



All Companies
COMMISSION STATEMENT
June 01, 2018

05/30/2018
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RICHARD E. FENGLER
513 HARBOR LANE

BROKER #: 2990
BRANCH: HOME OFFICE

Hickory Creek, TX 75065-7546

COMMISSION STATEMENT for RICHARD E. FENGLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/30/2018	TRAILS	- LORD ABBETT	B	235.33	235.33	0.00	235.33	85.0	200.03
05/15/2018	DILL, SYLVIA K	-VOYA	B	500.00	23.75	0.00	23.75	85.0	20.19
05/10/2018	000000000600306 STEWART, SHARON	FRNKLN FLOATING RATE DLY ACCESS FND B	B	20,000.00	200.00	0.00	200.00	85.0	170.00
05/17/2018	000000000600306 STEWART, SHARON	FRNKLN FLOATING RATE DLY ACCESS FND B	B	20,000.00	200.00	0.00	200.00	85.0	170.00
05/15/2018	00063561880 WALKER, ROBERT A.	FIDELITY ADV STRATEGIC INCOME CL C	B	300.00	3.00	0.00	3.00	85.0	2.55
05/15/2018	00655125367 TURNER, DONNA	FIDELITY ADV CONSUMER STAPLES-CL A	B	125.00	3.44	0.00	3.44	85.0	2.92
05/01/2018	00689654523 BOYLE, JOHN /MARION	-FIDELITY ADVISOR FUNDS	B	1,500.00	30.00	0.00	30.00	85.0	25.50
05/07/2018	00701154544 DUNFORD, GINA	FIDELITY ADV DIVERSIFIED INTL FD-CL B	B	50.00	2.50	0.00	2.50	85.0	2.13
05/10/2018	00701863771 EPPERSON, JOANN/SUSAN	FA 529 PORT 2019-CL A	B	100.00	3.75	0.00	3.75	85.0	3.19
05/01/2018	00704636216 MICHAEL A SCHOEMEHL, BENE	FA 529 PORT 2025-CL A	B	50.00	2.50	0.00	2.50	85.0	2.13
05/15/2018	01476253412 DUNFORD, GINA M.	FA 529 PORT 2019-CL C	B	50.00	0.50	0.00	0.50	85.0	0.43
05/07/2018	01481958690 KLING, MELISSA K.	FA 529 PORT 2019-CL C	B	400.00	4.00	0.00	4.00	85.0	3.40
05/07/2018	01481958708 KLING, MELISSA K.	FA 529 DIVIDEND GROWTH PORT-CL C	B	400.00	4.00	0.00	4.00	85.0	3.40
05/15/2018	01482022975 FENGLER, KELLY	FA 529 GROWTH OPPORTUNITIES PORT	B	100.00	1.00	0.00	1.00	85.0	0.85
05/07/2018	03900222320 BEGNOCHE, RACHEL SANTCHI	FIDELITY ADV NEW INSIGHTS-CL A	B	200.00	10.00	0.00	10.00	85.0	8.50
05/16/2018	03900222321 BEGNOCHE, KELLEN	FIDELITY ADVISORS TECHNOLOGY FD CL B	B	200.00	10.00	0.00	10.00	85.0	8.50
* MUTUAL FUNDS SUBTOTAL *				\$44,210.33	\$733.77	\$0.00	\$733.77		\$623.72
<u>PRODUCT CATEGORY: STOCKS</u>									
05/30/2018	SECURITIES, HILLTOP	-HILLTOP SECURITIES	B	363.58	363.58	0.00	363.58	85.0	309.04
* STOCKS SUBTOTAL *				\$363.58	\$363.58	\$0.00	\$363.58		\$309.04
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
05/04/2018	TRAILS	-JOHN HANCOCK	B	9.26	9.26	0.00	9.26	85.0	7.87
04/21/2018	TRAILS	TRANSAMERICA LIFE INSURANCE	B	941.99	941.99	0.00	941.99	85.0	800.69

COMMISSION STATEMENT for RICHARD E. FENGLER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: RIA

				* VARIABLE ANNUITIES SUBTOTAL *	<u>\$951.25</u>	<u>\$951.25</u>	<u>\$0.00</u>	<u>\$951.25</u>	<u>\$808.56</u>
04/17/2018 12982384	BEGNOCHE, KELLEN & RACHEL	SIGNAL SECURITIES RIA	B	0.00	11.67	0.00	11.67	85.0	9.92
04/17/2018 48690341	STOCKGLAUSNER, ERIC V	SIGNAL SECURITIES RIA	B	0.00	185.00	0.00	185.00	85.0	157.25
04/17/2018 85905056	WILLIAMS, DIANA R	SIGNAL SECURITIES RIA	B	0.00	490.00	0.00	490.00	85.0	416.50
				* RIA SUBTOTAL *	<u>\$0.00</u>	<u>\$686.67</u>	<u>\$0.00</u>	<u>\$686.67</u>	<u>\$583.67</u>

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/01/2018 TRAIL COMMISSIONS	JOHN HANCOCK FEES			0.00	300.72	0.00	300.72	85.0	255.60
06/01/2018 TRAIL COMMISSIONS	WM GROUP OF FUNDS FEES			0.00	248.09	0.00	248.09	85.0	210.86
				* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	<u>\$0.00</u>	<u>\$548.81</u>	<u>\$0.00</u>	<u>\$548.81</u>	<u>\$466.46</u>
				*** BROKER TRANSACTIONS TOTAL ***	<u>\$45,525.16</u>	<u>\$3,284.08</u>	<u>\$0.00</u>	<u>\$3,284.08</u>	<u>\$2,791.45</u>

ADJUSTMENTS

06/01/2018	CHECK RECEIVED: 5-4-18			0.00	0.00	0.00	0.00		55.00
06/01/2018	E & O INSURANCE: 1/2 MONTHLY			0.00	0.00	0.00	0.00		-100.00
06/01/2018	HILLTOP: CLEARING CHARGES			0.00	0.00	0.00	0.00		-1.50
06/01/2018	HILLTOP: CUSTOMER WRITE OFF			0.00	0.00	0.00	0.00		-5.03
06/01/2018	TECH: MONTHLY TECH SERVICES			0.00	0.00	0.00	0.00		-27.50
				*** ADJUSTMENTS TOTAL ***	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-79.03</u>

BROKER COMMISSION TOTALS

Broker Transactions	\$2,791.45
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-79.03
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$2,712.42
Year-to-date Earnings	\$28,814.98