January 22, 2018

DEVIN BORN 128 Matthew Dr Sinking Springs, PA 19608 BROKER #: A95

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER I	RANSACTIONS					
PRODUCT	CATEGORY: MUTUAL FUNDS							
01/02/201	8 ***-**-482J - SCHWEMMER, JOHN ACCOUNT #: 00700258619	FIDELITY ADV HIGH INCOME ADVANTAGE BUY 17 Units @11.8900	200.00	7.50	0.00	7.50	75.0	5.63
01/02/201	8 ***-**-35IR - SPERRATORE, ROSE ACCOUNT #: 01464625126	FIDELITY ADV MF 040813 BUY 2 Units @21.1600	50.00	1.88	0.00	1.88	75.0	1.41
01/18/201	8 ***-**-487I - BERSTLER, DIANA ACCOUNT #: LSR012374	JOHN HANCOCK BLUE CHIP GROWTH CL A BUY 251 Units @39.9100	10,000.01	285.00	18.00	267.00	75.0	200.25
01/02/201	.8 ***-**-0738 - WESSNER, TODD ACCOUNT #: 00002492630	JOHN HANCOCK DISCIPLINED VALUE A BUY 4 Units @23.5100	95.88	2.73	0.00	2.73	75.0	2.05
01/02/201	.8 ***-**-0738 - WESSNER, TODD ACCOUNT #: 00002492630	JOHN HANCOCK DISCIPLINED VALUE A BUY 14 Units @23.5100	319.60	9.11	0.00	9.11	75.0	6.83
01/02/201	.8 ***-**-0491 - SUTTON, WAYNE ACCOUNT #: 00002409892	LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 2 Units @17.3100	29.78	0.85	0.00	0.85	75.0	0.64
01/02/201	.8 ***-**-0491 - SUTTON, WAYNE ACCOUNT #: 00002409892	LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 2 Units @17.3100	29.78	0.85	0.00	0.85	75.0	0.64
01/02/201	8 ***-**-3295 - KUNKEL, WILLIAM ACCOUNT #: 00002492633	LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 2 Units @17.3100	42.78	1.22	0.00	1.22	75.0	0.92
01/02/201	8 ***-**-3295 - KUNKEL, WILLIAM ACCOUNT #: 00002492633	LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 2 Units @17.3100	42.78	1.22	0.00	1.22	75.0	0.92
01/02/201	.8 ***-**-9183 - DISSINGER, ACCOUNT #: 00003368835	LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 1 Units @17.3100	18.24	0.52	0.00	0.52	75.0	0.39
01/02/201	.8 ***-**-9183 - DISSINGER, ACCOUNT #: 00003368835	LIFESTYLE AGGRESSIVE PORTFOLIO A BUY 1 Units @17.3100	18.24	0.52	0.00	0.52	75.0	0.39
01/02/201	.8 ***-**-3321 - WILLIAMS, EDWARD ACCOUNT #: 00002410404	LIFESTYLE MODERATE PORTFOLIO A BUY 2 Units @14.3800	27.20	0.78	0.00	0.78	75.0	0.59
01/02/201	8 ***-**-3321 - WILLIAMS, EDWARD ACCOUNT #: 00002410404	LIFESTYLE MODERATE PORTFOLIO A BUY 2 Units @14.3800	27.20	0.78	0.00	0.78	75.0	0.59
01/16/201	.8 ***-**-8879 - ILLIANO, MARIO ACCOUNT #: 00084446460	THE GROWTH FUND OF AMERICA-A BUY 4 Units @54.6700	225.00	9.56	0.00	9.56	75.0	7.17
		* MUTUAL FUNDS SUBTOTAL	* \$11,126.49	\$322.52	\$18.00	\$304.52		\$228.42



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BROKER COMMISSION	RATE	NET COMMISSION	CLEARING CHARGE	GROSS COMMISSION	VESTMENT AMOUNT	INVESTMENT	TE CLIENT	DATE
				ıed)	(continu	BROKER TRANSACTIONS		
							JCT CATEGORY: 529 PLAN	PRODUCT (
0.94	75.0	1.25	0.00	1.25	50.00	FA 529 PORT 2019-OLD CL A BUY 2 Units @21.2200	/2018 ***-**-1668 - BONNER, STEPHEN ACCOUNT #: 00700557085	01/02/2018
3.75	75.0	5.00	0.00	5.00	100.00	FA 529 PORT 2022-CL A BUY 3 Units @28.5900	/2018 ***-**-68IN - ESHBACH, ERIC ACCOUNT #: 00701661217	01/02/2018
1.41	75.0	1.88	0.00	1.88	50.00	FA 529 PORT 2022-CL A BUY 2 Units @28.2200	/2018 ***-**-35IR - SPERRATORE, ROSE ACCOUNT #: 00689597003	01/02/2018
1.41	75.0	1.88	0.00	1.88	50.00	FA 529 PORT 2028-CL A BUY 2 Units @29.2500	/2018 ***-**-35IR - SPERRATORE, ROSE ACCOUNT #: 00625390331	01/02/2018
\$7.51		\$10.01	\$0.00	\$10.01	\$250.00	* 529 PLAN SUBTOTAL *		
						RAILS	UCT CATEGORY: MUTUAL FUND 12B1 T	PRODUCT (
0.18	75.0	0.24	0.00	0.24	0.00	AIM GOLD & PRECIOUS METALS FD - A BUY 1 Units @0.0000	/2018 ***-**-05IR - BORN, SHAWN ACCOUNT #: LSM000165	01/16/2018
11.27	75.0	15.02	0.00	15.02	0.00	AIM HIGH YIELD FUND - CLASS A BUY 0 Units @0.0000	/2018 ***-**-0047 - ENTZMINGER, JO ACCOUNT #: 03810913768	01/01/2018
10.13	75.0	13.51	0.00	13.51	0.00	ALLIANCEBERNSTEIN EMERG MKT DEBT A BUY 1 Units @0.0000	/2018 ***-**-9010 - LUBAS, AMY ACCOUNT #: LSR011748	01/22/2018
3.84	75.0	5.12	0.00	5.12	0.00	ALLIANCEBERNSTEIN GROWTH AND INC A BUY 1 Units @0.0000	/2018 ***-**-36IO - CEGANICK, ACCOUNT #: LSM000176	01/22/2018
16.57	75.0	22.09	0.00	22.09	0.00	BOND A BUY 1 Units @0.0000	/2018 ***-**-14IN - WHITE, DEBORAH ACCOUNT #: LSM000141	01/16/2018
11.08	75.0	14.77	0.00	14.77	0.00	BOND A BUY 1 Units @0.0000	/2018 ***-**-087J - BORN, LAUREN ACCOUNT #: LSM000172	01/16/2018
0.72	75.0	0.96	0.00	0.96	0.00	CALVERT BALANCED PORTFOLIO - A BUY 1 Units @0.0000	/2018 ***-**-87IR - BORN, LAUREN ACCOUNT #: LSM000173	01/16/2018
1.66	75.0	2.21	0.00	2.21	0.00	CALVERT SOCIAL INVESTMENT FD EQUITY BUY 1 Units @0.0000	/2018 ***-**-05IR - BORN, SHAWN ACCOUNT #: LSM000165	01/16/2018
3.59	75.0	4.79	0.00	4.79	0.00	DAVIS OPPORTUNITY CLASS A BUY 1 Units @0.0000	/2018 ***-**-05IR - BORN, SHAWN ACCOUNT #: LSM000165	01/16/2018
2.33	75.0	3.11	0.00	3.11	0.00	DREYFUS GLOBAL-NFS BUY 1 Units @0.0000	/2018 ***-**-72IO - BUSHBY, JANET ACCOUNT #: LSR011729	01/22/2018
4.42	75.0	5.89	0.00	5.89	0.00	FA 529 PORT 2019-OLD CL A BUY 0 Units @0.0000	/2018 ***-**-03IN - COLLINS, CHRISTY ACCOUNT #: 00700912066	01/08/2018
	75.0 75.0	4.79 3.11	0.00	4.79 3.11	0.00	CALVERT SOCIAL INVESTMENT FD EQUITY BUY 1 Units @0.0000 DAVIS OPPORTUNITY CLASS A BUY 1 Units @0.0000 DREYFUS GLOBAL-NFS BUY 1 Units @0.0000 FA 529 PORT 2019-OLD CL A	/2018 ***-**-05IR - BORN, SHAWN	01/16/2018



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT	CATEGORY: MUTUAL FUND 12B1 T	BROKER TRANSAC	TIONS (continu	ued)				
	.8 ***-**-1668 - BONNER, STEPHEN ACCOUNT #: 00700557085		0.00	10.49	0.00	10.49	75.0	7.87
01/08/201	.8 ***-**-68IN - ESHBACH, ERIC ACCOUNT #: 00701661217	FA 529 PORT 2022-CL A BUY 0 Units @0.0000	0.00	3.11	0.00	3.11	75.0	2.33
01/08/201	.8 ***-**-35IR - SPERRATORE, ROSE ACCOUNT #: 00689597003	FA 529 PORT 2022-CL A BUY 0 Units @0.0000	0.00	5.07	0.00	5.07	75.0	3.80
01/08/201	.8 ***-**-975P - SCANTZOS, ACCOUNT #: 00700225170	FA 529 PORT 2022-CL A BUY 0 Units @0.0000	0.00	2.29	0.00	2.29	75.0	1.72
01/08/201	.8 ***-**-975P - SCANTZOS, ACCOUNT #: 00701649865	FA 529 PORT 2022-CL A BUY 0 Units @0.0000	0.00	3.75	0.00	3.75	75.0	2.81
01/08/201	8 ***-**-4593 - TOWER, GERTRUDE ACCOUNT #: 01471499630	FA 529 PORT 2022-CL A BUY 0 Units @0.0000	0.00	1.35	0.00	1.35	75.0	1.01
01/08/201	8 ***-**-4593 - TOWER, GERTRUDE ACCOUNT #: 01471499655	FA 529 PORT 2022-CL A BUY 0 Units @0.0000	0.00	1.35	0.00	1.35	75.0	1.01
01/08/201	.8 ***-**-975P - SCANTZOS, ACCOUNT #: 00704894625	FA 529 PORT 2025-CL A BUY 0 Units @0.0000	0.00	2.18	0.00	2.18	75.0	1.64
01/08/201	8 ***-**-35IR - SPERRATORE, ROSE ACCOUNT #: 00625390331	FA 529 PORT 2028-CL A BUY 0 Units @0.0000	0.00	3.93	0.00	3.93	75.0	2.95
01/08/201	8 ***-**-35IR - SPERRATORE, ROSE ACCOUNT #: 01464625126	FA 529 PORT 2028-CL A BUY 0 Units @0.0000	0.00	1.30	0.00	1.30	75.0	0.98
01/08/201	8 ***-**-9290 - BRYNIARSKI, ACCOUNT #: 00701194474	FIDELITY ADV FINANCIAL SERVICES CL BUY 0 Units @0.0000	0.00	0.32	0.00	0.32	75.0	0.24
01/08/201	8 ***-**-5475 - ZIMMERMAN, ACCOUNT #: 00704561026	FIDELITY ADV FINANCIAL SERVICES CL BUY 0 Units @0.0000	0.00	0.81	0.00	0.81	75.0	0.61
01/08/201	.8 ***-**-2330 - BRYNIARSKI, ACCOUNT #: 01427341720	FIDELITY ADV FREEDOM 2035-CL A BUY 0 Units @0.0000	0.00	2.86	0.00	2.86	75.0	2.15
01/08/201	.8 ***-**-9418 - ESHBACH, SUSAN ACCOUNT #: 01422030047	FIDELITY ADV FREEDOM 2050-CL A BUY 0 Units @0.0000	0.00	2.68	0.00	2.68	75.0	2.01
01/08/201	.8 ***-**-9290 - BRYNIARSKI, ACCOUNT #: 00701194474	FIDELITY ADV HEALTH CARE-CL A BUY 0 Units @0.0000	0.00	0.90	0.00	0.90	75.0	0.68
01/08/201	.8 ***-**-9816 - ESHBACH, AUDREY ACCOUNT #: 00537147738	FIDELITY ADV HEALTH CARE-CL A BUY 0 Units @0.0000	0.00	7.13	0.00	7.13	75.0	5.35



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DATE	CLIENT	INVESTMENT		/ESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT	CATEGORY: MUTUAL FUND 12B1 T		TRANSACTIONS	(continu	ued)				
	8 ***-**-0610 - SWEIGART, SUE ACCOUNT #: 00527640569	FIDELITY ADV HIGH INCOME BUY 0 Units @0.0000	ADVANTAGE	0.00	6.92	0.00	6.92	75.0	5.19
01/08/201	.8 ***-**-482J - SCHWEMMER, JOHN ACCOUNT #: 00700258619	FIDELITY ADV HIGH INCOME BUY 0 Units @0.0000	ADVANTAGE	0.00	7.43	0.00	7.43	75.0	5.57
01/08/201	8 ***-**-06IR - YAKAITIS, JAMES ACCOUNT #: 00700935596	FIDELITY ADV HIGH INCOME BUY 0 Units @0.0000	ADVANTAGE	0.00	4.33	0.00	4.33	75.0	3.25
01/08/201	.8 ***-**-72IN - BOYER, SUSAN ACCOUNT #: 00704025758	FIDELITY ADV HIGH INCOME BUY 0 Units @0.0000	ADVANTAGE	0.00	2.04	0.00	2.04	75.0	1.53
01/08/201	.8 ***-**-1271 - BREIDENSTEIN, ACCOUNT #: 01483191639	FIDELITY ADV HIGH INCOME BUY 0 Units @0.0000	ADVANTAGE	0.00	7.85	0.00	7.85	75.0	5.89
01/22/201	.8 ***-**-1710 - KILLIAN, FRANCES ACCOUNT #: LSM000170	FIDELITY ADV HIGH INCOME BUY 1 Units @0.0000	ADVANTAGE	0.00	44.97	0.00	44.97	75.0	33.73
01/22/201	.8 ***-**-087J - BORN, LAUREN ACCOUNT #: LSM000172	FIDELITY ADV HIGH INCOME BUY 1 Units @0.0000	ADVANTAGE	0.00	2.66	0.00	2.66	75.0	2.00
01/22/201	.8 ***-**-915I - FIDLER, JOYCE ACCOUNT #: LSM000191	FIDELITY ADV HIGH INCOME BUY 1 Units @0.0000	ADVANTAGE	0.00	15.46	0.00	15.46	75.0	11.60
01/22/201	.8 ***-**-72IO - BOYER, SUSAN ACCOUNT #: LSR011696	FIDELITY ADV HIGH INCOME BUY 1 Units @0.0000	ADVANTAGE	0.00	7.30	0.00	7.30	75.0	5.48
01/08/201	.8 ***-**-9358 - RAMBO, JOHN ACCOUNT #: 00537052706	FIDELITY ADV HIGH INCOME- BUY 0 Units @0.0000	CL A	0.00	13.38	0.00	13.38	75.0	10.04
01/08/201	.8 ***-**-2999 - GODFREY, MICHAEI ACCOUNT #: 00700702285	FIDELITY ADV HIGH INCOME- BUY 0 Units @0.0000	CL A	0.00	0.17	0.00	0.17	75.0	0.13
01/08/201	.8 ***-**-0618 - GODFREY, REBECCA ACCOUNT #: 00700702293	A FIDELITY ADV HIGH INCOME- BUY 0 Units @0.0000	CL A	0.00	0.16	0.00	0.16	75.0	0.12
01/08/201	.8 ***-**-5475 - ZIMMERMAN, ACCOUNT #: 00704561026	FIDELITY ADV HIGH INCOME- BUY 0 Units @0.0000	CL A	0.00	6.06	0.00	6.06	75.0	4.55
01/22/201	.8 ***-**-57IN - NAUGLE, DENNIS ACCOUNT #: LSM000136	FIDELITY ADV HIGH INCOME- BUY 1 Units @0.0000	CL A	0.00	9.13	0.00	9.13	75.0	6.85
01/22/201	8 ***-**-34IO - KAUFFMAN, GARY ACCOUNT #: LSM000145	FIDELITY ADV HIGH INCOME- BUY 1 Units @0.0000	CL A	0.00	7.25	0.00	7.25	75.0	5.44
01/22/201	8 ***-**-17IO - KILLIAN, FRANCES ACCOUNT #: LSM000170	FIDELITY ADV HIGH INCOME- BUY 1 Units @0.0000	CL A	0.00	1.52	0.00	1.52	75.0	1.14



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT	CATEGORY: MUTUAL FUND 12B1 T	BROKER TRANSACTI	ONS (continu	ued)				
	8 ***-**-915I - FIDLER, JOYCE ACCOUNT #: LSM000191	FIDELITY ADV HIGH INCOME-CL A BUY 1 Units @0.0000	0.00	13.00	0.00	13.00	75.0	9.75
01/22/201	.8 ***-**-274I - EDRIS, DEBRA ACCOUNT #: LSR011699	FIDELITY ADV HIGH INCOME-CL A BUY 1 Units @0.0000	0.00	9.83	0.00	9.83	75.0	7.37
01/22/201	8 ***-**-35IO - CAMERON, NANCY ACCOUNT #: LSR011782	FIDELITY ADV HIGH INCOME-CL A BUY 1 Units @0.0000	0.00	1.06	0.00	1.06	75.0	0.80
01/22/201	.8 ***-**-33IO - GARGIULO, PAUL ACCOUNT #: LSR011694	FIDELITY ADV INTERMEDIATE BOND-CL A BUY 1 Units @0.0000	0.00	1.18	0.00	1.18	75.0	0.89
01/22/201	.8 ***-**-72IO - BOYER, SUSAN ACCOUNT #: LSR011696	FIDELITY ADV INTERMEDIATE BOND-CL A BUY 1 Units @0.0000	0.00	4.69	0.00	4.69	75.0	3.52
01/22/201	8 ***-**-33IN - SCHWEMMER, JOHN ACCOUNT #: LSR012199	FIDELITY ADV INVESTMENT GRADE BOND-BUY 1 Units @0.0000	0.00	8.12	0.00	8.12	75.0	6.09
01/08/201	.8 ***-**-5475 - ZIMMERMAN, ACCOUNT #: 00704561026	FIDELITY ADV LARGE CAP VALUE-CL A BUY 0 Units @0.0000	0.00	0.74	0.00	0.74	75.0	0.56
01/08/201	8 ***-**-5527 - BONNER, BETTINA ACCOUNT #: 01487530055	FIDELITY ADV LARGE CAP VALUE-CL A BUY 0 Units @0.0000	0.00	1.57	0.00	1.57	75.0	1.18
01/22/201	.8 ***-**-1710 - KILLIAN, FRANCES ACCOUNT #: LSM000170	FIDELITY ADV LEVERAGED CO STOCK CL BUY 1 Units @0.0000	0.00	6.56	0.00	6.56	75.0	4.92
01/08/201	.8 ***-**-35IR - SPERRATORE, ROSE ACCOUNT #: 01464625126	FIDELITY ADV MF 040813 BUY 0 Units @0.0000	0.00	1.84	0.00	1.84	75.0	1.38
01/08/201	.8 ***-**-4593 - TOWER, GERTRUDE ACCOUNT #: 01471499648	FIDELITY ADV MF 040813 BUY 0 Units @0.0000	0.00	0.75	0.00	0.75	75.0	0.56
01/08/201	.8 ***-**-9290 - BRYNIARSKI, ACCOUNT #: 00701194474	FIDELITY ADV MID CAP II-CL A BUY 0 Units @0.0000	0.00	6.00	0.00	6.00	75.0	4.50
01/08/201	.8 ***-**-5475 - ZIMMERMAN, ACCOUNT #: 00704561026	FIDELITY ADV MID CAP II-CL A BUY 0 Units @0.0000	0.00	0.75	0.00	0.75	75.0	0.56
01/22/201	.8 ***-**-38IO - SCHWEMMER, ACCOUNT #: LSR011612	FIDELITY ADV NEW INSIGHTS-CL A BUY 1 Units @0.0000	0.00	7.07	0.00	7.07	75.0	5.30
01/22/201	8 ***-**-38IO - SCHWEMMER, MARY ACCOUNT #: LSR011798	FIDELITY ADV NEW INSIGHTS-CL A BUY 1 Units @0.0000	0.00	5.97	0.00	5.97	75.0	4.48
01/08/201	8 ***-**-4794 - BARRETT, JAMES ACCOUNT #: 00700238868	FIDELITY ADV TOTAL BOND-CL A BUY 0 Units @0.0000	0.00	8.88	0.00	8.88	75.0	6.66



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PRODUCT	CATEGORY: MUTUAL FUND 12B1	BROKER TRANSACTI	ONS (continu	ued)				
	L8 ***-**-4794 - BARRETT, JAMES ACCOUNT #: 00704610013	FIDELITY ADV TOTAL BOND-CL A BUY 0 Units @0.0000	0.00	0.15	0.00	0.15	75.0	0.11
01/22/201	18 ***-**-1710 - KILLIAN, FRANCE ACCOUNT #: LSM000170	S FIDELITY ADV VALUE LEADERS-CL A BUY 1 Units @0.0000	0.00	7.19	0.00	7.19	75.0	5.39
01/22/201	18 ***-**-871R - BORN, LAUREN ACCOUNT #: LSM000173	FIDELITY ADV WORLDWIDE-CL A BUY 1 Units @0.0000	0.00	1.42	0.00	1.42	75.0	1.07
01/08/201	L8 ***-**-5475 - ZIMMERMAN, ACCOUNT #: 00704561026	FIDELITY ADVISOR EMERGING MARKETS F BUY 0 Units @0.0000	0.00	0.55	0.00	0.55	75.0	0.41
01/22/201	L8 ***-**-5610 - TOWER, PAUL ACCOUNT #: LSM000144	FIDELITY ADVISOR GOVERNMENT INCOME BUY 1 Units @0.0000	0.00	3.44	0.00	3.44	75.0	2.58
01/22/201	18 ***-**-4593 - TOWER, GERTRUDE ACCOUNT #: LSM000148	FIDELITY ADVISOR GOVERNMENT INCOME BUY 1 Units @0.0000	0.00	7.38	0.00	7.38	75.0	5.54
01/22/201	18 ***-**-596I - EDRIS, TERRY ACCOUNT #: LSR011700	FIDELITY ADVISOR HIGH INCOME BUY 1 Units @0.0000	0.00	13.72	0.00	13.72	75.0	10.29
01/22/201	8 ***-**-38IO - SCHWEMMER, MARY ACCOUNT #: LSR011798	FIDELITY ADVISOR LATIN AMERICA CL A BUY 1 Units @0.0000	0.00	1.70	0.00	1.70	75.0	1.28
01/08/201	18 ***-**-1668 - BONNER, STEPHEN ACCOUNT #: 00700557093	FIDELITY ADVISOR MID CAP STOCK CL A BUY 0 Units @0.0000	0.00	6.91	0.00	6.91	75.0	5.18
01/08/201	8 ***-**-2330 - BRYNIARSKI, ACCOUNT #: 00701194482	FIDELITY ADVISOR SMALL-CAP GROWTH F BUY 0 Units @0.0000	0.00	1.73	0.00	1.73	75.0	1.30
01/08/201	8 ***-**-9816 - ESHBACH, AUDREY ACCOUNT #: 00537147738	FIDELITY ADVISORS TRAILS BUY 0 Units @0.0000	0.00	5.07	0.00	5.07	75.0	3.80
01/08/201	8 ***-**-0349 - BREIDENSTEIN, ACCOUNT #: 03900189228	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 0 Units @0.0000	0.00	1.55	0.00	1.55	75.0	1.16
01/08/201	8 ***-**-1271 - BREIDENSTEIN, ACCOUNT #: 01483191639	FIDELITY GOVT MMKT DAILY MONEY CLAS BUY 0 Units @0.0000	0.00	3.43	0.00	3.43	75.0	2.57
01/16/201	8 ***-**-087J - BORN, LAUREN ACCOUNT #: LSM000172	FINANCIAL INDUSTRIES A BUY 1 Units @0.0000	0.00	1.64	0.00	1.64	75.0	1.23
01/16/201	8 ***-**-087J - BORN, LAUREN ACCOUNT #: LSM000172	GREATER CHINA OPP A BUY 1 Units @0.0000	0.00	2.04	0.00	2.04	75.0	1.53
01/02/201	L8 ***-**-529J - KILLIAN, FRANCE ACCOUNT #: 00000772646	S HARTFORD SM/MID CAP EQ & PORT MANAG BUY 0 Units @0.0000	0.00	2.38	0.00	2.38	75.0	1.79



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DATE	CLIENT	INVESTMENT		/ESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
פאסטווכיד נ	CATEGORY: MUTUAL FUND 12B1 T		TRANSACTIONS	(continu	ed)				
	***-**-57IN - NAUGLE, DENNIS	HIGH YIELD A		0.00	11.07	0.00	11.07	75.0	8.30
01/16/2018	ACCOUNT #: LSM000136 ***-**-0141 - WHITE, DEBORAH ACCOUNT #: LSM000140	BUY 1 Units @0.0000 HIGH YIELD A BUY 1 Units @0.0000		0.00	20.83	0.00	20.83	75.0	15.62
01/16/2018	***-**-068I - SHOLLENBERGER, ACCOUNT #: LSM000143	HIGH YIELD A BUY 1 Units @0.0000		0.00	16.64	0.00	16.64	75.0	12.48
01/16/2018	***-**-28IO - KAUFFMAN, ACCOUNT #: LSM000146	HIGH YIELD A BUY 1 Units @0.0000		0.00	21.03	0.00	21.03	75.0	15.77
01/16/2018	***-**-86IN - PATTON, JULIE ACCOUNT #: LSM000147	HIGH YIELD A BUY 1 Units @0.0000		0.00	15.41	0.00	15.41	75.0	11.56
01/16/2018	***-**-4593 - TOWER, GERTRUDE ACCOUNT #: LSM000148	HIGH YIELD A BUY 1 Units @0.0000		0.00	4.57	0.00	4.57	75.0	3.43
01/16/2018	***-**-393I - HIMMELBERGER, ACCOUNT #: LSM000154	HIGH YIELD A BUY 1 Units @0.0000		0.00	19.36	0.00	19.36	75.0	14.52
01/16/2018	***-**-715I - HIMMELBERGER, ACCOUNT #: LSM000155	HIGH YIELD A BUY 1 Units @0.0000		0.00	12.20	0.00	12.20	75.0	9.15
01/16/2018	***-**-5510 - HARTMAN, RICHARD ACCOUNT #: LSM000169	HIGH YIELD A BUY 1 Units @0.0000		0.00	12.44	0.00	12.44	75.0	9.33
01/16/2018	***-**-529J - KILLIAN, FRANCES ACCOUNT #: LSM000171	HIGH YIELD A BUY 1 Units @0.0000		0.00	7.34	0.00	7.34	75.0	5.51
01/16/2018	***-**-087J - BORN, LAUREN ACCOUNT #: LSM000172	HIGH YIELD A BUY 1 Units @0.0000		0.00	17.75	0.00	17.75	75.0	13.31
01/16/2018	***-**-2510 - SMITH, CAROL ACCOUNT #: LSM000178	HIGH YIELD A BUY 1 Units @0.0000		0.00	8.78	0.00	8.78	75.0	6.59
01/16/2018	***-**-915I - FIDLER, JOYCE ACCOUNT #: LSM000191	HIGH YIELD A BUY 1 Units @0.0000		0.00	8.45	0.00	8.45	75.0	6.34
01/16/2018	***-**-596I - EDRIS, TERRY ACCOUNT #: LSR011700	HIGH YIELD A BUY 1 Units @0.0000		0.00	15.90	0.00	15.90	75.0	11.93
01/16/2018	***-**-2251 - GANGEWERE, MARK ACCOUNT #: LSR011891			0.00	0.69	0.00	0.69	75.0	0.52
01/16/2018	***-**-5610 - TOWER, PAUL ACCOUNT #: LSM000144	HIGH YIELD B BUY 1 Units @0.0000		0.00	1.42	0.00	1.42	75.0	1.07



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT (CATEGORY: MUTUAL FUND 12B1 T	BROKER TRANSACTION	ONS (contin	ued)				
	8 ***-**-4593 - TOWER, GERTRUDE ACCOUNT #: LSM000148	`	0.00	3.21	0.00	3.21	75.0	2.41
01/01/2018	8 ***-**-0047 - ENTZMINGER, JO ACCOUNT #: 03810913768	INVESCO AMERICAN FRANCHISE FUND -CL BUY 0 Units @0.0000	0.00	21.54	0.00	21.54	75.0	16.16
01/01/2018	8 ***-**-0047 - ENTZMINGER, JO ACCOUNT #: 03810913768	INVESCO CORE PLUS BOND FUND - CLASS BUY 0 Units @0.0000	0.00	14.23	0.00	14.23	75.0	10.67
01/16/2018	8 ***-**-3610 - CEGANICK, ACCOUNT #: LSM000176	INVESCO VAN KAMPEN VALUE OPP CLASS BUY 1 Units @0.0000	0.00	3.85	0.00	3.85	75.0	2.89
01/16/2018	8 ***-**-05IR - BORN, SHAWN ACCOUNT #: LSM000165	IVY HIGH INCOME CL A BUY 1 Units @0.0000	0.00	8.80	0.00	8.80	75.0	6.60
01/16/2018	8 ***-**-5610 - TOWER, PAUL ACCOUNT #: LSM000144	IVY HIGH INCOME CL B BUY 1 Units @0.0000	0.00	30.57	0.00	30.57	75.0	22.93
01/16/2018	8 ***-**-05IR - BORN, SHAWN ACCOUNT #: LSM000165	IVY HIGH INCOME CL B BUY 1 Units @0.0000	0.00	0.96	0.00	0.96	75.0	0.72
01/16/2018	8 ***-**-514J - GEHRINGER, ACCOUNT #: LSR011750	JOHN HANCOCK ALTER ASSET ALLOC FUND BUY 1 Units @0.0000	0.00	2.08	0.00	2.08	75.0	1.56
01/16/2018	8 ***-**-3810 - SCHWEMMER, ACCOUNT #: LSR011612	JOHN HANCOCK BOND FUND CLASS B BUY 1 Units @0.0000	0.00	6.68	0.00	6.68	75.0	5.01
01/16/2018	8 ***-**-3310 - GARGIULO, PAUL ACCOUNT #: LSR011694	JOHN HANCOCK BOND FUND CLASS B BUY 1 Units @0.0000	0.00	10.76	0.00	10.76	75.0	8.07
01/16/2018	8 ***-**-3510 - CAMERON, NANCY ACCOUNT #: LSR011782	JOHN HANCOCK BOND FUND CLASS B BUY 1 Units @0.0000	0.00	7.13	0.00	7.13	75.0	5.35
01/16/2018	8 ***-**-710J - MOYER, EVELYN ACCOUNT #: LSR011892	JOHN HANCOCK BOND FUND CLASS B BUY 1 Units @0.0000	0.00	10.34	0.00	10.34	75.0	7.76
01/16/2018	8 ***-**-4010 - BERSTLER, DAVID ACCOUNT #: LSR011823	JOHN HANCOCK CLASSIC VALUE FUND CLA BUY 1 Units @0.0000	0.00	0.95	0.00	0.95	75.0	0.71
01/16/2018	8 ***-**-0110 - RATH, ROBERT ACCOUNT #: LSM000189	JOHN HANCOCK LIFESTYLE AGGR PORTFOL BUY 1 Units @0.0000	0.00	10.94	0.00	10.94	75.0	8.21
01/16/2018	8 ***-**-10IN - YAKAITIS, JOSEPH ACCOUNT #: LSM000175	H JOHN HANCOCK NEW OPPORTUNITIES CL A BUY 1 Units @0.0000	0.00	3.34	0.00	3.34	75.0	2.51
01/16/2018	8 ***-**-33IN - SCHWEMMER, JOHN ACCOUNT #: LSR012199	JOHN HANCOCK NEW OPPORTUNITIES CL A BUY 1 Units @0.0000	0.00	2.21	0.00	2.21	75.0	1.66



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
PRODUCT	CATEGORY: MUTUAL FUND 12B1 T	BROKER TRANSACTI	ONS (continu	ued)				
	.8 ***-**-33IN - SCHWEMMER, JOHN ACCOUNT #: LSR012199		0.00	5.24	0.00	5.24	75.0	3.93
01/16/201	.8 ***-**-33IN - SCHWEMMER, JOHN ACCOUNT #: LSR012199	LARGE CAP EQUITY B BUY 1 Units @0.0000	0.00	0.14	0.00	0.14	75.0	0.11
01/16/201	.8 ***-**-514J - GEHRINGER, ACCOUNT #: LSR011750	LIFESTYLE GROWTH PORTFOLIO A BUY 1 Units @0.0000	0.00	9.56	0.00	9.56	75.0	7.17
01/01/201	8 ***-**-817J - ESHBACH, DANIEL ACCOUNT #: 00060612942	MFS HIGH-YIELD OPPORTUNITIES FUND C BUY 0 Units @0.0000	0.00	32.10	0.00	32.10	75.0	24.08
01/22/201	8 ***-**-87IR - BORN, LAUREN ACCOUNT #: LSM000173	PRINCIPAL INVESTOR HIGH YIELD II CL BUY 1 Units @0.0000	0.00	3.67	0.00	3.67	75.0	2.75
01/16/201	.8 ***-**-243I - KOHLER, ALAN ACCOUNT #: LSR011747	PRUDENTIAL HIGH YIELD CLASS A BUY 1 Units @0.0000	0.00	2.26	0.00	2.26	75.0	1.70
01/16/201	.8 ***-**-56IO - TOWER, PAUL ACCOUNT #: LSM000144	PRUDENTIAL HIGH YIELD CLASS B BUY 1 Units @0.0000	0.00	11.24	0.00	11.24	75.0	8.43
01/16/201	.8 ***-**-243I - KOHLER, ALAN ACCOUNT #: LSR011747	PRUDENTIAL HIGH YIELD CLASS B BUY 1 Units @0.0000	0.00	3.98	0.00	3.98	75.0	2.99
01/16/201	.8 ***-**-243I - KOHLER, ALAN ACCOUNT #: LSR011747	PRUDENTIAL JENNISON MID CAP GROWTH BUY 1 Units @0.0000	0.00	2.13	0.00	2.13	75.0	1.60
01/16/201	8 ***-**-243I - KOHLER, ALAN ACCOUNT #: LSR011747	PRUDENTIAL JENNISON MID CAP GROWTH BUY 1 Units @0.0000	0.00	1.88	0.00	1.88	75.0	1.41
01/22/201	8 ***-**-2272 - HICKMAN, JAMES ACCOUNT #: 7162303	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	26.34	0.00	26.34	75.0	19.76
01/22/201	8 ***-**-10IN - YAKAITIS, JOSEPH ACCOUNT #: 7409643	PRUDENTIAL MF TRAILS BUY 0 Units @0.0000	0.00	31.88	0.00	31.88	75.0	23.91
01/16/201	8 ***-**-243I - KOHLER, ALAN ACCOUNT #: LSR011747	PRUDENTIAL TOTAL RETURN BOND CLASS BUY 1 Units @0.0000	0.00	2.00	0.00	2.00	75.0	1.50
01/16/201	8 ***-**-243I - KOHLER, ALAN ACCOUNT #: LSR011747	PRUDENTIAL TOTAL RETURN BOND CLASS BUY 1 Units @0.0000	0.00	1.21	0.00	1.21	75.0	0.91
01/22/201	8 ***-**-652J - BUSHBY, WILLIAM ACCOUNT #: LSR011728	THE BOND FUND OF AMERICA-F1 BUY 1 Units @0.0000	0.00	1.66	0.00	1.66	75.0	1.25
01/02/201	.8 ***-**-529J - KILLIAN, FRANCES ACCOUNT #: 00000772646	THE HARTFORD CAPITAL APPRECIATION F BUY 0 Units @0.0000	0.00	2.75	0.00	2.75	75.0	2.06

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		BROKER TRANSACTI	ONS (continu	ued)				
PRODUCT	CATEGORY: MUTUAL FUND 12B1	TRAILS (continued)						
01/22/201	8 ***-**-1710 - KILLIAN, FRANCE; ACCOUNT #: LSM000170	S THE HARTFORD CAPITAL APPRECIATION F BUY 1 Units @0.0000	0.00	32.99	0.00	32.99	75.0	24.74
01/22/201	8 ***-**-57IN - NAUGLE, DENNIS ACCOUNT #: LSM000136	THE HARTFORD HIGH YIELD FUND-A BUY 1 Units @0.0000	0.00	6.79	0.00	6.79	75.0	5.09
01/22/201	8 ***-**-33IN - SCHWEMMER, JOHN ACCOUNT #: LSR012199	THE HARTFORD HIGH YIELD FUND-A BUY 1 Units @0.0000	0.00	8.56	0.00	8.56	75.0	6.42
01/22/201	8 ***-**-0110 - RATH, ROBERT ACCOUNT #: LSM000189	THE HARTFORD MID CAP FUND-A BUY 1 Units @0.0000	0.00	16.22	0.00	16.22	75.0	12.17
01/22/201	8 ***-**-652J - BUSHBY, WILLIAM ACCOUNT #: LSR011728	THE INCOME FUND OF AMERICA-F1 BUY 1 Units @0.0000	0.00	2.70	0.00	2.70	75.0	2.03
01/22/201	8 ***-**-4010 - BERSTLER, DAVID ACCOUNT #: LSR011823	THE INCOME FUND OF AMERICA-F1 BUY 1 Units @0.0000	0.00	4.13	0.00	4.13	75.0	3.10
01/22/201	8 ***-**-72IO - BUSHBY, JANET ACCOUNT #: LSR011729	TOUCHSTONE ACTIVE BOND A-NFS BUY 1 Units @0.0000	0.00	9.99	0.00	9.99	75.0	7.49
01/16/201	8 ***-**-4010 - BERSTLER, DAVID ACCOUNT #: LSR011823	US GLOBAL LEADERS GROWTH C BUY 1 Units @0.0000	0.00	17.91	0.00	17.91	75.0	13.43
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$980.97	\$0.00	\$980.97		\$735.89
		*** BROKER TRANSACTIONS TOTAL ***	\$11,376.49	\$1,313.50	\$18.00	\$1,295.50		\$971.82
		ADJUST	MENTS					
01/22/201	8	MISCELLANEOUS: MISC - OVERDUE EIA C	0.00	0.00	0.00	0.00		-564.39
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-564.39



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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
						BROKER COMM	SSION	TOTALS
					Broke	er Transactions		\$971.82
					Spli	t Transactions		\$0.00
					Overrio	de Transactions		\$0.00
						Adjustments		\$-564.39
						Payroll Draw		\$0.00
						Base Salary		\$0.00
					Prior	Period Balance		\$0.00
					F	INRA Assessment		\$0.00
					2	SIPC Assessment		\$0.00
					Net Cor	mmission Earned	L	\$407.43
					Year-to	o-date Earnings		\$3,403.97