



All Companies

03/29/2018

Page No. 1

COMMISSION STATEMENT

April 02, 2018

DAVID SCOTT RAPOPORT
5546 Rainbow Circle

BROKER #: 9076
BRANCH: HOME OFFICE

Santa Rosa, CA 95409

COMMISSION STATEMENT for DAVID SCOTT RAPOPORT

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

03/12/2018	000000000041792	RODE, PETER	MUTUAL INTERNATIONAL CL A	B	72.00	2.70	0.00	2.70	65.0	1.76
03/12/2018	0000000000812545	RODE, PETER	FRANKLIN MUTUAL FINCL SERVICES FD C B		72.00	2.70	0.00	2.70	65.0	1.76
03/12/2018	00000000008801843	RODE, PETER	MUTUAL DISC FND - CL A	B	72.00	2.70	0.00	2.70	65.0	1.76
03/15/2018	000080193000975	PISENTI, SARAH	FRANKLIN DYNATECH FD CL I	B	300.00	15.00	0.00	15.00	65.0	9.75
03/15/2018	00086994400	SHELDON, EMMETT/CHRISTIN	AMERICAN FUNDS SMALL CAP WORLD FD	B	250.00	6.88	0.00	6.88	65.0	4.47
03/15/2018	00088458875	UNTIL AGE 18, CA/UTMA	AMERICAN FUNDS SMALL CAP WORLD FD	B	100.00	2.75	0.00	2.75	65.0	1.79
03/08/2018	7006770522	WEIN, TAMRAH G	OPPENHEIMER MAIN STREET INCOME & GR B		550.00	11.00	0.00	11.00	65.0	7.15
03/08/2018	8473403367	GOLDSTEIN, FRANNE C	MAIN STREET SMALL CAP FUND A	B	100.00	4.75	0.00	4.75	65.0	3.09
03/08/2018	8475633672	WEIN, TAMRAH	MAIN STREET SMALL CAP FUND A	B	450.00	9.00	0.00	9.00	65.0	5.85
* MUTUAL FUNDS SUBTOTAL *					<u>\$1,966.00</u>	<u>\$57.48</u>	<u>\$0.00</u>	<u>\$57.48</u>		<u>\$37.38</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/02/2018 TRAIL COMMISSIONS	SUN AMERICA MUTUAL FUNDS FEES	0.00	63.41	0.00	63.41	65.0	41.22
04/02/2018 TRAIL COMMISSIONS	THORNBURG FUNDS FEES	0.00	62.30	0.00	62.30	65.0	40.50
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		<u>\$0.00</u>	<u>\$125.71</u>	<u>\$0.00</u>	<u>\$125.71</u>		<u>\$81.72</u>
*** BROKER TRANSACTIONS TOTAL ***		<u>\$1,966.00</u>	<u>\$183.19</u>	<u>\$0.00</u>	<u>\$183.19</u>		<u>\$119.10</u>

ADJUSTMENTS

04/02/2018	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***					<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-27.50</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$119.10
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-27.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$91.60
Year-to-date Earnings	\$2,806.27