



# COMMISSION STATEMENT

## February 12, 2018

MICHAEL GAROFALO  
54 Brookmeadow Circle  
Shrewsbury, PA 17361

BROKER #: A17  
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
01/30/2018	***-**-207I - SHOCKEY, CHELSEA	AMERICAN BALANCED FUND-A	150.00	7.50	0.00	7.50	85.0	6.38
	ACCOUNT #: 00088283660	BUY 5 Units @29.6600						
02/01/2018	***-**-18IN - KUNKEL, RYAN	AMERICAN BALANCED FUND-A	50.00	1.88	0.00	1.88	85.0	1.60
	ACCOUNT #: 00087404382	BUY 2 Units @29.2400						
01/30/2018	***-**-207I - SHOCKEY, CHELSEA	CAPITAL INCOME BUILDER-A	100.00	5.00	0.00	5.00	85.0	4.25
	ACCOUNT #: 00088283660	BUY 1 Units @68.3500						
02/01/2018	***-**-18IN - KUNKEL, RYAN	CAPITAL INCOME BUILDER-A	50.00	1.88	0.00	1.88	85.0	1.60
	ACCOUNT #: 00087404382	BUY 1 Units @67.5100						
01/30/2018	***-**-207I - SHOCKEY, CHELSEA	FUNDAMENTAL INVESTORS-A	150.00	7.50	0.00	7.50	85.0	6.38
	ACCOUNT #: 00088283660	BUY 2 Units @69.8500						
02/02/2018	***-**-830J - STRAUB, JANE	HARTFORD BALANCED INCOME FD CL C	1,000.00	10.00	0.00	10.00	85.0	8.50
	ACCOUNT #: LSR011950	BUY 70 Units @14.3600						
01/30/2018	***-**-207I - SHOCKEY, CHELSEA	NEW PERSPECTIVE FUND-A	100.00	5.00	0.00	5.00	85.0	4.25
	ACCOUNT #: 00088283660	BUY 2 Units @48.9300						
02/02/2018	***-**-79IR - WILSON, DELEIGH	THE GROWTH FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333458	BUY 4 Units @54.3700						
02/02/2018	***-**-79IR - WILSON, DELEIGH	THE INCOME FUND OF AMERICA-A	200.00	5.50	0.00	5.50	85.0	4.68
	ACCOUNT #: 00073333458	BUY 8 Units @24.5400						
02/01/2018	***-**-18IN - KUNKEL, RYAN	WASHINGTON MUTUAL INVESTORS FUND-A	100.00	3.75	0.00	3.75	85.0	3.19
	ACCOUNT #: 00087404382	BUY 2 Units @50.2700						
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$2,100.00</b>	<b>\$53.51</b>	<b>\$0.00</b>	<b>\$53.51</b>		<b>\$45.51</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
02/12/2018	***-**-992I - SMITH, FBO MR	EATON VANCE SHT DUR STRATEGIC INCOM	0.00	4.17	0.00	4.17	85.0	3.54
	ACCOUNT #: LSR010578	BUY 1 Units @0.0000						
02/12/2018	***-**-4000 - ROMIG, MARK	EV ATLANTA SELECT EQUITY FUND CL C	0.00	14.96	0.00	14.96	85.0	12.72
	ACCOUNT #: LSR011567	BUY 1 Units @0.0000						
02/12/2018	***-**-83IN - SCHWARTZ, EMILY	MASS INVESTORS GROWTH STOCK FUND-A	0.00	6.64	0.00	6.64	85.0	5.64
	ACCOUNT #: LSR010190	BUY 1 Units @0.0000						
02/12/2018	***-**-83IN - SCHWARTZ, EMILY	MASSACHUSETTS INVESTORS TRUST-A	0.00	6.80	0.00	6.80	85.0	5.78
	ACCOUNT #: LSR010190	BUY 1 Units @0.0000						
02/12/2018	***-**-859X - FREY, RYAN	MFS GROWTH PORT CLASS C	0.00	42.08	0.00	42.08	85.0	35.77
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b>BROKER TRANSACTIONS (continued)</b>								
<b>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)</b>								
02/12/2018	***-**-630X - WEAVER, NICOLE	MFS GROWTH PORT CLASS C	0.00	59.29	0.00	59.29	85.0	50.40
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
02/12/2018	***-**-181X - ILGENFRITZ,	MFS GROWTH PORT CLASS C	0.00	21.81	0.00	21.81	85.0	18.54
	ACCOUNT #: LSR011555	BUY 1 Units @0.0000						
02/12/2018	***-**-859X - FREY, RYAN	MFS DIVERSIFIED INCOME FD CL C	0.00	67.32	0.00	67.32	85.0	57.22
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
02/12/2018	***-**-630X - WEAVER, NICOLE	MFS DIVERSIFIED INCOME FD CL C	0.00	49.37	0.00	49.37	85.0	41.96
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
02/12/2018	***-**-881I - HOUSER, LORI	MFS DIVERSIFIED INCOME FD CL C	0.00	0.24	0.00	0.24	85.0	0.20
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
02/12/2018	***-**-881I - HOUSER, LORI	MFS MID CAP VALUE CLASS C	0.00	0.46	0.00	0.46	85.0	0.39
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
02/12/2018	***-**-859X - FREY, RYAN	MFS NEW DISCOVERY VALUE FUND CL C	0.00	19.94	0.00	19.94	85.0	16.95
	ACCOUNT #: LSR011527	BUY 1 Units @0.0000						
02/12/2018	***-**-630X - WEAVER, NICOLE	MFS NEW DISCOVERY VALUE FUND CL C	0.00	39.88	0.00	39.88	85.0	33.90
	ACCOUNT #: LSR011529	BUY 1 Units @0.0000						
02/12/2018	***-**-260X - ILGENFRITZ, CORY	MFS NEW DISCOVERY VALUE FUND CL C	0.00	11.17	0.00	11.17	85.0	9.49
	ACCOUNT #: LSR011553	BUY 1 Units @0.0000						
02/12/2018	***-**-214I - SCHMIDT, DAVID	MFS NEW DISCOVERY VALUE FUND CL C	0.00	0.82	0.00	0.82	85.0	0.70
	ACCOUNT #: LSR011691	BUY 1 Units @0.0000						
02/12/2018	***-**-881I - HOUSER, LORI	MFS VALUE FUND-C	0.00	0.52	0.00	0.52	85.0	0.44
	ACCOUNT #: LSR011961	BUY 1 Units @0.0000						
02/12/2018	***-**-334I - MCKENNA, EDWARD	ROYCE PENNSYLVANIA MUTUAL CONSULT	0.00	8.11	0.00	8.11	85.0	6.89
	ACCOUNT #: LSR011377	BUY 1 Units @0.0000						
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$353.58</b>	<b>\$0.00</b>	<b>\$353.58</b>		<b>\$300.53</b>
<b>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</b>								
02/03/2018	***-**-859I - CAMPBELL, GERALD	ING ADVANTAGE SELECT	0.00	17.39	0.00	17.39	85.0	14.78
	ACCOUNT #: R073277-ZS	BUY 0 Units @0.0000						
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$17.39</b>	<b>\$0.00</b>	<b>\$17.39</b>		<b>\$14.78</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>			<b>\$2,100.00</b>	<b>\$424.48</b>	<b>\$0.00</b>	<b>\$424.48</b>		<b>\$360.82</b>



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BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION	
BROKER COMMISSION TOTALS									
Broker Transactions									\$360.82
Split Transactions									\$0.00
Override Transactions									\$0.00
Adjustments									\$0.00
Payroll Draw									\$0.00
Base Salary									\$0.00
Prior Period Balance									\$0.00
FINRA Assessment									\$0.00
SIPC Assessment									\$0.00
Net Commission Earned									\$360.82
Year-to-date Earnings									\$360.82