



All Companies

04/12/2018
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COMMISSION STATEMENT April 16, 2018

WILLIAM L. BROOKMAN
1840 Hurstview Drive

BROKER #: 9081
BRANCH: HOME OFFICE

HURST, TX 76054

COMMISSION STATEMENT for WILLIAM L. BROOKMAN

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|---|--------------------------------------|-------------------------------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <u>BROKER TRANSACTIONS</u> | | | | | | | | | |
| <u>PRODUCT CATEGORY: MUTUAL FUNDS</u> | | | | | | | | | |
| 03/31/2018 | TRAILS | -OPPENHEIMER FUNDS | B | 1.80 | 1.80 | 0.00 | 1.80 | 90.0 | 1.62 |
| 03/31/2018 | TRAILS | -PUTNAM | B | 14.14 | 14.14 | 0.00 | 14.14 | 90.0 | 12.73 |
| 03/26/2018 | 00082017680 LAVALLEY, WILLIAM | AMERICAN FUNDS CAPITAL WORLD GROWT | B | 100.00 | 3.75 | 0.00 | 3.75 | 90.0 | 3.38 |
| 03/26/2018 | 00082017680 LAVALLEY, WILLIAM | AMERICAN FUNDS GROWTH FUND OF AMERI | B | 100.00 | 3.75 | 0.00 | 3.75 | 90.0 | 3.38 |
| 04/02/2018 | 00082311284 SCHUMANN, EDGAR/REESE | WASHINGTON MUTUAL INVESTORS FUND-52 | B | 75.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 04/02/2018 | 00084533081 SCHUMANN, EDGAR/KAI | THE INCOME FUND OF AMERICA-529C | B | 75.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 04/02/2018 | 00086109209 SCHUMANN, EDGAR/JAYLA | AMERICAN MUTUAL FUND-529C | B | 75.00 | 0.75 | 0.00 | 0.75 | 90.0 | 0.68 |
| 03/26/2018 | 00089501407 LAVALLEY, PEGGY | AMERICAN FUNDS INCOME FD OF AMERI | B | 200.00 | 7.50 | 0.00 | 7.50 | 90.0 | 6.75 |
| * MUTUAL FUNDS SUBTOTAL * | | | | \$640.94 | \$33.19 | \$0.00 | \$33.19 | | \$29.90 |
| <u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u> | | | | | | | | | |
| 03/31/2018 | TRAILS | -HARTFORD LIFE | B | 517.07 | 517.07 | 0.00 | 517.07 | 90.0 | 465.36 |
| 03/27/2018 | TRAILS | AMERICAN GENERAL ANNUITY | B | 130.27 | 130.27 | 0.00 | 130.27 | 90.0 | 117.24 |
| 04/05/2018 | TRAILS | AMERICAN GENERAL ANNUITY | B | 129.78 | 129.78 | 0.00 | 129.78 | 90.0 | 116.80 |
| 03/29/2018 | TRAILS | NEW YORK LIFE INSURANCE COMPANY | B | 1,231.22 | 1,231.22 | 0.00 | 1,231.22 | 90.0 | 1,108.10 |
| 03/31/2018 | TRAILS | PRUDENTIAL INSURANCE CO. | B | 5.78 | 5.78 | 0.00 | 5.78 | 90.0 | 5.20 |
| * VARIABLE ANNUITIES SUBTOTAL * | | | | \$2,014.12 | \$2,014.12 | \$0.00 | \$2,014.12 | | \$1,812.70 |
| <u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u> | | | | | | | | | |
| 04/16/2018 | TRAIL COMMISSIONS | INVESCO LTD FEES | | 0.00 | 8.46 | 0.00 | 8.46 | 90.0 | 7.61 |
| * MUTUAL FUND 12B1 TRAILS SUBTOTAL * | | | | \$0.00 | \$8.46 | \$0.00 | \$8.46 | | \$7.61 |
| *** BROKER TRANSACTIONS TOTAL *** | | | | \$2,655.06 | \$2,055.77 | \$0.00 | \$2,055.77 | | \$1,850.21 |

COMMISSION STATEMENT for WILLIAM L. BROOKMAN

| TRADE DATE | CLIENT | INVESTMENT | B/S | INVESTMENT AMOUNT | GROSS COMMISSION | CLEARING CHARGE | NET COMMISSION | RATE | BROKER COMMISSION |
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|
|------------|--------|------------|-----|----------------------|---------------------|--------------------|-------------------|------|----------------------|

ADJUSTMENTS

| | | | | | | | | | |
|---------------------------|--|------------------------------|--|--------|--------|--------|--------|--|-----------|
| 04/16/2018 | | E & O INSURANCE: 1/2 MONTHLY | | 0.00 | 0.00 | 0.00 | 0.00 | | -100.00 |
| 04/16/2018 | | TECH: MONTHLY TECH SERVICES | | 0.00 | 0.00 | 0.00 | 0.00 | | -7.50 |
| *** ADJUSTMENTS TOTAL *** | | | | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | \$-107.50 |

BROKER COMMISSION TOTALS

| | |
|-----------------------|------------|
| Broker Transactions | \$1,850.21 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$-107.50 |
| Payroll Draw | \$0.00 |
| Base Salary | \$0.00 |
| Prior Period Balance | \$0.00 |

| | |
|-----------------------|------------|
| Check Amount | \$1,742.71 |
| Year-to-date Earnings | \$9,817.86 |