

# A decomposition of 2-weak vertex-packing polytopes

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## Abstract

Let  $G$  be a graph with  $d$  vertices. Let  $\mathcal{Q}$  be the polytope which is the subset of the unit  $d$ -cube satisfying  $x_i + x_j \leq 1$  whenever  $(i, j)$  is an edge of  $G$ . The dilation by 2 of  $\mathcal{Q}$ , denoted  $\mathcal{P}$ , is a polytope with integral vertices. We triangulate  $\mathcal{P}$  with lattice simplices of minimal volume and label the maximal simplices with elements of the hyperoctahedral group  $B_d$ . This labeling gives rise to a shelling of the triangulation  $\widehat{\mathcal{P}}$  of  $\mathcal{P}$ , and the  $h$ -vector of  $\widehat{\mathcal{P}}$  (and the Ehrhart  $h^*$ -vector of  $\mathcal{P}$ ) can be computed as a descent statistic on a subset of  $B_d$  determined by  $G$ . Recursive formulas are given for computing the volume of  $\mathcal{P}$  and the  $h$ -vector of  $\widehat{\mathcal{P}}$ .

Soit  $G$  un graphe à  $d$  sommets. Soit  $\mathcal{Q}$  le polytope, sous-ensemble du cube unité de l'espace à  $d$  dimensions, défini par les inégalités  $x_i + x_j \leq 1$  pour tout couple  $(i, j)$  de sommets adjacents dans  $G$ . Les sommets de la dilatation de  $\mathcal{Q}$  par multiplication par 2, que l'on appelle  $\mathcal{P}$ , ont tous des coordonnées entières. On fait une triangulation  $\widehat{\mathcal{P}}$  de  $\mathcal{P}$  par des simplexes dont les sommets appartiennent au treillis entier dont le volume est minimal. On attache aux simplexes maximaux des étiquettes qui sont des éléments du groupe hyperoctaèdral  $B_d$ . Cet étiquetage produit un effeuillage de la triangulation  $\widehat{\mathcal{P}}$  et le vecteur  $h$  de  $\widehat{\mathcal{P}}$  (ainsi que le vecteur  $h^*$  de Ehrhart associé à  $\mathcal{P}$ ) peut être calculé en termes du paramètre nombre de descentes sur un sous-ensemble de  $B_d$  qui dépend de  $G$ . On donne des formules récursives pour le calcul du volume de  $\mathcal{P}$  et du vecteur  $h$  de  $\widehat{\mathcal{P}}$ .

## 1 Introduction

Let  $G$  be a loopless graph,  $d$  the number of vertices in  $G$ , and label the vertices of  $G$  by the integers  $1, 2, \dots, d$ . The *extended 2-weak vertex-packing polytope*  $\mathcal{P}(G)$  of  $G$  is defined by

$$0 \leq x_i \leq 2, \quad 1 \leq i \leq d, \tag{1}$$

$$x_i + x_j \leq 2, \quad \text{if } (i, j) \text{ is an edge of } G. \tag{2}$$

The polytopes  $\mathcal{P}(G)$  are special cases of *k-weak vertex-packing polytopes*, which in turn are approximations of vertex-packing polytopes, which have been studied from the mathematical programming point of view (see, e.g., [5] and [2]). This paper deals with the combinatorial structure of  $\mathcal{P}(G)$ . We triangulate  $\mathcal{P}(G)$  in a certain systematic way and label the maximal simplices in the triangulation, which we denote by  $\widehat{\mathcal{P}}$ , with elements of the *hyperoctahedral group*  $B_d$ . This labeling allows us to *shell*  $\widehat{\mathcal{P}}$  in such a way that we can compute the *h-polynomial* of  $\mathcal{P}(G)$  as a *descent statistic* on a subset of  $B_d$  determined by  $G$ . Moreover, the triangulation is such that its *h-polynomial* equals the *Ehrhart h\*-polynomial* of  $\mathcal{P}(G)$ . This gives a decomposition of  $\widehat{\mathcal{P}}$  into maximal simplices, whose intersections with

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other maximal simplices we can describe explicitly. A recursive formula for the  $h$ -polynomial of  $\widehat{\mathcal{P}}(G)$  can be also be given. A simplified version of this recursion yields a simple recursive formula for computing the volume of  $\mathcal{P}(G)$ .

## 2 Preliminaries

### 2.1 Ehrhart polynomials

Let  $\mathcal{P}$  be a  $d$ -dimensional polytope (or simplicial complex (see section 2.2)) in  $\mathbb{R}^n$  with integral (or lattice) vertices, i.e.  $v_i \in \mathbb{Z}^n$  for all vertices  $v_i$  of  $\mathcal{P}$ . For  $k \in \mathbb{N}$  let  $k\mathcal{P} = \{kx \mid x \in \mathcal{P}\}$ , i.e.  $k\mathcal{P}$  is the (lattice) polytope obtained by dilating  $\mathcal{P}$  by a factor of  $k$ .

For  $k \in \mathbb{N}$  define the function  $i(\mathcal{P}, k) = \#\{x \in \mathbb{R}^n \mid x \in k\mathcal{P} \cap \mathbb{Z}^n\}$ . Thus,  $i(\mathcal{P}, k)$  is the number of lattice points contained in  $k\mathcal{P}$ . By Cor. 4.6.28 in [7],  $i(\mathcal{P}, k)$  is a polynomial in  $k$  of degree  $d$ , called the *Ehrhart polynomial* of  $\mathcal{P}$ . Now define the generating function  $E(\mathcal{P}, t) = \sum_{k \geq 0} i(\mathcal{P}, k)t^k$ . By Thm. 2.1 in [6], we have  $E(\mathcal{P}, t) = \frac{h^*(\mathcal{P}, t)}{(1-t)^{d+1}}$ , where  $h^*(\mathcal{P}, t)$  is a polynomial of degree at most  $d$  with non-negative integer coefficients, called the *Ehrhart  $h^*$ -polynomial* of  $\mathcal{P}$ .

### 2.2 Simplicial complexes

An *abstract simplicial complex* is a nonempty collection  $K$  of sets such that if  $F \in K$  and  $G \subset F$  then  $G \in K$ . An element of  $K$  is called a *face* of  $K$ . We will be mostly concerned with the *geometric realization* of simplicial complexes (for definitions and basic properties, see [4]) and we will, by abuse of notation, *not* distinguish between a simplicial complex and its geometric realization.

A simplicial complex  $K$  is *pure* if all its maximal faces have the same dimension  $d = \dim(K)$ . If  $K$  is a pure simplicial complex of dimension  $d$ , then a *facet* of  $K$  is a  $d$ -face, i.e. a  $d$ -dimensional face, of  $K$ . When a complex  $K$  triangulates a polytope  $\mathcal{P}$ , the facets of  $K$  are  $d$ -dimensional, but the facets of  $\mathcal{P}$  have dimension  $d - 1$ .

The  *$h$ -vector*  $h(K) = (h_0, h_1, \dots, h_d)$  of a simplicial complex  $K$  of dimension  $d-1$  is defined as follows: Let  $f_i = f_i(K)$  be the number of  $i$ -dimensional faces in  $K$ , where we set  $f_{-1} = 1$ , and define  $h(K) = (h_0, h_1, \dots, h_d)$  by setting

$$\sum_{i=0}^d f_{i-1}(x-1)^{d-i} = \sum_{i=0}^d h_i x^{d-i}. \quad (3)$$

We define the  *$h$ -polynomial*  $h(K, t)$  of  $K$  by  $h(K, t) = h_0 + h_1 t + \dots + h_d t^d$ .

Let  $K$  be a pure simplicial lattice complex of dimension  $d$ . If all facets of  $K$  have volume  $1/d!$  (see section 2.4) we say that  $K$  is *primitively triangulated*. The following theorem is essentially a consequence of Cor. 2.5 in [6], whose conclusion is expressed in greater generality in Thm. 2 in [1].

**Theorem 1** Suppose  $K$  is a primitively triangulated simplicial lattice complex. Then  $h^*(K, t) = h(K, t)$ , where  $h^*(K, t)$  is the Ehrhart  $h^*$ -polynomial of  $K$ . ■

For certain pure simplicial complexes  $K$  the coefficients of  $h(K, t)$  can be interpreted in a way that partitions the facets of  $K$  according to how they intersect other facets.

**Definition 2** Let  $K$  be a finite pure simplicial complex of dimension  $d$ . If  $F$  is a face of  $K$ , let  $\bar{F}$  be the complex consisting of  $F$  and all its faces. An ordering  $F_1, F_2, \dots, F_n$  of the facets of  $K$  is called a shelling if, for all  $k$  with  $1 < k \leq n$ ,  $\bar{F}_k \cap \bigcup_{i=1}^{k-1} \bar{F}_i$  is a pure complex of dimension  $(d-1)$ . A complex  $K$  is said to be shellable if there exists a shelling of  $K$ .

As it turns out, the  $h$ -vector of a shellable complex can be computed from the shelling. The following theorem is essentially due to McMullen [3].

**Theorem 3** Let  $F_1, F_2, \dots, F_n$  be a shelling of a  $d$ -dimensional complex  $K$  and let  $c(k)$  be the number of  $(d-1)$ -faces of  $\bar{F}_k$  contained in  $\bigcup_{i < k} \bar{F}_i$ . Then  $h(K, t) = \sum_{i=1}^n t^{c(i)}$ . ■

Thus, given a shelling  $F_1, F_2, \dots, F_n$  of a simplicial complex  $K$ , we can compute the  $h$ -polynomial  $h(K, t)$  of  $K$  via Theorem 3. That is, the  $k$ -th coefficient of  $h(K, t)$  equals the number of  $F_i$  with  $c(i) = k$ .

If  $K$  is a simplicial complex and  $p$  a vertex not in  $K$ , then the cone with apex  $p$  over  $K$  (or with base  $K$ ), denoted  $p * K$ , is the simplicial complex whose  $i$ -faces are the  $i$ -faces of  $K$  and  $\{p \cup f \mid f \text{ an } (i-1)\text{-face of } K\}$ . Geometrically, a cone can be defined as follows. If  $K$  is a  $(d-1)$ -dimensional simplicial (or polytopal) complex in  $\mathbb{R}^n$  and  $p$  is a point in  $\mathbb{R}^n$  such that each ray emanating from  $p$  intersects  $K$  in at most one point, then the cone  $p * K$  consists of  $K$  and  $p$  and the new  $i$ -faces, for  $1 \leq i \leq d$ , obtained by taking, for each  $(i-1)$ -face  $f$  in  $K$ , the union of all line segments connecting  $p$  to points in  $f$ .

**Theorem 4** Suppose the simplicial complex  $K$  is a cone with apex  $p$  over  $B$ , i.e.  $K = p * B$ . Then  $h(K, t) = h(B, t)$ . ■

## 2.3 The hyperoctahedral group

We represent the elements of the hyperoctahedral group  $B_d$  by *signed permutation words*, i.e. ordinary permutations in which each letter has a sign. To simplify the notation, we write  $a_i$  for  $+a_i$  and  $\bar{a}_i$  for  $-a_i$ . For example,  $B_2 = \{12, 21, \bar{1}2, 2\bar{1}, \bar{1}\bar{2}, \bar{2}\bar{1}\}$ .

We refer to the elements of  $B_d$  simply as permutations. We regard the letters in a permutation as integers and order them as such, i.e.  $\cdots \bar{3} < \bar{2} < \bar{1} < 0 < 1 < 2 < 3 \cdots$ .

**Definition 5** A descent in  $\pi \in B_d$  is an  $i \in [d]$  such that one of the following holds:

- 1)  $i < d$  and  $a_i > a_{i+1}$ ,
- 2)  $i = d$  and  $a_d > 0$ .

For any subset  $S$  of  $B_d$ , the descent polynomial of  $S$  is  $D(S, t) := \sum_{\pi \in S} t^{\text{des}(\pi)}$ , where  $\text{des}(\pi)$  is the number of descents in  $\pi$ .

For example, the descents of  $\bar{2}\bar{3}\bar{4}1$  are 1, 2 and 4, so  $\text{des}(\bar{2}\bar{3}\bar{4}1) = 3$ . If  $S = \{\bar{3}\bar{2}\bar{1}, \bar{1}\bar{2}\bar{3}, 213\}$  then  $D(S, t) = 1 + 2t^2$ .

## 2.4 Volumes

When we talk about volume in  $\mathbf{R}^d$  we mean the usual  $d$ -dimensional volume, which we denote  $\text{vol}_d(\cdot)$ . If  $S$  is a subset of a  $d$ -dimensional coordinate subspace of  $\mathbf{R}^n$ , then by  $\text{vol}_d(S)$  we mean the volume of  $S$  in that subspace. If  $S$  is a union of such subsets  $S_i$  then by  $\text{vol}_d(S)$  we mean the sum of the volumes of the  $S_i$ . In particular, a polytope  $\mathcal{P}$  of dimension less than  $d$  has  $\text{vol}_d(\mathcal{P}) = 0$ . For convenience, we make the following definition.

**Definition 6** If  $\mathcal{P}$  is a  $d$ -dimensional polytope or simplicial complex in  $\mathbf{R}^n$  such that  $\text{vol}_d(\mathcal{P})$  is defined, then the normalized volume of  $\mathcal{P}$  is  $\text{Nvol}(\mathcal{P}) := d! \cdot \text{vol}_d(\mathcal{P})$ .

Hence, for any polytope (or simplicial complex)  $\mathcal{P}$  of positive dimension,  $\text{Nvol}(\mathcal{P})$  is positive. The rationale behind this definition is that the least volume a lattice  $d$ -simplex can have is  $1/d!$ . In particular, the normalized volume of a primitively triangulated complex equals its number of maximal simplices.

## 3 Main Theorems

**Proposition 7** Let  $p$  be a point in the polytope  $\mathcal{P}$  and let  $\mathcal{P}_p$  be the union of those facets of  $\mathcal{P}$  which do not contain  $p$ . Then  $\mathcal{P}$  is a cone with apex  $p$  over  $\mathcal{P}_p$ . ■

Throughout, if  $G$  is a graph,  $\mathcal{P}(G)$  is the extended 2-weak vertex-packing polytope of  $G$ . By definiton,  $\mathcal{P}(G)$  is a subset of  $2C^d$ , the dilation of the unit  $d$ -cube by 2.

**Theorem 8** Let  $G$  be a graph and let  $\mathcal{P}'(G) = \mathcal{P}(G) \cap \partial(2C^d)$ , i.e.  $\mathcal{P}'(G)$  is the union of those facets of  $\mathcal{P}(G)$  which lie on the boundary of  $2C^d$ . Let  $p = (1, 1, \dots, 1)$ . Then  $\mathcal{P}(G) = p * \mathcal{P}'(G)$ . ■

**Theorem 9** Let  $v = (v_1, v_2, \dots, v_d)$  be a point in  $\mathcal{P}$  with integral coordinates and let  $S = \{i \in [d] \mid v_i = 1\}$ . Let  $G_S$  be the subgraph of  $G$  induced by  $S$ . Then  $v$  is a vertex of  $\mathcal{P}$  iff each connected component of  $G_S$  contains an odd cycle (or  $S = \emptyset$ ). ■

To triangulate  $\mathcal{P}(G)$  we first triangulate  $2C^d$  in the following way.  $2C^d$  is embedded in  $\mathbb{R}^d$  so that its vertices are all points each of whose coordinates are either 0 or 2. In particular, its center (of symmetry) is the point  $p = (1, 1, \dots, 1)$ . We subdivide  $2C^d$  into the  $2^d$  unit cubes all of whose vertices are lattice points. Each of these small cubes contains  $p$  and a unique vertex which is a vertex of  $2C^d$ . We label each small cube by that vertex of  $2C^d$  which it contains. As an example, the standard unit  $d$ -cube is labeled by  $0 = (0, 0, \dots, 0)$  and denoted  $c_0$ .

Next, we triangulate each of these small cubes. Let  $c_z$  be the small cube labeled by  $z$ . Then every maximal simplex in the triangulation of  $c_z$  contains  $p$  and  $z$  and is defined as the convex hull of a path along edges of  $c_z$  from  $p$  to  $z$ , as follows.

Let  $p_0 = p, p_1, p_2, \dots, p_d = z$  be a sequence of vertices of  $c_z$  such that  $p_k = p_{k-1} \pm e_j$  where  $e_j$  is the vector  $(0, \dots, 0, 1, 0, \dots, 0)$  with a 1 in the  $j$ -th place and 0's elsewhere. It follows that in the sequence of  $p_k$ 's the  $i$ -th coordinate must change precisely once, from 1 to  $z_i$ , because we start out from  $p = (1, 1, \dots, 1)$  and  $z = (z_1, z_2, \dots, z_d)$  is a vertex of  $2C^d$ , so  $z_i \in \{0, 2\}$  for each  $i$ .

The points  $p_i$  are geometrically independent and thus they are the vertices of a  $d$ -dimensional simplex, namely their convex hull. It is also clear that the intersection of any two maximal simplices is the convex hull of their common vertices, so this is indeed a simplicial complex.

Such a sequence of vertices defining a maximal simplex can be coded by a permutation in the hyperoctahedral group  $B_d$ . Namely, we define  $\pi = a_1 a_2 \cdots a_d$  by setting  $a_i = k$  if  $p_i - p_{i-1} = e_k$  and  $a_i = -k$  if  $p_i - p_{i-1} = -e_k$ . For example, the sequence  $(1, 1, 1), (0, 1, 1), (0, 1, 2), (0, 0, 2)$  of points in  $c_{(0,0,2)}$  corresponds to the permutation  $\bar{1}3\bar{2}$ . Conversely, every  $\pi \in B_d$  determines a unique path from  $p$  to a vertex  $z$  of  $2C^d$  and hence a unique  $d$ -simplex, which we denote by  $\sigma_\pi$ , contained in  $c_z$ . The number of distinct paths from  $p$  to  $z$  is  $d!$ , and the following lemma is now immediate.

**Lemma 10** *Let  $\{\pi_i \mid 1 \leq i \leq d!\}$  be the permutations labeling the maximal simplices in a cube  $c_z$ . Then each integer  $k$  in  $[d]$  appears with the same sign in every  $\pi_i$ . More precisely, the sign of  $k \in [d]$  in such a permutation is + or - according as the  $k$ -th coordinate of  $z$  is 2 or 0. Conversely, if each  $k \in [d]$  appears with the same sign in two permutations  $\pi$  and  $\tau$ , then  $\sigma_\pi$  and  $\sigma_\tau$  belong to the same cube  $c_z$ .* ■

For example, the paths in the cube  $c_{(0,2)}$  are  $(1, 1) \rightarrow (1, 2) \rightarrow (0, 2)$  and  $(1, 1) \rightarrow (0, 1) \rightarrow (0, 2)$ , corresponding to the permutations  $2\bar{1}$  and  $\bar{1}2$ , respectively.

**Proposition 11** *The collection  $\{\sigma_\pi \mid \pi \in B_d\}$  covers  $2C^d$ . Any two of these simplices are isometric, in particular each has volume  $1/d!$  and hence  $\text{Nvol}(\sigma_\pi) = 1$  for each  $\pi$ .* ■

**Corollary 12** *The triangulation  $\widehat{\mathcal{P}}(G)$  is primitive. Thus,  $\text{Nvol}(\mathcal{P}(G)) = \#\Pi(G)$ .* ■

Thus the collection  $\{\sigma_\pi \mid \pi \in B_d\}$  triangulates  $2C^d$ . We denote this triangulation by  $\widehat{2C^d}$ . We can now give a succinct characterization of the permutations corresponding to the maximal simplices of  $\widehat{2C^d}$  contained in  $\mathcal{P}$ . First a definition.

**Definition 13** Let  $G$  be a graph. The set of permissible permutations with respect to  $G$  is  $\Pi(G) = \{\pi \in B_d \mid \sigma_\pi \subset \mathcal{P}(G)\}$ . A permutation  $\pi$  is permissible w.r.t.  $G$  if  $\pi \in \Pi(G)$ .

**Theorem 14** A permutation  $\pi \in B_d$  is permissible w.r.t.  $G$  if and only if it satisfies the following condition:

If  $(i, j)$  is an edge in  $G$  and  $+i$  appears in  $\pi$ , then  $-j$  must precede  $+i$  in  $\pi$ . ■

**Proposition 15** Let  $\sigma_\pi$  be a maximal simplex in  $c_z$ . If  $\mathcal{P}$  intersects the interior of  $\sigma_\pi$ , then  $\sigma_\pi \subset \mathcal{P}$ . Hence,  $\widehat{\mathcal{P}} := \widehat{2C^d} \cap \mathcal{P}$  is a triangulation of  $\mathcal{P}$ . ■

For the remainder of this section, fix a graph  $G$  and let  $\mathcal{P}$  denote its extended 2-weak vertex-packing polytope and  $\widehat{\mathcal{P}}$  the triangulation of  $\mathcal{P}$  described above.

Our goal is to find a shelling of  $\widehat{\mathcal{P}}$ . To that end, we order the permutations in  $B_d$  lexicographically, i.e. a permutation  $\pi = a_1 a_2 \cdots a_d$  precedes  $\tau = b_1 b_2 \cdots b_d$  if  $a_i < b_i$  for the first  $i$  at which  $\pi$  and  $\tau$  differ. Abusing notation, we use  $<$  to denote this ordering of the elements of  $B_d$ . For example,  $\bar{2}\bar{3}\bar{1} < \bar{3}\bar{2}\bar{1}$  and  $\bar{2}\bar{3}\bar{1} < \bar{2}\bar{1}\bar{3}$ .

We will show that the ordering of maximal simplices in  $\widehat{\mathcal{P}}$  induced by the lexicographic ordering of their corresponding permutations is a shelling of  $\widehat{\mathcal{P}}$ . Before proving that, we need a definition and a lemma.

**Definition 16** Let  $\sigma_\pi$  and  $\sigma_\tau$  be two maximal simplices in  $\mathcal{P}$ , and  $d = \dim(\mathcal{P})$ . We say that  $\sigma_\pi$  and  $\sigma_\tau$  intersect maximally if they have a  $(d-1)$ -face in common.

**Lemma 17** Suppose  $\sigma_\pi \subset c_z \cap \widehat{\mathcal{P}}$ , where  $\pi = a_1 a_2 \cdots a_d$ , and suppose that  $i$  is a descent in  $\pi$ . If  $i$  is an internal descent in  $\pi$ , i.e.  $a_i > a_{i+1}$ , for some  $i \leq d-1$ , then  $\sigma_{\pi'} \subset c_z \cap \widehat{\mathcal{P}}$ , where  $\pi' = a_1 a_2 \cdots a_{i+1} a_i \cdots a_d$ . If  $i = d$ , i.e.  $a_d > 0$ , then  $\sigma_{\pi'} \subset \widehat{\mathcal{P}}$ , where  $\pi' = a_1 a_2 \cdots - a_d$ . In either case,  $\pi' < \pi$  and  $\sigma_\pi$  and  $\sigma_{\pi'}$  intersect maximally. Moreover, if two maximal simplices  $\sigma_\pi$  and  $\sigma_{\pi'}$  in  $\widehat{\mathcal{P}}$  intersect maximally, then  $\pi$  and  $\pi'$  either differ only by a single transposition or only by the sign of their last letter. ■

**Theorem 18** Order the maximal simplices in  $\widehat{\mathcal{P}}$  so that  $\sigma_\tau$  precedes  $\sigma_\pi$  if  $\tau < \pi$ . This ordering is a shelling of  $\widehat{\mathcal{P}}$ .

**Proof:** Let  $\sigma_\pi$  be a maximal simplex in  $\widehat{\mathcal{P}}$ . If  $\pi$  is the (lexicographically) first permutation in  $\Pi(G)$  then there is nothing to prove. Otherwise, we must show that  $\sigma_\pi \cap \bigcup_{\tau < \pi} \sigma_\tau$  is a nonempty union of  $(d-1)$ -faces of  $\sigma_\pi$ . It suffices to show that if  $\sigma_\pi$  intersects a maximal

simplex  $\sigma_\tau \subset \widehat{\mathcal{P}}$  and  $\sigma_\tau$  precedes  $\sigma_\pi$ , then  $\sigma_\pi \cap \sigma_\tau$  is contained in some  $(d-1)$ -face  $f$  of  $\sigma_\pi$  such that  $f = \sigma_\pi \cap \sigma_{\pi'}$  for some  $\sigma_{\pi'} \subset \widehat{\mathcal{P}}$  with  $\sigma_{\pi'}$  preceding  $\sigma_\pi$ .

Suppose  $\sigma_\pi, \sigma_\tau \subset \widehat{\mathcal{P}}$  and that  $\sigma_\tau$  precedes  $\sigma_\pi$ , so  $\tau < \pi$ . Let  $i$  be the first place where  $\pi$  and  $\tau$  differ. If  $i = d$  then  $\sigma_\pi$  and  $\sigma_\tau$  intersect maximally, by Lemma 17, and we are done. Assume therefore that  $i < d$ . Let  $\pi = a_1 a_2 \cdots a_d$  and  $\tau = a_1 a_2 \cdots a_{i-1} b_i \cdots b_d$ . Let  $k$  be the first descent in  $\pi$  after  $i-1$ . Such a  $k$  must exist, because otherwise we would have  $a_i < a_{i+1} < \cdots < a_d < 0$  so that  $\pi$  was the first permutation in  $B_d$  beginning with  $a_1 a_2 \cdots a_{i-1}$ , contradicting  $\tau < \pi$ .

Let  $p_0, p_1, \dots, p_d$  be the sequence of points defining  $\sigma_\pi$ . We claim that  $p_k \notin \sigma_\tau$ . If  $\sigma_\tau$  did contain  $p_k$  then we would have  $\{a_1, a_2, \dots, a_k\} = \{b_1, b_2, \dots, b_k\}$ , in particular  $\{a_i, a_{i+1}, \dots, a_k\} = \{b_i, b_{i+1}, \dots, b_k\}$ , so  $k > i$ . But then, since  $k$  was the first descent in  $\pi$  after  $i-1$ , so that  $a_i < a_{i+1} < \cdots < a_k$ , we must have  $b_i > a_i$ , contradicting the assumption  $\tau < \pi$ , so  $p_k \notin \sigma_\tau$ .

If  $k < d$ , let  $\pi' = a_1 \cdots a_{k-1} a_{k+1} a_k \cdots a_d$ . Then  $\pi' < \pi$  and  $\sigma_\pi \cap \sigma_{\pi'}$  is the convex hull of  $p_0, p_1, \dots, p_{k-1}, p_{k+1}, \dots, p_d$ . By Lemma 17,  $\sigma_\pi$  and  $\sigma_{\pi'}$  intersect maximally and  $\sigma_{\pi'} \subset \widehat{\mathcal{P}}$ . Moreover, since  $p_k \notin \sigma_\tau$ ,  $\sigma_\pi \cap \sigma_\tau \subset \sigma_\pi \cap \sigma_{\pi'}$ , as desired.

If  $k = d$ , then  $a_d > 0$ . Let  $\pi' = a_1 a_2 \cdots \bar{a}_d$ . Then  $\pi' < \pi$  and, by Lemma 17,  $\sigma_\pi$  and  $\sigma_{\pi'}$  intersect maximally and  $\sigma_{\pi'} \subset \widehat{\mathcal{P}}$ . Since  $p_d \notin \sigma_\tau$ , we have  $\sigma_\pi \cap \sigma_\tau \subset \sigma_\pi \cap \sigma_{\pi'}$ , as desired. ■

**Theorem 19** *The  $h$ -polynomial of  $\widehat{\mathcal{P}}$  equals the descent polynomial of the set of permissible permutations with respect to  $G$ . That is,  $h(\widehat{\mathcal{P}}, t) = D(\Pi(G), t)$  and hence  $h^*(\mathcal{P}, t) = D(\Pi(G), t)$ .*

**Proof:** We need to show that for each descent in  $\pi \in \Pi(G)$  there is a unique maximal simplex  $\sigma_\tau \in \widehat{\mathcal{P}}$  such that  $\sigma_\pi$  and  $\sigma_\tau$  intersect in a  $(d-1)$ -face of each and such that  $\tau < \pi$ . First suppose that  $i$  is an internal descent in  $\pi$ , i.e.  $1 \leq i \leq d-1$  and let  $\pi = a_1 a_2 \cdots a_d$ , so  $a_i > a_{i+1}$ . By Lemma 17, two maximal simplices  $\sigma_\pi$  and  $\sigma_\tau$  in the same cube  $c_z$  intersect maximally if and only if  $\pi$  and  $\tau$  differ by a single transposition. Let  $\tau = a_1 a_2 \cdots a_{i+1} a_i \cdots a_d$ . Then  $\tau$  precedes  $\pi$ ,  $\sigma_\tau \subset \widehat{\mathcal{P}}$  and  $\sigma_\pi$  and  $\sigma_\tau$  intersect maximally. Conversely, if  $\sigma_\pi$  and  $\sigma_\tau$  in  $c_z$  intersect maximally then they differ by a single transposition and if  $\tau < \pi$  then  $\pi$  has a descent at the transposition distinguishing it from  $\tau$ .

The only other maximal simplices  $\sigma_\pi$  can intersect maximally are those belonging to other cubes than  $c_z$ . By Lemma 17, if  $\sigma_\tau$  is such a simplex and  $\pi = a_1 a_2 \cdots a_d$ , then  $\tau = a_1 a_2 \cdots \bar{a}_d$ , so, for  $\tau$  to precede  $\pi$ , we must have  $a_d > 0$ , i.e.  $d$  is a descent in  $\pi$ . Conversely, if  $d$  is a descent in  $\pi$  then  $a_d > 0$ , so if  $\tau = a_1 a_2 \cdots \bar{a}_d$  then  $\tau < \pi$ ,  $\sigma_\tau \subset \widehat{\mathcal{P}}$  and  $\sigma_\pi$  and  $\sigma_\tau$  intersect maximally. ■

## 4 Applications

**Definition 20**  $\mathcal{B} := \mathcal{P} \cap \partial(2C^d)$  and  $\widehat{\mathcal{B}} := \widehat{\mathcal{P}} \cap \partial(2C^d)$ .

**Theorem 21**  $h(\widehat{\mathcal{P}}, t) = h(\widehat{\mathcal{B}}, t)$ . Hence,  $h^*(\mathcal{P}, t) = h(\widehat{\mathcal{B}}, t)$ .

**Proof:**  $\widehat{\mathcal{P}}$  is a cone over  $\widehat{\mathcal{B}}$ , which yields the equality of  $h$ -polynomials, by Theorem 4. The equality  $h^*(\mathcal{P}, t) = h(\widehat{\mathcal{B}}, t)$  is then implied by Theorem 1 and the fact that  $\mathcal{P}$  (and hence  $\mathcal{B}$ ) is primitively triangulated. ■

**Corollary 22**  $\text{vol}_d(\mathcal{P}) = \text{vol}_{d-1}(\mathcal{B})$ . Equivalently,  $\text{Nvol}(\mathcal{P}) = \text{Nvol}(\mathcal{B})$ .

**Proof:**  $\text{Nvol}(\mathcal{P})$  equals the number of maximal simplices in  $\widehat{\mathcal{P}}$ , which in turn equals the number of maximal simplices in  $\widehat{\mathcal{B}}$ , since  $\widehat{\mathcal{P}}$  is a cone over  $\widehat{\mathcal{B}}$ . ■

### 4.1 Volumes

Corollary 22 yields a recursive formula for the volume of  $\mathcal{P}$ , because each facet of  $\mathcal{B}$  (i.e. a facet of  $\mathcal{P}$  contained in  $\mathcal{P}$ ) is an extended 2-weak vertex-packing polytope. More precisely, the facet of  $\mathcal{B}$  obtained by setting  $x_i = 0$  (which we denote  $\mathcal{B}_{x_i=0}$ ) is the extended 2-weak vertex-packing polytope of the graph obtained by removing  $x_i$  from  $G$ . If  $x_i$  is an isolated vertex of  $G$  then  $\mathcal{B}_{x_i=2}$  is isometric to  $\mathcal{B}_{x_i=0}$  (since then  $\mathcal{P} = \mathcal{P}_{x_i=0} \times [0, 2]$ ), but otherwise  $\mathcal{B}_{x_i=2}$  has dimension less than  $d - 1$  and thus  $\text{vol}_{d-1}(\mathcal{B}_{x_i=2}) = 0$ .

If  $d = a_1 + \cdots + a_k$ , let  $\binom{d}{a_1, \dots, a_k} = \frac{d!}{a_1! \cdots a_k!}$ . Abusing notation, we will write  $\text{Nvol}(G)$  instead of  $\text{Nvol}(\mathcal{P}(G))$ , where  $G$  is a graph and  $\mathcal{P}(G)$  its extended 2-weak vertex-packing polytope.

**Theorem 23** Let  $C_1, C_2, \dots, C_k$  be the connected components of  $G$ , with  $a_i = \#C_i$  for each  $i$ , and  $d = \#G$ . Then  $\text{Nvol}(G) = \binom{d}{a_1, a_2, \dots, a_k} \prod_{i=1}^k \text{Nvol}(C_i)$ . In particular, if  $G$  has an isolated vertex  $x$  and  $G_x$  is the graph obtained by removing  $x$  from  $G$ , then  $\text{Nvol}(G) = 2 \cdot d \cdot \text{Nvol}(G_x)$ . ■

**Theorem 24** Let  $G$  be a graph without isolated vertex,  $\#G = d$ , and let  $G_x$  denote the graph obtained by removing  $x$  from  $G$ . Then

$$\text{Nvol}(G) = \sum_{x \in G} \text{Nvol}(G_x).$$
 ■

We now give a few examples of how to use the recurrence of Theorems 23 and 24 to compute the volume of extended 2-weak vertex-packing polytopes. To get the recursion off the ground, observe that if  $G$  consists of a single vertex, then  $\mathcal{P}(G) = [0, 2] \subset \mathbb{R}$ , so  $\text{Nvol}(G) = 2$ .

**Example 25**  $\text{Nvol}(\bullet\bullet\bullet) = 2 \cdot \text{Nvol}(\bullet\bullet) + \text{Nvol}(\bullet\bullet) = 2 \cdot 2 \cdot \text{Nvol}(\bullet) + \binom{2}{1} \cdot (\text{Nvol}(\bullet)) = 8 + 2 \cdot 2^2 = 16.$

**Example 26**  $\text{Nvol}(\bullet\bullet\bullet\bullet) = 2 \cdot \text{Nvol}(\bullet\bullet\bullet) + 2 \cdot \text{Nvol}(\bullet\bullet\bullet) = 2 \cdot 16 + 6 \cdot \text{Nvol}(\bullet\bullet) = 32 + 6 \cdot 4 = 56.$

**Example 27** Let  $K_d$  be the complete graph on  $d$  vertices. Then Theorem 24 gives  $\text{Nvol}(K_d) = d \cdot \text{Nvol}(K_{d-1}) = \dots = d! \cdot \text{Nvol}(\bullet) = 2d!$ .

**Example 28** If  $G_d$  is the graph  with  $d$  vertices, so  $G_d$  is the comparability graph of the fence poset on elements  $x_1, \dots, x_d$  (with relations  $x_1 < x_2 > x_3 < x_4 > \dots$ ), then it is well known (see [8]) that the volume of the 2-weak vertex-packing polytope of  $G_d$  (of which  $\mathcal{P}(G)$  is the dilation by 2) is given by the  $d$ -th coefficient of the Taylor series of  $\tan x + \sec x$ . We can compute the corresponding result for  $\mathcal{P}(G_d)$  in the following way. Using Theorems 23 and 24, we get this recurrence for  $A_d = \text{Nvol}(G_d)$ : For  $d \geq 1$ ,  $A_{d+1} = \sum_{i=0}^d \binom{d}{i} A_i \cdot A_{d-i}$  and hence  $\sum_{d \geq 1} A_{d+1} \frac{x^d}{d!} = \left( \sum_{d \geq 0} A_d \frac{x^d}{d!} \right)^2$ . Setting  $F(x) = \sum_{d \geq 0} A_d \frac{x^d}{d!}$  yields  $F'(x) - 1 = (F(x))^2$ , which, together with  $F(0) = 1$ , has the unique solution  $F(x) = \tan(2x) + \sec(2x)$ .

This means, by Theorem 3.37 in [9], that  $\text{Nvol}(G_d)$  equals the number of weakly alternating permutations in  $B_d$ , i.e. those permutations for which  $i \in \{1, 2, \dots, d-1\}$  is a descent if and only if  $i$  is odd. However, this set of permutations does not coincide with  $\Pi(G_d)$ . It might be interesting to find a bijection between these two sets of permutations in  $B_d$ .

## 4.2 The $h$ -polynomial of $\widehat{\mathcal{P}}$

**Example 29** Let  $K_d$  be the complete graph on  $d$  vertices. Then  $\Pi(K_d)$  consists of all permutations  $\pi = a_1 a_2 \dots a_d \in B_d$  such that  $a_i < 0$  for all  $i$  except, perhaps, for  $i = d$ . Let  $\Pi_-$  be the set of permutations in  $\Pi(G)$  all of whose letters are negative, and let  $\Pi_+$  be the set of those permutations in  $\Pi(G)$  whose last letter is positive but all others negative. It is easy to see that  $D(\Pi_-, t)$  equals the usual descent polynomial of the symmetric group  $S_d$ , consisting of all permutations of the letters  $\{1, 2, \dots, d\}$  (no signs involved and never a descent at  $d$ ). This polynomial is well known and called the  $d$ -th Eulerian polynomial (see, e.g. [7]) and often denoted by  $A_d(t)$ . In fact,  $A_d(t)$  equals the  $h$ -polynomial of our triangulation of  $c_0$ . As for  $\Pi_+$ , we see that there is always a descent at  $d$ , never a descent at  $d-1$ , and the first  $d-1$  letters in a  $\pi \in \Pi_+$  behave just like a permutation in  $S_{d-1}$ . Hence each permutation in  $\Pi_+$  corresponds to a permutation in  $S_{d-1}$ , but has an extra descent, namely the one at  $d$ . There are  $d$  possible choices for the last letter of  $\pi \in \Pi_+$  and the descent polynomial of  $\Pi_+$  is thus equal to  $d \cdot t A_{d-1}(t)$ . Hence,  $h(\widehat{\mathcal{P}}(K_d), t) = A_d(t) + d \cdot t A_{d-1}(t)$ . Moreover, the exponential generating function of  $A_d(t)$  is  $\sum_{d \geq 0} A_d(t) \frac{x^d}{d!} = \frac{(1-t)e^{xt(1-t)}}{1-te^{xt(1-t)}}$ . Hence,  $\sum_{d \geq 0} h(\widehat{\mathcal{P}}(K_d), t) \frac{x^d}{d!} = (1+xt) \frac{(1-t)e^{xt(1-t)}}{1-te^{xt(1-t)}}$ .

Finally, we give two theorems which provide a recursive algorithm for computing  $h(\widehat{\mathcal{P}}, t)$ .

**Definition 30** Let  $G$  be a graph with vertex set  $[d]$  and  $\mathcal{P}$  defined as usual in terms of  $G$ . Let  $S \subset [d]$ . Then  $\mathcal{P}_S := \{\mathbf{x} = (x_1, x_2, \dots, x_d) \in \mathcal{P} \mid x_i = 0 \text{ if } i \in S\}$ .

That is,  $\mathcal{P}_S$  is isomorphic to  $\mathcal{P}(G_S)$ , where  $G_S$  is the subgraph of  $G$  induced by  $[d] \setminus S$ . We also define  $\widehat{\mathcal{P}}_S$  similarly, i.e.  $\widehat{\mathcal{P}}_S := \widehat{\mathcal{P}} \cap \mathcal{P}_S$ .

**Theorem 31** Let  $G$  be a graph with vertex set  $[d]$  and no isolated vertices. Then  $h(\widehat{\mathcal{P}}, t) = \sum_S h(\widehat{\mathcal{P}}_S, t)(t-1)^{\#S-1}$ , where  $S$  ranges over all nonempty subsets of  $[d]$ . ■

**Theorem 32** Let  $G$  be a graph with  $d-1$  vertices and denote by  $G'$  the graph obtained by adding to  $G$  an isolated vertex. Suppose  $h(\widehat{\mathcal{P}}(G), t) = a_0 + a_1t + \dots + a_{d-1}t^d$ . Then  $h(\widehat{\mathcal{P}}(G'), t) = b_0 + b_1t + \dots + b_dt^d$ , where  $b_k = (2k+1)a_k + (2d-2k+1)a_{k-1}$ . ■

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