

# The Fragile Families Challenge


## Predictability of family and child well-being in adolescence

Matthew J. Salganik, Ian Lundberg, Alex Kindel, Sara S. McLanahan,  
and participants in the Fragile Families Challenge

Princeton University  
(with collaborators from many institutions)

Aug. 12, 2018

Annual Meeting of the American Sociological Association

This research is supported by the Russell Sage Foundation. We are grateful to the members of the Board of Advisors of the Fragile Families Challenge: Jeanne Brooks-Gunn, Kathryn J. Edin, Barbara E. Engelhardt, Irwin Garfinkel, Moritz Hardt, Dean Knox, Nicholas Lemann, Karen Levy, Sara McLanahan, Arvind Narayanan, Timothy J. Nelson, Matthew Salganik, & Duncan Watts. Source for these slides: [www.github.com/fragilefamilieschallenge](https://www.github.com/fragilefamilieschallenge). 

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Mobility research can be framed as a **prediction** task.

$$Y = \mathbb{E} \left( Y \mid \vec{X} \right) + \epsilon$$

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**Attainment**

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### **Attainment**

- Academic achievement
- Occupation
- Income

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$$Y = \underbrace{E(Y | \vec{X})}_{\text{Predictable component}} + \epsilon$$

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**Predictable component**

- Life chances
- Social rigidity
- Stability



Mobility research can be framed as a **prediction** task.

$$Y = \underbrace{\beta_1 X_1 + \beta_2 X_2}_{\text{Predictable component}} + \epsilon$$



### **Attainment**

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### **Predictable component**

- Life chances
- Social rigidity
- Stability

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**Unpredictable component**

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**Attainment**

- Academic achievement
- Occupation
- Income

**Predictable component**

- Life chances
- Social rigidity
- Stability

**Unpredictable component**

- Mobility
- Social fluidity
- Volatility

Mobility research can be framed as a **prediction** task.

$$Y = \underbrace{E(Y | \vec{X})}_{\text{Predictable component}} + \epsilon$$

Diagram illustrating the components of the equation:

- $Y$  is labeled **Attainment**.
- $E(Y | \vec{X})$  is labeled **Predictable component**.
- $\epsilon$  is labeled **Unpredictable component**.

**Puzzle:** Theories focus on the predictable component

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$$Y = \underbrace{E(Y | \vec{X})}_{\text{Predictable component}} + \epsilon$$

Diagram illustrating the components of the equation:

- $Y$  is labeled **Attainment** (indicated by a downward arrow).
- $E(Y | \vec{X})$  is labeled **Predictable component** (indicated by a downward arrow from the brace).
- $\epsilon$  is labeled **Unpredictable component** (indicated by a downward arrow).

**Puzzle:** Theories focus on the predictable component but empirically the unpredictable component dominates.

**Candidate explanation:** Modeling errors

$$\hat{E}(Y | \vec{X}) \neq E(Y | \vec{X}).$$

# Modeling errors



## Modeling errors

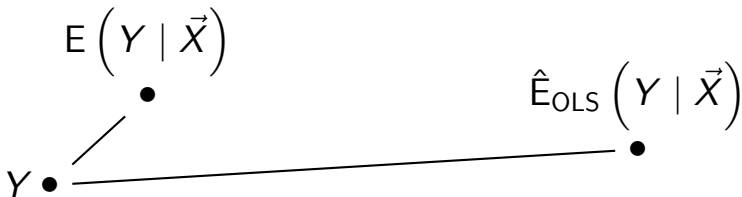
A diagram illustrating the relationship between an observed value  $Y$  and its OLS estimate  $\hat{E}_{OLS}(Y | \vec{X})$ . A horizontal line connects two points. The point on the left is labeled  $Y$  and the point on the right is labeled  $\hat{E}_{OLS}(Y | \vec{X})$ . Both labels are positioned to the left of their respective points.

$$Y \bullet \text{---} \bullet \hat{E}_{OLS}(Y | \vec{X})$$

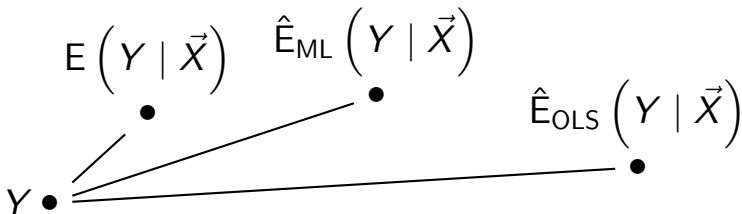
## Modeling errors



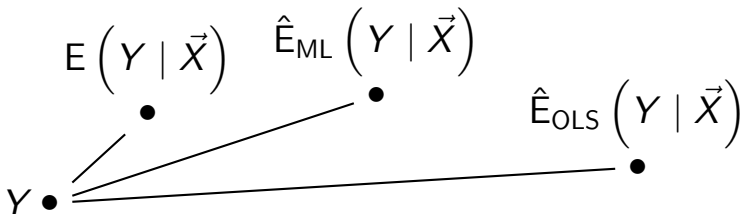
**Modeling errors** can be minimized by  
**machine learning**.



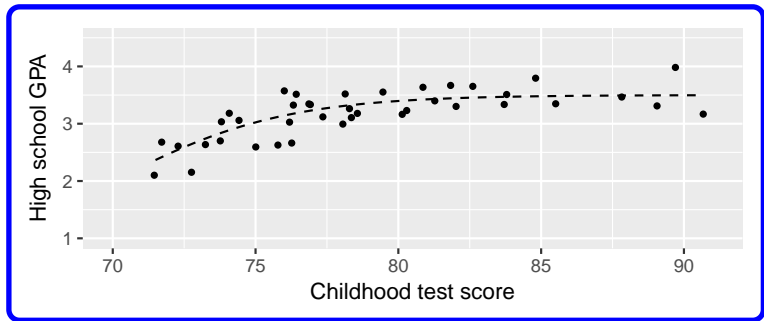
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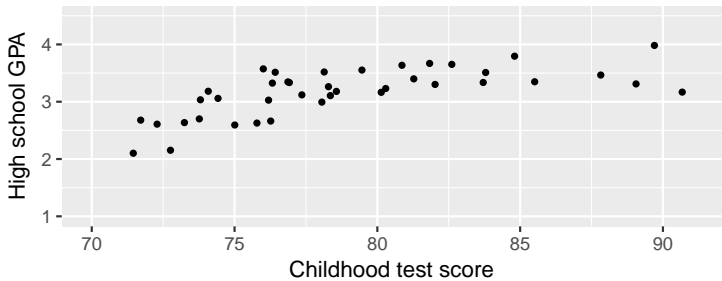
**Modeling errors** can be minimized by **machine learning**.



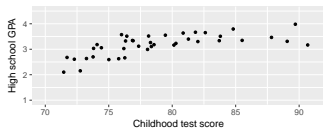
**How much does predictability improve** when we utilize this **untapped modeling potential**?



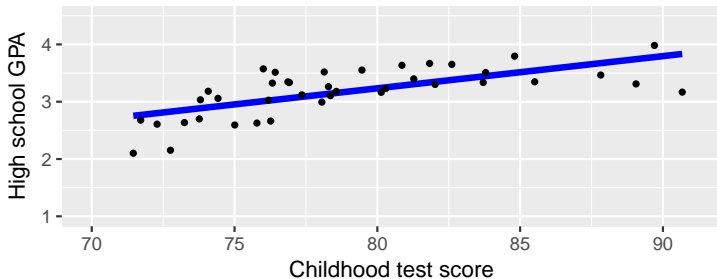
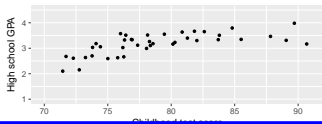
True predictability:  $R^2 = 0.54$



$R^2$

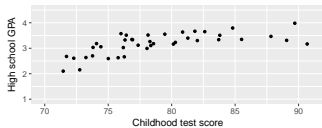




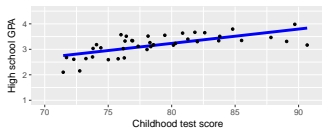
$R^2$ 

$$R^2 = 0.45$$

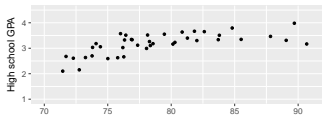
$R^2$



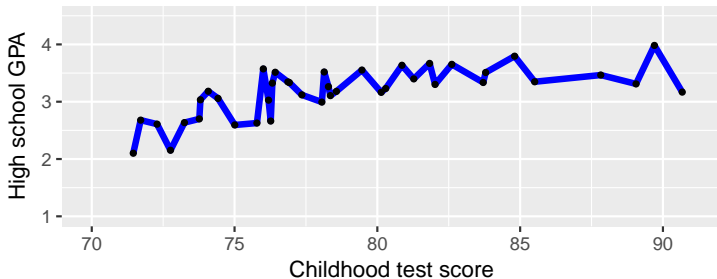
0.45  
(estimated)



$R^2$

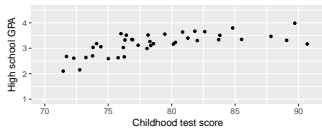


0.4  
(estimated)

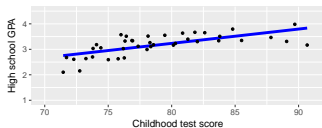


$$R^2 = 1.00$$

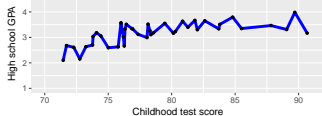
$R^2$



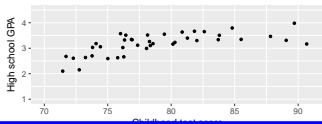
0.45  
(estimated)



1.00  
(estimated)

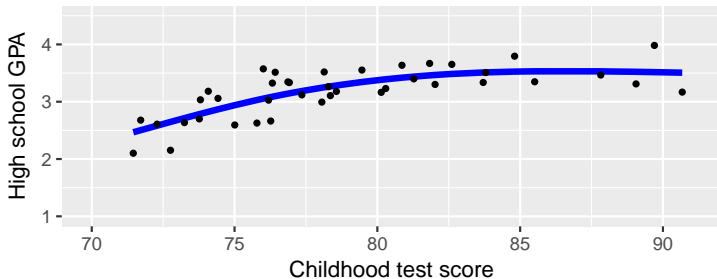


$R^2$



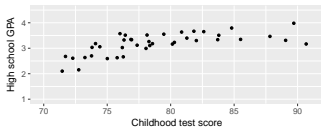
0.4  
(estima

1.0  
(estima

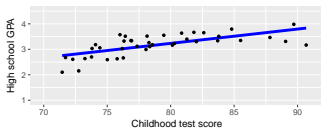


$$R^2 = 0.62$$

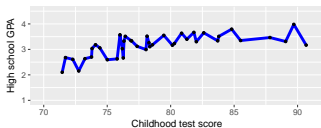
$R^2$



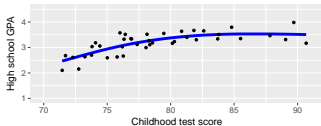
0.45  
(estimated)



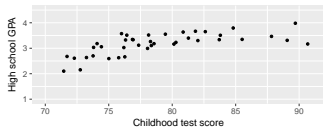
1.00  
(estimated)



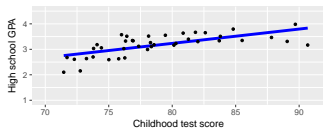
0.62  
(estimated)



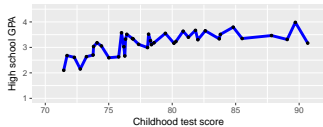
$R^2$



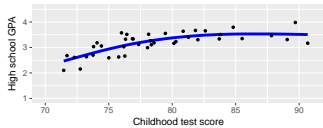
0.45  
(estimated)



1.00  
(estimated)

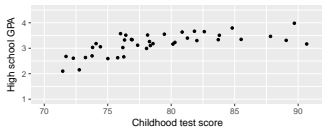


0.62  
(estimated)

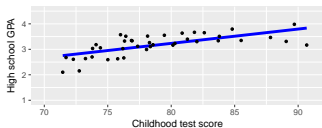


$R^2_{\text{Train}}$ 

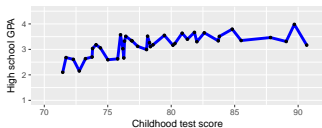
Train



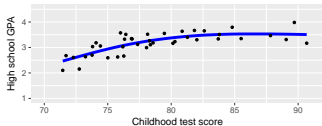
0.45  
(estimated)



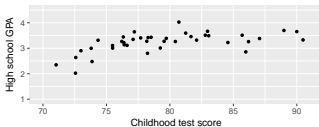
1.00  
(estimated)



0.62  
(estimated)



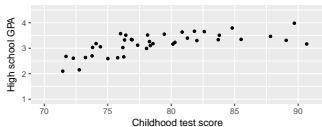
Holdout

 $R^2_{\text{Holdout}}$ 

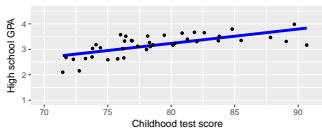


$R^2_{\text{Train}}$ 

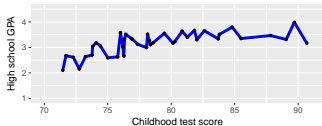
Train



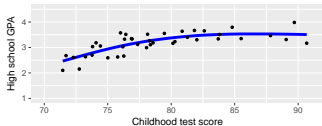
0.45  
(estimated)



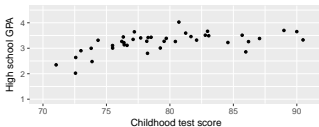
1.00  
(estimated)



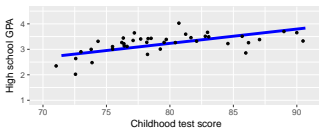
0.62  
(estimated)



Holdout

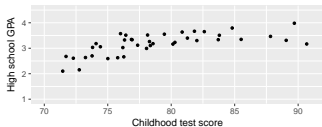
 $R^2_{\text{Holdout}}$ 

0.30  
(estimated)

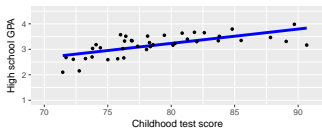


$R^2_{\text{Train}}$ 

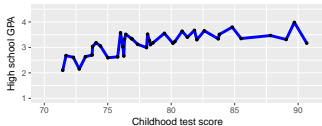
Train



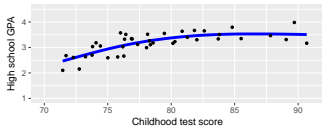
0.45  
(estimated)



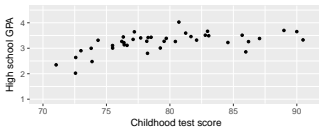
1.00  
(estimated)



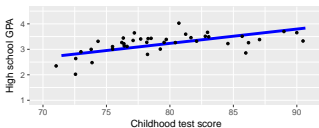
0.62  
(estimated)



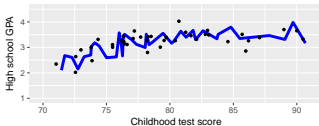
Holdout

 $R^2_{\text{Holdout}}$ 

0.30  
(estimated)



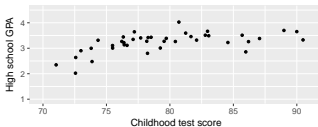
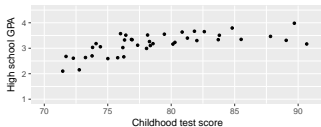
0.13  
(estimated)



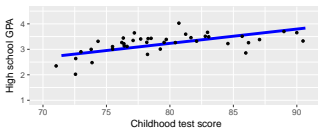
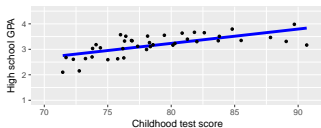
$R^2_{\text{Train}}$ 

Train

Holdout

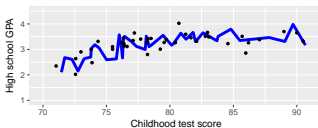
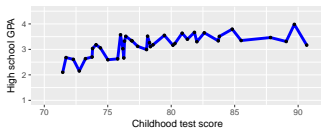
 $R^2_{\text{Holdout}}$ 

0.45  
(estimated)



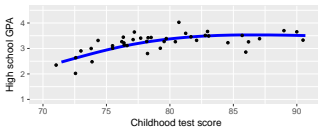
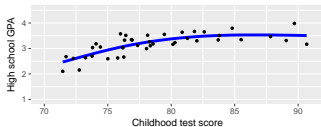
0.30  
(estimated)

1.00  
(estimated)



0.13  
(estimated)

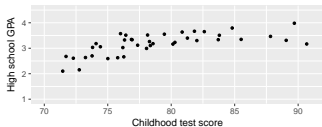
0.62  
(estimated)



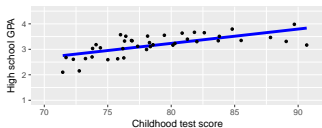
0.52  
(estimated)

$R^2_{\text{Train}}$ 

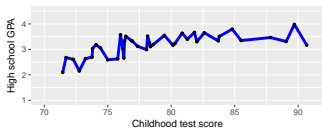
Train



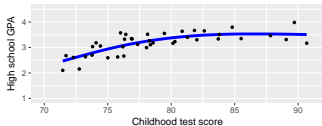
0.45  
(estimated)



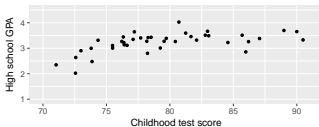
1.00  
(estimated)



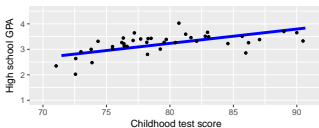
0.62  
(estimated)



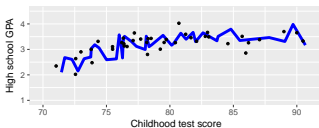
Holdout

 $R^2_{\text{Holdout}}$ 

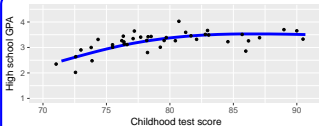
0.30  
(estimated)



0.13  
(estimated)



0.52  
(estimated)



Machine learning provides  
a principled framework  
for **model selection**

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for **model selection**



Predictive performance  
in a  
**held-out sample**

Machine learning provides  
a principled framework  
for **model selection**



Predictive performance  
in a  
**held-out sample**

Social science  
**defines the problem**

Machine learning provides  
a principled framework  
for **model selection**



Predictive performance  
in a  
**held-out sample**

Social science  
**defines the problem**



Machine learning  
finds an  
**optimal solution**



Machine learning provides  
a principled framework  
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Predictive performance  
in a  
**held-out sample**

Social science  
**defines the problem**



Machine learning  
finds an  
**optimal solution**

## **First example**

1 predictor

40 observations

3 participants

Machine learning provides  
a principled framework  
for **model selection**



Predictive performance  
in a  
**held-out sample**

Social science  
**defines the problem**



Machine learning  
finds an  
**optimal solution**








### **First example**

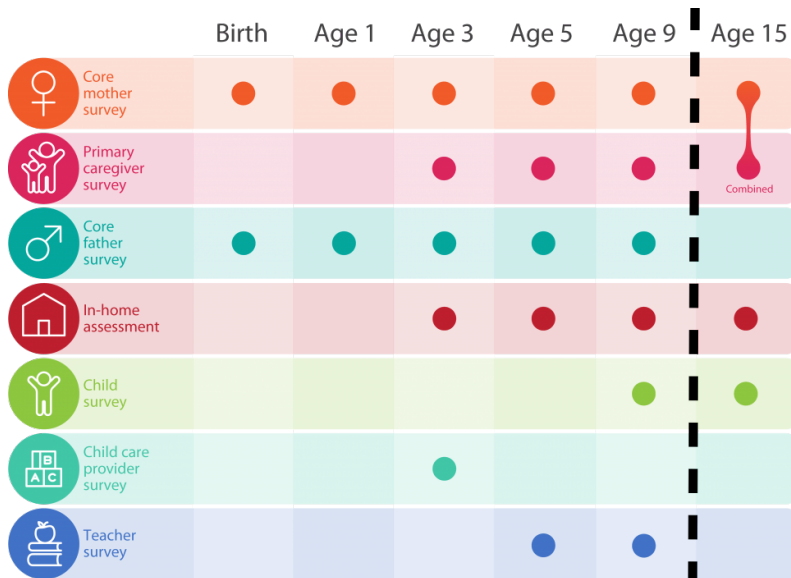
### **Fragile Families Challenge**

1 predictor		12,942 predictors
40 observations		2,121 observations
3 participants		441 participants



- ▶ Birth cohort panel study
- ▶  $\approx 5,000$  children born in 20 U.S. cities
- ▶ Followed from birth through age 15

	Birth	Age 1	Age 3	Age 5	Age 9
 Core mother survey	●	●	●	●	●
 Primary caregiver survey			●	●	●
 Core father survey	●	●	●	●	●
 In-home assessment			●	●	●
 Child survey					●
 Child care provider survey			●		
 Teacher survey				●	●



4,242 families

Birth to age 9  
12,942 features



Age 15  
1,500 features



4,242 families

Birth to age 9  
12,942 features



Age 15  
6 outcomes

Training

Leaderboard

Holdout

Six age 15 outcomes:

- ▶ GPA
- ▶ Material Hardship
- ▶ Grit
- ▶ Evicted
- ▶ Job training
- ▶ Job loss

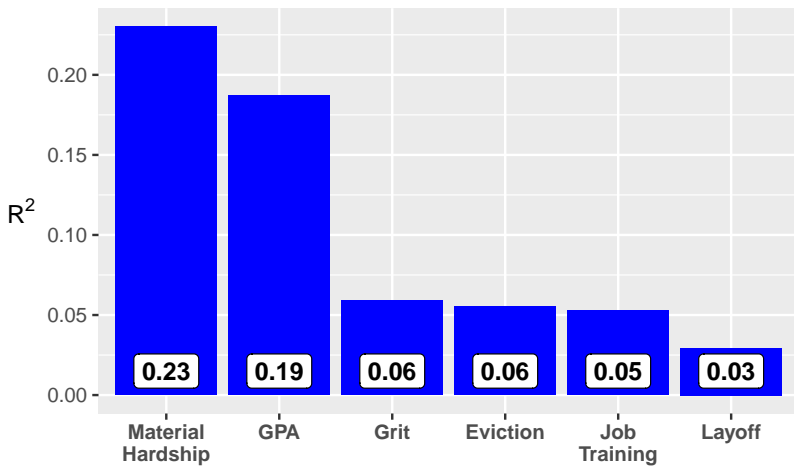


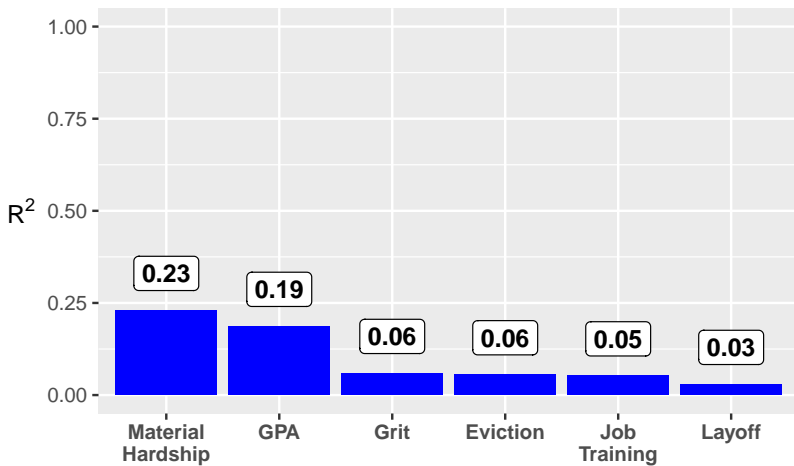
441 registered participants

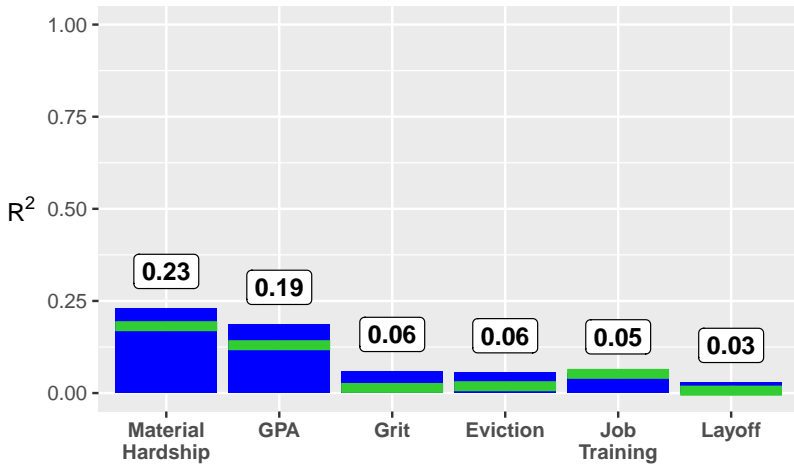
- ▶ social scientists and data scientists
- ▶ undergraduates, grad students, and professionals
- ▶ many working in teams

How did they do?

Before I show you, let's vote . . .







## What we learned

Hundreds of teams tried many modeling strategies.

Predictions were poor.

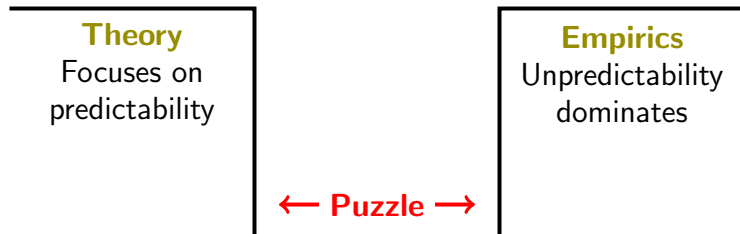
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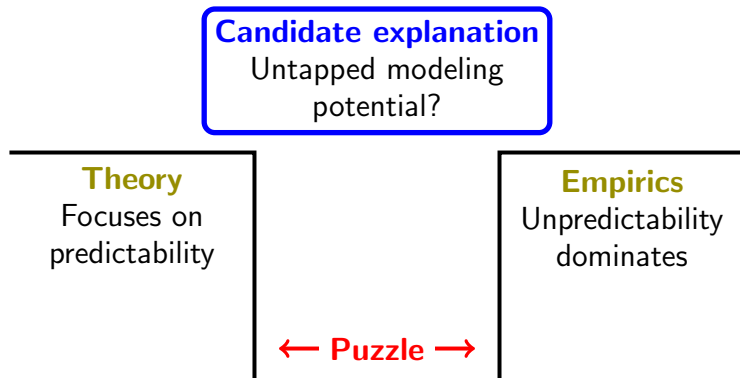


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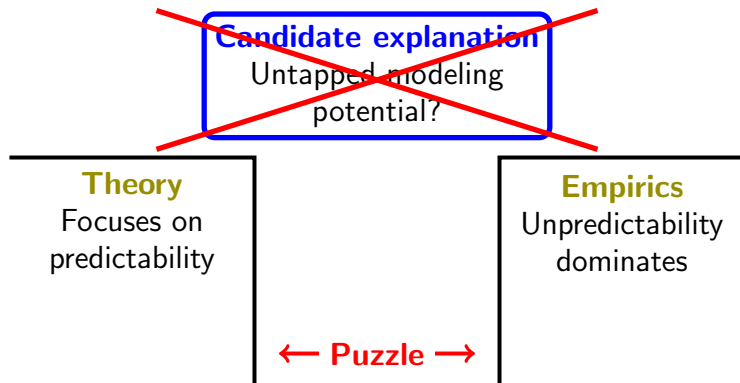


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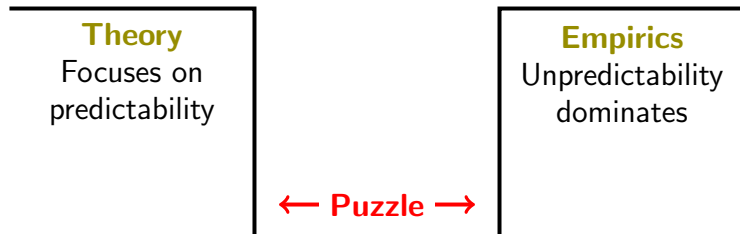


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