



# COMMISSION STATEMENT

## July 16, 2018

**JEFFRY PROUL**  
950 Glenn Drive  
Suite 100  
Folsom, CA 95630

**BROKER #: 940**  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/02/2018	***-**-22CL - PROUL, CLIENTS	AMERICAN FUNDS 2055 TARGET DATE-A	55.20	1.52	0.00	1.52	75.0	1.14
	ACCOUNT #: 00089402273	BUY 3 Units @19.8900						
07/02/2018	***-**-22CL - PROUL, CLIENTS	AMERICAN FUNDS 2055 TARGET DATE-A	200.00	5.50	0.00	5.50	75.0	4.13
	ACCOUNT #: 00089402273	BUY 10 Units @19.8900						
06/21/2018	***-**-2566 - MINOTT, JUANITA	FIDELITY ADV FREEDOM 2025-CL A	-7.88	-0.39	0.00	-0.39	75.0	-0.29
	ACCOUNT #: 03900221916	BUY 1 Units @14.2600						
06/21/2018	***-**-2566 - MINOTT, JUANITA	FIDELITY ADV FREEDOM 2025-CL A	-7.88	-0.39	0.00	-0.39	75.0	-0.29
	ACCOUNT #: 03900221916	BUY 1 Units @14.2600						
06/26/2018	***-**-1522 - PROUL, JEFFRY	FIDELITY ADV FREEDOM 2025-CL A	645.91	32.30	0.00	32.30	75.0	24.23
	ACCOUNT #: 03900220125	BUY 45 Units @14.2000						
06/26/2018	***-**-1522 - PROUL, JEFFRY	FIDELITY ADV FREEDOM 2025-CL A	93.75	4.69	0.00	4.69	75.0	3.52
	ACCOUNT #: 03900220125	BUY 7 Units @14.2000						
06/26/2018	***-**-7621 - PROUL, JUSTINE	FIDELITY ADV FREEDOM 2025-CL A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 03900221014	BUY 4 Units @14.2000						
06/26/2018	***-**-7621 - PROUL, JUSTINE	FIDELITY ADV FREEDOM 2025-CL A	50.00	2.50	0.00	2.50	75.0	1.88
	ACCOUNT #: 03900221014	BUY 4 Units @14.2000						
06/28/2018	***-**-2566 - MINOTT, JUANITA	FIDELITY ADV FREEDOM 2025-CL A	-6.45	-0.32	0.00	-0.32	75.0	-0.24
	ACCOUNT #: 03900221916	BUY 0 Units @14.1500						
06/28/2018	***-**-2566 - MINOTT, JUANITA	FIDELITY ADV FREEDOM 2025-CL A	-6.45	-0.32	0.00	-0.32	75.0	-0.24
	ACCOUNT #: 03900221916	BUY 0 Units @14.1500						
06/28/2018	***-**-2566 - MINOTT, JUANITA	FIDELITY ADV FREEDOM 2025-CL A	6.45	0.32	0.00	0.32	75.0	0.24
	ACCOUNT #: 03900221916	BUY 0 Units @14.1500						
06/28/2018	***-**-2566 - MINOTT, JUANITA	FIDELITY ADV FREEDOM 2025-CL A	6.45	0.32	0.00	0.32	75.0	0.24
	ACCOUNT #: 03900221916	BUY 0 Units @14.1500						
06/28/2018	***-**-1489 - TATUSKO, MARY	FIDELITY ADV FREEDOM 2025-CL A	13.62	0.68	0.00	0.68	75.0	0.51
	ACCOUNT #: 03900228796	BUY 1 Units @14.1500						
06/28/2018	***-**-1489 - TATUSKO, MARY	FIDELITY ADV FREEDOM 2025-CL A	90.85	4.54	0.00	4.54	75.0	3.41
	ACCOUNT #: 03900228796	BUY 6 Units @14.1500						
07/05/2018	***-**-2566 - MINOTT, JUANITA	FIDELITY ADV FREEDOM 2025-CL A	4.96	0.25	0.00	0.25	75.0	0.19
	ACCOUNT #: 03900221916	BUY 0 Units @14.2400						
07/05/2018	***-**-2566 - MINOTT, JUANITA	FIDELITY ADV FREEDOM 2025-CL A	4.96	0.25	0.00	0.25	75.0	0.19
	ACCOUNT #: 03900221916	BUY 0 Units @14.2400						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
06/28/2018	***-**-5939 - WHITE, TAMARA	FIDELITY ADV FREEDOM 2030-CL A	27.60	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 03900219123	BUY 2 Units @15.3600						
06/28/2018	***-**-5939 - WHITE, TAMARA	FIDELITY ADV FREEDOM 2030-CL A	27.60	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 03900219123	BUY 2 Units @15.3600						
07/05/2018	***-**-5939 - WHITE, TAMARA	FIDELITY ADV FREEDOM 2030-CL A	27.60	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 03900219123	BUY 2 Units @15.4600						
07/05/2018	***-**-5939 - WHITE, TAMARA	FIDELITY ADV FREEDOM 2030-CL A	27.60	1.38	0.00	1.38	75.0	1.04
	ACCOUNT #: 03900219123	BUY 2 Units @15.4600						
06/25/2018	***-**-5505 - OREA, STEPHANIE	FIDELITY ADV FREEDOM 2050-CL A	47.23	2.36	0.00	2.36	75.0	1.77
	ACCOUNT #: 03900185962	BUY 4 Units @12.5700						
06/25/2018	***-**-5505 - OREA, STEPHANIE	FIDELITY ADV FREEDOM 2050-CL A	47.23	2.36	0.00	2.36	75.0	1.77
	ACCOUNT #: 03900185962	BUY 4 Units @12.5700						
06/25/2018	***-**-8161 - PARALES, NICOLE	FIDELITY ADV FREEDOM 2050-CL A	40.80	2.04	0.00	2.04	75.0	1.53
	ACCOUNT #: 03900185999	BUY 3 Units @12.5700						
06/25/2018	***-**-8161 - PARALES, NICOLE	FIDELITY ADV FREEDOM 2050-CL A	40.80	2.04	0.00	2.04	75.0	1.53
	ACCOUNT #: 03900185999	BUY 3 Units @12.5700						
06/25/2018	***-**-3406 - RODRIGUEZ, MARIA	FIDELITY ADV FREEDOM 2050-CL A	37.80	1.89	0.00	1.89	75.0	1.42
	ACCOUNT #: 03900186010	BUY 3 Units @12.5700						
06/25/2018	***-**-3406 - RODRIGUEZ, MARIA	FIDELITY ADV FREEDOM 2050-CL A	37.80	1.89	0.00	1.89	75.0	1.42
	ACCOUNT #: 03900186010	BUY 3 Units @12.5700						
06/25/2018	***-**-4265 -	FIDELITY ADV FREEDOM 2050-CL A	44.59	2.23	0.00	2.23	75.0	1.67
	ACCOUNT #: 03900186012	BUY 4 Units @12.5700						
06/25/2018	***-**-4265 -	FIDELITY ADV FREEDOM 2050-CL A	150.00	7.50	0.00	7.50	75.0	5.63
	ACCOUNT #: 03900186012	BUY 12 Units @12.5700						
06/25/2018	***-**-0806 - ALEIXO, JEFFREY	FIDELITY ADV FREEDOM 2050-CL A	201.92	10.10	0.00	10.10	75.0	7.58
	ACCOUNT #: 03900186019	BUY 16 Units @12.5700						
06/25/2018	***-**-0806 - ALEIXO, JEFFREY	FIDELITY ADV FREEDOM 2050-CL A	201.92	10.10	0.00	10.10	75.0	7.58
	ACCOUNT #: 03900186019	BUY 16 Units @12.5700						
06/25/2018	***-**-6251 - NAKABA, RANDALL	FIDELITY ADV FREEDOM 2050-CL A	37.50	1.88	0.00	1.88	75.0	1.41
	ACCOUNT #: 03900186024	BUY 3 Units @12.5700						
06/25/2018	***-**-6251 - NAKABA, RANDALL	FIDELITY ADV FREEDOM 2050-CL A	44.40	2.22	0.00	2.22	75.0	1.67
	ACCOUNT #: 03900186024	BUY 4 Units @12.5700						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUNDS (continued)</b>								
06/25/2018	***-**-2963 - LAPAGE, STEPHEN	FIDELITY ADV FREEDOM 2050-CL A	38.34	1.92	0.00	1.92	75.0	1.44
	ACCOUNT #: 03900186027	BUY 3 Units @12.5700						
06/25/2018	***-**-2963 - LAPAGE, STEPHEN	FIDELITY ADV FREEDOM 2050-CL A	100.00	5.00	0.00	5.00	75.0	3.75
	ACCOUNT #: 03900186027	BUY 8 Units @12.5700						
06/25/2018	***-**-9826 - NERSESIAN, POLET	FIDELITY ADV FREEDOM 2050-CL A	57.69	2.88	0.00	2.88	75.0	2.16
	ACCOUNT #: 03900186038	BUY 5 Units @12.5700						
06/25/2018	***-**-9826 - NERSESIAN, POLET	FIDELITY ADV FREEDOM 2050-CL A	57.69	2.88	0.00	2.88	75.0	2.16
	ACCOUNT #: 03900186038	BUY 5 Units @12.5700						
06/25/2018	***-**-2535 - ALCAZAR, MARIA	FIDELITY ADV FREEDOM 2050-CL A	40.00	2.00	0.00	2.00	75.0	1.50
	ACCOUNT #: 03900186047	BUY 3 Units @12.5700						
06/25/2018	***-**-2535 - ALCAZAR, MARIA	FIDELITY ADV FREEDOM 2050-CL A	44.65	2.23	0.00	2.23	75.0	1.67
	ACCOUNT #: 03900186047	BUY 4 Units @12.5700						
07/02/2018	***-**-645P - CALLES, LORIANN	THE GROWTH FUND OF AMERICA-A	150.00	4.13	0.00	4.13	75.0	3.10
	ACCOUNT #: 00081807366	BUY 3 Units @56.0400						
07/02/2018	***-**-645P - CALLES, LORIANN	THE GROWTH FUND OF AMERICA-A	90.00	2.48	0.00	2.48	75.0	1.86
	ACCOUNT #: 00081807366	BUY 2 Units @56.0400						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$2,814.25</b>	<b>\$129.60</b>	<b>\$0.00</b>	<b>\$129.60</b>		<b>\$97.28</b>

### PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/01/2018	***-**-390I - REPELLA, CRAIG	AIM ASIA PACIFIC GROWTH FUND - CL A	0.00	55.72	0.00	55.72	75.0	41.79
	ACCOUNT #: 07000318605	BUY 0 Units @0.0000						
07/01/2018	***-**-90IN - REPELLA, CRAIG	AIM EUROPEAN GROWTH FUND - CLASS A	0.00	3.82	0.00	3.82	75.0	2.87
	ACCOUNT #: 07000279823	BUY 0 Units @0.0000						
07/01/2018	***-**-390I - REPELLA, CRAIG	AIM EUROPEAN GROWTH FUND - CLASS A	0.00	67.53	0.00	67.53	75.0	50.65
	ACCOUNT #: 07000318605	BUY 0 Units @0.0000						
07/01/2018	***-**-90IN - REPELLA, CRAIG	AIM GLOBAL HEALTH CARE FUND CLASS A	0.00	61.81	0.00	61.81	75.0	46.36
	ACCOUNT #: 07000279823	BUY 0 Units @0.0000						
06/30/2018	***-**-90IN - REPELLA, CRAIG	CAPITAL INCOME A	0.00	15.45	0.00	15.45	75.0	11.59
	ACCOUNT #: 03002590907	BUY 0 Units @0.0000						
07/09/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.40	0.00	0.40	75.0	0.30
	ACCOUNT #: 03900211572	BUY 0 Units @0.0000						
07/09/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.25	0.00	0.25	75.0	0.19
	ACCOUNT #: 03900211583	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/09/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV EQUITY GROWTH CL A	0.00	0.36	0.00	0.36	75.0	0.27
	ACCOUNT #: 03900211572	BUY 0 Units @0.0000						
07/09/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV EQUITY GROWTH CL A	0.00	0.17	0.00	0.17	75.0	0.13
	ACCOUNT #: 03900211583	BUY 0 Units @0.0000						
07/09/2018	***-**-1522 - PROUL, JEFFRY	FIDELITY ADV FREEDOM 2025-CL A	0.00	0.84	0.00	0.84	75.0	0.63
	ACCOUNT #: 03900220125	BUY 0 Units @0.0000						
07/09/2018	***-**-7621 - PROUL, JUSTINE	FIDELITY ADV FREEDOM 2025-CL A	0.00	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 03900221014	BUY 0 Units @0.0000						
07/09/2018	***-**-2566 - MINOTT, JUANITA	FIDELITY ADV FREEDOM 2025-CL A	0.00	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: 03900221916	BUY 0 Units @0.0000						
07/09/2018	***-**-1489 - TATUSKO, MARY	FIDELITY ADV FREEDOM 2025-CL A	0.00	0.09	0.00	0.09	75.0	0.07
	ACCOUNT #: 03900228796	BUY 0 Units @0.0000						
07/09/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV FREEDOM 2030-CL A	0.00	0.22	0.00	0.22	75.0	0.17
	ACCOUNT #: 03900211572	BUY 0 Units @0.0000						
07/09/2018	***-**-5939 - WHITE, TAMARA	FIDELITY ADV FREEDOM 2030-CL A	0.00	0.15	0.00	0.15	75.0	0.11
	ACCOUNT #: 03900219123	BUY 0 Units @0.0000						
07/09/2018	***-**-5505 - OREA, STEPHANIE	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.32	0.00	0.32	75.0	0.24
	ACCOUNT #: 03900185962	BUY 0 Units @0.0000						
07/09/2018	***-**-8161 - PARALES, NICOLE	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.26	0.00	0.26	75.0	0.20
	ACCOUNT #: 03900185999	BUY 0 Units @0.0000						
07/09/2018	***-**-3406 - RODRIGUEZ, MARIA	FIDELITY ADV FREEDOM 2050-CL A	0.00	1.73	0.00	1.73	75.0	1.30
	ACCOUNT #: 03900186010	BUY 0 Units @0.0000						
07/09/2018	***-**-4265 -	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.62	0.00	0.62	75.0	0.47
	ACCOUNT #: 03900186012	BUY 0 Units @0.0000						
07/09/2018	***-**-0806 - ALEIXO, JEFFREY	FIDELITY ADV FREEDOM 2050-CL A	0.00	1.26	0.00	1.26	75.0	0.95
	ACCOUNT #: 03900186019	BUY 0 Units @0.0000						
07/09/2018	***-**-2868 - MADDOCK, ASHLEY	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: 03900186023	BUY 0 Units @0.0000						
07/09/2018	***-**-6251 - NAKABA, RANDALL	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.25	0.00	0.25	75.0	0.19
	ACCOUNT #: 03900186024	BUY 0 Units @0.0000						
07/09/2018	***-**-2963 - LAPAGE, STEPHEN	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.43	0.00	0.43	75.0	0.32
	ACCOUNT #: 03900186027	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
07/09/2018	***-**-6187 - HICKS, BREANNA	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.06	0.00	0.06	75.0	0.05
	ACCOUNT #: 03900186033	BUY 0 Units @0.0000						
07/09/2018	***-**-9826 - NERSESIAN, POLET	FIDELITY ADV FREEDOM 2050-CL A	0.00	2.40	0.00	2.40	75.0	1.80
	ACCOUNT #: 03900186038	BUY 0 Units @0.0000						
07/09/2018	***-**-2535 - ALCAZAR, MARIA	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.27	0.00	0.27	75.0	0.20
	ACCOUNT #: 03900186047	BUY 0 Units @0.0000						
07/09/2018	***-**-8871 - GUTIERREZ,	FIDELITY ADV FREEDOM 2050-CL A	0.00	0.08	0.00	0.08	75.0	0.06
	ACCOUNT #: 03900186057	BUY 0 Units @0.0000						
07/09/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV GLOBAL EQUITY INCOME C	0.00	0.17	0.00	0.17	75.0	0.13
	ACCOUNT #: 03900211572	BUY 0 Units @0.0000						
07/09/2018	***-**-388I - AVIS, ALAN	FIDELITY ADV GLOBAL EQUITY INCOME C	0.00	0.14	0.00	0.14	75.0	0.11
	ACCOUNT #: 03900211583	BUY 0 Units @0.0000						
07/09/2018	***-**-1522 - PROUL, JEFFRY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	61.24	0.00	61.24	75.0	45.93
	ACCOUNT #: 03900220125	BUY 0 Units @0.0000						
06/30/2018	***-**-091I - ALBERS, KEN	GLOBAL FUND A	0.00	13.52	0.00	13.52	75.0	10.14
	ACCOUNT #: 03302610379	BUY 0 Units @0.0000						
07/01/2018	***-**-905P - REPELLA, CRAIG	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	120.17	0.00	120.17	75.0	90.13
	ACCOUNT #: 00005461876	BUY 0 Units @0.0000						
07/01/2018	***-**-742I - CLASEN, SHIRLEY	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	3.50	0.00	3.50	75.0	2.63
	ACCOUNT #: 05002335932	BUY 0 Units @0.0000						
07/16/2018	***-**-8157 - SPIRE	QUALIFIED PLAN SUMMARY	0.00	1,755.88	0.00	1,755.88	75.0	1,316.91
	ACCOUNT #: BRK110137	BUY 0 Units @0.0000						
07/16/2018	***-**-118I - PARACORP INC,	QUALIFIED PLAN SUMMARY	0.00	3,881.17	0.00	3,881.17	75.0	2,910.88
	ACCOUNT #: BRK87957	BUY 0 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$6,050.52</b>	<b>\$0.00</b>	<b>\$6,050.52</b>		<b>\$4,537.95</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$2,814.25</b>	<b>\$6,180.12</b>	<b>\$0.00</b>	<b>\$6,180.12</b>		<b>\$4,635.23</b>



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$4,635.23
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$4,635.23
						Year-to-date Earnings		\$38,577.89