

STATEMENT

January 20, 2018

JOHN DAVENPORT
800 Connecticut Ave
Suite E401
Norwalk, CT 06854

BROKER #: 030
BRANCH: LA BRANCH

COMMISSION STATEMENT for JOHN DAVENPORT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

12/26/2017 # ZIMMERMAN, ALICE	**NUVEEN INVT TR V	S	69,893.46	0.00	20.00	0.00	-20.00	85.2	-17.04
* MUTUAL FUNDS SUBTOTAL *				\$69,893.46	\$0.00	\$20.00	\$0.00	\$-20.00	\$-17.04

PRODUCT CATEGORY: STOCKS

12/11/2017 # CAROLE R ARTMAN	SPDR INDEX SHARES FUNDS SPDR S&P IN S	S	4,502.41	0.00	19.95	0.00	-19.95	85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	CLAYMORE EXCHANGE TRADED FUND	S	163,197.47	0.00	19.95	0.00	-19.95	85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	CLAYMORE EXCHANGE TRADED FUND	S	163,631.97	0.00	19.95	0.00	-19.95	85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	CLAYMORE EXCHANGE-TRADED FD TR	S	164,273.15	0.00	19.95	0.00	-19.95	85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	EGA EMERGING GLOBAL SHS TR EGSHARES S	S	93,563.37	0.00	19.95	0.00	-19.95	85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	GUGGENHEIM BULLETSHARES 2018	S	164,365.96	0.00	19.95	0.00	-19.95	85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	OPPENHEIMER REV WEIGHTED ETF	S	369,985.77	0.00	19.95	0.00	-19.95	85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	OPPENHEIMER REVENUE WEIGHTED	S	142,648.36	0.00	19.95	0.00	-19.95	85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	OPPENHEIMER REVENUE WEIGHTED	S	142,727.92	0.00	19.95	0.00	-19.95	85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	POWERSHARES	S	122,590.88	0.00	19.95	0.00	-19.95	85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	POWERSHARES QQQ TRUST	S	179,773.06	0.00	19.95	0.00	-19.95	85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	VANGUARD INDEX FUNDS	S	120,277.50	0.00	19.95	0.00	-19.95	85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	VANGUARD INTERNATIONAL EQUITY INDEX S	S	125,828.65	0.00	19.95	0.00	-19.95	85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	VANGUARD INTL EQUITY INDEX	S	163,586.88	0.00	19.95	0.00	-19.95	85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	VANGUARD S&P 500 ETF	S	539,168.40	0.00	19.95	0.00	-19.95	85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	VANGUARD TAX-MANAGED FD MSCI EAFE E S	S	373,393.94	0.00	19.95	0.00	-19.95	85.2	-17.00
12/26/2017 # ZIMMERMAN, ALICE	WISDOMTREE TRUST EMERGING MARKETS H S	S	57,016.32	0.00	19.95	0.00	-19.95	85.2	-17.00
* STOCKS SUBTOTAL *				\$3,090,532.01	\$0.00	\$339.15	\$0.00	\$-339.15	\$-289.00

PRODUCT CATEGORY: VARIABLE ANNUITIES

11/28/2017 #317092076 SEDLAK, GERALD	AXA	B	0.00	1,818.14	0.00	0.00	1,818.14	85.2	1,549.06
11/28/2017 #317092076 SEDLAK, GERALD	AXA	B	0.00	1,450.00	0.00	0.00	1,450.00	85.2	1,235.40
11/29/2017 #317092076 SEDLAK, GERALD	AXA	B	0.00	2,496.55	0.00	0.00	2,496.55	85.2	2,127.06
11/30/2017 #1200275344 CASSELS,	PERSP REWARDS	B	548,261.14	114.22	0.00	0.00	114.22	85.2	97.32
11/30/2017 #1200434590 GOLDSMITH, PETER	PERSP REWARDS	B	231,091.02	48.14	0.00	0.00	48.14	85.2	41.02
11/30/2017 #1200286079 SIEGEL, NURIT	PERSP REWARDS	B	313,182.56	65.25	0.00	0.00	65.25	85.2	55.59
11/30/2017 #029017881A FRAIR, JULE	PRPS II (0505)	B	136,051.33	56.69	0.00	0.00	56.69	85.2	48.30
11/30/2017 #029017936A FRAIR, JULE	PRPS II 0505 RP	B	18,109.23	7.55	0.00	0.00	7.55	85.2	6.43

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ADJUSTMENTS

01/20/2018		DEMNL:MANUAL PROCESS FEE (LPFS):								-10.00
		MANUAL PROCESS FEE								
01/20/2018		DEMNL:MANUAL PROCESS FEE (LPFS):								-10.00
		MANUAL PROCESS FEE								
01/20/2018		DEMNL:MANUAL PROCESS FEE (LPFS):								-10.00
		MANUAL PROCESS FEE								
01/20/2018		DEMNL:MANUAL PROCESS FEE (LPFS):								-10.00
		MANUAL PROCESS FEE								
01/20/2018		DEMNL:MANUAL PROCESS FEE (LPFS):								-10.00
		MANUAL PROCESS FEE								
01/20/2018		DEMNL:MANUAL PROCESS FEE (LPFS):								-10.00
		MANUAL PROCESS FEE								
01/20/2018		DEMNL:MANUAL PROCESS FEE (LPFS):								-10.00
		MANUAL PROCESS FEE								
01/20/2018		DEMNL:MANUAL PROCESS FEE (LPFS):								-10.00
		MANUAL PROCESS FEE								
01/20/2018		DEMNL:MANUAL PROCESS FEE (LPFS):								-10.00
		MANUAL PROCESS FEE								
01/20/2018		DEMNL:MANUAL PROCESS FEE (LPFS):								-10.00
		MANUAL PROCESS FEE								
01/20/2018		ADVANCE: ADVANCE LINCOLN								-5,971.00
*** ADJUSTMENTS TOTAL ***										<u>\$-12,586.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$18,426.61
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-12,586.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-2,305.57
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,534.54
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