

COMMISSION STATEMENT

August 31, 2017

PHYLLIS FATTORUSO
89-40 151ST AVENUE
APT 5G
HOWARD BEACH, NY 11414

BROKER #: 53
BRANCH: HOME OFFICE

COMMISSION STATEMENT for PHYLLIS FATTORUSO

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
PRODUCT CATEGORY: STOCKS									
08/22/2017	QGW007700	SARNA, MARK							
		FREEMPORT MCMORAN INC	S	-6,022.00	20.00	0.00	20.00	52.0	10.40
08/22/2017	QGW007700	SARNA, MARK							
		J C PENNEY CO INC	B	7,368.80	100.00	0.00	100.00	52.0	52.00
08/23/2017	QGW007700	SARNA, MARK							
		ALCOA CORPORATION COMMON STOCK	S	-22,454.62	27.15	0.00	27.15	52.0	14.12
08/02/2017	QGW007825	SARNA FAMILY LTD							
		AMC ENTMT HLDGS INC CL A COM	B	30,400.00	100.00	0.00	100.00	52.0	52.00
08/03/2017	QGW007825	SARNA FAMILY LTD							
		AMC ENTMT HLDGS INC CL A COM	B	14,900.00	50.00	0.00	50.00	52.0	26.00
08/03/2017	QGW007825	SARNA FAMILY LTD							
		TEVA PHARMACEUTICAL INDUSTRIES LIM B	B	25,357.70	50.00	0.00	50.00	52.0	26.00
08/03/2017	QGW007825	SARNA FAMILY LTD							
		TEVA PHARMACEUTICAL INDUSTRIES LIM B	B	53,335.00	100.00	0.00	100.00	52.0	52.00
08/04/2017	QGW007825	SARNA FAMILY LTD							
		TEVA PHARMACEUTICAL INDUSTRIES LIM B	B	42,425.40	100.00	0.00	100.00	52.0	52.00
08/07/2017	QGW007825	SARNA FAMILY LTD							
		TEVA PHARMACEUTICAL INDUSTRIES LIM B	B	18,839.60	50.00	0.00	50.00	52.0	26.00
08/22/2017	QGW007825	SARNA FAMILY LTD							
		J C PENNEY CO INC	B	14,737.60	200.00	0.00	200.00	52.0	104.00
08/23/2017	QGW007825	SARNA FAMILY LTD							
		ALCOA CORPORATION COMMON STOCK	S	-30,601.15	37.00	0.00	37.00	52.0	19.24
				* STOCKS SUBTOTAL *	\$148,286.33	\$834.15	\$0.00	\$834.15	\$433.76

PRODUCT CATEGORY: OPTIONS

08/10/2017	QGW007700	SARNA, MARK							
		CALL 100 BANK AMER CORP COM EXP 09- B		6,660.00	90.00	0.00	90.00	52.0	46.80
08/10/2017	QGW007700	SARNA, MARK							
		CALL 100 BANK AMER CORP COM EXP 09- B		4,770.00	90.00	0.00	90.00	52.0	46.80
08/11/2017	QGW007700	SARNA, MARK							
		CALL 100 BANK AMER CORP COM EXP 09- B		6,255.00	90.00	0.00	90.00	52.0	46.80
08/14/2017	QGW007700	SARNA, MARK							
		CALL 100 BANK AMER CORP COM EXP 08- S		-8,580.00	180.00	0.00	180.00	52.0	93.60
08/16/2017	QGW007700	SARNA, MARK							
		CALL 100 BANK AMER CORP COM EXP 09- B		6,300.00	150.00	0.00	150.00	52.0	78.00
08/16/2017	QGW007700	SARNA, MARK							
		CALL 100 EAGLE PHARMACEUTICALS INC S		-10,800.00	40.00	0.00	40.00	52.0	20.80
08/18/2017	QGW007700	SARNA, MARK							
		CALL 100 BANK AMER CORP COM EXP 08- S		-5,740.00	105.00	0.00	105.00	52.0	54.60
08/18/2017	QGW007700	SARNA, MARK							
		CALL 100 BANK AMER CORP COM EXP 08- S		-2,010.00	60.00	0.00	60.00	52.0	31.20
08/18/2017	QGW007700	SARNA, MARK							
		CALL 100 BANK AMER CORP COM EXP 08- S		-1,640.00	40.00	0.00	40.00	52.0	20.80
08/18/2017	QGW007700	SARNA, MARK							
		CALL 100 BANK AMER CORP COM EXP 08- S		-3,565.50	100.00	0.00	100.00	52.0	52.00
08/18/2017	QGW007700	SARNA, MARK							
		CALL 100 BANK AMER CORP COM EXP 09- B		3,340.00	60.00	0.00	60.00	52.0	31.20
08/18/2017	QGW007700	SARNA, MARK							
		CALL 100 FREEMPORT-MCMORAN INC CL B S		-4,140.00	40.00	0.00	40.00	52.0	20.80
08/18/2017	QGW007700	SARNA, MARK							
		CALL 100 MARATHON OIL CORP COM EXP S		-3,280.00	80.00	0.00	80.00	52.0	41.60
08/18/2017	QGW007700	SARNA, MARK							
		CALL 100 MARATHON OIL CORP COM EXP B		6,960.00	120.00	0.00	120.00	52.0	62.40
08/29/2017	QGW007700	SARNA, MARK							
		CALL 100 BANK AMER CORP COM EXP 10- B		4,890.00	90.00	0.00	90.00	52.0	46.80
08/29/2017	QGW007700	SARNA, MARK							
		CALL 100 FREEMPORT-MCMORAN INC CL B B		6,510.00	90.00	0.00	90.00	52.0	46.80
08/10/2017	QGW007825	SARNA FAMILY LTD							
		CALL 100 BANK AMER CORP COM EXP 09- B		6,660.00	90.00	0.00	90.00	52.0	46.80

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
08/10/2017	QGW007825	SARNA FAMILY LTD	CALL 100 BANK AMER CORP COM EXP 09- B	4,770.00	90.00	0.00	90.00	52.0	46.80
08/11/2017	QGW007825	SARNA FAMILY LTD	CALL 100 BANK AMER CORP COM EXP 09- B	6,255.00	90.00	0.00	90.00	52.0	46.80
08/14/2017	QGW007825	SARNA FAMILY LTD	CALL 100 ARCONIC INC COM EXP 08-18- S	-6,600.00	40.00	0.00	40.00	52.0	20.80
08/14/2017	QGW007825	SARNA FAMILY LTD	CALL 100 CHIPOTLE MEXICAN GRILL INC B	27,300.00	40.00	0.00	40.00	52.0	20.80
08/15/2017	QGW007825	SARNA FAMILY LTD	CALL 100 SCHLUMBERGER LTD COM ISIN# B	21,120.00	240.00	0.00	240.00	52.0	124.80
08/16/2017	QGW007825	SARNA FAMILY LTD	CALL 100 BANK AMER CORP COM EXP 09- B	6,300.00	150.00	0.00	150.00	52.0	78.00
08/16/2017	QGW007825	SARNA FAMILY LTD	CALL 100 UNITED STS STL CORP NEW CO S	-14,520.00	120.00	0.00	120.00	52.0	62.40
08/18/2017	QGW007825	SARNA FAMILY LTD	CALL 100 BANK AMER CORP COM EXP 08- S	-5,740.00	105.00	0.00	105.00	52.0	54.60
08/18/2017	QGW007825	SARNA FAMILY LTD	CALL 100 BANK AMER CORP COM EXP 08- S	-5,704.80	160.00	0.00	160.00	52.0	83.20
08/18/2017	QGW007825	SARNA FAMILY LTD	CALL 100 BANK AMER CORP COM EXP 08- S	-1,640.00	40.00	0.00	40.00	52.0	20.80
08/18/2017	QGW007825	SARNA FAMILY LTD	CALL 100 BANK AMER CORP COM EXP 08- S	-5,360.00	160.00	0.00	160.00	52.0	83.20
08/18/2017	QGW007825	SARNA FAMILY LTD	CALL 100 BANK AMER CORP COM EXP 09- B	3,340.00	60.00	0.00	60.00	52.0	31.20
08/18/2017	QGW007825	SARNA FAMILY LTD	CALL 100 EAGLE PHARMACEUTICALS INC S	-9,300.00	40.00	0.00	40.00	52.0	20.80
08/18/2017	QGW007825	SARNA FAMILY LTD	CALL 100 FREEPORT-MCMORAN INC CL B S	-4,140.00	40.00	0.00	40.00	52.0	20.80
08/18/2017	QGW007825	SARNA FAMILY LTD	CALL 100 FREEPORT-MCMORAN INC CL B S	-4,300.00	40.00	0.00	40.00	52.0	20.80
08/18/2017	QGW007825	SARNA FAMILY LTD	CALL 100 SCHLUMBERGER LTD COM ISIN# S	-3,660.00	40.00	0.00	40.00	52.0	20.80
08/18/2017	QGW007825	SARNA FAMILY LTD	CALL 100 UNITED STS STL CORP NEW CO S	-7,900.00	80.00	0.00	80.00	52.0	41.60
08/29/2017	QGW007825	SARNA FAMILY LTD	CALL 100 BANK AMER CORP COM EXP 10- B	8,150.00	150.00	0.00	150.00	52.0	78.00
08/29/2017	QGW007825	SARNA FAMILY LTD	CALL 100 FREEPORT-MCMORAN INC CL B B	10,850.00	150.00	0.00	150.00	52.0	78.00
08/18/2017	QGW036618	SARNA, MARK	CALL 100 BANK AMER CORP COM EXP 08- S	-820.00	20.00	0.00	20.00	52.0	10.40
08/18/2017	QGW036618	SARNA, MARK	CALL 100 BANK AMER CORP COM EXP 09- B	1,670.00	30.00	0.00	30.00	52.0	15.60
* OPTIONS SUBTOTAL *				\$32,659.70	\$3,400.00	\$0.00	\$3,400.00		\$1,768.00
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
08/17/2017	QGW021909	MASSA, MARY	TRANSAMERICA MULTI-MANAGED BALANCED B	0.00	7.77	0.00	7.77	52.0	4.04
08/16/2017	QGW028755	SARNA, MARK	THORNBURG INVESTMENT INCOME BUILDER B	0.00	16.38	0.00	16.38	52.0	8.52
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$24.15	\$0.00	\$24.15		\$12.56
*** BROKER TRANSACTIONS TOTAL ***				\$180,946.03	\$4,258.30	\$0.00	\$4,258.30		\$2,214.32
<u>ADJUSTMENTS</u>									
08/31/2017			ADVANCE: ADVANCE	0.00	0.00	0.00	0.00		-2,100.00
08/31/2017			OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00		-20.00
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$0.00		\$-2,120.00

BROKER COMMISSION TOTALS

Broker Transactions	\$2,214.32
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-2,120.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$81.96
FINRA Assessment	\$-5.32
SIPC Assessment	\$-10.65

Check Amount	\$160.31
Year-to-date Earnings	\$2,810.83