



All Companies

02/14/2018
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COMMISSION STATEMENT February 15, 2018

MOYNIHAN/SSI
4813 BELLFLOWER WAY

BROKER #: 9527
BRANCH: HOME OFFICE

FORT WORTH, TX 76123

COMMISSION STATEMENT for MOYNIHAN/SSI

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION | | |
|--------------------------------|-------------|---------------------|----------------|----------------------|---------------------|--------------------|-------------------|--------|----------------------|------|---------|
| BROKER TRANSACTIONS | | | | | | | | | | | |
| PRODUCT CATEGORY: MUTUAL FUNDS | | | | | | | | | | | |
| 01/29/2018 | 00063673248 | SAENZ, OLGA LYDIA | AMERICAN FUNDS | AMCAP FD | B | 50.00 | 1.38 | 0.00 | 1.38 | 90.0 | 1.24 |
| 01/29/2018 | 00063673248 | SAENZ, OLGA LYDIA | AMERICAN FUNDS | AMCAP FD | B | 25.20 | 0.69 | 0.00 | 0.69 | 90.0 | 0.62 |
| 01/29/2018 | 00064570660 | MONTALVO, VICTOR M | AMERICAN FUNDS | AMCAP FD | B | 25.00 | 0.69 | 0.00 | 0.69 | 90.0 | 0.62 |
| 01/29/2018 | 00064570660 | MONTALVO, VICTOR M | AMERICAN FUNDS | AMCAP FD | B | 25.00 | 0.69 | 0.00 | 0.69 | 90.0 | 0.62 |
| 01/29/2018 | 00082020519 | POINTS, J | AMERICAN FUNDS | CAPITAL WORLD GROWT | B | 130.00 | 3.58 | 0.00 | 3.58 | 90.0 | 3.22 |
| 01/29/2018 | 00082020519 | POINTS, J | AMERICAN FUNDS | CAPITAL WORLD GROWT | B | 165.00 | 4.54 | 0.00 | 4.54 | 90.0 | 4.09 |
| 01/29/2018 | 00082020527 | ORINGDERFF, MARY | AMERICAN FUNDS | CAPITAL INCOME BUILD | B | 10.00 | 0.28 | 0.00 | 0.28 | 90.0 | 0.25 |
| 01/29/2018 | 00082020527 | ORINGDERFF, MARY | AMERICAN FUNDS | CAPITAL INCOME BUILD | B | 12.00 | 0.33 | 0.00 | 0.33 | 90.0 | 0.30 |
| 01/29/2018 | 00082020539 | ORINGDERFF, KENNETH | AMERICAN FUNDS | CAPITAL INCOME BUILD | B | 10.00 | 0.28 | 0.00 | 0.28 | 90.0 | 0.25 |
| 01/29/2018 | 00082020539 | ORINGDERFF, KENNETH | AMERICAN FUNDS | CAPITAL INCOME BUILD | B | 12.00 | 0.33 | 0.00 | 0.33 | 90.0 | 0.30 |
| 01/29/2018 | 00082020556 | TALASEK, RAYMOND C | AMERICAN FUNDS | INVESTMENT COMP OF A | B | 96.00 | 2.64 | 0.00 | 2.64 | 90.0 | 2.38 |
| 01/29/2018 | 00082020556 | TALASEK, RAYMOND C | AMERICAN FUNDS | INVESTMENT COMP OF A | B | 96.00 | 2.64 | 0.00 | 2.64 | 90.0 | 2.38 |
| 01/29/2018 | 00082020593 | POINTS, CAREY R | AMERICAN FUNDS | CAPITAL INCOME BUILD | B | 75.00 | 2.06 | 0.00 | 2.06 | 90.0 | 1.85 |
| 01/29/2018 | 00082020593 | POINTS, CAREY R | AMERICAN FUNDS | CAPITAL INCOME BUILD | B | 95.88 | 2.64 | 0.00 | 2.64 | 90.0 | 2.38 |
| 01/29/2018 | 00085015791 | CRUZ, DIANA B. | AMERICAN FUNDS | 2025 TARGET DATE-A | B | 27.60 | 0.76 | 0.00 | 0.76 | 90.0 | 0.68 |
| 01/29/2018 | 00085015791 | CRUZ, DIANA B. | AMERICAN FUNDS | 2025 TARGET DATE-A | B | 50.00 | 1.38 | 0.00 | 1.38 | 90.0 | 1.24 |
| 01/29/2018 | 00085038819 | ESTRADA, GRACIELA | AMERICAN FUNDS | 2015 TARGET DATE-A | B | 50.00 | 1.38 | 0.00 | 1.38 | 90.0 | 1.24 |
| 01/29/2018 | 00085038819 | ESTRADA, GRACIELA | AMERICAN FUNDS | 2015 TARGET DATE-A | B | 23.86 | 0.66 | 0.00 | 0.66 | 90.0 | 0.59 |
| 01/29/2018 | 00085500213 | MEISER, JEFFREY | AMERICAN FUNDS | CAPITAL WORLD BD FD | B | 41.57 | 1.14 | 0.00 | 1.14 | 90.0 | 1.03 |
| 01/29/2018 | 00085500213 | MEISER, JEFFREY | AMERICAN FUNDS | CAPITAL WORLD BD FD | B | 24.94 | 0.69 | 0.00 | 0.69 | 90.0 | 0.62 |
| 01/29/2018 | 00085500213 | MEISER, JEFFREY | AMERICAN FUNDS | CAPITAL WORLD GROWT | B | 62.36 | 1.71 | 0.00 | 1.71 | 90.0 | 1.54 |
| 01/29/2018 | 00085500213 | MEISER, JEFFREY | AMERICAN FUNDS | CAPITAL WORLD GROWT | B | 37.42 | 1.03 | 0.00 | 1.03 | 90.0 | 0.93 |
| 01/29/2018 | 00085500213 | MEISER, JEFFREY | AMERICAN FUNDS | INVESTMENT COMP OF A | B | 62.36 | 1.71 | 0.00 | 1.71 | 90.0 | 1.54 |
| 01/29/2018 | 00085500213 | MEISER, JEFFREY | AMERICAN FUNDS | INVESTMENT COMP OF A | B | 37.42 | 1.03 | 0.00 | 1.03 | 90.0 | 0.93 |
| 01/29/2018 | 00085500213 | MEISER, JEFFREY | AMERICAN FUNDS | NEW PERSPECTIVE FD | B | 41.57 | 1.14 | 0.00 | 1.14 | 90.0 | 1.03 |
| 01/29/2018 | 00085500213 | MEISER, JEFFREY | AMERICAN FUNDS | NEW PERSPECTIVE FD | B | 24.94 | 0.69 | 0.00 | 0.69 | 90.0 | 0.62 |
| 01/29/2018 | 00085622106 | CRUZ, RAMON | AMERICAN FUNDS | 2015 TARGET DATE-A | B | 30.00 | 0.83 | 0.00 | 0.83 | 90.0 | 0.75 |
| 01/29/2018 | 00085622106 | CRUZ, RAMON | AMERICAN FUNDS | 2015 TARGET DATE-A | B | 24.00 | 0.66 | 0.00 | 0.66 | 90.0 | 0.59 |
| * MUTUAL FUNDS SUBTOTAL * | | | | | | \$1,365.12 | \$37.58 | \$0.00 | \$37.58 | | \$33.83 |

COMMISSION STATEMENT for MOYNIHAN/SSI

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

BROKER TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITIES

| | | | | | | | | | |
|---------------------------------|----------------------------|-------------------------|---|----------|---------|--------|---------|------|---------|
| 01/22/2018 | VP60290560 ROZAS, RICKIE A | -PACIFIC LIFE INSURANCE | B | 750.00 | 15.00 | 0.00 | 15.00 | 90.0 | 13.50 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | | \$750.00 | \$15.00 | \$0.00 | \$15.00 | | \$13.50 |

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

| | | | | | | | | | |
|--------------------------------------|-------------------|-------------------------------|--|------------|---------|--------|---------|------|---------|
| 02/15/2018 | TRAIL COMMISSIONS | FRANKLIN TEMPLETON GROUP FEES | | 0.00 | 3.06 | 0.00 | 3.06 | 90.0 | 2.75 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$3.06 | \$0.00 | \$3.06 | | \$2.75 |
| *** BROKER TRANSACTIONS TOTAL *** | | | | \$2,115.12 | \$55.64 | \$0.00 | \$55.64 | | \$50.08 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|---------|
| Broker Transactions | \$50.08 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |

| | |
|-----------------------|----------|
| Check Amount | \$50.08 |
| Year-to-date Earnings | \$509.71 |