



COMMISSION STATEMENT

January 15, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/02/2018	***-**-539I - JALLOH, ABDULAI	AMCAP FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00084394270	BUY 2 Units @28.2200						
01/02/2018	***-**-8208 - KARNS, SHANNON	AMCAP FUND-C	19.75	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 04000409714	BUY 1 Units @28.2200						
01/02/2018	***-**-8208 - KARNS, SHANNON	AMCAP FUND-C	600.00	6.00	0.00	6.00	80.0	4.80
	ACCOUNT #: 04000409714	BUY 21 Units @28.2200						
01/02/2018	***-**-4115 - ROWE, BRITNEY	AMCAP FUND-C	35.47	0.35	0.00	0.35	80.0	0.28
	ACCOUNT #: 04000409792	BUY 1 Units @28.2200						
01/02/2018	***-**-4115 - ROWE, BRITNEY	AMCAP FUND-C	3.54	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 04000409792	BUY 0 Units @28.2200						
01/02/2018	***-**-975P - COTTONE, MARIA	AMERICAN BALANCED FUND-A	100.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 00086785798	BUY 4 Units @28.5300						
01/04/2018	***-**-05IN - RANDALL, ADAM	AMERICAN BALANCED FUND-A	100.00	4.25	0.00	4.25	80.0	3.40
	ACCOUNT #: 00085941585	BUY 3 Units @28.8500						
01/02/2018	***-**-984I - DELUCA, SHARI	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00064868315	BUY 2 Units @27.0700						
01/02/2018	***-**-96CL - SCARPULLA,	AMERICAN BALANCED FUND-C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00089045192	BUY 1 Units @27.0700						
01/02/2018	***-**-312S - DISCH, JASMINE	AMERICAN BALANCED FUND-C	26.35	0.26	0.00	0.26	80.0	0.21
	ACCOUNT #: 00089583477	BUY 1 Units @27.0700						
01/02/2018	***-**-255U - LIPPA, HARITINI	AMERICAN BALANCED FUND-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000385806	BUY 2 Units @27.0700						
01/02/2018	***-**-6781 - KARNS, DANIELLE	AMERICAN MUTUAL FUND-C	4.15	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 04000409710	BUY 0 Units @40.4900						
01/02/2018	***-**-6781 - KARNS, DANIELLE	AMERICAN MUTUAL FUND-C	31.17	0.31	0.00	0.31	80.0	0.25
	ACCOUNT #: 04000409710	BUY 1 Units @40.4900						
01/02/2018	***-**-975P - COTTONE, MARIA	CAPITAL INCOME BUILDER-A	100.00	3.75	0.00	3.75	80.0	3.00
	ACCOUNT #: 00086785798	BUY 2 Units @66.0200						
01/02/2018	***-**-048I - DEAN, MARY	CAPITAL WORLD GROWTH AND INCOME FUN	500.00	5.00	0.00	5.00	80.0	4.00
	ACCOUNT #: 00065085393	BUY 10 Units @51.1400						
01/02/2018	***-**-96CL - SCARPULLA,	CAPITAL WORLD GROWTH AND INCOME FUN	15.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 00089045192	BUY 0 Units @51.1400						



COMMISSION STATEMENT

January 15, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
01/03/2018	***-**-625P - CENTOLA, ANITA	CAPITAL WORLD GROWTH AND INCOME FUN	40.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00074256566	BUY 1 Units @51.3900						
01/04/2018	***-**-05IN - RANDALL, ADAM	CAPITAL WORLD GROWTH AND INCOME FUN	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 00085941585	BUY 2 Units @51.5800						
01/02/2018	***-**-6171 - ROWE, KEVIN	FUNDAMENTAL INVESTORS-C	66.25	0.66	0.00	0.66	80.0	0.53
	ACCOUNT #: 04000409801	BUY 1 Units @62.4900						
01/02/2018	***-**-6171 - ROWE, KEVIN	FUNDAMENTAL INVESTORS-C	600.00	6.00	0.00	6.00	80.0	4.80
	ACCOUNT #: 04000409801	BUY 10 Units @62.4900						
01/02/2018	***-**-312S - DISCH, JASMINE	INVESTMENT COMPANY OF AMERICA CLASS	25.58	0.26	0.00	0.26	80.0	0.21
	ACCOUNT #: 00089583477	BUY 1 Units @40.3400						
01/02/2018	***-**-3727 - D'ANGELO, ANDREW	INVESTMENT COMPANY OF AMERICA CLASS	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 04000409699	BUY 1 Units @40.3400						
01/02/2018	***-**-3727 - D'ANGELO, ANDREW	INVESTMENT COMPANY OF AMERICA CLASS	15.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 04000409699	BUY 0 Units @40.3400						
01/02/2018	***-**-6781 - KARNS, DANIELLE	INVESTMENT COMPANY OF AMERICA CLASS	31.18	0.31	0.00	0.31	80.0	0.25
	ACCOUNT #: 04000409710	BUY 1 Units @40.3400						
01/02/2018	***-**-6781 - KARNS, DANIELLE	INVESTMENT COMPANY OF AMERICA CLASS	4.16	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 04000409710	BUY 0 Units @40.3400						
01/02/2018	***-**-4115 - ROWE, BRITTNEY	INVESTMENT COMPANY OF AMERICA CLASS	35.47	0.35	0.00	0.35	80.0	0.28
	ACCOUNT #: 04000409792	BUY 1 Units @40.3400						
01/02/2018	***-**-4115 - ROWE, BRITTNEY	INVESTMENT COMPANY OF AMERICA CLASS	3.55	0.04	0.00	0.04	80.0	0.03
	ACCOUNT #: 04000409792	BUY 0 Units @40.3400						
01/02/2018	***-**-1955 - MARCELLUS, JAMES	NEW PERSPECTIVE FUND-C	28.85	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 04000409718	BUY 1 Units @41.9400						
01/02/2018	***-**-1955 - MARCELLUS, JAMES	NEW PERSPECTIVE FUND-C	62.50	0.63	0.00	0.63	80.0	0.50
	ACCOUNT #: 04000409718	BUY 1 Units @41.9400						
01/02/2018	***-**-9004 - MARCELLUS,	NEW PERSPECTIVE FUND-C	15.15	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 04000409785	BUY 0 Units @41.9400						
01/02/2018	***-**-9004 - MARCELLUS,	NEW PERSPECTIVE FUND-C	125.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 04000409785	BUY 3 Units @41.9400						
01/04/2018	***-**-05IN - RANDALL, ADAM	NEW PERSPECTIVE FUND-C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 00085941585	BUY 2 Units @42.5100						



COMMISSION STATEMENT

January 15, 2018

FREDERIC SCARPULLA
 400 West Metro Park
 Rochester, NY 14623

BROKER #: 417
 BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</u>								
01/02/2018	***-**-42IR - ADAMS, GRACE	NEW WORLD FUND-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00073505114	BUY 1 Units @71.8900						
01/04/2018	***-**-05IN - RANDALL, ADAM	NEW WORLD FUND-C	100.00	1.00	0.00	1.00	80.0	0.80
	ACCOUNT #: 00085941585	BUY 2 Units @66.1800						
01/08/2018	***-**-20IN - GIANGRECO, TERRY	ROCH. LIMITED TERM NEW YORK MUNICIP	10,003.00	50.01	3.00	47.01	80.0	37.61
	ACCOUNT #: LSR010603	BUY 3611 Units @2.7700						
01/03/2018	***-**-625P - CENTOLA, ANITA	THE BOND FUND OF AMERICA-C	20.00	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 00074256566	BUY 2 Units @12.8700						
01/02/2018	***-**-6375 - MARCELLUS,	THE GROWTH FUND OF AMERICA-C	30.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 04000598159	BUY 1 Units @46.5200						
01/02/2018	***-**-6375 - MARCELLUS,	THE GROWTH FUND OF AMERICA-C	125.00	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 04000598159	BUY 3 Units @46.5200						
01/02/2018	***-**-984I - DELUCA, SHARI	THE GROWTH FUND OF AMERICA-C	50.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 00064868315	BUY 1 Units @46.5200						
01/02/2018	***-**-96CL - SCARPULLA,	THE GROWTH FUND OF AMERICA-C	15.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 00089045192	BUY 0 Units @46.5200						
01/02/2018	***-**-312S - DISCH, JASMINE	THE GROWTH FUND OF AMERICA-C	25.58	0.26	0.00	0.26	80.0	0.21
	ACCOUNT #: 00089583477	BUY 1 Units @46.5200						
01/02/2018	***-**-3727 - D'ANGELO, ANDREW	THE GROWTH FUND OF AMERICA-C	25.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 04000409699	BUY 1 Units @46.5200						
01/02/2018	***-**-3727 - D'ANGELO, ANDREW	THE GROWTH FUND OF AMERICA-C	15.00	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 04000409699	BUY 0 Units @46.5200						
01/02/2018	***-**-8208 - KARNS, SHANNON	THE GROWTH FUND OF AMERICA-C	19.75	0.20	0.00	0.20	80.0	0.16
	ACCOUNT #: 04000409714	BUY 0 Units @46.5200						
01/02/2018	***-**-8208 - KARNS, SHANNON	THE GROWTH FUND OF AMERICA-C	600.00	6.00	0.00	6.00	80.0	4.80
	ACCOUNT #: 04000409714	BUY 13 Units @46.5200						
01/02/2018	***-**-1955 - MARCELLUS, JAMES	THE GROWTH FUND OF AMERICA-C	28.85	0.29	0.00	0.29	80.0	0.23
	ACCOUNT #: 04000409718	BUY 1 Units @46.5200						
01/02/2018	***-**-1955 - MARCELLUS, JAMES	THE GROWTH FUND OF AMERICA-C	62.50	0.63	0.00	0.63	80.0	0.50
	ACCOUNT #: 04000409718	BUY 1 Units @46.5200						
01/02/2018	***-**-9004 - MARCELLUS,	THE GROWTH FUND OF AMERICA-C	15.14	0.15	0.00	0.15	80.0	0.12
	ACCOUNT #: 04000409785	BUY 0 Units @46.5200						



COMMISSION STATEMENT

January 15, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
01/02/2018	***-**-9004 - MARCELLUS, ACCOUNT #: 04000409785	THE GROWTH FUND OF AMERICA-C BUY 3 Units @46.5200	125.00	1.25	0.00	1.25	80.0	1.00
01/02/2018	***-**-6171 - ROWE, KEVIN ACCOUNT #: 04000409801	THE GROWTH FUND OF AMERICA-C BUY 1 Units @46.5200	66.25	0.66	0.00	0.66	80.0	0.53
01/02/2018	***-**-6171 - ROWE, KEVIN ACCOUNT #: 04000409801	THE GROWTH FUND OF AMERICA-C BUY 13 Units @46.5200	600.00	6.00	0.00	6.00	80.0	4.80
01/04/2018	***-**-05IN - RANDALL, ADAM ACCOUNT #: 00085941585	THE GROWTH FUND OF AMERICA-C BUY 2 Units @47.0800	100.00	1.00	0.00	1.00	80.0	0.80
01/02/2018	***-**-545P - VISCA, ANGELO ACCOUNT #: 00063056262	THE INVESTMENT COMPANY OF AMERICA-A BUY 2 Units @42.2400	100.00	2.75	0.00	2.75	80.0	2.20
01/02/2018	***-**-9024 - HACKETT, ETHAN ACCOUNT #: 00088123299	THE NEW ECONOMY FUND-C BUY 1 Units @40.8900	25.00	0.25	0.00	0.25	80.0	0.20
01/02/2018	***-**-935J - RUSH, DONNA ACCOUNT #: 00085296459	THE TAX-EXEMPT BOND FUND OF AMERICA BUY 4 Units @13.0400	50.00	0.50	0.00	0.50	80.0	0.40
01/02/2018	***-**-44UG - GUERRIERI, ACCOUNT #: 00085399145	THE TAX-EXEMPT BOND FUND OF AMERICA BUY 19 Units @13.0400	250.00	2.50	0.00	2.50	80.0	2.00
01/08/2018	***-**-20IN - GIANGRECO, TERRY ACCOUNT #: LSR010603	THE TAX-EXEMPT BOND FUND OF AMERICA BUY 768 Units @13.0300	10,003.00	100.03	3.00	97.03	80.0	77.62
01/02/2018	***-**-545P - VISCA, ANGELO ACCOUNT #: 00063056262	WASHINGTON MUTUAL INVESTORS FUND-A BUY 1 Units @47.5900	50.00	1.38	0.00	1.38	80.0	1.10
01/02/2018	***-**-984I - DELUCA, SHARI ACCOUNT #: 00064868315	WASHINGTON MUTUAL INVESTORS FUND-C BUY 1 Units @45.3400	50.00	0.50	0.00	0.50	80.0	0.40
01/03/2018	***-**-625P - CENTOLA, ANITA ACCOUNT #: 00074256566	WASHINGTON MUTUAL INVESTORS FUND-C BUY 1 Units @45.5100	35.00	0.35	0.00	0.35	80.0	0.28
* MUTUAL FUNDS SUBTOTAL *			\$25,622.19	\$219.59	\$6.00	\$213.59		\$170.86
PRODUCT CATEGORY: 529 PLAN								
01/02/2018	***-**-5899 - ARBORE, CARL ACCOUNT #: 00084503660	AMERICAN BALANCED FUND-529C BUY 7 Units @27.2000	200.00	2.00	0.00	2.00	80.0	1.60
01/02/2018	***-**-7255 - LIPPA, HARITINI ACCOUNT #: 00087198121	AMERICAN BALANCED FUND-529C BUY 2 Units @27.2000	50.00	0.50	0.00	0.50	80.0	0.40
01/02/2018	***-**-7255 - LIPPA, HARITINI ACCOUNT #: 00087198137	AMERICAN BALANCED FUND-529C BUY 2 Units @27.2000	50.00	0.50	0.00	0.50	80.0	0.40



COMMISSION STATEMENT

January 15, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: 529 PLAN (continued)								
01/15/2018	***-**-503J - OBI, JEAN	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	2.29	0.00	2.29	80.0	1.83
01/15/2018	***-**-015P - FANTAUZZO, ACCOUNT #: 77529133201	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	1.30	0.00	1.30	80.0	1.04
01/15/2018	***-**-335P - JULIAN, GIOVANA ACCOUNT #: 77613466001	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	3.36	0.00	3.36	80.0	2.69
01/15/2018	***-**-80IN - MOYER, COLLEEN ACCOUNT #: 77705588101	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	13.40	0.00	13.40	80.0	10.72
01/15/2018	***-**-2942 - WARNEY, KENNETH ACCOUNT #: 77932712101	NEW YORK 529 TRAILS BUY 0 Units @0.0000	0.00	0.30	0.00	0.30	80.0	0.24
01/02/2018	***-**-315P - ARBORE, JENNIFER ACCOUNT #: 00081613426	THE GROWTH FUND OF AMERICA-529C BUY 3 Units @46.6700	150.00	1.50	0.00	1.50	80.0	1.20
* 529 PLAN SUBTOTAL *			\$450.00	\$25.15	\$0.00	\$25.15		\$20.12
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
12/31/2017	***-**-765P - CRISPINO/, DAVID ACCOUNT #: 03601040820	AMT-FREE NEW YORK MUNICIPALS A BUY 0 Units @0.0000	0.00	25.32	0.00	25.32	80.0	20.26
12/31/2017	***-**-765P - CRISPINO/, DAVID ACCOUNT #: 03601040820	AMT-FREE NEW YORK MUNICIPALS A BUY 0 Units @0.0000	0.00	382.79	0.00	382.79	80.0	306.23
12/31/2017	***-**-225P - LILL, DONNA ACCOUNT #: 02704578761	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	0.53	0.00	0.53	80.0	0.42
12/31/2017	***-**-6603 - JOHNSON, TERRY ACCOUNT #: 02705352545	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	20.51	0.00	20.51	80.0	16.41
12/31/2017	***-**-1834 - BUTLER, KIM ACCOUNT #: 02705352556	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	13.69	0.00	13.69	80.0	10.95
12/31/2017	***-**-3502 - CHUDYK, LUCIANA ACCOUNT #: 02705763802	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	10.77	0.00	10.77	80.0	8.62
12/31/2017	***-**-1346 - WEEKS S R ACCOUNT #: 02705801521	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	5.94	0.00	5.94	80.0	4.75
12/31/2017	***-**-225P - LILL, DONNA ACCOUNT #: 03201808213	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	42.04	0.00	42.04	80.0	33.63
12/31/2017	***-**-502I - CHUDYK, LUCIANA ACCOUNT #: 03205462138	CAPITAL APPRECIATION A BUY 0 Units @0.0000	0.00	0.58	0.00	0.58	80.0	0.46



COMMISSION STATEMENT

January 15, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/31/2017	***-**-8379 - ZLOTNICK, MAR	CAPITAL APPRECIATION A	0.00	17.26	0.00	17.26	80.0	13.81
	ACCOUNT #: 03207391131	BUY 0 Units @0.0000						
12/31/2017	***-**-206I - SANDERS, DAVID	CAPITAL APPRECIATION A	0.00	0.07	0.00	0.07	80.0	0.06
	ACCOUNT #: 03208239693	BUY 0 Units @0.0000						
12/31/2017	***-**-772I - KERHAERT,	CAPITAL APPRECIATION A	0.00	10.28	0.00	10.28	80.0	8.22
	ACCOUNT #: 03208526705	BUY 0 Units @0.0000						
12/31/2017	***-**-206I - SANDERS, DAVID	CAPITAL APPRECIATION A	0.00	6.84	0.00	6.84	80.0	5.47
	ACCOUNT #: 03208526826	BUY 0 Units @0.0000						
12/31/2017	***-**-919I - WEST, HOLLY	CAPITAL APPRECIATION A	0.00	7.36	0.00	7.36	80.0	5.89
	ACCOUNT #: 03210593572	BUY 0 Units @0.0000						
12/31/2017	***-**-7330 - JOHNSON, DONALD	CAPITAL APPRECIATION A	0.00	6.07	0.00	6.07	80.0	4.86
	ACCOUNT #: 03210769528	BUY 0 Units @0.0000						
12/31/2017	***-**-7102 - FIGUEROA, CAM	CAPITAL APPRECIATION A	0.00	12.12	0.00	12.12	80.0	9.70
	ACCOUNT #: 03210829423	BUY 0 Units @0.0000						
12/31/2017	***-**-729I - GIAMBRONE, KELLY	CAPITAL APPRECIATION A	0.00	8.00	0.00	8.00	80.0	6.40
	ACCOUNT #: 03211530607	BUY 0 Units @0.0000						
12/31/2017	***-**-6603 - JOHNSON, TERRY	CAPITAL APPRECIATION B	0.00	0.98	0.00	0.98	80.0	0.78
	ACCOUNT #: 02710316008	BUY 0 Units @0.0000						
12/31/2017	***-**-1834 - BUTLER, KIM	CAPITAL APPRECIATION B	0.00	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 02710316019	BUY 0 Units @0.0000						
12/31/2017	***-**-3502 - CHUDYK, LUCIANA	CAPITAL APPRECIATION B	0.00	0.75	0.00	0.75	80.0	0.60
	ACCOUNT #: 02710694155	BUY 0 Units @0.0000						
12/31/2017	***-**-1346 - WEEKS S R	CAPITAL APPRECIATION B	0.00	0.14	0.00	0.14	80.0	0.11
	ACCOUNT #: 02712169299	BUY 0 Units @0.0000						
12/31/2017	***-**-7330 - JOHNSON, DONALD	CAPITAL APPRECIATION B	0.00	1.33	0.00	1.33	80.0	1.06
	ACCOUNT #: 03210042801	BUY 0 Units @0.0000						
12/31/2017	***-**-225P - LILL, DONNA	CAPITAL APPRECIATION B	0.00	2.69	0.00	2.69	80.0	2.15
	ACCOUNT #: 03212039323	BUY 0 Units @0.0000						
12/31/2017	***-**-195P - WEST, HOLLY	CAPITAL APPRECIATION B	0.00	0.73	0.00	0.73	80.0	0.58
	ACCOUNT #: 03215115066	BUY 0 Units @0.0000						
12/31/2017	***-**-772I - KERHAERT,	CAPITAL APPRECIATION B	0.00	0.26	0.00	0.26	80.0	0.21
	ACCOUNT #: 03215557673	BUY 0 Units @0.0000						



COMMISSION STATEMENT

January 15, 2018

FREDERIC SCARPULLA
 400 West Metro Park
 Rochester, NY 14623

BROKER #: 417
 BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
12/31/2017	***-**-206I - SANDERS, DAVID	CAPITAL APPRECIATION B	0.00	0.27	0.00	0.27	80.0	0.22
	ACCOUNT #: 03215557739	BUY 0 Units @0.0000						
12/31/2017	***-**-293I - LIPPA, PAUL	CAPITAL APPRECIATION C	0.00	49.88	0.00	49.88	80.0	39.90
	ACCOUNT #: 03220151525	BUY 0 Units @0.0000						
12/31/2017	***-**-69UG - ATWOOD, CULLEN K	CAPITAL APPRECIATION C	0.00	7.65	0.00	7.65	80.0	6.12
	ACCOUNT #: 03220369127	BUY 0 Units @0.0000						
12/31/2017	***-**-97UG - ATWOOD, AUSTIN M	CAPITAL APPRECIATION C	0.00	7.65	0.00	7.65	80.0	6.12
	ACCOUNT #: 03220369138	BUY 0 Units @0.0000						
12/31/2017	***-**-7330 - JOHNSON, DONALD	CAPITAL APPRECIATION C	0.00	23.05	0.00	23.05	80.0	18.44
	ACCOUNT #: 03220387068	BUY 0 Units @0.0000						
12/31/2017	***-**-37IR - COTTONE, CHARLES	CAPITAL APPRECIATION C	0.00	40.14	0.00	40.14	80.0	32.11
	ACCOUNT #: 03220434863	BUY 0 Units @0.0000						
12/31/2017	***-**-157I - TROINA, ANTHONY	CAPITAL APPRECIATION C	0.00	150.59	0.00	150.59	80.0	120.47
	ACCOUNT #: 03221853368	BUY 0 Units @0.0000						
12/31/2017	***-**-772I - KERHAERT,	CAPITAL APPRECIATION C	0.00	10.54	0.00	10.54	80.0	8.43
	ACCOUNT #: 03222178044	BUY 0 Units @0.0000						
12/31/2017	***-**-9206 - SANDER, DAVID	CAPITAL APPRECIATION C	0.00	11.15	0.00	11.15	80.0	8.92
	ACCOUNT #: 03222178055	BUY 0 Units @0.0000						
12/31/2017	***-**-6603 - JOHNSON, TERRY	CAPITAL APPRECIATION C	0.00	20.68	0.00	20.68	80.0	16.54
	ACCOUNT #: 03222192157	BUY 0 Units @0.0000						
12/31/2017	***-**-1834 - BUTLER, KIM	CAPITAL APPRECIATION C	0.00	12.58	0.00	12.58	80.0	10.06
	ACCOUNT #: 03222200176	BUY 0 Units @0.0000						
12/31/2017	***-**-729I - GIAMBRONE, KELLY	CAPITAL APPRECIATION C	0.00	9.94	0.00	9.94	80.0	7.95
	ACCOUNT #: 03222325444	BUY 0 Units @0.0000						
12/31/2017	***-**-1346 - WEEKS S R	CAPITAL APPRECIATION C	0.00	24.11	0.00	24.11	80.0	19.29
	ACCOUNT #: 03222329184	BUY 0 Units @0.0000						
12/31/2017	***-**-6056 - PRICE, DEBORAH	CAPITAL INCOME A	0.00	5.29	0.00	5.29	80.0	4.23
	ACCOUNT #: 03007578725	BUY 0 Units @0.0000						
12/31/2017	***-**-1346 - WEEKS S R	DISCOVERY FUND B	0.00	0.13	0.00	0.13	80.0	0.10
	ACCOUNT #: 05011664641	BUY 0 Units @0.0000						
12/31/2017	***-**-432I - BORDEN, ROBERT	DISCOVERY FUND C	0.00	3.56	0.00	3.56	80.0	2.85
	ACCOUNT #: 05020305173	BUY 0 Units @0.0000						



COMMISSION STATEMENT

January 15, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/31/2017	***-**-110I - SAENZ, KATIA	DISCOVERY FUND C	0.00	19.71	0.00	19.71	80.0	15.77
	ACCOUNT #: 07470796554	BUY 0 Units @0.0000						
12/31/2017	***-**-01UG - DIGIOVANNI,	EQUITY INCOME FUND, INC. A	0.00	6.86	0.00	6.86	80.0	5.49
	ACCOUNT #: 00401973590	BUY 0 Units @0.0000						
12/31/2017	***-**-88UG - DIGIOVANNI,	EQUITY INCOME FUND, INC. A	0.00	6.86	0.00	6.86	80.0	5.49
	ACCOUNT #: 00401973660	BUY 0 Units @0.0000						
12/31/2017	***-**-450J - DIGIOVANNI,	EQUITY INCOME FUND, INC. A	0.00	8.16	0.00	8.16	80.0	6.53
	ACCOUNT #: 00402352440	BUY 0 Units @0.0000						
12/31/2017	***-**-264I - SIDOTI, LAURA	EQUITY INCOME FUND, INC. A	0.00	4.55	0.00	4.55	80.0	3.64
	ACCOUNT #: 02400798182	BUY 0 Units @0.0000						
12/31/2017	***-**-11IN - ROBERTS NEEDS	EQUITY INCOME FUND, INC. A	0.00	26.05	0.00	26.05	80.0	20.84
	ACCOUNT #: 02401512863	BUY 0 Units @0.0000						
12/31/2017	***-**-509I - ARBORE,	EQUITY INCOME FUND, INC. A	0.00	26.47	0.00	26.47	80.0	21.18
	ACCOUNT #: 02401570261	BUY 0 Units @0.0000						
12/31/2017	***-**-919I - WEST, HOLLY	EQUITY INCOME FUND, INC. A	0.00	6.54	0.00	6.54	80.0	5.23
	ACCOUNT #: 02401588994	BUY 0 Units @0.0000						
12/31/2017	***-**-729I - GIAMBRONE, KELLY	EQUITY INCOME FUND, INC. A	0.00	10.91	0.00	10.91	80.0	8.73
	ACCOUNT #: 08352628747	BUY 0 Units @0.0000						
12/31/2017	***-**-805P - CONNORS, DOROTHY	EQUITY INCOME FUND, INC. A	0.00	2.20	0.00	2.20	80.0	1.76
	ACCOUNT #: 08353199856	BUY 0 Units @0.0000						
12/31/2017	***-**-85TR - CONNORS,	EQUITY INCOME FUND, INC. A	0.00	2.20	0.00	2.20	80.0	1.76
	ACCOUNT #: 08353199878	BUY 0 Units @0.0000						
12/31/2017	***-**-6188 - ROBERTS,	EQUITY INCOME FUND, INC. A	0.00	0.79	0.00	0.79	80.0	0.63
	ACCOUNT #: 08353215333	BUY 0 Units @0.0000						
12/31/2017	***-**-195P - WEST, HOLLY	EQUITY INCOME FUND, INC. B	0.00	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 02410301037	BUY 0 Units @0.0000						
12/31/2017	***-**-6188 - ROBERTS,	EQUITY INCOME FUND, INC. B	0.00	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: 08360575982	BUY 0 Units @0.0000						
12/31/2017	***-**-3432 - BORDEN, ROBERT	EQUITY INCOME FUND, INC. C	0.00	1.61	0.00	1.61	80.0	1.29
	ACCOUNT #: 08370550550	BUY 0 Units @0.0000						
12/31/2017	***-**-729I - GIAMBRONE, KELLY	EQUITY INCOME FUND, INC. C	0.00	12.00	0.00	12.00	80.0	9.60
	ACCOUNT #: 08370596090	BUY 0 Units @0.0000						



COMMISSION STATEMENT

January 15, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/31/2017	***-**-6188 - ROBERTS, ACCOUNT #: 08370627385	EQUITY INCOME FUND, INC. C BUY 0 Units @0.0000	0.00	11.31	0.00	11.31	80.0	9.05
12/31/2017	***-**-502I - CHUDYK, LUCIANA ACCOUNT #: 03300288917	GLOBAL FUND A BUY 0 Units @0.0000	0.00	8.35	0.00	8.35	80.0	6.68
12/31/2017	***-**-8379 - ZLOTNICK, MAR ACCOUNT #: 03302795025	GLOBAL FUND A BUY 0 Units @0.0000	0.00	21.97	0.00	21.97	80.0	17.58
12/31/2017	***-**-8776 - LEVINE, TODD ACCOUNT #: 03302846910	GLOBAL FUND A BUY 0 Units @0.0000	0.00	50.03	0.00	50.03	80.0	40.02
12/31/2017	***-**-225P - LILL, DONNA ACCOUNT #: 03305836226	GLOBAL FUND A BUY 0 Units @0.0000	0.00	17.13	0.00	17.13	80.0	13.70
12/31/2017	***-**-7102 - FIGUEROA, CAM ACCOUNT #: 03306670149	GLOBAL FUND A BUY 0 Units @0.0000	0.00	15.06	0.00	15.06	80.0	12.05
12/31/2017	***-**-264I - SIDOTI, LAURA ACCOUNT #: 03309004237	GLOBAL FUND A BUY 0 Units @0.0000	0.00	4.05	0.00	4.05	80.0	3.24
12/31/2017	***-**-6603 - JOHNSON, TERRY ACCOUNT #: 03309133960	GLOBAL FUND A BUY 0 Units @0.0000	0.00	35.73	0.00	35.73	80.0	28.58
12/31/2017	***-**-6603 - JOHNSON, TERRY ACCOUNT #: 03311085766	GLOBAL FUND B BUY 0 Units @0.0000	0.00	0.90	0.00	0.90	80.0	0.72
12/31/2017	***-**-225P - LILL, DONNA ACCOUNT #: 03313024164	GLOBAL FUND B BUY 0 Units @0.0000	0.00	0.83	0.00	0.83	80.0	0.66
12/31/2017	***-**-5378 - AGUILA, NANCY ACCOUNT #: 02152697871	GLOBAL OPPORTUNITIES A BUY 0 Units @0.0000	0.00	96.58	0.00	96.58	80.0	77.26
12/31/2017	***-**-206I - SANDERS, DAVID ACCOUNT #: 02155093319	GLOBAL OPPORTUNITIES A BUY 0 Units @0.0000	0.00	7.46	0.00	7.46	80.0	5.97
12/31/2017	***-**-5378 - AGUILA, NANCY ACCOUNT #: 02160066528	GLOBAL OPPORTUNITIES B BUY 0 Units @0.0000	0.00	0.55	0.00	0.55	80.0	0.44
12/31/2017	***-**-206I - SANDERS, DAVID ACCOUNT #: 02163095642	GLOBAL OPPORTUNITIES B BUY 0 Units @0.0000	0.00	0.39	0.00	0.39	80.0	0.31
12/31/2017	***-**-110I - SAENZ, KATIA ACCOUNT #: 02171397616	GLOBAL OPPORTUNITIES FUND C BUY 0 Units @0.0000	0.00	26.38	0.00	26.38	80.0	21.10
12/31/2017	***-**-9206 - SANDER, DAVID ACCOUNT #: 02171629936	GLOBAL OPPORTUNITIES FUND C BUY 0 Units @0.0000	0.00	14.57	0.00	14.57	80.0	11.66



COMMISSION STATEMENT

January 15, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
12/31/2017	***-**-90FB - DIODATO, CAROL	GLOBAL OPPORTUNITIES FUND C	0.00	4.71	0.00	4.71	80.0	3.77
	ACCOUNT #: 02171777391	BUY 0 Units @0.0000						
12/31/2017	***-**-7330 - JOHNSON, DONALD	GLOBAL OPPORTUNITIES FUND C	0.00	47.59	0.00	47.59	80.0	38.07
	ACCOUNT #: 02171798599	BUY 0 Units @0.0000						
12/31/2017	***-**-2620 - CUSIMANO, MEGAN	GLOBAL OPPORTUNITIES FUND C	0.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 02171800557	BUY 0 Units @0.0000						
12/31/2017	***-**-9530 - MILAM, IAN	GLOBAL OPPORTUNITIES FUND C	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 02171852708	BUY 0 Units @0.0000						
12/31/2017	***-**-729I - GIAMBRONE, KELLY	GLOBAL OPPORTUNITIES FUND C	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 02171875445	BUY 0 Units @0.0000						
12/31/2017	***-**-509I - ARBORE,	GOLD & SPECIAL MINERALS A	0.00	3.66	0.00	3.66	80.0	2.93
	ACCOUNT #: 04103927770	BUY 0 Units @0.0000						
12/31/2017	***-**-37IN - COTTONE, CHARLES	LIMITED TERM GOVERNMENT A	0.00	2.01	0.00	2.01	80.0	1.61
	ACCOUNT #: 08551660824	BUY 0 Units @0.0000						
12/31/2017	***-**-502I - CHUDYK, LUCIANA	LIMITED TERM GOVERNMENT A	0.00	0.54	0.00	0.54	80.0	0.43
	ACCOUNT #: 08552066097	BUY 0 Units @0.0000						
12/31/2017	***-**-736I - TORNATORE,	MAIN STREET FUND A	0.00	80.59	0.00	80.59	80.0	64.47
	ACCOUNT #: 04204204530	BUY 0 Units @0.0000						
12/31/2017	***-**-206I - SANDERS, DAVID	MAIN STREET FUND A	0.00	0.08	0.00	0.08	80.0	0.06
	ACCOUNT #: 07002254530	BUY 0 Units @0.0000						
12/31/2017	***-**-206I - SANDERS, DAVID	MAIN STREET FUND A	0.00	6.31	0.00	6.31	80.0	5.05
	ACCOUNT #: 07002573849	BUY 0 Units @0.0000						
12/31/2017	***-**-072I - SMITH, DEBORAH	MAIN STREET FUND A	0.00	57.72	0.00	57.72	80.0	46.18
	ACCOUNT #: 07002573882	BUY 0 Units @0.0000						
12/31/2017	***-**-446I - TROBIA, FRANK	MAIN STREET FUND A	0.00	31.39	0.00	31.39	80.0	25.11
	ACCOUNT #: 07005158191	BUY 0 Units @0.0000						
12/31/2017	***-**-264I - SIDOTI, LAURA	MAIN STREET FUND A	0.00	11.51	0.00	11.51	80.0	9.21
	ACCOUNT #: 07005274219	BUY 0 Units @0.0000						
12/31/2017	***-**-3526 - STONE, LINDA	MAIN STREET FUND A	0.00	1.12	0.00	1.12	80.0	0.90
	ACCOUNT #: 07005481380	BUY 0 Units @0.0000						
12/31/2017	***-**-6603 - JOHNSON, TERRY	MAIN STREET FUND A	0.00	27.50	0.00	27.50	80.0	22.00
	ACCOUNT #: 07005548460	BUY 0 Units @0.0000						



COMMISSION STATEMENT

January 15, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/31/2017	***-**-7072 - SMITH, DEBBIE	MAIN STREET FUND A	0.00	0.25	0.00	0.25	80.0	0.20
	ACCOUNT #: 07005555335	BUY 0 Units @0.0000						
12/31/2017	***-**-729I - GIAMBRONE, KELLY	MAIN STREET FUND A	0.00	13.09	0.00	13.09	80.0	10.47
	ACCOUNT #: 07005773144	BUY 0 Units @0.0000						
12/31/2017	***-**-781I - NAVAS, MICHAEL	MAIN STREET FUND A	0.00	0.54	0.00	0.54	80.0	0.43
	ACCOUNT #: 07006127212	BUY 0 Units @0.0000						
12/31/2017	***-**-1346 - WEEKS S R	MAIN STREET FUND A	0.00	8.06	0.00	8.06	80.0	6.45
	ACCOUNT #: 07007936483	BUY 0 Units @0.0000						
12/31/2017	***-**-175I - BLEIER, WESLEY	MAIN STREET FUND A	0.00	16.92	0.00	16.92	80.0	13.54
	ACCOUNT #: 07009521154	BUY 0 Units @0.0000						
12/31/2017	***-**-6603 - JOHNSON, TERRY	MAIN STREET FUND B	0.00	1.02	0.00	1.02	80.0	0.82
	ACCOUNT #: 07012971958	BUY 0 Units @0.0000						
12/31/2017	***-**-1346 - WEEKS S R	MAIN STREET FUND B	0.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 07014822493	BUY 0 Units @0.0000						
12/31/2017	***-**-206I - SANDERS, DAVID	MAIN STREET FUND B	0.00	0.32	0.00	0.32	80.0	0.26
	ACCOUNT #: 07015737231	BUY 0 Units @0.0000						
12/31/2017	***-**-4980 - MOYER, COLLEEN	MAIN STREET FUND C	0.00	0.30	0.00	0.30	80.0	0.24
	ACCOUNT #: 07024431823	BUY 0 Units @0.0000						
12/31/2017	***-**-435P - BOSCO, SOFIA	MAIN STREET FUND C	0.00	57.47	0.00	57.47	80.0	45.98
	ACCOUNT #: 07021348457	BUY 0 Units @0.0000						
12/31/2017	***-**-084I - ESPOSITO,	MAIN STREET FUND C	0.00	45.94	0.00	45.94	80.0	36.75
	ACCOUNT #: 07023120326	BUY 0 Units @0.0000						
12/31/2017	***-**-110I - SAENZ, KATIA	MAIN STREET FUND C	0.00	17.90	0.00	17.90	80.0	14.32
	ACCOUNT #: 07023933798	BUY 0 Units @0.0000						
12/31/2017	***-**-9206 - SANDER, DAVID	MAIN STREET FUND C	0.00	11.51	0.00	11.51	80.0	9.21
	ACCOUNT #: 07024170947	BUY 0 Units @0.0000						
12/31/2017	***-**-6603 - JOHNSON, TERRY	MAIN STREET FUND C	0.00	20.58	0.00	20.58	80.0	16.46
	ACCOUNT #: 07024184114	BUY 0 Units @0.0000						
12/31/2017	***-**-729I - GIAMBRONE, KELLY	MAIN STREET FUND C	0.00	10.17	0.00	10.17	80.0	8.14
	ACCOUNT #: 07024326366	BUY 0 Units @0.0000						
12/31/2017	***-**-1346 - WEEKS S R	MAIN STREET FUND C	0.00	25.26	0.00	25.26	80.0	20.21
	ACCOUNT #: 07024329974	BUY 0 Units @0.0000						



COMMISSION STATEMENT

January 15, 2018

FREDERIC SCARPULLA
 400 West Metro Park
 Rochester, NY 14623

BROKER #: 417
 BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/31/2017	***-**-90FB - DIODATO, CAROL	MAIN STREET FUND C	0.00	3.61	0.00	3.61	80.0	2.89
	ACCOUNT #: 07024358024	BUY 0 Units @0.0000						
12/31/2017	***-**-7330 - JOHNSON, DONALD	MAIN STREET FUND C	0.00	36.73	0.00	36.73	80.0	29.38
	ACCOUNT #: 07024393312	BUY 0 Units @0.0000						
12/31/2017	***-**-2620 - CUSIMANO, MEGAN	MAIN STREET FUND C	0.00	0.96	0.00	0.96	80.0	0.77
	ACCOUNT #: 07024397338	BUY 0 Units @0.0000						
12/31/2017	***-**-446I - TROBIA, FRANK	MAIN STREET FUND C	0.00	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 07024429095	BUY 0 Units @0.0000						
12/31/2017	***-**-9530 - MILAM, IAN	MAIN STREET FUND C	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 07024469113	BUY 0 Units @0.0000						
12/31/2017	***-**-7072 - SMITH, DEBBIE	OPP PORT SERIES: MOD INV FD A	0.00	11.94	0.00	11.94	80.0	9.55
	ACCOUNT #: 05451118920	BUY 0 Units @0.0000						
12/31/2017	***-**-4143 - STONE, GEORGE	OPP PORT SERIES: MOD INV FD A	0.00	0.18	0.00	0.18	80.0	0.14
	ACCOUNT #: 05451503469	BUY 0 Units @0.0000						
12/31/2017	***-**-3526 - STONE, LINDA	OPP PORT SERIES: MOD INV FD A	0.00	0.13	0.00	0.13	80.0	0.10
	ACCOUNT #: 05451509926	BUY 0 Units @0.0000						
12/31/2017	***-**-072I - SMITH, DEBORAH	OPP PORT SERIES: MOD INV FD B	0.00	1.21	0.00	1.21	80.0	0.97
	ACCOUNT #: 06660004334	BUY 0 Units @0.0000						
12/31/2017	***-**-526I - STONE, LINDA	OPP PORT SERIES: MOD INV FD B	0.00	0.74	0.00	0.74	80.0	0.59
	ACCOUNT #: 06660004565	BUY 0 Units @0.0000						
12/31/2017	***-**-143I - STONE, GEORGE	OPP PORT SERIES: MOD INV FD B	0.00	0.77	0.00	0.77	80.0	0.62
	ACCOUNT #: 06660004576	BUY 0 Units @0.0000						
12/31/2017	***-**-3407 - TUTTELL, PAULA	OPP PORT SERIES: MOD INV FD C	0.00	21.62	0.00	21.62	80.0	17.30
	ACCOUNT #: 05470454740	BUY 0 Units @0.0000						
12/31/2017	***-**-56SI - ODELL, JULIE	OPP PORT SERIES: MOD INV FD C	0.00	31.03	0.00	31.03	80.0	24.82
	ACCOUNT #: 05470419034	BUY 0 Units @0.0000						
12/31/2017	***-**-7072 - SMITH, DEBBIE	OPP PORT SERIES: MOD INV FD C	0.00	59.93	0.00	59.93	80.0	47.94
	ACCOUNT #: 06670037664	BUY 0 Units @0.0000						
12/31/2017	***-**-4143 - STONE, GEORGE	OPP PORT SERIES: MOD INV FD C	0.00	27.13	0.00	27.13	80.0	21.70
	ACCOUNT #: 06670037675	BUY 0 Units @0.0000						
12/31/2017	***-**-3526 - STONE, LINDA	OPP PORT SERIES: MOD INV FD C	0.00	27.16	0.00	27.16	80.0	21.73
	ACCOUNT #: 06670037686	BUY 0 Units @0.0000						



COMMISSION STATEMENT

January 15, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/31/2017	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER CORP BOND FND C	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 00100067156	BUY 0 Units @0.0000						
12/31/2017	***-**-1346 - WEEKS S R	OPPENHEIMER DISCOVERY CLASS A	0.00	9.15	0.00	9.15	80.0	7.32
	ACCOUNT #: 05004178284	BUY 0 Units @0.0000						
12/31/2017	***-**-781J - NAVAS, MICHAEL &	OPPENHEIMER DISCOVERY CLASS A	0.00	29.26	0.00	29.26	80.0	23.41
	ACCOUNT #: 07450878086	BUY 0 Units @0.0000						
12/31/2017	***-**-240J - MACCABEE, ALFRED	OPPENHEIMER DISCOVERY CLASS A	0.00	11.85	0.00	11.85	80.0	9.48
	ACCOUNT #: 07451411520	BUY 0 Units @0.0000						
12/31/2017	***-**-6603 - JOHNSON, TERRY	OPPENHEIMER GLOBAL CLASS C	0.00	20.60	0.00	20.60	80.0	16.48
	ACCOUNT #: 03322638581	BUY 0 Units @0.0000						
12/31/2017	***-**-6056 - PRICE, DEBORAH	OPPENHEIMER MAIN STREET OPPORTUNITY	0.00	9.01	0.00	9.01	80.0	7.21
	ACCOUNT #: 07311461350	BUY 0 Units @0.0000						
12/31/2017	***-**-729I - GIAMBRONE, KELLY	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	9.28	0.00	9.28	80.0	7.42
	ACCOUNT #: 08477407983	BUY 0 Units @0.0000						
12/31/2017	***-**-729I - GIAMBRONE, KELLY	OPPENHEIMER MAIN STREET SMALL CAP F	0.00	9.41	0.00	9.41	80.0	7.53
	ACCOUNT #: 08491596925	BUY 0 Units @0.0000						
12/31/2017	***-**-541P - SCHILLACI, AMY	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	1.44	0.00	1.44	80.0	1.15
	ACCOUNT #: 02271188506	BUY 0 Units @0.0000						
12/31/2017	***-**-4980 - MOYER, COLLEEN	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	0.60	0.00	0.60	80.0	0.48
	ACCOUNT #: 02271217095	BUY 0 Units @0.0000						
12/31/2017	***-**-696J - ARBORE, JENNIFER	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	30.93	0.00	30.93	80.0	24.74
	ACCOUNT #: 02270749892	BUY 0 Units @0.0000						
12/31/2017	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 02271182830	BUY 0 Units @0.0000						
12/31/2017	***-**-655P - DAVIS, BERTHA	OPPENHEIMER RISING DIVIDENDS FUND C	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 02271237808	BUY 0 Units @0.0000						
12/31/2017	***-**-1834 - BUTLER, KIM	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	20.94	0.00	20.94	80.0	16.75
	ACCOUNT #: 02510771804	BUY 0 Units @0.0000						
12/31/2017	***-**-5378 - AGUILA, NANCY	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	66.23	0.00	66.23	80.0	52.98
	ACCOUNT #: 02510954349	BUY 0 Units @0.0000						
12/31/2017	***-**-502I - CHUDYK, LUCIANA	OPPENHEIMER SMALL & MID CAP VALUE F	0.00	0.37	0.00	0.37	80.0	0.30
	ACCOUNT #: 02511356146	BUY 0 Units @0.0000						



COMMISSION STATEMENT

January 15, 2018

FREDERIC SCARPULLA
 400 West Metro Park
 Rochester, NY 14623

BROKER #: 417
 BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
12/31/2017	***-**-8379 - ZLOTNICK, MAR	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	1.64	0.00	1.64	80.0	1.31
	ACCOUNT #: 01902486671	BUY 0 Units @0.0000						
12/31/2017	***-**-509I - ARBORE,	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	8.23	0.00	8.23	80.0	6.58
	ACCOUNT #: 01903509704	BUY 0 Units @0.0000						
12/31/2017	***-**-206I - SANDERS, DAVID	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	0.05	0.00	0.05	80.0	0.04
	ACCOUNT #: 02301774457	BUY 0 Units @0.0000						
12/31/2017	***-**-206I - SANDERS, DAVID	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	1.60	0.00	1.60	80.0	1.28
	ACCOUNT #: 02302155970	BUY 0 Units @0.0000						
12/31/2017	***-**-1834 - BUTLER, KIM	OPPENHEIMER STRATEGIC INCOME FD CL	0.00	2.12	0.00	2.12	80.0	1.70
	ACCOUNT #: 02803612158	BUY 0 Units @0.0000						
12/31/2017	***-**-1834 - BUTLER, KIM	OPPENHEIMER STRATEGIC INCOME FUND C	0.00	0.17	0.00	0.17	80.0	0.14
	ACCOUNT #: 01911387672	BUY 0 Units @0.0000						
12/31/2017	***-**-206I - SANDERS, DAVID	OPPENHEIMER STRATEGIC INCOME FUND C	0.00	0.17	0.00	0.17	80.0	0.14
	ACCOUNT #: 02316725532	BUY 0 Units @0.0000						
01/15/2018	***-**-1314 - BENEDETTO,	QUALIFIED PLAN SUMMARY	0.00	133.50	0.00	133.50	80.0	106.81
	ACCOUNT #: 02270934318	BUY 0 Units @0.0000						
12/31/2017	***-**-240J - MACCABEE, ALFRED	QUEST BALANCED A	0.00	2.88	0.00	2.88	80.0	2.30
	ACCOUNT #: 02571141525	BUY 0 Units @0.0000						
12/31/2017	***-**-502I - CHUDYK, LUCIANA	QUEST BALANCED A	0.00	1.75	0.00	1.75	80.0	1.40
	ACCOUNT #: 02573443913	BUY 0 Units @0.0000						
12/31/2017	***-**-772I - KERHAERT,	QUEST BALANCED A	0.00	7.56	0.00	7.56	80.0	6.05
	ACCOUNT #: 02575859953	BUY 0 Units @0.0000						
12/31/2017	***-**-772I - KERHAERT,	QUEST BALANCED B	0.00	0.23	0.00	0.23	80.0	0.18
	ACCOUNT #: 02585781831	BUY 0 Units @0.0000						
12/31/2017	***-**-8706 - BOSCO, REN	QUEST BALANCED FUND C	0.00	150.59	0.00	150.59	80.0	120.47
	ACCOUNT #: 02590094105	BUY 0 Units @0.0000						
12/31/2017	***-**-110I - SAENZ, KATIA	QUEST BALANCED FUND C	0.00	10.84	0.00	10.84	80.0	8.67
	ACCOUNT #: 02592093355	BUY 0 Units @0.0000						
12/31/2017	***-**-772I - KERHAERT,	QUEST BALANCED FUND C	0.00	9.04	0.00	9.04	80.0	7.23
	ACCOUNT #: 02592262304	BUY 0 Units @0.0000						
12/31/2017	***-**-264I - SIDOTI, LAURA	QUEST OPPORTUNITY VALUE A	0.00	4.83	0.00	4.83	80.0	3.86
	ACCOUNT #: 02362932061	BUY 0 Units @0.0000						



COMMISSION STATEMENT

January 15, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</u>								
12/31/2017	***-**-502I - CHUDYK, LUCIANA	QUEST OPPORTUNITY VALUE A	0.00	2.76	0.00	2.76	80.0	2.21
	ACCOUNT #: 02363615612	BUY 0 Units @0.0000						
12/31/2017	***-**-1346 - WEEKS S R	QUEST OPPORTUNITY VALUE A	0.00	4.27	0.00	4.27	80.0	3.42
	ACCOUNT #: 02363693492	BUY 0 Units @0.0000						
12/31/2017	***-**-4143 - STONE, GEORGE	QUEST OPPORTUNITY VALUE A	0.00	0.53	0.00	0.53	80.0	0.42
	ACCOUNT #: 02365376635	BUY 0 Units @0.0000						
12/31/2017	***-**-1346 - WEEKS S R	QUEST OPPORTUNITY VALUE FUND B	0.00	0.13	0.00	0.13	80.0	0.10
	ACCOUNT #: 02373694251	BUY 0 Units @0.0000						
12/31/2017	***-**-432I - BORDEN, ROBERT	QUEST OPPORTUNITY VALUE FUND C	0.00	5.18	0.00	5.18	80.0	4.14
	ACCOUNT #: 02380867812	BUY 0 Units @0.0000						
12/31/2017	***-**-1346 - WEEKS S R	QUEST OPPORTUNITY VALUE FUND C	0.00	18.76	0.00	18.76	80.0	15.01
	ACCOUNT #: 02381169938	BUY 0 Units @0.0000						
12/31/2017	***-**-7330 - JOHNSON, DONALD	REAL ESTATE FUND C	0.00	30.13	0.00	30.13	80.0	24.10
	ACCOUNT #: 05920398266	BUY 0 Units @0.0000						
12/31/2017	***-**-2620 - CUSIMANO, MEGAN	REAL ESTATE FUND C	0.00	0.38	0.00	0.38	80.0	0.30
	ACCOUNT #: 05920400103	BUY 0 Units @0.0000						
12/31/2017	***-**-9530 - MILAM, IAN	REAL ESTATE FUND C	0.00	0.00	0.00	0.00	80.0	0.00
	ACCOUNT #: 05920419496	BUY 0 Units @0.0000						
12/31/2017	***-**-509I - ARBORE,	RISING DIVIDENDS FUND A	0.00	9.41	0.00	9.41	80.0	7.53
	ACCOUNT #: 02253202056	BUY 0 Units @0.0000						
12/31/2017	***-**-76IN - CRISPINO, JULIE	ROCH. LIMITED TERM NEW YORK MUNICI	0.00	351.96	0.00	351.96	80.0	281.57
	ACCOUNT #: 03550283547	BUY 0 Units @0.0000						
12/31/2017	***-**-76IN - CRISPINO, JULIE	ROCH. LIMITED TERM NEW YORK MUNICI	0.00	391.06	0.00	391.06	80.0	312.85
	ACCOUNT #: 03550283547	BUY 0 Units @0.0000						
12/31/2017	***-**-11IN - ROBERTS NEEDS	ROCH. LIMITED TERM NEW YORK MUNICI	0.00	56.01	0.00	56.01	80.0	44.81
	ACCOUNT #: 03551129898	BUY 0 Units @0.0000						
12/31/2017	***-**-96CL - SCARPULLA,	ROCH. LIMITED TERM NEW YORK MUNICI	0.00	330.93	0.00	330.93	80.0	264.74
	ACCOUNT #: 03551296515	BUY 0 Units @0.0000						
12/31/2017	***-**-450J - DIGIOVANNI,	ROCH. LIMITED TERM NEW YORK MUNICI	0.00	189.78	0.00	189.78	80.0	151.82
	ACCOUNT #: 03570496793	BUY 0 Units @0.0000						
12/31/2017	***-**-696J - ARBORE, JENNIFER	ROCH. LIMITED TERM NEW YORK MUNICI	0.00	26.89	0.00	26.89	80.0	21.51
	ACCOUNT #: 03570590128	BUY 0 Units @0.0000						



COMMISSION STATEMENT

January 15, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
12/31/2017	***-**-6188 - ROBERTS, ACCOUNT #: 03570646371	ROCH. LIMITED TERM NEW YORK MUNICIP BUY 0 Units @0.0000	0.00	19.65	0.00	19.65	80.0	15.72
12/31/2017	***-**-1834 - BUTLER, KIM ACCOUNT #: 02520033660	SMALL- & MID- CAP VALUE B BUY 0 Units @0.0000	0.00	0.66	0.00	0.66	80.0	0.53
12/31/2017	***-**-5378 - AGUILA, NANCY ACCOUNT #: 02520118954	SMALL- & MID- CAP VALUE B BUY 0 Units @0.0000	0.00	0.42	0.00	0.42	80.0	0.34
12/31/2017	***-**-4980 - MOYER, COLLEEN ACCOUNT #: 02531288969	SMALL- & MID- CAP VALUE FUND C BUY 0 Units @0.0000	0.00	0.27	0.00	0.27	80.0	0.22
12/31/2017	***-**-1834 - BUTLER, KIM ACCOUNT #: 02531162106	SMALL- & MID- CAP VALUE FUND C BUY 0 Units @0.0000	0.00	12.57	0.00	12.57	80.0	10.06
12/31/2017	***-**-1834 - BUTLER, KIM ACCOUNT #: 01920863291	STRATEGIC INCOME FUND C BUY 0 Units @0.0000	0.00	4.70	0.00	4.70	80.0	3.76
12/31/2017	***-**-9206 - SANDER, DAVID ACCOUNT #: 02322653794	STRATEGIC INCOME FUND C BUY 0 Units @0.0000	0.00	8.45	0.00	8.45	80.0	6.76
12/31/2017	***-**-206I - SANDERS, DAVID ACCOUNT #: 03760634293	VALUE B BUY 0 Units @0.0000	0.00	0.32	0.00	0.32	80.0	0.26
12/31/2017	***-**-206I - SANDERS, DAVID ACCOUNT #: 03751582119	VALUE FUND A BUY 0 Units @0.0000	0.00	0.02	0.00	0.02	80.0	0.02
12/31/2017	***-**-206I - SANDERS, DAVID ACCOUNT #: 03751730652	VALUE FUND A BUY 0 Units @0.0000	0.00	6.80	0.00	6.80	80.0	5.44
12/31/2017	***-**-9206 - SANDER, DAVID ACCOUNT #: 03770488829	VALUE FUND C BUY 0 Units @0.0000	0.00	10.80	0.00	10.80	80.0	8.64
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$4,171.57	\$0.00	\$4,171.57		\$3,337.23
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
01/15/2018	***-**-5046 - GUERRIER, JOHN ACCOUNT #: 456938	PRINCIPAL VA TRAILS BUY 1 Units @0.0000	0.00	738.20	0.00	738.20	80.0	590.56
01/15/2018	***-**-4432 - WHITE HAVEN ACCOUNT #: 612565	PRINCIPAL VA TRAILS BUY 1 Units @0.0000	0.00	1,315.39	0.00	1,315.39	80.0	1,052.31
01/15/2018	***-**-96CL - SCARPULLA, ACCOUNT #: 618072	PRINCIPAL VA TRAILS BUY 1 Units @0.0000	0.00	199.99	0.00	199.99	80.0	159.99
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$2,253.58	\$0.00	\$2,253.58		\$1,802.86



COMMISSION STATEMENT

January 15, 2018

FREDERIC SCARPULLA
400 West Metro Park
Rochester, NY 14623

BROKER #: 417
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
*** BROKER TRANSACTIONS TOTAL ***			\$26,072.19	\$6,669.89	\$6.00	\$6,663.89		\$5,331.07

BROKER COMMISSION TOTALS

Broker Transactions	\$5,252.60
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$5,331.07
Year-to-date Earnings	\$12,926.83