

Bill Payment Stub

Check Date:	2/7/2018
Check No.:	ACH
Check Amount:	4,938.86

Dominion Investor Services
P.O. Box 4768
Horseshoe Bay, TX 78657-4768

Paid To: KRIS B. LLOYD, SR.
4265 SAN FELIPE ROAD SUITE 1100
HOUSTON, TX 77027

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
1/15/2018	Bill	COMMISSIONS	0.62	0.62		0.62
1/31/2018	Bill	COMMISSIONS	5,058.24	5,058.24		5,058.24
2/1/2018	Credit	E&O	-120.00	-120.00		-120.00

COMMISSION STATEMENT
January 15, 2018

KRIS LLOYD-D039

BROKER #: D039
BRANCH: DMJ

, TX

COMMISSION STATEMENT for KRIS LLOYD-D039

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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DIRECT TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITIES

12/31/2017	1010786181 POPE, JOAN	VA	PERSP L 08/11	B	826.36	0.69	0.00	0.69	90.0	0.62
* VARIABLE ANNUITIES SUBTOTAL *					\$826.36	\$0.69	\$0.00	\$0.69		\$0.62
*** DIRECT TRANSACTIONS TOTAL ***					\$826.36	\$0.69	\$0.00	\$0.69		\$0.62

BROKER COMMISSION TOTALS

Broker Transactions	\$0.62
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$0.62
Year-to-date Commissions	\$3,555.66

COMMISSION STATEMENT

January 31, 2018

KRIS LLOYD-D039

BROKER #: D039

BRANCH: DMJ

, TX

COMMISSION STATEMENT for KRIS LLOYD-D039

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
CLEARING TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUNDS									
01/29/2018 153940372	TOWNES, BETTY	MF CAPITAL INCOME BUILDER-A	B	-4,997.55	0.00	5.00	-5.00	90.0	-4.50
01/29/2018 153940372	TOWNES, BETTY	MF WASHINGTON MUTUAL INVESTORS	B	-4,997.55	0.00	5.00	-5.00	90.0	-4.50
01/29/2018 368259575	ROY, JOHN	MF BALANCED PORTFOLIO-A	B	-4,997.55	0.00	5.00	-5.00	90.0	-4.50
01/29/2018 368259575	ROY, JOHN	MF GROWTH AND INCOME PORTFOLIO-A	B	-4,997.55	0.00	5.00	-5.00	90.0	-4.50
01/29/2018 368259575	ROY, JOHN	MF GROWTH PORTFOLIO-A	B	-4,997.55	0.00	5.00	-5.00	90.0	-4.50
01/29/2018 368350989	TOWNES, BETTY	MF CAPITAL INCOME BUILDER-A	B	-4,997.55	0.00	5.00	-5.00	90.0	-4.50
01/29/2018 368350989	TOWNES, BETTY	MF WASHINGTON MUTUAL INVESTORS	B	-4,997.55	0.00	5.00	-5.00	90.0	-4.50
* MUTUAL FUNDS SUBTOTAL *				\$-34,982.85	\$0.00	\$35.00	\$-35.00		\$-31.50

PRODUCT CATEGORY: STOCKS

01/29/2018 153940372	TOWNES, BETTY	S HCP INC	B	9,767.62	193.57	15.00	178.57	90.0	160.71
01/26/2018 216051151	DAVID HOLLRAH IND	S ADVANCED MICRO DEVICES INC	S	-38,230.39	409.27	15.00	394.27	90.0	354.84
01/26/2018 216051151	DAVID HOLLRAH IND	S HCP INC	B	24,428.69	268.04	15.00	253.04	90.0	227.74
01/26/2018 338107996	HOLLRAH, DAVID	S ADVANCED MICRO DEVICES INC	S	-19,101.58	220.92	15.00	205.92	90.0	185.33
01/26/2018 338107996	HOLLRAH, DAVID	S HCP INC	B	12,227.18	150.23	15.00	135.23	90.0	121.71
01/29/2018 368259575	ROY, JOHN	S HCP INC	B	12,181.19	229.24	15.00	214.24	90.0	192.82
01/29/2018 368350989	TOWNES, BETTY	S HCP INC	B	12,191.34	229.39	15.00	214.39	90.0	192.95
01/26/2018 368431919	COLEMAN, DONALD	S ADVANCED MICRO DEVICES INC	S	-25,184.63	434.33	15.00	419.33	90.0	377.40
01/26/2018 368431919	COLEMAN, DONALD	S HCP INC	B	24,583.99	412.54	15.00	397.54	90.0	357.79
01/25/2018 645219153	ROYER TTEE, MARY	S ADVANCED MICRO DEVICES INC	S	-9,908.43	201.69	15.00	186.69	90.0	168.02
01/25/2018 645219153	ROYER TTEE, MARY	S TEVA PHARMACEUTICAL INDS ADR	S	-10,366.15	208.66	15.00	193.66	90.0	174.29
01/26/2018 645219153	ROYER TTEE, MARY	S HCP INC	B	12,301.77	231.02	15.00	216.02	90.0	194.42
01/25/2018 645219578	ROYER TTEE, MARY	S ADVANCED MICRO DEVICES INC	S	-12,398.65	239.61	15.00	224.61	90.0	202.15
01/25/2018 645219578	ROYER TTEE, MARY	S TEVA PHARMACEUTICAL INDS ADR	S	-10,356.30	208.51	15.00	193.51	90.0	174.16
01/26/2018 645219578	ROYER TTEE, MARY	S HCP INC	B	12,282.69	230.74	15.00	215.74	90.0	194.17
01/25/2018 645219949	ROYER, MARY	S ADVANCED MICRO DEVICES INC	S	-12,438.04	240.22	15.00	225.22	90.0	202.70
01/25/2018 645219949	ROYER, MARY	S TEVA PHARMACEUTICAL INDS ADR	S	-10,351.37	208.44	15.00	193.44	90.0	174.10
01/26/2018 645219949	ROYER, MARY	S HCP INC	B	12,300.45	231.00	15.00	216.00	90.0	194.40
01/25/2018 645225418	ROYER TTEE, MARY	S ADVANCED MICRO DEVICES INC	S	-6,212.49	145.41	15.00	130.41	90.0	117.37
01/25/2018 645225418	ROYER TTEE, MARY	S TEVA PHARMACEUTICAL INDS ADR	S	-10,336.60	208.21	15.00	193.21	90.0	173.89
01/26/2018 645225418	ROYER TTEE, MARY	S HCP INC	B	12,308.07	231.12	15.00	216.12	90.0	194.51

COMMISSION STATEMENT for KRIS LLOYD-D039

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
01/29/2018 677260790	SCHULTZE, HARRY	S ADVANCED MICRO DEVICES INC	S	-6,493.22	149.68	15.00	134.68	90.0	121.21
01/29/2018 677260790	SCHULTZE, HARRY	S HCP INC	B	7,341.88	157.73	15.00	142.73	90.0	128.46
01/26/2018 677360654	SCHEPS-DERR, KIM	S ADVANCED MICRO DEVICES INC	S	-12,624.30	243.05	15.00	228.05	90.0	205.25
01/26/2018 677360654	SCHEPS-DERR, KIM	S HCP INC	B	12,267.47	230.52	15.00	215.52	90.0	193.97
* STOCKS SUBTOTAL *				<u>\$-19,819.81</u>	<u>\$5,913.14</u>	<u>\$375.00</u>	<u>\$5,538.14</u>		<u>\$4,984.36</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/23/2018 mfc991470	LLOYD 991470	MFT AMCAP FUND-A	B	0.00	5.22	0.00	5.22	90.0	4.70
01/23/2018 mfc991470	LLOYD 991470	MFT AMERICAN BALANCED FUND-A	B	0.00	13.19	0.00	13.19	90.0	11.87
01/23/2018 mfc991470	LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	0.09	0.00	0.09	90.0	0.08
01/23/2018 mfc991470	LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	0.38	0.00	0.38	90.0	0.34
01/23/2018 mfc991470	LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	3.40	0.00	3.40	90.0	3.06
01/23/2018 mfc991470	LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	5.96	0.00	5.96	90.0	5.36
01/23/2018 mfc991470	LLOYD 991470	MFT BALANCED PORTFOLIO-A	B	0.00	15.64	0.00	15.64	90.0	14.08
01/23/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.33	0.00	0.33	90.0	0.30
01/23/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.42	0.00	0.42	90.0	0.38
01/23/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.47	0.00	0.47	90.0	0.42
01/23/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.81	0.00	0.81	90.0	0.73
01/23/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	0.95	0.00	0.95	90.0	0.86
01/23/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.92	0.00	1.92	90.0	1.73
01/23/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	2.36	0.00	2.36	90.0	2.12
01/23/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	5.59	0.00	5.59	90.0	5.03
01/23/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	8.15	0.00	8.15	90.0	7.34
01/23/2018 mfc991470	LLOYD 991470	MFT CAPITAL INCOME BUILDER-A	B	0.00	11.56	0.00	11.56	90.0	10.40
01/23/2018 mfc991470	LLOYD 991470	MFT CAPITAL WORLD GROWTH AND INCOME	B	0.00	4.39	0.00	4.39	90.0	3.95
01/23/2018 mfc991470	LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	3.73	0.00	3.73	90.0	3.36
01/23/2018 mfc991470	LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	5.15	0.00	5.15	90.0	4.64
01/23/2018 mfc991470	LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	6.48	0.00	6.48	90.0	5.83
01/23/2018 mfc991470	LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	7.62	0.00	7.62	90.0	6.86
01/23/2018 mfc991470	LLOYD 991470	MFT GROWTH AND INCOME PORTFOLIO-A	B	0.00	24.15	0.00	24.15	90.0	21.74
01/23/2018 mfc991470	LLOYD 991470	MFT GROWTH PORTFOLIO-A	B	0.00	4.76	0.00	4.76	90.0	4.28
01/23/2018 mfc991470	LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.81	0.00	0.81	90.0	0.73
01/23/2018 mfc991470	LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.19	0.00	1.19	90.0	1.07
01/23/2018 mfc991470	LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	4.49	0.00	4.49	90.0	4.04
01/23/2018 mfc991470	LLOYD 991470	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.90	0.00	5.90	90.0	5.31
01/23/2018 mfc991470	LLOYD 991470	MFT THE HARTFORD CHECKS AND	B	0.00	0.04	0.00	0.04	90.0	0.04
01/23/2018 mfc991470	LLOYD 991470	MFT THE HARTFORD CHECKS AND	B	0.00	6.92	0.00	6.92	90.0	6.23
01/23/2018 mfc991470	LLOYD 991470	MFT THE HARTFORD CHECKS AND	B	0.00	7.94	0.00	7.94	90.0	7.15
01/23/2018 mfc991470	LLOYD 991470	MFT THE HARTFORD DIVIDEND & GROWTH	B	0.00	0.01	0.00	0.01	90.0	0.01
01/23/2018 mfc991470	LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.40	0.00	0.40	90.0	0.36
01/23/2018 mfc991470	LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.91	0.00	0.91	90.0	0.82
01/23/2018 mfc991470	LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.22	0.00	4.22	90.0	3.80
01/23/2018 mfc991470	LLOYD 991470	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.00	0.00	5.00	90.0	4.50
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$170.55</u>	<u>\$0.00</u>	<u>\$170.55</u>		<u>\$153.52</u>

COMMISSION STATEMENT for KRIS LLOYD-D039

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
*** CLEARING TRANSACTIONS TOTAL ***				\$-54,802.66	\$6,083.69	\$410.00	\$5,673.69		\$5,106.38

DIRECT TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/31/2017 (None)	CITTI	MFT COLLEGE BOUND	B	0.00	6.21	0.00	6.21	90.0	5.59
12/31/2017 (None)	GRAHAM	MFT NEST ADVISOR	B	0.00	1.41	0.00	1.41	90.0	1.27
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$7.62	\$0.00	\$7.62		\$6.86
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$7.62	\$0.00	\$7.62		\$6.86

ADJUSTMENTS

01/31/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
01/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
01/31/2018	:	MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		0.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-55.00

BROKER COMMISSION TOTALS

Broker Transactions	\$5,113.24
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-55.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$5,058.24
Year-to-date Commissions	\$8,613.90