

COMMISSION STATEMENT

January 15, 2018

BRIAN MAPES-D040

BROKER #: D040

BRANCH: DMN

, TX

COMMISSION STATEMENT for BRIAN MAPES-D040

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/31/2017 (None)	VARIOUS, MAPES	MF JOHN HANCOCK FREEDOM	B	0.00	71.20	0.00	71.20	85.0	60.52
* MUTUAL FUNDS SUBTOTAL *				\$0.00	\$71.20	\$0.00	\$71.20		\$60.52
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
01/02/2018 (None)	THOMAN	VA SAMMONS	B	0.00	49.44	0.00	49.44	85.0	42.02
01/02/2018 (None)	MARTIN	VA SAMMONS	B	0.00	6.23	0.00	6.23	85.0	5.30
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$55.67	\$0.00	\$55.67		\$47.32
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
01/01/2018 00777056437	HOEKSTRA, TJEERD	MFT INVESCO MID CAP GROWTH FUND -	B	0.00	6.81	0.00	6.81	85.0	5.79
01/01/2018 00777056437	HOEKSTRA, TJEERD	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	5.43	0.00	5.43	85.0	4.62
01/01/2018 00777065091	DRENTHE, DAVID	MFT AIM SMALL CAP EQUITY FUND -	B	0.00	2.51	0.00	2.51	85.0	2.13
01/01/2018 00777065091	DRENTHE, DAVID	MFT INVESCO LOW VOLATILITY EQTY	B	0.00	9.66	0.00	9.66	85.0	8.21
01/01/2018 00777065091	DRENTHE, DAVID	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	1.97	0.00	1.97	85.0	1.67
01/01/2018 00777066082	NEEDHAM,	MFT AIM CHARTER FUND - CLASS A	B	0.00	1.25	0.00	1.25	85.0	1.06
01/01/2018 00777066082	NEEDHAM,	MFT AIM GLOBAL AGGRESSIVE GROWTH	B	0.00	1.57	0.00	1.57	85.0	1.33
01/01/2018 00777068013	JANISSE, JOEL	MFT INVESCO MID CAP GROWTH FUND -	B	0.00	15.22	0.00	15.22	85.0	12.94
01/01/2018 00777068013	JANISSE, JOEL	MFT INVESCO VALUE OPPORTUNITIES	B	0.00	3.51	0.00	3.51	85.0	2.98
01/01/2018 00777081598	PATINKA, JEANNE	MFT AIM CASH RESERVE SHARES	B	0.00	0.30	0.00	0.30	85.0	0.26
01/01/2018 05055270119	STOVER, DONALD	MFT AIM GLOBAL GROWTH FUND - CLASS	B	0.00	16.10	0.00	16.10	85.0	13.69
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$64.33	\$0.00	\$64.33		\$54.68
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
01/05/2018 (None)	KUTAS, STEPHEN	VAT JOHN HANCOCK LIFE	B	0.00	57.62	0.00	57.62	85.0	48.98
01/05/2018 (None)	RUTLEDGE, GINNY	VAT JOHN HANCOCK LIFE	B	0.00	287.75	0.00	287.75	85.0	244.59
01/05/2018 (None)	MCCLINTIC, JOHN	VAT JOHN HANCOCK LIFE	B	0.00	54.60	0.00	54.60	85.0	46.41
01/05/2018 (None)	FULLER, MARGARET	VAT JOHN HANCOCK LIFE	B	0.00	87.98	0.00	87.98	85.0	74.78
01/05/2018 (None)	SMITH, NANCY	VAT JOHN HANCOCK LIFE	B	0.00	17.92	0.00	17.92	85.0	15.23
01/05/2018 (None)	STAFFORD, NONA	VAT JOHN HANCOCK LIFE	B	0.00	47.59	0.00	47.59	85.0	40.45
01/05/2018 (None)	MOHNEY, LELAND	VAT JOHN HANCOCK LIFE	B	0.00	311.54	0.00	311.54	85.0	264.81
01/05/2018 (None)	RIEKENA, CHERYL	VAT JOHN HANCOCK LIFE	B	0.00	215.73	0.00	215.73	85.0	183.37
01/05/2018 (None)	FULLER, RONALD	VAT JOHN HANCOCK LIFE	B	0.00	78.19	0.00	78.19	85.0	66.46

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01/05/2018 (None)	FREY, JOSEPH	VAT JOHN HANCOCK LIFE	B	0.00	243.94	0.00	243.94	85.0	207.35
01/05/2018 (None)	PRITZ, THOMAS	VAT JOHN HANCOCK LIFE	B	0.00	161.53	0.00	161.53	85.0	137.30
01/05/2018 (None)	HUBBS	VAT JOHN HANCOCK LIFE	B	0.00	201.44	0.00	201.44	85.0	171.22
01/05/2018 (None)	CLAPP	VAT JOHN HANCOCK LIFE	B	0.00	32.64	0.00	32.64	85.0	27.74
01/05/2018 (None)	AYERS, MARGARET	VAT JOHN HANCOCK LIFE	B	0.00	46.65	0.00	46.65	85.0	39.65
01/05/2018 (None)	MAPES, BRIAN	VAT JOHN HANCOCK LIFE	B	0.00	24.05	0.00	24.05	85.0	20.44
01/05/2018 (None)	MAPES, SUSAN	VAT JOHN HANCOCK LIFE	B	0.00	50.29	0.00	50.29	85.0	42.75
12/27/2017 VR03014913	RUELAS	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	99.88	0.00	99.88	85.0	84.90
12/31/2017 VR03081564	DUBIE	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	140.97	0.00	140.97	85.0	119.82
12/31/2017 VR03081569	KLAERAN	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	17.36	0.00	17.36	85.0	14.76
12/31/2017 VR03081571	BLEAU	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	159.66	0.00	159.66	85.0	135.71
12/26/2017 VR03083089	KRONKE	VAT PACIFIC LIFE CUSIP 694474800	B	0.00	47.79	0.00	47.79	85.0	40.62
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$2,385.12	\$0.00	\$2,385.12		\$2,027.34
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$2,576.32	\$0.00	\$2,576.32		\$2,189.86

ADJUSTMENTS

01/15/2018	: MIDLAND NATIONAL	0.00	0.00	0.00	0.00	337.50
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$337.50

BROKER COMMISSION TOTALS

Broker Transactions	\$2,189.86
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$337.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$2,527.36
Year-to-date Commissions	\$2,842.21