



COMMISSION STATEMENT

May 21, 2018

SAMUEL BARBER
327 Church St
Naugatuck, CT 06770

BROKER #: B06
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/10/2018	***-**-3812 - NORIEGA, CARLOS	FRK GTH ALC 9-12 YR 529 PORT CL A	600.00	22.50	0.00	22.50	75.0	16.88
	ACCOUNT #: 000000007007857	BUY 19 Units @32.3600						
		* MUTUAL FUNDS SUBTOTAL *	\$600.00	\$22.50	\$0.00	\$22.50		\$16.88
<u>PRODUCT CATEGORY: STOCKS</u>								
05/14/2018	***-**-20IO - BARBER, SAMUEL	NOODLES &CO COM CL A	945.00	45.57	9.99	35.58	75.0	26.69
	ACCOUNT #: LSF000307	SELL 100 Units @9.4500						
05/14/2018	***-**-20IO - BARBER, SAMUEL	NOODLES &CO COM CL A	941.10	15.99	9.99	6.00	75.0	4.50
	ACCOUNT #: LSF000307	SELL 100 Units @9.4110						
05/16/2018	***-**-20IO - BARBER, SAMUEL	WMIH CORP	914.20	55.51	15.52	39.99	75.0	29.99
	ACCOUNT #: LSF000307	SELL 653 Units @1.4000						
05/16/2018	***-**-20IO - BARBER, SAMUEL	WMIH CORP	420.00	25.50	11.99	13.51	75.0	10.13
	ACCOUNT #: LSF000307	SELL 300 Units @1.4000						
05/16/2018	***-**-20IO - BARBER, SAMUEL	WMIH CORP	280.00	10.11	10.99	-0.88	100.0	-0.88
	ACCOUNT #: LSF000307	SELL 200 Units @1.4000						
05/16/2018	***-**-20IO - BARBER, SAMUEL	WMIH CORP	280.00	8.00	10.99	-2.99	100.0	-2.99
	ACCOUNT #: LSF000307	SELL 200 Units @1.4000						
05/16/2018	***-**-20IO - BARBER, SAMUEL	WMIH CORP	555.80	15.88	12.96	2.92	75.0	2.19
	ACCOUNT #: LSF000307	SELL 397 Units @1.4000						
		* STOCKS SUBTOTAL *	\$4,336.10	\$176.56	\$82.43	\$94.13		\$69.63
<u>PRODUCT CATEGORY: 529 PLAN</u>								
05/21/2018	***-**-0853 - BOYER, THOMAS	NYA JPM 529 AGGR AGE-BASE A	50.00	2.38	0.00	2.38	75.0	1.79
	ACCOUNT #: 77467864401	BUY 0 Units @0.0000						
		* 529 PLAN SUBTOTAL *	\$50.00	\$2.38	\$0.00	\$2.38		\$1.79
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
05/21/2018	***-**-71IO - ALBERTO, MICHAEL	BLACKROCK 09251M108	0.00	0.41	0.00	0.41	75.0	0.31
	ACCOUNT #: LSR011938	BUY 1 Units @0.0000						
05/21/2018	***-**-7340 - RODESCHINI,	BLACKROCK EQUITY DIVIDEND FD CL C	0.00	29.08	0.00	29.08	75.0	21.81
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
05/21/2018	***-**-67IO - FERNANDEZ, ANA	BLACKROCK EQUITY DIVIDEND FD CL C	0.00	2.12	0.00	2.12	75.0	1.59
	ACCOUNT #: LSR011946	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/21/2018	***-**-2440 - RICHTER, DIANA	BLACKROCK MULTI - ASSET INCOME FUND	0.00	26.50	0.00	26.50	75.0	19.88
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
05/21/2018	***-**-63IO - BARBER,	BLACKROCK STRATEGIC INC OPP PORT CL	0.00	0.11	0.00	0.11	75.0	0.08
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
05/21/2018	***-**-7340 - RODESCHINI,	CLEARBRIDGE AGGRESSIVE GROWTH C	0.00	8.46	0.00	8.46	75.0	6.35
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
05/21/2018	***-**-63IO - BARBER,	FIRST EAGLE GLOBAL FUND CLASS C	0.00	0.59	0.00	0.59	75.0	0.44
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
05/21/2018	***-**-67IO - FERNANDEZ, ANA	FIRST EAGLE GLOBAL FUND CLASS C	0.00	0.84	0.00	0.84	75.0	0.63
	ACCOUNT #: LSR011946	BUY 1 Units @0.0000						
05/21/2018	***-**-7340 - RODESCHINI,	FUNDAMENTAL INVESTORS-C	0.00	6.36	0.00	6.36	75.0	4.77
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
05/21/2018	***-**-71IO - ALBERTO, MICHAEL	INTERNATIONAL EMERGING MARKETS (A)	0.00	0.41	0.00	0.41	75.0	0.31
	ACCOUNT #: LSR011938	BUY 1 Units @0.0000						
05/21/2018	***-**-63IO - BARBER,	JPMORGAN EQUITY INCOME FUND CLASS C	0.00	0.08	0.00	0.08	75.0	0.06
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
05/21/2018	***-**-63IO - BARBER,	JPMORGAN EQUITY INCOME FUND CLASS C	0.00	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
05/21/2018	***-**-7340 - RODESCHINI,	JPMORGAN LARGE CAP GROWTH CLASS C	0.00	32.07	0.00	32.07	75.0	24.05
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
05/21/2018	***-**-67IO - FERNANDEZ, ANA	JPMORGAN LARGE CAP GROWTH CLASS C	0.00	1.64	0.00	1.64	75.0	1.23
	ACCOUNT #: LSR011946	BUY 1 Units @0.0000						
05/21/2018	***-**-7340 - RODESCHINI,	JPMORGAN LARGE CAP GROWTH CLASS C	0.00	10.69	0.00	10.69	75.0	8.02
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
05/21/2018	***-**-67IO - FERNANDEZ, ANA	JPMORGAN LARGE CAP GROWTH CLASS C	0.00	0.55	0.00	0.55	75.0	0.41
	ACCOUNT #: LSR011946	BUY 1 Units @0.0000						
05/21/2018	***-**-71IO - ALBERTO, MICHAEL	LARGE CAP GROWTH FUND - A	0.00	0.65	0.00	0.65	75.0	0.49
	ACCOUNT #: LSR011938	BUY 1 Units @0.0000						
05/21/2018	***-**-7340 - RODESCHINI,	OPPENHEIMER GLOBAL CLASS C	0.00	24.41	0.00	24.41	75.0	18.31
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
05/21/2018	***-**-2440 - RICHTER, DIANA	PRINCIPAL EQUITY INC CL C	0.00	24.21	0.00	24.21	75.0	18.16
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/21/2018	***-**-63IO - BARBER, ACCOUNT #: LSR011933	PRINCIPAL GLOBAL DIVERSIFIED INCOME BUY 1 Units @0.0000	0.00	0.60	0.00	0.60	75.0	0.45
05/21/2018	***-**-7340 - RODESCHINI, ACCOUNT #: LSR011934	PRINCIPAL GLOBAL DIVERSIFIED INCOME BUY 1 Units @0.0000	0.00	8.09	0.00	8.09	75.0	6.07
05/21/2018	***-**-2440 - RICHTER, DIANA ACCOUNT #: LSR011984	PRINCIPAL GLOBAL DIVERSIFIED INCOME BUY 1 Units @0.0000	0.00	45.81	0.00	45.81	75.0	34.36
05/21/2018	***-**-71IO - ALBERTO, MICHAEL ACCOUNT #: LSR011938	PRINCIPAL INVESTORS SAM CONSERV GRO BUY 1 Units @0.0000	0.00	18.46	0.00	18.46	75.0	13.85
05/21/2018	***-**-7340 - RODESCHINI, ACCOUNT #: LSR011934	THE GROWTH FUND OF AMERICA-C BUY 1 Units @0.0000	0.00	18.66	0.00	18.66	75.0	14.00
05/21/2018	***-**-63IO - BARBER, ACCOUNT #: LSR011933	WESTERN ASSET CORE BOND FUND CL C BUY 1 Units @0.0000	0.00	0.06	0.00	0.06	75.0	0.05
05/21/2018	***-**-2440 - RICHTER, DIANA ACCOUNT #: LSR011984	WESTERN ASSET MANAGED MUNI CL C BUY 1 Units @0.0000	0.00	14.15	0.00	14.15	75.0	10.61
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$275.04	\$0.00	\$275.04		\$206.31
*** BROKER TRANSACTIONS TOTAL ***			\$4,986.10	\$476.48	\$82.43	\$394.05		\$294.61



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				GROSS	CLEARING	NET	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
						<u>BROKER COMMISSION TOTALS</u>	
Broker Transactions						\$294.61	
Split Transactions						\$0.00	
Override Transactions						\$0.00	
Adjustments						\$0.00	
Payroll Draw						\$0.00	
Base Salary						\$0.00	
Prior Period Balance						\$0.00	
FINRA Assessment						\$0.00	
SIPC Assessment						\$0.00	
Net Commission Earned						\$294.61	
Year-to-date Earnings						\$23,214.58	