03/02/2018 Page No. 1 FINANCIAL WEST GROUP

COMMISSION STATEMENT for DIRECT TRANSACTIONS

February 25, 2018

HOUSE-INVEST BANKING

BROKER #: 1020 Terminated 01/01/1991

BRANCH: HOUSE-TERM'D BROKERS

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TRADE #	DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	BROKER COMMISSION
-			BROKER TRANSACTIONS				
PRODUCT C	CATEGORY:	AGENCY TAX CREDITS					
12256628	01/31/201	8 BERKSHIRE HATHAWAY INC,	STEPHENS GARAGE BUILDING	409,770.86	13,362.12	0.00	0.00
			* AGENCY TAX CREDITS SUBTOTAL *	\$409,770.86	\$13,362.12	\$0.00	\$0.00
PRODUCT C	CATEGORY:	INVESTMENT BANKING					
12256672	02/12/001	8 INVOICE # 7	CANCER PREVENTION PHARMACEUTICALS,	0.00	1,000.00	0.00	0.00
			* INVESTMENT BANKING SUBTOTAL *	\$0.00	\$1,000.00	\$0.00	\$0.00
			*** BROKER TRANSACTIONS TOTAL ***	\$409,770.86	\$14,362.12	\$0.00	\$0.00