



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**January 15, 2018**

01/12/2018  
Page No. 1

MARC LINSKY  
104 B. Centre Blvd  
  
Marlton, NJ 08053

BROKER #: L134  
BRANCH: E STREET FINANCIAL L

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>CLEARING TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
01/03/2018	CAMARDO, SHANE	AURORA CANNABIS INC COM ISIN#CA0515	191.36	10.00	22.50	-12.50	90.0	-11.25
01/02/2018	CAMARDO, DONNA	VILLAGE FARMS INTL INC COM ISIN#CA9	197.07	10.00	22.50	-12.50	90.0	-11.25
* STOCKS SUBTOTAL *			<b>\$388.43</b>	<b>\$20.00</b>	<b>\$45.00</b>	<b>\$-25.00</b>		<b>\$-22.50</b>
*** CLEARING TRANSACTIONS TOTAL ***			<b>\$388.43</b>	<b>\$20.00</b>	<b>\$45.00</b>	<b>\$-25.00</b>		<b>\$-22.50</b>

<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: PRIVATE PLACEMENT</u></b>								
12/19/2017	SCOZZAFAVA, HENRY	COTTONWOOD RESIDENTIAL 2016 PREFR'D	181,000.00	12,670.00	0.00	12,670.00	90.0	11,403.00
* PRIVATE PLACEMENT SUBTOTAL *			<b>\$181,000.00</b>	<b>\$12,670.00</b>	<b>\$0.00</b>	<b>\$12,670.00</b>		<b>\$11,403.00</b>

<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
01/02/2018	WALENCZYK, BRENDA	AM FUNDS CAPITAL WORLD GRTH & INC 5	400.00	15.00	0.00	15.00	90.0	13.50
01/02/2018	CHERRY, ADAM	AM FUNDS CAPITAL WORLD GRTH & INC 5	1,000.00	10.00	0.00	10.00	90.0	9.00
01/02/2018	WALENCZYK, BRENDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	400.00	15.00	0.00	15.00	90.0	13.50
12/28/2017	GOLDBERG, SCOTT	ASCENSUS WVA AGE-BASED PF 12-13 A	400.00	10.00	0.00	10.00	90.0	9.00
12/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	300.00	7.50	0.00	7.50	90.0	6.75
12/28/2017	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	150.00	3.75	0.00	3.75	90.0	3.38
* 529 PLANS SUBTOTAL *			<b>\$2,650.00</b>	<b>\$61.25</b>	<b>\$0.00</b>	<b>\$61.25</b>		<b>\$55.13</b>

<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/02/2018	BENFER, ANDREW	AM FUNDS FUNDAMENTAL INVESTORS A	225.00	11.25	0.00	11.25	90.0	10.13
* MUTUAL FUNDS SUBTOTAL *			<b>\$225.00</b>	<b>\$11.25</b>	<b>\$0.00</b>	<b>\$11.25</b>		<b>\$10.13</b>

<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
11/30/2017	LINSKY, VARIOUS	TRANSAMERICA	0.00	3.68	0.00	3.68	90.0	3.31
12/14/2017	LINSKY, VARIOUS	TRANSAMERICA	0.00	3.68	0.00	3.68	90.0	3.31
12/28/2017	LINSKY, VARIOUS	TRANSAMERICA	0.00	3.68	0.00	3.68	90.0	3.31

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
12/29/2017	LINSKY, MARC	ALLIANZ HIGH FIVE TRADITIONAL	121,852.14	304.63	0.00	304.63	90.0	274.17
12/29/2017	GALM, KATHLEEN	ALLIANZ HIGH FIVE TRADITIONAL	37,037.61	92.59	0.00	92.59	90.0	83.33
12/29/2017	HIRSHMAN, BETTY	ALLIANZ HIGH FIVE TRADITIONAL	277,477.38	693.69	0.00	693.69	90.0	624.32
12/29/2017	HAMBLETON, JOHN	ALLIANZ HIGH FIVE TRADITIONAL	78,985.35	197.46	0.00	197.46	90.0	177.71
12/29/2017	YANKOWITZ, HARRIETT	ALLIANZ HIGH FIVE TRADITIONAL	22,637.25	56.59	0.00	56.59	90.0	50.93
12/29/2017	DOLIN, RHODA	ALLIANZ HIGH FIVE TRADITIONAL	141,411.01	353.53	0.00	353.53	90.0	318.18
12/29/2017	CIBELLI, JANE	AXA ACCUM 04 ELITE (RO IRA)	48,153.63	150.48	0.00	150.48	90.0	135.43
12/29/2017	CZERWONKA, REMIGIA	HARTFORD LEADERS OUTLOOK (ILA)	16,370.46	40.93	0.00	40.93	90.0	36.84
12/29/2017	KROSNOWSKI, LISA	HARTFORD PHCM V	6,591.32	6.59	0.00	6.59	90.0	5.93
01/04/2018	CHEESEMAN, DIANE	JNL PERSPECTIVE II 04/14	300.00	21.00	0.00	21.00	90.0	18.90
12/31/2017	BONESKI, MARGUERITE	JNL PERSPECTIVE II 05/05	285,986.57	238.32	0.00	238.32	90.0	214.49
12/31/2017	TROMBINO, CONSTANCE	JNL PERSPECTIVE L	294,010.30	245.01	0.00	245.01	90.0	220.51
12/31/2017	NANI, JOSEPH	JNL PERSPECTIVE L	417,437.39	347.86	0.00	347.86	90.0	313.07
12/31/2017	FELDNER, BARBARA	JNL PERSPECTIVE L	497,935.01	414.95	0.00	414.95	90.0	373.46
12/31/2017	STERR, CHRISTOPHER	JNL PERSPECTIVE L	118,048.99	98.37	0.00	98.37	90.0	88.53
12/31/2017	OTT, CAROL	JOHN HANCOCK VENTURE	14,007.47	2.92	0.00	2.92	90.0	2.63
12/31/2017	CAMPBELL, BARBARA	JOHN HANCOCK VENTURE III	200,191.94	166.83	0.00	166.83	90.0	150.15
12/31/2017	LINSKY, VARIOUS	LINCOLN BENEFIT LIFE	0.00	28,281.26	0.00	28,281.26	90.0	25,453.13
12/26/2017	HENDRICKSON, RONALD	METLIFE SHIELD LEVEL SELECT 6 YR	81,000.00	4,860.00	0.00	4,860.00	90.0	4,374.00
12/24/2017	GALERMAN, ALAN	TRANSAMERICA LIBERTY	123,799.81	103.17	0.00	103.17	90.0	92.85
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$2,783,233.63</b>	<b>\$36,687.22</b>	<b>\$0.00</b>	<b>\$36,687.22</b>		<b>\$33,018.49</b>

**PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS**

12/11/2017	CENTANNI, RICHARD	ALLIANCEBERNSTEIN SMALL/MID CAP VAL	0.00	0.87	0.00	0.87	90.0	0.78
12/11/2017	TRICHON, DONNA-LYNN	ALLIANCEBERNSTEIN SMALL/MID-CAP GRW	0.00	12.45	0.00	12.45	90.0	11.21
12/14/2017	CHIECO, DANIEL	AM CENT EQUITY INCOME	0.00	4.14	0.00	4.14	90.0	3.73
12/15/2017	MEDIA ENTERPRISES	AM FUNDS AMCAP A	0.00	3.84	0.00	3.84	90.0	3.46
12/15/2017	GREENWELL, MARION	AM FUNDS AMCAP C	0.00	6.40	0.00	6.40	90.0	5.76
12/15/2017	GREENWELL, MARION	AM FUNDS AMCAP F1	0.00	0.42	0.00	0.42	90.0	0.38
12/15/2017	BERK, SHERRI	AM FUNDS AMERICAN BALANCED A	0.00	0.18	0.00	0.18	90.0	0.16
12/15/2017	MELVIN, MARY THOMPSON	AM FUNDS AMERICAN BALANCED A	0.00	1.46	0.00	1.46	90.0	1.31
12/15/2017	TAYLOR, THOMAS	AM FUNDS AMERICAN BALANCED A	0.00	0.48	0.00	0.48	90.0	0.43
12/15/2017	TAYLOR, DIANE	AM FUNDS AMERICAN BALANCED A	0.00	0.48	0.00	0.48	90.0	0.43
12/15/2017	APPLEGATE, PAMAELA	AM FUNDS AMERICAN BALANCED A	0.00	0.98	0.00	0.98	90.0	0.88

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/15/2017	RIZZO, DIANE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.96	0.00	0.96	90.0	0.86
12/15/2017	APPLEGATE, PAMAEALA	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.79	0.00	0.79	90.0	0.71
12/15/2017	LINSKY, LISA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.81	0.00	3.81	90.0	3.43
12/15/2017	MEDIA ENTERPRISES	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	3.03	0.00	3.03	90.0	2.73
12/15/2017	RIZZO, DIANE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.27	0.00	1.27	90.0	1.14
12/15/2017	MELVIN, GREG	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.72	0.00	0.72	90.0	0.65
12/15/2017	POLLAN, JACLYN	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.77	0.00	2.77	90.0	2.49
12/15/2017	FLEISCH, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	13.83	0.00	13.83	90.0	12.45
12/15/2017	MELVIN, MARY THOMPSON	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.54	0.00	1.54	90.0	1.39
12/15/2017	CHERRY, AYDEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.89	0.00	0.89	90.0	0.80
12/15/2017	LINSKY, LISA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.57	0.00	4.57	90.0	4.11
12/15/2017	RIZZO, DIANE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.52	0.00	1.52	90.0	1.37
12/15/2017	OLIVETI, FRANK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.26	0.00	6.26	90.0	5.63
12/15/2017	BERK, SHERRI	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.65	0.00	4.65	90.0	4.19
12/15/2017	PERETTI, TERESA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.79	0.00	1.79	90.0	1.61
12/15/2017	CENTANNI, BETTY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.38	0.00	0.38	90.0	0.34
12/15/2017	CENTANNI, RICHARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.95	0.00	0.95	90.0	0.86
12/15/2017	AYES, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.41	0.00	1.41	90.0	1.27
12/15/2017	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.65	0.00	0.65	90.0	0.59
12/15/2017	NATHAN, EDWARD	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.06	0.00	1.06	90.0	0.95
12/15/2017	NATHAN, SUSAN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.65	0.00	0.65	90.0	0.59
12/15/2017	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	2.70	0.00	2.70	90.0	2.43
12/15/2017	POLLAN, JACLYN	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.65	0.00	2.65	90.0	2.39
12/15/2017	SPECTER, LINDSAY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.43	0.00	5.43	90.0	4.89
12/15/2017	SPECTER, SHELBY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.52	0.00	5.52	90.0	4.97
12/15/2017	RIZZO, DIANE	AM FUNDS ICA A	0.00	1.48	0.00	1.48	90.0	1.33
12/15/2017	FLEISCH, ROBERT	AM FUNDS ICA A	0.00	8.87	0.00	8.87	90.0	7.98
12/15/2017	BOND-HILTNER, LINDA	AM FUNDS ICA A	0.00	0.30	0.00	0.30	90.0	0.27
12/15/2017	LINSKY, MARC	AM FUNDS ICA A	0.00	0.42	0.00	0.42	90.0	0.38
12/15/2017	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.56	0.00	0.56	90.0	0.50
12/15/2017	NATHAN, EDWARD	AM FUNDS ICA A	0.00	0.92	0.00	0.92	90.0	0.83
12/15/2017	NATHAN, SUSAN	AM FUNDS ICA A	0.00	0.56	0.00	0.56	90.0	0.50
12/15/2017	TAYLOR, THOMAS	AM FUNDS ICA A	0.00	0.57	0.00	0.57	90.0	0.51

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
12/15/2017	TAYLOR, DIANE	AM FUNDS ICA A	0.00	0.57	0.00	0.57	90.0	0.51
12/15/2017	APPLEGATE, PAMAELA	AM FUNDS ICA A	0.00	0.99	0.00	0.99	90.0	0.89
12/15/2017	SPECTER, SHELBY	AM FUNDS ICA C	0.00	5.33	0.00	5.33	90.0	4.80
12/15/2017	SPECTER TRUST, STEPHANIE	AM FUNDS ICA F1	0.00	12.45	0.00	12.45	90.0	11.21
12/15/2017	SPECTER, LINDSAY	AM FUNDS ICA F1	0.00	4.83	0.00	4.83	90.0	4.35
12/15/2017	SPECTER, SHELBY	AM FUNDS ICA F1	0.00	4.63	0.00	4.63	90.0	4.17
12/15/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.87	0.00	4.87	90.0	4.38
12/15/2017	GARAFOLA, GRACE	AM FUNDS INCOME FUND OF AMERICA A	0.00	4.27	0.00	4.27	90.0	3.84
12/15/2017	GARAFOLA, MICHAEL	AM FUNDS INCOME FUND OF AMERICA A	0.00	20.57	0.00	20.57	90.0	18.51
12/15/2017	MEDIA ENTERPRISES	AM FUNDS INCOME FUND OF AMERICA A	0.00	3.05	0.00	3.05	90.0	2.75
12/15/2017	TAYLOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	0.00	0.76	0.00	0.76	90.0	0.68
12/15/2017	TAYLOR, DIANE	AM FUNDS NEW PERSPECTIVE A	0.00	0.76	0.00	0.76	90.0	0.68
12/15/2017	APPLEGATE, PAMAELA	AM FUNDS NEW PERSPECTIVE A	0.00	1.09	0.00	1.09	90.0	0.98
12/15/2017	MELVIN, MARY THOMPSON	AM FUNDS SMALLCAP WORLD A	0.00	1.55	0.00	1.55	90.0	1.40
12/15/2017	OLIVETI, FRANK	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.68	0.00	5.68	90.0	5.11
12/15/2017	BOND-HILTNER, LINDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.29	0.00	0.29	90.0	0.26
12/15/2017	PERETTI, TERESA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.43	0.00	1.43	90.0	1.29
12/15/2017	LINSKY, MARC	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.41	0.00	0.41	90.0	0.37
12/15/2017	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.56	0.00	0.56	90.0	0.50
12/15/2017	NATHAN, EDWARD	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.92	0.00	0.92	90.0	0.83
12/15/2017	NATHAN, SUSAN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.56	0.00	0.56	90.0	0.50
12/14/2017	O'DONNELL, PATRICIA	AMERICAN CENTURY STRATEGIC ALLOC MO	0.00	2.68	0.00	2.68	90.0	2.41
/ /	GOLDBERG, SCOTT	ASCENSUS WVA AGE-BASED PF 12-13 A	0.00	7.55	0.00	7.55	90.0	6.80
12/22/2017	PLEVAK, MICHAEL	BLACKROCK EQUITY DIVIDEND FUND CL C	0.00	17.95	0.00	17.95	90.0	16.16
12/22/2017	PERETTI, TERESA	CALAMOS GROWTH A	0.00	1.45	0.00	1.45	90.0	1.31
12/22/2017	DUBIN, JON	CALAMOS GROWTH A	0.00	4.55	0.00	4.55	90.0	4.10
12/13/2017	MEDIA ENTERPRISES	COLUMBIA ACORN A	0.00	1.07	0.00	1.07	90.0	0.96
12/13/2017	FLEISCH, ROBERT	COLUMBIA ACORN A	0.00	14.93	0.00	14.93	90.0	13.44
12/13/2017	FLEISCH, ROBERT	COLUMBIA ACORN SELECT A	0.00	7.74	0.00	7.74	90.0	6.97
12/13/2017	MEDIA ENTERPRISES	COLUMBIA ACORN USA FUND CLASS A	0.00	2.74	0.00	2.74	90.0	2.47
12/13/2017	BOWERS, DORA	COLUMBIA DIVERSIFIED EQUITY INCOME	0.00	1.15	0.00	1.15	90.0	1.04
12/13/2017	MEDIA ENTERPRISES	COLUMBIA EQUITY VALUE A	0.00	0.29	0.00	0.29	90.0	0.26
12/13/2017	MEDIA ENTERPRISES	COLUMBIA LARGE CAP GROWTH A	0.00	0.33	0.00	0.33	90.0	0.30

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/13/2017	MELVIN, GREG	COLUMBIA MARSICO FOCUSED EQUITIES F	0.00	0.93	0.00	0.93	90.0	0.84
12/13/2017	MEDIA ENTERPRISES	COLUMBIA MIDCAP VALUE A	0.00	2.38	0.00	2.38	90.0	2.14
12/13/2017	BOWERS, DORA	COLUMBIA SELECT LARGE CAP GROWTH FU	0.00	1.07	0.00	1.07	90.0	0.96
12/13/2017	FLEISCH, ROBERT	COLUMBIA SMALL CAP INDEX FUND CLASS	0.00	11.42	0.00	11.42	90.0	10.28
12/13/2017	BOWERS, DORA	COLUMBIA SMALL CAP VALUE FUND II CL	0.00	0.20	0.00	0.20	90.0	0.18
12/13/2017	FLEISCH, ROBERT	COLUMBIA STRATEGIC INCOME A	0.00	2.36	0.00	2.36	90.0	2.12
12/11/2017	CENTANNI, BETTY	DAVIS NEW YORK VENTURE A	0.00	0.41	0.00	0.41	90.0	0.37
12/11/2017	CENTANNI, RICHARD	DAVIS NEW YORK VENTURE A	0.00	0.55	0.00	0.55	90.0	0.50
12/22/2017	GUERRIERI, CHARLES	DREYFUS CORE EQUITY FUND CLASS A	0.00	3.31	0.00	3.31	90.0	2.98
12/22/2017	GUERRIERI, CHARLES	DREYFUS HIGH YIELD FUND CLASS C	0.00	0.01	0.00	0.01	90.0	0.01
12/22/2017	COHEN, HARRIET	DREYFUS NEW JERSEY MUNICIPAL BOND F	0.00	61.92	0.00	61.92	90.0	55.73
12/14/2017	BOWERS, DORA	EATON VANCE LARGE-CAP VALUE FUND CL	0.00	0.76	0.00	0.76	90.0	0.68
12/14/2017	HANKINS, ROBERT	FEDERATED HIGH INCOME BOND FUND INC	0.00	1.09	0.00	1.09	90.0	0.98
12/14/2017	CENTANNI, BETTY	FEDERATED KAUFMANN FUND A	0.00	0.34	0.00	0.34	90.0	0.31
12/15/2017	FLEISCH, ROBERT	FIDELITY ADV FLOATING RATE HIGH INC	0.00	7.63	0.00	7.63	90.0	6.87
12/15/2017	FLEISCH, ROBERT	FIDELITY ADV STRATEGIC INCOME A	0.00	8.69	0.00	8.69	90.0	7.82
12/15/2017	CENTANNI, BETTY	FIDELITY ADV STRATEGIC INCOME C	0.00	1.50	0.00	1.50	90.0	1.35
12/15/2017	CENTANNI, RICHARD	FIDELITY ADV STRATEGIC INCOME C	0.00	5.95	0.00	5.95	90.0	5.36
12/15/2017	BOWERS, DORA	FIDELITY ADVISOR MID CAP II FUND CL	0.00	0.28	0.00	0.28	90.0	0.25
12/15/2017	BEYERLE, PATRICIA	FIDELITY MIDCAP II T	0.00	2.06	0.00	2.06	90.0	1.85
12/21/2017	FLEISCH, ROBERT	FRANKLIN DYNATECH A	0.00	13.76	0.00	13.76	90.0	12.38
12/21/2017	FLEISCH, ROBERT	FRANKLIN GOLD & PRECIOUS METALS A	0.00	2.96	0.00	2.96	90.0	2.66
12/21/2017	HASTINGS TURST, OSCAR	FRANKLIN INCOME A	0.00	1.13	0.00	1.13	90.0	1.02
12/21/2017	FLEISCHMAN, RITA	FRANKLIN INCOME A	0.00	5.26	0.00	5.26	90.0	4.73
12/21/2017	COBB, DOROTHY	FRANKLIN INCOME A	0.00	0.77	0.00	0.77	90.0	0.69
12/21/2017	CENTANNI, RICHARD	FRANKLIN MUTUAL GLOBAL DISCOVERY A	0.00	0.56	0.00	0.56	90.0	0.50
12/21/2017	GREENWELL, MARION	FRNKLN FLOATING RATE DLY ACCESS FND	0.00	2.76	0.00	2.76	90.0	2.48
12/20/2017	BOWERS, STEVEN	GOLDMAN SACHS CAPITAL GROWTH FUND C	0.00	16.14	0.00	16.14	90.0	14.53
12/20/2017	BOWERS, DORA	GOLDMAN SACHS MID CAP VALUE FD CLAS	0.00	0.25	0.00	0.25	90.0	0.23
12/20/2017	PARSONS, MICHELLE	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.63	0.00	0.63	90.0	0.57
12/18/2017	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	4.74	0.00	4.74	90.0	4.27
12/18/2017	CHERRY, ADAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.22	0.00	14.22	90.0	12.80
12/18/2017	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.61	0.00	0.61	90.0	0.55

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/18/2017	NIEMINEN, BARBARA	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.82	0.00	1.82	90.0	1.64
12/18/2017	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	14.48	0.00	14.48	90.0	13.03
12/18/2017	NAYAK, SHAILA	GRIFFIN INST'L ACCESS R E FUND C	0.00	43.45	0.00	43.45	90.0	39.11
12/18/2017	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	43.90	0.00	43.90	90.0	39.51
12/18/2017	KLEIN, HOWARD	GRIFFIN INST'L ACCESS R E FUND C	0.00	131.69	0.00	131.69	90.0	118.52
12/18/2017	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.26	0.00	4.26	90.0	3.83
12/18/2017	ROESKE, CHARLES	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	6.02	0.00	6.02	90.0	5.42
12/18/2017	LEVY, RONI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	5.06	0.00	5.06	90.0	4.55
12/21/2017	SPECTER TRUST, STEPHANIE	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	12.05	0.00	12.05	90.0	10.85
12/21/2017	LINSKY, MARC	GUGGENHEIM INVERSE GOVT LONG BOND C	0.00	4.10	0.00	4.10	90.0	3.69
12/18/2017	LINSKY, LISA	HARTFORD CAPITAL APPRECIATION A	0.00	3.74	0.00	3.74	90.0	3.37
12/18/2017	SPECTER TRUST, STEPHANIE	HARTFORD CAPITAL APPRECIATION C	0.00	85.28	0.00	85.28	90.0	76.75
12/18/2017	MEDIA ENTERPRISES	HARTFORD CAPITAL APPRECIATION C	0.00	41.02	0.00	41.02	90.0	36.92
12/18/2017	PERETTI JR, ANTHONY	HARTFORD CAPITAL APPRECIATION C	0.00	36.15	0.00	36.15	90.0	32.54
12/18/2017	KLEIN, HOWARD	HARTFORD CAPITAL APPRECIATION C	0.00	448.22	0.00	448.22	90.0	403.40
12/18/2017	CAMARDO, DONNA	HARTFORD CAPITAL APPRECIATION C	0.00	11.27	0.00	11.27	90.0	10.14
12/18/2017	GODLBERG, SHARON	HARTFORD CAPITAL APPRECIATION C	0.00	7.35	0.00	7.35	90.0	6.62
12/18/2017	BALZANO, AUGUSTUS	HARTFORD CAPITAL APPRECIATION C	0.00	7.83	0.00	7.83	90.0	7.05
12/18/2017	SPECTER, LINDSAY	HARTFORD CAPITAL APPRECIATION C	0.00	33.17	0.00	33.17	90.0	29.85
12/18/2017	SPECTER, SHELBY	HARTFORD CAPITAL APPRECIATION C	0.00	30.96	0.00	30.96	90.0	27.86
12/18/2017	HARRIS, MORGAN	HARTFORD CAPITAL APPRECIATION C	0.00	11.28	0.00	11.28	90.0	10.15
12/18/2017	BUSLER, SCOTT	HARTFORD CAPITAL APPRECIATION C	0.00	23.72	0.00	23.72	90.0	21.35
12/18/2017	BUSLER, MARIANNE	HARTFORD CAPITAL APPRECIATION C	0.00	10.62	0.00	10.62	90.0	9.56
12/18/2017	OLIVETI, ANTHONY	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.76	0.00	2.76	90.0	2.48
12/18/2017	OLIVETI, NICOLE	HARTFORD CHECKS AND BALANCES FUND A	0.00	2.76	0.00	2.76	90.0	2.48
12/18/2017	PISANI, NICHOLAS	HARTFORD CHECKS AND BALANCES FUND A	0.00	4.14	0.00	4.14	90.0	3.73
12/18/2017	SPECTER TRUST, STEPHANIE	HARTFORD DIVIDEND & GROWTH C	0.00	74.21	0.00	74.21	90.0	66.79
12/18/2017	MEDIA ENTERPRISES	HARTFORD DIVIDEND & GROWTH C	0.00	46.28	0.00	46.28	90.0	41.65
12/18/2017	CAMARDO, DONNA	HARTFORD DIVIDEND & GROWTH C	0.00	21.29	0.00	21.29	90.0	19.16
12/18/2017	BALZANO, AUGUSTUS	HARTFORD DIVIDEND & GROWTH C	0.00	14.63	0.00	14.63	90.0	13.17
12/18/2017	HARRIS, MORGAN	HARTFORD DIVIDEND & GROWTH C	0.00	21.30	0.00	21.30	90.0	19.17
12/18/2017	SANDERLIN, CATHERINE	HARTFORD DIVIDEND & GROWTH C	0.00	0.10	0.00	0.10	90.0	0.09
12/18/2017	WILSON, KEVIN	HARTFORD DIVIDEND & GROWTH C	0.00	0.01	0.00	0.01	90.0	0.01

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/18/2017	BUSLER, SCOTT	HARTFORD DIVIDEND & GROWTH C	0.00	43.90	0.00	43.90	90.0	39.51
12/18/2017	BUSLER, MARIANNE	HARTFORD DIVIDEND & GROWTH C	0.00	19.65	0.00	19.65	90.0	17.69
12/18/2017	CAMPAGNA, MARIE	HARTFORD FLOATING RATE C	0.00	10.71	0.00	10.71	90.0	9.64
12/18/2017	GODLBERG, SHARON	HARTFORD TOTAL RETURN BOND FUND C	0.00	4.19	0.00	4.19	90.0	3.77
12/13/2017	APPLEGATE, PAMAEALA	HIGHLAND FLOATING RATE OPPORTUNITIE	0.00	0.02	0.00	0.02	90.0	0.02
12/19/2017	BOWERS, DORA	JANUS RESEARCH FUND CLASS A	0.00	1.09	0.00	1.09	90.0	0.98
12/15/2017	BOWERS, DORA	JN DISCIPLINED VALUE INT'L A	0.00	0.18	0.00	0.18	90.0	0.16
12/14/2017	CENTANNI, RICHARD	JP MORGAN MID CAP VALUE FUND CLASS	0.00	0.62	0.00	0.62	90.0	0.56
12/20/2017	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.99	0.00	2.99	90.0	2.69
12/20/2017	MEDIA ENTERPRISES	LORD ABBETT BOND DEBENTURE A	0.00	2.09	0.00	2.09	90.0	1.88
12/20/2017	DEBRIELLE-HEALEY, ELIZABETH	LORD ABBETT BOND DEBENTURE A	0.00	2.78	0.00	2.78	90.0	2.50
12/20/2017	LAISO, MICHAEL	LORD ABBETT BOND DEBENTURE A	0.00	7.90	0.00	7.90	90.0	7.11
12/20/2017	STRAUS, KAREN	LORD ABBETT BOND DEBENTURE A	0.00	2.54	0.00	2.54	90.0	2.29
12/20/2017	CHERRY, ADAM	LORD ABBETT BOND DEBENTURE C	0.00	116.31	0.00	116.31	90.0	104.68
12/20/2017	HUDSON TRUST OF 2000, ROBERT	LORD ABBETT BOND DEBENTURE C	0.00	119.15	0.00	119.15	90.0	107.24
12/20/2017	YANKOWITZ, HARRIETT	LORD ABBETT BOND DEBENTURE C	0.00	28.63	0.00	28.63	90.0	25.77
12/20/2017	TOBIAS, DAVID	LORD ABBETT BOND DEBENTURE C	0.00	19.87	0.00	19.87	90.0	17.88
12/20/2017	LINSKY, LISA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.89	0.00	0.89	90.0	0.80
12/20/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	5.99	0.00	5.99	90.0	5.39
12/20/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.55	0.00	0.55	90.0	0.50
12/20/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	7.83	0.00	7.83	90.0	7.05
12/20/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.76	0.00	1.76	90.0	1.58
12/20/2017	APPLEBAUM, ALVIN	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	2.65	0.00	2.65	90.0	2.39
12/20/2017	MEDIA ENTERPRISES	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	1.10	0.00	1.10	90.0	0.99
12/20/2017	APPLEBAUM, RHEA	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	0.70	0.00	0.70	90.0	0.63
12/20/2017	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	10.86	0.00	10.86	90.0	9.77
12/20/2017	TAROFF, DANIELLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	5.90	0.00	5.90	90.0	5.31
12/20/2017	OLIVETI, NICOLE	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	6.16	0.00	6.16	90.0	5.54
12/20/2017	YANKOWITZ, HARRIETT	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	14.43	0.00	14.43	90.0	12.99
12/20/2017	LIBERTY, SUSAN	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	0.01	0.00	0.01	90.0	0.01
12/20/2017	DIECKERT, DEBRA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	2.90	0.00	2.90	90.0	2.61
12/20/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	3.09	0.00	3.09	90.0	2.78
12/20/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.33	0.00	0.33	90.0	0.30

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/20/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	3.90	0.00	3.90	90.0	3.51
12/20/2017	APPLEBAUM, ALVIN	LORD ABBETT SHORT DURATION INCOME A	0.00	1.57	0.00	1.57	90.0	1.41
12/20/2017	MEDIA ENTERPRISES	LORD ABBETT SHORT DURATION INCOME A	0.00	0.67	0.00	0.67	90.0	0.60
12/20/2017	APPLEBAUM, RHEA	LORD ABBETT SHORT DURATION INCOME A	0.00	0.48	0.00	0.48	90.0	0.43
12/20/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.47	0.00	1.47	90.0	1.32
12/20/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	19.84	0.00	19.84	90.0	17.86
12/20/2017	BOWERS, DORA	LORD ABBETT SHORT DURATION INCOME F	0.00	1.66	0.00	1.66	90.0	1.49
12/20/2017	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.68	0.00	2.68	90.0	2.41
12/20/2017	BOWERS, STEVEN	LORD ABBETT SHORT DURATION INCOME F	0.00	2.05	0.00	2.05	90.0	1.85
12/20/2017	CAMPAGNA, MARIE	LORD ABBETT SHORT DURATION INCOME F	0.00	10.95	0.00	10.95	90.0	9.86
12/20/2017	CHERRY, ADAM	LORD ABBETT SHORT DURATION INCOME F	0.00	96.28	0.00	96.28	90.0	86.65
12/20/2017	KRAMER, LESLIE	LORD ABBETT SHORT DURATION INCOME F	0.00	11.07	0.00	11.07	90.0	9.96
12/20/2017	DADINO, CAROLE	LORD ABBETT SHORT DURATION INCOME F	0.00	5.31	0.00	5.31	90.0	4.78
12/20/2017	BROSIUS JR, ARTHUR	LORD ABBETT SHORT DURATION INCOME F	0.00	6.24	0.00	6.24	90.0	5.62
12/20/2017	HARDING, LORETTA	LORD ABBETT SHORT DURATION INCOME F	0.00	12.65	0.00	12.65	90.0	11.39
12/20/2017	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	8.95	0.00	8.95	90.0	8.06
12/20/2017	BUSLER, SCOTT	LORD ABBETT SHORT DURATION INCOME F	0.00	4.54	0.00	4.54	90.0	4.09
12/19/2017	MURRY, YVONNE	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	9.77	0.00	9.77	90.0	8.79
12/19/2017	FLEISCHMAN, RITA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.77	0.00	2.77	90.0	2.49
12/19/2017	FARBMAN TRUST, DANIEL & SANDRA	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.88	0.00	1.88	90.0	1.69
12/19/2017	PAGLIA, DIANA	MAINSTAY FLOATING RATE FUND CLASS A	0.00	0.48	0.00	0.48	90.0	0.43
12/19/2017	PAGLIA, DIANA	MAINSTAY HIGH YIELD CORP BOND A	0.00	1.20	0.00	1.20	90.0	1.08
12/19/2017	PAGLIA, DIANA	MAINSTAY LARGE CAP GROWTH FUND A	0.00	0.74	0.00	0.74	90.0	0.67
12/11/2017	KROSNOWSKI, THOMAS	MFS CORE EQUITY C	0.00	6.55	0.00	6.55	90.0	5.90
12/11/2017	KROSNOWSKI, THOMAS	MFS EMERGINF MARKETS EQUITY FUND C	0.00	16.13	0.00	16.13	90.0	14.52
12/11/2017	CAMPAGNA, MARIE	MFS EMERGING MKTS DEBT FUND C	0.00	7.89	0.00	7.89	90.0	7.10
12/11/2017	SPECTER TRUST, STEPHANIE	MFS GROWTH C	0.00	15.76	0.00	15.76	90.0	14.18
12/11/2017	KROSNOWSKI, THOMAS	MFS INTERNATIONAL VALUE FUND C	0.00	15.63	0.00	15.63	90.0	14.07
12/11/2017	BOWERS, DORA	MFS INTERNATIONAL VALUE FUND CLASS	0.00	0.70	0.00	0.70	90.0	0.63
12/11/2017	SPECTER TRUST, BRADLEY	MFS MID-CAP VALUE FUND CLASS C	0.00	9.25	0.00	9.25	90.0	8.33
12/11/2017	SPECTER TRUST, STEPHANIE	MFS MID-CAP VALUE FUND CLASS C	0.00	22.14	0.00	22.14	90.0	19.93
12/11/2017	SPECTER TRUST, BRADLEY	MFS MIDCAP GROWTH C	0.00	8.29	0.00	8.29	90.0	7.46
12/11/2017	SPECTER TRUST, STEPHANIE	MFS MIDCAP GROWTH C	0.00	19.54	0.00	19.54	90.0	17.59

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/11/2017	KROSNOWSKI, THOMAS	MFS MIDCAP GROWTH C	0.00	5.67	0.00	5.67	90.0	5.10
12/11/2017	KROSNOWSKI, THOMAS	MFS RESEARCH FUND-C	0.00	5.91	0.00	5.91	90.0	5.32
12/11/2017	SPECTER TRUST, STEPHANIE	MFS RESEARCH INTL C	0.00	27.12	0.00	27.12	90.0	24.41
12/11/2017	KROSNOWSKI, THOMAS	MFS TECHNOLOGY FUND-C	0.00	11.82	0.00	11.82	90.0	10.64
12/11/2017	SPECTER TRUST, BRADLEY	MFS VALUE C	0.00	9.25	0.00	9.25	90.0	8.33
12/11/2017	SPECTER TRUST, STEPHANIE	MFS VALUE C	0.00	14.39	0.00	14.39	90.0	12.95
12/21/2017	KROSNOWSKI, THOMAS	OPPENHEIMER DISCOVERY A	0.00	1.23	0.00	1.23	90.0	1.11
12/21/2017	KROSNOWSKI, THOMAS	OPPENHEIMER GLOBAL OPPORTUNITIES A	0.00	1.10	0.00	1.10	90.0	0.99
12/21/2017	KROSNOWSKI, THOMAS	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	0.40	0.00	0.40	90.0	0.36
12/21/2017	BOWERS, DORA	OPPENHEIMER INTL GROWTH A	0.00	0.62	0.00	0.62	90.0	0.56
12/21/2017	STERR, ERIN	OPPENHEIMER MAIN STREET A	0.00	2.38	0.00	2.38	90.0	2.14
12/21/2017	KROSNOWSKI, THOMAS	OPPENHEIMER MAIN STREET A	0.00	3.07	0.00	3.07	90.0	2.76
12/21/2017	PERETTI, DANIEL	OPPENHEIMER PORTFOLIO SERIES EQ INV	0.00	0.95	0.00	0.95	90.0	0.86
12/21/2017	PERETTI, DANIEL	OPPENHEIMER PORTFOLIO SERIRES: MOD	0.00	16.76	0.00	16.76	90.0	15.08
12/21/2017	PERETTI, DANIEL	OPPENHEIMER PTF SERIES EQUITY INVES	0.00	22.06	0.00	22.06	90.0	19.85
12/21/2017	CHERRY, LISA	OPPENHEIMER QUEST OPP VALUE A	0.00	2.57	0.00	2.57	90.0	2.31
12/21/2017	KROSNOWSKI, THOMAS	OPPENHEIMER QUEST OPP VALUE A	0.00	1.74	0.00	1.74	90.0	1.57
12/21/2017	CHERRY, ADAM	PAC LIFE FUNDS PRT OPT MOD	0.00	246.76	0.00	246.76	90.0	222.08
12/15/2017	TRICHON, DONNA-LYNN	PUTNAM GROWTH OPP A	0.00	13.61	0.00	13.61	90.0	12.25
12/15/2017	NIEMINEN, EUGENE	PUTNAM MULTI CAP GROWTH A	0.00	3.05	0.00	3.05	90.0	2.75
12/14/2017	SPECTER, BRADLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	12.77	0.00	12.77	90.0	11.49
12/14/2017	SPECTER, BRADLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	12.77	0.00	12.77	90.0	11.49
12/14/2017	SPECTER, STEPHANIE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	17.44	0.00	17.44	90.0	15.70
12/14/2017	SPECTER, STEPHANIE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	17.44	0.00	17.44	90.0	15.70
12/14/2017	TAROFF, MICHELLE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.74	0.00	5.74	90.0	5.17
12/14/2017	TAROFF, MICHELLE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	5.74	0.00	5.74	90.0	5.17
12/20/2017	SPECTER, BRADLEY	RESOURCE R E DIVERSIFIED INC FUND A	0.00	-12.77	0.00	-12.77	90.0	-11.49
12/20/2017	SPECTER, STEPHANIE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	-17.44	0.00	-17.44	90.0	-15.70
12/20/2017	TAROFF, MICHELLE	RESOURCE R E DIVERSIFIED INC FUND A	0.00	-5.74	0.00	-5.74	90.0	-5.17
12/14/2017	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	43.50	0.00	43.50	90.0	39.15
12/14/2017	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	43.50	0.00	43.50	90.0	39.15
12/14/2017	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	130.50	0.00	130.50	90.0	117.45
12/14/2017	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	130.50	0.00	130.50	90.0	117.45

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
12/20/2017	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	-43.50	0.00	-43.50	90.0	-39.15
12/20/2017	KLEIN, HOWARD	RESOURCE REAL ESTATE DIVERSIFIED IN	0.00	-130.50	0.00	-130.50	90.0	-117.45
12/21/2017	FLEISCH, ROBERT	TEMPLETON GLOBAL BOND A	0.00	11.29	0.00	11.29	90.0	10.16
12/21/2017	GREENWELL, MARION	TEMPLETON GROWTH A	0.00	1.58	0.00	1.58	90.0	1.42
12/21/2017	CENTANNI, RICHARD	TEMPLETON GROWTH A	0.00	0.34	0.00	0.34	90.0	0.31
12/21/2017	FLEISCH, ROBERT	TEMPLETON WORLD A	0.00	10.94	0.00	10.94	90.0	9.85
12/20/2017	PERETTI, DANIEL	TOUCHSTONE GROWTH OPP A	0.00	1.52	0.00	1.52	90.0	1.37
12/29/2017	PERETTI, DANIEL	TOUCHSTONE GROWTH OPP A	0.00	1.52	0.00	1.52	90.0	1.37
12/21/2017	EHRET, WAYNE	VICTORY CAPITAL MANAGEMENT	0.00	0.48	0.00	0.48	90.0	0.43
12/19/2017	CHERRY, LISA	VIRTUS SMALL-CAP SUSTAINABLE GROWTH	0.00	5.77	0.00	5.77	90.0	5.19
12/13/2017	PISANI, NICHOLAS	WELLS FARGO ADVANTAGE OMEGA GROWTH	0.00	9.47	0.00	9.47	90.0	8.52
12/13/2017	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	22.60	0.00	22.60	90.0	20.34
12/13/2017	CAMPAGNA, MARIE	WELLS FARGO ADVTG DIVERSIFIED INCOM	0.00	10.96	0.00	10.96	90.0	9.86
12/13/2017	BOWERS, DORA	WELLS FARGO ADVTG EMERGING MARKETS	0.00	0.20	0.00	0.20	90.0	0.18
12/13/2017	TRICHON, DONNA-LYNN	WELLS FARGO DIVERSIFIED CAPITAL BUI	0.00	17.98	0.00	17.98	90.0	16.18
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	4.10	0.00	4.10	90.0	3.69
/ /	GOLDBERG, SCOTT	WVA AGE-BASED PORTFOLIO 18+ A	0.00	0.57	0.00	0.57	90.0	0.51
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$3,111.28</b>	<b>\$0.00</b>	<b>\$3,111.28</b>		<b>\$2,800.25</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
12/27/2017	MEDIA ENTERPRISES	PAC LIFE INNOVATIVE SELECT	0.00	153.63	0.00	153.63	90.0	138.27
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$153.63</b>	<b>\$0.00</b>	<b>\$153.63</b>		<b>\$138.27</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$2,967,108.63</b>	<b>\$52,694.63</b>	<b>\$0.00</b>	<b>\$52,694.63</b>		<b>\$47,425.27</b>

**SPLIT TRANSACTIONS****BROKER: J30 MARC/MICHAEL LINSKY/FOX #266**

12/15/2017	CLARKE, PHYLLIS	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	1.19	0.00	1.19	90.0	1.07
12/15/2017	CLARKE, PHYLLIS	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.08	0.00	0.08	90.0	0.07
12/15/2017	BERK, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	1.41	0.00	1.41	90.0	1.27
12/21/2017	CLARKE, PHYLLIS	FRANKLIN GROWTH OPPORTUNITIES FUND	0.00	0.12	0.00	0.12	90.0	0.11
12/20/2017	SPOKANE, LOIS	GOLDMAN SACHS U.S. EQUITY INSIGHTS	0.00	0.63	0.00	0.63	90.0	0.57
12/18/2017	CLARKE, PHYLLIS	HARTFORD INFLATION PLUS FUIND C	0.00	3.35	0.00	3.35	90.0	3.02
12/20/2017	CLARKE, PHYLLIS	LORD ABBETT AFFILIATED A	0.00	1.12	0.00	1.12	90.0	1.01

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: J30 MARC/MICHAEL LINSKY/FOX #266</u></b>								
12/20/2017	SPOKANE, LOIS	LORD ABBETT BOND DEBENTURE A	0.00	2.18	0.00	2.18	90.0	1.96
12/20/2017	SPOKANE, LOIS	LORD ABBETT FUNDAMENTAL EQUITY A	0.00	3.90	0.00	3.90	90.0	3.51
12/19/2017	CLARKE, PHYLLIS	PIMCO TOTAL RETURN C	0.00	13.91	0.00	13.91	90.0	12.52
12/15/2017	BELLO, JOSEPH	PUTNAM MULTI CAP GROWTH A	0.00	0.61	0.00	0.61	90.0	0.55
12/14/2017	LANUTI, CHERYL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	8.87	0.00	8.87	90.0	7.98
12/14/2017	LANUTI, CHERYL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	8.87	0.00	8.87	90.0	7.98
12/20/2017	LANUTI, CHERYL	RESOURCE R E DIVERSIFIED INC FUND A	0.00	-8.87	0.00	-8.87	90.0	-7.98
12/20/2017	MAHONEY, MARIA	TOUCHSTONE ACTIVE BOND FUND A	0.00	2.04	0.00	2.04	90.0	1.84
12/20/2017	MAHONEY, MARIA	TOUCHSTONE FLEXIBLE INCOME FUND CLA	0.00	0.99	0.00	0.99	90.0	0.89
* J30 MARC/MICHAEL LINSKY/FOX SUBTOTAL *			\$0.00	\$40.40	\$0.00	\$40.40		\$36.37
<b><u>BROKER: R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI #272</u></b>								
12/14/2017	DAMONE, DENNIS	JP MORGAN INVESTOR GROWTH & INCOME	0.00	0.22	0.00	0.22	90.0	0.20
* R134-DAN/JEFF/JON P/ FT-NY RAUPP/KI SUBTOTAL *			\$0.00	\$0.22	\$0.00	\$0.22		\$0.20
<b><u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u></b>								
12/15/2017	FLAHERTY, STEPHEN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.06	0.00	0.06	90.0	0.05
12/14/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	1.97	0.00	1.97	90.0	1.77
12/14/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	5.00	0.00	5.00	90.0	4.50
12/14/2017	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	3.30	0.00	3.30	90.0	2.97
12/14/2017	LEEN, RANDY	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	2.39	0.00	2.39	90.0	2.15
12/14/2017	WILKINSKY, KARA-JANE	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.19	0.00	0.19	90.0	0.17
12/14/2017	WILKINSKY, MATTHEW	AMERICAN CENTURY STRATEGIC ALLOC AG	0.00	0.19	0.00	0.19	90.0	0.17
01/08/2018	WASSERMAN TRUST, NINA	APHRIA INC COM ISIN#CA03765K1049	5,102.70	0.00	7.88	-7.88	90.0	-7.09
01/09/2018	WASSERMAN TRUST, NINA	APHRIA INC COM ISIN#CA03765K1049	-4,447.30	0.00	7.88	-7.88	90.0	-7.09
12/21/2017	ROMAN, ROSA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.36	0.00	0.36	90.0	0.32
12/21/2017	ABRAMS, RAFAEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	0.90	0.00	0.90	90.0	0.81
12/21/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.30	0.00	3.30	90.0	2.97
12/21/2017	JA, WILLIAM	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	9.89	0.00	9.89	90.0	8.90
01/08/2018	WASSERMAN TRUST, NINA	CANNABICS PHARMACEUTICALS INC COM	3,495.00	0.00	8.49	-8.49	90.0	-7.64
01/08/2018	WASSERMAN TRUST, NINA	CANNABIS SCIENCE INC COM	5,332.50	0.00	9.45	-9.45	90.0	-8.51
01/08/2018	WASSERMAN TRUST, NINA	CANNABIS SCIENCE INC COM	595.00	0.00	9.45	-9.45	90.0	-8.51
01/09/2018	WASSERMAN TRUST, NINA	CANNABIS SCIENCE INC COM	-5,148.00	0.00	9.45	-9.45	90.0	-8.51
01/08/2018	WASSERMAN TRUST, NINA	CANOPY GROWTH CORP SHS LKD TO S&P G	6,005.80	0.00	6.93	-6.93	90.0	-6.24

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: P124-JONP/MARC/DAN/J FT-FL JUNO CIR #273</u></b>								
01/08/2018	WASSERMAN TRUST, NINA	CANOPY GROWTH CORP SHS LKD TO S&P G	1,501.45	0.00	4.10	-4.10	90.0	-3.69
12/18/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.91	0.00	0.91	90.0	0.82
12/18/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.72	0.00	2.72	90.0	2.45
12/18/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	1.13	0.00	1.13	90.0	1.02
12/18/2017	MORONEY, PHYLLIS	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.40	0.00	3.40	90.0	3.06
12/18/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.76	0.00	0.76	90.0	0.68
12/18/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.27	0.00	2.27	90.0	2.04
12/18/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	0.91	0.00	0.91	90.0	0.82
12/18/2017	MORONEY JR, JOHN	GRIFFIN INST'L ACCESS R E FUND C	0.00	2.72	0.00	2.72	90.0	2.45
12/18/2017	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	3.22	0.00	3.22	90.0	2.90
12/18/2017	JA, WILLIAM	GRIFFIN INST'L ACCESS R E FUND C	0.00	9.65	0.00	9.65	90.0	8.69
12/18/2017	ROMAN, ROSA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.58	0.00	0.58	90.0	0.52
12/18/2017	ABRAMS, RAFAEL	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	0.90	0.00	0.90	90.0	0.81
12/18/2017	WILKINSKY, KARA-JANE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.55	0.00	1.55	90.0	1.40
12/18/2017	WILKINSKY, MATTHEW	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.24	0.00	1.24	90.0	1.12
12/18/2017	FLAHERTY, CAITLIN	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.04	0.00	1.04	90.0	0.94
12/18/2017	KELLY, GORDON	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.48	0.00	1.48	90.0	1.33
12/18/2017	SCHENONE, LORI	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	4.45	0.00	4.45	90.0	4.01
01/08/2018	WASSERMAN TRUST, NINA	HEMP INC COM NEW	1,805.00	0.00	9.45	-9.45	90.0	-8.51
12/20/2017	WILKINSKY, KARA-JANE	LORD ABBETT SHORT DURATION INCOME A	0.00	0.12	0.00	0.12	90.0	0.11
12/20/2017	WILKINSKY, MATTHEW	LORD ABBETT SHORT DURATION INCOME A	0.00	0.12	0.00	0.12	90.0	0.11
01/08/2018	WASSERMAN TRUST, NINA	MEDRELEAF CORP REGISTERED SHS ISIN#	6,903.00	0.00	7.88	-7.88	90.0	-7.09
<b>* P124-JONP/MARC/DAN/J FT-FL JUNO CIR SUBTOTAL *</b>			<b>\$21,145.15</b>	<b>\$66.72</b>	<b>\$80.96</b>	<b>\$-14.24</b>		<b>\$-12.82</b>
<b><u>BROKER: C148 DAN/MARC FT-CHARLEY/LINSKY #315</u></b>								
12/15/2017	ANDERSON, WILLIAM	AM FUNDS BOND FUND OF AMERICA A	0.00	0.46	0.00	0.46	90.0	0.41
12/15/2017	NEELD, JUDEANN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.31	0.00	1.31	90.0	1.18
12/15/2017	NEELD, JUDEANN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.21	0.00	3.21	90.0	2.89
12/14/2017	ANDERSON, WILLIAM	AMERICAN CENTURY CORE PLUS FUND CLA	0.00	2.79	0.00	2.79	90.0	2.51
12/22/2017	ANDERSON, ELAINE	BLK GLOBAL ALLOCATION C	0.00	2.47	0.00	2.47	90.0	2.22
12/22/2017	ANDERSON, ELAINE	CALAMOS GROWTH & INCOME A	0.00	0.89	0.00	0.89	90.0	0.80
12/19/2017	ANDERSON, WILLIAM	CARILLON EAGLE GROWTH & INCOME FUND	0.00	6.13	0.00	6.13	90.0	5.52
12/13/2017	ANDERSON, WILLIAM	COLUMBIA BALANCED FUND CLASS C	0.00	3.44	0.00	3.44	90.0	3.10
12/11/2017	FIAMINGO, FRANK	DAVIS REAL ESTATE A	0.00	2.20	0.00	2.20	90.0	1.98

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<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: C148 DAN/MARC FT-CHARLEY/LINSKY #315</u></b>								
12/22/2017	ANDERSON, WILLIAM	FIRST EAGLE OVERSEAS FUND CLASS A	0.00	0.97	0.00	0.97	90.0	0.87
12/18/2017	FIAMINGO, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	10.32	0.00	10.32	90.0	9.29
12/18/2017	FIAMINGO, NANCY	GRIFFIN INST'L ACCESS R E FUND C	0.00	30.96	0.00	30.96	90.0	27.86
12/18/2017	FIAMINGO, FRANK	GRIFFIN INST'L ACCESS R E FUND C	0.00	13.42	0.00	13.42	90.0	12.08
12/18/2017	FIAMINGO, FRANK	GRIFFIN INST'L ACCESS R E FUND C	0.00	40.24	0.00	40.24	90.0	36.22
12/18/2017	BUTKUS, ROBERT	GRIFFIN INST'L ACCESS R E FUND C	0.00	5.17	0.00	5.17	90.0	4.65
12/18/2017	BUTKUS, ROBERT	GRIFFIN INST'L ACCESS R E FUND C	0.00	15.52	0.00	15.52	90.0	13.97
12/19/2017	ANDERSON, ELAINE	JANUS BALANCED FUND CLASS C	0.00	3.22	0.00	3.22	90.0	2.90
12/15/2017	ANDERSON, ELAINE	JH STRATEGIC INCOME A	0.00	0.43	0.00	0.43	90.0	0.39
12/21/2017	FIAMINGO, FRANK	OPPENHEIMER CAPITAL INCOME A	0.00	0.01	0.00	0.01	90.0	0.01
12/19/2017	ANDERSON, WILLIAM	PIMCO REAL RETURN C	0.00	1.67	0.00	1.67	90.0	1.50
12/13/2017	RYNEX, CAROL	WELLS FARGO DOW JONES TARGET 2035 F	0.00	1.01	0.00	1.01	90.0	0.91
12/13/2017	RYNEX, STEPHEN	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.56	0.00	0.56	90.0	0.50
12/13/2017	RYNEX, ANDREW	WELLS FARGO PREMIER LG COMP GRWTH A	0.00	0.18	0.00	0.18	90.0	0.16
* C148 DAN/MARC FT-CHARLEY/LINSKY SUBTOTAL *			\$0.00	\$146.58	\$0.00	\$146.58		\$131.92
<b><u>BROKER: C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR #400</u></b>								
12/15/2017	ROULHAC, DEBRA	AM FUNDS AMERICAN BALANCED A	0.00	0.31	0.00	0.31	90.0	0.28
12/15/2017	ROULHAC, DEBRA	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.11	0.00	0.11	90.0	0.10
12/20/2017	ROULHAC, DEBRA	CENTER COAST MLP FOCUS FUND CLASS A	0.00	0.05	0.00	0.05	90.0	0.05
12/18/2017	CARROLLTON, DONNA	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.65	0.00	1.65	90.0	1.49
12/18/2017	CARROLLTON, STEVE	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	1.17	0.00	1.17	90.0	1.05
* C157-DA/MAR/JP/DA/JE FT-CHA/LIN/CIR SUBTOTAL *			\$0.00	\$3.29	\$0.00	\$3.29		\$2.97
*** SPLIT TRANSACTIONS TOTAL ***			\$21,145.15	\$257.21	\$80.96	\$176.25		\$158.64

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
01/15/2018		*EO INS: CURRENT MONTH INS	0.00					-300.00
01/15/2018		*EO INS: CURRENT MONTH INS	0.00					-105.00
01/15/2018		*MARKETING LIBRARY: MARKETING LIBRA	0.00					-45.00
01/15/2018		*MISC: SUSICK, KIRSTEN L DOLAN	0.00					4,290.62
01/15/2018		*PERSHING: BOOKS AND RECORDS	0.00					-1.50
01/15/2018		*PERSHING: MARK UPS	0.00					105.00
01/15/2018		*PERSHING: MF PROSPECTUS	0.00					-3.10
01/15/2018		*TECH: ADMIN-CHRISTINE GILMER	0.00					-100.00
01/15/2018		*TECH: ADMIN-LINDA BOND-HILTNER	0.00					-100.00
01/15/2018		*TECH: CURRENT MONTH TECH	0.00					-275.00
01/15/2018		*TECH: CURRENT MONTH TECH	0.00					-96.25
01/15/2018		*TECHNOLOGY: ADMIN D. LINSKY	0.00					-135.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$3,234.77

**BROKER COMMISSION TOTALS**

Broker Transactions	\$47,402.77
Split Transactions	\$158.64
Override Transactions	\$0.00
Adjustments	\$3,234.77
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$50,796.18
Year-to-date Gross	\$52,971.84
Year-to-date Earnings	\$47,561.41

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