



Concorde Investment Services, LLC

08/14/2017  
Page No. 1

# **COMMISSION STATEMENT** **August 15, 2017**

G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PFLE/KIE  
417 E. Main Street  
3rd Floor  
Port Jefferson, NY 11777

**BROKER #: G3G**  
**BRANCH: FORTITUDE INVESTMENT**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^07/19/2017	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.22	0.00	0.22	100.0	0.00
^07/19/2017	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.81	0.00	1.81	100.0	0.00
^07/19/2017	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	3.02	0.00	3.02	100.0	0.00
^07/18/2017	NICASTRO, JOSEPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	1.73	0.00	1.73	100.0	0.00
^07/18/2017	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	1.56	0.00	1.56	100.0	0.00
^07/18/2017	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.69	0.00	0.69	100.0	0.00
^07/18/2017	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.64	0.00	0.64	100.0	0.00
^07/18/2017	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	1.27	0.00	1.27	100.0	0.00
^07/18/2017	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	9.86	0.00	9.86	100.0	0.00
^07/18/2017	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	7.28	0.00	7.28	100.0	0.00
^07/18/2017	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.74	0.00	0.74	100.0	0.00
^07/18/2017	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.80	0.00	0.80	100.0	0.00
^07/18/2017	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.57	0.00	0.57	100.0	0.00
^07/21/2017	DAVID, RUDOLPH	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.45	0.00	0.45	100.0	0.00
^07/13/2017	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.97	0.00	0.97	100.0	0.00
^07/13/2017	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	4.47	0.00	4.47	100.0	0.00
^07/21/2017	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	2.12	0.00	2.12	100.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$38.20</b>	<b>\$0.00</b>	<b>\$38.20</b>		<b>\$0.00</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
^06/30/2017	PPJ LLC	JOHN HANCOCK LIFE INS CO	0.00	33.73	0.00	33.73	100.0	0.00
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$33.73</b>	<b>\$0.00</b>	<b>\$33.73</b>		<b>\$0.00</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$71.93</b>	<b>\$0.00</b>	<b>\$71.93</b>		<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------	--------	------------	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

08/15/2017		*PERSHING: ETF PROSPECTUS MAILING	0.00					0.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.02
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.02
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed