145.70
03/01/2018 00084143620	ROBERTS, PATRICIA	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	109.33	0.00	109.33	85.0	92.93
03/01/2018 00084183589	VILLA, KELLIE	MFT FUNDAMENTAL INVESTORS-A	B	0.00	9.37	0.00	9.37	85.0	7.96
03/01/2018 00085713380	BENAVIDES, JOHN	MFT CAPITAL WORLD GROWTH AND	B	0.00	10.32	0.00	10.32	85.0	8.77
03/01/2018 00085713380	BENAVIDES, JOHN	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	11.85	0.00	11.85	85.0	10.07
03/01/2018 00085792225	CARDONA, REBECCA	MFT NEW WORLD FUND-C	B	0.00	30.73	0.00	30.73	85.0	26.12
03/01/2018 00086533271	VILLA, KELLIE	MFT AMERICAN BALANCED FUND-529A	B	0.00	1.35	0.00	1.35	85.0	1.15
03/01/2018 00086533271	VILLA, KELLIE	MFT AMERICAN HIGH-INCOME TRUST-529A	B	0.00	4.19	0.00	4.19	85.0	3.56
03/01/2018 00086533271	VILLA, KELLIE	MFT THE BOND FUND OF AMERICA-529A	B	0.00	1.71	0.00	1.71	85.0	1.45
03/01/2018 00086533271	VILLA, KELLIE	MFT THE INCOME FUND OF AMERICA-529A	B	0.00	8.00	0.00	8.00	85.0	6.80
03/01/2018 00086533271	VILLA, KELLIE	MFT THE NEW ECONOMY FUND-529A	B	0.00	13.76	0.00	13.76	85.0	11.70
03/01/2018 00087617663	COOPER, CHARLOTTE	MFT THE INVESTMENT COMPANY OF	B	0.00	19.97	0.00	19.97	85.0	16.97
03/01/2018 00088298840	PATRICIA ANN	MFT THE INCOME FUND OF AMERICA-A	B	0.00	55.58	0.00	55.58	85.0	47.24
03/01/2018 00089690062	COOPER, CHARLOTTE	MFT THE INVESTMENT COMPANY OF	B	0.00	67.23	0.00	67.23	85.0	57.15
03/01/2018 00089714210	ADAMS, HAROLD	MFT AMERICAN BALANCED FUND-A	B	0.00	1.91	0.00	1.91	85.0	1.62
03/01/2018 00089714210	ADAMS, HAROLD	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.44	0.00	2.44	85.0	2.07
03/01/2018 00089714210	ADAMS, HAROLD	MFT THE INVESTMENT COMPANY OF	B	0.00	2.24	0.00	2.24	85.0	1.90
03/01/2018 00089946843	STILES /BENE,	MFT AMERICAN BALANCED FUND-A	B	0.00	14.37	0.00	14.37	85.0	12.21
03/01/2018 00089946843	STILES /BENE,	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	18.87	0.00	18.87	85.0	16.04
03/01/2018 00089946848	STILES/BENE,	MFT AMERICAN BALANCED FUND-A	B	0.00	14.37	0.00	14.37	85.0	12.21
03/01/2018 00089946848	STILES/BENE,	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	18.87	0.00	18.87	85.0	16.04
03/01/2018 04000038708	KONRAD, TYLER	MFT AMERICAN BALANCED FUND-529A	B	0.00	10.77	0.00	10.77	85.0	9.15
03/01/2018 04000038708	KONRAD, TYLER	MFT THE GROWTH FUND OF AMERICA-529A	B	0.00	12.07	0.00	12.07	85.0	10.26
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,633.83	\$0.00	\$2,633.83		\$2,238.79
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
02/18/2018 (None)	SUBLETT, CLAUDEANE	VAT AMERITAS	B	0.00	12.22	0.00	12.22	85.0	10.39
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$12.22	\$0.00	\$12.22		\$10.39
*** DIRECT TRANSACTIONS TOTAL ***				\$489,448.21	\$2,865.58	\$0.00	\$2,865.58		\$2,435.78

BROKER COMMISSION TOTALS

Broker Transactions	\$2,435.78
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$2,435.78
Year-to-date Commissions	\$39,511.36