

COMMISSION STATEMENT

June 30, 2018

JANICE GINSBURG
100 AQUEDUCT DRIVE

BROKER #: V03 A03
BRANCH: FULCRUM GROUP

NORTH WALES, PA 19454

COMMISSION STATEMENT for JANICE GINSBURG

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>									
<u>PRODUCT CATEGORY: MUTUAL FUNDS</u>									
06/12/2018 00082704422	BLUM, ASHER	529 THE NEW ECONOMY FUND	B	250.00	2.50	0.00	2.50	50.0	1.25
06/08/2018 75501483	SPILLER, HELEN	**GUGGENHEIM FDS TR	S	500.00	0.00	0.00	0.00	50.0	0.00
06/13/2018 75501625	URQUHART, JOANNE	CALAMOS GROWTH FUND CLASS C	S	33,271.72	0.00	0.00	0.00	50.0	0.00
06/04/2018 75501637	ROTHSTEIN, HARRIET	SHORT-TERM BOND FUND OF AMERICA - A S	S	6,771.23	0.00	0.00	0.00	50.0	0.00
06/04/2018 75501640	ROTHSTEIN, JOEL	WASHINGTON MUTUAL INVESTORS FUND-A S	S	4,000.00	0.00	0.00	0.00	50.0	0.00
* MUTUAL FUNDS SUBTOTAL *				\$44,792.95	\$2.50	\$0.00	\$2.50		\$1.25
<u>PRODUCT CATEGORY: STOCKS</u>									
06/27/2018 75502182	GIRGENTI, V JAMES	PIMCO ENHANCED SHORT MATURITY ACTIV S	S	6,093.30	0.00	0.00	0.00	50.0	0.00
* STOCKS SUBTOTAL *				\$6,093.30	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: BONDS</u>									
06/11/2018 75501744	STAUFFER, ROBERT	WILLIS TOWERS WATSON PLC NOTE ISIN# B	B	26,389.50	0.00	0.00	0.00	50.0	0.00
* BONDS SUBTOTAL *				\$26,389.50	\$0.00	\$0.00	\$0.00		\$0.00
<u>PRODUCT CATEGORY: VARIABLE ANNUITIES</u>									
06/06/2018 (None)	VARIOUS, GINSBURG	JACKSON	B	0.00	231.33	0.00	231.33	50.0	115.67
06/13/2018 (None)	VARIOUS, GINSBURG	LINCOLN	B	0.00	26.62	0.00	26.62	50.0	13.31
* VARIABLE ANNUITIES SUBTOTAL *				\$0.00	\$257.95	\$0.00	\$257.95		\$128.98
<u>PRODUCT CATEGORY: RIA</u>									
04/01/2018 75501744	STAUFFER, ROBERT	FAS FEES PT. III/III	B	-185.96	0.00	0.00	0.00	50.0	0.00
04/01/2018 75501744	STAUFFER, ROBERT	FAS FEES PT. III/III	B	-582.08	0.00	0.00	0.00	50.0	0.00
04/01/2018 75501744	STAUFFER, ROBERT	FAS FEES PT. III/III	B	-1,001.62	333.88	0.00	333.88	50.0	166.94
04/01/2018 75501824	ALANCRAIG, DIANE	FAS FEES PT. III/III	B	-349.75	116.59	0.00	116.59	50.0	58.30
04/01/2018 75501828	ALANCRAIG, DIANE	FAS FEES PT. III/III	B	-180.64	60.22	0.00	60.22	50.0	30.11
04/17/2018 75501828	ALANCRAIG, DIANE	FAS FEES PT. III/III	B	148.88	-60.55	0.00	-60.55	50.0	-30.28
04/01/2018 75501829	SEPTIEN, ALFREDO	FAS FEES PT. III/III	B	-173.24	57.76	0.00	57.76	50.0	28.88
04/01/2018 75501830	SEPTIEN, ALFREDO	FAS FEES PT. III/III	B	-311.94	103.98	0.00	103.98	50.0	51.99
04/01/2018 75501832	WEXLER, SHEILA	FAS FEES PT. III/III	B	-399.33	133.11	0.00	133.11	50.0	66.56
04/01/2018 75501833	GIRGENTI, V JAMES	FAS FEES PT. III/III	B	-1,260.15	420.05	0.00	420.05	50.0	210.03
04/01/2018 75501834	BUGANSKI, TERRI	FAS FEES PT. III/III	B	-815.62	271.88	0.00	271.88	50.0	135.94

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BROKER TRANSACTIONS										
PRODUCT CATEGORY: RIA										
04/01/2018	75501835	BUGANSKI, TERRI	FAS FEES PT. III/III	B	-91.27	30.43	0.00	30.43	50.0	15.22
04/01/2018	75501836	FARKAS, RONALD	FAS FEES PT. III/III	B	-658.50	219.50	0.00	219.50	50.0	109.75
04/01/2018	75501837	FARKAS, MARILYN	FAS FEES PT. III/III	B	-1,354.42	451.48	0.00	451.48	50.0	225.74
04/01/2018	75501868	ZEGEN, LOUIS	FAS FEES PT. III/III	B	-1,261.66	420.56	0.00	420.56	50.0	210.28
04/01/2018	75501869	ZEGEN, LOUIS	FAS FEES PT. III/III	B	-228.90	76.30	0.00	76.30	50.0	38.15
04/01/2018	75501879	LIBOVE, MICHAEL	FAS FEES PT. III/III	B	-1,292.10	430.70	0.00	430.70	50.0	215.35
04/01/2018	75501993	ZEGEN, LOUIS	FAS FEES PT. III/III	B	-290.12	96.72	0.00	96.72	50.0	48.36
04/01/2018	75502182	GIRGENTI, V JAMES	FAS FEES PT. III/III	B	-661.57	220.53	0.00	220.53	50.0	110.27
* RIA SUBTOTAL *					\$-10,949.99	\$3,383.14	\$0.00	\$3,383.14		\$1,691.59

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

06/30/2018		FEE COUNT - 179			0.00	989.97	0.00	989.97	50.0	490.47
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *					\$0.00	\$989.97	\$0.00	\$989.97		\$490.47
*** BROKER TRANSACTIONS TOTAL ***					\$66,325.76	\$4,633.56	\$0.00	\$4,633.56		\$2,312.29

ADJUSTMENTS

06/30/2018		COMMISSION INCOME: AMERICAN FDS -JU			0.00	0.00	0.00	0.00		141.64
06/30/2018		MISCELLANEOUS: RBC W/O 75501661			0.00	0.00	0.00	0.00		-32.01
06/30/2018		MISCELLANEOUS: W/O 75501504			0.00	0.00	0.00	0.00		-29.42
06/30/2018		MISCELLANEOUS: W/O 75501504			0.00	0.00	0.00	0.00		-2.79
06/30/2018		OTHER: 3RD QTR -3/3 ADV CHRGS			0.00	0.00	0.00	0.00		-316.28
06/30/2018		OTHER: TOWERBRIDGE - JUNE CHRГ			0.00	0.00	0.00	0.00		-230.00
*** ADJUSTMENTS TOTAL ***					\$0.00	\$0.00	\$0.00	\$0.00		\$-468.86

BROKER COMMISSION TOTALS

Broker Transactions	\$2,312.29
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$-468.86
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$-5.79
SIPC Assessment	\$-11.58

Check Amount	\$1,826.06
Year-to-date Earnings	\$19,180.24