

**COMMISSION STATEMENT****January 15, 2018**JEFFREY KAUFMAN  
801 Gray Oak DriveBROKER #: K119  
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65201

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/27/2017	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	85.40	0.85	0.00	0.85	90.0	0.77
12/27/2017	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	85.40	0.85	0.00	0.85	90.0	0.77
12/27/2017	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	42.29	0.42	0.00	0.42	90.0	0.38
12/27/2017	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	42.29	0.42	0.00	0.42	90.0	0.38
12/27/2017	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	44.32	0.44	0.00	0.44	90.0	0.40
12/27/2017	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	44.32	0.44	0.00	0.44	90.0	0.40
12/27/2017	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	31.00	0.31	0.00	0.31	90.0	0.28
12/27/2017	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	31.00	0.31	0.00	0.31	90.0	0.28
12/27/2017	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
12/27/2017	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
12/27/2017	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	42.42	0.42	0.00	0.42	90.0	0.38
12/27/2017	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	42.42	0.42	0.00	0.42	90.0	0.38
12/27/2017	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	377.47	3.77	0.00	3.77	90.0	3.39
12/27/2017	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	161.77	1.62	0.00	1.62	90.0	1.46
12/27/2017	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	68.35	0.68	0.00	0.68	90.0	0.61
12/27/2017	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	68.35	0.68	0.00	0.68	90.0	0.61
01/02/2018	HOLTKAMP, MARIGENE	AM FUNDS AMERICAN BALANCED A	153.88	1.54	0.00	1.54	90.0	1.39
01/02/2018	HOLTKAMP, MARIGENE	AM FUNDS AMERICAN BALANCED A	7.88	0.08	0.00	0.08	90.0	0.07
01/02/2018	MEDLEY, LISA	AM FUNDS AMERICAN BALANCED A	64.32	0.64	0.00	0.64	90.0	0.58
01/02/2018	MEDLEY, LISA	AM FUNDS AMERICAN BALANCED A	64.32	0.64	0.00	0.64	90.0	0.58
01/02/2018	HOLTKAMP, MARIGENE	AM FUNDS AMERICAN HIGH-INC MUNI BON	153.89	1.54	0.00	1.54	90.0	1.39
01/02/2018	HOLTKAMP, MARIGENE	AM FUNDS AMERICAN HIGH-INC MUNI BON	7.88	0.08	0.00	0.08	90.0	0.07
12/27/2017	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	24.00	0.24	0.00	0.24	90.0	0.22
12/27/2017	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	64.00	0.64	0.00	0.64	90.0	0.58
12/27/2017	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	419.21	4.19	0.00	4.19	90.0	3.77
12/27/2017	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	419.21	4.19	0.00	4.19	90.0	3.77

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/27/2017	KEATON, LINDSY	AM FUNDS BALANCED PORT A	34.61	0.35	0.00	0.35	90.0	0.32
12/27/2017	KEATON, LINDSY	AM FUNDS BALANCED PORT A	34.61	0.35	0.00	0.35	90.0	0.32
12/27/2017	GODAR, LOUISE	AM FUNDS BALANCED PORT A	58.92	0.59	0.00	0.59	90.0	0.53
12/27/2017	GODAR, LOUISE	AM FUNDS BALANCED PORT A	58.92	0.59	0.00	0.59	90.0	0.53
12/27/2017	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	20.80	0.21	0.00	0.21	90.0	0.19
12/27/2017	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	20.80	0.21	0.00	0.21	90.0	0.19
12/26/2017	CROWLEY, MICHA	AM FUNDS EUROPACIFIC GROWTH FUND A	110.77	2.22	0.00	2.22	90.0	2.00
12/27/2017	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	18.00	0.18	0.00	0.18	90.0	0.16
12/27/2017	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	48.00	0.48	0.00	0.48	90.0	0.43
01/02/2018	REDDICK, RYAN	AM FUNDS EUROPACIFIC GROWTH FUND A	62.50	1.72	0.00	1.72	90.0	1.55
01/05/2018	STALEY, JOSELYN	AM FUNDS EUROPACIFIC GROWTH FUND A	200.00	10.00	0.00	10.00	90.0	9.00
01/02/2018	GAMM, SKYLAR	AM FUNDS EUROPACIFIC GROWTH FUND C	300.00	3.00	0.00	3.00	90.0	2.70
12/27/2017	BROCKSMITH, RACHEL	AM FUNDS GROWTH AND INCOME A	188.37	1.88	0.00	1.88	90.0	1.69
12/27/2017	BROCKSMITH, RACHEL	AM FUNDS GROWTH AND INCOME A	188.37	1.88	0.00	1.88	90.0	1.69
12/27/2017	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	99.40	0.99	0.00	0.99	90.0	0.89
12/27/2017	BIETSCH, MATTHEW	AM FUNDS GROWTH AND INCOME A	99.40	0.99	0.00	0.99	90.0	0.89
12/27/2017	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	192.44	1.92	0.00	1.92	90.0	1.73
12/27/2017	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	192.44	1.92	0.00	1.92	90.0	1.73
12/26/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	387.69	7.75	0.00	7.75	90.0	6.98
12/27/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	41.59	0.42	0.00	0.42	90.0	0.38
12/27/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	41.59	0.42	0.00	0.42	90.0	0.38
12/27/2017	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	44.75	0.45	0.00	0.45	90.0	0.41
12/27/2017	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	14.91	0.15	0.00	0.15	90.0	0.14
12/27/2017	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	18.00	0.18	0.00	0.18	90.0	0.16
12/27/2017	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	48.00	0.48	0.00	0.48	90.0	0.43
12/27/2017	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	30.16	0.30	0.00	0.30	90.0	0.27
12/27/2017	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	30.16	0.30	0.00	0.30	90.0	0.27
12/27/2017	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	64.93	0.65	0.00	0.65	90.0	0.59
12/27/2017	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	64.93	0.65	0.00	0.65	90.0	0.59
12/27/2017	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	43.70	0.44	0.00	0.44	90.0	0.40
12/27/2017	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	43.70	0.44	0.00	0.44	90.0	0.40
12/28/2017	KAUFMAN, ADAM	AM FUNDS GROWTH FUND OF AMERICA A	1,000.00	50.00	0.00	50.00	90.0	45.00
12/28/2017	KAUFMAN, ADAM	AM FUNDS GROWTH FUND OF AMERICA A	37.50	1.88	0.00	1.88	90.0	1.69

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/02/2018	REDDICK, RYAN	AM FUNDS GROWTH FUND OF AMERICA A	62.50	1.72	0.00	1.72	90.0	1.55
01/02/2018	BAUER, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	112.25	4.21	0.00	4.21	90.0	3.79
01/02/2018	SUMMERS, AARON	AM FUNDS GROWTH FUND OF AMERICA A	79.57	2.98	0.00	2.98	90.0	2.68
01/02/2018	EICHHOLZ, JARED	AM FUNDS GROWTH FUND OF AMERICA A	262.57	9.85	0.00	9.85	90.0	8.87
01/02/2018	JOHNSON, MARK	AM FUNDS GROWTH FUND OF AMERICA A	225.00	8.44	0.00	8.44	90.0	7.60
01/02/2018	HOLTKAMP, MARIGENE	AM FUNDS GROWTH FUND OF AMERICA A	153.88	1.54	0.00	1.54	90.0	1.39
01/02/2018	HOLTKAMP, MARIGENE	AM FUNDS GROWTH FUND OF AMERICA A	7.88	0.08	0.00	0.08	90.0	0.07
01/02/2018	EULTGEN, VICKI	AM FUNDS GROWTH FUND OF AMERICA A	75.00	0.75	0.00	0.75	90.0	0.68
01/02/2018	EULTGEN, VICKI	AM FUNDS GROWTH FUND OF AMERICA A	53.04	0.53	0.00	0.53	90.0	0.48
01/02/2018	TURNER, JOSH	AM FUNDS GROWTH FUND OF AMERICA A	30.53	0.31	0.00	0.31	90.0	0.28
01/02/2018	TURNER, JOSH	AM FUNDS GROWTH FUND OF AMERICA A	30.52	0.31	0.00	0.31	90.0	0.28
01/02/2018	MURPHY, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	41.25	0.41	0.00	0.41	90.0	0.37
01/02/2018	MURPHY, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	260.25	2.60	0.00	2.60	90.0	2.34
01/02/2018	MURPHY, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	82.50	0.83	0.00	0.83	90.0	0.75
01/02/2018	MURPHY, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	520.50	5.21	0.00	5.21	90.0	4.69
01/02/2018	VICTOR, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	26.78	0.27	0.00	0.27	90.0	0.24
01/02/2018	VICTOR, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	26.78	0.27	0.00	0.27	90.0	0.24
01/02/2018	TURNER, COREY	AM FUNDS GROWTH FUND OF AMERICA A	260.25	2.60	0.00	2.60	90.0	2.34
01/02/2018	TURNER, COREY	AM FUNDS GROWTH FUND OF AMERICA A	41.25	0.41	0.00	0.41	90.0	0.37
01/02/2018	RYAN, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	67.50	0.68	0.00	0.68	90.0	0.61
01/02/2018	RYAN, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	67.50	0.68	0.00	0.68	90.0	0.61
01/02/2018	UNZICKER, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	18.88	0.19	0.00	0.19	90.0	0.17
01/02/2018	UNZICKER, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	18.88	0.19	0.00	0.19	90.0	0.17
01/05/2018	LEHMAN, COLBY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	4.50
12/26/2017	GAMM, SKYLAR	AM FUNDS GROWTH FUND OF AMERICA C	300.00	3.00	0.00	3.00	90.0	2.70
01/02/2018	GAMM, SKYLAR	AM FUNDS GROWTH FUND OF AMERICA C	300.00	3.00	0.00	3.00	90.0	2.70
01/02/2018	HOLTKAMP, MARIGENE	AM FUNDS GROWTH PORT A	153.88	1.54	0.00	1.54	90.0	1.39
01/02/2018	HOLTKAMP, MARIGENE	AM FUNDS GROWTH PORT A	7.86	0.08	0.00	0.08	90.0	0.07
12/27/2017	DILLON, EMMA	AM FUNDS ICA A	10.26	0.10	0.00	0.10	90.0	0.09
12/27/2017	DILLON, EMMA	AM FUNDS ICA A	7.70	0.08	0.00	0.08	90.0	0.07
12/27/2017	OPPLAND, ZACHARY	AM FUNDS ICA A	240.00	2.40	0.00	2.40	90.0	2.16
12/27/2017	OPPLAND, ZACHARY	AM FUNDS ICA A	60.00	0.60	0.00	0.60	90.0	0.54
01/02/2018	WINFREY, LARRY	AM FUNDS ICA A	250.00	9.38	0.00	9.38	90.0	8.44

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/02/2018	BAUER, MATTHEW	AM FUNDS ICA A	108.94	4.09	0.00	4.09	90.0	3.68
01/02/2018	SUMMERS, AARON	AM FUNDS ICA A	79.56	2.98	0.00	2.98	90.0	2.68
01/02/2018	EICHHOLZ, JARED	AM FUNDS ICA A	262.57	9.85	0.00	9.85	90.0	8.87
01/02/2018	EULTGEN, VICKI	AM FUNDS ICA A	75.00	0.75	0.00	0.75	90.0	0.68
01/02/2018	EULTGEN, VICKI	AM FUNDS ICA A	53.04	0.53	0.00	0.53	90.0	0.48
01/02/2018	MURPHY, MELISSA	AM FUNDS ICA A	33.00	0.33	0.00	0.33	90.0	0.30
01/02/2018	MURPHY, MELISSA	AM FUNDS ICA A	208.20	2.08	0.00	2.08	90.0	1.87
01/02/2018	RIDGWAY, BENJAMIN	AM FUNDS ICA A	50.00	0.50	0.00	0.50	90.0	0.45
01/02/2018	RIDGWAY, BENJAMIN	AM FUNDS ICA A	50.00	0.50	0.00	0.50	90.0	0.45
01/02/2018	SUTTON, CHRISTOPHER	AM FUNDS ICA A	82.22	0.82	0.00	0.82	90.0	0.74
01/02/2018	SUTTON, CHRISTOPHER	AM FUNDS ICA A	112.00	1.12	0.00	1.12	90.0	1.01
01/02/2018	MEDLEY, LISA	AM FUNDS ICA A	64.32	0.64	0.00	0.64	90.0	0.58
01/02/2018	MEDLEY, LISA	AM FUNDS ICA A	64.32	0.64	0.00	0.64	90.0	0.58
12/27/2017	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	37.20	0.37	0.00	0.37	90.0	0.33
12/27/2017	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	37.20	0.37	0.00	0.37	90.0	0.33
01/02/2018	HOLTKAMP, MARIGENE	AM FUNDS INTL GROWTH AND INCOME A	153.88	1.54	0.00	1.54	90.0	1.39
01/02/2018	HOLTKAMP, MARIGENE	AM FUNDS INTL GROWTH AND INCOME A	7.88	0.08	0.00	0.08	90.0	0.07
01/02/2018	MURPHY, MELISSA	AM FUNDS INTL GROWTH AND INCOME A	33.00	0.33	0.00	0.33	90.0	0.30
01/02/2018	MURPHY, MELISSA	AM FUNDS INTL GROWTH AND INCOME A	208.20	2.08	0.00	2.08	90.0	1.87
12/26/2017	CROWLEY, MICHA	AM FUNDS NEW ECONOMY A	258.46	5.17	0.00	5.17	90.0	4.65
12/27/2017	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	43.43	0.43	0.00	0.43	90.0	0.39
12/27/2017	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	14.48	0.14	0.00	0.14	90.0	0.13
12/27/2017	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	53.08	0.53	0.00	0.53	90.0	0.48
12/27/2017	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	31.85	0.32	0.00	0.32	90.0	0.29
01/02/2018	REDDICK, RYAN	AM FUNDS NEW ECONOMY A	62.50	1.72	0.00	1.72	90.0	1.55
01/02/2018	BAUER, MATTHEW	AM FUNDS NEW ECONOMY A	108.95	4.09	0.00	4.09	90.0	3.68
01/02/2018	ROBINETT, CHARISSA	AM FUNDS NEW ECONOMY A	52.81	0.53	0.00	0.53	90.0	0.48
01/02/2018	ROBINETT, CHARISSA	AM FUNDS NEW ECONOMY A	52.81	0.53	0.00	0.53	90.0	0.48
01/02/2018	SUTTON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	35.23	0.35	0.00	0.35	90.0	0.32
01/02/2018	SUTTON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	48.00	0.48	0.00	0.48	90.0	0.43
01/05/2018	MCKENZIE, JADA	AM FUNDS NEW ECONOMY A	50.00	2.50	0.00	2.50	90.0	2.25
01/02/2018	GAMM, SKYLAR	AM FUNDS NEW ECONOMY C	300.00	3.00	0.00	3.00	90.0	2.70
12/27/2017	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	41.59	0.42	0.00	0.42	90.0	0.38

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
12/27/2017	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	41.59	0.42	0.00	0.42	90.0	0.38
12/27/2017	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	43.44	0.43	0.00	0.43	90.0	0.39
12/27/2017	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	14.48	0.14	0.00	0.14	90.0	0.13
12/27/2017	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	29.27	0.29	0.00	0.29	90.0	0.26
12/27/2017	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	29.27	0.29	0.00	0.29	90.0	0.26
12/27/2017	STAES, SAMUEL	AM FUNDS NEW PERSPECTIVE A	17.55	0.18	0.00	0.18	90.0	0.16
12/27/2017	STAES, SAMUEL	AM FUNDS NEW PERSPECTIVE A	35.10	0.35	0.00	0.35	90.0	0.32
01/02/2018	EULTGEN, VICKI	AM FUNDS NEW PERSPECTIVE A	50.00	0.50	0.00	0.50	90.0	0.45
01/02/2018	EULTGEN, VICKI	AM FUNDS NEW PERSPECTIVE A	35.35	0.35	0.00	0.35	90.0	0.32
01/02/2018	TURNER, JOSH	AM FUNDS NEW PERSPECTIVE A	30.52	0.31	0.00	0.31	90.0	0.28
01/02/2018	TURNER, JOSH	AM FUNDS NEW PERSPECTIVE A	30.53	0.31	0.00	0.31	90.0	0.28
01/02/2018	MURPHY, MELISSA	AM FUNDS NEW PERSPECTIVE A	33.00	0.33	0.00	0.33	90.0	0.30
01/02/2018	MURPHY, MELISSA	AM FUNDS NEW PERSPECTIVE A	208.20	2.08	0.00	2.08	90.0	1.87
01/02/2018	ROBINETT, CHARISSA	AM FUNDS NEW PERSPECTIVE A	52.81	0.53	0.00	0.53	90.0	0.48
01/02/2018	ROBINETT, CHARISSA	AM FUNDS NEW PERSPECTIVE A	52.81	0.53	0.00	0.53	90.0	0.48
01/02/2018	VICTOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	26.78	0.27	0.00	0.27	90.0	0.24
01/02/2018	VICTOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	26.78	0.27	0.00	0.27	90.0	0.24
01/02/2018	TURNER, COREY	AM FUNDS NEW PERSPECTIVE A	260.25	2.60	0.00	2.60	90.0	2.34
01/02/2018	TURNER, COREY	AM FUNDS NEW PERSPECTIVE A	41.25	0.41	0.00	0.41	90.0	0.37
01/02/2018	GAMM, SKYLAR	AM FUNDS NEW PERSPECTIVE C	300.00	3.00	0.00	3.00	90.0	2.70
12/26/2017	CROWLEY, MICHA	AM FUNDS NEW WORLD A	166.16	3.32	0.00	3.32	90.0	2.99
12/27/2017	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	29.27	0.29	0.00	0.29	90.0	0.26
12/27/2017	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	29.27	0.29	0.00	0.29	90.0	0.26
01/02/2018	HOLTKAMP, MARIGENE	AM FUNDS NEW WORLD A	769.42	7.69	0.00	7.69	90.0	6.92
01/02/2018	HOLTKAMP, MARIGENE	AM FUNDS NEW WORLD A	39.39	0.39	0.00	0.39	90.0	0.35
01/02/2018	EULTGEN, VICKI	AM FUNDS NEW WORLD A	25.00	0.25	0.00	0.25	90.0	0.23
01/02/2018	EULTGEN, VICKI	AM FUNDS NEW WORLD A	17.68	0.18	0.00	0.18	90.0	0.16
01/02/2018	TURNER, JOSH	AM FUNDS NEW WORLD A	30.52	0.31	0.00	0.31	90.0	0.28
01/02/2018	TURNER, JOSH	AM FUNDS NEW WORLD A	30.52	0.31	0.00	0.31	90.0	0.28
01/02/2018	MURPHY, MELISSA	AM FUNDS NEW WORLD A	8.25	0.08	0.00	0.08	90.0	0.07
01/02/2018	MURPHY, MELISSA	AM FUNDS NEW WORLD A	52.05	0.52	0.00	0.52	90.0	0.47
01/02/2018	MURPHY, MICHAEL	AM FUNDS NEW WORLD A	520.50	5.21	0.00	5.21	90.0	4.69
01/02/2018	MURPHY, MICHAEL	AM FUNDS NEW WORLD A	82.50	0.83	0.00	0.83	90.0	0.75

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/02/2018	VICTOR, THOMAS	AM FUNDS NEW WORLD A	26.76	0.27	0.00	0.27	90.0	0.24
01/02/2018	VICTOR, THOMAS	AM FUNDS NEW WORLD A	26.76	0.27	0.00	0.27	90.0	0.24
01/02/2018	TURNER, COREY	AM FUNDS NEW WORLD A	260.25	2.60	0.00	2.60	90.0	2.34
01/02/2018	TURNER, COREY	AM FUNDS NEW WORLD A	41.25	0.41	0.00	0.41	90.0	0.37
01/02/2018	RYAN, MATTHEW	AM FUNDS NEW WORLD A	67.50	0.68	0.00	0.68	90.0	0.61
01/02/2018	RYAN, MATTHEW	AM FUNDS NEW WORLD A	67.50	0.68	0.00	0.68	90.0	0.61
01/02/2018	GAMM, SKYLAR	AM FUNDS NEW WORLD C	250.00	2.50	0.00	2.50	90.0	2.25
12/27/2017	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	197.36	1.97	0.00	1.97	90.0	1.77
12/27/2017	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	197.36	1.97	0.00	1.97	90.0	1.77
12/27/2017	STAES, SAMUEL	AM FUNDS SMALLCAP WORLD A	17.55	0.18	0.00	0.18	90.0	0.16
12/27/2017	STAES, SAMUEL	AM FUNDS SMALLCAP WORLD A	35.10	0.35	0.00	0.35	90.0	0.32
01/02/2018	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	62.50	1.72	0.00	1.72	90.0	1.55
01/02/2018	EULTGEN, VICKI	AM FUNDS SMALLCAP WORLD A	25.00	0.25	0.00	0.25	90.0	0.23
01/02/2018	EULTGEN, VICKI	AM FUNDS SMALLCAP WORLD A	17.68	0.18	0.00	0.18	90.0	0.16
01/02/2018	TURNER, JOSH	AM FUNDS SMALLCAP WORLD A	30.52	0.31	0.00	0.31	90.0	0.28
01/02/2018	TURNER, JOSH	AM FUNDS SMALLCAP WORLD A	30.52	0.31	0.00	0.31	90.0	0.28
01/02/2018	MURPHY, MELISSA	AM FUNDS SMALLCAP WORLD A	104.10	1.04	0.00	1.04	90.0	0.94
01/02/2018	MURPHY, MELISSA	AM FUNDS SMALLCAP WORLD A	16.50	0.17	0.00	0.17	90.0	0.15
01/02/2018	VICTOR, THOMAS	AM FUNDS SMALLCAP WORLD A	26.78	0.27	0.00	0.27	90.0	0.24
01/02/2018	VICTOR, THOMAS	AM FUNDS SMALLCAP WORLD A	26.78	0.27	0.00	0.27	90.0	0.24
01/02/2018	TURNER, COREY	AM FUNDS SMALLCAP WORLD A	260.25	2.60	0.00	2.60	90.0	2.34
01/02/2018	TURNER, COREY	AM FUNDS SMALLCAP WORLD A	41.25	0.41	0.00	0.41	90.0	0.37
12/27/2017	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.66	0.35	0.00	0.35	90.0	0.32
12/27/2017	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.66	0.35	0.00	0.35	90.0	0.32
01/02/2018	TOMPKINS, ERIKA	AM FUNDS WASHINGTON MUTUAL INVESTOR	125.93	1.26	0.00	1.26	90.0	1.13
01/02/2018	TOMPKINS, ERIKA	AM FUNDS WASHINGTON MUTUAL INVESTOR	209.89	2.10	0.00	2.10	90.0	1.89
/ /	GRIFFITH, CHERYL	FIDELITY ADV LARGE CAP	100.00	1.50	0.00	1.50	90.0	1.35
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$18,740.75</b>	<b>\$298.02</b>	<b>\$0.00</b>	<b>\$298.02</b>		<b>\$268.37</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
12/29/2017	OGLESBY, WILLIAM	ALLIANZ HIGH FIVE TRADITIONAL	155,955.98	194.94	0.00	194.94	90.0	175.45
12/29/2017	LEE, HOWARD	ALLIANZ VISION	62,016.68	77.52	0.00	77.52	90.0	69.77
12/31/2017	PAGE, BRIAN	JNL ELITE ACCESS W/ LIQUIDITY	12,481.93	10.40	0.00	10.40	90.0	9.36
12/31/2017	ANDING, MARY DIANE	JNL PERSPECTIVE II 04/14	101,172.92	42.16	0.00	42.16	90.0	37.94

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
12/31/2017	STEWART, SHEILA	JNL PERSPECTIVE II 04/14	255,763.87	106.57	0.00	106.57	90.0	95.91
12/31/2017	DEAN GUIER INC	JNL PERSPECTIVE II 04/14	200,863.63	83.69	0.00	83.69	90.0	75.32
12/31/2017	GUIER, CARROL	JNL PERSPECTIVE II 04/14	25,705.32	10.71	0.00	10.71	90.0	9.64
12/31/2017	CALLIS, CHARLES	JNL PERSPECTIVE II 05/05	29,840.46	12.43	0.00	12.43	90.0	11.19
12/31/2017	OWEN, ERNEST	JNL PERSPECTIVE II 05/05	148,131.35	61.72	0.00	61.72	90.0	55.55
12/31/2017	OSSMAN, ED	JNL PERSPECTIVE II 05/05	60,133.96	25.06	0.00	25.06	90.0	22.55
12/31/2017	OSSMAN, EDWARD	JNL PERSPECTIVE II 05/05	28,881.56	12.03	0.00	12.03	90.0	10.83
12/31/2017	OSSMAN, EDWARD	JNL PERSPECTIVE II 05/05	160,918.87	67.05	0.00	67.05	90.0	60.35
12/31/2017	MARK, RICHARD GEORGE	JNL PERSPECTIVE II 05/05	97,589.17	40.66	0.00	40.66	90.0	36.59
12/31/2017	LANGE, ARLEN	JNL PERSPECTIVE II 05/05	126,576.57	52.74	0.00	52.74	90.0	47.47
12/31/2017	MCBRIDE, KENNETH	JNL PERSPECTIVE II 05/05	293,964.90	122.49	0.00	122.49	90.0	110.24
12/31/2017	ANDING, MARY DIANE	JNL PERSPECTIVE II 05/05	224,786.33	93.66	0.00	93.66	90.0	84.29
12/28/2017	KLANG, MARCHEA	SBL ADVISORDESIGNS VA	95,606.68	79.67	0.00	79.67	90.0	71.70
12/28/2017	DOWNS, JAMES	SBL ADVISORDESIGNS VA	39,474.38	32.90	0.00	32.90	90.0	29.61
12/28/2017	KLANG, MARCHEA	SBL ADVISORDESIGNS VA	109,733.66	91.45	0.00	91.45	90.0	82.31
12/28/2017	BENNETT, MILDRED	SBL ADVISORDESIGNS VA	103,276.90	86.07	0.00	86.07	90.0	77.46
12/28/2017	ANDERSON, CHERRI	SBL ADVISORDESIGNS VA	28,154.07	23.47	0.00	23.47	90.0	21.12
12/28/2017	SCOFIELD, ROBERT	SBL ADVISORDESIGNS VA	10,374.77	8.65	0.00	8.65	90.0	7.79
12/28/2017	LEE, HOWARD	SBL SECUREDESIGNS VA	266,388.80	55.49	0.00	55.49	90.0	49.94
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$2,637,792.76</b>	<b>\$1,391.53</b>	<b>\$0.00</b>	<b>\$1,391.53</b>		<b>\$1,252.38</b>
<b><u>PRODUCT CATEGORY: LIFE INSURANCE</u></b>								
12/26/2017	KING, CALEB	PAC LIFE VUL	0.00	0.60	0.00	0.60	90.0	0.54
12/26/2017	JONES, KAREN	PAC LIFE VUL	0.00	1.50	0.00	1.50	90.0	1.35
12/26/2017	JONES, KENNETH	PAC LIFE VUL	0.00	1.20	0.00	1.20	90.0	1.08
12/26/2017	KILEY, CHRISTINE	PAC LIFE VUL	0.00	24.45	0.00	24.45	90.0	22.01
12/26/2017	ADAMS, GREGORY	PAC LIFE VUL	0.00	3.00	0.00	3.00	90.0	2.70
12/26/2017	ADAMS, ASHLEY	PAC LIFE VUL	0.00	2.00	0.00	2.00	90.0	1.80
12/26/2017	GRIFFIN, AMANDA	PAC LIFE VUL	0.00	1.36	0.00	1.36	90.0	1.22
12/26/2017	GRIFFIN, AMANDA	PAC LIFE VUL	0.00	0.76	0.00	0.76	90.0	0.68
12/26/2017	ADAMS, JAXON	PAC LIFE VUL	0.00	1.00	0.00	1.00	90.0	0.90
<b>* LIFE INSURANCE SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$35.87</b>	<b>\$0.00</b>	<b>\$35.87</b>		<b>\$32.28</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b>								
12/18/2017	HALL, ELLEN	PAC LIFE VUL	0.00	1.00	0.00	1.00	90.0	0.90
12/18/2017	GRIFFITH, CHERYL	PAC LIFE VUL	0.00	1.00	0.00	1.00	90.0	0.90
12/20/2017	KILEY, CHRISTINE	PAC LIFE VUL	0.00	6.00	0.00	6.00	90.0	5.40
12/20/2017	GAMM, SKYLAR	PAC LIFE VUL	0.00	0.15	0.00	0.15	90.0	0.14
12/20/2017	GAMM, SKYLAR	PAC LIFE VUL	0.00	87.70	0.00	87.70	90.0	78.93
12/20/2017	GAMM, SKYLAR	PAC LIFE VUL	0.00	48.75	0.00	48.75	90.0	43.88
12/21/2017	HALL, ELLEN	PAC LIFE VUL	0.00	44.05	0.00	44.05	90.0	39.65
12/22/2017	ELLIOTT, MITCHELL	PAC LIFE VUL	0.00	3.00	0.00	3.00	90.0	2.70
<b>* VARIABLE UNIVERSAL LIFE SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$191.65</b>	<b>\$0.00</b>	<b>\$191.65</b>		<b>\$172.50</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

/ /	KAUFMAN, JEFFREY	FIDELITY ADV CANADA	0.00	0.92	0.00	0.92	90.0	0.83
/ /	KAUFMAN, JEFFREY	FIDELITY ADV EMERGING EMEA	0.00	0.98	0.00	0.98	90.0	0.88
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GLOBAL CAP APP T	0.00	2.33	0.00	2.33	90.0	2.10
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GLOBAL COMMODITY STK	0.00	0.92	0.00	0.92	90.0	0.83
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GOLD	0.00	0.80	0.00	0.80	90.0	0.72
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV GOLD C	0.00	0.66	0.00	0.66	90.0	0.59
/ /	GRIFFITH, CHERYL	FIDELITY ADV GOVERNMENT INC	0.00	0.11	0.00	0.11	90.0	0.10
/ /	HALL, ELLEN	FIDELITY ADV GROWTH OPP	0.00	11.17	0.00	11.17	90.0	10.05
/ /	ELLIOTT, TIMOTHY	FIDELITY ADV GROWTH OPP	0.00	1.34	0.00	1.34	90.0	1.21
/ /	KAUFMAN, JEFFREY	FIDELITY ADV GROWTH OPP	0.00	8.63	0.00	8.63	90.0	7.77
/ /	RICHARDSON, BOBBY	FIDELITY ADV HEALTH CARE T	0.00	2.32	0.00	2.32	90.0	2.09
/ /	GRIFFITH, CHERYL	FIDELITY ADV INTL SM CAP CL T	0.00	4.99	0.00	4.99	90.0	4.49
/ /	RICHARDSON, BOBBY	FIDELITY ADV INTL SM CAP CL T	0.00	1.23	0.00	1.23	90.0	1.11
/ /	GRIFFITH, CHERYL	FIDELITY ADV LARGE CAP	0.00	7.02	0.00	7.02	90.0	6.32
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV LEVERAGED CO STOCK C	0.00	8.68	0.00	8.68	90.0	7.81
/ /	HALL, ELLEN	FIDELITY ADV LEVERAGED CO STOCK-CL	0.00	2.95	0.00	2.95	90.0	2.66
/ /	RICHARDSON, BOBBY	FIDELITY ADV LEVERAGED CO STOCK-CL	0.00	13.21	0.00	13.21	90.0	11.89
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV MATERIALS C	0.00	4.98	0.00	4.98	90.0	4.48
/ /	GRIFFITH, CHERYL	FIDELITY ADV OVERSEAS	0.00	1.29	0.00	1.29	90.0	1.16
/ /	RICHARDSON, BOBBY	FIDELITY ADV REAL ESTATE-CL T	0.00	5.58	0.00	5.58	90.0	5.02
/ /	KAUFMAN, JEFFREY	FIDELITY ADV SMALL CAP GROWTH C	0.00	0.74	0.00	0.74	90.0	0.67
/ /	GRIFFITH, CHERYL	FIDELITY ADV STOCK SELECTOR ALL CAP	0.00	11.70	0.00	11.70	90.0	10.53
/ /	KAUFMAN, JEFFREY	FIDELITY ADV STOCK SELECTOR ALL CAP	0.00	2.50	0.00	2.50	90.0	2.25

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
/ /	RICHARDSON, BOBBY	FIDELITY ADV TELECOMMUNICATIONS-CL	0.00	2.69	0.00	2.69	90.0	2.42
/ /	WILMSMEYER, CHRISTA	FIDELITY ADV UTILITIES C	0.00	10.02	0.00	10.02	90.0	9.02
/ /	RICHARDSON, BOBBY	FIDELITY ADV UTILITIES-CL T	0.00	1.25	0.00	1.25	90.0	1.13
/ /	GRIFFITH, CHERYL	FIDELITY ADVISOR EVENT DRIVEN OPPS	0.00	1.45	0.00	1.45	90.0	1.31
/ /	KAUFMAN, JEFFREY	FIDELITY ADVISOR EVENT DRIVEN OPPS	0.00	1.82	0.00	1.82	90.0	1.64
/ /	KAUFMAN, JEFFREY	FIDELITY ADVISOR MATERIALS FUND-CLA	0.00	1.19	0.00	1.19	90.0	1.07
/ /	WILMSMEYER, CHRISTA	FIDELITY ADVISORS 31618H424	0.00	6.58	0.00	6.58	90.0	5.92
/ /	HALL, ELLEN	FIDELITY ADVISORS 31618H440	0.00	1.38	0.00	1.38	90.0	1.24
/ /	GRIFFITH, CHERYL	FIDELITY BALANCED T	0.00	0.58	0.00	0.58	90.0	0.52
/ /	GRIFFITH, CHERYL	FIDELITY BALANCED T	0.00	15.39	0.00	15.39	90.0	13.85
01/02/2018	RICHARDSON, BOBBY	FIDELITY COMM EQUIPMENT T	0.00	5.17	0.00	5.17	90.0	4.65
/ /	RICHARDSON, BOBBY	FIDELITY EMERGING MKTS T	0.00	2.76	0.00	2.76	90.0	2.48
/ /	RICHARDSON, BOBBY	FIDELITY ENERGY T	0.00	2.37	0.00	2.37	90.0	2.13
/ /	KAUFMAN, JEFFREY	FIDELITY ENERGY T	0.00	0.80	0.00	0.80	90.0	0.72
/ /	GRIFFITH, CHERYL	FIDELITY EQUITY VALUE	0.00	10.61	0.00	10.61	90.0	9.55
/ /	RICHARDSON, BOBBY	FIDELITY FINANCIAL SERVICES T	0.00	0.77	0.00	0.77	90.0	0.69
/ /	KAUFMAN, JEFFREY	FIDELITY FINANCIAL SERVICES T	0.00	1.00	0.00	1.00	90.0	0.90
/ /	GRIFFITH, CHERYL	FIDELITY MIDCAP T	0.00	9.57	0.00	9.57	90.0	8.61
/ /	KAUFMAN, JEFFREY	FIDLEITY ADV INTL REAL ESTATE	0.00	1.03	0.00	1.03	90.0	0.93
/ /	GRIFFITH, CHERYL	FIDLEITY ADVISOR LATIN AMERICA FUND	0.00	1.52	0.00	1.52	90.0	1.37
/ /	KAUFMAN, JEFFREY	FIDLEITY ADVISOR LATIN AMERICA FUND	0.00	2.32	0.00	2.32	90.0	2.09
/ /	RICHARDSON, BOBBY	FIDLEITY ADVISOR JAPAN-CL T	0.00	0.76	0.00	0.76	90.0	0.68
/ /	MCS DESIGN TEAM	HARTFORD BALANCED C	0.00	17.30	0.00	17.30	90.0	15.57
/ /	MCS DESIGN TEAM	HARTFORD DIVIDEND & GROWTH C	0.00	19.43	0.00	19.43	90.0	17.49
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$212.81</b>	<b>\$0.00</b>	<b>\$212.81</b>		<b>\$191.54</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
12/18/2017	CROWLEY, ROBERT	PAC LIFE PACIFIC CHOICE	0.00	7,040.78	0.00	7,040.78	90.0	6,336.70
12/21/2017	JENNINGS, MARY	PAC LIFE PACIFIC CHOICE	0.00	313.85	0.00	313.85	90.0	282.47
12/21/2017	REID, SANDRA	PAC LIFE PACIFIC CHOICE	0.00	40,200.00	0.00	40,200.00	90.0	36,180.00
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$47,554.63</b>	<b>\$0.00</b>	<b>\$47,554.63</b>		<b>\$42,799.17</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$2,656,533.51</b>	<b>\$49,684.51</b>	<b>\$0.00</b>	<b>\$49,684.51</b>		<b>\$44,716.24</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u></b>								
12/27/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	55.39	0.30	0.00	0.30	90.0	0.27
12/27/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	55.39	0.30	0.00	0.30	90.0	0.27
12/27/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	15.92	0.09	0.00	0.09	90.0	0.08
12/27/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	15.92	0.09	0.00	0.09	90.0	0.08
01/04/2018	LANGE, ARLEN	AM FUNDS AMERICAN BALANCED A	5,000.00	137.50	0.00	137.50	90.0	123.75
12/27/2017	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	204.50	1.13	0.00	1.13	90.0	1.02
12/27/2017	CARSON, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	204.50	1.13	0.00	1.13	90.0	1.02
12/27/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	22.80	0.13	0.00	0.13	90.0	0.12
12/27/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	17.10	0.09	0.00	0.09	90.0	0.08
12/27/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	10.00	0.05	0.00	0.05	90.0	0.05
12/27/2017	MAIDA, KAILEY	AM FUNDS GROWTH FUND OF AMERICA A	7.98	0.04	0.00	0.04	90.0	0.04
12/27/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	53.59	0.30	0.00	0.30	90.0	0.27
12/27/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	53.59	0.30	0.00	0.30	90.0	0.27
12/27/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.06	0.00	0.06	90.0	0.05
12/27/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.06	0.00	0.06	90.0	0.05
12/27/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	42.00	0.23	0.00	0.23	90.0	0.21
12/27/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	42.00	0.23	0.00	0.23	90.0	0.21
12/28/2017	BROWN, CODY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.75	0.00	2.75	90.0	2.48
01/02/2018	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	79.28	0.43	0.00	0.43	90.0	0.39
01/02/2018	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	47.57	0.26	0.00	0.26	90.0	0.23
01/03/2018	WHEAT, BRYAN	AM FUNDS GROWTH FUND OF AMERICA A	250.00	6.87	0.00	6.87	90.0	6.18
01/03/2018	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	250.00	6.87	0.00	6.87	90.0	6.18
01/04/2018	WHEAT, BRYAN	AM FUNDS GROWTH FUND OF AMERICA A	229.00	6.30	0.00	6.30	90.0	5.67
12/27/2017	ZELLMER, RIANNON	AM FUNDS ICA A	12.96	0.07	0.00	0.07	90.0	0.06
12/27/2017	ZELLMER, RIANNON	AM FUNDS ICA A	12.96	0.07	0.00	0.07	90.0	0.06
12/27/2017	WAHLIG, KACEY	AM FUNDS ICA A	15.92	0.09	0.00	0.09	90.0	0.08
12/27/2017	WAHLIG, KACEY	AM FUNDS ICA A	15.92	0.09	0.00	0.09	90.0	0.08
12/27/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.10	0.00	0.10	90.0	0.09
12/27/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.10	0.00	0.10	90.0	0.09
01/02/2018	HAGUE, VICTORIA	AM FUNDS ICA A	400.00	2.20	0.00	2.20	90.0	1.98
01/02/2018	HAGUE, VICTORIA	AM FUNDS ICA A	70.62	0.39	0.00	0.39	90.0	0.35
01/05/2018	MCKENZIE, JADA	AM FUNDS NEW ECONOMY A	60.00	1.65	0.00	1.65	90.0	1.49
12/27/2017	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	1,000.00	5.50	0.00	5.50	90.0	4.95

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u></b>								
12/27/2017	MCNERNEY, DAVID	AM FUNDS NEW PERSPECTIVE A	123.00	0.68	0.00	0.68	90.0	0.61
01/02/2018	LEHMAN, COLBY	AM FUNDS NEW PERSPECTIVE A	50.00	1.37	0.00	1.37	90.0	1.23
12/27/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	22.80	0.13	0.00	0.13	90.0	0.12
12/27/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	17.10	0.09	0.00	0.09	90.0	0.08
12/27/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.10	0.00	0.10	90.0	0.09
12/27/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.10	0.00	0.10	90.0	0.09
12/27/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	42.00	0.23	0.00	0.23	90.0	0.21
12/27/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	42.00	0.23	0.00	0.23	90.0	0.21
01/02/2018	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	100.00	0.55	0.00	0.55	90.0	0.50
01/02/2018	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	17.65	0.10	0.00	0.10	90.0	0.09
01/02/2018	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	79.28	0.43	0.00	0.43	90.0	0.39
01/02/2018	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	47.57	0.26	0.00	0.26	90.0	0.23
01/04/2018	LANGE, ARLEN	AM FUNDS SMALLCAP WORLD A	760.21	20.91	0.00	20.91	90.0	18.82
12/26/2017	CARSON, SEDEL	PAC LIFE VUL	0.00	15.12	0.00	15.12	90.0	13.61
12/26/2017	CARSON, MATTHEW	PAC LIFE VUL	0.00	2.08	0.00	2.08	90.0	1.87
12/28/2017	CARSON, MATTHEW	PAC LIFE VUL	0.00	9.79	0.00	9.79	90.0	8.81
12/28/2017	CARSON, SEDEL	PAC LIFE VUL	0.00	15.12	0.00	15.12	90.0	13.61
12/28/2017	CARSON, MATTHEW	PAC LIFE VUL	0.00	2.08	0.00	2.08	90.0	1.87
12/28/2017	CARSON, MATTHEW	PAC LIFE VUL	0.00	9.79	0.00	9.79	90.0	8.81
<b>* JEFF/JASON KAUFMAN/KEMBLE SUBTOTAL *</b>			<b>\$9,743.28</b>	<b>\$254.93</b>	<b>\$0.00</b>	<b>\$254.93</b>		<b>\$229.45</b>
<b><u>BROKER: JASON KEMBLE #394</u></b>								
12/26/2017	SEBA, FRED	AM FUNDS AMERICAN BALANCED A	13,000.00	221.00	0.00	221.00	90.0	198.90
12/13/2017	KEMBLE, JASON	AM FUNDS GROWTH FUND OF AMERICA A	-500.00	-10.00	0.00	-10.00	90.0	-9.00
12/26/2017	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	2.00	0.00	2.00	90.0	1.80
12/26/2017	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	2.00	0.00	2.00	90.0	1.80
12/13/2017	KEMBLE, JASON	AMERICAN FUND GLOBAL GROWTH PORTFOL	-500.00	-10.00	0.00	-10.00	90.0	-9.00
<b>* JASON KEMBLE SUBTOTAL *</b>			<b>\$12,200.00</b>	<b>\$205.00</b>	<b>\$0.00</b>	<b>\$205.00</b>		<b>\$184.50</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$21,943.28</b>	<b>\$459.93</b>	<b>\$0.00</b>	<b>\$459.93</b>		<b>\$413.95</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
01/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
01/15/2018		*TECHNOLOGY: ADMIN - KIM KAUFMAN	0.00					-100.00
01/15/2018		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-675.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$44,716.24
Split Transactions	\$413.95
Override Transactions	\$0.00
Adjustments	\$-675.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	<b>\$44,455.19</b>
Year-to-date Gross	<b>\$50,054.59</b>
Year-to-date Earnings	<b>\$45,130.19</b>

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