



All Companies

04/27/2018

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COMMISSION STATEMENT**May 01, 2018****RICHARD CARWILE**

5620 SW Green Oaks Blvd.

Suite A

Arlington, TX 76017

BROKER #: 9649

BRANCH: HOME OFFICE

COMMISSION STATEMENT for RICHARD CARWILE

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|--|--------------------------------------|-------------------------------------|----------------------|---------------------|------|-------------------|--------------------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 04/16/2018 | 00089696978 ABRAHAMSON, THOMAS A. | AMERICAN FUNDS BALANCED FD | 33.53 | 1.68 | 80.0 | 1.34 | 0.00 | 1.34 |
| 04/16/2018 | 00089696978 ABRAHAMSON, THOMAS A. | AMERICAN FUNDS BALANCED FD | 33.53 | 1.68 | 80.0 | 1.34 | 0.00 | 1.34 |
| 04/16/2018 | 00089696978 ABRAHAMSON, THOMAS A. | AMERICAN FUNDS GROWTH FUND OF AMERI | 33.53 | 1.68 | 80.0 | 1.34 | 0.00 | 1.34 |
| 04/16/2018 | 00089696978 ABRAHAMSON, THOMAS A. | AMERICAN FUNDS GROWTH FUND OF AMERI | 33.53 | 1.68 | 80.0 | 1.34 | 0.00 | 1.34 |
| 04/16/2018 | 00089697313 GRAEF, WESLEY S. | AMERICAN FUNDS 2035 TARGET DATE-A | 68.75 | 3.44 | 80.0 | 2.75 | 0.00 | 2.75 |
| 04/16/2018 | 00089697313 GRAEF, WESLEY S. | AMERICAN FUNDS 2035 TARGET DATE-A | 68.75 | 3.44 | 80.0 | 2.75 | 0.00 | 2.75 |
| 04/16/2018 | 04000641700 JIANPANAH, AMIR | AMERICAN FUNDS 2025 TARGET DATE-A | 35.74 | 1.79 | 80.0 | 1.43 | 0.00 | 1.43 |
| 04/16/2018 | 04000641700 JIANPANAH, AMIR | AMERICAN FUNDS 2025 TARGET DATE-A | 35.74 | 1.79 | 80.0 | 1.43 | 0.00 | 1.43 |
| * MUTUAL FUNDS SUBTOTAL * | | | <u>\$343.10</u> | <u>\$17.18</u> | | <u>\$13.72</u> | <u>\$0.00</u> | <u>\$13.72</u> |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | |
| 04/04/2018 | TRAILS | TRANSAMERICA LIFE INSURANCE | 312.82 | 312.82 | 80.0 | 250.26 | 0.00 | 250.26 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | <u>\$312.82</u> | <u>\$312.82</u> | | <u>\$250.26</u> | <u>\$0.00</u> | <u>\$250.26</u> |
| *** BROKER TRANSACTIONS TOTAL *** | | | <u>\$655.92</u> | <u>\$330.00</u> | | <u>\$263.98</u> | <u>\$0.00</u> | <u>\$263.98</u> |

COMMISSION STATEMENT for RICHARD CARWILE

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | RATE | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|------|--------|------------|----------------------|---------------------|------|-------------------|--------------------|----------------------|
|------|--------|------------|----------------------|---------------------|------|-------------------|--------------------|----------------------|

ADJUSTMENTS

| | | | | | | | | |
|---------------------------|--|---------------------------------|--------|--------|--|-----------|--------|-----------|
| 05/01/2018 | | E & O INSURANCE: 1/2 MONTHLY | 0.00 | 0.00 | | -100.00 | 0.00 | -100.00 |
| 05/01/2018 | | POSTAGE: FEDEX CHARGES | 0.00 | 0.00 | | -11.72 | 0.00 | -11.72 |
| 05/01/2018 | | TECH: 1/2 MONTHLY TECH SERVICES | 0.00 | 0.00 | | -27.50 | 0.00 | -27.50 |
| *** ADJUSTMENTS TOTAL *** | | | \$0.00 | \$0.00 | | \$-139.22 | \$0.00 | \$-139.22 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-----------|
| Broker Transactions | \$263.98 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-139.22 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$-113.74 |

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

| | |
|-----------------------|-------------|
| Balance Forward | \$11.02 |
| Year-to-date Earnings | \$22,501.84 |