



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
February 15, 2017

02/14/2017  
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**WILLIAM LAYMAN**  
4321 Flagstaff Cove

**BROKER #: L129**  
BRANCH: APPLE TREE FINANCIAL

Fort Wayne, IN 46815

| DATE   | CLIENT           | INVESTMENT                    | INVESTMENT<br>AMOUNT | GROSS<br>COMMISSION | CLEARING<br>CHARGE | NET<br>COMMISSION | RATE | BROKER<br>COMMISSION |
|--|------------------|-------------------------------|----------------------|---------------------|--------------------|-------------------|------|----------------------|
| <b><u>BROKER TRANSACTIONS</u></b>            |                  |                               |                      |                     |                    |                   |      |                      |
| <b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b> |                  |                               |                      |                     |                    |                   |      |                      |
| 01/25/2017                                   | LAYMAN, GEOFFREY | AM FUNDS AMERICAN BALANCED A  | 50.00                | 2.50                | 0.00               | 2.50              | 90.0 | 2.25                 |
| * MUTUAL FUNDS SUBTOTAL *                    |                  |                               | \$50.00              | \$2.50              | \$0.00             | \$2.50            |      | \$2.25               |
| *** BROKER TRANSACTIONS TOTAL ***            |                  |                               | \$50.00              | \$2.50              | \$0.00             | \$2.50            |      | \$2.25               |
| <b><u>ADJUSTMENTS</u></b>                    |                  |                               |                      |                     |                    |                   |      |                      |
| 02/15/2017                                   |                  | *FINRA: REV DUPE S65 EXAM FEE | 0.00                 |                     |                    |                   |      | 165.00               |
| 02/15/2017                                   |                  | *MISC: MOVE BAL DUE TO KEITH  | 0.00                 |                     |                    |                   |      | 384.46               |
| *** ADJUSTMENTS TOTAL ***                    |                  |                               | \$0.00               | \$0.00              | \$0.00             | \$0.00            |      | \$549.46             |

NOTES: \* - Indicates adjustment is not included in 1099 Earnings  
\*\* - Indicates adjustment is not included in Totals or Commissions  
^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

|                       |           |
|-----------------------|-----------|
| Broker Transactions   | \$2.25    |
| Split Transactions    | \$0.00    |
| Override Transactions | \$0.00    |
| Adjustments           | \$549.46  |
| Payroll Draw          | \$0.00    |
| Base Salary           | \$0.00    |
| Prior Period Balance  | \$-551.71 |
| FINRA Assessment      | \$0.00    |
| SIPC Assessment       | \$0.00    |

Please Retain for Your Records  
THERE WILL BE NO CHECK THIS PERIOD

|                              |               |
|------------------------------|---------------|
| <b>Balance Forward</b>       | <b>\$0.00</b> |
| <b>Year-to-date Gross</b>    | <b>\$5.00</b> |
| <b>Year-to-date Earnings</b> | <b>\$4.50</b> |