



# COMMISSION STATEMENT

## May 14, 2018

JEFFREY MORRISON  
10 Chadwick Road  
Livingston, NJ 07039

BROKER #: 982  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
05/07/2018	***-**-120I - SEARS, MARY	FIDELITY SPARTAN TOTAL MARKET INDEX	215.01	1.50	1.50	0.00	90.0	0.00
	ACCOUNT #: LSR010352	BUY 3 Units @76.7900						
05/09/2018	***-**-724J - PIZZA, MICHAEL	GABELLI UTILITIES FD CLASS A	34,861.86	12.50	9.00	3.50	90.0	3.15
	ACCOUNT #: LSR012661	SELL 3975 Units @8.7700						
05/01/2018	***-**-18IR - VENA, JOANNE	GRIFFIN-AMERICAN HEALTHCARE REIT IV	2,500.00	0.00	9.00	-9.00	100.0	-9.00
	ACCOUNT #: LSR011132	BUY 249 Units @10.0500						
05/01/2018	***-**-18IR - VENA, JOANNE	GRIFFIN-AMERICAN HEALTHCARE REIT IV	-2,500.00	0.00	-9.00	9.00	90.0	8.10
	ACCOUNT #: LSR011132	CANCEL 250 Units @10.0000						
05/09/2018	***-**-24IO - PIZZA, MARIANNE	GRIFFIN-AMERICAN HEALTHCARE REIT IV	13,800.00	0.00	9.00	-9.00	100.0	-9.00
	ACCOUNT #: LSR012659	BUY 1380 Units @10.0000						
05/09/2018	***-**-24IO - PIZZA, MARIANNE	GRIFFIN-AMERICAN HEALTHCARE REIT IV	13,800.00	0.00	9.00	-9.00	100.0	-9.00
	ACCOUNT #: LSR012659	BUY 1373 Units @10.0500						
05/09/2018	***-**-24IO - PIZZA, MARIANNE	GRIFFIN-AMERICAN HEALTHCARE REIT IV	-13,800.00	0.00	-9.00	9.00	90.0	8.10
	ACCOUNT #: LSR012659	CANCEL 1380 Units @10.0000						
05/11/2018	***-**-724J - PIZZA, MICHAEL	GRIFFIN-AMERICAN HEALTHCARE REIT IV	66,832.50	0.00	9.00	-9.00	100.0	-9.00
	ACCOUNT #: LSR012661	BUY 6683 Units @10.0000						
05/09/2018	***-**-724J - PIZZA, MICHAEL	SALIENT SELECT INCOME CLASS A	32,245.97	12.50	9.00	3.50	90.0	3.15
	ACCOUNT #: LSR012661	SELL 1556 Units @20.7300						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$147,955.34</b>	<b>\$26.50</b>	<b>\$37.50</b>	<b>\$-11.00</b>		<b>\$-13.50</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
05/04/2018	***-**-1623 - BECCE, MARIA	AES CORP	2,479.00	35.82	9.50	26.32	90.0	23.69
	ACCOUNT #: LSR101028	SELL 200 Units @12.3950						
05/04/2018	***-**-1623 - BECCE, MARIA	AMERICAN TOWER CORPORATION COM USD0	6,947.90	27.00	8.00	19.00	90.0	17.10
	ACCOUNT #: LSR101028	SELL 50 Units @138.9580						
05/04/2018	***-**-1623 - BECCE, MARIA	APPLE INC UNSOLICITED ORDER	5,122.29	27.00	9.00	18.00	90.0	16.20
	ACCOUNT #: LSR101028	SELL 28 Units @182.9390						
05/04/2018	***-**-1623 - BECCE, MARIA	ARK ETF TR 3D PRINTING ETF	1,066.86	23.82	7.95	15.87	90.0	14.28
	ACCOUNT #: LSR101028	SELL 45 Units @23.7080						
05/04/2018	***-**-1623 - BECCE, MARIA	DOVER CORP	8,221.68	27.00	8.40	18.60	90.0	16.74
	ACCOUNT #: LSR101028	SELL 90 Units @91.3520						
05/04/2018	***-**-1623 - BECCE, MARIA	ETF SER SOLUTIONS LONCAR CANCER	2,644.00	27.00	8.50	18.50	90.0	16.65
	ACCOUNT #: LSR101028	SELL 100 Units @26.4400						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: STOCKS (continued)</b>								
05/04/2018	***-**-1623 - BECCE, MARIA	SECTOR SPDR TR SHS BEN INT INDUSTRI	14,426.00	54.50	9.50	45.00	90.0	40.50
	ACCOUNT #: LSR101028	SELL 200 Units @72.1300						
05/04/2018	***-**-1623 - BECCE, MARIA	SPDR GOLD TR GOLD SHS UNSOLICITED O	16,182.40	35.25	8.80	26.45	90.0	23.81
	ACCOUNT #: LSR101028	SELL 130 Units @124.4800						
05/04/2018	***-**-1623 - BECCE, MARIA	SPDR SER TR DJ WILSHIRE REIT ETF	8,885.70	27.00	8.50	18.50	90.0	16.65
	ACCOUNT #: LSR101028	SELL 100 Units @88.8570						
05/04/2018	***-**-1623 - BECCE, MARIA	V F CORP SOLICITED ORDER	5,487.34	27.00	8.22	18.78	90.0	16.90
	ACCOUNT #: LSR101028	SELL 72 Units @76.2130						
05/04/2018	***-**-1623 - BECCE, MARIA	VANGUARD INDEX FDS FORMERLY VANGUAR	2,439.53	27.00	7.60	19.40	90.0	17.46
	ACCOUNT #: LSR101028	SELL 10 Units @243.9530						
05/10/2018	***-**-91IN - MILLER SPINETTI,	WALMART STORES INC UNSOLICITED ORDE	1,583.40	5.23	7.69	-2.46	100.0	-2.46
	ACCOUNT #: LSR010224	BUY 19 Units @83.3370						
05/10/2018	***-**-91IN - MILLER SPINETTI,	WALMART STORES INC UNSOLICITED ORDE	8,333.50	27.00	1.00	26.00	90.0	23.40
	ACCOUNT #: LSR010224	BUY 100 Units @83.3350						
* STOCKS SUBTOTAL *			\$83,819.60	\$370.62	\$102.66	\$267.96		\$240.92
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
05/10/2018	***-**-08TR - WEITMAN TTEE,	LINCOLN FIN VA 533786166	1,611.99	80.60	0.00	80.60	90.0	72.54
	ACCOUNT #: 4892820	BUY 0 Units @0.0000						
05/10/2018	***-**-08TR - WEITMAN TTEE,	LINCOLN FIN VA 533786166	1,611.99	80.60	0.00	80.60	90.0	72.54
	ACCOUNT #: 4892820	BUY 0 Units @0.0000						
05/07/2018	***-**-8240 - CHOWDHURY, NAHID	PACIFIC LIFE EXEC II DTCC	350.00	1.75	0.00	1.75	90.0	1.58
	ACCOUNT #: VP65523640	BUY 0 Units @0.0000						
05/07/2018	***-**-8240 - CHOWDHURY, NAHID	PACIFIC LIFE EXEC II DTCC	350.00	1.75	0.00	1.75	90.0	1.58
	ACCOUNT #: VP65523640	BUY 0 Units @0.0000						
05/07/2018	***-**-7728 - BALBONA, ADRIANA	PACIFIC LIFE SE5-NYB DTCC	135.00	2.03	0.00	2.03	90.0	1.83
	ACCOUNT #: VP71061840	BUY 0 Units @0.0000						
05/07/2018	***-**-4258 - WOLOWITZ, ALLAN	PACIFIC LIFE VA DTCC RENEWALS	900.00	6.75	0.00	6.75	90.0	6.08
	ACCOUNT #: VP71049220	BUY 0 Units @0.0000						
05/07/2018	***-**-4258 - WOLOWITZ, ALLAN	PACIFIC LIFE VA DTCC RENEWALS	900.00	2.25	0.00	2.25	90.0	2.03
	ACCOUNT #: VP71049220	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$5,858.98	\$175.73	\$0.00	\$175.73		\$158.18



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<b><u>BROKER TRANSACTIONS (continued)</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
05/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 DIVIDEND GROWTH PORT-CL A	0.00	1.62	0.00	1.62	90.0	1.46
	ACCOUNT #: 01438110361	BUY 0 Units @0.0000						
05/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 DIVIDEND GROWTH PORT-CL A	0.00	1.76	0.00	1.76	90.0	1.58
	ACCOUNT #: 01438110379	BUY 0 Units @0.0000						
05/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 INTERMED BOND PORT-CL A	0.00	0.53	0.00	0.53	90.0	0.48
	ACCOUNT #: 01438110361	BUY 0 Units @0.0000						
05/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 INTERMED BOND PORT-CL A	0.00	0.66	0.00	0.66	90.0	0.59
	ACCOUNT #: 01438110379	BUY 0 Units @0.0000						
05/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 NEW INSIGHTS PORT-CL A	0.00	1.87	0.00	1.87	90.0	1.68
	ACCOUNT #: 01438110361	BUY 0 Units @0.0000						
05/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 NEW INSIGHTS PORT-CL A	0.00	2.02	0.00	2.02	90.0	1.82
	ACCOUNT #: 01438110379	BUY 0 Units @0.0000						
05/07/2018	***-**-915P - WOO, BENE	FA 529 PORT 2019-CL A	0.00	0.27	0.00	0.27	90.0	0.24
	ACCOUNT #: 01446854794	BUY 0 Units @0.0000						
05/07/2018	***-**-6154 - TURPAN, PETER	FA 529 PORT 2019-OLD CL A	0.00	10.12	0.00	10.12	90.0	9.11
	ACCOUNT #: 00702446014	BUY 0 Units @0.0000						
05/07/2018	***-**-9976 - GANZ, BARBARA	FA 529 PORT 2022-CL A	0.00	2.67	0.00	2.67	90.0	2.40
	ACCOUNT #: 00700773088	BUY 0 Units @0.0000						
05/07/2018	***-**-545P - TURPAN, PETER	FA 529 PORT 2022-CL A	0.00	5.35	0.00	5.35	90.0	4.82
	ACCOUNT #: 00704633189	BUY 0 Units @0.0000						
05/07/2018	***-**-645P - KUMAR, SURESH	FA 529 PORT 2028-CL A	0.00	0.62	0.00	0.62	90.0	0.56
	ACCOUNT #: 01453731182	BUY 0 Units @0.0000						
05/07/2018	***-**-645P - KUMAR, SURESH	FA 529 PORT 2028-CL C	0.00	2.63	0.00	2.63	90.0	2.37
	ACCOUNT #: 01453731182	BUY 0 Units @0.0000						
05/07/2018	***-**-965P - GUERREIRO, MARIA	FA 529 PORT 2034	0.00	0.26	0.00	0.26	90.0	0.23
	ACCOUNT #: 01489789329	BUY 0 Units @0.0000						
05/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 VALUE STRATEGIES PORT-CL A	0.00	1.60	0.00	1.60	90.0	1.44
	ACCOUNT #: 01438110361	BUY 0 Units @0.0000						
05/07/2018	***-**-8240 - CHOWDHURY, NAHID	FA 529 VALUE STRATEGIES PORT-CL A	0.00	1.73	0.00	1.73	90.0	1.56
	ACCOUNT #: 01438110379	BUY 0 Units @0.0000						
05/07/2018	***-**-8048 - MAYER, INGE	FA SHORT TERM BOND A	0.00	0.12	0.00	0.12	90.0	0.11
	ACCOUNT #: 00535064570	BUY 0 Units @0.0000						



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
05/07/2018	***-**-809I - YANKILEVICH, ACCOUNT #: 01429599556	FIDELITY 31618H580 BUY 0 Units @0.0000	0.00	1.98	0.00	1.98	90.0	1.78
05/07/2018	***-**-485I - YANKILEVICH, ACCOUNT #: 01429599564	FIDELITY 31618H580 BUY 0 Units @0.0000	0.00	0.88	0.00	0.88	90.0	0.79
05/07/2018	***-**-095P - DHANDAPANI, ACCOUNT #: 00536397284	FIDELITY ADV BIOTECHNOLOGY-CL A BUY 0 Units @0.0000	0.00	2.22	0.00	2.22	90.0	2.00
05/07/2018	***-**-809I - YANKILEVICH, ACCOUNT #: 01429599556	FIDELITY ADV BIOTECHNOLOGY-CL A BUY 0 Units @0.0000	0.00	2.97	0.00	2.97	90.0	2.67
05/07/2018	***-**-485I - YANKILEVICH, ACCOUNT #: 01429599564	FIDELITY ADV BIOTECHNOLOGY-CL A BUY 0 Units @0.0000	0.00	1.30	0.00	1.30	90.0	1.17
05/07/2018	***-**-311I - ACCOUNT #: 01425465406	FIDELITY ADV BIOTECHNOLOGY-CL A BUY 0 Units @0.0000	0.00	0.78	0.00	0.78	90.0	0.70
05/07/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV DIVIDEND GROWTH FD CL BUY 0 Units @0.0000	0.00	1.91	0.00	1.91	90.0	1.72
05/07/2018	***-**-727I - PELL, ANDREA ACCOUNT #: 01435251887	FIDELITY ADV EMERGING MKTS CL A BUY 0 Units @0.0000	0.00	0.57	0.00	0.57	90.0	0.51
05/07/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV EMERGING MKTS CL A BUY 0 Units @0.0000	0.00	1.77	0.00	1.77	90.0	1.59
05/07/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV ENERGY-CL A BUY 0 Units @0.0000	0.00	1.41	0.00	1.41	90.0	1.27
05/07/2018	***-**-8048 - MAYER, INGE ACCOUNT #: 00535064570	FIDELITY ADV EQUITY INCOME CL A BUY 0 Units @0.0000	0.00	0.45	0.00	0.45	90.0	0.41
05/07/2018	***-**-8240 - CHOWDHURY, NAHID ACCOUNT #: 00525061339	FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @0.0000	0.00	8.50	0.00	8.50	90.0	7.65
05/07/2018	***-**-54IR - SAVARESE-DILL, ACCOUNT #: 00525061420	FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @0.0000	0.00	8.54	0.00	8.54	90.0	7.69
05/07/2018	***-**-335I - BLASCO, LAURIE ACCOUNT #: 01443905136	FIDELITY ADV FREEDOM 2025-CL A BUY 0 Units @0.0000	0.00	2.64	0.00	2.64	90.0	2.38
05/07/2018	***-**-879I - DELAURA, ACCOUNT #: 01488339027	FIDELITY ADV FREEDOM 2055 BUY 0 Units @0.0000	0.00	0.28	0.00	0.28	90.0	0.25
05/07/2018	***-**-809I - YANKILEVICH, ACCOUNT #: 01429599556	FIDELITY ADV INTL REAL ESTATE-CL A BUY 0 Units @0.0000	0.00	2.11	0.00	2.11	90.0	1.90



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
05/07/2018	***-**-485I - YANKILEVICH, ACCOUNT #: 01429599564	FIDELITY ADV INTL REAL ESTATE-CL A BUY 0 Units @0.0000	0.00	0.94	0.00	0.94	90.0	0.85
05/07/2018	***-**-727I - PELL, ANDREA ACCOUNT #: 01435251887	FIDELITY ADV INVESTMENT GRADE BOND- BUY 0 Units @0.0000	0.00	0.35	0.00	0.35	90.0	0.32
05/07/2018	***-**-5870 - BRUNO, KIM ACCOUNT #: 00510642531	FIDELITY ADV MID CAP II-CL A BUY 0 Units @0.0000	0.00	1.52	0.00	1.52	90.0	1.37
05/07/2018	***-**-727I - PELL, ANDREA ACCOUNT #: 01435251887	FIDELITY ADV MID CAP VALUE-CL A BUY 0 Units @0.0000	0.00	0.74	0.00	0.74	90.0	0.67
05/07/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV SMALL CAP VALUE-CL A BUY 0 Units @0.0000	0.00	1.98	0.00	1.98	90.0	1.78
05/07/2018	***-**-8048 - MAYER, INGE ACCOUNT #: 00535064570	FIDELITY ADV SMALL CAP-CL A BUY 0 Units @0.0000	0.00	0.39	0.00	0.39	90.0	0.35
05/07/2018	***-**-8048 - MAYER, INGE ACCOUNT #: 00535064570	FIDELITY ADV STRATEGIC INCOME CL A BUY 0 Units @0.0000	0.00	0.62	0.00	0.62	90.0	0.56
05/07/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADV STRATEGIC REAL RETURN- BUY 0 Units @0.0000	0.00	1.39	0.00	1.39	90.0	1.25
05/07/2018	***-**-8048 - MAYER, INGE ACCOUNT #: 00535064570	FIDELITY ADVISOR ASSET MANAGER 70% BUY 0 Units @0.0000	0.00	0.48	0.00	0.48	90.0	0.43
05/07/2018	***-**-8048 - MAYER, INGE ACCOUNT #: 00535064570	FIDELITY ADVISOR DIVERSIFIED INTL F BUY 0 Units @0.0000	0.00	0.36	0.00	0.36	90.0	0.32
05/07/2018	***-**-4464 - GAMINI, ARUNA ACCOUNT #: 01484913940	FIDELITY ADVISOR EMERGING ASIA FUND BUY 0 Units @0.0000	0.00	0.01	0.00	0.01	90.0	0.01
05/07/2018	***-**-871I - MURPHY, DENISE ACCOUNT #: 01443905094	FIDELITY ADVISOR FREEDOM 2040 BUY 0 Units @0.0000	0.00	2.62	0.00	2.62	90.0	2.36
05/07/2018	***-**-535I - RIOLO, DOROTHEA ACCOUNT #: 01443938483	FIDELITY ADVISOR FREEDOM 2040 BUY 0 Units @0.0000	0.00	1.18	0.00	1.18	90.0	1.06
05/07/2018	***-**-4464 - GAMINI, ARUNA ACCOUNT #: 01484913940	FIDELITY ADVISOR FREEDOM 2040 BUY 0 Units @0.0000	0.00	0.01	0.00	0.01	90.0	0.01
05/07/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR GOLD FUND CLASS A BUY 0 Units @0.0000	0.00	0.79	0.00	0.79	90.0	0.71
05/07/2018	***-**-809I - YANKILEVICH, ACCOUNT #: 01429599564	FIDELITY ADVISOR REAL ESTATE FUND C BUY 0 Units @0.0000	0.00	1.88	0.00	1.88	90.0	1.69



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<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
05/07/2018	***-**-485I - YANKILEVICH, ACCOUNT #: 01429599564	FIDELITY ADVISOR REAL ESTATE FUND C BUY 0 Units @0.0000	0.00	0.84	0.00	0.84	90.0	0.76
05/07/2018	***-**-375I - WATSON, SANDRA ACCOUNT #: 01443935083	FIDELITY ADVISOR REAL ESTATE FUND C BUY 0 Units @0.0000	0.00	1.56	0.00	1.56	90.0	1.40
05/07/2018	***-**-727I - PELL, ANDREA ACCOUNT #: 01435251887	FIDELITY ADVISOR STK SELECTOR ALL C BUY 0 Units @0.0000	0.00	0.99	0.00	0.99	90.0	0.89
05/07/2018	***-**-8048 - MAYER, INGE ACCOUNT #: 00535064570	FIDELITY DESTINY I - CLASS N BUY 0 Units @0.0000	0.00	0.61	0.00	0.61	90.0	0.55
05/14/2018	***-**-00IR - WHITFIELD, FBO ACCOUNT #: LSR103080	MASSACHUSETTS INVESTORS TRUST CLASS BUY 1 Units @0.0000	0.00	2.39	0.00	2.39	90.0	2.15
05/14/2018	***-**-00IR - WHITFIELD, FBO ACCOUNT #: LSR103080	MASSACHUSETTS INVESTORS TRUST-A BUY 1 Units @0.0000	0.00	0.22	0.00	0.22	90.0	0.20
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$94.01</b>	<b>\$0.00</b>	<b>\$94.01</b>		<b>\$84.62</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$237,633.92</b>	<b>\$666.86</b>	<b>\$140.16</b>	<b>\$526.70</b>		<b>\$470.22</b>

### SPLIT TRANSACTIONS

#### **BROKER: RICHARD PASCALE**

05/14/2018	***-**-78IN - KOLLQAKU, BUJARE ACCOUNT #: LSR010074	ALLIANCEBERNSTEIN GROWTH AND INC A BUY 1 Units @0.0000	0.00	1.78	0.00	1.78	90.0	1.60
05/14/2018	***-**-78IN - KOLLQAKU, BUJARE ACCOUNT #: LSR010074	ALLIANCEBERNSTEIN LARGE-CAP GROWTH BUY 1 Units @0.0000	0.00	1.95	0.00	1.95	90.0	1.76
05/14/2018	***-**-78IN - KOLLQAKU, BUJARE ACCOUNT #: LSR010074	ALLIANCEBERNSTEIN MID-CAP GRO A BUY 1 Units @0.0000	0.00	1.19	0.00	1.19	90.0	1.07
05/07/2018	***-**-5389 - POWER, KATHLEEN ACCOUNT #: 01467261812	FA 529 COLLEGE PORT-CL A BUY 0 Units @0.0000	0.00	0.06	0.00	0.06	90.0	0.05
05/07/2018	***-**-5389 - POWER, KATHLEEN ACCOUNT #: 01467261812	FA 529 PORT 2019-CL A BUY 0 Units @0.0000	0.00	2.38	0.00	2.38	90.0	2.14
05/07/2018	***-**-5389 - POWER, KATHLEEN ACCOUNT #: 01467261812	FA 529 PORT 2019-CL C BUY 0 Units @0.0000	0.00	0.10	0.00	0.10	90.0	0.09
05/07/2018	***-**-5723 - PONTURO, ACCOUNT #: 00701164063	FA 529 PORT 2022-CL A BUY 0 Units @0.0000	0.00	0.77	0.00	0.77	90.0	0.69



# COMMISSION STATEMENT

## May 14, 2018

JEFFREY MORRISON  
10 Chadwick Road  
Livingston, NJ 07039

BROKER #: 982  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: RICHARD PASCALE (continued)</u></b>								
05/07/2018	***-**-5723 - PONTURO, ACCOUNT #: 00701164071	FA 529 PORT 2022-CL A BUY 0 Units @0.0000	0.00	0.77	0.00	0.77	90.0	0.69
05/07/2018	***-**-513I - KESSEL, SHAI ACCOUNT #: 01429599580	FIDELITY 31618H580 BUY 0 Units @0.0000	0.00	1.46	0.00	1.46	90.0	1.31
05/07/2018	***-**-513I - KESSEL, SHAI ACCOUNT #: 01429599580	FIDELITY ADV BIOTECHNOLOGY-CL A BUY 0 Units @0.0000	0.00	2.17	0.00	2.17	90.0	1.95
05/07/2018	***-**-9173 - GORDON, DANIEL ACCOUNT #: 00535143713	FIDELITY ADV EQUITY INCOME CL A BUY 0 Units @0.0000	0.00	0.18	0.00	0.18	90.0	0.16
05/07/2018	***-**-885I - TOMASIEWICZ, ACCOUNT #: 00700995913	FIDELITY ADV EQUITY VALUE CL A BUY 0 Units @0.0000	0.00	1.53	0.00	1.53	90.0	1.38
05/07/2018	***-**-04IR - DEVECCHIS, ROSE ACCOUNT #: 01438976696	FIDELITY ADV FREEDOM 2035-CL A BUY 0 Units @0.0000	0.00	0.51	0.00	0.51	90.0	0.46
05/07/2018	***-**-513I - KESSEL, SHAI ACCOUNT #: 01429599580	FIDELITY ADV INTL REAL ESTATE-CL A BUY 0 Units @0.0000	0.00	1.55	0.00	1.55	90.0	1.40
05/07/2018	***-**-9173 - GORDON, DANIEL ACCOUNT #: 00535143713	FIDELITY ADV INVESTMENT GRADE BOND- BUY 0 Units @0.0000	0.00	0.22	0.00	0.22	90.0	0.20
05/07/2018	***-**-513I - KESSEL, SHAI ACCOUNT #: 01429599580	FIDELITY ADVISOR REAL ESTATE FUND C BUY 0 Units @0.0000	0.00	1.38	0.00	1.38	90.0	1.24
05/07/2018	***-**-9173 - GORDON, DANIEL ACCOUNT #: 00535143713	FIDELITY ADVISOR STK SELECTOR ALL C BUY 0 Units @0.0000	0.00	0.11	0.00	0.11	90.0	0.10
05/14/2018	***-**-01I2 - PASCALE, ROBERT ACCOUNT #: LSR003794	MFS UTILITIES FUND-A BUY 1 Units @0.0000	0.00	3.65	0.00	3.65	90.0	3.29
05/14/2018	***-**-71IN - PASCALE, LYNNE ACCOUNT #: LSR003816	MFS UTILITIES FUND-A BUY 1 Units @0.0000	0.00	9.11	0.00	9.11	90.0	8.20
05/14/2018	***-**-815P - WILSON, JOHN ACCOUNT #: 77651113402	NYA JPM 529 COLLEGE AGE-BASED P A BUY 0 Units @0.0000	100.00	2.03	0.00	2.03	90.0	1.83
05/08/2018	***-**-0205 - RESK, 10 ACCOUNT #: VP71054550	PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000	91.00	0.91	0.00	0.91	90.0	0.82
05/08/2018	***-**-0205 - RESK, 10 ACCOUNT #: VP71054550	PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000	9.00	0.09	0.00	0.09	90.0	0.08
05/11/2018	***-**-285P - MORMINO, STEVE ACCOUNT #: VP71053490	PACIFIC LIFE ABRB DTCC BUY 0 Units @0.0000	181.67	1.82	0.00	1.82	90.0	1.64



# COMMISSION STATEMENT

## May 14, 2018

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BROKER #: 982  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS (continued)</u></b>								
<b><u>BROKER: RICHARD PASCALE (continued)</u></b>								
05/07/2018	***-**-0286 - STRAUDEL, ACCOUNT #: VP71067620	PACIFIC LIFE SE5-NYB DTCC BUY 0 Units @0.0000	250.00	3.75	0.00	3.75	90.0	3.38
05/10/2018	***-**-971I - MEEHAN, JULIE ACCOUNT #: 00009175998	SUNAMERICA FOCUSED ALPHA GROWTH CL BUY 0 Units @0.0000	0.00	0.61	0.00	0.61	90.0	0.55
* RICHARD PASCALE SUBTOTAL *			<u>\$631.67</u>	<u>\$40.08</u>	<u>\$0.00</u>	<u>\$40.08</u>		<u>\$36.08</u>
*** SPLIT TRANSACTIONS TOTAL ***			<u>\$631.67</u>	<u>\$40.08</u>	<u>\$0.00</u>	<u>\$40.08</u>		<u>\$36.08</u>

<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: ALAN FISHMAN</u></b>								
04/30/2018	***-**-71IN - GERHARD, LIEPOLD ACCOUNT #: 000000005621845	FOUNDING FUNDS 529 PORTFOLIO - CLAS BUY 0 Units @0.0000	0.00	15.57	0.00	15.57	7.5	1.17
04/30/2018	***-**-619I - BLUMEN, IAN ACCOUNT #: 000015013299403	FRANKLIN BALANCE SHEET FUND CLASS A BUY 0 Units @0.0000	0.00	68.47	0.00	68.47	7.5	5.14
04/30/2018	***-**-619I - BLUMEN, IAN ACCOUNT #: 000015811742741	FRANKLIN RISING DIVIDENDS FD CLASS BUY 0 Units @0.0000	0.00	122.43	0.00	122.43	7.5	9.18
04/30/2018	***-**-71IN - GERHARD, LIEPOLD ACCOUNT #: 000000005621845	FRANKLIN TEMP 529 645769787 BUY 0 Units @0.0000	0.00	17.04	0.00	17.04	7.5	1.28
04/30/2018	***-**-71IN - GERHARD, LIEPOLD ACCOUNT #: 000000005621845	FRANKLIN TEMP 645769480 BUY 0 Units @0.0000	0.00	89.65	0.00	89.65	7.5	6.72
04/30/2018	***-**-71IN - GERHARD, LIEPOLD ACCOUNT #: 000000005621845	FRK SMALL MID CAP GRWTH 529 PORTFL- BUY 0 Units @0.0000	0.00	11.29	0.00	11.29	7.5	0.85
04/30/2018	***-**-619I - BLUMEN, IAN ACCOUNT #: 000028210803424	FRK VAL FND - CL A BUY 0 Units @0.0000	0.00	81.38	0.00	81.38	7.5	6.10
* ALAN FISHMAN SUBTOTAL *			<u>\$0.00</u>	<u>\$405.83</u>	<u>\$0.00</u>	<u>\$405.83</u>		<u>\$30.44</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$405.83</u>	<u>\$0.00</u>	<u>\$405.83</u>		<u>\$30.44</u>





BROKER #: 982  
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$470.22
						Split Transactions		\$36.08
						Override Transactions		\$30.44
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$536.74
						Year-to-date Earnings		\$23,790.35