



Concorde Investment Services, LLC

06/29/2017

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COMMISSION STATEMENT

June 30, 2017

JEFFREY KAUFMAN
801 Gray Oak Drive

BROKER #: K119
BRANCH: JEFFREY KAUFMAN

Columbia, MO 65201

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/13/2017	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	1,230.76	12.31	0.00	12.31	90.0	11.08
06/13/2017	WRAY, JOANN	AM FUNDS 2030 TARGET DATE-A	516.81	5.17	0.00	5.17	90.0	4.65
06/13/2017	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	91.07	0.91	0.00	0.91	90.0	0.82
06/13/2017	ZELLMER, AMY	AM FUNDS 2040 TARGET DATE-A	91.07	0.91	0.00	0.91	90.0	0.82
06/13/2017	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	40.79	0.41	0.00	0.41	90.0	0.37
06/13/2017	NOWLIN, STACEY	AM FUNDS 2040 TARGET DATE-A	40.79	0.41	0.00	0.41	90.0	0.37
06/13/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	48.74	0.49	0.00	0.49	90.0	0.44
06/13/2017	MCKENZIE, JADA	AM FUNDS 2040 TARGET DATE-A	36.55	0.37	0.00	0.37	90.0	0.33
06/13/2017	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	54.31	0.54	0.00	0.54	90.0	0.49
06/13/2017	HILL, KALIA	AM FUNDS 2045 TARGET DATE-A	54.31	0.54	0.00	0.54	90.0	0.49
06/13/2017	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	27.67	0.28	0.00	0.28	90.0	0.25
06/13/2017	CRANE, MISTY	AM FUNDS 2045 TARGET DATE-A	27.67	0.28	0.00	0.28	90.0	0.25
06/13/2017	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
06/13/2017	PATTY, MINDI	AM FUNDS 2045 TARGET DATE-A	16.50	0.17	0.00	0.17	90.0	0.15
06/13/2017	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	44.04	0.44	0.00	0.44	90.0	0.40
06/13/2017	WESTBROOK, AMANDA	AM FUNDS 2055 TARGET DATE-A	44.04	0.44	0.00	0.44	90.0	0.40
06/05/2017	MEDLEY, LISA	AM FUNDS AMERICAN BALANCED A	62.10	0.62	0.00	0.62	90.0	0.56
06/05/2017	MEDLEY, LISA	AM FUNDS AMERICAN BALANCED A	62.10	0.62	0.00	0.62	90.0	0.56
06/13/2017	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	314.60	3.15	0.00	3.15	90.0	2.84
06/13/2017	WHEAT, KATHLEEN	AM FUNDS AMERICAN BALANCED A	134.83	1.35	0.00	1.35	90.0	1.22
06/13/2017	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	66.75	0.67	0.00	0.67	90.0	0.60
06/13/2017	WIBBENMEYER, BRITTANY	AM FUNDS AMERICAN BALANCED A	66.75	0.67	0.00	0.67	90.0	0.60
06/15/2017	GRIFFIN, SHAWN	AM FUNDS AMERICAN BALANCED A	50.00	1.88	0.00	1.88	90.0	1.69
06/16/2017	DAVIS, SALLY	AM FUNDS AMERICAN BALANCED A	75.24	1.20	0.00	1.20	90.0	1.08
06/22/2017	MATTMANN, ROBERT	AM FUNDS AMERICAN BALANCED A	60,000.00	2,250.00	0.00	2,250.00	90.0	2,025.00
06/13/2017	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	64.00	0.64	0.00	0.64	90.0	0.58

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/13/2017	CLASSENS, KRYSTA	AM FUNDS AMERICAN MUTUAL A	24.00	0.24	0.00	0.24	90.0	0.22
06/13/2017	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	157.02	1.57	0.00	1.57	90.0	1.41
06/13/2017	MANGUS, DANIEL	AM FUNDS BALANCED PORT A	157.02	1.57	0.00	1.57	90.0	1.41
06/13/2017	KEATON, LINDSY	AM FUNDS BALANCED PORT A	34.61	0.35	0.00	0.35	90.0	0.32
06/13/2017	KEATON, LINDSY	AM FUNDS BALANCED PORT A	34.61	0.35	0.00	0.35	90.0	0.32
06/13/2017	GODAR, LOUISE	AM FUNDS BALANCED PORT A	37.83	0.38	0.00	0.38	90.0	0.34
06/13/2017	GODAR, LOUISE	AM FUNDS BALANCED PORT A	37.83	0.38	0.00	0.38	90.0	0.34
06/13/2017	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	20.56	0.21	0.00	0.21	90.0	0.19
06/13/2017	GRIFFIN, AMANDA	AM FUNDS BOND FUND OF AMERICA A	20.56	0.21	0.00	0.21	90.0	0.19
06/05/2017	STALEY, JOSELYN	AM FUNDS EUROPACIFIC GROWTH FUND A	200.00	10.00	0.00	10.00	90.0	9.00
06/12/2017	CROWLEY, MICHA	AM FUNDS EUROPACIFIC GROWTH FUND A	184.62	3.69	0.00	3.69	90.0	3.32
06/13/2017	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	48.00	0.48	0.00	0.48	90.0	0.43
06/13/2017	CLASSENS, KRYSTA	AM FUNDS EUROPACIFIC GROWTH FUND A	18.00	0.18	0.00	0.18	90.0	0.16
06/22/2017	MATTMANN, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND A	15,000.00	562.50	0.00	562.50	90.0	506.25
06/13/2017	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	947.16	9.47	0.00	9.47	90.0	8.52
06/13/2017	MCNERNEY, DAN	AM FUNDS GROWTH AND INCOME A	321.00	3.21	0.00	3.21	90.0	2.89
06/13/2017	BROCKSMITH, RACHEL	AM FUNDS GROWTH AND INCOME A	54.56	0.55	0.00	0.55	90.0	0.50
06/13/2017	BROCKSMITH, RACHEL	AM FUNDS GROWTH AND INCOME A	54.56	0.55	0.00	0.55	90.0	0.50
06/13/2017	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	53.58	0.54	0.00	0.54	90.0	0.49
06/13/2017	AHERN, OLIVIA	AM FUNDS GROWTH AND INCOME A	53.58	0.54	0.00	0.54	90.0	0.49
03/06/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	369.22	7.38	0.00	7.38	90.0	6.64
03/06/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	-184.62	-3.69	0.00	-3.69	90.0	-3.32
03/20/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	369.22	7.38	0.00	7.38	90.0	6.64
03/20/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	-184.62	-3.69	0.00	-3.69	90.0	-3.32
03/31/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	369.22	7.38	0.00	7.38	90.0	6.64
03/31/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	-184.62	-3.69	0.00	-3.69	90.0	-3.32
04/17/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	369.22	7.38	0.00	7.38	90.0	6.64
04/17/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	-184.62	-3.69	0.00	-3.69	90.0	-3.32
05/04/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	369.22	7.38	0.00	7.38	90.0	6.64
05/04/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	-184.62	-3.69	0.00	-3.69	90.0	-3.32
05/12/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	369.22	7.38	0.00	7.38	90.0	6.64
05/12/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	-184.62	-3.69	0.00	-3.69	90.0	-3.32
05/30/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	369.22	7.38	0.00	7.38	90.0	6.64

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
05/30/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	-184.62	-3.69	0.00	-3.69	90.0	-3.32
06/05/2017	EULTGEN, VICKI	AM FUNDS GROWTH FUND OF AMERICA A	39.23	0.39	0.00	0.39	90.0	0.35
06/05/2017	EULTGEN, VICKI	AM FUNDS GROWTH FUND OF AMERICA A	75.00	0.75	0.00	0.75	90.0	0.68
06/05/2017	TURNER, JOSH	AM FUNDS GROWTH FUND OF AMERICA A	30.36	0.30	0.00	0.30	90.0	0.27
06/05/2017	TURNER, JOSH	AM FUNDS GROWTH FUND OF AMERICA A	30.36	0.30	0.00	0.30	90.0	0.27
06/05/2017	MURPHY, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	260.25	2.60	0.00	2.60	90.0	2.34
06/05/2017	MURPHY, MELISSA	AM FUNDS GROWTH FUND OF AMERICA A	41.25	0.41	0.00	0.41	90.0	0.37
06/05/2017	MURPHY, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	520.50	5.21	0.00	5.21	90.0	4.69
06/05/2017	MURPHY, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	82.50	0.83	0.00	0.83	90.0	0.75
06/05/2017	VICTOR, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	27.63	0.28	0.00	0.28	90.0	0.25
06/05/2017	VICTOR, THOMAS	AM FUNDS GROWTH FUND OF AMERICA A	27.63	0.28	0.00	0.28	90.0	0.25
06/05/2017	TURNER, COREY	AM FUNDS GROWTH FUND OF AMERICA A	260.25	2.60	0.00	2.60	90.0	2.34
06/05/2017	TURNER, COREY	AM FUNDS GROWTH FUND OF AMERICA A	41.25	0.41	0.00	0.41	90.0	0.37
06/05/2017	RYAN, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	67.50	0.68	0.00	0.68	90.0	0.61
06/05/2017	RYAN, MATTHEW	AM FUNDS GROWTH FUND OF AMERICA A	67.50	0.68	0.00	0.68	90.0	0.61
06/05/2017	UNZICKER, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	92.55	0.93	0.00	0.93	90.0	0.84
06/05/2017	UNZICKER, WILLIAM	AM FUNDS GROWTH FUND OF AMERICA A	92.55	0.93	0.00	0.93	90.0	0.84
06/05/2017	LEHMAN, COLBY	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	4.50
06/05/2017	MCNERNEY, DYLAN	AM FUNDS GROWTH FUND OF AMERICA A	150.00	7.50	0.00	7.50	90.0	6.75
06/12/2017	GUIER, CLINT	AM FUNDS GROWTH FUND OF AMERICA A	450.00	9.00	0.00	9.00	90.0	8.10
06/12/2017	GUIER, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	450.00	9.00	0.00	9.00	90.0	8.10
06/12/2017	CROWLEY, MICHA	AM FUNDS GROWTH FUND OF AMERICA A	369.23	7.38	0.00	7.38	90.0	6.64
06/13/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	41.13	0.41	0.00	0.41	90.0	0.37
06/13/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	41.13	0.41	0.00	0.41	90.0	0.37
06/13/2017	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	44.75	0.45	0.00	0.45	90.0	0.41
06/13/2017	STALEY, JOSELYN	AM FUNDS GROWTH FUND OF AMERICA A	14.91	0.15	0.00	0.15	90.0	0.14
06/13/2017	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	48.00	0.48	0.00	0.48	90.0	0.43
06/13/2017	CLASSENS, KRYSTA	AM FUNDS GROWTH FUND OF AMERICA A	18.00	0.18	0.00	0.18	90.0	0.16
06/13/2017	COOK, GREG	AM FUNDS GROWTH FUND OF AMERICA A	12.16	0.12	0.00	0.12	90.0	0.11
06/13/2017	COOK, GREG	AM FUNDS GROWTH FUND OF AMERICA A	12.16	0.12	0.00	0.12	90.0	0.11
06/13/2017	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	30.16	0.30	0.00	0.30	90.0	0.27
06/13/2017	GATTORNA, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA A	30.16	0.30	0.00	0.30	90.0	0.27
06/13/2017	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	71.99	0.72	0.00	0.72	90.0	0.65

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/13/2017	MULLENIX, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	71.99	0.72	0.00	0.72	90.0	0.65
06/13/2017	DAVIDSON, DANIELLE	AM FUNDS GROWTH FUND OF AMERICA A	19.02	0.19	0.00	0.19	90.0	0.17
06/13/2017	DAVIDSON, DANIELLE	AM FUNDS GROWTH FUND OF AMERICA A	19.02	0.19	0.00	0.19	90.0	0.17
06/13/2017	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	52.16	0.52	0.00	0.52	90.0	0.47
06/13/2017	KISER, ANDREA	AM FUNDS GROWTH FUND OF AMERICA A	52.16	0.52	0.00	0.52	90.0	0.47
06/15/2017	GRIFFIN, AMANDA	AM FUNDS GROWTH FUND OF AMERICA A	100.00	3.75	0.00	3.75	90.0	3.38
06/15/2017	GRIFFIN, SHAWN	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.88	0.00	1.88	90.0	1.69
06/05/2017	EULTGEN, VICKI	AM FUNDS ICA A	75.00	0.75	0.00	0.75	90.0	0.68
06/05/2017	EULTGEN, VICKI	AM FUNDS ICA A	39.23	0.39	0.00	0.39	90.0	0.35
06/05/2017	MURPHY, MELISSA	AM FUNDS ICA A	208.20	2.08	0.00	2.08	90.0	1.87
06/05/2017	MURPHY, MELISSA	AM FUNDS ICA A	33.00	0.33	0.00	0.33	90.0	0.30
06/05/2017	RIDGWAY, BENJAMIN	AM FUNDS ICA A	50.00	0.50	0.00	0.50	90.0	0.45
06/05/2017	RIDGWAY, BENJAMIN	AM FUNDS ICA A	50.00	0.50	0.00	0.50	90.0	0.45
06/05/2017	SUTTON, CHRISTOPHER	AM FUNDS ICA A	82.21	0.82	0.00	0.82	90.0	0.74
06/05/2017	SUTTON, CHRISTOPHER	AM FUNDS ICA A	112.00	1.12	0.00	1.12	90.0	1.01
06/05/2017	ELMORE, STUART	AM FUNDS ICA A	92.35	0.92	0.00	0.92	90.0	0.83
06/05/2017	ELMORE, STUART	AM FUNDS ICA A	92.35	0.92	0.00	0.92	90.0	0.83
06/05/2017	MEDLEY, LISA	AM FUNDS ICA A	62.10	0.62	0.00	0.62	90.0	0.56
06/05/2017	MEDLEY, LISA	AM FUNDS ICA A	62.10	0.62	0.00	0.62	90.0	0.56
06/13/2017	DILLON, EMMA	AM FUNDS ICA A	24.75	0.25	0.00	0.25	90.0	0.23
06/13/2017	DILLON, EMMA	AM FUNDS ICA A	18.56	0.19	0.00	0.19	90.0	0.17
06/13/2017	OPPLAND, ZACHARY	AM FUNDS ICA A	113.40	1.13	0.00	1.13	90.0	1.02
06/13/2017	OPPLAND, ZACHARY	AM FUNDS ICA A	37.80	0.38	0.00	0.38	90.0	0.34
06/13/2017	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	37.20	0.37	0.00	0.37	90.0	0.33
06/13/2017	REEDY, REBECCA	AM FUNDS INCOME PORTFOLIO A	37.20	0.37	0.00	0.37	90.0	0.33
06/05/2017	MURPHY, MELISSA	AM FUNDS INTL GROWTH AND INCOME A	208.20	2.08	0.00	2.08	90.0	1.87
06/05/2017	MURPHY, MELISSA	AM FUNDS INTL GROWTH AND INCOME A	33.00	0.33	0.00	0.33	90.0	0.30
06/05/2017	ROBINETT, CHARISSA	AM FUNDS NEW ECONOMY A	51.64	0.52	0.00	0.52	90.0	0.47
06/05/2017	ROBINETT, CHARISSA	AM FUNDS NEW ECONOMY A	51.64	0.52	0.00	0.52	90.0	0.47
06/05/2017	SUTTON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	48.00	0.48	0.00	0.48	90.0	0.43
06/05/2017	SUTTON, CHRISTOPHER	AM FUNDS NEW ECONOMY A	35.23	0.35	0.00	0.35	90.0	0.32
06/05/2017	MO/TOD	AM FUNDS NEW ECONOMY A	60.00	3.00	0.00	3.00	90.0	2.70
06/12/2017	CROWLEY, MICHA	AM FUNDS NEW ECONOMY A	184.61	3.69	0.00	3.69	90.0	3.32

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<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/13/2017	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	43.43	0.43	0.00	0.43	90.0	0.39
06/13/2017	STALEY, JOSELYN	AM FUNDS NEW ECONOMY A	14.48	0.14	0.00	0.14	90.0	0.13
06/13/2017	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	53.08	0.53	0.00	0.53	90.0	0.48
06/13/2017	NIELSEN, BRENDA	AM FUNDS NEW ECONOMY A	31.85	0.32	0.00	0.32	90.0	0.29
06/05/2017	EULTGEN, VICKI	AM FUNDS NEW PERSPECTIVE A	50.00	0.50	0.00	0.50	90.0	0.45
06/05/2017	EULTGEN, VICKI	AM FUNDS NEW PERSPECTIVE A	26.14	0.26	0.00	0.26	90.0	0.23
06/05/2017	TURNER, JOSH	AM FUNDS NEW PERSPECTIVE A	30.36	0.30	0.00	0.30	90.0	0.27
06/05/2017	TURNER, JOSH	AM FUNDS NEW PERSPECTIVE A	30.36	0.30	0.00	0.30	90.0	0.27
06/05/2017	MURPHY, MELISSA	AM FUNDS NEW PERSPECTIVE A	208.20	2.08	0.00	2.08	90.0	1.87
06/05/2017	MURPHY, MELISSA	AM FUNDS NEW PERSPECTIVE A	33.00	0.33	0.00	0.33	90.0	0.30
06/05/2017	ROBINETT, CHARISSA	AM FUNDS NEW PERSPECTIVE A	51.65	0.52	0.00	0.52	90.0	0.47
06/05/2017	ROBINETT, CHARISSA	AM FUNDS NEW PERSPECTIVE A	51.65	0.52	0.00	0.52	90.0	0.47
06/05/2017	VICTOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	27.63	0.28	0.00	0.28	90.0	0.25
06/05/2017	VICTOR, THOMAS	AM FUNDS NEW PERSPECTIVE A	27.63	0.28	0.00	0.28	90.0	0.25
06/05/2017	TURNER, COREY	AM FUNDS NEW PERSPECTIVE A	260.25	2.60	0.00	2.60	90.0	2.34
06/05/2017	TURNER, COREY	AM FUNDS NEW PERSPECTIVE A	41.25	0.41	0.00	0.41	90.0	0.37
06/13/2017	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	41.13	0.41	0.00	0.41	90.0	0.37
06/13/2017	GRIFFIN, AMANDA	AM FUNDS NEW PERSPECTIVE A	41.13	0.41	0.00	0.41	90.0	0.37
06/13/2017	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	43.44	0.43	0.00	0.43	90.0	0.39
06/13/2017	STALEY, JOSELYN	AM FUNDS NEW PERSPECTIVE A	14.48	0.14	0.00	0.14	90.0	0.13
06/13/2017	COOK, GREG	AM FUNDS NEW PERSPECTIVE A	12.16	0.12	0.00	0.12	90.0	0.11
06/13/2017	COOK, GREG	AM FUNDS NEW PERSPECTIVE A	12.16	0.12	0.00	0.12	90.0	0.11
06/13/2017	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	29.27	0.29	0.00	0.29	90.0	0.26
06/13/2017	GATTORNA, MICHAEL	AM FUNDS NEW PERSPECTIVE A	29.27	0.29	0.00	0.29	90.0	0.26
06/13/2017	STAES, SAMUEL	AM FUNDS NEW PERSPECTIVE A	33.60	0.34	0.00	0.34	90.0	0.31
06/13/2017	STAES, SAMUEL	AM FUNDS NEW PERSPECTIVE A	16.80	0.17	0.00	0.17	90.0	0.15
03/06/2017	CROWLEY, MICHA	AM FUNDS NEW WORLD A	184.62	3.69	0.00	3.69	90.0	3.32
03/06/2017	CROWLEY, MICHA	AM FUNDS NEW WORLD A	-369.22	-7.38	0.00	-7.38	90.0	-6.64
03/20/2017	CROWLEY, MICHA	AM FUNDS NEW WORLD A	184.62	3.69	0.00	3.69	90.0	3.32
03/20/2017	CROWLEY, MICHA	AM FUNDS NEW WORLD A	-369.22	-7.38	0.00	-7.38	90.0	-6.64
03/31/2017	CROWLEY, MICHA	AM FUNDS NEW WORLD A	184.62	3.69	0.00	3.69	90.0	3.32
03/31/2017	CROWLEY, MICHA	AM FUNDS NEW WORLD A	-369.22	-7.38	0.00	-7.38	90.0	-6.64
04/17/2017	CROWLEY, MICHA	AM FUNDS NEW WORLD A	184.62	3.69	0.00	3.69	90.0	3.32

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
04/17/2017	CROWLEY, MICHA	AM FUNDS NEW WORLD A	-369.22	-7.38	0.00	-7.38	90.0	-6.64
05/04/2017	CROWLEY, MICHA	AM FUNDS NEW WORLD A	184.62	3.69	0.00	3.69	90.0	3.32
05/04/2017	CROWLEY, MICHA	AM FUNDS NEW WORLD A	-369.22	-7.38	0.00	-7.38	90.0	-6.64
05/12/2017	CROWLEY, MICHA	AM FUNDS NEW WORLD A	184.62	3.69	0.00	3.69	90.0	3.32
05/12/2017	CROWLEY, MICHA	AM FUNDS NEW WORLD A	-369.22	-7.38	0.00	-7.38	90.0	-6.64
05/30/2017	CROWLEY, MICHA	AM FUNDS NEW WORLD A	184.62	3.69	0.00	3.69	90.0	3.32
05/30/2017	CROWLEY, MICHA	AM FUNDS NEW WORLD A	-369.22	-7.38	0.00	-7.38	90.0	-6.64
06/05/2017	EULTGEN, VICKI	AM FUNDS NEW WORLD A	25.00	0.25	0.00	0.25	90.0	0.23
06/05/2017	EULTGEN, VICKI	AM FUNDS NEW WORLD A	13.08	0.13	0.00	0.13	90.0	0.12
06/05/2017	TURNER, JOSH	AM FUNDS NEW WORLD A	30.36	0.30	0.00	0.30	90.0	0.27
06/05/2017	TURNER, JOSH	AM FUNDS NEW WORLD A	30.36	0.30	0.00	0.30	90.0	0.27
06/05/2017	MURPHY, MELISSA	AM FUNDS NEW WORLD A	52.05	0.52	0.00	0.52	90.0	0.47
06/05/2017	MURPHY, MELISSA	AM FUNDS NEW WORLD A	8.25	0.08	0.00	0.08	90.0	0.07
06/05/2017	MURPHY, MICHAEL	AM FUNDS NEW WORLD A	520.50	5.21	0.00	5.21	90.0	4.69
06/05/2017	MURPHY, MICHAEL	AM FUNDS NEW WORLD A	82.50	0.83	0.00	0.83	90.0	0.75
06/05/2017	VICTOR, THOMAS	AM FUNDS NEW WORLD A	27.63	0.28	0.00	0.28	90.0	0.25
06/05/2017	VICTOR, THOMAS	AM FUNDS NEW WORLD A	27.63	0.28	0.00	0.28	90.0	0.25
06/05/2017	TURNER, COREY	AM FUNDS NEW WORLD A	260.25	2.60	0.00	2.60	90.0	2.34
06/05/2017	TURNER, COREY	AM FUNDS NEW WORLD A	41.25	0.41	0.00	0.41	90.0	0.37
06/05/2017	RYAN, MATTHEW	AM FUNDS NEW WORLD A	67.50	0.68	0.00	0.68	90.0	0.61
06/05/2017	RYAN, MATTHEW	AM FUNDS NEW WORLD A	67.50	0.68	0.00	0.68	90.0	0.61
06/12/2017	CROWLEY, MICHA	AM FUNDS NEW WORLD A	184.62	3.69	0.00	3.69	90.0	3.32
06/13/2017	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	29.27	0.29	0.00	0.29	90.0	0.26
06/13/2017	GATTORNA, MICHAEL	AM FUNDS NEW WORLD A	29.27	0.29	0.00	0.29	90.0	0.26
06/19/2017	CO/TOD	AM FUNDS NEW WORLD C	650.00	6.50	0.00	6.50	90.0	5.85
06/05/2017	EULTGEN, VICKI	AM FUNDS SMALLCAP WORLD A	25.00	0.25	0.00	0.25	90.0	0.23
06/05/2017	EULTGEN, VICKI	AM FUNDS SMALLCAP WORLD A	13.08	0.13	0.00	0.13	90.0	0.12
06/05/2017	TURNER, JOSH	AM FUNDS SMALLCAP WORLD A	30.36	0.30	0.00	0.30	90.0	0.27
06/05/2017	TURNER, JOSH	AM FUNDS SMALLCAP WORLD A	30.36	0.30	0.00	0.30	90.0	0.27
06/05/2017	MURPHY, MELISSA	AM FUNDS SMALLCAP WORLD A	104.10	1.04	0.00	1.04	90.0	0.94
06/05/2017	MURPHY, MELISSA	AM FUNDS SMALLCAP WORLD A	16.50	0.17	0.00	0.17	90.0	0.15
06/05/2017	VICTOR, THOMAS	AM FUNDS SMALLCAP WORLD A	27.63	0.28	0.00	0.28	90.0	0.25
06/05/2017	VICTOR, THOMAS	AM FUNDS SMALLCAP WORLD A	27.63	0.28	0.00	0.28	90.0	0.25

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/05/2017	TURNER, COREY	AM FUNDS SMALLCAP WORLD A	260.25	2.60	0.00	2.60	90.0	2.34
06/05/2017	TURNER, COREY	AM FUNDS SMALLCAP WORLD A	41.25	0.41	0.00	0.41	90.0	0.37
06/13/2017	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	53.46	0.53	0.00	0.53	90.0	0.48
06/13/2017	REDDICK, RYAN	AM FUNDS SMALLCAP WORLD A	53.46	0.53	0.00	0.53	90.0	0.48
06/13/2017	COOK, GREG	AM FUNDS SMALLCAP WORLD A	16.22	0.16	0.00	0.16	90.0	0.14
06/13/2017	COOK, GREG	AM FUNDS SMALLCAP WORLD A	16.22	0.16	0.00	0.16	90.0	0.14
06/13/2017	DAVIDSON, DANIELLE	AM FUNDS SMALLCAP WORLD A	19.01	0.19	0.00	0.19	90.0	0.17
06/13/2017	DAVIDSON, DANIELLE	AM FUNDS SMALLCAP WORLD A	19.01	0.19	0.00	0.19	90.0	0.17
06/13/2017	STAES, SAMUEL	AM FUNDS SMALLCAP WORLD A	33.60	0.34	0.00	0.34	90.0	0.31
06/13/2017	STAES, SAMUEL	AM FUNDS SMALLCAP WORLD A	16.80	0.17	0.00	0.17	90.0	0.15
06/05/2017	HOLTKAMP, MARIGENE	AM FUNDS U.S. GOVERNMENT SECURITIES	375.00	3.75	0.00	3.75	90.0	3.38
06/05/2017	HOLTKAMP, MARIGENE	AM FUNDS U.S. GOVERNMENT SECURITIES	77.38	0.77	0.00	0.77	90.0	0.69
06/05/2017	TOMPKINS, ERIKA	AM FUNDS WASHINGTON MUTUAL INVESTOR	210.32	2.10	0.00	2.10	90.0	1.89
06/05/2017	TOMPKINS, ERIKA	AM FUNDS WASHINGTON MUTUAL INVESTOR	126.19	1.26	0.00	1.26	90.0	1.13
06/13/2017	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.27	0.34	0.00	0.34	90.0	0.31
06/13/2017	GRIFFIN, AMANDA	AM FUNDS WASHINGTON MUTUAL INVESTOR	34.27	0.34	0.00	0.34	90.0	0.31
06/05/2017	GRIFFITH, CHERYL	FIDELITY ADV LARGE CAP	75.00	1.50	0.00	1.50	90.0	1.35
* MUTUAL FUNDS SUBTOTAL *			\$91,779.09	\$3,025.64	\$0.00	\$3,025.64		\$2,723.19
<u>PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE</u>								
06/05/2017	GUIER, GREGORY	PAC LIFE VUL	185.00	1.85	0.00	1.85	90.0	1.67
06/05/2017	BROCKSMITH, RACHEL	PAC LIFE VUL	100.00	2.00	0.00	2.00	90.0	1.80
06/05/2017	STEWART, CHAD	PAC LIFE VUL	420.00	8.40	0.00	8.40	90.0	7.56
06/06/2017	GUIER, CLINT	PAC LIFE VUL	74.29	0.74	0.00	0.74	90.0	0.67
06/06/2017	GUIER, CLINT	PAC LIFE VUL	100.00	3.00	0.00	3.00	90.0	2.70
06/06/2017	ELLIOTT, PATRICIA	PAC LIFE VUL	100.00	2.00	0.00	2.00	90.0	1.80
06/08/2017	DANIELS, BEVERLY	PAC LIFE VUL	100.00	1.00	0.00	1.00	90.0	0.90
06/08/2017	CALLIS, CHARLES	PAC LIFE VUL	416.67	8.33	0.00	8.33	90.0	7.50
06/08/2017	HILL, MIKE	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
06/12/2017	ANDING, MARY DIANE	PAC LIFE VUL	1,400.00	28.00	0.00	28.00	90.0	25.20
06/12/2017	REGAN, WILLIAM	PAC LIFE VUL	150.00	1.50	0.00	1.50	90.0	1.35
06/12/2017	GRIFFIN, SHAWN	PAC LIFE VUL	150.00	3.00	0.00	3.00	90.0	2.70
06/13/2017	CALLIS, ANNIS	PAC LIFE VUL	200.00	6.00	0.00	6.00	90.0	5.40
06/14/2017	MURRAY, JEFFREY	PAC LIFE VUL	524.73	524.73	0.00	524.73	90.0	472.26

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
PRODUCT CATEGORY: VARIABLE UNIVERSAL LIFE								
06/14/2017	MURRAY, JEFFREY	PAC LIFE VUL	59.84	1.20	0.00	1.20	90.0	1.08
06/15/2017	ANDING, MARY DIANE	PAC LIFE VUL	0.00	333.80	0.00	333.80	90.0	300.42
06/15/2017	ANDING, MARY DIANE	PAC LIFE VUL	0.00	335.05	0.00	335.05	90.0	301.55
* VARIABLE UNIVERSAL LIFE SUBTOTAL *			\$4,130.53	\$1,263.60	\$0.00	\$1,263.60		\$1,137.26
*** BROKER TRANSACTIONS TOTAL ***			\$95,909.62	\$4,289.24	\$0.00	\$4,289.24		\$3,860.45

SPLIT TRANSACTIONS**BROKER: JEFF/JASON KAUFMAN/KEMBLE #349**

06/13/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	40.46	0.22	0.00	0.22	90.0	0.20
06/13/2017	CARSON, MATTHEW	AM FUNDS 2055 TARGET DATE-A	40.46	0.22	0.00	0.22	90.0	0.20
06/13/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	22.41	0.12	0.00	0.12	90.0	0.11
06/13/2017	WAHLIG, KACEY	AM FUNDS AMERICAN BALANCED A	22.41	0.12	0.00	0.12	90.0	0.11
06/23/2017	MOELLER, JOYCE	AM FUNDS AMERICAN BALANCED A	17,513.51	409.38	0.00	409.38	90.0	368.44
06/05/2017	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	80.58	0.45	0.00	0.45	90.0	0.41
06/05/2017	BARTLETT, STEVEN	AM FUNDS GROWTH FUND OF AMERICA A	48.35	0.26	0.00	0.26	90.0	0.23
06/13/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	17.10	0.09	0.00	0.09	90.0	0.08
06/13/2017	EISELE, HAMILTON	AM FUNDS GROWTH FUND OF AMERICA A	22.80	0.13	0.00	0.13	90.0	0.12
06/13/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	48.49	0.26	0.00	0.26	90.0	0.23
06/13/2017	DOOLEY, BRUCE	AM FUNDS GROWTH FUND OF AMERICA A	48.49	0.26	0.00	0.26	90.0	0.23
06/13/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.06	0.00	0.06	90.0	0.05
06/13/2017	BONHAM, NICOLE	AM FUNDS GROWTH FUND OF AMERICA A	11.30	0.06	0.00	0.06	90.0	0.05
06/13/2017	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	43.35	0.24	0.00	0.24	90.0	0.22
06/13/2017	SPEIGLE, SARAH	AM FUNDS GROWTH FUND OF AMERICA A	43.35	0.24	0.00	0.24	90.0	0.22
06/13/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	25.43	0.14	0.00	0.14	90.0	0.13
06/13/2017	LUKIC, MIROSLAV	AM FUNDS GROWTH FUND OF AMERICA A	25.42	0.14	0.00	0.14	90.0	0.13
06/21/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS GROWTH FUND OF AMERICA A	50.00	1.37	0.00	1.37	90.0	1.23
06/23/2017	MOELLER, JOYCE	AM FUNDS GROWTH FUND OF AMERICA A	8,756.75	204.69	0.00	204.69	90.0	184.22
06/05/2017	HAGUE, VICTORIA	AM FUNDS ICA A	68.30	0.37	0.00	0.37	90.0	0.33
06/05/2017	HAGUE, VICTORIA	AM FUNDS ICA A	400.00	2.20	0.00	2.20	90.0	1.98
06/13/2017	ZELLMER, RIANNON	AM FUNDS ICA A	12.96	0.07	0.00	0.07	90.0	0.06
06/13/2017	ZELLMER, RIANNON	AM FUNDS ICA A	12.96	0.07	0.00	0.07	90.0	0.06
06/13/2017	WAHLIG, KACEY	AM FUNDS ICA A	22.41	0.12	0.00	0.12	90.0	0.11

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: JEFF/JASON KAUFMAN/KEMBLE #349</u>								
06/13/2017	WAHLIG, KACEY	AM FUNDS ICA A	22.41	0.12	0.00	0.12	90.0	0.11
06/13/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.10	0.00	0.10	90.0	0.09
06/13/2017	HELMANDOLLAR, OLIVIA	AM FUNDS ICA A	18.54	0.10	0.00	0.10	90.0	0.09
06/21/2017	UNTIL AGE 21, MO/UTMA	AM FUNDS ICA A	50.00	1.37	0.00	1.37	90.0	1.23
06/23/2017	MOELLER, JOYCE	AM FUNDS NEW PERSPECTIVE A	8,756.76	204.69	0.00	204.69	90.0	184.22
06/13/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	17.10	0.09	0.00	0.09	90.0	0.08
06/13/2017	EISELE, HAMILTON	AM FUNDS NEW WORLD A	22.80	0.13	0.00	0.13	90.0	0.12
06/05/2017	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	100.00	0.55	0.00	0.55	90.0	0.50
06/05/2017	HAGUE, VICTORIA	AM FUNDS SMALLCAP WORLD A	17.08	0.09	0.00	0.09	90.0	0.08
06/05/2017	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	80.59	0.45	0.00	0.45	90.0	0.41
06/05/2017	BARTLETT, STEVEN	AM FUNDS SMALLCAP WORLD A	48.35	0.26	0.00	0.26	90.0	0.23
06/13/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.10	0.00	0.10	90.0	0.09
06/13/2017	HELMANDOLLAR, OLIVIA	AM FUNDS SMALLCAP WORLD A	18.54	0.10	0.00	0.10	90.0	0.09
06/13/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	25.42	0.14	0.00	0.14	90.0	0.13
06/13/2017	LUKIC, MIROSLAV	AM FUNDS SMALLCAP WORLD A	25.43	0.14	0.00	0.14	90.0	0.13
06/15/2017	DOOLEY, BRUCE	AM FUNDS SMALLCAP WORLD A	50.00	1.37	0.00	1.37	90.0	1.23
* JEFF/JASON KAUFMAN/KEMBLE SUBTOTAL *			\$36,678.69	\$831.08	\$0.00	\$831.08		\$747.98
*** SPLIT TRANSACTIONS TOTAL ***			\$36,678.69	\$831.08	\$0.00	\$831.08		\$747.98

VERRIDE TRANSACTIONS

<u>BROKER: JASON KEMBLE #348</u>								
06/08/2017	HOWARD, WILLIAM	AM FUNDS AMERICAN BALANCED A	1,179.74	50.14	0.00	50.14	90.0	20.06
06/08/2017	HOWARD, WILLIAM	AM FUNDS AMERICAN BALANCED A	1,627.95	69.19	0.00	69.19	90.0	27.68
06/08/2017	HOWARD, WILLIAM	AM FUNDS AMERICAN BALANCED A	6,083.34	258.54	0.00	258.54	90.0	103.42
06/12/2017	DANIELS, CLINTON	AM FUNDS GROWTH FUND OF AMERICA A	100.00	5.00	0.00	5.00	90.0	2.00
06/12/2017	DANIELS, CLINTON	AM FUNDS SMALLCAP WORLD A	100.00	5.00	0.00	5.00	90.0	2.00
* JASON KEMBLE SUBTOTAL *			\$9,091.03	\$387.87	\$0.00	\$387.87		\$155.16
*** OVERRIDE TRANSACTIONS TOTAL ***			\$9,091.03	\$387.87	\$0.00	\$387.87		\$155.16

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BROKER COMMISSION TOTALS

Broker Transactions	\$3,860.45
Split Transactions	\$747.98
Override Transactions	\$155.16
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$4,763.59
Year-to-date Gross	\$82,749.24
Year-to-date Earnings	\$83,507.98