

COMMISSION STATEMENT

June 09, 2017

ARTHUR KITTELSTAD
3316 N FRONTAGE ROAD

BROKER #: 6
BRANCH: HOME OFFICE

PLANT CITY, FL 33565

COMMISSION STATEMENT for ARTHUR KITTELSTAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
05/02/2017	QGW001307	SMALL, WAYNE	QUALCOMM INC	B	5,369.65	156.00	10.50	145.50	85.0	123.68
04/27/2017	QGW001323	KNUDSON, BENJAMIN	APOLLO COMMERCIAL REAL ESTATE FINAN S		-15,753.44	397.00	14.00	383.00	85.0	325.55
04/27/2017	QGW018483	KNUDSON, BENJAMIN	QUALCOMM INC	B	7,963.50	230.00	10.75	219.25	85.0	186.36
05/17/2017	QGW018483	KNUDSON, BENJAMIN	FIREEYE INC COM	S	-7,701.60	199.00	12.50	186.50	85.0	158.53
05/17/2017	QGW018525	WAYMEL, RAYMOND	FIREEYE INC COM	S	-7,600.95	197.00	12.50	184.50	85.0	156.83
05/01/2017	QGW018541	LUNCEFORD, WENDELL	FRONTIER COMMUNICATIONS CORP	S	-1,842.00	70.00	15.00	55.00	85.0	46.75
05/08/2017	QGW018541	LUNCEFORD, WENDELL	GAMCO NATURAL RESOURCES GOLD & INCO B		7,037.30	211.00	15.00	196.00	85.0	166.60
05/22/2017	QGW018798	SENTZ, ROBERT	VODAFONE GROUP PLC SPONSORED ADR NO S		-8,886.78	178.00	11.50	166.50	85.0	141.53
05/01/2017	QGW018889	DICE, GEORGE	QUALCOMM INC	B	4,813.92	139.00	10.45	128.55	85.0	109.27
05/24/2017	QGW018913	NUTT, RENEE	ISHARES MSCI BRAZIL CAPPED ETF	S	-3,534.20	96.00	10.50	85.50	85.0	72.68
05/04/2017	QGW018954	EGGLESTON, LYNN	AMGEN INC	B	4,103.50	119.00	10.13	108.87	85.0	92.54
05/22/2017	QGW018954	EGGLESTON, LYNN	VODAFONE GROUP PLC SPONSORED ADR NO S		-5,346.00	139.00	10.90	128.10	85.0	108.89
05/22/2017	QGW018962	EGGLESTON, NICHOLAS	VODAFONE GROUP PLC SPONSORED ADR NO S		-2,821.60	75.00	10.48	64.52	85.0	54.84
05/17/2017	QGW019119	HAECKER, GREG	EATON VANCE ENHANCED EQUITY INCOME B		6,778.25	195.00	12.38	182.62	85.0	155.23
05/17/2017	QGW019119	HAECKER, GREG	GAMCO NATURAL RESOURCES GOLD & INCO B		10,852.14	329.00	17.68	311.32	85.0	264.62
05/17/2017	QGW019119	HAECKER, GREG	GAMCO NATURAL RESOURCES GOLD & INCO B		6,761.21	204.00	14.78	189.22	85.0	160.84
05/17/2017	QGW019119	HAECKER, GREG	ISHARES CORE S&P 500 ETF	B	26,773.15	779.00	10.56	768.44	85.0	653.17
05/17/2017	QGW019119	HAECKER, GREG	ISHARES GLOBAL TECH ETF	B	9,173.44	269.00	10.35	258.65	85.0	219.85
05/17/2017	QGW019119	HAECKER, GREG	ISHARES GLOBAL HEALTHCARE ETF	B	7,206.64	211.00	10.34	200.66	85.0	170.56
05/17/2017	QGW019119	HAECKER, GREG	ISHARES IBOXX \$ HIGH YIELD CORPORAT B		6,778.93	197.00	10.39	186.61	85.0	158.62
05/17/2017	QGW019119	HAECKER, GREG	ISHARES IBOXX \$ HIGH YIELD CORPORAT B		7,571.27	220.00	10.43	209.57	85.0	178.13
05/17/2017	QGW019119	HAECKER, GREG	ISHARES TR CORE TOTAL USD BD MKT ET B		20,489.62	595.00	12.01	582.99	85.0	495.54
05/17/2017	QGW019119	HAECKER, GREG	ISHARES TR CORE TOTAL USD BD MKT ET B		6,778.82	197.00	10.67	186.33	85.0	158.38
05/17/2017	QGW019119	HAECKER, GREG	ISHARES TR CORE MSCI PAC ETF MKT ET B		5,166.22	150.00	10.49	139.51	85.0	118.58
05/17/2017	QGW019119	HAECKER, GREG	ISHARES TR CORE MSCI PAC ETF MKT ET B		6,761.48	195.00	10.64	184.36	85.0	156.71
05/17/2017	QGW019119	HAECKER, GREG	ISHARES TR CORE MSCI EUROPE ETF	B	6,730.09	195.00	10.71	184.29	85.0	156.65
05/17/2017	QGW019119	HAECKER, GREG	ISHARES TR CORE MSCI EUROPE ETF	B	5,119.74	149.00	10.54	138.46	85.0	117.69
05/17/2017	QGW019119	HAECKER, GREG	ISHARES U S HEALTHCARE ETF	B	6,603.66	194.00	10.21	183.79	85.0	156.22
05/17/2017	QGW019119	HAECKER, GREG	ISHARES U S HEALTHCARE ETF	B	7,229.01	211.00	10.23	200.77	85.0	170.65
05/02/2017	QGW019218	POTI, NORMAN	QUALCOMM INC	B	4,233.44	123.00	10.40	112.60	85.0	95.71
05/02/2017	QGW019440	DOMB, CARALINE	QUALCOMM INC	B	3,217.08	95.00	10.30	84.70	85.0	72.00

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BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
05/02/2017	QGW019531	HOFFMAN, DEREK		QUALCOMM INC	B	4,020.60	101.00	10.38	90.62	85.0	77.03
05/02/2017	QGW024747	WAYMEL, RAYMOND		ABBOTT LABORATORIES	B	8,713.30	253.00	11.00	242.00	85.0	205.70
05/02/2017	QGW024747	WAYMEL, RAYMOND		AMGEN INC	B	16,329.50	474.00	10.50	463.50	85.0	393.98
05/22/2017	QGW024747	WAYMEL, RAYMOND		VODAFONE GROUP PLC SPONSORED ADR NO S		-14,877.00	386.00	12.50	373.50	85.0	317.48
04/27/2017	QGW024754	KNUDSON, SUSAN		APOLLO COMMERCIAL REAL ESTATE FINAN S		-11,904.60	296.00	13.00	283.00	85.0	240.55
05/22/2017	QGW024754	KNUDSON, SUSAN		VODAFONE GROUP PLC SPONSORED ADR NO S		-8,892.75	231.00	11.50	219.50	85.0	186.58
05/01/2017	QGW024796	LUNCEFORD, WENDELL		ISHARES MSCI BRAZIL CAPPED ETF	S	-7,460.40	196.00	11.00	185.00	85.0	157.25
05/01/2017	QGW024796	LUNCEFORD, WENDELL		MOBILE TELESYSTEMS PJSC AMERICAN DE S		-6,289.02	169.00	13.00	156.00	85.0	132.60
05/22/2017	QGW024796	LUNCEFORD, WENDELL		VODAFONE GROUP PLC SPONSORED ADR NO S		-11,900.00	324.00	12.00	312.00	85.0	265.20
04/27/2017	QGW024986	PENNINGTON, CHARLES		QUALCOMM INC	B	6,632.50	192.00	10.63	181.37	85.0	154.16
05/22/2017	QGW028979	DEKKER, KATHIE		VODAFONE GROUP PLC SPONSORED ADR NO S		-4,755.62	124.00	10.80	113.20	85.0	96.22
05/23/2017	QGW030694	ABLES, FRANCES		VODAFONE GROUP PLC SPONSORED ADR NO S		-4,442.10	117.00	10.75	106.25	85.0	90.31
05/22/2017	QGW031189	JOHNSON, KENNETH		VODAFONE GROUP PLC SPONSORED ADR NO S		-8,931.63	232.00	11.50	220.50	85.0	187.43
05/22/2017	QGW031189	JOHNSON, KENNETH		WELLTOWER INC COM	S	-7,307.60	189.00	10.50	178.50	85.0	151.73
05/02/2017	QGW031692	BARFIELD, BARBARA		APPLE INC	S	-14,760.70	384.00	10.50	373.50	85.0	317.48
05/09/2017	QGW031692	BARFIELD, BARBARA		GAMCO NATURAL RESOURCES GOLD & INCO B		3,493.90	108.00	12.50	95.50	85.0	81.18
* STOCKS SUBTOTAL *						\$67,693.87	\$10,490.00	\$539.38	\$9,950.62		\$8,458.08

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/17/2017	QGW018491	HOBGOOD, ROBIN		BOND A	B	0.00	3.04	0.00	3.04	85.0	2.58
04/17/2017	QGW018491	HOBGOOD, ROBIN		BOND A	B	0.00	3.04	0.00	3.04	85.0	2.58
05/19/2017	QGW018491	HOBGOOD, ROBIN		BOND A	B	0.00	2.97	0.00	2.97	85.0	2.52
04/17/2017	QGW018574	STEPANEK, CATHERINE		JOHN HANCOCK FDS II NEW OPPORTUNITI B		0.00	5.64	0.00	5.64	85.0	4.79
04/17/2017	QGW018574	STEPANEK, CATHERINE		JOHN HANCOCK FDS II NEW OPPORTUNITI B		0.00	5.64	0.00	5.64	85.0	4.79
05/19/2017	QGW018574	STEPANEK, CATHERINE		JOHN HANCOCK FDS II NEW OPPORTUNITI B		0.00	5.46	0.00	5.46	85.0	4.64
04/17/2017	QGW018798	SENTZ, ROBERT		LARGE CAP EQUITY A	B	0.00	0.13	0.00	0.13	85.0	0.11
04/17/2017	QGW018798	SENTZ, ROBERT		LARGE CAP EQUITY A	B	0.00	0.13	0.00	0.13	85.0	0.11
05/19/2017	QGW018798	SENTZ, ROBERT		LARGE CAP EQUITY A	B	0.00	0.12	0.00	0.12	85.0	0.10
04/17/2017	QGW019150	RICHARDSON, MARY		JOHN HANCOCK FDS III STRATEGIC GROW B		0.00	0.08	0.00	0.08	85.0	0.07
04/17/2017	QGW019150	RICHARDSON, MARY		JOHN HANCOCK FDS III STRATEGIC GROW B		0.00	0.08	0.00	0.08	85.0	0.07
05/19/2017	QGW019150	RICHARDSON, MARY		JOHN HANCOCK FDS III STRATEGIC GROW B		0.00	0.07	0.00	0.07	85.0	0.06
04/18/2017	QGW024838	STEPANEK, DENNIS		JP MORGAN LIMITED DURATION BOND FUN B		0.00	19.83	0.00	19.83	85.0	16.86
04/18/2017	QGW024838	STEPANEK, DENNIS		JP MORGAN LIMITED DURATION BOND FUN B		0.00	19.83	0.00	19.83	85.0	16.86
05/17/2017	QGW024838	STEPANEK, DENNIS		JP MORGAN LIMITED DURATION BOND FUN B		0.00	19.22	0.00	19.22	85.0	16.34
04/17/2017	QGW024879	DERTOUZOS, JAMES		JOHN HANCOCK FDS II NEW OPPORTUNITI B		0.00	2.56	0.00	2.56	85.0	2.18
04/17/2017	QGW024879	DERTOUZOS, JAMES		JOHN HANCOCK FDS II NEW OPPORTUNITI B		0.00	2.56	0.00	2.56	85.0	2.18
04/17/2017	QGW024879	DERTOUZOS, JAMES		REGIONAL BANK A	B	0.00	2.23	0.00	2.23	85.0	1.90
04/17/2017	QGW024879	DERTOUZOS, JAMES		REGIONAL BANK A	B	0.00	2.23	0.00	2.23	85.0	1.90
05/19/2017	QGW024879	DERTOUZOS, JAMES		JOHN HANCOCK FDS II NEW OPPORTUNITI B		0.00	2.48	0.00	2.48	85.0	2.11
05/19/2017	QGW024879	DERTOUZOS, JAMES		REGIONAL BANK A	B	0.00	2.09	0.00	2.09	85.0	1.78

COMMISSION STATEMENT for ARTHUR KITTELSTAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$99.43	\$0.00	\$99.43	\$84.53
*** BROKER TRANSACTIONS TOTAL ***	\$67,693.87	\$10,589.43	\$539.38	\$10,050.05	\$8,542.61

ADJUSTMENTS

06/09/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
06/09/2017	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-15.00
	*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-35.00

BROKER COMMISSION TOTALS

Broker Transactions	\$8,542.61
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-35.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-13.24
SIPC Assessment	\$-198.55

Check Amount	\$8,295.82
Year-to-date Earnings	\$34,571.63