



The World Bank

Agricultural and Livestock Transformation Project - Additional Finance for IRM replenishment (P176418)

Combined Project Information Documents / Integrated Safeguards Datasheet (PID/ISDS)

Appraisal Stage | Date Prepared/Updated: 24-May-2021 | Report No: PIDISDSA31819

**BASIC INFORMATION****A. Basic Project Data**

Country Niger	Project ID P176418	Project Name Agricultural and Livestock Transformation Project - Additional Finance for IRM replenishment	Parent Project ID (if any) P164509
Parent Project Name AGRICULTURAL AND LIVESTOCK TRANSFORMATION PROJECT	Region AFRICA WEST	Estimated Appraisal Date 19-May-2021	Estimated Board Date 28-Jun-2021
Practice Area (Lead) Agriculture and Food	Financing Instrument Investment Project Financing	Borrower(s) Ministry of Plan	Implementing Agency Ministry of Agriculture and Livestock, National Coordination Unit of the PIMELAN, Unite de mise en oeuvre du PGRCUD

Proposed Development Objective(s) Parent

The Project Development Objective (PDO) is “to increase agriculture productivity and access to markets for small and medium farmers and agri-food small and medium enterprises in the Participating Project Regions.”

Proposed Development Objective(s) Additional Financing

to increase agriculture productivity and access to markets for small and medium farmers and agri-food small and medium enterprises in the participating project regions, and to provide immediate and effective response to an eligible crisis or emergency.

Components

Component 1: Improving the quality of agriculture support services and policies

Component 2: Increasing investments in agricultural production, processing, and market access

Component 3: Project coordination

Component 4: Contingent Emergency Response Component

PROJECT FINANCING DATA (US\$, Millions)**SUMMARY**

Total Project Cost	39.54
Total Financing	39.54



of which IBRD/IDA	39.54
Financing Gap	0.00

DETAILS**World Bank Group Financing**

International Development Association (IDA)	39.54
IDA Credit	39.54

Environmental Assessment Category

B-Partial Assessment

Decision

The review did authorize the team to appraise and negotiate

Other Decision (as needed)

B. Introduction and Context

Country Context - Remains as reflected in the original PID Report No: PIDISDSA24970

Sectoral and Institutional Context - Remains as reflected in the original PID Report No: PIDISDSA24970

The proposed Additional Financing (AF) in the amount of US\$39.54 million equivalent is to replenish the financing gap under component 1 of the Agriculture and Livestock Transformation Project (PIMELAN – P164509), which was created by the activation of the Contingent Emergency Response Component (CERC) for the Immediate Response Mechanism (IRM) following the unprecedented floods of July, 2020. Moderate levels of flooding in Niger are a regular occurrence with seasonal rains. However, with urbanization that is largely unregulated, a high population growth rate as well as growing extremes in weather patterns due to climate change they have become increasingly devastating. This situation is aggravated by the fact that Niger's resources dedicated to maintenance of water management and related infrastructure are very limited leading to gradually greater problems. The 2020 floods decimated infrastructure that was suffering from much deferred maintenance leaving urban and rural populations largely unprotected from high waters.

C. Proposed Development Objective(s)

Original PDO



The Project Development Objective (PDO) is “to increase agriculture productivity and access to markets for small and medium farmers and agri-food small and medium enterprises in the Participating Project Regions.”

Current PDO

to increase agriculture productivity and access to markets for small and medium farmers and agri-food small and medium enterprises in the participating project regions, to provide immediate and effective response to an eligible crisis or emergency.

Key Results

The following changes to the results framework were undertaken to reflect these changes (see section VIII): (i) At PDO level impact will be measured as “Percentage of people harmed by floods protected and served by water management infrastructure (Percentage); and (ii) Intermediate indicators are defined as :(i) number of Emergency kits distributed; (ii) Number of drinking Water wells rehabilitated; (iii) Length of dyke and riverbank rehabilitation.

D. Project Description

Remains as reflected in the original PID Report No: PIDISDSA24970 with the exception of the IRM-CERC that has been activated on October 17, 2020 and covers assistance under execution of the PGRC-DU (P145268)

The AF will replenish component 1 and ensure the continued implementation of the PIMELAN to help build the capacity at the level of public institutions and of small and medium farmers and processor of the private sector to improve agricultural productivity and reduce chronic food insecurity that regularly plagues Niger. This capacity building support will also help reduce the longer-term impact of the disruptions in the sector resulting from the COVID-19 pandemic and other natural disasters and build a stronger basis for economic recovery benefitting rural areas where poverty is most widespread. As such, Components 1, 2 and 3 of the PIMELAN remain unchanged.

Project Development Objective (PDO) and results framework: The PDO will be revised to capture the impact of the activities funded by the IRM-CERC. The proposed revised PDO is: ***“to increase agriculture productivity and access to markets for small and medium farmers and agri-food small and medium enterprises in the participating project regions, and to provide immediate and effective response to an eligible crisis or emergency”***. The project’s Results Framework will be updated, by introducing PDO level and intermediate indicators to reflect the results of the activities carried out under the IRM-CERC.

Modification of the Results Framework: The following changes to the results framework were undertaken to reflect these changes (see section VIII): (i) At PDO level impact will be measured as ***“Percentage of people harmed by floods protected and served by water management infrastructure (Percentage); and (ii) Intermediate indicators are defined as :(i) number of Emergency kits distributed; (ii) Number of drinking Water wells rehabilitated; (iii) Length of dyke and riverbank rehabilitation***

E. Implementation



Institutional and Implementation Arrangements Remains as reflected in the original PID Report No: PIDISDSA24970 with the exception of the CERC where the following arrangements prevail.

The PIMELAN is being implemented in line with its Legal agreement, Operational Manual and PAD. The resources provided under this AF are solely for the purpose of replenishing the financing gap in component 1 resulting from triggering the CERC-IRM.

The CERC-IRM Activities are managed by the IRM Steering Committee as stipulated in Article 3 of Order No. 0144 / PM of September 9, 2020 on the creation, composition, powers and functioning of the Immediate Response Mechanism's Steering Committee (IRM). The coordination of the IRM-CERC activities implementation is ensured at the national level by the Ministry of Humanitarian Action and Disaster Management, as vice-chairman and Secretariat of the MRI Steering Committee in tandem with the PGRC-DU (Projet de Gestion des Risques de Catastrophes et de Développement Urbain - P145268). National supervision and monitoring and evaluation (M&E) will be carried out by government missions and the Technical Directorates of the ministries in charge of the targeted activities. At the regional level, supervision will be provided by the Regional Flood Management Committees, chaired by the Governors. The implementation and permanent monitoring will be ensured by the decentralized technical services at the regional, departmental and municipal levels. A short-term external evaluation as well as the application of Geo-Enabling Initiative for Monitoring and Supervision (GEMS) on intervention sites will make it possible to assess the overall performance of the operation in order to highlight its immediate effects. It will be carried out at the end of the implementation of the Emergency Response and Implementation Plan.

F. Project location and Salient physical characteristics relevant to the safeguard analysis (if known)

The project will be implemented in six regions: three FCV regions (Diffa, Tillabéri, and Tahoua) where an open approach reflective of local circumstances will be used, and three non-FCV regions (Agadez, Niamey, and Zinder) where activities will be more focused on financing business plans for profitable production, processing, and marketing systems. Cross-cutting capacity-building activities at the central level and support for policy and regulatory reforms will be national in nature. Veterinary services will also be provided nationwide. As far as extension and advisory services are concerned, the training and capacity building activities funded by the project as part of its priorities will be accessible to services from all regions of the country. However, as far as investments are concerned, they will be limited to services working on production systems in specifically project-targeted areas. Missions to Tillabery, Tahoua, Diffa have to be done under escort. In these sensitive and fragile areas, Bank supervision missions will be organized under specific protocols defined by Bank security officers. 3 months after effectiveness, the AF will finalize a security assessment that will allow the elaboration of a security action plan that will be implemented during the project. Likewise, a GBV assessment followed by a GBV action plan will be elaborated 3 months after effectiveness.



G. Environmental and Social Safeguards Specialists on the Team

Demba Balde, Social Specialist

Taoufiq Bennouna, Environmental Specialist

Ibrah Hachimou, Environmental Specialist

SAFEGUARD POLICIES THAT MIGHT APPLY

Safeguard Policies	Triggered?	Explanation (Optional)
Environmental Assessment OP/BP 4.01	Yes	<p>The proposed project is assigned an Environmental Category B, since it is expected that environmental and social risk and impacts will be moderate and site specific, and manageable. The potential environmental and social risks and impacts are mostly related to the use of fertilizers, and pesticides; dust, noise, accidents etc. related to small civil works such as storage and other small infrastructure under component 2; and, the increased GHG emissions due to the land-use change during agriculture cycles. The specific locations of activities will not be known prior to Board approval. In order to comply with the safeguard requirements and enhance the environmental and social outcomes, the Borrower undertook the preparation of three environmental and social instruments: (i) Environmental and Social Management Framework (ESMF), (ii) Integrated Pest Management Plan (IPMP), and (iii) Resettlement Policy Framework (RPF). These documents have been reviewed by the Bank and disclosed both in-country on April 1, 2019 and at the Bank Website on April 8, 2019. These instruments apply to the entire project, and will be used by the National Coordination Unit to screen project activities and prepare site-specific plans (ESIA/EMPS, (A)RAPs) if and when needed. In case of sub-components 2.2 and 2.3, the selected PFI will be required to apply the same frameworks (ESMF, RPF, PMP) to screen requests for matching grants, and review of their capacity to do so is part of their eligibility assessment by the Bank.</p>



Performance Standards for Private Sector Activities OP/BP 4.03	No	The Project will not rely on OP 4.03.
Natural Habitats OP/BP 4.04	No	The policy is not triggered as the project will not support activities in areas where habitats may be threatened.
Forests OP/BP 4.36	No	The policy is not triggered because the project will not support activities related to forest exploitation or management or that might have potential adverse impacts on forested areas.
Pest Management OP 4.09	Yes	OP 4.09 is triggered because of the following related to the project: (i) livestock productivity enhancement via animal health, animal disease surveillance, animal health/vaccinations, and fight against pests, prevention and management; and (ii) farmers, for the agricultural productivity purpose, may use fertilizers and pesticides. An Integrated Pest Management Plan (IPMP) has been prepared, consulted upon and publicly disclosed on April 1, 2019 in-country and on April 8, 2019 on World Bank website.
Physical Cultural Resources OP/BP 4.11	Yes	This policy is triggered because of the nature of possible civil works to be financed under component 2 (rehabilitation of small scale irrigation) which may lead to the unearthing of such cultural resources. It is highly unlikely that any physical cultural resources will be impacted; nonetheless, the existing "Chance finds procedures" integrated in the ESMF to ensure that these aspects will be taken into account during project implementation.
Indigenous Peoples OP/BP 4.10	No	The policy is not triggered as there are no Indigenous Peoples in the project areas.
Involuntary Resettlement OP/BP 4.12	Yes	The Policy is triggered as the proposed project activities may require acquisition of land that could lead to some losses of assets, losses or restriction of access to some sources of livelihoods, resulting in either a temporary or permanent involuntary resettlement of project affected persons (PAP). The project is unlikely to lead to physical displacement. Since the physical footprint of project activities is mostly unknown at this juncture, the Borrower has prepared, consulted upon, and publicly disclosed the RPF both in-country on April 1, 2019 and at the Bank Website on April 8, 2019. During project implementation, the screening process will further determine whether land will be acquired. No



		resettlement will be allowed under subprojects financed under Component 2 (matching grants scheme). The recipient must have a clear title free of any encroachment as an eligibility criterion.
Safety of Dams OP/BP 4.37	Yes	The project will not finance a new dams or rely on the performance of an existing dam or a dam under construction. It may only finance some water retention structures for excess water during the rainy season.
Projects on International Waterways OP/BP 7.50	Yes	The Project activities will be located in the regions of Diffa, Zinder, Tahoua, and Agadez, potentially affecting the Lake Chad basin via the Komadougou River, and in Tillabéri and Niamey, potentially affecting the Niger River. Therefore this safeguard policy has been triggered. The project will finance rehabilitation and minor alteration of ongoing small scale community and household irrigation schemes, which will not adversely impact the quantity and quality of water in the international waterways, and the project investments will also not be adversely affected by other riparians' possible water use. Accordingly, the exception to the riparian notification requirement based on paragraph 7 (a) has been processed for the Vice-President's approval.
Projects in Disputed Areas OP/BP 7.60	No	The project intervention areas are not under dispute.

KEY SAFEGUARD POLICY ISSUES AND THEIR MANAGEMENT

A. Summary of Key Safeguard Issues

1. Describe any safeguard issues and impacts associated with the proposed project. Identify and describe any potential large scale, significant and/or irreversible impacts:

Activities financed under the AF are the same as those defined in the parent project PIMELAN. Therefore, the same safeguards policies will apply to the AF prepared under the former safeguards regime. An Environmental and Social Management Framework (ESMF), a Pest and Management Plan (PMP), and a Resettlement Policy Framework (RPF) were prepared under the parent project, and due to fact that there is no additional activity nether new location, they will continue to apply under the AF.

With regard to the management of environmental and social risks under the PIMELAN, the performance of the activities was rated "Satisfactory" in the last ISR (December 2020) due to fact: (i) environmental and social team have been hired before effectiveness; technical studies are still ongoing and (iii) no physical activity has been yet implemented on the file. Meanwhile PIMELAN provided some support for animal vaccine mainly related to procurement of vaccines, vehicles, coolers, and mobile incriminators.



Finally, training plan as described in the prepared frameworks is under implementation.

2. Describe any potential indirect and/or long term impacts due to anticipated future activities in the project area: Potential misuse of pesticide or fertilizer could lead to runoff and water pollution. Other risks include potential introduction of invasive species. Impacts avoidance is the goal here. Risk of induced land-use change is limited; activities are small scale in existing agricultural areas.

3. Describe any project alternatives (if relevant) considered to help avoid or minimize adverse impacts.

AF alternatives were not considered relevant because the potential impacts of this AF are manageable in nature. However, this will be validated via the assessment of alternatives through the ESAs process for investments, and preparation of RAPs when needed.

4. Describe measures taken by the borrower to address safeguard policy issues. Provide an assessment of borrower capacity to plan and implement the measures described.

To comply with the triggered safeguard policies the Borrower has prepared risks management instruments including the ESMF, RPF and the PMP for the parent project and will be used for the AF. These instruments were designed with the objective of providing mitigation measures. the AF subprojects and all activities will be screened correctly and the relevant follow on assessments and mitigation measures will be applied where required. Additionally, where the screening results in land uptake, appropriate due diligence on land acquisition (donations, compensations) will be undertaken in compliance with the arrangement within the RPF to meet requirements of OP 4.12. Nevertheless, no resettlement will be allowed under subprojects financed under Component 2 (matching grants scheme). The recipient must have a clear title free of any encroachment as an eligibility criterion. The safeguard implementation arrangement and capacity are managed by the PIMELAN Project Coordination Unit (PCU) that has emerged from the former Community Actions Program (CAP).The PCU has a good environmental safeguards specialist and a social safeguards specialist that are supported by focal points at the municipal, as well as Ministry of Environment levels. The capacities of all participating institutions will be further strengthened during AF implementation.

The Grievance Redress Mechanism (GRM) manual has been finalized, trainings are ongoing and the system will be operational very soon in line with the upcoming investments.

5. Identify the key stakeholders and describe the mechanisms for consultation and disclosure on safeguard policies, with an emphasis on potentially affected people.

Key stakeholders of the project include the Ministry of Agriculture and Livestock, the Ministry of Environment and Sustainable Development, the Ministry of Hydraulic and Sanitation, the Ministry of Commerce and Private Sector Promotion, the Ministry of Plan, the Ministry of Finance, the Ministry of Youth Employment, the Ministry of Interior, Public Security, Decentralization, Traditions and Religious Affairs, the High Secretariat for Initiative 3N, the Ministry of infrastructure and transport, and the National Chamber of Agriculture, producer organizations, cooperatives, agribusinesses and the Civil Society Organizations, and a variety beneficiaries. Throughout the project's implementation, these stakeholders will be involved. Additionally, various stakeholder consultations have been held during the preparation of the safeguard instruments. The consultations took the form of community for a, focus groups meetings at both national and local levels. As part of the project preparation, meetings have been held with key officials and opinion leaders to examine the level of awareness and involvement with the project, concerns of project implementation, and to obtain the relevant documents or baseline information of project areas and the environmental and social setting of Niger.

Additionally, a manual of Grievance Redress Mechanism of the project has been prepared to manage complaints will be rolled-out throughout project implementation. The GRM also serves to gather information and resolve



implementation challenges.

B. Disclosure Requirements (N.B. The sections below appear only if corresponding safeguard policy is triggered)**Environmental Assessment/Audit/Management Plan/Other**

Date of receipt by the Bank	Date of submission for disclosure	For category A projects, date of distributing the Executive Summary of the EA to the Executive Directors
14-Mar-2019	08-Apr-2019	

"In country" Disclosure

Niger

01-Apr-2019

Comments

Public disclosure was done during the original PIMELAN preparation.

Resettlement Action Plan/Framework/Policy Process

Date of receipt by the Bank	Date of submission for disclosure
18-Mar-2019	08-Apr-2019

"In country" Disclosure

Niger

01-Apr-2019

Comments

Public disclosure was done during the original PIMELAN preparation.

Pest Management Plan

Was the document disclosed prior to appraisal?	Date of receipt by the Bank	Date of submission for disclosure
Yes	14-Mar-2019	08-Apr-2019

"In country" Disclosure

Niger

01-Apr-2019

Comments

Public disclosure was done during the original PIMELAN preparation.



If the project triggers the Pest Management and/or Physical Cultural Resources policies, the respective issues are to be addressed and disclosed as part of the Environmental Assessment/Audit/or EMP.

If in-country disclosure of any of the above documents is not expected, please explain why:

C. Compliance Monitoring Indicators at the Corporate Level (to be filled in when the ISDS is finalized by the project decision meeting) (N.B. The sections below appear only if corresponding safeguard policy is triggered)

OP/BP/GP 4.01 - Environment Assessment

Does the project require a stand-alone EA (including EMP) report?

Yes

If yes, then did the Regional Environment Unit or Practice Manager (PM) review and approve the EA report?

Yes

Are the cost and the accountabilities for the EMP incorporated in the credit/loan?

Yes

OP 4.09 - Pest Management

Does the EA adequately address the pest management issues?

Yes

Is a separate PMP required?

Yes

If yes, has the PMP been reviewed and approved by a safeguards specialist or PM? Are PMP requirements included in project design? If yes, does the project team include a Pest Management Specialist?

Yes

OP/BP 4.11 - Physical Cultural Resources

Does the EA include adequate measures related to cultural property?

Yes

Does the credit/loan incorporate mechanisms to mitigate the potential adverse impacts on cultural property?

Yes

OP/BP 4.12 - Involuntary Resettlement

Has a resettlement plan/abbreviated plan/policy framework/process framework (as appropriate) been prepared?

Yes

If yes, then did the Regional unit responsible for safeguards or Practice Manager review the plan?

Yes

Is physical displacement/relocation expected?



No

Is economic displacement expected? (loss of assets or access to assets that leads to loss of income sources or other means of livelihoods)

Yes

Provide estimated number of people to be affected 10,000

OP/BP 4.37 - Safety of Dams

Have dam safety plans been prepared?

No

Have the TORs as well as composition for the independent Panel of Experts (POE) been reviewed and approved by the Bank?

No

Has an Emergency Preparedness Plan (EPP) been prepared and arrangements been made for public awareness and training?

No

OP 7.50 - Projects on International Waterways

Have the other riparians been notified of the project?

No

If the project falls under one of the exceptions to the notification requirement, has this been cleared with the Legal Department, and the memo to the RVP prepared and sent?

Yes

Has the RVP approved such an exception?

Yes

The World Bank Policy on Disclosure of Information

Have relevant safeguard policies documents been sent to the World Bank for disclosure?

Yes

Have relevant documents been disclosed in-country in a public place in a form and language that are understandable and accessible to project-affected groups and local NGOs?

Yes

**All Safeguard Policies**

Have satisfactory calendar, budget and clear institutional responsibilities been prepared for the implementation of measures related to safeguard policies?

Yes

Have costs related to safeguard policy measures been included in the project cost?

Yes

Does the Monitoring and Evaluation system of the project include the monitoring of safeguard impacts and measures related to safeguard policies?

Yes

Have satisfactory implementation arrangements been agreed with the borrower and the same been adequately reflected in the project legal documents?

Yes

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APPROVAL

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