

COMMISSION STATEMENT**April 13, 2018**

SYLVIA LUM
 209 Broadway Avenue
 Millbrae, CA 94030

BROKER #: 73896
BRANCH: 04-#70A11 HOME OFFIC

| DATE | CLIENT | INVESTMENT | INVESTMENT AMOUNT | GROSS COMMISSION | NET COMMISSION | CLEARING CHARGE | BROKER COMMISSION |
|---|--------|------------|----------------------|---------------------|-------------------|--------------------|----------------------|
| <u>Company: Securities America</u> | | | | | | | |

ADJUSTMENTS

PRIOR PERIOD BALANCE(SEE BELOW)

0.00

*** ADJUSTMENTS TOTAL ***

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

BROKER COMMISSION TOTALS

| | |
|-----------------------|---------|
| Broker Transactions | \$0.00 |
| Split Transactions | \$0.00 |
| Override Transactions | \$0.00 |
| Adjustments | \$0.00 |
| Prior Period Balance | \$-0.04 |
| NASD Assessment | \$0.00 |
| SIPC Assessment | \$0.00 |

Please Retain for Your Records
 THERE WILL BE NO CHECK THIS PERIOD

| | |
|------------------------------|-----------------|
| Balance Forward | \$-0.04 |
| Year-to-date Earnings | \$279.46 |