



Concorde Investment Services, LLC

12/28/2017

Page No. 1

COMMISSION STATEMENT**December 31, 2017****JAMES MAHER**

3082 Quail Ridge Circle

Rochester Hills, MI 48309-

BROKER #: M127

BRANCH: JAMES MAHER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
12/13/2017	MAHER, HENRY	COTTONWOOD RESIDENTIAL 2016 PREFR'D	100,000.00	7,000.00	0.00	7,000.00	90.0	6,300.00
12/13/2017	HATHAWAY, RICHARD	COTTONWOOD RESIDENTIAL 2016 PREFR'D	100,000.00	7,000.00	0.00	7,000.00	90.0	6,300.00
* PRIVATE PLACEMENT SUBTOTAL *			\$200,000.00	\$14,000.00	\$0.00	\$14,000.00		\$12,600.00
<u>PRODUCT CATEGORY: 529 PLANS</u>								
12/01/2017	O'CONNOR, AARON	AM FUNDS AMCAP 529C	18.75	0.19	0.00	0.19	90.0	0.17
12/01/2017	O'CONNOR, AARON	AM FUNDS AMCAP 529C	18.75	0.19	0.00	0.19	90.0	0.17
11/30/2017	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
11/30/2017	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
12/01/2017	HICKSON, MARK	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
12/01/2017	MARKHAM, CLAIRE	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
12/01/2017	JACK, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
12/15/2017	PALMER, ROBERT	AM FUNDS CAPITAL INCOME BUILDER 529	75.00	0.75	0.00	0.75	90.0	0.68
12/15/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER 529	133.00	1.33	0.00	1.33	90.0	1.20
12/15/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER 529	133.00	1.33	0.00	1.33	90.0	1.20
12/15/2017	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
12/15/2017	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
12/15/2017	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
12/15/2017	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
12/20/2017	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	400.00	4.00	0.00	4.00	90.0	3.60
12/21/2017	PALMER, ROBERT	AM FUNDS CAPITAL INCOME BUILDER 529	250.00	2.50	0.00	2.50	90.0	2.25
12/22/2017	MAHER, HENRY	AM FUNDS CAPITAL INCOME BUILDER 529	250.00	2.50	0.00	2.50	90.0	2.25
12/01/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	18.75	0.19	0.00	0.19	90.0	0.17
12/01/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	18.75	0.19	0.00	0.19	90.0	0.17
12/01/2017	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	37.50	0.38	0.00	0.38	90.0	0.34
12/15/2017	SCACCIA, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC 5	100.00	1.00	0.00	1.00	90.0	0.90
12/15/2017	SCACCIA, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	0.50	0.00	0.50	90.0	0.45

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
12/15/2017	SCACCIA, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	0.50	0.00	0.50	90.0	0.45
12/15/2017	SIMON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	0.50	0.00	0.50	90.0	0.45
12/15/2017	SIMON, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC 5	50.00	0.50	0.00	0.50	90.0	0.45
12/01/2017	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	18.75	0.19	0.00	0.19	90.0	0.17
12/01/2017	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	18.75	0.19	0.00	0.19	90.0	0.17
12/01/2017	HICKSON, MARK	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
12/15/2017	PALMER, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND 52	75.00	0.75	0.00	0.75	90.0	0.68
12/15/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS EUROPACIFIC GROWTH FUND 52	133.00	1.33	0.00	1.33	90.0	1.20
12/15/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS EUROPACIFIC GROWTH FUND 52	133.00	1.33	0.00	1.33	90.0	1.20
12/15/2017	SIMON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND 52	50.00	0.50	0.00	0.50	90.0	0.45
12/15/2017	SIMON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND 52	50.00	0.50	0.00	0.50	90.0	0.45
12/21/2017	PALMER, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND 52	250.00	2.50	0.00	2.50	90.0	2.25
12/22/2017	MAHER, HENRY	AM FUNDS EUROPACIFIC GROWTH FUND 52	250.00	2.50	0.00	2.50	90.0	2.25
12/01/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	18.75	0.19	0.00	0.19	90.0	0.17
12/01/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	18.75	0.19	0.00	0.19	90.0	0.17
12/01/2017	HICKSON, MARK	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
12/01/2017	MARKHAM, CLAIRE	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
12/01/2017	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	37.50	0.38	0.00	0.38	90.0	0.34
12/01/2017	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
12/15/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA 529	134.00	1.34	0.00	1.34	90.0	1.21
12/15/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA 529	134.00	1.34	0.00	1.34	90.0	1.21
12/15/2017	SIMON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
12/15/2017	SIMON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
12/18/2017	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA 529	75.00	0.75	0.00	0.75	90.0	0.68
12/22/2017	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA 529	250.00	2.50	0.00	2.50	90.0	2.25
12/01/2017	JACK, KELLY	AM FUNDS ICA 529C	25.00	0.25	0.00	0.25	90.0	0.23
12/18/2017	QUSSAR, JAMES	AM FUNDS ICA 529C	75.00	0.75	0.00	0.75	90.0	0.68
12/01/2017	HICKSON, MARK	AM FUNDS INCOME FUND OF AMERICA-529	25.00	0.25	0.00	0.25	90.0	0.23
12/01/2017	JACK, KELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	25.00	0.25	0.00	0.25	90.0	0.23
12/22/2017	MAHER, HENRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	250.00	2.50	0.00	2.50	90.0	2.25
12/18/2017	QUSSAR, JAMES	AMERICAN FUNDS GRWTH & INC PF 529C	75.00	0.75	0.00	0.75	90.0	0.68
12/18/2017	QUSSAR, JAMES	AMERICAN FUNDS INCOME PF 529C	75.00	0.75	0.00	0.75	90.0	0.68
* 529 PLANS SUBTOTAL *			\$4,475.00	\$44.78	\$0.00	\$44.78		\$40.38

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/07/2017	MOTOR CITY COMPUTER	COLUMBIA SMALLCAP CORE FUND A	15.00	0.15	0.00	0.15	90.0	0.14
11/24/2017	MOTOR CITY COMPUTER	PIONEER BOND FUND A	92.50	0.93	0.00	0.93	90.0	0.84
12/07/2017	MOTOR CITY COMPUTER	PIONEER BOND FUND A	92.50	0.93	0.00	0.93	90.0	0.84
* MUTUAL FUNDS SUBTOTAL *			\$200.00	\$2.01	\$0.00	\$2.01		\$1.82
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/08/2017	MAHER, VARIOUS	LFG CP ASSURANCE SERIES	0.00	7,500.00	0.00	7,500.00	90.0	6,750.00
12/07/2017	LUJAN, DEBORAH	PRU AS APEX II	30,000.00	0.00	0.00	0.00	90.0	0.00
12/01/2017	KUECKEN, DANIEL	PRU DEFINED INCOME	150,000.00	1,500.00	0.00	1,500.00	90.0	1,350.00
12/01/2017	HATHAWAY, RICHARD	PRU PREMIER RETIREMENT B	200,000.00	11,500.00	0.00	11,500.00	90.0	10,350.00
* VARIABLE ANNUITIES SUBTOTAL *			\$380,000.00	\$20,500.00	\$0.00	\$20,500.00		\$18,450.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
12/05/2017	MOTOR CITY COMPUTER	COLUMBIA SMALLCAP CORE FUND A	0.00	1.86	0.00	1.86	90.0	1.67
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$1.86	\$0.00	\$1.86		\$1.67
*** DIRECT TRANSACTIONS TOTAL ***			\$584,675.00	\$34,548.65	\$0.00	\$34,548.65		\$31,093.87

BROKER COMMISSION TOTALS

Broker Transactions	\$31,093.87
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$31,093.87
Year-to-date Gross	\$440,862.30
Year-to-date Earnings	\$395,816.98

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed