



COMMISSION STATEMENT

July 23, 2018

RICHARD BIRNS
 6800 Jericho Turnpike
 Suite # 200AW
 Syosset, NY 11791

BROKER #: 31
 BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/23/2018	***-**-31UG - GIFT MIN ACT NY,	COLUMBIA FUNDS MF TRAILS	0.00	2.31	0.00	2.31	75.0	1.73
	ACCOUNT #: 08695273866	BUY 0 Units @0.0000						
07/02/2018	***-**-192I - BIRNS, DAVID	HARTFORD CAPITAL APPRECIATION FUND	0.00	1.73	0.00	1.73	75.0	1.30
	ACCOUNT #: 00000647924	BUY 0 Units @0.0000						
07/02/2018	***-**-68IN - BIRNS, RICHARD	HARTFORD CAPITAL APPRECIATION FUND	0.00	15.25	0.00	15.25	75.0	11.44
	ACCOUNT #: 00022050066	BUY 0 Units @0.0000						
07/02/2018	***-**-192I - BIRNS, DAVID	THE HARTFORD CAPITAL APPRECIATION F	0.00	1.27	0.00	1.27	75.0	0.95
	ACCOUNT #: 00000647924	BUY 0 Units @0.0000						
07/02/2018	***-**-447P - BIRNS, PHYLLIS	THE HARTFORD CAPITAL APPRECIATION F	0.00	2.70	0.00	2.70	75.0	2.03
	ACCOUNT #: 00000700389	BUY 0 Units @0.0000						
07/02/2018	***-**-68IN - BIRNS, RICHARD	THE HARTFORD CAPITAL APPRECIATION F	0.00	22.25	0.00	22.25	75.0	16.69
	ACCOUNT #: 00022050066	BUY 0 Units @0.0000						
07/02/2018	***-**-85IN - SEAMAN,	THE HARTFORD CAPITAL APPRECIATION F	0.00	1.44	0.00	1.44	75.0	1.08
	ACCOUNT #: 00022087686	BUY 0 Units @0.0000						
07/02/2018	***-**-68IN - BIRNS, RICHARD	THE HARTFORD DIVIDEND & GROWTH FUND	0.00	9.20	0.00	9.20	75.0	6.90
	ACCOUNT #: 00022050066	BUY 0 Units @0.0000						
07/02/2018	***-**-192I - BIRNS, DAVID	THE HARTFORD GROWTH OPPORTUNITIES F	0.00	2.94	0.00	2.94	75.0	2.21
	ACCOUNT #: 00000647924	BUY 0 Units @0.0000						
07/02/2018	***-**-192I - BIRNS, DAVID	THE HARTFORD INTL CAPITAL APP. FUND	0.00	1.17	0.00	1.17	75.0	0.88
	ACCOUNT #: 00000647924	BUY 0 Units @0.0000						
07/02/2018	***-**-68IN - BIRNS, RICHARD	THE HARTFORD INTL OPPORTUNITIES FD-	0.00	13.10	0.00	13.10	75.0	9.83
	ACCOUNT #: 00022050066	BUY 0 Units @0.0000						
07/02/2018	***-**-68IN - BIRNS, RICHARD	THE HARTFORD MID CAP FUND-A	0.00	22.65	0.00	22.65	75.0	16.99
	ACCOUNT #: 00022050066	BUY 0 Units @0.0000						
07/02/2018	***-**-192I - BIRNS, DAVID	THE HARTFORD SMALL CAP GROWTH FD-A	0.00	2.68	0.00	2.68	75.0	2.01
	ACCOUNT #: 00000647924	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$98.69	\$0.00	\$98.69		\$74.04
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$98.69	\$0.00	\$98.69		\$74.04



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				GROSS	CLEARING	NET	BROKER	
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
						<u>BROKER COMMISSION TOTALS</u>		
						Broker Transactions		\$74.04
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
Please Retain for Your Records								
THERE WILL BE NO PAYMENT THIS PERIOD								
						Balance Forward		\$74.04
						Year-to-date Earnings		\$18,149.62