



COMMISSION STATEMENT

February 12, 2018

ALAN FISHMAN
3 Buckley Place
SUITE 615
Hartsdale, NY 10530

BROKER #: 851
BRANCH #01033 - 33 - PASCALE

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
01/31/2018	***-**-71IN - GERHARD, LIEPOLD	FOUNDING FUNDS 529 PORTFOLIO - CLAS	0.00	15.75	0.00	15.75	75.0	11.81
	ACCOUNT #: 000000005621845	BUY 0 Units @0.0000						
01/31/2018	***-**-619I - BLUMEN, IAN	FRANKLIN BALANCE SHEET FUND CLASS A	0.00	69.60	0.00	69.60	75.0	52.20
	ACCOUNT #: 000015013299403	BUY 0 Units @0.0000						
01/31/2018	***-**-619I - BLUMEN, IAN	FRANKLIN RISING DIVIDENDS FD CLASS	0.00	123.98	0.00	123.98	75.0	92.99
	ACCOUNT #: 000015811742741	BUY 0 Units @0.0000						
01/31/2018	***-**-71IN - GERHARD, LIEPOLD	FRANKLIN TEMP 529 645769787	0.00	16.96	0.00	16.96	75.0	12.72
	ACCOUNT #: 000000005621845	BUY 0 Units @0.0000						
01/31/2018	***-**-71IN - GERHARD, LIEPOLD	FRANKLIN TEMP 645769480	0.00	87.65	0.00	87.65	75.0	65.74
	ACCOUNT #: 000000005621845	BUY 0 Units @0.0000						
01/31/2018	***-**-71IN - GERHARD, LIEPOLD	FRK SMALL MID CAP GRWTH 529 PORTFL-	0.00	11.05	0.00	11.05	75.0	8.29
	ACCOUNT #: 000000005621845	BUY 0 Units @0.0000						
01/31/2018	***-**-619I - BLUMEN, IAN	FRK VAL FND - CL A	0.00	82.42	0.00	82.42	75.0	61.82
	ACCOUNT #: 000028210803424	BUY 0 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$407.41	\$0.00	\$407.41		\$305.57
*** BROKER TRANSACTIONS TOTAL ***			\$0.00	\$407.41	\$0.00	\$407.41		\$305.57



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			INVESTMENT	GROSS	CLEARING	NET	BROKER	
DATE	CLIENT		AMOUNT	COMMISSION	CHARGE	COMMISSION	RATE	COMMISSION
<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$305.57
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$60.99
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$366.56
						Year-to-date Earnings		\$219.73