

STATEMENT

January 20, 2018

NICK DREW
12721 Sawmill Rd Suite 105
SUITE 105
The Woodlands, TX 77380

BROKER #: 169
BRANCH:

COMMISSION STATEMENT for NICK DREW

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
11/15/2017	#01446906354 SCHLANSE,	FA 529 EQUITY GROWTH PORT-CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
11/15/2017	#01486464421 VINEYARD,	FA 529 NEW INSIGHTS PORT-CL C	250.00	2.50	95.0	0.00	2.38	0.00	2.38
11/15/2017	#01484284672 CRASSMELI	FA 529 NEW INSIGHTS PORT-CL C	200.00	2.00	95.0	0.00	1.90	0.00	1.90
11/15/2017	#01481917423 SCHLANSE,	FA 529 NEW INSIGHTS PORT-CL C	50.00	0.50	95.0	0.00	0.48	0.00	0.48
11/15/2017	#01475344956 HOPPEJON	FA 529 NEW INSIGHTS PORT-CL C	200.00	2.00	95.0	0.00	1.90	0.00	1.90
11/15/2017	#01467602163 ADDICKS,	FA 529 NEW INSIGHTS PORT-CL C	250.00	2.50	95.0	0.00	2.38	0.00	2.38
11/15/2017	#01466752647 ADDICKS,	FA 529 NEW INSIGHTS PORT-CL C	250.00	2.50	95.0	0.00	2.38	0.00	2.38
11/27/2017	#01453112920 MATHEANGE	FA 529 NEW INSIGHTS PORT-CL C	100.00	1.00	95.0	0.00	0.95	0.00	0.95
11/27/2017	#01453145300 MATHEANGE	FA 529 NEW INSIGHTS PORT-CL C	100.00	1.00	95.0	0.00	0.95	0.00	0.95
11/29/2017	#03900207202 KATE ASHLEY	FA 529 DIV. INTERNATIONAL	1,282.17	12.82	95.0	0.00	12.18	0.00	12.18
11/29/2017	#03900207203 KATE ASHLEY	FA 529 DIV. INTERNATIONAL	315.90	3.16	95.0	0.00	3.00	0.00	3.00
11/29/2017	#03900207204 KATE ASHLEY	FA 529 DIV. INTERNATIONAL	979.19	9.79	95.0	0.00	9.30	0.00	9.30
11/29/2017	#03900207205 KATE ASHLEY	FA 529 DIV. INTERNATIONAL	1,406.66	14.07	95.0	0.00	13.37	0.00	13.37
11/30/2017	#01433683800 SAILCHOLL	FA 529 EQUITY GROWTH PORT-CL A	150.00	5.63	95.0	0.00	5.35	0.00	5.35
12/01/2017	#01462130194 CRASSMELI	FA 529 NEW INSIGHTS PORT-CL C	200.00	2.00	95.0	0.00	1.90	0.00	1.90
12/01/2017	#01467787535 RAYBURN,	FA 529 NEW INSIGHTS PORT-CL C	50.00	0.50	95.0	0.00	0.48	0.00	0.48
12/01/2017	#01474968060 MORGAN, TIFFANY	FA 529 NEW INSIGHTS PORT-CL C	100.00	1.00	95.0	0.00	0.95	0.00	0.95
12/01/2017	#01475342802 HOPPERS,	FA 529 NEW INSIGHTS PORT-CL C	200.00	2.00	95.0	0.00	1.90	0.00	1.90
12/01/2017	#01482268248 WHITE, JESSE	FA 529 NEW INSIGHTS PORT-CL C	50.00	0.50	95.0	0.00	0.48	0.00	0.48
12/01/2017	#01482268271 WHITE, JESSE	FA 529 NEW INSIGHTS PORT-CL C	50.00	0.50	95.0	0.00	0.48	0.00	0.48
12/01/2017	#01482268297 WHITE, JESSE	FA 529 NEW INSIGHTS PORT-CL C	50.00	0.50	95.0	0.00	0.48	0.00	0.48
12/01/2017	#01489928307 MORGAMONT	FA 529 NEW INSIGHTS PORT-CL C	100.00	1.00	95.0	0.00	0.95	0.00	0.95
12/01/2017	#01489928364 MORGAMONT	FA 529 NEW INSIGHTS PORT-CL C	100.00	1.00	95.0	0.00	0.95	0.00	0.95
12/01/2017	#01490487806 BYERS, JUSTIN	FIDELITY GROWTH OP	50.00	0.50	95.0	0.00	0.48	0.00	0.48
12/01/2017	#00073658761 STOVALL, BLAKE	NEW PERSPECTIVE FUND-529A	600.00	12.00	95.0	0.00	11.40	0.00	11.40
12/06/2017	# FARMER, JULIA	FIDELITY ADVISOR INTL SMALL CAP FUN	5,000.00	50.00	95.0	0.00	47.50	20.00	27.50
12/07/2017	# FARMER, IAN	FIDELITY ADVISOR INTL SMALL CAP FUN	10,000.00	100.00	95.0	0.00	95.00	20.00	75.00
12/07/2017	# HARDY, LYNN	FIDELITY ADVISOR INTL SMALL CAP INS	4,500.00	0.00	95.0	0.00	0.00	0.00	0.00

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
12/12/2017 #	SHOAF, JOHN	NUVEEN FLAGSHIP MUN TR HIGH YIELD M	3,172.48	0.00	95.0	0.00	0.00	0.00	0.00
12/14/2017 #	POUPARD, GREGORY	PIMCO FDS INCOME FD CL P	100,000.00	0.00	95.0	0.00	0.00	0.00	0.00
12/15/2017 #01446906354	SCHLANSE, R	FA 529 EQUITY GROWTH PORT-CL A	50.00	2.50	95.0	0.00	2.38	0.00	2.38
12/15/2017 #01486464421	VINEYARD, J	FA 529 NEW INSIGHTS PORT-CL C	250.00	2.50	95.0	0.00	2.38	0.00	2.38
12/15/2017 #01484284672	CRASSMELI, J	FA 529 NEW INSIGHTS PORT-CL C	200.00	2.00	95.0	0.00	1.90	0.00	1.90
12/15/2017 #01481917423	SCHLANSE, R	FA 529 NEW INSIGHTS PORT-CL C	50.00	0.50	95.0	0.00	0.48	0.00	0.48
12/15/2017 #01475344956	HOPPEJON, J	FA 529 NEW INSIGHTS PORT-CL C	200.00	2.00	95.0	0.00	1.90	0.00	1.90
12/15/2017 #01467602163	ADDICKS, J	FA 529 NEW INSIGHTS PORT-CL C	250.00	2.50	95.0	0.00	2.38	0.00	2.38
12/15/2017 #01466752647	ADDICKS, J	FA 529 NEW INSIGHTS PORT-CL C	250.00	2.50	95.0	0.00	2.38	0.00	2.38
12/20/2017 #	THROWER, NANCY	FIDELITY BLUE CHIP GROWTH FUND	12,931.85	0.00	95.0	0.00	0.00	0.00	0.00
12/20/2017 #	THROWER, NANCY	FIDELITY FUND INC	89,313.94	0.00	95.0	0.00	0.00	0.00	0.00
12/20/2017 #	THROWER, NANCY	FIDELITY MAGELLAN FUND INC	5,647.42	0.00	95.0	0.00	0.00	0.00	0.00
12/26/2017 #01453145300	MATHEANGE, J	FA 529 NEW INSIGHTS PORT-CL C	100.00	1.00	95.0	0.00	0.95	0.00	0.95
12/26/2017 #01453112920	MATHEANGE, J	FA 529 NEW INSIGHTS PORT-CL C	100.00	1.00	95.0	0.00	0.95	0.00	0.95
12/28/2017 #	THROWER, NANCY	FIDELITY ADVISOR INTL SMALL CAP INS	40,000.00	0.00	95.0	0.00	0.00	0.00	0.00
12/29/2017 #01433683800	SAILCHOLL, J	FA 529 EQUITY GROWTH PORT-CL A	150.00	5.63	95.0	0.00	5.35	0.00	5.35
* MUTUAL FUNDS SUBTOTAL *			\$279,299.61	\$255.60		\$0.00	\$242.90	\$40.00	\$202.90
<u>PRODUCT CATEGORY: STOCKS</u>									
11/30/2017 #	BUSKING, RYAN	HOWARD HUGHES CORP	1,122.48	50.00	85.0	0.00	42.50	0.00	42.50
12/04/2017 #		NVIDIA CORP	8,871.60	105.00	85.0	0.00	89.25	19.95	69.30
12/07/2017 #	HARDY, LYNN	GUGGENHEIM S&P 500 EQUAL WEIGHT TEC	997.43	0.00	85.0	0.00	0.00	0.00	0.00
12/07/2017 #	HARDY, LYNN	ISHARES TRUST DOW JONES US AEROSPAC	1,109.58	0.00	85.0	0.00	0.00	0.00	0.00
12/07/2017 #	HARDY, LYNN	POWERSHARES EXCHAN CEF INCOM COMPOS	1,660.40	0.00	85.0	0.00	0.00	0.00	0.00
12/07/2017 #	HARDY, LYNN	SECTOR SPDR - FINANCIAL	2,790.18	0.00	85.0	0.00	0.00	0.00	0.00
12/07/2017 #	HARDY, LYNN	SECTOR SPDR TRUST	1,784.88	0.00	85.0	0.00	0.00	0.00	0.00
12/07/2017 #	HARDY, LYNN	SPDR S&P 500 ETF TR	4,489.36	0.00	85.0	0.00	0.00	0.00	0.00
12/13/2017 #	HARDY, LYNN	GUGGENHEIM S&P 500 EQUAL WEIGHT TEC	3,014.76	0.00	85.0	0.00	0.00	0.00	0.00
12/13/2017 #	HARDY, LYNN	ISHARES TRUST DOW JONES US AEROSPAC	2,792.10	0.00	85.0	0.00	0.00	0.00	0.00
12/19/2017 #	WHITE, JESSE	ISHARES TRUST DOW JONES US AEROSPAC	1,880.00	59.37	85.0	0.00	50.46	19.95	30.51
12/19/2017 #	SPARKS, TERRY	SPDR S&P 500 ETF TR	29,976.91	0.00	85.0	0.00	0.00	0.00	0.00
12/19/2017 #	SPARKS, TERRY	SYMANTEC CORPORATION	28,366.00	0.00	85.0	0.00	0.00	0.00	0.00
12/20/2017 #	SPARKS, TERRY	POWERSHARES EXCHAN CEF INCOM COMPOS	23,720.00	0.00	85.0	0.00	0.00	0.00	0.00
* STOCKS SUBTOTAL *			\$112,575.68	\$214.37		\$0.00	\$182.21	\$39.90	\$142.31

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
12/10/2017	#826081 BRADLEY, SE	MASSMUTUAL	0.00	0.30	95.0	0.00	0.29	0.00	0.29
12/25/2017	#826081 BRADLEY, SE	MASSMUTUAL	0.00	5.90	95.0	0.00	5.61	0.00	5.61
12/25/2017	#826081 BRADLEY, SE	MASSMUTUAL	0.00	5.60	95.0	0.00	5.32	0.00	5.32
12/26/2017	#016358344 POUPARD, GREGORY	NATIONWIDE DESTINATION	0.00	7,250.00	95.0	0.00	6,887.50	0.00	6,887.50
01/05/2018	#016355802 SPARKS, TERRY	NATIONWIDE DESTINATION	80,000.00	5,800.00	95.0	0.00	5,510.00	0.00	5,510.00
* VARIABLE ANNUITIES SUBTOTAL *			\$80,000.00	\$13,061.80		\$0.00	\$12,408.72	\$0.00	\$12,408.72

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/01/2017	#00087957389	CAPITAL WORLD GROWTH AND INCOME-529	0.00	4.98	95.0	0.00	4.73	0.00	4.73
12/01/2017	#00073658761 STOVAL, BLAKE	NEW PERSPECTIVE FUND-529A	0.00	8.91	95.0	0.00	8.46	0.00	8.46
12/01/2017	#00073658761 STOVAL, BLAKE	SMALLCAP WORLD FUND-529A	0.00	10.93	95.0	0.00	10.38	0.00	10.38
12/01/2017	#00073658761 STOVAL, BLAKE	THE GROWTH FUND OF AMERICA-529A	0.00	9.01	95.0	0.00	8.56	0.00	8.56
12/01/2017	#00087957389	THE GROWTH FUND OF AMERICA-529C	0.00	7.70	95.0	0.00	7.32	0.00	7.32
12/11/2017	# SHOAFF, JOHN	DAIN TRAILER	0.00	205.78	95.0	0.00	195.49	0.00	195.49
12/12/2017	# SHOAFF, JOHN	DAIN TRAILER	5,147.21	0.00	95.0	0.00	0.00	0.00	0.00
01/08/2018	#01473861464	FA 529 DIV. INTERNATIONAL	0.00	1.81	95.0	0.00	1.72	0.00	1.72
01/08/2018	#00517292975 VINEYARD,	FA 529 DIVERSIFIED INTL PORT-CL A	0.00	5.16	95.0	0.00	4.90	0.00	4.90
01/08/2018	#00517292975 VINEYARD,	FA 529 DIVIDEND GROWTH PORT-CL A	0.00	10.32	95.0	0.00	9.80	0.00	9.80
01/08/2018	#01447784933 BEDORE,	FA 529 DIVIDEND GROWTH PORT-CL A	0.00	12.23	95.0	0.00	11.62	0.00	11.62
01/08/2018	#01433683800 SAILCHOLL	FA 529 EQUITY GROWTH PORT-CL A	0.00	13.22	95.0	0.00	12.56	0.00	12.56
01/08/2018	#01446906354 SCHLANSE,	FA 529 EQUITY GROWTH PORT-CL A	0.00	1.47	95.0	0.00	1.40	0.00	1.40
01/08/2018	#01473861464	FA 529 INFLATION PROTECTED BD PORT-	0.00	3.00	95.0	0.00	2.85	0.00	2.85
01/08/2018	#01447608090 MCELHOE, BENE	FA 529 INTERMED BOND PORT-CL A	0.00	6.31	95.0	0.00	5.99	0.00	5.99
01/08/2018	#01447651777 MCELHOE, BENE	FA 529 INTERMED BOND PORT-CL A	0.00	3.91	95.0	0.00	3.71	0.00	3.71
01/08/2018	#01466752647 ADDICKS,	FA 529 INTERMED BOND PORT-CL C	0.00	2.57	95.0	0.00	2.44	0.00	2.44
01/08/2018	#00517292975 VINEYARD,	FA 529 MID CAP PORT-CL A	0.00	2.79	95.0	0.00	2.65	0.00	2.65
01/08/2018	#00517624490 BROWN,	FA 529 NEW INSIGHTS PORT-CL A	0.00	34.79	95.0	0.00	33.05	0.00	33.05
01/08/2018	#01447651777 MCELHOE, BENE	FA 529 NEW INSIGHTS PORT-CL A	0.00	4.45	95.0	0.00	4.23	0.00	4.23
01/08/2018	#01453112920 MATHEANGE	FA 529 NEW INSIGHTS PORT-CL A	0.00	2.07	95.0	0.00	1.97	0.00	1.97
01/08/2018	#01453145300 MATHEANGE	FA 529 NEW INSIGHTS PORT-CL A	0.00	2.07	95.0	0.00	1.97	0.00	1.97
01/08/2018	#01462130194 CRASSMELI	FA 529 NEW INSIGHTS PORT-CL A	0.00	0.38	95.0	0.00	0.36	0.00	0.36
01/08/2018	#01467787535 RAYBURN,	FA 529 NEW INSIGHTS PORT-CL A	0.00	2.42	95.0	0.00	2.30	0.00	2.30
01/08/2018	#01483572945 RAYBURN,	FA 529 NEW INSIGHTS PORT-CL A	0.00	0.26	95.0	0.00	0.25	0.00	0.25
01/08/2018	#01453112920 MATHEANGE	FA 529 NEW INSIGHTS PORT-CL C	0.00	7.91	95.0	0.00	7.51	0.00	7.51
01/08/2018	#01453145300 MATHEANGE	FA 529 NEW INSIGHTS PORT-CL C	0.00	7.91	95.0	0.00	7.51	0.00	7.51
01/08/2018	#01462130194 CRASSMELI	FA 529 NEW INSIGHTS PORT-CL C	0.00	11.81	95.0	0.00	11.22	0.00	11.22

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
BROKER TRANSACTIONS									
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS									
01/08/2018	#01466752647	ADDICKS, FA 529 NEW INSIGHTS PORT-CL C	0.00	12.23	95.0	0.00	11.62	0.00	11.62
01/08/2018	#01467602163	ADDICKS, FA 529 NEW INSIGHTS PORT-CL C	0.00	12.75	95.0	0.00	12.11	0.00	12.11
01/08/2018	#01467787535	RAYBURN, FA 529 NEW INSIGHTS PORT-CL C	0.00	2.77	95.0	0.00	2.63	0.00	2.63
01/08/2018	#01473861464	FA 529 NEW INSIGHTS PORT-CL C	0.00	3.76	95.0	0.00	3.57	0.00	3.57
01/08/2018	#01474968060	MORGAN, TIFFANY FA 529 NEW INSIGHTS PORT-CL C	0.00	5.96	95.0	0.00	5.66	0.00	5.66
01/08/2018	#01475342802	HOPPERS, FA 529 NEW INSIGHTS PORT-CL C	0.00	8.84	95.0	0.00	8.40	0.00	8.40
01/08/2018	#01475344956	HOPPEJON FA 529 NEW INSIGHTS PORT-CL C	0.00	10.93	95.0	0.00	10.38	0.00	10.38
01/08/2018	#01481917423	SCHLANSER, FA 529 NEW INSIGHTS PORT-CL C	0.00	1.32	95.0	0.00	1.25	0.00	1.25
01/08/2018	#01482268248	WHITE, JESSE FA 529 NEW INSIGHTS PORT-CL C	0.00	18.11	95.0	0.00	17.20	0.00	17.20
01/08/2018	#01482268271	WHITE, JESSE FA 529 NEW INSIGHTS PORT-CL C	0.00	1.23	95.0	0.00	1.17	0.00	1.17
01/08/2018	#01482268297	WHITE, JESSE FA 529 NEW INSIGHTS PORT-CL C	0.00	1.23	95.0	0.00	1.17	0.00	1.17
01/08/2018	#01484284672	CRASSMELI FA 529 NEW INSIGHTS PORT-CL C	0.00	3.73	95.0	0.00	3.54	0.00	3.54
01/08/2018	#01486464421	VINEYARD, FA 529 NEW INSIGHTS PORT-CL C	0.00	6.98	95.0	0.00	6.63	0.00	6.63
01/08/2018	#01473861464	FA 529 SMALL CAP PORTFOLIO - CLASS	0.00	1.97	95.0	0.00	1.87	0.00	1.87
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$5,147.21	\$475.98		\$0.00	\$452.15	\$0.00	\$452.15
*** BROKER TRANSACTIONS TOTAL ***			\$477,022.50	\$14,007.75		\$0.00	\$13,285.98	\$79.90	\$13,206.08

BROKER COMMISSION TOTALS

Broker Transactions	\$13,206.08
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$13,206.08
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