04/09/2018 Page No. 1

## **COMMISSION STATEMENT**

**April 06, 2018** 

TRACY CUDA
3200 N. Central Ave
Suite 200
Phoenix, AZ 85012

BROKER #: 73670

BRANCH: 04-#70A11 HOME OFFIC

			INVESTMENT	GROSS	CLEARING	NET	BROKER
DATE	CLIENT	INVESTMENT	AMOUNT	COMMISSION	CHARGE	COMMISSION	COMMISSION

## Company: CUE Financial Group, Inc

## ADJUSTMENTS

PRIOR PERIOD BALANCE(SEE BELOW)			0.00
*** ADJUSTMENTS TOTAL *** \$0.00 \$0.	\$0.00	\$0.00	\$0.00
	BROKER	COMMISSION	TOTALS
	Broker Transa	ctions	\$0.00
	Split Transa	ctions	\$0.00
	Override Transa	ctions	\$0.00
	Adjus	tments	\$0.00
	Prior Period B	alance	\$-5.00
	NASD Asse	ssment	\$0.00
	SIPC Asse	ssment	\$0.00
Please Retain for Your Records			
THERE WILL BE NO CHECK THIS PERIOD	Balance F	orward	\$-5.00
	Year-to-date Ea	rnings	\$0.00

COMMISSION S	TMAMATAT	for	TDACV	CIIDA	

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
Company	y: Securities Am	<u>erica</u>					
		BROKER TRANS	SACTIONS				
PRODUCT	CATEGORY: MUTUAL FUNDS	3					
04/05/2018	8 CUDA (73670), TRACY	SAI PERSHING CHARGES	0.00	-225.00	0.00	-225.00	-82.24
04/05/2018	8 CUDA (73670), TRACY	SAI SECURITES INCOME	0.00	432.42	0.00	432.42	158.05
		* MUTUAL FUNDS SUBTOTAL *	\$0.00	\$207.42	\$0.00	\$207.42	\$75.81
PRODUCT	CATEGORY: TRAILERS						
04/05/2018	8 CUDA (73670), TRACY	SAI.TRAILS	0.00	528.21	0.00	528.21	193.06
		* TRAILERS SUBTOTAL *	\$0.00	\$528.21	\$0.00	\$528.21	\$193.06
		*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$735.63	\$0.00	\$735.63	\$268.87
		OVERRIDE TRAN	SACTIONS				
BROKER:	JODI GALLOVICH #7371	<u>12</u>					
04/05/2018	8 GALLOVICH (73712), JOD	I SAI SECURITES INCOME	0.00	9,280.69	0.00	9,280.69	1,248.25
04/05/2018	8 GALLOVICH (73712), JOD	I SAI.TRAILS	0.00	738.88	0.00	738.88	99.38
		* JODI GALLOVICH SUBTOTAL *	\$0.00	\$10,019.57	\$0.00	\$10,019.57	\$1,347.63
		*** OVERRIDE TRANSACTIONS TOTAL ***	\$0.00	\$10,019.57	\$0.00	\$10,019.57	\$1,347.63
		ADJUSTME	INTS				
		100 05 111					
		16. SAI SIPC PE 3/30/18					-2.73
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00	\$-2.73

## COMMISSION STATEMENT for TRACY CUDA

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
					BROKI	ER COMMISSIO	N TOTALS
					Broker Tra	nsactions	\$268.87
					Split Tra	nsactions	\$0.00
					Override Tra	nsactions	\$1,347.63
					Ad	justments	\$-2.73
					Prior Perio	d Balance	\$0.00
					NASD A	ssessment	\$0.00
					SIPC A	ssessment	\$0.00
					Che	ck Amount	\$1,613.77
					Year-to-date	Earnings	\$114,041.84