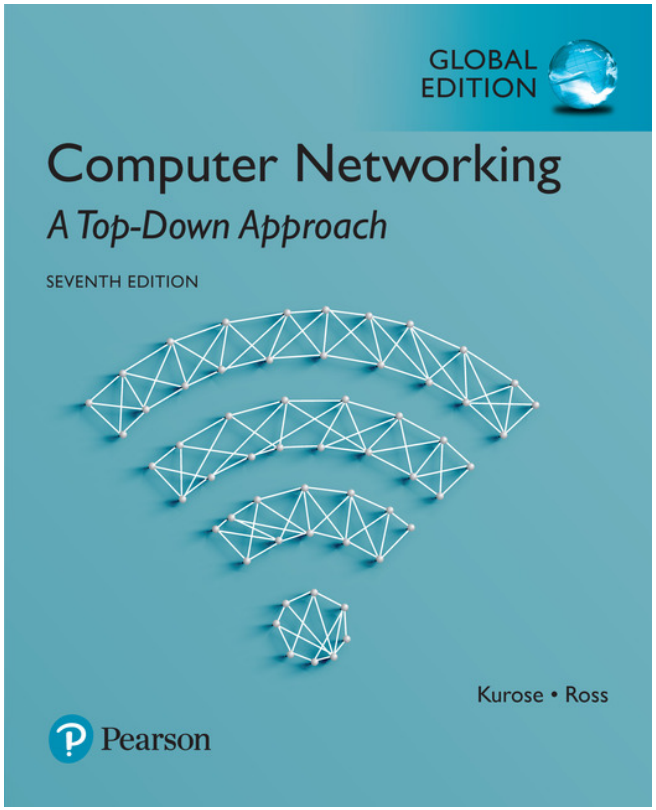
Chapter 2
Application Layer



Computer Networking: A Top Down Approach

7th Edition, Global Edition
Jim Kurose, Keith Ross
Pearson
April 2016

A note on the use of these Powerpoint slides:

We're making these slides freely available to all (faculty, students, readers). They're in PowerPoint form so you see the animations; and can add, modify, and delete slides (including this one) and slide content to suit your needs. They obviously represent a *lot* of work on our part. In return for use, we only ask the following:

- If you use these slides (e.g., in a class) that you mention their source (after all, we'd like people to use our book!)
- If you post any slides on a www site, that you note that they are adapted from (or perhaps identical to) our slides, and note our copyright of this material.

Thanks and enjoy! JFK/KWR

Chapter 2: outline

2.1 principles of network applications

2.2 Web and HTTP

2.3 electronic mail

- SMTP, POP3, IMAP

2.4 DNS

2.5 P2P applications

2.6 video streaming and content distribution networks

2.7 socket programming with UDP and TCP

Chapter 2: application layer

our goals:

- conceptual, implementation aspects of network application protocols
  - transport-layer service models
  - client-server paradigm
  - peer-to-peer paradigm
  - content distribution networks
- learn about protocols by examining popular application-level protocols
  - HTTP
  - FTP
  - SMTP / POP3 / IMAP
  - DNS
- creating network applications
  - socket API

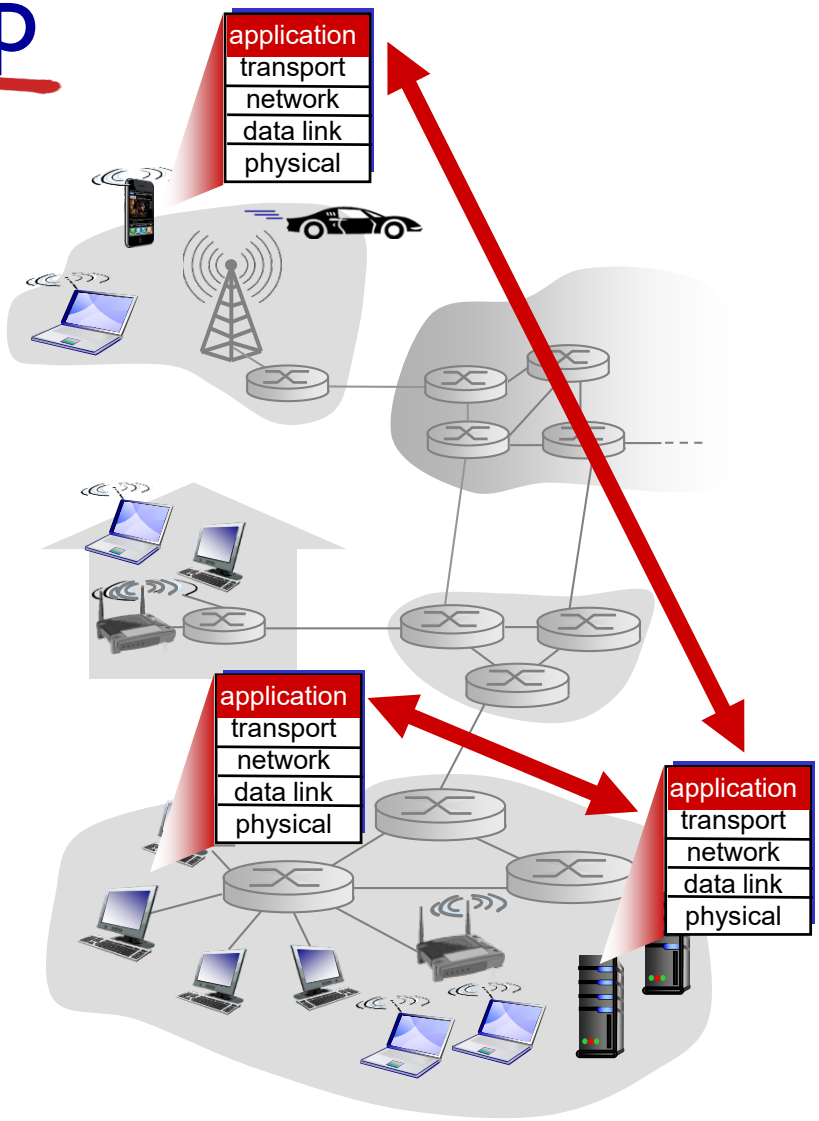
Some network apps

- e-mail
- web
- text messaging
- remote login
- P2P file sharing
- multi-user network games
- streaming stored video (YouTube, Hulu, Netflix)
- voice over IP (e.g., Skype)
- real-time video conferencing
- social networking
- search
- …
- …

Creating a network app

write programs that:

- run on (different) *end systems*
- communicate over network
- e.g., web server software communicates with browser software



no need to write software for network-core devices

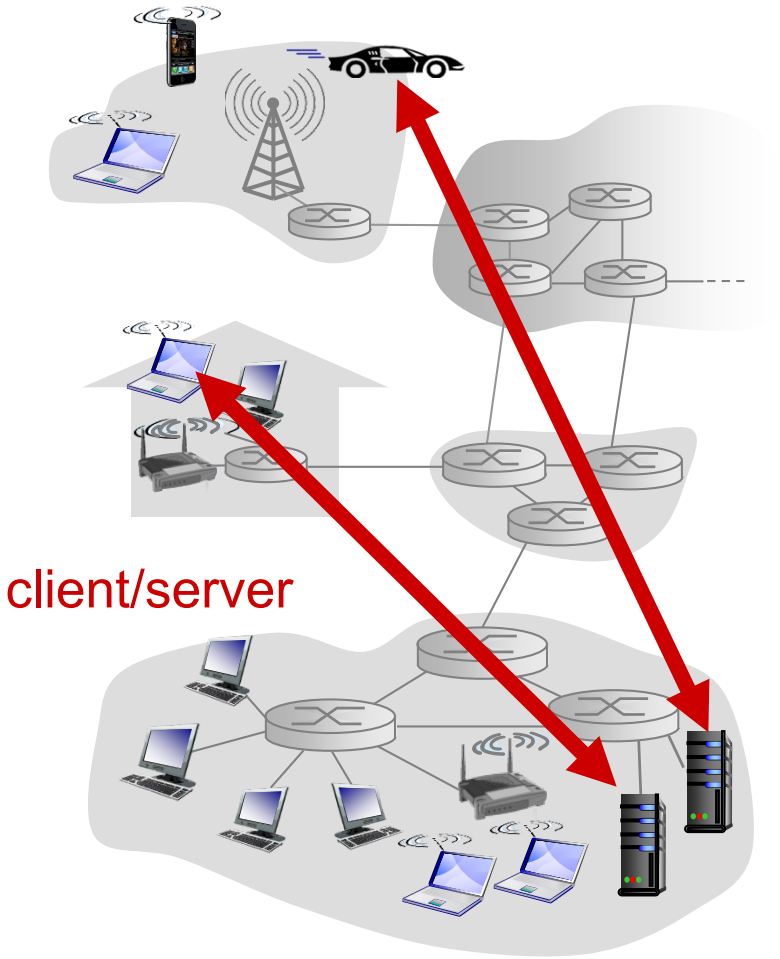
- network-core devices do not run user applications
- applications on end systems allows for rapid app development, propagation

Application architectures

possible structure of applications:

- client-server
- peer-to-peer (P2P)

Client-server architecture



server:

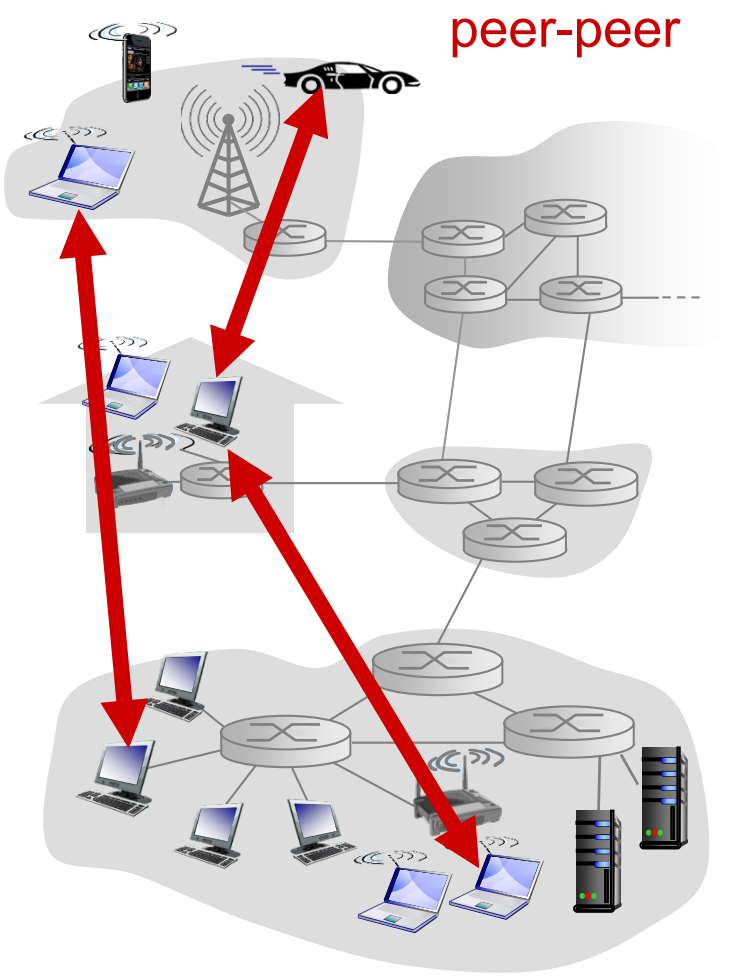
- always-on host
- permanent IP address
- data centers for scaling

clients:

- communicate with server
- may be intermittently connected
- may have dynamic IP addresses
- do not communicate directly with each other

P2P architecture

- *no* always-on server
- arbitrary end systems directly communicate
- peers request service from other peers, provide service in return to other peers
  - *self scalability* – new peers bring new service capacity, as well as new service demands
- peers are intermittently connected and change IP addresses
  - complex management



Processes communicating

process: program running within a host

- within same host, two processes communicate using inter-process communication (defined by OS)
- processes in different hosts communicate by exchanging messages

clients, servers

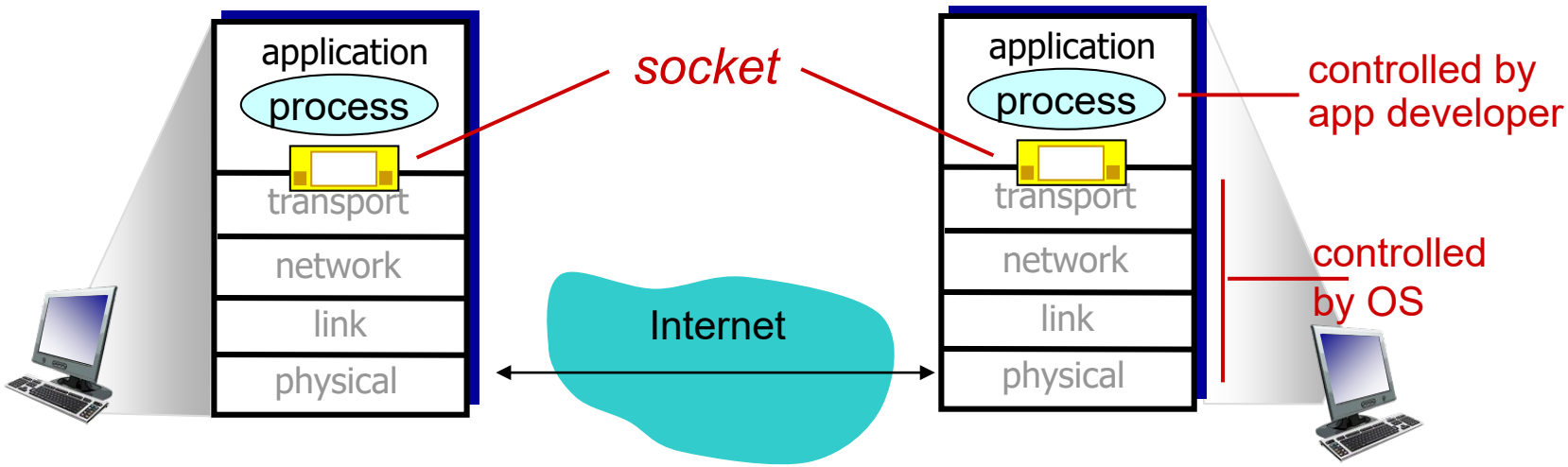
client process: process that initiates communication

server process: process that waits to be contacted

- aside: applications with P2P architectures have client processes & server processes

Sockets

- process sends/receives messages to/from its socket
- socket analogous to door
  - sending process shoves message out door
  - sending process relies on transport infrastructure on other side of door to deliver message to socket at receiving process



Addressing processes

- to receive messages, process must have *identifier*
- host device has unique 32-bit IP address
- *Q:* does IP address of host on which process runs suffice for identifying the process?
  - *A:* no, *many* processes can be running on same host

- *identifier* includes both IP address and port numbers associated with process on host.
- example port numbers:
  - HTTP server: 80
  - mail server: 25
- to send HTTP message to gaia.cs.umass.edu web server:
  - IP address: 128.119.245.12
  - port number: 80
- more shortly…

App-layer protocol defines

- types of messages exchanged,
  - e.g., request, response
- message syntax:
  - what fields in messages & how fields are delineated
- message semantics
  - meaning of information in fields
- rules for when and how processes send & respond to messages

open protocols:

- defined in RFCs
- allows for interoperability
- e.g., HTTP, SMTP

proprietary protocols:

- e.g., Skype

What transport service does an app need?

data integrity

- some apps (e.g., file transfer, web transactions) require 100% reliable data transfer
- other apps (e.g., audio) can tolerate some loss

timing

- some apps (e.g., Internet telephony, interactive games) require low delay to be "effective"

throughput

- some apps (e.g., multimedia) require minimum amount of throughput to be "effective"
- other apps ("elastic apps") make use of whatever throughput they get

security

- encryption, data integrity, …

Transport service requirements: common apps

application	data loss	throughput	time sensitive
file transfer	no loss	elastic	no
e-mail	no loss	elastic	no
Web documents	no loss	elastic	no
real-time audio/video	loss-tolerant	audio: 5kbps-1Mbps video:10kbps-5Mbps	yes, 100's msec
stored audio/video	loss-tolerant	same as above	
interactive games	loss-tolerant	few kbps up	yes, few secs
text messaging	no loss	elastic	yes, 100's msec yes and no

Chapter 2: outline

2.1 principles of network applications

2.2 Web and HTTP

2.3 electronic mail

- SMTP, POP3, IMAP

2.4 DNS

2.5 P2P applications

2.6 video streaming and content distribution networks

2.7 socket programming with UDP and TCP

Web and HTTP

First, a review…

- *web page* consists of *objects*
- object can be HTML file, JPEG image, Java applet, audio file,…
- web page consists of *base HTML-file* which includes *several referenced objects*
- each object is addressable by a *URL,* e.g.,

`www.someschool.edu/someDept/pic.gif`

host name | path name

HTTP overview

HTTP: hypertext transfer protocol

- Web's application layer protocol
- client/server model
  - *client:* browser that requests, receives, (using HTTP protocol) and "displays" Web objects
  - *server:* Web server sends (using HTTP protocol) objects in response to requests

HTTP connections

non-persistent HTTP

- at most one object sent over TCP connection
  - connection then closed
- downloading multiple objects required multiple connections

persistent HTTP

- multiple objects can be sent over single TCP connection between client, server

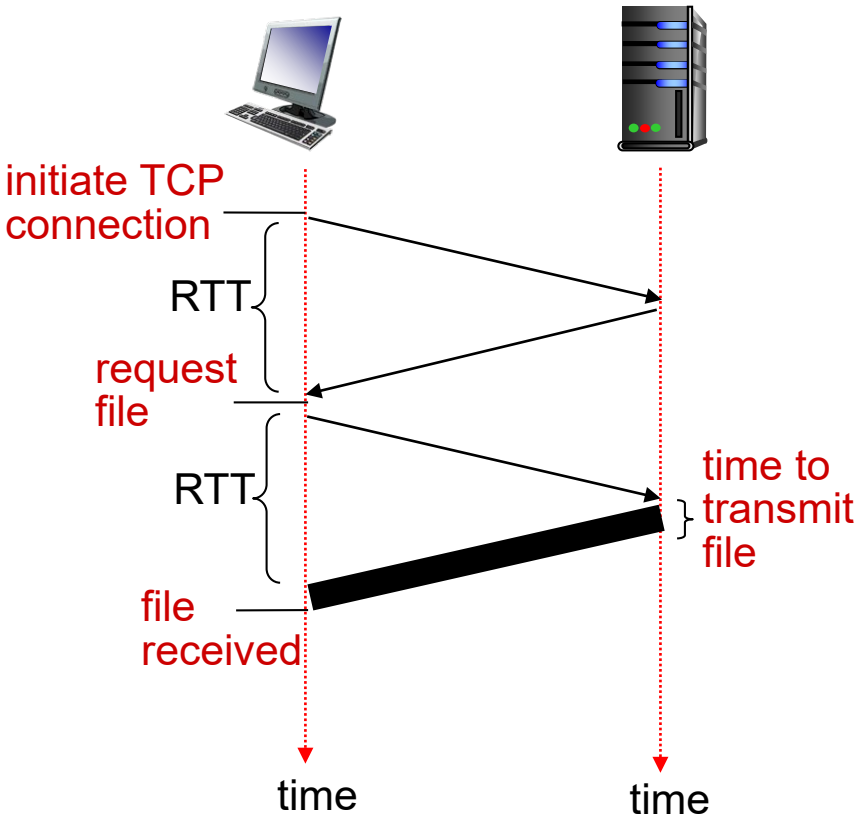
Non-persistent HTTP: response time

RTT (definition): time for a small packet to travel from client to server and back

HTTP response time:

- one RTT to initiate TCP connection
- one RTT for HTTP request and first few bytes of HTTP response to return
- file transmission time
- non-persistent HTTP response time =

  2RTT+ file transmission time



Persistent HTTP

non-persistent HTTP issues:

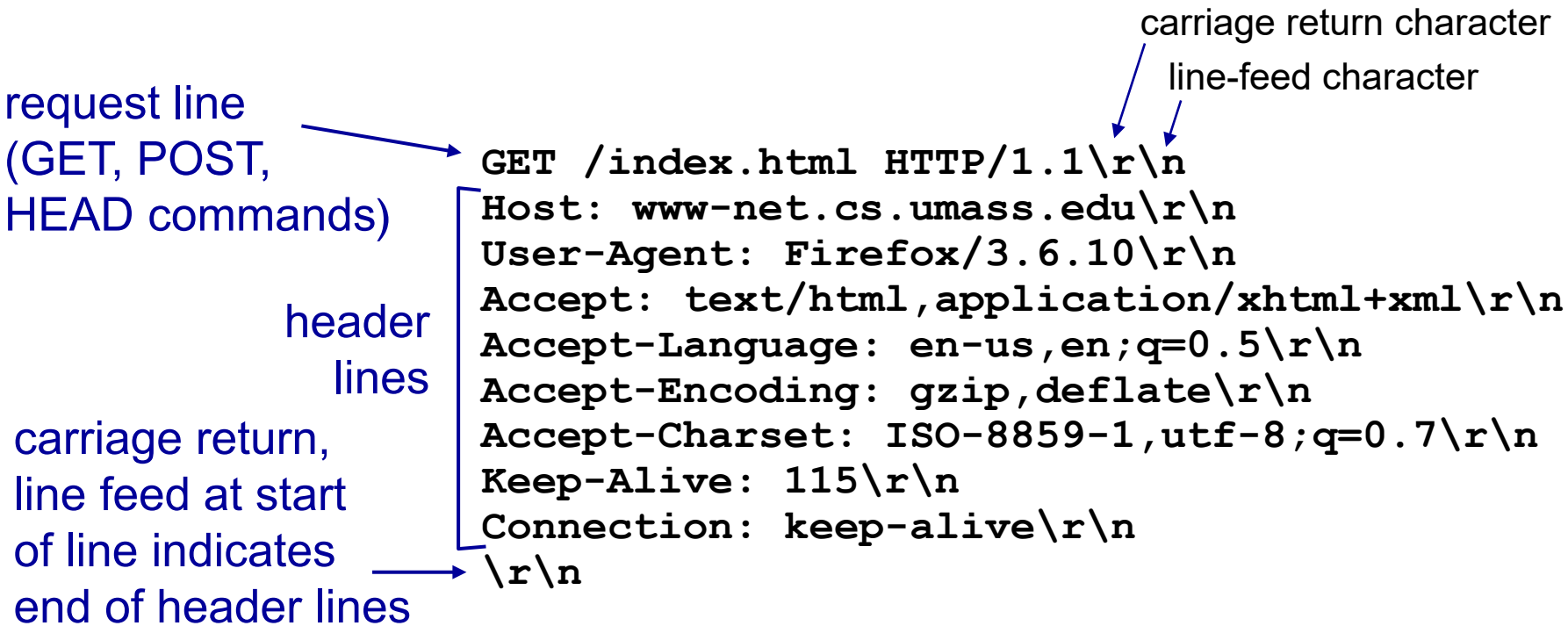
- requires 2 RTTs per object
- OS overhead for *each* TCP connection
- browsers often open parallel TCP connections to fetch referenced objects

persistent HTTP:

- server leaves connection open after sending response
- subsequent HTTP messages between same client/server sent over open connection
- client sends requests as soon as it encounters a referenced object
- as little as one RTT for all the referenced objects

HTTP request message

- two types of HTTP messages: *request, response*
- HTTP request message:
  - ASCII (human-readable format)



* Check out the online interactive exercises for more examples: http://gaia.cs.umass.edu/kurose_ross/interactive/

Uploading form input

POST method:

- web page often includes form input
- input is uploaded to server in entity body

URL method:

- uses GET method
- input is uploaded in URL field of request line:

```
www.somesite.com/animalsearch?monkeys&banana
```

Method types

HTTP/1.0:

- GET
- POST
- HEAD
  - asks server to leave requested object out of response

HTTP/1.1:

- GET, POST, HEAD
- PUT
  - uploads file in entity body to path specified in URL field
- DELETE
  - deletes file specified in the URL field

HTTP response message

status line (protocol status code status phrase)

header lines

data, e.g., requested HTML file

```
HTTP/1.1 200 OK\r\n
Date: Sun, 26 Sep 2010 20:09:20 GMT\r\n
Server: Apache/2.0.52 (CentOS)\r\n
Last-Modified: Tue, 30 Oct 2007 17:00:02
   GMT\r\n
ETag: "17dc6-a5c-bf716880"\r\n
Accept-Ranges: bytes\r\n
Content-Length: 2652\r\n
Keep-Alive: timeout=10, max=100\r\n
Connection: Keep-Alive\r\n
Content-Type: text/html; charset=ISO-8859-
   1\r\n
\r\n
data data data data data ...
```

* Check out the online interactive exercises for more examples: http://gaia.cs.umass.edu/kurose_ross/interactive/

HTTP response status codes

- status code appears in 1st line in server-to-client response message.
- some sample codes:

200 OK

- request succeeded, requested object later in this msg

301 Moved Permanently

- requested object moved, new location specified later in this msg (Location:)

400 Bad Request

- request msg not understood by server

404 Not Found

- requested document not found on this server

505 HTTP Version Not Supported

User-server state: cookies

many Web sites use cookies

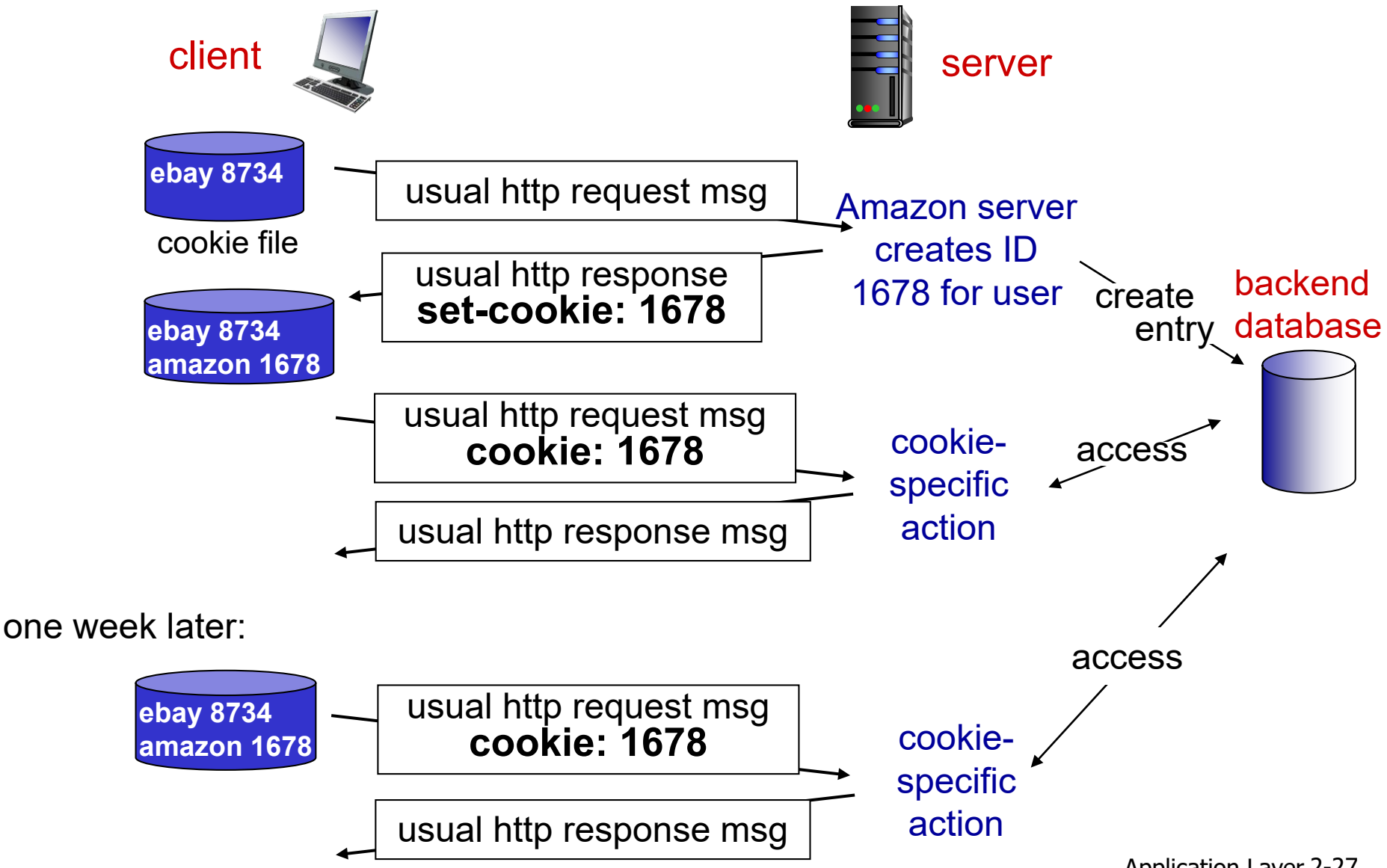
four components:

1) cookie header line of HTTP *response* message
2) cookie header line in next HTTP *request* message
3) cookie file kept on user's host, managed by user's browser
4) back-end database at Web site

example:

- Susan always access Internet from PC
- visits specific e-commerce site for first time
- when initial HTTP requests arrives at site, site creates:
  - unique ID
  - entry in backend database for ID

Cookies: keeping "state" (cont.)



Cookies (continued)

what cookies can be used for:

- authorization
- shopping carts
- recommendations
- user session state (Web e-mail)

aside

cookies and privacy:

- cookies permit sites to learn a lot about you
- you may supply name and e-mail to sites

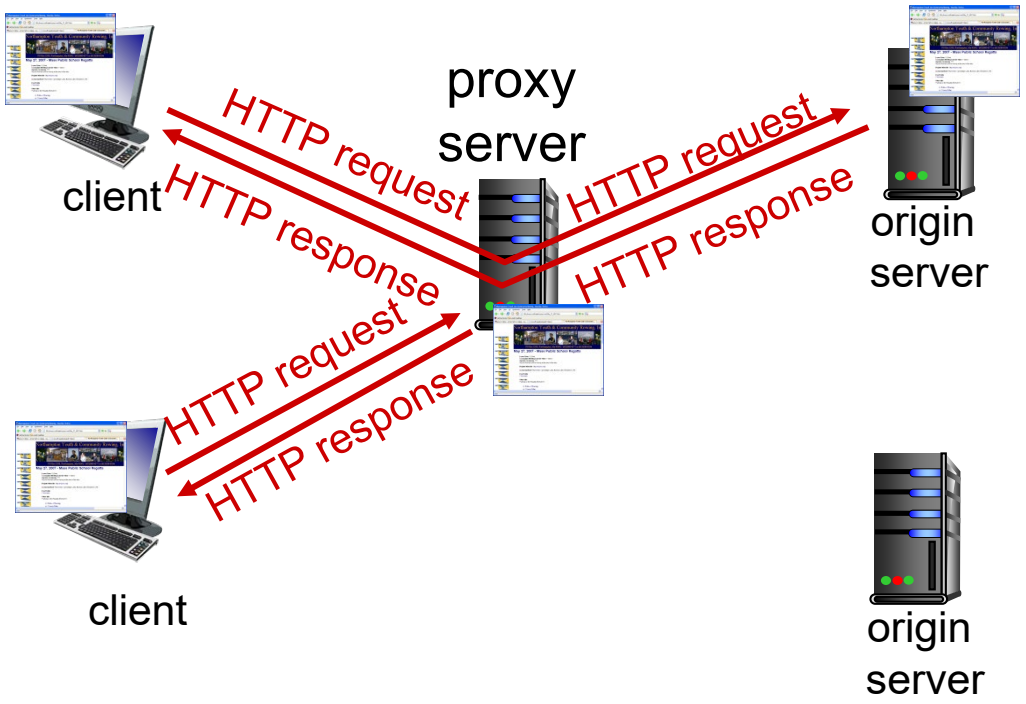
how to keep "state":

- protocol endpoints: maintain state at sender/receiver over multiple transactions
- cookies: http messages carry state

Web caches (proxy server)

goal: satisfy client request without involving origin server

- user sets browser: Web accesses via cache
- browser sends all HTTP requests to cache
  - object in cache: cache returns object
  - else cache requests object from origin server, then returns object to client



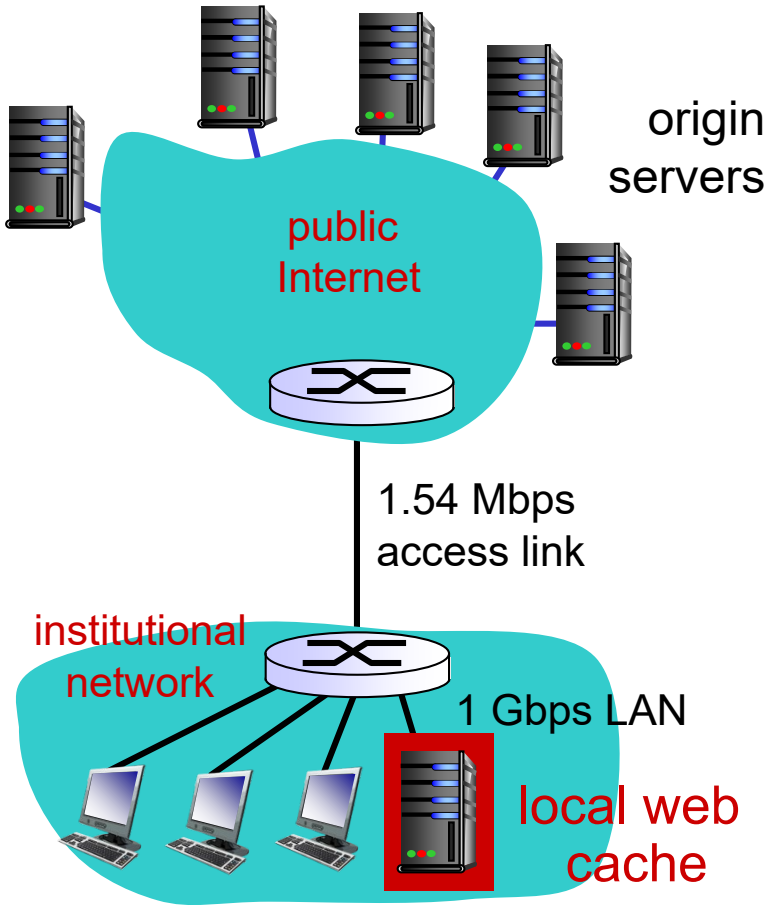
More about Web caching

- cache acts as both client and server
  - server for original requesting client
  - client to origin server
- typically cache is installed by ISP (university, company, residential ISP)

why Web caching?

- reduce response time for client request
- reduce traffic on an institution's access link
- Internet dense with caches: enables "poor" content providers to effectively deliver content (so too does P2P file sharing)

More about Web caching



Chapter 2: outline

2.1 principles of network applications

2.2 Web and HTTP

2.3 electronic mail

- SMTP, POP3, IMAP

2.4 DNS

2.5 P2P applications

2.6 video streaming and content distribution networks

2.7 socket programming with UDP and TCP

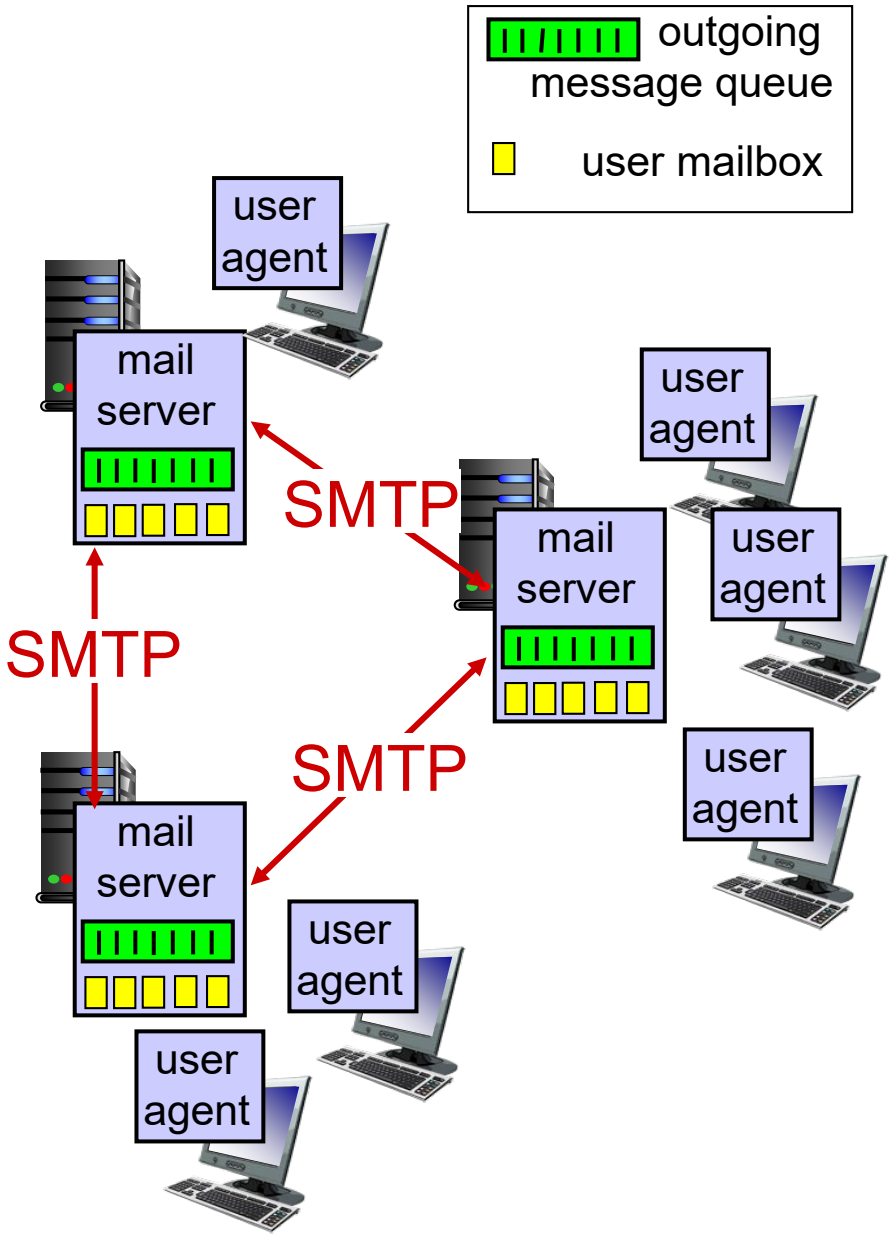
Electronic mail

Three major components:

- user agents
- mail servers
- simple mail transfer protocol: SMTP

User Agent

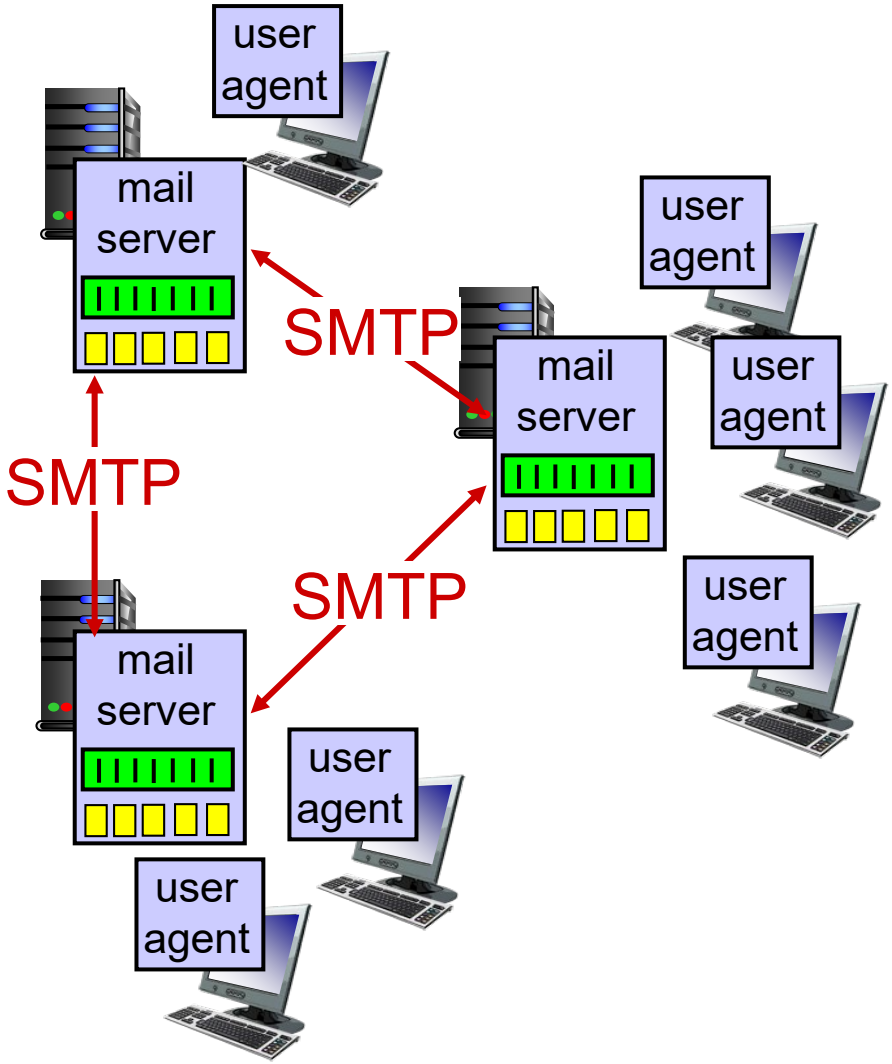
- a.k.a. "mail reader"
- composing, editing, reading mail messages
- e.g., Outlook, Thunderbird, iPhone mail client
- outgoing, incoming messages stored on server



Electronic mail: mail servers

mail servers:

- *mailbox* contains incoming messages for user
- *message queue* of outgoing (to be sent) mail messages
- *SMTP protocol* between mail servers to send email messages
  - client: sending mail server
  - "server": receiving mail server

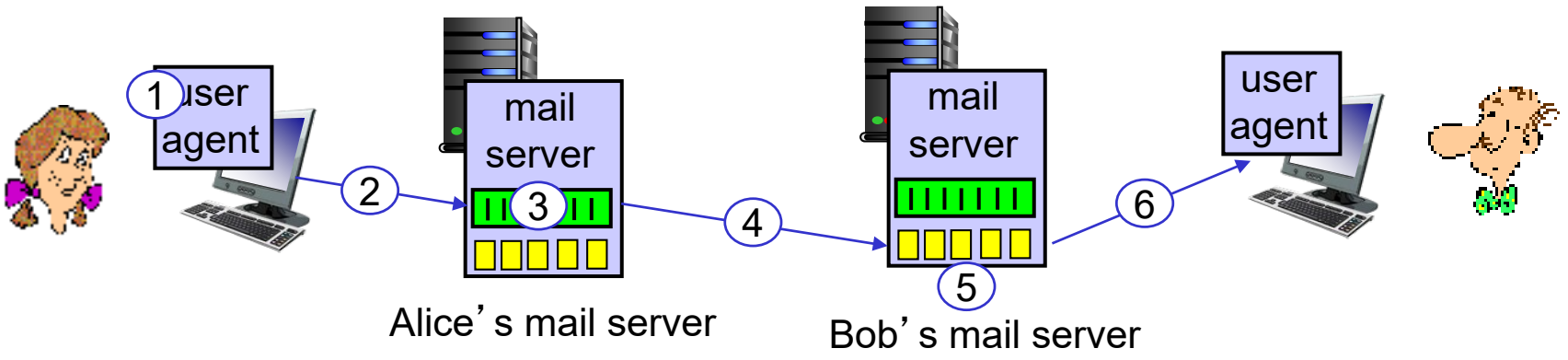


Electronic Mail: SMTP [RFC 2821]

- uses TCP to reliably transfer email message from client to server, port 25
- direct transfer: sending server to receiving server
- three phases of transfer
  - handshaking (greeting)
  - transfer of messages
  - closure
- command/response interaction (like HTTP)
  - commands: ASCII text
  - response: status code and phrase
- messages must be in 7-bit ASCII

Scenario: Alice sends message to Bob

1) Alice uses UA to compose message "to" `bob@someschool.edu`
2) Alice's UA sends message to her mail server; message placed in message queue
3) client side of SMTP opens TCP connection with Bob's mail server
4) SMTP client sends Alice's message over the TCP connection
5) Bob's mail server places the message in Bob's mailbox
6) Bob invokes his user agent to read message



Sample SMTP interaction

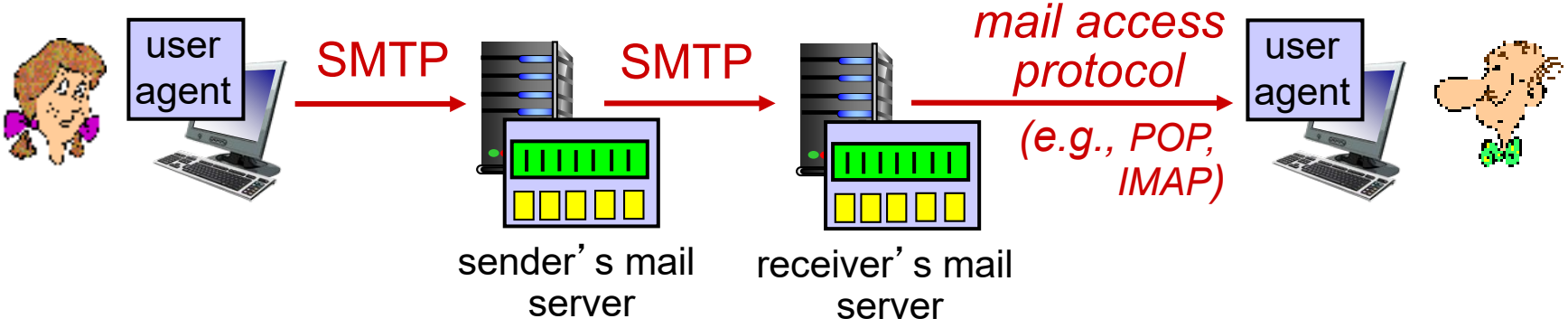
```
S: 220 hamburger.edu
C: HELO crepes.fr
S: 250  Hello crepes.fr, pleased to meet you
C: MAIL FROM: <alice@crepes.fr>
S: 250 alice@crepes.fr... Sender ok
C: RCPT TO: <bob@hamburger.edu>
S: 250 bob@hamburger.edu ... Recipient ok
C: DATA
S: 354 Enter mail, end with "." on a line by itself
C: Do you like ketchup?
C: How about pickles?
C: .
S: 250 Message accepted for delivery
C: QUIT
S: 221 hamburger.edu closing connection
```

Try SMTP interaction for yourself:

- `telnet servername 25`
- see 220 reply from server
- enter HELO, MAIL FROM, RCPT TO, DATA, QUIT commands

above lets you send email without using email client (reader)

Mail access protocols



- SMTP: delivery/storage to receiver's server
- mail access protocol: retrieval from server
  - POP: Post Office Protocol [RFC 1939]: authorization, download
  - IMAP: Internet Mail Access Protocol [RFC 1730]: more features, including manipulation of stored messages on server
  - HTTP: gmail, Hotmail, Yahoo! Mail, etc.

POP3 (more) and IMAP

more about POP3

- previous example uses POP3 "download and delete" mode
  - Bob cannot re-read e-mail if he changes client
- POP3 "download-and-keep": copies of messages on different clients
- POP3 is stateless across sessions

IMAP

- keeps all messages in one place: at server
- allows user to organize messages in folders
- keeps user state across sessions:
  - names of folders and mappings between message IDs and folder name

Chapter 2: outline

2.1 principles of network applications

2.2 Web and HTTP

2.3 electronic mail

- SMTP, POP3, IMAP

2.4 DNS

2.5 P2P applications

2.6 video streaming and content distribution networks

2.7 socket programming with UDP and TCP

DNS: domain name system

people: many identifiers:

- SSN, name, passport #

Internet hosts, routers:

- IP address (32 bit) - used for addressing datagrams
- "name", e.g., www.yahoo.com - used by humans

Q: how to map between IP address and name, and vice versa ?

Domain Name System:

- *distributed database* implemented in hierarchy of many *name servers*
- *application-layer protocol:* hosts, name servers communicate to *resolve* names (address/name translation)
  - note: core Internet function, implemented as application-layer protocol
  - complexity at network's "edge"

DNS: services, structure

DNS services

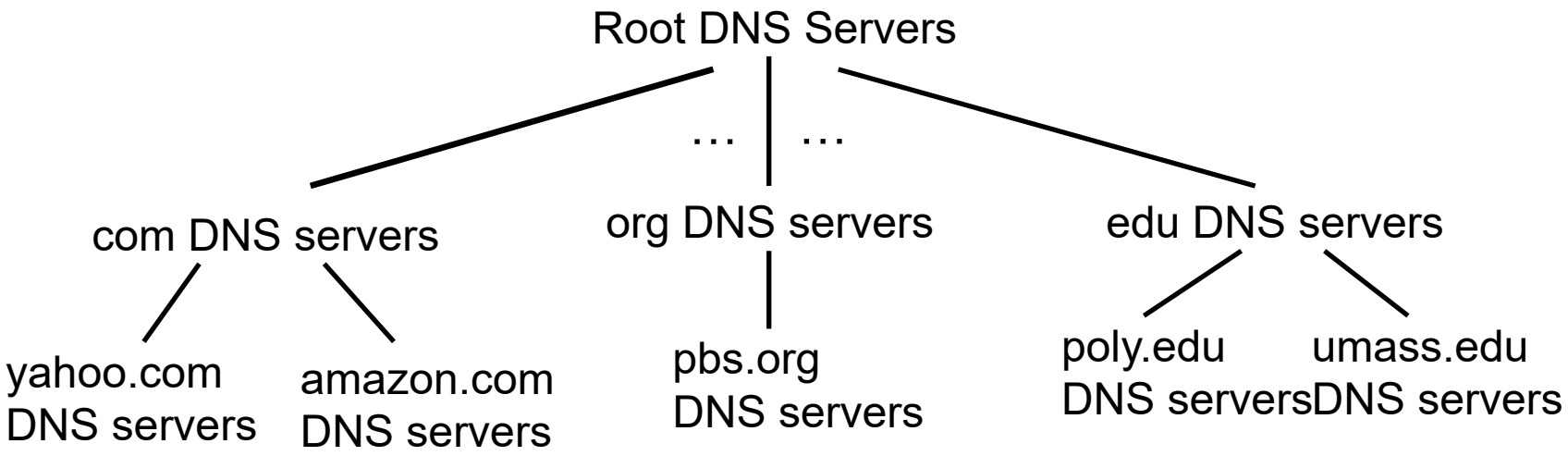
- hostname to IP address translation
- host aliasing
  - canonical, alias names
- mail server aliasing
- load distribution
  - replicated Web servers: many IP addresses correspond to one name

why not centralize DNS?

- single point of failure
- traffic volume
- distant centralized database
- maintenance

A: doesn't scale!

DNS: a distributed, hierarchical database



client wants IP for www.amazon.com; 1st approximation:

- client queries root server to find com DNS server
- client queries .com DNS server to get amazon.com DNS server
- client queries amazon.com DNS server to get IP address for www.amazon.com

TLD, authoritative servers

top-level domain (TLD) servers:

- responsible for com, org, net, edu, aero, jobs, museums, and all top-level country domains, e.g.: uk, fr, ca, jp
- Network Solutions maintains servers for .com TLD
- Eduation for .edu TLD

Authoritative DNS servers:

- organization's own DNS server(s), providing authoritative hostname to IP mappings for organization's named hosts
- can be maintained by organization or service provider

Local DNS name server

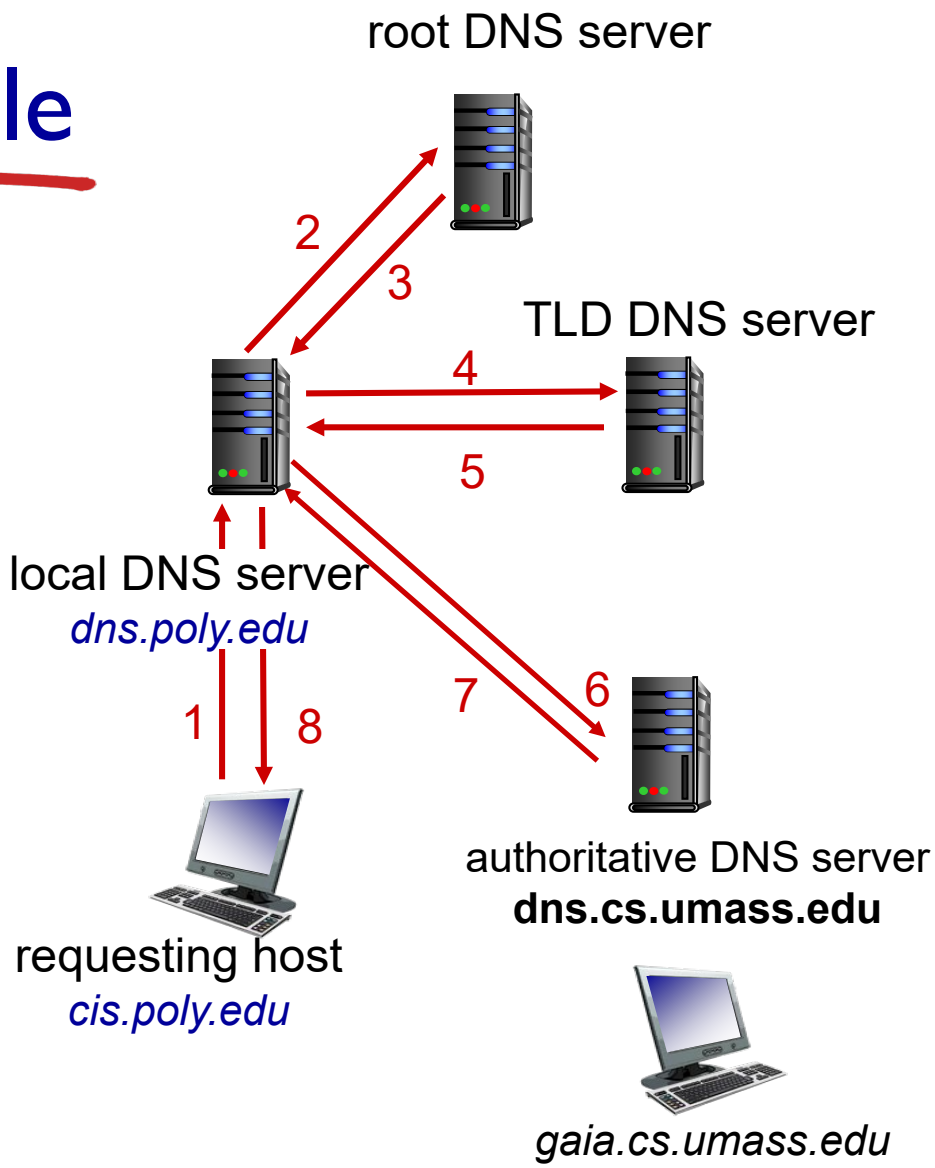
- does not strictly belong to hierarchy
- each ISP (residential ISP, company, university) has one
  - also called "default name server"
- when host makes DNS query, query is sent to its local DNS server
  - has local cache of recent name-to-address translation pairs (but may be out of date!)
  - acts as proxy, forwards query into hierarchy

DNS name resolution example

- host at cis.poly.edu wants IP address for gaia.cs.umass.edu

iterated query:

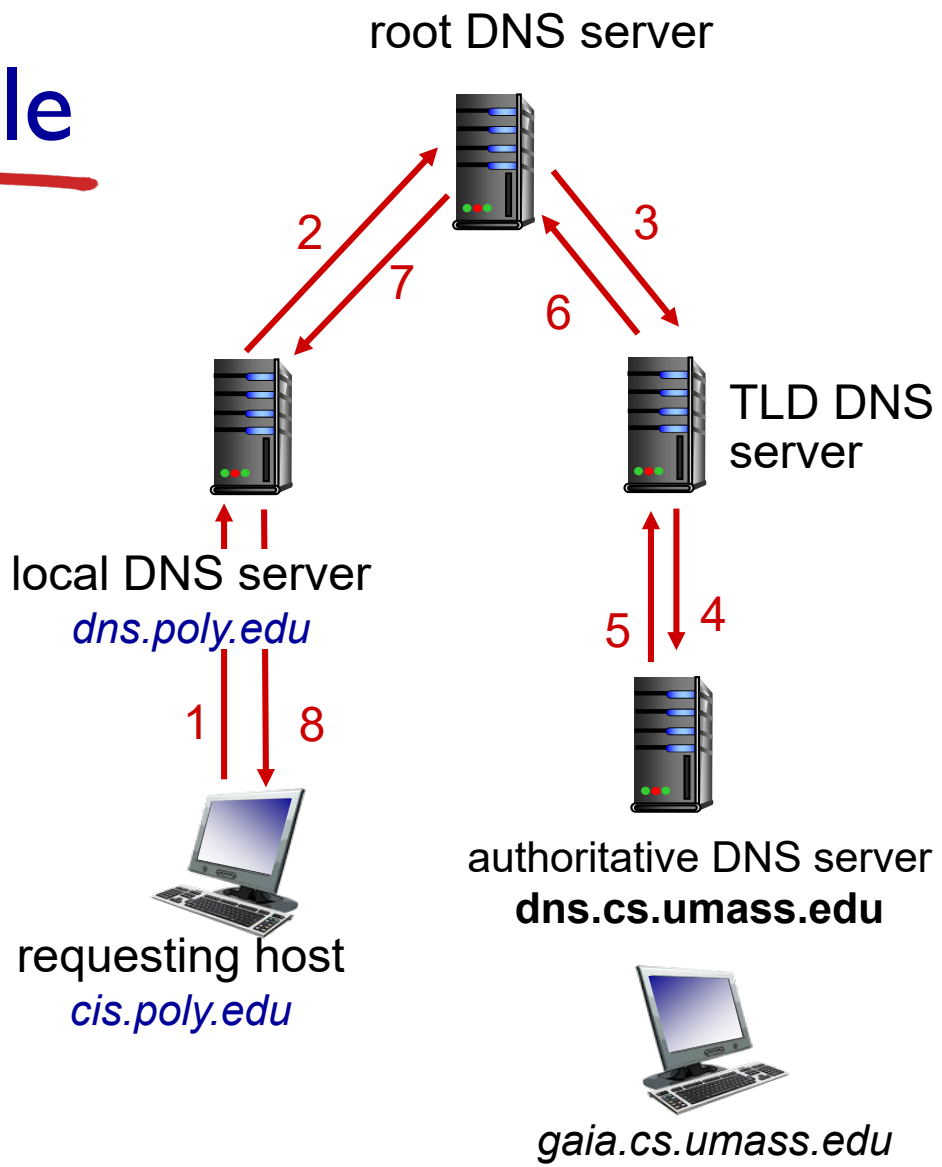
- contacted server replies with name of server to contact
- "I don't know this name, but ask this server"



DNS name resolution example

recursive query:

- puts burden of name resolution on contacted name server
- heavy load at upper levels of hierarchy?



DNS: caching, updating records

- once (any) name server learns mapping, it *caches* mapping
  - cache entries timeout (disappear) after some time (TTL)
  - TLD servers typically cached in local name servers
    - thus root name servers not often visited
- cached entries may be *out-of-date* (best effort name-to-address translation!)
  - if name host changes IP address, may not be known Internet-wide until all TTLs expire
- update/notify mechanisms proposed IETF standard
  - RFC 2136

DNS records

DNS: distributed database storing resource records (RR)

RR format: **`(name, value, type, ttl)`**

type=A

- **`name`** is hostname
- **`value`** is IP address

type=NS

- **`name`** is domain (e.g., foo.com)
- **`value`** is hostname of authoritative name server for this domain

type=CNAME

- **`name`** is alias name for some "canonical" (the real) name
- **`www.ibm.com`** is really **`servereast.backup2.ibm.com`**
- **`value`** is canonical name

type=MX

- **`value`** is name of mailserver associated with **`name`**

Chapter 2: outline

2.1 principles of network applications

2.2 Web and HTTP

2.3 electronic mail

- SMTP, POP3, IMAP

2.4 DNS

2.5 P2P applications

2.6 video streaming and content distribution networks (CDNs)

2.7 socket programming with UDP and TCP

Video Streaming and CDNs: context

- video traffic: major consumer of Internet bandwidth
  - Netflix, YouTube: 37%, 16% of downstream residential ISP traffic
  - ~1B YouTube users, ~75M Netflix users
- challenge: scale - how to reach ~1B users?
  - single mega-video server won't work (why?)
- challenge: heterogeneity
  - different users have different capabilities (e.g., wired versus mobile; bandwidth rich versus bandwidth poor)
- *solution:* distributed, application-level infrastructure

Multimedia: video

- video: sequence of images displayed at constant rate
  - e.g., 24 images/sec
- digital image: array of pixels
  - each pixel represented by bits
- coding: use redundancy *within* and *between* images to decrease # bits used to encode image
  - spatial (within image)
  - temporal (from one image to next)

spatial coding example: instead of sending *N* values of same color (all purple), send only two values: color value (*purple*) *and number of repeated values (*N)

frame *i*

frame *i+1*

temporal coding example: instead of sending complete frame at i+1, send only differences from frame i

Multimedia: video

- CBR: (constant bit rate): video encoding rate fixed
- VBR: (variable bit rate): video encoding rate changes as amount of spatial, temporal coding changes
- examples:
  - MPEG 1 (CD-ROM) 1.5 Mbps
  - MPEG2 (DVD) 3-6 Mbps
  - MPEG4 (often used in Internet, < 1 Mbps)

spatial coding example: instead of sending *N* values of same color (all purple), send only two values: color value (*purple*) *and number of repeated values (*N)

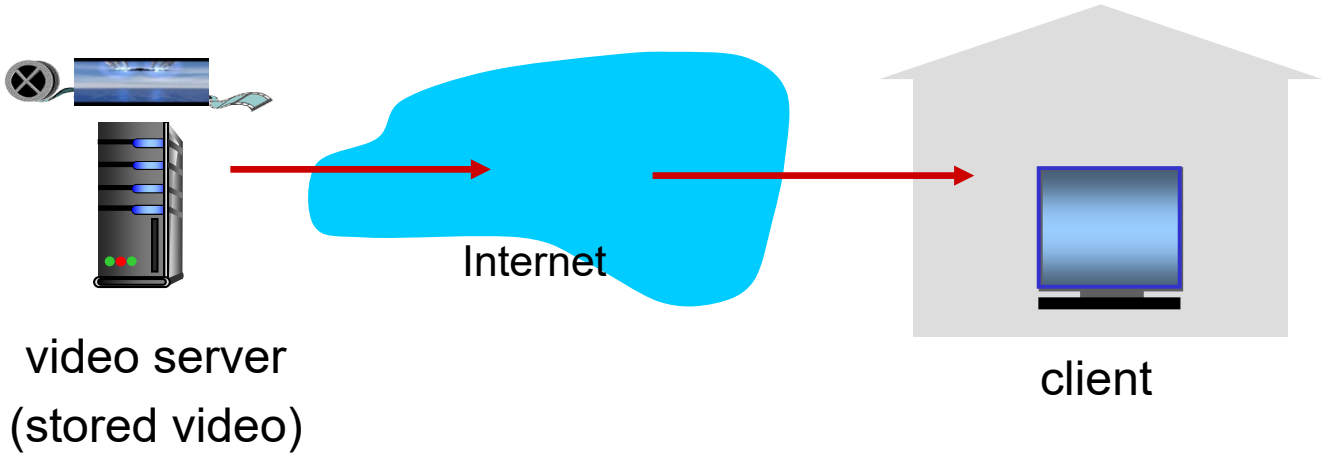
frame *i*

temporal coding example: instead of sending complete frame at i+1, send only differences from frame i

frame *i+1*

Streaming stored video:

simple scenario:



Streaming multimedia: DASH

- *DASH: D*ynamic, *A*daptive *S*treaming over *H*TTP
- *server:*
  - divides video file into multiple chunks
  - each chunk stored, encoded at different rates
  - *manifest file:* provides URLs for different chunks
- *client:*
  - periodically measures server-to-client bandwidth
  - consulting manifest, requests one chunk at a time
    - chooses maximum coding rate sustainable given current bandwidth
    - can choose different coding rates at different points in time (depending on available bandwidth at time)

Streaming multimedia: DASH

- *DASH: Dynamic, Adaptive Streaming over HTTP*
- *"intelligence"* at client: client determines
  - *when* to request chunk (so that buffer starvation, or overflow does not occur)
  - *what encoding rate* to request (higher quality when more bandwidth available)
  - *where* to request chunk (can request from URL server that is "close" to client or has high available bandwidth)

Content distribution networks

- *challenge:* how to stream content (selected from millions of videos) to hundreds of thousands of *simultaneous* users?

- *option 1:* single, large "mega-server"
  - single point of failure
  - point of network congestion
  - long path to distant clients
  - multiple copies of video sent over outgoing link

….quite simply: this solution *doesn't scale*

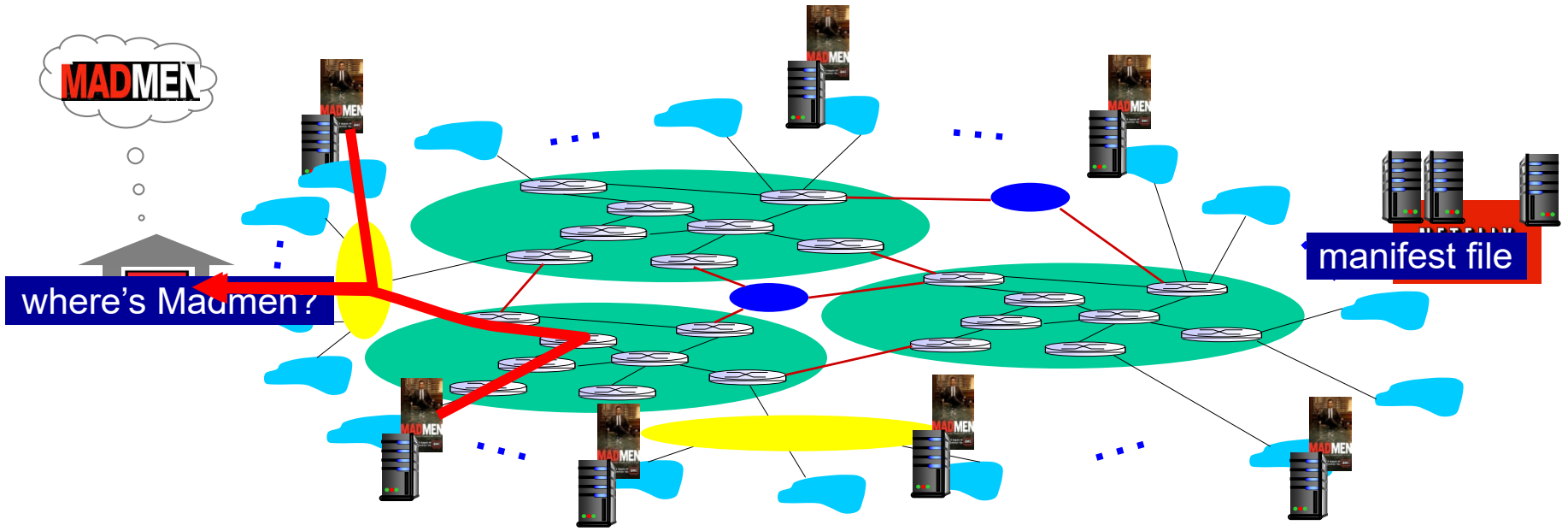
Content distribution networks

- *challenge:* how to stream content (selected from millions of videos) to hundreds of thousands of simultaneous users?

- *option 2:* store/serve multiple copies of videos at multiple geographically distributed sites *(CDN)*
  - *enter deep:* push CDN servers deep into many access networks
    - close to users
    - used by Akamai, 1700 locations
  - *bring home:* smaller number (10's) of larger clusters in POPs near (but not within) access networks
    - used by Limelight

Content Distribution Networks (CDNs)

- CDN: stores copies of content at CDN nodes
  - e.g. Netflix stores copies of MadMen
- subscriber requests content from CDN
  - directed to nearby copy, retrieves content
  - may choose different copy if network path congested



Chapter 2: outline

2.1 principles of network applications

2.2 Web and HTTP

2.3 electronic mail

- SMTP, POP3, IMAP

2.4 DNS

2.5 P2P applications

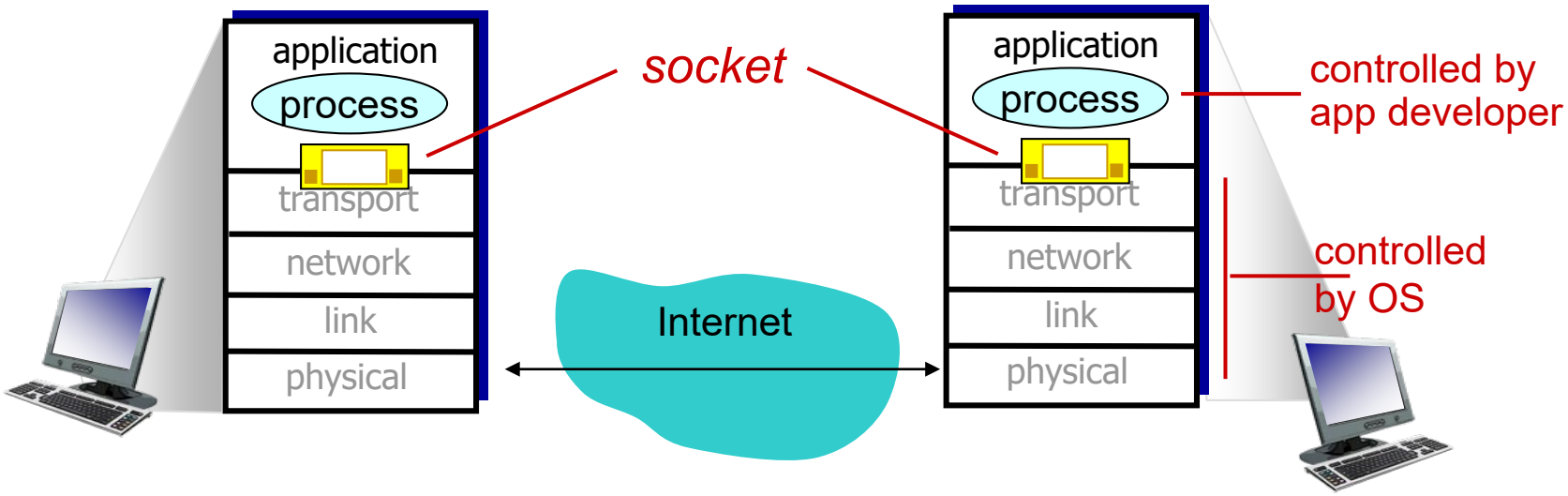
2.6 video streaming and content distribution networks

2.7 socket programming with UDP and TCP

Socket programming

goal: learn how to build client/server applications that communicate using sockets

socket: door between application process and end-end-transport protocol



Socket programming

Two socket types for two transport services:

- *UDP:* unreliable datagram
- *TCP:* reliable, byte stream-oriented

Application Example:

1. client reads a line of characters (data) from its keyboard and sends data to server
2. server receives the data and converts characters to uppercase
3. server sends modified data to client
4. client receives modified data and displays line on its screen

Socket programming *with UDP*

UDP: no "connection" between client & server

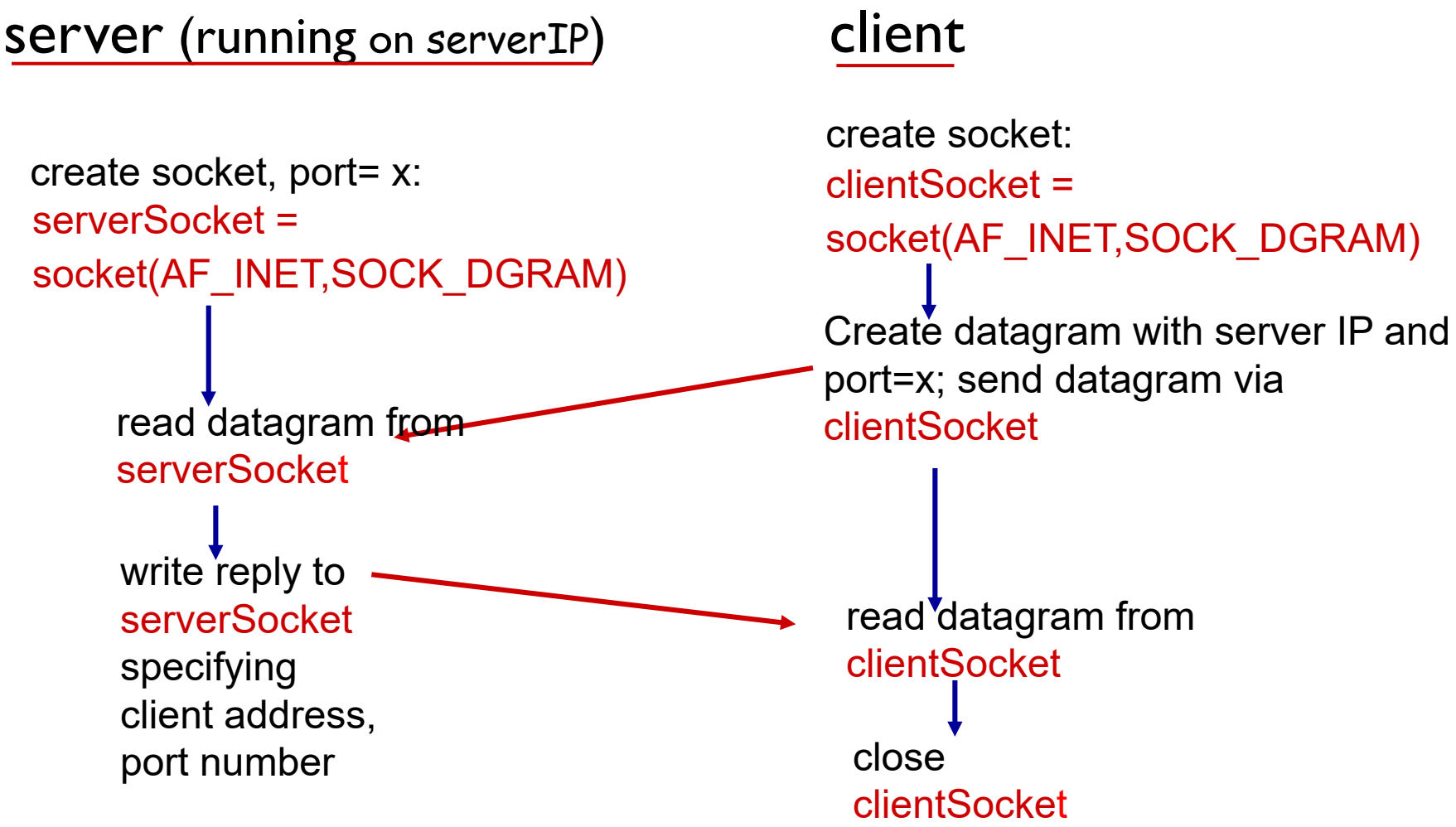
- no handshaking before sending data
- sender explicitly attaches IP destination address and port # to each packet
- receiver extracts sender IP address and port# from received packet

UDP: transmitted data may be lost or received out-of-order

Application viewpoint:

- UDP provides *unreliable* transfer of groups of bytes ("datagrams") between client and server

Client/server socket interaction: UDP



Socket programming *with TCP*

client must contact server

- server process must first be running
- server must have created socket (door) that welcomes client's contact

client contacts server by:

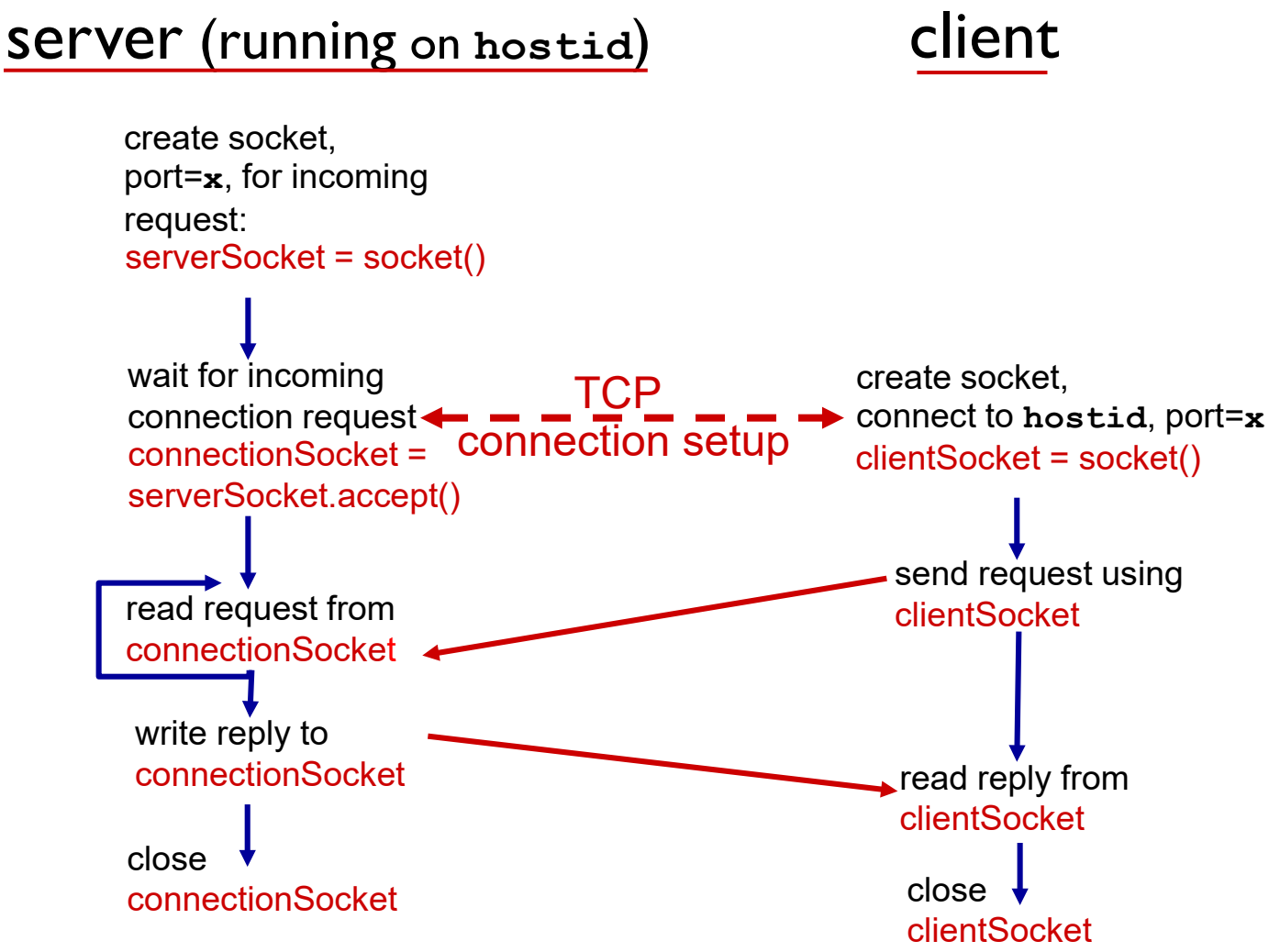
- Creating TCP socket, specifying IP address, port number of server process
- *when client creates socket:* client TCP establishes connection to server TCP

- when contacted by client, *server TCP creates new socket* for server process to communicate with that particular client
  - allows server to talk with multiple clients
  - source port numbers used to distinguish clients (more in Chap 3)

application viewpoint:

TCP provides reliable, in-order byte-stream transfer ("pipe") between client and server

Client/server socket interaction: TCP



Chapter 2: summary

our study of network apps now complete!

- application architectures
  - client-server
  - P2P
- application service requirements:
  - reliability, bandwidth, delay
- Internet transport service model
  - connection-oriented, reliable: TCP
  - unreliable, datagrams: UDP
- specific protocols:
  - HTTP
  - SMTP, POP, IMAP
  - DNS
  - P2P: BitTorrent
- video streaming, CDNs
- socket programming: TCP, UDP sockets

Chapter 2: summary

most importantly: learned about protocols!

- typical request/reply message exchange:
  - client requests info or service
  - server responds with data, status code
- message formats:
  - *headers*: fields giving info about data
  - *data:* info(payload) being communicated

important themes:

- control vs. messages
  - in-band, out-of-band
- centralized vs. decentralized
- stateless vs. stateful
- reliable vs. unreliable message transfer
- "complexity at network edge"