

COMMISSION STATEMENT

November 30, 2017

ARTHUR KITTELSTAD
3316 N FRONTAGE ROAD

BROKER #: 6
BRANCH: HOME OFFICE

PLANT CITY, FL 33565

COMMISSION STATEMENT for ARTHUR KITTELSTAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

11/06/2017	QGW001307	SMALL, WAYNE	QUALCOMM INC	S	-12,979.00	338.00	10.00	328.00	85.0	277.30
11/16/2017	QGW001323	KNUDSON, BENJAMIN	ABERDEEN ASIA PACIFIC INCOME FUND I B		4,926.60	143.00	10.00	133.00	85.0	111.55
11/16/2017	QGW001323	KNUDSON, BENJAMIN	AT&T INC	B	6,819.30	197.00	10.00	187.00	85.0	157.45
11/28/2017	QGW001323	KNUDSON, BENJAMIN	NUVEEN PREFERRED AND INCOME 2022 TE B		25,199.30	441.00	10.00	431.00	85.0	364.85
11/06/2017	QGW018095	GRAMPP, RAYMOND	QUALCOMM INC	S	-6,259.10	163.00	10.00	153.00	85.0	128.55
11/08/2017	QGW018475	JOHNSON, KENNETH	AT&T INC	B	3,321.70	95.00	10.00	85.00	85.0	70.75
11/06/2017	QGW018483	KNUDSON, BENJAMIN	QUALCOMM INC	S	-9,675.51	251.00	10.00	241.00	85.0	203.35
11/10/2017	QGW018491	HOBGOOD, ROBIN	CRAFT BREW ALLIANCE INC COM	S	-6,000.00	75.00	10.00	65.00	85.0	53.75
10/30/2017	QGW018525	WAYMEL, RAYMOND	AT&T INC	B	6,721.30	194.00	10.00	184.00	85.0	154.90
11/06/2017	QGW018525	WAYMEL, RAYMOND	QUALCOMM INC	S	-19,383.03	497.00	10.00	487.00	85.0	412.45
11/20/2017	QGW018525	WAYMEL, RAYMOND	OPKO HEALTH INC	S	-9,648.60	251.00	10.00	241.00	85.0	203.35
11/20/2017	QGW018525	WAYMEL, RAYMOND	TEVA PHARMACEUTICAL INDUSTRIES LIMI S		-3,940.02	97.00	10.00	87.00	85.0	72.45
11/01/2017	QGW018541	LUNCEFORD, WENDELL	CBS CORP CLASS B	B	5,531.77	50.00	10.00	40.00	85.0	32.50
11/01/2017	QGW018541	LUNCEFORD, WENDELL	LASALLE HOTEL PTYS COM SH BEN INT	S	-8,454.99	169.00	10.00	159.00	85.0	133.65
11/01/2017	QGW018541	LUNCEFORD, WENDELL	OPKO HEALTH INC	S	-6,590.00	138.00	10.00	128.00	85.0	107.30
11/06/2017	QGW018541	LUNCEFORD, WENDELL	QUALCOMM INC	S	-26,060.00	677.00	10.00	667.00	85.0	565.45
11/16/2017	QGW018541	LUNCEFORD, WENDELL	ENTERCOM COMMUNICATIONS CORP CLASS S		-6,379.21	50.00	10.00	40.00	85.0	32.50
11/20/2017	QGW018541	LUNCEFORD, WENDELL	ABERDEEN ASIA PACIFIC INCOME FUND I B		9,795.20	293.00	10.00	283.00	85.0	239.05
11/20/2017	QGW018541	LUNCEFORD, WENDELL	APOLLO COMMERCIAL REAL ESTATE FINAN B		9,262.50	268.00	10.00	258.00	85.0	217.80
11/20/2017	QGW018541	LUNCEFORD, WENDELL	NEW YORK COMMUNITY BANCORP INC	B	6,450.00	187.00	10.00	177.00	85.0	148.95
11/28/2017	QGW018541	LUNCEFORD, WENDELL	NUVEEN PREFERRED AND INCOME 2022 TE B		25,249.90	441.00	10.00	431.00	85.0	364.85
11/01/2017	QGW018624	BRYANT, RONALD	AT&T INC	B	5,046.98	146.00	10.00	136.00	85.0	114.10
11/08/2017	QGW018624	BRYANT, RONALD	QUALCOMM INC	S	-6,502.50	168.00	10.00	158.00	85.0	132.80
11/06/2017	QGW018657	KNAUF, STEPHEN	AT&T INC	B	4,904.48	128.00	10.00	118.00	85.0	98.80
11/06/2017	QGW018657	KNAUF, STEPHEN	QUALCOMM INC	S	-6,290.02	163.00	10.00	153.00	85.0	128.55
10/30/2017	QGW018673	DEKKER, KATHIE	AT&T INC	B	5,096.25	148.00	10.00	138.00	85.0	115.80
11/06/2017	QGW018673	DEKKER, KATHIE	QUALCOMM INC	S	-5,090.68	132.00	10.00	122.00	85.0	102.20
11/06/2017	QGW018889	DICE, GEORGE	QUALCOMM INC	S	-5,733.91	149.00	10.00	139.00	85.0	116.65
11/01/2017	QGW018921	BOLTEN, CATHERINE	GLOBAL X FDS GLOBAL X SUPERDIVIDEND B		5,015.44	100.00	10.00	90.00	85.0	75.00
11/01/2017	QGW018921	BOLTEN, CATHERINE	WASTE MGMT INC (DE)	S	-6,111.53	122.00	10.00	112.00	85.0	93.70
11/07/2017	QGW018954	EGGLESTON, LYNN	AT&T INC	B	4,953.75	143.00	10.00	133.00	85.0	111.55

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BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
11/06/2017	QGW019119	HAECKER, GREG		QUALCOMM INC	S	-7,734.00	198.00	10.00	188.00	85.0	158.30
11/06/2017	QGW019218	POTI, NORMAN		QUALCOMM INC	S	-5,054.40	131.00	10.00	121.00	85.0	101.35
11/06/2017	QGW019234	RF LAFFERTY & CO		QUALCOMM INC	S	-8,112.44	211.00	10.00	201.00	85.0	169.35
10/30/2017	QGW019440	DOMB, CARALINE		AT&T INC	B	3,353.65	97.00	10.00	87.00	85.0	72.45
11/06/2017	QGW019440	DOMB, CARALINE		QUALCOMM INC	S	-3,815.01	99.00	10.00	89.00	85.0	74.15
11/08/2017	QGW019457	HOLT, RUSSELL		AT&T INC	B	3,151.91	91.00	10.00	81.00	85.0	67.35
11/06/2017	QGW019531	HOFFMAN, DEREK		QUALCOMM INC	S	-4,744.51	123.00	10.00	113.00	85.0	94.55
11/01/2017	QGW024747	WAYMEL, RAYMOND		CBS CORP CLASS B	B	5,522.17	50.00	10.00	40.00	85.0	32.50
11/16/2017	QGW024747	WAYMEL, RAYMOND		ENTERCOM COMMUNICATIONS CORP CLASS	S	-6,379.66	50.00	10.00	40.00	85.0	32.50
11/28/2017	QGW024747	WAYMEL, RAYMOND		NUVEEN PREFERRED AND INCOME 2022 TE B	B	47,879.05	748.60	10.00	738.60	85.0	626.31
11/16/2017	QGW024754	KNUDSON, SUSAN		GLOBAL X FDS GLOBAL X SUPERDIVIDEND	B	7,515.96	217.00	10.00	207.00	85.0	174.45
11/20/2017	QGW024754	KNUDSON, SUSAN		ISHARES IBOXX \$ HIGH YIELD CORPORAT	B	17,491.30	493.00	10.00	483.00	85.0	409.05
11/28/2017	QGW024754	KNUDSON, SUSAN		NUVEEN PREFERRED AND INCOME 2022 TE B	B	25,232.50	441.00	10.00	431.00	85.0	364.85
11/06/2017	QGW024770	HOBGOOD, RUTH		QUALCOMM INC	S	-9,476.03	214.00	10.00	204.00	85.0	171.90
11/28/2017	QGW024838	STEPANEK, DENNIS		NUVEEN PREFERRED AND INCOME 2022 TE B	B	50,399.00	788.00	10.00	778.00	85.0	659.80
11/06/2017	QGW024853	LEO P LEBEL, SEP		QUALCOMM INC	S	-6,293.00	163.00	10.00	153.00	85.0	128.55
11/06/2017	QGW024986	PENNINGTON, CHARLES		QUALCOMM INC	S	-8,065.43	210.00	10.00	200.00	85.0	168.50
10/31/2017	QGW025454	HOFFMAN, PATRICIA		CELESTICA INC	B	4,086.60	118.00	10.00	108.00	85.0	90.30
11/06/2017	QGW025942	MCEVOY JT TEN,		QUALCOMM INC	S	-6,281.35	163.00	10.00	153.00	85.0	128.55
11/06/2017	QGW029589	M. PESSETTI JT TEN,		QUALCOMM INC	S	-3,744.01	95.00	10.00	85.00	85.0	70.75
11/06/2017	QGW029886	KONKEN, LUCY		QUALCOMM INC	S	-6,336.70	163.00	10.00	153.00	85.0	128.55
11/06/2017	QGW030686	LUNCEFORD, JOHN		QUALCOMM INC	S	-6,483.00	168.00	10.00	158.00	85.0	132.80
11/15/2017	QGW030694	ABLES, FRANCES		ABERDEEN ASIA PACIFIC INCOME FUND I	B	4,849.90	148.00	10.00	138.00	85.0	115.80
11/08/2017	QGW031189	JOHNSON, KENNETH		AT&T INC	B	6,645.30	193.00	10.00	183.00	85.0	154.05
11/06/2017	QGW031692	BARFIELD, BARBARA		QUALCOMM INC	S	-6,358.00	167.00	10.00	157.00	85.0	131.95
				* STOCKS SUBTOTAL *		\$70,446.17	\$11,953.60	\$560.00	\$11,393.60		\$9,600.56
PRODUCT CATEGORY: OPTIONS											
11/16/2017	QGW018541	LUNCEFORD, WENDELL		WI ENTERCOM COMMUNICATIONS CORP CL	S	0.00	50.00	10.00	40.00	85.0	32.50
11/16/2017	QGW018541	LUNCEFORD, WENDELL		WI ENTERCOM COMMUNICATIONS CORP CL	S	0.00	-50.00	-10.00	-40.00	85.0	-32.50 C
11/16/2017	QGW024747	WAYMEL, RAYMOND		WI ENTERCOM COMMUNICATIONS CORP CL	S	0.00	50.00	10.00	40.00	85.0	32.50
11/16/2017	QGW024747	WAYMEL, RAYMOND		WI ENTERCOM COMMUNICATIONS CORP CL	S	0.00	-50.00	-10.00	-40.00	85.0	-32.50 C
				* OPTIONS SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
11/17/2017	QGW018491	HOBGOOD, ROBIN		BOND A	B	0.00	3.09	0.00	3.09	85.0	2.63
11/17/2017	QGW018574	STEPANEK, CATHERINE		JOHN HANCOCK FDS II NEW OPPORTUNITI	B	0.00	6.11	0.00	6.11	85.0	5.19
11/17/2017	QGW018798	SENTZ, ROBERT		LARGE CAP EQUITY A	B	0.00	0.14	0.00	0.14	85.0	0.12
11/17/2017	QGW019150	RICHARDSON, MARY		JOHN HANCOCK FDS III STRATEGIC GROW	B	0.00	0.09	0.00	0.09	85.0	0.08
11/22/2017	QGW024838	STEPANEK, DENNIS		JP MORGAN LIMITED DURATION BOND FUN	B	0.00	19.92	0.00	19.92	85.0	16.93

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

11/17/2017	QGW024879	DERTOUZOS, JAMES	JOHN HANCOCK FDS II NEW OPPORTUNITI B	0.00	2.78	0.00	2.78	85.0	2.36
11/17/2017	QGW024879	DERTOUZOS, JAMES	REGIONAL BANK A B	0.00	2.35	0.00	2.35	85.0	2.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$34.48	\$0.00	\$34.48		\$29.31
*** BROKER TRANSACTIONS TOTAL ***				\$70,446.17	\$11,988.08	\$560.00	\$11,428.08		\$9,629.87

ADJUSTMENTS

11/30/2017		OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
11/30/2017		OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-15.00
11/30/2017		OTHER: OTHER-PERSHING CHARGES AT .0	0.00	0.00	0.00	0.00	-44.22
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00	\$-79.22

BROKER COMMISSION TOTALS

Broker Transactions	\$9,629.87
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-79.22
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-14.99
SIPC Assessment	\$-29.97

Check Amount	\$9,505.69
Year-to-date Earnings	\$39,923.35