



COMMISSION STATEMENT

April 02, 2018

RICHARD SOWERS
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
03/23/2018	***-**-255P - WILSON, HELEN ACCOUNT #: 00082184171	CAPITAL INCOME BUILDER-A BUY 1 Units @61.0500	50.00	1.00	0.00	1.00	90.0	0.90
03/23/2018	***-**-525P - WILSON, FRANCIS ACCOUNT #: 00082184129	CAPITAL INCOME BUILDER-A BUY 3 Units @61.0500	196.58	3.93	0.00	3.93	90.0	3.54
03/23/2018	***-**-211I - MEGILL, ROBERT ACCOUNT #: 00084334457	CAPITAL INCOME BUILDER-A BUY 2 Units @61.6800	134.13	3.69	0.00	3.69	90.0	3.32
03/23/2018	***-**-828I - SHARMA, BOBBY ACCOUNT #: 00084334446	CAPITAL INCOME BUILDER-A BUY 1 Units @62.3200	77.13	2.89	0.00	2.89	90.0	2.60
03/23/2018	***-**-706I - HOFSTETTER, ACCOUNT #: 00084334451	CAPITAL INCOME BUILDER-A BUY 0 Units @62.6500	30.00	1.28	0.00	1.28	90.0	1.15
03/20/2018	***-**-351C - SOWERS, CLIENTS ACCOUNT #: 00087567436	CAPITAL WORLD GROWTH AND INCOME FUN BUY 4 Units @55.0000	200.00	8.50	0.00	8.50	90.0	7.65
03/23/2018	***-**-255P - WILSON, HELEN ACCOUNT #: 00082184171	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @51.5800	50.00	1.00	0.00	1.00	90.0	0.90
03/23/2018	***-**-525P - WILSON, FRANCIS ACCOUNT #: 00082184129	CAPITAL WORLD GROWTH AND INCOME FUN BUY 4 Units @51.5800	196.58	3.93	0.00	3.93	90.0	3.54
03/23/2018	***-**-211I - MEGILL, ROBERT ACCOUNT #: 00084334457	CAPITAL WORLD GROWTH AND INCOME FUN BUY 3 Units @52.1100	134.13	3.69	0.00	3.69	90.0	3.32
03/23/2018	***-**-828I - SHARMA, BOBBY ACCOUNT #: 00084334446	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @52.6600	77.13	2.89	0.00	2.89	90.0	2.60
03/23/2018	***-**-46IN - MILLS, CATHERINE ACCOUNT #: 00086578408	CAPITAL WORLD GROWTH AND INCOME FUN BUY 2 Units @52.9400	100.00	4.25	0.00	4.25	90.0	3.83
03/23/2018	***-**-706I - HOFSTETTER, ACCOUNT #: 00084334451	CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @52.9400	30.00	1.28	0.00	1.28	90.0	1.15
03/23/2018	***-**-224I - WILSON, FRANCIS ACCOUNT #: 00085899638	FUNDAMENTAL INVESTORS-A BUY 7 Units @63.4500	442.60	16.60	0.00	16.60	90.0	14.94
03/26/2018	***-**-60IO - BLACK, JENNIFER ACCOUNT #: LSH000019	MAINSTAY ICAP INTERNATIONAL FD I SELL 314 Units @35.1900	11,057.54	0.00	0.00	0.00	90.0	0.00
03/26/2018	***-**-60IO - BLACK, JENNIFER ACCOUNT #: LSH000019	OAKMARK INTL ADVISOR FUND BUY 366 Units @27.9000	10,212.99	0.00	0.00	0.00	90.0	0.00
04/02/2018	***-**-199I - MITCHEN, RICHARD ACCOUNT #: 7200011695	SAMMONS LIVEWELL MUTUAL FUND BUY 0 Units @0.0000	6,500.00	65.00	0.00	65.00	90.0	58.50



COMMISSION STATEMENT

April 02, 2018

RICHARD SOWERS
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
04/02/2018	***-**-776I - RIPLEY, KIM	SAMMONS MF TRAILS	0.00	101.37	0.00	101.37	90.0	91.23
	ACCOUNT #: 7200008739	BUY 0 Units @0.0000						
04/02/2018	***-**-776I - RIPLEY, KIM	SAMMONS MF TRAILS	0.00	10.80	0.00	10.80	90.0	9.72
	ACCOUNT #: 7200008740	BUY 0 Units @0.0000						
04/02/2018	***-**-002I - RIPLEY, TOM	SAMMONS MF TRAILS	0.00	45.97	0.00	45.97	90.0	41.37
	ACCOUNT #: 7200009079	BUY 0 Units @0.0000						
04/02/2018	***-**-47IO - ZINGARO, EILEEN	SAMMONS MF TRAILS	0.00	68.94	0.00	68.94	90.0	62.05
	ACCOUNT #: 7200015372	BUY 0 Units @0.0000						
04/02/2018	***-**-52IN - LORD, GEORGE	SAMMONS MF TRAILS	0.00	2.70	0.00	2.70	90.0	2.43
	ACCOUNT #: 7200037006	BUY 0 Units @0.0000						
03/20/2018	***-**-351C - SOWERS, CLIENTS	THE GROWTH FUND OF AMERICA-A	200.00	8.50	0.00	8.50	90.0	7.65
	ACCOUNT #: 00087567436	BUY 4 Units @55.4900						
03/23/2018	***-**-255P - WILSON, HELEN	THE GROWTH FUND OF AMERICA-A	50.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: 00082184171	BUY 1 Units @51.8200						
03/23/2018	***-**-525P - WILSON, FRANCIS	THE GROWTH FUND OF AMERICA-A	196.58	3.93	0.00	3.93	90.0	3.54
	ACCOUNT #: 00082184129	BUY 4 Units @51.8200						
03/23/2018	***-**-211I - MEGILL, ROBERT	THE GROWTH FUND OF AMERICA-A	134.13	3.69	0.00	3.69	90.0	3.32
	ACCOUNT #: 00084334457	BUY 3 Units @52.3500						
03/23/2018	***-**-828I - SHARMA, BOBBY	THE GROWTH FUND OF AMERICA-A	77.13	2.89	0.00	2.89	90.0	2.60
	ACCOUNT #: 00084334446	BUY 1 Units @52.9000						
03/23/2018	***-**-46IN - MILLS, CATHERINE	THE GROWTH FUND OF AMERICA-A	100.00	4.25	0.00	4.25	90.0	3.83
	ACCOUNT #: 00086578408	BUY 2 Units @53.1800						
03/23/2018	***-**-706I - HOFSTETTER,	THE GROWTH FUND OF AMERICA-A	30.00	1.28	0.00	1.28	90.0	1.15
	ACCOUNT #: 00084334451	BUY 1 Units @53.1800						
03/23/2018	***-**-211I - MEGILL, ROBERT	THE INCOME FUND OF AMERICA-A	134.13	3.69	0.00	3.69	90.0	3.32
	ACCOUNT #: 00084334457	BUY 6 Units @23.1600						
03/23/2018	***-**-828I - SHARMA, BOBBY	THE INCOME FUND OF AMERICA-A	77.13	2.89	0.00	2.89	90.0	2.60
	ACCOUNT #: 00084334446	BUY 3 Units @23.4000						
03/23/2018	***-**-706I - HOFSTETTER,	THE INCOME FUND OF AMERICA-A	30.00	1.28	0.00	1.28	90.0	1.15
	ACCOUNT #: 00084334451	BUY 1 Units @23.5300						
03/26/2018	***-**-60IO - BLACK, JENNIFER	VOYA GLOBAL REAL ESTATE	276.99	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSH000019	BUY 17 Units @16.5300						



COMMISSION STATEMENT

April 02, 2018

RICHARD SOWERS
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
03/23/2018	***-**-255P - WILSON, HELEN	WASHINGTON MUTUAL INVESTORS FUND-A	50.00	1.00	0.00	1.00	90.0	0.90
	ACCOUNT #: 00082184171	BUY 1 Units @44.9500						
03/23/2018	***-**-525P - WILSON, FRANCIS	WASHINGTON MUTUAL INVESTORS FUND-A	196.58	3.93	0.00	3.93	90.0	3.54
	ACCOUNT #: 00082184129	BUY 4 Units @44.9500						
* MUTUAL FUNDS SUBTOTAL *			\$31,041.48	\$388.04	\$0.00	\$388.04		\$349.24
PRODUCT CATEGORY: STOCKS								
03/28/2018	***-**-351I - SOWERS, FBO	AGRITEK HLDGS INC COM	510.00	8.99	8.99	0.00	90.0	0.00
	ACCOUNT #: LSF000278	BUY 30000 Units @0.0170						
* STOCKS SUBTOTAL *			\$510.00	\$8.99	\$8.99	\$0.00		\$0.00
PRODUCT CATEGORY: VARIABLE ANNUITIES								
03/28/2018	***-**-8880 - SULLIVAN, YVONNE	SBL 814121364	100.00	1.50	0.00	1.50	90.0	1.35
	ACCOUNT #: 8030806	BUY 0 Units @0.0000						
* VARIABLE ANNUITIES SUBTOTAL *			\$100.00	\$1.50	\$0.00	\$1.50		\$1.35
PRODUCT CATEGORY: RIA								
04/04/2018	***-**-27FB - GRAY, PEGGY	LIFEMARK INVESTMENT ADVISORY FEE	78.54	78.54	0.00	78.54	90.0	70.69
	ACCOUNT #: 925330602	BUY 0 Units @0.0000						
04/04/2018	***-**-27FB - GRAY, PEGGY	LIFEMARK INVESTMENT ADVISORY FEE	32.12	32.12	0.00	32.12	90.0	28.91
	ACCOUNT #: 925330644	BUY 0 Units @0.0000						
04/04/2018	***-**-99FB - MAY, DAVID	LIFEMARK INVESTMENT ADVISORY FEE	120.78	120.78	0.00	120.78	90.0	108.70
	ACCOUNT #: 925396322	BUY 0 Units @0.0000						
04/04/2018	***-**-62FB - KEYS, RAMONA	LIFEMARK INVESTMENT ADVISORY FEE	93.18	93.18	0.00	93.18	90.0	83.86
	ACCOUNT #: 925408288	BUY 0 Units @0.0000						
04/04/2018	***-**-33FB - PIERSON, KAREN	LIFEMARK INVESTMENT ADVISORY FEE	221.47	221.47	0.00	221.47	90.0	199.32
	ACCOUNT #: 925425941	BUY 0 Units @0.0000						
* RIA SUBTOTAL *			\$546.09	\$546.09	\$0.00	\$546.09		\$491.48



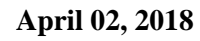
COMMISSION STATEMENT

April 02, 2018

RICHARD SOWERS
2451 McMullen Booth Road
Suite 263
Clearwater, FL 33759

BROKER #: 71
BRANCH #01002 - 02 - SOWERS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
04/02/2018	***-**-643J - CRAFT, LOIS	FIDELITY GOVT MMKT CAPITAL RESERVES	0.00	0.00	0.00	0.00	90.0	0.00
	ACCOUNT #: LSR011738	BUY 1 Units @0.0000						
04/02/2018	***-**-351I - SOWERS, FBO	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.08	0.00	0.08	90.0	0.07
	ACCOUNT #: LSF000278	BUY 1 Units @0.0000						
04/02/2018	***-**-60IO - BLACK, JENNIFER	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.05	0.00	0.05	90.0	0.05
	ACCOUNT #: LSH000019	BUY 1 Units @0.0000						
04/02/2018	***-**-60I2 - BLACK, JENNIFER	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.20	0.00	0.20	90.0	0.18
	ACCOUNT #: LSM000043	BUY 1 Units @0.0000						
04/02/2018	***-**-935I - LOWE, JAMES	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.45	0.00	1.45	90.0	1.31
	ACCOUNT #: LSR008460	BUY 1 Units @0.0000						
04/02/2018	***-**-13IN - CHARD, DANIELLE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.10	0.00	0.10	90.0	0.09
	ACCOUNT #: LSR010090	BUY 1 Units @0.0000						
04/02/2018	***-**-13IO - CHARD, DANIELLE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.09	0.00	0.09	90.0	0.08
	ACCOUNT #: LSR010092	BUY 1 Units @0.0000						
04/02/2018	***-**-521I - WILLIAMS, DOYLE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	90.0	0.01
	ACCOUNT #: LSR010095	BUY 1 Units @0.0000						
04/02/2018	***-**-559I - HUBBARD, JAMES	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.07	0.00	0.07	90.0	0.06
	ACCOUNT #: LSR010601	BUY 1 Units @0.0000						
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *		\$0.00	\$2.05	\$0.00	\$2.05		\$1.85
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS								
03/29/2018	***-**-935O - LOWE, JAMES	GENWORTH 37250G277	0.00	194.67	0.00	194.67	90.0	175.20
	ACCOUNT #: T06438138	BUY 0 Units @0.0000						
03/29/2018	***-**-395I - PIERSON, GEORGE	GENWORTH 37250G277	0.00	56.33	0.00	56.33	90.0	50.70
	ACCOUNT #: T06441035	BUY 0 Units @0.0000						
03/29/2018	***-**-43FB - TORONTALI,	GENWORTH 37250G277	0.00	2.62	0.00	2.62	90.0	2.36
	ACCOUNT #: T06441263	BUY 0 Units @0.0000						
03/29/2018	***-**-935O - LOWE, JAMES	GENWORTH 37250G277	0.00	83.10	0.00	83.10	90.0	74.79
	ACCOUNT #: T06441278	BUY 0 Units @0.0000						
	* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *		\$0.00	\$336.72	\$0.00	\$336.72		\$303.05
	*** BROKER TRANSACTIONS TOTAL ***		\$32,197.57	\$1,283.39	\$8.99	\$1,274.40		\$1,146.97



BROKER #: 71
BRANCH #01002 - 02 - SOWERS

			INVESTMENT	GROSS	CLEARING	NET	BROKER
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE COMMISSION
<div>BROKER COMMISSION TOTALS</div>							
						Broker Transactions	\$1,146.97
						Split Transactions	\$0.00
						Override Transactions	\$0.00
						Adjustments	\$0.00
						Payroll Draw	\$0.00
						Base Salary	\$0.00
						Prior Period Balance	\$75.45
						FINRA Assessment	\$0.00
						SIPC Assessment	\$0.00
						Net Commission Earned	\$1,222.42
						Year-to-date Earnings	\$15,662.50