



Concorde Investment Services, LLC

12/28/2017

Page No. 1

COMMISSION STATEMENT

December 31, 2017

BRION NORBY
4905 Prairie Lane

BROKER #: N121
BRANCH: TRUWEALTH FINANCIAL

Williston, ND 58801-

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------|--------|------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|

SPLIT TRANSACTIONS

BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #10

| | | | | | | | | |
|------------|----------------|------------------------------------|--------|------|------|------|------|------|
| 12/20/2017 | LEE, DONALD | AM FUNDS BOND FUND OF AMERICA 529A | 16.50 | 0.20 | 0.00 | 0.20 | 90.0 | 0.18 |
| 12/20/2017 | LEE, DONALD | AM FUNDS BOND FUND OF AMERICA 529A | 16.50 | 0.20 | 0.00 | 0.20 | 90.0 | 0.18 |
| 12/20/2017 | LEE, DONALD | AM FUNDS BOND FUND OF AMERICA 529A | 16.50 | 0.20 | 0.00 | 0.20 | 90.0 | 0.18 |
| 12/20/2017 | LEE, DONALD | AM FUNDS BOND FUND OF AMERICA 529A | 16.50 | 0.20 | 0.00 | 0.20 | 90.0 | 0.18 |
| 12/20/2017 | LEE, DONALD | AM FUNDS BOND FUND OF AMERICA 529A | 16.50 | 0.20 | 0.00 | 0.20 | 90.0 | 0.18 |
| 12/20/2017 | LEE, DONALD | AM FUNDS BOND FUND OF AMERICA 529C | 16.50 | 0.07 | 0.00 | 0.07 | 90.0 | 0.06 |
| 12/20/2017 | LEE, DONALD | AM FUNDS BOND FUND OF AMERICA 529C | 16.50 | 0.07 | 0.00 | 0.07 | 90.0 | 0.06 |
| 12/20/2017 | LEE, DONALD | AM FUNDS BOND FUND OF AMERICA 529C | 16.50 | 0.07 | 0.00 | 0.07 | 90.0 | 0.06 |
| 12/01/2017 | KLINE, STEWART | AM FUNDS COLLEGE 2030 FUND 529A | 225.00 | 3.94 | 0.00 | 3.94 | 90.0 | 3.55 |
| 12/20/2017 | LEE, DONALD | AM FUNDS ICA 529A | 16.50 | 0.28 | 0.00 | 0.28 | 90.0 | 0.25 |
| 12/20/2017 | LEE, DONALD | AM FUNDS ICA 529A | 16.50 | 0.28 | 0.00 | 0.28 | 90.0 | 0.25 |
| 12/20/2017 | LEE, DONALD | AM FUNDS ICA 529A | 16.50 | 0.28 | 0.00 | 0.28 | 90.0 | 0.25 |
| 12/20/2017 | LEE, DONALD | AM FUNDS ICA 529A | 16.50 | 0.28 | 0.00 | 0.28 | 90.0 | 0.25 |
| 12/20/2017 | LEE, DONALD | AM FUNDS ICA 529A | 16.50 | 0.28 | 0.00 | 0.28 | 90.0 | 0.25 |
| 12/20/2017 | LEE, DONALD | AM FUNDS ICA 529C | 16.50 | 0.07 | 0.00 | 0.07 | 90.0 | 0.06 |
| 12/20/2017 | LEE, DONALD | AM FUNDS ICA 529C | 17.00 | 0.07 | 0.00 | 0.07 | 90.0 | 0.06 |
| 12/20/2017 | LEE, DONALD | AM FUNDS ICA 529C | 16.50 | 0.07 | 0.00 | 0.07 | 90.0 | 0.06 |
| 12/20/2017 | LEE, DONALD | AM FUNDS NEW PERSPECTIVE 529A | 17.00 | 0.29 | 0.00 | 0.29 | 90.0 | 0.26 |
| 12/20/2017 | LEE, DONALD | AM FUNDS NEW PERSPECTIVE 529A | 17.00 | 0.29 | 0.00 | 0.29 | 90.0 | 0.26 |
| 12/20/2017 | LEE, DONALD | AM FUNDS NEW PERSPECTIVE 529A | 17.00 | 0.29 | 0.00 | 0.29 | 90.0 | 0.26 |
| 12/20/2017 | LEE, DONALD | AM FUNDS NEW PERSPECTIVE 529A | 17.00 | 0.29 | 0.00 | 0.29 | 90.0 | 0.26 |
| 12/20/2017 | LEE, DONALD | AM FUNDS NEW PERSPECTIVE 529C | 17.00 | 0.07 | 0.00 | 0.07 | 90.0 | 0.06 |
| 12/20/2017 | LEE, DONALD | AM FUNDS NEW PERSPECTIVE 529C | 16.50 | 0.07 | 0.00 | 0.07 | 90.0 | 0.06 |
| 12/20/2017 | LEE, DONALD | AM FUNDS NEW PERSPECTIVE 529C | 17.00 | 0.07 | 0.00 | 0.07 | 90.0 | 0.06 |
| 12/20/2017 | LEE, DONALD | AM FUNDS SMALLCAP WORLD-529A | 50.00 | 0.85 | 0.00 | 0.85 | 90.0 | 0.77 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|--|-----------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>SPLIT TRANSACTIONS</u> | | | | | | | | |
| <u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #10</u> | | | | | | | | |
| 12/20/2017 | LEE, DONALD | AMERICAN FD GLOBAL GROWTH PORTFOLIO | 50.00 | 0.85 | 0.00 | 0.85 | 90.0 | 0.77 |
| 12/01/2017 | KLINE, STEWART | AMERICAN FUNDS COLLEGE 2033 FUND-52 | 180.00 | 3.15 | 0.00 | 3.15 | 90.0 | 2.84 |
| * BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL * | | | \$905.00 | \$13.27 | \$0.00 | \$13.27 | | \$11.92 |
| <u>BROKER: BLB BRENT/BRION TRU-LEE/NORBY #332</u> | | | | | | | | |
| 12/15/2017 | FEE, ERIC | COTTONWOOD MULTIFAMILY REIT II, INC | 75,000.00 | 0.00 | 0.00 | 0.00 | 90.0 | 0.00 |
| 12/18/2017 | MILLER, CHARLES | COTTONWOOD MULTIFAMILY REIT II, INC | 72,750.00 | 0.00 | 0.00 | 0.00 | 90.0 | 0.00 |
| * BLB BRENT/BRION TRU-LEE/NORBY SUBTOTAL * | | | \$147,750.00 | \$0.00 | \$0.00 | \$0.00 | | \$0.00 |
| <u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u> | | | | | | | | |
| 12/22/2017 | KASMER, SARA | COTTONWOOD MULTIFAMILY REIT II, INC | 35,000.00 | 0.00 | 0.00 | 0.00 | 90.0 | 0.00 |
| 12/11/2017 | SKAARE, LARRY | FS INVESTMENT CORP IV CLASS T | 0.00 | 13.82 | 0.00 | 13.82 | 90.0 | 12.44 |
| * BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL * | | | \$35,000.00 | \$13.82 | \$0.00 | \$13.82 | | \$12.44 |
| *** SPLIT TRANSACTIONS TOTAL *** | | | \$183,655.00 | \$27.09 | \$0.00 | \$27.09 | | \$24.36 |

ADJUSTMENTS

| | | | | | | | | |
|---------------------------|--|-----------------------------------|---------------|---------------|---------------|---------------|--|-----------------|
| 12/31/2017 | | *CONCIERGE SVC: 203162 BARTINGALE | 0.00 | | | | | -25.00 |
| 12/31/2017 | | *CONCIERGE SVC: 204730 KEMPER | 0.00 | | | | | -25.00 |
| 12/31/2017 | | *CONCIERGE SVC: 204998 FEE | 0.00 | | | | | -25.00 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-75.00 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

| | |
|-----------------------|----------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$24.36 |
| Override Transactions | \$0.00 |
| Adjustments | \$-75.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|--------------------|
| Balance Forward | \$-50.64 |
| Year-to-date Gross | \$72,914.15 |
| Year-to-date Earnings | \$65,532.09 |