

**COMMISSION STATEMENT****March 15, 2018**TIMOTHY, S118 STAUFFER
333 Fiddlers CoveBROKER #: S118
BRANCH: TIMOTHY STAUFFER

Fort Wayne, IN 46825

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
02/28/2018	ZEHR, BERTHA	GAMESTOP CORP NEW CLASS A	-1,568.34	0.00	22.50	-22.50	90.0	-20.25
		* STOCKS SUBTOTAL *	\$-1,568.34	\$0.00	\$22.50	\$-22.50		\$-20.25
		*** CLEARING TRANSACTIONS TOTAL ***	\$-1,568.34	\$0.00	\$22.50	\$-22.50		\$-20.25
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/01/2018	LARKINS, TROY	AM FUNDS ICA C	215.00	2.15	0.00	2.15	90.0	1.94
		* MUTUAL FUNDS SUBTOTAL *	\$215.00	\$2.15	\$0.00	\$2.15		\$1.94
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
02/28/2018	WILD, WILLIAM	JNL ELITE ACCESS	101,990.52	42.50	0.00	42.50	90.0	38.25
02/28/2018	NUTTLE, ALLEN	JNL ELITE ACCESS	28,422.95	5.92	0.00	5.92	90.0	5.33
02/28/2018	MOHR, AMY	JNL ELITE ACCESS	47,024.04	9.80	0.00	9.80	90.0	8.82
02/28/2018	LARKINS, TROY	JNL ELITE ACCESS	49,647.38	10.34	0.00	10.34	90.0	9.31
02/28/2018	ZEHR, PAUL	JNL ELITE ACCESS	84,233.58	17.55	0.00	17.55	90.0	15.80
02/28/2018	ZIMMERMAN, CATHERINE	JNL PERSPECTIVE	30,949.14	6.45	0.00	6.45	90.0	5.81
02/28/2018	COLEMAN, CHERYL	JNL PERSPECTIVE	37,576.90	7.83	0.00	7.83	90.0	7.05
02/28/2018	FLETCHER, MONA	JNL PERSPECTIVE II	27,651.81	11.52	0.00	11.52	90.0	10.37
02/28/2018	LEDERMAN, JOANNE	JNL PERSPECTIVE II	309,757.95	129.07	0.00	129.07	90.0	116.16
02/28/2018	WILD, CHRISTOPHER	JNL PERSPECTIVE II	14,608.08	6.09	0.00	6.09	90.0	5.48
02/28/2018	MIDDLETON, LUCINDA	JNL PERSPECTIVE II 04/14	83,711.17	34.88	0.00	34.88	90.0	31.39
02/28/2018	MIDDLETON, JAMES	JNL PERSPECTIVE II 04/14	81,115.08	33.80	0.00	33.80	90.0	30.42
02/28/2018	BERKSHIRE, LAURA	JNL PERSPECTIVE II 04/14	152,438.89	63.52	0.00	63.52	90.0	57.17
02/28/2018	HOLLE, DONELLE	JNL PERSPECTIVE II 04/14	81,000.43	33.75	0.00	33.75	90.0	30.38
02/28/2018	SMITH REV TRUST, ROY & KAY	JNL PERSPECTIVE II 04/14	646,680.94	269.45	0.00	269.45	90.0	242.51
02/28/2018	SMITH TRUST, ROY & KAY	JNL PERSPECTIVE II 04/14	226,656.48	94.44	0.00	94.44	90.0	85.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
02/28/2018	HALL, ROSEMARY	JNL PERSPECTIVE II 04/14	324,689.27	135.29	0.00	135.29	90.0	121.76
02/28/2018	BYERLEY, WAYNE	JNL PERSPECTIVE II 05/05	225,007.51	93.75	0.00	93.75	90.0	84.38
02/28/2018	ZAHM SR, RICHARD	JNL PERSPECTIVE II 05/05	145,196.78	60.50	0.00	60.50	90.0	54.45
02/28/2018	CHRIST, LINDA	JNL PERSPECTIVE II 05/05	144,209.15	60.09	0.00	60.09	90.0	54.08
02/28/2018	PARKER, DIANA	JNL PERSPECTIVE II 05/05	87,858.71	36.61	0.00	36.61	90.0	32.95
02/28/2018	PARKER, MICHAEL	JNL PERSPECTIVE II 05/05	97,872.38	40.78	0.00	40.78	90.0	36.70
02/28/2018	RAMIREZ, JOAQUIN	JNL PERSPECTIVE II 05/05	48,956.52	20.40	0.00	20.40	90.0	18.36
02/28/2018	GRABER, AMOS	JNL PERSPECTIVE II 05/05	47,825.57	19.93	0.00	19.93	90.0	17.94
02/28/2018	BLANCHARD, SUZETTE	JOHN HANCOCK VENTURE III	69,769.61	58.14	0.00	58.14	90.0	52.33
02/28/2018	SITCLER, PATRICIA	JOHN HANCOCK VENTURE III	108,946.69	90.79	0.00	90.79	90.0	81.71
02/28/2018	SHERILL, TIMOTHY	JOHN HANCOCK VENTURE III	216,332.84	180.28	0.00	180.28	90.0	162.25
02/28/2018	SOBERALSKI, EDWARD	JOHN HANCOCK VENTURE III	203,303.98	169.42	0.00	169.42	90.0	152.48
02/27/2018	STAUFFER, PATTY	SBL ADVISORDESIGNS VA	19,187.30	15.99	0.00	15.99	90.0	14.39
* VARIABLE ANNUITIES SUBTOTAL *			\$3,742,621.65	\$1,758.88	\$0.00	\$1,758.88		\$1,583.03

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

03/01/2018	ROBERTSON, THOMAS	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.40	0.00	1.40	90.0	1.26
03/01/2018	LARKINS, TROY	AM FUNDS ICA C	0.00	113.64	0.00	113.64	90.0	102.28
02/16/2018	ZEHR, BERTHA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.31	0.00	2.31	90.0	2.08
02/16/2018	ZEHR, PAUL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.31	0.00	2.31	90.0	2.08
02/16/2018	ZEHR, PAUL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.17	0.00	9.17	90.0	8.25
02/16/2018	BYERLEY, WAYNE & KATHLEE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	9.04	0.00	9.04	90.0	8.14
02/16/2018	ZAHM, CHRISTINE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.80	0.00	1.80	90.0	1.62
02/16/2018	ZAHM SR, RICHARD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.41	0.00	1.41	90.0	1.27
02/16/2018	ZAHM SR, RICHARD	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	12.34	0.00	12.34	90.0	11.11
02/16/2018	SCHROEDER, JEFFERY	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.05	0.00	2.05	90.0	1.85
02/16/2018	PIERSON III, CARL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.33	0.00	1.33	90.0	1.20
02/16/2018	PIERSON, JUDITH	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.33	0.00	1.33	90.0	1.20
02/16/2018	MERVAR, DAVID	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	7.54	0.00	7.54	90.0	6.79
/ /	ALLES, JENIFER	GUGGENHEIM US LONG SHORT MOMENTUM A	0.00	0.32	0.00	0.32	90.0	0.29
02/21/2018	BYERLEY, WAYNE & KATHLEE	JP MORGAN EQUITY INCOME FUND CLASS	0.00	5.85	0.00	5.85	90.0	5.27
02/21/2018	BYERLEY, WAYNE & KATHLEE	JP MORGAN INTREPID MID CAP FUND CLA	0.00	5.41	0.00	5.41	90.0	4.87
02/21/2018	BYERLEY, WAYNE & KATHLEE	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	5.78	0.00	5.78	90.0	5.20
02/21/2018	HOLLE, JAIME	RYDEX ENERGY SERVICES C	0.00	0.10	0.00	0.10	90.0	0.09

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	MILLER, SEAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.47	0.00	0.47	90.0	0.42
/ /	BRENN, BRIAN	RYDEX GUGG BASIC MATERIALS C	0.00	0.07	0.00	0.07	90.0	0.06
/ /	MILLER, SEAN	RYDEX GUGG ENERGY C	0.00	1.07	0.00	1.07	90.0	0.96
/ /	KROUSE, ANDRE	RYDEX GUGG ENERGY C	0.00	0.80	0.00	0.80	90.0	0.72
/ /	BRENN, BRIAN	RYDEX GUGG ENERGY C	0.00	0.05	0.00	0.05	90.0	0.05
/ /	ALLES, JENIFER	RYDEX GUGG PRECIOUS METALS A	0.00	0.23	0.00	0.23	90.0	0.21
/ /	MILLER, SEAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.52	0.00	0.52	90.0	0.47
/ /	KROUSE, ANDRE	RYDEX GUGG PRECIOUS METALS C	0.00	0.71	0.00	0.71	90.0	0.64
/ /	BRENN, BRIAN	RYDEX GUGG PRECIOUS METALS C	0.00	0.06	0.00	0.06	90.0	0.05
02/14/2018	WRIGHT, WAYNE	TRANSAMERICA AA GROWTH A	0.00	1.34	0.00	1.34	90.0	1.21
02/14/2018	WRIGHT, WAYNE	TRANSAMERICA AA MOD GROWTH A	0.00	2.44	0.00	2.44	90.0	2.20
02/14/2018	DINGER, WILLIAM	TRANSAMERICA TACTICAL INCOME C	0.00	8.48	0.00	8.48	90.0	7.63
02/14/2018	ZEHR, PAUL	TRANSAMERICA TACTICAL INCOME C	0.00	9.17	0.00	9.17	90.0	8.25
02/14/2018	STAUFFER, MARJORIE	TRANSAMERICA TACTICAL INCOME C	0.00	9.01	0.00	9.01	90.0	8.11
02/14/2018	WILD, CHRISTINE	TRANSAMERICA TACTICAL INCOME C	0.00	10.79	0.00	10.79	90.0	9.71
02/14/2018	WRIGHT, APRIL	TRANSAMERICA TACTICAL INCOME C	0.00	7.12	0.00	7.12	90.0	6.41
02/14/2018	MILLER, HELEN	TRANSAMERICA TACTICAL INCOME C	0.00	6.95	0.00	6.95	90.0	6.26
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$242.41	\$0.00	\$242.41		\$218.21
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
03/05/2018	HOUSER TRUST, MICHAEL & SHERR	ING PREMIUM PLUS 2005	13,314.76	8.32	0.00	8.32	90.0	7.49
03/06/2018	HOUSER, MICHAEL	ING PREMIUM PLUS 2005	35,110.92	21.94	0.00	21.94	90.0	19.75
03/06/2018	HOUSER, SHERRY	ING PREMIUM PLUS 2005	12,457.43	7.79	0.00	7.79	90.0	7.01
02/20/2018	BOYLAN, NANCY	PAC LIFE INNOVATIVE SELECT	0.00	223.68	0.00	223.68	90.0	201.31
02/21/2018	SITCLER, ROY	PAC LIFE INNOVATIVE SELECT	0.00	449.61	0.00	449.61	90.0	404.65
03/02/2018	WHITNEY, DAVID	PAC LIFE INNOVATIVE SELECT	0.00	856.20	0.00	856.20	90.0	770.58
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$60,883.11	\$1,567.54	\$0.00	\$1,567.54		\$1,410.79
*** DIRECT TRANSACTIONS TOTAL ***			\$3,803,719.76	\$3,570.98	\$0.00	\$3,570.98		\$3,213.97

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<u>ADJUSTMENTS</u>								
03/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
03/15/2018		*ALBRIDGE: LIGHT USER	0.00					-76.00
03/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
03/15/2018		*GLOBAL RELAY: WEBSITE	0.00					-15.00
03/15/2018		*PERSHING: MF PROSPECTUS MAILING	0.00					-1.55
03/15/2018		*PERSHING: MANDITORY REORG - WAGLER	0.00					-10.00
03/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-5.94
03/15/2018		*TECHNOLOGY: ADMIN - KAREN STAUFFER	0.00					-130.00
03/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
03/15/2018		*TECHNOLOGY: REG ASSISTANT - LEDERM	0.00					-135.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-860.49

BROKER COMMISSION TOTALS

Broker Transactions	\$3,193.72
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-860.49
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$2,333.23
Year-to-date Gross	\$38,158.42
Year-to-date Earnings	\$34,078.96

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