

**COMMISSION STATEMENT****February 15, 2018**

ROY, P123 PFLEGER II
 417 E. Main Street
 3rd Floor
 Port Jefferson, NY 11777

BROKER #: P123
 BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
01/19/2018	ABRAHAM, BLESSY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.42	0.00	1.42	75.0	1.07
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1.42	\$0.00	\$1.42		\$1.07
*** DIRECT TRANSACTIONS TOTAL ***			\$0.00	\$1.42	\$0.00	\$1.42		\$1.07

SPLIT TRANSACTIONS**BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254**

12/31/2017	PPJ LLC	JOHN HANCOCK LIFE INS CO	119,693.73	17.41	0.00	17.41	75.0	13.06
01/31/2018	PPJ LLC	JOHN HANCOCK LIFE INS CO	131,519.91	19.13	0.00	19.13	75.0	14.35
01/17/2018	FENELON, DICKENS	FA 529 PORT 2030 T	0.00	0.08	0.00	0.08	75.0	0.06
01/19/2018	MCCLARNON, BRENDAN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.22	0.00	0.22	75.0	0.17
01/19/2018	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.36	0.00	0.36	75.0	0.27
02/05/2018	DAVID, RUDOLPH	GRIFFIN INSTITUTIONAL ACCESS REAL E	-4,813.13	7.70	7.70	0.00	75.0	0.00
01/22/2018	LALAMA, MARY	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.62	0.00	0.62	75.0	0.47
01/22/2018	DAVID, RUDOLPH	JP MORGAN EQUITY INCOME FUND CLASS	0.00	0.40	0.00	0.40	75.0	0.30
01/22/2018	DAVID, RUDOLPH	JP MORGAN GROWTH ADV A	0.00	0.22	0.00	0.22	75.0	0.17
01/22/2018	CIMMINO, VICTORIA	JP MORGAN GROWTH ADV A	0.00	0.51	0.00	0.51	75.0	0.38
01/22/2018	KEYSER, LISA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	3.11	0.00	3.11	75.0	2.33
01/22/2018	PLUME, KRISTA	JP MORGAN INVESTOR GROWTH FUND CLAS	0.00	2.75	0.00	2.75	75.0	2.06
01/22/2018	DAVID, RUDOLPH	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	0.41	0.00	0.41	75.0	0.31
01/22/2018	DAVID, RUDOLPH	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.27	0.00	0.27	75.0	0.20
01/22/2018	CIMMINO, VICTORIA	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	0.22	0.00	0.22	75.0	0.17
01/16/2018	LALAMA, MARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	0.37	0.00	0.37	75.0	0.28
01/16/2018	KEYSER, LISA	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.66	0.00	1.66	75.0	1.25
01/19/2018	BARRY, ROBERT	THE GABELLI UTILITIES FUND CLASS A	0.00	0.75	0.00	0.75	75.0	0.56
02/06/2018	PFLEGER, CLIFFORD	VANGUARD ADMIRAL FDS INC S&P 500 GR	1,264.28	0.00	7.88	-7.88	75.0	-5.91

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K #381</u>								
		* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *	\$247,664.79	\$56.19	\$15.58	\$40.61		\$30.48
<u>BROKER: R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K #381</u>								
01/24/2018	RASMUSSEN, MELANIE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	0.63	0.00	0.63	75.0	0.47
01/16/2018	RASMUSSEN, MELANIE	MILLER CONVERTIBLE BOND FUND CLASS	0.00	1.76	0.00	1.76	75.0	1.32
		* R141-DAN/ROY/JEFF/JP FT-RAU/PFLEG/K SUBTOTAL *	\$0.00	\$2.39	\$0.00	\$2.39		\$1.79
		*** SPLIT TRANSACTIONS TOTAL ***	\$247,664.79	\$58.58	\$15.58	\$43.00		\$32.27

ADJUSTMENTS

02/15/2018		*EO INS: CURRENT MONTH	0.00					-105.00
02/15/2018		*FINRA: CA INDIVIDUAL REG. FEE	0.00					-25.00
02/15/2018		*PERSHING: ETF PROSPECTUS MAILING	0.00					-0.54
02/15/2018		*PERSHING: PERSHING MARKUPS	0.00					21.00
02/15/2018		*TECHNOLOGY: CURRENT MONTH	0.00					-96.25
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-205.79

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$1.07
Split Transactions	\$32.27
Override Transactions	\$0.00
Adjustments	\$-205.79
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$16.06
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-156.39
Year-to-date Gross	\$14,718.12
Year-to-date Earnings	\$11,026.96