

# COMMISSION STATEMENT

July 31, 2018

 DREW NIXON-D350  
 1509 West Panola

 BROKER #: D350  
 BRANCH: DMV

Carthage, TX 75633

## COMMISSION STATEMENT for DREW NIXON-D350

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
CLEARING TRANSACTIONS										
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS										
07/23/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN FEDERAL TAX FREE	B	0.00	3.70	0.00	3.70	90.0	3.33
07/23/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN FEDERAL TAX FREE	B	0.00	17.86	0.00	17.86	90.0	16.07
07/23/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	7.70	0.00	7.70	90.0	6.93
07/23/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	7.70	0.00	7.70	90.0	6.93
07/23/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	14.59	0.00	14.59	90.0	13.13
07/23/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	18.02	0.00	18.02	90.0	16.22
07/23/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN HIGH-YIELD TAX-FREE	B	0.00	18.42	0.00	18.42	90.0	16.58
07/23/2018	mfc533651	NIXON, VARIOUS	MFT FRANKLIN U.S. GOVERNMENT	B	0.00	0.55	0.00	0.55	90.0	0.50
07/23/2018	mfc533651	NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL C	B	0.00	20.72	0.00	20.72	90.0	18.65
07/23/2018	mfc533651	NIXON, VARIOUS	MFT FRK AGE HIGH INC FND - CL A	B	0.00	1.17	0.00	1.17	90.0	1.05
07/23/2018	mfc533651	NIXON, VARIOUS	MFT FRK CA TF INC FND - CL A	B	0.00	2.15	0.00	2.15	90.0	1.94
07/23/2018	mfc533651	NIXON, VARIOUS	MFT FRK TOTAL RETURN FND - CL A	B	0.00	1.56	0.00	1.56	90.0	1.40
07/23/2018	mfc533651	NIXON, VARIOUS	MFT INVESCO EQUITY AND INCOME FUND	B	0.00	35.06	0.00	35.06	90.0	31.55
07/23/2018	mfc533651	NIXON, VARIOUS	MFT JOHN HANCOCK TECHNICAL OPPORT	B	0.00	0.45	0.00	0.45	90.0	0.41
07/23/2018	mfc533651	NIXON, VARIOUS	MFT PUTNAM 746802800	B	0.00	0.93	0.00	0.93	90.0	0.84
07/23/2018	mfc533651	NIXON, VARIOUS	MFT STRATEGIC INCOME A	B	0.00	1.96	0.00	1.96	90.0	1.76
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$152.54	\$0.00	\$152.54		\$137.29
*** CLEARING TRANSACTIONS TOTAL ***					\$0.00	\$152.54	\$0.00	\$152.54		\$137.29

## DIRECT TRANSACTIONS

### PRODUCT CATEGORY: MUTUAL FUNDS

07/16/2018	0000000002350888	BAGLECASE	MF FRK TEMP FOUNDING FUNDS ALLOC	B	1,250.00	35.00	0.00	35.00	90.0	31.50
07/16/2018	0000000002350888	BAGLECASE	MF FRK TEMP FOUNDING FUNDS ALLOC	B	375.00	10.50	0.00	10.50	90.0	9.45
07/16/2018	0000000002350938	SHARPBRANP	MF FRK TEMP FOUNDING FUNDS ALLOC	B	1,000.00	28.00	0.00	28.00	90.0	25.20
07/16/2018	0000000002350938	SHARPBRANP	MF FRK TEMP FOUNDING FUNDS ALLOC	B	300.00	8.40	0.00	8.40	90.0	7.56
07/16/2018	0000000002356588	BAGLERICK	MF FRK TEMP FOUNDING FUNDS ALLOC	B	1,000.00	20.00	0.00	20.00	90.0	18.00
07/16/2018	0000000002356588	BAGLERICK	MF FRK TEMP FOUNDING FUNDS ALLOC	B	300.00	6.00	0.00	6.00	90.0	5.40
07/16/2018	0000000005944703	BAGLEBEAT	MF FRK TEMP FOUNDING FUNDS ALLOC	B	40.00	0.80	0.00	0.80	90.0	0.72
07/16/2018	0000000005944703	BAGLEBEAT	MF FRK TEMP FOUNDING FUNDS ALLOC	B	12.00	0.24	0.00	0.24	90.0	0.22

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
07/16/2018	000000006153163 GARCIJUAN	MF FRK TEMP FOUNDING FUNDS ALLOC	B	381.60	19.08	0.00	19.08	90.0	17.17
07/16/2018	000000006153163 GARCIJUAN	MF FRK TEMP FOUNDING FUNDS ALLOC	B	114.48	5.72	0.00	5.72	90.0	5.15
* MUTUAL FUNDS SUBTOTAL *				\$4,773.08	\$133.74	\$0.00	\$133.74		\$120.37

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/30/2018	(None) VERALLI	MFT COLLEGE BOUND	B	0.00	43.29	0.00	43.29	90.0	38.96
06/30/2018	(None) NIXON, VARIOUS	MFT JOHN HANCOCK MUTUAL FUND	B	0.00	124.02	0.00	124.02	90.0	111.62
07/09/2018	000900090946 TALCOTT, MARTHA	MFT DEUTSCHE HIGH INCOME FUND-A	B	0.00	2.96	0.00	2.96	90.0	2.66
07/09/2018	00094423955 HANSEN, WES	MFT DEUTSCHE GNMA FUND-A	B	0.00	6.68	0.00	6.68	90.0	6.01
07/09/2018	04700206371 CHOATE SEP-,	MFT AB HIGH INCOME A	B	0.00	7.07	0.00	7.07	90.0	6.36
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$184.02	\$0.00	\$184.02		\$165.61

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

06/30/2018	(None) VERALLI	VAT PHOENIX LIFE	B	0.00	203.81	0.00	203.81	90.0	183.43
07/07/2018	(None) BAKER, CHAD	VAT AMERICAN GENERAL	B	0.00	9.03	0.00	9.03	90.0	8.13
07/12/2018	(None) NIXON, DREW	VAT AMERICAN GENERAL	B	0.00	45.83	0.00	45.83	90.0	41.25
07/13/2018	(None) MILLER, CHRISTIN	VAT AMERICAN GENERAL	B	0.00	24.63	0.00	24.63	90.0	22.17
07/14/2018	(None) WILLIAMS, GREGO	VAT AMERICAN GENERAL	B	0.00	38.95	0.00	38.95	90.0	35.06
07/14/2018	(None) HUDMAN, BETTY	VAT AMERICAN GENERAL	B	0.00	2.56	0.00	2.56	90.0	2.30
07/17/2018	(None) GRIFFIN, PEGGY	VAT AMERICAN GENERAL	B	0.00	12.64	0.00	12.64	90.0	11.38
07/23/2018	(None) MARTIN, SHERRY	VAT AMERICAN GENERAL	B	0.00	96.22	0.00	96.22	90.0	86.60
07/23/2018	(None) KEEN, SHIRLEY	VAT AMERICAN GENERAL	B	0.00	80.69	0.00	80.69	90.0	72.62
07/24/2018	(None) KEEN, BOBBY	VAT AMERICAN GENERAL	B	0.00	45.53	0.00	45.53	90.0	40.98
07/25/2018	(None) BANKHEAD, CORE	VAT AMERICAN GENERAL	B	0.00	8.45	0.00	8.45	90.0	7.61
07/27/2018	(None) DICKENS, CLYDE	VAT AMERICAN GENERAL	B	0.00	22.00	0.00	22.00	90.0	19.80
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *				\$0.00	\$590.34	\$0.00	\$590.34		\$531.33
*** DIRECT TRANSACTIONS TOTAL ***				\$4,773.08	\$908.10	\$0.00	\$908.10		\$817.31

OVERRIDE TRANSACTIONSBROKER: JIM HUGHES-D349 #138

07/10/2018	000000002367136 JONES, JESS	MF FRK TEMP FOUNDING FUNDS ALLOC	B	150.00	1.50	0.00	1.50		0.15
07/10/2018	000000002367163 JONES, JOHN	MF FRK TEMP FOUNDING FUNDS ALLOC	B	450.00	4.50	0.00	4.50		0.45
06/30/2018	(None) HUGHES, VARIOUS	MFT MAINSTAY	B	0.00	57.19	0.00	57.19		5.72
07/20/2018	(None) ADVANTAGE	VAT MASS MUTUAL	B	0.00	45.22	0.00	45.22		4.52
07/16/2018	VM16000353 THOMPSON, SANDRA	VAT PACIFIC LIFE VA 69448B175	B	0.00	3.42	0.00	3.42		0.34
07/16/2018	VM16000357 WALSTON, FAITH	VAT PACIFIC LIFE VA 69448B175	B	0.00	2.49	0.00	2.49		0.25
07/16/2018	VM16000361 GARESS, LAURA	VAT PACIFIC LIFE VA 69448B175	B	0.00	7.80	0.00	7.80		0.78
07/16/2018	VM16000365 HENSARLING, SHAWNA	VAT PACIFIC LIFE VA 69448B175	B	0.00	2.39	0.00	2.39		0.24
07/16/2018	VM16000385 CLOWERS, SUNNIE	VAT PACIFIC LIFE VA 69448B175	B	0.00	1.66	0.00	1.66		0.17
07/16/2018	VM16000393 DALTON, MELISSA	VAT PACIFIC LIFE VA 69448B175	B	0.00	2.26	0.00	2.26		0.23
07/16/2018	VM16005144 BRONSTAD, LORI	VAT PACIFIC LIFE VA 69448B191	B	0.00	9.26	0.00	9.26		0.93
07/17/2018	VM17004814 DAVIES, ANDREW	VAT PACIFIC LIFE VA 69448B191	B	0.00	-6.71	0.00	-6.71		-0.67
07/17/2018	VM17004814 DAVIES, ANDREW	VAT PACIFIC LIFE VA 69448B191	B	0.00	-4.47	0.00	-4.47		-0.45

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07/17/2018	VM17004814	DAVIES, ANDREW	VAT PACIFIC LIFE VA 69448B191	B	0.00	-4.38	0.00	-4.38	-0.44
07/17/2018	VM17004814	DAVIES, ANDREW	VAT PACIFIC LIFE VA 69448B191	B	0.00	-4.38	0.00	-4.38	-0.44
07/17/2018	VM17004814	DAVIES, ANDREW	VAT PACIFIC LIFE VA 69448B191	B	0.00	-4.38	0.00	-4.38	-0.44
07/17/2018	VM17004814	DAVIES, ANDREW	VAT PACIFIC LIFE VA 69448B191	B	0.00	-4.38	0.00	-4.38	-0.44
07/17/2018	VM17004814	DAVIES, ANDREW	VAT PACIFIC LIFE VA 69448B191	B	0.00	-4.38	0.00	-4.38	-0.44
07/17/2018	VM17004814	DAVIES, ANDREW	VAT PACIFIC LIFE VA 69448B191	B	0.00	-4.19	0.00	-4.19	-0.42
07/17/2018	VM17004814	DAVIES, ANDREW	VAT PACIFIC LIFE VA 69448B191	B	0.00	-4.14	0.00	-4.14	-0.41
07/17/2018	VM17004814	DAVIES, ANDREW	VAT PACIFIC LIFE VA 69448B191	B	0.00	-4.10	0.00	-4.10	-0.41
07/17/2018	VM17004814	DAVIES, ANDREW	VAT PACIFIC LIFE VA 69448B191	B	0.00	-3.27	0.00	-3.27	-0.33
07/17/2018	VM17004814	DAVIES, ANDREW	VAT PACIFIC LIFE VA 69448B191	B	0.00	-2.19	0.00	-2.19	-0.22
* JIM HUGHES-D349 SUBTOTAL *					\$600.00	\$86.72	\$0.00	\$86.72	\$8.67
*** OVERRIDE TRANSACTIONS TOTAL ***					\$600.00	\$86.72	\$0.00	\$86.72	\$8.67

LINKED CLEARING TRANSACTIONS

07/11/2018	123385782	AKINS, SAMMY	S	OCCIDENTAL PETROLEUM CORP	S	-84,082.46	15.00	15.00	0.00	0.00
07/12/2018	368300127	NIXON, DREW	S	GLOBAL X FUNDS GLOBAL X	S	-22,097.26	15.00	15.00	0.00	0.00
07/23/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN DYNATECH FUND CLASS A	B	0.00	9.36	0.00	9.36	8.42
07/23/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN DYNATECH FUND CLASS A	B	0.00	16.04	0.00	16.04	14.44
07/23/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN DYNATECH FUND CLASS A	B	0.00	17.25	0.00	17.25	15.53
07/23/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN DYNATECH FUND CLASS A	B	0.00	20.79	0.00	20.79	18.71
07/23/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN DYNATECH FUND CLASS A	B	0.00	35.17	0.00	35.17	31.65
07/23/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN GROWTH FUND CLASS A	B	0.00	6.40	0.00	6.40	5.76
07/23/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN GROWTH FUND CLASS A	B	0.00	9.35	0.00	9.35	8.42
07/23/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN GROWTH FUND CLASS A	B	0.00	18.20	0.00	18.20	16.38
07/23/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN GROWTH FUND CLASS A	B	0.00	23.38	0.00	23.38	21.04
07/23/2018	mfc533881	NIXON, VARIOUS	MFT	FRANKLIN GROWTH FUND CLASS A	B	0.00	31.17	0.00	31.17	28.05
07/23/2018	mfc533881	NIXON, VARIOUS	MFT	FRK AGE HIGH INC FND - CL A	B	0.00	4.10	0.00	4.10	3.69
07/23/2018	mfc533881	NIXON, VARIOUS	MFT	FRK AGE HIGH INC FND - CL A	B	0.00	8.03	0.00	8.03	7.23
07/23/2018	mfc533881	NIXON, VARIOUS	MFT	FRK AGE HIGH INC FND - CL A	B	0.00	8.86	0.00	8.86	7.97
07/23/2018	mfc533881	NIXON, VARIOUS	MFT	FRK AGE HIGH INC FND - CL A	B	0.00	9.89	0.00	9.89	8.90
* DREW NIXON-D352 SUBTOTAL *						\$-106,179.72	\$247.99	\$30.00	\$217.99	\$196.19
*** LINKED CLEARING TRANSACTIONS TOTAL ***						\$-106,179.72	\$247.99	\$30.00	\$217.99	\$196.19

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS TRANSACTIONS

BROKER: #0

07/31/2018	:	BROKER WORKSTATION		0.00	0.00	0.00	0.00		-30.00
07/31/2018	:	E&O		0.00	0.00	0.00	0.00		-130.00
07/31/2018	:	E-MAIL ACCOUNT		0.00	0.00	0.00	0.00		-25.00
07/31/2018	:	MONEY MARKET TRAILS		0.00	0.00	0.00	0.00		108.91
07/31/2018	:	SHAREFILE		0.00	0.00	0.00	0.00		-13.00
* SUBTOTAL *				\$0.00	\$0.00	\$0.00	\$0.00		\$-89.09
*** ADJUSTMENTS TRANSACTIONS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-89.09

BROKER COMMISSION TOTALS

Broker Transactions	\$1,150.79
Split Transactions	\$0.00
Override Transactions	\$8.67
Adjustments	\$-89.09
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,070.37
Year-to-date Commissions	\$50,691.91