



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**March 15, 2017**

03/14/2017  
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DOUGLAS NEVIN  
 600 Johnson Ave.  
 Suite B5  
 Bohemia, NY 11716

BROKER #: N118  
 BRANCH: ROBERT LUCHSINGER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/01/2017	GRIFFIN, DOUGLAS	AM FUNDS AMCAP A	0.00	146.48	0.00	146.48	90.0	131.83
03/01/2017	CHIAPPERINO, LORRAINE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	9.06	0.00	9.06	90.0	8.15
02/13/2017	CAPASSO, MICHAEL	PAC LIFE PORT OPT MODERATE	6,500.00	260.00	0.00	260.00	90.0	234.00
/ /	KNAACK, JOHN	RYDEX GUGG MID CAP VALUE A	0.00	4.26	0.00	4.26	90.0	3.83
02/27/2017	KNICHEL, JOHN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	25.00	1.25	0.00	1.25	90.0	1.13
03/09/2017	WESTRENEN, SUSAN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	1.11	0.00	1.11	90.0	1.00
03/09/2017	KNICHEL, JOHN	SUNAMERICA FOCUSED ALPHA LARGE CAP A	0.00	0.01	0.00	0.01	90.0	0.01
03/09/2017	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET A	0.00	10.18	0.00	10.18	90.0	9.16
03/09/2017	REILLY, MARGARET	SUNAMERICA FOCUSED MULTI ASSET B	0.00	3.29	0.00	3.29	90.0	2.96
03/09/2017	WESTRENEN, SUSAN	SUNAMERICA STRATEGIC VALUE PORTFOLI	0.00	1.22	0.00	1.22	90.0	1.10
* MUTUAL FUNDS SUBTOTAL *			\$6,525.00	\$436.86	\$0.00	\$436.86		\$393.17
<b><u>PRODUCT CATEGORY: STOCKS</u></b>								
03/07/2017	RISO, ANTHONY	ALLIANCEBERSTEIN GLOBAL HIGH INCOME	-7,393.38	22.00	22.87	-0.87	90.0	-0.78
03/07/2017	RISO, ANTHONY	BLACKROCK NEW YORK MUN BD TR COM	-7,100.15	22.00	22.75	-0.75	90.0	-0.68
03/07/2017	RISO, ANTHONY	CITIGROUP INC COM NEW ISIN#US172967	-2,403.28	22.00	22.50	-0.50	90.0	-0.45
03/07/2017	RISO, ANTHONY	HEALTHCARE REALTY TRUST INC	-7,884.15	22.00	22.50	-0.50	90.0	-0.45
03/07/2017	RISO, ANTHONY	HEALTHCARE TR AMER INC CL A NEW	-7,797.18	22.00	22.50	-0.50	90.0	-0.45
* STOCKS SUBTOTAL *			\$-32,578.14	\$110.00	\$113.12	\$-3.12		\$-2.81
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
02/28/2017	MANNETTA, VIRGINIA	JOHN HANCOCK LIFE INS CO	120,751.82	100.63	0.00	100.63	90.0	90.57
02/28/2017	PANZZIE, FRANK	JOHN HANCOCK LIFE INS	330,944.11	68.95	0.00	68.95	90.0	62.06
03/03/2017	GALLAS, MATTHEW	PAC LIFE INNOVATIVE SELECT	0.00	394.06	0.00	394.06	90.0	354.65
02/23/2017	SMITH, ROSEMARY	PAC LIFE INNOVATIVE SELECT NY	0.00	687.98	0.00	687.98	90.0	619.18
02/27/2017	KNAACK, JOHN	SBL SECURE DESIGNS VA	119,121.63	99.27	0.00	99.27	90.0	89.34
* VARIABLE ANNUITIES SUBTOTAL *			\$570,817.56	\$1,350.89	\$0.00	\$1,350.89		\$1,215.80

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>ADJUSTMENTS</b>								
*** BROKER TRANSACTIONS TOTAL ***			\$544,764.42	\$1,897.75	\$113.12	\$1,784.63		\$1,606.16

<b>ADJUSTMENTS</b>								
03/15/2017		*CONCIERGE SVC: 188123 RISO	0.00					-50.00
03/15/2017		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
03/15/2017		*TECHNOLOGY: CURRENT MONTH TECH	0.00					-275.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-625.00

<b>BROKER COMMISSION TOTALS</b>	
Broker Transactions	\$1,606.16
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-625.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$981.16
Year-to-date Gross	\$19,600.65
Year-to-date Earnings	\$17,416.42

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