

**COMMISSION STATEMENT**

July 31, 2018

 RICHARD DURAND-D020  
 9100 IH 10 West  
 Suite 210  
 San Antonio, TX 78230

 BROKER #: D020  
 BRANCH: DMN

**COMMISSION STATEMENT for RICHARD DURAND-D020**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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**CLEARING TRANSACTIONS**
**PRODUCT CATEGORY: MUTUAL FUNDS**

07/11/2018 368433447	SIMPSON, JILLIAN	MF	MFS GROWTH ALLOCATION FUND-A	S	8,012.45	0.00	5.00	-5.00	85.0	-4.25
07/03/2018 368435867	JONES, JIMMIE	MF	OPPENHEIMER STEELPATH MLP ALPHA	S	-14,646.56	0.00	5.00	-5.00	85.0	-4.25
07/11/2018 368491001	SIMPSON, DAVID	MF	MFS GROWTH ALLOCATION FUND-A	S	8,012.45	0.00	5.00	-5.00	85.0	-4.25
07/17/2018 646100327	RITCHIE, MATTHEW	MF	THE GROWTH FUND OF AMERICA-A	S	416.66	11.39	2.50	8.89	85.0	7.56
				<b>* MUTUAL FUNDS SUBTOTAL *</b>	<b>\$1,795.00</b>	<b>\$11.39</b>	<b>\$17.50</b>	<b>\$-6.11</b>		<b>\$-5.19</b>

**PRODUCT CATEGORY: STOCKS**

07/26/2018 123746900	AFT MINERALS LTD	S	DOCUSIGN INC COM	B	111,740.45	400.00	15.00	385.00	85.0	327.25
07/02/2018 152918272	BRANNIES, CATHERINE	S	CONOCOPHILLIPS	S	-102,996.21	200.00	15.00	185.00	85.0	157.25
07/25/2018 276073491	FERRO, JOSEPH	S	BITCOIN INVESTMENT TRUST SHS	B	6,047.45	75.00	15.00	60.00	85.0	51.00
07/12/2018 306712582	GUERRA, RICARDO	S	L BRANDS INC	B	3,297.95	60.00	15.00	45.00	85.0	38.25
07/18/2018 368262501	W HERNANDEZ, DR JOE	S	BRISTOL MYERS SQUIBB COMPANY	S	-3,068.27	50.00	15.00	35.00	85.0	29.75
07/18/2018 368262501	W HERNANDEZ, DR JOE	S	WALGREENS BOOTS ALLIANCE INC	S	-3,259.02	50.00	15.00	35.00	85.0	29.75
07/03/2018 368435867	JONES, JIMMIE	S	GENERAL ELECTRIC COMPANY	S	-9,276.62	130.00	15.00	115.00	85.0	97.75
07/03/2018 368435867	JONES, JIMMIE	S	ISHARES BARCLAYS TIPS BOND FUND	S	-8,445.69	120.00	15.00	105.00	85.0	89.25
07/03/2018 368435867	JONES, JIMMIE	S	SPDR GOLD TRUST GOLD SHS	S	-4,444.68	75.00	15.00	60.00	85.0	51.00
07/10/2018 400615403	CHASE, JP MORGAN	S	FORD MOTOR CO NEW	S	-22,223.11	200.00	15.00	185.00	85.0	157.25
07/20/2018 400615403	CHASE, JP MORGAN	S	GENERAL ELECTRIC COMPANY	S	-15,529.80	100.00	15.00	85.00	85.0	72.25
07/27/2018 400615403	CHASE, JP MORGAN	S	INTEL CORPORATION	B	23,894.50	100.00	15.00	85.00	85.0	72.25
07/10/2018 400617109	CHASE, JP MORGAN	S	FORD MOTOR CO NEW	S	-44,448.22	400.00	15.00	385.00	85.0	327.25
07/20/2018 400617109	CHASE, JP MORGAN	S	EDWARDS LIFESCIENCES CORP	B	91,584.40	100.00	15.00	85.00	85.0	72.25
07/20/2018 400617109	CHASE, JP MORGAN	S	GENERAL ELECTRIC COMPANY	S	182,207.63	-140.00	-15.00	-125.00	85.0	-106.25
07/20/2018 400617109	CHASE, JP MORGAN	S	GENERAL ELECTRIC COMPANY	S	-182,207.63	140.00	15.00	125.00	85.0	106.25
07/20/2018 400617109	CHASE, JP MORGAN	S	GENERAL ELECTRIC COMPANY	S	-181,347.63	1,000.00	15.00	985.00	85.0	837.25
07/20/2018 400617109	CHASE, JP MORGAN	S	MASTERCARD INC-CL A	B	82,807.56	100.00	15.00	85.00	85.0	72.25
07/20/2018 400617109	CHASE, JP MORGAN	S	SOUTHWEST AIRLINES COMPANY	S	-80,011.81	150.00	15.00	135.00	85.0	114.75
07/23/2018 400617109	CHASE, JP MORGAN	S	GENERAL ELECTRIC COMPANY	S	130,170.31	-150.00	-15.00	-135.00	85.0	-114.75
07/23/2018 400617109	CHASE, JP MORGAN	S	GENERAL ELECTRIC COMPANY	S	-130,170.31	150.00	15.00	135.00	85.0	114.75
07/23/2018 400617109	CHASE, JP MORGAN	S	GENERAL ELECTRIC COMPANY	S	-129,520.31	800.00	15.00	785.00	85.0	667.25
07/23/2018 400625326	CHASE, JP MORGAN	S	GENERAL ELECTRIC COMPANY	S	8,227.12	-100.00	-15.00	-85.00	85.0	-72.25
07/23/2018 400625326	CHASE, JP MORGAN	S	GENERAL ELECTRIC COMPANY	S	-8,227.12	100.00	15.00	85.00	85.0	72.25

COMMISSION STATEMENT for RICHARD DURAND-D020

						INVESTMENT	GROSS	CLEARING	NET	BROKER	
TRADE DATE	CLIENT			INVESTMENT	B/S	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
07/23/2018	400625326	CHASE, JP MORGAN	S	GENERAL ELECTRIC COMPANY	S	-8,277.12	50.00	15.00	35.00	85.0	29.75
07/27/2018	400625326	CHASE, JP MORGAN	S	INTEL CORPORATION	B	23,917.90	100.00	15.00	85.00	85.0	72.25
07/20/2018	429915316	KRUCZEK, ROXANNE	S	3M COMPANY	S	-20,045.89	50.00	15.00	35.00	85.0	29.75
07/20/2018	429915316	KRUCZEK, ROXANNE	S	NEXTERA ENERGY INC	S	-33,712.31	50.00	15.00	35.00	85.0	29.75
07/16/2018	492646896	JR, CARLISLE MAXWEL	S	KINDER MORGAN INC	S	-9,215.50	140.00	15.00	125.00	85.0	106.25
07/10/2018	583753688	PUE, ROGER	S	AT&T INC	B	16,316.95	225.00	15.00	210.00	85.0	178.50
07/10/2018	583753688	PUE, ROGER	S	CYS INVESTMENTS INC	B	2,369.15	75.00	15.00	60.00	85.0	51.00
* STOCKS SUBTOTAL *						\$-313,845.88	\$4,800.00	\$375.00	\$4,425.00		\$3,761.25

PRODUCT CATEGORY: MISCELLANEOUS

07/05/2018	a03884599	VARIOUS, DURAND	MI	MUTUAL FUND MISC FEE 368435867	S	0.00	10.00	0.00	10.00	85.0	8.50
07/12/2018	a03884599	VARIOUS, DURAND	MI	MUTUAL FUND MISC FEE 368433447	S	0.00	10.00	0.00	10.00	85.0	8.50
07/12/2018	a03884599	VARIOUS, DURAND	MI	MUTUAL FUND MISC FEE 368491001	S	0.00	10.00	0.00	10.00	85.0	8.50
* MISCELLANEOUS SUBTOTAL *				\$0.00	\$30.00	\$0.00	\$30.00		\$25.50		

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

07/23/2018	mfc884599	DURAND 884599	MFT	AMCAP FUND-A	B	0.00	2.38	0.00	2.38	85.0	2.02
07/23/2018	mfc884599	DURAND 884599	MFT	AMCAP FUND-A	B	0.00	3.05	0.00	3.05	85.0	2.59
07/23/2018	mfc884599	DURAND 884599	MFT	AMERICAN BALANCED FUND-A	B	0.00	3.01	0.00	3.01	85.0	2.56
07/23/2018	mfc884599	DURAND 884599	MFT	AMERICAN BALANCED FUND-A	B	0.00	3.07	0.00	3.07	85.0	2.61
07/23/2018	mfc884599	DURAND 884599	MFT	AMERICAN BALANCED FUND-A	B	0.00	3.45	0.00	3.45	85.0	2.93
07/23/2018	mfc884599	DURAND 884599	MFT	AMERICAN BALANCED FUND-A	B	0.00	4.04	0.00	4.04	85.0	3.43
07/23/2018	mfc884599	DURAND 884599	MFT	AMERICAN BALANCED FUND-A	B	0.00	4.07	0.00	4.07	85.0	3.46
07/23/2018	mfc884599	DURAND 884599	MFT	AMERICAN BALANCED FUND-A	B	0.00	4.11	0.00	4.11	85.0	3.49
07/23/2018	mfc884599	DURAND 884599	MFT	AMERICAN BALANCED FUND-A	B	0.00	4.45	0.00	4.45	85.0	3.78
07/23/2018	mfc884599	DURAND 884599	MFT	AMERICAN BALANCED FUND-A	B	0.00	5.08	0.00	5.08	85.0	4.32
07/23/2018	mfc884599	DURAND 884599	MFT	AMERICAN BALANCED FUND-A	B	0.00	5.08	0.00	5.08	85.0	4.32
07/23/2018	mfc884599	DURAND 884599	MFT	AMERICAN BALANCED FUND-A	B	0.00	5.08	0.00	5.08	85.0	4.32
07/23/2018	mfc884599	DURAND 884599	MFT	AMERICAN BALANCED FUND-A	B	0.00	6.59	0.00	6.59	85.0	5.60
07/23/2018	mfc884599	DURAND 884599	MFT	AMERICAN BALANCED FUND-A	B	0.00	10.71	0.00	10.71	85.0	9.10
07/23/2018	mfc884599	DURAND 884599	MFT	AMERICAN BALANCED FUND-A	B	0.00	12.28	0.00	12.28	85.0	10.44
07/23/2018	mfc884599	DURAND 884599	MFT	AMERICAN BALANCED FUND-A	B	0.00	20.00	0.00	20.00	85.0	17.00
07/23/2018	mfc884599	DURAND 884599	MFT	AMERICAN BALANCED FUND-A	B	0.00	53.88	0.00	53.88	85.0	45.80
07/23/2018	mfc884599	DURAND 884599	MFT	CAPITAL INCOME BUILDER-A	B	0.00	1.57	0.00	1.57	85.0	1.33
07/23/2018	mfc884599	DURAND 884599	MFT	CAPITAL INCOME BUILDER-A	B	0.00	3.75	0.00	3.75	85.0	3.19
07/23/2018	mfc884599	DURAND 884599	MFT	CAPITAL INCOME BUILDER-A	B	0.00	5.36	0.00	5.36	85.0	4.56
07/23/2018	mfc884599	DURAND 884599	MFT	CAPITAL INCOME BUILDER-A	B	0.00	5.69	0.00	5.69	85.0	4.84
07/23/2018	mfc884599	DURAND 884599	MFT	CAPITAL INCOME BUILDER-A	B	0.00	6.24	0.00	6.24	85.0	5.30
07/23/2018	mfc884599	DURAND 884599	MFT	CAPITAL INCOME BUILDER CLASS C	B	0.00	1.31	0.00	1.31	85.0	1.11
07/23/2018	mfc884599	DURAND 884599	MFT	CAPITAL INCOME BUILDER-F1	B	0.00	0.42	0.00	0.42	85.0	0.36
07/23/2018	mfc884599	DURAND 884599	MFT	CAPITAL INCOME BUILDER-F1	B	0.00	1.07	0.00	1.07	85.0	0.91
07/23/2018	mfc884599	DURAND 884599	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	1.09	0.00	1.09	85.0	0.93
07/23/2018	mfc884599	DURAND 884599	MFT	CAPITAL WORLD GROWTH AND INCOME	B	0.00	6.12	0.00	6.12	85.0	5.20
07/23/2018	mfc884599	DURAND 884599	MFT	CAPITAL WORLD GROWTH AND	B	0.00	0.08	0.00	0.08	85.0	0.07
07/23/2018	mfc884599	DURAND 884599	MFT	CAPITAL WORLD GROWTH AND	B	0.00	0.86	0.00	0.86	85.0	0.73

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07/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	0.85	0.00	0.85	85.0	0.72
07/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	0.91	0.00	0.91	85.0	0.77
07/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	1.06	0.00	1.06	85.0	0.90
07/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	1.55	0.00	1.55	85.0	1.32
07/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	1.61	0.00	1.61	85.0	1.37
07/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	1.62	0.00	1.62	85.0	1.38
07/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	1.88	0.00	1.88	85.0	1.60
07/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	1.92	0.00	1.92	85.0	1.63
07/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	2.29	0.00	2.29	85.0	1.95
07/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	2.54	0.00	2.54	85.0	2.16
07/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	2.65	0.00	2.65	85.0	2.25
07/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	2.95	0.00	2.95	85.0	2.51
07/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	4.36	0.00	4.36	85.0	3.71
07/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	5.16	0.00	5.16	85.0	4.39
07/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	5.16	0.00	5.16	85.0	4.39
07/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	5.16	0.00	5.16	85.0	4.39
07/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	6.41	0.00	6.41	85.0	5.45
07/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	6.62	0.00	6.62	85.0	5.63
07/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	6.96	0.00	6.96	85.0	5.92
07/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	7.63	0.00	7.63	85.0	6.49
07/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	23.50	0.00	23.50	85.0	19.98
07/23/2018	mfc884599	DURAND 884599	MFT CAPITAL WORLD GROWTH AND INCOME B	0.00	92.26	0.00	92.26	85.0	78.42
07/23/2018	mfc884599	DURAND 884599	MFT DAVIS NEW YORK VENTURE CLASS C B	0.00	16.20	0.00	16.20	85.0	13.77
07/23/2018	mfc884599	DURAND 884599	MFT DELAWARE SMALL CAP VALUE FUND A B	0.00	0.15	0.00	0.15	85.0	0.13
07/23/2018	mfc884599	DURAND 884599	MFT EMERGING GROWTH FUND A B	0.00	1.24	0.00	1.24	85.0	1.05
07/23/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND CLASS F B	0.00	0.73	0.00	0.73	85.0	0.62
07/23/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND CLASS F B	0.00	1.05	0.00	1.05	85.0	0.89
07/23/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A B	0.00	0.62	0.00	0.62	85.0	0.53
07/23/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A B	0.00	1.71	0.00	1.71	85.0	1.45
07/23/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A B	0.00	6.00	0.00	6.00	85.0	5.10
07/23/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A B	0.00	6.11	0.00	6.11	85.0	5.19
07/23/2018	mfc884599	DURAND 884599	MFT EUROPACIFIC GROWTH FUND-A B	0.00	12.43	0.00	12.43	85.0	10.57
07/23/2018	mfc884599	DURAND 884599	MFT FIDELITY ADV EQUITY GROWTH CL T B	0.00	3.59	0.00	3.59	85.0	3.05
07/23/2018	mfc884599	DURAND 884599	MFT FIDELITY ADV EQUITY GROWTH CL T B	0.00	11.41	0.00	11.41	85.0	9.70
07/23/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS FUND B	0.00	1.48	0.00	1.48	85.0	1.26
07/23/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS FUND B	0.00	2.14	0.00	2.14	85.0	1.82
07/23/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	0.41	0.00	0.41	85.0	0.35
07/23/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	1.87	0.00	1.87	85.0	1.59
07/23/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	2.09	0.00	2.09	85.0	1.78
07/23/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	2.11	0.00	2.11	85.0	1.79
07/23/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	3.49	0.00	3.49	85.0	2.97
07/23/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	3.56	0.00	3.56	85.0	3.03
07/23/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	4.41	0.00	4.41	85.0	3.75
07/23/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A B	0.00	7.03	0.00	7.03	85.0	5.98

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07/23/2018	mfc884599	DURAND 884599	MFT FUNDAMENTAL INVESTORS-A	B	0.00	27.20	0.00	27.20	85.0	23.12
07/23/2018	mfc884599	DURAND 884599	MFT GLOBAL FUND A	B	0.00	4.10	0.00	4.10	85.0	3.49
07/23/2018	mfc884599	DURAND 884599	MFT GLOBAL OPPORTUNITIES A	B	0.00	4.37	0.00	4.37	85.0	3.71
07/23/2018	mfc884599	DURAND 884599	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	1.60	0.00	1.60	85.0	1.36
07/23/2018	mfc884599	DURAND 884599	MFT GROWTH FUND OF AMERICA CLASS F	B	0.00	2.32	0.00	2.32	85.0	1.97
07/23/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL GROWTH AND	B	0.00	1.22	0.00	1.22	85.0	1.04
07/23/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL GROWTH AND	B	0.00	1.41	0.00	1.41	85.0	1.20
07/23/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL GROWTH AND	B	0.00	10.60	0.00	10.60	85.0	9.01
07/23/2018	mfc884599	DURAND 884599	MFT INTERNATIONAL SMALL COMPANY A	B	0.00	1.31	0.00	1.31	85.0	1.11
07/23/2018	mfc884599	DURAND 884599	MFT LIFESTYLE GROWTH PORTFOLIO A	B	0.00	5.53	0.00	5.53	85.0	4.70
07/23/2018	mfc884599	DURAND 884599	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	3.40	0.00	3.40	85.0	2.89
07/23/2018	mfc884599	DURAND 884599	MFT MFS GROWTH ALLOCATION FUND-A	B	0.00	3.43	0.00	3.43	85.0	2.92
07/23/2018	mfc884599	DURAND 884599	MFT MFS MODERATE ALLOCATION FUND-A	B	0.00	3.06	0.00	3.06	85.0	2.60
07/23/2018	mfc884599	DURAND 884599	MFT MFS MODERATE ALLOCATION FUND-A	B	0.00	3.08	0.00	3.08	85.0	2.62
07/23/2018	mfc884599	DURAND 884599	MFT NEW OPPORTUNITIES A	B	0.00	17.46	0.00	17.46	85.0	14.84
07/23/2018	mfc884599	DURAND 884599	MFT NEW WORLD FUND-A	B	0.00	1.32	0.00	1.32	85.0	1.12
07/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER EMRG MKTS	B	0.00	1.11	0.00	1.11	85.0	0.94
07/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER EMRG MKTS	B	0.00	1.18	0.00	1.18	85.0	1.00
07/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	0.50	0.00	0.50	85.0	0.43
07/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	1.44	0.00	1.44	85.0	1.22
07/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	2.96	0.00	2.96	85.0	2.52
07/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	3.07	0.00	3.07	85.0	2.61
07/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STEELPATH MLP ALPHA	B	0.00	3.93	0.00	3.93	85.0	3.34
07/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	2.54	0.00	2.54	85.0	2.16
07/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER INTERNATIONAL	B	0.00	2.58	0.00	2.58	85.0	2.19
07/23/2018	mfc884599	DURAND 884599	MFT OPPENHEIMER STRATEGIC INCOME FD	B	0.00	2.15	0.00	2.15	85.0	1.83
07/23/2018	mfc884599	DURAND 884599	MFT PRUDENTIAL JENNISON VALUE FUND	B	0.00	2.27	0.00	2.27	85.0	1.93
07/23/2018	mfc884599	DURAND 884599	MFT PRUDENTIAL TOTAL RETURN BOND	B	0.00	0.72	0.00	0.72	85.0	0.61
07/23/2018	mfc884599	DURAND 884599	MFT PRUDENTIAL JENNISON UTILITY FD	B	0.00	3.23	0.00	3.23	85.0	2.75
07/23/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-C	B	0.00	1.35	0.00	1.35	85.0	1.15
07/23/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-C	B	0.00	2.62	0.00	2.62	85.0	2.23
07/23/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-F1	B	0.00	0.34	0.00	0.34	85.0	0.29
07/23/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-F1	B	0.00	0.35	0.00	0.35	85.0	0.30
07/23/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-A	B	0.00	0.99	0.00	0.99	85.0	0.84
07/23/2018	mfc884599	DURAND 884599	MFT SMALLCAP WORLD FUND-A	B	0.00	3.11	0.00	3.11	85.0	2.64
07/23/2018	mfc884599	DURAND 884599	MFT TEMP WORLD FND - CL C	B	0.00	15.01	0.00	15.01	85.0	12.76
07/23/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.24	0.00	0.24	85.0	0.20
07/23/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.47	0.00	1.47	85.0	1.25
07/23/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.23	0.00	2.23	85.0	1.90
07/23/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	2.47	0.00	2.47	85.0	2.10
07/23/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.06	0.00	3.06	85.0	2.60
07/23/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.67	0.00	3.67	85.0	3.12
07/23/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.21	0.00	5.21	85.0	4.43
07/23/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	5.64	0.00	5.64	85.0	4.79

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
07/23/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.19	0.00	6.19	85.0	5.26
07/23/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	6.19	0.00	6.19	85.0	5.26
07/23/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	7.33	0.00	7.33	85.0	6.23
07/23/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	11.19	0.00	11.19	85.0	9.51
07/23/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	28.49	0.00	28.49	85.0	24.22
07/23/2018	mfc884599	DURAND 884599	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	29.60	0.00	29.60	85.0	25.16
07/23/2018	mfc884599	DURAND 884599	MFT THE HARTFORD CHECKS AND	B	0.00	1.01	0.00	1.01	85.0	0.86
07/23/2018	mfc884599	DURAND 884599	MFT THE HARTFORD CONSERVATIVE ALLOC	B	0.00	3.27	0.00	3.27	85.0	2.78
07/23/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	3.79	0.00	3.79	85.0	3.22
07/23/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	4.32	0.00	4.32	85.0	3.67
07/23/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	4.61	0.00	4.61	85.0	3.92
07/23/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	4.94	0.00	4.94	85.0	4.20
07/23/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	5.46	0.00	5.46	85.0	4.64
07/23/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	5.46	0.00	5.46	85.0	4.64
07/23/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	8.54	0.00	8.54	85.0	7.26
07/23/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	8.80	0.00	8.80	85.0	7.48
07/23/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	26.08	0.00	26.08	85.0	22.17
07/23/2018	mfc884599	DURAND 884599	MFT THE INVESTMENT COMPANY OF	B	0.00	31.65	0.00	31.65	85.0	26.90
07/23/2018	mfc884599	DURAND 884599	MFT TRANS AMERICA IDEX 13	B	0.00	49.90	0.00	49.90	85.0	42.42
07/23/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA MULTI-MANAGED	B	0.00	147.84	0.00	147.84	85.0	125.66
07/23/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA ASSET ALLOC	B	0.00	41.49	0.00	41.49	85.0	35.27
07/23/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA SHORT TERM BOND FD	B	0.00	616.65	0.00	616.65	85.0	524.15
07/23/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA INTL EQUITY FD A	B	0.00	41.72	0.00	41.72	85.0	35.46
07/23/2018	mfc884599	DURAND 884599	MFT TRANSAMERICA LARGE CAP VALUE FD	B	0.00	43.25	0.00	43.25	85.0	36.76
07/23/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.64	0.00	0.64	85.0	0.54
07/23/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	0.66	0.00	0.66	85.0	0.56
07/23/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.32	0.00	1.32	85.0	1.12
07/23/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.71	0.00	1.71	85.0	1.45
07/23/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.96	0.00	1.96	85.0	1.67
07/23/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.50	0.00	2.50	85.0	2.13
07/23/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	2.53	0.00	2.53	85.0	2.15
07/23/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.81	0.00	3.81	85.0	3.24
07/23/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	3.92	0.00	3.92	85.0	3.33
07/23/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.51	0.00	5.51	85.0	4.68
07/23/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.51	0.00	5.51	85.0	4.68
07/23/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.51	0.00	5.51	85.0	4.68
07/23/2018	mfc884599	DURAND 884599	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	7.15	0.00	7.15	85.0	6.08
07/23/2018	mfc884599	DURAND 884599	MFT WELLS FARGO GOVT SEC FD CL A	B	0.00	5.41	0.00	5.41	85.0	4.60
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$1,811.53	\$0.00	\$1,811.53		\$1,539.84
*** CLEARING TRANSACTIONS TOTAL ***					\$-312,050.88	\$6,652.92	\$392.50	\$6,260.42		\$5,321.40

COMMISSION STATEMENT for RICHARD DURAND-D020

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
07/13/2018	00067562789	PEREZ, GRACIELA	MF AMERICAN HIGH-INCOME TRUST-A	B	150.00	4.50	0.00	4.50	85.0 3.83
07/18/2018	00660109470	HANSON, CATHY	MF INVESCO AMERICAN FRANCHISE FUND	B	100.00	4.00	0.00	4.00	85.0 3.40
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$250.00</b>	<b>\$8.50</b>	<b>\$0.00</b>	<b>\$8.50</b>		<b>\$7.23</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
06/30/2018	(None)	VARIOUS, DURAND	MFT DAVIS	B	0.00	27.75	0.00	27.75	85.0 23.59
06/30/2018	(None)	VARIOUS, DURAND	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	31.39	0.00	31.39	85.0 26.68
07/09/2018	00010158172	MARGARET B PARR,	MFT DEUTSCHE HIGH INCOME FUND-A	B	0.00	80.63	0.00	80.63	85.0 68.54
07/05/2018	01556570000	WAGNER JT TEN,	MFT COLUMBIA LARGE CAP GROWTH FUND	B	0.00	50.67	0.00	50.67	85.0 43.07
07/09/2018	02950003475	CAUGHEY, EVERETT	MFT AB HIGH INCOME A	B	0.00	66.59	0.00	66.59	85.0 56.60
07/09/2018	02950003476	CAUGHEY, MARILYN	MFT AB HIGH INCOME A	B	0.00	12.21	0.00	12.21	85.0 10.38
07/09/2018	03310155134	SUN C MAYO, IN	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	38.70	0.00	38.70	85.0 32.90
07/09/2018	04896976237	GIFFEN, BOBBIE	MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	62.84	0.00	62.84	85.0 53.41
07/05/2018	08021230000	ANDERSON, DEBRA	MFT COLUMBIA DISCIPLINED CORE	B	0.00	3.87	0.00	3.87	85.0 3.29
07/05/2018	08308608103	CORNELISON,	MFT WELLS FARGO MUNICIPAL BOND-A	B	0.00	0.72	0.00	0.72	85.0 0.61
07/05/2018	09990084509	OF MOULTON TEXAS,	MFT COLUMBIA INCOME FUND CLASS A	B	0.00	4.44	0.00	4.44	85.0 3.77
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$379.81</b>	<b>\$0.00</b>	<b>\$379.81</b>		<b>\$322.84</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>									
06/30/2018	(None)	DOYLE, ROSEMARY	VAT TRANSAMERICA LIFE	B	0.00	225.70	0.00	225.70	85.0 191.85
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$225.70</b>	<b>\$0.00</b>	<b>\$225.70</b>		<b>\$191.85</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>				<b>\$250.00</b>	<b>\$614.01</b>	<b>\$0.00</b>	<b>\$614.01</b>		<b>\$521.92</b>

**ADJUSTMENTS TRANSACTIONS****BROKER: #0**

07/31/2018	:	BROKER WORKSTATION	0.00	0.00	0.00	0.00	-30.00
07/31/2018	:	BROKER WORKSTATION-GIFFEN	0.00	0.00	0.00	0.00	-30.00
07/31/2018	:	E&O	0.00	0.00	0.00	0.00	-130.00
07/31/2018	:	E-MAIL ACCOUNT	0.00	0.00	0.00	0.00	-25.00
07/31/2018	:	MONEY MARKET TRAILS	0.00	0.00	0.00	0.00	931.03
<b>* SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$716.03</b>
<b>*** ADJUSTMENTS TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$716.03</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$5,843.32
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$716.03
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Net Commissions</b>	<b>\$6,559.35</b>
<b>Year-to-date Commissions</b>	<b>\$82,827.33</b>