

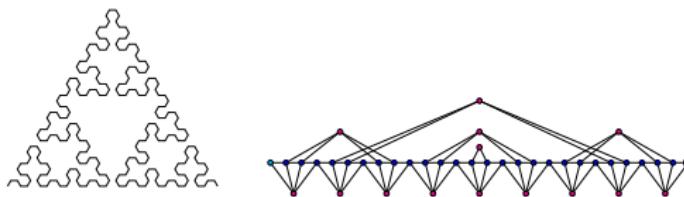
# Laplacian growth & sandpiles on the Sierpinski gasket

Limit shape universality, fluctuations & beyond

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Colgate University  
Hamilton, NY

Probability & Statistical Physics Seminar  
Department of Mathematics  
The University of Chicago  
April 26, 2019



# Executive summary

This talk gives a unified treatment of the following 3 papers:

**① Internal DLA on Sierpinski gasket graphs.**

JP, Wilfried Huss, Ecaterina Sava-Huss, and Alexander Teplyaev.

[arXiv:1702.04017](https://arxiv.org/abs/1702.04017). To appear in "Analysis & Geometry on Graphs & Manifolds," London Mathematical Society Lecture Notes, Cambridge University Press (2019+).

**② Divisible sandpiles on Sierpinski gasket graphs.**

Wilfried Huss and Ecaterina Sava-Huss.

[arXiv:1702.08370](https://arxiv.org/abs/1702.08370). *Fractals* (2019).

**③ Laplacian growth & sandpiles on the Sierpinski gasket: limit shape universality & exact solutions.**

JP and Jonah Kudler-Flam.

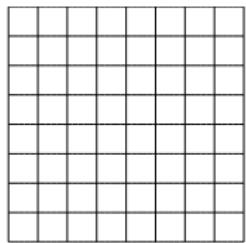
[arXiv:1807.08748](https://arxiv.org/abs/1807.08748). Under review at *Ann. Inst. Henri Poincaré Comb. Phys. Interact.* (2019+).

Also many thanks to:

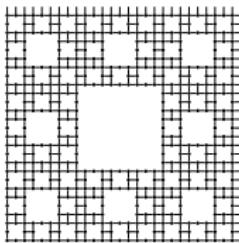
Lionel Levine\*, Bob Strichartz (and his REU students), LEGO, and Super Mario Bros.

\*Lionel suggested this problem to me in 2012.

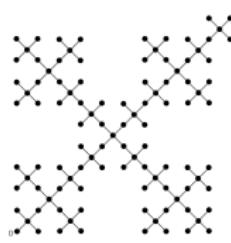
# Laplacian growth on lattices and graphs



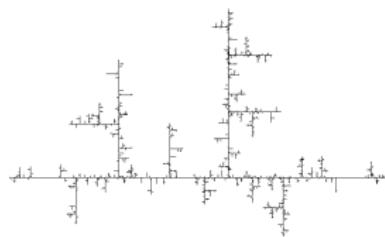
Square lattice



Sierpinski carpet

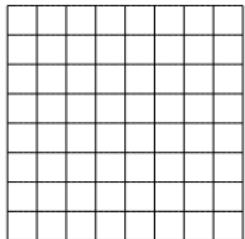


Vicsek tree

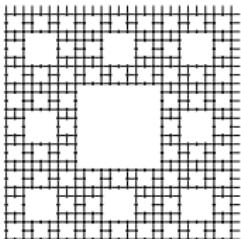


Random dendrite [by David Croydon]

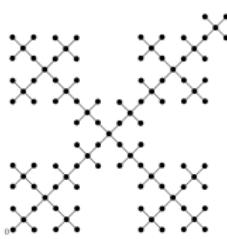
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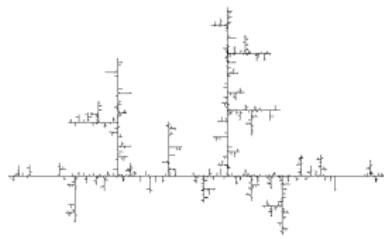
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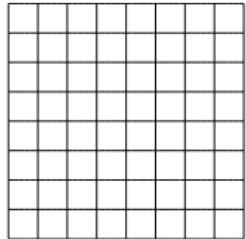
## Internal diffusion-limited aggregation (IDLA)

Fix a distinguished vertex  $o$ .

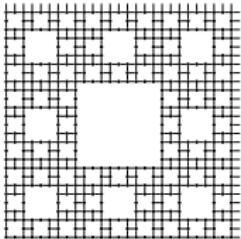
Set  $\mathcal{I}(0) = \emptyset$ .

For  $n \geq 1$ , define inductively  $\mathcal{I}(n) := \mathcal{I}(n-1) \cup \{X_{\tau(\mathcal{I}(n-1)^c)}^{(n)}\}$ , where  $X^{(i)}$  are i.i.d. **random walks** started from  $o$ , and  $\tau(A)$  is the first hitting time of  $A$ .

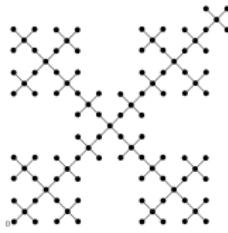
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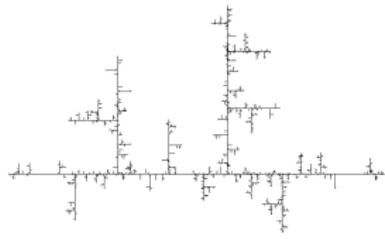
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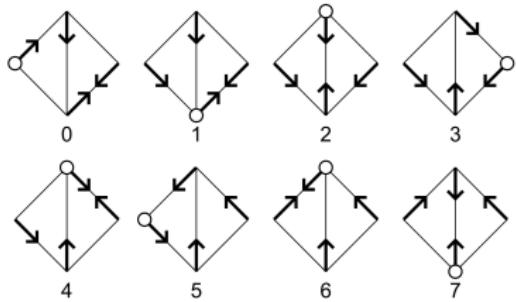


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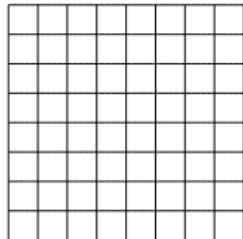


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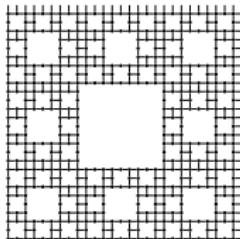
## Rotor walk (derandomized random walk)



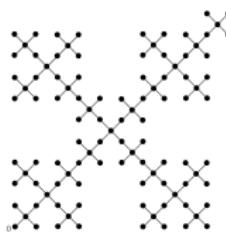
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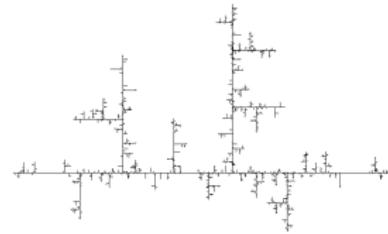
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Random dendrite [by David Croydon]

## Rotor-router aggregation

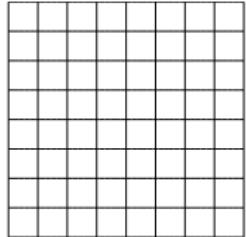
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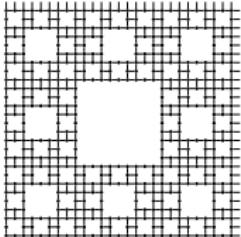
For  $n \geq 1$ , define inductively  $\mathcal{R}(n) := \mathcal{R}(n-1) \cup \{Y_{\tau(\mathcal{R}(n-1)^c)}^{(n)}\}$ , where  $Y^{(i)}$  are **rotor walks** started from  $o$ , and  $\tau(A)$  is the first hitting time of  $A$ .

[Note that the rotor environment evolves in time!]

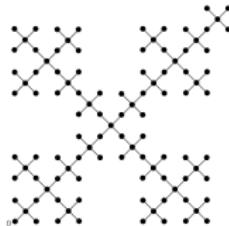
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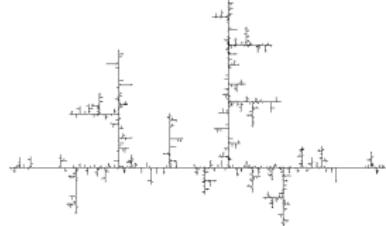
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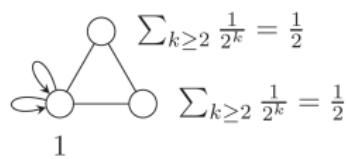
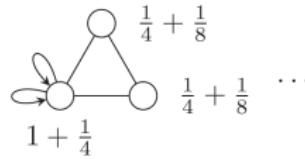
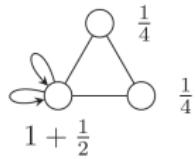
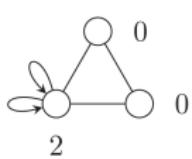


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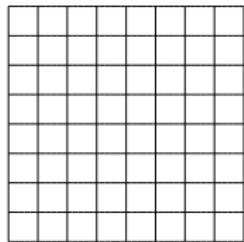


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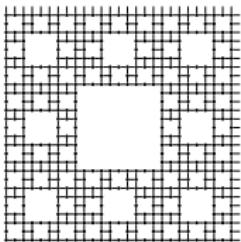
## Divisible sandpiles



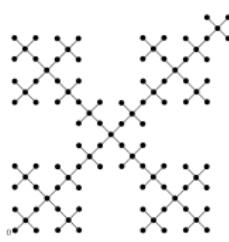
# Laplacian growth on lattices and graphs



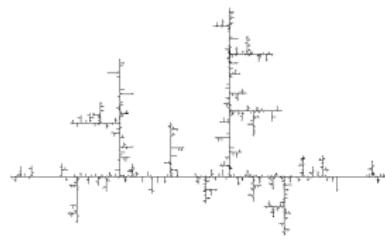
Square lattice



Sierpinski carpet



Vicsek tree



Random dendrite [by David Croydon]

## Abelian sandpiles

0	0	0	0
0	4	5	0
0	0	0	0



0	1	0	0
1	0	6	0
0	1	0	0



0	1	1	0
1	1	2	1
0	1	1	0

## Questions of interest

IDLA

Rotor-router

Abelian sandpiles

- Characterize the **limit shapes** (and fluctuations about the scaling limit) in each of the models.
- Fix a locally finite graph, and run all the growth models starting from  $o$ . Do the limit shapes coincide? (**Limit shape universality** [Levine-Peres '17])
- **Abelian sandpile model:** can also study the sandpile patterns! Does there exist a limit sandpile pattern? Other observables?

# Limit shapes for Laplacian growth & sandpiles

$\mathbb{Z}^d$  ( $d \geq 2$ ) For all models: Launch  $|B_o(n)|$  chips from  $o$ .

Model	Shape theorem/conjecture
IDLA	In/out-radius $\begin{cases} n \pm \mathcal{O}(\log n), & d = 2 \\ n \pm \mathcal{O}(\sqrt{\log n}), & d \geq 3 \end{cases}$ $_{\alpha, \beta, \gamma, \delta}$
Rotor-router aggregation	In-radius $n - c \log n$ , out-radius $n + c' \log n$ $_{\kappa, \ell}$
Divisible sandpiles	In-radius $n - c$ , out-radius $n + c'$ $_{\kappa}$
Abelian sandpiles	( $d = 2$ ) Limit shape closer to a dodecagon than Euc ball $_{\kappa}$ Rigorous upper/lower estimates available (with a gap) $_{\kappa, \iota}$

$^\alpha$  Lawler–Bramson–Griffeath '92

$^\beta$  Lawler '95

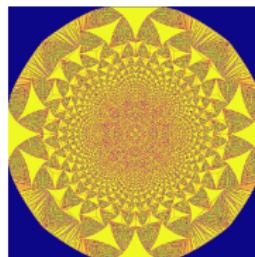
$^\gamma$  Asselah–Gaudilli  re '13 (2x)

$^\delta$  Jerison–Levine–Sheffield '13, '14

$^\kappa$  Levine–Peres '09

$^\ell$  Levine–Peres '17

$^\iota$  Fey–Levine–Peres '10

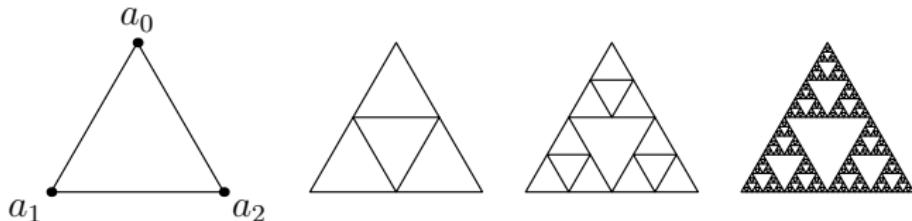


Levine–Peres, “Laplacian growth, sandpiles, and scaling limits.” *Bull. Amer. Math. Soc.* (2017).

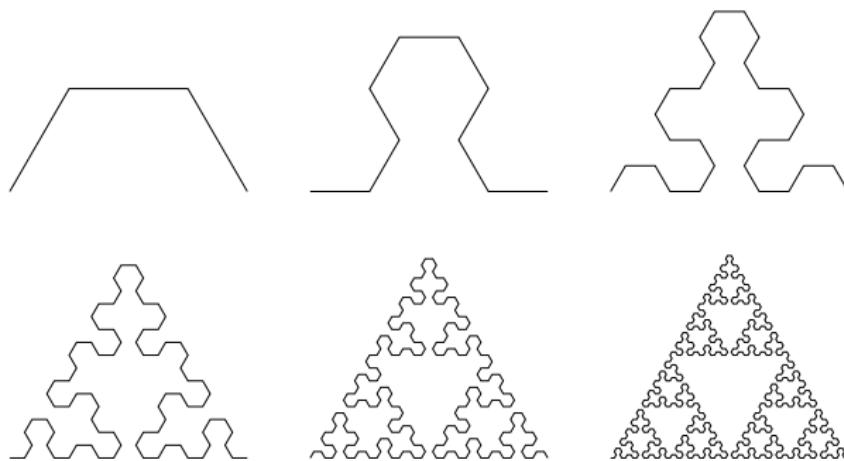
Not all 4 models have the same limit shape on  $\mathbb{Z}^d$ ,  $d \geq 2$ .

# Sierpinski gasket (and two possible approximations)

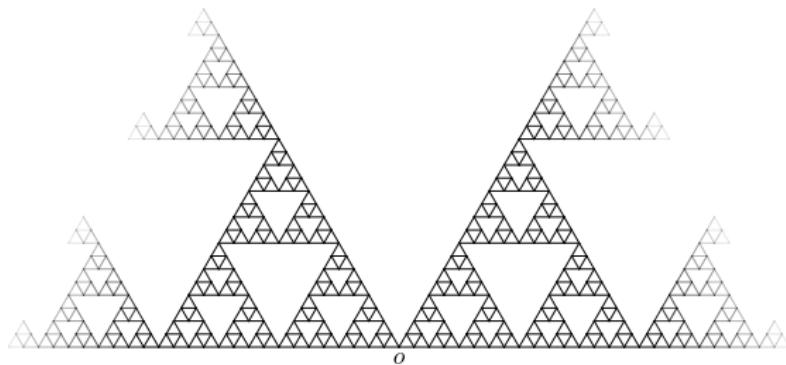
## The usual approximation



## Sierpinski arrowhead curve (space-filling)



# What makes the Sierpinski gasket so special?



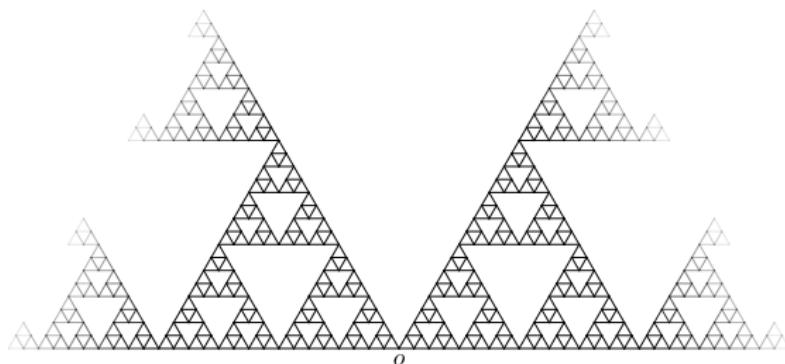
## Geometry

- Self-similarity
- Discrete scale invariance
- Finite ramification: connected components separated by cut points
- Local ( $D_3$ ) symmetries
- Special properties of spheres (centered at  $o$ )

## Analysis & Probability [Kusuoka, Barlow, Perkins, Kigami, Strichartz, ...]

- Robust understanding of potential theory: random walk estimates, Green's function.

# What makes the Sierpinski gasket so special?



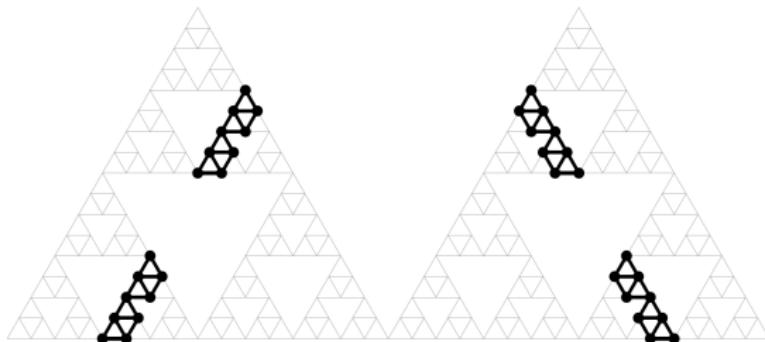
## Geometry

- Self-similarity  $\Rightarrow$  Volume-doubling
- Discrete scale invariance  $\Rightarrow$  (arithmetic) renewal theorem applies
- Finite ramification: connected components separated by cut points  $\Rightarrow$  spatial “independence”
- Local ( $D_3$ ) symmetries  $\Rightarrow$  Symmetries in Laplacian growth
- Special property of spheres (centered at  $o$ )  $\Rightarrow$  Sharp error control of shape boundary

## Analysis & Probability [Kusuoka, Barlow, Perkins, Kigami, Strichartz, ...]

- Robust understanding of potential theory: random walk estimates, Green's function.  
 $\Rightarrow$  Harmonic measure is (nearly) uniform on spheres

## Special property of spheres in $SG$

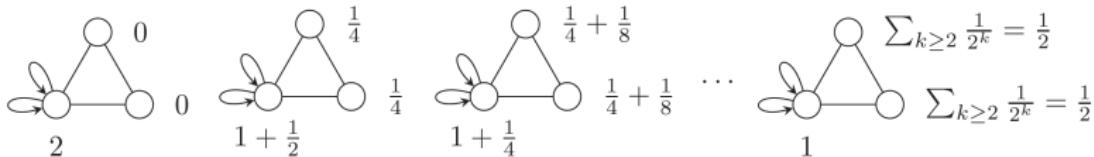


Inner boundary of  $A$ :  $\partial_I A := \{x \in A : \exists y \in A^c, x \sim y\}$ .

**Lemma.** For every  $k \geq 1$ ,  $b_k := |B_o(k)| - \frac{1}{2}|\partial_I B_o(k)| = |B_o(k-1)| + |\partial_I B_o(k-1)|$ .

# Odometer, least action principle

For divisible sandpiles



- **Odometer function**  $u : V \rightarrow \mathbb{R}_+$ .  
 $u(x)$  counts the total amount of mass emitted from vertex  $x$ .
- Initial mass configuration  $\mu_0$ , odometer  $u \Rightarrow$  get final mass configuration  $\mu = \mu_0 + \Delta u$ .
- **Least action principle**

$$u(x) = \inf\{w(x) \mid w : V \rightarrow \mathbb{R}_+ \text{ satisfies } \mu_0 + \Delta w \leq 1\}$$

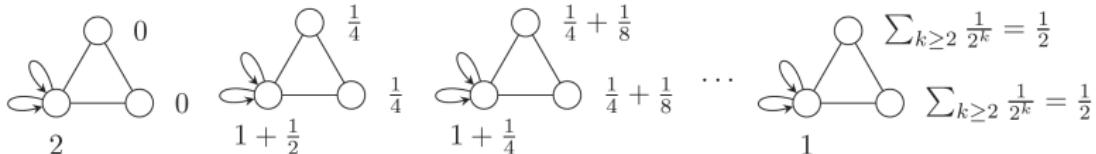
A discrete obstacle problem. Works for any graph, but may not be easy to solve in practice.

- Thanks to the abelian property, there is an easier approach.

**Lemma.** Let  $u_* : V \rightarrow \mathbb{R}_+$ ,  $\mathcal{A}_* := \{x \in V : u_*(x) > 0\}$  and  $\mu_* := \mu_0 + \Delta u_*$ . If  $\mathcal{A}_*$  is finite;  $\mu_*(x) = 1$  for all  $x \in \mathcal{A}_*$ ; and  $\mu_* \leq 1$ , then  $u = u_*$ .

- Analogous versions of odometer and LAP for: **rotor-router aggregation** (change  $\Delta$  to the stack Laplacian  $\Delta_\rho$ ), **abelian sandpiles** (functions  $w$  must be  $\mathbb{Z}$ -valued).

# Divisible sandpile shape theorem on SG



## Theorem (Huss–Sava–Huss '17)

Starting from  $\mu_0 = b_n \mathbf{1}_o$ , the final divisible sandpile configuration is given by

$$\mu(x) = \begin{cases} 1, & \text{if } x \in B_o(n) \setminus \partial_I B_o(n), \\ 1/2, & \text{if } x \in \partial_I B_o(n), \\ 0, & \text{otherwise.} \end{cases}$$

The sandpile cluster  $S(b_n)$  equals  $B_o(n - 1)$ .

## Corollary

For any  $m \geq 0$ , let  $n_m = \max\{k \geq 0 : b_k \leq m\}$ . Then the sandpile cluster  $S(m)$  on SG with initial mass  $m$  at  $o$  satisfies  $B_o(n_m - 1) \subset S(m) \subset B_o(n_m)$ .

# Using divisible sandpiles to analyze RRA & IDLA

**Heuristic.** Using the divisible sandpile shape/odometer, one can gain good control of shape/odometer for RRA & IDLA.

How is this heuristic used in practice?

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How is this heuristic used in practice?

An exact fast simulation algorithm [Friedrich-Levine, *Random Structures Algorithms* '13]

- ① Input an approximate odometer  $u_1$ , get  $\sigma_1 = \sigma_0 + \Delta_\rho u_1$ .
- ② Correction #1: Fire hills and unfire holes in  $\sigma_1$ , return  $\sigma_2$ .
- ③ Correction #2: Reverse cycle-popping in  $\sigma_2$  [cf. Wilson's algorithm '96], return correct config/odometer.

*Proof.* Least action principle.

*Note.*  $\Delta_\rho$  is the **stack Laplacian** which depends on the rotor mechanism  $\rho$ , and is in general a nonlinear operator.

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- Dramatic speed-up in simulating RRA & IDLA (vs. brute-force inductive aggregation).
- The closer  $u_1$  is to the correct odometer, the fewer corrections are needed.
- **Luckily for us:** When choosing  $u_1$  to be the divisible sandpile odometer on  $SG$ , the algorithm works to prove a sharp rotor-router shape theorem on  $SG$ !

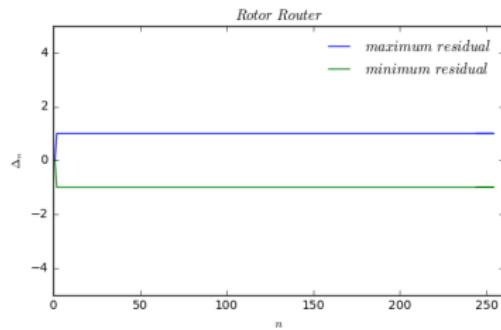
# Rotor-router shape theorem on $SG$

## Theorem (C.-Kudler-Flam '18)

Let  $n_m = \max\{k \geq 0 : b_k \leq m\}$ . Then for any periodic simple rotor mechanism,

$$B_o(n_m - 2) \subset \mathcal{R}(m) \subset B_o(n_m), \quad \forall m \in \mathbb{N}.$$

Numerics confirms that the bounds are sharp.



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*Proof idea.* Start RRA with  $b_n$  particles. Use the divisible sandpile odometer  $u_n^{\text{DS}}$  as the input odometer into the F-L algorithm, then correct the errors, which takes place predominantly on the boundary.

$$\Delta_\rho u_n^{\text{DS}}(x) \in \begin{cases} \{0, 1, 2\}, & \text{if } x \in S_o(n) \setminus \partial I B_o(n), \\ \{0, 1\}, & \text{if } x \in \partial I B_o(n), \\ \{0\}, & \text{if } x \notin B_o(n). \end{cases}$$

Here  $S_o(n) = B_o(n) \setminus B_o(n-1)$ .

# Rotor-router shape theorem on $SG$

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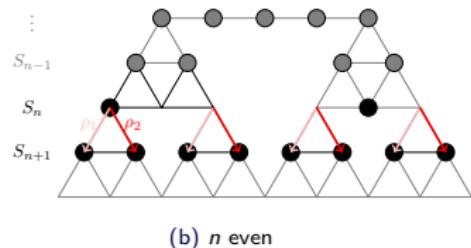
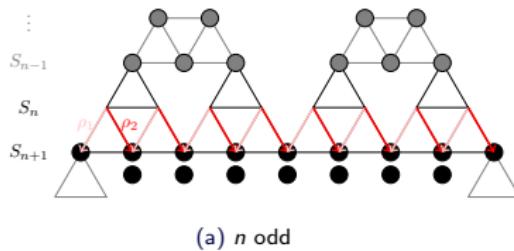
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An inductive proof in 2 acts:

- ① "Fill the bulk": Rotor particles cannot overrun  $B_o(n + 1)$ .
- ② "Pull the marionette:" every vertex in  $B_o(n)$  must be occupied.



## Theorem (C.-Huss–Sava-Huss–Teplyaev '17)

For every  $\epsilon > 0$ ,

$$B_o(n(1 - \epsilon)) \subset \mathcal{I}(|B_o(n)|) \subset B_o(n(1 + \epsilon))$$

holds for all sufficiently large  $n$ , with probability 1.

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### Inner bound proof idea.

- Establish a mean value inequality for the Green's function killed upon exiting  $B_o(n)$ .

$$\frac{1}{|B_o(n)|} \sum_{y \in B_o(n)} G^n(y, z) \leq G^n(o, z).$$

- Green's function  $G(x, y)$  and exit time  $\mathbb{E}_x[\tau_{B_x(r)}]$  estimates: well-known on  $SG$ .
- Implement the above into the machinery of Lawler–Bramson–Griffeath '92.

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### Inner bound proof idea.

- Define

$$h_n(z) = |B_o(n)| G^n(o, z) - \sum_{y \in B_o(n)} G_n(y, z).$$

Then  $h_n$  solves the Dirichlet problem

$$\begin{cases} \Delta h_n = 1 - |B_o(n)| \mathbf{1}_o, & \text{on } B_o(n), \\ h_n = 0, & \text{on } (B_o(n))^c. \end{cases}$$

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### Inner bound proof idea.

- Define

$$h_n(z) = |B_o(n)|G^n(o, z) - \sum_{y \in B_o(n)} G_n(y, z).$$

### Divisible sandpile odometer problem

$$\begin{cases} \Delta u_n = 1 - |B_o(n)|\mathbf{1}_o, & \text{on } S(n), \\ u_n = 0, & \text{on } (S(n))^c. \end{cases}$$

- Green's function  $G(x, y)$  and exit time  $\mathbb{E}_x[\tau_{B_x(r)}]$  estimates: well-known on  $SG$ .
- Implement the above into the machinery of Lawler–Bramson–Griffeath '92.

Theorem (C.-Huss–Sava-Huss–Teplyaev '17)

For every  $\epsilon > 0$ ,

$$B_o(n(1 - \epsilon)) \subset \mathcal{I}(|B_o(n)|) \subset B_o(n(1 + \epsilon))$$

holds for all sufficiently large  $n$ , with probability 1.

Outer bound proof idea.

- Adapt the algorithm of Duminil-Copin–Lucas–Yadin–Yehudayoff '13, by **pausing the IDLA process** when *either* the particle attaches to the aggregate or when it exits  $B_o(n_j)$ , where the  $n_j$  is defined inductively  
→ using the *abelian* property of the IDLA process.
- With the following inputs, we can then implement the algorithm and use the **inner bound** to show there are no long outward tentacles, and hence control the **outer bound**.
  - ▶ **Geometric** input: Volume growth of balls and of annuli in  $SG$ .
  - ▶ **Potential theoretic** input: Show that the killed Green's function  $G^n(x, y) \geq C(\epsilon) > 0$  for all  $x, y \in B_o((1 - \epsilon)n)$ , thanks to the **elliptic Harnack inequality** (proved by Kigami '01 on  $SG$ ) and a chaining argument.

# Limit shapes for Laplacian growth & sandpiles

Sierpinski gasket ( $SG$ ) For all models: Launch from the corner vertex  $o$ .

Model	Initial chip #	Shape theorem/conjecture
IDLA	$ B_o(n) $	In/out-radius $n \pm \mathcal{O}(\sqrt{\log n})$ <sup>1,2</sup>
RRA	$m$	In-radius $n_m - 2$ , out-radius $n_m$ <sup>2</sup>
DSM	$m$	In-radius $n_m - 1$ , out-radius $n_m$ <sup>3</sup>
ASM	$m$	???

<sup>1</sup> C.-Huss–Sava–Huss–Teplyaev '17

<sup>2</sup> C.-Kudler-Flam '18

<sup>3</sup> Huss–Sava–Huss '17

# Limit shapes for Laplacian growth & sandpiles

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DSM	$m$	In-radius $n_m - 1$ , out-radius $n_m$ <sup>3</sup>
ASM	$m$	Receiving set is a ball $B_o(r_m)$ $r_m = m^{1/d_H} [\mathcal{G}(\log m) + o(1)]$ as $m \rightarrow \infty$ <sup>2</sup> ( $\mathcal{G}$ is an explicit $(\log 3)$ -periodic function)

<sup>1</sup> C.-Huss–Sava–Huss–Teplyaev '17

<sup>2</sup> C.-Kudler-Flam '18

<sup>3</sup> Huss–Sava–Huss '17

## Theorem (Limit shape universality on $SG$ )

On  $SG$ , clusters in all 4 single-source growth models fill balls in the graph metric.

#1 nontrivial non-tree state space where **limit shape universality** has been proven.

# The abelian sandpile model [Bak–Tang–Wiesenfeld '87, Dhar, Majumdar, ...]

0	0	0
0	4	0
0	0	0

→

0	1	0
1	0	1
0	1	0

0	0	0	0
0	4	5	0
0	0	0	0

→

0	1	0	0
1	0	6	0
0	1	0	0

→

0	1	1	0
1	1	2	1
0	1	1	0

0	0	0	0
0	4	5	0
0	0	0	0

→

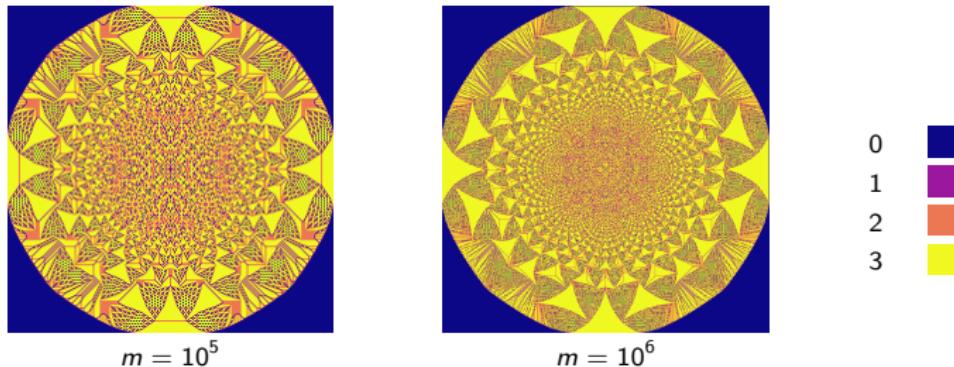
0	0	1	0
0	5	1	1
0	0	1	0

→

0	1	1	0
1	1	2	1
0	1	1	0

# Sandpile growth on $\mathbb{Z}^2$ : Fractals in a sandpile

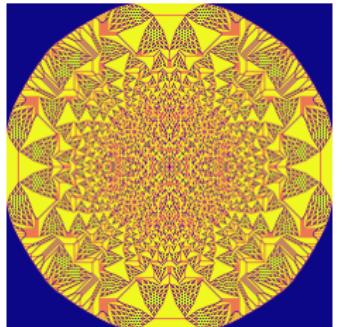
Lay  $m$  chips at the origin and stabilize. Rescale the lattice/cluster by  $m^{1/d}$  in length.



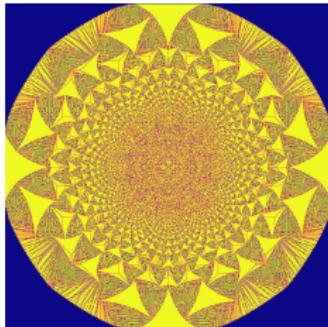
- Scaling limit of the patterns in weak-\*  $L_\infty(\mathbb{R}^d)$ . [Pegden–Smart '11]
- **Apollonian gaskets in the pattern.** [Numerically observed since 90s, proved by Levine–Pegden–Smart '12, '14. Latter is published in *Ann. Math.* '17]  
→ Odometer function satisfies a “sandpile PDE” (integer superharmonic matrices).
- Stability of patterns [Pegden–Smart '17]
- The limit shape is NOT an Euclidean sphere, but rather close to a dodecagon. [No proofs yet]

# Sandpile growth on $\mathbb{Z}^2$ : Fractals in a sandpile

Lay  $m$  chips at the origin and stabilize. Rescale the lattice/cluster by  $m^{1/d}$  in length.



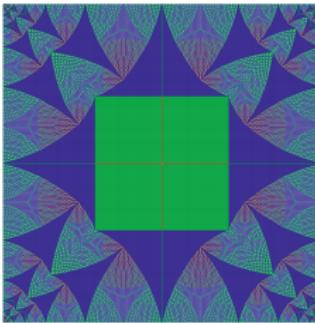
$m = 10^5$



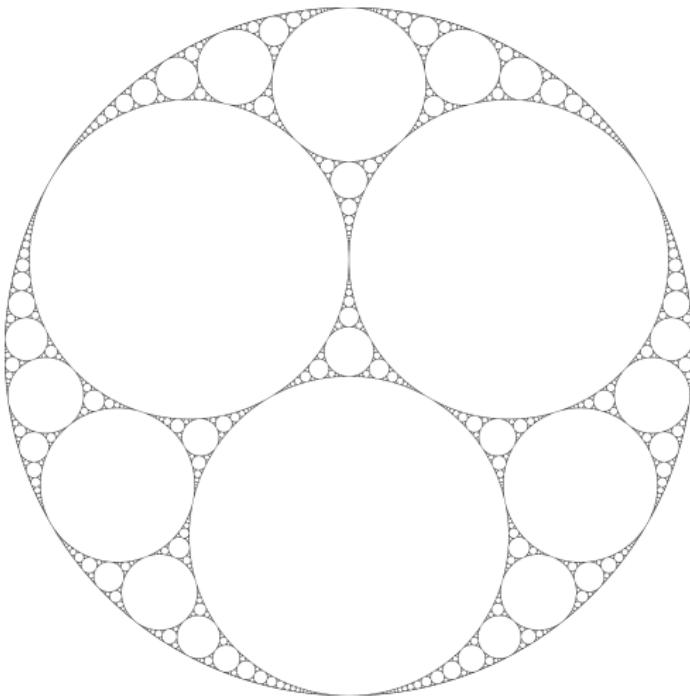
$m = 10^6$



- Identity element of the sandpile group on a sinked finite square lattice.

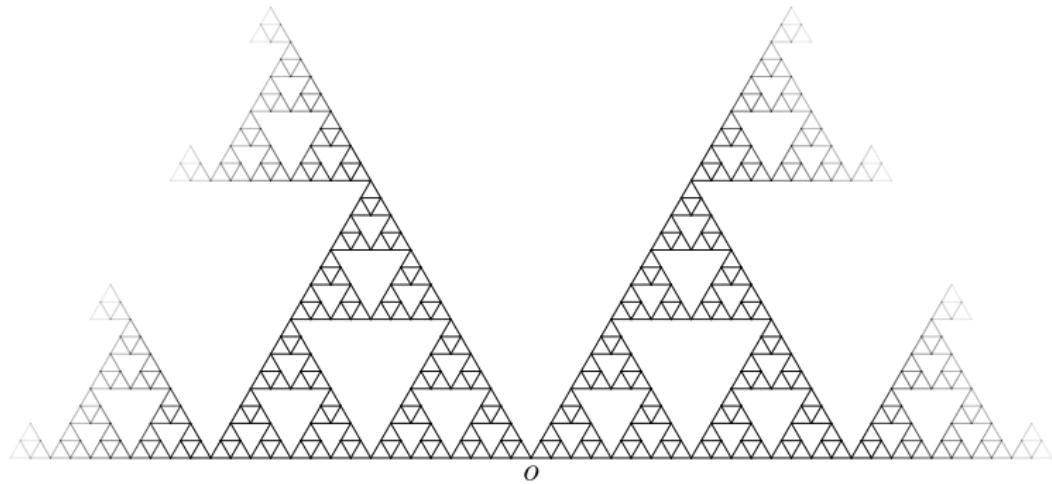


# Apollonian circle packings / gasket



By Time3000 [GFDL or CC BY-SA 4.0-3.0-2.5-2.0-1.0], from Wikimedia Commons  
[https://commons.wikimedia.org/wiki/File:Apollonian\\_gasket.svg](https://commons.wikimedia.org/wiki/File:Apollonian_gasket.svg)

# Let's play sandpiles on (the non-curved) SG!



Q. Is it possible to see fractal patterns in the sandpile on a fractal?

- It does not matter whether to solve it on the 1-sided SG or the 2-sided SG (symmetry).

# Let's play sandpiles on (the non-curved) SG!

## Key observations from simulations:

- Sandpile patterns exhibit periodicity.
- The set of all vertices receiving at least 1 chip is ALWAYS a ball in the graph metric.
- Radial explosions occur at periodic values of  $m$ . (Not seen on  $\mathbb{Z}^d$  or trees!)

# Let's play sandpiles on (the non-curved) SG!

## Key observations from simulations:

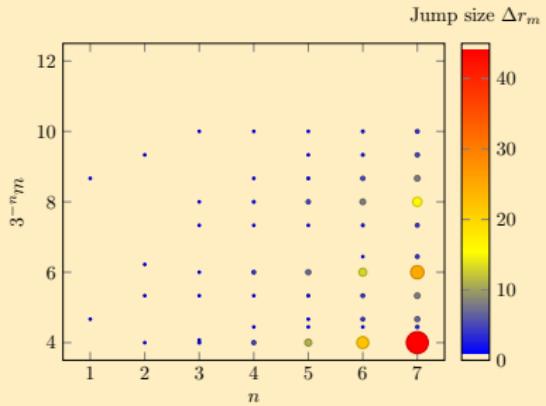
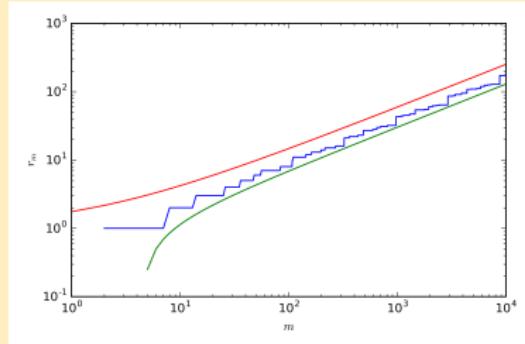
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## Numerical work turned into insights & theorems!

- [Fairchild–Haim–Setra–Strichartz–Westura, arXiv:1602.03424] identified the sandpile growth mechanism.
- [C.–Kudler-Flam, arXiv:1807.08748] solved the sandpile growth problem EXACTLY.

# Top-level abelian sandpile results: radial growth & explosion

Amazing (!!!) numerical discovery by Jonah Kudler-Flam (as a Colgate senior, May '17)

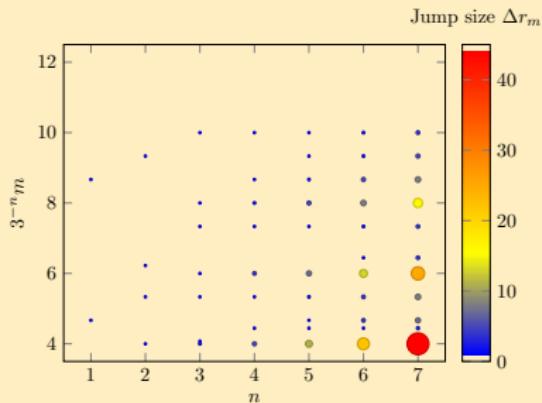
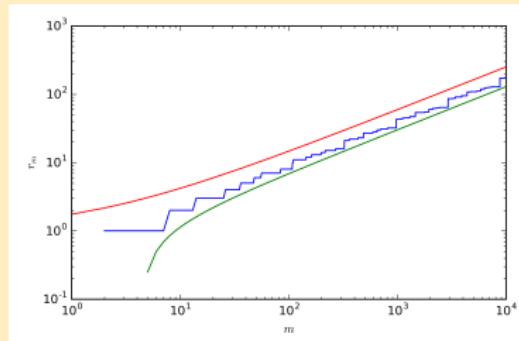


- Major jumps at  $4 \cdot 3^n$ ,  $6 \cdot 3^n$ ,  $8 \cdot 3^n$ ,  $10 \cdot 3^n$ . Period =  $2 \cdot 3^n$ .
- Radial jumps occur at well-defined values of  $m$  (do not get denser as  $n$  increases).

# Top-level abelian sandpile results: radial growth & explosion

As a responsible mathematician, the best thing I can do is to prove...

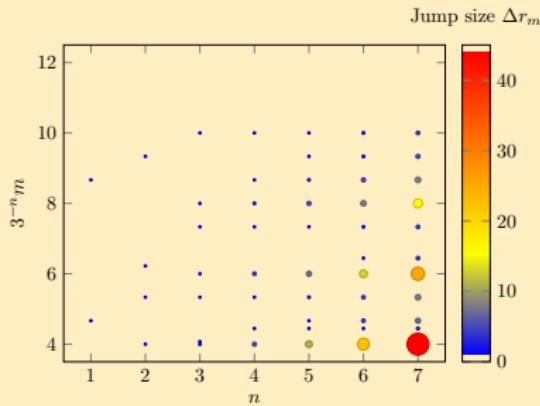
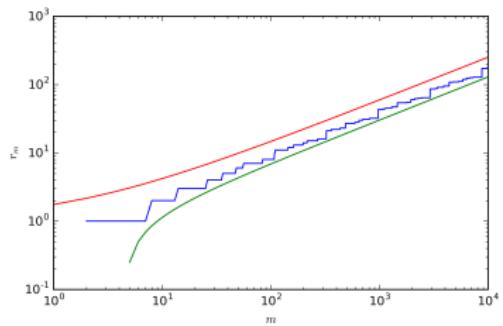
Theorem (C.-Kudler-Flam '18)



- Major jumps at  $4 \cdot 3^n$ ,  $6 \cdot 3^n$ ,  $8 \cdot 3^n$ ,  $10 \cdot 3^n$ . **Period =  $2 \cdot 3^n$ .**
- Radial jumps occur at well-defined values of  $m$  (do not get denser as  $n$  increases).

# Top-level abelian sandpile results: radial scaling limit

Theorem (C.-Kudler-Flam '18)



An important observation from the numerics is

**Remainder Lemma.**  $R(m) := r(3m) - 2r(m) \in \{-1, 0, +1\}$  for all  $m$ .

## Top-level abelian sandpile results: radial scaling limit

**Remainder Lemma.**  $R(m) := r(3m) - 2r(m) \in \{-1, 0, +1\}$  for all  $m$ .

Assume the Remainder Lemma holds. Recall

**Theorem (Renewal theorem)** [cf. Feller; Falconer, Techniques in fractal geometry])

Let  $g : \mathbb{R} \rightarrow \mathbb{R}$ , and  $\mu$  be a Borel probability measure supported on  $[0, \infty)$ . Suppose:

- ①  $\lambda := \int_0^\infty t d\mu(t) < \infty$ .
- ②  $\int_0^\infty e^{-at} d\mu(t) < 1$  for every  $a > 0$ .
- ③  $g$  has a discrete set of discontinuities, and there exist  $c, \alpha > 0$  such that  $|g(t)| \leq ce^{-\alpha|t|}$  for all  $t \in \mathbb{R}$ .

Then there is a unique  $f \in \mathcal{F}$  which solves the renewal equation

$$f(t) = g(t) + \int_0^\infty f(t-y) d\mu(y) \quad (t \in \mathbb{R})$$

and the solution is

$$f(t) = \sum_{k=0}^{\infty} (g * \mu^{*k})(t).$$

## Top-level abelian sandpile results: radial scaling limit

**Remainder Lemma.**  $R(m) := r(3m) - 2r(m) \in \{-1, 0, +1\}$  for all  $m$ .

**Theorem (Renewal theorem)** [cf. Feller; Falconer, Techniques in fractal geometry])

**Renewal equation**

$$f(t) = g(t) + \int_0^\infty f(t-y) d\mu(y) \quad (t \in \mathbb{R})$$

with solution

$$f(t) = \sum_{k=0}^{\infty} (g * \mu^{*k})(t).$$

$\mu$  is said to be  **$\tau$ -arithmetic** if  $\tau > 0$  is the largest number such that  $\text{supp}(\mu) \subset \tau\mathbb{Z}$ . If no such  $\tau$  exists,  $\mu$  is said to be **non-arithmetic**.

- If  $\mu$  is  **$\tau$ -arithmetic**, then for all  $y \in [0, \tau)$ ,

$$\lim_{k \rightarrow \infty} f(k\tau + y) = \frac{\tau}{\lambda} \sum_{j=-\infty}^{\infty} g(j\tau + y).$$

- If  $\mu$  is **non-arithmetic**, then

$$\lim_{t \rightarrow \infty} f(t) = \frac{1}{\lambda} \int_{-\infty}^{\infty} g(y) dy.$$

**Remainder Lemma.**  $R(m) := r(3m) - 2r(m) \in \{-1, 0, +1\}$  for all  $m$ .

**Theorem (Renewal theorem)** [cf. Feller; Falconer, Techniques in fractal geometry])

- If  $\mu$  is  $\tau$ -arithmetic, then for all  $y \in [0, \tau)$ ,

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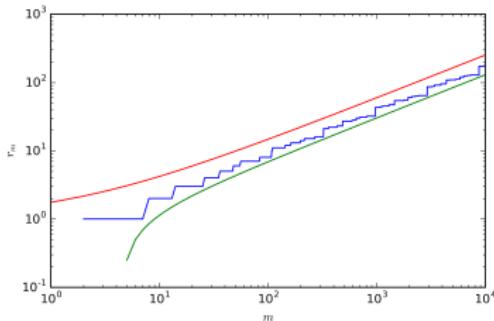
For us,  $f(t) = e^{-t/d_H} r(e^t)$ ,  $g(t) = e^{-t/d_H} R(e^t)$ ,  $\mu = \delta_{\log 3}$ .

**Theorem (Radial scaling limit)**

$$r(x) = x^{1/d_H} [\mathcal{G}(\log x) + o(1)] \quad \text{as } x \rightarrow \infty,$$

where  $d_H = \log_2 3$  is the Hausdorff dimension of SG, and  $\mathcal{G}$  is a log 3-periodic function.

# Top-level abelian sandpile results: radial scaling limit



## Theorem (Radial scaling limit)

$$r(x) = x^{1/d_H} [\mathcal{G}(\log x) + o(1)] \quad \text{as } x \rightarrow \infty,$$

where  $d_H = \log_2 3$  is the Hausdorff dimension of SG, and  $\mathcal{G}$  is an explicit non-constant log 3-periodic function. (This uses a separate argument.)

Best possible limit theorem on a state space with discrete scale invariance

To come: The radial oscillations are connected to changes in the sandpile patterns.

# The fundamental sandpile diagram on $SG$

**Proposition.** For each  $m \geq 12$ , there exists a unique  $(n, m') \in \mathbb{N}^2$  such that

$$(m\mathbb{1}_o)^\circ = \left( \begin{array}{c} \text{circle } m' \\ \text{triangle } \eta \in \mathcal{R}_n \\ \text{circle } m' \end{array} \right)^\circ \subseteq G_{n+1}.$$

From this follows the **radial equation**  $r_m = 2^n + r_{m'-2}$ .

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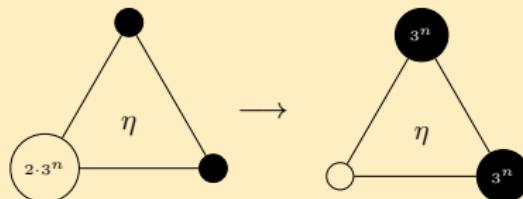
**Key idea:** systematic topplings in waves

- $\mathcal{R}_n$  is the **sandpile group** of  $G_n$  (= class of **recurrent** sandpile configs on  $G_n$ ) with two sink vertices (cut points)  $\partial G_n$ . It is an abelian group under  $\oplus$ , addition of chips followed by stabilization.
- Stabilize  $m\mathbb{1}_o$  in  $G_n \setminus \partial G_n$  to obtain the config  $\eta \in \mathcal{R}_n$ , pausing the excess  $m'$  chips on  $\partial G_n$  (sink)  $\rightarrow$  using the abelian property.
- Then topple the excess chips on  $\partial G_n$  (source). By **Dhar's multiplication by identity test** (a Laplacian identity), with each topple on  $\partial G_n$ ,  $\eta$  is unchanged, while the # of chips on  $\partial G_n$  decrements in steps of 2, until 2 or 3 chips remain.

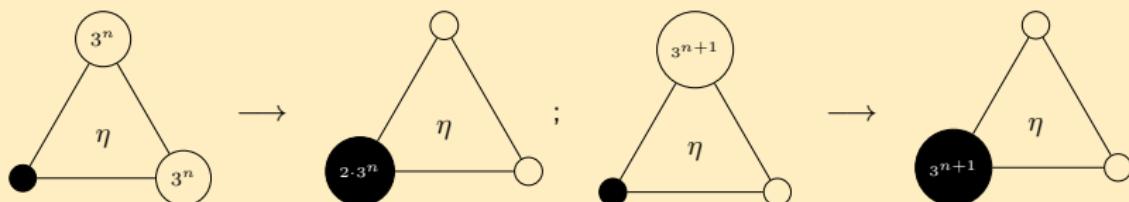
Using this Proposition, we can inductively prove: cluster shape is a ball; periodicity; etc.

# Toppling identities, periodicity

**Proposition.** For every  $\eta \in \mathcal{R}_n^{(s)}$ ,



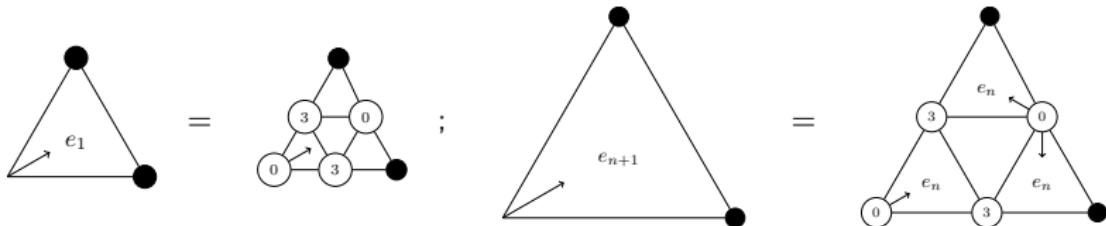
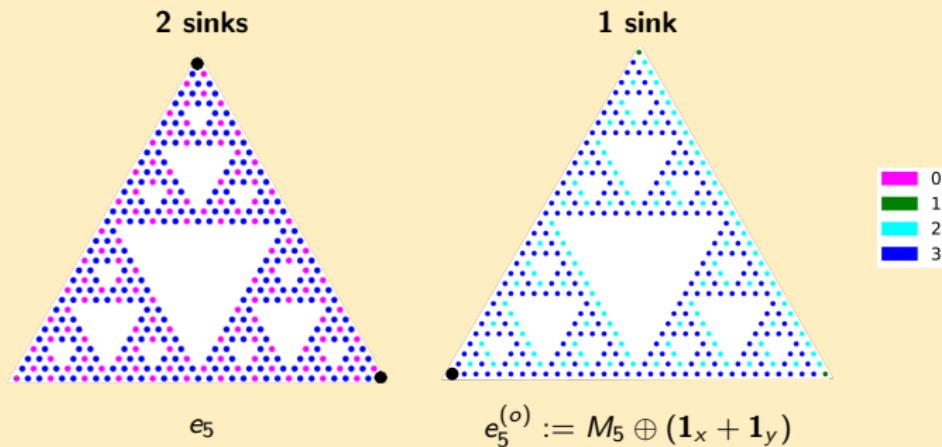
For every  $\eta \in \mathcal{R}_n^{(o)}$ ,



This explains the **( $2 \cdot 3^n$ )-periodicity** in the sandpile growth (and the patterns restricted to  $G_n$ ).

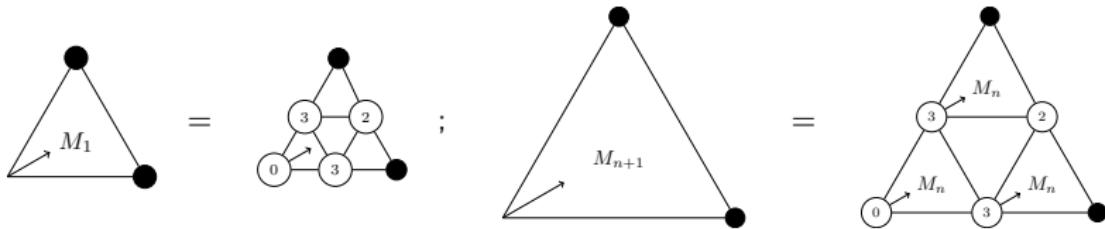
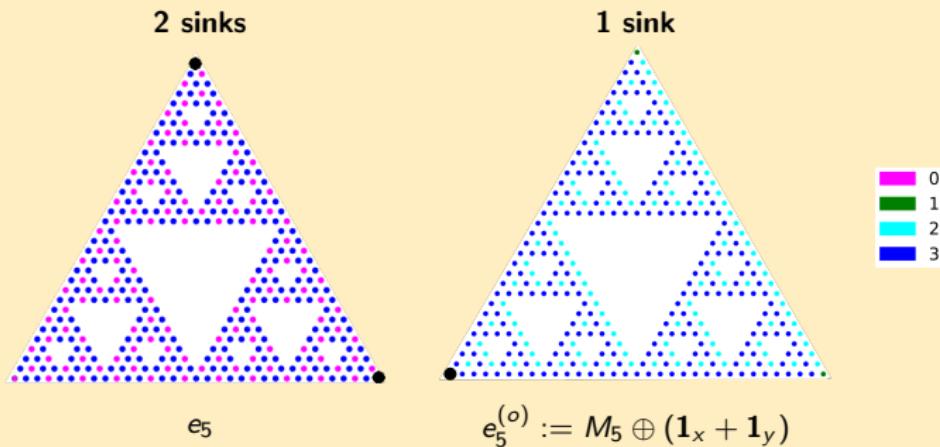
# Identity element of the sandpile group of $SG$

## Theorem (Identity elements)



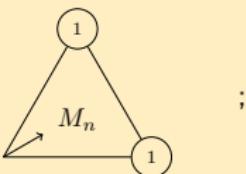
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## Theorem (Identity elements)

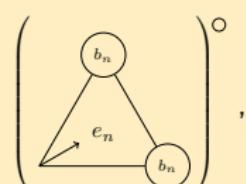


# The main explosions at $4 \cdot 3^n$ : transition from $M_n$ to $e_n$

**Proposition.**

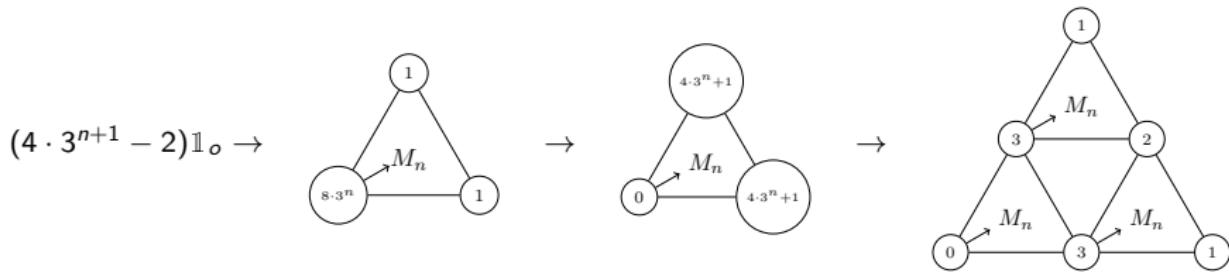
$$((4 \cdot 3^n - 2)\mathbb{1}_o)^\circ =$$


;

$$((4 \cdot 3^n)\mathbb{1}_o)^\circ =$$


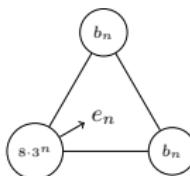
, where  $b_n = \frac{3}{2} (3^{n-1} + 1)$ .

*Proof of the induction step.*

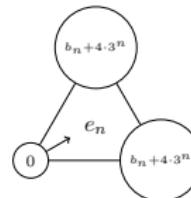


*Proof (cont.).*

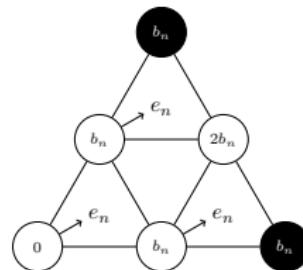
$$(4 \cdot 3^{n+1})\mathbb{1}_o \rightarrow$$



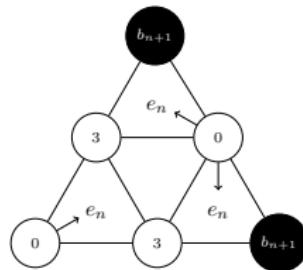
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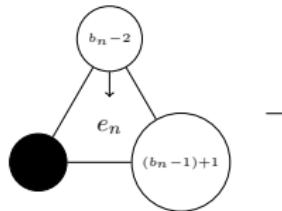


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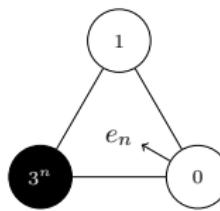


.

The last step depends on the following “reflection lemma,” using the axial symmetry of  $SG$ :



→



Also note  $b_{n+1} = b_n + 3^n$ .

## An axial reflection lemma ( $SG$ with one sink)

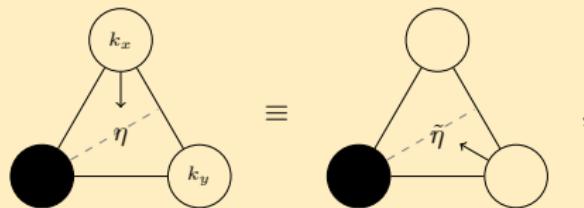
$e_n^{(o)}$  is the id element of  $\mathcal{R}_n^{(o)}$ ;  $\partial G_n = \{x, y\}$ .

**Lemma.** Let  $\eta \in \mathcal{R}_n^{(o)}$  be such that  $\eta = e_n^{(o)} \oplus \alpha \mathbb{1}_x \oplus \beta \mathbb{1}_y$  for some  $\alpha, \beta \in \mathbb{N}_0$ . Let  $k_x, k_y \in \mathbb{N}_o$  solve the system of equations

$$\begin{cases} \alpha + k_x &= \beta + p_0 \cdot 3^n + p_1 \cdot 3^{n+1} \\ \beta + k_y &= \alpha + p_0 \cdot 3^n + p_2 \cdot 3^{n+1} \end{cases}$$

for some  $p_0, p_1, p_2 \in \mathbb{Z}$  (which come from the toppling identities).

Then



where  $\tilde{\eta} = e_n^{(o)} \oplus \beta \mathbb{1}_x \oplus \alpha \mathbb{1}_y$  is the reflection of  $\eta$  across the axis of symmetry.

For the example on the previous slide:

$$\alpha = 2 \cdot 3^n, \beta = b_n - 2, k_x = b_n - 2, k_y = b_n - 1, p_0 = -1, p_1 = 1, p_2 = 0.$$

# The fundamental sandpile diagram on $SG$

**Proposition.** For each  $m \geq 12$ , there exists a unique  $(n, m') \in \mathbb{N}^2$  such that

$$(m\mathbb{I}_o)^\circ = \left( \begin{array}{c} \text{circle } m' \\ \downarrow \\ \text{triangle } \eta \in \mathcal{R}_n \\ \uparrow \\ \text{circle } m' \end{array} \right)^\circ \subseteq G_{n+1}.$$

From this follows the fundamental equation  $r_m = 2^n + r_{m'-2}$ .

*Example:*  $n = 3$ . Record values of  $m$  at which  $m'$  jumps.

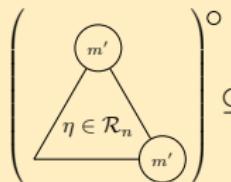
$\frac{m}{3^n}$	$m$	$m'$	$m - 2m'$	$\Delta r_m$	$\frac{m}{3^n}$	$m$	$m'$	$m - 2m'$	$\Delta r_m$
4	108	15	78	2	8	216	69	78	
$4\frac{2}{27}$	110	16	78	1	$8\frac{2}{27}$	218	70	78	
$4\frac{4}{9}$	120	19	82		$8\frac{4}{9}$	228	73	82	
$4\frac{2}{3}$	126	20	86		$8\frac{2}{3}$	234	74	86	
$5\frac{1}{3}$	144	28	88	1	$9\frac{1}{3}$	252	82	88	
6	162	42	78	1	10	270	96	78	1
$6\frac{2}{27}$	164	43	78		$10\frac{2}{27}$	272	97	78	
$6\frac{4}{9}$	174	46	82		$10\frac{4}{9}$	282	100	82	
$6\frac{2}{3}$	180	47	86		$10\frac{2}{3}$	288	101	86	
$7\frac{1}{3}$	198	55	88	1	$11\frac{1}{3}$	306	109	88	

$\frac{m}{3^n}$	$m$	$m'$	$m - 2m'$	$\Delta r_m$	$\frac{m}{3^n}$	$m$	$m'$	$m - 2m'$	$\Delta r_m$	$\frac{m}{3^n}$	$m$	$m'$	$m - 2m'$	$\Delta r_m$
2	1	0	1		8	216	69	78	1	6	1620	407	806	
8	4	0	1		8 $\frac{2}{27}$	218	70	78		7 $\frac{1}{3}$	1782	487	808	1
<b>n = 1</b>														
4	12	3	6		8 $\frac{2}{9}$	234	74	86		8 $\frac{2}{9}$	1946	610	726	
4 $\frac{2}{9}$	14	4	6	1	9 $\frac{1}{3}$	252	82	88		8 $\frac{2}{9}$	2052	649	754	
6	18	6	6		10 $\frac{2}{27}$	272	97	78	1	8 $\frac{2}{9}$	2106	650	806	2
6 $\frac{2}{9}$	20	7	6		10 $\frac{3}{9}$	282	100	82		9 $\frac{1}{3}$	2268	730	808	1
8	24	9	6		10 $\frac{2}{3}$	288	101	86		10	2430	852	726	
8 $\frac{2}{3}$	26	10	6	1	11 $\frac{1}{3}$	306	109	88		10 $\frac{2}{3}$	2432	853	726	
10	30	12	6		<b>n = 4</b>					10 $\frac{2}{3}$	2538	892	754	
10 $\frac{2}{3}$	32	13	6		<b>n = 5</b>					10 $\frac{2}{3}$	2592	893	806	
<b>n = 2</b>														
4	36	6	24	1	4 $\frac{2}{81}$	326	43	240		4	2916	366	2184	22
4 $\frac{2}{9}$	38	7	24		4 $\frac{2}{9}$	360	55	250	1	4 $\frac{2}{9}$	2918	367	2184	
4 $\frac{2}{27}$	42	8	26		5 $\frac{1}{3}$	378	56	266		4 $\frac{2}{9}$	3240	487	2266	1
5 $\frac{1}{3}$	48	10	28	1	6	486	123	240	4	4 $\frac{2}{9}$	3402	488	2426	4
6	54	15	24		6 $\frac{2}{81}$	488	124	240		5 $\frac{1}{3}$	3888	730	2428	4
6 $\frac{2}{9}$	56	16	24	1	6 $\frac{3}{5}$	522	136	250		6	4374	1095	2184	13
6 $\frac{2}{27}$	60	17	26		6 $\frac{2}{3}$	540	137	266		6 $\frac{2}{3}$	4376	1096	2184	
7 $\frac{1}{3}$	66	19	28		7 $\frac{2}{9}$	594	163	268	1	6 $\frac{2}{9}$	4698	1216	2266	1
8	72	24	24		8	648	204	240	2	6 $\frac{2}{3}$	4860	1217	2426	
8 $\frac{2}{9}$	74	25	24		8 $\frac{2}{27}$	649	205	240		7 $\frac{1}{3}$	5346	1459	2428	2
8 $\frac{2}{81}$	78	26	26		8 $\frac{3}{5}$	684	217	250		8	5832	1824	2184	8
9 $\frac{1}{3}$	84	28	28	1	8 $\frac{2}{3}$	702	218	266	1	8 $\frac{2}{3}$	5834	1825	2184	
10	90	33	24		9 $\frac{1}{3}$	756	244	268		8 $\frac{2}{3}$	6156	1945	2266	
10 $\frac{2}{9}$	92	34	24		10	810	285	240	1	8 $\frac{2}{3}$	6318	1946	2426	5
10 $\frac{2}{27}$	96	35	26		10 $\frac{2}{3}$	812	286	240		9 $\frac{1}{3}$	6804	2188	2428	2
11 $\frac{1}{3}$	102	37	28		10 $\frac{4}{9}$	846	298	250		10	7290	2553	2184	2
<b>n = 3</b>														
4	108	15	78	2	10 $\frac{1}{3}$	864	299	266		10 $\frac{2}{9}$	7292	2554	2184	
4 $\frac{2}{9}$	110	16	78	1	11 $\frac{1}{3}$	918	325	268		10 $\frac{3}{5}$	7614	2674	2266	
4 $\frac{4}{9}$	120	19	82		<b>n = 5</b>					10 $\frac{2}{3}$	7766	2675	2426	
4 $\frac{4}{27}$	126	20	86		4 $\frac{2}{3}$	974	124	726		11 $\frac{1}{3}$	8262	2917	2428	
5 $\frac{1}{3}$	144	28	88	1	4 $\frac{3}{5}$	1080	163	754	1	<b>n = 7</b>				
6	162	42	78	1	4 $\frac{4}{5}$	1134	164	806	1	4 $\frac{2}{3}$	8748	1095	6558	44
6 $\frac{2}{9}$	164	43	78		5 $\frac{1}{3}$	1296	244	808	2	4 $\frac{4}{5}$	8750	1096	6558	
6 $\frac{4}{9}$	174	46	82		6	1458	366	726	7	2 $\frac{4}{5}$	9720	1459	6802	3
6 $\frac{8}{27}$	180	47	86		6 $\frac{2}{3}$	1460	367	726		4 $\frac{4}{5}$	10206	1460	7286	7
7 $\frac{1}{3}$	198	55	88	1	6 $\frac{2}{9}$	1566	406	754		5 $\frac{1}{3}$	11664	2188	7288	8
<b>Legend:</b> $(m \mathbb{I}_D)^o =$														
$; \# \text{chips in } \eta \} = m - 2m'.$														

## Detailed results: radial jumps

### Theorem (Enumeration of radial jumps)

For  $n \geq 3$  and  $m \in [4 \cdot 3^n, 4 \cdot 3^{n+1})$ ,  $(m \mathbb{1}_o)^\circ =$

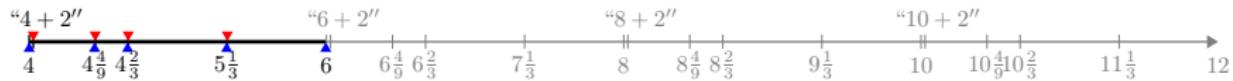


$\subseteq G_{n+1}$ , where  $m \mapsto m'$  is a

piecewise constant right-continuous function which has jumps indicated in the following table.

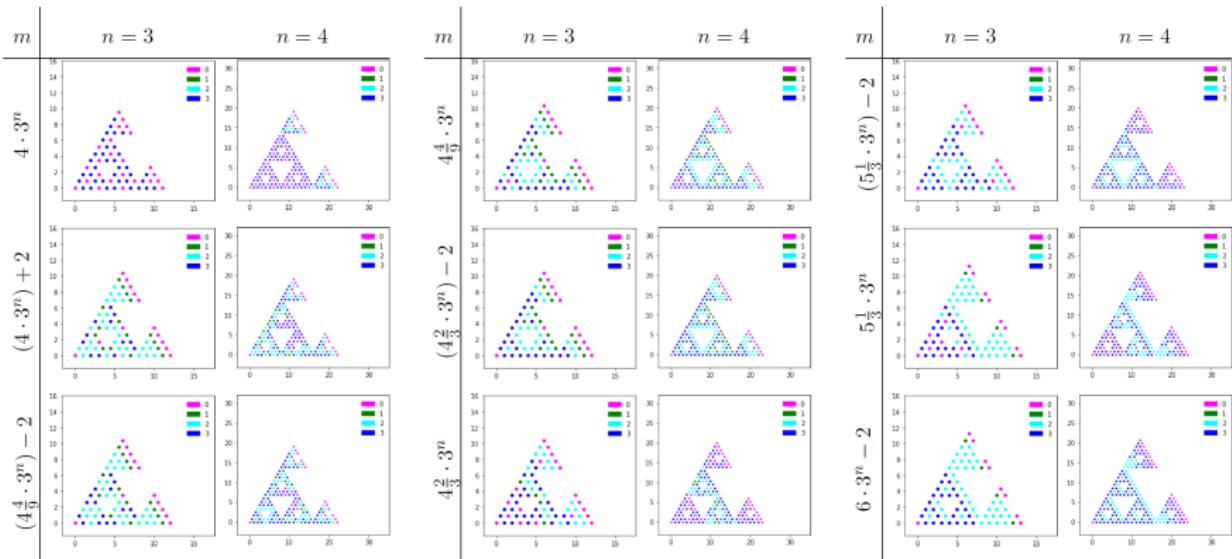
$m$	$m'$
$(4 + 2p) \cdot 3^n$	$b_n + p \cdot 3^n$
$(4 + 2p) \cdot 3^n + 2$	$(b_n + 1) + p \cdot 3^n$
$(4\frac{4}{9} + 2p) \cdot 3^n$	$2 \cdot 3^{n-1} + 1 + p \cdot 3^n$
$(4\frac{2}{3} + 2p) \cdot 3^n$	$2 \cdot 3^{n-1} + 2 + p \cdot 3^n$
$(5\frac{1}{3} + 2p) \cdot 3^n$	$3^n + 1 + p \cdot 3^n$

where  $p \in \{0, 1, 2, 3\}$ , and  $b_n = |V(G_{n-1})| = \frac{3}{2}(3^{n-1} + 1)$ .



# Detailed results: Fractals in the sandpile on a fractal

## Patterns associated to the jumps



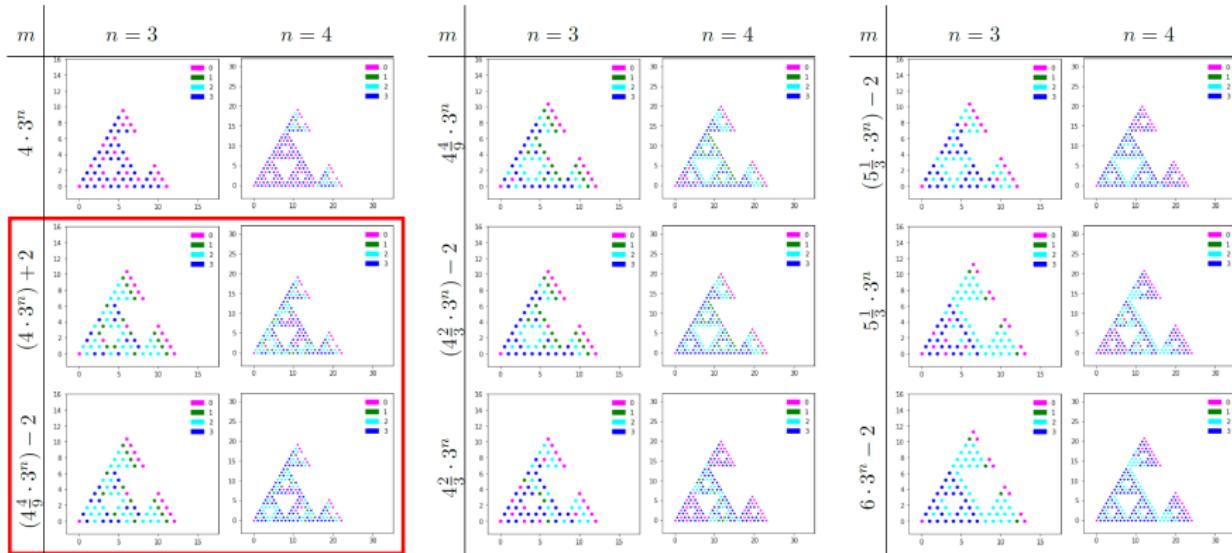
## An inductive proof—Sandpile block renormalization

Configuration restricted to  $G_n$  is the gluing of 3 well-defined **sandpile tiles**.

[What You See Is What You Get]

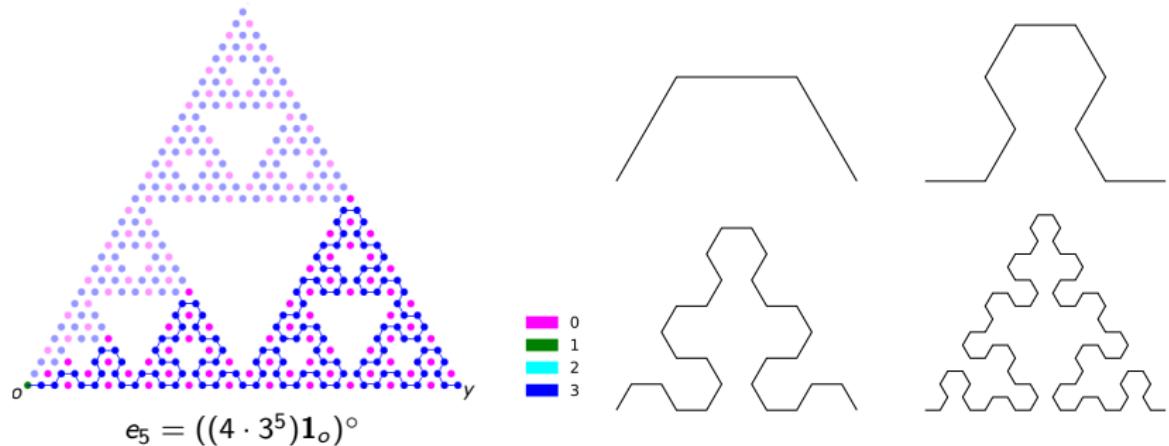
# Detailed results: Fractals in the sandpile on a fractal

Patterns associated to the jumps



BUT there are **two exceptions** which cannot be explained by tiling arguments.

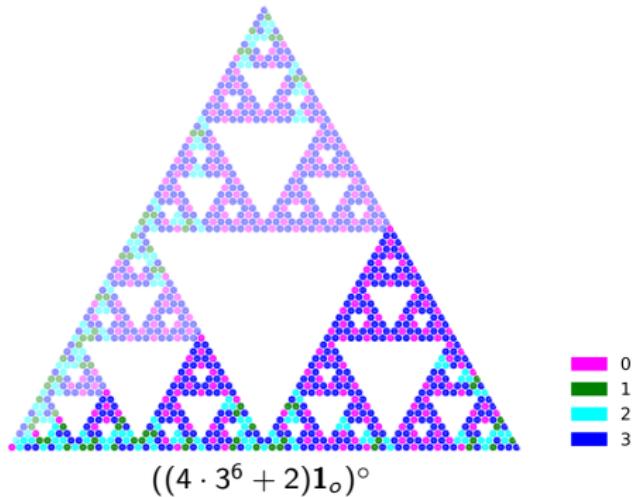
# $e_n$ : A fractal (Peano curve) within a fractal ( $SG$ )!



$$e_5 = ((4 \cdot 3^5) \mathbf{1}_o)^\circ$$

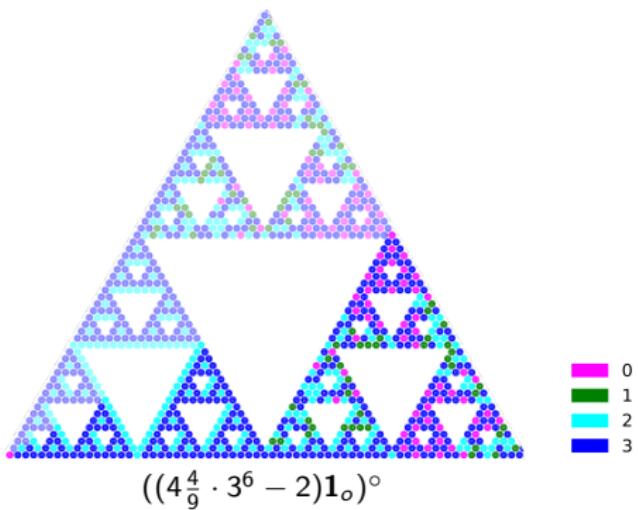
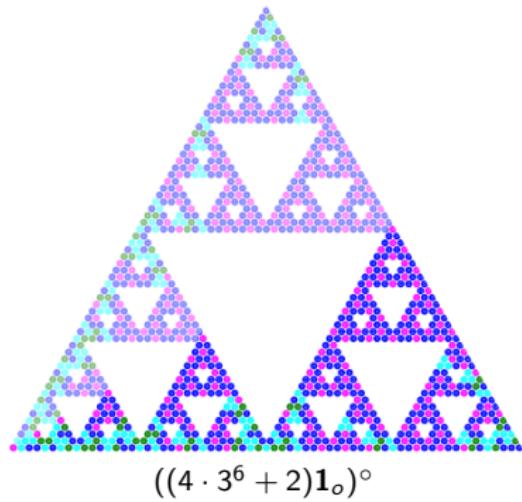
- The unique shortest blue path (a Peano curve formed by the concatenation of the first  $n$  Sierpinski arrowhead curves) of 3's connecting  $o$  to the sink  $y$ .
- What happens when 2 chips are added to  $o$ ?
- Triggers a chain reaction of topplings down the Peano curve, all the way to  $y$ ! This sends 1 extra chip to each sink vertex, which explains “ $4 \cdot 3^n + 2$ ” in the radial jump theorem.
- BUT ...

## $e_n + 2$ : Traps develop along the Peano curve



- Blotches of 1's and 2's ("traps") develop at well-defined locations, due to connections across vertices on the Peano curve.
- Best way to visualize this is to parametrize  $SG$  along the length of the Peano curve:

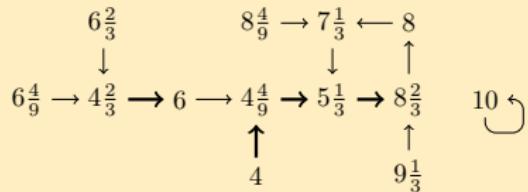
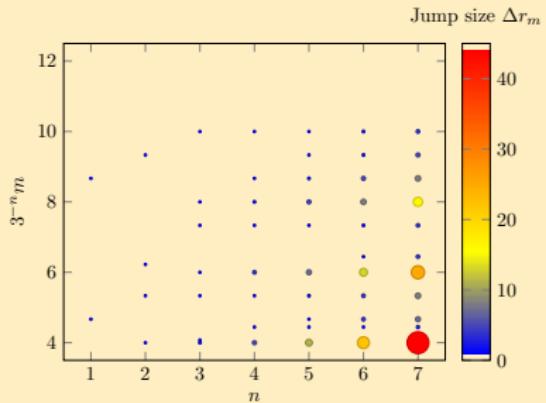
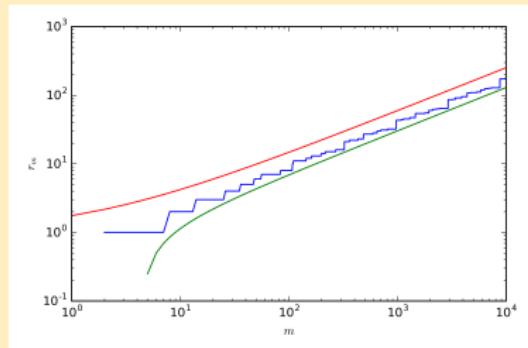
$"4\frac{4}{9} \cdot 3^n - 2"$ : Inability to overcome the traps



0  
1  
2  
3

# Summary: Exact solution of abelian sandpile growth on $SG$

## Theorem (Recursive radial formula)



$$a \rightarrow b: \quad r_{a \cdot 3^n} = 2^n + r_{b \cdot 3^{n-1}} \quad (n \geq 3)$$
$$a \rightarrow b: \quad r_{a \cdot 3^n} = 2^n + r_{b \cdot 3^{n-2}} \quad (n \geq 4)$$

The recursive radial formula implies the aforementioned Remainder Lemma.

# Limit shapes for Laplacian growth & sandpiles

Sierpinski gasket (*SG*) For all models: Launch from the corner vertex  $o$ .

Model	Initial chip #	Shape theorem/conjecture
IDLA	$ B_o(n) $	In/out-radius $n \pm \mathcal{O}(\sqrt{\log n})$ <sup>1,2</sup>
RRA	$m$	In-radius $n_m - 2$ , out-radius $n_m$ <sup>2</sup>
DSM	$m$	In-radius $n_m - 1$ , out-radius $n_m$ <sup>3</sup>
ASM	$m$	Receiving set is a ball $B_o(r_m)$ $r_m = m^{1/d_H} [\mathcal{G}(\log m) + o(1)]$ as $m \rightarrow \infty$ <sup>2</sup> ( $\mathcal{G}$ is an explicit $(\log 3)$ -periodic function)

<sup>1</sup> C.-Huss–Sava–Huss–Teplyaev '17

<sup>2</sup> C.-Kudler-Flam '18

<sup>3</sup> Huss–Sava–Huss '17

## Theorem (Limit shape universality on *SG*)

On *SG*, clusters in all 4 single-source growth models fill balls in the graph metric.

#1 nontrivial non-tree state space where **limit shape universality** has been proven.

**Remark.** Ahmed Bou-Rabee has a 2nd example (supercritical percolation cluster on  $\mathbb{Z}^2$ ).

# The mathematical beyond: Open questions

- Fluctuation of IDLA on  $SG$ :  $\mathcal{O}(\sqrt{\log n})$  per simulations
- Log-periodic radial oscillations: beautiful numerics, but proofs?

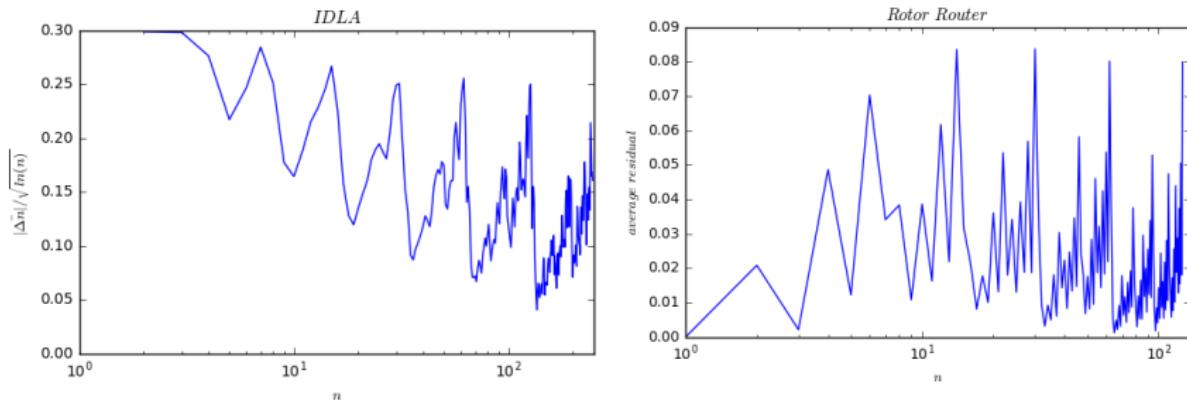


Figure: Sample average of the absolute value of the radial fluctuations about the expected radius.

- Other examples of state spaces on which limit shape universality holds?  
My (naive) conjecture: should hold on any planar nested fractal (as defined by Lindstrom)
- Change the initial condition: Single-source to (random) multi-source?  
cf. Abelian sandpile on  $\mathbb{Z}^2$  with initial Bernoulli 3-5 configuration (Bou-Rabee)

Thank you!