



All Companies

06/14/2018
Page No. 1

COMMISSION STATEMENT June 15, 2018

JUDE RAMON L. BARCENAS
3201 WAREHAM CIRCLE

BROKER #: HOUSE Terminated 11/17/2013
BRANCH: HOME OFFICE

Richardson, TX 75082-

COMMISSION STATEMENT for JUDE RAMON L. BARCENAS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/31/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	163.20	163.20	0.00	163.20	60.0	97.92
06/05/2018	0000000000011193 CABRERA, IVY/CHARLES	-FRANKLIN TEMPLETON	B	200.00	2.00	0.00	2.00	60.0	1.20
05/21/2018	0000000000894129 BUSA, JOSEPHINE JANE	FRANKLIN VALUE FD CL II	B	50.00	0.50	0.00	0.50	60.0	0.30
05/21/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	40.00	1.50	0.00	1.50	60.0	0.90
05/21/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS CAPITAL WORLD BD FD	B	40.00	1.20	0.00	1.20	60.0	0.72
05/21/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS HIGH INCOME TR FD	B	40.00	1.20	0.00	1.20	60.0	0.72
05/21/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS INCOME FD OF AMERI	B	40.00	1.50	0.00	1.50	60.0	0.90
05/21/2018	00075984574 GARCIA, RODOLFO C.	AMERICAN FUNDS NEW ECONOMY FD	B	40.00	1.50	0.00	1.50	60.0	0.90
05/21/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	40.00	1.50	0.00	1.50	60.0	0.90
05/21/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS CAPITAL WORLD BD FD	B	40.00	1.20	0.00	1.20	60.0	0.72
05/21/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS HIGH INCOME TR FD	B	40.00	1.20	0.00	1.20	60.0	0.72
05/21/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS INCOME FD OF AMERI	B	40.00	1.50	0.00	1.50	60.0	0.90
05/21/2018	00075984620 GARCIA, MARIVIC D.	AMERICAN FUNDS NEW ECONOMY FD	B	40.00	1.50	0.00	1.50	60.0	0.90
06/04/2018	00086483831 KIM, ANDREW	AMERICAN BALANCED FUND-529A	B	50.00	1.88	0.00	1.88	60.0	1.13
06/04/2018	00086483831 KIM, ANDREW	AMERICAN FUNDS - GENERAL	B	50.00	1.50	0.00	1.50	60.0	0.90
06/04/2018	00086483831 KIM, ANDREW	AMERICAN MUTUAL FUND-529A	B	50.00	1.88	0.00	1.88	60.0	1.13
06/04/2018	00086483831 KIM, ANDREW	NEW PERSPECTIVE FUND-529A	B	50.00	1.88	0.00	1.88	60.0	1.13
06/04/2018	00086483831 KIM, ANDREW	THE NEW ECONOMY FUND-529A	B	50.00	1.88	0.00	1.88	60.0	1.13
06/04/2018	00086483875 KIM, ANDREW	AMERICAN BALANCED FUND-529A	B	50.00	1.88	0.00	1.88	60.0	1.13
06/04/2018	00086483875 KIM, ANDREW	AMERICAN FUNDS - GENERAL	B	50.00	1.50	0.00	1.50	60.0	0.90
06/04/2018	00086483875 KIM, ANDREW	AMERICAN MUTUAL FUND-529A	B	50.00	1.88	0.00	1.88	60.0	1.13
06/04/2018	00086483875 KIM, ANDREW	NEW PERSPECTIVE FUND-529A	B	50.00	1.88	0.00	1.88	60.0	1.13
06/04/2018	00086483875 KIM, ANDREW	THE NEW ECONOMY FUND-529A	B	50.00	1.88	0.00	1.88	60.0	1.13
05/22/2018	00089156141 KYSER, DICK/MARGIE PUTNAM	NEW OPPORTUNITIES FD CL A	B	220.00	11.00	0.00	11.00	60.0	6.60
* MUTUAL FUNDS SUBTOTAL *				\$1,533.20	\$208.54	\$0.00	\$208.54		\$125.14

COMMISSION STATEMENT for JUDE RAMON L. BARCENAS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

BROKER TRANSACTIONS

PRODUCT CATEGORY: VARIABLE ANNUITIES

05/28/2018	TRAILS	-HARTFORD LIFE	B	49.87	49.87	0.00	49.87	60.0	29.92
05/24/2018	DEVEZA, MICHAEL NORMAN	-PACIFIC LIFE INSURANCE	B	29.25	0.88	0.00	0.88	60.0	0.53
05/24/2018	DEVEZA, NOEMLINA ONG	-PACIFIC LIFE INSURANCE	B	26.92	0.81	0.00	0.81	60.0	0.49
05/21/2018	GATMAITAN, ANASTACIA H.	LINCOLN BENEFIT LIFE	B	43.97	2.64	0.00	2.64	60.0	1.58
04/30/2018	TRAILS	SECURITY LIFE OF DENVER	B	4.58	4.58	0.00	4.58	60.0	2.75
05/31/2018	TRAILS	SECURITY LIFE OF DENVER	B	4.66	4.66	0.00	4.66	60.0	2.80
* VARIABLE ANNUITIES SUBTOTAL *				\$159.25	\$63.44	\$0.00	\$63.44		\$38.07

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/15/2018	TRAIL COMMISSIONS	AMERICAN FUNDS GROUP FEES		0.00	1,254.39	0.00	1,254.39	60.0	752.64
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,254.39	\$0.00	\$1,254.39		\$752.64
*** BROKER TRANSACTIONS TOTAL ***				\$1,692.45	\$1,526.37	\$0.00	\$1,526.37		\$915.85

BROKER COMMISSION TOTALS

Broker Transactions	\$915.85
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$915.85
Year-to-date Earnings	\$7,838.21