



COMMISSION STATEMENT

July 30, 2018

BERT GOLDBERG
1048 W. Myrna Lane
Tempe, AZ 85284

BROKER #: 698
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
07/05/2018	***-**-94IR - HALL-SPLENDORIO,	PIONEER FUND A	50.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: 06202691947	BUY 2 Units @31.1700						
		* MUTUAL FUNDS SUBTOTAL *	\$50.00	\$2.50	\$0.00	\$2.50		\$1.75
<u>PRODUCT CATEGORY: RIA</u>								
07/26/2018	***-**-84FB - SPORRER, SHIRLEY	LIFEMARK INVESTMENT ADVISORY FEE	46.04	46.04	0.00	46.04	70.0	32.23
	ACCOUNT #: 100806898	BUY 0 Units @0.0000						
07/26/2018	***-**-58FB - SMITH, RUTH	LIFEMARK INVESTMENT ADVISORY FEE	162.54	162.54	0.00	162.54	70.0	113.78
	ACCOUNT #: 100936653	BUY 0 Units @0.0000						
07/26/2018	***-**-84FB - SPORRER, SHIRLEY	LIFEMARK INVESTMENT ADVISORY FEE	174.96	174.96	0.00	174.96	70.0	122.47
	ACCOUNT #: 100806900	BUY 0 Units @0.0000						
07/26/2018	***-**-00FB - SPORRER, JERALD	LIFEMARK INVESTMENT ADVISORY FEE	207.11	207.11	0.00	207.11	70.0	144.98
	ACCOUNT #: 100806886	BUY 0 Units @0.0000						
07/26/2018	***-**-92FB - SAUDER FAMILY,	LIFEMARK INVESTMENT ADVISORY FEE	218.75	218.75	0.00	218.75	70.0	153.13
	ACCOUNT #: 101006690	BUY 0 Units @0.0000						
07/26/2018	***-**-0737 - SCHROEDER, JACK	LIFEMARK INVESTMENT ADVISORY FEE	343.68	343.68	0.00	343.68	70.0	240.58
	ACCOUNT #: 100793254	BUY 0 Units @0.0000						
07/26/2018	***-**-37FB - SCHROEDER, JACK	LIFEMARK INVESTMENT ADVISORY FEE	676.13	676.13	0.00	676.13	70.0	473.29
	ACCOUNT #: 100793241	BUY 0 Units @0.0000						
08/01/2018	***-**-80FB - CURD, NANCY	LIFEMARK INVESTMENT ADVISORY FEE	25.64	25.64	0.00	25.64	70.0	17.95
	ACCOUNT #: 925344088	BUY 0 Units @0.0000						
08/01/2018	***-**-33FB - AUDY, JAMES	LIFEMARK INVESTMENT ADVISORY FEE	168.22	168.22	0.00	168.22	70.0	117.75
	ACCOUNT #: 925433071	BUY 0 Units @0.0000						
07/30/2018	***-**-29FB - NORFLEET,	SYMMETRY RIA	14,060.00	35.15	0.00	35.15	70.0	24.61
	ACCOUNT #: 463641	BUY 0 Units @1.4060						
		* RIA SUBTOTAL *	\$16,083.07	\$2,058.22	\$0.00	\$2,058.22		\$1,440.77
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/31/2018	***-**-10TR - SAUDER TRUST	DEUTSCHE COMMUNICATI FUND-A	0.00	24.07	0.00	24.07	70.0	16.85
	ACCOUNT #: LSR010138	BUY 1 Units @0.0000						
07/31/2018	***-**-388I - SHIPMAN, M	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.06	0.00	0.06	70.0	0.04
	ACCOUNT #: LSR108812	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/31/2018	***-**-37TR - SCHROEDER TRUST,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.23	0.00	0.23	70.0	0.16
	ACCOUNT #: LSR004375	BUY 1 Units @0.0000						
07/31/2018	***-**-514I - BERGIN, JOSEPH	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.05	0.00	0.05	70.0	0.04
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						
07/31/2018	***-**-32IO - VASQUEZ, CHARLES	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR006530	BUY 1 Units @0.0000						
07/31/2018	***-**-24IO - DEWITT, TRACY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.02	0.00	0.02	70.0	0.01
	ACCOUNT #: LSR008966	BUY 1 Units @0.0000						
07/31/2018	***-**-29IO - NORFLEET,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.38	0.00	0.38	70.0	0.27
	ACCOUNT #: LSR010116	BUY 1 Units @0.0000						
07/31/2018	***-**-76IO - SMOAKE, DAVID	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.75	0.00	0.75	70.0	0.53
	ACCOUNT #: LSR011651	BUY 1 Units @0.0000						
07/31/2018	***-**-33IO - AUDY, JAMES	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	4.64	0.00	4.64	70.0	3.25
	ACCOUNT #: LSR012379	BUY 1 Units @0.0000						
07/31/2018	***-**-654I - ROSTAD, BARBARA	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR012426	BUY 1 Units @0.0000						
07/31/2018	***-**-3603 - BACA, RACHEL	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105074	BUY 1 Units @0.0000						
07/31/2018	***-**-0724 - STROMMESEN,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105090	BUY 1 Units @0.0000						
07/31/2018	***-**-1788 - STROMMESEN,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.07	0.00	0.07	70.0	0.05
	ACCOUNT #: LSR105104	BUY 1 Units @0.0000						
07/31/2018	***-**-0737 - SCHROEDER, JACK	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.42	0.00	0.42	70.0	0.29
	ACCOUNT #: LSR105112	BUY 1 Units @0.0000						
07/31/2018	***-**-1184 - SPORRER, SHIRLEY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
07/31/2018	***-**-184I - SPORRER, SHIRLEY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
07/31/2018	***-**-0400 - SPORRER, JERALD	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
07/31/2018	***-**-00IR - SPORRER, JERALD	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.05	0.00	0.05	70.0	0.04
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
07/31/2018	***-**-5594 - BISHOP, SHANNON	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.09	0.00	0.09	70.0	0.06
	ACCOUNT #: LSR105392	BUY 1 Units @0.0000						
07/31/2018	***-**-0437 - ALLEN, WAYNE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.00	0.00	0.00	70.0	0.00
	ACCOUNT #: LSR105414	BUY 1 Units @0.0000						
07/31/2018	***-**-9761 - MCCARTHY, NANCY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.09	0.00	0.09	70.0	0.06
	ACCOUNT #: LSR105490	BUY 1 Units @0.0000						
07/31/2018	***-**-9210 - SAUDER	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105538	BUY 1 Units @0.0000						
07/31/2018	***-**-0376 - ALLEN, MISTY	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.06	0.00	0.06	70.0	0.04
	ACCOUNT #: LSR105570	BUY 1 Units @0.0000						
07/31/2018	***-**-568J - MARSHALL, BRUCE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.64	0.00	0.64	70.0	0.45
	ACCOUNT #: LSR105600	BUY 1 Units @0.0000						
07/31/2018	***-**-0383 - MARSHALL,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.16	0.00	0.16	70.0	0.11
	ACCOUNT #: LSR105619	BUY 1 Units @0.0000						
07/31/2018	***-**-568I - MARSHALL, BRUCE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.09	0.00	1.09	70.0	0.76
	ACCOUNT #: LSR105627	BUY 1 Units @0.0000						
07/31/2018	***-**-5712 - RAMSEY, GUSSIE	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	1.84	0.00	1.84	70.0	1.29
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
07/31/2018	***-**-0237 - RAMSEY, WILLIAM	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.01	0.00	0.01	70.0	0.01
	ACCOUNT #: LSR105937	BUY 1 Units @0.0000						
07/31/2018	***-**-2425 - BRIAN N CALNAN,	FIDELITY GOVT MMKT DAILY MONEY CLAS	0.00	0.55	0.00	0.55	70.0	0.39
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
07/31/2018	***-**-2425 - BRIAN N CALNAN,	PL PORTFOLIO OPTIMIZATION MOD AGGRE	0.00	6.74	0.00	6.74	70.0	4.72
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
07/31/2018	***-**-514I - BERGIN, JOSEPH	PUTNAM ABSOLUTE RETURN 300 FUND CLA	0.00	0.36	0.00	0.36	70.0	0.25
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$42.43	\$0.00	\$42.43		\$29.73
*** BROKER TRANSACTIONS TOTAL ***			\$16,133.07	\$2,103.15	\$0.00	\$2,103.15		\$1,472.25



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$1,472.25
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$1,472.25
						Year-to-date Earnings		\$37,049.75