Page No.

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## **COMMISSION STATEMENT**

October 31, 2017

WILLIAM THOMAS

2565 West Maple Road

Troy, MI 48084

BROKER #: T123 Terminated 12/31/2016

BRANCH: SECURE ASSET FINANCI

| DATE   | CLIENT                     | INVESTMENT                        | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION  | CLEARING<br>CHARGE    | NET<br>COMMISSIC | N F       | RATE   | BROKER<br>COMMISSION |
|--|----------------------------|-----------------------------------|----------------------|----------------------|-----------------------|------------------|-----------|--------|----------------------|
|  |                            |                                   |                      |                      |                       |                  |           |        |                      |
| BROKER TRANSACTIONS  |                            |                                   |                      |                      |                       |                  |           |        |                      |
| PRODUCT CA   | TEGORY: VARIABLE ANNUITIES |                                   |                      |                      |                       |                  |           |        |                      |
| 10/16/2017   | KUPPE, MARK                | NWL BOA NG FP VUL                 | 200.00               | 4.00                 | 0.00                  | 4                | 4.00      | 0.0    | 0.00                 |
| 10/17/2017   | THOMAS, MARIA              | NWL NEXT GEN II FP VUL            | 250.00               | 5.00                 | 0.00                  | į                | 5.00      | 0.0    | 0.00                 |
|  |                            | * VARIABLE ANNUITIES SUBTOTAL *   | \$450.00             | \$9.00               | \$0.00                | \$9              | .00       |        | \$0.00               |
|  |                            | *** BROKER TRANSACTIONS TOTAL *** | \$450.00             | \$9.00               | \$0.00                | \$9              | .00       |        | \$0.00               |
|  |                            |                                   |                      |                      | <u> </u>              | BROKER CO        | MMIS      | SION   | TOTALS               |
|  |                            |                                   |                      |                      | Broke:                | r Transact:      | ions      |        | \$0.00               |
|  |                            |                                   |                      |                      | Split Transactions    |                  |           | \$0.00 |                      |
|  |                            |                                   |                      |                      | Override Transactions |                  |           | \$0.00 |                      |
|  |                            |                                   |                      |                      | Adjustments           |                  | ents      |        | \$0.00               |
|  |                            | Payroll Draw                      |                      | Draw                 |                       | \$0.00           |           |        |                      |
|  |                            |                                   |                      |                      | Base Salary           |                  |           | \$0.00 |                      |
|  |                            |                                   |                      | Prior Period Balance |                       |                  |           | \$0.00 |                      |
|  |                            |                                   |                      |                      | FI                    | NRA Assessi      | ment      |        | \$0.00               |
|  |                            |                                   |                      |                      | S                     | IPC Assess       | ment<br>_ |        | \$0.00               |
| Please Retain for Your Records<br>THERE WILL BE NO CHECK THIS PERIOD |                            |                                   | )                    | Balance Forward      |                       |                  |           | \$0.00 |                      |
|  |                            |                                   |                      |                      | Year-to-date Gross    |                  |           |        | \$11,433.38          |
|  |                            |                                   |                      |                      | Year-to               | o-date Earr      | nings     |        | \$5,706.04           |