



COMMISSION STATEMENT

August 06, 2018

STEPHEN CARVER
1921 W Willow Knolls Dr Ste C
Peoria, IL 61614

BROKER #: B15
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/31/2018	***-**-141I - ROHMAN, ARTHUR	CALVERT GLOBAL WATER FUND CLASS A	21,030.23	0.00	18.00	-18.00	100.0	-18.00
	ACCOUNT #: LSR012574	SELL 1035 Units @20.3100						
		* MUTUAL FUNDS SUBTOTAL *	\$21,030.23	\$0.00	\$18.00	\$-18.00		\$-18.00
<u>PRODUCT CATEGORY: STOCKS</u>								
07/31/2018	***-**-41IR - ROHMAN, ARTHUR	AMAZON.COM INC UNSOLICITED ORDER	17,860.50	250.00	18.00	232.00	85.0	197.20
	ACCOUNT #: LSR012575	SELL 10 Units @1786.0500						
07/31/2018	***-**-141I - ROHMAN, ARTHUR	CATERPILLAR INC	28,522.00	350.00	19.00	331.00	85.0	281.35
	ACCOUNT #: LSR012574	BUY 200 Units @142.6100						
07/31/2018	***-**-41IR - ROHMAN, ARTHUR	CATERPILLAR INC	28,588.80	350.00	19.00	331.00	85.0	281.35
	ACCOUNT #: LSR012575	BUY 200 Units @142.9440						
08/01/2018	***-**-141I - ROHMAN, ARTHUR	CATERPILLAR INC	13,887.00	150.00	17.00	133.00	85.0	113.05
	ACCOUNT #: LSR012574	BUY 100 Units @138.8700						
08/01/2018	***-**-879X - WELCH, KELLY	CATERPILLAR INC	13,865.20	200.00	17.00	183.00	85.0	155.55
	ACCOUNT #: LSR012710	BUY 100 Units @138.6520						
07/31/2018	***-**-85IR - LINCOLN, LEROY	CLEVELAND CLIFFS INC COM	9,639.00	180.00	33.00	147.00	85.0	124.95
	ACCOUNT #: LSR012773	BUY 900 Units @10.7100						
07/31/2018	***-**-85IR - LINCOLN, LEROY	CLEVELAND CLIFFS INC COM	1,071.50	20.00	2.00	18.00	85.0	15.30
	ACCOUNT #: LSR012773	BUY 100 Units @10.7150						
07/31/2018	***-**-141I - ROHMAN, ARTHUR	DEERE & CO	30,782.62	450.00	19.28	430.72	85.0	366.11
	ACCOUNT #: LSR012574	SELL 214 Units @143.8440						
07/31/2018	***-**-85IR - LINCOLN, LEROY	NCI BUILDING SYS INC COM NEW	15,970.00	250.00	35.00	215.00	85.0	182.75
	ACCOUNT #: LSR012773	BUY 1000 Units @15.9700						
07/31/2018	***-**-85IR - LINCOLN, LEROY	ORION GROUP HOLDINGS INC COM	911.00	15.00	17.00	-2.00	100.0	-2.00
	ACCOUNT #: LSR012773	BUY 100 Units @9.1100						
07/31/2018	***-**-85IR - LINCOLN, LEROY	ORION GROUP HOLDINGS INC COM	911.00	15.00	2.00	13.00	85.0	11.05
	ACCOUNT #: LSR012773	BUY 100 Units @9.1100						
07/31/2018	***-**-85IR - LINCOLN, LEROY	ORION GROUP HOLDINGS INC COM	909.00	15.00	2.00	13.00	85.0	11.05
	ACCOUNT #: LSR012773	BUY 100 Units @9.0900						
07/31/2018	***-**-85IR - LINCOLN, LEROY	ORION GROUP HOLDINGS INC COM	909.00	15.00	2.00	13.00	85.0	11.05
	ACCOUNT #: LSR012773	BUY 100 Units @9.0900						
07/31/2018	***-**-85IR - LINCOLN, LEROY	ORION GROUP HOLDINGS INC COM	911.00	15.00	2.00	13.00	85.0	11.05
	ACCOUNT #: LSR012773	BUY 100 Units @9.1100						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
07/31/2018	***-**-85IR - LINCOLN, LEROY ACCOUNT #: LSR012773	ORION GROUP HOLDINGS INC COM BUY 100 Units @9.1100	911.00	15.00	2.00	13.00	85.0	11.05
07/31/2018	***-**-85IR - LINCOLN, LEROY ACCOUNT #: LSR012773	ORION GROUP HOLDINGS INC COM BUY 100 Units @9.0950	909.50	15.00	2.00	13.00	85.0	11.05
07/31/2018	***-**-85IR - LINCOLN, LEROY ACCOUNT #: LSR012773	ORION GROUP HOLDINGS INC COM BUY 100 Units @9.1100	911.00	15.00	2.00	13.00	85.0	11.05
07/31/2018	***-**-85IR - LINCOLN, LEROY ACCOUNT #: LSR012773	ORION GROUP HOLDINGS INC COM BUY 100 Units @9.0900	909.00	15.00	2.00	13.00	85.0	11.05
07/31/2018	***-**-85IR - LINCOLN, LEROY ACCOUNT #: LSR012773	ORION GROUP HOLDINGS INC COM BUY 100 Units @9.1000	910.00	15.00	2.00	13.00	85.0	11.05
07/31/2018	***-**-909I - BROWN, CHARLES ACCOUNT #: LSR012778	ORION GROUP HOLDINGS INC COM BUY 300 Units @9.1200	2,736.00	45.00	21.00	24.00	85.0	20.40
07/31/2018	***-**-909I - BROWN, CHARLES ACCOUNT #: LSR012778	ORION GROUP HOLDINGS INC COM BUY 100 Units @9.1200	912.00	15.00	2.00	13.00	85.0	11.05
07/31/2018	***-**-909I - BROWN, CHARLES ACCOUNT #: LSR012778	ORION GROUP HOLDINGS INC COM BUY 100 Units @9.1200	912.00	15.00	2.00	13.00	85.0	11.05
07/31/2018	***-**-909I - BROWN, CHARLES ACCOUNT #: LSR012778	ORION GROUP HOLDINGS INC COM BUY 100 Units @9.1200	912.00	15.00	2.00	13.00	85.0	11.05
07/31/2018	***-**-909I - BROWN, CHARLES ACCOUNT #: LSR012778	ORION GROUP HOLDINGS INC COM BUY 100 Units @9.1200	912.00	15.00	2.00	13.00	85.0	11.05
07/31/2018	***-**-909I - BROWN, CHARLES ACCOUNT #: LSR012778	ORION GROUP HOLDINGS INC COM BUY 100 Units @9.1200	912.00	15.00	2.00	13.00	85.0	11.05
07/31/2018	***-**-909I - BROWN, CHARLES ACCOUNT #: LSR012778	ORION GROUP HOLDINGS INC COM BUY 100 Units @9.1200	912.00	15.00	2.00	13.00	85.0	11.05
07/31/2018	***-**-909I - BROWN, CHARLES ACCOUNT #: LSR012778	ORION GROUP HOLDINGS INC COM BUY 100 Units @9.1200	912.00	15.00	2.00	13.00	85.0	11.05
08/01/2018	***-**-879X - WELCH, KELLY ACCOUNT #: LSR012710	ROSEHILL RES INC CL A BUY 100 Units @8.6000	860.00	12.50	17.00	-4.50	100.0	-4.50
08/01/2018	***-**-879X - WELCH, KELLY ACCOUNT #: LSR012710	ROSEHILL RES INC CL A BUY 175 Units @8.5600	1,498.00	21.88	3.50	18.38	85.0	15.62
08/01/2018	***-**-879X - WELCH, KELLY ACCOUNT #: LSR012710	ROSEHILL RES INC CL A BUY 100 Units @8.5600	856.00	12.50	2.00	10.50	85.0	8.93



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
08/01/2018	***-**-879X - WELCH, KELLY ACCOUNT #: LSR012710	ROSEHILL RES INC CL A BUY 125 Units @8.5600	1,070.00	15.63	2.50	13.13	85.0	11.16
08/01/2018	***-**-879X - WELCH, KELLY ACCOUNT #: LSR012710	ROSEHILL RES INC CL A BUY 100 Units @8.5600	856.00	12.50	2.00	10.50	85.0	8.93
08/01/2018	***-**-879X - WELCH, KELLY ACCOUNT #: LSR012710	ROSEHILL RES INC CL A BUY 1 Units @8.5600	8.56	0.13	0.02	0.11	85.0	0.09
08/01/2018	***-**-879X - WELCH, KELLY ACCOUNT #: LSR012710	ROSEHILL RES INC CL A BUY 155 Units @8.5600	1,326.80	19.38	3.10	16.28	85.0	13.84
08/01/2018	***-**-879X - WELCH, KELLY ACCOUNT #: LSR012710	ROSEHILL RES INC CL A BUY 41 Units @8.5600	350.96	5.13	0.82	4.31	85.0	3.66
08/01/2018	***-**-879X - WELCH, KELLY ACCOUNT #: LSR012710	ROSEHILL RES INC CL A BUY 100 Units @8.6300	863.00	12.50	2.00	10.50	85.0	8.93
08/01/2018	***-**-879X - WELCH, KELLY ACCOUNT #: LSR012710	ROSEHILL RES INC CL A BUY 120 Units @8.5600	1,027.20	15.00	2.40	12.60	85.0	10.71
08/01/2018	***-**-879X - WELCH, KELLY ACCOUNT #: LSR012710	ROSEHILL RES INC CL A BUY 202 Units @8.5600	1,729.12	25.25	4.04	21.21	85.0	18.03
08/01/2018	***-**-879X - WELCH, KELLY ACCOUNT #: LSR012710	ROSEHILL RES INC CL A BUY 100 Units @8.5600	856.00	12.50	2.00	10.50	85.0	8.93
08/01/2018	***-**-879X - WELCH, KELLY ACCOUNT #: LSR012710	ROSEHILL RES INC CL A BUY 181 Units @8.5600	1,549.36	22.63	3.62	19.01	85.0	16.16
08/01/2018	***-**-879X - WELCH, KELLY ACCOUNT #: LSR012710	ROSEHILL RES INC CL A BUY 338 Units @8.6500	2,923.70	42.25	6.76	35.49	85.0	30.17
08/01/2018	***-**-879X - WELCH, KELLY ACCOUNT #: LSR012710	ROSEHILL RES INC CL A BUY 62 Units @8.6300	535.06	7.75	1.24	6.51	85.0	5.53
08/01/2018	***-**-879X - WELCH, KELLY ACCOUNT #: LSR012710	ROSEHILL RES INC CL A BUY 100 Units @8.6300	863.00	12.50	2.00	10.50	85.0	8.93
07/31/2018	***-**-141I - ROHMAN, ARTHUR ACCOUNT #: LSR012574	SEAGATE TECHNOLOGY PLC COM USD0.000 SELL 500 Units @52.3860	26,193.00	350.00	25.00	325.00	85.0	276.25
07/31/2018	***-**-41IR - ROHMAN, ARTHUR ACCOUNT #: LSR012575	SEAGATE TECHNOLOGY PLC COM USD0.000 SELL 500 Units @52.4160	26,208.00	300.00	25.00	275.00	85.0	233.75
08/01/2018	***-**-324I - MCGHEE, TERRY ACCOUNT #: LSR012649	SELECT SECTOR SPDR TR CONSUMER DISC SELL 200 Units @110.7450	22,149.00	300.00	19.00	281.00	85.0	238.85



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: STOCKS (continued)								
08/01/2018	***-**-8358 - ROBERTS, CHRISTY	SPARK ENERGY INC CL A COM	3,390.80	77.88	23.00	54.88	85.0	46.65
	ACCOUNT #: LSR012795	SELL 400 Units @8.4770						
07/31/2018	***-**-141I - ROHMAN, ARTHUR	VISTRA ENERGY CORP COM	6,717.00	98.34	21.00	77.34	85.0	65.74
	ACCOUNT #: LSR012574	BUY 300 Units @22.3900						
* STOCKS SUBTOTAL *			\$280,238.68	\$3,876.25	\$417.28	\$3,458.97		\$2,939.17
PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS								
08/01/2018	***-**-8358 - ROBERTS, CHRISTY	AMERIGAS PARTNERS LP UTS RPSTG COM	8,580.20	150.00	24.00	126.00	85.0	107.10
	ACCOUNT #: LSR012795	SELL 200 Units @42.9010						
08/01/2018	***-**-825J - BARR, JANET	PLYMOUTH INDL REIT INC COM UNSOLICI	1,490.40	25.00	24.00	1.00	85.0	0.85
	ACCOUNT #: LSR012627	SELL 100 Units @14.9040						
08/01/2018	***-**-825J - BARR, JANET	PLYMOUTH INDL REIT INC COM UNSOLICI	1,490.40	25.00	0.00	25.00	85.0	21.25
	ACCOUNT #: LSR012627	SELL 100 Units @14.9040						
08/01/2018	***-**-825J - BARR, JANET	PLYMOUTH INDL REIT INC COM UNSOLICI	1,490.40	25.00	0.00	25.00	85.0	21.25
	ACCOUNT #: LSR012627	SELL 100 Units @14.9040						
08/01/2018	***-**-825J - BARR, JANET	PLYMOUTH INDL REIT INC COM UNSOLICI	1,490.00	25.00	0.00	25.00	85.0	21.25
	ACCOUNT #: LSR012627	SELL 100 Units @14.9000						
08/01/2018	***-**-825J - BARR, JANET	PLYMOUTH INDL REIT INC COM UNSOLICI	1,425.50	24.00	0.00	24.00	85.0	20.40
	ACCOUNT #: LSR012627	SELL 96 Units @14.8490						
08/01/2018	***-**-825J - BARR, JANET	PLYMOUTH INDL REIT INC COM UNSOLICI	1,490.00	25.00	0.00	25.00	85.0	21.25
	ACCOUNT #: LSR012627	SELL 100 Units @14.9000						
08/01/2018	***-**-825J - BARR, JANET	PLYMOUTH INDL REIT INC COM UNSOLICI	1,484.90	25.00	0.00	25.00	85.0	21.25
	ACCOUNT #: LSR012627	SELL 100 Units @14.8490						
08/01/2018	***-**-825J - BARR, JANET	PLYMOUTH INDL REIT INC COM UNSOLICI	4,498.90	76.00	0.00	76.00	85.0	64.60
	ACCOUNT #: LSR012627	SELL 304 Units @14.7990						
* UNIT INVESTMENT TRUSTS SUBTOTAL *			\$23,440.70	\$400.00	\$48.00	\$352.00		\$299.20
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
/ /	***-**-03TR - NEAL TRUST,	RESOURCE CREDIT INCOME FUND A	0.00	9.88	0.00	9.88	85.0	8.40
	ACCOUNT #: LSR012505	BUY 1 Units @0.0000						
/ /	***-**-47IN - HEINZ-OTTE,	RESOURCE CREDIT INCOME FUND A	0.00	12.41	0.00	12.41	85.0	10.55
	ACCOUNT #: LSR012535	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
/ /	***-**-825J - BARR, JANET	RESOURCE CREDIT INCOME FUND A	0.00	9.93	0.00	9.93	85.0	8.44
	ACCOUNT #: LSR012627	BUY 1 Units @0.0000						
/ /	***-**-03TR - NEAL TRUST,	RESOURCE REAL ESTATES-NFS	0.00	9.99	0.00	9.99	85.0	8.49
	ACCOUNT #: LSR012505	BUY 1 Units @0.0000						
/ /	***-**-47IN - HEINZ-OTTE,	RESOURCE REAL ESTATES-NFS	0.00	12.80	0.00	12.80	85.0	10.88
	ACCOUNT #: LSR012535	BUY 1 Units @0.0000						
/ /	***-**-825J - BARR, JANET	RESOURCE REAL ESTATES-NFS	0.00	10.28	0.00	10.28	85.0	8.74
	ACCOUNT #: LSR012627	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$65.29	\$0.00	\$65.29		\$55.50
*** BROKER TRANSACTIONS TOTAL ***			\$324,709.61	\$4,341.54	\$483.28	\$3,858.26		\$3,275.87

BROKER COMMISSION TOTALS

Broker Transactions	\$3,275.87
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$3,275.87
Year-to-date Earnings	\$118,141.61