

COMMISSION STATEMENT

January 15, 2018

BARRY DAVIS-D018

BROKER #: D018

BRANCH: DME

, TX

COMMISSION STATEMENT for BARRY DAVIS-D018

TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
<u>DIRECT TRANSACTIONS</u>									
PRODUCT CATEGORY: MUTUAL FUNDS									
12/26/2017	00067723138	WILLIAMS, EDWARD	MF	THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25 70.0 0.88
01/02/2018	00067723138	WILLIAMS, EDWARD	MF	THE GROWTH FUND OF AMERICA-A	B	25.00	1.25	0.00	1.25 70.0 0.88
12/28/2017	00067998454	KEARNS, LEAH	MF	NEW PERSPECTIVE FUND-A	B	33.00	1.40	0.00	1.40 70.0 0.98
12/28/2017	00067998454	KEARNS, LEAH	MF	THE GROWTH FUND OF AMERICA-A	B	33.00	1.40	0.00	1.40 70.0 0.98
12/28/2017	00067998454	KEARNS, LEAH	MF	THE INVESTMENT COMPANY OF	B	34.00	1.45	0.00	1.45 70.0 1.02
12/26/2017	00086607284	RICHEY, CHERYL	MF	THE GROWTH FUND OF AMERICA-A	B	16.80	0.46	0.00	0.46 70.0 0.32
12/26/2017	00086607284	RICHEY, CHERYL	MF	THE GROWTH FUND OF AMERICA-A	B	52.50	1.44	0.00	1.44 70.0 1.01
12/26/2017	00086607284	RICHEY, CHERYL	MF	WASHINGTON MUTUAL INVESTORS	B	7.20	0.20	0.00	0.20 70.0 0.14
12/26/2017	00086607284	RICHEY, CHERYL	MF	WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62 70.0 0.43
01/02/2018	00086607284	RICHEY, CHERYL	MF	THE GROWTH FUND OF AMERICA-A	B	16.80	0.46	0.00	0.46 70.0 0.32
01/02/2018	00086607284	RICHEY, CHERYL	MF	THE GROWTH FUND OF AMERICA-A	B	52.50	1.44	0.00	1.44 70.0 1.01
01/02/2018	00086607284	RICHEY, CHERYL	MF	WASHINGTON MUTUAL INVESTORS	B	7.20	0.20	0.00	0.20 70.0 0.14
01/02/2018	00086607284	RICHEY, CHERYL	MF	WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62 70.0 0.43
12/26/2017	00086607293	MCDONALD, LARRY	MF	AMERICAN MUTUAL FUND-A	B	12.20	0.34	0.00	0.34 70.0 0.24
12/26/2017	00086607293	MCDONALD, LARRY	MF	AMERICAN MUTUAL FUND-A	B	15.00	0.41	0.00	0.41 70.0 0.29
12/26/2017	00086607293	MCDONALD, LARRY	MF	THE GROWTH FUND OF AMERICA-A	B	30.50	0.84	0.00	0.84 70.0 0.59
12/26/2017	00086607293	MCDONALD, LARRY	MF	THE GROWTH FUND OF AMERICA-A	B	37.50	1.03	0.00	1.03 70.0 0.72
12/26/2017	00086607293	MCDONALD, LARRY	MF	WASHINGTON MUTUAL INVESTORS	B	18.29	0.50	0.00	0.50 70.0 0.35
12/26/2017	00086607293	MCDONALD, LARRY	MF	WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62 70.0 0.43
01/02/2018	00086607293	MCDONALD, LARRY	MF	AMERICAN MUTUAL FUND-A	B	12.20	0.34	0.00	0.34 70.0 0.24
01/02/2018	00086607293	MCDONALD, LARRY	MF	AMERICAN MUTUAL FUND-A	B	15.00	0.41	0.00	0.41 70.0 0.29
01/02/2018	00086607293	MCDONALD, LARRY	MF	THE GROWTH FUND OF AMERICA-A	B	30.50	0.84	0.00	0.84 70.0 0.59
01/02/2018	00086607293	MCDONALD, LARRY	MF	THE GROWTH FUND OF AMERICA-A	B	37.50	1.03	0.00	1.03 70.0 0.72
01/02/2018	00086607293	MCDONALD, LARRY	MF	WASHINGTON MUTUAL INVESTORS	B	18.29	0.50	0.00	0.50 70.0 0.35
01/02/2018	00086607293	MCDONALD, LARRY	MF	WASHINGTON MUTUAL INVESTORS	B	22.50	0.62	0.00	0.62 70.0 0.43
12/26/2017	00086607305	MINSHAW, RICKEY	MF	AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17 70.0 0.12
12/26/2017	00086607305	MINSHAW, RICKEY	MF	AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17 70.0 0.12
12/26/2017	00086607305	MINSHAW, RICKEY	MF	CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11 70.0 0.08
12/26/2017	00086607305	MINSHAW, RICKEY	MF	CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11 70.0 0.08
12/26/2017	00086607305	MINSHAW, RICKEY	MF	THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11 70.0 0.08
12/26/2017	00086607305	MINSHAW, RICKEY	MF	THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11 70.0 0.08

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TRADE DATE	CLIENT	INVESTMENT	B/S	INVESTMENT AMOUNT	GROSS COMMISSION	CLEARING CHARGE	NET COMMISSION	RATE	BROKER COMMISSION
12/26/2017	00086607305	MINSHAW, RICKEY MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
12/26/2017	00086607305	MINSHAW, RICKEY MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
01/02/2018	00086607305	MINSHAW, RICKEY MF AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17	70.0	0.12
01/02/2018	00086607305	MINSHAW, RICKEY MF AMERICAN MUTUAL FUND-A	B	6.00	0.17	0.00	0.17	70.0	0.12
01/02/2018	00086607305	MINSHAW, RICKEY MF CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11	70.0	0.08
01/02/2018	00086607305	MINSHAW, RICKEY MF CAPITAL INCOME BUILDER-A	B	4.00	0.11	0.00	0.11	70.0	0.08
01/02/2018	00086607305	MINSHAW, RICKEY MF THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11	70.0	0.08
01/02/2018	00086607305	MINSHAW, RICKEY MF THE INCOME FUND OF AMERICA-A	B	4.00	0.11	0.00	0.11	70.0	0.08
01/02/2018	00086607305	MINSHAW, RICKEY MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
01/02/2018	00086607305	MINSHAW, RICKEY MF THE INVESTMENT COMPANY OF	B	6.00	0.17	0.00	0.17	70.0	0.12
12/26/2017	00086622199	RICHEY, MICHAEL MF AMCAP FUND-A	B	7.94	0.22	0.00	0.22	70.0	0.15
12/26/2017	00086622199	RICHEY, MICHAEL MF AMCAP FUND-A	B	7.94	0.22	0.00	0.22	70.0	0.15
12/26/2017	00086622199	RICHEY, MICHAEL MF THE INVESTMENT COMPANY OF	B	7.93	0.22	0.00	0.22	70.0	0.15
12/26/2017	00086622199	RICHEY, MICHAEL MF THE INVESTMENT COMPANY OF	B	7.93	0.22	0.00	0.22	70.0	0.15
01/02/2018	00086622199	RICHEY, MICHAEL MF AMCAP FUND-A	B	13.46	0.37	0.00	0.37	70.0	0.26
01/02/2018	00086622199	RICHEY, MICHAEL MF AMCAP FUND-A	B	13.46	0.37	0.00	0.37	70.0	0.26
01/02/2018	00086622199	RICHEY, MICHAEL MF THE INVESTMENT COMPANY OF	B	13.45	0.37	0.00	0.37	70.0	0.26
01/02/2018	00086622199	RICHEY, MICHAEL MF THE INVESTMENT COMPANY OF	B	13.45	0.37	0.00	0.37	70.0	0.26
01/02/2018	00088460018	CLOUD, CHASITY MF NEW PERSPECTIVE FUND-529A	B	250.00	10.63	0.00	10.63	70.0	7.44
01/02/2018	00088460059	CLOUD, CHASITY MF NEW PERSPECTIVE FUND-529A	B	250.00	10.63	0.00	10.63	70.0	7.44
01/05/2018	00088968626	DAVIS, KATHY MF AMERICAN HIGH-INCOME TRUST-A	B	150.00	4.50	0.00	4.50	70.0	3.15
01/05/2018	00088968626	DAVIS, KATHY MF NEW WORLD FUND-A	B	150.00	7.50	0.00	7.50	70.0	5.25
* MUTUAL FUNDS SUBTOTAL *				\$1,585.54	\$57.53	\$0.00	\$57.53		\$40.30

PRODUCT CATEGORY: MUTUAL FUND 12B1 TRAILS

12/31/2017 (None)	VARIOUS, DAVIS	MFT OPPENHEIMER	B	0.00	0.61	0.00	0.61	70.0	0.43
01/08/2018 (None)	WORSHAM, MICHAEL	MFT FIDELITY ADVISOR FDS	B	0.00	0.37	0.00	0.37	70.0	0.26
01/01/2018 03808435170	WHITAKER, TIMOTHY	MFT AIM CHARTER FUND - CLASS A	B	0.00	0.71	0.00	0.71	70.0	0.50
01/01/2018 03808435170	WHITAKER, TIMOTHY	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	2.16	0.00	2.16	70.0	1.51
01/01/2018 03808949931	GARDNER UTMA/GA,	MFT INVESCO AMERICAN FRANCHISE FUND	B	0.00	0.75	0.00	0.75	70.0	0.53
* MUTUAL FUND 12B1 TRAILS SUBTOTAL *				\$0.00	\$4.60	\$0.00	\$4.60		\$3.23

PRODUCT CATEGORY: VARIABLE ANNUITY 12B1 TRAILS

12/28/2017 (None) MUNCHER, DAVID	VAT AMERICAN GENERAL	B	0.00	75.22	0.00	75.22	70.0	52.65
01/05/2018 (None) THOMPSON, ROBERT	VAT AMERICAN GENERAL	B	0.00	476.38	0.00	476.38	70.0	333.47
01/04/2018 VR07021822 WELDEN	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	17.62	0.00	17.62	70.0	12.33
01/02/2018 VR07026642 WELDEN	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	109.39	0.00	109.39	70.0	76.57
12/28/2017 VR07026746 WELDEN	VAT PACIFIC LIFE CUSIP 694474701	B	0.00	248.30	0.00	248.30	70.0	173.81
* VARIABLE ANNUITY 12B1 TRAILS SUBTOTAL *			\$0.00	\$926.91	\$0.00	\$926.91		\$648.83
*** DIRECT TRANSACTIONS TOTAL ***			\$1,585.54	\$989.04	\$0.00	\$989.04		\$692.36

BROKER COMMISSION TOTALS

Broker Transactions	\$692.36
Split Transactions	\$0.00
Override Transactions	\$0.00
Adjustments	\$0.00
Payroll Draw	\$0.00
Base Salary	\$0.00
Prior Period Balance	\$0.00
FINRA Assessment	\$0.00
SIPC Assessment	\$0.00

Net Commissions	\$692.36
Year-to-date Commissions	\$14,821.29