



All Companies

02/14/2018  
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## COMMISSION STATEMENT

### February 15, 2018

JOHN HOWARD RUSSELL  
4618 118TH STREET

BROKER #: 9632  
BRANCH: HOME OFFICE

Lubbock, TX 79424-

#### COMMISSION STATEMENT for JOHN HOWARD RUSSELL

| TRADE DATE                            | CLIENT                                      | INVESTMENT                            | B/S | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---------------------------------------|---|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>     |   |                                       |     |                      |                     |                    |                   |      |                      |
| <b>PRODUCT CATEGORY: MUTUAL FUNDS</b> |   |                                       |     |                      |                     |                    |                   |      |                      |
| 02/07/2018                            | 00067852430 SADLER,<br>PAULA/TAYLOR         | CAPITAL INCOME BUILDER-529A           | B   | 100.00               | 3.75                | 0.00               | 3.75              | 85.0 | 3.19                 |
| 02/07/2018                            | 00067852431 SADLER,<br>PAULA/HAYDEN         | CAPITAL INCOME BUILDER-529A           | B   | 100.00               | 3.75                | 0.00               | 3.75              | 85.0 | 3.19                 |
| 02/07/2018                            | 00067852432 SADLER,<br>PAULA/MICHAEL        | CAPITAL INCOME BUILDER-529A           | B   | 100.00               | 3.75                | 0.00               | 3.75              | 85.0 | 3.19                 |
| 02/07/2018                            | 00068646098 SADLER,<br>PAULA/NATALIE        | CAPITAL INCOME BUILDER-529A           | B   | 100.00               | 3.75                | 0.00               | 3.75              | 85.0 | 3.19                 |
| 01/22/2018                            | 00083499515 SEYMORE, BENJAMIN               | CAPITAL WORLD GROWTH AND INCOME FUN B |     | 200.00               | 2.00                | 0.00               | 2.00              | 85.0 | 1.70                 |
| 02/08/2018                            | 00083499515 SEYMORE, BENJAMIN               | CAPITAL WORLD GROWTH AND INCOME FUN B |     | 200.00               | 2.00                | 0.00               | 2.00              | 85.0 | 1.70                 |
| 02/01/2018                            | 00083626829 SEYMORE, ROBERT                 | CAPITAL WORLD GROWTH AND INCOME FUN B |     | 150.00               | 1.50                | 0.00               | 1.50              | 85.0 | 1.28                 |
| 02/05/2018                            | 00084635934 GIBSON/KIDD,<br>ANTHONY/RYLAN   | FUNDAMENTAL INVESTORS-529C            | B   | 200.00               | 2.00                | 0.00               | 2.00              | 85.0 | 1.70                 |
| 01/22/2018                            | 00085797272 RUSSELL/PEEPLES,<br>DAVID/HAVEN | AMERICAN BALANCED FUND-529A           | B   | 50.00                | 1.38                | 0.00               | 1.38              | 85.0 | 1.17                 |
| 01/22/2018                            | 00085797295 RUSSELL/PEEPLES,<br>DAVID/KATE  | NEW PERSPECTIVE FUND-529A             | B   | 50.00                | 1.38                | 0.00               | 1.38              | 85.0 | 1.17                 |
| 01/22/2018                            | 00085797300 RUSSELL/PEEPLES,<br>DAVID/ELLE  | NEW PERSPECTIVE FUND-529A             | B   | 50.00                | 1.38                | 0.00               | 1.38              | 85.0 | 1.17                 |
| 01/22/2018                            | 00087000587 MORGAN,<br>CHERIE/CHASE         | CAPITAL INCOME BUILDER-529C           | B   | 100.00               | 1.00                | 0.00               | 1.00              | 85.0 | 0.85                 |
| 01/22/2018                            | 00087000599 MORGAN,<br>CHERIE/PIERCE        | CAPITAL INCOME BUILDER-529C           | B   | 100.00               | 1.00                | 0.00               | 1.00              | 85.0 | 0.85                 |
| 02/05/2018                            | 00087214046 WATSON,<br>CROSBY/BETSY         | CAPITAL WORLD GROWTH AND INCOME FUN B |     | 25.00                | 0.25                | 0.00               | 0.25              | 85.0 | 0.21                 |
| 02/06/2018                            | 00087621936 MORRIS, JACKSON                 | FUNDAMENTAL INVESTORS-C               | B   | 25.00                | 0.25                | 0.00               | 0.25              | 85.0 | 0.21                 |
| 01/26/2018                            | 00088263914 GREESON, JOHN D.                | CAPITAL INCOME BUILDER-C              | B   | 16.19                | 0.16                | 0.00               | 0.16              | 85.0 | 0.14                 |
| 01/26/2018                            | 00088263914 GREESON, JOHN D.                | CAPITAL INCOME BUILDER-C              | B   | 16.19                | 0.16                | 0.00               | 0.16              | 85.0 | 0.14                 |
| 02/02/2018                            | 00088263914 GREESON, JOHN D.                | CAPITAL INCOME BUILDER-C              | B   | 13.71                | 0.14                | 0.00               | 0.14              | 85.0 | 0.12                 |
| 02/02/2018                            | 00088263914 GREESON, JOHN D.                | CAPITAL INCOME BUILDER-C              | B   | 13.71                | 0.14                | 0.00               | 0.14              | 85.0 | 0.12                 |
| 02/07/2018                            | 00088263914 GREESON, JOHN D.                | CAPITAL INCOME BUILDER-C              | B   | 16.54                | 0.17                | 0.00               | 0.17              | 85.0 | 0.14                 |

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

| TRADE DATE                            | CLIENT      | INVESTMENT       | B/S | INVESTMENT<br>AMOUNT      | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---------------------------------------|-------------|------------------|-----|---------------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u>            |             |                  |     |                           |                     |                    |                   |      |                      |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> |             |                  |     |                           |                     |                    |                   |      |                      |
| 02/07/2018                            | 00088263914 | GREESON, JOHN D. |     | CAPITAL INCOME BUILDER-C  | B                   | 16.54              | 0.17              | 0.00 | 0.17 85.0 0.14       |
| 01/26/2018                            | 00088263914 | GREESON, JOHN D. |     | EUROPACIFIC GROWTH FUND-C | B                   | 32.88              | 0.33              | 0.00 | 0.33 85.0 0.28       |
| 01/26/2018                            | 00088263914 | GREESON, JOHN D. |     | EUROPACIFIC GROWTH FUND-C | B                   | 32.88              | 0.33              | 0.00 | 0.33 85.0 0.28       |
| 02/02/2018                            | 00088263914 | GREESON, JOHN D. |     | EUROPACIFIC GROWTH FUND-C | B                   | 27.84              | 0.28              | 0.00 | 0.28 85.0 0.24       |
| 02/02/2018                            | 00088263914 | GREESON, JOHN D. |     | EUROPACIFIC GROWTH FUND-C | B                   | 27.84              | 0.28              | 0.00 | 0.28 85.0 0.24       |
| 02/07/2018                            | 00088263914 | GREESON, JOHN D. |     | EUROPACIFIC GROWTH FUND-C | B                   | 33.59              | 0.34              | 0.00 | 0.34 85.0 0.29       |
| 02/07/2018                            | 00088263914 | GREESON, JOHN D. |     | EUROPACIFIC GROWTH FUND-C | B                   | 33.59              | 0.34              | 0.00 | 0.34 85.0 0.29       |
| 02/02/2018                            | 00088263934 | DUNN, JOSEPH C.  |     | FUNDAMENTAL INVESTORS-C   | B                   | 37.50              | 0.38              | 0.00 | 0.38 85.0 0.32       |
| 02/02/2018                            | 00088263934 | DUNN, JOSEPH C.  |     | FUNDAMENTAL INVESTORS-C   | B                   | 37.50              | 0.38              | 0.00 | 0.38 85.0 0.32       |
| 02/07/2018                            | 00088263934 | DUNN, JOSEPH C.  |     | FUNDAMENTAL INVESTORS-C   | B                   | 37.50              | 0.38              | 0.00 | 0.38 85.0 0.32       |
| 02/07/2018                            | 00088263934 | DUNN, JOSEPH C.  |     | FUNDAMENTAL INVESTORS-C   | B                   | 37.50              | 0.38              | 0.00 | 0.38 85.0 0.32       |
| 02/02/2018                            | 00088263934 | DUNN, JOSEPH C.  |     | NEW PERSPECTIVE FUND-C    | B                   | 37.50              | 0.38              | 0.00 | 0.38 85.0 0.32       |
| 02/02/2018                            | 00088263934 | DUNN, JOSEPH C.  |     | NEW PERSPECTIVE FUND-C    | B                   | 37.50              | 0.38              | 0.00 | 0.38 85.0 0.32       |
| 02/07/2018                            | 00088263934 | DUNN, JOSEPH C.  |     | NEW PERSPECTIVE FUND-C    | B                   | 37.50              | 0.38              | 0.00 | 0.38 85.0 0.32       |
| 02/07/2018                            | 00088263934 | DUNN, JOSEPH C.  |     | NEW PERSPECTIVE FUND-C    | B                   | 37.50              | 0.38              | 0.00 | 0.38 85.0 0.32       |
| 01/26/2018                            | 00088263943 | BISHOP, MASON W. |     | EUROPACIFIC GROWTH FUND-C | B                   | 12.53              | 0.13              | 0.00 | 0.13 85.0 0.11       |
| 01/26/2018                            | 00088263943 | BISHOP, MASON W. |     | EUROPACIFIC GROWTH FUND-C | B                   | 12.53              | 0.13              | 0.00 | 0.13 85.0 0.11       |
| 02/02/2018                            | 00088263943 | BISHOP, MASON W. |     | EUROPACIFIC GROWTH FUND-C | B                   | 12.53              | 0.13              | 0.00 | 0.13 85.0 0.11       |
| 02/02/2018                            | 00088263943 | BISHOP, MASON W. |     | EUROPACIFIC GROWTH FUND-C | B                   | 12.53              | 0.13              | 0.00 | 0.13 85.0 0.11       |
| 02/07/2018                            | 00088263943 | BISHOP, MASON W. |     | EUROPACIFIC GROWTH FUND-C | B                   | 12.53              | 0.13              | 0.00 | 0.13 85.0 0.11       |
| 02/07/2018                            | 00088263943 | BISHOP, MASON W. |     | EUROPACIFIC GROWTH FUND-C | B                   | 12.53              | 0.13              | 0.00 | 0.13 85.0 0.11       |
| 01/26/2018                            | 00088263943 | BISHOP, MASON W. |     | NEW PERSPECTIVE FUND-C    | B                   | 12.52              | 0.13              | 0.00 | 0.13 85.0 0.11       |
| 01/26/2018                            | 00088263943 | BISHOP, MASON W. |     | NEW PERSPECTIVE FUND-C    | B                   | 12.52              | 0.13              | 0.00 | 0.13 85.0 0.11       |
| 02/02/2018                            | 00088263943 | BISHOP, MASON W. |     | NEW PERSPECTIVE FUND-C    | B                   | 12.52              | 0.13              | 0.00 | 0.13 85.0 0.11       |
| 02/02/2018                            | 00088263943 | BISHOP, MASON W. |     | NEW PERSPECTIVE FUND-C    | B                   | 12.52              | 0.13              | 0.00 | 0.13 85.0 0.11       |
| 02/07/2018                            | 00088263943 | BISHOP, MASON W. |     | NEW PERSPECTIVE FUND-C    | B                   | 12.52              | 0.13              | 0.00 | 0.13 85.0 0.11       |
| 02/07/2018                            | 00088263943 | BISHOP, MASON W. |     | NEW PERSPECTIVE FUND-C    | B                   | 12.52              | 0.13              | 0.00 | 0.13 85.0 0.11       |
| 01/26/2018                            | 00088263961 | GENTRY, ANGIE L. |     | EUROPACIFIC GROWTH FUND-C | B                   | 13.89              | 0.14              | 0.00 | 0.14 85.0 0.12       |
| 01/26/2018                            | 00088263961 | GENTRY, ANGIE L. |     | EUROPACIFIC GROWTH FUND-C | B                   | 13.89              | 0.14              | 0.00 | 0.14 85.0 0.12       |
| 02/02/2018                            | 00088263961 | GENTRY, ANGIE L. |     | EUROPACIFIC GROWTH FUND-C | B                   | 13.89              | 0.14              | 0.00 | 0.14 85.0 0.12       |
| 02/02/2018                            | 00088263961 | GENTRY, ANGIE L. |     | EUROPACIFIC GROWTH FUND-C | B                   | 13.89              | 0.14              | 0.00 | 0.14 85.0 0.12       |
| 02/07/2018                            | 00088263961 | GENTRY, ANGIE L. |     | EUROPACIFIC GROWTH FUND-C | B                   | 13.89              | 0.14              | 0.00 | 0.14 85.0 0.12       |
| 02/07/2018                            | 00088263961 | GENTRY, ANGIE L. |     | EUROPACIFIC GROWTH FUND-C | B                   | 13.89              | 0.14              | 0.00 | 0.14 85.0 0.12       |
| 01/26/2018                            | 00088263961 | GENTRY, ANGIE L. |     | NEW PERSPECTIVE FUND-C    | B                   | 13.89              | 0.14              | 0.00 | 0.14 85.0 0.12       |
| 01/26/2018                            | 00088263961 | GENTRY, ANGIE L. |     | NEW PERSPECTIVE FUND-C    | B                   | 13.89              | 0.14              | 0.00 | 0.14 85.0 0.12       |
| 02/02/2018                            | 00088263961 | GENTRY, ANGIE L. |     | NEW PERSPECTIVE FUND-C    | B                   | 13.89              | 0.14              | 0.00 | 0.14 85.0 0.12       |
| 02/02/2018                            | 00088263961 | GENTRY, ANGIE L. |     | NEW PERSPECTIVE FUND-C    | B                   | 13.89              | 0.14              | 0.00 | 0.14 85.0 0.12       |
| 02/07/2018                            | 00088263961 | GENTRY, ANGIE L. |     | NEW PERSPECTIVE FUND-C    | B                   | 13.89              | 0.14              | 0.00 | 0.14 85.0 0.12       |
| 02/07/2018                            | 00088263961 | GENTRY, ANGIE L. |     | NEW PERSPECTIVE FUND-C    | B                   | 13.89              | 0.14              | 0.00 | 0.14 85.0 0.12       |
| 01/26/2018                            | 00088263979 | MESEY, BRIAN K.  |     | FUNDAMENTAL INVESTORS-C   | B                   | 12.90              | 0.13              | 0.00 | 0.13 85.0 0.11       |

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

| TRADE DATE                            | CLIENT      | INVESTMENT       | B/S | INVESTMENT<br>AMOUNT         | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---------------------------------------|-------------|------------------|-----|------------------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u>            |             |                  |     |                              |                     |                    |                   |      |                      |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> |             |                  |     |                              |                     |                    |                   |      |                      |
| 01/26/2018                            | 00088263979 | MESEY, BRIAN K.  |     | FUNDAMENTAL INVESTORS-C      | B                   | 12.90              | 0.13              | 0.00 | 0.13 85.0 0.11       |
| 02/02/2018                            | 00088263979 | MESEY, BRIAN K.  |     | FUNDAMENTAL INVESTORS-C      | B                   | 12.90              | 0.13              | 0.00 | 0.13 85.0 0.11       |
| 02/02/2018                            | 00088263979 | MESEY, BRIAN K.  |     | FUNDAMENTAL INVESTORS-C      | B                   | 12.90              | 0.13              | 0.00 | 0.13 85.0 0.11       |
| 02/07/2018                            | 00088263979 | MESEY, BRIAN K.  |     | FUNDAMENTAL INVESTORS-C      | B                   | 12.90              | 0.13              | 0.00 | 0.13 85.0 0.11       |
| 02/07/2018                            | 00088263979 | MESEY, BRIAN K.  |     | FUNDAMENTAL INVESTORS-C      | B                   | 12.90              | 0.13              | 0.00 | 0.13 85.0 0.11       |
| 01/26/2018                            | 00088263979 | MESEY, BRIAN K.  |     | THE GROWTH FUND OF AMERICA-C | B                   | 19.35              | 0.19              | 0.00 | 0.19 85.0 0.16       |
| 01/26/2018                            | 00088263979 | MESEY, BRIAN K.  |     | THE GROWTH FUND OF AMERICA-C | B                   | 19.35              | 0.19              | 0.00 | 0.19 85.0 0.16       |
| 02/02/2018                            | 00088263979 | MESEY, BRIAN K.  |     | THE GROWTH FUND OF AMERICA-C | B                   | 19.35              | 0.19              | 0.00 | 0.19 85.0 0.16       |
| 02/02/2018                            | 00088263979 | MESEY, BRIAN K.  |     | THE GROWTH FUND OF AMERICA-C | B                   | 19.35              | 0.19              | 0.00 | 0.19 85.0 0.16       |
| 02/07/2018                            | 00088263979 | MESEY, BRIAN K.  |     | THE GROWTH FUND OF AMERICA-C | B                   | 19.35              | 0.19              | 0.00 | 0.19 85.0 0.16       |
| 02/07/2018                            | 00088263979 | MESEY, BRIAN K.  |     | THE GROWTH FUND OF AMERICA-C | B                   | 19.35              | 0.19              | 0.00 | 0.19 85.0 0.16       |
| 01/26/2018                            | 00088270587 | MILLER, CLIFFORD |     | NEW PERSPECTIVE FUND-C       | B                   | 21.75              | 0.22              | 0.00 | 0.22 85.0 0.19       |
|                                       |             | C.               |     |                              |                     |                    |                   |      |                      |
| 01/26/2018                            | 00088270587 | MILLER, CLIFFORD |     | NEW PERSPECTIVE FUND-C       | B                   | 21.75              | 0.22              | 0.00 | 0.22 85.0 0.19       |
|                                       |             | C.               |     |                              |                     |                    |                   |      |                      |
| 02/02/2018                            | 00088270587 | MILLER, CLIFFORD |     | NEW PERSPECTIVE FUND-C       | B                   | 21.75              | 0.22              | 0.00 | 0.22 85.0 0.19       |
|                                       |             | C.               |     |                              |                     |                    |                   |      |                      |
| 02/02/2018                            | 00088270587 | MILLER, CLIFFORD |     | NEW PERSPECTIVE FUND-C       | B                   | 21.75              | 0.22              | 0.00 | 0.22 85.0 0.19       |
|                                       |             | C.               |     |                              |                     |                    |                   |      |                      |
| 02/07/2018                            | 00088270587 | MILLER, CLIFFORD |     | NEW PERSPECTIVE FUND-C       | B                   | 21.75              | 0.22              | 0.00 | 0.22 85.0 0.19       |
|                                       |             | C.               |     |                              |                     |                    |                   |      |                      |
| 02/07/2018                            | 00088270587 | MILLER, CLIFFORD |     | NEW PERSPECTIVE FUND-C       | B                   | 21.75              | 0.22              | 0.00 | 0.22 85.0 0.19       |
|                                       |             | C.               |     |                              |                     |                    |                   |      |                      |
| 01/26/2018                            | 00088270587 | MILLER, CLIFFORD |     | THE GROWTH FUND OF AMERICA-C | B                   | 21.75              | 0.22              | 0.00 | 0.22 85.0 0.19       |
|                                       |             | C.               |     |                              |                     |                    |                   |      |                      |
| 01/26/2018                            | 00088270587 | MILLER, CLIFFORD |     | THE GROWTH FUND OF AMERICA-C | B                   | 21.75              | 0.22              | 0.00 | 0.22 85.0 0.19       |
|                                       |             | C.               |     |                              |                     |                    |                   |      |                      |
| 02/02/2018                            | 00088270587 | MILLER, CLIFFORD |     | THE GROWTH FUND OF AMERICA-C | B                   | 21.75              | 0.22              | 0.00 | 0.22 85.0 0.19       |
|                                       |             | C.               |     |                              |                     |                    |                   |      |                      |
| 02/02/2018                            | 00088270587 | MILLER, CLIFFORD |     | THE GROWTH FUND OF AMERICA-C | B                   | 21.75              | 0.22              | 0.00 | 0.22 85.0 0.19       |
|                                       |             | C.               |     |                              |                     |                    |                   |      |                      |
| 02/07/2018                            | 00088270587 | MILLER, CLIFFORD |     | THE GROWTH FUND OF AMERICA-C | B                   | 21.75              | 0.22              | 0.00 | 0.22 85.0 0.19       |
|                                       |             | C.               |     |                              |                     |                    |                   |      |                      |
| 02/07/2018                            | 00088270587 | MILLER, CLIFFORD |     | THE GROWTH FUND OF AMERICA-C | B                   | 21.75              | 0.22              | 0.00 | 0.22 85.0 0.19       |
|                                       |             | C.               |     |                              |                     |                    |                   |      |                      |
| 02/02/2018                            | 00088272149 | DUNN, BECKY      |     | CAPITAL INCOME BUILDER-C     | B                   | 4.36               | 0.04              | 0.00 | 0.04 85.0 0.03       |
| 02/02/2018                            | 00088272149 | DUNN, BECKY      |     | CAPITAL INCOME BUILDER-C     | B                   | 4.36               | 0.04              | 0.00 | 0.04 85.0 0.03       |
| 02/07/2018                            | 00088272149 | DUNN, BECKY      |     | CAPITAL INCOME BUILDER-C     | B                   | 15.00              | 0.15              | 0.00 | 0.15 85.0 0.13       |
| 02/07/2018                            | 00088272149 | DUNN, BECKY      |     | CAPITAL INCOME BUILDER-C     | B                   | 4.36               | 0.04              | 0.00 | 0.04 85.0 0.03       |
| 02/02/2018                            | 00088272149 | DUNN, BECKY      |     | THE BOND FUND OF AMERICA-C   | B                   | 4.35               | 0.04              | 0.00 | 0.04 85.0 0.03       |

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

| TRADE DATE                            | CLIENT      | INVESTMENT          | B/S | INVESTMENT<br>AMOUNT                  | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---------------------------------------|-------------|---------------------|-----|---------------------------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u>            |             |                     |     |                                       |                     |                    |                   |      |                      |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> |             |                     |     |                                       |                     |                    |                   |      |                      |
| 02/02/2018                            | 00088272149 | DUNN, BECKY         |     | THE BOND FUND OF AMERICA-C            | B                   | 4.35               | 0.04              | 0.00 | 0.04 85.0 0.03       |
| 02/07/2018                            | 00088272149 | DUNN, BECKY         |     | THE BOND FUND OF AMERICA-C            | B                   | 4.35               | 0.04              | 0.00 | 0.04 85.0 0.03       |
| 02/07/2018                            | 00088272149 | DUNN, BECKY         |     | THE BOND FUND OF AMERICA-C            | B                   | 15.00              | 0.15              | 0.00 | 0.15 85.0 0.13       |
| 02/02/2018                            | 00088272160 | DUNN, CHESTER R.    |     | SMALLCAP WORLD FUND-C                 | B                   | 126.00             | 1.26              | 0.00 | 1.26 85.0 1.07       |
| 02/02/2018                            | 00088272160 | DUNN, CHESTER R.    |     | SMALLCAP WORLD FUND-C                 | B                   | 126.00             | 1.26              | 0.00 | 1.26 85.0 1.07       |
| 02/07/2018                            | 00088272160 | DUNN, CHESTER R.    |     | SMALLCAP WORLD FUND-C                 | B                   | 126.00             | 1.26              | 0.00 | 1.26 85.0 1.07       |
| 02/07/2018                            | 00088272160 | DUNN, CHESTER R.    |     | SMALLCAP WORLD FUND-C                 | B                   | 126.00             | 1.26              | 0.00 | 1.26 85.0 1.07       |
| 01/26/2018                            | 00088495479 | SECHRIST, STEVE     |     | CAPITAL INCOME BUILDER-C              | B                   | 6.94               | 0.07              | 0.00 | 0.07 85.0 0.06       |
| 01/26/2018                            | 00088495479 | SECHRIST, STEVE     |     | CAPITAL INCOME BUILDER-C              | B                   | 6.94               | 0.07              | 0.00 | 0.07 85.0 0.06       |
| 02/02/2018                            | 00088495479 | SECHRIST, STEVE     |     | CAPITAL INCOME BUILDER-C              | B                   | 6.94               | 0.07              | 0.00 | 0.07 85.0 0.06       |
| 02/02/2018                            | 00088495479 | SECHRIST, STEVE     |     | CAPITAL INCOME BUILDER-C              | B                   | 6.94               | 0.07              | 0.00 | 0.07 85.0 0.06       |
| 02/07/2018                            | 00088495479 | SECHRIST, STEVE     |     | CAPITAL INCOME BUILDER-C              | B                   | 6.94               | 0.07              | 0.00 | 0.07 85.0 0.06       |
| 02/07/2018                            | 00088495479 | SECHRIST, STEVE     |     | CAPITAL INCOME BUILDER-C              | B                   | 6.94               | 0.07              | 0.00 | 0.07 85.0 0.06       |
| 01/26/2018                            | 00088495479 | SECHRIST, STEVE     |     | CAPITAL WORLD GROWTH AND INCOME FUN B |                     | 13.87              | 0.14              | 0.00 | 0.14 85.0 0.12       |
| 01/26/2018                            | 00088495479 | SECHRIST, STEVE     |     | CAPITAL WORLD GROWTH AND INCOME FUN B |                     | 13.88              | 0.14              | 0.00 | 0.14 85.0 0.12       |
| 02/02/2018                            | 00088495479 | SECHRIST, STEVE     |     | CAPITAL WORLD GROWTH AND INCOME FUN B |                     | 13.87              | 0.14              | 0.00 | 0.14 85.0 0.12       |
| 02/02/2018                            | 00088495479 | SECHRIST, STEVE     |     | CAPITAL WORLD GROWTH AND INCOME FUN B |                     | 13.88              | 0.14              | 0.00 | 0.14 85.0 0.12       |
| 02/07/2018                            | 00088495479 | SECHRIST, STEVE     |     | CAPITAL WORLD GROWTH AND INCOME FUN B |                     | 13.88              | 0.14              | 0.00 | 0.14 85.0 0.12       |
| 02/07/2018                            | 00088495479 | SECHRIST, STEVE     |     | CAPITAL WORLD GROWTH AND INCOME FUN B |                     | 13.87              | 0.14              | 0.00 | 0.14 85.0 0.12       |
| 01/26/2018                            | 00088495479 | SECHRIST, STEVE     |     | THE GROWTH FUND OF AMERICA-C          | B                   | 6.94               | 0.07              | 0.00 | 0.07 85.0 0.06       |
| 01/26/2018                            | 00088495479 | SECHRIST, STEVE     |     | THE GROWTH FUND OF AMERICA-C          | B                   | 6.93               | 0.07              | 0.00 | 0.07 85.0 0.06       |
| 02/02/2018                            | 00088495479 | SECHRIST, STEVE     |     | THE GROWTH FUND OF AMERICA-C          | B                   | 6.94               | 0.07              | 0.00 | 0.07 85.0 0.06       |
| 02/02/2018                            | 00088495479 | SECHRIST, STEVE     |     | THE GROWTH FUND OF AMERICA-C          | B                   | 6.93               | 0.07              | 0.00 | 0.07 85.0 0.06       |
| 02/07/2018                            | 00088495479 | SECHRIST, STEVE     |     | THE GROWTH FUND OF AMERICA-C          | B                   | 6.93               | 0.07              | 0.00 | 0.07 85.0 0.06       |
| 02/07/2018                            | 00088495479 | SECHRIST, STEVE     |     | THE GROWTH FUND OF AMERICA-C          | B                   | 6.94               | 0.07              | 0.00 | 0.07 85.0 0.06       |
| 01/26/2018                            | 00088753150 | MCBRIDE, STEVEN M.  |     | AMERICAN FUNDS 2040 TARGET DATE-C     | B                   | 61.56              | 0.62              | 0.00 | 0.62 85.0 0.53       |
| 01/26/2018                            | 00088753150 | MCBRIDE, STEVEN M.  |     | AMERICAN FUNDS 2040 TARGET DATE-C     | B                   | 61.56              | 0.62              | 0.00 | 0.62 85.0 0.53       |
| 02/02/2018                            | 00088753150 | MCBRIDE, STEVEN M.  |     | AMERICAN FUNDS 2040 TARGET DATE-C     | B                   | 61.56              | 0.62              | 0.00 | 0.62 85.0 0.53       |
| 02/02/2018                            | 00088753150 | MCBRIDE, STEVEN M.  |     | AMERICAN FUNDS 2040 TARGET DATE-C     | B                   | 61.56              | 0.62              | 0.00 | 0.62 85.0 0.53       |
| 02/07/2018                            | 00088753150 | MCBRIDE, STEVEN M.  |     | AMERICAN FUNDS 2040 TARGET DATE-C     | B                   | 61.56              | 0.62              | 0.00 | 0.62 85.0 0.53       |
| 02/07/2018                            | 00088753150 | MCBRIDE, STEVEN M.  |     | AMERICAN FUNDS 2040 TARGET DATE-C     | B                   | 61.56              | 0.62              | 0.00 | 0.62 85.0 0.53       |
| 01/26/2018                            | 00089144953 | RODRIGUEZ, JOHNATAN |     | SMALLCAP WORLD FUND-C                 | B                   | 15.00              | 0.15              | 0.00 | 0.15 85.0 0.13       |
| 01/26/2018                            | 00089144953 | RODRIGUEZ, JOHNATAN |     | SMALLCAP WORLD FUND-C                 | B                   | 15.00              | 0.15              | 0.00 | 0.15 85.0 0.13       |
| 02/02/2018                            | 00089144953 | RODRIGUEZ, JOHNATAN |     | SMALLCAP WORLD FUND-C                 | B                   | 15.00              | 0.15              | 0.00 | 0.15 85.0 0.13       |
| 02/02/2018                            | 00089144953 | RODRIGUEZ, JOHNATAN |     | SMALLCAP WORLD FUND-C                 | B                   | 15.00              | 0.15              | 0.00 | 0.15 85.0 0.13       |
| 02/07/2018                            | 00089144953 | RODRIGUEZ, JOHNATAN |     | SMALLCAP WORLD FUND-C                 | B                   | 15.00              | 0.15              | 0.00 | 0.15 85.0 0.13       |

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

| TRADE DATE                            | CLIENT                        | INVESTMENT                            | B/S | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---------------------------------------|-------------------------------|---------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u>            |                               |                                       |     |                      |                     |                    |                   |      |                      |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> |                               |                                       |     |                      |                     |                    |                   |      |                      |
| JOHNATAN                              |                               |                                       |     |                      |                     |                    |                   |      |                      |
| 02/07/2018                            | 00089144953 RODRIGUEZ,        | SMALLCAP WORLD FUND-C                 | B   | 15.00                | 0.15                | 0.00               | 0.15              | 85.0 | 0.13                 |
| JOHNATAN                              |                               |                                       |     |                      |                     |                    |                   |      |                      |
| 02/01/2018                            | 00089514707 HAMILTON, JAMES   | THE NEW ECONOMY FUND-529A             | B   | 50.00                | 2.13                | 0.00               | 2.13              | 85.0 | 1.81                 |
| 01/26/2018                            | 00089680678 CHAMPION, AUBREY  | THE NEW ECONOMY FUND-C                | B   | 24.60                | 0.25                | 0.00               | 0.25              | 85.0 | 0.21                 |
| J.                                    |                               |                                       |     |                      |                     |                    |                   |      |                      |
| 01/26/2018                            | 00089680678 CHAMPION, AUBREY  | THE NEW ECONOMY FUND-C                | B   | 24.60                | 0.25                | 0.00               | 0.25              | 85.0 | 0.21                 |
| J.                                    |                               |                                       |     |                      |                     |                    |                   |      |                      |
| 02/02/2018                            | 00089680678 CHAMPION, AUBREY  | THE NEW ECONOMY FUND-C                | B   | 24.60                | 0.25                | 0.00               | 0.25              | 85.0 | 0.21                 |
| J.                                    |                               |                                       |     |                      |                     |                    |                   |      |                      |
| 02/02/2018                            | 00089680678 CHAMPION, AUBREY  | THE NEW ECONOMY FUND-C                | B   | 24.60                | 0.25                | 0.00               | 0.25              | 85.0 | 0.21                 |
| J.                                    |                               |                                       |     |                      |                     |                    |                   |      |                      |
| 02/07/2018                            | 00089680678 CHAMPION, AUBREY  | THE NEW ECONOMY FUND-C                | B   | 24.60                | 0.25                | 0.00               | 0.25              | 85.0 | 0.21                 |
| J.                                    |                               |                                       |     |                      |                     |                    |                   |      |                      |
| 02/07/2018                            | 00089680678 CHAMPION, AUBREY  | THE NEW ECONOMY FUND-C                | B   | 24.60                | 0.25                | 0.00               | 0.25              | 85.0 | 0.21                 |
| J.                                    |                               |                                       |     |                      |                     |                    |                   |      |                      |
| 02/01/2018                            | 00089985511 YOUNG, VICTORIA   | FUNDAMENTAL INVESTORS-C               | B   | 100.00               | 1.00                | 0.00               | 1.00              | 85.0 | 0.85                 |
| JOBE                                  |                               |                                       |     |                      |                     |                    |                   |      |                      |
| 01/26/2018                            | 04000175390 MCADAMS, NICHOLAS | THE GROWTH FUND OF AMERICA-C          | B   | 32.55                | 0.33                | 0.00               | 0.33              | 85.0 | 0.28                 |
| W.                                    |                               |                                       |     |                      |                     |                    |                   |      |                      |
| 01/26/2018                            | 04000175390 MCADAMS, NICHOLAS | THE GROWTH FUND OF AMERICA-C          | B   | 32.55                | 0.33                | 0.00               | 0.33              | 85.0 | 0.28                 |
| W.                                    |                               |                                       |     |                      |                     |                    |                   |      |                      |
| 02/02/2018                            | 04000175390 MCADAMS, NICHOLAS | THE GROWTH FUND OF AMERICA-C          | B   | 32.55                | 0.33                | 0.00               | 0.33              | 85.0 | 0.28                 |
| W.                                    |                               |                                       |     |                      |                     |                    |                   |      |                      |
| 02/02/2018                            | 04000175390 MCADAMS, NICHOLAS | THE GROWTH FUND OF AMERICA-C          | B   | 32.55                | 0.33                | 0.00               | 0.33              | 85.0 | 0.28                 |
| W.                                    |                               |                                       |     |                      |                     |                    |                   |      |                      |
| 02/07/2018                            | 04000175390 MCADAMS, NICHOLAS | THE GROWTH FUND OF AMERICA-C          | B   | 32.55                | 0.33                | 0.00               | 0.33              | 85.0 | 0.28                 |
| W.                                    |                               |                                       |     |                      |                     |                    |                   |      |                      |
| 02/07/2018                            | 04000175390 MCADAMS, NICHOLAS | THE GROWTH FUND OF AMERICA-C          | B   | 32.55                | 0.33                | 0.00               | 0.33              | 85.0 | 0.28                 |
| W.                                    |                               |                                       |     |                      |                     |                    |                   |      |                      |
| 02/05/2018                            | 04000234902 OTT, BRIAN L.     | FUNDAMENTAL INVESTORS-529A            | B   | 250.00               | 12.50               | 0.00               | 12.50             | 85.0 | 10.63                |
| 01/22/2018                            | 04000273061 TORREZ, HEATHER   | FUNDAMENTAL INVESTORS-529C            | B   | 375.00               | 3.75                | 0.00               | 3.75              | 85.0 | 3.19                 |
| 01/26/2018                            | 04000365188 BISHOP, JILL R.   | NEW PERSPECTIVE FUND-C                | B   | 18.00                | 0.18                | 0.00               | 0.18              | 85.0 | 0.15                 |
| 01/26/2018                            | 04000365188 BISHOP, JILL R.   | NEW PERSPECTIVE FUND-C                | B   | 18.00                | 0.18                | 0.00               | 0.18              | 85.0 | 0.15                 |
| 02/02/2018                            | 04000365188 BISHOP, JILL R.   | NEW PERSPECTIVE FUND-C                | B   | 18.00                | 0.18                | 0.00               | 0.18              | 85.0 | 0.15                 |
| 02/02/2018                            | 04000365188 BISHOP, JILL R.   | NEW PERSPECTIVE FUND-C                | B   | 18.00                | 0.18                | 0.00               | 0.18              | 85.0 | 0.15                 |
| 02/07/2018                            | 04000365188 BISHOP, JILL R.   | NEW PERSPECTIVE FUND-C                | B   | 18.00                | 0.18                | 0.00               | 0.18              | 85.0 | 0.15                 |
| 02/07/2018                            | 04000365188 BISHOP, JILL R.   | NEW PERSPECTIVE FUND-C                | B   | 18.00                | 0.18                | 0.00               | 0.18              | 85.0 | 0.15                 |
| 01/26/2018                            | 04000531880 SOLIZ, OSCAR      | CAPITAL WORLD GROWTH AND INCOME FUN B |     | 23.10                | 0.23                | 0.00               | 0.23              | 85.0 | 0.20                 |
| 01/26/2018                            | 04000531880 SOLIZ, OSCAR      | CAPITAL WORLD GROWTH AND INCOME FUN B |     | 23.10                | 0.23                | 0.00               | 0.23              | 85.0 | 0.20                 |

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

BROKER TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

|            |             |                   |  |                                       |                   |                |               |                |      |                |
|------------|-------------|-------------------|--|---------------------------------------|-------------------|----------------|---------------|----------------|------|----------------|
| 02/02/2018 | 04000531880 | SOLIZ, OSCAR      |  | CAPITAL WORLD GROWTH AND INCOME FUN B | 23.10             | 0.23           | 0.00          | 0.23           | 85.0 | 0.20           |
| 02/02/2018 | 04000531880 | SOLIZ, OSCAR      |  | CAPITAL WORLD GROWTH AND INCOME FUN B | 23.10             | 0.23           | 0.00          | 0.23           | 85.0 | 0.20           |
| 02/07/2018 | 04000531880 | SOLIZ, OSCAR      |  | CAPITAL WORLD GROWTH AND INCOME FUN B | 23.10             | 0.23           | 0.00          | 0.23           | 85.0 | 0.20           |
| 02/07/2018 | 04000531880 | SOLIZ, OSCAR      |  | CAPITAL WORLD GROWTH AND INCOME FUN B | 23.10             | 0.23           | 0.00          | 0.23           | 85.0 | 0.20           |
| 01/26/2018 | 04000660400 | TAYLOR, ROBERT T. |  | AMERICAN FUNDS AMERICAN MUTUAL FD B   | 15.56             | 0.43           | 0.00          | 0.43           | 85.0 | 0.37           |
| 01/26/2018 | 04000660400 | TAYLOR, ROBERT T. |  | AMERICAN FUNDS AMERICAN MUTUAL FD B   | 15.56             | 0.43           | 0.00          | 0.43           | 85.0 | 0.37           |
| 02/02/2018 | 04000660400 | TAYLOR, ROBERT T. |  | AMERICAN FUNDS AMERICAN MUTUAL FD B   | 17.47             | 0.48           | 0.00          | 0.48           | 85.0 | 0.41           |
| 02/02/2018 | 04000660400 | TAYLOR, ROBERT T. |  | AMERICAN FUNDS AMERICAN MUTUAL FD B   | 17.47             | 0.48           | 0.00          | 0.48           | 85.0 | 0.41           |
| 02/07/2018 | 04000660400 | TAYLOR, ROBERT T. |  | AMERICAN FUNDS AMERICAN MUTUAL FD B   | 18.23             | 0.50           | 0.00          | 0.50           | 85.0 | 0.43           |
| 02/07/2018 | 04000660400 | TAYLOR, ROBERT T. |  | AMERICAN FUNDS AMERICAN MUTUAL FD B   | 18.23             | 0.50           | 0.00          | 0.50           | 85.0 | 0.43           |
|            |             |                   |  | <b>* MUTUAL FUNDS SUBTOTAL *</b>      | <b>\$5,555.99</b> | <b>\$82.84</b> | <b>\$0.00</b> | <b>\$82.84</b> |      | <b>\$70.47</b> |

PRODUCT CATEGORY: VARIABLE ANNUITIES

|            |        |               |   |  |                |                |               |                |      |                |
|------------|--------|---------------|---|--|----------------|----------------|---------------|----------------|------|----------------|
| 02/02/2018 | TRAILS | -JOHN HANCOCK | B |  | 15.94          | 15.94          | 0.00          | 15.94          | 85.0 | 13.55          |
|            |        |               |   | <b>* VARIABLE ANNUITIES SUBTOTAL *</b> | <b>\$15.94</b> | <b>\$15.94</b> | <b>\$0.00</b> | <b>\$15.94</b> |      | <b>\$13.55</b> |

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

|            |                   |                               |  |   |                   |                 |               |                 |      |                |
|------------|-------------------|-------------------------------|--|---|-------------------|-----------------|---------------|-----------------|------|----------------|
| 02/15/2018 | TRAIL COMMISSIONS | FRANKLIN TEMPLETON GROUP FEES |  |   | 0.00              | 3.46            | 0.00          | 3.46            | 85.0 | 2.94           |
|            |                   |                               |  | <b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b> | <b>\$0.00</b>     | <b>\$3.46</b>   | <b>\$0.00</b> | <b>\$3.46</b>   |      | <b>\$2.94</b>  |
|            |                   |                               |  | <b>*** BROKER TRANSACTIONS TOTAL ***</b>    | <b>\$5,571.93</b> | <b>\$102.24</b> | <b>\$0.00</b> | <b>\$102.24</b> |      | <b>\$86.96</b> |

ADJUSTMENTS

|            |  |                              |  |                                  |               |               |               |               |  |                  |
|------------|--|------------------------------|--|----------------------------------|---------------|---------------|---------------|---------------|--|------------------|
| 02/15/2018 |  | E & O INSURANCE: 1/2 MONTHLY |  |                                  | 0.00          | 0.00          | 0.00          | 0.00          |  | -100.00          |
| 02/15/2018 |  | TECH: MONTHLY TECH SERVICES  |  |                                  | 0.00          | 0.00          | 0.00          | 0.00          |  | -27.50           |
|            |  |                              |  | <b>*** ADJUSTMENTS TOTAL ***</b> | <b>\$0.00</b> | <b>\$0.00</b> | <b>\$0.00</b> | <b>\$0.00</b> |  | <b>\$-127.50</b> |

**BROKER COMMISSION TOTALS**

|                       |           |
|-----------------------|-----------|
| Broker Transactions   | \$86.96   |
| Split Transactions    | \$0.00    |
| Override Transactions | \$0.00    |
| Adjustments           | \$-127.50 |
| Payroll Draw          | \$0.00    |
| Base Salary           | \$0.00    |
| Prior Period Balance  | \$0.00    |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                              |                    |
|------------------------------|--------------------|
| <b>Balance Forward</b>       | <b>\$-40.54</b>    |
| <b>Year-to-date Earnings</b> | <b>\$10,777.67</b> |