

**COMMISSION STATEMENT****February 28, 2018**

G0C-JEFF/DAN/JON PAU FT-NY KIESNO/RAUPP/C  
 417 E. Main Street  
 3rd Floor  
 Port Jefferson, NY 11777

BROKER #: G0C  
 BRANCH: FORTITUDE SPLITS

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
^03/15/2016	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.14	0.00	0.14	100.0	0.00
^04/20/2016	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.24	0.00	0.24	100.0	0.00
^05/16/2016	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.23	0.00	0.23	100.0	0.00
^06/15/2016	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.24	0.00	0.24	100.0	0.00
^07/18/2016	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.23	0.00	0.23	100.0	0.00
^08/15/2016	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.25	0.00	0.25	100.0	0.00
^09/16/2016	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.25	0.00	0.25	100.0	0.00
^10/17/2016	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.25	0.00	0.25	100.0	0.00
^11/18/2016	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.25	0.00	0.25	100.0	0.00
^12/15/2016	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.24	0.00	0.24	100.0	0.00
^02/16/2016	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC B	0.00	0.23	0.00	0.23	100.0	0.00
^03/15/2016	FORRAI, MICHAEL	AM FUNDS CAPITAL WORLD GRTH & INC B	0.00	0.06	0.00	0.06	100.0	0.00
^12/28/2016	GALGANO, ROBERT	ARIEL APPRECIATION FUND	0.00	0.01	0.00	0.01	100.0	0.00
^12/07/2016	BRANDT, ROSEMARY	CATALYST/MILLBURN HEDGE STRATEGY FU	0.00	0.39	0.00	0.39	100.0	0.00
^04/20/2016	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	7.84	0.00	7.84	100.0	0.00
^07/22/2016	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	4.51	0.00	4.51	100.0	0.00
^07/29/2016	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	5.62	0.00	5.62	100.0	0.00
^08/10/2016	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	4.14	0.00	4.14	100.0	0.00
^09/16/2016	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	4.13	0.00	4.13	100.0	0.00
^10/21/2016	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	3.72	0.00	3.72	100.0	0.00
^11/30/2016	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	3.52	0.00	3.52	100.0	0.00
^12/21/2016	GALGANO, ROBERT	FIRST EAGLE GOLD FUND CLASS C	0.00	3.21	0.00	3.21	100.0	0.00
^02/19/2016	BRANDT, ROSEMARY	JP MORGAN GROWTH ADV A	0.00	5.98	0.00	5.98	100.0	0.00
^03/17/2016	BRANDT, ROSEMARY	JP MORGAN GROWTH ADV A	0.00	5.28	0.00	5.28	100.0	0.00
^04/25/2016	BRANDT, ROSEMARY	JP MORGAN GROWTH ADV A	0.00	6.11	0.00	6.11	100.0	0.00
^05/16/2016	BRANDT, ROSEMARY	JP MORGAN GROWTH ADV A	0.00	6.13	0.00	6.13	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^06/20/2016	BRANDT, ROSEMARY	JP MORGAN GROWTH ADV A	0.00	6.28	0.00	6.28	100.0	0.00
^07/25/2016	BRANDT, ROSEMARY	JP MORGAN GROWTH ADV A	0.00	6.13	0.00	6.13	100.0	0.00
^08/15/2016	BRANDT, ROSEMARY	JP MORGAN GROWTH ADV A	0.00	6.46	0.00	6.46	100.0	0.00
^09/15/2016	BRANDT, ROSEMARY	JP MORGAN GROWTH ADV A	0.00	6.63	0.00	6.63	100.0	0.00
^10/14/2016	BRANDT, ROSEMARY	JP MORGAN GROWTH ADV A	0.00	6.36	0.00	6.36	100.0	0.00
^11/21/2016	BRANDT, ROSEMARY	JP MORGAN GROWTH ADV A	0.00	6.55	0.00	6.55	100.0	0.00
^12/16/2016	BRANDT, ROSEMARY	JP MORGAN GROWTH ADV A	0.00	6.37	0.00	6.37	100.0	0.00
^02/19/2016	BRANDT, ROSEMARY	JP MORGAN INCOME BUILDER FUND CLASS	0.00	9.61	0.00	9.61	100.0	0.00
^03/17/2016	BRANDT, ROSEMARY	JP MORGAN INCOME BUILDER FUND CLASS	0.00	8.85	0.00	8.85	100.0	0.00
^04/25/2016	BRANDT, ROSEMARY	JP MORGAN INCOME BUILDER FUND CLASS	0.00	9.85	0.00	9.85	100.0	0.00
^05/16/2016	BRANDT, ROSEMARY	JP MORGAN INCOME BUILDER FUND CLASS	0.00	9.71	0.00	9.71	100.0	0.00
^06/20/2016	BRANDT, ROSEMARY	JP MORGAN INCOME BUILDER FUND CLASS	0.00	10.09	0.00	10.09	100.0	0.00
^07/25/2016	BRANDT, ROSEMARY	JP MORGAN INCOME BUILDER FUND CLASS	0.00	9.81	0.00	9.81	100.0	0.00
^08/15/2016	BRANDT, ROSEMARY	JP MORGAN INCOME BUILDER FUND CLASS	0.00	10.39	0.00	10.39	100.0	0.00
^09/15/2016	BRANDT, ROSEMARY	JP MORGAN INCOME BUILDER FUND CLASS	0.00	10.53	0.00	10.53	100.0	0.00
^10/14/2016	BRANDT, ROSEMARY	JP MORGAN INCOME BUILDER FUND CLASS	0.00	10.19	0.00	10.19	100.0	0.00
^11/21/2016	BRANDT, ROSEMARY	JP MORGAN INCOME BUILDER FUND CLASS	0.00	10.53	0.00	10.53	100.0	0.00
^12/16/2016	BRANDT, ROSEMARY	JP MORGAN INCOME BUILDER FUND CLASS	0.00	10.03	0.00	10.03	100.0	0.00
^02/19/2016	BRANDT, ROSEMARY	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	5.67	0.00	5.67	100.0	0.00
^03/17/2016	BRANDT, ROSEMARY	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	5.16	0.00	5.16	100.0	0.00
^04/25/2016	BRANDT, ROSEMARY	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	5.90	0.00	5.90	100.0	0.00
^05/16/2016	BRANDT, ROSEMARY	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	5.88	0.00	5.88	100.0	0.00
^06/20/2016	BRANDT, ROSEMARY	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	6.08	0.00	6.08	100.0	0.00
^07/25/2016	BRANDT, ROSEMARY	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	5.91	0.00	5.91	100.0	0.00
^08/15/2016	BRANDT, ROSEMARY	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	6.25	0.00	6.25	100.0	0.00
^09/15/2016	BRANDT, ROSEMARY	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	6.38	0.00	6.38	100.0	0.00
^10/14/2016	BRANDT, ROSEMARY	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	6.13	0.00	6.13	100.0	0.00
^11/21/2016	BRANDT, ROSEMARY	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	6.36	0.00	6.36	100.0	0.00
^12/16/2016	BRANDT, ROSEMARY	JP MORGAN U.S. EQUITY FUND CLASS A	0.00	6.27	0.00	6.27	100.0	0.00
^02/19/2016	BRANDT, ROSEMARY	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	5.42	0.00	5.42	100.0	0.00
^03/17/2016	BRANDT, ROSEMARY	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	4.99	0.00	4.99	100.0	0.00
^04/25/2016	BRANDT, ROSEMARY	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	5.74	0.00	5.74	100.0	0.00
^05/16/2016	BRANDT, ROSEMARY	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	5.66	0.00	5.66	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
^06/20/2016	BRANDT, ROSEMARY	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	5.86	0.00	5.86	100.0	0.00
^07/25/2016	BRANDT, ROSEMARY	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	5.71	0.00	5.71	100.0	0.00
^08/15/2016	BRANDT, ROSEMARY	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	6.05	0.00	6.05	100.0	0.00
^09/15/2016	BRANDT, ROSEMARY	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	6.16	0.00	6.16	100.0	0.00
^10/14/2016	BRANDT, ROSEMARY	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	5.95	0.00	5.95	100.0	0.00
^11/21/2016	BRANDT, ROSEMARY	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	6.15	0.00	6.15	100.0	0.00
^12/16/2016	BRANDT, ROSEMARY	JP MORGAN VALUE ADVANTAGE FUND CLAS	0.00	6.17	0.00	6.17	100.0	0.00
^02/11/2016	FORRAI, GERALDINE	KKM U.S. EQUITY ARMOR FUND CLASS A	0.00	4.89	0.00	4.89	100.0	0.00
^03/07/2016	FORRAI, GERALDINE	KKM U.S. EQUITY ARMOR FUND CLASS A	0.00	5.11	0.00	5.11	100.0	0.00
^04/11/2016	FORRAI, GERALDINE	KKM U.S. EQUITY ARMOR FUND CLASS A	0.00	5.26	0.00	5.26	100.0	0.00
^05/12/2016	FORRAI, GERALDINE	KKM U.S. EQUITY ARMOR FUND CLASS A	0.00	4.96	0.00	4.96	100.0	0.00
^06/09/2016	FORRAI, GERALDINE	KKM U.S. EQUITY ARMOR FUND CLASS A	0.00	5.43	0.00	5.43	100.0	0.00
^07/13/2016	FORRAI, GERALDINE	KKM U.S. EQUITY ARMOR FUND CLASS A	0.00	5.11	0.00	5.11	100.0	0.00
^08/11/2016	FORRAI, GERALDINE	KKM U.S. EQUITY ARMOR FUND CLASS A	0.00	5.40	0.00	5.40	100.0	0.00
^09/12/2016	FORRAI, GERALDINE	KKM U.S. EQUITY ARMOR FUND CLASS A	0.00	5.41	0.00	5.41	100.0	0.00
^10/11/2016	FORRAI, GERALDINE	KKM U.S. EQUITY ARMOR FUND CLASS A	0.00	1.39	0.00	1.39	100.0	0.00
^02/25/2016	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.88	0.00	2.88	100.0	0.00
^03/08/2016	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.43	0.00	2.43	100.0	0.00
^04/22/2016	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	2.92	0.00	2.92	100.0	0.00
^05/17/2016	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.07	0.00	3.07	100.0	0.00
^06/15/2016	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.52	0.00	3.52	100.0	0.00
^07/14/2016	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.58	0.00	3.58	100.0	0.00
^08/18/2016	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.79	0.00	3.79	100.0	0.00
^09/15/2016	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.82	0.00	3.82	100.0	0.00
^10/21/2016	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.69	0.00	3.69	100.0	0.00
^11/09/2016	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	3.84	0.00	3.84	100.0	0.00
^12/16/2016	GALGANO, ROBERT	MAINSTAY CUSHING MLP PREMIER FUND C	0.00	7.39	0.00	7.39	100.0	0.00
^02/25/2016	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.34	0.00	2.34	100.0	0.00
^02/25/2016	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.64	0.00	2.64	100.0	0.00
^02/25/2016	BERARDI, JAMES	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.04	0.00	2.04	100.0	0.00
^03/08/2016	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	3.35	0.00	3.35	100.0	0.00
^03/08/2016	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.32	0.00	2.32	100.0	0.00
^03/08/2016	BERARDI, JAMES	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	1.81	0.00	1.81	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
^04/22/2016	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	4.60	0.00	4.60	100.0	0.00
^04/22/2016	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.75	0.00	2.75	100.0	0.00
^04/22/2016	BERARDI, JAMES	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.15	0.00	2.15	100.0	0.00
^05/17/2016	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	4.79	0.00	4.79	100.0	0.00
^05/17/2016	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.87	0.00	2.87	100.0	0.00
^05/17/2016	BERARDI, JAMES	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.26	0.00	2.26	100.0	0.00
^06/15/2016	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	5.27	0.00	5.27	100.0	0.00
^06/15/2016	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	3.15	0.00	3.15	100.0	0.00
^06/15/2016	BERARDI, JAMES	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	2.52	0.00	2.52	100.0	0.00
^07/14/2016	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	5.30	0.00	5.30	100.0	0.00
^07/14/2016	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	3.17	0.00	3.17	100.0	0.00
^08/18/2016	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	5.59	0.00	5.59	100.0	0.00
^08/18/2016	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	3.38	0.00	3.38	100.0	0.00
^09/15/2016	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	5.56	0.00	5.56	100.0	0.00
^09/15/2016	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	3.43	0.00	3.43	100.0	0.00
^10/21/2016	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	5.41	0.00	5.41	100.0	0.00
^10/21/2016	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	3.33	0.00	3.33	100.0	0.00
^11/09/2016	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	5.73	0.00	5.73	100.0	0.00
^11/09/2016	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	3.57	0.00	3.57	100.0	0.00
^12/16/2016	FORRAI FAMILY TRUST, LESLIE	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	5.45	0.00	5.45	100.0	0.00
^12/16/2016	BRANDT, ROSEMARY	MAINSTAY CUSHING ROYALTY ENERGY INC	0.00	3.47	0.00	3.47	100.0	0.00
^09/12/2016	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	2.04	0.00	2.04	100.0	0.00
^10/11/2016	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	8.82	0.00	8.82	100.0	0.00
^11/10/2016	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	9.09	0.00	9.09	100.0	0.00
^12/12/2016	BRANDT, ROSEMARY	MILLER CONVERTIBLE BOND FUND CLASS	0.00	8.85	0.00	8.85	100.0	0.00
^02/12/2016	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	2.94	0.00	2.94	100.0	0.00
^03/16/2016	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	2.78	0.00	2.78	100.0	0.00
^04/15/2016	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	2.97	0.00	2.97	100.0	0.00
^05/16/2016	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	2.89	0.00	2.89	100.0	0.00
^06/14/2016	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	2.99	0.00	2.99	100.0	0.00
^07/18/2016	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	2.92	0.00	2.92	100.0	0.00
^08/15/2016	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	3.04	0.00	3.04	100.0	0.00
^09/15/2016	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	3.04	0.00	3.04	100.0	0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</b>								
^10/12/2016	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	2.94	0.00	2.94	100.0	0.00
^11/16/2016	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	3.02	0.00	3.02	100.0	0.00
^12/14/2016	GALGANO, ROBERT	WELLS FARGO ADVANTAGE GOVT SECURITI	0.00	2.88	0.00	2.88	100.0	0.00
^02/12/2016	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	1.91	0.00	1.91	100.0	0.00
^03/16/2016	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	1.79	0.00	1.79	100.0	0.00
^04/15/2016	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	2.10	0.00	2.10	100.0	0.00
^05/16/2016	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	2.12	0.00	2.12	100.0	0.00
^06/14/2016	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	2.15	0.00	2.15	100.0	0.00
^07/18/2016	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	2.13	0.00	2.13	100.0	0.00
^08/15/2016	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	2.33	0.00	2.33	100.0	0.00
^09/15/2016	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	2.45	0.00	2.45	100.0	0.00
^10/12/2016	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	2.38	0.00	2.38	100.0	0.00
^11/16/2016	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	2.49	0.00	2.49	100.0	0.00
^12/14/2016	GALGANO, ROBERT	WELLS FARGO ADVTG EMERGING MARKETS	0.00	2.28	0.00	2.28	100.0	0.00
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$614.90</b>	<b>\$0.00</b>	<b>\$614.90</b>		<b>\$0.00</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$0.00</b>	<b>\$614.90</b>	<b>\$0.00</b>	<b>\$614.90</b>		<b>\$0.00</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.05
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$0.05</b>
<b>Year-to-date Gross</b>	<b>\$0.00</b>
<b>Year-to-date Earnings</b>	<b>\$0.00</b>