

**COMMISSION STATEMENT****October 15, 2017**

JIM LUND
 2060 Huntington Drive
 Suite 1
 San Marino, CA 91108

BROKER #: L119 Terminated 10/30/2015
BRANCH: TWEED FINANCIAL

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>								
/ /	BARBOUR, ELEANOR	FA 529 PORT 2016 C	0.00	2.98	0.00	2.98	0.0	0.00
/ /	SOIORIO, ROSE	FA 529 PORT 2016 C	0.00	1.63	0.00	1.63	0.0	0.00
10/02/2017	SOIORIO, ROSE	FA 529 PORT 2016 C	125.00	6.25	0.00	6.25	0.0	0.00
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.40	0.00	1.40	0.0	0.00
/ /	STEINER, DANIEL	FA 529 PORT 2025 A	0.00	1.40	0.00	1.40	0.0	0.00
10/05/2017	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	0.00
10/05/2017	STEINER, DANIEL	FA 529 PORT 2025 A	100.00	5.00	0.00	5.00	0.0	0.00
/ /	BRYAN, TRICIA	FA 529 PORT 2028 A	0.00	3.45	0.00	3.45	0.0	0.00
10/05/2017	BRYAN, TRICIA	FA 529 PORT 2028 A	120.00	6.00	0.00	6.00	0.0	0.00
/ /	LENGNING, DUSTIN	FA 529 PORT 2028 C	0.00	12.97	0.00	12.97	0.0	0.00
/ /	SANTANA, NICOLE	FA 529 PORT 2031 A	0.00	0.60	0.00	0.60	0.0	0.00
10/02/2017	SANTANA, NICOLE	FA 529 PORT 2031 A	50.00	2.50	0.00	2.50	0.0	0.00
09/19/2017	CRAIG, JAMIE	FT MODERATE ALLOCATION FUND C	120.00	1.20	0.00	1.20	0.0	0.00
/ /	ELLSWORTH, CAROL	OPPENHEIMER GOLD & SPECIAL MINERALS	0.00	15.54	0.00	15.54	0.0	0.00
/ /	HOLZINGER, DIANE	OPPENHEIMER MAIN STREET A	0.00	29.81	0.00	29.81	0.0	0.00
/ /	YUGE, SEAN	OPPENHEIMER PORT SERIES ACTIVE ALLO	0.00	8.85	0.00	8.85	0.0	0.00
/ /	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	0.00	16.42	0.00	16.42	0.0	0.00
/ /	CIESLIK, CHAD	OPPENHEIMER QUEST OPP VALUE A	0.00	1.47	0.00	1.47	0.0	0.00
/ /	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	0.00	8.08	0.00	8.08	0.0	0.00
/ /	CIESLIK, CHAD	OPPENHEIMER SMALL MID CAP VALUE A	0.00	0.48	0.00	0.48	0.0	0.00
* MUTUAL FUNDS SUBTOTAL *			\$615.00	\$131.03	\$0.00	\$131.03		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>								
09/29/2017	DOBBINS, BARBARA	AXA RETIREMENT CORNERSTONE 13 B	109,815.30	68.63	0.00	68.63	0.0	0.00
09/29/2017	HAIGH, PEGGY	AXA RETIREMENT CORNERSTONE 13 B	94,508.32	59.07	0.00	59.07	0.0	0.00
* VARIABLE ANNUITIES SUBTOTAL *			\$204,323.62	\$127.70	\$0.00	\$127.70		\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings

** - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
*** BROKER TRANSACTIONS TOTAL ***			\$204,938.62	\$258.73	\$0.00	\$258.73		\$0.00

BROKER COMMISSION TOTALS

Broker Transactions	\$0.00
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Please Retain for Your Records
THERE WILL BE NO CHECK THIS PERIOD

Balance Forward	\$0.00
Year-to-date Gross	\$6,966.71
Year-to-date Earnings	\$0.00

NOTES: * - Indicates adjustment is not included in 1099 Earnings
 ** - Indicates adjustment is not included in Totals or Commissions
 ^Date - Split Trade - full Investment Amount & Gross Commission displayed