

KY1701

Automatic Piano Reduction (Backend): Chord Identification

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Focus in this semester

Rule-based V.S. Machine Learning

1. ASHES (rule-based algorithm)
 2. Hidden Markov Model
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ASHES

Algorithmic Search for
Harmonic Extraction and
Simplification

- Rule-based algorithm proposed by Prof. Lucas Wong
- Search for the possible existence of triads in every time unit, and try merging them



Observation & Strategy

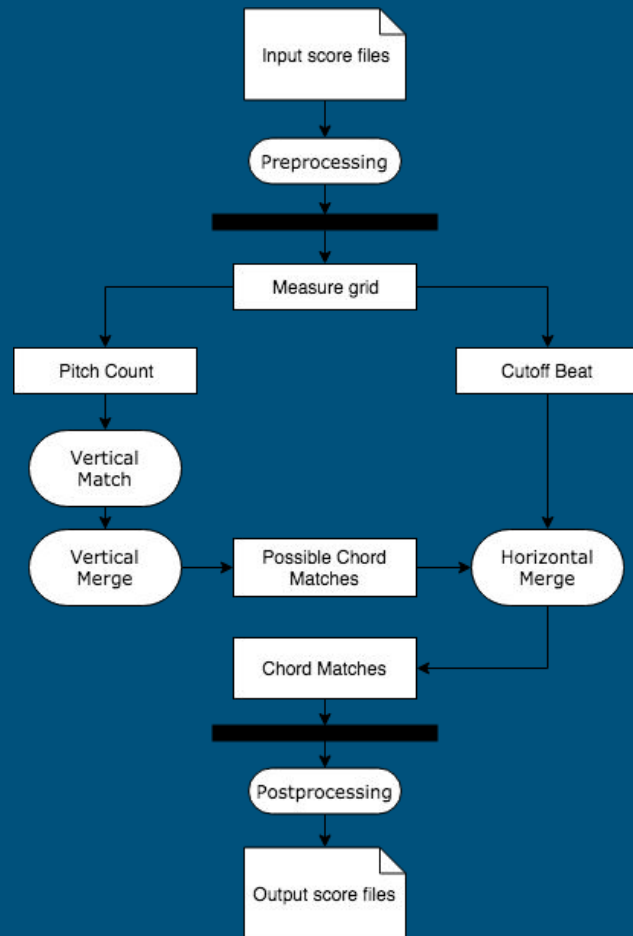
- In homophonic and polyphonic textures of western music, harmony always governed by chords
 - 7th-chords are formed by triads
 - triads are formed by intervals
- search for the possible existence of triads in every time units, and
- perform chord merging and selection afterwards

| | Triad formed by root, third and fifth | Triad formed by third, fifth and seventh |
|-------------------------------|---------------------------------------|--|
| Major 7 th | Major triad | Minor triad |
| Minor 7 th | Minor triad | Major triad |
| Dominant 7 th | Major triad | Diminished triad |
| Diminished 7 th | Diminished triad | Diminished triad |
| Half-dimished 7 th | Diminished triad | Minor triad |

| | Intervals between root & third | Interval between third & fifth |
|------------------|--------------------------------|--------------------------------|
| Major triad | Major 3 rd | Minor 3 rd |
| Minor triad | Minor 3 rd | Major 3 rd |
| Diminished triad | Minor 3 rd | Minor 3 rd |
| Augmented triad | Major 3 rd | Major 3 rd |
| Italian-6 triad | Diminished 3 rd | Major 3 rd |

Work Flow

1. Preprocessing
 - measure grid
 - pitch counter
2. Vertical Match
3. Vertical Merge
 - possible chord matches
4. Horizontal Merge
 - final matches
5. Postprocessing
 - output chord labels to musicXML



Step1:

1. Preprocessing

- functions similar to last semester
 - voice to parts, slicing notes with the smallest time unit
- + Rests substitution
 - + notes tends to sustain and substitute rests in human ears
- + Generate a pitch counter for the next step

Step2: Grid illustration (Canon in D, m7)

2. Vertical Match: search for the possible existence of triads in every time units,

| | | | | | | | |
|------------------|------------------|------|---------------|------|----------------|-------|---------------|
| D | F# | A | G | F# | D | F# | E |
| D | D | C# | C# | B | B | A | A |
| F# | F# | E | E | D | D | C# | C# |
| D | D | A | A | B | B | F# | F# |
| triad (D, F#) | triad (D, F#) | AMaj | AMaj C#dim | Bmin | triad (B,D) | F#min | F#min AMaj |

#7 Housle

Housle

Housle

p
Violoncello

DMaj A7 Bmin F#min7

Step3: Grid illustration

3. Vertical Merge: perform 7th chord merging for multiple vertical matches

| | | | | | | | |
|------------------|------------------|------|----|------|----------------|-------|------------|
| D | F# | A | G | F# | D | F# | E |
| D | D | C# | C# | B | B | A | A |
| F# | F# | E | E | D | D | C# | C# |
| D | D | A | A | B | B | F# | F# |
| triad (D, F#) | triad (D, F#) | AMaj | A7 | Bmin | triad (B,D) | F#min | F#min 7 |

The image shows a musical score for a piece titled "Housle" in G major (one sharp) and 4/4 time. The score is written for a Violoncello (Cello) and includes a piano (*p*) dynamic marking. The melody consists of four measures, each containing a half note. The notes are G4, A4, B4, and C#5. The chord progression is indicated below the staff: DMaj, A7, Bmin, and F#min7. The first measure is labeled "#7 Housle" and the second measure is labeled "Housle".

Step4: Grid illustration

4. Horizontal Merge: maximise the chord selection results by checking for possible merges between beat

| | | | | | | | |
|------|----|----|----|------|---|--------|----|
| D | F# | A | G | F# | D | F# | E |
| D | D | C# | C# | B | B | A | A |
| F# | F# | E | E | D | D | C# | C# |
| D | D | A | A | B | B | F# | F# |
| DMaj | | A7 | | Bmin | | F#min7 | |

#7 Housle

Housle

Housle

p

Violoncello

DMaj A7 Bmin F#min7

Pros & Cons

- ✓ no need to deal with key changes
- ✗ overfitting always happens
running notes of different parts form harmony

#23
Housle

mp

Housle

mf

Violoncello

Emin7 DMaj Emin7 DMaj7 AMaj DMaj7 C#min7 Bmin Emin GMaj7 DMaj7

DMaj AMaj Bmin DMaj GMaj

excerpt from Canon in D m23

<https://musescore.com/user/26369751/scores/5067808>

<https://musescore.com/user/26369751/scores/5067822>

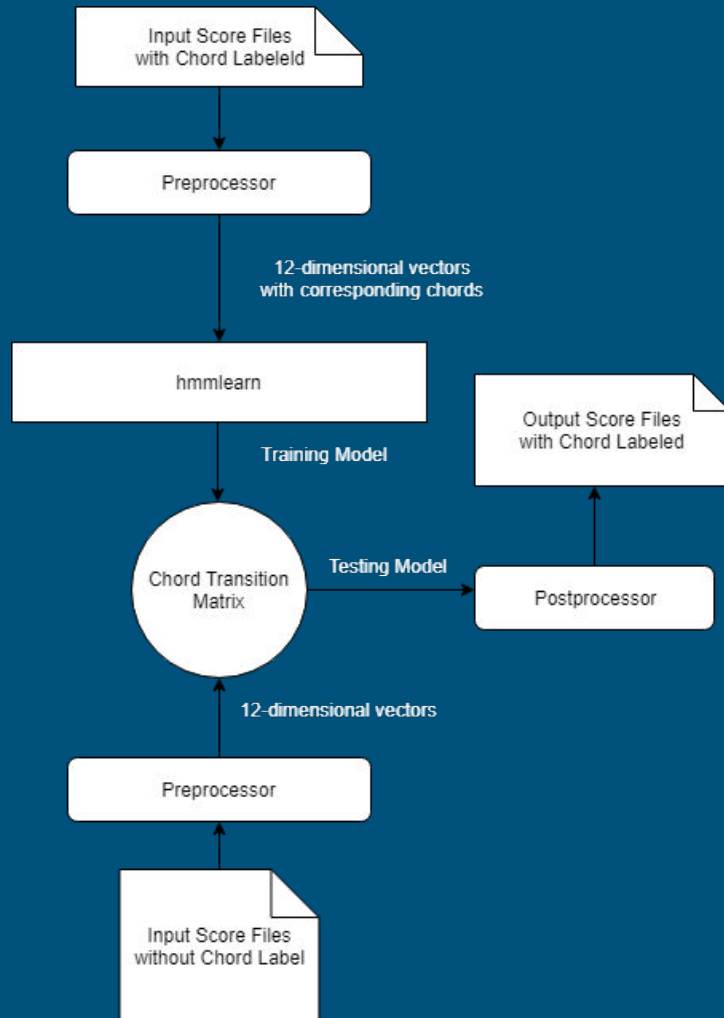
Hidden Markov Model

Machine Learning Approach to
Find how Chords Transit

- Machine learning approach suggested by Professor Wong
 - Generating the chord transition martix and use it to predict how chords transit
-

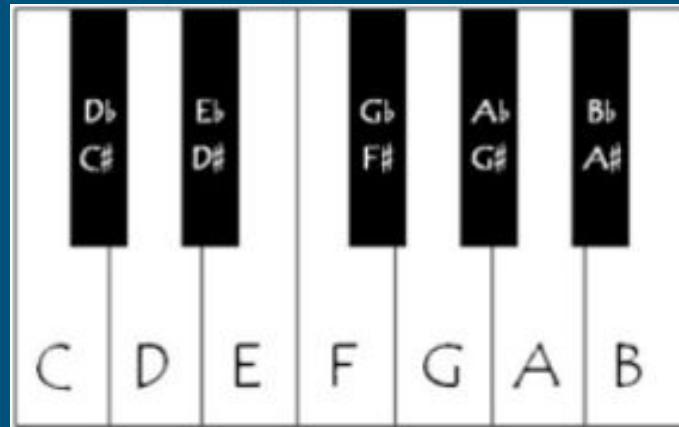
Work Flow

1. Collecting Training Data and Testing Scores
2. Preprocessing Training Data
3. Training Model
 - EM Algorithm
4. Preprocessing Testing Data
5. Testing
 - Viterbi Algorithm
6. Postprocessing



Model Design -- Input Format

- A chromatic scale is consisted of 12 pitches
- Two adjacent pitches have a difference of one semitone



12-Pitches in an Octave

Model Design -- Input Format

| Note | C | C#/Db | D | D#/Eb | E | F | F#/Gb | G | G#/Ab | A | A#/Bb | B |
|-----------|---|-------|---|-------|---|---|-------|---|-------|----|-------|----|
| Dimension | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |

A Table Showing how Pitches and Dimensions are Correlated

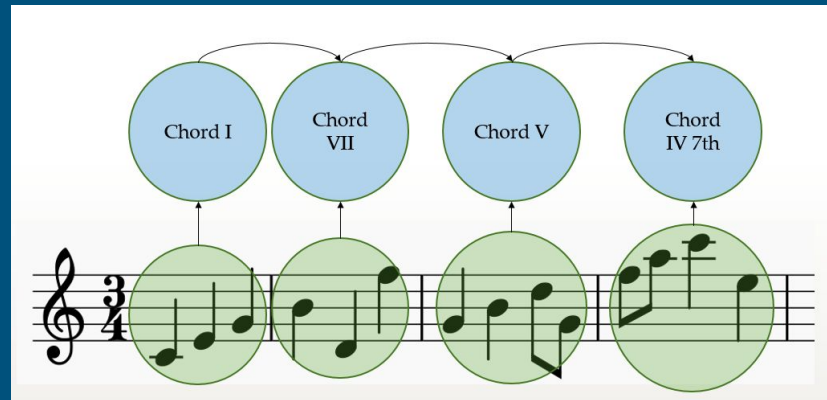
- In a certain time unit, if a pitch exists then we assign it 1.
- Otherwise, assign it 0.



yt=[1,0,1,0,0,0,0,1,0,0,0,1]

Model Design -- Hidden States

- **Chords** are represented as **Hidden States** in our model, corresponding to the notes in every single time units.
- Separate chord transition matrix for separate keys.



Graph Illustration in C major

Model Design -- Hidden States

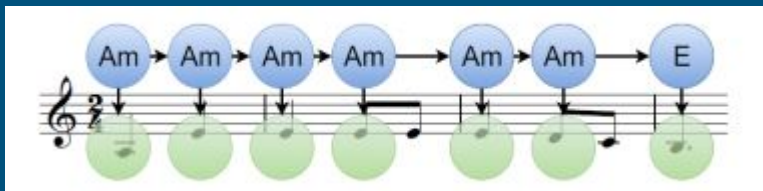
List of Keys Appeared in Training Data:

- Major Keys: C, D, E, Eb, F, G, Ab, Bb
- Minor Keys: C, D, F, G, A

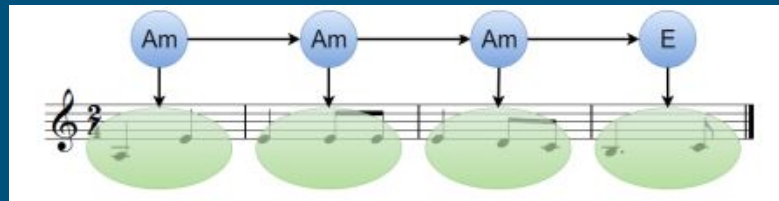
List of Chords Appeared in Training Data:

- I, I+, IIb, II, II7, III, IV, V, V7, V+, V+7, VIb, VI, VIGer, VII, VII7, VIIGer, VIIIIta, VIIIdim, VIIIdim7

Model Design -- Time Unit



Every Single Beats? → Overfit



Measure? → Missing Chord Change

Model Design -- Time Unit

- Current approach: slowest moving part governs chord changes
 - Finding lowest moving part of each measure
 1. slowest movement
 2. lowest average pitch
 - movement of lowest moving part -> time unit

#7 Housle

Housle

Housle

p

Violoncello

DMaj A7 Bmin F#min7

Model Design - Emission Probability

Main Approach: Degree of Similarity

- Lowest Note Match: +5
- Second Lowest Note Match: +3
- Third Lowest Note Match: +2 (+1 for 7th chord)
- 7th Note Match: +1 (for 7th chord)
- Other Notes Occuring: -1

Model Design - Emission Probability

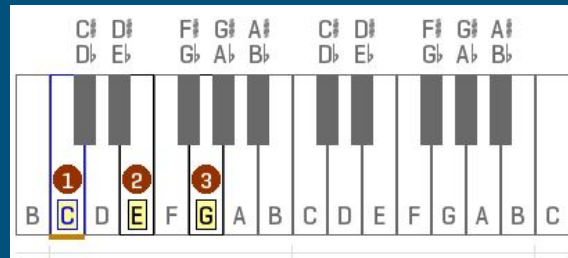
- $\text{deg}(\text{sim}) = 10 \rightarrow 10/55$
- $\text{deg}(\text{sim}) = 9 \rightarrow$ Sharing the probability of $9/55$
- $\text{deg}(\text{sim}) = 8 \rightarrow$ Sharing the probability of $8/55$
- $\text{deg}(\text{sim}) = 7 \rightarrow$ Sharing the probability of $7/55$
- $\text{deg}(\text{sim}) = 6 \rightarrow$ Sharing the probability of $6/55$
- $\text{deg}(\text{sim}) = 5 \rightarrow$ Sharing the probability of $5/55$
- $\text{deg}(\text{sim}) = 4 \rightarrow$ Sharing the probability of $4/55$
- $\text{deg}(\text{sim}) = 3 \rightarrow$ Sharing the probability of $3/55$
- $\text{deg}(\text{sim}) = 2 \rightarrow$ Sharing the probability of $2/55$
- $\text{deg}(\text{sim}) = 1 \rightarrow$ Sharing the probability of $1/55$
- $\text{deg}(\text{sim}) = 0 \rightarrow 0$

Model Design - Emission Probability

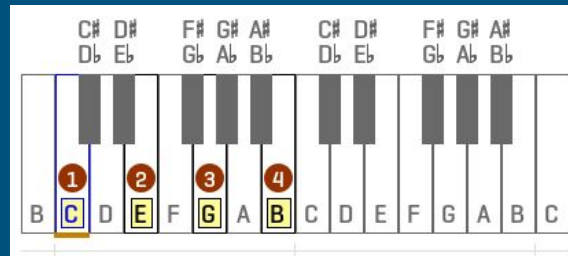
- We have a vector of $[1,0,0,0,1,0,0,1,0,0,0,1]$, that is a list of notes including C, E, G and B



- In C major Chord I, it has 9 degree of similarity, thus get assigned the emission probability of $(9/55)/9$, since there are totally 9 cases sharing $\text{deg}(\text{sim}) = 9$.
- In C major Chord I 7th, it has 10 degree of similarity, thus the emission probability would be $10/55$.



C Major Chord I

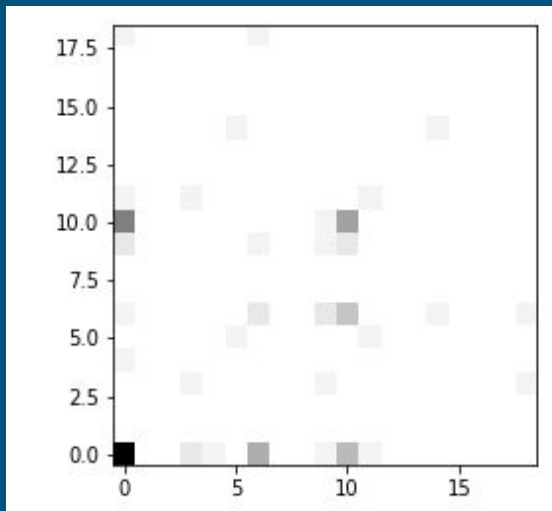


C Major Chord I 7th

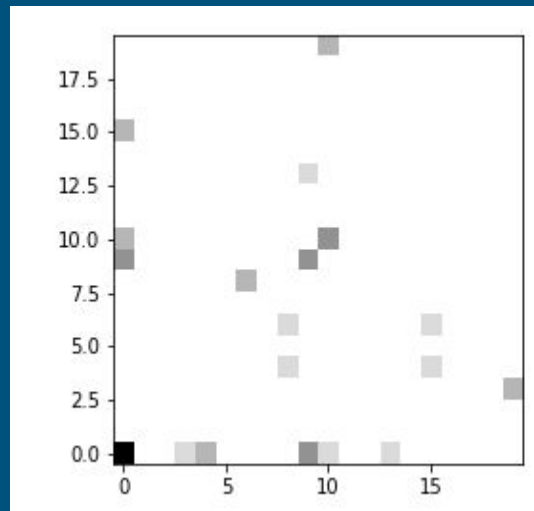
Training Data Preparation

- Beethoven Symphony No. 1 1st Movement (111 Chord Events, mainly C Major and G Major)
- Beethoven Symphony No. 5 1st Movement (122 Chord Events, mainly C Minor, Eb Major and Bb Major)
- Mozart Symphony No.25 The Little G minor Symphony - 1st Movement (214 Chord Events, G Minor)

Chord Transition Matirx Visulization

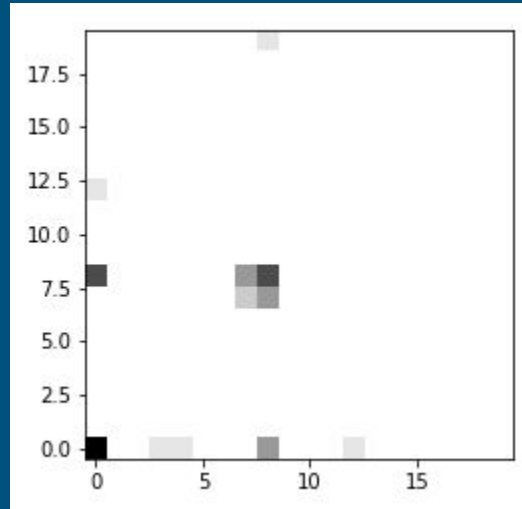


G Minor



C Minor

Chord Transition Matirx Visulization



C Major

Lack of Data

Testing

Total excerpts: 3

Total chord events: 487 (ASHES)

Total chord events: 377 (HMM)



Correctness

| | ASHES | HMM |
|------------------------------|-----------------|---|
| Pachelbel, Johann Canon in D | 65% (soft: 89%) | 38% (soft: 46%) (Lack of D major training data) |
| Mozart concerto K.314 | 63% (soft: 90%) | 56% (soft: 82%) |
| Beethoven symphony OP.67 | 80% (soft: 83%) | 83% (soft: 86%) |
| Overall | 69% (soft: 87%) | 58% (soft: 76%) |

soft: excluding overfitting chords in the calculation of correctness

Conclusion



Possible Improvement

1. Better Approach to Define Time Unit
 - Short time unit → overfit
 - Long time unit → missing harmonic change
2. Hard to Find Training Data
 - Certain chord transition patterns in certain keys are missing
 - Pattern missing in training data -- 100% wrong result during testing

Possible Improvement

3. Key Analysis Before Applying HMM

- Key change could only be indicated by sharp/flat change
- Without key analysis, we could only do testing on pieces without key change

Q&A

