

## COMMISSION STATEMENT

August 31, 2017

WILLIAM BEHRENS

28 DELL STREET

SLEEPY HOLLOW, NY 10591

BROKER #: 73

BRANCH: HOME OFFICE

## COMMISSION STATEMENT for WILLIAM BEHRENS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
BROKER TRANSACTIONS											
PRODUCT CATEGORY: STOCKS											
08/10/2017	75501355	BEHRENS, WILLIAM		HOUSTON AMERICAN ENERGY CORP	B	1,375.00	35.00	0.00	35.00	70.0	0.00
08/25/2017	75501355	BEHRENS, WILLIAM		HOUSTON AMERICAN ENERGY CORP	B	1,352.76	25.00	0.00	25.00	70.0	0.00
07/27/2017	75501360	BEHRENS, MICHAEL		AT&T INC	B	57,390.00	150.00	0.00	150.00	70.0	105.00
08/01/2017	75501360	BEHRENS, MICHAEL		NUVEEN SHORT DURATION CREDIT OPPORT S		26,660.40	100.00	0.00	100.00	70.0	70.00
08/07/2017	75501360	BEHRENS, MICHAEL		NUVEEN SHORT DURATION CREDIT OPPORT S		26,646.45	75.00	0.00	75.00	70.0	52.50
08/08/2017	75501360	BEHRENS, MICHAEL		NUVEEN SHORT DURATION CREDIT OPPORT S		26,685.33	75.00	0.00	75.00	70.0	52.50
08/14/2017	75501360	BEHRENS, MICHAEL		NUVEEN SHORT DURATION CREDIT OPPORT S		26,532.15	75.00	0.00	75.00	70.0	52.50
08/15/2017	75501360	BEHRENS, MICHAEL		PROGRESS SOFTWARE CORPORATION	B	32,833.80	100.00	0.00	100.00	70.0	70.00
08/25/2017	75501360	BEHRENS, MICHAEL		TRANSOCEAN LTD US LISTED	B	7,929.10	75.00	0.00	75.00	70.0	52.50
08/17/2017	75501361	BEHRENS, MICHAEL		FIRST INTERNET BANCORP COM	B	9,228.99	75.00	0.00	75.00	70.0	52.50
08/01/2017	75501378	BANDLER, LIONEL		XYLEM INC COM	S	59,586.40	55.00	0.00	55.00	70.0	38.50
08/15/2017	75501394	ALBERT E HAVERKAMP		MICRON SOLUTIONS INC	S	3,717.10	60.00	0.00	60.00	70.0	42.00
08/24/2017	75501394	ALBERT E HAVERKAMP		QUALCOMM INC	S	39,264.75	75.00	0.00	75.00	70.0	52.50
08/25/2017	75501394	ALBERT E HAVERKAMP		WEYERHAEUSER CO	S	15,865.75	60.00	0.00	60.00	70.0	42.00
* STOCKS SUBTOTAL *						\$335,067.98	\$1,035.00	\$0.00	\$1,035.00		\$682.50
PRODUCT CATEGORY: BONDS											
08/02/2017	75501360	BEHRENS, MICHAEL		UNITED STATES STEEL CORP SENIOR NOT B		102,000.00	1,000.00	0.00	1,000.00	70.0	700.00
08/28/2017	75501433	PINSKY, IRWIN		UNITED STATES TREASURY BILL REISSUE B		178,985.35	25.00	0.00	25.00	70.0	0.00
* BONDS SUBTOTAL *						\$280,985.35	\$1,025.00	\$0.00	\$1,025.00		\$700.00
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
08/09/2017	75501360	BEHRENS, MICHAEL		LORD ABBETT SHORT DURATION INCOME F B		0.00	119.62	0.00	119.62	70.0	83.73
08/09/2017	75501360	BEHRENS, MICHAEL		LORD ABBETT MULTI ASSET INCOME FUND B		0.00	21.09	0.00	21.09	70.0	14.76
08/09/2017	75501394	ALBERT E HAVERKAMP		LORD ABBETT CALIFORNIA TAX FREE INC B		0.00	27.94	0.00	27.94	70.0	19.56
08/09/2017	75501466	CARTOZIAN, JANET		LOOMIS SAYLES STRTEGC INC FD CL C	B	0.00	3.81	0.00	3.81	70.0	2.67
08/25/2017	75501535	SCARPELLI, CONNIE		**VICTORY PORTFOLIOS MUNDER	B	0.00	0.44	0.00	0.44	70.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$172.90	\$0.00	\$172.90		\$120.72
*** BROKER TRANSACTIONS TOTAL ***						\$616,053.33	\$2,232.90	\$0.00	\$2,232.90		\$1,503.22

**BROKER COMMISSION TOTALS**

Broker Transactions	\$1,503.22
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-2.79
SIPC Assessment	\$-5.58

Check Amount	\$1,494.85
Year-to-date Earnings	\$4,421.43