



COMMISSION STATEMENT

July 16, 2018

WILLIAM ROBERTS
400 West Metro Park
Rochester, NY 14623

BROKER #: 16
BRANCH #01016 - 16 - CASPER

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
07/02/2018	***-**-1606 - REUS, MARTHA	PUTNAM EQUITY INCOME FUND-A	0.00	42.04	0.00	42.04	85.0	35.73
	ACCOUNT #: 00266280057	BUY 0 Units @0.0000						
06/30/2018	***-**-9904 - PRZYLUKE,	ROCHESTER FUND MUNICIPALS A	0.00	209.27	0.00	209.27	85.0	177.88
	ACCOUNT #: 00011753000	BUY 0 Units @0.0000						
06/30/2018	***-**-07IN - MULLER,	ROCHESTER FUND MUNICIPALS A	0.00	150.64	0.00	150.64	85.0	128.04
	ACCOUNT #: 03650014091	BUY 0 Units @0.0000						
06/30/2018	***-**-74IN - KUBIAK, HENRY J	ROCHESTER FUND MUNICIPALS A	0.00	2.96	0.00	2.96	85.0	2.52
	ACCOUNT #: 03650163174	BUY 0 Units @0.0000						
06/30/2018	***-**-42IN - RUES, JAMES	ROCHESTER FUND MUNICIPALS A	0.00	95.58	0.00	95.58	85.0	81.24
	ACCOUNT #: 03651611720	BUY 0 Units @0.0000						
		* MUTUAL FUND 12B1 TRAILS SUBTOTAL *	\$0.00	\$500.49	\$0.00	\$500.49		\$425.41
		*** BROKER TRANSACTIONS TOTAL ***	\$0.00	\$500.49	\$0.00	\$500.49		\$425.41
<u>SPLIT TRANSACTIONS</u>								
<u>BROKER: FREDERIC SCARPULLA</u>								
06/30/2018	***-**-11IN - ROBERTS NEEDS	EQUITY INCOME FUND, INC. A	0.00	107.05	0.00	107.05	85.0	90.99
	ACCOUNT #: 02401512863	BUY 0 Units @0.0000						
06/30/2018	***-**-11IN - ROBERTS NEEDS	ROCH. LIMITED TERM NEW YORK MUNICIP	0.00	227.02	0.00	227.02	85.0	192.97
	ACCOUNT #: 03551129898	BUY 0 Units @0.0000						
		* FREDERIC SCARPULLA SUBTOTAL *	\$0.00	\$334.07	\$0.00	\$334.07		\$283.96
		*** SPLIT TRANSACTIONS TOTAL ***	\$0.00	\$334.07	\$0.00	\$334.07		\$283.96



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$425.41
						Split Transactions		\$283.96
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$0.00
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$709.37
						Year-to-date Earnings		\$21,118.97