

COMMISSION STATEMENT July 15, 2018

MONROE PARKER-D334

 BROKER #: D334
 BRANCH: DMN

, TX

COMMISSION STATEMENT for MONROE PARKER-D334

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/20/2018 (None)	HANSEN, DAV	MF OPPENHEIMER	B	250.00	7.50	0.00	7.50	82.0	6.15
07/06/2018 04001058669	CA/TOD	MF THE INCOME FUND OF AMERICA-A	B	10,000.00	500.00	0.00	500.00	82.0	410.00
* MUTUAL FUNDS SUBTOTAL *				\$10,250.00	\$507.50	\$0.00	\$507.50		\$416.15
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/30/2018 (None)	VARIOUS, PARKER	MFT OPPENHEIMER	B	0.00	17.46	0.00	17.46	82.0	14.32
07/02/2018 00551505943	HAGER, GARY	MFT PUTNAM 74680E101	B	0.00	0.53	0.00	0.53	82.0	0.43
07/02/2018 00551505943	HAGER, GARY	MFT PUTNAM 746802800	B	0.00	1.68	0.00	1.68	82.0	1.38
07/01/2018 01001400908	HAGER, GARY	MFT AIM CHARTER FUND - CLASS A	B	0.00	1.38	0.00	1.38	82.0	1.13
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$21.05	\$0.00	\$21.05		\$17.26
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>									
06/30/2018 (None)	BETTAG, PHYLLIS	VAT NATIONWIDE	B	0.00	76.69	0.00	76.69	82.0	62.89
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$76.69	\$0.00	\$76.69		\$62.89
*** DIRECT TRANSACTIONS TOTAL ***				\$10,250.00	\$605.24	\$0.00	\$605.24		\$496.30

BROKER COMMISSION TOTALS

Broker Transactions	\$496.30
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$496.30
Year-to-date Commissions	\$8,228.49