

COMMISSION STATEMENT

January 31, 2018

R145-RICHARD RODRIGUEZ, JR.

3854 E. County Road 466 Suite A Oxford, FL 34484 BROKER #: R145

BRANCH: RICHARD RODRIGUEZ JR

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|---------------|-----------------------------------|----------------------|---------------------|--|-------------------|--------|----------------------|
| | | DIRECT TRANS | SACTIONS | | | | | |
| PRODUCT CA | ATEGORY: RIA | | | | | | | |
| 01/16/2018 | HALL, MICHAEL | FINANICAL PLANNING | 0.00 | 1,000.00 | 0.00 | 1,000.00 | 90.0 | 900.00 |
| | | * RIA SUBTOTAL * | \$0.00 | \$1,000.00 | \$0.00 | \$1,000.00 | | \$900.00 |
| | | *** DIRECT TRANSACTIONS TOTAL *** | \$0.00 | \$1,000.00 | \$0.00 | \$1,000.00 | | \$900.00 |
| | | BROKER COM | | | | BROKER COMMI | SSION | TOTALS |
| | | Broker Transact | | r Transactions | | \$900.00 | | |
| | | | Split Transaction | | t Transactions | | \$0.00 | |
| | | | | | Override Transactions Adjustments Payroll Draw Base Salary Prior Period Balance FINRA Assessment SIPC Assessment | | | \$0.00 |
| | | | | | | | | \$0.00 |
| | | | | | | | | \$0.00 |
| | | | | | | | | \$0.00 |
| | | | | | | | | \$0.00 |
| | | | | | | | | \$0.00 |
| | | | | | | | | \$0.00 |
| | | | | | | | | |
| | | | | | Check Amount | | = | \$900.00 |
| | | | | | Year-to-date Gross | | 5 | \$5,997.70 |
| | | | | | Year-to-date Earnings | | | \$5,397.93 |