BROKER

Concorde 🙆

COMMISSION STATEMENT

December 31, 2017

INVESTMENT

BROKER #: L117

GROSS

BRANCH: ROBERT LUCHSINGER

CLEARING

NET

ROBERT LUCHSINGER 600 Johnson Ave. Suite B5 Bohemia, NY 11716

| DATE | CLIENT | INVESTMENT | AMOUNT | COMMISSION | CHARGE | COMMISSION | RATE | COMMISSION |
|------------|--------------------|-------------------------------------|-------------|------------|----------|------------|------|------------|
| | | | | | | | | |
| | | CLEARING TRANS | SACTIONS | | | | | |
| PRODUCT CA | TEGORY: STOCKS | | | | | | | |
| 12/22/2017 | PATTERSON, BARBARA | AFLAC INC COM | -349.38 | 22.00 | 22.50 | -0.50 | 95.0 | -0.48 |
| 12/22/2017 | PATTERSON, BARBARA | AGILENT TECHNOLOGIES INC COM | -336.55 | 22.00 | 22.50 | -0.50 | 95.0 | -0.48 |
| 12/22/2017 | PATTERSON, BARBARA | AMETEK INC NEW COM | -290.61 | 22.00 | 22.50 | -0.50 | 95.0 | -0.48 |
| 12/22/2017 | PATTERSON, BARBARA | ANALOG DEVICES INC COM | -530.90 | 22.00 | 22.50 | -0.50 | 95.0 | -0.48 |
| 12/22/2017 | PATTERSON, BARBARA | BEST BUY INC COM | -335.00 | 22.00 | 22.50 | -0.50 | 95.0 | -0.48 |
| 12/22/2017 | PATTERSON, BARBARA | CA INC COM | -301.50 | 22.00 | 22.50 | -0.50 | 95.0 | -0.48 |
| 12/22/2017 | PATTERSON, BARBARA | COMMERCIAL METALS CO | -314.31 | 22.00 | 22.50 | -0.50 | 95.0 | -0.48 |
| 12/22/2017 | PATTERSON, BARBARA | DISCOVERY COMMUNICATIONS INC COM SE | -267.05 | 22.00 | 22.50 | -0.50 | 95.0 | -0.48 |
| 12/22/2017 | PATTERSON, BARBARA | ELECTRONIC ARTS INC COM | -429.43 | 22.00 | 22.50 | -0.50 | 95.0 | -0.48 |
| 12/22/2017 | PATTERSON, BARBARA | EMERSON ELEC CO COM | -347.26 | 22.00 | 22.50 | -0.50 | 95.0 | -0.48 |
| 12/22/2017 | PATTERSON, BARBARA | ENBRIDGE INC COM ISIN#CA29250N1050 | -230.17 | 22.00 | 22.50 | -0.50 | 95.0 | -0.48 |
| 12/26/2017 | PATTERSON, BARBARA | FIDELITY NATL FINL INC NEW FNF GROU | -430.89 | 22.00 | 22.50 | -0.50 | 95.0 | -0.48 |
| 12/26/2017 | PATTERSON, BARBARA | GENERAL MILLS INC COM | -360.85 | 22.00 | 22.50 | -0.50 | 95.0 | -0.48 |
| 12/26/2017 | PATTERSON, BARBARA | GOODYEAR TIRE & RUBR CO COM | -396.14 | 22.00 | 22.50 | -0.50 | 95.0 | -0.48 |
| 12/26/2017 | PATTERSON, BARBARA | GRIFFON CORP COM | -369.09 | 22.00 | 22.50 | -0.50 | 95.0 | -0.48 |
| 12/26/2017 | PATTERSON, BARBARA | KEYCORP NEW COM | -367.76 | 22.00 | 22.50 | -0.50 | 95.0 | -0.48 |
| 12/26/2017 | PATTERSON, BARBARA | KRAFT HEINZ CO COM STK | -390.92 | 22.00 | 22.50 | -0.50 | 95.0 | -0.48 |
| 12/26/2017 | PATTERSON, BARBARA | KROGER CO | -393.71 | 22.00 | 22.50 | -0.50 | 95.0 | -0.48 |
| 12/26/2017 | PATTERSON, BARBARA | LINCOLN ELEC HLDGS INC COM | -364.64 | 22.00 | 22.50 | -0.50 | 95.0 | -0.48 |
| 12/26/2017 | PATTERSON, BARBARA | MICROCHIP TECHNOLOGY INC COM | -529.28 | 22.00 | 22.50 | -0.50 | 95.0 | -0.48 |
| 12/26/2017 | PATTERSON, BARBARA | NEWMONT MNG CORP COM | -335.81 | 22.00 | 22.50 | -0.50 | 95.0 | -0.48 |
| 12/26/2017 | PATTERSON, BARBARA | OMNICOM GROUP INC COM | -369.47 | 22.00 | 22.50 | -0.50 | 95.0 | -0.48 |
| | | * STOCKS SUBTOTAL * | \$-8,040.72 | \$484.00 | \$495.00 | \$-11.00 | | \$-10.56 |
| | | *** CLEARING TRANSACTIONS TOTAL *** | \$-8,040.72 | \$484.00 | \$495.00 | \$-11.00 | | \$-10.56 |

^{** -} Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|----------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| | | | | | | | | |
| | | DIRECT TRANSA | ACTIONS | | | | | |
| PRODUCT CA | TEGORY: MUTUAL FUNDS | | | | | | | |
| 11/17/2017 | KAMPMANN, PATRICIA | AM FUNDS WASHINGTON MUTUAL INVESTOR | 40,000.00 | 1,100.00 | 0.00 | 1,100.00 | 95.0 | 1,045.00 |
| 11/17/2017 | KAMPMANN, PATRICIA | AM FUNDS WASHINGTON MUTUAL INVESTOR | -40,000.00 | -400.00 | 0.00 | -400.00 | 95.0 | -380.00 |
| 12/21/2017 | HANAK, EMMA | AM FUNDS WASHINGTON MUTUAL INVESTOR | 287.70 | 14.39 | 0.00 | 14.39 | 95.0 | 13.67 |
| 12/04/2017 | PRYDETKO, WALTER | OPPENHEIMER CAPITAL APPRECIATION A | 69.71 | 1.12 | 0.00 | 1.12 | 95.0 | 1.06 |
| 12/04/2017 | PRYDETKO, WALTER | OPPENHEIMER CAPITAL APPRECIATION A | 33.75 | 0.54 | 0.00 | 0.54 | 95.0 | 0.51 |
| 12/11/2017 | PRYDETKO, WALTER | OPPENHEIMER CAPITAL APPRECIATION A | 69.71 | 1.12 | 0.00 | 1.12 | 95.0 | 1.06 |
| 12/11/2017 | PRYDETKO, WALTER | OPPENHEIMER CAPITAL APPRECIATION A | 33.75 | 0.54 | 0.00 | 0.54 | 95.0 | 0.51 |
| 12/04/2017 | PRYDETKO, WALTER | OPPENHEIMER GLOBAL A | 69.71 | 1.12 | 0.00 | 1.12 | 95.0 | 1.06 |
| 12/04/2017 | PRYDETKO, WALTER | OPPENHEIMER GLOBAL A | 33.75 | 0.54 | 0.00 | 0.54 | 95.0 | 0.51 |
| 12/11/2017 | PRYDETKO, WALTER | OPPENHEIMER GLOBAL A | 69.71 | 1.12 | 0.00 | 1.12 | 95.0 | 1.06 |
| 12/11/2017 | PRYDETKO, WALTER | OPPENHEIMER GLOBAL A | 33.75 | 0.54 | 0.00 | 0.54 | 95.0 | 0.51 |
| 12/04/2017 | PRYDETKO, WALTER | OPPENHEIMER GLOBAL ALLOCATION A | 41.83 | 0.67 | 0.00 | 0.67 | 95.0 | 0.64 |
| 12/04/2017 | PRYDETKO, WALTER | OPPENHEIMER GLOBAL ALLOCATION A | 20.25 | 0.32 | 0.00 | 0.32 | 95.0 | 0.30 |
| 12/11/2017 | PRYDETKO, WALTER | OPPENHEIMER GLOBAL ALLOCATION A | 41.83 | 0.67 | 0.00 | 0.67 | 95.0 | 0.64 |
| 12/11/2017 | PRYDETKO, WALTER | OPPENHEIMER GLOBAL ALLOCATION A | 20.25 | 0.32 | 0.00 | 0.32 | 95.0 | 0.30 |
| 12/04/2017 | PRYDETKO, WALTER | OPPENHEIMER GOLD & SPECIAL MINERALS | 27.89 | 0.45 | 0.00 | 0.45 | 95.0 | 0.43 |
| 12/04/2017 | PRYDETKO, WALTER | OPPENHEIMER GOLD & SPECIAL MINERALS | 13.50 | 0.22 | 0.00 | 0.22 | 95.0 | 0.21 |
| 12/11/2017 | PRYDETKO, WALTER | OPPENHEIMER GOLD & SPECIAL MINERALS | 27.89 | 0.45 | 0.00 | 0.45 | 95.0 | 0.43 |
| 12/11/2017 | PRYDETKO, WALTER | OPPENHEIMER GOLD & SPECIAL MINERALS | 13.50 | 0.22 | 0.00 | 0.22 | 95.0 | 0.21 |
| 12/11/2017 | ALBANESE, MICAHEL | OPPENHEIMER MAIN ST SMALL & MID CAP | 100.00 | 1.00 | 0.00 | 1.00 | 95.0 | 0.95 |
| 12/04/2017 | PRYDETKO, WALTER | OPPENHEIMER MAIN STREET SMALLCAP A | 69.71 | 1.12 | 0.00 | 1.12 | 95.0 | 1.06 |
| 12/04/2017 | PRYDETKO, WALTER | OPPENHEIMER MAIN STREET SMALLCAP A | 33.75 | 0.54 | 0.00 | 0.54 | 95.0 | 0.51 |
| 12/11/2017 | PRYDETKO, WALTER | OPPENHEIMER MAIN STREET SMALLCAP A | 69.71 | 1.12 | 0.00 | 1.12 | 95.0 | 1.06 |
| 12/11/2017 | PRYDETKO, WALTER | OPPENHEIMER MAIN STREET SMALLCAP A | 33.75 | 0.54 | 0.00 | 0.54 | 95.0 | 0.51 |
| | | * MUTUAL FUNDS SUBTOTAL * | \$1,215.40 | \$728.67 | \$0.00 | \$728.67 | | \$692.20 |
| PRODUCT CA | TEGORY: VARIABLE ANNUITIES | | | | | | | |
| 12/11/2017 | MINARDI, LOUIS | ING EMPIRE INNOVATIONS STD OPT A | 73,640.48 | 184.10 | 0.00 | 184.10 | 95.0 | 174.90 |
| 12/22/2017 | MOLNAR, J S KIP | JNL 468511134 | 6,500.00 | 292.50 | 0.00 | 292.50 | 95.0 | 277.88 |
| 12/11/2017 | YETTER, DEBRA | JNL PERSPECTIVE II 04/14 | 1,000.00 | 55.00 | 0.00 | 55.00 | 95.0 | 52.25 |
| 12/14/2017 | DIBENEDETTO, SUSAN | JNL PERSPECTIVE II 04/14 | 40,000.00 | 2,200.00 | 0.00 | 2,200.00 | 95.0 | 2,090.00 |
| | | * VARIABLE ANNUITIES SUBTOTAL * | \$121,140.48 | \$2,731.60 | \$0.00 | \$2,731.60 | | \$2,595.03 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings ** - Indicates adjustment is not included in Totals or Commissions

[^]Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION | |
|---------------------|-----------------------|---|----------------------|---------------------|--------------------|-------------------|------|----------------------|--|
| DIRECT TRANSACTIONS | | | | | | | | | |
| PRODUCT CA | TEGORY: MUTUAL FUND 1 | 2B1 TRAILS | | | | | | | |
| 12/05/2017 | LUCHSINGER, ELIZABETH | COLUMBIA GREATER CHINA A | 0.00 | 5.13 | 0.00 | 5.13 | 95.0 | 4.87 | |
| 12/05/2017 | 532 COUNTY RT 111 | COLUMBIA LG VALUE QUANTITATIVE T | 0.00 | 0.00 | 0.00 | 0.00 | 95.0 | 0.00 | |
| 12/05/2017 | LUCHSINGER, ELIZABETH | COLUMBIA MIDCAP VALUE A | 0.00 | 4.06 | 0.00 | 4.06 | 95.0 | 3.86 | |
| 12/05/2017 | BREITFELLER, CYNTHIA | COLUMBIA STRATEGIC INCOME A | 0.00 | 0.41 | 0.00 | 0.41 | 95.0 | 0.39 | |
| 11/30/2017 | HANAK, EMMA | EATON VANCE NATIONAL MUNICIPAL INCO | 0.00 | 31.30 | 0.00 | 31.30 | 95.0 | 29.74 | |
| 12/11/2017 | TIERNAN, JOAN | SUNAMERICA FOCUSED ALPHA GROWTH A | 0.00 | 2.39 | 0.00 | 2.39 | 95.0 | 2.27 | |
| 12/11/2017 | MAZZA, JUSTIN | SUNAMERICA FOCUSED ALPHA GROWTH A | 0.00 | 2.65 | 0.00 | 2.65 | 95.0 | 2.52 | |
| 12/11/2017 | MAZZA, DOMENICK | SUNAMERICA FOCUSED ALPHA GROWTH A | 0.00 | 1.34 | 0.00 | 1.34 | 95.0 | 1.27 | |
| 12/11/2017 | TIERNAN, JOAN | SUNAMERICA FOCUSED ALPHA LARGECAP A | 0.00 | 2.09 | 0.00 | 2.09 | 95.0 | 1.99 | |
| 12/11/2017 | CHAPMAN, CLARENCE | SUNAMERICA FOCUSED ALPHA LARGECAP A | 0.00 | 0.26 | 0.00 | 0.26 | 95.0 | 0.25 | |
| 12/11/2017 | MAZZA, JUSTIN | SUNAMERICA FOCUSED ALPHA LARGECAP A | 0.00 | 1.30 | 0.00 | 1.30 | 95.0 | 1.24 | |
| 12/11/2017 | MAZZA, DOMENICK | SUNAMERICA FOCUSED ALPHA LARGECAP A | 0.00 | 1.60 | 0.00 | 1.60 | 95.0 | 1.52 | |
| 12/11/2017 | ROBERTO, JOSEPH | SUNAMERICA FOCUSED ALPHA LARGECAP A | 0.00 | 6.79 | 0.00 | 6.79 | 95.0 | 6.45 | |
| 12/11/2017 | BREITFELLER, CYNTHIA | SUNAMERICA FOCUSED ALPHA LARGECAP A | 0.00 | 0.20 | 0.00 | 0.20 | 95.0 | 0.19 | |
| 12/11/2017 | BREITFELLER, CYNTHIA | SUNAMERICA SENIOR FLOATING RATE A | 0.00 | 0.77 | 0.00 | 0.77 | 95.0 | 0.73 | |
| 12/11/2017 | TIERNAN, JOAN | SUNAMERICA STRATEGIC BOND FUND CLAS | 0.00 | 1.66 | 0.00 | 1.66 | 95.0 | 1.58 | |
| 12/11/2017 | TIERNAN, JOAN | SUNAMERICA STRATEGIC VALUE PORTFOLI | 0.00 | 1.35 | 0.00 | 1.35 | 95.0 | 1.28 | |
| 12/11/2017 | SEAMAN, PATRICIA | SUNAMERICA US GOVT SECURITIES CL A | 0.00 | 6.23 | 0.00 | 6.23 | 95.0 | 5.92 | |
| | | * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | \$0.00 | \$69.53 | \$0.00 | \$69.53 | | \$66.07 | |
| PRODUCT CA | TEGORY: VARIABLE ANNU | JITY 12B1 TRAILS | | | | | | | |
| 12/06/2017 | DEPTULA, SUSAN | PACIFIC LIFE TRAILS | 0.00 | 750.00 | 0.00 | 750.00 | 95.0 | 712.50 | |
| 12/21/2017 | LUCHSINGER, JANE | ING EMPIRE INNOVATIONS STD OPT A | 9,944.04 | 24.86 | 0.00 | 24.86 | 95.0 | 23.62 | |
| 12/11/2017 | GARDNER, MARILYN | PAC LIFE INNOVATIVE SELECT | 0.00 | 2,500.00 | 0.00 | 2,500.00 | 95.0 | 2,375.00 | |
| 12/12/2017 | BREITFELLER, CYNTHIA | PAC LIFE INNOVATIVE SELECT NY | 0.00 | 234.13 | 0.00 | 234.13 | 95.0 | 222.42 | |
| 12/14/2017 | MINARDI, DONNA | PAC LIFE INNOVATIVE SELECT NY | 0.00 | 201.14 | 0.00 | 201.14 | 95.0 | 191.08 | |
| 12/14/2017 | KNAACK, PATRICIA | PAC LIFE INNOVATIVE SELECT NY | 0.00 | 854.07 | 0.00 | 854.07 | 95.0 | 811.37 | |
| 12/15/2017 | SMITH, GARY | PAC LIFE INNOVATIVE SELECT NY | 0.00 | 27.08 | 0.00 | 27.08 | 95.0 | 25.73 | |
| 12/15/2017 | KNAACK, PATRICIA | PAC LIFE INNOVATIVE SELECT NY | 0.00 | 369.17 | 0.00 | 369.17 | 95.0 | 350.71 | |
| 12/15/2017 | SALANITRO, ISABEL | PAC LIFE INNOVATIVE SELECT NY | 0.00 | 432.83 | 0.00 | 432.83 | 95.0 | 411.19 | |
| | | * VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL * | \$9,944.04 | \$5,393.28 | \$0.00 | \$5,393.28 | | \$5,123.62 | |
| | | *** DIRECT TRANSACTIONS TOTAL *** | \$132,299.92 | \$8,923.08 | \$0.00 | \$8,923.08 | | \$8,476.92 | |

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|------------|--------------------------|-------------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| | | OVERRIDE TRAN | SACTIONS | | | | | |
| BROKER: L1 | .49-ROBERT LUCHSINGER #3 | 888 | | | | | | |
| 12/04/2017 | GALLAS, MATTHEW | PACIFIC LIFE TRAILS | 0.00 | 425.92 | 0.00 | 425.92 | 0.0 | 170.37 |
| 12/07/2017 | GARDNER, MARILYN | PACIFIC LIFE TRAILS | 0.00 | 336.41 | 0.00 | 336.41 | 0.0 | 134.56 |
| 12/14/2017 | ROARKE, MARY ELIZABETH | ING EMPIRE INNOVATIONS STD OPT B | 60,946.60 | 137.13 | 0.00 | 137.13 | 0.0 | 54.85 |
| / / | KNAACK, JOHN | RYDEX GUGG MID CAP VALUE A | 0.00 | 4.87 | 0.00 | 4.87 | 0.0 | 1.95 |
| 12/11/2017 | WESTRENEN, SUSAN | SUNAMERICA FOCUSED ALPHA LARGECAP A | 0.00 | 1.46 | 0.00 | 1.46 | 0.0 | 0.58 |
| 12/11/2017 | REILLY, MARGARET | SUNAMERICA FOCUSED MULTI ASSET A | 0.00 | 11.79 | 0.00 | 11.79 | 0.0 | 4.72 |
| 12/11/2017 | REILLY, MARGARET | SUNAMERICA FOCUSED MULTI ASSET B | 0.00 | 3.79 | 0.00 | 3.79 | 0.0 | 1.52 |
| 12/11/2017 | WESTRENEN, SUSAN | SUNAMERICA STRATEGIC VALUE PORTFOLI | 0.00 | 1.40 | 0.00 | 1.40 | 0.0 | 0.56 |
| | | * L149-ROBERT LUCHSINGER SUBTOTAL * | \$60,946.60 | \$922.77 | \$0.00 | \$922.77 | | \$369.11 |
| | | *** OVERRIDE TRANSACTIONS TOTAL *** | \$60,946.60 | \$922.77 | \$0.00 | \$922.77 | | \$369.11 |
| | | ADJUSTME | INTS | | | | | |
| 12/31/2017 | | *PERSHING: BOOKS AND RECORDS | 0.00 | | | | | -3.27 |
| | | *** ADJUSTMENTS TOTAL *** | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-3.27 |

BROKER COMMISSION TOTALS \$8,466.36 Broker Transactions Split Transactions \$0.00 \$369.11 Override Transactions Adjustments \$-3.27 Payroll Draw \$0.00 \$0.00 Base Salary Prior Period Balance \$0.00 FINRA Assessment \$0.00 \$0.00 SIPC Assessment \$8,832.20 Check Amount \$285,834.49 Year-to-date Gross Year-to-date Earnings \$273,662.09