



COMMISSION STATEMENT

March 26, 2018

MICHAEL GAROFALO
54 Brookmeadow Circle
Shrewsbury, PA 17361

BROKER #: A17
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/12/2018	***-**-5144 - WINTER, LAURA	AMERICAN BALANCED FUND-C	200.00	2.00	0.00	2.00	85.0	1.70
	ACCOUNT #: 00084354308	BUY 7 Units @27.2600						
03/12/2018	***-**-36IR - HERDSON, JOHN	CAPITAL APPRECIATION C	63.36	0.63	0.00	0.63	85.0	0.54
	ACCOUNT #: 03222003518	BUY 0 Units @0.0000						
03/12/2018	***-**-36IR - HERDSON, JOHN	EQUITY INCOME FUND, INC. C	63.36	0.63	0.00	0.63	85.0	0.54
	ACCOUNT #: 08370183502	BUY 0 Units @0.0000						
03/15/2018	***-**-72IR - SHAW, SUSAN	EUROPACIFIC GROWTH FUND-A	50.00	1.38	0.00	1.38	85.0	1.17
	ACCOUNT #: 00082013491	BUY 1 Units @60.3200						
03/12/2018	***-**-5144 - WINTER, LAURA	FUNDAMENTAL INVESTORS-C	200.00	2.00	0.00	2.00	85.0	1.70
	ACCOUNT #: 00084354308	BUY 3 Units @64.4800						
03/21/2018	***-**-837I - KUNKEL, JANET	HARTFORD BALANCED INCOME FD CL C	14,700.00	156.00	18.00	138.00	85.0	117.30
	ACCOUNT #: LSR012410	BUY 1050 Units @14.0000						
03/21/2018	***-**-837I - KUNKEL, JANET	HARTFORD SCHROEDERS US SM/MID OPPOR	14,700.00	156.00	18.00	138.00	85.0	117.30
	ACCOUNT #: LSR012410	BUY 1010 Units @14.5500						
03/21/2018	***-**-352I - KUNKEL, RAY	MFS TOTAL RETURN FUND-C	15,000.01	159.00	18.00	141.00	85.0	119.85
	ACCOUNT #: LSR011585	BUY 792 Units @18.9400						
03/21/2018	***-**-837I - KUNKEL, JANET	MFS TOTAL RETURN FUND-C	19,600.00	205.00	18.00	187.00	85.0	158.95
	ACCOUNT #: LSR012410	BUY 1035 Units @18.9400						
03/12/2018	***-**-36IR - HERDSON, JOHN	QUEST BALANCED FUND C	65.28	0.65	0.00	0.65	85.0	0.55
	ACCOUNT #: 02592150962	BUY 0 Units @0.0000						
03/15/2018	***-**-32IR - SHAW, WILLIAM	THE INVESTMENT COMPANY OF AMERICA-A	50.00	1.38	0.00	1.38	85.0	1.17
	ACCOUNT #: 00082011931	BUY 1 Units @43.0400						
* MUTUAL FUNDS SUBTOTAL *			\$64,692.01	\$684.67	\$72.00	\$612.67		\$520.77

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/26/2018	***-**-566I - DURKIN, FBO MRS	CAPITAL APPRECIATION A	0.00	5.45	0.00	5.45	85.0	4.63
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
03/26/2018	***-**-228I - BUCHANAN,	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	5.90	0.00	5.90	85.0	5.02
	ACCOUNT #: LSR010538	BUY 1 Units @0.0000						
03/26/2018	***-**-4000 - ROMIG, MARK	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	8.53	0.00	8.53	85.0	7.25
	ACCOUNT #: LSR011567	BUY 1 Units @0.0000						
03/26/2018	***-**-228I - BUCHANAN,	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	1.97	0.00	1.97	85.0	1.67
	ACCOUNT #: LSR010538	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/26/2018	***-**-4000 - ROMIG, MARK	FEDERATED STRATEGIC VALUE DIVIDEND	0.00	2.84	0.00	2.84	85.0	2.41
	ACCOUNT #: LSR011567	BUY 1 Units @0.0000						
03/26/2018	***-**-276I - GASSWINT, SUSAN	FRANKLIN INCOME FUND CLASS C	0.00	27.61	0.00	27.61	85.0	23.47
	ACCOUNT #: LSR010633	BUY 1 Units @0.0000						
03/26/2018	***-**-566I - DURKIN, FBO MRS	GLOBAL FUND A	0.00	9.08	0.00	9.08	85.0	7.72
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
03/26/2018	***-**-65IR - NORNHOLD,	HARTFORD BALANCED INCOME FD CL C	0.00	0.19	0.00	0.19	85.0	0.16
	ACCOUNT #: LSR012005	BUY 1 Units @0.0000						
03/26/2018	***-**-934I - BEAVERSON, MARY	HARTFORD BALANCED INCOME FD CL C	0.00	9.79	0.00	9.79	85.0	8.32
	ACCOUNT #: LSR010467	BUY 1 Units @0.0000						
03/26/2018	***-**-184I - BEAVERSON, ROYCE	HARTFORD BALANCED INCOME FD CL C	0.00	12.76	0.00	12.76	85.0	10.85
	ACCOUNT #: LSR010468	BUY 1 Units @0.0000						
03/26/2018	***-**-566I - DURKIN, FBO MRS	HARTFORD BALANCED INCOME FD CL C	0.00	51.05	0.00	51.05	85.0	43.39
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
03/26/2018	***-**-859X - FREY, RYAN	HARTFORD BALANCED INCOME FD CL C	0.00	62.83	0.00	62.83	85.0	53.41
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
03/26/2018	***-**-630X - WEAVER, NICOLE	HARTFORD BALANCED INCOME FD CL C	0.00	58.76	0.00	58.76	85.0	49.95
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
03/26/2018	***-**-260X - ILGENFRITZ, CORY	HARTFORD BALANCED INCOME FD CL C	0.00	20.69	0.00	20.69	85.0	17.59
	ACCOUNT #: LSR011554	BUY 1 Units @0.0000						
03/26/2018	***-**-181X - ILGENFRITZ,	HARTFORD BALANCED INCOME FD CL C	0.00	20.52	0.00	20.52	85.0	17.44
	ACCOUNT #: LSR011556	BUY 1 Units @0.0000						
03/26/2018	***-**-055X - ILGENFRITZ,	HARTFORD BALANCED INCOME FD CL C	0.00	16.26	0.00	16.26	85.0	13.82
	ACCOUNT #: LSR011559	BUY 1 Units @0.0000						
03/26/2018	***-**-352I - KUNKEL, RAY	HARTFORD BALANCED INCOME FD CL C	0.00	12.50	0.00	12.50	85.0	10.63
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						
03/26/2018	***-**-8100 - BLAUCH, CATHY	HARTFORD BALANCED INCOME FD CL C	0.00	0.30	0.00	0.30	85.0	0.26
	ACCOUNT #: LSR011859	BUY 1 Units @0.0000						
03/26/2018	***-**-14IR - SCHMIDT, DAVID	HARTFORD BALANCED INCOME FD CL C	0.00	0.36	0.00	0.36	85.0	0.31
	ACCOUNT #: LSR011865	BUY 1 Units @0.0000						
03/26/2018	***-**-830J - STRAUB, JANE	HARTFORD BALANCED INCOME FD CL C	0.00	0.88	0.00	0.88	85.0	0.75
	ACCOUNT #: LSR011950	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/26/2018	***-**-881I - HOUSER, LORI	HARTFORD BALANCED INCOME FD CL C	0.00	0.53	0.00	0.53	85.0	0.45
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
03/26/2018	***-**-381J - BLEILER, CONNIE	HARTFORD BALANCED INCOME FD CL C	0.00	0.35	0.00	0.35	85.0	0.30
	ACCOUNT #: LSR012081	BUY 1 Units @0.0000						
03/26/2018	***-**-21IR - ILGENFRITZ,	HARTFORD CHECKS AND BALANCED FUND C	0.00	1.02	0.00	1.02	85.0	0.87
	ACCOUNT #: LSR011824	BUY 1 Units @0.0000						
03/26/2018	***-**-859X - FREY, RYAN	HARTFORD CORE EQUITY CLASS C	0.00	52.89	0.00	52.89	85.0	44.96
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
03/26/2018	***-**-630X - WEAVER, NICOLE	HARTFORD CORE EQUITY CLASS C	0.00	61.14	0.00	61.14	85.0	51.97
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
03/26/2018	***-**-2600 - ILGENFRITZ, TOD	HARTFORD CORE EQUITY CLASS C	0.00	19.57	0.00	19.57	85.0	16.63
	ACCOUNT #: LSR011549	BUY 1 Units @0.0000						
03/26/2018	***-**-373X - MANGANELLO, TIRA	HARTFORD CORE EQUITY CLASS C	0.00	18.73	0.00	18.73	85.0	15.92
	ACCOUNT #: LSR011551	BUY 1 Units @0.0000						
03/26/2018	***-**-352I - KUNKEL, RAY	HARTFORD CORE EQUITY CLASS C	0.00	14.03	0.00	14.03	85.0	11.93
	ACCOUNT #: LSR011585	BUY 1 Units @0.0000						
03/26/2018	***-**-214I - SCHMIDT, DAVID	HARTFORD CORE EQUITY CLASS C	0.00	0.40	0.00	0.40	85.0	0.34
	ACCOUNT #: LSR011691	BUY 1 Units @0.0000						
03/26/2018	***-**-67IR - NORNHOLD, DENNIS	HARTFORD SCHRODERS US SM/MID OPPOR	0.00	0.07	0.00	0.07	85.0	0.06
	ACCOUNT #: LSR012006	BUY 1 Units @0.0000						
03/26/2018	***-**-036I - CROWL, LINDA	HARTFORD SCHRODERS US SM/MID OPPOR	0.00	0.29	0.00	0.29	85.0	0.25
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
03/26/2018	***-**-881I - HOUSER, LORI	HARTFORD SCHRODERS US SM/MID OPPOR	0.00	0.18	0.00	0.18	85.0	0.15
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
03/26/2018	***-**-51IR - BLEILER, CONNIE	HARTFORD SCHRODERS US SM/MID OPPOR	0.00	0.06	0.00	0.06	85.0	0.05
	ACCOUNT #: LSR012077	BUY 1 Units @0.0000						
03/26/2018	***-**-566I - DURKIN, FBO MRS	MAIN STREET FUND A	0.00	10.61	0.00	10.61	85.0	9.02
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
03/26/2018	***-**-162I - ANDERSON, THOMAS	PIMCO DIVERSIFIED INCOME FND CL A-N	0.00	6.00	0.00	6.00	85.0	5.10
	ACCOUNT #: LSR012358	BUY 1 Units @0.0000						
03/26/2018	***-**-036I - CROWL, LINDA	PIMCO INCOME FUND CL C	0.00	38.29	0.00	38.29	85.0	32.55
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
03/26/2018	***-**-831I - REED, JACK	PIMCO INCOME FUND CL C	0.00	8.45	0.00	8.45	85.0	7.18
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
03/26/2018	***-**-036I - CROWL, LINDA	PIMCO INCOME FUND CL C	0.00	14.73	0.00	14.73	85.0	12.52
	ACCOUNT #: LSR010466	BUY 1 Units @0.0000						
03/26/2018	***-**-831I - REED, JACK	PIMCO INCOME FUND CL C	0.00	3.25	0.00	3.25	85.0	2.76
	ACCOUNT #: LSR010577	BUY 1 Units @0.0000						
03/26/2018	***-**-992I - SMITH, FBO MR	PUTNAM EQUITY INCOME FUND-C	0.00	23.52	0.00	23.52	85.0	19.99
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
03/26/2018	***-**-566I - DURKIN, FBO MRS	QUEST OPPORTUNITY VALUE A	0.00	6.33	0.00	6.33	85.0	5.38
	ACCOUNT #: LSR010544	BUY 1 Units @0.0000						
03/26/2018	***-**-214I - SCHMIDT, DAVID	THE HARTFORD ADVISERS FUND-C	0.00	0.15	0.00	0.15	85.0	0.13
	ACCOUNT #: LSR011691	BUY 1 Units @0.0000						
03/26/2018	***-**-881I - HOUSER, LORI	THE HARTFORD ADVISERS FUND-C	0.00	0.04	0.00	0.04	85.0	0.03
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$608.90	\$0.00	\$608.90		\$517.59
	*** BROKER TRANSACTIONS TOTAL ***		\$64,692.01	\$1,293.57	\$72.00	\$1,221.57		\$1,038.36



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<div>BROKER COMMISSION TOTALS</div>								
					Broker Transactions			\$1,038.36
					Split Transactions			\$0.00
					Override Transactions			\$0.00
					Adjustments			\$0.00
					Payroll Draw			\$0.00
					Base Salary			\$0.00
					Prior Period Balance			\$0.00
					FINRA Assessment			\$0.00
					SIPC Assessment			\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD					Balance Forward			\$0.00
					Year-to-date Earnings			\$0.00