



Concorde Investment Services, LLC

05/11/2017
Page No. 1

COMMISSION STATEMENT May 15, 2017

KEITH LAYMAN
4321 Flagstaff

BROKER #: L128
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/01/2017	ROOS, DEBORAH	AM FUNDS AMCAP A	100.00	4.25	0.00	4.25	90.0	3.83
04/24/2017	EAGLESON, AMBER	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
04/25/2017	HALE, AMBER	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
04/28/2017	PATTERSON, JANITA	AM FUNDS AMERICAN BALANCED A	70.00	1.93	0.00	1.93	90.0	1.74
04/28/2017	ISLEY, TERRY	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	90.0	4.50
04/28/2017	LOWE, MELISSA	AM FUNDS AMERICAN BALANCED A	500.00	25.00	0.00	25.00	90.0	22.50
05/01/2017	KINDSCHY, CYNTHIA	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
05/01/2017	SEELY, BRENDAN	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
05/02/2017	KAUFFMAN, JOHN	AM FUNDS AMERICAN BALANCED A	1,500.00	75.00	22.00	53.00	90.0	47.70
04/24/2017	EAGLESON, JOSEPH	AM FUNDS CAPITAL INCOME BUILDER A	65.00	2.44	0.00	2.44	90.0	2.20
04/28/2017	PATTERSON, JANITA	AM FUNDS CAPITAL INCOME BUILDER A	60.00	1.65	0.00	1.65	90.0	1.49
05/01/2017	HUNTER, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER A	50.00	2.13	0.00	2.13	90.0	1.92
05/01/2017	KINDSCHY, CYNTHIA	AM FUNDS CAPITAL INCOME BUILDER A	60.00	2.25	0.00	2.25	90.0	2.03
04/24/2017	EAGLESON, JOSEPH	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	2.81	0.00	2.81	90.0	2.53
04/28/2017	PATTERSON, JANITA	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	1.38	0.00	1.38	90.0	1.24
04/28/2017	HERRIMAN, JANET	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.13	0.00	2.13	90.0	1.92
05/01/2017	HUNTER, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC A	50.00	2.13	0.00	2.13	90.0	1.92
05/01/2017	KINDSCHY, CYNTHIA	AM FUNDS CAPITAL WORLD GRTH & INC A	60.00	2.25	0.00	2.25	90.0	2.03
05/01/2017	GOODMAN, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	500.00	13.75	0.00	13.75	90.0	12.38
05/01/2017	HUNTER, JENNIFER	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.13	0.00	2.13	90.0	1.92
04/24/2017	ROEMER, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
04/24/2017	EAGLESON, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA A	75.00	2.81	0.00	2.81	90.0	2.53
04/28/2017	SAMUDIO, SHERRY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	4.50
05/01/2017	HUNTER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	90.0	1.92
05/01/2017	KINDSCHY, CYNTHIA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
04/24/2017	EAGLESON, JOSEPH	AM FUNDS INCOME FUND OF AMERICA A	55.00	2.06	0.00	2.06	90.0	1.85

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
04/28/2017	PATTERSON, JANITA	AM FUNDS INCOME FUND OF AMERICA A	50.00	1.38	0.00	1.38	90.0	1.24
04/28/2017	PATTERSON, JANITA	AM FUNDS INTERMEDIATE BOND FUND OF	50.00	1.00	0.00	1.00	90.0	0.90
05/01/2017	KINDSCHY, CYNTHIA	AM FUNDS INTERMEDIATE BOND FUND OF	50.00	1.00	0.00	1.00	90.0	0.90
04/28/2017	HEIDENREICH, PATRICK	AM FUNDS NEW ECONOMY A	75.00	3.19	0.00	3.19	90.0	2.87
05/01/2017	DAGER, DONNA	AM FUNDS NEW ECONOMY A	100.00	5.00	0.00	5.00	90.0	4.50
04/24/2017	EAGLESON, JOSEPH	AM FUNDS NEW PERSPECTIVE A	65.00	2.44	0.00	2.44	90.0	2.20
04/24/2017	EAGLESON, JOSEPH	AM FUNDS NEW WORLD A	65.00	2.44	0.00	2.44	90.0	2.20
05/01/2017	HUNTER, JENNIFER	AM FUNDS NEW WORLD A	50.00	2.13	0.00	2.13	90.0	1.92
05/01/2017	GOODMAN, ROBERT	AM FUNDS NEW WORLD A	500.00	13.75	0.00	13.75	90.0	12.38
04/28/2017	PATTERSON, JANITA	AM FUNDS SHORT TERM BND FUND OF AM	50.00	1.00	0.00	1.00	90.0	0.90
05/01/2017	KINDSCHY, CYNTHIA	AM FUNDS SHORT TERM BND FUND OF AM	60.00	1.20	0.00	1.20	90.0	1.08
05/01/2017	GOODMAN, ROBERT	AM FUNDS SMALLCAP WORLD A	500.00	13.75	0.00	13.75	90.0	12.38
05/03/2017	GALENTINE, ASHLEY	AM FUNDS SMALLCAP WORLD A	300.00	12.75	0.00	12.75	90.0	11.48
04/28/2017	HEIDENREICH, PATRICK	AM FUNDS WASHINGTON MUTUAL INVESTOR	75.00	3.19	0.00	3.19	90.0	2.87
04/28/2017	SAMUDIO, SHERRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	90.0	4.50
04/24/2017	GEVERINK, ANN	ASCENSUS COLLEGE SAV 194524518	30.00	1.43	0.00	1.43	90.0	1.29
04/27/2017	MARKS, GAREN	ASCENSUS COLLEGE SAV 194524518	22.50	1.07	0.00	1.07	90.0	0.96
04/28/2017	FREEZE, CORTNEY	ASCENSUS COLLEGE SAV 194524518	18.00	0.86	0.00	0.86	90.0	0.77
04/24/2017	GEVERINK, ANN	ASCENSUS INA AMERICAN FUNDS EUROPAC	30.00	1.43	0.00	1.43	90.0	1.29
04/24/2017	SIEGER, NORMAN	ASCENSUS INA AMERICAN FUNDS EUROPAC	152.00	7.22	0.00	7.22	90.0	6.50
04/28/2017	SAYA, CHRISTINE	ASCENSUS INA AMERICAN FUNDS EUROPAC	2.50	0.12	0.00	0.12	90.0	0.11
04/27/2017	MARKS, GAREN	ASCENSUS INA INTERNATIONAL EQ INDEX	22.50	1.07	0.00	1.07	90.0	0.96
04/28/2017	FREEZE, CORTNEY	ASCENSUS INA INTERNATIONAL EQ INDEX	25.00	1.19	0.00	1.19	90.0	1.07
04/24/2017	GEVERINK, ANN	ASCENSUS INA PIMCO TOTAL RETURN POR	40.00	1.30	0.00	1.30	90.0	1.17
04/24/2017	SIEGER, NORMAN	ASCENSUS INA PIMCO TOTAL RETURN POR	152.00	4.94	0.00	4.94	90.0	4.45
04/27/2017	MARKS, GAREN	ASCENSUS INA PIMCO TOTAL RETURN POR	45.00	1.46	0.00	1.46	90.0	1.31
04/28/2017	SAYA, CHRISTINE	ASCENSUS INA PIMCO TOTAL RETURN POR	5.00	0.16	0.00	0.16	90.0	0.14
04/27/2017	MARKS, GAREN	ASCENSUS INA SHORT TERM TREASURY IN	15.00	0.49	0.00	0.49	90.0	0.44
/ /	GALENTINE, ASHLEY	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	2.38	0.00	2.38	90.0	2.14
04/24/2017	GEVERINK, ANN	ASCENSUS INA T ROWE PRICE LG CAP GR	50.00	2.38	0.00	2.38	90.0	2.14
04/24/2017	SIEGER, NORMAN	ASCENSUS INA T ROWE PRICE LG CAP GR	608.00	28.88	0.00	28.88	90.0	25.99
04/27/2017	MARKS, GAREN	ASCENSUS INA T ROWE PRICE LG CAP GR	22.50	1.07	0.00	1.07	90.0	0.96
04/28/2017	SAYA, CHRISTINE	ASCENSUS INA T ROWE PRICE LG CAP GR	8.75	0.42	0.00	0.42	90.0	0.38

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
04/24/2017	GEVERINK, ANN	ASCENSUS-INA BLACKROCK LG CAP VALUE	50.00	2.38	0.00	2.38	90.0	2.14
04/24/2017	SIEGER, NORMAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	608.00	28.88	0.00	28.88	90.0	25.99
04/27/2017	MARKS, GAREN	ASCENSUS-INA BLACKROCK LG CAP VALUE	22.50	1.07	0.00	1.07	90.0	0.96
04/28/2017	SAYA, CHRISTINE	ASCENSUS-INA BLACKROCK LG CAP VALUE	8.75	0.42	0.00	0.42	90.0	0.38
05/04/2017	GALENTINE, ASHLEY	ASCENSUS-INA BLACKROCK LG CAP VALUE	50.00	2.38	0.00	2.38	90.0	2.14
04/28/2017	FREEZE, CORTNEY	ASCENSUS-INA CORE BOND INDEX PF CL	10.00	0.33	0.00	0.33	90.0	0.30
04/28/2017	FREEZE, CORTNEY	ASCENSUS-INA LG CAP INDEX PF CL A	47.00	2.23	0.00	2.23	90.0	2.01
/ /	MCFEETERS, VALERIE	BLK CAPITAL APPRECIATION A	0.00	5.00	0.00	5.00	90.0	4.50
03/31/2017	MCFEETERS, VALERIE	BLK CAPITAL APPRECIATION A	0.00	0.21	0.00	0.21	90.0	0.19
03/31/2017	GILROY, MATTHEW	BLK INTL OPP A	0.00	0.49	0.00	0.49	90.0	0.44
05/02/2017	KAUFFMAN, JAN	DODGE & COX INCOME FUND	1,300.00	10.00	22.00	-12.00	90.0	-10.80
05/02/2017	CRAWFORD, JOHN	FIDELITY SELECT ENERGY PORTFOLIO	900.00	10.00	22.00	-12.00	90.0	-10.80
05/04/2017	LOWDEN, KODY	FIDELITY SELECT ENERGY PORTFOLIO	500.00	0.00	22.00	-22.00	90.0	-19.80
05/02/2017	FEDLER, CHARLES	INFLATION LINKED BOND FUND A	1,000.00	20.00	22.00	-2.00	90.0	-1.80
05/04/2017	ELLIOTT, RANDALL	INVESCO AMERICAN FRANCHISE C	-6,303.21	22.00	22.00	0.00	90.0	0.00
05/04/2017	ELLIOTT, RANDALL	INVESCO BALANCED-RISK RTRMNT 2020 C	-5,380.44	22.00	22.00	0.00	90.0	0.00
05/04/2017	ELLIOTT, RANDALL	INVESCO DIVERSIFIED DIVIDEND FD-CL	-6,092.84	22.00	22.00	0.00	90.0	0.00
05/04/2017	ELLIOTT, RANDALL	INVESCO EMERGING MARKETS EQTY FD CL	-2,300.99	22.00	22.00	0.00	90.0	0.00
05/04/2017	ELLIOTT, RANDALL	INVESCO FLOATING RATE FD-CL C	-2,802.11	22.00	22.00	0.00	90.0	0.00
05/04/2017	ELLIOTT, RANDALL	INVESCO GBL LOW VOLATILITY EQTY YLD	-3,175.33	22.00	22.00	0.00	90.0	0.00
05/04/2017	ELLIOTT, RANDALL	INVESCO INTERNATIONAL TOTAL RETURN	-2,335.39	22.00	22.00	0.00	90.0	0.00
05/04/2017	ELLIOTT, RANDALL	INVESCO US GOVERNMENT FD CL C	-2,330.29	22.00	22.00	0.00	90.0	0.00
04/17/2017	SCHUCH, AUTUMN	OPPENHEIMER CAPITAL APPRECIATION A	100.00	4.75	0.00	4.75	90.0	4.28
04/17/2017	GALENTINE, JUSTIN	OPPENHEIMER PORTFOLIO SERIES EQ INV	200.00	9.50	0.00	9.50	90.0	8.55
04/30/2017	GRIME, LAURA	TEMPLETON DEVELOPING MKTS A	0.00	5.29	0.00	5.29	90.0	4.76
04/30/2017	GRIME, LAURA	TEMPLETON FOREIGN FUND A	0.00	6.67	0.00	6.67	90.0	6.00
05/03/2017	URICK, DANIEL	TRANSAMERICA DIVERSIFIED EQUITY A	100.00	4.00	0.00	4.00	90.0	3.60
05/02/2017	LUDWIG, KENDRA	TRANSAMERICA MULTI-MANAGED BAL C	0.00	2.37	0.00	2.37	90.0	2.13
05/10/2017	ELLIOTT, RANDALL	VANGUARD BALANCED INDEX FUND ADMIRA	30,000.00	50.00	22.00	28.00	90.0	25.20
* MUTUAL FUNDS SUBTOTAL *			\$11,434.40	\$640.06	\$308.00	\$332.06		\$298.90

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
05/02/2017	KAUFFMAN, JOHN	MATTEL INC COM	1,320.00	50.00	22.50	27.50	90.0	24.75
* STOCKS SUBTOTAL *			\$1,320.00	\$50.00	\$22.50	\$27.50		\$24.75
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
04/30/2017	POSTMA, THELMA	JNL PERSPECTIVE II	19,997.86	8.33	0.00	8.33	90.0	7.50
04/30/2017	SIEBER, JANE	JNL PERSPECTIVE II	32,465.39	13.53	0.00	13.53	90.0	12.18
04/30/2017	SIEBER, PAUL	JNL PERSPECTIVE II	66,220.00	27.59	0.00	27.59	90.0	24.83
* VARIABLE ANNUITIES SUBTOTAL *			\$118,683.25	\$49.45	\$0.00	\$49.45		\$44.51
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/30/2017	LAYMAN, VARIOUS	DREYFUS	0.00	7.88	0.00	7.88	90.0	7.09
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$7.88	\$0.00	\$7.88		\$7.09
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
05/03/2017	MARTZ, PHILLIS	ING ACCESS	0.00	877.71	0.00	877.71	90.0	789.94
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$877.71	\$0.00	\$877.71		\$789.94
*** BROKER TRANSACTIONS TOTAL ***			\$131,437.65	\$1,625.10	\$330.50	\$1,294.60		\$1,165.19

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209**

04/28/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.97	0.00	2.97	90.0	2.67
04/28/2017	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	2.06	0.00	2.06	90.0	1.85
04/28/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	87.10	1.29	0.00	1.29	90.0	1.16
04/28/2017	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	87.10	1.29	0.00	1.29	90.0	1.16
04/28/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	2.06	0.00	2.06	90.0	1.85
04/28/2017	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	4.12	0.00	4.12	90.0	3.71
04/28/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	138.46	2.06	0.00	2.06	90.0	1.85
04/28/2017	TOURNEY, JOSEPH	AM FUNDS AMCAP A	230.76	3.44	0.00	3.44	90.0	3.10
04/24/2017	MEADOWS, SHEILA	AM FUNDS AMERICAN BALANCED A	6,606.21	115.61	0.00	115.61	90.0	104.05
04/28/2017	SITES, AMY	AM FUNDS AMERICAN BALANCED A	125.00	2.18	0.00	2.18	90.0	1.96
04/28/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.75	0.00	1.75	90.0	1.58
05/02/2017	BRAUTZSCH, CHRISTINE	AM FUNDS AMERICAN BALANCED A	5,000.00	74.37	7.70	66.67	90.0	60.00
05/02/2017	COOK, JEANNIE	AM FUNDS AMERICAN BALANCED A	120.00	2.10	7.70	-5.60	90.0	-5.04
05/02/2017	SORG, DAWN	AM FUNDS AMERICAN BALANCED A	225.00	3.93	7.70	-3.77	90.0	-3.39

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<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
05/02/2017	CRAWFORD, GERALD	AM FUNDS AMERICAN BALANCED A	300.00	5.25	7.70	-2.45	90.0	-2.21
05/01/2017	SHERWOOD, JULIE	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	0.87	0.00	0.87	90.0	0.78
04/28/2017	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.87	0.00	0.87	90.0	0.78
04/28/2017	RABER, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	350.00	6.12	0.00	6.12	90.0	5.51
04/27/2017	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	100.00	1.66	0.00	1.66	90.0	1.49
04/28/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.10	0.00	0.10	90.0	0.09
05/05/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
05/05/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.17	0.00	0.17	90.0	0.15
04/28/2017	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	50.00	0.57	0.00	0.57	90.0	0.51
05/05/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.13	0.00	0.13	90.0	0.12
04/28/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.24	0.00	0.24	90.0	0.22
05/05/2017	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.10	0.00	0.10	90.0	0.09
04/28/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.41	0.00	0.41	90.0	0.37
04/28/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.24	0.00	0.24	90.0	0.22
04/28/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.41	0.00	0.41	90.0	0.37
05/05/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.41	0.00	0.41	90.0	0.37
05/05/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.64	0.00	0.64	90.0	0.58
05/05/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
05/05/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.41	0.00	0.41	90.0	0.37
05/02/2017	BRAUTZSCH, CHRIS	FIDELITY SELECT GOLD PORT	1,200.00	8.75	7.70	1.05	90.0	0.95
04/28/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.10	0.00	0.10	90.0	0.09
05/04/2017	JUNOD, BARBARA	JP MORGAN INVESTOR CONSERVATIVE GRO	-16,375.77	0.00	0.00	0.00	90.0	0.00
04/30/2017	ROBERTSON, RACHEL	TEMPLETON GROWTH C	0.00	5.18	0.00	5.18	90.0	4.66
05/02/2017	BRAUTZSCH, CHRISTINE	VANGUARD PRIME MONEY MARKET FUND IN	-5,164.66	3.50	7.70	-4.20	90.0	-3.78
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$-5,486.96	\$255.94	\$46.20	\$209.74		\$188.76
*** SPLIT TRANSACTIONS TOTAL ***			\$-5,486.96	\$255.94	\$46.20	\$209.74		\$188.76

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<u>ADJUSTMENTS</u>								
05/15/2017		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
05/15/2017		*EO INSURANCE: CURRENT MONTH INS-BI	0.00					-300.00
05/15/2017		*RENEWAL: NATIONWIDE INS	0.00					-90.00
05/15/2017		*TECH: ADMIN J WRIGHT	0.00					-100.00
05/15/2017		*TECH: ALBRIDGE FULL	0.00					-100.00
05/15/2017		*TECHNOLOGY: ADMIN - JAMIE BERNDT	0.00					-100.00
05/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
05/15/2017		*TECHNOLOGY: CURRENT MONTH TECH (BI	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,540.00

BROKER COMMISSION TOTALS

Broker Transactions	\$1,165.19
Split Transactions	\$188.76
Override Transactions	\$0.00
Adjustments	\$-1,540.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-186.05
Year-to-date Gross	\$19,212.42
Year-to-date Earnings	\$16,473.87

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed