

## COMMISSION STATEMENT

April 30, 2017

ARTHUR KITTELSTAD  
3316 N FRONTAGE ROAD

BROKER #:  
BRANCH: HOME OFFICE

PLANT CITY, FL 33565

## COMMISSION STATEMENT for ARTHUR KITTELSTAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

04/24/2017	QGW018095	GRAMPP, RAYMOND	QUALCOMM INC	B	5,293.65	154.00	10.50	143.50	85.0	121.98
04/12/2017	QGW018525	WAYMEL, RAYMOND	G-III APPAREL GROUP LTD	S	-12,122.05	296.00	12.50	283.50	85.0	240.98
04/17/2017	QGW018525	WAYMEL, RAYMOND	QUALCOMM INC	B	15,878.10	460.00	11.50	448.50	85.0	381.23
04/12/2017	QGW018541	LUNCEFORD, WENDELL	ABERDEEN ASIA PACIFIC INCOME FUND I B	B	7,469.85	239.00	17.50	221.50	85.0	188.28
04/12/2017	QGW018541	LUNCEFORD, WENDELL	GLOBAL X FDS SUPERDIVIDEND REIT ETF B	B	9,082.38	263.00	13.00	250.00	85.0	212.50
04/12/2017	QGW018541	LUNCEFORD, WENDELL	ISHARES IBOXX \$ HIGH YIELD CORPORAT B	B	9,609.22	279.00	10.55	268.45	85.0	228.18
04/12/2017	QGW018541	LUNCEFORD, WENDELL	TEVA PHARMACEUTICAL INDUSTRIES LIM B	B	9,574.95	277.00	11.50	265.50	85.0	225.68
04/12/2017	QGW018541	LUNCEFORD, WENDELL	WELLTOWER INC COM	B	10,937.60	317.00	10.75	306.25	85.0	260.31
04/17/2017	QGW018541	LUNCEFORD, WENDELL	QUALCOMM INC	B	10,589.40	307.00	11.00	296.00	85.0	251.60
04/19/2017	QGW018657	KNAUF, STEPHEN	QUALCOMM INC	B	5,265.30	153.00	10.50	142.50	85.0	121.13
04/19/2017	QGW018673	DEKKER, KATHIE	QUALCOMM INC	B	4,239.20	123.00	10.40	112.60	85.0	95.71
03/30/2017	QGW018954	EGGLESTON, LYNN	ABERDEEN ASIA PACIFIC INCOME FUND I B	B	2,483.25	79.00	12.50	66.50	85.0	56.53
03/30/2017	QGW018954	EGGLESTON, LYNN	ALPS ETF TR EMERGING SECTOR DIVID D B	B	2,363.71	75.00	10.50	64.50	85.0	54.83
03/30/2017	QGW019101	JAUREGUI, SHERRY L	ALPS ETF TR EMERGING SECTOR DIVID D B	B	4,263.37	124.00	10.90	113.10	85.0	96.14
04/24/2017	QGW019234	RF LAFFERTY & CO	QUALCOMM INC	B	6,886.95	199.00	10.65	188.35	85.0	160.10
03/30/2017	QGW019440	DOMB, CARALINE	ABERDEEN ASIA PACIFIC INCOME FUND I B	B	2,484.20	79.00	12.50	66.50	85.0	56.53
03/30/2017	QGW019440	DOMB, CARALINE	ALPINE GLOBAL DYNAMIC DIVID FD COM S	S	-1,937.20	68.00	11.00	57.00	85.0	48.45
04/12/2017	QGW024747	WAYMEL, RAYMOND	GLOBAL X FDS SUPERDIVIDEND REIT ETF B	B	7,569.95	219.00	12.50	206.50	85.0	175.53
04/12/2017	QGW024747	WAYMEL, RAYMOND	ISHARES IBOXX \$ HIGH YIELD CORPORAT B	B	8,743.65	252.00	10.50	241.50	85.0	205.28
04/12/2017	QGW024747	WAYMEL, RAYMOND	TEVA PHARMACEUTICAL INDUSTRIES LIM B	B	9,610.65	278.00	11.50	266.50	85.0	226.53
04/12/2017	QGW024747	WAYMEL, RAYMOND	WELLTOWER INC COM	B	7,270.34	211.00	10.50	200.50	85.0	170.43
04/21/2017	QGW024770	HOBGOOD, RUTH	QUALCOMM INC	B	7,862.55	198.00	10.75	187.25	85.0	159.16
03/31/2017	QGW024804	PAGLIA, JAMES	ABERDEEN ASIA PACIFIC INCOME FUND I B	B	2,494.95	75.00	12.50	62.50	85.0	53.13
03/31/2017	QGW024804	PAGLIA, JAMES	ALPS ETF TR EMERGING SECTOR DIVID D B	B	2,345.50	75.00	10.50	64.50	85.0	54.83
04/18/2017	QGW024853	LEO P LEBEL, SEP	QUALCOMM INC	B	5,290.65	153.00	10.50	142.50	85.0	121.13
04/13/2017	QGW024895	ROHDE, JEFFREY	G-III APPAREL GROUP LTD	S	-3,652.52	95.00	10.75	84.25	85.0	71.61
03/31/2017	QGW024986	PENNINGTON, CHARLES	ABERDEEN ASIA PACIFIC INCOME FUND I B	B	3,691.27	107.00	13.70	93.30	85.0	79.31
03/31/2017	QGW024986	PENNINGTON, CHARLES	ADVENT CLAYMORE CONV SECS & INCOME S	S	-1,522.95	60.00	11.25	48.75	85.0	41.44
03/31/2017	QGW024986	PENNINGTON, CHARLES	ALPINE GLOBAL DYNAMIC DIVID FD COM S	S	-1,964.00	60.00	11.00	49.00	85.0	41.65
03/31/2017	QGW024986	PENNINGTON, CHARLES	ALPS ETF TR EMERGING SECTOR DIVID D B	B	3,518.24	102.00	10.75	91.25	85.0	77.56
04/21/2017	QGW025942	MCEVOY JT TEN,	QUALCOMM INC	B	5,239.70	152.00	10.50	141.50	85.0	120.28

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
04/20/2017	QGW029589	M. PESSETTI JT TEN, QUALCOMM INC	B	3,146.88	92.00	10.30	81.70	85.0	69.45
04/20/2017	QGW029886	KONKEN, LUCY	B	5,241.65	153.00	10.50	142.50	85.0	121.13
03/29/2017	QGW030686	LUNCEFORD, JOHN	B	4,968.80	144.00	15.00	129.00	85.0	109.65
04/17/2017	QGW030686	LUNCEFORD, JOHN	B	5,296.70	153.00	10.50	142.50	85.0	121.13
04/12/2017	QGW031189	JOHNSON, KENNETH	B	5,974.44	185.00	16.00	169.00	85.0	143.65
04/12/2017	QGW031189	JOHNSON, KENNETH	B	5,814.30	168.00	11.25	156.75	85.0	133.24
04/20/2017	QGW031692	BARFIELD, BARBARA	B	5,256.30	156.00	10.50	145.50	85.0	123.68
<b>* STOCKS SUBTOTAL *</b>				<b>\$184,558.93</b>	<b>\$6,580.00</b>	<b>\$439.00</b>	<b>\$6,141.00</b>		<b>\$5,219.96</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

04/17/2017	QGW018491	HOBGOOD, ROBIN	B	0.00	3.04	0.00	3.04	85.0	2.58
04/17/2017	QGW018574	STEPANEK, CATHERINE	B	0.00	5.64	0.00	5.64	85.0	4.79
04/17/2017	QGW018798	SENTZ, ROBERT	B	0.00	0.13	0.00	0.13	85.0	0.11
04/17/2017	QGW019150	RICHARDSON, MARY	B	0.00	0.08	0.00	0.08	85.0	0.07
04/18/2017	QGW024838	STEPANEK, DENNIS	B	0.00	19.83	0.00	19.83	85.0	16.86
04/17/2017	QGW024879	DERTOUZOS, JAMES	B	0.00	2.56	0.00	2.56	85.0	2.18
04/17/2017	QGW024879	DERTOUZOS, JAMES	B	0.00	2.23	0.00	2.23	85.0	1.90
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$33.51</b>	<b>\$0.00</b>	<b>\$33.51</b>		<b>\$28.49</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$184,558.93</b>	<b>\$6,613.51</b>	<b>\$439.00</b>	<b>\$6,174.51</b>		<b>\$5,248.45</b>

**ADJUSTMENTS**

04/30/2017		E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00		-695.00
04/30/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
04/30/2017		OTHER: OTHER-E10		0.00	0.00	0.00	0.00		98.60
04/30/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-12.00
<b>*** ADJUSTMENTS TOTAL ***</b>				<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-628.40</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$5,248.45
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-628.40
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-8.27
SIPC Assessment	\$-124.00

Check Amount	\$4,487.78
Year-to-date Earnings	\$26,275.81