

Programming Language Concepts, cs2104 Lecture 10 (2003-10-10)



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Reading Suggestions

- Chapter 4
 - Sections 4.1-4.6 [careful]
- And of course the handouts!



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Organizational



- Assignment 4 is out there
- Check your marks for midterm?
- Next week no lecture
- Next week tutorials as usual
- The week after no tutorials

Concurrency



Concurrency



- First: declarative concurrency
- What is concurrency?
- How to make a program concurrent?
- How do concurrent programs execute?

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The World Is Concurrent!



- Concurrent programs
several activities execute
simultaneously (concurrently)
- Most of the software you use is concurrent
 - operating system: IO, user interaction, many processes, ...
 - web browser, Email client, Email server, ...
 - telephony switches handling many calls
 - ...

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Why Should We Care?



- Software must be concurrent...
 - ... for many application areas
- Concurrency can be helpful for constructing programs
 - organize programs into independent parts
 - concurrency allows to make them independent with respect to how they execute
 - essential: how do concurrent programs interact?
- Concurrent programs can run faster on parallel machines (including clusters)

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Concurrent Programming Is Difficult...



- This is the traditional belief
- The truth is: concurrency is very difficult...
 - ... if used with inappropriate tools and programming languages
- In particular troublesome: state and concurrency
(see discussion end of Chapter 1)

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Concurrent Programming Is Easy...



- Oz (as well as Erlang) has been designed to be very good at concurrency...
- Essential for concurrent programming here
 - data-flow variables
 - very simple interaction between concurrent programs, mostly automatic
 - light-weight threads

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Declarative Concurrent Programming



- What stays the same
 - the result of your program
 - concurrency does not change the result
- What changes
 - programs can compute incrementally
 - incremental input... (such as reading from a network connection)
 - ...is processed incrementally
 - the fun: much greater!

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Threads



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The sequential model

Statements are
executed sequentially
from a single semantic
stack

Semantic
Stack

Single-assignment
store

```
w = a
z = person(age: y)
x
y = 42
u
```



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The concurrent model

Multiple semantic
stacks (threads)

Semantic
Stack 1

.....

Semantic
Stack N

Single-assignment
store

$w = a$
 $z = \text{person}(\text{age}: y)$
 x
 $y = 42$
 u

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Concurrent declarative model

The following defines the syntax of a statement, $\langle s \rangle$ denotes a statement

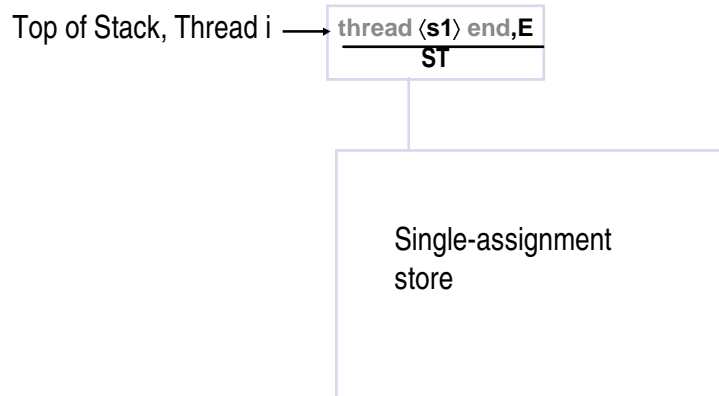
$\langle s \rangle ::= \text{skip}$	<i>empty statement</i>
$\langle x \rangle = \langle y \rangle$	<i>variable-variable binding</i>
$\langle x \rangle = \langle v \rangle$	<i>variable-value binding</i>
$\langle s_1 \rangle \langle s_2 \rangle$	<i>sequential composition</i>
$\text{local } \langle x \rangle \text{ in } \langle s_1 \rangle \text{ end}$	<i>declaration</i>
$\text{proc } \{ \langle x \rangle \langle y_1 \rangle \dots \langle y_n \rangle \} \langle s_1 \rangle \text{ end}$	<i>procedure introduction</i>
$\text{if } \langle x \rangle \text{ then } \langle s_1 \rangle \text{ else } \langle s_2 \rangle \text{ end}$	<i>conditional</i>
$\{ \langle x \rangle \langle y_1 \rangle \dots \langle y_n \rangle \}$	<i>procedure application</i>
$\text{case } \langle x \rangle \text{ of } \langle \text{pattern} \rangle \text{ then } \langle s_1 \rangle \text{ else } \langle s_2 \rangle \text{ end}$	<i>pattern matching</i>
$\text{thread } \langle s_1 \rangle \text{ end}$	<i>thread creation</i>

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The concurrent model

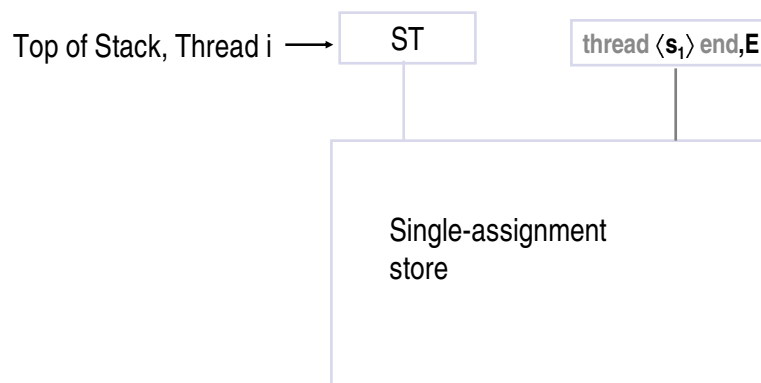


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The concurrent model



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Data driven computation



- Threads suspend of data availability in dataflow variables
- The **{Delay X}** primitive makes the thread suspends for X milliseconds, after that the thread is runnable

```
declare X
{Browse X}
local Y in
  thread {Delay 1000} Y = 10*10 end
  X = Y + 100*100
end
```

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Concurrency Is Transparent



```
fun {CMap Xs F}
  case Xs
  of nil then nil
  [] X|Xr then
    thread {F X} end | {CMap Xr F}
  end
end
```

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Cheap concurrency and dataflow



- Declarative programs can be easily made concurrent
- Just use the thread statement where concurrent is needed

Cheap concurrency and dataflow



```
fun {Fib x}
  if x==0 then 0
  elseif x==1 then 1
  else
    thread {Fib x-1} end + {Fib x-2}
  end
end
```

Producer \Leftrightarrow Consumer



```
thread X={Produce} end  
thread {Consume X} end
```

- Typically, what is produced will be put on a list that never ends (without `nil`)

stream

- Consumer consumes as soon as producer produces

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Example: Producer \Leftrightarrow Consumer



```
fun {Produce N}  
  N|{Produce N+1}  
end  
proc {Consume Xs}  
  case Xs of X|Xr then  
    if X mod 1000 == 0 then  
      {Browse X}  
    end  
    {Consume Xr}  
  end  
end
```

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Stream Transducer

```
thread Xs={Produce}           end  
thread Ys={Transduce Xs}    end  
thread {Consume Ys}         end
```

- Transducer
 - reads input stream
 - computes output stream
- Can be: filtering, mapping, ...

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Concurrent Streams

- Often used for simulation
 - analog circuits
 - digital circuits
- Lab assignment 4
 - streams used for simulation of analog circuits
 - simple circuits
 - **lazy** streams

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Summary



- Threads

- suspend and resume automatically
- controlled by variables
- reminder: **data-flow variables**
- cheap
- execute fairly according to time-slice

- Pattern

- producer \Leftrightarrow transducer \Leftrightarrow consumer

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Demand Driven Execution



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How to Control Producers?



- Producer should not produce more than needed
- Make consumer the stream producer
 - consumer produces skeleton, producer fills skeleton
 - difficult
- **Use lazy streams: producer runs on request**

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Demand-driven Execution



- Let computations drive other computations
 - producer driven by consumer/transducer
 - module loader by thread needing module
- Variables control “demand” or “need”
 - variable needed: thread suspends on variable
 - by-need trigger:
 - variable
 - nullary function describing value to be computed
 - execution by newly created thread

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Needed Variables

- Idea: start execution, when value for variable needed
short: **variable needed**
- Value for variable needed...
...a thread suspends on variable!

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Triggers

- By-need triggers
 - a variable X
 - a zero-argument function F
- Trigger creation
 $X = \{ \text{ByNeed } F \}$

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The By-Need Protocol

- Suppose (X, F) is a by-need trigger
- If X is needed,
 execute **thread** $X = \{F\}$ **end**
 delete trigger, X becomes a normal variable

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Lazy Functions

```
fun lazy {Produce N}  
    N | {Produce N+1}  
end
```

abbreviates

```
fun {Produce N}  
    {ByNeed fun {$} N | {Produce N+1} end}  
end
```

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Summary



- Demand-driven execution
 - execute computation, if variable needed
 - need is suspension by a thread
 - requested computation is run in new thread
- By-Need triggers
- Lazy functions

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Thread Semantics



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Semantics for Threads



- We insist on *interleaving* semantics
 - model: only one thread executes at a time
 - implementation: might execute several threads in parallel, however must execute as if one thread at a time
- Important property: *monotonicity*
 - if a thread becomes runnable:
 - ...it stays runnable
 - ...doesn't matter when it is actually run

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Monotonicity



- Example:

```
thread
  if B then ... else ... end
end
```
- When B is bound, thread will eventually run
- When B is bound, the value of B is fixed
 - value of B independent of when thread executes

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Monotonicity: Simplicity



- Result is scheduling independent
 - unless attempt to put inconsistent information to store
 - example for non-determinism

```
thread X=1 end
thread X=2 end
```

which value for x?
- Different with explicit mutable state (JAVA)
 - if variable values changed over time, result would depend on order in which threads run

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Dependencies



- Suspension and resumption driven by variable bindings
- Progress, only if for each variable actually value supplied
- Typical error-scenario: deadlock
 - thread depends on x , supposed to bind y
 - thread depends on y , supposed to bind x

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Extend Abstract Machine



- Extend to execute multiple threads
 - shared store: all threads share common store
 - semantic stack: corresponds to a thread
 - thread creation: create new semantic stack
- Orthogonal: scheduling policy
 - scheduling policy: which thread to execute?
 - consider only non-suspended threads!

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Abstract Machine Concepts...



- Single-assignment store
- Environment
- Semantic statement
- Execution state
- Computation

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Abstract Machine



- Performs a computation
- *Computation* is sequence of execution states
- *Execution state*
 - stack of semantic statements
 - single assignment store
- *Semantic statement*
 - statement
 - environment
- *Environment* maps variable identifiers to store entities

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Single Assignment Store



- Single assignment store σ
 - set of store variables
 - partitioned into
 - sets of variables that are equal but unbound
 - variables bound to value
- Example store $\{x_1, x_2=x_3, x_4=a|x_2\}$
 - x_1 unbound
 - x_2, x_3 equal and unbound
 - x_4 bound to partial value $a|x_2$

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Environment



- Environment E
 - maps variable identifiers to entities in store σ
 - written as set of pairs $X \rightarrow x$
 - variable identifier X
 - store variable x
- Example environment $\{ X \rightarrow x, Y \rightarrow y \}$
 - maps identifier X to store variable x
 - maps identifier Y to store variable y

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Environment and Store



- Given: environment E , store σ
- Looking up value for variable identifier X :
 - find store variable in environment $E(X)$
 - take value from σ for $E(X)$
- Example:
 - $\sigma = \{x_1, x_2 = x_3, x_4 = a | x_2\}$ $E = \{ X \rightarrow x_1, Y \rightarrow x_4 \}$
 - $E(X) = x_1$ and no information in σ on x_1
 - $E(Y) = x_4$ and σ binds x_4 to $a | x_2$

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Environment Adjunction



- Given: Environment E

$$E + \{\langle x \rangle_1 \rightarrow x_1, \dots, \langle x \rangle_n \rightarrow x_n\}$$

is new environment E with mappings added:

- always take store entity from new mappings
- might overwrite old mappings

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Semantic Statements



- To actually execute statement:
 - environment to map identifiers
 - modified with execution of each statement
 - each statement has its own environment
 - store to find values
 - all statements modify same store
 - single store
- Semantic statement $(\langle s \rangle, E)$
 - pair of (statement, environment)

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Semantic Stack



- Execution maintains stack of semantic statements *ST*

$[(\langle s \rangle_1, E_1), \dots, (\langle s \rangle_n, E_n)]$

- always topmost statement $(\langle s \rangle_1, E_1)$ executes first
- rest of stack: what needs to be done

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Semantic Stack States



- Semantic stack can be in run-time states
 - *terminated* stack is empty
 - *runnable* can do execution step
 - *suspended* stack not empty, no execution step possible
- Statements
 - *non-suspending* can always execute
 - *suspending* need values from store dataflow behavior

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Summary

- Single assignment store
- Environments
 - adjunction
- Semantic statements
- Semantic stacks
- Execution state
- Program execution
 - runnable, terminated, suspended
- Statements
 - suspending, non-suspending

σ
 E
 $E + \{...\}$
 $(\langle s \rangle, E)$
 $[(\langle s \rangle, E) \dots]$
 (ST, σ)

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Executing if

- Semantic statement is
 $(\text{if } \langle x \rangle \text{ then } \langle s \rangle_1 \text{ else } \langle s \rangle_2 \text{ end}, E)$
- If activation condition “ $\langle x \rangle$ bound” true
 - if $E(\langle x \rangle)$ bound to true push $\langle s \rangle_1$
 - if $E(\langle x \rangle)$ bound to false push $\langle s \rangle_2$
 - otherwise, raise error
- Otherwise, suspend...

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Procedure Call



- Semantic statement is $(\{\langle x \rangle \langle y \rangle_1 \dots \langle y \rangle_n\}, E)$
where
 - $E(\langle x \rangle)$ is to be called
 - $\langle y \rangle_1, \dots, \langle y \rangle_n$ are *actual parameters*
- Suspending statement, suspension condition
 - $E(\langle x \rangle)$ is determined

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Summary



- Semantic statement executes by
 - popping itself always
 - creating environment local
 - manipulating store local, =
 - pushing new statements local, if
 - sequential composition
- Semantic statement can suspend
 - activation condition if, {...}, case
 - read store

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Multiple Semantic Stacks



- Abstract machine has multiple semantic stacks
 - each semantic stack represents one thread
- Number of semantic stacks change over time
 - increase: new threads created
 - decrease: threads terminate

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Multisets



- Collection of semantic stacks called *multiset* of semantic stacks
- Multiset: like a set, but maintains multiplicity
 - ordinary set: element can be contained at most once
 $\{1,2,3\}$
 - multiset: element can be contained many times
 $\{1,1,1,2,2,3\}$
different from $\{1,1,2,3,3\}$
 - just think of: bag, collection, bunch of something
 - same thread is allowed to occur more than once

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Execution State

(Multiset of semantic stacks, store)

($\{ ST_1, \dots, ST_n \}, \sigma)$

- we write multisets with normal set parentheses { and }



Execution State

(Multiset of semantic stacks, store)

($\{ ST_1, \dots, ST_n \}, \sigma)$
 $\underbrace{\hspace{10em}}_{MST}$

- Multisets of stacks are denoted MST

Initial Execution State



- Given statement $\langle s \rangle$, start execution as before with empty environment, empty store and just one thread

$$(\{[\langle s \rangle, \emptyset]\}, \emptyset)$$

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Initial Execution State



- Given statement $\langle s \rangle$, start execution as before with empty environment, empty store and just one thread

$$(\{[\langle s \rangle, \emptyset]\}, \emptyset)$$


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Initial Execution State



- Given statement $\langle s \rangle$, start execution as before with empty environment, empty store and just one thread

$(\{[\langle s \rangle, \emptyset]\}, \emptyset)$



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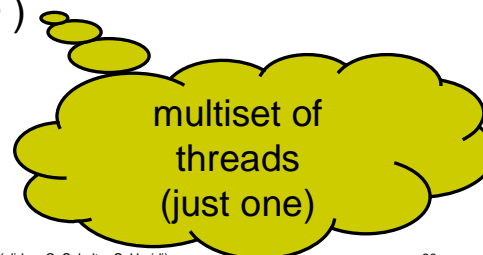
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Initial Execution State



- Given statement $\langle s \rangle$, start execution as before with empty environment, empty store and just one thread

$(\{[\langle s \rangle, \emptyset]\}, \emptyset)$



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Initial Execution State



- Given statement $\langle s \rangle$, start execution as before with empty environment, empty store and just one thread

$(\{[\langle s \rangle, \emptyset]\}, \emptyset)$

thread
(just one)

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Initial Execution State



- Given statement $\langle s \rangle$, start execution as before with empty environment, empty store and just one thread

$(\{[\langle s \rangle, \emptyset]\}, \emptyset)$

semantic
statement

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Initial Execution State



- Given statement $\langle s \rangle$, start execution as before with empty environment, empty store and just one thread

$(\{[(\langle s \rangle, \emptyset)]\}, \emptyset)$

statement (initial)

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Initial Execution State



- Given statement $\langle s \rangle$, start execution as before with empty environment, empty store and just one thread

$(\{[(\langle s \rangle, \emptyset)]\}, \emptyset)$

empty
environment

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Execution



- Execution steps

$$(MST_1, \sigma_1) \rightarrow (MST_2, \sigma_2) \rightarrow \dots$$

- At each step

- select runnable semantic stack ST_i from MST_i
- execute topmost semantic statement of ST_i
resulting in ST'_i
- continue with threads

$$MST_{i+1} = \{ST'_i\} \cup (MST_i - \{ST_i\})$$

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Execution



- Execution steps

$$(MST_1, \sigma_1) \rightarrow (MST_2, \sigma_2) \rightarrow \dots$$

- At each step

- select **runnable** semantic stack ST_i from MST_i
- execute topmost semantic statement of ST_i
resulting in ST'_i
- continue with threads

$$MST_{i+1} = \{ST'_i\} \cup (MST_i - \{ST_i\})$$

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Statements



```
 $\langle S \rangle ::= \text{skip}$   
|  $\langle x \rangle = \langle y \rangle$   
|  $\langle x \rangle = \langle v \rangle$   
|  $\langle S \rangle_1 \langle S \rangle_2$   
| local  $\langle x \rangle$  in  $\langle S \rangle$  end  
| if  $\langle x \rangle$  then  $\langle S \rangle_1$  else  $\langle S \rangle_2$  end  
|  $\{ \langle x \rangle \langle y \rangle_1 \dots \langle y \rangle_n \}$ 
```

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Statements with Thread Creation



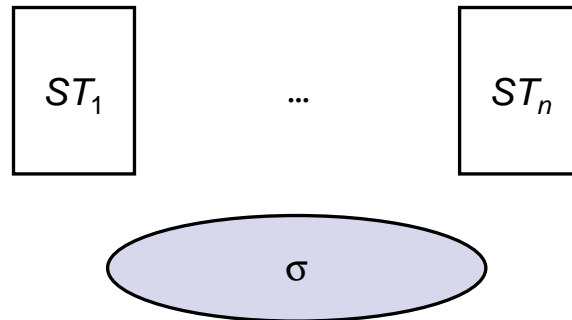
```
 $\langle S \rangle ::= \text{skip}$   
|  $\langle x \rangle = \langle y \rangle$   
|  $\langle x \rangle = \langle v \rangle$   
|  $\langle S \rangle_1 \langle S \rangle_2$   
| local  $\langle x \rangle$  in  $\langle S \rangle$  end  
| if  $\langle x \rangle$  then  $\langle S \rangle_1$  else  $\langle S \rangle_2$  end  
|  $\{ \langle x \rangle \langle y \rangle_1 \dots \langle y \rangle_n \}$   
| thread  $\langle S \rangle$  end
```

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Sketch of Computation



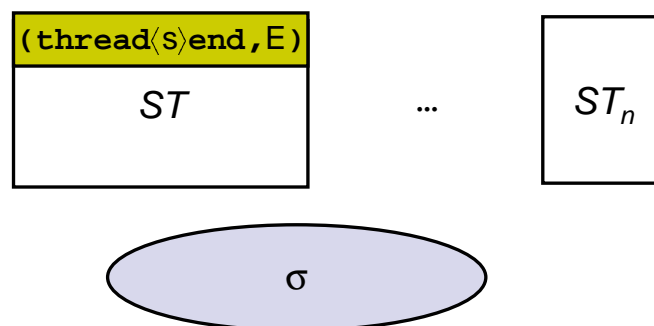
- Multiple threads sharing store

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Sketch of Computation



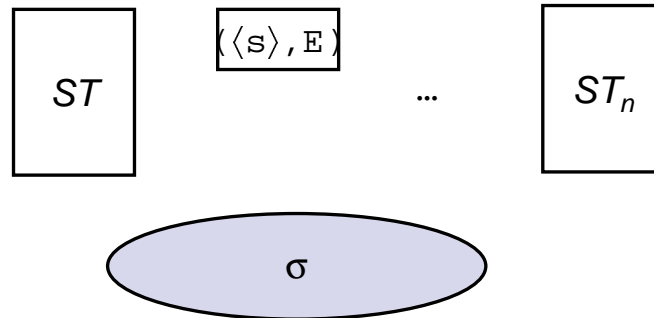
- Thread creation statement...

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Sketch of Computation



- ...new semantic stack running $\langle s \rangle$

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Example



```
local B X in
  thread
    if B then X=1 else X=2 end
  end
  B=true
end
```

- see it at tutorial...

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Statements with Thread Creation



```

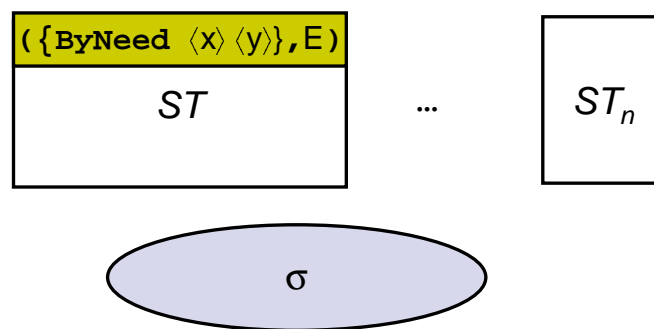
<S> ::= skip
      | <X> = <Y>
      | <X> = <V>
      | <S>1 <S>2
      | local <X> in <S> end
      | if <X> then <S>1 else <S>2 end
      | {<X> <Y>1 ... <Y>n}
      | thread <S> end
      | {ByNeed <x> <y>}
  
```

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Sketch of Computation



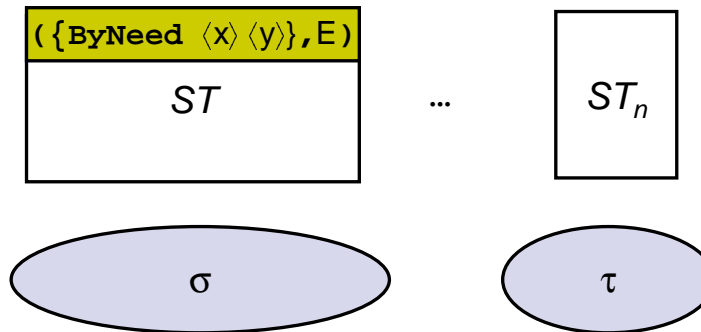
- Thread creation statement...

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Sketch of Computation



- The store is σ the variable-store + τ the trigger-store

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Executing ByNeed

- Semantic statement is $(\{ \text{ByNeed } \langle x \rangle \langle y \rangle \}, E)$
 - $\langle x \rangle$ is mapped to one-argument procedure
 - $\langle y \rangle$ mapped to a variable
- If $\langle y \rangle$ is not determined (unbound)
 - Add the trigger $\text{trig}(E(\langle x \rangle) \ E(\langle y \rangle))$ to the trigger store
- If $\langle y \rangle$ is determined (bound)
 - Create a new thread with initial semantic stack $[(\{ \langle x \rangle \langle y \rangle \}, E)]$

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Executing ByNeed II



- $\text{trig}(x, y)$ is in the trigger-store
- A need on y is detected
 - A thread suspends because of an activation condition that requires y to be determined, or
 - y is bound (made determined) by another thread
- Create a new thread with initial Semantic Stack: $[(\{x\ y\}, \emptyset)]$
 - $\{...\}$ is an apply procedure (that takes a procedure value and a variable)

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Garbage Collection of Threads



- If a thread is known to be suspended forever, it can be garbage-collected
 - suspends on variable not in use by any other thread
 - does not change semantics, just saves memory
- Approximation, only straight-forward cases
 - impossible: detect whether thread will have no effect!
 - really impossible!

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Summary



- Threads are organized as multiset of semantic stacks
- Thread creation inserts new semantic stack
 - inherits environment
 - shares store
- Thread termination removes threads

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Agents and Message Passing Concurrency



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Client-Server Architectures



- Server provides some service
 - receives message
 - replies to message
 - example: web server, mail server, ...
- Clients know address of server and use service by sending messages
- Server and client run independently

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Peer-to-Peer Architectures



- Similar to Client-Server:
 - every client is also a server
 - communicate by sending messages to each other
- We call all these guys (client, server, peer)
agent

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Common Features



- Agents

- have identity
 - receive messages
 - process messages
 - reply to messages
- mail address
 - mailbox
 - ordered mailbox
 - pre-addressed return letter

- Now how to cast into programming language?

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Message Sending



- Message
 - Address
 - Mailbox
 - Reply
- data structure
 - port
 - stream of messages
 - dataflow variable in message

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Port



- Port $address:[S]$
 - stores stream S under unique address
 - stored stream changes over time
- The stream is tail of message stream
 - sending a message M adds message to end

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Message Sending to Port



- Port $a:[S]$
- Send M to a
 - read stored stream S from address a
 - create new store variable S'
 - bind S to $M|S'$ (cons)
 - update stored stream to S'

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Port Procedures

- Port creation
 $P = \{\text{NewPort } Xs\}$
- Message sending
 $\{\text{Send } P \ X\}$

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Example

```
declare S P
P={NewPort S}
{Browse S}
```

- Displays initially S (or $_$)

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Example

```
declare S P
P={NewPort S}
{Browse S}
```

- Execute {Send P a}
- Shows a|_



Example

```
declare S P
P={NewPort S}
{Browse S}
```

- Execute {Send P b}
- Shows a|b|_



Question

```
declare S P
P={NewPort S}
{Browse S}
thread {Send P a} end
thread {Send P b} end
```

- What will the Browser show?

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Question

```
declare S P
P={NewPort S}
{Browse S}
thread {Send P a} end
thread {Send P b} end
```

- What will the Browser show?
- Either $a|b|_-$ or $b|a|_-$
 - non-determinism: we can't say what

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Answering Messages



- Do not reply by address, use something like pre-addressed reply envelope
 - dataflow variable!!!
- {Send P pair(Message Answer)}
- Receiver can bind Answer!

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A Math Agent



```
proc {Math M}
  case M
  of add(N M A) then A=N+M
  [] mul(N M A) then A=N*M
  [] int(Formula A) then
    A = ...
  end
end
```

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Making the Agent Work

```
MP = {NewPort S}
proc {MathProcess Ms}
  case Ms of M|Mr then
    {Math M} {MathProcess Mr}
  end
end
thread {MathProcess S} end
```

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Smells of Higher-Order...

```
proc {ForAll Xs P}
  case Xs
  of nil then skip
  [] X|Xr then {P X} {ForAll Xr
P}
  end
end

```

- Call procedure P for all elements in Xs

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Smells of Higher-Order...



- Using `ForAll`, we have

```
proc {MathProcess Ms}  
  {ForAll Xs Math}  
end
```

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Making the Agent Work



```
MP = {NewPort S}  
thread {ForAll S Math} end
```

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Making the Agent Work



```
MP = {NewPort S}  
thread for M in S do {Math M} end  
end
```

Smells Even Stronger...



```
fun {NewAgent Process}  
  Port Stream  
in  
  Port={NewPort Stream}  
  thread {ForAll Process} end  
  Port  
end
```

Why Do Agents/Processes Matter?



- Model to capture communicating entities
- Each agent is simply defined in terms of how it replies to messages
- Each agent has a thread of its own
 - no screw-up with concurrency
 - we can easily extend the model so that each agent have a state (encapsulated)
- *Extremely useful to model systems!*

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Summary



- Ports for message sending
 - use stream (list of messages) as mailbox
 - port serves as unique address
- Use agent abstraction
 - combines port with thread running agent
 - simple concurrency scheme
- Introduces non-determinism... and state!

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Next Lecture

- Invited lecture
- After that: ports and agents revisited



See You In Two Weeks!



Have a Nice Weekend



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