



All Companies  
**COMMISSION STATEMENT**  
**August 15, 2018**

08/14/2018  
Page No. 1

**MICHAEL C. MOYNIHAN**  
700 Throckmorton

**BROKER #: 9528**  
**BRANCH: HOME OFFICE**

Fort Worth, TX 76102

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

**PRODUCT CATEGORY: MUTUAL FUNDS**

07/31/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	81.54	81.54	0.00	81.54	90.0	73.39
07/31/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	6.66	6.66	0.00	6.66	90.0	5.99
07/31/2018	TRAILS	-JOHN HANCOCK FREEDOM 529	B	16.18	16.18	0.00	16.18	90.0	14.56
07/16/2018	HUDGINS/HYDINGER, CHRISTY/ELLERY	-TEXAS 529 PLAN	B	25.00	1.19	0.00	1.19	90.0	1.07
07/30/2018	000000000378962 DUNKIN, STEPHEN	FRANKLIN TEMPLETON CONSERVATIVE TARG B		360.00	13.50	0.00	13.50	90.0	12.15
07/30/2018	000000000378962 DUNKIN, STEPHEN	FRANKLIN TEMPLETON CONSERVATIVE TARG B		123.00	4.61	0.00	4.61	90.0	4.15
07/30/2018	000000000501561 CHASTEEN, GARRY	FRANKLIN TEMPLTON ALLOC GROWTH TARG B		132.80	6.64	0.00	6.64	90.0	5.98
07/30/2018	000000000501561 CHASTEEN, GARRY	FRANKLIN TEMPLTON ALLOC GROWTH TARG B		79.20	3.96	0.00	3.96	90.0	3.56
07/24/2018	000000000709446 WALL, MATTHEW/ADRIENN A.	FRK TEMP COREFOLIO ALLOC FND CL - A B		458.38	22.91	0.00	22.91	90.0	20.62
07/30/2018	000000000730349 HARRY, CECIL	FRANKLIN TEMPLTON ALLOC GROWTH TARG B		91.20	4.56	0.00	4.56	90.0	4.10
07/30/2018	000000000730349 HARRY, CECIL	FRANKLIN TEMPLTON ALLOC GROWTH TARG B		91.20	4.56	0.00	4.56	90.0	4.10
07/30/2018	0000000001322181 PENGELLY, AMBER	FRK AGGRESSIVE GRWTH - CL A	B	88.00	4.40	0.00	4.40	90.0	3.96
07/30/2018	0000000001322181 PENGELLY, AMBER	FRK AGGRESSIVE GRWTH - CL A	B	61.20	3.06	0.00	3.06	90.0	2.75
07/30/2018	0000000006174104 COTE, PATTY S.	FRK TEMP FOUNDING FUNDS ALLOC FND C B		250.00	12.50	0.00	12.50	90.0	11.25
07/30/2018	0000000007100441 DUNKIN, PHILLIP	MUTUAL DISC FND - CL A	B	560.00	15.68	0.00	15.68	90.0	14.11
07/30/2018	0000000007100441 DUNKIN, PHILLIP	MUTUAL DISC FND - CL A	B	57.60	1.61	0.00	1.61	90.0	1.45
07/30/2018	0000000007100466 THOMPSON, MICHAEL	MUTUAL DISC FND - CL A	B	107.52	4.03	0.00	4.03	90.0	3.63
07/30/2018	0000000007100466 THOMPSON, MICHAEL	MUTUAL DISC FND - CL A	B	42.24	1.58	0.00	1.58	90.0	1.42
07/30/2018	0000000007197306 DUNKIN,	FRANKLIN MUTUAL SHARES FD CL 1	B	420.00	11.76	0.00	11.76	90.0	10.58

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
	PHILLIP								
07/30/2018	000000007197306 DUNKIN, PHILLIP	FRANKLIN MUTUAL SHARES FD CL 1	B	43.20	1.21	0.00	1.21	90.0	1.09
07/30/2018	000000007197320 THOMPSON, MICHAEL	FRANKLIN MUTUAL SHARES FD CL 1	B	80.64	3.02	0.00	3.02	90.0	2.72
07/30/2018	000000007197320 THOMPSON, MICHAEL	FRANKLIN MUTUAL SHARES FD CL 1	B	31.68	1.19	0.00	1.19	90.0	1.07
07/20/2018	00001664121 HUTCHISON, VIKKI	LIFESTYLE GROWTH PORTFOLIO A	B	223.08	6.36	0.00	6.36	90.0	5.72
07/17/2018	00001731052 MITCHELL, NATALIE	LIFESTYLE BALANCED PORTFOLIO A	B	230.00	8.63	0.00	8.63	90.0	7.77
07/16/2018	00001827274 MARTIN, BECK/HEATHER	LIFESTYLE MODERATE PORTFOLIO A	B	100.00	3.75	0.00	3.75	90.0	3.38
07/30/2018	000019817816174 DUNKIN, PHILLIP	FRANKLIN SMALL CAP GROWTH FD CL I B	B	420.00	11.76	0.00	11.76	90.0	10.58
07/30/2018	000019817816174 DUNKIN, PHILLIP	FRANKLIN SMALL CAP GROWTH FD CL I B	B	43.20	1.21	0.00	1.21	90.0	1.09
07/30/2018	000019817816186 THOMPSON, MICHAEL	FRANKLIN SMALL CAP GROWTH FD CL I B	B	80.64	3.02	0.00	3.02	90.0	2.72
07/30/2018	000019817816186 THOMPSON, MICHAEL	FRANKLIN SMALL CAP GROWTH FD CL I B	B	31.68	1.19	0.00	1.19	90.0	1.07
07/16/2018	00002042873 MARTIN, BECK	US GLOBAL LEADERS GROWTH A	B	200.00	7.50	0.00	7.50	90.0	6.75
07/16/2018	00002108774 KIZA, EILEEN	LIFESTYLE BALANCED PORTFOLIO C	B	50.00	0.50	0.00	0.50	90.0	0.45
07/16/2018	00002109067 KIZA, EILEEN	LIFESTYLE GROWTH PORTFOLIO A	B	200.00	7.50	0.00	7.50	90.0	6.75
07/19/2018	00002119967 MATHIS, JANET	LIFESTYLE GROWTH PORTFOLIO A	B	150.00	6.38	0.00	6.38	90.0	5.74
07/23/2018	00031779996 DOYLE, BLAKE	GLOBAL OPPORTUNITIES (A)	B	140.00	4.20	0.00	4.20	90.0	3.78
07/23/2018	00031779996 DOYLE, BLAKE	PRINCIPAL INVESTORS LARGE CAP GROWTH B	B	100.00	3.00	0.00	3.00	90.0	2.70
07/23/2018	00031779996 DOYLE, BLAKE	SMALL-MIDCAP DIVIDEND INCOME (A)	B	140.00	4.20	0.00	4.20	90.0	3.78
07/23/2018	00031780010 DOYLE, BLAKE E.	EQUITY INCOME I (A)	B	110.00	3.30	0.00	3.30	90.0	2.97
07/23/2018	00031780010 DOYLE, BLAKE E.	GLOBAL OPPORTUNITIES (A)	B	110.00	3.30	0.00	3.30	90.0	2.97
08/01/2018	00052796859 LOTT, BRIAN K	PORTFOLIO 2025-2028 A	B	100.00	4.25	0.00	4.25	90.0	3.83
07/16/2018	00052962457 BELCHER, JAMES W/JAMES C	PORTFOLIO 2033-2036 A	B	50.00	2.13	0.00	2.13	90.0	1.92
08/01/2018	00068047824 DE SMIT, DIANA D	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	541.66	10.83	0.00	10.83	90.0	9.75
07/27/2018	00073345834 VASQUEZ, DAVID	AMERICAN FUNDS CAPITAL WORLD BD FD B	B	26.77	0.74	0.00	0.74	90.0	0.67
07/27/2018	00073345834 VASQUEZ, DAVID	AMERICAN FUNDS CAPITAL WORLD BD FD B	B	26.77	0.74	0.00	0.74	90.0	0.67
08/01/2018	00074961084 MONTGOMERY, JERMAINE	AMERICAN FUNDS CAPITAL WORLD GROWT B	B	100.00	2.00	0.00	2.00	90.0	1.80
08/01/2018	00074961084 MONTGOMERY, JERMAINE	AMERICAN FUNDS GROWTH FUND OF AMERI B	B	100.00	2.00	0.00	2.00	90.0	1.80
08/01/2018	00074961084 MONTGOMERY, JERMAINE	AMERICAN FUNDS INCOME FD OF AMERI B	B	100.00	2.00	0.00	2.00	90.0	1.80

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/30/2018	00075979142 LARA-MONTGOMERY, JENNIFER A	AMERICAN FUNDS CAPITAL WORLD	GROWT B	100.00	2.00	0.00	2.00	90.0	1.80
07/27/2018	00082140597 DAVILA, JAIME A	AMERICAN FUNDS CAPITAL INCOME BUILD B		25.00	0.69	0.00	0.69	90.0	0.62
07/27/2018	00082140597 DAVILA, JAIME A	AMERICAN FUNDS CAPITAL INCOME BUILD B		25.52	0.70	0.00	0.70	90.0	0.63
08/01/2018	00084124051 PARISH/ALLEN, VICTORIA/JULIE	CAPITAL WORLD GROWTH AND INCOME-529 B		25.00	1.06	0.00	1.06	90.0	0.95
07/25/2018	00084917868 SASSMAN, STETSON	FUNDAMENTAL INVESTORS-529A	B	100.00	4.25	0.00	4.25	90.0	3.83
07/25/2018	00086510197 SASSMAN, STETSON	FUNDAMENTAL INVESTORS-529A	B	100.00	4.25	0.00	4.25	90.0	3.83
07/25/2018	00086510293 SASSMAN, STETSON	FUNDAMENTAL INVESTORS-529A	B	100.00	4.25	0.00	4.25	90.0	3.83
08/01/2018	00087416414 WHITE, CINDY C.	GROWTH PORTFOLIO-A	B	150.00	7.50	0.00	7.50	90.0	6.75
07/25/2018	00088005709 GOTTWALD, KATY WEBER	GROWTH PORTFOLIO-A	B	100.00	4.25	0.00	4.25	90.0	3.83
07/27/2018	00088625904 SHUMATE, BRENDA K	AMERICAN FUNDS 2020 TARGET DATE-A	B	150.00	4.13	0.00	4.13	90.0	3.72
07/27/2018	00088625904 SHUMATE, BRENDA K	AMERICAN FUNDS 2020 TARGET DATE-A	B	61.42	1.69	0.00	1.69	90.0	1.52
07/27/2018	00088628251 GRINDELE, RUSSELL G.	AMERICAN FUNDS 2025 TARGET DATE-A	B	32.04	0.88	0.00	0.88	90.0	0.79
07/27/2018	00088628251 GRINDELE, RUSSELL G.	AMERICAN FUNDS 2025 TARGET DATE-A	B	32.04	0.88	0.00	0.88	90.0	0.79
07/27/2018	00089316769 JIMENEZ, MANUEL	AMERICAN FUNDS 2020 TARGET DATE-A	B	10.00	0.28	0.00	0.28	90.0	0.25
07/27/2018	00089316769 JIMENEZ, MANUEL	AMERICAN FUNDS 2020 TARGET DATE-A	B	10.00	0.28	0.00	0.28	90.0	0.25
07/27/2018	00089357575 CONTRERAS, JUAN J.	AMERICAN FUNDS 2020 TARGET DATE-A	B	70.00	1.93	0.00	1.93	90.0	1.74
07/27/2018	00089357575 CONTRERAS, JUAN J.	AMERICAN FUNDS 2020 TARGET DATE-A	B	31.20	0.86	0.00	0.86	90.0	0.77
07/25/2018	00089825255 SASSMAN, KATHLEEN/SARAH	COLLEGE 2033 FUND-529A	B	100.00	3.50	0.00	3.50	90.0	3.15
07/16/2018	00207266994 INGRAM, MEGAN L/MONDRAE	PORTFOLIO 2029-2032 A	B	50.00	2.13	0.00	2.13	90.0	1.92
07/16/2018	02000061966 MARTIN, BECK/REBECKA	ULTRA CONSERVATIVE PORTFOLIO A	B	100.00	3.00	0.00	3.00	90.0	2.70
08/01/2018	04000020963 BURK, SHANNON KELLEY M.	AMERICAN FUNDS 2050 TARGET DATE-A	B	458.33	19.48	0.00	19.48	90.0	17.53
08/01/2018	04000470737 MINTER, ETHAN M.	AMERICAN FUNDS 2050 TARGET DATE-A	B	300.00	15.00	0.00	15.00	90.0	13.50
08/01/2018	04000476660 PARISH, WESLEY	COLLEGE 2033 FUND-529A	B	50.00	1.75	0.00	1.75	90.0	1.58
08/01/2018	04000795732 BETTIS, ZACHERY	GROWTH PORTFOLIO-529A	B	50.00	2.50	0.00	2.50	90.0	2.25
08/01/2018	04000795807 BETTIS, ZACHERY	GROWTH PORTFOLIO-529A	B	50.00	2.50	0.00	2.50	90.0	2.25
07/16/2018	04718377567 MIERS, JOSHUA	ALLIANCE TECHNOLOGY FD CL A	B	458.33	18.33	0.00	18.33	90.0	16.50
07/16/2018	07004631807 INGRAM, MEGAN /MORGHAN	PORTFOLIO 2033-2036 A	B	50.00	2.13	0.00	2.13	90.0	1.92
* MUTUAL FUNDS SUBTOTAL *				\$9,369.92	\$437.04	\$0.00	\$437.04		\$393.36

COMMISSION STATEMENT for MICHAEL C. MOYNIHAN

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: VARIABLE ANNUITIES

07/27/2018	TRAILS	-HARTFORD LIFE	B	3.78	3.78	0.00	3.78	90.0	3.40
08/03/2018	TRAILS	AMERICAN GENERAL ANNUITY	B	171.89	171.89	0.00	171.89	90.0	154.70
08/01/2018	HYDINGER, DANIEL	BRIGHTHOUSE FINANCIAL	B	200.00	4.00	0.00	4.00	90.0	3.60
* VARIABLE ANNUITIES SUBTOTAL *				<u>\$375.67</u>	<u>\$179.67</u>	<u>\$0.00</u>	<u>\$179.67</u>		<u>\$161.70</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

08/15/2018	TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES		0.00	2,032.81	0.00	2,032.81	90.0	1,829.63
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<u>\$0.00</u>	<u>\$2,032.81</u>	<u>\$0.00</u>	<u>\$2,032.81</u>		<u>\$1,829.63</u>
*** BROKER TRANSACTIONS TOTAL ***				<u>\$9,745.59</u>	<u>\$2,649.52</u>	<u>\$0.00</u>	<u>\$2,649.52</u>		<u>\$2,384.69</u>

SPLIT TRANSACTIONSBROKER: SNOW/MOYNIHAN #9543

07/31/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	186.08	74.43	0.00	74.43	90.0	66.99
* SNOW/MOYNIHAN SUBTOTAL *				<u>\$186.08</u>	<u>\$74.43</u>	<u>\$0.00</u>	<u>\$74.43</u>		<u>\$66.99</u>
*** SPLIT TRANSACTIONS TOTAL ***				<u>\$186.08</u>	<u>\$74.43</u>	<u>\$0.00</u>	<u>\$74.43</u>		<u>\$66.99</u>

ADJUSTMENTS

08/15/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
08/15/2018		RENT: 1/2 MONTH		0.00	0.00	0.00	0.00		-125.00
08/15/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-252.50</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$2,384.69
Split Transactions	\$66.99
Override Transactions	\$0.00
Adjustments	\$-252.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$2,199.18
Year-to-date Earnings	\$201,718.99