

**COMMISSION STATEMENT****June 30, 2018**JOSEPH (TERRY), M132 MANN
219 E. 31st StreetBROKER #: M132
BRANCH: JOSEPH "TERRY" MANN

Savannah, GA 31401

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
06/13/2018	MILLER, DEBORAH	AMERICAN FUNDS	12,001.86	15.13	0.00	15.13	91.0	13.77
06/13/2018	MILLER, DEBORAH	AMERICAN FUNDS	50,332.19	63.43	0.00	63.43	91.0	57.72
06/13/2018	MILLER, DEBORAH	AMERICAN FUNDS	30,934.91	38.99	0.00	38.99	91.0	35.48
06/13/2018	MILLER, DEBORAH	AMERICAN FUNDS	27,825.35	35.07	0.00	35.07	91.0	31.91
06/13/2018	MILLER, DEBORAH	AMERICAN FUNDS	77,815.24	98.07	0.00	98.07	91.0	89.24
06/13/2018	MILLER, DEBORAH	AMERICAN FUNDS	56,572.67	71.30	0.00	71.30	91.0	64.88
06/13/2018	MILLER, DEBORAH	AMERICAN FUNDS	72,709.67	91.63	0.00	91.63	91.0	83.38
06/13/2018	MILLER, DEBORAH	AMERICAN FUNDS	48,950.35	61.69	0.00	61.69	91.0	56.14
06/13/2018	MILLER, DEBORAH	AMERICAN FUNDS	39,388.88	49.64	0.00	49.64	91.0	45.17
06/13/2018	COX, DENISE	AMERICAN FUNDS	11,947.67	15.06	0.00	15.06	91.0	13.70
06/13/2018	COX, DENISE	AMERICAN FUNDS	12,332.56	15.54	0.00	15.54	91.0	14.14
06/13/2018	COX, DENISE	AMERICAN FUNDS	10,728.17	13.52	0.00	13.52	91.0	12.30
06/13/2018	COX, DENISE	AMERICAN FUNDS	12,359.01	15.58	0.00	15.58	91.0	14.18
06/13/2018	COX, DENISE	AMERICAN FUNDS	11,976.65	15.09	0.00	15.09	91.0	13.73
06/13/2018	POHLMANN, STEVEN	AMERICAN FUNDS	44,547.01	56.14	0.00	56.14	91.0	51.09
06/13/2018	NEUHAUSER, JOSEPH	AMERICAN FUNDS	12,084.60	15.23	0.00	15.23	91.0	13.86
06/13/2018	NEUHAUSER, JOSEPH	AMERICAN FUNDS	8,843.61	11.15	0.00	11.15	91.0	10.15
06/13/2018	NEUHAUSER, JOSEPH	AMERICAN FUNDS	7,691.24	9.69	0.00	9.69	91.0	8.82
06/13/2018	NEUHAUSER, JOSEPH	AMERICAN FUNDS	12,229.34	15.41	0.00	15.41	91.0	14.02
06/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	2,987.82	3.77	0.00	3.77	91.0	3.43
06/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	3,428.81	4.32	0.00	4.32	91.0	3.93
06/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	23,017.18	29.01	0.00	29.01	91.0	26.40
06/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	12,034.05	15.17	0.00	15.17	91.0	13.80
06/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	24,684.29	31.11	0.00	31.11	91.0	28.31
06/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	1,109.92	1.40	0.00	1.40	91.0	1.27
06/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	4,094.03	5.16	0.00	5.16	91.0	4.70

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
06/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	12,469.14	15.71	0.00	15.71	91.0	14.30
06/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	9,019.64	11.37	0.00	11.37	91.0	10.35
06/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	7,802.86	9.83	0.00	9.83	91.0	8.95
06/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	9,410.72	11.86	0.00	11.86	91.0	10.79
06/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	1,600.92	2.02	0.00	2.02	91.0	1.84
06/13/2018	GIBBONS, HEATHER	AMERICAN FUNDS	33,580.75	42.32	0.00	42.32	91.0	38.51
06/13/2018	GIBBONS, HEATHER	AMERICAN FUNDS	18,897.00	23.82	0.00	23.82	91.0	21.68
06/13/2018	GIBBONS, HEATHER	AMERICAN FUNDS	12,768.55	16.09	0.00	16.09	91.0	14.64
06/13/2018	HEALEY, WENDY	AMERICAN FUNDS	3,345.46	4.22	0.00	4.22	91.0	3.84
06/13/2018	HEALEY, WENDY	AMERICAN FUNDS	4,639.78	5.85	0.00	5.85	91.0	5.32
06/13/2018	HEALEY, WENDY	AMERICAN FUNDS	5,529.52	6.97	0.00	6.97	91.0	6.34
06/13/2018	HEALEY, BRIAN	AMERICAN FUNDS	11,854.66	14.94	0.00	14.94	91.0	13.60
06/13/2018	HEALEY, BRIAN	AMERICAN FUNDS	10,092.70	12.72	0.00	12.72	91.0	11.58
06/13/2018	DABBS, LILY	AMERICAN FUNDS	2,173.33	2.74	0.00	2.74	91.0	2.49
06/13/2018	FUTTERMAN, ROBERT	AMERICAN FUNDS	22,282.19	28.08	0.00	28.08	91.0	25.55
06/13/2018	FUTTERMAN, ROBERT	AMERICAN FUNDS	17,524.54	22.09	0.00	22.09	91.0	20.10
06/13/2018	BLANCHARD JR, MALCOLM	AMERICAN FUNDS	28,917.49	36.44	0.00	36.44	91.0	33.16
06/13/2018	BLANCHARD JR, MALCOLM	AMERICAN FUNDS	5,410.58	6.82	0.00	6.82	91.0	6.21
06/13/2018	BLANCHARD JR, MALCOLM	AMERICAN FUNDS	10,646.55	13.42	0.00	13.42	91.0	12.21
06/13/2018	BLANCHARD JR, MALCOLM	AMERICAN FUNDS	22,188.35	27.96	0.00	27.96	91.0	25.44
06/13/2018	BLANCHARD, MALCOLM	AMERICAN FUNDS	6,039.63	7.61	0.00	7.61	91.0	6.93
06/13/2018	BLANCHARD, MALCOLM	AMERICAN FUNDS	5,475.48	6.90	0.00	6.90	91.0	6.28
06/13/2018	BLANCHARD, MALCOLM	AMERICAN FUNDS	1,344.22	1.69	0.00	1.69	91.0	1.54
06/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	1,544.51	1.95	0.00	1.95	91.0	1.77
06/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	8,876.77	11.19	0.00	11.19	91.0	10.18
06/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	10,626.08	13.39	0.00	13.39	91.0	12.18
06/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	10,480.18	13.21	0.00	13.21	91.0	12.02
06/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	21,502.83	27.10	0.00	27.10	91.0	24.66
06/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	1,693.63	2.13	0.00	2.13	91.0	1.94
06/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	8,872.55	11.18	0.00	11.18	91.0	10.17
06/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	10,621.15	13.39	0.00	13.39	91.0	12.18
06/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	10,475.67	13.20	0.00	13.20	91.0	12.01
06/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	21,503.25	27.10	0.00	27.10	91.0	24.66

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
06/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	1,693.63	2.13	0.00	2.13	91.0	1.94
06/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	3,040.32	3.83	0.00	3.83	91.0	3.49
06/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	4,668.53	5.88	0.00	5.88	91.0	5.35
06/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	4,702.04	5.93	0.00	5.93	91.0	5.40
06/13/2018	WARSTADT, SUSAN	AMERICAN FUNDS	724.65	0.91	0.00	0.91	91.0	0.83
06/13/2018	ARCHER, ISABEL	AMERICAN FUNDS	28,409.27	35.80	0.00	35.80	91.0	32.58
06/13/2018	ARCHER, ISABEL	AMERICAN FUNDS	11,421.16	14.39	0.00	14.39	91.0	13.09
06/13/2018	ARCHER, ISABEL	AMERICAN FUNDS	22,480.72	28.33	0.00	28.33	91.0	25.78
06/13/2018	ARCHER, ISABEL	AMERICAN FUNDS	6,164.94	7.77	0.00	7.77	91.0	7.07
06/13/2018	ARCHER, ISABEL	AMERICAN FUNDS	5,572.65	7.02	0.00	7.02	91.0	6.39
06/13/2018	ARCHER, ISABEL	AMERICAN FUNDS	2,427.46	3.06	0.00	3.06	91.0	2.78
06/13/2018	ARCHER, JACOB	AMERICAN FUNDS	22,432.00	28.27	0.00	28.27	91.0	25.73
06/13/2018	ARCHER, JACOB	AMERICAN FUNDS	34,209.58	43.11	0.00	43.11	91.0	39.23
06/13/2018	ARCHER, JACOB	AMERICAN FUNDS	2,427.46	3.06	0.00	3.06	91.0	2.78
06/13/2018	ARCHER, JACOB	AMERICAN FUNDS	1,486.92	1.87	0.00	1.87	91.0	1.70
06/13/2018	ARCHER, THOMAS	AMERICAN FUNDS	9,375.62	11.82	0.00	11.82	91.0	10.76
06/13/2018	ARCHER, THOMAS	AMERICAN FUNDS	10,330.17	13.02	0.00	13.02	91.0	11.85
06/13/2018	VERMA, ADI	AMERICAN FUNDS	2,718.74	3.43	0.00	3.43	91.0	3.12
06/13/2018	VERMA, ADI	AMERICAN FUNDS	1,788.22	2.25	0.00	2.25	91.0	2.05
06/13/2018	VERMA, ADI	AMERICAN FUNDS	2,314.15	2.92	0.00	2.92	91.0	2.66
06/13/2018	VERMA, ADI	AMERICAN FUNDS	8,218.05	10.36	0.00	10.36	91.0	9.43
06/13/2018	VERMA, ADI	AMERICAN FUNDS	1,802.92	2.27	0.00	2.27	91.0	2.07
06/13/2018	VERMA, ADI	AMERICAN FUNDS	2,718.74	3.43	0.00	3.43	91.0	3.12
06/13/2018	VERMA, ADI	AMERICAN FUNDS	1,788.22	2.25	0.00	2.25	91.0	2.05
06/13/2018	VERMA, ADI	AMERICAN FUNDS	2,314.15	2.92	0.00	2.92	91.0	2.66
06/13/2018	VERMA, ADI	AMERICAN FUNDS	8,218.05	10.36	0.00	10.36	91.0	9.43
06/13/2018	VERMA, ADI	AMERICAN FUNDS	1,802.92	2.27	0.00	2.27	91.0	2.07
06/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	6,220.14	7.84	0.00	7.84	91.0	7.13
06/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	4,870.75	6.14	0.00	6.14	91.0	5.59
06/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	12,022.54	15.15	0.00	15.15	91.0	13.79
06/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	11,697.21	14.74	0.00	14.74	91.0	13.41
06/13/2018	KASIAN, JUSTIN PHILLIP	AMERICAN FUNDS	2,182.79	2.75	0.00	2.75	91.0	2.50
06/13/2018	KASIAN, JUSTIN PHILLIP	AMERICAN FUNDS	1,400.39	1.76	0.00	1.76	91.0	1.60

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
06/13/2018	ARCHER, THOMAS	AMERICAN FUNDS	13,413.69	16.90	0.00	16.90	91.0	15.38
06/13/2018	NEUHAUSER, MITCHELL	AMERICAN FUNDS	4,588.50	5.78	0.00	5.78	91.0	5.26
06/13/2018	CHANDRAMOULI, SHRUTI	AMERICAN FUNDS	1,495.82	1.89	0.00	1.89	91.0	1.72
06/13/2018	MANI, CHANDRAMOULI	AMERICAN FUNDS	30,966.73	39.03	0.00	39.03	91.0	35.52
06/13/2018	GODLESKI, AUBRIEGH	AMERICAN FUNDS	2,251.98	2.84	0.00	2.84	91.0	2.58
06/13/2018	GODLESKI, AUBRIEGH	AMERICAN FUNDS	3,477.75	4.38	0.00	4.38	91.0	3.99
06/13/2018	GODLESKI, AUBRIEGH	AMERICAN FUNDS	1,924.53	2.43	0.00	2.43	91.0	2.21
06/13/2018	GODLESKI, COUPER	AMERICAN FUNDS	1,165.61	1.47	0.00	1.47	91.0	1.34
06/13/2018	GODLESKI, COUPER	AMERICAN FUNDS	1,549.37	1.95	0.00	1.95	91.0	1.77
06/13/2018	GODLESKI, COUPER	AMERICAN FUNDS	685.52	0.86	0.00	0.86	91.0	0.78
06/13/2018	HEALEY, VAUGHN	AMERICAN FUNDS	1,417.15	1.79	0.00	1.79	91.0	1.63
06/13/2018	HEALEY, VAUGHN	AMERICAN FUNDS	1,413.26	1.78	0.00	1.78	91.0	1.62
06/13/2018	HEALEY, ASHLEY	AMERICAN FUNDS	1,417.15	1.79	0.00	1.79	91.0	1.63
06/13/2018	HEALEY, ASHLEY	AMERICAN FUNDS	1,413.26	1.78	0.00	1.78	91.0	1.62
06/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	15,696.92	19.78	0.00	19.78	91.0	18.00
06/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	9,172.93	11.56	0.00	11.56	91.0	10.52
06/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	29,894.25	37.67	0.00	37.67	91.0	34.28
06/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	17,491.83	22.04	0.00	22.04	91.0	20.06
06/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	4,140.46	5.22	0.00	5.22	91.0	4.75
06/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	34,855.25	43.93	0.00	43.93	91.0	39.98
06/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	16,823.42	21.20	0.00	21.20	91.0	19.29
06/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	15,748.76	19.85	0.00	19.85	91.0	18.06
06/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	9,172.93	11.56	0.00	11.56	91.0	10.52
06/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	29,894.25	37.67	0.00	37.67	91.0	34.28
06/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	17,491.83	22.04	0.00	22.04	91.0	20.06
06/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	4,140.46	5.22	0.00	5.22	91.0	4.75
06/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	20,476.30	25.81	0.00	25.81	91.0	23.49
06/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	16,823.43	21.20	0.00	21.20	91.0	19.29
06/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	15,748.76	19.85	0.00	19.85	91.0	18.06
06/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	9,172.93	11.56	0.00	11.56	91.0	10.52
06/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	29,894.25	37.67	0.00	37.67	91.0	34.28
06/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	17,491.83	22.04	0.00	22.04	91.0	20.06
06/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	4,140.46	5.22	0.00	5.22	91.0	4.75

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: RIA</u>								
06/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	20,476.30	25.81	0.00	25.81	91.0	23.49
06/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	16,823.43	21.20	0.00	21.20	91.0	19.29
06/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	15,748.76	19.85	0.00	19.85	91.0	18.06
06/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	9,172.93	11.56	0.00	11.56	91.0	10.52
06/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	29,894.24	37.67	0.00	37.67	91.0	34.28
06/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	17,491.77	22.04	0.00	22.04	91.0	20.06
06/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	4,140.44	5.22	0.00	5.22	91.0	4.75
06/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	20,476.35	25.81	0.00	25.81	91.0	23.49
06/13/2018	HEADLEY, LOMA ELAINE	AMERICAN FUNDS	16,823.42	21.20	0.00	21.20	91.0	19.29
06/13/2018	NEUHAUSER, JOSEPH	AMERICAN FUNDS	2,201.08	2.77	0.00	2.77	91.0	2.52
06/13/2018	NEUHAUSER, JOSEPH	AMERICAN FUNDS	1,010.80	1.27	0.00	1.27	91.0	1.16
06/13/2018	CHANDRAMOULI, YASH	AMERICAN FUNDS	666.99	0.84	0.00	0.84	91.0	0.76
06/13/2018	KARP, ELIZABETH	AMERICAN FUNDS	4,980.10	6.28	0.00	6.28	91.0	5.71
06/13/2018	KARP, BENJAMIN	AMERICAN FUNDS	1,089.03	1.37	0.00	1.37	91.0	1.25
06/13/2018	KARP, BENJAMIN	AMERICAN FUNDS	1,082.85	1.36	0.00	1.36	91.0	1.24
06/13/2018	CUMMINGS, KRYSTA	AMERICAN FUNDS	1,383.31	1.74	0.00	1.74	91.0	1.58
06/13/2018	KASIAN, EVAN	AMERICAN FUNDS	2,154.59	2.72	0.00	2.72	91.0	2.48
06/13/2018	KASIAN, EVAN	AMERICAN FUNDS	1,400.51	1.77	0.00	1.77	91.0	1.61
06/13/2018	VOGEL, ROBERT	AMERICAN FUNDS	1,114.48	1.40	0.00	1.40	91.0	1.27
06/13/2018	SARGENT, ERIN	AMERICAN FUNDS	1,040.13	1.31	0.00	1.31	91.0	1.19
06/13/2018	COX, IRA	AMERICAN FUNDS	4,131.60	5.21	0.00	5.21	91.0	4.74
06/13/2018	COX, IRA	AMERICAN FUNDS	2,290.84	2.89	0.00	2.89	91.0	2.63
06/13/2018	COX, IRA	AMERICAN FUNDS	1,302.94	1.64	0.00	1.64	91.0	1.49
06/13/2018	COX, IRA	AMERICAN FUNDS	1,313.46	1.66	0.00	1.66	91.0	1.51
06/13/2018	BILKA, AMY	AMERICAN FUNDS	2,808.07	3.54	0.00	3.54	91.0	3.22
06/13/2018	BILKA, AMY	AMERICAN FUNDS	9,400.34	11.85	0.00	11.85	91.0	10.78
06/13/2018	BILKA, AMY	AMERICAN FUNDS	3,652.00	4.60	0.00	4.60	91.0	4.19
06/13/2018	BILKA, AMY	AMERICAN FUNDS	918.71	1.16	0.00	1.16	91.0	1.06
06/13/2018	BILKA, AMY	AMERICAN FUNDS	1,820.88	2.29	0.00	2.29	91.0	2.08
06/13/2018	BILKA, AMY	AMERICAN FUNDS	2,775.89	3.50	0.00	3.50	91.0	3.19
06/13/2018	BILKA, AMY	AMERICAN FUNDS	9,282.90	11.70	0.00	11.70	91.0	10.65
06/13/2018	BILKA, AMY	AMERICAN FUNDS	3,671.14	4.63	0.00	4.63	91.0	4.21
06/13/2018	BILKA, AMY	AMERICAN FUNDS	930.30	1.17	0.00	1.17	91.0	1.06

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: RIA								
06/13/2018	BILKA, AMY	AMERICAN FUNDS	1,825.74	2.30	0.00	2.30	91.0	2.09
06/13/2018	KOENIG, STEVEN	AMERICAN FUNDS	4,068.84	5.13	0.00	5.13	91.0	4.67
06/13/2018	KOENIG, STEVEN	AMERICAN FUNDS	4,043.09	5.10	0.00	5.10	91.0	4.64
06/13/2018	KOENIG, STEVEN	AMERICAN FUNDS	3,995.23	5.04	0.00	5.04	91.0	4.59
06/13/2018	KOENIG, STEVEN	AMERICAN FUNDS	4,073.24	5.13	0.00	5.13	91.0	4.67
06/13/2018	KOENIG, STEVEN	AMERICAN FUNDS	4,004.88	5.05	0.00	5.05	91.0	4.60
06/13/2018	TROWBRIDGE, JANE	AMERICAN FUNDS	3,637.23	4.58	0.00	4.58	91.0	4.17
06/13/2018	CHANDRAMOULI, YASH	AMERICAN FUNDS	4,629.86	2.16	0.00	2.16	91.0	1.97
06/13/2018	CHANDRAMOULI, YASH	AMERICAN FUNDS	3,943.75	1.84	0.00	1.84	91.0	1.67
06/13/2018	GALLAGHER, MICHAEL	AMERICAN FUNDS	1,189.25	0.36	0.00	0.36	91.0	0.33
06/04/2018	KASIAN, JUSTIN PETER	TD AMERITRADE	100,000.00	164.38	0.00	164.38	91.0	149.59
06/13/2018	GALLAGHER, EMILY	TD AMERITRADE	0.00	73.30	0.00	73.30	91.0	66.70
06/13/2018	PAI, YOGISH	TD AMERITRADE	0.00	1,397.13	0.00	1,397.13	91.0	1,271.39
06/13/2018	TROWBRIDGE, SCOTT	TD AMERITRADE	0.00	290.40	0.00	290.40	91.0	264.26
06/13/2018	TROWBRIDGE, SCOTT	TD AMERITRADE	0.00	474.78	0.00	474.78	91.0	432.05
06/13/2018	TROWBRIDGE, JANE	TD AMERITRADE	0.00	263.15	0.00	263.15	91.0	239.47
06/13/2018	TROWBRIDGE, SCOTT	TD AMERITRADE	0.00	171.33	0.00	171.33	91.0	155.91
06/13/2018	SINGH, RAGINIE	TD AMERITRADE	0.00	224.29	0.00	224.29	91.0	204.10
06/13/2018	VERMA, ANAND	TD AMERITRADE	0.00	1,092.79	0.00	1,092.79	91.0	994.44
* RIA SUBTOTAL *			\$1,979,816.12	\$6,512.68	\$0.00	\$6,512.68		\$5,926.54
*** DIRECT TRANSACTIONS TOTAL ***			\$1,979,816.12	\$6,512.68	\$0.00	\$6,512.68		\$5,926.54
<u>ADJUSTMENTS</u>								
06/30/2018		*MANAGED FEE EXPENSE: TDAMERITRADE	0.00					-1.62
		ACCT MIN FEE						
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1.62

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

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BROKER COMMISSION TOTALS

Broker Transactions	\$5,926.54
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1.62
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,924.92
Year-to-date Gross	\$131,221.96
Year-to-date Earnings	\$119,412.04