



All Companies
COMMISSION STATEMENT
August 01, 2018

07/30/2018
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JIM CHEN
 6300 I-40 West
 Ste. 102
 Amarillo, TX 79106

BROKER #: 9651
BRANCH: HOME OFFICE

COMMISSION STATEMENT for JIM CHEN

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS									
PRODUCT CATEGORY: VARIABLE ANNUITIES									
07/09/2018	TRAILS	-JACKSON NATIONAL	201.05	201.05	75.0	150.79	0.00	150.79	
* VARIABLE ANNUITIES SUBTOTAL *			\$201.05	\$201.05		\$150.79	\$0.00	\$150.79	
PRODUCT CATEGORY: RIA									
07/13/2018	15240871	BURNAM, DENNIS D	SIGNAL SECURITIES RIA	0.00	76.00	75.0	57.00	0.00	57.00
07/13/2018	17058809	BOLTON, WILMA	SIGNAL SECURITIES RIA	0.00	113.33	75.0	85.00	0.00	85.00
07/13/2018	18127812	TIFFEE, GAE L	SIGNAL SECURITIES RIA	0.00	9.33	75.0	7.00	0.00	7.00
07/13/2018	19687002	CARVER, DON	SIGNAL SECURITIES RIA	0.00	118.33	75.0	88.75	0.00	88.75
07/13/2018	20208443	SNYDER, DAVID J	SIGNAL SECURITIES RIA	0.00	86.67	75.0	65.00	0.00	65.00
07/13/2018	21372067	LAI, LISA	SIGNAL SECURITIES RIA	0.00	6.67	75.0	5.00	0.00	5.00
07/13/2018	28820037	GILBERT, PAUL	SIGNAL SECURITIES RIA	0.00	41.67	75.0	31.25	0.00	31.25
07/13/2018	29488506	LAI, LISA	SIGNAL SECURITIES RIA	0.00	102.67	75.0	77.00	0.00	77.00
07/13/2018	33210997	DAVISON, GERALD & CHRIST	SIGNAL SECURITIES RIA	0.00	6.00	75.0	4.50	0.00	4.50
07/13/2018	39815176	CARVER, CINDY L L.	SIGNAL SECURITIES RIA	0.00	138.00	75.0	103.50	0.00	103.50
07/13/2018	49788125	WATSON, KIMBRA R	SIGNAL SECURITIES RIA	0.00	23.33	75.0	17.50	0.00	17.50
07/13/2018	53898308	MEREDITH, JOHN C	SIGNAL SECURITIES RIA	0.00	55.33	75.0	41.50	0.00	41.50
07/13/2018	55407278	SHIEH, RICHARD	SIGNAL SECURITIES RIA	0.00	74.00	75.0	55.50	0.00	55.50
07/13/2018	65075287	LUNA, CLAUDIA C	SIGNAL SECURITIES RIA	0.00	16.00	75.0	12.00	0.00	12.00
07/13/2018	66704122	LUNA, CLAUDIA C	SIGNAL SECURITIES RIA	0.00	9.33	75.0	7.00	0.00	7.00
07/13/2018	75189448	GEHRING, MARIAN L	SIGNAL SECURITIES RIA	0.00	4.00	75.0	3.00	0.00	3.00
07/13/2018	75737800	TIFFEE, GAE L	SIGNAL SECURITIES RIA	0.00	28.67	75.0	21.50	0.00	21.50
07/13/2018	77812556	DAVISON, CHRISTINA K	SIGNAL SECURITIES RIA	0.00	6.33	75.0	4.75	0.00	4.75
07/13/2018	87329027	SOUTHWEST CHAPTER NATL AM	SIGNAL SECURITIES RIA	0.00	13.33	75.0	10.00	0.00	10.00
07/13/2018	87410823	DAVISON, GERALD & CHRIST	SIGNAL SECURITIES RIA	0.00	51.67	75.0	38.75	0.00	38.75
* RIA SUBTOTAL *			\$0.00	\$980.66		\$735.50	\$0.00	\$735.50	

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ADJUSTMENTS

*** BROKER TRANSACTIONS TOTAL ***	\$201.05	\$1,181.71	\$886.29	\$0.00	\$886.29
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ADJUSTMENTS

08/01/2018	E & O INSURANCE: 1/2 MONTHLY	0.00	0.00	-100.00	0.00	-100.00
08/01/2018	TECH: 1/2 MONTHLY TECH SERVICES	0.00	0.00	-27.50	0.00	-27.50
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$-127.50	\$0.00	\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$886.29
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-127.50

Check Amount	\$631.29
Year-to-date Earnings	\$4,136.39