BROKER

All Companies

R.F. Lafferty & Co., Inc.

COMMISSION STATEMENT

August 31, 2017

ROBERT STRAVINSKI

11384 HIGHBROOK COURT

POTOMAC FALLS, VA 20165

BROKER #: 58

GROSS

BRANCH: HOME OFFICE

CLEARING

NET

COMMISSION STATEMENT for ROBERT STRAVINSKI

INVESTMENT

TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
		BR	OKER TRANSA	CTIONS					
PRODUCT CA	TEGORY: MUTUAL FUNDS	•							
08/01/2017 (None) VARIOUS, STRAVIN	SKI AC ALTERNATIVES DISCIPLIN	ED LONG SH B	0.00	1,985.96	0.00	1,985.96	80.0	1,588.77
08/01/2017 (None) VARIOUS, STRAVIN	SKI AC ALTERNATIVES DISCIPLIN	ED LONG SH B	0.00	222.16	0.00	222.16	80.0	177.73
08/01/2017 (None) VARIOUS, STRAVIN	SKI AC ALTERNATIVES DISCIPLIN	ED LONG SH B	0.00	127.49	0.00	127.49	80.0	101.99
08/01/2017 (None) VARIOUS, STRAVIN	SKI AC ALTERNATIVES DISCIPLIN	ED LONG SH B	0.00	487.28	0.00	487.28	80.0	389.82
08/01/2017 (None) VARIOUS, STRAVIN	SKI AC ALTERNATIVES DISCIPLIN	ED LONG SH B	0.00	6.18	0.00	6.18	80.0	0.00
08/01/2017 (None) VARIOUS, STRAVIN	SKI AC ALTERNATIVES DISCIPLIN	ED LONG SH B	0.00	20.74	0.00	20.74	80.0	0.00
08/01/2017 (None) VARIOUS, STRAVIN	SKI AC ALTERNATIVES DISCIPLIN	ED LONG SH B	0.00	5.53	0.00	5.53	80.0	0.00
08/01/2017 (None) VARIOUS, STRAVIN	SKI AC ALTERNATIVES DISCIPLIN	ED LONG SH B	0.00	7.78	0.00	7.78	80.0	0.00
08/10/2017 0	0061056926 LORENZEN, C	AROLYN EUROPACIFIC GROWTH FUND-A	В	50.00	1.00	0.00	1.00	80.0	0.00
08/10/2017 0	0061056926 LORENZEN, C	AROLYN NEW PERSPECTIVE FUND-A	В	50.00	1.00	0.00	1.00	80.0	0.00
08/10/2017 0	0061056926 LORENZEN, C	AROLYN THE INVESTMENT COMPANY OF	AMERICA-A B	50.00	1.00	0.00	1.00	80.0	0.00
08/01/2017 0	0075318858 MARSHALL, K	ADEN AMCAP FUND-529A	В	50.00	1.88	0.00	1.88	80.0	0.00
08/01/2017 0	0075318858 MARSHALL, K	ADEN AMERICAN MUTUAL FUND-529A	В	50.00	1.88	0.00	1.88	80.0	0.00
08/01/2017 0	0075318858 MARSHALL, K	ADEN EUROPACIFIC GROWTH FUND-5	29A B	50.00	1.88	0.00	1.88	80.0	0.00
08/01/2017 0	0082140659 MARSHALL, K	ADEN AMCAP FUND-529A	В	50.00	1.88	0.00	1.88	80.0	0.00
08/01/2017 0	0082140659 MARSHALL, K	ADEN AMERICAN MUTUAL FUND-529A	В	50.00	1.88	0.00	1.88	80.0	0.00
08/01/2017 0	0082140659 MARSHALL, K	ADEN EUROPACIFIC GROWTH FUND-5	29A B	50.00	1.88	0.00	1.88	80.0	0.00
07/24/2017 0	0082988425 RIGGLEMAN,	LUTHER AMCAP FUND-A	В	11.70	0.32	0.00	0.32	80.0	0.00
07/24/2017 0	0082988425 RIGGLEMAN,	LUTHER AMCAP FUND-A	В	11.70	0.32	0.00	0.32	80.0	0.00
07/24/2017 0	0082988425 RIGGLEMAN,	LUTHER AMCAP FUND-A	В	9.61	0.26	0.00	0.26	80.0	0.00
07/24/2017 0	0082988425 RIGGLEMAN,	LUTHER AMCAP FUND-A	В	9.61	0.26	0.00	0.26	80.0	0.00
07/24/2017 0	0082988425 RIGGLEMAN,	LUTHER CAPITAL WORLD GROWTH AND	INCOME FUN B	3.90	0.11	0.00	0.11	80.0	0.00
07/24/2017 0	0082988425 RIGGLEMAN,	LUTHER CAPITAL WORLD GROWTH AND	INCOME FUN B	3.90	0.11	0.00	0.11	80.0	0.00
07/24/2017 0	0082988425 RIGGLEMAN,	LUTHER CAPITAL WORLD GROWTH AND	INCOME FUN B	3.20	0.09	0.00	0.09	80.0	0.00
07/24/2017 0	0082988425 RIGGLEMAN,	LUTHER CAPITAL WORLD GROWTH AND	INCOME FUN B	3.20	0.09	0.00	0.09	80.0	0.00
07/24/2017 0	0082988425 RIGGLEMAN,	LUTHER INTERMEDIATE BOND FUND OF	AMERICA-A B	1.95	0.04	0.00	0.04	80.0	0.00
07/24/2017 0	0082988425 RIGGLEMAN,	LUTHER INTERMEDIATE BOND FUND OF	AMERICA-A B	1.95	0.04	0.00	0.04	80.0	0.00
07/24/2017 0	0082988425 RIGGLEMAN,	LUTHER INTERMEDIATE BOND FUND OF	AMERICA-A B	1.60	0.03	0.00	0.03	80.0	0.00
07/24/2017 0	0082988425 RIGGLEMAN,	LUTHER INTERMEDIATE BOND FUND OF	AMERICA-A B	1.60	0.03	0.00	0.03	80.0	0.00
07/24/2017 0	0082988425 RIGGLEMAN,	LUTHER THE GROWTH FUND OF AMERIC	A-A B	11.70	0.32	0.00	0.32	80.0	0.00
07/24/2017 0	0082988425 RIGGLEMAN,	LUTHER THE GROWTH FUND OF AMERIC	A-A B	11.70	0.32	0.00	0.32	80.0	0.00

INVESTMENT

TRADE DATE

CLIENT

BROKER TRANS	BACTIONS				
PRODUCT CATEGORY: MUTUAL FUNDS					
07/24/2017 00082988425 RIGGLEMAN, LUTHER THE GROWTH FUND OF AMERICA-A B	9.61	0.26	0.00	0.26 80.0	0.00
07/24/2017 00082988425 RIGGLEMAN, LUTHER THE GROWTH FUND OF AMERICA-A B	9.61	0.26	0.00	0.26 80.0	0.00
07/24/2017 00082988425 RIGGLEMAN, LUTHER THE INVESTMENT COMPANY OF AMERICA-A B	3.90	0.11	0.00	0.11 80.0	0.00
07/24/2017 00082988425 RIGGLEMAN, LUTHER THE INVESTMENT COMPANY OF AMERICA-A B	3.90	0.11	0.00	0.11 80.0	0.00
07/24/2017 00082988425 RIGGLEMAN, LUTHER THE INVESTMENT COMPANY OF AMERICA-A B	3.20	0.09	0.00	0.09 80.0	0.00
07/24/2017 00082988425 RIGGLEMAN, LUTHER THE INVESTMENT COMPANY OF AMERICA-A B	3.20	0.09	0.00	0.09 80.0	0.00
07/24/2017 00082988425 RIGGLEMAN, LUTHER THE NEW ECONOMY FUND-A B	3.90	0.11	0.00	0.11 80.0	0.00
07/24/2017 00082988425 RIGGLEMAN, LUTHER THE NEW ECONOMY FUND-A B	3.90	0.11	0.00	0.11 80.0	0.00
07/24/2017 00082988425 RIGGLEMAN, LUTHER THE NEW ECONOMY FUND-A B	3.21	0.09	0.00	0.09 80.0	0.00
07/24/2017 00082988425 RIGGLEMAN, LUTHER THE NEW ECONOMY FUND-A B	3.21	0.09	0.00	0.09 80.0	0.00
07/31/2017 00082988425 RIGGLEMAN, LUTHER AMCAP FUND-A B	11.70	0.32	0.00	0.32 80.0	0.00
07/31/2017 00082988425 RIGGLEMAN, LUTHER AMCAP FUND-A B	9.61	0.26	0.00	0.26 80.0	0.00
07/31/2017 00082988425 RIGGLEMAN, LUTHER CAPITAL WORLD GROWTH AND INCOME FUN B	3.90	0.11	0.00	0.11 80.0	0.00
07/31/2017 00082988425 RIGGLEMAN, LUTHER CAPITAL WORLD GROWTH AND INCOME FUN B	3.20	0.09	0.00	0.09 80.0	0.00
07/31/2017 00082988425 RIGGLEMAN, LUTHER INTERMEDIATE BOND FUND OF AMERICA-A B	1.95	0.04	0.00	0.04 80.0	0.00
07/31/2017 00082988425 RIGGLEMAN, LUTHER INTERMEDIATE BOND FUND OF AMERICA-A B	1.60	0.03	0.00	0.03 80.0	0.00
07/31/2017 00082988425 RIGGLEMAN, LUTHER THE GROWTH FUND OF AMERICA-A B	11.70	0.32	0.00	0.32 80.0	0.00
07/31/2017 00082988425 RIGGLEMAN, LUTHER THE GROWTH FUND OF AMERICA-A B	9.61	0.26	0.00	0.26 80.0	0.00
07/31/2017 00082988425 RIGGLEMAN, LUTHER THE INVESTMENT COMPANY OF AMERICA-A B	3.90	0.11	0.00	0.11 80.0	0.00
07/31/2017 00082988425 RIGGLEMAN, LUTHER THE INVESTMENT COMPANY OF AMERICA-A B	3.20	0.09	0.00	0.09 80.0	0.00
07/31/2017 00082988425 RIGGLEMAN, LUTHER THE NEW ECONOMY FUND-A B	3.90	0.11	0.00	0.11 80.0	0.00
07/31/2017 00082988425 RIGGLEMAN, LUTHER THE NEW ECONOMY FUND-A B	3.21	0.09	0.00	0.09 80.0	0.00
08/07/2017 00082988425 RIGGLEMAN, LUTHER AMCAP FUND-A B	11.70	0.32	0.00	0.32 80.0	0.00
08/07/2017 00082988425 RIGGLEMAN, LUTHER AMCAP FUND-A B	9.61	0.26	0.00	0.26 80.0	0.00
08/07/2017 00082988425 RIGGLEMAN, LUTHER CAPITAL WORLD GROWTH AND INCOME FUN B	3.90	0.11	0.00	0.11 80.0	0.00
08/07/2017 00082988425 RIGGLEMAN, LUTHER CAPITAL WORLD GROWTH AND INCOME FUN B	3.20	0.09	0.00	0.09 80.0	0.00
08/07/2017 00082988425 RIGGLEMAN, LUTHER INTERMEDIATE BOND FUND OF AMERICA-A B	1.95	0.04	0.00	0.04 80.0	0.00
08/07/2017 00082988425 RIGGLEMAN, LUTHER INTERMEDIATE BOND FUND OF AMERICA-A B	1.60	0.03	0.00	0.03 80.0	0.00
08/07/2017 00082988425 RIGGLEMAN, LUTHER THE GROWTH FUND OF AMERICA-A B	11.70	0.32	0.00	0.32 80.0	0.00
08/07/2017 00082988425 RIGGLEMAN, LUTHER THE GROWTH FUND OF AMERICA-A B	9.61	0.26	0.00	0.26 80.0	0.00
08/07/2017 00082988425 RIGGLEMAN, LUTHER THE INVESTMENT COMPANY OF AMERICA-A B	3.90	0.11	0.00	0.11 80.0	0.00
08/07/2017 00082988425 RIGGLEMAN, LUTHER THE INVESTMENT COMPANY OF AMERICA-A B	3.20	0.09	0.00	0.09 80.0	0.00
08/07/2017 00082988425 RIGGLEMAN, LUTHER THE NEW ECONOMY FUND-A B	3.90	0.11	0.00	0.11 80.0	0.00
08/07/2017 00082988425 RIGGLEMAN, LUTHER THE NEW ECONOMY FUND-A B	3.21	0.09	0.00	0.09 80.0	0.00
08/08/2017 00082988425 RIGGLEMAN, LUTHER AMCAP FUND-A B	11.70	0.32	0.00	0.32 80.0	0.00
08/08/2017 00082988425 RIGGLEMAN, LUTHER AMCAP FUND-A B	9.61	0.26	0.00	0.26 80.0	0.00
08/08/2017 00082988425 RIGGLEMAN, LUTHER CAPITAL WORLD GROWTH AND INCOME FUN B	3.90	0.11	0.00	0.11 80.0	0.00
08/08/2017 00082988425 RIGGLEMAN, LUTHER CAPITAL WORLD GROWTH AND INCOME FUN B	3.20	0.09	0.00	0.09 80.0	0.00
08/08/2017 00082988425 RIGGLEMAN, LUTHER INTERMEDIATE BOND FUND OF AMERICA-A B	1.95	0.04	0.00	0.04 80.0	0.00
08/08/2017 00082988425 RIGGLEMAN, LUTHER INTERMEDIATE BOND FUND OF AMERICA-A B	1.60	0.03	0.00	0.03 80.0	0.00

INVESTMENT

AMOUNT

B/S

GROSS

COMMISSION

CLEARING

CHARGE

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COMMISSION

Page No.

RATE

BROKER

COMMISSION

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
			BROKER TRANS	ACTIONS					
PRODUCT CAT	EGORY: MUTUAL FUNDS								
08/08/2017 00	0082988425 RIGGLEMAN, I	LUTHER THE GROWTH FUN	D OF AMERICA-A B	11.70	0.32	0.00	0.32	80.0	0.00
08/08/2017 00	0082988425 RIGGLEMAN, I	LUTHER THE GROWTH FUN	D OF AMERICA-A B	9.61	0.26	0.00	0.26	80.0	0.00
08/08/2017 00)082988425 RIGGLEMAN, I	LUTHER THE INVESTMENT	COMPANY OF AMERICA-A B	3.90	0.11	0.00	0.11	80.0	0.00
08/08/2017 00)082988425 RIGGLEMAN, I	LUTHER THE INVESTMENT	COMPANY OF AMERICA-A B	3.20	0.09	0.00	0.09	80.0	0.00
08/08/2017 00	0082988425 RIGGLEMAN, I	LUTHER THE NEW ECONOM	Y FUND-A B	3.90	0.11	0.00	0.11	80.0	0.00
08/08/2017 00	0082988425 RIGGLEMAN, I	LUTHER THE NEW ECONOM	Y FUND-A B	3.21	0.09	0.00	0.09	80.0	0.00
08/10/2017 00	0082988425 RIGGLEMAN, I	LUTHER AMCAP FUND-A	В	11.70	0.32	0.00	0.32	80.0	0.00
08/10/2017 00	0082988425 RIGGLEMAN, I	LUTHER AMCAP FUND-A	В	9.61	0.26	0.00	0.26	80.0	0.00
08/10/2017 00	0082988425 RIGGLEMAN, I	LUTHER CAPITAL WORLD	GROWTH AND INCOME FUN B	3.90	0.11	0.00	0.11	80.0	0.00
08/10/2017 00	0082988425 RIGGLEMAN, I	LUTHER CAPITAL WORLD	GROWTH AND INCOME FUN B	3.20	0.09	0.00	0.09	80.0	0.00
08/10/2017 00	0082988425 RIGGLEMAN, I	LUTHER INTERMEDIATE B	OND FUND OF AMERICA-A B	1.95	0.04	0.00	0.04	80.0	0.00
08/10/2017 00	0082988425 RIGGLEMAN, I	LUTHER INTERMEDIATE B	OND FUND OF AMERICA-A B	1.60	0.03	0.00	0.03	80.0	0.00
08/10/2017 00	0082988425 RIGGLEMAN, I	LUTHER THE GROWTH FUN	D OF AMERICA-A B	11.70	0.32	0.00	0.32	80.0	0.00
08/10/2017 00	0082988425 RIGGLEMAN, I	LUTHER THE GROWTH FUN	D OF AMERICA-A B	9.61	0.26	0.00	0.26	80.0	0.00
08/10/2017 00	0082988425 RIGGLEMAN, I	LUTHER THE INVESTMENT	COMPANY OF AMERICA-A B	3.90	0.11	0.00	0.11	80.0	0.00
08/10/2017 00	0082988425 RIGGLEMAN, I	LUTHER THE INVESTMENT	COMPANY OF AMERICA-A B	3.20	0.09	0.00	0.09	80.0	0.00
08/10/2017 00	0082988425 RIGGLEMAN, I	LUTHER THE NEW ECONOM	Y FUND-A B	3.90	0.11	0.00	0.11	80.0	0.00
08/10/2017 00	0082988425 RIGGLEMAN, I	LUTHER THE NEW ECONOM	Y FUND-A B	3.21	0.09	0.00	0.09	80.0	0.00
08/17/2017 00	0082988425 RIGGLEMAN, I	LUTHER AMCAP FUND-A	В	11.70	0.32	0.00	0.32	80.0	0.00
08/17/2017 00	0082988425 RIGGLEMAN, I	LUTHER AMCAP FUND-A	В	9.61	0.26	0.00	0.26	80.0	0.00
08/17/2017 00	0082988425 RIGGLEMAN, I	LUTHER CAPITAL WORLD	GROWTH AND INCOME FUN B	3.90	0.11	0.00	0.11	80.0	0.00
08/17/2017 00	0082988425 RIGGLEMAN, I	LUTHER CAPITAL WORLD	GROWTH AND INCOME FUN B	3.20	0.09	0.00	0.09	80.0	0.00
08/17/2017 00	0082988425 RIGGLEMAN, I	LUTHER INTERMEDIATE B	OND FUND OF AMERICA-A B	1.95	0.04	0.00	0.04	80.0	0.00
08/17/2017 00	0082988425 RIGGLEMAN, I	LUTHER INTERMEDIATE B	OND FUND OF AMERICA-A B	1.60	0.03	0.00	0.03	80.0	0.00
08/17/2017 00	0082988425 RIGGLEMAN, I	LUTHER THE GROWTH FUN	D OF AMERICA-A B	11.70	0.32	0.00	0.32	80.0	0.00
08/17/2017 00	0082988425 RIGGLEMAN, I	LUTHER THE GROWTH FUN	D OF AMERICA-A B	9.61	0.26	0.00	0.26	80.0	0.00
08/17/2017 00	0082988425 RIGGLEMAN, I	LUTHER THE INVESTMENT	COMPANY OF AMERICA-A B	3.90	0.11	0.00	0.11	80.0	0.00
08/17/2017 00	0082988425 RIGGLEMAN, I	LUTHER THE INVESTMENT	COMPANY OF AMERICA-A B	3.20	0.09	0.00	0.09	80.0	0.00
08/17/2017 00	0082988425 RIGGLEMAN, I	LUTHER THE NEW ECONOM	Y FUND-A B	3.90	0.11	0.00	0.11	80.0	0.00
08/17/2017 00	0082988425 RIGGLEMAN, I	LUTHER THE NEW ECONOM	Y FUND-A B	3.21	0.09	0.00	0.09	80.0	0.00
07/24/2017 00	0082994053 SMITH, CHARI	LOTTE AMCAP FUND-A	В	6.00	0.17	0.00	0.17	80.0	0.00
07/24/2017 00	0082994053 SMITH, CHARI	LOTTE AMCAP FUND-A	В	6.00	0.17	0.00	0.17	80.0	0.00
07/24/2017 00	0082994053 SMITH, CHARI	LOTTE AMCAP FUND-A	В	6.41	0.18	0.00	0.18	80.0	0.00
07/24/2017 00	0082994053 SMITH, CHARI	LOTTE AMCAP FUND-A	В	6.41	0.18	0.00	0.18	80.0	0.00
07/24/2017 00	0082994053 SMITH, CHARI	LOTTE CAPITAL INCOME	BUILDER-A B	6.00	0.17	0.00	0.17	80.0	0.00
07/24/2017 00	0082994053 SMITH, CHARI	LOTTE CAPITAL INCOME	BUILDER-A B	6.00	0.17	0.00	0.17	80.0	0.00
07/24/2017 00	0082994053 SMITH, CHARI	LOTTE CAPITAL INCOME	BUILDER-A B	6.41	0.18	0.00	0.18	80.0	0.00
07/24/2017 00	0082994053 SMITH, CHARI	LOTTE CAPITAL INCOME	BUILDER-A B	6.41	0.18	0.00	0.18	80.0	0.00
07/24/2017 00	0082994053 SMITH, CHARI	LOTTE EUROPACIFIC GR	OWTH FUND-A B	3.00	0.08	0.00	0.08	80.0	0.00
07/24/2017 00	0082994053 SMITH, CHARI	LOTTE EUROPACIFIC GR	OWTH FUND-A B	3.00	0.08	0.00	0.08	80.0	0.00

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INVESTMENT

TRADE DATE

CLIENT

COMMISSION STATEMENT for ROBERT STRAVINSKI

B/S

INVESTMENT

AMOUNT

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COMMISSION

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RATE

BROKER

COMMISSION

	BROKER TRA	NSACTIONS						
PRODUCT CATEGORY: MUTUAL FUNDS								
07/24/2017 00082994053 SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	В	3.20	0.09	0.00	0.09	80.0	0.00
07/24/2017 00082994053 SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	В	3.20	0.09	0.00	0.09	80.0	0.00
07/24/2017 00082994053 SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	В	3.00	0.08	0.00	0.08	80.0	0.00
07/24/2017 00082994053 SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	В	3.00	0.08	0.00	0.08	80.0	0.00
07/24/2017 00082994053 SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	В	3.20	0.09	0.00	0.09	80.0	0.00
07/24/2017 00082994053 SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	В	3.20	0.09	0.00	0.09	80.0	0.00
07/24/2017 00082994053 SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	В	7.50	0.21	0.00	0.21	80.0	0.00
07/24/2017 00082994053 SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	В	7.50	0.21	0.00	0.21	80.0	0.00
07/24/2017 00082994053 SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	В	8.01	0.22	0.00	0.22	80.0	0.00
07/24/2017 00082994053 SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	В	8.01	0.22	0.00	0.22	80.0	0.00
07/24/2017 00082994053 SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A	В	4.50	0.12	0.00	0.12	80.0	0.00
07/24/2017 00082994053 SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A	В	4.50	0.12	0.00	0.12	80.0	0.00
07/24/2017 00082994053 SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A	В	4.80	0.13	0.00	0.13	80.0	0.00
07/24/2017 00082994053 SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A	В	4.80	0.13	0.00	0.13	80.0	0.00
7/31/2017 00082994053 SMITH, CHARLOTTE	AMCAP FUND-A	В	6.00	0.17	0.00	0.17	80.0	0.0
7/31/2017 00082994053 SMITH, CHARLOTTE	AMCAP FUND-A	В	6.41	0.18	0.00	0.18	80.0	0.0
7/31/2017 00082994053 SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	В	6.00	0.17	0.00	0.17	80.0	0.0
7/31/2017 00082994053 SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	В	6.41	0.18	0.00	0.18	80.0	0.0
7/31/2017 00082994053 SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	В	3.00	0.08	0.00	0.08	80.0	0.0
7/31/2017 00082994053 SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	В	3.20	0.09	0.00	0.09	80.0	0.0
7/31/2017 00082994053 SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	В	3.00	0.08	0.00	0.08	80.0	0.0
7/31/2017 00082994053 SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	В	3.20	0.09	0.00	0.09	80.0	0.0
7/31/2017 00082994053 SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	В	7.50	0.21	0.00	0.21	80.0	0.0
7/31/2017 00082994053 SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	В	8.01	0.22	0.00	0.22	80.0	0.0
07/31/2017 00082994053 SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A	В	4.50	0.12	0.00	0.12	80.0	0.0
7/31/2017 00082994053 SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A	В	4.80	0.13	0.00	0.13	80.0	0.0
08/07/2017 00082994053 SMITH, CHARLOTTE	AMCAP FUND-A	В	6.00	0.17	0.00	0.17	80.0	0.0
08/07/2017 00082994053 SMITH, CHARLOTTE	AMCAP FUND-A	В	6.41	0.18	0.00	0.18	80.0	0.0
08/07/2017 00082994053 SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	В	6.00	0.17	0.00	0.17	80.0	0.0
08/07/2017 00082994053 SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	В	6.41	0.18	0.00	0.18	80.0	0.0
08/07/2017 00082994053 SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	В	3.00	0.08	0.00	0.08	80.0	0.0
08/07/2017 00082994053 SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	В	3.20	0.09	0.00	0.09	80.0	0.0
08/07/2017 00082994053 SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	В	3.00	0.08	0.00	0.08	80.0	0.00
08/07/2017 00082994053 SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	В	3.20	0.09	0.00	0.09	80.0	0.00
08/07/2017 00082994053 SMITH, CHARLOTTE		В	7.50	0.21	0.00	0.21		0.00
08/07/2017 00082994053 SMITH, CHARLOTTE		В	8.01	0.22	0.00	0.22		0.00
08/07/2017 00082994053 SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A		4.50	0.12	0.00	0.12		0.00
08/07/2017 00082994053 SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A		4.80	0.13	0.00	0.13		0.0
08/08/2017 00082994053 SMITH, CHARLOTTE		В	6.00	0.17	0.00	0.17		0.0
08/08/2017 00082994053 SMITH, CHARLOTTE		В	6.41	0.18	0.00	0.18		0.00

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PRODUCT CATEGORY: MUTUAL FUNDS								
08/08/2017 00082994053 SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	В	6.00	0.17	0.00	0.17	80.0	0.0
08/08/2017 00082994053 SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	В	6.41	0.18	0.00	0.18	80.0	0.0
08/08/2017 00082994053 SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	В	3.00	0.08	0.00	0.08	80.0	0.0
08/08/2017 00082994053 SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	В	3.20	0.09	0.00	0.09	80.0	0.0
08/08/2017 00082994053 SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	В	3.00	0.08	0.00	0.08	80.0	0.0
08/08/2017 00082994053 SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	В	3.20	0.09	0.00	0.09	80.0	0.0
8/08/2017 00082994053 SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	В	7.50	0.21	0.00	0.21	80.0	0.0
8/08/2017 00082994053 SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	В	8.01	0.22	0.00	0.22	80.0	0.0
8/08/2017 00082994053 SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A	A B	4.50	0.12	0.00	0.12	80.0	0.0
8/08/2017 00082994053 SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A	A B	4.80	0.13	0.00	0.13	80.0	0.0
8/10/2017 00082994053 SMITH, CHARLOTTE	AMCAP FUND-A	В	6.00	0.17	0.00	0.17	80.0	0.0
8/10/2017 00082994053 SMITH, CHARLOTTE	AMCAP FUND-A	В	6.41	0.18	0.00	0.18	80.0	0.0
08/10/2017 00082994053 SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	В	6.00	0.17	0.00	0.17	80.0	0.0
8/10/2017 00082994053 SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	В	6.41	0.18	0.00	0.18	80.0	0.0
8/10/2017 00082994053 SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	В	3.00	0.08	0.00	0.08	80.0	0.0
8/10/2017 00082994053 SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	В	3.20	0.09	0.00	0.09	80.0	0.0
8/10/2017 00082994053 SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	В	3.00	0.08	0.00	0.08	80.0	0.0
8/10/2017 00082994053 SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	В	3.20	0.09	0.00	0.09	80.0	0.0
8/10/2017 00082994053 SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	В	7.50	0.21	0.00	0.21	80.0	0.0
8/10/2017 00082994053 SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	В	8.01	0.22	0.00	0.22	80.0	0.0
8/10/2017 00082994053 SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A	АВ	4.50	0.12	0.00	0.12	80.0	0.0
8/10/2017 00082994053 SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A	АВ	4.80	0.13	0.00	0.13	80.0	0.0
8/17/2017 00082994053 SMITH, CHARLOTTE	AMCAP FUND-A	В	6.00	0.17	0.00	0.17	80.0	0.0
8/17/2017 00082994053 SMITH, CHARLOTTE	AMCAP FUND-A	В	6.41	0.18	0.00	0.18	80.0	0.0
8/17/2017 00082994053 SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	В	6.00	0.17	0.00	0.17	80.0	0.0
8/17/2017 00082994053 SMITH, CHARLOTTE	CAPITAL INCOME BUILDER-A	В	6.41	0.18	0.00	0.18	80.0	0.0
8/17/2017 00082994053 SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	В	3.00	0.08	0.00	0.08	80.0	0.0
8/17/2017 00082994053 SMITH, CHARLOTTE	EUROPACIFIC GROWTH FUND-A	В	3.20	0.09	0.00	0.09	80.0	0.0
8/17/2017 00082994053 SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	В	3.00	0.08	0.00	0.08	80.0	0.0
8/17/2017 00082994053 SMITH, CHARLOTTE	SMALLCAP WORLD FUND-A	В	3.20	0.09	0.00	0.09	80.0	0.0
8/17/2017 00082994053 SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	В	7.50	0.21	0.00	0.21	80.0	0.0
8/17/2017 00082994053 SMITH, CHARLOTTE	THE GROWTH FUND OF AMERICA-A	В	8.01	0.22	0.00	0.22	80.0	0.0
8/17/2017 00082994053 SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A	A B	4.50	0.12	0.00	0.12	80.0	0.0
8/17/2017 00082994053 SMITH, CHARLOTTE	THE INVESTMENT COMPANY OF AMERICA-A	A B	4.80	0.13	0.00	0.13	80.0	0.0
7/24/2017 00087267645 GILLESPIE, JASON	AMERICAN BALANCED FUND-A	В	4.05	0.11	0.00	0.11	80.0	0.0
7/24/2017 00087267645 GILLESPIE, JASON	AMERICAN BALANCED FUND-A	В	4.74	0.13	0.00	0.13	80.0	0.0
7/24/2017 00087267645 GILLESPIE, JASON	AMERICAN BALANCED FUND-A	В	2.70	0.07	0.00	0.07	80.0	0.0
7/24/2017 00087267645 GILLESPIE, JASON	AMERICAN BALANCED FUND-A	В	3.16	0.09	0.00	0.09	80.0	0.0
7/24/2017 00087267645 GILLESPIE, JASON	AMERICAN FUNDS 02629W106	В	4.05	0.11	0.00	0.11	80.0	0.0
NE /04/001E 0000E0CEC4E GILLEGRID TROOM	AMERICAN FUNDS 02629W106	В	4.74	0.13	0.00	0 13	80.0	0.0

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	BROKER	TRANSAC'	rions				
PRODUCT CATEGORY: MUTUAL FUNDS							
07/24/2017 00087267645 GILLESPIE, JASON	AMERICAN FUNDS 02629W106	В	2.70	0.07	0.00	0.07 80.0	0.00
07/24/2017 00087267645 GILLESPIE, JASON	AMERICAN FUNDS 02629W106	В	3.17	0.09	0.00	0.09 80.0	0.00
07/24/2017 00087267645 GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	В	4.05	0.11	0.00	0.11 80.0	0.00
07/24/2017 00087267645 GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	В	4.74	0.13	0.00	0.13 80.0	0.00
07/24/2017 00087267645 GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	В	2.70	0.07	0.00	0.07 80.0	0.00
07/24/2017 00087267645 GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	В	3.16	0.09	0.00	0.09 80.0	0.00
07/24/2017 00087267645 GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	В	6.08	0.17	0.00	0.17 80.0	0.00
07/24/2017 00087267645 GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	В	7.11	0.20	0.00	0.20 80.0	0.00
07/24/2017 00087267645 GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	В	4.05	0.11	0.00	0.11 80.0	0.00
07/24/2017 00087267645 GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	В	4.74	0.13	0.00	0.13 80.0	0.00
07/24/2017 00087267645 GILLESPIE, JASON	SMALLCAP WORLD FUND-A	В	12.14	0.33	0.00	0.33 80.0	0.00
07/24/2017 00087267645 GILLESPIE, JASON		В	14.24	0.39	0.00	0.39 80.0	0.00
07/24/2017 00087267645 GILLESPIE, JASON		В	8.10	0.22	0.00	0.22 80.0	0.00
07/24/2017 00087267645 GILLESPIE, JASON	SMALLCAP WORLD FUND-A	В	9.49	0.26	0.00	0.26 80.0	0.00
07/24/2017 00087267645 GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	В	4.05	0.11	0.00	0.11 80.0	0.00
07/24/2017 00087267645 GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	В	4.74	0.13	0.00	0.13 80.0	0.00
07/24/2017 00087267645 GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	В	2.70	0.07	0.00	0.07 80.0	0.00
07/24/2017 00087267645 GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	В	3.16	0.09	0.00	0.09 80.0	0.00
07/24/2017 00087267645 GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	В	6.08	0.17	0.00	0.17 80.0	0.00
07/24/2017 00087267645 GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	В	7.11	0.20	0.00	0.20 80.0	0.00
07/24/2017 00087267645 GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	В	4.05	0.11	0.00	0.11 80.0	0.00
07/24/2017 00087267645 GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	В	4.74	0.13	0.00	0.13 80.0	0.00
07/31/2017 00087267645 GILLESPIE, JASON	AMERICAN BALANCED FUND-A	В	4.05	0.11	0.00	0.11 80.0	0.00
07/31/2017 00087267645 GILLESPIE, JASON	AMERICAN BALANCED FUND-A	В	2.70	0.07	0.00	0.07 80.0	0.00
07/31/2017 00087267645 GILLESPIE, JASON	AMERICAN FUNDS 02629W106	В	4.05	0.11	0.00	0.11 80.0	0.00
07/31/2017 00087267645 GILLESPIE, JASON	AMERICAN FUNDS 02629W106	В	2.70	0.07	0.00	0.07 80.0	0.00
07/31/2017 00087267645 GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	В	4.05	0.11	0.00	0.11 80.0	0.00
07/31/2017 00087267645 GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	В	2.70	0.07	0.00	0.07 80.0	0.00
07/31/2017 00087267645 GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	В	6.08	0.17	0.00	0.17 80.0	0.00
07/31/2017 00087267645 GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	В	4.05	0.11	0.00	0.11 80.0	0.00
07/31/2017 00087267645 GILLESPIE, JASON	SMALLCAP WORLD FUND-A	В	12.14	0.33	0.00	0.33 80.0	0.00
07/31/2017 00087267645 GILLESPIE, JASON		В	8.10	0.22	0.00	0.22 80.0	0.00
07/31/2017 00087267645 GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	В	4.05	0.11	0.00	0.11 80.0	0.00
07/31/2017 00087267645 GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	В	2.70	0.07	0.00	0.07 80.0	0.00
07/31/2017 00087267645 GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	В	6.08	0.17	0.00	0.17 80.0	0.00
07/31/2017 00087267645 GILLESPIE, JASON		В	4.05	0.11	0.00	0.11 80.0	0.00
08/07/2017 00087267645 GILLESPIE, JASON		В	4.05	0.11	0.00	0.11 80.0	0.00
08/07/2017 00087267645 GILLESPIE, JASON		В	2.70	0.07	0.00	0.07 80.0	0.00
08/07/2017 00087267645 GILLESPIE, JASON		В	4.05	0.11	0.00	0.11 80.0	0.00
08/07/2017 00087267645 GILLESPIE, JASON		В	2.70	0.07	0.00	0.07 80.0	0.00
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TRADE DATE	CLIENT		INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
			BROKER	TRANSA	CTIONS					
PRODUCT CAT	EGORY: MU	JTUAL FUNDS								
08/07/2017 00	0087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	В	4.05	0.11	0.00	0.11	80.0	0.00
08/07/2017 00	0087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	В	2.70	0.07	0.00	0.07	80.0	0.00
08/07/2017 00	0087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	В	6.08	0.17	0.00	0.17	80.0	0.00
08/07/2017 00	0087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	В	4.05	0.11	0.00	0.11	80.0	0.00
08/07/2017 00	0087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	В	12.14	0.33	0.00	0.33	80.0	0.00
08/07/2017 00	0087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	В	8.10	0.22	0.00	0.22	80.0	0.00
08/07/2017 00	0087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	В	4.05	0.11	0.00	0.11	80.0	0.00
08/07/2017 00	0087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	В	2.70	0.07	0.00	0.07	80.0	0.00
08/07/2017 00	0087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	В	6.08	0.17	0.00	0.17	80.0	0.00
08/07/2017 00	0087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	В	4.05	0.11	0.00	0.11	80.0	0.00
08/08/2017 00	0087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	В	4.74	0.13	0.00	0.13	80.0	0.00
08/08/2017 00	0087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	В	3.16	0.09	0.00	0.09	80.0	0.00
08/08/2017 00	0087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	В	4.74	0.13	0.00	0.13	80.0	0.00
08/08/2017 00	0087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	В	3.17	0.09	0.00	0.09	80.0	0.00
08/08/2017 00	0087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	В	4.74	0.13	0.00	0.13	80.0	0.00
08/08/2017 00	0087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	В	3.16	0.09	0.00	0.09	80.0	0.00
08/08/2017 00	0087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	В	7.11	0.20	0.00	0.20	80.0	0.00
08/08/2017 00	0087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	В	4.74	0.13	0.00	0.13	80.0	0.00
08/08/2017 00	0087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	В	14.24	0.39	0.00	0.39	80.0	0.00
08/08/2017 00	0087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	В	9.49	0.26	0.00	0.26	80.0	0.00
08/08/2017 00	0087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	В	4.74	0.13	0.00	0.13	80.0	0.00
08/08/2017 00	0087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	В	3.16	0.09	0.00	0.09	80.0	0.00
08/08/2017 00	0087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	В	7.11	0.20	0.00	0.20	80.0	0.00
08/08/2017 00	0087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	В	4.74	0.13	0.00	0.13	80.0	0.00
08/10/2017 00	0087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	В	4.05	0.11	0.00	0.11	80.0	0.00
08/10/2017 00	0087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	В	2.70	0.07	0.00	0.07	80.0	0.00
08/10/2017 00	0087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	В	4.05	0.11	0.00	0.11	80.0	0.00
08/10/2017 00	0087267645	GILLESPIE, JASON	AMERICAN FUNDS 02629W106	В	2.70	0.07	0.00	0.07	80.0	0.00
08/10/2017 00	0087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	В	4.05	0.11	0.00	0.11	80.0	0.00
08/10/2017 00	0087267645	GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	В	2.70	0.07	0.00	0.07	80.0	0.00
08/10/2017 00	0087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	В	6.08	0.17	0.00	0.17	80.0	0.00
08/10/2017 00	0087267645	GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	В	4.05	0.11	0.00	0.11	80.0	0.00
08/10/2017 00	0087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	В	12.14	0.33	0.00	0.33	80.0	0.00
08/10/2017 00	0087267645	GILLESPIE, JASON	SMALLCAP WORLD FUND-A	В	8.10	0.22	0.00	0.22	80.0	0.00
08/10/2017 00	0087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	В	4.05	0.11	0.00	0.11	80.0	0.00
08/10/2017 00	0087267645	GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	В	2.70	0.07	0.00	0.07	80.0	0.00
08/10/2017 00	0087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	В	6.08	0.17	0.00	0.17	80.0	0.00
08/10/2017 00	0087267645	GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	В	4.05	0.11	0.00	0.11	80.0	0.00
08/17/2017 00	0087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	В	4.05	0.11	0.00	0.11	80.0	0.00
08/17/2017 00	0087267645	GILLESPIE, JASON	AMERICAN BALANCED FUND-A	В	2.70	0.07	0.00	0.07	80.0	0.00

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BROKER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	001001	111111111111111111111111111111111111111		11100111	COLLIEBTON		COLLIEBTON	14112	COMMISSION
		BROKER TI	RANS	ACTIONS					
PRODUCT CAT	regory: Mutual funds								
08/17/2017 0	0087267645 GILLESPIE, JASON	AMERICAN FUNDS 02629W106	В	4.05	0.11	0.00	0.11	80.0	0.00
08/17/2017 0	0087267645 GILLESPIE, JASON	AMERICAN FUNDS 02629W106	В	2.70	0.07	0.00	0.07	80.0	0.00
08/17/2017 0	0087267645 GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	В	4.05	0.11	0.00	0.11	80.0	0.00
08/17/2017 0	0087267645 GILLESPIE, JASON	AMERICAN HIGH-INCOME TRUST-A	В	2.70	0.07	0.00	0.07	80.0	0.00
08/17/2017 0	0087267645 GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	В	6.08	0.17	0.00	0.17	80.0	0.00
08/17/2017 0	0087267645 GILLESPIE, JASON	NEW PERSPECTIVE FUND-A	В	4.05	0.11	0.00	0.11	80.0	0.00
08/17/2017 0	0087267645 GILLESPIE, JASON	SMALLCAP WORLD FUND-A	В	12.14	0.33	0.00	0.33	80.0	0.00
08/17/2017 0	0087267645 GILLESPIE, JASON	SMALLCAP WORLD FUND-A	В	8.10	0.22	0.00	0.22	80.0	0.00
08/17/2017 0	0087267645 GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	В	4.05	0.11	0.00	0.11	80.0	0.00
08/17/2017 0	0087267645 GILLESPIE, JASON	THE BOND FUND OF AMERICA-A	В	2.70	0.07	0.00	0.07	80.0	0.00
08/17/2017 0	0087267645 GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	В	6.08	0.17	0.00	0.17	80.0	0.00
08/17/2017 0	0087267645 GILLESPIE, JASON	THE GROWTH FUND OF AMERICA-A	В	4.05	0.11	0.00	0.11	80.0	0.00
07/24/2017 0	0087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	25.00	0.69	0.00	0.69	80.0	0.00
07/24/2017 0	0087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	25.00	0.69	0.00	0.69	80.0	0.00
07/24/2017 0	0087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	22.20	0.61	0.00	0.61	80.0	0.00
07/24/2017 0	0087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	22.20	0.61	0.00	0.61	80.0	0.00
07/31/2017 0	0087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	25.00	0.69	0.00	0.69	80.0	0.00
07/31/2017 0	0087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	22.20	0.61	0.00	0.61	80.0	0.00
08/07/2017 0	0087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	25.00	0.69	0.00	0.69	80.0	0.00
08/07/2017 0	0087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	18.50	0.51	0.00	0.51	80.0	0.00
08/08/2017 0	0087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	25.00	0.69	0.00	0.69	80.0	0.00
08/08/2017 0	0087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	25.90	0.71	0.00	0.71	80.0	0.00
08/10/2017 0	0087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	25.00	0.69	0.00	0.69	80.0	0.00
08/10/2017 0	0087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	22.20	0.61	0.00	0.61	80.0	0.00
08/17/2017 0	0087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	25.00	0.69	0.00	0.69	80.0	0.00
08/17/2017 0	0087267752 MILLER, DONALD	AMERICAN FUNDS 2035 TARGET DATE-A	В	18.50	0.51	0.00	0.51	80.0	0.00
07/31/2017 0	0088550230 SWANSON,	AMCAP FUND-A	В	100.00	2.75	0.00	2.75	80.0	0.00
07/31/2017 0	0088550230 SWANSON,	AMCAP FUND-A	В	37.50	1.03	0.00	1.03	80.0	0.00
07/31/2017 0	0088550230 SWANSON,	AMERICAN HIGH-INCOME TRUST-A	В	50.00	1.38	0.00	1.38	80.0	0.00
07/31/2017 0	0088550230 SWANSON,	AMERICAN HIGH-INCOME TRUST-A	В	18.75	0.52	0.00	0.52	80.0	0.00
07/31/2017 0	0088550230 SWANSON,	CAPITAL WORLD BOND FUND-A	В	50.00	1.38	0.00	1.38	80.0	0.00
07/31/2017 0	0088550230 SWANSON,	CAPITAL WORLD BOND FUND-A	В	18.75	0.52	0.00	0.52	80.0	0.00
07/31/2017 0	0088550230 SWANSON,	NEW PERSPECTIVE FUND-A	В	100.00	2.75	0.00	2.75	80.0	0.00
07/31/2017 0	0088550230 SWANSON,	NEW PERSPECTIVE FUND-A	В	37.50	1.03	0.00	1.03	80.0	0.00
07/31/2017 0	0088550230 SWANSON,	SMALLCAP WORLD FUND-A	В	125.00	3.44	0.00	3.44	80.0	0.00
07/31/2017 0	0088550230 SWANSON,	SMALLCAP WORLD FUND-A	В	46.87	1.29	0.00	1.29	80.0	0.00
07/31/2017 0	0088550230 SWANSON,	THE GROWTH FUND OF AMERICA-A	В	75.00	2.06	0.00	2.06	80.0	0.00
07/31/2017 0	0088550230 SWANSON,	THE GROWTH FUND OF AMERICA-A	В	28.13	0.77	0.00	0.77	80.0	0.00
08/15/2017 0	0088550230 SWANSON,	AMCAP FUND-A	В	37.50	1.03	0.00	1.03	80.0	0.00
08/15/2017 0	0088550230 SWANSON,	AMCAP FUND-A	В	100.00	2.75	0.00	2.75	80.0	0.00

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKER	TRANS	ACTIONS					
PRODUCT CATEGORY: MUTUAL FUNDS								
08/15/2017 00088550230 SWANSON,	AMERICAN HIGH-INCOME TRUST-A	В	18.75	0.52	0.00	0.52	80.0	0.00
08/15/2017 00088550230 SWANSON,	AMERICAN HIGH-INCOME TRUST-A	В	50.00	1.38	0.00	1.38	80.0	0.00
08/15/2017 00088550230 SWANSON,	CAPITAL WORLD BOND FUND-A	В	18.75	0.52	0.00	0.52	80.0	0.00
08/15/2017 00088550230 SWANSON,	CAPITAL WORLD BOND FUND-A	В	50.00	1.38	0.00	1.38	80.0	0.00
08/15/2017 00088550230 SWANSON,	NEW PERSPECTIVE FUND-A	В	37.50	1.03	0.00	1.03	80.0	0.00
08/15/2017 00088550230 SWANSON,	NEW PERSPECTIVE FUND-A	В	100.00	2.75	0.00	2.75	80.0	0.00
08/15/2017 00088550230 SWANSON,	SMALLCAP WORLD FUND-A	В	125.00	3.44	0.00	3.44	80.0	0.00
08/15/2017 00088550230 SWANSON,	SMALLCAP WORLD FUND-A	В	46.87	1.29	0.00	1.29	80.0	0.00
08/15/2017 00088550230 SWANSON,	THE GROWTH FUND OF AMERICA-A	В	28.13	0.77	0.00	0.77	80.0	0.00
08/15/2017 00088550230 SWANSON,	THE GROWTH FUND OF AMERICA-A	В	75.00	2.06	0.00	2.06	80.0	0.00
08/02/2017 00089401051 EL, JUDITH	AMERICAN FUNDS 2045 TARGET DATE	-А В	808.34	34.35	0.00	34.35	80.0	0.00
08/02/2017 00089401051 EL, JUDITH	AMERICAN FUNDS 2045 TARGET DATE	-А В	161.66	6.87	0.00	6.87	80.0	0.00
08/02/2017 04000164266 STEPHENSON, RYA	N AMERICAN FUNDS 2045 TARGET DATE	-А В	280.00	11.90	0.00	11.90	80.0	0.00
07/31/2017 04000174210 GALLAGHER, AMY	AMERICAN FUNDS 2040 TARGET DATE	-А В	59.00	1.62	0.00	1.62	80.0	0.00
07/31/2017 04000174210 GALLAGHER, AMY	AMERICAN FUNDS 2040 TARGET DATE	-А В	137.81	3.79	0.00	3.79	80.0	0.00
08/15/2017 04000174210 GALLAGHER, AMY	AMERICAN FUNDS 2040 TARGET DATE	-А В	59.00	1.62	0.00	1.62	80.0	0.00
08/15/2017 04000174210 GALLAGHER, AMY	AMERICAN FUNDS 2040 TARGET DATE	-А В	137.81	3.79	0.00	3.79	80.0	0.00
	* MUTUAL FUNDS SUBTO	TAL *	\$5,197.47	\$3,026.56	\$0.00	\$3,026.56		\$2,258.31
PRODUCT CATEGORY: MUTUAL FUND 12B	L TRAILS							
07/31/2017 000000000008159 STREET, 717	FRANKLIN 354713539	В	0.00	104.29	0.00	104.29	80.0	83.43
07/31/2017 000000000008452 STREET, 717	FRANKLIN 354713513	В	0.00	39.68	0.00	39.68	80.0	31.74
07/31/2017 00000000011419 STREET, 717	FRANKLIN 354713513	В	0.00	4.75	0.00	4.75	80.0	3.80
07/31/2017 000000000026308 STREET, 717	FRANKLIN-353538507	В	0.00	60.55	0.00	60.55	80.0	48.44
07/31/2017 000000000036119 STREET, 717	FRANKLIN-353538507	В	0.00	62.86	0.00	62.86	80.0	50.29
07/31/2017 00000000052086 STREET, 717	FRK SM CAP VALUE FND - CL R	В	0.00	33.42	0.00	33.42	80.0	26.74
07/31/2017 00000000001489 STREET, 717	FRANKLIN-353538507	В	0.00	78.01	0.00	78.01	80.0	62.41
07/31/2017 00000000173955 STREET, 717	FRK SM CAP VALUE FND - CL R	В	0.00	71.63	0.00	71.63	80.0	57.30
07/31/2017 000004978553471 STREET, 717	TEMP FRGN FND - CL A	В	0.00	1.85	0.00	1.85	80.0	1.48
07/31/2017 000010185921716 STREET, 717	FRANKLIN U.S. GOVERNMENT SECURI		0.00	6.50	0.00	6.50	80.0	5.20
,	* MUTUAL FUND 12B1 TRAILS SUBTO		\$0.00	\$463.54	\$0.00	\$463.54		\$370.83
	*** BROKER TRANSACTIONS TOTA	T ***	\$5,197.47	\$3,490.10	\$0.00	\$3,490.10		\$2,629.14

09/25/2017 Page No. 10 COMMISSION STATEMENT for ROBERT STRAVINSKI

		COMMISSION STATEMEN	NT for	ROBERT STRAVINS	SKI				
TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		ADJU	JSTME	NTS					
08/31/2017		E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00		-200.00
08/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
08/31/2017		OTHER: OTHER-VIRTRU		0.00	0.00	0.00	0.00		-7.00
08/31/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-5.00
		*** ADJUSTMENTS TOTAL	***	\$0.00	\$0.00	\$0.00	\$0.00		\$-232.00
						Ī	BROKER COMM	SSION	TOTALS
						Broke	r Transactions		\$2,629.14
						Spli	t Transactions		\$0.00
						Overrid	e Transactions		\$0.00
							Adjustments		\$-232.00
							Payroll Draw		\$0.00
							Base Salary		\$0.00
						Prior	Period Balance		\$0.00
						FI	NRA Assessment		\$-4.36
						S	IPC Assessment		\$-8.73
							Check Amount		\$2,384.05
						Year-to	-date Earnings		\$8,719.33