



COMMISSION STATEMENT

January 29, 2018

JAMES LOMBARDO
231 Hill Road
Rome, NY 13441

BROKER #: A08
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/12/2018	***-**-5506 - LOMBARDO, LEIGH	INVESTCO AMERICAN FRANCHISE FUND -CL	300.00	12.00	0.00	12.00	80.0	9.60
	ACCOUNT #: 03809219276	BUY 14 Units @21.9000						
01/16/2018	***-**-6195 - BACKUS, THOMAS	INVESTCO AMERICAN FRANCHISE FUND -CL	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 03908954377	BUY 2 Units @22.0200						
01/16/2018	***-**-7177 - RUNICE, MARGARET	PUTNAM EQUITY INCOME FUND-A	6,500.00	243.75	0.00	243.75	80.0	195.00
	ACCOUNT #: 00266380833	BUY 245 Units @26.5400						
01/16/2018	***-**-4379 - MATICIC, JOSEPH	PUTNAM EQUITY INCOME FUND-A	50.00	2.50	0.00	2.50	80.0	2.00
	ACCOUNT #: 00461190200	BUY 2 Units @26.9000						
01/12/2018	***-**-5506 - LOMBARDO, LEIGH	VAN KAMPEN3	100.00	4.00	0.00	4.00	80.0	3.20
	ACCOUNT #: 03809219276	BUY 13 Units @7.7100						
* MUTUAL FUNDS SUBTOTAL *			\$7,000.00	\$264.75	\$0.00	\$264.75		\$211.80
*** BROKER TRANSACTIONS TOTAL ***			\$7,000.00	\$264.75	\$0.00	\$264.75		\$211.80

BROKER COMMISSION TOTALS

Broker Transactions	\$211.80
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$211.80
Year-to-date Earnings	\$747.66