

**COMMISSION STATEMENT****February 28, 2018**JOSEPH (TERRY), M132 MANN  
219 E. 31st StreetBROKER #: M132  
BRANCH: JOSEPH "TERRY" MANN

Savannah, GA 31401

| DATE  | CLIENT              | INVESTMENT                          | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---|---------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>                       |                     |                                     |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>            |                     |                                     |                      |                     |                    |                   |      |                      |
| 02/15/2018  | COLLINS, REGENA     | AM FUNDS AMCAP A                    | 65.00                | 2.44                | 0.00               | 2.44              | 91.0 | 2.22                 |
| 02/15/2018  | COLLINS, JAMES      | AM FUNDS AMCAP A                    | 60.00                | 2.25                | 0.00               | 2.25              | 91.0 | 2.05                 |
| 02/15/2018  | PAI, YOGISH         | AM FUNDS AMCAP C                    | 125.00               | 1.25                | 0.00               | 1.25              | 91.0 | 1.14                 |
| 02/15/2018  | COLLINS, REGENA     | AM FUNDS EUROPACIFIC GROWTH FUND A  | 60.00                | 2.25                | 0.00               | 2.25              | 91.0 | 2.05                 |
| 02/15/2018  | COLLINS, JAMES      | AM FUNDS EUROPACIFIC GROWTH FUND A  | 60.00                | 2.25                | 0.00               | 2.25              | 91.0 | 2.05                 |
| 02/16/2018  | MOORE, MAX          | AM FUNDS EUROPACIFIC GROWTH FUND A  | 100.00               | 2.75                | 0.00               | 2.75              | 91.0 | 2.50                 |
| 02/15/2018  | DUNLAP, TONY        | AM FUNDS GROWTH FUND OF AMERICA A   | 50.00                | 1.88                | 0.00               | 1.88              | 91.0 | 1.71                 |
| 02/15/2018  | PAI, YOGISH         | AM FUNDS NEW ECONOMY C              | 125.00               | 1.25                | 0.00               | 1.25              | 91.0 | 1.14                 |
| 02/15/2018  | COLLINS, REGENA     | AM FUNDS NEW PERSPECTIVE A          | 60.00                | 2.25                | 0.00               | 2.25              | 91.0 | 2.05                 |
| 02/15/2018  | COLLINS, JAMES      | AM FUNDS NEW PERSPECTIVE A          | 65.00                | 2.44                | 0.00               | 2.44              | 91.0 | 2.22                 |
| 02/15/2018  | DUNLAP, TONY        | AM FUNDS NEW WORLD A                | 50.00                | 1.88                | 0.00               | 1.88              | 91.0 | 1.71                 |
| 02/15/2018  | PAI, YOGISH         | AM FUNDS SMALLCAP WORLD C           | 125.00               | 1.25                | 0.00               | 1.25              | 91.0 | 1.14                 |
| 02/16/2018  | GALLAGHER, RYAN     | AM FUNDS SMALLCAP WORLD C           | 50.00                | 0.50                | 0.00               | 0.50              | 91.0 | 0.46                 |
| 02/05/2018  | HADAWAY, DEBORAH    | AMERICAN HIGH-INCOME MUNI BOND FUND | 100.00               | 1.00                | 0.00               | 1.00              | 91.0 | 0.91                 |
| 02/01/2018  | GAMBRELL, PERRY     | OPPENHEIMER DISCOVERY C             | 292.38               | 2.92                | 0.00               | 2.92              | 91.0 | 2.66                 |
| <b>* MUTUAL FUNDS SUBTOTAL *</b>                        |                     |                                     | <b>\$1,387.38</b>    | <b>\$28.56</b>      | <b>\$0.00</b>      | <b>\$28.56</b>    |      | <b>\$26.01</b>       |
| <b><u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u></b> |                     |                                     |                      |                     |                    |                   |      |                      |
| 02/12/2018  | MANN, JOSEPH(TERRY) | PAC LIFE VUL                        | 300.00               | 6.00                | 0.00               | 6.00              | 91.0 | 5.46                 |
| 02/12/2018  | DUNLAP, TONY        | PAC LIFE VUL                        | 90.00                | 1.80                | 0.00               | 1.80              | 91.0 | 1.64                 |
| 02/12/2018  | GALLAGHER, MICHAEL  | PAC LIFE VUL                        | 475.00               | 9.50                | 0.00               | 9.50              | 91.0 | 8.65                 |
| 02/12/2018  | VERMA, ANAND        | PAC LIFE VUL                        | 500.00               | 10.00               | 0.00               | 10.00             | 91.0 | 9.10                 |
| 02/15/2018  | CONLEY, CHRISTOPHER | PAC LIFE VUL                        | 92.64                | 0.93                | 0.00               | 0.93              | 91.0 | 0.85                 |
| 02/16/2018  | HOFFMAN, ADON       | PAC LIFE VUL                        | 170.00               | 3.40                | 0.00               | 3.40              | 91.0 | 3.09                 |
| <b>* VARIABLE UNIVERSAL LIFE SUBTOTAL *</b>             |                     |                                     | <b>\$1,627.64</b>    | <b>\$31.63</b>      | <b>\$0.00</b>      | <b>\$31.63</b>    |      | <b>\$28.79</b>       |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

| DATE  | CLIENT        | INVESTMENT                     | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|---|---------------|--------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>DIRECT TRANSACTIONS</u></b>                       |               |                                |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b> |               |                                |                      |                     |                    |                   |      |                      |
| 03/15/2016  | LAPE, ALEXIS  | AM FUNDS BALANCED PORT A       | 0.00                 | 1.43                | 0.00               | 1.43              | 91.0 | 1.30                 |
| 06/15/2016  | LAPE, ALEXIS  | AM FUNDS BALANCED PORT A       | 0.00                 | 0.12                | 0.00               | 0.12              | 91.0 | 0.11                 |
| 07/18/2016  | LAPE, ALEXIS  | AM FUNDS BALANCED PORT A       | 0.00                 | 0.46                | 0.00               | 0.46              | 91.0 | 0.42                 |
| 08/15/2016  | LAPE, ALEXIS  | AM FUNDS BALANCED PORT A       | 0.00                 | 0.49                | 0.00               | 0.49              | 91.0 | 0.45                 |
| 09/16/2016  | LAPE, ALEXIS  | AM FUNDS BALANCED PORT A       | 0.00                 | 0.49                | 0.00               | 0.49              | 91.0 | 0.45                 |
| 10/17/2016  | LAPE, ALEXIS  | AM FUNDS BALANCED PORT A       | 0.00                 | 0.47                | 0.00               | 0.47              | 91.0 | 0.43                 |
| 11/18/2016  | LAPE, ALEXIS  | AM FUNDS BALANCED PORT A       | 0.00                 | 0.49                | 0.00               | 0.49              | 91.0 | 0.45                 |
| 12/15/2016  | LAPE, ALEXIS  | AM FUNDS BALANCED PORT A       | 0.00                 | 0.47                | 0.00               | 0.47              | 91.0 | 0.43                 |
| 01/31/2018  | HEALEY, BRIAN | EATON VANCE NATL MUNI INCOME C | 0.00                 | 0.21                | 0.00               | 0.21              | 91.0 | 0.19                 |
| 01/31/2018  | HEALEY, BRIAN | EATON VANCE NATL MUNI INCOME C | 0.00                 | 0.63                | 0.00               | 0.63              | 91.0 | 0.57                 |
| <b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>             |               |                                | <b>\$0.00</b>        | <b>\$5.26</b>       | <b>\$0.00</b>      | <b>\$5.26</b>     |      | <b>\$4.80</b>        |
| <b>*** DIRECT TRANSACTIONS TOTAL ***</b>                |               |                                | <b>\$3,015.02</b>    | <b>\$65.45</b>      | <b>\$0.00</b>      | <b>\$65.45</b>    |      | <b>\$59.60</b>       |

**BROKER COMMISSION TOTALS**

|                       |           |
|-----------------------|-----------|
| Broker Transactions   | \$59.60   |
| Split Transactions    | \$0.00    |
| Override Transactions | \$0.00    |
| Adjustments           | \$0.00    |
| Payroll Draw          | \$0.00    |
| Base Salary           | \$0.00    |
| Prior Period Balance  | \$-460.84 |
| FINRA Assessment      | \$0.00    |
| SIPC Assessment       | \$0.00    |

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THERE WILL BE NO CHECK THIS PERIOD

|                              |                    |
|------------------------------|--------------------|
| <b>Balance Forward</b>       | <b>\$-401.24</b>   |
| <b>Year-to-date Gross</b>    | <b>\$13,866.82</b> |
| <b>Year-to-date Earnings</b> | <b>\$12,624.36</b> |

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