



# COMMISSION STATEMENT

August 06, 2018

ANDREW KALINOWSKI  
400 West Metro Park  
Rochester, NY 14623

BROKER #: 20  
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
07/20/2018	***-**-5142 - WASHINGTON	EQUITY INCOME FUND, INC. A	25.00	1.19	0.00	1.19	95.0	1.13
	ACCOUNT #: 08353172444	BUY 0 Units @0.0000						
07/30/2018	***-**-9281 - RUSSELL, AMY	EQUITY INCOME FUND, INC. C	25.00	0.25	0.00	0.25	95.0	0.24
	ACCOUNT #: 08370662827	BUY 0 Units @0.0000						
07/27/2018	***-**-9061 - BROWN, WINSTON	GOLD & SPECIAL MINERALS A	30.00	1.43	0.00	1.43	95.0	1.36
	ACCOUNT #: 04104629482	BUY 0 Units @0.0000						
07/20/2018	***-**-5142 - WASHINGTON	INTERNATIONAL BOND A	25.00	1.00	0.00	1.00	95.0	0.95
	ACCOUNT #: 08810288553	BUY 0 Units @0.0000						
07/20/2018	***-**-9061 - BROWN, WINSTON	QUEST OPPORTUNITY VALUE A	30.00	1.43	0.00	1.43	95.0	1.36
	ACCOUNT #: 02365535387	BUY 0 Units @0.0000						
07/27/2018	***-**-6657 - THOMAS, ALEXIS	RISING DIVIDENDS FUND A	25.00	1.19	0.00	1.19	95.0	1.13
	ACCOUNT #: 02254036945	BUY 0 Units @0.0000						
07/20/2018	***-**-3942 - HIBBERT, LEONA	ROCHESTER FUND MUNICIPALS C	50.00	0.50	0.00	0.50	95.0	0.48
	ACCOUNT #: 03670462660	BUY 0 Units @0.0000						
07/27/2018	***-**-6657 - THOMAS, ALEXIS	ROCHESTER FUND MUNICIPALS C	25.00	0.25	0.00	0.25	95.0	0.24
	ACCOUNT #: 03670742027	BUY 0 Units @0.0000						
07/30/2018	***-**-9281 - RUSSELL, AMY	SENIOR FLOATING RATE FUND C	10.00	0.10	0.00	0.10	95.0	0.10
	ACCOUNT #: 02932131646	BUY 0 Units @0.0000						
07/20/2018	***-**-5142 - WASHINGTON	SMALL- & MID-CAP GROWTH FUND A	25.00	1.19	0.00	1.19	95.0	1.13
	ACCOUNT #: 07211008854	BUY 0 Units @0.0000						
07/30/2018	***-**-9281 - RUSSELL, AMY	VALUE FUND C	25.00	0.25	0.00	0.25	95.0	0.24
	ACCOUNT #: 03770519816	BUY 0 Units @0.0000						
* MUTUAL FUNDS SUBTOTAL *			\$295.00	\$8.78	\$0.00	\$8.78		\$8.36
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
07/30/2018	***-**-575I - PALELLA, CHARLES	PRUDENTIAL VA TRAILS 74429P144	100.00	0.00	0.00	0.00	95.0	0.00
	ACCOUNT #: E1764889	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$100.00	\$0.00	\$0.00	\$0.00		\$0.00



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: 529 PLAN</u></b>								
07/27/2018	***-**-975P - SCHEUING, WAYNE	FA 529 NEW INSIGHTS PORT-CL C	50.00	0.50	0.00	0.50	95.0	0.48
	ACCOUNT #: 01429492687	BUY 2 Units @28.1400						
		<b>* 529 PLAN SUBTOTAL *</b>	<b>\$50.00</b>	<b>\$0.50</b>	<b>\$0.00</b>	<b>\$0.50</b>		<b>\$0.48</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
08/06/2018	***-**-1377 - MARCHESE, PAUL	NEW YORK 529 TRAILS	0.00	8.37	0.00	8.37	95.0	7.95
	ACCOUNT #: 77056237301	BUY 0 Units @0.0000						
		<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$8.37</b>	<b>\$0.00</b>	<b>\$8.37</b>		<b>\$7.95</b>
		<b>*** BROKER TRANSACTIONS TOTAL ***</b>	<b>\$445.00</b>	<b>\$17.65</b>	<b>\$0.00</b>	<b>\$17.65</b>		<b>\$16.79</b>
<b><u>OVERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: RICHARD BIRNS</u></b>								
07/31/2018	***-**-1071 - GERAKARIS,	JOHN HANCOCK 41014C143	0.00	2.09	0.00	2.09	20.0	0.42
	ACCOUNT #: 2419387	BUY 0 Units @0.0000						
08/02/2018	***-**-7543 - BORNSTEIN,	LINCOLN 008148728	1,785.00	35.70	0.00	35.70	20.0	7.14
	ACCOUNT #: F1519574	BUY 0 Units @0.0000						
08/02/2018	***-**-7543 - BORNSTEIN,	LINCOLN 008148728	515.00	10.30	0.00	10.30	20.0	2.06
	ACCOUNT #: F1519574	BUY 0 Units @0.0000						
		<b>* RICHARD BIRNS SUBTOTAL *</b>	<b>\$2,300.00</b>	<b>\$48.09</b>	<b>\$0.00</b>	<b>\$48.09</b>		<b>\$9.62</b>
<b><u>BROKER: BARRY BRESIN</u></b>								
07/31/2018	***-**-180I - HALM, MATILDA	JACKSON 468511654	0.00	41.38	0.00	41.38	15.0	6.21
	ACCOUNT #: 029010125A	BUY 0 Units @0.0000						
		<b>* BARRY BRESIN SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$41.38</b>	<b>\$0.00</b>	<b>\$41.38</b>		<b>\$6.21</b>
<b><u>BROKER: FREDERIC SCARPULLA</u></b>								
07/20/2018	***-**-7330 - JOHNSON, DONALD	CAPITAL APPRECIATION C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 03220387068	BUY 0 Units @0.0000						
07/20/2018	***-**-6603 - JOHNSON, TERRY	CAPITAL APPRECIATION C	68.00	0.68	0.00	0.68	10.0	0.07
	ACCOUNT #: 03222192157	BUY 0 Units @0.0000						



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<b> OVERRIDE TRANSACTIONS (continued)</b>								
<b> <u>BROKER: FREDERIC SCARPULLA (continued)</u></b>								
07/20/2018	***-**-1834 - BUTLER, KIM	CAPITAL APPRECIATION C	20.00	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 03222200176	BUY 0 Units @0.0000						
07/20/2018	***-**-85IN - MAZZOLA, BARBARA	EMERGING MARKETS INNOVATORS FUND C	7.50	0.08	0.00	0.08	10.0	0.01
	ACCOUNT #: 00100051019	BUY 0 Units @0.0000						
07/20/2018	***-**-85IN - MAZZOLA, BARBARA	GLOBAL OPPORTUNITIES FUND C	15.00	0.15	0.00	0.15	10.0	0.02
	ACCOUNT #: 02171893331	BUY 0 Units @0.0000						
07/20/2018	***-**-7330 - JOHNSON, DONALD	GLOBAL OPPORTUNITIES FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 02171798599	BUY 0 Units @0.0000						
07/20/2018	***-**-6603 - JOHNSON, TERRY	MAIN STREET FUND C	66.00	0.66	0.00	0.66	10.0	0.07
	ACCOUNT #: 07024184114	BUY 0 Units @0.0000						
07/20/2018	***-**-7330 - JOHNSON, DONALD	MAIN STREET FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 07024393312	BUY 0 Units @0.0000						
08/06/2018	***-**-503J - OBI, JEAN	NEW YORK 529 TRAILS	0.00	2.40	0.00	2.40	10.0	0.24
		BUY 0 Units @0.0000						
08/06/2018	***-**-3758 - CONNORS, DAVID	NEW YORK 529 TRAILS	0.00	0.37	0.00	0.37	10.0	0.04
	ACCOUNT #: 77162702001	BUY 0 Units @0.0000						
08/06/2018	***-**-015P - FANTAUZZO,	NEW YORK 529 TRAILS	0.00	2.40	0.00	2.40	10.0	0.24
	ACCOUNT #: 77529133201	BUY 0 Units @0.0000						
08/06/2018	***-**-335P - JULIAN, GIOVANA	NEW YORK 529 TRAILS	0.00	7.95	0.00	7.95	10.0	0.80
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
08/06/2018	***-**-80IN - MOYER, COLLEEN	NEW YORK 529 TRAILS	0.00	13.77	0.00	13.77	10.0	1.38
	ACCOUNT #: 77705588101	BUY 0 Units @0.0000						
08/06/2018	***-**-2942 - WARNEY, KENNETH	NEW YORK 529 TRAILS	0.00	0.45	0.00	0.45	10.0	0.05
	ACCOUNT #: 77932712101	BUY 0 Units @0.0000						
08/06/2018	***-**-335P - JULIAN, GIOVANA	NYA JPM 529 EQUITY INCOME PORTF C	138.52	1.39	0.00	1.39	10.0	0.14
	ACCOUNT #: 77613466001	BUY 0 Units @0.0000						
07/20/2018	***-**-3407 - TUTTELL, PAULA	OPP PORT SERIES: MOD INV FD C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 05470454740	BUY 0 Units @0.0000						
07/20/2018	***-**-56SI - ODELL, JULIE	OPP PORT SERIES: MOD INV FD C	100.00	1.00	0.00	1.00	10.0	0.10
	ACCOUNT #: 05470419034	BUY 0 Units @0.0000						
07/20/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER CORP BOND FND C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 00100067156	BUY 0 Units @0.0000						



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<b> OVERRIDE TRANSACTIONS (continued) </b>								
<b> <u>BROKER: FREDERIC SCARPULLA (continued)</u> </b>								
07/20/2018	***-**-6603 - JOHNSON, TERRY	OPPENHEIMER GLOBAL CLASS C	66.00	0.66	0.00	0.66	10.0	0.07
	ACCOUNT #: 03322638581	BUY 0 Units @0.0000						
07/20/2018	***-**-85IN - MAZZOLA, BARBARA	OPPENHEIMER INTERNATIONAL DIVERSIFI	7.50	0.08	0.00	0.08	10.0	0.01
	ACCOUNT #: 01971104114	BUY 0 Units @0.0000						
07/20/2018	***-**-6836 - SMITH, ADRIENNE	OPPENHEIMER RISING DIVIDENDS FUND C	25.00	0.25	0.00	0.25	10.0	0.03
	ACCOUNT #: 02271182830	BUY 0 Units @0.0000						
07/20/2018	***-**-7330 - JOHNSON, DONALD	REAL ESTATE FUND C	50.00	0.50	0.00	0.50	10.0	0.05
	ACCOUNT #: 05920398266	BUY 0 Units @0.0000						
07/20/2018	***-**-1834 - BUTLER, KIM	SMALL- & MID- CAP VALUE FUND C	20.00	0.20	0.00	0.20	10.0	0.02
	ACCOUNT #: 02531162106	BUY 0 Units @0.0000						
07/20/2018	***-**-1834 - BUTLER, KIM	STRATEGIC INCOME FUND C	10.00	0.10	0.00	0.10	10.0	0.01
	ACCOUNT #: 01920863291	BUY 0 Units @0.0000						
	<b>* FREDERIC SCARPULLA SUBTOTAL *</b>		<b>\$868.52</b>	<b>\$36.04</b>	<b>\$0.00</b>	<b>\$36.04</b>		<b>\$3.65</b>
<b> <u>BROKER: WILLIAM AGNELLO</u> </b>								
08/06/2018	***-**-28IN - CUP, ANDREW	NEW YORK 529 TRAILS	0.00	10.21	0.00	10.21	25.0	2.55
	ACCOUNT #: 77133335101	BUY 0 Units @0.0000						
	<b>* WILLIAM AGNELLO SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$10.21</b>	<b>\$0.00</b>	<b>\$10.21</b>		<b>\$2.55</b>
<b> <u>BROKER: JAMES LOMBARDO</u> </b>								
08/06/2018	***-**-13CL - BERG, C, CLIENTS	NEW YORK 529 TRAILS	0.00	0.28	0.00	0.28	15.0	0.04
	ACCOUNT #: 77450911001	BUY 0 Units @0.0000						
	<b>* JAMES LOMBARDO SUBTOTAL *</b>		<b>\$0.00</b>	<b>\$0.28</b>	<b>\$0.00</b>	<b>\$0.28</b>		<b>\$0.04</b>
	<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>		<b>\$3,168.52</b>	<b>\$136.00</b>	<b>\$0.00</b>	<b>\$136.00</b>		<b>\$22.07</b>



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<b><u>BROKER COMMISSION TOTALS</u></b>								
						Broker Transactions		\$16.79
						Split Transactions		\$0.00
						Override Transactions		\$22.07
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Please Retain for Your Records THERE WILL BE NO PAYMENT THIS PERIOD								
<b>Balance Forward</b>								<b>\$38.86</b>
<b>Year-to-date Earnings</b>								<b>\$73,534.09</b>