



Concorde Investment Services, LLC  
**COMMISSION STATEMENT**  
**March 15, 2018**

03/13/2018  
Page No. 1

DAVID, Z116 ZUPEK  
1126 Bichara Blvd

BROKER #: Z116  
BRANCH: TADA WEALTH ADVISORS

The Villages, FL 32159

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: REIT</u></b>								
02/09/2018	ROSE, PETER	CARTER VALIDUS MC REIT II CLASS T	0.00	43.32	0.00	43.32	90.0	38.99
02/09/2018	KANE, SUSAN	CARTER VALIDUS MC REIT II CLASS T	0.00	42.98	0.00	42.98	90.0	38.68
* REIT SUBTOTAL *			\$0.00	\$86.30	\$0.00	\$86.30		\$77.67
<b><u>PRODUCT CATEGORY: 529 PLANS</u></b>								
03/01/2018	FROSCH, TRACEY	AM FUNDS FUNDAMENTAL INVESTORS 529A	15.00	0.56	0.00	0.56	90.0	0.50
03/01/2018	FROSCH, TRACEY	AM FUNDS GROWTH FUND OF AMERICA 529	15.00	0.56	0.00	0.56	90.0	0.50
03/01/2018	FROSCH, TRACEY	AM FUNDS SMALLCAP WORLD-529A	15.00	0.56	0.00	0.56	90.0	0.50
03/01/2018	FROSCH, TRACEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	15.00	0.56	0.00	0.56	90.0	0.50
* 529 PLANS SUBTOTAL *			\$60.00	\$2.24	\$0.00	\$2.24		\$2.00
<b><u>PRODUCT CATEGORY: MUTUAL FUNDS</u></b>								
03/01/2018	FROSCH, TRACEY	529 AMCAP FUND CLASS A	15.00	0.56	0.00	0.56	90.0	0.50
02/20/2018	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	50.00	2.50	0.00	2.50	90.0	2.25
* MUTUAL FUNDS SUBTOTAL *			\$65.00	\$3.06	\$0.00	\$3.06		\$2.75
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
02/24/2018	RUFFOLO, BENNY	AM GEN POLARIS CHOICE III	168,264.41	630.99	0.00	630.99	90.0	567.89
03/01/2018	BODVEN, BRUCE	AM GEN POLARIS CHOICE III	115,473.05	433.02	0.00	433.02	90.0	389.72
02/28/2018	SHELDON, MARK	JNL PERSPECTIVE ADV II 5/05	14,979.28	15.60	0.00	15.60	90.0	14.04
02/28/2018	DEHAHN, RAYMOND	JNL PERSPECTIVE ADV II 5/05	49,424.23	41.19	0.00	41.19	90.0	37.07
02/28/2018	JOHNSON, ROBERT	JNL PERSPECTIVE FOCUS	60,354.99	50.30	0.00	50.30	90.0	45.27
02/28/2018	ROSE, NIKKI	JNL PERSPECTIVE II 04/14	78,129.62	65.10	0.00	65.10	90.0	58.59
02/28/2018	HARTGERINK, BRETT	JNL PERSPECTIVE II 04/14	115,561.37	24.08	0.00	24.08	90.0	21.67
02/28/2018	ROST, DAVID	JNL PERSPECTIVE II 04/14	788,022.21	656.69	0.00	656.69	90.0	591.02
02/28/2018	ZUPEK, ALBERT	JNL PERSPECTIVE II 04/14	433,211.34	361.01	0.00	361.01	90.0	324.91
02/28/2018	INGHAM, LYNNE	JNL PERSPECTIVE II 05/05	16,141.07	6.73	0.00	6.73	90.0	6.06
02/28/2018	BELLAIRE, THOMAS	JNL PERSPECTIVE II 10/04	15,773.17	6.57	0.00	6.57	90.0	5.91

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b>PRODUCT CATEGORY: VARIABLE ANNUITIES</b>								
02/28/2018	DENNE, FRANCES	JNL PERSPECTIVE II 10/04	11,940.69	4.98	0.00	4.98	90.0	4.48
02/28/2018	ROSE, PETER	JNL PERSPECTIVE L	34,001.65	28.34	0.00	28.34	90.0	25.51
02/28/2018	HOSS, GAIL	JNL PERSPECTIVE L	75,658.96	81.96	0.00	81.96	90.0	73.76
02/28/2018	JEANQUART, ROBERT	JNL PERSPECTIVE L	4,555.14	4.93	0.00	4.93	90.0	4.44
02/28/2018	PECKMAN, MARCIA	JNL PERSPECTIVE L	79,955.75	86.62	0.00	86.62	90.0	77.96
02/28/2018	HOOTEN, ROBERT	JNL PERSPECTIVE L	33,979.46	36.81	0.00	36.81	90.0	33.13
02/28/2018	KAEDING, HAROLD	JNL PERSPECTIVE L	62,504.16	52.09	0.00	52.09	90.0	46.88
02/28/2018	BABICKY, SHERRY	JNL PERSPECTIVE L	53,828.23	62.80	0.00	62.80	90.0	56.52
02/28/2018	MCFADDEN, JAMES	JNL PERSPECTIVE L	172,585.39	201.35	0.00	201.35	90.0	181.22
02/28/2018	TASKONIS, RICHARD	JNL PERSPECTIVE L	125,817.29	146.79	0.00	146.79	90.0	132.11
02/28/2018	BABICKY, SHERRY	JNL PERSPECTIVE L	40,433.43	47.17	0.00	47.17	90.0	42.45
02/28/2018	EDQUIST, BETTY	JNL PERSPECTIVE L	42,247.02	35.21	0.00	35.21	90.0	31.69
02/28/2018	FLETCHER, JEWEL	JNL PERSPECTIVE L	26,434.35	22.03	0.00	22.03	90.0	19.83
02/28/2018	SMITH, BETTY	JNL PERSPECTIVE L	30,698.66	35.82	0.00	35.82	90.0	32.24
02/28/2018	NONDORF, DENNIS	JNL PERSPECTIVE L	4,402.42	3.67	0.00	3.67	90.0	3.30
02/28/2018	WILLIAMS, WARNER	JNL PERSPECTIVE L	34,189.57	28.49	0.00	28.49	90.0	25.64
02/28/2018	ZUPFER, CHARLES	JNL PERSPECTIVE L	30,302.62	25.25	0.00	25.25	90.0	22.73
02/28/2018	HUIZINGA, SHIRLEY	JNL PERSPECTIVE L	33,159.97	27.63	0.00	27.63	90.0	24.87
02/28/2018	SPERER, MARION	JNL PERSPECTIVE L	22,286.67	18.57	0.00	18.57	90.0	16.71
02/28/2018	VERBETEN, KAREN	JNL PERSPECTIVE L	8,718.25	10.17	0.00	10.17	90.0	9.15
02/28/2018	BOLAND, TERRENCE	JNL PERSPECTIVE L	24,169.29	28.20	0.00	28.20	90.0	25.38
02/28/2018	HARTGERINK, EDWARD	JNL PERSPECTIVE L	28,490.46	30.86	0.00	30.86	90.0	27.77
02/28/2018	HARTGERINK, EDWARD	JNL PERSPECTIVE L	26,448.66	28.65	0.00	28.65	90.0	25.79
02/28/2018	HARTGERINK, EDWARD	JNL PERSPECTIVE L	28,643.84	23.87	0.00	23.87	90.0	21.48
02/28/2018	BODVEN, BRUCE	JNL PERSPECTIVE L	56,746.31	66.20	0.00	66.20	90.0	59.58
02/28/2018	PAULICK, BERNARD	JNL PERSPECTIVE L	141,254.83	117.71	0.00	117.71	90.0	105.94
02/28/2018	SORENSEN SR, ROBERT	JNL PERSPECTIVE L	141,848.49	118.21	0.00	118.21	90.0	106.39
02/28/2018	RACK, JOAN	JNL PERSPECTIVE L	145,120.95	157.21	0.00	157.21	90.0	141.49
02/28/2018	HUIZINGA, SHIRLEY	JNL PERSPECTIVE L	107,584.53	89.65	0.00	89.65	90.0	80.69
02/28/2018	INGHAM, LYNNE	JNL PERSPECTIVE L	70,060.90	58.38	0.00	58.38	90.0	52.54
02/28/2018	SORENSEN SR, ROBERT	JNL PERSPECTIVE L	174,107.41	145.09	0.00	145.09	90.0	130.58
02/28/2018	CRAWFORD, TIMOTHY	JNL PERSPECTIVE L	210,620.31	175.52	0.00	175.52	90.0	157.97
02/28/2018	WELLMAN, JAMES	JNL PERSPECTIVE L	55,146.36	64.34	0.00	64.34	90.0	57.91

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
02/28/2018	CRAWFORD, JEANNE	JNL PERSPECTIVE L	103,197.38	120.40	0.00	120.40	90.0	108.36
02/28/2018	THOMPSON, CAROL	JNL PERSPECTIVE L	74,681.11	62.23	0.00	62.23	90.0	56.01
02/28/2018	SHELDON, SUSAN	JNL PERSPECTIVE L	48,483.64	40.40	0.00	40.40	90.0	36.36
02/28/2018	SHELDON, MARK	JNL PERSPECTIVE L	94,229.03	109.93	0.00	109.93	90.0	98.94
02/28/2018	FIEHWEG-CARTWRIGHT, LISA	JNL PERSPECTIVE L	379,883.38	316.57	0.00	316.57	90.0	284.91
02/28/2018	PERONE, PASQUALE	JNL PERSPECTIVE L	631,463.98	736.71	0.00	736.71	90.0	663.04
02/28/2018	PECKMAN, ROGER	JNL PERSPECTIVE L	135,868.75	158.51	0.00	158.51	90.0	142.66
02/28/2018	RUFFOLO, BENNY	JNL PERSPECTIVE L	103,947.52	121.27	0.00	121.27	90.0	109.14
02/28/2018	ZUPEK, DAVID	JNL PERSPECTIVE L	229,764.71	268.06	0.00	268.06	90.0	241.25
02/28/2018	FALBO, DIANE	JNL PERSPECTIVE L	681,451.55	795.03	0.00	795.03	90.0	715.53
02/28/2018	GAFKA, PHILIP	JNL PERSPECTIVE L	197,654.96	230.60	0.00	230.60	90.0	207.54
02/28/2018	GAFKA, PHILIP	JNL PERSPECTIVE L	369,855.56	431.50	0.00	431.50	90.0	388.35
02/28/2018	HARRIS, BILLY	JNL PERSPECTIVE L	269,533.53	314.46	0.00	314.46	90.0	283.01
02/28/2018	HEBERLING, DONALD	JNL PERSPECTIVE L	20,464.98	17.05	0.00	17.05	90.0	15.35
02/28/2018	WAYO, DARLENE	JNL PERSPECTIVE L	67,471.17	56.23	0.00	56.23	90.0	50.61
02/28/2018	HOSS, GAIL	JNL PERSPECTIVE L	73,014.87	85.18	0.00	85.18	90.0	76.66
02/28/2018	MUELLER, ROMAYNE	JNL PERSPECTIVE L	56,912.03	47.43	0.00	47.43	90.0	42.69
02/28/2018	STARK, GLORIA	JNL PERSPECTIVE L	59,898.29	49.92	0.00	49.92	90.0	44.93
02/28/2018	SMITHANA, MARGARET	JNL PERSPECTIVE L	248,268.63	206.89	0.00	206.89	90.0	186.20
02/28/2018	GIBSON, ROBERT	JNL PERSPECTIVE L	289,233.38	241.03	0.00	241.03	90.0	216.93
02/28/2018	MCFADDEN, JAMES	JNL PERSPECTIVE L	31,206.11	26.01	0.00	26.01	90.0	23.41
02/28/2018	KELLOGG, MICHAEL	JNL PERSPECTIVE L	225,980.02	188.32	0.00	188.32	90.0	169.49
02/28/2018	BIRKHOLZ, GAY	JNL PERSPECTIVE L	112,824.07	94.02	0.00	94.02	90.0	84.62
02/28/2018	HORNACEK, JOSEPH	JNL PERSPECTIVE L	401,539.49	468.46	0.00	468.46	90.0	421.61
02/28/2018	ZUPFER, JO	JNL PERSPECTIVE L	89,901.56	74.92	0.00	74.92	90.0	67.43
02/28/2018	BECKER, JOHN	JNL PERSPECTIVE L	123,764.34	103.14	0.00	103.14	90.0	92.83
02/28/2018	BECKER, JOHN	JNL PERSPECTIVE L	153,215.52	127.68	0.00	127.68	90.0	114.91
02/28/2018	BAHR, JEFFREY	JNL PERSPECTIVE L	123,638.16	103.03	0.00	103.03	90.0	92.73
02/28/2018	STEPHENS, CAROL	JNL PERSPECTIVE L	577,478.97	481.23	0.00	481.23	90.0	433.11
02/28/2018	GLENNAN, DONALD	JNL PERSPECTIVE L	342,337.89	285.28	0.00	285.28	90.0	256.75
02/28/2018	CAPSTRAW, RONALD	JNL PERSPECTIVE L	382,171.39	318.48	0.00	318.48	90.0	286.63
02/28/2018	CAPSTRAW, RONALD &	JNL PERSPECTIVE L	221,253.15	184.38	0.00	184.38	90.0	165.94
02/05/2018	RACK, JOAN	TRANSAMERICA LIBERTY	178,008.90	148.34	0.00	148.34	90.0	133.51

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u></b>								
02/13/2018	SOENS, JAMES	TRANSAMERICA LIBERTY	109,730.38	91.44	0.00	91.44	90.0	82.30
02/13/2018	HARTGERINK, EDWARD	TRANSAMERICA LIBERTY	306,681.10	255.57	0.00	255.57	90.0	230.01
02/15/2018	FRAID, JEROME	TRANSAMERICA LIBERTY	88,748.87	73.96	0.00	73.96	90.0	66.56
02/17/2018	MANDLI, JODI	TRANSAMERICA LIBERTY	36,364.54	30.30	0.00	30.30	90.0	27.27
02/21/2018	LUDWIG, DEBORA	TRANSAMERICA LIBERTY	37,237.79	31.03	0.00	31.03	90.0	27.93
02/23/2018	STARK, GLORIA	TRANSAMERICA LIBERTY	39,836.51	33.20	0.00	33.20	90.0	29.88
02/23/2018	DENNE, THOMAS	TRANSAMERICA LIBERTY	39,302.81	32.75	0.00	32.75	90.0	29.48
02/23/2018	ZUPEK TRUST	TRANSAMERICA LIBERTY	1,154.77	0.96	0.00	0.96	90.0	0.86
02/23/2018	ZUPEK TRUST	TRANSAMERICA LIBERTY	1,302.62	1.09	0.00	1.09	90.0	0.98
02/24/2018	HAMILTON, RONALD	TRANSAMERICA LIBERTY	204,338.38	170.28	0.00	170.28	90.0	153.25
02/24/2018	KASDORF, LINDA	TRANSAMERICA LIBERTY	69,299.64	57.75	0.00	57.75	90.0	51.98
02/26/2018	WAYO, DARLENE	TRANSAMERICA LIBERTY	168,624.98	140.52	0.00	140.52	90.0	126.47
02/27/2018	KIELPINSKI, ANTHONY	TRANSAMERICA LIBERTY	202,844.02	169.04	0.00	169.04	90.0	152.14
<b>* VARIABLE ANNUITIES SUBTOTAL *</b>			<b>\$12,397,341.19</b>	<b>\$12,456.43</b>	<b>\$0.00</b>	<b>\$12,456.43</b>		<b>\$11,210.83</b>
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	GRIFFITH, SARA	AM FUNDS AMCAP A	0.00	28.92	0.00	28.92	90.0	26.03
03/01/2018	RUSCH, JACOB	AM FUNDS AMCAP A	0.00	12.44	0.00	12.44	90.0	11.20
03/01/2018	DENNE, THOMAS	AM FUNDS AMERICAN BALANCED 529A	0.00	1.57	0.00	1.57	90.0	1.41
03/01/2018	BERNHARDT, CONSTANCE	AM FUNDS AMERICAN BALANCED A	0.00	1.96	0.00	1.96	90.0	1.76
03/01/2018	ROBERTSON, MARTIN	AM FUNDS AMERICAN BALANCED A	0.00	18.03	0.00	18.03	90.0	16.23
03/01/2018	NICOLAZZI, JOYCE	AM FUNDS AMERICAN MUTUAL 529A	0.00	0.49	0.00	0.49	90.0	0.44
03/01/2018	NICOLAZZI, JOYCE	AM FUNDS AMERICAN MUTUAL 529A	0.00	7.78	0.00	7.78	90.0	7.00
03/01/2018	NICOLAZZI, JOYCE	AM FUNDS AMERICAN MUTUAL 529A	0.00	7.33	0.00	7.33	90.0	6.60
03/01/2018	NICOLAZZI, JOYCE	AM FUNDS AMERICAN MUTUAL 529A	0.00	7.10	0.00	7.10	90.0	6.39
03/01/2018	NICOLAZZI, JOYCE	AM FUNDS AMERICAN MUTUAL 529A	0.00	7.24	0.00	7.24	90.0	6.52
03/01/2018	HARTGERINK, EDWARD	AM FUNDS BOND FUND OF AMERICA 529A	0.00	1.44	0.00	1.44	90.0	1.30
03/01/2018	RUSCH, JACOB	AM FUNDS BOND FUND OF AMERICA 529A	0.00	13.31	0.00	13.31	90.0	11.98
03/01/2018	SHUSTEK, PEGGY	AM FUNDS BOND FUND OF AMERICA 529A	0.00	16.25	0.00	16.25	90.0	14.63
03/01/2018	HARTGERINK, EDWARD	AM FUNDS BOND FUND OF AMERICA 529C	0.00	0.73	0.00	0.73	90.0	0.66
03/01/2018	GREIG, AMY	AM FUNDS BOND FUND OF AMERICA 529C	0.00	21.51	0.00	21.51	90.0	19.36
03/01/2018	GREIG, AMY	AM FUNDS BOND FUND OF AMERICA 529C	0.00	18.91	0.00	18.91	90.0	17.02
03/01/2018	KRIEDERMANN, ROBERT	AM FUNDS CAPITAL INCOME BUILDER A	0.00	7.00	0.00	7.00	90.0	6.30
03/01/2018	NICOLAZZI, JOYCE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	0.51	0.00	0.51	90.0	0.46

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<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	NICOLAZZI, JOYCE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	8.13	0.00	8.13	90.0	7.32
03/01/2018	HORNACEK, JOSEPH	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	12.21	0.00	12.21	90.0	10.99
03/01/2018	NICOLAZZI, JOYCE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	7.65	0.00	7.65	90.0	6.89
03/01/2018	NICOLAZZI, JOYCE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	7.42	0.00	7.42	90.0	6.68
03/01/2018	HARTGERINK, EDWARD	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	1.68	0.00	1.68	90.0	1.51
03/01/2018	NICOLAZZI, JOYCE	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	7.56	0.00	7.56	90.0	6.80
03/01/2018	SHUSTEK, PEGGY	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	4.72	0.00	4.72	90.0	4.25
03/01/2018	HARTGERINK, EDWARD	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	0.80	0.00	0.80	90.0	0.72
03/01/2018	GREIG, AMY	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	6.81	0.00	6.81	90.0	6.13
03/01/2018	POVKOVICH, DOROTHY	AM FUNDS FUNDAMENTAL INVESTORS 529C	0.00	21.51	0.00	21.51	90.0	19.36
03/01/2018	NICOLAZZI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.52	0.00	0.52	90.0	0.47
03/01/2018	NICOLAZZI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	8.32	0.00	8.32	90.0	7.49
03/01/2018	HORNACEK, JOSEPH	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	12.50	0.00	12.50	90.0	11.25
03/01/2018	NICOLAZZI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	7.83	0.00	7.83	90.0	7.05
03/01/2018	NICOLAZZI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	7.59	0.00	7.59	90.0	6.83
03/01/2018	HARTGERINK, EDWARD	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.72	0.00	1.72	90.0	1.55
03/01/2018	RUGH, BARBARA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.65	0.00	2.65	90.0	2.39
03/01/2018	NICOLAZZI, JOYCE	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	7.74	0.00	7.74	90.0	6.97
03/01/2018	DENNE, THOMAS	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	2.81	0.00	2.81	90.0	2.53
03/01/2018	SHUSTEK, PEGGY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	4.83	0.00	4.83	90.0	4.35
03/01/2018	HARTGERINK, EDWARD	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	0.82	0.00	0.82	90.0	0.74
03/01/2018	RUGH, BARBARA	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	1.26	0.00	1.26	90.0	1.13
03/01/2018	POVKOVICH, DOROTHY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	22.01	0.00	22.01	90.0	19.81
03/01/2018	KRIEDERMANN, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	10.19	0.00	10.19	90.0	9.17
03/01/2018	GRIFFITH, SARA	AM FUNDS GROWTH FUND OF AMERICA A	0.00	29.21	0.00	29.21	90.0	26.29
03/01/2018	BERNHARDT, ROBERT	AM FUNDS GROWTH FUND OF AMERICA A	0.00	4.01	0.00	4.01	90.0	3.61
03/01/2018	RUSCH, JACOB	AM FUNDS GROWTH FUND OF AMERICA A	0.00	12.73	0.00	12.73	90.0	11.46
03/01/2018	NICOLAZZI, JOYCE	AM FUNDS GROWTH PORT 529A	0.00	0.52	0.00	0.52	90.0	0.47
03/01/2018	SHUSTEK, PEGGY	AM FUNDS GROWTH PORT 529A	0.00	4.80	0.00	4.80	90.0	4.32
03/01/2018	DENNE, THOMAS	AM FUNDS ICA 529A	0.00	1.40	0.00	1.40	90.0	1.26
03/01/2018	BERNHARDT, ROBERT	AM FUNDS INCOME FUND OF AMERICA A	0.00	1.93	0.00	1.93	90.0	1.74
03/01/2018	RUSCH, JACOB	AM FUNDS INCOME FUND OF AMERICA A	0.00	11.54	0.00	11.54	90.0	10.39
03/01/2018	HORNACEK, JOSEPH	AM FUNDS NEW ECONOMY 529A	0.00	13.22	0.00	13.22	90.0	11.90

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>DIRECT TRANSACTIONS</u></b>								
<b><u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u></b>								
03/01/2018	GRIFFITH, SARA	AM FUNDS NEW PERSPECTIVE A	0.00	24.87	0.00	24.87	90.0	22.38
03/01/2018	GRIFFITH, SARA	AM FUNDS SMALLCAP WORLD A	0.00	24.51	0.00	24.51	90.0	22.06
03/01/2018	BERNHARDT, ROBERT	AM FUNDS SMALLCAP WORLD A	0.00	2.74	0.00	2.74	90.0	2.47
03/01/2018	NICOLAZZI, JOYCE	AM FUNDS SMALLCAP WORLD-529A	0.00	2.75	0.00	2.75	90.0	2.48
03/01/2018	HORNACEK, JOSEPH	AM FUNDS SMALLCAP WORLD-529A	0.00	4.13	0.00	4.13	90.0	3.72
03/01/2018	NICOLAZZI, JOYCE	AM FUNDS SMALLCAP WORLD-529A	0.00	2.58	0.00	2.58	90.0	2.32
03/01/2018	NICOLAZZI, JOYCE	AM FUNDS SMALLCAP WORLD-529A	0.00	2.50	0.00	2.50	90.0	2.25
03/01/2018	HARTGERINK, EDWARD	AM FUNDS SMALLCAP WORLD-529A	0.00	0.38	0.00	0.38	90.0	0.34
03/01/2018	NICOLAZZI, JOYCE	AM FUNDS SMALLCAP WORLD-529A	0.00	2.55	0.00	2.55	90.0	2.30
03/01/2018	HARTGERINK, EDWARD	AM FUNDS SMALLCAP WORLD-529C	0.00	0.18	0.00	0.18	90.0	0.16
03/01/2018	BERNHARDT, ROBERT	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	5.32	0.00	5.32	90.0	4.79
03/01/2018	RUSCH, JACOB	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	12.23	0.00	12.23	90.0	11.01
03/01/2018	NICOLAZZI, JOYCE	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.50	0.00	0.50	90.0	0.45
03/01/2018	RUGH, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.54	0.00	2.54	90.0	2.29
03/01/2018	SHUSTEK, PEGGY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.64	0.00	4.64	90.0	4.18
03/01/2018	RUGH, BARBARA	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	1.23	0.00	1.23	90.0	1.11
03/01/2018	GREIG, AMY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	6.70	0.00	6.70	90.0	6.03
03/01/2018	POVKOVICH, DOROTHY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	21.14	0.00	21.14	90.0	19.03
03/01/2018	GREIG, AMY	GROWTH PORTFOLIO-529C	0.00	5.94	0.00	5.94	90.0	5.35
03/01/2018	POVKOVICH, DOROTHY	GROWTH PORTFOLIO-529C	0.00	21.89	0.00	21.89	90.0	19.70
02/21/2018	SIMMONS, JERRY	JP MORGAN INVESTOR GROWTH & INCOME	0.00	6.33	0.00	6.33	90.0	5.70
<b>* MUTUAL FUND 12B1 TRAILS SUBTOTAL *</b>			<b>\$0.00</b>	<b>\$576.81</b>	<b>\$0.00</b>	<b>\$576.81</b>		<b>\$519.23</b>
<b><u>PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS</u></b>								
02/27/2018	MILES, FRANK	DELAWARE LIFE TRAILS	126,316.66	157.90	0.00	157.90	90.0	142.11
02/18/2018	TORNER, LYNN	ING LANDMARK	127,569.71	318.92	0.00	318.92	90.0	287.03
03/06/2018	RACK, JOAN	ING LANDMARK	278,266.57	695.67	0.00	695.67	90.0	626.10
03/07/2018	JARVIS, RICHARD	ING LANDMARK	272,092.73	680.23	0.00	680.23	90.0	612.21
<b>* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *</b>			<b>\$804,245.67</b>	<b>\$1,852.72</b>	<b>\$0.00</b>	<b>\$1,852.72</b>		<b>\$1,667.45</b>
<b>*** DIRECT TRANSACTIONS TOTAL ***</b>			<b>\$13,201,711.86</b>	<b>\$14,977.56</b>	<b>\$0.00</b>	<b>\$14,977.56</b>		<b>\$13,479.93</b>

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DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>SPLIT TRANSACTIONS</u></b>								
<b><u>BROKER: R143-RICHARD/DAVE RODRIGUEZ/ZUPEK #404</u></b>								
02/23/2018	KOLARIK TRUST, CLAIRE	JNL PERSPECTIVE II 04/14	39,371.11	1,377.99	0.00	1,377.99	90.0	1,240.19
02/14/2018	EDWARD D JONES & CO CUSTO	PAC LIFE VA 694475211	0.00	9.53	0.00	9.53	90.0	8.58
<b>* R143-RICHARD/DAVE RODRIGUEZ/ZUPEK SUBTOTAL *</b>			<b>\$39,371.11</b>	<b>\$1,387.52</b>	<b>\$0.00</b>	<b>\$1,387.52</b>		<b>\$1,248.77</b>
<b>*** SPLIT TRANSACTIONS TOTAL ***</b>			<b>\$39,371.11</b>	<b>\$1,387.52</b>	<b>\$0.00</b>	<b>\$1,387.52</b>		<b>\$1,248.77</b>

**VERRIDE TRANSACTIONS**

<b><u>BROKER: MARK-H122 HUBER #10</u></b>								
03/05/2018	CHOWANIEC, WOJCIECH	MFS GROWTH ALLOCATION 529C	200.00	2.00	0.00	2.00	0.0	1.80
03/01/2018	ZAREBA, NATALIA	MFS GROWTH ALLOCATION FUND -529B	50.00	2.00	0.00	2.00	0.0	1.80
02/15/2018	CHOWANIEC, WOJCIECH	PUTNAM 529 AGE-BASED 2014-A	200.00	10.00	0.00	10.00	0.0	9.00
<b>* MARK-H122 HUBER SUBTOTAL *</b>			<b>\$450.00</b>	<b>\$14.00</b>	<b>\$0.00</b>	<b>\$14.00</b>		<b>\$12.60</b>

<b><u>BROKER: MARK-H122 HUBER #89</u></b>								
01/31/2018	KOZIOL, CHRISTOPHER	BLACKROCK 67776G469	0.00	6.83	0.00	6.83	0.0	6.15
01/31/2018	KOZIOL, CHRISTOPHER	BLK GROWTH PORT C1	0.00	10.18	0.00	10.18	0.0	9.16
01/31/2018	KOZIOL, CHRISTOPHER	BLK GROWTH PORT C1	0.00	10.18	0.00	10.18	0.0	9.16
03/01/2018	BECKER, KARINA	MFS BLENDED RESEARCH CORE C	180.00	1.80	0.00	1.80	0.0	1.62
03/02/2018	KRELLER, KRYSTYNA	MFS BLENDED RESEARCH CORE C	40.00	0.40	0.00	0.40	0.0	0.36
03/01/2018	BECKER, KARINA	MFS DIVERSIFIED INCOME FUND C	180.00	1.80	0.00	1.80	0.0	1.62
03/01/2018	ZAREBA, GRZEGORZ	MFS EMERGING MARKETS DEBT FUND B	50.00	2.00	0.00	2.00	0.0	1.80
03/02/2018	KRELLER, KRYSTYNA	MFS EMERGING MKTS DEBT FUND C	40.00	0.40	0.00	0.40	0.0	0.36
02/21/2018	GABEROV, VASIL	MFS LOW VOLATILITY EQUITY FUND C	50.00	0.50	0.00	0.50	0.0	0.45
02/21/2018	GABEROVA, STELLA	MFS LOW VOLATILITY EQUITY FUND C	50.00	0.50	0.00	0.50	0.0	0.45
03/01/2018	WALUSZKO, SYLWIA	MFS MODERATE ALLOCATION C	20.00	0.20	0.00	0.20	0.0	0.18
03/02/2018	KRELLER, KRYSTYNA	MFS MODERATE ALLOCATION C	40.00	0.40	0.00	0.40	0.0	0.36
02/21/2018	GABEROV, VASIL	MFS NEW DISCOVERY VALUE FUND C	50.00	0.50	0.00	0.50	0.0	0.45
02/21/2018	GABEROVA, STELLA	MFS NEW DISCOVERY VALUE FUND C	50.00	0.50	0.00	0.50	0.0	0.45
03/02/2018	KRELLER, KRYSTYNA	MFS RESEARCH BOND FUND C	40.00	0.40	0.00	0.40	0.0	0.36
03/01/2018	ZAREBA, GRZEGORZ	MFS UTILITIES B	50.00	2.00	0.00	2.00	0.0	1.80
02/21/2018	GABEROV, VASIL	MFS UTILITIES C	70.00	0.70	0.00	0.70	0.0	0.63
02/21/2018	GABEROVA, STELLA	MFS UTILITIES C	70.00	0.70	0.00	0.70	0.0	0.63
02/27/2018	TARCZYNSKI, LESTER	MFS UTILITIES C	2,000.00	20.00	0.00	20.00	0.0	18.00
03/01/2018	BECKER, KARINA	MFS UTILITIES C	180.00	1.80	0.00	1.80	0.0	1.62

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: MARK-H122 HUBER #89 </u></b>								
03/01/2018	WALUSZKO, SYLWIA	MFS UTILITIES C	20.00	0.20	0.00	0.20	0.0	0.18
03/02/2018	KRELLER, KRISTYNA	MFS UTILITIES C	40.00	0.40	0.00	0.40	0.0	0.36
02/16/2018	GRESZTA, LIDIA	MFS VALUE B	-700.00	-28.00	0.00	-28.00	0.0	-25.20
03/01/2018	WALUSZKO, SYLWIA	MFS VALUE C	20.00	0.20	0.00	0.20	0.0	0.18
* MARK-H122 HUBER SUBTOTAL *			\$2,540.00	\$34.59	\$0.00	\$34.59		\$31.13
<b><u> BROKER: R122-RICHARD/MARK RODRIGUEZ/HUBER #90 </u></b>								
02/22/2018	WOLF, XANDER	ALGER SPECTRA A	0.00	1.11	0.00	1.11	0.0	1.00
02/22/2018	WOLF, AADRA	ALGER SPECTRA A	0.00	1.11	0.00	1.11	0.0	1.00
02/12/2018	WOLF, XANDER	ALLIANCEBERNSTEIN EQUITY INCOME A	0.00	1.16	0.00	1.16	0.0	1.04
02/12/2018	WOLF, AADRA	ALLIANCEBERNSTEIN EQUITY INCOME A	0.00	1.30	0.00	1.30	0.0	1.17
02/21/2018	BATIO, KAREN	AM FUNDS AMERICAN BALANCED A	0.00	0.56	0.00	0.56	0.0	0.50
02/21/2018	BATIO, KAREN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.44	0.00	0.44	0.0	0.40
02/21/2018	BATIO, KAREN	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.69	0.00	0.69	0.0	0.62
02/21/2018	BATIO, KAREN	AM FUNDS ICA A	0.00	0.65	0.00	0.65	0.0	0.59
02/21/2018	BATIO, KAREN	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.52	0.00	0.52	0.0	0.47
02/16/2018	WOLF, XANDER	AMERICAN CENTURY REAL ESTATE FUND C	0.00	0.50	0.00	0.50	0.0	0.45
02/16/2018	WOLF, AADRA	AMERICAN CENTURY REAL ESTATE FUND C	0.00	0.50	0.00	0.50	0.0	0.45
02/22/2018	WOLF, XANDER	BLACKROCK LARGE CAP GROWTH FUND CLA	0.00	1.37	0.00	1.37	0.0	1.23
02/22/2018	WOLF, AADRA	BLACKROCK LARGE CAP GROWTH FUND CLA	0.00	1.55	0.00	1.55	0.0	1.40
02/22/2018	WOLF, XANDER	BLK HEALTH SCIENCES A	0.00	1.00	0.00	1.00	0.0	0.90
02/22/2018	WOLF, AADRA	BLK HEALTH SCIENCES A	0.00	1.00	0.00	1.00	0.0	0.90
02/27/2018	CIPPARRONE, NANCY	BR TOTAL INC & REAL ESTATE FUND A	21,226.41	4.51	0.00	4.51	0.0	4.06
02/27/2018	NARDINI, RONALD	BR TOTAL INC & REAL ESTATE FUND A	99,118.66	21.05	0.00	21.05	0.0	18.95
02/27/2018	NARDINI, BARBARA	BR TOTAL INC & REAL ESTATE FUND A	92,798.94	19.70	0.00	19.70	0.0	17.73
02/16/2018	UDCHIK, DAVID	GRIFFIN INSTITUTIONAL ACCESS REAL E	0.00	2.85	0.00	2.85	0.0	2.57
02/21/2018	WOLF, XANDER	RYDEX TRANSPORTATION FUND CLASS A	0.00	0.64	0.00	0.64	0.0	0.58
02/21/2018	WOLF, AADRA	RYDEX TRANSPORTATION FUND CLASS A	0.00	0.64	0.00	0.64	0.0	0.58
* R122-RICHARD/MARK RODRIGUEZ/HUBER SUBTOTAL *			\$213,144.01	\$62.85	\$0.00	\$62.85		\$56.59
<b><u> BROKER: MICHAEL VENTURINI #127 </u></b>								
02/28/2018	CAMPOLO, JON	JNL PERSPECTIVE L	20,521.66	17.10	0.00	17.10	0.0	15.39
02/28/2018	CAMPOLO, JUDITH	JNL PERSPECTIVE L	16,487.14	13.74	0.00	13.74	0.0	12.37
* MICHAEL VENTURINI SUBTOTAL *			\$37,008.80	\$30.84	\$0.00	\$30.84		\$27.76

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b><u> BROKER: DAVE/MIKE ZUPEK/VENTURINI #129 </u></b>								
03/01/2018	FROSCH, TRACEY	529 AMCAP FUND CLASS A	0.00	4.64	0.00	4.64	0.0	4.18
03/01/2018	FROSCH, TRACEY	529 AMCAP FUND CLASS A	0.00	3.15	0.00	3.15	0.0	2.84
03/01/2018	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	0.00	0.59	0.00	0.59	0.0	0.53
03/01/2018	THOMPSON, TERRY	AM FUNDS 2015 TARGET DATE A	0.00	17.56	0.00	17.56	0.0	15.80
03/01/2018	KISSH, BARBARA	AM FUNDS AMERICAN BALANCED A	0.00	10.38	0.00	10.38	0.0	9.34
03/01/2018	VASSH, LYNN	AM FUNDS CAPITAL INCOME BUILDER A	0.00	0.21	0.00	0.21	0.0	0.19
03/01/2018	VASSH, LYNN	AM FUNDS EUROPACIFIC GROWTH FUND A	0.00	0.22	0.00	0.22	0.0	0.20
03/01/2018	FROSCH, TRACEY	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	5.80	0.00	5.80	0.0	5.22
03/01/2018	FROSCH, TRACEY	AM FUNDS FUNDAMENTAL INVESTORS 529A	0.00	3.94	0.00	3.94	0.0	3.55
03/01/2018	VASSH, LYNN	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	2.68	0.00	2.68	0.0	2.41
03/01/2018	THOMPSON, TERRY	AM FUNDS FUNDAMENTAL INVESTORS C	0.00	0.60	0.00	0.60	0.0	0.54
03/01/2018	FROSCH, TRACEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	5.93	0.00	5.93	0.0	5.34
03/01/2018	FROSCH, TRACEY	AM FUNDS GROWTH FUND OF AMERICA 529	0.00	3.23	0.00	3.23	0.0	2.91
03/01/2018	VASSH, LYNN	AM FUNDS GROWTH FUND OF AMERICA C	0.00	2.63	0.00	2.63	0.0	2.37
03/01/2018	THOMPSON, TERRY	AM FUNDS GROWTH FUND OF AMERICA C	0.00	0.60	0.00	0.60	0.0	0.54
03/01/2018	FROSCH, TRACEY	AM FUNDS SMALLCAP WORLD-529A	0.00	2.35	0.00	2.35	0.0	2.12
03/01/2018	FROSCH, TRACEY	AM FUNDS SMALLCAP WORLD-529A	0.00	1.60	0.00	1.60	0.0	1.44
03/01/2018	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.30	0.00	0.30	0.0	0.27
03/01/2018	VASSH, LYNN	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	2.54	0.00	2.54	0.0	2.29
03/01/2018	THOMPSON, TERRY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	0.57	0.00	0.57	0.0	0.51
03/01/2018	FROSCH, TRACEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	4.56	0.00	4.56	0.0	4.10
03/01/2018	FROSCH, TRACEY	AM FUNDS WASHINGTON MUTUAL INVESTOR	0.00	3.87	0.00	3.87	0.0	3.48
* DAVE/MIKE ZUPEK/VENTURINI SUBTOTAL *			\$0.00	\$77.95	\$0.00	\$77.95		\$70.17
<b><u> BROKER: DAN/DAVE JENSEN/ZUPEK #136 </u></b>								
03/01/2018	DJURICH, JOYCE	AM FUNDS CAPITAL INCOME BUILDER A	0.00	6.08	0.00	6.08	0.0	5.47
03/01/2018	DJURICH, JOYCE	AM FUNDS GROWTH FUND OF AMERICA A	0.00	8.84	0.00	8.84	0.0	7.96
03/01/2018	BEAUGRAND, TAMI	AM FUNDS GROWTH FUND OF AMERICA C	0.00	10.82	0.00	10.82	0.0	9.74
* DAN/DAVE JENSEN/ZUPEK SUBTOTAL *			\$0.00	\$25.74	\$0.00	\$25.74		\$23.17
<b><u> BROKER: WENDY GOODING #158 </u></b>								
02/21/2018	SCOTT, NATALIE	JP MORGAN INVESTOR GROWTH & INCOME	0.00	10.81	0.00	10.81	0.0	3.78
* WENDY GOODING SUBTOTAL *			\$0.00	\$10.81	\$0.00	\$10.81		\$3.78

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<b><u> OVERRIDE TRANSACTIONS </u></b>								
<b>BROKER: R133-MARK/DAVE HUBER/ZUPEK #269</b>								
02/12/2018	WIKAR, HALINA	AGGRESSIVE AGE BASED 0 - 02 - A	100.00	3.00	0.00	3.00	0.0	2.70
03/01/2018	WEBER, SANDRA	AM FUNDS 2020 TARGET DATE-A	0.00	1.23	0.00	1.23	0.0	1.11
03/01/2018	HEFT, SAMANTHA	AM FUNDS 2035 TARGET DATE-A	0.00	2.57	0.00	2.57	0.0	2.31
03/01/2018	CLINGERMAN, JENNIFER	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.29	0.00	0.29	0.0	0.26
03/01/2018	CLINGERMAN, JENNIFER	AM FUNDS FUNDAMENTAL INVESTORS A	0.00	0.11	0.00	0.11	0.0	0.10
03/01/2018	CLINGERMAN, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.29	0.00	0.29	0.0	0.26
03/01/2018	CLINGERMAN, JENNIFER	AM FUNDS GROWTH FUND OF AMERICA A	0.00	0.11	0.00	0.11	0.0	0.10
03/01/2018	CLINGERMAN, JENNIFER	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.29	0.00	0.29	0.0	0.26
03/01/2018	CLINGERMAN, JENNIFER	AM FUNDS INCOME FUND OF AMERICA A	0.00	0.11	0.00	0.11	0.0	0.10
03/01/2018	CLINGERMAN, JENNIFER	AM FUNDS INTL GROWTH AND INCOME A	0.00	0.18	0.00	0.18	0.0	0.16
03/01/2018	CLINGERMAN, JENNIFER	AM FUNDS INTL GROWTH AND INCOME A	0.00	0.11	0.00	0.11	0.0	0.10
03/01/2018	CLINGERMAN, JENNIFER	AMERICAN FUND EMERGING MARKETS BOND	0.00	0.12	0.00	0.12	0.0	0.11
03/01/2018	MUNOZ, EMMANUEL	AMERICAN FUNDS 2060 TARGET DATE A	0.00	0.54	0.00	0.54	0.0	0.49
01/31/2018	SWAFFORD, WILLIAM	BLACKROCK 67776G469	0.00	1.60	0.00	1.60	0.0	1.44
02/09/2018	MAY, ROBERT	CARTER VALIDUS MC REIT II CLASS T	0.00	60.17	0.00	60.17	0.0	54.15
01/31/2018	SWAFFORD, WILLIAM	GLOBAL ALLOCATION FUND	0.00	1.52	0.00	1.52	0.0	1.37
<b>* R133-MARK/DAVE HUBER/ZUPEK SUBTOTAL *</b>			<b>\$100.00</b>	<b>\$72.24</b>	<b>\$0.00</b>	<b>\$72.24</b>		<b>\$65.02</b>
<b>BROKER: MARK-H150 HUBER #396</b>								
03/01/2018	KHOURI DDS PC 401K, RHONDA	AM FUNDS 2025 TARGET DATE R2	0.00	114.42	0.00	114.42	0.0	102.98
03/01/2018	KHOURI DDS PC 401K, RHONDA	AM FUNDS CAPITAL WORLD BOND R2	0.00	62.91	0.00	62.91	0.0	56.62
03/01/2018	KHOURI DDS PC 401K, RHONDA	AM FUNDS FUNDAMENTAL INVESTORS-R2	0.00	201.43	0.00	201.43	0.0	181.29
03/01/2018	KHOURI DDS PC 401K, RHONDA	AM FUNDS GROWTH FUND OF AMERICA R2	0.00	157.58	0.00	157.58	0.0	141.82
03/01/2018	KHOURI DDS PC 401K, RHONDA	AM FUNDS INCOME FUND OF AMERICA-R2	0.00	148.91	0.00	148.91	0.0	134.02
02/20/2018	NARDINI, BARBARA	JNL PERSPECTIVE II 04/14	93,037.04	1,860.74	0.00	1,860.74	0.0	1,674.67
02/20/2018	NARDINI, RONALD	JNL PERSPECTIVE II 04/14	99,374.00	1,987.48	0.00	1,987.48	0.0	1,788.73
03/01/2018	KHOURI DDS PC 401K, RHONDA	U.S. GOVERNMENT SECURITIES FUND-R2	0.00	59.77	0.00	59.77	0.0	53.79
<b>* MARK-H150 HUBER SUBTOTAL *</b>			<b>\$192,411.04</b>	<b>\$4,593.24</b>	<b>\$0.00</b>	<b>\$4,593.24</b>		<b>\$4,133.92</b>
<b>*** OVERRIDE TRANSACTIONS TOTAL ***</b>			<b>\$445,653.85</b>	<b>\$4,922.26</b>	<b>\$0.00</b>	<b>\$4,922.26</b>		<b>\$4,424.14</b>

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount &amp; Gross Commission displayed

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<b><u>ADJUSTMENTS</u></b>								
03/15/2018		*AI INSIGHT: AI INSIGHT	0.00					-7.00
03/15/2018		*AI INSIGHT: AI INSIGHT - MARK	0.00					-7.00
03/15/2018		*ALBRIDGE: FULL USER - DAVID	0.00					-88.00
03/15/2018		*ALBRIDGE: FULL USER - MARK	0.00					-88.00
03/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
03/15/2018		*E & O INSURANCE: CURRENT MONTH INS	0.00					-300.00
03/15/2018		*GLOBAL RELAY: FACEBOOK - DAVID	0.00					-2.50
03/15/2018		*GLOBAL RELAY: FACEBOOK - MARK	0.00					-2.50
03/15/2018		*GLOBAL RELAY: WEBSITE - DAVID	0.00					-7.50
03/15/2018		*GLOBAL RELAY: WEBSITE - MARK	0.00					-7.50
03/15/2018		*LASER APP: LASER APP - MARK	0.00					-18.00
03/15/2018		*LASER APP: LASERAPP - DAVID	0.00					-18.00
03/15/2018		*MARKETING PRO: MARKETING PRO - DAV	0.00					-10.00
03/15/2018		*MARKETING PRO: STANDARD - MARK	0.00					-10.00
03/15/2018		*PERSHING: BOOKS AND RECORDS	0.00					-7.92
03/15/2018		*PERSHING: BOOKS & RECORDS	0.00					-3.00
03/15/2018		*TECH: ADMIN - L JOCIUS	0.00					-130.00
03/15/2018		*TECH: ADMIN - RON HAMILTON	0.00					-60.00
03/15/2018		*TECH: ADMIN: JOHN ZUPEK	0.00					-60.00
03/15/2018		*TECHNOLOGY: ADMIN - AMANDA MOON	0.00					-130.00
03/15/2018		*TECHNOLOGY: BASIC - DAVID	0.00					-180.00
03/15/2018		*TECHNOLOGY: BASIC-MARK	0.00					-180.00
*** ADJUSTMENTS TOTAL ***			\$0.00	\$0.00	\$0.00	\$0.00		\$-1,616.92

NOTES: \* - Indicates adjustment is not included in 1099 Earnings

\*\* - Indicates adjustment is not included in Totals or Commissions

^Date - Split Trade - full Investment Amount & Gross Commission displayed

**BROKER COMMISSION TOTALS**

Broker Transactions	\$13,479.93
Split Transactions	\$1,248.77
Override Transactions	\$4,424.14
Adjustments	\$-1,616.92
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Check Amount	\$17,535.92
Year-to-date Gross	\$132,051.94
Year-to-date Earnings	\$141,842.58