

**COMMISSION STATEMENT****January 31, 2018**

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU
 11617 Coldwater Road
 Suite 103
 Fort Wayne, IN 46845

BROKER #: L131
BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: INTERVAL FUNDS</u>								
^01/19/2018	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	52,983.02	45.00	0.00	45.00	90.0	0.00
		* INTERVAL FUNDS SUBTOTAL *	\$52,983.02	\$45.00	\$0.00	\$45.00		\$0.00
<u>PRODUCT CATEGORY: 529 PLANS</u>								
^ / /	SHERWOOD, JULIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.63	0.00	1.63	90.0	0.00
^01/11/2018	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	25.00	0.81	0.00	0.81	90.0	0.00
^01/12/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.50	0.00	0.50	90.0	0.00
^01/12/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.50	0.00	0.50	90.0	0.00
^01/12/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	1.19	0.00	1.19	90.0	0.00
		* 529 PLANS SUBTOTAL *	\$150.00	\$4.63	\$0.00	\$4.63		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
^01/16/2018	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	90.0	0.00
^01/17/2018	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	25.00	0.00	25.00	90.0	0.00
^01/16/2018	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	200.00	10.00	0.00	10.00	90.0	0.00
^01/17/2018	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	500.00	25.00	0.00	25.00	90.0	0.00
^01/12/2018	SHERWOOD, JULIE	ASCENSUS INA 2019 ENROLLMENT PORT C	50.00	2.38	0.00	2.38	90.0	0.00
^01/12/2018	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.39	0.00	0.39	90.0	0.00
^01/12/2018	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.27	0.00	0.27	90.0	0.00
^01/12/2018	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	1.83	0.00	1.83	90.0	0.00
^01/12/2018	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
^01/12/2018	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$1,455.00	\$72.25	\$0.00	\$72.25		\$0.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^01/24/2018	FCCU, VARIUS	AMERICAN FUNDS PERSHING	0.00	59.11	0.00	59.11	90.0	0.00
^ / /	LINN, ROSANNA	ASCENSUS 529-2034 ENROLLMENT PF CL	0.00	0.02	0.00	0.02	90.0	0.00
^ / /	SHERWOOD, JULIE	ASCENSUS INA 2019 ENROLLMENT PORT C	0.00	0.33	0.00	0.33	90.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^ / /	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	1.55	0.00	1.55	90.0	0.00
^ / /	MULLINS, JAMES	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.60	0.00	0.60	90.0	0.00
^ / /	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	1.50	0.00	1.50	90.0	0.00
^ / /	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	17.10	0.00	17.10	90.0	0.00
^ / /	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	12.87	0.00	12.87	90.0	0.00
^ / /	CRAWFORD, JOHN	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.03	0.00	0.03	90.0	0.00
^ / /	SHERWOOD, JULIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.51	0.00	0.51	90.0	0.00
^ / /	HORNER, DOUGLAS	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.04	0.00	0.04	90.0	0.00
^ / /	BAILEY, LAURIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	4.98	0.00	4.98	90.0	0.00
^ / /	BAILEY, LAURIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.77	0.00	0.77	90.0	0.00
^ / /	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	3.54	0.00	3.54	90.0	0.00
^ / /	HAMM, GAIL	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.33	0.00	0.33	90.0	0.00
^ / /	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	0.00	0.57	0.00	0.57	90.0	0.00
^ / /	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	4.63	0.00	4.63	90.0	0.00
^ / /	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.55	0.00	0.55	90.0	0.00
^ / /	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	1.31	0.00	1.31	90.0	0.00
^ / /	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	0.00	4.50	0.00	4.50	90.0	0.00
^ / /	LINN, ROSANNA	ASCENSUS INA TIPS PORTFOLIO CL A	0.00	0.80	0.00	0.80	90.0	0.00
^ / /	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.75	0.00	0.75	90.0	0.00
^ / /	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	1.10	0.00	1.10	90.0	0.00
^ / /	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	3.06	0.00	3.06	90.0	0.00
^ / /	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	1.81	0.00	1.81	90.0	0.00
^ / /	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	1.81	0.00	1.81	90.0	0.00
^ / /	WALLS, ELENA	INA 2025 ENROLLMENT PORTFOLIO CLASS	0.00	3.93	0.00	3.93	90.0	0.00
^ / /	WALLS, THOMAS	INA 2028 ENROLLMENT PORT CL A	0.00	2.19	0.00	2.19	90.0	0.00
^ / /	HEFFELFINGER, TAMMY	INA 2028 ENROLLMENT PORT CL A	0.00	0.11	0.00	0.11	90.0	0.00
^ / /	HABESHY, BARBARA	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.83	0.00	0.83	90.0	0.00
^ / /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	8.21	0.00	8.21	90.0	0.00
^ / /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	6.98	0.00	6.98	90.0	0.00
^ / /	PETERSON, BRYAN	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.40	0.00	0.40	90.0	0.00
^ / /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.25	0.00	0.25	90.0	0.00
^ / /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.60	0.00	0.60	90.0	0.00
^ / /	LINN, JASON	INA EMERGINING MARKETS EQUITY INDEX	0.00	0.04	0.00	0.04	90.0	0.00

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** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^ / /	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	0.00	2.61	0.00	2.61	90.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$150.32	\$0.00	\$150.32		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$54,588.02	\$272.20	\$0.00	\$272.20		\$0.00

SPLIT TRANSACTIONS**BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #10**

/ /	SHERWOOD, JULIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.49	0.00	0.49	90.0	0.44
01/11/2018	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	25.00	0.24	0.00	0.24	90.0	0.22
01/12/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.15	0.00	0.15	90.0	0.14
01/12/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	50.00	0.15	0.00	0.15	90.0	0.14
01/12/2018	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	25.00	0.36	0.00	0.36	90.0	0.32
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$150.00	\$1.39	\$0.00	\$1.39		\$1.26

BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209

01/16/2018	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.50	0.00	1.50	90.0	1.35
01/17/2018	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	500.00	7.50	0.00	7.50	90.0	6.75
01/16/2018	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	200.00	3.00	0.00	3.00	90.0	2.70
01/17/2018	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	500.00	7.50	0.00	7.50	90.0	6.75
01/24/2018	FCCU, VARIUS	AMERICAN FUNDS PERSHING	0.00	17.73	0.00	17.73	90.0	15.96
/ /	LINN, ROSANNA	ASCENSUS 529-2034 ENROLLMENT PF CL	0.00	0.01	0.00	0.01	90.0	0.01
/ /	SHERWOOD, JULIE	ASCENSUS INA 2019 ENROLLMENT PORT C	0.00	0.10	0.00	0.10	90.0	0.09
01/12/2018	SHERWOOD, JULIE	ASCENSUS INA 2019 ENROLLMENT PORT C	50.00	0.71	0.00	0.71	90.0	0.64
/ /	JOHNSON, ERIC	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.47	0.00	0.47	90.0	0.42
/ /	MULLINS, JAMES	ASCENSUS INA 2022 ENROLLMENT PTF A	0.00	0.18	0.00	0.18	90.0	0.16
/ /	HABESHY, BARBARA	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	0.45	0.00	0.45	90.0	0.41
/ /	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	5.13	0.00	5.13	90.0	4.62
/ /	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	0.00	3.86	0.00	3.86	90.0	3.47
/ /	CRAWFORD, JOHN	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.01	0.00	0.01	90.0	0.01
/ /	SHERWOOD, JULIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.15	0.00	0.15	90.0	0.14
/ /	HORNER, DOUGLAS	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.01	0.00	0.01	90.0	0.01
/ /	BAILEY, LAURIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.49	0.00	1.49	90.0	1.34
/ /	BAILEY, LAURIE	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.23	0.00	0.23	90.0	0.21
/ /	SITES, EDWARD	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	1.06	0.00	1.06	90.0	0.95

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209								
/ /	HAMM, GAIL	ASCENSUS INA COLLEGE PORTFOLIO CL A	0.00	0.10	0.00	0.10	90.0	0.09
/ /	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	0.00	0.17	0.00	0.17	90.0	0.15
01/12/2018	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.12	0.00	0.12	90.0	0.11
/ /	HABESHY, BARBARA	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	1.39	0.00	1.39	90.0	1.25
/ /	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.17	0.00	0.17	90.0	0.15
01/12/2018	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	8.25	0.08	0.00	0.08	90.0	0.07
/ /	PETERSON, BRYAN	ASCENSUS INA T ROWE PRICE LG CAP GR	0.00	0.39	0.00	0.39	90.0	0.35
/ /	HABESHY, BARBARA	ASCENSUS INA TIPS INDEX PORTFOLIO C	0.00	1.35	0.00	1.35	90.0	1.22
/ /	LINN, ROSANNA	ASCENSUS INA TIPS PORTFOLIO CL A	0.00	0.24	0.00	0.24	90.0	0.22
/ /	PETERSON, BRYAN	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.23	0.00	0.23	90.0	0.21
/ /	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	0.00	0.33	0.00	0.33	90.0	0.30
/ /	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.92	0.00	0.92	90.0	0.83
/ /	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.54	0.00	0.54	90.0	0.49
/ /	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	0.00	0.54	0.00	0.54	90.0	0.49
01/12/2018	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.55	0.00	0.55	90.0	0.50
01/12/2018	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
01/12/2018	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
01/19/2018	BURTNETTE, PATRICIA	BR TOTAL INC & REAL ESTATE FUND C	52,983.02	13.50	0.00	13.50	90.0	12.15
/ /	WALLS, ELENA	INA 2025 ENROLLMENT PORTFOLIO CLASS	0.00	1.18	0.00	1.18	90.0	1.06
/ /	WALLS, THOMAS	INA 2028 ENROLLMENT PORT CL A	0.00	0.66	0.00	0.66	90.0	0.59
/ /	HEFFELFINGER, TAMMY	INA 2028 ENROLLMENT PORT CL A	0.00	0.03	0.00	0.03	90.0	0.03
/ /	HABESHY, BARBARA	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.25	0.00	0.25	90.0	0.23
/ /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	2.46	0.00	2.46	90.0	2.21
/ /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	2.09	0.00	2.09	90.0	1.88
/ /	PETERSON, BRYAN	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.12	0.00	0.12	90.0	0.11
/ /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.08	0.00	0.08	90.0	0.07
/ /	EGLY, KEITH	INA BOSTON COMPANY DYNAMIC LARGE CA	0.00	0.18	0.00	0.18	90.0	0.16
/ /	LINN, JASON	INA EMERGINING MARKETS EQUITY INDEX	0.00	0.01	0.00	0.01	90.0	0.01
/ /	HABESHY, BARBARA	INA T ROWE PRICE LARGE CAP GR CLASS	0.00	0.78	0.00	0.78	90.0	0.70
* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *			\$54,438.02	\$80.27	\$0.00	\$80.27		\$72.26
*** SPLIT TRANSACTIONS TOTAL ***			\$54,588.02	\$81.66	\$0.00	\$81.66		\$73.52

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BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$73.52
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$66.10
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$139.62
Year-to-date Gross	\$155.10
Year-to-date Earnings	\$139.62