

COMMISSION STATEMENT**October 31, 2017**GUY CRAWFORD
12 PLYMOUTH ROADBROKER #: 29
BRANCH: HOME OFFICE

PORT WASHINGTON, NY 11050

COMMISSION STATEMENT for GUY CRAWFORD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

10/10/2017	QGW022238	DAVID M MARKS, SEP	KKR INCOME OPPORTUNITIES FD COM	S	-18,500.00	50.00	0.00	50.00	50.0	25.00
10/25/2017	QGW022238	DAVID M MARKS, SEP	ANTHEM INC CORP UNIT PFD CPN 5.25%	S	-10,600.00	50.00	0.00	50.00	50.0	25.00
* STOCKS SUBTOTAL *					<u>\$-29,100.00</u>	<u>\$100.00</u>	<u>\$0.00</u>	<u>\$100.00</u>		<u>\$50.00</u>

PRODUCT CATEGORY: MISCELLANEOUS

10/23/2017	VARIOUS, CRAWFORD	COLLIDION		B	0.00	400.00	0.00	400.00	50.0	200.00
* MISCELLANEOUS SUBTOTAL *					<u>\$0.00</u>	<u>\$400.00</u>	<u>\$0.00</u>	<u>\$400.00</u>		<u>\$200.00</u>
*** BROKER TRANSACTIONS TOTAL ***					<u>\$-29,100.00</u>	<u>\$500.00</u>	<u>\$0.00</u>	<u>\$500.00</u>		<u>\$250.00</u>

ADJUSTMENTS

10/31/2017		OTHER: COMPLIANCE AND TECHNOLOGY			0.00	0.00	0.00	0.00		-20.00
*** ADJUSTMENTS TOTAL ***					<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>		<u>\$-20.00</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$250.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-20.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-0.13
SIPC Assessment	\$-0.25

Check Amount	\$229.62
Year-to-date Earnings	\$259.24