



COMMISSION STATEMENT

May 14, 2018

JOSEPH FERRY
327 Church Street
Naugatuck, CT 06770

BROKER #: 180
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/30/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	159.28	4.38	0.00	4.38	80.0	3.50
	ACCOUNT #: 04000563712	BUY 6 Units @27.7800						
04/30/2018	***-**-7973 - ROOSA, JOHN	AMERICAN BALANCED FUND-A	47.78	1.31	0.00	1.31	80.0	1.05
	ACCOUNT #: 04000563712	BUY 2 Units @27.7800						
04/30/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	31.64	0.87	0.00	0.87	80.0	0.70
	ACCOUNT #: 00083064667	BUY 1 Units @27.7800						
04/30/2018	***-**-6453 - BORDONARO, LINDA	AMERICAN BALANCED FUND-A	20.00	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00083064667	BUY 1 Units @27.7800						
05/02/2018	***-**-1870 - WITHER, EDWARD	AMERICAN BALANCED FUND-A	24.13	1.21	0.00	1.21	80.0	0.97
	ACCOUNT #: 04000812765	BUY 1 Units @28.3400						
05/04/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081806027	BUY 11 Units @27.4100						
05/04/2018	***-**-689I - FAULKNER, SANDRA	AMERICAN BALANCED FUND-A	10.00	0.16	0.00	0.16	80.0	0.13
	ACCOUNT #: 00081806027	BUY 0 Units @27.4100						
05/04/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.4100						
05/04/2018	***-**-228I - FAULKNER,	AMERICAN BALANCED FUND-A	298.08	4.77	0.00	4.77	80.0	3.82
	ACCOUNT #: 00081805988	BUY 11 Units @27.4100						
05/04/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.4100						
05/04/2018	***-**-707I - COLON, ANGEL	AMERICAN BALANCED FUND-A	25.00	0.40	0.00	0.40	80.0	0.32
	ACCOUNT #: 00081805964	BUY 1 Units @27.4100						
05/04/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.4100						
05/04/2018	***-**-875P - SHIMUNOV, ILICH	AMERICAN BALANCED FUND-A	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 1 Units @27.4100						
04/30/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	21.01	0.58	0.00	0.58	80.0	0.46
	ACCOUNT #: 00087513340	BUY 2 Units @12.9400						
04/30/2018	***-**-309I - PARENT, NANCY	AMERICAN FUNDS 2020 TARGET DATE-A	20.00	0.55	0.00	0.55	80.0	0.44
	ACCOUNT #: 00087513340	BUY 2 Units @12.9400						
05/02/2018	***-**-6730 - GAUDIOSI, JAMES	AMERICAN FUNDS 2030 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000812746	BUY 24 Units @15.2700						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
04/30/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	118.85	3.27	0.00	3.27	80.0	2.62
	ACCOUNT #: 04000563724	BUY 8 Units @15.4800						
04/30/2018	***-**-5975 - PAQUETTE, NICOLE	AMERICAN FUNDS 2035 TARGET DATE-A	44.57	1.23	0.00	1.23	80.0	0.98
	ACCOUNT #: 04000563724	BUY 3 Units @15.4800						
05/02/2018	***-**-632I - GAUDIOSI, JANE	AMERICAN FUNDS 2035 TARGET DATE-A	365.00	18.25	0.00	18.25	80.0	14.60
	ACCOUNT #: 04000813270	BUY 23 Units @15.8000						
05/02/2018	***-**-4516 - ST. AMAND, MARK	AMERICAN FUNDS 2040 TARGET DATE-A	10.00	0.50	0.00	0.50	80.0	0.40
	ACCOUNT #: 04000812773	BUY 1 Units @16.2000						
05/02/2018	***-**-6609 - GAUDIOSI,	AMERICAN FUNDS 2040 TARGET DATE-A	300.00	15.00	0.00	15.00	80.0	12.00
	ACCOUNT #: 04000812733	BUY 19 Units @16.2000						
04/30/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	187.00	5.14	0.00	5.14	80.0	4.11
	ACCOUNT #: 00081526588	BUY 12 Units @15.8100						
04/30/2018	***-**-945P - MORIARTY,	AMERICAN FUNDS 2050 TARGET DATE-A	45.41	1.25	0.00	1.25	80.0	1.00
	ACCOUNT #: 00081526588	BUY 3 Units @15.8100						
04/30/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	100.00	2.75	0.00	2.75	80.0	2.20
	ACCOUNT #: 04000563702	BUY 6 Units @15.8100						
04/30/2018	***-**-6923 - MELIN-MILES,	AMERICAN FUNDS 2050 TARGET DATE-A	50.30	1.38	0.00	1.38	80.0	1.10
	ACCOUNT #: 04000563702	BUY 3 Units @15.8100						
05/04/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	75.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 04000827629	BUY 6 Units @12.7900						
05/04/2018	***-**-0611 - FAULKNER,	AMERICAN FUNDS 2060 TARGET DATE-A	75.00	1.20	0.00	1.20	80.0	0.96
	ACCOUNT #: 04000827629	BUY 6 Units @12.7900						
05/08/2018	***-**-102I - DIPOLA, EVELYN	T ROWE PRICE RET 2020 FUND	4,043.61	35.00	18.00	17.00	80.0	13.60
	ACCOUNT #: LSR011455	SELL 179 Units @22.5900						
05/10/2018	***-**-527I - LAWLOR, RICHARD	T ROWE PRICE RET 2020 FUND	1,999.99	3.00	3.00	0.00	80.0	0.00
	ACCOUNT #: LSR011410	BUY 88 Units @22.7800						
05/04/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 2 Units @13.5800						
05/04/2018	***-**-875P - SHIMUNOV, ILICH	U.S. GOVERNMENT SECURITIES FUND CLA	30.00	0.48	0.00	0.48	80.0	0.38
	ACCOUNT #: 00081805810	BUY 2 Units @13.5800						
* MUTUAL FUNDS SUBTOTAL *			\$9,177.81	\$134.06	\$21.00	\$113.06		\$90.44



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
05/14/2018	***-**-90IN - GAW, PATRICIA	AIM CHARTER FUND - CLASS A	0.00	3.06	0.00	3.06	80.0	2.45
	ACCOUNT #: LSR011025	BUY 1 Units @0.0000						
05/14/2018	***-**-6967 - MEDER, BARBARA	EATON VANCE MF TRAILS	0.00	5.44	0.00	5.44	80.0	4.35
		BUY 0 Units @0.0000						
04/30/2018	***-**-01IN - PELLETIER, KELLY	FRANKLIN GROWTH FUND CLASS A	0.00	37.85	0.00	37.85	80.0	30.28
	ACCOUNT #: 000060177222593	BUY 0 Units @0.0000						
04/30/2018	***-**-01IN - PELLETIER, KELLY	FRANKLIN INCOME FUND CLASS A	0.00	15.06	0.00	15.06	80.0	12.05
	ACCOUNT #: 000090236508494	BUY 0 Units @0.0000						
04/30/2018	***-**-078I - PELLETIER,	FT 2015 RETIREMENT TARGET FUND - CL	0.00	34.84	0.00	34.84	80.0	27.87
	ACCOUNT #: 000000000017588	BUY 0 Units @0.0000						
05/14/2018	***-**-90IN - GAW, PATRICIA	INVESCO AMERICAN FRANCHISE FUND -CL	0.00	8.28	0.00	8.28	80.0	6.62
	ACCOUNT #: LSR011025	BUY 1 Units @0.0000						
05/14/2018	***-**-90IN - GAW, PATRICIA	INVESCO VAN KAMPEN VALUE OPP CLASS	0.00	3.14	0.00	3.14	80.0	2.51
	ACCOUNT #: LSR011025	BUY 1 Units @0.0000						
04/30/2018	***-**-01IN - PELLETIER, KELLY	MUTUAL GLOBAL DISCOVERY FUND - CLAS	0.00	28.08	0.00	28.08	80.0	22.46
	ACCOUNT #: 000000007745197	BUY 0 Units @0.0000						
05/14/2018	***-**-657Q - EDWARD SEGAL	QUALIFIED PLAN SUMMARY	0.00	352.10	0.00	352.10	80.0	281.68
	ACCOUNT #: 00670223965	BUY 0 Units @0.0000						
05/14/2018	***-**-963I - MORRO, JAMES	SAMMONS MF TRAILS	0.00	62.97	0.00	62.97	80.0	50.38
	ACCOUNT #: 7200018502	BUY 0 Units @0.0000						
05/14/2018	***-**-176I - RIOS, CARLOS	SAMMONS MF TRAILS	0.00	13.89	0.00	13.89	80.0	11.11
	ACCOUNT #: 7200020442	BUY 0 Units @0.0000						
05/14/2018	***-**-358I - KALLIPOLITES,	SAMMONS MF TRAILS	0.00	45.86	0.00	45.86	80.0	36.69
	ACCOUNT #: 7200022756	BUY 0 Units @0.0000						
04/30/2018	***-**-01IN - PELLETIER, KELLY	TEMP GLOBAL BOND FND - CL A	0.00	21.07	0.00	21.07	80.0	16.86
	ACCOUNT #: 000006984020841	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$631.64	\$0.00	\$631.64		\$505.31
*** BROKER TRANSACTIONS TOTAL ***			\$9,177.81	\$765.70	\$21.00	\$744.70		\$595.75



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BROKER COMMISSION TOTALS								
						Broker Transactions		\$321.51
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$595.75
						Year-to-date Earnings		\$34,522.68