

All Companies  
**STATEMENT**  
July 20, 2018

KENNETH STOKES  
29 Central Ave  
Unit 2  
Montclair, NJ 07042-

BROKER #: 034  
BRANCH: LA BRANCH

COMMISSION STATEMENT for KENNETH STOKES

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>										
05/01/0018 #0051922870	PAI TRUST	BLCKRCK	B	0.00	8.54	0.00	0.00	8.54	80.0	6.83
05/01/0018 #0051666527	JONATHAN P	BLCKRCK	B	0.00	0.72	0.00	0.00	0.72	80.0	0.58
05/01/0018 #0051922870	PAI TRUST	BLCKRCK	B	0.00	8.54	0.00	0.00	8.54	80.0	6.83
05/01/0018 #070344107084	MSDS-CONSU	NATIONWIDE FUNDS	B	0.00	24.05	0.00	0.00	24.05	80.0	19.24
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$41.85</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$41.85</b>		<b>\$33.48</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

06/01/2018 #01246004455	COMPUANAL	AMERICAN FUNDS 298706847	B	0.00	417.89	0.00	0.00	417.89	80.0	334.31
06/01/2018 #01324043253	COMPUANAL	AMERICAN FUNDS 82524A854	B	0.00	56.84	0.00	0.00	56.84	80.0	45.47
06/01/2018 #01247801798	COMPUANAL	AMERICAN FUNDS 831681846	B	0.00	176.19	0.00	0.00	176.19	80.0	140.95
06/01/2018 #01243289126	COMPUANAL	THE GROWTH FUND OF AMERICA-R4	B	0.00	941.17	0.00	0.00	941.17	80.0	752.94
06/07/2018 #01468689839	COMPUANAL	FIDELITY ADVISOR ENERGY CL A	B	0.00	48.96	0.00	0.00	48.96	80.0	39.17
06/07/2018 #01468689839	COMPUANAL	FIDELITY ADVISOR SER VII ADVISOR RE B		0.00	66.67	0.00	0.00	66.67	80.0	53.34
06/07/2018 #00485888168	PARK-B-SMI	FIDELITY FMR1205	B	0.00	0.50	0.00	0.00	0.50	80.0	0.40
06/07/2018 #00485888168	PARK-B-SMI	FIDELITY FMR1291	B	0.00	0.05	0.00	0.00	0.05	80.0	0.04
06/07/2018 #00485888168	PARK-B-SMI	FIDELITY FMR1321	B	0.00	0.54	0.00	0.00	0.54	80.0	0.43
06/07/2018 #00485888168	PARK-B-SMI	FIDELITY FMR186	B	0.00	0.79	0.00	0.00	0.79	80.0	0.63
06/07/2018 #00485888168	PARK-B-SMI	FIDELITY FMR2443	B	0.00	0.75	0.00	0.00	0.75	80.0	0.60
06/07/2018 #00485888168	PARK-B-SMI	FIDELITY FMR251	B	0.00	0.76	0.00	0.00	0.76	80.0	0.61
06/07/2018 #00485888168	PARK-B-SMI	FIDELITY FMR258	B	0.00	4.83	0.00	0.00	4.83	80.0	3.86
06/07/2018 #00485888168	PARK-B-SMI	FIDELITY FMR2709	B	0.00	0.49	0.00	0.00	0.49	80.0	0.39
06/07/2018 #00485888168	PARK-B-SMI	FMR1128	B	0.00	2.59	0.00	0.00	2.59	80.0	2.07
06/07/2018 #00485888168	PARK-B-SMI	FMR1184	B	0.00	28.22	0.00	0.00	28.22	80.0	22.58
06/07/2018 #00485888168	PARK-B-SMI	FMR1189	B	0.00	86.33	0.00	0.00	86.33	80.0	69.06
06/07/2018 #00485888168	PARK-B-SMI	FMR1194	B	0.00	22.58	0.00	0.00	22.58	80.0	18.06
06/07/2018 #00485888168	PARK-B-SMI	FMR1199	B	0.00	1.80	0.00	0.00	1.80	80.0	1.44
06/07/2018 #00485888168	PARK-B-SMI	FMR1296	B	0.00	8.75	0.00	0.00	8.75	80.0	7.00
06/07/2018 #00485888168	PARK-B-SMI	FMR1302	B	0.00	72.67	0.00	0.00	72.67	80.0	58.14
06/07/2018 #00485888168	PARK-B-SMI	FMR1307	B	0.00	86.52	0.00	0.00	86.52	80.0	69.22
06/07/2018 #00485888168	PARK-B-SMI	FMR1397	B	0.00	2.20	0.00	0.00	2.20	80.0	1.76
06/07/2018 #00485888168	PARK-B-SMI	FMR1599	B	0.00	16.12	0.00	0.00	16.12	80.0	12.90

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
06/07/2018	#00485888168	PARK-B-SMI	FMR1605	B	0.00	2.79	0.00	0.00	2.79	80.0 2.23
06/07/2018	#00485888168	PARK-B-SMI	FMR1816	B	0.00	3.18	0.00	0.00	3.18	80.0 2.54
06/07/2018	#00485888168	PARK-B-SMI	FMR246	B	0.00	2.47	0.00	0.00	2.47	80.0 1.98
06/07/2018	#00485888168	PARK-B-SMI	FMR260	B	0.00	5.95	0.00	0.00	5.95	80.0 4.76
06/07/2018	#00485888168	PARK-B-SMI	FMR272	B	0.00	2.95	0.00	0.00	2.95	80.0 2.36
06/07/2018	#00485888168	PARK-B-SMI	FMR2740	B	0.00	56.02	0.00	0.00	56.02	80.0 44.82
06/07/2018	#00485888168	PARK-B-SMI	FMR294	B	0.00	2.86	0.00	0.00	2.86	80.0 2.29
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,120.43	\$0.00	\$0.00	\$2,120.43	\$1,696.35	
*** BROKER TRANSACTIONS TOTAL ***				\$0.00	\$2,162.28	\$0.00	\$0.00	\$2,162.28	\$1,729.83	

ADJUSTMENTS

07/20/2018	ADMIN FEE: ADMIN FEE	-320.00
07/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
*** ADJUSTMENTS TOTAL ***		\$-499.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,729.83
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-499.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$1,230.83
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