

**COMMISSION STATEMENT****October 31, 2017**

WILLIAM LAYMAN
4321 Flagstaff Cove

BROKER #: L129
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
/ /	BOUTWELL BENEFICIARY, LILLIAN	ASCENSUS 529 2031 ENROLLMENT PORT A	0.00	0.61	0.00	0.61	45.0	0.27
* 529 PLANS SUBTOTAL *			\$0.00	\$0.61	\$0.00	\$0.61		\$0.27
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/16/2017	RABER, AMY	AM FUNDS AMERICAN MUTUAL A	300.00	15.00	0.00	15.00	45.0	6.75
/ /	BOUTWELL BENEFICIARY, LILLIAN	ASCENSUS INA 2019 ENROLLMENT PORT C	0.00	1.62	0.00	1.62	45.0	0.73
/ /	BOUTWELL BENEFICIARY, LILLIAN	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	1.22	0.00	1.22	45.0	0.55
/ /	BENEFICIAR, CHRISTINE	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.16	0.00	0.16	45.0	0.07
/ /	BOUTWELL BENEFICIARY, LILLIAN	INA 2025 ENROLLMENT PORTFOLIO CLASS	0.00	1.22	0.00	1.22	45.0	0.55
/ /	BOUTWELL BENEFICIARY, LILLIAN	INA 2028 ENROLLMENT PORT CL A	0.00	0.97	0.00	0.97	45.0	0.44
/ /	BOUTWELL BENEFICIARY, LILLIAN	INA 2028 ENROLLMENT PORT CL A	0.00	1.25	0.00	1.25	45.0	0.56
/ /	BOUTWELL BENEFICIARY, LILLIAN	INA 2028 ENROLLMENT PORT CL A	0.00	1.25	0.00	1.25	45.0	0.56
* MUTUAL FUNDS SUBTOTAL *			\$300.00	\$22.69	\$0.00	\$22.69		\$10.21
*** BROKER TRANSACTIONS TOTAL ***			\$300.00	\$23.30	\$0.00	\$23.30		\$10.48

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$10.48
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$59.33
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$69.81
Year-to-date Gross	\$1,061.39
Year-to-date Earnings	\$486.61