

COMMISSION STATEMENT

October 31, 2017

LAWRENCE HORN
301 E 47TH STREET
APT 16J
NEW YORK, NY 10017

BROKER #: 46
BRANCH: HOME OFFICE

COMMISSION STATEMENT for LAWRENCE HORN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/26/2017	75501332 HORN, ABIGAIL JULIE	ADVANCED MICRO DEVICES INC	B	1,206.50	20.00	10.00	10.00	50.0	5.00
09/28/2017	QGV004930 GS ARBITRAGE	IMPERVA INC COM	S	-4,380.00	3.00	10.00	-7.00	50.0	-3.50
10/13/2017	QGV004930 GS ARBITRAGE	HAEMONETICS CORP MASS COM	B	741,080.31	483.12	10.00	473.12	50.0	236.56
10/25/2017	QGV004930 GS ARBITRAGE	CONSTELLIUM NV CL A ISIN#NL00104895	S	-87,930.36	252.00	10.00	242.00	50.0	121.00
09/28/2017	QGV005630 MORGAN STANLEY	MCKESSON CORP	S	-3,047,898.00	600.00	10.00	590.00	50.0	295.00
10/02/2017	QGV006240 GS ARBITRAGE	STERLING BANCORP	S	-256,725.00	420.00	10.00	410.00	50.0	205.00
10/20/2017	QGV006240 GS ARBITRAGE	CENTURYTEL INC	B	94,825.00	200.00	10.00	190.00	50.0	95.00
* STOCKS SUBTOTAL *				\$-2,559,821.55	\$1,978.12	\$70.00	\$1,908.12		\$954.06
<u>PRODUCT CATEGORY: MISCELLANEOUS</u>									
10/24/2017	VARIOUS, HORN	IMPALA	B	0.00	25,000.00	10.00	24,990.00	50.0	12,495.00
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$25,000.00	\$10.00	\$24,990.00		\$12,495.00
*** BROKER TRANSACTIONS TOTAL ***				\$-2,559,821.55	\$26,978.12	\$80.00	\$26,898.12		\$13,449.06

ADJUSTMENTS

10/31/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
10/31/2017	OTHER: HAMMERSTONE	0.00	0.00	0.00	0.00	-127.50
10/31/2017	OTHER: OTHER	0.00	0.00	0.00	0.00	-1,050.00
10/31/2017	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-5.00
10/31/2017	OTHER: OTHER-ADDITIONAL SIPC AND FI	0.00	0.00	0.00	0.00	-65.08
10/31/2017	:	0.00	0.00	0.00	0.00	0.00
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-1,267.58

BROKER COMMISSION TOTALS

Broker Transactions	\$13,449.06
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,267.58
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-1,738.94
FINRA Assessment	\$-2.47
SIPC Assessment	\$-4.95

Check Amount	\$10,435.12
Year-to-date Earnings	\$13,063.53