



Concorde Investment Services, LLC

11/30/2017

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COMMISSION STATEMENT

November 30, 2017

JOSEPH MICHALETZ
41474 Judson Bottom Road

BROKER #: M138
BRANCH: DISCIPLINE ADVISORS,

North Mankato, MI 56003-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/13/2017	BITTENBENDER, MARILYN	GPB AUTOMOTIVE PORTFOLIO LP	400,000.00	0.00	0.00	0.00	93.0	0.00
11/13/2017	BITTENBENDER, MARILYN	HPI REAL ESTATE FUND V LLC	300,000.00	0.00	0.00	0.00	93.0	0.00
11/27/2017	VOIGT, KEVIN	HPI REAL ESTATE FUND V LLC	100,000.00	0.00	0.00	0.00	93.0	0.00
11/27/2017	GEAITHER, VALERIE	IVY ADVANTUS BOND FUND CLASS I	-9,599.65	0.00	0.00	0.00	93.0	0.00
11/27/2017	GEAITHER, VALERIE	IVY ADVANTUS REAL ESTATE SECURITIES	-3,680.83	0.00	0.00	0.00	93.0	0.00
11/20/2017	GEAITHER, VALERIE	IVY BOND FUND CLASS I	-12,395.18	22.00	22.00	0.00	93.0	0.00
11/27/2017	GEAITHER, VALERIE	IVY BOND FUND CLASS I	-9,818.65	22.00	22.00	0.00	93.0	0.00
11/27/2017	GEAITHER, VALERIE	IVY CORE EQUITY FUND CLASS I	-8,630.39	0.00	0.00	0.00	93.0	0.00
11/27/2017	GEAITHER, VALERIE	IVY CUNDILL GLOBAL VALUE FUND CLASS	-5,067.19	0.00	0.00	0.00	93.0	0.00
11/27/2017	GEAITHER, VALERIE	IVY EMERGING MARKETS EQUITY FUND CL	-5,679.60	0.00	0.00	0.00	93.0	0.00
11/27/2017	GEAITHER, VALERIE	IVY GLOBAL BOND FUND CLASS I	-6,115.50	0.00	0.00	0.00	93.0	0.00
11/27/2017	GEAITHER, VALERIE	IVY LARGE CAP GROWTH I	-8,519.65	0.00	0.00	0.00	93.0	0.00
11/27/2017	GEAITHER, VALERIE	IVY VALUE FUND CLASS I	-7,664.29	0.00	0.00	0.00	93.0	0.00
11/13/2017	BITTENBENDER, MARILYN	RESOURCE ROYALTY INCOME & GROWTH FU	300,000.00	0.00	0.00	0.00	93.0	0.00
11/27/2017	GEAITHER, VALERIE	W&R ADVISORS CONTINENTAL INCOME FUN	-6,282.55	22.00	22.00	0.00	93.0	0.00
11/27/2017	GEAITHER, VALERIE	W&R ADVISORS HIGH INCOME FUND CLASS	-6,024.93	22.00	22.00	0.00	93.0	0.00
11/27/2017	GEAITHER, VALERIE	W&R ADVISORS NEW CONCEPTS FUND CLAS	-5,438.86	22.00	22.00	0.00	93.0	0.00
11/27/2017	GEAITHER, VALERIE	W&R ADVISORS SCIENCE AND TECHNOLOGY	-4,315.90	22.00	22.00	0.00	93.0	0.00
11/20/2017	GEAITHER, VALERIE	W&R ADVISORS VANGUARD FUND CLASS Y	-5,705.91	22.00	22.00	0.00	93.0	0.00
11/27/2017	GEAITHER, VALERIE	WADDELL & REED ADVISORS WILSHIRE GL	-12,048.80	22.00	22.00	0.00	93.0	0.00
11/27/2017	GEAITHER, VALERIE	WR ADVISORS CASH MANAGEMENT A	-0.54	0.00	22.00	-22.00	93.0	-20.46
* MUTUAL FUNDS SUBTOTAL *			\$983,011.58	\$176.00	\$198.00	\$-22.00		\$-20.46
<u>PRODUCT CATEGORY: STOCKS</u>								
11/27/2017	ALBERTO, J THOMAS	CLAYMORE EXCHANGE-TRADED FD TR GUGG	9,982.68	22.00	22.59	-0.59	93.0	-0.55
* STOCKS SUBTOTAL *			\$9,982.68	\$22.00	\$22.59	\$-0.59		\$-0.55

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
*** CLEARING TRANSACTIONS TOTAL ***			\$992,994.26	\$198.00	\$220.59	\$-22.59		\$-21.01
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u>								
11/09/2017	ARNOLD, JEFFREY	BOURNE SENIORS HOUSING III	150,000.00	10,500.00	0.00	10,500.00	93.0	9,765.00
11/09/2017	ARNOLD, JEFFREY	GPB AUTOMOTIVE	150,000.00	10,500.00	0.00	10,500.00	93.0	9,765.00
11/13/2017	BITTENBENDER, MARILYN	GPB AUTOMOTIVE PORTFOLIO LP	400,000.00	28,000.00	0.00	28,000.00	93.0	26,040.00
11/09/2017	ARNOLD, JEFFREY	HPI FUND V	150,000.00	9,000.00	0.00	9,000.00	93.0	8,370.00
11/09/2017	MILLER,MILLER,BRADS	HPI FUND V	204,081.63	8,163.27	0.00	8,163.27	93.0	7,591.84
11/13/2017	BITTENBENDER, MARILYN	HPI FUND V	300,000.00	18,000.00	0.00	18,000.00	93.0	16,740.00
11/15/2017	GARTNER, LEONARD	HPI FUND V	80,000.00	4,800.00	0.00	4,800.00	93.0	4,464.00
* PRIVATE PLACEMENT SUBTOTAL *			\$1,434,081.63	\$88,963.27	\$0.00	\$88,963.27		\$82,735.84
<u>PRODUCT CATEGORY: OIL & GAS</u>								
11/13/2017	COHEN, KATHRYN	RESOURCE ROYALTY INC & GRWTH FD V	50,000.00	3,500.00	0.00	3,500.00	93.0	3,255.00
11/13/2017	POCKEY, MAURICE	RESOURCE ROYALTY INC & GRWTH FD V	40,000.00	2,800.00	0.00	2,800.00	93.0	2,604.00
11/13/2017	BITTENBENDER, MARILYN	RESOURCE ROYALTY INC & GRWTH FD V	300,000.00	21,000.00	0.00	21,000.00	93.0	19,530.00
* OIL & GAS SUBTOTAL *			\$390,000.00	\$27,300.00	\$0.00	\$27,300.00		\$25,389.00
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
11/09/2017	WOLTMAN SEP PROPERTY TR D,	RRTY CANADIAN RIVER ROYALTIES LLC	170,000.00	10,200.00	0.00	10,200.00	93.0	9,486.00
11/15/2017	SHANNON HOLDINGS LLC	RRTY CANADIAN RIVER ROYALTIES LLC	392,000.00	16,000.00	0.00	16,000.00	93.0	14,880.00
11/22/2017	SIRMANS, ROBERT	RRTY CANADIAN RIVER ROYALTIES LLC	300,000.00	18,000.00	0.00	18,000.00	93.0	16,740.00
* 1031 EXCHANGES SUBTOTAL *			\$862,000.00	\$44,200.00	\$0.00	\$44,200.00		\$41,106.00
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
11/15/2017	ORTIZ, LAURA	TRANSAMERICA AA GROWTH C	100.00	1.00	0.00	1.00	93.0	0.93
11/15/2017	VETTER, JAYCE	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	93.0	0.47
* MUTUAL FUNDS SUBTOTAL *			\$150.00	\$1.50	\$0.00	\$1.50		\$1.40
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
11/07/2017	SCHRIOCK, STANLEY	JNL PERSPECTIVE L	414,850.00	16,594.00	0.00	16,594.00	93.0	15,432.42
11/20/2017	ANDERSEN, DAVID	JNL PERSPECTIVE L	550.00	22.00	0.00	22.00	93.0	20.46
10/01/2017	GRONAU, ROLAND	TRANS LIFE LANDMARK 2003 VA	23,922.84	19.94	0.00	19.94	93.0	18.54
10/09/2017	JACKSON, SHARON	TRANS LIFE LANDMARK 2003 VA	463,288.29	386.07	0.00	386.07	93.0	359.05
10/17/2017	ROBINSON, CHARLES	TRANS LIFE LANDMARK 2003 VA	115,107.35	95.92	0.00	95.92	93.0	89.21

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/18/2017	ROBINSON, MARILYN	TRANS LIFE LANDMARK 2003 VA	209,944.72	174.95	0.00	174.95	93.0	162.70
10/19/2017	STEVENS, JANICE	TRANSAMERICA CATALYST	16,935.67	3.53	0.00	3.53	93.0	3.28
10/01/2017	STEVENS, DOUGLAS	TRANSAMERICA CLASSIC	12,132.01	2.53	0.00	2.53	93.0	2.35
10/24/2017	KIRCHNER, JACOB	TRANSAMERICA CLASSIC	17,477.96	3.64	0.00	3.64	93.0	3.39
10/04/2017	SEVERSON, STEVEN	TRANSAMERICA EXTRA	177,203.71	147.67	0.00	147.67	93.0	137.33
10/08/2017	KIRCHNER, DUANE	TRANSAMERICA EXTRA	45,160.90	37.63	0.00	37.63	93.0	35.00
10/09/2017	DRESSEN, KATHRYN	TRANSAMERICA EXTRA	323,030.76	269.19	0.00	269.19	93.0	250.35
10/09/2017	VOIGHT, RICKY	TRANSAMERICA EXTRA	653,517.67	544.60	0.00	544.60	93.0	506.48
10/09/2017	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	24,294.71	20.25	0.00	20.25	93.0	18.83
10/11/2017	MICHALETZ, CHRISTINE	TRANSAMERICA EXTRA	6,580.00	5.48	0.00	5.48	93.0	5.10
10/13/2017	VETTER, LORI	TRANSAMERICA EXTRA	36,415.26	30.35	0.00	30.35	93.0	28.23
10/13/2017	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	18,621.67	15.52	0.00	15.52	93.0	14.43
10/13/2017	VOIGHT, RICKY	TRANSAMERICA EXTRA	6,614.12	5.51	0.00	5.51	93.0	5.12
10/13/2017	TRIPP, TIMOTHY	TRANSAMERICA EXTRA	25,023.42	20.85	0.00	20.85	93.0	19.39
10/14/2017	GRAFF, JOYCE	TRANSAMERICA EXTRA	48,878.93	40.73	0.00	40.73	93.0	37.88
10/14/2017	GRAFF, JOYCE	TRANSAMERICA EXTRA	17,240.61	14.37	0.00	14.37	93.0	13.36
10/17/2017	ELLIS, ROSEMARY	TRANSAMERICA EXTRA	232,836.95	194.03	0.00	194.03	93.0	180.45
10/19/2017	WETZELL, THOMAS	TRANSAMERICA EXTRA	1,352,738.21	1,127.28	0.00	1,127.28	93.0	1,048.37
10/19/2017	VANDERHOOF, DONALD	TRANSAMERICA EXTRA	7,833.98	6.53	0.00	6.53	93.0	6.07
10/19/2017	LEVIK, LADONNA	TRANSAMERICA EXTRA	226,625.26	188.85	0.00	188.85	93.0	175.63
10/20/2017	GEWERTH, CHERYL	TRANSAMERICA EXTRA	19,189.95	15.99	0.00	15.99	93.0	14.87
10/21/2017	VOTCA, JOHN	TRANSAMERICA EXTRA	65,623.31	54.69	0.00	54.69	93.0	50.86
10/21/2017	KRONBACK, EUGENE	TRANSAMERICA EXTRA	56,865.84	47.39	0.00	47.39	93.0	44.07
10/21/2017	GRISWOLD, ELLEN	TRANSAMERICA EXTRA	35,113.28	29.26	0.00	29.26	93.0	27.21
10/23/2017	VOIGHT, KATHERINE	TRANSAMERICA EXTRA	32,358.83	26.97	0.00	26.97	93.0	25.08
10/27/2017	BOESCH, RODNEY	TRANSAMERICA EXTRA	53,902.79	44.92	0.00	44.92	93.0	41.78
10/29/2017	BULLER, CAROL	TRANSAMERICA EXTRA	14,010.84	11.68	0.00	11.68	93.0	10.86
10/29/2017	KIRCHNER, JANET	TRANSAMERICA EXTRA	30,715.50	25.60	0.00	25.60	93.0	23.81
10/01/2017	BOESCH, JEFFERY	TRANSAMERICA EXTRA 89352F889	228,480.57	190.40	0.00	190.40	93.0	177.07
10/07/2017	MICHALETZ, JOSEPH	TRANSAMERICA EXTRA 89352F889	12,851.10	10.71	0.00	10.71	93.0	9.96
10/10/2017	HORNING, DONALD	TRANSAMERICA EXTRA 89352F889	115,437.44	96.20	0.00	96.20	93.0	89.47
10/16/2017	FEMRITE, LYLE	TRANSAMERICA EXTRA 89352F889	78,534.84	65.45	0.00	65.45	93.0	60.87
10/23/2017	PAULSEN, TAMI	TRANSAMERICA EXTRA 89352F889	67,987.33	56.66	0.00	56.66	93.0	52.69

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
10/23/2017	PAULSEN, BRYAN	TRANSAMERICA EXTRA 89352F889	181,823.81	151.52	0.00	151.52	93.0	140.91
10/23/2017	FOSTER, RODNEY	TRANSAMERICA EXTRA 89352F889	1,324,955.40	1,104.13	0.00	1,104.13	93.0	1,026.84
10/23/2017	FOSTER, BARBARA	TRANSAMERICA EXTRA 89352F889	294,854.84	245.71	0.00	245.71	93.0	228.51
10/01/2017	IRELAND, DANIEL	TRANSAMERICA LANDMARK	103,859.62	86.55	0.00	86.55	93.0	80.49
10/02/2017	PLOTZ, LEO	TRANSAMERICA LANDMARK	323,295.20	269.41	0.00	269.41	93.0	250.55
10/05/2017	TURNER, WENDELL	TRANSAMERICA LANDMARK	119,439.57	99.53	0.00	99.53	93.0	92.56
10/07/2017	SHORE, ROSALIND	TRANSAMERICA LANDMARK	135,805.96	113.17	0.00	113.17	93.0	105.25
10/09/2017	BRIELMAIER, MARK	TRANSAMERICA LANDMARK	72,764.37	60.64	0.00	60.64	93.0	56.40
10/15/2017	BENZ, JERRILYN	TRANSAMERICA LANDMARK	386,251.00	321.88	0.00	321.88	93.0	299.35
10/15/2017	JOHNSON, PAUL	TRANSAMERICA LANDMARK	466,057.73	388.38	0.00	388.38	93.0	361.19
10/18/2017	KLEMENT, LINDA	TRANSAMERICA LANDMARK	14,776.57	12.31	0.00	12.31	93.0	11.45
10/20/2017	BERGLING, PAUL	TRANSAMERICA LANDMARK	517,293.67	431.08	0.00	431.08	93.0	400.90
10/22/2017	DALLMANN, MARGARET	TRANSAMERICA LANDMARK	161,981.54	134.98	0.00	134.98	93.0	125.53
10/22/2017	BERGLING, SHARON	TRANSAMERICA LANDMARK	732,604.00	610.50	0.00	610.50	93.0	567.77
10/25/2017	FITTERER, DELORIS	TRANSAMERICA LANDMARK	51,450.02	42.88	0.00	42.88	93.0	39.88
10/26/2017	DALLMANN, HOWARD	TRANSAMERICA LANDMARK	13,672.75	11.39	0.00	11.39	93.0	10.59
10/28/2017	KLEMENT, LINDA	TRANSAMERICA LANDMARK	70,803.92	59.00	0.00	59.00	93.0	54.87
10/29/2017	SANDER, GLORIAN	TRANSAMERICA LANDMARK	230,915.81	192.43	0.00	192.43	93.0	178.96
11/20/2017	BERGLING, PAUL	TRANSAMERICA LANDMARK	280.00	14.00	0.00	14.00	93.0	13.02
10/29/2017	ATKINSON, VONDA	TRANSAMERICA LANDMARK 89352F772	53,299.90	44.42	0.00	44.42	93.0	41.31
10/04/2017	HULST, TIMOTHY	TRANSAMERICA LIBERTY	57,853.30	48.21	0.00	48.21	93.0	44.84
10/11/2017	HAUGH, JOANNE	TRANSAMERICA LIBERTY	37,401.34	31.17	0.00	31.17	93.0	28.99
10/24/2017	MICHALETZ, GERALD	TRANSAMERICA LIBERTY	82,637.96	68.86	0.00	68.86	93.0	64.04
10/24/2017	AYERS, KATHRYN	TRANSAMERICA LIBERTY	219,831.74	183.19	0.00	183.19	93.0	170.37
10/28/2017	TOAY, BARBARA	TRANSAMERICA LIBERTY	331.43	0.28	0.00	0.28	93.0	0.26
* VARIABLE ANNUITIES SUBTOTAL *			\$10,942,138.07	\$25,372.96	\$0.00	\$25,372.96		\$23,596.85
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
11/06/2017	OTTO, CHRISTOPHER	LBL VUL	0.00	4.05	0.00	4.05	93.0	3.77
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$0.00	\$4.05	\$0.00	\$4.05		\$3.77
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
10/31/2017	SMITH-GROVER, SUSAN	BLK MOD 13-16 AGE BASED C	0.00	3.40	0.00	3.40	93.0	3.16
10/31/2017	SMITH-GROVER, SUSAN	BLK MOD 13-16 AGE BASED C	0.00	1.13	0.00	1.13	93.0	1.05

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
11/14/2017	WILKERSON, LAURA	JH STRATEGIC INCOME A	0.00	8.27	0.00	8.27	93.0	7.69
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$12.80	\$0.00	\$12.80		\$11.90
*** DIRECT TRANSACTIONS TOTAL ***			\$13,628,369.70	\$185,854.58	\$0.00	\$185,854.58		\$172,844.76

SPLIT TRANSACTIONS**BROKER: JACK MICHALETZ #287**

11/10/2017	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	132.00	1.32	0.00	1.32	93.0	1.23
11/10/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	105.60	1.06	0.00	1.06	93.0	0.99
11/10/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	105.60	1.06	0.00	1.06	93.0	0.99
11/10/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	79.20	0.79	0.00	0.79	93.0	0.73
* JACK MICHALETZ SUBTOTAL *			\$422.40	\$4.23	\$0.00	\$4.23		\$3.94
*** SPLIT TRANSACTIONS TOTAL ***			\$422.40	\$4.23	\$0.00	\$4.23		\$3.94

OVERRIDE TRANSACTIONS**BROKER: JACK MICHALETZ #287**

11/06/2017	GEHRKE, ROBERT	AMERITAS MEDLEY	53,399.49	33.37	0.00	33.37	0.0	31.03
11/09/2017	LORENTZ, ANDREW	COTTONWOOD MULTIFAMILY REIT II	10,000.00	600.00	0.00	600.00	0.0	558.00
11/14/2017	BLOCK, EUGENE	JH DISCIPLINED VALUE INT'L C	0.00	1.34	0.00	1.34	0.0	1.25
11/14/2017	ROBERTS, MICHELLE	JH DISCIPLINED VALUE INT'L C	0.00	0.23	0.00	0.23	0.0	0.21
11/14/2017	WALLEM, HARRY	JH FINANCIAL INDUSTRIES A	0.00	2.52	0.00	2.52	0.0	2.34
11/14/2017	BLOCK, EUGENE	JH INCOME B	0.00	1.23	0.00	1.23	0.0	1.14
11/14/2017	WALLEM, HARRY	JH LARGE CAP EQUITY A	0.00	4.08	0.00	4.08	0.0	3.79
11/14/2017	BLOCK, EUGENE	JH LARGE CAP EQUITY A	0.00	1.74	0.00	1.74	0.0	1.62
11/14/2017	BLOCK, EUGENE	JH LARGE CAP EQUITY B	0.00	1.07	0.00	1.07	0.0	1.00
11/14/2017	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY B	0.00	0.23	0.00	0.23	0.0	0.21
11/06/2017	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY C	8.67	0.09	0.00	0.09	0.0	0.08
11/06/2017	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY C	5.78	0.06	0.00	0.06	0.0	0.06
11/14/2017	BLOCK, EUGENE	JH LARGE CAP EQUITY C	0.00	1.88	0.00	1.88	0.0	1.75
11/14/2017	ANDERSON, RAYNOLD	JH LARGE CAP EQUITY C	0.00	2.16	0.00	2.16	0.0	2.01
11/14/2017	ROBERTS, MICHELLE	JH LARGE CAP EQUITY C	0.00	0.06	0.00	0.06	0.0	0.06
11/14/2017	TOLZMANN, RICHARD	JH LIFESTYLE AGGRESSIVE PORTFOLIO C	0.00	7.31	0.00	7.31	0.0	6.80

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<u>VERRIDE TRANSACTIONS</u>								
<u>BROKER: JACK MICHALETZ #287</u>								
11/14/2017	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT A	0.00	5.69	0.00	5.69	0.0	5.29
11/14/2017	SCHARMER, KEVIN	JH LIFESTYLE CONSERVATIVE PORT B	0.00	1.85	0.00	1.85	0.0	1.72
11/14/2017	OLSON, HARLAN	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	3.17	0.00	3.17	0.0	2.95
11/14/2017	HERMEL, ROBERT	JH LIFESTYLE GROWTH PORTFOLIO C	0.00	4.94	0.00	4.94	0.0	4.59
11/14/2017	WALLEM, HARRY	JH NEW OPPORTUNITIES A	0.00	2.20	0.00	2.20	0.0	2.05
11/14/2017	BLOCK, EUGENE	JH NEW OPPORTUNITIES A	0.00	0.65	0.00	0.65	0.0	0.60
11/14/2017	BLOCK, EUGENE	JH NEW OPPORTUNITIES C	0.00	1.39	0.00	1.39	0.0	1.29
11/14/2017	ROBERTS, MICHELLE	JH SMALL COMPANY FUND A	0.00	0.07	0.00	0.07	0.0	0.07
11/14/2017	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH A	0.00	0.32	0.00	0.32	0.0	0.30
11/14/2017	BLOCK, EUGENE	JH STRATEGIC GROWTH A	0.00	2.61	0.00	2.61	0.0	2.43
11/06/2017	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH C	8.66	0.09	0.00	0.09	0.0	0.08
11/06/2017	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH C	5.77	0.06	0.00	0.06	0.0	0.06
11/14/2017	BLOCK, EUGENE	JH STRATEGIC GROWTH C	0.00	1.80	0.00	1.80	0.0	1.67
11/14/2017	ANDERSON, RAYNOLD	JH STRATEGIC GROWTH C	0.00	2.15	0.00	2.15	0.0	2.00
11/14/2017	WALLEM, HARRY	JH STRATEGIC INCOME A	0.00	2.68	0.00	2.68	0.0	2.49
11/14/2017	BLOCK, EUGENE	JH STRATEGIC INCOME A	0.00	1.39	0.00	1.39	0.0	1.29
11/14/2017	BLOCK, EUGENE	JH STRATEGIC INCOME C	0.00	1.33	0.00	1.33	0.0	1.24
11/14/2017	ROBERTS, MICHELLE	JH STRATEGIC INCOME C	0.00	0.85	0.00	0.85	0.0	0.79
11/14/2017	KUEHLWEIN, RICHARD	JH STRATEGIC INCOME C	0.00	7.44	0.00	7.44	0.0	6.92
11/14/2017	WALLEM, HARRY	JN DISCIPLINED VALUE INT'L A	0.00	1.92	0.00	1.92	0.0	1.79
11/14/2017	BLOCK, EUGENE	JN DISCIPLINED VALUE INT'L A	0.00	1.59	0.00	1.59	0.0	1.48
11/14/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK CLASSIC VALUE C	0.00	7.76	0.00	7.76	0.0	7.22
11/14/2017	ROBERTS, MICHELLE	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	0.11	0.00	0.11	0.0	0.10
11/14/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK FOCUSED HIGH YIELD C	0.00	6.61	0.00	6.61	0.0	6.15
11/14/2017	HENZE, DUANE	JOHN HANCOCK LIFESTYLE AGGR PORTFOL	0.00	0.02	0.00	0.02	0.0	0.02
11/14/2017	KUEHLWEIN, RICHARD	JOHN HANCOCK US GLOBAL LEADERS GRW	0.00	5.77	0.00	5.77	0.0	5.37
11/14/2017	ZIEGLER, KRISTINE	PUTNAM ASSET ALLOC GROWTH A	50.00	2.50	12.00	-9.50	0.0	-8.84
11/17/2017	FLO, PAUL	PUTNAM CAPITAL SPECTRUM C	150.00	1.50	12.00	-10.50	0.0	-9.77
11/15/2017	SIEBERG, KYLE	PUTNAM EQUITY SPECTRUM C	100.00	1.00	12.00	-11.00	0.0	-10.23
11/17/2017	FLO, PAUL	PUTNAM EQUITY SPECTRUM C	150.00	1.50	12.00	-10.50	0.0	-9.77
11/22/2017	HUSBYN, ROGER	SPDR BLACKSTONE / GSO SENIOR LOAN E	30,459.91	100.00	22.97	77.03	0.0	71.64
11/22/2017	HUSBYN, ROGER	THE OSTERWEIS STRATEGIC INCOME FUND	30,500.00	0.00	22.00	-22.00	0.0	-20.46
11/06/2017	PROKOP, XAVIER	TRANSAMERICA AA GROWTH A	100.00	4.75	0.00	4.75	0.0	4.42

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u> OVERRIDE TRANSACTIONS </u>								
<u>BROKER: JACK MICHALETZ #287</u>								
11/06/2017	EARLS, RUTH	TRANSAMERICA AA GROWTH C	30.84	0.31	0.00	0.31	0.0	0.29
11/06/2017	EARLS, RUTH	TRANSAMERICA AA GROWTH C	30.84	0.31	0.00	0.31	0.0	0.29
11/13/2017	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	138.41	1.38	0.00	1.38	0.0	1.28
11/13/2017	DREW JAMES KOLLOS, SIM	TRANSAMERICA AA GROWTH C	138.41	1.38	0.00	1.38	0.0	1.28
11/15/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	200.00	2.00	0.00	2.00	0.0	1.86
11/15/2017	WINTER, JANEAN	TRANSAMERICA AA GROWTH C	200.00	2.00	0.00	2.00	0.0	1.86
11/15/2017	HANSON, MICHAEL	TRANSAMERICA AA GROWTH C	50.00	0.50	0.00	0.50	0.0	0.47
11/16/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38
11/16/2017	GRETCHEN L WENDT, SIM	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38
11/16/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38
11/16/2017	CONRAD, GARY	TRANSAMERICA AA GROWTH C	40.63	0.41	0.00	0.41	0.0	0.38
11/16/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	84.84	0.85	0.00	0.85	0.0	0.79
11/16/2017	JENSEN, MICHAEL	TRANSAMERICA AA GROWTH C	84.84	0.85	0.00	0.85	0.0	0.79
11/16/2017	FURST, KENNETH	TRANSAMERICA AA GROWTH C	79.02	0.79	0.00	0.79	0.0	0.73
11/16/2017	FURST, KENNETH	TRANSAMERICA AA GROWTH C	79.02	0.79	0.00	0.79	0.0	0.73
11/06/2017	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	329.58	3.30	0.00	3.30	0.0	3.07
11/06/2017	VAN TOL, KATHERINE	TRANSAMERICA AA MOD GROWTH C	988.71	9.89	0.00	9.89	0.0	9.20
11/06/2017	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	105.75	1.06	0.00	1.06	0.0	0.99
11/06/2017	JUDITH M ABDO, SIM	TRANSAMERICA AA MOD GROWTH C	105.75	1.06	0.00	1.06	0.0	0.99
11/06/2017	SARAH M GILBERTO, SIM	TRANSAMERICA AA MOD GROWTH C	42.69	0.43	0.00	0.43	0.0	0.40
11/06/2017	SARAH M GILBERTO, SIM	TRANSAMERICA AA MOD GROWTH C	42.69	0.43	0.00	0.43	0.0	0.40
11/13/2017	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	199.59	2.00	0.00	2.00	0.0	1.86
11/13/2017	GRUBER, DUSTIN	TRANSAMERICA AA MOD GROWTH C	199.59	2.00	0.00	2.00	0.0	1.86
11/15/2017	ESLINGER, OWEN	TRANSAMERICA AA MOD GROWTH C	150.00	1.50	0.00	1.50	0.0	1.40
11/15/2017	ESLINGER, ELEANOR	TRANSAMERICA AA MOD GROWTH C	150.00	1.50	0.00	1.50	0.0	1.40
10/16/2017	ZIEGLER, ERIC	TRANSAMERICA LANDMARK	17,900.35	14.92	0.00	14.92	0.0	13.88
10/16/2017	ZIEGLER, KRISTINE	TRANSAMERICA LANDMARK	18,399.40	15.33	0.00	15.33	0.0	14.26
10/08/2017	BECKWITH, DOROTHY	TRANSAMERICA LIBERTY	85,576.85	71.31	0.00	71.31	0.0	66.32
10/13/2017	BECKWITH, ALTON	TRANSAMERICA LIBERTY	88,602.33	73.84	0.00	73.84	0.0	68.67
* JACK MICHALETZ SUBTOTAL *			\$339,010.30	\$1,044.45	\$92.97	\$951.48		\$884.89
*** OVERRIDE TRANSACTIONS TOTAL ***			\$339,010.30	\$1,044.45	\$92.97	\$951.48		\$884.89

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$172,823.75
Split Transactions	\$3.94
Override Transactions	\$884.89
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$173,712.58
Year-to-date Gross	\$5,849,624.94
Year-to-date Earnings	\$5,630,810.24