All Companies

LIBERTY PARTNERS

STATEMENT April 20, 2018

ERIK EXISTING DODDS

BROKER #: 055

BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for ERIK EXISTING DODDS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION RATE	BROKER COMMISSION
		BROKER	TRANS	ACTIONS					
PRODUCT CAT	EGORY: MUTUAL FUNDS								
02/23/2018 #0	00000007583152 BOYKI	FRANKLIN032	В	50.00	0.50	0.00	0.00	0.50 89.	0 0.45
03/05/2018 #0	000000007583152 BOYKI	FRANKLIN032	В	50.00	0.50	0.00	0.00	0.50 89.	0 0.45
03/12/2018 #0	00089601647 HARRIS	AMERICAN FUNDS 02630Y240	В	60.00	0.60	0.00	0.00	0.60 89.	0 0.53
		* MUTUAL FUNDS SUBTOT	AL *	\$160.00	\$1.60	\$0.00	\$0.00	\$1.60	\$1.43
PRODUCT CAT	EGORY: STOCKS								
02/27/2018 #	MARILYN C HARTMAN TTEE	***APHRIA INC	В	945.00	50.00	19.95	0.00	30.05 89.	0 26.74
02/27/2018 #	MARILYN C HARTMAN TTEE	***AURORA CANNABIS INC	В	758.90	50.00	19.95	0.00	30.05 89.	0 26.74
02/27/2018 #	MARILYN C HARTMAN TTEE	***MEDRELEAF CORP	В	1,439.33	50.00	19.95	0.00	30.05 89.	0 26.74
02/27/2018 #	MARILYN C HARTMAN TTEE	CANOPY GROWTH CORPORATION COM	В	2,023.06	50.00	19.95	0.00	30.05 89.	0 26.74
02/27/2018 #	HARTMAN, MARILYN	CORBUS PHARMACEUTICALS	В	2,332.50	50.00	19.95	0.00	30.05 89.	0 26.74
02/27/2018 #	HARTMAN, JAMES	EQUITY RESIDENTIAL	В	5,685.32	50.00	19.95	0.00	30.05 89.	0 26.74
02/27/2018 #	HARTMAN, MARILYN	FQF TR	S	8,961.83	50.00	19.95	0.00	30.05 89.	0 26.74
02/27/2018 #	HARTMAN, MARILYN	FQF TR	S	1,016.40	50.00	19.95	0.00	30.05 89.	0 26.74
02/27/2018 #	HARTMAN, MARILYN	GLOBAL X FUNDS GLOBAL X ROBOTICS	& B	5,061.00	50.00	19.95	0.00	30.05 89.	0 26.74
02/27/2018 #	MARILYN C HARTMAN TTEE	GW PHARMACEUTICALS PLC ADS	В	5,871.88	50.00	19.95	0.00	30.05 89.	0 26.74
02/27/2018 #	PLOEN, ALLISON	HEALTHEQUITY INC	В	556.00	0.00	0.00	0.00	0.00 89.	0.00
02/27/2018 #	MARILYN C HARTMAN TTEE	INTEL CORP	В	5,054.66	50.00	19.95	0.00	30.05 89.	0 26.74
02/27/2018 #	HARTMAN, MARILYN	PETMED EXPRESS INC	В	4,750.07	50.00	19.95	0.00	30.05 89.	0 26.74
02/27/2018 #	HARTMAN, MARILYN	POWERSHARES EXCHANGE TRADED	В	8,113.98	50.00	19.95	0.00	30.05 89.	0 26.74
02/27/2018 #	HARTMAN, MARILYN	POWERSHARES EXCHANGE-TRADED	S	1,516.91	50.00	19.95	0.00	30.05 89.	0 26.74
02/27/2018 #	HARTMAN, MARILYN	POWERSHARES GLOBAL LISTED	S	1,203.21	50.00	19.95	0.00	30.05 89.	0 26.74
02/27/2018 #	HARTMAN, JAMES	PROOFPOINT INC	В	5,470.00	50.00	19.95	0.00	30.05 89.	0 26.74
02/27/2018 #	MARILYN C HARTMAN TTEE	SCOTTS MIRACLE GRO CO	В	4,595.88	50.00	19.95	0.00	30.05 89.	0 26.74
02/27/2018 #	HARTMAN, MARILYN	SENIOR HSG PPTYS TR	В	3,131.62	50.00	19.95	0.00	30.05 89.	0 26.74
02/27/2018 #	HARTMAN, MARILYN	SPDR INDEX SHS FDS	S	5,833.12	50.00	19.95	0.00	30.05 89.	0 26.74
	DITTMANN, TERESE	VANGUARD INDEX FUNDS VANGUARD TO	TAL S	11,952.57	0.00	0.00	0.00	0.00 89.	
02/27/2018 #	DITTMANN, TERESE	VANGUARD STAR FD	S	7,661.28	0.00	0.00	0.00	0.00 89.	0.00
02/27/2018 #	DITTMANN, TERESE	VANGUARD TOTAL BOND MARKET ETF	S	3,184.80	0.00	0.00	0.00	0.00 89.	0.00
02/27/2018 #	DITTMANN, TERESE	VANGUARD TOTAL INTERNATIONAL BON	DES	1,407.38	0.00	0.00	0.00	0.00 89.	0.00
02/28/2018 #	UDVARDY, IMRE	CLAYMORE EXCHANGE-TRADED FD TR	В	10,007.02	50.00	19.95	0.00	30.05 89.	0 26.74

PRODUCT CATRGORY: STOCKS	TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION E	RATE	BROKER COMMISSION
PARTICIPATION NOTES											
2012 2013	BROKER TRANSACTIONS										
24/28/2018 UVVARIVA, INPE COOGNITETS DILETS SALES DE B 9,997.93 90.00 19.95 0.00 30.00 80.00 20.00 30.00	PRODUCT CATE	GORY: STOCKS									
	02/28/2018 # U	DVARDY, IMRE	GUGGENHEIM BULLETSHARES 2019	В	9,999.52	50.00	19.95	0.00	30.05	89.0	26.74
DAY DIT 2018 CATY, LECO	02/28/2018 # U	DVARDY, IMRE	GUGGENHEIM BULLETSHARES 2021	В	9,997.93	50.00	19.95	0.00	30.05	89.0	26.74
37.05/2018 APPLE INC	03/01/2018 # G	AY, LEO	ISHARES CORE S&P 500 ETF	В	546.50	0.00	0.00	0.00	0.00	89.0	0.00
37.05/2018 APPLE INC	03/01/2018 # G	AY, LEO	ISHARES TRUST BARCLAYS U S AGGR B	ON B	532.45	0.00	0.00	0.00	0.00	89.0	0.00
0.00 0.00			APPLE INC	В	3,188.70	0.00	0.00	0.00	0.00	89.0	0.00
0.00 0.00	03/05/2018 #		BARCLAYS PLC ADR	В	3,125.52	0.00	0.00	0.00	0.00	89.0	0.00
03.05/2018 SINARES TRUST DOW JONES US ARROSPACE 2.332.08 0.00	03/05/2018 #		EQUINIX INC COM PAR \$0.001 REIT	В	2,722.44	0.00	0.00	0.00	0.00	89.0	0.00
03/05/2018 # ISBARES TRUST MOCT EMERGING	03/05/2018 #		ISHARES IBOXX \$ HIGH YIELD	В	29,943.01	0.00	0.00	0.00	0.00	89.0	0.00
03/05/2018 # JORNSON & JOHNSON B 2,455.51 0.00	03/05/2018 #		ISHARES TRUST DOW JONES US AEROSP	AC B	2,392.08	0.00	0.00	0.00	0.00	89.0	0.00
03/05/2018 # SECTOR SPOR TRUST SHS BEN INT TECHN B 1.45.53.40 0.00 0.00 0.00 0.00 0.00 0.00 0.00	03/05/2018 #		ISHARES TRUST MSCI EMERGING	В	3,758.04	0.00	0.00	0.00	0.00	89.0	0.00
03/05/2018 # SECTOR SPDR TRUST B B 7,462.37 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SSLCTOR SPDR TRUST SPBR INT TECHN B 12,553.40 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SSLCTC SECTOR SPDR FUND B 8,738.77 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SSLCTC SECTOR SPDR FUND SIS BEN INT B 8,738.77 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SSLCTC SECTOR SPDR FUND SIS BEN INT B 8,738.77 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SSLCTC SECTOR SPDR FUND SIS BEN INT B 8,738.77 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SPDR SER TR SAP REGI ENGETF B 8,717.72 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SPDR SER TR SAP REGI ENGETF B 8,717.72 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SPDR SERIES TRUST BACLAYS HIGH YIE B 24,945.23 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SPDR SERIES TRUST BACLAYS HIGH YIE B 24,945.23 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD INDEX FUNDS BARCLAYS HIGH YIE B 2,484.77 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD INDEX FUNDS BARCLAYS HIGH YIE B 2,484.77 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS B 12,595.63 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 6,239.96 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 6,239.96 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 6,239.96 0.00 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # ISRAEL, PATRICIA FOF TR S S 2,334.96 0.00 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # ISRAEL, PATRICIA FOF TR S S 2,334.96 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	03/05/2018 #		JOHNSON & JOHNSON	В	2,455.51	0.00	0.00	0.00	0.00	89.0	0.00
03/05/2018 # SELECT SECTOR SPOR FUND B 7.553.40 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SELECT SECTOR SPOR FUND B 7.584.45 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SELECT SECTOR SPOR FUND SNS BEN INT B 8.738.77 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SELECT SECTOR SPOR FUND SNS BEN INT B 10.068.68 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SPOR SER TR SAP PECTICK HTF B 5.031.71 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SPOR SER TR SAP PECTICK HTF B 8.717.72 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SPOR SER TR SAP PECTICK HTF B 8.717.72 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SPOR SER TR SAP PECTICK HTF B 8.717.72 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SPOR SER TR SAP PECTICK HTF B 8.717.72 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SPOR SERIES TRUST BEACLAYS HIGH YIE B 24,945.23 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SPOR SERIES TRUST BEACLAYS HIGH YIE B 24,945.23 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD INDEX FUNDS B 8 6,339.53 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FOR B 12,595.63 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 6,259.50 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 9,963.38 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 9,963.38 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 6,239.96 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # ISRAEL, PATRICIA FQF TR S VANGUARD B 6,239.96 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # ISRAEL, PATRICIA FQF TR S VANGUARD S SCTOR INDEX FDS VANGUARD B 0,963.38 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # ISRAEL, PATRICIA FQF TR S VANGUARD S 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	03/05/2018 #		JPMORGAN CHASE & CO	В	2,520.71	0.00	0.00	0.00	0.00	89.0	0.00
03/05/2018 # SELECT SECTOR SPDR FUND B 7,584.45 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SELECT SECTOR SPDR FUND SHS BEN INT B 8,738.77 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SELECT SECTOR SPDR TRUST SHS BEN INT B 10,668.68 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SPDR SER TR SEP BIOTECH ETF B 5.031.71 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SPDR SER TR SEP BIOTECH ETF B 8,717.72 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SPDR SERT ER SEP REGEL BRG ETF B 8,717.72 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SPDR SERTES TRUST BARCLAYS HIGH YIE B 24,945.23 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SPDR SERTES TRUST BARCLAYS HIGH YIE B 24,945.23 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD INNEX FUNDS B 6,339.53 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD INNEX FUNDS B 6,339.53 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS S S D 12,595.63 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS S VANGUARD B 6,265.80 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 6,265.80 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 6,265.80 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 6,265.80 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 6,265.80 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 6,265.80 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # ISRAEL, PATRICIA FOF TR S ANGUARD B 6,265.80 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # ISRAEL, PATRICIA FOF TR S ANGUARD B 6,265.80 0.00 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # ISRAEL, PATRICIA FOF TR S ANGUARD B 6,265.80 0.00 0.00 0.00 0.00 0.00 0.00 0.00	03/05/2018 #		SECTOR SPDR TRUST	В	7,462.37	0.00	0.00	0.00	0.00	89.0	0.00
03/05/2018 # SELECT SECTOR SPDR FUND SHS BEN INT B 8,738.77 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2016 # SELECT SECTOR SPDR TRUST SHS BEN IN B 10.066.68 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2016 # SPDR SER ITS SEP BIOTECH B 5 5.031.71 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SPDR SER ITS SEP REGL ENG ETF B 8 8,717.72 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SPDR SERIES TRUST BENEL BY B 4,988.15 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SPDR SERIES TRUST BENEL BY	03/05/2018 #		SECTOR SPDR TRUST SHS BEN INT TEC	HN B	12,553.40	0.00	0.00	0.00	0.00	89.0	0.00
03/05/2018 # SELECT SECTOR SPDR TRUST SHS BEN IN B 10,068.68 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 SPDR SER TR SEP REGILE REF B 5,031.71 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 SPDR SER TR SEP REGILE REGITE B 8,731.77 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 SPDR SERIES TRUST BACILAYS HIGH YIE B 4,945.23 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 VANGUARD INDEX FUNDS B B 6,339.53 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 VANGUARD INDEX FUNDS SMALL-CAP VALUB 2,484.77 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 VANGUARD INDEX FUNDS SMALL-CAP VALUB 2,484.77 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 VANGUARD INDEX FUNDS SMALL-CAP VALUB 2,484.77 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 VANGUARD SECTOR INDEX FDS VANGUARD B 6,265.80 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 VANGUARD SECTOR INDEX FDS VANGUARD B 6,265.80 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 VANGUARD SECTOR INDEX FDS VANGUARD B 9,963.38 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 VANGUARD SECTOR INDEX FDS VANGUARD B 9,963.38 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 ISRAEL, PATRICIA FQF TR S 2,334.96 30.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 ISRAEL, PATRICIA FQF TR S 486.98 30.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 ISRAEL, PATRICIA FQF TR S 486.98 30.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 ISRAEL, PATRICIA FQF TR S 486.98 30.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 ALBABA GROUP HOLDING LTD SPONSORD S 1,552.86 0.00 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 ALBABA GROUP HOLDING LTD SPONSORD S 1,552.86 0.00 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 ALBABA GROUP HOLDING LTD SPONSORD S 1,552.86 0.00 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 ALBABA GROUP HOLDING LTD SPONSORD S 1,552.86 0.00 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 ALBABA GROUP HOLDING LTD SPONSORD S 1,552.86 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	03/05/2018 #		SELECT SECTOR SPDR FUND	В	7,584.45	0.00	0.00	0.00	0.00	89.0	0.00
03/05/2018 # SPDR SER TR S&P BIOTECH ETF B 5,031.71 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SPDR SER TR S&P BRGL BKG ETF B 8,717.72 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SPDR SER TR S&P BRGL BKG ETF B 4,988.15 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SPDR SERIES TRUST BARCLAYS HIGH YIE B 24,945.23 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SPDR SERIES TRUST BARCLAYS HIGH YIE B 24,945.23 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD INDEX FUNDS B 6,339.53 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD INDEX FUNDS MALL-CAP VALUB 2,484.77 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FUSD B 12,555.63 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 6,265.80 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 9,963.38 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 9,963.38 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD TAX-MANAGED FD MSCI EAFE B 6,239.96 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # STRAEL, PATRICIA FQF TR S 2,334.96 30.00 0.00 0.00 0.00 30.00 89.0 26.70 03/05/2018 # STRAEL, PATRICIA FQF TR S 486.98 30.00 0.00 0.00 0.00 30.00 89.0 26.70 03/05/2018 # STRAEL, PATRICIA FQF TR S 1,525.63 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	03/05/2018 #		SELECT SECTOR SPDR FUND SHS BEN I	NT B	8,738.77	0.00	0.00	0.00	0.00	89.0	0.00
03/05/2018 # SPDR SER TR S&P REGL BKG ETF B 8,717.72 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SPDR SERIES TRUST B 4,988.15 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SPDR SERIES TRUST BARCLAYS HIGH YIE B 24,945.23 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD INDEX FUNDS B 6,339.53 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD INDEX FUNDS SMALL—CAP VALUB 2,484.77 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS B 12,595.63 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 6,265.80 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 6,265.80 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 6,265.80 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # STRAEL, PATRICIA FOF TR S 2,334.96 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/08/2018 # ISRAEL, PATRICIA FOF TR S 2,334.96 0.00 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/08/2018 # ISRAEL, PATRICIA FOF TR S 486.98 0.00 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ISRAEL, PATRICIA FOF TR S 486.98 0.00 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ISRAEL, PATRICIA FOF TR S 486.98 0.00 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ISRAEL, PATRICIA FOF TR S 5.26.97 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	03/05/2018 #		SELECT SECTOR SPDR TRUST SHS BEN	IN B	10,068.68	0.00	0.00	0.00	0.00	89.0	0.00
03/05/2018 # SPDR SERIES TRUST B 4,988.15 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # SPDR SERIES TRUST BARCLAYS HIGH YIE B 24,945.23 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD INDEX FUNDS SMALL-CAP VALU B 6,339.53 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD INDEX FUNDS SMALL-CAP VALU B 2,484.77 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS B 12,595.63 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS B 12,595.63 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 6,265.80 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 9,963.38 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD TAX-MANAGED FD MSCI EAFE E B 6,239.96 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/08/2018 # ISRAEL, PATRICIA FQF TR S 486.98 30.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ISRAEL, PATRICIA FQF TR S 486.98 30.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ISRAEL, PATRICIA FQF TR S 486.98 30.00 0.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ISRAEL, PATRICIA FQF TR S 486.98 30.00 0.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ISRAEL, PATRICIA FQF TR S 486.98 30.00 0.00 0.00 0.00 30.00 89.0 0.00 03/09/2018 # ***BAIDU INC S 1,057.84 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ***BAIDU INC S 1,057.84 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ALPHABET INC CLASS A COMMON STOCK S 2,306.60 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ALPHABET INC CLASS C CAPITAL STOCK S 1,521.0 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMEZON.COM INC S 3,144.26 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMEZON.COM INC S 80.00 0.00 0.00 0.00 0.00 0.00 0.00 0	03/05/2018 #		SPDR SER TR S&P BIOTECH ETF	В	5,031.71	0.00	0.00	0.00	0.00	89.0	0.00
03/05/2018 # YANGUARD INDEX FUNDS SMALL—CAP VALUB 2,4945.23 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # YANGUARD INDEX FUNDS SMALL—CAP VALUB 2,484.77 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # YANGUARD SMALL—CAP VALUB 2,484.77 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # YANGUARD SECTOR INDEX FDS B 12,595.63 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # YANGUARD SECTOR INDEX FDS VANGUARD B 6,265.80 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # YANGUARD SECTOR INDEX FDS VANGUARD B 9,963.38 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # YANGUARD SECTOR INDEX FDS VANGUARD B 9,963.38 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # ISRAEL, PATRICIA FQF TR S 2,334.96 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/08/2018 # ISRAEL, PATRICIA FQF TR S 486.98 30.00 0.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ISRAEL, PATRICIA FQF TR S 486.98 30.00 0.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ISRAEL, PATRICIA FQF TR S 486.98 30.00 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # SALEBARD FOR THE FOR	03/05/2018 #		SPDR SER TR S&P REGL BKG ETF	В	8,717.72	0.00	0.00	0.00	0.00	89.0	0.00
03/05/2018 # VANGUARD INDEX FUNDS B 6,339.53 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD INDEX FUNDS SMALL-CAP VALUB 2,484.77 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS B 12,595.63 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 6,265.80 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 9,963.38 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 9,963.38 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # ISRAEL, PATRICIA FQF TR S 2,334.96 30.00 0.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ISRAEL, PATRICIA FQF TR S 486.98 30.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ISRAEL, PATRICIA FQF TR S 486.98 30.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ISRAEL, PATRICIA FQF TR S 486.98 30.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ISRAEL PATRICIA FQF TR S 486.98 30.00 0.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ISRAEL PATRICIA FQF TR S 486.98 30.00 0.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ALIBABA GROUP HOLDING LTD SPONSORD S 1,523.86 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ALIBABA GROUP HOLDING LTD SPONSORD S 1,523.86 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ALIBABA GROUP HOLDING LTD SPONSORD S 1,523.86 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ALIBABA GROUP HOLDING LTD SPONSORD S 1,523.86 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ALIBABA GROUP HOLDING LTD SPONSORD S 1,523.86 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ALIBABA GROUP HOLDING LTD SPONSORD S 1,523.86 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ALIBABA GROUP HOLDING LTD SPONSORD S 1,523.86 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ALIBABA GROUP HOLDING LTD SPONSORD S 1,523.86 0.00 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ALIBABA GROUP HOLDING LTD SPONSORD S 1,523.86 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	03/05/2018 #		SPDR SERIES TRUST	В	4,988.15	0.00	0.00	0.00	0.00	89.0	0.00
03/05/2018 # VANGUARD INDEX FUNDS SMALL-CAF VALU B 2,484.77 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS B 12,595.63 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 6,265.80 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 9,963.38 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD TAX-MANAGED FD MSCI EAFF E B 6,239.96 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/08/2018 # ISRAEL, PATRICIA FQF TR S 2,334.96 30.00 0.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ISRAEL, PATRICIA FQF TR S 486.98 30.00 0.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ISRAEL, PATRICIA FQF TR S 486.98 30.00 0.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ISRAEL PATRICIA FQF TR S 1,057.84 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ***BAIDU INC S 1,057.84 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ALPHABET INC CLASS A COMMON STOCK S 2,306.60 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ALPHABET INC CLASS C CAPITAL STOCK S 1,152.10 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMAZON.COM INC S 3,144.26 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMAZON.COM INC S 3,144.26 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMAZON.COM INC S 8,000 0.00 0.00 0.00 0.00 0.00 0.00 0.0	03/05/2018 #		SPDR SERIES TRUST BARCLAYS HIGH Y	IE B	24,945.23	0.00	0.00	0.00	0.00	89.0	0.00
03/05/2018 # VANGUARD SECTOR INDEX FDS B 12,595.63 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 6,265.80 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 9,963.38 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD TAX-MANAGED FD MSCI EAFE EB 6,239.96 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/08/2018 # ISRAEL, PATRICIA FQF TR S 2,334.96 30.00 0.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ISRAEL, PATRICIA FQF TR S 486.98 30.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ISRAEL, PATRICIA FQF TR S 5,526.97 0.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ISRAEL BALL BALL BALL BALL BALL BALL BALL B	03/05/2018 #		VANGUARD INDEX FUNDS	В	6,339.53	0.00	0.00	0.00	0.00	89.0	0.00
03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 6,265.80 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 9,963.38 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD TAX-MANAGED FD MSCI EAFE E B 6,239.96 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/08/2018 # ISRAEL, PATRICIA FQF TR S 2,334.96 30.00 0.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ISRAEL, PATRICIA FQF TR S 486.98 30.00 0.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ISRAEL PATRICIA FQF TR S 486.98 30.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # 1SRAEL PATRICIA S 5.526.97 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # 1 ALIBABA GROUP HOLDING LTD SPONSORED S 1,523.86 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # 1 ALIBABA GROUP HOLDING LTD SPONSORED S 1,523.86 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # 1 ALIBABA GROUP HOLDING LTD SPONSORED S 1,523.86 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # 1 ALIBABA GROUP HOLDING LTD SPONSORED S 1,523.86 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # 1 ALIBABA GROUP HOLDING LTD SPONSORED S 1,523.86 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # 1 ALIBABA GROUP HOLDING LTD SPONSORED S 1,523.86 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # 1 ALIBABA GROUP HOLDING LTD SPONSORED S 1,523.86 0.00 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # 1 ALIBABA GROUP HOLDING LTD SPONSORED S 1,523.86 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	03/05/2018 #		VANGUARD INDEX FUNDS SMALL-CAP VA	LU B	2,484.77	0.00	0.00	0.00	0.00	89.0	0.00
03/05/2018 # VANGUARD SECTOR INDEX FDS VANGUARD B 9,963.38 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/05/2018 # VANGUARD TAX-MANAGED FD MSCI EAFE E B 6,239.96 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/08/2018 # ISRAEL, PATRICIA FQF TR S 2,334.96 30.00 0.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ISRAEL, PATRICIA FQF TR S 486.98 30.00 0.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ISRAEL, PATRICIA FQF TR S 486.98 30.00 0.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ISRAEL PATRICIA FQF TR S 1,057.84 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ***BAIDU INC S 1,057.84 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ALIBABA GROUP HOLDING LTD SPONSORED S 1,523.86 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ALIPABET INC CLASS A COMMON STOCK S 2,306.60 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMAZON.COM INC S 3,144.26 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMAZON.COM INC S 3,144.26 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMERIPRISE FINL INC S 807.50 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMERIPRISE FINL INC S 807.50 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMPHENOL CORPORATION CLASS A COM S 1,294.34 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMPHENOL CORPORATION CLASS A COM S 1,294.34 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # APPLE INC S 1,617.03 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ARISTA NETWORKS INC COM S 1,472.70 0.00 0.00 0.00 0.00 0.00 0.00 89.0 0.00	03/05/2018 #		VANGUARD SECTOR INDEX FDS	В	12,595.63	0.00	0.00	0.00	0.00	89.0	0.00
03/05/2018 # SAREL, PATRICIA FQF TR S 2,334.96 0.00 0.00 0.00 0.00 30.00 89.0 0.00 03/08/2018 # ISRAEL, PATRICIA FQF TR S 2,334.96 30.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ISRAEL, PATRICIA FQF TR S 486.98 30.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ISRAEL, PATRICIA FQF TR S 486.98 30.00 0.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ISRAEL PATRICIA FQF TR S 1,057.84 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ALIBABA GROUP HOLDING LTD SPONSORED S 1,523.86 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ALPHABET INC CLASS A COMMON STOCK S 2,306.60 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ALPHABET INC CLASS C CAPITAL STOCK S 1,152.10 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMAZON.COM INC S 3,144.26 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMERIPRISE FINL INC S 807.50 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMERIPRISE FINL INC S 807.50 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMPHENOL CORPORATION CLASS A COM S 1,294.34 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # APPLE INC APPLE INC S 1,617.03 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # APPLE INC APPLE INC S 1,617.03 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # APPLE INC APPLE INC S 1,472.70 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # APPLE INC APPLE INC S 1,472.70 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # APPLE INC APPLE INC S 1,472.70 0.00 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # APPLE INC APPLE INC S 1,472.70 0.00 0.00 0.00 0.00 0.00 0.00 0.00	03/05/2018 #		VANGUARD SECTOR INDEX FDS VANGUAR	DВ	6,265.80	0.00	0.00	0.00	0.00	89.0	0.00
03/08/2018 # ISRAEL, PATRICIA FQF TR S 2,334.96 30.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ISRAEL, PATRICIA FQF TR S 486.98 30.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ISRAEL, PATRICIA FQF TR S 486.98 30.00 0.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ISRAEL, PATRICIA FQF TR S 1,057.84 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ALIBABA GROUP HOLDING LTD SPONSORED S 1,523.86 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ALIPABET INC CLASS A COMMON STOCK S 2,306.60 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ALIPABET INC CLASS C CAPITAL STOCK S 1,152.10 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMAZON.COM INC S 3,144.26 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMERIPRISE FINL INC S 807.50 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMPHENOL CORPORATION CLASS A COM S 1,294.34 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # APPLE INC S 1,617.03 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # APPLE INC S 1,617.03 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # APPLE INC S 1,617.03 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ARISTA NETWORKS INC COM S 1,472.70 0.00 0.00 0.00 0.00 0.00 0.00 89.0 0.00	03/05/2018 #		VANGUARD SECTOR INDEX FDS VANGUAR	DВ	9,963.38	0.00	0.00	0.00	0.00	89.0	0.00
03/08/2018 # ISRAEL, PATRICIA FQF TR S 486.98 30.00 0.00 0.00 30.00 89.0 26.70 03/08/2018 # ISRAEL, PATRICIA FQF TR S 486.98 30.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # 1,057.84 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ALIBABA GROUP HOLDING LTD SPONSORED S 1,523.86 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ALIPABET INC CLASS A COMMON STOCK S 2,306.60 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ALIPABET INC CLASS C CAPITAL STOCK S 1,152.10 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMAZON.COM INC S 3,144.26 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMERIPRISE FINL INC S 807.50 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMPHENOL CORPORATION CLASS A COM S 1,294.34 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # APPLE INC S 1,617.03 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # APPLE INC S 1,617.03 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # APPLE INC S 1,472.70 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ARISTA NETWORKS INC COM S 1,472.70 0.00 0.00 0.00 0.00 0.00 89.0 0.00	03/05/2018 #		VANGUARD TAX-MANAGED FD MSCI EAFE	ЕВ	6,239.96	0.00	0.00	0.00	0.00	89.0	0.00
03/08/2018 # ISHARES CORE MSCI EMERGING MARKETS S 526.97 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # 1,057.84 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # 1,523.86 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # 1,523.86 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # 1,523.86 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # 1,523.86 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # 1,523.86 0.00 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # 1,523.86 0.00 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # 1,523.86 0.00 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # 1,523.86 0.00 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # 1,523.86 0.00 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # 1,523.86 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0	03/08/2018 # I	SRAEL, PATRICIA	FQF TR	S	2,334.96	30.00	0.00	0.00	30.00	89.0	26.70
03/09/2018 # ***BAIDU INC S 1,057.84 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ALIBABA GROUP HOLDING LTD SPONSORED S 1,523.86 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ALPHABET INC CLASS C CAPITAL STOCK S 2,306.60 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMAZON.COM INC S 3,144.26 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMERIPRISE FINL INC S 807.50 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMPHENOL CORPORATION CLASS A COM S 1,294.34 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # APPLE INC S 1,617.03 0.00 0.00 0.00 0.00 89.0 0.00	03/08/2018 # I	SRAEL, PATRICIA	FQF TR	S	486.98	30.00	0.00	0.00	30.00	89.0	26.70
03/09/2018 # ALIBABA GROUP HOLDING LTD SPONSORED S 1,523.86 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ALPHABET INC CLASS A COMMON STOCK S 2,306.60 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMAZON.COM INC S 3,144.26 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMERIPRISE FINL INC S 807.50 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMPHENOL CORPORATION CLASS A COM S 1,294.34 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # APPLE INC S 1,617.03 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # APPLE INC S 1,617.03 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ARISTA NETWORKS INC COM S 1,472.70 0.00 0.00 0.00 0.00 0.00 89.0 0.00	03/08/2018 #		ISHARES CORE MSCI EMERGING MARKET	S S	526.97	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 # ALPHABET INC CLASS A COMMON STOCK S 2,306.60 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMAZON.COM INC S 3,144.26 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMERIPRISE FINL INC S 807.50 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMPHENOL CORPORATION CLASS A COM S 1,294.34 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # APPLE INC S 1,617.03 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ARISTA NETWORKS INC COM S 1,472.70 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 #	03/09/2018 #		***BAIDU INC	S	1,057.84	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 # ALPHABET INC CLASS C CAPITAL STOCK S 1,152.10 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMAZON.COM INC S 3,144.26 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMERIPRISE FINL INC S 807.50 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMPHENOL CORPORATION CLASS A COM S 1,294.34 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # APPLE INC S 1,617.03 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ARISTA NETWORKS INC COM S 1,472.70 0.00 0.00 0.00 0.00 89.0 0.00	03/09/2018 #		ALIBABA GROUP HOLDING LTD SPONSOR	ED S	1,523.86	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 # AMAZON.COM INC S 3,144.26 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMERIPRISE FINL INC S 807.50 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMPHENOL CORPORATION CLASS A COM S 1,294.34 0.00 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # APPLE INC S 1,617.03 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ARISTA NETWORKS INC COM S 1,472.70 0.00 0.00 0.00 0.00 89.0 0.00	03/09/2018 #		ALPHABET INC CLASS A COMMON STOCK	S	2,306.60	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 # AMERIPRISE FINL INC S 807.50 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # AMPHENOL CORPORATION CLASS A COM S 1,294.34 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # APPLE INC S 1,617.03 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ARISTA NETWORKS INC COM S 1,472.70 0.00 0.00 0.00 0.00 0.00 89.0 0.00	03/09/2018 #		ALPHABET INC CLASS C CAPITAL STOC	K S	1,152.10	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 # AMPHENOL CORPORATION CLASS A COM S 1,294.34 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # APPLE INC S 1,617.03 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ARISTA NETWORKS INC COM S 1,472.70 0.00 0.00 0.00 0.00 89.0 0.00	03/09/2018 #		AMAZON.COM INC	S	3,144.26	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 # AMPHENOL CORPORATION CLASS A COM S 1,294.34 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # S 1,617.03 0.00 0.00 0.00 0.00 89.0 0.00 03/09/2018 # ARISTA NETWORKS INC COM S 1,472.70 0.00 0.00 0.00 0.00 89.0 0.00	03/09/2018 #		AMERIPRISE FINL INC								
03/09/2018 # ARISTA NETWORKS INC COM S 1,472.70 0.00 0.00 0.00 0.00 89.0 0.00	03/09/2018 #		AMPHENOL CORPORATION CLASS A COM	S	1,294.34	0.00	0.00	0.00	0.00	89.0	0.00
	03/09/2018 #		ARISTA NETWORKS INC COM	S	1,472.70	0.00	0.00	0.00	0.00	89.0	0.00
03/03/2010 # BANK NEW TORK MELLON CORP S 2,007.37 0.00 0.00 0.00 0.00 09.0 0.00	03/09/2018 #		BANK NEW YORK MELLON CORP	S	2,067.57	0.00	0.00	0.00	0.00	89.0	0.00

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION R	ATE	BROKER COMMISSION
		BROKER	TRANS	ACTIONS						
PRODUCT CAT	EGORY: STOCKS									
03/09/2018 #		BANK OF AMERICA CORP	S	1,930.78	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		BIOGEN IDEC INC	S	570.30	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		BLACKROCK INC	S	2,876.55	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		BLACKSTONE GROUP L P	S	3,369.97	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		BORG WARNER AUTOMOTIVE INC	S	767.40	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		CELGENE CORP	S	924.53	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		COSTCO WHOLESALE CORP-NEW	S	1,871.83	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		DIAMONDBACK ENERGY INC COM	S	770.90	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		EDWARDS LIFESCIENCES CORP	S	1,254.26	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		EOG RES INC	S	1,233.72	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		FACEBOOK INC	S	2,219.55	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		FEDEX CORP	S	1,230.55	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #	EDWARDS, HAROLD	FIRST TR EXCHANGE TRADED FD II	В	533.65	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		FQF TR	S	3,796.64	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		FQF TR	S	742.00	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		GILEAD SCIENCES INC	S	969.39	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		ILLUMINA INC	S	746.71	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		INDEXIQ ETF TR	S	1,695.50	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		INDEXIQ ETF TR	S	1,181.42	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #	MARIANO, DANIELLE	INSTALLED BUILDING PRODUCTS	В	732.60	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #	PLOEN, ALLISON	INSTALLED BUILDING PRODUCTS	В	488.40	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #	SCHNECK, LINDA	ISHARES IBOXX \$ HIGH YIELD	В	8,429.96	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		ISHARES INC	S	4,260.21	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		ISHARES TR	S	703.35	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		ISHARES TR BARCLAYS TREAS INFLAT	ION S	672.50	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		ISHARES TR FTSE NAREIT RESIDENTI	AL S	908.32	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		ISHARES TRUST ISHARES EDGE MSCI	MIN S	1,764.92	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		ISHARES TRUST ISHARES EDGE MSCI	MIN S	4,670.20	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #	EDWARDS, HAROLD	ISHARES TRUST RUSSELL 2000 INDEX	FDB	633.15	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		JOHNSON & JOHNSON	S	400.99	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		JPMORGAN CHASE & CO	S	1,415.91	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		LIVE NATION ENTERTAINMENT INC	S	1,082.22	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		MICROSOFT CORP	S	3,949.53	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		MOODYS CORP	S	1,707.10	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		NESTLE SA-SPONSORED ADR REPSTG R	EGD S	1,365.70	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		PAYPAL HOLDINGS INC COM	S	1,369.69	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		POWERSHARES EXCHANGE TRADED	S	2,005.02	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		POWERSHARES EXCHANGE-TRADED	S	2,842.95	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		POWERSHARES GLOBAL LISTED	S	818.56	0.00	0.00	0.00	0.00	89.0	0.00
03/09/2018 #		PPG INDUSTRIES INC	S	941.12	0.00	0.00	0.00	0.00	89.0	0.00

INVESTMENT

GROSS

CLEARING

NET

BROKER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT	GROSS COMMISSION	CLEARING	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TR	ANS	ACTIONS						
PRODUCT CA	TEGORY: STOCKS									
03/09/2018 #		PROLOGIS INC	S	1,072.57	0.00	0.00	0.00	0.0	0 89.0	0.00
03/09/2018 #		RAYTHEON CO COM	S	1,072.00	0.00	0.00	0.00	0.0	0 89.0	0.00
03/09/2018 #		RED HAT INC	S	2,618.55	0.00	0.00	0.00	0.0	0 89.0	0.00
03/09/2018 #		REGENERON PHARMACEUTICALS INC	S	1,030.65	0.00	0.00	0.00	0.0	0 89.0	0.00
03/09/2018 #		ROCKWELL AUTOMATION INC	S	1,320.13	0.00	0.00	0.00	0.0	0 89.0	0.00
03/09/2018 #		SCHWAB STRATEGIC TR	S	658.97	0.00	0.00	0.00	0.0	0 89.0	0.00
03/09/2018 #		SPDR INDEX SHS FDS	S	2,486.71	0.00	0.00	0.00	0.0	0 89.0	0.00
03/09/2018 #	SCHNECK, LINDA	SPDR SERIES TRUST	В	1,400.46	0.00	0.00	0.00	0.0	0 89.0	0.00
03/09/2018 #		SPDR SERIES TRUST	S	650.98	0.00	0.00	0.00	0.0	0 89.0	0.00
03/09/2018 #	SCHNECK, LINDA	SPDR SERIES TRUST BARCLAYS HIGH YIE	ΕВ	7,071.48	0.00	0.00	0.00	0.0	0 89.0	0.00
03/09/2018 #		STARBUCKS CORP	S	1,110.08	0.00	0.00	0.00	0.0	0 89.0	0.00
03/09/2018 #		STRYKER CORP	S	1,503.54	0.00	0.00	0.00	0.0	0 89.0	0.00
03/09/2018 #		TESLA INC COMMON STOCK	S	652.88	0.00	0.00	0.00	0.0	0 89.0	0.00
03/09/2018 #		THERMO FISHER SCIENTIFIC INC	S	1,515.50	0.00	0.00	0.00		0 89.0	
03/09/2018 #		TWITTER INC	S	2,181.48	0.00	0.00	0.00		0 89.0	
03/09/2018 #		UNITED CONTINENTAL HLDGS INC	S	1,426.45	0.00	0.00	0.00		0 89.0	
03/09/2018 #		UNITED STS COMMODITY INDEX FD COMMO		1,492.75	0.00	0.00	0.00		0 89.0	
03/09/2018 #		UNITEDHEALTH GROUP INC	S	2,037.08	0.00	0.00	0.00		0 89.0	
03/09/2018 #		VANGUARD INDEX FUNDS	S	914.82	0.00	0.00	0.00		0 89.0	
03/09/2018 #		VANGUARD INDEX FUNDS VANGUARD HIGH		10,925.89	0.00	0.00	0.00		0 89.0	
03/09/2018 #		VERISK ANALYTICS INC COM	S	1,160.86	0.00	0.00	0.00		0 89.0	
03/09/2018 #		VISA INC	S	2,992.32	0.00	0.00	0.00		0 89.0	
03/09/2018 #		VMWARE INC CL A	S	1,877.49	0.00	0.00	0.00		0 89.0	
03/12/2018 #		BARCLAYS PLC ADR	В	923.52	0.00	0.00	0.00		0 89.0	
03/12/2018 #		EQUINIX INC COM PAR \$0.001 REIT	В	795.64	0.00	0.00	0.00		0 89.0	
	MARIANO, DANIELLE	FLOTEK INDUSTRIES INC	В	713.22	0.00	0.00	0.00		0 89.0	
	MARIANO, DANIELLE	IBERIABANK CORP	S	1,090.08	0.00	0.00	0.00		0 89.0	
	MARIANO, DANIELLE	INNERWORKINGS INC	В	671.83	0.00	0.00	0.00		0 89.0	
03/12/2018 #		ISHARES IBOXX \$ HIGH YIELD	В	16,411.98	0.00	0.00	0.00		0 89.0	
03/12/2018 #		ISHARES TRUST DOW JONES US AEROSPAC		808.80	0.00	0.00	0.00		0 89.0	
03/12/2018 #		ISHARES TRUST MSCI EMERGING	В	1,094.28	0.00	0.00	0.00		0 89.0	
	PLOEN, ALLISON	PROOFPOINT INC	S	593.99	0.00	0.00	0.00		0 89.0	
	CHU, WILLIAM		В	141,119.06	0.00	0.00	0.00		0 89.0	
	CHU, WILLIAM	PROSHARES ULTRA QQQ			0.00		0.00			
		PROSHARES ULTRA QQQ	В	72,449.52 229.80	0.00	0.00			0 89.0 n 89.0	
	MARIANO, DANIELLE	SAIA INC	В			0.00	0.00		0 89.0	
03/12/2018 #		SECTOR SPDR TRUST	В	2,174.69	0.00	0.00	0.00		0 89.0	
03/12/2018 #		SECTOR SPDR TRUST SHS BEN INT TECHN		3,677.70	0.00	0.00	0.00		0 89.0	
03/12/2018 #		SELECT SECTOR SPDR FUND	В	2,239.60	0.00	0.00	0.00		0 89.0	
03/12/2018 #		SELECT SECTOR SPDR FUND SHS BEN INT		2,589.53	0.00	0.00	0.00		0 89.0	
03/12/2018 #		SELECT SECTOR SPDR TRUST SHS BEN IN	NВ	2,937.33	0.00	0.00	0.00	0.0	0 89.0	0.00

MALIBU BOATS INC

03/19/2018 # MARIANO, DANIELLE

04/17/2018 Page No. !

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TE	RANS	ACTIONS						
PRODUCT CATE	GORY: STOCKS									
03/12/2018 #		SPDR SER TR S&P BIOTECH ETF	В	1,448.85	0.00	0.00	0.00	0.00	89.0	0.00
03/12/2018 #		SPDR SER TR S&P REGL BKG ETF	В	2,595.20	0.00	0.00	0.00	0.00	89.0	0.00
03/12/2018 #		SPDR SERIES TRUST	В	2,827.06	0.00	0.00	0.00	0.00	89.0	0.00
03/12/2018 #		SPDR SERIES TRUST BARCLAYS HIGH YI	ΕВ	14,018.00	0.00	0.00	0.00	0.00	89.0	0.00
03/12/2018 #		VANGUARD INDEX FUNDS	В	1,820.96	0.00	0.00	0.00	0.00	89.0	0.00
03/12/2018 #		VANGUARD INDEX FUNDS SMALL-CAP VAL	UВ	672.69	0.00	0.00	0.00	0.00	89.0	0.00
03/12/2018 #		VANGUARD SECTOR INDEX FDS	В	3,714.00	0.00	0.00	0.00	0.00	89.0	0.00
03/12/2018 #		VANGUARD SECTOR INDEX FDS VANGUARD	В	1,829.75	0.00	0.00	0.00	0.00	89.0	0.00
03/12/2018 #		VANGUARD SECTOR INDEX FDS VANGUARD	В	2,957.56	0.00	0.00	0.00	0.00	89.0	0.00
03/12/2018 #		VANGUARD TAX-MANAGED FD MSCI EAFE	ΕВ	1,850.33	0.00	0.00	0.00	0.00	89.0	0.00
03/13/2018 # MA	ARIANO, DANIELLE	HOULIHAN LOKEY INC	В	1,656.20	0.00	0.00	0.00	0.00	89.0	0.00
03/13/2018 # PI	LOEN, ALLISON	HOULIHAN LOKEY INC	В	709.80	0.00	0.00	0.00	0.00	89.0	0.00
03/13/2018 # MA	ARIANO, DANIELLE	META FINL GROUP INC	В	583.75	0.00	0.00	0.00	0.00	89.0	0.00
03/13/2018 # MA	ARIANO, DANIELLE	STEVEN MADDEN LTD	S	1,064.33	0.00	0.00	0.00	0.00	89.0	0.00
03/13/2018 # MA	ARIANO, DANIELLE	TEXAS ROADHOUSE INC	В	707.73	0.00	0.00	0.00	0.00	89.0	0.00
03/13/2018 # MA	ARIANO, DANIELLE	TTM TECHNOLOGIES INC	В	359.26	0.00	0.00	0.00	0.00	89.0	0.00
03/15/2018 # MA	ARIANO, DANIELLE	AMERICAN WOODMARK CORP	В	770.70	0.00	0.00	0.00	0.00	89.0	0.00
03/15/2018 # MA	ARIANO, RUSSELL	CSRA INC COM	S	1,952.40	0.00	0.00	0.00	0.00	89.0	0.00
03/15/2018 # PI	LOEN, ALLISON	CSRA INC COM	S	1,911.73	0.00	0.00	0.00	0.00	89.0	0.00
03/15/2018 # MA	ARIANO, RUSSELL	GRUBHUB INC	S	442.29	0.00	0.00	0.00	0.00	89.0	0.00
03/15/2018 # NI	ICHOLSON, JAMES	ISHARES U S FINANCIAL SERVICES	В	552.20	0.00	0.00	0.00	0.00	89.0	0.00
03/15/2018 # MA	ARIANO, DANIELLE	PROOFPOINT INC	S	478.48	0.00	0.00	0.00	0.00	89.0	0.00
03/15/2018 # NI	ICHOLSON, JAMES	SECTOR SPDR TRUST SBI CONSUMER	В	538.08	0.00	0.00	0.00	0.00	89.0	0.00
03/15/2018 # MA	ARIANO, RUSSELL	TRIPADVISOR INC	В	654.45	0.00	0.00	0.00	0.00	89.0	0.00
03/15/2018 # PI	LOEN, ALLISON	TRIPADVISOR INC	В	567.19	0.00	0.00	0.00	0.00	89.0	0.00
03/15/2018 # MA	ARIANO, RUSSELL	ULTIMATE SOFTWARE GROUP INC	В	496.12	0.00	0.00	0.00	0.00	89.0	0.00
03/15/2018 # PI	LOEN, ALLISON	ULTIMATE SOFTWARE GROUP INC	В	496.12	0.00	0.00	0.00	0.00	89.0	0.00
03/15/2018 # MA	ARIANO, RUSSELL	VEEVA SYSTEMS INC	В	463.32	0.00	0.00	0.00	0.00	89.0	0.00
03/15/2018 # MA	ARIANO, RUSSELL	WAGEWORKS INC	S	272.40	0.00	0.00	0.00	0.00	89.0	0.00
03/15/2018 # PI	LOEN, ALLISON	WAGEWORKS INC	S	227.00	0.00	0.00	0.00	0.00	89.0	0.00
03/16/2018 # MA	ARIANO, RUSSELL	DOLBY LABORATORIES INC CL A	В	470.10	0.00	0.00	0.00	0.00	89.0	0.00
03/16/2018 # PI	LOEN, ALLISON	DOLBY LABORATORIES INC CL A	В	470.31	0.00	0.00	0.00	0.00	89.0	0.00
03/16/2018 #		ISHARES CORE MSCI EMERGING MARKETS	В	534.40	0.00	0.00	0.00	0.00	89.0	0.00
03/16/2018 #		SECTOR SPDR - FINANCIAL	В	581.00	0.00		0.00		89.0	0.00
03/16/2018 # PI	LOEN, ALLISON	TERADATA CORP	В	505.29	0.00		0.00		89.0	0.00
	ARIANO, RUSSELL	ACI WORLDWIDE INC	В	280.94	0.00		0.00		89.0	0.00
03/19/2018 #		ISHARES IBOXX \$ HIGH YIELD	В	256.37	0.00		0.00		89.0	0.00
03/19/2018 # MA	ARIANO, DANIELLE	LCI INDUSTRIES	S	539.00	0.00	0.00	0.00		89.0	0.00
03/19/2018 # PI		LCI INDUSTRIES	S	539.00	0.00	0.00	0.00		89.0	0.00

В

638.97

0.00

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0.00

INVESTMENT

GROSS CLEARING NET BROKER

TRADE DATE CL	IENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	POSTAGE	COMMISSION R	ATE	COMMISSION
		DD0##D		GTT ONG						
		BROKER	TRANSA	CTIONS						
PRODUCT CATEGOR	RY: STOCKS									
03/19/2018 # PLOE	EN, ALLISON	MALIBU BOATS INC	В	437.19	0.00	0.00	0.00	0.00	89.0	0.00
03/19/2018 #		SECTOR SPDR TRUST SHS BEN INT TE	CHN B	204.00	0.00	0.00	0.00	0.00	89.0	0.00
03/19/2018 #		SPDR SERIES TRUST BARCLAYS HIGH	YIE B	501.86	0.00	0.00	0.00	0.00	89.0	0.00
03/19/2018 #		SPDR SERIES TRUST BARCLAYS HIGH	YIE B	215.08	0.00	0.00	0.00	0.00	89.0	0.00
03/19/2018 # MARI	IANO, RUSSELL	TERADATA CORP	В	422.18	0.00	0.00	0.00	0.00	89.0	0.00
03/20/2018 # MARI	IANO, DANIELLE	***NOVANTA INC	В	392.35	0.00	0.00	0.00	0.00	89.0	0.00
03/20/2018 # CHU,	WILLIAM	BERKSHIRE HATHAWAY INC DEL CL B	NEW S	2,661.91	0.00	0.00	0.00	0.00	89.0	0.00
03/20/2018 # CHU,	WILLIAM	EXPRESS SCRIPTS HOLDING	S	2,106.56	0.00	0.00	0.00	0.00	89.0	0.00
03/21/2018 # MARI	IANO, DANIELLE	***WRIGHT MEDICAL GROUP N V	S	875.16	0.00	0.00	0.00	0.00	89.0	0.00
03/21/2018 # DODD	OS, ERIK	AMAZON.COM INC	S	6,339.20	50.00	19.95	0.00	30.05	89.0	26.74
03/21/2018 # DODD	OS, ERIK	COSTCO WHOLESALE CORP-NEW	S	935.51	50.00	19.95	0.00	30.05	89.0	26.74
03/21/2018 # PICC	CIONE, CHRISTOPHER	ISHARES INC	S	8,859.94	0.00	0.00	0.00	0.00	89.0	0.00
03/21/2018 #		ISHARES INC	S	2,705.99	0.00	0.00	0.00	0.00	89.0	0.00
03/21/2018 # MARI	IANO, RUSSELL	LEGG MASON INC	В	581.53	0.00	0.00	0.00	0.00	89.0	0.00
03/21/2018 # PLOE	EN, ALLISON	LEGG MASON INC	В	582.37	0.00	0.00	0.00	0.00	89.0	0.00
03/21/2018 # MARI	IANO, DANIELLE	MEDIDATA SOLUTIONS INC	В	802.08	0.00	0.00	0.00	0.00	89.0	0.00
03/21/2018 # PLOE	EN, ALLISON	MEDIDATA SOLUTIONS INC	В	597.42	0.00	0.00	0.00	0.00	89.0	0.00
03/21/2018 # MARI	IANO, RUSSELL	PRESTIGE BRANDS HLDGS INC	В	440.80	0.00	0.00	0.00	0.00	89.0	0.00
03/21/2018 # PLOE	EN, ALLISON	PRESTIGE BRANDS HLDGS INC	В	507.11	0.00	0.00	0.00	0.00	89.0	0.00
03/21/2018 # PICC	CIONE, CHRISTOPHER	SECTOR SPDR TRUST	В	7,340.79	0.00	0.00	0.00	0.00	89.0	0.00
03/21/2018 #		SECTOR SPDR TRUST	В	2,064.60	0.00	0.00	0.00	0.00	89.0	0.00
03/21/2018 # MARI	IANO, DANIELLE	SPS COMM INC	В	634.38	0.00	0.00	0.00	0.00	89.0	0.00
03/21/2018 # PLOE	EN, ALLISON	SPS COMM INC	В	443.43	0.00	0.00	0.00	0.00	89.0	0.00
03/21/2018 # DODD	OS, ERIK	STARBUCKS CORP	S	1,180.00	50.00	19.95	0.00	30.05	89.0	26.74
03/22/2018 # PLOE		HEALTHEQUITY INC	S	405.00	0.00	0.00	0.00	0.00	89.0	0.00
03/22/2018 # NICH		ISHARES U S FINANCIAL SERVICES	S	534.44	0.00	0.00	0.00	0.00	89.0	0.00
03/22/2018 # MARI		MEDIDATA SOLUTIONS INC	S	864.63	0.00	0.00	0.00	0.00		
03/22/2018 # PLOE		MEDIDATA SOLUTIONS INC	S	865.41	0.00	0.00	0.00	0.00		
03/22/2018 # NICH		SELECT SECTOR SPDR FUND SHS BEN	INT B	493.67	0.00	0.00	0.00	0.00		
03/23/2018 # CHU,		BOOKING HOLDINGS INC	S	8,379.04	0.00	0.00	0.00	0.00		
03/23/2018 # CHU,		BOOKING HOLDINGS INC	S	4,189.52	0.00	0.00	0.00	0.00		
03/23/2018 # MARI		ENVESTNET INC	В	629.75	0.00	0.00	0.00	0.00		
03/23/2018 # HOKA		ISHARES IBOXX \$ HIGH YIELD	В	594.35	0.00	0.00	0.00	0.00		
03/23/2018 #	,	ISHARES IBOXX \$ HIGH YIELD	В	509.45	0.00	0.00	0.00	0.00		
03/23/2018 # CHU,	WILLIAM	MASTERCARD INC	S	3,638.04	0.00	0.00	0.00	0.00		
03/23/2018 # CHU,		NETFLIX COM INC	S	2,120.09	0.00	0.00	0.00	0.00		
03/23/2018 # CHU,		POWERSHARES QOQ TRUST	В	133,628.39	0.00	0.00	0.00	0.00		
03/23/2018 # CHU,		POWERSHARES QQQ TRUST	В	68,654.59	0.00	0.00	0.00	0.00		
03/23/2018 # CHU,		PROSHARES ULTRA QQQ	S	119,732.48	0.00	0.00	0.00	0.00		
03/23/2018 # CHU,	WILLLIAM	PROSHARES ULTRA QQQ	S	61,469.80	0.00	0.00	0.00	0.00	o9.U	0.00

Page No. 7 04/17/2018

				INVESTMENT	GROSS	CLEARING		NET	BROKER
TRADE DATE	CLIENT	INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	POSTAGE	COMMISSION RATE	COMMISSION
		BROKER TR	ANS.	ACTIONS					
PRODUCT CATE	EGORY: STOCKS								
03/23/2018 # E	EDWARDS, HAROLD	SECTOR SPDR TRUST SBI CONSUMER	В	569.11	0.00	0.00	0.00	0.00 89.0	0.00
03/23/2018 #		SECTOR SPDR TRUST SHS BEN INT TECH	NВ	454.86	0.00	0.00	0.00	0.00 89.0	0.00
03/23/2018 #		SPDR SER TR S&P REGL BKG ETF	В	415.73	0.00	0.00	0.00	0.00 89.0	0.00
03/23/2018 #		SPDR SER TR S&P REGL BKG ETF	В	237.56	0.00	0.00	0.00	0.00 89.0	0.00
03/23/2018 #		VANGUARD SECTOR INDEX FDS	В	272.76	0.00	0.00	0.00	0.00 89.0	0.00
03/23/2018 # 6	GALLO, SEBASTIANO	VANGUARD SECTOR INDEX FDS VANGUARD	В	397.08	0.00	0.00	0.00	0.00 89.0	0.00
03/26/2018 # F	PLOEN, ALLISON	***WRIGHT MEDICAL GROUP N V	S	776.00	0.00	0.00	0.00	0.00 89.0	0.00
03/26/2018 # N	MARIANO, DANIELLE	ASPEN TECHNOLOGY INC	S	1,337.56	0.00	0.00	0.00	0.00 89.0	0.00
03/26/2018 # F	PLOEN, ALLISON	ASPEN TECHNOLOGY INC	S	944.16	0.00	0.00	0.00	0.00 89.0	0.00
03/26/2018 # N	MARIANO, DANIELLE	EAGLE BANCORP INC MD	S	2,057.45	0.00	0.00	0.00	0.00 89.0	0.00
03/26/2018 # F	PLOEN, ALLISON	ENVESTNET INC	В	582.50	0.00	0.00	0.00	0.00 89.0	0.00
03/26/2018 # N	MARIANO, DANIELLE	MALIBU BOATS INC	В	638.21	0.00	0.00	0.00	0.00 89.0	0.00
03/26/2018 # F	PLOEN, ALLISON	MALIBU BOATS INC	В	436.67	0.00	0.00	0.00	0.00 89.0	0.00
03/26/2018 # N	MARIANO, DANIELLE	NMI HOLDINGS INC	В	640.58	0.00	0.00	0.00	0.00 89.0	0.00
03/26/2018 # F	PLOEN, ALLISON	NMI HOLDINGS INC	В	476.33	0.00	0.00	0.00	0.00 89.0	0.00
03/26/2018 # N	MARIANO, DANIELLE	SPS COMM INC	В	629.48	0.00	0.00	0.00	0.00 89.0	0.00
03/26/2018 # F	PLOEN, ALLISON	SPS COMM INC	В	440.63	0.00	0.00	0.00	0.00 89.0	0.00
03/26/2018 # N	MARIANO, DANIELLE	UNITED COMMUNITY BANKS INC	В	1,254.40	0.00	0.00	0.00	0.00 89.0	0.00
03/26/2018 # N	MARIANO, RUSSELL	US BANCORP DEL COM NEW	В	253.69	0.00	0.00	0.00	0.00 89.0	0.00
		* STOCKS SUBTOTAL	*	\$1,217,838.83	\$1,310.00	\$498.75	\$0.00	\$811.25	\$721.90
PRODUCT CATE	GORY: VARIABLE ANNUITIE	s							
02/08/0018 #00	00301482 BONVICINI, THOMAS	PRUDETIAL TRAILS	В	0.00	0.39	0.00	0.00	0.39 89.0	0.35
	00301482 BONVICINI, THOMAS	PRUDETIAL TRAILS	В	0.00	0.39	0.00	0.00	0.39 89.0	0.35
		* VARIABLE ANNUITIES SUBTOTAL	*	\$0.00	\$0.78	\$0.00	\$0.00	\$0.78	\$0.70
PRODUCT CATE	GORY: CERTIFICATES OF D	EPOSIT							
	HARTMAN, MARILYN	HSBC BK USA N A MC LEAN VA	В	7,000.00	175.00	0.00	0.00	175.00 89.0	155.75
03/23/2018 #		HSBC BK USA N A MC LEAN VA	В	20,000.00	600.00	0.00	0.00	600.00 89.0	534.00
03/26/2018 #		BMO HARRIS BK NATL ASSN	В	25,000.00	562.50	0.00	0.00	562.50 89.0	500.63
		* CERTIFICATES OF DEPOSIT SUBTOTAL	*	\$52,000.00	\$1,337.50	\$0.00	\$0.00	\$1,337.50	\$1,190.38
PRODUCT CATE	GORY: MUTUAL FUND 12B1	TRAILS							
	0089192044 MARSH	BALANCED PORTFOLIO-529C	В	0.00	0.74	0.00	0.00	0.74 89.0	0.66
	0089192056 MARSH	BALANCED PORTFOLIO-529C	В	0.00	0.74	0.00	0.00	0.74 89.0	0.66
	0089610172 MARSH	BALANCED PORTFOLIO-529C	В	0.00	0.73	0.00	0.00	0.73 89.0	0.65
03/01/2018 #00			В	0.00	2.88	0.00	0.00	2.88 89.0	2.56
	0074284781 GAY, LEO	BALANCED PORTFOLIO-A							
03/01/2018 #00			В		24.60	0.00	0.00	24.60 89.0	21.89
03/01/2018 #00 03/01/2018 #00	0088470759 GAMBOAJUAN	GROWTH PORTFOLIO C	В	0.00	24.60 38.31			24.60 89.0 38.31 89.0	21.89 34.10
03/01/2018 #00 03/01/2018 #00	0088470759 GAMBOAJUAN 0088473946 AZZAREL				24.60 38.31 4.30	0.00 0.00 0.00	0.00 0.00 0.00	24.60 89.0 38.31 89.0 4.30 89.0	21.89 34.10 3.83

Page No. COMMISSION STATEMENT for ERIK EXISTING DODDS NET INVESTMENT GROSS CLEARING BROKER TRADE DATE CLIENT INVESTMENT B/S AMOUNT COMMISSION CHARGE POSTAGE COMMISSION RATE COMMISSION BROKER TRANSACTIONS PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS 03/09/2018 # RYAN, ERICA DAIN TRAILER В 0.00 0.04 0.00 0.00 0.04 89.0 0.04 03/09/2018 # LIMB, JOHN DAIN TRAILER В 0.00 10.25 0.00 0.00 10.25 89.0 9.12 0.00 2.27 2.02 03/09/2018 # LIMB, JOHN В 0.00 0.00 2.27 89.0 DAIN TRAILER 03/09/2018 # HARTMAN, MARILYN DAIN TRAILER В 0.00 5.23 0.00 0.00 5.23 89.0 4.65 03/09/2018 # HARTMAN, JAMES В 0.00 1.50 0.00 0.00 1.50 89.0 1.34 DAIN TRAILER 03/09/2018 # WEISER, MICHAEL DAIN TRAILER В 0.00 6.43 0.00 0.00 6.43 89.0 5.72 0.00 38.50 38.50 89.0 34.27 03/09/2018 # CHU, WILLIAM DAIN TRAILER В 0.00 0.00 03/09/2018 # FARLER, JUDITH DAIN TRAILER В 0.00 9.21 0.00 0.00 9.21 89.0 8.20 0.00 0.10 03/09/2018 # DAIN TRAILER В 0.00 0.00 0.10 89.0 0.09 03/09/2018 # DAIN TRAILER В 0.00 0.21 0.00 0.00 0.21 89.0 0.19 03/09/2018 # В 0.00 0.09 0.00 0.00 0.09 89.0 0.08 DAIN TRAILER 03/09/2018 # 0.42 0.37 DAIN TRAILER В 0.00 0.00 0.00 0.42 89.0 S 0.00 0.00 0.00 89.0 0.00 03/13/2018 # CHU, WILLIAM DAIN TRAILER 1,141.00 0.00 03/15/2018 # HARTMAN, JAMES В 0.00 2.17 0.00 0.00 2.17 89.0 1.93 DAIN TRAILER 03/19/2018 # GAY, LEO DAIN TRAILER В 708.00 0.00 0.00 0.00 0.00 89.0 0.00 03/19/2018 # CHU, WILLIAM S 270.00 0.00 89.0 DAIN TRAILER 0.00 0.00 0.00 0.00 * MUTUAL FUND 12B1 TRAILS SUBTOTAL * \$2,119.00 \$149.02 \$149.02 \$132.64 \$0.00 \$0.00 \$2,047.05 *** BROKER TRANSACTIONS TOTAL *** \$1,272,117.83 \$2,798.90 \$498.75 \$0.00 \$2,300.15 **ADJUSTMENTS**

	10000111111111	
04/20/2018	ADMIN FEE: ADMIN FEE	-320.00
04/20/2018	E & O INSURANCE: E & O INSURANCE	-179.00
04/20/2018	DOCUSIGN: DOCUSIGN	-51.00
04/20/2018	FINRA: FINRA ANNUAL ASSESMENT FEE	-215.00
04/20/2018	ASSISTANT FEE: ASSISTANT FEE	-59.00

\$-824.00

*** ADJUSTMENTS TOTAL ***

BROKER COMMISSION TOTALS

Broker Transactions	\$2,047.05
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-824.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-39.41
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount \$1,183.64