



Concorde Investment Services, LLC

01/27/2017
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COMMISSION STATEMENT

January 31, 2017

ALAN LICHTENSTEIN
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: L133
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: FIXED INDEX ANNUITIES</u>								
01/15/2017	LICHTENSTEIN, DAVID	LINCOLN FIA	0.00	8,000.00	0.00	8,000.00	75.0	6,000.00
* FIXED INDEX ANNUITIES SUBTOTAL *			\$0.00	\$8,000.00	\$0.00	\$8,000.00		\$6,000.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/19/2017	MASTRANGELO, GREGORY	GPB AUTOMOTIVE PORTFOLIO LP	50,000.00	0.00	0.00	0.00	75.0	0.00
01/26/2017	LICHTENSTEIN, ALAN	MAINSTAY CUSHING MLP PREMIER FUND C	10,000.00	0.00	0.00	0.00	75.0	0.00
01/26/2017	MASTRANGELO, GREGORY	MAINSTAY CUSHING MLP PREMIER FUND C	20,000.00	950.00	22.00	928.00	75.0	696.00
01/26/2017	SANTOS, TEODOCIA	MAINSTAY CUSHING MLP PREMIER FUND C	25,000.00	1,187.50	22.00	1,165.50	75.0	874.13
01/26/2017	SANTOS, TEODOCIA	MAINSTAY CUSHING MLP PREMIER FUND C	10,000.00	475.00	22.00	453.00	75.0	339.75
01/26/2017	KONCIUS, STEWART	MAINSTAY CUSHING MLP PREMIER FUND C	3,000.00	0.00	0.00	0.00	75.0	0.00
01/26/2017	SMARZ, MARY	MAINSTAY CUSHING MLP PREMIER FUND C	5,000.00	0.00	0.00	0.00	75.0	0.00
01/26/2017	GRAVES, GLORIA	MAINSTAY CUSHING MLP PREMIER FUND C	15,000.00	712.50	22.00	690.50	75.0	517.88
01/26/2017	HECKER, THOMAS	MAINSTAY CUSHING MLP PREMIER FUND C	20,000.00	950.00	22.00	928.00	75.0	696.00
01/26/2017	SHEPHERD FOUNDATION	MAINSTAY CUSHING MLP PREMIER FUND C	20,000.00	0.00	0.00	0.00	75.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$178,000.00	\$4,275.00	\$110.00	\$4,165.00		\$3,123.76
*** BROKER TRANSACTIONS TOTAL ***			\$178,000.00	\$12,275.00	\$110.00	\$12,165.00		\$9,123.76
<u>ADJUSTMENTS</u>								
01/31/2017		*PERSHING: MARK UPS	0.00					150.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$150.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$9,123.76
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$150.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$9,273.76
Year-to-date Gross	\$6,025.00
Year-to-date Earnings	\$10,436.26