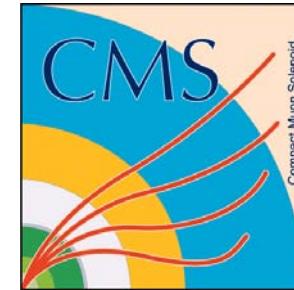


Karlsruhe Institute of Technology



Top Quark Studies with CMS

Frank-Peter Schilling (KIT)
on behalf of the CMS collaboration

2010 Hadron
2011 Collider
2012 Physics
Symposium

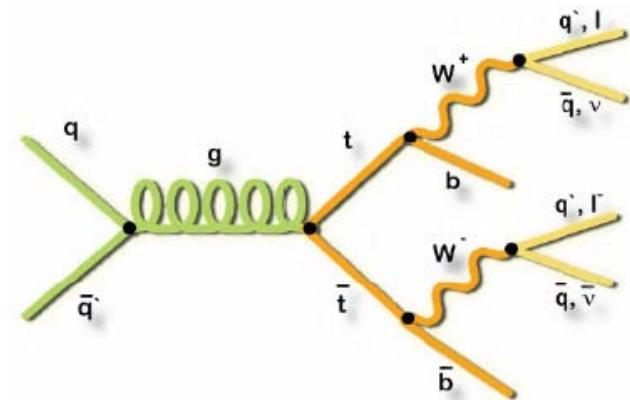
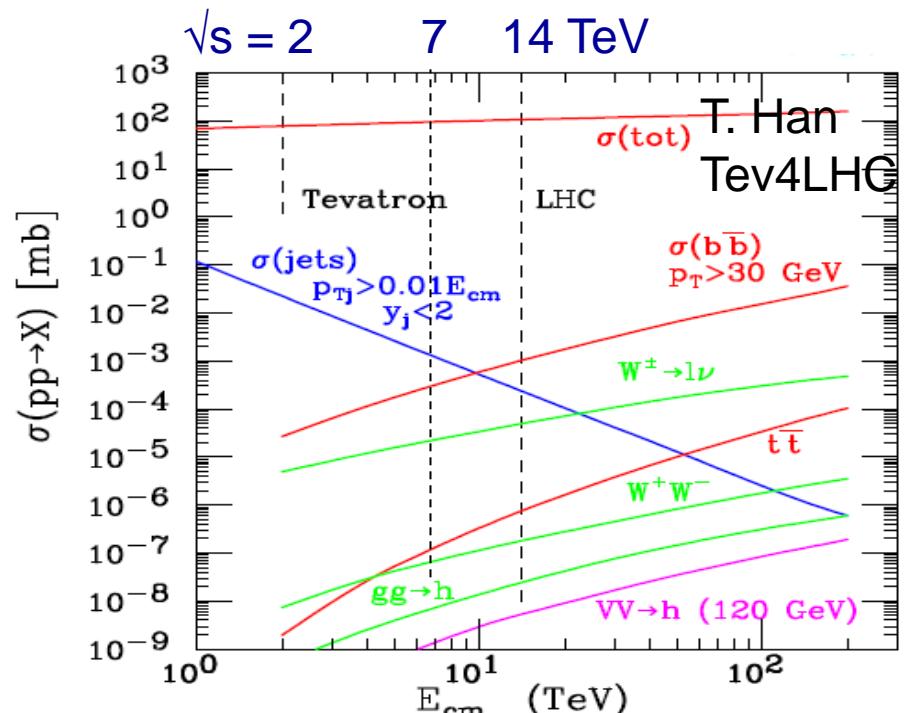
August 23-27, 2010
University of Toronto,
Toronto, Canada



The TOP

Top Physics at the LHC

- Precise SM measurements
 - Heaviest known elementary particle (large Yukawa coupling)
 - Constraints on Higgs mass
 - Unique window on bare quarks due to short lifetime
- A window to new physics
 - New physics might couple preferentially to top
 - New particles may decay to top
 - Non-standard couplings
- In many new physics scenarios (e.g. SUSY) top is dominant BG
- Great tool to calibrate detector
 - Jet energy scale, b-jet eff.



Top pair production and decay

- Pair production

- $\sim 85\%$ quark-induced at Tevatron

q

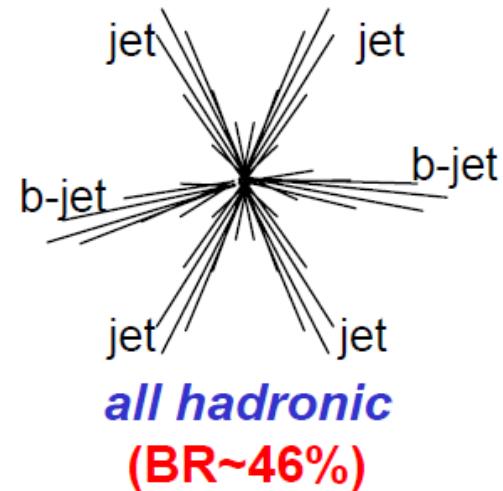
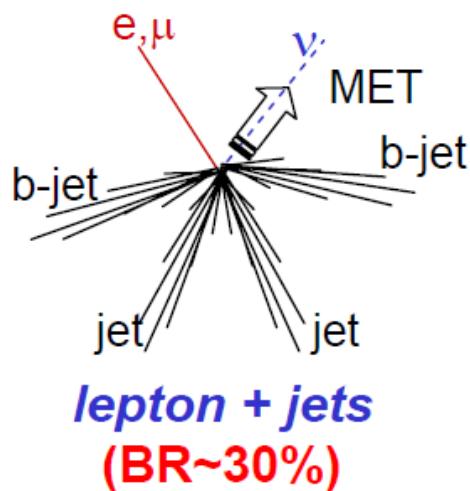
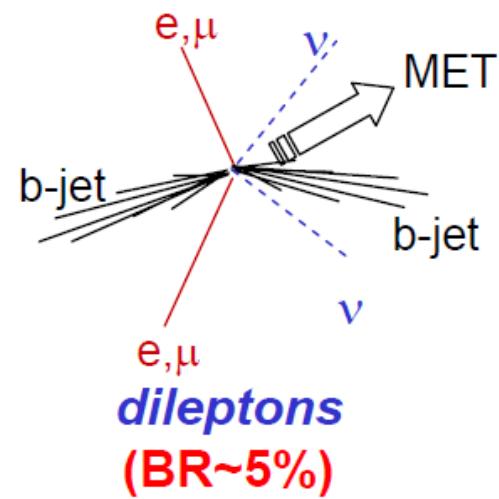
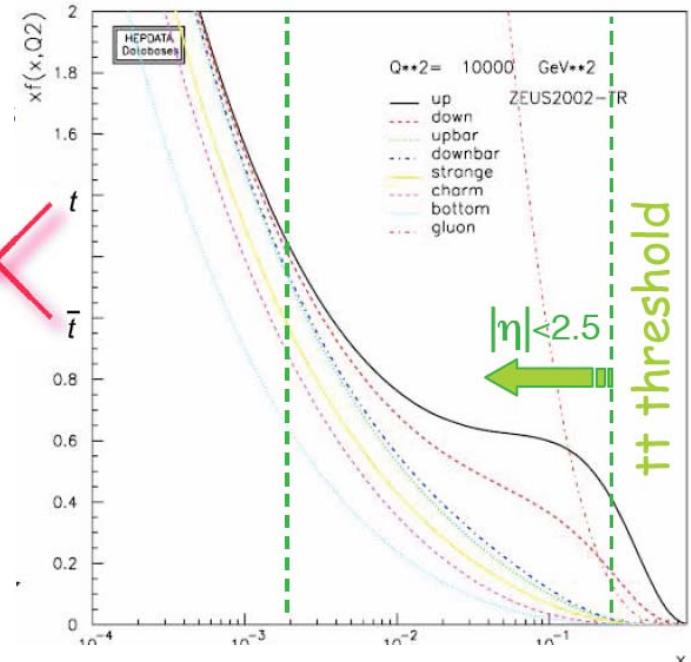
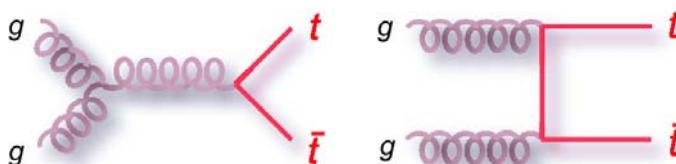
\bar{q}

g

t

\bar{t}

- $\sim 85\%$ gluon-induced at LHC

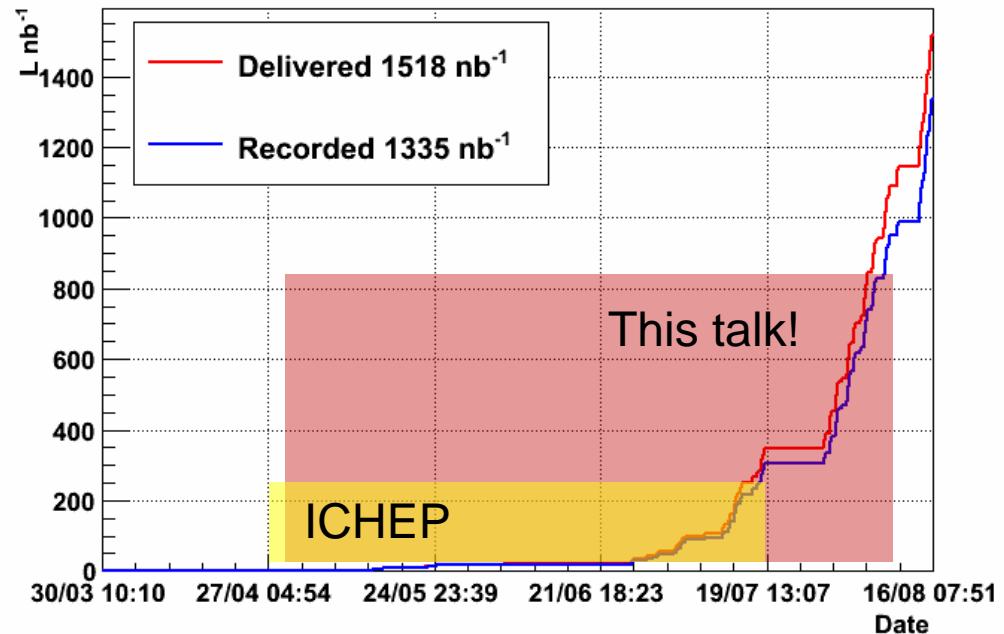


Data and MC samples

- Dataset

- $L = 840\text{nb}^{-1}$
- Data taken up to 11th August, certified on 13th August
- Update of results of PAS TOP-10-004 and shown at ICHEP (up to 250nb-1)

CMS: Integrated Luminosity 2010

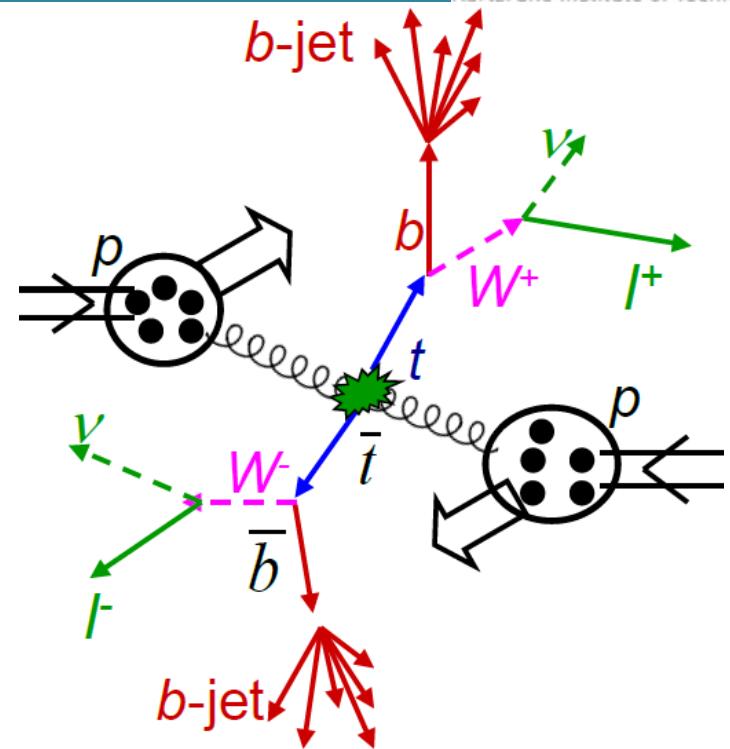


- Monte Carlo samples

- ttbar+jets, W/Z+jets: Madgraph, matching ME with parton showers
 - V+bb/c(c)+jets matrix elements included
- Cross sections normalized to inclusive (N)NLO cross sections
 - $\sigma(\text{ttbar}, \text{NLO})=157\text{pb}$ (MCFM), $m_{\text{top}}=172.5\text{ GeV}$
 - $\sigma(W \rightarrow l\nu, \text{NNLO})=31314\text{pb}$ (FEWZ)
- QCD: PYTHIA (filtered at gen level)

Dilepton: Event selection

- Single lepton triggers
 - mu+X ($P_T > 9 \text{ GeV}$), e+X ($P_T > 15 \text{ GeV}$)
- Two isolated, opposite charge leptons (ee,mumu,emu)
 - $P_T > 20 \text{ GeV}$, $|\eta| < 2.5(\mu), 2.4(e)$
 - Rel. isolation < 0.15
$$\text{Rel.isol.} = \frac{\sum_{R<0.3} p_T^{\text{track}} + \sum_{R<0.3} p_T^{\text{ECAL}} + \sum_{R<0.3} p_T^{\text{HCAL}}}{p_T(\text{lepton})}$$
- Z-boson veto (ee,mumu)
 - $|M(\ell\ell) - M(Z)| > 15 \text{ GeV}$
- Missing Et (MET)
 - Using calorimeter & tracking
 - MET $> 30(20) \text{ GeV}$ in ee,mumu (emu)



- Jets
 - Anti-Kt ($R=0.5$)
 - Using calorimeter & tracking
 - $P_T > 30 \text{ GeV}$, $|\eta| < 2.4$
 - Expect ≥ 2 jets for ttbar

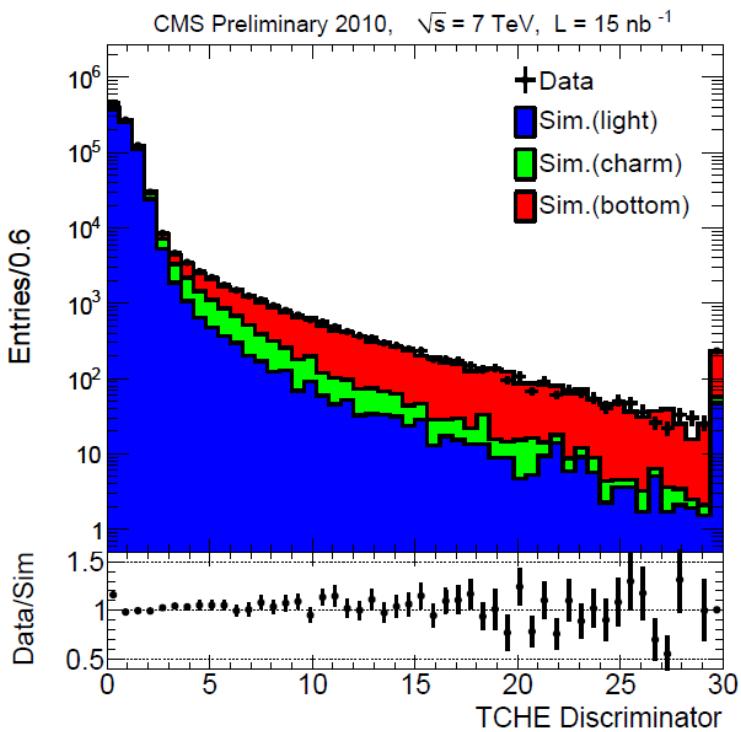
A word on b-tagging

b-tagging: crucial ingredient for top physics

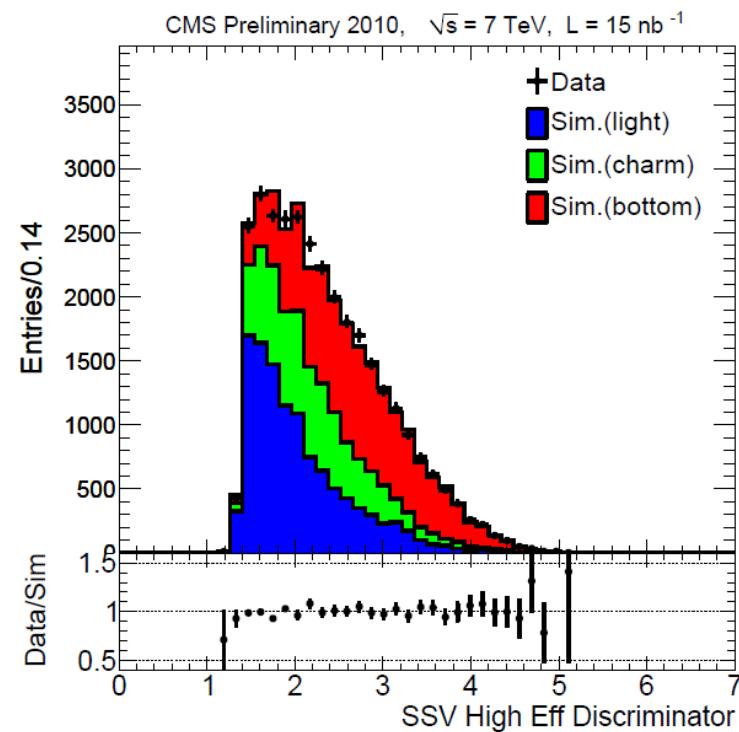
Use simple and robust tagging algorithms for early data

CMS PAS BTV-10-001

- “Track counting” tagger
 - Uses IP significance of n-th track as discriminator



- Secondary vertex tagger
 - Uses discriminator based on 3D flight distance



Scale factors Data/MC for efficiency / mistag rate close to one!

• Relaxed selection:

L=0.84pb⁻¹

- No Z-veto, no MET, N(jets) requirements

Process	ee	$\mu\mu$	$e\mu$
Dilepton $t\bar{t}$	$0.84 \pm 0.13 \pm 0.16$	$0.94 \pm 0.14 \pm 0.17$	$1.75 \pm 0.26 \pm 0.33$
VV	0.23 ± 0.12	0.25 ± 0.13	0.35 ± 0.18
Single top - tW	0.06 ± 0.03	0.07 ± 0.03	0.13 ± 0.07
Drell-Yan $\tau\tau$	0.6 ± 0.3	0.7 ± 0.4	1.3 ± 0.7
Drell-Yan $ee, \mu\mu$	298 ± 74	343 ± 86	0.1 ± 0.1
Non-dilepton $t\bar{t}$	0.02 ± 0.01	0.004 ± 0.002	0.03 ± 0.02
$W+$ jets	0.3 ± 0.1	0.01 ± 0.01	0.3 ± 0.2
QCD multijets	0^{+10}_{-0}	0.00^{+10}_{-0}	0^{+10}_{-0}
Total simulated	300 ± 74	345 ± 86	4.0 ± 0.8
Data	305	294	6

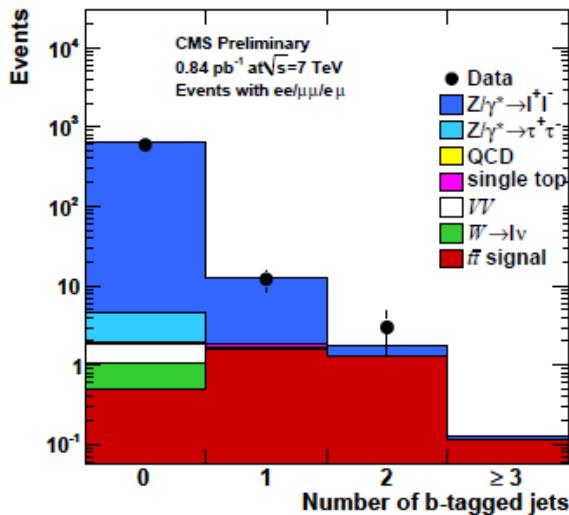
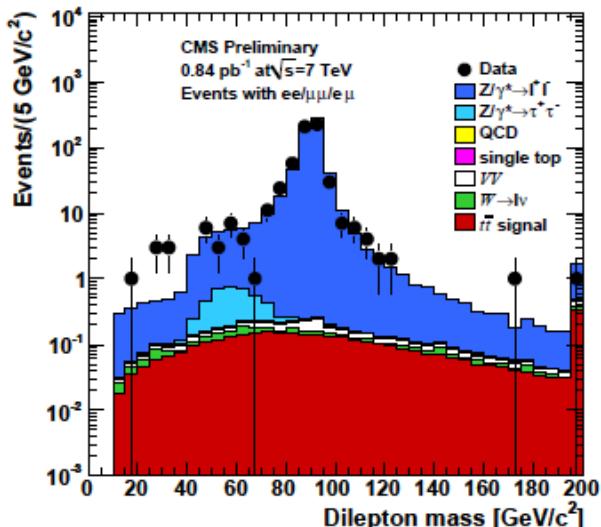
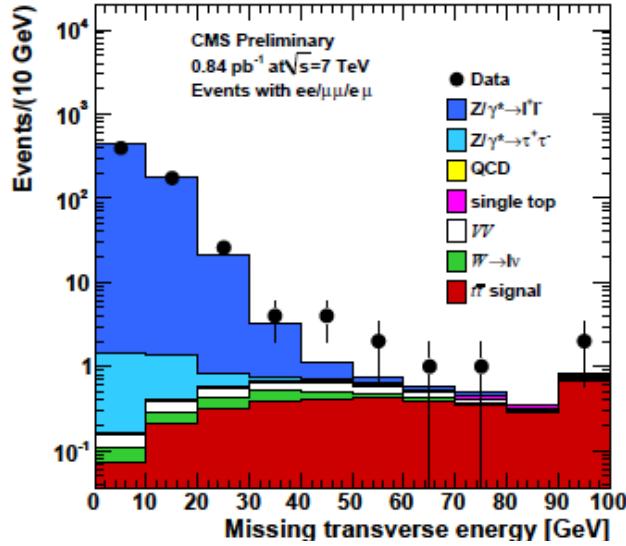
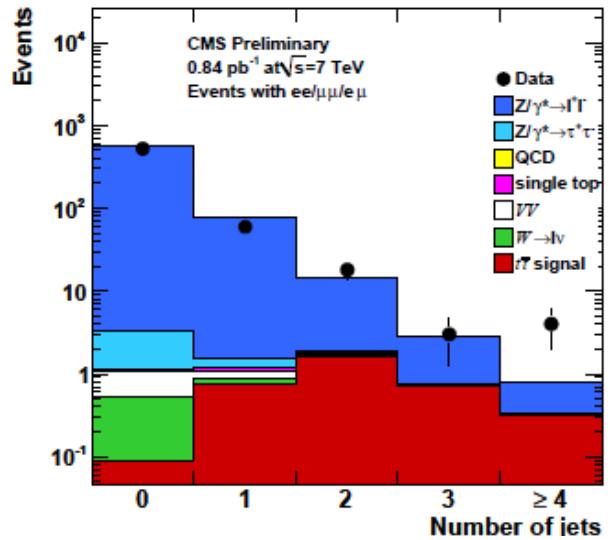
Systematics:

- Signal and DY: 15% acc*eff (conservative), 15% theory, 11% lumi
- Other backgrounds: 50% (conservative)

Good agreement observed!

Data vs MC (relaxed selection)

- No Z-veto, no MET, N(jets) requirements



$L=0.84\text{pb}^{-1}$

Rightmost bins contain overflow

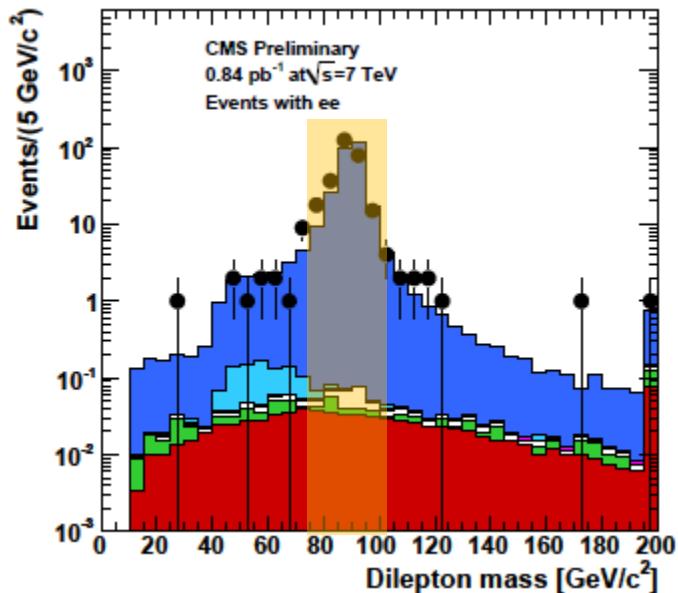
Data driven Drell-Yan Background

Estimate Drell-Yan background outside Z-veto region from events inside:

ratio outside/inside from DY simulation

$$N_{\text{out}}^{ee,\text{data}} = \overbrace{R_{\text{out/in}}^{ee}}^{\text{ratio outside/inside from DY simulation}} \left(N_{\text{in}}^{ee,\text{data}} - \underbrace{0.5 N_{\text{in}}^{e\mu,\text{data}} k_{ee}}_{\text{correction for non-DY contribution in Z-veto region from } e\mu \text{ sample}} \right)$$

correction for non-DY contribution in Z-veto region from $e\mu$ sample



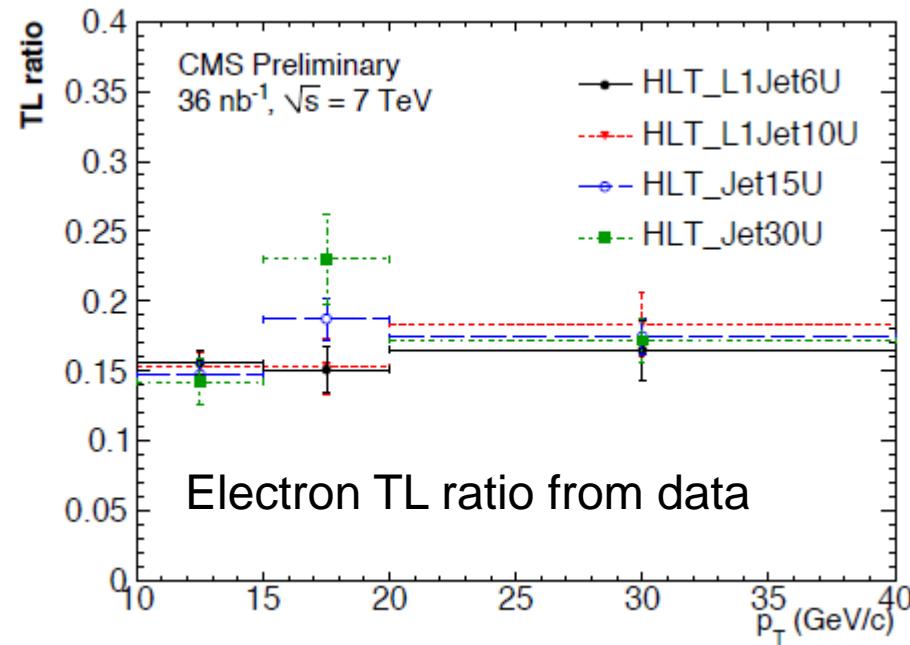
Sample	ID, ISO, Z-veto	with $N_{\text{jet}} \geq 1$	with $N_{\text{jet}} \geq 2$ and $\cancel{E}_T > 30 \text{ GeV}$
ee			
DY in simulation	26 ± 6	4.2 ± 1.1	0.04 ± 0.01
DY estimate in data	$26 \pm 1.6 \pm 13$	$3.6 \pm 0.6 \pm 1.8$	$0.4 \pm 0.2 \pm 0.2$
$\mu\mu$			
DY in simulation	31 ± 8	5.0 ± 1.2	0.07 ± 0.02
DY estimate in data	$27 \pm 1.6 \pm 13$	$4.3 \pm 0.7 \pm 2.1$	$0.21^{+0.23}_{-0.21} \pm 0.11$

Agreement between simulation and data-driven estimate

- “Fake” lepton backgrounds:
 - W+jets : one fake lepton
 - QCD: two fake leptons
- Determine a ‘tight-to-lose ratio’ (TL) in jet-triggered sample
- Apply to events where one (both) leptons pass loose, but fail tight lepton selection
- Weighed sum yields background estimate
- 50% systematics per “fake” lepton

$$N_{nn}^{QCD} = \sum_{i,j} \frac{TL_i TL_j}{(1 - TL_i)(1 - TL_j)} N_{nn}^{ij}$$

$$N_{nn}^{W\text{ Jets}} = \sum_{i,j} \frac{TL_i}{(1 - TL_i)} N_{nn}^{ij}$$



Event yields: tighter selection

- Z-veto, $N(\text{jets}) >= 1$

$L = 0.84 \text{ pb}^{-1}$

Sample	ee	$\mu\mu$	$e\mu$
Dilepton $t\bar{t}$	$0.63 \pm 0.09 \pm 0.12$	$0.70 \pm 0.11 \pm 0.13$	$1.70 \pm 0.26 \pm 0.32$
VV	0.05 ± 0.03	0.05 ± 0.03	0.12 ± 0.06
Single top - tW	0.04 ± 0.02	0.05 ± 0.03	0.12 ± 0.06
Drell-Yan $\tau\tau$	0.08 ± 0.04	0.13 ± 0.07	0.19 ± 0.09
Drell-Yan $ee, \mu\mu$	4.2 ± 1.1	5.0 ± 1.2	0.04 ± 0.02
Non-dilepton $t\bar{t}$	0.02 ± 0.01	0.003 ± 0.002	0.03 ± 0.02
$W+\text{jets}$	0.06 ± 0.03	$0.000 \pm^{+0.002}_{-0.000}$	0.07 ± 0.04
QCD multijets	$0 \pm^{+10}_{-0}$	$0 \pm^{+10}_{-0}$	$0 \pm^{+10}_{-0}$
Total simulated	5.1 ± 1.1	5.9 ± 1.2	2.3 ± 0.4
QCD data-driven	$0.0 \pm^{+0.1}_{-0.0} \pm^{+0.1}_{-0.0}$	$0.0 \pm^{+0.2}_{-0.0} \pm^{+0.2}_{-0.0}$	$0.0 \pm^{+0.1}_{-0.0} \pm^{+0.1}_{-0.0}$
$W+\text{jets}$ data-driven	$0.2 \pm^{+0.2}_{-0.0} \pm^{+0.1}_{-0.0}$	$0.0 \pm^{+0.4}_{-0.0} \pm^{+0.2}_{-0.0}$	$0.0 \pm^{+0.4}_{-0.0} \pm^{+0.2}_{-0.0}$
Drell-Yan data-driven	$3.6 \pm 0.6 \pm 1.8$	$4.3 \pm 0.7 \pm 2.1$	N/A
Data	6	6	2

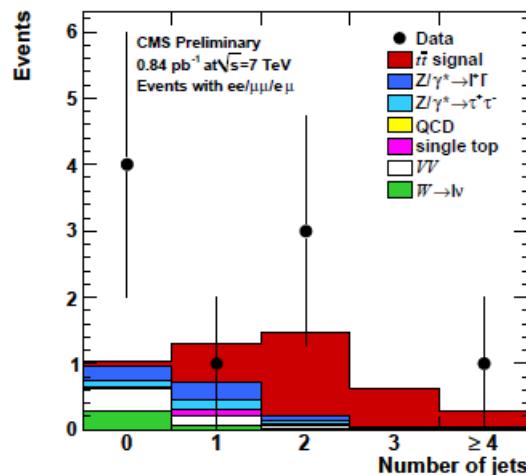
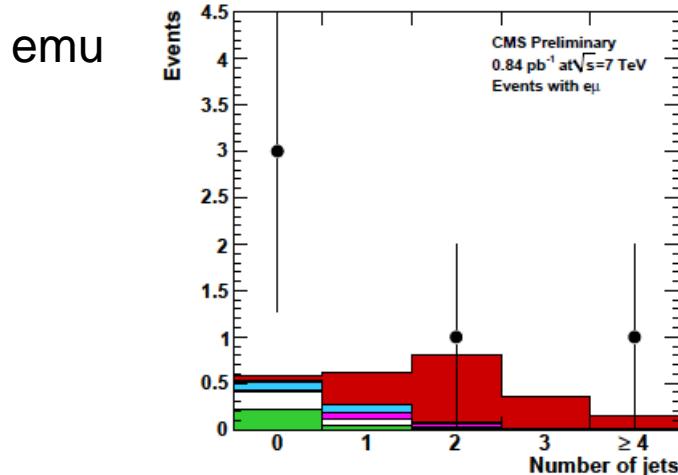
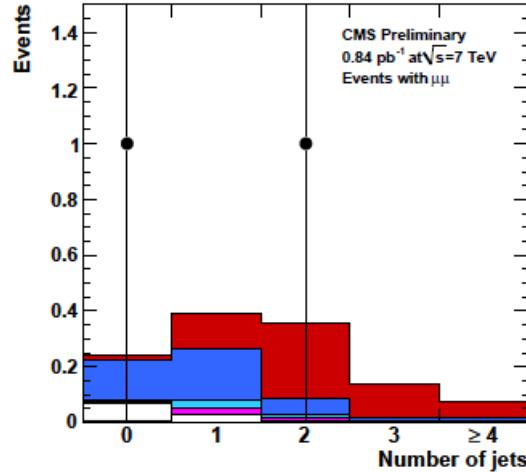
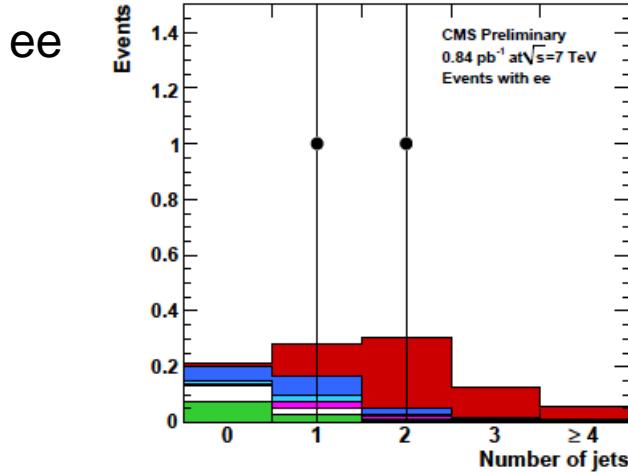
Systematics:

- Signal and DY: 15% acc*eff (conservative), 15% theory, 11% lumi
- Other backgrounds: 50% (conservative)
- Data-driven backgrounds: DY,Wjets: 50%; QCD: 100%

Good agreement observed!

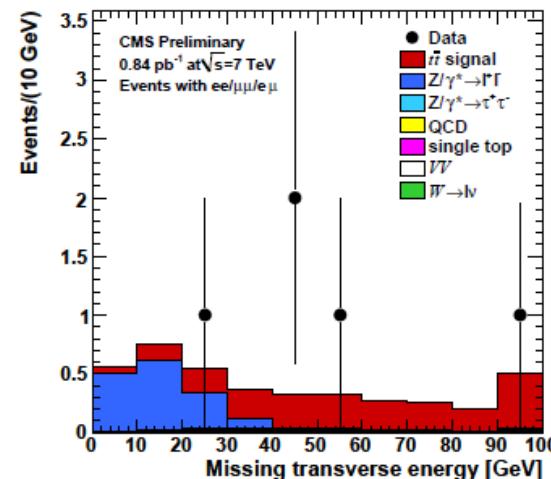
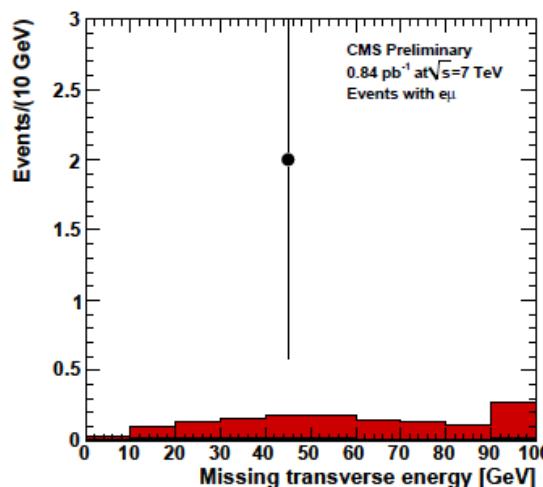
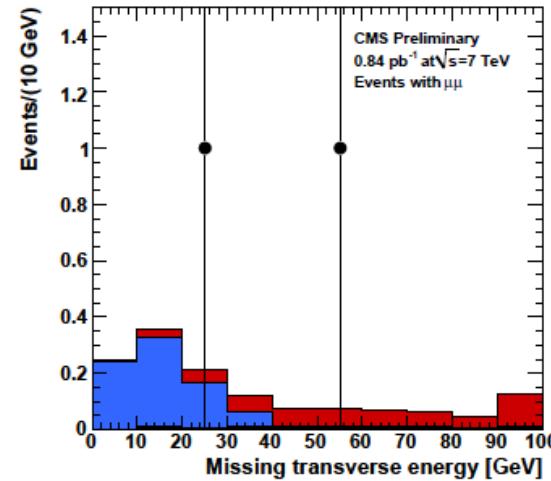
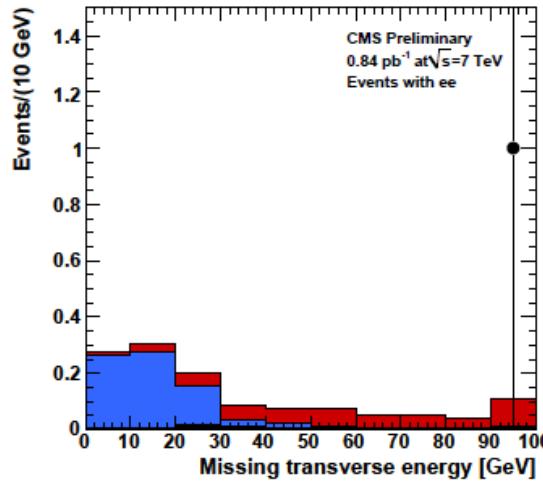
Tightening the selection

- $N(\text{jets})$, with Z-Veto, MET requirement applied



Tightening the selection

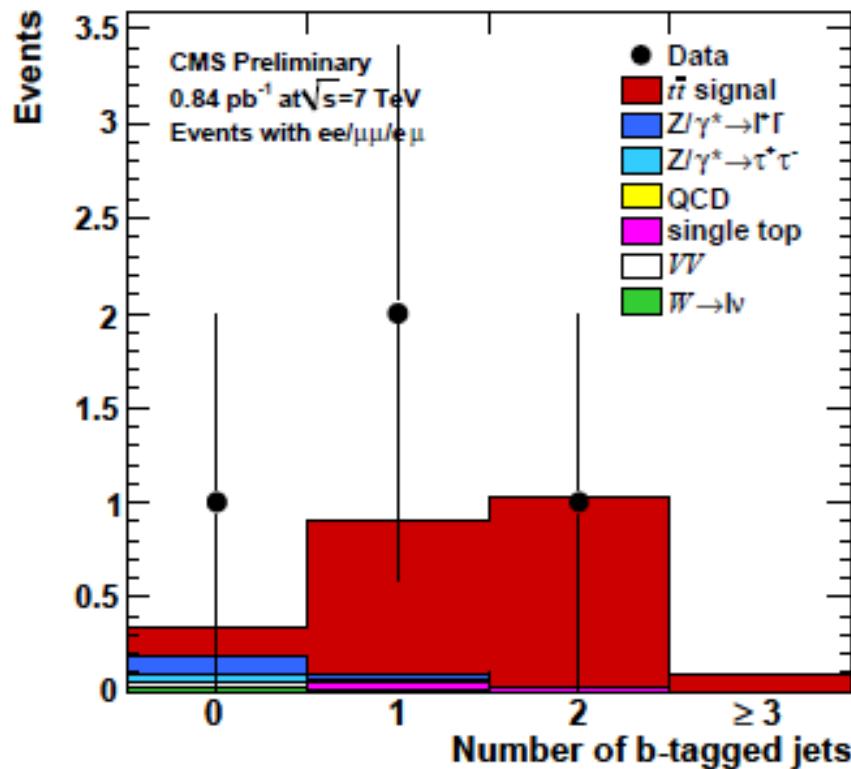
- MET, with Z-veto, $N(\text{jets}) \geq 2$ requirements applied



Dilepton: full selection

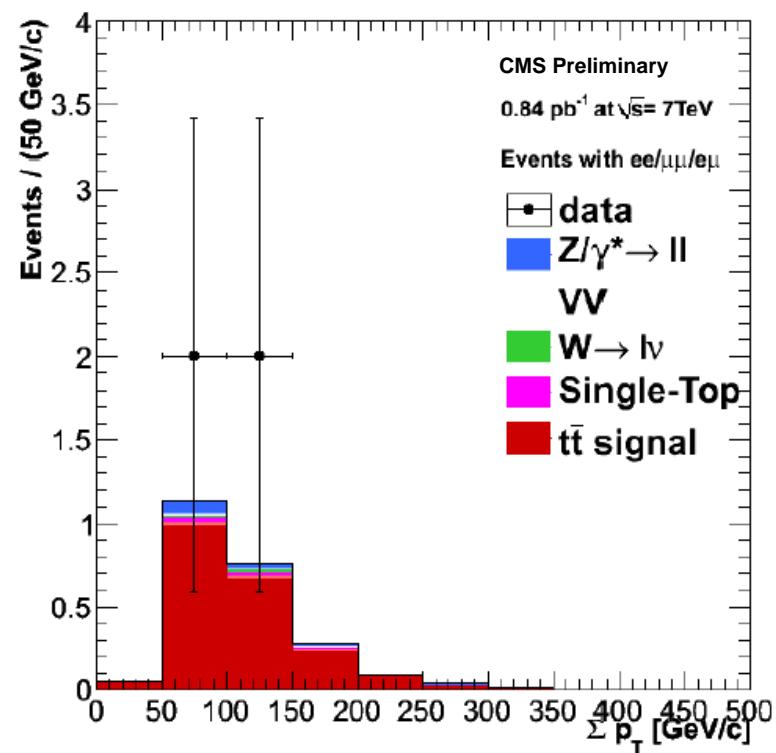
- All cuts applied: Z-Veto, MET, $N(\text{jets}) \geq 2$

$L=0.84\text{pb}^{-1}$



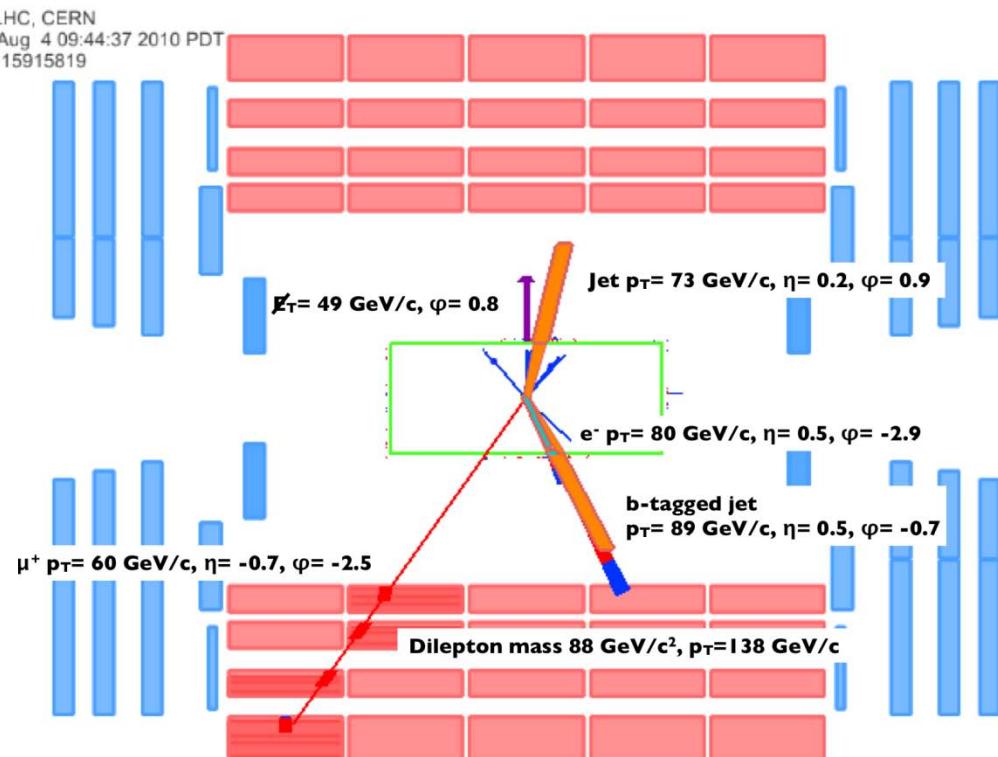
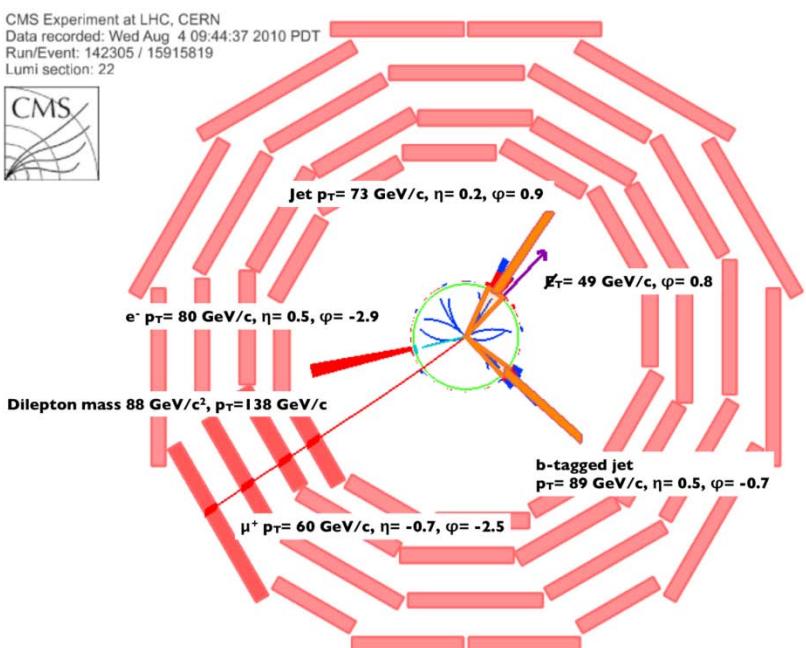
Track-counting tagger

4 clean ttbar candidates observed
~2.1 signal events expected



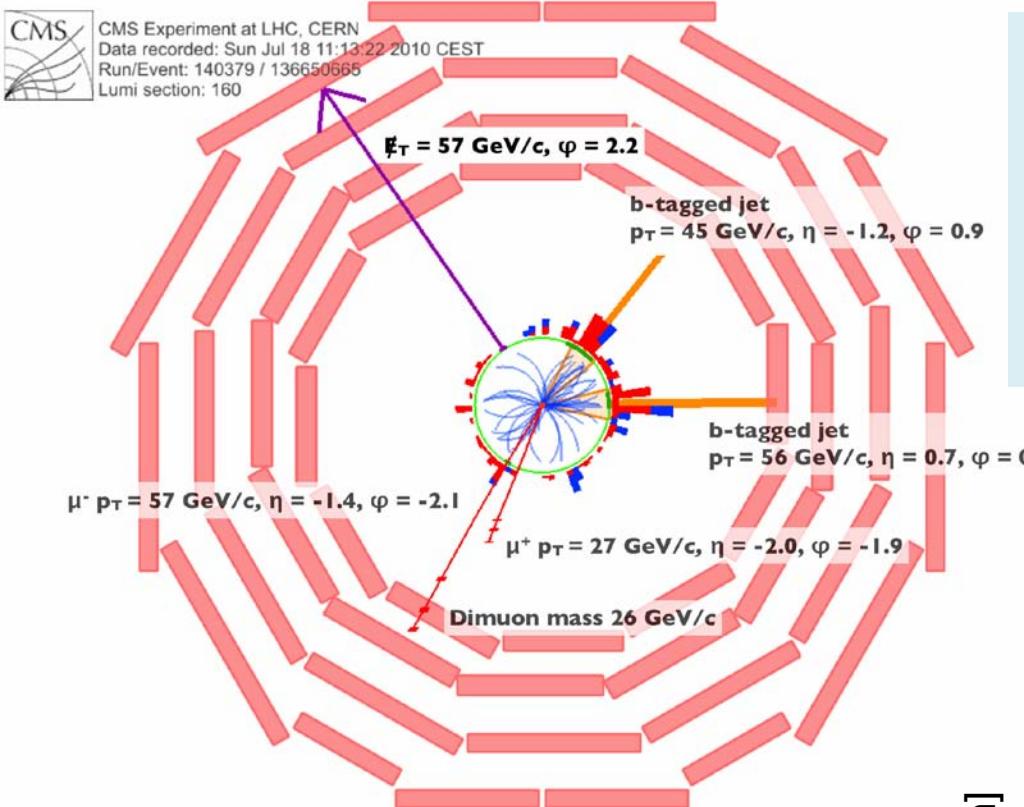
A beautiful e-mu candidate

Muon $p_T=60 \text{ GeV}$
 Electron $p_T=80 \text{ GeV}$
 2 jets, 1 b-tag
 MET=49 GeV



Mass hypothesis consistent with
 being a ttbar event

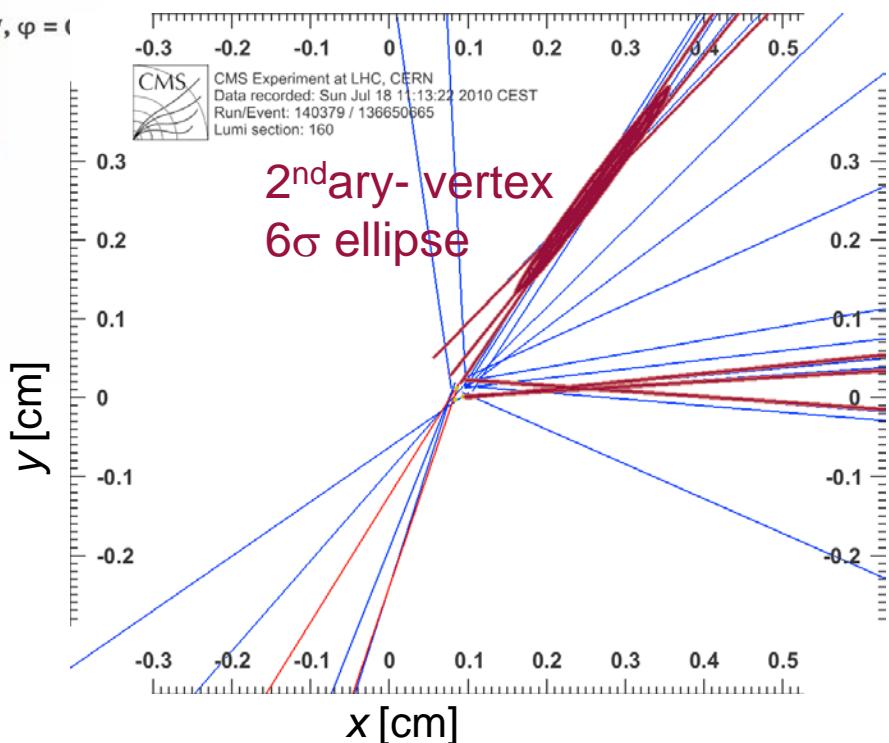
Dimuon event with 2 b-tags



Preliminarily reconstr. mass in the range 160–220 GeV/c²

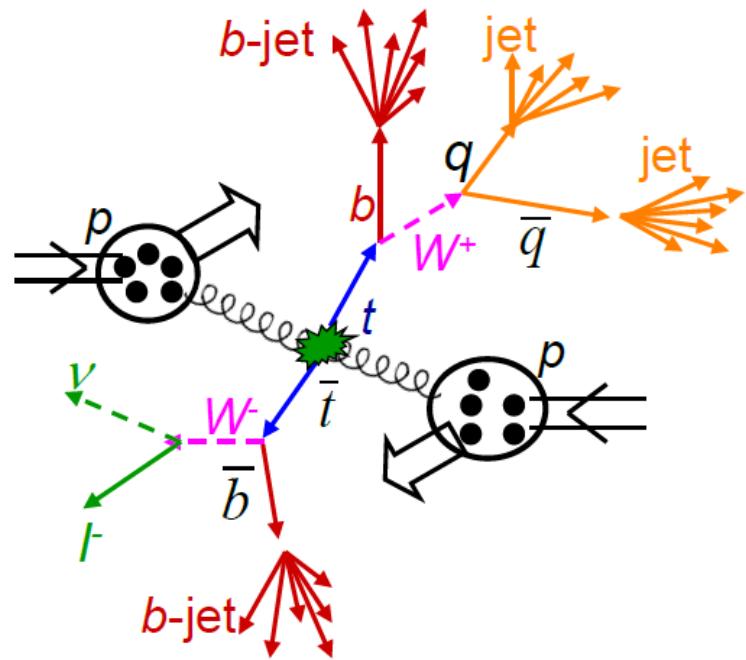
Event passes full selection:

2 muons with opposite charge
2 jets, both w/ good/clear *b*-tags
(and secondary vertices!)
significant MET (>50 GeV)



Lepton+jets: Event selection

- Considered modes:
 - e+jets
 - mu+jets
- Single lepton triggers
- Exactly one isolated lepton
 - Muons: $\text{Pt} > 20 \text{ GeV}$, $|\text{eta}| < 2.1$
 - Rel. Isolation < 0.05
 - Electrons: $\text{Pt} > 30 \text{ GeV}$, $|\text{eta}| < 2.4$
 - Rel. Isolation, conversion veto
- Missing Et (MET)
 - Not used in event selection, but to reconstruct transverse Mass

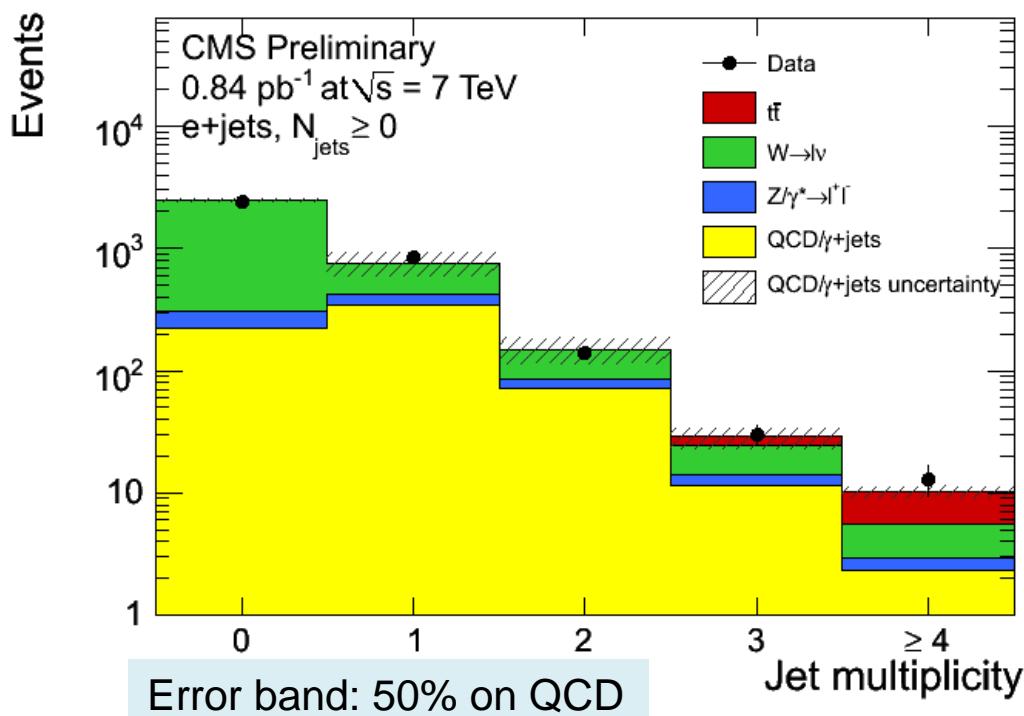


- Jets
 - Anti-Kt ($R=0.5$)
 - $\text{Pt} > 30 \text{ GeV}$, $|\text{eta}| < 2.4$
 - Expect ≥ 4 jets for ttbar
 - No b-tagging in baseline selection

Electron+Jets

No b-tagging, no MET cut applied

Jet multiplicity	ttbar	single top	W+jets	Z+jets	QCD	Sum MC	Data
$N_{\text{jets}} \geq 0$	12 ± 2	3.4 ± 0.4	2619 ± 317	180 ± 21	658 ± 73	3472 ± 326	3434
$N_{\text{jets}} \geq 1$	12 ± 2	3.1 ± 0.4	419 ± 77	92 ± 11	436 ± 62	962 ± 99	1022
$N_{\text{jets}} \geq 2$	11 ± 2	1.9 ± 0.3	74 ± 18	19 ± 5	85 ± 22	191 ± 29	183
$N_{\text{jets}} \geq 3$	8.9 ± 1.8	0.70 ± 0.14	13 ± 4	3.3 ± 1.0	14 ± 5	40 ± 7	43
$N_{\text{jets}} \geq 4$	4.8 ± 1.2	0.21 ± 0.06	2.6 ± 1.1	0.60 ± 0.23	2.3 ± 1.1	11 ± 2	13



MC Uncertainties (table):

- Jet energy scale (known to 10%)
- Luminosity (known to 11%)
- Cross section unc. (scale, PDF)

$L=0.84 \text{ pb}^{-1}$

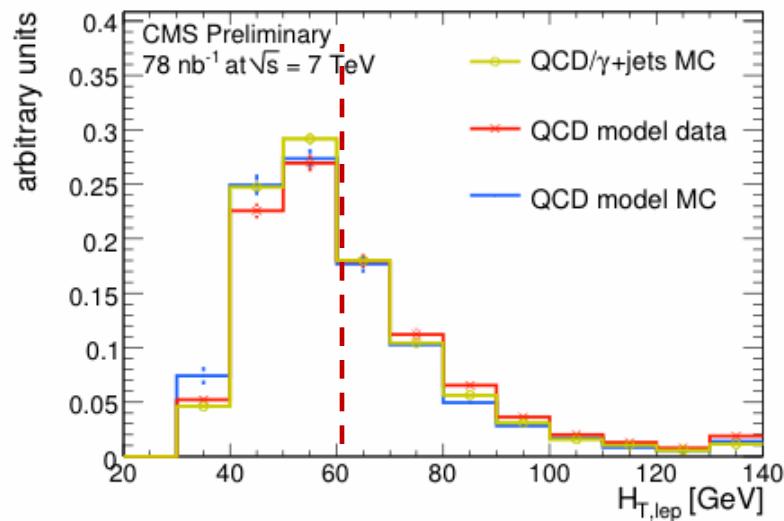
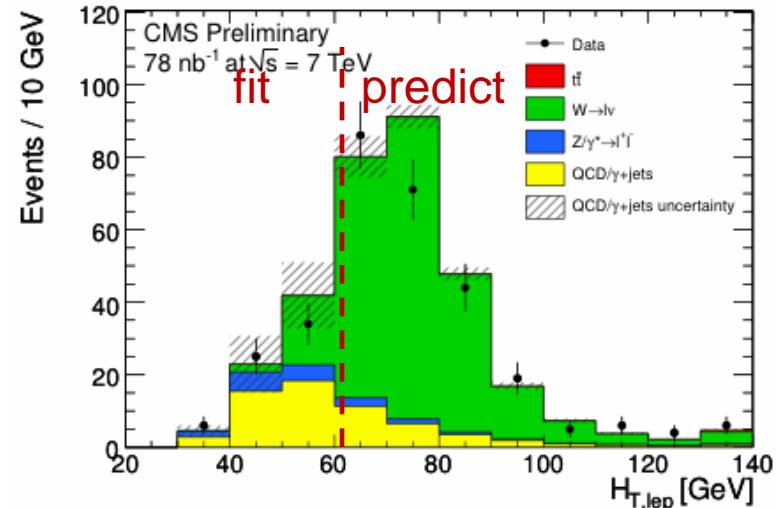
Good agreement observed
 in all Jet bins!

Data-driven QCD estimate: template fit

- First tests of DD methods in low N(jets) bins
- e+jets: Fit sum of templates in low MET or HT(lep) region
 - QCD template from multijet sample (near-miss electrons or large EM jets)
- Predict N(QCD) in signal region

$N(\text{jets}) \geq 0$	QCD MC	QCD estimate
MET>25 GeV	12.2+/-0.2	19+/-7
HT(lep)>60 GeV	26.0+/-0.3	39+/-11

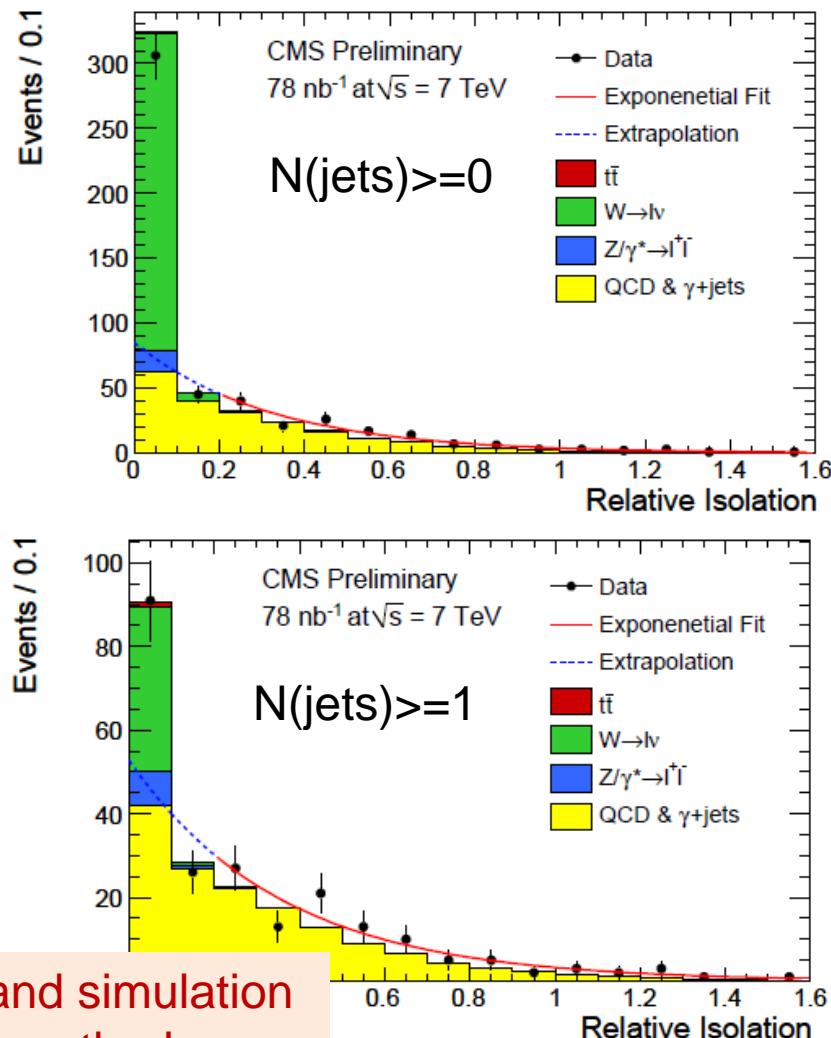
$N(\text{jets}) \geq 1$	QCD MC	QCD estimate
MET>25 GeV	5.3+/-0.1	8+/-5
HT(lep)>60 GeV	12.4+/-0.2	10+/-4



e+jets: consistent with MC
mu+jets: see later

- Fit function to isolation distribution in non-isolated (QCD dominated) region
- Extrapolate to isolated (W -like) region

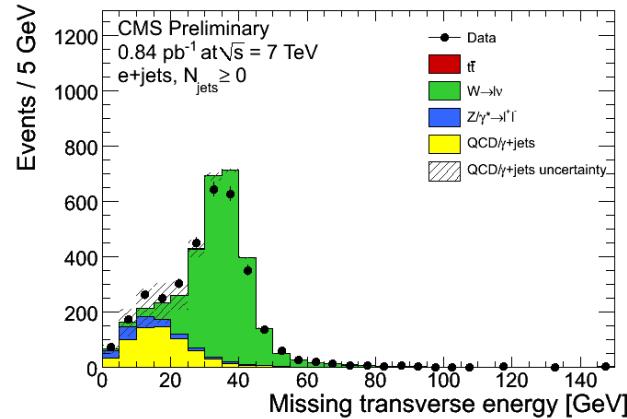
Isolation extrapolation method ($e+jets$)		
Fit Range	$N_{QCD}^{est.} (\geq 0\text{-jet})$	$N_{QCD}^{est.} (\geq 1\text{-jet})$
0.1–1.6	67 ± 9	40 ± 6
0.2–1.6	73 ± 13	46 ± 9
0.3–1.6	71 ± 17	45 ± 12
Average $N_{QCD}^{est.}$	70 ± 35	44 ± 22
Prediction N_{QCD}^{MC}	63 ± 7	42 ± 6



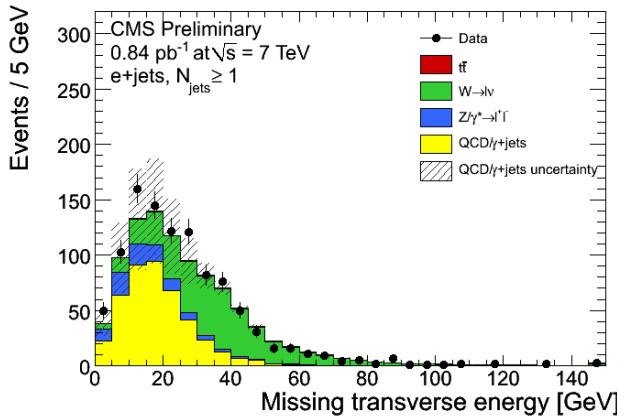
Agreement between data-driven QCD estimate and simulation
 More importantly: result consistent with template method
 (NB no MET,HT cuts applied here!)

e+jets in various jet bins

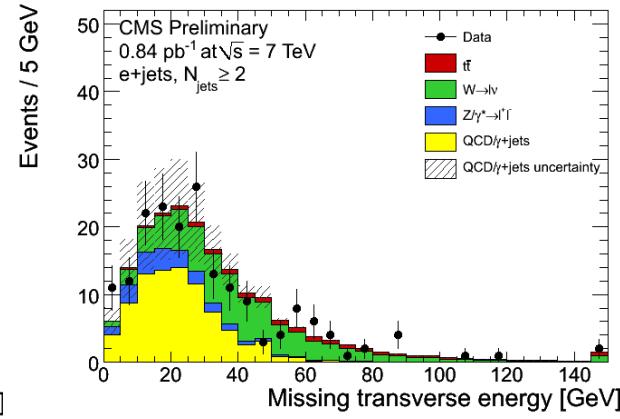
$N(\text{jets}) >= 0$



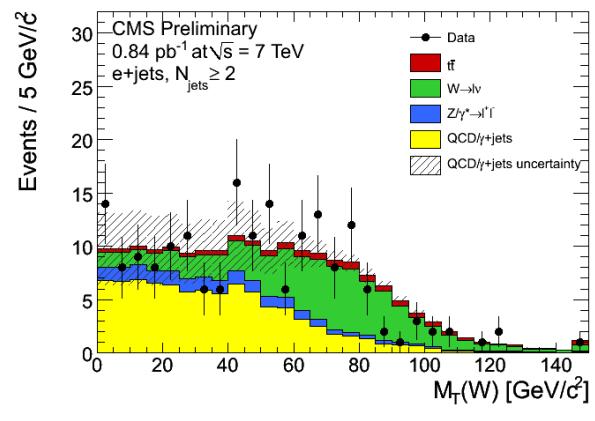
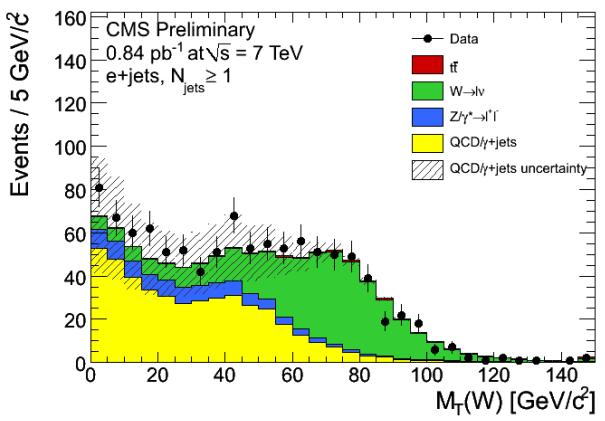
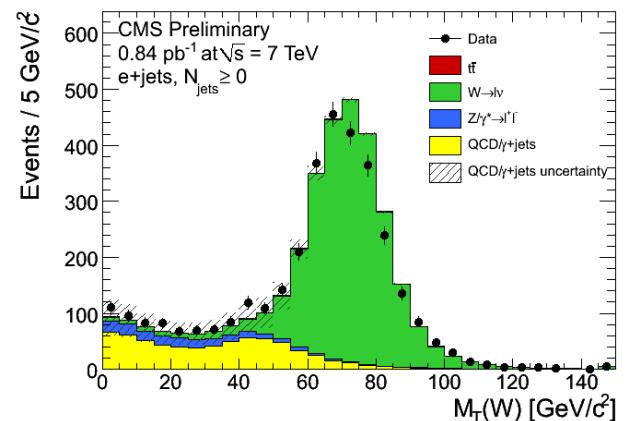
$N(\text{jets}) >= 1$



$N(\text{jets}) >= 2$ $L=0.84\text{pb}^{-1}$



Missing ET (hard to get right, important for any top quark measurement)



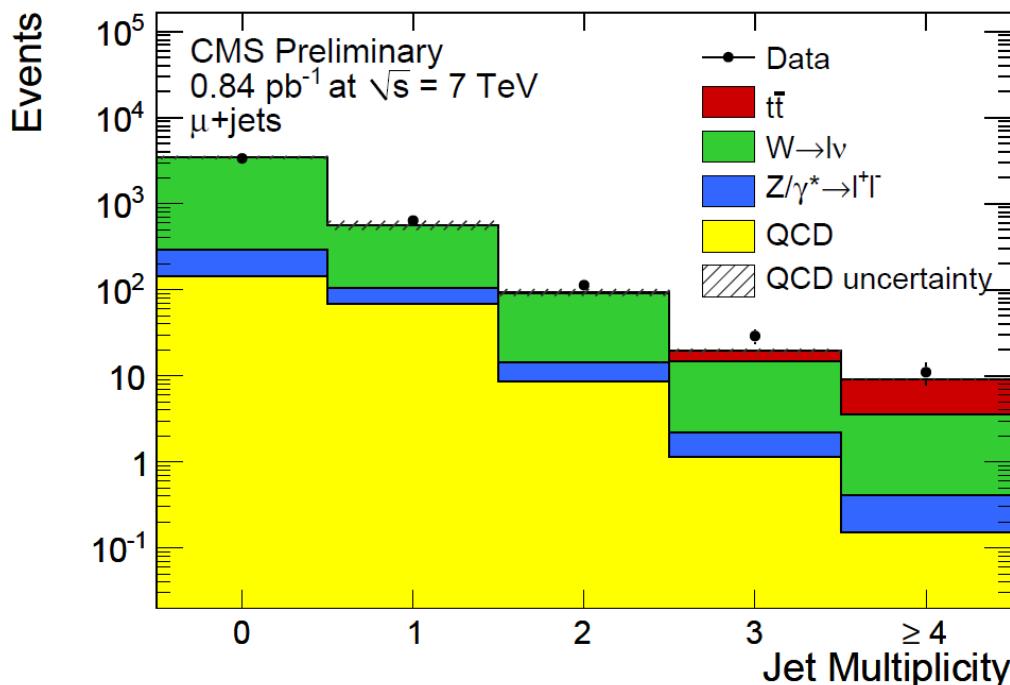
$M_T(W)$: transverse W mass (calculated from lepton+MET)

Good agreement Data-Simulation! QCD background important in e+jets!

Muon+jets

No b-tagging, no MET cut applied

Jet multiplicity	ttbar	single top	W+jets	Z+jets	QCD	Sum MC	Data
$N_{\text{jets}} \geq 0$	13 ± 3	4.2 ± 0.4	3708 ± 448	192 ± 29	223 ± 25	4140 ± 450	4142
$N_{\text{jets}} \geq 1$	13 ± 3	3.9 ± 0.4	552 ± 106	42 ± 12	79 ± 17	690 ± 108	789
$N_{\text{jets}} \geq 2$	13 ± 2	2.3 ± 0.3	92 ± 24	7.1 ± 4.4	10 ± 3	124 ± 25	153
$N_{\text{jets}} \geq 3$	10 ± 2	0.82 ± 0.15	16 ± 5	1.3 ± 0.9	1.3 ± 0.5	29 ± 5	40
$N_{\text{jets}} \geq 4$	5.6 ± 1.4	0.24 ± 0.06	3.1 ± 1.2	0.25 ± 0.18	0.15 ± 0.07	9.3 ± 1.9	11



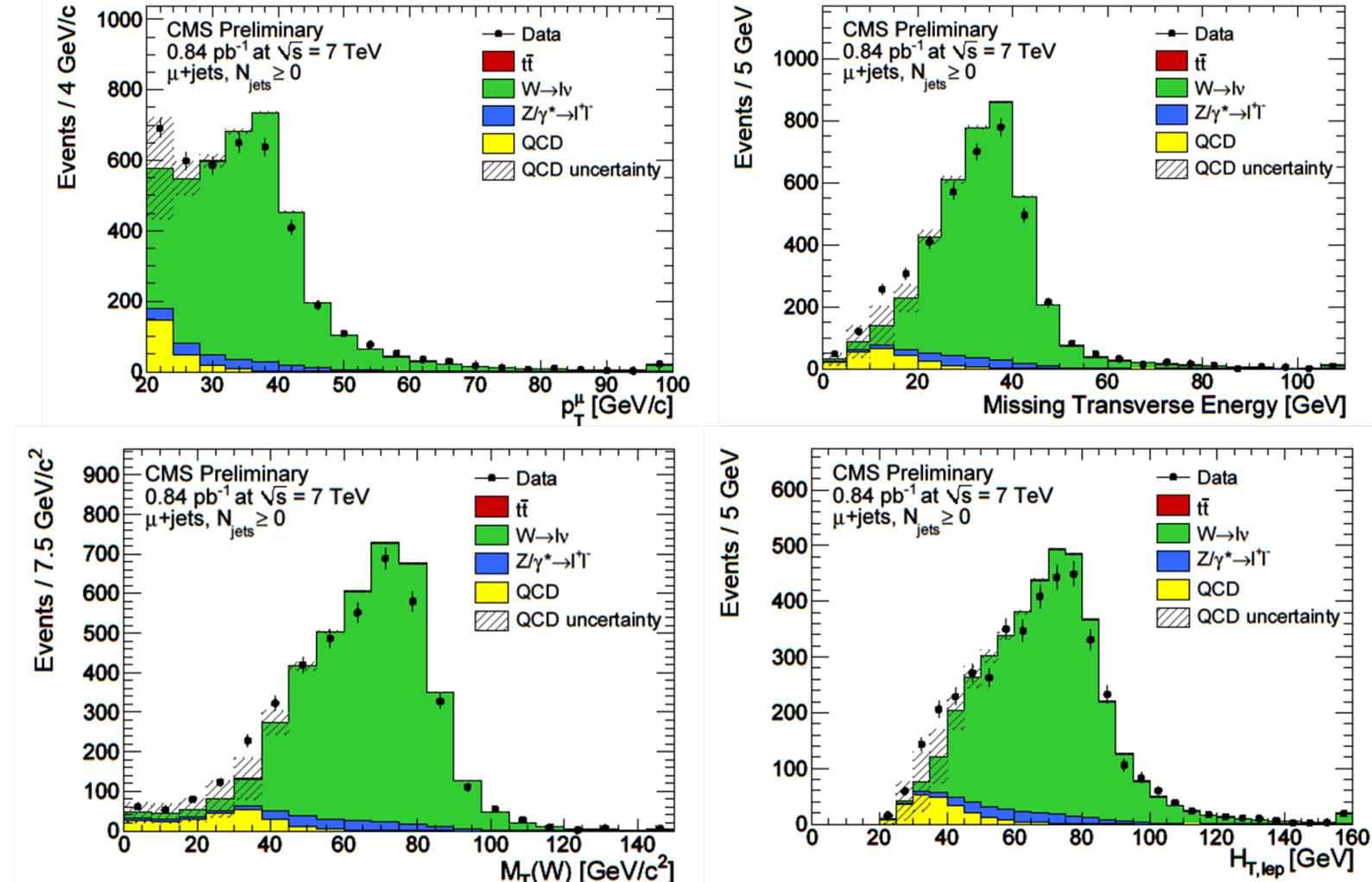
MC Uncertainties (table):

- Jet energy scale (known to 10%)
- Luminosity (known to 11%)
- Cross section unc. (scale, PDF)

L=0.84pb⁻¹

Good agreement observed
in all Jet bins!

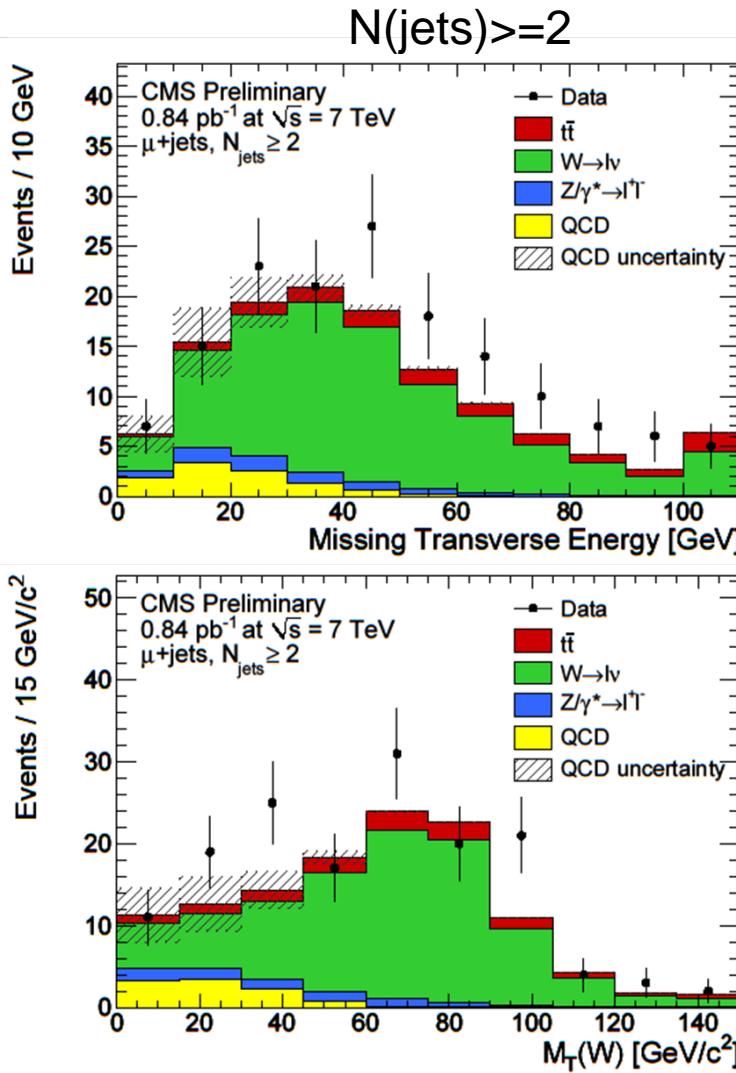
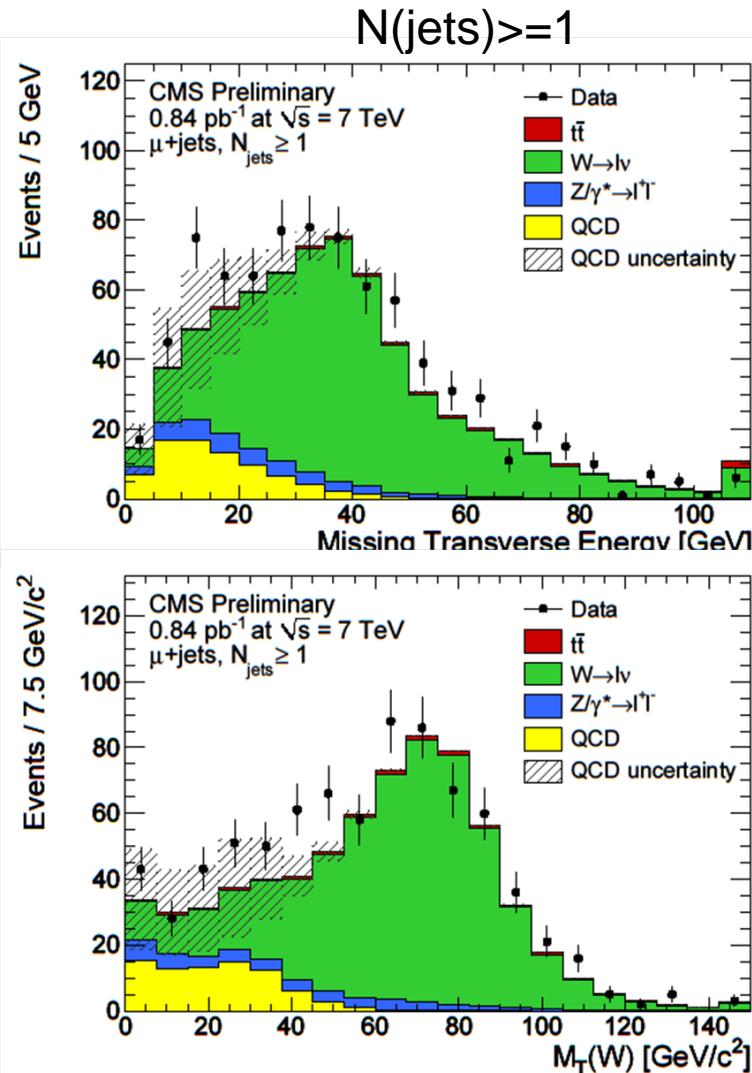
mu+jets, $N(\text{jets}) \geq 0$



Excess observed in data at low $P_T(\mu)$, MET, MT and HT
 Consistent with QCD MC being factor ~ 2 too low

Error band: 100% on QCD
 (from data-driven methods)

mu+jets, $N(\text{jets}) \geq 1, 2$



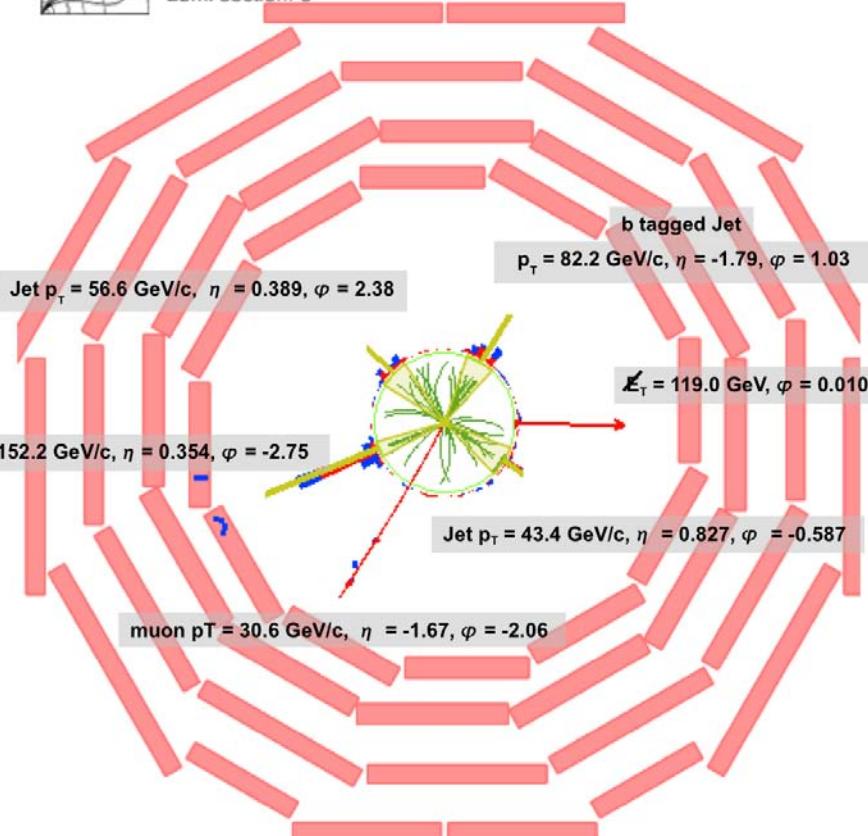
Consistent with QCD too low by factor ~2, indep. of $N(\text{jets})$

Data slightly above MC also where QCD less important
 Note: expect significant JES & theory uncertainties (not incl. in error bars!)

A beautiful mu+jets candidate



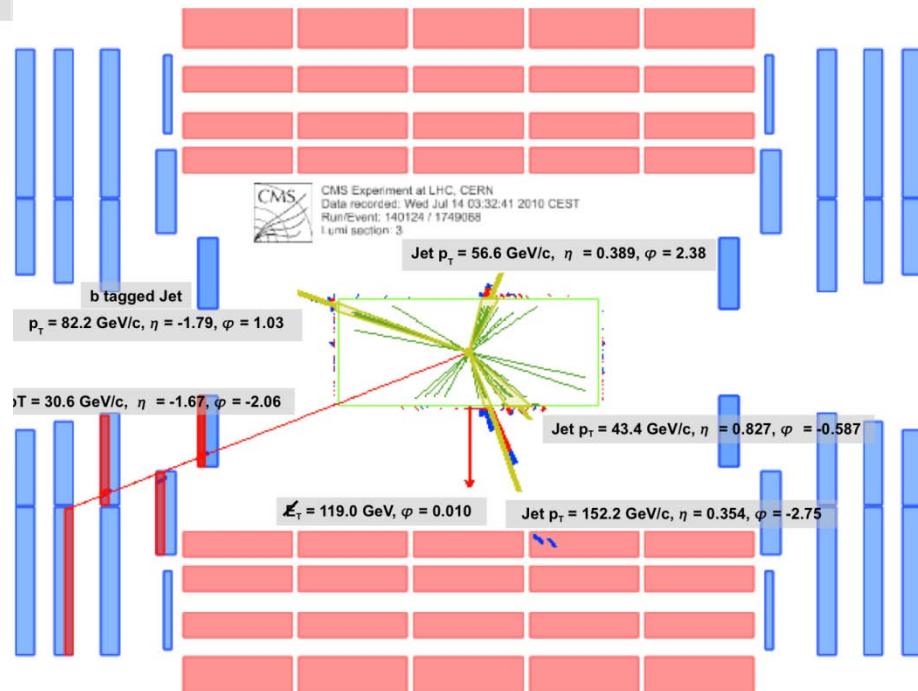
CMS Experiment at LHC, CERN
 Data recorded: Wed Jul 14 03:32:41 2010 CEST
 Run/Event: 140124 / 1749068
 Lumi section: 3



reconst. top mass around $210 \text{ GeV}/c^2$
 masses of 2 untagged jets (3 possible comb.): $104, 105, 151 \text{ GeV}/c^2$

Event passes all cuts
 of full selection

1 high-momentum muon
 significant MET $> 100 \text{ GeV}$
 $m_T(W) = 104 \text{ GeV}/c^2$
 4 high- p_T jets,
 one of which with good b -tag



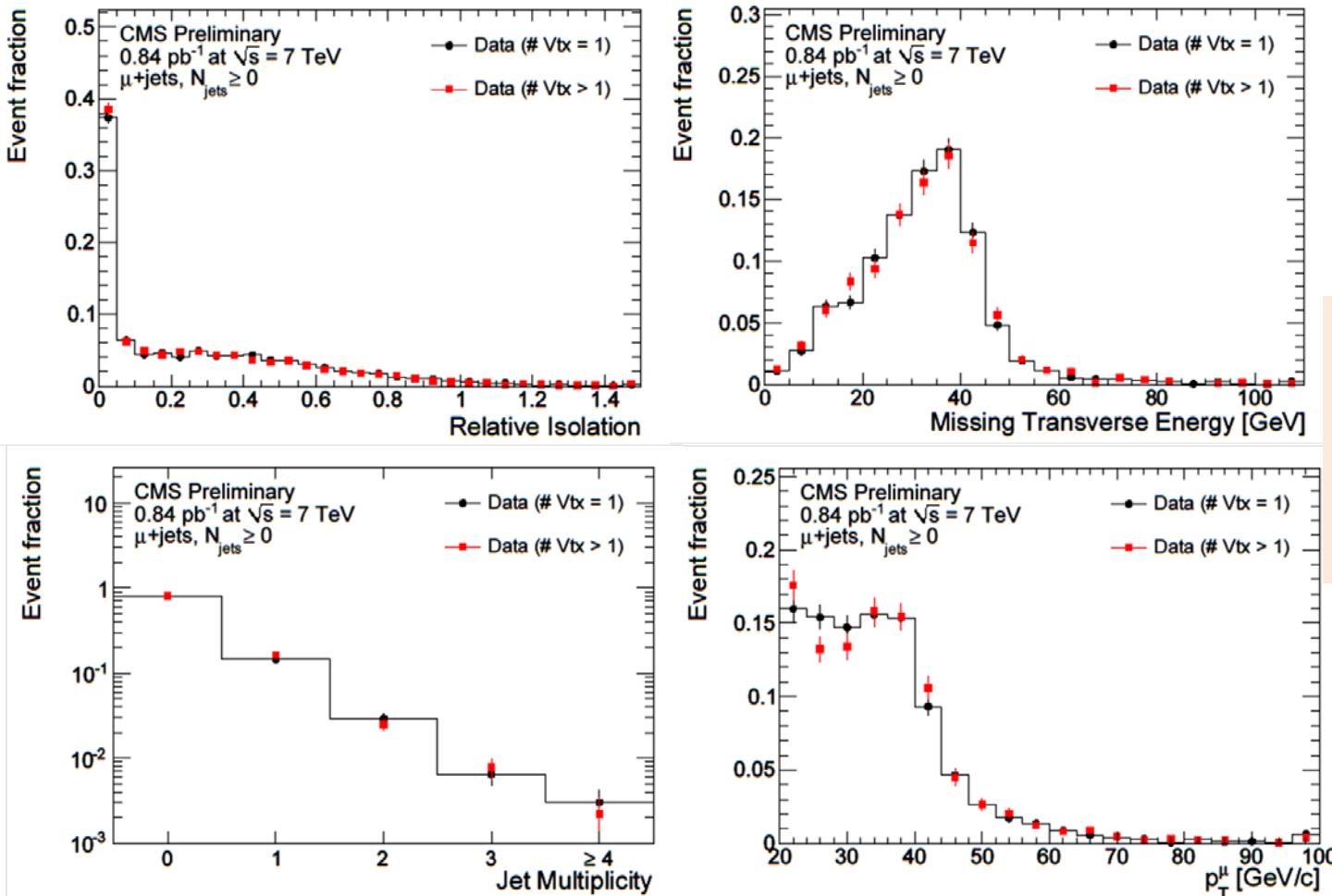
Are we sensitive to Pile-up?

Do have non-negligible pileup in recent data $\langle N \rangle \sim 0.9$

Simulation is without pileup

Compare data with one vertex vs data with ≥ 1 vertex

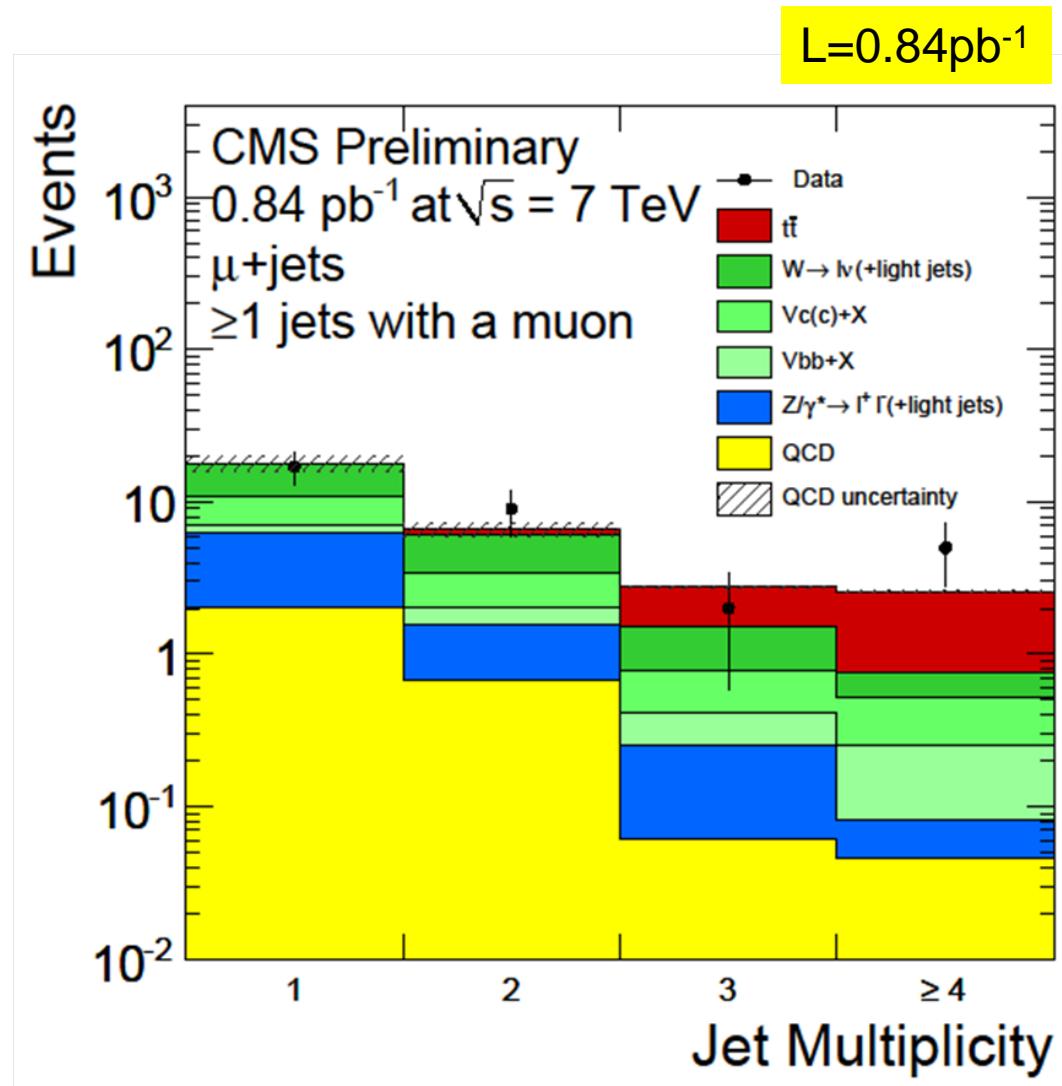
$L = 0.84 \text{ pb}^{-1}$



So far little effect on sensitive distributions (e.g. isolation, MET)

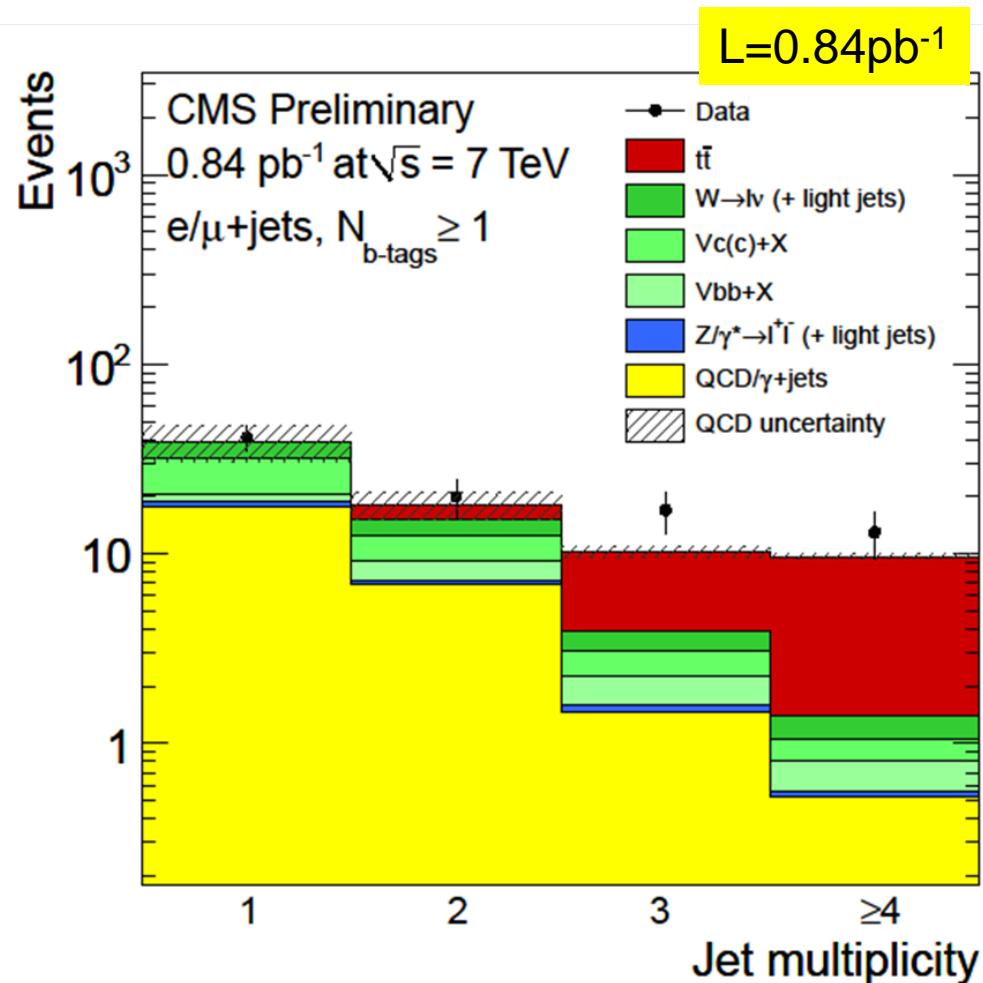
Enriching the b-content

- “Muon-in-jet”
 - mu+jets: request at least one jet associated with a muon within $dR < 0.4$
 - Sensitive to semileptonic b-decays in jets
- For $N(\text{jets}) \geq 3$, observe 7 events, consistent with ttbar signal plus ~ 2.5 background events



e/mu+jets with b-tagging

- e/mu+jets combined
- Secondary vertex tagger
(working point with $\sim 1\%$ fake rate)
- For $N(\text{jets}) \geq 3$:
 - Observed $N(\text{data}) = 30$
 - Predicted background $N(\text{BG,MC}) = 5.3$
 - Predicted signal $N(\text{ttbar,MC}) = 15$

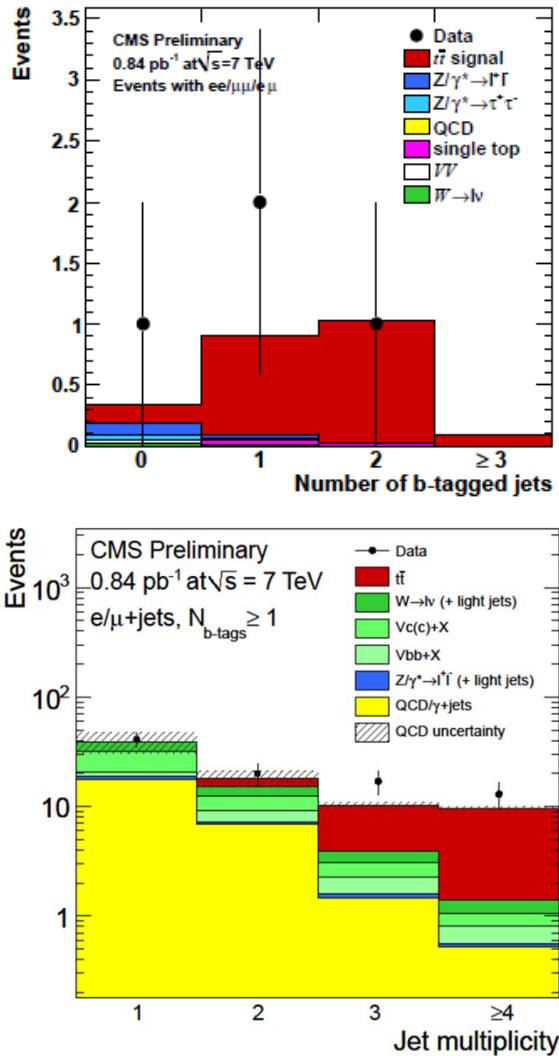


Seeing ttbar events at a rate roughly consistent with NLO cross section, considering experimental (JES, b-tagging) and theoretical (scale, PDF, HF modelling, ...) uncertainties

Conclusions

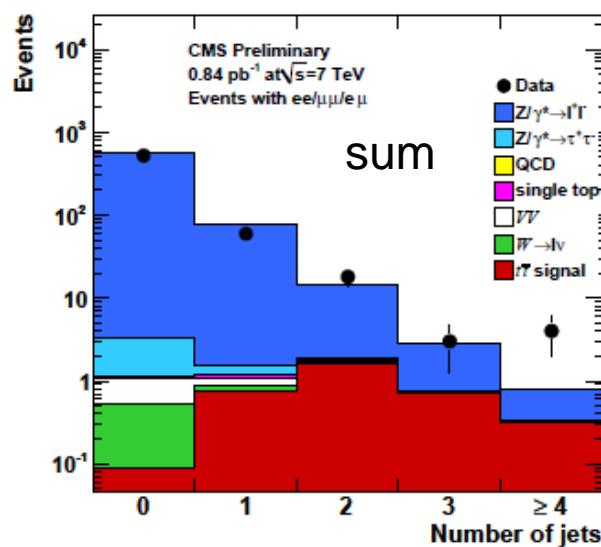
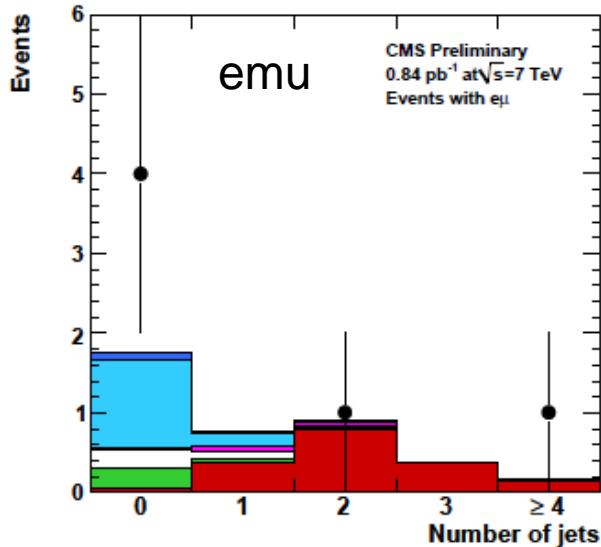
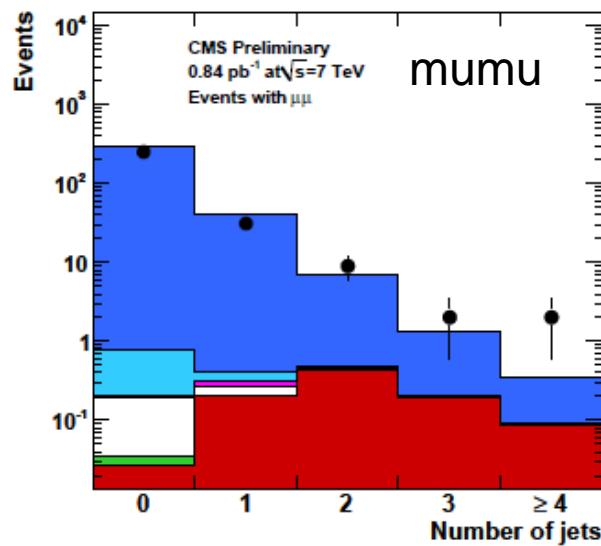
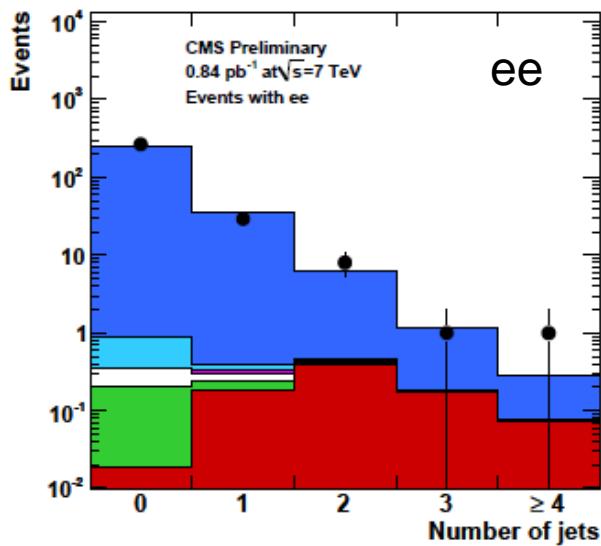
- Analyzed first $L=0.84\text{pb}^{-1}$ of 7TeV data
 - Updated with respect to ICHEP results ($\sim 250\text{nb}^{-1}$)
- Event yields in background dominated regions \sim consistent with expectations, within uncertainties
 - Tests of data-driven background estimation
- Enrich signal by going to high $N(\text{jets})$ and employing b-tagging
- Observed number of candidates approx. consistent with ttbar expectation, on top of small backgrounds
- Strong evidence for excellent performance of CMS detector (jets, MET, leptons, b-tagging)!

Established Top signal at LHC, first cross sections will come soon!

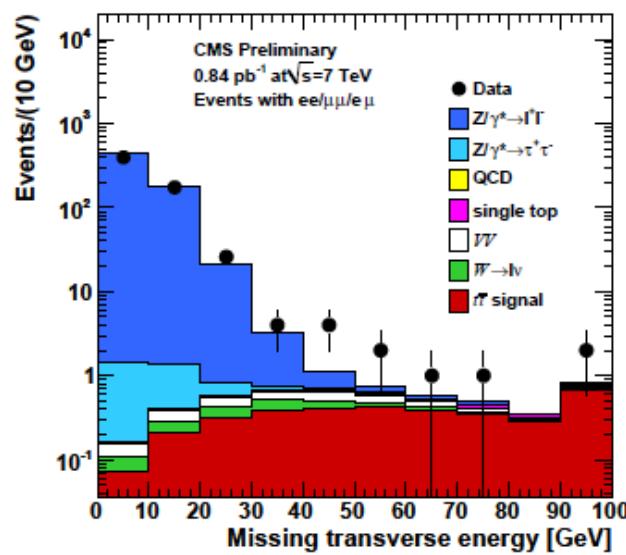
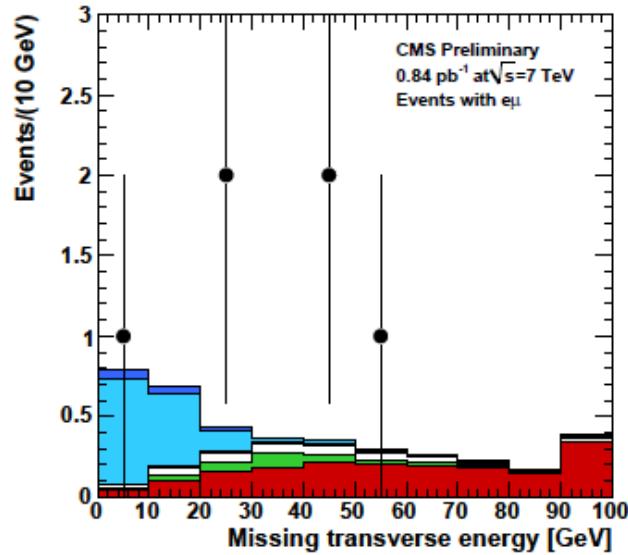
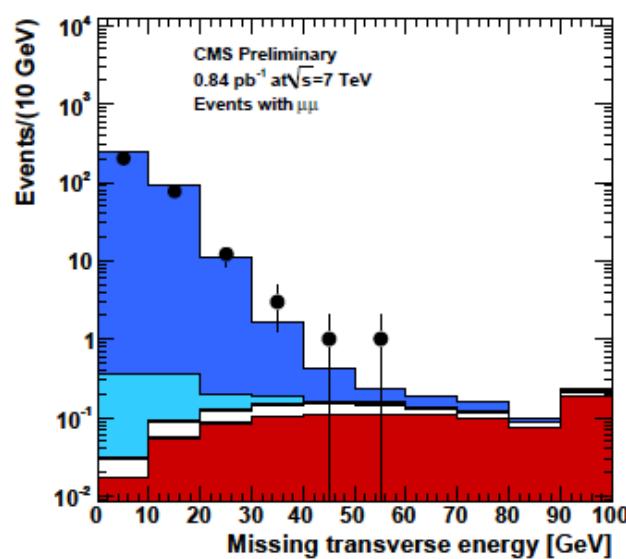
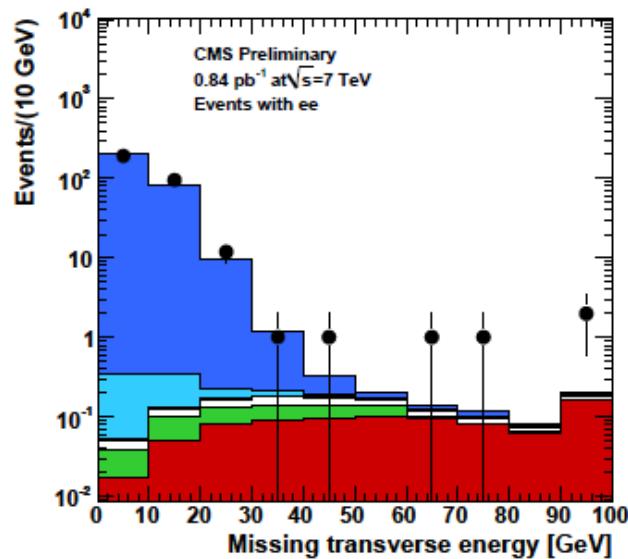


Backup

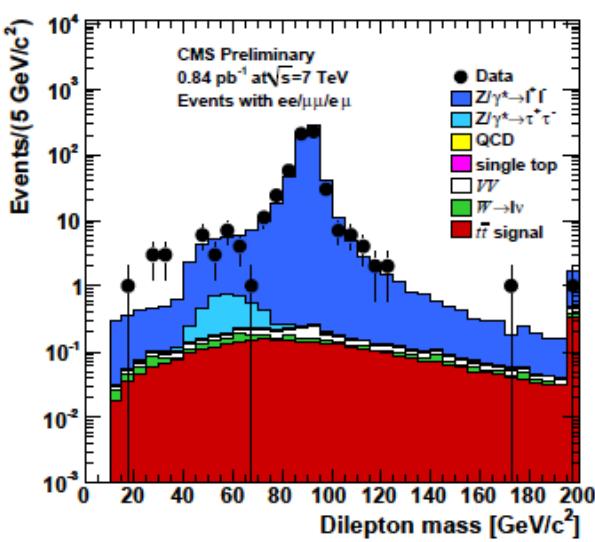
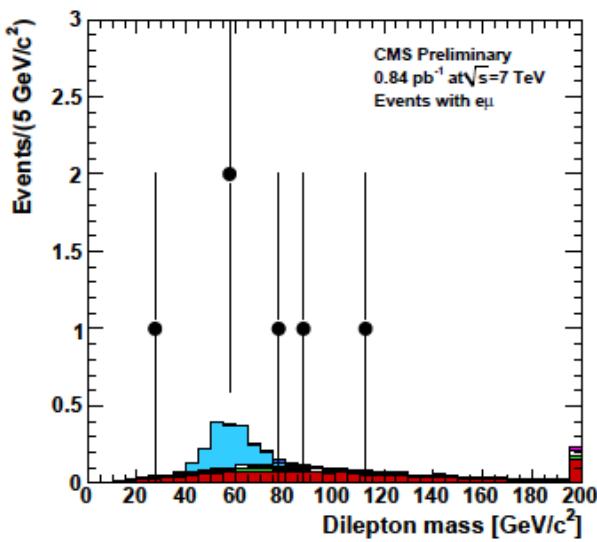
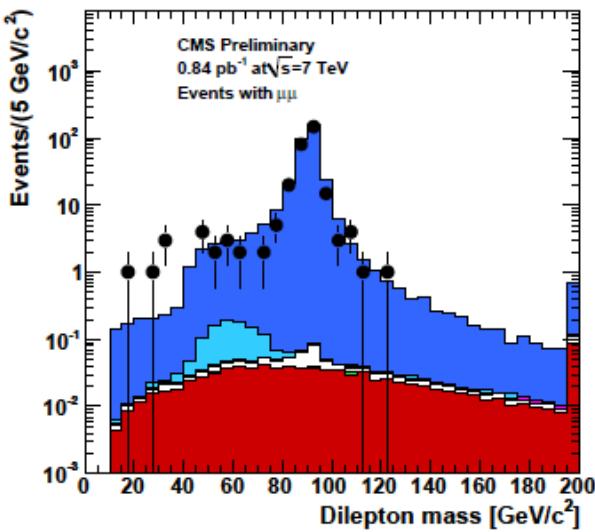
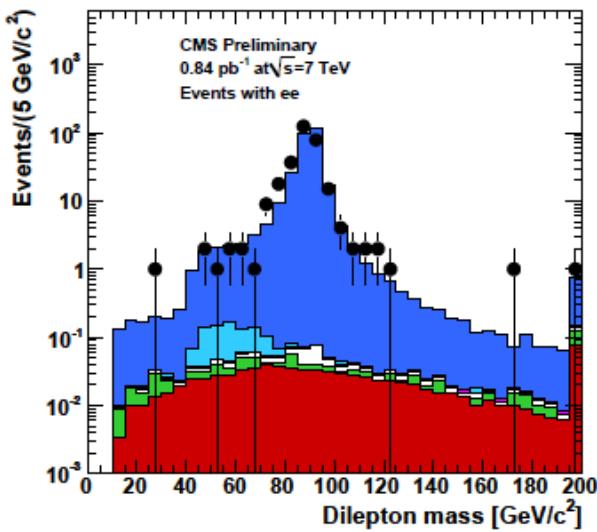
Jet multiplicity (relaxed selection)



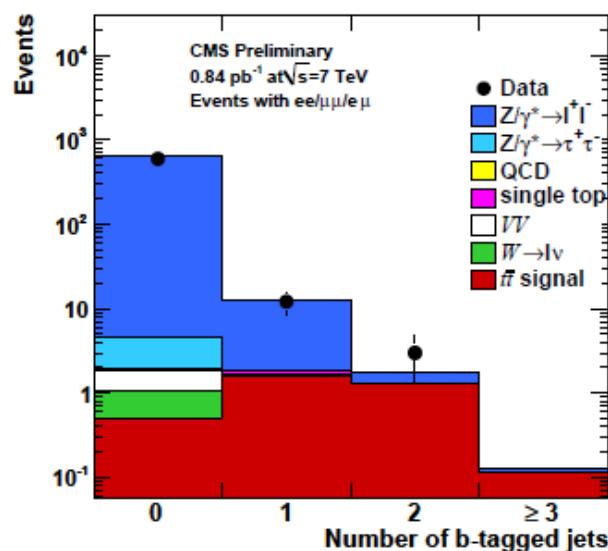
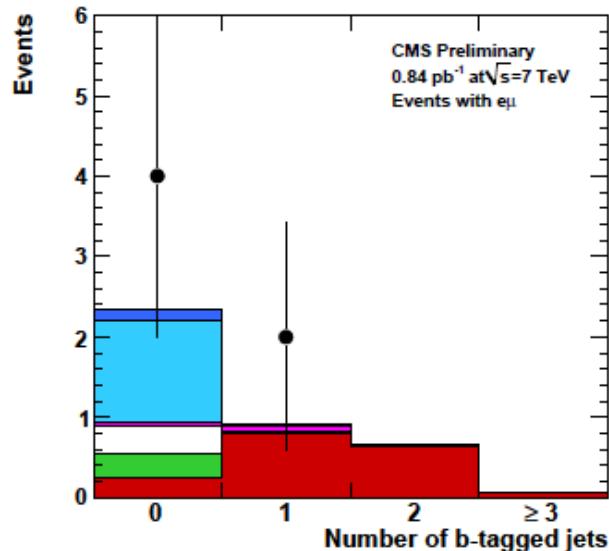
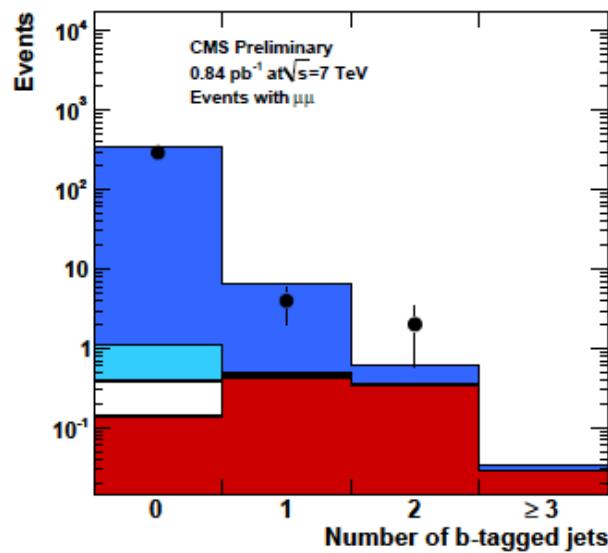
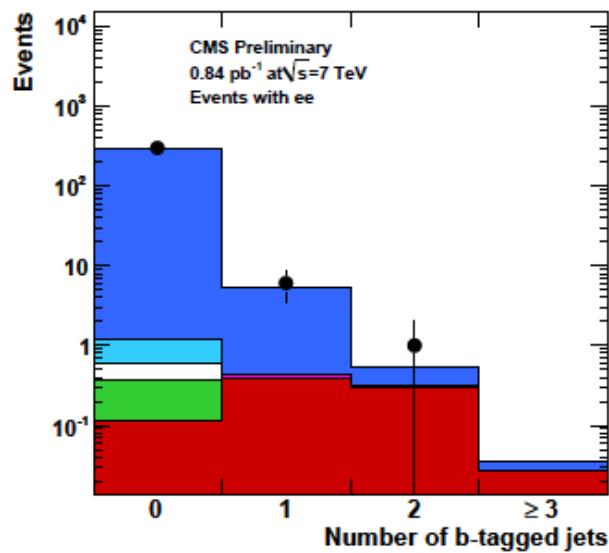
Missing Transverse Energy (relaxed sel.)



Dilepton invariant mass (relaxed sel.)

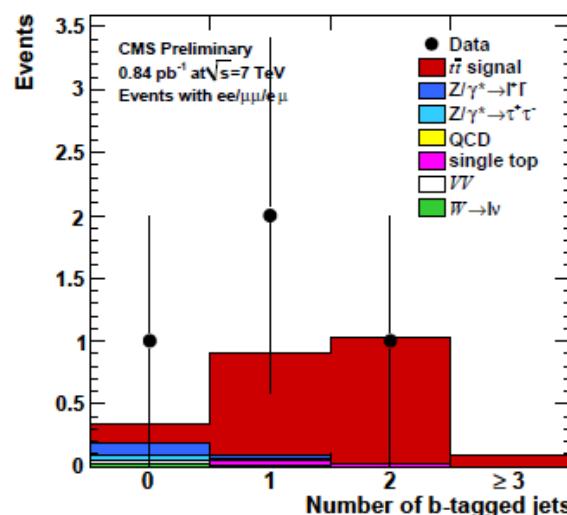
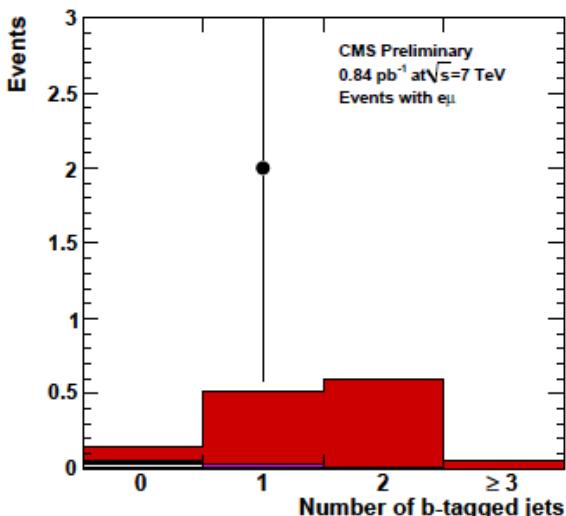
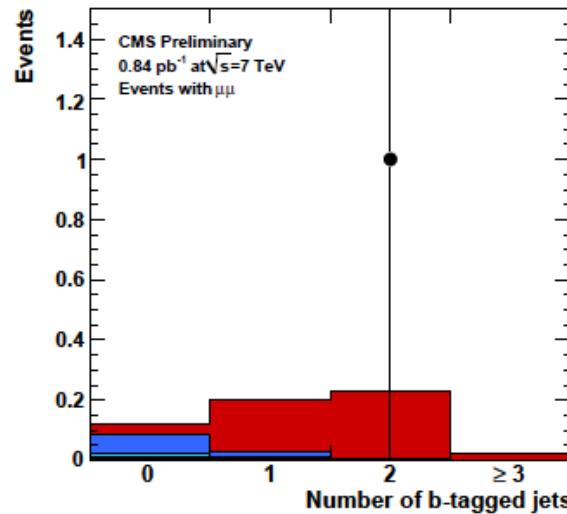
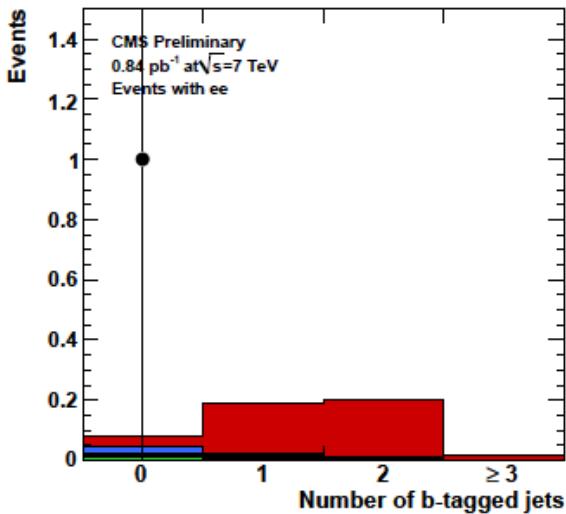


b-tag multiplicity (relaxed sel.)

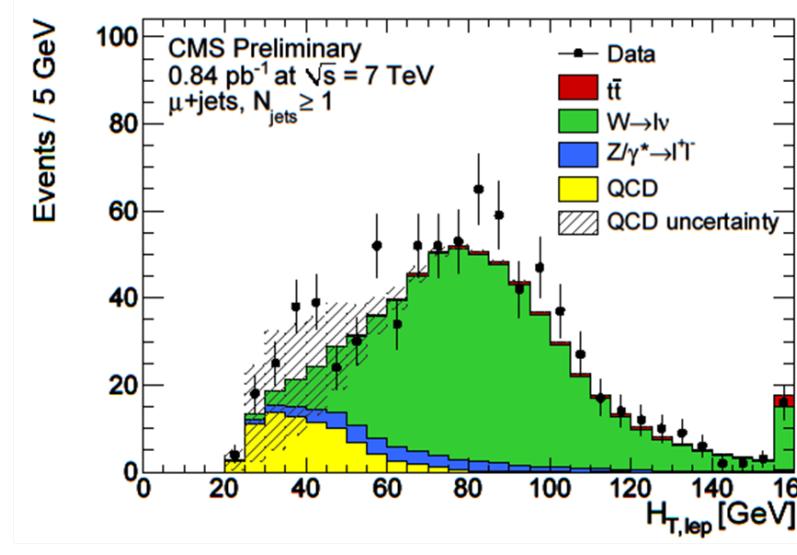
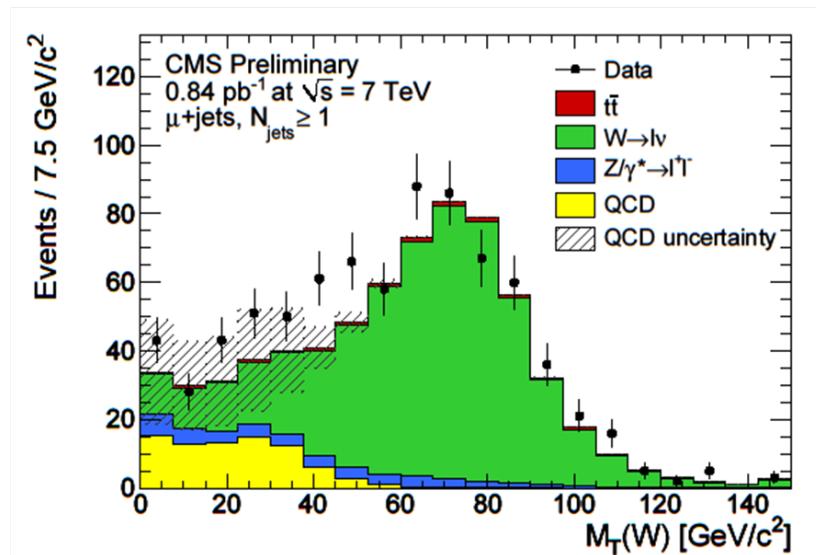
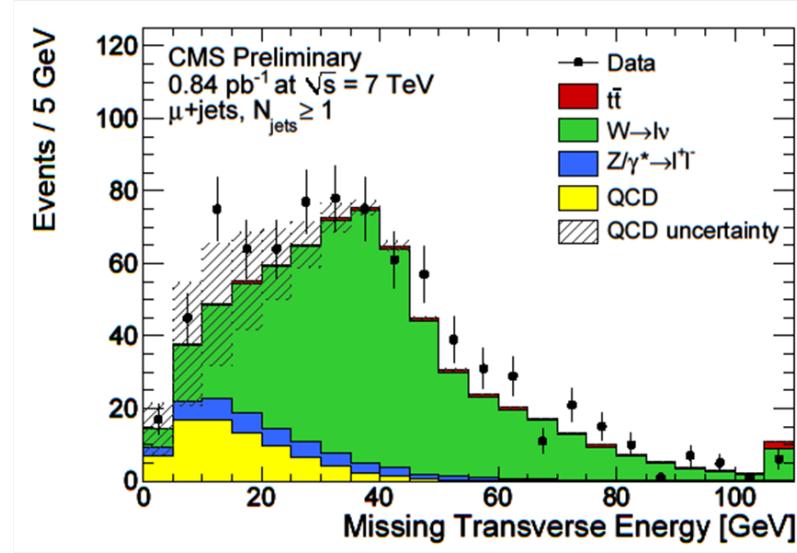
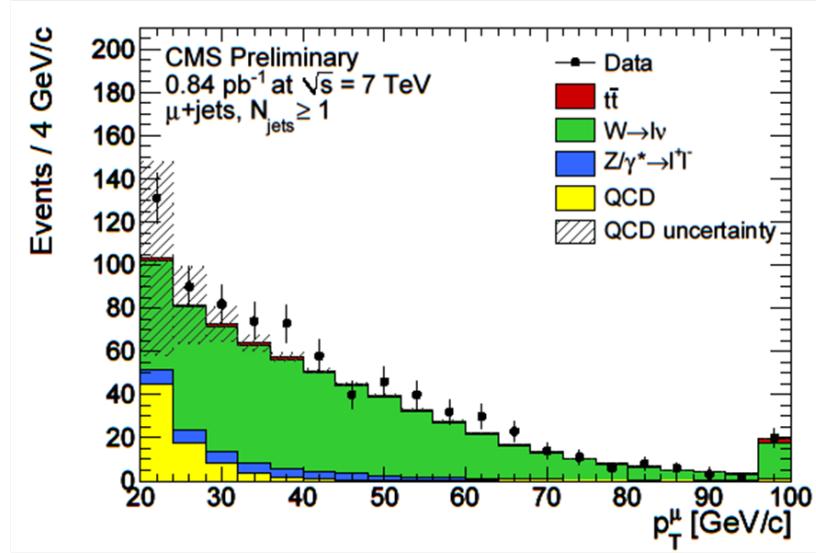


b-tag multiplicity (full selection)

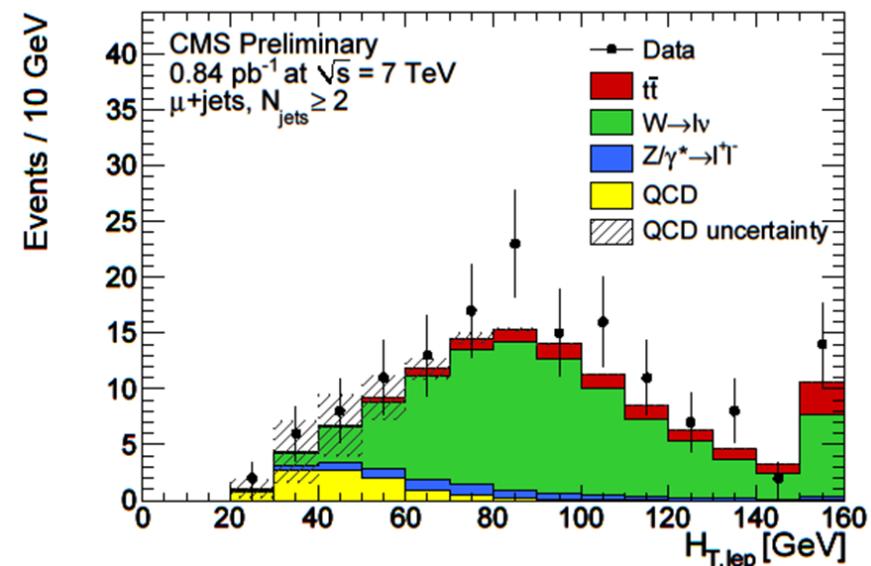
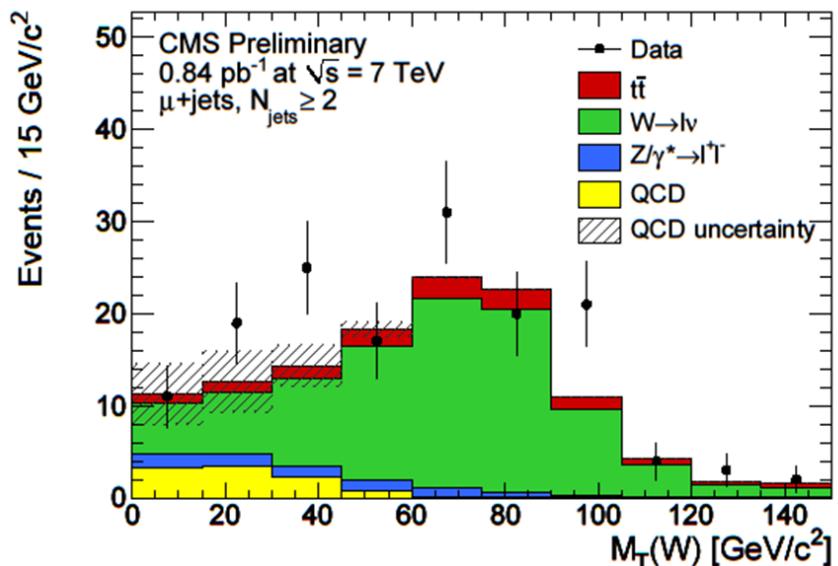
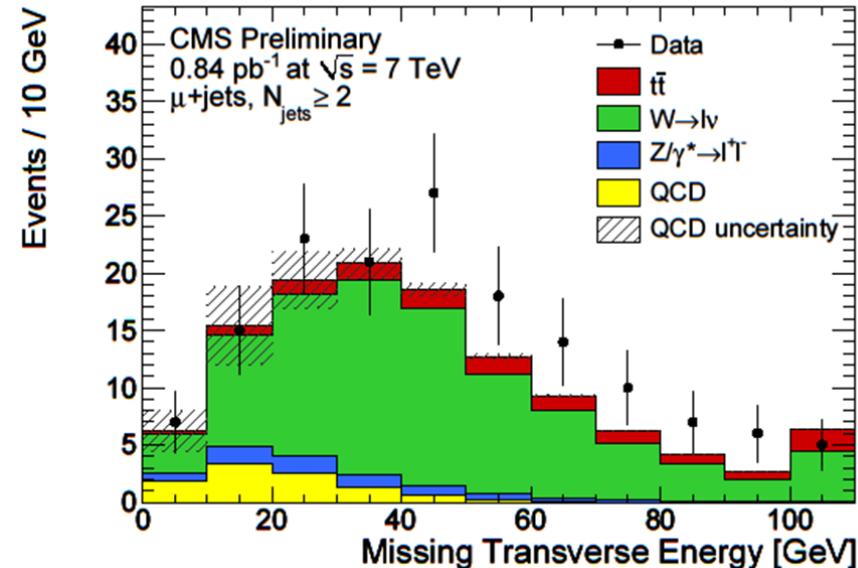
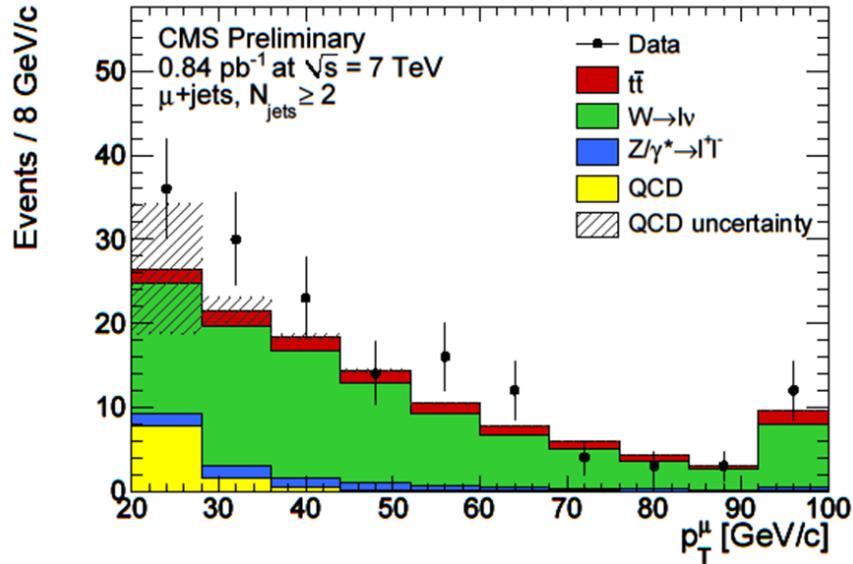
- With Z-veto, MET, $N(\text{jets}) \geq 2$ cuts applied



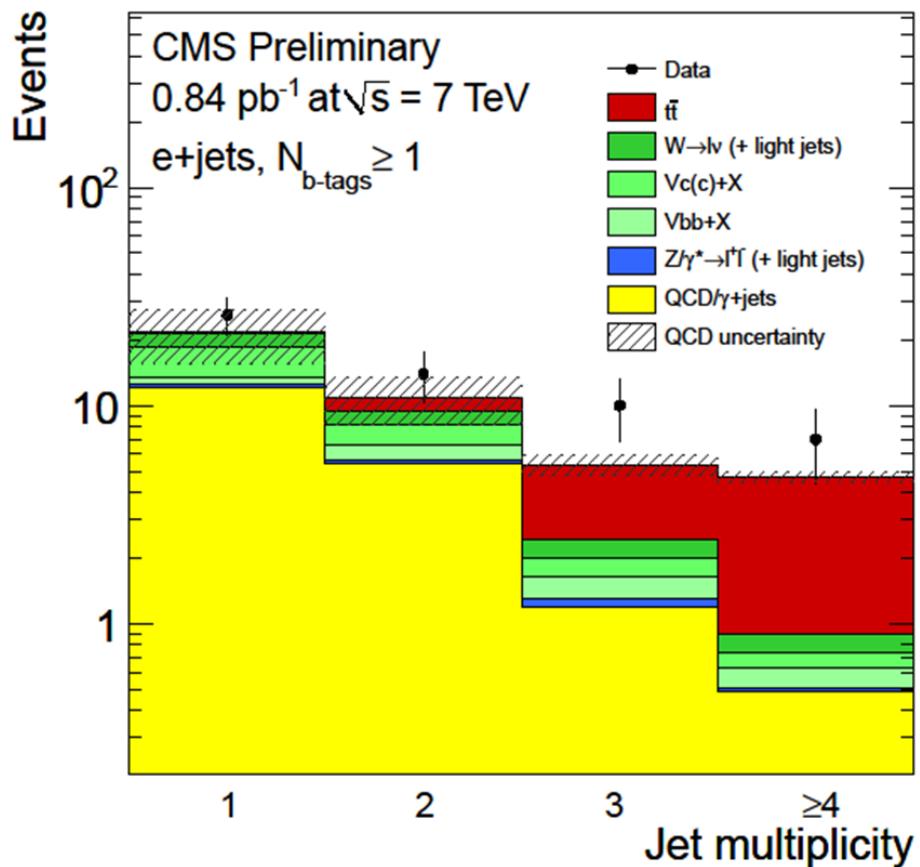
mu+Jets, ≥ 1 jets



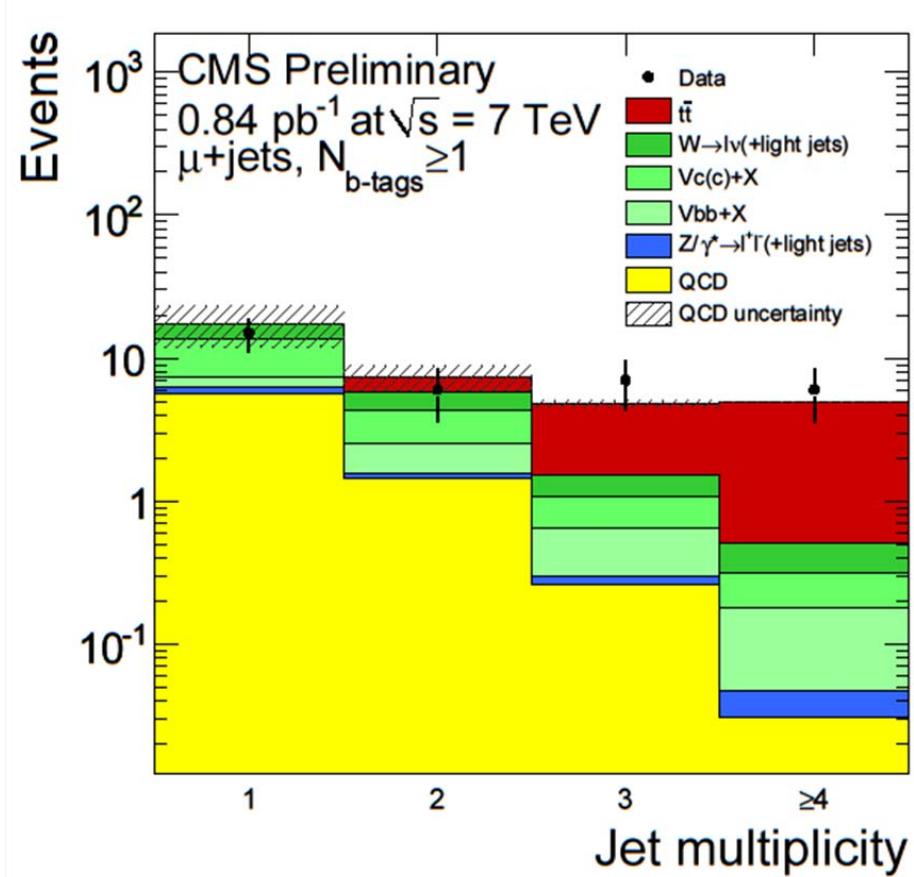
mu+Jets, ≥ 2 jets



- e+jets

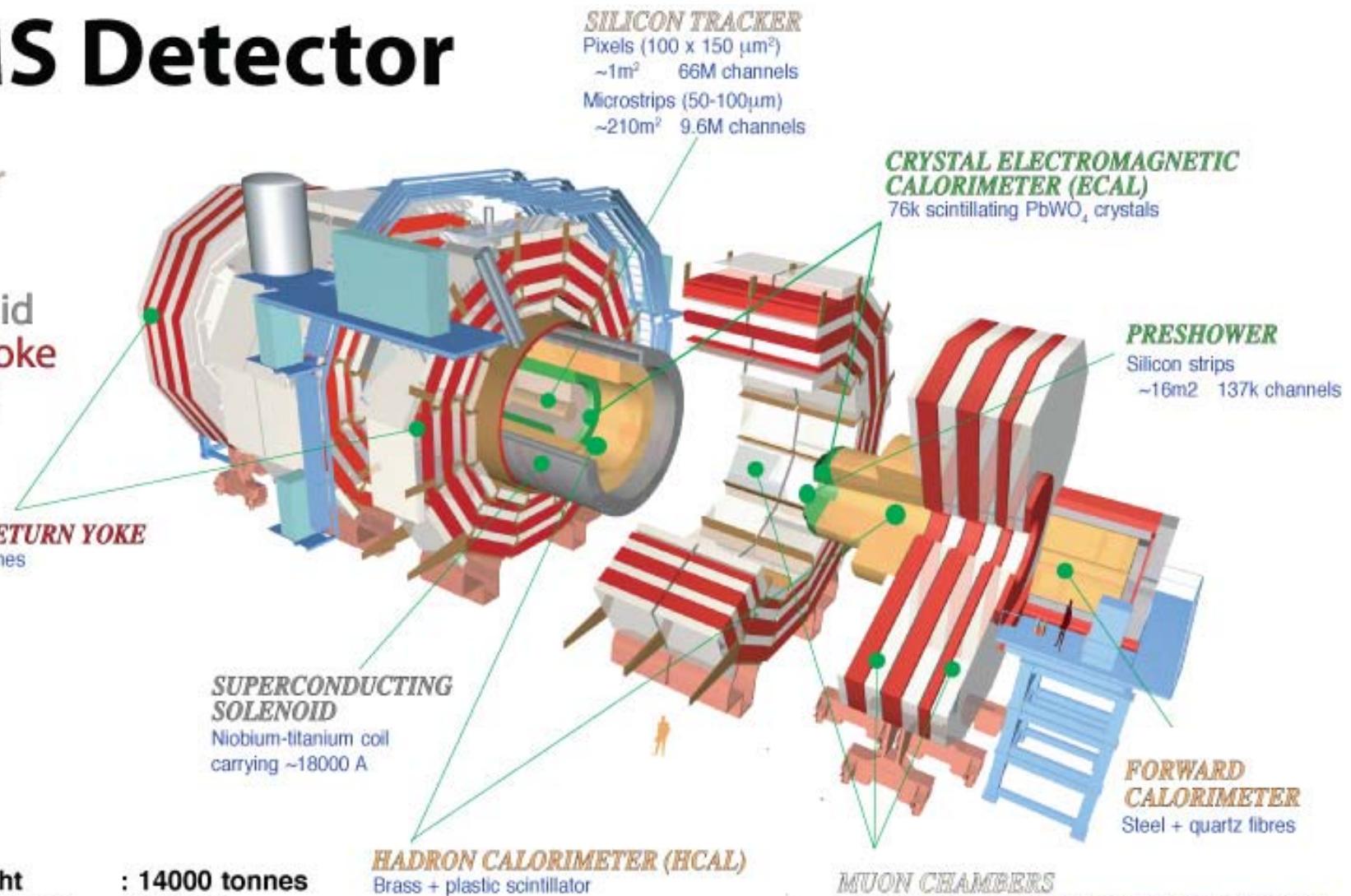


- mu+jets



CMS Detector

Pixels
Tracker
ECAL
HCAL
Solenoid
Steel Yoke
Muons



Total weight : 14000 tonnes
Overall diameter : 15.0 m
Overall length : 28.7 m
Magnetic field : 3.8 T