

# STATEMENT

June 20, 2018

LPFS HOUSE

BROKER #: ZZQ

BRANCH: LA BRANCH

, CA

## COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
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### BROKER TRANSACTIONS

#### PRODUCT CATEGORY: MUTUAL FUNDS

04/03/0018	#1001876384 MYERS, STANLEY	PACIFIC LIFE FDS PL PORTFOLI OPTIMI	500.00	23.75	100.0	0.00	23.75	0.00	23.75
04/06/0018	#2000256393 MILKS, SEAN	AGES 12-14 YEARS A	35.00	0.88	100.0	0.00	0.88	0.00	0.88
04/06/0018	#2000270551 SANCHEZ, REBECCA	AGES 18 AND OVER A	25.00	0.75	100.0	0.00	0.75	0.00	0.75
04/06/0018	#2000256393 MILKS, SEAN	CAPITAL PERSEVATION A	12.00	0.38	100.0	0.00	0.38	0.00	0.38
04/06/0018	#2000256393 MILKS, SEAN	MODERATELY AGGRESSIVE A	35.00	0.88	100.0	0.00	0.88	0.00	0.88
04/06/0018	#2000256391 MILKS, SEAN	MODERATELY AGGRESSIVE A	50.00	1.25	100.0	0.00	1.25	0.00	1.25
04/06/0018	#2000256391 MILKS, SEAN	SCHOLARS EDGE 64710R404	50.00	1.25	100.0	0.00	1.25	0.00	1.25
05/01/0018	#77720991001 SMITH, ELVIN	NYADVI JPM 529 CONSV AGE-BA A	125.00	5.06	100.0	0.00	5.06	0.00	5.06
05/01/0018	#1001876384 MYERS, STANLEY	PAC FUNDS POMA	500.00	23.75	100.0	0.00	23.75	0.00	23.75
05/04/0018	#07150233245 GROSSDALE	PORTFOLIO 2025-2028 A	1,000.00	42.50	100.0	0.00	42.50	0.00	42.50
05/05/0018	#2000256393 MILKS, SEAN	AGES 12-14 YEARS A	35.00	0.88	100.0	0.00	0.88	0.00	0.88
05/05/0018	#2000270551 SANCHEZ, REBECCA	AGES 18 AND OVER A	25.00	0.75	100.0	0.00	0.75	0.00	0.75
05/05/0018	#2000256393 MILKS, SEAN	CAPITAL PERSEVATION A	15.00	0.38	100.0	0.00	0.38	0.00	0.38
05/05/0018	#2000256391 MILKS, SEAN	MODERATELY AGGRESSIVE A	50.00	1.25	100.0	0.00	1.25	0.00	1.25
05/05/0018	#2000256393 MILKS, SEAN	MODERATELY AGGRESSIVE A	35.00	0.88	100.0	0.00	0.88	0.00	0.88
05/05/0018	#2000344105 SANCHEZ, REBECCA	SCHOLARS EDGE 647100796	25.00	0.75	100.0	0.00	0.75	0.00	0.75
05/05/0018	#2000256391 MILKS, SEAN	SCHOLARS EDGE 64710R404	50.00	1.25	100.0	0.00	1.25	0.00	1.25
05/07/0018	#1001755709 MILKS, SEAN	PL PORTFOLIO OPTIMIZATION AGGRESSIV	100.00	4.75	100.0	0.00	4.75	0.00	4.75
04/30/0201	#3084670	ACCESS FLEX HIGH YIELD-SVC	0.00	1.49	100.0	0.00	1.49	0.00	1.49
04/30/0201	#3264064	ACCESS FLEX HIGH YIELD-SVC	0.00	0.29	100.0	0.00	0.29	0.00	0.29
04/30/0201	#3301583	ACCESS FLEX HIGH YIELD-SVC	0.00	0.80	100.0	0.00	0.80	0.00	0.80
04/30/0201	#3301850	ACCESS FLEX HIGH YIELD-SVC	0.00	0.87	100.0	0.00	0.87	0.00	0.87
04/30/0201	#3301874	ACCESS FLEX HIGH YIELD-SVC	0.00	11.60	100.0	0.00	11.60	0.00	11.60
04/30/0201	#3301898	ACCESS FLEX HIGH YIELD-SVC	0.00	6.39	100.0	0.00	6.39	0.00	6.39
04/30/0201	#3084670	ACCESS FLEX HIGH YIELD-SVC	0.00	4.47	100.0	0.00	4.47	0.00	4.47
04/30/0201	#3264064	ACCESS FLEX HIGH YIELD-SVC	0.00	0.86	100.0	0.00	0.86	0.00	0.86
04/30/0201	#3301583	ACCESS FLEX HIGH YIELD-SVC	0.00	2.39	100.0	0.00	2.39	0.00	2.39
04/30/0201	#3301850	ACCESS FLEX HIGH YIELD-SVC	0.00	2.61	100.0	0.00	2.61	0.00	2.61

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
04/30/0201	#3301874	ACCESS FLEX HIGH YIELD-SVC	0.00	34.79	100.0	0.00	34.79	0.00	34.79
04/30/0201	#3301898	ACCESS FLEX HIGH YIELD-SVC	0.00	19.16	100.0	0.00	19.16	0.00	19.16
04/30/0201	#074480010277 & TRUST,	COLUMBIA LARGE CAP ENGANCED CORE FD	6,626.38	2.72	100.0	0.00	2.72	0.00	2.72
04/30/0201	#055552317225 PARTNER, ME	FEDERATED GLOBAL ALLOCATION	0.00	0.12	100.0	0.00	0.12	0.00	0.12
04/30/0201	#055552317225 PARTNER, ME	FEDERATED GLOBAL ALLOCATION	0.00	0.37	100.0	0.00	0.37	0.00	0.37
04/30/0201	#1003016152 SEICK (IRA),	GUGGENHEIM RYDEX	0.00	1.46	100.0	0.00	1.46	0.00	1.46
04/30/0201	#1003016152 SEICK (IRA),	GUGGENHEIM RYDEX	0.00	1.11	100.0	0.00	1.11	0.00	1.11
04/30/0201	#1003016152 SEICK (IRA),	GUGGENHEIM RYDEX	0.00	0.75	100.0	0.00	0.75	0.00	0.75
04/30/0201	#1003016152 SEICK (IRA),	GUGGENHEIM RYDEX	0.00	1.08	100.0	0.00	1.08	0.00	1.08
04/30/0201	#1003023420 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	6.28	100.0	0.00	6.28	0.00	6.28
04/30/0201	#1003023420 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	4.80	100.0	0.00	4.80	0.00	4.80
04/30/0201	#1003023420 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	3.23	100.0	0.00	3.23	0.00	3.23
04/30/0201	#1003023420 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	4.65	100.0	0.00	4.65	0.00	4.65
04/30/0201	#1003023422 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.60	100.0	0.00	0.60	0.00	0.60
04/30/0201	#1003023422 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.46	100.0	0.00	0.46	0.00	0.46
04/30/0201	#1003023422 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.31	100.0	0.00	0.31	0.00	0.31
04/30/0201	#1003023422 DUMOND (RIRA),	GUGGENHEIM RYDEX	0.00	0.45	100.0	0.00	0.45	0.00	0.45
04/30/0201	#1003029611 CROCKETT (IRA),	GUGGENHEIM RYDEX	0.00	0.43	100.0	0.00	0.43	0.00	0.43
04/30/0201	#1003029611 CROCKETT (IRA),	GUGGENHEIM RYDEX	0.00	0.33	100.0	0.00	0.33	0.00	0.33
04/30/0201	#1003029611 CROCKETT (IRA),	GUGGENHEIM RYDEX	0.00	0.22	100.0	0.00	0.22	0.00	0.22
04/30/0201	#1003029611 CROCKETT (IRA),	GUGGENHEIM RYDEX	0.00	0.31	100.0	0.00	0.31	0.00	0.31
04/30/0201	#1003029964 MCCANN (RIRA),	GUGGENHEIM RYDEX	0.00	0.24	100.0	0.00	0.24	0.00	0.24
04/30/0201	#1003029964 MCCANN (RIRA),	GUGGENHEIM RYDEX	0.00	0.19	100.0	0.00	0.19	0.00	0.19
04/30/0201	#1003029964 MCCANN (RIRA),	GUGGENHEIM RYDEX	0.00	0.13	100.0	0.00	0.13	0.00	0.13
04/30/0201	#1003029964 MCCANN (RIRA),	GUGGENHEIM RYDEX	0.00	0.18	100.0	0.00	0.18	0.00	0.18
04/30/0201	#1003029976 MCCAIN, PAUL	GUGGENHEIM RYDEX	0.00	1.92	100.0	0.00	1.92	0.00	1.92
04/30/0201	#1003029976 MCCAIN, PAUL	GUGGENHEIM RYDEX	0.00	1.47	100.0	0.00	1.47	0.00	1.47
04/30/0201	#1003029976 MCCAIN, PAUL	GUGGENHEIM RYDEX	0.00	0.99	100.0	0.00	0.99	0.00	0.99
04/30/0201	#1003029976 MCCAIN, PAUL	GUGGENHEIM RYDEX	0.00	1.42	100.0	0.00	1.42	0.00	1.42
04/30/0201	#1003043902 SEICK (RIRA),	GUGGENHEIM RYDEX	0.00	2.47	100.0	0.00	2.47	0.00	2.47
04/30/0201	#1003043902 SEICK (RIRA),	GUGGENHEIM RYDEX	0.00	1.88	100.0	0.00	1.88	0.00	1.88
04/30/0201	#1003043902 SEICK (RIRA),	GUGGENHEIM RYDEX	0.00	1.27	100.0	0.00	1.27	0.00	1.27
04/30/0201	#1003043902 SEICK (RIRA),	GUGGENHEIM RYDEX	0.00	1.83	100.0	0.00	1.83	0.00	1.83
04/16/2018	#05020480458 KORETZ, S	DISCOVERY FUND C	12.50	0.13	100.0	0.00	0.13	0.00	0.13
04/16/2018	#02570974985 DAVIS, ZADIE	GLOBAL ALLOCATION FUND A	50.00	2.38	100.0	0.00	2.38	0.00	2.38
04/16/2018	#08270873631 BELCASTRO,	INTERNATIONAL GROWTH FUND C	49.48	0.49	100.0	0.00	0.49	0.00	0.49

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/16/2018	#07024319018 BELCASTRO,	MAIN STREET FUND C	49.49	0.49	100.0	0.00	0.49	0.00	0.49
04/16/2018	#07024242711 KORETZ, S	MAIN STREET FUND C	12.50	0.13	100.0	0.00	0.13	0.00	0.13
04/16/2018	#03202920181 VARIOUS HOUSE	OPPENHEIMER CAPITAL APPRECIATION FU	50.00	2.38	100.0	0.00	2.38	0.00	2.38
04/16/2018	#02381168002 BELCASTRO,	OPPENHEIMER FLEXIBLE STRATEGIES FUN	49.49	0.49	100.0	0.00	0.49	0.00	0.49
04/16/2018	#05420223784 MARIAN, S	OPPENHEIMER FUNDS	37.50	0.38	100.0	0.00	0.38	0.00	0.38
04/16/2018	#05520920700 VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	350.00	3.50	100.0	0.00	3.50	0.00	3.50
04/16/2018	#05520921393 VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	225.00	2.25	100.0	0.00	2.25	0.00	2.25
04/16/2018	#05520923714 KORETZ, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	25.00	0.25	100.0	0.00	0.25	0.00	0.25
04/16/2018	#05520944680 MARIAN, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	112.50	1.13	100.0	0.00	1.13	0.00	1.13
04/16/2018	#05451039973	PORTFOLIO SERIES: MODERATE INVESTOR	140.00	6.65	100.0	0.00	6.65	0.00	6.65
04/16/2018	#07230242748 BELCASTRO,	SMALL- & MID-CAP GROWTH FUND C	49.49	0.49	100.0	0.00	0.49	0.00	0.49
04/16/2018	#02870523776 VARIOUS HOUSE	TOTAL RETURN BOND FUND C	75.00	0.75	100.0	0.00	0.75	0.00	0.75
04/23/2018	#02570974985 DAVIS, ZADIE	GLOBAL ALLOCATION FUND A	50.00	2.38	100.0	0.00	2.38	0.00	2.38
04/23/2018	#07024265492 LUVERA, V	MAIN STREET FUND C	62.50	0.63	100.0	0.00	0.63	0.00	0.63
04/23/2018	#05470420794 MASTER, S	OPPEN FUNDS	75.00	0.75	100.0	0.00	0.75	0.00	0.75
04/23/2018	#03202920181 VARIOUS HOUSE	OPPENHEIMER CAPITAL APPRECIATION FU	50.00	2.38	100.0	0.00	2.38	0.00	2.38
04/23/2018	#05520950642 LUVERA, V	OPPENHEIMER PORTFOLIO SER ACTIVE AL	125.00	1.25	100.0	0.00	1.25	0.00	1.25
04/23/2018	#05920334191 LUVERA, V	OPPENHEIMER REAL ESTATE FD CL C SHS	62.50	0.63	100.0	0.00	0.63	0.00	0.63
04/23/2018	#02870524601 MASTER, S	TOTAL RETURN BOND FUND C	25.00	0.25	100.0	0.00	0.25	0.00	0.25
04/30/2018	#00074892549 THURMAN, JEREMY	AM FUNDS 02630R732	100.00	4.25	100.0	0.00	4.25	0.00	4.25
04/30/2018	#05020480458 KORETZ, S	DISCOVERY FUND C	12.50	0.13	100.0	0.00	0.13	0.00	0.13
04/30/2018	#07024242711 KORETZ, S	MAIN STREET FUND C	12.50	0.13	100.0	0.00	0.13	0.00	0.13
04/30/2018	#08185496308 HOLLE, RAYMOND	MFS GLOBAL TOTAL RETURN FUND-A	181.00	5.43	100.0	0.00	5.43	0.00	5.43
04/30/2018	#08185496304 HOLLE, RAYMOND	MFS GROWTH FUND CL A	181.00	5.43	100.0	0.00	5.43	0.00	5.43
04/30/2018	#08185496308 HOLLE, RAYMOND	MFS TOTAL RETURN FUND-A	181.00	5.43	100.0	0.00	5.43	0.00	5.43
04/30/2018	#05420223784 MARIAN, S	OPPENHEIMER FUNDS	37.50	0.38	100.0	0.00	0.38	0.00	0.38
04/30/2018	#05520923714 KORETZ, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	25.00	0.25	100.0	0.00	0.25	0.00	0.25
04/30/2018	#05520944680 MARIAN, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	112.50	1.13	100.0	0.00	1.13	0.00	1.13
05/01/2018	#00085191928 MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	0.00	1.88
05/01/2018	#00060225535 STILEKRISL	MFS UTILITIES FUND-CL A	458.33	13.75	100.0	0.00	13.75	0.00	13.75
05/01/2018	#05520921393 VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	353.37	3.53	100.0	0.00	3.53	0.00	3.53
05/01/2018	#1001881298 HAAR-KRISM	PACIFIC FUNDS PPOA	450.00	21.38	100.0	0.00	21.38	0.00	21.38
05/01/2018	#1001881305 HAAR-KRISM	PACIFIC FUNDS PPOA	450.00	21.38	100.0	0.00	21.38	0.00	21.38
05/01/2018	#07150233245 GROSSDALE	PORTFOLIO 2025-2028 A	150.00	6.38	100.0	0.00	6.38	0.00	6.38
05/01/2018	#07150233248 GROSSDALE	PORTFOLIO 2025-2028 A	150.00	6.38	100.0	0.00	6.38	0.00	6.38
05/02/2018	#5294788558	BLCKRCK	0.00	0.37	100.0	0.00	0.37	0.00	0.37

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/02/2018	#5294788533	BLCKRCK	0.00	0.36	100.0	0.00	0.36	0.00	0.36
05/02/2018	#5294788582	BLCKRCK	0.00	0.69	100.0	0.00	0.69	0.00	0.69
05/02/2018	#5294788541	BLCKRCK	0.00	0.19	100.0	0.00	0.19	0.00	0.19
05/02/2018	#6124	DREYFUS CALIFORNIA AMT-FREE MUNI BO	0.00	4.11	100.0	0.00	4.11	0.00	4.11
05/02/2018	#77720991001 SMITH, ELVIN	NYADVI JPM 529 CONSV AGE-BA A	5,823.08	1.20	100.0	0.00	1.20	0.00	1.20
05/03/2018	#05520920700 VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	350.00	3.50	100.0	0.00	3.50	0.00	3.50
05/03/2018	#05520921393 VARIOUS HOUSE	OPPENHEIMER PORTFOLIO SER ACTIVE AL	225.00	2.25	100.0	0.00	2.25	0.00	2.25
05/03/2018	#05451039973	PORTFOLIO SERIES: MODERATE INVESTOR	140.00	6.65	100.0	0.00	6.65	0.00	6.65
05/03/2018	#02870523776 VARIOUS HOUSE	TOTAL RETURN BOND FUND C	75.00	0.75	100.0	0.00	0.75	0.00	0.75
05/04/2018	#002072166941 PYLE, JOHN	LIFESTYLE GROWTH 529 PORTFOLIO A	0.00	0.59	100.0	0.00	0.59	0.00	0.59
05/04/2018	#002072166983 PYLE, JOHN	LIFESTYLE GROWTH 529 PORTFOLIO A	0.00	0.27	100.0	0.00	0.27	0.00	0.27
05/04/2018	#002072167021 PYLE, JOHN	LIFESTYLE GROWTH 529 PORTFOLIO A	0.00	0.27	100.0	0.00	0.27	0.00	0.27
05/04/2018	#002072167063 PYLE, JOHN	LIFESTYLE GROWTH 529 PORTFOLIO A	0.00	0.27	100.0	0.00	0.27	0.00	0.27
05/04/2018	#071502332454 GROSSDALE	PORTFOLIO 2025-2028 A	0.00	4.48	100.0	0.00	4.48	0.00	4.48
05/04/2018	#071502332483 GROSSDALE	PORTFOLIO 2025-2028 A	0.00	5.27	100.0	0.00	5.27	0.00	5.27
05/07/2018	#00085008254 NEFF, WESTON	CAPITAL WORLD GROWTH AND INCOME-529	50.00	0.50	100.0	0.00	0.50	0.00	0.50
05/07/2018	#02570974985 DAVIS, ZADIE	GLOBAL ALLOCATION FUND A	50.00	2.38	100.0	0.00	2.38	0.00	2.38
05/07/2018	#03202920181 VARIOUS HOUSE	OPPENHEIMER CAPITAL APPRECIATION FU	50.00	2.38	100.0	0.00	2.38	0.00	2.38
05/07/2018	#1001382434 CLANCY, DENNIS	PACIFIC FUNDS POMC	50.00	2.38	100.0	0.00	2.38	0.00	2.38
05/08/2018	#00700332646 BAPTISTE, MARIE	FIDELITY ADV TECHNOLOGY-CL T	100.00	3.00	100.0	0.00	3.00	0.00	3.00
05/08/2018	#07024265492 LUVERA, V	MAIN STREET FUND C	62.50	0.63	100.0	0.00	0.63	0.00	0.63
05/08/2018	#05470420794 MASTER, S	OPPEN FUNDS	75.00	0.75	100.0	0.00	0.75	0.00	0.75
05/08/2018	#05520950642 LUVERA, V	OPPENHEIMER PORTFOLIO SER ACTIVE AL	125.00	1.25	100.0	0.00	1.25	0.00	1.25
05/08/2018	#05920334191 LUVERA, V	OPPENHEIMER REAL ESTATE FD CL C SHS	62.50	0.63	100.0	0.00	0.63	0.00	0.63
05/08/2018	#02870524601 MASTER, S	TOTAL RETURN BOND FUND C	25.00	0.25	100.0	0.00	0.25	0.00	0.25
05/10/2018	#00689848828	FIDELITY ADV EQUITY VALUE-CL A	250.00	6.88	100.0	0.00	6.88	0.00	6.88
05/15/2018	#04000010563 GARRISONJU	AM FUNDS	200.00	10.00	100.0	0.00	10.00	0.00	10.00
05/15/2018	#00075427348 MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	0.00	1.88
05/15/2018	#00075427348 MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	0.00	1.88
05/15/2018	#00082239930 MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	0.00	1.88
05/15/2018	#00082239930 MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	0.00	1.88
05/15/2018	#00085191928 MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	0.00	1.88
05/15/2018	#00089764435 CHALLASURE	AMCAP FUND-529A	100.00	5.00	100.0	0.00	5.00	0.00	5.00
05/15/2018	#00089764458 CHALLASURE	AMCAP FUND-529A	100.00	5.00	100.0	0.00	5.00	0.00	5.00
05/15/2018	#04000010563 GARRISONJU	AMCAP FUND-529A	200.00	10.00	100.0	0.00	10.00	0.00	10.00
05/15/2018	#00089764435 CHALLASURE	AMERICAN BALANCED FUND-529A	100.00	5.00	100.0	0.00	5.00	0.00	5.00

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/15/2018	#00089764458	CHALLASURE	AMERICAN BALANCED FUND-529A	100.00	5.00	100.0	0.00	5.00	5.00
05/15/2018	#04000010563	GARRISONJU	AMERICAN FUNDS 02629M58	200.00	7.00	100.0	0.00	7.00	7.00
05/15/2018	#00074892517	THURMAN, JEREMY	AMR\ERICAN FUNDS 02629M645	200.00	4.00	100.0	0.00	4.00	4.00
05/15/2018	#05020480458	KORETZ, S	DISCOVERY FUND C	12.50	0.13	100.0	0.00	0.13	0.13
05/15/2018	#00689433449	ARMAND, JUDITH	FIDELITY ADV EQUITY GROWTH-CL T	100.00	3.00	100.0	0.00	3.00	3.00
05/15/2018	#00089764435	CHALLASURE	FUNDAMENTAL INVESTORS-529A	100.00	5.00	100.0	0.00	5.00	5.00
05/15/2018	#00089764458	CHALLASURE	FUNDAMENTAL INVESTORS-529A	100.00	5.00	100.0	0.00	5.00	5.00
05/15/2018	#04000010563	GARRISONJU	FUNDAMENTAL INVESTORS-529A	200.00	10.00	100.0	0.00	10.00	10.00
05/15/2018	#00035777858	CARIOLA,	IVY GLOBAL NATURAL RESOURCES FUND-C	25.00	1.25	100.0	0.00	1.25	1.25
05/15/2018	#07024242711	KORETZ, S	MAIN STREET FUND C	12.50	0.13	100.0	0.00	0.13	0.13
05/15/2018	#00087188339	MCDOWAN, GEL	NEW ECONOMY FUND SBI CLASS A	50.00	2.50	100.0	0.00	2.50	2.50
05/15/2018	#00089764435	CHALLASURE	NEW PERSPECTIVE FUND-529A	100.00	5.00	100.0	0.00	5.00	5.00
05/15/2018	#00089764458	CHALLASURE	NEW PERSPECTIVE FUND-529A	100.00	5.00	100.0	0.00	5.00	5.00
05/15/2018	#05420223784	MARIAN, S	OPPENHEIMER FUNDS	37.50	0.38	100.0	0.00	0.38	0.38
05/15/2018	#05520923714	KORETZ, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	25.00	0.25	100.0	0.00	0.25	0.25
05/15/2018	#05520944680	MARIAN, S	OPPENHEIMER PORTFOLIO SER ACTIVE AL	112.50	1.13	100.0	0.00	1.13	1.13
05/15/2018	#04000010563	GARRISONJU	THE GROWTH FUND OF AMERICA-529A	200.00	10.00	100.0	0.00	10.00	10.00
05/15/2018	#00089764435	CHALLASURE	THE INCOME FUND OF AMERICA-529A	100.00	5.00	100.0	0.00	5.00	5.00
05/15/2018	#00089764458	CHALLASURE	THE INCOME FUND OF AMERICA-529A	100.00	5.00	100.0	0.00	5.00	5.00
05/16/2018	#00064989411	CHAVEZTAMI	CAPITAL WORLD GROWTH & INCOME FUND	30.60	0.61	100.0	0.00	0.61	0.61
05/16/2018	#00064989411	CHAVEZTAMI	CAPITAL WORLD GROWTH & INCOME FUND	30.60	0.61	100.0	0.00	0.61	0.61
05/16/2018	#00064989411	CHAVEZTAMI	GROWTH FUND AMERICA INC CLASS A	30.60	0.61	100.0	0.00	0.61	0.61
05/16/2018	#00064989411	CHAVEZTAMI	GROWTH FUND AMERICA INC CLASS A	30.60	0.61	100.0	0.00	0.61	0.61
05/16/2018	#00064989411	CHAVEZTAMI	WASHINGTON MUTUAL INVS FD CLASS A	30.60	0.61	100.0	0.00	0.61	0.61
05/16/2018	#00064989411	CHAVEZTAMI	WASHINGTON MUTUAL INVS FD CLASS A	30.60	0.61	100.0	0.00	0.61	0.61
05/21/2018	#00086791991	SANTOS, MARILU	GROWTH FUND AMER INC CL C	50.00	0.50	100.0	0.00	0.50	0.50
05/23/2018	#00086048248	GARCIA, JUAN	AMERICAN BALANCED FUND INC CLASS A	13.00	0.65	100.0	0.00	0.65	0.65
05/23/2018	#00086048248	GARCIA, JUAN	AMERICAN FUNDS INCOME SERIES U S GO	13.00	0.39	100.0	0.00	0.39	0.39
05/23/2018	#00086048248	GARCIA, JUAN	BOND FUND OF AMERICA INC CL A	13.00	0.39	100.0	0.00	0.39	0.39
05/23/2018	#00086048248	GARCIA, JUAN	CAPITAL WORLD BOND FUND-SBI CLASS A	13.00	0.39	100.0	0.00	0.39	0.39
05/23/2018	#00086048248	GARCIA, JUAN	INVESTMENT CO AMER CLASS A	12.99	0.65	100.0	0.00	0.65	0.65
05/29/2018	#00067575889	REYES, JUAN	AMERICAN BALANCED FUND INC CL C	50.00	0.50	100.0	0.00	0.50	0.50
05/29/2018	#00067575889	REYES, JUAN	AMERICAN BALANCED FUND INC CL C	18.50	0.19	100.0	0.00	0.19	0.19
05/30/2018	#00074892549	THURMAN, JEREMY	AM FUNDS 02630R732	100.00	4.25	100.0	0.00	4.25	4.25
05/30/2018	#00032425931	STILLSARA	IVY PACIFIC OPPORTUNITIES A	100.00	5.00	100.0	0.00	5.00	5.00
06/01/2018	#00085191928	MONTERO,	AMCAP FUND-529A	50.00	1.88	100.0	0.00	1.88	1.88

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
* MUTUAL FUNDS SUBTOTAL *			\$24,891.20	\$558.00		\$0.00	\$558.00	\$0.00	\$558.00
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
05/02/2018 #	JOOS, JODI	DAYBREAK OIL & GAS INC	135.00	0.00	100.0	0.00	0.00	0.00	0.00
05/02/2018 #	JOOS, JODI	DAYBREAK OIL & GAS INC	13.50	0.00	100.0	0.00	0.00	0.00	0.00
05/04/2018 #	JOOS, JODI	DAYBREAK OIL & GAS INC	135.00	0.00	100.0	0.00	0.00	0.00	0.00
05/04/2018 #	JOOS, JODI	DAYBREAK OIL & GAS INC	60.00	0.00	100.0	0.00	0.00	0.00	0.00
05/09/2018 #	JOOS, JODI	DAYBREAK OIL & GAS INC	132.00	0.00	100.0	0.00	0.00	0.00	0.00
05/14/2018 #	NCIAL SVCS LLC, LIBERTY	U S SILICA HOLDINGS INC	13,809.02	0.00	100.0	0.00	0.00	0.00	0.00
05/14/2018 #	NCIAL SVCS LLC, LIBERTY	U S SILICA HOLDINGS INC	13,808.97	0.00	100.0	0.00	0.00	0.00	0.00
05/22/2018 #	JOOS, JODI	DAYBREAK OIL & GAS INC	70.00	0.00	100.0	0.00	0.00	0.00	0.00
05/23/2018 #	ZINGARO, GILBERT	INNOVATIVE BEVERAGE GROUP HOLDINGS	68.00	0.00	100.0	0.00	0.00	0.00	0.00
05/24/2018 #	JOOS, JODI	DAYBREAK OIL & GAS INC	24.00	0.00	100.0	0.00	0.00	0.00	0.00
* STOCKS SUBTOTAL *			\$28,255.49	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00
<b><u>PRODUCT CATEGORY: BONDS</u></b>									
04/25/2018 #	NCIAL SVCS LLC, LIBERTY	MARLBORO TWP N J GO BDS 2018	242,750.00	0.00	100.0	0.00	0.00	0.00	0.00
04/25/2018 #	NCIAL SVCS LLC, LIBERTY	MARLBORO TWP N J GO BDS 2018	249,687.50	0.00	100.0	0.00	0.00	0.00	0.00
04/25/2018 #	NCIAL SVCS LLC, LIBERTY	MARLBORO TWP N J GO BDS 2018	242,750.00	0.00	100.0	0.00	0.00	0.00	0.00
04/25/2018 #	NCIAL SVCS LLC, LIBERTY	MARLBORO TWP N J GO BDS 2018	249,687.50	0.00	100.0	0.00	0.00	0.00	0.00
04/30/2018 #	NCIAL SVCS LLC, LIBERTY	MARLBORO TWP N J GO BDS 2018	242,750.00	0.00	100.0	0.00	0.00	0.00	0.00
04/30/2018 #	NCIAL SVCS LLC, LIBERTY	MARLBORO TWP N J GO BDS 2018	249,687.50	0.00	100.0	0.00	0.00	0.00	0.00
04/30/2018 #	NCIAL SVCS LLC, LIBERTY	MARLBORO TWP N J GO BDS 2018	145,650.00	0.00	100.0	0.00	0.00	0.00	0.00
04/30/2018 #	NCIAL SVCS LLC, LIBERTY	MARLBORO TWP N J GO BDS 2018	149,812.50	0.00	100.0	0.00	0.00	0.00	0.00
04/30/2018 #	NCIAL SVCS LLC, LIBERTY	TRANSOCEAN OFFSHORE INC NOTE	10,821.25	0.00	100.0	0.00	0.00	0.00	0.00
04/30/2018 #	NCIAL SVCS LLC, LIBERTY	TRANSOCEAN OFFSHORE INC NOTE	10,601.25	0.00	100.0	0.00	0.00	0.00	0.00
05/01/2018 #	NCIAL SVCS LLC, LIBERTY	COCA COLA ENTERPRISES INC ZERO COUP	18,690.20	0.00	100.0	0.00	0.00	0.00	0.00
05/01/2018 #	NCIAL SVCS LLC, LIBERTY	COCA COLA ENTERPRISES INC ZERO COUP	18,784.00	0.00	100.0	0.00	0.00	0.00	0.00
05/01/2018 #	NCIAL SVCS LLC, LIBERTY	MACK CALI REALTY CORP SR UNSECURED	21,809.75	0.00	100.0	0.00	0.00	0.00	0.00
05/01/2018 #	NCIAL SVCS LLC, LIBERTY	MACK CALI REALTY CORP SR UNSECURED	22,141.75	0.00	100.0	0.00	0.00	0.00	0.00
05/01/2018 #	NCIAL SVCS LLC, LIBERTY	MARATHON OIL CORP	23,928.75	0.00	100.0	0.00	0.00	0.00	0.00
05/01/2018 #	NCIAL SVCS LLC, LIBERTY	MARATHON OIL CORP	23,630.25	0.00	100.0	0.00	0.00	0.00	0.00
05/01/2018 #	NCIAL SVCS LLC, LIBERTY	MURPHY OIL CORP SR UNSECURED	24,194.25	0.00	100.0	0.00	0.00	0.00	0.00
05/01/2018 #	NCIAL SVCS LLC, LIBERTY	MURPHY OIL CORP SR UNSECURED	24,500.00	0.00	100.0	0.00	0.00	0.00	0.00
05/01/2018 #	NCIAL SVCS LLC, LIBERTY	PLAINS ALL AMERN PIPELINE L P	23,103.25	0.00	100.0	0.00	0.00	0.00	0.00
05/01/2018 #	NCIAL SVCS LLC, LIBERTY	PLAINS ALL AMERN PIPELINE L P	23,395.00	0.00	100.0	0.00	0.00	0.00	0.00
05/01/2018 #	NCIAL SVCS LLC, LIBERTY	VERIZON NEW YORK INC DEB SER A	12,302.20	0.00	100.0	0.00	0.00	0.00	0.00
05/01/2018 #	NCIAL SVCS LLC, LIBERTY	VERIZON NEW YORK INC DEB SER A	12,426.20	0.00	100.0	0.00	0.00	0.00	0.00
05/01/2018 #	NCIAL SVCS LLC, LIBERTY	WEYERHAEUSER CO DEB	12,063.60	0.00	100.0	0.00	0.00	0.00	0.00
05/01/2018 #	NCIAL SVCS LLC, LIBERTY	WEYERHAEUSER CO DEB	12,185.00	0.00	100.0	0.00	0.00	0.00	0.00

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: BONDS</u></b>									
05/02/2018	# NCIAL SVCS LLC, LIBERTY	SARA LEE CORP NOTES	10,920.80	0.00	100.0	0.00	0.00	0.00	0.00
05/02/2018	# NCIAL SVCS LLC, LIBERTY	SARA LEE CORP NOTES	10,811.60	0.00	100.0	0.00	0.00	0.00	0.00
05/17/2018	# NCIAL SVCS LLC, LIBERTY	HERTZ CORP SR NT 6.25%22	13,195.00	0.00	100.0	0.00	0.00	0.00	0.00
05/17/2018	# NCIAL SVCS LLC, LIBERTY	HERTZ CORP SR NT 6.25%22	12,915.00	0.00	100.0	0.00	0.00	0.00	0.00
05/17/2018	# NCIAL SVCS LLC, LIBERTY	MARLBORO TWP N J GO BDS 2018	145,830.00	0.00	100.0	0.00	0.00	0.00	0.00
05/17/2018	# NCIAL SVCS LLC, LIBERTY	MARLBORO TWP N J GO BDS 2018	149,730.00	0.00	100.0	0.00	0.00	0.00	0.00
05/22/2018	# NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#G13124	2,403.06	0.00	100.0	0.00	0.00	0.00	0.00
05/22/2018	# NCIAL SVCS LLC, LIBERTY	FEDL HOME LOAN MTG CORP#G13124	2,439.66	0.00	100.0	0.00	0.00	0.00	0.00
05/22/2018	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL 661576X	1,751.19	0.00	100.0	0.00	0.00	0.00	0.00
05/22/2018	# NCIAL SVCS LLC, LIBERTY	G N M A PASS THRU POOL 661576X	1,796.09	0.00	100.0	0.00	0.00	0.00	0.00
05/23/2018	# NCIAL SVCS LLC, LIBERTY	HERTZ CORP SR NT 6.25%22	9,325.00	0.00	100.0	0.00	0.00	0.00	0.00
05/23/2018	# NCIAL SVCS LLC, LIBERTY	HERTZ CORP SR NT 6.25%22	9,125.00	0.00	100.0	0.00	0.00	0.00	0.00
05/24/2018	# NCIAL SVCS LLC, LIBERTY	UNION CNTY N J VAR PURP BDS B	134,578.50	0.00	100.0	0.00	0.00	0.00	0.00
05/24/2018	# NCIAL SVCS LLC, LIBERTY	UNION CNTY N J VAR PURP BDS B	132,462.00	0.00	100.0	0.00	0.00	0.00	0.00
05/24/2018	# NCIAL SVCS LLC, LIBERTY	UNION CNTY N J VAR PURP BDS B	291,416.40	0.00	100.0	0.00	0.00	0.00	0.00
05/24/2018	# NCIAL SVCS LLC, LIBERTY	UNION CNTY N J VAR PURP BDS B	296,072.70	0.00	100.0	0.00	0.00	0.00	0.00
05/25/2018	# NCIAL SVCS LLC, LIBERTY	GENWORTH HLDGS INC	8,550.00	0.00	100.0	0.00	0.00	0.00	0.00
05/25/2018	# NCIAL SVCS LLC, LIBERTY	GENWORTH HLDGS INC	8,400.00	0.00	100.0	0.00	0.00	0.00	0.00
05/25/2018	# NCIAL SVCS LLC, LIBERTY	HERTZ CORP SR NT 6.25%22	7,480.00	0.00	100.0	0.00	0.00	0.00	0.00
05/25/2018	# NCIAL SVCS LLC, LIBERTY	HERTZ CORP SR NT 6.25%22	7,360.00	0.00	100.0	0.00	0.00	0.00	0.00
05/29/2018	# NCIAL SVCS LLC, LIBERTY	***CREDIT SUISSE AG NASSAU	20,100.00	0.00	100.0	0.00	0.00	0.00	0.00
05/29/2018	# NCIAL SVCS LLC, LIBERTY	***CREDIT SUISSE AG NASSAU	19,850.00	0.00	100.0	0.00	0.00	0.00	0.00
<b>* BONDS SUBTOTAL *</b>			<b>\$3,363,863.70</b>	<b>\$0.00</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>

**PRODUCT CATEGORY: VARIABLE ANNUITIES**

02/01/0018	#N5017604	NATIONAL LIFE GROUP	70.00	1.05	100.0	0.00	1.05	0.00	1.05
02/01/0018	#N5017605	NATIONAL LIFE GROUP	250.00	3.75	100.0	0.00	3.75	0.00	3.75
02/07/0018	#N5016654	NATIONAL LIFE GROUP	100.00	1.50	100.0	0.00	1.50	0.00	1.50
02/07/0018	#N5017492	NATIONAL LIFE GROUP	269.00	4.04	100.0	0.00	4.04	0.00	4.04
02/07/0018	#N5031882	NATIONAL LIFE GROUP	83.33	3.33	100.0	0.00	3.33	0.00	3.33
03/03/0018	#N5016400	NATIONAL LIFE GROUP	900.00	13.50	100.0	0.00	13.50	0.00	13.50
03/07/0018	#N5017604	NATIONAL LIFE GROUP	70.00	1.05	100.0	0.00	1.05	0.00	1.05
03/07/0018	#N5017605	NATIONAL LIFE GROUP	250.00	3.75	100.0	0.00	3.75	0.00	3.75
04/04/0018	#N5016654	NATIONAL LIFE GROUP	100.00	1.50	100.0	0.00	1.50	0.00	1.50
04/04/0018	#N5017492	NATIONAL LIFE GROUP	269.00	4.04	100.0	0.00	4.04	0.00	4.04
04/04/0018	#N5031882	NATIONAL LIFE GROUP	83.33	3.33	100.0	0.00	3.33	0.00	3.33

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
04/04/0018	#124409LB8	VAIANELLA	TRANSAMERICA FLDP08	392,820.94	327.35	100.0	0.00	327.35	327.35
04/04/0018	#132926LB8	VAIANELLA	TRANSAMERICA FLDP08	82,930.38	69.11	100.0	0.00	69.11	69.11
04/05/0018	#127463LK2	VAIANELLA	TRANS LIFE FE22MA	8,722.81	7.27	100.0	0.00	7.27	7.27
04/05/0018	#123639LK8	VAIANELLA	TRANS LIFE FL10MS	29,519.57	24.60	100.0	0.00	24.60	24.60
04/05/0018	#123641LK8	VAIANELLA	TRANS LIFE FL10MS	28,806.73	24.01	100.0	0.00	24.01	24.01
04/05/0018	#151587LK8	VAIANELLA	TRANSA FIN LIFE FL27SP	117,162.92	97.64	100.0	0.00	97.64	97.64
04/06/0018	#VP60469140	BOREN, GARY	PACIFIC LIFE EXE	0.00	0.50	100.0	0.00	0.50	0.50
04/06/0018	#110089LK2	VAIANELLA	TRANS LIFE FE22MA	139,934.59	116.61	100.0	0.00	116.61	116.61
04/07/0018	#N5017604		NATIONAL LIFE GROUP	70.00	1.05	100.0	0.00	1.05	1.05
04/07/0018	#N5017605		NATIONAL LIFE GROUP	250.00	3.75	100.0	0.00	3.75	3.75
04/07/0018	#VP65344130	FIEST, MICHAEL	PACIFIC LIFE EXE	0.00	3.00	100.0	0.00	3.00	3.00
04/07/0018	#40005742LK2	VAIANELLA	TRANS LIFE FE22MC	68,829.58	57.36	100.0	0.00	57.36	57.36
04/08/0018	#40007096LK2	VAIANELLA	TRANS LIFE FE22MA	22,841.31	19.03	100.0	0.00	19.03	19.03
05/01/0018	#P3799548867	GOODWIN, FRED	AMERICAN GEN TRAILS	0.00	0.34	100.0	0.00	0.34	0.34
05/01/0018	#B16557107106726917	MERCADO,	SECURITY BEENFIT SBGTL	250.00	2.47	100.0	0.00	2.47	2.47
05/01/0018	#B16557107085585407	ARROYO,	SECURITY BEENFIT SBGTL	28.20	0.31	100.0	0.00	0.31	0.31
05/01/0018	#B16557107085585407	ARROYO,	SECURITY BEENFIT SBGTL	28.20	0.31	100.0	0.00	0.31	0.31
05/01/0018	#B16557107068705771	BARNES,	SECURITY BEENFIT SBGTL	63.75	0.67	100.0	0.00	0.67	0.67
05/01/0018	#B16632107134807134	MILANA,	SECURITY BEENFIT SBGTL	100.00	1.00	100.0	0.00	1.00	1.00
05/01/0018	#B16632107134807134	MILANA,	SECURITY BEENFIT SBGTL	100.00	1.00	100.0	0.00	1.00	1.00
05/01/0018	#B16632107134807134	MILANA,	SECURITY BEENFIT SBGTL	100.00	1.00	100.0	0.00	1.00	1.00
05/01/0018	#B16632107077700242	RIZZO	SECURITY BEENFIT SBGTL	75.00	0.76	100.0	0.00	0.76	0.76
05/01/0018	#B16632107077700242	RIZZO	SECURITY BEENFIT SBGTL	75.00	0.76	100.0	0.00	0.76	0.76
05/01/0018	#B16632107077700242	RIZZO	SECURITY BEENFIT SBGTL	75.00	0.76	100.0	0.00	0.76	0.76
05/01/0018	#B16557107085585407	ARROYO,	SECURITY BEENFIT SBGTL	3,094.19	0.67	100.0	0.00	0.67	0.67
05/01/0018	#B16557107068705771	BARNES,	SECURITY BEENFIT SBGTL	6,992.46	5.82	100.0	0.00	5.82	5.82
05/01/0018	#B16632107134807134	MILANA,	SECURITY BEENFIT SBGTL	12,987.18	2.74	100.0	0.00	2.74	2.74
05/01/0018	#B16683107133661824	FL, UTMA	SECURITY BEENFIT SBGTL	569.50	0.48	100.0	0.00	0.48	0.48
05/01/0018	#B16632107077700242	RIZZO	SECURITY BEENFIT SBGTL	9,515.62	1.97	100.0	0.00	1.97	1.97
05/01/0018	#B16683107069663772	MILANA,	SECURITY BEENFIT SBGTL	4,554.76	3.82	100.0	0.00	3.82	3.82
05/01/0018	#B16557107106726917	MERCADO,	SECURITY BEENFIT SBGTL	92,619.46	77.21	100.0	0.00	77.21	77.21
05/01/0018	#B16683104096622808	MILANA,	SECURITY BEENFIT SBGTL	5,145.93	1.07	100.0	0.00	1.07	1.07
05/01/0018	#B16683107062661009	DEMASI,	SECURITY BEENFIT SBGTL	3,768.80	3.14	100.0	0.00	3.14	3.14
05/01/0018	#B16557104099429268	BARNES,	SECURITY BEENFIT SBGTL	72,367.09	15.08	100.0	0.00	15.08	15.08
05/01/0018	#B16557104085646439	BARNES,	SECURITY BEENFIT SBGTL	28,395.06	5.91	100.0	0.00	5.91	5.91
05/01/0018	#B16650007102702501	KELLY,	SECURITY BEENFIT SBGTL	137.46	0.13	100.0	0.00	0.13	0.13



COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
05/02/0018	#N5016654	NATIONAL LIFE GROUP	100.00	1.50	100.0	0.00	1.50	0.00	1.50
05/02/0018	#N5017492	NATIONAL LIFE GROUP	269.00	4.04	100.0	0.00	4.04	0.00	4.04
05/02/0018	#N5017604	NATIONAL LIFE GROUP	70.00	1.05	100.0	0.00	1.05	0.00	1.05
05/02/0018	#N5017605	NATIONAL LIFE GROUP	175.50	2.63	100.0	0.00	2.63	0.00	2.63
05/02/0018	#N5031882	NATIONAL LIFE GROUP	83.33	3.33	100.0	0.00	3.33	0.00	3.33
05/02/0018	#N5017605	NATIONAL LIFE GROUP	74.50	1.12	100.0	0.00	1.12	0.00	1.12
05/02/0018	#N5021699	NATIONAL LIFE GROUP	2,000.00	30.00	100.0	0.00	30.00	0.00	30.00
05/02/0018	#C0195390Y DEMONTGOMERY,	VOYA	0.00	3.11	100.0	0.00	3.11	0.00	3.11
05/02/0018	#C0195390Y DEMONTGOMERY,	VOYA	0.00	3.11	100.0	0.00	3.11	0.00	3.11
05/04/0018	#VR01011472 TRUDELL, CAROLYN	PACIFIC LIFE	400.00	22.00	100.0	0.00	22.00	0.00	22.00
05/07/0018	#500002AEO OILFIELD, TK	TRANSAMERICA FIN LIFE	101.44	0.04	100.0	0.00	0.04	0.00	0.04
05/07/0018	#500002BBB VISTA, ,OUNTAIN	TRANSAMERICA FIN LIFE	49,738.35	20.72	100.0	0.00	20.72	0.00	20.72
05/07/0018	#500002BCO GONZA, AMPARO	TRANSAMERICA FIN LIFE	169.56	0.07	100.0	0.00	0.07	0.00	0.07
05/07/0018	#500002BKK HECKMA, DEVON	TRANSAMERICA FIN LIFE	4,689.68	1.95	100.0	0.00	1.95	0.00	1.95
05/07/0018	#500002BLY LA, MICHAEL	TRANSAMERICA FIN LIFE	304.23	0.13	100.0	0.00	0.13	0.00	0.13
05/07/0018	#500002BMD ASAP TOWING	TRANSAMERICA FIN LIFE	16,549.70	6.90	100.0	0.00	6.90	0.00	6.90
05/07/0018	#500002T37 RI, GABRIEL	TRANSAMERICA FIN LIFE	26,774.61	11.16	100.0	0.00	11.16	0.00	11.16
05/07/0018	#500002T83 ACE APPRAOSAL	TRANSAMERICA FIN LIFE	105,011.29	43.75	100.0	0.00	43.75	0.00	43.75
01/30/0201	#N5017604	NATIONAL LIFE GROUP	70.00	1.05	100.0	0.00	1.05	0.00	1.05
01/30/0201	#N5017605	NATIONAL LIFE GROUP	250.00	3.75	100.0	0.00	3.75	0.00	3.75
01/30/0201	#N5021699	NATIONAL LIFE GROUP	2,628.66	39.43	100.0	0.00	39.43	0.00	39.43
01/30/0201	#N5025252	NATIONAL LIFE GROUP	334.86	5.02	100.0	0.00	5.02	0.00	5.02
01/30/0201	#N5025253	NATIONAL LIFE GROUP	444.54	6.67	100.0	0.00	6.67	0.00	6.67
03/20/0201	#N5016654	NATIONAL LIFE GROUP	100.00	1.50	100.0	0.00	1.50	0.00	1.50
03/20/0201	#N5017492	NATIONAL LIFE GROUP	269.00	4.04	100.0	0.00	4.04	0.00	4.04
03/20/0201	#N5031882	NATIONAL LIFE GROUP	83.33	3.33	100.0	0.00	3.33	0.00	3.33
04/10/0201	#N5021699	NATIONAL LIFE GROUP	593.18	8.90	100.0	0.00	8.90	0.00	8.90
04/10/0201	#N5023028	NATIONAL LIFE GROUP	106.50	1.60	100.0	0.00	1.60	0.00	1.60
04/10/0201	#N5021699	NATIONAL LIFE GROUP	0.00	0.74	100.0	0.00	0.74	0.00	0.74
04/10/0201	#N5021699	NATIONAL LIFE GROUP	1,406.82	21.10	100.0	0.00	21.10	0.00	21.10
04/30/0201	#E1080714 CHADWICK, DIANE	PRUDETIAL TRAILS	0.00	19.91	100.0	0.00	19.91	0.00	19.91
04/30/0201	#E1226791 ARTHUR, ROBERT	PRUDETIAL TRAILS	0.00	8.64	100.0	0.00	8.64	0.00	8.64
04/30/0201	#E1229810 ARTHUR, ROBERT	PRUDETIAL TRAILS	0.00	8.29	100.0	0.00	8.29	0.00	8.29
04/30/0201	#E0840605 SCHNEIDER,	PRUDETIAL TRAILS	0.00	19.79	100.0	0.00	19.79	0.00	19.79
04/30/0201	#E0840605 SCHNEIDER,	PRUDETIAL TRAILS	0.00	59.38	100.0	0.00	59.38	0.00	59.38
04/30/0201	#E0810598 POWELL, MARC	PRUDETIAL TRAILS	0.00	2.95	100.0	0.00	2.95	0.00	2.95

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
04/30/2021	#E0810598	POWELL, MARC	PRUDETIAL TRAILS	0.00	11.80	100.0	0.00	11.80	11.80
04/30/2021	#E0746868	MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	5.90	100.0	0.00	5.90	5.90
04/30/2021	#E0746868	MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	17.69	100.0	0.00	17.69	17.69
04/30/2021	#E0791963	POWELL, MARC	PRUDETIAL TRAILS	0.00	2.08	100.0	0.00	2.08	2.08
04/30/2021	#E0791963	POWELL, MARC	PRUDETIAL TRAILS	0.00	8.30	100.0	0.00	8.30	8.30
04/30/2021	#E0892527	BAIRD, SCOTT	PRUDETIAL TRAILS	0.00	0.02	100.0	0.00	0.02	0.02
04/30/2021	#E0892527	BAIRD, SCOTT	PRUDETIAL TRAILS	0.00	0.02	100.0	0.00	0.02	0.02
04/30/2021	#E0454848	MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	8.28	100.0	0.00	8.28	8.28
04/30/2021	#E0454848	MCCANN, ANNABELLE	PRUDETIAL TRAILS	0.00	33.13	100.0	0.00	33.13	33.13
04/30/2021	#E0847719	CARLSON, KENNETH	PRUDETIAL TRAILS	0.00	30.25	100.0	0.00	30.25	30.25
04/30/2021	#E0847719	CARLSON, KENNETH	PRUDETIAL TRAILS	0.00	7.56	100.0	0.00	7.56	7.56
04/30/2021	#000611043	SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	41.94	100.0	0.00	41.94	41.94
04/30/2021	#000611043	SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	13.98	100.0	0.00	13.98	13.98
04/30/2021	#000611048	SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	14.77	100.0	0.00	14.77	14.77
04/30/2021	#000611048	SCHUKAR, SANDRA	PRUDETIAL TRAILS	0.00	44.32	100.0	0.00	44.32	44.32
04/30/2021	#E0648378	HRITZ, JAMES	PRUDETIAL TRAILS	0.00	3.14	100.0	0.00	3.14	3.14
04/30/2021	#E0648378	HRITZ, JAMES	PRUDETIAL TRAILS	0.00	9.43	100.0	0.00	9.43	9.43
01/03/2018	#N5021699		NATIONAL LIFE GROUP	1,000.00	15.00	100.0	0.00	15.00	15.00
01/03/2018	#N5021699		NATIONAL LIFE GROUP	1,000.00	15.00	100.0	0.00	15.00	15.00
01/09/2018	#N5023028		NATIONAL LIFE GROUP	106.50	1.60	100.0	0.00	1.60	1.60
01/09/2018	#N5021699		NATIONAL LIFE GROUP	0.00	0.85	100.0	0.00	0.85	0.85
02/06/2018	#N5021699		NATIONAL LIFE GROUP	2,628.66	39.43	100.0	0.00	39.43	39.43
02/06/2018	#N5023028		NATIONAL LIFE GROUP	106.50	1.60	100.0	0.00	1.60	1.60
03/06/2018	#N5021699		NATIONAL LIFE GROUP	2,500.00	37.50	100.0	0.00	37.50	37.50
03/06/2018	#N5023028		NATIONAL LIFE GROUP	106.50	1.60	100.0	0.00	1.60	1.60
04/03/2018	#N5021699		NATIONAL LIFE GROUP	2,000.00	30.00	100.0	0.00	30.00	30.00
04/04/2018	#106245LK2	VAIANELLA	TRANS LIFE FE22MA	105,375.35	87.81	100.0	0.00	87.81	87.81
04/07/2018	#127893E2K	VAIANELLA	TRANS LIFE FE2DR1	389.11	0.32	100.0	0.00	0.32	0.32
04/07/2018	#123973LK8	VAIANELLA	TRANS LIFE FL10MS	29,541.03	24.62	100.0	0.00	24.62	24.62
04/07/2018	#123974LK8	VAIANELLA	TRANS LIFE FL10MS	70,581.59	58.82	100.0	0.00	58.82	58.82
04/07/2018	#124709LK8	VAIANELLA	TRANS LIFE FL10MS	97,929.78	81.61	100.0	0.00	81.61	81.61
05/03/2018	#P55D4503600	BOYD, DENA	AMERICAN GEN TRAILS	0.00	33.75	100.0	0.00	33.75	33.75
05/03/2018	#P55D4560319	HENSLEY, KATHY	AMERICAN GEN TRAILS	0.00	15.02	100.0	0.00	15.02	15.02
05/03/2018	#C133357LM	HEAD VAR	VOYA	0.00	62.51	100.0	0.00	62.51	62.51
05/03/2018	#C133358LM	HEAD VAR	VOYA	0.00	84.15	100.0	0.00	84.15	84.15
05/03/2018	#C1870890X	HEAD VAR	VOYA	0.00	20.96	100.0	0.00	20.96	20.96

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>									
05/03/2018	#C1870890X HEAD VAR	VOYA	0.00	44.30	100.0	0.00	44.30	0.00	44.30
05/03/2018	#I2534350W HEAD VAR	VOYA	0.00	121.51	100.0	0.00	121.51	0.00	121.51
05/03/2018	#I2537330W HEAD VAR	VOYA	0.00	52.40	100.0	0.00	52.40	0.00	52.40
05/04/2018	#70051358 THOMPSON, GEORGE	JOHN HANCOCK LIFE TRAILS	0.00	72.22	100.0	0.00	72.22	0.00	72.22
05/04/2018	#2486143 WILLIAMS, KATRINA	JOHN HANCOCK LIFE TRAILS	0.00	10.74	100.0	0.00	10.74	0.00	10.74
05/04/2018	#2486144 WILLIAMS, KELLY	JOHN HANCOCK LIFE TRAILS	0.00	5.26	100.0	0.00	5.26	0.00	5.26
05/04/2018	#2557053 VILLALON, MITZI	JOHN HANCOCK LIFE TRAILS	0.00	39.41	100.0	0.00	39.41	0.00	39.41
05/04/2018	#2557056 GREEN, JILL	JOHN HANCOCK LIFE TRAILS	0.00	1.92	100.0	0.00	1.92	0.00	1.92
05/04/2018	#2580265 SHARMA, RAHUL	JOHN HANCOCK LIFE TRAILS	0.00	72.19	100.0	0.00	72.19	0.00	72.19
05/04/2018	#2589636 LINDE, RYAN	JOHN HANCOCK LIFE TRAILS	0.00	10.26	100.0	0.00	10.26	0.00	10.26
05/04/2018	#95021964 YUNGMANN, WENDELIN	JOHN HANCOCK LIFE TRAILS	0.00	25.22	100.0	0.00	25.22	0.00	25.22
05/04/2018	#2504052 KOONCE, JANICE	JOHN HANCOCK LIFE TRAILS	0.00	31.84	100.0	0.00	31.84	0.00	31.84
05/04/2018	#2538574 GRALA, MERIA	JOHN HANCOCK LIFE TRAILS	0.00	29.45	100.0	0.00	29.45	0.00	29.45
05/04/2018	#2780498 YUNGMANN, WENDELIN	JOHN HANCOCK LIFE TRAILS	0.00	17.78	100.0	0.00	17.78	0.00	17.78
05/08/2018	#N5023028	NATIONAL LIFE GROUP	106.50	1.60	100.0	0.00	1.60	0.00	1.60
05/08/2018	#N5021699	NATIONAL LIFE GROUP	2,000.00	30.00	100.0	0.00	30.00	0.00	30.00
05/08/2018	#VP60469140 BOREN, GARY	PACIFIC LIFE EXE	0.00	2.87	100.0	0.00	2.87	0.00	2.87
05/31/2018	#1017364452 VESCUSO	ELITE ACCESS	7,188.17	5.99	100.0	0.00	5.99	0.00	5.99
05/31/2018	#1017364320 VESCUSO	ELITE ACCESS	9,418.56	7.85	100.0	0.00	7.85	0.00	7.85
05/31/2018	#009420996A LYON, LEIGH	RTMT LAT	173,353.32	72.23	100.0	0.00	72.23	0.00	72.23
05/31/2018	#009421048A RYAN, THOMAS	RTMT LAT	21,733.05	9.06	100.0	0.00	9.06	0.00	9.06
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$1,875,238.85</b>	<b>\$2,821.10</b>		<b>\$0.00</b>	<b>\$2,821.10</b>	<b>\$0.00</b>	<b>\$2,821.10</b>
<b><u>PRODUCT CATEGORY: CERTIFICATES OF DEPOSIT</u></b>									
05/01/2018	# NCIAL SVCS LLC, LIBERTY	JPMORGAN CHASE BK CD CLL C/D FDIC I	59,729.43	0.00	100.0	0.00	0.00	0.00	0.00
05/01/2018	# NCIAL SVCS LLC, LIBERTY	JPMORGAN CHASE BK CD CLL C/D FDIC I	59,788.20	0.00	100.0	0.00	0.00	0.00	0.00
05/01/2018	# NCIAL SVCS LLC, LIBERTY	WELLS FARGO BANK NATL ASSN CD C/D F	23,550.56	0.00	100.0	0.00	0.00	0.00	0.00
05/01/2018	# NCIAL SVCS LLC, LIBERTY	WELLS FARGO BANK NATL ASSN CD C/D F	23,668.75	0.00	100.0	0.00	0.00	0.00	0.00
05/23/2018	# NCIAL SVCS LLC, LIBERTY	HSBC BK USA N A MC LEAN VA 23	13,650.00	0.00	100.0	0.00	0.00	0.00	0.00
05/23/2018	# NCIAL SVCS LLC, LIBERTY	HSBC BK USA N A MC LEAN VA 23	14,000.00	0.00	100.0	0.00	0.00	0.00	0.00
05/23/2018	# NCIAL SVCS LLC, LIBERTY	JPMORGAN CHASE BK NA COLUMBUS	90,000.00	0.00	100.0	0.00	0.00	0.00	0.00
05/23/2018	# NCIAL SVCS LLC, LIBERTY	JPMORGAN CHASE BK NA COLUMBUS	88,650.00	0.00	100.0	0.00	0.00	0.00	0.00
<b>* CERTIFICATES OF DEPOSIT SUBTOTAL *</b>			<b>\$373,036.94</b>	<b>\$0.00</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: UNIT INVESTMENT TRUSTS</u></b>									
05/08/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST CORPORATE TAX SER 3	21,144.27	0.00	100.0	0.00	0.00	19.95	-19.95
05/08/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST CORPORATE TAX SER 3	26,424.72	0.00	100.0	0.00	0.00	19.95	-19.95
05/08/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST CORPORATE TAX SER 3	29,491.88	0.00	100.0	0.00	0.00	19.95	-19.95
05/08/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST CORPORATE TAX SER 3	77,060.87	0.00	100.0	0.00	0.00	19.95	-19.95
05/08/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVERSIFIED INCME &	8,103.11	0.00	100.0	0.00	0.00	19.95	-19.95
05/08/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVERSIFIED INCME &	8,103.11	0.00	100.0	0.00	0.00	19.95	-19.95
05/08/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVERSIFIED INCOME	15,266.83	0.00	100.0	0.00	0.00	19.95	-19.95
05/08/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVERSIFIED INCOME	15,266.83	0.00	100.0	0.00	0.00	19.95	-19.95
05/08/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVERSIFIED INCOME	26,316.91	0.00	100.0	0.00	0.00	19.95	-19.95
05/08/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVERSIFIED INCOME	26,316.91	0.00	100.0	0.00	0.00	19.95	-19.95
05/08/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVID INCOME PORTFO	31,690.25	0.00	100.0	0.00	0.00	19.95	-19.95
05/08/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVID INCOME PORTFO	31,690.25	0.00	100.0	0.00	0.00	19.95	-19.95
05/08/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST FINLS SELECT SER 58	32,435.11	0.00	100.0	0.00	0.00	19.95	-19.95
05/08/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST FINLS SELECT SER 58	32,435.11	0.00	100.0	0.00	0.00	19.95	-19.95
05/08/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST FINLS SELECT SER 58	64,870.21	0.00	100.0	0.00	0.00	19.95	-19.95
05/18/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT SMID CAP STRENGTH PORT	42,994.83	0.00	100.0	0.00	0.00	19.95	-19.95
05/18/2018	# NCIAL SVCS LLC, LIBERTY	UIT FT SMID CAP STRENGTH PORT	42,134.95	0.00	100.0	0.00	0.00	19.95	-19.95
05/29/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVERSIFIED SER 201	56,449.45	0.00	100.0	0.00	0.00	19.95	-19.95
05/29/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVERSIFIED SER 201	56,449.45	0.00	100.0	0.00	0.00	19.95	-19.95
05/29/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVID INCOME PORTFO	26,426.65	0.00	100.0	0.00	0.00	19.95	-19.95
05/29/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST DIVID INCOME PORTFO	26,426.65	0.00	100.0	0.00	0.00	19.95	-19.95
05/29/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST HIGH DIVID SER 33 E	41,436.15	0.00	100.0	0.00	0.00	19.95	-19.95
05/29/2018	# NCIAL SVCS LLC, LIBERTY	UIT FIRST TRUST HIGH DIVID SER 33 E	41,436.15	0.00	100.0	0.00	0.00	19.95	-19.95
<b>* UNIT INVESTMENT TRUSTS SUBTOTAL *</b>			<b>\$780,370.65</b>	<b>\$0.00</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$458.85</b>	<b>\$-458.85</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
04/30/2018	#0000000001700374	**MUTUAL GLOBAL DISCOVERY FUND	0.00	4.58	100.0	0.00	4.58	0.00	4.58
04/30/2018	#000060171366883 TSE, KIN	FRANKLIN GROWTH FUND - CLASS A	0.00	21.41	100.0	0.00	21.41	0.00	21.41
04/30/2018	#000060171367861 TSE, KIN	FRANKLIN GROWTH FUND - CLASS A	0.00	4.41	100.0	0.00	4.41	0.00	4.41
04/30/2018	#000060172469096 TSE, KIN	FRANKLIN GROWTH FUND - CLASS A	0.00	27.79	100.0	0.00	27.79	0.00	27.79
04/30/2018	#000060175479443 TSE, KIN	FRANKLIN GROWTH FUND - CLASS A	0.00	138.48	100.0	0.00	138.48	0.00	138.48
04/30/2018	#000060176286229 TSE, KIN	FRANKLIN GROWTH FUND - CLASS A	0.00	8.11	100.0	0.00	8.11	0.00	8.11
04/30/2018	#000060176298364 TSE, KIN	FRANKLIN GROWTH FUND - CLASS A	0.00	31.03	100.0	0.00	31.03	0.00	31.03
04/30/2018	#000060176351468 TSE, KIN	FRANKLIN GROWTH FUND - CLASS A	0.00	5.38	100.0	0.00	5.38	0.00	5.38
04/30/2018	#0000000009314699 HECTO	FRANKLIN INCOME FDS SER CL C	0.00	22.86	100.0	0.00	22.86	0.00	22.86
04/30/2018	#000090198923802 CHEE, WON	FRANKLIN INCOME SERIES CL A	0.00	9.61	100.0	0.00	9.61	0.00	9.61

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
04/30/2018	#000090228908187	CHEE, WON	FRANKLIN INCOME SERIES CL A	0.00	0.17	100.0	0.00	0.17	0.17
04/30/2018	#000012010183888	HOUSEVAR	FRANKLIN MN TAX FR INCOME FUND - CL	0.00	44.35	100.0	0.00	44.35	44.35
04/30/2018	#000015815357953	HOUSEVAR	FRANKLIN RISING DIVS FD CL A	0.00	0.86	100.0	0.00	0.86	0.86
04/30/2018	#000011217553576	HOUSEVAR	FRANKLIN TEMPLETON FUNDS CALIF TAX	0.00	21.88	100.0	0.00	21.88	21.88
04/30/2018	#000000000481782	MIKELSON,	FRANKLIN TEMPLETON FUNDS CALIF TAX	0.00	54.94	100.0	0.00	54.94	54.94
04/30/2018	#000011600671987	HOUSEVAR	FRANKLIN TEMPLETON FUNDS FED TAX FR	0.00	10.78	100.0	0.00	10.78	10.78
04/30/2018	#000013000228683	HOUSEVAR	FRANKLIN TEMPLETON FUNDS HI YLD TX	0.00	16.05	100.0	0.00	16.05	16.05
04/30/2018	#000013015738454	HOUSEVAR	FRANKLIN TEMPLETON FUNDS HI YLD TX	0.00	1.97	100.0	0.00	1.97	1.97
04/30/2018	#000020311172316	HOUSEVAR	FRANKLIN TEMPLETON FUNDS NATURAL RE	0.00	4.27	100.0	0.00	4.27	4.27
04/30/2018	#000011501753308	HOUSEVAR	FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	6.75	100.0	0.00	6.75	6.75
04/30/2018	#000011501757941	HOUSEVAR	FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	46.42	100.0	0.00	46.42	46.42
04/30/2018	#000011501760707	HOUSEVAR	FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	95.32	100.0	0.00	95.32	95.32
04/30/2018	#000011502509859	HOUSEVAR	FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	22.85	100.0	0.00	22.85	22.85
04/30/2018	#000011502676320	HOUSEVAR	FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	8.06	100.0	0.00	8.06	8.06
04/30/2018	#000011502712101	HOUSEVAR	FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	9.85	100.0	0.00	9.85	9.85
04/30/2018	#000011503122720	HOUSEVAR	FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	11.53	100.0	0.00	11.53	11.53
04/30/2018	#000011503127683	HOUSEVAR	FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	19.92	100.0	0.00	19.92	19.92
04/30/2018	#000011503203557	HOUSEVAR	FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	17.63	100.0	0.00	17.63	17.63
04/30/2018	#000011503283504	HOUSEVAR	FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	9.13	100.0	0.00	9.13	9.13
04/30/2018	#000011515658911	HOUSEVAR	FRANKLIN TEMPLETON FUNDS NEW YORK T	0.00	9.16	100.0	0.00	9.16	9.16
04/30/2018	#000010150769573	HOUSEVAR	FRANKLIN TEMPLETON FUNDS U S GOVT S	0.00	2.97	100.0	0.00	2.97	2.97
04/30/2018	#000010163940847	HOUSEVAR	FRANKLIN TEMPLETON FUNDS U S GOVT S	0.00	13.75	100.0	0.00	13.75	13.75
04/30/2018	#000010166203140	HOUSEVAR	FRANKLIN TEMPLETON FUNDS U S GOVT S	0.00	34.14	100.0	0.00	34.14	34.14
04/30/2018	#000070184385535	TSE, KIN	FRANKLIN TEMPLETON FUNDS UTILITIES	0.00	19.80	100.0	0.00	19.80	19.80
04/30/2018	#000070184452006	TSE, KIN	FRANKLIN TEMPLETON FUNDS UTILITIES	0.00	43.90	100.0	0.00	43.90	43.90
04/30/2018	#000070186366499	TSE, KIN	FRANKLIN TEMPLETON FUNDS UTILITIES	0.00	27.28	100.0	0.00	27.28	27.28
04/30/2018	#000070186368339	TSE, KIN	FRANKLIN TEMPLETON FUNDS UTILITIES	0.00	36.69	100.0	0.00	36.69	36.69
04/30/2018	#000070186489398	TSE, KIN	FRANKLIN TEMPLETON FUNDS UTILITIES	0.00	17.07	100.0	0.00	17.07	17.07
04/30/2018	#000070186862440	TSE, KIN	FRANKLIN TEMPLETON FUNDS UTILITIES	0.00	5.29	100.0	0.00	5.29	5.29
04/30/2018	#000070187816508	TSE, KIN	FRANKLIN TEMPLETON FUNDS UTILITIES	0.00	2.12	100.0	0.00	2.12	2.12
04/30/2018	#000070187839292	CHEE, WON	FRANKLIN TEMPLETON FUNDS UTILITIES	0.00	4.83	100.0	0.00	4.83	4.83
04/30/2018	#000070187983486	CHEE, WON	FRANKLIN TEMPLETON FUNDS UTILITIES	0.00	10.31	100.0	0.00	10.31	10.31
04/30/2018	#000000000282424	LAU	TEMPLETON CHINA WORLD FUND CLASS A	0.00	4.54	100.0	0.00	4.54	4.54
04/30/2018	#000000000624080	LAU	TEMPLETON CHINA WORLD FUND CLASS A	0.00	4.40	100.0	0.00	4.40	4.40
04/30/2018	#000000000662522	LAU	TEMPLETON CHINA WORLD FUND CLASS A	0.00	5.21	100.0	0.00	5.21	5.21
04/30/2018	#000000000914782	LAU	TEMPLETON CHINA WORLD FUND CLASS A	0.00	3.86	100.0	0.00	3.86	3.86

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION	
BROKER TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
04/30/2018	#000000000914794	LAU	TEMPLETON CHINA WORLD FUND CLASS A	0.00	3.87	100.0	0.00	3.87	0.00	3.87
04/30/2018	#000000003265168	HECTO	TEMPLETON FUNDS INC FOREIGN FUND CL	0.00	0.00	100.0	0.00	0.00	0.00	0.00
04/30/2018	#000005970682897	HOUSEVAR	TEMPLETON GL OPPS TR FUND - CLASS A	0.00	0.45	100.0	0.00	0.45	0.00	0.45
04/30/2018	#000008101124862	HOUSEVAR	TEMPLETON GROWTH FUND INC CL A	0.00	9.56	100.0	0.00	9.56	0.00	9.56
04/30/2018	#000001979469566	HOUSEVAR	TEMPLETON GROWTH FUND INC CL A	0.00	0.86	100.0	0.00	0.86	0.00	0.86
04/30/2018	#000000000833968		TEMPLETON GROWTH FUND INC CL C	0.00	4.91	100.0	0.00	4.91	0.00	4.91
04/30/2018	#000000000833970		TEMPLETON GROWTH FUND INC CL C	0.00	4.91	100.0	0.00	4.91	0.00	4.91
04/30/2018	#000000001908514		TEMPLETON GROWTH FUND INC CL C	0.00	3.32	100.0	0.00	3.32	0.00	3.32
04/30/2018	#000000002335418		TEMPLETON GROWTH FUND INC CL C	0.00	2.72	100.0	0.00	2.72	0.00	2.72
04/30/2018	#000000002335432		TEMPLETON GROWTH FUND INC CL C	0.00	2.72	100.0	0.00	2.72	0.00	2.72
04/30/2018	#000000002987156		TEMPLETON GROWTH FUND INC CL C	0.00	2.24	100.0	0.00	2.24	0.00	2.24
05/07/2018	#01468970379	VARIOUS HOUSE	FIDELITY ADV HIGH INCOME-CL A	0.00	24.32	100.0	0.00	24.32	0.00	24.32
05/07/2018	#01456449279	VARIOUS	FIDELITY ADV HIGH INCOME-CL A	0.00	0.61	100.0	0.00	0.61	0.00	0.61
05/07/2018	#01485263345	VARIOUS	FIDELITY ADV HIGH INCOME-CL A	0.00	0.79	100.0	0.00	0.79	0.00	0.79
05/07/2018	#00700876071	VARIOUS HOUSE	FA 529 COLLEGE PORT-CL D	0.00	1.97	100.0	0.00	1.97	0.00	1.97
05/07/2018	#00511602831	VARIOUS HOUSE	FA ADVISOR SHORT TERM BOND FUND	0.00	4.73	100.0	0.00	4.73	0.00	4.73
05/07/2018	#01456449279	VARIOUS	FA ADVISOR SHORT TERM BOND FUND	0.00	0.29	100.0	0.00	0.29	0.00	0.29
05/07/2018	#01468970379	VARIOUS HOUSE	FA REAL ESTATE INCOME FUND	0.00	88.10	100.0	0.00	88.10	0.00	88.10
05/07/2018	#00500331723	VARIOUS HOUSE	FA SHORT TERM BOND C	0.00	1.29	100.0	0.00	1.29	0.00	1.29
05/07/2018	#00689683829	VARIOUS HOUSE	FIDELITY ADV BALANCED-CL T	0.00	0.47	100.0	0.00	0.47	0.00	0.47
05/07/2018	#00501330088	VARIOUS HOUSE	FIDELITY ADV BIOTECHNOLOGY-CL A	0.00	0.88	100.0	0.00	0.88	0.00	0.88
05/07/2018	#00501330088	VARIOUS HOUSE	FIDELITY ADV COMM EQUIPMENT-CL A	0.00	0.21	100.0	0.00	0.21	0.00	0.21
05/07/2018	#00063440929	VARIOUS HOUSE	FIDELITY ADV EMERGING MRKTS INC-CL	0.00	0.81	100.0	0.00	0.81	0.00	0.81
05/07/2018	#01456449279	VARIOUS	FIDELITY ADV EMERGING MRKTS INC-CL	0.00	0.62	100.0	0.00	0.62	0.00	0.62
05/07/2018	#00655923407	VARIOUS HOUSE	FIDELITY ADV EQUITY GROWTH-CL T	0.00	0.78	100.0	0.00	0.78	0.00	0.78
05/07/2018	#00689433449	ARMAND, JUDITH	FIDELITY ADV EQUITY GROWTH-CL T	0.00	12.78	100.0	0.00	12.78	0.00	12.78
05/07/2018	#00689505493	VARIOUS HOUSE	FIDELITY ADV EQUITY GROWTH-CL T	0.00	18.52	100.0	0.00	18.52	0.00	18.52
05/07/2018	#00689698231	VARIOUS HOUSE	FIDELITY ADV EQUITY GROWTH-CL T	0.00	0.47	100.0	0.00	0.47	0.00	0.47
05/07/2018	#00700332646	BAPTISTE, MARIE	FIDELITY ADV EQUITY GROWTH-CL T	0.00	0.53	100.0	0.00	0.53	0.00	0.53
05/07/2018	#00700381551	VARIOUS HOUSE	FIDELITY ADV EQUITY GROWTH-CL T	0.00	3.47	100.0	0.00	3.47	0.00	3.47
05/07/2018	#00006803894	VARIOUS HOUSE	FIDELITY ADV EQUITY INCOME-CL A	0.00	24.82	100.0	0.00	24.82	0.00	24.82
05/07/2018	#00063113120	VARIOUS HOUSE	FIDELITY ADV EQUITY INCOME-CL A	0.00	0.11	100.0	0.00	0.11	0.00	0.11
05/07/2018	#00689697233	VARIOUS HOUSE	FIDELITY ADV EQUITY INCOME-CL A	0.00	1.14	100.0	0.00	1.14	0.00	1.14
05/07/2018	#00689698223	LORD, SUSAN	FIDELITY ADV EQUITY INCOME-CL A	0.00	1.15	100.0	0.00	1.15	0.00	1.15
05/07/2018	#00689698231	VARIOUS HOUSE	FIDELITY ADV EQUITY INCOME-CL T	0.00	2.27	100.0	0.00	2.27	0.00	2.27
05/07/2018	#00689848828		FIDELITY ADV EQUITY VALUE-CL A	0.00	17.00	100.0	0.00	17.00	0.00	17.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/07/2018	#00063440911	VARIOUS HOUSE	FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.33	100.0	0.00	0.33	0.33
05/07/2018	#00063440929	VARIOUS HOUSE	FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.33	100.0	0.00	0.33	0.33
05/07/2018	#00689698223	LORD, SUSAN	FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.07	100.0	0.00	0.07	0.07
05/07/2018	#00689848828		FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.16	100.0	0.00	0.16	0.16
05/07/2018	#00689698231	VARIOUS HOUSE	FIDELITY ADV FINANCIAL SERVICES-CL	0.00	0.30	100.0	0.00	0.30	0.30
05/07/2018	#00689683829	VARIOUS HOUSE	FIDELITY ADV FLOATING RATE HIGH INC	0.00	0.15	100.0	0.00	0.15	0.15
05/07/2018	#01456449279	VARIOUS	FIDELITY ADV FLOATING RATE HIGH INC	0.00	0.76	100.0	0.00	0.76	0.76
05/07/2018	#00065009839	VARIOUS HOUSE	FIDELITY ADV GOLD-CL A	0.00	2.30	100.0	0.00	2.30	2.30
05/07/2018	#01456449279	VARIOUS	FIDELITY ADV GOVERNMENT INCOME-CL A	0.00	0.64	100.0	0.00	0.64	0.64
05/07/2018	#01485263345	VARIOUS	FIDELITY ADV GOVERNMENT INCOME-CL A	0.00	0.72	100.0	0.00	0.72	0.72
05/07/2018	#00063113120	VARIOUS HOUSE	FIDELITY ADV GROWTH & INCOME-CL A	0.00	0.11	100.0	0.00	0.11	0.11
05/07/2018	#00618721120	VARIOUS HOUSE	FIDELITY ADV GROWTH & INCOME-CL A	0.00	0.26	100.0	0.00	0.26	0.26
05/07/2018	#00655609956	VARIOUS HOUSE	FIDELITY ADV GROWTH & INCOME-CL A	0.00	0.24	100.0	0.00	0.24	0.24
05/07/2018	#00701951980	VARIOUS	FIDELITY ADV GROWTH & INCOME-CL A	0.00	0.03	100.0	0.00	0.03	0.03
05/07/2018	#01485263345	VARIOUS	FIDELITY ADV GROWTH & INCOME-CL A	0.00	0.39	100.0	0.00	0.39	0.39
05/07/2018	#01489857019	VARIOUS	FIDELITY ADV GROWTH & INCOME-CL A	0.00	0.03	100.0	0.00	0.03	0.03
05/07/2018	#00062804968	VARIOUS HOUSE	FIDELITY ADV GROWTH OPPORT-CL A	0.00	2.41	100.0	0.00	2.41	2.41
05/07/2018	#00062990338	VARIOUS HOUSE	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.13	100.0	0.00	0.13	0.13
05/07/2018	#00618796379	VARIOUS HOUSE	FIDELITY ADV GROWTH OPPORT-CL A	0.00	1.40	100.0	0.00	1.40	1.40
05/07/2018	#00655609956	VARIOUS HOUSE	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.21	100.0	0.00	0.21	0.21
05/07/2018	#01485263345	VARIOUS	FIDELITY ADV GROWTH OPPORT-CL A	0.00	0.39	100.0	0.00	0.39	0.39
05/07/2018	#00063113120	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.06	100.0	0.00	0.06	0.06
05/07/2018	#00063272603	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.13	100.0	0.00	0.13	0.13
05/07/2018	#00063440911	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	1.77	100.0	0.00	1.77	1.77
05/07/2018	#00063440929	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	1.77	100.0	0.00	1.77	1.77
05/07/2018	#00064946619	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	3.26	100.0	0.00	3.26	3.26
05/07/2018	#00065098758	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.57	100.0	0.00	0.57	0.57
05/07/2018	#00618721120	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.57	100.0	0.00	0.57	0.57
05/07/2018	#00655979805	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL A	0.00	0.17	100.0	0.00	0.17	0.17
05/07/2018	#00689848828		FIDELITY ADV HEALTH CARE-CL A	0.00	0.34	100.0	0.00	0.34	0.34
05/07/2018	#00689853968	VARIOUS HOUSE	FIDELITY ADV HEALTH CARE-CL T	0.00	0.69	100.0	0.00	0.69	0.69
05/07/2018	#01468970379	VARIOUS HOUSE	FIDELITY ADV HIGH INCOME	0.00	82.13	100.0	0.00	82.13	82.13
05/07/2018	#01456449279	VARIOUS	FIDELITY ADV INFLATION PROTECTED BD	0.00	0.61	100.0	0.00	0.61	0.61
05/07/2018	#01485263345	VARIOUS	FIDELITY ADV INFLATION PROTECTED BD	0.00	0.69	100.0	0.00	0.69	0.69
05/07/2018	#00510641756	VARIOUS HOUSE	FIDELITY ADV INTERMEDIATE BOND-CL A	0.00	1.25	100.0	0.00	1.25	1.25
05/07/2018	#01456449279	VARIOUS	FIDELITY ADV INTERMEDIATE BOND-CL A	0.00	0.50	100.0	0.00	0.50	0.50

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/07/2018	#00700381551	VARIOUS HOUSE	FIDELITY ADV INTERMEDIATE BOND-CL T	0.00	0.19	100.0	0.00	0.19	0.19
05/07/2018	#00700467251	VARIOUS HOUSE	FIDELITY ADV INTERMEDIATE BOND-CL T	0.00	1.09	100.0	0.00	1.09	1.09
05/07/2018	#00065009839	VARIOUS HOUSE	FIDELITY ADV INTERNATIONAL VALUE-CL	0.00	0.46	100.0	0.00	0.46	0.46
05/07/2018	#00062778436	VARIOUS HOUSE	FIDELITY ADV LARGE CAP-CL A	0.00	4.01	100.0	0.00	4.01	4.01
05/07/2018	#00689683829	VARIOUS HOUSE	FIDELITY ADV LARGE CAP-CL T	0.00	0.52	100.0	0.00	0.52	0.52
05/07/2018	#00063440929	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	1.68	100.0	0.00	1.68	1.68
05/07/2018	#00064946619	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.42	100.0	0.00	0.42	0.42
05/07/2018	#00065098758	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.42	100.0	0.00	0.42	0.42
05/07/2018	#00501330088	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.20	100.0	0.00	0.20	0.20
05/07/2018	#00618264410	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	2.02	100.0	0.00	2.02	2.02
05/07/2018	#00655540011	LAMARRE, MARIE	FIDELITY ADV MID CAP-CL A	0.00	8.87	100.0	0.00	8.87	8.87
05/07/2018	#00689697225	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.62	100.0	0.00	0.62	0.62
05/07/2018	#00700516297	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL A	0.00	0.03	100.0	0.00	0.03	0.03
05/07/2018	#00700431885	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL T	0.00	18.84	100.0	0.00	18.84	18.84
05/07/2018	#01487227504	TRUST,	FIDELITY ADV MID CAP-CL T	0.00	3.43	100.0	0.00	3.43	3.43
05/07/2018	#01487228155	VARIOUS HOUSE	FIDELITY ADV MID CAP-CL T	0.00	0.04	100.0	0.00	0.04	0.04
05/07/2018	#01485263345	VARIOUS	FIDELITY ADV NEW INSIGHTS-CL A	0.00	0.39	100.0	0.00	0.39	0.39
05/07/2018	#00063440911	VARIOUS HOUSE	FIDELITY ADV OVERSEAS-CL A	0.00	0.29	100.0	0.00	0.29	0.29
05/07/2018	#01485263345	VARIOUS	FIDELITY ADV STRATEGIC DIV & INCOME	0.00	0.39	100.0	0.00	0.39	0.39
05/07/2018	#01468970379	VARIOUS HOUSE	FIDELITY ADV STRATEGIC INCOME-CL C	0.00	86.11	100.0	0.00	86.11	86.11
05/07/2018	#00063440911	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	1.40	100.0	0.00	1.40	1.40
05/07/2018	#00063440929	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.89	100.0	0.00	0.89	0.89
05/07/2018	#00064946619	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.69	100.0	0.00	0.69	0.69
05/07/2018	#00618721112	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.54	100.0	0.00	0.54	0.54
05/07/2018	#00618721120	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.36	100.0	0.00	0.36	0.36
05/07/2018	#00655495752	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	35.37	100.0	0.00	35.37	35.37
05/07/2018	#00655540011	LAMARRE, MARIE	FIDELITY ADV TECHNOLOGY-CL A	0.00	7.11	100.0	0.00	7.11	7.11
05/07/2018	#00655609956	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.16	100.0	0.00	0.16	0.16
05/07/2018	#00655711414	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.02	100.0	0.00	0.02	0.02
05/07/2018	#00655979805	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.26	100.0	0.00	0.26	0.26
05/07/2018	#00689848828		FIDELITY ADV TECHNOLOGY-CL A	0.00	0.20	100.0	0.00	0.20	0.20
05/07/2018	#00701252348	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL A	0.00	0.47	100.0	0.00	0.47	0.47
05/07/2018	#00655923407	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL T	0.00	0.42	100.0	0.00	0.42	0.42
05/07/2018	#00689853968	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL T	0.00	1.12	100.0	0.00	1.12	1.12
05/07/2018	#00700332646	BAPTISTE, MARIE	FIDELITY ADV TECHNOLOGY-CL T	0.00	1.88	100.0	0.00	1.88	1.88
05/07/2018	#00700431885	VARIOUS HOUSE	FIDELITY ADV TECHNOLOGY-CL T	0.00	10.91	100.0	0.00	10.91	10.91



COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
05/07/2018	#01456449279	VARIOUS	FIDELITY ADV TOTAL BOND-CL A	0.00	1.42	100.0	0.00	1.42	1.42
05/07/2018	#01485263345	VARIOUS	FIDELITY ADV TOTAL BOND-CL A	0.00	0.80	100.0	0.00	0.80	0.80
05/07/2018	#00655495752	VARIOUS HOUSE	FIDELITY ADV UTILITIES-CL A	0.00	5.27	100.0	0.00	5.27	5.27
05/07/2018	#00689848828		FIDELITY ADV UTILITIES-CL A	0.00	0.23	100.0	0.00	0.23	0.23
05/07/2018	#00065098758	VARIOUS HOUSE	FIDELITY ADV UTILITIES-CL T	0.00	0.87	100.0	0.00	0.87	0.87
05/07/2018	#00065098758	VARIOUS HOUSE	FIDELITY ADV VALUE STRATEGIES-CL T	0.00	0.36	100.0	0.00	0.36	0.36
05/07/2018	#00689698231	VARIOUS HOUSE	FIDELITY ADV VALUE STRATEGIES-CL T	0.00	1.65	100.0	0.00	1.65	1.65
05/07/2018	#00700381551	VARIOUS HOUSE	FIDELITY ADV VALUE STRATEGIES-CL T	0.00	3.37	100.0	0.00	3.37	3.37
05/07/2018	#00700467251	VARIOUS HOUSE	FIDELITY ADV VALUE STRATEGIES-CL T	0.00	7.93	100.0	0.00	7.93	7.93
05/07/2018	#01475366801	VARIOUS HOUSE	FIDELITY ADVISOR EMERGING MARKETS F	0.00	1.45	100.0	0.00	1.45	1.45
05/07/2018	#00689960367	VARIOUS HOUSE	FIDELITY ADVISOR ENERGY CL A	0.00	0.95	100.0	0.00	0.95	0.95
05/07/2018	#00064946619	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.40	100.0	0.00	0.40	0.40
05/07/2018	#00065098758	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.35	100.0	0.00	0.35	0.35
05/07/2018	#00501330088	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	1.53	100.0	0.00	1.53	1.53
05/07/2018	#00618721112	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.25	100.0	0.00	0.25	0.25
05/07/2018	#00618721120	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.28	100.0	0.00	0.28	0.28
05/07/2018	#00618796379	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	1.71	100.0	0.00	1.71	1.71
05/07/2018	#00655495752	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	11.15	100.0	0.00	11.15	11.15
05/07/2018	#00655609956	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.27	100.0	0.00	0.27	0.27
05/07/2018	#00655610640	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.39	100.0	0.00	0.39	0.39
05/07/2018	#00689697225	VARIOUS HOUSE	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.95	100.0	0.00	0.95	0.95
05/07/2018	#00689698223	LORD, SUSAN	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.23	100.0	0.00	0.23	0.23
05/07/2018	#01485263345	VARIOUS	FIDELITY ADVISOR EQUITY GROWTH FUND	0.00	0.39	100.0	0.00	0.39	0.39
05/07/2018	#00701951980	VARIOUS	FIDELITY ADVISOR SER I LEVERAGED CO	0.00	0.03	100.0	0.00	0.03	0.03
05/07/2018	#00700516297	VARIOUS HOUSE	FIDELITY ADVISOR SER II MID CAP II	0.00	6.97	100.0	0.00	6.97	6.97
05/07/2018	#00062990338	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.19	100.0	0.00	0.19	0.19
05/07/2018	#00064946619	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.11	100.0	0.00	0.11	0.11
05/07/2018	#00618721120	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	1.30	100.0	0.00	1.30	1.30
05/07/2018	#00655071025	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	5.51	100.0	0.00	5.51	5.51
05/07/2018	#00655495752	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	6.25	100.0	0.00	6.25	6.25
05/07/2018	#00655540011	LAMARRE, MARIE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	7.13	100.0	0.00	7.13	7.13
05/07/2018	#00689697225	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.64	100.0	0.00	0.64	0.64
05/07/2018	#00655923407	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	2.25	100.0	0.00	2.25	2.25
05/07/2018	#00689683829	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	0.56	100.0	0.00	0.56	0.56
05/07/2018	#00700467251	VARIOUS HOUSE	FIDELITY ADVISOR STOCK SELECTOR ALL	0.00	9.98	100.0	0.00	9.98	9.98
05/07/2018	#01468970379	VARIOUS HOUSE	FIDELITY ADVISOR STRATEGIC INCOME F	0.00	26.19	100.0	0.00	26.19	26.19

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/07/2018	#01456449279	VARIOUS	FIDELITY ADVISOR STRATEGIC INCOME F	0.00	1.58	100.0	0.00	1.58	1.58
05/07/2018	#01485263345	VARIOUS	FIDELITY ADVISOR STRATEGIC INCOME F	0.00	0.87	100.0	0.00	0.87	0.87
05/07/2018	#00063113120	VARIOUS HOUSE	FIDELITY ADVISORS VALUE STRATEGIES	0.00	0.11	100.0	0.00	0.11	0.11
05/07/2018	#00064878069	VARIOUS HOUSE	FIDELITY ADVISORS VALUE STRATEGIES	0.00	0.45	100.0	0.00	0.45	0.45
05/07/2018	#00510641756	VARIOUS HOUSE	FIDELITY ADVISORS VALUE STRATEGIES	0.00	3.36	100.0	0.00	3.36	3.36
05/07/2018	#00689698223	LORD, SUSAN	FIDELITY ADVISORS VALUE STRATEGIES	0.00	0.94	100.0	0.00	0.94	0.94
05/07/2018	#00689848828		FIDELITY ADVISORS VALUE STRATEGIES	0.00	4.41	100.0	0.00	4.41	4.41
05/07/2018	#00063113120	VARIOUS HOUSE	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	0.29	100.0	0.00	0.29	0.29
05/07/2018	#00064946619	VARIOUS HOUSE	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	9.91	100.0	0.00	9.91	9.91
05/07/2018	#00656147402	VARIOUS HOUSE	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	0.01	100.0	0.00	0.01	0.01
05/07/2018	#01445570219	VARIOUS HOUSE	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	0.20	100.0	0.00	0.20	0.20
05/07/2018	#01485263345	VARIOUS	FIDELITY HEREFORD STR TR GOVT MONEY	0.00	0.42	100.0	0.00	0.42	0.42
05/07/2018	#00510641756	VARIOUS HOUSE	FIDELITY INVT TR	0.00	1.03	100.0	0.00	1.03	1.03
05/07/2018	#01468970379	VARIOUS HOUSE	FIDELITY SECS FD REAL ESTATE INCOME	0.00	26.63	100.0	0.00	26.63	26.63
05/07/2018	#01468970379	VARIOUS HOUSE	FMR 316203777	0.00	82.40	100.0	0.00	82.40	82.40
05/07/2018	#01468970379	VARIOUS HOUSE	FMR 316203793	0.00	25.46	100.0	0.00	25.46	25.46
05/07/2018	#00065427064	VARIOUS HOUSE	TREASURY FUND - DAILY MONEY CLASS	0.00	0.36	100.0	0.00	0.36	0.36
05/09/2018	# CAVALUZZO, LAURA	DAIN TRAILER		0.00	2.79	100.0	0.00	2.79	2.79
05/09/2018	# CAVALUZZO, LAURA	DAIN TRAILER		0.00	2.22	100.0	0.00	2.22	2.22
05/09/2018	# CAVALUZZO, LAURA	DAIN TRAILER		0.00	2.39	100.0	0.00	2.39	2.39
05/09/2018	# CAVALUZZO, LAURA	DAIN TRAILER		0.00	1.77	100.0	0.00	1.77	1.77
05/09/2018	# LAURA CAVALUZZO TTEE	DAIN TRAILER		0.00	1.69	100.0	0.00	1.69	1.69
05/09/2018	# LAURA CAVALUZZO TTEE	DAIN TRAILER		0.00	1.68	100.0	0.00	1.68	1.68
05/09/2018	# CRAWFORD, JOSHUA	DAIN TRAILER		0.00	0.66	100.0	0.00	0.66	0.66
05/09/2018	# CRAWFORD, JOSHUA	DAIN TRAILER		0.00	1.09	100.0	0.00	1.09	1.09
05/09/2018	# FRANK BUONOMO TRUST	DAIN TRAILER		0.00	26.37	100.0	0.00	26.37	26.37
05/09/2018	# FRANK BUONOMO TRUST	DAIN TRAILER		0.00	1.35	100.0	0.00	1.35	1.35
05/09/2018	# ARASE, ANNABEL	DAIN TRAILER		0.00	0.79	100.0	0.00	0.79	0.79
05/09/2018	# MORRILL, DONALD	DAIN TRAILER		0.00	1.39	100.0	0.00	1.39	1.39
05/09/2018	# MORRILL, DONALD	DAIN TRAILER		0.00	1.27	100.0	0.00	1.27	1.27
05/09/2018	# MORRILL, DONALD	DAIN TRAILER		0.00	1.73	100.0	0.00	1.73	1.73
05/09/2018	# MORRILL, DONALD	DAIN TRAILER		0.00	1.99	100.0	0.00	1.99	1.99
05/09/2018	# MORRILL, DONALD	DAIN TRAILER		0.00	1.78	100.0	0.00	1.78	1.78
05/09/2018	# WROTSKAVSKY, MAGDA	DAIN TRAILER		0.00	0.93	100.0	0.00	0.93	0.93
05/09/2018	# VANDEVANTER, SARAH	DAIN TRAILER		0.00	6.80	100.0	0.00	6.80	6.80
05/09/2018	# VANDEVANTER, SARAH	DAIN TRAILER		0.00	0.76	100.0	0.00	0.76	0.76

COMMISSION STATEMENT for LPFS HOUSE

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2018	# VANDEVANTER, SARAH	DAIN TRAILER	0.00	0.52	100.0	0.00	0.52	0.00	0.52
05/09/2018	# BRYANT, MORGAN	DAIN TRAILER	0.00	4.81	100.0	0.00	4.81	0.00	4.81
05/09/2018	# FRENCH, KENNER	DAIN TRAILER	0.00	0.27	100.0	0.00	0.27	0.00	0.27
05/09/2018	# HENNEY, EDGAR	DAIN TRAILER	0.00	0.43	100.0	0.00	0.43	0.00	0.43
05/09/2018	# HENNEY, EDGAR	DAIN TRAILER	0.00	0.44	100.0	0.00	0.44	0.00	0.44
05/09/2018	# MURPHY, BRIAN	DAIN TRAILER	0.00	0.65	100.0	0.00	0.65	0.00	0.65
05/09/2018	# DELLAMONICA, MARIE	DAIN TRAILER	0.00	0.44	100.0	0.00	0.44	0.00	0.44
05/09/2018	# DELLAMONICA, MARIE	DAIN TRAILER	0.00	0.09	100.0	0.00	0.09	0.00	0.09
05/09/2018	# BARRY, JOSEPHINE	DAIN TRAILER	0.00	1.76	100.0	0.00	1.76	0.00	1.76
05/09/2018	# BARRY, JOSEPHINE	DAIN TRAILER	0.00	0.25	100.0	0.00	0.25	0.00	0.25
05/09/2018	# BARRY, JOSEPHINE	DAIN TRAILER	0.00	0.88	100.0	0.00	0.88	0.00	0.88
05/09/2018	# MURPHY, CAITLIN	DAIN TRAILER	0.00	0.86	100.0	0.00	0.86	0.00	0.86
05/09/2018	# GALLAGHER, JAMES	DAIN TRAILER	0.00	5.40	100.0	0.00	5.40	0.00	5.40
05/09/2018	# MCNARY, JALEESA	DAIN TRAILER	0.00	0.10	100.0	0.00	0.10	0.00	0.10
05/09/2018	# MORRIS, JOHN	DAIN TRAILER	0.00	1.20	100.0	0.00	1.20	0.00	1.20
05/09/2018	# FRANK BUONOMO TRUST	DAIN TRAILER	0.00	1.08	100.0	0.00	1.08	0.00	1.08
05/09/2018	# FRANK BUONOMO TRUST	DAIN TRAILER	0.00	2.12	100.0	0.00	2.12	0.00	2.12
05/09/2018	# MCGARRAH, DENNIS	DAIN TRAILER	0.00	1.50	100.0	0.00	1.50	0.00	1.50
05/09/2018	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	0.46	100.0	0.00	0.46	0.00	0.46
05/09/2018	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	1.04	100.0	0.00	1.04	0.00	1.04
05/09/2018	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	0.73	100.0	0.00	0.73	0.00	0.73
05/09/2018	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	1.05	100.0	0.00	1.05	0.00	1.05
05/09/2018	# HORTON, KATHY	DAIN TRAILER	0.00	23.49	100.0	0.00	23.49	0.00	23.49
05/09/2018	# ALVES, ELMIRA	DAIN TRAILER	0.00	7.52	100.0	0.00	7.52	0.00	7.52
05/09/2018	# BOWLEY, KAREN	DAIN TRAILER	0.00	0.35	100.0	0.00	0.35	0.00	0.35
05/09/2018	# BOWLEY, KAREN	DAIN TRAILER	0.00	0.90	100.0	0.00	0.90	0.00	0.90
05/09/2018	# BOWLEY, KAREN	DAIN TRAILER	0.00	2.40	100.0	0.00	2.40	0.00	2.40
05/09/2018	# MARTIN, JILL	DAIN TRAILER	0.00	5.12	100.0	0.00	5.12	0.00	5.12
05/09/2018	# MARTIN, JILL	DAIN TRAILER	0.00	6.38	100.0	0.00	6.38	0.00	6.38
05/09/2018	# MARTIN, JILL	DAIN TRAILER	0.00	4.80	100.0	0.00	4.80	0.00	4.80
05/09/2018	# MARTIN, JILL	DAIN TRAILER	0.00	0.36	100.0	0.00	0.36	0.00	0.36
05/09/2018	# MARTIN, JILL	DAIN TRAILER	0.00	1.48	100.0	0.00	1.48	0.00	1.48
05/09/2018	# KELLY, RICHARD	DAIN TRAILER	0.00	5.30	100.0	0.00	5.30	0.00	5.30
05/09/2018	# KELLY, RICHARD	DAIN TRAILER	0.00	2.99	100.0	0.00	2.99	0.00	2.99
05/09/2018	# MCGARRAH, DEBRA	DAIN TRAILER	0.00	0.39	100.0	0.00	0.39	0.00	0.39
05/09/2018	# AL MEIER LIVING TRUS	DAIN TRAILER	0.00	2.48	100.0	0.00	2.48	0.00	2.48

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2018 #	RUTTER, DAVID	DAIN TRAILER	0.00	0.95	100.0	0.00	0.95	0.00	0.95
05/09/2018 #	SALES, JOSHUA	DAIN TRAILER	0.00	3.84	100.0	0.00	3.84	0.00	3.84
05/09/2018 #	SALES, JOSHUA	DAIN TRAILER	0.00	0.62	100.0	0.00	0.62	0.00	0.62
05/09/2018 #	SALES, JOSHUA	DAIN TRAILER	0.00	3.26	100.0	0.00	3.26	0.00	3.26
05/09/2018 #	BOHANAN, LINDA	DAIN TRAILER	0.00	4.20	100.0	0.00	4.20	0.00	4.20
05/09/2018 #	JARVIS, RACHEL	DAIN TRAILER	0.00	6.68	100.0	0.00	6.68	0.00	6.68
05/09/2018 #	STOUT, RHONDA	DAIN TRAILER	0.00	1.96	100.0	0.00	1.96	0.00	1.96
05/09/2018 #	STOUT, RHONDA	DAIN TRAILER	0.00	7.09	100.0	0.00	7.09	0.00	7.09
05/09/2018 #	JARVIS, RACHEL	DAIN TRAILER	0.00	3.56	100.0	0.00	3.56	0.00	3.56
05/09/2018 #	JARVIS, RACHEL	DAIN TRAILER	0.00	3.61	100.0	0.00	3.61	0.00	3.61
05/09/2018 #	LAKEY, KEVIN	DAIN TRAILER	0.00	0.34	100.0	0.00	0.34	0.00	0.34
05/09/2018 #	LAKEY, KEVIN	DAIN TRAILER	0.00	0.69	100.0	0.00	0.69	0.00	0.69
05/09/2018 #	LAKEY, KEVIN	DAIN TRAILER	0.00	0.87	100.0	0.00	0.87	0.00	0.87
05/09/2018 #	JOHNSON, DANIEL	DAIN TRAILER	0.00	3.60	100.0	0.00	3.60	0.00	3.60
05/09/2018 #	JOHNSON, DANIEL	DAIN TRAILER	0.00	3.67	100.0	0.00	3.67	0.00	3.67
05/09/2018 #	HAVENER, RANDAL	DAIN TRAILER	0.00	5.11	100.0	0.00	5.11	0.00	5.11
05/09/2018 #	MYERS, STANLEY	DAIN TRAILER	0.00	12.26	100.0	0.00	12.26	0.00	12.26
05/09/2018 #	MYERS, STANLEY	DAIN TRAILER	0.00	33.57	100.0	0.00	33.57	0.00	33.57
05/09/2018 #	MYERS, STANLEY	DAIN TRAILER	0.00	2.24	100.0	0.00	2.24	0.00	2.24
05/09/2018 #	HERZICK, JERRY	DAIN TRAILER	0.00	4.04	100.0	0.00	4.04	0.00	4.04
05/09/2018 #	HERZICK, JERRY	DAIN TRAILER	0.00	18.96	100.0	0.00	18.96	0.00	18.96
05/09/2018 #	MAYER, LEOTA	DAIN TRAILER	0.00	12.10	100.0	0.00	12.10	0.00	12.10
05/09/2018 #	CASTRO, NANCY	DAIN TRAILER	0.00	7.08	100.0	0.00	7.08	0.00	7.08
05/09/2018 #	GONZALEZ, GEORGE	DAIN TRAILER	0.00	4.16	100.0	0.00	4.16	0.00	4.16
05/09/2018 #	GONZALEZ, GEORGE	DAIN TRAILER	0.00	9.08	100.0	0.00	9.08	0.00	9.08
05/09/2018 #	KEAU, ALEXIS	DAIN TRAILER	0.00	2.48	100.0	0.00	2.48	0.00	2.48
05/09/2018 #	RICKS, DONNA	DAIN TRAILER	0.00	1.11	100.0	0.00	1.11	0.00	1.11
05/09/2018 #	MARTINO, JOSEPH	DAIN TRAILER	0.00	2.44	100.0	0.00	2.44	0.00	2.44
05/09/2018 #	MARTINO, JOSEPH	DAIN TRAILER	0.00	25.90	100.0	0.00	25.90	0.00	25.90
05/09/2018 #	MARTINO, JOSEPH	DAIN TRAILER	0.00	76.29	100.0	0.00	76.29	0.00	76.29
05/09/2018 #	MARTINO, JOSEPH	DAIN TRAILER	0.00	53.10	100.0	0.00	53.10	0.00	53.10
05/09/2018 #	BECKLES, LIONEL	DAIN TRAILER	0.00	0.50	100.0	0.00	0.50	0.00	0.50
05/09/2018 #	BECKLES, LIONEL	DAIN TRAILER	0.00	2.57	100.0	0.00	2.57	0.00	2.57
05/09/2018 #	BECKLES, LIONEL	DAIN TRAILER	0.00	0.26	100.0	0.00	0.26	0.00	0.26
05/09/2018 #	KELLY, RICHARD	DAIN TRAILER	0.00	4.34	100.0	0.00	4.34	0.00	4.34
05/09/2018 #	CREECH, CAREY	DAIN TRAILER	0.00	4.47	100.0	0.00	4.47	0.00	4.47

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/09/2018	# ARMSTRONG, MERILEE	DAIN TRAILER	0.00	0.02	100.0	0.00	0.02	0.00	0.02
05/09/2018	# BOCK, MARION	DAIN TRAILER	0.00	1.11	100.0	0.00	1.11	0.00	1.11
05/09/2018	# SALES, JOSHUA	DAIN TRAILER	0.00	1.77	100.0	0.00	1.77	0.00	1.77
05/09/2018	# SALES, JOSHUA	DAIN TRAILER	0.00	19.36	100.0	0.00	19.36	0.00	19.36
05/09/2018	# SALES, JOSHUA	DAIN TRAILER	0.00	3.03	100.0	0.00	3.03	0.00	3.03
05/09/2018	# SALES, MIRIAM	DAIN TRAILER	0.00	3.84	100.0	0.00	3.84	0.00	3.84
05/09/2018	# SALES, MIRIAM	DAIN TRAILER	0.00	0.62	100.0	0.00	0.62	0.00	0.62
05/09/2018	# SCOTT, JIM	DAIN TRAILER	0.00	12.41	100.0	0.00	12.41	0.00	12.41
05/09/2018	# GUARANTEE & TRUST CO	DAIN TRAILER	0.00	2.20	100.0	0.00	2.20	0.00	2.20
05/09/2018	# THORPE, JANE	DAIN TRAILER	0.00	1.43	100.0	0.00	1.43	0.00	1.43
05/09/2018	# BRICKNER, DIANA	DAIN TRAILER	0.00	4.86	100.0	0.00	4.86	0.00	4.86
05/09/2018	# BRICKNER, DIANA	DAIN TRAILER	0.00	0.36	100.0	0.00	0.36	0.00	0.36
05/09/2018	# WHITE, SHELBY	DAIN TRAILER	0.00	11.26	100.0	0.00	11.26	0.00	11.26
05/09/2018	# WHITE, SHELBY	DAIN TRAILER	0.00	3.17	100.0	0.00	3.17	0.00	3.17
05/09/2018	# WILLIS, BARBARA	DAIN TRAILER	0.00	0.48	100.0	0.00	0.48	0.00	0.48
05/09/2018	# WILLIS, BARBARA	DAIN TRAILER	0.00	0.54	100.0	0.00	0.54	0.00	0.54
05/09/2018	# MURPHY, LIAM	DAIN TRAILER	0.00	0.44	100.0	0.00	0.44	0.00	0.44
05/09/2018	# MEDALEN, TERRY	DAIN TRAILER	0.00	2.55	100.0	0.00	2.55	0.00	2.55
05/09/2018	# SMITH, MARGARET	DAIN TRAILER	0.00	3.62	100.0	0.00	3.62	0.00	3.62
05/11/2018	# SHARMA, MADHU	DAIN TRAILER	0.00	4.94	100.0	0.00	4.94	0.00	4.94
05/11/2018	# MCNARY, JALEESA	DAIN TRAILER	0.00	0.12	100.0	0.00	0.12	0.00	0.12
05/11/2018	# LAKEY, KEVIN	DAIN TRAILER	0.00	1.34	100.0	0.00	1.34	0.00	1.34
05/14/2018	#08000099257 DVORAK, JAMES	HIGH YIELD C	0.00	16.54	100.0	0.00	16.54	0.00	16.54
05/14/2018	#07205405615 TRUST,	HIGH YIELD FUND	0.00	3.16	100.0	0.00	3.16	0.00	3.16
05/14/2018	#07205405697 TRUST,	HIGH YIELD FUND	0.00	4.27	100.0	0.00	4.27	0.00	4.27
05/14/2018	#08000099257 DVORAK, JAMES	INTERMEDIATE TAX FREE A	0.00	3.96	100.0	0.00	3.96	0.00	3.96
05/14/2018	#08000099257 DVORAK, JAMES	INTERMEDIATE TAX FREE C	0.00	4.42	100.0	0.00	4.42	0.00	4.42
05/14/2018	#00002132507 VARIOUS BARRONE	JOHN HANCOCK FDS II LIFESTYLE CONSE	0.00	3.44	100.0	0.00	3.44	0.00	3.44
05/14/2018	#08000099257 DVORAK, JAMES	LORD ABBETT BOND-DEBENTURE FUND INC	0.00	5.78	100.0	0.00	5.78	0.00	5.78
05/14/2018	#08000099257 DVORAK, JAMES	LORD ABBETT INCOME FUND CL A	0.00	3.22	100.0	0.00	3.22	0.00	3.22
05/14/2018	#08000099257 DVORAK, JAMES	SHORT DURATION INCOME C	0.00	34.28	100.0	0.00	34.28	0.00	34.28
05/14/2018	#07205603882 TRUST,	TOTAL RETURN FUND A	0.00	0.93	100.0	0.00	0.93	0.00	0.93
05/14/2018	#07205603993 TRUST,	TOTAL RETURN FUND A	0.00	0.58	100.0	0.00	0.58	0.00	0.58
05/14/2018	#00026023772 VARIOUS HOUSE	US GLOBAL LEADERS GROWTH A	0.00	3.66	100.0	0.00	3.66	0.00	3.66
05/15/2018	# BOWLEY, KAREN	DAIN TRAILER	0.00	0.58	100.0	0.00	0.58	0.00	0.58
05/21/2018	# WILLARD SYLVAN GROVE	DAIN TRAILER	0.00	23.01	100.0	0.00	23.01	0.00	23.01

COMMISSION STATEMENT for LPFS HOUSE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/24/2018 #	SHARMA, MADHU	DAIN TRAILER	0.00	1.28	100.0	0.00	1.28	0.00	1.28
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$2,395.90		\$0.00	\$2,395.90	\$0.00	\$2,395.90
		*** BROKER TRANSACTIONS TOTAL ***	\$6,445,656.83	\$5,775.00		\$0.00	\$5,775.00	\$458.85	\$5,316.15
<u>OVERRIDE TRANSACTIONS</u>									
		GLEDHILL, MIKE - TERMINATED		60.37					60.37
		HEADRICK, JEFF - TERMINATED		26.17					26.17
		MILLER, DWIGHT - TERMINATED		8.78					8.78
		SANCHEZ, ISAAC - TERMINATED		15.53					15.53
		SUTTON, VIC - TERMINATED		7.00					7.00
		MCTIER, MAHARI - TERMINATED		6.75					6.75
		KOESTER, CHASE - TERMINATED		7.92					7.92
		STROUD, JOSHUA - TERMINATED		2.00					2.00
		GERSON - HOUSE, GLENN		1.34					1.34
		*** OVERRIDE TRANSACTIONS TOTAL ***		\$135.86					\$135.86
<u>ADJUSTMENTS</u>									
06/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-2.00
		DEBIT BALANCE							
06/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-4.00
		DEBIT BALANCE							
06/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-2.00
		DEBIT BALANCE							
06/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-2.00
		DEBIT BALANCE							
06/20/2018		MWWOD:MISCELLANEOUS: WRITE-OFF							-2.00
		DEBIT BALANCE							
		*** ADJUSTMENTS TOTAL ***							\$-12.00

**BROKER COMMISSION TOTALS**

Broker Transactions	\$5,316.15
Split Transactions	\$0.00
Override Transactions	\$135.86
Adjustments	\$-12.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$5,440.01</b>
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