

COMMISSION STATEMENT

January 15, 2018

TOMMY THOMAS-D007

BROKER #: D007

BRANCH: DMN

, TX

COMMISSION STATEMENT for TOMMY THOMAS-D007

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/31/2017 (None)	VARIOUS, THOMAS	MF JOHN HANCOCK FREEDOM	B	0.00	12.89	0.00	12.89	85.0	10.96
* MUTUAL FUNDS SUBTOTAL *				\$0.00	\$12.89	\$0.00	\$12.89		\$10.96
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/29/2017 (None)	HEAD ENERGY AND	VA PRUDENTIAL LIFE	B	0.00	472.92	0.00	472.92	85.0	401.98
01/05/2018 (None)	THE TRIM SHOP	VA PRUDENTIAL LIFE	B	0.00	35.00	0.00	35.00	85.0	29.75
12/31/2017 009626232A	GORDON, HAROLD &	VA L SERIES	B	53,573.99	58.04	0.00	58.04	85.0	49.33
12/31/2017 1009553912	HUYSER, GORDON	VA PERSPECTIVE L	B	572,832.79	477.36	0.00	477.36	85.0	405.76
12/31/2017 1009680191	EATON, BARRY	VA PERSPECTIVE L	B	38,501.81	32.08	0.00	32.08	85.0	27.27
12/31/2017 1009683115	JONES, DIANNE	VA PERSPECTIVE L	B	105,951.50	88.29	0.00	88.29	85.0	75.05
12/31/2017 1010957199	SMART INDIVIDUAL	VA PERSP L 08/11	B	460,487.16	383.74	0.00	383.74	85.0	326.18
12/31/2017 1011344646	MAHURIN, JANETTE	VA PERSP L 08/11	B	63,002.04	52.50	0.00	52.50	85.0	44.63
12/31/2017 1011349192	MAHURIN, JOHN	VA PERSP L 08/11	B	37,538.36	31.28	0.00	31.28	85.0	26.59
12/31/2017 1011363012	MAHURIN, JANETTE	VA PERSP L 08/11	B	30,033.05	25.03	0.00	25.03	85.0	21.28
12/31/2017 1011400821	MAHURIN, JOHN	VA PERSP L 08/11	B	38,566.09	32.14	0.00	32.14	85.0	27.32
12/31/2017 1013867581	GORDON, JOYCE	VA PERSP L 08/11	B	192,539.11	160.45	0.00	160.45	85.0	136.38
12/31/2017 1014010692	SMART HOSPITALIST	VA PERSP L 08/11	B	214,664.47	178.89	0.00	178.89	85.0	152.06
12/31/2017 1014013020	VERNER, MICHAEL	VA PERSP L 08/11	B	159,907.25	133.26	0.00	133.26	85.0	113.27
12/31/2017 1014085366	NGANKEU, EVARISTE	VA PERSP L 08/11	B	166,983.32	139.15	0.00	139.15	85.0	118.28
12/31/2017 1014100446	KAY, ANGELIA	VA PERSP L 08/11	B	114,265.27	95.22	0.00	95.22	85.0	80.94
12/31/2017 1014100489	KAY, ANGELIA	VA PERSP L 08/11	B	36,525.13	30.44	0.00	30.44	85.0	25.87
12/31/2017 1014100497	KAY, ANGELIA	VA PERSP L 08/11	B	595,044.72	495.87	0.00	495.87	85.0	421.49
12/31/2017 1015182330	HAGGARD INDY 401 K	VA PERSP L 08/11	B	126,631.60	105.53	0.00	105.53	85.0	89.70
12/31/2017 1015347453	JANWA EPSE	VA PERSP L 08/11	B	18,247.78	15.21	0.00	15.21	85.0	12.93
12/31/2017 1017602728	BEARD, DARLENE	VA ELITE ACCESS L	B	207,017.38	172.51	0.00	172.51	85.0	146.63
12/31/2017 1017605786	BEARD, DARLENE	VA ELITE ACCESS	B	597,270.14	497.73	0.00	497.73	85.0	423.07
12/31/2017 1018337262	GORDON, JOYCE	VA PERSP L 08/11	B	104,950.29	87.46	0.00	87.46	85.0	74.34
12/31/2017 1019580861	MOORE, THOMAS &	VA PERSP II 01/16	B	89,588.65	74.66	0.00	74.66	85.0	63.46
* VARIABLE ANNUITIES SUBTOTAL *				\$4,024,121.90	\$3,874.76	\$0.00	\$3,874.76		\$3,293.56

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PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS									
12/31/2017 (None)	REDDY INDIVID 401K,	VAT PRUDENTIAL LIFE	B	0.00	410.14	0.00	410.14	85.0	348.62
12/31/2017 (None)	MCCOY, DEBORAH	VAT PRUDENTIAL LIFE	B	0.00	254.41	0.00	254.41	85.0	216.25
12/31/2017 (None)	BEARD, DARLENE	VAT PRUDENTIAL LIFE	B	0.00	1,748.62	0.00	1,748.62	85.0	1,486.33
12/31/2017 (None)	MCCOY, JAMES	VAT PRUDENTIAL LIFE	B	0.00	213.21	0.00	213.21	85.0	181.23
12/31/2017 (None)	HEAD ENERGY AND	VAT PRUDENTIAL LIFE	B	0.00	359.96	0.00	359.96	85.0	305.97
12/31/2017 (None)	HEAD ENERGY AND	VAT PRUDENTIAL LIFE	B	0.00	411.60	0.00	411.60	85.0	349.86
12/31/2017 (None)	BELL, CYNTHIA	VAT PRUDENTIAL LIFE	B	0.00	92.86	0.00	92.86	85.0	78.93
12/31/2017 (None)	BEARD, DARLENE	VAT PRUDENTIAL LIFE	B	0.00	141.80	0.00	141.80	85.0	120.53
12/31/2017 (None)	TUEL, SHARON	VAT PRUDENTIAL LIFE	B	0.00	323.74	0.00	323.74	85.0	275.18
12/31/2017 (None)	EASLEY, JAMES	VAT PRUDENTIAL LIFE	B	0.00	545.22	0.00	545.22	85.0	463.44
12/31/2017 (None)	THE TRIM SHOP	VAT PRUDENTIAL LIFE	B	0.00	62.04	0.00	62.04	85.0	52.73
12/31/2017 (None)	HOLT, MARGIE	VAT PRUDENTIAL LIFE	B	0.00	73.37	0.00	73.37	85.0	62.36
12/31/2017 (None)	HOLT, RICHARD	VAT PRUDENTIAL LIFE	B	0.00	71.13	0.00	71.13	85.0	60.46
12/31/2017 (None)	HOLT, RICHARD	VAT PRUDENTIAL LIFE	B	0.00	51.06	0.00	51.06	85.0	43.40
12/31/2017 (None)	NEVILLE, PATRICK	VAT PRUDENTIAL LIFE	B	0.00	415.80	0.00	415.80	85.0	353.43
01/05/2018 (None)	ADAMS, ELIZABETH	VAT AXA LIFE	B	0.00	179.67	0.00	179.67	85.0	152.72
01/05/2018 (None)	SMART, DAVID	VAT AXA LIFE	B	0.00	451.00	0.00	451.00	85.0	383.35
01/05/2018 (None)	SMART, DAVID	VAT AXA LIFE	B	0.00	310.06	0.00	310.06	85.0	263.55
01/05/2018 (None)	HEAD, CAROLE	VAT AXA LIFE	B	0.00	156.91	0.00	156.91	85.0	133.37
01/05/2018 (None)	BORY, ARIADNA	VAT AXA LIFE	B	0.00	492.85	0.00	492.85	85.0	418.92
01/05/2018 (None)	WELCH MACKEY, MARY	VAT JOHN HANCOCK LIFE	B	0.00	58.28	0.00	58.28	85.0	49.54
01/05/2018 (None)	RICCI, ALBERT	VAT JOHN HANCOCK LIFE	B	0.00	199.57	0.00	199.57	85.0	169.63
01/05/2018 (None)	JONES, DIANNE	VAT JOHN HANCOCK LIFE	B	0.00	23.13	0.00	23.13	85.0	19.66
01/05/2018 (None)	STOKES, LARRY	VAT JOHN HANCOCK LIFE	B	0.00	11.58	0.00	11.58	85.0	9.84
01/05/2018 (None)	STOKES, GAYLA	VAT JOHN HANCOCK LIFE	B	0.00	4.47	0.00	4.47	85.0	3.80
01/05/2018 (None)	STOKES, LARRY	VAT JOHN HANCOCK LIFE	B	0.00	4.47	0.00	4.47	85.0	3.80
01/05/2018 (None)	YOUNG, TOMMY	VAT JOHN HANCOCK LIFE	B	0.00	4.90	0.00	4.90	85.0	4.17
01/05/2018 (None)	YOUNG, DOTTIE	VAT JOHN HANCOCK LIFE	B	0.00	4.54	0.00	4.54	85.0	3.86
01/05/2018 (None)	YOUNG, TOMMY	VAT JOHN HANCOCK LIFE	B	0.00	22.83	0.00	22.83	85.0	19.41
01/05/2018 (None)	ALLEN, PATRICIA	VAT JOHN HANCOCK LIFE	B	0.00	23.34	0.00	23.34	85.0	19.84
01/05/2018 (None)	BAILEY, VICKI	VAT JOHN HANCOCK LIFE	B	0.00	99.10	0.00	99.10	85.0	84.24
01/05/2018 (None)	BAILEY, VICKI	VAT JOHN HANCOCK LIFE	B	0.00	13.45	0.00	13.45	85.0	11.43
01/05/2018 (None)	MAHURIN, JOHN	VAT JOHN HANCOCK LIFE	B	0.00	29.64	0.00	29.64	85.0	25.19
01/05/2018 (None)	GRANT, MICHAEL	VAT JOHN HANCOCK LIFE	B	0.00	89.47	0.00	89.47	85.0	76.05
01/05/2018 (None)	SPEER, GEORGE	VAT JOHN HANCOCK LIFE	B	0.00	77.98	0.00	77.98	85.0	66.28
01/05/2018 (None)	TAYLOR, DARVOL	VAT JOHN HANCOCK LIFE	B	0.00	253.46	0.00	253.46	85.0	215.44
01/05/2018 (None)	TAYLOR, DARVOL	VAT JOHN HANCOCK LIFE	B	0.00	29.57	0.00	29.57	85.0	25.13
01/05/2018 (None)	JONES, DIANNE	VAT JOHN HANCOCK LIFE	B	0.00	34.17	0.00	34.17	85.0	29.04
01/05/2018 (None)	HUNTER, BARBARA	VAT JOHN HANCOCK LIFE	B	0.00	160.57	0.00	160.57	85.0	136.48
01/05/2018 (None)	MCCOY, DEBORAH	VAT JOHN HANCOCK LIFE	B	0.00	72.99	0.00	72.99	85.0	62.04
01/05/2018 (None)	CATES, CYNDY	VAT JOHN HANCOCK LIFE	B	0.00	56.10	0.00	56.10	85.0	47.69
01/05/2018 (None)	SPEER, GEORGE	VAT JOHN HANCOCK LIFE	B	0.00	37.47	0.00	37.47	85.0	31.85
01/05/2018 (None)	RYAN, REX	VAT JOHN HANCOCK LIFE	B	0.00	31.98	0.00	31.98	85.0	27.18

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01/05/2018 (None)	SUTTON, CYNTHIA ANN	VAT JOHN HANCOCK LIFE	B	0.00	4.22	0.00	4.22	85.0	3.59
01/05/2018 (None)	SUTTON, CYNTHIA ANN	VAT JOHN HANCOCK LIFE	B	0.00	16.58	0.00	16.58	85.0	14.09
01/05/2018 (None)	STOKES, GAYLA	VAT JOHN HANCOCK LIFE	B	0.00	6.76	0.00	6.76	85.0	5.75
01/05/2018 (None)	STOKES, LARRY	VAT JOHN HANCOCK LIFE	B	0.00	6.76	0.00	6.76	85.0	5.75
12/31/2017 00711345641	WHISENHUNT, CAROL	VAT HARTFORD LIFE	B	0.00	82.85	0.00	82.85	85.0	70.42
12/31/2017 00711345641	COURTNEY, ROY	VAT HARTFORD LIFE	B	0.00	112.97	0.00	112.97	85.0	96.02
12/31/2017 00711345641	GRIFFITH, MARIE	VAT HARTFORD LIFE	B	0.00	9.38	0.00	9.38	85.0	7.97
12/31/2017 00711345641	GRIFFITH, MARIE	VAT HARTFORD LIFE	B	0.00	8.82	0.00	8.82	85.0	7.50
12/31/2017 00711345641	GRIFFITH, MARIE	VAT HARTFORD LIFE	B	0.00	8.82	0.00	8.82	85.0	7.50
12/31/2017 00711345641	GRIFFITH, MARIE	VAT HARTFORD LIFE	B	0.00	9.31	0.00	9.31	85.0	7.91
12/31/2017 00711345641	TEBOW, TERESA	VAT HARTFORD LIFE	B	0.00	46.39	0.00	46.39	85.0	39.43
12/31/2017 00711345641	LEE, LAWRENCE	VAT HARTFORD LIFE	B	0.00	294.86	0.00	294.86	85.0	250.63
12/31/2017 00712487543	TEBOW, TERESA	VAT HARTFORD LIFE	B	0.00	270.22	0.00	270.22	85.0	229.69
12/31/2017 00712487543	MAHURIN, JOHN	VAT HARTFORD LIFE	B	0.00	290.91	0.00	290.91	85.0	247.27
12/31/2017 00712487543	MAHURIN, JOHN	VAT HARTFORD LIFE	B	0.00	53.74	0.00	53.74	85.0	45.68
12/31/2017 00712487543	LEE, LAWRENCE	VAT HARTFORD LIFE	B	0.00	72.72	0.00	72.72	85.0	61.81
12/31/2017 00712487543	LEE, YOSHIKO	VAT HARTFORD LIFE	B	0.00	2.02	0.00	2.02	85.0	1.72
12/31/2017 00712487543	GORDON, HAROLD	VAT HARTFORD LIFE	B	0.00	181.12	0.00	181.12	85.0	153.95
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$9,586.96	\$0.00	\$9,586.96		\$8,148.90
*** DIRECT TRANSACTIONS TOTAL ***				\$4,024,121.90	\$13,474.61	\$0.00	\$13,474.61		\$11,453.42

BROKER COMMISSION TOTALS

Broker Transactions	\$11,453.42
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$11,453.42
Year-to-date Commissions	\$11,741.26