



All Companies

COMMISSION STATEMENT

April 02, 2018

JOHN HOWARD RUSSELL
4618 118TH STREET

BROKER #: 9632
BRANCH: HOME OFFICE

Lubbock, TX 79424-

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

03/20/2018	00062722452	POFF, RICKY L	AMERICAN FUNDS AMERICAN MUTUAL FD	B	69.48	0.83	0.00	0.83	85.0	0.71
03/20/2018	00062722452	POFF, RICKY L	AMERICAN FUNDS AMERICAN MUTUAL FD	B	69.48	0.83	0.00	0.83	85.0	0.71
03/20/2018	00062722452	POFF, RICKY L	AMERICAN FUNDS BALANCED FD	B	29.78	0.36	0.00	0.36	85.0	0.31
03/20/2018	00062722452	POFF, RICKY L	AMERICAN FUNDS BALANCED FD	B	29.78	0.36	0.00	0.36	85.0	0.31
03/20/2018	00062722452	POFF, RICKY L	AMERICAN FUNDS INCOME FD OF AMERI	B	49.63	0.60	0.00	0.60	85.0	0.51
03/20/2018	00062722452	POFF, RICKY L	AMERICAN FUNDS INCOME FD OF AMERI	B	49.63	0.60	0.00	0.60	85.0	0.51
03/20/2018	00062722452	POFF, RICKY L	GLOBAL BALANCED FUND-A	B	49.63	0.60	0.00	0.60	85.0	0.51
03/20/2018	00062722452	POFF, RICKY L	GLOBAL BALANCED FUND-A	B	49.63	0.60	0.00	0.60	85.0	0.51
03/20/2018	00062936733	HOLDRIDGE, SANDI	AMERICAN FUNDS CAPITAL WORLD GROWT	B	200.00	2.40	0.00	2.40	85.0	2.04
03/20/2018	00062936733	HOLDRIDGE, SANDI	AMERICAN FUNDS CAPITAL WORLD GROWT	B	84.00	1.01	0.00	1.01	85.0	0.86
03/20/2018	00062936733	HOLDRIDGE, SANDI	AMERICAN FUNDS FUNDAMENTAL	B	200.00	2.40	0.00	2.40	85.0	2.04
03/20/2018	00062936733	HOLDRIDGE, SANDI	AMERICAN FUNDS FUNDAMENTAL	B	84.00	1.01	0.00	1.01	85.0	0.86
03/20/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS EURO PACIFIC GROWT	B	118.23	1.42	0.00	1.42	85.0	1.21
03/20/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS EURO PACIFIC GROWT	B	118.22	1.42	0.00	1.42	85.0	1.21
03/20/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS SMALL CAP WORLD FD	B	118.23	1.42	0.00	1.42	85.0	1.21
03/20/2018	00067425273	GREEN, ARTHUR C	AMERICAN FUNDS SMALL CAP WORLD FD	B	118.23	1.42	0.00	1.42	85.0	1.21
03/20/2018	00067425273	GREEN, ARTHUR C	NEW WORLD FUND-A	B	121.80	1.46	0.00	1.46	85.0	1.24
03/20/2018	00067425273	GREEN, ARTHUR C	NEW WORLD FUND-A	B	121.81	1.46	0.00	1.46	85.0	1.24
03/15/2018	00073302989	RUSSELL, DAVID/NICKY	THE GROWTH FUND OF AMERICA-529C	B	50.00	0.50	0.00	0.50	85.0	0.43
03/19/2018	00073404574	SULLIVAN/POTTS, SHERRY/JADEN	NEW WORLD FUND-529A	B	50.00	1.00	0.00	1.00	85.0	0.85
03/12/2018	00073552220	BARR, CHESTER A	CAPITAL WORLD GROWTH AND INCOME-529	B	75.00	2.81	0.00	2.81	85.0	2.39
03/12/2018	00073552220	BARR, CHESTER A	WASHINGTON MUTUAL INVESTORS FUND-52	B	75.00	2.81	0.00	2.81	85.0	2.39
03/19/2018	00074657443	SULLIVAN/POTTS, SHERRY/GRANT	NEW WORLD FUND-529A	B	50.00	1.00	0.00	1.00	85.0	0.85
03/20/2018	00074669049	BLACKBURN, CURTIS D	AMERICAN FUNDS AMCAP FD	B	97.82	1.17	0.00	1.17	85.0	0.99
03/20/2018	00074669049	BLACKBURN, CURTIS D	AMERICAN FUNDS AMCAP FD	B	97.82	1.17	0.00	1.17	85.0	0.99
03/20/2018	00074669049	BLACKBURN, CURTIS	AMERICAN FUNDS CAPITAL INCOME BUILD	B	39.13	0.47	0.00	0.47	85.0	0.40

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
D									
03/20/2018	00074669049 BLACKBURN, CURTIS	AMERICAN FUNDS CAPITAL INCOME BUILD B		39.13	0.47	0.00	0.47	85.0	0.40
D									
03/20/2018	00074669049 BLACKBURN, CURTIS	AMERICAN FUNDS CAPITAL WORLD GROWT B		58.69	0.70	0.00	0.70	85.0	0.60
D									
03/20/2018	00074669049 BLACKBURN, CURTIS	AMERICAN FUNDS CAPITAL WORLD GROWT B		58.69	0.70	0.00	0.70	85.0	0.60
D									
03/20/2018	00074775427 BOONE, LONNIE	AMERICAN FUNDS AMCAP FD B		98.28	1.18	0.00	1.18	85.0	1.00
03/20/2018	00074775427 BOONE, LONNIE	AMERICAN FUNDS AMCAP FD B		29.49	0.35	0.00	0.35	85.0	0.30
03/20/2018	00074775427 BOONE, LONNIE	AMERICAN FUNDS CAPITAL INCOME BUILD B		73.72	0.88	0.00	0.88	85.0	0.75
03/20/2018	00074775427 BOONE, LONNIE	AMERICAN FUNDS CAPITAL INCOME BUILD B		22.11	0.27	0.00	0.27	85.0	0.23
03/20/2018	00074775427 BOONE, LONNIE	AMERICAN FUNDS CAPITAL WORLD GROWT B		73.72	0.88	0.00	0.88	85.0	0.75
03/20/2018	00074775427 BOONE, LONNIE	AMERICAN FUNDS CAPITAL WORLD GROWT B		22.12	0.27	0.00	0.27	85.0	0.23
03/15/2018	00075700317 BOZEMAN, LARRY K.	AMERICAN FUNDS CAPITAL INCOME BUILD B		403.36	8.07	0.00	8.07	85.0	6.86
03/15/2018	00075700317 BOZEMAN, LARRY K.	AMERICAN FUNDS CAPITAL INCOME BUILD B		201.68	4.03	0.00	4.03	85.0	3.43
03/15/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS AMERICAN MUTUAL FD B		47.01	0.94	0.00	0.94	85.0	0.80
03/15/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS AMERICAN MUTUAL FD B		141.03	2.82	0.00	2.82	85.0	2.40
03/15/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS FUNDAMENTAL INVES B		253.86	5.08	0.00	5.08	85.0	4.32
03/15/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS FUNDAMENTAL INVES B		84.62	1.69	0.00	1.69	85.0	1.44
03/15/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS INCOME FD OF AMERI B		169.24	3.38	0.00	3.38	85.0	2.87
03/15/2018	00075700331 BOZEMAN, HEATH	AMERICAN FUNDS INCOME FD OF AMERI B		56.41	1.13	0.00	1.13	85.0	0.96
03/15/2018	00075700380 COBBS, DONNY	AMERICAN FUNDS CAPITAL INCOME BUILD B		80.00	1.60	0.00	1.60	85.0	1.36
03/15/2018	00075700380 COBBS, DONNY	AMERICAN FUNDS CAPITAL INCOME BUILD B		30.67	0.61	0.00	0.61	85.0	0.52
03/15/2018	00075700380 COBBS, DONNY	AMERICAN FUNDS FUNDAMENTAL INVES B		80.00	1.60	0.00	1.60	85.0	1.36
03/15/2018	00075700380 COBBS, DONNY	AMERICAN FUNDS FUNDAMENTAL INVES B		30.67	0.61	0.00	0.61	85.0	0.52
03/15/2018	00082170622 SHEPHERD, ROY C	AMERICAN FUNDS CAPITAL WORLD GROWT B		60.35	1.21	0.00	1.21	85.0	1.03
03/15/2018	00082170622 SHEPHERD, ROY C	AMERICAN FUNDS CAPITAL WORLD GROWT B		60.35	1.21	0.00	1.21	85.0	1.03
03/15/2018	00082474109 KUETHE, ALLAN	AMERICAN MUTUAL FUND-C B		300.00	3.00	0.00	3.00	85.0	2.55
03/15/2018	00083008236 RUSSWURM, CLAYTON	AMERICAN FUNDS EURO PACIFIC GROWT B		28.07	0.56	0.00	0.56	85.0	0.48
R									
03/15/2018	00083008236 RUSSWURM, CLAYTON	AMERICAN FUNDS EURO PACIFIC GROWT B		70.18	1.40	0.00	1.40	85.0	1.19
R									
03/15/2018	00083008236 RUSSWURM, CLAYTON	AMERICAN FUNDS GROWTH FUND OF AMERI B		84.21	1.68	0.00	1.68	85.0	1.43
R									
03/15/2018	00083008236 RUSSWURM, CLAYTON	AMERICAN FUNDS GROWTH FUND OF AMERI B		210.53	4.21	0.00	4.21	85.0	3.58
R									
03/15/2018	00083008373 BOZEMAN, DEREK K	AMERICAN FUNDS CAPITAL WORLD GROWT B		80.66	1.61	0.00	1.61	85.0	1.37
03/15/2018	00083008373 BOZEMAN, DEREK K	AMERICAN FUNDS CAPITAL WORLD GROWT B		161.32	3.23	0.00	3.23	85.0	2.75
03/15/2018	00083008373 BOZEMAN, DEREK K	AMERICAN FUNDS FUNDAMENTAL INVES B		80.66	1.61	0.00	1.61	85.0	1.37
03/15/2018	00083008373 BOZEMAN, DEREK K	AMERICAN FUNDS FUNDAMENTAL INVES B		161.32	3.23	0.00	3.23	85.0	2.75

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/22/2018	00083499515 SEYMORE, BENJAMIN	CAPITAL WORLD GROWTH AND INCOME FUN B		200.00	2.00	0.00	2.00	85.0	1.70
03/16/2018	00083626829 SEYMORE, ROBERT	CAPITAL WORLD GROWTH AND INCOME FUN B		150.00	1.50	0.00	1.50	85.0	1.28
03/15/2018	00084758983 HOWARD/BEAUDOIN, IRENE/LAUREN.	GLOBAL BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	85.0	1.81
03/15/2018	00084759195 HOWARD/BEAUDOIN, IRENE/LANDON	GLOBAL BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	85.0	1.81
03/15/2018	00084759209 HOWARD/BEAUDOIN, IRENE/AUSTIN	GLOBAL BALANCED FUND-529A	B	50.00	2.13	0.00	2.13	85.0	1.81
03/15/2018	00084759232 HOWARD/BEAUDOIN, IRENE/DAKOTA	THE INCOME FUND OF AMERICA-529A	B	50.00	2.13	0.00	2.13	85.0	1.81
03/19/2018	00085209795 SULLIVAN/MCGRAFF, SHERRY/REECE	NEW WORLD FUND-529A	B	50.00	1.00	0.00	1.00	85.0	0.85
03/20/2018	00085797272 RUSSELL/PEEPLES, DAVID/HAVEN	AMERICAN BALANCED FUND-529A	B	50.00	1.88	0.00	1.88	85.0	1.60
03/20/2018	00085797295 RUSSELL/PEEPLES, DAVID/KATE	NEW PERSPECTIVE FUND-529A	B	50.00	1.88	0.00	1.88	85.0	1.60
03/20/2018	00085797300 RUSSELL/PEEPLES, DAVID/ELLE	NEW PERSPECTIVE FUND-529A	B	50.00	1.88	0.00	1.88	85.0	1.60
03/20/2018	00087000587 MORGAN, CHERIE/CHASE	CAPITAL INCOME BUILDER-529C	B	100.00	1.00	0.00	1.00	85.0	0.85
03/20/2018	00087000599 MORGAN, CHERIE/PIERCE	CAPITAL INCOME BUILDER-529C	B	100.00	1.00	0.00	1.00	85.0	0.85
03/15/2018	00087897269 ASHCRAFT OGDEN, ZOIE	FUNDAMENTAL INVESTORS-529C	B	200.00	2.00	0.00	2.00	85.0	1.70
03/16/2018	00088263914 GREESON, JOHN D.	CAPITAL INCOME BUILDER-C	B	15.44	0.15	0.00	0.15	85.0	0.13
03/16/2018	00088263914 GREESON, JOHN D.	CAPITAL INCOME BUILDER-C	B	15.44	0.15	0.00	0.15	85.0	0.13
03/23/2018	00088263914 GREESON, JOHN D.	CAPITAL INCOME BUILDER-C	B	18.77	0.19	0.00	0.19	85.0	0.16
03/23/2018	00088263914 GREESON, JOHN D.	CAPITAL INCOME BUILDER-C	B	18.77	0.19	0.00	0.19	85.0	0.16
03/16/2018	00088263914 GREESON, JOHN D.	EUROPACIFIC GROWTH FUND-C	B	31.35	0.31	0.00	0.31	85.0	0.26
03/16/2018	00088263914 GREESON, JOHN D.	EUROPACIFIC GROWTH FUND-C	B	31.35	0.31	0.00	0.31	85.0	0.26
03/23/2018	00088263914 GREESON, JOHN D.	EUROPACIFIC GROWTH FUND-C	B	38.12	0.38	0.00	0.38	85.0	0.32
03/23/2018	00088263914 GREESON, JOHN D.	EUROPACIFIC GROWTH FUND-C	B	38.12	0.38	0.00	0.38	85.0	0.32
03/16/2018	00088263934 DUNN, JOSEPH C.	FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38	85.0	0.32
03/16/2018	00088263934 DUNN, JOSEPH C.	FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38	85.0	0.32
03/23/2018	00088263934 DUNN, JOSEPH C.	FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38	85.0	0.32
03/23/2018	00088263934 DUNN, JOSEPH C.	FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38	85.0	0.32
03/16/2018	00088263934 DUNN, JOSEPH C.	NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38	85.0	0.32
03/16/2018	00088263934 DUNN, JOSEPH C.	NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38	85.0	0.32
03/23/2018	00088263934 DUNN, JOSEPH C.	NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38	85.0	0.32
03/23/2018	00088263934 DUNN, JOSEPH C.	NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38	85.0	0.32

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/16/2018	00088263943	BISHOP, MASON W.		EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13 85.0 0.11
03/16/2018	00088263943	BISHOP, MASON W.		EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13 85.0 0.11
03/23/2018	00088263943	BISHOP, MASON W.		EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13 85.0 0.11
03/23/2018	00088263943	BISHOP, MASON W.		EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13 85.0 0.11
03/16/2018	00088263943	BISHOP, MASON W.		NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13 85.0 0.11
03/16/2018	00088263943	BISHOP, MASON W.		NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13 85.0 0.11
03/23/2018	00088263943	BISHOP, MASON W.		NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13 85.0 0.11
03/23/2018	00088263943	BISHOP, MASON W.		NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13 85.0 0.11
03/16/2018	00088263961	GENTRY, ANGIE L.		EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
03/16/2018	00088263961	GENTRY, ANGIE L.		EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
03/23/2018	00088263961	GENTRY, ANGIE L.		EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
03/23/2018	00088263961	GENTRY, ANGIE L.		EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
03/16/2018	00088263961	GENTRY, ANGIE L.		NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
03/16/2018	00088263961	GENTRY, ANGIE L.		NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
03/23/2018	00088263961	GENTRY, ANGIE L.		NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
03/23/2018	00088263961	GENTRY, ANGIE L.		NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
03/16/2018	00088263979	MESEY, BRIAN K.		FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13 85.0 0.11
03/16/2018	00088263979	MESEY, BRIAN K.		FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13 85.0 0.11
03/23/2018	00088263979	MESEY, BRIAN K.		FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13 85.0 0.11
03/23/2018	00088263979	MESEY, BRIAN K.		FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13 85.0 0.11
03/16/2018	00088263979	MESEY, BRIAN K.		THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19 85.0 0.16
03/16/2018	00088263979	MESEY, BRIAN K.		THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19 85.0 0.16
03/23/2018	00088263979	MESEY, BRIAN K.		THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19 85.0 0.16
03/23/2018	00088263979	MESEY, BRIAN K.		THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19 85.0 0.16
03/16/2018	00088270587	MILLER, CLIFFORD		NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22 85.0 0.19
	C.								
03/16/2018	00088270587	MILLER, CLIFFORD		NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22 85.0 0.19
	C.								
03/23/2018	00088270587	MILLER, CLIFFORD		NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22 85.0 0.19
	C.								
03/23/2018	00088270587	MILLER, CLIFFORD		NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22 85.0 0.19
	C.								
03/16/2018	00088270587	MILLER, CLIFFORD		THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22 85.0 0.19
	C.								
03/16/2018	00088270587	MILLER, CLIFFORD		THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22 85.0 0.19
	C.								
03/23/2018	00088270587	MILLER, CLIFFORD		THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22 85.0 0.19
	C.								
03/23/2018	00088270587	MILLER, CLIFFORD		THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22 85.0 0.19
	C.								

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
03/16/2018	00088272149 DUNN, BECKY	CAPITAL INCOME BUILDER-C	B	15.00	0.15	0.00	0.15	85.0	0.13
03/16/2018	00088272149 DUNN, BECKY	CAPITAL INCOME BUILDER-C	B	4.36	0.04	0.00	0.04	85.0	0.03
03/23/2018	00088272149 DUNN, BECKY	CAPITAL INCOME BUILDER-C	B	4.36	0.04	0.00	0.04	85.0	0.03
03/23/2018	00088272149 DUNN, BECKY	CAPITAL INCOME BUILDER-C	B	15.00	0.15	0.00	0.15	85.0	0.13
03/16/2018	00088272149 DUNN, BECKY	THE BOND FUND OF AMERICA-C	B	15.00	0.15	0.00	0.15	85.0	0.13
03/16/2018	00088272149 DUNN, BECKY	THE BOND FUND OF AMERICA-C	B	4.35	0.04	0.00	0.04	85.0	0.03
03/23/2018	00088272149 DUNN, BECKY	THE BOND FUND OF AMERICA-C	B	4.35	0.04	0.00	0.04	85.0	0.03
03/23/2018	00088272149 DUNN, BECKY	THE BOND FUND OF AMERICA-C	B	15.00	0.15	0.00	0.15	85.0	0.13
03/16/2018	00088272160 DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	126.00	1.26	0.00	1.26	85.0	1.07
03/16/2018	00088272160 DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	126.00	1.26	0.00	1.26	85.0	1.07
03/23/2018	00088272160 DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	126.00	1.26	0.00	1.26	85.0	1.07
03/23/2018	00088272160 DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	126.00	1.26	0.00	1.26	85.0	1.07
03/16/2018	00088495479 SECHRIST, STEVE	CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07	85.0	0.06
03/16/2018	00088495479 SECHRIST, STEVE	CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07	85.0	0.06
03/23/2018	00088495479 SECHRIST, STEVE	CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07	85.0	0.06
03/23/2018	00088495479 SECHRIST, STEVE	CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07	85.0	0.06
03/16/2018	00088495479 SECHRIST, STEVE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	13.87	0.14	0.00	0.14	85.0	0.12
03/16/2018	00088495479 SECHRIST, STEVE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	13.88	0.14	0.00	0.14	85.0	0.12
03/23/2018	00088495479 SECHRIST, STEVE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	13.88	0.14	0.00	0.14	85.0	0.12
03/23/2018	00088495479 SECHRIST, STEVE	CAPITAL WORLD GROWTH AND INCOME FUN B	B	13.87	0.14	0.00	0.14	85.0	0.12
03/16/2018	00088495479 SECHRIST, STEVE	THE GROWTH FUND OF AMERICA-C	B	6.94	0.07	0.00	0.07	85.0	0.06
03/16/2018	00088495479 SECHRIST, STEVE	THE GROWTH FUND OF AMERICA-C	B	6.93	0.07	0.00	0.07	85.0	0.06
03/23/2018	00088495479 SECHRIST, STEVE	THE GROWTH FUND OF AMERICA-C	B	6.93	0.07	0.00	0.07	85.0	0.06
03/23/2018	00088495479 SECHRIST, STEVE	THE GROWTH FUND OF AMERICA-C	B	6.94	0.07	0.00	0.07	85.0	0.06
03/16/2018	00088753150 MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	85.0	0.53
03/16/2018	00088753150 MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	85.0	0.53
03/23/2018	00088753150 MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	85.0	0.53
03/23/2018	00088753150 MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	85.0	0.53
03/16/2018	00089144953 RODRIGUEZ, JOHNATAN	SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15	85.0	0.13
03/16/2018	00089144953 RODRIGUEZ, JOHNATAN	SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15	85.0	0.13
03/23/2018	00089144953 RODRIGUEZ, JOHNATAN	SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15	85.0	0.13
03/23/2018	00089144953 RODRIGUEZ, JOHNATAN	SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15	85.0	0.13
03/20/2018	00089506836 HAWKINS, SAMUEL L.	AMERICAN FUNDS FUNDAMENTAL	INVEST B	102.48	1.23	0.00	1.23	85.0	1.05
03/20/2018	00089506836 HAWKINS, SAMUEL L.	AMERICAN FUNDS FUNDAMENTAL	INVEST B	70.48	0.85	0.00	0.85	85.0	0.72
03/20/2018	00089506836 HAWKINS, SAMUEL L.	AMERICAN FUNDS NEW ECONOMY FD	B	102.49	1.23	0.00	1.23	85.0	1.05
03/20/2018	00089506836 HAWKINS, SAMUEL L.	AMERICAN FUNDS NEW ECONOMY FD	B	70.49	0.85	0.00	0.85	85.0	0.72

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUNDS										
03/16/2018	00089680678	CHAMPION, AUBREY J.	THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25	85.0	0.21
03/16/2018	00089680678	CHAMPION, AUBREY J.	THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25	85.0	0.21
03/23/2018	00089680678	CHAMPION, AUBREY J.	THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25	85.0	0.21
03/23/2018	00089680678	CHAMPION, AUBREY J.	THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25	85.0	0.21
03/15/2018	00089986176	YOUNG, MARCUS	FUNDAMENTAL INVESTORS-529C	B	100.00	1.00	0.00	1.00	85.0	0.85
03/15/2018	04000108054	HAMILTON, JAMES	FUNDAMENTAL INVESTORS-529C	B	50.00	0.50	0.00	0.50	85.0	0.43
03/20/2018	04000150405	BLAKE, MATTHEW	THE GROWTH FUND OF AMERICA-C	B	153.00	1.53	0.00	1.53	85.0	1.30
03/20/2018	04000150405	BLAKE, MATTHEW	THE GROWTH FUND OF AMERICA-C	B	153.00	1.53	0.00	1.53	85.0	1.30
03/16/2018	04000175390	MCADAMS, NICHOLAS W.	THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33	85.0	0.28
03/16/2018	04000175390	MCADAMS, NICHOLAS W.	THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33	85.0	0.28
03/23/2018	04000175390	MCADAMS, NICHOLAS W.	THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33	85.0	0.28
03/23/2018	04000175390	MCADAMS, NICHOLAS W.	THE GROWTH FUND OF AMERICA-C	B	32.55	0.33	0.00	0.33	85.0	0.28
03/16/2018	04000365188	BISHOP, JILL R.	NEW PERSPECTIVE FUND-C	B	18.23	0.18	0.00	0.18	85.0	0.15
03/16/2018	04000365188	BISHOP, JILL R.	NEW PERSPECTIVE FUND-C	B	18.23	0.18	0.00	0.18	85.0	0.15
03/23/2018	04000365188	BISHOP, JILL R.	NEW PERSPECTIVE FUND-C	B	18.45	0.18	0.00	0.18	85.0	0.15
03/23/2018	04000365188	BISHOP, JILL R.	NEW PERSPECTIVE FUND-C	B	18.45	0.18	0.00	0.18	85.0	0.15
03/16/2018	04000531880	SOLIZ, OSCAR	CAPITAL WORLD GROWTH AND INCOME FUN B		54.53	0.55	0.00	0.55	85.0	0.47
03/16/2018	04000531880	SOLIZ, OSCAR	CAPITAL WORLD GROWTH AND INCOME FUN B		54.53	0.55	0.00	0.55	85.0	0.47
03/23/2018	04000531880	SOLIZ, OSCAR	CAPITAL WORLD GROWTH AND INCOME FUN B		23.10	0.23	0.00	0.23	85.0	0.20
03/23/2018	04000531880	SOLIZ, OSCAR	CAPITAL WORLD GROWTH AND INCOME FUN B		23.10	0.23	0.00	0.23	85.0	0.20
03/20/2018	04000623745	BLAKE, DAVID	THE GROWTH FUND OF AMERICA-C	B	132.00	1.32	0.00	1.32	85.0	1.12
03/20/2018	04000623745	BLAKE, DAVID	THE GROWTH FUND OF AMERICA-C	B	132.00	1.32	0.00	1.32	85.0	1.12
03/16/2018	04000660400	TAYLOR, ROBERT T.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	15.36	0.42	0.00	0.42	85.0	0.36
03/16/2018	04000660400	TAYLOR, ROBERT T.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	15.36	0.42	0.00	0.42	85.0	0.36
03/23/2018	04000660400	TAYLOR, ROBERT T.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	17.85	0.49	0.00	0.49	85.0	0.42
03/23/2018	04000660400	TAYLOR, ROBERT T.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	17.85	0.49	0.00	0.49	85.0	0.42
03/20/2018	04000695668	GILBREATH, LAURA D.	AMERICAN FUNDS FUNDAMENTAL	INVES B	100.00	1.20	0.00	1.20	85.0	1.02
03/20/2018	04000695668	GILBREATH, LAURA D.	AMERICAN FUNDS FUNDAMENTAL	INVES B	76.80	0.92	0.00	0.92	85.0	0.78
03/15/2018	04000752171	BOZEMAN, JENNIFER	NEW WORLD FUND-A	B	38.46	0.77	0.00	0.77	85.0	0.65
03/15/2018	04000752171	BOZEMAN, JENNIFER	NEW WORLD FUND-A	B	25.63	0.51	0.00	0.51	85.0	0.43

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITIES

* MUTUAL FUNDS SUBTOTAL *				\$10,569.29	\$155.48	\$0.00	\$155.48		\$132.25
PRODUCT CATEGORY: VARIABLE ANNUITIES									
03/19/2018	TRAILS	VOYA INSURANCE AND ANNUITY	B	583.36	583.36	0.00	583.36	85.0	495.86
* VARIABLE ANNUITIES SUBTOTAL *				\$583.36	\$583.36	\$0.00	\$583.36		\$495.86

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

04/02/2018	TRAIL COMMISSIONS	THORNBURG FUNDS FEES		0.00	612.62	0.00	612.62	85.0	520.72
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$612.62	\$0.00	\$612.62		\$520.72
*** BROKER TRANSACTIONS TOTAL ***				\$11,152.65	\$1,351.46	\$0.00	\$1,351.46		\$1,148.83

ADJUSTMENTS

04/02/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
04/02/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$1,148.83
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,021.33
Year-to-date Earnings	\$14,723.85