



Concorde Investment Services, LLC

04/12/2017
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COMMISSION STATEMENT

April 15, 2017

HALINA WIKAR
1030 Higgins Road
Suite 205
Park Ridge, IL 60068

BROKER #: W126
BRANCH: HALINA WIKAR

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/01/2017	WIKAR, HALINA	MFS LIFETIME 2050 FUND C	0.00	44.34	0.00	44.34	55.0	24.39
04/01/2017	RACZKA, PHILLIP	MFS AGGRESS GROWTH ALLOCATION 529A	0.00	4.50	0.00	4.50	55.0	2.48
04/01/2017	WIKAR, MAGDALENA	MFS AGGRESSIVE GROWTH ALLOCATION A	0.00	2.51	0.00	2.51	55.0	1.38
04/01/2017	TARCZYNSKI, LESTER	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	25.16	0.00	25.16	55.0	13.84
04/01/2017	KWAK, ANNA	MFS AGGRESSIVE GROWTH ALLOCATION C	0.00	19.17	0.00	19.17	55.0	10.54
04/01/2017	WAL, SEBASTIAN	MFS BLENDED RESEARCH CORE C	0.00	8.38	0.00	8.38	55.0	4.61
04/01/2017	WIKAR, HALINA	MFS BLENDED RESEARCH CORE C	0.00	11.18	0.00	11.18	55.0	6.15
04/01/2017	THOMAS, SYLVIA	MFS BLENDED RESEARCH CORE C	0.00	3.08	0.00	3.08	55.0	1.69
04/01/2017	WIKAR, HALINA	MFS BLENDED RESEARCH CORE C	0.00	7.18	0.00	7.18	55.0	3.95
04/01/2017	KRELLER, KRYSZYNA	MFS BLENDED RESEARCH CORE C	0.00	1.29	0.00	1.29	55.0	0.71
04/01/2017	BECKER, KARINA	MFS BLENDED RESEARCH CORE C	0.00	6.46	0.00	6.46	55.0	3.55
04/01/2017	MADRZYK, PIOTR	MFS BLENDED RESEARCH CORE C	0.00	3.49	0.00	3.49	55.0	1.92
04/01/2017	PIETRZYK, JAN	MFS BLENDED RESEARCH CORE C	0.00	6.73	0.00	6.73	55.0	3.70
04/01/2017	PIETRZYK, DOROTA	MFS BLENDED RESEARCH CORE C	0.00	6.73	0.00	6.73	55.0	3.70
04/01/2017	KWAK, ANNA	MFS BLENDED RESEARCH CORE C	0.00	1.63	0.00	1.63	55.0	0.90
04/03/2017	KRELLER, KRYSZYNA	MFS BLENDED RESEARCH CORE C	40.00	0.40	0.00	0.40	55.0	0.22
04/03/2017	KWAK, ANNA	MFS BLENDED RESEARCH CORE C	50.00	0.50	0.00	0.50	55.0	0.28
04/01/2017	KURILO SEP PLAN, EVA	MFS BLENDED RESEARCH CORE FD B	0.00	4.58	0.00	4.58	55.0	2.52
04/01/2017	GRESZTA, LIDIA	MFS BOND FUND B	0.00	4.15	0.00	4.15	55.0	2.28
04/01/2017	KURILO SEP PLAN, EVA	MFS BOND FUND B	0.00	6.77	0.00	6.77	55.0	3.72
04/01/2017	ZGAJEWSKI, MARIUSZ	MFS BOND FUND B	0.00	1.63	0.00	1.63	55.0	0.90
04/01/2017	CHREPTOWICZ, MALGORZATA	MFS CONSERVATIVE ALLOCATION A	0.00	0.35	0.00	0.35	55.0	0.19
04/01/2017	CHREPTOWICZ, MALGORZATA	MFS CONSERVATIVE ALLOCATION A	0.00	0.71	0.00	0.71	55.0	0.39
04/01/2017	MROCKOWSKI, AGNES	MFS CONSERVATIVE ALLOCATION A	0.00	20.79	0.00	20.79	55.0	11.43
04/01/2017	CHREPTOWICZ, MALGORZATA	MFS CONSERVATIVE ALLOCATION B	0.00	1.38	0.00	1.38	55.0	0.76
04/01/2017	MROCKOWSKI, AGNES	MFS CONSERVATIVE ALLOCATION B	0.00	2.01	0.00	2.01	55.0	1.11

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/01/2017	DANEK MD SEP PLAN, BEATA	MFS CONSERVATIVE ALLOCATION B	0.00	0.93	0.00	0.93	55.0	0.51
04/01/2017	JUSCINSKA, AGNIESZKA	MFS CONSERVATIVE ALLOCATION B	0.00	5.35	0.00	5.35	55.0	2.94
04/01/2017	JARZAREK, MAGDALENA	MFS CONSERVATIVE ALLOCATION B	0.00	2.55	0.00	2.55	55.0	1.40
04/01/2017	KURILO SEP PLAN, EVA	MFS CONSERVATIVE ALLOCATION B	0.00	1.10	0.00	1.10	55.0	0.61
04/01/2017	KONIECZNY, EWA	MFS CONSERVATIVE ALLOCATION FUND C	0.00	7.02	0.00	7.02	55.0	3.86
04/01/2017	MROCZKOWSKI, AGNES	MFS CONSERVATIVE ALLOCATION FUND C	0.00	6.96	0.00	6.96	55.0	3.83
04/01/2017	CCJ CONSULTING CO SEP PLA	MFS CORE EQUITY A	0.00	1.26	0.00	1.26	55.0	0.69
04/01/2017	BOSOWSKI, JANUSZ	MFS CORE EQUITY A	0.00	2.08	0.00	2.08	55.0	1.14
04/01/2017	BOSOWSKI, EWA	MFS CORE EQUITY C	0.00	15.20	0.00	15.20	55.0	8.36
04/01/2017	BOSOWSKI, JANUSZ	MFS CORE EQUITY C	0.00	11.68	0.00	11.68	55.0	6.42
04/01/2017	ZGAJEWSKI, MARIUSZ	MFS DISCOVERY B	0.00	2.29	0.00	2.29	55.0	1.26
03/27/2017	DZIEWIECKA, TERESA	MFS DIVERSIFIED INCOME FUND C	20.00	0.20	0.00	0.20	55.0	0.11
04/01/2017	THOMAS, SYLVIA	MFS DIVERSIFIED INCOME FUND C	0.00	15.05	0.00	15.05	55.0	8.28
04/01/2017	WAL, SEBASTIAN	MFS DIVERSIFIED INCOME FUND C	0.00	10.52	0.00	10.52	55.0	5.79
04/01/2017	WIKAR, HALINA	MFS DIVERSIFIED INCOME FUND C	0.00	30.18	0.00	30.18	55.0	16.60
04/01/2017	KOZIOL SIMPLE PLAN,	MFS DIVERSIFIED INCOME FUND C	0.00	8.26	0.00	8.26	55.0	4.54
04/01/2017	KWAK, ANNA	MFS DIVERSIFIED INCOME FUND C	0.00	1.58	0.00	1.58	55.0	0.87
04/01/2017	KOZIOL SIMPLE PLAN,	MFS DIVERSIFIED INCOME FUND C	0.00	51.56	0.00	51.56	55.0	28.36
04/01/2017	DZIEWIECKA, TERESA	MFS DIVERSIFIED INCOME FUND C	0.00	1.94	0.00	1.94	55.0	1.07
04/01/2017	BECKER, KARINA	MFS DIVERSIFIED INCOME FUND C	0.00	6.28	0.00	6.28	55.0	3.45
04/01/2017	CHOWANIEC, WOJCIECH	MFS DIVERSIFIED INCOME FUND C	0.00	13.45	0.00	13.45	55.0	7.40
04/01/2017	MADRZYK, PIOTR	MFS DIVERSIFIED INCOME FUND C	0.00	3.36	0.00	3.36	55.0	1.85
04/01/2017	KWAK, ANNA	MFS DIVERSIFIED INCOME FUND C	0.00	1.58	0.00	1.58	55.0	0.87
04/03/2017	KWAK, ANNA	MFS DIVERSIFIED INCOME FUND C	50.00	0.50	0.00	0.50	55.0	0.28
04/03/2017	KWAK, ANNA	MFS DIVERSIFIED INCOME FUND C	50.00	0.50	0.00	0.50	55.0	0.28
04/01/2017	MALYSZ TRUCKING INC SEP P	MFS EMERGINF MARKETS EQUITY FUND C	0.00	17.10	0.00	17.10	55.0	9.41
04/01/2017	WAL, SEBASTIAN	MFS EMERGINF MARKETS EQUITY FUND C	0.00	2.95	0.00	2.95	55.0	1.62
04/01/2017	MALYSZ, JERZY	MFS EMERGINF MARKETS EQUITY FUND C	0.00	12.91	0.00	12.91	55.0	7.10
04/01/2017	THOMAS, SYLVIA	MFS EMERGINF MARKETS EQUITY FUND C	0.00	11.62	0.00	11.62	55.0	6.39
04/01/2017	MALYSZ, JERZY	MFS EMERGINF MARKETS EQUITY FUND C	0.00	7.20	0.00	7.20	55.0	3.96
04/01/2017	ZAREBA, GRZEGORZ	MFS EMERGING MARKETS DEBT FUND B	0.00	2.95	0.00	2.95	55.0	1.62
04/01/2017	VITALIDEX CORP SEP PLAN	MFS EMERGING MARKETS DEBT FUND B	0.00	4.74	0.00	4.74	55.0	2.61
04/01/2017	KURILO SEP PLAN, EVA	MFS EMERGING MARKETS DEBT FUND B	0.00	2.23	0.00	2.23	55.0	1.23

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
04/03/2017	ZAREBA, GRZEGORZ	MFS EMERGING MARKETS DEBT FUND B	50.00	2.00	0.00	2.00	55.0	1.10
04/01/2017	MALYSZ (A MINOR), ANNA	MFS EMERGING MARKETS EQUITY A	0.00	1.53	0.00	1.53	55.0	0.84
04/01/2017	ARCIUCH, ARTUR	MFS EMERGING MARKETS EQUITY A	0.00	2.51	0.00	2.51	55.0	1.38
03/22/2017	DZIEWIECKA, TERESA	MFS EMERGING MKTS DEBT FUND C	30.00	0.30	0.00	0.30	55.0	0.17
04/01/2017	WAL, SEBASTIAN	MFS EMERGING MKTS DEBT FUND C	0.00	11.44	0.00	11.44	55.0	6.29
04/01/2017	WIKAR, HALINA	MFS EMERGING MKTS DEBT FUND C	0.00	3.44	0.00	3.44	55.0	1.89
04/01/2017	SYNOWIEC, LESZEK	MFS EMERGING MKTS DEBT FUND C	0.00	8.11	0.00	8.11	55.0	4.46
04/01/2017	SYNOWIEC, JADWIGA	MFS EMERGING MKTS DEBT FUND C	0.00	12.20	0.00	12.20	55.0	6.71
04/01/2017	MARSZALEK, ZOFIA	MFS EMERGING MKTS DEBT FUND C	0.00	9.67	0.00	9.67	55.0	5.32
04/01/2017	KOPERDOWSKI, BEATA	MFS EMERGING MKTS DEBT FUND C	0.00	8.32	0.00	8.32	55.0	4.58
04/01/2017	KOPERDOWSKI, PIOTR	MFS EMERGING MKTS DEBT FUND C	0.00	8.32	0.00	8.32	55.0	4.58
04/01/2017	KOZIOL SIMPLE PLAN,	MFS EMERGING MKTS DEBT FUND C	0.00	31.13	0.00	31.13	55.0	17.12
04/01/2017	KWAK, ANNA	MFS EMERGING MKTS DEBT FUND C	0.00	20.02	0.00	20.02	55.0	11.01
04/01/2017	GRESZTA, LIDIA	MFS EMERGING MKTS DEBT FUND C	0.00	57.84	0.00	57.84	55.0	31.81
04/01/2017	TYLMAN, PETER	MFS EMERGING MKTS DEBT FUND C	0.00	10.82	0.00	10.82	55.0	5.95
04/01/2017	TYLMAN, JOANNA	MFS EMERGING MKTS DEBT FUND C	0.00	10.83	0.00	10.83	55.0	5.96
04/01/2017	BECKER, KARINA	MFS EMERGING MKTS DEBT FUND C	0.00	9.07	0.00	9.07	55.0	4.99
04/01/2017	PIETRZYK, JAN	MFS EMERGING MKTS DEBT FUND C	0.00	7.50	0.00	7.50	55.0	4.13
04/01/2017	PIETRZYK, DOROTA	MFS EMERGING MKTS DEBT FUND C	0.00	9.56	0.00	9.56	55.0	5.26
04/01/2017	KWAK, ANNA	MFS EMERGING MKTS DEBT FUND C	0.00	21.83	0.00	21.83	55.0	12.01
04/01/2017	MALYSZ, JERZY	MFS EMERGING MKTS DEBT FUND C	0.00	17.58	0.00	17.58	55.0	9.67
04/01/2017	KOPYTKO, HALINA	MFS EMERGING MKTS DEBT FUND C	0.00	1.33	0.00	1.33	55.0	0.73
04/01/2017	ROMANEK, ELIZABETH	MFS EMERGING MKTS DEBT FUND C	0.00	4.93	0.00	4.93	55.0	2.71
04/01/2017	GRZEDA, ZBIGNIEW	MFS EMERGING MKTS DEBT FUND C	0.00	28.02	0.00	28.02	55.0	15.41
04/01/2017	KRELLER, KRISTYNA	MFS EMERGING MKTS DEBT FUND C	0.00	24.89	0.00	24.89	55.0	13.69
04/01/2017	MALYSZ (A MINOR), ANNA	MFS EMERGING MKTS DEBT FUND C	0.00	16.98	0.00	16.98	55.0	9.34
04/01/2017	ZIEMBINSKI, JOANNA	MFS EMERGING MKTS DEBT FUND C	0.00	7.84	0.00	7.84	55.0	4.31
04/01/2017	MALYSZ (A MINOR), MICHALINA	MFS EMERGING MKTS DEBT FUND C	0.00	3.78	0.00	3.78	55.0	2.08
04/01/2017	MALYSZ, JERZY	MFS EMERGING MKTS DEBT FUND C	0.00	14.62	0.00	14.62	55.0	8.04
04/01/2017	DZIEWIECKA, TERESA	MFS EMERGING MKTS DEBT FUND C	0.00	5.55	0.00	5.55	55.0	3.05
04/03/2017	KRELLER, KRISTYNA	MFS EMERGING MKTS DEBT FUND C	40.00	0.40	0.00	0.40	55.0	0.22
04/03/2017	DZIEWIECKA, TERESA	MFS EMERGING MKTS DEBT FUND C	30.00	0.30	0.00	0.30	55.0	0.17
04/03/2017	KWAK, ANNA	MFS EMERGING MKTS DEBT FUND C	50.00	0.50	0.00	0.50	55.0	0.28

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
04/01/2017	KURILO SEP PLAN, EVA	MFS GLOBAL ALTERNATIVE STRATEGY FD	0.00	0.88	0.00	0.88	55.0	0.48
04/01/2017	WIKAR, HALINA	MFS GLOBAL EQUITY FUND C	0.00	153.16	0.00	153.16	55.0	84.24
04/01/2017	MALYSZ, JERZY	MFS GLOBAL NEW DISCOVERY FUND C	0.00	18.41	0.00	18.41	55.0	10.13
04/01/2017	MALYSZ (A MINOR), MICHALINA	MFS GLOBAL NEW DISCOVERY FUND C	0.00	1.16	0.00	1.16	55.0	0.64
04/01/2017	MALYSZ (A MINOR), ANNA	MFS GLOBAL NEW DISCOVERY FUND C	0.00	15.31	0.00	15.31	55.0	8.42
03/27/2017	DZIEWIECKA, TERESA	MFS GLOBAL REAL ESTATE FUND -C	20.00	0.20	0.00	0.20	55.0	0.11
04/01/2017	DZIEWIECKA, TERESA	MFS GLOBAL REAL ESTATE FUND -C	0.00	1.77	0.00	1.77	55.0	0.97
04/03/2017	DZIEWIECKA, TERESA	MFS GLOBAL REAL ESTATE FUND -C	20.00	0.20	0.00	0.20	55.0	0.11
04/01/2017	WAL, SEBASTIAN	MFS GOVERNMENT SECURITIES C	0.00	1.60	0.00	1.60	55.0	0.88
04/01/2017	CCJ CONSULTING CO SEP PLA	MFS GROWTH A	0.00	2.36	0.00	2.36	55.0	1.30
04/01/2017	CHOWANIEC, WOJCIECH	MFS GROWTH ALLOCATION 529C	0.00	30.62	0.00	30.62	55.0	16.84
04/01/2017	GRESZTA, LIDIA	MFS GROWTH ALLOCATION A	0.00	6.87	0.00	6.87	55.0	3.78
04/01/2017	GRESZTA, LIDIA	MFS GROWTH ALLOCATION B	0.00	9.22	0.00	9.22	55.0	5.07
04/01/2017	KURILO SEP PLAN, EVA	MFS GROWTH ALLOCATION B	0.00	0.50	0.00	0.50	55.0	0.28
04/01/2017	MURZANSKI, ANDRZEJ	MFS GROWTH ALLOCATION C	0.00	16.33	0.00	16.33	55.0	8.98
04/01/2017	DZIEWIECKA, TERESA	MFS GROWTH ALLOCATION C	0.00	106.28	0.00	106.28	55.0	58.45
04/01/2017	WIKAR, HALINA	MFS GROWTH ALLOCATION C	0.00	21.90	0.00	21.90	55.0	12.05
04/01/2017	BOSOWSKI, JANUSZ	MFS GROWTH ALLOCATION C	0.00	14.16	0.00	14.16	55.0	7.79
04/01/2017	BOSOWSKI, EWA	MFS GROWTH ALLOCATION C	0.00	14.15	0.00	14.15	55.0	7.78
04/01/2017	KOPERDOWSKI, PIOTR	MFS GROWTH ALLOCATION C	0.00	14.58	0.00	14.58	55.0	8.02
04/01/2017	KOPERDOWSKI, BEATA	MFS GROWTH ALLOCATION C	0.00	14.58	0.00	14.58	55.0	8.02
04/01/2017	KOZIOL SIMPLE PLAN,	MFS GROWTH ALLOCATION C	0.00	87.79	0.00	87.79	55.0	48.28
04/01/2017	KOZIOL SIMPLE PLAN,	MFS GROWTH ALLOCATION C	0.00	37.33	0.00	37.33	55.0	20.53
04/01/2017	SWIDER, JULIA	MFS GROWTH ALLOCATION C	0.00	44.72	0.00	44.72	55.0	24.60
04/01/2017	WAL, SEBASTIAN	MFS GROWTH ALLOCATION C	0.00	5.33	0.00	5.33	55.0	2.93
04/01/2017	ZIEMBINSKI, JACEK	MFS GROWTH ALLOCATION C	0.00	4.19	0.00	4.19	55.0	2.30
04/01/2017	BYSTROWIZ SEP PLAN, MAREK	MFS GROWTH ALLOCATION C	0.00	7.93	0.00	7.93	55.0	4.36
04/01/2017	BUGAJ, ANNA	MFS GROWTH ALLOCATION C	0.00	6.40	0.00	6.40	55.0	3.52
04/01/2017	CHOWANIEC, WOJCIECH	MFS GROWTH ALLOCATION C	0.00	4.77	0.00	4.77	55.0	2.62
04/01/2017	MADRZYK, PIOTR	MFS GROWTH ALLOCATION C	0.00	17.92	0.00	17.92	55.0	9.86
04/01/2017	MURZANSKI, EWA	MFS GROWTH ALLOCATION C	0.00	16.33	0.00	16.33	55.0	8.98
04/01/2017	ZAREBA, NATALIA	MFS GROWTH ALLOCATION FUND -529B	0.00	2.01	0.00	2.01	55.0	1.11
04/01/2017	DUSZCZYK, ALEC	MFS GROWTH ALLOCATION FUND -529B	0.00	13.38	0.00	13.38	55.0	7.36

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
04/03/2017	ZAREBA, NATALIA	MFS GROWTH ALLOCATION FUND -529B	50.00	2.00	0.00	2.00	55.0	1.10
04/01/2017	WIKAR, HALINA	MFS GROWTH C	0.00	0.12	0.00	0.12	55.0	0.07
04/01/2017	ZIEMBINSKI, JACEK	MFS GROWTH C	0.00	1.26	0.00	1.26	55.0	0.69
04/01/2017	CHADA, WIESLAW	MFS GROWTH C	0.00	4.24	0.00	4.24	55.0	2.33
04/01/2017	TARCZYNSKI, LESTER	MFS GROWTH C	0.00	0.27	0.00	0.27	55.0	0.15
04/01/2017	MADRZYK, PIOTR	MFS GROWTH C	0.00	0.10	0.00	0.10	55.0	0.06
04/01/2017	KURILO SEP PLAN, EVA	MFS HIGH INCOME FUND B	0.00	1.62	0.00	1.62	55.0	0.89
04/01/2017	VITALIDEX CORP SEP PLAN	MFS HIGH YIELD OPP FUND-B	0.00	2.98	0.00	2.98	55.0	1.64
04/01/2017	GRESZTA, LIDIA	MFS INTERNATIOANL DEVERSIFICATION F	0.00	8.15	0.00	8.15	55.0	4.48
04/01/2017	GRESZTA, LIDIA	MFS INTERNATIONAL DIVERSIFICATION A	0.00	5.21	0.00	5.21	55.0	2.87
04/01/2017	MROCKOWSKI, AGNES	MFS INTERNATIONAL DIVERSIFICATION A	0.00	22.63	0.00	22.63	55.0	12.45
04/01/2017	WIKAR, HALINA	MFS INTERNATIONAL DIVERSIFICATION C	0.00	19.15	0.00	19.15	55.0	10.53
04/01/2017	MURZANSKI, ANDRZEJ	MFS INTERNATIONAL DIVERSIFICATION C	0.00	13.24	0.00	13.24	55.0	7.28
04/01/2017	BUGAJ, ANNA	MFS INTERNATIONAL DIVERSIFICATION C	0.00	4.10	0.00	4.10	55.0	2.26
04/01/2017	GRZEDA, ZBIGNIEW	MFS INTERNATIONAL DIVERSIFICATION C	0.00	1.26	0.00	1.26	55.0	0.69
04/01/2017	ZIEMBINSKI, JACEK	MFS INTERNATIONAL DIVERSIFICATION C	0.00	6.23	0.00	6.23	55.0	3.43
04/01/2017	MADRZYK, PIOTR	MFS INTERNATIONAL DIVERSIFICATION C	0.00	17.02	0.00	17.02	55.0	9.36
04/01/2017	MURZANSKI, EWA	MFS INTERNATIONAL DIVERSIFICATION C	0.00	13.24	0.00	13.24	55.0	7.28
04/01/2017	PIETRZYK, JAN	MFS INTERNATIONAL DIVERSIFICATION C	0.00	9.98	0.00	9.98	55.0	5.49
04/01/2017	PIETRZYK, DOROTA	MFS INTERNATIONAL DIVERSIFICATION C	0.00	9.98	0.00	9.98	55.0	5.49
04/01/2017	THOMAS, SYLVIA	MFS INTERNATIONAL DIVERSIFICATION C	0.00	5.17	0.00	5.17	55.0	2.84
04/01/2017	WAL, SEBASTIAN	MFS INTERNATIONAL NEW DISCOVERY C	0.00	3.28	0.00	3.28	55.0	1.80
04/01/2017	THOMAS, SYLVIA	MFS INTERNATIONAL NEW DISCOVERY C	0.00	3.07	0.00	3.07	55.0	1.69
04/01/2017	ZIEMBINSKI, JOANNA	MFS INTERNATIONAL VALUE FUND C	0.00	9.84	0.00	9.84	55.0	5.41
04/01/2017	VITALIDEX CORP SEP PLAN	MFS LIFETIME 2050 FUND B	0.00	5.67	0.00	5.67	55.0	3.12
04/01/2017	REHABILITATION SVS PC SP,	MFS LIFETIME 2050 FUND B	0.00	0.01	0.00	0.01	55.0	0.01
04/01/2017	ZGAJEWSKI, MARIUSZ	MFS LIFETIME 2050 FUND B	0.00	2.71	0.00	2.71	55.0	1.49
04/01/2017	WIKAR, HALINA	MFS LIFETIME RETIREMENT INCOME FUND	0.00	36.92	0.00	36.92	55.0	20.31
04/01/2017	SWIDER, JULIA	MFS LIMITED MATURITY 529A	0.00	0.66	0.00	0.66	55.0	0.36
04/01/2017	SWIDER, JULIA	MFS LIMITED MATURITY FUND 529B	0.00	1.24	0.00	1.24	55.0	0.68
03/28/2017	DZIEWIECKA, TERESA	MFS LOW VOLATIILITY GLOBAL EQUITY F	20.00	0.20	0.00	0.20	55.0	0.11
04/01/2017	DZIEWIECKA, TERESA	MFS LOW VOLATIILITY GLOBAL EQUITY F	0.00	0.90	0.00	0.90	55.0	0.50
04/01/2017	WIKAR, HALINA	MFS LOW VOLATILITY EQUITY FUND C	0.00	0.03	0.00	0.03	55.0	0.02

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
04/01/2017	GABEROV, VASIL	MFS LOW VOLATILITY EQUITY FUND C	0.00	12.30	0.00	12.30	55.0	6.77
04/01/2017	GABEROVA, STELLA	MFS LOW VOLATILITY EQUITY FUND C	0.00	12.30	0.00	12.30	55.0	6.77
04/01/2017	CCJ CONSULTING CO SEP PLA	MFS MASS INVESTORS GROWTH STOCK A	0.00	1.35	0.00	1.35	55.0	0.74
04/01/2017	BOSOWSKI, JANUSZ	MFS MASS INVESTORS GROWTH STOCK C	0.00	13.56	0.00	13.56	55.0	7.46
04/01/2017	BOSOWSKI, EWA	MFS MASS INVESTORS GROWTH STOCK C	0.00	13.56	0.00	13.56	55.0	7.46
04/01/2017	MARSZALEK, ZOFIA	MFS MASS INVESTORS GROWTH STOCK C	0.00	4.68	0.00	4.68	55.0	2.57
04/01/2017	SYNOWIEC, JADWIGA	MFS MASS INVESTORS GROWTH STOCK C	0.00	6.67	0.00	6.67	55.0	3.67
04/01/2017	KOZIOL SIMPLE PLAN,	MFS MASS INVESTORS GROWTH STOCK C	0.00	76.99	0.00	76.99	55.0	42.34
04/01/2017	SWIDER, JULIA	MFS MASS INVESTORS GROWTH STOCK C	0.00	11.87	0.00	11.87	55.0	6.53
04/01/2017	CCJ CONSULTING CO SEP PLA	MFS MASS INVESTORS TRUST A	0.00	1.42	0.00	1.42	55.0	0.78
04/01/2017	BOSOWSKI, JANUSZ	MFS MASSACHUSETTS INVESTORS TRUST C	0.00	12.15	0.00	12.15	55.0	6.68
04/01/2017	BOSOWSKI, EWA	MFS MASSACHUSETTS INVESTORS TRUST C	0.00	12.15	0.00	12.15	55.0	6.68
04/01/2017	BYSTROWIZ SEP PLAN, MAREK	MFS MASSACHUSETTS INVESTORS TRUST C	0.00	7.32	0.00	7.32	55.0	4.03
04/01/2017	CHREPTOWICZ, MALGORZATA	MFS MODERATE ALLOCATION A	0.00	0.41	0.00	0.41	55.0	0.23
04/01/2017	CHREPTOWICZ, MALGORZATA	MFS MODERATE ALLOCATION A	0.00	0.78	0.00	0.78	55.0	0.43
04/01/2017	GRESZTA, LIDIA	MFS MODERATE ALLOCATION A	0.00	6.78	0.00	6.78	55.0	3.73
04/01/2017	CHREPTOWICZ, MALGORZATA	MFS MODERATE ALLOCATION B	0.00	1.53	0.00	1.53	55.0	0.84
04/01/2017	GRESZTA, LIDIA	MFS MODERATE ALLOCATION B	0.00	8.85	0.00	8.85	55.0	4.87
04/01/2017	DANEK MD SEP PLAN, BEATA	MFS MODERATE ALLOCATION B	0.00	1.03	0.00	1.03	55.0	0.57
04/01/2017	JUSCINSKA, AGNIESZKA	MFS MODERATE ALLOCATION B	0.00	5.78	0.00	5.78	55.0	3.18
04/01/2017	JARZAREK, MAGDALENA	MFS MODERATE ALLOCATION B	0.00	2.79	0.00	2.79	55.0	1.53
04/01/2017	REHABILITATION SVS PC SP,	MFS MODERATE ALLOCATION B	0.00	0.01	0.00	0.01	55.0	0.01
03/27/2017	GRZYBEK, MARK	MFS MODERATE ALLOCATION C	2,000.00	20.00	0.00	20.00	55.0	11.00
04/01/2017	DZIEWIECKA, TERESA	MFS MODERATE ALLOCATION C	0.00	101.12	0.00	101.12	55.0	55.62
04/01/2017	BOSOWSKI, JANUSZ	MFS MODERATE ALLOCATION C	0.00	12.87	0.00	12.87	55.0	7.08
04/01/2017	BOSOWSKI, EWA	MFS MODERATE ALLOCATION C	0.00	12.86	0.00	12.86	55.0	7.07
04/01/2017	KOPERDOWSKI, PIOTR	MFS MODERATE ALLOCATION C	0.00	13.14	0.00	13.14	55.0	7.23
04/01/2017	KOPERDOWSKI, BEATA	MFS MODERATE ALLOCATION C	0.00	13.14	0.00	13.14	55.0	7.23
04/01/2017	SYNOWIEC, LESZEK	MFS MODERATE ALLOCATION C	0.00	9.56	0.00	9.56	55.0	5.26
04/01/2017	KOZIOL SIMPLE PLAN,	MFS MODERATE ALLOCATION C	0.00	59.01	0.00	59.01	55.0	32.46
04/01/2017	KOZIOL SIMPLE PLAN,	MFS MODERATE ALLOCATION C	0.00	13.07	0.00	13.07	55.0	7.19
04/01/2017	SWIDER, JULIA	MFS MODERATE ALLOCATION C	0.00	27.14	0.00	27.14	55.0	14.93
04/01/2017	KRELLER, KRISTYNA	MFS MODERATE ALLOCATION C	0.00	37.68	0.00	37.68	55.0	20.72

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/01/2017	BYSTROWIZ SEP PLAN, MAREK	MFS MODERATE ALLOCATION C	0.00	7.64	0.00	7.64	55.0	4.20
04/01/2017	GRZYBEK, MARK	MFS MODERATE ALLOCATION C	0.00	16.85	0.00	16.85	55.0	9.27
04/03/2017	KRELLER, KRISTYNA	MFS MODERATE ALLOCATION C	40.00	0.40	0.00	0.40	55.0	0.22
04/01/2017	BOSOWSKI, JANUSZ	MFS NEW DISCOVERY A	0.00	3.39	0.00	3.39	55.0	1.86
04/01/2017	BOSOWSKI, EWA	MFS NEW DISCOVERY C	0.00	18.75	0.00	18.75	55.0	10.31
04/01/2017	MALYSZ (A MINOR), MICHALINA	MFS NEW DISCOVERY C	0.00	4.81	0.00	4.81	55.0	2.65
04/01/2017	BECKER, KARINA	MFS NEW DISCOVERY C	0.00	14.25	0.00	14.25	55.0	7.84
04/01/2017	MALYSZ, JERZY	MFS NEW DISCOVERY C	0.00	18.91	0.00	18.91	55.0	10.40
04/01/2017	MALYSZ (A MINOR), ANNA	MFS NEW DISCOVERY C	0.00	3.85	0.00	3.85	55.0	2.12
04/01/2017	BOSOWSKI, JANUSZ	MFS NEW DISCOVERY C	0.00	8.34	0.00	8.34	55.0	4.59
04/01/2017	WIKAR, HALINA	MFS NEW DISCOVERY VALUE FUND C	0.00	21.52	0.00	21.52	55.0	11.84
04/01/2017	GABEROV, VASIL	MFS NEW DISCOVERY VALUE FUND C	0.00	17.78	0.00	17.78	55.0	9.78
04/01/2017	GABEROVA, STELLA	MFS NEW DISCOVERY VALUE FUND C	0.00	17.77	0.00	17.77	55.0	9.77
04/01/2017	CCJ CONSULTING CO SEP PLA	MFS RESEARCH A	0.00	1.50	0.00	1.50	55.0	0.83
04/01/2017	GRESZTA, LIDIA	MFS RESEARCH BOND FUND B	0.00	11.64	0.00	11.64	55.0	6.40
04/01/2017	REHABILITATION SVS PC SP,	MFS RESEARCH BOND FUND B	0.00	0.01	0.00	0.01	55.0	0.01
04/01/2017	WAL, SEBASTIAN	MFS RESEARCH BOND FUND C	0.00	12.71	0.00	12.71	55.0	6.99
04/01/2017	WIKAR, HALINA	MFS RESEARCH BOND FUND C	0.00	5.10	0.00	5.10	55.0	2.81
04/01/2017	THOMAS, SYLVIA	MFS RESEARCH BOND FUND C	0.00	8.97	0.00	8.97	55.0	4.93
04/01/2017	KRELLER, KRISTYNA	MFS RESEARCH BOND FUND C	0.00	11.49	0.00	11.49	55.0	6.32
04/03/2017	KRELLER, KRISTYNA	MFS RESEARCH BOND FUND C	40.00	0.40	0.00	0.40	55.0	0.22
04/01/2017	BOSOWSKI, JANUSZ	MFS RESEARCH FUND-C	0.00	17.20	0.00	17.20	55.0	9.46
04/01/2017	BOSOWSKI, EWA	MFS RESEARCH FUND-C	0.00	17.20	0.00	17.20	55.0	9.46
04/01/2017	BYSTROWIZ SEP PLAN, MAREK	MFS RESEARCH FUND-C	0.00	7.52	0.00	7.52	55.0	4.14
04/01/2017	RACZKA, PHILLIP	MFS RESEARCH INTERNATIONAL FUND 529	0.00	4.07	0.00	4.07	55.0	2.24
04/01/2017	KURILO SEP PLAN, EVA	MFS STRATEGIC INCOME FUND B	0.00	1.56	0.00	1.56	55.0	0.86
04/01/2017	GABEROV, VASIL	MFS TECHNOLOGY FUND-C	0.00	15.49	0.00	15.49	55.0	8.52
04/01/2017	GABEROVA, STELLA	MFS TECHNOLOGY FUND-C	0.00	15.49	0.00	15.49	55.0	8.52
04/01/2017	GRESZTA, LIDIA	MFS TOTAL RETURN BOND FUND CLASS A	0.00	1.07	0.00	1.07	55.0	0.59
04/01/2017	WIKAR, HALINA	MFS TOTAL RETURN C	0.00	21.64	0.00	21.64	55.0	11.90
04/01/2017	MALYSZ, JERZY	MFS UTILITIES A	0.00	0.65	0.00	0.65	55.0	0.36
04/01/2017	MALYSZ, JERZY	MFS UTILITIES A	0.00	4.87	0.00	4.87	55.0	2.68
04/01/2017	GRESZTA, LIDIA	MFS UTILITIES A	0.00	6.74	0.00	6.74	55.0	3.71

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/01/2017	WIKAR, MAGDALENA	MFS UTILITIES A	0.00	2.78	0.00	2.78	55.0	1.53
04/01/2017	MROCKOWSKI, AGNES	MFS UTILITIES A	0.00	29.33	0.00	29.33	55.0	16.13
04/01/2017	MALYSZ, JERZY	MFS UTILITIES B	0.00	3.92	0.00	3.92	55.0	2.16
04/01/2017	GRESZTA, LIDIA	MFS UTILITIES B	0.00	21.81	0.00	21.81	55.0	12.00
04/01/2017	ZAREBA, GRZEGORZ	MFS UTILITIES B	0.00	3.34	0.00	3.34	55.0	1.84
04/01/2017	MALYSZ (A MINOR), ANNA	MFS UTILITIES B	0.00	3.31	0.00	3.31	55.0	1.82
04/01/2017	MROCKOWSKI, AGNES	MFS UTILITIES B	0.00	2.09	0.00	2.09	55.0	1.15
04/01/2017	CHREPTOWICZ, MALGORZATA	MFS UTILITIES B	0.00	2.79	0.00	2.79	55.0	1.53
04/01/2017	DANEK MD SEP PLAN, BEATA	MFS UTILITIES B	0.00	1.81	0.00	1.81	55.0	1.00
04/01/2017	JUSCINSKA, AGNIESZKA	MFS UTILITIES B	0.00	5.69	0.00	5.69	55.0	3.13
04/01/2017	JARZAREK, MAGDALENA	MFS UTILITIES B	0.00	2.92	0.00	2.92	55.0	1.61
04/01/2017	VITALIDEX CORP SEP PLAN	MFS UTILITIES B	0.00	5.69	0.00	5.69	55.0	3.13
04/01/2017	KURILO SEP PLAN, EVA	MFS UTILITIES B	0.00	10.38	0.00	10.38	55.0	5.71
04/01/2017	REHABILITATION SVS PC SP,	MFS UTILITIES B	0.00	0.02	0.00	0.02	55.0	0.01
04/01/2017	ZGAJEWSKI, MARIUSZ	MFS UTILITIES B	0.00	2.69	0.00	2.69	55.0	1.48
04/03/2017	ZAREBA, GRZEGORZ	MFS UTILITIES B	50.00	2.00	0.00	2.00	55.0	1.10
03/23/2017	DZIEWIECKA, TERESA	MFS UTILITIES C	25.00	0.25	0.00	0.25	55.0	0.14
03/27/2017	GRZYBEK, MARK	MFS UTILITIES C	2,500.00	25.00	0.00	25.00	55.0	13.75
03/30/2017	DZIEWIECKA, TERESA	MFS UTILITIES C	25.00	0.25	0.00	0.25	55.0	0.14
04/01/2017	GRZEDA, ZBIGNIEW	MFS UTILITIES C	0.00	13.09	0.00	13.09	55.0	7.20
04/01/2017	WILK, TEOFIL	MFS UTILITIES C	0.00	26.41	0.00	26.41	55.0	14.53
04/01/2017	KONIECZNY, EWA	MFS UTILITIES C	0.00	17.61	0.00	17.61	55.0	9.69
04/01/2017	KWAK, ANNA	MFS UTILITIES C	0.00	3.93	0.00	3.93	55.0	2.16
04/01/2017	BYSTROWIZ SEP PLAN, MAREK	MFS UTILITIES C	0.00	5.09	0.00	5.09	55.0	2.80
04/01/2017	MURZANSKI, ANDRZEJ	MFS UTILITIES C	0.00	34.52	0.00	34.52	55.0	18.99
04/01/2017	KOPERDOWSKI, BEATA	MFS UTILITIES C	0.00	16.49	0.00	16.49	55.0	9.07
04/01/2017	KOPERDOWSKI, PIOTR	MFS UTILITIES C	0.00	16.49	0.00	16.49	55.0	9.07
04/01/2017	MARSZALEK, ZOFIA	MFS UTILITIES C	0.00	17.73	0.00	17.73	55.0	9.75
04/01/2017	SYNOWIEC, JADWIGA	MFS UTILITIES C	0.00	18.64	0.00	18.64	55.0	10.25
04/01/2017	SZCZESNIAK, EWA	MFS UTILITIES C	0.00	4.19	0.00	4.19	55.0	2.30
04/01/2017	DZIEWIECKA, TERESA	MFS UTILITIES C	0.00	5.59	0.00	5.59	55.0	3.07
04/01/2017	SYNOWIEC, LESZEK	MFS UTILITIES C	0.00	16.47	0.00	16.47	55.0	9.06
04/01/2017	WIKAR, HALINA	MFS UTILITIES C	0.00	87.27	0.00	87.27	55.0	48.00

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/01/2017	KRELLER, KRISTYNA	MFS UTILITIES C	0.00	23.50	0.00	23.50	55.0	12.93
04/01/2017	WAL, SEBASTIAN	MFS UTILITIES C	0.00	55.88	0.00	55.88	55.0	30.73
04/01/2017	BECKER, KARINA	MFS UTILITIES C	0.00	28.66	0.00	28.66	55.0	15.76
04/01/2017	ZIEMBINSKI, JACEK	MFS UTILITIES C	0.00	8.05	0.00	8.05	55.0	4.43
04/01/2017	WROBEL, JACEK	MFS UTILITIES C	0.00	4.55	0.00	4.55	55.0	2.50
04/01/2017	KOZIOŁ SIMPLE PLAN,	MFS UTILITIES C	0.00	91.84	0.00	91.84	55.0	50.51
04/01/2017	KOZIOŁ SIMPLE PLAN,	MFS UTILITIES C	0.00	21.76	0.00	21.76	55.0	11.97
04/01/2017	SWIDER, JULIA	MFS UTILITIES C	0.00	24.14	0.00	24.14	55.0	13.28
04/01/2017	TARCZYNSKI, LESTER	MFS UTILITIES C	0.00	96.89	0.00	96.89	55.0	53.29
04/01/2017	GRZYBEK, MARK	MFS UTILITIES C	0.00	28.99	0.00	28.99	55.0	15.94
04/01/2017	DOMANUS, MALGORZATA	MFS UTILITIES C	0.00	11.69	0.00	11.69	55.0	6.43
04/01/2017	BUGAJ, ANNA	MFS UTILITIES C	0.00	14.45	0.00	14.45	55.0	7.95
04/01/2017	CHOWANIEC, WOJCIECH	MFS UTILITIES C	0.00	35.62	0.00	35.62	55.0	19.59
04/01/2017	BECKER, KARINA	MFS UTILITIES C	0.00	16.75	0.00	16.75	55.0	9.21
04/01/2017	WIKAR, TYMOTEUSZ	MFS UTILITIES C	0.00	14.36	0.00	14.36	55.0	7.90
04/01/2017	MADRZYK, PIOTR	MFS UTILITIES C	0.00	19.05	0.00	19.05	55.0	10.48
04/01/2017	MURZANSKI, EWA	MFS UTILITIES C	0.00	30.25	0.00	30.25	55.0	16.64
04/01/2017	PIETRZYK, JAN	MFS UTILITIES C	0.00	15.17	0.00	15.17	55.0	8.34
04/01/2017	PIETRZYK, DOROTA	MFS UTILITIES C	0.00	13.21	0.00	13.21	55.0	7.27
04/01/2017	KWAK, ANNA	MFS UTILITIES C	0.00	41.23	0.00	41.23	55.0	22.68
04/01/2017	THOMAS, SYLVIA	MFS UTILITIES C	0.00	21.41	0.00	21.41	55.0	11.78
04/01/2017	GABEROV, VASIL	MFS UTILITIES C	0.00	46.27	0.00	46.27	55.0	25.45
04/01/2017	GABEROVA, STELLA	MFS UTILITIES C	0.00	46.27	0.00	46.27	55.0	25.45
04/01/2017	MROCZKOWSKI, AGNES	MFS UTILITIES C	0.00	7.19	0.00	7.19	55.0	3.95
04/01/2017	ZIEMBINSKI, JOANNA	MFS UTILITIES C	0.00	13.14	0.00	13.14	55.0	7.23
04/01/2017	POLLAK, TATIANA	MFS UTILITIES C	0.00	14.23	0.00	14.23	55.0	7.83
04/01/2017	POLLAK, WIESLAW	MFS UTILITIES C	0.00	14.23	0.00	14.23	55.0	7.83
04/01/2017	BOSOWSKI, JANUSZ	MFS UTILITIES C	0.00	19.16	0.00	19.16	55.0	10.54
04/01/2017	BOSOWSKI, EWA	MFS UTILITIES C	0.00	23.10	0.00	23.10	55.0	12.71
04/01/2017	MALYSZ (A MINOR), MICHALINA	MFS UTILITIES C	0.00	4.30	0.00	4.30	55.0	2.37
04/01/2017	TYLMAN, JOANNA	MFS UTILITIES C	0.00	22.80	0.00	22.80	55.0	12.54
04/01/2017	TYLMAN, PETER	MFS UTILITIES C	0.00	22.83	0.00	22.83	55.0	12.56
04/01/2017	JAROSZ, JACEK	MFS UTILITIES C	0.00	7.93	0.00	7.93	55.0	4.36

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/01/2017	JAROSZ, TERESA	MFS UTILITIES C	0.00	7.93	0.00	7.93	55.0	4.36
04/03/2017	KRELLER, KRISTYNA	MFS UTILITIES C	40.00	0.40	0.00	0.40	55.0	0.22
04/03/2017	KWAK, ANNA	MFS UTILITIES C	50.00	0.50	0.00	0.50	55.0	0.28
04/03/2017	KWAK, ANNA	MFS UTILITIES C	50.00	0.50	0.00	0.50	55.0	0.28
04/04/2017	DZIEWIECKA, TERESA	MFS UTILITIES C	25.00	0.25	0.00	0.25	55.0	0.14
04/01/2017	VITALIDEX CORP SEP PLAN	MFS VALUE B	0.00	0.48	0.00	0.48	55.0	0.26
04/01/2017	REHABILITATION SVS PC SP,	MFS VALUE B	0.00	0.07	0.00	0.07	55.0	0.04
04/01/2017	ZGAJEWSKI, MARIUSZ	MFS VALUE B	0.00	2.51	0.00	2.51	55.0	1.38
04/01/2017	MURZANSKI, ANDRZEJ	MFS VALUE C	0.00	5.10	0.00	5.10	55.0	2.81
04/01/2017	BOSOWSKI, JANUSZ	MFS VALUE C	0.00	19.46	0.00	19.46	55.0	10.70
04/01/2017	BOSOWSKI, EWA	MFS VALUE C	0.00	19.45	0.00	19.45	55.0	10.70
04/01/2017	SYNOWIEC, JADWIGA	MFS VALUE C	0.00	5.10	0.00	5.10	55.0	2.81
04/01/2017	WIKAR, HALINA	MFS VALUE C	0.00	37.01	0.00	37.01	55.0	20.36
04/01/2017	SWIDER, JULIA	MFS VALUE C	0.00	25.53	0.00	25.53	55.0	14.04
04/01/2017	BYSTROWIZ SEP PLAN, MAREK	MFS VALUE C	0.00	6.03	0.00	6.03	55.0	3.32
04/01/2017	CHADA, WIESLAW	MFS VALUE C	0.00	3.72	0.00	3.72	55.0	2.05
04/01/2017	ZIEMBINSKI, JACEK	MFS VALUE C	0.00	2.53	0.00	2.53	55.0	1.39
04/01/2017	DOMANUS, MALGORZATA	MFS VALUE C	0.00	1.69	0.00	1.69	55.0	0.93
04/01/2017	TYLMAN, PETER	MFS VALUE C	0.00	14.60	0.00	14.60	55.0	8.03
04/01/2017	TYLMAN, JOANNA	MFS VALUE C	0.00	14.65	0.00	14.65	55.0	8.06
04/01/2017	BECKER, KARINA	MFS VALUE C	0.00	5.61	0.00	5.61	55.0	3.09
04/01/2017	MURZANSKI, EWA	MFS VALUE C	0.00	5.10	0.00	5.10	55.0	2.81
* MUTUAL FUNDS SUBTOTAL *			\$5,365.00	\$4,036.32	\$0.00	\$4,036.32		\$2,220.20
*** BROKER TRANSACTIONS TOTAL ***			\$5,365.00	\$4,036.32	\$0.00	\$4,036.32		\$2,220.20

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172</u>								
04/01/2017	DENEK, SABINA	MFS AGGRESSIVE GROWTH ALLOCATION B	0.00	0.19	0.00	0.19	55.0	0.10
04/01/2017	STELMASIAK, BERENIKA	MFS AGGRESSIVE GROWTH ALLOCATION B	0.00	0.03	0.00	0.03	55.0	0.02
04/01/2017	KOENIG, ANNA	MFS AGGRESSIVE GRWTH ALLOC FD-529B	0.00	0.16	0.00	0.16	55.0	0.09
04/01/2017	SIENKIEWICZ, TOMASZ	MFS BLENDED RESEARCH CORE FD B	0.00	0.27	0.00	0.27	55.0	0.15
04/01/2017	DABROWSKI, PAUL	MFS BLENDED RESEARCH CORE FD B	0.00	0.92	0.00	0.92	55.0	0.51
04/01/2017	MARSZALEK, ZOFIA	MFS BLENDED RESEARCH CORE FD B	0.00	1.61	0.00	1.61	55.0	0.89
04/01/2017	GUTT, MARTIN	MFS BLENDED RESEARCH CORE FD B	0.00	0.35	0.00	0.35	55.0	0.19
04/01/2017	WALCZYK, ANNA	MFS BLENDED RESEARCH CORE FD B	0.00	1.29	0.00	1.29	55.0	0.71
04/01/2017	WALCZYK, MAREK	MFS BLENDED RESEARCH CORE FD B	0.00	1.33	0.00	1.33	55.0	0.73
04/01/2017	DABROWSKI, PAUL	MFS BOND FUND B	0.00	0.84	0.00	0.84	55.0	0.46
04/01/2017	SWIDERSKA, HELENA	MFS CONSERVATIVE ALLOCATION A	0.00	1.35	0.00	1.35	55.0	0.74
04/01/2017	STRZECKA, SYLWIA	MFS CONSERVATIVE ALLOCATION B	0.00	0.07	0.00	0.07	55.0	0.04
04/01/2017	SZWEDO, MALGORZATA	MFS CONSERVATIVE ALLOCATION B	0.00	0.04	0.00	0.04	55.0	0.02
04/01/2017	LOWISZ, WLADYSLAWA	MFS CONSERVATIVE ALLOCATION B	0.00	0.21	0.00	0.21	55.0	0.12
04/01/2017	DENEK, SABINA	MFS DISCOVERY B	0.00	0.18	0.00	0.18	55.0	0.10
04/01/2017	DABROWSKI, AGNIESZKA	MFS DIVERSIFIED INCOME FUND C	0.00	6.50	0.00	6.50	55.0	3.58
04/01/2017	LOWISZ, WLADYSLAWA	MFS EMERGING MARKETS DEBT FUND B	0.00	0.07	0.00	0.07	55.0	0.04
04/01/2017	WALCZYK, ANNA	MFS GLOBAL REAL ESTATE FUND B	0.00	1.07	0.00	1.07	55.0	0.59
04/01/2017	WALCZYK, MAREK	MFS GLOBAL REAL ESTATE FUND B	0.00	1.10	0.00	1.10	55.0	0.61
04/01/2017	WALCZYK, SABRINA	MFS GLOBAL REAL ESTATE FUND B	0.00	0.04	0.00	0.04	55.0	0.02
04/01/2017	TULEWICZ, KRYSZYNA	MFS GLOBAL REAL ESTATE FUND B	0.00	0.03	0.00	0.03	55.0	0.02
04/01/2017	FELINSKI, DOROTA	MFS GLOBAL REAL ESTATE FUND B	0.00	0.04	0.00	0.04	55.0	0.02
04/01/2017	MEI, JENNIFER	MFS GROWTH ALLOCATION B	0.00	0.70	0.00	0.70	55.0	0.39
04/01/2017	STRZECKA, SYLWIA	MFS GROWTH ALLOCATION B	0.00	0.08	0.00	0.08	55.0	0.04
04/01/2017	DABROWSKI, PAUL	MFS GROWTH ALLOCATION B	0.00	0.87	0.00	0.87	55.0	0.48
04/01/2017	WALCZYK, ANNA	MFS GROWTH ALLOCATION B	0.00	1.23	0.00	1.23	55.0	0.68
04/01/2017	WALCZYK, MAREK	MFS GROWTH ALLOCATION B	0.00	1.27	0.00	1.27	55.0	0.70
04/01/2017	WALCZYK, SABRINA	MFS GROWTH ALLOCATION B	0.00	0.05	0.00	0.05	55.0	0.03
04/01/2017	TULEWICZ, KRYSZYNA	MFS GROWTH ALLOCATION B	0.00	0.04	0.00	0.04	55.0	0.02
04/01/2017	FELINSKI, DOROTA	MFS GROWTH ALLOCATION B	0.00	0.05	0.00	0.05	55.0	0.03
04/01/2017	SZWEDO, MALGORZATA	MFS GROWTH ALLOCATION B	0.00	0.04	0.00	0.04	55.0	0.02
04/01/2017	CHOWANIEC, WOJCIECH	MFS GROWTH ALLOCATION B	0.00	1.00	0.00	1.00	55.0	0.55
04/01/2017	WIKAR, SYLVIA	MFS GROWTH ALLOCATION B	0.00	0.03	0.00	0.03	55.0	0.02

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172</u>								
04/01/2017	ZGAJEWSKI, BARBARA	MFS GROWTH ALLOCATION C	0.00	2.24	0.00	2.24	55.0	1.23
04/01/2017	CHOWANIEC (A MINOR), MICHAL	MFS INTERNATIOANL DEVERSIFICATION F	0.00	0.25	0.00	0.25	55.0	0.14
04/01/2017	CHOWANIEC (A MINOR), PAULINA	MFS INTERNATIOANL DEVERSIFICATION F	0.00	0.24	0.00	0.24	55.0	0.13
04/01/2017	CHOWANIEC, WOJCIECH	MFS INTERNATIOANL DEVERSIFICATION F	0.00	0.97	0.00	0.97	55.0	0.53
04/01/2017	WIKAR, SYLVIA	MFS INTERNATIOANL DEVERSIFICATION F	0.00	0.03	0.00	0.03	55.0	0.02
04/01/2017	MEI, JENNIFER	MFS LIFETIME 2045 FUND B	0.00	0.71	0.00	0.71	55.0	0.39
04/01/2017	MEI, JENNIFER	MFS MASS INVESTORS TRUST B	0.00	0.74	0.00	0.74	55.0	0.41
04/01/2017	LOWISZ, WLADYSLAWA	MFS MODERATE ALLOCATION B	0.00	0.21	0.00	0.21	55.0	0.12
04/01/2017	DABROWSKI, AGNIESZKA	MFS MODERATE ALLOCATION C	0.00	6.46	0.00	6.46	55.0	3.55
04/01/2017	WALUSZKO, SYLWIA	MFS MODERATE ALLOCATION C	0.00	6.17	0.00	6.17	55.0	3.39
04/01/2017	MEI, JENNIFER	MFS RESEARCH B	0.00	0.74	0.00	0.74	55.0	0.41
04/01/2017	DENEK, SABINA	MFS UTILITIES B	0.00	0.25	0.00	0.25	55.0	0.14
04/01/2017	MEI, JENNIFER	MFS UTILITIES B	0.00	0.64	0.00	0.64	55.0	0.35
04/01/2017	STRZECKA, SYLWIA	MFS UTILITIES B	0.00	0.10	0.00	0.10	55.0	0.06
04/01/2017	STELMASIAK, BERENIKA	MFS UTILITIES B	0.00	0.03	0.00	0.03	55.0	0.02
04/01/2017	SIENKIEWICZ, TOMASZ	MFS UTILITIES B	0.00	0.24	0.00	0.24	55.0	0.13
04/01/2017	DABROWSKI, PAUL	MFS UTILITIES B	0.00	0.81	0.00	0.81	55.0	0.45
04/01/2017	GUTT, MARTIN	MFS UTILITIES B	0.00	0.33	0.00	0.33	55.0	0.18
04/01/2017	WALCZYK, ANNA	MFS UTILITIES B	0.00	1.25	0.00	1.25	55.0	0.69
04/01/2017	WALCZYK, MAREK	MFS UTILITIES B	0.00	1.29	0.00	1.29	55.0	0.71
04/01/2017	WALCZYK, SABRINA	MFS UTILITIES B	0.00	0.05	0.00	0.05	55.0	0.03
04/01/2017	TULEWICZ, KRYSTYNA	MFS UTILITIES B	0.00	0.04	0.00	0.04	55.0	0.02
04/01/2017	FELINSKI, DOROTA	MFS UTILITIES B	0.00	0.05	0.00	0.05	55.0	0.03
04/01/2017	SZWEDO, MALGORZATA	MFS UTILITIES B	0.00	0.06	0.00	0.06	55.0	0.03
04/01/2017	CHOWANIEC (A MINOR), MICHAL	MFS UTILITIES B	0.00	0.31	0.00	0.31	55.0	0.17
04/01/2017	CHOWANIEC (A MINOR), PAULINA	MFS UTILITIES B	0.00	0.30	0.00	0.30	55.0	0.17
04/01/2017	CHOWANIEC, WOJCIECH	MFS UTILITIES B	0.00	0.99	0.00	0.99	55.0	0.54
04/01/2017	WIKAR, SYLVIA	MFS UTILITIES B	0.00	0.03	0.00	0.03	55.0	0.02
04/01/2017	LOWISZ, WLADYSLAWA	MFS UTILITIES B	0.00	0.23	0.00	0.23	55.0	0.13
04/01/2017	ZGAJEWSKI, BARBARA	MFS UTILITIES C	0.00	2.14	0.00	2.14	55.0	1.18
04/01/2017	DABROWSKI, AGNIESZKA	MFS UTILITIES C	0.00	12.86	0.00	12.86	55.0	7.07
04/01/2017	WALUSZKO, SYLWIA	MFS UTILITIES C	0.00	10.62	0.00	10.62	55.0	5.84
04/01/2017	STELMASIAK, BERENIKA	MFS VALUE B	0.00	0.03	0.00	0.03	55.0	0.02

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<u>SPLIT TRANSACTIONS</u>								
BROKER: R127-RICH/MARK/HALIN RODRIG/HUBER/W #172								
04/01/2017	WALCZYK, ANNA	MFS VALUE B	0.00	1.30	0.00	1.30	55.0	0.72
04/01/2017	WALCZYK, MAREK	MFS VALUE B	0.00	1.34	0.00	1.34	55.0	0.74
04/01/2017	WALCZYK, SABRINA	MFS VALUE B	0.00	0.05	0.00	0.05	55.0	0.03
04/01/2017	TULEWICZ, KRYSZYNA	MFS VALUE B	0.00	0.04	0.00	0.04	55.0	0.02
04/01/2017	FELINSKI, DOROTA	MFS VALUE B	0.00	0.05	0.00	0.05	55.0	0.03
04/01/2017	CHOWANIEC (A MINOR), MICHAL	MFS VALUE B	0.00	0.27	0.00	0.27	55.0	0.15
04/01/2017	CHOWANIEC (A MINOR), PAULINA	MFS VALUE B	0.00	0.27	0.00	0.27	55.0	0.15
04/01/2017	CHOWANIEC, WOJCIECH	MFS VALUE B	0.00	1.07	0.00	1.07	55.0	0.59
04/01/2017	WIKAR, SYLVIA	MFS VALUE B	0.00	0.03	0.00	0.03	55.0	0.02
04/01/2017	LOWISZ, WLADYSLAWA	MFS VALUE B	0.00	0.23	0.00	0.23	55.0	0.13
04/01/2017	WALUSZKO, SYLWIA	MFS VALUE C	0.00	6.92	0.00	6.92	55.0	3.81
04/03/2017	CHOWANIEC, WOJCIECH	PUTNAM 529 AGE-BASED 2014-A	0.00	2.32	0.00	2.32	55.0	1.28
/ /	WIKAR, HALINA	UBT AGGRESSIVE AGE BASED 0-02-A	0.00	1.74	0.00	1.74	55.0	0.96
03/10/2017	WIKAR, HALINA	UBT AGGRESSIVE AGE BASED 0-02-A	100.00	1.65	0.00	1.65	55.0	0.91
/ /	MROCZKOWSKI, AGNES	UBT AGGRESSIVE AGE BASED 09-10-A	0.00	11.42	0.00	11.42	55.0	6.28
03/06/2017	MROCZKOWSKI, AGNES	UBT AGGRESSIVE AGE BASED 09-10-A	100.00	1.65	0.00	1.65	55.0	0.91
* R127-RICH/MARK/HALIN RODRIG/HUBER/W SUBTOTAL *			\$200.00	\$104.81	\$0.00	\$104.81		\$57.74
*** SPLIT TRANSACTIONS TOTAL ***			\$200.00	\$104.81	\$0.00	\$104.81		\$57.74

ADJUSTMENTS

04/15/2017	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
04/15/2017	*TECHNOLOGY: CURRENT MONTH TECH	0.00						-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-575.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$2,220.20
Split Transactions	\$57.74
Override Transactions	\$0.00
Adjustments	\$-575.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,702.94
Year-to-date Gross	\$9,843.73
Year-to-date Earnings	\$5,415.05