



Concorde Investment Services, LLC
COMMISSION STATEMENT
January 31, 2017

01/27/2017

Page No. 1

MARIA BONGIOVANNI
2565 W. Maple Road

Troy, MI 48084

BROKER #: B148 Terminated 12/31/2016
BRANCH: SECURE ASSET FINANCI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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SPLIT TRANSACTIONS

BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240

01/17/2017	VANDERWAL, ELIZABETH	AM FUNDS AMERICAN BALANCED C	50.00	0.13	90.0	0.12	0.00	0.12
01/17/2017	FEY, MICHAEL	AM FUNDS AMERICAN BALANCED C	50.00	0.13	90.0	0.12	0.00	0.12
01/17/2017	THOMPSON, MARGARET	AM FUNDS CAPITAL WORLD GRTH & INC	200.00	0.50	90.0	0.45	0.00	0.45
01/17/2017	BRACHER, DOUGLAS	AM FUNDS INCOME FUND OF	50.00	0.13	90.0	0.12	0.00	0.12
01/17/2017	BOWLBY, TAMIE	AMERICAN FUND COLLEGE 2027 FUND	200.00	1.75	90.0	1.58	0.00	1.58
01/17/2017	BOWLBY, BRET	AMERICAN FUNDS COLLEGE 2024	250.00	2.19	90.0	1.97	0.00	1.97
12/31/2016	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	1.83	90.0	1.65	0.00	1.65
12/31/2016	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	1.94	90.0	1.75	0.00	1.75
12/31/2016	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	0.61	90.0	0.55	0.00	0.55
12/31/2016	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	2.39	90.0	2.15	0.00	2.15
12/31/2016	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	7.18	90.0	6.46	0.00	6.46
12/31/2016	WAX, LUBENA	BLK EQUITY DIVIDEND C	0.00	5.82	90.0	5.24	0.00	5.24
01/17/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	0.75	90.0	0.68	0.00	0.68
01/17/2017	WAX, LUBENA	BLK EQUITY DIVIDEND C	300.00	0.75	90.0	0.68	0.00	0.68
01/17/2017	POLL, ROGOR	FT EQUITY INC CL C	600.00	1.50	90.0	1.35	0.00	1.35
01/01/2017	BANKS, MICHAEL	INVESCO BALANCED RISK ALLOCATION A	0.00	1.09	90.0	0.98	0.00	0.98
01/01/2017	BANKS, DIANE	INVESCO BALANCED RISK ALLOCATION A	0.00	0.60	90.0	0.54	0.00	0.54
01/03/2017	NORCROSS, NANCY	METLIFE SERIES L	36,835.64	23.02	90.0	20.72	0.00	20.72
01/03/2017	NORCROSS, NANCY	METLIFE SERIES L	32,141.56	20.09	90.0	18.08	0.00	18.08
01/07/2017	BRINICH, EVA	NW LA YOUR LIFE PROTECTION	100.00	0.25	90.0	0.23	0.00	0.23
01/15/2017	COCHRILL, MELANIE	NWL NEXT GEN II FP VUL	30.00	0.23	90.0	0.21	0.00	0.21
01/16/2017	BAIRD, KATHRYN	NWL NEXT GEN II FP VUL	142.00	1.07	90.0	0.96	0.00	0.96
01/15/2017	HINCHLIFFE, ERIC	NWL YOURLIFE ACCUM VUL	210.13	0.53	90.0	0.48	0.00	0.48
01/15/2017	GREENBLATT JR, ROLAND	NWL YOURLIFE ACCUM VUL	110.58	0.28	90.0	0.25	0.00	0.25
01/15/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	492.24	1.23	90.0	1.11	0.00	1.11
01/16/2017	RICCI, SARAH	NWL YOURLIFE ACCUM VUL	88.83	0.22	90.0	0.20	0.00	0.20

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: B151-JASON/MARIA BAIRD/BONGIOVANNI #240</u>								
01/05/2017	REICH, MICHELLE	OPPENHEIMER FUND 68385E200	50.00	0.13	90.0	0.12	0.00	0.12
01/05/2017	REICH, MICHELLE	OPPENHEIMER PTF SERIES EQUITY	50.00	0.13	90.0	0.12	0.00	0.12
01/17/2017	BONGIOVANNI TOD, CLARICE	TRANSAMERICA AA CONSERVATIVE A	250.00	2.97	90.0	2.67	0.00	2.67
01/17/2017	HINCHLIFFE, ERIC	TRANSAMERICA AA MOD GROWTH A	25.00	0.30	90.0	0.27	0.00	0.27
* B151-JASON/MARIA BAIRD/BONGIOVANNI SUBTOTAL *			\$72,525.98	\$79.74		\$71.81	\$0.00	\$71.81
*** SPLIT TRANSACTIONS TOTAL ***			\$72,525.98	\$79.74		\$71.81	\$0.00	\$71.81

ADJUSTMENTS

01/31/2017		*WIRE PAYMENT: 1/15 OVERPMT	0.00					-30.59
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00		\$-30.59	\$0.00	\$-30.59

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$71.81
Override Transactions	\$0.00
Adjustments	\$-30.59
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$41.22
Year-to-date Gross	\$2,740.11
Year-to-date Earnings	\$2,466.50

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