

COMMISSION STATEMENT

August 31, 2018

ANDREW LINDNER
37 GOLDENEYE LANEBROKER #: I60 I61 I62
BRANCH: HOME OFFICE

PORT MONMOUTH, NJ 07758

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
07/30/2018	QGN005274	OLSTEIN ALL CAP	INTEL CORP	B	149,915.52	96.00	6.00	90.00	45.00
07/31/2018	QGN005274	OLSTEIN ALL CAP	KIMBERLY CLARK CORP	S	-1,802,499.20	480.00	6.00	474.00	237.00
07/31/2018	QGN005274	OLSTEIN ALL CAP	MEDTRONIC PLC COM	S	-180,000.00	60.00	6.00	54.00	27.00
08/03/2018	QGN005274	OLSTEIN ALL CAP	KIMBERLY CLARK CORP	S	-354,999.90	90.00	6.00	84.00	42.00
08/03/2018	QGN005274	OLSTEIN ALL CAP	SMUCKER J M COMPANY	S	-478,000.00	120.00	6.00	114.00	57.00
08/07/2018	QGN005274	OLSTEIN ALL CAP	FEDERAL SIGNAL CORP	S	-179,106.20	195.00	6.00	189.00	94.50
08/14/2018	QGN005274	OLSTEIN ALL CAP	SPIRIT AIRLS INC COM	S	-89,000.00	60.00	6.00	54.00	27.00
08/15/2018	QGN005274	OLSTEIN ALL CAP	CUMMINS INC	B	136,299.90	30.00	6.00	24.00	12.00
08/15/2018	QGN005274	OLSTEIN ALL CAP	MOODYS CORP COM	B	338,440.60	60.00	6.00	54.00	27.00
08/15/2018	QGN005274	OLSTEIN ALL CAP	WESTERN DIGITAL CORP	B	371,752.20	180.00	6.00	174.00	87.00
08/16/2018	QGN005274	OLSTEIN ALL CAP	COTY INC COM CL A	B	60,749.46	162.00	6.00	156.00	78.00
08/17/2018	QGN005274	OLSTEIN ALL CAP	HORMEL FOODS CORP	S	-153,000.00	120.00	6.00	114.00	57.00
08/17/2018	QGN005274	OLSTEIN ALL CAP	INVESCO LTD ORD SHS ISIN#BMG491BT11	B	73,746.30	90.00	6.00	84.00	42.00
08/17/2018	QGN005274	OLSTEIN ALL CAP	LEGG MASON INC	B	94,630.80	90.00	6.00	84.00	42.00
08/20/2018	QGN005274	OLSTEIN ALL CAP	SNAP-ON INC	S	-884,805.50	150.00	6.00	144.00	72.00
08/21/2018	QGN005274	OLSTEIN ALL CAP	VIACOM INC CLASS B	S	-190,500.00	180.00	6.00	174.00	87.00
08/24/2018	QGN005274	OLSTEIN ALL CAP	COMCAST CORP CL A	S	-1,092,327.00	900.00	6.00	894.00	447.00
08/09/2018	QGN005282	OPPORTUNITIES,	MILLER INDS INC TENN COM NEW	S	-140,670.76	148.23	6.00	142.23	71.12
08/13/2018	QGN005282	OPPORTUNITIES,	HENDERSON GROUP PLC REGISTERED SHS	B	127,816.50	141.00	6.00	135.00	67.50
08/14/2018	QGN005282	OPPORTUNITIES,	SPIRIT AIRLS INC COM	S	-44,500.00	30.00	6.00	24.00	12.00
08/17/2018	QGN005282	OPPORTUNITIES,	LEGG MASON INC	B	9,463.08	9.00	6.00	3.00	1.50
08/17/2018	QGN005282	OPPORTUNITIES,	LEGG MASON INC	B	-9,463.08	-9.00	-6.00	-3.00	-1.50 C
08/07/2018	QGN005308	DIOCESE OF ARLINGTON	FEDERAL SIGNAL CORP	S	-2,755.48	3.00	6.00	-3.00	-1.50
08/13/2018	QGN005308	DIOCESE OF ARLINGTON	HENDERSON GROUP PLC REGISTERED SHS	B	16,317.00	18.00	6.00	12.00	6.00
08/17/2018	QGN005308	DIOCESE OF ARLINGTON	LEGG MASON INC	B	9,463.08	9.00	6.00	3.00	1.50
* STOCKS SUBTOTAL *				\$-4,213,032.68	\$3,412.23	\$138.00	\$3,274.23		\$1,637.12

PRODUCT CATEGORY: BONDS

08/21/2018	QGN001000	WORKERS LOCAL 451	UNITED STATES TREAS NTS 2.250% 12/3 B		97,593.75	50.00	5.00	45.00	22.50
08/21/2018	QGN001000	WORKERS LOCAL 451	UNITED STATES TREAS NTS 2.375% 05/1 B		96,546.88	50.00	5.00	45.00	22.50
08/22/2018	QGN001000	WORKERS LOCAL 451	UNITED STATES TREAS NTS 2.375% 05/1 B		48,332.03	50.00	5.00	45.00	22.50

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
08/16/2018	QGN001026	DEFINED CONTRIBUTION UNITED STATES TREAS NTS 2.125% 08/3 B		24,753.31	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN001026	DEFINED CONTRIBUTION UNITED STATES TREAS NTS 2.125% 08/3 B		-24,753.31	-50.00	-5.00	-45.00	50.0	-22.50 C
08/16/2018	QGN001026	DEFINED CONTRIBUTION UNITED STATES TREAS NTS 2.125% 08/3 B		24,757.81	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN001026	DEFINED CONTRIBUTION UNITED STATES TREAS NTS 2.250% 12/3 B		24,382.81	50.00	5.00	45.00	50.0	22.50
08/23/2018	QGN001026	DEFINED CONTRIBUTION UNITED STATES TREAS NTS 2.375% 05/1 B		9,667.19	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN001059	OF, INTERNATIONAL UNITED STATES TREAS NTS 2.125% 08/3 B		99,015.63	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN001059	OF, INTERNATIONAL UNITED STATES TREAS NTS 2.250% 12/3 B		48,710.94	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN001059	OF, INTERNATIONAL UNITED STATES TREAS NTS 2.250% 12/3 B		97,515.63	50.00	5.00	45.00	50.0	22.50
08/20/2018	QGN001059	OF, INTERNATIONAL OCCIDENTAL PETE CORP FXD RT SR NT 3 B		96,825.00	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN001059	OF, INTERNATIONAL UNITED STATES TREAS NTS 2.250% 12/3 B		97,531.25	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN001059	OF, INTERNATIONAL UNITED STATES TREAS NTS 2.375% 05/1 B		96,468.75	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN001059	OF, INTERNATIONAL UNITED STATES TREAS NTS 2.375% 05/1 B		48,332.03	50.00	5.00	45.00	50.0	22.50
08/28/2018	QGN001067	ASSOCIATION, FEDERAL HOME LN MTG CORP REFERENCE S		-10,080.00	50.00	5.00	45.00	50.0	22.50
08/28/2018	QGN001067	ASSOCIATION, UNITED STATES TREAS BILLS 0.000% 03 S		-64,173.29	0.00	5.00	-5.00	50.0	-2.50
08/28/2018	QGN001067	ASSOCIATION, UNITED STATES TREAS NTS 1.375% 11/3 S		-4,989.84	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN001075	UNION OF OPERATING, UNITED STATES TREAS NTS 2.375% 05/1 B		24,113.28	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN001083	TEAMSTERS LOCAL 237 UNITED STATES TREAS NTS 2.375% 05/1 B		33,758.59	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN001083	TEAMSTERS LOCAL 237 UNITED STATES TREAS NTS 2.750% 02/1 B		24,853.52	50.00	5.00	45.00	50.0	22.50
08/24/2018	QGN001083	TEAMSTERS LOCAL 237 UNITED STATES TREAS NTS 2.750% 02/1 B		34,792.19	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN001091	STACEY BRAUN UNITED STATES TREAS NTS 2.125% 08/3 B		99,031.25	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN001091	STACEY BRAUN UNITED STATES TREAS NTS 2.250% 12/3 B		24,398.44	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN001091	STACEY BRAUN UNITED STATES TREAS NTS 2.750% 02/1 B		24,853.52	50.00	5.00	45.00	50.0	22.50
08/20/2018	QGN001109	LOCAL 282 WELFARE UNITED STATES TREAS NTS 2.250% 12/3 B		48,828.13	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN001109	LOCAL 282 WELFARE UNITED STATES TREAS NTS 2.250% 12/3 B		48,765.63	50.00	5.00	45.00	50.0	22.50
08/30/2018	QGN001109	LOCAL 282 WELFARE UNITED STATES TREAS BDS 3.000% 05/1 S		-100,078.13	50.00	5.00	45.00	50.0	22.50
08/30/2018	QGN001109	LOCAL 282 WELFARE UNITED STATES TREAS NTS 1.375% 01/3 S		-368,671.88	117.19	5.00	112.19	50.0	56.10
08/30/2018	QGN001109	LOCAL 282 WELFARE UNITED STATES TREASURY NOTE S		-123,281.25	50.00	5.00	45.00	50.0	22.50
08/30/2018	QGN001109	LOCAL 282 WELFARE UNITED STATES TREASURY NOTE S		123,281.25	-50.00	-5.00	-45.00	50.0	-22.50 C
08/30/2018	QGN001109	LOCAL 282 WELFARE UNITED STATES TREASURY NOTE S		-124,531.25	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN001117	ASSOCIATES, STACEY UNITED STATES TREAS NTS 2.250% 12/3 B		14,613.28	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN001117	ASSOCIATES, STACEY UNITED STATES TREAS NTS 2.375% 05/1 B		14,467.97	50.00	5.00	45.00	50.0	22.50
08/23/2018	QGN001117	ASSOCIATES, STACEY UNITED STATES TREAS NTS 2.750% 02/1 S		-50,117.19	50.00	5.00	45.00	50.0	22.50
08/23/2018	QGN001117	ASSOCIATES, STACEY UNITED STATES TREAS NTS 2.375% 05/1 B		33,857.03	50.00	5.00	45.00	50.0	22.50
08/24/2018	QGN001117	ASSOCIATES, STACEY UNITED STATES TREAS NTS 2.750% 02/1 B		19,881.25	50.00	5.00	45.00	50.0	22.50
08/23/2018	QGN001125	TRUSTEES, BOARD UNITED STATES TREAS NTS 2.375% 05/1 B		24,167.97	50.00	5.00	45.00	50.0	22.50
08/20/2018	QGN001158	CPA'S, SCHULTHEIS & UNITED STATES TREAS NTS 2.250% 12/3 B		97,656.25	50.00	5.00	45.00	50.0	22.50
08/23/2018	QGN001158	CPA'S, SCHULTHEIS & UNITED STATES TREAS NTS 2.375% 05/1 B		48,367.19	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN001182	LOCAL UNION 43, IBEW UNITED STATES TREAS NTS 2.125% 08/3 B		99,015.63	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN001182	LOCAL UNION 43, IBEW UNITED STATES TREAS NTS 2.250% 12/3 B		97,406.25	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN001182	LOCAL UNION 43, IBEW UNITED STATES TREAS NTS 2.250% 12/3 B		97,515.63	50.00	5.00	45.00	50.0	22.50

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
08/21/2018	QGN001182	LOCAL UNION 43, IBEW UNITED STATES TREAS NTS 2.250% 12/3 B		48,765.63	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN001182	LOCAL UNION 43, IBEW UNITED STATES TREAS NTS 2.375% 05/1 B		96,664.06	62.50	5.00	57.50	50.0	28.75
08/22/2018	QGN001182	LOCAL UNION 43, IBEW UNITED STATES TREAS NTS 2.375% 05/1 B		-96,664.06	-62.50	-5.00	-57.50	50.0	-28.75 C
08/22/2018	QGN001182	LOCAL UNION 43, IBEW UNITED STATES TREAS NTS 2.375% 05/1 B		96,664.06	50.00	5.00	45.00	50.0	22.50
08/23/2018	QGN001182	LOCAL UNION 43, IBEW UNITED STATES TREAS NTS 2.375% 05/1 B		48,367.19	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN001190	LOCOMOTIVE, UNITED STATES TREAS NTS 2.375% 05/1 B		24,136.72	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN001216	STACEY BRAUN UNITED STATES TREAS NTS 2.125% 08/3 B		99,031.25	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN001224	ASSOCIATES, STACEY UNITED STATES TREAS NTS 2.250% 12/3 B		9,742.19	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN001224	ASSOCIATES, STACEY UNITED STATES TREAS NTS 2.750% 02/1 B		9,941.41	50.00	5.00	45.00	50.0	22.50
08/03/2018	QGN001273	PUBLIC EMPLOYEES UNITED STATES TREAS BDS 2.875% 05/1 B		96,281.25	50.00	5.00	45.00	50.0	22.50
08/03/2018	QGN001273	PUBLIC EMPLOYEES UNITED STATES TREAS NTS 2.375% 05/1 B		95,625.00	50.00	5.00	45.00	50.0	22.50
08/15/2018	QGN001273	PUBLIC EMPLOYEES UNITED STATES TREAS NTS 1.750% 10/3 S		-49,953.13	50.00	5.00	45.00	50.0	22.50
08/15/2018	QGN001273	PUBLIC EMPLOYEES UNITED STATES TREAS NTS 0.875% 05/1 S		-49,453.13	50.00	5.00	45.00	50.0	22.50
08/15/2018	QGN001273	PUBLIC EMPLOYEES UNITED STATES TREAS NTS 1.375% 02/2 S		-169,150.00	50.00	5.00	45.00	50.0	22.50
08/15/2018	QGN001273	PUBLIC EMPLOYEES UNITED STATES TREAS NTS 1.000% 06/3 S		-49,390.61	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN001364	LOCAL 300 CIVIL UNITED STATES TREAS NTS 2.250% 12/3 B		48,757.81	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN001364	LOCAL 300 CIVIL UNITED STATES TREAS NTS 2.375% 05/1 B		48,273.44	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN001364	LOCAL 300 CIVIL UNITED STATES TREAS NTS 2.375% 05/1 B		72,498.05	50.00	5.00	45.00	50.0	22.50
08/23/2018	QGN001364	LOCAL 300 CIVIL UNITED STATES TREAS NTS 2.375% 05/1 B		48,367.19	50.00	5.00	45.00	50.0	22.50
08/24/2018	QGN001364	LOCAL 300 CIVIL UNITED STATES TREAS NTS 2.750% 02/1 B		49,703.13	50.00	5.00	45.00	50.0	22.50
08/13/2018	QGN001380	TEAMSTERS LOCAL 445 UNITED STATES TREAS BDS 2.500% 02/1 S		-22,546.88	50.00	5.00	45.00	50.0	22.50
08/13/2018	QGN001380	TEAMSTERS LOCAL 445 UNITED STATES TREAS NTS 1.375% 02/2 S		-74,636.72	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN001380	TEAMSTERS LOCAL 445 UNITED STATES TREAS BDS 3.000% 11/1 B		34,764.84	50.00	5.00	45.00	50.0	22.50
08/23/2018	QGN001380	TEAMSTERS LOCAL 445 UNITED STATES TREAS NTS 2.375% 05/1 B		24,183.59	50.00	5.00	45.00	50.0	22.50
08/28/2018	QGN001380	TEAMSTERS LOCAL 445 UNITED STATES TREAS BDS 2.750% 08/1 S		-23,878.91	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN001414	11, TEAMSTERS UNITED STATES TREAS NTS 2.125% 08/3 B		99,031.25	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN001414	11, TEAMSTERS UNITED STATES TREAS NTS 2.250% 12/3 B		97,531.25	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN001414	11, TEAMSTERS UNITED STATES TREAS NTS 2.375% 05/1 B		144,703.13	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN001414	11, TEAMSTERS UNITED STATES TREAS NTS 2.750% 02/1 B		74,560.55	50.00	5.00	45.00	50.0	22.50
08/23/2018	QGN001414	11, TEAMSTERS UNITED STATES TREAS NTS 2.375% 05/1 B		48,367.19	50.00	5.00	45.00	50.0	22.50
08/24/2018	QGN001414	11, TEAMSTERS UNITED STATES TREAS NTS 2.750% 02/1 B		49,703.13	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN001430	WORKERS LOCAL 12, UNITED STATES TREAS NTS 2.125% 08/3 B		24,757.81	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN001430	WORKERS LOCAL 12, UNITED STATES TREAS NTS 2.250% 12/3 B		19,506.25	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN001463	COUNCIL, TEAMSTER UNITED STATES TREAS NTS 2.250% 12/3 B		48,765.63	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN001463	COUNCIL, TEAMSTER UNITED STATES TREAS NTS 2.375% 05/1 B		24,166.02	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN001489	RETIREE, DOCTORS UNITED STATES TREAS NTS 2.125% 08/3 B		29,703.97	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN001489	RETIREE, DOCTORS UNITED STATES TREAS NTS 2.125% 08/3 B		-29,703.97	-50.00	-5.00	-45.00	50.0	-22.50 C
08/16/2018	QGN001489	RETIREE, DOCTORS UNITED STATES TREAS NTS 2.125% 08/3 B		29,709.38	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN001489	RETIREE, DOCTORS UNITED STATES TREAS NTS 2.125% 08/3 B		14,854.69	50.00	5.00	45.00	50.0	22.50
08/23/2018	QGN001489	RETIREE, DOCTORS UNITED STATES TREAS NTS 2.375% 05/1 B		14,510.16	50.00	5.00	45.00	50.0	22.50

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
08/20/2018	QGN001505	OF, CARPENTERS &	UNITED STATES TREAS NTS 2.250% 12/3 B	122,070.31	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN001505	OF, CARPENTERS &	UNITED STATES TREAS NTS 2.375% 05/1 B	96,468.75	50.00	5.00	45.00	50.0	22.50
08/23/2018	QGN001505	OF, CARPENTERS &	UNITED STATES TREAS NTS 2.375% 05/1 B	48,367.19	50.00	5.00	45.00	50.0	22.50
08/24/2018	QGN001505	OF, CARPENTERS &	UNITED STATES TREAS NTS 1.375% 09/3 S	-199,812.50	62.50	5.00	57.50	50.0	28.75
08/17/2018	QGN001521	STACEY BRAUN	UNITED STATES TREAS NTS 2.125% 08/3 B	24,757.81	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN001521	STACEY BRAUN	UNITED STATES TREAS NTS 2.375% 05/1 B	9,666.41	50.00	5.00	45.00	50.0	22.50
08/20/2018	QGN001554	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 12/3 B	195,312.50	62.50	5.00	57.50	50.0	28.75
08/21/2018	QGN001554	STACEY BRAUN	UNITED STATES TREAS NTS 2.375% 05/1 B	48,234.38	50.00	5.00	45.00	50.0	22.50
08/24/2018	QGN001554	STACEY BRAUN	UNITED STATES TREAS NTS 2.750% 02/1 B	49,703.13	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN001620	LOCAL, WESTCHESTER	UNITED STATES TREAS NTS 2.125% 08/3 B	49,507.81	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN001620	LOCAL, WESTCHESTER	UNITED STATES TREAS NTS 2.125% 08/3 B	24,757.81	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN001620	LOCAL, WESTCHESTER	UNITED STATES TREAS NTS 2.250% 12/3 B	73,195.31	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN001620	LOCAL, WESTCHESTER	UNITED STATES TREAS NTS 2.750% 02/1 B	34,794.92	50.00	5.00	45.00	50.0	22.50
08/23/2018	QGN001620	LOCAL, WESTCHESTER	UNITED STATES TREAS NTS 2.375% 05/1 B	48,367.19	50.00	5.00	45.00	50.0	22.50
08/24/2018	QGN001620	LOCAL, WESTCHESTER	UNITED STATES TREAS NTS 2.750% 02/1 B	24,851.56	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN001679	ASSISTANT DIRECTOR	UNITED STATES TREAS NTS 2.125% 08/3 B	24,753.31	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN001679	ASSISTANT DIRECTOR	UNITED STATES TREAS NTS 2.125% 08/3 B	-24,753.31	-50.00	-5.00	-45.00	50.0	-22.50 C
08/16/2018	QGN001679	ASSISTANT DIRECTOR	UNITED STATES TREAS NTS 2.125% 08/3 B	24,757.81	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN001679	ASSISTANT DIRECTOR	UNITED STATES TREAS NTS 2.125% 08/3 B	24,757.81	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN001695	STACEY BRAUN	UNITED STATES TREAS NTS 2.750% 02/1 B	24,853.52	50.00	5.00	45.00	50.0	22.50
08/24/2018	QGN001695	STACEY BRAUN	UNITED STATES TREAS NTS 1.375% 11/3 S	-49,898.44	50.00	5.00	45.00	50.0	22.50
08/24/2018	QGN001695	STACEY BRAUN	UNITED STATES TREAS NTS 2.750% 02/1 B	49,703.13	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.125% 08/3 B	198,026.50	62.50	5.00	57.50	50.0	28.75
08/16/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.125% 08/3 B	-198,026.50	-62.50	-5.00	-57.50	50.0	-28.75 C
08/16/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.125% 08/3 B	198,062.50	62.50	5.00	57.50	50.0	28.75
08/17/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.125% 08/3 B	247,578.13	78.13	5.00	73.13	50.0	36.57
08/20/2018	QGN001745	RETIREMENT, SOUTHERN OCCIDENTAL	PETE CORP FXD RT SR NT 3 B	193,650.00	62.50	5.00	57.50	50.0	28.75
08/20/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.250% 12/3 B	195,312.50	62.50	5.00	57.50	50.0	28.75
08/21/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.375% 05/1 B	212,231.25	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.375% 05/1 B	-212,231.25	-50.00	-5.00	-45.00	50.0	-22.50 C
08/21/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.375% 05/1 B	212,231.25	68.75	5.00	63.75	50.0	31.88
08/22/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.750% 02/1 B	99,414.06	62.50	5.00	57.50	50.0	28.75
08/22/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.750% 02/1 B	-99,414.06	-62.50	-5.00	-57.50	50.0	-28.75 C
08/22/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.750% 02/1 B	99,414.06	50.00	5.00	45.00	50.0	22.50
08/24/2018	QGN001745	RETIREMENT, SOUTHERN	UNITED STATES TREAS NTS 2.750% 02/1 B	74,554.69	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN001794	SERVICE EMPLOYEES	UNITED STATES TREAS NTS 2.125% 08/3 B	297,046.88	93.75	5.00	88.75	50.0	44.38
08/16/2018	QGN001828	INTERNS, COMMIOF	UNITED STATES TREAS NTS 2.250% 12/3 B	14,610.94	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN001828	INTERNS, COMMIOF	UNITED STATES TREAS NTS 2.250% 12/3 B	19,506.25	50.00	5.00	45.00	50.0	22.50
08/24/2018	QGN001828	INTERNS, COMMIOF	UNITED STATES TREAS NTS 2.750% 02/1 B	9,940.63	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN001885	BRAUN ASSOCIATES IN,	UNITED STATES TREAS NTS 2.250% 12/3 B	24,351.56	50.00	5.00	45.00	50.0	22.50

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
08/21/2018	QGN001885	BRAUN ASSOCIATES IN,	UNITED STATES TREAS NTS 2.250% 12/3 B	48,765.63	50.00	5.00	45.00	50.0	22.50
08/15/2018	QGN001927	SERVICES EMPLOYEES	UNITED STATES TREAS NTS 2.125% 06/3 B	146,906.25	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN001950	GOLTEN SERVICE CO,	UNITED STATES TREAS NTS 2.750% 02/1 B	9,941.41	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN001950	GOLTEN SERVICE CO,	UNITED STATES TREAS NTS 2.750% 02/1 B	-9,941.41	-50.00	-5.00	-45.00	50.0	-22.50 C
08/16/2018	QGN001992	INTERNS, COMMITTE	UNITED STATES TREAS NTS 2.125% 08/3 B	24,753.31	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN001992	INTERNS, COMMITTE	UNITED STATES TREAS NTS 2.125% 08/3 B	-24,753.31	-50.00	-5.00	-45.00	50.0	-22.50 C
08/16/2018	QGN001992	INTERNS, COMMITTE	UNITED STATES TREAS NTS 2.125% 08/3 B	24,757.81	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN001992	INTERNS, COMMITTE	UNITED STATES TREAS NTS 2.125% 08/3 B	24,757.81	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN002024	SAVASTA, MR	UNITED STATES TREAS NTS 2.375% 05/1 B	24,166.02	50.00	5.00	45.00	50.0	22.50
08/13/2018	QGN002032	COLLEGE, SUFFOLK	FEDERAL HOME LN MTG CORP REFERENCE S	-10,084.00	50.00	5.00	45.00	50.0	22.50
08/13/2018	QGN002032	COLLEGE, SUFFOLK	FEDERAL HOME LN MTG CORP REFERENCE S	-14,782.50	50.00	5.00	45.00	50.0	22.50
08/13/2018	QGN002032	COLLEGE, SUFFOLK	UNITED STATES TREAS NTS 1.125% 03/3 S	-24,421.88	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN002032	COLLEGE, SUFFOLK	UNITED STATES TREAS NTS 2.000% 02/1 S	-47,656.25	50.00	5.00	45.00	50.0	22.50
08/30/2018	QGN002032	COLLEGE, SUFFOLK	FEDERAL HOME LN MTG CORP REFERENCE S	-25,187.50	50.00	5.00	45.00	50.0	22.50
08/30/2018	QGN002032	COLLEGE, SUFFOLK	UNITED STATES TREAS NTS 1.625% 02/1 S	-4,590.63	50.00	5.00	45.00	50.0	22.50
08/15/2018	QGN002040	CONGRESS OF TEACHER,	FEDERAL HOME LN MTG CORP REFERENCE S	-74,107.50	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN002131	ORTHODOX COMM	UNITED STATES TREAS NTS 2.250% 12/3 B	9,753.13	50.00	5.00	45.00	50.0	22.50
08/14/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.000% 11/1 B	46,789.06	50.00	5.00	45.00	50.0	22.50
08/14/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.750% 06/3 B	49,765.63	50.00	5.00	45.00	50.0	22.50
08/14/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.250% 02/2 B	49,750.00	50.00	5.00	45.00	50.0	22.50
08/14/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.375% 03/1 B	99,250.00	50.00	5.00	45.00	50.0	22.50
08/15/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.750% 06/3 B	49,867.19	50.00	5.00	45.00	50.0	22.50
08/15/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.000% 01/3 B	49,617.19	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS BILLS 0.000% 07 B	195,663.61	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.375% 04/3 B	99,625.00	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.250% 12/3 B	58,518.75	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.375% 05/1 B	48,234.38	50.00	5.00	45.00	50.0	22.50
08/24/2018	QGN002172	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.750% 02/1 B	24,851.56	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN002206	817 AFFILIATED WITH	UNITED STATES TREAS NTS 2.250% 12/3 B	48,703.13	50.00	5.00	45.00	50.0	22.50
08/20/2018	QGN002206	817 AFFILIATED WITH	OCCIDENTAL PETE CORP FXD RT SR NT 3 B	48,412.50	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN002206	817 AFFILIATED WITH	UNITED STATES TREAS NTS 2.375% 05/1 B	48,332.03	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN002248	LOCAL 1205 WELFARE	UNITED STATES TREAS NTS 2.750% 02/1 B	49,707.03	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN002271	HEALTH AND WELFARE	UNITED STATES TREAS NTS 2.250% 12/3 B	48,765.63	50.00	5.00	45.00	50.0	22.50
08/24/2018	QGN002271	HEALTH AND WELFARE	UNITED STATES TREAS NTS 2.750% 02/1 B	24,851.56	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN002289	RETIREMENT PLAN OF,	UNITED STATES TREAS NTS 2.125% 08/3 B	29,703.97	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN002289	RETIREMENT PLAN OF,	UNITED STATES TREAS NTS 2.125% 08/3 B	-29,703.97	-50.00	-5.00	-45.00	50.0	-22.50 C
08/16/2018	QGN002289	RETIREMENT PLAN OF,	UNITED STATES TREAS NTS 2.125% 08/3 B	29,709.38	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN002289	RETIREMENT PLAN OF,	UNITED STATES TREAS NTS 2.125% 08/3 B	24,757.81	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN002289	RETIREMENT PLAN OF,	UNITED STATES TREAS NTS 2.375% 05/1 B	14,470.31	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN002289	RETIREMENT PLAN OF,	UNITED STATES TREAS NTS 2.375% 05/1 B	19,332.81	50.00	5.00	45.00	50.0	22.50

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
08/23/2018	QGN002289	RETIREMENT PLAN OF,	UNITED STATES TREAS NTS 2.375% 05/1 B	19,334.38	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN002305	LOCAL 181 SECURITY,	UNITED STATES TREAS NTS 2.250% 12/3 B	14,629.69	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN002313	LABORERS LOCAL 235	UNITED STATES TREAS NTS 2.250% 12/3 B	9,740.63	62.50	5.00	57.50	50.0	28.75
08/16/2018	QGN002313	LABORERS LOCAL 235	UNITED STATES TREAS NTS 2.250% 12/3 B	-9,740.63	-62.50	-5.00	-57.50	50.0	-28.75 C
08/16/2018	QGN002313	LABORERS LOCAL 235	UNITED STATES TREAS NTS 2.250% 12/3 B	9,740.63	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN002313	LABORERS LOCAL 235	UNITED STATES TREAS NTS 2.375% 05/1 B	24,117.19	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN002339	PATIENT CARE TRUST	UNITED STATES TREAS NTS 2.125% 08/3 B	24,753.31	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN002339	PATIENT CARE TRUST	UNITED STATES TREAS NTS 2.125% 08/3 B	-24,753.31	-50.00	-5.00	-45.00	50.0	-22.50 C
08/16/2018	QGN002339	PATIENT CARE TRUST	UNITED STATES TREAS NTS 2.125% 08/3 B	24,757.81	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN002339	PATIENT CARE TRUST	UNITED STATES TREAS NTS 2.125% 08/3 B	24,757.81	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN002347	ROCHELLE FEDERATION	UNITED STATES TREAS NTS 2.375% 05/1 B	33,791.41	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN002362	LOCAL 804 PENSION	UNITED STATES TREAS BILLS 0.000% 05 B	491,174.69	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN002362	LOCAL 804 PENSION	UNITED STATES TREAS BILLS 0.000% 06 B	490,100.41	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN002362	LOCAL 804 PENSION	UNITED STATES TREAS BILLS 0.000% 07 B	489,147.39	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN002362	LOCAL 804 PENSION	UNITED STATES TREAS BILLS 0.000% 06 B	-490,100.41	-50.00	-5.00	-45.00	50.0	-22.50 C
08/16/2018	QGN002362	LOCAL 804 PENSION	UNITED STATES TREAS BILLS 0.000% 06 B	490,150.41	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN002388	LESTER, AUGUSTUS	UNITED STATES TREAS NTS 2.125% 08/3 B	49,506.62	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN002388	LESTER, AUGUSTUS	UNITED STATES TREAS NTS 2.125% 08/3 B	-49,506.62	-50.00	-5.00	-45.00	50.0	-22.50 C
08/16/2018	QGN002388	LESTER, AUGUSTUS	UNITED STATES TREAS NTS 2.125% 08/3 B	49,515.63	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN002388	LESTER, AUGUSTUS	UNITED STATES TREAS NTS 2.125% 08/3 B	24,757.81	50.00	5.00	45.00	50.0	22.50
08/24/2018	QGN002388	LESTER, AUGUSTUS	UNITED STATES TREAS NTS 2.750% 02/1 B	19,881.25	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN002453	COUNCIL BENEFIT	UNITED STATES TREAS NTS 2.125% 08/3 B	14,851.99	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN002453	COUNCIL BENEFIT	UNITED STATES TREAS NTS 2.125% 08/3 B	-14,851.99	-50.00	-5.00	-45.00	50.0	-22.50 C
08/16/2018	QGN002453	COUNCIL BENEFIT	UNITED STATES TREAS NTS 2.125% 08/3 B	14,854.69	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN002453	COUNCIL BENEFIT	UNITED STATES TREAS NTS 2.125% 08/3 B	9,903.13	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN002479	AND STONE MASONS,	UNITED STATES TREAS NTS 2.250% 12/3 B	48,757.81	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN002479	AND STONE MASONS,	UNITED STATES TREAS NTS 2.375% 05/1 B	24,136.72	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN002479	AND STONE MASONS,	UNITED STATES TREAS NTS 2.375% 05/1 B	24,166.02	50.00	5.00	45.00	50.0	22.50
08/23/2018	QGN002479	AND STONE MASONS,	UNITED STATES TREAS NTS 2.375% 05/1 B	24,183.59	50.00	5.00	45.00	50.0	22.50
08/24/2018	QGN002479	AND STONE MASONS,	UNITED STATES TREAS NTS 2.750% 02/1 B	24,851.56	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN002487	LEAGUE GRAPHIC ARTS,	UNITED STATES TREAS NTS 2.250% 12/3 B	48,765.63	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN002495	108 RWDSU RETIREMENT	UNITED STATES TREAS NTS 2.250% 12/3 B	34,135.94	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN002503	CITY EMPLOYEES UNION	UNITED STATES TREAS NTS 2.125% 08/3 B	49,507.81	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN002503	CITY EMPLOYEES UNION	UNITED STATES TREAS NTS 2.375% 05/1 B	53,100.78	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN002537	CLERKS, NY	UNITED STATES TREAS NTS 2.250% 12/3 B	4,876.56	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN002578	NY LABORERS DIST	UNITED STATES TREAS NTS 2.375% 05/1 B	14,470.31	50.00	5.00	45.00	50.0	22.50
08/23/2018	QGN002594	CARNEY,, MICHAEL	UNITED STATES TREAS NTS 2.375% 05/1 B	9,667.19	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN002636	ROSS FAMILY LTD	UNITED STATES TREAS NTS 2.750% 02/1 B	9,941.41	50.00	5.00	45.00	50.0	22.50
08/23/2018	QGN002636	ROSS FAMILY LTD	UNITED STATES TREAS NTS 2.375% 05/1 B	9,667.19	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN002842	CLEMENT SL. MANG,,	UNITED STATES TREAS NTS 2.125% 08/3 B	24,753.91	50.00	5.00	45.00	50.0	22.50

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
08/17/2018	QGN002842	CLEMENT SL. MANG,,	ANTHEM INC FXD RT NT 2.950% 12/01/2 B	24,575.00	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN002842	CLEMENT SL. MANG,,	UNITED STATES TREAS NTS 2.250% 12/3 B	24,382.81	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN002842	CLEMENT SL. MANG,,	UNITED STATES TREAS NTS 2.375% 05/1 B	19,332.81	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN002891	STACEY BRAUN ASSOC,	UNITED STATES TREAS NTS 2.125% 08/3 B	198,062.50	62.50	5.00	57.50	50.0	28.75
08/20/2018	QGN002891	STACEY BRAUN ASSOC,	OCCIDENTAL PETE CORP FXD RT SR NT 3 B	48,412.50	50.00	5.00	45.00	50.0	22.50
08/20/2018	QGN002891	STACEY BRAUN ASSOC,	UNITED STATES TREAS NTS 2.250% 12/3 B	48,828.13	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN002891	STACEY BRAUN ASSOC,	UNITED STATES TREAS NTS 2.250% 12/3 B	146,390.63	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN002891	STACEY BRAUN ASSOC,	UNITED STATES TREAS NTS 2.375% 05/1 B	48,226.56	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN002917	LOCAL # 1181, CWA	UNITED STATES TREAS NTS 2.250% 12/3 B	9,753.13	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN003105	YORK STATE PUBLIC	UNITED STATES TREAS NTS 2.250% 12/3 B	9,753.13	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN003188	OPPENHEIMER & CO	UNITED STATES TREAS NTS 2.250% 12/3 B	14,610.94	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN003188	OPPENHEIMER & CO	UNITED STATES TREAS NTS 2.250% 12/3 B	19,506.25	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN003212	ODONNELL, THOMAS	UNITED STATES TREAS NTS 2.375% 05/1 B	9,646.88	50.00	5.00	45.00	50.0	22.50
08/06/2018	QGN003279	VERNON FEDERATION OF	UNITED STATES TREAS NTS 1.750% 11/1 S	-14,690.63	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN003279	VERNON FEDERATION OF	UNITED STATES TREASURY NOTE S	-19,796.88	50.00	5.00	45.00	50.0	22.50
08/27/2018	QGN003279	VERNON FEDERATION OF	FEDERAL NATL MTG ASSN 2.000% 01/05/ S	-48,715.00	50.00	5.00	45.00	50.0	22.50
08/27/2018	QGN003279	VERNON FEDERATION OF	UNITED STATES TREASURY NOTE S	-29,681.25	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN003311	I.B.E.W, LOCAL	UNITED STATES TREAS NTS 2.125% 08/3 B	24,753.91	50.00	5.00	45.00	50.0	22.50
08/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS BDS TREAS TIPS B	51,495.79	50.00	5.00	45.00	50.0	22.50
08/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS 10 YRS TIPS S	-1,361,876.61	420.92	5.00	415.92	50.0	207.96
08/01/2018	QGN003329	MASSACHUSETTS	UNITED STATES TREAS NTS TREAS TIPS B	991,886.33	313.24	5.00	308.24	50.0	154.12
08/22/2018	QGN003337	OPPENHEIMER & CO	UNITED STATES TREAS NTS 2.375% 05/1 B	9,666.41	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN003345	OPPENHEIMER & CO	UNITED STATES TREAS NTS 2.250% 12/3 B	14,629.69	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN003345	OPPENHEIMER & CO	UNITED STATES TREAS NTS 2.750% 02/1 B	9,941.41	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN003618	OPPENHEIMER & CO	UNITED STATES TREAS NTS 2.375% 05/1 B	9,646.88	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN004228	ROSS & JOAN K ROSS,	UNITED STATES TREAS NTS 2.375% 05/1 B	9,666.41	50.00	5.00	45.00	50.0	22.50
08/01/2018	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS BDS TREAS TIPS B	5,149.58	50.00	5.00	45.00	50.0	22.50
08/01/2018	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS 10 YRS TIPS S	-136,902.56	50.00	5.00	45.00	50.0	22.50
08/01/2018	QGN004939	SERVICE EMPLOYEES	UNITED STATES TREAS NTS TREAS TIPS B	104,148.07	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN005175	OPPENHEIMER & CO	UNITED STATES TREAS NTS 2.125% 08/3 B	24,753.91	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN005175	OPPENHEIMER & CO	UNITED STATES TREAS NTS 2.375% 05/1 B	14,470.31	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN005209	SETTERS LOCAL 84,	UNITED STATES TREAS NTS 2.250% 12/3 B	24,355.47	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN005209	SETTERS LOCAL 84,	UNITED STATES TREAS NTS 2.375% 05/1 B	24,117.19	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN005241	DEL JERSEY, NECA,	UNITED STATES TREAS NTS 2.375% 05/1 B	24,113.28	50.00	5.00	45.00	50.0	22.50
08/03/2018	QGN005324	LOCAL 235 WELFARE	UNITED STATES TREAS NTS 2.250% 11/1 S	-23,906.25	50.00	5.00	45.00	50.0	22.50
08/03/2018	QGN005324	LOCAL 235 WELFARE	UNITED STATES TREAS NTS 1.125% 02/2 S	-72,058.59	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN005597	LEGAL, CONNECTICUT	UNITED STATES TREAS NTS 2.375% 05/1 B	14,470.31	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN006041	TEAMSTERS LOCAL 237	UNITED STATES TREAS NTS 2.375% 05/1 B	24,136.72	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN006223	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.250% 12/3 B	73,054.69	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN006223	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.375% 05/1 B	96,468.75	50.00	5.00	45.00	50.0	22.50

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
08/22/2018	QGN006223	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.750% 02/1 B	49,707.03	50.00	5.00	45.00	50.0	22.50
08/23/2018	QGN006223	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.375% 05/1 B	48,367.19	50.00	5.00	45.00	50.0	22.50
08/09/2018	QGN006272	ASSOCIATES, STACEY	UNITED STATES TREAS NTS 2.375% 03/1 B	24,792.97	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN006272	ASSOCIATES, STACEY	UNITED STATES TREAS BILLS 0.000% 06 B	24,508.59	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN006363	STACEY BRAUN & ASSOC	UNITED STATES TREAS BILLS 0.000% 07 B	293,495.42	50.00	5.00	45.00	50.0	22.50
08/30/2018	QGN006363	STACEY BRAUN & ASSOC	UNITED STATES TREAS BILLS 0.000% 03 B	296,229.29	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN006405	STACEY BRAUN & ASSOC	UNITED STATES TREAS NTS 2.375% 05/1 S	-14,423.44	50.00	5.00	45.00	50.0	22.50
08/09/2018	QGN006520	STACEY BRAUN	UNITED STATES TREAS NTS 2.000% 01/3 B	495,781.25	156.25	5.00	151.25	50.0	75.63
08/16/2018	QGN006520	STACEY BRAUN	UNITED STATES TREAS BILLS 0.000% 07 B	489,159.03	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN006520	STACEY BRAUN	UNITED STATES TREAS NTS 2.375% 03/1 B	496,406.25	156.25	5.00	151.25	50.0	75.63
08/20/2018	QGN006520	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 12/3 B	195,312.50	62.50	5.00	57.50	50.0	28.75
08/21/2018	QGN006520	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 12/3 B	97,531.25	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN006520	STACEY BRAUN	UNITED STATES TREAS NTS 2.375% 05/1 B	96,468.75	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN006520	STACEY BRAUN	UNITED STATES TREAS NTS 2.750% 02/1 B	49,707.03	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN006520	STACEY BRAUN	UNITED STATES TREAS NTS 2.750% 02/1 B	49,707.03	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN006520	STACEY BRAUN	UNITED STATES TREAS NTS 2.750% 02/1 B	-49,707.03	-50.00	-5.00	-45.00	50.0	-22.50 C
08/24/2018	QGN006520	STACEY BRAUN	UNITED STATES TREAS NTS 2.750% 02/1 B	49,703.13	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN006561	STACEY BRAUN	ANTHEM INC FXD RT NT 2.950% 12/01/2 B	14,745.00	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN026064	YORK STATE COURT	UNITED STATES TREAS NTS 2.250% 12/3 B	14,629.69	50.00	5.00	45.00	50.0	22.50
08/24/2018	QGN026064	YORK STATE COURT	UNITED STATES TREAS NTS 2.750% 02/1 B	9,940.63	50.00	5.00	45.00	50.0	22.50
08/24/2018	QGN026098	DOCTORS COUNCIL	UNITED STATES TREAS NTS 2.750% 02/1 B	19,881.25	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN026114	DEL JERSEY, NECA,	UNITED STATES TREAS NTS 2.125% 08/3 B	49,507.81	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN026114	DEL JERSEY, NECA,	UNITED STATES TREAS NTS 2.125% 08/3 B	-49,507.81	-50.00	-5.00	-45.00	50.0	-22.50 C
08/16/2018	QGN026114	DEL JERSEY, NECA,	UNITED STATES TREAS NTS 2.125% 08/3 B	49,507.81	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN026114	DEL JERSEY, NECA,	UNITED STATES TREAS NTS 2.125% 08/3 B	24,757.81	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN026114	DEL JERSEY, NECA,	UNITED STATES TREAS NTS 2.250% 12/3 B	24,382.81	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN026114	DEL JERSEY, NECA,	UNITED STATES TREAS NTS 2.375% 05/1 B	9,666.41	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN026122	OF DELAWARE LOCAL	UNITED STATES TREAS NTS 2.375% 05/1 B	24,136.72	50.00	5.00	45.00	50.0	22.50
08/24/2018	QGN026122	OF DELAWARE LOCAL	UNITED STATES TREAS NTS 2.750% 02/1 B	19,881.25	50.00	5.00	45.00	50.0	22.50
08/14/2018	QGN026130	TEACHERS, PORT	FEDERAL HOME LN MTG CORP REFERENCE S	-9,881.00	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN026205	DEFINED CONTRIBUTION	UNITED STATES TREAS NTS 2.250% 12/3 B	48,757.81	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN026205	DEFINED CONTRIBUTION	UNITED STATES TREAS NTS 2.375% 05/1 B	96,546.88	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN026239	PNC BANK	UNITED STATES TREAS BILLS 0.000% 03 B	246,631.77	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN026239	PNC BANK	UNITED STATES TREAS BILLS 0.000% 05 B	245,592.19	50.00	5.00	45.00	50.0	22.50
08/20/2018	QGN026270	BROTHERHOOD OF	OCCIDENTAL PETE CORP FXD RT SR NT 3 B	48,412.50	50.00	5.00	45.00	50.0	22.50
08/23/2018	QGN026270	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.375% 05/1 B	48,367.19	50.00	5.00	45.00	50.0	22.50
08/03/2018	QGN026346	FEDERATION OF	GNMA 30 YEAR TBA SETT AUGUST 3.500% B	50,078.13	50.00	5.00	45.00	50.0	22.50
08/03/2018	QGN026346	FEDERATION OF	GNMA 30 YEAR TBA SETT AUGUST 3.500% B	-50,078.13	-50.00	-5.00	-45.00	50.0	-22.50 C
08/03/2018	QGN026346	FEDERATION OF	GNMA GTD MTG PASS THRU CTFS POOL # B	50,211.59	50.00	5.00	45.00	50.0	22.50
08/03/2018	QGN026346	FEDERATION OF	GNMA GTD MTG PASS THRU CTFS POOL # B	-50,211.59	-50.00	-5.00	-45.00	50.0	-22.50 C

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
08/03/2018	QGN026346	FEDERATION OF	GNMA GTD MTG PASS THRU CTFS POOL # B	50,078.78	50.00	5.00	45.00	50.0	22.50
08/03/2018	QGN026346	FEDERATION OF	GOLDMAN SACHS GROUP INC FXD RT SR N B	73,687.50	50.00	5.00	45.00	50.0	22.50
08/03/2018	QGN026346	FEDERATION OF	UNITED STATES TREAS BILLS 0.000% 07 B	195,502.00	50.00	5.00	45.00	50.0	22.50
08/03/2018	QGN026346	FEDERATION OF	UNITED STATES TREAS NTS 2.375% 04/3 B	149,390.63	50.00	5.00	45.00	50.0	22.50
08/03/2018	QGN026346	FEDERATION OF	UNITED STATES TREAS NTS 2.750% 04/3 B	99,734.38	50.00	5.00	45.00	50.0	22.50
08/03/2018	QGN026346	FEDERATION OF	UNITED STATES TREAS NTS 2.625% 05/1 B	99,734.38	50.00	5.00	45.00	50.0	22.50
08/31/2018	QGN026346	FEDERATION OF	FEDERAL HOME LN MTG CORP REFERENCE S	-25,187.50	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN026403	300 CIVIL SERVICE	UNITED STATES TREAS NTS 2.250% 12/3 B	9,740.63	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN026403	300 CIVIL SERVICE	UNITED STATES TREAS NTS 2.375% 05/1 B	9,654.69	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN026544	EMPLOYEES, NYS	UNITED STATES TREAS NTS 2.125% 08/3 B	49,506.62	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN026544	EMPLOYEES, NYS	UNITED STATES TREAS NTS 2.125% 08/3 B	-49,506.62	-50.00	-5.00	-45.00	50.0	-22.50 C
08/16/2018	QGN026544	EMPLOYEES, NYS	UNITED STATES TREAS NTS 2.125% 08/3 B	49,515.63	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN026544	EMPLOYEES, NYS	UNITED STATES TREAS NTS 2.250% 12/3 B	48,757.81	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN026544	EMPLOYEES, NYS	UNITED STATES TREAS NTS 2.375% 05/1 B	24,166.02	50.00	5.00	45.00	50.0	22.50
08/23/2018	QGN026544	EMPLOYEES, NYS	UNITED STATES TREAS NTS 2.375% 05/1 B	24,183.59	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN026627	STACEY BRAUN	UNITED STATES TREAS NTS 2.375% 05/1 B	48,273.44	50.00	5.00	45.00	50.0	22.50
08/23/2018	QGN026627	STACEY BRAUN	UNITED STATES TREAS NTS 2.375% 05/1 B	24,183.59	50.00	5.00	45.00	50.0	22.50
08/20/2018	QGN026643	LOCAL, PLUMBERS &	OCCIDENTAL PETE CORP FXD RT SR NT 3 B	72,618.75	50.00	5.00	45.00	50.0	22.50
08/20/2018	QGN026643	LOCAL, PLUMBERS &	UNITED STATES TREAS NTS 2.250% 12/3 B	48,828.13	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN026643	LOCAL, PLUMBERS &	UNITED STATES TREAS NTS 2.375% 05/1 B	48,226.56	50.00	5.00	45.00	50.0	22.50
08/23/2018	QGN026643	LOCAL, PLUMBERS &	UNITED STATES TREAS NTS 2.375% 05/1 B	48,335.94	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN026759	STACEY BRAUN	UNITED STATES TREAS NTS 2.125% 08/3 B	49,506.62	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN026759	STACEY BRAUN	UNITED STATES TREAS NTS 2.125% 08/3 B	-49,506.62	-50.00	-5.00	-45.00	50.0	-22.50 C
08/16/2018	QGN026759	STACEY BRAUN	UNITED STATES TREAS NTS 2.125% 08/3 B	49,515.63	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN026759	STACEY BRAUN	UNITED STATES TREAS NTS 2.250% 12/3 B	48,757.81	50.00	5.00	45.00	50.0	22.50
08/20/2018	QGN026759	STACEY BRAUN	OCCIDENTAL PETE CORP FXD RT SR NT 3 B	48,412.50	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN026759	STACEY BRAUN	UNITED STATES TREAS NTS 2.375% 05/1 B	48,273.44	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN026759	STACEY BRAUN	UNITED STATES TREAS NTS 2.750% 02/1 B	49,707.03	50.00	5.00	45.00	50.0	22.50
08/23/2018	QGN026759	STACEY BRAUN	UNITED STATES TREAS NTS 2.375% 05/1 B	48,367.19	50.00	5.00	45.00	50.0	22.50
08/24/2018	QGN026759	STACEY BRAUN	UNITED STATES TREAS NTS 2.750% 02/1 B	24,851.56	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN026825	CRAFTSMEN,	UNITED STATES TREAS NTS 2.125% 08/3 B	14,851.99	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN026825	CRAFTSMEN,	UNITED STATES TREAS NTS 2.125% 08/3 B	-14,851.99	-50.00	-5.00	-45.00	50.0	-22.50 C
08/16/2018	QGN026825	CRAFTSMEN,	UNITED STATES TREAS NTS 2.125% 08/3 B	14,854.69	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN026825	CRAFTSMEN,	UNITED STATES TREAS NTS 2.250% 12/3 B	14,629.69	50.00	5.00	45.00	50.0	22.50
08/07/2018	QGN026957	LOCAL 177 UNION	UNITED STATES TREAS BDS 2.500% 02/1 S	-22,226.56	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN026957	LOCAL 177 UNION	UNITED STATES TREAS NTS 2.750% 02/1 B	24,853.52	50.00	5.00	45.00	50.0	22.50
08/20/2018	QGN027005	LOCAL UNION #313	OCCIDENTAL PETE CORP FXD RT SR NT 3 B	96,825.00	50.00	5.00	45.00	50.0	22.50
08/20/2018	QGN027005	LOCAL UNION #313	UNITED STATES TREAS NTS 2.250% 12/3 B	48,828.13	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN027005	LOCAL UNION #313	UNITED STATES TREAS NTS 2.250% 12/3 B	243,984.38	78.13	5.00	73.13	50.0	36.57
08/21/2018	QGN027005	LOCAL UNION #313	UNITED STATES TREAS NTS 2.375% 05/1 B	96,453.13	50.00	5.00	45.00	50.0	22.50

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: BONDS</u>									
08/16/2018	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.125% 08/3 B	198,031.25	62.50	5.00	57.50	50.0	28.75
08/20/2018	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.250% 12/3 B	195,312.50	62.50	5.00	57.50	50.0	28.75
08/21/2018	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.250% 12/3 B	292,593.75	93.75	5.00	88.75	50.0	44.38
08/22/2018	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.375% 05/1 B	96,664.06	62.50	5.00	57.50	50.0	28.75
08/22/2018	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.750% 02/1 B	99,414.06	62.50	5.00	57.50	50.0	28.75
08/22/2018	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.375% 05/1 B	-96,664.06	-62.50	-5.00	-57.50	50.0	-28.75 C
08/22/2018	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.375% 05/1 B	96,664.06	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.750% 02/1 B	-99,414.06	-62.50	-5.00	-57.50	50.0	-28.75 C
08/22/2018	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.750% 02/1 B	99,414.06	50.00	5.00	45.00	50.0	22.50
08/23/2018	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.375% 05/1 B	96,734.38	50.00	5.00	45.00	50.0	22.50
08/24/2018	QGN027088	237, TEAMSTERS	UNITED STATES TREAS NTS 2.750% 02/1 B	99,406.25	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN027104	LEAQUE GRAPHIC	UNITED STATES TREAS NTS 2.250% 12/3 B	24,382.81	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN027120	HOUSE, VOLUNTARY	UNITED STATES TREAS NTS 2.250% 12/3 B	97,421.88	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN027120	HOUSE, VOLUNTARY	UNITED STATES TREAS NTS 2.250% 12/3 B	97,515.63	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN027120	HOUSE, VOLUNTARY	UNITED STATES TREAS NTS 2.375% 05/1 B	192,906.25	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN027120	HOUSE, VOLUNTARY	UNITED STATES TREAS NTS 2.375% 05/1 B	-192,906.25	-50.00	-5.00	-45.00	50.0	-22.50 C
08/21/2018	QGN027120	HOUSE, VOLUNTARY	UNITED STATES TREAS NTS 2.375% 05/1 B	192,906.25	62.50	5.00	57.50	50.0	28.75
08/23/2018	QGN027120	HOUSE, VOLUNTARY	UNITED STATES TREAS NTS 2.375% 05/1 B	96,734.38	50.00	5.00	45.00	50.0	22.50
08/24/2018	QGN027120	HOUSE, VOLUNTARY	UNITED STATES TREAS NTS 2.750% 02/1 B	99,406.25	50.00	5.00	45.00	50.0	22.50
08/20/2018	QGN027146	LOCAL UNION 43 &	OCCIDENTAL PETE CORP FXD RT SR NT 3 B	121,031.25	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS NTS 2.250% 12/3 B	97,531.25	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS NTS 2.375% 05/1 B	48,273.44	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS NTS 2.375% 05/1 B	67,664.84	50.00	5.00	45.00	50.0	22.50
08/23/2018	QGN027146	LOCAL UNION 43 &	UNITED STATES TREAS NTS 2.375% 05/1 B	48,367.19	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN027187	SAVASTA, MR	UNITED STATES TREAS NTS 2.250% 12/3 B	48,710.94	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 2.250% 12/3 B	97,406.25	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 2.250% 12/3 B	195,031.25	62.50	5.00	57.50	50.0	28.75
08/20/2018	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 2.250% 12/3 B	292,968.75	93.75	5.00	88.75	50.0	44.38
08/21/2018	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 2.375% 05/1 B	193,093.75	62.50	5.00	57.50	50.0	28.75
08/22/2018	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 2.375% 05/1 B	96,664.06	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 2.750% 02/1 B	233,623.05	146.87	5.00	141.87	50.0	70.94
08/22/2018	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 2.750% 02/1 B	-233,623.05	-146.87	-5.00	-141.87	50.0	-70.94 C
08/22/2018	QGN027286	ANNUITY FUND OF	UNITED STATES TREAS NTS 2.750% 02/1 B	233,623.05	73.44	5.00	68.44	50.0	34.22
08/16/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.125% 08/3 B	198,031.25	62.50	5.00	57.50	50.0	28.75
08/16/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.250% 12/3 B	97,406.25	50.00	5.00	45.00	50.0	22.50
08/20/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.250% 12/3 B	292,968.75	93.75	5.00	88.75	50.0	44.38
08/21/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.250% 12/3 B	390,375.00	125.00	5.00	120.00	50.0	60.00
08/21/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.375% 05/1 B	96,546.88	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.375% 05/1 B	120,830.08	78.13	5.00	73.13	50.0	36.57
08/22/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.750% 02/1 B	124,267.58	78.13	5.00	73.13	50.0	36.57

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: BONDS									
08/22/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.375% 05/1 B	-120,830.08	-78.13	-5.00	-73.13	50.0	-36.57 C
08/22/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.375% 05/1 B	120,830.08	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.750% 02/1 B	-124,267.58	-78.13	-5.00	-73.13	50.0	-36.57 C
08/22/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.750% 02/1 B	124,267.58	50.00	5.00	45.00	50.0	22.50
08/23/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.375% 05/1 B	96,734.38	50.00	5.00	45.00	50.0	22.50
08/24/2018	QGN027294	UNION LOCAL 731,	UNITED STATES TREAS NTS 2.750% 02/1 B	99,406.25	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.125% 08/3 B	99,015.63	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.250% 12/3 B	195,031.25	62.50	5.00	57.50	50.0	28.75
08/20/2018	QGN027302	BROTHERHOOD OF	OCCIDENTAL PETE CORP FXD RT SR NT 3 B	145,237.50	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.375% 05/1 B	96,546.88	50.00	5.00	45.00	50.0	22.50
08/23/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS NTS 2.375% 05/1 B	48,367.19	50.00	5.00	45.00	50.0	22.50
08/24/2018	QGN027302	BROTHERHOOD OF	UNITED STATES TREAS BDS 2.875% 05/1 B	49,265.63	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN027351	LOCAL 1205 PENSION	UNITED STATES TREAS NTS 1.250% 01/3 S	-49,062.50	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN027351	LOCAL 1205 PENSION	UNITED STATES TREAS NTS 1.000% 11/3 S	-49,031.25	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN027351	LOCAL 1205 PENSION	UNITED STATES TREAS NTS 1.625% 07/3 S	-24,804.69	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN027351	LOCAL 1205 PENSION	UNITED STATES TREAS NTS 1.375% 08/3 S	-70,183.59	50.00	5.00	45.00	50.0	22.50
08/16/2018	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 2.125% 08/3 B	74,261.72	50.00	5.00	45.00	50.0	22.50
08/20/2018	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 2.250% 12/3 B	122,070.31	50.00	5.00	45.00	50.0	22.50
08/21/2018	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 2.250% 12/3 B	146,296.88	50.00	5.00	45.00	50.0	22.50
08/22/2018	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 2.375% 05/1 B	96,664.06	62.50	5.00	57.50	50.0	28.75
08/22/2018	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 2.375% 05/1 B	-96,664.06	-62.50	-5.00	-57.50	50.0	-28.75 C
08/22/2018	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 2.375% 05/1 B	96,664.06	50.00	5.00	45.00	50.0	22.50
08/24/2018	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 1.375% 09/3 S	-149,906.25	50.00	5.00	45.00	50.0	22.50
08/24/2018	QGN027898	LOCAL 237	UNITED STATES TREAS NTS 2.750% 02/1 B	99,406.25	50.00	5.00	45.00	50.0	22.50
08/17/2018	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.250% 12/3 B	48,757.81	50.00	5.00	45.00	50.0	22.50
08/20/2018	QGN028144	OF, INTERNATIONAL	OCCIDENTAL PETE CORP FXD RT SR NT 3 B	48,412.50	50.00	5.00	45.00	50.0	22.50
08/20/2018	QGN028144	OF, INTERNATIONAL	UNITED STATES TREAS NTS 2.250% 12/3 B	48,828.13	50.00	5.00	45.00	50.0	22.50
* BONDS SUBTOTAL *				\$19,590,687.04	\$17,887.30	\$1,650.00	\$16,237.30		\$8,118.70
*** BROKER TRANSACTIONS TOTAL ***				\$15,377,654.36	\$21,299.53	\$1,788.00	\$19,511.53		\$9,755.82

COMMISSION STATEMENT for ANDREW LINDNER

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
ADJUSTMENTS									
08/31/2018		OTHER: BLOOMBERG		0.00	0.00	0.00	0.00		-996.21
08/31/2018		OTHER: COMPLIANCE AND TECHNOLOGY		0.00	0.00	0.00	0.00		-20.00
08/31/2018		OTHER: OTHER-360 OFFICE		0.00	0.00	0.00	0.00		-15.00
08/31/2018		OTHER: REAL TICK		0.00	0.00	0.00	0.00		-212.50
08/31/2018		OTHER: EXCHANGE FEES		0.00	0.00	0.00	0.00		-54.33
08/31/2018		OTHER: EZ CASTLE		0.00	0.00	0.00	0.00		-55.56
08/31/2018		OTHER: PIVOT		0.00	0.00	0.00	0.00		-68.05
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-1,421.65

BROKER COMMISSION TOTALS

Broker Transactions	\$9,755.82
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-1,421.65
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$-8,589.44
FINRA Assessment	\$-26.62
SIPC Assessment	\$-53.25

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-335.14
Year-to-date Earnings	\$32,625.23