



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**January 31, 2018**

01/29/2018  
Page No. 1

JACK MICHALETZ  
150 St. Andrews Ct.  
Ste. 210  
Mankato, MN 56001

BROKER #: M137  
BRANCH: DISCIPLINE ADVISORS,

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/10/2018	PAHL, ADAM	MFS AGGRESSIVE GROWTH ALLOCATION C	5,000.00	50.00	22.00	28.00	40.0	11.20
01/16/2018	ZIEGLER, KRISTINE	PUTNAM ASSET ALLOC GROWTH A	50.00	2.50	12.00	-9.50	40.0	-3.80
01/16/2018	SIEBERG, KYLE	PUTNAM EQUITY SPECTRUM C	100.00	1.00	12.00	-11.00	40.0	-4.40
01/10/2018	SIEBERG, KYLE	TRANSAMERICA AA GROWTH C	-5,899.15	22.00	22.00	0.00	40.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$-749.15	\$75.50	\$68.00	\$7.50		\$3.00
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
01/10/2018	SIEBERG, KYLE	POWERSHARES QQQ TR UNIT SER 1	5,828.70	0.00	22.50	-22.50	40.0	-9.00
* STOCKS SUBTOTAL *			\$5,828.70	\$0.00	\$22.50	\$-22.50		\$-9.00
*** CLEARING TRANSACTIONS TOTAL ***			\$5,079.55	\$75.50	\$90.50	\$-15.00		\$-6.00
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
01/10/2018	BROWN, MARK	COTTONWOOD RESIDENTIAL 2016 PREFR'D	25,000.00	1,750.00	0.00	1,750.00	40.0	700.00
* PRIVATE PLACEMENT SUBTOTAL *			\$25,000.00	\$1,750.00	\$0.00	\$1,750.00		\$700.00
<b><u>PRODUCT CATEGORY: 1031 EXCHANGES</u></b>								
01/19/2018	NELSON, DONALD	RRTY KING RIVER ROYALTIES	100,000.00	6,000.00	0.00	6,000.00	40.0	2,400.00
* 1031 EXCHANGES SUBTOTAL *			\$100,000.00	\$6,000.00	\$0.00	\$6,000.00		\$2,400.00
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/02/2018	PROKOP, XAVIER	TRANSAMERICA AA GROWTH A	604.98	28.74	0.00	28.74	40.0	11.50
01/02/2018	PROKOP, MAKENNA	TRANSAMERICA AA GROWTH A	430.00	20.43	0.00	20.43	40.0	8.17
01/02/2018	PAHL, JACKSON	TRANSAMERICA AA GROWTH C	1,000.00	10.00	0.00	10.00	40.0	4.00
01/03/2018	ROSE, REBECCA	TRANSAMERICA AA GROWTH C	250.00	2.50	0.00	2.50	40.0	1.00
01/03/2018	ROSE, ERIC	TRANSAMERICA AA GROWTH C	250.00	2.50	0.00	2.50	40.0	1.00
01/03/2018	DUMMER, NICOLE	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	40.0	0.20

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/03/2018	PEMBLE, JOCELYN	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	40.0	0.20
01/08/2018	EARLS, RUTH	TRANSAMERICA AA GROWTH C	30.90	0.31	0.00	0.31	40.0	0.12
01/08/2018	EARLS, RUTH	TRANSAMERICA AA GROWTH C	30.90	0.31	0.00	0.31	40.0	0.12
01/08/2018	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	177.34	1.77	0.00	1.77	40.0	0.71
01/08/2018	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	177.34	1.77	0.00	1.77	40.0	0.71
01/16/2018	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	200.00	2.00	0.00	2.00	40.0	0.80
01/16/2018	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	200.00	2.00	0.00	2.00	40.0	0.80
01/16/2018	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	68.56	0.69	0.00	0.69	40.0	0.28
01/16/2018	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	68.56	0.69	0.00	0.69	40.0	0.28
01/16/2018	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	40.0	0.16
01/16/2018	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	40.0	0.16
01/16/2018	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	39.27	0.39	0.00	0.39	40.0	0.16
01/16/2018	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	39.27	0.39	0.00	0.39	40.0	0.16
01/16/2018	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	40.0	0.20
01/16/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	51.13	0.51	0.00	0.51	40.0	0.20
01/16/2018	FURST, KENNETH	TRANSAMERICA AA GROWTH C	51.13	0.51	0.00	0.51	40.0	0.20
01/08/2018	ESLINGER, PATRICIA	TRANSAMERICA AA MOD GROWTH C	250.00	2.50	0.00	2.50	40.0	1.00
01/08/2018	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	659.14	6.59	0.00	6.59	40.0	2.64
01/08/2018	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	219.72	2.20	0.00	2.20	40.0	0.88
01/08/2018	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	73.44	0.73	0.00	0.73	40.0	0.29
01/08/2018	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	73.44	0.73	0.00	0.73	40.0	0.29
01/08/2018	SARAH M GILBERTO, SIM	TRANSAMERICA AA MOD GROWTH C	28.46	0.28	0.00	0.28	40.0	0.11
01/08/2018	SARAH M GILBERTO, SIM	TRANSAMERICA AA MOD GROWTH C	28.46	0.28	0.00	0.28	40.0	0.11
01/08/2018	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	187.98	1.88	0.00	1.88	40.0	0.75
01/08/2018	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	187.98	1.88	0.00	1.88	40.0	0.75
01/16/2018	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	150.00	1.50	0.00	1.50	40.0	0.60
01/16/2018	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	150.00	1.50	0.00	1.50	40.0	0.60
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$5,909.26</b>	<b>\$97.90</b>	<b>\$0.00</b>	<b>\$97.90</b>		<b>\$39.15</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
01/02/2018	WOLFE JR, THEODORE	MET LIFE 10922M360	728,618.28	2,276.93	0.00	2,276.93	40.0	910.77
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$728,618.28</b>	<b>\$2,276.93</b>	<b>\$0.00</b>	<b>\$2,276.93</b>		<b>\$910.77</b>

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/08/2018	ROSE, DUANE	AB MUNICIPAL INCOME MN A	0.00	37.56	0.00	37.56	40.0	15.02
01/24/2018	MICHALETZ, VARIOUS-JACK	AMERICAN FUNDS PERSHING	0.00	794.33	0.00	794.33	40.0	317.73
01/16/2018	BLOCK, EUGENE	JH DISCIPLINED VALUE INT'L C	0.00	1.37	0.00	1.37	40.0	0.55
01/16/2018	ROBERTS, MICHELLE	JH DISCIPLINED VALUE INT'L C	0.00	0.23	0.00	0.23	40.0	0.09
01/16/2018	WALLEM, HARRY	JH FINANCIAL INDUSTRIES A	0.00	2.58	0.00	2.58	40.0	1.03
01/16/2018	BLOCK, EUGENE	JH INCOME B	0.00	1.14	0.00	1.14	40.0	0.46
01/16/2018	WALLEM, HARRY	JH LARGE CAP EQUITY A	0.00	4.19	0.00	4.19	40.0	1.68
01/16/2018	BLOCK, EUGENE	JH LARGE CAP EQUITY A	0.00	1.79	0.00	1.79	40.0	0.72
01/16/2018	BLOCK, EUGENE	JH LARGE CAP EQUITY B	0.00	1.09	0.00	1.09	40.0	0.44
01/16/2018	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY B	0.00	0.03	0.00	0.03	40.0	0.01
01/16/2018	BLOCK, EUGENE	JH LARGE CAP EQUITY C	0.00	1.93	0.00	1.93	40.0	0.77
01/16/2018	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY C	0.00	0.29	0.00	0.29	40.0	0.12
01/16/2018	ROBERTS, MICHELLE	JH LARGE CAP EQUITY C	0.00	0.06	0.00	0.06	40.0	0.02
01/16/2018	TOLZMANN, RICHARD	JH LIFESTYLE AGGRESSIVE PORTFOLIO C	0.00	7.48	0.00	7.48	40.0	2.99
01/16/2018	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT A	0.00	5.84	0.00	5.84	40.0	2.34
01/16/2018	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT B	0.00	1.74	0.00	1.74	40.0	0.70
01/16/2018	OLSON, HARLAN	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	3.22	0.00	3.22	40.0	1.29
01/16/2018	HERMEL, ROBERT	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	5.03	0.00	5.03	40.0	2.01
01/16/2018	WALLEM, HARRY	JH NEW OPPORTUNITIES A	0.00	2.28	0.00	2.28	40.0	0.91
01/16/2018	BLOCK, EUGENE	JH NEW OPPORTUNITIES A	0.00	0.67	0.00	0.67	40.0	0.27
01/16/2018	BLOCK, EUGENE	JH NEW OPPORTUNITIES C	0.00	1.44	0.00	1.44	40.0	0.58
01/16/2018	ROBERTS, MICHELLE	JH SMALL COMPANY FUND A	0.00	0.08	0.00	0.08	40.0	0.03
01/16/2018	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH A	0.00	0.04	0.00	0.04	40.0	0.02
01/16/2018	BLOCK, EUGENE	JH STRATEGIC GROWTH A	0.00	1.20	0.00	1.20	40.0	0.48
01/16/2018	BLOCK, EUGENE	JH STRATEGIC GROWTH C	0.00	1.87	0.00	1.87	40.0	0.75
01/16/2018	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH C	0.00	0.29	0.00	0.29	40.0	0.12
01/16/2018	WALLEM, HARRY	JH STRATEGIC INCOME A	0.00	2.69	0.00	2.69	40.0	1.08
01/16/2018	BLOCK, EUGENE	JH STRATEGIC INCOME A	0.00	0.49	0.00	0.49	40.0	0.20
01/16/2018	BLOCK, EUGENE	JH STRATEGIC INCOME C	0.00	1.33	0.00	1.33	40.0	0.53
01/16/2018	ROBERTS, MICHELLE	JH STRATEGIC INCOME C	0.00	0.85	0.00	0.85	40.0	0.34
01/16/2018	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	0.00	7.86	0.00	7.86	40.0	3.14
01/16/2018	WALLEM, HARRY	JN DISCIPLINED VALUE INT'L A	0.00	1.97	0.00	1.97	40.0	0.79
01/16/2018	BLOCK, EUGENE	JN DISCIPLINED VALUE INT'L A	0.00	0.62	0.00	0.62	40.0	0.25

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/16/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	0.00	8.49	0.00	8.49	40.0	3.40
01/16/2018	ROBERTS, MICHELLE	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	0.11	0.00	0.11	40.0	0.04
01/16/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	6.94	0.00	6.94	40.0	2.78
01/16/2018	HENZE, DUANE	JOHN HANCOCK LIFESTYLE AGGR PORTFOL	0.00	0.02	0.00	0.02	40.0	0.01
01/16/2018	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	0.00	6.32	0.00	6.32	40.0	2.53
01/02/2018	CONNOLLY, BARBARA	PUTNAM EQUITY INCOME A	0.00	2.35	0.00	2.35	40.0	0.94
01/02/2018	PLAN	PUTNAM GROWTH OPP A	0.00	1.33	0.00	1.33	40.0	0.53
01/03/2018	ROBERTSON, MITCHELL	TRANSAMERICA AA GROWTH A	0.00	1.31	0.00	1.31	40.0	0.52
01/03/2018	PAHL, JASON	TRANSAMERICA AA GROWTH A	0.00	7.21	0.00	7.21	40.0	2.88
01/03/2018	PROKOP, XAVIER	TRANSAMERICA AA GROWTH A	0.00	4.00	0.00	4.00	40.0	1.60
01/03/2018	PROKOP, GRANT	TRANSAMERICA AA GROWTH A	0.00	1.26	0.00	1.26	40.0	0.50
01/03/2018	PROKOP, GRANT	TRANSAMERICA AA GROWTH A	0.00	0.85	0.00	0.85	40.0	0.34
01/03/2018	PROKOP, MAKENNA	TRANSAMERICA AA GROWTH A	0.00	1.28	0.00	1.28	40.0	0.51
01/03/2018	ROBERTSON, MITCHELL	TRANSAMERICA AA GROWTH C	0.00	2.92	0.00	2.92	40.0	1.17
01/03/2018	GARTNER, MARK	TRANSAMERICA AA GROWTH C	0.00	6.01	0.00	6.01	40.0	2.40
01/03/2018	ROSE, REBECCA	TRANSAMERICA AA GROWTH C	0.00	40.73	0.00	40.73	40.0	16.29
01/03/2018	ROSE, ERIC	TRANSAMERICA AA GROWTH C	0.00	40.73	0.00	40.73	40.0	16.29
01/03/2018	FEMRITE, LYLE	TRANSAMERICA AA GROWTH C	0.00	5.81	0.00	5.81	40.0	2.32
01/03/2018	FITTERER, PAMELA	TRANSAMERICA AA GROWTH C	0.00	17.86	0.00	17.86	40.0	7.14
01/03/2018	DORN, SANDRA	TRANSAMERICA AA GROWTH C	0.00	6.05	0.00	6.05	40.0	2.42
01/03/2018	FITTERER, EMILY	TRANSAMERICA AA GROWTH C	0.00	1.86	0.00	1.86	40.0	0.74
01/03/2018	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	17.17	0.00	17.17	40.0	6.87
01/03/2018	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	53.19	0.00	53.19	40.0	21.28
01/03/2018	FITTERER, SAMUEL	TRANSAMERICA AA GROWTH C	0.00	1.62	0.00	1.62	40.0	0.65
01/03/2018	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	0.00	45.99	0.00	45.99	40.0	18.40
01/03/2018	SAURER, BRUCE	TRANSAMERICA AA GROWTH C	0.00	7.23	0.00	7.23	40.0	2.89
01/03/2018	HUGGINS, TONY	TRANSAMERICA AA GROWTH C	0.00	8.25	0.00	8.25	40.0	3.30
01/03/2018	MCRAE, HEATHER	TRANSAMERICA AA GROWTH C	0.00	1.79	0.00	1.79	40.0	0.72
01/03/2018	ROSE, JOHN	TRANSAMERICA AA GROWTH C	0.00	2.74	0.00	2.74	40.0	1.10
01/03/2018	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	0.00	19.45	0.00	19.45	40.0	7.78
01/03/2018	CONRAD, GARY	TRANSAMERICA AA GROWTH C	0.00	18.68	0.00	18.68	40.0	7.47
01/03/2018	DUMKE, KEVIN	TRANSAMERICA AA GROWTH C	0.00	12.05	0.00	12.05	40.0	4.82
01/03/2018	STEFFL, JOSEPH	TRANSAMERICA AA GROWTH C	0.00	2.26	0.00	2.26	40.0	0.90

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/03/2018	STEFFL, CHRISTINE	TRANSAMERICA AA GROWTH C	0.00	2.29	0.00	2.29	40.0	0.92
01/03/2018	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	1.47	0.00	1.47	40.0	0.59
01/03/2018	MEAD, KELSEY	TRANSAMERICA AA GROWTH C	0.00	3.78	0.00	3.78	40.0	1.51
01/03/2018	MICHALETZ, ADISYN	TRANSAMERICA AA GROWTH C	0.00	1.17	0.00	1.17	40.0	0.47
01/03/2018	OTTO, CURTIS	TRANSAMERICA AA GROWTH C	0.00	1.14	0.00	1.14	40.0	0.46
01/03/2018	BIRK, GREGORY	TRANSAMERICA AA GROWTH C	0.00	5.97	0.00	5.97	40.0	2.39
01/03/2018	ROY, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	21.89	0.00	21.89	40.0	8.76
01/03/2018	ROY TOD, JOSHUA	TRANSAMERICA AA GROWTH C	0.00	9.91	0.00	9.91	40.0	3.96
01/03/2018	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	5.35	0.00	5.35	40.0	2.14
01/03/2018	PAHL, JACKSON	TRANSAMERICA AA GROWTH C	0.00	4.01	0.00	4.01	40.0	1.60
01/03/2018	PAHL, GRACE	TRANSAMERICA AA GROWTH C	0.00	8.40	0.00	8.40	40.0	3.36
01/03/2018	SOUKUP, ARLENE	TRANSAMERICA AA GROWTH C	0.00	2.21	0.00	2.21	40.0	0.88
01/03/2018	SCHROEDER, CORY	TRANSAMERICA AA GROWTH C	0.00	8.81	0.00	8.81	40.0	3.52
01/03/2018	WALSER, ANDREW	TRANSAMERICA AA GROWTH C	0.00	13.80	0.00	13.80	40.0	5.52
01/03/2018	PEMBLE, JOCELYN	TRANSAMERICA AA GROWTH C	0.00	16.28	0.00	16.28	40.0	6.51
01/03/2018	EARLS, RUTH	TRANSAMERICA AA GROWTH C	0.00	0.88	0.00	0.88	40.0	0.35
01/03/2018	OLSON, ANDREW	TRANSAMERICA AA GROWTH C	0.00	4.95	0.00	4.95	40.0	1.98
01/03/2018	MICHALETZ, JANE	TRANSAMERICA AA GROWTH C	0.00	1.93	0.00	1.93	40.0	0.77
01/03/2018	WALCZYNSKI, JACOB	TRANSAMERICA AA GROWTH C	0.00	2.90	0.00	2.90	40.0	1.16
01/03/2018	BOESCH, SKYE	TRANSAMERICA AA GROWTH C	0.00	1.85	0.00	1.85	40.0	0.74
01/03/2018	FLYGARE, MATTHEW	TRANSAMERICA AA GROWTH C	0.00	3.15	0.00	3.15	40.0	1.26
01/03/2018	LOE, KIRSTEN	TRANSAMERICA AA GROWTH C	0.00	0.68	0.00	0.68	40.0	0.27
01/03/2018	LOE, CALEB	TRANSAMERICA AA GROWTH C	0.00	4.50	0.00	4.50	40.0	1.80
01/03/2018	KOTTSCHADE, LAURA	TRANSAMERICA AA GROWTH C	0.00	9.98	0.00	9.98	40.0	3.99
01/03/2018	KOTTSCHADE, DANIEL	TRANSAMERICA AA GROWTH C	0.00	16.90	0.00	16.90	40.0	6.76
01/03/2018	ROY, EMILY	TRANSAMERICA AA MOD GROWTH C	0.00	7.27	0.00	7.27	40.0	2.91
01/03/2018	ESLINGER, PATRICIA	TRANSAMERICA AA MOD GROWTH C	0.00	3.54	0.00	3.54	40.0	1.42
01/03/2018	RESCH, MARK	TRANSAMERICA AA MOD GROWTH C	0.00	5.24	0.00	5.24	40.0	2.10
01/03/2018	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	0.00	50.21	0.00	50.21	40.0	20.08
01/03/2018	ROELOFS, JODI	TRANSAMERICA AA MOD GROWTH C	0.00	6.86	0.00	6.86	40.0	2.74
01/03/2018	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	7.40	0.00	7.40	40.0	2.96
01/03/2018	STACEY MARIE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	1.33	0.00	1.33	40.0	0.53
01/03/2018	SARA JANE RIVET, SIM	TRANSAMERICA AA MOD GROWTH C	0.00	2.43	0.00	2.43	40.0	0.97

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
01/03/2018	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	0.00	10.53	0.00	10.53	40.0	4.21
01/03/2018	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	0.00	7.04	0.00	7.04	40.0	2.82
01/03/2018	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	0.00	3.83	0.00	3.83	40.0	1.53
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1,507.32</b>	<b>\$0.00</b>	<b>\$1,507.32</b>		<b>\$602.93</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
01/07/2018	LORENTZ, ANDREW	METLIFE TRAILS	0.00	1.00	0.00	1.00	40.0	0.40
01/01/2018	URBAN, CURTIS	MFS RESEARCH A	0.00	21.02	0.00	21.02	40.0	8.41
01/01/2018	URBAN, ANDREW	MFS RESEARCH A	0.00	2.33	0.00	2.33	40.0	0.93
01/01/2018	URBAN, CURTIS	MFS RESEARCH B	0.00	16.16	0.00	16.16	40.0	6.46
01/09/2018	SOUKUP, LAVERN	PAC LIFE PORTFOLIOS	0.00	13.99	0.00	13.99	40.0	5.60
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$54.50</b>	<b>\$0.00</b>	<b>\$54.50</b>		<b>\$21.80</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$859,527.54</b>	<b>\$11,686.65</b>	<b>\$0.00</b>	<b>\$11,686.65</b>		<b>\$4,674.65</b>

**SPLIT TRANSACTIONS****BROKER: 46G-JOE/JACK MICHALETZ #290**

01/24/2018	MICHALETZ, VARIOUS-JOE	AMERICAN FUNDS PERSHING	0.00	42.22	0.00	42.22	40.0	16.89
01/22/2018	OLSON, MARK	HPI FUND V	80,000.00	2,064.00	0.00	2,064.00	40.0	825.60
<b>* 46G-JOE/JACK MICHALETZ SUBTOTAL *</b>			<b>\$80,000.00</b>	<b>\$2,106.22</b>	<b>\$0.00</b>	<b>\$2,106.22</b>		<b>\$842.49</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$80,000.00</b>	<b>\$2,106.22</b>	<b>\$0.00</b>	<b>\$2,106.22</b>		<b>\$842.49</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$4,668.65
Split Transactions	\$842.49
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,511.14
Year-to-date Gross	\$24,298.73
Year-to-date Earnings	\$9,747.33