



Concorde Investment Services, LLC
COMMISSION STATEMENT
April 30, 2018

05/02/2018
Page No. 1

DANIEL, R132 RAUPP
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: R132
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
04/12/2018	DORSO, FRANK	GENERAL CANNABIS CORP COM	1,790.00	0.00	22.75	-22.75	100.0	-22.75
		* STOCKS SUBTOTAL *	\$1,790.00	\$0.00	\$22.75	\$-22.75		\$-22.75
		*** CLEARING TRANSACTIONS TOTAL ***	\$1,790.00	\$0.00	\$22.75	\$-22.75		\$-22.75
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
04/05/2018	PAZZO PIZZA INC	INLAND CHICAGOLAND SUPERMARKET PF D	551,925.39	27,596.27	0.00	27,596.27	100.0	27,596.27
04/17/2018	MARKS SAMUELS TRUST, SONDR	PASSCO HOMEPLACE DST	154,000.00	9,240.00	0.00	9,240.00	100.0	9,240.00
		* 1031 EXCHANGES SUBTOTAL *	\$705,925.39	\$36,836.27	\$0.00	\$36,836.27		\$36,836.27
		*** DIRECT TRANSACTIONS TOTAL ***	\$705,925.39	\$36,836.27	\$0.00	\$36,836.27		\$36,836.27
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF #254</u>								
03/31/2018	PPJ LLC	JOHN HANCOCK LIFE INS CO	222,338.39	32.35	0.00	32.35	100.0	32.35
04/18/2018	CLARKE, SAMIRA	JPMORGAN LARGE-CAP GROWTH FUND CLAS	10,000.00	35.00	7.70	27.30	100.0	27.30
04/10/2018	ABELLA, CARMELA	TRANSAMERICA B SHARE NY	140,000.00	3,430.00	0.00	3,430.00	100.0	3,430.00
		* DAN/ROY/JEFF/JON FT-G3G NY RAUPP/PF SUBTOTAL *	\$372,338.39	\$3,497.35	\$7.70	\$3,489.65		\$3,489.65
<u>BROKER: DAN/JON PAUL FT- J1D NY RAUPP/CIR #255</u>								
03/31/2018	MORONEY'S RELIGIOUS	ADP TRAILS	0.00	171.85	0.00	171.85	100.0	171.85
03/29/2018	BROOKHAVEN 401K	LINCOLN BENEFIT TRAIL RENEWALS	347,789.41	173.89	0.00	173.89	100.0	173.89
		* DAN/JON PAUL FT- J1D NY RAUPP/CIR SUBTOTAL *	\$347,789.41	\$345.74	\$0.00	\$345.74		\$345.74

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<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: DAN/JON P/JEFF FT-G0B NY RAUPP/CIRE #256</u>								
04/12/2018	DOLCE, CHARLES	AMAZON COM INC	85,217.27	37.50	11.24	26.26	100.0	26.26
04/12/2018	DOLCE, CHARLES	FACEBOOK INC CL A	-85,559.45	37.50	11.38	26.12	100.0	26.12
04/16/2018	PAVIS, NANCY	WPC CORP PROP ASSOC 18 GBL INC CL C	0.00	55.11	0.00	55.11	100.0	55.11
* DAN/JON P/JEFF FT-G0B NY RAUPP/CIRE SUBTOTAL *			\$-342.18	\$130.11	\$22.62	\$107.49		\$107.49
<u>BROKER: JEFF/DAN/JON PAU FT-G0C NY KIESNO/R #257</u>								
04/25/2018	FORRAI, GERALDINE	CATALYST/MILLBURN HEDGE STRATEGY FU	26,000.00	260.00	5.50	254.50	100.0	254.50
* JEFF/DAN/JON PAU FT-G0C NY KIESNO/R SUBTOTAL *			\$26,000.00	\$260.00	\$5.50	\$254.50		\$254.50
<u>BROKER: JON P/DAN/JEFF FT- G0D FL CIREL/RAU #258</u>								
03/31/2018	BARREDO, GLORIA	ADP TRAILS	0.00	8.38	0.00	8.38	100.0	8.38
04/17/2018	MCCAULEY JR REV TR, B. BROOKE	INLAND CHICAGOLAND SUPERMARKET PF D	760,000.00	9,500.00	0.00	9,500.00	100.0	9,500.00
* JON P/DAN/JEFF FT- G0D FL CIREL/RAU SUBTOTAL *			\$760,000.00	\$9,508.38	\$0.00	\$9,508.38		\$9,508.38
<u>BROKER: JONP/DAN/J FT-P124 FL JUNO CIRE #273</u>								
03/31/2018	GOVERNMENT MGMT SVCS	ADP TRAILS	0.00	636.91	0.00	636.91	100.0	636.91
03/31/2018	FLAHERTY, PATRICIA	GRIFFIN CAPITAL ESSENTIAL ASSET II	38,205.89	25.44	0.00	25.44	100.0	25.44
03/31/2018	MORONEY JR, JOHN	GRIFFIN CAPITAL ESSENTIAL ASSET II	2,387.86	1.59	0.00	1.59	100.0	1.59
03/31/2018	FLAHERTY, STEPHEN	GRIFFIN CAPITAL ESSENTIAL ASSET II	95,514.44	63.59	0.00	63.59	100.0	63.59
03/31/2018	MORONEY JR, JOHN	GRIFFIN CAPITAL ESSENTIAL ASSET II	42,503.93	28.30	0.00	28.30	100.0	28.30
* JONP/DAN/J FT-P124 FL JUNO CIRE SUBTOTAL *			\$178,612.12	\$755.83	\$0.00	\$755.83		\$755.83
<u>BROKER: DAN/JON PAUL FT-R138 RAUPP/CIRELL #350</u>								
04/11/2018	THEODIVA REALTY CORP	INLAND CHICAGOLAND SUPERMARKET PF D	12,000.00	450.00	0.00	450.00	100.0	450.00
04/24/2018	37-13 22ND STREET	MOODY DFW DST	1,000,000.00	45,000.00	0.00	45,000.00	100.0	45,000.00
* DAN/JON PAUL FT-R138 RAUPP/CIRELL SUBTOTAL *			\$1,012,000.00	\$45,450.00	\$0.00	\$45,450.00		\$45,450.00
<u>BROKER: ROB/DAN/JON PAU FT-P128 PECHA/RAUPP #379</u>								
04/19/2018	SZIMONOWITZ FAM TR	INLAND CHICAGOLAND SUPERMARKET PF D	500,000.00	5,000.00	0.00	5,000.00	100.0	5,000.00
04/19/2018	SZIMONOWITZ FAM TR	MOODY DFW DST	665,000.00	7,980.00	0.00	7,980.00	100.0	7,980.00
* ROB/DAN/JON PAU FT-P128 PECHA/RAUPP SUBTOTAL *			\$1,165,000.00	\$12,980.00	\$0.00	\$12,980.00		\$12,980.00
*** SPLIT TRANSACTIONS TOTAL ***			\$3,861,397.74	\$72,927.41	\$35.82	\$72,891.59		\$72,891.59

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JON PAUL, C141 CIRELLI #246</u>								
03/31/2018	ONE PARKING	JOHN HANCOCK LIFE INS CO	521,123.64	216.63	0.00	216.63	95.0	10.83
03/31/2018	KURITZKY ARCHITECTUR, PASQUALEADP TRAILS		0.00	426.89	0.00	426.89	95.0	21.34
04/05/2018	CIRELLI, VARIOUS	AMERICAN FUNDS 8504AFRKD	0.00	314.10	0.00	314.10	95.0	15.71
03/31/2018	JARDIN, DEAN	GRIFFIN CAPITAL ESSENTIAL ASSET II	95,514.44	235.52	0.00	235.52	95.0	11.78
03/31/2018	KISSIL, GEORGE	GRIFFIN INST'L ACCESS R E FUND	16,283.83	3.46	0.00	3.46	95.0	0.17
* JON PAUL, C141 CIRELLI SUBTOTAL *			\$632,921.91	\$1,196.60	\$0.00	\$1,196.60		\$59.83
<u>BROKER: MARC, L134 LINSKY #263</u>								
04/09/2018	KROSNOWSKI SR, THOMAS	ALLIANCEBERNSTEIN BALANCED SHARES A	0.00	0.35	0.00	0.35	90.0	0.01
04/17/2018	CHIECO, DANIEL	AM CENT EQUITY INCOME	398.18	19.91	22.00	-2.09	90.0	-0.04
04/16/2018	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	9.56	0.00	9.56	90.0	0.16
04/17/2018	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	1,005.12	50.26	22.00	28.26	90.0	0.48
04/02/2018	LINSKY, MARC	BRIGHTHOUSE	35,894.44	89.74	0.00	89.74	90.0	1.53
04/02/2018	FLYNN, JOHN	BRIGHTHOUSE	113,294.74	283.24	0.00	283.24	90.0	4.82
04/17/2018	BOND-HILTNER, LINDA	CRONOS GROUP INC COM ISIN#CA22717L1	1,490.60	30.00	22.50	7.50	90.0	0.13
03/31/2018	ROSENBLUM, LUCILLE	GRIFFIN INST'L ACCESS R E FUND C	54,749.55	46.49	0.00	46.49	90.0	0.79
04/02/2018	DEVONE, JOSEPH	GRIFFIN INST'L ACCESS R E FUND C	25,000.00	250.00	0.00	250.00	90.0	4.25
04/05/2018	KROSNOWSKI, LISA	ING PREMIUM PLUS	35,847.48	67.21	0.00	67.21	90.0	1.14
04/02/2018	PISANI, CARLA	LINCOLN BENEFIT LIFE	541.00	25.70	0.00	25.70	90.0	0.44
04/02/2018	PISANI, NICHOLAS	LINCOLN BENEFIT LIFE	250.00	10.00	0.00	10.00	90.0	0.17
04/17/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	1,255.84	40.81	22.00	18.81	90.0	0.32
04/17/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	948.56	30.83	22.00	8.83	90.0	0.15
04/17/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	240.48	7.82	22.00	-14.18	90.0	-0.24
04/17/2018	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	320.64	10.42	22.00	-11.58	90.0	-0.20
04/17/2018	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	1,005.84	10.06	22.00	-11.94	90.0	-0.20
04/17/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	1,251.11	18.77	22.00	-3.23	90.0	-0.05
04/17/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	952.21	14.28	22.00	-7.72	90.0	-0.13
04/17/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	230.58	3.46	22.00	-18.54	90.0	-0.32
04/17/2018	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	315.98	4.74	22.00	-17.26	90.0	-0.29
04/02/2018	CARROLLTON, STEVE	MET LIFE 10922M105	64,812.69	81.02	0.00	81.02	90.0	1.38
04/06/2018	POLLAN, PATRICIA	METLIFE SHIELD LEVEL SELECT 6 YR	50,000.00	3,000.00	0.00	3,000.00	90.0	51.00
04/16/2018	HAYEK, JENNIFER	METLIFE SHIELD LEVEL SELECT 6 YR	126,472.37	7,588.34	0.00	7,588.34	90.0	129.00
04/03/2018	HAMMOND, HAROLD	PAC LIFE INNOVATIVE SELECT	0.00	82.17	0.00	82.17	90.0	1.40
04/10/2018	MORTON, MARTHA	PAC LIFE INNOVATIVE SELECT	0.00	55.99	0.00	55.99	90.0	0.95

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<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: MARC, L134 LINSKY #263</u>								
04/12/2018	HALL, GLORIA	PAC LIFE INNOVATIVE SELECT	0.00	219.85	0.00	219.85	90.0	3.74
04/23/2018	SCOZZAFAVA, HENRY	RESOURCE REAL ESTATE DIVERSIFIED IN	3,004.25	30.04	22.00	8.04	90.0	0.14
04/23/2018	SCOZZAFAVA, HENRY	RESOURCE REAL ESTATE DIVERSIFIED IN	3,000.48	30.00	22.00	8.00	90.0	0.14
04/23/2018	SCOZZAFAVA, HENRY	RESOURCE REAL ESTATE DIVERSIFIED IN	-3,004.25	-30.04	-4.00	-26.04	90.0	-0.44
04/19/2018	LINSKY, VARIOUS	TRANSAMERICA TRAILS	18,886.47	7.87	0.00	7.87	90.0	0.13
* MARC, L134 LINSKY SUBTOTAL *			\$538,389.36	\$12,088.89	\$304.50	\$11,784.39		\$200.36
<u>BROKER: ANDREW (ANDREAS)Z119 ZIGOURAS #282</u>								
04/16/2018	KWO, RITA	EATON VANCE TAX ADVANTAGED GLO GLOB	23,837.79	238.41	24.11	214.30	90.0	7.29
04/16/2018	KWO, SOPHIA	EATON VANCE TAX ADVANTAGED GLO GLOB	23,263.70	232.90	24.05	208.85	90.0	7.10
04/16/2018	KWO, SOPHIA	EATON VANCE TAX ADVANTAGED GLO GLOB	22,747.71	227.80	24.01	203.79	90.0	6.93
04/24/2018	KWO, RITA	EATON VANCE TAX ADVANTAGED GLO GLOB	-15,085.44	0.00	23.35	-23.35	90.0	-0.79
* ANDREW (ANDREAS)Z119 ZIGOURAS SUBTOTAL *			\$54,763.76	\$699.11	\$95.52	\$603.59		\$20.53
*** OVERRIDE TRANSACTIONS TOTAL ***			\$1,226,075.03	\$13,984.60	\$400.02	\$13,584.58		\$280.72

ADJUSTMENTS

04/30/2018		*FEDERAL EXPRESS: 6-146-67737	0.00					-45.42
		4/11/18						
04/30/2018		*FEDERAL EXPRESS: 6-154-15571	0.00					-47.58
		4/18/18						
04/30/2018		*FINRA: CE TRACKED WEB FEE S101 - J	0.00					-13.75
		WEATHERBIE G0D						
04/30/2018		*FINRA: CONCORDE PROCESSING & SERV	0.00					-18.70
		- A LEEDS 1AF						
04/30/2018		*FINRA: CRD REG APP - A LEEDS 1AF	0.00					-34.00
04/30/2018		*FINRA: FIRM ELEMENT CE - A LEEDS	0.00					-42.50
		1AF						
04/30/2018		*FINRA: S7 EXAM FEE - A LEEDS 1AF	0.00					-103.70
04/30/2018		*MISC: 4/15/18 OVERNIGHT CHECK FEE	0.00					-13.34
04/30/2018		*MISC: C FULMER, A LEEDS K141	0.00					540.00
04/30/2018		*MISC: C FULMER, A LEEDS C141	0.00					540.00
04/30/2018		*MISC: MISC ROY, AFLAC, 1031DST	0.00					1,326.00

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<u>ADJUSTMENTS</u>								
04/30/2018		*MISC: ROY AFLAC 1031DST	0.00					1,126.00
04/30/2018		*PERSHING: PERSHING MARKUP	0.00					30.00
04/30/2018		*PERSHING: PERSHING MARKUP	0.00					10.50
04/30/2018		*PERSHING: PERSHING MARKUP	0.00					30.00
04/30/2018		*PERSHING: PERSHING MARKUP	0.00					7.50
04/30/2018		*REIMBURSEMENT: 1031/DST DUE	0.00					491.28
		DILIGENCE MEETING - FLIGHT						
04/30/2018		*SPLIT PAYOUT/OVERRIDE: BRANCH	0.00					556.60
		OVERRIDES PAYABLE TO RAUPP FROM						
		CIRELLI FROM SPLI						
04/30/2018		*SPLIT PAYOUT/OVERRIDE: BRANCH	0.00					278.69
		OVERRIDES PAYABLE TO RAUPP FROM						
		KIESNOSKI FROM SP						
04/30/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES	0.00					2,529.20
		PAYABLE TO RAUPP FROM CIRELLI FROM						
		SPLITS						
04/30/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES	0.00					1,518.15
		PAYABLE TO RAUPP FROM KIESNOSKI						
		FROM SPLITS						
04/30/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES	0.00					192.63
		PAYABLE TO RAUPP FROM LINSKY						
04/30/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES	0.00					0.93
		PAYABLE TO RAUPP FROM LINSKY FROM						
		SPLITS						
04/30/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES	0.00					1,731.53
		PAYABLE TO RAUPP FROM PECHA FROM						
		SPLITS						
04/30/2018		*SPLIT PAYOUT/OVERRIDE: OVERRIDES	0.00					436.21
		PAYABLE TO RAUPP FROM PFLEGER FROM						
		SPLITS						
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$11,026.23

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BROKER COMMISSION TOTALS

Broker Transactions	\$36,813.52
Split Transactions	\$72,891.59
Override Transactions	\$280.72
Adjustments	\$11,026.23
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$121,012.06
Year-to-date Gross	\$967,516.32
Year-to-date Earnings	\$973,199.31