



Concorde Investment Services, LLC
COMMISSION STATEMENT
April 15, 2018

04/17/2018
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A132 - HERB/FRED ALSTON/CHASALOW

BROKER #: A132
BRANCH: HERBERT ALSTON

, MI

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>CLEARING TRANSACTIONS</u>								
PRODUCT CATEGORY: OPTIONS								
^04/05/2018	ALLEN, JEFFREY	CALL 100 AT&T INC COM EXP 06-15-18@	-128.00	26.00	26.00	0.00	100.0	0.00
^04/05/2018	ALLEN, JEFFREY	CALL 100 KIMBERLY CLARK CORP COM EX	-1,225.00	26.00	24.50	1.50	100.0	0.00
* OPTIONS SUBTOTAL *			\$-1,353.00	\$52.00	\$50.50	\$1.50		\$0.00
*** CLEARING TRANSACTIONS TOTAL ***			\$-1,353.00	\$52.00	\$50.50	\$1.50		\$0.00

<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE ANNUITIES								
^03/29/2018	CHASALOW, FRED	ALLIANZ ALTERITY OPTIONS	99,831.78	249.58	0.00	249.58	100.0	0.00
^03/29/2018	CHASALOW, SANDRA	ALLIANZ ALTERITY OPTIONS	209,263.74	523.16	0.00	523.16	100.0	0.00
^03/29/2018	MCKEE, MARY	ALLIANZ ALTERITY OPTIONS	266,743.99	666.86	0.00	666.86	100.0	0.00
^03/29/2018	CHASALOW, SANDRA	ALLIANZ HIGH FIVE ENHANCED	96,569.80	120.71	0.00	120.71	100.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$672,409.31	\$1,560.31	\$0.00	\$1,560.31		\$0.00

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
^03/14/2018	MISCHAK, RONALD	ASP ARROW DWA BALANCED A	0.00	7.31	0.00	7.31	100.0	0.00
^03/14/2018	GASPAR, NICHOLAS	ASP ARROW DWA BALANCED A	0.00	1.08	0.00	1.08	100.0	0.00
^03/14/2018	WEBER, PENNY	ASP ARROW MAANGED FUTURES STRATEGY	0.00	4.70	0.00	4.70	100.0	0.00
^03/01/2018	CHASALOW, SANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	14.43	0.00	14.43	100.0	0.00
^03/01/2018	COHEN, JOYCE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	7.15	0.00	7.15	100.0	0.00
^03/01/2018	COHEN, JOEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	7.15	0.00	7.15	100.0	0.00
^03/01/2018	KIM, SCARLET	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	4.06	0.00	4.06	100.0	0.00
^03/01/2018	WEBER, PENNY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.76	0.00	5.76	100.0	0.00
^03/01/2018	FROST, CARRIE-ANN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.92	0.00	1.92	100.0	0.00
^03/01/2018	MCDONALD, MARY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.90	0.00	2.90	100.0	0.00
^03/23/2018	CHASALOW, SANDRA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	13.09	0.00	13.09	100.0	0.00
^03/23/2018	COHEN, JOYCE	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.49	0.00	6.49	100.0	0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
^03/23/2018	COHEN, JOEL	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	6.49	0.00	6.49	100.0	0.00
^03/23/2018	KIM, SCARLET	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	3.68	0.00	3.68	100.0	0.00
^03/23/2018	WEBER, PENNY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	5.22	0.00	5.22	100.0	0.00
^03/23/2018	FROST, CARRIE-ANN	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	1.74	0.00	1.74	100.0	0.00
^03/23/2018	MCDONALD, MARY	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	2.63	0.00	2.63	100.0	0.00
^03/14/2018	MCDONALD, MARY	COHEN & STEERS INTERNATIONAL REALTY	0.00	1.14	0.00	1.14	100.0	0.00
^03/16/2018	THEIN, CHRISTINE	JH GREATER CHINA OPP A	0.00	2.69	0.00	2.69	100.0	0.00
^03/16/2018	COHEN, JOYCE	JH GREATER CHINA OPP C	0.00	10.60	0.00	10.60	100.0	0.00
^03/21/2018	MCKEE, MARY L KLANCHNI	RYDEX GUGG U.S. LONG SHORT MOMENTUM	0.00	2.01	0.00	2.01	100.0	0.00
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$112.24	\$0.00	\$112.24		\$0.00
*** DIRECT TRANSACTIONS TOTAL ***			\$672,409.31	\$1,672.55	\$0.00	\$1,672.55		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$0.00
Year-to-date Earnings	\$0.00

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^Date - Split Trade - full Investment Amount & Gross Commission displayed