

All Companies
STATEMENT
August 20, 2018

JOHN PINEMAN - LPCM

BROKER #: 3189

BRANCH: LIBERTY PARTNERS CAP

, CA

COMMISSION STATEMENT for JOHN PINEMAN - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
07/17/2018 #	STUBBS, CARRIE	IAFEES	-531.99	383.03	95.0	0.00	363.88	0.00	363.88
07/17/2018 #	SWAHN, CHRISTOPHER	IAFEES	-1,525.34	1,240.61	95.0	0.00	1,178.58	0.00	1,178.58
07/17/2018 #	SAROKIN, WILLIAM	IAFEES	-540.24	351.16	95.0	0.00	333.60	0.00	333.60
07/17/2018 #	STUBBS, CARRIE	IAFEES	-647.68	496.55	95.0	0.00	471.72	0.00	471.72
07/17/2018 #	HAMM, WYNN	IAFEES	-203.02	129.93	95.0	0.00	123.43	0.00	123.43
07/17/2018 #	NEIDERHISER, BRENDA	IAFEES	-173.83	113.66	95.0	0.00	107.98	0.00	107.98
07/17/2018 #	SWAHN, CHRISTOPHER	IAFEES	-975.77	793.63	95.0	0.00	753.95	0.00	753.95
07/17/2018 #	AMENT, LAURA	IAFEES	-272.04	174.11	95.0	0.00	165.40	0.00	165.40
07/17/2018 #	GARLOW, CAROL	IAFEES	-149.82	95.88	95.0	0.00	91.09	0.00	91.09
07/17/2018 #	SWAHN, CHRISTOPHER	IAFEES	-284.25	198.98	95.0	0.00	189.03	0.00	189.03
07/17/2018 #	GARLOW, DONALD	IAFEES	-153.91	98.51	95.0	0.00	93.58	0.00	93.58
07/17/2018 #	SWAHN, GERI	IAFEES	-205.21	143.65	95.0	0.00	136.47	0.00	136.47
07/17/2018 #	BAIR, TODD	IAFEES	-0.34	0.21	95.0	0.00	0.20	0.00	0.20
* MUTUAL FUNDS SUBTOTAL *			\$-5,663.44	\$4,219.91		\$0.00	\$4,008.91	\$0.00	\$4,008.91
<u>PRODUCT CATEGORY: RIA</u>									
07/01/2018 #87701784	PRILL, DANNA	ENVESTNET	173,020.62	719.58	95.0	0.00	683.60	0.00	683.60
07/01/2018 #87701783	PRILL, JOSEPH	ENVESTNET	95,521.61	406.90	95.0	0.00	386.56	0.00	386.56
08/01/2018 #514124	TCA PINEMAN VAR	LPCM CONS 20/20/60	33,973.69	70.78	100.0	0.00	70.78	0.00	70.78
08/01/2018 #514204	TCA PINEMAN VAR	LPCM CONS 20/20/60	68,156.55	56.80	100.0	0.00	56.80	0.00	56.80
08/01/2018 #514124	TCA PINEMAN VAR	LPCM CONS 20/20/60	33,973.69	-16.98	100.0	0.00	-16.98	0.00	-16.98
08/01/2018 #514204	TCA PINEMAN VAR	LPCM CONS 20/20/60	68,156.55	-34.07	100.0	0.00	-34.07	0.00	-34.07
* RIA SUBTOTAL *			\$472,802.71	\$1,203.01		\$0.00	\$1,146.69	\$0.00	\$1,146.69
*** BROKER TRANSACTIONS TOTAL ***			\$467,139.27	\$5,422.92		\$0.00	\$5,155.60	\$0.00	\$5,155.60

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Override Transactions

GORMAN - LPCM, MICHAEL	5,336.02	106.73
*** OVERRIDE TRANSACTIONS TOTAL ***	\$5,336.02	\$106.73

BROKER COMMISSION TOTALS

Broker Transactions	\$5,155.60
Split Transactions	\$0.00
Override Transactions	\$106.73
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,262.33
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