



COMMISSION STATEMENT

August 20, 2018

STEVEN JOEL
404 Chateau Drive
Buffalo Grove, IL 60089-6708

BROKER #: 592
BRANCH #01001 - LifeMark Home Office

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--|--|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | |
| 08/17/2018 | ***-**-0821 - PIZANO, ACCOUNT #: 04000084453 | AMERICAN FUNDS 2040 TARGET DATE-A BUY 3 Units @16.4100 | 51.93 | 1.95 | 0.00 | 1.95 | 60.0 | 1.17 |
| 08/17/2018 | ***-**-0821 - PIZANO, ACCOUNT #: 04000084453 | AMERICAN FUNDS 2040 TARGET DATE-A BUY 8 Units @16.4100 | 138.48 | 5.19 | 0.00 | 5.19 | 60.0 | 3.11 |
| 08/17/2018 | ***-**-2300 - GOMEZ, LAURA ACCOUNT #: 04000084436 | AMERICAN FUNDS 2050 TARGET DATE-A BUY 2 Units @16.3600 | 37.50 | 1.41 | 0.00 | 1.41 | 60.0 | 0.85 |
| 08/17/2018 | ***-**-2300 - GOMEZ, LAURA ACCOUNT #: 04000084436 | AMERICAN FUNDS 2050 TARGET DATE-A BUY 2 Units @16.3600 | 37.50 | 1.41 | 0.00 | 1.41 | 60.0 | 0.85 |
| 08/17/2018 | ***-**-0477 - ELIAS, ADI ACCOUNT #: 04000084445 | AMERICAN FUNDS 2050 TARGET DATE-A BUY 5 Units @16.3600 | 75.00 | 2.81 | 0.00 | 2.81 | 60.0 | 1.69 |
| 08/17/2018 | ***-**-0477 - ELIAS, ADI ACCOUNT #: 04000084445 | AMERICAN FUNDS 2050 TARGET DATE-A BUY 11 Units @16.3600 | 180.00 | 6.75 | 0.00 | 6.75 | 60.0 | 4.05 |
| * MUTUAL FUNDS SUBTOTAL * | | | \$520.41 | \$19.52 | \$0.00 | \$19.52 | | \$11.72 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | |
| / / | ***-**-903K - SINGER, CRAIG ACCOUNT #: LSR011264 | AMERICAN DEVELOPING WRLD GRWTH & IN BUY 1 Units @0.0000 | 0.00 | 0.60 | 0.00 | 0.60 | 60.0 | 0.36 |
| / / | ***-**-903K - SINGER, CRAIG ACCOUNT #: LSR011264 | CAPITAL WORLD GROWTH AND INCOME FUN BUY 1 Units @0.0000 | 0.00 | 0.68 | 0.00 | 0.68 | 60.0 | 0.41 |
| 08/20/2018 | ***-**-241T - ST. SAUVEUR, ACCOUNT #: 02400081987 | LORD ABBETT MUTUAL FUND TRAILS BUY 0 Units @0.0000 | 0.00 | 10.17 | 0.00 | 10.17 | 60.0 | 6.10 |
| / / | ***-**-903K - SINGER, CRAIG ACCOUNT #: LSR011264 | NEW PERSPECTIVE FUND-A BUY 1 Units @0.0000 | 0.00 | 0.73 | 0.00 | 0.73 | 60.0 | 0.44 |
| / / | ***-**-903K - SINGER, CRAIG ACCOUNT #: LSR011264 | NEW WORLD FUND-A BUY 1 Units @0.0000 | 0.00 | 0.68 | 0.00 | 0.68 | 60.0 | 0.41 |
| / / | ***-**-903K - SINGER, CRAIG ACCOUNT #: LSR011264 | THE GROWTH FUND OF AMERICA-A BUY 1 Units @0.0000 | 0.00 | 0.77 | 0.00 | 0.77 | 60.0 | 0.46 |
| 08/20/2018 | ***-**-1388 - JOEL, JOSEPH | VIRTUS MF TRAILS BUY 0 Units @0.0000 | 0.00 | 16.92 | 0.00 | 16.92 | 60.0 | 10.15 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | \$0.00 | \$30.55 | \$0.00 | \$30.55 | | \$18.33 |
| *** BROKER TRANSACTIONS TOTAL *** | | | \$520.41 | \$50.07 | \$0.00 | \$50.07 | | \$30.05 |



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|------|--------|------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|

BROKER COMMISSION TOTALS

| | |
|-----------------------|---------|
| Broker Transactions | \$30.05 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$39.73 |
| FINRA Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
THERE WILL BE NO PAYMENT THIS PERIOD

| | |
|------------------------------|--------------------|
| Balance Forward | \$69.78 |
| Year-to-date Earnings | \$13,660.32 |