

# Bill Payment Stub

Check Date:	3/7/2018
Check No.:	ACH
Check Amount:	1,502.64

Dominion Investor Services  
P.O. Box 4768  
Horseshoe Bay, TX 78657-4768

Paid To: GEORGE Q. OFFUTT  
P.O. BOX 3003  
MARBLE FALLS, TX 78654-3003

Date	Type	Reference	Original Amt.	Balance	Discount	Payment
2/28/2018	Bill	COMMISSIONS	1,502.64	1,502.64		1,502.64

**COMMISSION STATEMENT**

February 28, 2018

GEORGE OFFUTT-D067

BROKER #: D067

BRANCH: DMT

, TX

**COMMISSION STATEMENT for GEORGE OFFUTT-D067**

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
CLEARING TRANSACTIONS										
PRODUCT CATEGORY: STOCKS										
02/08/2018 306847669	CORPORATION, GO	S	URANIUM ENERGY CORP	S	-2,729.49	30.00	15.00	15.00	90.0	13.50
02/02/2018 368435423	GUFFEE, CLARENCE	S	ALTRIA GROUP INCORPORATED	B	6,458.06	126.00	15.00	111.00	90.0	99.90
02/02/2018 368435423	GUFFEE, CLARENCE	S	ANNALY CAPITAL MANAGEMENT	B	6,359.85	124.00	15.00	109.00	90.0	98.10
02/02/2018 368435423	GUFFEE, CLARENCE	S	BROOKFIELD GLOBAL LISTED	B	6,285.74	123.00	15.00	108.00	90.0	97.20
02/02/2018 368435423	GUFFEE, CLARENCE	S	DNP SELECT INCOME INC CLOSED	B	6,316.85	123.00	15.00	108.00	90.0	97.20
02/02/2018 368435423	GUFFEE, CLARENCE	S	DUKE ENERGY CORP NEW COM NEW	B	6,283.77	123.00	15.00	108.00	90.0	97.20
02/02/2018 368435423	GUFFEE, CLARENCE	S	GLAXOSMITHKLINE PLC ADS	B	6,487.21	127.00	15.00	112.00	90.0	100.80
02/02/2018 368435423	GUFFEE, CLARENCE	S	OMEGA HEALTHCARE INVS INC	B	6,198.43	122.00	15.00	107.00	90.0	96.30
02/02/2018 368435423	GUFFEE, CLARENCE	S	PEMBINA PIPELINE CORP ISIN	B	6,438.33	126.00	15.00	111.00	90.0	99.90
02/02/2018 368435423	GUFFEE, CLARENCE	S	ROYAL DUTCH SHELL PLC-SPONS ADR	B	6,350.70	122.00	15.00	107.00	90.0	96.30
02/02/2018 368435423	GUFFEE, CLARENCE	S	SOUTHERN COMPANY	B	6,304.91	123.00	15.00	108.00	90.0	97.20
02/02/2018 368435423	GUFFEE, CLARENCE	S	VECTOR GROUP LTD	B	6,255.02	122.00	15.00	107.00	90.0	96.30
* STOCKS SUBTOTAL *					\$67,009.38	\$1,391.00	\$180.00	\$1,211.00	\$1,089.90	

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

02/22/2018 mfc668438	OFFUTT 668438	MFT ALLIANCE BERNSTEIN	B	0.00	2.05	0.00	2.05	90.0	1.85
02/22/2018 mfc668438	OFFUTT 668438	MFT ALLIANCE BERNSTEIN	B	0.00	3.41	0.00	3.41	90.0	3.07
02/22/2018 mfc668438	OFFUTT 668438	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	2.70	0.00	2.70	90.0	2.43
02/22/2018 mfc668438	OFFUTT 668438	MFT ALLIANCEBERNSTEIN GROWTH A	B	0.00	3.17	0.00	3.17	90.0	2.85
02/22/2018 mfc668438	OFFUTT 668438	MFT ALLIANZ NFJ SMALL CAP VALUE	B	0.00	0.69	0.00	0.69	90.0	0.62
02/22/2018 mfc668438	OFFUTT 668438	MFT AMERICAN BALANCED FUND-A	B	0.00	0.10	0.00	0.10	90.0	0.09
02/22/2018 mfc668438	OFFUTT 668438	MFT BLACKROCK HIGH YIELD BOND FUND	B	0.00	9.22	0.00	9.22	90.0	8.30
02/22/2018 mfc668438	OFFUTT 668438	MFT BLACKROCK GLOBAL RESOURCES FUND	B	0.00	0.74	0.00	0.74	90.0	0.67
02/22/2018 mfc668438	OFFUTT 668438	MFT BLACKROCK GLOBAL RESOURCES FUND	B	0.00	0.85	0.00	0.85	90.0	0.77
02/22/2018 mfc668438	OFFUTT 668438	MFT BLK 091928788	B	0.00	2.02	0.00	2.02	90.0	1.82
02/22/2018 mfc668438	OFFUTT 668438	MFT COLUMBIA SMALL CAP VALUE FUND I	B	0.00	2.76	0.00	2.76	90.0	2.48
02/22/2018 mfc668438	OFFUTT 668438	MFT COLUMBIA SELECT INTL EQUITY	B	0.00	2.48	0.00	2.48	90.0	2.23
02/22/2018 mfc668438	OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	0.37	0.00	0.37	90.0	0.33
02/22/2018 mfc668438	OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	1.44	0.00	1.44	90.0	1.30
02/22/2018 mfc668438	OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	2.60	0.00	2.60	90.0	2.34
02/22/2018 mfc668438	OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	3.52	0.00	3.52	90.0	3.17

COMMISSION STATEMENT for GEORGE OFFUTT-D067

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
02/22/2018	mfc668438 OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	6.74	0.00	6.74	90.0	6.07
02/22/2018	mfc668438 OFFUTT 668438	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	11.62	0.00	11.62	90.0	10.46
02/22/2018	mfc668438 OFFUTT 668438	MFT DELAWARE DIVIDEND INCOME FUND A	B	0.00	2.62	0.00	2.62	90.0	2.36
02/22/2018	mfc668438 OFFUTT 668438	MFT DEUTSCHE CROCI	B	0.00	3.35	0.00	3.35	90.0	3.02
02/22/2018	mfc668438 OFFUTT 668438	MFT DEUTSCHE CROCI	B	0.00	4.55	0.00	4.55	90.0	4.10
02/22/2018	mfc668438 OFFUTT 668438	MFT DEUTSCHE CROCI	B	0.00	4.94	0.00	4.94	90.0	4.45
02/22/2018	mfc668438 OFFUTT 668438	MFT DEUTSCHE CORE EQUITY FUND-A	B	0.00	3.96	0.00	3.96	90.0	3.56
02/22/2018	mfc668438 OFFUTT 668438	MFT DEUTSCHE REAL ESTATE SECURITIES	B	0.00	0.88	0.00	0.88	90.0	0.79
02/22/2018	mfc668438 OFFUTT 668438	MFT DEUTSCHE SCIENCE AND TECHNOLOGY	B	0.00	1.61	0.00	1.61	90.0	1.45
02/22/2018	mfc668438 OFFUTT 668438	MFT EATON VANCE NATIONAL MUNICIPAL	B	0.00	11.06	0.00	11.06	90.0	9.95
02/22/2018	mfc668438 OFFUTT 668438	MFT EHV 277902813	B	0.00	1.20	0.00	1.20	90.0	1.08
02/22/2018	mfc668438 OFFUTT 668438	MFT IVY GLOBAL NATURAL RESOURCES	B	0.00	0.87	0.00	0.87	90.0	0.78
02/22/2018	mfc668438 OFFUTT 668438	MFT IVY SCIENCE AND TECHNOLOGY FUND	B	0.00	1.25	0.00	1.25	90.0	1.13
02/22/2018	mfc668438 OFFUTT 668438	MFT OPPENHEIMERFUNDS 68380E304	B	0.00	1.21	0.00	1.21	90.0	1.09
02/22/2018	mfc668438 OFFUTT 668438	MFT OPPENHEIMER SMALL & MID CAP	B	0.00	4.46	0.00	4.46	90.0	4.01
02/22/2018	mfc668438 OFFUTT 668438	MFT TEMP FRGN FND - CL A	B	0.00	0.97	0.00	0.97	90.0	0.87
02/22/2018	mfc668438 OFFUTT 668438	MFT TEMP WORLD FND - CL A	B	0.00	1.66	0.00	1.66	90.0	1.49
02/22/2018	mfc668438 OFFUTT 668438	MFT VICTORY MUNDER MULTI CAP FUND	B	0.00	1.24	0.00	1.24	90.0	1.12
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$102.31	\$0.00	\$102.31		\$92.10
*** CLEARING TRANSACTIONS TOTAL ***				\$67,009.38	\$1,493.31	\$180.00	\$1,313.31		\$1,182.00

DIRECT TRANSACTIONSPRODUCT CATEGORY: MUTUAL FUNDS

02/05/2018 (None)	JACKSON, TANYA	MF COLUMBIA THREADNEEDLE	B	0.00	3.72	0.00	3.72	90.0	3.35
* MUTUAL FUNDS SUBTOTAL *				\$0.00	\$3.72	\$0.00	\$3.72		\$3.35

PRODUCT CATEGORY: VARIABLE ANNUITIES

02/16/2018 (None)	OFFUTT, GEORGE	VA PRUDENTIAL LIFE	B	0.00	21.00	0.00	21.00	90.0	18.90
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$21.00	\$0.00	\$21.00		\$18.90

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

01/31/2018 (None)	JACKSON, TANYA	MFT COLUMBIA THREADNEEDLE	B	0.00	16.22	0.00	16.22	90.0	14.60
01/31/2018 (None)	BLACK, JESSICA	MFT TEXAS 529 PLAN	B	0.00	1.49	0.00	1.49	90.0	1.34
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$17.71	\$0.00	\$17.71		\$15.94
*** DIRECT TRANSACTIONS TOTAL ***				\$0.00	\$42.43	\$0.00	\$42.43		\$38.19

COMMISSION STATEMENT for GEORGE OFFUTT-D067

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

ADJUSTMENTS

02/28/2018		: BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
02/28/2018		: E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
02/28/2018		: MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		337.45
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$282.45

BROKER COMMISSION TOTALS

Broker Transactions	\$1,220.19
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$282.45
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,502.64
Year-to-date Commissions	\$3,854.13