

All Companies
STATEMENT
January 20, 2018

RONALD NALVEN
269 Century Way

BROKER #: 028
BRANCH: LA BRANCH

Manalapan, NJ 07726-

COMMISSION STATEMENT for RONALD NALVEN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

12/14/2017 # BOGUCHWAL, STEPHEN	JANUS INVESTMENT FUND BALANCED FUND S			1,100.00	0.00	20.00	0.00	-20.00	86.0	-17.20
	* MUTUAL FUNDS SUBTOTAL *			\$1,100.00	\$0.00	\$20.00	\$0.00	\$-20.00		\$-17.20

PRODUCT CATEGORY: VARIABLE ANNUITIES

11/29/2017 #27045 COMPONENTS AND CONT	LINCOLN	B	0.00	319.59	0.00	0.00		319.59	86.0	274.85
12/01/2017 #PHR546	VOYA RETIREMENT INS	B	0.00	917.79	0.00	0.00		917.79	86.0	789.30
12/01/2017 #ZH8660	VOYA RETIREMENT INS	B	0.00	2,547.70	0.00	0.00		2,547.70	86.0	2,191.02
12/05/2017 #110865LB8 PEREZ, HECTOR	TRANS LIFEFLDP26	B	0.00	76.48	0.00	0.00		76.48	86.0	65.77
12/05/2017 #130283LB8 WILLIAMSON	TRANS LIFEFLDP26	B	0.00	92.00	0.00	0.00		92.00	86.0	79.12
12/05/2017 #110487LB8 BOGUCHWAL, STEPHEN	TRANS LIFEFLDP26	B	0.00	190.20	0.00	0.00		190.20	86.0	163.57
12/05/2017 #111219TAL BROPHY, SUSAN	TRANSAMERICA FTL806	B	0.00	67.19	0.00	0.00		67.19	86.0	57.78
12/05/2017 #119229TAL BOGUCHWAL, SHERRY	TRANSAMERICA FTL806	B	0.00	50.58	0.00	0.00		50.58	86.0	43.50
12/05/2017 #100818TAL BERBERIAN, JOSEPH	TRANSAMERICA LIFE FLT804	B	0.00	212.35	0.00	0.00		212.35	86.0	182.62
12/05/2017 #119794TAL HAHN, IRWIN	TRANSAMERICA LIFE FTL801	B	0.00	38.77	0.00	0.00		38.77	86.0	33.34
12/15/2017 #GAPCPJN90 FOOD EXCHANGE,	NATIONWIDE 020	B	0.00	41.42	0.00	0.00		41.42	86.0	35.62
12/15/2017 #GAPCPJZ6B PANZA AND SONS	NATIONWIDE 020	B	0.00	70.07	0.00	0.00		70.07	86.0	60.26
12/15/2017 #GAPCPJZ3K REAL ESTATE, MRH	NATIONWIDE 020	B	0.00	32.79	0.00	0.00		32.79	86.0	28.20
12/15/2017 #GAPC7DN4J MEDICAL, UNIVERSITY	NATIONWIDE 620	B	0.00	174.70	0.00	0.00		174.70	86.0	150.24
12/15/2017 #GAPC3JN9N FOOD EXCHANGE,	NATIONWIDE 620	B	0.00	381.99	0.00	0.00		381.99	86.0	328.51
12/15/2017 #GAPC3JZ6A PANZA AND SONS	NATIONWIDE 620	B	0.00	277.56	0.00	0.00		277.56	86.0	238.70
12/15/2017 #GAPC3JZ3J REAL ESTATE, MRH	NATIONWIDE 620	B	0.00	111.42	0.00	0.00		111.42	86.0	95.82
12/20/2017 #P61D1518748 BOGUCHWAL, SHERRY	AMERICAN GEN LIFE	B	0.00	445.93	0.00	0.00		445.93	86.0	383.50
12/22/2017 #27045 COMPONENTS AND CONT	LINCOLN	B	0.00	-319.59	0.00	0.00		-319.59	86.0	-274.85
12/22/2017 #27045 COMPONENTS AND CONT	LINCOLN	B	0.00	319.99	0.00	0.00		319.99	86.0	275.19
	* VARIABLE ANNUITIES SUBTOTAL *			\$0.00	\$6,048.93	\$0.00	\$0.00	\$6,048.93		\$5,202.06

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/11/2017 # BOGUCHWAL, SHERRY	DAIN TRAILER	B	0.00	0.37	0.00	0.00		0.37	86.0	0.32
12/11/2017 # BOGUCHWAL, SHERRY	DAIN TRAILER	B	0.00	1.13	0.00	0.00		1.13	86.0	0.97
12/11/2017 # BOGUCHWAL, SCOTT	DAIN TRAILER	B	0.00	0.42	0.00	0.00		0.42	86.0	0.36
12/11/2017 # AMATO, NICHOLAS	DAIN TRAILER	B	0.00	1.59	0.00	0.00		1.59	86.0	1.37
12/11/2017 # AMATO, JOHN	DAIN TRAILER	B	0.00	1.55	0.00	0.00		1.55	86.0	1.33

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<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>										
12/11/2017 #	AMATO, CHRISTOPHER	DAIN TRAILER	B	0.00	0.95	0.00	0.00	0.95	86.0	0.82
12/11/2017 #	MILLER, SUSAN	DAIN TRAILER	B	0.00	4.65	0.00	0.00	4.65	86.0	4.00
12/11/2017 #	MILLER, SUSAN	DAIN TRAILER	B	0.00	1.64	0.00	0.00	1.64	86.0	1.41
12/11/2017 #	BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.97	0.00	0.00	0.97	86.0	0.83
12/11/2017 #	BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.48	0.00	0.00	0.48	86.0	0.41
12/11/2017 #	BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	1.16	0.00	0.00	1.16	86.0	1.00
12/11/2017 #	BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.62	0.00	0.00	0.62	86.0	0.53
12/11/2017 #	BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.50	0.00	0.00	0.50	86.0	0.43
12/11/2017 #	BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.98	0.00	0.00	0.98	86.0	0.84
12/11/2017 #	BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	1.12	0.00	0.00	1.12	86.0	0.96
12/11/2017 #	BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.31	0.00	0.00	0.31	86.0	0.27
12/11/2017 #	BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.15	0.00	0.00	0.15	86.0	0.13
12/11/2017 #	BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.36	0.00	0.00	0.36	86.0	0.31
12/11/2017 #	BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.20	0.00	0.00	0.20	86.0	0.17
12/11/2017 #	BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.16	0.00	0.00	0.16	86.0	0.14
12/11/2017 #	BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.31	0.00	0.00	0.31	86.0	0.27
12/11/2017 #	BOGUCHWAL, STEPHEN	DAIN TRAILER	B	0.00	0.35	0.00	0.00	0.35	86.0	0.30
12/11/2017 #	BERBERIAN, ANI	DAIN TRAILER	B	0.00	0.15	0.00	0.00	0.15	86.0	0.13
12/11/2017 #	BERBERIAN, ANI	DAIN TRAILER	B	0.00	0.72	0.00	0.00	0.72	86.0	0.62
12/11/2017 #	BERBERIAN, ANI	DAIN TRAILER	B	0.00	0.59	0.00	0.00	0.59	86.0	0.51
12/11/2017 #	JUBINSKI, STACIE	DAIN TRAILER	B	0.00	7.56	0.00	0.00	7.56	86.0	6.50
12/11/2017 #	JUBINSKI, STACIE	DAIN TRAILER	B	0.00	6.05	0.00	0.00	6.05	86.0	5.20
12/11/2017 #	EVA MAGNES MEMORIAL FAMIL	DAIN TRAILER	B	0.00	11.73	0.00	0.00	11.73	86.0	10.09
12/11/2017 #	CIAGLIA, ANTHONY	DAIN TRAILER	B	0.00	0.55	0.00	0.00	0.55	86.0	0.47
01/08/2018 #01446893602	CIAGLIA, RITA	FA GROWTH OPPORTUNITIES C	B	0.00	5.28	0.00	0.00	5.28	86.0	4.54
01/08/2018 #01446893602	CIAGLIA, RITA	TREASURY FUND ADVISOR-CL C	B	0.00	1.19	0.00	0.00	1.19	86.0	1.02
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$53.79	\$0.00	\$0.00	\$53.79		\$46.25
*** BROKER TRANSACTIONS TOTAL ***				\$1,100.00	\$6,102.72	\$20.00	\$0.00	\$6,082.72		\$5,231.11

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ADJUSTMENTS

01/20/2018		ADMIN FEE: ADMIN FEE								-390.00
01/20/2018		E & O INSURANCE: E & O INSURANCE								-179.00
01/20/2018		OTHER: LINCOLN COMMISSION ERROR								274.84
01/20/2018		OTHER: RBC FEE CORRECTION								6.04
01/20/2018		OTHER: RBC FEE CORRECTION								35.84
*** ADJUSTMENTS TOTAL ***										<u>\$-252.28</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$5,231.11
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-252.28
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,978.83
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