



Concorde Asset Management

08/29/2017
Page No. 1

COMMISSION STATEMENT August 31, 2017

DAMIAN DERING
165 Culver Blvd
Suite A
Playa Del Rey, CA 90293

BROKER #: D127
BRANCH: DAMIAN DERING

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|-----------------------------------|----------------------|-------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| PRODUCT CATEGORY: RIA | | | | | | | | |
| 08/11/2017 | AMIN, CHIRAG | ASSETMARK | 1,572.50 | 1.79 | 0.00 | 1.79 | 90.0 | 1.61 |
| 08/11/2017 | AVONDO, ROSE | ASSETMARK | 1,170.00 | 3.53 | 0.00 | 3.53 | 90.0 | 3.18 |
| 08/11/2017 | AVONDO, ROSE | ASSETMARK | -1,170.00 | -3.49 | 0.00 | -3.49 | 90.0 | -3.14 |
| 08/11/2017 | CHUCK, LAURENCE | ASSETMARK | 487.50 | 1.32 | 0.00 | 1.32 | 90.0 | 1.19 |
| 08/11/2017 | CHUCK, LAURENCE | ASSETMARK | -487.50 | -1.30 | 0.00 | -1.30 | 90.0 | -1.17 |
| 08/11/2017 | CROCKETT, PATRICIA | ASSETMARK | 95,249.12 | 241.43 | 0.00 | 241.43 | 90.0 | 217.29 |
| 08/11/2017 | CROCKETT, PATRICIA | ASSETMARK | 55,828.23 | 139.65 | 0.00 | 139.65 | 90.0 | 125.69 |
| 08/11/2017 | HAVENS, JACK | ASSETMARK | 18,257.09 | 47.52 | 0.00 | 47.52 | 90.0 | 42.77 |
| 08/11/2017 | JOHNSON, MORGAN | ASSETMARK | 1,000.00 | 2.81 | 0.00 | 2.81 | 90.0 | 2.53 |
| 08/11/2017 | KUSUNOKI, MIKE | ASSETMARK | 1,000.00 | 3.01 | 0.00 | 3.01 | 90.0 | 2.71 |
| 08/11/2017 | KUSUNOKI, MIKE | ASSETMARK | -1,000.00 | -2.98 | 0.00 | -2.98 | 90.0 | -2.68 |
| 08/11/2017 | RICHMOND, JACOB | ASSETMARK | 1,500.00 | 4.77 | 0.00 | 4.77 | 90.0 | 4.29 |
| 08/11/2017 | RICHMOND, JACOB | ASSETMARK | 2,000.00 | 6.36 | 0.00 | 6.36 | 90.0 | 5.72 |
| 08/11/2017 | PEARSON, ROBERT | ASSETMARK | 1,000.00 | 2.39 | 0.00 | 2.39 | 90.0 | 2.15 |
| 08/11/2017 | SECRIST HOLDINGS LLC | ASSETMARK | 6,070.75 | 12.91 | 0.00 | 12.91 | 90.0 | 11.62 |
| 08/22/2017 | SCANE, DARYL | PACIFIC FINANCIAL | 105,492.18 | 77.85 | 0.00 | 77.85 | 90.0 | 70.07 |
| * RIA SUBTOTAL * | | | \$287,969.87 | \$537.57 | \$0.00 | \$537.57 | | \$483.83 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$287,969.87 | \$537.57 | \$0.00 | \$537.57 | | \$483.83 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---------------------------|--------|-----------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>ADJUSTMENTS</u> | | | | | | | | |
| 08/31/2017 | | *REGISTRATION FEE: PA RA REG. FEE | 0.00 | | | | | -130.00 |
| | | *** ADJUSTMENTS TOTAL *** | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-130.00 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|-----------|
| Broker Transactions | \$483.83 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-130.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

| | |
|-----------------------|--------------|
| Check Amount | \$353.83 |
| Year-to-date Gross | \$115,118.38 |
| Year-to-date Earnings | \$103,606.68 |

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed