



Concorde Investment Services, LLC

05/11/2018

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COMMISSION STATEMENT**May 15, 2018**

DAMIAN, D127 DERING
 165 Culver Blvd
 Suite A
 Playa Del Rey, CA 90293

BROKER #: D127
BRANCH: DAMIAN DERING

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
04/20/2018	MEEK, DANIEL	BOURN SENIORS HOUSING IV BOR4161BO	250,000.00	17,500.00	0.00	17,500.00	92.0	16,100.00
04/24/2018	MEEK, DANIEL	HPI REAL ESTATE FUND VI LLC	250,000.00	15,000.00	0.00	15,000.00	92.0	13,800.00
* PRIVATE PLACEMENT SUBTOTAL *			\$500,000.00	\$32,500.00	\$0.00	\$32,500.00		\$29,900.00
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
05/02/2018	SCHACHTER CRD EQU TR	INLAND COLORADO MF PF IV DST	250,000.00	15,000.00	0.00	15,000.00	92.0	13,800.00
04/20/2018	SCANE FAMILY TRUST	PASSCO MERRITT AT SUGARLOAF DST	203,000.00	12,180.00	0.00	12,180.00	92.0	11,205.60
05/02/2018	SCHACHTER CRD EQU TR	PASSCO MERRITT AT SUGARLOAF DST	1,147,000.00	68,820.00	0.00	68,820.00	92.0	63,314.40
* 1031 EXCHANGES SUBTOTAL *			\$1,600,000.00	\$96,000.00	\$0.00	\$96,000.00		\$88,320.00
<u>PRODUCT CATEGORY: 529 PLANS</u>								
04/25/2018	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	200.00	2.00	0.00	2.00	92.0	1.84
04/25/2018	PEARSON, ROBERT	AM FUNDS FUNDAMENTAL INVESTORS 529C	200.00	2.00	0.00	2.00	92.0	1.84
04/25/2018	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	100.00	1.00	0.00	1.00	92.0	0.92
04/25/2018	PEARSON, ROBERT	AM FUNDS SMALLCAP WORLD-529C	100.00	1.00	0.00	1.00	92.0	0.92
04/25/2018	PEARSON, ROBERT	AMCAP FUND-529C	200.00	2.00	0.00	2.00	92.0	1.84
04/25/2018	PEARSON, ROBERT	AMCAP FUND-529C	200.00	2.00	0.00	2.00	92.0	1.84
/ /	MORALES, ERIC	J HANCOCK PORTFOLIO 2017-2020 C2	0.00	2.00	0.00	2.00	92.0	1.84
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	200.00	6.38	0.00	6.38	92.0	5.87
04/30/2018	MORALES, ERIC	JH 529 PORT 2021-2024 A	150.00	6.38	0.00	6.38	92.0	5.87
* 529 PLANS SUBTOTAL *			\$1,350.00	\$24.76	\$0.00	\$24.76		\$22.78
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
05/01/2018	HAVENS, JACK	AM GEN SEASONS TRIPLE ELITE	44,436.96	166.64	0.00	166.64	92.0	153.31
04/30/2018	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	75,484.39	62.90	0.00	62.90	92.0	57.87
04/30/2018	SCANE FAMILY TRUST	JOHN HANCOCK VENTURE III	74,672.06	62.23	0.00	62.23	92.0	57.25
* VARIABLE ANNUITIES SUBTOTAL *			\$194,593.41	\$291.77	\$0.00	\$291.77		\$268.43

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
04/19/2018	SUTZ, JACK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.43	0.00	5.43	92.0	5.00
04/20/2018	SUTZ, JACK	FIDELITY DIVIDEND GROWTH A	0.00	1.95	0.00	1.95	92.0	1.79
04/18/2018	SUTZ, JACK	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.19	0.00	4.19	92.0	3.85
04/18/2018	SUTZ, JACK	GRIFFIN INST'L ACCESS R E FUND C	0.00	12.57	0.00	12.57	92.0	11.56
/ /	MORALES, ERIC	JH 529 PORT 2017-2020 A	0.00	2.27	0.00	2.27	92.0	2.09
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	0.00	1.46	0.00	1.46	92.0	1.34
/ /	MORALES, ERIC	JH 529 PORT 2021-2024 A	0.00	1.41	0.00	1.41	92.0	1.30
04/16/2018	SUTZ, JACK	OPPENHEIMER MAIN STREET SMALLCAP A	0.00	3.62	0.00	3.62	92.0	3.33
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$32.90	\$0.00	\$32.90		\$30.26
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
05/08/2018	GIBSON, CARLA	AM GEN SEASONS ELITE	0.00	1,289.60	0.00	1,289.60	92.0	1,186.43
04/30/2018	PUGH, CECILIA	JNL PERSPECTIVE L	0.00	35.94	0.00	35.94	92.0	33.06
04/30/2018	LAXER, JACK	JNL PERSPECTIVE L	0.00	44.95	0.00	44.95	92.0	41.35
04/30/2018	KUSUNOKI, JOYCE	JNL PERSPECTIVE L	0.00	52.26	0.00	52.26	92.0	48.08
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$1,422.75	\$0.00	\$1,422.75		\$1,308.92
*** DIRECT TRANSACTIONS TOTAL ***			\$2,295,943.41	\$130,272.18	\$0.00	\$130,272.18		\$119,850.39
<u>ADJUSTMENTS</u>								
05/15/2018		*AI INSIGHT: AI INSIGHT	0.00					-7.00
05/15/2018		*CREDIT CARD PYT: CREDIT CARD PYT	0.00					130.17
05/15/2018		*EO INSURANCE: CURRENT MONTH INS	0.00					-300.00
05/15/2018		*GLOBAL RELAY: WEBSITE	0.00					-15.00
05/15/2018		*MISC: NONCASH REIMBURSEMENT SCHWAB CT 7033939	0.00					566.83
05/15/2018		*TECHNOLOGY: ADMIN - CHRYSANTHA COSBY	0.00					-135.00
05/15/2018		*TECHNOLOGY: ADMIN - ELIZABETH	0.00					-60.00
05/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$0.00

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BROKER COMMISSION TOTALS

Broker Transactions	\$119,850.39
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$119,850.39
Year-to-date Gross	\$185,232.67
Year-to-date Earnings	\$170,265.04