



COMMISSION STATEMENT

May 14, 2018

STEPHEN CARVER
1921 W Willow Knolls Dr Ste C
Peoria, IL 61614

BROKER #: B15
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/08/2018	***-**-617I - DVIZAC, LORI	CALVERT GLOBAL WATER FUND CLASS A	9,936.09	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012473	SELL 497 Units @20.0100						
05/08/2018	***-**-434I - DVIZAC, ZACK	CALVERT GLOBAL WATER FUND CLASS A	4,530.88	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012474	SELL 226 Units @20.0100						
05/08/2018	***-**-042I - HEINZ, CHERI	FIDELITY ADV CONSUMER DISCRETIONARY	21,140.35	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012514	SELL 792 Units @26.6800						
05/07/2018	***-**-2267 - WIEBLER, STEVE	JANUS HENDERSON GLBL EQUITY	14,558.59	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012606	SELL 1883 Units @7.7300						
* MUTUAL FUNDS SUBTOTAL *			\$50,165.91	\$0.00	\$72.00	\$-72.00		\$-72.00
<u>PRODUCT CATEGORY: STOCKS</u>								
05/08/2018	***-**-617I - DVIZAC, LORI	ARCOS DORADOS HOLDINGS INC COM NPV	646.00	16.00	16.60	-0.60	100.0	-0.60
	ACCOUNT #: LSR012473	BUY 80 Units @8.0750						
05/08/2018	***-**-617I - DVIZAC, LORI	ARCOS DORADOS HOLDINGS INC COM NPV	3,391.50	84.00	8.40	75.60	85.0	64.26
	ACCOUNT #: LSR012473	BUY 420 Units @8.0750						
05/08/2018	***-**-03TR - NEAL TRUST,	ARCOS DORADOS HOLDINGS INC COM NPV	3,207.60	60.00	23.00	37.00	85.0	31.45
	ACCOUNT #: LSR012505	BUY 400 Units @8.0190						
05/08/2018	***-**-03TR - NEAL TRUST,	ARCOS DORADOS HOLDINGS INC COM NPV	4,815.00	90.00	12.00	78.00	85.0	66.30
	ACCOUNT #: LSR012505	BUY 600 Units @8.0250						
05/08/2018	***-**-858I - HAMM, RONALD	ARCOS DORADOS HOLDINGS INC COM NPV	3,207.20	76.67	23.00	53.67	85.0	45.62
	ACCOUNT #: LSR012515	BUY 400 Units @8.0180						
05/08/2018	***-**-03TR - NEAL TRUST,	ARLINGTON ASSET INVT CORP CL A NEW	23,197.01	450.00	56.94	393.06	85.0	334.10
	ACCOUNT #: LSR012505	SELL 2097 Units @11.0620						
05/08/2018	***-**-03TR - NEAL TRUST,	DISCOVERY COMMUNICATIONS INC NEW CO	9,151.88	200.00	22.50	177.50	85.0	150.88
	ACCOUNT #: LSR012505	SELL 375 Units @24.4050						
05/03/2018	***-**-447I - HEINZ-OTTE,	MRC GLOBAL INC COM USD0.01	18,875.00	360.00	35.00	325.00	85.0	276.25
	ACCOUNT #: LSR012465	BUY 1000 Units @18.8750						
05/03/2018	***-**-691I - HEINZ, MARK	MRC GLOBAL INC COM USD0.01	1,882.00	30.00	17.00	13.00	85.0	11.05
	ACCOUNT #: LSR012513	BUY 100 Units @18.8200						
05/03/2018	***-**-691I - HEINZ, MARK	MRC GLOBAL INC COM USD0.01	7,520.00	120.00	8.00	112.00	85.0	95.20
	ACCOUNT #: LSR012513	BUY 400 Units @18.8000						
05/03/2018	***-**-141I - ROHMAN, ARTHUR	MRC GLOBAL INC COM USD0.01	7,526.00	120.00	23.00	97.00	85.0	82.45
	ACCOUNT #: LSR012574	BUY 400 Units @18.8150						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
05/03/2018	***-**-141I - ROHMAN, ARTHUR ACCOUNT #: LSR012574	MRC GLOBAL INC COM USD0.01 BUY 100 Units @18.8150	1,881.50	30.00	2.00	28.00	85.0	23.80
05/03/2018	***-**-2267 - WIEBLER, STEVE ACCOUNT #: LSR012606	MRC GLOBAL INC COM USD0.01 BUY 500 Units @18.8700	9,435.00	150.00	25.00	125.00	85.0	106.25
05/08/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	TOWN SPORTS INTL HLDGS INC COM BUY 100 Units @11.2470	1,124.70	21.88	17.00	4.88	85.0	4.15
05/08/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	TOWN SPORTS INTL HLDGS INC COM BUY 100 Units @11.2470	1,124.70	21.88	2.00	19.88	85.0	16.90
05/08/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	TOWN SPORTS INTL HLDGS INC COM BUY 100 Units @11.2470	1,124.70	21.88	2.00	19.88	85.0	16.90
05/08/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	TOWN SPORTS INTL HLDGS INC COM BUY 100 Units @11.2470	1,124.70	21.88	2.00	19.88	85.0	16.90
05/08/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	TOWN SPORTS INTL HLDGS INC COM BUY 116 Units @11.2470	1,304.65	25.38	2.32	23.06	85.0	19.60
05/08/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	TOWN SPORTS INTL HLDGS INC COM BUY 92 Units @11.2470	1,034.72	20.13	1.84	18.29	85.0	15.55
05/08/2018	***-**-691I - HEINZ, MARK ACCOUNT #: LSR012513	TOWN SPORTS INTL HLDGS INC COM BUY 92 Units @11.2470	1,034.72	20.13	1.84	18.29	85.0	15.55
05/08/2018	***-**-41IR - ROHMAN, ARTHUR ACCOUNT #: LSR012575	TOWN SPORTS INTL HLDGS INC COM BUY 1000 Units @11.2300	11,230.00	200.00	35.00	165.00	85.0	140.25
05/08/2018	***-**-168I - JONES, TERI ACCOUNT #: LSR012588	TOWN SPORTS INTL HLDGS INC COM BUY 400 Units @11.2000	4,480.00	85.07	23.00	62.07	85.0	52.76
05/08/2018	***-**-41IR - ROHMAN, ARTHUR ACCOUNT #: LSR012575	UNDER ARMOUR INC COM STK USD0.00033 SELL 750 Units @18.3540	13,765.50	275.00	30.00	245.00	85.0	208.25
05/09/2018	***-**-703I - RHODE, SUSAN ACCOUNT #: LSR012463	VIRTU FINL INC CL A BUY 500 Units @31.3810	15,690.50	300.00	25.00	275.00	85.0	233.75
05/09/2018	***-**-617I - DVIZAC, LORI ACCOUNT #: LSR012473	VIRTU FINL INC CL A BUY 250 Units @31.4100	7,852.50	150.00	20.00	130.00	85.0	110.50
05/09/2018	***-**-434I - DVIZAC, ZACK ACCOUNT #: LSR012474	VIRTU FINL INC CL A BUY 250 Units @31.3860	7,846.50	150.00	20.00	130.00	85.0	110.50



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
05/09/2018	***-**-03TR - NEAL TRUST, ACCOUNT #: LSR012505	VIRTU FINL INC CL A BUY 500 Units @31.0550	15,527.50	300.00	25.00	275.00	85.0	233.75
05/09/2018	***-**-042I - HEINZ, CHERI ACCOUNT #: LSR012514	VIRTU FINL INC CL A BUY 500 Units @31.3550	15,677.50	300.00	25.00	275.00	85.0	233.75
05/09/2018	***-**-55IR - WISE, MARY ACCOUNT #: LSR012558	VIRTU FINL INC CL A BUY 100 Units @31.3830	3,138.30	60.00	17.00	43.00	85.0	36.55
05/09/2018	***-**-55IR - WISE, MARY ACCOUNT #: LSR012558	VIRTU FINL INC CL A BUY 100 Units @31.3830	3,138.30	60.00	2.00	58.00	85.0	49.30
05/09/2018	***-**-55IR - WISE, MARY ACCOUNT #: LSR012558	VIRTU FINL INC CL A BUY 50 Units @31.3830	1,569.15	30.00	1.00	29.00	85.0	24.65
05/09/2018	***-**-2267 - WIEBLER, STEVE ACCOUNT #: LSR012606	VIRTU FINL INC CL A BUY 100 Units @31.0750	3,107.50	60.00	17.00	43.00	85.0	36.55
05/09/2018	***-**-2267 - WIEBLER, STEVE ACCOUNT #: LSR012606	VIRTU FINL INC CL A BUY 300 Units @31.0830	9,324.90	180.00	6.00	174.00	85.0	147.90
05/09/2018	***-**-2267 - WIEBLER, STEVE ACCOUNT #: LSR012606	VIRTU FINL INC CL A BUY 100 Units @31.0750	3,107.50	60.00	2.00	58.00	85.0	49.30
05/09/2018	***-**-267I - WIEBLER, STEVE ACCOUNT #: LSR012639	VIRTU FINL INC CL A BUY 50 Units @31.5000	1,575.00	30.00	16.00	14.00	85.0	11.90
05/09/2018	***-**-267I - WIEBLER, STEVE ACCOUNT #: LSR012639	VIRTU FINL INC CL A BUY 100 Units @31.5000	3,150.00	60.00	2.00	58.00	85.0	49.30
05/09/2018	***-**-267I - WIEBLER, STEVE ACCOUNT #: LSR012639	VIRTU FINL INC CL A BUY 100 Units @31.5000	3,150.00	60.00	2.00	58.00	85.0	49.30
* STOCKS SUBTOTAL *			\$227,063.93	\$4,321.78	\$571.44	\$3,750.34		\$3,187.72
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS								
05/09/2018	***-**-825J - BARR, JANET ACCOUNT #: LSR012627	ARBOR RLTY TR INC UNSOLICITED ORDER BUY 900 Units @9.4750	8,527.50	170.55	24.00	146.55	85.0	124.57
05/09/2018	***-**-825J - BARR, JANET ACCOUNT #: LSR012627	ARBOR RLTY TR INC UNSOLICITED ORDER BUY 1100 Units @9.4700	10,417.00	208.45	0.00	208.45	85.0	177.18
05/08/2018	***-**-703I - RHODE, SUSAN ACCOUNT #: LSR012463	JERNIGAN CAP INC COM UNSOLICITED OR SELL 650 Units @18.9200	12,298.00	195.00	24.00	171.00	85.0	145.35
05/08/2018	***-**-703I - RHODE, SUSAN ACCOUNT #: LSR012463	JERNIGAN CAP INC COM UNSOLICITED OR SELL 100 Units @18.9610	1,896.10	30.00	0.00	30.00	85.0	25.50



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS (continued)								
05/08/2018	***-**-703I - RHODE, SUSAN	JERNIGAN CAP INC COM UNSOLICITED OR	1,896.00	30.00	0.00	30.00	85.0	25.50
	ACCOUNT #: LSR012463	SELL 100 Units @18.9600						
05/08/2018	***-**-703I - RHODE, SUSAN	JERNIGAN CAP INC COM UNSOLICITED OR	948.00	15.00	0.00	15.00	85.0	12.75
	ACCOUNT #: LSR012463	SELL 50 Units @18.9600						
05/08/2018	***-**-703I - RHODE, SUSAN	JERNIGAN CAP INC COM UNSOLICITED OR	1,894.00	30.00	0.00	30.00	85.0	25.50
	ACCOUNT #: LSR012463	SELL 100 Units @18.9400						
05/09/2018	***-**-825J - BARR, JANET	NEW RESIDENTIAL INVT CORP COM NPV (17,877.00	358.00	24.00	334.00	85.0	283.90
	ACCOUNT #: LSR012627	BUY 1000 Units @17.8770						
05/09/2018	***-**-825J - BARR, JANET	PLYMOUTH INDL REIT INC COM UNSOLICI	12,171.60	243.60	24.00	219.60	85.0	186.66
	ACCOUNT #: LSR012627	BUY 700 Units @17.3880						
05/09/2018	***-**-825J - BARR, JANET	PLYMOUTH INDL REIT INC COM UNSOLICI	955.08	19.14	0.00	19.14	85.0	16.27
	ACCOUNT #: LSR012627	BUY 55 Units @17.3650						
05/09/2018	***-**-825J - BARR, JANET	PLYMOUTH INDL REIT INC COM UNSOLICI	694.60	13.92	0.00	13.92	85.0	11.83
	ACCOUNT #: LSR012627	BUY 40 Units @17.3650						
05/09/2018	***-**-825J - BARR, JANET	PLYMOUTH INDL REIT INC COM UNSOLICI	1,736.50	34.80	0.00	34.80	85.0	29.58
	ACCOUNT #: LSR012627	BUY 100 Units @17.3650						
05/09/2018	***-**-825J - BARR, JANET	PLYMOUTH INDL REIT INC COM UNSOLICI	1,823.33	36.54	0.00	36.54	85.0	31.06
	ACCOUNT #: LSR012627	BUY 105 Units @17.3650						
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$73,134.71	\$1,385.00	\$96.00	\$1,289.00		\$1,095.65

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/14/2018	***-**-55IR - WISE, MARY	AIM DIVERSIFIED DIVIDEND FUND - CL	0.00	4.51	0.00	4.51	85.0	3.83
	ACCOUNT #: LSR012558	BUY 1 Units @0.0000						
05/14/2018	***-**-197K - EKHOF, JOHN	AIM GLOBAL AGGRESSIVE GROWTH FUND C	0.00	1.82	0.00	1.82	85.0	1.55
	ACCOUNT #: LSR012603	BUY 1 Units @0.0000						
05/14/2018	***-**-03IR - RHODE, SUSAN	CALVERT GLOBAL WATER FUND CLASS A	0.00	1.66	0.00	1.66	85.0	1.41
	ACCOUNT #: LSR012464	BUY 1 Units @0.0000						
05/14/2018	***-**-447I - HEINZ-OTTE,	CALVERT GLOBAL WATER FUND CLASS A	0.00	0.21	0.00	0.21	85.0	0.18
	ACCOUNT #: LSR012465	BUY 1 Units @0.0000						
05/14/2018	***-**-496I - MCCOY, SHERIANNE	CALVERT GLOBAL WATER FUND CLASS A	0.00	4.46	0.00	4.46	85.0	3.79
	ACCOUNT #: LSR012469	BUY 1 Units @0.0000						
05/14/2018	***-**-617I - DVIZAC, LORI	CALVERT GLOBAL WATER FUND CLASS A	0.00	2.04	0.00	2.04	85.0	1.73
	ACCOUNT #: LSR012473	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
05/14/2018	***-***-434I - DVIZAC, ZACK	CALVERT GLOBAL WATER FUND CLASS A	0.00	0.93	0.00	0.93	85.0	0.79
	ACCOUNT #: LSR012474	BUY 1 Units @0.0000						
05/14/2018	***-***-54IO - FRIES, SHARON	CALVERT GLOBAL WATER FUND CLASS A	0.00	5.18	0.00	5.18	85.0	4.40
	ACCOUNT #: LSR012478	BUY 1 Units @0.0000						
05/14/2018	***-***-691I - HEINZ, MARK	CALVERT GLOBAL WATER FUND CLASS A	0.00	0.38	0.00	0.38	85.0	0.32
	ACCOUNT #: LSR012513	BUY 1 Units @0.0000						
05/14/2018	***-***-411I - WURMNEST,	CALVERT GLOBAL WATER FUND CLASS A	0.00	5.20	0.00	5.20	85.0	4.42
	ACCOUNT #: LSR012516	BUY 1 Units @0.0000						
05/14/2018	***-***-902I - WURMNEST, DIANE	CALVERT GLOBAL WATER FUND CLASS A	0.00	2.08	0.00	2.08	85.0	1.77
	ACCOUNT #: LSR012517	BUY 1 Units @0.0000						
05/14/2018	***-***-361J - COOK, ALAN	CALVERT GLOBAL WATER FUND CLASS A	0.00	2.50	0.00	2.50	85.0	2.13
	ACCOUNT #: LSR012544	BUY 1 Units @0.0000						
05/14/2018	***-***-720X - COOK, TAMI	CALVERT GLOBAL WATER FUND CLASS A	0.00	1.01	0.00	1.01	85.0	0.86
	ACCOUNT #: LSR012548	BUY 1 Units @0.0000						
05/14/2018	***-***-3709 - TILLER, EDWIN	CALVERT GLOBAL WATER FUND CLASS A	0.00	4.14	0.00	4.14	85.0	3.52
	ACCOUNT #: LSR012570	BUY 1 Units @0.0000						
05/14/2018	***-***-141I - ROHMAN, ARTHUR	CALVERT GLOBAL WATER FUND CLASS A	0.00	4.25	0.00	4.25	85.0	3.61
	ACCOUNT #: LSR012574	BUY 1 Units @0.0000						
05/14/2018	***-***-41IR - ROHMAN, ARTHUR	CALVERT GLOBAL WATER FUND CLASS A	0.00	4.28	0.00	4.28	85.0	3.64
	ACCOUNT #: LSR012575	BUY 1 Units @0.0000						
05/14/2018	***-***-745I - EKHOF, NOHORA	CALVERT GLOBAL WATER FUND CLASS A	0.00	1.49	0.00	1.49	85.0	1.27
	ACCOUNT #: LSR012612	BUY 1 Units @0.0000						
05/14/2018	***-***-197K - EKHOF, JOHN	INVESCO VAN KAMPEN MID CAP GROWTH A	0.00	2.57	0.00	2.57	85.0	2.18
	ACCOUNT #: LSR012603	BUY 1 Units @0.0000						
05/14/2018	***-***-902I - WURMNEST, DIANE	MFS UTILITIES FUND-A	0.00	5.23	0.00	5.23	85.0	4.45
	ACCOUNT #: LSR012517	BUY 1 Units @0.0000						
05/14/2018	***-***-447I - HEINZ-OTTE,	RYDEX DOW 2X STRATEGY CL A	0.00	6.96	0.00	6.96	85.0	5.92
	ACCOUNT #: LSR012465	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$60.90	\$0.00	\$60.90		\$51.77
*** BROKER TRANSACTIONS TOTAL ***			\$350,364.55	\$5,767.68	\$739.44	\$5,028.24		\$4,263.14



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BROKER COMMISSION TOTALS								
						Broker Transactions		\$4,263.14
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$4,263.14
						Year-to-date Earnings		\$64,466.31