



Concorde Investment Services, LLC

08/29/2017
Page No. 1

COMMISSION STATEMENT

August 31, 2017

ROY PFLEGER II
417 E. Main Street
3rd Floor
Port Jefferson, NY 11777

BROKER #: P123
BRANCH: FORTITUDE INVESTMENT

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
08/07/2017	LANDGREBE, CAROL	TRANSAMERICA B SHARE NY	71,000.00	4,970.00	0.00	4,970.00	75.0	3,727.50
* VARIABLE ANNUITIES SUBTOTAL *			\$71,000.00	\$4,970.00	\$0.00	\$4,970.00		\$3,727.50
*** BROKER TRANSACTIONS TOTAL ***			\$71,000.00	\$4,970.00	\$0.00	\$4,970.00		\$3,727.50
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF #254</u>								
08/15/2017	BRIDGEHAMPTON CHILD	DISNEY WALT CO DISNEY COM	-1,014.71	0.00	7.88	-7.88	75.0	-5.91
08/25/2017	WHITE, SCOTT	MAINSTAY CUSHING MLP PREMIER FUND C	3,400.00	11.90	7.70	4.20	75.0	3.15
08/25/2017	WHITE, ALISON	MAINSTAY CUSHING MLP PREMIER FUND C	3,400.00	11.90	7.70	4.20	75.0	3.15
* G3G-DAN/ROY/JEFF/JON FT-NY RAUPP/PF SUBTOTAL *			\$5,785.29	\$23.80	\$23.28	\$0.52		\$0.39
*** SPLIT TRANSACTIONS TOTAL ***			\$5,785.29	\$23.80	\$23.28	\$0.52		\$0.39
<u>ADJUSTMENTS</u>								
08/31/2017		*PERSHING: MARK UPS	0.00					31.50
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$31.50

NOTES: * - Indicates adjustment is not included in 1099 Earnings
** - Indicates adjustment is not included in Totals or Commissions
^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$3,727.50
Split Transactions	\$0.39
Override Transactions	\$0.00
Adjustments	\$31.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-112.41
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$3,646.98
Year-to-date Gross	\$35,392.55
Year-to-date Earnings	\$26,486.05