



COMMISSION STATEMENT

June 25, 2018

BERT GOLDBERG
1048 W. Myrna Lane
Tempe, AZ 85284

BROKER #: 698
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
06/14/2018	***-**-805P - IPPOLITO, NICOLE	AMCAP FUND-A	6.38	0.18	0.00	0.18	70.0	0.13
	ACCOUNT #: 00063922927	BUY 0 Units @36.0600						
06/14/2018	***-**-805P - IPPOLITO, NICOLE	AMCAP FUND-A	25.47	0.70	0.00	0.70	70.0	0.49
	ACCOUNT #: 00063922927	BUY 1 Units @36.0600						
06/14/2018	***-**-195P - BAUMANN, TERESA	AMCAP FUND-A	168.16	4.62	0.00	4.62	70.0	3.23
	ACCOUNT #: 00063922933	BUY 5 Units @36.0600						
06/14/2018	***-**-805P - IPPOLITO, NICOLE	AMERICAN MUTUAL FUND-A	3.18	0.09	0.00	0.09	70.0	0.06
	ACCOUNT #: 00063922927	BUY 0 Units @42.7400						
06/14/2018	***-**-805P - IPPOLITO, NICOLE	AMERICAN MUTUAL FUND-A	12.73	0.35	0.00	0.35	70.0	0.25
	ACCOUNT #: 00063922927	BUY 0 Units @42.7400						
06/14/2018	***-**-195P - BAUMANN, TERESA	AMERICAN MUTUAL FUND-A	168.16	4.62	0.00	4.62	70.0	3.23
	ACCOUNT #: 00063922933	BUY 4 Units @42.7400						
06/14/2018	***-**-805P - IPPOLITO, NICOLE	CAPITAL WORLD GROWTH AND INCOME FUN	3.18	0.09	0.00	0.09	70.0	0.06
	ACCOUNT #: 00063922927	BUY 0 Units @53.9700						
06/14/2018	***-**-805P - IPPOLITO, NICOLE	CAPITAL WORLD GROWTH AND INCOME FUN	12.73	0.35	0.00	0.35	70.0	0.25
	ACCOUNT #: 00063922927	BUY 0 Units @53.9700						
06/14/2018	***-**-805P - IPPOLITO, NICOLE	FUNDAMENTAL INVESTORS-A	6.36	0.17	0.00	0.17	70.0	0.12
	ACCOUNT #: 00063922927	BUY 0 Units @66.9600						
06/14/2018	***-**-805P - IPPOLITO, NICOLE	FUNDAMENTAL INVESTORS-A	25.46	0.70	0.00	0.70	70.0	0.49
	ACCOUNT #: 00063922927	BUY 0 Units @66.9600						
06/14/2018	***-**-195P - BAUMANN, TERESA	FUNDAMENTAL INVESTORS-A	155.22	4.27	0.00	4.27	70.0	2.99
	ACCOUNT #: 00063922933	BUY 2 Units @66.9600						
06/05/2018	***-**-94IR - HALL-SPLENDORIO,	PIONEER FUND A	50.00	2.50	0.00	2.50	70.0	1.75
	ACCOUNT #: 06202691947	BUY 2 Units @31.6200						
06/18/2018	***-**-29IO - NORFLEET,	RESOURCE CREDIT INCOME FUND A	25,000.00	1,375.00	18.00	1,357.00	70.0	949.90
	ACCOUNT #: LSR010116	BUY 2087 Units @11.9800						
06/18/2018	***-**-29IO - NORFLEET,	RESOURCE REAL ESTATES-NFS	25,000.00	1,375.00	18.00	1,357.00	70.0	949.90
	ACCOUNT #: LSR010116	BUY 2252 Units @11.1000						
06/14/2018	***-**-805P - IPPOLITO, NICOLE	THE GROWTH FUND OF AMERICA-A	6.36	0.17	0.00	0.17	70.0	0.12
	ACCOUNT #: 00063922927	BUY 0 Units @57.1000						
06/14/2018	***-**-805P - IPPOLITO, NICOLE	THE GROWTH FUND OF AMERICA-A	25.46	0.70	0.00	0.70	70.0	0.49
	ACCOUNT #: 00063922927	BUY 0 Units @57.1000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUNDS (continued)								
06/14/2018	***-**-195P - BAUMANN, TERESA	THE GROWTH FUND OF AMERICA-A	155.22	4.27	0.00	4.27	70.0	2.99
	ACCOUNT #: 00063922933	BUY 3 Units @57.1000						
06/14/2018	***-**-195P - BAUMANN, TERESA	THE INCOME FUND OF AMERICA-A	155.22	4.27	0.00	4.27	70.0	2.99
	ACCOUNT #: 00063922933	BUY 7 Units @23.8200						
06/14/2018	***-**-195P - BAUMANN, TERESA	THE INVESTMENT COMPANY OF AMERICA-A	168.14	4.62	0.00	4.62	70.0	3.23
	ACCOUNT #: 00063922933	BUY 4 Units @43.1300						
06/14/2018	***-**-805P - IPPOLITO, NICOLE	THE NEW ECONOMY FUND-A	6.36	0.17	0.00	0.17	70.0	0.12
	ACCOUNT #: 00063922927	BUY 0 Units @52.0400						
06/14/2018	***-**-805P - IPPOLITO, NICOLE	THE NEW ECONOMY FUND-A	25.46	0.70	0.00	0.70	70.0	0.49
	ACCOUNT #: 00063922927	BUY 0 Units @52.0400						
06/14/2018	***-**-195P - BAUMANN, TERESA	THE NEW ECONOMY FUND-A	155.22	4.27	0.00	4.27	70.0	2.99
	ACCOUNT #: 00063922933	BUY 3 Units @52.0400						
06/14/2018	***-**-195P - BAUMANN, TERESA	WASHINGTON MUTUAL INVESTORS FUND-A	168.16	4.62	0.00	4.62	70.0	3.23
	ACCOUNT #: 00063922933	BUY 3 Units @48.7800						
* MUTUAL FUNDS SUBTOTAL *			\$51,502.63	\$2,792.43	\$36.00	\$2,756.43		\$1,929.50
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS								
06/25/2018	***-**-0724 - STROMMESEN,	FRANKLIN INCOME FUND CLASS A	0.00	4.51	0.00	4.51	70.0	3.16
	ACCOUNT #: LSR105090	BUY 1 Units @0.0000						
06/25/2018	***-**-1788 - STROMMESEN,	FRANKLIN INCOME FUND CLASS A	0.00	2.91	0.00	2.91	70.0	2.04
	ACCOUNT #: LSR105104	BUY 1 Units @0.0000						
06/25/2018	***-**-9761 - MCCARTHY, NANCY	FRANKLIN INCOME FUND CLASS A	0.00	2.59	0.00	2.59	70.0	1.81
	ACCOUNT #: LSR105490	BUY 1 Units @0.0000						
06/25/2018	***-**-568J - MARSHALL, BRUCE	FRANKLIN INCOME FUND CLASS A	0.00	7.21	0.00	7.21	70.0	5.05
	ACCOUNT #: LSR105600	BUY 1 Units @0.0000						
06/25/2018	***-**-0383 - MARSHALL,	FRANKLIN INCOME FUND CLASS A	0.00	0.58	0.00	0.58	70.0	0.41
	ACCOUNT #: LSR105619	BUY 1 Units @0.0000						
06/25/2018	***-**-5712 - RAMSEY, GUSSIE	FRANKLIN INCOME FUND CLASS A	0.00	13.46	0.00	13.46	70.0	9.42
	ACCOUNT #: LSR105929	BUY 1 Units @0.0000						
06/25/2018	***-**-2425 - BRIAN N CALNAN,	FRANKLIN INCOME FUND CLASS A	0.00	0.90	0.00	0.90	70.0	0.63
	ACCOUNT #: LSR105945	BUY 1 Units @0.0000						
06/25/2018	***-**-10TR - SAUDER TRUST	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	85.01	0.00	85.01	70.0	59.51
	ACCOUNT #: LSR010138	BUY 1 Units @0.0000						



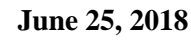
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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/25/2018	***-**-92IO - SAUDER	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	45.08	0.00	45.08	70.0	31.56
	ACCOUNT #: LSR105538	BUY 1 Units @0.0000						
06/25/2018	***-**-1184 - SPORRER, SHIRLEY	FRK GOLD FUND - CLASS A	0.00	0.19	0.00	0.19	70.0	0.13
	ACCOUNT #: LSR105244	BUY 1 Units @0.0000						
06/25/2018	***-**-184I - SPORRER, SHIRLEY	FRK GOLD FUND - CLASS A	0.00	0.30	0.00	0.30	70.0	0.21
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
06/25/2018	***-**-0400 - SPORRER, JERALD	FRK GOLD FUND - CLASS A	0.00	0.31	0.00	0.31	70.0	0.22
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
06/25/2018	***-**-00IR - SPORRER, JERALD	FRK GOLD FUND - CLASS A	0.00	0.23	0.00	0.23	70.0	0.16
	ACCOUNT #: LSR105317	BUY 1 Units @0.0000						
06/25/2018	***-**-184I - SPORRER, SHIRLEY	NATIONWIDE INVESTOR DESTIN CONSERV	0.00	3.45	0.00	3.45	70.0	2.42
	ACCOUNT #: LSR105252	BUY 1 Units @0.0000						
06/25/2018	***-**-0400 - SPORRER, JERALD	NATIONWIDE INVESTOR DESTIN CONSERV	0.00	1.00	0.00	1.00	70.0	0.70
	ACCOUNT #: LSR105287	BUY 1 Units @0.0000						
06/25/2018	***-**-514I - BERGIN, JOSEPH	PUTNAM ABSOLUTE RETURN 300 FUND CLA	0.00	0.37	0.00	0.37	70.0	0.26
	ACCOUNT #: LSR004910	BUY 1 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$168.10	\$0.00	\$168.10		\$117.69
		*** BROKER TRANSACTIONS TOTAL ***	\$51,502.63	\$2,960.53	\$36.00	\$2,924.53		\$2,047.19



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$2,047.19
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$2,047.19
						Year-to-date Earnings		\$34,054.85