R.F. Lafferty & Co., Inc.

COMMISSION STATEMENT

April 30, 2018

BROKER #: V03 A03

BRANCH: FULCRUM GROUP

JANICE GINSBURG

100 AQUEDUCT DRIVE

NORTH WALES, PA 19454

COMMISSION STATEMENT for JANICE GINSBURG

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		DDOVED T	ים אות כי	ACTIONS					
		BROKER 1	RANSA	ACTIONS					
PRODUCT CAT	regory: Mutual funds								
04/10/2018 75	5501483 SPILLER, HELEN	**GUGGENHEIM FDS TR	S	500.00	0.00	0.00	0.00	50.0	0.00
04/06/2018 75	5501836 FARKAS, RONALD	LOOMIS SAYLES GLOBAL BOND FUND RE	TA S	19,600.95	0.00	0.00	0.00	50.0	0.00
04/06/2018 75	5501837 FARKAS, MARILYN	FEDERATED FIXED INC SECS INC STRE	TE S	23,806.42	0.00	0.00	0.00	50.0	0.00
04/02/2018 75	5502168 LERNER, JEFFREY	AB INCOME FUND ADVISOR CLASS	S	11,143.20	0.00	0.00	0.00	50.0	0.00
04/02/2018 7	5502168 LERNER, JEFFREY	AMERICAN HIGH-INCOME TRUST-A	S	9,292.94	0.00	0.00	0.00	50.0	0.00
04/02/2018 7	5502168 LERNER, JEFFREY	THE BOND FUND OF AMERICA-A	S	9,870.50	0.00	0.00	0.00	50.0	0.00
04/12/2018 7	5502168 LERNER, JEFFREY	CAPITAL WORLD BOND FUND-A	S	4,347.31	0.00	0.00	0.00	50.0	0.00
04/04/2018 7	5502170 LERNER, LORI	AB INCOME FUND ADVISOR CLASS	S	11,128.93	0.00	0.00	0.00	50.0	0.00
04/04/2018 7	5502170 LERNER, LORI	AMERICAN HIGH-INCOME TRUST-A	S	9,302.08	0.00	0.00	0.00	50.0	0.00
04/04/2018 7	5502170 LERNER, LORI	CAPITAL WORLD BOND FUND-A	S	4,345.14	0.00	0.00	0.00	50.0	0.00
04/04/2018 75	5502170 LERNER, LORI	THE BOND FUND OF AMERICA-A	S	9,847.07	0.00	0.00	0.00	50.0	0.00
		* MUTUAL FUNDS SUBTOTA	L *	\$113,184.54	\$0.00	\$0.00	\$0.00		\$0.00
PRODUCT CAT	regory: stocks								
04/03/2018 7!	5501623 PELBERG, FREDERICK	PEPSICO INC	S	6,250.81	100.00	0.00	100.00	50.0	50.00
04/26/2018 7!	5501647 GINSBURG, JANICE	OPKO HEALTH INC	В	3,069.00	50.00	0.00	50.00	50.0	25.00
04/09/2018 7	5501655 KINSLEY, GEORGE	VODAFONE GROUP PLC SPONSORED ADR	NO B	1,312.65	50.00	0.00	50.00	50.0	25.00
03/29/2018 7!	5501659 PELBERG, STEVEN	EDITAS MEDICINE INC COM	В	886.41	50.00	0.00	50.00	50.0	25.00
04/04/2018 7!	5501739 STAUFFER, JUDITH	3M COMPANY	В	10,869.88	0.00	0.00	0.00	50.0	0.00
04/04/2018 7!	5501739 STAUFFER, JUDITH	ANADARKO PETROLEUM CORP	В	10,340.30	0.00	0.00	0.00	50.0	0.00
04/04/2018 7!	5501739 STAUFFER, JUDITH	BRISTOL MYERS SQUIBB CO	S	6,306.34	0.00	0.00	0.00	50.0	0.00
04/04/2018 7!	5501739 STAUFFER, JUDITH	KELLOGG COMPANY	В	13,003.32	0.00	0.00	0.00	50.0	0.00
04/04/2018 7!	5501739 STAUFFER, JUDITH	MEDTRONIC PLC COM	S	7,886.68	0.00	0.00	0.00	50.0	0.00
04/04/2018 7!	5501739 STAUFFER, JUDITH	UNITED NATURAL FOODS INC	S	8,732.04	0.00	0.00	0.00	50.0	0.00
04/04/2018 7	5501739 STAUFFER, JUDITH	VISA INC CL A COMMON STOCK	S	5,986.75	0.00	0.00	0.00	50.0	0.00
04/04/2018 7	5501739 STAUFFER, JUDITH	WALT DISNEY CO	В	10,078.50	0.00	0.00	0.00	50.0	0.00
04/09/2018 7!	5501824 ALANCRAIG, DIANE	FIRST TRUST EPRA/NAREIT DEVEL MKT	S S	831.11	0.00	0.00	0.00	50.0	0.00
04/09/2018 7	5501824 ALANCRAIG, DIANE	ISHARES 1-3 YEAR CREDIT BOND ETF	S	9,342.90	0.00	0.00	0.00	50.0	0.00
04/09/2018 7	5501824 ALANCRAIG, DIANE	ISHARES GLOBAL ENERGY ETF	S	1,599.88	0.00	0.00	0.00	50.0	0.00
04/09/2018 7	5501824 ALANCRAIG, DIANE	ISHARES MSCI GERMANY ETF	S	3,050.31	0.00	0.00	0.00	50.0	0.00
04/09/2018 75	5501824 ALANCRAIG, DIANE	ISHARES TIPS BOND ETF	S	2,368.22	0.00	0.00	0.00	50.0	0.00

INVESTMENT

TRADE DATE

CLIENT

	BROKER TRANSA	CTIONS					
PRODUCT CATEGORY: STOCKS							
04/09/2018 75501824 ALANCRAIG, DIANE	ISHARES U S OIL EQUIPMENT & SERVICE S	1,080.42	0.00	0.00	0.00	50.0	0.00
04/09/2018 75501824 ALANCRAIG, DIANE	SECTOR SPDR TRUST SBI CONSUMER STAP S	3,593.97	0.00	0.00	0.00	50.0	0.00
04/09/2018 75501824 ALANCRAIG, DIANE	SELECT SECTOR SPDR TRUST THE REAL E S	1,087.10	0.00	0.00	0.00	50.0	0.00
04/09/2018 75501824 ALANCRAIG, DIANE	SPDR GOLD TR GOLD SHS S	1,136.97	0.00	0.00	0.00	50.0	0.00
04/09/2018 75501824 ALANCRAIG, DIANE	VANECK VECTORS ETF TR GOLD MINERS E S	880.00	0.00	0.00	0.00	50.0	0.00
04/09/2018 75501824 ALANCRAIG, DIANE	WISDOMTREE JAPAN HEDGED EQUITY FUND S	3,235.97	0.00	0.00	0.00	50.0	0.00
04/09/2018 75501824 ALANCRAIG, DIANE	WISDOMTREE TR MANAGED FUTURES STRAT S	2,843.29	0.00	0.00	0.00	50.0	0.00
03/29/2018 75501825 SEPTIEN, ALFREDO	SACHEM CAPITAL CORP COMMON SHARES S	6,916.80	50.00	0.00	50.00	50.0	25.00
04/09/2018 75501829 SEPTIEN, ALFREDO	ADVISORSHARES TR RANGER EQUITY BEAR S	724.46	0.00	0.00	0.00	50.0	0.00
04/09/2018 75501829 SEPTIEN, ALFREDO	ISHARES MSCI SWITZERLAND CAPPED ETF S	3,609.95	0.00	0.00	0.00	50.0	0.00
04/03/2018 75501836 FARKAS, RONALD	BAIDU INC SPONSORED ADR REPSTG ORD S	10,912.50	0.00	0.00	0.00	50.0	0.00
04/03/2018 75501836 FARKAS, RONALD	FACEBOOK INC CL A S	7,712.00	0.00	0.00	0.00	50.0	0.00
04/06/2018 75501836 FARKAS, RONALD	ISHARES FLOATING RATE BOND ETF B	15,984.17	0.00	0.00	0.00	50.0	0.00
04/06/2018 75501836 FARKAS, RONALD	PIMCO ENHANCED SHORT MATURITY ACTIV B	15,930.01	0.00	0.00	0.00	50.0	0.00
04/03/2018 75501837 FARKAS, MARILYN	BAIDU INC SPONSORED ADR REPSTG ORD S	21,838.06	105.00	0.00	105.00	50.0	52.50
04/03/2018 75501837 FARKAS, MARILYN	BAIDU INC SPONSORED ADR REPSTG ORD B	-21,838.10	-105.00	0.00	-105.00	50.0	-52.50 C
04/03/2018 75501837 FARKAS, MARILYN	BAIDU INC SPONSORED ADR REPSTG ORD S	21,838.06	0.00	0.00	0.00	50.0	0.00
04/03/2018 75501837 FARKAS, MARILYN	FACEBOOK INC CL A S	15,415.89	105.00	0.00	105.00	50.0	52.50
04/03/2018 75501837 FARKAS, MARILYN	FACEBOOK INC CL A B	-15,415.90	-105.00	0.00	-105.00	50.0	-52.50 C
04/03/2018 75501837 FARKAS, MARILYN	FACEBOOK INC CL A S	15,415.89	0.00	0.00	0.00	50.0	0.00
04/03/2018 75501837 FARKAS, MARILYN	GENERAL MILLS INC S	4,399.10	101.30	0.00	101.30	50.0	50.65
04/03/2018 75501837 FARKAS, MARILYN	GENERAL MILLS INC B	-4,399.10	-101.30	0.00	-101.30	50.0	-50.65 C
04/03/2018 75501837 FARKAS, MARILYN	GENERAL MILLS INC S	4,399.10	0.00	0.00	0.00	50.0	0.00
04/03/2018 75501837 FARKAS, MARILYN	NETFLIX COM INC S	28,072.00	105.00	0.00	105.00	50.0	52.50
04/03/2018 75501837 FARKAS, MARILYN	NETFLIX COM INC B	-28,072.00	-105.00	0.00	-105.00	50.0	-52.50 C
04/03/2018 75501837 FARKAS, MARILYN	NETFLIX COM INC S	28,072.00	0.00	0.00	0.00	50.0	0.00
04/06/2018 75501837 FARKAS, MARILYN	ISHARES FLOATING RATE BOND ETF B	45,050.93	0.00	0.00	0.00	50.0	0.00
04/06/2018 75501837 FARKAS, MARILYN	PIMCO ENHANCED SHORT MATURITY ACTIV B	44,950.77	0.00	0.00	0.00	50.0	0.00
04/26/2018 75501879 LIBOVE, MICHAEL	INTEL CORP B	10,489.32	0.00	0.00	0.00	50.0	0.00
04/26/2018 75501879 LIBOVE, MICHAEL	MICROSOFT CORP B	9,408.50	0.00	0.00	0.00	50.0	0.00
04/03/2018 75501993 ZEGEN, LOUIS	ISHARES S&P SMALL CAP 600 VALUE ETF S	6,693.30	0.00	0.00	0.00	50.0	0.00
04/03/2018 75501993 ZEGEN, LOUIS	ISHARES U S FINANCIALS ETF S	9,756.60	0.00	0.00	0.00	50.0	0.00
04/03/2018 75501993 ZEGEN, LOUIS	ISHARES U S CONSUMER SERVICES ETF S	9,598.10	0.00	0.00	0.00	50.0	0.00
04/03/2018 75501993 ZEGEN, LOUIS	ISHARES U S INDUSTRIALS ETF S	9,841.47	0.00	0.00	0.00	50.0	0.00
04/03/2018 75501993 ZEGEN, LOUIS	ISHARES U S BASIC MATERIALS ETF S	6,059.53	0.00	0.00	0.00	50.0	0.00
04/03/2018 75501993 ZEGEN, LOUIS	SPDR S&P 500 ETF TRUST S	6,731.73	0.00	0.00	0.00	50.0	0.00
04/03/2018 75501993 ZEGEN, LOUIS	STANDARD & POORS MIDCAP 400 SPDR S& S	6,048.95	0.00	0.00	0.00	50.0	0.00
04/04/2018 75501993 ZEGEN, LOUIS	ISHARES FLOATING RATE BOND ETF B	2,035.60	0.00	0.00	0.00	50.0	0.00
04/04/2018 75501993 ZEGEN, LOUIS	ISHARES MSCI EMERGING MARKETS ETF B	6,387.24	0.00	0.00	0.00	50.0	0.00
04/04/2018 75501993 ZEGEN, LOUIS	ISHARES S&P SMALL CAP 600 GROWTH ET B	6,372.51	0.00	0.00	0.00	50.0	0.00

INVESTMENT

AMOUNT

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BROKER

TRADE DATE	CLIENT	INVESTMENT B/S	AMOUNT	GROSS COMMISSION	CLEARING	NET COMMISSION	RATE	COMMISSION
		BROKER TRANS	ACTIONS					
PRODUCT CAT	EGORY: STOCKS							
04/04/2018 75	501993 ZEGEN, LOUIS	ISHARES S&P500 GROWTH INDEX FD B	6,373.08	0.00	0.00	0.00	50.0	0.00
04/04/2018 75	501993 ZEGEN, LOUIS	PIMCO ENHANCED SHORT MATURITY ACTIV B	25,156.87	0.00	0.00	0.00	50.0	0.00
04/04/2018 75	501993 ZEGEN, LOUIS	POWERSHARES QQQ TRUST SERIES 1 B	6,386.16	0.00	0.00	0.00	50.0	0.00
04/04/2018 75	501993 ZEGEN, LOUIS	SPDR SER TR BLOOMBERG BARCLAYS INTL B	2,861.49	0.00	0.00	0.00	50.0	0.00
04/11/2018 75	501993 ZEGEN, LOUIS	ISHARES FLOATING RATE BOND ETF S	12,731.25	0.00	0.00	0.00	50.0	0.00
04/11/2018 75	501993 ZEGEN, LOUIS	ISHARES MSCI EMERGING MARKETS ETF B	6,613.65	0.00	0.00	0.00	50.0	0.00
04/11/2018 75	501993 ZEGEN, LOUIS	ISHARES S&P SMALL CAP 600 GROWTH ET B	6,495.35	0.00	0.00	0.00	50.0	0.00
04/11/2018 75	501993 ZEGEN, LOUIS	ISHARES S&P500 GROWTH INDEX FD B	6,525.12	0.00	0.00	0.00	50.0	0.00
)4/11/2018 75	501993 ZEGEN, LOUIS	ISHARES TR S&P MIDCAP 400 GROWTH B	5,451.00	0.00	0.00	0.00	50.0	0.00
04/11/2018 75	501993 ZEGEN, LOUIS	POWERSHARES QQQ TRUST SERIES 1 B	6,432.80	0.00	0.00	0.00	50.0	0.00
04/11/2018 75	501993 ZEGEN, LOUIS	SPDR DOW JONES INDL AVERAGE ETF TR B	5,570.37	0.00	0.00	0.00	50.0	0.00
04/11/2018 75	501993 ZEGEN, LOUIS	SPDR SER TR BLOOMBERG BARCLAYS INTL S	26,021.70	0.00	0.00	0.00	50.0	0.00
04/03/2018 75	502165 SEPTIEN, JULIA	CISCO SYS INC S	2,562.77	50.00	0.00	50.00	50.0	25.00
04/03/2018 75	502165 SEPTIEN, JULIA	GENERAL MOTORS COMPANY S	1,734.30	50.00	0.00	50.00	50.0	25.00
04/03/2018 75	502165 SEPTIEN, JULIA	HSBC HOLDINGS PLC SPONSORED ADR S	1,939.81	50.00	0.00	50.00	50.0	25.00
4/03/2018 75	502165 SEPTIEN, JULIA	OCCIDENTAL PETE CORP S	3,257.64	50.00	0.00	50.00	50.0	25.00
4/03/2018 75	502165 SEPTIEN, JULIA	PACCAR INC S	1,762.09	50.00	0.00	50.00	50.0	25.00
4/03/2018 75	502165 SEPTIEN, JULIA	PROCTER & GAMBLE CO S	3,465.11	50.00	0.00	50.00	50.0	25.00
4/03/2018 75	502166 SEPTIEN, JORGE	3M COMPANY S	4,070.61	50.00	0.00	50.00	50.0	25.00
4/03/2018 75	502166 SEPTIEN, JORGE	DOWDUPONT INC COMMON STOCK S	2,993.43	50.00	0.00	50.00	50.0	25.00
4/03/2018 75	502166 SEPTIEN, JORGE	EXXON MOBIL CORPORATION S	1,940.45	50.00	0.00	50.00	50.0	25.00
4/03/2018 75	502166 SEPTIEN, JORGE	OCCIDENTAL PETE CORP S	2,260.07	50.00	0.00	50.00	50.0	25.00
4/03/2018 75	502166 SEPTIEN, JORGE	PROCTER & GAMBLE CO S	2,592.23	50.00	0.00	50.00	50.0	25.00
4/03/2018 75	502166 SEPTIEN, JORGE	SIMON PROPERTY GROUP INC S	2,164.30	50.00	0.00	50.00	50.0	25.00
4/03/2018 75	502166 SEPTIEN, JORGE	WAL-MART STORES INC S	3,112.65	50.00	0.00	50.00	50.0	25.00
3/14/2018 75	502182 GIRGENTI, V JAMES	ISHARES S&P SMALL CAP 600 VALUE ETF S	-28,341.60	0.00	0.00	0.00	50.0	0.00
3/14/2018 75	502182 GIRGENTI, V JAMES	ISHARES S&P SMALL CAP 600 VALUE ETF B	28,341.59	0.00	0.00	0.00	50.0	0.00
)3/14/2018 75	502182 GIRGENTI, V JAMES	ISHARES S&P500 GROWTH INDEX FD S	-9,159.35	0.00	0.00	0.00	50.0	0.00
3/14/2018 75	502182 GIRGENTI, V JAMES	ISHARES S&P500 GROWTH INDEX FD B	9,159.35	0.00	0.00	0.00	50.0	0.00
3/14/2018 75	502182 GIRGENTI, V JAMES	ISHARES U S FINANCIALS ETF S	-11,116.50	0.00	0.00	0.00	50.0	0.00
3/14/2018 75	502182 GIRGENTI, V JAMES	ISHARES U S FINANCIALS ETF B	11,116.55	0.00	0.00	0.00	50.0	0.00
3/14/2018 75	502182 GIRGENTI, V JAMES	ISHARES U S CONSUMER SERVICES ETF S	-20,502.30	0.00	0.00	0.00	50.0	0.00
3/14/2018 75	502182 GIRGENTI, V JAMES	ISHARES U S INDUSTRIALS ETF S	-20,505.20	0.00	0.00	0.00	50.0	0.00
	502182 GIRGENTI, V JAMES	ISHARES U S INDUSTRIALS ETF B	20,505.23	0.00	0.00	0.00	50.0	0.00
3/14/2018 75	502182 GIRGENTI, V JAMES	ISHARES U S CONSUMER SERVICES ETF B	20,502.29	0.00	0.00	0.00	50.0	0.00
	502182 GIRGENTI, V JAMES	ISHARES U S BASIC MATERIALS ETF S	-47,374.60	0.00	0.00		50.0	0.00
	502182 GIRGENTI, V JAMES	ISHARES U S BASIC MATERIALS ETF B	47,374.64	0.00	0.00		50.0	0.00
	502182 GIRGENTI, V JAMES	ISHARES U S TECHNOLOGY ETF S	-11,187.30	0.00	0.00		50.0	0.00
	502182 GIRGENTI, V JAMES	ISHARES U S TECHNOLOGY ETF B	11,187.27	0.00	0.00		50.0	0.00
	502182 GIRGENTI, V JAMES	POWERSHARES QQQ TRUST SERIES 1 S	-4,285.94	0.00	0.00		50.0	0.00

TRADE DATE CLIENT	INVESTMENT B/	INVESTMENT S AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
BROKER TRANSACTIONS							
PRODUCT CATEGORY: STOCKS							
03/14/2018 75502182 GIRGENTI, V JAMES	POWERSHARES QQQ TRUST SERIES 1 B	4,285.94	0.00	0.00	0.00	50.0	0.00
03/14/2018 75502182 GIRGENTI, V JAMES	SPDR DOW JONES INDL AVERAGE ETF TR S	-28,233.10	0.00	0.00	0.00	50.0	0.00 C
03/14/2018 75502182 GIRGENTI, V JAMES	SPDR DOW JONES INDL AVERAGE ETF TR B	28,233.13	0.00	0.00	0.00	50.0	0.00
03/14/2018 75502182 GIRGENTI, V JAMES	SPDR S&P 500 ETF TRUST S	-28,350.70	0.00	0.00	0.00	50.0	0.00 C
03/14/2018 75502182 GIRGENTI, V JAMES	SPDR S&P 500 ETF TRUST B	28,350.65	0.00	0.00	0.00	50.0	0.00
03/14/2018 75502182 GIRGENTI, V JAMES	STANDARD & POORS MIDCAP 400 SPDR S& S	-26,746.50	0.00	0.00	0.00	50.0	0.00 C
03/14/2018 75502182 GIRGENTI, V JAMES	STANDARD & POORS MIDCAP 400 SPDR S& B	26,746.49	0.00	0.00	0.00	50.0	0.00
04/03/2018 75502182 GIRGENTI, V JAMES	ISHARES S&P SMALL CAP 600 VALUE ETF S	14,466.59	0.00	0.00	0.00	50.0	0.00
04/03/2018 75502182 GIRGENTI, V JAMES	ISHARES U S FINANCIALS ETF S	21,138.21	0.00	0.00	0.00	50.0	0.00
04/03/2018 75502182 GIRGENTI, V JAMES	ISHARES U S INDUSTRIALS ETF S	21,150.68	0.00	0.00	0.00	50.0	0.00
04/03/2018 75502182 GIRGENTI, V JAMES	ISHARES U S CONSUMER SERVICES ETF S	20,827.24	0.00	0.00	0.00	50.0	0.00
04/03/2018 75502182 GIRGENTI, V JAMES	ISHARES U S BASIC MATERIALS ETF S	13,251.59	0.00	0.00	0.00	50.0	0.00
04/03/2018 75502182 GIRGENTI, V JAMES	ISHARES U S TECHNOLOGY ETF S	20,291.68	0.00	0.00	0.00	50.0	0.00
04/03/2018 75502182 GIRGENTI, V JAMES	SPDR DOW JONES INDL AVERAGE ETF TR S	3,329.34	0.00	0.00	0.00	50.0	0.00
04/03/2018 75502182 GIRGENTI, V JAMES	SPDR S&P 500 ETF TRUST S	17,102.75	0.00	0.00	0.00	50.0	0.00
04/03/2018 75502182 GIRGENTI, V JAMES	STANDARD & POORS MIDCAP 400 SPDR S& S	13,465.30	0.00	0.00	0.00	50.0	0.00
04/04/2018 75502182 GIRGENTI, V JAMES	ISHARES FLOATING RATE BOND ETF B	16,996.93	0.00	0.00	0.00	50.0	0.00
04/04/2018 75502182 GIRGENTI, V JAMES	ISHARES MSCI EMERGING MARKETS ETF B	14,405.98	0.00	0.00	0.00	50.0	0.00
04/04/2018 75502182 GIRGENTI, V JAMES	ISHARES S&P SMALL CAP 600 GROWTH ET B	14,579.20	0.00	0.00	0.00	50.0	0.00
04/04/2018 75502182 GIRGENTI, V JAMES	ISHARES S&P500 GROWTH INDEX FD B	14,506.55	0.00	0.00	0.00	50.0	0.00
04/04/2018 75502182 GIRGENTI, V JAMES	PIMCO ENHANCED SHORT MATURITY ACTIV B	57,615.08	0.00	0.00	0.00	50.0	0.00
04/04/2018 75502182 GIRGENTI, V JAMES	POWERSHARES QQQ TRUST SERIES 1 B	14,250.80	0.00	0.00	0.00	50.0	0.00
04/04/2018 75502182 GIRGENTI, V JAMES	SPDR SER TR BLOOMBERG BARCLAYS INTL B	19,069.02	0.00	0.00	0.00	50.0	0.00
04/11/2018 75502182 GIRGENTI, V JAMES	ISHARES FLOATING RATE BOND ETF S	28,876.29	0.00	0.00	0.00	50.0	0.00
04/11/2018 75502182 GIRGENTI, V JAMES	ISHARES MSCI EMERGING MARKETS ETF B	15,403.19	0.00	0.00	0.00	50.0	0.00
04/11/2018 75502182 GIRGENTI, V JAMES	ISHARES S&P SMALL CAP 600 GROWTH ET B	15,136.00	0.00	0.00	0.00	50.0	0.00
04/11/2018 75502182 GIRGENTI, V JAMES	ISHARES S&P500 GROWTH INDEX FD B	15,118.41	0.00	0.00	0.00	50.0	0.00
04/11/2018 75502182 GIRGENTI, V JAMES	ISHARES TR S&P MIDCAP 400 GROWTH B	13,777.46	0.00	0.00	0.00	50.0	0.00
04/11/2018 75502182 GIRGENTI, V JAMES	POWERSHARES QQQ TRUST SERIES 1 B	15,336.80	0.00	0.00	0.00	50.0	0.00
04/11/2018 75502182 GIRGENTI, V JAMES	SPDR DOW JONES INDL AVERAGE ETF TR B	13,618.08	0.00	0.00	0.00	50.0	0.00
04/11/2018 75502182 GIRGENTI, V JAMES	SPDR SER TR BLOOMBERG BARCLAYS INTL S	59,418.98	0.00	0.00	0.00	50.0	0.00
	* STOCKS SUBTOTAL *	\$1,050,318.75	\$950.00	\$0.00	\$950.00		\$475.00
PRODUCT CATEGORY: VARIABLE ANNUITIE	S						
04/12/2018 (None) VARIOUS, GINSBURG	AMERICAN LEGACY ANNUNITY B	0.00	25.54	0.00	25.54	50.0	12.77
04/17/2018 (None) VARIOUS, GINSBURG	JACKSON B		118.30	0.00	118.30		59.15
	* VARIABLE ANNUITIES SUBTOTAL *	\$0.00	\$143.84	\$0.00	\$143.84		\$71.92

TRADE DATE CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
	BROKE	R TRANS	ACTIONS					
PRODUCT CATEGORY: RIA								
04/01/2018 75501739 STAUFFER, JUDITH	FAS FEES PT. I/III	В	0.00	194.02	0.00	194.02	50.0	97.01
04/01/2018 75501744 STAUFFER, ROBERT	FAS FEES PT. I/III	В	-185.96	0.00	0.00	0.00	50.0	0.00
04/01/2018 75501744 STAUFFER, ROBERT	FAS FEES PT. I/III	В	-1,001.62	333.87	0.00	333.87	50.0	166.94
04/01/2018 75501744 STAUFFER, ROBERT	FAS FEES PT. I/III	В	-582.08	0.00	0.00	0.00	50.0	0.00
04/01/2018 75501751 STAUFFER, ROBERT	FAS FEES PT. I/III	В	0.00	61.98	0.00	61.98	50.0	30.99
04/01/2018 75501824 ALANCRAIG, DIANE	FAS FEES PT. I/III	В	-349.75	116.58	0.00	116.58	50.0	58.29
04/01/2018 75501828 ALANCRAIG, DIANE	FAS FEES PT. I/III	В	-180.64	60.21	0.00	60.21	50.0	30.11
04/17/2018 75501828 ALANCRAIG, DIANE	FAS FEES PT. I/III	В	148.88	-27.79	0.00	-27.79	50.0	-13.90
04/01/2018 75501829 SEPTIEN, ALFREDO	FAS FEES PT. I/III	В	-173.24	57.74	0.00	57.74	50.0	28.87
04/01/2018 75501830 SEPTIEN, ALFREDO	FAS FEES PT. I/III	В	-311.94	103.98	0.00	103.98	50.0	51.99
04/01/2018 75501832 WEXLER, SHEILA	FAS FEES PT. I/III	В	-399.33	133.11	0.00	133.11	50.0	66.56
04/01/2018 75501833 GIRGENTI, V JAMES	FAS FEES PT. I/III	В	-1,260.15	420.05	0.00	420.05	50.0	210.03
04/01/2018 75501834 BUGANSKI, TERRI	FAS FEES PT. I/III	В	-815.62	271.87	0.00	271.87	50.0	135.94
04/01/2018 75501835 BUGANSKI, TERRI	FAS FEES PT. I/III	В	-91.27	30.42	0.00	30.42	50.0	15.21
04/01/2018 75501836 FARKAS, RONALD	FAS FEES PT. I/III	В	-658.50	219.50	0.00	219.50	50.0	109.75
04/01/2018 75501837 FARKAS, MARILYN	FAS FEES PT. I/III	В	-1,354.42	451.47	0.00	451.47	50.0	225.74
04/01/2018 75501868 ZEGEN, LOUIS	FAS FEES PT. I/III	В	-1,261.66	420.55	0.00	420.55	50.0	210.28
04/01/2018 75501869 ZEGEN, LOUIS	FAS FEES PT. I/III	В	-228.90	76.30	0.00	76.30	50.0	38.15
04/01/2018 75501879 LIBOVE, MICHAEL	FAS FEES PT. I/III	В	-1,292.10	430.70	0.00	430.70	50.0	215.35
04/01/2018 75501993 ZEGEN, LOUIS	FAS FEES PT. I/III	В	-290.12	96.70	0.00	96.70	50.0	48.35
04/01/2018 75502182 GIRGENTI, V JAMES	FAS FEES PT. I/III	В	-661.57	220.52	0.00	220.52	50.0	110.26
	* RIA SUBT	OTAL *	\$-10,949.99	\$3,671.78	\$0.00	\$3,671.78		\$1,835.92
PRODUCT CATEGORY: MUTUAL FUND 12B1	TRAILS							
04/30/2018	FEE COUNT - 215		0.00	1,749.96	0.00	1,749.96	50.0	868.65
	* MUTUAL FUND 12B1 TRAILS SUBT	OTAL *	\$0.00	\$1,749.96	\$0.00	\$1,749.96		\$868.65
	*** BROKER TRANSACTIONS TO	AL ***	\$1,152,553.30	\$6,515.58	\$0.00	\$6,515.58		\$3,251.49
	<u>Al</u>	DJUSTME	NTS					
04/30/2018	DEUNB:UNBUNDLED QRTRLY FEE (LI	PCM):	0.00	0.00	0.00	0.00		-49.39
04/30/2018	DEUNB: UNBUNDLED QRTRLY FEE (LI		0.00	0.00	0.00	0.00		-42.49
04/30/2018	DEUNB:UNBUNDLED QRTRLY FEE (LI		0.00	0.00	0.00	0.00		-25.00
04/30/2018	DEUNB:UNBUNDLED QRTRLY FEE (LI		0.00	0.00	0.00	0.00		-25.00
04/30/2018	DEUNB:UNBUNDLED QRTRLY FEE (LI		0.00	0.00	0.00	0.00		-25.00
04/30/2018	DEUNB:UNBUNDLED QRTRLY FEE (LI		0.00	0.00	0.00	0.00		-25.00
04/30/2018	DEUNB:UNBUNDLED QRTRLY FEE (LI		0.00	0.00	0.00	0.00		-25.00

05/11/2018 Page No. COMMISSION STATEMENT for JANICE GINSBURG

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
		ADJ	USTME	NTS					
			<u> </u>	<u></u>					
04/30/2018		DEUNB:UNBUNDLED QRTRLY FEE (LPCM	I):	0.00	0.00	0.00	0.00		-58.81
04/30/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM	: (1	0.00	0.00	0.00	0.00		-38.06
04/30/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM	I):	0.00	0.00	0.00	0.00		-25.00
04/30/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM	: (1	0.00	0.00	0.00	0.00		-30.73
04/30/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM	: (1	0.00	0.00	0.00	0.00		-63.21
04/30/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM	I):	0.00	0.00	0.00	0.00		-58.88
04/30/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM	I):	0.00	0.00	0.00	0.00		-25.00
04/30/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM	I):	0.00	0.00	0.00	0.00		-60.30
04/30/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM	: (1	0.00	0.00	0.00	0.00		-25.00
04/30/2018		DEUNB: UNBUNDLED QRTRLY FEE (LPCM	: (1	0.00	0.00	0.00	0.00		-30.87
04/30/2018		DEUNC: UNBUNDLED NEW ACCT FEE (LE	CM)	0.00	0.00	0.00	0.00		62.64
		*** ADJUSTMENTS TOTAL	***	\$0.00	\$0.00	\$0.00	\$0.00		\$-595.10

BROKER COMMISSION TOTALS

Year-to-date Earnings	\$9,655.61
Check Amount	\$2,631.96
DITC TIDDEDDIRETTE	ų 10.23
SIPC Assessment	\$-16.29
FINRA Assessment	\$-8.14
Prior Period Balance	\$0.00
Base Salary	\$0.00
Payroll Draw	\$0.00
Adjustments	\$-595.10
Override Transactions	\$0.00
Split Transactions	\$0.00
Broker Transactions	\$3,251.49