

# STATEMENT

## January 20, 2018

GLENN GERSON  
11190 SW Springtree Terrace  
SUITE 205  
Port St. Lucie, FL 34987

BROKER #: U01  
BRANCH: LU BRANCH

### COMMISSION STATEMENT for GLENN GERSON

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	RATE	POSTAGE	NET COMMISSION	CLEARING CHARGE	BROKER COMMISSION
<b><u>BROKER TRANSACTIONS</u></b>									
<b><u>PRODUCT CATEGORY: STOCKS</u></b>									
11/29/2017 #	GRABEL, GARY	STARWOOD PROPERTY TRUST INC	9,000.00	213.12	92.0	20.00	216.07	19.95	196.12
12/04/2017 #	TRAINOR, BRIAN	EMERSON ELECTRIC CO	7,126.92	132.74	92.0	20.00	142.12	19.95	122.17
12/05/2017 #	FANG, MING	***BARRICK GOLD CORP	13,650.00	338.82	92.0	20.00	331.71	19.95	311.76
12/05/2017 #	TRAINOR, BRIAN	DISCOVER FINANCIAL SERVICES	7,301.00	105.00	92.0	20.00	116.60	19.95	96.65
12/15/2017 #	GRABEL, GARY	BRISTOL MYERS SQUIBB CO	300,000.00	13,450.00	92.0	20.00	12,394.00	19.95	12,374.05
12/15/2017 #	GRABEL, GARY	EBIX INC FORMERLY EBIX.COM INC NEW	420,000.00	18,850.00	92.0	20.00	17,362.00	19.95	17,342.05
12/15/2017 #	FANG, MING	FIRST SOLAR INC	25,000.00	1,075.00	92.0	20.00	1,009.00	19.95	989.05
12/15/2017 #	GRABEL, GARY	STARWOOD PROPERTY TRUST INC	103,500.00	1,227.34	92.0	20.00	1,149.15	19.95	1,129.20
12/18/2017 #	GRABEL, GARY	MANNKIND CORPORATION COMMON STOCK	16,389.00	701.62	92.0	20.00	665.49	19.95	645.54
12/21/2017 #	GRABEL, GARY	BED BATH & BEYOND INC	3,250.00	85.33	92.0	20.00	98.50	19.95	78.55
12/26/2017 #	GRABEL, GARY	AMERICAN REALTY CAPITAL TRUST INC	11,509.68	348.46	92.0	20.00	340.58	19.95	320.63
12/27/2017 #	GRABEL, GARY	BED BATH & BEYOND INC	113,750.00	1,222.50	92.0	20.00	1,144.70	19.95	1,124.75
* STOCKS SUBTOTAL *			\$1,030,476.60	\$37,749.93		\$240.00	\$34,969.92	\$239.40	\$34,730.52
<b><u>PRODUCT CATEGORY: OPTIONS</u></b>									
12/06/2017 #	GRABEL, GARY	PUT ABX 04/20/18 13	3,000.00	150.00	92.0	20.00	158.00	64.95	93.05
12/06/2017 #	GRABEL, GARY	PUT ZIOP 04/20/18 4	3,750.00	187.50	92.0	20.00	192.50	64.95	127.55
12/19/2017 #	GRABEL, GARY	PUT NRZ 05/18/18 17	3,000.00	150.00	92.0	20.00	158.00	64.95	93.05
12/21/2017 #	GRABEL, GARY	PUT VTR 05/18/18 52.50	3,750.00	187.50	92.0	20.00	192.50	64.95	127.55
12/22/2017 #	GRABEL, GARY	PUT UAA 04/20/18 12.50	3,250.00	162.50	92.0	20.00	169.50	64.95	104.55
* OPTIONS SUBTOTAL *			\$16,750.00	\$837.50		\$100.00	\$870.50	\$324.75	\$545.75
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>									
12/11/2017 #	LIANG, YUN PING	DAIN TRAILER	0.00	0.64	92.0	0.00	0.59	0.00	0.59
12/11/2017 #	PAN, CHIN YI	DAIN TRAILER	0.00	0.41	92.0	0.00	0.38	0.00	0.38
12/11/2017 #	WEI, HONG SHENG	DAIN TRAILER	0.00	13.69	92.0	0.00	12.59	0.00	12.59
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$14.74		\$0.00	\$13.56	\$0.00	\$13.56
*** BROKER TRANSACTIONS TOTAL ***			\$1,047,226.60	\$38,602.17		\$340.00	\$35,853.98	\$564.15	\$35,289.83

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