

**COMMISSION STATEMENT****October 31, 2017**

JIM LUND
2060 Huntington Drive
Suite 1
San Marino, CA 91108

BROKER #: L119 Terminated 10/30/2015
BRANCH: TWEED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
10/16/2017	BARBOUR, ELEANOR	FA 529 PORT 2016 C	200.00	10.00	0.00	10.00	0.0	0.00
10/05/2017	THOMPSON, LESLIE	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	0.00
10/16/2017	WURMLINGER, MARTIN	FRANKLIN INCOME A	100.00	4.00	0.00	4.00	0.0	0.00
10/02/2017	STARK, TONI	FRANKLIN INCOME C	1,000.00	10.00	0.00	10.00	0.0	0.00
10/05/2017	PRESTON, JANEAN	FRANKLIN INCOME C	400.00	4.00	0.00	4.00	0.0	0.00
10/02/2017	ERTEL, KENNETH	FT FOUNDING FDS ALLOC C	200.00	2.00	0.00	2.00	0.0	0.00
10/18/2017	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	120.00	1.20	0.00	1.20	0.0	0.00
10/11/2017	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	200.00	9.50	0.00	9.50	0.0	0.00
10/10/2017	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	247.50	11.76	0.00	11.76	0.0	0.00
10/10/2017	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	82.50	3.92	0.00	3.92	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$2,650.00	\$60.38	\$0.00	\$60.38		\$0.00
*** BROKER TRANSACTIONS TOTAL ***			\$2,650.00	\$60.38	\$0.00	\$60.38		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$7,027.09
Year-to-date Earnings	\$0.00