



Concorde Investment Services, LLC
COMMISSION STATEMENT
October 15, 2017

10/11/2017
Page No. 1

BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-DONAIS

BROKER #: BBJ
BRANCH: TRUWEALTH FINANCIAL

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
^10/04/2017	HEEN, JEREMY	HPI FUND V	60,000.00	3,600.00	0.00	3,600.00	100.0	0.00
^10/04/2017	HEEN, JEREMY	SHOPOFF COMMERCIAL GRWTH & INC FD 3	60,000.00	4,200.00	0.00	4,200.00	100.0	0.00
* PRIVATE PLACEMENT SUBTOTAL *			\$120,000.00	\$7,800.00	\$0.00	\$7,800.00		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^10/02/2017	HEEN, JEREMY	AM FUNDS COLLEGE 2030 FUND 529A	215.00	7.53	0.00	7.53	100.0	0.00
^10/02/2017	HEEN, JEREMY	AMERICAN FDS COLLEGE 2021 FUND 529A	370.00	12.95	0.00	12.95	100.0	0.00
^10/02/2017	HEEN, JEREMY	AMERICAN FUND COLLEGE 2027 FUND 529	245.00	8.58	0.00	8.58	100.0	0.00
^10/02/2017	HEEN, JEREMY	AMERICAN FUNDS COLLEGE 2033 FUND-52	190.00	6.65	0.00	6.65	100.0	0.00
^09/28/2017	HEEN, JEREMY	HPI REAL ESTATE FUND V LLC	60,000.00	0.00	0.00	0.00	100.0	0.00
^09/28/2017	HEEN, JEREMY	SHOPOFF COMMERCAL GROWTH AND INCOME	60,000.00	0.00	0.00	0.00	100.0	0.00
^09/01/2017	LEE-NORBY-DONAIS, VARIOUS-BBJ	VOYA 529 AGE 5-8 OPTION CL A	50.00	2.13	0.00	2.13	100.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$121,070.00	\$37.84	\$0.00	\$37.84		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$241,070.00	\$7,837.84	\$0.00	\$7,837.84		\$0.00
<u>ADJUSTMENTS</u>								
10/15/2017		*CONCIERGE SVC: 199917,199942-HEEN	0.00					0.00
10/15/2017		*CONCIERGE SVC: 199960,200666-HEEN	0.00					0.00
10/15/2017		*CONCIERGE SVC: 200676-HEEN	0.00					0.00
10/15/2017		*CONCIERGE SVC: 200745,200748-KRING	0.00					0.00
10/15/2017		*CONCIERGE SVC: 200910-MONETTE	0.00					0.00
10/15/2017		*PERSHING: BOOKS & RECORDS	0.00					0.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-0.01
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-0.01
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00