

**COMMISSION STATEMENT****June 15, 2018**

ROBERT, S117 SMITH
 5000 Meadows Road
 Suite 230
 Lake Oswego, OR 97035

BROKER #: S117
 BRANCH: ROBERT SMITH

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 1031 EXCHANGES</u>								
06/01/2018	TAYLOR FAMILY TRUST, GEORGE	MOODY DFW DST	111,224.39	6,673.46	0.00	6,673.46	92.0	6,139.58
		* 1031 EXCHANGES SUBTOTAL *	\$111,224.39	\$6,673.46	\$0.00	\$6,673.46		\$6,139.58
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/01/2018	RUPP, CHARLES	529 AMCAP FUND CLASS A	0.00	0.80	0.00	0.80	92.0	0.74
06/01/2018	RUPP, GAIL	529 AMCAP FUND CLASS A	0.00	1.21	0.00	1.21	92.0	1.11
06/01/2018	O'BRIEN, GERALD	529 AMCAP FUND CLASS A	0.00	2.39	0.00	2.39	92.0	2.20
06/01/2018	RUPP, CHARLES	529 AMCAP FUND CLASS A	0.00	0.16	0.00	0.16	92.0	0.15
06/01/2018	RUPP, CHARLES	AM FUNDS AMERICAN BALANCED 529A	0.00	0.80	0.00	0.80	92.0	0.74
06/01/2018	O'BRIEN, GERALD	AM FUNDS AMERICAN BALANCED 529A	0.00	2.16	0.00	2.16	92.0	1.99
06/01/2018	RUPP, CHARLES	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.85	0.00	0.85	92.0	0.78
06/01/2018	O'BRIEN, GERALD	AM FUNDS AMERICAN MUTUAL 529A	0.00	2.25	0.00	2.25	92.0	2.07
06/01/2018	O'BRIEN, GERALD	AM FUNDS CAPITAL WORLD GRTH & INC 5	0.00	2.15	0.00	2.15	92.0	1.98
06/01/2018	GLADE, PATRICIA	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	0.29	0.00	0.29	92.0	0.27
06/01/2018	GLADE, PATRICIA	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	0.29	0.00	0.29	92.0	0.27
06/01/2018	GLADE, PATRICIA	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	0.29	0.00	0.29	92.0	0.27
06/01/2018	GLADE, PATRICIA	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	0.29	0.00	0.29	92.0	0.27
06/01/2018	O'BRIEN, GERALD	AM FUNDS EUROPACIFIC GROWTH FUND 52	0.00	2.10	0.00	2.10	92.0	1.93
06/01/2018	O'BRIEN, GERALD	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	2.44	0.00	2.44	92.0	2.24
06/01/2018	RUPP, CHARLES	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.99	0.00	0.99	92.0	0.91
06/01/2018	RUPP, GAIL	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.94	0.00	0.94	92.0	0.86
06/01/2018	O'BRIEN, GERALD	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.55	0.00	2.55	92.0	2.35
06/01/2018	O'BRIEN, GERALD	AM FUNDS ICA 529A	0.00	1.15	0.00	1.15	92.0	1.06
06/01/2018	GLADE, PATRICIA	AM FUNDS NEW ECONOMY 529A	0.00	0.39	0.00	0.39	92.0	0.36
06/01/2018	GLADE, PATRICIA	AM FUNDS NEW ECONOMY 529A	0.00	0.39	0.00	0.39	92.0	0.36
06/01/2018	GLADE, PATRICIA	AM FUNDS NEW ECONOMY 529A	0.00	0.39	0.00	0.39	92.0	0.36
06/01/2018	GLADE, PATRICIA	AM FUNDS NEW ECONOMY 529A	0.00	0.39	0.00	0.39	92.0	0.36

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
06/01/2018	RUPP, CHARLES	AM FUNDS NEW ECONOMY 529A	0.00	1.52	0.00	1.52	92.0	1.40
06/01/2018	RUPP, GAIL	AM FUNDS NEW ECONOMY 529A	0.00	0.96	0.00	0.96	92.0	0.88
06/01/2018	O'BRIEN, GERALD	AM FUNDS NEW ECONOMY 529A	0.00	2.54	0.00	2.54	92.0	2.34
06/01/2018	RUPP, CHARLES	AM FUNDS NEW ECONOMY 529A	0.00	0.16	0.00	0.16	92.0	0.15
06/01/2018	O'BRIEN, GERALD	AM FUNDS NEW PERSPECTIVE 529A	0.00	2.38	0.00	2.38	92.0	2.19
06/01/2018	GLADE, PATRICIA	AM FUNDS NEW WORLD 529A	0.00	0.27	0.00	0.27	92.0	0.25
06/01/2018	GLADE, PATRICIA	AM FUNDS NEW WORLD 529A	0.00	0.27	0.00	0.27	92.0	0.25
06/01/2018	GLADE, PATRICIA	AM FUNDS NEW WORLD 529A	0.00	0.27	0.00	0.27	92.0	0.25
06/01/2018	GLADE, PATRICIA	AM FUNDS NEW WORLD 529A	0.00	0.27	0.00	0.27	92.0	0.25
06/01/2018	O'BRIEN, GERALD	AM FUNDS NEW WORLD 529A	0.00	1.01	0.00	1.01	92.0	0.93
05/17/2018	BENNETT, GLENDA	FRANKLIN BALANCE SHEET INVST A	0.00	5.31	0.00	5.31	92.0	4.89
05/17/2018	BENNETT, GLENDA	FRANKLIN DYNATECH A	0.00	0.60	0.00	0.60	92.0	0.55
05/17/2018	BENNETT, GLENDA	FRANKLIN EQUITY INCOME A	0.00	7.82	0.00	7.82	92.0	7.19
05/17/2018	BENNETT, GLENDA	FRANKLIN GROWTH A	0.00	8.76	0.00	8.76	92.0	8.06
05/17/2018	BENNETT, GLENDA	FRANKLIN HIGH INCOME A	0.00	2.19	0.00	2.19	92.0	2.01
05/17/2018	BENNETT, GLENDA	FRANKLIN MUTUAL SHARES A	0.00	4.82	0.00	4.82	92.0	4.43
05/17/2018	BENNETT, GLENDA	FRANKLIN STRATEGIC INCOME A	0.00	3.59	0.00	3.59	92.0	3.30
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$68.40	\$0.00	\$68.40		\$62.95
*** DIRECT TRANSACTIONS TOTAL ***			\$111,224.39	\$6,741.86	\$0.00	\$6,741.86		\$6,202.53

ADJUSTMENTS

06/15/2018	*AI INSIGHT: CURRENT MONTH	0.00						-7.00
06/15/2018	*CONCIERGE SVC: 216617 TAYLOR	0.00						-50.00
06/15/2018	*CONCIERGE SVC: 216696 PANGBORN/SVARVERUD TTEES	0.00						-50.00
06/15/2018	*CONCIERGE SVC: 217337 TAYLOR, TTEE	0.00						-50.00
06/15/2018	*E & O INSURANCE: CURRENT MONTH INS	0.00						-300.00
06/15/2018	*PERSHING: ADDRESS VERIFICATION LETTERS - PNW018968	0.00						-1.50
06/15/2018	*PERSHING: MANDITORY REORG PNW003382 CUSIP 23317H102	0.00						-10.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>ADJUSTMENTS</u>								
06/15/2018		*PERSHING: MANDITORY REORG PNW020386 CUSIP 23317H102	0.00					-10.00
06/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-2.01
06/15/2018		*TECHNOLOGY: ADMIN - LYNN SMITH	0.00					-130.00
06/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-790.51

BROKER COMMISSION TOTALS

Broker Transactions	\$6,202.53
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-790.51
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$5,412.02
Year-to-date Gross	\$702,788.95
Year-to-date Earnings	\$645,967.19

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