

COMMISSION STATEMENT May 31, 2018

RANDY SMITH-D061

BROKER #: D061

BRANCH: DMA

, TX

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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CLEARING TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

05/01/2018	153180186	BANNWORTH, CHARLES	MF	FUNDAMENTAL INVESTORS-A	B	-600.00	0.00	5.00	-5.00	50.0	-2.50
05/01/2018	153180186	BANNWORTH, CHARLES	MF	NEW PERSPECTIVE FUND-A	B	-600.00	0.00	5.00	-5.00	50.0	-2.50
05/15/2018	677002494	SHEWITZ, ZACHARY	MF	THE GROWTH FUND OF AMERICA-A	B	-977.55	0.00	5.00	-5.00	50.0	-2.50
05/18/2018	677006487	SHEWITZ, ROY	MF	MASS INVESTORS GROWTH STOCK	B	-987.55	0.00	5.00	-5.00	50.0	-2.50
				* MUTUAL FUNDS SUBTOTAL *		\$-3,165.10	\$0.00	\$20.00	\$-20.00		\$-10.00

PRODUCT CATEGORY: STOCKS

05/14/2018	492642569	MCLEOD, MARTHA	S	DIREXION DAILY FTSE CHINA BULL	S	-36,783.00	35.00	15.00	20.00	50.0	10.00
05/14/2018	492642569	MCLEOD, MARTHA	S	MATCH GROUP INC	B	39,362.45	35.00	15.00	20.00	50.0	10.00
05/21/2018	492642569	MCLEOD, MARTHA	S	AURORA CANNABIS INC ISIN	B	54,474.43	35.00	15.00	20.00	50.0	10.00
05/25/2018	492642569	MCLEOD, MARTHA	S	DIREXION DAILY 20+ YR TREASURY	B	142,130.45	35.00	15.00	20.00	50.0	10.00
				* STOCKS SUBTOTAL *		\$199,184.33	\$140.00	\$60.00	\$80.00		\$40.00

PRODUCT CATEGORY: BONDS

04/27/2018	676362622	SMITH TTEE, RANDALL B		COLLIN CO TX CMNTY COLLEGE	B	24,614.53	20.00	15.00	5.00	50.0	2.50
				* BONDS SUBTOTAL *		\$24,614.53	\$20.00	\$15.00	\$5.00		\$2.50

PRODUCT CATEGORY: MISCELLANEOUS

05/16/2018	a03219642	SMITH-D061, RANDY	MI	MUTUAL FUND MISC FEE 677002494	S	0.00	20.00	0.00	20.00	50.0	10.00
05/21/2018	a03219642	SMITH-D061, RANDY	MI	MUTUAL FUND MISC FEE 677006487	S	0.00	10.00	0.00	10.00	50.0	5.00
				* MISCELLANEOUS SUBTOTAL *		\$0.00	\$30.00	\$0.00	\$30.00		\$15.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/21/2018	mfc219642	SMITH RANDALL		MFT ALLIANCEBERNSTEIN GLO GOVT INC	B	0.00	10.99	0.00	10.99	50.0	5.50
05/21/2018	mfc219642	SMITH RANDALL		MFT AMERICAN BALANCED FUND-A	B	0.00	2.10	0.00	2.10	50.0	1.05
05/21/2018	mfc219642	SMITH RANDALL		MFT AMERICAN BALANCED FUND-A	B	0.00	7.15	0.00	7.15	50.0	3.58
05/21/2018	mfc219642	SMITH RANDALL		MFT AMERICAN BALANCED FUND-A	B	0.00	11.16	0.00	11.16	50.0	5.58
05/21/2018	mfc219642	SMITH RANDALL		MFT AMERICAN BALANCED FUND-A	B	0.00	13.41	0.00	13.41	50.0	6.71
05/21/2018	mfc219642	SMITH RANDALL		MFT AMERICAN BALANCED FUND-A	B	0.00	15.89	0.00	15.89	50.0	7.95
05/21/2018	mfc219642	SMITH RANDALL		MFT AMERICAN BALANCED FUND-A	B	0.00	16.37	0.00	16.37	50.0	8.19
05/21/2018	mfc219642	SMITH RANDALL		MFT AMERICAN BALANCED FUND-A	B	0.00	19.40	0.00	19.40	50.0	9.70
05/21/2018	mfc219642	SMITH RANDALL		MFT AMERICAN BALANCED FUND-A	B	0.00	25.36	0.00	25.36	50.0	12.68

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
05/21/2018	mfc219642 SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	29.83	0.00	29.83	50.0	14.92
05/21/2018	mfc219642 SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	40.24	0.00	40.24	50.0	20.12
05/21/2018	mfc219642 SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	41.25	0.00	41.25	50.0	20.63
05/21/2018	mfc219642 SMITH RANDALL	MFT AMERICAN BALANCED FUND-A	B	0.00	59.64	0.00	59.64	50.0	29.82
05/21/2018	mfc219642 SMITH RANDALL	MFT AMERICAN BALANCED FUND-F1	B	0.00	11.03	0.00	11.03	50.0	5.52
05/21/2018	mfc219642 SMITH RANDALL	MFT AMERICAN HIGH-INCOME TRUST-A	B	0.00	16.51	0.00	16.51	50.0	8.26
05/21/2018	mfc219642 SMITH RANDALL	MFT AMERICAN MUTUAL FUND-A	B	0.00	5.00	0.00	5.00	50.0	2.50
05/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL APPRECIATION A	B	0.00	1.73	0.00	1.73	50.0	0.87
05/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	1.75	0.00	1.75	50.0	0.88
05/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	4.86	0.00	4.86	50.0	2.43
05/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	14.47	0.00	14.47	50.0	7.24
05/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	17.64	0.00	17.64	50.0	8.82
05/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	22.60	0.00	22.60	50.0	11.30
05/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-A	B	0.00	78.63	0.00	78.63	50.0	39.32
05/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-F1	B	0.00	1.16	0.00	1.16	50.0	0.58
05/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-F1	B	0.00	3.27	0.00	3.27	50.0	1.64
05/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL INCOME BUILDER-F1	B	0.00	15.72	0.00	15.72	50.0	7.86
05/21/2018	mfc219642 SMITH RANDALL	MFT CAPITAL WORLD BOND FUND-A	B	0.00	5.96	0.00	5.96	50.0	2.98
05/21/2018	mfc219642 SMITH RANDALL	MFT DAVIS NEW YORK VENTURE CLASS A	B	0.00	0.05	0.00	0.05	50.0	0.03
05/21/2018	mfc219642 SMITH RANDALL	MFT DEUTSCHE STRATEGIC HIGH YIELD	B	0.00	6.92	0.00	6.92	50.0	3.46
05/21/2018	mfc219642 SMITH RANDALL	MFT DEUTSCHE STRATEGIC HIGH YIELD	B	0.00	15.80	0.00	15.80	50.0	7.90
05/21/2018	mfc219642 SMITH RANDALL	MFT DREYFUS HIGH YIELD FD A	B	0.00	2.94	0.00	2.94	50.0	1.47
05/21/2018	mfc219642 SMITH RANDALL	MFT DREYFUS PREMIER TECHNOLOGY	B	0.00	3.65	0.00	3.65	50.0	1.83
05/21/2018	mfc219642 SMITH RANDALL	MFT EATON VANCE UTILITIES FUND	B	0.00	2.43	0.00	2.43	50.0	1.22
05/21/2018	mfc219642 SMITH RANDALL	MFT EUROPACIFIC GROWTH FUND-A	B	0.00	0.36	0.00	0.36	50.0	0.18
05/21/2018	mfc219642 SMITH RANDALL	MFT FIDELITY ADVISOR INDUSTRIAL FD	B	0.00	17.11	0.00	17.11	50.0	8.56
05/21/2018	mfc219642 SMITH RANDALL	MFT FIDELITY ADVISOR EMERGING ASIA	B	0.00	9.33	0.00	9.33	50.0	4.67
05/21/2018	mfc219642 SMITH RANDALL	MFT FIDELITY ADVISOR MID CAP STOCK	B	0.00	5.22	0.00	5.22	50.0	2.61
05/21/2018	mfc219642 SMITH RANDALL	MFT FIDELITY ADV EMERGING MRKTS INC	B	0.00	3.47	0.00	3.47	50.0	1.74
05/21/2018	mfc219642 SMITH RANDALL	MFT FIDELITY ADVISOR SMALL CAP FUND	B	0.00	5.91	0.00	5.91	50.0	2.96
05/21/2018	mfc219642 SMITH RANDALL	MFT FRANKLIN GROWTH FUND CLASS A	B	0.00	5.75	0.00	5.75	50.0	2.88
05/21/2018	mfc219642 SMITH RANDALL	MFT FRANKLIN INCOME FUND CLASS C	B	0.00	59.93	0.00	59.93	50.0	29.97
05/21/2018	mfc219642 SMITH RANDALL	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	1.41	0.00	1.41	50.0	0.71
05/21/2018	mfc219642 SMITH RANDALL	MFT FRANKLIN INCOME FUND CLASS A	B	0.00	5.65	0.00	5.65	50.0	2.83
05/21/2018	mfc219642 SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.09	0.00	1.09	50.0	0.55
05/21/2018	mfc219642 SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	1.48	0.00	1.48	50.0	0.74
05/21/2018	mfc219642 SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	6.07	0.00	6.07	50.0	3.04
05/21/2018	mfc219642 SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	13.19	0.00	13.19	50.0	6.60
05/21/2018	mfc219642 SMITH RANDALL	MFT FUNDAMENTAL INVESTORS-A	B	0.00	28.89	0.00	28.89	50.0	14.45
05/21/2018	mfc219642 SMITH RANDALL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.36	0.00	0.36	50.0	0.18
05/21/2018	mfc219642 SMITH RANDALL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.38	0.00	0.38	50.0	0.19
05/21/2018	mfc219642 SMITH RANDALL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.24	0.00	0.24	50.0	0.12
05/21/2018	mfc219642 SMITH RANDALL	MFT MASS INVESTORS GROWTH STOCK	B	0.00	0.29	0.00	0.29	50.0	0.15
05/21/2018	mfc219642 SMITH RANDALL	MFT MFS LIMITED MATURITY FUND-C	B	0.00	0.03	0.00	0.03	50.0	0.02

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05/21/2018	mfc219642 SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	4.85	0.00	4.85	50.0	2.43
05/21/2018	mfc219642 SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	4.98	0.00	4.98	50.0	2.49
05/21/2018	mfc219642 SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	5.53	0.00	5.53	50.0	2.77
05/21/2018	mfc219642 SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	27.96	0.00	27.96	50.0	13.98
05/21/2018	mfc219642 SMITH RANDALL	MFT NEW PERSPECTIVE FUND-A	B	0.00	63.34	0.00	63.34	50.0	31.67
05/21/2018	mfc219642 SMITH RANDALL	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	11.61	0.00	11.61	50.0	5.81
05/21/2018	mfc219642 SMITH RANDALL	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	13.29	0.00	13.29	50.0	6.65
05/21/2018	mfc219642 SMITH RANDALL	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	14.81	0.00	14.81	50.0	7.41
05/21/2018	mfc219642 SMITH RANDALL	MFT OPPENHEIMERFUNDS 68381A103	B	0.00	36.51	0.00	36.51	50.0	18.26
05/21/2018	mfc219642 SMITH RANDALL	MFT PRUDENTIAL JENNISON UTILITY FD	B	0.00	18.01	0.00	18.01	50.0	9.01
05/21/2018	mfc219642 SMITH RANDALL	MFT PUTNAM GLOBAL EQUITY FUND CLASS	B	0.00	0.30	0.00	0.30	50.0	0.15
05/21/2018	mfc219642 SMITH RANDALL	MFT TAX-EXEMPT BOND FUND OF AMERICA	B	0.00	8.48	0.00	8.48	50.0	4.24
05/21/2018	mfc219642 SMITH RANDALL	MFT THE BOND FUND OF AMERICA-A	B	0.00	1.33	0.00	1.33	50.0	0.67
05/21/2018	mfc219642 SMITH RANDALL	MFT THE BOND FUND OF AMERICA-A	B	0.00	10.64	0.00	10.64	50.0	5.32
05/21/2018	mfc219642 SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.50	0.00	0.50	50.0	0.25
05/21/2018	mfc219642 SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.75	0.00	0.75	50.0	0.38
05/21/2018	mfc219642 SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	0.82	0.00	0.82	50.0	0.41
05/21/2018	mfc219642 SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	3.70	0.00	3.70	50.0	1.85
05/21/2018	mfc219642 SMITH RANDALL	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	15.76	0.00	15.76	50.0	7.88
05/21/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	0.10	0.00	0.10	50.0	0.05
05/21/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	3.10	0.00	3.10	50.0	1.55
05/21/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	6.22	0.00	6.22	50.0	3.11
05/21/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	14.81	0.00	14.81	50.0	7.41
05/21/2018	mfc219642 SMITH RANDALL	MFT THE INCOME FUND OF AMERICA-A	B	0.00	90.30	0.00	90.30	50.0	45.15
05/21/2018	mfc219642 SMITH RANDALL	MFT THORNBURG INTERM MUNI NATL FD	B	0.00	7.14	0.00	7.14	50.0	3.57
05/21/2018	mfc219642 SMITH RANDALL	MFT THORNBURG VALUE FD CL C	B	0.00	14.43	0.00	14.43	50.0	7.22
05/21/2018	mfc219642 SMITH RANDALL	MFT THORNBURG VALUE FD CL C	B	0.00	35.83	0.00	35.83	50.0	17.92
05/21/2018	mfc219642 SMITH RANDALL	MFT VOYA HIGH YIELD BOND FD A	B	0.00	1.89	0.00	1.89	50.0	0.95
05/21/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.04	0.00	1.04	50.0	0.52
05/21/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	1.30	0.00	1.30	50.0	0.65
05/21/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	4.88	0.00	4.88	50.0	2.44
05/21/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	5.33	0.00	5.33	50.0	2.67
05/21/2018	mfc219642 SMITH RANDALL	MFT WASHINGTON MUTUAL INVESTORS	B	0.00	54.92	0.00	54.92	50.0	27.46
05/21/2018	mfc219642 SMITH RANDALL	MFT WELLS FARGO HIGH YIELD BOND-A	B	0.00	1.62	0.00	1.62	50.0	0.81
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$1,177.15	\$0.00	\$1,177.15		\$588.80
*** CLEARING TRANSACTIONS TOTAL ***				\$220,633.76	\$1,367.15	\$95.00	\$1,272.15		\$636.30

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/07/2018 (None)	SMITH, MAR G	MF OPPENHEIMER	B	0.00	2.38	0.00	2.38	50.0	1.19
05/15/2018 (None)	0030340502	MF SCHOLARS CHOICE	B	50.00	1.50	0.00	1.50	50.0	0.75
05/10/2018 000000008958389	STRATHDEE,	MF MUTUAL DISC FND - CL A	B	105.00	5.25	0.00	5.25	50.0	2.63
05/25/2018 000005870019840	CAROL	MF TEMP GLOBAL SM CO FND - CL A	B	30.00	1.50	0.00	1.50	50.0	0.75
05/10/2018 000015815515075	STRATHDEE,	MF FRANKLIN RISING DIVIDENDS FD	B	245.00	12.25	0.00	12.25	50.0	6.13
05/10/2018 000060177858580	STRATHDEE,	MF FRANKLIN GROWTH FUND CLASS A	B	350.00	17.37	0.00	17.37	50.0	8.69
05/21/2018 00058011489	FOSTER, EMILIE	MF AMERICAN BALANCED FUND-A	B	10,000.00	200.00	0.00	200.00	50.0	100.00
05/23/2018 00075390701	MURPHY, DEBORAH	MF INTERMEDIATE BOND FUND OF	B	1,000.00	20.00	0.00	20.00	50.0	10.00
05/23/2018 00075396784	CASTANEDA, SANDRA	MF THE GROWTH FUND OF AMERICA-A	B	70.00	1.40	0.00	1.40	50.0	0.70
05/25/2018 00083359485	SCHLESSIGER,	MF AMERICAN BALANCED FUND-A	B	50.00	2.50	0.00	2.50	50.0	1.25
05/23/2018 00083949478	LIMON, ELIZABETH	MF AMERICAN BALANCED FUND-A	B	160.00	3.20	0.00	3.20	50.0	1.60
05/23/2018 00083949478	LIMON, ELIZABETH	MF AMERICAN BALANCED FUND-A	B	160.00	3.20	0.00	3.20	50.0	1.60
05/23/2018 00084366836	MENDOZA, SANDRA	MF AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00	50.0	1.00
05/23/2018 00084366836	MENDOZA, SANDRA	MF AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00	50.0	1.00
05/18/2018 00084512960	GIBBS, KENDRA	MF CAPITAL WORLD GROWTH AND INCOME	B	170.00	6.38	0.00	6.38	50.0	3.19
05/18/2018 00084512960	GIBBS, KENDRA	MF NEW PERSPECTIVE FUND-A	B	165.00	6.19	0.00	6.19	50.0	3.10
05/18/2018 00084512960	GIBBS, KENDRA	MF THE GROWTH FUND OF AMERICA-A	B	165.00	6.19	0.00	6.19	50.0	3.10
05/21/2018 00085302677	JORGE ALEXANDER	MF AMERICAN BALANCED FUND-A	B	50.00	2.50	0.00	2.50	50.0	1.25
05/25/2018 00087364129	DORER, SONIA	MF AMERICAN BALANCED FUND-A	B	250.00	6.88	0.00	6.88	50.0	3.44
05/25/2018 00087364129	DORER, SONIA	MF NEW PERSPECTIVE FUND-A	B	249.79	6.87	0.00	6.87	50.0	3.44
05/25/2018 00087369989	DORER, RICHARD	MF SMALLCAP WORLD FUND-A	B	500.00	13.75	0.00	13.75	50.0	6.88
05/23/2018 00087738166	JIMERFIELD,	MF AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00	50.0	1.00
05/23/2018 00087738166	JIMERFIELD,	MF AMERICAN BALANCED FUND-A	B	100.00	2.00	0.00	2.00	50.0	1.00
05/15/2018 00088038123	PONCE, YOLANDA	MF AMERICAN BALANCED FUND-A	B	100.00	5.00	0.00	5.00	50.0	2.50
05/23/2018 04000631650	MARTINEZ, ELAINE	MF AMERICAN BALANCED FUND-A	B	25.00	0.50	0.00	0.50	50.0	0.25
05/23/2018 04000631650	MARTINEZ, ELAINE	MF AMERICAN BALANCED FUND-A	B	25.00	0.50	0.00	0.50	50.0	0.25
* MUTUAL FUNDS SUBTOTAL *				\$14,319.79	\$333.31	\$0.00	\$333.31		\$166.69
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/30/2018 (None)	ALLEN, JOHN	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	3.78	0.00	3.78	50.0	1.89
05/14/2018 02400053358	SANTIAGO, RITA	MFT GROWTH OPPORTUNITIES C	B	0.00	27.23	0.00	27.23	50.0	13.62
05/14/2018 02400053358	SANTIAGO, RITA	MFT MID CAP STOCK C	B	0.00	29.37	0.00	29.37	50.0	14.69
05/14/2018 02400053358	SANTIAGO, RITA	MFT VALUE OPPORTUNITIES C	B	0.00	27.24	0.00	27.24	50.0	13.62
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$87.62	\$0.00	\$87.62		\$43.82
*** DIRECT TRANSACTIONS TOTAL ***				\$14,319.79	\$420.93	\$0.00	\$420.93		\$210.51

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
BROKER: RIX/RANDY SMITH/SMITH-D081 #80									
05/03/2018	492637897	PENNY-MCELROY TTEE, S	CUSIP 8821175E0	B	22,466.93	225.00	7.50	217.50	108.75
05/09/2018	492637897	PENNY-MCELROY TTEE, B	RICHARDSON TEX GO BDS 2016 B	B	10,008.70	100.00	7.50	92.50	46.25
05/16/2018	676356642	SMITH, RANDALL	POTTER CNTY TEX CTFS OBLIG 2016 B	B	14,823.28	150.00	7.50	142.50	71.25
05/16/2018	676356642	SMITH, RANDALL	POTTER CNTY TEX CTFS OBLIG 2016 B	B	-14,823.28	-150.00	-7.50	-142.50	-71.25
05/16/2018	676356642	SMITH, RANDALL	POTTER CNTY TEX CTFS OBLIG 2016 B	B	14,823.28	150.00	7.50	142.50	71.25
05/21/2018	mfc913901	SMITH 913901	MFT CAPITAL INCOME BUILDER-A	B	0.00	3.25	0.00	3.25	1.63
05/21/2018	mfc913901	SMITH 913901	MFT CAPITAL WORLD GROWTH AND INCOME B	B	0.00	3.97	0.00	3.97	1.99
05/21/2018	mfc913901	SMITH 913901	MFT FUNDAMENTAL INVESTORS-A	B	0.00	5.74	0.00	5.74	2.87
05/21/2018	mfc913901	SMITH 913901	MFT GLOBAL BALANCED FUND-A	B	0.00	8.57	0.00	8.57	4.29
05/21/2018	mfc913901	SMITH 913901	MFT INTERNATIONAL GROWTH AND	B	0.00	8.98	0.00	8.98	4.49
05/21/2018	mfc913901	SMITH 913901	MFT THE GROWTH FUND OF AMERICA-A	B	0.00	1.47	0.00	1.47	0.74
* RIX/RANDY SMITH/SMITH-D081 SUBTOTAL *					\$47,298.91	\$506.98	\$22.50	\$484.48	\$242.26
*** SPLIT TRANSACTIONS TOTAL ***					\$47,298.91	\$506.98	\$22.50	\$484.48	\$242.26

REP LINK TRANSACTIONS

BROKER: RANDY SMITH-D601 #66									
05/22/2018	00086465668	MCINTIRE, JOHN	MF NEW PERSPECTIVE FUND-C	B	500.00	5.00	0.00	5.00	1.00
05/15/2018	08190129109	FBO JULIETTE G	MF MFS GROWTH FUND-C	B	100.00	1.00	0.00	1.00	0.20
05/15/2018	08190129110	PEYTON O LEBLANC, MF	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50	0.10
05/15/2018	08190129112	SARA E CANCIENNE, MF	MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50	0.10
05/15/2018	08190129113	LILLY M SUTTON	MF MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50	0.10
05/15/2018	08191029111	PRESLIE G LEBLANC	MF MFS GROWTH FUND-C	B	100.00	1.00	0.00	1.00	0.20
05/15/2018	08191270658	BEAU OUTLAW, JOHN	MF MFS GROWTH FUND-C	B	50.00	0.50	0.00	0.50	0.10
05/03/2018	01004814720	FINN, JOHN	MFT WELLS FARGO DIVERSIFIED INC	B	0.00	27.39	0.00	27.39	5.48
05/03/2018	01004814720	FINN, JOHN	MFT WELLS FARGO DIVERSIFIED INC	B	0.00	82.17	0.00	82.17	16.43
05/03/2018	01004814720	FINN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	2.59	0.00	2.59	0.52
05/03/2018	01004814720	FINN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	7.76	0.00	7.76	1.55
05/03/2018	01004814720	FINN, JOHN	MFT WELLS FARGO INDEX ASSET ALLOC-C	B	0.00	28.96	0.00	28.96	5.79
05/03/2018	01004814720	FINN, JOHN	MFT WELLS FARGO INDEX ASSET ALLOC-C	B	0.00	86.89	0.00	86.89	17.38
05/03/2018	01007612996	DELSA, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	0.42	0.00	0.42	0.08
05/03/2018	01007612996	DELSA, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	1.25	0.00	1.25	0.25
05/03/2018	01007612996	DELSA, ROBERT	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	0.37	0.00	0.37	0.07
05/03/2018	01007612996	DELSA, ROBERT	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	1.11	0.00	1.11	0.22
05/03/2018	01007612996	DELSA, ROBERT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	1.09	0.00	1.09	0.22
05/03/2018	01007612996	DELSA, ROBERT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.27	0.00	3.27	0.65
05/03/2018	01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	9.91	0.00	9.91	1.98
05/03/2018	01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	29.73	0.00	29.73	5.95
05/03/2018	01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	6.00	0.00	6.00	1.20

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
05/03/2018 01007799205	WARNIE, BARBARA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	17.99	0.00	17.99		3.60
05/03/2018 01008522715	ST JULIEN,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.70	0.00	2.70		0.54
05/03/2018 01008522715	ST JULIEN,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	8.09	0.00	8.09		1.62
05/03/2018 01009200054	PROPERTY,	MFT WELLS FARGO GLOBAL	B	0.00	6.12	0.00	6.12		1.22
05/03/2018 01009200054	PROPERTY,	MFT WELLS FARGO GLOBAL	B	0.00	18.36	0.00	18.36		3.67
05/03/2018 01009200054	PROPERTY,	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	2.49	0.00	2.49		0.50
05/03/2018 01009200054	PROPERTY,	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	7.46	0.00	7.46		1.49
05/03/2018 01009200054	PROPERTY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	10.64	0.00	10.64		2.13
05/03/2018 01009200054	PROPERTY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	31.92	0.00	31.92		6.38
05/03/2018 01009230280	GEE, WANDA	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	2.94	0.00	2.94		0.59
05/03/2018 01009230280	GEE, WANDA	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	8.82	0.00	8.82		1.76
05/03/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.15	0.00	2.15		0.43
05/03/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	6.44	0.00	6.44		1.29
05/03/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	1.07	0.00	1.07		0.21
05/03/2018 01009314539	NOLTING, EVELYN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	3.20	0.00	3.20		0.64
05/03/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	2.73	0.00	2.73		0.55
05/03/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	8.18	0.00	8.18		1.64
05/03/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO DISCOVERY-C	B	0.00	2.83	0.00	2.83		0.57
05/03/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO DISCOVERY-C	B	0.00	8.49	0.00	8.49		1.70
05/03/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.04	0.00	3.04		0.61
05/03/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	9.11	0.00	9.11		1.82
05/03/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.68	0.00	2.68		0.54
05/03/2018 01009315221	AGUILAR, MARIA	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	8.05	0.00	8.05		1.61
05/03/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	6.95	0.00	6.95		1.39
05/03/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO GLOBAL	B	0.00	20.86	0.00	20.86		4.17
05/03/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.89	0.00	2.89		0.58
05/03/2018 01009315537	SASSEN, JOHN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	8.67	0.00	8.67		1.73
05/03/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO GLOBAL	B	0.00	2.37	0.00	2.37		0.47
05/03/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO GLOBAL	B	0.00	7.10	0.00	7.10		1.42
05/03/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.37	0.00	2.37		0.47
05/03/2018 01009355661	COCKERHAM, ASHLEY	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	7.11	0.00	7.11		1.42
05/03/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO GLOBAL	B	0.00	17.68	0.00	17.68		3.54
05/03/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO GLOBAL	B	0.00	53.03	0.00	53.03		10.61
05/03/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	22.44	0.00	22.44		4.49
05/03/2018 01009358549	COCKERHAM, CAROL	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	67.33	0.00	67.33		13.47
05/03/2018 01009358973	JO ANNE B RAINES	MFT WELLS FARGO MONEY MARKET-C	B	0.00	7.21	0.00	7.21		1.44
05/03/2018 01009358973	JO ANNE B RAINES	MFT WELLS FARGO MONEY MARKET-C	B	0.00	21.63	0.00	21.63		4.33
05/03/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	2.26	0.00	2.26		0.45
05/03/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO GLOBAL	B	0.00	6.78	0.00	6.78		1.36
05/03/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO SPECIALIZED	B	0.00	3.95	0.00	3.95		0.79
05/03/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO SPECIALIZED	B	0.00	11.84	0.00	11.84		2.37
05/03/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO LARGE CAP GROWTH-C	B	0.00	2.59	0.00	2.59		0.52
05/03/2018 01009359500	LOMBARDI, ROBERT	MFT WELLS FARGO LARGE CAP GROWTH-C	B	0.00	7.78	0.00	7.78		1.56
05/03/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MODERATE BALANCED-C	B	0.00	20.49	0.00	20.49		4.10

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
05/03/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MODERATE BALANCED-C	B	0.00	61.47	0.00	61.47		12.29
05/03/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MONEY MARKET-C	B	0.00	9.25	0.00	9.25		1.85
05/03/2018 01009364253	COCKERHAM, GEORGE	MFT WELLS FARGO MONEY MARKET-C	B	0.00	27.74	0.00	27.74		5.55
05/03/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	0.88	0.00	0.88		0.18
05/03/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	2.65	0.00	2.65		0.53
05/03/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	1.07	0.00	1.07		0.21
05/03/2018 01009367639	ANN A LAFOURCADE,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	3.22	0.00	3.22		0.64
05/03/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	1.90	0.00	1.90		0.38
05/03/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	5.70	0.00	5.70		1.14
05/03/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO GLOBAL	B	0.00	3.29	0.00	3.29		0.66
05/03/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO GLOBAL	B	0.00	9.88	0.00	9.88		1.98
05/03/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO EMERGING MARKETS	B	0.00	2.04	0.00	2.04		0.41
05/03/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO EMERGING MARKETS	B	0.00	6.11	0.00	6.11		1.22
05/03/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	4.37	0.00	4.37		0.87
05/03/2018 01009367643	LAFOURCADE, EMILE	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	13.12	0.00	13.12		2.62
05/03/2018 01900000269	ST JULIEN, ERIC	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	16.55	0.00	16.55		3.31
05/03/2018 01900000279	GIFT MIN ACT LA,	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	8.18	0.00	8.18		1.64
05/03/2018 01900000283	GULF STATES	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	24.29	0.00	24.29		4.86
05/03/2018 01900000310	PEREZ, CHRISTINA	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	13.23	0.00	13.23		2.65
05/03/2018 01900000312	BRUCHI, JENNIFER	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	3.88	0.00	3.88		0.78
05/03/2018 01900000313	NOLTING, EVELYN	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	6.60	0.00	6.60		1.32
05/03/2018 01900000324	ST JULIEN,	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	12.72	0.00	12.72		2.54
05/03/2018 01900000349	CRAFT, ALLEN	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	14.62	0.00	14.62		2.92
05/03/2018 01900000352	JAMII BARAKA ST	MFT COLUMBIA MIDCAP VALUE FUND-C	B	0.00	2.66	0.00	2.66		0.53
05/14/2018 02400053405	ST JULIEN,	MFT INTERNATIONAL OPPORTUNITIES C	B	0.00	63.31	0.00	63.31		12.66
05/14/2018 02400053405	ST JULIEN,	MFT MID CAP STOCK C	B	0.00	20.97	0.00	20.97		4.19
05/14/2018 02400053405	ST JULIEN,	MFT VALUE OPPORTUNITIES C	B	0.00	40.27	0.00	40.27		8.05
05/14/2018 02400053746	JOHN J FINN CUST,	MFT INTERNATIONAL OPPORTUNITIES C	B	0.00	2.04	0.00	2.04		0.41
05/14/2018 02400053756	FBO RYAN MICHAEL	MFT MID CAP STOCK C	B	0.00	1.08	0.00	1.08		0.22
05/14/2018 02400054134	GIFT MIN ACT-SD,	MFT MID CAP STOCK C	B	0.00	1.06	0.00	1.06		0.21
05/14/2018 02400054619	GEE, WANDA	MFT INTERNATIONAL OPPORTUNITIES C	B	0.00	14.23	0.00	14.23		2.85
05/14/2018 02400056251	ALLEN D &	MFT MID CAP STOCK C	B	0.00	152.31	0.00	152.31		30.46
05/14/2018 02400056251	ALLEN D &	MFT VALUE OPPORTUNITIES C	B	0.00	78.99	0.00	78.99		15.80
05/14/2018 02400057556	CRAFT, ALLEN	MFT MID CAP STOCK C	B	0.00	50.47	0.00	50.47		10.09
05/14/2018 02400057556	CRAFT, ALLEN	MFT VALUE OPPORTUNITIES C	B	0.00	31.02	0.00	31.02		6.20
05/14/2018 02400057928	WARNIE, BARBARA	MFT CALIBRATED DIVIDEND GROWTH C	B	0.00	53.40	0.00	53.40		10.68
05/14/2018 02400057928	WARNIE, BARBARA	MFT MID CAP STOCK C	B	0.00	70.17	0.00	70.17		14.03
05/14/2018 02400057928	WARNIE, BARBARA	MFT VALUE OPPORTUNITIES C	B	0.00	68.17	0.00	68.17		13.63
05/14/2018 02400060442	TAZAMA UPENDO ST.	MFT INTERNATIONAL OPPORTUNITIES C	B	0.00	26.08	0.00	26.08		5.22
05/14/2018 02400081378	ROBERT LOMBARDI	MFT INTERNATIONAL OPPORTUNITIES C	B	0.00	59.36	0.00	59.36		11.87
05/14/2018 02400081378	ROBERT LOMBARDI	MFT MID CAP STOCK C	B	0.00	55.09	0.00	55.09		11.02
05/03/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO GLOBAL	B	0.00	1.84	0.00	1.84		0.37
05/03/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO GLOBAL	B	0.00	5.52	0.00	5.52		1.10
05/03/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	4.46	0.00	4.46		0.89

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
05/03/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	13.38	0.00	13.38		2.68
05/03/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	2.12	0.00	2.12		0.42
05/03/2018 03900363305	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	6.37	0.00	6.37		1.27
05/03/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO UTILITY AND	B	0.00	1.74	0.00	1.74		0.35
05/03/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO UTILITY AND	B	0.00	5.23	0.00	5.23		1.05
05/03/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIALIZED	B	0.00	1.56	0.00	1.56		0.31
05/03/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIALIZED	B	0.00	4.69	0.00	4.69		0.94
05/03/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.40	0.00	2.40		0.48
05/03/2018 03900370290	JOHN R CORBETT	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	7.21	0.00	7.21		1.44
05/03/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO DISCOVERY-C	B	0.00	0.98	0.00	0.98		0.20
05/03/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO DISCOVERY-C	B	0.00	2.93	0.00	2.93		0.59
05/03/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	1.17	0.00	1.17		0.23
05/03/2018 03900377880	BRUCHI, JENNIFER	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	3.52	0.00	3.52		0.70
05/03/2018 03900379796	GEE, WANDA	MFT WELLS FARGO GLOBAL	B	0.00	3.69	0.00	3.69		0.74
05/03/2018 03900379796	GEE, WANDA	MFT WELLS FARGO GLOBAL	B	0.00	11.06	0.00	11.06		2.21
05/03/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	4.06	0.00	4.06		0.81
05/03/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO INTRINSIC WORLD	B	0.00	12.18	0.00	12.18		2.44
05/03/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	5.30	0.00	5.30		1.06
05/03/2018 03903067709	PEREZ, NICOLAS	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	15.89	0.00	15.89		3.18
05/03/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	1.51	0.00	1.51		0.30
05/03/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO OMEGA GROWTH-C	B	0.00	4.52	0.00	4.52		0.90
05/03/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO DISCOVERY-C	B	0.00	1.63	0.00	1.63		0.33
05/03/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO DISCOVERY-C	B	0.00	4.89	0.00	4.89		0.98
05/03/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	2.01	0.00	2.01		0.40
05/03/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	6.04	0.00	6.04		1.21
05/03/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	1.96	0.00	1.96		0.39
05/03/2018 03903088240	MCELEARNEY,	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	5.89	0.00	5.89		1.18
05/03/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO GLOBAL	B	0.00	8.18	0.00	8.18		1.64
05/03/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO GLOBAL	B	0.00	24.54	0.00	24.54		4.91
05/03/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	5.98	0.00	5.98		1.20
05/03/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL MID CAP	B	0.00	17.94	0.00	17.94		3.59
05/03/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	5.49	0.00	5.49		1.10
05/03/2018 03903103427	SHERYL A BARDEN	MFT WELLS FARGO SPECIAL SM CAP	B	0.00	16.48	0.00	16.48		3.30
05/03/2018 04830000507	CORBETT, JOHN	MFT COLUMBIA BALANCED FUND-C	B	0.00	13.51	0.00	13.51		2.70
05/03/2018 05116000007	GULF STATES	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	43.37	0.00	43.37		8.67
05/03/2018 08695900108	JAMII BARAKA ST	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	2.63	0.00	2.63		0.53
05/03/2018 08695900297	GIFT MIN ACT LA,	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	4.39	0.00	4.39		0.88
05/03/2018 08699264798	ST JULIEN,	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	6.86	0.00	6.86		1.37
05/03/2018 08699264798	ST JULIEN,	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	8.20	0.00	8.20		1.64
05/03/2018 08699266849	ST JULIEN, ERIC	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	15.99	0.00	15.99		3.20
05/03/2018 50040000003	BENTON, MELISSA	MFT COLUMBIA MIDCAP GROWTH FUND-C	B	0.00	1.56	0.00	1.56		0.31
05/03/2018 53730000018	GIFT MIN ACT LA,	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	5.35	0.00	5.35		1.07
05/03/2018 53730000029	PEREZ, CHRISTINA	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	17.34	0.00	17.34		3.47
05/03/2018 53730000075	BRUCHI, JENNIFER	MFT COLUMBIA SELECT LARGE-CAP	B	0.00	4.63	0.00	4.63		0.93

COMMISSION STATEMENT for RANDY SMITH-D061

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
05/03/2018	53770000062	SASSEN, JOHN	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	5.50	0.00	5.50	1.10
05/03/2018	53770000066	NOLTING, EVELYN	MFT COLUMBIA SELECT SMALLER-CAP	B	0.00	6.46	0.00	6.46	1.29
* RANDY SMITH-D601 SUBTOTAL *				\$900.00	\$2,180.33	\$0.00	\$2,180.33		\$436.08
*** REP LINK TRANSACTIONS TOTAL ***				\$900.00	\$2,180.33	\$0.00	\$2,180.33		\$436.08

ADJUSTMENTS

05/31/2018		: MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		707.64
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$707.64

BROKER COMMISSION TOTALS

Broker Transactions	\$1,282.89
Split Transactions	\$242.26
Override Transactions	\$0.00
Adjustments	\$707.64
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$2,232.79
Year-to-date Commissions	\$38,342.96