

COMMISSION STATEMENT

December 31, 2017

WILLIAM DIPENICE
110 SOUTH BUCKHOUT STREET

BROKER #: 74
BRANCH: HOME OFFICE

IRVINGTON, NY 10533

COMMISSION STATEMENT for WILLIAM DIPENICE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

12/19/2017 75502048	FERRUZZI, KENNETH	KLA-TENCOR CORP COM	B	1,119.45	0.00	0.00	0.00	80.0	0.00
12/19/2017 75502048	FERRUZZI, KENNETH	NETFLIX COM INC	B	1,122.06	0.00	0.00	0.00	80.0	0.00
12/19/2017 75502048	FERRUZZI, KENNETH	PAYPAL HOLDINGS INC COM	B	1,568.19	0.00	0.00	0.00	80.0	0.00
12/04/2017 75502049	MORRIS, MICHAEL	BROADCOM LIMITED SHS	B	2,409.94	0.00	0.00	0.00	80.0	0.00
12/01/2017 75502050	DIPENICE, WILLIAM	VERISK ANALYTICS INC COM	S	860.59	0.00	0.00	0.00	80.0	0.00
12/04/2017 75502050	DIPENICE, WILLIAM	ANALOG DEVICES INC	B	1,865.61	0.00	0.00	0.00	80.0	0.00
12/11/2017 75502050	DIPENICE, WILLIAM	AUTODESK INC	B	1,921.15	0.00	0.00	0.00	80.0	0.00
12/13/2017 75502050	DIPENICE, WILLIAM	BOSTON SCIENTIFIC CORP	B	3,051.47	0.00	0.00	0.00	80.0	0.00
12/14/2017 75502050	DIPENICE, WILLIAM	WALT DISNEY CO	B	3,067.59	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502050	DIPENICE, WILLIAM	ALPHABET INC CLASS C CAPITAL STOCK	S	1,066.69	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502050	DIPENICE, WILLIAM	BERKSHIRE HATHAWAY INC DEL CL B	S	595.72	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502050	DIPENICE, WILLIAM	BLACKROCK INC	S	516.46	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502050	DIPENICE, WILLIAM	CHENIERE ENERGY INC COM	S	456.21	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502050	DIPENICE, WILLIAM	GOLDMAN SACHS GROUP INC	S	784.47	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502050	DIPENICE, WILLIAM	INTERCONTINENTAL EXCHANGE INC	S	419.82	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502050	DIPENICE, WILLIAM	MICROSOFT CORP	S	430.03	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502050	DIPENICE, WILLIAM	WEX INC	S	417.97	0.00	0.00	0.00	80.0	0.00
12/19/2017 75502051	DIPENICE, NINA	KLA-TENCOR CORP COM	B	2,238.91	0.00	0.00	0.00	80.0	0.00
12/19/2017 75502051	DIPENICE, NINA	NETFLIX COM INC	B	2,244.12	0.00	0.00	0.00	80.0	0.00
12/19/2017 75502051	DIPENICE, NINA	PAYPAL HOLDINGS INC COM	B	2,987.02	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051	DIPENICE, NINA	ACADIA HEALTHCARE CO INC COM	S	32.25	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051	DIPENICE, NINA	AETNA INC NEW	S	181.62	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051	DIPENICE, NINA	ALEXION PHARMACEUTICALS INC	S	118.66	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051	DIPENICE, NINA	ALPHABET INC CLASS C CAPITAL STOCK	S	1,064.52	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051	DIPENICE, NINA	AMAZON.COM INC	S	1,174.01	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051	DIPENICE, NINA	AMETEK INC NEW	S	72.51	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051	DIPENICE, NINA	ANSYS INC	S	147.12	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051	DIPENICE, NINA	APPLE INC	S	525.75	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051	DIPENICE, NINA	CHURCH & DWIGHT CO INC	S	99.37	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051	DIPENICE, NINA	COGNIZANT TECHNOLOGY SOLUTIONS CORP	S	217.89	0.00	0.00	0.00	80.0	0.00
12/21/2017 75502051	DIPENICE, NINA	COMCAST CORP CL A	S	368.48	0.00	0.00	0.00	80.0	0.00

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12/31/2017	VARIOUS, DIPENICE	RBC ADVISORY INCOME	B	0.00	590.66	0.00	590.66	80.0	472.53
		* MISCELLANEOUS SUBTOTAL *		\$0.00	\$590.66	\$0.00	\$590.66		\$472.53
		*** BROKER TRANSACTIONS TOTAL ***		\$39,318.13	\$590.66	\$0.00	\$590.66		\$472.53

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
12/31/2017		ADVANCE: ADVANCE		0.00	0.00	0.00	0.00		-97.06
12/31/2017		E & O INSURANCE: E & O INSURANCE		0.00	0.00	0.00	0.00		-250.00
12/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
12/31/2017		OTHER: OTHER-WEALTH MANAGEMENT		0.00	0.00	0.00	0.00		-25.00
12/31/2017		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-8.00
12/31/2017		OTHER: OTHER-STATE REGIST		0.00	0.00	0.00	0.00		-392.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-792.06

BROKER COMMISSION TOTALS

Broker Transactions	\$472.53
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-792.06
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$169.53
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-150.00
Year-to-date Earnings	\$91.67