

**COMMISSION STATEMENT****March 15, 2018**

**TIFFANY LUM**  
 5945 W CREST LANE  
 GLENDALE, AZ 85310

**BROKER #: 316**  
**BRANCH: #70A11-CENTRAL-JIM**

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
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**Company: CUE Financial Group, Inc**

**BROKER TRANSACTIONS****PRODUCT CATEGORY: LIFE INSURANCE**

03/13/2018	TINIO, DARA	BANNER-30 YEAR TERM	29.75	28.26	0.00	28.26	22.61
03/13/2018	TINIO, DARA	BANNER-30 YEAR TERM	11.38	10.81	0.00	10.81	8.65
<b>* LIFE INSURANCE SUBTOTAL *</b>			<b>\$41.13</b>	<b>\$39.07</b>	<b>\$0.00</b>	<b>\$39.07</b>	<b>\$31.26</b>

**PRODUCT CATEGORY: TRAILERS**

03/09/2018	NAMAHOE, KATHLEEN	AF GLOBAL BALANCED CL F2	0.00	22.67	0.00	22.67	18.14
03/09/2018	LOEWEN, AMY	AF GLOBAL BALANCED CL F2	0.00	0.48	0.00	0.48	0.38
03/09/2018	ARREGLO, CARLO	AF GLOBAL BALANCED CL F2	0.00	0.02	0.00	0.02	0.02
03/09/2018	LOEWEN, AMY	AF GLOBAL BALANCED CL F2	0.00	0.38	0.00	0.38	0.30
03/09/2018	CHANG, CECILY	AMCAP FUND CLASS F-2	0.00	10.45	0.00	10.45	8.36
03/09/2018	GUTIERREZ, REBECCA	AMCAP FUND CLASS F-2	0.00	1.38	0.00	1.38	1.10
03/09/2018	NAMAHOE, KATHLEEN	AMERICAN BALANCED; FUND CLASS F-2	0.00	91.62	0.00	91.62	73.30
03/09/2018	LOEWEN, AMY	AMERICAN BALANCED; FUND CLASS F-2	0.00	0.48	0.00	0.48	0.38
03/09/2018	ARREGLO, CARLO	AMERICAN BALANCED; FUND CLASS F-2	0.00	0.02	0.00	0.02	0.02
03/09/2018	LOEWEN, AMY	AMERICAN BALANCED; FUND CLASS F-2	0.00	0.38	0.00	0.38	0.30
03/09/2018	NAMAHOE, KATHLEEN	CAPITAL INCOME BUILDER FUND CLASS F-2	0.00	22.45	0.00	22.45	17.96
03/09/2018	LOEWEN, AMY	CAPITAL WORLD GROWTH & INCOME FUND CLASS	0.00	0.97	0.00	0.97	0.78
03/09/2018	ARREGLO, CARLO	CAPITAL WORLD GROWTH & INCOME FUND CLASS	0.00	0.01	0.00	0.01	0.01
03/09/2018	LOEWEN, AMY	CAPITAL WORLD GROWTH & INCOME FUND CLASS	0.00	0.77	0.00	0.77	0.62
03/09/2018	CHANG, CECILY	EUROPACIFIC GROWTH FUND CLASS F-2	0.00	7.64	0.00	7.64	6.11
03/09/2018	GUTIERREZ, REBECCA	EUROPACIFIC GROWTH FUND CLASS F-2	0.00	1.03	0.00	1.03	0.82
03/09/2018	CHANG, CECILY	FUNDAMENTAL INVESTORS CLASS F-2	0.00	10.42	0.00	10.42	8.34
03/09/2018	GUTIERREZ, REBECCA	FUNDAMENTAL INVESTORS CLASS F-2	0.00	1.37	0.00	1.37	1.10
03/09/2018	LOEWEN, AMY	FUNDAMENTAL INVESTORS CLASS F-2	0.00	0.97	0.00	0.97	0.78
03/09/2018	LOEWEN, AMY	FUNDAMENTAL INVESTORS CLASS F-2	0.00	0.77	0.00	0.77	0.62
03/09/2018	NAMAHOE, KATHLEEN	INTERMEDIATE BOND FUND OF AMERICA CLASS F-2	0.00	21.64	0.00	21.64	17.31
03/09/2018	ARREGLO, CARLO	NEW PERSPECTIVE FUND CLASS F-2	0.00	0.01	0.00	0.01	0.01

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
<b><u>Company: CUE Financial Group, Inc (continued)</u></b>							
<b><u>BROKER TRANSACTIONS</u></b>							
<b><u>PRODUCT CATEGORY: TRAILERS</u></b>							
03/09/2018	CHANG, CECILY	SMALL-CAP WORLD FUND CLASS F-2	0.00	5.10	0.00	5.10	4.08
03/09/2018	GUTIERREZ, REBECCA	SMALL-CAP WORLD FUND CLASS F-2	0.00	0.68	0.00	0.68	0.54
03/09/2018	ARREGLO, CARLO	THE BOND FUND OF AMERICA CLASS F-2	0.00	0.01	0.00	0.01	0.01
03/09/2018	CHANG, CECILY	THE GROWTH FUND OF AMERICA CLASS F-2	0.00	10.58	0.00	10.58	8.46
03/09/2018	GUTIERREZ, REBECCA	THE GROWTH FUND OF AMERICA CLASS F-2	0.00	1.39	0.00	1.39	1.11
03/09/2018	LOEWEN, AMY	THE GROWTH FUND OF AMERICA CLASS F-2	0.00	0.97	0.00	0.97	0.78
03/09/2018	LOEWEN, AMY	THE GROWTH FUND OF AMERICA CLASS F-2	0.00	0.77	0.00	0.77	0.62
03/09/2018	NAMAHOE, KATHLEEN	THE INCOME FUND OF AMERICA CLASS F-2	0.00	45.39	0.00	45.39	36.31
03/09/2018	CHANG, CECILY	THE INVESTMENT; COMPANY OF AMERICA; CLASS	0.00	7.77	0.00	7.77	6.22
03/09/2018	NAMAHOE, KATHLEEN	THE INVESTMENT; COMPANY OF AMERICA; CLASS	0.00	23.56	0.00	23.56	18.85
03/09/2018	GUTIERREZ, REBECCA	THE INVESTMENT; COMPANY OF AMERICA; CLASS	0.00	1.03	0.00	1.03	0.82
03/09/2018	LOEWEN, AMY	THE INVESTMENT; COMPANY OF AMERICA; CLASS	0.00	0.97	0.00	0.97	0.78
03/09/2018	LOEWEN, AMY	THE INVESTMENT; COMPANY OF AMERICA; CLASS	0.00	0.77	0.00	0.77	0.62
03/09/2018	ARREGLO, CARLO	WASHINGTON MUTUAL INVESTORS FUND CLASS F-2	0.00	0.01	0.00	0.01	0.01
* TRAILERS SUBTOTAL *			<u>\$0.00</u>	<u>\$294.93</u>	<u>\$0.00</u>	<u>\$294.93</u>	<u>\$235.97</u>
*** BROKER TRANSACTIONS TOTAL ***			<u>\$41.13</u>	<u>\$334.00</u>	<u>\$0.00</u>	<u>\$334.00</u>	<u>\$267.23</u>
<b><u>VERRIDE TRANSACTIONS</u></b>							
<b><u>BROKER: SYLVIA LUM #428</u></b>							
03/13/2018	SCHLOETTER, MONIQUE	FEE BASED	0.00	800.00	0.00	800.00	120.00
* SYLVIA LUM SUBTOTAL *			<u>\$0.00</u>	<u>\$800.00</u>	<u>\$0.00</u>	<u>\$800.00</u>	<u>\$120.00</u>
<b><u>BROKER: SYLVIA LUM #602</u></b>							
03/09/2018	DECOITO, SUSAN	AMERICAN BALANCED; FUND CLASS F-2	0.00	194.01	0.00	194.01	48.50
03/09/2018	DECOITO, SUSAN	CAPITAL INCOME BUILDER FUND CLASS F-2	0.00	47.25	0.00	47.25	11.81
03/09/2018	DECOITO, SUSAN	INTERMEDIATE BOND FUND OF AMERICA CLASS F-2	0.00	112.35	0.00	112.35	28.09
03/09/2018	DECOITO, SUSAN	THE INCOME FUND OF AMERICA CLASS F-2	0.00	48.24	0.00	48.24	12.06
03/09/2018	DECOITO, SUSAN	THE INVESTMENT; COMPANY OF AMERICA; CLASS	0.00	75.85	0.00	75.85	18.96
* SYLVIA LUM SUBTOTAL *			<u>\$0.00</u>	<u>\$477.70</u>	<u>\$0.00</u>	<u>\$477.70</u>	<u>\$119.42</u>
*** OVERRIDE TRANSACTIONS TOTAL ***			<u>\$0.00</u>	<u>\$1,277.70</u>	<u>\$0.00</u>	<u>\$1,277.70</u>	<u>\$239.42</u>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	BROKER COMMISSION
							<u>BROKER COMMISSION TOTALS</u>
							Broker Transactions \$267.23
							Split Transactions \$0.00
							Override Transactions \$239.42
							Adjustments \$0.00
							Prior Period Balance \$0.00
							NASD Assessment \$0.00
							SIPC Assessment \$0.00
							<u>Check Amount \$506.65</u>
							<u>Year-to-date Earnings \$596.58</u>