

COMMISSION STATEMENT

February 28, 2018

CHARLES WINNICK

970 PARK AVE

NEW YORK, NY 10028

BROKER #: 11

BRANCH: HOME OFFICE

COMMISSION STATEMENT for CHARLES WINNICK

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
02/09/2018	75501342 WINNICK, HILLARY	CALLOWAYS NURSERY INC	B	19,550.00	20.00	0.00	20.00	55.0	0.00
02/09/2018	75501345 WINNICK, JOELY	CALLOWAYS NURSERY INC	B	19,550.00	20.00	0.00	20.00	55.0	0.00
02/07/2018	QGN005167 F/A/O EVENTIDE	PALO ALTO NETWORKS INC COM	B	2,188,286.80	280.00	0.00	280.00	55.0	154.00
02/07/2018	QGV006075 PARTNERS, F/A/O:	MYRIAD GENETICS INC	S	-50,550.00	60.00	0.00	60.00	55.0	33.00
02/14/2018	QGV006075 PARTNERS, F/A/O:	STONEMOR PARTNERS L P COMMON UNIT R B		14,997.00	75.00	0.00	75.00	55.0	41.25
02/22/2018	QGV006075 PARTNERS, F/A/O:	READING INTL INC CL A	B	82,241.00	200.00	0.00	200.00	55.0	110.00
01/30/2018	QGV006430 JPMORGAN CLEARING	ISTAR INC PFD SER I DIV-7.5% DIV FR S		-126,265.66	252.50	0.00	252.50	55.0	138.88
01/31/2018	QGV006430 JPMORGAN CLEARING	ISTAR INC PFD SER I DIV-7.5% DIV FR S		-37,500.00	75.00	0.00	75.00	55.0	41.25
02/01/2018	QGV006430 JPMORGAN CLEARING	ISTAR INC PFD SER I DIV-7.5% DIV FR S		-88,751.07	177.50	0.00	177.50	55.0	97.63
02/12/2018	QGV006430 JPMORGAN CLEARING	PRICE T ROWE GROUP INC	B	1,052,315.00	500.00	0.00	500.00	55.0	275.00
01/30/2018	QGV006448 MORGAN STANLEY	ISTAR INC PFD SER I DIV-7.5% DIV FR S		-126,265.66	252.50	0.00	252.50	55.0	138.88
01/31/2018	QGV006448 MORGAN STANLEY	ISTAR INC PFD SER I DIV-7.5% DIV FR S		-37,500.00	75.00	0.00	75.00	55.0	41.25
02/01/2018	QGV006448 MORGAN STANLEY	ISTAR INC PFD SER I DIV-7.5% DIV FR S		-88,751.07	177.50	0.00	177.50	55.0	97.63
02/12/2018	QGV006448 MORGAN STANLEY	PRICE T ROWE GROUP INC	B	1,052,315.00	500.00	0.00	500.00	55.0	275.00
02/08/2018	QGW031106 TIMYAN, PHILIP	TOUCHMARK BANCSHARES INC	S	-8,063.04	55.00	0.00	55.00	55.0	30.25
02/26/2018	QGW031106 TIMYAN, PHILIP	CMUV BANCORP COM	B	25,125.00	51.00	0.00	51.00	55.0	28.05
02/26/2018	QGW031106 TIMYAN, PHILIP	HAMILTON BANCORP INC COMMON STOCK	B	29,600.00	51.00	0.00	51.00	55.0	28.05
02/06/2018	QGW031130 KOPIN, BENJAMIN	ASSOCIATED BANC CORP COM	S	-10,254.60	55.00	0.00	55.00	55.0	30.25
* STOCKS SUBTOTAL *				\$3,910,078.70	\$2,877.00	\$0.00	\$2,877.00		\$1,560.37
<u>PRODUCT CATEGORY: OPTIONS</u>									
02/08/2018	QGW034852 HOLMES, MEGAN	PUT 100 ULTRA PETROLEUM CORP REGIST S		-8,500.00	300.00	0.00	300.00	55.0	165.00
02/14/2018	QGW034852 HOLMES, MEGAN	CALL 100 OVERSTOCK COM INC DEL COM S		-2,050.00	51.00	0.00	51.00	55.0	28.05
02/23/2018	QGW034852 HOLMES, MEGAN	CALL 100 OVERSTOCK COM INC DEL COM S		-2,500.00	65.00	0.00	65.00	55.0	35.75
* OPTIONS SUBTOTAL *				\$-13,050.00	\$416.00	\$0.00	\$416.00		\$228.80
<u>PRODUCT CATEGORY: MISCELLANEOUS</u>									
02/28/2018	VARIOUS, WINNICK	STEADFAST	B	0.00	2,500.00	0.00	2,500.00	55.0	1,375.00
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$2,500.00	\$0.00	\$2,500.00		\$1,375.00
*** BROKER TRANSACTIONS TOTAL ***				\$3,897,028.70	\$5,793.00	\$0.00	\$5,793.00		\$3,164.17

BROKER COMMISSION TOTALS

Broker Transactions	\$3,164.17
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-4.12
SIPC Assessment	\$-8.23

Check Amount	\$3,151.82
Year-to-date Earnings	\$13,222.78