

COMMISSION STATEMENT

December 31, 2017

JAY SHARTSIS
54 BIRCHWOOD LANEBROKER #: 37
BRANCH: HOME OFFICE

HARTSDALE, NY, NY 10530

COMMISSION STATEMENT for JAY SHARTSIS

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONSPRODUCT CATEGORY: STOCKS

12/26/2017	QGW008872	CINQUEMANI, FRANK	AGNICO-EAGLE MINES LTD	B	9,249.98	50.00	6.00	44.00	50.0	22.00
12/26/2017	QGW008872	CINQUEMANI, FRANK	SEADRILL LIMITED SHS	S	-482.00	50.00	6.00	44.00	50.0	22.00
12/15/2017	QGW009094	KANTO, WILLIAM	SELECT SECTOR SPDR TRUST SHS BEN IN B	B	85,000.00	80.00	6.00	74.00	50.0	37.00
12/21/2017	QGW009151	KOBOLD, MICHAEL	VIRNETX HOLDING CORPORATION	S	-3,800.00	127.82	6.00	121.82	50.0	60.91
12/21/2017	QGW009151	KOBOLD, MICHAEL	WPX ENERGY INC	B	5,527.84	118.92	6.00	112.92	50.0	56.46
12/04/2017	QGW009177	-, MICHAEL KRUEGER	AXT INC COM	B	10,000.00	50.00	6.00	44.00	50.0	22.00
12/26/2017	QGW009367	SCHIFF MINOR, NICOLE	AGNICO-EAGLE MINES LTD	B	9,249.98	50.00	6.00	44.00	50.0	22.00
12/26/2017	QGW009367	SCHIFF MINOR, NICOLE	SEADRILL LIMITED SHS	S	-48.20	40.44	6.00	34.44	50.0	17.22
12/26/2017	QGW009490	PARTNERSHIP, 311	AGNICO-EAGLE MINES LTD	B	46,249.90	125.00	6.00	119.00	50.0	59.50
12/26/2017	QGW009490	PARTNERSHIP, 311	SEADRILL LIMITED SHS	S	-120.50	50.00	6.00	44.00	50.0	22.00
12/26/2017	QGW009490	PARTNERSHIP, 311	WHEATON PRECIOUS METALS CORP COM	B	22,249.90	125.00	6.00	119.00	50.0	59.50
12/26/2017	QGW009540	CINQUEMANI, FRANK	AGNICO-EAGLE MINES LTD	B	46,249.90	125.00	6.00	119.00	50.0	59.50
12/26/2017	QGW009540	CINQUEMANI, FRANK	EV ENERGY PARTNERS LP COM UNITS REP S	S	-1,110.00	55.50	6.00	49.50	50.0	24.75
12/26/2017	QGW009540	CINQUEMANI, FRANK	SEADRILL LIMITED SHS	S	-482.40	50.00	6.00	44.00	50.0	22.00
12/26/2017	QGW009540	CINQUEMANI, FRANK	TRANSOCEAN LTD US LISTED	S	-10,573.00	80.00	6.00	74.00	50.0	37.00
12/26/2017	QGW009540	CINQUEMANI, FRANK	WHEATON PRECIOUS METALS CORP COM	B	44,499.80	160.00	6.00	154.00	50.0	77.00
12/05/2017	QGW009581	PRINDLE DDS	WASHINGTON PRIME GROUP NEW COM	B	20,000.00	125.00	6.00	119.00	50.0	59.50
12/14/2017	QGW009581	PRINDLE DDS	WASHINGTON PRIME GROUP NEW COM	S	-49,770.70	350.00	6.00	344.00	50.0	172.00
12/26/2017	QGW021420	CINQUEMANI, FRANK	AGNICO-EAGLE MINES LTD	B	46,249.90	125.00	6.00	119.00	50.0	59.50
12/05/2017	QGW029670	BALLEW, DOROTHY	WELLS FARGO & CO	S	-5,764.00	80.73	6.00	74.73	50.0	37.37

* STOCKS SUBTOTAL *	\$272,376.40	\$2,018.41	\$120.00	\$1,898.41		\$949.21
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PRODUCT CATEGORY: OPTIONS

12/07/2017	QGW009151	KOBOLD, MICHAEL	CALL 100 VIRNETX HLDG CORP COM EXP S	S	-375.00	50.00	7.00	43.00	50.0	21.50
12/04/2017	QGW009177	-, MICHAEL KRUEGER	CALL 100 MCEWEN MNG INC COM EXP 01- S	S	-300.00	50.00	7.00	43.00	50.0	21.50
12/26/2017	QGW009177	-, MICHAEL KRUEGER	CALL 100 TAHOE RES INC COM ISIN#CA8 S	S	-300.00	50.00	7.00	43.00	50.0	21.50
12/26/2017	QGW009177	-, MICHAEL KRUEGER	CALL 100 TAHOE RES INC COM ISIN#CA8 S	S	300.00	-50.00	-7.00	-43.00	50.0	-21.50 C
12/26/2017	QGW009177	-, MICHAEL KRUEGER	CALL 100 TAHOE RES INC COM ISIN#CA8 S	S	-300.00	50.00	7.00	43.00	50.0	21.50
11/29/2017	QGW029514	HUNT, GREGORY	PUT 100 INTEL CORP COM EXP 12-15-17 S	S	-2,830.00	75.00	7.00	68.00	50.0	34.00
11/29/2017	QGW029514	HUNT, GREGORY	PUT 100 INTEL CORP COM EXP 01-19-18 B	B	3,050.00	75.00	7.00	68.00	50.0	34.00
12/04/2017	QGW029514	HUNT, GREGORY	PUT 100 MICROSOFT CORP COM EXP 01-1 S	S	-3,750.00	95.00	7.00	88.00	50.0	44.00

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: OPTIONS</u>									
12/05/2017	QGW029514 HUNT, GREGORY	PUT 100 INTEL CORP COM EXP 01-19-18 S		-3,590.00	95.00	7.00	88.00	50.0	44.00
12/06/2017	QGW029514 HUNT, GREGORY	CALL 100 AMAZON COM INC EXP 01-19-1 B		1,725.00	50.00	7.00	43.00	50.0	21.50
12/06/2017	QGW029514 HUNT, GREGORY	CALL 100 AMAZON COM INC EXP 01-19-1 S		-3,740.00	61.23	7.00	54.23	50.0	27.12
12/12/2017	QGW029514 HUNT, GREGORY	PUT 100 ZAGG INC COM EXP 02-16-18@2 B		3,800.00	127.82	7.00	120.82	50.0	60.41
12/20/2017	QGW029514 HUNT, GREGORY	CALL 100 VANECK VECTORS ETF TR JR G B		3,375.00	145.61	7.00	138.61	50.0	69.31
* OPTIONS SUBTOTAL *				\$-2,935.00	\$874.66	\$77.00	\$797.66		\$398.84
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
12/11/2017	75501786 WRIGHTON, BRYAN	**CLEARBRIDGE LARGE CAP VALUE	B	0.00	43.17	0.00	43.17	50.0	21.59
12/22/2017	QGW030561 CERASO, ANTONIO	BLACKROCK GLOBAL ALLOCATION FUND IN B		0.00	0.28	0.00	0.28	50.0	0.14
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$43.45	\$0.00	\$43.45		\$21.73
*** BROKER TRANSACTIONS TOTAL ***				\$269,441.40	\$2,936.52	\$197.00	\$2,739.52		\$1,369.78

ADJUSTMENTS

12/31/2017	OTHER: COMPLIANCE AND TECHNOLOGY	0.00	0.00	0.00	0.00	-20.00
12/31/2017	OTHER: OTHER-360 OFFICE	0.00	0.00	0.00	0.00	-8.00
12/31/2017	OTHER: OTHER--SALARY	0.00	0.00	0.00	0.00	500.00
12/31/2017	OTHER: OTHER-TELEPHONE	0.00	0.00	0.00	0.00	-25.84
12/31/2017	OTHER: OTHER-2 TRADES ON NOV 29 201	0.00	0.00	0.00	0.00	-75.00
12/31/2017	OTHER: CBOE	0.00	0.00	0.00	0.00	-250.00
12/31/2017	OTHER: EXCHANGE FEES	0.00	0.00	0.00	0.00	-96.36
12/31/2017	OTHER: QUODD	0.00	0.00	0.00	0.00	-65.88
12/31/2017	:	0.00	0.00	0.00	0.00	0.00
*** ADJUSTMENTS TOTAL ***		\$0.00	\$0.00	\$0.00	\$0.00	\$-41.08

BROKER COMMISSION TOTALS

Broker Transactions	\$1,369.78
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-41.08
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-3.67
SIPC Assessment	\$-7.34

Check Amount	\$1,317.69
Year-to-date Earnings	\$12,924.86