

## COMMISSION STATEMENT

January 31, 2018

LAWRENCE HORN  
301 E 47TH STREET  
APT 16J  
NEW YORK, NY 10017

BROKER #: 46  
BRANCH: HOME OFFICE

COMMISSION STATEMENT for LAWRENCE HORN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
01/04/2018	QGV005630	MORGAN STANLEY							
		BROOKDALE SR LIVING INC	S	-249,075.00	750.00	10.00	740.00	50.0	370.00
01/29/2018	QGV005887	GS ARBITRAGE							
		ABLYNX NV SPONS ADR ISIN#US00372Y10 B		135,748.75	75.00	10.00	65.00	50.0	32.50
01/29/2018	QGV005895	MORGAN STANLEY							
		ABLYNX NV SPONS ADR ISIN#US00372Y10 B		54,299.50	30.00	10.00	20.00	50.0	10.00
12/29/2017	QGV006240	GS ARBITRAGE							
		AT&T INC	S	-195,050.00	200.00	10.00	190.00	50.0	95.00
01/09/2018	QGV006240	GS ARBITRAGE							
		TIME WARNER INC	S	-462,708.50	200.00	10.00	190.00	50.0	95.00
01/11/2018	QGV006240	GS ARBITRAGE							
		SCANA CORPORATION NEW	S	-221,809.00	200.00	10.00	190.00	50.0	95.00
01/29/2018	QGV006422	JPMORGAN CLEARING							
		ABLYNX NV SPONS ADR ISIN#US00372Y10 B		81,449.25	45.00	10.00	35.00	50.0	17.50
01/29/2018	QGV006422	JPMORGAN CLEARING							
		ABLYNX NV SPONS ADR ISIN#US00372Y10 B		-81,449.25	-45.00	-10.00	-35.00	50.0	-17.50 C
01/29/2018	QGV006422	JPMORGAN CLEARING							
		ABLYNX NV SPONS ADR ISIN#US00372Y10 B		81,449.25	45.00	10.00	35.00	50.0	17.50
01/10/2018	QGV006455	BNP PARIBUS PRIME							
		GLOBAL BRASS & COPPER HLDGS INC COM B		231,397.60	210.00	10.00	200.00	50.0	100.00
* STOCKS SUBTOTAL *				<u>\$-625,747.40</u>	<u>\$1,710.00</u>	<u>\$80.00</u>	<u>\$1,630.00</u>		<u>\$815.00</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$-625,747.40</u>	<u>\$1,710.00</u>	<u>\$80.00</u>	<u>\$1,630.00</u>		<u>\$815.00</u>

ADJUSTMENTS

01/31/2018	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
01/31/2018	OTHER: HAMMERSTONE	0.00	0.00	0.00	0.00	-127.50
01/31/2018	OTHER: OTHER	0.00	0.00	0.00	0.00	-1,050.00
01/31/2018	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-5.00
*** ADJUSTMENTS TOTAL ***		<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-1,202.50</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$815.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,202.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-752.00
FINRA Assessment	\$-2.14
SIPC Assessment	\$-4.28

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$-1,145.92</b>
<b>Year-to-date Earnings</b>	<b>\$-1,145.92</b>