



Concorde Investment Services, LLC

11/13/2017

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# COMMISSION STATEMENT

November 15, 2017

ROBERT SMITH  
5000 Meadows Road  
Suite 230  
Lake Oswego, OR 97035

BROKER #: S117  
BRANCH: ROBERT SMITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
11/06/2017	DETERT TRUST, L& J	GPB AUTOMOTIVE PORTFOLIO LP	87,000.00	6,090.00	0.00	6,090.00	92.0	5,602.80
11/09/2017	DETERT, JUDITH	GPB AUTOMOTIVE PORTFOLIO LP	8,000.00	560.00	0.00	560.00	92.0	515.20
11/09/2017	DETERT, LESLIE	GPB AUTOMOTIVE PORTFOLIO LP	23,000.00	1,610.00	0.00	1,610.00	92.0	1,481.20
* PRIVATE PLACEMENT SUBTOTAL *			\$118,000.00	\$8,260.00	\$0.00	\$8,260.00		\$7,599.20
<b><u>PRODUCT CATEGORY: 1031 EXCHANGES</u></b>								
10/30/2017	DIMARIA, JAMES	INLAND COLORADO SPRINGS MF DST	134,199.62	6,709.98	0.00	6,709.98	92.0	6,173.18
10/18/2017	HOGGARD TRUST, ROBERT & HELEN	INLAND HEALTHCARE PF III DST	250,000.00	12,500.00	0.00	12,500.00	92.0	11,500.00
10/31/2017	STEWART TRUST, DENNIS & JAN	INLAND HEALTHCARE PF III DST	95,887.11	4,794.36	0.00	4,794.36	92.0	4,410.81
11/03/2017	GURDJIAN-WALSH, ARDEMIS	INLAND HEALTHCARE PF III DST	256,000.00	12,800.00	0.00	12,800.00	92.0	11,776.00
* 1031 EXCHANGES SUBTOTAL *			\$736,086.73	\$36,804.34	\$0.00	\$36,804.34		\$33,859.99
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
10/23/2017	WEBER, GLENDA	FRANKLIN BALANCE SHEET INVST A	0.00	4.97	0.00	4.97	92.0	4.57
10/23/2017	WEBER, GLENDA	FRANKLIN DYNATECH A	0.00	0.53	0.00	0.53	92.0	0.49
10/23/2017	WEBER, GLENDA	FRANKLIN EQUITY INCOME A	0.00	7.42	0.00	7.42	92.0	6.83
10/23/2017	WEBER, GLENDA	FRANKLIN GROWTH A	0.00	8.00	0.00	8.00	92.0	7.36
10/23/2017	WEBER, GLENDA	FRANKLIN HIGH INCOME A	0.00	2.19	0.00	2.19	92.0	2.01
10/23/2017	WEBER, GLENDA	FRANKLIN MUTUAL SHARES A	0.00	4.74	0.00	4.74	92.0	4.36
10/23/2017	WEBER, GLENDA	FRANKLIN STRATEGIC INCOME A	0.00	3.62	0.00	3.62	92.0	3.33
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$31.47	\$0.00	\$31.47		\$28.95
*** DIRECT TRANSACTIONS TOTAL ***			\$854,086.73	\$45,095.81	\$0.00	\$45,095.81		\$41,488.14

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
11/15/2017		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
11/15/2017		*CONCIERGE SVC: 201145 201502 20150	0.00					-150.00
11/15/2017		*CONCIERGE SVC: 201819 202281 20234	0.00					-150.00
11/15/2017		*CONCIERGE SVC: 202515 202529 20253	0.00					-150.00
11/15/2017		*CONCIERGE SVC: 202543	0.00					-50.00
11/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
11/15/2017		*PERSHING: MANDITORY REORGANIZATION	0.00					-10.00
11/15/2017		*TECHNOLOGY: ADMIN - LYNN SMITH	0.00					-130.00
11/15/2017		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-1,127.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$41,488.14
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,127.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$40,361.14
Year-to-date Gross	\$913,613.07
Year-to-date Earnings	\$905,044.44

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

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