## What You Need to Know About LLM Training

Cutting Through the Hype to Understand AI Capabilities

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## Connecting to Yesterday

Why Learn About Training?

Yesterday you experienced the Three Gulfs with real prompting challenges.

Today we'll understand:

- What's actually happening when you prompt an Al
- Why certain approaches work better than others
- **How** training stages create specific capabilities

This knowledge will make you a more effective prompt engineer

# Why This Matters

## The Challenge

Demystifying AI Terminology

You've heard terms like "reasoning," "intelligence," and "understanding" applied to AI systems.

The reality: These terms are a mix of:

- Marketing language to make AI sound impressive
- Engineers needing names for technical processes
- The persistent myth that AI mimics human cognition

## The Challenge (cont.)

What Researchers Actually Need

#### Focus on what matters:

- Understanding what these systems actually do
- Setting appropriate expectations
- Learning how to interact with them effectively

Key insight: Al capabilities are specific, measurable processes—not mysterious intelligence

# The Four Stages of LLM Training

## The Four Stages of LLM Training

Our Journey Today

- 1. **Self-Supervised Pre-Training** Building the Foundation
- 2. **Supervised Fine-Tuning** Learning to Converse
- 3. Preference Fine-Tuning Learning What Humans Want
- 4. Reasoning Fine-Tuning Teaching Step-by-Step Analysis

Each stage builds specific capabilities that inform how we should interact with AI

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## Stage 1: Self-Supervised Pre-Training

Building the Foundation

The task: Learn to predict what word comes next

- Model reads massive amounts of text (books, websites, articles)
- Parts are intentionally hidden: "The cat sat on the \_\_\_\_"
- System learns "mat" appears more often than "elephant"

This is self-supervised learning - no human labels needed, just pattern recognition from existing text

**What you get:** A system that understands language structure but can't hold conversations

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## Stage 2: Supervised Fine-Tuning

Learning to Converse

**The problem:** Text completion ≠ helpful assistant

- Pre-trained model might continue: "This question often comes up..."
- Instead of actually answering your question

**Example:** Ask "What are key success factors?" → gets academic discussion instead of actionable answer

## Stage 2: Supervised Fine-Tuning (cont.)

The Solution

#### Show thousands of human-Al conversations

- Learn dialogue structure
- Recognize questions and provide direct answers
- Package existing knowledge into useful responses

What you get: A conversational system, but it may still produce confidentsounding wrong answers

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## Stage 3: Preference Fine-Tuning

Learning What Humans Actually Want

The challenge: How do you train on subjective tasks?

- No single "right" way to write a compelling proposal
- Can't easily create training examples for complex judgment calls

**Example:** What makes one research summary "better" than another? It's often a matter of judgment, not facts

# Stage 3: Preference Fine-Tuning (cont.) The Approach

#### Human feedback on response quality

- Show model multiple responses to same question
- Learn from human preferences about what's better
- Also handles safety: refusing harmful requests

What you get: Responses aligned with human preferences, but may struggle with complex reasoning

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## Stage 4: Reasoning Fine-Tuning

Teaching Step-by-Step Analysis

The gap: Models jump to conclusions without showing work

- Ask for budget evaluation → immediate conclusion
- No systematic breakdown of the problem

**The challenge:** How do we get systematic analysis instead of quick answers?

## Stage 4: Reasoning Fine-Tuning (cont.)

The Breakthrough

The solution: Teach models to "think" step-by-step

- Break complex tasks into steps
- Consider multiple approaches
- Show the analytical process

**What you get:** Systems that can tackle multi-step problems and show their work

## Recent Innovations

## Reinforcement Learning

The DeepSeek Innovation

Traditional approach: Expensive human evaluation of every response

DeepSeek's insight: Focus on verifiable problems

- Math and coding have clear right/wrong answers
- Success can be measured automatically
- Dramatically reduced training costs

Impact: Made sophisticated reasoning capabilities more accessible

#### The Next Wave: GEPA

Reflective Prompt Evolution (July 2025)

**New insight:** Since these are language models, why not teach them using language?

- Natural language feedback instead of numerical rewards
- Models learn to critique their own work
- Self-reflection and improvement through conversation

**Results:** 10-20% better performance with 35× fewer computational resources

## Timeline: How We Got Here

## The Early Foundation (2017-2019)

Stage 1: Self-Supervised Pre-Training

**2017:** Transformer architecture (Google)

"Attention is All You Need" paper revolutionizes NLP

**2018:** First breakthrough models

- GPT-1 (OpenAI) generative pre-training
- BERT (Google) bidirectional encoder

The foundation was set - but these models couldn't hold conversations

## The Conversation Breakthrough (2020-2021)

Stage 2: Supervised Fine-Tuning

**2020:** GPT-3 (OpenAI)

- First model that could follow instructions
- Showed emergent abilities at scale

2019-2022: Google's contributions

- T5 (2019) text-to-text transfer transformer
- PaLM (2022) 540B parameter breakthrough

Models could now converse - but responses weren't always helpful or safe

## The Alignment Revolution (2022)

Stage 3: Preference Fine-Tuning

November 2022: ChatGPT launch (OpenAI)

- RLHF (Reinforcement Learning from Human Feedback)
- Suddenly, everyone had access to AI assistance

#### Rapid competitive response:

- Claude (Anthropic) Constitutional AI approach
- Mistral AI emerges with open-source alternatives

The AI race intensifies - every company rushes to catch up

## The Reasoning Era (2024-2025)

Stage 4: Reasoning Fine-Tuning

2024: OpenAl's o1 series

- Chain-of-thought reasoning capabilities
- Models that "think" step-by-step

2024-2025: Efficiency breakthroughs

- DeepSeek-R1 reasoning at lower cost
- GEPA (July 2025) 35× more efficient training

**Key insight:** Each breakthrough is adopted and improved by competitors within months, not years

# Implications for Research

## Understanding Capabilities

What This Means for Literature Review

#### Stage 1 (Pre-training):

- Vast knowledge of scientific literature
- Understanding of domain terminology and concepts

#### Stage 2 (Instruction following):

- Can respond to specific research queries
- Formats information appropriately

## Understanding Capabilities (cont.)

What This Means for Literature Review

#### Stage 3 (Preference alignment):

- Produces responses researchers find useful
- Refuses to fabricate citations or make unsupported claims

#### Stage 4 (Reasoning):

- Can analyze complex research questions systematically
- Shows analytical steps for verification

Critical insight: Each stage enables different capabilities—understanding this helps you design better prompts

## Practical Applications

How This Informs Your Al Use

#### Better prompting:

- Ask for step-by-step analysis (leverages Stage 4)
- Specify output format (leverages Stage 2)
- Request evidence and reasoning (leverages all stages)

**Example:** "Analyze this research question step-by-step and show your reasoning" vs. "What do you think about this?"

## Practical Applications (cont.)

Better Evaluation

#### Check the Al's work systematically:

- Check if reasoning steps make sense
- Verify factual claims independently
- Look for systematic vs. superficial analysis

**Remember:** Understanding the training helps you work with the Al's strengths rather than against its limitations

## Questions & Discussion

## Key Takeaways

#### Moving Forward

- Al capabilities result from specific training stages
- Each stage builds particular abilities
- Understanding this helps you use AI more effectively
- The field continues evolving rapidly

Next steps: How does this change how you think about using AI in your research?

## Further Reading

- What Decision-Makers Need to Know About Al Training
- Constitutional Al