

# Gradient-Masked Federated Optimization for OOD Generalization in FL

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## Abstract

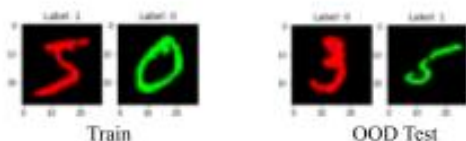
In real-world federated settings, the data will be distributed non-IID across clients. Which means, the data from any additional client clients will be out-of-distribution to the entire train data with which the server model was indirectly trained. Hence, OOD generalization is significant for the model performance on newer or non-participating clients.

## Experiments

**IID:** Clients will have train samples from all digits

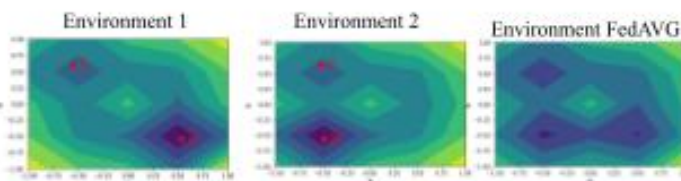
**Non-IID:** 80% of each client's train data will be of any two digits and rest 20% will be of the remaining digits

**Test:** Out-of-Distribution test dataset having inverted or modified spurious mechanism



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## Method



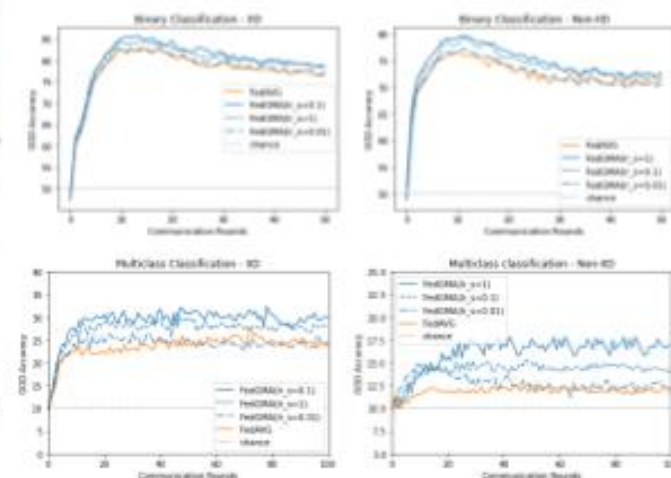
Point C is invariant across Env 1 and Env 2. For better OOD-Gen, C is to be pursued at the global model over the client global minima at A or B.

At Server after local updates:

$\text{mask} = [\text{avg}(\text{sign}(\text{client gradients}))] \geq \text{agreement threshold}$   
 $\text{final gradients} = \text{mask} * \text{avg}(|\text{client gradients}|)$   
 $\text{Server params} = \text{avg}(\text{client params}) + \text{lr} * \text{final gradients}$

When more than agreement threshold number of clients have a consistent minima across clients, the global model takes an additional step in the direction of this consistent minima with a specified lr/step size.

## Results



## Conclusion and Future Work

- In this paper we propose FedGMA for OOD generalization and observe improved performance.
- For future work, we will provide theoretical explanations and experiment with more complex datasets (with focus on medical datasets)