

### Who we are



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### 1. Presentation of BabyAl paper

An introduction to the paper from which we took inspiration

#### Introduction

BabyAl is a paper written in 2019 that aims to teach a bot to acquire a combinatorially rich synthetic language;

BabyAl is also a research platform towards including humans in the loop for grounded language learning.

Published as a conference paper at ICLR 2019

#### BABYAI: A PLATFORM TO STUDY THE SAMPLE EFFI-CIENCY OF GROUNDED LANGUAGE LEARNING

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#### ABSTRACT

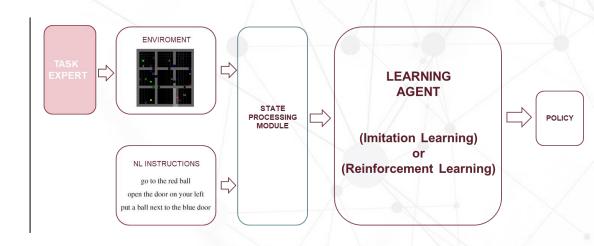
Allowing humans to interactively train artificial agents to understand language instructions is desirable for both practical and scientific reasons. Though, given the lack of sample efficiency in current learning methods, reaching this goal may require substantial research efforts. We introduce the BabyAI research platform, with the goal of supporting investigations towards including humans in the loop for grounded language learning. The BabyAI platform comprises an extensible suite of 19 levels of increasing difficulty. Each level gradually leads the agent towards acquiring a combinatorially rich synthetic language, which is a proper subset of English. The platform also provides a hand-crafted bot agent, which simulates a human teacher. We report estimated amount of supervision required for training neural reinforcement and behavioral-cloning agents on some BabyAI levels. We put forward strong evidence that current deep learning methods are not yet sufficiently sample-efficient in the context of learning a language with compositional properties.

### BabyAl – Previous works

To understand BabyAl network we have to cite some previous research in the field of grounded language learning.

"Gated-Attention Architectures for Task-Oriented Language Grounding"

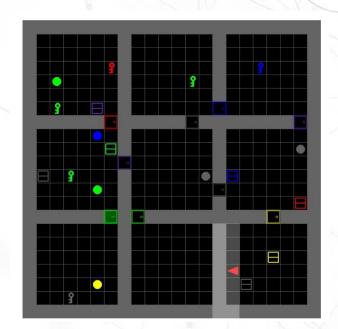
[2018: Chaplot, Sathyendra et others]



The elements that characterize BabyAl platform.

#### Minigrid

The MiniGrid environment is fast and this makes experimentation quicker and more accessible.



The elements that characterize BabyAl platform.

#### **Baby Language**

The instructions are in a simplified subset of English and it's possible combine more instructions together.

```
(Sent1) ',' then (Sent1)
         (Sent)
                      (Sent1)
        (Sent1)
                      (Clause) | (Clause) and (Clause)
       (Clause)
                      go to (Descr) | pick up (DescrN
                      put (DescrNotDoor) next to (Desci
                      (Article) (Color) door (LocSpec)
   (DescrDoor)
    (DescrBall)
                      (Article) (Color) ball (LocSpec)
    (DescrBox)
                      (Article) (Color) box (LocSpec)
    (DescrKey)
                      ⟨Article⟩ ⟨Color⟩ key ⟨LocSpec⟩
                      (DescrDoor)
                                    (DescrBall) | (De
        (Descr)
(DescrNotDoor)
                      (DescrBall)
                                    (DescrBox) | (Des
     (LocSpec)
                         on your left on your right
        (Color)
                         red green
                                        blue
                                               purple
       (Article)
                     the | a
```

The elements that characterize BabyAl platform.

# Levels and Curriculum learning

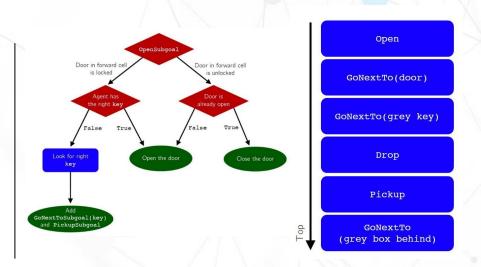
To investigate on curriculum learning, the environment is composed by 19 levels in which the difficulty are gradually increased.

	ROOM	DISTR-BOX	DISTR	MAZE	UNBLOCK	UNLOCK	IMP-UNLOCK	GOTO	OPEN	PICKUP	PUT	700	SEQ
GoToObj	X												
GoToRedBallGrey	X	X											
GoToRedBall	X	X	X										
GoToLocal	X	X	X					X					
PutNextLocal	X	X	X								X		
PickupLoc	X	X	X							X		X	
GoToObjMaze	X			X									
GoTo	X	X	X	X				X					
Pickup	X	X	X	X						X			
UnblockPickup	X	X	X	X	X					X			
Open	X	X	X	X					X				
Unlock	X	X	X	X		X			X				
PutNext	X	X	X	X							X		
Synth	X	X	X	X	X	X		X	X	X	X		
SynthLoc	X	X	X	X	X	X		X	X	X	X	X	
GoToSeq	X	X	X	X				X					X
SynthSeq	X	X	X	X	X	X		X	X	X	X	X	X
GoToImpUnlock	X	Х	X	X			X	X					
BossLevel	X	X	X	X	X	X	X	X	X	X	X	X	X

The elements that characterize BabyAl platform.

#### The Bot

The Bot plays the role of the human in the loop.





# Why \$

Mapping from free unbound natural language to its machine understandable representation is required:

- Formalization to avoid ambiguity
- Natural to untrained domain experts:
  - a. Wider application
  - b. No more training requirement

"After the button is pressed, the light will turn red until the elevator arrives at the floor and the doors open."



$$p \rightarrow X (q U (s \land v))$$

- p button being pressed
- q the light turning red
- s the elevator arriving
- v- the doors opening

### What? LTLf vs LDLf

Linear Dynamic Logic on finite traces (LDLf) - more expressive extension of LTLf, that results from addition of Propositional Dynamic Logic syntax

$$\varphi ::= \begin{array}{cc} \phi \mid \neg \varphi \mid \varphi_1 \land \varphi_2 \mid \varphi_1 \lor \varphi_2 \mid \Diamond \varphi \mid \bullet \varphi \mid \\ \Diamond \varphi \mid \Box \varphi \mid \varphi_1 \mathcal{U} \varphi_2 \end{array}$$

where  $\phi$  is a propositional formula over  $\mathcal{P}$ ,  $\circ$  is the *next* operator,  $\bullet$  is *weak next*, i.e.,  $\bullet \varphi$  is an abbreviation for  $\neg \circ \neg \varphi^1$ ,  $\diamond$  is *eventually*,  $\square$  is *always*, and  $\mathcal{U}$  is *until*.

$$\varphi ::= \phi \mid \neg \varphi \mid \varphi_1 \land \varphi_2 \mid \varphi_1 \land \varphi_2 \mid \langle \rho \rangle \varphi \mid [\rho] \varphi 
\rho ::= \phi \mid \varphi? \mid \rho_1 + \rho_2 \mid \rho_1; \rho_2 \mid \rho^*$$

where  $\phi$  is a propositional formula over  $\mathcal{P}$ ;  $\rho$  denotes path expressions, which are regular expressions over propositional formulas  $\phi$  with the addition of the test construct  $\varphi$ ? typical of PDL; and  $\varphi$  stands for LDL<sub>f</sub> formulas built by applying boolean connectives and the modal connectives  $\langle \rho \rangle \varphi$  and  $[\rho]\varphi$ . In fact  $[\rho]\varphi \equiv \neg \langle \rho \rangle \neg \varphi$ .



ο $\varphi$  translates to  $\langle true \rangle \varphi$ ;

 $\bullet \varphi$  translates to  $\neg \langle true \rangle \neg \varphi = [true] \varphi$ ;

 $\Diamond \varphi$  translates to  $\langle true^* \rangle \varphi$ ;

 $\Box \varphi$  translates to  $[true^*]\varphi$ ;

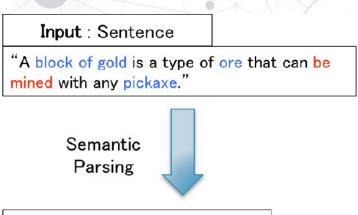
 $\varphi_1 \mathcal{U} \varphi_2$  translates to  $\langle (\varphi_1?; true)^* \rangle \varphi_2$ .

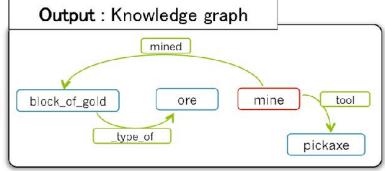


### Semantic parsing

Common pattern of syntactic structure for controlled English

- Translation problem
- Optimization problem
- Event identification and ordering





If the pressurizer water level rises above  $l_0$ , then the reactor shall be tripped on the next cycle at latest.

- Semantic parsing
- Translation problem



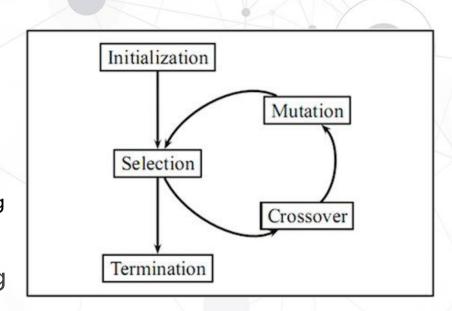
Logic is treated as a language and train NN/CNN for statistical models

- Optimization problem
- Event identification and ordering

- Semantic parsing
- Translation problem
- Optimization problem

Evolutionary Computation for determining best matching temporal formula

Event identification and ordering



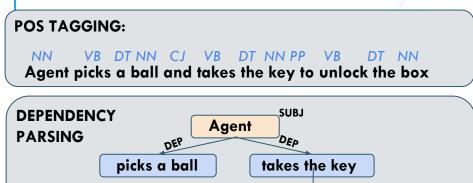
- Semantic parsing
- Translation problem
- Optimization problem
- Event identification and ordering

Identify temporal characteristics and encode temporal order between events with graph



- Semantic parsing
- Translation problem
- Optimization problem
- Event identification and ordering

### General scheme: Syntactic analysis





SEMANTIC I	ROLE LABELING		
	picks	unlock	
	Agent a ball	Agent the box	
	ta	kes	
	Agent the key	to unlock the box	

Agent	(N1, o)	N1->Noun
picks	(V1, o)	V1->Tv
a ball	(N2,o)	N2->Det N2
picks a ball	(Rc1,o)	Rc1->N1 V3 N4
takes	(V2, o)	V2->Tv
the key	(N3,o)	N3->Det N3
unlock	(V3, o)	V3->Tv
the box	(N4,o)	N4->Det N4
unlock the box	(Rc2,o)	Rc2->N1 V3 N4
takes the	(Rc2,o)	Rc2->N1 V2 N3
key to		Rc2
unlock the		
box		
final	(Rc3,o)	Rc3->Rc1 Rc2
sentence		

# General scheme: grammar-based parser

Agent	(N1, o)	N1->Noun
picks	(V1, o)	V1->Tv
a ball	(N2,o)	N2->Det N2
picks a ball	(Rc1,o)	Rc1->N1 V3 N4
takes	(V2, o)	V2->Tv
the key	(N3,o)	N3->Det N3
unlock	(V3, o)	V3->Tv
the box	(N4,o)	N4->Det N4
unlock the box	(Rc2,o)	Rc2->N1 V3 N4
takes the key to unlock the box	(Rc2,o)	Rc2->N1 V2 N3 Rc2
final sentence	(Rc3,o)	Rc3->Rc1 Rc2

```
\begin{array}{rcl} tr(a) & = & true; a? \\ tr(\phi?) & = & \phi? & (\phi \text{ propositional}) \\ tr(\delta_1; \delta_2) & = & tr(\delta_1); tr(\delta_2) \\ tr(\mathbf{if} \phi \operatorname{then} \delta_1 \operatorname{else} \delta_2) & = & \phi?; tr(\delta_1) + \neg \phi?; tr(\delta_2) \\ tr(\operatorname{while} \phi \operatorname{do} \delta) & = & (\phi?; tr(\delta_1))^*; \neg \phi? \end{array}
```

```
(!ball&!key&!box)*;ball;
(!ball&!key&!box)*;key;
(!ball&!key&!box)*;box;
> tt
```



### Understanding the problem

#### Generate DFA for the expert agent

- Temporal logic definition of agent Task
- Reactive Synthesis
- LDLf to DFA translation

#### Use Restraining Bolt to train the expert agent on Q

- Restraining Bolt
- ☐ Fluent traces generation

#### Train LEarner Agent on Expert Policy

- Imitation Learning (Inverse Reinforcement Learning)
- Learner DFA Exraction

### Generate DFA from LDLf formulas

#### Task definition

In synthesis typically agent tasks are expressed in terms of traces in temporal logic (LDLf in our case)

A trace T is a finite (LDLf) sequence of fluents  $\, \cup \,$  actions evaluations.

#### Example:

- Task: "unlock the door and get the box"
- $<(\neg \text{ key } \land \neg \text{ door } \land \neg \text{ box })^*; \text{ key }; <(\neg \text{ key } \land \neg \text{ door } \land \neg \text{ box })^*; \text{ door } \land \neg \text{ box })^*; \text{ box } > \text{tt}$ 
  - $\varphi^*$  (safety) : means that always, until the end of trace,  $\varphi$  holds.

In example, 
$$\varphi = (\neg \text{ key } \land \neg \text{ door } \land \neg \text{ box })$$

- true\*;  $\varphi_{-}1$ ; true\*;  $\varphi_{-}2$ ; true\*;  $\varphi_{-}3$  (ordered occurrence): says that  $\varphi_{-}1$  and  $\varphi_{-}2$  and  $\varphi_{-}3$  will happen in order.

In example: 
$$\varphi_1 = \ker | \varphi_2 = \operatorname{door} | \varphi_3 = \operatorname{box}$$

-  $\langle 
ho 
angle arphi$  : all "executions" of RE ho (along the race) end with arphi holding.

```
In example: \varphi = tt (true) | \langle \rho \rangle = <(¬ key \land ¬ door \land ¬ box )*; key; <(¬ key \land ¬ door \land ¬ box )*; door; <(¬ key \land ¬ door \land ¬ box )*; box >
```

# Generate DFA from LDLf formulas

#### **Synthesis**

Given an LDLf task 'Task' for the agent:

- Find agent behavior  $\sigma_a$  such that  $\forall \sigma_e$ , trace  $(\sigma_a, \sigma_e) = Task$ 

#### **Agent Behavior**

Also called "strategy", "policy", "protocol", "process":  $\sigma_a : (fluents)^* \rightarrow actions$ 

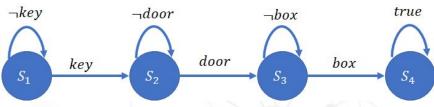
#### where:

- (fluents)\* denotes the **history** of what observed so far by the
- agent (a finite sequence of fluents configurations)
   actions denotes the next action that the agent does

# Generate DFA from LDLf formulas

#### LDLf to DFA translation

- LTLf /LDLf formulas can be translated into deterministic finite state automata (DFA)
- + + |= arphi iff +  $\in$   $\mathcal{L}(A\_arphi)$  , where:
  - t is the trace of fluents;
  - $A_{m{\phi}}$  is DFA  $m{\phi}$  is translated into ;
  - arphi is the LDLf formula



DFA generated from LDLf formulas

# Using RB to train expert agent on DFA

#### **Restraining Bolt**

A Restraining Bolt (RB) is a tuple  $RB = \langle \mathcal{L}, \{(\varphi_i, r_i)\} \rangle$  where each phi\_i is an LDLf \ LTLf formula over a set of fluents L and each r\_i is a reward value.

Fluents constitute the RB's representation of the environment state and need not match the RL agent features (and typically they do not). Formulas phi\_i specify the behaviors that should be rewarded, each with its respective r\_i. As known (De Giacomo and Vardi 2013) LDLf / LTLf formulas can be equivalently represented as DFAs, and this representation is used to constrain an agent's behavior to fulfill high-level (i.e., fluent-based) goals.

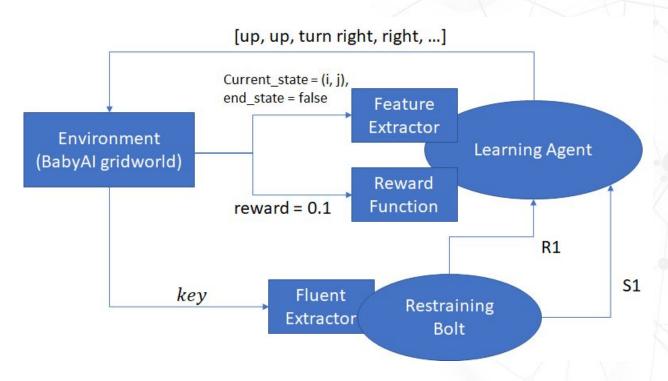
# Using RB to train expert agent on DFA

Thus the task is represented by the DFA Q corresponding to a formula phi\_i. As a result, we consider RBs of the form <L, Q, r\_i> where Q is a DFA representing an LTLf/LDLf formula and r is a reward value associated with the accepting states of Q.

Consider now an expert agent defined on an MDP Me = <Se; Ae; T re; Re >. The agent can execute optimal policies of a given target task represented by a DFA Q, but cannot make the corresponding reward function explicit; in other words, the agent knows how to accomplish the task but cannot describe it.

As the agent executes the policy, some traces are produced, some of which are desirable (positive) and some other are not. The expert can correctly classify the traces as positive or negative, based on its own state representation.

### Using RB to train expert agent on DFA



#### **Imitation Learning**

In our project we focused on Inverse Reinforcement Learning (IRL) approach for the implementation of the IL algorithm, as discussed in the paper "Imitation Learning over Heterogeneous Agents with Restraining Bolts" (De Glacomo, Favorito, locchi, Patrizi).

The main idea of IRL is to learn the reward function of the environment based on the expert's demonstrations, and then find the optimal policy (the one that maximizes this reward function) using reinforcement learning

Thus the RB device is attached to the learner agent to drive the learning process and ultimately make it imitate the expert.

#### **Learner DFA Extraction**

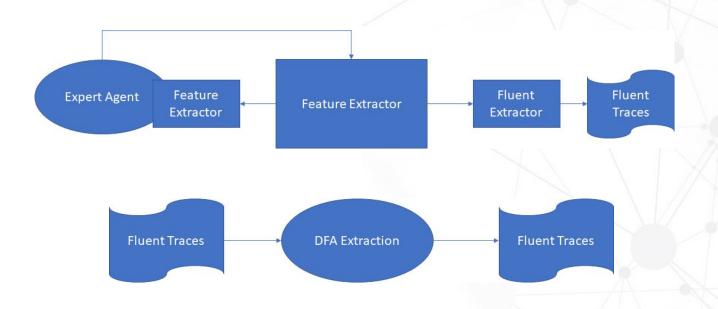
Let  $\mathcal T$  be a set of fluent traces collected while observing the behavior of the expert. The imitation learning process consists in recostructing a DFA  $Q_{\mathcal T}$  that is consistent with  $\mathcal T$ , i.e., that accepts all of its positive traces and none of its negative. Thus is a new RB:

$$RB = \langle \mathcal{L}, Q_{\mathcal{T}}, r \rangle$$



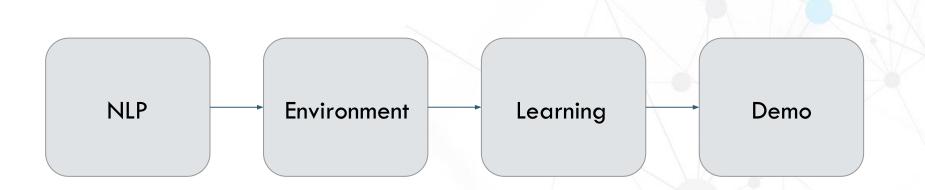
The generated RB can be placed on the learner agent to drive the learning process of a behaviour imitating the expert's.

Consider a learner agent defined on  $MI = \langle S_{-}I \rangle$ ,  $A_{-}I \rangle$ ,  $A_{-}I \rangle$ , with  $Tr_{-}I \rangle$  and  $R_{-}I \rangle$  unknown, equipped with the RB that encodes the behavior of the expert agent in performing the given task. The system  $M_{-}I^{\Lambda}RB = \langle MI \rangle$ ,  $RB \rangle$  can be used to learn an optimal policy driven by RB, as explained in (De Giacomo et al. 2019). In this way, the behavior of the learner agent imitates that of the expert, when considering the evolution at the RB level.





# Summary



# Natural Language Processing

#### **SPEAKING**

Acquire sentence by listening to the speaker

#### **TRANSCRIBING**

Transcribe what the speaker has said

#### **POS TAGGING**

Apply Part Of Speech tagging to the sentence in order to be able to create links between verbs and objects

#### **TRANSLATING**

Translate the NL sentence into LDLf









### NLP to LDLf

```
The Goal:

Agent picks a ball and takes the key to unlock the box

['Agent', 'pick', 'a', 'ball', 'and', 'take', 'the', 'key', 'to', 'unlock', 'the', 'box']

['NN', 'VB', 'DT', 'NN', 'CC', 'VB', 'DT', 'NN', 'TO', 'VB', 'DT', 'NN']

Reduced version:

['Agent', 'pick', 'ball', 'and', 'take', 'key', 'unlock', 'box']

['NN', 'VB', 'NN', 'CC', 'VB', 'NN', 'VB', 'NN']

LDLf formuls:

<(!ball & !key & !box)*;ball;(!ball & !key & !box)*;key;(!ball & !key & !box)*;box>tt

In [12]:
```

### Environment

In order to replicate as much as possible the environment of BabyAl, we focused on the actions that our agent would implement.

Requests: Get the box

Open the door

Move the ball

Use the key

•••







### Actions and objects

In its basic version, Minecraft defines two main actions: get and use. A third action to manage **pick and drop** situations is added to these two.

GET

USE

**MOVE** 

All the objects provided by Minecraft, were replaced by typical objects of BabyAl. Another category of objects is also added.

D	D00D	
Resources:	DOOR	
	BOX	
	BALL	SPALDS.
	BASE	X
Tools:	KEY	P
Obstacles:	WALL	

### Learning

Use the key, open the door and get the box.

Ρ	osi	itiv	e ]	$\Gamma r a$	aces	
	U J				uccs	١

key; door; box

key; door; box

key; door; box

key; door; box

...

#### **Negative Traces**

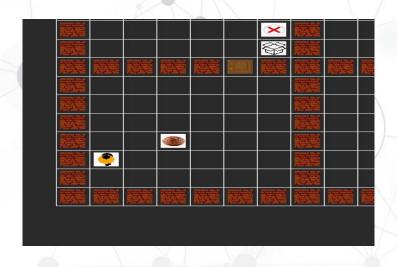
key; key

door

key; box

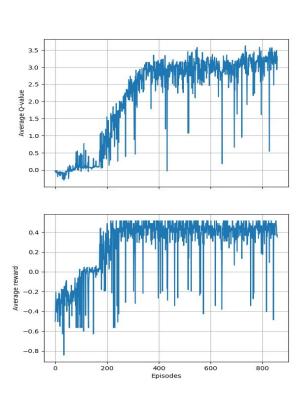
key; key

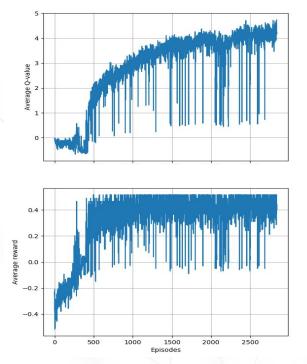
...



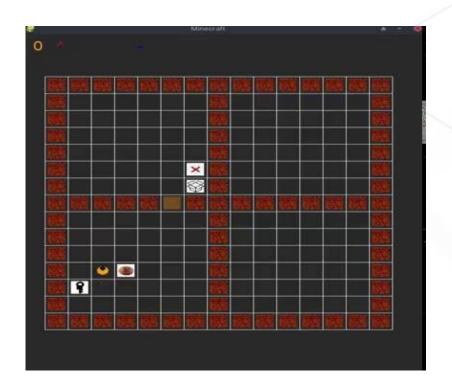
Expert	Params	Learner
30000	num_steps	100000
0.1	min_eps	0.1
0.99	gamma	0.99
0.1	alpha	0.1
sarsa	algorithm	sarsa

# Learning





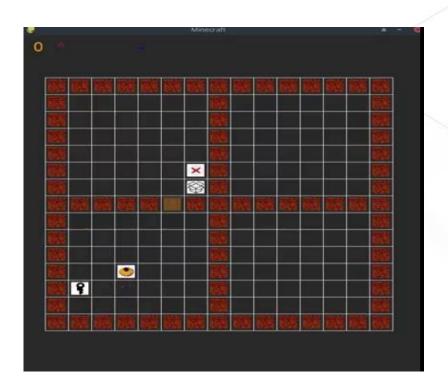
### Demo - Level 1



Task: Use the key and get the box

The agent must unlock the door before accessing the second room where the box is placed. Once it uses the key, the door gets unlocked and it is no more an obstacle.

### Demo - Level 2



Task: Use the key and move the ball

The agent must unlock the door before accessing the second room where the base is placed. Once it uses the key, the door gets unlocked and it is no more an obstacle. The ball is also moved from the initial position to the base.

### Conclusions

BabyAl-like environment implementation



LDLf and Restraining Bolt integration



Combination of multiple tasks



Future works: Usage of BabyAl to make the agent understand LDLf

### References

- BABYAI: A Platform to study the sample efficiency of grounded language learning [Chevalier-Boisvert, Bahdanau, et al. 2019]
- Gated-Attention Architectures for Task-Oriented Language Grounding
  [Chaplot, Sathyendra 2018]
- Synthesis of LTL formulas from natural language texts: State of the art and research directions

  [Andrea Brunello, Angelo Montanari, Mark Reynolds 2019]
- Imitation Learning over Heterogeneous Agents with Restraining Bolts
  [De Giacomo, Marco Favorito, Luca locchi, Fabio Patrizi 2020]

