

# CS 113: Mathematical Structures for Computer Science

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# Relations and Functions

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## *Chapter 5*

# Relations and Functions

- ▶ Relations
- ▶ Equivalence Relations
- ▶ Functions
- ▶ Bijective Functions
- ▶ Cardinalities of Sets

# Relations and Functions – Relations

- ▶ Often we have two sets  $A$  and  $B$  where some of the elements of  $A$  are connected to elements of  $B$
- ▶ These connections are described by two important concepts: relations and functions
- ▶ Which applies depends on the nature of the connection

# Relations and Functions – Relations

Recall from Chapter 2 that the Cartesian product of two sets  $A$  and  $B$  is the set of all ordered pairs  $(a, b)$  where  $a \in A$  and  $b \in B$ . Symbolically we write

$$A \times B = \{(a, b) : a \in A, b \in B\}.$$

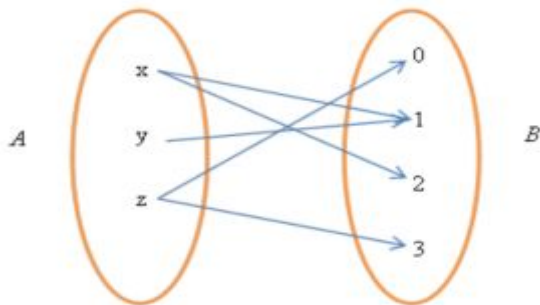
## Definition

**A relation  $R$  from a set  $A$  to a set  $B$**  is a subset of  $A \times B$ . In addition,  $R$  is said to be a relation on  $A \times B$ . If  $(a, b) \in R$ , then  $a$  is said to be related to  $b$ ; while if  $(a, b) \notin R$ , then  $a$  is not related to  $b$ . If  $(a, b) \in R$ , we also write  $a R b$ ; while if  $(a, b) \notin R$ , we write  $a \not R b$ .

# Relations and Functions – Relations

## Example

For sets  $A = \{x, y, z\}$ , and  $B = \{0, 1, 2, 3\}$ ,  
 $R = \{(x, 1), (x, 2), (y, 1), (z, 0), (z, 3)\}$  is a relation from  $A$  to  $B$ .



# Relations and Functions – Relations

## Exercise

Let  $A = \{\sqrt{2}, e, 3, \pi\}$  and  $B = \{1, 2, 3, 4\}$ . An element  $a \in A$  is said to be related to an element  $b \in B$  if  $|a - b| < 1$ . Which elements of  $A$  are related to which elements of  $B$ ?

# Relations and Functions – Relations

## Exercise

Let  $\mathbb{N}$  be the set of natural numbers and  $\mathbb{N}^{-1}$  denote the set of negative integers. A relation  $R$  from  $\mathbb{N}$  to  $\mathbb{N}^{-1}$  is defined by  $a R b$  if  $a + b = \mathbb{N}$ . Give examples of pairs of elements that *are* related and some that *are not*.



# Relations and Functions – Relations

## Definition

A **relation**  $R$  on a **set**  $S$  is a relation from  $S$  to  $S$ . That is,  $R$  is a relation on a set  $S$  if  $R$  is a subset of  $S \times S$ .

- ▶ If a set  $A$  has  $n$  elements then there are  $2^n$  subsets of  $A$
- ▶ There are three key properties of relations defined next

## Definition

Let  $R$  be a relation defined on a nonempty set  $S$ . Then  $R$  is:

1. **reflexive** if  $a R a$  for all  $a \in S$ ; that is, if  $a \in S$ , then  $(a, a) \in R$
2. **symmetric** if whenever  $a R b$ , then  $b R a$  for all  $a, b \in S$ ; that is if  $(a, b) \in R$  then  $(b, a) \in R$
3. **transitive** if whenever  $a R b$  and  $b R c$ , then  $a R c$  for all  $a, b, c \in S$ ; that is if  $(a, b) \in R$  and  $(b, c) \in R$ , then  $(a, c) \in R$

# Relations and Functions – Relations

- ▶ A relation  $R$  need not have any one or more of these properties
- ▶ A relation  $R$  defined on a set  $S$  is:
  - 1' **not reflexive** if  $(x, x) \notin R$  for some  $x \in S$
  - 2' **not symmetric** if  $(x, y) \in R$  but  $(y, x) \notin R$  for some pair  $(x, y)$  of distinct elements of  $S$
  - 3' **not transitive** if  $(x, y) \in R$  and  $(y, z) \in R$  but  $(x, z) \notin R$  for some  $x, y, z \in S$

# Relations and Functions – Equivalence Relations

- ▶ Relations that have all three properties, reflexive, symmetric and transitive, are equivalence relations
- ▶ The best known relation, the equals relation, is an equivalence relation

## Definition

A relation  $R$  on a non-empty set is an equivalence relation if  $R$  is reflexive, symmetric and transitive

For an equivalence relation  $R$  defined on a set  $A$  there is a subset of  $A$  associated with each element of  $A$  that is of particular interest.

## Definition

Let  $R$  be an equivalence relation on a set  $A$ . For  $a \in A$ , the equivalence class  $[a]$  is defined by

$$[a] = \{x \in A : x R a\}$$

# Relations and Functions – Equivalence Relations

## Exercise

Let  $S = \{1, 2, 3, 4, 5, 6\}$ . The relation

$$R = \{(1, 1), (2, 2), (3, 3), (4, 4), (5, 5), (6, 6), (1, 4), (2, 3), (2, 6), (3, 2), (3, 6), (4, 1), (6, 2), (6, 3)\}$$

on  $S$  is an equivalence relation. Therefore, there is an equivalence class associated with each element of  $S$ . Find the equivalence classes.

# Relations and Functions – Functions

- ▶ We saw that in a relation from set  $A$  to set  $B$  an element of  $A$  may relate to some or all of the elements of  $B$
- ▶ We will now consider the case when each element of  $A$  is related to exactly one element of  $B$

## Definition

Let  $A$  and  $B$  be nonempty sets. A **function**  $f$  from  $A$  to  $B$  is a relation from  $A$  to  $B$  that associates with each element of  $A$  a unique element of  $B$ . A function  $f$  from  $A$  to  $B$  is denoted by  $f : A \rightarrow B$

# Relations and Functions – Functions

## Definition

Given a function  $f : A \rightarrow B$  if  $b \in B$  is the unique element assigned to  $a \in A$  by  $f$  then we write  $b = f(a)$ , and  $b$  is the **image** of  $a$  under  $f$

## Definition

If  $f : A \rightarrow B$  is a function from a set  $A$  to  $B$ , then  $A$  is called the **domain** of  $f$  and  $B$  is the **codomain** of  $f$ . The **range**  $f(A)$  is the set of images of the elements of  $A$ , namely,

$$f(A) = \{f(a) : a \in A\}$$

# Relations and Functions – Functions

## Definition

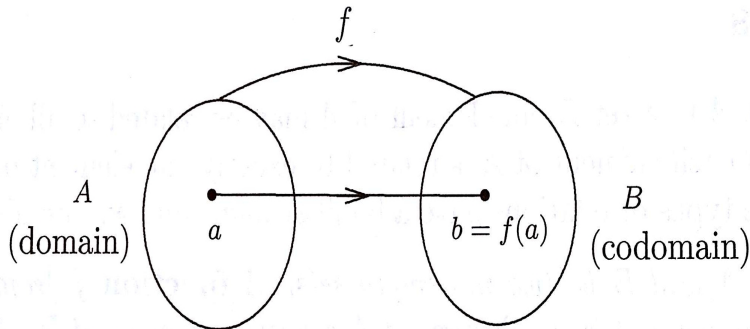
For a function  $f$  from a set  $A$  to a set  $B$  and a subset  $X$  of  $A$ , the image of  $X$  under  $f$  is the set

$$f(X) = \{ f(x) : x \in X \}$$

- ▶ For every subset  $X$  of  $A$ ,  $f(X) \subseteq B$
- ▶ If  $X = A$  then  $f(X) = f(A)$  is the range of  $f$

# Relations and Functions – Functions

**Visualizing Functions** It is often useful to visualize a function via a diagram





# Relations and Functions – Functions

## Exercise

Let  $A = \{a, b, c, d, e\}$  and  $B = \{x, y, z\}$  and  $f = \{(a, x), (b, x), (c, z), (d, x), (e, z)\}$  be a function from  $A$  to  $B$ .

- (a) Determine the domain, codomain, and range of  $f$ .
- (b) Determine the image of  $d$ .
- (c) Is  $y$  an image?
- (d) Determine  $f(X)$  where  $x = \{a, c, d\}$ .
- (e) Give an example of a function  $g$  from  $B$  to  $A$ .

# Relations and Functions – Functions

## Exercise

Let  $f : A \rightarrow B$  be a function. Prove that if  $A_1, A_2 \subseteq A$ , then

$$f(A_1 \cup A_2) = f(A_1) \cup f(A_2).$$

# Relations and Functions – Functions

## Exercise

For every  $x \in \mathbb{R}$ , let  $f(x)$  denote any real number such that  $(x, y)$  lies on the circle  $x^2 + y^2 = 25$ . Is  $f(x)$  a function from  $\mathbb{R}$  to  $\mathbb{R}$ ?

# Relations and Functions – Functions

## Common Functions

- ▶ **Identity Function** The function  $f : A \rightarrow A$  defined by  $f(a) = a, \forall a \in A$
- ▶ **Absolute Value Function** The function  $f : \mathbb{R} \rightarrow \mathbb{R}$  defined by

$$f(x) = |x| = \begin{cases} x & \text{if } x \geq 0 \\ -x & \text{if } x < 0 \end{cases}$$

- ▶ **Ceiling Function** The function  $f : \mathbb{R} \rightarrow \mathbb{Z}$  defined by  $f(x) = \lceil x \rceil$

# Relations and Functions – Functions

## Common Functions

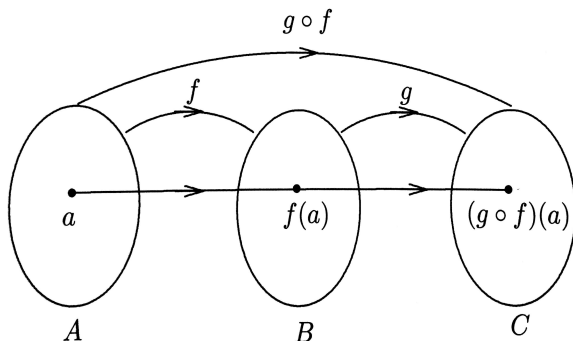
- ▶ **Floor Function** The function  $f : \mathbb{R} \rightarrow \mathbb{Z}$  defined by  $f(x) = \lfloor x \rfloor$   
If  $a \in \mathbb{R}^+$ ,  $a \neq 1$ ,  $b \in \mathbb{R}$ , and  $a^b = c$ , then  $\log_a c = b$  if and only if  $a^b = c$
- ▶ **Exponential Function** The function  $f : \mathbb{R} \rightarrow \mathbb{R}^+$  defined by  $f(x) = 2^x$
- ▶ **Logarithmic Function** The function  $g : \mathbb{R}^+ \rightarrow \mathbb{R}$  defined by  $g(x) = \log_2 x$

# Relations and Functions – Functions

## Composition of Functions

### Definition

Let  $A, B$ , and  $C$  be sets and suppose that  $f : A \rightarrow B$  and  $g : B \rightarrow C$  are two functions. The **composition**  $g \circ f$  of  $f$  and  $g$  is the function from  $A$  to  $C$  defined by  $(g \circ f)(a) = g(f(a))$  for  $a \in A$ .



# Relations and Functions – Functions

## Example

Let  $A = \{1, 2, 3\}$ ,  $B = \{a, b, c, d\}$  and  $C = \{x, y, z\}$  and let  $f : A \rightarrow B$  and  $g : B \rightarrow C$  be functions where

$$f = \{(1, c), (2, b), (3, a)\} \text{ and } g = \{(a, y), (b, x), (c, x), (d, z)\}.$$

Then

$$(g \circ f)(1) = g(f(1)) = g(c) = x.$$

# Relations and Functions – Functions

## Exercise

Let  $f : \mathbb{R} \rightarrow \mathbb{R}$ , and  $g : \mathbb{R} \rightarrow \mathbb{R}$ , defined as

$$f(x) = \sin x \text{ and } g(x) = x^2.$$

Determine  $(f \circ g)(x)$ , and  $(g \circ f)(x)$ .



# Relations and Functions – Bijective Functions

Often functions from a set  $A$  to a set  $B$  have one or both of the following properties

1. each element of  $B$  is the image of **at most** one element of  $A$
2. each element of  $B$  is the image of **at least** one element of  $A$

# Relations and Functions – Bijective Functions

## *Property #1* **One-to-One Functions**

### Definition

For two nonempty sets  $A$  and  $B$ , a function  $f : A \rightarrow B$  is said to be **one-to-one** if every two distinct elements of  $A$  have distinct images in  $B$ , that is if  $a, b \in A$  and  $a \neq b$ , then  $f(a) \neq f(b)$ . This function is also known as an **injective function** or an **injection**.

# Relations and Functions – Bijective Functions

## *Property #2* **Onto Functions**

### Definition

Let  $A$  and  $B$  be two nonempty sets. A function  $f : A \rightarrow B$  is called **onto** if every element of  $B$  is the image of some element of  $A$ . This function is also known as an **surjective function** or a **surjection**.

# Relations and Functions – Functions

## Exercise

Let  $f : \mathbb{R} \rightarrow \mathbb{R}$  be defined by  $f(x) = 5x - 3$  for  $x \in \mathbb{R}$ . Show  $f(x)$  is one-to-one.

# Relations and Functions – Functions

## Exercise

Show the following are *not* one-to-one.

(a)  $f : \mathbb{R} \rightarrow \mathbb{R}, f(x) = x^2 + 1, x \in \mathbb{R}$

(b)  $g : \mathbb{Z} \rightarrow \mathbb{Z}, g(n) = \lceil n \rceil, n \in \mathbb{Z}$

(c)  $h : \mathbb{R} \rightarrow \mathbb{R}, h(x) = x^2 - 3x + 1, x \in \mathbb{R}$

# Relations and Functions – Functions

## Exercise

Determine whether the function  $f : \mathbb{R} \rightarrow \mathbb{R}$  defined by

$$f(x) = x^2 - 2x + 5$$

for  $x \in \mathbb{R}$  is onto.

# Relations and Functions – Functions

## Exercise

A function  $f : \mathbb{Z} \rightarrow \mathbb{Z}$  is defined by

$$f(n) = 2n.$$

Determine (and explain) whether  $f$  is

- (a) one-to-one
- (b) onto

# Relations and Functions – Bijective Functions

## What if a function is both one-to-one and onto?

If a function  $f$  from a set  $A$  to a set  $B$  is both one-to-one and onto then every element of  $B$  is the image of at most (one-to-one) one element of  $A$  and the image of at least (onto) one element of  $A$ . In other words, every element of  $B$  is the image of exactly one element of  $A$ .

### Definition

A function that is one-to-one and onto is called a **bijective function**, a **bijection**, or a **one-to-one correspondence**



# Relations and Functions – Bijective Functions

## Composition of Bijective Functions

### Theorem

*Let  $A, B$ , and  $C$  be nonempty sets and let  $f : A \rightarrow B$  and  $g : B \rightarrow C$  be two functions.*

- (a) If  $f$  and  $g$  are one-to-one, then so is  $g \circ f$*
- (b) If  $f$  and  $g$  are onto, then so is  $g \circ f$*

### Corollary

*Let  $A, B$ , and  $C$  be nonempty sets and let  $f : A \rightarrow B$  and  $g : B \rightarrow C$  be two functions. If  $f$  and  $g$  are bijective, then so is  $g \circ f$ .*

# Relations and Functions – Bijective Functions

## Inverse Functions

- ▶ Let the nonempty sets  $A$  and  $B$  be  $A = \{a, b, c\}$  and  $B = \{x, y, z\}$
- ▶ A bijective function  $f : A \rightarrow B$  pairs off the elements of  $A$  with the elements of  $B$
- ▶ So for example  $f = \{(a, x), (b, y), (c, z)\}$

## Definition

The **inverse function** or the **inverse**  $f^{-1}$  of  $f$  is found by replacing each ordered pair  $(r, s)$  with  $(s, r)$ . The function  $f^{-1} : B \rightarrow A$  is also bijective.

## Theorem

*Let  $A$  and  $B$  be nonempty sets. A function  $f : A \rightarrow B$  has an inverse function  $f^{-1} : B \rightarrow A$  if and only if  $f$  is bijective. Moreover if  $f$  is bijective so is  $f^{-1}$ .*

# Relations and Functions – Cardinalities of Sets

## Sets Having the Same Cardinality

- ▶ We discussed cardinality, the number of elements in a set, when we covered set theory in chapter 2
- ▶ Consider sets  $A = \{a, b, c\}$  and  $B = \{x, y, z\}$  again – each set has three elements so  $|A| = |B|$
- ▶ And the bijective function  $f = \{(a, x), (b, y), (c, z)\}$  pairs off the elements and motivate a definition for same cardinality

## Definition

Two nonempty sets  $A$  and  $B$  (finite or infinite) are defined to have the same cardinality, written  $|A| = |B|$ , if there exists a bijective function from  $A$  to  $B$

# Relations and Functions – Cardinalities of Sets

## Denumerable Sets

- ▶ The definition for same cardinality provides us with a definition for  $|A| = |B|$  when  $A$  and  $B$  are infinite.
- ▶ This leads us to an important class of infinite sets

## Definition

A set  $A$  is called **denumerable** if  $|A| = |\mathbb{N}|$ .

In other words, set  $A$  is denumerable if it has the same number of elements as the set of positive integers, or natural numbers. Put another way, set  $A$  is denumerable if its elements can be put in one-to-one correspondence with the elements of  $\mathbb{N}$ .

# Relations and Functions – Cardinalities of Sets

## Countable and Uncountable Sets

### Definition

A set that is either finite or denumerable is called **countable**. A denumerable set is also called **countably finite**. A set that is not countable is called **uncountable**.

### Theorem

*Every set that contains an uncountable subset is itself uncountable.*

### Corollary

*The set  $\mathbb{R}$  of real numbers is uncountable.*

### Corollary

*The set  $\mathbb{C}$  of complex numbers is uncountable.*

# Relations and Functions – Cardinalities of Sets

## Theorem

*If  $A$  and  $B$  are disjoint denumerable sets, then  $A \cup B$  is denumerable.*

## Theorem

*The set of irrational numbers is uncountable.*

## Theorem

*Every set has a smaller cardinality than its power set, that is,  $|A| < |\mathcal{P}(A)|$  for every set  $A$ .*

## Corollary

*There is no set of largest cardinality.*

# Relations and Functions – Key Results

- ▶ Let  $R$  be an equivalence relation on a nonempty set  $A$  and let  $a$  and  $b$  be elements of  $A$ . Then  $[a] = [b]$  if and only if  $a R b$ .
- ▶ Let  $R$  be an equivalence relation defined on a nonempty set  $A$ . If  $\mathcal{P}$  is the set of distinct equivalence classes of  $A$  resulting from  $R$ , then  $\mathcal{P}$  is a partition of  $A$ .
- ▶ Let  $R$  be an equivalence relation defined on a nonempty set  $A$ . If  $[a] \neq [b]$  are equivalence classes of  $A$  resulting from  $R$ , then either  $[a] \cap [b] = \emptyset$ .
- ▶ Let  $A, B$  and  $C$  be nonempty sets and  $f : A \rightarrow B$  and  $g : B \rightarrow C$  two functions. Then the following hold:
  - If  $f$  and  $g$  are one-to-one, then so is  $g \circ f$ .
  - If  $f$  and  $g$  are onto, then so is  $g \circ f$ .
  - If  $f$  and  $g$  are bijective, then so is  $g \circ f$ .

# Relations and Functions – Key Results

- ▶ A function  $f : A \rightarrow B$  has an inverse  $f^{-1} : B \rightarrow A$  if and only if  $f$  is bijective; then  $f^{-1}$  is also bijective.
- ▶ If  $f : A \rightarrow B$  is a bijective function, then  $f^{-1} \circ f$  is the identity function on  $A$  and  $f \circ f^{-1}$  is the identity function on  $B$ .
- ▶ The set  $\mathbb{Z}$  of integers is denumerable.
- ▶ The set  $\mathbb{Q}$  of rational numbers is denumerable.
- ▶ Every infinite subset of a denumerable set is denumerable
- ▶ Every set that contains an uncountable subset is itself uncountable
- ▶ The set  $\mathbb{R}$  of real numbers is uncountable
- ▶ The set  $\mathbb{C}$  of complex numbers is uncountable
- ▶ If  $A$  and  $B$  are disjoint denumerable sets, then  $A \cup B$  is denumerable
- ▶ The set of irrational numbers is uncountable
- ▶ For every set  $A$ ,  $|A| < |\mathcal{P}(A)|$
- ▶ There is no set of largest cardinality