



Concorde Investment Services, LLC

06/13/2017

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COMMISSION STATEMENT

June 15, 2017

JEFFREY KAUFMAN
801 Gray Oak Drive

BROKER #: K119
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65201

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/26/2017	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	516.81	5.17	0.00	5.17	90.0	4.65
05/26/2017	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	1,230.76	12.31	0.00	12.31	90.0	11.08
06/01/2017	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	0.00	62.12	0.00	62.12	90.0	55.91
05/26/2017	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	85.40	0.85	0.00	0.85	90.0	0.77
05/26/2017	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	85.40	0.85	0.00	0.85	90.0	0.77
05/26/2017	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	40.79	0.41	0.00	0.41	90.0	0.37
05/26/2017	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	40.79	0.41	0.00	0.41	90.0	0.37
05/26/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	43.45	0.43	0.00	0.43	90.0	0.39
05/26/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	57.94	0.58	0.00	0.58	90.0	0.52
05/26/2017	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	54.31	0.54	0.00	0.54	90.0	0.49
05/26/2017	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	54.31	0.54	0.00	0.54	90.0	0.49
05/26/2017	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	23.73	0.24	0.00	0.24	90.0	0.22
05/26/2017	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	23.73	0.24	0.00	0.24	90.0	0.22
05/26/2017	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
05/26/2017	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
06/01/2017	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	0.00	4.13	0.00	4.13	90.0	3.72
06/01/2017	TAYLOR, LOIS	AM FUNDS 2045 TARGET DATE-A	0.00	9.06	0.00	9.06	90.0	8.15
06/01/2017	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	0.00	0.15	0.00	0.15	90.0	0.14
06/01/2017	CATO, ANDREW	AM FUNDS 2050 TARGET DATE-A	0.00	1.59	0.00	1.59	90.0	1.43
05/26/2017	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	40.20	0.40	0.00	0.40	90.0	0.36
05/26/2017	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	40.20	0.40	0.00	0.40	90.0	0.36
^05/26/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	47.51	0.48	0.00	0.48	90.0	0.00
^05/26/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	47.51	0.48	0.00	0.48	90.0	0.00
06/01/2017	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	0.00	1.36	0.00	1.36	90.0	1.22
06/01/2017	ANDING, MARY DIANE	AM FUNDS AMCAP A	0.00	6.29	0.00	6.29	90.0	5.66
06/01/2017	REDDICK, RYAN	AM FUNDS AMERICAN BALANCED 529A	0.00	5.31	0.00	5.31	90.0	4.78

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
06/01/2017	PATTISON, CHRISTINE	AM FUNDS AMERICAN BALANCED 529C	0.00	3.67	0.00	3.67	90.0	3.30
05/26/2017	PATTISON, CHRISTINE	AM FUNDS AMERICAN BALANCED A	327.31	3.27	0.00	3.27	90.0	2.94
05/26/2017	PATTISON, CHRISTINE	AM FUNDS AMERICAN BALANCED A	327.31	3.27	0.00	3.27	90.0	2.94
05/26/2017	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	143.83	1.44	0.00	1.44	90.0	1.30
05/26/2017	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	335.60	3.36	0.00	3.36	90.0	3.02
05/26/2017	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	59.82	0.60	0.00	0.60	90.0	0.54
05/26/2017	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	59.82	0.60	0.00	0.60	90.0	0.54
05/26/2017	ARONSON TRUST, BURNELL	AM FUNDS AMERICAN BALANCED A	16,036.94	441.02	0.00	441.02	90.0	396.92
05/30/2017	ARONSON TRUST, BURNELL	AM FUNDS AMERICAN BALANCED A	841.59	23.14	0.00	23.14	90.0	20.83
05/30/2017	ARONSON TRUST, BURNELL	AM FUNDS AMERICAN BALANCED A	16,387.99	450.67	0.00	450.67	90.0	405.60
05/30/2017	ARONSON TRUST, BURNELL	AM FUNDS AMERICAN BALANCED A	12,914.38	355.15	0.00	355.15	90.0	319.64
06/01/2017	HOSTETTER MO/TOD, VICKI	AM FUNDS AMERICAN BALANCED A	0.00	7.43	0.00	7.43	90.0	6.69
06/01/2017	ANDING, MARY DIANE	AM FUNDS AMERICAN BALANCED A	0.00	190.30	0.00	190.30	90.0	171.27
06/01/2017	REDDICK, RYAN	AM FUNDS AMERICAN BALANCED A	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2017	GUIER, CARROL	AM FUNDS AMERICAN BALANCED A	0.00	620.26	0.00	620.26	90.0	558.23
06/01/2017	PRATER, RAMONA	AM FUNDS AMERICAN BALANCED A	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2017	NOWLIN, STACEY	AM FUNDS AMERICAN BALANCED A	0.00	3.38	0.00	3.38	90.0	3.04
06/01/2017	PATTISON, CHRISTINE	AM FUNDS AMERICAN BALANCED A	0.00	42.76	0.00	42.76	90.0	38.48
06/01/2017	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	0.00	10.08	0.00	10.08	90.0	9.07
06/01/2017	OSSMAN, ED	AM FUNDS AMERICAN BALANCED A	0.00	88.64	0.00	88.64	90.0	79.78
06/01/2017	FRIEDERICH, JOHN	AM FUNDS AMERICAN BALANCED A	0.00	36.35	0.00	36.35	90.0	32.72
06/01/2017	CALLIS, ANNIS	AM FUNDS AMERICAN BALANCED A	0.00	12.09	0.00	12.09	90.0	10.88
06/01/2017	ALLEN, MICHELLE	AM FUNDS AMERICAN BALANCED A	0.00	4.08	0.00	4.08	90.0	3.67
06/01/2017	GUIER, GREGORY	AM FUNDS AMERICAN BALANCED A	0.00	25.45	0.00	25.45	90.0	22.91
06/01/2017	KILEY, TERRANCE	AM FUNDS AMERICAN BALANCED A	0.00	1.54	0.00	1.54	90.0	1.39
06/01/2017	OWEN, ERNEST	AM FUNDS AMERICAN BALANCED A	0.00	5.00	0.00	5.00	90.0	4.50
06/01/2017	GRIFFITH, CHERYL	AM FUNDS AMERICAN BALANCED A	0.00	35.26	0.00	35.26	90.0	31.73
06/01/2017	GRIFFITH, CHERYL	AM FUNDS AMERICAN BALANCED A	0.00	6.29	0.00	6.29	90.0	5.66
06/01/2017	GRIFFIN, AMANDA	AM FUNDS AMERICAN BALANCED A	0.00	0.79	0.00	0.79	90.0	0.71
06/01/2017	BLODGETT, TAMMY	AM FUNDS AMERICAN BALANCED A	0.00	11.37	0.00	11.37	90.0	10.23
06/01/2017	JOHNSON, RYAN	AM FUNDS AMERICAN BALANCED A	0.00	0.25	0.00	0.25	90.0	0.23
06/01/2017	BAUER, MATTHEW	AM FUNDS AMERICAN BALANCED A	0.00	0.17	0.00	0.17	90.0	0.15
06/01/2017	SCHAUMBURG, JEFFREY	AM FUNDS AMERICAN BALANCED A	0.00	8.09	0.00	8.09	90.0	7.28

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	HALL, ELLEN	AM FUNDS AMERICAN BALANCED A	0.00	52.16	0.00	52.16	90.0	46.94
06/01/2017	GRIMSHAW, ROBERT	AM FUNDS AMERICAN BALANCED A	0.00	33.91	0.00	33.91	90.0	30.52
06/01/2017	DOWNS, SUZANNE	AM FUNDS AMERICAN BALANCED A	0.00	12.57	0.00	12.57	90.0	11.31
06/01/2017	MILES, JACK	AM FUNDS AMERICAN BALANCED A	0.00	9.90	0.00	9.90	90.0	8.91
06/01/2017	HALL, HAROLD	AM FUNDS AMERICAN BALANCED A	0.00	20.62	0.00	20.62	90.0	18.56
06/01/2017	WILMSMEYER, CHRISTA	AM FUNDS AMERICAN BALANCED A	0.00	3.67	0.00	3.67	90.0	3.30
06/01/2017	BARROW, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	17.46	0.00	17.46	90.0	15.71
06/01/2017	JENNINGS, MARY	AM FUNDS AMERICAN BALANCED A	0.00	80.39	0.00	80.39	90.0	72.35
06/01/2017	SAUSAMAN, URSULA	AM FUNDS AMERICAN BALANCED A	0.00	10.39	0.00	10.39	90.0	9.35
06/01/2017	JORDENING, LYNN	AM FUNDS AMERICAN BALANCED A	0.00	36.12	0.00	36.12	90.0	32.51
06/01/2017	JORDENING TRUST, PAUL	AM FUNDS AMERICAN BALANCED A	0.00	29.94	0.00	29.94	90.0	26.95
06/01/2017	GUSTAFSON, KENNETH	AM FUNDS AMERICAN BALANCED A	0.00	1.32	0.00	1.32	90.0	1.19
06/01/2017	FANGMAN, ROGER	AM FUNDS AMERICAN BALANCED A	0.00	7.00	0.00	7.00	90.0	6.30
06/01/2017	STEWART, SHEILA	AM FUNDS AMERICAN BALANCED A	0.00	13.55	0.00	13.55	90.0	12.20
06/01/2017	DEUSCHLE, KIMBERLY	AM FUNDS AMERICAN BALANCED A	0.00	12.22	0.00	12.22	90.0	11.00
06/01/2017	BLISS, REBECCA	AM FUNDS AMERICAN BALANCED A	0.00	14.29	0.00	14.29	90.0	12.86
06/01/2017	LEMON, GLEE	AM FUNDS AMERICAN BALANCED A	0.00	21.14	0.00	21.14	90.0	19.03
06/01/2017	DEBRA, PRICE	AM FUNDS AMERICAN BALANCED A	0.00	22.56	0.00	22.56	90.0	20.30
06/01/2017	THOMAS, DAVID	AM FUNDS AMERICAN BALANCED A	0.00	17.45	0.00	17.45	90.0	15.71
06/01/2017	THOMAS, DAVID	AM FUNDS AMERICAN BALANCED A	0.00	38.76	0.00	38.76	90.0	34.88
06/01/2017	TURNER, GARY	AM FUNDS AMERICAN BALANCED A	0.00	42.15	0.00	42.15	90.0	37.94
06/01/2017	MEDLEY, LISA	AM FUNDS AMERICAN BALANCED A	0.00	0.78	0.00	0.78	90.0	0.70
06/01/2017	ELDER, RONALD	AM FUNDS AMERICAN BALANCED A	0.00	183.34	0.00	183.34	90.0	165.01
06/01/2017	ANDREWS, SAMUEL	AM FUNDS AMERICAN BALANCED A	0.00	9.65	0.00	9.65	90.0	8.69
06/01/2017	TURNER, GARY	AM FUNDS AMERICAN BALANCED A	0.00	121.10	0.00	121.10	90.0	108.99
06/01/2017	HILDEBRAND, TERRANCE	AM FUNDS AMERICAN BALANCED A	0.00	38.06	0.00	38.06	90.0	34.25
06/01/2017	HILDABRAND, ALVENA	AM FUNDS AMERICAN BALANCED A	0.00	5.51	0.00	5.51	90.0	4.96
06/01/2017	STEVENSON, DAVID	AM FUNDS AMERICAN BALANCED A	0.00	19.89	0.00	19.89	90.0	17.90
06/01/2017	STEVENSON, DAVID	AM FUNDS AMERICAN BALANCED A	0.00	9.61	0.00	9.61	90.0	8.65
06/01/2017	ROBINETT, CHARISSA	AM FUNDS AMERICAN BALANCED A	0.00	6.70	0.00	6.70	90.0	6.03
06/01/2017	MOODY, SHARON	AM FUNDS AMERICAN BALANCED A	0.00	32.56	0.00	32.56	90.0	29.30
06/01/2017	SCOGGINS, CLAIRE	AM FUNDS AMERICAN BALANCED A	0.00	31.20	0.00	31.20	90.0	28.08
06/01/2017	RICHARDSON, MICHAEL	AM FUNDS AMERICAN BALANCED A	0.00	6.63	0.00	6.63	90.0	5.97

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	ELDRIDGE, DEE	AM FUNDS AMERICAN BALANCED A	0.00	40.41	0.00	40.41	90.0	36.37
06/01/2017	CALLIS, CHARLES	AM FUNDS AMERICAN BALANCED A	0.00	14.57	0.00	14.57	90.0	13.11
06/01/2017	WILMSMEYER, CHRISTA	AM FUNDS AMERICAN BALANCED C	0.00	149.90	0.00	149.90	90.0	134.91
05/26/2017	PATTISON, CHRISTINE	AM FUNDS AMERICAN MUTUAL A	70.13	0.70	0.00	0.70	90.0	0.63
05/26/2017	PATTISON, CHRISTINE	AM FUNDS AMERICAN MUTUAL A	70.13	0.70	0.00	0.70	90.0	0.63
05/26/2017	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	26.42	0.26	0.00	0.26	90.0	0.23
05/26/2017	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	70.45	0.70	0.00	0.70	90.0	0.63
06/01/2017	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	0.00	8.76	0.00	8.76	90.0	7.88
06/01/2017	MCNERNEY, DAN	AM FUNDS AMERICAN MUTUAL A	0.00	23.83	0.00	23.83	90.0	21.45
05/26/2017	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	231.50	2.32	0.00	2.32	90.0	2.09
05/26/2017	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	231.50	2.32	0.00	2.32	90.0	2.09
05/26/2017	KEATON, LINDSY	AM FUNDS BALANCED PORT A	34.61	0.35	0.00	0.35	90.0	0.32
05/26/2017	KEATON, LINDSY	AM FUNDS BALANCED PORT A	34.61	0.35	0.00	0.35	90.0	0.32
05/26/2017	GODAR, LOUISE	AM FUNDS BALANCED PORT A	34.96	0.35	0.00	0.35	90.0	0.32
05/26/2017	GODAR, LOUISE	AM FUNDS BALANCED PORT A	34.96	0.35	0.00	0.35	90.0	0.32
06/01/2017	GODAR, LOUISE	AM FUNDS BALANCED PORT A	0.00	1.08	0.00	1.08	90.0	0.97
06/01/2017	SMITH, KELLI	AM FUNDS BALANCED PORT A	0.00	1.00	0.00	1.00	90.0	0.90
06/01/2017	DANIELS, SCIPPIO	AM FUNDS BALANCED PORT A	0.00	0.10	0.00	0.10	90.0	0.09
06/01/2017	MO/TOD	AM FUNDS BALANCED PORT A	0.00	27.25	0.00	27.25	90.0	24.53
05/26/2017	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	20.56	0.21	0.00	0.21	90.0	0.19
05/26/2017	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	20.56	0.21	0.00	0.21	90.0	0.19
06/01/2017	GUIER, GREGORY	AM FUNDS BOND FUND OF AMERICA A	0.00	24.72	0.00	24.72	90.0	22.25
06/01/2017	GRIMSHAW, ROBERT	AM FUNDS BOND FUND OF AMERICA A	0.00	29.28	0.00	29.28	90.0	26.35
06/01/2017	DOWNS, SUZANNE	AM FUNDS BOND FUND OF AMERICA A	0.00	10.90	0.00	10.90	90.0	9.81
06/01/2017	FANGMAN, ROGER	AM FUNDS BOND FUND OF AMERICA A	0.00	3.95	0.00	3.95	90.0	3.56
06/01/2017	ELDRIDGE, DEE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	20.64	0.00	20.64	90.0	18.58
05/26/2017	PATTISON, CHRISTINE	AM FUNDS CAPITAL WORLD GRTH & INC A	70.14	0.70	0.00	0.70	90.0	0.63
05/26/2017	PATTISON, CHRISTINE	AM FUNDS CAPITAL WORLD GRTH & INC A	70.14	0.70	0.00	0.70	90.0	0.63
06/01/2017	OSSMAN, ED	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	10.90	0.00	10.90	90.0	9.81
06/01/2017	FRIEDERICH, JOHN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.14	0.00	5.14	90.0	4.63
06/01/2017	MCNERNEY, DAN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	16.18	0.00	16.18	90.0	14.56
06/01/2017	CALLIS, ANNIS	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	5.92	0.00	5.92	90.0	5.33
06/01/2017	JORDENING, LYNN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	68.85	0.00	68.85	90.0	61.97

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
06/01/2017	WILMSMEYER, CHRISTA	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	19.44	0.00	19.44	90.0	17.50
05/26/2017	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	19.81	0.20	0.00	0.20	90.0	0.18
05/26/2017	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	52.84	0.53	0.00	0.53	90.0	0.48
05/30/2017	CROWLEY, MICHA	AM FUNDS EUROPACIFIC GROWTH FUND A	184.62	3.69	0.00	3.69	90.0	3.32
06/01/2017	REDDICK, RYAN	AM FUNDS EUROPACIFIC GROWTH FUND A	62.50	1.72	0.00	1.72	90.0	1.55
06/01/2017	HOSTETTER MO/TOD, VICKI	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	4.65	0.00	4.65	90.0	4.19
06/01/2017	STALEY, JOSELYN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.22	0.00	2.22	90.0	2.00
06/01/2017	ANDING, MARY DIANE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.63	0.00	5.63	90.0	5.07
06/01/2017	REDDICK, RYAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	7.08	0.00	7.08	90.0	6.37
06/01/2017	GUIER, CARROL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.67	0.00	1.67	90.0	1.50
06/01/2017	GUIER, PAULA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.39	0.00	2.39	90.0	2.15
06/01/2017	SEYER, MARY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.90	0.00	5.90	90.0	5.31
06/01/2017	MIDDLETON, M	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.15	0.00	0.15	90.0	0.14
06/01/2017	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	5.26	0.00	5.26	90.0	4.73
06/01/2017	MCNERNEY, DAN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	10.10	0.00	10.10	90.0	9.09
06/01/2017	GRIFFITH, CHERYL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.25	0.00	2.25	90.0	2.03
06/01/2017	KAUFMAN, JEFFREY	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.25	0.00	2.25	90.0	2.03
06/01/2017	GRIFFIN, SHAWN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	6.73	0.00	6.73	90.0	6.06
06/01/2017	MANGUS, DANIEL	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	2.25	0.00	2.25	90.0	2.03
06/01/2017	CROWLEY, MICHA	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	22.68	0.00	22.68	90.0	20.41
06/01/2017	STALEY, JOSELYN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.63	0.00	7.63	90.0	6.87
06/01/2017	STALEY, JOSELYN	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	0.06	0.00	0.06	90.0	0.05
06/01/2017	ELDRIDGE, DEE	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	28.51	0.00	28.51	90.0	25.66
06/01/2017	REDDICK, RYAN	AM FUNDS GLOBAL BALANCED 529A	0.00	4.77	0.00	4.77	90.0	4.29
06/01/2017	ANDING, MARY DIANE	AM FUNDS GLOBAL BALANCED A	0.00	11.97	0.00	11.97	90.0	10.77
06/01/2017	SEYER, MARY	AM FUNDS GLOBAL BALANCED A	0.00	13.98	0.00	13.98	90.0	12.58
06/01/2017	CROWLEY, MICHA	AM FUNDS GLOBAL BALANCED A	0.00	12.76	0.00	12.76	90.0	11.48
06/01/2017	HALL, ELLEN	AM FUNDS GLOBAL BALANCED A	0.00	16.53	0.00	16.53	90.0	14.88
06/01/2017	GRIMSHAW, ROBERT	AM FUNDS GLOBAL BALANCED A	0.00	29.71	0.00	29.71	90.0	26.74
06/01/2017	MILES, JACK	AM FUNDS GLOBAL BALANCED A	0.00	8.67	0.00	8.67	90.0	7.80
06/01/2017	HALL, HAROLD	AM FUNDS GLOBAL BALANCED A	0.00	18.13	0.00	18.13	90.0	16.32
06/01/2017	SAUSAMAN, URSULA	AM FUNDS GLOBAL BALANCED A	0.00	9.67	0.00	9.67	90.0	8.70
06/01/2017	JORDENING, POLLY	AM FUNDS GLOBAL BALANCED A	0.00	66.54	0.00	66.54	90.0	59.89

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
06/01/2017	JORDENING TRUST, PAUL	AM FUNDS GLOBAL BALANCED A	0.00	28.87	0.00	28.87	90.0	25.98
06/01/2017	STEWART, SHEILA	AM FUNDS GLOBAL BALANCED A	0.00	13.15	0.00	13.15	90.0	11.84
06/01/2017	DEUSCHLE, KIMBERLY	AM FUNDS GLOBAL BALANCED A	0.00	11.87	0.00	11.87	90.0	10.68
06/01/2017	ROBINETT, CHARISSA	AM FUNDS GLOBAL BALANCED A	0.00	6.73	0.00	6.73	90.0	6.06
05/26/2017	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	321.00	3.21	0.00	3.21	90.0	2.89
05/26/2017	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	947.16	9.47	0.00	9.47	90.0	8.52
05/26/2017	BROCKSMITH, RACHEL	AM FUNDS GROWTH AND INCOME A	87.93	0.88	0.00	0.88	90.0	0.79
05/26/2017	BROCKSMITH, RACHEL	AM FUNDS GROWTH AND INCOME A	87.93	0.88	0.00	0.88	90.0	0.79
05/26/2017	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	69.22	0.69	0.00	0.69	90.0	0.62
05/26/2017	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	69.22	0.69	0.00	0.69	90.0	0.62
06/01/2017	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	0.00	35.90	0.00	35.90	90.0	32.31
06/01/2017	BROCKSMITH, RACHEL	AM FUNDS GROWTH AND INCOME A	0.00	7.25	0.00	7.25	90.0	6.53
06/01/2017	ANDING, MARY DIANE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.09	0.00	2.09	90.0	1.88
06/01/2017	ANDING, MARY DIANE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	5.68	0.00	5.68	90.0	5.11
06/01/2017	PRATER, RAMONA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.97	0.00	1.97	90.0	1.77
06/01/2017	REDDICK, RYAN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	6.00	0.00	6.00	90.0	5.40
06/01/2017	ANDING, MARY DIANE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.74	0.00	0.74	90.0	0.67
06/01/2017	ANDING, MARY DIANE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.74	0.00	0.74	90.0	0.67
06/01/2017	ANDING, MARY DIANE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.74	0.00	0.74	90.0	0.67
06/01/2017	MCNERNEY, DAN	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.34	0.00	0.34	90.0	0.31
05/25/2017	MARK, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA A	458.32	22.92	0.00	22.92	90.0	20.63
05/26/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	41.13	0.41	0.00	0.41	90.0	0.37
05/26/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	41.13	0.41	0.00	0.41	90.0	0.37
05/26/2017	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	14.91	0.15	0.00	0.15	90.0	0.14
05/26/2017	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	44.75	0.45	0.00	0.45	90.0	0.41
05/26/2017	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	19.82	0.20	0.00	0.20	90.0	0.18
05/26/2017	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	52.84	0.53	0.00	0.53	90.0	0.48
05/26/2017	COOK, GREG	AM FUNDS GROWTH FUND OF AMERICA A	14.68	0.15	0.00	0.15	90.0	0.14
05/26/2017	COOK, GREG	AM FUNDS GROWTH FUND OF AMERICA A	14.68	0.15	0.00	0.15	90.0	0.14
05/26/2017	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	30.16	0.30	0.00	0.30	90.0	0.27
05/26/2017	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	30.16	0.30	0.00	0.30	90.0	0.27
05/26/2017	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	59.89	0.60	0.00	0.60	90.0	0.54
05/26/2017	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	59.89	0.60	0.00	0.60	90.0	0.54

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
05/26/2017	DAVIDSON, DANIELLE	AM FUNDS GROWTH FUND OF AMERICA A	19.02	0.19	0.00	0.19	90.0	0.17
05/26/2017	DAVIDSON, DANIELLE	AM FUNDS GROWTH FUND OF AMERICA A	19.02	0.19	0.00	0.19	90.0	0.17
05/26/2017	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	43.01	0.43	0.00	0.43	90.0	0.39
05/26/2017	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	43.01	0.43	0.00	0.43	90.0	0.39
05/30/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	184.62	3.69	0.00	3.69	90.0	3.32
06/01/2017	REDDICK, RYAN	AM FUNDS GROWTH FUND OF AMERICA A	62.50	1.72	0.00	1.72	90.0	1.55
06/01/2017	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	56.10	2.10	0.00	2.10	90.0	1.89
06/01/2017	SUMMERS, AARON	AM FUNDS GROWTH FUND OF AMERICA A	53.51	2.01	0.00	2.01	90.0	1.81
06/01/2017	EICHHOLZ, JARED	AM FUNDS GROWTH FUND OF AMERICA A	132.91	4.98	0.00	4.98	90.0	4.48
06/01/2017	JOHNSON, MARK	AM FUNDS GROWTH FUND OF AMERICA A	180.00	6.75	0.00	6.75	90.0	6.08
06/01/2017	GUIER, CLINT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	66.48	0.00	66.48	90.0	59.83
06/01/2017	REDDICK, RYAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	26.04	0.00	26.04	90.0	23.44
06/01/2017	GUIER, CARROL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.43	0.00	2.43	90.0	2.19
06/01/2017	GUIER, PAULA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.32	0.00	3.32	90.0	2.99
06/01/2017	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.26	0.00	0.26	90.0	0.23
06/01/2017	GUIER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	53.90	0.00	53.90	90.0	48.51
06/01/2017	REDDICK, RYAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.27	0.00	6.27	90.0	5.64
06/01/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.93	0.00	7.93	90.0	7.14
06/01/2017	NOWLIN, STACEY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.36	0.00	3.36	90.0	3.02
06/01/2017	PATTISON, CHRISTINE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	31.60	0.00	31.60	90.0	28.44
06/01/2017	SEYER, MARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	15.55	0.00	15.55	90.0	14.00
06/01/2017	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.37	0.00	5.37	90.0	4.83
06/01/2017	MIDDLETON, M	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.16	0.00	0.16	90.0	0.14
06/01/2017	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.07	0.00	7.07	90.0	6.36
06/01/2017	WHEAT, KATHLEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.61	0.00	7.61	90.0	6.85
06/01/2017	KEMBLE, CARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.34	0.00	2.34	90.0	2.11
06/01/2017	CALLIS, EMILY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.50	0.00	5.50	90.0	4.95
06/01/2017	CALLIS, CHAD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.79	0.00	4.79	90.0	4.31
06/01/2017	MCNERNEY, DAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	14.68	0.00	14.68	90.0	13.21
06/01/2017	CRANE, MISTY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.40	0.00	5.40	90.0	4.86
06/01/2017	GUIER, GREGORY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	26.24	0.00	26.24	90.0	23.62
06/01/2017	TWEHOUS, NOLAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.79	0.00	4.79	90.0	4.31
06/01/2017	KILEY, TERRANCE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.68	0.00	1.68	90.0	1.51

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	GUIER, GRACIE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.67	0.00	0.67	90.0	0.60
06/01/2017	GRIFFITH, CHERYL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.34	0.00	7.34	90.0	6.61
06/01/2017	KAUFMAN, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.34	0.00	7.34	90.0	6.61
06/01/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	18.19	0.00	18.19	90.0	16.37
06/01/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.48	0.00	6.48	90.0	5.83
06/01/2017	GRIFFIN, SHAWN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	12.61	0.00	12.61	90.0	11.35
06/01/2017	TAYLOR, LOIS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	20.02	0.00	20.02	90.0	18.02
06/01/2017	BLODGETT, TAMMY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.50	0.00	1.50	90.0	1.35
06/01/2017	JOHNSON, RYAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.54	0.00	3.54	90.0	3.19
06/01/2017	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.30	0.00	0.30	90.0	0.27
06/01/2017	SUMMERS, AARON	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.11	0.00	3.11	90.0	2.80
06/01/2017	EICHHOLZ, JARED	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.45	0.00	1.45	90.0	1.31
06/01/2017	SCHAUMBURG, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.58	0.00	9.58	90.0	8.62
06/01/2017	MANGUS, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.58	0.00	3.58	90.0	3.22
06/01/2017	KAUFMAN, KIMBERLY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	21.11	0.00	21.11	90.0	19.00
06/01/2017	KAUFMAN, JEFFREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	21.45	0.00	21.45	90.0	19.31
06/01/2017	MARK, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.58	0.00	2.58	90.0	2.32
06/01/2017	BIETSCH, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.77	0.00	0.77	90.0	0.69
06/01/2017	AHERN, OLIVIA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.26	0.00	1.26	90.0	1.13
06/01/2017	GRIMSHAW, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	36.85	0.00	36.85	90.0	33.17
06/01/2017	KAUFMAN, ADAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.63	0.00	4.63	90.0	4.17
06/01/2017	DELEON, JISEFREDO	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.98	0.00	1.98	90.0	1.78
06/01/2017	WILMSMEYER, CHRISTA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.99	0.00	6.99	90.0	6.29
06/01/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	91.51	0.00	91.51	90.0	82.36
06/01/2017	THOMAS, VIRGIL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.26	0.00	1.26	90.0	1.13
06/01/2017	MCKENZIE, JADA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.67	0.00	0.67	90.0	0.60
06/01/2017	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.46	0.00	1.46	90.0	1.31
06/01/2017	SAUSAMAN, URSULA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.72	0.00	6.72	90.0	6.05
06/01/2017	WIBBENMEYER, BRITTANY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.70	0.00	1.70	90.0	1.53
06/01/2017	JORDENING, LYNN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	38.73	0.00	38.73	90.0	34.86
06/01/2017	FANGMAN, ROGER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	3.25	0.00	3.25	90.0	2.93
06/01/2017	DEUSCHLE, KIMBERLY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.34	0.00	6.34	90.0	5.71
06/01/2017	COOK, GREG	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.13	0.00	0.13	90.0	0.12

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<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
06/01/2017	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.38	0.00	0.38	90.0	0.34
06/01/2017	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.62	0.00	0.62	90.0	0.56
06/01/2017	DAVIDSON, DANIELLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2017	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.44	0.00	0.44	90.0	0.40
06/01/2017	BURKHARDT, MORGAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.23	0.00	0.23	90.0	0.21
06/01/2017	EULTGEN, VICKI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.99	0.00	11.99	90.0	10.79
06/01/2017	TURNER, JOSH	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.16	0.00	0.16	90.0	0.14
06/01/2017	MURPHY, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	15.41	0.00	15.41	90.0	13.87
06/01/2017	MURPHY, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	25.12	0.00	25.12	90.0	22.61
06/01/2017	VICTOR, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.15	0.00	0.15	90.0	0.14
06/01/2017	TURNER, COREY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	7.06	0.00	7.06	90.0	6.35
06/01/2017	RYAN, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.95	0.00	1.95	90.0	1.76
06/01/2017	TOMPKINS, ERIKA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.03	0.00	0.03	90.0	0.03
06/01/2017	TURNER, GARY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	72.74	0.00	72.74	90.0	65.47
06/01/2017	UNZICKER, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.30	0.00	0.30	90.0	0.27
06/01/2017	ROBINETT, CHARISSA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.96	0.00	6.96	90.0	6.26
06/01/2017	LEHMAN, COLBY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.35	0.00	0.35	90.0	0.32
06/01/2017	ELDRIDGE, DEE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.06	0.00	9.06	90.0	8.15
06/01/2017	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	1.42	0.00	1.42	90.0	1.28
06/01/2017	KAUFMAN, COOPER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	3.68	0.00	3.68	90.0	3.31
06/01/2017	WILMSMEYER, CHRISTA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	21.51	0.00	21.51	90.0	19.36
06/01/2017	ELLIOT, MITCHELL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	1.57	0.00	1.57	90.0	1.41
06/01/2017	ELLIOT, MITCHELL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.71	0.00	0.71	90.0	0.64
06/01/2017	DUNCAN, CHRISTOPHER	AM FUNDS GROWTH PORT A	0.00	1.58	0.00	1.58	90.0	1.42
06/01/2017	REDDICK, RYAN	AM FUNDS ICA 529A	0.00	5.79	0.00	5.79	90.0	5.21
05/26/2017	DILLON, EMMA	AM FUNDS ICA A	19.98	0.20	0.00	0.20	90.0	0.18
05/26/2017	DILLON, EMMA	AM FUNDS ICA A	26.64	0.27	0.00	0.27	90.0	0.24
05/26/2017	OPPLAND, ZACHARY	AM FUNDS ICA A	37.80	0.38	0.00	0.38	90.0	0.34
05/26/2017	OPPLAND, ZACHARY	AM FUNDS ICA A	113.40	1.13	0.00	1.13	90.0	1.02
06/01/2017	WINFREY, LARRY	AM FUNDS ICA A	200.00	7.50	0.00	7.50	90.0	6.75
06/01/2017	BAUER, MATTHEW	AM FUNDS ICA A	54.45	2.04	0.00	2.04	90.0	1.84
06/01/2017	SUMMERS, AARON	AM FUNDS ICA A	53.51	2.01	0.00	2.01	90.0	1.81
06/01/2017	EICHHOLZ, JARED	AM FUNDS ICA A	132.92	4.98	0.00	4.98	90.0	4.48

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	HOSTETTER MO/TOD, VICKI	AM FUNDS ICA A	0.00	16.09	0.00	16.09	90.0	14.48
06/01/2017	PECK, THOMAS	AM FUNDS ICA A	0.00	4.59	0.00	4.59	90.0	4.13
06/01/2017	GUIER, CARROL	AM FUNDS ICA A	0.00	2.15	0.00	2.15	90.0	1.94
06/01/2017	GUIER, PAULA	AM FUNDS ICA A	0.00	3.04	0.00	3.04	90.0	2.74
06/01/2017	NOWLIN, STACEY	AM FUNDS ICA A	0.00	2.81	0.00	2.81	90.0	2.53
06/01/2017	PATTISON, CHRISTINE	AM FUNDS ICA A	0.00	35.81	0.00	35.81	90.0	32.23
06/01/2017	CRANE, MISTY	AM FUNDS ICA A	0.00	1.51	0.00	1.51	90.0	1.36
06/01/2017	JOE L KAUFMAN INC	AM FUNDS ICA A	0.00	82.25	0.00	82.25	90.0	74.03
06/01/2017	TWEHOUS, NOLAN	AM FUNDS ICA A	0.00	2.71	0.00	2.71	90.0	2.44
06/01/2017	WINFREY, LARRY	AM FUNDS ICA A	0.00	9.34	0.00	9.34	90.0	8.41
06/01/2017	BAUER, MATTHEW	AM FUNDS ICA A	0.00	0.33	0.00	0.33	90.0	0.30
06/01/2017	SUMMERS, AARON	AM FUNDS ICA A	0.00	3.01	0.00	3.01	90.0	2.71
06/01/2017	EICHHOLZ, JARED	AM FUNDS ICA A	0.00	1.31	0.00	1.31	90.0	1.18
06/01/2017	WINFREY, LARRY	AM FUNDS ICA A	0.00	1.83	0.00	1.83	90.0	1.65
06/01/2017	SAUSAMAN, URSULA	AM FUNDS ICA A	0.00	12.83	0.00	12.83	90.0	11.55
06/01/2017	DEUSCHLE, KIMBERLY	AM FUNDS ICA A	0.00	12.85	0.00	12.85	90.0	11.57
06/01/2017	DILLON, EMMA	AM FUNDS ICA A	0.00	0.11	0.00	0.11	90.0	0.10
06/01/2017	OPPLAND, ZACHARY	AM FUNDS ICA A	0.00	0.39	0.00	0.39	90.0	0.35
06/01/2017	EULTGEN, VICKI	AM FUNDS ICA A	0.00	11.68	0.00	11.68	90.0	10.51
06/01/2017	MURPHY, MELISSA	AM FUNDS ICA A	0.00	12.67	0.00	12.67	90.0	11.40
06/01/2017	PETERSON, BAILY	AM FUNDS ICA A	0.00	0.10	0.00	0.10	90.0	0.09
06/01/2017	RIDGWAY, BENJAMIN	AM FUNDS ICA A	0.00	0.27	0.00	0.27	90.0	0.24
06/01/2017	TURNER, GARY	AM FUNDS ICA A	0.00	46.39	0.00	46.39	90.0	41.75
06/01/2017	SUTTON, CHRISTOPHER	AM FUNDS ICA A	0.00	37.34	0.00	37.34	90.0	33.61
06/01/2017	ELMORE, STUART	AM FUNDS ICA A	0.00	0.39	0.00	0.39	90.0	0.35
06/01/2017	MEDLEY, LISA	AM FUNDS ICA A	0.00	0.82	0.00	0.82	90.0	0.74
06/01/2017	HILDABRAND, ALVENA	AM FUNDS ICA A	0.00	7.88	0.00	7.88	90.0	7.09
06/01/2017	PRATER, RAMONA	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.17	0.00	0.17	90.0	0.15
06/01/2017	OSSMAN, ED	AM FUNDS INCOME FUND OF AMERICA A	0.00	91.73	0.00	91.73	90.0	82.56
06/01/2017	FRIEDERICH, JOHN	AM FUNDS INCOME FUND OF AMERICA A	0.00	31.68	0.00	31.68	90.0	28.51
06/01/2017	OWEN, ERNEST	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.56	0.00	4.56	90.0	4.10
06/01/2017	JORDENING, POLLY	AM FUNDS INCOME FUND OF AMERICA A	0.00	103.99	0.00	103.99	90.0	93.59
06/01/2017	BLISS, REBECCA	AM FUNDS INCOME FUND OF AMERICA A	0.00	6.80	0.00	6.80	90.0	6.12

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
06/01/2017	WILMSMEYER, CHRISTA	AM FUNDS INCOME FUND OF AMERICA C	0.00	17.76	0.00	17.76	90.0	15.98
06/01/2017	ANDING, MARY DIANE	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.57	0.00	0.57	90.0	0.51
06/01/2017	ANDING, MARY DIANE	AM FUNDS INCOME FUND OF AMERICA-529	0.00	1.73	0.00	1.73	90.0	1.56
05/26/2017	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	37.20	0.37	0.00	0.37	90.0	0.33
05/26/2017	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	37.20	0.37	0.00	0.37	90.0	0.33
06/01/2017	OWEN, ERNEST	AM FUNDS INTL GROWTH AND INCOME A	0.00	3.45	0.00	3.45	90.0	3.11
06/01/2017	MURPHY, MELISSA	AM FUNDS INTL GROWTH AND INCOME A	0.00	11.65	0.00	11.65	90.0	10.49
05/26/2017	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	14.48	0.14	0.00	0.14	90.0	0.13
05/26/2017	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	43.43	0.43	0.00	0.43	90.0	0.39
05/26/2017	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	31.85	0.32	0.00	0.32	90.0	0.29
05/26/2017	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	53.08	0.53	0.00	0.53	90.0	0.48
05/30/2017	CROWLEY, MICHA	AM FUNDS NEW ECONOMY A	184.62	3.69	0.00	3.69	90.0	3.32
06/01/2017	REDDICK, RYAN	AM FUNDS NEW ECONOMY A	62.50	1.72	0.00	1.72	90.0	1.55
06/01/2017	BAUER, MATTHEW	AM FUNDS NEW ECONOMY A	54.45	2.04	0.00	2.04	90.0	1.84
06/01/2017	ANDING, MARY DIANE	AM FUNDS NEW ECONOMY A	0.00	41.13	0.00	41.13	90.0	37.02
06/01/2017	REDDICK, RYAN	AM FUNDS NEW ECONOMY A	0.00	5.00	0.00	5.00	90.0	4.50
06/01/2017	GUIER, CARROL	AM FUNDS NEW ECONOMY A	0.00	2.56	0.00	2.56	90.0	2.30
06/01/2017	GUIER, PAULA	AM FUNDS NEW ECONOMY A	0.00	3.36	0.00	3.36	90.0	3.02
06/01/2017	GRIFFIN, AMANDA	AM FUNDS NEW ECONOMY A	0.00	4.68	0.00	4.68	90.0	4.21
06/01/2017	PATTISON, CHRISTINE	AM FUNDS NEW ECONOMY A	0.00	14.92	0.00	14.92	90.0	13.43
06/01/2017	SEYER, MARY	AM FUNDS NEW ECONOMY A	0.00	9.08	0.00	9.08	90.0	8.17
06/01/2017	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	0.00	5.28	0.00	5.28	90.0	4.75
06/01/2017	GUIER, GREGORY	AM FUNDS NEW ECONOMY A	0.00	26.87	0.00	26.87	90.0	24.18
06/01/2017	TWEHOUS, NOLAN	AM FUNDS NEW ECONOMY A	0.00	1.49	0.00	1.49	90.0	1.34
06/01/2017	GUIER, GRACIE	AM FUNDS NEW ECONOMY A	0.00	0.47	0.00	0.47	90.0	0.42
06/01/2017	KAUFMAN, JEFFREY	AM FUNDS NEW ECONOMY A	0.00	9.16	0.00	9.16	90.0	8.24
06/01/2017	BAUER, MATTHEW	AM FUNDS NEW ECONOMY A	0.00	0.10	0.00	0.10	90.0	0.09
06/01/2017	MANGUS, DANIEL	AM FUNDS NEW ECONOMY A	0.00	3.65	0.00	3.65	90.0	3.29
06/01/2017	MARK, CHRISTOPHER	AM FUNDS NEW ECONOMY A	0.00	1.60	0.00	1.60	90.0	1.44
06/01/2017	AHERN, OLIVIA	AM FUNDS NEW ECONOMY A	0.00	1.35	0.00	1.35	90.0	1.22
06/01/2017	CROWLEY, MICHA	AM FUNDS NEW ECONOMY A	0.00	61.59	0.00	61.59	90.0	55.43
06/01/2017	CARSON, MATTHEW	AM FUNDS NEW ECONOMY A	0.00	0.35	0.00	0.35	90.0	0.32
06/01/2017	JORDENING, POLLY	AM FUNDS NEW ECONOMY A	0.00	36.82	0.00	36.82	90.0	33.14

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	GUIER, CLINT	AM FUNDS NEW ECONOMY A	0.00	4.47	0.00	4.47	90.0	4.02
06/01/2017	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	0.00	0.33	0.00	0.33	90.0	0.30
06/01/2017	BURKHARDT, MORGAN	AM FUNDS NEW ECONOMY A	0.00	0.24	0.00	0.24	90.0	0.22
06/01/2017	ROBINETT, CHARISSA	AM FUNDS NEW ECONOMY A	0.00	0.26	0.00	0.26	90.0	0.23
06/01/2017	TURNER, GARY	AM FUNDS NEW ECONOMY A	0.00	35.22	0.00	35.22	90.0	31.70
06/01/2017	SUTTON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	0.00	11.54	0.00	11.54	90.0	10.39
06/01/2017	ROBINETT, CHARISSA	AM FUNDS NEW ECONOMY A	0.00	7.14	0.00	7.14	90.0	6.43
05/26/2017	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	41.13	0.41	0.00	0.41	90.0	0.37
05/26/2017	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	41.13	0.41	0.00	0.41	90.0	0.37
05/26/2017	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	14.48	0.14	0.00	0.14	90.0	0.13
05/26/2017	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	43.44	0.43	0.00	0.43	90.0	0.39
05/26/2017	COOK, GREG	AM FUNDS NEW PERSPECTIVE A	14.68	0.15	0.00	0.15	90.0	0.14
05/26/2017	COOK, GREG	AM FUNDS NEW PERSPECTIVE A	14.68	0.15	0.00	0.15	90.0	0.14
05/26/2017	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	29.27	0.29	0.00	0.29	90.0	0.26
05/26/2017	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	29.27	0.29	0.00	0.29	90.0	0.26
05/26/2017	STAES, SAMUEL	AM FUNDS NEW PERSPECTIVE A	16.80	0.17	0.00	0.17	90.0	0.15
05/26/2017	STAES, SAMUEL	AM FUNDS NEW PERSPECTIVE A	33.60	0.34	0.00	0.34	90.0	0.31
06/01/2017	ANDING, MARY DIANE	AM FUNDS NEW PERSPECTIVE A	0.00	89.12	0.00	89.12	90.0	80.21
06/01/2017	REDDICK, RYAN	AM FUNDS NEW PERSPECTIVE A	0.00	5.85	0.00	5.85	90.0	5.27
06/01/2017	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	0.00	4.66	0.00	4.66	90.0	4.19
06/01/2017	SEYER, MARY	AM FUNDS NEW PERSPECTIVE A	0.00	8.93	0.00	8.93	90.0	8.04
06/01/2017	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	0.00	4.69	0.00	4.69	90.0	4.22
06/01/2017	MIDDLETON, M	AM FUNDS NEW PERSPECTIVE A	0.00	0.16	0.00	0.16	90.0	0.14
06/01/2017	MCNERNEY, DAN	AM FUNDS NEW PERSPECTIVE A	0.00	11.36	0.00	11.36	90.0	10.22
06/01/2017	KAUFMAN, JEFFREY	AM FUNDS NEW PERSPECTIVE A	0.00	9.16	0.00	9.16	90.0	8.24
06/01/2017	DEUSCHLE, KIMBERLY	AM FUNDS NEW PERSPECTIVE A	0.00	12.05	0.00	12.05	90.0	10.85
06/01/2017	COOK, GREG	AM FUNDS NEW PERSPECTIVE A	0.00	0.13	0.00	0.13	90.0	0.12
06/01/2017	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	0.00	0.36	0.00	0.36	90.0	0.32
06/01/2017	STAES, SAMUEL	AM FUNDS NEW PERSPECTIVE A	0.00	0.18	0.00	0.18	90.0	0.16
06/01/2017	EULTGEN, VICKI	AM FUNDS NEW PERSPECTIVE A	0.00	9.30	0.00	9.30	90.0	8.37
06/01/2017	TURNER, JOSH	AM FUNDS NEW PERSPECTIVE A	0.00	0.16	0.00	0.16	90.0	0.14
06/01/2017	MURPHY, MELISSA	AM FUNDS NEW PERSPECTIVE A	0.00	14.00	0.00	14.00	90.0	12.60
06/01/2017	ROBINETT, CHARISSA	AM FUNDS NEW PERSPECTIVE A	0.00	0.25	0.00	0.25	90.0	0.23

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	VICTOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	0.00	0.15	0.00	0.15	90.0	0.14
06/01/2017	TURNER, COREY	AM FUNDS NEW PERSPECTIVE A	0.00	6.75	0.00	6.75	90.0	6.08
06/01/2017	TURNER, GARY	AM FUNDS NEW PERSPECTIVE A	0.00	34.35	0.00	34.35	90.0	30.92
06/01/2017	TURNER, GARY	AM FUNDS NEW PERSPECTIVE A	0.00	23.03	0.00	23.03	90.0	20.73
06/01/2017	KAUFMAN, COOPER	AM FUNDS NEW PERSPECTIVE C	0.00	1.29	0.00	1.29	90.0	1.16
06/01/2017	ELLIOT, MITCHELL	AM FUNDS NEW PERSPECTIVE C	0.00	1.45	0.00	1.45	90.0	1.31
06/01/2017	ELLIOT, MITCHELL	AM FUNDS NEW PERSPECTIVE F-1	0.00	0.66	0.00	0.66	90.0	0.59
06/01/2017	REDDICK, RYAN	AM FUNDS NEW WORLD 529A	0.00	4.63	0.00	4.63	90.0	4.17
05/26/2017	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	29.27	0.29	0.00	0.29	90.0	0.26
05/26/2017	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	29.27	0.29	0.00	0.29	90.0	0.26
05/30/2017	CROWLEY, MICHA	AM FUNDS NEW WORLD A	369.22	7.38	0.00	7.38	90.0	6.64
06/01/2017	GUIER, CARROL	AM FUNDS NEW WORLD A	0.00	1.81	0.00	1.81	90.0	1.63
06/01/2017	GUIER, PAULA	AM FUNDS NEW WORLD A	0.00	2.52	0.00	2.52	90.0	2.27
06/01/2017	SEYER, MARY	AM FUNDS NEW WORLD A	0.00	6.14	0.00	6.14	90.0	5.53
06/01/2017	KEMBLE, CARA	AM FUNDS NEW WORLD A	0.00	2.36	0.00	2.36	90.0	2.12
06/01/2017	OSSMAN, ED	AM FUNDS NEW WORLD A	0.00	5.61	0.00	5.61	90.0	5.05
06/01/2017	FRIEDERICH, JOHN	AM FUNDS NEW WORLD A	0.00	3.98	0.00	3.98	90.0	3.58
06/01/2017	TWEHOUS, NOLAN	AM FUNDS NEW WORLD A	0.00	1.11	0.00	1.11	90.0	1.00
06/01/2017	KAUFMAN, JEFFREY	AM FUNDS NEW WORLD A	0.00	7.84	0.00	7.84	90.0	7.06
06/01/2017	GRIFFITH, CHERYL	AM FUNDS NEW WORLD A	0.00	2.15	0.00	2.15	90.0	1.94
06/01/2017	KAUFMAN, JEFFREY	AM FUNDS NEW WORLD A	0.00	2.15	0.00	2.15	90.0	1.94
06/01/2017	GUIER, CASH	AM FUNDS NEW WORLD A	0.00	0.86	0.00	0.86	90.0	0.77
06/01/2017	JOHNSON, RYAN	AM FUNDS NEW WORLD A	0.00	2.64	0.00	2.64	90.0	2.38
06/01/2017	MARK, CHRISTOPHER	AM FUNDS NEW WORLD A	0.00	2.11	0.00	2.11	90.0	1.90
06/01/2017	AHERN, OLIVIA	AM FUNDS NEW WORLD A	0.00	0.06	0.00	0.06	90.0	0.05
06/01/2017	CROWLEY, MICHA	AM FUNDS NEW WORLD A	0.00	38.02	0.00	38.02	90.0	34.22
06/01/2017	MCKENZIE, JADA	AM FUNDS NEW WORLD A	0.00	0.90	0.00	0.90	90.0	0.81
06/01/2017	SAUSAMAN, URSULA	AM FUNDS NEW WORLD A	0.00	1.97	0.00	1.97	90.0	1.77
06/01/2017	DEUSCHLE, KIMBERLY	AM FUNDS NEW WORLD A	0.00	3.09	0.00	3.09	90.0	2.78
06/01/2017	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	0.00	0.37	0.00	0.37	90.0	0.33
06/01/2017	EULTGEN, VICKI	AM FUNDS NEW WORLD A	0.00	4.59	0.00	4.59	90.0	4.13
06/01/2017	TURNER, JOSH	AM FUNDS NEW WORLD A	0.00	0.16	0.00	0.16	90.0	0.14
06/01/2017	MURPHY, MELISSA	AM FUNDS NEW WORLD A	0.00	8.08	0.00	8.08	90.0	7.27

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	MURPHY, MICHAEL	AM FUNDS NEW WORLD A	0.00	25.94	0.00	25.94	90.0	23.35
06/01/2017	VICTOR, THOMAS	AM FUNDS NEW WORLD A	0.00	0.15	0.00	0.15	90.0	0.14
06/01/2017	TURNER, COREY	AM FUNDS NEW WORLD A	0.00	6.84	0.00	6.84	90.0	6.16
06/01/2017	RYAN, MATTHEW	AM FUNDS NEW WORLD A	0.00	2.02	0.00	2.02	90.0	1.82
06/01/2017	TURNER, GARY	AM FUNDS NEW WORLD A	0.00	11.76	0.00	11.76	90.0	10.58
06/01/2017	KAUFMAN, ELLIE	AM FUNDS NEW WORLD C	0.00	1.05	0.00	1.05	90.0	0.95
06/01/2017	KAUFMAN, COOPER	AM FUNDS NEW WORLD C	0.00	1.31	0.00	1.31	90.0	1.18
06/01/2017	ELLIOT, MITCHELL	AM FUNDS NEW WORLD C	0.00	1.09	0.00	1.09	90.0	0.98
06/01/2017	ELLIOT, MITCHELL	AM FUNDS NEW WORLD FUND F1	0.00	0.52	0.00	0.52	90.0	0.47
06/01/2017	MCCAMPBELL, MERYL	AM FUNDS PRESERVATION PORT A	0.00	4.25	0.00	4.25	90.0	3.83
05/26/2017	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	82.37	0.82	0.00	0.82	90.0	0.74
05/26/2017	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	82.37	0.82	0.00	0.82	90.0	0.74
05/26/2017	COOK, GREG	AM FUNDS SMALLCAP WORLD A	19.58	0.20	0.00	0.20	90.0	0.18
05/26/2017	COOK, GREG	AM FUNDS SMALLCAP WORLD A	19.58	0.20	0.00	0.20	90.0	0.18
05/26/2017	DAVIDSON, DANIELLE	AM FUNDS SMALLCAP WORLD A	19.01	0.19	0.00	0.19	90.0	0.17
05/26/2017	DAVIDSON, DANIELLE	AM FUNDS SMALLCAP WORLD A	19.01	0.19	0.00	0.19	90.0	0.17
05/26/2017	STAES, SAMUEL	AM FUNDS SMALLCAP WORLD A	16.80	0.17	0.00	0.17	90.0	0.15
05/26/2017	STAES, SAMUEL	AM FUNDS SMALLCAP WORLD A	33.60	0.34	0.00	0.34	90.0	0.31
06/01/2017	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	62.50	1.72	0.00	1.72	90.0	1.55
06/01/2017	HOSTETTER MO/TOD, VICKI	AM FUNDS SMALLCAP WORLD A	0.00	3.81	0.00	3.81	90.0	3.43
06/01/2017	ANDING, MARY DIANE	AM FUNDS SMALLCAP WORLD A	0.00	6.07	0.00	6.07	90.0	5.46
06/01/2017	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	0.00	6.95	0.00	6.95	90.0	6.26
06/01/2017	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	0.00	7.22	0.00	7.22	90.0	6.50
06/01/2017	ZELLMER, AMY	AM FUNDS SMALLCAP WORLD A	0.00	0.03	0.00	0.03	90.0	0.03
06/01/2017	GRIFFIN, AMANDA	AM FUNDS SMALLCAP WORLD A	0.00	3.81	0.00	3.81	90.0	3.43
06/01/2017	PATTISON, CHRISTINE	AM FUNDS SMALLCAP WORLD A	0.00	14.93	0.00	14.93	90.0	13.44
06/01/2017	SEYER, MARY	AM FUNDS SMALLCAP WORLD A	0.00	7.62	0.00	7.62	90.0	6.86
06/01/2017	MIDDLETON, M	AM FUNDS SMALLCAP WORLD A	0.00	0.16	0.00	0.16	90.0	0.14
06/01/2017	WHEAT, KATHLEEN	AM FUNDS SMALLCAP WORLD A	0.00	10.24	0.00	10.24	90.0	9.22
06/01/2017	KEMBLE, CARA	AM FUNDS SMALLCAP WORLD A	0.00	2.30	0.00	2.30	90.0	2.07
06/01/2017	OSSMAN, ED	AM FUNDS SMALLCAP WORLD A	0.00	6.15	0.00	6.15	90.0	5.54
06/01/2017	FRIEDERICH, JOHN	AM FUNDS SMALLCAP WORLD A	0.00	5.02	0.00	5.02	90.0	4.52
06/01/2017	MCNERNEY, DAN	AM FUNDS SMALLCAP WORLD A	0.00	16.25	0.00	16.25	90.0	14.63

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/01/2017	GUIER, GREGORY	AM FUNDS SMALLCAP WORLD A	0.00	26.37	0.00	26.37	90.0	23.73
06/01/2017	KAUFMAN, JEFFREY	AM FUNDS SMALLCAP WORLD A	0.00	9.66	0.00	9.66	90.0	8.69
06/01/2017	KAUFMAN, JEFFREY	AM FUNDS SMALLCAP WORLD A	0.00	2.56	0.00	2.56	90.0	2.30
06/01/2017	GRIFFIN, SHAWN	AM FUNDS SMALLCAP WORLD A	0.00	5.23	0.00	5.23	90.0	4.71
06/01/2017	GUIER, KNOX	AM FUNDS SMALLCAP WORLD A	0.00	0.73	0.00	0.73	90.0	0.66
06/01/2017	MARK, CHRISTOPHER	AM FUNDS SMALLCAP WORLD A	0.00	2.61	0.00	2.61	90.0	2.35
06/01/2017	BIETSCH, MATTHEW	AM FUNDS SMALLCAP WORLD A	0.00	0.78	0.00	0.78	90.0	0.70
06/01/2017	AHERN, OLIVIA	AM FUNDS SMALLCAP WORLD A	0.00	1.33	0.00	1.33	90.0	1.20
06/01/2017	MCKENZIE, JADA	AM FUNDS SMALLCAP WORLD A	0.00	0.03	0.00	0.03	90.0	0.03
06/01/2017	WIBBENMEYER, BRITTANY	AM FUNDS SMALLCAP WORLD A	0.00	0.45	0.00	0.45	90.0	0.41
06/01/2017	DEUSCHLE, KIMBERLY	AM FUNDS SMALLCAP WORLD A	0.00	3.10	0.00	3.10	90.0	2.79
06/01/2017	COOK, GREG	AM FUNDS SMALLCAP WORLD A	0.00	0.18	0.00	0.18	90.0	0.16
06/01/2017	DAVIDSON, DANIELLE	AM FUNDS SMALLCAP WORLD A	0.00	0.28	0.00	0.28	90.0	0.25
06/01/2017	STAES, SAMUEL	AM FUNDS SMALLCAP WORLD A	0.00	0.19	0.00	0.19	90.0	0.17
06/01/2017	EULTGEN, VICKI	AM FUNDS SMALLCAP WORLD A	0.00	9.00	0.00	9.00	90.0	8.10
06/01/2017	TURNER, JOSH	AM FUNDS SMALLCAP WORLD A	0.00	0.17	0.00	0.17	90.0	0.15
06/01/2017	MURPHY, MELISSA	AM FUNDS SMALLCAP WORLD A	0.00	9.19	0.00	9.19	90.0	8.27
06/01/2017	MURPHY, MICHAEL	AM FUNDS SMALLCAP WORLD A	0.00	19.58	0.00	19.58	90.0	17.62
06/01/2017	PETERSON, BAILY	AM FUNDS SMALLCAP WORLD A	0.00	0.12	0.00	0.12	90.0	0.11
06/01/2017	VICTOR, THOMAS	AM FUNDS SMALLCAP WORLD A	0.00	0.16	0.00	0.16	90.0	0.14
06/01/2017	TURNER, COREY	AM FUNDS SMALLCAP WORLD A	0.00	7.09	0.00	7.09	90.0	6.38
06/01/2017	TURNER, GARY	AM FUNDS SMALLCAP WORLD A	0.00	36.08	0.00	36.08	90.0	32.47
06/01/2017	RYAN, MATTHEW	AM FUNDS SMALLCAP WORLD A	0.00	0.70	0.00	0.70	90.0	0.63
06/01/2017	SUTTON, CHRISTOPHER	AM FUNDS SMALLCAP WORLD A	0.00	12.77	0.00	12.77	90.0	11.49
06/01/2017	TOMPKINS, ERIKA	AM FUNDS SMALLCAP WORLD A	0.00	0.99	0.00	0.99	90.0	0.89
06/01/2017	ROBINETT, CHARISSA	AM FUNDS SMALLCAP WORLD A	0.00	6.98	0.00	6.98	90.0	6.28
06/01/2017	ELLIOTT, MITCHELL	AM FUNDS SMALLCAP WORLD A	0.00	0.56	0.00	0.56	90.0	0.50
06/01/2017	KAUFMAN, COOPER	AM FUNDS SMALLCAP WORLD C	0.00	2.76	0.00	2.76	90.0	2.48
06/01/2017	HOLTKAMP, MARIGENE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	0.99	0.00	0.99	90.0	0.89
06/01/2017	STEVENSON, DAVID	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	7.29	0.00	7.29	90.0	6.56
06/01/2017	HALL, JULIE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	69.79	0.00	69.79	90.0	62.81
06/01/2017	ANDING, MARY DIANE	AM FUNDS U.S. GOVERNMENT SECURITIES	0.00	4.91	0.00	4.91	90.0	4.42
05/26/2017	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.27	0.34	0.00	0.34	90.0	0.31

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/26/2017	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.27	0.34	0.00	0.34	90.0	0.31
06/01/2017	MCNERNEY, DAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	21.59	0.00	21.59	90.0	19.43
06/01/2017	TOMPKINS, ERIKA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.15	0.00	2.15	90.0	1.94
06/01/2017	DUNCAN, CHRISTOPHER	AMERICAN FUND GLOBAL GROWTH PORTFOL	0.00	1.55	0.00	1.55	90.0	1.40
06/01/2017	ANDING, MARY DIANE	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	0.56	0.00	0.56	90.0	0.50
06/01/2017	TURNER, GARY	AMERICAN FUNDS DEVELOPING WORLD GRO	0.00	35.14	0.00	35.14	90.0	31.63
/ /	KAUFMAN, JEFFREY	FIDELITY ADV CANADA	0.00	0.83	0.00	0.83	90.0	0.75
/ /	KAUFMAN, JEFFREY	FIDELITY ADV EMERGING EMEA	0.00	0.87	0.00	0.87	90.0	0.78
/ /	CROWLEY, MICHA	FIDELITY ADV EVENT DRIVEN OPFS	0.00	3.35	0.00	3.35	90.0	3.02
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GLOBAL CAP APP T	0.00	2.05	0.00	2.05	90.0	1.85
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GLOBAL COMMODITY STK	0.00	0.81	0.00	0.81	90.0	0.73
/ /	CROWLEY, MICHA	FIDELITY ADV GLOBAL COMMODITY STOCK	0.00	0.95	0.00	0.95	90.0	0.86
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GOLD	0.00	0.81	0.00	0.81	90.0	0.73
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV GOLD C	0.00	0.68	0.00	0.68	90.0	0.61
/ /	GRIFFITH, CHERYL	FIDELITY ADV GOVERNMENT INC	0.00	0.11	0.00	0.11	90.0	0.10
/ /	HALL, ELLEN	FIDELITY ADV GROWTH OPP	0.00	9.77	0.00	9.77	90.0	8.79
/ /	ELLIOTT, TIMOTHY	FIDELITY ADV GROWTH OPP	0.00	1.18	0.00	1.18	90.0	1.06
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GROWTH OPP	0.00	7.55	0.00	7.55	90.0	6.80
/ /	RICHARDSON, BOBBY	FIDELITY ADV HEALTH CARE T	0.00	2.46	0.00	2.46	90.0	2.21
/ /	CROWLEY, MICHA	FIDELITY ADV INT'L REAL ESTATE C	0.00	1.48	0.00	1.48	90.0	1.33
/ /	CROWLEY, MICHA	FIDELITY ADV INTERNATIONAL GROWTH C	0.00	4.07	0.00	4.07	90.0	3.66
/ /	GRIFFITH, CHERYL	FIDELITY ADV INTL SM CAP CL T	0.00	4.42	0.00	4.42	90.0	3.98
/ /	RICHARDSON, BOBBY	FIDELITY ADV INTL SM CAP CL T	0.00	1.25	0.00	1.25	90.0	1.13
/ /	GRIFFITH, CHERYL	FIDELITY ADV LARGE CAP	0.00	6.11	0.00	6.11	90.0	5.50
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV LEVERAGED CO STOCK C	0.00	8.09	0.00	8.09	90.0	7.28
/ /	HALL, ELLEN	FIDELITY ADV LEVERAGED CO STOCK-CL	0.00	2.75	0.00	2.75	90.0	2.48
/ /	RICHARDSON, BOBBY	FIDELITY ADV LEVERAGED CO STOCK-CL	0.00	14.13	0.00	14.13	90.0	12.72
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV MATERIALS C	0.00	4.36	0.00	4.36	90.0	3.92
/ /	GRIFFITH, CHERYL	FIDELITY ADV OVERSEAS	0.00	1.18	0.00	1.18	90.0	1.06
/ /	CROWLEY, MICHA	FIDELITY ADV REAL ESTATE C	0.00	2.77	0.00	2.77	90.0	2.49
/ /	RICHARDSON, BOBBY	FIDELITY ADV REAL ESTATE-CL T	0.00	6.20	0.00	6.20	90.0	5.58
/ /	GRIFFITH, CHERYL	FIDELITY ADV SMALL CAP	0.00	1.22	0.00	1.22	90.0	1.10
/ /	KAUFMAN, JEFFREY	FIDELITY ADV SMALL CAP GROWTH C	0.00	0.64	0.00	0.64	90.0	0.58

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	CROWLEY, MICHA	FIDELITY ADV SMALL CAP GROWTH C	0.00	5.32	0.00	5.32	90.0	4.79
/ /	GRIFFITH, CHERYL	FIDELITY ADV STOCK SELECTOR ALL CAP	0.00	10.45	0.00	10.45	90.0	9.41
/ /	KAUFMAN, JEFFREY	FIDELITY ADV STOCK SELECTOR ALL CAP	0.00	2.23	0.00	2.23	90.0	2.01
/ /	RICHARDSON, BOBBY	FIDELITY ADV TELECOMMUNICATIONS-CL	0.00	3.06	0.00	3.06	90.0	2.75
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV UTILITIES C	0.00	9.23	0.00	9.23	90.0	8.31
/ /	RICHARDSON, BOBBY	FIDELITY ADV UTILITIES-CL T	0.00	1.31	0.00	1.31	90.0	1.18
/ /	RICHARDSON, BOBBY	FIDELITY ADV VALUE LENDERS-CL T	0.00	2.53	0.00	2.53	90.0	2.28
/ /	GRIFFITH, CHERYL	FIDELITY ADVISOR EVENT DRIVEN OPPS	0.00	1.34	0.00	1.34	90.0	1.21
/ /	KAUFMAN, JEFFREY	FIDELITY ADVISOR EVENT DRIVEN OPPS	0.00	1.68	0.00	1.68	90.0	1.51
/ /	KAUFMAN, JEFFREY	FIDELITY ADVISOR MATERIALS FUND-CLA	0.00	1.04	0.00	1.04	90.0	0.94
/ /	WILMSMEYER, CHRISTA	FIDELITY ADVISORS 31618H424	0.00	6.07	0.00	6.07	90.0	5.46
/ /	HALL, ELLEN	FIDELITY ADVISORS 31618H440	0.00	1.27	0.00	1.27	90.0	1.14
/ /	GRIFFITH, CHERYL	FIDELITY BALANCED T	0.00	0.54	0.00	0.54	90.0	0.49
/ /	GRIFFITH, CHERYL	FIDELITY BALANCED T	0.00	14.31	0.00	14.31	90.0	12.88
/ /	HALL, ELLEN	FIDELITY COMM EQUIPMENT T	0.00	1.70	0.00	1.70	90.0	1.53
/ /	RICHARDSON, BOBBY	FIDELITY COMM EQUIPMENT T	0.00	5.60	0.00	5.60	90.0	5.04
/ /	RICHARDSON, BOBBY	FIDELITY EMERGING MKTS T	0.00	2.69	0.00	2.69	90.0	2.42
/ /	CROWLEY, MICHA	FIDELITY ENERGY C	0.00	0.90	0.00	0.90	90.0	0.81
/ /	RICHARDSON, BOBBY	FIDELITY ENERGY T	0.00	2.62	0.00	2.62	90.0	2.36
/ /	KAUFMAN, JEFFREY	FIDELITY ENERGY T	0.00	0.76	0.00	0.76	90.0	0.68
/ /	GRIFFITH, CHERYL	FIDELITY EQUITY VALUE	0.00	9.79	0.00	9.79	90.0	8.81
/ /	CROWLEY, MICHA	FIDELITY FINANCIAL SERVICES C	0.00	2.45	0.00	2.45	90.0	2.21
/ /	RICHARDSON, BOBBY	FIDELITY FINANCIAL SERVICES T	0.00	0.74	0.00	0.74	90.0	0.67
/ /	KAUFMAN, JEFFREY	FIDELITY FINANCIAL SERVICES T	0.00	0.83	0.00	0.83	90.0	0.75
/ /	CROWLEY, MICHA	FIDELITY LATIN AMERICA C	0.00	1.99	0.00	1.99	90.0	1.79
/ /	KAUFMAN, JEFFREY	FIDELITY MIDCAP C	0.00	0.50	0.00	0.50	90.0	0.45
/ /	GRIFFITH, CHERYL	FIDELITY MIDCAP T	0.00	8.66	0.00	8.66	90.0	7.79
/ /	CROWLEY, MICHA	FIDELITY STOCK SELECTOR ALLCAP C	0.00	6.15	0.00	6.15	90.0	5.54
/ /	KAUFMAN, JEFFREY	FIDLEITY ADV INTL REAL ESTATE	0.00	0.93	0.00	0.93	90.0	0.84
/ /	GRIFFITH, CHERYL	FIDLEITY ADVISOR LATIN AMERICA FUND	0.00	1.40	0.00	1.40	90.0	1.26
/ /	KAUFMAN, JEFFREY	FIDLEITY ADVISOR LATIN AMERICA FUND	0.00	2.13	0.00	2.13	90.0	1.92
/ /	RICHARDSON, BOBBY	FIEDLEITY ADVISOR JAPAN-CL T	0.00	0.75	0.00	0.75	90.0	0.68
/ /	MCS DESIGN TEAM	HARTFORD BALANCED C	0.00	16.09	0.00	16.09	90.0	14.48

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	MCS DESIGN TEAM	HARTFORD DIVIDEND & GROWTH C	0.00	17.48	0.00	17.48	90.0	15.73
* MUTUAL FUNDS SUBTOTAL *			\$56,786.50	\$7,250.03	\$0.00	\$7,250.03		\$6,524.50
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/31/2017	PAGE, BRIAN	JNL ELITE ACCESS W/ LIQUIDITY	11,952.65	9.96	0.00	9.96	90.0	8.96
05/31/2017	ANDING, MARY DIANE	JNL PERSPECTIVE II 04/14	105,661.58	44.03	0.00	44.03	90.0	39.63
05/31/2017	STEWART, SHEILA	JNL PERSPECTIVE II 04/14	238,265.22	99.28	0.00	99.28	90.0	89.35
05/31/2017	DEAN GUIER INC	JNL PERSPECTIVE II 04/14	189,668.51	79.03	0.00	79.03	90.0	71.13
05/31/2017	GUIER, CARROL	JNL PERSPECTIVE II 04/14	22,866.31	9.53	0.00	9.53	90.0	8.58
05/31/2017	CALLIS, CHARLES	JNL PERSPECTIVE II 05/05	28,026.89	11.68	0.00	11.68	90.0	10.51
05/31/2017	OWEN, ERNEST	JNL PERSPECTIVE II 05/05	144,361.49	60.15	0.00	60.15	90.0	54.14
05/31/2017	OSSMAN, ED	JNL PERSPECTIVE II 05/05	56,596.58	23.58	0.00	23.58	90.0	21.22
05/31/2017	OSSMAN, EDWARD	JNL PERSPECTIVE II 05/05	25,957.44	10.82	0.00	10.82	90.0	9.74
05/31/2017	OSSMAN, EDWARD	JNL PERSPECTIVE II 05/05	151,838.09	63.27	0.00	63.27	90.0	56.94
05/31/2017	MARK, RICHARD GEORGE	JNL PERSPECTIVE II 05/05	90,695.99	37.79	0.00	37.79	90.0	34.01
05/31/2017	LANGE, ARLEN	JNL PERSPECTIVE II 05/05	125,541.43	52.31	0.00	52.31	90.0	47.08
05/31/2017	MCBRIDE, KENNETH	JNL PERSPECTIVE II 05/05	294,995.57	122.91	0.00	122.91	90.0	110.62
05/31/2017	ANDING, MARY DIANE	JNL PERSPECTIVE II 05/05	236,271.24	98.45	0.00	98.45	90.0	88.61
05/30/2017	BENNETT, MILDRED	SBL ADVISORDESIGNS VA	93,200.04	77.67	0.00	77.67	90.0	69.90
05/30/2017	DOWNS, JAMES	SBL ADVISORDESIGNS VA	38,324.99	31.95	0.00	31.95	90.0	28.76
05/30/2017	ANDERSON, CHERRI	SBL ADVISORDESIGNS VA	27,911.97	23.26	0.00	23.26	90.0	20.93
05/30/2017	SCOFIELD, ROBERT	SBL ADVISORDESIGNS VA	10,860.51	9.05	0.00	9.05	90.0	8.15
05/30/2017	KLANG, MARCHEA	SBL ADVISORDESIGNS VA	109,029.47	90.86	0.00	90.86	90.0	81.77
05/30/2017	KLANG, MARCHEA	SBL ADVISORDESIGNS VA	95,049.72	79.20	0.00	79.20	90.0	71.28
05/30/2017	LEE, HOWARD	SBL SECUREDESIGNS VA	252,990.06	52.70	0.00	52.70	90.0	47.43
* VARIABLE ANNUITIES SUBTOTAL *			\$2,350,065.75	\$1,087.48	\$0.00	\$1,087.48		\$978.74
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
05/22/2017	PATTISON, CHRISTINE	PAC LIFE VUL	196.00	5.88	0.00	5.88	90.0	5.29
05/22/2017	PATTISON, CHRISTINE	PAC LIFE VUL	4.00	0.12	0.00	0.12	90.0	0.11
05/22/2017	ELLIOTT, MITCHELL	PAC LIFE VUL	17.15	0.51	0.00	0.51	90.0	0.46
05/22/2017	ELLIOTT, MITCHELL	PAC LIFE VUL	82.85	2.49	0.00	2.49	90.0	2.24
05/22/2017	GAMM, SKYLAR	PAC LIFE VUL	7.37	7.37	0.00	7.37	90.0	6.63
05/22/2017	GAMM, SKYLAR	PAC LIFE VUL	417.63	104.41	0.00	104.41	90.0	93.97

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
05/23/2017	JONES, KAREN	PAC LIFE VUL	50.00	1.50	0.00	1.50	90.0	1.35
05/24/2017	KING, CALEB	PAC LIFE VUL	50.00	0.60	0.00	0.60	90.0	0.54
05/25/2017	JONES, KENNETH	PAC LIFE VUL	60.00	1.20	0.00	1.20	90.0	1.08
05/25/2017	RICHARDSON, DEBBIE	PAC LIFE VUL	1,673.94	50.22	0.00	50.22	90.0	45.20
05/25/2017	RICHARDSON, DEBBIE	PAC LIFE VUL	1,326.06	39.78	0.00	39.78	90.0	35.80
05/25/2017	ADAMS, ASHLEY	PAC LIFE VUL	100.00	2.00	0.00	2.00	90.0	1.80
05/25/2017	GRIFFIN, AMANDA	PAC LIFE VUL	68.07	68.07	0.00	68.07	90.0	61.26
05/25/2017	GRIFFIN, AMANDA	PAC LIFE VUL	37.85	0.76	0.00	0.76	90.0	0.68
05/25/2017	ADAMS, JAXON	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
05/30/2017	RICHARDSON, DEBBIE	PAC LIFE VUL	0.00	38.89	0.00	38.89	90.0	35.00
06/01/2017	KAUFMAN, KIMBERLY	PAC LIFE VUL	75.00	1.50	0.00	1.50	90.0	1.35
06/01/2017	REDDICK, RYAN	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
06/02/2017	KAUFMAN, JEFFREY	PAC LIFE VUL	200.00	4.00	0.00	4.00	90.0	3.60
06/02/2017	KAUFMAN, COOPER	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
06/02/2017	KAUFMAN, ELLIE	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
06/02/2017	STALEY, MICHAELA	PAC LIFE VUL	50.00	1.00	0.00	1.00	90.0	0.90
06/02/2017	ROBINSON, PHYLILS	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
06/02/2017	REDDICK, CRYSTAL	PAC LIFE VUL	50.00	1.50	0.00	1.50	90.0	1.35
06/02/2017	STALEY, JOSELYN	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
06/02/2017	MCNERNEY, SUSAN	PAC LIFE VUL	1,000.00	30.00	0.00	30.00	90.0	27.00
06/02/2017	MCNERNEY, DASAN	PAC LIFE VUL	200.00	6.00	0.00	6.00	90.0	5.40
06/02/2017	MCNERNEY, ALYSSA	PAC LIFE VUL	150.00	4.50	0.00	4.50	90.0	4.05
06/02/2017	MCNERNEY, DYLAN	PAC LIFE VUL	150.00	4.50	0.00	4.50	90.0	4.05
06/25/2017	ADAMS, GREGORY	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$6,615.92	\$389.80	\$0.00	\$389.80		\$350.81
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
05/15/2017	GUIER, CARROL	PAC LIFE PACIFIC CHOICE	0.00	1,218.40	0.00	1,218.40	90.0	1,096.56
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,218.40	\$0.00	\$1,218.40		\$1,096.56
*** BROKER TRANSACTIONS TOTAL ***			\$2,413,468.17	\$9,945.71	\$0.00	\$9,945.71		\$8,950.61

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFFREY KAUFMAN #23</u>								
05/26/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	47.51	0.26	0.00	0.26	90.0	0.23
05/26/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	47.51	0.26	0.00	0.26	90.0	0.23
* JEFFREY KAUFMAN SUBTOTAL *			\$95.02	\$0.52	\$0.00	\$0.52		\$0.46
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
05/31/2017	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	1,041.67	24.35	0.00	24.35	90.0	21.92
05/31/2017	SUMNER, CASEY	AM FUNDS 2045 TARGET DATE-A	33.84	0.79	0.00	0.79	90.0	0.71
05/26/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.09	0.12	0.00	0.12	90.0	0.11
05/26/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	21.09	0.12	0.00	0.12	90.0	0.11
05/22/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.37	0.00	1.37	90.0	1.23
05/26/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	17.10	0.09	0.00	0.09	90.0	0.08
05/26/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	22.80	0.13	0.00	0.13	90.0	0.12
05/26/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	3.12	0.02	0.00	0.02	90.0	0.02
05/26/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.05	0.00	0.05	90.0	0.05
05/26/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	47.59	0.26	0.00	0.26	90.0	0.23
05/26/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	47.59	0.26	0.00	0.26	90.0	0.23
05/26/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	12.80	0.07	0.00	0.07	90.0	0.06
05/26/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	12.80	0.07	0.00	0.07	90.0	0.06
05/26/2017	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	39.90	0.22	0.00	0.22	90.0	0.20
05/26/2017	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	39.90	0.22	0.00	0.22	90.0	0.20
05/26/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	25.42	0.14	0.00	0.14	90.0	0.13
05/26/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	25.43	0.14	0.00	0.14	90.0	0.13
05/30/2017	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
05/31/2017	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	833.34	19.48	0.00	19.48	90.0	17.53
05/31/2017	SUMNER, CALEB	AM FUNDS GROWTH FUND OF AMERICA A	136.61	3.20	0.00	3.20	90.0	2.88
05/22/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS ICA A	50.00	1.37	0.00	1.37	90.0	1.23
05/26/2017	ZELLMER, RIANNON	AM FUNDS ICA A	14.31	0.08	0.00	0.08	90.0	0.07
05/26/2017	ZELLMER, RIANNON	AM FUNDS ICA A	14.31	0.08	0.00	0.08	90.0	0.07
05/26/2017	WAHLIG, KACEY	AM FUNDS ICA A	21.10	0.12	0.00	0.12	90.0	0.11
05/26/2017	WAHLIG, KACEY	AM FUNDS ICA A	21.10	0.12	0.00	0.12	90.0	0.11
05/26/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.10	0.00	0.10	90.0	0.09
05/26/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.10	0.00	0.10	90.0	0.09
05/26/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	17.10	0.09	0.00	0.09	90.0	0.08
05/26/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	22.80	0.13	0.00	0.13	90.0	0.12

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
05/26/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.10	0.00	0.10	90.0	0.09
05/26/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.10	0.00	0.10	90.0	0.09
05/26/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	25.43	0.14	0.00	0.14	90.0	0.13
05/26/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	25.42	0.14	0.00	0.14	90.0	0.13
05/31/2017	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	208.33	4.87	0.00	4.87	90.0	4.38
05/31/2017	SUMNER, CALEB	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.15	0.80	0.00	0.80	90.0	0.72
05/30/2017	CARSON, SEDEL	PAC LIFE VUL	55.00	15.12	0.00	15.12	90.0	13.61
05/30/2017	CARSON, MATTHEW	PAC LIFE VUL	7.57	2.08	0.00	2.08	90.0	1.87
05/30/2017	CARSON, MATTHEW	PAC LIFE VUL	142.43	9.79	0.00	9.79	90.0	8.81
05/30/2017	CARSON, MATTHEW	PAC LIFE VUL	142.43	9.79	0.00	9.79	90.0	8.81
05/30/2017	CARSON, MATTHEW	PAC LIFE VUL	7.57	2.08	0.00	2.08	90.0	1.87
05/30/2017	CARSON, SEDEL	PAC LIFE VUL	55.00	15.12	0.00	15.12	90.0	13.61
* JEFF/JASON KAUFMAN/KEMBLE SUBTOTAL *			\$3,480.30	\$116.17	\$0.00	\$116.17		\$104.57
*** SPLIT TRANSACTIONS TOTAL ***			\$3,575.32	\$116.69	\$0.00	\$116.69		\$105.03

<u> OVERRIDE TRANSACTIONS</u>								
<u>BROKER: JASON KEMBLE #348</u>								
05/22/2017	MO/TOD	AM FUNDS AMERICAN BALANCED A	35,000.00	1,487.50	0.00	1,487.50	90.0	595.00
06/02/2017	SEBA, FRED	AM FUNDS AMERICAN BALANCED A	6,500.00	276.25	0.00	276.25	90.0	110.50
05/22/2017	MO/TOD	AM FUNDS GROWTH FUND OF AMERICA A	5,000.00	212.50	0.00	212.50	90.0	85.00
05/24/2017	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	2.00
05/24/2017	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	90.0	2.00
05/19/2017	HEDRICK, KENNETH	PAC LIFE PACIFIC CHOICE	0.00	1,169.70	0.00	1,169.70	90.0	467.88
* JASON KEMBLE SUBTOTAL *			\$46,700.00	\$3,155.95	\$0.00	\$3,155.95		\$1,262.38
*** OVERRIDE TRANSACTIONS TOTAL ***			\$46,700.00	\$3,155.95	\$0.00	\$3,155.95		\$1,262.38

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
06/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
06/15/2017		*TECHNOLOGY: ADMIN - KIM KAUFMAN	0.00					-100.00
06/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-675.00

BROKER COMMISSION TOTALS

Broker Transactions	\$8,950.61
Split Transactions	\$105.03
Override Transactions	\$1,262.38
Adjustments	\$-675.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$9,643.02
Year-to-date Gross	\$77,628.92
Year-to-date Earnings	\$78,744.39

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