

All Companies
COMMISSION STATEMENT
May 15, 2018

COMMISSION STATEMENT for JOHN HOWARD RUSSELL

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/26/2018	00074775427	BOONE, LONNIE		AMERICAN FUNDS AMCAP FD	B	167.99	2.02	0.00	2.02 85.0 1.72
04/26/2018	00074775427	BOONE, LONNIE		AMERICAN FUNDS AMCAP FD	B	50.40	0.60	0.00	0.60 85.0 0.51
04/26/2018	00074775427	BOONE, LONNIE		AMERICAN FUNDS CAPITAL INCOME BUILD B	B	126.00	1.51	0.00	1.51 85.0 1.28
04/26/2018	00074775427	BOONE, LONNIE		AMERICAN FUNDS CAPITAL INCOME BUILD B	B	37.80	0.45	0.00	0.45 85.0 0.38
04/26/2018	00074775427	BOONE, LONNIE		AMERICAN FUNDS CAPITAL WORLD GROWT B	B	126.00	1.51	0.00	1.51 85.0 1.28
04/26/2018	00074775427	BOONE, LONNIE		AMERICAN FUNDS CAPITAL WORLD GROWT B	B	37.80	0.45	0.00	0.45 85.0 0.38
04/23/2018	00083499515	SEYMORE, BENJAMIN		CAPITAL WORLD GROWTH AND INCOME FUN B	B	200.00	2.00	0.00	2.00 85.0 1.70
05/01/2018	00083626829	SEYMORE, ROBERT		CAPITAL WORLD GROWTH AND INCOME FUN B	B	150.00	1.50	0.00	1.50 85.0 1.28
05/03/2018	00087214046	WATSON,		CAPITAL WORLD GROWTH AND INCOME FUN B	B	25.00	0.25	0.00	0.25 85.0 0.21
CROSBY/BETSY									
04/27/2018	00088263914	GREESON, JOHN D.		CAPITAL INCOME BUILDER-C	B	13.71	0.14	0.00	0.14 85.0 0.12
04/27/2018	00088263914	GREESON, JOHN D.		CAPITAL INCOME BUILDER-C	B	13.71	0.14	0.00	0.14 85.0 0.12
04/27/2018	00088263914	GREESON, JOHN D.		EUROPACIFIC GROWTH FUND-C	B	27.84	0.28	0.00	0.28 85.0 0.24
04/27/2018	00088263914	GREESON, JOHN D.		EUROPACIFIC GROWTH FUND-C	B	27.84	0.28	0.00	0.28 85.0 0.24
04/27/2018	00088263934	DUNN, JOSEPH C.		FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38 85.0 0.32
04/27/2018	00088263934	DUNN, JOSEPH C.		FUNDAMENTAL INVESTORS-C	B	37.50	0.38	0.00	0.38 85.0 0.32
04/27/2018	00088263934	DUNN, JOSEPH C.		NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38 85.0 0.32
04/27/2018	00088263934	DUNN, JOSEPH C.		NEW PERSPECTIVE FUND-C	B	37.50	0.38	0.00	0.38 85.0 0.32
04/27/2018	00088263943	BISHOP, MASON W.		EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13 85.0 0.11
04/27/2018	00088263943	BISHOP, MASON W.		EUROPACIFIC GROWTH FUND-C	B	12.53	0.13	0.00	0.13 85.0 0.11
04/27/2018	00088263943	BISHOP, MASON W.		NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13 85.0 0.11
04/27/2018	00088263943	BISHOP, MASON W.		NEW PERSPECTIVE FUND-C	B	12.52	0.13	0.00	0.13 85.0 0.11
04/27/2018	00088263961	GENTRY, ANGIE L.		EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
04/27/2018	00088263961	GENTRY, ANGIE L.		EUROPACIFIC GROWTH FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
04/27/2018	00088263961	GENTRY, ANGIE L.		NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
04/27/2018	00088263961	GENTRY, ANGIE L.		NEW PERSPECTIVE FUND-C	B	13.89	0.14	0.00	0.14 85.0 0.12
04/27/2018	00088263979	MESEY, BRIAN K.		FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13 85.0 0.11
04/27/2018	00088263979	MESEY, BRIAN K.		FUNDAMENTAL INVESTORS-C	B	12.90	0.13	0.00	0.13 85.0 0.11
04/27/2018	00088263979	MESEY, BRIAN K.		THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19 85.0 0.16
04/27/2018	00088263979	MESEY, BRIAN K.		THE GROWTH FUND OF AMERICA-C	B	19.35	0.19	0.00	0.19 85.0 0.16
04/27/2018	00088270587	MILLER, CLIFFORD		NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22 85.0 0.19
C.									
04/27/2018	00088270587	MILLER, CLIFFORD		NEW PERSPECTIVE FUND-C	B	21.75	0.22	0.00	0.22 85.0 0.19
C.									
04/27/2018	00088270587	MILLER, CLIFFORD		THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22 85.0 0.19
C.									
04/27/2018	00088270587	MILLER, CLIFFORD		THE GROWTH FUND OF AMERICA-C	B	21.75	0.22	0.00	0.22 85.0 0.19
C.									
04/27/2018	00088272149	DUNN, BECKY		CAPITAL INCOME BUILDER-C	B	4.36	0.04	0.00	0.04 85.0 0.03
04/27/2018	00088272149	DUNN, BECKY		CAPITAL INCOME BUILDER-C	B	15.00	0.15	0.00	0.15 85.0 0.13

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
04/27/2018	00088272149 DUNN, BECKY	THE BOND FUND OF AMERICA-C	B	4.35	0.04	0.00	0.04	85.0	0.03
04/27/2018	00088272149 DUNN, BECKY	THE BOND FUND OF AMERICA-C	B	15.00	0.15	0.00	0.15	85.0	0.13
04/27/2018	00088272160 DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	114.00	1.14	0.00	1.14	85.0	0.97
04/27/2018	00088272160 DUNN, CHESTER R.	SMALLCAP WORLD FUND-C	B	114.00	1.14	0.00	1.14	85.0	0.97
04/27/2018	00088495479 SECHRIST, STEVE	CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07	85.0	0.06
04/27/2018	00088495479 SECHRIST, STEVE	CAPITAL INCOME BUILDER-C	B	6.94	0.07	0.00	0.07	85.0	0.06
04/27/2018	00088495479 SECHRIST, STEVE	CAPITAL WORLD GROWTH AND INCOME FUN B		13.88	0.14	0.00	0.14	85.0	0.12
04/27/2018	00088495479 SECHRIST, STEVE	CAPITAL WORLD GROWTH AND INCOME FUN B		13.87	0.14	0.00	0.14	85.0	0.12
04/27/2018	00088495479 SECHRIST, STEVE	THE GROWTH FUND OF AMERICA-C	B	6.93	0.07	0.00	0.07	85.0	0.06
04/27/2018	00088495479 SECHRIST, STEVE	THE GROWTH FUND OF AMERICA-C	B	6.94	0.07	0.00	0.07	85.0	0.06
04/27/2018	00088753150 MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	85.0	0.53
04/27/2018	00088753150 MCBRIDE, STEVEN M.	AMERICAN FUNDS 2040 TARGET DATE-C	B	61.56	0.62	0.00	0.62	85.0	0.53
04/27/2018	00089144953 RODRIGUEZ, JOHNATAN	SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15	85.0	0.13
04/27/2018	00089144953 RODRIGUEZ, JOHNATAN	SMALLCAP WORLD FUND-C	B	15.00	0.15	0.00	0.15	85.0	0.13
04/26/2018	00089506836 HAWKINS, SAMUEL L.	AMERICAN FUNDS FUNDAMENTAL	INVES B	110.75	1.33	0.00	1.33	85.0	1.13
04/26/2018	00089506836 HAWKINS, SAMUEL L.	AMERICAN FUNDS FUNDAMENTAL	INVES B	70.75	0.85	0.00	0.85	85.0	0.72
04/26/2018	00089506836 HAWKINS, SAMUEL L.	AMERICAN FUNDS NEW ECONOMY FD	B	110.76	1.33	0.00	1.33	85.0	1.13
04/26/2018	00089506836 HAWKINS, SAMUEL L.	AMERICAN FUNDS NEW ECONOMY FD	B	70.76	0.85	0.00	0.85	85.0	0.72
05/01/2018	00089514707 HAMILTON, JAMES	THE NEW ECONOMY FUND-529A	B	50.00	2.13	0.00	2.13	85.0	1.81
04/27/2018	00089680678 CHAMPION, AUBREY J.	THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25	85.0	0.21
04/27/2018	00089680678 CHAMPION, AUBREY J.	THE NEW ECONOMY FUND-C	B	24.60	0.25	0.00	0.25	85.0	0.21
05/01/2018	00089985511 YOUNG, VICTORIA JOBE	FUNDAMENTAL INVESTORS-C	B	100.00	1.00	0.00	1.00	85.0	0.85
04/26/2018	04000150405 BLAKE, MATTHEW	THE GROWTH FUND OF AMERICA-C	B	153.00	1.53	0.00	1.53	85.0	1.30
04/26/2018	04000150405 BLAKE, MATTHEW	THE GROWTH FUND OF AMERICA-C	B	153.00	1.53	0.00	1.53	85.0	1.30
04/27/2018	04000175390 MCADAMS, NICHOLAS W.	THE GROWTH FUND OF AMERICA-C	B	35.47	0.35	0.00	0.35	85.0	0.30
04/27/2018	04000175390 MCADAMS, NICHOLAS W.	THE GROWTH FUND OF AMERICA-C	B	35.47	0.35	0.00	0.35	85.0	0.30
05/04/2018	04000234902 OTT, BRIAN L.	FUNDAMENTAL INVESTORS-529A	B	250.00	12.50	0.00	12.50	85.0	10.63
04/23/2018	04000273061 TORREZ, HEATHER	FUNDAMENTAL INVESTORS-529C	B	375.00	3.75	0.00	3.75	85.0	3.19
04/27/2018	04000365188 BISHOP, JILL R.	NEW PERSPECTIVE FUND-C	B	18.14	0.18	0.00	0.18	85.0	0.15
04/27/2018	04000365188 BISHOP, JILL R.	NEW PERSPECTIVE FUND-C	B	18.14	0.18	0.00	0.18	85.0	0.15
04/27/2018	04000531880 SOLIZ, OSCAR	CAPITAL WORLD GROWTH AND INCOME FUN B		23.10	0.23	0.00	0.23	85.0	0.20
04/27/2018	04000531880 SOLIZ, OSCAR	CAPITAL WORLD GROWTH AND INCOME FUN B		23.10	0.23	0.00	0.23	85.0	0.20
04/26/2018	04000623745 BLAKE, DAVID	THE GROWTH FUND OF AMERICA-C	B	132.00	1.32	0.00	1.32	85.0	1.12

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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

04/26/2018	04000623745	BLAKE, DAVID	THE GROWTH FUND OF AMERICA-C	B	132.00	1.32	0.00	1.32	85.0	1.12
04/27/2018	04000660400	TAYLOR, ROBERT T.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	18.23	0.50	0.00	0.50	85.0	0.43
04/27/2018	04000660400	TAYLOR, ROBERT T.	AMERICAN FUNDS AMERICAN MUTUAL FD	B	18.23	0.50	0.00	0.50	85.0	0.43
04/26/2018	04000695668	GILBREATH, LAURA	AMERICAN FUNDS FUNDAMENTAL	INVES B	125.00	1.50	0.00	1.50	85.0	1.28
		D.								
04/26/2018	04000695668	GILBREATH, LAURA	AMERICAN FUNDS FUNDAMENTAL	INVES B	96.00	1.15	0.00	1.15	85.0	0.98
		D.								
* MUTUAL FUNDS SUBTOTAL *					\$6,627.73	\$91.19	\$0.00	\$91.19		\$77.57

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

05/15/2018 TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES	0.00	3.16	0.00	3.16	85.0	2.69
	* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$3.16	\$0.00	\$3.16		\$2.69
	*** BROKER TRANSACTIONS TOTAL ***	\$6,627.73	\$94.35	\$0.00	\$94.35		\$80.26

ADJUSTMENTS

05/15/2018	E & O INSURANCE: 1/2 MONTHLY		0.00	0.00	0.00	0.00	-100.00
05/15/2018	TECH: MONTHLY TECH SERVICES		0.00	0.00	0.00	0.00	-27.50
*** ADJUSTMENTS TOTAL ***				\$0.00	\$0.00	\$0.00	\$-127.50

BROKER COMMISSION TOTALS

Broker Transactions	\$80.26
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-127.50
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$-47.24
Year-to-date Earnings	\$23,791.27