

STATEMENT

July 20, 2018

STEVE DAVENPORT
204-B E Washington St
SUITE 620
Glasgow, KY 42141

BROKER #: 051
BRANCH:

COMMISSION STATEMENT for STEVE DAVENPORT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: STOCKS</u>									
06/01/2018 #	DAVENPORT, JAMES	FACEBOOK INC	187,500.00	50.00	90.0	0.00	45.00	18.00	27.00
06/01/2018 #	UST, THE PERKINS FAM	FACEBOOK INC	52,500.00	50.00	90.0	0.00	45.00	18.00	27.00
06/01/2018 #	UST, THE PERKINS FAM	MICRON TECHNOLOGY INC	29,244.00	50.00	90.0	0.00	45.00	18.00	27.00
06/04/2018 #	UST, THE PERKINS FAM	APPLE INC	57,569.97	50.00	90.0	0.00	45.00	18.00	27.00
06/06/2018 #	UST, THE PERKINS FAM	EXXON MOBIL CORP	40,846.50	50.00	90.0	0.00	45.00	18.00	27.00
06/08/2018 #	DAVENPORT, JAMES	FACEBOOK INC	189,000.00	50.00	90.0	0.00	45.00	18.00	27.00
06/11/2018 #	UST, THE PERKINS FAM	MICRON TECHNOLOGY INC	30,602.81	50.00	90.0	0.00	45.00	18.00	27.00
06/15/2018 #	DAVENPORT, JAMES	NETFLIX COM INC	110,250.00	50.00	90.0	0.00	45.00	18.00	27.00
06/15/2018 #	DAVENPORT, JAMES	NETFLIX COM INC	77,500.00	50.00	90.0	0.00	45.00	18.00	27.00
06/15/2018 #	UST, THE PERKINS FAM	PAYPAL HOLDINGS INC COM	120,000.00	400.00	90.0	0.00	360.00	18.00	342.00
06/18/2018 #	DAVENPORT, JAMES	NETFLIX COM INC	195,500.00	50.00	90.0	0.00	45.00	18.00	27.00
06/20/2018 #	DAVENPORT, JAMES	NETFLIX COM INC	83,098.00	50.00	90.0	0.00	45.00	18.00	27.00
06/20/2018 #	UST, THE PERKINS FAM	PAYPAL HOLDINGS INC COM	42,430.00	50.00	90.0	0.00	45.00	18.00	27.00
06/21/2018 #	UST, THE PERKINS FAM	MICRON TECHNOLOGY INC	30,765.00	50.00	90.0	0.00	45.00	18.00	27.00
06/22/2018 #	DAVENPORT, JAMES	GOLDMAN SACHS GROUP INC	69,000.00	50.00	90.0	0.00	45.00	18.00	27.00
06/22/2018 #	DAVENPORT, JAMES	NETFLIX COM INC	83,000.00	50.00	90.0	0.00	45.00	18.00	27.00
06/25/2018 #	BRUTON, WENDELL	BLUEBIRD BIO INC	48,112.50	50.00	90.0	0.00	45.00	18.00	27.00
06/25/2018 #	BRUTON, WENDELL	BOEING CO	99,317.82	50.00	90.0	0.00	45.00	18.00	27.00
06/25/2018 #	DAVENPORT, JAMES	GOLDMAN SACHS GROUP INC	66,750.00	50.00	90.0	0.00	45.00	18.00	27.00
06/25/2018 #	BRUTON, WENDELL	NVIDIA CORP	71,626.98	50.00	90.0	0.00	45.00	18.00	27.00
06/26/2018 #	BRUTON, WENDELL	CRACKER BARREL OLD COUNTRY STORE IN	50,077.74	50.00	90.0	0.00	45.00	18.00	27.00
06/27/2018 #	BRUTON, WENDELL	BOEING CO	101,800.50	50.00	90.0	0.00	45.00	18.00	27.00
06/27/2018 #	BRUTON, WENDELL	BOEING CO	99,260.76	50.00	90.0	0.00	45.00	18.00	27.00
* STOCKS SUBTOTAL *			\$1,935,752.58	\$1,500.00		\$0.00	\$1,350.00	\$414.00	\$936.00

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
06/12/2018 #	TURNER, CHARLES	GENWORTH FINANCIAL INC NT	19,477.50	420.00	90.0	0.00	378.00	25.00	353.00
06/12/2018 #	CAUDEL, CHARLES	GENWORTH FINANCIAL INC NT	20,405.00	440.00	90.0	0.00	396.00	25.00	371.00
06/12/2018 #	TURNER, CHARLES	GENWORTH FINL INC SR NT	21,577.50	210.00	90.0	0.00	189.00	25.00	164.00
06/12/2018 #	CAUDEL, CHARLES	GENWORTH FINL INC SR NT	22,605.00	220.00	90.0	0.00	198.00	25.00	173.00
* BONDS SUBTOTAL *			\$84,065.00	\$1,290.00		\$0.00	\$1,161.00	\$100.00	\$1,061.00
<u>PRODUCT CATEGORY: OPTIONS</u>									
05/31/2018 #	DAVENPORT, JAMES	CALL FB 06/01/18 175	1,235.00	50.00	90.0	0.00	45.00	24.25	20.75
05/31/2018 #	DAVENPORT, JAMES	PUT FB 06/01/18 187.50	400.00	50.00	90.0	0.00	45.00	30.50	14.50
06/04/2018 #	DAVENPORT, JAMES	CALL FB 06/08/18 190	600.00	50.00	90.0	0.00	45.00	30.50	14.50
06/04/2018 #	DAVENPORT, JAMES	CALL NFLX 06/08/18 360	1,500.00	50.00	90.0	0.00	45.00	21.75	23.25
06/05/2018 #	DAVENPORT, JAMES	CALL NFLX 06/08/18 367.50	1,079.00	50.00	90.0	0.00	45.00	21.00	24.00
06/06/2018 #	UST, THE PERKINS FAM	CALL MU 06/15/18 60.50	575.00	50.00	90.0	0.00	45.00	24.25	20.75
06/06/2018 #	UST, THE PERKINS FAM	CALL VHC 12/22/12 32	579.00	50.00	90.0	0.00	45.00	21.75	23.25
06/06/2018 #	UST, THE PERKINS FAM	CALL WDC 06/22/18 88	645.00	50.00	90.0	0.00	45.00	24.25	20.75
06/06/2018 #	DAVENPORT, JAMES	PUT NFLX 06/08/18 357.50	500.00	50.00	90.0	0.00	45.00	24.25	20.75
06/08/2018 #	DAVENPORT, JAMES	CALL NFLX 06/08/18 360	120.00	50.00	90.0	0.00	45.00	21.75	23.25
06/11/2018 #	DAVENPORT, JAMES	CALL TSLA 06/15/18 325	2,412.00	50.00	90.0	0.00	45.00	21.75	23.25
06/11/2018 #	DAVENPORT, JAMES	PUT CELG 06/15/18 78	880.00	50.00	90.0	0.00	45.00	30.50	14.50
06/11/2018 #	DAVENPORT, JAMES	PUT PM 06/15/18 80	970.00	50.00	90.0	0.00	45.00	30.50	14.50
06/12/2018 #	SIMPSON, TOMMY	CALL VHC 12/22/12 32	18,000.00	18.00	90.0	0.00	16.20	24.25	-8.05
06/12/2018 #	SIMPSON, TOMMY	PUT TSLA 06/15/18 250	25.00	18.00	90.0	0.00	16.20	24.25	-8.05
06/12/2018 #	DAVENPORT, JAMES	PUT TSLA 06/15/18 325	624.00	50.00	90.0	0.00	45.00	21.75	23.25
06/13/2018 #	DAVENPORT, JAMES	CALL NFLX 06/15/18 367.50	1,200.00	50.00	90.0	0.00	45.00	21.75	23.25
06/14/2018 #	DAVENPORT, JAMES	CALL NFLX 06/15/18 387.50	952.00	50.00	90.0	0.00	45.00	21.00	24.00
06/14/2018 #	DAVENPORT, JAMES	PUT NFLX 06/15/18 375	324.00	50.00	90.0	0.00	45.00	21.75	23.25
06/14/2018 #	DAVENPORT, JAMES	PUT TSLA 06/15/18 325	75.00	50.00	90.0	0.00	45.00	21.75	23.25
06/14/2018 #	DAVENPORT, JAMES	PUT TSLA 06/15/18 340	600.00	50.00	90.0	0.00	45.00	21.75	23.25
06/15/2018 #	UST, THE PERKINS FAM	CALL FB 06/15/18 180	4,701.00	50.00	90.0	0.00	45.00	21.75	23.25
06/15/2018 #	DAVENPORT, JAMES	CALL TSLA 06/15/18 325	9,000.00	50.00	90.0	0.00	45.00	21.75	23.25
06/18/2018 #	UST, THE PERKINS FAM	CALL MU 06/22/18 61	1,240.00	50.00	90.0	0.00	45.00	30.50	14.50
06/18/2018 #	UST, THE PERKINS FAM	CALL ULTA 07/20/18 255	1,332.00	50.00	90.0	0.00	45.00	21.75	23.25
06/18/2018 #	DAVENPORT, JAMES	PUT CELG 06/22/18 77.50	1,030.00	50.00	90.0	0.00	45.00	30.50	14.50
06/18/2018 #	DAVENPORT, JAMES	PUT X 06/22/18 36	1,050.00	50.00	90.0	0.00	45.00	36.75	8.25
06/19/2018 #	DAVENPORT, JAMES	PUT GS 06/22/18 230	975.00	50.00	90.0	0.00	45.00	21.75	23.25
06/21/2018 #	DAVENPORT, JAMES	CALL GS 06/22/18 227.50	240.00	50.00	90.0	0.00	45.00	21.75	23.25

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
06/21/2018	# DAVENPORT, JAMES	CALL X 06/22/18 36	240.00	50.00	90.0	0.00	45.00	36.75	8.25
06/21/2018	# DAVENPORT, JAMES	PUT NFLX 06/22/18 415	600.00	50.00	90.0	0.00	45.00	21.00	24.00
06/22/2018	# DAVENPORT, JAMES	CALL GS 06/22/18 227.50	150.00	50.00	90.0	0.00	45.00	21.75	23.25
06/22/2018	# DAVENPORT, JAMES	CALL X 06/22/18 36	1,500.00	50.00	90.0	0.00	45.00	36.75	8.25
06/26/2018	# DAVENPORT, JAMES	PUT CELG 06/29/18 78.50	650.00	50.00	90.0	0.00	45.00	30.50	14.50
06/26/2018	# DAVENPORT, JAMES	PUT JNJ 06/29/18 122	1,000.00	50.00	90.0	0.00	45.00	30.50	14.50
06/26/2018	# DAVENPORT, JAMES	PUT X 06/29/18 34	1,275.00	50.00	90.0	0.00	45.00	36.75	8.25
06/28/2018	# DAVENPORT, JAMES	PUT CELG 06/29/18 77	810.00	50.00	90.0	0.00	45.00	30.50	14.50
* OPTIONS SUBTOTAL *			\$59,088.00	\$1,786.00		\$0.00	\$1,607.40	\$956.25	\$651.15
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
06/01/2018	#00952792958 MERCER, DAVID	CAPITAL INCOME BUILDER FD CL A	0.00	8.47	90.0	0.00	7.62	0.00	7.62
06/01/2018	#00977327337 MERCER, DAVID	CAPITAL WORLD GROWTH & INCOME FUND	0.00	5.74	90.0	0.00	5.17	0.00	5.17
06/01/2018	#00085657915 CHILDRESS,	GROWTH FUND AMERICA INC CLASS A	0.00	0.67	90.0	0.00	0.60	0.00	0.60
06/01/2018	#00058670847 MERCER, SHERRY	GROWTH FUND AMERICA INC CLASS A	0.00	4.06	90.0	0.00	3.65	0.00	3.65
06/01/2018	#00058670848 MERCER, SHERRY	GROWTH FUND AMERICA INC CLASS A	0.00	39.45	90.0	0.00	35.51	0.00	35.51
06/01/2018	#00067060664 MERCER, SHERRY	GROWTH FUND AMERICA INC CLASS A	0.00	11.54	90.0	0.00	10.39	0.00	10.39
06/01/2018	#00067060665 MERCER, DAVID	GROWTH FUND AMERICA INC CLASS A	0.00	11.54	90.0	0.00	10.39	0.00	10.39
06/01/2018	#00935794930 MERCER, DAVID	GROWTH FUND AMERICA INC CLASS A	0.00	29.40	90.0	0.00	26.46	0.00	26.46
06/01/2018	#00935797570 MERCER, DAVID	GROWTH FUND AMERICA INC CLASS A	0.00	0.19	90.0	0.00	0.17	0.00	0.17
06/11/2018	# ANDERSON, JOHN	DAIN TRAILER	0.00	5.76	90.0	0.00	5.18	0.00	5.18
06/11/2018	# ANDERSON, JOHN	DAIN TRAILER	0.00	5.18	90.0	0.00	4.66	0.00	4.66
06/11/2018	# ANDERSON, RUTH	DAIN TRAILER	0.00	6.99	90.0	0.00	6.29	0.00	6.29
06/11/2018	# ANDERSON, RUTH	DAIN TRAILER	0.00	6.28	90.0	0.00	5.65	0.00	5.65
06/11/2018	# ANDERSON, RUTH	DAIN TRAILER	0.00	8.93	90.0	0.00	8.04	0.00	8.04
06/11/2018	# ANDERSON, JOHN	DAIN TRAILER	0.00	2.75	90.0	0.00	2.48	0.00	2.48
06/11/2018	# ANDERSON, JOHN	DAIN TRAILER	0.00	3.66	90.0	0.00	3.29	0.00	3.29
06/11/2018	# ANDERSON, JOHN	DAIN TRAILER	0.00	4.49	90.0	0.00	4.04	0.00	4.04
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$155.10		\$0.00	\$139.59	\$0.00	\$139.59
*** BROKER TRANSACTIONS TOTAL ***			\$2,078,905.58	\$4,731.10		\$0.00	\$4,257.99	\$1,470.25	\$2,787.74

COMMISSION STATEMENT for STEVE DAVENPORT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
ADJUSTMENTS									
07/20/2018		ADMIN FEE: ADMIN FEE							-320.00
07/20/2018		E & O INSURANCE: E & O INSURANCE							-179.00
07/20/2018		QUOTES: QUOTES							-132.00
07/20/2018		DEMNL:MANUAL PROCESS FEE (LPFS): MANUAL PROCESS FEE							-25.00
07/20/2018		DEMNL:MANUAL PROCESS FEE (LPFS): MANUAL PROCESS FEE							-25.00
*** ADJUSTMENTS TOTAL ***									\$-681.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,787.74
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-681.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,106.74
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