

**COMMISSION STATEMENT****February 28, 2018**MICHAEL O'CALLAGHAN
22 Harvest LaneBROKER #: 0119 Terminated 09/02/2016
BRANCH: HARVEST FINANCIAL GR

Hingham, MA 02043-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
05/23/2016	RUSO, JOSEPH	ALGER CHINA US GROWTH A	0.00	1.11	0.00	1.11	0.0	0.00
06/22/2016	RUSO, JOSEPH	ALGER CHINA US GROWTH A	0.00	3.76	0.00	3.76	0.0	0.00
07/28/2016	RUSO, JOSEPH	ALGER CHINA US GROWTH A	0.00	3.65	0.00	3.65	0.0	0.00
08/22/2016	RUSO, JOSEPH	ALGER CHINA US GROWTH A	0.00	3.84	0.00	3.84	0.0	0.00
09/23/2016	RUSO, JOSEPH	ALGER CHINA US GROWTH A	0.00	3.90	0.00	3.90	0.0	0.00
10/31/2016	RUSO, JOSEPH	ALGER CHINA US GROWTH A	0.00	3.75	0.00	3.75	0.0	0.00
11/30/2016	RUSO, JOSEPH	ALGER CHINA US GROWTH A	0.00	0.63	0.00	0.63	0.0	0.00
05/16/2016	RUSO, JOSEPH	AM FUNDS AMCAP C	0.00	4.63	0.00	4.63	0.0	0.00
06/15/2016	RUSO, JOSEPH	AM FUNDS AMCAP C	0.00	15.73	0.00	15.73	0.0	0.00
07/18/2016	RUSO, JOSEPH	AM FUNDS AMCAP C	0.00	15.42	0.00	15.42	0.0	0.00
08/15/2016	RUSO, JOSEPH	AM FUNDS AMCAP C	0.00	16.18	0.00	16.18	0.0	0.00
09/16/2016	RUSO, JOSEPH	AM FUNDS AMCAP C	0.00	16.55	0.00	16.55	0.0	0.00
10/17/2016	RUSO, JOSEPH	AM FUNDS AMCAP C	0.00	15.90	0.00	15.90	0.0	0.00
11/18/2016	RUSO, JOSEPH	AM FUNDS AMCAP C	0.00	2.68	0.00	2.68	0.0	0.00
07/18/2016	BREDEMEIER, LYDIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.43	0.00	0.43	0.0	0.00
08/15/2016	BREDEMEIER, LYDIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.53	0.00	1.53	0.0	0.00
09/16/2016	BREDEMEIER, LYDIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.54	0.00	1.54	0.0	0.00
10/17/2016	BREDEMEIER, LYDIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.47	0.00	1.47	0.0	0.00
11/18/2016	BREDEMEIER, LYDIA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.24	0.00	0.24	0.0	0.00
04/20/2016	DEERING, ELLEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.54	0.00	1.54	0.0	0.00
05/16/2016	DEERING, ELLEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.04	0.00	2.04	0.0	0.00
06/15/2016	DEERING, ELLEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.10	0.00	2.10	0.0	0.00
07/18/2016	DEERING, ELLEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.04	0.00	2.04	0.0	0.00
08/15/2016	DEERING, ELLEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.17	0.00	2.17	0.0	0.00
09/16/2016	DEERING, ELLEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	2.22	0.00	2.22	0.0	0.00
10/17/2016	DEERING, ELLEN	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.51	0.00	0.51	0.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/06/2016	SASSONE, ROCCO	CATALYST INSIDER BUYING FUND CLASS	0.00	0.12	0.00	0.12	0.0	0.00
05/05/2016	SASSONE, ROCCO	CATALYST INSIDER BUYING FUND CLASS	0.00	1.81	0.00	1.81	0.0	0.00
06/06/2016	SASSONE, ROCCO	CATALYST INSIDER BUYING FUND CLASS	0.00	1.82	0.00	1.82	0.0	0.00
07/05/2016	SASSONE, ROCCO	CATALYST INSIDER BUYING FUND CLASS	0.00	1.78	0.00	1.78	0.0	0.00
08/04/2016	SASSONE, ROCCO	CATALYST INSIDER BUYING FUND CLASS	0.00	1.90	0.00	1.90	0.0	0.00
09/06/2016	SASSONE, ROCCO	CATALYST INSIDER BUYING FUND CLASS	0.00	1.91	0.00	1.91	0.0	0.00
10/07/2016	SASSONE, ROCCO	CATALYST INSIDER BUYING FUND CLASS	0.00	0.49	0.00	0.49	0.0	0.00
04/06/2016	DEERING, ELLEN	EVENTIDE GILEAD FUND CLASS A	0.00	2.39	0.00	2.39	0.0	0.00
04/06/2016	MARKILLIE, JOHN	EVENTIDE GILEAD FUND CLASS A	0.00	0.10	0.00	0.10	0.0	0.00
04/06/2016	VERHULP, MISTEE	EVENTIDE GILEAD FUND CLASS A	0.00	0.73	0.00	0.73	0.0	0.00
04/06/2016	HODGKINS, STEPHEN	EVENTIDE GILEAD FUND CLASS A	0.00	0.95	0.00	0.95	0.0	0.00
04/06/2016	SASSONE, ROCCO	EVENTIDE GILEAD FUND CLASS A	0.00	0.16	0.00	0.16	0.0	0.00
05/05/2016	MATTSON, DAVID	EVENTIDE GILEAD FUND CLASS A	0.00	0.05	0.00	0.05	0.0	0.00
05/05/2016	DEERING, ELLEN	EVENTIDE GILEAD FUND CLASS A	0.00	4.14	0.00	4.14	0.0	0.00
05/05/2016	MARKILLIE, JOHN	EVENTIDE GILEAD FUND CLASS A	0.00	1.04	0.00	1.04	0.0	0.00
05/05/2016	VERHULP, MISTEE	EVENTIDE GILEAD FUND CLASS A	0.00	2.26	0.00	2.26	0.0	0.00
05/05/2016	HODGKINS, STEPHEN	EVENTIDE GILEAD FUND CLASS A	0.00	1.98	0.00	1.98	0.0	0.00
05/05/2016	SASSONE, ROCCO	EVENTIDE GILEAD FUND CLASS A	0.00	2.42	0.00	2.42	0.0	0.00
06/06/2016	MATTSON, DAVID	EVENTIDE GILEAD FUND CLASS A	0.00	0.39	0.00	0.39	0.0	0.00
06/06/2016	DEERING, ELLEN	EVENTIDE GILEAD FUND CLASS A	0.00	4.16	0.00	4.16	0.0	0.00
06/06/2016	MARKILLIE, JOHN	EVENTIDE GILEAD FUND CLASS A	0.00	1.04	0.00	1.04	0.0	0.00
06/06/2016	VERHULP, MISTEE	EVENTIDE GILEAD FUND CLASS A	0.00	2.27	0.00	2.27	0.0	0.00
06/06/2016	HODGKINS, STEPHEN	EVENTIDE GILEAD FUND CLASS A	0.00	1.99	0.00	1.99	0.0	0.00
06/06/2016	SASSONE, ROCCO	EVENTIDE GILEAD FUND CLASS A	0.00	2.43	0.00	2.43	0.0	0.00
07/05/2016	MATTSON, DAVID	EVENTIDE GILEAD FUND CLASS A	0.00	0.39	0.00	0.39	0.0	0.00
07/05/2016	DEERING, ELLEN	EVENTIDE GILEAD FUND CLASS A	0.00	4.10	0.00	4.10	0.0	0.00
07/05/2016	MARKILLIE, JOHN	EVENTIDE GILEAD FUND CLASS A	0.00	1.02	0.00	1.02	0.0	0.00
07/05/2016	VERHULP, MISTEE	EVENTIDE GILEAD FUND CLASS A	0.00	2.24	0.00	2.24	0.0	0.00
07/05/2016	HODGKINS, STEPHEN	EVENTIDE GILEAD FUND CLASS A	0.00	1.96	0.00	1.96	0.0	0.00
07/05/2016	SASSONE, ROCCO	EVENTIDE GILEAD FUND CLASS A	0.00	2.39	0.00	2.39	0.0	0.00
08/29/2016	MATTSON, DAVID	EVENTIDE GILEAD FUND CLASS A	0.00	0.41	0.00	0.41	0.0	0.00
08/29/2016	DEERING, ELLEN	EVENTIDE GILEAD FUND CLASS A	0.00	4.35	0.00	4.35	0.0	0.00
08/29/2016	MARKILLIE, JOHN	EVENTIDE GILEAD FUND CLASS A	0.00	1.09	0.00	1.09	0.0	0.00

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
08/29/2016	VERHULP, MISTEE	EVENTIDE GILEAD FUND CLASS A	0.00	2.37	0.00	2.37	0.0	0.00
08/29/2016	HODGKINS, STEPHEN	EVENTIDE GILEAD FUND CLASS A	0.00	2.08	0.00	2.08	0.0	0.00
08/29/2016	SASSONE, ROCCO	EVENTIDE GILEAD FUND CLASS A	0.00	2.54	0.00	2.54	0.0	0.00
09/08/2016	MATTSON, DAVID	EVENTIDE GILEAD FUND CLASS A	0.00	0.43	0.00	0.43	0.0	0.00
09/08/2016	DEERING, ELLEN	EVENTIDE GILEAD FUND CLASS A	0.00	4.51	0.00	4.51	0.0	0.00
09/08/2016	MARKILLIE, JOHN	EVENTIDE GILEAD FUND CLASS A	0.00	1.13	0.00	1.13	0.0	0.00
09/08/2016	VERHULP, MISTEE	EVENTIDE GILEAD FUND CLASS A	0.00	2.46	0.00	2.46	0.0	0.00
09/08/2016	HODGKINS, STEPHEN	EVENTIDE GILEAD FUND CLASS A	0.00	2.15	0.00	2.15	0.0	0.00
09/08/2016	SASSONE, ROCCO	EVENTIDE GILEAD FUND CLASS A	0.00	2.64	0.00	2.64	0.0	0.00
10/07/2016	MATTSON, DAVID	EVENTIDE GILEAD FUND CLASS A	0.00	0.42	0.00	0.42	0.0	0.00
10/07/2016	DEERING, ELLEN	EVENTIDE GILEAD FUND CLASS A	0.00	3.81	0.00	3.81	0.0	0.00
10/07/2016	MARKILLIE, JOHN	EVENTIDE GILEAD FUND CLASS A	0.00	1.03	0.00	1.03	0.0	0.00
10/07/2016	VERHULP, MISTEE	EVENTIDE GILEAD FUND CLASS A	0.00	1.67	0.00	1.67	0.0	0.00
10/07/2016	HODGKINS, STEPHEN	EVENTIDE GILEAD FUND CLASS A	0.00	1.25	0.00	1.25	0.0	0.00
10/07/2016	SASSONE, ROCCO	EVENTIDE GILEAD FUND CLASS A	0.00	0.69	0.00	0.69	0.0	0.00
11/14/2016	MATTSON, DAVID	EVENTIDE GILEAD FUND CLASS A	0.00	0.13	0.00	0.13	0.0	0.00
05/05/2016	RUSSO, ANN	EVENTIDE GILEAD FUND CLASS C	0.00	6.01	0.00	6.01	0.0	0.00
06/06/2016	RUSSO, ANN	EVENTIDE GILEAD FUND CLASS C	0.00	19.85	0.00	19.85	0.0	0.00
07/05/2016	DEVINE, MAURA	EVENTIDE GILEAD FUND CLASS C	0.00	1.10	0.00	1.10	0.0	0.00
07/05/2016	RUSSO, ANN	EVENTIDE GILEAD FUND CLASS C	0.00	19.52	0.00	19.52	0.0	0.00
08/29/2016	DEVINE, MAURA	EVENTIDE GILEAD FUND CLASS C	0.00	2.55	0.00	2.55	0.0	0.00
08/29/2016	RUSSO, ANN	EVENTIDE GILEAD FUND CLASS C	0.00	20.72	0.00	20.72	0.0	0.00
09/08/2016	DEVINE, MAURA	EVENTIDE GILEAD FUND CLASS C	0.00	2.64	0.00	2.64	0.0	0.00
09/08/2016	RUSSO, ANN	EVENTIDE GILEAD FUND CLASS C	0.00	21.46	0.00	21.46	0.0	0.00
10/07/2016	DEVINE, MAURA	EVENTIDE GILEAD FUND CLASS C	0.00	2.59	0.00	2.59	0.0	0.00
10/07/2016	RUSSO, ANN	EVENTIDE GILEAD FUND CLASS C	0.00	20.98	0.00	20.98	0.0	0.00
11/14/2016	DEVINE, MAURA	EVENTIDE GILEAD FUND CLASS C	0.00	2.64	0.00	2.64	0.0	0.00
11/14/2016	RUSSO, ANN	EVENTIDE GILEAD FUND CLASS C	0.00	3.56	0.00	3.56	0.0	0.00
12/15/2016	DEVINE, MAURA	EVENTIDE GILEAD FUND CLASS C	0.00	2.62	0.00	2.62	0.0	0.00
04/20/2016	ERICKSON, SUSAN	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	0.11	0.00	0.11	0.0	0.00
04/20/2016	HODGKINS, STEPHEN	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	1.08	0.00	1.08	0.0	0.00
07/22/2016	ERICKSON, SUSAN	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	2.39	0.00	2.39	0.0	0.00
07/22/2016	HODGKINS, STEPHEN	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	3.09	0.00	3.09	0.0	0.00

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
07/22/2016	RUSO, JOSEPH	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	5.02	0.00	5.02	0.0	0.00
07/29/2016	ERICKSON, SUSAN	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	2.79	0.00	2.79	0.0	0.00
07/29/2016	HODGKINS, STEPHEN	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	3.62	0.00	3.62	0.0	0.00
07/29/2016	RUSO, JOSEPH	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	11.62	0.00	11.62	0.0	0.00
08/10/2016	ERICKSON, SUSAN	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	1.80	0.00	1.80	0.0	0.00
08/10/2016	HODGKINS, STEPHEN	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	2.33	0.00	2.33	0.0	0.00
08/10/2016	RUSO, JOSEPH	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	7.50	0.00	7.50	0.0	0.00
09/16/2016	ERICKSON, SUSAN	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	1.85	0.00	1.85	0.0	0.00
09/16/2016	HODGKINS, STEPHEN	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	2.39	0.00	2.39	0.0	0.00
09/16/2016	RUSO, JOSEPH	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	7.69	0.00	7.69	0.0	0.00
10/21/2016	ERICKSON, SUSAN	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	1.07	0.00	1.07	0.0	0.00
10/21/2016	HODGKINS, STEPHEN	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	1.38	0.00	1.38	0.0	0.00
10/21/2016	RUSO, JOSEPH	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	7.41	0.00	7.41	0.0	0.00
11/30/2016	RUSO, JOSEPH	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	1.24	0.00	1.24	0.0	0.00
07/18/2016	RUSO, ANN	QUAKER STRATEGIC GROWTH FUND CLASS	0.00	19.87	0.00	19.87	0.0	0.00
07/18/2016	RUSO, JOSEPH	QUAKER STRATEGIC GROWTH FUND CLASS	0.00	9.56	0.00	9.56	0.0	0.00
10/17/2016	RUSO, ANN	QUAKER STRATEGIC GROWTH FUND CLASS	0.00	27.00	0.00	27.00	0.0	0.00
10/17/2016	RUSO, JOSEPH	QUAKER STRATEGIC GROWTH FUND CLASS	0.00	12.99	0.00	12.99	0.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$471.19	\$0.00	\$471.19		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$471.19	\$0.00	\$471.19		\$0.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$471.19
Year-to-date Earnings	\$0.00