



COMMISSION STATEMENT

June 25, 2018

SAMUEL BARBER
327 Church St
Naugatuck, CT 06770

BROKER #: B06
BRANCH #01001 - LifeMark Home Office

DATE	CLIENT	INVESTMENT	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>BROKER TRANSACTIONS</u>								
<u>PRODUCT CATEGORY: STOCKS</u>								
06/18/2018	***-**-20IO - BARBER, SAMUEL	AMGEN INC	2,208.00	54.00	8.99	45.01	75.0	33.76
	ACCOUNT #: LSF000307	SELL 12 Units @184.0000						
06/21/2018	***-**-20IO - BARBER, SAMUEL	NOODLES & CO COM CL A	5,850.00	94.11	13.99	80.12	75.0	60.09
	ACCOUNT #: LSF000307	SELL 500 Units @11.7000						
* STOCKS SUBTOTAL *			\$8,058.00	\$148.11	\$22.98	\$125.13		\$93.85
<u>PRODUCT CATEGORY: RIA</u>								
06/25/2018	***-**-29FB - MOORE, ERIN	MORNINGSTAR ADVISOR FEE	0.00	48.35	0.00	48.35	75.0	36.26
		BUY 1 Units @0.0000						
* RIA SUBTOTAL *			\$0.00	\$48.35	\$0.00	\$48.35		\$36.26
<u>PRODUCT CATEGORY: 529 PLAN</u>								
06/15/2018	***-**-0853 - BOYER, THOMAS	NYA JPM 529 AGGR AGE-BASE A	50.00	2.38	0.00	2.38	75.0	1.79
	ACCOUNT #: 77467864401	BUY 0 Units @0.0000						
* 529 PLAN SUBTOTAL *			\$50.00	\$2.38	\$0.00	\$2.38		\$1.79
<u>PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS</u>								
06/25/2018	***-**-7340 - RODESCHINI,	CLEARBRIDGE AGGRESSIVE GROWTH C	0.00	8.84	0.00	8.84	75.0	6.63
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
06/25/2018	***-**-71IO - ALBERTO, MICHAEL	INTERNATIONAL EMERGING MARKETS (A)	0.00	0.42	0.00	0.42	75.0	0.32
	ACCOUNT #: LSR011938	BUY 1 Units @0.0000						
06/25/2018	***-**-63IO - BARBER,	JPMORGAN EQUITY INCOME FUND CLASS C	0.00	0.09	0.00	0.09	75.0	0.07
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
06/25/2018	***-**-63IO - BARBER,	JPMORGAN EQUITY INCOME FUND CLASS C	0.00	0.03	0.00	0.03	75.0	0.02
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
06/25/2018	***-**-7340 - RODESCHINI,	JPMORGAN LARGE CAP GROWTH CLASS C	0.00	34.58	0.00	34.58	75.0	25.94
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
06/25/2018	***-**-67IO - FERNANDEZ, ANA	JPMORGAN LARGE CAP GROWTH CLASS C	0.00	1.77	0.00	1.77	75.0	1.33
	ACCOUNT #: LSR011946	BUY 1 Units @0.0000						
06/25/2018	***-**-7340 - RODESCHINI,	JPMORGAN LARGE CAP GROWTH CLASS C	0.00	11.53	0.00	11.53	75.0	8.65
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
06/25/2018	***-**-67IO - FERNANDEZ, ANA	JPMORGAN LARGE CAP GROWTH CLASS C	0.00	0.59	0.00	0.59	75.0	0.44
	ACCOUNT #: LSR011946	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/25/2018	***-**-71IO - ALBERTO, MICHAEL	LARGE CAP GROWTH FUND - A	0.00	0.70	0.00	0.70	75.0	0.53
	ACCOUNT #: LSR011938	BUY 1 Units @0.0000						
06/25/2018	***-**-2440 - RICHTER, DIANA	LORD ABBETT SHORT DURATION INCOME C	0.00	11.50	0.00	11.50	75.0	8.63
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
06/25/2018	***-**-7340 - RODESCHINI,	OPPENHEIMER GLOBAL CLASS C	0.00	25.76	0.00	25.76	75.0	19.32
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
06/25/2018	***-**-2440 - RICHTER, DIANA	PIMCO H/Y-NFS	0.00	24.99	0.00	24.99	75.0	18.74
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
06/25/2018	***-**-2440 - RICHTER, DIANA	PIMCO H/Y-NFS	0.00	9.61	0.00	9.61	75.0	7.21
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
06/25/2018	***-**-63IO - BARBER,	PIMCO INCOME FUND CL C	0.00	0.14	0.00	0.14	75.0	0.11
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
06/25/2018	***-**-63IO - BARBER,	PIMCO INCOME FUND CL C	0.00	0.05	0.00	0.05	75.0	0.04
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
06/25/2018	***-**-7340 - RODESCHINI,	PIMCO LOW DURATION INCOME FUND CL C	0.00	8.47	0.00	8.47	75.0	6.35
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
06/25/2018	***-**-7340 - RODESCHINI,	PIMCO LOW DURATION INCOME FUND CL C	0.00	8.47	0.00	8.47	75.0	6.35
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
06/25/2018	***-**-63IO - BARBER,	PIMCO TOTAL RETURN C	0.00	0.05	0.00	0.05	75.0	0.04
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
06/25/2018	***-**-2440 - RICHTER, DIANA	PIMCO TOTAL RETURN C	0.00	10.89	0.00	10.89	75.0	8.17
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
06/25/2018	***-**-63IO - BARBER,	PIMCO TOTAL RETURN C	0.00	0.02	0.00	0.02	75.0	0.02
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
06/25/2018	***-**-2440 - RICHTER, DIANA	PIMCO TOTAL RETURN C	0.00	4.19	0.00	4.19	75.0	3.14
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
06/25/2018	***-**-2440 - RICHTER, DIANA	PRINCIPAL EQUITY INC CL C	0.00	25.42	0.00	25.42	75.0	19.07
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
06/25/2018	***-**-63IO - BARBER,	PRINCIPAL GLOBAL DIVERSIFIED INCOME	0.00	0.69	0.00	0.69	75.0	0.52
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
06/25/2018	***-**-7340 - RODESCHINI,	PRINCIPAL GLOBAL DIVERSIFIED INCOME	0.00	8.29	0.00	8.29	75.0	6.22
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						



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BROKER TRANSACTIONS (continued)								
PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS (continued)								
06/25/2018	***-**-2440 - RICHTER, DIANA	PRINCIPAL GLOBAL DIVERSIFIED INCOME	0.00	46.93	0.00	46.93	75.0	35.20
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
06/25/2018	***-**-7110 - ALBERTO, MICHAEL	PRINCIPAL INVESTORS SAM CONSERV GRO	0.00	19.31	0.00	19.31	75.0	14.48
	ACCOUNT #: LSR011938	BUY 1 Units @0.0000						
06/25/2018	***-**-6310 - BARBER,	TEMPLETON GLOBAL BOND FUND - CLASS	0.00	0.07	0.00	0.07	75.0	0.05
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
06/25/2018	***-**-7340 - RODESCHINI,	TEMPLETON GLOBAL BOND FUND - CLASS	0.00	4.58	0.00	4.58	75.0	3.44
	ACCOUNT #: LSR011934	BUY 1 Units @0.0000						
06/25/2018	***-**-2440 - RICHTER, DIANA	TEMPLETON GLOBAL BOND FUND - CLASS	0.00	8.70	0.00	8.70	75.0	6.53
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
06/25/2018	***-**-6310 - BARBER,	WESTERN ASSET CORE BOND FUND CL C	0.00	0.07	0.00	0.07	75.0	0.05
	ACCOUNT #: LSR011933	BUY 1 Units @0.0000						
06/25/2018	***-**-2440 - RICHTER, DIANA	WESTERN ASSET MANAGED MUNI CL C	0.00	14.65	0.00	14.65	75.0	10.99
	ACCOUNT #: LSR011984	BUY 1 Units @0.0000						
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *			\$0.00	\$291.40	\$0.00	\$291.40		\$218.60
*** BROKER TRANSACTIONS TOTAL ***			\$8,108.00	\$490.24	\$22.98	\$467.26		\$350.50



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<div>BROKER COMMISSION TOTALS</div>								
						Broker Transactions		\$350.50
						Split Transactions		\$0.00
						Override Transactions		\$0.00
						Adjustments		\$0.00
						Payroll Draw		\$0.00
						Base Salary		\$0.00
						Prior Period Balance		\$31.89
						FINRA Assessment		\$0.00
						SIPC Assessment		\$0.00
						Net Commission Earned		\$382.39
						Year-to-date Earnings		\$25,010.70