



All Companies
COMMISSION STATEMENT
February 15, 2018

JEFFREY ROTHSCHILD
609 Gunnison Dr.

BROKER #: 9100
BRANCH: HOME OFFICE

ARLINGTON, TX 76006-

COMMISSION STATEMENT for JEFFREY ROTHSCHILD

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
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BROKER TRANSACTIONS

PRODUCT CATEGORY: MUTUAL FUNDS

02/01/2018	WELCH, JOHN/JAMES	COLLEGEBOUND 529	B	300.00	3.00	0.00	3.00	70.0	2.10
02/01/2018	00075227122 GAYLORD, CYNTHIA	THE GROWTH FUND OF AMERICA-529A	B	50.00	1.88	0.00	1.88	70.0	1.32
01/22/2018	00083784453 ROTHSCHILD, PAUL J	AMERICAN FUNDS CAPITAL INCOME BUILD B	B	100.00	5.00	0.00	5.00	70.0	3.50
01/25/2018	00089965164 YETTER, CHRISTINA	THE NEW ECONOMY FUND-529A	B	5,000.00	212.50	0.00	212.50	70.0	148.75
	B.								
02/09/2018	00089965164 YETTER, CHRISTINA	THE NEW ECONOMY FUND-529A	B	100.00	4.25	0.00	4.25	70.0	2.98
	B.								
01/25/2018	00089965211 TRIPPLE, JAMES M.	THE NEW ECONOMY FUND-529A	B	5,000.00	212.50	0.00	212.50	70.0	148.75
02/09/2018	00089965211 TRIPPLE, JAMES M.	THE NEW ECONOMY FUND-529A	B	100.00	4.25	0.00	4.25	70.0	2.98
02/05/2018	04000442065 MANNARI, ALEXANDER	AMERICAN FUNDS 2055 TARGET DATE-A	B	105.57	4.49	0.00	4.49	70.0	3.14
	B.								
02/05/2018	04000442065 MANNARI, ALEXANDER	AMERICAN FUNDS 2055 TARGET DATE-A	B	294.40	12.51	0.00	12.51	70.0	8.76
	B.								
02/05/2018	04000442065 MANNARI, ALEXANDER	GROWTH AND INCOME PORTFOLIO-A	B	196.27	8.34	0.00	8.34	70.0	5.84
	B.								
02/05/2018	04000442065 MANNARI, ALEXANDER	GROWTH AND INCOME PORTFOLIO-A	B	70.38	2.99	0.00	2.99	70.0	2.09
	B.								
02/05/2018	04000442491 MURPHY, SAVANNAH	GROWTH AND INCOME PORTFOLIO-A	B	89.24	3.79	0.00	3.79	70.0	2.65
	E.								
02/05/2018	04000442491 MURPHY, SAVANNAH	GROWTH AND INCOME PORTFOLIO-A	B	257.18	10.93	0.00	10.93	70.0	7.65
	E.								
02/05/2018	04000442491 MURPHY, SAVANNAH	GROWTH PORTFOLIO-A	B	257.19	10.93	0.00	10.93	70.0	7.65
	E.								
02/05/2018	04000442491 MURPHY, SAVANNAH	GROWTH PORTFOLIO-A	B	89.25	3.79	0.00	3.79	70.0	2.65
	E.								
02/05/2018	04000443214 CASTILLO, MAYRA	AMERICAN FUNDS 2040 TARGET DATE-A	B	404.02	17.17	0.00	17.17	70.0	12.02
02/05/2018	04000443214 CASTILLO, MAYRA	AMERICAN FUNDS 2040 TARGET DATE-A	B	402.85	17.12	0.00	17.12	70.0	11.98
02/05/2018	04000461585 DARLING, AMANDA M.	AMERICAN FUNDS 2050 TARGET DATE-A	B	163.38	6.94	0.00	6.94	70.0	4.86
02/05/2018	04000461585 DARLING, AMANDA M.	AMERICAN FUNDS 2050 TARGET DATE-A	B	68.88	2.93	0.00	2.93	70.0	2.05
02/05/2018	04000475370 PERRY, JORDAN W.	AMERICAN FUNDS 2055 TARGET DATE-A	B	142.90	6.07	0.00	6.07	70.0	4.25
02/05/2018	04000475370 PERRY, JORDAN W.	AMERICAN FUNDS 2055 TARGET DATE-A	B	397.64	16.90	0.00	16.90	70.0	11.83

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<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
02/05/2018	04000475395 GRAY, MICHAEL W.	AMERICAN FUNDS 2030 TARGET DATE-A	B	1,200.00	51.00	0.00	51.00	70.0	35.70
02/05/2018	04000475395 GRAY, MICHAEL W.	AMERICAN FUNDS 2030 TARGET DATE-A	B	2,400.00	102.00	0.00	102.00	70.0	71.40
02/05/2018	04000475406 MINTON, SESSILY A.	AMERICAN FUNDS 2045 TARGET DATE-A	B	2,400.00	102.00	0.00	102.00	70.0	71.40
02/05/2018	04000475406 MINTON, SESSILY A.	AMERICAN FUNDS 2045 TARGET DATE-A	B	1,200.00	51.00	0.00	51.00	70.0	35.70
02/05/2018	04000587935 DELANO, JEFFREY	AMERICAN FUNDS 2030 TARGET DATE-A	B	1,200.00	51.00	0.00	51.00	70.0	35.70
02/05/2018	04000587935 DELANO, JEFFREY	AMERICAN FUNDS 2030 TARGET DATE-A	B	2,400.00	102.00	0.00	102.00	70.0	71.40
01/25/2018	7500540727 HESS, MICHAEL & LORA	OPPENHEIMER GLOBAL FD CL A	B	100.00	4.75	0.00	4.75	70.0	3.33
* MUTUAL FUNDS SUBTOTAL *				\$24,489.15	\$1,032.03	\$0.00	\$1,032.03		\$722.43
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
01/31/2018	TRAILS	-JACKSON NATIONAL	B	9.58	9.58	0.00	9.58	70.0	6.71
01/31/2018	TRAILS	-JACKSON NATIONAL	B	20.87	20.87	0.00	20.87	70.0	14.61
02/02/2018	TRAILS	-JOHN HANCOCK	B	116.71	116.71	0.00	116.71	70.0	81.70
12/28/2017	TRAILS	METROPOLITAN LIFE AND AFFILIATED CO B		2.50	2.50	0.00	2.50	70.0	1.75
* VARIABLE ANNUITIES SUBTOTAL *				\$149.66	\$149.66	\$0.00	\$149.66		\$104.77
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>									
02/15/2018	TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES		0.00	149.39	0.00	149.39	70.0	104.58
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$149.39	\$0.00	\$149.39		\$104.58
*** BROKER TRANSACTIONS TOTAL ***				\$24,638.81	\$1,331.08	\$0.00	\$1,331.08		\$931.78
<u>SPLIT TRANSACTIONS</u>									
<u>BROKER: ROTHSCHILD/POOL #9629</u>									
01/31/2018	TRAILS	-FIDELITY ADVISOR FUNDS	B	118.73	71.24	0.00	71.24	70.0	49.87
01/25/2018	0000000000003549 CAMAGANACAN, SIXTO/ROSALINA	FRK INTL SMALL CAP GROWTH FUND - CL B	B	50.00	0.30	0.00	0.30	70.0	0.21
01/25/2018	0000000000817890 TEN, JT	FRANKLIN VALUE FD CL II	B	100.00	0.60	0.00	0.60	70.0	0.42
01/25/2018	000000001223650 TEN, JT	FRANKLIN 102 AGE HIGH INCOME FD	C B	50.00	0.30	0.00	0.30	70.0	0.21
01/25/2018	000000003564376 TEN, JT	TEMP GLOBAL BOND FND - CL C	B	50.00	0.30	0.00	0.30	70.0	0.21
02/15/2018	TRAIL COMMISSIONS	FRANKLIN TEMPLETON GROUP FEES		0.00	1,131.45	0.00	1,131.45	70.0	792.01
01/30/2018	00063666255 JACKSON, STACY	AMERICAN FUNDS NEW PERSPECTIVE FD	B	100.00	3.00	0.00	3.00	70.0	2.10
01/31/2018	00063666255 JACKSON, STACY	AMERICAN FUNDS NEW PERSPECTIVE FD	B	900.00	27.00	0.00	27.00	70.0	18.90
02/01/2018	00064082782 KRAATZ, MATTHEW	AMERICAN FUNDS CAPITAL WORLD GROWT	B	50.00	1.28	0.00	1.28	70.0	0.90
02/02/2018	00081247942 UNTIL AGE 21, TX/UTMA	AMERICAN FUNDS NEW PERSPECTIVE FD	B	250.00	2.40	0.00	2.40	70.0	1.68
01/22/2018	00087441316 DAMRON/HUPP, MARTHA/ABIGAIL	COLLEGE 2018 FUND-529A	B	50.00	1.05	0.00	1.05	70.0	0.74

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SPLIT TRANSACTIONS

BROKER: ROTHSCHILD/POOL #9629

01/25/2018	04000758622	CHUA, SERGIO		AMERICAN FUNDS BALANCED FD	B	2,000.00	14.40	0.00	14.40	70.0	10.08
01/25/2018	04000758622	CHUA, SERGIO		AMERICAN FUNDS BD FD OF AMER FD	B	2,500.00	18.00	0.00	18.00	70.0	12.60
01/25/2018	04000758622	CHUA, SERGIO		AMERICAN FUNDS CAPITAL INCOME BUILD	B	2,000.00	14.40	0.00	14.40	70.0	10.08
01/25/2018	04000758622	CHUA, SERGIO		AMERICAN FUNDS CAPITAL WORLD GROWT	B	1,500.00	10.80	0.00	10.80	70.0	7.56
01/25/2018	04000758622	CHUA, SERGIO		AMERICAN FUNDS INCOME FD OF AMERI	B	2,000.00	14.40	0.00	14.40	70.0	10.08
* ROTHSCHILD/POOL SUBTOTAL *						\$11,718.73	\$1,310.92	\$0.00	\$1,310.92		\$917.65
*** SPLIT TRANSACTIONS TOTAL ***						\$11,718.73	\$1,310.92	\$0.00	\$1,310.92		\$917.65

BROKER COMMISSION TOTALS

Broker Transactions	\$1,714.41
Split Transactions	\$135.02
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00

Check Amount	\$1,849.43
Year-to-date Earnings	\$10,435.68