

COMMISSION STATEMENT

January 31, 2018

ARTHUR KITTELSTAD
3316 N FRONTAGE ROAD

BROKER #: 6
BRANCH: HOME OFFICE

PLANT CITY, FL 33565

COMMISSION STATEMENT for ARTHUR KITTELSTAD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

01/18/2018	QGW001323	KNUDSON, BENJAMIN	YRC WORLDWIDE INC	S	-6,417.24	167.00	10.00	157.00	85.0	131.95
01/22/2018	QGW001323	KNUDSON, BENJAMIN	OCCIDENTAL PETE CORP	S	-7,746.08	201.00	10.00	191.00	85.0	160.85
01/29/2018	QGW018095	GRAMPP, RAYMOND	OPKO HEALTH INC	S	-2,893.02	75.00	10.00	65.00	85.0	53.75
01/16/2018	QGW018483	KNUDSON, BENJAMIN	WELLTOWER INC COM	B	5,968.75	173.00	10.00	163.00	85.0	137.05
01/08/2018	QGW018491	HOBGOOD, ROBIN	NUVEEN PREFERRED AND INCOME 2022 TE B		10,118.44	150.00	10.00	140.00	85.0	117.50
01/18/2018	QGW018525	WAYMEL, RAYMOND	YRC WORLDWIDE INC	S	-11,215.05	291.00	10.00	281.00	85.0	237.35
01/29/2018	QGW018525	WAYMEL, RAYMOND	LAS VEGAS SANDS CORP	S	-15,884.04	413.00	10.00	403.00	85.0	341.05
01/17/2018	QGW018541	LUNCEFORD, WENDELL	GAMCO NATURAL RESOURCES GOLD & INCO B		13,616.20	393.00	10.00	383.00	85.0	324.05
01/18/2018	QGW018541	LUNCEFORD, WENDELL	YRC WORLDWIDE INC	S	-8,040.00	209.00	10.00	199.00	85.0	167.65
01/22/2018	QGW018541	LUNCEFORD, WENDELL	NUVEEN PREFERRED AND INCOME 2022 TE B		24,239.30	531.00	10.00	521.00	85.0	441.35
01/29/2018	QGW018541	LUNCEFORD, WENDELL	LAS VEGAS SANDS CORP	S	-13,510.84	351.00	10.00	341.00	85.0	288.35
01/04/2018	QGW018624	BRYANT, RONALD	ALASKA AIR GROUP INC	B	5,553.45	159.00	10.00	149.00	85.0	125.15
01/23/2018	QGW018624	BRYANT, RONALD	OCCIDENTAL PETE CORP	S	-7,732.25	201.00	10.00	191.00	85.0	160.85
01/23/2018	QGW018822	BERWANGER, CAROL	OPKO HEALTH INC	S	-2,241.70	60.00	10.00	50.00	85.0	41.00
01/22/2018	QGW018913	NUTT, RENEE	OCCIDENTAL PETE CORP	S	-6,200.81	161.00	10.00	151.00	85.0	126.85
01/09/2018	QGW018954	EGGLESTON, LYNN	NUVEEN PREFERRED AND INCOME 2022 TE B		5,019.96	112.00	10.00	102.00	85.0	85.20
01/18/2018	QGW019119	HAECKER, GREG	YRC WORLDWIDE INC	S	-8,054.50	208.00	10.00	198.00	85.0	166.80
01/22/2018	QGW019119	HAECKER, GREG	OCCIDENTAL PETE CORP	S	-7,759.01	201.00	10.00	191.00	85.0	160.85
01/29/2018	QGW019143	HAECKER, DAWN	LAS VEGAS SANDS CORP	S	-7,943.00	208.00	10.00	198.00	85.0	166.80
01/18/2018	QGW019218	POTTI, NORMAN	YRC WORLDWIDE INC	S	-6,461.28	166.00	10.00	156.00	85.0	131.10
01/22/2018	QGW019457	HOLT, RUSSELL	OCCIDENTAL PETE CORP	S	-3,486.20	90.00	10.00	80.00	85.0	66.50
01/29/2018	QGW019531	HOFFMAN, DEREK	ALASKA AIR GROUP INC	B	1,952.62	60.00	10.00	50.00	85.0	41.00
01/17/2018	QGW024747	WAYMEL, RAYMOND	WELLTOWER INC COM	B	5,928.98	172.00	10.00	162.00	85.0	136.20
01/17/2018	QGW024747	WAYMEL, RAYMOND	WILLIAMS SONOMA INC	S	-10,794.00	215.00	10.00	205.00	85.0	172.75
01/22/2018	QGW024747	WAYMEL, RAYMOND	OCCIDENTAL PETE CORP	S	-15,480.52	403.00	10.00	393.00	85.0	332.55
01/02/2018	QGW024754	KNUDSON, SUSAN	GLOBAL X FDS SUPERDIVIDEND REIT ETF B		15,533.20	436.00	10.00	426.00	85.0	360.60
01/16/2018	QGW024754	KNUDSON, SUSAN	APOLLO COMMERCIAL REAL ESTATE FINAN B		9,219.70	267.00	10.00	257.00	85.0	216.95
01/08/2018	QGW024770	HOBGOOD, RUTH	NUVEEN PREFERRED AND INCOME 2022 TE B		10,113.04	150.00	10.00	140.00	85.0	117.50
01/22/2018	QGW024796	LUNCEFORD, WENDELL	OCCIDENTAL PETE CORP	S	-15,487.00	402.00	10.00	392.00	85.0	331.70
01/16/2018	QGW024812	PAGLIA, JAMES	NEW MEDIA INVESTMENT GROUP INC	S	-1,511.10	75.00	10.00	65.00	85.0	53.75
01/29/2018	QGW024820	GRAMPP, RAYMOND	LAS VEGAS SANDS CORP	S	-7,930.36	208.00	10.00	198.00	85.0	166.80

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
01/23/2018	QGW024838	STEPANEK, DENNIS	WELLTOWER INC COM	B	11,997.50	270.00	10.00	260.00	85.0	219.50
01/18/2018	QGW024853	LEO P LEBEL, SEP	INTERNATIONAL BUSINESS MACHINES COR	B	4,226.13	98.00	10.00	88.00	85.0	73.30
01/02/2018	QGW024986	PENNINGTON, CHARLES	ALASKA AIR GROUP INC	B	5,609.25	161.00	10.00	151.00	85.0	126.85
01/18/2018	QGW024986	PENNINGTON, CHARLES	HERON THERAPEUTICS INC COM	S	-6,675.00	75.00	10.00	65.00	85.0	53.75
01/22/2018	QGW024986	PENNINGTON, CHARLES	OCCIDENTAL PETE CORP	S	-7,754.09	201.00	10.00	191.00	85.0	160.85
01/29/2018	QGW024986	PENNINGTON, CHARLES	LAS VEGAS SANDS CORP	S	-7,928.00	206.00	10.00	196.00	85.0	165.10
01/29/2018	QGW024986	PENNINGTON, CHARLES	OPKO HEALTH INC	S	-2,426.35	60.00	10.00	50.00	85.0	41.00
01/29/2018	QGW025454	HOFFMAN, PATRICIA	OPKO HEALTH INC	S	-1,925.20	60.00	10.00	50.00	85.0	41.00
01/08/2018	QGW025942	MCEVOY JT TEN,	NUVEEN PREFERRED AND INCOME 2022 TE	B	10,086.00	220.00	10.00	210.00	85.0	177.00
01/22/2018	QGW025942	MCEVOY JT TEN,	OCCIDENTAL PETE CORP	S	-7,753.51	201.00	10.00	191.00	85.0	160.85
01/08/2018	QGW028979	DEKKER, KATHIE	NUVEEN PREFERRED AND INCOME 2022 TE	B	5,057.96	118.00	10.00	108.00	85.0	90.30
01/29/2018	QGW028979	DEKKER, KATHIE	TEVA PHARMACEUTICAL INDUSTRIES LIM	S	-4,292.50	110.00	10.00	100.00	85.0	83.50
01/29/2018	QGW029597	M. PESSETTI JT TEN,	LAS VEGAS SANDS CORP	S	-4,775.61	124.00	10.00	114.00	85.0	95.40
01/29/2018	QGW029597	M. PESSETTI JT TEN,	TEVA PHARMACEUTICAL INDUSTRIES LIM	S	-3,045.00	79.00	10.00	69.00	85.0	57.15
01/10/2018	QGW029886	KONKEN, LUCY	NUVEEN PREFERRED AND INCOME 2022 TE	B	5,008.74	118.00	10.00	108.00	85.0	90.30
01/10/2018	QGW031189	JOHNSON, KENNETH	GAMCO NATURAL RESOURCES GOLD & INCO	B	6,836.10	198.00	10.00	188.00	85.0	158.30
01/03/2018	QGW031692	BARFIELD, BARBARA	ALASKA AIR GROUP INC	B	7,415.00	214.00	10.00	204.00	85.0	171.90
01/17/2018	QGW031692	BARFIELD, BARBARA	APPLE INC	S	-17,570.50	369.00	10.00	359.00	85.0	303.65
01/17/2018	QGW031692	BARFIELD, BARBARA	NUVEEN PREFERRED AND INCOME 2022 TE	B	17,133.48	496.00	10.00	486.00	85.0	411.60
01/18/2018	QGW031692	BARFIELD, BARBARA	YRC WORLDWIDE INC	S	-6,424.00	167.00	10.00	157.00	85.0	131.95
01/08/2018	QGW038564	WILLIS, LARRY	NUVEEN PREFERRED AND INCOME 2022 TE	B	25,223.10	549.00	10.00	539.00	85.0	456.65
01/22/2018	QGW038564	WILLIS, LARRY	NUVEEN PREFERRED AND INCOME 2022 TE	B	24,231.90	531.00	10.00	521.00	85.0	441.35
01/22/2018	QGW039059	WILLIS, LARRY	ALASKA AIR GROUP INC	B	5,053.80	146.00	10.00	136.00	85.0	114.10
01/22/2018	QGW039059	WILLIS, LARRY	GAMCO NATURAL RESOURCES GOLD & INCO	B	6,771.60	196.00	10.00	186.00	85.0	156.60
01/22/2018	QGW039059	WILLIS, LARRY	WELLTOWER INC COM	B	5,948.29	172.00	10.00	162.00	85.0	136.20
01/08/2018	QGW039067	WILLIS, RUTH	GAMCO NATURAL RESOURCES GOLD & INCO	B	6,146.10	176.00	10.00	166.00	85.0	139.60
01/08/2018	QGW039067	WILLIS, RUTH	GAMCO NATURAL RESOURCES GOLD & INCO	B	684.95	20.00	10.00	10.00	85.0	7.00
01/08/2018	QGW039067	WILLIS, RUTH	WELLTOWER INC COM	B	6,237.47	180.00	10.00	170.00	85.0	143.00
01/22/2018	QGW039067	WILLIS, RUTH	FORD MOTOR CO PAR \$0.01	B	4,810.64	139.00	10.00	129.00	85.0	108.15
* STOCKS SUBTOTAL *					\$28,383.89	\$12,562.00	\$600.00	\$11,962.00		\$10,077.70
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
01/19/2018	QGW018491	HOBGOOD, ROBIN	BOND A	B	0.00	3.08	0.00	3.08	85.0	2.62
01/19/2018	QGW018574	STEPANEK, CATHERINE	JOHN HANCOCK FDS II NEW OPPORTUNITI	B	0.00	6.18	0.00	6.18	85.0	5.25
01/19/2018	QGW018798	SENTZ, ROBERT	LARGE CAP EQUITY A	B	0.00	0.14	0.00	0.14	85.0	0.12
01/19/2018	QGW019150	RICHARDSON, MARY	JOHN HANCOCK FDS III STRATEGIC GROW	B	0.00	0.08	0.00	0.08	85.0	0.07
01/22/2018	QGW024838	STEPANEK, DENNIS	JP MORGAN LIMITED DURATION BOND FUN	B	0.00	6.63	0.00	6.63	85.0	5.64
01/19/2018	QGW024879	DERTOUZOS, JAMES	JOHN HANCOCK FDS II NEW OPPORTUNITI	B	0.00	2.81	0.00	2.81	85.0	2.39
01/19/2018	QGW024879	DERTOUZOS, JAMES	REGIONAL BANK A	B	0.00	2.42	0.00	2.42	85.0	2.06
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$21.34	\$0.00	\$21.34		\$18.15

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ADJUSTMENTS

*** BROKER TRANSACTIONS TOTAL ***	\$28,383.89	\$12,583.34	\$600.00	\$11,983.34	\$10,095.85
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ADJUSTMENTS

01/31/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
01/31/2018	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-15.00
01/31/2018	OTHER: OTHER-PERSHING CHARGES ON SH	0.00	0.00	0.00	0.00	-92.43
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-127.43

BROKER COMMISSION TOTALS

Broker Transactions	\$10,095.85
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.43
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-15.73
SIPC Assessment	\$-31.46

Check Amount	\$9,921.23
Year-to-date Earnings	\$9,921.23