



COMMISSION STATEMENT

May 07, 2018

GARRETT MORETZ
181 North Main Street, Ste 208
Mooresville, NC 28115

BROKER #: B09
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: ALTERNATIVE INVESTMENTS</u>								
04/02/2018	***-**-6410 - BURKS, RONALD	GWG L BOND - 5 YR BUY 30 Units @1000.0000	30,000.00	1,770.00	0.00	1,770.00	82.0	1,451.40
		* ALTERNATIVE INVESTMENTS SUBTOTAL *	\$30,000.00	\$1,770.00	\$0.00	\$1,770.00		\$1,451.40
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/27/2018	***-**-9721 - GRIFFIN, OTIS ACCOUNT #: LSR011960	EATON VANCE INCOME FUND OF BOSTON C SELL 131 Units @5.6200	738.91	0.00	0.00	0.00	82.0	0.00
04/27/2018	***-**-9721 - GRIFFIN, OTIS ACCOUNT #: LSR011960	JANUS HENDERSON HIGH YIELD A-NFS SELL 81 Units @8.2400	667.01	0.00	0.00	0.00	82.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$1,405.92	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: STOCKS</u>								
05/02/2018	***-**-2800 - MILES-HLUSAK, ACCOUNT #: LSR012029	ISHARES TR MRNING SM CP ETF SELL 4 Units @144.9470	579.79	39.36	15.08	24.28	82.0	19.91
05/02/2018	***-**-2800 - MILES-HLUSAK, ACCOUNT #: LSR012029	SPDR SER TR NUVEEN BLMBRG SR SELL 13 Units @47.5730	618.45	40.01	15.26	24.75	82.0	20.30
05/02/2018	***-**-2800 - MILES-HLUSAK, ACCOUNT #: LSR012029	SPDR SERIES TRUST BARCLAYS INTL ETF SELL 22 Units @28.3740	624.23	40.11	15.44	24.67	82.0	20.23
05/02/2018	***-**-2800 - MILES-HLUSAK, ACCOUNT #: LSR012029	VANGUARD INDEX FDS MID-CAP VALUE IN SELL 6 Units @109.5330	657.20	40.67	15.12	25.55	82.0	20.95
05/02/2018	***-**-2800 - MILES-HLUSAK, ACCOUNT #: LSR012029	VANGUARD INDEX FDS MIDCAP GROWTH IN SELL 5 Units @129.1300	645.65	40.48	15.10	25.38	82.0	20.81
05/02/2018	***-**-2800 - MILES-HLUSAK, ACCOUNT #: LSR012029	VANGUARD INDEX FDS VANGUARD GROWTH SELL 5 Units @143.4830	717.42	41.70	15.10	26.60	82.0	21.81
05/02/2018	***-**-2800 - MILES-HLUSAK, ACCOUNT #: LSR012029	VANGUARD INDEX FDS VANGUARD SMALL C SELL 4 Units @166.0320	664.13	40.79	15.08	25.71	82.0	21.08
05/02/2018	***-**-2800 - MILES-HLUSAK, ACCOUNT #: LSR012029	VANGUARD INDEX FDS VANGUARD VALUE E SELL 7 Units @103.0880	721.62	41.77	15.14	26.63	82.0	21.84
05/02/2018	***-**-2800 - MILES-HLUSAK, ACCOUNT #: LSR012029	VANGUARD INTL EQUITY INDEX FDS FTSE SELL 11 Units @59.3250	652.58	40.59	15.22	25.37	82.0	20.80
05/02/2018	***-**-2800 - MILES-HLUSAK, ACCOUNT #: LSR012029	VANGUARD SECTOR INDEX FDS VANGUARD SELL 5 Units @114.7200	573.60	39.25	15.10	24.15	82.0	19.80
		* STOCKS SUBTOTAL *	\$6,454.67	\$404.73	\$151.64	\$253.09		\$207.53



COMMISSION STATEMENT

May 07, 2018

GARRETT MORETZ
 181 North Main Street, Ste 208
 Mooresville, NC 28115

BROKER #: B09
 BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS (continued)</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u>								
04/30/2018	***--2039 - GRINDLE, ROBERT	JACKSON NATIONAL PERSPECTIVE II	0.00	30.27	0.00	30.27	82.0	24.82
	ACCOUNT #: 1007032060	BUY 0 Units @0.0000						
04/30/2018	***--9972 - GRINDLE, ANN	JACKSON NATIONAL PERSPECTIVE II	0.00	78.82	0.00	78.82	82.0	64.63
	ACCOUNT #: 1007032079	BUY 0 Units @0.0000						
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$109.09	\$0.00	\$109.09		\$89.45
*** BROKER TRANSACTIONS TOTAL ***			\$37,860.59	\$2,283.82	\$151.64	\$2,132.18		\$1,748.38

BROKER COMMISSION TOTALS

Broker Transactions	\$1,748.38
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$86.76
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commission Earned	\$1,835.14
Year-to-date Earnings	\$32,971.62