

All Companies  
**STATEMENT**  
**March 20, 2018**

FRANK AUDITORE  
19 WALDEN DR

BROKER #: 015  
BRANCH: LA BRANCH

EASTON, MA 02375-

COMMISSION STATEMENT for FRANK AUDITORE

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	POSTAGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>										
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>										
02/07/2018	#00088451605 WOOSTER, TIMOTHY	FUNDAMENTAL INVESTORS INC CLASS A	B	125.00	5.31	0.00	0.00	5.31	85.0	4.51
02/07/2018	#00088451605 WOOSTER, TIMOTHY	WASHINGTON MUTUAL INVS FD CLASS A	B	125.00	5.31	0.00	0.00	5.31	85.0	4.51
<b>* MUTUAL FUNDS SUBTOTAL *</b>				<b>\$250.00</b>	<b>\$10.62</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$10.62</b>		<b>\$9.02</b>
<b><u>PRODUCT CATEGORY: STOCKS</u></b>										
01/30/2018	# GRUSKOWSKI, CRAIG	***APHRIA INC	B	10,379.92	395.00	19.95	0.00	375.05	75.0	281.29
01/30/2018	# GRUSKOWSKI, CRAIG	***MYM NUTRACEUTICALS INC	B	2,669.10	125.00	19.95	0.00	105.05	75.0	78.79
01/30/2018	# GRUSKOWSKI, CRAIG	MOMO INC ADR	B	10,218.25	395.00	19.95	0.00	375.05	75.0	281.29
02/13/2018	# KOLESINSKAS, MARK	DREYFUS HIGH YIELD STRATEGIES FUND-	B	28,693.80	795.00	19.95	0.00	775.05	75.0	581.29
02/20/2018	# SANTOS, VICTOR	DREYFUS HIGH YIELD STRATEGIES FUND-	S	3,510.98	149.50	19.95	0.00	129.55	75.0	97.16
<b>* STOCKS SUBTOTAL *</b>				<b>\$55,472.05</b>	<b>\$1,859.50</b>	<b>\$99.75</b>	<b>\$0.00</b>	<b>\$1,759.75</b>		<b>\$1,319.82</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>										
02/09/2018	# VARIES, CUSTOMER	DAIN TRAILER	B	0.00	0.01	0.00	0.00	0.01	85.0	0.01
02/09/2018	# JOHNSTON, WILLIAM	DAIN TRAILER	B	0.00	6.31	0.00	0.00	6.31	85.0	5.36
02/09/2018	# BLISS, JEFFREY	DAIN TRAILER	B	0.00	4.97	0.00	0.00	4.97	85.0	4.22
02/09/2018	# MACDOUGALL, WILLIAM	DAIN TRAILER	B	0.00	4.06	0.00	0.00	4.06	85.0	3.45
02/09/2018	# ROBERTS, FREDERICK	DAIN TRAILER	B	0.00	4.48	0.00	0.00	4.48	85.0	3.81
02/09/2018	# ROBERTS, ELEANOR	DAIN TRAILER	B	0.00	8.09	0.00	0.00	8.09	85.0	6.88
02/09/2018	# ROBERTS, ELEANOR	DAIN TRAILER	B	0.00	7.15	0.00	0.00	7.15	85.0	6.08
02/09/2018	# SEMERARO, SHEILA	DAIN TRAILER	B	0.00	0.90	0.00	0.00	0.90	85.0	0.77
02/09/2018	# SEMERARO, SHEILA	DAIN TRAILER	B	0.00	0.92	0.00	0.00	0.92	85.0	0.78
02/09/2018	# VALLARIO, DEBRA-ANN	DAIN TRAILER	B	0.00	4.47	0.00	0.00	4.47	85.0	3.80
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>				<b>\$0.00</b>	<b>\$41.36</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$41.36</b>		<b>\$35.16</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$55,722.05</b>	<b>\$1,911.48</b>	<b>\$99.75</b>	<b>\$0.00</b>	<b>\$1,811.73</b>		<b>\$1,364.00</b>

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ADJUSTMENTS

03/20/2018		ADMIN FEE: ADMIN FEE								-320.00
03/20/2018		E & O INSURANCE: E & O INSURANCE								-179.00
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF								-49.97
		DEBIT BALANCE								
03/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF								-50.00
		DEBIT BALANCE								
*** ADJUSTMENTS TOTAL ***										\$-598.97

BROKER COMMISSION TOTALS

Broker Transactions	\$1,364.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-598.97
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$765.03
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