



Concorde Investment Services, LLC

01/12/2018

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COMMISSION STATEMENT

January 15, 2018

JAMES MAHER
3082 Quail Ridge Circle

BROKER #: M127
BRANCH: JAMES MAHER

Rochester Hills, MI 48309-

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
01/02/2018	O'CONNOR, AARON	AM FUNDS AMCAP 529C	18.75	0.19	0.00	0.19	90.0	0.17
01/02/2018	O'CONNOR, AARON	AM FUNDS AMCAP 529C	18.75	0.19	0.00	0.19	90.0	0.17
12/29/2017	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
12/29/2017	WARNER, KRISTI	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
01/02/2018	HICKSON, MARK	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
01/02/2018	MARKHAM, CLAIRE	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
01/02/2018	JACK, KELLY	AM FUNDS CAPITAL INCOME BUILDER 529	25.00	0.25	0.00	0.25	90.0	0.23
01/03/2018	MARKHAM, JASON	AM FUNDS CAPITAL INCOME BUILDER 529	50.00	0.50	0.00	0.50	90.0	0.45
01/02/2018	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	18.75	0.19	0.00	0.19	90.0	0.17
01/02/2018	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	18.75	0.19	0.00	0.19	90.0	0.17
01/02/2018	O'CONNOR, AARON	AM FUNDS CAPITAL WORLD GRTH & INC 5	37.50	0.38	0.00	0.38	90.0	0.34
01/02/2018	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	18.75	0.19	0.00	0.19	90.0	0.17
01/02/2018	O'CONNOR, AARON	AM FUNDS EUROPACIFIC GROWTH FUND 52	18.75	0.19	0.00	0.19	90.0	0.17
01/02/2018	HICKSON, MARK	AM FUNDS EUROPACIFIC GROWTH FUND 52	25.00	0.25	0.00	0.25	90.0	0.23
01/02/2018	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	18.75	0.19	0.00	0.19	90.0	0.17
01/02/2018	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	18.75	0.19	0.00	0.19	90.0	0.17
01/02/2018	HICKSON, MARK	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
01/02/2018	MARKHAM, CLAIRE	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
01/02/2018	O'CONNOR, AARON	AM FUNDS GROWTH FUND OF AMERICA 529	37.50	0.38	0.00	0.38	90.0	0.34
01/02/2018	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA 529	25.00	0.25	0.00	0.25	90.0	0.23
01/02/2018	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA 529	75.00	0.75	0.00	0.75	90.0	0.68
01/03/2018	MARKHAM, JASON	AM FUNDS GROWTH FUND OF AMERICA 529	50.00	0.50	0.00	0.50	90.0	0.45
01/02/2018	JACK, KELLY	AM FUNDS ICA 529C	25.00	0.25	0.00	0.25	90.0	0.23
01/02/2018	QUSSAR, JAMES	AM FUNDS ICA 529C	75.00	0.75	0.00	0.75	90.0	0.68
01/02/2018	HICKSON, MARK	AM FUNDS INCOME FUND OF AMERICA-529	25.00	0.25	0.00	0.25	90.0	0.23
01/02/2018	JACK, KELLY	AM FUNDS WASHINGTON MUTUAL INVESTOR	25.00	0.25	0.00	0.25	90.0	0.23

NOTES: * - Indicates adjustment is not included in 1099 Earnings

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^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: 529 PLANS</u>								
01/02/2018	QUSSAR, JAMES	AMERICAN FUNDS GRWTH & INC PF 529C	75.00	0.75	0.00	0.75	90.0	0.68
01/02/2018	QUSSAR, JAMES	AMERICAN FUNDS INCOME PF 529C	75.00	0.75	0.00	0.75	90.0	0.68
* 529 PLANS SUBTOTAL *			\$1,025.00	\$10.28	\$0.00	\$10.28		\$9.30
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/11/2017	LINDSEY, CHARLES	AM FUNDS AMCAP A	0.00	1.91	0.00	1.91	90.0	1.72
12/11/2017	MAHER, MAIGHDLIN	AM FUNDS AMCAP C	0.00	1.56	0.00	1.56	90.0	1.40
12/11/2017	PALMER, TODD	AM FUNDS AMCAP C	0.00	23.56	0.00	23.56	90.0	21.20
12/11/2017	SIMON, MICHAEL	AM FUNDS AMCAP C	0.00	7.30	0.00	7.30	90.0	6.57
12/11/2017	TAYLOR, JAMIE	AM FUNDS AMERICAN BALANCED C	0.00	23.26	0.00	23.26	90.0	20.93
12/11/2017	ALLEN, MARY	AM FUNDS AMERICAN BALANCED C	0.00	9.89	0.00	9.89	90.0	8.90
12/11/2017	PALMERI, DANIEL	AM FUNDS AMERICAN HIGH-INCOME TRUST	0.00	0.39	0.00	0.39	90.0	0.35
12/11/2017	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.19	0.00	2.19	90.0	1.97
12/11/2017	GILLESPIE, HEATHER	AM FUNDS BOND FUND OF AMERICA A	0.00	4.38	0.00	4.38	90.0	3.94
12/11/2017	LINDSEY, CHARLES	AM FUNDS BOND FUND OF AMERICA A	0.00	2.84	0.00	2.84	90.0	2.56
12/11/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	1.93	0.00	1.93	90.0	1.74
12/11/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL INCOME BUILDER A	0.00	2.00	0.00	2.00	90.0	1.80
12/11/2017	EBERHARD, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.26	0.00	9.26	90.0	8.33
12/11/2017	SCACCIA, KELLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.66	0.00	6.66	90.0	5.99
12/11/2017	HENKE, FRANK	AM FUNDS CAPITAL INCOME BUILDER C	0.00	12.31	0.00	12.31	90.0	11.08
12/11/2017	FRASER, SHARON	AM FUNDS CAPITAL INCOME BUILDER C	0.00	7.15	0.00	7.15	90.0	6.44
12/11/2017	KOTT, DIANE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	5.71	0.00	5.71	90.0	5.14
12/11/2017	TAYLOR, JAMIE	AM FUNDS CAPITAL INCOME BUILDER C	0.00	22.35	0.00	22.35	90.0	20.12
12/11/2017	STONE, EDWARD	AM FUNDS CAPITAL INCOME BUILDER C	0.00	12.00	0.00	12.00	90.0	10.80
12/11/2017	O'CONNOR, HOLLY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.37	0.00	6.37	90.0	5.73
12/11/2017	O'DONNELL, JUDITH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	8.79	0.00	8.79	90.0	7.91
12/11/2017	MAHER, KEIRA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.60	0.00	1.60	90.0	1.44
12/11/2017	FOSTER SP TRUST, PATRICIA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	2.57	0.00	2.57	90.0	2.31
12/11/2017	NUNEZ TRUST, LYNDA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	24.37	0.00	24.37	90.0	21.93
12/11/2017	RUSO, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.11	0.00	9.11	90.0	8.20
12/11/2017	RUSO, DAVID	AM FUNDS CAPITAL INCOME BUILDER C	0.00	12.34	0.00	12.34	90.0	11.11
12/11/2017	ALLEN, MARY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.70	0.00	9.70	90.0	8.73
12/11/2017	HEILMANN, MAUREEN	AM FUNDS CAPITAL INCOME BUILDER C	0.00	9.45	0.00	9.45	90.0	8.51
12/11/2017	KESSOR, LAURA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	22.37	0.00	22.37	90.0	20.13

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/11/2017	PROKOP, STANLEY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	138.36	0.00	138.36	90.0	124.52
12/11/2017	BUSSELL, JEFFREY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	6.15	0.00	6.15	90.0	5.54
12/11/2017	MAHER, HENRY	AM FUNDS CAPITAL INCOME BUILDER C	0.00	37.60	0.00	37.60	90.0	33.84
12/11/2017	HEIDLOFF, JENNIFER	AM FUNDS CAPITAL INCOME BUILDER C	0.00	3.51	0.00	3.51	90.0	3.16
12/11/2017	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER C	0.00	7.37	0.00	7.37	90.0	6.63
12/11/2017	PLASTIRAS, JULIANA	AM FUNDS CAPITAL INCOME BUILDER C	0.00	11.08	0.00	11.08	90.0	9.97
12/11/2017	MARKHAM, ELIZABETH	AM FUNDS CAPITAL INCOME BUILDER C	0.00	1.85	0.00	1.85	90.0	1.67
12/11/2017	GUMBLETON, CARRIE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	2.64	0.00	2.64	90.0	2.38
12/11/2017	ZANONI, CARRIE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.49	0.00	1.49	90.0	1.34
12/11/2017	QUEDNAU, KELLY	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	8.91	0.00	8.91	90.0	8.02
12/11/2017	VIAR, DAVID	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	0.58	0.00	0.58	90.0	0.52
12/11/2017	MARIN REV TRUST, NICHOLAS	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	2.95	0.00	2.95	90.0	2.66
12/11/2017	PIZZO, MICHELE	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.88	0.00	1.88	90.0	1.69
12/11/2017	PIZZO, REBECCA	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.40	0.00	1.40	90.0	1.26
12/11/2017	KORESKY, JOHN	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.26	0.00	1.26	90.0	1.13
12/11/2017	SIMON, MICHAEL	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.13	0.00	1.13	90.0	1.02
12/11/2017	BANNICK, ROBERT	AM FUNDS CAPITAL INCOME BUILDER F1	0.00	1.27	0.00	1.27	90.0	1.14
12/11/2017	LINDSEY, CHARLES	AM FUNDS CAPITAL WORLD BOND A	0.00	1.17	0.00	1.17	90.0	1.05
12/11/2017	OLIVER, WILLIAM	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.17	0.00	0.17	90.0	0.15
12/11/2017	PALMERI, DANIEL	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	0.72	0.00	0.72	90.0	0.65
12/11/2017	HOPPE, KYLE	AM FUNDS CAPITAL WORLD GRTH & INC A	0.00	1.84	0.00	1.84	90.0	1.66
12/11/2017	QUEDNAU, KELLY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	22.04	0.00	22.04	90.0	19.84
12/11/2017	LUJAN, DEBORAH	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	7.72	0.00	7.72	90.0	6.95
12/11/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.20	0.00	4.20	90.0	3.78
12/11/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	1.20	0.00	1.20	90.0	1.08
12/11/2017	VIAR, DAVID	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	5.48	0.00	5.48	90.0	4.93
12/11/2017	JACK, STANLEY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	5.89	0.00	5.89	90.0	5.30
12/11/2017	JACK, STANLEY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	0.48	0.00	0.48	90.0	0.43
12/11/2017	LOUGHLIN, DENNIS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	2.16	0.00	2.16	90.0	1.94
12/11/2017	NUNEZ TRUST, LYNDIA	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	58.34	0.00	58.34	90.0	52.51
12/11/2017	MAHER, HENRY	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	21.75	0.00	21.75	90.0	19.58
12/11/2017	QUSSAR, JAMES	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	8.34	0.00	8.34	90.0	7.51
12/11/2017	MARKHAM, JASON	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	5.62	0.00	5.62	90.0	5.06

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/11/2017	BANNICK, ROBERT	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	9.50	0.00	9.50	90.0	8.55
12/11/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS CAPITAL WORLD GRTH & INC C	0.00	4.94	0.00	4.94	90.0	4.45
12/11/2017	LINDSEY, CHARLES	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.66	0.00	0.66	90.0	0.59
12/11/2017	HOPPE, KYLE	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	1.44	0.00	1.44	90.0	1.30
12/11/2017	EBERHARD, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.74	0.00	8.74	90.0	7.87
12/11/2017	HOLMES, KAYLA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.34	0.00	1.34	90.0	1.21
12/11/2017	HOLMES, JACK PATRICK	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.23	0.00	1.23	90.0	1.11
12/11/2017	COLASUONNO, RALPH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	11.89	0.00	11.89	90.0	10.70
12/11/2017	PIASCIK, SHAWN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	29.15	0.00	29.15	90.0	26.24
12/11/2017	LUJAN, DEBORAH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	10.45	0.00	10.45	90.0	9.41
12/11/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.51	0.00	4.51	90.0	4.06
12/11/2017	VEIT, BEVERLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	10.86	0.00	10.86	90.0	9.77
12/11/2017	JAMES, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	9.96	0.00	9.96	90.0	8.96
12/11/2017	LOUGHLIN, DENNIS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	22.06	0.00	22.06	90.0	19.85
12/11/2017	O'DONNELL, JUDITH	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.68	0.00	8.68	90.0	7.81
12/11/2017	MAHER, KEIRA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.08	0.00	1.08	90.0	0.97
12/11/2017	MAHER, MAIGHDLIN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	1.11	0.00	1.11	90.0	1.00
12/11/2017	NUNEZ, LYNDA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	23.31	0.00	23.31	90.0	20.98
12/11/2017	NUNEZ TRUST, LYNDA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	53.70	0.00	53.70	90.0	48.33
12/11/2017	O'DONNELL, SHERYL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.34	0.00	4.34	90.0	3.91
12/11/2017	PALMERI, DANIEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.90	0.00	2.90	90.0	2.61
12/11/2017	MAHER, HENRY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	35.24	0.00	35.24	90.0	31.72
12/11/2017	QUSSAR, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	5.91	0.00	5.91	90.0	5.32
12/11/2017	PAGANO, MAUREEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	9.99	0.00	9.99	90.0	8.99
12/11/2017	MAHER, OWEN	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	2.38	0.00	2.38	90.0	2.14
12/11/2017	MARKHAM, JASON	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.42	0.00	7.42	90.0	6.68
12/11/2017	SIMON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.69	0.00	4.69	90.0	4.22
12/11/2017	BANNICK, ROBERT	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	8.56	0.00	8.56	90.0	7.70
12/11/2017	BANNICK, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	6.68	0.00	6.68	90.0	6.01
12/11/2017	BIONDO, MELISSA	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	7.78	0.00	7.78	90.0	7.00
12/11/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	4.33	0.00	4.33	90.0	3.90
12/11/2017	DARR, CRAIG	AM FUNDS EUROPACIFIC GROWTH FUND C	0.00	11.68	0.00	11.68	90.0	10.51
12/11/2017	QUEDNAU, KELLY	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	5.17	0.00	5.17	90.0	4.65

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/11/2017	VIAR, DAVID	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	0.43	0.00	0.43	90.0	0.39
12/11/2017	KORESKY, JOHN	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	1.10	0.00	1.10	90.0	0.99
12/11/2017	SIMON, MICHAEL	AM FUNDS EUROPACIFIC GROWTH FUND F1	0.00	0.91	0.00	0.91	90.0	0.82
12/11/2017	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	1.89	0.00	1.89	90.0	1.70
12/11/2017	LINDSEY, CHARLES	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	2.16	0.00	2.16	90.0	1.94
12/11/2017	HEILMANN, MAUREEN	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	21.64	0.00	21.64	90.0	19.48
12/11/2017	PROKOP, STANLEY	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	199.40	0.00	199.40	90.0	179.46
12/11/2017	HOLMES, KAYLA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	6.35	0.00	6.35	90.0	5.72
12/11/2017	HOLMES, JACK PATRICK	AM FUNDS GROWTH FUND OF AMERICA A	0.00	5.26	0.00	5.26	90.0	4.73
12/11/2017	CARROLL, EILEEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	11.60	0.00	11.60	90.0	10.44
12/11/2017	O'DONNELL, SHERYL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.62	0.00	4.62	90.0	4.16
12/11/2017	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.97	0.00	0.97	90.0	0.87
12/11/2017	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA A	0.00	13.29	0.00	13.29	90.0	11.96
12/11/2017	MAHER, KYLE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.28	0.00	4.28	90.0	3.85
12/11/2017	MAHER, OWEN	AM FUNDS GROWTH FUND OF AMERICA A	0.00	2.03	0.00	2.03	90.0	1.83
12/11/2017	EBERHARD, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.13	0.00	13.13	90.0	11.82
12/11/2017	HENKE, FRANK	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.65	0.00	13.65	90.0	12.29
12/11/2017	COLASUONNO, RALPH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	18.44	0.00	18.44	90.0	16.60
12/11/2017	PIASCIK, SHAWN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	30.07	0.00	30.07	90.0	27.06
12/11/2017	LUJAN, DEBORAH	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.96	0.00	14.96	90.0	13.46
12/11/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.66	0.00	9.66	90.0	8.69
12/11/2017	KOTT, DIANE	AM FUNDS GROWTH FUND OF AMERICA C	0.00	17.30	0.00	17.30	90.0	15.57
12/11/2017	JACK, STANLEY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	2.30	0.00	2.30	90.0	2.07
12/11/2017	LOUGHLIN, DENNIS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	31.43	0.00	31.43	90.0	28.29
12/11/2017	NORRIS, CHRISTOPHER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	90.46	0.00	90.46	90.0	81.41
12/11/2017	NUNEZ TRUST, LYNDIA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	107.71	0.00	107.71	90.0	96.94
12/11/2017	HICKSON, JORDAN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	18.70	0.00	18.70	90.0	16.83
12/11/2017	PALMER, TODD	AM FUNDS GROWTH FUND OF AMERICA C	0.00	27.62	0.00	27.62	90.0	24.86
12/11/2017	BOLUS, ELLA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	36.56	0.00	36.56	90.0	32.90
12/11/2017	HEILMANN, MAUREEN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	17.08	0.00	17.08	90.0	15.37
12/11/2017	KESSOR, LAURA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	25.71	0.00	25.71	90.0	23.14
12/11/2017	PALMERI, DANIEL	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.30	0.00	4.30	90.0	3.87
12/11/2017	MAHER, HENRY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	48.71	0.00	48.71	90.0	43.84

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/11/2017	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	6.19	0.00	6.19	90.0	5.57
12/11/2017	GILLESPIE, HEATHER	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.94	0.00	4.94	90.0	4.45
12/11/2017	QUSSAR, KELLY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	9.69	0.00	9.69	90.0	8.72
12/11/2017	QUSSAR, JAMES	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.45	0.00	11.45	90.0	10.31
12/11/2017	MARKHAM, JASON	AM FUNDS GROWTH FUND OF AMERICA C	0.00	11.52	0.00	11.52	90.0	10.37
12/11/2017	HEIDLOFF, RAYMOND	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.24	0.00	4.24	90.0	3.82
12/11/2017	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	6.82	0.00	6.82	90.0	6.14
12/11/2017	HICKSON, ANDREW	AM FUNDS GROWTH FUND OF AMERICA C	0.00	16.08	0.00	16.08	90.0	14.47
12/11/2017	BANNICK, ROBERT	AM FUNDS GROWTH FUND OF AMERICA C	0.00	12.98	0.00	12.98	90.0	11.68
12/11/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.94	0.00	4.94	90.0	4.45
12/11/2017	DARR, CRAIG	AM FUNDS GROWTH FUND OF AMERICA C	0.00	14.01	0.00	14.01	90.0	12.61
12/11/2017	ANTHONY, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA C	0.00	4.62	0.00	4.62	90.0	4.16
12/11/2017	PLASTIRAS, JULIANA	AM FUNDS GROWTH FUND OF AMERICA C	0.00	13.74	0.00	13.74	90.0	12.37
12/11/2017	SCACCIA, DAVID	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.83	0.00	10.83	90.0	9.75
12/11/2017	GUMBLETON, CARRIE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.16	0.00	5.16	90.0	4.64
12/11/2017	KEYES LIV TRUST, MURIEL WATSON	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.25	0.00	4.25	90.0	3.83
12/11/2017	FRASER, SHARON	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.08	0.00	2.08	90.0	1.87
12/11/2017	QUEDNAU, KELLY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	25.73	0.00	25.73	90.0	23.16
12/11/2017	LUJAN-LABADIE, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	5.01	0.00	5.01	90.0	4.51
12/11/2017	CARLINO, AMY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.30	0.00	3.30	90.0	2.97
12/11/2017	VIAR, DAVID	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.05	0.00	3.05	90.0	2.75
12/11/2017	MARIN REV TRUST, NICHOLAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	4.50	0.00	4.50	90.0	4.05
12/11/2017	PIZZO, MICHELE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.97	0.00	0.97	90.0	0.87
12/11/2017	PIZZO, FRANCESCO	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	0.17	0.00	0.17	90.0	0.15
12/11/2017	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.87	0.00	1.87	90.0	1.68
12/11/2017	PIZZO, SALVATORE	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	2.38	0.00	2.38	90.0	2.14
12/11/2017	KNOPF, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.50	0.00	1.50	90.0	1.35
12/11/2017	JACK, KELLY	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.49	0.00	1.49	90.0	1.34
12/11/2017	SIMON, MICHAEL	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	3.28	0.00	3.28	90.0	2.95
12/11/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS GROWTH FUND OF AMERICA F1	0.00	1.92	0.00	1.92	90.0	1.73
12/11/2017	CARROLL, EILEEN	AM FUNDS ICA A	0.00	11.20	0.00	11.20	90.0	10.08
12/11/2017	LINDSEY, CHARLES	AM FUNDS ICA A	0.00	0.84	0.00	0.84	90.0	0.76
12/11/2017	HOPPE, KYLE	AM FUNDS ICA A	0.00	1.38	0.00	1.38	90.0	1.24

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
12/11/2017	GILLESPIE, HEATHER	AM FUNDS INCOME FUND OF AMERICA A	0.00	9.03	0.00	9.03	90.0	8.13
12/11/2017	STONE, JANE	AM FUNDS INCOME FUND OF AMERICA A	0.00	7.24	0.00	7.24	90.0	6.52
12/11/2017	HOPPE, KYLE	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.47	0.00	1.47	90.0	1.32
12/11/2017	EBERHARD, DAVID	AM FUNDS INCOME FUND OF AMERICA C	0.00	8.13	0.00	8.13	90.0	7.32
12/11/2017	DAVIS, ALTON	AM FUNDS INCOME FUND OF AMERICA C	0.00	19.47	0.00	19.47	90.0	17.52
12/11/2017	PIASCIK, SHAWN	AM FUNDS INCOME FUND OF AMERICA C	0.00	38.93	0.00	38.93	90.0	35.04
12/11/2017	BELONGIE, GINGER	AM FUNDS INCOME FUND OF AMERICA C	0.00	16.43	0.00	16.43	90.0	14.79
12/11/2017	NUNEZ TRUST, LYNDA	AM FUNDS INCOME FUND OF AMERICA C	0.00	57.96	0.00	57.96	90.0	52.16
12/11/2017	HEILMANN, MAUREEN	AM FUNDS INCOME FUND OF AMERICA C	0.00	14.14	0.00	14.14	90.0	12.73
12/11/2017	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	39.37	0.00	39.37	90.0	35.43
12/11/2017	MAHER, HENRY	AM FUNDS INCOME FUND OF AMERICA C	0.00	21.96	0.00	21.96	90.0	19.76
12/11/2017	MAHER TRUST, MARY	AM FUNDS INCOME FUND OF AMERICA C	0.00	10.33	0.00	10.33	90.0	9.30
12/11/2017	PROKOP, STANLEY	AM FUNDS INCOME FUND OF AMERICA C	0.00	161.28	0.00	161.28	90.0	145.15
12/11/2017	SIMON, MICHAEL	AM FUNDS INCOME FUND OF AMERICA C	0.00	7.59	0.00	7.59	90.0	6.83
12/11/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA C	0.00	1.63	0.00	1.63	90.0	1.47
12/11/2017	GUMBLETON, CARRIE	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	3.52	0.00	3.52	90.0	3.17
12/11/2017	QUEDNAU, KELLY	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	6.94	0.00	6.94	90.0	6.25
12/11/2017	SCHWARTZENBERGER, THOMAS	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.72	0.00	0.72	90.0	0.65
12/11/2017	KNOPF, DOUGLAS	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.11	0.00	0.11	90.0	0.10
12/11/2017	BANNICK, ROBERT	AM FUNDS INCOME FUND OF AMERICA F-1	0.00	0.97	0.00	0.97	90.0	0.87
12/11/2017	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	0.25	0.00	0.25	90.0	0.23
12/11/2017	LINDSEY, CHARLES	AM FUNDS INTERMEDIATE BOND FUND OF	0.00	1.24	0.00	1.24	90.0	1.12
12/11/2017	MAHER, HENRY	AM FUNDS NEW ECONOMY C	0.00	32.35	0.00	32.35	90.0	29.12
12/11/2017	SIMON, MICHAEL	AM FUNDS NEW ECONOMY C	0.00	8.03	0.00	8.03	90.0	7.23
12/11/2017	GILLESPIE, HEATHER	AM FUNDS NEW PERSPECTIVE A	0.00	9.60	0.00	9.60	90.0	8.64
12/11/2017	HICKSON, ANDREW	AM FUNDS NEW WORLD C	0.00	5.36	0.00	5.36	90.0	4.82
12/11/2017	HOLMES, KAYLA	AM FUNDS SMALLCAP WORLD C	0.00	1.60	0.00	1.60	90.0	1.44
12/11/2017	HOLMES, JACK PATRICK	AM FUNDS SMALLCAP WORLD C	0.00	1.54	0.00	1.54	90.0	1.39
12/11/2017	MAHER, HENRY	AM FUNDS SMALLCAP WORLD C	0.00	17.17	0.00	17.17	90.0	15.45
12/11/2017	HICKSON, ANDREW	AM FUNDS SMALLCAP WORLD C	0.00	3.54	0.00	3.54	90.0	3.19
12/11/2017	MAHER, HENRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	37.45	0.00	37.45	90.0	33.71
12/11/2017	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.54	0.00	2.54	90.0	2.29
12/11/2017	LINDSEY, CHARLES	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.15	0.00	1.15	90.0	1.04

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/11/2017	VIAR, DAVID	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	2.57	0.00	2.57	90.0	2.31
12/11/2017	PIZZO, SALVATORE	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	0.83	0.00	0.83	90.0	0.75
12/11/2017	BANNICK, ROBERT	AMERICAN FUNDS BALANCED FUNDS F-1	0.00	0.91	0.00	0.91	90.0	0.82
12/11/2017	HOLMES, KAYLA	BLK BASIC VALUE	0.00	0.93	0.00	0.93	90.0	0.84
12/11/2017	MAHER, KYLE	BLK BASIC VALUE	0.00	1.66	0.00	1.66	90.0	1.49
12/11/2017	MAHER, HENRY	BLK GLOBAL ALLOCATION A	0.00	11.98	0.00	11.98	90.0	10.78
12/06/2017	MARSACK, KAREN	CATALYST MAP GLOBAL CAP APP	0.00	19.13	0.00	19.13	90.0	17.22
12/06/2017	MAHER, HENRY	CATALYST MAP GLOBAL CAP APP	0.00	39.10	0.00	39.10	90.0	35.19
12/11/2017	HICKSON, ANDREW	COLUMBIA BALANCED FUND CLASS C	0.00	15.45	0.00	15.45	90.0	13.91
12/11/2017	KARAS, MICHAEL	COLUMBIA FDS SER TR II MASS SELECT	0.00	5.03	0.00	5.03	90.0	4.53
12/11/2017	LUJAN-LABADIE, JENNIFER	COLUMBIA FDS SER TR II MASS SELECT	0.00	7.57	0.00	7.57	90.0	6.81
12/11/2017	JAMES, THOMAS	COLUMBIA FDS SER TR II MASS SELECT	0.00	10.50	0.00	10.50	90.0	9.45
12/11/2017	MAHER, HENRY	COLUMBIA FDS SER TR II MASS SELECT	0.00	42.59	0.00	42.59	90.0	38.33
12/11/2017	PAGANO, MAUREEN	COLUMBIA FDS SER TR II MASS SELECT	0.00	7.88	0.00	7.88	90.0	7.09
12/11/2017	JAMES, THOMAS	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	9.35	0.00	9.35	90.0	8.42
12/11/2017	NUNEZ TRUST, LYNDIA	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	22.17	0.00	22.17	90.0	19.95
12/11/2017	PAGANO, MAUREEN	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	6.97	0.00	6.97	90.0	6.27
12/11/2017	DARR, CRAIG	COLUMBIA FDS SER TR MID CAP VALUE F	0.00	10.55	0.00	10.55	90.0	9.50
12/11/2017	NUNEZ TRUST, LYNDIA	COLUMBIA SER TR II MASS	0.00	23.49	0.00	23.49	90.0	21.14
12/27/2017	MOTOR CITY COMPUTER	COLUMBIA SMALLCAP CORE FUND A	15.00	0.15	0.00	0.15	90.0	0.14
12/11/2017	MAHER, HENRY	DAVIS FINANCIAL A	0.00	7.88	0.00	7.88	90.0	7.09
12/08/2017	MAHER, HENRY	DELAWARE CORPORATE BOND A	0.00	11.19	0.00	11.19	90.0	10.07
12/11/2017	MAHER, HENRY	FEDERATED WORLD INVT SER INC INTL B	0.00	5.99	0.00	5.99	90.0	5.39
12/11/2017	MAHER, HENRY	FIRST EAGLE GLOBAL C	0.00	86.29	0.00	86.29	90.0	77.66
12/11/2017	KEYES LIV TRUST, MURIEL WATSON	FRANKLIN GOLD & PRECIOUS METALS C	0.00	3.26	0.00	3.26	90.0	2.93
12/11/2017	BUSSELL, JEFFREY	FRANKLIN GOLD & PRECIOUS METALS C	0.00	1.46	0.00	1.46	90.0	1.31
12/11/2017	QUSSAR, JAMES	FRANKLIN GOLD & PRECIOUS METALS C	0.00	2.03	0.00	2.03	90.0	1.83
12/11/2017	HOLMES, KAYLA	FRANKLIN HIGH INCOME C	0.00	0.67	0.00	0.67	90.0	0.60
12/11/2017	HOLMES, JACK PATRICK	FRANKLIN HIGH INCOME C	0.00	0.83	0.00	0.83	90.0	0.75
12/11/2017	MAHER, HENRY	FRANKLIN HIGH INCOME C	0.00	16.63	0.00	16.63	90.0	14.97
12/11/2017	EBERHARD, DAVID	FRANKLIN INCOME C	0.00	4.78	0.00	4.78	90.0	4.30
12/11/2017	FAIRLEY, JAMES	FRANKLIN INCOME C	0.00	9.11	0.00	9.11	90.0	8.20
12/11/2017	GUMBLETON, CARRIE	FRANKLIN INCOME C	0.00	8.87	0.00	8.87	90.0	7.98

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/11/2017	KEYES LIV TRUST, MURIEL WATSON	FRANKLIN INCOME C	0.00	8.54	0.00	8.54	90.0	7.69
12/11/2017	SCACCIA, KELLY	FRANKLIN INCOME C	0.00	3.01	0.00	3.01	90.0	2.71
12/11/2017	DAVIS, ALTON	FRANKLIN INCOME C	0.00	6.22	0.00	6.22	90.0	5.60
12/11/2017	COLASUONNO, RALPH	FRANKLIN INCOME C	0.00	9.48	0.00	9.48	90.0	8.53
12/11/2017	PIASCIK, SHAWN	FRANKLIN INCOME C	0.00	13.76	0.00	13.76	90.0	12.38
12/11/2017	MAES, DEBRA	FRANKLIN INCOME C	0.00	7.83	0.00	7.83	90.0	7.05
12/11/2017	LUJAN, DEBORAH	FRANKLIN INCOME C	0.00	6.72	0.00	6.72	90.0	6.05
12/11/2017	JAMES, THOMAS	FRANKLIN INCOME C	0.00	6.09	0.00	6.09	90.0	5.48
12/11/2017	DILAY, DENISE	FRANKLIN INCOME C	0.00	7.53	0.00	7.53	90.0	6.78
12/11/2017	KOTT, DIANE	FRANKLIN INCOME C	0.00	7.03	0.00	7.03	90.0	6.33
12/11/2017	PALMERI, BEVERLY	FRANKLIN INCOME C	0.00	7.41	0.00	7.41	90.0	6.67
12/11/2017	JACK, STANLEY	FRANKLIN INCOME C	0.00	6.38	0.00	6.38	90.0	5.74
12/11/2017	MARSHALL, RONALD	FRANKLIN INCOME C	0.00	12.60	0.00	12.60	90.0	11.34
12/11/2017	TAYLOR, JAMIE	FRANKLIN INCOME C	0.00	14.74	0.00	14.74	90.0	13.27
12/11/2017	LOUGHLIN, DENNIS	FRANKLIN INCOME C	0.00	6.85	0.00	6.85	90.0	6.17
12/11/2017	BELONGIE, GINGER	FRANKLIN INCOME C	0.00	5.94	0.00	5.94	90.0	5.35
12/11/2017	STONE, EDWARD	FRANKLIN INCOME C	0.00	7.36	0.00	7.36	90.0	6.62
12/11/2017	O'CONNOR, HOLLY	FRANKLIN INCOME C	0.00	3.27	0.00	3.27	90.0	2.94
12/11/2017	O'DONNELL, JUDITH	FRANKLIN INCOME C	0.00	5.64	0.00	5.64	90.0	5.08
12/11/2017	MARIN REV TRUST, NICHOLAS	FRANKLIN INCOME C	0.00	12.19	0.00	12.19	90.0	10.97
12/11/2017	PIZZO, REBECCA	FRANKLIN INCOME C	0.00	2.94	0.00	2.94	90.0	2.65
12/11/2017	NUNEZ TRUST, LYNDIA	FRANKLIN INCOME C	0.00	73.13	0.00	73.13	90.0	65.82
12/11/2017	PALMER, TODD	FRANKLIN INCOME C	0.00	15.99	0.00	15.99	90.0	14.39
12/11/2017	WHEELER, WILLARD	FRANKLIN INCOME C	0.00	20.37	0.00	20.37	90.0	18.33
12/11/2017	ALLEN, MARY	FRANKLIN INCOME C	0.00	6.34	0.00	6.34	90.0	5.71
12/11/2017	PROKOP, STANLEY	FRANKLIN INCOME C	0.00	99.60	0.00	99.60	90.0	89.64
12/11/2017	PALMERI, PAUL	FRANKLIN INCOME C	0.00	10.57	0.00	10.57	90.0	9.51
12/11/2017	MAHER, HENRY	FRANKLIN INCOME C	0.00	13.61	0.00	13.61	90.0	12.25
12/11/2017	GILLESPIE, HEATHER	FRANKLIN INCOME C	0.00	5.58	0.00	5.58	90.0	5.02
12/11/2017	QUSSAR, JAMES	FRANKLIN INCOME C	0.00	4.99	0.00	4.99	90.0	4.49
12/11/2017	PAGANO, MAUREEN	FRANKLIN INCOME C	0.00	4.55	0.00	4.55	90.0	4.10
12/11/2017	DE FIORE, LAWRENCE	FRANKLIN INCOME C	0.00	0.15	0.00	0.15	90.0	0.14
12/11/2017	MARKHAM, JASON	FRANKLIN INCOME C	0.00	4.44	0.00	4.44	90.0	4.00

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/11/2017	BANNICK, ROBERT	FRANKLIN INCOME C	0.00	2.15	0.00	2.15	90.0	1.94
12/11/2017	SCHWARTZENBERGER, THOMAS	FRANKLIN INCOME C	0.00	0.98	0.00	0.98	90.0	0.88
12/11/2017	DARR, CRAIG	FRANKLIN INCOME C	0.00	6.84	0.00	6.84	90.0	6.16
12/11/2017	HOPPE, KYLE	FRANKLIN INCOME C	0.00	7.97	0.00	7.97	90.0	7.17
12/11/2017	BJERK JR, JOHN	FRANKLIN INCOME C	0.00	6.02	0.00	6.02	90.0	5.42
12/11/2017	MAES, DEBRA	FRANKLIN INCOME C	0.00	10.52	0.00	10.52	90.0	9.47
12/11/2017	HICKSON, JORDAN	FRANKLIN INCOME C	0.00	9.69	0.00	9.69	90.0	8.72
12/11/2017	NORRIS, CHRISTOPHER	FRANKLIN MI TAX FR INCOME C	0.00	32.28	0.00	32.28	90.0	29.05
12/18/2017	DE FIORE, LAWRENCE	FRANKLIN MUTUAL GLOBAL DISCOVERY A	16.96	0.47	0.00	0.47	90.0	0.42
12/18/2017	DE FIORE, LAWRENCE	FRANKLIN MUTUAL GLOBAL DISCOVERY A	78.26	2.19	0.00	2.19	90.0	1.97
12/11/2017	EBERHARD, DAVID	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	10.40	0.00	10.40	90.0	9.36
12/11/2017	NORRIS, CHRISTOPHER	FRANKLIN MUTUAL GLOBAL DISCOVERY C	0.00	28.88	0.00	28.88	90.0	25.99
12/11/2017	GUMBLETON, CARRIE	FRANKLIN NATURAL RESOURCES C	0.00	5.28	0.00	5.28	90.0	4.75
12/11/2017	COLASUONNO, RALPH	FRANKLIN NATURAL RESOURCES C	0.00	5.23	0.00	5.23	90.0	4.71
12/11/2017	PALMERI, DANIEL	FRANKLIN NATURAL RESOURCES C	0.00	1.15	0.00	1.15	90.0	1.04
12/11/2017	MARKHAM, JASON	FRANKLIN NATURAL RESOURCES C	0.00	3.95	0.00	3.95	90.0	3.56
12/11/2017	MARINI, ZAREFA	FRANKLIN RISING DIVIDENDS A	0.00	0.28	0.00	0.28	90.0	0.25
12/11/2017	MAHER, KEIRA	FRANKLIN RISING DIVIDENDS A	0.00	1.46	0.00	1.46	90.0	1.31
12/11/2017	MAHER, MAIGHDLIN	FRANKLIN RISING DIVIDENDS A	0.00	2.43	0.00	2.43	90.0	2.19
12/11/2017	HEILMANN, MAUREEN	FRANKLIN RISING DIVIDENDS A	0.00	1.39	0.00	1.39	90.0	1.25
12/11/2017	EBERHARD, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	6.86	0.00	6.86	90.0	6.17
12/11/2017	BEDARD, MARY	FRANKLIN RISING DIVIDENDS C	0.00	10.85	0.00	10.85	90.0	9.77
12/11/2017	SCACCIA, KELLY	FRANKLIN RISING DIVIDENDS C	0.00	13.27	0.00	13.27	90.0	11.94
12/11/2017	HENKE, FRANK	FRANKLIN RISING DIVIDENDS C	0.00	14.34	0.00	14.34	90.0	12.91
12/11/2017	HOLMES, JACK PATRICK	FRANKLIN RISING DIVIDENDS C	0.00	1.87	0.00	1.87	90.0	1.68
12/11/2017	FRASER, SHARON	FRANKLIN RISING DIVIDENDS C	0.00	25.02	0.00	25.02	90.0	22.52
12/11/2017	DAVIS, ALTON	FRANKLIN RISING DIVIDENDS C	0.00	21.20	0.00	21.20	90.0	19.08
12/11/2017	COLASUONNO, RALPH	FRANKLIN RISING DIVIDENDS C	0.00	24.98	0.00	24.98	90.0	22.48
12/11/2017	MAES, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	14.84	0.00	14.84	90.0	13.36
12/11/2017	LUJAN, DEBORAH	FRANKLIN RISING DIVIDENDS C	0.00	23.35	0.00	23.35	90.0	21.02
12/11/2017	LUJAN-LABADIE, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	7.53	0.00	7.53	90.0	6.78
12/11/2017	LUJAN-LABADIE, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	29.03	0.00	29.03	90.0	26.13
12/11/2017	JAMES, THOMAS	FRANKLIN RISING DIVIDENDS C	0.00	11.37	0.00	11.37	90.0	10.23

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/11/2017	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	7.17	0.00	7.17	90.0	6.45
12/11/2017	PEVOS, DEBRA	FRANKLIN RISING DIVIDENDS C	0.00	11.94	0.00	11.94	90.0	10.75
12/11/2017	MCCRACKEN, ROBERT	FRANKLIN RISING DIVIDENDS C	0.00	9.71	0.00	9.71	90.0	8.74
12/11/2017	DILAY, DENISE	FRANKLIN RISING DIVIDENDS C	0.00	14.07	0.00	14.07	90.0	12.66
12/11/2017	KOTT, DIANE	FRANKLIN RISING DIVIDENDS C	0.00	24.36	0.00	24.36	90.0	21.92
12/11/2017	KOTT, DIANE	FRANKLIN RISING DIVIDENDS C	0.00	10.91	0.00	10.91	90.0	9.82
12/11/2017	PALMERI, SAMUEL	FRANKLIN RISING DIVIDENDS C	0.00	15.80	0.00	15.80	90.0	14.22
12/11/2017	PALMERI, BEVERLY	FRANKLIN RISING DIVIDENDS C	0.00	17.26	0.00	17.26	90.0	15.53
12/11/2017	TAYLOR, JAMIE	FRANKLIN RISING DIVIDENDS C	0.00	25.86	0.00	25.86	90.0	23.27
12/11/2017	TAYLOR, JAMIE	FRANKLIN RISING DIVIDENDS C	0.00	1.04	0.00	1.04	90.0	0.94
12/11/2017	LOUGHLIN, DENNIS	FRANKLIN RISING DIVIDENDS C	0.00	22.72	0.00	22.72	90.0	20.45
12/11/2017	STONE, JANE	FRANKLIN RISING DIVIDENDS C	0.00	6.54	0.00	6.54	90.0	5.89
12/11/2017	STONE, EDWARD	FRANKLIN RISING DIVIDENDS C	0.00	12.94	0.00	12.94	90.0	11.65
12/11/2017	STONE, EDWARD	FRANKLIN RISING DIVIDENDS C	0.00	6.30	0.00	6.30	90.0	5.67
12/11/2017	O'CONNOR, HOLLY	FRANKLIN RISING DIVIDENDS C	0.00	7.36	0.00	7.36	90.0	6.62
12/11/2017	O'DONNELL, JUDITH	FRANKLIN RISING DIVIDENDS C	0.00	8.05	0.00	8.05	90.0	7.25
12/11/2017	MARIN REV TRUST, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	58.31	0.00	58.31	90.0	52.48
12/11/2017	PIZZO, PIETRO	FRANKLIN RISING DIVIDENDS C	0.00	2.80	0.00	2.80	90.0	2.52
12/11/2017	PIZZO, REBECCA	FRANKLIN RISING DIVIDENDS C	0.00	7.57	0.00	7.57	90.0	6.81
12/11/2017	PIZZO, SALVATORE	FRANKLIN RISING DIVIDENDS C	0.00	5.19	0.00	5.19	90.0	4.67
12/11/2017	PIZZO, SALVATORE	FRANKLIN RISING DIVIDENDS C	0.00	2.48	0.00	2.48	90.0	2.23
12/11/2017	FOSTER SP TRUST, PATRICIA	FRANKLIN RISING DIVIDENDS C	0.00	18.54	0.00	18.54	90.0	16.69
12/11/2017	KNOPF, KATHRYN	FRANKLIN RISING DIVIDENDS C	0.00	4.87	0.00	4.87	90.0	4.38
12/11/2017	NUNEZ TRUST, LYNDA	FRANKLIN RISING DIVIDENDS C	0.00	42.32	0.00	42.32	90.0	38.09
12/11/2017	HICKSON, MARK	FRANKLIN RISING DIVIDENDS C	0.00	33.30	0.00	33.30	90.0	29.97
12/11/2017	BUREK, ROSANNE	FRANKLIN RISING DIVIDENDS C	0.00	21.55	0.00	21.55	90.0	19.40
12/11/2017	PALMER, ROBERT	FRANKLIN RISING DIVIDENDS C	0.00	10.74	0.00	10.74	90.0	9.67
12/11/2017	PALMER, TODD	FRANKLIN RISING DIVIDENDS C	0.00	27.71	0.00	27.71	90.0	24.94
12/11/2017	RUSSO, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	14.25	0.00	14.25	90.0	12.83
12/11/2017	BOLUS, ELLA	FRANKLIN RISING DIVIDENDS C	0.00	36.23	0.00	36.23	90.0	32.61
12/11/2017	RUSSO, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	14.03	0.00	14.03	90.0	12.63
12/11/2017	ALLEN, MARY	FRANKLIN RISING DIVIDENDS C	0.00	11.60	0.00	11.60	90.0	10.44
12/11/2017	KESSOR, LAURA	FRANKLIN RISING DIVIDENDS C	0.00	24.08	0.00	24.08	90.0	21.67

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/11/2017	POUPORE, JOHN	FRANKLIN RISING DIVIDENDS C	0.00	19.20	0.00	19.20	90.0	17.28
12/11/2017	PALMERI, DANIEL	FRANKLIN RISING DIVIDENDS C	0.00	1.53	0.00	1.53	90.0	1.38
12/11/2017	BUSSELL, JEFFREY	FRANKLIN RISING DIVIDENDS C	0.00	2.38	0.00	2.38	90.0	2.14
12/11/2017	PALMERI, PAUL	FRANKLIN RISING DIVIDENDS C	0.00	10.23	0.00	10.23	90.0	9.21
12/11/2017	MAHER, HENRY	FRANKLIN RISING DIVIDENDS C	0.00	8.53	0.00	8.53	90.0	7.68
12/11/2017	MAHER, HENRY	FRANKLIN RISING DIVIDENDS C	0.00	59.82	0.00	59.82	90.0	53.84
12/11/2017	JACK, KELLY	FRANKLIN RISING DIVIDENDS C	0.00	6.49	0.00	6.49	90.0	5.84
12/11/2017	QUSSAR, JAMES	FRANKLIN RISING DIVIDENDS C	0.00	4.35	0.00	4.35	90.0	3.92
12/11/2017	STOKES, RICHARD	FRANKLIN RISING DIVIDENDS C	0.00	4.39	0.00	4.39	90.0	3.95
12/11/2017	MAHER TRUST, MARY	FRANKLIN RISING DIVIDENDS C	0.00	29.49	0.00	29.49	90.0	26.54
12/11/2017	PAGANO, MAUREEN	FRANKLIN RISING DIVIDENDS C	0.00	8.48	0.00	8.48	90.0	7.63
12/11/2017	MAHER, OWEN	FRANKLIN RISING DIVIDENDS C	0.00	5.49	0.00	5.49	90.0	4.94
12/11/2017	PALMER, TODD	FRANKLIN RISING DIVIDENDS C	0.00	0.04	0.00	0.04	90.0	0.04
12/11/2017	SCHOOLEY, MEGHAN	FRANKLIN RISING DIVIDENDS C	0.00	0.03	0.00	0.03	90.0	0.03
12/11/2017	DE FIORE, LAWRENCE	FRANKLIN RISING DIVIDENDS C	0.00	0.02	0.00	0.02	90.0	0.02
12/11/2017	ZETTEL, ANTHONY	FRANKLIN RISING DIVIDENDS C	0.00	0.04	0.00	0.04	90.0	0.04
12/11/2017	ANTHONY, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	9.38	0.00	9.38	90.0	8.44
12/11/2017	MARKHAM, JASON	FRANKLIN RISING DIVIDENDS C	0.00	14.62	0.00	14.62	90.0	13.16
12/11/2017	HEIDLOFF, JENNIFER	FRANKLIN RISING DIVIDENDS C	0.00	4.48	0.00	4.48	90.0	4.03
12/11/2017	HICKSON, ANDREW	FRANKLIN RISING DIVIDENDS C	0.00	17.33	0.00	17.33	90.0	15.60
12/11/2017	HICKSON, ANDREW	FRANKLIN RISING DIVIDENDS C	0.00	26.32	0.00	26.32	90.0	23.69
12/11/2017	SIMON, MICHAEL	FRANKLIN RISING DIVIDENDS C	0.00	20.00	0.00	20.00	90.0	18.00
12/11/2017	SIMON, MICHAEL	FRANKLIN RISING DIVIDENDS C	0.00	9.74	0.00	9.74	90.0	8.77
12/11/2017	SCHWARTZENBERGER, THOMAS	FRANKLIN RISING DIVIDENDS C	0.00	15.72	0.00	15.72	90.0	14.15
12/11/2017	LINDSEY, BARBARA	FRANKLIN RISING DIVIDENDS C	0.00	11.60	0.00	11.60	90.0	10.44
12/11/2017	CORNWELL, VICTORIA	FRANKLIN RISING DIVIDENDS C	0.00	11.10	0.00	11.10	90.0	9.99
12/11/2017	DARR, CRAIG	FRANKLIN RISING DIVIDENDS C	0.00	15.52	0.00	15.52	90.0	13.97
12/11/2017	ANTHONY, NICHOLAS	FRANKLIN RISING DIVIDENDS C	0.00	11.53	0.00	11.53	90.0	10.38
12/11/2017	BUREK, CANDACE	FRANKLIN RISING DIVIDENDS C	0.00	6.97	0.00	6.97	90.0	6.27
12/11/2017	FLEURY, DAVID	FRANKLIN RISING DIVIDENDS C	0.00	3.06	0.00	3.06	90.0	2.75
12/11/2017	HOPPE, KYLE	FRANKLIN RISING DIVIDENDS C	0.00	14.86	0.00	14.86	90.0	13.37
12/11/2017	BJERK JR, JOHN	FRANKLIN RISING DIVIDENDS C	0.00	10.39	0.00	10.39	90.0	9.35
12/11/2017	PLASTIRAS, JULIANA	FRANKLIN RISING DIVIDENDS C	0.00	12.98	0.00	12.98	90.0	11.68

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<u>DIRECT TRANSACTIONS</u>								
PRODUCT CATEGORY: MUTUAL FUNDS								
12/11/2017	MARKHAM, ELIZABETH	FRANKLIN RISING DIVIDENDS C	0.00	5.75	0.00	5.75	90.0	5.18
12/11/2017	BALDWIN, JASON	FRANKLIN RISING DIVIDENDS C	0.00	8.14	0.00	8.14	90.0	7.33
12/19/2017	KOTT, DIANE	FRANKLIN RISING DIVIDENDS C	5,000.00	20.00	0.00	20.00	90.0	18.00
12/11/2017	PAGANO, MAUREEN	FRANKLIN SMALL CAP VALUE C	0.00	5.20	0.00	5.20	90.0	4.68
12/11/2017	PROKOP, STANLEY	FRANKLIN STRATEGIC INCOME C	0.00	77.55	0.00	77.55	90.0	69.80
12/11/2017	DAVIS, ALTON	FRANKLIN UTILITIES C	0.00	13.10	0.00	13.10	90.0	11.79
12/11/2017	MAHER, HENRY	FRANKLIN UTILITIES C	0.00	22.73	0.00	22.73	90.0	20.46
12/11/2017	PAGANO, MAUREEN	FRANKLIN UTILITIES C	0.00	3.93	0.00	3.93	90.0	3.54
12/11/2017	O'CONNOR, AARON	FRANKLIN UTILITIES C	0.00	4.04	0.00	4.04	90.0	3.64
12/11/2017	ZANONI, CARRIE	FT FOUNDING FDS ALLOC C	0.00	5.43	0.00	5.43	90.0	4.89
12/11/2017	GLINES, DAVID	FT FOUNDING FDS ALLOC C	0.00	2.92	0.00	2.92	90.0	2.63
12/11/2017	GLINES, WILBERT	FT FOUNDING FDS ALLOC C	0.00	8.20	0.00	8.20	90.0	7.38
12/18/2017	DE FIORE, LAWRENCE	FT FOUNDING FUNDS ALLOC A	365.20	10.23	0.00	10.23	90.0	9.21
12/18/2017	DE FIORE, LAWRENCE	FT FOUNDING FUNDS ALLOC A	79.16	2.22	0.00	2.22	90.0	2.00
12/18/2017	DE FIORE, LAWRENCE	FT MUTUAL BEACON FUND A	78.26	2.19	0.00	2.19	90.0	1.97
12/18/2017	DE FIORE, LAWRENCE	FT MUTUAL BEACON FUND A	16.96	0.47	0.00	0.47	90.0	0.42
12/11/2017	HOLMES, KAYLA	IVY ASSET STRATEGY FUND CLASS A	0.00	0.30	0.00	0.30	90.0	0.27
12/11/2017	HOLMES, JACK PATRICK	IVY ASSET STRATEGY FUND CLASS A	0.00	0.34	0.00	0.34	90.0	0.31
12/11/2017	MAHER, MAIGHDLIN	IVY ASSET STRATEGY FUND CLASS A	0.00	0.28	0.00	0.28	90.0	0.25
12/11/2017	MAHER, HENRY	IVY ASSET STRATEGY FUND CLASS A	0.00	6.80	0.00	6.80	90.0	6.12
12/11/2017	MAHER, OWEN	LORD ABBETT AFFILIATED A	0.00	1.40	0.00	1.40	90.0	1.26
12/11/2017	KORESKY, JOHN	LORD ABBETT EQUITY TR CALIBRTD LARG	0.00	28.38	0.00	28.38	90.0	25.54
12/11/2017	HOLMES, KAYLA	LORD ABBETT FUNDAMENTAL EQUITY CL C	0.00	1.43	0.00	1.43	90.0	1.29
12/11/2017	NORRIS, CHRISTOPHER	LORD ABBETT INCOME FUND CL C	0.00	21.92	0.00	21.92	90.0	19.73
12/11/2017	MARIN REV TRUST, NICHOLAS	LORD ABBETT SECS TR VALUE OPPTYS FD	0.00	22.57	0.00	22.57	90.0	20.31
12/11/2017	BUSSELL, JEFFREY	LORD ABBETT SECS TR VALUE OPPTYS FD	0.00	6.44	0.00	6.44	90.0	5.80
12/11/2017	MAHER, HENRY	MAINSTAY MAP A	0.00	14.48	0.00	14.48	90.0	13.03
12/11/2017	HOLMES, JACK PATRICK	MFS RESEARCH INTL A	0.00	0.23	0.00	0.23	90.0	0.21
12/11/2017	HOLMES, JACK PATRICK	MFS SER TR I RESEARCH INTL FD CL B	0.00	0.34	0.00	0.34	90.0	0.31
12/11/2017	HOLMES, JACK PATRICK	OPPENHEIMER CAPITAL APPRECIATION A	0.00	0.40	0.00	0.40	90.0	0.36
12/11/2017	BUREK, ROSANNE	OPPENHEIMER ROCHESTER NATIONAL MUNI	0.00	1.79	0.00	1.79	90.0	1.61
12/01/2017	BELONGIE, GINGER	PIMCO REAL RETURN C	7,982.00	20.00	0.00	20.00	90.0	18.00
12/27/2017	MOTOR CITY COMPUTER	PIONEER BOND FUND A	72.50	0.73	0.00	0.73	90.0	0.66

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/11/2017	MAHER, HENRY	PIONEER MID CAP VALUE A	0.00	8.12	0.00	8.12	90.0	7.31
12/11/2017	GILLESPIE, HEATHER	ROYCE PENNSYLVANIA MUTUAL	0.00	17.67	0.00	17.67	90.0	15.90
12/11/2017	MARINI, ZAREFA	TEMPLETON DEVELOPING MKTS A	0.00	0.08	0.00	0.08	90.0	0.07
12/11/2017	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	24.12	0.00	24.12	90.0	21.71
12/11/2017	PIASCIK, SHAWN	TEMPLETON EMERGING MARKETS SMALLCAP	0.00	28.83	0.00	28.83	90.0	25.95
12/11/2017	PALMERI, PAUL	TEMPLETON GLOBAL BOND A	0.00	1.90	0.00	1.90	90.0	1.71
12/11/2017	EBERHARD, DAVID	TEMPLETON GLOBAL BOND C	0.00	6.90	0.00	6.90	90.0	6.21
12/11/2017	GUMBLETON, CARRIE	TEMPLETON GLOBAL BOND C	0.00	5.53	0.00	5.53	90.0	4.98
12/11/2017	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON GLOBAL BOND C	0.00	15.48	0.00	15.48	90.0	13.93
12/11/2017	SCHWARTZENBERGER, THOMAS	TEMPLETON GLOBAL BOND C	0.00	1.44	0.00	1.44	90.0	1.30
12/11/2017	MARIN REV TRUST, NICHOLAS	TEMPLETON GLOBAL BOND C	0.00	11.49	0.00	11.49	90.0	10.34
12/11/2017	NUNEZ TRUST, LYNDIA	TEMPLETON GLOBAL BOND C	0.00	6.08	0.00	6.08	90.0	5.47
12/11/2017	QUSSAR, KELLY	TEMPLETON GLOBAL BOND C	0.00	3.11	0.00	3.11	90.0	2.80
12/11/2017	BANNICK, ROBERT	TEMPLETON GLOBAL BOND C	0.00	5.89	0.00	5.89	90.0	5.30
12/11/2017	GUMBLETON, CARRIE	TEMPLETON GROWTH C	0.00	11.82	0.00	11.82	90.0	10.64
12/11/2017	ZANONI, CARRIE	TEMPLETON GROWTH C	0.00	4.96	0.00	4.96	90.0	4.46
12/11/2017	SCHWARTZENBERGER, THOMAS	TEMPLETON GROWTH C	0.00	2.32	0.00	2.32	90.0	2.09
12/11/2017	PIZZO, SALVATORE	TEMPLETON GROWTH C	0.00	5.16	0.00	5.16	90.0	4.64
12/11/2017	MARKHAM, JASON	TEMPLETON GROWTH C	0.00	9.75	0.00	9.75	90.0	8.78
12/11/2017	BANNICK, ROBERT	TEMPLETON GROWTH C	0.00	1.74	0.00	1.74	90.0	1.57
12/11/2017	KEYES LIV TRUST, MURIEL WATSON	TEMPLETON INCOME TR GLOBAL TOTAL RE	0.00	12.94	0.00	12.94	90.0	11.65
12/11/2017	SIMON, MICHAEL	TRANSAMERICA AA GROWTH C	0.00	8.79	0.00	8.79	90.0	7.91
12/11/2017	GUMBLETON, CARRIE	TRANSAMERICA AA MOD GROWTH C	0.00	16.50	0.00	16.50	90.0	14.85
12/11/2017	PIASCIK, SHAWN	TRANSAMERICA AA MOD GROWTH C	0.00	37.53	0.00	37.53	90.0	33.78
12/11/2017	JACK, STANLEY	TRANSAMERICA AA MOD GROWTH C	0.00	2.34	0.00	2.34	90.0	2.11
12/11/2017	LOUGHLIN, DENNIS	TRANSAMERICA AA MOD GROWTH C	0.00	13.21	0.00	13.21	90.0	11.89
12/11/2017	BELONGIE, GINGER	TRANSAMERICA AA MODERATE C	0.00	11.29	0.00	11.29	90.0	10.16
12/11/2017	O'DONNELL, JUDITH	TRANSAMERICA AA MODERATE C	0.00	8.12	0.00	8.12	90.0	7.31
12/11/2017	PIZZO, PIETRO	TRANSAMERICA AA MODERATE C	0.00	8.12	0.00	8.12	90.0	7.31
12/11/2017	PIZZO, MICHELE	TRANSAMERICA AA MODERATE C	0.00	0.12	0.00	0.12	90.0	0.11
12/11/2017	PIZZO, FRANCESCO	TRANSAMERICA AA MODERATE C	0.00	8.33	0.00	8.33	90.0	7.50
12/11/2017	BANNICK, ROBERT	TRANSAMERICA AA MODERATE C	0.00	7.76	0.00	7.76	90.0	6.98
12/11/2017	MARSACK, KAREN	TRANSAMERICA AA MODERATE C	0.00	1.47	0.00	1.47	90.0	1.32

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
12/11/2017	ROGERS, NICOLE	VOYA CORP LEADERS 100 A	0.00	2.55	0.00	2.55	90.0	2.30
12/11/2017	MAHER, HENRY	VOYA INTL VALUE EQUITY A	0.00	10.51	0.00	10.51	90.0	9.46
* MUTUAL FUNDS SUBTOTAL *			\$13,704.30	\$5,254.77	\$0.00	\$5,254.77		\$4,729.54
<u>PRODUCT CATEGORY: STOCKS</u>								
11/29/2017	MAHER, JAMES	AMAZON COM INC	11,657.80	0.00	22.00	-22.00	90.0	-19.80
12/01/2017	GLINES, CRAIG	MIMEDX GROUP INC	7,735.88	50.00	22.00	28.00	90.0	25.20
11/30/2017	BAUMGART, ROY MARK	TIER REIT INC COM	15,899.35	22.00	22.00	0.00	90.0	0.00
* STOCKS SUBTOTAL *			\$35,293.03	\$72.00	\$66.00	\$6.00		\$5.40
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
01/03/2018	ATTENDANT CARE, 401K	GWL GRP ANNUITY 401K	223,019.06	142.06	0.00	142.06	90.0	127.85
01/03/2018	RACHWAL, BARTON	GWL GRP ANNUITY 401K	376,864.58	160.04	0.00	160.04	90.0	144.04
01/03/2018	GURSTEN, KOLTONOW	GWL NET ASSET VALUE 401K	7,837,910.06	1,664.21	0.00	1,664.21	90.0	1,497.79
01/03/2018	CHRISTENSEN PLLC, DAVID	GWL NET ASSET VALUE 401K	465,427.41	197.65	0.00	197.65	90.0	177.89
01/03/2018	RACHWAL PC, BARTON	GWL NET ASSET VALUE 401K	100,084.09	21.25	0.00	21.25	90.0	19.13
12/31/2017	CARROLL, EILEEN	JNL ELITE ACCESS W/ LIQUIDITY	36,070.52	30.06	0.00	30.06	90.0	27.05
12/31/2017	NUNEZ, LYNDA	JNL ELITE ACCESS W/ LIQUIDITY	124,190.59	103.49	0.00	103.49	90.0	93.14
12/31/2017	CARROLL, JEROME	JNL PERSPECTIVE II 04/14	68,842.41	14.34	0.00	14.34	90.0	12.91
12/31/2017	TREVINO, PATRICIA	JNL PERSPECTIVE II 04/14	58,319.87	12.15	0.00	12.15	90.0	10.94
12/31/2017	RACHWAL, BARTON	JNL PERSPECTIVE II 05/05	186,307.32	38.81	0.00	38.81	90.0	34.93
12/31/2017	MAHER, HENRY	JNL PERSPECTIVE II 05/05	109,012.46	45.42	0.00	45.42	90.0	40.88
12/31/2017	HICKSON, ANDREW	JNL PERSPECTIVE II 05/05	50,922.53	21.22	0.00	21.22	90.0	19.10
12/31/2017	VEIT, BEVERLY	JNL PERSPECTIVE L	20,016.19	16.68	0.00	16.68	90.0	15.01
12/31/2017	PALMERI, BEVERLY	JNL PERSPECTIVE L	103,330.25	86.11	0.00	86.11	90.0	77.50
12/31/2017	FRASER, SHARON	JNL PERSPECTIVE L	44,235.54	36.86	0.00	36.86	90.0	33.17
12/31/2017	TAYLOR, JAMIE	JNL PERSPECTIVE L	46,430.02	38.69	0.00	38.69	90.0	34.82
12/31/2017	FLOWERS, EDWARD	JNL PERSPECTIVE L	153,260.40	127.72	0.00	127.72	90.0	114.95
12/31/2017	STOKES, RICHARD	JNL PERSPECTIVE L	84,809.93	70.67	0.00	70.67	90.0	63.60
12/31/2017	STONE, EDWARD	JNL PERSPECTIVE L	93,556.51	77.96	0.00	77.96	90.0	70.16
12/31/2017	PALMERI, SAMUEL	JNL PERSPECTIVE L	108,231.59	90.19	0.00	90.19	90.0	81.17
12/31/2017	STEINMETZ JR, JAMES	JNL PERSPECTIVE L	113,236.78	94.36	0.00	94.36	90.0	84.92
12/31/2017	VITALE, PETER	JNL PERSPECTIVE L	143,891.49	119.91	0.00	119.91	90.0	107.92
12/31/2017	BROEREN, ANTHONY	JNL PERSPECTIVE L	196,814.20	164.01	0.00	164.01	90.0	147.61

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2017	KRALIK, GEORGEANNA	JNL PERSPECTIVE L	119,159.13	99.30	0.00	99.30	90.0	89.37
12/31/2017	CORNWELL, VICTORIA	JNL PERSPECTIVE L	213,422.91	177.85	0.00	177.85	90.0	160.07
12/31/2017	MAHER, VARIOUS	LINCOLN BENEFIT LIFE	0.00	1,198.66	0.00	1,198.66	90.0	1,078.79
12/31/2017	HARRIS, JANE	PRU 74429E487	289,401.23	832.03	0.00	832.03	90.0	748.83
12/31/2017	YURIK, DEBRA	PRU AS ADVISOR PLAN III	38,894.89	24.31	0.00	24.31	90.0	21.88
12/31/2017	YURIK, DEBRA	PRU AS ADVISOR PLAN III	38,894.89	24.31	0.00	24.31	90.0	21.88
12/31/2017	BERARD, LISA	PRU AS APEX II	74,399.16	46.50	0.00	46.50	90.0	41.85
12/31/2017	BERARD, LISA	PRU AS APEX II	74,399.16	186.00	0.00	186.00	90.0	167.40
12/31/2017	LIU REVOCABLE TRUST, ALEX	PRU AS APEX II	138,926.98	347.32	0.00	347.32	90.0	312.59
12/31/2017	LIU REVOCABLE TRUST, ALEX	PRU AS APEX II	138,926.98	86.83	0.00	86.83	90.0	78.15
12/31/2017	TROKIC, MEVLIDA	PRU AS APEX II	207,192.41	517.98	0.00	517.98	90.0	466.18
12/31/2017	TROKIC, MEVLIDA	PRU AS APEX II	207,192.41	129.50	0.00	129.50	90.0	116.55
12/31/2017	LUJAN, DEBORAH	PRU AS APEX II	106,990.06	267.48	0.00	267.48	90.0	240.73
12/31/2017	LUJAN, DEBORAH	PRU AS APEX II	106,990.06	66.87	0.00	66.87	90.0	60.18
12/31/2017	VITALE, PETER	PRU AS APEX II	142,181.86	355.45	0.00	355.45	90.0	319.91
12/31/2017	VITALE, PETER	PRU AS APEX II	142,181.86	88.86	0.00	88.86	90.0	79.97
12/31/2017	HARRISON, SHARI	PRU AS APEX II	30,925.35	77.31	0.00	77.31	90.0	69.58
12/31/2017	HARRISON, SHARI	PRU AS APEX II	30,925.35	19.33	0.00	19.33	90.0	17.40
12/31/2017	FINLEY, RENEATHIA	PRU AS APEX II	6,259.14	15.65	0.00	15.65	90.0	14.09
12/31/2017	FINLEY, RENEATHIA	PRU AS APEX II	6,259.14	3.91	0.00	3.91	90.0	3.52
12/31/2017	ELSE, KATHERINE	PRU AS APEX II	107,479.95	268.70	0.00	268.70	90.0	241.83
12/31/2017	ELSE, KATHERINE	PRU AS APEX II	107,479.95	67.17	0.00	67.17	90.0	60.45
12/31/2017	JACK, LINDA	PRU AS APEX II	63,189.52	157.97	0.00	157.97	90.0	142.17
12/31/2017	JACK, LINDA	PRU AS APEX II	63,189.52	39.49	0.00	39.49	90.0	35.54
12/31/2017	ZERVOS JR, ARISTEDES	PRU AS APEX II	811,198.43	2,028.00	0.00	2,028.00	90.0	1,825.20
12/31/2017	ZERVOS JR, ARISTEDES	PRU AS APEX II	811,198.43	507.00	0.00	507.00	90.0	456.30
12/31/2017	PALMERI, BEVERLY	PRU AS APEX II	322,729.92	806.82	0.00	806.82	90.0	726.14
12/31/2017	PALMERI, BEVERLY	PRU AS APEX II	322,729.92	201.71	0.00	201.71	90.0	181.54
12/31/2017	GILLESPIE, HEATHER	PRU AS APEX II	135,564.80	338.91	0.00	338.91	90.0	305.02
12/31/2017	GILLESPIE, HEATHER	PRU AS APEX II	135,564.80	84.73	0.00	84.73	90.0	76.26
12/31/2017	BARNES, TAI	PRU AS APEX II	15,874.32	39.69	0.00	39.69	90.0	35.72
12/31/2017	BARNES, TAI	PRU AS APEX II	15,874.32	9.92	0.00	9.92	90.0	8.93
12/31/2017	PIASCIK, SHAWN	PRU AS APEX II	105,217.63	263.04	0.00	263.04	90.0	236.74

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2017	PIASCIK, SHAWN	PRU AS APEX II	105,217.63	65.76	0.00	65.76	90.0	59.18
12/31/2017	O'DONNELL, JUDITH	PRU AS APEX II	184,379.06	460.95	0.00	460.95	90.0	414.86
12/31/2017	O'DONNELL, JUDITH	PRU AS APEX II	184,379.06	115.24	0.00	115.24	90.0	103.72
12/31/2017	FRASER, SHARON	PRU AS APEX II	39,841.10	99.60	0.00	99.60	90.0	89.64
12/31/2017	FRASER, SHARON	PRU AS APEX II	39,841.10	24.90	0.00	24.90	90.0	22.41
12/31/2017	SCHWARTZENBERGER, THOMAS	PRU AS APEX II	31,449.99	78.62	0.00	78.62	90.0	70.76
12/31/2017	SCHWARTZENBERGER, THOMAS	PRU AS APEX II	31,449.99	19.66	0.00	19.66	90.0	17.69
12/31/2017	MAHER TRUST, MARY	PRU AS APEX II	168,684.57	421.71	0.00	421.71	90.0	379.54
12/31/2017	MAHER TRUST, MARY	PRU AS APEX II	168,684.57	105.43	0.00	105.43	90.0	94.89
12/31/2017	FLEURY, DAVID	PRU AS APEX II	116,009.81	290.02	0.00	290.02	90.0	261.02
12/31/2017	FLEURY, DAVID	PRU AS APEX II	116,009.81	72.51	0.00	72.51	90.0	65.26
12/31/2017	QUEDNAU, KELLY	PRU AS APEX II	14,487.61	36.22	0.00	36.22	90.0	32.60
12/31/2017	QUEDNAU, KELLY	PRU AS APEX II	14,487.61	9.05	0.00	9.05	90.0	8.15
12/31/2017	FISCHER, SHIRLEY	PRU AS APEX II	51,085.33	31.93	0.00	31.93	90.0	28.74
12/31/2017	STONE, ELAINE	PRU AS APEX II	211,677.32	529.19	0.00	529.19	90.0	476.27
12/31/2017	STONE, ELAINE	PRU AS APEX II	211,677.32	132.30	0.00	132.30	90.0	119.07
12/31/2017	MARSHALL TRUST, SANDRA	PRU AS APEX II	84,201.84	210.50	0.00	210.50	90.0	189.45
12/31/2017	MARSHALL TRUST, SANDRA	PRU AS APEX II	84,201.84	52.63	0.00	52.63	90.0	47.37
12/31/2017	MARSHALL, SANDRA	PRU AS APEX II	178,687.86	446.72	0.00	446.72	90.0	402.05
12/31/2017	MARSHALL, SANDRA	PRU AS APEX II	178,687.86	111.68	0.00	111.68	90.0	100.51
12/31/2017	BANNICK, ROBERT	PRU AS APEX II	134,784.68	336.96	0.00	336.96	90.0	303.26
12/31/2017	BANNICK, ROBERT	PRU AS APEX II	134,784.68	84.24	0.00	84.24	90.0	75.82
12/31/2017	ELIAS, PAUL	PRU AS APEX II	69,372.07	173.43	0.00	173.43	90.0	156.09
12/31/2017	ELIAS, PAUL	PRU AS APEX II	69,372.07	43.36	0.00	43.36	90.0	39.02
12/31/2017	YURIK, DEBRA	PRU AS APEX II	88,165.31	220.41	0.00	220.41	90.0	198.37
12/31/2017	YURIK, DEBRA	PRU AS APEX II	88,165.31	55.10	0.00	55.10	90.0	49.59
12/31/2017	EDUCATIONAL TECHNICAL MAR	PRU AS APEX II	16,471.67	41.18	0.00	41.18	90.0	37.06
12/31/2017	EDUCATIONAL TECHNICAL MAR	PRU AS APEX II	16,471.67	10.29	0.00	10.29	90.0	9.26
12/31/2017	GILMORE, JOHNSON	PRU AS APEX II	1,707.52	4.27	0.00	4.27	90.0	3.84
12/31/2017	GILMORE, JOHNSON	PRU AS APEX II	1,707.52	1.07	0.00	1.07	90.0	0.96
12/31/2017	NUNEZ, LYNDA	PRU AS APEX II	674,012.23	1,263.77	0.00	1,263.77	90.0	1,137.39
12/31/2017	NUNEZ, LYNDA	PRU AS APEX II	674,012.23	421.26	0.00	421.26	90.0	379.13
12/31/2017	MARSACK, KAREN	PRU AS APEX II	508,739.13	1,271.85	0.00	1,271.85	90.0	1,144.67

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<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2017	MARSACK, KAREN	PRU AS APEX II	508,739.13	317.96	0.00	317.96	90.0	286.16
12/31/2017	MARSACK, KAREN	PRU AS APEX II	73,164.47	182.91	0.00	182.91	90.0	164.62
12/31/2017	MARSACK, KAREN	PRU AS APEX II	73,164.47	45.73	0.00	45.73	90.0	41.16
12/31/2017	KOLOMYJEC TRUST, LESLIE	PRU AS APEX II	70,869.52	177.17	0.00	177.17	90.0	159.45
12/31/2017	KOLOMYJEC TRUST, LESLIE	PRU AS APEX II	70,869.52	44.29	0.00	44.29	90.0	39.86
12/31/2017	VIAR, DAVID	PRU AS APEX II	20,686.96	51.72	0.00	51.72	90.0	46.55
12/31/2017	VIAR, DAVID	PRU AS APEX II	20,686.96	12.93	0.00	12.93	90.0	11.64
12/31/2017	FISCHER, SHIRLEY	PRU AS APEX II	51,085.33	127.71	0.00	127.71	90.0	114.94
12/31/2017	TACCONELLI, JOHN	PRU AS APEX II	53,967.31	134.92	0.00	134.92	90.0	121.43
12/31/2017	TACCONELLI, JOHN	PRU AS APEX II	53,967.31	33.73	0.00	33.73	90.0	30.36
12/31/2017	TACCONELLI, JOHN	PRU AS APEX II	110,438.09	276.10	0.00	276.10	90.0	248.49
12/31/2017	TACCONELLI, JOHN	PRU AS APEX II	110,438.09	69.02	0.00	69.02	90.0	62.12
12/31/2017	WALSH, LORRAINE	PRU DEFINED INCOME	76,291.54	190.73	0.00	190.73	90.0	171.66
12/31/2017	ALLEN, MARY	PRU DEFINED INCOME	164,025.20	410.06	0.00	410.06	90.0	369.05
12/31/2017	HICKS, CURTIS	PRU DEFINED INCOME	53,309.36	133.27	0.00	133.27	90.0	119.94
12/31/2017	LEFKA, KAYE	PRU DEFINED INCOME	53,424.52	133.56	0.00	133.56	90.0	120.20
12/31/2017	DURST, BARBARA	PRU DEFINED INCOME	252,218.29	630.55	0.00	630.55	90.0	567.50
12/31/2017	MARKHAM, PATRICK	PRU DEFINED INCOME	121,654.63	304.14	0.00	304.14	90.0	273.73
12/31/2017	ELSE, KATHERINE	PRU DEFINED INCOME	21,645.65	54.11	0.00	54.11	90.0	48.70
12/31/2017	WHEELER, WILLARD	PRU DEFINED INCOME	554,310.39	1,385.78	0.00	1,385.78	90.0	1,247.20
12/31/2017	FOSTER SP TRUST, PATRICIA	PRU DEFINED INCOME	109,274.63	273.19	0.00	273.19	90.0	245.87
12/31/2017	LINDSEY, BARBARA	PRU DEFINED INCOME	67,767.86	169.42	0.00	169.42	90.0	152.48
12/31/2017	MARSACK, KAREN	PRU DEFINED INCOME	149,417.40	373.54	0.00	373.54	90.0	336.19
12/31/2017	TAYLOR, JAMIE	PRU DEFINED INCOME	163,064.50	407.66	0.00	407.66	90.0	366.89
12/31/2017	DINON, MARY	PRU PREMIER RETIREMENT C	83,142.03	207.86	0.00	207.86	90.0	187.07
12/31/2017	PALMERI, SAMUEL	PRU PREMIER RETIREMENT L	114,273.98	285.68	0.00	285.68	90.0	257.11
12/31/2017	STONE, EDWARD	PRU PREMIER RETIREMENT L	218,085.33	545.21	0.00	545.21	90.0	490.69
12/31/2017	HATHAWAY, RICHARD	PRU PREMIER RETIREMENT L	33,989.85	84.97	0.00	84.97	90.0	76.47
12/31/2017	MARSHALL, CAROLYN	PRU PREMIER RETIREMENT L	180,076.22	450.19	0.00	450.19	90.0	405.17
12/31/2017	IBARRA, JAIME	PRU PREMIER RETIREMENT L	133,560.78	333.90	0.00	333.90	90.0	300.51
12/31/2017	PASSALACQUA, PAULA	PRU PREMIER RETIREMENT L	24,501.32	61.25	0.00	61.25	90.0	55.13
12/31/2017	STEINMETZ JR, JAMES	PRU PREMIER RETIREMENT L	168,594.19	421.49	0.00	421.49	90.0	379.34
12/31/2017	WALSH, LORRAINE	PRU PREMIER RETIREMENT L	98,144.13	245.36	0.00	245.36	90.0	220.82

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<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2017	EDWARDS, ROSALIND	PRU PREMIER RETIREMENT L	101,266.41	253.17	0.00	253.17	90.0	227.85
12/31/2017	HAJDAS, MICHAEL	PRU PREMIER RETIREMENT L	51,544.03	128.86	0.00	128.86	90.0	115.97
12/31/2017	WALSH, LORRAINE	PRU PREMIER RETIREMENT L	192,952.90	482.38	0.00	482.38	90.0	434.14
12/31/2017	WHEELER, WILLARD	PRU PREMIER RETIREMENT L	540,925.56	1,352.31	0.00	1,352.31	90.0	1,217.08
12/31/2017	MAHER, HENRY	PRU PREMIER RETIREMENT L	469,115.72	1,172.79	0.00	1,172.79	90.0	1,055.51
12/31/2017	NUNEZ, LYNDIA	PRU PREMIER RETIREMENT L	80,257.83	200.64	0.00	200.64	90.0	180.58
12/31/2017	FAIRLEY, JAMES	PRU PREMIER RETIREMENT L	197,131.32	492.83	0.00	492.83	90.0	443.55
12/31/2017	HANNAH, EDWARD	PRU PREMIER RETIREMENT L	297,141.60	742.85	0.00	742.85	90.0	668.57
12/31/2017	ELSE, KATHERINE	PRU PREMIER RETIREMENT L	19,546.84	48.87	0.00	48.87	90.0	43.98
12/31/2017	TAYLOR, JAMIE	PRU PREMIER RETIREMENT L	761,247.72	1,903.12	0.00	1,903.12	90.0	1,712.81
12/31/2017	CARROLL, EILEEN	PRU PREMIER RETIREMENT L	73,354.07	183.39	0.00	183.39	90.0	165.05
12/31/2017	MCCRACKEN, ROBERT	PRU PREMIER RETIREMENT L	150,300.31	375.75	0.00	375.75	90.0	338.18
12/31/2017	BUSSELL, JEFFREY	PRU PREMIER RETIREMENT L	99,124.43	247.81	0.00	247.81	90.0	223.03
12/31/2017	PALMERI, PAUL	PRU PREMIER RETIREMENT L	40,243.01	100.61	0.00	100.61	90.0	90.55
12/31/2017	O'DONNELL, JUDITH	PRU PREMIER RETIREMENT L	57,747.37	144.37	0.00	144.37	90.0	129.93
12/31/2017	PAGANO, MAUREEN	PRU PREMIER RETIREMENT L	134,737.88	336.84	0.00	336.84	90.0	303.16
12/31/2017	PAGANO, SALVATORE	PRU PREMIER RETIREMENT L	106,661.25	266.65	0.00	266.65	90.0	239.99
12/31/2017	FOREMAN, CYNTHIA	PRU PREMIER RETIREMENT L	70,395.89	175.99	0.00	175.99	90.0	158.39
12/31/2017	PARIC, ELVEDINA	PRU PREMIER RETIREMENT L	99,871.37	249.68	0.00	249.68	90.0	224.71
12/31/2017	MARSHALL, IRONA	PRU PREMIER RETIREMENT L	151,568.30	378.92	0.00	378.92	90.0	341.03
12/31/2017	BIXBY, STEVEN	PRU PREMIER RETIREMENT L	237,339.32	593.35	0.00	593.35	90.0	534.02
12/31/2017	MARSHALL, DEMETRIA	PRU PREMIER RETIREMENT L	55,321.33	138.30	0.00	138.30	90.0	124.47
12/31/2017	LINDSEY, CHARLES	PRU PREMIER RETIREMENT L	83,540.41	208.85	0.00	208.85	90.0	187.97
12/31/2017	PEVOS, DEBRA	PRU PREMIER RETIREMENT L	226,721.25	566.80	0.00	566.80	90.0	510.12
12/31/2017	KOTT, DIANE	PRU PREMIER RETIREMENT L	156,412.94	391.03	0.00	391.03	90.0	351.93
12/31/2017	DILAY, DANIEL	PRU PREMIER RETIREMENT L	12,656.22	31.64	0.00	31.64	90.0	28.48
12/31/2017	WOLLACK, ROBERT	PRU PREMIER RETIREMENT L	81,602.86	204.01	0.00	204.01	90.0	183.61
12/31/2017	DILAY, DENISE	PRU PREMIER RETIREMENT L	277,491.60	693.73	0.00	693.73	90.0	624.36
12/31/2017	MARSHALL, RONALD	PRU XTRA CREDIT EIGHT	347,943.67	217.46	0.00	217.46	90.0	195.71
12/31/2017	MARSHALL, RONALD	PRU XTRA CREDIT EIGHT	347,943.67	652.39	0.00	652.39	90.0	587.15
12/31/2017	JACK, STANLEY	PRU XTRA CREDIT EIGHT	271,010.14	338.76	0.00	338.76	90.0	304.88
12/31/2017	JACK, STANLEY	PRU XTRA CREDIT EIGHT	271,010.14	169.38	0.00	169.38	90.0	152.44
12/31/2017	MARSHALL, DONALD	PRU XTRA CREDIT EIGHT	20,889.64	13.06	0.00	13.06	90.0	11.75

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
12/31/2017	MARSHALL, DONALD	PRU XTRA CREDIT EIGHT	20,889.64	39.17	0.00	39.17	90.0	35.25
		* VARIABLE ANNUITIES SUBTOTAL *	\$30,733,261.25	\$42,066.14	\$0.00	\$42,066.14		\$37,859.58
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
/ /	MOTOR CITY COMPUTER	OPPENHEIMER DEV MARKETS (N)	0.00	68.99	0.00	68.99	90.0	62.09
01/03/2018	MOTOR CITY COMPUTER	PIONEER BOND FUND A	0.00	25.40	0.00	25.40	90.0	22.86
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$94.39	\$0.00	\$94.39		\$84.95
		*** DIRECT TRANSACTIONS TOTAL ***	\$30,783,283.58	\$47,497.58	\$66.00	\$47,431.58		\$42,688.77
<u>ADJUSTMENTS</u>								
01/15/2018		*AI INSIGHT: CURRENT MONTH	0.00					-7.00
01/15/2018		*ALBRIDGE: LIGHT USER	0.00					-76.00
01/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
01/15/2018		*GLOBAL RELAY: WEBSITE	0.00					-15.00
01/15/2018		*RBC: ADMIN WEALTH STATION	0.00					-25.00
01/15/2018		*RBC: CURRENT MONTH	0.00					-25.00
01/15/2018		*RBC: ORDER ENTRY	0.00					-65.00
01/15/2018		*RBC: WRITE OFF - O' CONNER	0.00					-34.99
01/15/2018		*TECHNOLOGY: ADM - ZAREFA MARINI	0.00					-130.00
01/15/2018		*TECHNOLOGY: CURRENT MONTH BASIC	0.00					-180.00
		*** ADJUSTMENTS TOTAL ***	\$0.00	\$0.00	\$0.00	\$0.00		\$-857.99

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

BROKER COMMISSION TOTALS

Broker Transactions	\$42,688.77
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-857.99
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$41,830.78
Year-to-date Gross	\$47,497.58
Year-to-date Earnings	\$42,688.77