

All Companies  
**STATEMENT**  
**May 20, 2018**

**NICK DREW - LPCM**  
12721 Sawmill Rd  
Suite 105  
The Woodlands, TX 77380

**BROKER #: 3169**  
BRANCH: LIBERTY PARTNERS CAP

COMMISSION STATEMENT for NICK DREW - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/04/2018 #		IAFEES	-214.50	150.15	95.0	0.00	142.64	0.00	142.64
04/04/2018 #		IAFEES	-364.65	279.57	95.0	0.00	265.59	0.00	265.59
04/05/2018 #	HENDERSON, JUSTIN	IAFEES	-527.19	404.18	95.0	0.00	383.97	0.00	383.97
04/10/2018 #	HENDERSON, JUSTIN	IAFEES	-155.65	126.59	95.0	0.00	120.26	0.00	120.26
04/12/2018 #	HENDERSON, JUSTIN	IAFEES	-268.78	218.61	95.0	0.00	207.68	0.00	207.68
04/13/2018 #	BEDORE, ROBERT	IAFEES	-720.01	552.01	95.0	0.00	524.41	0.00	524.41
04/13/2018 #		IAFEES	-1,137.57	925.22	95.0	0.00	878.96	0.00	878.96
04/13/2018 #		IAFEES	-84.10	58.87	95.0	0.00	55.93	0.00	55.93
04/16/2018 #	KNIGHT, MARISA	IAFEES	-317.80	222.46	95.0	0.00	211.34	0.00	211.34
04/16/2018 #	WOLFE, JENNIFER	IAFEES	-58.35	23.34	95.0	0.00	22.17	0.00	22.17
04/16/2018 #	THROWER, NANCY	IAFEES	-539.30	413.47	95.0	0.00	392.80	0.00	392.80
04/16/2018 #	HENDERSON, JUSTIN	IAFEES	-730.32	559.91	95.0	0.00	531.91	0.00	531.91
04/16/2018 #	BROWN, DAVID	IAFEES	-843.66	646.81	95.0	0.00	614.47	0.00	614.47
04/16/2018 #	FARMER, IAN	IAFEES	-142.99	100.10	95.0	0.00	95.10	0.00	95.10
04/16/2018 #	DEFREESE, KEVIN	IAFEES	-714.56	547.83	95.0	0.00	520.44	0.00	520.44
04/16/2018 #	WHITE, JESSE	IAFEES	-803.76	616.22	95.0	0.00	585.41	0.00	585.41
04/16/2018 #	BROWN, KAREN	IAFEES	-2,661.79	2,253.65	95.0	0.00	2,140.97	0.00	2,140.97
04/16/2018 #	SCHEER, DENNIS	IAFEES	-1,473.44	1,134.55	95.0	0.00	1,077.82	0.00	1,077.82
04/16/2018 #		IAFEES	-673.30	516.19	95.0	0.00	490.38	0.00	490.38
04/16/2018 #	BUSKING, RYAN	IAFEES	-478.91	367.16	95.0	0.00	348.80	0.00	348.80
04/16/2018 #	BROWN, DAVID	IAFEES	-2,043.50	1,730.17	95.0	0.00	1,643.66	0.00	1,643.66
04/16/2018 #	KARBER, GREG	IAFEES	-1,379.87	1,122.30	95.0	0.00	1,066.19	0.00	1,066.19
04/16/2018 #	KARBER, JEANNIE	IAFEES	-512.64	393.02	95.0	0.00	373.37	0.00	373.37
04/16/2018 #	KARBER, GREG	IAFEES	-138.84	97.18	95.0	0.00	92.32	0.00	92.32
04/16/2018 #	SPARKS, TERRY	IAFEES	-1,283.05	1,043.54	95.0	0.00	991.36	0.00	991.36
04/16/2018 #	RIEGLER, SALLEE	IAFEES	-34.42	-27.54	95.0	0.00	-26.16	0.00	-26.16
04/16/2018 #	LYNCH, DEBORAH	IAFEES	-398.98	305.88	95.0	0.00	290.59	0.00	290.59
04/16/2018 #		IAFEES	-1,329.92	1,081.67	95.0	0.00	1,027.59	0.00	1,027.59

COMMISSION STATEMENT for NICK DREW - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>									
04/16/2018 #	HENDERSON, JUSTIN	IAFEES	-810.69	621.53	95.0	0.00	590.45	0.00	590.45
04/16/2018 #	HARDY, LYNN	IAFEES	-165.18	115.63	95.0	0.00	109.85	0.00	109.85
04/16/2018 #	BUSKING, RYAN	IAFEES	-745.57	571.61	95.0	0.00	543.03	0.00	543.03
04/16/2018 #	SHOAFF, JOHN	IAFEES	-1,412.42	979.28	95.0	0.00	930.32	0.00	930.32
04/16/2018 #	POUPARD, GREGORY	IAFEES	-1,595.45	1,297.64	95.0	0.00	1,232.76	0.00	1,232.76
04/16/2018 #	KARBER, JEANNIE	IAFEES	-3,035.34	2,569.92	95.0	0.00	2,441.42	0.00	2,441.42
04/16/2018 #	KNIGHT, MARISA	IAFEES	-215.05	150.53	95.0	0.00	143.00	0.00	143.00
04/16/2018 #	SHOAFF, JOHN	IAFEES	-4,289.95	3,203.17	95.0	0.00	3,043.01	0.00	3,043.01
04/16/2018 #	SMITH, SHARON	IAFEES	-770.67	590.85	95.0	0.00	561.31	0.00	561.31
04/18/2018 #		IAFEES	-422.49	323.91	95.0	0.00	307.71	0.00	307.71
04/18/2018 #		IAFEES	-347.19	266.18	95.0	0.00	252.87	0.00	252.87
04/23/2018 #		IAFEES	-452.06	346.58	95.0	0.00	329.25	0.00	329.25
04/23/2018 #		IAFEES	-273.73	191.61	95.0	0.00	182.03	0.00	182.03
04/27/2018 #		IAFEES	48.24	-36.98	95.0	0.00	-35.13	0.00	-35.13
04/27/2018 #		IAFEES	120.80	-98.25	95.0	0.00	-93.34	0.00	-93.34
<b>* MUTUAL FUNDS SUBTOTAL *</b>			<b>\$-34,398.60</b>	<b>\$26,956.32</b>		<b>\$0.00</b>	<b>\$25,608.51</b>	<b>\$0.00</b>	<b>\$25,608.51</b>
<b><u>PRODUCT CATEGORY: RIA</u></b>									
/ /	#514278 KRISHNASWAMY,	TCA PLANNING FEES	0.00	5,500.00	95.0	0.00	5,225.00	0.00	5,225.00
/ /	#514140 HOUSER, JAQUELINE	TCA PLANNING FEES	0.00	5,000.00	95.0	0.00	4,750.00	0.00	4,750.00
/ /	#514073 MORVANT, GEORGE	TCA PLANNING FEES	0.00	4,000.00	95.0	0.00	3,800.00	0.00	3,800.00
/ /	#514073 MORVANT, GEORGE	TCA PLATFORM FEES.	6,418.58	8.02	95.0	0.00	7.62	0.00	7.62
/ /	#514095 LAZRINE, SANDRA	TCA PLATFORM FEES.	63,042.64	78.80	95.0	0.00	74.86	0.00	74.86
/ /	#514126 LAZRINE, DONALD	TCA PLATFORM FEES.	54,929.81	68.66	95.0	0.00	65.23	0.00	65.23
/ /	#514177 BOLIVAR, ANDRES	TCA PLATFORM FEES.	29,413.86	36.77	95.0	0.00	34.93	0.00	34.93
/ /	#514202 BRAKEFIELD, JONMARC	TCA PLATFORM FEES.	17,305.38	21.63	95.0	0.00	20.55	0.00	20.55
/ /	#514211 GRANT, BARBARA	TCA PLATFORM FEES.	4,353.63	5.44	95.0	0.00	5.17	0.00	5.17
/ /	#514275 BOLIVAR, ANDRES	TCA PLATFORM FEES.	8,946.78	9.32	95.0	0.00	8.85	0.00	8.85
/ /	#539323 GODEJOHN-BAIRD,	TCA PLATFORM FEES.	13,066.41	16.33	95.0	0.00	15.51	0.00	15.51
/ /	#540040 ANDERSON, JOHNNY	TCA PLATFORM FEES.	250,821.16	313.53	95.0	0.00	297.85	0.00	297.85
/ /	#542443 ES FAMILY TRUST	TCA PLATFORM FEES.	115,895.75	144.87	95.0	0.00	137.63	0.00	137.63
/ /	#547013 SAILORS, JAMES	TCA PLATFORM FEES.	9,327.45	11.66	95.0	0.00	11.08	0.00	11.08
/ /	#593725 TCA DREW VAR	TCA PLATFORM FEES.	55,240.15	34.53	95.0	0.00	32.80	0.00	32.80
/ /	#615636 TCA DREW VAR	TCA PLATFORM FEES.	92,003.93	115.01	95.0	0.00	109.26	0.00	109.26
/ /	#514067 BROWN, KAREN	WOODLANDS AGGRESSIVE	36,258.11	45.32	95.0	0.00	43.05	0.00	43.05
/ /	#514071 HOPPERS, KIMBERLY	WOODLANDS AGGRESSIVE	177,287.24	221.61	95.0	0.00	210.53	0.00	210.53

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
/ /	#514074 PALMER, BRIAN	WOODLANDS AGGRESSIVE	38,279.68	51.04	95.0	0.00	48.49	0.00	48.49
/ /	#514090 MORGAN, TIFFANY	WOODLANDS AGGRESSIVE	18,527.92	23.16	95.0	0.00	22.00	0.00	22.00
/ /	#514102 HAVARD, NICK	WOODLANDS AGGRESSIVE	78,941.81	98.68	95.0	0.00	93.75	0.00	93.75
/ /	#514104 REDDICK, MICHELLE	WOODLANDS AGGRESSIVE	83,769.47	104.71	95.0	0.00	99.47	0.00	99.47
/ /	#514110 KANNAPPAN, JOTHI	WOODLANDS AGGRESSIVE	30,041.32	37.55	95.0	0.00	35.67	0.00	35.67
/ /	#514112 ADDICKS, BRIANNE	WOODLANDS AGGRESSIVE	48,762.47	60.95	95.0	0.00	57.90	0.00	57.90
/ /	#514119 MURILLO, VICTOR	WOODLANDS AGGRESSIVE	15,984.44	19.98	95.0	0.00	18.98	0.00	18.98
/ /	#514134 MONTEGUT, TONI	WOODLANDS AGGRESSIVE	127,609.95	159.51	95.0	0.00	151.53	0.00	151.53
/ /	#514139 JACKSON, JENNIFER	WOODLANDS AGGRESSIVE	23,402.58	29.25	95.0	0.00	27.79	0.00	27.79
/ /	#514145 DURCHHOLZ, BRADLEY	WOODLANDS AGGRESSIVE	83,166.55	103.96	95.0	0.00	98.76	0.00	98.76
/ /	#514149 VINEYARD, MARK	WOODLANDS AGGRESSIVE	23,345.34	29.18	95.0	0.00	27.72	0.00	27.72
/ /	#514156 MANGIAMELI, PAUL	WOODLANDS AGGRESSIVE	56,468.85	70.59	95.0	0.00	67.06	0.00	67.06
/ /	#514158 MONTEGUT, MAX	WOODLANDS AGGRESSIVE	40,833.39	51.04	95.0	0.00	48.49	0.00	48.49
/ /	#514161 RAYBURN, CHRISTOPHER	WOODLANDS AGGRESSIVE	49,281.92	61.60	95.0	0.00	58.52	0.00	58.52
/ /	#514166 BROWN, CHRISTIAN	WOODLANDS AGGRESSIVE	228,765.02	285.96	95.0	0.00	271.66	0.00	271.66
/ /	#514170 MC ELHOE, GEORGE	WOODLANDS AGGRESSIVE	1,901.40	4.17	95.0	0.00	3.96	0.00	3.96
/ /	#514192 ATKINSON, JEFFRY	WOODLANDS AGGRESSIVE	29,592.40	30.83	95.0	0.00	29.29	0.00	29.29
/ /	#514220 KRISHNASWAMY,	WOODLANDS AGGRESSIVE	8,226.54	10.28	95.0	0.00	9.77	0.00	9.77
/ /	#514237 DURCHHOLZ, BRADLEY	WOODLANDS AGGRESSIVE	145,584.67	181.98	95.0	0.00	172.88	0.00	172.88
/ /	#514240 BROWN, CHRISTIAN	WOODLANDS AGGRESSIVE	15,573.95	19.47	95.0	0.00	18.50	0.00	18.50
/ /	#514241 MONTEGUT, MAX	WOODLANDS AGGRESSIVE	90,457.45	113.07	95.0	0.00	107.42	0.00	107.42
/ /	#514248 STOVALL, BLAKE	WOODLANDS AGGRESSIVE	14,082.01	17.60	95.0	0.00	16.72	0.00	16.72
/ /	#514251 JACKSON, CLIFF	WOODLANDS AGGRESSIVE	42,247.40	52.81	95.0	0.00	50.17	0.00	50.17
/ /	#514256 JACKSON, JENNIFER	WOODLANDS AGGRESSIVE	35,547.21	44.43	95.0	0.00	42.21	0.00	42.21
/ /	#514259 STOVALL, JANET	WOODLANDS AGGRESSIVE	46,911.33	58.64	95.0	0.00	55.71	0.00	55.71
/ /	#514266 MANGIAMELI, ROSWITHA	WOODLANDS AGGRESSIVE	11,823.44	14.78	95.0	0.00	14.04	0.00	14.04
/ /	#514270 MANGIAMELI, PAUL	WOODLANDS AGGRESSIVE	19,809.93	24.76	95.0	0.00	23.52	0.00	23.52
/ /	#514278 KRISHNASWAMY,	WOODLANDS AGGRESSIVE	66,875.66	83.59	95.0	0.00	79.41	0.00	79.41
/ /	#514300 ATKINSON, JEFFRY	WOODLANDS AGGRESSIVE	10,417.43	13.02	95.0	0.00	12.37	0.00	12.37
/ /	#514305 RAYBURN, CHRISTINE	WOODLANDS AGGRESSIVE	275,451.16	344.31	95.0	0.00	327.09	0.00	327.09
/ /	#545632 MARTIN, BRANDON	WOODLANDS AGGRESSIVE	12,469.94	15.59	95.0	0.00	14.81	0.00	14.81
/ /	#547695 SLAVIN, WYATT	WOODLANDS AGGRESSIVE	174,798.90	218.50	95.0	0.00	207.58	0.00	207.58
/ /	#514111 O'DONNELL, KATHY	WOODLANDS CONSERVATIVE	46,945.65	58.68	95.0	0.00	55.75	0.00	55.75
/ /	#514127 BRAKEFIELD, JONMARC	WOODLANDS CONSERVATIVE	65,821.39	82.28	95.0	0.00	78.17	0.00	78.17
/ /	#514167 O'DONNELL, JAMES	WOODLANDS CONSERVATIVE	234,530.52	293.16	95.0	0.00	278.50	0.00	278.50
/ /	#514202 BRAKEFIELD, JONMARC	WOODLANDS CONSERVATIVE	25,117.06	31.40	95.0	0.00	29.83	0.00	29.83

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: RIA</u>									
/ /	#514064	BRAKEFIELD, JONMARC	WOODLANDS MODERATE	13,892.02	17.37	95.0	0.00	16.50	16.50
/ /	#514068	STOVALL, BLAKE	WOODLANDS MODERATE	70,634.97	88.29	95.0	0.00	83.88	83.88
/ /	#514116	MANGIAMELI, ROSWITHA	WOODLANDS MODERATE	318,019.64	397.52	95.0	0.00	377.64	377.64
/ /	#514140	HOUSER, JAQUELINE	WOODLANDS MODERATE	165,140.68	206.43	95.0	0.00	196.11	196.11
/ /	#514294	FIRST J TRUST	WOODLANDS MODERATE	95,627.57	119.53	95.0	0.00	113.55	113.55
/ /	#514314	SMITH, KAREN	WOODLANDS MODERATE	4,143.36	5.18	95.0	0.00	4.92	4.92
/ /	#539323	GODEJOHN-BAIRD,	WOODSLAND NO OIL	44,549.87	55.69	95.0	0.00	52.91	52.91
05/01/2018	#514244	TCA DREW VAR	TCA PLATFORM FEE	15,115.98	-7.55	95.0	0.00	-7.55	-7.55
05/01/2018	#514262	TCA DREW VAR	TCA PLATFORM FEE	3,683.26	-1.84	95.0	0.00	-1.84	-1.84
05/01/2018	#514157	BEDORE, ROBERT	TCA PLATFORM FEE	464.22	-0.23	95.0	0.00	-0.23	-0.23
05/01/2018	#514269	TCA DREW VAR	TCA PLATFORM FEE	6,549.35	-3.27	95.0	0.00	-3.27	-3.27
05/01/2018	#547523	TCA DREW VAR	TCA PLATFORM FEE	4,262.40	-2.13	95.0	0.00	-2.13	-2.13
05/01/2018	#514253	TCA DREW VAR	TCA PLATFORM FEE	4,893.89	-2.44	95.0	0.00	-2.44	-2.44
05/01/2018	#659085		TCA PLATFORM FEE	12,496.99	-6.24	95.0	0.00	-6.24	-6.24
05/01/2018	#514303	GREATHOUSE,	TCA PLATFORM FEE	-26.94	0.01	95.0	0.00	0.01	0.01
05/01/2018	#575704	HENDERSON, MONICA	TCA PLATFORM FEE	33,819.41	-16.90	95.0	0.00	-16.90	-16.90
05/01/2018	#514079	TCA DREW VAR	TCA PLATFORM FEE	5,882.01	-2.94	95.0	0.00	-2.94	-2.94
05/01/2018	#514219	TCA DREW VAR	TCA PLATFORM FEE	1,180.04	-0.59	95.0	0.00	-0.59	-0.59
05/01/2018	#514225	LAZRINE, DONALD	TCA PLATFORM FEE	5,336.39	-2.66	95.0	0.00	-2.66	-2.66
05/01/2018	#514235	TCA DREW VAR	TCA PLATFORM FEE	186.63	-0.09	95.0	0.00	-0.09	-0.09
05/01/2018	#514218	TCA DREW VAR	TCA PLATFORM FEE	2,283.61	-1.14	95.0	0.00	-1.14	-1.14
05/01/2018	#514228	TCA DREW VAR	TCA PLATFORM FEE	20,901.82	-10.45	95.0	0.00	-10.45	-10.45
05/01/2018	#514243	TCA DREW VAR	TCA PLATFORM FEE	12,408.53	-6.20	95.0	0.00	-6.20	-6.20
05/01/2018	#514254	VINEYARD, MARK	TCA PLATFORM FEE	6,035.50	-3.01	95.0	0.00	-3.01	-3.01
05/01/2018	#514264	TCA DREW VAR	TCA PLATFORM FEE	8,631.48	-4.31	95.0	0.00	-4.31	-4.31
05/01/2018	#514073	MORVANT, GEORGE	TCA PLATFORM FEES.	6,418.58	-1.87	95.0	0.00	-1.87	-1.87
05/01/2018	#514095	LAZRINE, SANDRA	TCA PLATFORM FEES.	63,042.64	-18.38	95.0	0.00	-18.38	-18.38
05/01/2018	#514126	LAZRINE, DONALD	TCA PLATFORM FEES.	54,929.81	-16.02	95.0	0.00	-16.02	-16.02
05/01/2018	#514177	BOLIVAR, ANDRES	TCA PLATFORM FEES.	29,413.86	-8.57	95.0	0.00	-8.57	-8.57
05/01/2018	#514202	BRAKEFIELD, JONMARC	TCA PLATFORM FEES.	17,305.38	-5.04	95.0	0.00	-5.04	-5.04
05/01/2018	#514211	GRANT, BARBARA	TCA PLATFORM FEES.	4,353.63	-1.26	95.0	0.00	-1.26	-1.26
05/01/2018	#514275	BOLIVAR, ANDRES	TCA PLATFORM FEES.	8,946.78	-2.60	95.0	0.00	-2.60	-2.60
05/01/2018	#539323	GODEJOHN-BAIRD,	TCA PLATFORM FEES.	13,066.41	-3.81	95.0	0.00	-3.81	-3.81
05/01/2018	#540040	ANDERSON, JOHNNY	TCA PLATFORM FEES.	250,821.16	-73.15	95.0	0.00	-73.15	-73.15
05/01/2018	#542443	ES FAMILY TRUST	TCA PLATFORM FEES.	115,895.75	-33.80	95.0	0.00	-33.80	-33.80
05/01/2018	#547013	SAILORS, JAMES	TCA PLATFORM FEES.	9,327.45	-2.72	95.0	0.00	-2.72	-2.72

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<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: RIA</u></b>									
05/01/2018	#593725	TCA DREW VAR	TCA PLATFORM FEES.	55,240.15	-16.11	95.0	0.00	-16.11	-16.11
05/01/2018	#615636	TCA DREW VAR	TCA PLATFORM FEES.	92,003.93	-26.83	95.0	0.00	-26.83	-26.83
05/01/2018	#514067	BROWN, KAREN	WOODLANDS AGGRESSIVE	36,258.11	-10.57	95.0	0.00	-10.57	-10.57
05/01/2018	#514071	HOPPERS, KIMBERLY	WOODLANDS AGGRESSIVE	177,287.24	-51.70	95.0	0.00	-51.70	-51.70
05/01/2018	#514074	PALMER, BRIAN	WOODLANDS AGGRESSIVE	38,279.68	-11.16	95.0	0.00	-11.16	-11.16
05/01/2018	#514090	MORGAN, TIFFANY	WOODLANDS AGGRESSIVE	18,527.92	-5.40	95.0	0.00	-5.40	-5.40
05/01/2018	#514102	HAVARD, NICK	WOODLANDS AGGRESSIVE	78,941.81	-23.02	95.0	0.00	-23.02	-23.02
05/01/2018	#514104	REDDICK, MICHELLE	WOODLANDS AGGRESSIVE	83,769.47	-24.43	95.0	0.00	-24.43	-24.43
05/01/2018	#514110	KANNAPPAN, JOTHI	WOODLANDS AGGRESSIVE	30,041.32	-8.76	95.0	0.00	-8.76	-8.76
05/01/2018	#514112	ADDICKS, BRIANNE	WOODLANDS AGGRESSIVE	48,762.47	-14.22	95.0	0.00	-14.22	-14.22
05/01/2018	#514119	MURILLO, VICTOR	WOODLANDS AGGRESSIVE	15,984.44	-4.66	95.0	0.00	-4.66	-4.66
05/01/2018	#514134	MONTEGUT, TONI	WOODLANDS AGGRESSIVE	127,609.95	-37.21	95.0	0.00	-37.21	-37.21
05/01/2018	#514139	JACKSON, JENNIFER	WOODLANDS AGGRESSIVE	23,402.58	-6.82	95.0	0.00	-6.82	-6.82
05/01/2018	#514145	DURCHHOLZ, BRADLEY	WOODLANDS AGGRESSIVE	83,166.55	-24.25	95.0	0.00	-24.25	-24.25
05/01/2018	#514149	VINEYARD, MARK	WOODLANDS AGGRESSIVE	23,345.34	-6.80	95.0	0.00	-6.80	-6.80
05/01/2018	#514156	MANGIAMELI, PAUL	WOODLANDS AGGRESSIVE	56,468.85	-16.47	95.0	0.00	-16.47	-16.47
05/01/2018	#514158	MONTEGUT, MAX	WOODLANDS AGGRESSIVE	40,833.39	-11.90	95.0	0.00	-11.90	-11.90
05/01/2018	#514161	RAYBURN, CHRISTOPHER	WOODLANDS AGGRESSIVE	49,281.92	-14.37	95.0	0.00	-14.37	-14.37
05/01/2018	#514166	BROWN, CHRISTIAN	WOODLANDS AGGRESSIVE	228,765.02	-66.72	95.0	0.00	-66.72	-66.72
05/01/2018	#514170	MC ELHOE, GEORGE	WOODLANDS AGGRESSIVE	1,901.40	-0.55	95.0	0.00	-0.55	-0.55
05/01/2018	#514192	ATKINSON, JEFFRY	WOODLANDS AGGRESSIVE	29,592.40	-8.63	95.0	0.00	-8.63	-8.63
05/01/2018	#514220	KRISHNASWAMY,	WOODLANDS AGGRESSIVE	8,226.54	-2.39	95.0	0.00	-2.39	-2.39
05/01/2018	#514237	DURCHHOLZ, BRADLEY	WOODLANDS AGGRESSIVE	145,584.67	-42.46	95.0	0.00	-42.46	-42.46
05/01/2018	#514240	BROWN, CHRISTIAN	WOODLANDS AGGRESSIVE	15,573.95	-4.54	95.0	0.00	-4.54	-4.54
05/01/2018	#514241	MONTEGUT, MAX	WOODLANDS AGGRESSIVE	90,457.45	-26.38	95.0	0.00	-26.38	-26.38
05/01/2018	#514248	STOVALL, BLAKE	WOODLANDS AGGRESSIVE	14,082.01	-4.10	95.0	0.00	-4.10	-4.10
05/01/2018	#514251	JACKSON, CLIFF	WOODLANDS AGGRESSIVE	42,247.40	-12.32	95.0	0.00	-12.32	-12.32
05/01/2018	#514256	JACKSON, JENNIFER	WOODLANDS AGGRESSIVE	35,547.21	-10.36	95.0	0.00	-10.36	-10.36
05/01/2018	#514259	STOVALL, JANET	WOODLANDS AGGRESSIVE	46,911.33	-13.68	95.0	0.00	-13.68	-13.68
05/01/2018	#514266	MANGIAMELI, ROSWITHA	WOODLANDS AGGRESSIVE	11,823.44	-3.44	95.0	0.00	-3.44	-3.44
05/01/2018	#514270	MANGIAMELI, PAUL	WOODLANDS AGGRESSIVE	19,809.93	-5.77	95.0	0.00	-5.77	-5.77
05/01/2018	#514278	KRISHNASWAMY,	WOODLANDS AGGRESSIVE	66,875.66	-19.50	95.0	0.00	-19.50	-19.50
05/01/2018	#514300	ATKINSON, JEFFRY	WOODLANDS AGGRESSIVE	10,417.43	-3.03	95.0	0.00	-3.03	-3.03
05/01/2018	#514305	RAYBURN, CHRISTINE	WOODLANDS AGGRESSIVE	275,451.16	-80.33	95.0	0.00	-80.33	-80.33
05/01/2018	#545632	MARTIN, BRANDON	WOODLANDS AGGRESSIVE	12,469.94	-3.63	95.0	0.00	-3.63	-3.63
05/01/2018	#547695	SLAVIN, WYATT	WOODLANDS AGGRESSIVE	174,798.90	-50.98	95.0	0.00	-50.98	-50.98

COMMISSION STATEMENT for NICK DREW - LPCM

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: RIA</u></b>									
05/01/2018	#514111	O'DONNELL, KATHY	WOODLANDS CONSERVATIVE	46,945.65	-13.69	95.0	0.00	-13.69	-13.69
05/01/2018	#514127	BRAKEFIELD, JONMARC	WOODLANDS CONSERVATIVE	65,821.39	-19.19	95.0	0.00	-19.19	-19.19
05/01/2018	#514167	O'DONNELL, JAMES	WOODLANDS CONSERVATIVE	234,530.52	-68.40	95.0	0.00	-68.40	-68.40
05/01/2018	#514202	BRAKEFIELD, JONMARC	WOODLANDS CONSERVATIVE	25,117.06	-7.32	95.0	0.00	-7.32	-7.32
05/01/2018	#514064	BRAKEFIELD, JONMARC	WOODLANDS MODERATE	13,892.02	-4.05	95.0	0.00	-4.05	-4.05
05/01/2018	#514068	STOVALL, BLAKE	WOODLANDS MODERATE	70,634.97	-20.60	95.0	0.00	-20.60	-20.60
05/01/2018	#514116	MANGIAMELI, ROSWITHA	WOODLANDS MODERATE	318,019.64	-92.75	95.0	0.00	-92.75	-92.75
05/01/2018	#514140	HOUSER, JAQUELINE	WOODLANDS MODERATE	165,140.68	-48.16	95.0	0.00	-48.16	-48.16
05/01/2018	#514294	FIRST J TRUST	WOODLANDS MODERATE	95,627.57	-27.89	95.0	0.00	-27.89	-27.89
05/01/2018	#514314	SMITH, KAREN	WOODLANDS MODERATE	4,143.36	-1.20	95.0	0.00	-1.20	-1.20
05/01/2018	#539323	GODEJOHN-BAIRD,	WOODSLAND NO OIL	44,549.87	-12.99	95.0	0.00	-12.99	-12.99
<b>* RIA SUBTOTAL *</b>				<b>\$8,079,474.85</b>	<b>\$18,193.09</b>	<b>\$0.00</b>	<b>\$17,221.99</b>	<b>\$0.00</b>	<b>\$17,221.99</b>
<b>*** BROKER TRANSACTIONS TOTAL ***</b>				<b>\$8,045,076.25</b>	<b>\$45,149.41</b>	<b>\$0.00</b>	<b>\$42,830.50</b>	<b>\$0.00</b>	<b>\$42,830.50</b>

**ADJUSTMENTS**

05/20/2018	MISCELLANEOUS: MISCELLANEOUS MISC	4,750.00
	CLIENT FEE	
05/20/2018	OUTSIDE MANAGEMENT FEES-OUTGOING:	3,800.00
	OUTSIDE MANAGEMENT FEES-OUTGOING	
<b>*** ADJUSTMENTS TOTAL ***</b>		<b>\$8,550.00</b>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$42,830.50
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$8,550.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

<b>Check Amount</b>	<b>\$51,380.50</b>
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