



All Companies
COMMISSION STATEMENT
July 02, 2018

06/28/2018
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WILLIAM PRICE SCHWENNSEN
501 GREEN RIVER TRAIL

BROKER #: 9544
BRANCH: HOME OFFICE

Fort Worth, TX 76103-

COMMISSION STATEMENT for WILLIAM PRICE SCHWENNSEN

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

BROKER TRANSACTIONS

PRODUCT CATEGORY: STOCKS

| | | | | | | | | | |
|----------------------------|---------------------|---------------------|---|-------------------|-------------------|---------------|-------------------|------|-------------------|
| 06/28/2018 | SECURITIES, HILLTOP | -HILLTOP SECURITIES | B | 8,842.45 | 8,842.45 | 0.00 | 8,842.45 | 85.0 | 7,516.08 |
| * STOCKS SUBTOTAL * | | | | \$8,842.45 | \$8,842.45 | \$0.00 | \$8,842.45 | | \$7,516.08 |

PRODUCT CATEGORY: RIA

| | | | | | | | | | | |
|-----------------------------------|----------|---------------------------|-----------------------|---|------------|------------|--------|------------|------|------------|
| 04/13/2018 | 12422258 | BROWN, FRANCES ELISE | SIGNAL SECURITIES RIA | B | 0.00 | 83.00 | 0.00 | 83.00 | 85.0 | 70.55 |
| 04/13/2018 | 13105054 | WHITE LAKE HILLS COM | SIGNAL SECURITIES RIA | B | 0.00 | 107.00 | 0.00 | 107.00 | 85.0 | 90.95 |
| 04/13/2018 | 64481780 | BROWN, FRANCES ELISE | SIGNAL SECURITIES RIA | B | 0.00 | 133.34 | 0.00 | 133.34 | 85.0 | 113.34 |
| 04/13/2018 | 68177451 | FERGUSON, CHARLES & ERNES | SIGNAL SECURITIES RIA | B | 0.00 | 253.00 | 0.00 | 253.00 | 85.0 | 215.05 |
| 04/13/2018 | 79635223 | RYON, RODY & BEVERLY | SIGNAL SECURITIES RIA | B | 0.00 | 101.34 | 0.00 | 101.34 | 85.0 | 86.14 |
| 04/13/2018 | 84275067 | FERGUSON, CHARLES & ERNES | SIGNAL SECURITIES RIA | B | 0.00 | 302.66 | 0.00 | 302.66 | 85.0 | 257.26 |
| 04/13/2018 | 97084977 | FERGUSON, ERNESTINE | SIGNAL SECURITIES RIA | B | 0.00 | 28.00 | 0.00 | 28.00 | 85.0 | 23.80 |
| * RIA SUBTOTAL * | | | | | \$0.00 | \$1,008.34 | \$0.00 | \$1,008.34 | | \$857.09 |
| *** BROKER TRANSACTIONS TOTAL *** | | | | | \$8,842.45 | \$9,850.79 | \$0.00 | \$9,850.79 | | \$8,373.17 |

ADJUSTMENTS

| | | | | | | |
|------------|-------------------------------------|--------|--------|--------|--------|-----------|
| 07/02/2018 | E & O INSURANCE: 1/2 MONTHLY | 0.00 | 0.00 | 0.00 | 0.00 | -100.00 |
| 07/02/2018 | HILLTOP: CLEARING CHARGES | 0.00 | 0.00 | 0.00 | 0.00 | -363.00 |
| 07/02/2018 | HILLTOP: CUSTOMER WRITE OFF | 0.00 | 0.00 | 0.00 | 0.00 | -10.00 |
| 07/02/2018 | HILLTOP: FIXED INCOME TICKET CHARGE | 0.00 | 0.00 | 0.00 | 0.00 | -26.25 |
| 07/02/2018 | HILLTOP: MISC TICKET FEES | 0.00 | 0.00 | 0.00 | 0.00 | 60.00 |
| 07/02/2018 | TECH: MONTHLY TECH SERVICES | 0.00 | 0.00 | 0.00 | 0.00 | -27.50 |
| | *** ADJUSTMENTS TOTAL *** | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$-466.75 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$8,373.17 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-466.75 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |

| | |
|-----------------------|-------------|
| Check Amount | \$7,906.42 |
| Year-to-date Earnings | \$44,603.72 |