

COMMISSION STATEMENT

November 30, 2017

ROBERT HACKEL

206 VAN BUREN

PARAMUS, NJ 07652

BROKER #: 56

BRANCH: HOME OFFICE

COMMISSION STATEMENT for ROBERT HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

11/03/2017 75500225	CAPEN, ERNEST	BAR HARBOR BANKSHARES	B	15,300.00	90.00	0.00	90.00	80.0	72.00
11/03/2017 75500225	CAPEN, ERNEST	COLGATE PALMOLIVE COMPANY	B	35,129.00	90.00	0.00	90.00	80.0	72.00
11/03/2017 75500225	CAPEN, ERNEST	PROCTER & GAMBLE CO	B	25,961.19	66.00	0.00	66.00	80.0	52.80
11/03/2017 75500225	CAPEN, ERNEST	SELECT SECTOR SPDR TRUST THE TECHNO	B	19,042.50	66.00	0.00	66.00	80.0	52.80
11/03/2017 75500225	CAPEN, ERNEST	SPDR GOLD TR GOLD SHS	B	12,045.73	42.00	0.00	42.00	80.0	33.60
11/27/2017 75500234	MULLEN, GEORGE	FRANKLIN RESOURCES INC (DEL)	S	4,115.23	35.00	0.00	35.00	80.0	28.00
11/07/2017 75500237	POMFRET SCHOOL	DOWDUPONT INC COMMON STOCK	S	565.84	40.00	0.00	40.00	80.0	32.00
11/21/2017 75500237	POMFRET SCHOOL	FACEBOOK INC CL A	S	2,161.20	40.00	0.00	40.00	80.0	32.00
11/21/2017 75500237	POMFRET SCHOOL	REINSURANCE GROUP OF AMERICA INCORP	S	111,609.09	225.00	0.00	225.00	80.0	180.00
11/28/2017 75500237	POMFRET SCHOOL	NIKE INC CL B	S	535.05	40.00	0.00	40.00	80.0	32.00
11/07/2017 75500255	HOCHBERGER, ELLEN	MOMO INC ADR	B	1,584.95	35.00	0.00	35.00	80.0	28.00
11/07/2017 75500256	HOCHBERGER, ELLEN	MOMO INC ADR	B	1,008.61	35.00	0.00	35.00	80.0	28.00
11/07/2017 75500257	HOCHBERGER, ELLEN	MOMO INC ADR	B	288.17	35.00	0.00	35.00	80.0	28.00
11/08/2017 75500263	THOMPSON, MARY	CHURCH & DWIGHT CO INC	B	22,215.50	35.00	0.00	35.00	80.0	28.00
11/08/2017 75500263	THOMPSON, MARY	COLGATE PALMOLIVE COMPANY	S	21,939.00	35.00	0.00	35.00	80.0	28.00
11/08/2017 75500427	PAPPALARDO, RICHARD	CBS CORP CLASS B	B	5,670.47	40.00	0.00	40.00	80.0	32.00
11/15/2017 75500427	PAPPALARDO, RICHARD	ENTERCOM COMMUNICATIONS CORP CLASS	S	6,205.32	97.00	0.00	97.00	80.0	77.60
11/08/2017 75500429	FIRESTONE, BERTRAM	CBS CORP CLASS B	B	4,295.81	40.00	0.00	40.00	80.0	32.00
11/15/2017 75500429	FIRESTONE, BERTRAM	ENTERCOM COMMUNICATIONS CORP CLASS	S	4,693.23	81.00	0.00	81.00	80.0	64.80
10/30/2017 75501322	GILBERTSON, JAY	APPLE INC	B	832.35	0.00	0.00	0.00	80.0	0.00
10/30/2017 75501322	GILBERTSON, JAY	CELGENE CORP	S	600.73	0.00	0.00	0.00	80.0	0.00
10/30/2017 75501322	GILBERTSON, JAY	CELGENE CORP	S	1,201.46	0.00	0.00	0.00	80.0	0.00
10/31/2017 75501322	GILBERTSON, JAY	ABBVIE INC	S	90.43	0.00	0.00	0.00	80.0	0.00
10/31/2017 75501322	GILBERTSON, JAY	ABBVIE INC	S	1,266.08	0.00	0.00	0.00	80.0	0.00
10/31/2017 75501322	GILBERTSON, JAY	AT&T INC	B	1,348.00	0.00	0.00	0.00	80.0	0.00
10/31/2017 75501322	GILBERTSON, JAY	AXALTA COATING SYSTEMS LTD SHS ISIN	B	1,256.67	0.00	0.00	0.00	80.0	0.00
10/31/2017 75501322	GILBERTSON, JAY	BROADRIDGE FINANCIAL SOLUTIONS INC	B	516.62	0.00	0.00	0.00	80.0	0.00
10/31/2017 75501322	GILBERTSON, JAY	GARTNER INC	S	624.95	0.00	0.00	0.00	80.0	0.00
10/31/2017 75501322	GILBERTSON, JAY	GARTNER INC	S	1,374.90	0.00	0.00	0.00	80.0	0.00
10/31/2017 75501322	GILBERTSON, JAY	HAWAIIAN HOLDINGS INC	B	335.75	0.00	0.00	0.00	80.0	0.00
10/31/2017 75501322	GILBERTSON, JAY	NUTANIX INC CL A	B	2,403.71	0.00	0.00	0.00	80.0	0.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/03/2017	75501322 GILBERTSON, JAY	ALLERGAN PLC	B	2,964.97	0.00	0.00	0.00	80.0	0.00
11/03/2017	75501322 GILBERTSON, JAY	APPLE INC	B	1,036.02	0.00	0.00	0.00	80.0	0.00
11/03/2017	75501322 GILBERTSON, JAY	MONSANTO CO NEW	S	478.16	0.00	0.00	0.00	80.0	0.00
11/03/2017	75501322 GILBERTSON, JAY	MONSANTO CO NEW	S	597.70	0.00	0.00	0.00	80.0	0.00
11/03/2017	75501322 GILBERTSON, JAY	STARBUCKS CORP	B	841.28	0.00	0.00	0.00	80.0	0.00
11/06/2017	75501322 GILBERTSON, JAY	GENERAL MOTORS COMPANY	S	588.18	0.00	0.00	0.00	80.0	0.00
11/06/2017	75501322 GILBERTSON, JAY	GENERAL MOTORS COMPANY	S	840.25	0.00	0.00	0.00	80.0	0.00
11/06/2017	75501322 GILBERTSON, JAY	GENERAL MOTORS COMPANY	S	882.26	0.00	0.00	0.00	80.0	0.00
11/06/2017	75501322 GILBERTSON, JAY	GENERAL MOTORS COMPANY	S	2,982.89	0.00	0.00	0.00	80.0	0.00
11/07/2017	75501322 GILBERTSON, JAY	BROADSOFT INC	S	383.78	0.00	0.00	0.00	80.0	0.00
11/07/2017	75501322 GILBERTSON, JAY	BROADSOFT INC	S	603.08	0.00	0.00	0.00	80.0	0.00
11/07/2017	75501322 GILBERTSON, JAY	BROADSOFT INC	S	1,041.68	0.00	0.00	0.00	80.0	0.00
11/07/2017	75501322 GILBERTSON, JAY	COMCAST CORP CL A	B	2,337.91	0.00	0.00	0.00	80.0	0.00
11/08/2017	75501322 GILBERTSON, JAY	ENVISION HEALTHCARE CORPORATION COM S		49.81	0.00	0.00	0.00	80.0	0.00
11/08/2017	75501322 GILBERTSON, JAY	ENVISION HEALTHCARE CORPORATION COM S		99.62	0.00	0.00	0.00	80.0	0.00
11/08/2017	75501322 GILBERTSON, JAY	ENVISION HEALTHCARE CORPORATION COM S		174.34	0.00	0.00	0.00	80.0	0.00
11/08/2017	75501322 GILBERTSON, JAY	ENVISION HEALTHCARE CORPORATION COM S		174.34	0.00	0.00	0.00	80.0	0.00
11/08/2017	75501322 GILBERTSON, JAY	ZOETIS INC CL A	B	5,657.31	0.00	0.00	0.00	80.0	0.00
11/09/2017	75501322 GILBERTSON, JAY	ALIGN TECHNOLOGY INC	S	1,977.71	0.00	0.00	0.00	80.0	0.00
11/09/2017	75501322 GILBERTSON, JAY	ESTEE LAUDER COMPANIES INC CL A	S	123.73	0.00	0.00	0.00	80.0	0.00
11/09/2017	75501322 GILBERTSON, JAY	ESTEE LAUDER COMPANIES INC CL A	S	247.46	0.00	0.00	0.00	80.0	0.00
11/09/2017	75501322 GILBERTSON, JAY	ESTEE LAUDER COMPANIES INC CL A	S	494.93	0.00	0.00	0.00	80.0	0.00
11/09/2017	75501322 GILBERTSON, JAY	EURONET WORLDWIDE INC	B	544.17	0.00	0.00	0.00	80.0	0.00
11/15/2017	75501322 GILBERTSON, JAY	LIBERTY MEDIA CORPORATION SERIES C B		1,985.42	0.00	0.00	0.00	80.0	0.00
11/15/2017	75501322 GILBERTSON, JAY	TJX COMPANIES INC NEW	S	275.11	0.00	0.00	0.00	80.0	0.00
11/17/2017	75501322 GILBERTSON, JAY	BRITISH AMERN TOB PLC SPONSORED ADR B		1,991.48	0.00	0.00	0.00	80.0	0.00
11/17/2017	75501322 GILBERTSON, JAY	CANADIAN IMPERIAL BANK OF COMMERCE B		903.16	0.00	0.00	0.00	80.0	0.00
11/17/2017	75501322 GILBERTSON, JAY	CONSOLIDATED EDISON INC	S	1,644.45	0.00	0.00	0.00	80.0	0.00
11/17/2017	75501322 GILBERTSON, JAY	CROWN CASTLE INTERNATIONAL CORP	S	1,210.67	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501322 GILBERTSON, JAY	ALLERGAN PLC	B	1,021.71	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501322 GILBERTSON, JAY	BRITISH AMERN TOB PLC SPONSORED ADR B		1,748.43	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501322 GILBERTSON, JAY	CONSOLIDATED EDISON INC	S	519.20	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501322 GILBERTSON, JAY	CONSOLIDATED EDISON INC	S	1,297.99	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501322 GILBERTSON, JAY	INGERSOLL RAND PLC	B	938.51	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501322 GILBERTSON, JAY	PACKAGING CORP AMER	B	674.65	0.00	0.00	0.00	80.0	0.00
11/22/2017	75501322 GILBERTSON, JAY	SHERWIN WILLIAMS COMPANY	B	781.14	0.00	0.00	0.00	80.0	0.00
11/27/2017	75501322 GILBERTSON, JAY	LIONS GATE ENTERTAINMENT CORP REGIS B		1,288.22	0.00	0.00	0.00	80.0	0.00
10/31/2017	75501761 WRIGHTON, BRYAN	AT&T INC	B	471.80	0.00	0.00	0.00	80.0	0.00
11/08/2017	75501761 WRIGHTON, BRYAN	ZOETIS INC CL A	B	3,173.61	0.00	0.00	0.00	80.0	0.00
11/14/2017	75501761 WRIGHTON, BRYAN	BLACKLINE INC COM	B	498.73	0.00	0.00	0.00	80.0	0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/14/2017	75501761	WRIGHTON, BRYAN							
		JOHN BEAN TECHNOLOGIES CORP	B	664.80	0.00	0.00	0.00	80.0	0.00
11/14/2017	75501761	WRIGHTON, BRYAN							
		SOTHEBYS	B	548.86	0.00	0.00	0.00	80.0	0.00
11/17/2017	75501761	WRIGHTON, BRYAN							
		ABBVIE INC	S	749.51	0.00	0.00	0.00	80.0	0.00
11/17/2017	75501761	WRIGHTON, BRYAN							
		BRITISH AMERN TOB PLC SPONSORED ADR B		929.36	0.00	0.00	0.00	80.0	0.00
11/17/2017	75501761	WRIGHTON, BRYAN							
		CANADIAN IMPERIAL BANK OF COMMERCE B	B	451.58	0.00	0.00	0.00	80.0	0.00
11/17/2017	75501761	WRIGHTON, BRYAN							
		CONSOLIDATED EDISON INC	S	1,211.70	0.00	0.00	0.00	80.0	0.00
11/17/2017	75501761	WRIGHTON, BRYAN							
		CROWN CASTLE INTERNATIONAL CORP	S	440.25	0.00	0.00	0.00	80.0	0.00
11/17/2017	75501761	WRIGHTON, BRYAN							
		EXXON MOBIL CORPORATION	B	240.83	0.00	0.00	0.00	80.0	0.00
11/17/2017	75501761	WRIGHTON, BRYAN							
		PUBLIC SERVICE ENTERPRISE GROUP INC B	B	411.44	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		AAON INC NEW	S	35.75	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		ABBVIE INC	S	663.20	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		ACCENTURE PLC IRELAND SHS CL A	S	296.14	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		ACI WORLDWIDE INC	S	69.66	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		ADOBE SYSTEMS INC	S	183.86	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		ALPHABET INC CLASS A COMMON STOCK B	B	1,049.99	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		ASTRAZENECA PLC EACH ADS REPRESENTI S		472.92	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		AUTOMATIC DATA PROCESSING INC	S	221.03	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		BLACKLINE INC COM	S	38.32	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		BOEING CO	S	266.34	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		BP P L C SPONSORED ADR (FRM BP AMOC S		391.60	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		BRITISH AMERN TOB PLC SPONSORED ADR B		1,075.96	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		CELGENE CORP	S	210.11	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		CISCO SYS INC	S	256.92	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		COCA COLA COMPANY (THE)	S	229.40	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		CONSOLIDATED EDISON INC	S	692.26	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		DOLLAR GENERAL CORPORATION	S	86.30	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		DOMINION RESOURCES INC VA NEW	S	244.29	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		DORMAN PRODUCTS INC	B	278.27	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		DOUGLAS DYNAMICS INC COM	B	205.75	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		FACEBOOK INC CL A	S	363.24	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		FOX FACTORY HOLDING CORP COM	S	154.00	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		GARTNER INC	S	117.64	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		GENERAL ELECTRIC CO	S	124.53	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		GENERAL MOTORS COMPANY	S	269.96	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		GLAXOSMITHKLINE PLC SPONSORED ADR(F S		34.84	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		GRAND CANYON EDUCATION INC	S	90.56	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		HEALTHSTREAM INC	S	172.50	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		INTERNATIONAL BUSINESS MACHINES COR S		151.96	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		MEDIDATA SOLUTIONS INC	S	138.84	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		MERCK & CO INC	S	108.91	0.00	0.00	0.00	80.0	0.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/21/2017	75501761	WRIGHTON, BRYAN							
		MICROSOFT CORP	S	83.68	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		NATIONAL RESEARCH CORPORATION CLASS S	S	34.15	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		NEOGEN CORP	S	84.13	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		NESTLE SA-SPONSORED ADR REPSTG REGD S	S	85.58	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		NIKE INC CL B	S	296.10	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		NVE CORPORATION	S	89.62	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		O REILLY AUTOMOTIVE INC	S	216.41	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		OMNICELL INC	S	249.50	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		ORACLE CORPORATION	S	48.53	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		PHILIP MORRIS INTERNATIONAL INC	S	207.14	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		PROS HOLDINGS INC COM STK	S	77.10	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		REPLIGEN CORP	S	34.12	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		ROLLINS INC	S	45.34	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		ROYAL DUTCH SHELL PLC SPONSORED ADR S	S	318.06	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		SIMPSON MANUFACTURING CO INC	S	58.21	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		SITEONE LANDSCAPE SUPPLY INC COM	S	144.48	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		STARBUCKS CORP	S	286.48	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		TREX COMPANY INC	S	111.58	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		VENTAS INC	S	64.40	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		VERIZON COMMUNICATIONS	S	138.73	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		WAGEWORKS INC	S	62.20	0.00	0.00	0.00	80.0	0.00
11/21/2017	75501761	WRIGHTON, BRYAN							
		WELLTOWER INC COM	S	68.33	0.00	0.00	0.00	80.0	0.00
10/30/2017	QGN005027	GOLDSMITH, ELY &							
		WINTRUST FINL CORP FXD/FLTG NON CUM B		56,175.00	210.00	0.00	210.00	80.0	168.00
11/09/2017	QGN005027	GOLDSMITH, ELY &							
		CHS INC PFD CL B SER 2 DIV 7.1% FRE B		55,000.00	210.00	0.00	210.00	80.0	168.00
11/14/2017	QGN005027	GOLDSMITH, ELY &							
		BANK OF AMERICA 7.25% NON CUMULATVE B		131,500.00	120.00	0.00	120.00	80.0	96.00
11/28/2017	QGN005076	BRENNAN, LUCY							
		COWEN GROUP INC NEW SR NT EXP 10/15 B		69,992.00	264.00	0.00	264.00	80.0	211.20
11/28/2017	QGN005464	RF LAFFERTY & CO							
		JOHNSON & JOHNSON	S	-493,379.00	349.50	0.00	349.50	80.0	279.60
11/06/2017	QGN005555	HENRY L THOMPSON							
		CHURCH & DWIGHT CO INC	B	351,039.20	320.00	0.00	320.00	80.0	256.00
11/06/2017	QGN005555	HENRY L THOMPSON							
		MERCK & CO INC	S	-307,730.50	220.00	0.00	220.00	80.0	176.00
11/07/2017	QGN005555	HENRY L THOMPSON							
		ECOLAB INC	S	-287,848.44	110.00	0.00	110.00	80.0	88.00
11/08/2017	QGN005555	HENRY L THOMPSON							
		CHURCH & DWIGHT CO INC	B	310,870.00	280.00	0.00	280.00	80.0	224.00
11/08/2017	QGN005555	HENRY L THOMPSON							
		COLGATE PALMOLIVE COMPANY	S	-366,400.00	200.00	0.00	200.00	80.0	160.00
11/07/2017	QGN005605	CONSTANCE FRANK							
		GENERAL ELECTRIC CO	S	-119,020.05	50.00	0.00	50.00	80.0	40.00
11/03/2017	QGN005753	PROCTOR, JOHN							
		OLD NATL BANCORP IND COM	S	-12,880.00	114.00	0.00	114.00	80.0	91.20
11/15/2017	QGN005753	PROCTOR, JOHN							
		PROCTER & GAMBLE CO	S	-17,648.32	54.00	0.00	54.00	80.0	43.20
11/15/2017	QGN006009	THOMPSON, MARY							
		CHURCH & DWIGHT CO INC	B	227,150.00	200.00	0.00	200.00	80.0	160.00
11/15/2017	QGN006009	THOMPSON, MARY							
		GENUINE PARTS CO	B	127,500.00	75.00	0.00	75.00	80.0	60.00
11/15/2017	QGN006009	THOMPSON, MARY							
		UNILEVER N V YORK SHS ADR	S	-370,819.80	260.00	0.00	260.00	80.0	208.00
11/14/2017	QGN006140	MOZAIC LLC							
		GENERAL ELECTRIC CO	S	-32,477.41	50.00	0.00	50.00	80.0	40.00
11/14/2017	QGN006140	MOZAIC LLC							
		KROGER CO (THE)	S	-9,811.58	20.00	0.00	20.00	80.0	16.00

COMMISSION STATEMENT for ROBERT HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
10/25/2017	QGN006264	401K, JEREMY		ENERGIOUS CORP COM	B	-3,966.75	-20.00	0.00	-20.00 80.0 -16.00 C
10/25/2017	QGN006264	401K, JEREMY		ENERGIOUS CORP COM	B	3,966.75	20.00	0.00	20.00 80.0 16.00
11/14/2017	QGN006280	WYNN, KEVYN		SPDR S&P 500 ETF TRUST	S	-35,304.91	20.00	0.00	20.00 80.0 16.00
11/14/2017	QGN006298	WYNN, KEVYN		ASML HOLDING N V N Y REGISTRY SHS 2 S	S	-5,372.82	20.00	0.00	20.00 80.0 16.00
11/14/2017	QGN006298	WYNN, KEVYN		BIOVERATIV INC COM	S	-53.61	20.00	0.00	20.00 80.0 16.00
11/14/2017	QGN006298	WYNN, KEVYN		BROADCOM LIMITED SHS	S	-5,757.97	20.00	0.00	20.00 80.0 16.00
11/14/2017	QGN006298	WYNN, KEVYN		CARMAX INC	S	-11,082.79	20.00	0.00	20.00 80.0 16.00
11/14/2017	QGN006298	WYNN, KEVYN		CARNIVAL CORP COMMON PAIRED STOCK	S	-15,286.99	20.00	0.00	20.00 80.0 16.00
11/14/2017	QGN006298	WYNN, KEVYN		CERNER CORPORATION	S	-6,165.90	20.00	0.00	20.00 80.0 16.00
11/14/2017	QGN006298	WYNN, KEVYN		COLGATE PALMOLIVE COMPANY	S	-11,360.73	20.00	0.00	20.00 80.0 16.00
11/14/2017	QGN006298	WYNN, KEVYN		CONCHO RESOURCES INC	S	-1,404.66	20.00	0.00	20.00 80.0 16.00
11/14/2017	QGN006298	WYNN, KEVYN		CONOCOPHILLIPS	S	-132,916.06	50.00	0.00	50.00 80.0 40.00
11/14/2017	QGN006298	WYNN, KEVYN		COSTCO WHOLESALE CORP-NEW	S	-171,915.32	50.00	0.00	50.00 80.0 40.00
11/14/2017	QGN006298	WYNN, KEVYN		CTRIIP.COM INTL LTD AMERICAN DEP SHS S	S	-52,379.24	50.00	0.00	50.00 80.0 40.00
11/14/2017	QGN006298	WYNN, KEVYN		CVS HEALTH CORPORATION	S	-113,322.16	50.00	0.00	50.00 80.0 40.00
11/14/2017	QGN006298	WYNN, KEVYN		DANAHER CORPORATION	S	-9,214.62	20.00	0.00	20.00 80.0 16.00
11/14/2017	QGN006298	WYNN, KEVYN		DEXCOM INC	S	-20,063.45	20.00	0.00	20.00 80.0 16.00
11/14/2017	QGN006298	WYNN, KEVYN		GOLDMAN SACHS GROUP INC	S	-9,920.58	20.00	0.00	20.00 80.0 16.00
11/14/2017	QGN006298	WYNN, KEVYN		HDFC BK LTD ADR REPSTG 3 SHS	S	-8,386.92	20.00	0.00	20.00 80.0 16.00
11/14/2017	QGN006298	WYNN, KEVYN		INTUIT INC	S	-61,206.08	20.00	0.00	20.00 80.0 16.00
11/14/2017	QGN006298	WYNN, KEVYN		L3 TECHNOLOGIES INC COM	S	-89,087.31	20.00	0.00	20.00 80.0 16.00
11/14/2017	QGN006298	WYNN, KEVYN		LIBERTY GLOBAL PLC CLASS C	S	-52,403.45	50.00	0.00	50.00 80.0 40.00
11/14/2017	QGN006298	WYNN, KEVYN		MARSH & MCLENNAN COMPANIES INC	S	-11,966.85	20.00	0.00	20.00 80.0 16.00
11/14/2017	QGN006298	WYNN, KEVYN		MEDTRONIC PLC COM	S	-27,995.56	20.00	0.00	20.00 80.0 16.00
11/14/2017	QGN006298	WYNN, KEVYN		NEOGEN CORP	S	-6,395.05	20.00	0.00	20.00 80.0 16.00
11/14/2017	QGN006298	WYNN, KEVYN		NOVARTIS AG AMERICAN DEPOSITARY SHA S	S	-11,434.71	20.00	0.00	20.00 80.0 16.00
11/14/2017	QGN006298	WYNN, KEVYN		RECKITT BENCKISER PLC SPONSORED ADR S	S	-9,746.88	20.00	0.00	20.00 80.0 16.00
11/14/2017	QGN006298	WYNN, KEVYN		ULTIMATE SOFTWARE GROUP INC	S	-50,869.24	20.00	0.00	20.00 80.0 16.00
11/14/2017	QGN006298	WYNN, KEVYN		UNITED PARCEL SERVICE INC CL B	S	-20,906.56	20.00	0.00	20.00 80.0 16.00
11/14/2017	QGN006298	WYNN, KEVYN		VERIZON COMMUNICATIONS	S	-131,954.63	50.00	0.00	50.00 80.0 40.00
11/14/2017	QGN006298	WYNN, KEVYN		WABTEC CORP	S	-8,743.05	20.00	0.00	20.00 80.0 16.00
11/20/2017	QGN006306	HOFFLUND-HANSEN		BRITISH AMERN TOB PLC SPONSORED ADR S	S	-24,317.42	20.00	0.00	20.00 80.0 16.00
11/20/2017	QGN006306	HOFFLUND-HANSEN		DEUTSCHE TELEKOM AG SPONSORED ADR	S	-50,545.73	50.00	0.00	50.00 80.0 40.00
11/20/2017	QGN006306	HOFFLUND-HANSEN		GENERAL ELECTRIC CO	S	-71,779.00	50.00	0.00	50.00 80.0 40.00
10/31/2017	QGW031544	HEALY, TIMOTHY		PROSHARES TR II PROSHARES ULTRASHOR B	B	22,407.60	120.00	0.00	120.00 80.0 96.00
11/10/2017	QGW031544	HEALY, TIMOTHY		MBIA INC	B	17,716.80	210.00	0.00	210.00 80.0 168.00
11/17/2017	QGW031544	HEALY, TIMOTHY		COWEN GROUP INC NEW SR NT EXP 10/15 B	B	4,020.00	48.00	0.00	48.00 80.0 38.40
11/24/2017	QGW031544	HEALY, TIMOTHY		PROSHARES TR II PROSHARES ULTRASHOR S	S	-21,220.00	120.00	0.00	120.00 80.0 96.00
11/15/2017	QGW038440	LEOPOLD, CLAUDIA		CBS CORP CLASS B	B	5,588.45	40.00	0.00	40.00 80.0 32.00
11/15/2017	QGW038440	LEOPOLD, CLAUDIA		ENTERCOM COMMUNICATIONS CORP CLASS S	S	-6,260.90	75.00	0.00	75.00 80.0 60.00

COMMISSION STATEMENT for ROBERT HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
11/15/2017	QGW038440	LEOPOLD, CLAUDIA	ENTERCOM COMMUNICATIONS CORP CLASS S	6,260.90	-75.00	0.00	-75.00	80.0	-60.00 C
11/15/2017	QGW038440	LEOPOLD, CLAUDIA	ENTERCOM COMMUNICATIONS CORP CLASS S	-6,260.90	75.00	0.00	75.00	80.0	60.00
11/09/2017	QGW038994	CROWE, PATRICIA	GRAMERCY PPTY TR REDEEMABLE PFD SER B	26,748.00	120.00	0.00	120.00	80.0	96.00
11/09/2017	QGW038994	CROWE, PATRICIA	RESOURCE CAP CORP PFD SER C 8.625% B	25,495.00	120.00	0.00	120.00	80.0	96.00
11/10/2017	QGW038994	CROWE, PATRICIA	COWEN GROUP INC NEW SR NT EXP 10/15 B	6,700.00	60.00	0.00	60.00	80.0	48.00
11/13/2017	QGW038994	CROWE, PATRICIA	RAMACO RES INC COM B	8,802.00	210.00	0.00	210.00	80.0	168.00
11/17/2017	QGW038994	CROWE, PATRICIA	COWEN GROUP INC NEW SR NT EXP 10/15 B	6,700.00	60.00	0.00	60.00	80.0	48.00
* STOCKS SUBTOTAL *				\$-1,462,441.55	\$6,336.50	\$0.00	\$6,336.50		\$5,069.20
<u>PRODUCT CATEGORY: BONDS</u>									
11/08/2017	75500225	CAPEN, ERNEST	UNITED STATES TREAS NTS 1.625% 11/1 B	98,520.88	200.00	0.00	200.00	80.0	160.00
11/14/2017	75500225	CAPEN, ERNEST	UNITED STATES TREAS NTS 1.625% 11/1 B	98,196.30	200.00	0.00	200.00	80.0	160.00
* BONDS SUBTOTAL *				\$196,717.18	\$400.00	\$0.00	\$400.00		\$320.00
<u>PRODUCT CATEGORY: OPTIONS</u>									
11/16/2017	QGW031551	HEALY, TIMOTHY	PUT 100 WAL MART STORES INC COM EXP B	675.00	50.00	0.00	50.00	80.0	40.00
11/21/2017	QGW031551	HEALY, TIMOTHY	PUT 100 DEERE & CO EXP 12-15-17@130 B	1,250.00	40.00	0.00	40.00	80.0	32.00
11/15/2017	QGW038440	LEOPOLD, CLAUDIA	WI ENTERCOM COMMUNICATIONS CORP CL S	0.00	75.00	0.00	75.00	80.0	60.00
11/15/2017	QGW038440	LEOPOLD, CLAUDIA	WI ENTERCOM COMMUNICATIONS CORP CL S	0.00	-75.00	0.00	-75.00	80.0	-60.00 C
* OPTIONS SUBTOTAL *				\$1,925.00	\$90.00	\$0.00	\$90.00		\$72.00
<u>PRODUCT CATEGORY: RIA</u>									
11/15/2017	75502027	HACKEL, STUART	FAS FEES B	0.00	185.03	0.00	185.03	80.0	148.02
11/15/2017	75502029	HACKEL, STUART	FAS FEES B	0.00	222.35	0.00	222.35	80.0	177.88
11/15/2017	75502030	RYNN, SUSAN	FAS FEES B	0.00	1,520.10	0.00	1,520.10	80.0	1,216.08
11/15/2017	75502031	RYNN, SUSAN	FAS FEES B	0.00	33.14	0.00	33.14	80.0	26.51
11/15/2017	75502032	HACKEL, STUART	FAS FEES B	-269.14	0.00	0.00	0.00	80.0	0.00
11/15/2017	75502032	HACKEL, STUART	FAS FEES B	-323.42	0.00	0.00	0.00	80.0	0.00
11/15/2017	75502032	HACKEL, STUART	FAS FEES B	-1,815.05	0.00	0.00	0.00	80.0	0.00
11/15/2017	75502032	HACKEL, STUART	FAS FEES B	-972.92	754.01	0.00	754.01	80.0	603.21
11/15/2017	75502032	HACKEL, STUART	FAS FEES B	-58.92	0.00	0.00	0.00	80.0	0.00
11/08/2017	75502147	TAYLOR, CORY	FAS FEES B	-144.30	93.79	0.00	93.79	80.0	75.03
* RIA SUBTOTAL *				\$-3,583.75	\$2,808.42	\$0.00	\$2,808.42		\$2,246.73
*** BROKER TRANSACTIONS TOTAL ***				\$-1,267,383.12	\$9,634.92	\$0.00	\$9,634.92		\$7,707.93

COMMISSION STATEMENT for ROBERT HACKEL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

11/30/2017		DEMNL:MANUAL PROCESS FEE (LPFS): MA		0.00	0.00	0.00	0.00		-10.00
11/30/2017		DEMNL:MANUAL PROCESS FEE (LPFS): MA		0.00	0.00	0.00	0.00		-10.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-20.00

BROKER COMMISSION TOTALS

Broker Transactions	\$7,707.93
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-12.04
SIPC Assessment	\$-24.09

Check Amount	\$7,651.80
Year-to-date Earnings	\$54,126.17