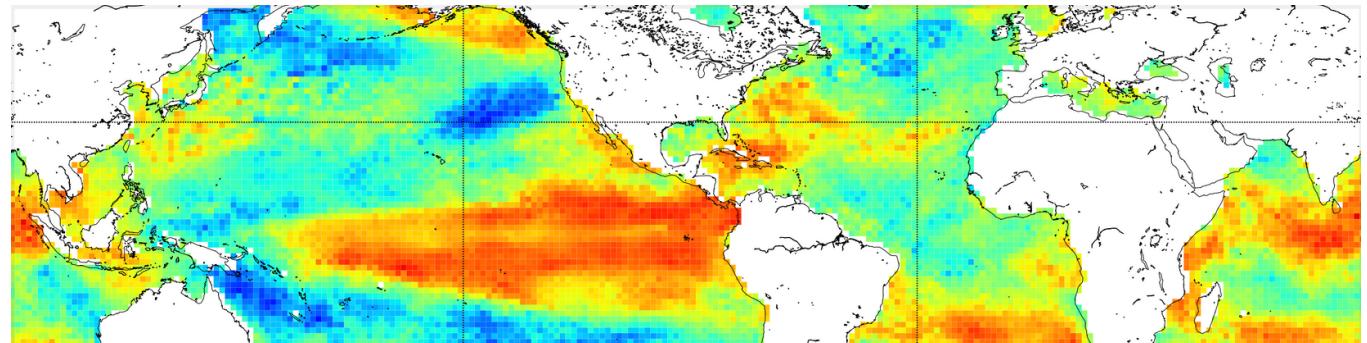


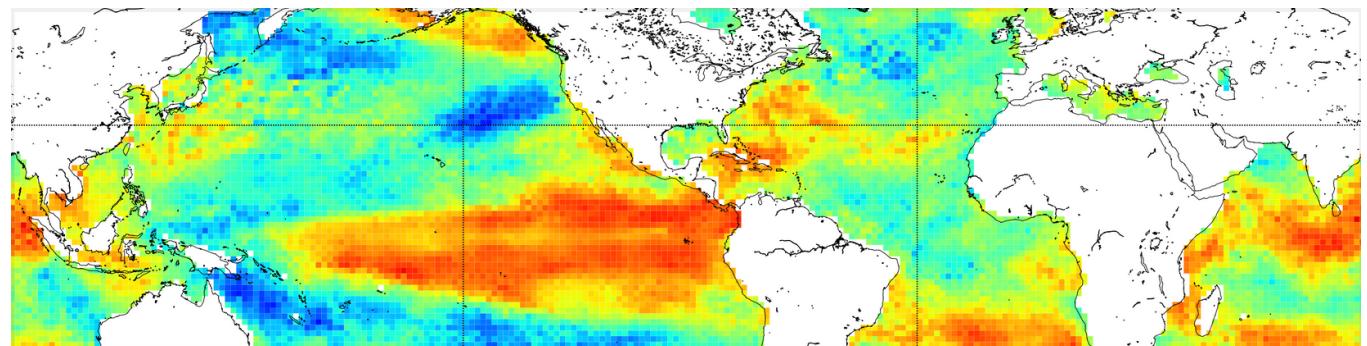
# Improving sub-seasonal drought forecasting with machine learning and climate indices

IHE Delft, 03/11/2022



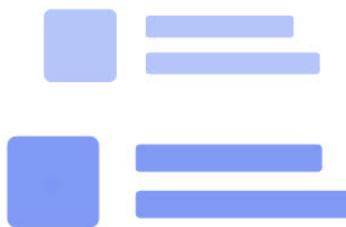


you can find  
the slides  
here!



# Today's Agenda

this presentation will go through the following stages:



01

Intro

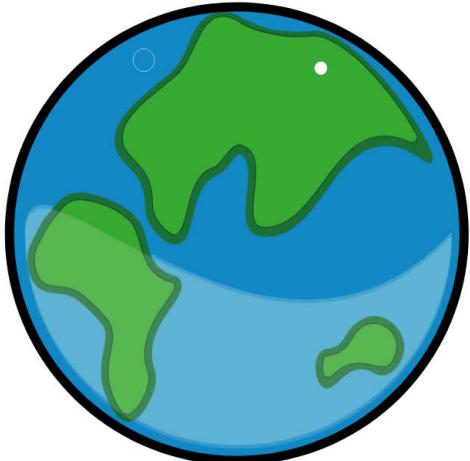
02

Context

03

Framework

# Intro



- 01 What is drought
- 02 ML for Drought
- 03 The gap

# Intro



- 01 What is drought
- 02 ML for Drought
- 03 The gap

## Meteorological Drought

a period of time in which a region experiences below-normal precipitation

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Reduced soil moisture, Reduced stream flow, Crop damage

**Water shortage**

# Intro



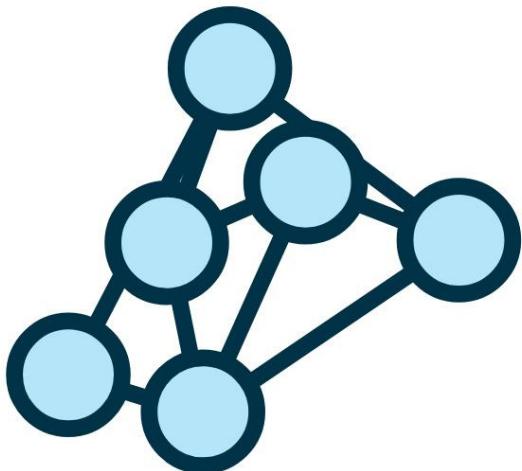
- 01 What is drought
- 02 ML for Drought
- 03 The gap

The onset, extent and duration of drought are difficult to define

different stakeholders have varying degrees of tolerance and resilience to these events  
**(Slette et al., 2019)**

Being able to forecast them is crucial

# Intro

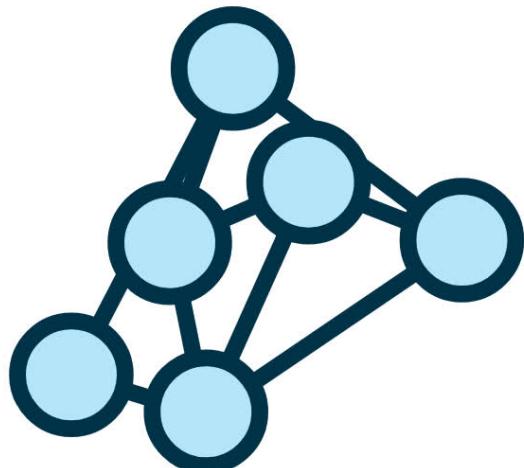


exploitation of *statistic* and *dynamic techniques* for droughts forecasting has been and is widely studied

sub-seasonal forecasting

- 01 What is drought
- 02 ML for Drought
- 03 The gap

# Intro



- 01 What is drought
- 02 ML for Drought
- 03 The gap

Earth observation data  
Artificial Intelligence  
Hardware (GPU,TPU)



AI-based  
prediction  
models

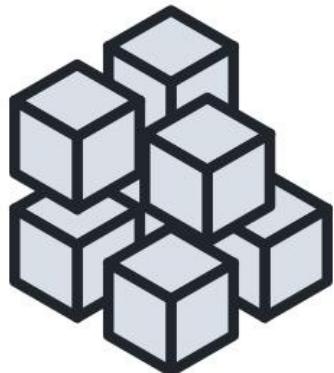
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McGovern et al. (2017)

Learn from past data  
Integrate physical understanding into the models  
Discover additional knowledge from the data  
Handle large amounts of input variables

# Intro

- 01 What is drought
- 02 ML for Drought
- 03 The gap



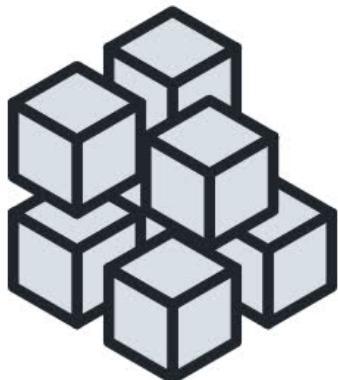
sub-seasonal  
drought forecasting

↔ AI

Why to focus on sub-seasonal  
lead times?

# Intro

- 01 What is drought
- 02 ML for Drought
- 03 The gap



## Informative predictors

**seasonal:**

climate indices and large scale teleconnection patterns

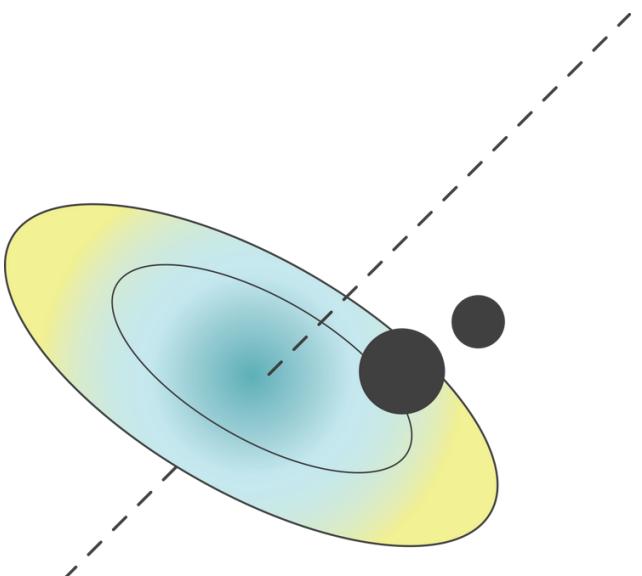
**short-medium term:**

local variable (precipitation, temperature)

**sub-seasonal?**

- **short enough** that the atmosphere still has memory of its **initial conditions**
- **long enough** to allow **atmospheric circulation** to affect the evolution of weather conditions

# Context



- 01 What (our goal)
- 02 Where (study area)
- 03 How (the framework)

# Context

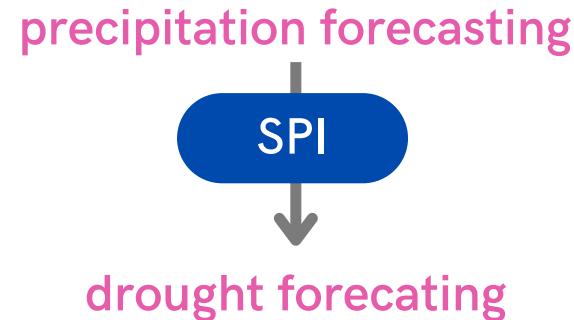
Machine Learning model for  
sub-seasonal drought  
classification

---

Based on  
SPI  
classes

Machine Learning model for  
sub-seasonal precipitation  
forecasting

---



- 01 What (our goal)
- 02 Where (study area)
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# Context



- 01 What (our goal)
- 02 Where (study area)
- 03 How (the framework)

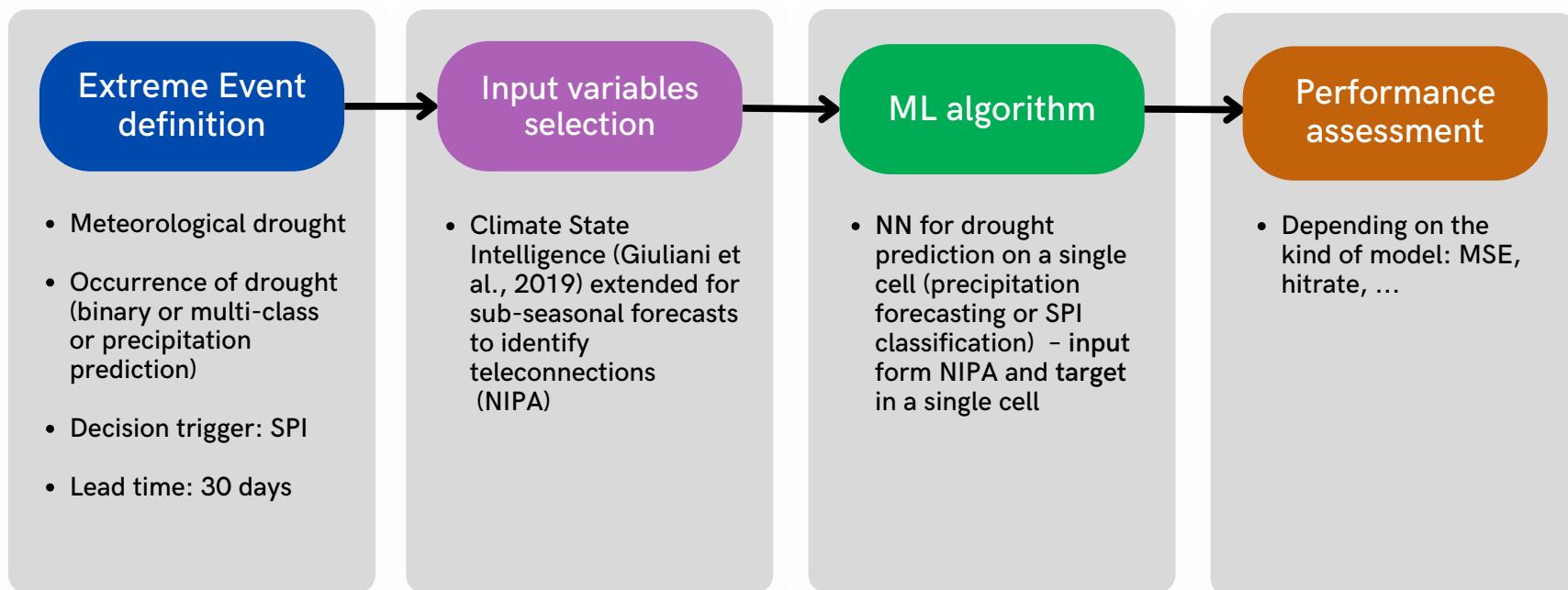
## Rijnland

small sub-catchment of 1000 km<sup>2</sup> at the very end of the Rhine delta in the Netherlands

water board of Rijnland is able to forecast drought at **bi-weekly** lead times. The goal is to extend it to **a month**

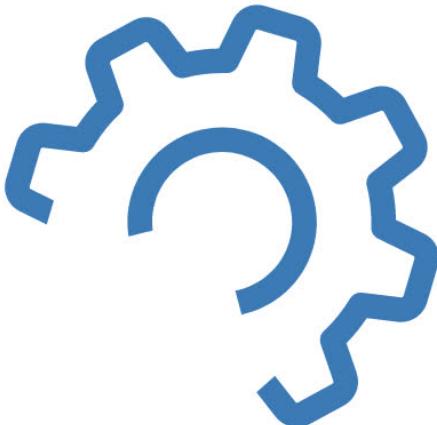
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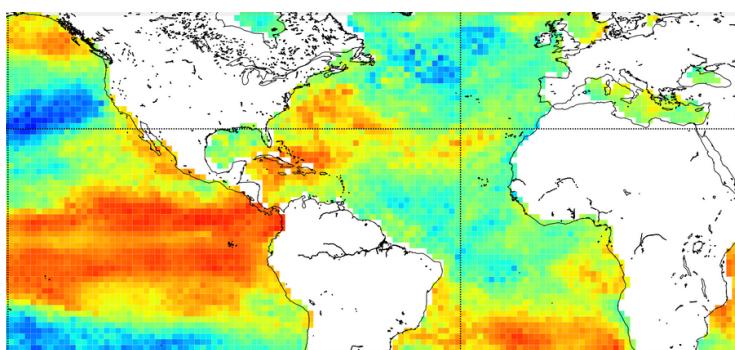


# Framework

- 01 NIPA
- 02 Neural Network



# Framework



- 01 NIPA
- 02 Neural Network

## Nino Index Phase Analysis

Zimmerman et al. (2016)

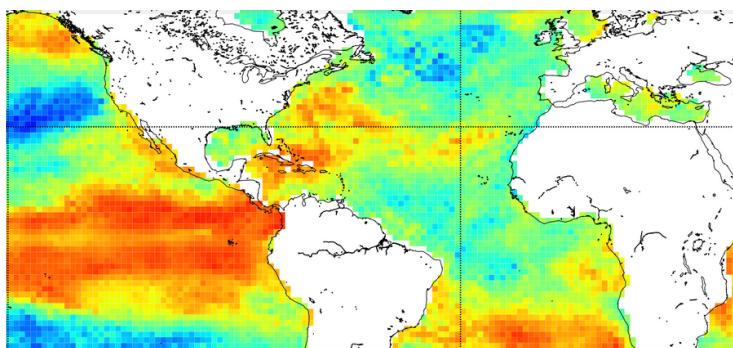


Giuliani et al. (2019)



Our readaptation

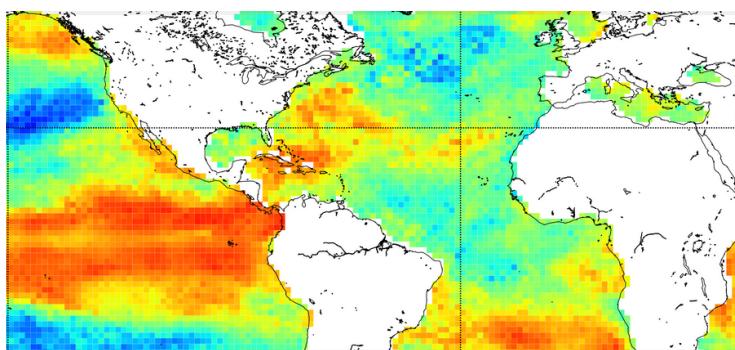
# Framework



- 01 NIPA
- 02 Neural Network

NIPA is a framework that searches for links between **Global** and **Local variables** exploiting the phases of teleconnection patterns materialized by **climate indices**

# Framework



- 01 NIPA
- 02 Neural Network

climate indices

El Niño Southern Oscillation (ENSO)

North Atlantic Oscillation (NAO)

SCAndinavian oscillation (SCA)

East Atlantic oscillation (EA)

# Framework

- above/below-normal temperatures in eastern United States and northern Europe
- above/below-normal temperatures in Greenland and southern Europe
- above/below-normal precipitation over northern Europe and Scandinavia
- above/below-normal precipitation over southern and central Europe

- 01 NIPA
- 02 Neural Network

## climate indices

### North Atlantic Oscillation (NAO)



# Framework

- above/below-normal temperatures in eastern United States and northern Europe
- above/below-normal temperatures in Greenland and southern Europe
- above/below-normal precipitation over northern Europe and Scandinavia
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- 01 NIPA
- 02 Neural Network

climate indices

North Atlantic Oscillation (NAO)



Phase Neg

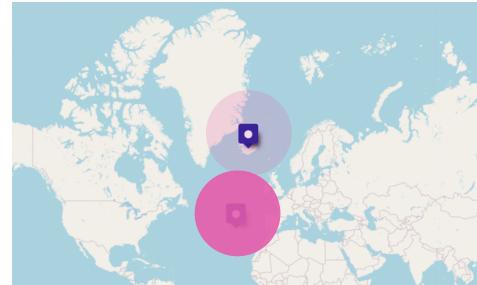
# Framework

- above/below-normal temperatures in eastern United States and northern Europe
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- above/below-normal precipitation over northern Europe and Scandinavia
- above/below-normal precipitation over southern and central Europe

- 01 NIPA
- 02 Neural Network

## climate indices

### North Atlantic Oscillation (NAO)



Phases Pos

# Framework

● 01 NIPA

● 02 Neural Network

---

## DATA

- Local precipitation (monthly timeseries) - cumulative
- Global variable (monthly timeseries) - SLP,SST,Z500 - mean
- Climate Index (monthly timeseries) - ENSO, NAO,SCA,EA

Input

Data extraction

Phase segmentation

Correlation

PCA

output

## SETTING PARAMETERS

- Month (of local precipitation)
- Aggregation level (of pre-month global data)

# Framework

● 01 NIPA

● 02 Neural Network

---

## SETTING PARAMETERS

- Month (of local precipitation)
- Aggregation level (of pre month global data)

### Example:

- Month 1
  - Aggregation level 1
- 
- Month 1
  - Aggregation level 2

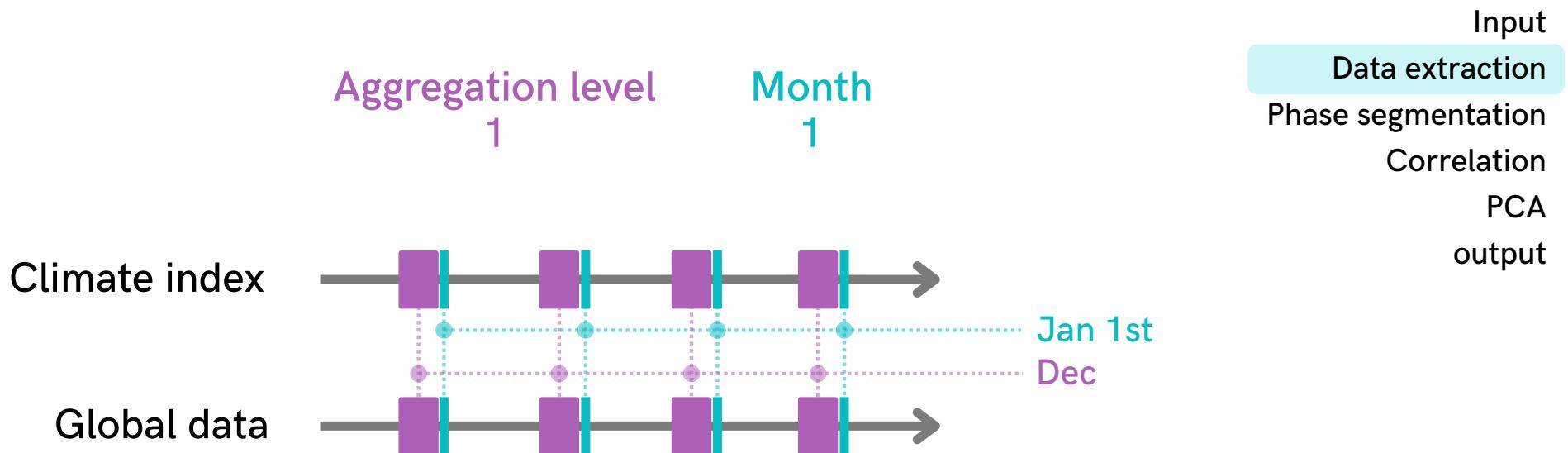
local precipitation of January and  
the global variable of December

local precipitation of January and the  
global variable of November + December

Input

Data extraction  
Phase segmentation  
Correlation  
PCA  
output

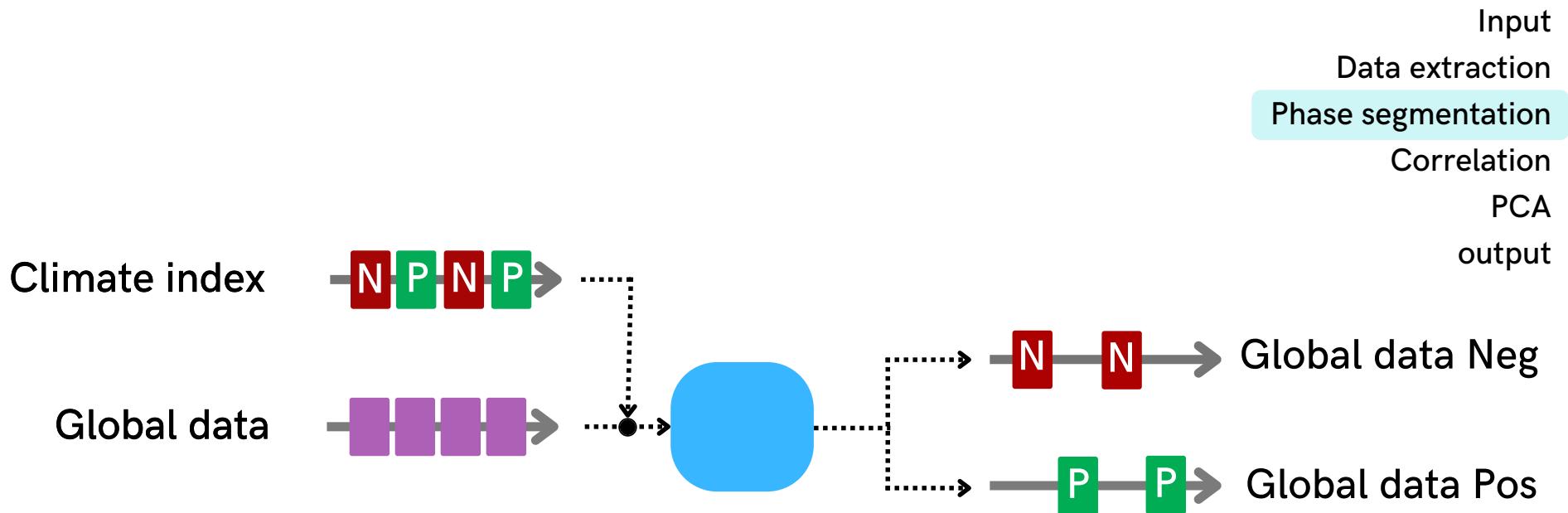
# Framework



NOTE: this is an year-based operation. NIPA will extract the data for the December of each year

# Framework

- 01 NIPA
  - 02 Neural Network
- 

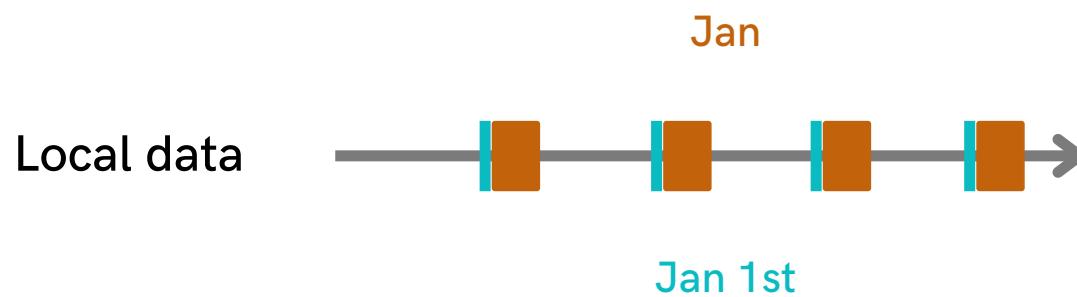


# Framework

- 01 NIPA
  - 02 Neural Network
- 

Global data Pos     →  
Global data Neg     →

Input  
Data extraction  
Phase segmentation  
**Correlation**  
PCA  
output



# Framework

Global data Pos



Global data Neg



Local data Pos

Local data Neg

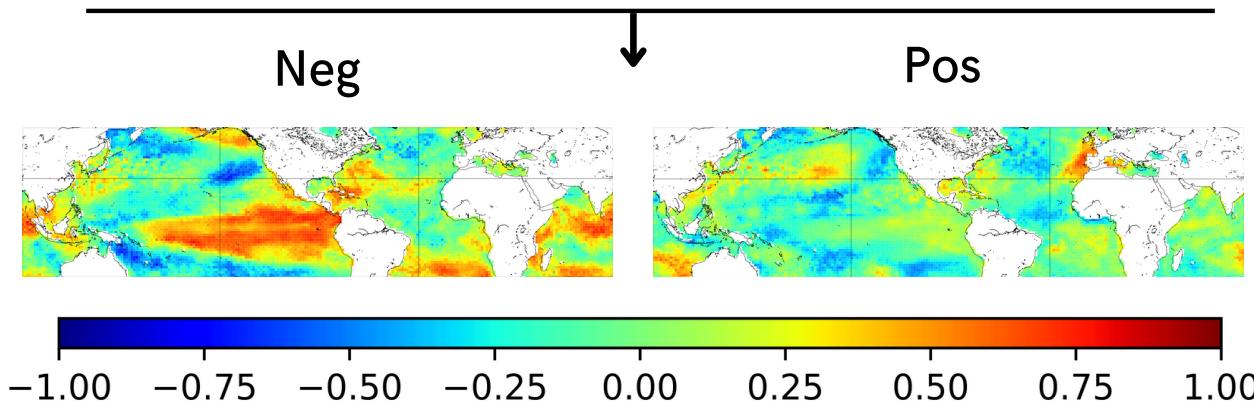
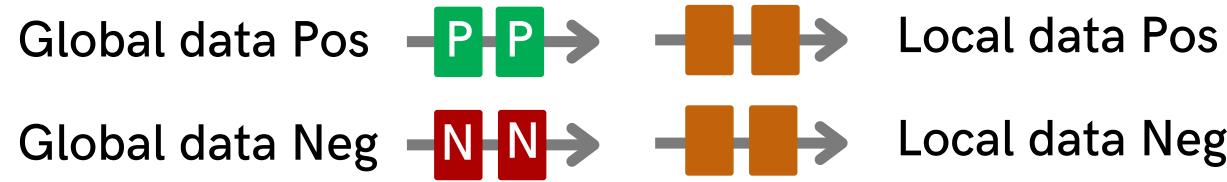
- 01 NIPA

- 02 Neural Network

Input  
Data extraction  
Phase segmentation  
Correlation  
PCA  
output

# Framework

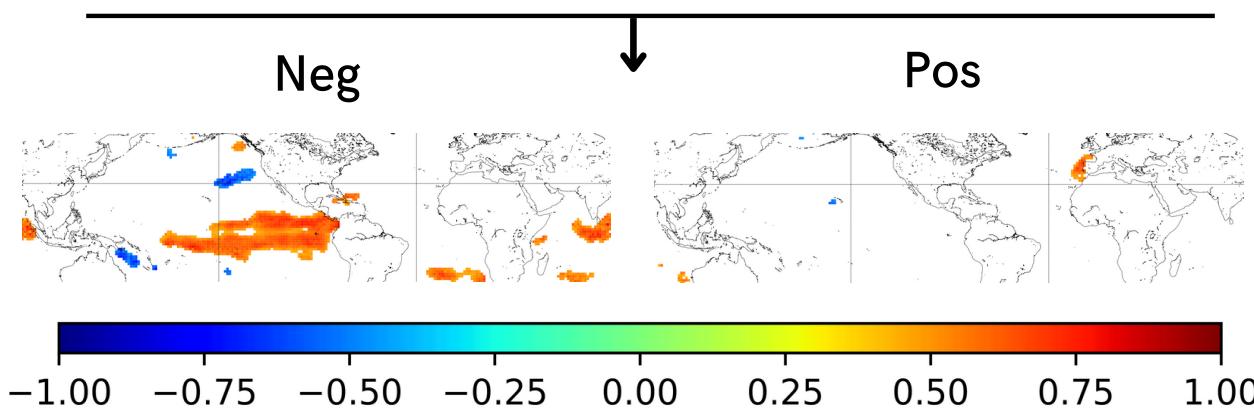
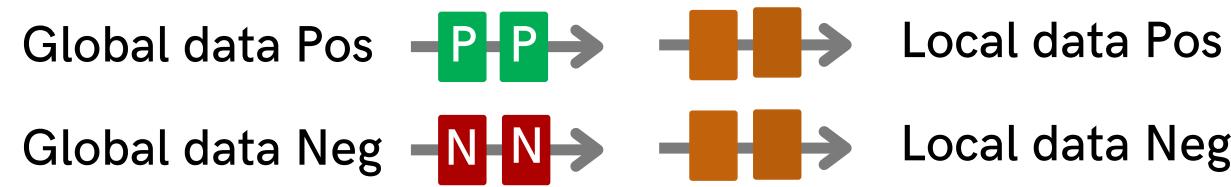
- 01 NIPA
  - 02 Neural Network
- 



Input  
Data extraction  
Phase segmentation  
**Correlation**  
PCA  
output

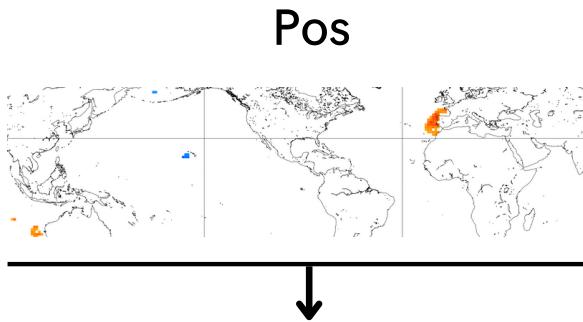
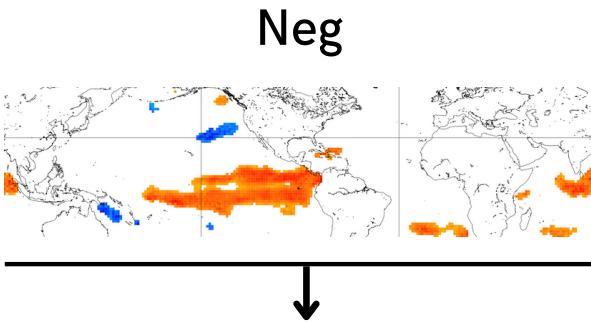
# Framework

- 01 NIPA
  - 02 Neural Network
- 



Input  
Data extraction  
Phase segmentation  
Correlation  
PCA  
output

# Framework



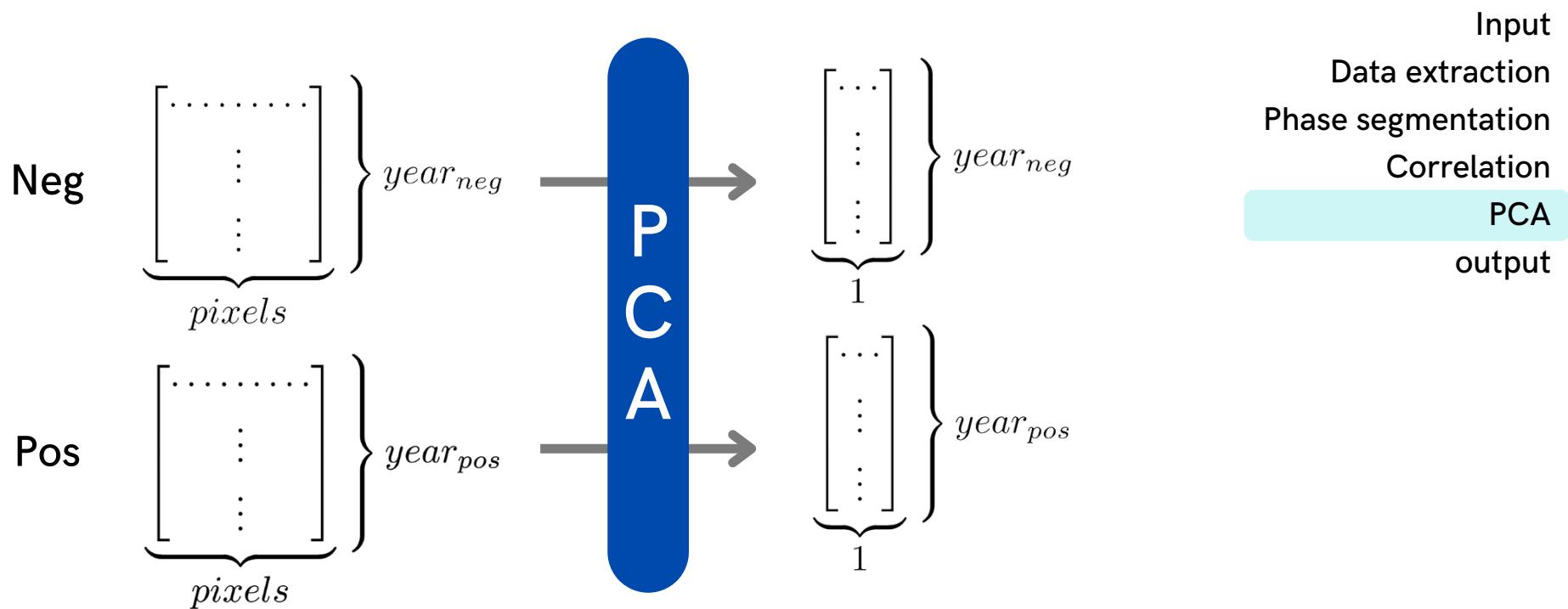
$$\left[ \dots \dots \dots \begin{matrix} \\ \vdots \\ \vdots \end{matrix} \right] \underbrace{\phantom{\left[ \dots \dots \dots \begin{matrix} \\ \vdots \\ \vdots \end{matrix} \right]}}_{pixels} \Bigg\} year_{neg}$$

$$\left[ \dots \dots \dots \begin{matrix} \\ \vdots \\ \vdots \end{matrix} \right] \underbrace{\phantom{\left[ \dots \dots \dots \begin{matrix} \\ \vdots \\ \vdots \end{matrix} \right]}}_{pixels} \Bigg\} year_{pos}$$

- 01 NIPA
- 02 Neural Network

Input  
Data extraction  
Phase segmentation  
Correlation  
PCA  
output

# Framework



- 01 NIPA

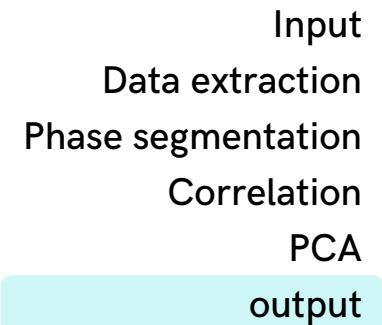
- 02 Neural Network

# Framework

PC1	phase_label
PC1 1979	1
PC1 1980	2
...	...
...	...
PC1 2021	2

Dataset for 1 month

- 01 NIPA
  - 02 Neural Network
- 

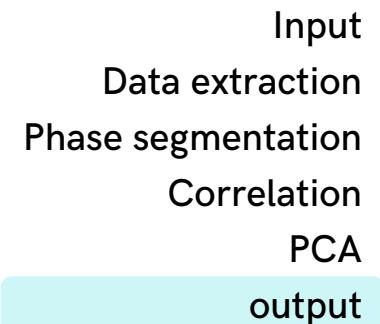


# Framework

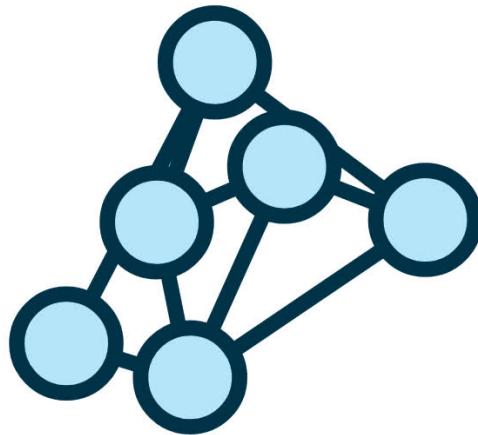
This procedure can be applied

- for each Month
- for each combination of:
  - Local Precipitation
  - Global Variable (SST/SLP/Z500)
- for each aggregation level of SST/SLP/Z500 (1/2/3 month)

- 01 NIPA
- 02 Neural Network



# Framework



● 01 NIPA

● 02 Neural Network

---

Introduction

Link with NIPA

Our ideas

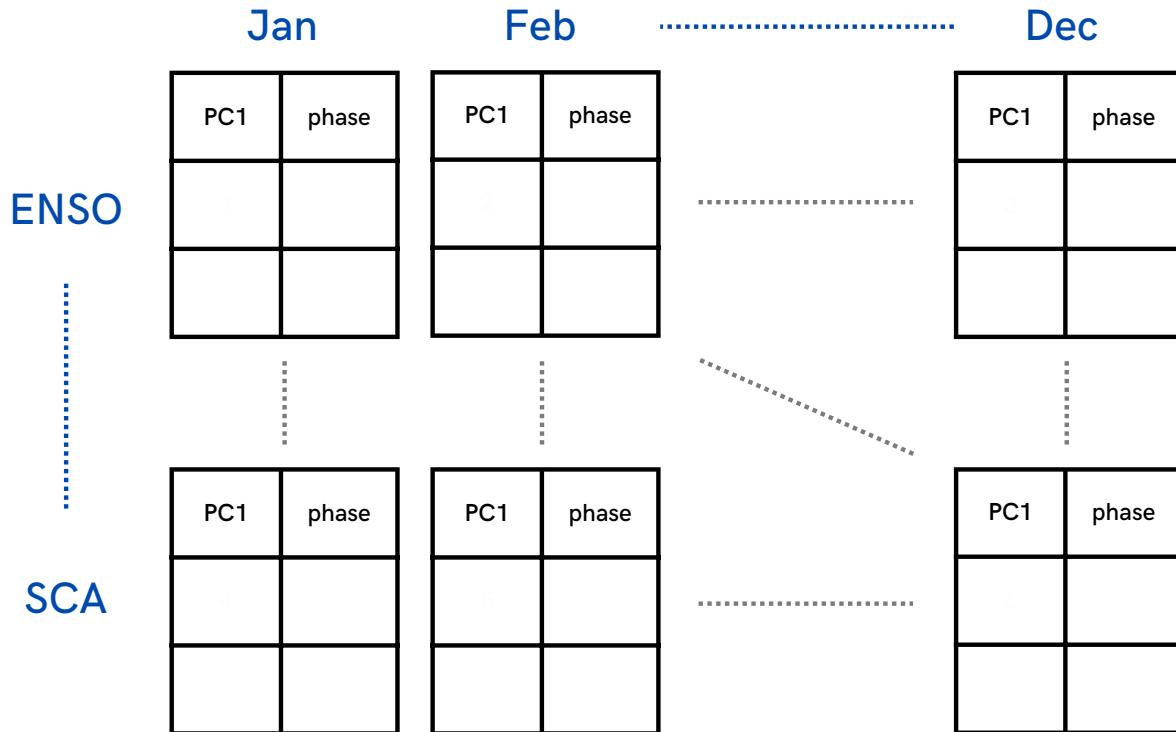
Model creation

A raw result

Just entered in this step

- which are our thoughts on how to proceed
- what has emerged from the test

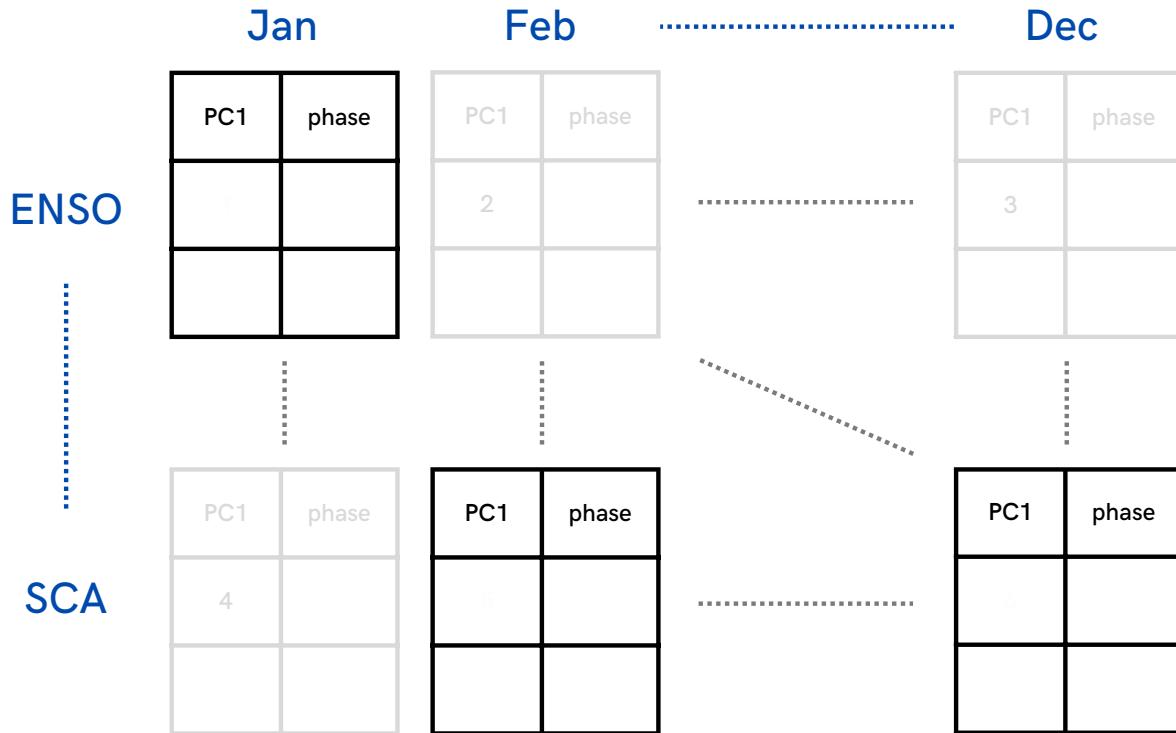
# Framework



- 01 NIPA
- 02 Neural Network

Introduction  
Link with NIPA  
Our ideas  
Model creation  
A raw result

# Framework



● 01 NIPA

● 02 Neural Network

Introduction

Link with NIPA

Our ideas

Model creation

A raw result

# Framework

- Skim some of the features based on the **pearson coefficients** of a linear regression between **PC1** and **Local Precipitation**
- Skim some of the features by imposing a **minimum correlation threshold**
- Consider the skimmed set of features and build **N different models for each month** and compare the **N different Leave One Out validation errors** to choose the best one

● 01 NIPA

● 02 Neural Network

---

Introduction

Link with NIPA

Our ideas

Model creation

A raw result

# Framework

● 01 NIPA

● 02 Neural Network

---

**Inputs:** (PC1, phase label) **Target:** (Local Precipitation)

Introduction

Link with NIPA

Our ideas

Model creation

A raw result

**Inputs:** (PC1\_1, PC1\_2, climate state);  
**Target:** (Local Precipitation)

Climate index 1	Climate index 2	Climate state
1	1	1
1	2	2
2	1	3
2	2	4

# Framework

- Input features:
  - SCA-SLP-1-1,
  - EA-SST-1-1,
  - climate state
- Target: Cumulative precipitation
- Hidden layers: 2
- Neurons: (3, 2)
- Activation function: ReLU
- Loss function: MSE

● 01 NIPA

● 02 Neural Network

---

Introduction

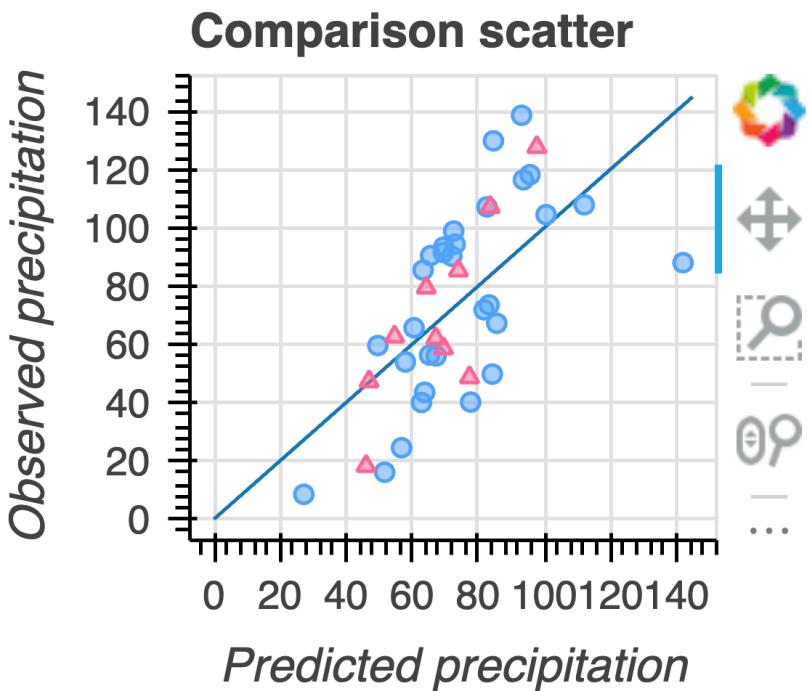
Link with NIPA

Our ideas

Model creation

A raw result

# Framework



● 01 NIPA

● 02 Neural Network

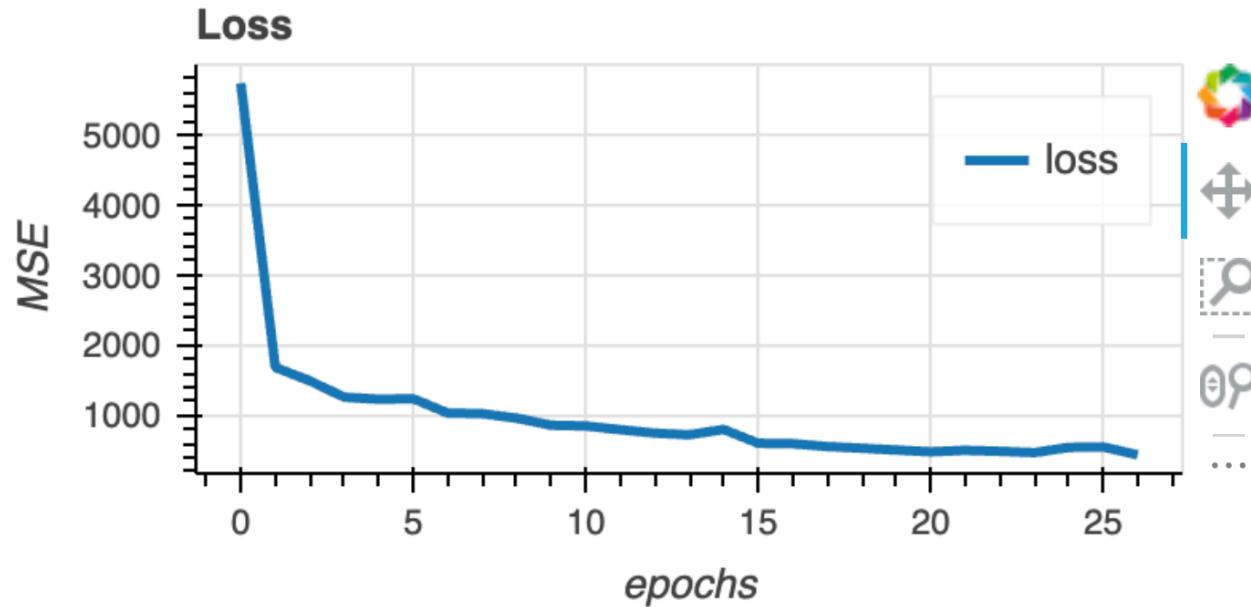
Introduction  
Link with NIPA  
Our ideas  
Model creation  
A raw result

# Framework

● 01 NIPA

● 02 Neural Network

---



Introduction

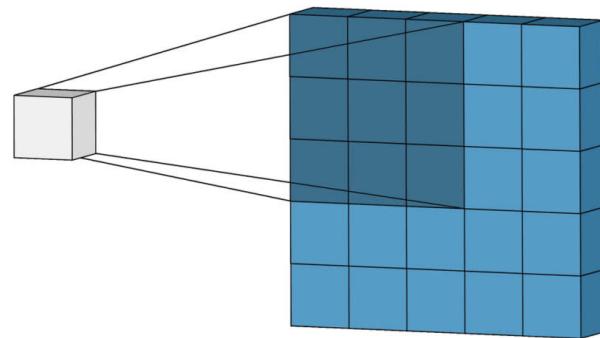
Link with NIPA

Our ideas

Model creation

A raw result

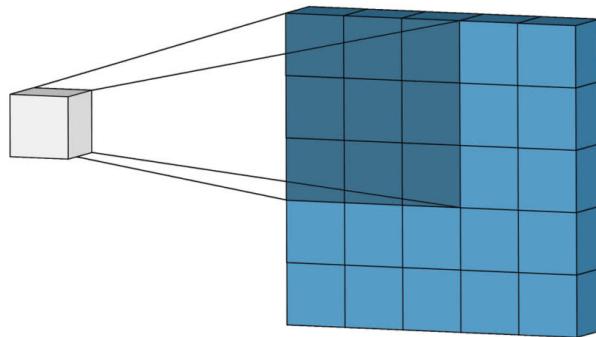
# Future ideas



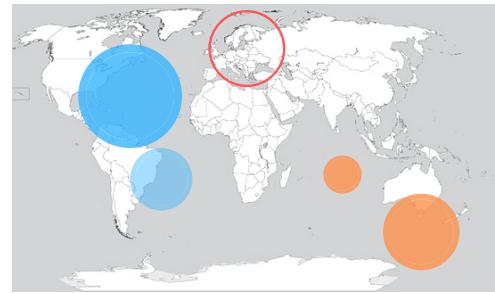
NN  
↔  
CNN

PC1  
↔  
Correlation  
Map

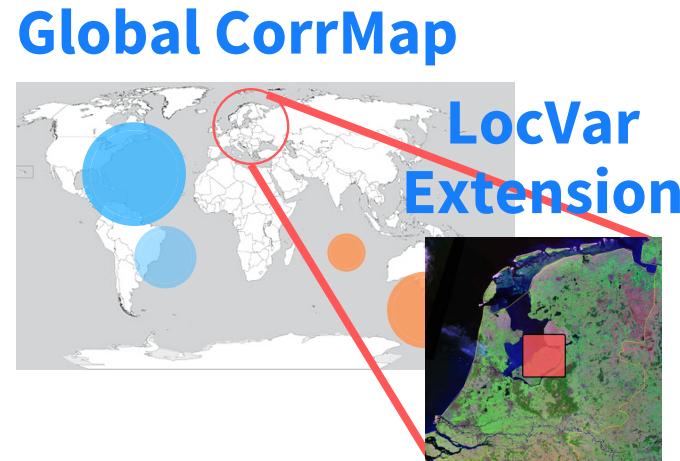
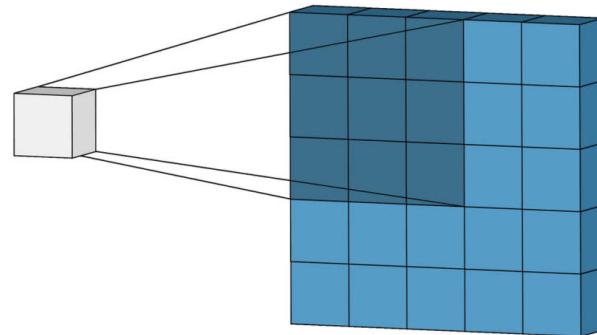
# Future ideas



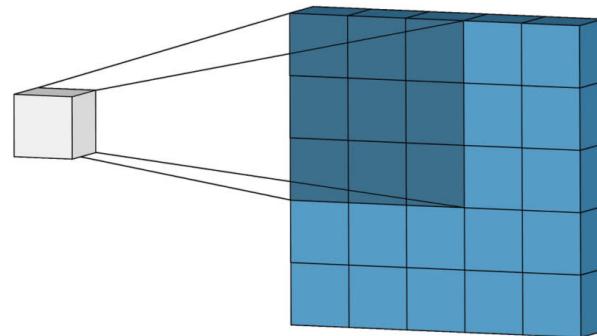
**Global CorrMap**



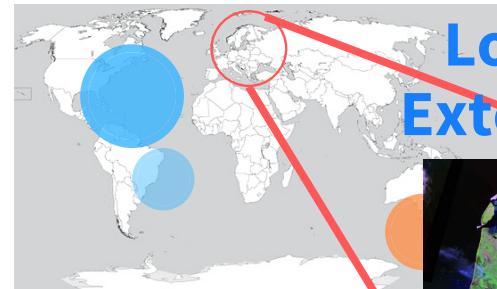
# Future ideas



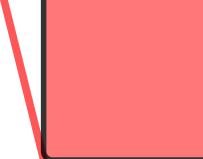
# Future ideas



Global CorrMap

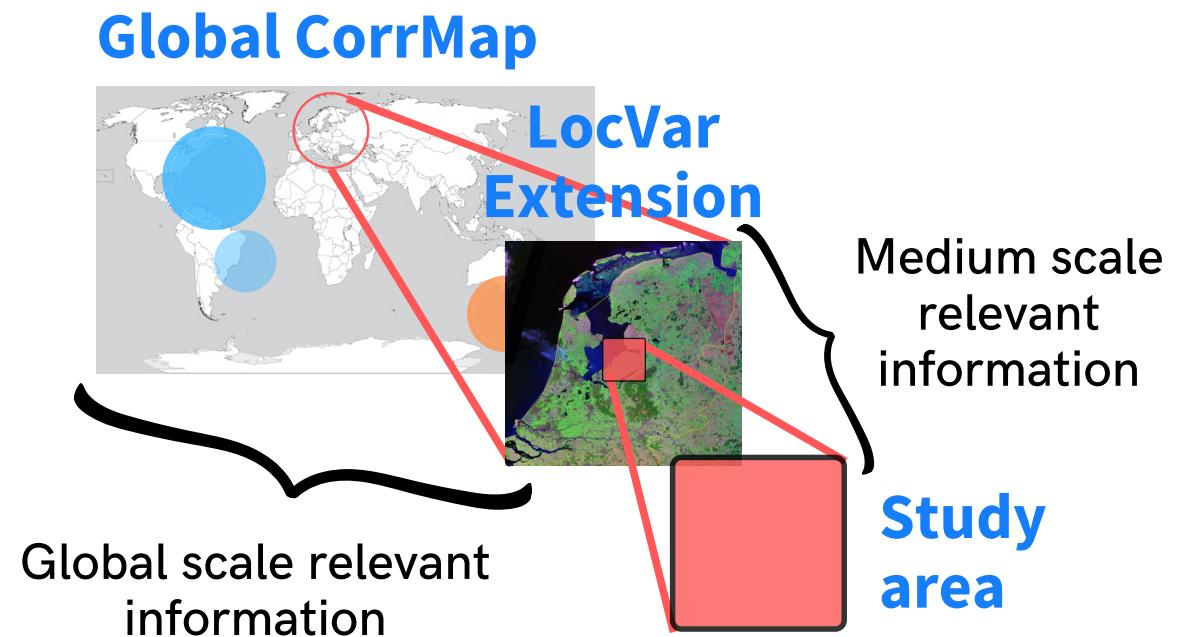
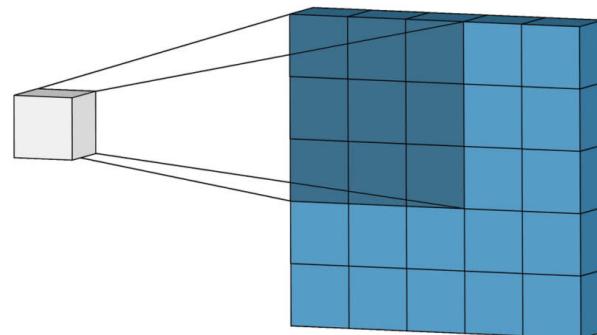


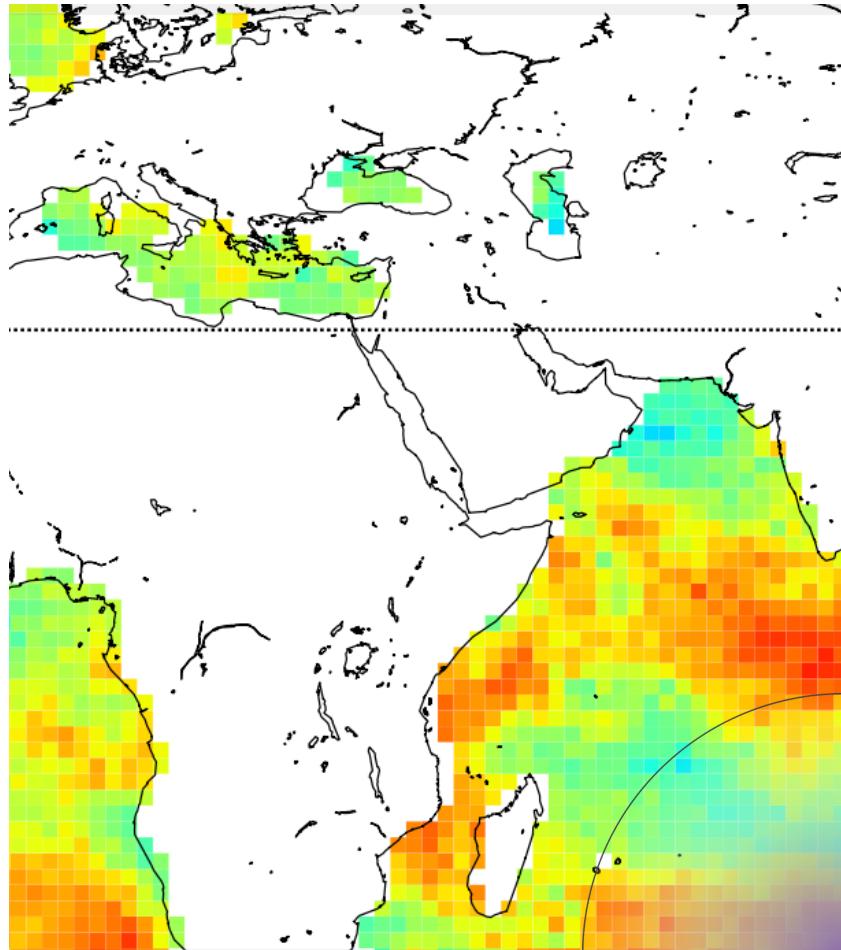
LocVar  
Extension



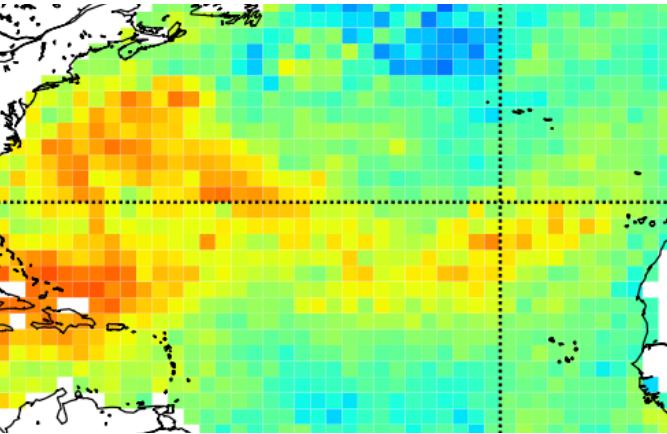
Study  
area

# Future ideas





Thank you  
for attending!



Zimmerman et al. (2016)



Giuliani et al. (2019)



you can find  
the slides  
here!

Our readaptation

