

COMMISSION STATEMENT

July 31, 2018

HOUSE

BROKER #: D000

BRANCH: DMA

, TX

COMMISSION STATEMENT for HOUSE

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

CLEARING TRANSACTIONS
PRODUCT CATEGORY: MUTUAL FUNDS

| | | | | | | | | | |
|----------------------------------|------------------|---------------------------------|---|---------------------|---------------|----------------|-----------------|-----|---------------|
| 07/18/2018 338539528 | HATCHER, WILLIAM | MF AMERICAN BALANCED FUND-F1 | S | -43,477.33 | 0.00 | 5.00 | -5.00 | 0.0 | 0.00 |
| 07/18/2018 338539528 | HATCHER, WILLIAM | MF AMERICAN FDS INTL GROWTH & | S | -5,861.48 | 0.00 | 5.00 | -5.00 | 0.0 | 0.00 |
| 07/18/2018 338539528 | HATCHER, WILLIAM | MF INTERMEDIATE BOND FUND OF | S | -19,711.67 | 0.00 | 5.00 | -5.00 | 0.0 | 0.00 |
| 07/13/2018 368346689 | TAKACS-COOPER, | MF AMERICAN HIGH-INCOME TRUST-A | B | -3,000.00 | 0.00 | 5.00 | -5.00 | 0.0 | 0.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | | \$-72,050.48 | \$0.00 | \$20.00 | \$-20.00 | | \$0.00 |

PRODUCT CATEGORY: STOCKS

| | | | | | | | | | |
|----------------------------|------------------|---------------------------------|---|---------------------|-----------------|-----------------|----------------|-----|---------------|
| 07/26/2018 214755472 | SERVICES INC, | S STONEMOR PARTNERS LP | B | 1,799.98 | 0.00 | -15.00 | 15.00 | 0.0 | 0.00 |
| 07/26/2018 214755472 | SERVICES INC, | S STONEMOR PARTNERS LP | B | -1,799.98 | 0.00 | 15.00 | -15.00 | 0.0 | 0.00 |
| 07/26/2018 214755472 | SERVICES INC, | S STONEMOR PARTNERS LP | B | 2,156.90 | 35.00 | 15.00 | 20.00 | 0.0 | 0.00 |
| 07/18/2018 338539528 | HATCHER, WILLIAM | S GENERAL ELECTRIC COMPANY | S | -659.59 | 25.00 | 15.00 | 10.00 | 0.0 | 0.00 |
| 07/18/2018 338539528 | HATCHER, WILLIAM | S ORACLE CORP | S | -4,832.49 | 25.00 | 15.00 | 10.00 | 0.0 | 0.00 |
| 07/18/2018 338539528 | HATCHER, WILLIAM | S PFIZER INCORPORATED | S | -1,852.78 | 25.00 | 15.00 | 10.00 | 0.0 | 0.00 |
| 07/18/2018 338539528 | HATCHER, WILLIAM | S SOUTHWEST AIRLINES COMPANY | S | -8,073.00 | 25.00 | 15.00 | 10.00 | 0.0 | 0.00 |
| 07/20/2018 339107328 | HAIVALA, MARTY | S GARMIN LTD (SWITZERLAND) ISIN | S | -1,151.83 | 15.00 | 15.00 | 0.00 | 0.0 | 0.00 |
| 07/18/2018 491472330 | MEYER, KENNETH | S VEREIT INC | S | -9,852.14 | 25.00 | 15.00 | 10.00 | 0.0 | 0.00 |
| * STOCKS SUBTOTAL * | | | | \$-24,264.93 | \$175.00 | \$105.00 | \$70.00 | | \$0.00 |

PRODUCT CATEGORY: MISCELLANEOUS

| | | | | | | | | | |
|-----------------------------------|-------------|-----------------------------------|---|---------------|----------------|---------------|----------------|-----|---------------|
| 07/16/2018 a03434562 | HOUSE-D000, | MI MUTUAL FUND MISC FEE 368346689 | S | 0.00 | 5.00 | 0.00 | 5.00 | 0.0 | 0.00 |
| 07/19/2018 a03434562 | HOUSE-D000, | MI MUTUAL FUND MISC FEE 338539528 | S | 0.00 | 15.00 | 0.00 | 15.00 | 0.0 | 0.00 |
| 07/19/2018 a03434562 | HOUSE-D000, | MI MUTUAL FUND MISC FEE 338539528 | S | 0.00 | 15.00 | 0.00 | 15.00 | 0.0 | 0.00 |
| 07/19/2018 a03434562 | HOUSE-D000, | MI MUTUAL FUND MISC FEE 338539528 | S | 0.00 | 15.00 | 0.00 | 15.00 | 0.0 | 0.00 |
| * MISCELLANEOUS SUBTOTAL * | | | | \$0.00 | \$50.00 | \$0.00 | \$50.00 | | \$0.00 |

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

| | | | | | | | | | |
|----------------------|----------|------------------------------------|---|------|------|------|------|-----|------|
| 07/23/2018 mfc033980 | VARIOUS, | MFT CAPITAL INCOME BUILDER-A | B | 0.00 | 0.38 | 0.00 | 0.38 | 0.0 | 0.00 |
| 07/23/2018 mfc033980 | VARIOUS, | MFT CAPITAL INCOME BUILDER-A | B | 0.00 | 2.13 | 0.00 | 2.13 | 0.0 | 0.00 |
| 07/23/2018 mfc033980 | VARIOUS, | MFT CAPITAL INCOME BUILDER-A | B | 0.00 | 3.43 | 0.00 | 3.43 | 0.0 | 0.00 |
| 07/23/2018 mfc033980 | VARIOUS, | MFT COLUMBIA LARGE CAP GROWTH FUND | B | 0.00 | 0.64 | 0.00 | 0.64 | 0.0 | 0.00 |
| 07/23/2018 mfc033980 | VARIOUS, | MFT FRANKLIN INCOME FUND CLASS C | B | 0.00 | 0.06 | 0.00 | 0.06 | 0.0 | 0.00 |
| 07/23/2018 mfc033980 | VARIOUS, | MFT FRANKLIN INCOME FUND CLASS A | B | 0.00 | 0.22 | 0.00 | 0.22 | 0.0 | 0.00 |

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| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|-----------|--|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 07/23/2018 | mfc033980 | VARIOUS, MFT FRANKLIN INCOME FUND CLASS A | B | 0.00 | 0.57 | 0.00 | 0.57 | 0.0 | 0.00 |
| 07/23/2018 | mfc033980 | VARIOUS, MFT FRANKLIN INCOME FUND CLASS A | B | 0.00 | 8.27 | 0.00 | 8.27 | 0.0 | 0.00 |
| 07/23/2018 | mfc033980 | VARIOUS, MFT HARTFORD GLOBAL CAP APP FD-A | B | 0.00 | 0.22 | 0.00 | 0.22 | 0.0 | 0.00 |
| 07/23/2018 | mfc033980 | VARIOUS, MFT HARTFORD GLOBAL EQUITY INCOME | B | 0.00 | 0.18 | 0.00 | 0.18 | 0.0 | 0.00 |
| 07/23/2018 | mfc033980 | VARIOUS, MFT PUTNAM 746444108 | B | 0.00 | 0.08 | 0.00 | 0.08 | 0.0 | 0.00 |
| 07/23/2018 | mfc033980 | VARIOUS, MFT PUTNAM 746444108 | B | 0.00 | 0.08 | 0.00 | 0.08 | 0.0 | 0.00 |
| 07/23/2018 | mfc033980 | VARIOUS, MFT TEMP DEV MRKTS TR FND - CL A | B | 0.00 | 0.19 | 0.00 | 0.19 | 0.0 | 0.00 |
| 07/23/2018 | mfc033980 | VARIOUS, MFT THE HARTFORD INTL SMALL COMPANY | B | 0.00 | 0.19 | 0.00 | 0.19 | 0.0 | 0.00 |
| 07/23/2018 | mfc033980 | VARIOUS, MFT THE HARTFORD VALUE | B | 0.00 | 0.20 | 0.00 | 0.20 | 0.0 | 0.00 |
| 07/23/2018 | mfc033980 | VARIOUS, MFT THE HARTFORD DIVIDEND & GROWTH | B | 0.00 | 0.25 | 0.00 | 0.25 | 0.0 | 0.00 |
| 07/23/2018 | mfc033980 | VARIOUS, MFT THE INCOME FUND OF AMERICA-A | B | 0.00 | 2.45 | 0.00 | 2.45 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT AIM ASIA PACIFIC GROWTH FUND - | B | 0.00 | 6.05 | 0.00 | 6.05 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT AIM CHARTER FUND - CLASS A | B | 0.00 | 1.00 | 0.00 | 1.00 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT AIM INTERNATIONAL GROWTH FUND - | B | 0.00 | 0.13 | 0.00 | 0.13 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT ALLIANCE BERNSTEIN GLOBAL | B | 0.00 | 0.39 | 0.00 | 0.39 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT ALLIANCEBERNSTEIN LARGE-CAP | B | 0.00 | 1.08 | 0.00 | 1.08 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT AMCAP FUND-A | B | 0.00 | 4.02 | 0.00 | 4.02 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT AMERICAN BALANCED FUND-A | B | 0.00 | 4.67 | 0.00 | 4.67 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT AMERICAN BALANCED FUND-F1 | B | 0.00 | 8.83 | 0.00 | 8.83 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT AMERICAN BALANCED FUND-F1 | B | 0.00 | 8.85 | 0.00 | 8.85 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT AMERICAN FDS INTL GROWTH & | B | 0.00 | 1.23 | 0.00 | 1.23 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT AMERICAN FDS INTL GROWTH & | B | 0.00 | 1.23 | 0.00 | 1.23 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT AMERICAN HIGH-INCOME TRUST-A | B | 0.00 | 1.66 | 0.00 | 1.66 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT AMERICAN HIGH-INCOME TRUST-A | B | 0.00 | 2.49 | 0.00 | 2.49 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT BLACKROCK S&P 500 INDEX FD | B | 0.00 | 0.49 | 0.00 | 0.49 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT DAVIS NEW YORK VENTURE CLASS C | B | 0.00 | 6.55 | 0.00 | 6.55 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT DELAWARE SELECT GROWTH FUND A | B | 0.00 | 0.18 | 0.00 | 0.18 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT DREYFUS PREMIER TECHNOLOGY | B | 0.00 | 0.18 | 0.00 | 0.18 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT EUROPACIFIC GROWTH FUND-A | B | 0.00 | 3.60 | 0.00 | 3.60 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT FEDERATED KAUFMANN FUND | B | 0.00 | 2.16 | 0.00 | 2.16 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT FEDERATED EQUITY INCOME FUND | B | 0.00 | 1.13 | 0.00 | 1.13 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT FIDELITY ADV TECHNOLOGY CL T | B | 0.00 | 4.28 | 0.00 | 4.28 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT FIDELITY ADVISOR EMERGING ASIA | B | 0.00 | 1.92 | 0.00 | 1.92 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT FIDELITY ADVISOR EQUITY INCOME | B | 0.00 | 2.29 | 0.00 | 2.29 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT FIDELITY ADVISOR MID CAP STOCK | B | 0.00 | 3.42 | 0.00 | 3.42 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT FRANKLIN INCOME FUND CLASS A | B | 0.00 | 0.70 | 0.00 | 0.70 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT FRANKLIN INCOME FUND CLASS A | B | 0.00 | 0.95 | 0.00 | 0.95 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT FRK AGGRESSIVE GRWTH - CL A | B | 0.00 | 0.77 | 0.00 | 0.77 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT FRK AGGRESSIVE GRWTH - CL A | B | 0.00 | 1.84 | 0.00 | 1.84 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT FRK GOLD FUND - CLASS A | B | 0.00 | 0.45 | 0.00 | 0.45 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT FRK TEMP FOUNDING FUNDS ALLOC | B | 0.00 | 1.04 | 0.00 | 1.04 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT FRK TEMP FOUNDING FUNDS ALLOC | B | 0.00 | 1.16 | 0.00 | 1.16 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT FRK TEMP FOUNDING FUNDS ALLOC | B | 0.00 | 1.78 | 0.00 | 1.78 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE 434562 MFT FRK TEMP FOUNDING FUNDS ALLOC | B | 0.00 | 4.74 | 0.00 | 4.74 | 0.0 | 0.00 |

COMMISSION STATEMENT for HOUSE

| | | | | INVESTMENT | | GROSS | CLEARING | NET | BROKER | | |
|------------|-----------|-------|--------|-------------------------------------|-----|--------|------------|--------|------------|------|------------|
| TRADE DATE | CLIENT | | | INVESTMENT | B/S | AMOUNT | COMMISSION | CHARGE | COMMISSION | RATE | COMMISSION |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT FUNDAMENTAL INVESTORS-A | B | 0.00 | 6.63 | 0.00 | 6.63 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT GROWTH FUND OF AMERICA CLASS F | B | 0.00 | 1.30 | 0.00 | 1.30 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT INTERMEDIATE BOND FUND OF | B | 0.00 | 4.05 | 0.00 | 4.05 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT INTERMEDIATE BOND FUND OF | B | 0.00 | 4.06 | 0.00 | 4.06 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT MASS INVESTORS GROWTH STOCK | B | 0.00 | 0.31 | 0.00 | 0.31 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT MASS INVESTORS GROWTH STOCK | B | 0.00 | 0.37 | 0.00 | 0.37 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT MASS INVESTORS GROWTH STOCK | B | 0.00 | 0.57 | 0.00 | 0.57 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT MASS INVESTORS GROWTH STOCK | B | 0.00 | 0.80 | 0.00 | 0.80 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT MASSACHUSETTS INVESTORS TRUST-A | B | 0.00 | 0.52 | 0.00 | 0.52 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT MASSACHUSETTS INVESTORS TRUST-A | B | 0.00 | 0.70 | 0.00 | 0.70 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT MASSACHUSETTS INVESTORS TRUST-A | B | 0.00 | 0.83 | 0.00 | 0.83 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT MASSACHUSETTS INVESTORS TRUST-A | B | 0.00 | 1.64 | 0.00 | 1.64 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT MFS TECHNOLOGY FUND-A | B | 0.00 | 1.18 | 0.00 | 1.18 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT MFS TOTAL RETURN FUND-A | B | 0.00 | 0.34 | 0.00 | 0.34 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT MFS TOTAL RETURN FUND-A | B | 0.00 | 0.41 | 0.00 | 0.41 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT MFS TOTAL RETURN FUND-A | B | 0.00 | 0.88 | 0.00 | 0.88 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT MFS TOTAL RETURN FUND-A | B | 0.00 | 0.99 | 0.00 | 0.99 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT MFS TOTAL RETURN FUND-A | B | 0.00 | 0.99 | 0.00 | 0.99 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT NEW PERSPECTIVE FUND-A | B | 0.00 | 2.81 | 0.00 | 2.81 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT NEW WORLD FUND-A | B | 0.00 | 3.32 | 0.00 | 3.32 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT NEW WORLD FUND-A | B | 0.00 | 19.10 | 0.00 | 19.10 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT OBERWEIS CHINA OPPORTUNITIES FD | B | 0.00 | 0.83 | 0.00 | 0.83 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT PIMCO ALL ASSET A | B | 0.00 | 1.16 | 0.00 | 1.16 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT PRUDENTIAL QMA STOCK INDEX FD C | B | 0.00 | 3.25 | 0.00 | 3.25 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT PUTNAM 746444876 | B | 0.00 | 15.84 | 0.00 | 15.84 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT PUTNAM 746745108 | B | 0.00 | 0.06 | 0.00 | 0.06 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT PUTNAM 746809102 | B | 0.00 | 1.58 | 0.00 | 1.58 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT PUTNAM 746802800 | B | 0.00 | 1.55 | 0.00 | 1.55 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT PUTNAM 746802800 | B | 0.00 | 1.63 | 0.00 | 1.63 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT PUTNAM 746802800 | B | 0.00 | 4.01 | 0.00 | 4.01 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT PUTNAM ABSOLUTE RETURN 700-A | B | 0.00 | 2.13 | 0.00 | 2.13 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT PUTNAM ABSOLUTE RETURN 700-A | B | 0.00 | 2.74 | 0.00 | 2.74 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT PUTNAM ABSOLUTE RETURN 700 FD | B | 0.00 | 13.46 | 0.00 | 13.46 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT PUTNAM MULTI-CAP CORE FUND-A | B | 0.00 | 0.66 | 0.00 | 0.66 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT PUTNAM NEW OPPORTUNITIES FUND | B | 0.00 | 0.43 | 0.00 | 0.43 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT PUTNAM NEW OPPORTUNITIES FUND | B | 0.00 | 1.25 | 0.00 | 1.25 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT PUTNAM NEW OPPORTUNITIES FUND | B | 0.00 | 4.56 | 0.00 | 4.56 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT RUSSELL LIFEPOINTS BAL STRATEGY | B | 0.00 | 34.94 | 0.00 | 34.94 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT SMALLCAP GROWTH - CLASS A | B | 0.00 | 0.99 | 0.00 | 0.99 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT SMALLCAP WORLD FUND-A | B | 0.00 | 0.70 | 0.00 | 0.70 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT SMALLCAP WORLD FUND-A | B | 0.00 | 1.60 | 0.00 | 1.60 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 0.15 | 0.00 | 0.15 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 3.01 | 0.00 | 3.01 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 | HOUSE | 434562 | MFT THE GROWTH FUND OF AMERICA-A | B | 0.00 | 4.33 | 0.00 | 4.33 | 0.0 | 0.00 |

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|---|------------------------|-------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 07/23/2018 | mfc434562 HOUSE 434562 | MFT THE INCOME FUND OF AMERICA-A | B | 0.00 | 1.94 | 0.00 | 1.94 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 HOUSE 434562 | MFT THE INCOME FUND OF AMERICA-A | B | 0.00 | 2.48 | 0.00 | 2.48 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 HOUSE 434562 | MFT THE INCOME FUND OF AMERICA-A | B | 0.00 | 6.32 | 0.00 | 6.32 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 HOUSE 434562 | MFT THE INCOME FUND OF AMERICA-A | B | 0.00 | 19.85 | 0.00 | 19.85 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 HOUSE 434562 | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 0.19 | 0.00 | 0.19 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 HOUSE 434562 | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 1.22 | 0.00 | 1.22 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 HOUSE 434562 | MFT THE INVESTMENT COMPANY OF | B | 0.00 | 2.86 | 0.00 | 2.86 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 HOUSE 434562 | MFT TIMOTHY PLAN SMALL CAP VALUE FD | B | 0.00 | 1.20 | 0.00 | 1.20 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 HOUSE 434562 | MFT VICTORY MUNDER MULTI CAP FUND | B | 0.00 | 0.02 | 0.00 | 0.02 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 HOUSE 434562 | MFT VICTORY MUNDER MULTI CAP FUND | B | 0.00 | 0.10 | 0.00 | 0.10 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 HOUSE 434562 | MFT WASHINGTON MUTUAL INVESTORS | B | 0.00 | 6.71 | 0.00 | 6.71 | 0.0 | 0.00 |
| 07/23/2018 | mfc434562 HOUSE 434562 | MFT WELLS FARGO ENTERPRISE-A | B | 0.00 | 0.19 | 0.00 | 0.19 | 0.0 | 0.00 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$296.54 | \$0.00 | \$296.54 | | \$0.00 |
| *** CLEARING TRANSACTIONS TOTAL *** | | | | \$-96,315.41 | \$521.54 | \$125.00 | \$396.54 | | \$0.00 |

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

| | | | | | | | | | |
|------------|-------------------------------|------------------------------------|---|--------|------|------|------|-----|------|
| 07/02/2018 | (None) BEAMON, LIN | MF OPPENHEIMER | B | 155.00 | 7.36 | 0.00 | 7.36 | 0.0 | 0.00 |
| 07/10/2018 | (None) MCCLOSKEY, BILL | MF OPPENHEIMER | B | 50.00 | 2.38 | 0.00 | 2.38 | 0.0 | 0.00 |
| 07/11/2018 | (None) PHAN, NONNIE | MF BLACKROCK | B | 10.66 | 2.50 | 0.00 | 2.50 | 0.0 | 0.00 |
| 07/10/2018 | 000000001566633 SHAWN M | MF FRK TEMP FOUNDING FUNDS ALLOC | B | 50.00 | 2.50 | 0.00 | 2.50 | 0.0 | 0.00 |
| 07/16/2018 | 00064309614 DELISE, KOPAVI | MF CAPITAL INCOME BUILDER-A | B | 83.00 | 3.11 | 0.00 | 3.11 | 0.0 | 0.00 |
| 07/16/2018 | 00064309614 DELISE, KOPAVI | MF THE INCOME FUND OF AMERICA-A | B | 83.00 | 3.11 | 0.00 | 3.11 | 0.0 | 0.00 |
| 07/24/2018 | 00067001641 TAN-COLON, JOANA | MF THE GROWTH FUND OF AMERICA-A | B | 50.00 | 1.88 | 0.00 | 1.88 | 0.0 | 0.00 |
| 07/10/2018 | 00067093803 P AHMU, | MF AMERICAN BALANCED FUND-A | B | 100.00 | 3.75 | 0.00 | 3.75 | 0.0 | 0.00 |
| 07/16/2018 | 00067697270 LAWRENCE, | MF THE GROWTH FUND OF AMERICA-A | B | 50.00 | 2.13 | 0.00 | 2.13 | 0.0 | 0.00 |
| 07/16/2018 | 00067833895 CURL, THOMAS | MF THE GROWTH FUND OF AMERICA-A | B | 50.00 | 2.50 | 0.00 | 2.50 | 0.0 | 0.00 |
| 07/16/2018 | 00068779798 ULLOA, AUDREY | MF COLLEGE ENROLLMENT FUND-529A | B | 150.00 | 3.00 | 0.00 | 3.00 | 0.0 | 0.00 |
| 07/20/2018 | 00069291988 ABALOS, NATHAN | MF CAPITAL WORLD GROWTH AND | B | 100.00 | 2.75 | 0.00 | 2.75 | 0.0 | 0.00 |
| 07/20/2018 | 00069291988 ABALOS, NATHAN | MF THE GROWTH FUND OF AMERICA-529A | B | 100.00 | 2.75 | 0.00 | 2.75 | 0.0 | 0.00 |
| 07/10/2018 | 00069291990 ABALOS, NATHAN | MF NEW PERSPECTIVE FUND-529A | B | 100.00 | 2.75 | 0.00 | 2.75 | 0.0 | 0.00 |
| 07/10/2018 | 00069291990 ABALOS, NATHAN | MF THE INVESTMENT COMPANY OF | B | 100.00 | 2.75 | 0.00 | 2.75 | 0.0 | 0.00 |
| 07/20/2018 | 00081236814 PULLIAM, PATRICIA | MF AMERICAN BALANCED FUND-A | B | 62.50 | 2.66 | 0.00 | 2.66 | 0.0 | 0.00 |
| 07/20/2018 | 00081236814 PULLIAM, PATRICIA | MF FUNDAMENTAL INVESTORS-A | B | 125.00 | 5.31 | 0.00 | 5.31 | 0.0 | 0.00 |
| 07/20/2018 | 00081236814 PULLIAM, PATRICIA | MF WASHINGTON MUTUAL INVESTORS | B | 62.50 | 2.66 | 0.00 | 2.66 | 0.0 | 0.00 |
| 07/09/2018 | 00081410354 VAN STONE, CATHY | MF CAPITAL WORLD GROWTH AND INCOME | B | 62.50 | 2.34 | 0.00 | 2.34 | 0.0 | 0.00 |
| 07/09/2018 | 00081410354 VAN STONE, CATHY | MF SMALLCAP WORLD FUND-A | B | 62.50 | 2.34 | 0.00 | 2.34 | 0.0 | 0.00 |
| 07/09/2018 | 00081410354 VAN STONE, CATHY | MF THE GROWTH FUND OF AMERICA-A | B | 62.50 | 2.34 | 0.00 | 2.34 | 0.0 | 0.00 |
| 07/09/2018 | 00081410354 VAN STONE, CATHY | MF THE INCOME FUND OF AMERICA-A | B | 62.50 | 2.34 | 0.00 | 2.34 | 0.0 | 0.00 |
| 07/27/2018 | 00083275074 GAYNOR, MICHELE | MF CAPITAL WORLD GROWTH AND INCOME | B | 50.00 | 2.50 | 0.00 | 2.50 | 0.0 | 0.00 |
| 07/16/2018 | 00085852490 BARRETERO, JOHN | MF CAPITAL INCOME BUILDER-A | B | 33.00 | 1.65 | 0.00 | 1.65 | 0.0 | 0.00 |
| 07/16/2018 | 00085852490 BARRETERO, JOHN | MF CAPITAL INCOME BUILDER-A | B | 0.99 | 0.05 | 0.00 | 0.05 | 0.0 | 0.00 |

COMMISSION STATEMENT for HOUSE

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------|-------------------|------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 07/16/2018 00085852490 | BARRETERO, JOHN | MF CAPITAL WORLD GROWTH AND INCOME | B | 33.00 | 1.65 | 0.00 | 1.65 | 0.0 | 0.00 |
| 07/16/2018 00085852490 | BARRETERO, JOHN | MF CAPITAL WORLD GROWTH AND INCOME | B | 0.99 | 0.05 | 0.00 | 0.05 | 0.0 | 0.00 |
| 07/16/2018 00085852490 | BARRETERO, JOHN | MF NEW WORLD FUND-A | B | 34.00 | 1.70 | 0.00 | 1.70 | 0.0 | 0.00 |
| 07/16/2018 00085852490 | BARRETERO, JOHN | MF NEW WORLD FUND-A | B | 1.02 | 0.05 | 0.00 | 0.05 | 0.0 | 0.00 |
| 07/16/2018 00085857400 | MUNOZ, EUSEVIO | MF CAPITAL INCOME BUILDER-A | B | 0.27 | 0.01 | 0.00 | 0.01 | 0.0 | 0.00 |
| 07/16/2018 00085857400 | MUNOZ, EUSEVIO | MF CAPITAL INCOME BUILDER-A | B | 9.24 | 0.46 | 0.00 | 0.46 | 0.0 | 0.00 |
| 07/16/2018 00085857400 | MUNOZ, EUSEVIO | MF CAPITAL WORLD GROWTH AND INCOME | B | 0.28 | 0.01 | 0.00 | 0.01 | 0.0 | 0.00 |
| 07/16/2018 00085857400 | MUNOZ, EUSEVIO | MF CAPITAL WORLD GROWTH AND INCOME | B | 9.24 | 0.46 | 0.00 | 0.46 | 0.0 | 0.00 |
| 07/16/2018 00085857400 | MUNOZ, EUSEVIO | MF NEW WORLD FUND-A | B | 0.29 | 0.01 | 0.00 | 0.01 | 0.0 | 0.00 |
| 07/16/2018 00085857400 | MUNOZ, EUSEVIO | MF NEW WORLD FUND-A | B | 9.52 | 0.48 | 0.00 | 0.48 | 0.0 | 0.00 |
| 07/11/2018 00132774038 | HERNANDEZ, THOMAS | MF PUTNAM NEW OPPORTUNITIES FUND | B | 50.00 | 2.50 | 0.00 | 2.50 | 0.0 | 0.00 |
| 07/10/2018 00537011470 | SWANGO, BRENDA | MF FIDELITY ADVISOR EQUITY INCOME | B | 12.46 | 0.12 | 0.00 | 0.12 | 0.0 | 0.00 |
| 07/10/2018 00537011470 | SWANGO, BRENDA | MF FIDELITY ADVISOR EQUITY INCOME | B | 24.92 | 0.25 | 0.00 | 0.25 | 0.0 | 0.00 |
| 07/10/2018 00537011470 | SWANGO, BRENDA | MF FIDELITY ADVISOR GROWTH & | B | 37.39 | 0.37 | 0.00 | 0.37 | 0.0 | 0.00 |
| 07/10/2018 00537011470 | SWANGO, BRENDA | MF FIDELITY ADVISOR GROWTH & | B | 74.77 | 0.75 | 0.00 | 0.75 | 0.0 | 0.00 |
| 07/10/2018 00537011470 | SWANGO, BRENDA | MF FIDELITY ADVISOR GROWTH OPPORT | B | 49.85 | 0.50 | 0.00 | 0.50 | 0.0 | 0.00 |
| 07/10/2018 00537011470 | SWANGO, BRENDA | MF FIDELITY ADVISOR GROWTH OPPORT | B | 99.70 | 1.00 | 0.00 | 1.00 | 0.0 | 0.00 |
| 07/10/2018 00537011470 | SWANGO, BRENDA | MF FIDELITY ADV EUROPE FUND | B | 24.92 | 0.25 | 0.00 | 0.25 | 0.0 | 0.00 |
| 07/10/2018 00537011470 | SWANGO, BRENDA | MF FIDELITY ADV EUROPE FUND | B | 49.85 | 0.50 | 0.00 | 0.50 | 0.0 | 0.00 |
| 07/09/2018 00957551520 | CATHERINE HATCHER | MF PIONEER VALUE FUND A | B | 25.00 | 1.25 | 0.00 | 1.25 | 0.0 | 0.00 |
| 07/16/2018 03910377153 | MCCARTNEY | MF INVESCO AMERICAN FRANCHISE FUND | B | 100.00 | 5.00 | 0.00 | 5.00 | 0.0 | 0.00 |
| * MUTUAL FUNDS SUBTOTAL * | | | | \$2,462.36 | \$86.78 | \$0.00 | \$86.78 | | \$0.00 |

PRODUCT CATEGORY: VARIABLE ANNUITIES

| | | | | | | | | | |
|---------------------------------|----|------------|---|--------|--------|--------|--------|-----|--------|
| 07/19/2018 (None) PITTMAN, ERIC | VA | NATIONWIDE | B | 0.00 | 0.45 | 0.00 | 0.45 | 0.0 | 0.00 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | | \$0.00 | \$0.45 | \$0.00 | \$0.45 | | \$0.00 |

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

| | | | | | | | | | |
|----------------------------------|-----|--------------------------|---|------|--------|------|--------|-----|------|
| 06/30/2018 (None) VARIOUS, HOUSE | MFT | AIG | B | 0.00 | 16.73 | 0.00 | 16.73 | 0.0 | 0.00 |
| 06/30/2018 (None) VARIOUS, HOUSE | MFT | AIG | B | 0.00 | 8.06 | 0.00 | 8.06 | 0.0 | 0.00 |
| 06/30/2018 (None) VARIOUS, HOUSE | MFT | API | B | 0.00 | 24.97 | 0.00 | 24.97 | 0.0 | 0.00 |
| 06/30/2018 (None) PHAN, NONNIE | MFT | BLACKROCK | B | 0.00 | 3.21 | 0.00 | 3.21 | 0.0 | 0.00 |
| 06/30/2018 (None) HALLEELIZ | MFT | BLACKROCK | B | 0.00 | 3.22 | 0.00 | 3.22 | 0.0 | 0.00 |
| 06/30/2018 (None) PARKEALICJ | MFT | DAVIS | B | 0.00 | 35.40 | 0.00 | 35.40 | 0.0 | 0.00 |
| 06/30/2018 (None) VARIOUS, HOUSE | MFT | DELWARE | B | 0.00 | 13.17 | 0.00 | 13.17 | 0.0 | 0.00 |
| 06/30/2018 (None) VARIOUS, HOUSE | MFT | DELWARE | B | 0.00 | 0.34 | 0.00 | 0.34 | 0.0 | 0.00 |
| 06/30/2018 (None) VARIOUS, HOUSE | MFT | DELWARE | B | 0.00 | 3.11 | 0.00 | 3.11 | 0.0 | 0.00 |
| 06/30/2018 (None) CHAU, MANN | MFT | FIDELITY DESTINY | B | 0.00 | 2.26 | 0.00 | 2.26 | 0.0 | 0.00 |
| 06/30/2018 (None) VARIOUS, HOUSE | MFT | HARTFORD MUTUAL FUIND | B | 0.00 | 0.38 | 0.00 | 0.38 | 0.0 | 0.00 |
| 06/30/2018 (None) VARIOUS, HOUSE | MFT | HARTFORD MUTUAL FUIND | B | 0.00 | 2.02 | 0.00 | 2.02 | 0.0 | 0.00 |
| 06/30/2018 (None) BURNS, WILEY | MFT | JOHN HANCOCK FREEDOM | B | 0.00 | 17.26 | 0.00 | 17.26 | 0.0 | 0.00 |
| 06/30/2018 (None) VARIOUS, HOUSE | MFT | JOHN HANCOCK MUTUAL FUND | B | 0.00 | 6.22 | 0.00 | 6.22 | 0.0 | 0.00 |
| 06/30/2018 (None) VARIOUS, HOUSE | MFT | NATIXIS | B | 0.00 | 8.56 | 0.00 | 8.56 | 0.0 | 0.00 |
| 06/30/2018 (None) VARIOUS, HOUSE | MFT | NUVEEN 12B-1 | B | 0.00 | 148.78 | 0.00 | 148.78 | 0.0 | 0.00 |
| 06/30/2018 (None) VARIOUS, HOUSE | MFT | PRUDENTIAL MUTUAL FUNDS | B | 0.00 | 7.11 | 0.00 | 7.11 | 0.0 | 0.00 |

COMMISSION STATEMENT for HOUSE

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------------------|-------------------|-------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 06/30/2018 (None) | VARIOUS, HOUSE | MFT PRUDENTIAL MUTUAL FUNDS | B | 0.00 | 15.48 | 0.00 | 15.48 | 0.0 | 0.00 |
| 06/30/2018 (None) | FORREEDSO | MFT VIRTUS | B | 0.00 | 2.11 | 0.00 | 2.11 | 0.0 | 0.00 |
| 06/30/2018 (None) | VARIOUS, HOUSE | MFT VIRTUS | B | 0.00 | 8.29 | 0.00 | 8.29 | 0.0 | 0.00 |
| 07/09/2018 00000021149 | ROBERT ARMBRUST & | MFT DEUTSCHE CAPITAL GROWTH FUND-A | B | 0.00 | 14.17 | 0.00 | 14.17 | 0.0 | 0.00 |
| 07/09/2018 00003858610 | JAUER, BRYAN | MFT DEUTSCHE GNMA FUND-A | B | 0.00 | 132.77 | 0.00 | 132.77 | 0.0 | 0.00 |
| 07/09/2018 00003858610 | JAUER, BRYAN | MFT DEUTSCHE MANAGED MUNICIPAL BOND | B | 0.00 | 149.96 | 0.00 | 149.96 | 0.0 | 0.00 |
| 07/09/2018 00003858614 | JAUER, CLARENCE | MFT DEUTSCHE GNMA FUND-A | B | 0.00 | 87.55 | 0.00 | 87.55 | 0.0 | 0.00 |
| 07/09/2018 00003858614 | JAUER, CLARENCE | MFT DEUTSCHE MANAGED MUNICIPAL BOND | B | 0.00 | 97.00 | 0.00 | 97.00 | 0.0 | 0.00 |
| 07/09/2018 00009575488 | VERNON, SAMUEL | MFT DEUTSCHE CORE EQUITY FUND-A | B | 0.00 | 50.35 | 0.00 | 50.35 | 0.0 | 0.00 |
| 07/09/2018 00009575488 | VERNON, SAMUEL | MFT DEUTSCHE CAPITAL GROWTH FUND-A | B | 0.00 | 17.98 | 0.00 | 17.98 | 0.0 | 0.00 |
| 07/09/2018 00089007491 | JAUER, CLARENCE | MFT DEUTSCHE GNMA FUND-A | B | 0.00 | 45.78 | 0.00 | 45.78 | 0.0 | 0.00 |
| 07/09/2018 00089135068 | JAUER, BRYAN | MFT DEUTSCHE GLOBAL INCOME BUILDER | B | 0.00 | 13.23 | 0.00 | 13.23 | 0.0 | 0.00 |
| 07/09/2018 00089135074 | JAUER, CLARENCE | MFT DEUTSCHE GLOBAL INCOME BUILDER | B | 0.00 | 10.50 | 0.00 | 10.50 | 0.0 | 0.00 |
| 07/09/2018 00089316615 | CALDCLEUGH, JOHN | MFT DEUTSCHE CAPITAL GROWTH FUND-A | B | 0.00 | 26.33 | 0.00 | 26.33 | 0.0 | 0.00 |
| 07/09/2018 00089732978 | GIFT MIN ACT - | MFT DEUTSCHE CAPITAL GROWTH FUND-A | B | 0.00 | 1.07 | 0.00 | 1.07 | 0.0 | 0.00 |
| 07/09/2018 00090418977 | JAUER, DAVID | MFT DEUTSCHE SMALL CAP GROWTH | B | 0.00 | 13.56 | 0.00 | 13.56 | 0.0 | 0.00 |
| 07/09/2018 00097884214 | JAUER, ELIZABETH | MFT DEUTSCHE SMALL CAP GROWTH | B | 0.00 | 36.40 | 0.00 | 36.40 | 0.0 | 0.00 |
| 07/09/2018 00098155878 | BAHRKE JT TEN, | MFT DEUTSCHE SMALL CAP GROWTH | B | 0.00 | 13.59 | 0.00 | 13.59 | 0.0 | 0.00 |
| 07/09/2018 00133013477 | CALDCLEVGH, OWEN | MFT DEUTSCHE GLOBAL INCOME BUILDER | B | 0.00 | 3.15 | 0.00 | 3.15 | 0.0 | 0.00 |
| 07/09/2018 00133195927 | THOMAS, AUDREY | MFT DEUTSCHE CROCI EQUITY DIVIDEND | B | 0.00 | 1.95 | 0.00 | 1.95 | 0.0 | 0.00 |
| 07/09/2018 00133195940 | THOMAS, ANDREW | MFT DEUTSCHE CROCI EQUITY DIVIDEND | B | 0.00 | 1.95 | 0.00 | 1.95 | 0.0 | 0.00 |
| 07/09/2018 00133281554 | BILDERBACK, RUTH | MFT DEUTSCHE CROCI | B | 0.00 | 3.36 | 0.00 | 3.36 | 0.0 | 0.00 |
| 07/09/2018 00133281554 | BILDERBACK, RUTH | MFT DEUTSCHE GLOBAL INCOME BUILDER | B | 0.00 | 3.71 | 0.00 | 3.71 | 0.0 | 0.00 |
| 07/09/2018 00133281554 | BILDERBACK, RUTH | MFT DEUTSCHE SMALL CAP CORE FUND-A | B | 0.00 | 4.12 | 0.00 | 4.12 | 0.0 | 0.00 |
| 07/09/2018 00133281554 | BILDERBACK, RUTH | MFT DEUTSCHE SMALL CAP GROWTH | B | 0.00 | 1.82 | 0.00 | 1.82 | 0.0 | 0.00 |
| 07/05/2018 00999005828 | 907445019 | MFT COLUMBIA STRATEGIC INCOME | B | 0.00 | 2.77 | 0.00 | 2.77 | 0.0 | 0.00 |
| 07/05/2018 01002969111 | GREENWELL, HAROLD | MFT WELLS FARGO PRECIOUS METALS-A | B | 0.00 | 0.73 | 0.00 | 0.73 | 0.0 | 0.00 |
| 07/05/2018 01008869663 | TARANTINO, | MFT WELLS FARGO GLOBAL | B | 0.00 | 0.14 | 0.00 | 0.14 | 0.0 | 0.00 |
| 07/05/2018 01008869663 | TARANTINO, | MFT WELLS FARGO GLOBAL | B | 0.00 | 0.41 | 0.00 | 0.41 | 0.0 | 0.00 |
| 07/05/2018 01009334945 | DEVINE, MARC | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 0.59 | 0.00 | 0.59 | 0.0 | 0.00 |
| 07/05/2018 01009334945 | DEVINE, MARC | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 1.76 | 0.00 | 1.76 | 0.0 | 0.00 |
| 07/05/2018 01009368557 | DEVINE, MARC | MFT WELLS FARGO GLOBAL | B | 0.00 | 0.54 | 0.00 | 0.54 | 0.0 | 0.00 |
| 07/05/2018 01009368557 | DEVINE, MARC | MFT WELLS FARGO GLOBAL | B | 0.00 | 1.63 | 0.00 | 1.63 | 0.0 | 0.00 |
| 07/05/2018 01009368557 | DEVINE, MARC | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 0.60 | 0.00 | 0.60 | 0.0 | 0.00 |
| 07/05/2018 01009368557 | DEVINE, MARC | MFT WELLS FARGO SPECIAL MID CAP | B | 0.00 | 1.80 | 0.00 | 1.80 | 0.0 | 0.00 |
| 07/09/2018 04703679706 | ABALOS, PEDRO | MFT ALLIANCEBERNSTEIN LARGE-CAP | B | 0.00 | 5.94 | 0.00 | 5.94 | 0.0 | 0.00 |
| 07/09/2018 04705730522 | FBO NATHAN P | MFT ALLIANCEBERNSTEIN LARGE-CAP | B | 0.00 | 4.54 | 0.00 | 4.54 | 0.0 | 0.00 |
| 07/09/2018 04787882923 | CALDCLEUGH, JOHN | MFT ALLIANCEBERNSTEIN INTL VALUE A | B | 0.00 | 3.69 | 0.00 | 3.69 | 0.0 | 0.00 |
| 07/05/2018 08009120302 | LYNN PARKER | MFT WELLS FARGO ENTERPRISE-A | B | 0.00 | 0.20 | 0.00 | 0.20 | 0.0 | 0.00 |
| 07/05/2018 08303694207 | COAN, JUDITH | MFT WELLS FARGO HIGH YIELD BOND-A | B | 0.00 | 0.81 | 0.00 | 0.81 | 0.0 | 0.00 |
| 07/05/2018 08322273206 | HIGGINS, STEPHEN | MFT WELLS FARGO PRECIOUS METALS-A | B | 0.00 | 0.28 | 0.00 | 0.28 | 0.0 | 0.00 |
| 07/05/2018 08694039007 | OWEN, JAMES | MFT COLUMBIA LARGE CAP GROWTH FUND | B | 0.00 | 11.01 | 0.00 | 11.01 | 0.0 | 0.00 |
| 07/09/2018 09011136291 | GO, MICHAEL | MFT DEUTSCHE GNMA FUND-A | B | 0.00 | 5.34 | 0.00 | 5.34 | 0.0 | 0.00 |
| 07/09/2018 09011150215 | GO, MICHAEL | MFT DEUTSCHE CROCI INTERNATIONAL | B | 0.00 | 7.00 | 0.00 | 7.00 | 0.0 | 0.00 |

COMMISSION STATEMENT for HOUSE

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|-------------------|------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| 07/09/2018 09200338505 | GO, MICHAEL | MFT DEUTSCHE GNMA FUND-A | B | 0.00 | 45.19 | 0.00 | 45.19 | 0.0 | 0.00 |
| 07/09/2018 09200341598 | VANDENHEUVEL, ITA | MFT DEUTSCHE GNMA FUND-A | B | 0.00 | 5.54 | 0.00 | 5.54 | 0.0 | 0.00 |
| 07/09/2018 09334500580 | PRIVETT, PATRICIA | MFT DEUTSCHE GLOBAL INCOME BUILDER | B | 0.00 | 6.96 | 0.00 | 6.96 | 0.0 | 0.00 |
| 07/09/2018 09334523248 | THROCKMORTON, | MFT DEUTSCHE GNMA FUND-A | B | 0.00 | 28.88 | 0.00 | 28.88 | 0.0 | 0.00 |
| 07/09/2018 09334524425 | MARTIN JT TEN, | MFT DEUTSCHE GLOBAL INCOME BUILDER | B | 0.00 | 1.67 | 0.00 | 1.67 | 0.0 | 0.00 |
| 07/09/2018 09334646134 | HERRON, KENNETH | MFT DEUTSCHE GLOBAL INCOME BUILDER | B | 0.00 | 13.87 | 0.00 | 13.87 | 0.0 | 0.00 |
| 07/09/2018 09334744826 | MORTON, PATSY | MFT DEUTSCHE CASH INVESTMENT | B | 0.00 | 6.07 | 0.00 | 6.07 | 0.0 | 0.00 |
| 07/09/2018 09334771574 | CITIGROUP GLOBAL | MFT DEUTSCHE CAPITAL GROWTH FUND-A | B | 0.00 | 12.88 | 0.00 | 12.88 | 0.0 | 0.00 |
| 07/09/2018 09334801328 | ROBERT ARMBRUST & | MFT DEUTSCHE GLOBAL INCOME BUILDER | B | 0.00 | 12.75 | 0.00 | 12.75 | 0.0 | 0.00 |
| 07/09/2018 09940052567 | KASSABIAN, DAVID | MFT DEUTSCHE GLOBAL INCOME BUILDER | B | 0.00 | 52.88 | 0.00 | 52.88 | 0.0 | 0.00 |
| 07/05/2018 51460000018 | DEVINE, MARC | MFT COLUMBIA SELECT SMALLER-CAP | B | 0.00 | 1.13 | 0.00 | 1.13 | 0.0 | 0.00 |
| 07/09/2018 IRK126716 | VICTORY BAPTIST | MFT 8504AFRKD | B | 0.00 | 174.78 | 0.00 | 174.78 | 0.0 | 0.00 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$1,469.36 | \$0.00 | \$1,469.36 | | \$0.00 |

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

| | | | | | | | | |
|--|----------------------------------|---|----------|-------------------|-------------------|---------------|-------------------|---------------|
| 06/30/2018 (None) VARIOUS, HOUSE | VAT LINCOLN NATL LIFE | B | 0.00 | 15.00 | 0.00 | 15.00 | 0.0 | 0.00 |
| 06/30/2018 (None) SIMON | VAT PHOENIX LIFE | B | 1,999.15 | 5.00 | 0.00 | 5.00 | 0.0 | 0.00 |
| 06/30/2018 (None) VARIOUS, HOUSE | VAT TRANSAMERICA LIFE | B | 0.00 | 562.26 | 0.00 | 562.26 | 0.0 | 0.00 |
| 07/10/2018 (None) EVANS | VAT SAMMONS | B | 0.00 | 13.96 | 0.00 | 13.96 | 0.0 | 0.00 |
| 07/12/2018 (None) AEGOLIUS | VAT TRANSAMERICA LIFE | B | 0.00 | 149.93 | 0.00 | 149.93 | 0.0 | 0.00 |
| 07/19/2018 VA98045900 MANN | VAT PACIFIC LIFE CUSIP 694474107 | B | 0.00 | 7.91 | 0.00 | 7.91 | 0.0 | 0.00 |
| * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | | | | \$1,999.15 | \$754.06 | \$0.00 | \$754.06 | \$0.00 |
| *** DIRECT TRANSACTIONS TOTAL *** | | | | \$4,461.51 | \$2,310.65 | \$0.00 | \$2,310.65 | \$0.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|--------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|---------------------------------|---------------|
| Net Commissions | \$0.00 |
| Year-to-date Commissions | \$0.00 |