

## COMMISSION STATEMENT

May 31, 2018

ERIC BELZ  
1802 LITTLE JOHN STREET  
  
MONTGOMERY, TX 77316

BROKER #: C40 C41  
BRANCH: HOME OFFICE

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
05/08/2018 (None)	VARIOUS, BELZ ERIC	ADVISOR GUIDED TRAILS	B	0.00	13.17	0.00	13.17	90.0	11.85
05/22/2018 (None)	VARIOUS, BELZ ERIC	OPPENHEIMER-68381B101	B	0.00	8.00	0.00	8.00	90.0	7.20
05/15/2018 00551427251	PLAN	PUTNAM 746933100	B	100.00	5.00	0.00	5.00	90.0	4.50
05/15/2018 00551427251	PLAN	PUTNAM 74676P102	B	100.00	5.00	0.00	5.00	90.0	4.50
* MUTUAL FUNDS SUBTOTAL *				\$200.00	\$31.17	\$0.00	\$31.17		\$28.05
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
05/31/2018		FEE COUNT - 1		0.00	24.90	0.00	24.90	90.0	22.41
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$24.90	\$0.00	\$24.90		\$22.41
*** BROKER TRANSACTIONS TOTAL ***				\$200.00	\$56.07	\$0.00	\$56.07		\$50.46

SPLIT TRANSACTIONSBROKER: RICHARD BELZ #77

05/21/2018 (None)	VARIOUS, BELZ RICHARD	JOHN HANCOCK INVESTMENTS	B	0.00	0.06	0.00	0.06		0.05
05/08/2018 000000000055499	SAVELIS, LAILA	FRANKLIN/TEMPLETON FUNDS 353533763	B	19.38	0.73	0.00	0.73		0.66
05/22/2018 000000000055499	SAVELIS, LAILA	FRANKLIN/TEMPLETON FUNDS 353533763	B	19.38	0.73	0.00	0.73		0.66
05/08/2018 0000000000591610	VARIOUS, BELZ	FRANKLIN TEMPLETON	B	34.00	0.68	0.00	0.68		0.61
05/22/2018 0000000000591610	VARIOUS, BELZ	FRANKLIN TEMPLETON	B	34.00	0.68	0.00	0.68		0.61
05/01/2018 0000000000888774	COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	B	5.44	0.15	0.00	0.15		0.14
05/15/2018 0000000000888774	COLEMAN,	FRK AGGRESSIVE GRWTH - CL A	B	5.44	0.15	0.00	0.15		0.14
05/08/2018 000000002577132	OTTO, DAVID	FRANKLIN SMALL-MID CAP GROWTH FUND	B	25.00	0.25	0.00	0.25		0.23
05/22/2018 000000002577132	OTTO, DAVID	FRANKLIN SMALL-MID CAP GROWTH FUND	B	25.00	0.25	0.00	0.25		0.23
05/01/2018 000000004328098	COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	18.13	0.51	0.00	0.51		0.46
05/15/2018 000000004328098	COLEMAN,	FRK TEMP FOUNDING FUNDS ALLOC FND C B	B	18.13	0.51	0.00	0.51		0.46
05/01/2018 000000004468094	COLEMAN,	MUTUAL DISC FND - CL A	B	7.25	0.20	0.00	0.20		0.18
05/15/2018 000000004468094	COLEMAN,	MUTUAL DISC FND - CL A	B	7.25	0.20	0.00	0.20		0.18
05/08/2018 000000005055304	SULLIVAN,	MUTUAL DISC FND - CL A	B	6.25	0.31	0.00	0.31		0.28
05/22/2018 000000005055304	SULLIVAN,	MUTUAL DISC FND - CL A	B	6.25	0.31	0.00	0.31		0.28
05/08/2018 000010186458322	VARIOUS, BELZ	FRANKLIN U.S. GOVERNMENT SECURITIES B	B	8.50	0.17	0.00	0.17		0.15

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<u>SPLIT TRANSACTIONS</u>									
<b>BROKER: RICHARD BELZ #77</b>									
05/22/2018	000010186458322	VARIOUS, BELZ FRANKLIN U.S. GOVERNMENT SECURITIES B		8.50	0.17	0.00	0.17		0.15
05/08/2018	000015813591680	SAVELIS, LAILA FRANKLIN RISING DIVIDENDS FD CLASS B		19.38	0.73	0.00	0.73		0.66
05/22/2018	000015813591680	SAVELIS, LAILA FRANKLIN RISING DIVIDENDS FD CLASS B		19.38	0.73	0.00	0.73		0.66
05/01/2018	000019411906629	COLEMAN, FRK STRAT INC FND - CL A	B	5.44	0.15	0.00	0.15		0.14
05/15/2018	000019411906629	COLEMAN, FRK STRAT INC FND - CL A	B	5.44	0.15	0.00	0.15		0.14
05/08/2018	000090230176100	SULLIVAN, FRANKLIN INCOME FUND CLASS A	B	6.25	0.25	0.00	0.25		0.23
05/22/2018	000090230176100	SULLIVAN, FRANKLIN INCOME FUND CLASS A	B	6.25	0.25	0.00	0.25		0.23
05/03/2018	00056956375	FERRADO, MICHAEL AMCAP FUND-A	B	10.00	0.43	0.00	0.43		0.39
05/07/2018	00056956375	FERRADO, MICHAEL AMCAP FUND-A	B	10.00	0.43	0.00	0.43		0.39
05/07/2018	00061842239	SCHENK, STEVEN AMCAP FUND-A	B	5.00	0.19	0.00	0.19		0.17
05/02/2018	00063004460	SCHENK, STEVEN WASHINGTON MUTUAL INVESTORS FUND-A	B	6.25	0.23	0.00	0.23		0.21
05/09/2018	00063004460	SCHENK, STEVEN WASHINGTON MUTUAL INVESTORS FUND-A	B	6.25	0.23	0.00	0.23		0.21
05/24/2018	00064802351	SCHENK, ERIC THE GROWTH FUND OF AMERICA-A	B	1.65	0.05	0.00	0.05		0.05
05/24/2018	00064802351	SCHENK, ERIC THE GROWTH FUND OF AMERICA-A	B	1.25	0.03	0.00	0.03		0.03
05/24/2018	00064818808	SCHENK, ERIC AMCAP FUND-A	B	1.00	0.03	0.00	0.03		0.03
04/30/2018	00075280585	MILLER, SAMANTHA AMERICAN BALANCED FUND-A	B	11.25	0.31	0.00	0.31		0.28
04/30/2018	00075280585	MILLER, SAMANTHA THE GROWTH FUND OF AMERICA-A	B	11.25	0.31	0.00	0.31		0.28
05/15/2018	00075280585	MILLER, SAMANTHA AMERICAN BALANCED FUND-A	B	11.25	0.31	0.00	0.31		0.28
05/15/2018	00075280585	MILLER, SAMANTHA THE GROWTH FUND OF AMERICA-A	B	11.25	0.31	0.00	0.31		0.28
05/15/2018	00084040075	WILLIAMS, ALYSSA CAPITAL WORLD GROWTH AND INCOME FUN B		5.00	0.21	0.00	0.21		0.19
05/15/2018	00084040075	WILLIAMS, ALYSSA WASHINGTON MUTUAL INVESTORS FUND-A	B	5.00	0.21	0.00	0.21		0.19
05/15/2018	00088094822	WILLIAMS, ALYSSA GLOBAL BALANCED FUND-C	B	2.50	0.03	0.00	0.03		0.03
04/30/2018	00453533309	BURNS, KATHLEEN PUTNAM 746933100	B	7.50	0.21	0.00	0.21		0.19
04/30/2018	00453533309	BURNS, KATHLEEN PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	7.50	0.21	0.00	0.21		0.19
05/15/2018	00453533309	BURNS, KATHLEEN PUTNAM 746933100	B	7.50	0.21	0.00	0.21		0.19
05/15/2018	00453533309	BURNS, KATHLEEN PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	7.50	0.21	0.00	0.21		0.19
05/11/2018	00487862253	PERUGINI, MILDRED PUTNAM 746745108	B	5.00	0.14	0.00	0.14		0.13
05/11/2018	00487862253	PERUGINI, MILDRED PUTNAM 746802800	B	7.50	0.21	0.00	0.21		0.19
05/11/2018	00487862253	PERUGINI, MILDRED PUTNAM NEW OPPORTUNITIES FUND CLASS B	B	7.50	0.21	0.00	0.21		0.19
05/16/2018	00493247534	PLAN PUTNAM 74680E101	B	7.50	0.28	0.00	0.28		0.25
04/23/2018	00503977532	SCHAEFER, DOREEN PUTNAM 746809102	B	1.25	0.06	0.00	0.06		0.05
04/23/2018	00503977532	SCHAEFER, DOREEN PUTNAM GLOBAL EQUITY FUND CLASS A	B	1.25	0.06	0.00	0.06		0.05
05/08/2018	00503977532	SCHAEFER, DOREEN PUTNAM 746809102	B	1.25	0.06	0.00	0.06		0.05
05/08/2018	00503977532	SCHAEFER, DOREEN PUTNAM GLOBAL EQUITY FUND CLASS A	B	1.25	0.06	0.00	0.06		0.05
04/30/2018	00514953902	STELLATO, BRIAN PUTNAM 746809102	B	5.00	0.19	0.00	0.19		0.17
04/30/2018	00514953902	STELLATO, BRIAN PUTNAM GLOBAL EQUITY FUND CLASS A	B	5.00	0.19	0.00	0.19		0.17
05/15/2018	00514953902	STELLATO, BRIAN PUTNAM 746809102	B	5.00	0.19	0.00	0.19		0.17
05/15/2018	00514953902	STELLATO, BRIAN PUTNAM GLOBAL EQUITY FUND CLASS A	B	5.00	0.19	0.00	0.19		0.17
04/23/2018	00515030771	ONORATO, LAURA PUTNAM 746933100	B	15.05	0.41	0.00	0.41		0.37
04/23/2018	00515030771	ONORATO, LAURA PUTNAM GLOBAL CUSTOMER FUND CLASS A	B	10.15	0.28	0.00	0.28		0.25

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<u>SPLIT TRANSACTIONS</u>									
<b>BROKER: RICHARD BELZ #77</b>									
04/23/2018	00515030771	ONORATO, LAURA	PUTNAM-746764109	B	9.80	0.27	0.00	0.27	0.24
05/08/2018	00515030771	ONORATO, LAURA	PUTNAM 746933100	B	15.05	0.41	0.00	0.41	0.37
05/08/2018	00515030771	ONORATO, LAURA	PUTNAM GLOBAL CUSTOMER FUND CLASS A B		10.15	0.28	0.00	0.28	0.25
05/08/2018	00515030771	ONORATO, LAURA	PUTNAM-746764109	B	9.80	0.27	0.00	0.27	0.24
05/16/2018	00550827572	PLAN	PUTNAM 74680E101	B	7.50	0.28	0.00	0.28	0.25
04/30/2018	00551218283	C GARRUPPO,	PUTNAM 746802800	B	1.25	0.06	0.00	0.06	0.05
04/30/2018	00551218283	C GARRUPPO,	PUTNAM 746763200	B	2.50	0.13	0.00	0.13	0.12
04/30/2018	00551218283	C GARRUPPO,	PUTNAM-746764109	B	1.25	0.06	0.00	0.06	0.05
05/15/2018	00551218283	C GARRUPPO,	PUTNAM 746802800	B	1.25	0.06	0.00	0.06	0.05
05/15/2018	00551218283	C GARRUPPO,	PUTNAM 746763200	B	2.50	0.13	0.00	0.13	0.12
05/15/2018	00551218283	C GARRUPPO,	PUTNAM-746764109	B	1.25	0.06	0.00	0.06	0.05
04/23/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746745108	B	6.25	0.31	0.00	0.31	0.28
04/23/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746933100	B	5.00	0.25	0.00	0.25	0.23
04/23/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746802800	B	6.25	0.31	0.00	0.31	0.28
04/23/2018	00551279988	AZZATO, JOSEPH	PUTNAM-746764109	B	7.50	0.38	0.00	0.38	0.34
05/08/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746745108	B	6.25	0.31	0.00	0.31	0.28
05/08/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746933100	B	5.00	0.25	0.00	0.25	0.23
05/08/2018	00551279988	AZZATO, JOSEPH	PUTNAM 746802800	B	6.25	0.31	0.00	0.31	0.28
05/08/2018	00551279988	AZZATO, JOSEPH	PUTNAM-746764109	B	7.50	0.38	0.00	0.38	0.34
04/30/2018	00551371986	ROSSO, RONALD	PUTNAM 746747104	B	1.25	0.06	0.00	0.06	0.05
04/30/2018	00551371986	ROSSO, RONALD	PUTNAM 746802800	B	2.00	0.10	0.00	0.10	0.09
04/30/2018	00551371986	ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	1.75	0.09	0.00	0.09	0.08
05/15/2018	00551371986	ROSSO, RONALD	PUTNAM 746747104	B	1.25	0.06	0.00	0.06	0.05
05/15/2018	00551371986	ROSSO, RONALD	PUTNAM 746802800	B	2.00	0.10	0.00	0.10	0.09
05/15/2018	00551371986	ROSSO, RONALD	PUTNAM SMALL CAP GROWTH FUND CLASS	B	1.75	0.09	0.00	0.09	0.08
05/08/2018	01000144893	VARIOUS, BELZ	OPPENHEIMER VARIOUS	B	0.00	88.90	0.00	88.90	80.01
05/22/2018	01000144893	VARIOUS, BELZ	OPPENHEIMER 683793400	B	0.00	66.32	0.00	66.32	59.69
05/04/2018	QGW039125	WHITE, KERRY	GABELLI UTILITIES FUND CLASS A	S	-2,636.81	1.25	0.00	1.25	1.13
05/08/2018	QGW031783	JACKSON, FRANK	WHIRLPOOL CORP	B	768.16	3.75	0.60	3.15	2.78
05/18/2018	QGW035040	THUILLOT, CHARLES	TRANSOCEAN LTD US LISTED	S	-1,300.00	14.30	0.60	13.70	12.27
05/08/2018	QGW035198	WULFF, HANS	ABBVIE INC	B	490.05	3.75	0.60	3.15	2.78
05/10/2018	QGW035214	BIANCO, BARBARA	BUCKEYE PARTNERS LP UNITS LTD PARTN	B	1,230.00	9.40	0.60	8.80	7.86
05/08/2018	QGW035248	BELZ, RICHARD	ABBVIE INC	B	490.35	3.75	0.60	3.15	2.78
05/16/2018	QGW035248	BROCHARD, THOMAS	WHIRLPOOL CORP	B	782.02	3.75	0.60	3.15	2.78
05/18/2018	QGW035248	BROCHARD, THOMAS	TRANSOCEAN LTD US LISTED	S	-1,012.50	11.14	0.60	10.54	9.43
05/08/2018	QGW035404	RICCA, MARIA	ABBVIE INC	B	490.55	3.75	0.60	3.15	2.78
05/10/2018	QGW035511	LYDEN, MARY	BUCKEYE PARTNERS LP UNITS LTD PARTN	B	2,050.00	13.40	0.60	12.80	11.46
05/18/2018	QGW035545	KMIECIAK, INES	ENERGOUS CORP COM	B	800.00	10.32	0.60	9.72	8.69
05/08/2018	QGW035552	KMIECIAK, ZBIGNIEW	ABBVIE INC	B	490.59	3.75	0.60	3.15	2.78
05/08/2018	QGW035552	KMIECIAK, ZBIGNIEW	FAT BRANDS INC COMMON STOCK	S	-615.00	3.25	0.60	2.65	2.33

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<u>SPLIT TRANSACTIONS</u>									
<b>BROKER: RICHARD BELZ #77</b>									
05/18/2018	QGW035552	KMIECIAK, ZBIGNIEW	ENERGOUS CORP COM	B	1,000.00	12.90	0.60	12.30	11.01
05/08/2018	QGW035560	AMENITA, FREDERICK	ABBVIE INC	B	490.50	3.75	0.60	3.15	2.78
05/08/2018	QGW035594	DUSATKO, ANNE	ABBVIE INC	B	490.94	3.75	0.60	3.15	2.78
05/10/2018	QGW035594	DUSATKO, ANNE	BUCKEYE PARTNERS LP UNITS LTD PARTN	B	2,050.00	13.40	0.60	12.80	11.46
05/08/2018	QGW035644	MONGELUZZI, LINDA	ABBVIE INC	B	490.69	3.75	0.60	3.15	2.78
05/08/2018	QGW035644	MONGELUZZI, LINDA	FAT BRANDS INC COMMON STOCK	S	-206.13	0.80	0.60	0.20	0.12
05/16/2018	QGW035644	MONGELUZZI, LINDA	WHIRLPOOL CORP	B	783.45	3.75	0.60	3.15	2.78
05/04/2018	QGW035651	YONGE, DAVID	SACHEM CAPITAL CORP COMMON SHARES	B	638.93	1.00	0.60	0.40	0.30
05/18/2018	QGW035685	EICHENHOLZ, SONDR	ENERGOUS CORP COM	B	1,000.00	12.90	0.60	12.30	11.01
05/18/2018	QGW035693	MASSA, WILLIAM	ENERGOUS CORP COM	B	1,000.00	12.90	0.60	12.30	11.01
05/08/2018	QGW035701	SZARKA, MICHAEL	ABBVIE INC	B	490.09	3.75	0.60	3.15	2.78
05/18/2018	QGW035701	SZARKA, MICHAEL	TRANSOCEAN LTD US LISTED	S	-810.00	10.45	0.60	9.85	8.81
05/18/2018	QGW035768	MASSA, PATRICIA	TRANSOCEAN LTD US LISTED	S	-1,300.00	14.30	0.60	13.70	12.27
05/09/2018	QGW035776	ZIMMERMAN, FRANCINE	WHIRLPOOL CORP	B	764.62	3.75	0.60	3.15	2.78
05/18/2018	QGW035776	ZIMMERMAN, FRANCINE	NABORS INDUSTRIES LTD	S	-1,600.00	14.08	0.60	13.48	12.07
05/04/2018	QGW035834	CHAUDHRY, JAHANZEB	ABBVIE INC	B	490.79	3.75	0.60	3.15	2.78
05/07/2018	QGW035834	CHAUDHRY, JAHANZEB	WHIRLPOOL CORP	B	761.56	3.75	0.60	3.15	2.78
05/10/2018	QGW035834	CHAUDHRY, JAHANZEB	BUCKEYE PARTNERS LP UNITS LTD PARTN	B	2,050.00	11.25	0.60	10.65	9.53
05/08/2018	QGW035867	ROGERS, MICHELE	FAT BRANDS INC COMMON STOCK	S	-302.50	2.00	0.60	1.40	1.20
05/08/2018	QGW035925	MOTT, LINDA	ABBVIE INC	B	490.69	3.75	0.60	3.15	2.78
05/08/2018	QGW035925	MOTT, LINDA	ABBVIE INC	B	-490.69	-3.75	-0.60	-3.15	-2.78 C
05/03/2018	QGW035982	PANASUK, JOHN	ABBVIE INC	B	491.93	3.75	0.60	3.15	2.78
05/04/2018	QGW035982	PANASUK, JOHN	WHIRLPOOL CORP	B	748.19	3.75	0.60	3.15	2.78
05/07/2018	QGW035990	HERDTER, RICHARD	OPKO HEALTH INC	S	-160.67	3.25	0.60	2.65	2.33
05/07/2018	QGW035990	HERDTER, RICHARD	SNAP INC CLASS A COMMON STOCK	S	-375.30	3.50	0.60	2.90	2.55
05/18/2018	QGW035990	HERDTER, RICHARD	ENERGOUS CORP COM	B	1,000.00	12.90	0.60	12.30	11.01
05/21/2018	QGW035990	HERDTER, RICHARD	KRATOS DEFENSE & SEC SOLUTIONS	S	-503.10	7.75	0.60	7.15	6.38
05/18/2018	QGW036006	WALLNER, ERIC	TRANSOCEAN LTD US LISTED	S	-715.00	9.22	0.60	8.62	7.70
05/03/2018	QGW036030	BALLO, CATHERINE	ABBVIE INC	B	491.78	3.75	0.60	3.15	2.78
05/10/2018	QGW036030	BALLO, CATHERINE	BUCKEYE PARTNERS LP UNITS LTD PARTN	B	2,050.00	13.40	0.60	12.80	11.46
05/16/2018	QGW036030	BALLO, CATHERINE	WHIRLPOOL CORP	B	784.23	3.75	0.60	3.15	2.78
05/18/2018	QGW036048	FITZPATRICK, BARRY	ENERGOUS CORP COM	B	1,000.00	12.90	0.60	12.30	11.01
05/18/2018	QGW036048	FITZPATRICK, BARRY	NABORS INDUSTRIES LTD	S	-800.00	10.32	0.60	9.72	8.69
05/18/2018	QGW036048	FITZPATRICK, BARRY	TRANSOCEAN LTD US LISTED	S	-1,012.50	11.14	0.60	10.54	9.43
05/04/2018	QGW036055	MATACOTTA, CORINNA	WHIRLPOOL CORP	B	748.19	4.95	0.60	4.35	3.86
05/21/2018	QGW036063	PICCININNI,	KRATOS DEFENSE & SEC SOLUTIONS	S	-1,120.00	9.75	0.60	9.15	8.18
05/08/2018	QGW036089	HENNEMANN, PATRICIA	ABBVIE INC	B	490.74	3.75	0.60	3.15	2.78
05/08/2018	QGW036105	ARDOLINO, SUSAN	ABBVIE INC	B	490.40	3.75	0.60	3.15	2.78
05/18/2018	QGW036121	CZACHOR, MICHAEL	ENERGOUS CORP COM	B	600.00	7.74	0.60	7.14	6.37
05/18/2018	QGW036246	LENTO, REGINA	TRANSOCEAN LTD US LISTED	S	-650.00	8.39	0.60	7.79	6.95

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BROKER: RICHARD BELZ #77										
05/18/2018	QGW036329	WOLF, KIM		ENERGOUS CORP COM	B	500.00	7.25	0.60	6.65	5.93
05/18/2018	QGW036329	WOLF, KIM		ENERGOUS CORP COM	B	-500.00	-7.25	-0.60	-6.65	-5.93 C
05/18/2018	QGW036329	WOLF, KIM		ENERGOUS CORP COM	B	500.00	7.25	0.60	6.65	5.93
05/18/2018	QGW036329	WOLF, KIM		TRANSOCEAN LTD US LISTED	S	-1,300.00	14.30	0.60	13.70	12.27
05/18/2018	QGW036329	WOLF, KIM		TRANSOCEAN LTD US LISTED	S	1,300.00	-14.30	-0.60	-13.70	-12.27 C
05/18/2018	QGW036329	WOLF, KIM		TRANSOCEAN LTD US LISTED	S	-1,300.00	14.30	0.60	13.70	12.27
05/18/2018	QGW036394	LIPANI, CHRISTINE		ENERGOUS CORP COM	B	1,000.00	12.90	0.60	12.30	11.01
05/08/2018	QGW036956	BROCHARD, THOMAS		ABBVIE INC	B	490.69	3.75	0.60	3.15	2.78
05/18/2018	QGW036956	BROCHARD, THOMAS		ENERGOUS CORP COM	B	500.00	7.25	0.60	6.65	5.93
05/08/2018	QGW036980	MARCOLINI, COREY		ABBVIE INC	B	490.84	3.75	0.60	3.15	2.78
05/08/2018	QGW036980	MARCOLINI, COREY		WHIRLPOOL CORP	B	766.94	3.75	0.60	3.15	2.78
05/08/2018	QGW037012	STAAB, MARY		ABBVIE INC	B	490.15	3.75	0.60	3.15	2.78
05/08/2018	QGW038382	VINCENTI, ROBERT		ABBVIE INC	B	490.09	3.75	0.60	3.15	2.78
05/09/2018	QGW038382	VINCENTI, ROBERT		VANGUARD INDEX FUNDS VANGUARD GROWT S		-139.64	1.75	0.60	1.15	0.98
05/09/2018	QGW038382	VINCENTI, ROBERT		VANGUARD INDEX FUNDS VANGUARD VALUE S		-119.71	1.75	0.60	1.15	0.98
05/03/2018	QGW038556	LAPINTA, LEONARD		ABBVIE INC	B	492.13	3.75	0.60	3.15	2.78
05/03/2018	QGW038556	LAPINTA, LEONARD		WHIRLPOOL CORP	B	751.81	3.75	0.60	3.15	2.78
05/08/2018	QGW038770	RICCA, MARIA		ABBVIE INC	B	490.50	3.75	0.60	3.15	2.78
05/08/2018	QGW038770	RICCA, MARIA		ABBVIE INC	B	-490.50	-3.75	-0.60	-3.15	-2.78 C
05/08/2018	QGW038770	RICCA, MARIA		ABBVIE INC	B	490.50	3.75	0.60	3.15	2.78
05/10/2018	QGW038770	RICCA, MARIA		BUCKEYE PARTNERS LP UNITS LTD PARTN B		1,640.00	11.25	0.60	10.65	9.53
05/16/2018	QGW038770	RICCA, MARIA		WHIRLPOOL CORP	B	782.47	3.75	0.60	3.15	2.78
05/02/2018	QGW039083	SIMMONS, RICHARD		NEW RESIDENTIAL INVESTMENT CORP	B	885.50	10.75	0.60	10.15	9.08
05/08/2018	QGW039083	SIMMONS, RICHARD		ABBVIE INC	B	489.95	3.75	0.60	3.15	2.78
05/08/2018	QGW039083	SIMMONS, RICHARD		WHIRLPOOL CORP	B	765.22	3.75	0.60	3.15	2.78
05/10/2018	QGW039083	SIMMONS, RICHARD		BUCKEYE PARTNERS LP UNITS LTD PARTN B		1,435.00	7.95	0.60	7.35	6.56
05/07/2018	QGW039125	WHITE, KERRY		ABBVIE INC	B	492.40	3.75	0.60	3.15	2.78
05/09/2018	QGW039125	WHITE, KERRY		COMPANHIA DE SANEAMENTO BASICO DO E B		135.75	1.59	0.60	0.99	0.83
05/10/2018	QGW039125	WHITE, KERRY		BUCKEYE PARTNERS LP UNITS LTD PARTN B		2,050.00	13.40	0.60	12.80	11.46
05/10/2018	QGW039125	WHITE, KERRY		COMPANHIA DE SANEAMENTO BASICO DO E B		527.40	6.36	0.60	5.76	5.12
05/16/2018	QGW039125	WHITE, KERRY		NEW RESIDENTIAL INVESTMENT CORP	B	902.83	8.40	0.60	7.80	6.96
05/16/2018	QGW039125	WHITE, KERRY		WHIRLPOOL CORP	B	781.93	3.75	0.60	3.15	2.78
05/10/2018	QGW039240	MONGELUZZI, LINDA		BUCKEYE PARTNERS LP UNITS LTD PARTN B		1,845.00	11.25	0.60	10.65	9.53
05/03/2018	QGW039745	LEWIS, THOMAS		ABBVIE INC	B	491.44	3.75	0.60	3.15	2.78
05/03/2018	QGW039745	LEWIS, THOMAS		WHIRLPOOL CORP	B	746.31	3.75	0.60	3.15	2.78
05/09/2018	QGW039745	LEWIS, THOMAS		NEW RESIDENTIAL INVESTMENT CORP	B	891.00	7.75	0.60	7.15	6.38
05/09/2018	QGW039745	LEWIS, THOMAS		NINTENDO CO LTD-ADR NEW	B	2,650.00	16.45	0.60	15.85	14.21
05/09/2018	QGW039745	LEWIS, THOMAS		SACHEM CAPITAL CORP COMMON SHARES	B	779.10	18.90	0.60	18.30	16.41
05/10/2018	QGW039745	LEWIS, THOMAS		BUCKEYE PARTNERS LP UNITS LTD PARTN B		2,050.00	13.40	0.60	12.80	11.46
05/15/2018	QGW039745	LEWIS, THOMAS		SACHEM CAPITAL CORP COMMON SHARES	B	518.50	12.60	0.60	12.00	10.74

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<b>BROKER: RICHARD BELZ #77</b>									
05/22/2018	QGW039745 LEWIS, THOMAS	PAYPAL HOLDINGS INC COM	B	3,241.60	16.45	0.60	15.85		14.21
05/03/2018	QGW039794 OF JULIE LEONARD,	GWG HLDGS INC COM	S	-200.35	3.00	0.60	2.40		2.10
05/03/2018	QGW039794 OF JULIE LEONARD,	GWG HLDGS INC COM	S	200.35	-3.00	-0.60	-2.40		-2.10 C
05/03/2018	QGW039794 OF JULIE LEONARD,	GWG HLDGS INC COM	S	-200.35	3.00	0.60	2.40		2.10
05/03/2018	QGW039794 OF JULIE LEONARD,	MANHATTAN BRDG CAP INC COM	S	-943.75	12.45	0.60	11.85		10.61
05/03/2018	QGW039794 OF JULIE LEONARD,	NINTENDO CO LTD-ADR NEW	S	-2,625.50	19.25	0.60	18.65		16.73
05/03/2018	QGW039794 OF JULIE LEONARD,	NVENT ELECTRIC PLC ORDINARY SHARES	S	-471.51	6.25	0.60	5.65		5.03
05/03/2018	QGW039794 OF JULIE LEONARD,	PENTAIR PLC SHS	S	-883.28	12.25	0.60	11.65		10.43
05/03/2018	QGW039844 KNECHT, MICHAEL	ABBVIE INC	B	491.58	3.75	0.60	3.15		2.78
05/10/2018	QGW039844 KNECHT, MICHAEL	BUCKEYE PARTNERS LP UNITS LTD PARTN B	B	1,025.00	4.95	0.60	4.35		3.86
05/10/2018	QGW039869 OHARA, JOHN	BUCKEYE PARTNERS LP UNITS LTD PARTN B	B	2,050.00	13.40	0.60	12.80		11.46
05/23/2018	QGW039869 OHARA, JOHN	SACHEM CAPITAL CORP COMMON SHARES	B	999.05	23.85	0.60	23.25		20.87
05/29/2018	QGW039869 OHARA, JOHN	WALT DISNEY CO	B	2,021.00	14.75	0.60	14.15		12.68
05/23/2018	QGW039893 COLON, JORGE	NEW RESIDENTIAL INVESTMENT CORP	B	816.12	10.90	0.60	10.30		9.21
05/18/2018	QGW039919 FLANAGAN, MATTHEW	WALT DISNEY CO	B	780.35	6.25	0.60	5.65		5.03
05/07/2018	QGV890403 RF LAFFERTY & CO	CALL 100 TRANSOCEAN LTD REG SHS ISI S	S	-10.35	1.20	0.71	0.49		0.37
05/07/2018	QGV890403 RF LAFFERTY & CO	CALL 100 TRANSOCEAN LTD REG SHS ISI S	S	10.35	-1.20	-0.71	-0.49		-0.37 C
05/07/2018	QGV890403 RF LAFFERTY & CO	CALL 100 TRANSOCEAN LTD REG SHS ISI S	S	-10.35	1.20	0.71	0.49		0.37
05/07/2018	QGV890403 RF LAFFERTY & CO	CALL 100 TRANSOCEAN LTD REG SHS ISI S	S	10.35	-1.20	-0.71	-0.49		-0.37 C
05/02/2018	QGW031783 JACKSON, FRANK	CALL 100 VUZIX CORP COM NEW EXP 05- S	S	-15.00	1.45	0.85	0.60		0.46
05/30/2018	QGW031783 JACKSON, FRANK	CALL 100 VUZIX CORP COM NEW EXP 01- S	S	-85.00	4.95	0.85	4.10		3.61
05/01/2018	QGW033375 AMENITA, PATRICIA	PUT 100 VUZIX CORP COM NEW EXP 06-1 S	S	-11.25	1.30	0.71	0.59		0.46
04/30/2018	QGW034415 CHAIMOVITCH, BERNARD	CALL 100 VUZIX CORP COM NEW EXP 05- S	S	-15.00	1.45	0.85	0.60		0.46
04/30/2018	QGW035040 THUILLOT, CHARLES	CALL 100 SNAP INC CL A EXP 05-18-18 S	S	-46.50	2.75	0.79	1.96		1.69
05/22/2018	QGW035123 BOWLEY, KEVIN	CALL 100 TRANSOCEAN LTD REG SHS ISI S	S	-34.50	3.40	0.79	2.61		2.27
04/30/2018	QGW035180 PUE, MARYANN	CALL 100 SNAP INC CL A EXP 05-18-18 S	S	-27.45	2.60	0.71	1.89		1.63
04/30/2018	QGW035198 WULFF, HANS	CALL 100 VUZIX CORP COM NEW EXP 05- S	S	-15.00	1.45	0.85	0.60		0.46
05/01/2018	QGW035198 WULFF, HANS	CALL 100 ENERGOUS CORP COM EXP 05-1 S	S	-12.50	1.25	0.66	0.59		0.47
05/03/2018	QGW035198 WULFF, HANS	CALL 100 COHERUS BIOSCIENCES INC CO S	S	-105.00	4.95	0.85	4.10		3.61
05/02/2018	QGW035206 SKAHILL, ROBERT	CALL 100 VUZIX CORP COM NEW EXP 05- S	S	-15.00	1.40	0.85	0.55		0.41
04/30/2018	QGW035214 BIANCO, BARBARA	PUT 100 VUZIX CORP COM NEW EXP 06-1 S	S	-30.00	2.95	0.85	2.10		1.81
05/02/2018	QGW035248 BELZ, RICHARD	PUT 100 VUZIX CORP COM NEW EXP 06-1 S	S	-45.00	4.10	0.98	3.12		2.71
05/07/2018	QGW035248 BELZ, RICHARD	CALL 100 NABORS INDS LTD SHS ISIN#B S	S	-17.00	1.70	0.85	0.85		0.68
05/07/2018	QGW035248 BELZ, RICHARD	CALL 100 NABORS INDS LTD SHS ISIN#B S	S	17.00	-1.70	-0.85	-0.85		-0.68 C
05/07/2018	QGW035248 BELZ, RICHARD	CALL 100 NABORS INDS LTD SHS ISIN#B S	S	-17.00	1.70	0.85	0.85		0.68
05/16/2018	QGW035248 BROCHARD, THOMAS	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	S	-50.00	4.80	0.91	3.89		3.41
05/22/2018	QGW035248 BROCHARD, THOMAS	CALL 100 ENERGOUS CORP COM EXP 07-2 S	S	-37.50	3.75	0.73	3.02		2.65
05/30/2018	QGW035255 BROCHARD, MARIA	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	S	-34.00	3.25	1.03	2.22		1.90
05/02/2018	QGW035297 SIERRA, KATHLEEN	CALL 100 VUZIX CORP COM NEW EXP 05- S	S	-15.00	1.45	0.85	0.60		0.46
05/30/2018	QGW035297 SIERRA, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	S	-39.00	4.05	1.09	2.96		2.56

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<b>BROKER: RICHARD BELZ #77</b>									
05/02/2018	QGW035347	MODUGNO, ROCCO	CALL 100 VUZIX CORP COM NEW EXP 05- S	-18.75	1.75	0.91	0.84		0.67
05/22/2018	QGW035362	MOON, STEVEN	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-39.90	4.00	0.84	3.16		2.76
05/01/2018	QGW035511	LYDEN, MARY	CALL 100 ENERGOUS CORP COM EXP 05-1 S	-37.50	3.55	0.79	2.76		2.41
05/14/2018	QGW035511	LYDEN, MARY	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-63.25	4.55	0.89	3.66		3.21
05/22/2018	QGW035511	LYDEN, MARY	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-49.00	4.95	0.85	4.10		3.61
04/26/2018	QGW035529	AMENITA, FREDERICK	PUT 100 ENERGOUS CORP COM EXP 05-18 S	15.00	-1.05	-0.68	-0.37		-0.27 C
04/26/2018	QGW035529	AMENITA, FREDERICK	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-15.00	1.05	0.68	0.37		0.27
05/04/2018	QGW035537	LYDEN, MARY	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-56.25	4.95	0.79	4.16		3.67
05/30/2018	QGW035537	LYDEN, MARY	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-30.00	3.10	0.98	2.12		1.81
05/01/2018	QGW035545	KMIECIAK, INES	CALL 100 VUZIX CORP COM NEW EXP 05- S	-0.75	0.07	0.61	-0.54		-0.55
05/02/2018	QGW035545	KMIECIAK, INES	CALL 100 VUZIX CORP COM NEW EXP 05- S	-21.75	1.93	0.96	0.97		0.78
05/30/2018	QGW035545	KMIECIAK, INES	CALL 100 VUZIX CORP COM NEW EXP 01- S	-127.50	4.95	0.98	3.97		3.48
05/30/2018	QGW035545	KMIECIAK, INES	PUT 100 VUZIX CORP COM NEW EXP 01-1 S	-110.00	4.95	0.85	4.10		3.61
05/03/2018	QGW035552	KMIECIAK, ZBIGNIEW	CALL 100 COHERUS BIOSCIENCES INC CO S	-45.00	4.40	0.73	3.67		3.23
05/07/2018	QGW035560	AMENITA, FREDERICK	CALL 100 NABORS INDS LTD SHS ISIN#B S	-19.80	1.95	0.74	1.21		1.02
05/07/2018	QGW035560	AMENITA, FREDERICK	CALL 100 NABORS INDS LTD SHS ISIN#B S	19.80	-1.95	-0.74	-1.21		-1.02 C
05/07/2018	QGW035560	AMENITA, FREDERICK	CALL 100 NABORS INDS LTD SHS ISIN#B S	-19.80	1.95	0.74	1.21		1.02
05/02/2018	QGW035594	DUSATKO, ANNE	PUT 100 ENERGOUS CORP COM EXP 05-18 S	-3.00	0.33	0.61	-0.28		-0.31
05/18/2018	QGW035594	DUSATKO, ANNE	PUT 100 VUZIX CORP COM NEW EXP 07-2 S	-67.50	4.95	0.98	3.97		3.48
05/22/2018	QGW035594	DUSATKO, ANNE	CALL 100 VUZIX CORP COM NEW EXP 07- S	-12.50	1.65	0.91	0.74		0.58
05/22/2018	QGW035610	DAVIS, VICTORIA	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-13.00	1.65	0.66	0.99		0.83
05/09/2018	QGW035644	MONGELUZZI, LINDA	CALL 100 OPKO HEALTH INC COM EXP 06 S	-19.50	2.20	0.98	1.22		1.00
05/21/2018	QGW035644	MONGELUZZI, LINDA	CALL 100 PAYPAL HLDGS INC COM EXP 0 S	-63.00	4.95	0.73	4.22		3.73
05/22/2018	QGW035644	MONGELUZZI, LINDA	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-30.75	3.05	0.79	2.26		1.96
04/30/2018	QGW035669	KOLLAR, ROBERT	CALL 100 VUZIX CORP COM NEW EXP 05- S	-15.00	1.45	0.85	0.60		0.46
04/30/2018	QGW035677	KOLLAR, MAURA	PUT 100 VUZIX CORP COM NEW EXP 05-1 S	-15.75	1.50	0.86	0.64		0.49
05/01/2018	QGW035685	EICHENHOLZ, SONDR	CALL 100 SNAP INC CL A EXP 05-18-18 S	-27.60	2.60	0.70	1.90		1.64
05/22/2018	QGW035701	SZARKA, MICHAEL	CALL 100 VUZIX CORP COM NEW EXP 07- S	-12.00	1.20	0.85	0.35		0.23
05/01/2018	QGW035727	HERDTER, CYNTHIA	PUT 100 VUZIX CORP COM NEW EXP 06-1 S	-28.35	2.65	0.86	1.79		1.53
05/07/2018	QGW035727	HERDTER, CYNTHIA	CALL 100 NABORS INDS LTD SHS ISIN#B S	-19.80	1.75	0.74	1.01		0.84
05/30/2018	QGW035727	HERDTER, CYNTHIA	PUT 100 ENERGOUS CORP COM EXP 07-20 S	-30.00	3.10	0.73	2.37		2.06
05/22/2018	QGW035735	STELLATO, MICHAEL	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-41.00	4.00	0.85	3.15		2.75
04/30/2018	QGW035743	KUDLIK, CHRIS	CALL 100 VUZIX CORP COM NEW EXP 05- S	-7.50	0.95	0.73	0.22		0.13
05/02/2018	QGW035768	MASSA, PATRICIA	CALL 100 VUZIX CORP COM NEW EXP 07- S	-38.00	3.23	1.08	2.15		1.83
05/04/2018	QGW035768	MASSA, PATRICIA	CALL 100 OPKO HEALTH INC COM EXP 06 S	-39.50	2.48	1.59	0.89		0.64
05/07/2018	QGW035768	MASSA, PATRICIA	CALL 100 OPKO HEALTH INC COM EXP 06 S	-30.50	1.92	1.36	0.56		0.37
04/30/2018	QGW035776	ZIMMERMAN, FRANCINE	CALL 100 VUZIX CORP COM NEW EXP 05- S	-9.75	0.94	0.76	0.18		0.09
05/01/2018	QGW035776	ZIMMERMAN, FRANCINE	CALL 100 SNAP INC CL A EXP 05-18-18 S	-52.50	4.95	0.79	4.16		3.67
05/01/2018	QGW035776	ZIMMERMAN, FRANCINE	PUT 100 VUZIX CORP COM NEW EXP 06-1 S	-42.00	3.55	0.98	2.57		2.22
05/22/2018	QGW035776	ZIMMERMAN, FRANCINE	CALL 100 TRANSOCEAN LTD REG SHS ISI S	-42.00	4.15	0.85	3.30		2.89

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<b>BROKER: RICHARD BELZ #77</b>									
05/22/2018	QGW035792	NOLTE, DONNA	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-23.10	2.20	0.74	1.46	1.24
05/22/2018	QGW035792	NOLTE, DONNA	CALL 100	VUZIX CORP COM NEW EXP 07- S	-14.95	1.10	0.89	0.21	0.10
05/22/2018	QGW035792	NOLTE, DONNA	PUT 100	VUZIX CORP COM NEW EXP 10-1 S	-90.00	4.95	0.85	4.10	3.61
05/22/2018	QGW035792	NOLTE, DONNA	PUT 100	VUZIX CORP COM NEW EXP 10-1 S	90.00	-4.95	-0.85	-4.10	-3.61 C
05/07/2018	QGW035826	ORTIZ, DENISE	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-10.35	1.20	0.71	0.49	0.37
05/22/2018	QGW035826	ORTIZ, DENISE	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-24.30	2.60	0.71	1.89	1.63
05/22/2018	QGW035826	ORTIZ, DENISE	CALL 100	TRANSOCEAN LTD REG SHS ISI S	24.30	-2.60	-0.71	-1.89	-1.63 C
04/30/2018	QGW035834	CHAUDHRY, JAHANZEB	CALL 100	SNAP INC CL A EXP 05-18-18 S	-30.00	2.50	0.73	1.77	1.52
04/30/2018	QGW035834	CHAUDHRY, JAHANZEB	CALL 100	VUZIX CORP COM NEW EXP 06- S	-54.00	4.95	1.05	3.90	3.41
05/01/2018	QGW035834	CHAUDHRY, JAHANZEB	PUT 100	VUZIX CORP COM NEW EXP 06-1 S	-37.50	3.55	0.98	2.57	2.22
05/07/2018	QGW035834	CHAUDHRY, JAHANZEB	CALL 100	OPKO HEALTH INC COM EXP 06 S	-3.85	0.35	0.69	-0.34	-0.38
05/08/2018	QGW035834	CHAUDHRY, JAHANZEB	CALL 100	OPKO HEALTH INC COM EXP 06 S	-45.65	4.20	1.64	2.56	2.14
05/04/2018	QGW035883	BUDKEVICS, YANA	CALL 100	VUZIX CORP COM NEW EXP 07- S	-19.25	1.73	0.69	1.04	0.87
05/22/2018	QGW035883	BUDKEVICS, YANA	CALL 100	VUZIX CORP COM NEW EXP 07- S	-6.50	0.95	0.76	0.19	0.10
04/30/2018	QGW035891	DICKIE, JAYNE	CALL 100	SNAP INC CL A EXP 05-18-18 S	-19.20	1.75	0.68	1.07	0.90
04/30/2018	QGW035982	PANASUK, JOHN	CALL 100	ENERGOUS CORP COM EXP 05-1 S	-25.00	1.90	0.85	1.05	0.86
04/30/2018	QGW035982	PANASUK, JOHN	PUT 100	VUZIX CORP COM NEW EXP 05-1 S	-9.75	0.80	0.76	0.04	-0.04
05/01/2018	QGW035982	PANASUK, JOHN	CALL 100	VUZIX CORP COM NEW EXP 06- S	-90.00	4.95	1.35	3.60	3.11
05/07/2018	QGW035982	PANASUK, JOHN	CALL 100	NABORS INDS LTD SHS ISIN#B S	-21.25	1.95	0.91	1.04	0.85
05/22/2018	QGW035982	PANASUK, JOHN	CALL 100	ENERGOUS CORP COM EXP 07-2 S	-65.00	4.95	0.85	4.10	3.61
05/22/2018	QGW035990	HERDTER, RICHARD	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-34.50	4.25	0.79	3.46	3.04
04/30/2018	QGW036030	BALLO, CATHERINE	CALL 100	SNAP INC CL A EXP 05-18-18 S	-58.50	4.95	0.79	4.16	3.67
05/09/2018	QGW036030	BALLO, CATHERINE	CALL 100	OPKO HEALTH INC COM EXP 06 S	-52.25	4.75	1.79	2.96	2.49
05/16/2018	QGW036030	BALLO, CATHERINE	PUT 100	VUZIX CORP COM NEW EXP 07-2 S	-67.50	4.95	0.98	3.97	3.48
05/22/2018	QGW036030	BALLO, CATHERINE	CALL 100	ENERGOUS CORP COM EXP 07-2 S	-56.25	4.95	0.79	4.16	3.67
05/22/2018	QGW036030	BALLO, CATHERINE	CALL 100	NABORS INDS LTD SHS ISIN#B S	-18.50	1.63	0.73	0.90	0.74
05/02/2018	QGW036055	MATACOTTA, CORINNA	CALL 100	TWITTER INC COM EXP 06-15- S	-27.50	2.75	0.73	2.02	1.75
05/07/2018	QGW036063	PICCININNI,	CALL 100	VUZIX CORP COM NEW EXP 06- S	-26.25	2.10	0.79	1.31	1.10
05/22/2018	QGW036071	HENNEMANN, DENNIS	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-23.50	1.15	0.73	0.42	0.31
05/22/2018	QGW036089	HENNEMANN, PATRICIA	CALL 100	VUZIX CORP COM NEW EXP 07- S	-13.00	1.05	0.85	0.20	0.10
05/22/2018	QGW036105	ARDOLINO, SUSAN	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-16.50	1.80	0.68	1.12	0.94
05/01/2018	QGW036154	CALLAHAN, PATRICK	CALL 100	ENERGOUS CORP COM EXP 05-1 S	-12.50	1.35	0.66	0.69	0.56
05/01/2018	QGW036238	LENTO, JOHN	CALL 100	SNAP INC CL A EXP 05-18-18 S	-26.00	2.25	0.70	1.55	1.33
05/07/2018	QGW036246	LENTO, REGINA	CALL 100	TRANSOCEAN LTD REG SHS ISI S	-11.50	1.05	0.73	0.32	0.22
04/30/2018	QGW036337	FARREN, JOSEPHINE	PUT 100	VUZIX CORP COM NEW EXP 05-1 S	-26.25	2.20	1.04	1.16	0.94
05/30/2018	QGW036337	FARREN, JOSEPHINE	PUT 100	VUZIX CORP COM NEW EXP 10-1 S	-75.00	4.95	0.85	4.10	3.61
05/30/2018	QGW036337	FARREN, JOSEPHINE	PUT 100	VUZIX CORP COM NEW EXP 01-1 S	-110.00	4.95	0.85	4.10	3.61
05/02/2018	QGW036386	FRANCK, RACHEL	CALL 100	TWITTER INC COM EXP 06-15- S	-25.50	2.50	0.73	1.77	1.52
05/14/2018	QGW036386	FRANCK, RACHEL	PUT 100	VUZIX CORP COM NEW EXP 07-2 S	-45.00	4.60	0.83	3.77	3.31
05/15/2018	QGW036725	CIRNIGLIARO,	PUT 100	ENERGOUS CORP COM EXP 06-15 S	-20.00	1.95	0.73	1.22	1.03



COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<b>BROKER: RICHARD BELZ #77</b>									
05/30/2018	QGW036725 CIRNIGLIARO,	PUT 100 VUZIX CORP COM NEW EXP 10-1 S		-93.75	4.95	0.91	4.04		3.55
05/07/2018	QGW036899 SARLO, JESSICA	CALL 100 NABORS INDS LTD SHS ISIN#B S		-19.00	1.70	0.85	0.85		0.68
05/01/2018	QGW036956 BROCHARD, THOMAS	PUT 100 ENERGOUS CORP COM EXP 05-18 S		-30.00	2.90	0.73	2.17		1.88
05/02/2018	QGW036956 BROCHARD, THOMAS	CALL 100 VUZIX CORP COM NEW EXP 06- S		-30.00	2.85	0.85	2.00		1.72
05/14/2018	QGW037004 STAAB, MARY	PUT 100 VUZIX CORP COM NEW EXP 06-1 S		-60.00	4.75	1.10	3.65		3.18
05/14/2018	QGW037012 STAAB, MARY	PUT 100 VUZIX CORP COM NEW EXP 06-1 S		-60.00	4.75	1.10	3.65		3.18
05/14/2018	QGW037012 STAAB, MARY	PUT 100 VUZIX CORP COM NEW EXP 06-1 S		60.00	-4.75	-1.10	-3.65		-3.18 C
05/01/2018	QGW037087 01/19/2016, U/A	CALL 100 SNAP INC CL A EXP 05-18-18 S		-26.40	2.20	0.70	1.50		1.28
05/07/2018	QGW037087 01/19/2016, U/A	CALL 100 VUZIX CORP COM NEW EXP 06- S		-35.00	2.65	0.85	1.80		1.54
04/30/2018	QGW037103 BURNS, KATHLEEN	CALL 100 ENERGOUS CORP COM EXP 05-1 S		-27.50	1.50	0.73	0.77		0.62
04/30/2018	QGW037103 BURNS, KATHLEEN	PUT 100 ENERGOUS CORP COM EXP 05-18 S		-30.00	2.40	0.73	1.67		1.43
05/07/2018	QGW037103 BURNS, KATHLEEN	CALL 100 COHERUS BIOSCIENCES INC CO S		-12.25	1.55	0.69	0.86		0.71
05/08/2018	QGW037103 BURNS, KATHLEEN	CALL 100 OPKO HEALTH INC COM EXP 06 S		-36.00	3.00	1.35	1.65		1.35
05/22/2018	QGW037103 BURNS, KATHLEEN	CALL 100 ENERGOUS CORP COM EXP 07-2 S		-32.50	2.45	0.73	1.72		1.48
05/30/2018	QGW037103 BURNS, KATHLEEN	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-36.00	3.05	0.98	2.07		1.77
05/14/2018	QGW038002 WICKERS, SCOTT	PUT 100 VUZIX CORP COM NEW EXP 06-1 S		-37.50	3.55	0.91	2.64		2.29
05/30/2018	QGW038002 WICKERS, SCOTT	PUT 100 VUZIX CORP COM NEW EXP 10-1 S		-75.00	4.95	0.85	4.10		3.61
05/03/2018	QGW038556 LAPINTA, LEONARD	PUT 100 ENERGOUS CORP COM EXP 06-15 S		-45.00	4.35	0.73	3.62		3.19
05/14/2018	QGW038556 LAPINTA, LEONARD	PUT 100 VUZIX CORP COM NEW EXP 07-2 S		-75.00	4.95	0.98	3.97		3.48
05/21/2018	QGW038556 LAPINTA, LEONARD	CALL 100 PAYPAL HLDGS INC COM EXP 0 S		-62.50	4.95	0.73	4.22		3.73
05/22/2018	QGW038978 KMIECIAK, INES	PUT 100 VUZIX CORP COM NEW EXP 10-1 S		-90.00	4.95	0.85	4.10		3.61
05/07/2018	QGW039091 CITARELLA, FRANK	CALL 100 VUZIX CORP COM NEW EXP 06- S		-35.00	3.25	0.85	2.40		2.08
05/01/2018	QGW039117 CUPAIOLO, JOSEPH	CALL 100 VUZIX CORP COM NEW EXP 06- S		-28.50	2.85	0.84	2.01		1.73
05/08/2018	QGW039125 WHITE, KERRY	PUT 100 VUZIX CORP COM NEW EXP 06-1 S		-30.00	2.90	0.98	1.92		1.63
05/08/2018	QGW039125 WHITE, KERRY	PUT 100 YANDEX N V SHS CLASS A ISIN S		-57.50	4.95	0.73	4.22		3.73
05/30/2018	QGW039125 WHITE, KERRY	PUT 100 VUZIX CORP COM NEW EXP 10-1 S		-93.75	4.95	0.91	4.04		3.55
05/02/2018	QGW039745 LEWIS, THOMAS	PUT 100 ENERGOUS CORP COM EXP 05-18 S		-33.75	3.10	0.79	2.31		2.00
05/22/2018	QGW039745 LEWIS, THOMAS	PUT 100 HEICO CORP NEW COM EXP 06-1 S		-80.50	4.75	0.69	4.06		3.59
05/22/2018	QGW039869 OHARA, JOHN	PUT 100 HEICO CORP NEW COM EXP 06-1 S		-38.00	3.75	0.65	3.10		2.73
05/30/2018	QGW039885 CORTESE, ROCCO	PUT 100 VUZIX CORP COM NEW EXP 10-1 S		-75.00	4.95	0.85	4.10		3.61
05/30/2018	QGW039919 FLANAGAN, MATTHEW	PUT 100 VUZIX CORP COM NEW EXP 10-1 S		-75.00	4.95	0.85	4.10		3.61
05/09/2018	000000000591610 VARIOUS, BELZ	FRANKLIN TEMPLETON	B	0.00	60.77	0.00	60.77		54.69
05/17/2018	QGW031783 JACKSON, FRANK	FRANKLIN GROWTH FUND CLASS A	B	0.00	0.21	0.00	0.21		0.19
05/15/2018	QGW034035 FAMILY 2012 IRREV	PUTNAM 746745108	B	0.00	0.48	0.00	0.48		0.43
05/15/2018	QGW034035 FAMILY 2012 IRREV	PUTNAM 746745207	B	0.00	0.61	0.00	0.61		0.55
05/15/2018	QGW034035 FAMILY 2012 IRREV	PUTNAM 746802511	B	0.00	0.50	0.00	0.50		0.45
05/21/2018	QGW034035 FAMILY 2012 IRREV	FEDERATED KAUFMANN FUND	B	0.00	0.88	0.00	0.88		0.79
05/21/2018	QGW034035 FAMILY 2012 IRREV	FEDERATED MDT LARGE CAP VALUE FUND	B	0.00	0.82	0.00	0.82		0.74
05/17/2018	QGW035503 SIMPSON, DAVID	GLOBAL OPPORTUNITIES A	B	0.00	0.06	0.00	0.06		0.05
05/10/2018	QGW036774 STOCKWELL, GLENN	ALLIANCEBERNSTEIN GROWTH AND INC A	B	0.00	0.20	0.00	0.20		0.18

COMMISSION STATEMENT for ERIC BELZ

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>SPLIT TRANSACTIONS</u>									
<b>BROKER: RICHARD BELZ #77</b>									
05/10/2018	QGW036774	STOCKWELL, GLENN	ALLIANCEBERNSTEIN LARGE-CAP GROWTH B	0.00	0.59	0.00	0.59		0.53
05/11/2018	QGW036774	STOCKWELL, GLENN	DREYFUS RESH GROWTH FD INC SHS CL A B	0.00	0.49	0.00	0.49		0.44
05/17/2018	QGW036980	MARCOLINI, COREY	ROCHESTER FUND MUNICIPALS A B	0.00	1.02	0.00	1.02		0.92
05/17/2018	QGW037129	GILMARTIN, MARGARET	THE HARTFORD CAPITAL APPRECIATION F B	0.00	0.27	0.00	0.27		0.24
05/16/2018	QGW038002	WICKERS, SCOTT	BLACKROCK ADVANTAGE SMALL CAP GROWT B	0.00	0.08	0.00	0.08		0.07
05/16/2018	QGW038002	WICKERS, SCOTT	BLACKROCK HIGH YIELD BOND FUND CLAS B	0.00	0.08	0.00	0.08		0.07
05/16/2018	QGW038002	WICKERS, SCOTT	BLACKROCK BASIC VALUE FUND INC CLAS B	0.00	0.17	0.00	0.17		0.15
05/16/2018	QGW038002	WICKERS, SCOTT	BLACKROCK EMERGING MARKETS FUND, IN B	0.00	0.18	0.00	0.18		0.16
05/16/2018	QGW038002	WICKERS, SCOTT	BLACKROCK CAP APPRECIATION FD INC C B	0.00	0.21	0.00	0.21		0.19
05/16/2018	QGW038002	WICKERS, SCOTT	BLACKROCK GLOBAL ALLOCATION FUND IN B	0.00	0.15	0.00	0.15		0.14
05/16/2018	QGW038002	WICKERS, SCOTT	BLACKROCK VALUE OPPORTUNITIES FUND B	0.00	0.07	0.00	0.07		0.06
05/16/2018	QGW038002	WICKERS, SCOTT	BLACKROCK STRATEGIC INCOME OPPORTUN B	0.00	0.07	0.00	0.07		0.06
05/18/2018	QGW038002	WICKERS, SCOTT	FIDELITY ADVISOR BALANCED CLASS A B	0.00	0.14	0.00	0.14		0.13
05/18/2018	QGW038002	WICKERS, SCOTT	FIDELITY ADVISOR EQUITY INCOME CL A B	0.00	0.14	0.00	0.14		0.13
05/17/2018	QGW038556	LAPINTA, LEONARD	GLOBAL FUND A B	0.00	0.27	0.00	0.27		0.24
05/17/2018	QGW038556	LAPINTA, LEONARD	OPPENHEIMER DEVELOPING MARKETS CLAS B	0.00	0.34	0.00	0.34		0.31
05/17/2018	QGW038556	LAPINTA, LEONARD	QUEST VALUE A B	0.00	0.38	0.00	0.38		0.34
* RICHARD BELZ SUBTOTAL *				<u>\$42,020.69</u>	<u>\$1,353.17</u>	<u>\$160.85</u>	<u>\$1,192.32</u>		<u>\$1,057.74</u>
*** SPLIT TRANSACTIONS TOTAL ***				<u>\$42,020.69</u>	<u>\$1,353.17</u>	<u>\$160.85</u>	<u>\$1,192.32</u>		<u>\$1,057.74</u>

ADJUSTMENTS

05/31/2018		OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00		-20.00
05/31/2018		OTHER: OTHER-TELEPHONE	0.00	0.00	0.00	0.00		-3.25
05/31/2018		OTHER: TECHNOLOGY	0.00	0.00	0.00	0.00		-150.00
*** ADJUSTMENTS TOTAL ***				<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$-173.25</u>

**BROKER COMMISSION TOTALS**

Broker Transactions	\$50.46
Split Transactions	\$1,057.74
Override Transactions	\$0.00
Adjustments	\$-173.25
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-1.76
SIPC Assessment	\$-3.52

<b>Check Amount</b>	<b>\$929.67</b>
<b>Year-to-date Earnings</b>	<b>\$6,213.74</b>