



Concorde Investment Services, LLC

01/13/2017
Page No. 1

COMMISSION STATEMENT

January 17, 2017

JOSEPH WIEGAND
1220 N. 200 West
Suite B
Angola, IN 46703

BROKER #: W128
BRANCH: LIFETIME FINANCIAL S

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/05/2017	LEY, JEREMY	AM FUNDS BALANCED PORT A	50.00	2.50	0.00	2.50	90.0	2.25
/ /	EVANS, MARVIN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	6.96	0.00	6.96	90.0	6.26
/ /	EVANS, MARVIN	OPPENHEIMER CAPITAL APPRECIATION A	0.00	3.28	0.00	3.28	90.0	2.95
/ /	EVANS, MARVIN	OPPENHEIMER CORE BOND A	0.00	5.39	0.00	5.39	90.0	4.85
/ /	EVANS, MARVIN	OPPENHEIMER CORE BOND A	0.00	2.53	0.00	2.53	90.0	2.28
/ /	CONSTELLATION TRUST	OPPENHEIMER EMERG MKTS INNOV	0.00	2.91	0.00	2.91	90.0	2.62
/ /	CONSTELLATION TR	OPPENHEIMER EMERG MKTS INNOV	0.00	1.36	0.00	1.36	90.0	1.22
/ /	CONSTELLATION TRUST	OPPENHEIMER EQUITY A	0.00	5.20	0.00	5.20	90.0	4.68
/ /	CONSTELLATION TR	OPPENHEIMER EQUITY A	0.00	2.45	0.00	2.45	90.0	2.21
/ /	CONSTELLATION TRUST	OPPENHEIMER GLBL HIGH YIELD	0.00	4.78	0.00	4.78	90.0	4.30
/ /	CONSTELLATION TR	OPPENHEIMER GLBL HIGH YIELD	0.00	2.23	0.00	2.23	90.0	2.01
/ /	EVANS, MARVIN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	3.93	0.00	3.93	90.0	3.54
/ /	EVANS, MARVIN	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	1.87	0.00	1.87	90.0	1.68
/ /	BAALS, GREY	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	1.71	0.00	1.71	90.0	1.54
/ /	EVANS, MARVIN	OPPENHEIMER MAIN ST SMALL CAP FUND	0.00	7.02	0.00	7.02	90.0	6.32
/ /	EVANS, MARVIN	OPPENHEIMER MAIN ST SMALL CAP FUND	0.00	3.31	0.00	3.31	90.0	2.98
/ /	EVANS, MARVIN	OPPENHEIMER MAIN STREET A	0.00	0.93	0.00	0.93	90.0	0.84
/ /	EVANS, MARVIN	OPPENHEIMER MAIN STREET A	0.00	1.99	0.00	1.99	90.0	1.79
/ /	CONSTELLATION TR	OPPENHEIMER VALUE A	0.00	2.23	0.00	2.23	90.0	2.01
/ /	CONSTELLATION TR	OPPENHEIMER VALUE A	0.00	4.73	0.00	4.73	90.0	4.26
* MUTUAL FUNDS SUBTOTAL *			\$50.00	\$67.31	\$0.00	\$67.31		\$60.59
*** BROKER TRANSACTIONS TOTAL ***			\$50.00	\$67.31	\$0.00	\$67.31		\$60.59

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</u>								
12/30/2016	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	138.46	2.06	0.00	2.06	90.0	1.85
12/30/2016	ROEBEL, DAVID	AM FUNDS 2020 TARGET DATE-A	200.00	2.98	0.00	2.98	90.0	2.68
12/30/2016	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	114.50	1.70	0.00	1.70	90.0	1.53
12/30/2016	RICE, SCOTT	AM FUNDS 2025 TARGET DATE-A	114.50	1.70	0.00	1.70	90.0	1.53
12/30/2016	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	276.92	4.12	0.00	4.12	90.0	3.71
12/30/2016	SCOTT, JAMES	AM FUNDS 2040 TARGET DATE-A	138.46	2.06	0.00	2.06	90.0	1.85
12/30/2016	TOURNEY, JOSEPH	AM FUNDS AMCAP A	200.00	2.98	0.00	2.98	90.0	2.68
12/30/2016	TOURNEY, JOSEPH	AM FUNDS AMCAP A	120.00	1.79	0.00	1.79	90.0	1.61
12/30/2016	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.75	0.00	1.75	90.0	1.58
12/28/2016	GATER-BITTING, DEVIN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	0.88	0.00	0.88	90.0	0.79
/ /	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.29	0.00	0.29	90.0	0.26
/ /	MULLINS, JAMES	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.36	0.00	0.36	90.0	0.32
12/27/2016	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	50.00	0.83	0.00	0.83	90.0	0.75
/ /	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	0.68	0.00	0.68	90.0	0.61
/ /	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	6.52	0.00	6.52	90.0	5.87
/ /	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	4.61	0.00	4.61	90.0	4.15
12/30/2016	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.18	0.00	0.18	90.0	0.16
12/30/2016	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.18	0.00	0.18	90.0	0.16
01/03/2017	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	30.00	0.11	0.00	0.11	90.0	0.10
/ /	CRAWFORD, JOHN	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.01	0.00	0.01	90.0	0.01
/ /	BAILEY, LAURIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.68	0.00	1.68	90.0	1.51
/ /	BAILEY, LAURIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.11	0.00	0.11	90.0	0.10
/ /	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.00	0.00	1.00	90.0	0.90
/ /	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	0.00	0.13	0.00	0.13	90.0	0.12
12/30/2016	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.14	0.00	0.14	90.0	0.13
/ /	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	1.55	0.00	1.55	90.0	1.40
/ /	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.14	0.00	0.14	90.0	0.13
12/30/2016	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.09	0.00	0.09	90.0	0.08
01/03/2017	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	70.00	0.25	0.00	0.25	90.0	0.23
/ /	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	0.28	0.00	0.28	90.0	0.25
01/03/2017	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	25.00	0.42	0.00	0.42	90.0	0.38
/ /	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	0.00	1.55	0.00	1.55	90.0	1.40
01/03/2017	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	70.00	0.25	0.00	0.25	90.0	0.23

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<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
/ /	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.28	0.00	0.28	90.0	0.25
/ /	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.38	0.00	0.38	90.0	0.34
12/30/2016	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.42	0.00	0.42	90.0	0.38
01/03/2017	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.42	0.00	0.42	90.0	0.38
/ /	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.70	0.00	0.70	90.0	0.63
/ /	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.40	0.00	0.40	90.0	0.36
/ /	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.40	0.00	0.40	90.0	0.36
12/30/2016	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.64	0.00	0.64	90.0	0.58
12/30/2016	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.42	0.00	0.42	90.0	0.38
12/30/2016	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.42	0.00	0.42	90.0	0.38
/ /	SITES, EDWARD	COLLEGECHOICE ADV 529 2016 ENROLLME	0.00	0.07	0.00	0.07	90.0	0.06
/ /	WALLS, ELENA	INA 2025 ENROLLMENT PORTFOLIO CLASS	0.00	1.19	0.00	1.19	90.0	1.07
/ /	WALLS, THOMAS	INA 2028 ENROLLMENT PORT CL A	0.00	0.65	0.00	0.65	90.0	0.59
/ /	HEFFELFINGER, TAMMY	INA 2028 ENROLLMENT PORT CL A	0.00	0.03	0.00	0.03	90.0	0.03
/ /	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	0.00	0.67	0.00	0.67	90.0	0.60
01/03/2017	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	30.00	0.11	0.00	0.11	90.0	0.10
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.43	0.00	0.43	90.0	0.39
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND A	0.00	0.77	0.00	0.77	90.0	0.69
/ /	GUNZENHAUSER, MARK	OPPENHEIMER CORE BOND FUND C	0.00	0.97	0.00	0.97	90.0	0.87
/ /	LINN, JASON	OPPENHEIMER CORE BOND FUND C	0.00	1.42	0.00	1.42	90.0	1.28
/ /	FIRKS, RICHARD	OPPENHEIMER CORE BOND FUND C	0.00	3.84	0.00	3.84	90.0	3.46
/ /	LINN, JASON	OPPENHEIMER CORPORATE BOND FUND C	0.00	1.43	0.00	1.43	90.0	1.29
/ /	SALGUERO, ANNA	OPPENHEIMER EQUITY A	0.00	0.15	0.00	0.15	90.0	0.14
/ /	GUNZENHAUSER, MARK	OPPENHEIMER EQUITY INCOME A	0.00	0.32	0.00	0.32	90.0	0.29
/ /	FIRKS, RICHARD	OPPENHEIMER GLOBAL HIGH YIELD FUND	0.00	3.89	0.00	3.89	90.0	3.50
/ /	DEWART, BECKY	OPPENHEIMER GLOBAL STRATEGIC INC A	0.00	0.29	0.00	0.29	90.0	0.26
/ /	DEWART, BECKY	OPPENHEIMER INTL BOND A	0.00	0.26	0.00	0.26	90.0	0.23
/ /	DEWART, BECKY	OPPENHEIMER LIMITED TERM GOVERNMENT	0.00	0.29	0.00	0.29	90.0	0.26
/ /	FIRKS, RICHARD	OPPENHEIMER LIMITED-TERM GOV'T FUND	0.00	4.66	0.00	4.66	90.0	4.19
/ /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.93	0.00	0.93	90.0	0.84
/ /	DEWART, BECKY	OPPENHEIMER SENIOR FLOATING RATE A	0.00	0.64	0.00	0.64	90.0	0.58
/ /	GUNZENHAUSER, MARK	OPPENHEIMER SENIOR FLOATING RATE C	0.00	2.05	0.00	2.05	90.0	1.85
/ /	LINN, JASON	OPPENHEIMER SENIOR FLOATING RATE C	0.00	2.60	0.00	2.60	90.0	2.34

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<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
/ /	FIRKS, RICHARD	OPPENHEIMER SENIOR FLOATING RATE C	0.00	4.04	0.00	4.04	90.0	3.64
/ /	SALGUERO, ANNA	OPPENHEIMER US GOVT TRUST A	0.00	0.13	0.00	0.13	90.0	0.12
/ /	DEWART, BECKY	OPPENHEIMER US GOVT TRUST A	0.00	0.49	0.00	0.49	90.0	0.44
/ /	GUNZENHAUSER, MARK	OPPENHEIMER US GOVT TRUST C	0.00	1.80	0.00	1.80	90.0	1.62
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$1,982.84	\$81.98	\$0.00	\$81.98		\$73.83
BROKER: L135-KEITH/JOE LAYMAN/WIEGAND #267								
/ /	MARKS, RICHARD	ASCENSUS 529 2031 ENROLLMENT PORT A	0.00	0.34	0.00	0.34	90.0	0.31
* L135-KEITH/JOE LAYMAN/WIEGAND SUBTOTAL *			\$0.00	\$0.34	\$0.00	\$0.34		\$0.31
*** SPLIT TRANSACTIONS TOTAL ***			\$1,982.84	\$82.32	\$0.00	\$82.32		\$74.14
<u>ADJUSTMENTS</u>								
01/17/2017		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
01/17/2017		*PERSHING: BOOKS & RECORDS	0.00					-1.05
01/17/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-576.05

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BROKER COMMISSION TOTALS

Broker Transactions	\$60.59
Split Transactions	\$74.14
Override Transactions	\$0.00
Adjustments	\$-576.05
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-1,286.85
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

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THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-1,728.17
Year-to-date Gross	\$149.63
Year-to-date Earnings	\$134.73