



COMMISSION STATEMENT

January 29, 2018

RONALD CIOTOLI
3401 E Main St.
Endwell, NY 13760

BROKER #: A89
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
01/08/2018	***-**-3380 - DENTON, KELLI	CAPITAL APPRECIATION A	50.00	2.38	0.00	2.38	60.0	1.43
	ACCOUNT #: 03210124708	BUY 0 Units @0.0000						
01/11/2018	***-**-717I - EWING, JOSEPH	PORT SERIES: EQUITY INV FD A	300.00	14.25	0.00	14.25	60.0	8.55
	ACCOUNT #: 05550672298	BUY 0 Units @0.0000						
* MUTUAL FUNDS SUBTOTAL *			<u>\$350.00</u>	<u>\$16.63</u>	<u>\$0.00</u>	<u>\$16.63</u>		<u>\$9.98</u>
<u>PRODUCT CATEGORY: 529 PLAN</u>								
01/26/2018	***-**-295P - MILLER, PAUL	NYA JPM 529 CONSV GROWTH A	200.00	8.80	0.00	8.80	60.0	5.28
	ACCOUNT #: 77043335701	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			<u>\$200.00</u>	<u>\$8.80</u>	<u>\$0.00</u>	<u>\$8.80</u>		<u>\$5.28</u>
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/29/2018	***-**-630I - ROMA, JAMES	BLACKROCK ASSET ALLOCATION PORTFOLI	0.00	0.25	0.00	0.25	60.0	0.15
	ACCOUNT #: LSR011401	BUY 1 Units @0.0000						
01/29/2018	***-**-630I - ROMA, JAMES	BLACKROCK HIGH YIELD BOND FUND CLAS	0.00	6.47	0.00	6.47	60.0	3.88
	ACCOUNT #: LSR011401	BUY 1 Units @0.0000						
01/29/2018	***-**-630I - ROMA, JAMES	BLACKROCK LARGE CAP CORE FUND CL A	0.00	10.31	0.00	10.31	60.0	6.19
	ACCOUNT #: LSR011401	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			<u>\$0.00</u>	<u>\$17.03</u>	<u>\$0.00</u>	<u>\$17.03</u>		<u>\$10.22</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$550.00</u>	<u>\$42.46</u>	<u>\$0.00</u>	<u>\$42.46</u>		<u>\$25.48</u>



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BROKER COMMISSION TOTALS

Broker Transactions	\$25.48
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$7.12
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

Balance Forward	\$32.60
Year-to-date Earnings	\$1,094.57