



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**June 15, 2018**

06/15/2018  
Page No. 1

DAVID, Z116 ZUPEK  
1126 Bichara Blvd

BROKER #: Z116  
BRANCH: TADA WEALTH ADVISORS

The Villages, FL 32159

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
06/01/2018	FROSCH, TRACEY	AM FUNDS FUNDAMENTAL INVESTORS 529A	15.00	0.56	0.00	0.56	90.0	0.50
06/01/2018	FROSCH, TRACEY	AM FUNDS GROWTH FUND OF AMERICA 529	15.00	0.56	0.00	0.56	90.0	0.50
06/01/2018	FROSCH, TRACEY	AM FUNDS SMALLCAP WORLD-529A	15.00	0.56	0.00	0.56	90.0	0.50
06/01/2018	FROSCH, TRACEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	15.00	0.56	0.00	0.56	90.0	0.50
* 529 PLANS SUBTOTAL *			\$60.00	\$2.24	\$0.00	\$2.24		\$2.00
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
06/01/2018	FROSCH, TRACEY	529 AMCAP FUND CLASS A	15.00	0.56	0.00	0.56	90.0	0.50
06/04/2018	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
* MUTUAL FUNDS SUBTOTAL *			\$65.00	\$3.06	\$0.00	\$3.06		\$2.75
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
06/01/2018	BODVEN, BRUCE	AM GEN POLARIS CHOICE III	116,703.68	437.64	0.00	437.64	90.0	393.88
06/10/2018	BIRKHOLZ, WILLIAM	AM GEN POLARIS CHOICE IV	73,606.00	184.02	0.00	184.02	90.0	165.62
05/31/2018	SHELDON, MARK	JNL PERSPECTIVE ADV II 5/05	14,499.34	15.10	0.00	15.10	90.0	13.59
05/31/2018	DEHAHN, RAYMOND	JNL PERSPECTIVE ADV II 5/05	48,578.39	40.48	0.00	40.48	90.0	36.43
05/31/2018	JOHNSON, ROBERT	JNL PERSPECTIVE FOCUS	60,193.14	50.16	0.00	50.16	90.0	45.14
05/31/2018	ROSE, NIKKI	JNL PERSPECTIVE II 04/14	76,430.49	63.70	0.00	63.70	90.0	57.33
05/31/2018	HARTGERINK, BRETT	JNL PERSPECTIVE II 04/14	113,331.83	23.61	0.00	23.61	90.0	21.25
05/31/2018	ROST, DAVID	JNL PERSPECTIVE II 04/14	771,653.84	643.04	0.00	643.04	90.0	578.74
05/31/2018	ZUPEK, ALBERT	JNL PERSPECTIVE II 04/14	424,199.42	353.50	0.00	353.50	90.0	318.15
05/31/2018	ZUPEK, STEVEN	JNL PERSPECTIVE II 04/14	251,410.79	209.51	0.00	209.51	90.0	188.56
05/31/2018	INGHAM, LYNNE	JNL PERSPECTIVE II 05/05	15,790.29	6.58	0.00	6.58	90.0	5.92
05/31/2018	BELLAIRE, THOMAS	JNL PERSPECTIVE II 10/04	14,115.01	5.88	0.00	5.88	90.0	5.29
05/31/2018	DENNE, FRANCES	JNL PERSPECTIVE II 10/04	11,680.11	4.87	0.00	4.87	90.0	4.38
05/31/2018	ROSE, PETER	JNL PERSPECTIVE L	66,613.91	55.52	0.00	55.52	90.0	49.97
05/31/2018	HOSS, GAIL	JNL PERSPECTIVE L	74,309.67	80.50	0.00	80.50	90.0	72.45

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
05/31/2018	PECKMAN, MARCIA	JNL PERSPECTIVE L	75,145.57	81.41	0.00	81.41	90.0	73.27
05/31/2018	HOOTEN, ROBERT	JNL PERSPECTIVE L	33,546.46	36.34	0.00	36.34	90.0	32.71
05/31/2018	KAEDING, HAROLD	JNL PERSPECTIVE L	60,420.07	50.35	0.00	50.35	90.0	45.32
05/31/2018	BABICKY, SHERRY	JNL PERSPECTIVE L	51,860.45	60.50	0.00	60.50	90.0	54.45
05/31/2018	MCFADDEN, JAMES	JNL PERSPECTIVE L	169,202.03	197.40	0.00	197.40	90.0	177.66
05/31/2018	TASKONIS, RICHARD	JNL PERSPECTIVE L	121,083.29	141.26	0.00	141.26	90.0	127.13
05/31/2018	BABICKY, SHERRY	JNL PERSPECTIVE L	38,480.16	44.89	0.00	44.89	90.0	40.40
05/31/2018	FLETCHER, JEWEL	JNL PERSPECTIVE L	26,071.81	21.73	0.00	21.73	90.0	19.56
05/31/2018	SMITH, BETTY	JNL PERSPECTIVE L	30,801.02	35.93	0.00	35.93	90.0	32.34
05/31/2018	NONDORF, DENNIS	JNL PERSPECTIVE L	1,477.56	1.23	0.00	1.23	90.0	1.11
05/31/2018	WILLIAMS, WARNER	JNL PERSPECTIVE L	23,625.16	19.69	0.00	19.69	90.0	17.72
05/31/2018	ZUPFER, CHARLES	JNL PERSPECTIVE L	29,034.49	24.20	0.00	24.20	90.0	21.78
05/31/2018	HUIZINGA, SHIRLEY	JNL PERSPECTIVE L	32,476.62	27.06	0.00	27.06	90.0	24.35
05/31/2018	SPERER, MARION	JNL PERSPECTIVE L	21,834.02	18.20	0.00	18.20	90.0	16.38
05/31/2018	VERBETEN, KAREN	JNL PERSPECTIVE L	8,691.61	10.14	0.00	10.14	90.0	9.13
05/31/2018	BOLAND, TERRENCE	JNL PERSPECTIVE L	23,641.75	27.58	0.00	27.58	90.0	24.82
05/31/2018	HARTGERINK, EDWARD	JNL PERSPECTIVE L	27,913.45	30.24	0.00	30.24	90.0	27.22
05/31/2018	HARTGERINK, EDWARD	JNL PERSPECTIVE L	25,906.55	28.07	0.00	28.07	90.0	25.26
05/31/2018	HARTGERINK, EDWARD	JNL PERSPECTIVE L	28,083.40	23.40	0.00	23.40	90.0	21.06
05/31/2018	BODVEN, BRUCE	JNL PERSPECTIVE L	55,617.06	64.89	0.00	64.89	90.0	58.40
05/31/2018	PAULICK, BERNARD	JNL PERSPECTIVE L	136,379.45	113.65	0.00	113.65	90.0	102.29
05/31/2018	SORENSEN SR, ROBERT	JNL PERSPECTIVE L	138,793.11	115.66	0.00	115.66	90.0	104.09
05/31/2018	RACK, JOAN	JNL PERSPECTIVE L	144,127.90	156.14	0.00	156.14	90.0	140.53
05/31/2018	HUIZINGA, SHIRLEY	JNL PERSPECTIVE L	105,490.07	87.91	0.00	87.91	90.0	79.12
05/31/2018	INGHAM, LYNNE	JNL PERSPECTIVE L	69,026.78	57.52	0.00	57.52	90.0	51.77
05/31/2018	SORENSEN SR, ROBERT	JNL PERSPECTIVE L	170,632.95	142.19	0.00	142.19	90.0	127.97
05/31/2018	CRAWFORD, TIMOTHY	JNL PERSPECTIVE L	206,410.53	172.01	0.00	172.01	90.0	154.81
05/31/2018	WELLMAN, JAMES	JNL PERSPECTIVE L	54,018.52	63.02	0.00	63.02	90.0	56.72
05/31/2018	CRAWFORD, JEANNE	JNL PERSPECTIVE L	101,146.14	118.00	0.00	118.00	90.0	106.20
05/31/2018	THOMPSON, CAROL	JNL PERSPECTIVE L	73,104.16	60.92	0.00	60.92	90.0	54.83
05/31/2018	SHELDON, SUSAN	JNL PERSPECTIVE L	46,770.78	38.98	0.00	38.98	90.0	35.08
05/31/2018	SHELDON, MARK	JNL PERSPECTIVE L	90,870.21	106.02	0.00	106.02	90.0	95.42
05/31/2018	FIEHWEG-CARTWRIGHT, LISA	JNL PERSPECTIVE L	380,222.07	316.85	0.00	316.85	90.0	285.17

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
05/31/2018	PERONE, PASQUALE	JNL PERSPECTIVE L	611,386.53	713.28	0.00	713.28	90.0	641.95
05/31/2018	PECKMAN, ROGER	JNL PERSPECTIVE L	130,693.61	152.48	0.00	152.48	90.0	137.23
05/31/2018	RUFFOLO, BENNY	JNL PERSPECTIVE L	100,262.03	116.97	0.00	116.97	90.0	105.27
05/31/2018	ZUPEK, DAVID	JNL PERSPECTIVE L	225,100.51	262.62	0.00	262.62	90.0	236.36
05/31/2018	FALBO, DIANE	JNL PERSPECTIVE L	667,646.99	778.92	0.00	778.92	90.0	701.03
05/31/2018	GAFKA, PHILIP	JNL PERSPECTIVE L	193,871.30	226.18	0.00	226.18	90.0	203.56
05/31/2018	GAFKA, PHILIP	JNL PERSPECTIVE L	362,722.85	423.18	0.00	423.18	90.0	380.86
05/31/2018	HARRIS, BILLY	JNL PERSPECTIVE L	264,049.20	308.06	0.00	308.06	90.0	277.25
05/31/2018	HEBERLING, DONALD	JNL PERSPECTIVE L	20,152.57	16.79	0.00	16.79	90.0	15.11
05/31/2018	WAYO, DARLENE	JNL PERSPECTIVE L	66,299.18	55.25	0.00	55.25	90.0	49.73
05/31/2018	HOSS, GAIL	JNL PERSPECTIVE L	69,483.23	81.06	0.00	81.06	90.0	72.95
05/31/2018	MUELLER, ROMAYNE	JNL PERSPECTIVE L	55,726.79	46.44	0.00	46.44	90.0	41.80
05/31/2018	STARK, GLORIA	JNL PERSPECTIVE L	57,842.40	48.20	0.00	48.20	90.0	43.38
05/31/2018	GIBSON, ROBERT	JNL PERSPECTIVE L	283,261.93	236.05	0.00	236.05	90.0	212.45
05/31/2018	MCFADDEN, JAMES	JNL PERSPECTIVE L	30,581.55	25.48	0.00	25.48	90.0	22.93
05/31/2018	KELLOGG, MICHAEL	JNL PERSPECTIVE L	221,311.67	184.43	0.00	184.43	90.0	165.99
05/31/2018	BIRKHOLZ, GAY	JNL PERSPECTIVE L	110,500.66	92.08	0.00	92.08	90.0	82.87
05/31/2018	HORNACEK, JOSEPH	JNL PERSPECTIVE L	393,381.87	458.95	0.00	458.95	90.0	413.06
05/31/2018	ZUPFER, JO	JNL PERSPECTIVE L	87,172.17	72.64	0.00	72.64	90.0	65.38
05/31/2018	BECKER, JOHN	JNL PERSPECTIVE L	121,229.03	101.02	0.00	101.02	90.0	90.92
05/31/2018	BECKER, JOHN	JNL PERSPECTIVE L	150,085.75	125.07	0.00	125.07	90.0	112.56
05/31/2018	BAHR, JEFFREY	JNL PERSPECTIVE L	121,113.77	100.93	0.00	100.93	90.0	90.84
05/31/2018	STEPHENS, CAROL	JNL PERSPECTIVE L	562,375.30	468.65	0.00	468.65	90.0	421.79
05/31/2018	GLENNAN, DONALD	JNL PERSPECTIVE L	331,442.58	276.20	0.00	276.20	90.0	248.58
05/31/2018	CAPSTRAW, RONALD	JNL PERSPECTIVE L	369,966.51	308.31	0.00	308.31	90.0	277.48
05/31/2018	CAPSTRAW, RONALD &	JNL PERSPECTIVE L	214,104.69	178.42	0.00	178.42	90.0	160.58
05/31/2018	WELLMAN, JAMES	JNL PERSPECTIVE L	-54,018.52	-63.02	0.00	-63.02	90.0	-56.72
04/30/2018	FALBO, LEWIS	PRU AS APEX II	164,840.59	34.34	0.00	34.34	90.0	30.91
04/30/2018	FALBO, LEWIS	PRU AS APEX II	164,840.59	137.37	0.00	137.37	90.0	123.63
04/30/2018	FALBO, LEWIS	PRU AS APEX II	177,964.37	37.08	0.00	37.08	90.0	33.37
04/30/2018	FALBO, LEWIS	PRU AS APEX II	177,964.37	148.30	0.00	148.30	90.0	133.47
04/30/2018	HOOTEN, AUDREY	PRU AS APEX II	64,616.33	13.46	0.00	13.46	90.0	12.11
04/30/2018	HOOTEN, AUDREY	PRU AS APEX II	64,616.33	40.39	0.00	40.39	90.0	36.35

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
04/30/2018	DENNE, FRANCES	PRU AS APEX II	62,643.65	13.05	0.00	13.05	90.0	11.75
04/30/2018	DENNE, FRANCES	PRU AS APEX II	62,643.65	39.15	0.00	39.15	90.0	35.24
05/31/2018	FALBO, LEWIS	PRU AS APEX II	165,123.34	34.40	0.00	34.40	90.0	30.96
05/31/2018	FALBO, LEWIS	PRU AS APEX II	165,123.34	137.60	0.00	137.60	90.0	123.84
05/31/2018	FALBO, LEWIS	PRU AS APEX II	179,892.11	37.48	0.00	37.48	90.0	33.73
05/31/2018	FALBO, LEWIS	PRU AS APEX II	179,892.11	149.91	0.00	149.91	90.0	134.92
05/31/2018	HOOTEN, AUDREY	PRU AS APEX II	66,174.17	13.79	0.00	13.79	90.0	12.41
05/31/2018	HOOTEN, AUDREY	PRU AS APEX II	66,174.17	41.36	0.00	41.36	90.0	37.22
05/31/2018	DENNE, FRANCES	PRU AS APEX II	63,629.32	13.26	0.00	13.26	90.0	11.93
05/31/2018	DENNE, FRANCES	PRU AS APEX II	63,629.32	39.77	0.00	39.77	90.0	35.79
04/30/2018	OERTEL, CLIFFORD	PRU PREMIER RETIREMENT B	165,673.97	69.03	0.00	69.03	90.0	62.13
05/31/2018	OERTEL, CLIFFORD	PRU PREMIER RETIREMENT B	169,347.65	70.56	0.00	70.56	90.0	63.50
04/30/2018	JENSEN, DANIEL	PRU PREMIER RETIREMENT L	3,663.03	3.05	0.00	3.05	90.0	2.75
05/31/2018	JENSEN, DANIEL	PRU PREMIER RETIREMENT L	3,741.36	3.12	0.00	3.12	90.0	2.81
06/03/2018	KIELPINSKI, ANTHONY	RELIASTAR LIFE PROTECTOR ELITE	125,173.70	125.17	0.00	125.17	90.0	112.65
06/07/2018	KIELPINSKI, ANTHONY	RELIASTAR LIFE PROTECTOR ELITE	5,000.00	50.00	0.00	50.00	90.0	45.00
06/05/2018	BABICKY, THOMAS	SECURITY LIFE OF DENVER VUL-DB	888.00	22.20	0.00	22.20	90.0	19.98
05/05/2018	RACK, JOAN	TRANSAMERICA LIBERTY	167,662.42	139.72	0.00	139.72	90.0	125.75
05/13/2018	SOENS, JAMES	TRANSAMERICA LIBERTY	105,913.76	88.26	0.00	88.26	90.0	79.43
05/13/2018	HARTGERINK, EDWARD	TRANSAMERICA LIBERTY	301,684.27	251.40	0.00	251.40	90.0	226.26
05/15/2018	FRAID, JEROME	TRANSAMERICA LIBERTY	87,537.58	72.95	0.00	72.95	90.0	65.66
05/17/2018	MANDLI, JODI	TRANSAMERICA LIBERTY	35,571.23	29.64	0.00	29.64	90.0	26.68
05/21/2018	LUDWIG, DEBORA	TRANSAMERICA LIBERTY	36,689.25	30.57	0.00	30.57	90.0	27.51
05/23/2018	STARK, GLORIA	TRANSAMERICA LIBERTY	37,814.26	31.51	0.00	31.51	90.0	28.36
05/23/2018	DENNE, THOMAS	TRANSAMERICA LIBERTY	38,358.72	31.97	0.00	31.97	90.0	28.77
05/23/2018	ZUPEK TRUST	TRANSAMERICA LIBERTY	1,124.71	0.94	0.00	0.94	90.0	0.85
05/23/2018	ZUPEK TRUST	TRANSAMERICA LIBERTY	1,257.50	1.05	0.00	1.05	90.0	0.95
05/24/2018	HAMILTON, RONALD	TRANSAMERICA LIBERTY	197,506.93	164.59	0.00	164.59	90.0	148.13
05/24/2018	KASDORF, LINDA	TRANSAMERICA LIBERTY	67,536.04	56.28	0.00	56.28	90.0	50.65
05/26/2018	WAYO, DARLENE	TRANSAMERICA LIBERTY	159,565.40	132.97	0.00	132.97	90.0	119.67
05/27/2018	KIELPINSKI, ANTHONY	TRANSAMERICA LIBERTY	197,332.05	164.44	0.00	164.44	90.0	148.00
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$14,301,576.85</b>	<b>\$12,932.26</b>	<b>\$0.00</b>	<b>\$12,932.26</b>		<b>\$11,639.08</b>

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	GRIFFITH, SARA	AM FUNDS AMCAP A	0.00	30.31	0.00	30.31	90.0	27.28
06/01/2018	RUSCH, JACOB	AM FUNDS AMCAP A	0.00	13.03	0.00	13.03	90.0	11.73
06/01/2018	DENNE, THOMAS	AM FUNDS AMERICAN BALANCED 529A	0.00	1.59	0.00	1.59	90.0	1.43
06/01/2018	BERNHARDT, CONSTANCE	AM FUNDS AMERICAN BALANCED A	0.00	1.98	0.00	1.98	90.0	1.78
06/01/2018	ROBERTSON, MARTIN	AM FUNDS AMERICAN BALANCED A	0.00	18.24	0.00	18.24	90.0	16.42
06/01/2018	NICOLAZZI, JOYCE	AM FUNDS AMERICAN MUTUAL 529A	0.00	7.80	0.00	7.80	90.0	7.02
06/01/2018	NICOLAZZI, JOYCE	AM FUNDS AMERICAN MUTUAL 529A	0.00	7.35	0.00	7.35	90.0	6.62
06/01/2018	NICOLAZZI, JOYCE	AM FUNDS AMERICAN MUTUAL 529A	0.00	7.26	0.00	7.26	90.0	6.53
06/01/2018	NICOLAZZI, JOYCE	AM FUNDS AMERICAN MUTUAL 529A	0.00	7.26	0.00	7.26	90.0	6.53
06/01/2018	HARTGERINK, EDWARD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	1.63	0.00	1.63	90.0	1.47
06/01/2018	RUSCH, JACOB	AM FUNDS BOND FUND OF AMERICA 529A	0.00	7.79	0.00	7.79	90.0	7.01
06/01/2018	SHUSTEK, PEGGY	AM FUNDS BOND FUND OF AMERICA 529A	0.00	16.40	0.00	16.40	90.0	14.76
06/01/2018	GREIG, AMY	AM FUNDS BOND FUND OF AMERICA 529C	0.00	21.66	0.00	21.66	90.0	19.49
06/01/2018	GREIG, AMY	AM FUNDS BOND FUND OF AMERICA 529C	0.00	19.05	0.00	19.05	90.0	17.15
06/01/2018	KRIEDERMANN, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.98	0.00	6.98	90.0	6.28
06/01/2018	NICOLAZZI, JOYCE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	8.24	0.00	8.24	90.0	7.42
06/01/2018	HORNACEK, JOSEPH	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	12.38	0.00	12.38	90.0	11.14
06/01/2018	NICOLAZZI, JOYCE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	7.76	0.00	7.76	90.0	6.98
06/01/2018	NICOLAZZI, JOYCE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	7.66	0.00	7.66	90.0	6.89
06/01/2018	HARTGERINK, EDWARD	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	1.91	0.00	1.91	90.0	1.72
06/01/2018	NICOLAZZI, JOYCE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	7.66	0.00	7.66	90.0	6.89
06/01/2018	SHUSTEK, PEGGY	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	4.78	0.00	4.78	90.0	4.30
06/01/2018	GREIG, AMY	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	6.89	0.00	6.89	90.0	6.20
06/01/2018	POVKOVICH, DOROTHY	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	21.76	0.00	21.76	90.0	19.58
06/01/2018	NICOLAZZI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	8.70	0.00	8.70	90.0	7.83
06/01/2018	HORNACEK, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	13.07	0.00	13.07	90.0	11.76
06/01/2018	NICOLAZZI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	8.19	0.00	8.19	90.0	7.37
06/01/2018	NICOLAZZI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	8.09	0.00	8.09	90.0	7.28
06/01/2018	HARTGERINK, EDWARD	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.01	0.00	2.01	90.0	1.81
06/01/2018	RUGH, BARBARA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.11	0.00	3.11	90.0	2.80
06/01/2018	NICOLAZZI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	8.09	0.00	8.09	90.0	7.28
06/01/2018	DENNE, THOMAS	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.94	0.00	2.94	90.0	2.65
06/01/2018	SHUSTEK, PEGGY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	5.05	0.00	5.05	90.0	4.55

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
06/01/2018	POVKOVICH, DOROTHY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	22.97	0.00	22.97	90.0	20.67
06/01/2018	KRIEDERMANN, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.66	0.00	10.66	90.0	9.59
06/01/2018	GRIFFITH, SARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	30.55	0.00	30.55	90.0	27.50
06/01/2018	BERNHARDT, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.19	0.00	4.19	90.0	3.77
06/01/2018	RUSCH, JACOB	AM FUNDS GROWTH FUND OF AMERICA A	0.00	13.32	0.00	13.32	90.0	11.99
06/01/2018	SHUSTEK, PEGGY	AM FUNDS GROWTH PORT 529A	0.00	4.95	0.00	4.95	90.0	4.46
06/01/2018	DENNE, THOMAS	AM FUNDS ICA 529A	0.00	1.41	0.00	1.41	90.0	1.27
06/01/2018	BERNHARDT, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.94	0.00	1.94	90.0	1.75
06/01/2018	RUSCH, JACOB	AM FUNDS INCOME FUND OF AMERICA A	0.00	11.58	0.00	11.58	90.0	10.42
06/01/2018	HORNACEK, JOSEPH	AM FUNDS NEW ECONOMY 529A	0.00	14.04	0.00	14.04	90.0	12.64
06/01/2018	GRIFFITH, SARA	AM FUNDS NEW PERSPECTIVE A	0.00	25.70	0.00	25.70	90.0	23.13
06/01/2018	GRIFFITH, SARA	AM FUNDS SMALLCAP WORLD A	0.00	25.39	0.00	25.39	90.0	22.85
06/01/2018	BERNHARDT, ROBERT	AM FUNDS SMALLCAP WORLD A	0.00	2.84	0.00	2.84	90.0	2.56
06/01/2018	NICOLAZZI, JOYCE	AM FUNDS SMALLCAP WORLD-529A	0.00	2.84	0.00	2.84	90.0	2.56
06/01/2018	HORNACEK, JOSEPH	AM FUNDS SMALLCAP WORLD-529A	0.00	4.27	0.00	4.27	90.0	3.84
06/01/2018	NICOLAZZI, JOYCE	AM FUNDS SMALLCAP WORLD-529A	0.00	2.68	0.00	2.68	90.0	2.41
06/01/2018	NICOLAZZI, JOYCE	AM FUNDS SMALLCAP WORLD-529A	0.00	2.64	0.00	2.64	90.0	2.38
06/01/2018	HARTGERINK, EDWARD	AM FUNDS SMALLCAP WORLD-529A	0.00	0.44	0.00	0.44	90.0	0.40
06/01/2018	NICOLAZZI, JOYCE	AM FUNDS SMALLCAP WORLD-529A	0.00	2.64	0.00	2.64	90.0	2.38
06/01/2018	BERNHARDT, ROBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.38	0.00	5.38	90.0	4.84
06/01/2018	RUSCH, JACOB	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	12.35	0.00	12.35	90.0	11.12
06/01/2018	RUGH, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.88	0.00	2.88	90.0	2.59
06/01/2018	SHUSTEK, PEGGY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.68	0.00	4.68	90.0	4.21
06/01/2018	GREIG, AMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.75	0.00	6.75	90.0	6.08
06/01/2018	POVKOVICH, DOROTHY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	21.31	0.00	21.31	90.0	19.18
06/01/2018	GREIG, AMY	GROWTH PORTFOLIO-529C	0.00	6.10	0.00	6.10	90.0	5.49
06/01/2018	POVKOVICH, DOROTHY	GROWTH PORTFOLIO-529C	0.00	22.49	0.00	22.49	90.0	20.24
05/30/2018	SIMMONS, JERRY	JP MORGAN INVESTOR GROWTH & INCOME	0.00	5.66	0.00	5.66	90.0	5.09
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$579.27</b>	<b>\$0.00</b>	<b>\$579.27</b>		<b>\$521.36</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
05/24/2018	RUFFOLO, BENNY	AM GEN POLARIS CHOICE III	0.00	611.96	0.00	611.96	90.0	550.76
05/30/2018	MILES, FRANK	DELAWARE LIFE TRAILS	126,653.62	158.32	0.00	158.32	90.0	142.49
05/18/2018	TORNER, LYNN	ING LANDMARK	125,455.86	313.64	0.00	313.64	90.0	282.28

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
06/06/2018	RACK, JOAN	ING LANDMARK	282,705.29	706.76	0.00	706.76	90.0	636.08
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			<b>\$534,814.77</b>	<b>\$1,790.68</b>	<b>\$0.00</b>	<b>\$1,790.68</b>		<b>\$1,611.61</b>
*** DIRECT TRANSACTIONS TOTAL ***			<b>\$14,836,516.62</b>	<b>\$15,307.51</b>	<b>\$0.00</b>	<b>\$15,307.51</b>		<b>\$13,776.80</b>

**SPLIT TRANSACTIONS****BROKER: R143-RICHARD/DAVE RODRIGUEZ/ZUPEK #404**

06/12/2018	WALLACH, PENNY	HPI REAL ESTATE FUND VI LLC	50,000.00	1,500.00	0.00	1,500.00	90.0	1,350.00
* R143-RICHARD/DAVE RODRIGUEZ/ZUPEK SUBTOTAL *			<b>\$50,000.00</b>	<b>\$1,500.00</b>	<b>\$0.00</b>	<b>\$1,500.00</b>		<b>\$1,350.00</b>
*** SPLIT TRANSACTIONS TOTAL ***			<b>\$50,000.00</b>	<b>\$1,500.00</b>	<b>\$0.00</b>	<b>\$1,500.00</b>		<b>\$1,350.00</b>

**OVERRIDE TRANSACTIONS****BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #10**

/ /	WIKAR, HALINA	AGGRESSIVE AGE BASED 0 - 02 - A	0.00	3.00	0.00	3.00	0.0	2.70
06/05/2018	CHOWANIEC, WOJCIECH	MFS GROWTH ALLOCATION 529C	200.00	2.00	0.00	2.00	0.0	1.80
06/01/2018	ZAREBA, NATALIA	MFS GROWTH ALLOCATION FUND -529B	50.00	2.00	0.00	2.00	0.0	1.80
* MARK-H122 HUBER SUBTOTAL *			<b>\$250.00</b>	<b>\$7.00</b>	<b>\$0.00</b>	<b>\$7.00</b>		<b>\$6.30</b>

**BROKER: MARK-H122 HUBER #89**

06/01/2018	BECKER, KARINA	MFS BLENDED RESEARCH CORE C	180.00	1.80	0.00	1.80	0.0	1.62
06/04/2018	KRELLER, KRISTYNA	MFS BLENDED RESEARCH CORE C	40.00	0.40	0.00	0.40	0.0	0.36
06/01/2018	BECKER, KARINA	MFS DIVERSIFIED INCOME FUND C	180.00	1.80	0.00	1.80	0.0	1.62
06/01/2018	ZAREBA, GRZEGORZ	MFS EMERGING MARKETS DEBT FUND B	50.00	2.00	0.00	2.00	0.0	1.80
06/04/2018	KRELLER, KRISTYNA	MFS EMERGING MKTS DEBT FUND C	40.00	0.40	0.00	0.40	0.0	0.36
06/01/2018	WALUSZKO, SYLWIA	MFS MODERATE ALLOCATION C	20.00	0.20	0.00	0.20	0.0	0.18
06/04/2018	KRELLER, KRISTYNA	MFS MODERATE ALLOCATION C	40.00	0.40	0.00	0.40	0.0	0.36
06/04/2018	KRELLER, KRISTYNA	MFS RESEARCH BOND FUND C	40.00	0.40	0.00	0.40	0.0	0.36
06/01/2018	ZAREBA, GRZEGORZ	MFS UTILITIES B	50.00	2.00	0.00	2.00	0.0	1.80
06/01/2018	BECKER, KARINA	MFS UTILITIES C	180.00	1.80	0.00	1.80	0.0	1.62
06/01/2018	WALUSZKO, SYLWIA	MFS UTILITIES C	20.00	0.20	0.00	0.20	0.0	0.18
06/04/2018	KRELLER, KRISTYNA	MFS UTILITIES C	40.00	0.40	0.00	0.40	0.0	0.36
06/01/2018	WALUSZKO, SYLWIA	MFS VALUE C	20.00	0.20	0.00	0.20	0.0	0.18

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90</u></b>								
		<b>* MARK-H122 HUBER SUBTOTAL *</b>	<b>\$900.00</b>	<b>\$12.00</b>	<b>\$0.00</b>	<b>\$12.00</b>		<b>\$10.80</b>
<b><u>BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90</u></b>								
05/31/2018	WOLF, XANDER	ALGER SPECTRA A	0.00	1.05	0.00	1.05	0.0	0.95
05/31/2018	WOLF, AADRA	ALGER SPECTRA A	0.00	1.05	0.00	1.05	0.0	0.95
05/10/2018	WOLF, XANDER	ALLIANCEBERNSTEIN EQUITY INCOME A	0.00	1.07	0.00	1.07	0.0	0.96
05/10/2018	WOLF, AADRA	ALLIANCEBERNSTEIN EQUITY INCOME A	0.00	1.20	0.00	1.20	0.0	1.08
05/15/2018	BATIO, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	0.53	0.00	0.53	0.0	0.48
05/15/2018	BATIO, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.41	0.00	0.41	0.0	0.37
05/15/2018	BATIO, KAREN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.64	0.00	0.64	0.0	0.58
05/15/2018	BATIO, KAREN	AM FUNDS ICA A	0.00	0.60	0.00	0.60	0.0	0.54
05/15/2018	BATIO, KAREN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.49	0.00	0.49	0.0	0.44
05/17/2018	WOLF, XANDER	AMERICAN CENTURY REAL ESTATE FUND C	0.00	0.47	0.00	0.47	0.0	0.42
05/17/2018	WOLF, AADRA	AMERICAN CENTURY REAL ESTATE FUND C	0.00	0.47	0.00	0.47	0.0	0.42
05/16/2018	WOLF, XANDER	BLACKROCK LARGE CAP GROWTH FUND CLA	0.00	1.33	0.00	1.33	0.0	1.20
05/16/2018	WOLF, AADRA	BLACKROCK LARGE CAP GROWTH FUND CLA	0.00	1.50	0.00	1.50	0.0	1.35
05/16/2018	WOLF, XANDER	BLK HEALTH SCIENCES A	0.00	0.93	0.00	0.93	0.0	0.84
05/16/2018	WOLF, AADRA	BLK HEALTH SCIENCES A	0.00	0.93	0.00	0.93	0.0	0.84
05/23/2018	FERN, SARAH	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.74	0.00	5.74	0.0	5.17
05/23/2018	TRIPP, MAXX	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	10.97	0.00	10.97	0.0	9.87
05/23/2018	TRIPP, ABBY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	12.28	0.00	12.28	0.0	11.05
05/23/2018	WOLF, XANDER	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.28	0.00	4.28	0.0	3.85
05/23/2018	WOLF, AADRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.28	0.00	4.28	0.0	3.85
05/17/2018	UDCHIK, DAVID	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.78	0.00	2.78	0.0	2.50
05/31/2018	WOLF, XANDER	RYDEX TRANSPORTATION FUND CLASS A	0.00	0.57	0.00	0.57	0.0	0.51
05/31/2018	WOLF, AADRA	RYDEX TRANSPORTATION FUND CLASS A	0.00	0.57	0.00	0.57	0.0	0.51
		<b>* R122-RICHARD/MARK RODRIGUEZ/HUBER SUBTOTAL *</b>	<b>\$0.00</b>	<b>\$54.14</b>	<b>\$0.00</b>	<b>\$54.14</b>		<b>\$48.73</b>
<b><u>BROKER: MICHAEL VENTURINI #127</u></b>								
05/31/2018	CAMPOLO, JON	JNL PERSPECTIVE L	20,214.00	16.85	0.00	16.85	0.0	15.17
05/31/2018	CAMPOLO, JUDITH	JNL PERSPECTIVE L	16,238.27	13.54	0.00	13.54	0.0	12.19
		<b>* MICHAEL VENTURINI SUBTOTAL *</b>	<b>\$36,452.27</b>	<b>\$30.39</b>	<b>\$0.00</b>	<b>\$30.39</b>		<b>\$27.36</b>

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: DAVE/MIKE ZUPEK/VENTURINI #129 </u></b>								
06/01/2018	FROSCH, TRACEY	529 AMCAP FUND CLASS A	0.00	4.07	0.00	4.07	0.0	3.66
06/01/2018	FROSCH, TRACEY	529 AMCAP FUND CLASS A	0.00	3.32	0.00	3.32	0.0	2.99
06/01/2018	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	0.00	0.35	0.00	0.35	0.0	0.32
06/01/2018	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	0.00	12.34	0.00	12.34	0.0	11.11
06/01/2018	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	10.89	0.00	10.89	0.0	9.80
06/01/2018	VASSH, LYNN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.21	0.00	0.21	0.0	0.19
06/01/2018	VASSH, LYNN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.22	0.00	0.22	0.0	0.20
06/01/2018	FROSCH, TRACEY	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	4.91	0.00	4.91	0.0	4.42
06/01/2018	FROSCH, TRACEY	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	4.01	0.00	4.01	0.0	3.61
06/01/2018	VASSH, LYNN	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	3.21	0.00	3.21	0.0	2.89
06/01/2018	THOMPSON, TERRY	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	0.40	0.00	0.40	0.0	0.36
06/01/2018	FROSCH, TRACEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	5.18	0.00	5.18	0.0	4.66
06/01/2018	FROSCH, TRACEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.39	0.00	3.39	0.0	3.05
06/01/2018	VASSH, LYNN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	3.26	0.00	3.26	0.0	2.93
06/01/2018	THOMPSON, TERRY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	0.42	0.00	0.42	0.0	0.38
06/01/2018	FROSCH, TRACEY	AM FUNDS SMALLCAP WORLD-529A	0.00	2.04	0.00	2.04	0.0	1.84
06/01/2018	FROSCH, TRACEY	AM FUNDS SMALLCAP WORLD-529A	0.00	1.67	0.00	1.67	0.0	1.50
06/01/2018	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.30	0.00	0.30	0.0	0.27
06/01/2018	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.04	0.00	3.04	0.0	2.74
06/01/2018	THOMPSON, TERRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.38	0.00	0.38	0.0	0.34
06/01/2018	FROSCH, TRACEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.87	0.00	3.87	0.0	3.48
06/01/2018	FROSCH, TRACEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.93	0.00	3.93	0.0	3.54
* DAVE/MIKE ZUPEK/VENTURINI SUBTOTAL *			\$0.00	\$71.41	\$0.00	\$71.41		\$64.28
<b><u> BROKER: DAN/DAVE JENSEN/ZUPEK #136 </u></b>								
06/01/2018	DJURICH, JOYCE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.07	0.00	6.07	0.0	5.46
06/01/2018	DJURICH, JOYCE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	9.24	0.00	9.24	0.0	8.32
06/01/2018	BEAUGRAND, TAMI	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.29	0.00	11.29	0.0	10.16
* DAN/DAVE JENSEN/ZUPEK SUBTOTAL *			\$0.00	\$26.60	\$0.00	\$26.60		\$23.94
<b><u> BROKER: WENDY GOODING #158 </u></b>								
05/30/2018	SCOTT, NATALIE	JP MORGAN INVESTOR GROWTH & INCOME	0.00	10.12	0.00	10.12	0.0	3.54
* WENDY GOODING SUBTOTAL *			\$0.00	\$10.12	\$0.00	\$10.12		\$3.54

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u>BROKER: R133-MARK/DAVE HUBER/ZUPEK #269</u></b>								
06/01/2018	WEBER, SANDRA	AM FUNDS 2020 TARGET DATE-A	0.00	1.61	0.00	1.61	0.0	1.45
06/01/2018	HEFT, SAMANTHA	AM FUNDS 2035 TARGET DATE-A	0.00	3.37	0.00	3.37	0.0	3.03
06/01/2018	CLINGERMAN, JENNIFER	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.59	0.00	0.59	0.0	0.53
06/01/2018	CLINGERMAN, JENNIFER	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.19	0.00	0.19	0.0	0.17
06/05/2018	CLINGERMAN, JENNIFER	AM FUNDS FUNDAMENTAL INVESTORS A	50.00	2.50	0.00	2.50	0.0	2.25
06/01/2018	CLINGERMAN, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.54	0.00	0.54	0.0	0.49
06/01/2018	CLINGERMAN, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.19	0.00	0.19	0.0	0.17
06/01/2018	CLINGERMAN, JENNIFER	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.52	0.00	0.52	0.0	0.47
06/01/2018	CLINGERMAN, JENNIFER	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.19	0.00	0.19	0.0	0.17
06/01/2018	CLINGERMAN, JENNIFER	AM FUNDS INTL GROWTH AND INCOME A	0.00	0.32	0.00	0.32	0.0	0.29
06/01/2018	CLINGERMAN, JENNIFER	AM FUNDS INTL GROWTH AND INCOME A	0.00	0.19	0.00	0.19	0.0	0.17
06/01/2018	CLINGERMAN, JENNIFER	AMERICAN FUND EMERGING MARKETS BOND	0.00	0.22	0.00	0.22	0.0	0.20
06/01/2018	MUNOZ, EMMANUEL	AMERICAN FUNDS 2060 TARGET DATE A	0.00	0.64	0.00	0.64	0.0	0.58
05/23/2018	SHAYKIN, STEVEN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	13.06	0.00	13.06	0.0	11.75
05/23/2018	HAMILTON, RANDALL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.38	0.00	2.38	0.0	2.14
05/23/2018	HAMILTON, RANDALL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.16	0.00	2.16	0.0	1.94
05/23/2018	WHEELER, THOMAS	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.70	0.00	1.70	0.0	1.53
05/23/2018	WHEELER, MARSHA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.61	0.00	1.61	0.0	1.45
<b>* R133-MARK/DAVE HUBER/ZUPEK SUBTOTAL *</b>			<b>\$50.00</b>	<b>\$31.98</b>	<b>\$0.00</b>	<b>\$31.98</b>		<b>\$28.78</b>
<b><u>BROKER: MARK-H150 HUBER #396</u></b>								
06/01/2018	KHOURI DDS PC 401K, RHONDA	AM FUNDS 2025 TARGET DATE R2	0.00	116.11	0.00	116.11	0.0	104.50
06/01/2018	KHOURI DDS PC 401K, RHONDA	AM FUNDS CAPITAL WORLD BOND R2	0.00	64.27	0.00	64.27	0.0	57.84
06/01/2018	KHOURI DDS PC 401K, RHONDA	AM FUNDS FUNDAMENTAL INVESTORS-R2	0.00	203.78	0.00	203.78	0.0	183.40
06/01/2018	KHOURI DDS PC 401K, RHONDA	AM FUNDS GROWTH FUND OF AMERICA R2	0.00	164.50	0.00	164.50	0.0	148.05
06/01/2018	KHOURI DDS PC 401K, RHONDA	AM FUNDS INCOME FUND OF AMERICA-R2	0.00	149.23	0.00	149.23	0.0	134.31
04/17/2018	EBERLE, LINDA	LBL VUL	-16,500.00	-14,025.01	0.00	-14,025.01	0.0	-12,622.50
04/17/2018	EBERLE, PHILLIP	LBL VUL	-13,212.00	-11,230.20	0.00	-11,230.20	0.0	-10,107.20
04/24/2018	ARNETT, DANIEL	LBL VUL	6,823.08	5,799.62	0.00	5,799.62	0.0	5,219.66
04/24/2018	ARNETT, DANIEL	LBL VUL	20,653.22	516.33	0.00	516.33	0.0	464.70
04/24/2018	ARNETT, DANIEL	LBL VUL	408.00	10.20	0.00	10.20	0.0	9.18
04/24/2018	ARNETT, DANIEL	LBL VUL	408.00	10.20	0.00	10.20	0.0	9.18
05/02/2018	NARDINI, BARBARA	LBL VUL	15,438.45	13,122.69	0.00	13,122.69	0.0	11,810.42
05/02/2018	NARDINI, BARBARA	LBL VUL	93,708.83	2,342.72	0.00	2,342.72	0.0	2,108.45

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>VERRIDE TRANSACTIONS</u></b>								
<b><u>BROKER: MARK-H150 HUBER #396</u></b>								
05/02/2018	NARDINI, BARBARA	LBL VUL	13,736.39	343.41	0.00	343.41	0.0	309.07
05/02/2018	NARDINI, BARBARA	LBL VUL	928.01	788.81	0.00	788.81	0.0	709.93
05/02/2018	NARDINI, BARBARA	LBL VUL	5,632.87	140.82	0.00	140.82	0.0	126.74
05/02/2018	NARDINI, BARBARA	LBL VUL	825.70	20.65	0.00	20.65	0.0	18.59
05/07/2018	ARNETT, DANIEL	LBL VUL	408.00	10.20	0.00	10.20	0.0	9.18
06/06/2018	ARNETT, DANIEL	LINCOLN LIFE	408.00	10.20	0.00	10.20	0.0	9.18
06/01/2018	KHOURI DDS PC 401K, RHONDA	U.S. GOVERNMENT SECURITIES FUND-R2	0.00	60.31	0.00	60.31	0.0	54.28
* MARK-H150 HUBER SUBTOTAL *			<b>\$129,666.55</b>	<b>\$-1,381.16</b>	<b>\$0.00</b>	<b>\$-1,381.16</b>		<b>\$-1,243.04</b>
*** OVERRIDE TRANSACTIONS TOTAL ***			<b>\$167,318.82</b>	<b>\$-1,137.52</b>	<b>\$0.00</b>	<b>\$-1,137.52</b>		<b>\$-1,029.31</b>

**ADJUSTMENTS**

06/15/2018	*AI INSIGHT: AI INSIGHT	0.00	-7.00
06/15/2018	*AI INSIGHT: AI INSIGHT - MARK	0.00	-7.00
06/15/2018	*ALBRIDGE: FULL USER - DAVID	0.00	-88.00
06/15/2018	*ALBRIDGE: FULL USER - MARK	0.00	-88.00
06/15/2018	*E & O INSURANCE: CURRENT MONTH INS	0.00	-300.00
06/15/2018	*E & O INSURANCE: CURRENT MONTH INS-MARK	0.00	-300.00
06/15/2018	*GLOBAL RELAY: FACEBOOK - DAVID	0.00	-2.50
06/15/2018	*GLOBAL RELAY: FACEBOOK - MARK	0.00	-2.50
06/15/2018	*GLOBAL RELAY: WEBSITE - DAVID	0.00	-7.50
06/15/2018	*GLOBAL RELAY: WEBSITE - MARK	0.00	-7.50
06/15/2018	*LASER APP: ANNUAL RENEWAL 4/6/18-3/27/19	0.00	-457.42
06/15/2018	*LASER APP: LASER APP - MARK	0.00	-18.00
06/15/2018	*LASER APP: LASERAPP - DAVID	0.00	-18.00
06/15/2018	*MARKETING PRO: MARKETING PRO - DAVID	0.00	-10.00
06/15/2018	*MARKETING PRO: STANDARD - MARK	0.00	-10.00
06/15/2018	*PERSHING: ADDRESS VERIFICATION LETTERS - PNW018919	0.00	-1.50
06/15/2018	*PERSHING: BOOKS & RECORDS	0.00	-1.50

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\*\* - Indicates adjustment is not included in Totals or Commissions

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
06/15/2018		*TECH: ADMIN - L JOCIUS	0.00					-130.00
06/15/2018		*TECH: ADMIN - RON HAMILTON	0.00					-60.00
06/15/2018		*TECHNOLOGY: ADMIN - AMANDA MOON	0.00					-130.00
06/15/2018		*TECHNOLOGY: BASIC - DAVID	0.00					-180.00
06/15/2018		*TECHNOLOGY: BASIC-MARK	0.00					-180.00
<b>*** ADJUSTMENTS TOTAL ***</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$-2,006.42</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$13,776.80
Split Transactions	\$1,350.00
Override Transactions	\$-1,029.30
Adjustments	\$-2,006.42
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$12,091.08</b>
<b>Year-to-date Gross</b>	<b>\$187,832.67</b>
<b>Year-to-date Earnings</b>	<b>\$276,454.55</b>

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\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed