



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**March 31, 2017**

04/18/2017  
 Page No. 1

ACCOUNT HOUSE  
 1120 E. LONG LAKE ROAD  
 SUITE 207  
 TROY, MI 48085-

BROKER #: G999  
 BRANCH: FOXTROT SAMPLE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: REIT</u></b>								
02/28/2017	LACHANCE, LINDA	CARTER VALIDUS MC REIT II CLASS T	0.00	11.10	0.00	11.10	100.0	11.10
		* REIT SUBTOTAL *	\$0.00	\$11.10	\$0.00	\$11.10		\$11.10
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
03/17/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	-22,006.40	0.00	0.00	0.00	100.0	0.00
03/17/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	21,786.34	0.00	0.00	0.00	100.0	0.00
03/23/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	-66,200.00	0.00	0.00	0.00	100.0	0.00
03/23/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	65,538.00	0.00	0.00	0.00	100.0	0.00
03/24/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	49,512.69	0.00	0.00	0.00	100.0	0.00
03/24/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	-50,012.82	0.00	0.00	0.00	100.0	0.00
03/27/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	19,774.41	0.00	0.00	0.00	100.0	0.00
03/27/2017	CONCORDE, VARIOUS	GRIFFIN INST'L ACCESS R E FUND C	-19,974.15	0.00	0.00	0.00	100.0	0.00
		* INTERVAL FUNDS SUBTOTAL *	\$-1,581.93	\$0.00	\$0.00	\$0.00		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/15/2017	CONCORDE, VARIOUS	AM CENT EQUITY INCOME	-401.39	0.00	0.00	0.00	100.0	0.00
03/15/2017	CONCORDE, VARIOUS	AM CENT EQUITY INCOME	381.32	0.00	0.00	0.00	100.0	0.00
03/15/2017	LARA, DYLAN	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
03/15/2017	LARA, DEREK	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
03/24/2017	LAROCCA II, JOHN	AM FUNDS 2045 TARGET DATE-A	400.00	20.00	0.00	20.00	100.0	20.00
03/14/2017	CONCORDE, VARIOUS	AM FUNDS AMCAP A	71.81	0.00	0.00	0.00	100.0	0.00
03/14/2017	CONCORDE, VARIOUS	AM FUNDS AMCAP A	-75.00	0.00	0.00	0.00	100.0	0.00
03/06/2017	SAGGAR, VADEESH	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	100.0	2.50
03/13/2017	ESSARY, BRADLEY	AM FUNDS AMERICAN MUTUAL A	115.00	4.31	0.00	4.31	100.0	4.31
03/06/2017	COX, DEBORAH	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.75	0.00	3.75	100.0	3.75
03/10/2017	BODO, DAVID	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.19	0.00	3.19	100.0	3.19
03/10/2017	BODO, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	100.0	3.19

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/13/2017	ESSARY, BRADLEY	AM FUNDS CAPITAL WORLD GRTH & INC A	115.00	4.31	0.00	4.31	100.0	4.31
03/10/2017	GREEN, WILLIAM	AM FUNDS GROWTH AND INCOME A	250.00	12.50	0.00	12.50	100.0	12.50
03/20/2017	HERNANDEZ, HECTOR	AM FUNDS GROWTH AND INCOME A	50.00	2.50	0.00	2.50	100.0	2.50
03/06/2017	HO, PAULINA	AM FUNDS GROWTH AND INCOME C	200.00	2.00	0.00	2.00	100.0	2.00
03/10/2017	BODO, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	100.0	2.13
03/13/2017	ESSARY, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA A	115.00	4.31	0.00	4.31	100.0	4.31
03/14/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	-75.00	0.00	0.00	0.00	100.0	0.00
03/14/2017	CONCORDE, VARIOUS	AM FUNDS GROWTH FUND OF AMERICA A	71.81	0.00	0.00	0.00	100.0	0.00
03/15/2017	HENRY, WYATT	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
03/15/2017	CONCORDE, VARIOUS	AM FUNDS ICA A	1,946.66	0.00	0.00	0.00	100.0	0.00
03/15/2017	CONCORDE, VARIOUS	AM FUNDS ICA A	-409.90	0.00	0.00	0.00	100.0	0.00
03/15/2017	CONCORDE, VARIOUS	AM FUNDS ICA A	389.40	0.00	0.00	0.00	100.0	0.00
03/15/2017	CONCORDE, VARIOUS	AM FUNDS ICA A	-2,022.50	0.00	0.00	0.00	100.0	0.00
03/06/2017	HO, PAULINA	AM FUNDS INCOME PORTFOLIO C	200.00	2.00	0.00	2.00	100.0	2.00
03/06/2017	AGUILAR, JOSE	AM FUNDS NEW ECONOMY 529A	50.00	2.50	0.00	2.50	100.0	2.50
03/13/2017	ESSARY, BRADLEY	AM FUNDS NEW PERSPECTIVE A	115.00	4.31	0.00	4.31	100.0	4.31
03/06/2017	SAGGAR, VADEESH	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	100.0	5.00
03/06/2017	COX, DEBORAH	AM FUNDS SMALLCAP WORLD A	75.00	3.75	0.00	3.75	100.0	3.75
03/06/2017	KERN, KATHERINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	5.00	0.00	5.00	100.0	5.00
03/15/2017	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	-412.56	0.00	0.00	0.00	100.0	0.00
03/15/2017	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	-2,035.80	0.00	0.00	0.00	100.0	0.00
03/15/2017	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	391.93	0.00	0.00	0.00	100.0	0.00
03/15/2017	CONCORDE, VARIOUS	AM FUNDS WASHINGTON MUTUAL INVESTOR	1,959.46	0.00	0.00	0.00	100.0	0.00
03/15/2017	CONCORDE, VARIOUS	AMERICAN CENTURY STRATEGIC ALLOC AG	-992.96	0.00	0.00	0.00	100.0	0.00
03/15/2017	CONCORDE, VARIOUS	AMERICAN CENTURY STRATEGIC ALLOC AG	953.24	0.00	0.00	0.00	100.0	0.00
03/23/2017	CONCORDE, VARIOUS	CATALYST/MILLBURN HEDGE STRATEGY FU	36,073.71	0.00	0.00	0.00	100.0	0.00
03/23/2017	CONCORDE, VARIOUS	CATALYST/MILLBURN HEDGE STRATEGY FU	-37,972.33	0.00	0.00	0.00	100.0	0.00
03/20/2017	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	23,750.00	0.00	0.00	0.00	100.0	0.00
03/20/2017	CONCORDE, VARIOUS	GRIFFIN INSTITUTIONAL ACCESS REAL E	-25,000.00	0.00	0.00	0.00	100.0	0.00
03/15/2017	CONCORDE, VARIOUS	HARTFORD CAPITAL APPRECIATION C	216.49	0.00	0.00	0.00	100.0	0.00
03/15/2017	CONCORDE, VARIOUS	HARTFORD CAPITAL APPRECIATION C	-218.68	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	HARTFORD DIVIDEND & GROWTH C	-24,996.40	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	HARTFORD DIVIDEND & GROWTH C	24,746.44	0.00	0.00	0.00	100.0	0.00

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/15/2017	CONCORDE, VARIOUS	MAINSTAY CUSHING MLP PREMIER FUND C	-10,900.00	0.00	0.00	0.00	100.0	0.00
03/15/2017	CONCORDE, VARIOUS	MAINSTAY CUSHING MLP PREMIER FUND C	10,791.00	0.00	0.00	0.00	100.0	0.00
03/14/2017	CONCORDE, VARIOUS	PUTNAM ASSET ALLOC GROWTH A	47.50	0.00	0.00	0.00	100.0	0.00
03/14/2017	CONCORDE, VARIOUS	PUTNAM ASSET ALLOC GROWTH A	-50.00	0.00	0.00	0.00	100.0	0.00
03/17/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	148.50	0.00	0.00	0.00	100.0	0.00
03/17/2017	CONCORDE, VARIOUS	PUTNAM CAPITAL SPECTRUM C	-150.00	0.00	0.00	0.00	100.0	0.00
03/15/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-100.00	0.00	0.00	0.00	100.0	0.00
03/15/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	99.00	0.00	0.00	0.00	100.0	0.00
03/17/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	-150.00	0.00	0.00	0.00	100.0	0.00
03/17/2017	CONCORDE, VARIOUS	PUTNAM EQUITY SPECTRUM C	148.50	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH A	4,762.50	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH A	4,762.50	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH A	-5,000.00	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	TRANSAMERICA AA GROWTH A	-5,000.00	0.00	0.00	0.00	100.0	0.00
12/02/2015	CONCORDE, VARIOUS	VERTICAL CAPITAL INCOME FUND A	-16,224.00	0.00	0.00	0.00	100.0	0.00
12/02/2015	CONCORDE, VARIOUS	VERTICAL CAPITAL INCOME FUND A	16,900.00	0.00	0.00	0.00	100.0	0.00
12/02/2015	CONCORDE, VARIOUS	VERTICAL CAPITAL INCOME FUND A	16,224.00	0.00	0.00	0.00	100.0	0.00
12/02/2015	CONCORDE, VARIOUS	VERTICAL CAPITAL INCOME FUND A	-16,900.00	0.00	0.00	0.00	100.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$-1,890.75</b>	<b>\$94.75</b>	<b>\$0.00</b>	<b>\$94.75</b>		<b>\$94.75</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
03/20/2017	CONCORDE, VARIOUS	AMTRUST FINL SVCS INC DEP SHS REPST	-8,852.29	0.00	0.00	0.00	100.0	0.00
03/20/2017	CONCORDE, VARIOUS	AMTRUST FINL SVCS INC DEP SHS REPST	2,392.00	0.00	1.00	-1.00	100.0	-1.00
03/20/2017	CONCORDE, VARIOUS	AMTRUST FINL SVCS INC DEP SHS REPST	2,393.00	0.00	1.00	-1.00	100.0	-1.00
03/20/2017	CONCORDE, VARIOUS	AMTRUST FINL SVCS INC DEP SHS REPST	2,388.00	0.00	1.00	-1.00	100.0	-1.00
03/20/2017	CONCORDE, VARIOUS	AMTRUST FINL SVCS INC DEP SHS REPST	1,679.30	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	CATCHMARK TIMBER TR INC CL A	4,300.52	0.00	0.00	0.00	100.0	0.00
03/15/2017	CONCORDE, VARIOUS	CATCHMARK TIMBER TR INC CL A	-1,075.10	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	CATCHMARK TIMBER TR INC CL A	-1,075.01	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	CATCHMARK TIMBER TR INC CL A	-2,150.40	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-1,319.50	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-224.32	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	1,543.82	0.00	0.00	0.00	100.0	0.00
03/15/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-1,322.50	0.00	1.00	-1.00	100.0	-1.00

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
03/15/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-1,322.50	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-1,322.50	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	-2,247.42	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	COLONY NORTHSTAR INC CL A COM	6,214.90	0.00	0.00	0.00	100.0	0.00
03/21/2017	CONCORDE, VARIOUS	DISNEY WALT CO DISNEY COM	565.10	0.00	1.00	-1.00	100.0	-1.00
03/21/2017	CONCORDE, VARIOUS	DISNEY WALT CO DISNEY COM	6,103.08	0.00	1.00	-1.00	100.0	-1.00
03/21/2017	CONCORDE, VARIOUS	DISNEY WALT CO DISNEY COM	1,130.20	0.00	1.00	-1.00	100.0	-1.00
03/21/2017	CONCORDE, VARIOUS	DISNEY WALT CO DISNEY COM	5,764.02	0.00	1.00	-1.00	100.0	-1.00
03/21/2017	CONCORDE, VARIOUS	DISNEY WALT CO DISNEY COM	-13,562.40	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	GOLDEN ARROW RESOURCES CORPORATION	-313.80	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	GOLDEN ARROW RESOURCES CORPORATION	52.30	0.00	1.00	-1.00	100.0	-1.00
03/22/2017	CONCORDE, VARIOUS	GOLDEN ARROW RESOURCES CORPORATION	261.50	0.00	1.00	-1.00	100.0	-1.00
03/21/2017	CONCORDE, VARIOUS	GOLDMINING INC REGISTERED SHS ISIN#	418.80	0.00	1.00	-1.00	100.0	-1.00
03/21/2017	CONCORDE, VARIOUS	GOLDMINING INC REGISTERED SHS ISIN#	97.72	0.00	1.00	-1.00	100.0	-1.00
03/21/2017	CONCORDE, VARIOUS	GOLDMINING INC REGISTERED SHS ISIN#	-516.52	0.00	0.00	0.00	100.0	0.00
03/17/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	-6,204.00	0.00	1.00	-1.00	100.0	-1.00
03/17/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	-10,297.64	0.00	1.00	-1.00	100.0	-1.00
03/17/2017	CONCORDE, VARIOUS	HEALTHCARE TR AMER INC CL A NEW	16,501.63	0.00	0.00	0.00	100.0	0.00
03/21/2017	CONCORDE, VARIOUS	INTEGRA GOLD CORP COM ISIN#CA45824L	297.05	0.00	1.00	-1.00	100.0	-1.00
03/21/2017	CONCORDE, VARIOUS	INTEGRA GOLD CORP COM ISIN#CA45824L	178.23	0.00	1.00	-1.00	100.0	-1.00
03/21/2017	CONCORDE, VARIOUS	INTEGRA GOLD CORP COM ISIN#CA45824L	-475.28	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-2,537.57	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-1,602.68	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-534.23	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-1,736.23	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	25,642.85	0.00	1.00	-1.00	100.0	-1.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-2,804.69	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-1,602.68	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-801.34	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-400.67	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-2,404.02	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-801.34	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-400.67	0.00	0.00	0.00	100.0	0.00

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<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
03/22/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-267.11	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-400.67	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-667.78	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-534.23	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-6,410.71	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-1,736.23	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-6,076.63	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-810.22	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	-9,452.53	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	ISHARES TR RUSSELL 2000 INDEX FD	16,339.38	0.00	1.00	-1.00	100.0	-1.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-23,913.18	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-9,470.57	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-9,944.09	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-7,102.92	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-5,208.81	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-40,013.14	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-9,470.57	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-5,682.34	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	71,030.61	0.00	1.00	-1.00	100.0	-1.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	208,824.61	0.00	1.00	-1.00	100.0	-1.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-20,835.25	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-36,461.68	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-5,445.58	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-11,838.21	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-5,682.34	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-35,751.39	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-22,492.59	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-22,966.12	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-7,576.45	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	70,874.97	0.00	1.00	-1.00	100.0	-1.00
03/28/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	95,678.42	0.00	1.00	-1.00	100.0	-1.00
03/28/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-68,747.57	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-91,899.67	0.00	0.00	0.00	100.0	0.00

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^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
03/28/2017	CONCORDE, VARIOUS	ISHARES TR S&P 500 INDEX FD	-5,906.15	0.00	0.00	0.00	100.0	0.00
03/14/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,994.00	0.00	1.00	-1.00	100.0	-1.00
03/14/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-997.00	0.00	1.00	-1.00	100.0	-1.00
03/14/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-997.00	0.00	1.00	-1.00	100.0	-1.00
03/14/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,994.00	0.00	1.00	-1.00	100.0	-1.00
03/14/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-10,967.00	0.00	1.00	-1.00	100.0	-1.00
03/14/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-997.00	0.00	1.00	-1.00	100.0	-1.00
03/14/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,335.98	0.00	1.00	-1.00	100.0	-1.00
03/14/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-997.00	0.00	1.00	-1.00	100.0	-1.00
03/14/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-3,559.29	0.00	1.00	-1.00	100.0	-1.00
03/14/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-997.00	0.00	1.00	-1.00	100.0	-1.00
03/14/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-658.02	0.00	1.00	-1.00	100.0	-1.00
03/14/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-3,310.04	0.00	1.00	-1.00	100.0	-1.00
03/14/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	28,803.33	0.00	0.00	0.00	100.0	0.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-2,714.21	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-40.36	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,009.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-211.89	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,009.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,008.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,009.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-2,018.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,008.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-15,135.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,008.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,008.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,008.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,008.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,008.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-2,016.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,008.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,008.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,008.00	0.00	1.00	-1.00	100.0	-1.00

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,008.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,008.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-3,024.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,008.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,008.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,008.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,008.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-3,024.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,008.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,008.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,008.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,008.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,008.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,008.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-60.42	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-3,024.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,007.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,007.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-946.58	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-60.42	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,007.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	60,498.60	0.00	0.00	0.00	100.0	0.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	25,643.52	0.00	0.00	0.00	100.0	0.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	29,121.12	0.00	0.00	0.00	100.0	0.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	12,470.69	0.00	0.00	0.00	100.0	0.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-4,032.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-3,398.65	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-4,032.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,008.01	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,008.00	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-28,113.12	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-24,635.52	0.00	1.00	-1.00	100.0	-1.00
03/15/2017	CONCORDE, VARIOUS	MONOGRAM RESIDENTIAL TR INC COM	-1,008.11	0.00	1.00	-1.00	100.0	-1.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
03/17/2017	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	521.00	0.00	1.00	-1.00	100.0	-1.00
03/17/2017	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	521.00	0.00	1.00	-1.00	100.0	-1.00
03/17/2017	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	521.00	0.00	1.00	-1.00	100.0	-1.00
03/17/2017	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	522.00	0.00	1.00	-1.00	100.0	-1.00
03/17/2017	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	5,210.00	0.00	1.00	-1.00	100.0	-1.00
03/17/2017	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	521.99	0.00	1.00	-1.00	100.0	-1.00
03/17/2017	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	522.00	0.00	1.00	-1.00	100.0	-1.00
03/17/2017	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	522.00	0.00	1.00	-1.00	100.0	-1.00
03/17/2017	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	8,874.00	0.00	1.00	-1.00	100.0	-1.00
03/17/2017	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	522.00	0.00	1.00	-1.00	100.0	-1.00
03/17/2017	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	522.00	0.00	1.00	-1.00	100.0	-1.00
03/17/2017	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	522.00	0.00	1.00	-1.00	100.0	-1.00
03/17/2017	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	1,044.00	0.00	1.00	-1.00	100.0	-1.00
03/17/2017	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	1,044.00	0.00	1.00	-1.00	100.0	-1.00
03/17/2017	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	1,566.00	0.00	1.00	-1.00	100.0	-1.00
03/17/2017	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	1,044.00	0.00	1.00	-1.00	100.0	-1.00
03/17/2017	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	1,044.00	0.00	1.00	-1.00	100.0	-1.00
03/17/2017	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	13,074.75	0.00	1.00	-1.00	100.0	-1.00
03/17/2017	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	1,046.00	0.00	1.00	-1.00	100.0	-1.00
03/17/2017	CONCORDE, VARIOUS	NEUBERGER BERMAN REAL ESTATE SECS I	-39,163.50	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-1,399.37	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-3,811.06	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-6,639.58	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-4,346.99	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-1,726.89	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-1,741.77	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-6,505.60	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-1,295.17	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-1,831.10	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-1,042.09	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-6,907.55	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-4,183.24	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-1,042.09	0.00	0.00	0.00	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
03/22/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	8,933.46	0.00	1.00	-1.00	100.0	-1.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	41,801.29	0.00	1.00	-1.00	100.0	-1.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-2,158.61	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-1,027.20	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-967.65	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-4,108.80	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-16,709.85	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-1,096.96	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	30.06	0.00	1.00	-1.00	100.0	-1.00
03/28/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	2,975.92	0.00	1.00	-1.00	100.0	-1.00
03/28/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	27,288.12	0.00	1.00	-1.00	100.0	-1.00
03/28/2017	CONCORDE, VARIOUS	POWERSHARES DB COMMODITY INDEX TRAC	-12,487.30	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-2,714.34	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-719.18	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-4,639.90	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-1,299.17	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-1,229.57	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-927.98	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-2,946.34	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-742.38	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-2,992.74	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	40,158.33	0.00	1.00	-1.00	100.0	-1.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-3,108.73	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-4,732.70	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-742.38	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-8,885.41	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-1,252.77	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-695.99	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-997.58	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-1,531.17	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	21,656.19	0.00	1.00	-1.00	100.0	-1.00
03/28/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-788.34	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-8,926.80	0.00	0.00	0.00	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
03/28/2017	CONCORDE, VARIOUS	POWERSHARES EXCHANGE-TRADED FD TR I	-11,941.05	0.00	0.00	0.00	100.0	0.00
03/16/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	55,477.66	0.00	0.00	0.00	100.0	0.00
03/16/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	-154.14	0.00	1.00	-1.00	100.0	-1.00
03/16/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	-2,569.01	0.00	1.00	-1.00	100.0	-1.00
03/16/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	-2,569.01	0.00	1.00	-1.00	100.0	-1.00
03/16/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	-2,569.01	0.00	1.00	-1.00	100.0	-1.00
03/16/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	-2,414.87	0.00	1.00	-1.00	100.0	-1.00
03/16/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	-45,201.55	0.00	1.00	-1.00	100.0	-1.00
03/16/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	66,332.71	0.00	0.00	0.00	100.0	0.00
03/16/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	66,950.74	0.00	0.00	0.00	100.0	0.00
03/16/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	-2,561.01	0.00	1.00	-1.00	100.0	-1.00
03/16/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	-2,561.01	0.00	1.00	-1.00	100.0	-1.00
03/16/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	-2,561.01	0.00	1.00	-1.00	100.0	-1.00
03/16/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	-58,649.60	0.00	1.00	-1.00	100.0	-1.00
03/16/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	-2,564.50	0.00	1.00	-1.00	100.0	-1.00
03/16/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	-2,564.50	0.00	1.00	-1.00	100.0	-1.00
03/16/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	-5,410.06	0.00	1.00	-1.00	100.0	-1.00
03/16/2017	CONCORDE, VARIOUS	SELECT INCOME REIT COM SH BEN INT	-56,411.74	0.00	1.00	-1.00	100.0	-1.00
03/20/2017	CONCORDE, VARIOUS	SPDR GOLD TR GOLD SHS	-20,076.60	0.00	0.00	0.00	100.0	0.00
03/20/2017	CONCORDE, VARIOUS	SPDR GOLD TR GOLD SHS	11,740.50	0.00	1.00	-1.00	100.0	-1.00
03/20/2017	CONCORDE, VARIOUS	SPDR GOLD TR GOLD SHS	8,336.10	0.00	1.00	-1.00	100.0	-1.00
03/22/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-4,906.37	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-15,332.40	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-9,506.09	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-3,066.48	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-2,453.18	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-2,146.54	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-3,986.42	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-2,146.54	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-10,119.38	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	116,526.24	0.00	1.00	-1.00	100.0	-1.00
03/22/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-3,986.42	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-2,146.54	0.00	0.00	0.00	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
03/22/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-3,986.42	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-16,558.99	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-8,892.79	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-9,506.09	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-15,025.75	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-2,759.83	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-2,469.47	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-38,894.16	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	-29,016.28	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	SPDR S&P MIDCAP 400 ETF TR UNIT SER	70,379.91	0.00	1.00	-1.00	100.0	-1.00
03/22/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-1,963.81	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-13,273.90	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-8,364.37	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-8,691.67	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-2,072.91	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-13,019.33	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-2,036.54	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-7,600.67	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	14,547.40	0.00	1.00	-1.00	100.0	-1.00
03/22/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	70,296.44	0.00	1.00	-1.00	100.0	-1.00
03/22/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-2,582.05	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-3,454.85	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-4,327.65	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-2,800.25	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-2,945.71	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-3,491.22	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-8,218.91	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	49,666.08	0.00	1.00	-1.00	100.0	-1.00
03/28/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	10,988.97	0.00	1.00	-1.00	100.0	-1.00
03/28/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-25,016.54	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-33,440.85	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	SPDR SER TR BARCLAYS HIGH YIELD BD	-2,197.65	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANECK VECTORS ETF TR HIGH-YIELD MU	5,744.94	0.00	1.00	-1.00	100.0	-1.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
03/22/2017	CONCORDE, VARIOUS	VANECK VECTORS ETF TR HIGH-YIELD MU	-3,647.58	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANECK VECTORS ETF TR HIGH-YIELD MU	-2,097.36	0.00	0.00	0.00	100.0	0.00
03/20/2017	CONCORDE, VARIOUS	VANGUARD CHARLOTTE FDS TOTAL INTL B	1,397.59	0.00	0.00	0.00	100.0	0.00
03/20/2017	CONCORDE, VARIOUS	VANGUARD CHARLOTTE FDS TOTAL INTL B	-6,235.41	0.00	1.00	-1.00	100.0	-1.00
03/20/2017	CONCORDE, VARIOUS	VANGUARD CHARLOTTE FDS TOTAL INTL B	1,451.34	0.00	0.00	0.00	100.0	0.00
03/20/2017	CONCORDE, VARIOUS	VANGUARD CHARLOTTE FDS TOTAL INTL B	430.03	0.00	0.00	0.00	100.0	0.00
03/20/2017	CONCORDE, VARIOUS	VANGUARD CHARLOTTE FDS TOTAL INTL B	2,956.44	0.00	0.00	0.00	100.0	0.00
03/27/2017	CONCORDE, VARIOUS	VANGUARD CHARLOTTE FDS TOTAL INTL B	-8,915.53	0.00	1.00	-1.00	100.0	-1.00
03/27/2017	CONCORDE, VARIOUS	VANGUARD CHARLOTTE FDS TOTAL INTL B	702.44	0.00	0.00	0.00	100.0	0.00
03/27/2017	CONCORDE, VARIOUS	VANGUARD CHARLOTTE FDS TOTAL INTL B	8,213.09	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-243.92	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-243.92	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-325.23	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-243.92	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-650.45	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-650.45	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	10,325.93	0.00	1.00	-1.00	100.0	-1.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-1,056.98	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-813.07	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-2,601.81	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-162.61	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-813.07	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-81.31	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-325.23	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-325.23	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-162.61	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-1,300.90	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-325.23	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-2,437.14	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-162.48	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	4,630.57	0.00	1.00	-1.00	100.0	-1.00
03/28/2017	CONCORDE, VARIOUS	VANGUARD INDEX FDS VANGUARD REIT ET	-2,030.95	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-25,624.91	0.00	0.00	0.00	100.0	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: STOCKS</b>								
03/22/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-91,211.34	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-73,207.22	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-53,393.16	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-93,068.90	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-19,575.91	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	381,039.20	0.00	1.00	-1.00	100.0	-1.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	307,451.65	0.00	1.00	-1.00	100.0	-1.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-24,529.42	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-58,775.35	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-24,338.90	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-30,340.27	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-13,717.42	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-57,727.49	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-14,717.65	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-18,194.64	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-60,918.69	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-14,765.28	0.00	0.00	0.00	100.0	0.00
03/22/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-14,384.24	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-234,095.77	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-15,500.48	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	-175,078.42	0.00	0.00	0.00	100.0	0.00
03/28/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	101,093.79	0.00	1.00	-1.00	100.0	-1.00
03/28/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	101,094.00	0.00	1.00	-1.00	100.0	-1.00
03/28/2017	CONCORDE, VARIOUS	VANGUARD INTL EQUITY INDEX FD INC F	222,486.90	0.00	1.00	-1.00	100.0	-1.00
03/16/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	-3,015.00	0.00	1.00	-1.00	100.0	-1.00
03/16/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	-3,015.00	0.00	1.00	-1.00	100.0	-1.00
03/16/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	-3,015.00	0.00	1.00	-1.00	100.0	-1.00
03/16/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	-3,010.00	0.00	1.00	-1.00	100.0	-1.00
03/16/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	-3,010.00	0.00	1.00	-1.00	100.0	-1.00
03/16/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	-3,010.00	0.00	1.00	-1.00	100.0	-1.00
03/16/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	-3,010.00	0.00	1.00	-1.00	100.0	-1.00
03/16/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	-6,020.00	0.00	1.00	-1.00	100.0	-1.00
03/16/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	-3,005.00	0.00	1.00	-1.00	100.0	-1.00

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
03/16/2017	CONCORDE, VARIOUS	WINNEBAGO INDUSTRIES INC	30,110.00	0.00	0.00	0.00	100.0	0.00
* STOCKS SUBTOTAL *			\$0.01	\$0.00	\$158.00	\$-158.00		\$-158.00
<b><u>PRODUCT CATEGORY: BONDS</u></b>								
03/13/2017	CONCORDE, VARIOUS	KING CNTY WASH HSG AUTH REV CORINTH	-14,262.30	0.00	18.00	-18.00	100.0	-18.00
03/13/2017	CONCORDE, VARIOUS	KING CNTY WASH HSG AUTH REV CORINTH	14,187.30	0.00	15.00	-15.00	100.0	-15.00
* BONDS SUBTOTAL *			\$-75.00	\$0.00	\$33.00	\$-33.00		\$-33.00
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
03/07/2017	LASALLE, BARBARA	GENWORTH CHOICE VA	344,274.13	286.89	0.00	286.89	100.0	286.89
03/07/2017	CICHEWICZ, RICHARD	GENWORTH CHOICE VA	38,835.45	32.36	0.00	32.36	100.0	32.36
03/07/2017	REEDY, DAVID	GENWORTH CHOICE VA	22,185.53	18.49	0.00	18.49	100.0	18.49
03/07/2017	GARBOWSKI, CHRISTOPHER	GENWORTH CHOICE VA	30,329.18	25.27	0.00	25.27	100.0	25.27
03/07/2017	GEORGE, CAROLE	GENWORTH CHOICE VA	146,190.97	121.83	0.00	121.83	100.0	121.83
03/07/2017	PUDIL, HSIU CHIN	GENWORTH COMMONWEALTH VA 1994 (NON-	609.74	0.10	0.00	0.10	100.0	0.10
03/07/2017	MACIEJEWSKI, ROBERT	GENWORTH COMMONWEALTH VA 1994 (NON-	25,378.47	4.23	0.00	4.23	100.0	4.23
02/16/2017	HORIBA, MASATAKE	GENWORTH INS	160,433.19	33.42	0.00	33.42	100.0	33.42
03/08/2017	HORIBA, MASATAKE	GENWORTH INS	170,843.77	35.59	0.00	35.59	100.0	35.59
03/08/2017	HORIBA, MASATAKE	GENWORTH INS	168,760.93	35.16	0.00	35.16	100.0	35.16
03/08/2017	HORIBA, MASATAKE	GENWORTH INS	166,674.96	34.72	0.00	34.72	100.0	34.72
03/08/2017	HORIBA, MASATAKE	GENWORTH INS	164,588.75	34.29	0.00	34.29	100.0	34.29
03/08/2017	HORIBA, MASATAKE	GENWORTH INS	162,509.12	33.86	0.00	33.86	100.0	33.86
03/08/2017	HORIBA, MASATAKE	GENWORTH INS	160,433.20	33.42	0.00	33.42	100.0	33.42
03/15/2017	FORMELLA, ANNETTE	GENWORTH INS	25.00	0.25	0.00	0.25	100.0	0.25
03/15/2017	FORMELLA, DAVID	GENWORTH INS	35.00	0.35	0.00	0.35	100.0	0.35
03/14/2017	RIVERS, BETH	NWL BEST OF AMERICA FPVUL	51.00	0.51	0.00	0.51	100.0	0.51
03/14/2017	WEBB, CONSTANCE	NWL BEST OF AMERICA FPVUL	57.00	0.57	0.00	0.57	100.0	0.57
03/22/2017	MOXON, HAROLD	OHIO NATL ONCORE PREMIER	21,525.56	53.81	0.00	53.81	100.0	53.81
03/16/2017	SUSSDORFF, EDWIN	PAC LIFE	0.00	71.76	0.00	71.76	100.0	71.76
03/13/2017	GUGLIELME, SAUNDRA	PAC LIFE PACIFIC CHOICE	0.00	40.07	0.00	40.07	100.0	40.07
02/02/2017	MCALLISTER, JEAN	TRANSAMERICA EXTRA	94,531.03	78.78	0.00	78.78	100.0	78.78
02/04/2017	BELTRAN, RANDOLPH	TRANSAMERICA EXTRA	111,797.90	93.16	0.00	93.16	100.0	93.16
02/27/2017	LEONARD, NITA	TRANSAMERICA EXTRA	48,656.76	40.55	0.00	40.55	100.0	40.55
02/12/2017	WILLIAMS, JESSIE	TRANSAMERICA LIBERTY	69,191.70	57.66	0.00	57.66	100.0	57.66

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<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
02/13/2017	MOORE, WILLIAM	TRANSAMERICA LIBERTY	9,467.98	7.89	0.00	7.89	100.0	7.89
02/28/2017	NIEMI TRUST, HARRY	TRANSAMERICA LIBERTY	43,483.54	36.24	0.00	36.24	100.0	36.24
02/24/2017	MORRISON, BARRETT	TRANSAMERICA PRINCIPIUM	75,293.72	62.74	0.00	62.74	100.0	62.74
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$2,236,163.58</b>	<b>\$1,273.97</b>	<b>\$0.00</b>	<b>\$1,273.97</b>		<b>\$1,273.97</b>
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
03/09/2017	PAI, YOGISH & ANITA	PAC LIFE VUL	700.00	14.00	0.00	14.00	100.0	14.00
03/10/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	2.35	0.00	2.35	100.0	2.35
03/17/2017	GOVE, VARIOUS	PAC LIFE VUL	0.00	25.88	0.00	25.88	100.0	25.88
<b>* VARIABLE UNIVERSAL LIFE SUBTOTAL *</b>			<b>\$700.00</b>	<b>\$42.23</b>	<b>\$0.00</b>	<b>\$42.23</b>		<b>\$42.23</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/08/2017	SANTANA, NICOLE	FIDELITY ADVISOR TRAILS	0.00	0.45	0.00	0.45	100.0	0.45
03/08/2017	MACK, NEIL	FIDELITY ADVISOR TRAILS	0.00	11.46	0.00	11.46	100.0	11.46
03/08/2017	AHERN, KEVIN	FIDELITY ADVISOR TRAILS	0.00	16.30	0.00	16.30	100.0	16.30
03/08/2017	ALEXANDER, DOUGLAS	FIDELITY ADVISOR TRAILS	0.00	2.01	0.00	2.01	100.0	2.01
02/27/2017	TWEED, VARIOUS	SARATOGA/JAMES FUND TRAILS	0.00	2.19	0.00	2.19	100.0	2.19
02/27/2017	RENEE TRUST (NEWTON), BEVERLY	SARATOGA/JAMES FUND TRAILS	0.00	0.31	0.00	0.31	100.0	0.31
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$32.72</b>	<b>\$0.00</b>	<b>\$32.72</b>		<b>\$32.72</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
03/10/2017	LUND, VARIOUS	ING RELIASTAR	0.00	772.45	0.00	772.45	100.0	772.45
03/17/2017	LUND, VARIOUS	ING RELIASTAR	0.00	166.73	0.00	166.73	100.0	166.73
03/11/2017	REESE, BARBARA	ING LANDMARK	0.00	227.68	0.00	227.68	100.0	227.68
02/28/2017	GOODING, VARIOUS	MUTUAL OF OMAHA INS CO	0.00	12.51	0.00	12.51	100.0	12.51
03/20/2017	LIGON, JIM	PAC LIFE	0.00	10.05	0.00	10.05	100.0	10.05
03/10/2017	DAVIS, PATRICIA	PAC LIFE INNOVATIVE SELECT	0.00	125.06	0.00	125.06	100.0	125.06
03/24/2017	WEST, JERRY	PAC LIFE ONE	0.00	15.22	0.00	15.22	100.0	15.22
03/20/2017	BATTALINI, ANTHONY	PAC LIFE PACIFIC CHOICE	0.00	33.23	0.00	33.23	100.0	33.23
03/07/2017	SELLS, SUSAN	PAC LIFE PORTFOLIOS	0.00	5.60	0.00	5.60	100.0	5.60
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$1,368.53</b>	<b>\$0.00</b>	<b>\$1,368.53</b>		<b>\$1,368.53</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$2,233,315.91</b>	<b>\$2,823.30</b>	<b>\$191.00</b>	<b>\$2,632.30</b>		<b>\$2,632.30</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: ACCOUNT HOUSE #1 </u></b>								
03/15/2017	LARA, DYLAN	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
03/15/2017	LARA, DEREK	AM FUNDS 2035 TARGET DATE-A	50.00	2.50	0.00	2.50	100.0	2.50
03/06/2017	SAGGAR, VADEESH	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	100.0	2.50
03/13/2017	ESSARY, BRADLEY	AM FUNDS AMERICAN MUTUAL A	115.00	4.31	0.00	4.31	100.0	4.31
03/06/2017	COX, DEBORAH	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.75	0.00	3.75	100.0	3.75
03/10/2017	BODO, DAVID	AM FUNDS CAPITAL INCOME BUILDER A	75.00	3.19	0.00	3.19	100.0	3.19
03/10/2017	BODO, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC A	75.00	3.19	0.00	3.19	100.0	3.19
03/13/2017	ESSARY, BRADLEY	AM FUNDS CAPITAL WORLD GRTH & INC A	115.00	4.31	0.00	4.31	100.0	4.31
03/10/2017	GREEN, WILLIAM	AM FUNDS GROWTH AND INCOME A	250.00	12.50	0.00	12.50	100.0	12.50
03/20/2017	HERNANDEZ, HECTOR	AM FUNDS GROWTH AND INCOME A	50.00	2.50	0.00	2.50	100.0	2.50
03/06/2017	HO, PAULINA	AM FUNDS GROWTH AND INCOME C	200.00	2.00	0.00	2.00	100.0	2.00
03/10/2017	BODO, DAVID	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.13	0.00	2.13	100.0	2.13
03/13/2017	ESSARY, BRADLEY	AM FUNDS GROWTH FUND OF AMERICA A	115.00	4.31	0.00	4.31	100.0	4.31
03/15/2017	HENRY, WYATT	AM FUNDS GROWTH FUND OF AMERICA A	50.00	2.50	0.00	2.50	100.0	2.50
03/06/2017	HO, PAULINA	AM FUNDS INCOME PORTFOLIO C	200.00	2.00	0.00	2.00	100.0	2.00
03/06/2017	AGUILAR, JOSE	AM FUNDS NEW ECONOMY 529A	50.00	2.50	0.00	2.50	100.0	2.50
03/13/2017	ESSARY, BRADLEY	AM FUNDS NEW PERSPECTIVE A	115.00	4.31	0.00	4.31	100.0	4.31
03/06/2017	SAGGAR, VADEESH	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	100.0	5.00
03/06/2017	COX, DEBORAH	AM FUNDS SMALLCAP WORLD A	75.00	3.75	0.00	3.75	100.0	3.75
* ACCOUNT HOUSE SUBTOTAL *			\$1,860.00	\$69.75	\$0.00	\$69.75		\$69.75
<b><u> BROKER: ANTHONY GUGLIELME #8 </u></b>								
03/15/2017	BRANDUZZI, DOMENIC	AM FUNDS EUROPACIFIC GROWTH FUND A	100.00	4.25	0.00	4.25	0.0	4.25
03/15/2017	BRANDUZZI, DOMENIC	AM FUNDS GROWTH FUND OF AMERICA A	100.00	4.25	0.00	4.25	0.0	4.25
03/23/2017	PETRELLA, RONALD	FT UNIT 5944 LOW VOLATILITY PORT SE	-45,807.50	0.00	44.00	-44.00	0.0	-44.00
* ANTHONY GUGLIELME SUBTOTAL *			\$-45,607.50	\$8.50	\$44.00	\$-35.50		\$-35.50
<b><u> BROKER: 101 CFGROUP/KOSANKE #9 </u></b>								
03/10/2017	HAWK, CYNTHIA	AM FUNDS NEW PERSPECTIVE A	100.00	5.00	0.00	5.00	95.0	5.00
* 101 CFGROUP/KOSANKE SUBTOTAL *			\$100.00	\$5.00	\$0.00	\$5.00		\$5.00
<b><u> BROKER: MATT BRANSTETTER #10 </u></b>								
03/15/2017	BENNETT, MARLA	AM FUNDS WASHINGTON MUTUAL INVESTOR	100.00	3.75	0.00	3.75	80.0	3.75
03/14/2017	ALLGIRE, ERNESTINE	PUTNAM EQUITY INCOME A	1,000.00	50.00	0.00	50.00	80.0	50.00
03/06/2017	ALLGIRE, ERNESTINE	PUTNAM GROWTH & INCOME A	1,960.88	98.04	0.00	98.04	80.0	98.04

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MATT BRANSTETTER #10 </u></b>								
03/10/2017	ALLGIRE, ERNESTINE	PUTNAM GROWTH & INCOME A	960.88	48.04	0.00	48.04	80.0	48.04
		<b>* MATT BRANSTETTER SUBTOTAL *</b>	<b>\$4,021.76</b>	<b>\$199.83</b>	<b>\$0.00</b>	<b>\$199.83</b>		<b>\$199.83</b>
<b><u> BROKER: DANIEL WOLF #11 </u></b>								
03/24/2017	CARIGNAN, NANCY	MML CM LIFE VUL	48,785.66	6.10	0.00	6.10	0.0	6.10
		<b>* DANIEL WOLF SUBTOTAL *</b>	<b>\$48,785.66</b>	<b>\$6.10</b>	<b>\$0.00</b>	<b>\$6.10</b>		<b>\$6.10</b>
<b><u> BROKER: EDWARD MCMANUS #25 </u></b>								
03/02/2017	PETREE, GREGORY	PRINCIPAL FUNDS CAPITAL APPRECIATIO	0.00	7.72	0.00	7.72	0.0	7.72
03/02/2017	SPENCER FAMILY TRUST	PRINCIPAL FUNDS CONSERVATIVE GROWTH	0.00	0.49	0.00	0.49	0.0	0.49
03/02/2017	THOMAS, ROSEMARIE	PRINCIPAL FUNDS CONSERVATIVE GROWTH	0.00	9.69	0.00	9.69	0.0	9.69
03/02/2017	LIGON, NANCY	PRINCIPAL FUNDS GLOBAL DIVERSIFIED	0.00	13.16	0.00	13.16	0.0	13.16
03/02/2017	JONES, SHERRY	PRINCIPAL FUNDS STRATEGIC GROWTH A	0.00	0.86	0.00	0.86	0.0	0.86
		<b>* EDWARD MCMANUS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$31.92</b>	<b>\$0.00</b>	<b>\$31.92</b>		<b>\$31.92</b>
<b><u> BROKER: ERIC HORNYAK #29 </u></b>								
03/08/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	275.00	10.31	0.00	10.31	0.0	10.31
03/08/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	0.0	24.23
03/08/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	120.00	4.50	0.00	4.50	0.0	4.50
03/08/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	0.0	24.23
03/24/2017	TANDESKI, MICHAEL	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	0.0	24.23
03/24/2017	TANDESKI, NANCY	AM FUNDS 2025 TARGET DATE-A	646.00	24.23	0.00	24.23	0.0	24.23
03/08/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	167.00	6.26	0.00	6.26	0.0	6.26
03/08/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	100.00	3.75	0.00	3.75	0.0	3.75
03/24/2017	TANDESKI, JOSHUA	AM FUNDS 2045 TARGET DATE-A	100.00	3.75	0.00	3.75	0.0	3.75
02/28/2017	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	0.90	0.00	0.90	0.0	0.90
02/28/2017	MARSHALL, ANGELA	BLK MODERATE PTF A	0.00	0.91	0.00	0.91	0.0	0.91
		<b>* ERIC HORNYAK SUBTOTAL *</b>	<b>\$3,346.00</b>	<b>\$127.30</b>	<b>\$0.00</b>	<b>\$127.30</b>		<b>\$127.30</b>
<b><u> BROKER: THOMAS BOAKS #33 </u></b>								
/ /	PITTE, JOELA	BLK GLOBAL ALLOCATION A	0.00	1.87	0.00	1.87	0.0	1.87
02/28/2017	WITT-AMANS	BLK GLOBAL ALLOCATION A	0.00	0.28	0.00	0.28	0.0	0.28
02/28/2017	SKIBA, TODD	BLK GLOBAL ALLOCATION A	0.00	5.49	0.00	5.49	0.0	5.49
		<b>* THOMAS BOAKS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$7.64</b>	<b>\$0.00</b>	<b>\$7.64</b>		<b>\$7.64</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: TIMOTHY BROWN #36</u></b>								
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	1.98	0.00	1.98	0.0	1.98
/ /	APPLEBY, SCOTT	PROFUNDS ULTRANASDAQ 100 SVC	0.00	5.93	0.00	5.93	0.0	5.93
03/03/2017	CODDING, CRAIG	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	2.66	0.00	2.66	0.0	2.66
* TIMOTHY BROWN SUBTOTAL *			\$0.00	\$10.57	\$0.00	\$10.57		\$10.57
<b><u>BROKER: BRUCE BAXTER #38</u></b>								
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.06	0.00	0.06	0.0	0.06
/ /	DENNIS, MARK	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.03	0.00	0.03	0.0	0.03
/ /	BAXTER, MEGAN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.06	0.00	0.06	0.0	0.06
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRA SMALLCAP SVC	0.00	0.17	0.00	0.17	0.0	0.17
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	5.37	0.00	5.37	0.0	5.37
/ /	HUFFMAN, JUSTIN	PROFUNDS ULTRANASDAQ 100 SVC	0.00	16.11	0.00	16.11	0.0	16.11
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	BAXTER, MEGAN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.01	0.00	0.01	0.0	0.01
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.04	0.00	0.04	0.0	0.04
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.13	0.00	0.13	0.0	0.13
/ /	DENNIS, MARK	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.07	0.00	0.07	0.0	0.07
/ /	BAXTER, MEGAN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.02	0.00	0.02	0.0	0.02
/ /	GOLSCH, LORI	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.13	0.00	0.13	0.0	0.13
/ /	GEIGER, JACQUELYN	PROFUNDS ULTRASHORT SMALLCAP SVC	0.00	0.39	0.00	0.39	0.0	0.39
* BRUCE BAXTER SUBTOTAL *			\$0.00	\$22.65	\$0.00	\$22.65		\$22.65
<b><u>BROKER: MELANIE DICENSO #43</u></b>								
03/06/2017	PETERSON, MARY	FRANKLIN INCOME A	49.95	2.00	0.00	2.00	0.0	2.00
03/06/2017	PETERSON, MARY	TEMPLETON GROWTH A	49.95	2.50	0.00	2.50	0.0	2.50
* MELANIE DICENSO SUBTOTAL *			\$99.90	\$4.50	\$0.00	\$4.50		\$4.50
<b><u>BROKER: ROBERT (RUSTY) TWEED #58</u></b>								
03/14/2017	VAN DE BRAKE, MARY	JH FINANCIAL INDUSTRIES A	0.00	1.91	0.00	1.91	0.0	1.91
03/14/2017	VAN DE BRAKE, ARTHUR	JH FINANCIAL INDUSTRIES A	0.00	1.90	0.00	1.90	0.0	1.90
03/14/2017	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	0.99	0.00	0.99	0.0	0.99
03/14/2017	PANTON, GARY	JH GOVERNMENT INCOME A	0.00	1.63	0.00	1.63	0.0	1.63

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: ROBERT (RUSTY) TWEED #58</u></b>								
03/14/2017	FURUKAWA, CLARA	JH STRATEGIC GROWTH A	0.00	1.64	0.00	1.64	0.0	1.64
* ROBERT (RUSTY) TWEED SUBTOTAL *			\$0.00	\$8.07	\$0.00	\$8.07		\$8.07
<b><u>BROKER: JIM LUND #60</u></b>								
03/15/2017	BARBOUR, ELEANOR	FA 529 PORT 2016 C	200.00	10.00	0.00	10.00	0.0	10.00
03/06/2017	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	5.00
03/06/2017	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	5.00
03/06/2017	HERNANDEZ, MARIA	FA 529 PORT 2025 A	200.00	10.00	0.00	10.00	0.0	10.00
03/15/2017	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	50.00	2.50	0.00	2.50	0.0	2.50
03/15/2017	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	50.00	2.50	0.00	2.50	0.0	2.50
03/15/2017	HERNANDEZ, MICHELLE	FA 529 PORT 2025 A	50.00	2.50	0.00	2.50	0.0	2.50
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	6.00	0.00	6.00	0.0	6.00
03/06/2017	THOMPSON, LESLIE	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	4.00
03/15/2017	WURLINGER, MARTIN	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	4.00
03/06/2017	PRESTON, JANEAN	FRANKLIN INCOME C	400.00	4.00	0.00	4.00	0.0	4.00
03/07/2017	RAMIREZ, MYRNA	FT FOUNDING FUNDS ALLOC A	650.00	18.20	0.00	18.20	0.0	18.20
03/17/2017	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	120.00	1.20	0.00	1.20	0.0	1.20
03/09/2017	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	200.00	9.50	0.00	9.50	0.0	9.50
03/07/2017	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	247.50	11.76	0.00	11.76	0.0	11.76
03/07/2017	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	82.50	3.92	0.00	3.92	0.0	3.92
/ /	GUTHRIE, JACOB	VAN ECK GLOBAL HARD ASSETS A	0.00	17.15	0.00	17.15	0.0	17.15
* JIM LUND SUBTOTAL *			\$2,650.00	\$117.23	\$0.00	\$117.23		\$117.23
<b><u>BROKER: JAMES PANOS #61</u></b>								
/ /	FITZGIBBON, EDMUND	FA 529 DIVERSIFIED INTL PORT C	0.00	0.70	0.00	0.70	0.0	0.70
03/15/2017	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	70.00	0.70	0.00	0.70	0.0	0.70
03/15/2017	FITZGIBBON, EDMUND	FA 529 EQUITY GROWTH PORT C	70.00	0.70	0.00	0.70	0.0	0.70
03/15/2017	MACUCH, PAUL	FA 529 PORT 2016 C	50.00	0.50	0.00	0.50	0.0	0.50
03/15/2017	MACUCH, PAUL	FA 529 PORT 2019 C	50.00	0.50	0.00	0.50	0.0	0.50
03/15/2017	DORAN, PATRICK	FA 529 PORT 2019 C	50.00	0.50	0.00	0.50	0.0	0.50
03/15/2017	LORA, MARCOS	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
03/15/2017	LORA, CATALINA	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
03/15/2017	DORAN, PATRICK	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
03/15/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JAMES PANOS #61</u></b>								
03/15/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
03/15/2017	MACUCH, PAUL	FA 529 PORT 2022 C	50.00	0.50	0.00	0.50	0.0	0.50
03/15/2017	LORA, ROLANDO	FA 529 PORT 2022 C	150.00	1.50	0.00	1.50	0.0	1.50
03/15/2017	MACUCH, PAUL	FA 529 PORT 2028 C	50.00	0.50	0.00	0.50	0.0	0.50
<b>* JAMES PANOS SUBTOTAL *</b>			<b>\$990.00</b>	<b>\$10.60</b>	<b>\$0.00</b>	<b>\$10.60</b>		<b>\$10.60</b>
<b><u>BROKER: RAYMOND LAWTON #79</u></b>								
03/15/2017	DAILEY, TREVA	POWER DIVIDEND INDEX FUND CLASS C	3,900.00	25.00	22.00	3.00	0.0	3.00
03/15/2017	DAILEY, TREVA	POWER DIVIDEND INDEX FUND CLASS C	1,600.00	25.00	22.00	3.00	0.0	3.00
<b>* RAYMOND LAWTON SUBTOTAL *</b>			<b>\$5,500.00</b>	<b>\$50.00</b>	<b>\$44.00</b>	<b>\$6.00</b>		<b>\$6.00</b>
<b><u>BROKER: RENE CASTRO #92</u></b>								
03/14/2017	BUSTOS, ARMANDO	JH HIGH YIELD A	0.00	2.16	0.00	2.16	0.0	2.16
03/14/2017	BUSTOS, ARMANDO	JH STRATEGIC INCOME A	0.00	8.36	0.00	8.36	0.0	8.36
<b>* RENE CASTRO SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$10.52</b>	<b>\$0.00</b>	<b>\$10.52</b>		<b>\$10.52</b>
<b><u>BROKER: DANIEL COLLINS #106</u></b>								
03/07/2017	PLUTSCHAK, ERNEST	GENWORTH COMMONWEALTH VA 1994 (NON-	5,584.35	0.93	0.00	0.93	0.0	0.93
03/07/2017	PLUTSCHAK, ERNEST	GENWORTH COMMONWEALTH VA 1994 (NON-	69,175.41	11.53	0.00	11.53	0.0	11.53
03/14/2017	EVANSUSA, DENISE	JH FINANCIAL INDUSTRIES A	0.00	1.37	0.00	1.37	0.0	1.37
03/14/2017	EVANSUSA, DENISE	JH FINANCIAL INDUSTRIES B	0.00	0.22	0.00	0.22	0.0	0.22
03/14/2017	EVANSUSA, DENISE	JH LARGE CAP EQUITY A	0.00	2.23	0.00	2.23	0.0	2.23
03/14/2017	EVANSUSA, DENISE	JH LARGE CAP EQUITY B	0.00	0.16	0.00	0.16	0.0	0.16
03/14/2017	EVANSUSA, DENISE	JH NEW OPPORTUNITIES A	0.00	1.72	0.00	1.72	0.0	1.72
03/14/2017	EVANSUSA, DENISE	JH STRATEGIC GROWTH A	0.00	1.65	0.00	1.65	0.0	1.65
<b>* DANIEL COLLINS SUBTOTAL *</b>			<b>\$74,759.76</b>	<b>\$19.81</b>	<b>\$0.00</b>	<b>\$19.81</b>		<b>\$19.81</b>
<b><u>BROKER: G118 GRECO #110</u></b>								
03/20/2017	GARZA, NATHAN	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	2.50	0.00	2.50	0.0	2.50
03/20/2017	GARZA, NATHAN	AM FUNDS NEW PERSPECTIVE 529A	50.00	2.50	0.00	2.50	0.0	2.50
<b>* G118 GRECO SUBTOTAL *</b>			<b>\$100.00</b>	<b>\$5.00</b>	<b>\$0.00</b>	<b>\$5.00</b>		<b>\$5.00</b>
<b><u>BROKER: JAMES KOESTER #117</u></b>								
03/15/2017	HAMILTON, LAWRENCE	JH 529 PORT 2021-2024 A	250.00	10.63	0.00	10.63	0.0	10.63
/ /	HAMILTON, LAWRENCE	JH 529 PORT 2025-2028 A	0.00	10.63	0.00	10.63	0.0	10.63
<b>* JAMES KOESTER SUBTOTAL *</b>			<b>\$250.00</b>	<b>\$21.26</b>	<b>\$0.00</b>	<b>\$21.26</b>		<b>\$21.26</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: JAY MCCLELLAN #120</u></b>								
03/15/2017	CRABTREE, ASHTYN	COLUMBIA STRATEGIC INVESTOR A	50.00	0.50	0.00	0.50	0.0	0.50
03/13/2017	CRABTREE, GARY	METLIFE	6,736.27	1.40	0.00	1.40	0.0	1.40
03/13/2017	CRABTREE, GARY	METLIFE	8,551.80	1.78	0.00	1.78	0.0	1.78
03/13/2017	CRABTREE, GARY	METLIFE	6,044.25	1.26	0.00	1.26	0.0	1.26
03/13/2017	CRABTREE, GARY	METLIFE	7,449.19	1.55	0.00	1.55	0.0	1.55
03/13/2017	CRABTREE, GARY	METLIFE	35,161.56	7.31	0.00	7.31	0.0	7.31
03/13/2017	CRABTREE, GARY	METLIFE	11,338.79	2.36	0.00	2.36	0.0	2.36
02/28/2017	SEEBACH, ROGER	RESOURCE R E DIVERSIFIED INC FUND A	0.00	2.53	0.00	2.53	0.0	2.53
02/28/2017	MATTHIEU, PAUL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	3.98	0.00	3.98	0.0	3.98
* JAY MCCLELLAN SUBTOTAL *			<b>\$75,331.86</b>	<b>\$22.67</b>	<b>\$0.00</b>	<b>\$22.67</b>		<b>\$22.67</b>
<b><u>BROKER: TIMOTHY KULHANEK #145</u></b>								
03/06/2017	NORDHUES, RONOTTA	AMERITAS MEDLEY	-34,045.92	-21.28	0.00	-21.28	0.0	-21.28
03/06/2017	NORDHUES, RONOTTA	AMERITAS MEDLEY	-36,570.55	-22.86	0.00	-22.86	0.0	-22.86
03/06/2017	NORDHUES, RONOTTA	AMERITAS MEDLEY	130.00	7.80	0.00	7.80	0.0	7.80
03/06/2017	NORDHUES, RONOTTA	AMERITAS MEDLEY	34,180.56	21.36	0.00	21.36	0.0	21.36
03/06/2017	NORDHUES, RONOTTA	AMERITAS MEDLEY	36,713.27	22.95	0.00	22.95	0.0	22.95
03/02/2017	STAMM, VIKKI	PRINCIPAL FUNDS CONSERVATIVE GROWTH	0.00	0.44	0.00	0.44	0.0	0.44
03/02/2017	BEBENSEE, CHELSIE	PRINCIPAL FUNDS SAM BALANCED PORT A	0.00	0.77	0.00	0.77	0.0	0.77
* TIMOTHY KULHANEK SUBTOTAL *			<b>\$407.36</b>	<b>\$9.18</b>	<b>\$0.00</b>	<b>\$9.18</b>		<b>\$9.18</b>
<b><u>BROKER: MICHELE BOYER #189</u></b>								
/ /	SOJKA, MICHAEL	VAN ECK GLOBAL HARD ASSETS A	0.00	2.16	0.00	2.16	0.0	2.16
* MICHELE BOYER SUBTOTAL *			<b>\$0.00</b>	<b>\$2.16</b>	<b>\$0.00</b>	<b>\$2.16</b>		<b>\$2.16</b>
<b><u>BROKER: THOMAS JAHNCKE #193</u></b>								
03/15/2017	GLOVER, FRANK	THORNBURG VALUE CLASS C	0.00	79.01	0.00	79.01	0.0	79.01
* THOMAS JAHNCKE SUBTOTAL *			<b>\$0.00</b>	<b>\$79.01</b>	<b>\$0.00</b>	<b>\$79.01</b>		<b>\$79.01</b>
<b><u>BROKER: MEREDITH MCCUTCHEN #221</u></b>								
03/15/2017	HAMMONS, LEO	PIONEER FUND A	50.00	2.50	0.00	2.50	0.0	2.50
* MEREDITH MCCUTCHEN SUBTOTAL *			<b>\$50.00</b>	<b>\$2.50</b>	<b>\$0.00</b>	<b>\$2.50</b>		<b>\$2.50</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: MARIA BONGIOVANNI #237</u></b>								
03/20/2017	NORCROSS, NANCY	METLIFE SERIES L	6,500.00	130.00	0.00	130.00	0.0	130.00
		<b>* MARIA BONGIOVANNI SUBTOTAL *</b>	<b>\$6,500.00</b>	<b>\$130.00</b>	<b>\$0.00</b>	<b>\$130.00</b>		<b>\$130.00</b>
<b><u>BROKER: WILLIAM THOMAS #239</u></b>								
03/15/2017	THOMAS, NATALIE	AM FUNDS AMCAP 529A	100.00	4.25	0.00	4.25	0.0	4.25
03/06/2017	WLODARCZYK, MARGARET	AM FUNDS AMCAP A	99.99	2.00	0.00	2.00	0.0	2.00
03/15/2017	VALICEVIC, ROBERT	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	0.0	0.50
03/06/2017	WLODARCZYK, MARGARET	AM FUNDS WASHINGTON MUTUAL INVESTOR	99.99	2.00	0.00	2.00	0.0	2.00
03/15/2017	SHERMAN, BROOKE	AMERICAN FDS GROWTH PORTFOLIO 529C	50.00	0.50	0.00	0.50	0.0	0.50
03/09/2017	BROOKS, CHARLES	NWL BOA NG FP VUL	5,000.00	100.00	0.00	100.00	0.0	100.00
03/16/2017	KUPPE, MARK	NWL BOA NG FP VUL	200.00	4.00	0.00	4.00	0.0	4.00
03/21/2017	KUPPE, PATRICIA	NWL BOA NG FP VUL	200.00	4.00	0.00	4.00	0.0	4.00
03/17/2017	THOMAS, MARIA	NWL NEXT GEN II FP VUL	250.00	5.00	0.00	5.00	0.0	5.00
		<b>* WILLIAM THOMAS SUBTOTAL *</b>	<b>\$6,049.98</b>	<b>\$122.25</b>	<b>\$0.00</b>	<b>\$122.25</b>		<b>\$122.25</b>
<b><u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u></b>								
03/15/2017	VANDERWAL, ELIZABETH	AM FUNDS AMERICAN BALANCED C	50.00	0.50	0.00	0.50	0.0	0.50
03/17/2017	FEY, MICHAEL	AM FUNDS AMERICAN BALANCED C	50.00	0.50	0.00	0.50	0.0	0.50
03/15/2017	BRACHER, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA-529	50.00	0.50	0.00	0.50	0.0	0.50
03/15/2017	BOWLBY, TAMIE	AMERICAN FUND COLLEGE 2027 FUND 529	200.00	7.00	0.00	7.00	0.0	7.00
03/15/2017	BOWLBY, BRET	AMERICAN FUNDS COLLEGE 2024 FD-529A	250.00	8.75	0.00	8.75	0.0	8.75
02/28/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.01	0.00	0.01	0.0	0.01
02/28/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.43	0.00	0.43	0.0	0.43
02/28/2017	WAX, LUBENA	BLACKROCK 19452P735	0.00	0.01	0.00	0.01	0.0	0.01
02/28/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	7.31	0.00	7.31	0.0	7.31
02/28/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	21.93	0.00	21.93	0.0	21.93
02/28/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	2.39	0.00	2.39	0.0	2.39
02/28/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	8.84	0.00	8.84	0.0	8.84
02/28/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	26.53	0.00	26.53	0.0	26.53
02/28/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	7.17	0.00	7.17	0.0	7.17
03/15/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	3.00
03/15/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	3.00	0.00	3.00	0.0	3.00
03/15/2017	POLL, ROGOR	FT EQUITY INC CL C	600.00	6.00	0.00	6.00	0.0	6.00
03/07/2017	BRINICH, EVA	NW LA YOUR LIFE PROTECTION	100.00	1.00	0.00	1.00	0.0	1.00

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<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u></b>								
03/15/2017	COCHRILL, MELANIE	NWL NEXT GEN II FP VUL	30.00	0.90	0.00	0.90	0.0	0.90
03/16/2017	BAIRD, KATHRYN	NWL NEXT GEN II FP VUL	142.00	4.26	0.00	4.26	0.0	4.26
03/22/2017	BAIRD, JASON	NWL NEXT GEN II FP VUL	144.00	4.32	0.00	4.32	0.0	4.32
03/15/2017	HINCHLIFFE, ERIC	NWL YOURLIFE ACCUM VUL	210.13	2.10	0.00	2.10	0.0	2.10
03/15/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	110.58	1.11	0.00	1.11	0.0	1.11
03/15/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	492.24	4.92	0.00	4.92	0.0	4.92
03/16/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	88.83	0.89	0.00	0.89	0.0	0.89
03/13/2017	REICH, MICHELLE	OPPENHEIMER FUND 68385E200	50.00	0.50	0.00	0.50	0.0	0.50
03/13/2017	REICH, MICHELLE	OPPENHEIMER PTF SERIES EQUITY INVES	50.00	0.50	0.00	0.50	0.0	0.50
03/17/2017	JOHNSTONE, WILLIAM	PAC LIFE VUL	152.00	1.52	0.00	1.52	0.0	1.52
03/06/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	25.00	1.19	0.00	1.19	0.0	1.19
02/01/2017	THOMPSON, MARGARET	TRANSAMERICA LIBERTY	18,056.30	15.05	0.00	15.05	0.0	15.05
<b>* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *</b>			<b>\$21,451.08</b>	<b>\$142.13</b>	<b>\$0.00</b>	<b>\$142.13</b>		<b>\$142.13</b>
<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>			<b>\$206,645.86</b>	<b>\$1,246.15</b>	<b>\$88.00</b>	<b>\$1,158.15</b>		<b>\$1,158.15</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$2,632.30
Split Transactions	\$0.00
Override Transactions	\$1,158.15
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,790.45
Year-to-date Gross	\$24,925.63
Year-to-date Earnings	\$53,592.58

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed