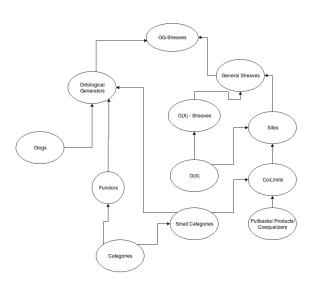
Categories, Sheaves; Applications, Ologs

Noah Chrein

March 4, 2019

Content Ontology



References

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 [LEARN] Backprop as Functor - Brandon Fong, David Spivak
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 [OLOG] Ontological Logs - David Spivak

Def: Category

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we typically write the composition of two morphisms by $f \circ g$. **Associativity** implies $(f \circ g) \circ h = f \circ (g \circ h)$. **Unital** is the existence of "identity morphisms" $Id_C \in Hom(C, C)$ with $Id_B \circ f = f = f \circ Id_A$

Some examples include $\mathfrak{S}et$, $\mathfrak{T}op$, $\mathfrak{G}rp$, $\mathfrak{A}b$, $\mathfrak{R}ing$, $\mathfrak{M}od_R$

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- In general, individual objects of a category need not be sets, the morphisms need not be functions, and the collection of objects $Ob(\mathfrak{C})$ need not form a set.

Def: Small Category

A **Small Category** is a category in which the objects form a set.

Examples: (\mathbb{R}, \leq) , O(X)

O(X)

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Let X be a topological space. O(X) is the small category whose objects are the open sets of X, and whose morphisms are the inclusions $i_{U,V}: U \hookrightarrow V$ (that is when $U \subseteq V$)

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- $lue{}$ Self inclusion gives the identity map, and composition is given by transitivity of \subseteq
- For every $U \in O(x)$ we can consider an **open covering** of U, $\{U_i \to U\}$ (that is, $\bigcup U_i = U$)
- For two $U, V \in O(X)$ we can consider the intersection $U \cap V \in O(X)$

Functors

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Let \mathfrak{C} , \mathfrak{D} be two categories. A **functor** $F:\mathfrak{C}\to\mathfrak{D}$ is a rule $F:ob(\mathfrak{C})\to ob(\mathfrak{D})$ and $F:mor(\mathfrak{C})\to mor(\mathfrak{D})$ such that:

- if $f: A \to B$ then $F(f): F(A) \to F(B)$
- $F(f \circ g) = F(f) \circ F(g)$
- $F(Id_C) = Id_{F(C)}$

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There is also the notion of a **contravariant functor**, a functor such that $F(f:A \to B) = F(f):F(B) \to F(A)$, in this case we write $F: \mathfrak{C}^{op} \to \mathfrak{D}$

Examples / Intuition

■ relevant examples include $\pi_1 : \mathfrak{T}op \to \mathfrak{G}rp$, $H_n : \mathfrak{T}op \to \mathfrak{A}b$, $H^n : \mathfrak{T}op^{op} \to \mathfrak{A}b$, $C(-,Y) : \mathfrak{T}op^{op} \to \mathfrak{S}et$, $O : \mathfrak{T}op \to Sm(Top)$

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- $C(X, Y) = \{f : X \to Y | \text{continuous}\}, \text{ if } f : A \to X \text{ then } C(f, Y) = f^* : C(X, Y) \to C(A, Y) \text{ by } \phi \mapsto \phi \circ f$

The **intuition** behind a functor is that it captures ${\mathfrak C}$ - invariants valued in ${\mathfrak D}$

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This is great, but a functor tells us the "global" invariants of a space, we would like to find local ones.

Presheaves, C(-,Y)

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Def: Presheaf

a **presheaf** on a space X is a contravariant functor $F: O(X)^{op} \to \mathfrak{S}et$

An example of a presheaf is C(-, Y). In this case: $C(U, Y) = \{f : U \to Y | \text{continuous} \}$ $C(i_{U,V}, Y)(f : V \to Y) = f \circ i_{U,V} = f|_{U} : U \to Y.$

C(-, Y) enjoys some nice properties:

Given $\{U_i \to U\}$ an (open) covering: 1)**gluing**: if $f_i : U_i \to Y$ such that

$$f_i|_{U_i\cap U_j}=f_j|_{U_i\cap U_j}$$

then $\exists ! f: U \to Y$ such that $f|_{U_i} = f_i$ 2)**Locality**: If $f, g: U \to Y$ such that

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Sheaves

Def: Sheaf

A presheaf $P: O(X)^{op} \to Y$ is a **sheaf** if, given $\{U_i \to U\}$ an (open) covering: 1)**gluing**: if $f_i \in P(U_i)$ such that

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then $\exists ! f \in P(U)$ such that $P(i_{U_i,U})(f) = f_i$ 2)**Locality**: If $f, g \in P(U)$ such that

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Intuition

- -A **category** captures the idea of mathematical structure and structure preserving relations
- -A functor extracts data from objects with structure
- -A presheaf extracts local data from an object
- -A **sheaf** extracts local data from an object that can be used to build global data.

Pit Stop for Applications

- Brandon Fong and his advisor David Spivak recently described Backpropogation in reinforncement learning as a functor (good because it captures compositionality of learning) [LEARN]
- Michael Sent me a giant paper with tons of applications, one of which I thought was very cool: Viewing the coverage area of a bunch of cameras and the data they retrieve in terms of sheaves. [SSA]

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 $\begin{array}{c} \bullet \quad O(X) \implies \mathfrak{C} \text{ "Site"} \\ U \cap V \implies U \times_X V \text{ "Pullback"} \end{array}$

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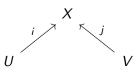
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- $\mathfrak{S}et \implies \mathfrak{D}$ "Complete Category" $\{f_i \in P(U_i)\}_{i \in I} \implies \prod_{i \in I} P(U_i)$ "Product"

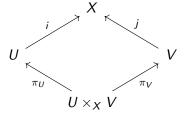
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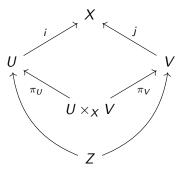
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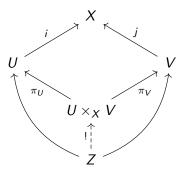
Given this diagram



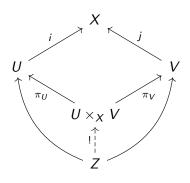
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Given this diagram, the pullback is an object $U \times_X V$ completing the diagram with two maps π_U, π_V , such that if any other object Z completes the diagram,



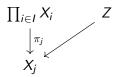
Given this diagram, the pullback is an object $U \times_X V$ completing the diagram with two maps π_U, π_V , such that if any other object Z completes the diagram, there is a unique map $Z \to U \times_X V$ making the whole diagram commute



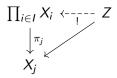
- In Set, the pullback is given specifically by $U \times_X V = \{(u, v) \in U \times V | i(u) = j(v)\}$
- Specifically, if U,V are subsets of X and i,j the inclusions, then U ×_X V = U ∩ V



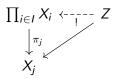
Given a collection of objects $\{X_i\}_{i\in I}$ indexed by a set I, we can form the product $\prod_{i\in I} X_i$. This product comes with projection maps π_j



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Given a collection of objects $\{X_i\}_{i\in I}$ indexed by a set I, we can form the product $\prod_{i\in I} X_i$. This product comes with projection maps π_j . If any other object comes with maps $Z \to X_i$, then they must factor through a unique map $Z \to \prod X_i$.



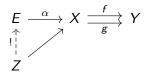
- Of course the product of sets is the usual cartesian product of set, for spaces it is the cartesian product with the product topology
- Warning! In the same way that not every object is a set, not every product is the cartesian product. (For example in certain cases the product in one can be realized as a pullback in another)

$$X \xrightarrow{f} Y$$

given two maps f,g:X o Y,

$$E \xrightarrow{\alpha} X \xrightarrow{f} Y$$

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$$E \xrightarrow{\alpha} X \xrightarrow{f} Y$$

$$\downarrow \uparrow \qquad \qquad Z$$

- In Set, $E = \{x \in X | f(x) = g(x)\}$
- In Ab, E = Ker(f g)

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$$\prod_{i} P(U_i) \xrightarrow{r_1} \prod_{i,j} P(U_i \cap U_j)$$

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$$r_1(\{f_i: U_i \to Y\}_i) = \{f_i | _{U_i \cap U_j}\}_{i,j}$$

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$$r_1(\{f_i: U_i \to Y\}_i) = \{f_i|_{U_i \cap U_j}\}_{i,j}$$

 $r_2(\{f_i: U_i \to Y\}_i) = \{f_i|_{U_j \cap U_i}\}_{i,j}$
4) Finally consider the map $r: P(U) \to \prod_i P(U_i)$
 $p(f: U \to Y) = \{f|_{U_i}\}$

$$P(U) \stackrel{r}{\longrightarrow} \prod_{i} P(U_i) \stackrel{r_1}{\longrightarrow} \prod_{i,j} P(U_i \cap U_j)$$

$$P(U) \xrightarrow{r} \prod_{i} P(U_i) \xrightarrow{r_1} \prod_{i,j} P(U_i \cap U_j)$$

5) Clearly $r_1\circ r=r_2\circ r$ as $f|_{U_i}|_{U_i\cap U_j}=f|_{U_i\cap U_j}=f|_{U_i}|_{U_i\cap U_j}$

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- 6) if r(f) = r(g) i.e. $f|_{U_i} = g|_{U_i}$ the **locality** sheaf condition implies f = g, that is, r is an injection
- 7)If $\{f_i\}$ is a collection of maps such that $r_1(\{f_i\}) = r_2(\{f_i\})$ then,

$$f_i|_{U_i\cap U_j}=f_j|_{U_i\cap U_j}$$

the **gluing** sheaf condition says that there is a map $f: U \to Y$ such that $r(f) = \{f|_{U_i}\} = \{f_i\}$, i.e. that r is a surjection onto the **equalizer** of r_1, r_2 .

So we can redefine a sheaf on O(X) as a presheaf P such that P(U) is the equalizer of the diagram

$$P(U) \xrightarrow{r} \prod_{i} P(U_i) \xrightarrow{r_1} \prod_{i,j} P(U_i \cap U_j)$$

Sites

The relevant ideas we used from O(X) is exactly the data of a Site:

Def: Site

A small category $\mathfrak C$ is a **site** if it has pullbacks and a collection of coverings $\{U_i \to U\}$ such that:

- if $V \to U$ is an isomorphism, then $\{V \to U\}$ is a covering. (An open set covers itself)
- if $\{U_i \to U\}$ a covering and $\{V_{i,j} \to U_i\}$ coverings, then $\{V_{i,j} \to U\}$ is a covering. (Refinement of coverings)
- if $\{U_i \to U\}$ is a covering and $V \to U$, then $\{U_i \times_U V \to V\}$ is a covering. (if $V \subseteq U$ then $U_i \cap V$ covers V)

So for a general site $\mathfrak C$ and a category $\mathfrak D$ with equalizers and products (complete category) we can define a sheaf:

Def: Sheaf

A presheaf $P: \mathfrak{C}^{op} \to \mathfrak{D}$ is a **sheaf** if for every covering $\{U_i \to U\}$, P(U) is the equalizer of the induced sequence

$$P(U) \xrightarrow{r} \prod_{i} P(U_i) \xrightarrow{r_1} \prod_{i,j} P(U_i \times_U U_j)$$

■ We defined a sheaf on O(X)

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- We generalized the notions of "collections, intersections, open coverings, equality"

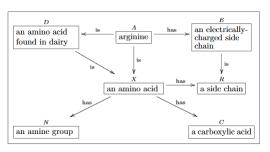
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- We generalized the notions of "collections, intersections, open coverings, equality"
- We reformulated sheaf conditions in terms of "products, equalizers and pullbacks"
- We lifted the notion of a set-valued sheaf on O(X), to a \mathfrak{D} -valued sheaf on a site \mathfrak{C}

Besides having applications to geometry, sheaves in this level of generality define a topos, which is a modern tool in logic. All of this can be found in [SGL]

Ontological Logs

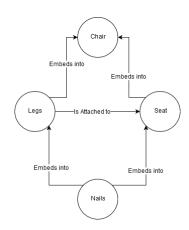
- Concieved by David Spivak (MIT)
- An ontological Log is just a labeled category
- Objects are supposed to capture ideas, and morphisms relations between them



[OLOG]

Ontological Logs

- Categorical constructions can then be interpreted semantically
- Identity "A concept is itself"
- Composability "If I effect something, I (might) have an effect on the things it's effecting"
- Ex: Pullback

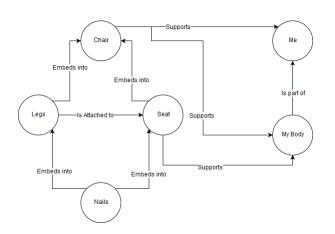


Subcategories in Ontological Logs



From an abstract perspective, the labels "Chair" and "Support" and "Me" doesn't really mean anything unless I've defined them

Subcategories in Ontological Logs

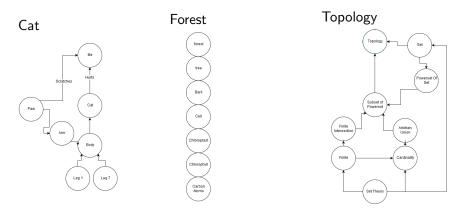


If we expand the ontological log we might be able to capture some

Some Simple Human Experimentation

Cat Forest Topology

Some Simple Human Experimentation



This should be minor evidence that the process of "expanding your ontological log" is maybe something that humans do to represent knowledge

Ontological Expansions : Small Subcategories

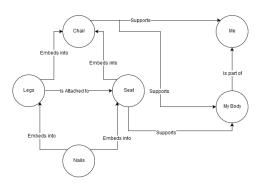
$\mathsf{Def}:\mathsf{Sm}(\mathfrak{C})$

Let $\mathfrak C$ be a category

- A small subcategory of $\mathfrak C$ is a functor $S:I\to\mathfrak C$, for I a small category.
- For two small subcategories, define the <u>set</u> $Hom_{\mathfrak{C}}(S, S') = \{f : S(i) \rightarrow S'(j) | i \in I, j \in J\}$
- A submorphism $\mathcal{F}: S \to S'$ is a subset $\mathcal{F} \subseteq Hom_{\mathfrak{C}}(S, S')$

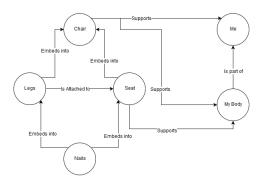
Example





Question: How to get from picture one to picture two?





Answer(?) : Ontological Generators

Naively:

Def: Ontological Generator

An **Ontological Generator** is a functor $OG : \mathfrak{C} \to Sm(\mathfrak{C})$

- For an object $X \in \mathfrak{C}$ call OG(X) the "Ontological Expansion of X"
- This is great, but we want to be able to use it.
- A stronger definition is needed.

Current Def: OG

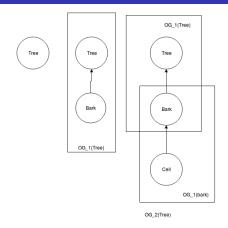
Def: OG

Let $(\$, \otimes)$ be a small monoidial category, An **Ontological Generator** is a parameterized functor $OG : \$ \to (\mathfrak{C} \downarrow sm(\mathfrak{C}))$ such that:

- Ontological Composition $OG_s \circ OG_{s'} = OG_{s \otimes s'} : \mathfrak{C} \to sm(\mathfrak{C})$
- Colimit is a section $Colim \circ OG_s = Id_{\mathfrak{C}}$
- Local Measurement For all $s \in \$, X \in \mathfrak{C}$ $OG_s(X)$ is a <u>site</u>

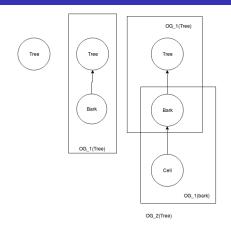
Note: You can just think of an OG as a collection of naive ontological expansions $OG_s: \mathfrak{C} \to sm(\mathfrak{C})$

OG composition (Example Picture)



We want to be able to compose expansions in a controlled and meaningful way

OG composition (Example Picture)



We want to be able to compose expansions in a controlled and meaningful way

Anisotropy: Seeing the forest for the trees (as opposed to the carbon atoms)

OG composition

Realize $Sm : \mathfrak{C}at \to \mathfrak{C}at$ as a functor:

- $Sm(\mathfrak{C})$ has already been defined.
- For $F : \mathfrak{C} \to \mathfrak{D}$, we want $Sm(F) : Sm(C) \to Sm(D)$.

This is simple, for $S: I \to \mathfrak{C} \in Sm(C) \ Sm(F)(S) = F \circ S$ for $F: S \to S'$, $Sm(F)(F) = \{F(f) | f \in F\}$

OG Composition

```
Let OG_1, OG_2 : \mathfrak{C} \to sm(\mathfrak{C}), define OG_1 \odot OG_2 = colim \circ Sm(OG_1) \circ OG_2
i.e. : \mathfrak{C} \stackrel{OG_2}{\to} sm(\mathfrak{C}) \stackrel{sm(OG_1)}{\to} sm(sm(\mathfrak{C})) \stackrel{colim}{\to} sm(\mathfrak{C})
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Current Def: OG

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"An object isn't the sum of its parts, but the colimit of its ontological expansions"

Example: The original OG, O(X)

Note that if we let \$ = * and $OG_* = O : \mathfrak{T}op \to sm(\mathfrak{T}op)$. Then O(X) becomes an ontological generator.

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- for $f: X \to Y$ let $O(f) = \mathcal{F} = \{f|_U \to V | V \supseteq f(U)\}$
- Ontological Composition is trivial
- **Colim** is a section $Colim(O(X)) = \bigcup O(X) = X$
- **Local Measurement** O(X) is a **site**, as seen before.

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In a terrible way, we can deduce your actions from the physics of the entirety of your atoms

In a less terrible way, we can deduce the physics of your cell parts from your atoms, your cells from your cell parts, your organs from your cells and then you from your organs.

OG-Sheaves / Measurement

That is, we want a definition along the lines of:

Current Work: OG-Sheaves / Measurement

A **Measurement** of an ontological generator OG, is a collection of sheaves $P_{s,X}: OG_s(X) \to \mathfrak{D}$

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Current Work: OG-Sheaves / Measurement

A **Measurement** of an ontological generator OG, is a collection of sheaves $P_{s,X}: OG_s(X) \to \mathfrak{D}$

The real question is then: What should be used for \mathfrak{D} ?

Future Work: Intuition, actions and measurements

Furthermore, we want to change our intuition upon viewing an ontology.

First some interpretations:

```
\mathfrak{C}\Longrightarrow \text{ objects} sm(\mathfrak{C})\Longrightarrow \text{ ontological representations} sm(sm(\mathfrak{C}))\Longrightarrow \text{ categories of ontological representations}. So the idea is that an object \mathscr{S}\in sm(sm(\mathfrak{C})) should be an intuition about the universe \mathfrak{C}. An ontological updator tells us how to change our intuitions
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 $\mathit{sm}(\mathit{sm}(\mathfrak{C})) \implies$ categories of ontological representations.

So the idea is that an object $\mathscr{S} \in sm(sm(\mathfrak{C}))$ should be an **intuition** about the universe \mathfrak{C} . An ontological updator tells us how to change our intuitions

Def: Ontological Updator

 $U: \mathit{sm}(\mathfrak{C}) o \mathit{endFunc}(\mathit{sm}(\mathit{sm}(\mathfrak{C})))$

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"How someone's ontological expansion changed your intuition"

Example: You ask someone to tell you some macroscopic properties of a cat, they tell you that a cat has a tail. You say to yourself, "Wow, I already knew that" and so you add to your ontology that the person you are talking to must think you're pretty dull.

Issues

- 1) In defining the spiral product, we are implicitly making the assumption that $sm(\mathfrak{C})$ is cocomplete. But it seems like this assumption is satisfied by the cocompleteness of \mathfrak{C} .
- 2) $Colim(OG_s(X))$ works fine if just considering the subcategory $OG_s(X)$, however we'd like to have $Colim \circ OG_s$ a functor. To this end we search for a "correct" embedding functor $sm(\mathfrak{C}) \to (sm\mathfrak{C}at \downarrow \mathfrak{C})$ completing the chain:

$$\mathfrak{C} \stackrel{OG_s}{\to} \mathit{sm}(\mathfrak{C}) \stackrel{\mathit{i}}{\to} (\mathit{sm}\mathfrak{Cat} \downarrow \mathfrak{C}) \stackrel{Colim}{\to} \mathfrak{C}$$

