

All Companies  
**STATEMENT**  
**March 20, 2018**

ERIK EXISTING DODDS

BROKER #: 055  
BRANCH: LA BRANCH

, CA

COMMISSION STATEMENT for ERIK EXISTING DODDS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>										
02/02/2018 #	S TRUST CO R/O IRA, BNYM I	VIRTUS ASSET TR SEIX U S GVT SECS U S		33,520.78	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #		VIRTUS ASSET TR SEIX U S GVT SECS U S		33,520.78	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	GOLUBOFF, VICTORIA	VIRTUS ASSET TR SEIX U S GVT SECS U S		30,649.21	0.00	0.00	0.00	0.00	89.0	0.00
02/06/2018 #	GOLUBOFF, VICTORIA	**LEGG MASON GLB ASSET MGMT TR	B	6,617.00	0.00	0.00	0.00	0.00	89.0	0.00
02/06/2018 #	GOLUBOFF, VICTORIA	LOOMIS SAYLES INVESTMENT GRADE BOND B		8,827.00	0.00	0.00	0.00	0.00	89.0	0.00
02/20/2018 #	GOLUBOFF, VICTORIA	**LEGG MASON GLB ASSET MGMT TR	B	977.00	0.00	0.00	0.00	0.00	89.0	0.00
02/20/2018 #	GOLUBOFF, VICTORIA	LOOMIS SAYLES INVESTMENT GRADE BOND B		1,335.00	0.00	0.00	0.00	0.00	89.0	0.00
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$115,446.77</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>

**PRODUCT CATEGORY: STOCKS**

01/30/2018 #	MARIANO, RUSSELL	CSRA INC COM	B	460.71	0.00	0.00	0.00	0.00	89.0	0.00
01/30/2018 #	PLOEN, ALLISON	CSRA INC COM	B	557.73	0.00	0.00	0.00	0.00	89.0	0.00
01/30/2018 #	PLOEN, ALLISON	INTER PARFUMS INC	S	425.93	0.00	0.00	0.00	0.00	89.0	0.00
01/30/2018 #		ISHARES IBOXX \$ HIGH YIELD	B	11,946.06	0.00	0.00	0.00	0.00	89.0	0.00
01/30/2018 #		SPDR SERIES TRUST	B	1,966.52	0.00	0.00	0.00	0.00	89.0	0.00
01/30/2018 #		SPDR SERIES TRUST BARCLAYS HIGH YIE B		9,933.51	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #	S TRUST CO R/O IRA, BNYM I	BLACKROCK INC	S	550.55	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #		BLACKROCK INC	S	550.55	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #	S TRUST CO R/O IRA, BNYM I	BLACKSTONE GROUP L P	S	279.44	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #		BLACKSTONE GROUP L P	S	279.44	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #	S TRUST CO R/O IRA, BNYM I	FQF TR	S	398.56	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #	S TRUST CO R/O IRA, BNYM I	FQF TR	S	185.70	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #		FQF TR	S	398.56	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #		FQF TR	S	185.70	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #	S TRUST CO R/O IRA, BNYM I	GUGGENHEIM S&P GLOBAL WATER	S	246.75	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #		GUGGENHEIM S&P GLOBAL WATER	S	246.75	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #	S TRUST CO R/O IRA, BNYM I	INDEXIQ ETF TR	S	254.88	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #	S TRUST CO R/O IRA, BNYM I	INDEXIQ ETF TR	S	220.50	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #		INDEXIQ ETF TR	S	254.88	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #		INDEXIQ ETF TR	S	220.50	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #	S TRUST CO R/O IRA, BNYM I	ISHARES GOLD TRUST	S	217.43	0.00	0.00	0.00	0.00	89.0	0.00

COMMISSION STATEMENT for ERIK EXISTING DODDS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: STOCKS</u>										
02/02/2018 #		ISHARES GOLD TRUST	S	217.43	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #	S TRUST CO R/O IRA, BNYM I	ISHARES INC	S	504.40	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #		ISHARES INC	S	504.40	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #	S TRUST CO R/O IRA, BNYM I	ISHARES NASDAQ BIOTECHNOLOGY INDEX	S	337.75	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #		ISHARES NASDAQ BIOTECHNOLOGY INDEX	S	337.75	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #	S TRUST CO R/O IRA, BNYM I	ISHARES TR	S	1,077.32	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #		ISHARES TR	S	1,077.32	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #	S TRUST CO R/O IRA, BNYM I	ISHARES TR BARCLAYS TREAS INFLATION	S	1,460.97	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #		ISHARES TR BARCLAYS TREAS INFLATION	S	1,460.97	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #	S TRUST CO R/O IRA, BNYM I	ISHARES TR FTSE NAREIT RESIDENTIAL	S	341.58	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #		ISHARES TR FTSE NAREIT RESIDENTIAL	S	341.58	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #	S TRUST CO R/O IRA, BNYM I	ISHARES TRUST ISHARES EDGE MSCI MIN	S	268.26	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #	S TRUST CO R/O IRA, BNYM I	ISHARES TRUST ISHARES EDGE MSCI MIN	S	670.68	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #		ISHARES TRUST ISHARES EDGE MSCI MIN	S	268.26	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #		ISHARES TRUST ISHARES EDGE MSCI MIN	S	670.68	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #	S TRUST CO R/O IRA, BNYM I	ISHARES TRUST S&P GLOBAL	S	302.48	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #		ISHARES TRUST S&P GLOBAL	S	302.48	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #	S TRUST CO R/O IRA, BNYM I	POWERSHARES EXCHANGE TRADED	S	1,696.58	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #		POWERSHARES EXCHANGE TRADED	S	1,696.58	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #	S TRUST CO R/O IRA, BNYM I	POWERSHARES EXCHANGE-TRADED	S	904.64	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #		POWERSHARES EXCHANGE-TRADED	S	904.64	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #	S TRUST CO R/O IRA, BNYM I	POWERSHARES GLOBAL LISTED	S	262.60	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #		POWERSHARES GLOBAL LISTED	S	262.60	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #	S TRUST CO R/O IRA, BNYM I	SCHWAB STRATEGIC TR	S	3,114.67	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #		SCHWAB STRATEGIC TR	S	3,114.67	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #	S TRUST CO R/O IRA, BNYM I	SPDR INDEX SHARES FUNDS	S	211.44	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #		SPDR INDEX SHARES FUNDS	S	211.44	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #	S TRUST CO R/O IRA, BNYM I	SPDR INDEX SHS FDS	S	421.20	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #		SPDR INDEX SHS FDS	S	421.20	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #	S TRUST CO R/O IRA, BNYM I	SPDR SERIES TRUST	S	4,178.18	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #		SPDR SERIES TRUST	S	4,178.18	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #	S TRUST CO R/O IRA, BNYM I	UNITED STS COMMODITY INDEX FD COMMO	S	216.05	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #		UNITED STS COMMODITY INDEX FD COMMO	S	216.05	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #	S TRUST CO R/O IRA, BNYM I	VANGUARD INDEX FUNDS	S	298.90	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #		VANGUARD INDEX FUNDS	S	298.90	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #	S TRUST CO R/O IRA, BNYM I	VANGUARD INDEX FUNDS VANGUARD HIGH	S	1,573.43	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #		VANGUARD INDEX FUNDS VANGUARD HIGH	S	1,573.43	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #	S TRUST CO R/O IRA, BNYM I	VANGUARD SECTOR INDEX FDS VANGUARD	S	220.98	0.00	0.00	0.00	0.00	89.0	0.00
02/02/2018 #		VANGUARD SECTOR INDEX FDS VANGUARD	S	220.98	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	GALLO, SEBASTIANO	BARCLAYS PLC ADR	B	297.64	0.00	0.00	0.00	0.00	89.0	0.00

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<u>BROKER TRANSACTIONS</u>										
<u>PRODUCT CATEGORY: STOCKS</u>										
02/05/2018 #	GOLUBOFF, VICTORIA	BLACKROCK INC	S	1,085.48	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	GOLUBOFF, VICTORIA	FQF TR	S	318.16	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	GOLUBOFF, VICTORIA	GUGGENHEIM S&P GLOBAL WATER	S	172.50	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	GOLUBOFF, VICTORIA	INDEXIQ ETF TR	S	702.25	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	GOLUBOFF, VICTORIA	INDEXIQ ETF TR	S	407.81	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	GOLUBOFF, VICTORIA	ISHARES GOLD TRUST	S	153.72	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	S TRUST CO R/O IRA, BNYM I	ISHARES IBOXX \$ HIGH YIELD	B	21,096.12	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	WALDRIP, DEBRA	ISHARES IBOXX \$ HIGH YIELD	B	429.54	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #		ISHARES IBOXX \$ HIGH YIELD	B	21,096.12	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	GOLUBOFF, VICTORIA	ISHARES INC	S	1,628.38	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	GOLUBOFF, VICTORIA	ISHARES NASDAQ BIOTECHNOLOGY INDEX	S	329.32	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	GOLUBOFF, VICTORIA	ISHARES TR	S	327.32	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	GOLUBOFF, VICTORIA	ISHARES TR BARCLAYS TREAS INFLATION	S	336.70	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	GOLUBOFF, VICTORIA	ISHARES TR FTSE NAREIT RESIDENTIAL	S	395.36	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	GOLUBOFF, VICTORIA	ISHARES TRUST ISHARES EDGE MSCI MIN	S	740.78	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	GOLUBOFF, VICTORIA	ISHARES TRUST ISHARES EDGE MSCI MIN	S	1,825.75	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	GOLUBOFF, VICTORIA	ISHARES TRUST S&P GLOBAL	S	223.32	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	GOLUBOFF, VICTORIA	POWERSHARES EXCHANGE TRADED	S	816.80	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	GOLUBOFF, VICTORIA	POWERSHARES EXCHANGE-TRADED	S	477.19	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	GOLUBOFF, VICTORIA	POWERSHARES GLOBAL LISTED	S	370.04	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	GOLUBOFF, VICTORIA	SCHWAB STRATEGIC TR	S	306.18	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	GALLO, SEBASTIANO	SELECT SECTOR SPDR FUND SHS BEN INT	B	505.37	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	GALLO, SEBASTIANO	SELECT SECTOR SPDR TRUST SHS BEN IN	B	834.96	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	GOLUBOFF, VICTORIA	SPDR INDEX SHARES FUNDS	S	173.30	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	S TRUST CO R/O IRA, BNYM I	SPDR SERIES TRUST	B	3,510.67	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	GOLUBOFF, VICTORIA	SPDR SERIES TRUST	S	296.60	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #		SPDR SERIES TRUST	B	3,510.67	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	S TRUST CO R/O IRA, BNYM I	SPDR SERIES TRUST BARCLAYS HIGH YIE	B	17,592.71	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #		SPDR SERIES TRUST BARCLAYS HIGH YIE	B	17,592.71	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	GOLUBOFF, VICTORIA	UNITED STS COMMODITY INDEX FD COMMO	S	643.80	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	GOLUBOFF, VICTORIA	VANGUARD INDEX FUNDS	S	441.48	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	GOLUBOFF, VICTORIA	VANGUARD INDEX FUNDS VANGUARD HIGH	S	771.68	0.00	0.00	0.00	0.00	89.0	0.00
02/05/2018 #	GOLUBOFF, VICTORIA	VANGUARD SECTOR INDEX FDS VANGUARD	S	109.98	0.00	0.00	0.00	0.00	89.0	0.00
02/06/2018 #	GOLUBOFF, VICTORIA	ISHARES IBOXX \$ HIGH YIELD	B	2,228.40	0.00	0.00	0.00	0.00	89.0	0.00
02/06/2018 #	CHU, WILLIAM	PROSHARES ULTRA QQQ	S	22,702.31	0.00	0.00	0.00	0.00	89.0	0.00
02/06/2018 #	CHU, WILLIAM	PROSHARES ULTRA QQQ	S	11,811.34	0.00	0.00	0.00	0.00	89.0	0.00
02/06/2018 #	GOLUBOFF, VICTORIA	SPDR SERIES TRUST BARCLAYS HIGH YIE	B	2,197.22	0.00	0.00	0.00	0.00	89.0	0.00
02/06/2018 #	GOLUBOFF, VICTORIA	SPDR SERIES TRUST SPDR BLOOMBERG BA	B	1,316.90	0.00	0.00	0.00	0.00	89.0	0.00
02/08/2018 #	PICCIONE, CHRISTOPHER	ISHARES TR	S	698.55	0.00	0.00	0.00	0.00	89.0	0.00
02/08/2018 #	PICCIONE, CHRISTOPHER	SECTOR SPDR - FINANCIAL	S	528.87	0.00	0.00	0.00	0.00	89.0	0.00

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<b><u>BROKER TRANSACTIONS</u></b>										
<b>PRODUCT CATEGORY: STOCKS</b>										
02/09/2018 #	PLOEN, ALLISON	FORUM ENERGY TECHNOLOGIES INC COM	B	510.40	0.00	0.00	0.00	0.00	89.0	0.00
02/09/2018 #	CHU, WILLIAM	POWERSHARES QQQ TRUST	B	40,782.69	0.00	0.00	0.00	0.00	89.0	0.00
02/09/2018 #	CHU, WILLIAM	POWERSHARES QQQ TRUST	B	79,299.68	0.00	0.00	0.00	0.00	89.0	0.00
02/09/2018 #	CHU, WILLIAM	PROSHARES ULTRA QQQ	S	101,853.04	0.00	0.00	0.00	0.00	89.0	0.00
02/09/2018 #	CHU, WILLIAM	PROSHARES ULTRA QQQ	S	52,395.23	0.00	0.00	0.00	0.00	89.0	0.00
02/09/2018 #	EDWARDS, HAROLD	SPDR S&P 500 ETF TR	B	508.68	0.00	0.00	0.00	0.00	89.0	0.00
02/12/2018 #	WEISER, MICHAEL	BLACKROCK CREDIT ALLOCATION INCOME	B	1,912.05	50.00	19.95	0.00	30.05	89.0	26.74
02/12/2018 #	IDEKER, CHRIS	ISHARES IBOXX \$ HIGH YIELD	B	513.47	0.00	0.00	0.00	0.00	89.0	0.00
02/12/2018 #	WALDRIP, DEBRA	ISHARES IBOXX \$ HIGH YIELD	B	3,337.52	0.00	0.00	0.00	0.00	89.0	0.00
02/12/2018 #	MARIANO, RUSSELL	MICROSOFT CORP	B	265.02	0.00	0.00	0.00	0.00	89.0	0.00
02/12/2018 #	WALDRIP, DEBRA	SPDR SERIES TRUST	B	601.26	0.00	0.00	0.00	0.00	89.0	0.00
02/12/2018 #	HOKAMA, WAYSON	SPDR SERIES TRUST BARCLAYS HIGH YIE	B	503.38	0.00	0.00	0.00	0.00	89.0	0.00
02/12/2018 #	PARKER-KELLY, MURIEL	SPDR SERIES TRUST BARCLAYS HIGH YIE	B	575.30	0.00	0.00	0.00	0.00	89.0	0.00
02/12/2018 #	ALTMAN, CONNIE	SPDR SERIES TRUST BARCLAYS HIGH YIE	B	539.34	0.00	0.00	0.00	0.00	89.0	0.00
02/12/2018 #	WALDRIP, DEBRA	SPDR SERIES TRUST BARCLAYS HIGH YIE	B	3,164.13	0.00	0.00	0.00	0.00	89.0	0.00
02/12/2018 #	GALLO, SEBASTIANO	VANGUARD TAX-MANAGED FD MSCI EAFE E	B	354.08	0.00	0.00	0.00	0.00	89.0	0.00
02/13/2018 #	MARIANO, RUSSELL	GRACO INC	B	591.36	0.00	0.00	0.00	0.00	89.0	0.00
02/13/2018 #	MARIANO, RUSSELL	HAWAIIAN HOLDINGS INC	S	583.50	0.00	0.00	0.00	0.00	89.0	0.00
02/15/2018 #	MARIANO, RUSSELL	ABSOLUTE SHARES TRUST	S	216.90	0.00	0.00	0.00	0.00	89.0	0.00
02/15/2018 #	FARLER, JUDITH	AMERIGAS PARTNERS LP	B	4,364.50	50.00	19.95	0.00	30.05	89.0	26.74
02/15/2018 #	MARIANO, DANIELLE	ASPEN TECHNOLOGY INC	S	610.82	0.00	0.00	0.00	0.00	89.0	0.00
02/15/2018 #	PLOEN, ALLISON	ASPEN TECHNOLOGY INC	S	534.46	0.00	0.00	0.00	0.00	89.0	0.00
02/15/2018 #	FARLER, JUDITH	AT&T INC	B	3,644.60	50.00	19.95	0.00	30.05	89.0	26.74
02/15/2018 #	FARLER, JUDITH	BLACKROCK CREDIT ALLOCATION INCOME	B	1,263.95	50.00	19.95	0.00	30.05	89.0	26.74
02/15/2018 #	MARIANO, DANIELLE	EXTREME NETWORKS INC	B	374.40	0.00	0.00	0.00	0.00	89.0	0.00
02/15/2018 #	FARLER, JUDITH	GLOBAL X FUNDS GLOBAL X ROBOTICS &	B	2,468.50	50.00	19.95	0.00	30.05	89.0	26.74
02/15/2018 #	PLOEN, ALLISON	GRACO INC	B	606.06	0.00	0.00	0.00	0.00	89.0	0.00
02/15/2018 #	PLOEN, ALLISON	HAWAIIAN HOLDINGS INC	S	536.20	0.00	0.00	0.00	0.00	89.0	0.00
02/15/2018 #	FARLER, JUDITH	INTEL CORP	B	4,534.66	50.00	19.95	0.00	30.05	89.0	26.74
02/15/2018 #	MARIANO, RUSSELL	METLIFE INC	B	232.25	0.00	0.00	0.00	0.00	89.0	0.00
02/15/2018 #	NICHOLSON, JAMES	SELECT SECTOR SPDR FUND	B	522.14	0.00	0.00	0.00	0.00	89.0	0.00
02/15/2018 #	NICHOLSON, JAMES	SELECT SECTOR SPDR FUND SHS BEN INT	B	671.58	0.00	0.00	0.00	0.00	89.0	0.00
02/15/2018 #	FARLER, JUDITH	SENIOR HSG PPTYS TR	B	3,227.64	50.00	19.95	0.00	30.05	89.0	26.74
02/15/2018 #	DITTMANN, TERESE	VANGUARD INDEX FUNDS VANGUARD TOTAL	B	6,286.39	0.00	0.00	0.00	0.00	89.0	0.00
02/15/2018 #	DITTMANN, TERESE	VANGUARD STAR FD	B	4,342.50	0.00	0.00	0.00	0.00	89.0	0.00
02/15/2018 #	DITTMANN, TERESE	VANGUARD TOTAL BOND MARKET ETF	B	1,590.60	0.00	0.00	0.00	0.00	89.0	0.00
02/15/2018 #	DITTMANN, TERESE	VANGUARD TOTAL INTERNATIONAL BOND E	B	592.79	0.00	0.00	0.00	0.00	89.0	0.00
02/16/2018 #		ISHARES CORE MSCI EMERGING MARKETS	B	539.98	0.00	0.00	0.00	0.00	89.0	0.00
02/16/2018 #		VANGUARD SECTOR INDEX FDS VANGUARD	B	526.40	0.00	0.00	0.00	0.00	89.0	0.00
02/21/2018 #	MARIANO, RUSSELL	ABSOLUTE SHARES TRUST	S	288.31	0.00	0.00	0.00	0.00	89.0	0.00

COMMISSION STATEMENT for ERIK EXISTING DODDS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: STOCKS</u></b>										
02/21/2018 #	MARIANO, RUSSELL	ABSOLUTE SHARES TRUST	B	1,997.60	0.00	0.00	0.00	0.00	89.0	0.00
02/21/2018 #	MARIANO, RUSSELL	ABSOLUTE SHARES TRUST	S	1,195.21	0.00	0.00	0.00	0.00	89.0	0.00
02/21/2018 #	MARIANO, RUSSELL	ABSOLUTE SHARES TRUST	B	1,849.19	0.00	0.00	0.00	0.00	89.0	0.00
02/21/2018 #	MARIANO, RUSSELL	ABSOLUTE SHARES TRUST	S	526.80	0.00	0.00	0.00	0.00	89.0	0.00
02/21/2018 #	MARIANO, RUSSELL	ABSOLUTE SHARES TRUST	S	1,623.41	0.00	0.00	0.00	0.00	89.0	0.00
02/21/2018 #	MARIANO, RUSSELL	ABSOLUTE SHARES TRUST	B	2,550.74	0.00	0.00	0.00	0.00	89.0	0.00
02/21/2018 #	MARIANO, RUSSELL	ABSOLUTE SHARES TRUST	S	843.32	0.00	0.00	0.00	0.00	89.0	0.00
02/21/2018 #	MARIANO, RUSSELL	ABSOLUTE SHARES TRUST	S	581.68	0.00	0.00	0.00	0.00	89.0	0.00
02/21/2018 #	MARIANO, RUSSELL	ABSOLUTE SHARES TRUST	S	1,316.12	0.00	0.00	0.00	0.00	89.0	0.00
02/21/2018 #	AQUINO, FLORANTE	GUGGENHEIM BULLETSHARES 2019	B	4,997.31	50.00	19.95	0.00	30.05	89.0	26.74
02/22/2018 #	CHU, WILLIAM	AMAZON.COM INC	S	2,986.16	0.00	0.00	0.00	0.00	89.0	0.00
02/22/2018 #	CHU, WILLIAM	FACEBOOK INC	S	5,753.04	0.00	0.00	0.00	0.00	89.0	0.00
02/22/2018 #	CHU, WILLIAM	MASTERCARD INC	S	344.52	0.00	0.00	0.00	0.00	89.0	0.00
02/22/2018 #	CHU, WILLIAM	NETFLIX COM INC	S	4,204.50	0.00	0.00	0.00	0.00	89.0	0.00
02/22/2018 #	CHU, WILLIAM	POWERSHARES QQQ TRUST	S	44,880.21	0.00	0.00	0.00	0.00	89.0	0.00
02/22/2018 #	CHU, WILLIAM	POWERSHARES QQQ TRUST	S	87,267.08	0.00	0.00	0.00	0.00	89.0	0.00
02/22/2018 #	CHU, WILLIAM	TESLA INC COMMON STOCK	S	2,770.24	0.00	0.00	0.00	0.00	89.0	0.00
02/23/2018 #	MARIANO, DANIELLE	POST HOLDINGS INC	S	1,163.40	0.00	0.00	0.00	0.00	89.0	0.00
02/23/2018 #	PLOEN, ALLISON	POST HOLDINGS INC	S	853.16	0.00	0.00	0.00	0.00	89.0	0.00
02/23/2018 #	EDWARDS, HAROLD	SECTOR SPDR - FINANCIAL	B	549.48	0.00	0.00	0.00	0.00	89.0	0.00
02/23/2018 #	EDWARDS, HAROLD	SELECT SECTOR SPDR FUND SHS BEN INT	B	507.77	0.00	0.00	0.00	0.00	89.0	0.00
02/23/2018 #	MARIANO, DANIELLE	TEXAS ROADHOUSE INC	B	1,252.41	0.00	0.00	0.00	0.00	89.0	0.00
02/23/2018 #	PLOEN, ALLISON	TEXAS ROADHOUSE INC	B	853.91	0.00	0.00	0.00	0.00	89.0	0.00
02/26/2018 #	MARIANO, DANIELLE	ANIKA THERAPEUTICS INC	B	366.66	0.00	0.00	0.00	0.00	89.0	0.00
02/26/2018 #	PLOEN, ALLISON	CLAYMORE EXCHANGE TRADED FUND	B	5,013.86	50.00	19.95	0.00	30.05	89.0	26.74
02/26/2018 #	PLOEN, ALLISON	CLAYMORE EXCHANGE TRADED FUND	B	4,999.51	50.00	19.95	0.00	30.05	89.0	26.74
02/26/2018 #	PLOEN, ALLISON	CLAYMORE EXCHANGE TRADED FUND	B	5,006.58	50.00	19.95	0.00	30.05	89.0	26.74
02/26/2018 #	PLOEN, ALLISON	CLAYMORE EXCHANGE-TRADED FD TR	B	5,000.08	50.00	19.95	0.00	30.05	89.0	26.74
02/26/2018 #	PLOEN, ALLISON	GUGGENHEIM BULLETSHARES 2019	B	5,006.33	50.00	19.95	0.00	30.05	89.0	26.74
02/26/2018 #	PLOEN, ALLISON	GUGGENHEIM BULLETSHARES 2021	B	5,011.20	50.00	19.95	0.00	30.05	89.0	26.74
02/26/2018 #	PLOEN, ALLISON	GUGGENHEIM BULLETSHARES 2022	B	5,001.60	50.00	19.95	0.00	30.05	89.0	26.74
02/26/2018 #	MARIANO, DANIELLE	HEALTH EQUITY INC	B	593.45	0.00	0.00	0.00	0.00	89.0	0.00
02/26/2018 #	MARIANO, DANIELLE	LYDALL INC	S	239.00	0.00	0.00	0.00	0.00	89.0	0.00
02/26/2018 #	MARIANO, DANIELLE	SAIA INC	B	586.20	0.00	0.00	0.00	0.00	89.0	0.00
<b>* STOCKS SUBTOTAL *</b>				<b>\$741,083.79</b>	<b>\$750.00</b>	<b>\$299.25</b>	<b>\$0.00</b>	<b>\$450.75</b>		<b>\$401.10</b>
<b><u>PRODUCT CATEGORY: BONDS</u></b>										
01/26/2018 #	DITTMANN, WALTER	ORANGE S A SR UNSECURED	B	-14,763.50	0.00	10.00	0.00	-10.00	89.0	-8.90
02/14/2018 #	DITTMANN, TERESE	MACYS RETAIL HLDGS INC SR UNSECURED	S	13,907.70	0.00	0.00	0.00	0.00	89.0	0.00
<b>* BONDS SUBTOTAL *</b>				<b>\$-855.80</b>	<b>\$0.00</b>	<b>\$10.00</b>	<b>\$0.00</b>	<b>\$-10.00</b>		<b>\$-8.90</b>

COMMISSION STATEMENT for ERIK EXISTING DODDS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>										
02/28/2018	#1019805162 FETT, HOLLY	ELITE ACCESS L	B	20,065.12	16.72	0.00	0.00	16.72	89.0	14.88
* VARIABLE ANNUITIES SUBTOTAL *				<b>\$20,065.12</b>	<b>\$16.72</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$16.72</b>		<b>\$14.88</b>
<b><u>PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT</u></b>										
02/22/2018	# FARLER, JUDITH	BANK OF THE WEST INSTL CD CD	B	12,000.00	360.00	0.00	0.00	360.00	89.0	320.40
02/22/2018	# FARLER, JUDITH	HSBC BK USA N A MC LEAN VA	B	12,000.00	360.00	0.00	0.00	360.00	89.0	320.40
02/22/2018	# WEISER, MICHAEL	HSBC BK USA N A MC LEAN VA	B	9,000.00	270.00	0.00	0.00	270.00	89.0	240.30
* CERTIFICATES OF DEPOSIT SUBTOTAL *				<b>\$33,000.00</b>	<b>\$990.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$990.00</b>		<b>\$881.10</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>										
02/01/2018	# ALI, PADMAPRIYA	DAIN TRAILER	B	0.00	0.64	0.00	0.00	0.64	89.0	0.57
02/01/2018	# GAY, LEO	DAIN TRAILER	B	608.00	0.00	0.00	0.00	0.00	89.0	0.00
02/01/2018	# GAY, LEO	DAIN TRAILER	B	511.00	0.00	0.00	0.00	0.00	89.0	0.00
02/06/2018	# GOLUBOFF, VICTORIA	DAIN TRAILER	B	8,827.00	0.00	0.00	0.00	0.00	89.0	0.00
02/06/2018	# GOLUBOFF, VICTORIA	DAIN TRAILER	B	4,407.00	0.00	0.00	0.00	0.00	89.0	0.00
02/09/2018	# HARTMAN, MARILYN	DAIN TRAILER	B	0.00	5.90	0.00	0.00	5.90	89.0	5.25
02/09/2018	# HARTMAN, JAMES	DAIN TRAILER	B	0.00	0.54	0.00	0.00	0.54	89.0	0.48
02/09/2018	# SCHNECK, LINDA	DAIN TRAILER	B	0.00	0.18	0.00	0.00	0.18	89.0	0.16
02/09/2018	# SCHNECK, LINDA	DAIN TRAILER	B	0.00	0.41	0.00	0.00	0.41	89.0	0.36
02/09/2018	# SCHNECK, LINDA	DAIN TRAILER	B	0.00	0.20	0.00	0.00	0.20	89.0	0.18
02/09/2018	# WEISER, MICHAEL	DAIN TRAILER	B	0.00	3.41	0.00	0.00	3.41	89.0	3.03
02/09/2018	# CHU, WILLIAM	DAIN TRAILER	B	0.00	43.06	0.00	0.00	43.06	89.0	38.32
02/09/2018	# FARLER, JUDITH	DAIN TRAILER	B	0.00	10.40	0.00	0.00	10.40	89.0	9.26
02/09/2018	# CHU, WILLIAM	DAIN TRAILER	B	0.00	4.86	0.00	0.00	4.86	89.0	4.33
02/09/2018	# RYAN, ERICA	DAIN TRAILER	B	0.00	0.34	0.00	0.00	0.34	89.0	0.30
02/09/2018	# RYAN, ERICA	DAIN TRAILER	B	0.00	0.05	0.00	0.00	0.05	89.0	0.04
02/09/2018	# LIMB, JOHN	DAIN TRAILER	B	0.00	11.65	0.00	0.00	11.65	89.0	10.37
02/09/2018	# LIMB, JOHN	DAIN TRAILER	B	0.00	2.58	0.00	0.00	2.58	89.0	2.30
02/15/2018	# HARTMAN, JAMES	DAIN TRAILER	B	0.00	0.78	0.00	0.00	0.78	89.0	0.69
02/20/2018	# GOLUBOFF, VICTORIA	DAIN TRAILER	B	1,367.00	0.00	0.00	0.00	0.00	89.0	0.00
02/20/2018	# GOLUBOFF, VICTORIA	DAIN TRAILER	B	763.00	0.00	0.00	0.00	0.00	89.0	0.00
02/21/2018	# AQUINO, FLORANTE	DAIN TRAILER	S	5,410.92	0.00	0.00	0.00	0.00	89.0	0.00
02/21/2018	# AQUINO, FLORANTE	DAIN TRAILER	S	4,919.02	0.00	0.00	0.00	0.00	89.0	0.00
02/22/2018	# WALDRIP, DEBRA	DAIN TRAILER	B	0.00	0.51	0.00	0.00	0.51	89.0	0.45
02/22/2018	# WALDRIP, DEBRA	DAIN TRAILER	B	0.00	0.48	0.00	0.00	0.48	89.0	0.43
02/22/2018	# WALDRIP, DEBRA	DAIN TRAILER	B	0.00	0.10	0.00	0.00	0.10	89.0	0.09
02/22/2018	# WALDRIP, DEBRA	DAIN TRAILER	B	0.00	0.09	0.00	0.00	0.09	89.0	0.08
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				<b>\$26,812.94</b>	<b>\$86.18</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$86.18</b>		<b>\$76.69</b>
*** BROKER TRANSACTIONS TOTAL ***				<b>\$935,552.82</b>	<b>\$1,842.90</b>	<b>\$309.25</b>	<b>\$0.00</b>	<b>\$1,533.65</b>		<b>\$1,364.87</b>

COMMISSION STATEMENT for ERIK EXISTING DODDS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

03/20/2018		ADMIN FEE: ADMIN FEE								-390.00
03/20/2018		E & O INSURANCE: E & O INSURANCE								-179.00
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF								-170.98
		DEBIT BALANCE								
03/20/2018		DOCUSIGN: DOCUSIGN								-51.00
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF								-200.00
		DEBIT BALANCE								
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF								-2.76
		DEBIT BALANCE								
*** ADJUSTMENTS TOTAL ***										\$-993.74

BROKER COMMISSION TOTALS

Broker Transactions	\$1,364.87
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-993.74
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-410.54
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward \$-39.41