

STATEMENT

July 20, 2018

ANTHONY MASHINTONIO
PO BOX 1000

BROKER #: 232
BRANCH: LA BRANCH

FREDERICK, PA 19435

COMMISSION STATEMENT for ANTHONY MASHINTONIO

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/01/0018	#00000177553	DEMORRAYM	4812A1456	0.00	50.31	90.0	0.00	45.28	45.28
05/01/0018	#0000373670	STRAN, MICHAEL	BLCKRCK	0.00	3.98	90.0	0.00	3.58	3.58
05/01/0018	#4000005118	OTTEBRENS	DELAWARE FUNDS	480.59	0.10	90.0	0.00	0.09	0.09
05/01/0018	#6000110149	DOTTEBRENS	DELAWARE FUNDS	1,804.67	0.38	90.0	0.00	0.34	0.34
05/01/0018	#6000110149	DOTTEBRENS	DELAWARE FUNDS	2,641.04	0.56	90.0	0.00	0.50	0.50
05/01/0018	#4000005118	OTTEBRENS	DELAWARE FUNDS	361.55	0.08	90.0	0.00	0.07	0.07
05/01/0018	#6000110149	DOTTEBRENS	DELAWARE FUNDS	2,512.07	0.53	90.0	0.00	0.48	0.48
05/01/0018	#6000110149	DOTTEBRENS	DELAWARE FUNDS	3,179.85	0.68	90.0	0.00	0.61	0.61
05/01/0018	#4000005118	OTTEBRENS	DELAWARE FUNDS	326.88	0.07	90.0	0.00	0.06	0.06
05/01/0018	#6000110149	DOTTEBRENS	DELAWARE FUNDS	1,292.97	0.27	90.0	0.00	0.24	0.24
05/01/0018	#6000110149	DOTTEBRENS	DELAWARE FUNDS	11,380.01	2.42	90.0	0.00	2.18	2.18
06/02/0018	#2000116110	RIST, STEPHAN	C - INTERNATIONAL GROWTH PORT	80.00	0.80	90.0	0.00	0.72	0.72
06/02/0018	#2000116106	RIST, STEPHAN	C - INTERNATIONAL GROWTH PORT	80.00	0.80	90.0	0.00	0.72	0.72
06/02/0018	#2000054244	RIST, STEPHAN	C - INTERNATIONAL GROWTH PORT	120.00	1.20	90.0	0.00	1.08	1.08
06/02/0018	#2000054244	RIST, STEPHAN	C - LIMITED TERM GOV PORT	100.00	1.00	90.0	0.00	0.90	0.90
06/02/0018	#2000116110	RIST, STEPHAN	LARGE CAP VALUE C	70.00	0.70	90.0	0.00	0.63	0.63
06/02/0018	#2000116106	RIST, STEPHAN	LARGE CAP VALUE C	70.00	0.70	90.0	0.00	0.63	0.63
06/02/0018	#2000054244	RIST, STEPHAN	LARGE CAP VALUE C	100.00	1.00	90.0	0.00	0.90	0.90
06/02/0018	#2000116110	RIST, STEPHAN	SMALL - & MID - CAP PORTFOLIO C	50.00	0.50	90.0	0.00	0.45	0.45
06/02/0018	#2000116106	RIST, STEPHAN	SMALL - & MID - CAP PORTFOLIO C	50.00	0.50	90.0	0.00	0.45	0.45
06/02/0018	#2000054244	RIST, STEPHAN	SMALL - & MID - CAP PORTFOLIO C	80.00	0.80	90.0	0.00	0.72	0.72
06/20/0201	#6000110149	DOTTEBRENS	DELAWARE VALUE FUND CL A	100.00	5.00	90.0	0.00	4.50	4.50
05/21/2018	#04206698384	GUADAR, C	OPPENHEIMER MAIN STREET FUND CL A	50.00	2.38	90.0	0.00	2.14	2.14
05/23/2018	#00100672838	MATTES E A	STEELPATH MLP INCOME FUND	40,000.00	400.00	90.0	0.00	360.00	360.00
05/25/2018	#00100911747	MATTES, MARLENE	STEELPATH MLP INCOME FUND	20,000.00	200.00	90.0	0.00	180.00	180.00
05/25/2018	#00100911747	MATTES, MARLENE	STEELPATH MLP INCOME FUND	5,000.00	50.00	90.0	0.00	45.00	45.00
05/25/2018	#00101028919	SPERA, C	STEELPATH MLP INCOME FUND	325.00	13.00	90.0	0.00	11.70	11.70
05/31/2018	#02153942103	VARIOUS MASHIN	OPPENHEIMER GLOBAL OPPORTUNITIES FD	1,000.00	40.00	90.0	0.00	36.00	36.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/05/2018	#00101028919 SPERA, C	STEELPATH MLP INCOME FUND	325.00	13.00	90.0	0.00	11.70	0.00	11.70
06/06/2018	#07400211794 REICHA, R	OPPENHEIMER ROCHEST PENNSYLVANIA MU	500.00	9.00	90.0	0.00	8.10	0.00	8.10
06/07/2018	#04206623595 MCPHILLIPS,	OPPENHEIMER MAIN STREET FUND CL A	250.00	11.88	90.0	0.00	10.69	0.00	10.69
06/07/2018	#04206623617 MCPHILLIPS,	OPPENHEIMER MAIN STREET FUND CL A	250.00	11.88	90.0	0.00	10.69	0.00	10.69
* MUTUAL FUNDS SUBTOTAL *			\$92,579.63	\$823.52		\$0.00	\$741.15	\$0.00	\$741.15
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
06/06/0018	#P73A2024818 SAM, HOPE	AMERICAN GEN TRAILS	0.00	79.41	90.0	0.00	71.47	0.00	71.47
06/06/0018	#P73A2024819 SAM, GLENDA	AMERICAN GEN TRAILS	0.00	74.41	90.0	0.00	66.97	0.00	66.97
05/30/0201	#P73A2015469 SAM, PAULA	AMERICAN GEN TRAILS	0.00	17.21	90.0	0.00	15.49	0.00	15.49
06/01/2018	#2557046 SPERA, CLARE	JOHN HANCOCK LIFE TRAILS	0.00	55.49	90.0	0.00	49.94	0.00	49.94
06/01/2018	#2559009 SPERA, CLARE	JOHN HANCOCK LIFE TRAILS	0.00	121.02	90.0	0.00	108.92	0.00	108.92
06/01/2018	#2570888 JONES, NANCY	JOHN HANCOCK LIFE TRAILS	0.00	51.03	90.0	0.00	45.93	0.00	45.93
06/01/2018	#2570889 MOYER, JEAN	JOHN HANCOCK LIFE TRAILS	0.00	51.03	90.0	0.00	45.93	0.00	45.93
06/01/2018	#2570890 TOTH, GARY	JOHN HANCOCK LIFE TRAILS	0.00	51.03	90.0	0.00	45.93	0.00	45.93
06/01/2018	#2582122 MATTES, ERICH	JOHN HANCOCK LIFE TRAILS	0.00	202.67	90.0	0.00	182.40	0.00	182.40
06/01/2018	#2621366 COLBY, DONNA	JOHN HANCOCK LIFE TRAILS	0.00	98.52	90.0	0.00	88.67	0.00	88.67
06/01/2018	#2692327 COLBY, MILDRED	JOHN HANCOCK LIFE TRAILS	0.00	82.15	90.0	0.00	73.94	0.00	73.94
* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$883.97		\$0.00	\$795.59	\$0.00	\$795.59
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/29/2018	#VR08045320 MILLER, THOMAS	PL	0.00	111.15	90.0	0.00	100.04	0.00	100.04
06/01/2018	#00064605208 GABEL R B	AMERICAN BALANCED FUND INC CLASS A	0.00	8.25	90.0	0.00	7.43	0.00	7.43
06/01/2018	#00059333141 DEMOTT R C	AMERICAN HIGH INCOME TR SH BEN INT	0.00	225.36	90.0	0.00	202.82	0.00	202.82
06/01/2018	#00059333141 DEMOTT R C	CAPITAL INCOME BUILDER FD CL A	0.00	63.34	90.0	0.00	57.01	0.00	57.01
06/01/2018	#00059333141 DEMOTT R C	CAPITAL WORLD GROWTH & INCOME FUND	0.00	108.41	90.0	0.00	97.57	0.00	97.57
06/01/2018	#00064605208 GABEL R B	FUNDAMENTAL INVESTORS INC CLASS A	0.00	13.60	90.0	0.00	12.24	0.00	12.24
06/01/2018	#00059333141 DEMOTT R C	INCOME FUND OF AMERICA INC CLASS A	0.00	218.09	90.0	0.00	196.28	0.00	196.28
06/01/2018	#00059333141 DEMOTT R C	INVESTMENT CO AMER CLASS A	0.00	191.76	90.0	0.00	172.58	0.00	172.58
06/01/2018	#00063562625	INVESTMENT CO AMER CLASS A	0.00	12.43	90.0	0.00	11.19	0.00	11.19
06/01/2018	#00064605208 GABEL R B	NEW PERSPECTIVE FUND INC CL A	0.00	12.00	90.0	0.00	10.80	0.00	10.80
06/07/2018	#00704201797 FRANK X	FA 529 PORT 2022 CL A	0.00	16.88	90.0	0.00	15.19	0.00	15.19
06/11/2018	#04459206811	AIG 86691873	0.00	2.75	90.0	0.00	2.48	0.00	2.48
06/11/2018	# CAMPANALE, LISA	DAIN TRAILER	0.00	10.92	90.0	0.00	9.83	0.00	9.83
06/11/2018	# COLONA, DOLORES	DAIN TRAILER	0.00	5.17	90.0	0.00	4.65	0.00	4.65
06/11/2018	# WILKINSON, CAROLE	DAIN TRAILER	0.00	5.10	90.0	0.00	4.59	0.00	4.59

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/11/2018	# WILKINSON, CAROLE	DAIN TRAILER	0.00	2.51	90.0	0.00	2.26	0.00	2.26
06/11/2018	# WILKINSON, CAROLE	DAIN TRAILER	0.00	1.90	90.0	0.00	1.71	0.00	1.71
06/11/2018	# WILKINSON, CAROLE	DAIN TRAILER	0.00	1.72	90.0	0.00	1.55	0.00	1.55
06/11/2018	# WILKINSON, CAROLE	DAIN TRAILER	0.00	4.28	90.0	0.00	3.85	0.00	3.85
06/11/2018	# WILKINSON, CAROLE	DAIN TRAILER	0.00	6.81	90.0	0.00	6.13	0.00	6.13
06/11/2018	# COLONA, DOLORES	DAIN TRAILER	0.00	17.39	90.0	0.00	15.65	0.00	15.65
06/11/2018	# MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	6.83	90.0	0.00	6.15	0.00	6.15
06/11/2018	# MCPHILLIPS, JAMES	DAIN TRAILER	0.00	2.83	90.0	0.00	2.55	0.00	2.55
06/11/2018	# MCPHILLIPS, JAMES	DAIN TRAILER	0.00	2.71	90.0	0.00	2.44	0.00	2.44
06/11/2018	# MCPHILLIPS, JAMES	DAIN TRAILER	0.00	1.30	90.0	0.00	1.17	0.00	1.17
06/11/2018	# MCPHILLIPS, JAMES	DAIN TRAILER	0.00	0.73	90.0	0.00	0.66	0.00	0.66
06/11/2018	# MCPHILLIPS, JAMES	DAIN TRAILER	0.00	6.81	90.0	0.00	6.13	0.00	6.13
06/11/2018	# MCPHILLIPS, JAMES	DAIN TRAILER	0.00	5.11	90.0	0.00	4.60	0.00	4.60
06/11/2018	# MCPHILLIPS, JAMES	DAIN TRAILER	0.00	5.17	90.0	0.00	4.65	0.00	4.65
06/11/2018	# MCPHILLIPS, JAMES	DAIN TRAILER	0.00	5.16	90.0	0.00	4.64	0.00	4.64
06/11/2018	# MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	2.83	90.0	0.00	2.55	0.00	2.55
06/11/2018	# MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	2.76	90.0	0.00	2.48	0.00	2.48
06/11/2018	# MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	1.04	90.0	0.00	0.94	0.00	0.94
06/11/2018	# MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	0.91	90.0	0.00	0.82	0.00	0.82
06/11/2018	# MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	9.04	90.0	0.00	8.14	0.00	8.14
06/11/2018	# MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	12.29	90.0	0.00	11.06	0.00	11.06
06/11/2018	# MCPHILLIPS, DANIELLE	DAIN TRAILER	0.00	7.11	90.0	0.00	6.40	0.00	6.40
06/11/2018	#04459206613	FOCUSED ALPHA GROWTH FUND CLASS A	0.00	2.08	90.0	0.00	1.87	0.00	1.87
06/11/2018	#04459206811	FOCUSED ALPHA GROWTH FUND CLASS A	0.00	8.22	90.0	0.00	7.40	0.00	7.40
06/11/2018	#00009183300 VARIOUS MASHIN	FOCUSED ALPHA LARGE-CAP FUND CLASS	0.00	2.10	90.0	0.00	1.89	0.00	1.89
06/11/2018	#00009417982	FOCUSED ALPHA LARGE-CAP FUND CLASS	0.00	9.53	90.0	0.00	8.58	0.00	8.58
06/11/2018	#04459206613	FOCUSED ALPHA LARGE-CAP FUND CLASS	0.00	3.07	90.0	0.00	2.76	0.00	2.76
06/13/2018	#VR10018810	PL	0.00	81.49	90.0	0.00	73.34	0.00	73.34
06/25/2018	#VR08067069 COLBY, DONNA	PACIFIC LIFE 694475625	0.00	1,131.06	90.0	0.00	1,017.95	0.00	1,017.95
06/25/2018	#VR08067779 MASHINTONIO, A	PACIFIC LIFE 694475625	0.00	34.84	90.0	0.00	31.36	0.00	31.36
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$2,384.84		\$0.00	\$2,146.38	\$0.00	\$2,146.38
*** BROKER TRANSACTIONS TOTAL ***			\$92,579.63	\$4,092.33		\$0.00	\$3,683.12	\$0.00	\$3,683.12

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ADJUSTMENTS

07/20/2018		ADMIN FEE: ADMIN FEE							-390.00
07/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
07/20/2018		FINRA: CRD FEES - FINRA CE TRACKED							-55.00
		WEB FEE							
*** ADJUSTMENTS TOTAL ***									\$-624.00

BROKER COMMISSION TOTALS

Broker Transactions	\$3,683.12
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-624.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,059.12
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