

COMMISSION STATEMENT

July 15, 2018

 TOM BRISTER-D011
 32180 Inverness Drive

 BROKER #: D011
 BRANCH: DMD

Evergreen, CO 80439

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION		
DIRECT TRANSACTIONS											
PRODUCT CATEGORY: MUTUAL FUNDS											
07/02/2018	00074778210	OLSON, ANDREW	MF	THE INCOME FUND OF AMERICA-529A	B	150.00	5.63	0.00	5.63	87.5	4.93
07/02/2018	00074778210	OLSON, ANDREW	MF	THE INCOME FUND OF AMERICA-529A	B	150.00	5.63	0.00	5.63	87.5	4.93
07/02/2018	00074778243	OLSON, ANDREW	MF	THE INCOME FUND OF AMERICA-529A	B	150.00	5.63	0.00	5.63	87.5	4.93
07/02/2018	00074778243	OLSON, ANDREW	MF	THE INCOME FUND OF AMERICA-529A	B	150.00	5.63	0.00	5.63	87.5	4.93
07/02/2018	00086110551	SWISHER, DAWN	MF	FUNDAMENTAL INVESTORS-A	B	300.00	11.25	0.00	11.25	87.5	9.84
* MUTUAL FUNDS SUBTOTAL *						\$900.00	\$33.77	\$0.00	\$33.77		\$29.56
PRODUCT CATEGORY: MISCELLANEOUS											
06/30/2018	VARIOUS, BRISTER T	MI	PVG		B	0.00	450.22	0.00	450.22	87.5	393.94
* MISCELLANEOUS SUBTOTAL *						\$0.00	\$450.22	\$0.00	\$450.22		\$393.94
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS											
07/01/2018	00002230696	OLSON, SCOT	MFT	AIM CHARTER FUND - CLASS A	B	0.00	38.99	0.00	38.99	87.5	34.12
07/01/2018	01001329129	DAVIS, MARGARET	MFT	INVESCO AMERICAN FRANCHISE FUND	B	0.00	52.44	0.00	52.44	87.5	45.89
07/01/2018	03810221121	BROWN, WILLIAM	MFT	INVESCO AMERICAN FRANCHISE FUND	B	0.00	6.84	0.00	6.84	87.5	5.99
07/01/2018	04030071965	GERONIMI,	MFT	AIM CASH RESERVE SHARES	B	0.00	0.87	0.00	0.87	87.5	0.76
07/01/2018	04030072013	GERONIMI, DANN	MFT	AIM CASH RESERVE SHARES	B	0.00	0.80	0.00	0.80	87.5	0.70
07/01/2018	04030310520	GERONIMI,	MFT	AIM CASH RESERVE SHARES	B	0.00	2.37	0.00	2.37	87.5	2.07
07/01/2018	04031349287	PETERSON, CYNTHIA	MFT	INVESCO AMERICAN FRANCHISE FUND	B	0.00	2.05	0.00	2.05	87.5	1.79
07/01/2018	08191344668	DAVIS, MARGARET	MFT	MFS EMERGING GROWTH FUND CLASS	B	0.00	43.96	0.00	43.96	87.5	38.47
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *						\$0.00	\$148.32	\$0.00	\$148.32		\$129.79
PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS											
06/30/2018	(None)	SMILLIE, SELLA	VAT	PRUDENTIAL LIFE	B	0.00	518.86	0.00	518.86	87.5	454.00
06/30/2018	(None)	SMILLIE, SELLA	VAT	PRUDENTIAL LIFE	B	0.00	172.95	0.00	172.95	87.5	151.33
06/30/2018	(None)	BRISTER, LYDIA	VAT	PRUDENTIAL LIFE	B	0.00	115.01	0.00	115.01	87.5	100.63
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *						\$0.00	\$806.82	\$0.00	\$806.82		\$705.96
*** DIRECT TRANSACTIONS TOTAL ***						\$900.00	\$1,439.13	\$0.00	\$1,439.13		\$1,259.25

COMMISSION STATEMENT for TOM BRISTER-D011

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
------------	--------	------------	-----	----------------------	---------------------	--------------------	-------------------	------	----------------------

Override Transactions

BROKER: ALEX BRISTER-D010 #14

07/03/2018 04000508909	GERRIE, ANDREW	MF AMERICAN FUNDS 2040 TARGET	B	500.00	13.75	0.00	13.75		5.16
* ALEX BRISTER-D010 SUBTOTAL *				\$500.00	\$13.75	\$0.00	\$13.75		\$5.16
*** OVERRIDE TRANSACTIONS TOTAL ***				\$500.00	\$13.75	\$0.00	\$13.75		\$5.16

BROKER COMMISSION TOTALS

Broker Transactions	\$1,259.25
Split Transactions	\$0.00
Override Transactions	\$5.16
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$1,264.41
Year-to-date Commissions	\$16,211.59