



All Companies
COMMISSION STATEMENT
August 01, 2018

07/30/2018
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JAMES ROBERT PALMERSHEIM
601 S Main St. 202

BROKER #: 9530
BRANCH: HOME OFFICE

Grapevine, TX 76051

COMMISSION STATEMENT for JAMES ROBERT PALMERSHEIM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: RIA									
07/13/2018	10848249 FANDLER, DEBORAH	SIGNAL SECURITIES RIA	B	0.00	28.33	0.00	28.33	90.0	25.50
07/13/2018	10918728 RELFORD, ROBERTA LYNN	SIGNAL SECURITIES RIA	B	0.00	199.67	0.00	199.67	90.0	179.70
07/13/2018	52045658 PERKINS- 401K, JOSEPH	SIGNAL SECURITIES RIA	B	0.00	486.33	0.00	486.33	90.0	437.70
	RICHARD								
07/13/2018	52089632 PERKINS, J. RICHARD	SIGNAL SECURITIES RIA	B	0.00	186.67	0.00	186.67	90.0	168.00
07/13/2018	52130632 PERKINS, SHIRLEY A	SIGNAL SECURITIES RIA	B	0.00	11.33	0.00	11.33	90.0	10.20
07/13/2018	52147632 PERKINS, SHIRLEY A	SIGNAL SECURITIES RIA	B	0.00	28.67	0.00	28.67	90.0	25.80
07/13/2018	61056175 RELFORD, ROBERTA LYNN	SIGNAL SECURITIES RIA	B	0.00	11.67	0.00	11.67	90.0	10.50
07/13/2018	61400902 PERKINS, J. RICHARD	SIGNAL SECURITIES RIA	B	0.00	10.33	0.00	10.33	90.0	9.30
07/13/2018	80512276 PERKINS- 401K, JOSEPH	SIGNAL SECURITIES RIA	B	0.00	250.33	0.00	250.33	90.0	225.30
	RICHARD								
07/13/2018	81083146 FANDLER, FRED	SIGNAL SECURITIES RIA	B	0.00	548.67	0.00	548.67	90.0	493.80
		* RIA SUBTOTAL *		\$0.00	\$1,762.00	\$0.00	\$1,762.00		\$1,585.80
		*** BROKER TRANSACTIONS TOTAL ***		\$0.00	\$1,762.00	\$0.00	\$1,762.00		\$1,585.80

SPLIT TRANSACTIONS

BROKER: PALMERSHEIM/SNOW #9613

07/25/2018	BUSH, ABE A. (JR.)	SIGNAL SECURITIES RIA	B	0.00	113.60	0.00	113.60	90.0	102.24
08/01/2018	TRAIL COMMISSIONS	MFS FUND DISTRIBUTORS, INC. FEES		0.00	67.77	0.00	67.77	90.0	61.02
07/16/2018	00083950080 CUNNINGHAM, MARY	AMERICAN FUNDS EURO PACIFIC	GROWT B	175.00	5.25	0.00	5.25	90.0	4.73
07/13/2018	10556019 GILLILAND, JULIE	SIGNAL SECURITIES RIA	B	0.00	43.80	0.00	43.80	90.0	39.42
	DEANN								
07/13/2018	10882572 BRUCE, JUDITH IRENE	SIGNAL SECURITIES RIA	B	0.00	135.20	0.00	135.20	90.0	121.68
07/13/2018	15447982 PEARSON, DIANNE H	SIGNAL SECURITIES RIA	B	0.00	168.40	0.00	168.40	90.0	151.56
07/13/2018	16695746 QUANBECK, REA DENISE	SIGNAL SECURITIES RIA	B	0.00	43.20	0.00	43.20	90.0	38.88
07/13/2018	18091956 BRENNAN, JONATHAN &	SIGNAL SECURITIES RIA	B	0.00	21.40	0.00	21.40	90.0	19.26
	JEAN								
07/13/2018	20770029 BRENNAN, JONATHAN &	SIGNAL SECURITIES RIA	B	0.00	280.40	0.00	280.40	90.0	252.36
	JEAN								

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<u>SPLIT TRANSACTIONS</u>									
BROKER: PALMERSHEIM/SNOW #9613									
07/13/2018	20872135 SLAUGHTER, JUSTINE MARIE	SIGNAL SECURITIES RIA	B	0.00	83.20	0.00	83.20	90.0	74.88
07/13/2018	22163519 ABBOTT, JAMES ADDISON	SIGNAL SECURITIES RIA	B	0.00	21.20	0.00	21.20	90.0	19.08
07/13/2018	27933838 RUSCH, GIGI	SIGNAL SECURITIES RIA	B	0.00	29.20	0.00	29.20	90.0	26.28
07/13/2018	29192217 JOHNSON, TONYA WAYNETTE	SIGNAL SECURITIES RIA	B	0.00	81.60	0.00	81.60	90.0	73.44
07/13/2018	29329286 QUANBECK, GORDON (HAL)	SIGNAL SECURITIES RIA	B	0.00	359.20	0.00	359.20	90.0	323.28
07/13/2018	30561785 THOMAS, LARRY D	SIGNAL SECURITIES RIA	B	0.00	121.60	0.00	121.60	90.0	109.44
07/13/2018	31207916 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	95.80	0.00	95.80	90.0	86.22
07/13/2018	31528580 STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	2,924.40	0.00	2,924.40	90.0	2,631.96
07/13/2018	31528580 STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	326.60	0.00	326.60	90.0	293.94
07/13/2018	31671856 THOMAS, POLLY S.	SIGNAL SECURITIES RIA	B	0.00	18.60	0.00	18.60	90.0	16.74
07/13/2018	33117987 STELLY, PAUL	SIGNAL SECURITIES RIA	B	0.00	19.20	0.00	19.20	90.0	17.28
07/13/2018	35080832 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	33.00	0.00	33.00	90.0	29.70
07/13/2018	35770153 RUSCH, GIGI	SIGNAL SECURITIES RIA	B	0.00	7.40	0.00	7.40	90.0	6.66
07/13/2018	39222483 REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	B	0.00	156.80	0.00	156.80	90.0	141.12
07/13/2018	39816703 WARREN, GLORIA CLARK	SIGNAL SECURITIES RIA	B	0.00	109.80	0.00	109.80	90.0	98.82
07/20/2018	401K OPTIMIZER PUKIS, BARBARA ANN	SIGNAL SECURITIES RIA	B	0.00	622.80	0.00	622.80	90.0	560.52
07/13/2018	41540562 LOCKER, PAMELA LAYTON	SIGNAL SECURITIES RIA	B	0.00	241.80	0.00	241.80	90.0	217.62
07/13/2018	42458316 WARREN, WILLIAM& GLORIA	SIGNAL SECURITIES RIA	B	0.00	63.40	0.00	63.40	90.0	57.06
07/13/2018	49635286 BERNARD, DONNA GAY PANCA	SIGNAL SECURITIES RIA	B	0.00	25.40	0.00	25.40	90.0	22.86
07/13/2018	51260395 SUTHERLAND, MIKE DEAN	SIGNAL SECURITIES RIA	B	0.00	416.60	0.00	416.60	90.0	374.94
07/13/2018	51489395 FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	B	0.00	201.40	0.00	201.40	90.0	181.26
07/13/2018	52054063 SWAIN, CYNTHIA CHRISTI	SIGNAL SECURITIES RIA	B	0.00	24.00	0.00	24.00	90.0	21.60
07/13/2018	56512595 BRENNAN, JONATHAN & JEAN	SIGNAL SECURITIES RIA	B	0.00	10.60	0.00	10.60	90.0	9.54
07/13/2018	58196619 STELLY, TIMOTHY & HALEY	SIGNAL SECURITIES RIA	B	0.00	1.60	0.00	1.60	90.0	1.44
07/13/2018	59968411 KINUNEN, LEZLIE ANNE	SIGNAL SECURITIES RIA	B	0.00	136.20	0.00	136.20	90.0	122.58
07/13/2018	62652031 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	159.80	0.00	159.80	90.0	143.82
07/13/2018	64024640 QUANBECK, GORDON (HAL)	SIGNAL SECURITIES RIA	B	0.00	39.20	0.00	39.20	90.0	35.28
07/13/2018	64679619 WARREN, WILLIAM& GLORIA	SIGNAL SECURITIES RIA	B	0.00	114.60	0.00	114.60	90.0	103.14
07/13/2018	67104851 JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	170.20	0.00	170.20	90.0	153.18

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<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: PALMERSHEIM/SNOW #9613</u>									
07/13/2018	68428952 HORN, JEFFREY	SIGNAL SECURITIES RIA	B	0.00	355.80	0.00	355.80	90.0	320.22
07/23/2018	68428952 HORN, JEFFREY	SIGNAL SECURITIES RIA	B	0.00	819.00	0.00	819.00	90.0	737.10
07/13/2018	68892175 LAWRENCE, JERROLD B	SIGNAL SECURITIES RIA	B	0.00	271.40	0.00	271.40	90.0	244.26
07/13/2018	70196330 JACKSON, DIANE LYNN	SIGNAL SECURITIES RIA	B	0.00	47.80	0.00	47.80	90.0	43.02
07/13/2018	71014745 ELLIOTT, SUSAN HUDSON	SIGNAL SECURITIES RIA	B	0.00	23.60	0.00	23.60	90.0	21.24
07/13/2018	72540730 BLALOCK III, WILLIAM J	SIGNAL SECURITIES RIA	B	0.00	246.20	0.00	246.20	90.0	221.58
07/13/2018	73959390 VOIRIN FAMILY TRUST	SIGNAL SECURITIES RIA	B	0.00	19.00	0.00	19.00	90.0	17.10
07/13/2018	74609933 REESE, PEGGY M	SIGNAL SECURITIES RIA	B	0.00	13.60	0.00	13.60	90.0	12.24
07/13/2018	74970598 MCKEEL, GWEN F	SIGNAL SECURITIES RIA	B	0.00	26.60	0.00	26.60	90.0	23.94
07/13/2018	75970772 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	31.40	0.00	31.40	90.0	28.26
07/13/2018	79512456 BRUCE, GRANVILLE WAYNE	SIGNAL SECURITIES RIA	B	0.00	83.60	0.00	83.60	90.0	75.24
07/13/2018	81184800 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	291.40	0.00	291.40	90.0	262.26
07/13/2018	81184802 SHIRLEY, RALPH W.	SIGNAL SECURITIES RIA	B	0.00	46.20	0.00	46.20	90.0	41.58
07/13/2018	81944763 RENDER, SYLVIA/RICHARD	SIGNAL SECURITIES RIA	B	0.00	220.80	0.00	220.80	90.0	198.72
07/13/2018	82007581 KEOGH, FRANCIS JOSEPH	SIGNAL SECURITIES RIA	B	0.00	224.20	0.00	224.20	90.0	201.78
07/13/2018	85616643 STELLY, TIMOTHY & HALEY	SIGNAL SECURITIES RIA	B	0.00	30.00	0.00	30.00	90.0	27.00
07/13/2018	87845699 KELLERMAN, NANCY/MICHAEL	SIGNAL SECURITIES RIA	B	0.00	469.20	0.00	469.20	90.0	422.28
07/13/2018	87940275 HART, CHARLOTT/ROBERT	SIGNAL SECURITIES RIA	B	0.00	115.80	0.00	115.80	90.0	104.22
07/13/2018	90768067 ELLIOTT, SUSAN HUDSON	SIGNAL SECURITIES RIA	B	0.00	163.40	0.00	163.40	90.0	147.06
07/13/2018	91118996 BRENNAN, JEAN S	SIGNAL SECURITIES RIA	B	0.00	11.40	0.00	11.40	90.0	10.26
07/13/2018	91789542 FRIEDMANN, LINDA L	SIGNAL SECURITIES RIA	B	0.00	45.60	0.00	45.60	90.0	41.04
07/13/2018	91886872 ABBOTT, NANCYE/JAMES	SIGNAL SECURITIES RIA	B	0.00	409.20	0.00	409.20	90.0	368.28
07/13/2018	95761721 KEOGH, FRANCIS JOSEPH	SIGNAL SECURITIES RIA	B	0.00	6.00	0.00	6.00	90.0	5.40
07/13/2018	96915588 JENSEN, ANN MARIE	SIGNAL SECURITIES RIA	B	0.00	13.20	0.00	13.20	90.0	11.88
07/13/2018	97397744 REESE, ANDREW /PEGGY	SIGNAL SECURITIES RIA	B	0.00	111.60	0.00	111.60	90.0	100.44
* PALMERSHEIM/SNOW SUBTOTAL *				\$175.00	\$11,590.22	\$0.00	\$11,590.22		\$10,431.23
<u>BROKER: ERIC CHARLES GUTH #9617</u>									
07/13/2018	11999298 BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	B	0.00	392.76	0.00	392.76	90.0	353.48
07/13/2018	11999298 BELL, GARY WILLIAM	SIGNAL SECURITIES RIA	B	0.00	383.88	0.00	383.88	90.0	345.49
07/13/2018	13081653 FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	23.40	0.00	23.40	90.0	21.06
07/13/2018	17017159 RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	1.68	0.00	1.68	90.0	1.51
07/13/2018	24551097 HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	529.56	0.00	529.56	90.0	476.60
07/13/2018	24551097 HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	95.40	0.00	95.40	90.0	85.86
07/13/2018	27613913 RAINES, GEORGE	SIGNAL SECURITIES RIA	B	0.00	718.56	0.00	718.56	90.0	646.70

COMMISSION STATEMENT for JAMES ROBERT PALMERSHEIM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: ERIC CHARLES GUTH #9617</u>									
	JEFFREY								
07/13/2018	27613913 RAINES, GEORGE	SIGNAL SECURITIES RIA	B	0.00	110.04	0.00	110.04	90.0	99.04
	JEFFREY								
07/13/2018	29396124 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	25.20	0.00	25.20	90.0	22.68
07/13/2018	32611628 BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	29.16	0.00	29.16	90.0	26.24
07/13/2018	34872059 HENDERSON, BILLY R	SIGNAL SECURITIES RIA	B	0.00	559.44	0.00	559.44	90.0	503.50
07/13/2018	34872059 HENDERSON, BILLY R	SIGNAL SECURITIES RIA	B	0.00	317.40	0.00	317.40	90.0	285.66
07/13/2018	37351723 CONNERY, FRANK /E DIANNE	SIGNAL SECURITIES RIA	B	0.00	286.80	0.00	286.80	90.0	258.12
07/13/2018	39099528 BELL, BETTE WYNNE W	SIGNAL SECURITIES RIA	B	0.00	36.60	0.00	36.60	90.0	32.94
07/13/2018	52065317 EWALD, JENNIFER JUDITH	SIGNAL SECURITIES RIA	B	0.00	457.20	0.00	457.20	90.0	411.48
07/13/2018	52065317 EWALD, JENNIFER JUDITH	SIGNAL SECURITIES RIA	B	0.00	40.08	0.00	40.08	90.0	36.07
07/13/2018	58050029 RAINES, JOEY SHANKLAND	SIGNAL SECURITIES RIA	B	0.00	21.12	0.00	21.12	90.0	19.01
07/13/2018	68493114 CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	B	0.00	428.40	0.00	428.40	90.0	385.56
07/13/2018	68493114 CRUMRINE, DOUGLAS L	SIGNAL SECURITIES RIA	B	0.00	183.24	0.00	183.24	90.0	164.92
07/13/2018	84865050 SHANKLAND, SCOTT GARBER	SIGNAL SECURITIES RIA	B	0.00	371.88	0.00	371.88	90.0	334.69
07/13/2018	84865050 SHANKLAND, SCOTT GARBER	SIGNAL SECURITIES RIA	B	0.00	85.20	0.00	85.20	90.0	76.68
07/13/2018	86608828 FLIERINGA, CHARLES	SIGNAL SECURITIES RIA	B	0.00	25.44	0.00	25.44	90.0	22.90
07/13/2018	89069414 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	474.84	0.00	474.84	90.0	427.36
07/13/2018	89069414 COSTAS, PETER J & TAMMY M.	SIGNAL SECURITIES RIA	B	0.00	0.96	0.00	0.96	90.0	0.86
07/13/2018	90329067 RAY, BRUCE EDWIN	SIGNAL SECURITIES RIA	B	0.00	143.52	0.00	143.52	90.0	129.17
07/13/2018	94409837 RAY, JUDITH ANDIA	SIGNAL SECURITIES RIA	B	0.00	8.88	0.00	8.88	90.0	7.99
07/13/2018	98008502 RAY, PATSY & CHARLES	SIGNAL SECURITIES RIA	B	0.00	15.36	0.00	15.36	90.0	13.82
07/13/2018	98257329 CONNERY, FRANK /E DIANNE	SIGNAL SECURITIES RIA	B	0.00	330.60	0.00	330.60	90.0	297.54
07/13/2018	98569607 HOBAN, THOMAS WILLIAM	SIGNAL SECURITIES RIA	B	0.00	52.08	0.00	52.08	90.0	46.87
* ERIC CHARLES GUTH SUBTOTAL *				\$0.00	\$6,148.68	\$0.00	\$6,148.68		\$5,533.80
*** SPLIT TRANSACTIONS TOTAL ***				\$175.00	\$17,738.90	\$0.00	\$17,738.90		\$15,965.03

COMMISSION STATEMENT for JAMES ROBERT PALMERSHEIM

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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ADJUSTMENTS

08/01/2018		E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00		-100.00
08/01/2018		TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00		-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$1,637.36
Split Transactions	\$15,913.47
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-59.13

Check Amount	\$17,364.20
Year-to-date Earnings	\$98,395.94