



COMMISSION STATEMENT

September 30, 2017

JENNIFER DONAIS
78955 Via Trieste

BROKER #: D134
BRANCH: TRUWEALTH FINANCIAL

LaQuinta, CA 92253-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JBB JEN-BRENT-BRION TRU-DONAI-LEE- #333</u>								
08/31/2017	ALEXANDER, LAWRENCE	RBC	0.00	1,059.84	0.00	1,059.84	90.0	953.86
08/31/2017	LARAMIE, ELAINE	RBC	0.00	16.43	0.00	16.43	90.0	14.79
08/31/2017	ALEXANDER, LAWRENCE	RBC	0.00	-495.36	0.00	-495.36	90.0	-445.82
* JBB JEN-BRENT-BRION TRU-DONAI-LEE- SUBTOTAL *			\$0.00	\$580.91	\$0.00	\$580.91		\$522.83
<u>BROKER: BJB JEN-BRENT-BRION TRU-DONAI-LEE- #334</u>								
09/21/2017	KINCAID INDUSTRIES	PERSHING	0.00	31.67	0.00	31.67	90.0	28.50
09/21/2017	KINCAID INDUSTRIES	PERSHING	0.00	8.73	0.00	8.73	90.0	7.86
08/31/2017	HUGGINS, JAMES	RBC	0.00	107.68	0.00	107.68	90.0	96.91
08/31/2017	HUGGINS, JAMES	RBC	0.00	393.21	0.00	393.21	90.0	353.89
* BJB JEN-BRENT-BRION TRU-DONAI-LEE- SUBTOTAL *			\$0.00	\$541.29	\$0.00	\$541.29		\$487.16
<u>BROKER: BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D #335</u>								
09/21/2017	KRINGEN, MARK	CONNECTICUT ST SER F 5.000% 11/15/2	-29,200.00	0.00	0.00	0.00	90.0	0.00
09/21/2017	KRINGEN, MARK	DOWAGIAC MICH UN SCH DIST SCH BLDG	-28,162.50	0.00	0.00	0.00	90.0	0.00
09/21/2017	KRINGEN, MARK	EL PASO TEX INDPT SCH DIST RFDG 5.0	-25,775.00	0.00	0.00	0.00	90.0	0.00
09/21/2017	KRINGEN, MARK	GRAND FORKS N D SANTN REV RFDG-RESE	-27,812.50	0.00	0.00	0.00	90.0	0.00
09/21/2017	KRINGEN, MARK	HAWAII ST SER ET 5.000% 10/01/24 B/	-30,187.50	0.00	0.00	0.00	90.0	0.00
09/21/2017	KRINGEN, MARK	LONGVIEW TEX RFDG 5.000% 06/01/20 B	-27,300.00	0.00	0.00	0.00	90.0	0.00
09/21/2017	KRINGEN, MARK	NORTH DAKOTA PUB FIN AUTH CAP FING	-29,575.00	0.00	0.00	0.00	90.0	0.00
09/21/2017	KRINGEN, MARK	NORTH DAKOTA ST UNIV REV HSG & AUXI	-29,137.50	0.00	0.00	0.00	90.0	0.00
09/21/2017	KRINGEN, MARK	SALT RIVER PROJ ARIZ AGRIC IMPT & P	-22,860.00	0.00	0.00	0.00	90.0	0.00
09/25/2017	HEEN, JEREMY	SEI	0.00	81.16	0.00	81.16	90.0	73.04
09/26/2017	HEEN, KRISTA	SEI	0.00	38.85	0.00	38.85	90.0	34.97
09/21/2017	KRINGEN, MARK	UNIVERSITY CINCINNATI OHIO GEN RCPT	-15,022.50	0.00	0.00	0.00	90.0	0.00
09/21/2017	KRINGEN, MARK	VIRGINIA ST RES AUTH INFRASTRUCTURE	-28,875.00	0.00	0.00	0.00	90.0	0.00
* BBJ-BRENT-BRION-JEN TRU-LEE-NORBY-D SUBTOTAL *			\$-293,907.50	\$120.01	\$0.00	\$120.01		\$108.01

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
*** SPLIT TRANSACTIONS TOTAL ***			\$-293,907.50	\$1,242.21	\$0.00	\$1,242.21		\$1,118.00

<u>ADJUSTMENTS</u>								
09/30/2017		*BAL CARRIED FORWARD: 9/15/17	0.00					5,765.48
09/30/2017		*MISC: 9/15 CANCEL/REBILL TRADES	0.00					-6,292.75
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-527.27

<u>BROKER COMMISSION TOTALS</u>	
Broker Transactions	\$0.00
Split Transactions	\$1,118.00
Override Transactions	\$0.00
Adjustments	\$-527.27
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$590.73
Year-to-date Gross	\$55,823.19
Year-to-date Earnings	\$44,552.07

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