

Ginzburg criterion for the dynamical glass transition

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PNAS 109, 18725 (2012)

J. Chem. Phys. 138, 12A540 (2013)

APS meeting

Baltimore, March 20, 2013

Outline

- 1 The random first order transition framework
- 2 Landau theory and gradient expansion
- 3 Ginzburg criterion
- 4 Numerical results and discussion

A path towards a theory of the glass transition

Theory of second order PT (gas-liquid)

- Qualitative MFT (Landau, 1937)
Spontaneous Z_2 symmetry breaking
Scalar order parameter
Critical slowing down
- Quantitative MFT (exact for $d \rightarrow \infty$)
Liquid-gas: $\beta p / \rho = 1 / (1 - \rho b) - \beta a \rho$
(Van der Waals 1873)
Magnetic: $m = \tanh(\beta J m)$
(Curie-Weiss 1907)
- Quantitative theory in finite d (1950s)
 (approximate, far from the critical point)
Hypernetted Chain (HNC)
Percus-Yevick (PY)
- Corrections around MFT
Ginzburg criterion, $d_u = 4$ (1960)
Renormalization group (1970s)
Nucleation theory (Langer, 1960)

Theory of the liquid-glass transition

- Qualitative MFT (Parisi, 1979; KTW, 1987)
Spontaneous replica symmetry breaking
Order parameter: overlap matrix q_{ab}
Dynamical transition "à la MCT"
- Quantitative MFT (exact for $d \rightarrow \infty$)
Kirkpatrick and Wolynes 1987
Kurchan, Parisi, FZ 2012
- Quantitative theory in finite d
DFT (Stoessel-Wolynes, 1984)
MCT (Bengtzelius-Götze-Sjölander 1984)
Replicas (Mézaré-Parisi 1996)
- Corrections around MFT
Ginzburg criterion, $d_u = 8$ (2011)
Renormalization group (2011-??)
Nucleation (RFOT) theory (KTW 1987)

A path towards a theory of the glass transition

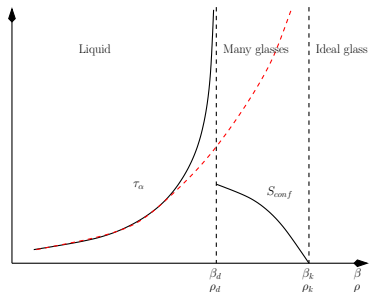
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Qualitative MFT of the glass transition



Free energy functional $F[\rho(x)]$ – to be minimized

Three temperature regimes (Kirkpatrick-Thirumalai-Wolynes, 1987-1989)

- 1 $T > T_d$: one single minimum with $\rho(x) = \rho$, the liquid state – finite $\tau_\alpha \sim (T - T_d)^{-\gamma}$
- 2 $T_k < T < T_d$: $S_{conf} > 0$, an exponential number of states – infinite τ_α
The superposition of all glasses is the liquid: no phase transition
- 3 $T < T_k$: $S_{conf} = 0$, infinite τ_α
A thermodynamic transition to the ideal glass happens at T_k

The dynamical (Mode-Coupling) transition

The mean-field dynamical transition is characterized by strong ergodicity breaking and infinite barriers

- Apparent divergence $\tau \sim (T - T_d)^{-\gamma}$ and associated MCT phenomenology
- Finite configurational entropy below T_d
- Diverging dynamical susceptibility χ_4 around T_d , associated to a large dynamical correlation length ξ_4 (dynamical heterogeneities)
- Similar to a spinodal: $f = f_c + \sqrt{T - T_d}$ (jump + power law)

Not in finite dimensions: barriers must be finite and can be crossed by thermal activation

- The dynamical transition becomes a crossover, where dynamics slows down but without complete arrest (again, similar to a spinodal)
- The transition is still clearly visible in high enough dimensions ($d \geq 4$)
- In $d = 2, 3$ ergodicity breaking is much more mixed with activation: ambiguity in the identification of the mean-field regime
- Several “remnants” of the mean-field transition are observed in low dimensions, in particular a strongly increasing χ_4 and ξ_4 around T_d
- The value of the exponents associated to the four-point susceptibility is debated

Our aim is to study the critical properties of the dynamical transition *as if it were a true transition*, hence neglecting activation

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Landau theory – ferromagnet

Landau theory can be derived from the high temperature expansion

- d -dimensional ferromagnet: $H = -\frac{1}{2d} \sum_{\langle i,j \rangle} S_i S_j$
- Order parameter: $m_i = \langle S_i \rangle$
- The free energy $F[m]$ can be computed by a small β expansion (Georges-Yedidia 1991):

$$-\beta F[m] = -\sum_i \left(\frac{1+m_i}{2} \log \frac{1+m_i}{2} + \frac{1-m_i}{2} \log \frac{1-m_i}{2} \right) + \frac{\beta}{2d} \sum_{\langle i,j \rangle} J_{ij} m_i m_j$$

$$+ \frac{\beta^2}{8d^2} \sum_{\langle i,j \rangle} (1-m_i^2)(1-m_j^2) + \dots$$
- Note: the series in β is actually a series in β/d , and mean field theory is obtained by *truncating this series at any finite order*
- Expansion at weakly non-uniform and small m_i gives

$$-\beta F[m] \sim \sum_i (\epsilon m_i^2 + g m_i^4) + \sum_{\langle i,j \rangle} \sigma (m_i - m_j)^2 + \dots$$

$$\sim \int dx dy m(x) M(x-y) m(y) + g \int dx m(x)^4$$
 ϵ, g, σ can be computed as series in β
- From $\epsilon \sim (T - T_c)$ and $g \sim 1$ we obtain $\langle m \rangle \sim |\epsilon|^{1/2}$
- The correlation function is $\langle S_i S_j \rangle = \left[\frac{d^2 F}{dm_i dm_j} \right]^{-1} \sim \langle m(x) m(y) \rangle = M^{-1}(x-y)$
 Gradient expansion $M(p) \sim \epsilon + \sigma p^2 + \dots$, hence $M^{-1}(p) = \frac{1}{\epsilon + \sigma p^2} = \frac{1/\epsilon}{1 + \sigma(p/\sqrt{\epsilon})^2}$
 - Correlation: $\langle S_i S_j \rangle = M^{-1}(x-y) \sim e^{-|x-y|/\xi}$ with $\xi \sim |\epsilon|^{-1/2}$
 - Susceptibility: $\chi = \frac{dm}{dh} = M^{-1}(p=0) = 1/\epsilon$

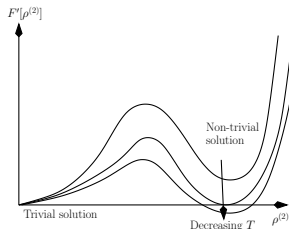
Landau theory – glass

Landau theory can be derived from the high temperature/low density (virial) expansion

- Particle system: $H = \sum_{ij} v(r_i - r_j)$
- No obvious static order parameter
Consider m replicas and take the correlation between two replicas as the order parameter $\rho_a(x)$ density of replica a – $\rho_{ab}^{(2)}(x, y)$ correlation between replicas a and b
- Morita and Hiroike 1961: $F[\rho, \rho^{(2)}] = F_{\text{id}}[\rho, \rho^{(2)}] + F_{\text{ring}}[\rho, \rho^{(2)}] + F_{2\text{PI}}[\rho, \rho^{(2)}]$
 $F_{\text{id}}[\rho, \rho^{(2)}]$ and $F_{\text{ring}}[\rho, \rho^{(2)}]$ can be written explicitly
 $F_{2\text{PI}}$ is the sum of all two-particle irreducible diagrams
- Instead of truncating at a finite order here we drop $F_{2\text{PI}}$: HNC approximation
Note that what follows remains true for any other approximation scheme that gives the correct mean-field phenomenology

At the mean-field level:

- above T_d , $\rho^{(2)} = \rho^2$, no correlation;
 - below T_d , a non-trivial $\rho^{(2)}$ is found ;
- The transition is a bifurcation at finite $\rho^{(2)}$, akin to a spinodal, hence
- $$\rho^{(2)}(x, y) = \rho_c^{(2)}(x, y) + 2\rho^2 \kappa \sqrt{\epsilon} k_0(x, y)$$
- $$\epsilon = T - T_d$$



Landau theory – the mass matrix and the zero mode

- The order parameter is not a one-point function $m(x)$, but a *two-point function* $\rho_{ab}^{(2)}(x, y)$.
- The inverse correlation is *a four-point function*

$$M_{ab;cd}(x_1, x_2; x_3, x_4) = \left. \frac{\delta^2 F[\rho, \rho^{(2)}]}{\delta \rho_{ab}^{(2)}(x_1, x_2) \delta \rho_{cd}^{(2)}(x_3, x_4)} \right|_{\bar{\rho}_{ab}}$$

and because of replica symmetry it has the form

$$M_{ab;cd} = M_1 \left(\frac{\delta_{ac}\delta_{bd} + \delta_{ad}\delta_{bc}}{2} \right) + M_2 \left(\frac{\delta_{ac} + \delta_{ad} + \delta_{bc} + \delta_{bd}}{4} \right) + M_3$$

- **Crucial property:** k_0 is a *zero mode* of M_1 :

$$\int dx_3 dx_4 M_1(x_1, x_2; x_3, x_4) k_0(x_3 - x_4) = \mu \sqrt{\epsilon} k_0(x_1 - x_2)$$

Remark: the operators M_2 and M_3 do not have zero modes.

- k_0 is translationally invariant. We can define

$$\Delta \rho_{ab}^{(2)}(p, q) = \int dx_1 dx_2 e^{ip \left(\frac{x_1 + x_2}{2} \right) + iq(x_1 - x_2)} \Delta \rho_{ab}^{(2)}(x_1, x_2)$$

such that the translationally invariant sector corresponds to $p = 0$.

- The quadratic part of the action becomes

$$\Delta_2 F = \frac{1}{2} \sum_{a \neq b} \sum_{c \neq d} \int \frac{dp dq dk}{(2\pi)^{3D}} \Delta \rho_{ab}^{(2)}(p, q) M_{ab;cd}^{(p)}(-q, -k) \Delta \rho_{cd}^{(2)}(p, k)$$

and in fact shows that different p sectors are uncorrelated.

Landau theory – gradient expansion

- $\Delta_2 F = \frac{1}{2} \sum_{a \neq b} \sum_{c \neq d} \int \frac{dp dq dk}{(2\pi)^{3D}} \Delta \rho_{ab}^{(2)}(p, q) M_{ab;cd}^{(p)}(-q, -k) \Delta \rho_{cd}^{(2)}(p, k)$

- Small p and small ϵ expansion of the operator $M_{ab;cd}^{(p)}(-q, -k)$:

$$\left[M_1^{(p)} \right]^{-1}(q, k) \simeq \frac{1}{\mu \sqrt{\epsilon + \sigma p^2}} k_0(q) k_0(k)$$

- The correlation function is

$$G_{ab;cd}^{(p)}(q, k) = [M_{ab;cd}^{(p)}(-q, -k)]^{-1} = FT[\langle \Delta \rho_{ab}^{(2)}(x_1, x_2) \Delta \rho_{cd}^{(2)}(x_3, x_4) \rangle]$$

and its component have the critical behavior:

$$G_1^{(p)} = \left[M_1^{(p)} \right]^{-1}$$

$$G_2^{(p)} \sim 2 \left[M_1^{(p)} \right]^{-1}$$

$$G_3^{(p)} \sim \left[M_1^{(p)} \right]^{-1} \otimes \{ M_2^{(p)} - M_3^{(p)} \} \otimes \left[M_1^{(p)} \right]^{-1}$$

and because M_2 and M_3 are not critical, the critical behavior is dominated by M_1 .

Note the double pole in G_3 .

- In experiments one always “smooths” the density field with some function $f(x)$:

$$G_{ab;cd}^{(f)}(p) = \int \frac{dq dk}{(2\pi)^{2D}} f(-q) f(-k) G_{ab;cd}^{(p)}(q, k)$$

Popular examples are a box function in real space, or a delta function in Fourier space, with k equal to the maximum of $S(k)$.

Landau theory – physical correlations

Connection replicas-physics:

- Local two-point correlation $\hat{C}(r, t) = \int dx f(x) \hat{\rho}(r + \frac{x}{2}, t) \hat{\rho}(r - \frac{x}{2}, 0)$
- Two averages: $\langle \bullet \rangle$ over the dynamics, \mathbf{E} over the initial condition
- Standard four-point function $G_4(r, t) = \mathbf{E}[\langle \hat{C}(r, t) \hat{C}(0, t) \rangle] - \mathbf{E}[\langle \hat{C}(r, t) \rangle] \mathbf{E}[\langle \hat{C}(0, t) \rangle]$
- Iso-configurational four-point function $G_{th}(r, t) = \mathbf{E} \left[\langle \hat{C}(r, t) \hat{C}(0, t) \rangle - \langle \hat{C}(r, t) \rangle \langle \hat{C}(0, t) \rangle \right]$
- In the glass phase (or in the β -regime), using replicas they correspond to

$$G_4(p, t \rightarrow \infty) = G_{ab;ab}^{(f)}(p) = [G_1^{(f)}(p) + G_2^{(f)}(p)]/2 + G_3^{(f)}(p)$$

$$G_{th}(p, t \rightarrow \infty) = G_{ab;ab}^{(f)}(p) - G_{ab;ac}^{(f)}(p) = G_1^{(f)}(p)/2 + G_2^{(f)}(p)/4$$

- We obtain:

$$G_{th}(p) \sim \frac{(f \star k_0)^2}{\mu \sqrt{\epsilon} + \sigma p^2} = \frac{G_0 \epsilon^{-1/2}}{1 + \xi^2 p^2}$$

$$G_4(p) \sim \frac{A}{(\mu \sqrt{\epsilon} + \sigma p^2)^2} = \frac{G'_0 \epsilon^{-1}}{(1 + \xi^2 p^2)^2}$$

- Therefore

$$\xi = \sqrt{\frac{\sigma}{\mu}} \epsilon^{-1/4}$$

$$\chi_{th} \sim G_0 \epsilon^{-1/2}$$

$$\chi_4 \sim G'_0 \epsilon^{-1}$$

All the coefficients depend on the matrix M and the zero mode: they can be computed explicitly from the microscopic potential!

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Ginzburg criterion – ferromagnet

Reminder of the Ginzburg criterion

- Bare (mean field) action: $S[\varphi] = \frac{1}{2} \int dx \varphi(x)(\epsilon - \nabla^2)\varphi(x) + \frac{g}{4!} \int dx \varphi^4(x)$
- At the mean field level $G_0(p) = \frac{1}{\epsilon + p^2}$ and $\chi = G_0(p=0) = \frac{1}{\epsilon} = \xi^2$
- With 1-loop corrections $\chi^{-1} = \epsilon + \frac{g}{2} \int^\Lambda \frac{dq}{(2\pi)^d} \frac{1}{q^2 + \chi^{-1}}$
- Critical point: $\chi^{-1} = 0 \Rightarrow \epsilon = -\frac{g}{2} \int^\Lambda \frac{dq}{(2\pi)^d} \frac{1}{q^2} \Rightarrow \epsilon_{1L} = \epsilon + \frac{g}{2} \int^\Lambda \frac{dq}{(2\pi)^d} \frac{1}{q^2}$
- Then $\epsilon_{1L} = \chi^{-1} \left(1 - \frac{g}{2} \int^\Lambda \frac{dq}{(2\pi)^d} \frac{1}{q^2(q^2 + \chi^{-1})} \right)$
- We want to impose that $\epsilon_{1L} \sim \chi^{-1}$ and the correction is small
- If $d < 4$, integral UV convergent, $\Lambda \rightarrow \infty$:

$$\epsilon_{1L} = \chi^{-1} - \frac{g}{2} \chi^{(2-d)/2} \frac{\Omega_d}{(2\pi)^d} \int_0^\infty dx x^{d-1} \frac{1}{x^2(x^2+1)} = \chi^{-1} - g C_d \chi^{(2-d)/2}$$

The Ginzburg criterion is $\chi^{(4-d)/2} \ll 1/(gC_d)$ or $\xi^{4-d} \ll 1/(gC_d)$ and is *universal*
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Mean field always qualitatively correct. For *quantitative* agreement the condition is $1 \gg \frac{g}{2} \int^\Lambda \frac{dq}{(2\pi)^d} \frac{1}{q^2(q^2 + \chi^{-1})}$ and is *not universal* (it depends on Λ).

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Ginzburg criterion – glass

Ginzburg criterion for the glass transition

- We restrict to low-energy fluctuations along the zero mode: $\Delta\rho_{ab}^{(2)}(p, q) = \phi_{ab}(p)k_0(q)$
- We obtain an action for the field ϕ_{ab} whose coefficients can be computed microscopically
- **Crucial result:** the most divergent loop corrections are the same as those of a non-replicated cubic theory in random field

$$S(\varphi) = \frac{1}{2} \int dx \varphi(x) (-\nabla^2 + m_0^2) \varphi(x) + \frac{g}{6} \int dx \varphi^3(x) + \int dx (h_0(x) + \delta h(g, \Delta)) \varphi(x)$$

[Franz, Parisi, Ricci-Tersenghi, Rizzo, Eur. Phys. J. E. (2011)]

- Note: cubic theory because it is a spinodal point
Random field encodes the “disorder” due to the initial condition
- Repeating the previous calculation for this theory we get

$$\epsilon_{1L} = \chi^{-1} \left(1 - 3 \frac{\Delta g^2}{2} \int^\Lambda \frac{dq}{(2\pi)^d} \frac{1}{(q^2 + \chi^{-1})^4} \right)$$

- The upper critical dimension is $d = 8$ and for $d < 8$ the Ginzburg criterion is

$$Gi \xi^{8-D} \ll 1 \qquad Gi = \frac{g^2 \Delta}{4(4\pi)^{D/2}} \Gamma\left(4 - \frac{D}{2}\right)$$

and Gi can be computed microscopically.

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Numerical results in $d = 3$

Potential $v(r) = \varepsilon f(r/r_0)$

Lengths are given in units of r_0 and energies in units of ε , with $k_B = 1$.

Data at fixed temperature, with $\epsilon = \rho_d - \rho$.

$$G_{th}(p) \sim \frac{G_0 \epsilon^{-1/2}}{1 + \xi^2 p^2} \quad \xi = \xi_0 \epsilon^{-1/4} \quad \chi_{th} \sim G_0 \epsilon^{-1/2} \quad Gi \xi^{8-D} \ll 1$$

System	T	ρ_d	λ	ξ_0	G_0	Gi
r^{-6}	1	6.691	0.348	0.601	224	0.0267
r^{-9}	1	2.912	0.353	0.548	34.3	0.0125
r^{-12}	1	2.057	0.354	0.498	14.2	0.0118
LJ	0.7	1.407	0.355	0.489	6.00	0.00833
HarmS	10^{-3}	1.336	0.359	0.315	2.82	0.0434
HarmS	10^{-4}	1.196	0.378	0.274	1.69	0.0632
HarmS	10^{-5}	1.170	0.382	0.278	1.66	0.0635
HS	—	1.169	0.381	0.280	1.67	0.0639

The Ginzburg criterion is $\xi \ll (1/Gi)^{1/5} \sim 2$, deviations from mean field should be observable
 Quantitative results from replicated HNC are not very good (e.g. λ is off by a factor of 2)
 We need to develop better approximation schemes (in progress)

Summary and discussion

- Landau theory and Ginzburg criterion of the dynamical (Mode-Coupling) transition, neglecting activation
- Diverging correlation length associated to dynamical heterogeneities, $\xi = \xi_0 \epsilon^{-1/4}$
- Singular four point correlations G_{th} and G_4 *with different critical behavior*
- Upper critical dimension $d_u = 8$
- Results consistent with the inhomogeneous Mode-Coupling theory (Biroli-Bouchaud-Miyazaki-Reichman) and with the results of Biroli-Bouchaud on the upper critical dimension
- The static (replica) formulation is convenient because it can be systematically improved
- To be tested numerically (in progress, with P.Charbonneau)

Thank you for your attention!