



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**February 28, 2017**

03/06/2017  
 Page No. 1

L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/FCCU  
 11617 Coldwater Road  
 Suite 103  
 Fort Wayne, IN 46845

BROKER #: L131  
 BRANCH: APPLE TREE FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: INTERVAL FUNDS</u></b>								
^01/30/2017	BURTNETTE, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	44.35	0.00	44.35	90.0	0.00
		* INTERVAL FUNDS SUBTOTAL *	\$0.00	\$44.35	\$0.00	\$44.35		\$0.00
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
^02/15/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	5.00	0.00	5.00	90.0	0.00
^02/17/2017	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	375.00	18.75	0.00	18.75	90.0	0.00
^02/15/2017	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	175.00	8.75	0.00	8.75	90.0	0.00
^02/17/2017	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	375.00	18.75	0.00	18.75	90.0	0.00
^02/10/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.50	0.00	0.50	90.0	0.00
^02/10/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.50	0.00	0.50	90.0	0.00
^02/10/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.39	0.00	0.39	90.0	0.00
^ / /	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.27	0.00	0.27	90.0	0.00
^02/10/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	1.19	0.00	1.19	90.0	0.00
^02/10/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	1.83	0.00	1.83	90.0	0.00
^02/10/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
^02/10/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	1.19	0.00	1.19	90.0	0.00
^02/14/2017	SITES, TEGAN	LORD ABBETT SHORT DURATION INCOME A	0.00	0.39	0.00	0.39	90.0	0.00
		* MUTUAL FUNDS SUBTOTAL *	\$1,246.75	\$58.70	\$0.00	\$58.70		\$0.00
		*** BROKER TRANSACTIONS TOTAL ***	\$1,246.75	\$103.05	\$0.00	\$103.05		\$0.00

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
 \*\* - Indicates adjustment is not included in Totals or Commissions  
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b>BROKER: L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ #209</b>								
02/15/2017	DORSETT, LARA	AM FUNDS AMERICAN BALANCED A	100.00	1.50	0.00	1.50	90.0	1.35
02/17/2017	KLINE, NANCY	AM FUNDS CAPITAL WORLD GRTH & INC A	375.00	5.63	0.00	5.63	90.0	5.07
02/15/2017	ROBERTSON, DOUGLAS	AM FUNDS GROWTH FUND OF AMERICA A	175.00	2.63	0.00	2.63	90.0	2.37
02/17/2017	KLINE, NANCY	AM FUNDS GROWTH FUND OF AMERICA A	375.00	5.63	0.00	5.63	90.0	5.07
02/10/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.15	0.00	0.15	90.0	0.14
02/10/2017	EGLY, KEITH	ASCENSUS INA BLACKROCK LG CAP VALUE	50.00	0.15	0.00	0.15	90.0	0.14
02/10/2017	LINN, JASON	ASCENSUS INA INTERNATIONAL EQ INDEX	8.25	0.12	0.00	0.12	90.0	0.11
/ /	LINN, JASON	ASCENSUS INA PIMCO TOTAL RETURN POR	0.00	0.08	0.00	0.08	90.0	0.07
02/10/2017	EGLY, KEITH	ASCENSUS-INA BLACKROCK LG CAP VALUE	25.00	0.36	0.00	0.36	90.0	0.32
02/10/2017	LINN, JASON	ASCENSUS-INA LG CAP INDEX PF CL A	38.50	0.55	0.00	0.55	90.0	0.50
02/10/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
02/10/2017	HINTON, SCOTT	ASCENSUS-INA LG CAP INDEX PF CL A	25.00	0.36	0.00	0.36	90.0	0.32
01/30/2017	BURTNETTE, PATRICIA	BLUEROCK TOTAL INC & REAL ESTATE FU	0.00	13.31	0.00	13.31	90.0	11.98
02/14/2017	SITES, TEGAN	LORD ABBETT SHORT DURATION INCOME A	0.00	0.12	0.00	0.12	90.0	0.11
<b>* L131-KEITH/JOE/FCCU LAYMAN/WIEGAND/ SUBTOTAL *</b>			<b>\$1,246.75</b>	<b>\$30.95</b>	<b>\$0.00</b>	<b>\$30.95</b>		<b>\$27.87</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$1,246.75</b>	<b>\$30.95</b>	<b>\$0.00</b>	<b>\$30.95</b>		<b>\$27.87</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$0.00
Split Transactions	\$27.87
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$61.30
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

<b>Balance Forward</b>	<b>\$89.17</b>
<b>Year-to-date Gross</b>	<b>\$233.16</b>
<b>Year-to-date Earnings</b>	<b>\$180.23</b>