



# COMMISSION STATEMENT

January 31, 2017

DAMIAN DERING  
165 Culver Blvd  
Suite A  
Playa Del Rey, CA 90293

BROKER #: D127  
BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: RIA</b>								
01/19/2017	AGUILUZ, LUCILLE	ASSETMARK	75,389.55	250.88	0.00	250.88	90.0	225.79
01/19/2017	AMIN, CHIRAG	ASSETMARK	117,855.69	208.66	0.00	208.66	90.0	187.79
01/19/2017	AMIN, CHIRAG	ASSETMARK	2,745,895.56	4,329.74	0.00	4,329.74	90.0	3,896.77
01/19/2017	ARMAGANIAN, ANDREA	ASSETMARK	260,259.68	641.54	0.00	641.54	90.0	577.39
01/19/2017	ARMAGANIAN, ANDREA	ASSETMARK	41,033.36	126.43	0.00	126.43	90.0	113.79
01/19/2017	AVONDO, ROSE	ASSETMARK	83,947.48	258.66	0.00	258.66	90.0	232.79
01/19/2017	AVONDO, ROSE	ASSETMARK	213,006.98	656.33	0.00	656.33	90.0	590.70
01/19/2017	BAGAMASPAD, FELICITAS	ASSETMARK	95,872.85	295.41	0.00	295.41	90.0	265.87
01/19/2017	BAUTISTA, FEDERICO	ASSETMARK	150,606.31	464.06	0.00	464.06	90.0	417.65
01/19/2017	BAUTISTA, FEDERICO	ASSETMARK	67,025.28	206.52	0.00	206.52	90.0	185.87
01/19/2017	BEELER, FRANCES	ASSETMARK	10,081.74	31.06	0.00	31.06	90.0	27.95
01/19/2017	BOLENE, JAMES	ASSETMARK	68,360.48	210.64	0.00	210.64	90.0	189.58
01/19/2017	CASANOVA, JESSE	ASSETMARK	403,439.62	994.48	0.00	994.48	90.0	895.03
01/19/2017	CHUCK, LAURENCE	ASSETMARK	11,529.19	34.92	0.00	34.92	90.0	31.43
01/19/2017	CHUCK, LAURENCE	ASSETMARK	23,323.67	71.87	0.00	71.87	90.0	64.68
01/19/2017	CHUCK, LAURENCE	ASSETMARK	42,643.85	131.40	0.00	131.40	90.0	118.26
01/19/2017	CHUCK, LAURENCE	ASSETMARK	303,048.29	933.77	0.00	933.77	90.0	840.39
01/19/2017	COHEN, JANET	ASSETMARK	6,428.40	20.74	0.00	20.74	90.0	18.67
01/19/2017	COHEN, JANET	ASSETMARK	80,213.38	98.86	0.00	98.86	90.0	88.97
01/19/2017	COHEN, JANET	ASSETMARK	102,498.73	126.33	0.00	126.33	90.0	113.70
01/19/2017	DENNIS-ABILLA, CHRISTINE	ASSETMARK	13,797.46	45.91	0.00	45.91	90.0	41.32
01/19/2017	DENNIS-ABILLA, CHRISTINE	ASSETMARK	269,094.74	833.58	0.00	833.58	90.0	750.22
01/19/2017	DENNIS-ABILLA, CHRISTINE	ASSETMARK	6,566.07	20.34	0.00	20.34	90.0	18.31
01/19/2017	DENNIS-ABILLA, CHRISTINE	ASSETMARK	5,555.89	17.21	0.00	17.21	90.0	15.49
01/19/2017	DERING, WILLIAM	ASSETMARK	3,426.09	11.40	0.00	11.40	90.0	10.26
01/19/2017	DERING, WILLIAM	ASSETMARK	49,973.22	166.30	0.00	166.30	90.0	149.67

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
01/19/2017	DERING, WILLIAM	ASSETMARK	67,954.58	226.14	0.00	226.14	90.0	203.53
01/19/2017	ENCINA, ANTONIO	ASSETMARK	7,490.73	23.08	0.00	23.08	90.0	20.77
01/19/2017	ENCINA, ANTONIO	ASSETMARK	38,216.20	117.75	0.00	117.75	90.0	105.98
01/19/2017	ENCINA, ANTONIO	ASSETMARK	196,044.03	604.06	0.00	604.06	90.0	543.65
01/19/2017	ERICKSON, KAY	ASSETMARK	52,303.79	161.16	0.00	161.16	90.0	145.04
01/19/2017	ERICKSON, KAY	ASSETMARK	121,044.62	298.37	0.00	298.37	90.0	268.53
01/19/2017	ESC INSURANCE CO	ASSETMARK	196,811.39	631.86	0.00	631.86	90.0	568.67
01/19/2017	FARFAN, FRANK	ASSETMARK	7,153.26	23.80	0.00	23.80	90.0	21.42
01/19/2017	GIBSON, PATRICK	ASSETMARK	145,327.39	358.23	0.00	358.23	90.0	322.41
01/19/2017	GIBSON, PATRICK	ASSETMARK	280,317.36	690.98	0.00	690.98	90.0	621.88
01/19/2017	GIBSON, PATRICK	ASSETMARK	144,557.62	356.33	0.00	356.33	90.0	320.70
01/19/2017	GIBSON, PATRICK	ASSETMARK	76,402.34	188.33	0.00	188.33	90.0	169.50
01/19/2017	GREEN, ROY	ASSETMARK	261,248.09	643.98	0.00	643.98	90.0	579.58
01/19/2017	HAVENS, JACK	ASSETMARK	13,831.22	46.03	0.00	46.03	90.0	41.43
01/19/2017	HAVENS, JACK	ASSETMARK	66,345.75	204.43	0.00	204.43	90.0	183.99
01/19/2017	HAVENS, JACK	ASSETMARK	15,971.14	49.21	0.00	49.21	90.0	44.29
01/19/2017	HOLLINGSWORTH, MELISSA	ASSETMARK	24,485.33	75.45	0.00	75.45	90.0	67.91
01/19/2017	HOLLINGSWORTH, MELISSA	ASSETMARK	16,572.59	51.06	0.00	51.06	90.0	45.95
01/19/2017	KUSUNOKI, MIKE	ASSETMARK	11,544.75	35.57	0.00	35.57	90.0	32.01
01/19/2017	KUSUNOKI, MIKE	ASSETMARK	64,547.57	198.89	0.00	198.89	90.0	179.00
01/19/2017	KUSUNOKI, MIKE	ASSETMARK	8,402.39	25.89	0.00	25.89	90.0	23.30
01/19/2017	KUSUNOKI, MIKE	ASSETMARK	47,172.27	145.35	0.00	145.35	90.0	130.82
01/19/2017	LICHTENSTEIN, LOUISE	ASSETMARK	242,529.68	761.46	0.00	761.46	90.0	685.31
01/19/2017	MCDERMOTT, MICHAEL	ASSETMARK	122,226.62	301.29	0.00	301.29	90.0	271.16
01/19/2017	MCDERMOTT, MICHAEL	ASSETMARK	151,800.62	374.19	0.00	374.19	90.0	336.77
01/19/2017	MOORE, JODIE	ASSETMARK	8,431.22	25.98	0.00	25.98	90.0	23.38
01/19/2017	MOORE, JODIE	ASSETMARK	15,342.52	47.27	0.00	47.27	90.0	42.54
01/19/2017	MOORE, JODIE	ASSETMARK	28,675.88	88.36	0.00	88.36	90.0	79.52
01/19/2017	O'CONNELL, MAUREEN	ASSETMARK	49,542.95	142.79	0.00	142.79	90.0	128.51
01/19/2017	O'CONNELL, MAUREEN	ASSETMARK	209,104.34	602.67	0.00	602.67	90.0	542.40
01/19/2017	O'CONNELL, MAUREEN	ASSETMARK	220,516.76	635.56	0.00	635.56	90.0	572.00
01/19/2017	O'CONNELL, MAUREEN	ASSETMARK	113,811.70	328.02	0.00	328.02	90.0	295.22
01/19/2017	PON, AGNES	ASSETMARK	22,251.75	68.56	0.00	68.56	90.0	61.70

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
01/19/2017	PRIETO, DANA	ASSETMARK	115,358.22	383.88	0.00	383.88	90.0	345.49
01/19/2017	ROBELO, FRANSISCO	ASSETMARK	108,491.92	334.29	0.00	334.29	90.0	300.86
01/19/2017	PEARSON, ROBERT	ASSETMARK	133,328.33	410.82	0.00	410.82	90.0	369.74
01/19/2017	PEARSON, ROBERT	ASSETMARK	149,099.18	459.41	0.00	459.41	90.0	413.47
01/19/2017	PEARSON, ROBERT	ASSETMARK	111,713.60	344.22	0.00	344.22	90.0	309.80
01/19/2017	PEARSON, ROBERT	ASSETMARK	8,117.22	24.50	0.00	24.50	90.0	22.05
01/19/2017	RTJ MANAGEMENT	ASSETMARK	63,609.21	156.80	0.00	156.80	90.0	141.12
01/19/2017	RTJ MANAGEMENT	ASSETMARK	520,560.16	1,283.18	0.00	1,283.18	90.0	1,154.86
01/19/2017	RTJ MANAGEMENT	ASSETMARK	281,613.65	694.18	0.00	694.18	90.0	624.76
01/19/2017	RUSSELL, RONALD	ASSETMARK	24,172.15	59.58	0.00	59.58	90.0	53.62
01/19/2017	RUSSELL, RONALD	ASSETMARK	216,924.94	534.72	0.00	534.72	90.0	481.25
01/19/2017	RUSSELL, RYAN	ASSETMARK	24,363.40	75.07	0.00	75.07	90.0	67.56
01/19/2017	RUSSELL, RYAN	ASSETMARK	1,930.56	5.95	0.00	5.95	90.0	5.36
01/19/2017	SECRIST-ROUSE TRUST, RUTH	ASSETMARK	108,902.73	175.72	0.00	175.72	90.0	158.15
01/19/2017	SCANE, DONALD	ASSETMARK	32,662.01	108.69	0.00	108.69	90.0	97.82
01/19/2017	SCANE, DONALD	ASSETMARK	103,443.54	318.74	0.00	318.74	90.0	286.87
01/19/2017	SECRIST HOLDINGS LLC	ASSETMARK	1,460,225.50	3,599.46	0.00	3,599.46	90.0	3,239.51
01/19/2017	SECRIST HOLDINGS LLC	ASSETMARK	948,596.54	2,338.29	0.00	2,338.29	90.0	2,104.46
01/19/2017	SECRIST-ROUSE TRUST, RUTH	ASSETMARK	69,817.43	215.12	0.00	215.12	90.0	193.61
01/19/2017	SIMMONS, SANDRA	ASSETMARK	109,670.93	270.34	0.00	270.34	90.0	243.31
01/19/2017	SIMMONS, SANDRA	ASSETMARK	103,660.81	255.52	0.00	255.52	90.0	229.97
01/19/2017	SIMMONS, SANDRA	ASSETMARK	25,491.27	62.84	0.00	62.84	90.0	56.56
01/19/2017	SIMMONS, SANDRA	ASSETMARK	169,905.23	418.82	0.00	418.82	90.0	376.94
01/19/2017	LILLARD FAMILY TRUST	ASSETMARK	470,268.84	1,449.02	0.00	1,449.02	90.0	1,304.12
01/19/2017	VENUS, FELY	ASSETMARK	29,974.98	99.75	0.00	99.75	90.0	89.78
01/19/2017	AVONDO, ROSE	ASSETMARK	4,250.00	2.02	0.00	2.02	90.0	1.82
01/19/2017	AVONDO, ROSE	ASSETMARK	-4,250.00	-1.87	0.00	-1.87	90.0	-1.68
01/19/2017	CHUCK, LAURENCE	ASSETMARK	487.50	0.50	0.00	0.50	90.0	0.45
01/19/2017	CHUCK, LAURENCE	ASSETMARK	-487.50	-0.48	0.00	-0.48	90.0	-0.43
01/19/2017	DERING, WILLIAM	ASSETMARK	50,000.00	22.13	0.00	22.13	90.0	19.92
01/19/2017	FARFAN, FRANK	ASSETMARK	7,152.52	1.32	0.00	1.32	90.0	1.19
01/19/2017	PEARSON, ROBERT	ASSETMARK	1,000.00	0.53	0.00	0.53	90.0	0.48
01/19/2017	RTJ MANAGEMENT	ASSETMARK	-600.00	-0.54	0.00	-0.54	90.0	-0.49

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: RIA</u></b>								
01/19/2017	RTJ MANAGEMENT	ASSETMARK	-157.28	-0.08	0.00	-0.08	90.0	-0.07
01/19/2017	RTJ MANAGEMENT	ASSETMARK	600.00	0.56	0.00	0.56	90.0	0.50
01/19/2017	SECRIST HOLDINGS LLC	ASSETMARK	3,500.20	1.93	0.00	1.93	90.0	1.74
01/25/2017	SCANE, DARYL	PACIFIC FINANCIAL	96,531.22	71.22	0.00	71.22	90.0	64.10
* RIA SUBTOTAL *			<b>\$13,848,781.02</b>	<b>\$33,895.29</b>	<b>\$0.00</b>	<b>\$33,895.29</b>		<b>\$30,505.78</b>
*** BROKER TRANSACTIONS TOTAL ***			<b>\$13,848,781.02</b>	<b>\$33,895.29</b>	<b>\$0.00</b>	<b>\$33,895.29</b>		<b>\$30,505.78</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$30,505.78
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$30,505.78
Year-to-date Gross	\$35,085.08
Year-to-date Earnings	\$31,576.59

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