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# Formalising modern research mathematics

Kevin Buzzard, Imperial College London

Computer-verified proofs: 48 hours in Rome, 26th January 2024

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## Before we start

Thank you very much to Oliver Butterley for the invitation, and thanks to all of you for coming!

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### Before we start

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### Plan for the talk:

- A bit of history;
- The rise of mathlib;
- Formalising modern mathematics.

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### Before we start

Thank you very much to Oliver Butterley for the invitation, and thanks to all of you for coming!

### Plan for the talk:

- A bit of history;
- The rise of mathlib;
- Formalising modern mathematics.

In my mind, currently the most exciting thing about this area is that five years ago, the third item seemed like science fiction, but now it is happening.

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## Interactive theorem provers

An interactive theorem prover (ITP) is a computer programming language which is expressive enough to understand mathematical theorems and proofs.

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In a computer algebra system, you can *calculate*.

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For example, you can print out the first 1000 prime numbers, or say "let G be the group  $S_5$ ".

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In a computer algebra system, you can calculate.

For example, you can print out the first 1000 prime numbers, or say "let G be the group  $S_5$ ".

In an interactive theorem prover, you can prove.

For example, you can prove that there are infinitely many prime numbers, or say "let G be a group".

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## History: calculating vs proving.

Let's see what computers were doing by the 1970s.

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### History

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# History: calculating vs proving.

Let's see what computers were doing by the 1970s.

## Calculating:

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### **Proving:**

In the 70s, van Benthem Jutting (a student of de Bruijn) proved that the reals were a complete ordered field.

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### **Proving:**

In the 70s, van Benthem Jutting (a student of de Bruijn) proved that the reals were a complete ordered field.

You can guess which application caught on amongst mathematicians.

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### A crucial difference

Computer algebra packages have become very easy for mathematicians to learn and use.

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## A crucial difference

Computer algebra packages have become very easy for mathematicians to learn and use.

Nowadays there are many mathematicians in a typical mathematics department who have used computer algebra packages or programming languages in their work.

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For *decades*, ITPs were very hard for mathematicians to use (and we're trying to fix this with workshops like this one).

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For decades, ITPs were very hard for mathematicians to use (and we're trying to fix this with workshops like this one).

As a result, ITP growth was mostly in computer science departments.

This had a *huge* effect on the kind of mathematics which was being done in them.

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## ITPs in the 21st century.

Milestone results from the begining of the 21st century:

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## ITPs in the 21st century.

Milestone results from the begining of the 21st century: 2004: Avigad et al formally proved the prime number theorem.

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To the computer scientists: a breakthrough result (discrete and continuous mathematics being handled by the same system).

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# ITPs in the 21st century.

Milestone results from the begining of the 21st century:

2004: Avigad et al formally proved the prime number theorem.

To the computer scientists: a breakthrough result (discrete and continuous mathematics being handled by the same system).

To the mathematicians: a completely standard 100 year old result which is in an undergraduate or MSc curriculum.

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## ITPs in the 21st century.

2004: Georges Gonthier formalised a proof of the four colour theorem.

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# ITPs in the 21st century.

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# ITPs in the 21st century.

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And these are complex theorems about *simple mathematical objects*.

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## ITPs in the 21st century.

2017: Hales formalised his proof with Ferguson of the Kepler conjecture (sphere-packing in 3 dimensions).

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This was 12 years out of date (because it took Hales' team 12 years to formalise the proof).

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# ITPs in the 21st century.

2017: Hales formalised his proof with Ferguson of the Kepler conjecture (sphere-packing in 3 dimensions).

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Again, a complex theorem about simple mathematical objects.

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# What about complex objects?

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## What about complex objects?

Lean is an ITP, and in 2017 it was only a couple of years old with a small mathematics library (groups, rings, topological spaces, finiteness, rationals and real numbers).

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I suggested commutative algebra.

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So I suggested "definition of a scheme", which took us two months.

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### **Schemes**

Once we had a working definition of scheme, the undergraduates started formalising basic exercises in Hartshorne's book on algebraic geometry.

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Computer scientists were giving me suggestions about where to *publish the work!* 

But this was not my "research" - this was just a fun project!

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#### **Schemes**

I told some mathematicians in my department that I'd taught a computer to understand the sentence "let X be a scheme".

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#### **Schemes**

I told some mathematicians in my department that I'd taught a computer to understand the sentence "let X be a scheme".

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"I knew what a scheme was when I was a PhD student Kevin!"

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#### **Schemes**

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"I knew what a scheme was when I was a PhD student Kevin!"

Schemes were not complex enough.

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## Perfectoid spaces

At the end of 2017 Patrick Massot and I (independently!) decided to formalise the definition of a perfectoid space, in an attempt to solve the problem of mathematicians not being remotely interested in this area.

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We decided to go for it.

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# Perfectoid spaces

Perfectoid spaces had applications in arithmetic geometry, commutative algebra and the Langlands Program.

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With Johan Commelin (who heard about the schemes work and got interested), about 15000 lines of code and 18 months later, we had built up enough of the theory of topological rings, valuations, sheaves etc, to formalise the definition.

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It was suggested we prove a theorem about perfectoid spaces. So we proved that the empty set was a perfectoid space.

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# Perfectoid spaces

The response to our work was very surprising (to me).

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The formalisation was a relatively straightforward exercise, and a big publicity stunt.

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A bunch of Italian number theorists showed up on the Lean chat :-)

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# Ellenberg-Gijswijt

In 2017 Ellenberg and Gijswijt proved a conjecture in combinatorics called the Cap Set conjecture.

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Two years between proof and formalisation, and it was an Annals paper!

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The paper was four pages long :-)

### Scholze

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In 2020 the community got our first real challenge.

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### Scholze

In 2020 the community got our first real challenge.

The area had plenty of complex proofs about simple objects, and one simple proof about a very complex object.

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The challenge: prove the "fundamental theorem of liquid vector spaces".

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The challenge: prove the "fundamental theorem of liquid vector spaces".

This was a theorem of Clausen and Scholze, announced in 2019 (and still not published AFAIK?)

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### Scholze

At that time, Commelin had no tenure position, so taking on this project was a huge gamble.

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Instead of proving new theorems, he was going to check someone else's theorem in Lean.

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The mathematicians were proving the theorems, but the computer scientists were *absolutely essential*, working behind the scenes to ensure that the wheels didn't fall off.

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Commelin decided to gamble.

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# The Liquid Tensor Experiment

The Liquid Tensor Experiment's goal was to prove two theorems.

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1 A technical lemma about double complexes of pseudo-normed abelian groups with a completely elementary (but very messy and long) statement and a ten page proof.

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# The Liquid Tensor Experiment

The Liquid Tensor Experiment's goal was to prove two theorems.

- 1 A technical lemma about double complexes of pseudo-normed abelian groups with a completely elementary (but very messy and long) statement and a ten page proof.
- 2 A corollary (with a 5 line proof in the paper) about the vanishing of higher Ext groups in a certain abelian category of "solid abelian groups".

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## The Liquid Tensor Experiment

The Liquid Tensor Experiment's goal was to prove two theorems.

- 1 A technical lemma about double complexes of pseudo-normed abelian groups with a completely elementary (but very messy and long) statement and a ten page proof.
- 2 A corollary (with a 5 line proof in the paper) about the vanishing of higher Ext groups in a certain abelian category of "solid abelian groups".

At that time, part 2 was the holy grail: a complex theorem about complex objects.

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Commelin, Topaz, myself, Nuccio, and many others got to work.

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# The Liquid Tensor Experiment

How does one manage a large multi-author formalisation project?

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Commelin and Massot created a "formal blueprint".

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# The Liquid Tensor Experiment

How does one manage a large multi-author formalisation project?

Commelin and Massot created a "formal blueprint".

If I have internet, let's take a look at it.

#### LaTeX

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#### ì≡

Introduction

#### 1 First part

- 1.1 Breen-Deligne data
- 1.2 Variants of normed groups 1.3 Spaces of
- convergent power series
- 1.4 Some normed homological algebra
- 1.5 Completions of locally constant functions

#### 1.6 Polyhedral

- 1.7 Key technical result
- 2 Second part
- 3 Bibliography

Section 1 graph

#### Blueprint for the Liquid Tensor Experiment

error term a.

As preparation for the proof, we have the following results.

#### Lemma 1.6.5 (Gordan's lemma)√

Let  $\Lambda$  be a finite free abelian group, and let  $\lambda_1,\dots,\lambda_m\in\Lambda$  be elements. Let  $M\subset \mathrm{Hom}(\Lambda,\mathbb{Z})$  be the submonoid  $\{x\mid x(\lambda_i)\geq 0 \text{ for all } i=1,\dots,m\}$ . Then M is finitely generated as monoid.

#### Proof ▼

This is a standard result. We omit the proof here. It is done in Lean.

#### Lemma 1.6.6 ✓

Let  $\Lambda$  be a finite free abelian group, let N be a positive integer, and let  $\lambda_1,\dots,\lambda_m\in\Lambda$  be elements. Then there is a finite subset  $A\subset\Lambda^\vee$  such that for all  $x\in\Lambda^\vee=\mathrm{Hom}(\Lambda,\mathbb{Z})$  there is some  $x'\in A$  such that  $x-x'\in\Lambda^\wedge$  and for all  $i=1,\dots,m$ , the numbers  $x'(\lambda_i)$  and  $(x-x')(\lambda_i)$  have the same sign, i.e. are both nonnegative or both nonpositive.

#### Proof v

It suffices to prove the statement for all x such that  $\lambda_i(x) \geq 0$  for all i; indeed, applying this variant to all  $\pm \lambda_i$ , one gets the full statement.

Thus, consider the submonoid  $\Lambda_i^{\vee} \subset \Lambda^{\vee}$  of all x that pair nonnegatively with all  $\lambda_i$ . This is a finitely generated monoid by Lemma 1.6.5; let  $y_1, \dots, y_M$  be a set of generators. Then we can take for A all sums  $n_1 n_1 + \dots + n_M n_M$  where all  $n_i \in \{0, \dots, N-1\}$ .

#### Lemma 1.6.7 /



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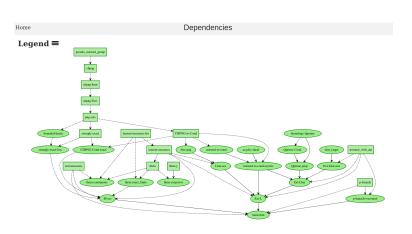
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# Graph



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# Project management

The idea: the blueprint contains a *detailed mathematical argument*, with no phrases like "Now we can easily see that..." or "After some work, we deduce that..." or "It is well-known to the experts that...".

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If a node is blue, this means "we need the Lean code for this proof."

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If it's green, this means the Lean code is already written.

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# Project management

This technique means that many people can get involved.

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This technique means that *many people* can get involved. If you are a mathematician who knows *nothing* about liquid vector spaces or solid abelian groups, you can still help!

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This technique means that *many people* can get involved.

If you are a mathematician who knows *nothing* about liquid vector spaces or solid abelian groups, you can still help!

You just find a node which corresponds to a mathematical argument which you understand.

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This technique means that *many people* can get involved.

If you are a mathematician who knows *nothing* about liquid vector spaces or solid abelian groups, you can still help!

You just find a node which corresponds to a mathematical argument which you understand.

*Key fact:* The experts do not need to check your proof – the computer checks it for you.

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# The Liquid Tensor Experiment

Six months after Scholze had issued the challenge, the Lean community had formalised a proof of the technical lemma.

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The next thing I knew, <u>Nature</u> was on the phone asking what the heck was going on.

But the project was not finished: we still had to formalise the five remaining lines in the proof.

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#### The final five lines

Those five lines assumed a huge amount of homological algebra, derived functors, a formula for the explicit computation of an Ext group, projective objects and so on.

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Those five lines assumed a huge amount of homological algebra, derived functors, a formula for the explicit computation of an Ext group, projective objects and so on.

The five lines (containing many "well-known" facts) took 13 months to formalise.

This experience taught the community *many* things.

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### The art of formalisation

One thing which really hit home for me: this showed us that formalisation of mathematical definitions is an art.

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### The rise of mathlib

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(And this is why I believe that it will be a long time before AI catches up with us).

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Q: What is a practical way to formalise the basic theory of homological algebra in a general abelian category, in Lean's dependent type theory?

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In 2020, nobody in the world knew the answer.

This is not an easy question.

In fact, "how to teach the computer the objects used in modern mathematics" is an *active research area*.

Kevin Buzzard

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# The art of formalisation

In 2024, we now have a good answer to the question of how to formalise homological algebra (thanks to Joel Riou).

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In 2024, we now have a good answer to the question of how to formalise homological algebra (thanks to Joel Riou).

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In 2024, we now have a good answer to the question of how to formalise homological algebra (thanks to Joel Riou).

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The homology of  $A \rightarrow B \rightarrow C$  is both a limit and a colimit.

But the experience taught us what we *should* have done.

Kevin Buzzard

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# Homology of a complex

Joel Riou re-implemented the definition of homology of a complex as a "package" with a beautiful duality.

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And we know it is usable.

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# Analytic number theory

The Liquid Tensor Experiment was a huge multi-author project which took many many person-years, and had huge benefits for mathlib.

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Mehta wrote an appendix to the paper explaining the work.

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# Combinatorics

In 2023 Campos, Griffiths, Morris and Sahasrabudhe announced breakthrough new bounds in Ramsey numbers (beating an 89-year-old theorem of Erdős).

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But the real bombshells were yet to come.

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# A lemma of Tao

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You can read about Tao's experience on his blog.

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# The polynomial Freiman–Ruzsa conjecture

In November 2023 Gowers, Green, Manners and Tao announced a proof of the polynomial Freiman–Ruzsa conjecture.

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The formalisation was completed *before the paper was even submitted*.

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## **Conclusions**

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## Conclusions

What do we learn from these stories?

 Formalisation of some modern mathematics is possible in real time.

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## Conclusions

- Formalisation of some modern mathematics is possible in real time.
- If the prerequisites are in mathlib then the project is feasible.

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## Conclusions

- Formalisation of some modern mathematics is possible in real time.
- If the prerequisites are in mathlib then the project is feasible.
- If the prerequisites are not in mathlib, then you can formalise your result anyway, and make mathlib better.

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## Conclusions

- Formalisation of some modern mathematics is possible in real time.
- If the prerequisites are in mathlib then the project is feasible.
- If the prerequisites are not in mathlib, then you can formalise your result anyway, and make mathlib better.
- For certain topics (parts of analytic number theory, parts of additive combinatorics), the prerequisites are now often there.

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## Conclusions

## More lessons:

 The tooling is there to make multi-author projects feasible (and easy!)

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## Conclusions

## More lessons:

- The tooling is there to make multi-author projects feasible (and easy!)
- A multi-author project is really good fun.

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## Conclusions

## More lessons:

- The tooling is there to make multi-author projects feasible (and easy!)
- A multi-author project is really good fun.
- Your line manager might be confused about your work, which might be published in a journal they've never heard of.

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## Conclusions

### More lessons:

- The tooling is there to make multi-author projects feasible (and easy!)
- A multi-author project is really good fun.
- Your line manager might be confused about your work, which might be published in a journal they've never heard of.
- mathlib is becoming enormously powerful and it is getting better at an extremely fast rate.

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## Fermat's Last Theorem

My line manager was very confused about my work in this area, for some time.

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## Fermat's Last Theorem

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But then I brought in a seven figure grant to formalise a proof of Fermat's Last Theorem in Lean, and they came around to the idea.

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Here is the current state of my (secret) blueprint:

Kevin Buzzard

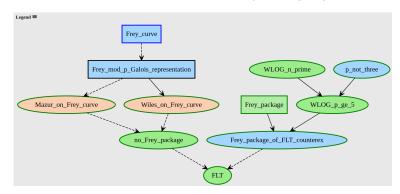
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# FLT blueprint graph



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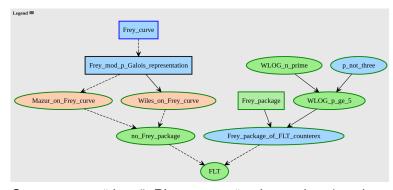
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# FLT blueprint graph



Green means "done". Blue means "a nice project (maybe a couple of days)".

Kevin Buzzard

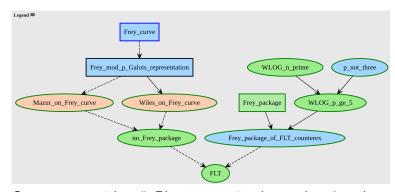
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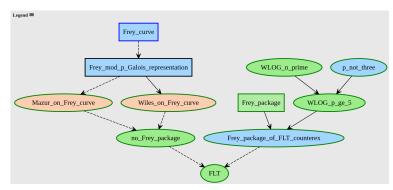
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We're going live in April.

Kevin Buzzard

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## Fermat's Last Theorem

mathlib has elliptic curves, modular forms, and quaternion algebras.

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## Fermat's Last Theorem

mathlib has elliptic curves, modular forms, and quaternion algebras.

However, it doesn't have finite flat group schemes, deformation theory of Galois representations, Gorenstein and complete intersection rings, automorphic representations on unit groups of quaternion algebras, Tate modules of elliptic curves, Mazur's theorem on torsion subgroups, local/global class field theory, or any R=T theorems.

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Can the Lean community prove Fermat's Last Theorem in that time?

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I don't know!

Kevin Buzzard

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But here is another question: Can the Lean community, within 5 years, *reduce* the proof of Fermat's Last Theorem (a theorem proved in the 1990s) to results which were known by the 1980s?

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Thanks a lot for your time!