

COMMISSION STATEMENT

March 31, 2018

ANDREW STUART SACHS

1 DEVONSHIRE COURT

PLAINVIEW, NY 11803

BROKER #: 5

BRANCH: HOME OFFICE

COMMISSION STATEMENT for ANDREW STUART SACHS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

02/27/2018	QGW032013	STROPE, DALE	BARCLAYS BANK PLC IPATH S&P 500 VIX B	6,364.50	107.54	0.00	107.54	82.5	88.72	
02/27/2018	QGW032013	STROPE, DALE	CREDIT SUISSE AG NASSAU BRH VELOCIT S	-2,512.00	35.00	0.00	35.00	82.5	28.88	
03/14/2018	QGW032013	STROPE, DALE	QUALCOMM INC	S	-29,800.05	100.00	0.00	100.00	82.5	82.50
03/06/2018	QGW032021	JONES, HARVEY	QUALCOMM INC	S	-29,424.00	300.00	0.00	300.00	82.5	247.50
03/01/2018	QGW032039	SANDERS, RALPH	CREDIT SUISSE AG NASSAU BRH VELOCIT S	-3,181.61	35.00	0.00	35.00	82.5	28.88	
03/14/2018	QGW032039	SANDERS, RALPH	QUALCOMM INC	S	-29,000.00	200.00	0.00	200.00	82.5	165.00
03/16/2018	QGW032039	SANDERS, RALPH	MICROSOFT CORP	B	18,800.00	550.00	0.00	550.00	82.5	453.75
03/19/2018	QGW032039	SANDERS, RALPH	SUBURBAN PROPANE PARTNERS LP UNIT L B	9,200.00	280.00	0.00	280.00	82.5	231.00	
03/09/2018	QGW032047	SHIER, ANDY	CREDIT SUISSE AG NASSAU BRH VELOCIT S	-1,986.00	35.00	0.00	35.00	82.5	28.88	
03/13/2018	QGW032047	SHIER, ANDY	JUNIPER NETWORKS	B	13,200.00	395.00	0.00	395.00	82.5	325.88
03/14/2018	QGW032047	SHIER, ANDY	QUALCOMM INC	S	-17,949.03	100.00	0.00	100.00	82.5	82.50
03/22/2018	QGW032047	SHIER, ANDY	SALESFORCE.COM INC	S	-17,718.02	200.00	0.00	200.00	82.5	165.00
03/13/2018	QGW032054	PAEGLOW, JOSEPH	JUNIPER NETWORKS	B	21,040.00	630.00	0.00	630.00	82.5	519.75
03/13/2018	QGW032054	PAEGLOW, JOSEPH	QUALCOMM INC	S	-30,500.00	250.00	0.00	250.00	82.5	206.25
03/21/2018	QGW032054	PAEGLOW, JOSEPH	SALESFORCE.COM INC	S	-24,360.00	250.00	0.00	250.00	82.5	206.25
03/26/2018	QGW032054	PAEGLOW, JOSEPH	JUNIPER NETWORKS	S	-9,700.04	75.00	0.00	75.00	82.5	61.88
02/28/2018	QGW032096	YALINEWITZ, BOAZ	JUNIPER NETWORKS	B	8,944.99	265.00	0.00	265.00	82.5	218.63
03/14/2018	QGW032096	YALINEWITZ, BOAZ	AMERICAN EXPRESS COMPANY (N.Y)	S	-16,686.25	200.00	0.00	200.00	82.5	165.00
03/15/2018	QGW032096	YALINEWITZ, BOAZ	MICROSOFT CORP	B	16,362.50	475.00	0.00	475.00	82.5	391.88
03/23/2018	QGW032096	YALINEWITZ, BOAZ	SQUARE INC CLASS A COM	S	-9,940.05	100.00	0.00	100.00	82.5	82.50
03/06/2018	QGW032104	DAVIDOV, WILLIAM	SOUTHWEST AIRLINES CO	S	-6,062.17	25.00	0.00	25.00	82.5	20.63
03/07/2018	QGW032104	DAVIDOV, WILLIAM	CIENA CORPORATION	B	2,650.00	35.00	0.00	35.00	82.5	28.88
03/07/2018	QGW032104	DAVIDOV, WILLIAM	KULICKE & SOFFA IND INC	B	3,562.50	35.00	0.00	35.00	82.5	28.88
03/09/2018	QGW032104	DAVIDOV, WILLIAM	SMART GLOBAL HLDGS INC SHS	B	2,975.40	25.00	0.00	25.00	82.5	20.63
03/09/2018	QGW032104	DAVIDOV, WILLIAM	TESLA MOTORS INC	S	-3,250.46	25.00	0.00	25.00	82.5	20.63
03/20/2018	QGW032104	DAVIDOV, WILLIAM	SOUTHWEST AIRLINES CO	B	4,556.25	35.00	0.00	35.00	82.5	28.88
03/20/2018	QGW032104	DAVIDOV, WILLIAM	TESLA MOTORS INC	S	-4,667.25	35.00	0.00	35.00	82.5	28.88
03/26/2018	QGW032104	DAVIDOV, WILLIAM	CIENA CORPORATION	S	-2,560.00	35.00	0.00	35.00	82.5	28.88
03/26/2018	QGW032104	DAVIDOV, WILLIAM	PRESIDIO INC COM	B	2,350.50	35.00	0.00	35.00	82.5	28.88
03/13/2018	QGW032112	CIARLO, ANTHONY	JUNIPER NETWORKS	B	7,364.00	220.00	0.00	220.00	82.5	181.50
03/13/2018	QGW032112	CIARLO, ANTHONY	QUALCOMM INC	S	-7,801.31	75.00	0.00	75.00	82.5	61.88

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
03/26/2018	QGW032112	CIARLO, ANTHONY							
		JUNIPER NETWORKS	S	-6,776.03	75.00	0.00	75.00	82.5	61.88
02/27/2018	QGW032120	DAVIDOV, WILLIAM							
		GAMCO GLOBAL GOLD NATURAL RESOURCES S	S	-2,500.05	35.00	0.00	35.00	82.5	28.88
02/27/2018	QGW032120	DAVIDOV, WILLIAM							
		SALESFORCE.COM INC	B	2,443.98	50.00	0.00	50.00	82.5	41.25
03/05/2018	QGW032120	DAVIDOV, WILLIAM							
		GENCO SHIPPING & TRADING LTD SHS IS B	B	1,937.00	35.00	0.00	35.00	82.5	28.88
03/05/2018	QGW032120	DAVIDOV, WILLIAM							
		VALE S A SPONSORED ADR	S	-2,007.02	35.00	0.00	35.00	82.5	28.88
03/13/2018	QGW032120	DAVIDOV, WILLIAM							
		CANADA GOOSE HLDGS INC COM SHS SUB B	B	3,330.00	35.00	0.00	35.00	82.5	28.88
03/13/2018	QGW032120	DAVIDOV, WILLIAM							
		STMICROELECTRONICS NV SHS N Y REGIS S	S	-3,691.50	25.00	0.00	25.00	82.5	20.63
03/27/2018	QGW032120	DAVIDOV, WILLIAM							
		SALESFORCE.COM INC	S	-7,426.25	25.00	0.00	25.00	82.5	20.63
03/15/2018	QGW032161	JOERS, DAVID							
		MICROSOFT CORP	B	12,199.20	275.00	0.00	275.00	82.5	226.88
03/21/2018	QGW032195	NERY, GENEROSO							
		SALESFORCE.COM INC	S	-18,225.00	250.00	0.00	250.00	82.5	206.25
03/14/2018	QGW032229	WATSON, ROGER							
		QUALCOMM INC	S	-11,695.00	100.00	0.00	100.00	82.5	82.50
03/15/2018	QGW032229	WATSON, ROGER							
		JD.COM INC SPONSORED ADR REPSTG COM B	B	9,779.00	290.00	0.00	290.00	82.5	239.25
03/27/2018	QGW032229	WATSON, ROGER							
		TWITTER INC	S	-6,300.00	100.00	0.00	100.00	82.5	82.50
02/27/2018	QGW032237	PETERSON, SHAWN							
		BARCLAYS BANK PLC IPATH S&P 500 VIX B	B	6,100.50	35.00	0.00	35.00	82.5	28.88
02/27/2018	QGW032237	PETERSON, SHAWN							
		CREDIT SUISSE AG NASSAU BRH VELOCIT S	S	-2,220.00	35.00	0.00	35.00	82.5	28.88
02/28/2018	QGW032237	PETERSON, SHAWN							
		JUNIPER NETWORKS	B	12,784.95	380.00	0.00	380.00	82.5	313.50
03/15/2018	QGW032237	PETERSON, SHAWN							
		STARBUCKS CORP	S	-24,004.04	200.00	0.00	200.00	82.5	165.00
03/16/2018	QGW032237	PETERSON, SHAWN							
		MAZOR ROBOTICS LTD SPONSORED ADS	B	17,000.00	250.00	0.00	250.00	82.5	206.25
03/21/2018	QGW032237	PETERSON, SHAWN							
		MAZOR ROBOTICS LTD SPONSORED ADS	S	-15,750.00	75.00	0.00	75.00	82.5	61.88
03/21/2018	QGW032286	HLWA, DANNY							
		QUALCOMM INC	S	-7,540.00	100.00	0.00	100.00	82.5	82.50
03/02/2018	QGW032302	CHEN, PETER							
		INTERNATIONAL BUSINESS MACHINES COR B	B	25,203.75	750.00	0.00	750.00	82.5	618.75
02/27/2018	QGW032344	DYKSTRA, JAMES							
		BARCLAYS BANK PLC IPATH S&P 500 VIX B	B	6,221.76	35.00	0.00	35.00	82.5	28.88
02/27/2018	QGW032344	DYKSTRA, JAMES							
		CREDIT SUISSE AG NASSAU BRH VELOCIT S	S	-2,295.00	35.00	0.00	35.00	82.5	28.88
03/19/2018	QGW032344	DYKSTRA, JAMES							
		JUNIPER NETWORKS	B	7,569.00	225.00	0.00	225.00	82.5	185.63
03/22/2018	QGW032344	DYKSTRA, JAMES							
		FACEBOOK INC CL A	B	20,121.60	350.00	0.00	350.00	82.5	288.75
03/22/2018	QGW032344	DYKSTRA, JAMES							
		QUALCOMM INC	S	-6,444.61	75.00	0.00	75.00	82.5	61.88
03/23/2018	QGW032344	DYKSTRA, JAMES							
		SALESFORCE.COM INC	S	-11,735.01	150.00	0.00	150.00	82.5	123.75
03/02/2018	QGW032476	HANNAN, JOHN							
		KARYOPHARM THERAPEUTICS INC COM	S	-3,711.75	100.00	0.00	100.00	82.5	82.50
03/26/2018	QGW032641	SMITH, WALTER							
		STARBUCKS CORP	B	5,794.00	172.00	0.00	172.00	82.5	141.90
03/13/2018	QGW032674	ONESTO, RICHARD							
		CORINDUS VASCULAR ROBOTICS INC COMM B	B	5,940.00	50.00	0.00	50.00	82.5	41.25
03/13/2018	QGW032674	ONESTO, RICHARD							
		QUALCOMM INC	S	-6,030.00	35.00	0.00	35.00	82.5	28.88
03/20/2018	QGW033581	RYKEN, MICHAEL							
		JD.COM INC SPONSORED ADR REPSTG COM S	S	-13,245.03	100.00	0.00	100.00	82.5	82.50
03/13/2018	QGW033870	BROWN, KENNETH							
		QUALCOMM INC	S	-30,500.00	200.00	0.00	200.00	82.5	165.00
03/20/2018	QGW033870	BROWN, KENNETH							
		FACEBOOK INC CL A	B	8,400.00	252.00	0.00	252.00	82.5	207.90
03/20/2018	QGW034043	GROSCOST, GARY							
		FACEBOOK INC CL A	B	4,187.50	75.00	0.00	75.00	82.5	61.88
03/20/2018	QGW034043	GROSCOST, GARY							
		TWITTER INC	S	-4,689.02	150.00	0.00	150.00	82.5	123.75
03/20/2018	QGW035073	POORMAN, JEFFREY							
		FACEBOOK INC CL A	B	820.00	25.00	0.00	25.00	82.5	20.63
03/16/2018	QGW036907	RICHARDS, ALAN							
		MAZOR ROBOTICS LTD SPONSORED ADS	B	5,456.00	150.00	0.00	150.00	82.5	123.75
03/16/2018	QGW036907	RICHARDS, ALAN							
		SACHEM CAPITAL CORP COMMON SHARES	S	-10,080.00	75.00	0.00	75.00	82.5	61.88
03/19/2018	QGW036907	RICHARDS, ALAN							
		JUNIPER NETWORKS	B	5,610.00	165.00	0.00	165.00	82.5	136.13

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BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
03/20/2018	QGW037319	PETERSON, GARY		FACEBOOK INC CL A	B	5,331.20	85.00	0.00	85.00	82.5	70.13
03/01/2018	QGW038879	MURDOCK, BRANDI		LVMH (MOET HENNESSY LOUIS VUITTON)	B	4,407.00	75.00	0.00	75.00	82.5	61.88
03/26/2018	QGW038879	MURDOCK, BRANDI		FACEBOOK INC CL A	B	2,310.00	65.00	0.00	65.00	82.5	53.63
03/13/2018	QGW039364	LEWIS, HARRY		MAZOR ROBOTICS LTD SPONSORED ADS	B	9,900.00	50.00	0.00	50.00	82.5	41.25
				* STOCKS SUBTOTAL *		\$-143,746.47	\$11,011.54	\$0.00	\$11,011.54		\$9,084.72
PRODUCT CATEGORY: OPTIONS											
02/28/2018	QGW032674	ONESTO, RICHARD		CALL 100 QUALCOMM INC EXP 04-06-18@	B	290.00	15.00	0.00	15.00	82.5	12.38
03/01/2018	QGW032674	ONESTO, RICHARD		CALL 100 ARENA PHARMACEUTICALS INC	B	1,150.00	35.00	0.00	35.00	82.5	28.88
03/05/2018	QGW032674	ONESTO, RICHARD		CALL 100 QUALCOMM INC EXP 04-06-18@	S	-200.00	15.00	0.00	15.00	82.5	12.38
03/13/2018	QGW032674	ONESTO, RICHARD		CALL 100 QUALCOMM INC EXP 04-20-18@	B	70.00	20.00	0.00	20.00	82.5	16.50
03/13/2018	QGW032674	ONESTO, RICHARD		CALL 100 QUALCOMM INC EXP 04-20-18@	B	-70.00	-20.00	0.00	-20.00	82.5	-16.50 C
03/13/2018	QGW032674	ONESTO, RICHARD		CALL 100 QUALCOMM INC EXP 04-20-18@	B	70.00	20.00	0.00	20.00	82.5	16.50
03/16/2018	QGW032674	ONESTO, RICHARD		CALL 100 MAZOR ROBOTICS LTD SPONSOR	S	-8,750.00	100.00	0.00	100.00	82.5	82.50
03/16/2018	QGW032674	ONESTO, RICHARD		CALL 100 MAZOR	B	2,880.00	40.00	0.00	40.00	82.5	33.00
03/16/2018	QGW032674	ONESTO, RICHARD		CALL 100 MAZOR	B	-2,880.00	-40.00	0.00	-40.00	82.5	-33.00 C
03/16/2018	QGW032674	ONESTO, RICHARD		CALL 100 MAZOR	B	2,880.00	40.00	0.00	40.00	82.5	33.00
03/19/2018	QGW032674	ONESTO, RICHARD		PUT 100 APPLE INC COM EXP 05-18-18@	S	-610.00	30.00	0.00	30.00	82.5	24.75
03/20/2018	QGW032674	ONESTO, RICHARD		CALL 100 ARENA PHARMACEUTICALS INC	S	-900.00	25.00	0.00	25.00	82.5	20.63
03/20/2018	QGW032674	ONESTO, RICHARD		PUT 100 FACEBOOK INC CL A EXP 05-18	S	-725.00	30.00	0.00	30.00	82.5	24.75
				* OPTIONS SUBTOTAL *		\$-6,795.00	\$310.00	\$0.00	\$310.00		\$255.77
PRODUCT CATEGORY: LIFE INSURANCE											
03/02/2018	(None)	VARIOUS, SACHS		LIFE INS	B	0.00	93.50	0.00	93.50	82.5	77.14
				* LIFE INSURANCE SUBTOTAL *		\$0.00	\$93.50	\$0.00	\$93.50		\$77.14
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
03/31/2018				FEE COUNT - 11		0.00	9.19	0.00	9.19	82.5	7.59
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$9.19	\$0.00	\$9.19		\$7.59
				*** BROKER TRANSACTIONS TOTAL ***		\$-150,541.47	\$11,424.23	\$0.00	\$11,424.23		\$9,425.22

COMMISSION STATEMENT for ANDREW STUART SACHS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

03/31/2018		COMMISSION INCOME: COMMISSION INCOM		0.00	0.00	0.00	0.00		100.00
03/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-150.00
03/31/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-12.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-62.00

BROKER COMMISSION TOTALS

Broker Transactions	\$9,425.22
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-62.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-14.16
SIPC Assessment	\$-28.33

Check Amount	\$9,320.73
Year-to-date Earnings	\$27,601.30