

COMMISSION STATEMENT
June 30, 2018

KRIS LLOYD-D039

BROKER #: D039

BRANCH: DMJ

, TX

COMMISSION STATEMENT for KRIS LLOYD-D039

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/27/2018 153940372	TOWNES, BETTY	MF AMERICAN BALANCED FUND-A	S	14,002.45	700.00	5.00	695.00	90.0	625.50
06/27/2018 184105032	COMBS, GORDON	MF AMERICAN BALANCED FUND-A	S	15,002.45	750.00	5.00	745.00	90.0	670.50
06/27/2018 184116694	COMBS, ELIZABETH	MF AMERICAN BALANCED FUND-A	S	3,902.45	195.00	5.00	190.00	90.0	171.00
06/27/2018 184121542	COMBS TTEE, GORDON	MF AMERICAN BALANCED FUND-A	S	6,502.45	325.00	5.00	320.00	90.0	288.00
06/27/2018 337637241	HARPER, RICHARD	MF AMERICAN BALANCED FUND-A	S	4,502.45	123.75	2.50	121.25	90.0	109.13
06/27/2018 368431919	COLEMAN, DONALD	MF AMERICAN BALANCED FUND-A	S	10,002.45	425.00	5.00	420.00	90.0	378.00
06/27/2018 368489261	COMBS, GORDON	MF AMERICAN BALANCED FUND-A	S	7,002.45	350.00	5.00	345.00	90.0	310.50
* MUTUAL FUNDS SUBTOTAL *				\$60,917.15	\$2,868.75	\$32.50	\$2,836.25		\$2,552.63
<u>PRODUCT CATEGORY: STOCKS</u>									
06/27/2018 153940372	TOWNES, BETTY	S HCP INC	S	-9,854.16	200.86	15.00	185.86	90.0	167.27
06/27/2018 216051151	DAVID HOLLRAH IND	S ASTRAZENECA P L C-SPONS ADR	B	17,516.75	201.30	15.00	186.30	90.0	167.67
06/27/2018 216051151	DAVID HOLLRAH IND	S CORNING INC	B	13,989.29	167.24	15.00	152.24	90.0	137.02
06/27/2018 338107996	HOLLRAH, DAVID	S ASTRAZENECA P L C-SPONS ADR	B	10,521.00	133.75	15.00	118.75	90.0	106.88
06/27/2018 338107996	HOLLRAH, DAVID	S CORNING INC	B	8,394.17	113.22	15.00	98.22	90.0	88.40
05/30/2018 368259575	ROY, JOHN	S HORMEL FOODS CORPORATION	S	-3,559.51	105.01	15.00	90.01	90.0	81.01
06/26/2018 368259575	ROY, JOHN	S CORNING INC	B	11,272.26	215.81	15.00	200.81	90.0	180.73
06/18/2018 368311550	LLOYD, SHIRLEY	S ADVANCED MICRO DEVICES INC	S	-3,400.51	20.00	15.00	5.00	90.0	4.50
05/30/2018 368333602	LASTER, RICHARD	S HORMEL FOODS CORPORATION	S	-10,795.24	215.20	15.00	200.20	90.0	180.18
05/30/2018 368431919	COLEMAN, DONALD	S HORMEL FOODS CORPORATION	S	-10,807.06	215.38	15.00	200.38	90.0	180.34
05/30/2018 583778526	CULVER, ELOISE	S HORMEL FOODS CORPORATION	S	-8,977.47	187.51	15.00	172.51	90.0	155.26
06/26/2018 645219153	ROYER TTEE, MARY	S CORNING INC	B	2,863.90	91.55	15.00	76.55	90.0	68.90
06/26/2018 645219578	ROYER TTEE, MARY	S CORNING INC	B	11,292.56	216.11	15.00	201.11	90.0	181.00
06/26/2018 645219949	ROYER, MARY	S CORNING INC	B	5,672.51	133.06	15.00	118.06	90.0	106.25
06/26/2018 645225418	ROYER TTEE, MARY	S CORNING INC	B	2,155.71	81.08	15.00	66.08	90.0	59.47
06/27/2018 677260790	SCHULTZE, HARRY	S ASTRAZENECA P L C-SPONS ADR	B	3,596.67	102.38	15.00	87.38	90.0	78.64
06/25/2018 677360654	SCHEPS-DERR, KIM	S AVID TECHNOLOGY INC	S	-1,460.52	73.04	15.00	58.04	90.0	52.24
06/25/2018 677360654	SCHEPS-DERR, KIM	S HORMEL FOODS CORPORATION	B	4,718.90	118.96	15.00	103.96	90.0	93.56
06/27/2018 800452988	WOO, SHIAO	S ADVANCED MICRO DEVICES INC	B	4,728.05	119.10	15.00	104.10	90.0	93.69
* STOCKS SUBTOTAL *				\$47,867.30	\$2,710.56	\$285.00	\$2,425.56		\$2,183.01

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
06/21/2018	mfc991470	LLOYD 991470		MFT AMCAP FUND-A	B	0.00	5.61	0.00	5.61 90.0 5.05
06/21/2018	mfc991470	LLOYD 991470		MFT AMCAP FUND-A	B	0.00	8.37	0.00	8.37 90.0 7.53
06/21/2018	mfc991470	LLOYD 991470		MFT AMERICAN BALANCED FUND-A	B	0.00	0.51	0.00	0.51 90.0 0.46
06/21/2018	mfc991470	LLOYD 991470		MFT AMERICAN BALANCED FUND-A	B	0.00	3.25	0.00	3.25 90.0 2.93
06/21/2018	mfc991470	LLOYD 991470		MFT AMERICAN BALANCED FUND-A	B	0.00	13.27	0.00	13.27 90.0 11.94
06/21/2018	mfc991470	LLOYD 991470		MFT BALANCED PORTFOLIO-A	B	0.00	0.59	0.00	0.59 90.0 0.53
06/21/2018	mfc991470	LLOYD 991470		MFT BALANCED PORTFOLIO-A	B	0.00	1.17	0.00	1.17 90.0 1.05
06/21/2018	mfc991470	LLOYD 991470		MFT BALANCED PORTFOLIO-A	B	0.00	3.07	0.00	3.07 90.0 2.76
06/21/2018	mfc991470	LLOYD 991470		MFT BALANCED PORTFOLIO-A	B	0.00	6.05	0.00	6.05 90.0 5.45
06/21/2018	mfc991470	LLOYD 991470		MFT BALANCED PORTFOLIO-A	B	0.00	15.36	0.00	15.36 90.0 13.82
06/21/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	0.35	0.00	0.35 90.0 0.32
06/21/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	0.41	0.00	0.41 90.0 0.37
06/21/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	0.55	0.00	0.55 90.0 0.50
06/21/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	0.79	0.00	0.79 90.0 0.71
06/21/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	0.94	0.00	0.94 90.0 0.85
06/21/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	1.17	0.00	1.17 90.0 1.05
06/21/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	1.35	0.00	1.35 90.0 1.22
06/21/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	1.36	0.00	1.36 90.0 1.22
06/21/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	1.38	0.00	1.38 90.0 1.24
06/21/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	1.73	0.00	1.73 90.0 1.56
06/21/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	1.89	0.00	1.89 90.0 1.70
06/21/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	2.32	0.00	2.32 90.0 2.09
06/21/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	4.68	0.00	4.68 90.0 4.21
06/21/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	5.46	0.00	5.46 90.0 4.91
06/21/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	5.50	0.00	5.50 90.0 4.95
06/21/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	7.94	0.00	7.94 90.0 7.15
06/21/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	8.02	0.00	8.02 90.0 7.22
06/21/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	8.13	0.00	8.13 90.0 7.32
06/21/2018	mfc991470	LLOYD 991470		MFT CAPITAL INCOME BUILDER-A	B	0.00	11.38	0.00	11.38 90.0 10.24
06/21/2018	mfc991470	LLOYD 991470		MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.55	0.00	4.55 90.0 4.10
06/21/2018	mfc991470	LLOYD 991470		MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	8.21	0.00	8.21 90.0 7.39
06/21/2018	mfc991470	LLOYD 991470		MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	3.47	0.00	3.47 90.0 3.12
06/21/2018	mfc991470	LLOYD 991470		MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	4.16	0.00	4.16 90.0 3.74
06/21/2018	mfc991470	LLOYD 991470		MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	4.44	0.00	4.44 90.0 4.00
06/21/2018	mfc991470	LLOYD 991470		MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	5.85	0.00	5.85 90.0 5.27
06/21/2018	mfc991470	LLOYD 991470		MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	6.62	0.00	6.62 90.0 5.96
06/21/2018	mfc991470	LLOYD 991470		MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	24.68	0.00	24.68 90.0 22.21
06/21/2018	mfc991470	LLOYD 991470		MFT GROWTH PORTFOLIO-A	B	0.00	4.70	0.00	4.70 90.0 4.23
06/21/2018	mfc991470	LLOYD 991470		MFT INTERNATIONAL GROWTH AND	B	0.00	1.74	0.00	1.74 90.0 1.57
06/21/2018	mfc991470	LLOYD 991470		MFT INTERNATIONAL GROWTH AND	B	0.00	4.08	0.00	4.08 90.0 3.67
06/21/2018	mfc991470	LLOYD 991470		MFT INTERNATIONAL GROWTH AND	B	0.00	4.89	0.00	4.89 90.0 4.40
06/21/2018	mfc991470	LLOYD 991470		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.85	0.00	0.85 90.0 0.77
06/21/2018	mfc991470	LLOYD 991470		MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.28	0.00	1.28 90.0 1.15

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06/21/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	4.84	0.00	4.84	90.0	4.36
06/21/2018	mfc991470 LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.36	0.00	6.36	90.0	5.72
06/21/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD CHECKS AND	B	0.00	0.04	0.00	0.04	90.0	0.04
06/21/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD CHECKS AND	B	0.00	2.23	0.00	2.23	90.0	2.01
06/21/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD CHECKS AND	B	0.00	8.00	0.00	8.00	90.0	7.20
06/21/2018	mfc991470 LLOYD 991470	MFT THE HARTFORD DIVIDEND & GROWTH	B	0.00	0.01	0.00	0.01	90.0	0.01
06/21/2018	mfc991470 LLOYD 991470	MFT THE INCOME FUND OF AMERICA-A	B	0.00	8.17	0.00	8.17	90.0	7.35
06/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.58	0.00	0.58	90.0	0.52
06/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.63	0.00	0.63	90.0	0.57
06/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.82	0.00	0.82	90.0	0.74
06/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.93	0.00	0.93	90.0	0.84
06/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.50	0.00	1.50	90.0	1.35
06/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.54	0.00	1.54	90.0	1.39
06/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.94	0.00	1.94	90.0	1.75
06/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.54	0.00	3.54	90.0	3.19
06/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.30	0.00	4.30	90.0	3.87
06/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.72	0.00	4.72	90.0	4.25
06/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.10	0.00	5.10	90.0	4.59
06/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.90	0.00	5.90	90.0	5.31
06/21/2018	mfc991470 LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	8.24	0.00	8.24	90.0	7.42
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$271.51	\$0.00	\$271.51		\$244.41
*** CLEARING TRANSACTIONS TOTAL ***				\$108,784.45	\$5,850.82	\$317.50	\$5,533.32		\$4,980.05

ADJUSTMENTS TRANSACTIONSBROKER: #0

06/30/2018	: BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
06/30/2018	: E&O	0.00	0.00	0.00	0.00	-120.00
06/30/2018	: E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
* SUBTOTAL *		\$0.00	\$0.00	\$0.00	\$0.00	\$-175.00
*** ADJUSTMENTS TRANSACTIONS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-175.00

BROKER COMMISSION TOTALS

Broker Transactions	\$4,980.05
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-175.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$4,805.05
Year-to-date Commissions	\$31,854.62