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# COMPREHENSIONS IN MOZART

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# Foreword

After spending almost five years studying computer science and engineering, we have learnt many things about programming languages. We got stuck on problems, we found solutions, we have enjoyed the beauty and simplicity of some languages, or at least enjoyed some principles and ideas about all languages tried. On the other hand, we also had to deal with the lack of functionalities of some languages. Every language is a different flavor, a different point of view on how to reach the ultimate goal: efficiently developing programs.

One could ask why so many languages exist. In our opinion, one reason is obvious. Every computer scientist has its own vision of the ideal programming language, no matter what are the problems to solve. Does this perfect language exist ? Most probably it does not, and this is fortunate. Diversity is what makes programming rich and passionating.

Despite all these different views, we think simplicity and expressivity are two essential features that should be as common as possible. Python is famous for its simplicity and the high-level abstractions and structures it provides. One of these is the concept of list comprehension. This concept mixes both simplicity and expressivity thanks to its mathematical formulation. Every computer scientist has been in a situation where he felt the need to declare efficiently and simply any kind of list. List comprehension - or at least a similar concept - is also part of many languages such as Erlang, Haskell, Scala and Ruby.

Going further than lists, the concept of comprehension can be applied to other structures such as tuples. Again, such a functionality aims at making Oz more expressive.

Among all the languages we have tried, we consider Oz as the one presenting the widest range of paradigms and possibilities while keeping things simple. This is why we have chosen to add the possibility to use comprehensions in Mozart. In order to keep the beauty of Oz, this implies that this new concept must be usable with all the possibilities Mozart already offers.

We will focus our work on the latest version of Mozart and Oz released.

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# Introduction

This thesis is organized in five chapters.

We begin by explaining what Oz and comprehensions are. A review of many Oz functionalities is conducted. We then explain the principle behind the concepts of list and record comprehensions. This is followed by some useful terminology that will be used in all the other chapters. The complete syntax and grammars are then detailed.

The second chapter is about the compilation process. It first goes through what a compiler is in general. More specifically, we explain the generic shape of a compiler that lies on a virtual machine, which has been defined beforehand. We then go through all the useful nodes of the abstract syntax tree of Mozart. This is followed by explanations on the new nodes we introduced. The last part of the second chapter is about what needs to be changed to allow the new syntactic sugars of comprehensions. This is also where we explain how we adapted the parser.

The third chapter constitutes the core of this thesis. It goes through all the functional transformations that had to be created in order to efficiently transform the syntactic sugars for comprehensions. The first part incrementally explains the functional transformation, new functionality by new functionality. The last part gives an overview of our implementation process for the latter transformations.

The fourth chapter is about testing. It is composed of four parts. The first part goes through all the tests that were executed for the different functionalities brought by comprehensions. The second part compares list comprehensions in Oz and in Erlang, Python and Haskell. The third part develops performance tests about time and space. The fourth and last part gives several examples of small applications of comprehensions.

The last chapter is the continuity of the previous one. It is also about tests but only for list comprehensions with concurrency. This chapter details five examples of small applications that can be efficiently written by using list comprehensions.

This paper contains a lot of Oz code lines. However, all the sources, tests and examples are available on GitHub at [https://github.com/francoisfonteyn/thesis\\_public](https://github.com/francoisfonteyn/thesis_public). There exist two different versions. One is referred to as *unofficial*. The other is therefore the official one. By official, we mean that it is the version that will eventually be integrated into the official version of Mozart 2. Explanations are given in due time about the reasons for the unofficial version.

## Chapter 1

# Oz and comprehensions

### 1.1. Oz, a functional language and more

This section describes what kind of language Oz is as well as a subset of its possibilities. Going through all its functionalities is not the goal of this thesis. We only explain features that interest us for later parts. For a complete documentation on the features of Oz, we strongly recommend Peter Van Roy's book ([14]).

At its basis, Oz is a functional language. This means that a program is seen in a mathematical way. This implies that variables are constant (nothing can change their value) and that functions are constant (a function is typically constant in any language). In such languages, functions play a very important role. Programming in a functional environment often means passing functions as arguments of other ones.

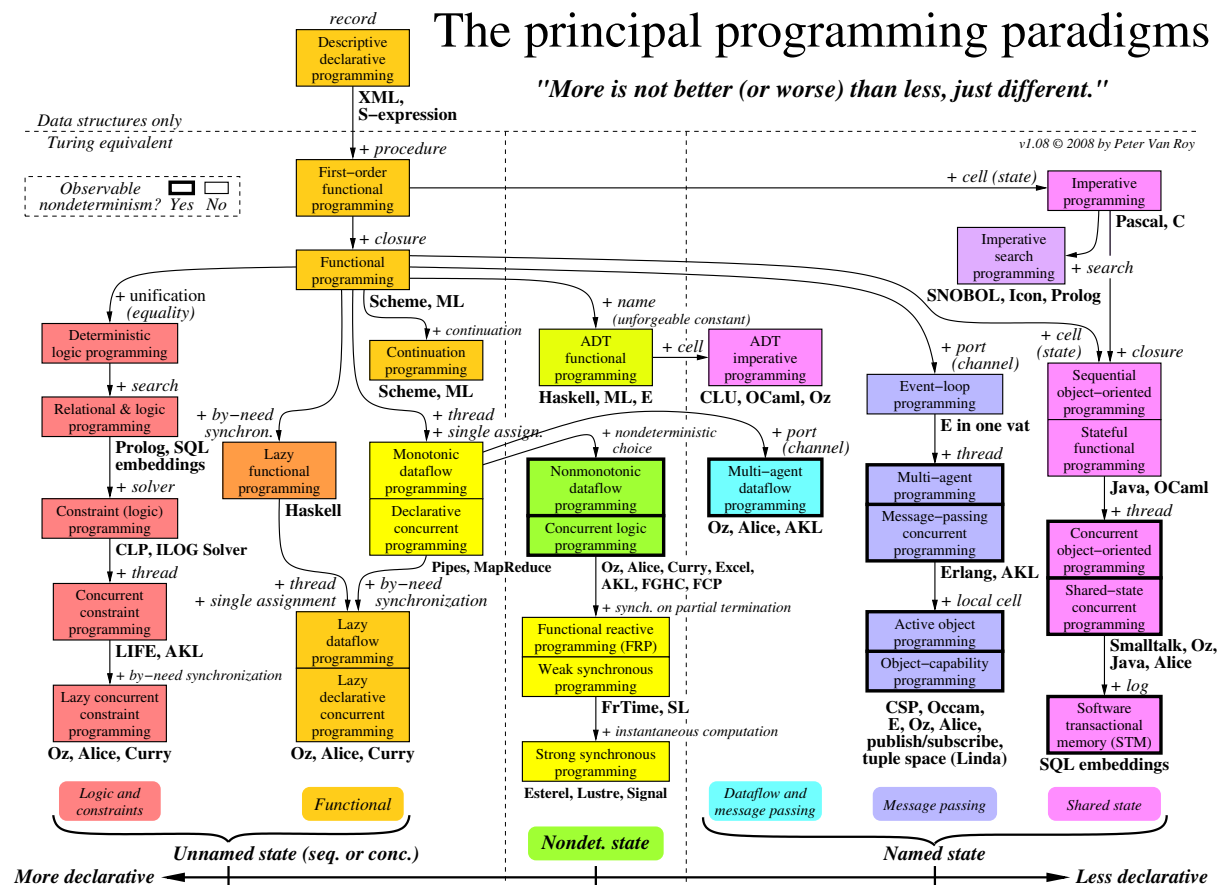
In addition to this paradigm, Oz offers many other ones. But to keep things simple and to ensure that comprehensions can be used in any situation, we will not use these other paradigms for the implementation except when explicitly stated. Comprehensions will be available in any paradigm. The principal programming paradigms are shown in figure 1.1.

People who feel comfortable enough with Oz can skip all of, or parts of this section. Its division into small and specific paragraphs is helpful to quickly find a given information.

#### *Syntactic sugars*

A syntactic sugar is a more convenient way to express something that is formally more difficult to express. It is called a sugar because the compiler transforms it into its complicated - but actual - structure. It can be considered as a functionality developed outside of the core of the language but that is always usable and that has been integrated in the syntax. For instance, we will see that for loops are a syntactic sugar because if a variable can not change, then it is impossible to make a loop that eventually stops.

Oz is full of such syntactic sugars.



**Figure 1.1:** *Principal programming paradigms, Oz is an example of several paradigms. Source: [25].*

## Expressions and statements

In Oz, an important distinction is made between instructions that *act* on data and instructions that *are* data. A statement is an instruction that acts on the state of the program by performing any kind of action. It goes from calling a function to assigning a variable to a given value.

An expression has a value. It can be an addition, a list, a value, and many more things. For instance, the fact of assigning a variable to a value in a statement does not have a value but the variable and the value are both expressions, they have a value. When we will write a function returns a value, it means it returns an expression. So the body of a function is optionally made of statements and must end with an expression which is the value to return.

## Declarations

In Oz as in probably all programming languages, variables are used. The first thing to do in order to use them is to declare them. There are two ways to do that in Oz. Before explaining these two possibilities, we need to understand what the scope is.

The scope of a variable is the whole part of code that has access to this variable. There exists one general scope, where all global variables are. Such variables are accessible from anywhere. The functions and procedures available in Mozart are part of this global scope. One can add variables, functions and procedures to the latter.

Scopes are nested inside each other. Nested scopes can be thought of as a stack. Every time a new scope is declared, it is pushed onto the stack. When the end of a scope is reached, the first element of the stack is popped. If the popped scope is not the scope ending then the nesting is wrong. Two variables with the same name can exist if and only if they come from different scopes. Only the one with the closest scope from the top of the stack can be accessed by its name. Another one can still be accessed if another accessible variable was assigned to it.

To declare global variables, one uses the structure `declare ... in ....` To delimit a new scope, one has to use the `local ... in ... end` structure. A syntactic sugar allows omitting the `in` keyword when declaring global variables. Another syntactic sugar allows omitting the `local` and the `end` when declaring a scope inside another structure. When the scope is the main structure *in extenso* when it is not nested inside another structure, the latter sugar can not be used. A third syntactic sugar allows declaring more than one variable at a time, it transforms the declaration of  $N$  variables into  $N$  single declarations. Here are some examples with syntactic sugars used at the end.

```
%% No sugars
declare X in % X is now accessible everywhere
...

local
    X    % this instance of X momentary "overrides" the previous one(s)
in      % delimits the declaration and its use
    ... % the new X is accessible only here
end     % the new X is no longer accessible, the old X is accessible

%% Sugars
declare % syntactic sugar: no in
X = ... % Oz "guesses" all the variables to declare

% beginning of the nesting structure
    X Y % locally declare X and Y
in
    ... % X and Y are accessible only here
% rest of the nesting structure
```

## Variables

Oz is declarative so variables are constant<sup>1</sup> but they can be unbound or equivalently just declared. An unbound variable is assimilated to be assigned to `_`. Once a variable is assigned, its value can not change but it still can be assigned to the same value. Assignments can be compared to equations. Variable names always start with an uppercase letter. Here is an example:

```
declare Var in % Var is declared but still unbound, Var = _
Var = 42      % Var is definitely assigned to 42
Var = 42      % No error, Var is still assigned to 42
Var = 73      % Error because Var already assigned to another value
```

Types are implicit. The different types that interest us - there are others - are integer, floating point number, boolean, string, atom, character, procedure, record, cell and dictionary. Integers and floating points number do not overflow thanks to Oz which uses a theoretically unlimited number of bits to store them. Atoms are groups of characters delimited by simple quotes that are optional if the atom contains no spaces and starts with a lower case letter. Strings are representations of characters delimited by double quotes. In fact a string is a list of integers representing ASCII numbers. Lists will be explained in a few paragraphs. Briefly a list is an ordered set of values. A variable can also be assigned to `unit`. In a way, this can be compared to `null` in the sense that `unit` is not typed. On the other hand, an unbound variable is different from `unit`. An example is given below.

```
Int = 73
NegInt = ~42    % the minus sign is ~ when not used as a subtraction
Float = 3.14
Boolean = true  % or false
Unit = unit
String = "hello" % String is actually the list [104 101 108 108 111]
Atom = 'world'  % or equivalently Atom = world
Char = &c       % the ascii of 'c'
```

## Functions and procedures

Functions are in fact a specific case of what is called procedures. A procedure is a routine one can call with or without arguments and that does not return anything. A function is actually a procedure with one extra argument which is unbound at calling time and that the procedure (the function) assigns. The value assigned to this variable is the actual result returned by the function. In a strict notation, functions do not exist, they are syntactic sugars. This principle can be extended to several variables, meaning that the procedure assigns these variables. One

<sup>1</sup>There exist cells which contain modifiable variables, see the paragraph about cells.

can use the symbol ? before an argument to indicate that the argument is unbound at calling time. A example of function, its equivalent procedure and a procedure with three unbound variables is the following:

```
declare Function EquivalentProcedure Procedure3 in
fun {Function A} % function returning 2 times its only argument
  2*A
end
proc {EquivalentProcedure A ?R} % equivalent procedure, the interrogation
  R = 2*A % point is optional and indicates unbound
end % variable at calling time
proc {Procedure3 A ?R1 ?R2 ?R3} % one bound argument, three unbound ones
  ... % procedure body
end
```

Calling a function or its equivalent procedure can be done in two equivalent ways. As a function returns, we can use a notation with an equal sign. As procedures do not return anything, we can use a notation with all arguments inside the call, result included. Here are examples:

```
declare Fun Proc R in
fun {Fun} 1 end
proc {Proc ?R} R=1 end
R = {Fun} % R = 1
{Fun R} % no error, R = 1
R = {Proc} % no error, R = 1
{Proc R} % no error, R = 1
```

Variables can be assigned to the basic types we already saw earlier. When a variable is assigned to a procedure, the variable contains the procedure. Strictly speaking, a procedure never has a name, a variable is assigned to the procedure and we call the name of the procedure the name of the variable. This can be explicitly stated using the dollar character. The following code shows the equivalence.

```
declare Procedure Equivalent in
Procedure = proc {$ ...} ... end % no sugar
proc {Equivalent ...} ... end % sugar
```

The body of a procedure defines a scope where all its arguments are declared.

### ***Records and tuples***

We just saw how variables can be assigned to procedures. Apart from procedures, vari-

ables can also be assigned to records. A record is a structure with a label and an arbitrary number of fields. The label is an atom. A field is identified by its feature which is an atom, an integer or a character. The value of a field can be anything. The structure is `label(feature1:value1 ... featureN:valueN)`. Note that features can be skipped because Oz will then use the value of an integer counter starting at 1 for the missing features. The order of the fields is not important if they have a feature. Four examples are given below.

```
declare R1 R2 R3 R4 Be in
R1 = iAmRecord1(a:1 b:2)
R1 = iAmRecord1(b:2 a:1) % equivalent to previous definition of R1
R2 = iAmRecord2(1:a b:2 c:3 3:c any:thing can:Be inside:R1)
R3 = iAmRecord3(1:a 2:b 3:c)
R3 = iAmRecord3(a b c) % equivalent to previous definition of R3
R4 = iAmRecord4(1:a b:2)
R4 = iAmRecord4(a b:2) % equivalent to previous definition of R4
```

Tuples are a specialization of records. The only additional constraint is that features are only integers from 1 to the number of fields in the tuple. As for records, the order of the fields is not important if they have a feature. Tuples do not restrict the rules of records for the label. A syntactic sugar allows users to declare tuples more easily. It consists of just separating values by a pound sign. The label is then a pound sign. Here are some examples:

```
declare T1 T2 in
T1 = easyIsn'tIt(1:a 3:c 2:b)
T1 = easyIsn'tIt(a b 3:c)
T1 = easyIsn'tIt(a b c)
T2 = '#'(3:c 2:b 1:a)
T2 = '#'(3:c 2:b a)
T2 = '#'(a b c)
T2 = a#b#c
```

The arity of a record is the list of all its features. So for a tuple it is simply a list from 1 to the length of the tuple. For a record the list can be composed of any kind of features. The arity is always in ascending order with integers before atoms.

Accessing an element of a tuple or a record is simple. For this, the feature must be used and that is why we need unique features in the same record. To get the value of a given field, one just needs to do the following:

```
T.featt % accessing value with feature 'featt' of tuple/record called T
```



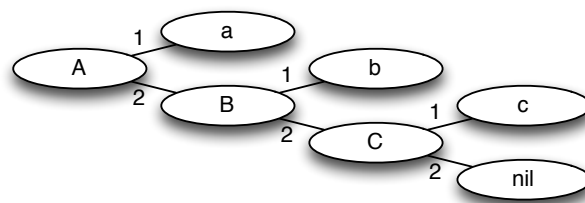
## Lists

We explained the specialization of records into tuples, so now let us specialize tuples into lists. The label of a list is always `'|'`. A list contains one or two elements, no more, no less. A list contains one element if and only if it is empty, the element is then always the atom `nil`.

When a list has two elements, the first one can be anything and the second has to be a list. The definition of a list is consequently recursive.

The last constraint is that the last element of a list is followed by `nil` - or by an unbound variable. A syntactic sugar exists, the `'|'` allows appending the left element to the start of the list on the right hand side. The graph representation in figure 1.2 is equivalent to the following statements. We also give some syntactic sugars to express lists more easily.

Note that a (flat) list containing  $N$  elements is in fact the succession of  $N + 1$  nested lists, one for each element and one for termination (the empty list, `nil`).



**Figure 1.2:** Representation of the list layout.

```

declare A B C End in
A = '|'(1:a 2:'|'(1:b 2:'|'(1:c 2:nil))) % no sugar
A = '|'(a '|'(b '|'(c nil)))           % features skipped
A = a|(b|(c|nil))                     % label skipped
A = a|b|c|nil                         % levels skipped
A = [a b c]                           % most powerful syntactic sugar
A = a|B                               % first level
B = b|C                               % second level
C = c|End                             % third level
End = nil                             % last level

```

As lists are specializations of records, accessing an element is done by using `'1'` or `'2'` since lists contains only two elements - except empty lists. In the above example, the expressions `A.2.2.1` and `A.2.2.2` respectively evaluate to `c` and `nil`.

Using such a representation for lists is very powerful knowing that we can not change the value of variables. Indeed this definition allows very efficient recursive procedures as we will see shortly in the paragraph about recursion.

## *If statements*

If statements are straightforward and well delimited into three parts: the condition, the true statement and the false statement. The latter is optional. The structure is the following:

```
if Condition then % if ... then
    ...          % instructions if true
else            % optional
    ...          % instructions if false , optional
end             % end delimiter
```

The condition can be any expression that evaluates to true or false. One can compose conditions using conjunctions with the keyword **andthen** and disjunctions with the keyword **orelse**. Here are some examples:

```
% Examples of what Condition can be:
true
false
A == C                % true iff A is C
A.2 == nil andthen B+2 > 0 % true iff A.2 is nil and if B+2 > 0
A == unit orelse IsLazy % true iff A is unit or if IsLazy is true
```

## *Pattern matching*

As we will see in the next paragraph, it is very useful to check whether a list has one or two elements and to react accordingly. This can be done using if statements. Sometimes however, the pattern matching can be more than useful in this regard. As its name says, pattern matching consists in matching an expression to a given pattern, a given shape, a given layout. Here is an example with lists:

```
case List            % apply pattern matching on Expression called List
of nil then         % if List is matched to nil
    ...
[] first | Tail      % if List is a list with its first element being 'first '
    ...             % and Tail being assigned to the second element
[] ' | '(1:Head 2:Tail) then % if List has two elements: new scope
    ...             % Head is the first element , Tail the second
else                % if none of the above
    ...
end                 % end of matching
```

The advantage of this in comparison to if statements is that we directly have the elements of the list in a new scope. This structure is more flexible. We can match to basically anything: records, tuples, lists, atoms, *etc.* Here is an example with a record:

```
case rec(a:1 b:2)
of rec(a:A b:B c:C) then % no match because no feature 'c'
  ...
[] r(a:A b:B) then      % no match because wrong label
  ...
[] rec(a:A b:B _) then   % no match because wrong number of fields
  ...
[] rec(a:A b:B) then     % match, A = 1 and B = 2
  ...
end
```

Note that patterns are tested in order. So the first pattern to match is the only one chosen even if others match as well - in the above example with lists, if `List` matches `first|Tail`, it also matches `Head|Tail` but only the first will be considered. Syntactic sugars can be used in patterns as well, like in `first|Tail`.

### *Recursion*

Oz allows using for loops but they are syntactic sugars. As variable are constants, we can not create true loops. What we can do is use recursion to iterate. There is nothing that loops can do that recursion can not do.

In chapter 3 (about the functional transformations) we will see how for loops are transformed into recursive procedures and get inspiration from that for comprehensions.

The compiler translates a for loop into a recursive procedure which is the best way to go through all the elements of a list or to iterate in general. The very constrained shape of lists allows us to get only two patterns: the list has two elements so we go on iterating with the second element (recall that the second element is always a list) or the list is `nil` and we have reached the last iteration.

The principle is to create a function that calls itself, hence recursive. Of course, at some point this recursion should stop. As variable are declarative, we can use accumulators to accumulate the future result to return. As a list with  $N$  elements is in fact the nesting of  $N + 1$  lists, each iteration creates a list. The last iteration creates the empty list. Here is an example procedure that assigns `Next` to `List` times 2. Note that recursion does not always have to use lists, we use them because they are nice examples and because we will use them a lot.

```

declare ProcTimes2 FunTimes2 AccTimes2 in
proc {ProcTimes2 List ?Next} % Next is bound to List times 2
  case List
  of nil then
    Next = nil % end of List, so end Next by assigning it to nil
  [] H|T then Nt in % declare new variable Nt
    Next = 2*H|Nt % Next is assigned to 2*List.1 followed by Nt
    {ProcTimes2 T Nt} % Recursive call to assign Nt
  end
end
% equivalent function
fun {FunTimes2 List}
  case List
  of nil then nil
  [] H|T then 2*H|{FunTimes2 T}
  end
end
% equivalent with an accumulator
fun {AccTimes2 List}
  fun {Aux L Acc}
    case L
    of nil then Acc
    [] H|T then {Aux T 2*H|Acc}
    end
  end
end
in
  {Reverse {Aux List nil}} % Aux result is reversed so unreverse it
end

```

The first two versions work in the same manner. The procedure is more explicit but the function is actually translated into the procedure by the compiler. The first iteration assigns the result to return to the first element followed by an unbound variable. The latter is then passed as the new result to the second iteration and so on.

The last function uses an accumulator. The principle is different. Each iteration creates a list with the first element being the current element of the iteration and the second being the accumulator. The result is similar but has a drawback - sometimes it can be an advantage - it reverses the order of the output. If the result is reversed then the drawback becomes that the program has to go through two lists instead of one - the function **Reverse** is similar to **AccTimes2** but without the multiplication. Most of the time we will use the principle used in the first procedure because it keeps the order.

## Threads

Oz has been designed for concurrent applications among others. Threads are consequently easy to create. The following code shows how to create a thread.

```
% thread creation
thread
  ... % the body of the thread, what it executes
end
```

The `Wait` procedure makes the execution go to sleep until its argument is bound. We can use this procedure to wait for a thread to be done as follows:

```
% wait for thread to finish
declare Done in % Done is assigned only when thread is done
thread
  ... Done = unit % thread is over, assign Done to unit
end
{Wait Done} % wait for thread to be done
```

As a variable can be assigned as many times as we want if it is always assigned to the same value, we can extend the previous solution with several threads. Here is how:

```
% wait for one of the two threads to finish
local Done in
  thread
    ... Done = unit
  end
  thread
    ... Done = unit
  end
  {Wait Done}
end
```

In the previous examples, the body of a thread was a statement - a statement can be made of other ones. The body of a thread can also be an expression, it can return a value. Even if it might seem useless for now, we mention it because this will be used later. For now, here is a small example:

```
declare L in
L = [1 thread 1+1 end 3] % L = [1 - 3] when thread is not done
% L = [1 2 3] when thread is done
```

## Streams

A stream is an unbound list. For instance `1|2|3|_` is a stream. Why do we make this distinction ? A stream is very useful for concurrency because we can act on the part of the stream already assigned and wait for the rest in parallel with other executions. Without streams, we need to wait for the end of the stream to begin acting on it. A concrete example is helpful to understand:

```

1 declare Produce Consume Xs Ys in
2 fun {Produce I N} % creates a stream from I to N
3   if I ≤ N then I|{Produce I+1 N}
4   else nil
5   end
6 end
7 fun {Consume Xs} % returns a stream which is 2 times the input
8   case Xs
9   of nil then nil
10  [] H|Ts then 2*H|{Consume Ts}
11  end
12 end
13 thread Xs = {Produce 1 20} end
14 thread Ys = {Consume Xs} end

```

The nice thing with this code is that `Ys` is created before the generation of `Xs` is over. In the above example, it practically does not change anything but streams are usually much more longer or infinite so this concept is essential. Additionally, the producer might take a long time to create each element.

Consider now the following similar consumer:

```

1 declare Consume2 in
2 fun {Consume2 Xs}
3   case Xs
4   of nil then nil
5   [] H|Ts then Next in
6     Next = {Consume2 Ts}
7     2*H|Next
8   end
9 end

```

The only difference is that we explicitly state that we first compute the next elements of the output then append the double of the head to the result of the previous operation. The result is indeed the same as before but the difference makes this version very different from the other one. How ? For two reasons. The first is that line 6 will block until all the input stream is

known. Indeed, the recursion will operate on line 6 until `nil` is reached, not before. The second reason is that Mozart has to keep in memory all the instances of the function because there is still an instruction (line 7) after getting the next elements. This results in slower execution and memory explosion if the input is too big.

The solution to this is to respect the property called *terminal recursion*. A recursive procedure (or function) is recursive terminal if and only if its only recursive call is the last instruction so that the calling procedure can be forgotten without any arm. The previous version of the consumer is recursive terminal because the compiler always transforms line 13 of `Consume` into line 7 *then* line 6 of `Consume2`.

For our implementation of comprehensions, we need to keep in mind that respecting terminal recursion is mandatory to deal with streams and to avoid time and/or memory explosions. This is particularly true for list comprehensions.

### ***Laziness***

Now that we have seen streams, we can go further into concurrency. Laziness is a property of recursive functions. Until now every time a function is called, it creates its output eagerly or in other words, it never waits for some events to continue the recursion. The creation stops only when it is finished. A lazy function waits for its result to be needed before creating its output. Here is a detailed example:

```
declare Produce in
fun lazy {Produce I} % Produce is declared as lazy
    % basically Produce sleeps here until its result is needed
    I|{Produce I+1} % append an element then recursive terminal call
end
```

`Produce` will never finish. If it was not lazy then it would never stop creating without waiting. This would create an overhead as the output stream keeps growing. Fortunately `Produce` is lazy so it waits for each of the elements of its result to be needed by another thread to actually generate it. When the next element of the list is needed, `Produce` creates one element and then waits again after calling itself.

What makes the result needed ? Any computation directly using it makes it needed. We can also use the procedure `Value.makeNeeded` to explicitly make it needed.

Laziness is implemented using one procedure only, `WaitNeeded`, which does the whole job that consists in blocking until another thread calls `Value.makeNeeded` on the result or use it for calculations. Here is an example with `WaitNeeded` and `Value.makeNeeded`:

```

declare Produce Xs in
proc {Produce I ?Result} % Produce is not explicitly declared as lazy.
    {WaitNeeded Result} % Produce sleeps until Result is needed.
    Result = I|{Produce I+1} % Append an element then recursive terminal
                           % call. Here we treat Produce as a function.
end
thread Xs = {Produce 1} end % or {Produce 1 Xs}
% For now Produce waits and Xs = _
{Value.makeNeeded Xs} % Xs = 1|_
{Value.makeNeeded Xs.2} % Xs = 1|2|_
{Value.makeNeeded Xs.2.2} % Xs = 1|2|3|_

```

Laziness will show to be an essential possible property for list comprehensions.

## Functors

A functor is a structure that allows declaring a module. A module is an external resource that any code can import to use the module. Here is how to declare a functor:

```

functor MyModule % the name is optional
import
    System % import module System
    % import OtherModule from OtherModule.ozf in same directory
    OtherModule at 'OtherModule.ozf'
export
    proc1 : Proc1 % MyModule.proc1 points to Proc1
    proc2 : Proc2 % MyModule.proc2 points to Proc2
define
    proc {Proc1} ... end
    proc {Proc2} ... end
end

```

To compile a module in terminal, use

```
ozc -c Module.oz -o Module.ozf
```

after adding the binaries directory of Mozart in your path. You can then run the module - if it makes sense - by using

```
ozengine Module.ozf ozc-x Module.oz.
```

## Cells

Cells are not used in the implementation of comprehensions except for collectors (see chapter 3) but as they are used in the compiler, we describe what they are. A cell is a variable that can be updated. They implement explicit state. They work as follows:



```

declare C in
C = {NewCell 0} % C is a Cell initiated with value 0
C := @C + 1      % assign using := and access via @, now C contains 1

```

## ***Dictionaries***

A dictionary is comparable to an indexed table. A dictionary contains any number of items. Each item is indexed by its key and contains a value. Here is an example:

```

declare Dico in
{Dictionary.new Dico}
{Dictionary.put Dico key value}
{Browse {Dictionary.get Dico key}} % browse 'value'

```

Dictionaries implement a kind of explicit state - similarly to cells.

## ***Classes and objects***

Classes and objects are not used in the implementation of comprehensions but as they are used in the compiler, we describe what they are. Classes are syntactic sugars. A class has a name, some attributes which are cells and some methods. Here is how it works along with an object declaration and use:

```

declare MyClass MyObject R1 in
class MyClass      % create class MyClass
  attr var1 var2 % as many attributes as wanted, atoms
  meth init()      % method called init with no arguments
    var1 := 1 var2 := 2
  end
  meth get1(R1) % method get1 with one argument
    R1 = @var1
  end
  meth get2($) % method get2 is a function because of the $
    @var2
  end
end
MyObject = {New MyClass init()} % instance of MyClass and call init
{MyObject get1(R1)}             % R1 = 1
{Browse {MyObject get2($)}}     % Browsing 2

```

## 1.2. List comprehensions

The previous section was about what Oz already proposes. This short one is about what list comprehensions are and the terminology we will use to designate them.

### *Principle*

This paragraph aims at explaining the concept of list comprehension. The complete and formal syntax will be explained later.

As the name indicates, list comprehensions are structures able to comprehend, to *understand* a list or a set with its mathematical description. This means that they add the functionality to define lists easily, similarly to their mathematical definition. There is a wide variety of ways to express them mathematically. Typically, a set is expressed as follows:

$$L = \{\text{pattern element} \mid \text{where the pattern comes from} : \text{conditions on pattern}\}$$

For instance,

$$L = \{(a, 2 * b) \mid \forall a \in A : \forall b \in [3, 5] : a > b\}$$

is the set of all the couples  $(a, 2 * b)$  for all  $a$  coming from the set  $A$ , for all  $b$  from 3 to 5 and only if  $a > b$ . If  $A = \{1, 2, 4, 7\}$  then  $L = \{(4, 6), (7, 6), (7, 8), (7, 10)\}$ .

The basic idea is to allow this very flexible way of declaring any kind of lists in Oz. To express this, we will use the following syntax:

```
% syntax
L = [Expression suchthat ... if ...] % as many suchthat ... if ...
% example
LA = [1 2 4 7]
L = [[A 2*B] suchthat A in LA suchthat B in 3..5 if A>B] % [[4 6] [7 6] [7
8] [7 10]]
```

The last example above uses several **suchthat** because we nest the iteration on  $B$  inside the iteration on  $A$ . We also want to allow going through lists simultaneously like this:

```
% syntax
L = [Expression suchthat ... .. if ...]
% example
LA = [1 2 4 7]
L = [[A 2*B] suchthat A in LA B in 3..5] % [[1 6] [2 8] [4 10]]
```

Of course these two possibilities (nested or simultaneous) can both be used in the same expression along with an optional condition for each **suchthat**.

In addition we also want to be able to create as many lists as we want in one comprehension. To easily access all the resulting lists, we also want these output lists to be fields of an output record like this:

```
L = [1:Expression1 a:Expression2 suchthat ...]
LA = [1 2 4 7]
L = [A a:B suchthat A in LA B in 3..5] % '#'(1:[1 2 4] a:[3 4 5])
```

Again, all the previous functionalities must work together with the latter and also with the ability to filter the output lists separately like this:

```
L = [1:Expression1 if ... a:Expression2 if ... suchthat ...]
LA = [1 2 4 7]
L = [A if A > 3 a:B suchthat A in LA B in 3..5] % '#'(1:[4] a:[3 4 5])
```

So far, we showed examples with lists generated from other lists or from a start point to an end point. We also want to add the possibility to generate lists with functions, records and with a C-like generator. Here are examples:

```
% C-style
L = [A suchthat A in 3 ; A<6 ; A+1] % [3 4 5]
% function
L = [A+B suchthat A in 3 ; A<6 ; A+1 B from fun{$} 1 end] % [4 5 6]
% record
L = [F#A suchthat F:A in rec(a:1 2:b)] % [a#1 2#b]
```

When a record is specified as generator, one must use the `_:_` notation. The first field is the feature and the second is the value of the field.

All these functionalities must work together.

## Terminology

Here we define some terms that will be used in the chapters to come:

**Range** A list, a stream, a function, a record or a generator that we go through using a **suchthat** such as `LA` or `3..5` in the example.

**Ranger** Every variable created into a **suchthat** and that goes through a range such as `A` or `B`.

**Layer** A combination of a ranger and its range such as `A in LA` or `B in 3..5` in the example.

**Level** A combination of all layers inside one given `suchthat` and its condition if exists such as `suchthat A in LA B in 3..5 if true`.

**Creator** An expression before all `suchthat` in a list comprehension, together with its feature is specified, such as `[A 2*B]` in the example.

**Output specification** A creator together with its condition, if exists.

## 1.3. Record comprehensions

Similarly to list comprehensions, this section describes what a record comprehension is. Note that record comprehensions are an experimental functionality for the moment.

### *Principle*

The principle is basically the same as for list comprehensions except that instead of returning a list or several ones, record comprehensions return a record or several ones. The idea is to keep the same shape, the same arity as the input record. For this reason, record comprehensions only take one record as input. In other words, we could say that record comprehensions restrict list comprehensions to one layer and one level. On the other hand we still keep the multi output with individual conditions. The level condition can also be specified.

As record comprehensions will use a very similar syntax as list comprehensions, we do not have to change much. Nevertheless, we need to differentiate them. This is done by using parenthesis instead of square brackets to delimit the comprehension.

The condition specified with `if` allows skipping some fields in the resulting record. Here are some examples:

```
Rec = a#b#c
R1 = (A suchthat _:A in Rec) % R1 = a#b#c
R2 = (F A suchthat F:A in Rec) % R2 = (1#2#3)#(a#b#c)
R3 = (F#A suchthat F:A in Rec) % R3 = (1#a)#(2#b)#(3 c)
R4 = (A suchthat F:A in Rec if F == 1) % R4 = '#'(a)
```

### *Terminology*

Here we define some terms that will be used in the chapters to come, many definitions are the same as in list comprehensions.

**Input** The record used as generator, the input of the record comprehension.

**Ranger** The combination of the variables taking the current feature and value. A ranger goes through the input.

**Creator** An expression before the `suchthat`, together with its feature.

**Filter** The condition specified with `if` that decides which fields to drop in the traversal.

## 1.4. Complete syntax

This section details the complete additional syntax brought by comprehensions. The file *Syntax.pdf* contains a tutorial on comprehensions. It can be found in appendix D.

First here are some definitions to understand the rest of the syntax:

```
%% Definitions
plus (...)      % means that ... occurs at least once
star (...)      % means that ... occurs from 0 to infinity times
opt (...)       % means that ... occurs 0 or 1 time
alt (... ...)   % means 1 time any of the ... (as many ... as wanted in alt)
atom            % an atom
integer         % an integer
character       % a char
variable        % a variable , so an ID
feature         % alt(variable atom integer character)
lvl0            % any kind of expression or statement
subtree         % opt(feature :) lvl0
phrase          % several successive lvl0
```

### List comprehensions

We now detail what list comprehensions will have for syntax in Oz.

As we already shortly described, list comprehensions return either a list or a record - which is not a list and which can be a tuple. Let us see incrementally the definition of the syntax we want to achieve for list comprehensions. While explaining the syntax we will also explain what is the purpose of each possible syntax. The basic list comprehension syntax is the following: `[plus(forExpression) plus(forComprehension)]`.

A list comprehension is delimited by square brackets. We can have one or more **forExpression**. A **forExpression** is made of a subtree and a condition, a subtree being a field of a record so either a value either a feature and its associated value. The condition is optional and allows to filter output. After these (this) **forExpression**, is one or more **forComprehension** which correspond to all the levels.

The reason behind the `plus(forExpression)` is that our goal is to allow list comprehensions to return more than one output. We want them to be able to return a record if more than one output is given.

Here are some examples:

```

%% L is a list
L = [A suchthat A in 1..5] % L = [1 2 3 4 5]
L = [A suchthat A in 1..5 if A<3] % L = [1 2]
L = [A if A<3 suchthat A in 1..5] % L = [1 2]
%% L is a record of list(s)
L = [1:A suchthat A in 1..5] % L = '#'(1:[1 2 3 4 5])
L = [1:A suchthat A in 1..5 if A<3] % L = '#'(1:[1 2])
L = [1:A if A<3 suchthat A in 1..5] % L = '#'(1:[1 2])
L = [A 2*A suchthat A in 1..5] % L = [1 2 3 4 5]#[2 4 6 8 10]
L = [a:A 2"A suchthat A in 1..5] % L = '#'(1:[2 4 6 8 10] a:[1 2 3 4 5])
L = [1:1 2:2 3:3 suchthat _ in 1..4] % L = [1 1 1 1]#[2 2 2 2]#[3 3 3 3]

```

A **forExpression** consists in an output specification, so an optional feature followed by one an expression and optionally by a condition **if**. The formal definition is described just below:

```

forExpression: % an output specification
               subtree opt(if lvl0)

```

A **forComprehension** consists in a level, so a **suchthat** followed by one or more **forListDecl** and optionally by a condition **if**. The formal definition is described just below:

```

forComprehension: % a level
                  suchthat plus(forListDecl) opt(if lvl0)

```

A level is composed of one or more layers. Each layer can have different range definitions and must have a different ranger. The following code defines what a layer can be:

```

1 forListDecl: alt( % a layer
2     lvl0 from lvl0 % 1st lvl0 is ranger, 2nd is range, a function here
3     lvl0 in forListGen % lvl0 is the ranger
4     lvl0 : lvl0 in lvl0 % 1st lvl0 is feature, 2nd is value, 3rd is record
5     atom) % lazy
6
7 forListGen: alt( % a range
8     lvl0 .. lvl0 opt(; lvl0) % range from lvl0 to lvl0 by step 1 or lvl0
9     alt((forGenC) forGenC) % C-style range
10    lvl0) % range is a list/stream
11
12 forGenC: % a C-style range
13     lvl0 ; lvl0 % no condition
14     lvl0 ; lvl0 ; lvl0 % classic C-style

```

Line 6 corresponds to a **lazy** layer (the atom must be **lazy**). This makes the whole level lazy. Line 2 corresponds to a range generator being a function with no arguments, note that this range never stops by itself. Line 8 corresponds to a range generated by a start, an end and an optional step integers. Line 13 corresponds to a C-style range generator such that the ranger is initiated to the first `lv10` and is assigned to the third one while the second `lv10` is true (so it must be a condition). Line 12 is the same as line 13 except that the condition is always true.

Line 4 corresponds to the range being a record. In this case, the list comprehension will go through all the fields of the record. The first `lv10` keeps track of the feature, the second is the value of the field. The third one is the record.

Line 10 corresponds to a range coming from a list or a stream. The reason to differentiate list and record generators is that we will implement a specific and more efficient traversal for lists and streams thanks to their structure. However lists can be used as record generators.

Here are some examples on how to use the different ranges:

```
declare
fun {Fun} 2 end
L1 = [B if B<3 1:A if A>1 C suchthat A#B#C in [1#2#3 4#5#6]]
% L1 = [4]#[2]#[3 6]
L2 = [A#B suchthat A from Fun B in 1..2]      % L2 = [2#1 2#2]
L3 = [A suchthat A in 1..10 ; 2]               % L3 = [1 3 5 7 9]
L4 = [A suchthat A in 1 ; A<10 ; A+2]          % L4 = [1 3 5 7 9]
L5 = [A suchthat A in [1 2 3 4 5]]             % L5 = [1 2 3 4 5]
L6 = [A suchthat _:A in r(a:2 b:3 1)]          % L6 = [1 2 3]
L7 = [F suchthat F:_ in r(1:1 a:3 2:2)]        % L7 = [1 2 a]
L8 = thread [A suchthat lazy A in 1..10] end % L8 = -
{Value.makeNeeded L8.2}                       % L8 = 1|2| -
L9 = [F#A suchthat F:A in r(a:1 b:r(1 2))]     % L9 = [a#1 b#r(1 2)]
```

The last functionality we are aiming for is bodies. It consists in allowing some actions to be executed every time the list comprehension tries to add elements to the output. In other words, it can be seen as the body of the last nested for loop. For this we expand the syntax of list comprehensions as follows: `[plus(forExpression) plus(forComprehension) opt(do phrase)]`.

The optional keyword **do** delimitates any kind of actions that are executed every time the list comprehension tries to add elements. Here is an example:

```
{Browse [A suchthat A in 1..2 do {Browse 1}]}
% browses:
% 1
% 1
% [1 2]
```

## Record comprehensions

Because record comprehensions output a record (or records) similar to the input, we decided not to allow several levels. If one wants to use several layers then it would imply that all input records have similar (nested) arities. For these reasons, we decided to restrict record comprehensions to one level and one layer. However, bodies can be used in record comprehensions exactly in the same way as for list comprehensions.

Featured multi output is kept as well as output-specific conditions. The traversal of the input record is not recursive (for the official version).

The exact syntax of record comprehensions is the following:

```
(plus(forExpression) suchthat lv10 : lv10 in lv10
      opt(seq2('if' lv10) unit) opt(seq2('do' phrase) unit))
```

Here are some examples:

```
declare
R1 = (A suchthat _:A in r(a:2 b:3 1))    % R1 = r(1:1 a:2 b:3)
R2 = (A+1 suchthat _:A in r(a:2 b:3 1)) % R2 = r(1:2 a:3 b:4)
R3 = (F suchthat F:_ in r(a b c))        % R3 = r(1 2 3)
R4 = (F+1 suchthat F:_ in r(a b c))      % R4 = r(2 3 4)
R5 = (f:F a:A suchthat F:A in r(a b c)) % R5 = '#'(a:r(a b c) f:r(1 2 3))
R6 = (A suchthat F:A in r(a:1 b:r(1 2)) if F == a) % R6 = r(a:1)
R7 = (F#A suchthat F:A in r(a:1 b:r(1 2))) % R7 = r(a:a#1 b:b#r(1 2))
R8 = (A if F == a suchthat F:A in r(a:1 b:r(1 2))) % R8 = r(a:1)
R9 = (@C suchthat _:A in r(a:2 b:3 1) do C := A) % R9 = r(1:2 a:3 b:4)
```

## 1.5. Complete grammar

The complete grammar can be found in the file *Grammar.html* in an HTML format. Black is used for non-terminals. They are bold when their definition follows, otherwise one can click on the rule to navigate to its definition. Purple is used for keywords and symbols. Terminals are blue. Green indicates a multiplicity such as **plus**, **star** or **opt**. Finally, each line of a definition constitutes an alternative definition.

To formalize even more the grammar, we have to write the EBNF grammar. As we only add comprehensions, we will only state what needs to be changed or added. The rest can be found in appendix C of [14]. As comprehensions are always expressions declaring records (recall that



lists are records) we only need to change the rule called `<term>` because this is the rule defining the record declarations. Here are the modifications:

```

<term>          ::= ... // other rules
                  | '[' { condOutput }+
                      { suchthat <listCompDec> [ if <expression> ] }+
                      [ do <statement> ] ']'
                  | '(' { condOutput }+
                      suchthat <variable> ':' <expression> in <expression>
                      [ if <expression> ] [ do <statement> ] ')'

<condOutput>    ::= [ <feature> ':' ] <expression> [ if <expression> ]

<listCompDec>   ::= <expression> in <expression>
                  | <expression> in <expression> '..' <expression>
                      [ ';' <expression> ]
                  | <expression> in <expression> ';' <expression>
                      [ ';' <expression> ]
                  | <expression> in '(' <expression> ';' <expression>
                      [ ';' <expression> ] ')'
                  | <expression> from <expression>
                  | <variable> ':' <expression> in <expression>
                  | lazy

```

## 1.6. Unofficial functionalities

The official version implements all these described functionalities. In addition to the latter, we have decided to implement more functionalities that are not in the official repository. However they are implemented in our unofficial version. Let us see three additional functionalities. We will see their transformation and implementation along with the official ones as they are implemented in the unofficial version. This is why our GitHub repository contains duplicates.

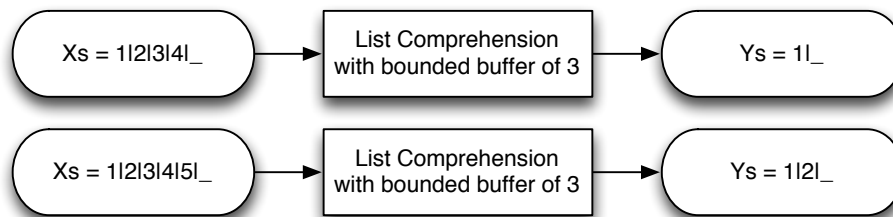
The first functionality, `collect`, typically uses the bodies of list comprehensions. Collectors do not exist for record comprehensions. Collecting consists in assigning a procedure to a unbound variable given by the user. This procedure takes one argument. When executed, typically in the body, it appends its argument to the list specified as output or this collector procedure. The collection is ended when the list comprehension is done. Here is the adaption needed for the collector:

```
forExpression : alt (
  feature : atom : lvl0 // new rule
  ...)
```

And here is an example:

```
L = [c:collect:C suchthat A in 1..2 do {C A}{C A+1}]
% L = [1 2 2 3]
```

The second functionality is bounded buffers. This functionality has one goal. In the case where the range is a stream lazily produced, we ask the producer of this stream to generate the next element only when we need it, only when it is the element of the current iteration. Bounded buffers can solve bottlenecks if, for instance, the generation takes a while. To prevent this, we can specify "how much" must the producer be in advance comparing to the output of the list comprehension. In other words, we can specify the number of elements that are needed before actually being used by the list comprehension. A representation is given in figure 1.3.



**Figure 1.3:** Representation of a list comprehension with a bounded buffer.

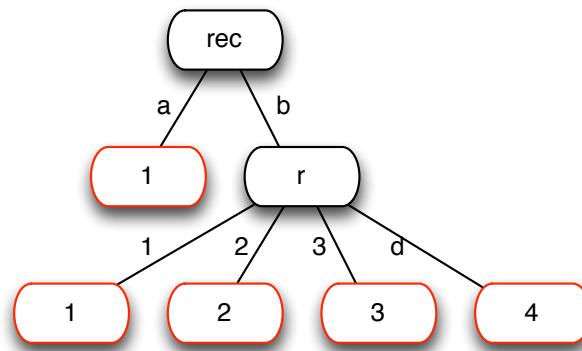
The adaptation to parse bounded buffers is the following:

```
forListGen : alt ( % a range
  lvl0 .. lvl0 opt(; lvl0) % range from lvl0 to lvl0 by step 1 or lvl0
  lvl0 (: integer) % range is a list/stream, integer is buffer size
  alt ((forGenC) forGenC)) % C-style range
```

And here is an example with a buffer of size 3:

```
[A suchthat lazy A
  in thread [A suchthat lazy A in 0..9 do {Delay 100}] end : 3]
```

The third functionality concerns record generators for both list and record comprehensions. They allow traversing the record recursively in depth-first mode. In this case, the comprehension will go through the terminals formed by the nesting of records. An example can be seen in figure 1.4.



**Figure 1.4:** Representation of the nesting formed by  $\text{rec}(a:1 \ b:r(1 \ 2 \ 3 \ d:4))$ . Red stands for terminals.

In addition to this, one can specify a decider. The decider is a condition that allows us to discriminate records as terminals during the traversal. It evaluates to true if the record has to be treated as a record, false if it must be considered as a terminal. This condition is tested only on non-empty records, never on terminals nor atoms (which are empty records).

For list comprehensions, the syntax has to be changed as follows:

```

forListDecl: alt (
  lv10 : lv10 in lv10 opt(of lv10)
  ...)

```

The optional `lv10` can be used to specify a boolean function with two arguments which will be respectively assigned to the feature and the value of the current field. An example is:

```

[F#A suchthat F:A in r(a:1 b:r(1 2))] % [a#1 1#1 2#2]
[F#A suchthat F:A in r(a:1 b:r(1 2)) of fun{$ F _} F == a end]
% [a#1 b#r(1 2)]

```

For record comprehensions, the condition is included directly without the use of a function. This is because we think it is more unified with the (unique) level condition. Again this condition is only tested on non-empty records.

The filter differs a bit from the one of list comprehensions. It allows us to filter records directly. In fact, the filter is tested only for non-empty records. To filter the terminals, one must use the output-specific conditions. This difference comes from the fact that list comprehensions allow several layers. The exact syntax of record comprehensions is the following:

```

(plus(forExpression) suchthat lv10 : lv10 in lv10 opt(seq2(of lv10) unit)
  opt(seq2(if lv10) unit) opt(seq2('do' phrase) unit))

```

Here are some examples:

```
(F#A suchthat F:A in r(a:1 b:r(1 2)))           % r(a:a#1 b:r(1#1 2#2))
(F#A suchthat F:A in r(a:1 b:r(1 2)) of F = a) % r(a:a#1 b:b#r(1 2))
Rec = tree(key:1 left:leaf right:tree(key:2 left:leaf right:leaf))
(if F=key then N+1 else N end suchthat F:N in Rec of F=left or else F=
  right)
% tree(key:2 left:leaf right:tree(key:3 left:leaf right:leaf))
(A if A > 4 suchthat -:A in r(r1(1 2 3) r2(4 5 6)) if {Label A} = r2)
% r(r2(2:5 3:6))
```

The unofficial grammar can be seen in the file *Grammar\_unofficial.html*. Here are the modifications to make to the previously seen EBNF grammar:

```
<term> ::= ... // other rules
          // list comprehension with collectors
          | '[' { condOutput
                  | ( <feature> ':' collect ':' <expression> ) }+
                  { suchthat <listCompDec> [ if <expression> ] }+
                  [ do <statement> ] ']'
          // record comprehension with depth-first
          | '(' { condOutput }+
                  suchthat <variable> ':' <expression> in <expression>
                  [ of <expression> ] [ if <expression> ]
                  [ do <statement> ] ')'

<listCompDec> ::= ... // other rules
                // bounded buffer
                | <expression> in <expression> [ ':' <integer> ]
                // recursive traversal of record for list comprehensions
                | <variable> ':' <expression> in <expression>
                  [ 'of' <expression> ]
```

In the rest of this thesis, we consider the unofficial version. We sometimes give comments about the official version. The latter provides a subset of the functionalities of the unofficial version. Therefore the task of skipping the parts that are only unofficial is left to the reader who only wants to read about the official functionalities.

## Chapter 2

# The compiler of Mozart

Compiling consists in transforming source code into code directly executable by a processor.

This chapter aims at understanding parts of the design of the compiler of Mozart. Only the relevant parts for the implementation of comprehensions are detailed. All the sources are available at [23] and also in the directory *mozart2* of [https://github.com/francoisfonteyn/thesis\\_public](https://github.com/francoisfonteyn/thesis_public). In the sources, the compiler is in *lib/compiler*.

Oz is compiled, not interpreted like Shell or Python. Interpreters take one instruction at a time and translate it into executable code. It is kind of an *online compilation*, in real time. Compilers allow more checking and more intelligence hidden in the analysis, optimizations and transformations. Another advantage of compilers is that it generally results in programs executed faster.

There are two big kinds of compilers. First are the compilers without virtual machines such as `gcc`<sup>1</sup> or `clang`<sup>2</sup> which are used to compile the compiler of Mozart. Such compilers directly create an executable file that can be executed only on the architecture they have been compiled for. This property makes them very efficient in term of speed of execution. Nevertheless, such a solution is not portable between different computer architectures.

The second kind is compilers that rely on a virtual machine. A virtual machine is a program that allows running a special kind of code no matter what the platform is. The idea is to compile the input code into this *virtual machine language*. Such an output can be executed on any platform using the virtual machine. The latter is responsible for offering a determined set of functionalities no matter what the platform is. The complexity of dealing with platform-specific instructions is abstracted by the virtual machine.

The last kind of compilers is what Mozart uses. It means there exists a virtual machine that runs Oz executables. This machine is not our concern here. In the rest of this chapter we first explain the general architecture of a compiler that uses a virtual machine then we go more in details through relevant parts of the Mozart compiler for comprehensions. We also state how these parts must be adapted to accept the transformations of the next chapter.

---

<sup>1</sup>For more information, visit <http://gcc.gnu.org/>

<sup>2</sup>For more information, visit <http://clang.llvm.org/>

## 2.1. Generic design of a compiler using a virtual machine

A generic compiler is divided into five modules or steps. The input of the first one, called a compilation unit, is the code to compile. The output of the fourth first steps is given as input to the next step. The output of the last level is the *virtual machine code*.

### *Lexical analysis, the lexer*

The first step is to read the input (typically a file or a block) and to tokenize it. This is done by the lexer. Tokenizing means that instead of taking characters as the smallest unit of input, we take tokens as the smallest unit. A token can be a keyword such as `fun`, `proc`, `if` or `thread`, a symbol such as `+`, `{`, `[` or `]`, an identifier (a variable) such as `Value`, `Browse` or `MyVariable`, an integer, a floating point number, a string a character or an atom (that is not a keyword). Spaces and new line characters are used to distinguish tokens but they are *forgotten* by the lexer afterwards. Comments are simply skipped by the lexer.

The keywords are atoms that are reserved for a purpose. Here is the complete list of all the keywords of Oz in alphabetical order:

andthen	at	attr	case	catch	choice	class	cond
declare	define	dis	do	div	else	elsecase	elseif
elseif	end	export	fail	feat	finally	from	for
fun	functor	if	import	in	local	lock	meth
mod	not	of	or	orelse	prepare	proc	prop
raise	require	self	skip	then	thread	try	

The symbols are special characters. Here is the complete list of all the symbols of Oz:

(	)	[	]	{	}		#
:	...	=	.	:=	^	[]	\$
!	-	~	+	-	*	/	@
< -	,	!!	<=	==	\=	<	=<
>	>=	=:	\=:	<:	=<:	>:	>=:
::	:::	..	;				

The output of this first module is an ordered set of tokens. The advantage to use a tokenizer abstraction is that we can now deal only with entities instead of characters, words or lines. If an error occurs during this step, then the input contains unknown characters. No coherence between tokens is checked here.

An example of input can be:

```
for (initiator; condition; step) {  
    if (condition) {  
        i=1 // this is a comment  
    } else {  
        false statement  
    }  
}
```

Which leads to the following set of tokens:

```
{keyword('for'), symbol('('), initiator, symbol(';'), condition, symbol(';'),  
step, symbol(')'), symbol('{'), keyword(if), symbol('('), condition,  
symbol(')'), symbol('{'), var('i'), symbol('='), int(1), symbol(')'),  
keyword('else'), symbol('{'), false statement, symbol(')'), symbol(')}')}
```

Note that all the spaces have disappeared as well as the new lines and the comment. The output does not remove any information and helps formalizing for the next step *e.g.* the keywords are labeled as such so they have differentiated from atoms or strings.

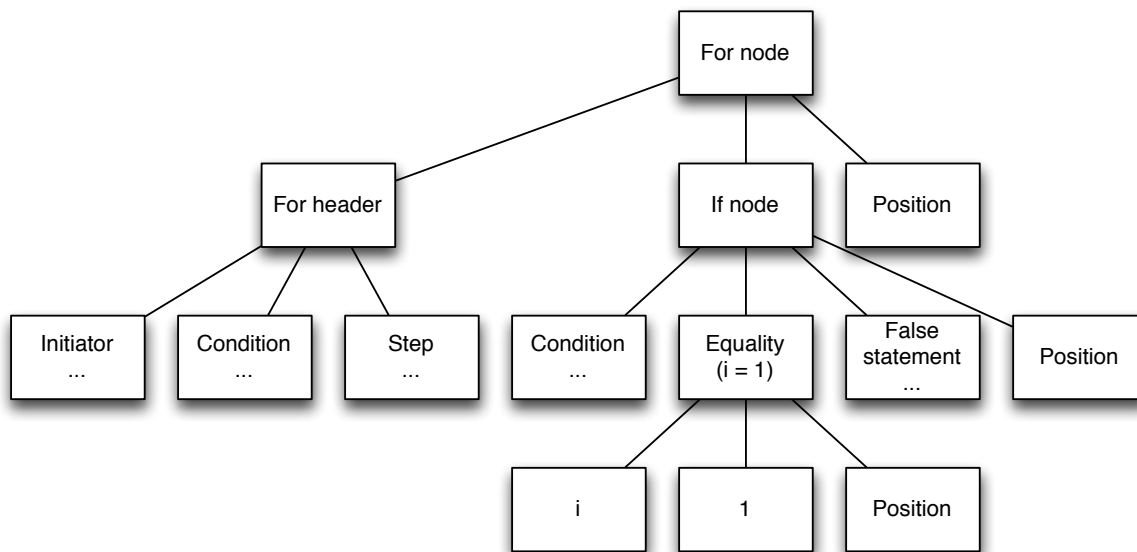
### *Syntax analysis, the parser*

From the flat set of tokens created by the lexer, the parser creates an *abstract syntax tree* which we will abbreviate by AST. The true structure of a program is generally reflected by its indentation. Indeed, an `if ... then ... else ... end` statement has three parts: the condition, the true statement and the false statement. So it would be a good idea to have a node corresponding to an if statement that has three children, one for each of its parts. Applying this reasoning to all the structures and declaring that a compilation unit is rooted at a root node, we get a single AST by compilation unit. This tree structure is much more expressive than a flat set structure.

Usually, in addition to its required parts, a structure also stores a position in the compilation unit. The reason for this is that in case of error, the compiler can locate easily the source of the error for the user. Without this position, no error could know where it comes from. An example of AST for the set of tokens in the lexer example can be found in figure 2.1. Keep in mind that it is just a generic example, the structure of nodes differs from one language to another.

If an error occurs during the syntax analysis, then the input does not respect at least one of the requirements imposed by the structures (recall the if node example).

A parser defines a grammar in a comparable way that a lexer defines a lexical field. The grammar of Oz can be found in the file *Grammar.html*. A grammar is defined by all its rules. Rules refer to each other. To choose the next node, the parser uses the rules to find the node



**Figure 2.1:** An AST with an if statement encapsulated in a for loop. Three dots indicate a node that has been simplified, normally it should be a subtree.

corresponding to the rule. An example rule for an if statement could be:

```

keyword('if') symbol('(') condition symbol(')') symbol('{') statement
    symbol('}') keyword('else') symbol('{') statement symbol('}')
  
```

where `condition` and `statement` are other rules. This rule corresponds to the if node. The creation of the if node will recursively create the nodes for the other rules.

For more details on grammars, rules and parsing, we recommend other sources (*e.g.* [3]).

### *Semantic analysis*

Once the AST is created by the parser, we have a basis for the steps to come. The semantic analysis consists in creating contexts or scopes and enforcing some language rules. The context or scope aims at knowing which variable are declared where and what is their type. Based on this analysis, the compiler can check the correctness of a program with respect to the use of variables. Subsequently, an error at this step is generated because of a variable misuse such as a wrong type, a redeclaration, a non-existence, *etc.*

So far, an intuitive understanding could be that the lexer is a smart reader which feeds the parser token by token (or batch of tokens by batch of tokens). The parser would then be a tool giving substance to the tokens, it gives *depth* to the flat sequence of tokens. The structure arises from the parser. In this context, the semantic analysis can be considered as the first true intelligence of the compiler as it really goes into the code to ensure its correctness.



## ***Optimization***

This module is optional. Its function is to make the AST more efficient using some generic or language specific strategies. For instance, a for loop iterating 10 times without any doubt (so all executions do exactly 10 iterations of this for loop) can be optimized by replacing the loop by 10 times the body of the loop. This is more efficient because it avoids the condition checking and the jumps in the code. Of course there exist many different kind of optimizations. There is no general rule to ensure here.

This step of the compilation can also contain some general AST transformations such as the ones we will apply to implement comprehensions.

Sometimes such optimizations are not always made at this moment of the compilation. Recall that our goal here is to have a general idea of the whole process of compiling when using a virtual machine.

## ***Code generation***

The code generation is the final step of the compiler. It consists in the transformation of the AST accompanied by its contexts (or scopes) into the language specific to the target virtual machine. This step strongly depends on the virtual machine used.

One could decide to directly write code using this specific language but this shows to be really hard and generally not straightforward at all. Such a language does not aim at being written by humans, it aims at being systematically generated by a compiler and then at being executed by the right virtual machine.

Recall that our goal is to make a programming language more powerful.

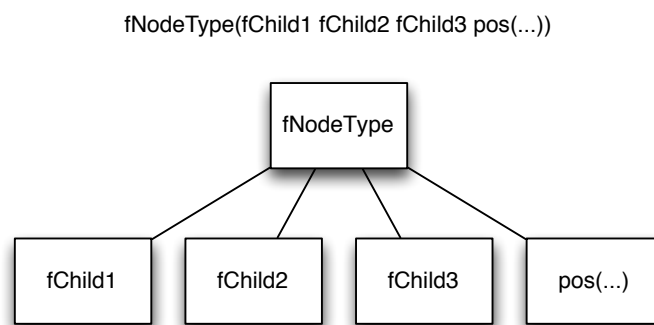
## ***Mozart***

Oz is based on a relatively small syntax called the core language. Many possibilities that Oz offers are actually syntactic sugars. It means that these expressions or statements are transformed by the compiler into structures respecting the core language. The latter is detailed in Peter Van Roy's book ([14]). Some syntactic sugars were explained in the previous chapter. Like for loops or functions, comprehensions are syntactic sugars.

The compiler of Mozart is more complex than this generic compiler because of all the possibilities it offers. For a more detailed analysis, we recommend Raphaël Bauduin's Master's thesis ([2]). Briefly, the AST created by the parser is passed through several passes that modify it. One of this pass is the transformations of syntactic sugars into their equivalent unsugared structures. This is done by the *unnester* which will be developed in the last section of this chapter.

## 2.2. The abstract syntax tree of Mozart

The main structure of the compilation is the one that we are interested in. It is the AST. In Mozart, nodes are tuples. The label indicates of what type is the node while the fields are all the parts of the node along with a position for some nodes. As tuples are used, the order of the children is important (except if the features are explicitly specified) and it often follows an intuitive logic. The label always starts with an 'f' except the position nodes. The reader can find a short example in figure 2.2. The remaining of this section describes the relevant existing nodes of the AST of Mozart. The next section describes the new nodes we will use for comprehensions.



**Figure 2.2:** Small Mozart AST example: node *fNodeType* with three children and a position.

### Positions

Also referred to as coordinates, positions exist in three shapes: delimitation, unique or empty. Here is the syntax:

```

%% Delimitation
% from file F1 at line L1 column C1 to file F2 line L2 column C2
pos(F1 L1 C1 F2 L2 C2)
% example
pos("file.oz" 10 14 "file.oz" 10 17)

%% Unique
% at file F at line L column C
pos(F L C)
% example
pos("file.oz" 10 14)

%% Empty: position does not exist because it was created by the compiler
unit
  
```

For simplicity, the explanations for the other structures will always be with an empty position.

### ***Basic types***

The basic types we will use are atoms, integers, and variables (strings are in fact lists so declared as such). Here is the syntax:

```
% atom, integer and variable have the same structure: f...(... Pos)
% examples:
fAtom('iAmAnAtom' unit) % atom 'iAmAnAtom' with empty position
fInt(1 unit)             % integer 1 with empty position
fVar('MyVar' unit)       % variable MyVar with empty position
```

### ***Equalities***

Equalities consist in value assignments. Note that, thanks to declarativity, left and right members have the same status. It is like an equation. Here is the syntax:

```
% A = B : equality between nodes A and B with empty position
fEq(A B unit)
```

### ***Skip statements***

The skip statement is a statement that does nothing so its node is very simple:

```
% skip statement with empty position
fSkip(unit)
```

### ***Local declarations***

Local declarations are made of two parts. The declarations part states the new variable to declare and the body part defines the scope of the freshly declared variables. Here is the syntax:

```
% syntax
fLocal(Declarations Body Position)
% example: declare A with empty position with undefined Body
fLocal(fVar('A' unit) ... unit)
```

### ***Operations***

Operations regroup all the transformations that can be applied to variables such as addition, field selection, *etc.* The operation is the first field (stated as an atom) and the second is a list of operands (the order of the elements matters). Here is the syntax:

```
% syntax
fOpApply(Operation [Operands] Position)
% example: A+2 with empty position
fOpApply('+' [fVar('A' unit) fInt(2 unit)] unit)
% example: A.1-2 with empty position
fOpApply('-',
  [fOpApply('.', [fVar('A' unit) fInt(1 unit)] unit)
   fInt(2 unit)]
  unit)
```

### *Procedure and function calls*

The first thing to state, the first field, is the name of the procedure (the variable containing it). As the number of arguments can be anything, the arguments are put inside a list where the order matters. This list is the second part. Here is the syntax:

```
% syntax
fApply(Procedure [Arguments] Position)
% example: {Produce 1 10} with empty position
fApply(fVar('Produce' unit) [fInt(1 unit) fInt(10 unit)] unit)
```

### *Procedure and function declarations*

The declaration of a procedure requires four parts (plus the position). The first is the name, the variable containing the procedure. The second is the list of arguments for which the order matters. The third is the body of the procedure. The fourth is a list of flags. Here is the syntax:

```
% syntax
fProc(Procedure [Arguments] Body [Flags] Position)
fFun(Function [Arguments] Body [Flags] Position)
% example: proc {Produce A B} ... end with empty position
fProc(fVar('Produce' unit) [fVar('A' unit) fVar('B' unit)] ... nil unit)
% example: fun lazy {Produce} ... end with empty position
fFun(fVar('Produce' unit) nil ... [fAtom('lazy' unit)] unit)
```

### *If statements*

If statements have three parts: the condition, the true statement and the false statement. A useful operator is the one that takes the conjunction of two conditions. Here are the syntaxes of if statements and conjunctions:

```
% syntaxes
fBoolCase(Condition True False Position)
fAndThen(Condition1 Condition2 Position)
% example: if true then 1 else 0 end with empty position
fBoolCase(fAtom(true unit) fInt(1 unit) fInt(0 unit) unit)
% example: true andthen false with empty position
fAndThen(fAtom(true unit) fAtom(false unit) unit)
```

### *Threads*

Threads only have a body and a position. Note that position can not be empty for threads. Here is the syntax:

```
% syntax
fThread(Body Position)
% example: thread 1 end at position "file.oz" line 1 column 10 to 22
fThread(fInt(1 unit) pos("file.oz" 1 10 "file.oz" 1 22))
```

### *Successive statements*

A important thing is when there are successive statements. So far, we have not seen how to handle them. As usual, Oz uses a recursive definition that allows us to concatenate two statements. No position is used since this concatenation two by two does not appear in the input code. Here is the syntax:

```
% syntax
fAnd(Statement1 Statement2)
% example: A=1 B=2
fAnd(fEq(fVar('A' unit) fInt(1 unit) unit) fEq(fVar('B' unit) fInt(2 unit) unit))
% example: A=1 B=2 C=3
fAnd(fEq(fVar('A' unit) fInt(1 unit) unit)
      fAnd(fEq(fVar('B' unit) fInt(2 unit) unit)
            fEq(fVar('C' unit) fInt(3 unit) unit))
    )
```

### *Records, tuples and lists*

As lists are tuples and tuples are records, these three structures use the same node. The first field is the label. The second is an ordered list of the fields. As a record has fields with

features, there is another node to link the feature to its value. When features are integers from 1 to the length of the record then we can omit the feature. Here are the syntaxes:

```
% syntaxes
fRecord(Label [Fields] Position)
fColon(Feature Value)
% example: record(a:1 2:b) with empty position
fRecord(fAtom(record unit)
  [fColon(fAtom(a unit) fInt(1 unit))
   fColon(fInt(2 unit) fAtom(b unit))])
  unit)
% example: tuple(1:a) with empty position
fRecord(fAtom(tuple unit)
  [fColon(fInt(1 unit) fAtom(a unit))]) unit)
% example: a##b#c with empty position
fRecord(fAtom('#' unit)
  [fColon(fInt(1 unit) fAtom(a unit))
   fColon(fInt(2 unit) fAtom(b unit))
   fColon(fInt(3 unit) fAtom(c unit))])
  unit)
% example: [1] with empty position
fRecord(fAtom('|' unit)
  [fInt(1 unit) fAtom(nil unit)])
  unit)
% example: [1 2] with empty position
fRecord(fAtom('|' unit)
  [fInt(1 unit)
   fRecord(fAtom('|' unit) [fInt(2 unit) fAtom(nil unit)] unit)])
  unit)
% example: [1 2 3] with empty position
fRecord(fAtom('|' unit)
  [fInt(1 unit)
   fRecord(fAtom('|' unit)
     [fInt(2 unit)
      fRecord(fAtom('|' unit)
        [fInt(3 unit) fAtom(nil unit)]
        unit)]
     unit)])
  unit)
```

## *Layers and range generators*

A layer is the combination of a ranger and its range (see chapter 1). A range is generated using a range generator. Depending on the kind of range generator we have, we use different nodes. Here are the syntaxes for the for loop generators:

```
% syntaxes
forPattern(Range Generator) % layer generated with the keyword 'in'
forFrom(Range Generator)   % layer generated with the keyword 'from'
forGeneratorC(Init Condition Step) % C-style generator
forGeneratorInt(From To Step)      % Ints generator
forGeneratorList(List)             % List/stream generator
% example: A in 1..2 (no step so unit is used as Step)
forPattern(fVar('A' unit)
            forGeneratorInt(fInt(1 unit) fInt(2 unit) unit))
% example: A in 1..2 ; 3
forPattern(fVar('A' unit)
            forGeneratorInt(fInt(1 unit) fInt(2 unit) fInt(3 unit)))
% example: A in 1;true;A+1
forPattern(fVar('A' unit)
            forGeneratorC(fInt(1 unit)
                           fAtom(true unit)
                           fOpApply('+', [fVar('A' unit) fInt(1 unit)] unit)
                           ))
% example: A in 1;A+1 (when no condition, Step is unit)
forPattern(fVar('A' unit)
            forGeneratorC(fInt(1 unit)
                           fOpApply('+', [fVar('A' unit) fInt(1 unit)] unit)
                           unit
                           ))
% example: A in List
forPattern(fVar('A' unit) forGeneratorList(fVar('List' unit)))
% example: A in [1]
forPattern(fVar('A' unit)
            forGeneratorList(fRecord(fAtom('|' unit)
                                     [fInt(1 unit) fAtom(nil unit)]
                                     unit)))
% example: A in {Produce}
forPattern(fVar('A' unit)
            forGeneratorList(fApply(fVar('Produce' unit) nil unit)))
% example: A from Function
forFrom(fVar('A' unit) fVar('Function' unit))
```

## ***For loops***

For loops have two parts in addition to their position. The first is a list of layers, the second is the body. Note that layers can also be special features of for loops like **break** or **continue**. A layer can also be a flag like **lazy**. Here are the syntaxes:

```
% syntaxes
fFOR([Layers] Body Position)
forFeature(Feature Variable)
forFlag(Flag)
% example: for [...] do skip end with no position
fFOR([...] fSkip(unit) unit)
% example: for A from F do skip end with no position
fFOR([forFrom(fVar('A' unit) fVar('F' unit))] fSkip(unit) unit)
% example: for break:B A from F do skip end with no position
fFOR([forFeature(fAtom(break unit) fVar('B' unit))
      forFrom(fVar('A' unit) fVar('F' unit))]
      fSkip(unit)
      unit)
% example: for lazy A in [1] do skip end with no position
fFOR([forFlag(fAtom(lazy unit))
      forPattern(fVar('A' unit)
                  forGeneratorList(fRecord(fAtom('|' unit)
                                           [fInt(1 unit) fAtom(nil unit)]
                                           unit))))]
      fSkip(unit)
      unit)
```

## ***Step Points***

This node is used by the code generator and also as the root of for loop transformations to encapsulate the coordinates. This node has three parts: the body, the tag and a position. Here is the syntax:

```
% syntax
fStepPoint(Body Tag Position)
% example: desugared for loop with no position
fStepPoint(... % the transformation
           'loop'
           unit)
```



## 2.3. New nodes for comprehensions

For this section, we describe the six new nodes to add in order for the AST to contain list comprehensions and the new node for record comprehensions. We start with list comprehensions from the most specific one since the most general one (the one containing a whole list comprehension) depends on the specific ones. Most of the nodes we use have already been declared in the previous section. We reuse many nodes coming from ranges and for loops. Indeed layers already have nodes to describe them: `forPattern`, `forFrom` and `forFlag`.

The last node described in this section is the new one needed for record comprehensions.

### *Bounded buffers*

When a bounded buffer is specified we need to store the size of the buffer along with the stream concerned. `fBuffer` does not exist in the official version. Here is the syntax:

```
% syntax
fBuffer(Stream Size)
% example: Xs:10
fBuffer(fVar('Xs' unit) fInt(10 unit))
```

### *Record generators*

When using a record as a range, we need a special node. Since this functionality does not exist in for loops, we created it. This node requires four children. The first one contains the current feature of the field, the second one is for the value of the field, the third one is for the record itself and the last one is for the optional condition function on nested records (the decider). In the official version, the last child does not exist. Here is the syntax:

```
% syntax
forRecord(Feature Value Record Condition)
% example: F:A in Rec
forRecord(fVar('F' unit) fVar('A' unit) fVar('Rec' unit) unit)
% example: -:A in Rec of Fun
forRecord(fWildcard(unit) fVar('A' unit) fVar('Rec' unit) fVar('Fun' unit))
```

### *Expressions*

As we can specify a condition for each output, we need a structure to contain both the expression and its optional condition (set to `unit` if not given). Recall that the node `fColon` is

used to link an output expression to its feature. Here is the syntax:

```
% syntax
forExpression(Expression Condition)
% example: A
forExpression(fVar('A' unit) unit)
% example: a:A
forExpression(fColon(fAtom(a unit) fVar('A' unit)) unit)
% example: a:A if ...
forExpression(fColon(fAtom(a unit) fVar('A' unit)) ...)
```

### *List comprehension levels*

Levels need to be described as nodes. They must contain a list of layers (all the layers of this level) and the condition of the level. When no condition is given, we put `unit`. They also have a position. Here is the syntax:

```
% syntax
fForComprehensionLevel([Layers] Condition Position)
% example: suchthat A in L with no position
fForComprehensionLevel([forPattern(fVar('A' unit)
                                forGeneratorList(fVar('L' unit)))
                        unit unit)
% example: suchthat A from F with no position
fForComprehensionLevel([forFrom(fVar('A' unit) fVar('F' unit))] unit unit)
% example: suchthat lazy B in LB:3 if true with no position
fForComprehensionLevel([forFlag(fAtom(lazy unit))
                        forPattern(fVar('B' unit)
                                forGeneratorList(
                                    fBuffer(fVar('LA' unit)
                                            fInt(3 unit))
                                ))
                        fAtom(true unit)
                        unit)
```

### *List comprehensions*

Finally we need to add the node containing a whole list comprehension. It is the root of a list comprehension, the one we will transform in the next chapter. This node must contain all the expressions to output, so for this we use a list of expressions. Each expression might have a feature. The other thing to have is a list of all the levels in the list comprehension. Finally there is the body. Again, there is a position. Here is the syntax:

```

% syntax
fListComprehension([Expressions] [Levels] Body Position)
% example: [A suchthat ...] with no body, no position
fListComprehension([forExpression(fVar('A' unit) unit)
                    [fForComprehensionLevel(...) unit unit])
% example: [A B suchthat ... suchthat ... do {Fun}] with no position
fListComprehension([forExpression(fVar('A' unit) unit)
                    forExpression(fVar('B' unit) unit)]
                    [fForComprehensionLevel(...)
                    fForComprehensionLevel(...) ]
                    fApply(fVar('Fun' unit) nil unit) unit)
% example: [A#B suchthat ...] with no body, no position
fListComprehension([forExpression(
                    fRecord(fAtom('#' unit)
                            [fVar('A' unit) fVar('B' unit)]
                            unit)
                    unit)]
                    [fForComprehensionLevel(...) ]
                    unit unit)
% example: [fun:{F A} if ... suchthat ...] with no body, no position
fListComprehension([forExpression(
                    fColon(fAtom(fun unit)
                            fApply(fVar('F' unit) [fVar('A' unit)] unit)
                            )
                    ... ) ]
                    [fForComprehensionLevel(...) ]
                    unit unit)

```

A representation of a list comprehension AST is in figure 2.3.

## Collectors

We have just seen how the expressions (to output) are handled in the AST. However we did not describe how to deal with collect operations. This is because it requires a specific node. For this we reuse the node `forFeature` with two children but we change their meaning a bit. This first element is the collect atom and the second is the combination of the feature and the collector. In the official version, `forFeature` does not exist for list comprehension collectors.

```

% syntax
forFeature(Collect FeatureCollector)
% example: c:collect:C
forFeature(fAtom(collect unit) fColon(fAtom(c unit) fVar('C' unit)))

```



## 2.4. Syntactic sugars compilation in Mozart

This section details the parts of the compiler that have to change in order to implement comprehensions. The real intelligence in implementing comprehensions is the transformation of the syntactic sugar into recursive procedures and a few other structures. The idea is to have a powerful procedure that transforms the whole transformation (one for record and the other for list comprehensions). These procedure will both be called by the *unnester*.

Comprehension transformations can be considered as two modules so we will implement them inside two new files called *ListComprehension.oz* and *RecordComprehension.oz*. To include these files in the compilation process, we need to add their name into the structure `COMPILER_FUNCTORS_0` of the file *mozart2/lib/CMakeLists.txt*.

In order to reach this call and to make all the compilation process work, some adaptations are required in addition to the transformations. These adaptations are detailed in this section along with the reasons for these changes.

The main adaptation is the parser. Indeed, the grammar changes so we have to specify the new rules coming from the new nodes we have described in the previous section and from the syntax of chapter 1.

### *The lexer, lexical analysis*

The syntax previously seen at the end of chapter 1 does not use any extra keyword except **suchthat**. Indeed, we decided to reuse many existing keywords. It is rarely a good idea to add keywords because simple atoms would then become keywords. This can lead to compatibility issues. However, in our case, it is a good idea to add this keyword because comprehensions are a new concept. This allows keeping Oz syntactically clean.

To add the keyword, we just need to insert it inside the list of keywords in the file *Lexer.oz*. This list is called `OzKeywords`. The lexer does the rest of the lexical analysis for us.

### *Macros*

Macros are special instructions that one can use to change the state of the compiler or the state of the running Mozart. We do not go into details since macros are not relevant to explain for lists comprehensions. The only thing that matters here is that every node of an AST can be asked if it contains any macro. This is done in *Macros.oz*.

The adaptation required for macros is to add the rules of checking whether the new node types contain a macro. This is done by recursively checking the children of the nodes if the node can contain a macro. Some nodes which are destined to be small can not contain macros. For instance, position nodes can not contain macros. Here are the adaptations:

```

fun {ContainsMacro E} % true iff node E contains at least one macro
  case E
  ...
  [] fListComprehension(- - -) then % fListComprehension can
    false % not contain macros
  [] fForComprehensionLevel(- -) then % fForComprehensionLevel
    false % can not contain macros
  [] forExpression(- -) then % forExpression can not
    false % contain macros
  [] fBuffer(- -) then % fBuffer can not
    false % contain macros
  [] forRecord(- - - -) then % forRecord can not
    false % contain macros
  [] fRecordComprehension(- - - - - -) then % fRecordComprehension
    false % can not contain macros
  ...
end
end

```

### *The parser, syntax analysis*

The parser of Mozart is based on a Parsing Expression Grammar (PEG). This kind of grammar is similar to classical Context-Free Grammars (CFGs). The main difference is that alternatives are ordered with PEGs but not with CFGs. This means PEGs do not try a rule if one of its predecessors is a match. PEGs can be parsed in linear time if memoization (see [4]) is used. The new version of Mozart uses Packrat parsing, a parser implementation in linear time (see [5]). For more information on PEGs, we recommend external sources (*e.g.* [6]).

The part of the parser that interests us is the definition of the syntactic rules. These rules define the grammar of Oz. Every symbol has a rule, typically composed of several ordered alternatives. Each alternative is followed by a function called when the parser chooses the corresponding rule. This function must return the AST elements of the rule.

First let us introduce some useful general rules used by the new one:

```

ruleName: modifier (rule)
% where modifier can be one of (non-exhaustive)
alt(rule ...) % one of the rules, returns the node of the chosen rule
plus(rule) % at least 1 time the rule, returns a list of nodes
star(rule) % rule as many times as wanted, returns a list of nodes
opt(rule no) % 0 or 1 time the rule, returns node if rule, no otherwise
seq2(wd rule) % atom wd followed by rule, returns node of rule

```

```

seq1(rule wd) % rule followed by atom wd, returns node of rule
% where rule is
pB           % rule for beginning position
pE           % rule for ending position
modifier(rule) % recursive definition
[rule ... rule] % an ordered sequence of rules
otherRule    % another rule
token        % a token

```

Along with the definition of a rule is a function called when the rule is chosen by the parser. It returns the corresponding node. An example of the format of a rule is the following:

```

lvl6: % rule named lvl6
  alt(% rule is one of the followings
    [lvl7 pB '|' pE lvl6] % lvl7|lvl6 → list
    % rule tupled with the function returning the node
    #fun{$ [S1 P1 - P2 S2]} % the argument is matched to the rule
      % create a node fRecord
      fRecord(fAtom('|' {MkPos P1 P2}) [S1 S2])
    end
    lvl7 % next level, no function because function in lvl7
  )

```

A list comprehension returns a record. So we need to put a new rule at the right place to create a record. A record comprehension also returns a record so the new rule goes at the same place. This happens in the rule called **atPhrase**. Here are these new rules:

```

Rules = g(...
  atPhrase: alt(... % others atPhrase rules
    [pB '(' plus(forExpression) plus(forComprehension)
      opt(seq2('do' phrase) unit) ']' pE]
    #fun{$ [P1 - EX FC BD - P2]}
      fListComprehension(EX FC BD {MkPos P1 P2})
    end
    [pB '(' plus(forExpression) 'suchthat' lvl0 ':' lvl0 'in' lvl0
      opt(seq2('of' lvl0) unit) opt(seq2('if' lvl0) unit)
      opt(seq2('do' phrase) unit) ')' pE]
    #fun{$ [P1 - EX - F - V - R OF IF DO - P2]}
      fRecordComprehension(EX fColon(F V) R OF IF DO {MkPos P1 P2})
    end
    ...)
  ...)

```

Record comprehensions do not need any extra definitions since all they need are inside the rule defined just above so the rest of the rules are all for lists comprehensions.

Sometimes the output of a list comprehension is a list (then it means that the expression part of the list comprehension is made of one expression with no feature) or a tuple instead of a record. This does not change anything else. One could be tempted to change list or tuple specific rules but since we do not use their syntactic sugars, we just have to change the rule of records (recall that lists and tuples are specializations of records) as previously done.

Now we still need to define the two rules used in the previous definition. The first new rule is **forExpression**. It is greatly inspired from the definition of record fields mixed with an optional condition.

The second new rule used, **forComprehension**, is inspired from the ones used by for loops but they differ a bit because list comprehensions can have bounded buffers, go through records and because they can not have the same features as for loops like **break:Break**.

Note that the order of the alternatives are important since pattern are tested in order until any match is found.

```

forExpression: alt( % an output specification
  [ feature ':' atom ':' lvl0 ]
  #fun{$ [F - A - L]} forFeature(A fColon(F L)) end
  [ subtree opt(seq2('if' lvl0) unit) ]
  #fun{$ [S1 S2]} forExpression(S1 S2) end
)
forComprehension: % a level (multi layer and optional condition)
  plus([pB 'suchthat' plus(forListDecl) opt(seq2('if' lvl0) unit) pE])
  #fun{$ Ss} % Ss is the list of nodes returned by plus(...)
    % each element becomes a fForComprehensionLevel node
    {Map Ss fun{$ [P1 - FD CD P2]}
      fForComprehensionLevel(FD CD {MkPos P1 P2})
    }
  end
end
forListDecl: alt( % a layer
  [ lvl0 'in' forListGen ] % generated by in
  #fun{$ [A - S]} forPattern(A S) end
  [ lvl0 'from' lvl0 ] % generated by from
  #fun{$ [A - S]} forFrom(A S) end
  [ lvl0 ':' lvl0 'in' lvl0
    opt(seq2('of' lvl0) unit) ] % generated by record
  #fun{$ [F - A - R OF]} forRecord(F A R OF) end
  atom % lazy flag
  #fun{$ A} forFlag(A) end
)
forListGen: alt( % range generator (in)

```



```

[lv10 '..' lv10 opt(seq2(';' lv10) unit)] % Ints
#fun{$ [S1 - S2 S3]} forGeneratorInt(S1 S2 S3)end
['(' forGenC ')'] % C-style
#fun{$ [- S -]} S end
forGenC % C-style
[lv10 ':' lv10] % bounded buffer
#fun{$ [S1 - S2]} forGeneratorList(fBuffer(S1 S2))end
lv10 % stream/list
#fun{$ S} forGeneratorList(S)end

```

### Checking the syntax

Mozart verifies the syntax of its AST. This is essential to spot structural errors and for pattern matching. Indeed, if a node, a tuple, does not have the right syntax then it might cause the compiler to crash since the pattern does not have the right number of fields. This syntax checking is done in *CheckTupleSyntax.oz*. To ensure that the compiler accepts the new nodes, we need to add them in the syntax checker. Here are the adaptations:

```

proc {Phrase X} % checks if X is a phrase or not
case X
...
[] fListComprehension(Es Fs Bd C) then
  {ForAll Es Phrase} % check all expressions
  {ForAll Fs Phrase} % check all levels
  {Phrase Bd} % check body
  {Coord C} % check coordinates
[] fForComprehensionLevel(RG CD C) then
  {ForDecl RG} % ForDecl checks range generator
  {Phrase CD} % check condition
  {Coord C} % check coordinates
[] forExpression(E C) then
  {Phrase E} % check expression
  {Phrase C} % check condition
[] fBuffer(Xs N) then
  {Phrase Xs} % check list/stream
  {Phrase N} % check buffer size
[] forRecord(F A R Fc) then
  {Phrase F} % check feature
  {Phrase A} % check ranger
  {Phrase R} % check record
  {Phrase Fc} % check record
[] fRecordComprehension(Es A R F Cd Do C) then
  {ForAll Es Phrase} % check all expressions

```

```

    {Phrase A}      % check ranger
    {Phrase R}      % check record
    {Phrase F}      % check filter
    {Phrase Cd}     % check condition
    {Phrase Do}     % check body
    {Coord C}       % check coordinates
    ...
end
end

```

### *Coordinates in case of failure*

In case of error, we must ensure that nodes can be located by the compiler in order for it to provide a useful error report for the user. The file *TupleSyntax.oz* implements a function returning the coordinates of a given node. The function abstracts the fact that some nodes do not have a position child. In that case, we must call recursively the function with the child having the position. Of course, we must handle the new nodes in this function. Here is the adaption:

```

% returns the coordinates of the outermost leftmost construct
fun {CoordinatesOf P}
  case P
  ...
  [] fListComprehension(_ _ _ C) then
    C % coordinates are inside the node
  [] fForComprehensionLevel(_ _ C) then
    C % coordinates are inside the node
  [] forExpression(E _) then
    {CoordinatesOf E} % coordinates are the ones of the expression
  [] fBuffer(E _) then
    {CoordinatesOf E} % coordinates are the ones of the list/stream
  [] forRecord(F _ _ _) then
    {CoordinatesOf F} % coordinates of feature
  [] fRecordComprehension(_ _ _ _ _ C) then
    C % coordinates are inside the node
  ...
end
end

```

### *The unnester, transforming syntactic sugars*

As stated above, the unnester calls the procedure transforming comprehension syntactic

sugars into a desugared expression. The following adaptations are done in the file *Unnester.oz*.

```

functor
import
    ...
    ListComprehension(compile)    % import the procedure in
                                   % ListComprehension
    RecordComprehension(compile) % import the procedure in
                                   % RecordComprehension
    ...
...
define
    ...
    % call _Comprehension.compile when f_Comprehension encountered
    class Unnester
        ...
        meth UnnestExpression(FE ToGV $)
            case FE
            ...
            [] fListComprehension(_ _ _ _) then
                Unnester, UnnestExpression(
                    {ListComprehension.compile FE} ToGV $)
            [] fRecordComprehension(_ _ _ _ _ _) then
                Unnester, UnnestExpression(
                    {RecordComprehension.compile FE} ToGV $)
            ...
        end
    ...
end
    ...
end

```

### ***Keywords in Emacs***

Another change to make in order to get a syntactic coloration in Emacs is to specify the new keyword **suchthat**. This is done by adding it as a keyword in the file *opi/emacs/oz.el*.

## Chapter 3

# Functional transformations

This chapter contains the main goal of this Master's thesis. It consists of all the functional transformations of comprehensions into procedures and how we implemented them all.

### 3.1. Functional transformations

In this section, we will first explain how for loops are transformed and get inspiration from that because for loops are similar to list comprehensions with one level. Then we will incrementally see how to transform all the functionalities of list comprehensions. At the end, we will see transformations needed for record comprehensions.

The complete pseudo-code for the transformation of list comprehensions is in appendix A.1. The one for record comprehensions is in appendix A.2.

#### *An inspiration, for loops examples*

As stated in the previous chapter, for loops transformations are encapsulated inside an AST node called `fStepPoint`. What interests us is its first child, the actual transformation. As we can not use explicit state like cells, we have to create a recursive procedure to fake the iterations of a for loop and to store the states. The idea for this procedure is to have as argument all the information needed to handle the different iterations.

There are four different range generators for loops accept. The first one is when a list is given, either directly or inside a variable. Here is an example:

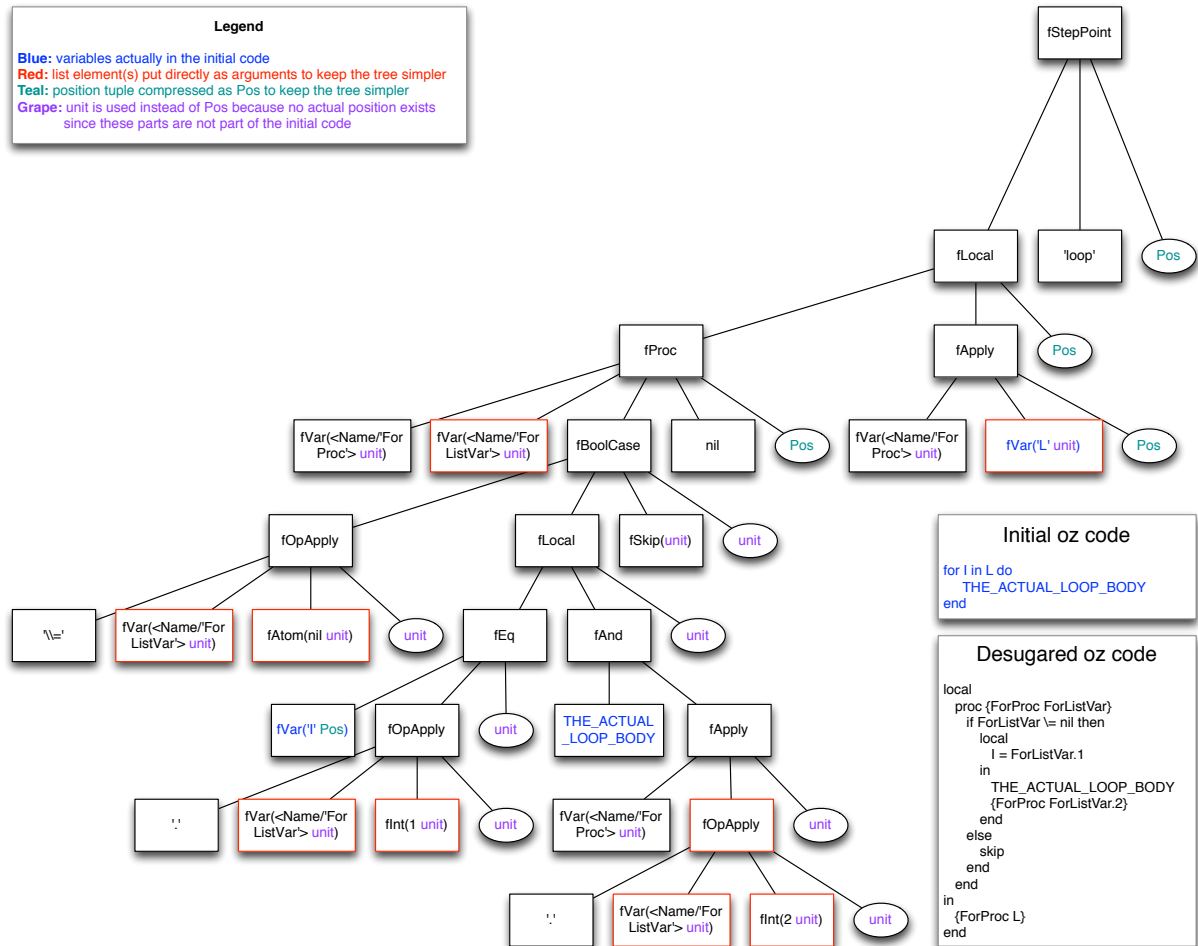
```
for A in [1 2] do ... end
```

The handy thing about lists is their constant shape that allows us to go through them using a simple recursive procedure like this:

```
proc {For L}
  if L \= nil then A = L.1 in
    ... {For L.2} % body that can use A, then recursive call
  end
end
```

This procedure does exactly what is needed by a for loop. Indeed, inside the body, one has access to a variable *A* which takes the value of the current element of the iteration.

The compiler of Mozart transforms such a for loop into the AST represented in figure 3.1.



**Figure 3.1:** Representation of the transformed AST for a loop with one list as generator.

Nodes are represented by rectangles except position nodes which are inside circles. Some variable names are created by the compiler itself. In that case, their name is a bit different. When the compiler gives the name *MyVar* to a variable then the AST contains a variable named *<Name/'MyVar'>*. This is to avoid any collision between the user variables and new ones.

When a child of a node is a list, we sometimes put all its elements successively as direct children for simplicity. These elements are then in red rectangles.

We can observe that the actual body of the step point is the declaration of the recursive procedure followed by a call to this procedure.

From this we can get the general layout of such a kind of transformation. Here is some terminology we will use:

**Initiator** The argument for the call to the recursive procedure, the complete list in our example.

**Condition** The condition (typically on the argument of the recursive procedure) to fulfill in order to keep on iterating, whether the list in argument is empty or not in our example.

**Declaration** The declaration to make in order to get a variable called as the ranger containing the element of the current iteration, the declaration of **A** in our example.

**Next call** The expression to use as argument for the recursive call, the expression **L.2** in our example.

The other generators that a for loop can have are C-style generators, integer generators and function generators. The main principle is exactly the same: one recursive procedure. There are four modifications to make for the other generators which correspond to the four definitions above.

### *Returning a list*

From the general layout seen above, we first need to return something. Indeed, for loops do not return anything (except when some features are used like `collect`) but list comprehensions always return something. So we must adapt the recursive procedure used by for loops. We need to add the result which is a list created in parallel with the traversing. To keep the same order as the input, we will use a procedure with a new argument, the next list to assign (recall that a list is in fact composed of many nested lists).

Terminal recursion is a property that we want to keep for efficiency reason and for laziness and streams that we will see later. For now, we just ensure that this property is fulfilled to make our procedure efficient because at each recursive call, the calling procedure can be forgotten since it does not hold any information that is going to be used after the call. This is better for both time and memory. If we ensure terminal recursion then laziness and handling streams will be simple and straightforward.

Assume we want to output the square of the input list. At each iteration we assign the current result to the square of the current element appended with the next list to assign. The latter must have been declared beforehand. This technique ensures keeping the order (recall that accumulators reverse the output).

When there are no more iterations to do, we must set the current list to the empty list otherwise the result of our procedure will not be completely bound. In other words, we would return a stream. The resulting recursive terminal procedure is the following:

```

proc {For L ?Result}
  if L \= nil then A in
    A = L.1
    ... % body that can use A
    local Next in % the next list to assign
      % the current list is A*A appended with the next list
      Result = A*A|Next
      {For L.2 Next} % recursive call with Next
    end
  else
    Result = nil % no more iteration , end list
  end
end
end

```

Calling this procedure binds the last argument to the resulting list. This procedure will be used and transformed to add functionalities. This is the basis for the remaining of this chapter.

### ***C-style generators***

We begin with the C-style generator because it is the most explicit. Starting from the recursive procedure returning a list, we just need to adapt four things. Let us illustrate these modifications with an example. Consider a C-style generator like this:

```

A*A in 0 ; A < 10 ; A+1

```

The *initiator* is the first part after the *in*, so 0. The *condition* is the second part while the *next call* is the last part. As for the *declaration*, there is none as we directly get A as argument.

Here is a complete example. The procedure becomes:

```

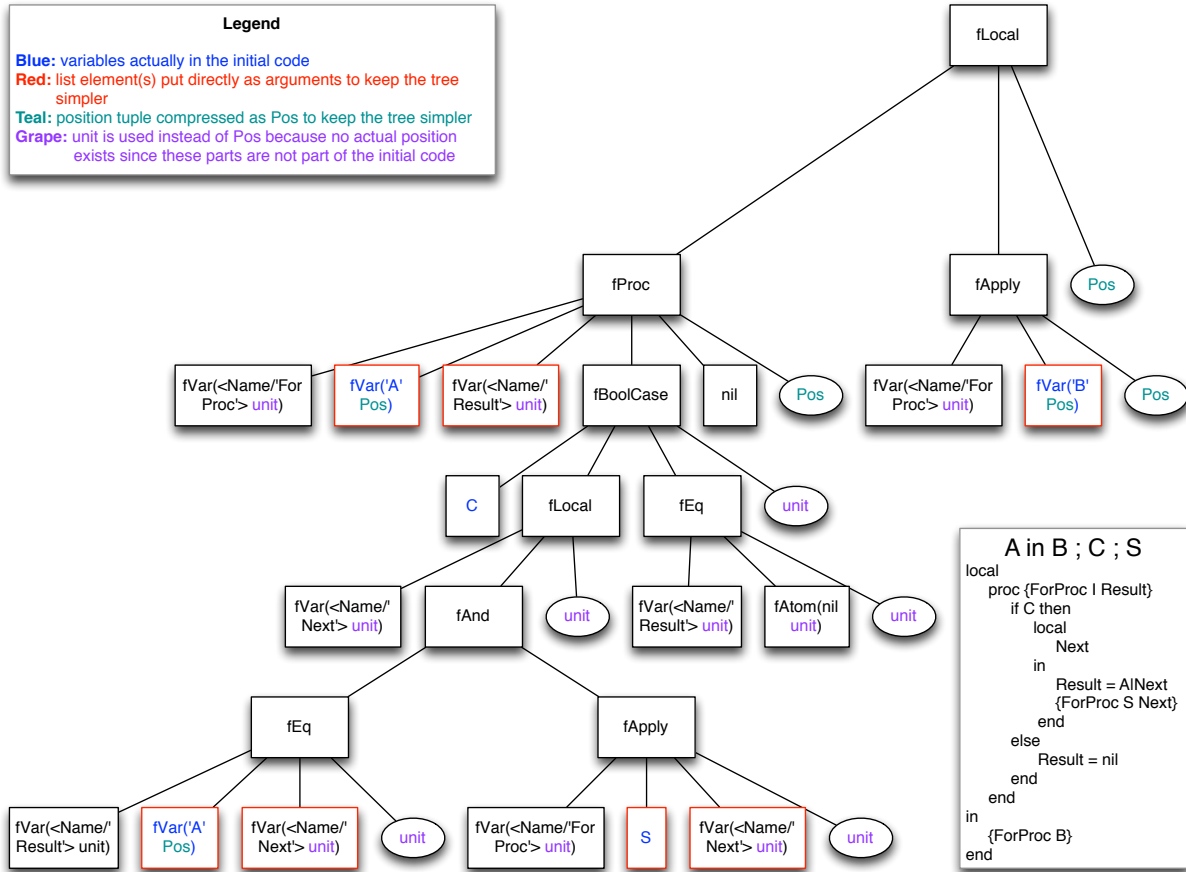
proc {For A ?Result} % A is declared as argument
  if A < 10 then % condition of C-style
    local
      Next
    in
      Result = A*A|Next
      {For A+1 Next} % recursive call
    end
  else
    Result = nil
  end
end
end

```

The call to this procedure is the following:

```
Result = {For 0} % argument is initiator of C-style generator
```

A representation is depicted in figure 3.2.



**Figure 3.2:** Representation of the AST for C-style generator and its call.

The file *Transformations/List\_comprehensions/Tr\_Ex\_C.oz* contains a coded example.

### Integer generators

Integer generators can be thought of as specializations of C-style generators. This is true because one can always express an integer generator as a C-style generator. Consider the following generic integer generator:

```
A in Low..High ; Step
```

It can always be transformed into:

```
A in Low ; A ≡ High ; A+Step
```



If the step is not specified as it is optional then we just replace it by 1.

Thanks to this transformation we can indeed handle integer generator as special cases of C-style generators. Integer generators can then be considered as an ergonomic and expressive way to create sequential lists as in Matlab.

The file *Transformations/List\_comprehensions/Tr\_Ex\_Int.oz* contains a coded example.

### **List generators**

List generators have already been approached in the paragraph about for loops. However, we formalize them in this paragraph.

The main difference between C-style generators (and integer generators since they are a specialization of the latter) and list generators is that the ranger is not passed as argument. This is because list generators require a whole list to be passed as argument. This is not a problem at all but this just implies declaring the ranger inside the recursive procedure.

Formally a list generator of the generic shape

A in L % L may be a list directly declared e.g. [4 2]

leads to an *initiator* being L, the list itself. The *condition* is the fact that the list as argument is empty (`nil`) or not. The *declaration* is the assignation of A to L.1. Finally the *next call* is the tail of the list, L.2.

The file *Transformations/List\_comprehensions/Tr\_Ex\_List.oz* contains a coded example.

### **Function generators**

The last kind of generator are function generators. The canonical shape is the following:

A in fun{\$} ... end % the name of a function could be specified instead

As the elements directly come from a function call, they do not have any *condition* (recall that they never stop by themselves). As the function does not have any argument, the *initiator* and the *next call* are both just a call to that function. As we directly use the result of the function call as argument, we do not need any *declaration*, the argument is the ranger.

The file *Transformations/List\_comprehensions/Tr\_Ex\_From.oz* contains a coded example.

## Record generators

We previously stated that there are four generators. This is the case when we consider for loops. We have decided to add one generator. It consists in a record. As a record can itself contain records inside its fields, we have decided to go only through the terminals (empty records or type other than record) of this nesting. The terminals are traversed in depth-first mode. To provide more flexibility we also provide a way to specify whether a record has to be considered as a terminal. Let us see how to transform this step by step.

Consider the following generic layout of a record generator where the function is optional (recall that the ranger must have a feature to distinguish record generators from list generators):

```
F:A in r(a:1 b:r(aa:2 bb:3 cc:r(aaa:4 dd:5) c:6) of fun{$ F V} ... end
%% A variable containing a record could have been used for the record
%% A variable containing a function could have been used for the 'of' part
```

A question arises: how to systematically traverse a nesting in depth-first mode? The solution is to use a stack. A stack is a data structure where one can push (add) and pop (remove) elements. The policy for removing elements is last-in first-out (LIFO). It means that the next element removed (the first-out) is the last pushed element (the last-in).

Such a structure can be implemented using a simple list in Oz. Indeed, one can easily add and remove elements at the beginning of the list.

A stack makes the traversal very easy. We begin by pushing the children of the root record of the nesting onto the stack. We then iterate while the stack is not empty. The idea is to pop a node from the stack and visit it. Visiting means doing whatever we want with this node (we will see that later) and push all its children (while keeping their order) onto the stack. This procedure ensures visiting all nodes in a depth-first mode.

Since we have to keep track of both the values and the features of the fields, we will use two stacks: one for the features and one for the values. These two stacks will then always have the same size and, more precisely, element  $i$  of the features stack is the feature of the value at element  $i$  of the values stack.

Our goal is to be able to declare the ranger ( $F:A$  in our example) with these two stacks and the root record itself. For this we state (as invariant) that at all time, the top of the stacks contains the next node to visit. For now, let us assume that we have a function that returns the next node and updates the stacks.

With this function, we have enough to specify the *initiator*, the *condition*, the *declaration* and the *next call* for the recursive procedure iterating over a record.

The initiator is a tuple labeled **stacks** with two elements. The first is the arity of the record, the second is all the values in the same order. So in our example, the initiator is:

```
stacks({ Arity Record1At1} {Record.toList Record1At1})
% where, Record1At1 was declared beforehand
Record1At1 = r(a:1 b:r(aa:2 bb:3 cc:r(aaa:4) dd:5) c:6)
```

Our invariant is verified, the two first elements of the two stacks correspond to **a:1**.

The condition is similar to the one of list generators, we keep iterating if one of the two stacks is non-empty, it does not matter which one because they always have the same size. So the condition of our example is:

```
%% Stacks1At1 is the argument of the recursive procedure
Stacks1At1.1 \= nil
```

The declaration consists in calling the function returning the next feature, value and stacks. In our example, the declaration is:

```
%% FindNext is the name of the function not implemented yet
%% Stacks1At1 is the argument of the recursive procedure
F#A#NewStacks1At1 = {FindNext Stacks1At1}
```

With this declaration, our ranger is now declared and can be used. The third declared variable contains the updated stacks.

The next call uses the freshly declared variable **NewStacks1At1**. Indeed, it is enough to call the next iteration.

Now we can see how to implement the **FindNext** function. Basically, it must check whether the top of the values stack is a record or not. If it is a record, then it must push the children of this record onto the two stacks. If it is not a record then the function can return the feature, the value and the updated stacks. Note that an atom is in fact a record with no fields. So we do not consider atoms, or empty records, as records because it would make no sense to visit their (non-existing) children. We check this by ensuring that the arity of the record is not empty. Because functions do not really exist, we use its equivalent procedure. This procedure is the following:

```
1 proc {FindNext stacks(FeatStack ValueStack) ?Result}
2   local
3     Feat = FeatStack.1 % top feature
4     Val = ValueStack.1 % top value
5     PoppedFeatStack = FeatStack.2 % one feature has been popped
```

```

6      PoppedValueStack = ValueStack.2 % one value has been popped
7  in
8      if {IsRecord Val} andthen {Arity Val} \= nil then
9          {FindNext stacks({Append {Arity Val} PoppedFeatStack}
10              {Append {Record.toList Val} PoppedValueStack})
11              Result}
12      else
13          Result = Feat#Val#stacks(PoppedFeatStack PoppedValueStack)
14      end
15  end
16 end

```

Our invariant is always verified because the modifications on both stacks are symmetric.

The last thing to handle for record generators is their optional function condition. We just need to call it and use its result at the right place. This place is the condition of line 8 of the function `FindNext`. To make this procedure general, we add an argument to this procedure. For the implementation, we will actually create two procedures `FindNext`, one with an extra argument for the function to call, one without. So in our example, the procedure becomes:

```

proc {FindNext stacks(FeatStack ValueStack) Fun ?Result}
  local
    Feat = FeatStack.1 % top feature
    Val = ValueStack.1 % top value
    PoppedFeatStack = FeatStack.2 % one feature has been popped
    PoppedValueStack = ValueStack.2 % one value has been popped
  in
    if {IsRecord Val} andthen {Arity Val} \= nil
      andthen {Fun Feat Val} then % call user function
        {FindNext stacks({Append {Arity Val} PoppedFeatStack}
          {Append {Record.toList Val} PoppedValueStack})
          Result}
      else
        Result = Feat#Val#stacks(PoppedFeatStack PoppedValueStack)
      end
    end
  end
end

```

The file *Transformations/List\_comprehensions/Tr\_Ex\_Record.oz* contains a coded example.

Each generator implies a time complexity in  $O(n)$  where  $n$  is the number of elements of the generators (the number of nested record in the case of record generators). For function generators and C-style generators without condition,  $n$  is infinite.

### ***Level conditions***

Even if we did not see how to transform multi layer nor multi level list comprehensions, we can already see how to handle a level condition. The implementation of such conditions is the same with one or several layers and/or levels.

What a condition says is that we can not add elements when it evaluates to false. But we must still make the recursive call. So we just have to encapsulate the addition of an element to the list inside a condition. Here is the modified recursive procedure:

```

proc {For {{ Arguments }} ?Result}
  if {{ Range conditions }} then
    local Next in
      if {{ Level condition }} then % as before
        Result = A*A|Next
      else % condition not fulfilled , Next is Result
        Result = Next
      end
      {For {{ Next calls }} Next}
    end
  else
    Result = nil
  end
end
end

```

Note that we now use `Next` to take the level condition into account. This way, the call to the next iteration happens only once. When we add an element, we must use the new next list to assign. When no element is added, then the old next list to assign is also the new one. One could decide to write only two different recursive calls. One when the level condition is true, and one when it is false. This would work but it is better not to do it because the AST would contain more structures given by the user.

### ***Multi layer***

All the transformations of the generators used in our new syntax have been explained so let us now focus on how to handle several layers in one list comprehension.

Having  $N$  layers means going through  $N$  generators simultaneously. So we have to use the same recursive procedure because otherwise we would not traverse them in parallel but sequentially. Additionally, using the same procedure is more efficient because we only use one iteration *infrastructure* to traverse  $N$  generators.

What we have to do in order to be able to handle any number of layers is to use  $N$  arguments instead of 1 of the recursive procedure. This implies also using  $N$  initiators,  $N$  conditions  $N$  declarations, and  $N$  next calls instead of 1. A generic example follows.

The one layer version of the recursive procedure follows. The notation  $\{\{ \dots \}\}$  is used as an abstraction for range dependent expressions like the initiator, the condition, the declaration and the next call.

```

%% Call
{For {{ Initiator Generator1 }} Result}
%% Procedure
proc {For Arg1 ?Result}
  if {{ Condition Arg1 }} then
    local
      {{ Declaration Arg1 }}
    in
      local Next in
        Result = {{ Expression }}|Next
        {For {{ NextCall Arg1 }} Next}
      end
    end
  else Result = nil
  end
end

```

Adapting this generic procedure for  $N$  layers leads to:

```

%% Call
{For {{ Initiator Generator1 }} ... {{ Initiator GeneratorN }} Result}
%% Procedure
proc {For Arg1 ... ArgN ?Result}
  if {{ Condition Arg1 }} andthen ... andthen {{ Condition ArgN }} then
    local
      {{ Declaration Arg1 }} ... {{ Declaration ArgN }}
    in
      local Next in
        Result = {{ Expression }}|Next
        {For {{ NextCall Arg1 }} ... {{ NextCall ArgN }} Next}
      end
    end
  else Result = nil
  end
end

```

That is it. We do not need to change anything more. Note two things. On the one hand note that, as expected, we stop iterating as soon as at least one of the  $N$  conditions becomes false. On the other hand note that some generators do not have any condition and/or declaration but this does not change anything, we just omit them if they do not exist.

The file *Transformations/List\_comprehensions/Tr\_Ex\_From.oz* contains a coded example of a multi layer list comprehension along with other functionalities.

### ***Multi level***

Multi layer was quite straightforward to transform. However, multi level brings more complexity. Unlike layers, each level requires its own procedure. We still can put all the layers of a level in one procedure but we now need to handle as many procedures as there are levels.

The order of the layers does not matter as long as they are in the same level. This is not true for levels. The first level must call the second and not the other way around. To be more specific, a nested level is called by its parent level. When the child level is done iterating, it must then call back its parent. This structure can be seen as a chain in both directions. We begin by the first element (the first level) and we iteratively go to the last element. At the end of the iteration, we go back from the last to the first element. This shows us two important facts. A level must be aware of its parent and child (they are both unique). Secondly, we start and end at the first level. The latter implies that the first level is the one in which we have to end the output list. It also implies that only the last level appends elements to the output.

All in all, the first level must be the only one to finish the output. Instead of finishing the output, each level (except the first) must call back its parent (they all have one since we do not do this for the first level).

The last level must be the only one to add elements to the output. So instead of adding elements to the output, each level (except the last) must call its child (they all have one since we do not do this for the last level). The last level must add elements then it must call itself back otherwise it would break the recursion.

A level that is not the last one has to call its child. This call must also contain the initiators of the child level. Nevertheless, the child must call its parent with all the next calls of its parent. Here are these two generic calls:

```
%% Parent calls child
{LevelChild {{ Child_initiators }} {{ Rangers_of_this_level }}}
%% Child calls back parent
{LevelParent {{ Next_calls_for_parent_level}}}
```

To illustrate this, consider the following list comprehension:

```
%% List comprehension with 2 simple levels
L = [A+B suchthat A in 1..2 suchthat B in 3..4]
```

The calls become (parent is level 1 and child is level 2):

```
%% Parent (level 1) calls child (level 2).
{Level2 3 A}
%% Child (level 2) calls back parent (level 1).
{Level1 A+1}
```

Note that we must pass all rangers to levels that follow them because they can be used anywhere after their declaration. Here `A` must be passed to level 2 because it might use it. Furthermore, it is required to call back level 1.

Another issue arises when a generator is a list. The ranger of a list generator does not have the necessary information to make the next call. This implies that we must also pass the current list as argument in order for the next level to be able to call back. Here is a complete example:

```
%% List comprehension with 2 simple levels generated with a list
L = [A+B suchthat A in LA suchthat B in LB]
%% Level 1
proc {Level1 Arg1 ?Result}
  if Arg1 \= nil then
    local A = Arg1.1 in
      {Level2 LB A Arg1} % call child
    end
  else Result = nil % finish output
  end
end
%% Level 2
proc {Level2 Arg1 A ExtraArg1 ?Result}
  if Arg1 \= nil then
    local B = Arg1.1 in
      local Next in
        Result = A+B|Next % add element
        {Level2 LB.2 A ExtraArg1 Next} % call itself back
      end
    end
  else {Level1 ExtraArg1.2 Result} % call parent
  end
end
```



Calling the list comprehension is done by this instruction:

```
Result = {Level1 LA}
```

As this call depends on the initiators of the first level, we have decided to add a *fake level* to make the call to the list comprehension constant. We called this level the pre-level. Here is an example:

```
proc {PreLevel ?Result}
  {Level1 LA Result}
end
```

Now a simple call to the pre-level works for any list comprehension. Note that this pre-level will get more complex as we add functionalities.

The file *Transformations/List\_comprehensions/Tr\_Ex\_From.oz* contains a coded example of a multi level list comprehension along with other functionalities.

### ***Multi output***

Up to now, we only saw how to output one list. Let us see how to get rid of this limitation. Our decision is that when there is more than one output, list comprehensions output a record of lists. The features are given inside the specifications of the list comprehension or we have to keep track of the features ourselves. In the latter case, features are integers from 1. Of course, we can mix specified and unspecified features.

When one specifies the following list comprehension:

```
[A 1:A+1 suchthat A ...]
```

the result will be a tuple with two fields. The second field being generated with **A**. This might seem weird but it is mandatory because we need to have access to every field of the output record. So we have to know that the user already used 1 as feature when we parse the first expression.

The only way of knowing this is to go through all the features once before going through every output specification. We have to pre-analyze. This pre-analysis traverses all the specified features that are integers and creates an ordered list with these. As we have to traverse all the features, we create this incrementally, inserting every new element at its right position to keep the list sorted in ascending order. If the new element is already in the list then it means that the user specified two identical features, we then raise an error.

Once we have this sorted list of features, we go through all fields and assign the smallest integer (starting from 1) not in our sorted list as feature for fields that do not have one. This

way, we know that there can not be any collision if no error occurs.

With one output, we just pass the last argument, **Result**, as the next list to be assigned. With several outputs, we use a similar idea. Every output list is put inside the resulting record and instead of passing the next list to assign, we pass a record with the same arity and with all the next lists to assign.

For this step, we then use the pre-level to assign the result to a record. Then every time we add elements we must update the record of results. We always use '#' as label for the output in order to allow the syntactic sugar of tuples to work. Here is an example:

```

1 %% List comprehension
2 [A 1:A+1 A-1 suchthat A in 1..2]
3 %% Pre-level
4 proc {PreLevel ?Result}
5     %% create the record with the output lists
6     Result = {Record.make '#' [1 2 3]}
7     {Level1 1 ?Result}
8 end
9 %% Level 1
10 proc {Level1 A ?Result}
11     if A ≤ 2 then Next in
12         local Next1 Next2 Next3 in
13             Result.1 = A+1|Next2
14             Result.2 = A|Next1
15             Result.3 = A-1|Next3
16             Next = '#'(1:Next2 2:Next1 3:Next3)
17         end
18         {Level1 A+1 Next}
19     else
20         Result.1 = nil
21         Result.2 = nil
22         Result.3 = nil
23     end
24 end

```

Only the pre-level, the first level and the last level are concerned by this change. Indeed, the pre-level must assign the result to the corresponding record. The first level must finish all the results at the end. The last level must add elements to all results.

To make the implementation easier and cleaner, we have decided that the level procedures always act as if the output was a record of lists. Even if there is only one non-featured output, so even if we must output a list, the level procedure handle a record of lists. When the output is a list, we need to adapt the pre-level a bit so that the output list is the only of the record.

Here is the modification:

```

1 %% Pre-level
2 proc {PreLevel ?Result}
3   {Level1 {{ Initiators }} '#'(1:Result)}
4 end

```

Thanks to this trick, the output is a list when it needs to be one while all the level procedures do not care about this. Consequently they are simpler because they do not have to handle returning a list.

The file *Transformations/List\_comprehensions/Tr\_Ex\_Int.oz* contains a coded example of a multi output list comprehension along with other functionalities.

### ***Output conditions***

Output conditions could have been explained together with level conditions but they do not really make sense without the multi output functionality. More specifically, they do not change the result. But, because of their different implementation, the execution is a bit different even if it is transparent for the user.

With level conditions, we filter iterations that do not fulfill the level condition. Here we do not want to filter iterations because conditions might differ from one output to another. So we must act just before adding the element to the list and this action must be output specific.

We can do this easily when we assign the list to assign to the specified expression appended with the next list to assign. We just need to assign the current list to assign to the next list to assign if the condition is not verified. Here is the transformation:

```

%% Condition passed
Result.X = {{ ExprX }}|NextX
%% Condition not passed
Result.X = NextX
%% Express both in one expression
Result.X = if {{ OutputConditionX }} then {{ ExprX }}|NextX else NextX end

```

The main advantage of this technique is that nothing more has to change while all the previous functionalities still work.

The file *Transformations/List\_comprehensions/Tr\_Ex\_Int.oz* contains a coded example of a list comprehension with an output condition along with other functionalities.

## *Laziness*

Laziness is implemented using the procedure `WaitNeeded` that waits (sleeps) until the value passed as its only argument is required for a computation or anything else that makes it needed. So the only thing to do is to put some `WaitNeeded` at the right places inside the level procedures thanks to our respect of terminal recursion. Recall that there can be one lazy flag per level.

The right place to put the `WaitNeeded` call is at the very beginning of the procedure. One could say that it should be closer to the addition of elements or to call to the next level. If we do that then the level condition is tested. If the generator is a lazy stream then we make one element needed before using it. This is not what we want so we wait before doing anything in level procedures.

When there is only one output, we can simply call `WaitNeeded` as follows:

```
% Only one element, its feature is F
{WaitNeeded Result.F}
```

When there is more than one output, the procedure must be woken up when any of the output lists has been made needed. This requires to wait for all output in parallel. We need to create one thread for every output. Each thread waits for its output to be needed. In the main thread (the one of the procedure) we declared a variable reachable inside the waiting threads. This variable is unbound. Any thread that does not have to wait anymore, because its output was made needed, assigns this variable to `unit`. This will not lead to an error since all threads assign the variable to the same value, `unit`. After the declaration of all threads, the procedure waits for this variable to be bound.

Once it is bound, it means that at least one output was needed so the procedure must go on executing. Since the other outputs will be bound as well as the one needed, all the threads will terminate (`WaitNeeded` stop waiting when its argument is bound). Here is the adaptation:

```
% Features are E F G
local
  AtLeastOneNeeded
in
  thread {WaitNeeded Result.E} AtLeastOneNeeded = unit end
  thread {WaitNeeded Result.F} AtLeastOneNeeded = unit end
  thread {WaitNeeded Result.G} AtLeastOneNeeded = unit end
  {Wait AtLeastOneNeeded} % wait for AtLeastOneNeeded to be bound
end
```

This is enough to make any level procedure lazy because they already were terminal recursive.

The file *Transformations/List\_comprehensions/Tr\_Ex\_Int.oz* contains a coded example of a lazy list comprehension along with other functionalities.

### ***Bodies***

The body of a list comprehension is just a statement (that can be composed of many statements) executed just before adding elements to the output. This is the place to put the body because it is like the body of some nested loops. We decided to put this body before appending elements to the output because this makes the use of the `Delay` procedure more convenient. Furthermore we think it is more intuitive.

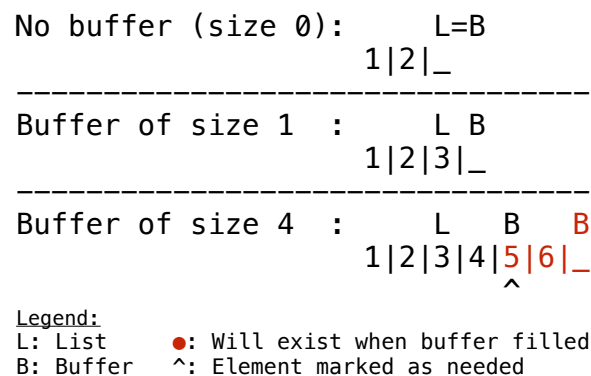
To sum up, all the instructions of the body are put just before assigning the output the next output to assign in the last level procedure. An example will be given with collectors.

The file *Transformations/List\_comprehensions/Tr\_Ex\_Body.oz* contains a coded example of a list comprehension with a body along with other functionalities.

### ***Bounded buffers***

Before transforming bounded buffers, let us see the principle used to implement a bounded buffer. Recall that this functionality is unofficial.

Rephrasing what a bounded buffer does leads to this definition: to handle a buffer of size  $n$  on the list  $L$ , one can keep track of the list  $n$  elements ahead of  $L$ . So this means that we are going to keep two variables, one with our current list (the same as for list generator) and one  $n$  elements ahead. The latter ensures that there are at least  $n$  elements (following the current one) that have been marked as needed. The figure 3.3 gives a graphical example.



**Figure 3.3:** *Representation of bounded buffers.*

So what we need to do is to declare a variable holding the buffer. The latter must be  $n$  elements ahead of the corresponding list. Then when update the list to its second element after

which we do the same for the buffer. After the buffer is initialized, it is like a mirror of the list. A buffer is qualified of full when it is exactly  $n$  elements ahead of its corresponding list (the list might catch up with the buffer).

To implement this, we first need to keep track of the buffer. So we will put the buffer in a tuple together with its corresponding list instead of just putting the list as argument of the level procedure. Every time we take the second element of the list, we do the same for the buffer. This only happens in the next call.

There are still two important things to think about for the procedure. First the buffer will always reach the end of the input list before the list argument. So we must take this case into account. When the buffer has reached the end, it does not have any effect anymore. Second, we have to put computations on the buffer inside other threads. This is because we want the list to be able to go through the elements already created independently of the creation of the elements. Without these threads we would force the buffer to *always be full* but this is not how it should work so we must use threads. Here are the adaptations to the level procedure:

```
%% Signature: arguments (before: Arg1)
Arg1#Buffer1
%% Next call (before: Arg1.2)
Arg1.2#thread % inside a thread to make Arg1 independent of Buffer1
    if Buffer1 == nil then
        Buffer1 % nothing if buffer has reached the end
    else Buffer1.2 % mirror action
    end
end
```

The declaration does not change, nor does the condition. We still have the list and that is all we need for those.

The initiator must change. We must set the second element of the tuple to the list  $n$  elements ahead of the input. To do this, we use the function `List.drop` that does exactly what we need. Here is the new initiator:

```
%% Buffer size is N
%% New initiator (before: List1)
List1#thread {List.drop List1 N} end
```

The buffer is created inside a thread because we do not have to wait until the buffer is full to begin iterating.

The file `Transformations/List_comprehensions/Tr_Ex_Bounded_Buffer.oz` contains a coded example of a list comprehension with a bounded buffer along with other functionalities.

The reason why this functionality is unofficial is that one can use bounded buffers without the functionality implemented. Usually a bounded buffer is implemented using a lazy function that takes a list/stream as only argument and returns it lazily. Transparently, there is the buffer handled. Here is this function:

```
%% Ss : list or stream
%% N  : size of the buffer
fun {BoundedBuffer Ss N}
  fun lazy {Aux Ss End}
    case Ss of nil then nil
    [] H|T then
      H|{Aux T thread if End==nil then End else End.2 end end}
    end
  end
  %% Initialize End to be N elements in advance
  End = thread {List.drop Ss N} end
in
  {Aux Ss End}
end
```

So using this function, we can do exactly the same as what we added as unofficial functionality.

### *Collectors*

The body being transformed, we can now move on to collectors. To implement collectors, we need to use explicit state, namely cells. Indeed, the call to a collector can happen at any time and we have no way of handling the change of state in a purely declarative environment.

Using cells does not change the fact that list comprehensions are declarative. From the outside one can not see the difference so it is acceptable to use cells. One constraint must be respected though. At some point we will access the cell and then write a value. This must be done atomically (the first can not happen without the other happening right after). This is done using the procedure **Exchange**. It ensures the read and write operations to be atomic. This procedure takes three arguments. The first is the cell. The second is an expression that will be equal to the content of the cell. The third is the new value to put in the cell.

The principle for a collector is that we keep track of a cell storing the initial list that can be filled by calling the collector. Every time we call this collector, we have to assign the list to the given element followed by a new unbound list that will replace the value of the cell. So for now, we have a cell and a procedure for each collector:

```

%% Cell
CellC = {NewCell _} % cell contains an unbound list
%% Procedure
proc {CollectorC X} % X is the element to add
  local N in % new unbound list
    {Exchange CellC X|N N} % old unbound list is assigned to X|N
    % cell now contains N, the next list to assign
  end
end
end

```

What the **Exchange** does is first to ensure the equality between the content of the cell and  $X|N$ . Recall that, thanks to declarativity, we can write  $A=42$  or  $42=A$  to express exactly the same instruction. It states that the two values must be equal to each other. Here  $A$  is the only one that can be assigned, so Oz forces it to be equal to 42, leading to an error if  $A$  was already bound to another value. Calling **Exchange** is similar to executing the following instructions atomically:

```

%% Exchange call
{Exchange CellC X|N N}
%% Non atomic equivalent
X|N = @CellC % or @CellC = X|N
CellC := N

```

Ending the list can be done by calling:

```
{Exchange CellC nil _}
```

We do not care about the new value of the cell, once the list is finished, we can forget the cell.

Now that we have the infrastructure to handle collectors, we have to integrate them into our transformation. First we must link the initial content of the cell to our output. This can be done inside the pre-level. We just need to state that the field of the output record (recall that we always handle a record and never a list with collectors because the feature is mandatory) is the initial content of the cell. So when we assign the result inside the pre-level, collectors are handled as follows:

```

%% [c:collect:C A suchthat A ...]
Result = {Record.make '#' [1 c]}
Result.c = @Cellc

```

This implementation requires that the cell is accessible anywhere inside the list comprehension because the body might use them, or any other part of the list comprehension. The **WaitNeeded**



needs it as well. So the cells and the collector procedures are declared in the same scope as all the level procedures.

Let us now end the list at the end of the list comprehension. We already stated how to end the list. This instruction must be executed at the same place where we assign the outputs to `nil` in the normal case.

The last thing to do is to handle laziness. We do as for the normal output except that we wait for the current content of the cell to be needed. All in all this gives us the following transformation:

```
%% List comprehension
[c:collect:C suchthat lazy A in GENERATOR do BODY]
%% Transformation
local
  Cellc = {NewCell _}
  proc {C X} N in {Exchange Cell1 X|N N} end
  proc {PreLevel ?Result}
    Result = {Record.make '#' [c]}
    Result.c = @Cellc
    {Level1 1 Result}
  end
  proc {Level1 A ?Result}
    {WaitNeeded @Cellc}
    if {{ Condition of GENERATOR }} then
      {{ BODY }}
      {Level1 {{ Next call of GENERATOR }} Result}
    else
      {Exchange Cellc nil _}
    end
  end
end
end
```

The file *Transformations/List\_comprehensions/Tr\_Ex\_Collect.oz* contains a coded example of a list comprehension with collectors along with other functionalities.

The reason why this functionality is unofficial is that one can use collectors without the functionality implemented. Furthermore, it does not add any expressivity. It is just sometimes a more convenient way to use list comprehensions. Here is how one can use collectors without the implementation we have just detailed:

```

%% With a collector
{Browse [1:collect:C suchthat A in 1..5 do {C A}].1}
%% Equivalent without a collector
local L in
  local C = {NewCell _} in
    L = @C
    _ = [_ suchthat A in 1..5 do local N in {Exchange C A|N N} end]
    @C = nil
  end
  {Browse L}
end

```

### *Record comprehension*

The transformation concerning record comprehensions will use some parts of the one for list comprehensions but some parts will differ since we have to output a record (or a record of records).

Throughout this transformation, we will give the transformation for the following record comprehension:

```

(A+1 if A > 4 suchthat A in r(r1(1 2 3) r2(4 5 6))
  of true if {Label A} = r2 do {Browse A})

```

The first thing about record comprehensions is that we only allow one level and one layer. So we only have one recursive level procedure in addition to the pre-level.

The pre-level is similar to the one of list comprehensions. The only thing that changes is the initiator takes three arguments. As we only deal with one layer and one level, we decided to put more information that will be used later. Similarly to list comprehensions, we can output several records. So the pre-level is as follows:

```

proc {PreLevel ?Result}
  local
    Rec = r(r1(1 2 3) r2(4 5 6))
  in
    {Level {Label Rec} {Arity Rec} Rec '#'(1:Result)}
  end
end

```

However, the level procedure is very different. When the output is a list, filtering elements is easy because we do not care about the exact position of the element inside the list. However when we output a record, we have to know in advance if a field will be there or not. This requires

a pre-processing step. More precisely, each record that is not considered as a terminal has to be preprocessed to compute its children that have to be considered. The pre-processing takes a record and its arity as arguments. It outputs the new arity of the record. It removes all the filtered features. The pre-processing also outputs one list per output record. Each of this list contains all the features of the record as argument that have to be considered (filtered by the filter and the output-specific filters). The arities of each output are enough. But the new arity allows us to make to rest of the transformation more efficient because we know which features can be skipped.

Here is this pre-processing procedure:

```
%% Procedure
proc {For1 Ari Rec ?NewAri ?arities (1:Ari1)}
  if Ari \= nil then
    local
      F = Ari.1
      A = Rec.F
      Next Next1
    in
      if {IsRecord A} andthen {Arity A} \= nil andthen true then
        %% recursive
        if {Label A} == r2 then
          Ari1 = F|Next1
          NewAri = F|Next
        else
          Ari1 = Next1
          NewAri = Next
        end
      else %% terminal
        Ari1 = if A > 4 then F|Next1 else Next1 end
        NewAri = F|Next
      end
      {For1 Ari.2 Rec ?Next ?arities (1:Next1)}
    end
  else
    Ari1 = nil
    NewAri = nil
  end
end
```

Now by calling this procedure, we get the results with which we can create the output. With all this data, we can create the output record and then call another procedure. The latter assigns output leaves to their value and calls the level procedure if a field is a record. So indirectly the level procedure recursively calls itself. Here is the level procedure:

```

proc {Level Rec ?Result}
  local
    Aris NewAri Next1
  in
    Aris = arities(1:Next1)
    {For1 Ari Rec ?NewAri ?Aris}
    Result.1 = {Record.make Lbl Next1}
    {For2 NewAri Rec Aris Result}
  end
end

```

The function `Record.make` returns a record with the specified label and features and with all values unbound.

The last thing to implement is the procedure `For2`. This procedure goes through all fields that have passed the filtering. If the field is a record and the decider is true, then we call the level procedure, this is the indirect recursive call. When the field is (considered as) a terminal, then we output the expression given in the record comprehension after executing the body if it exists. Here is the code:

```

proc {For2 Ari Rec arities(1:Ari1) ?Result}
  if Ari \= nil then
    F = Ari.1
    A = Rec.F
    Next1
  in
    if {IsRecord A} andthen {Ariety A} \= nil andthen true then
      %% recursive
      {Level {Label A} {Ariety A} A '#'(1:Result.1.F)}
      Next1 = Ari1.2
    else %% terminal
      {Browse body} % body
      if Ari1 \= nil andthen F == Ari1.1 then
        Result.1.F = A-1
        Next1 = Ari1.2
      else Next1 = Ari1
      end
    end
  end
  {For2 Ari.2 Rec arities(1:Next1) ?Result}
end
end

```

Understanding this procedure shows that we traverse the nesting formed by the input record in depth-first mode as for list comprehensions. The decider has access to **A** because it is declared in the ranger. Here we declare the ranger in the procedures **For1** and **For2**, so that respectively the filter and the decider have access to the variables declared in the ranger. As the feature is not declared in the ranger in our example, we create our own variable.

The file *Transformations/Record\_comprehensions/Tr\_Ex\_unofficial.oz* contains a coded example of a record comprehension.

## 3.2. Implementation

For this part, we need to implement the previously mentioned files *ListComprehension.oz* and *RecordComprehension.oz* which respectively transform list and record comprehension sugars into procedures and a procedure call. They are both called in *Unnester.oz*. This section describes how all the transformations explained in the previous section are implemented together.

### Architecture

Our goal is to replace the nodes **fListComprehension** and **fRecordComprehension** into their respective transformation in the AST. We already saw how to transform them functionally so we will now detail the architecture to implement these transformations. We will not explain all the details of the implementation. For this we recommend reading the commented code in the file *Modifications/ListComprehension.oz* and *Modifications/RecordComprehension.oz* which are respectively the same as *mozart2/lib/compiler/ListComprehension.oz* and *mozart2/lib/compiler/RecordComprehension.oz*. These two couples of files are respectively almost identical to *Transformations/List\_comprehensions/Test\_ListComprehension.oz* and *Transformations/Record\_comprehensions/Test\_RecordComprehension.oz* which respectively implement the same function but that can be tested directly with the examples provided inside the file. The two latter are the files we used to test our implementation before compiling Mozart.

The main function is called **Compile**. It takes the comprehension node as argument and returns the complete transformation tree rooted at **fStepPoint**. As seen before, the latter node has three children, the first (the body) is the transformation itself, the second is a label set to 'listComprehension' or 'recordComprehension' depending on which comprehension we are dealing with. The last child is the position that we set to the position of the comprehension. The body is a **fLocal** because we need to declare the pre-level and level procedures. The body of this main **fLocal** is just a call to the pre-level. For now on, every position is set to **unit** except when we use the data given in the comprehension, when we create threads (because they require having a position), when we declare the level procedures or when we call the pre-level.

Each level is created by a function that puts the level procedure inside a dictionary and then returns the variable containing the function.

We now separate the explanations for list and record comprehensions.

### *List comprehensions*

The pre-level is created by a function that in turn calls a big function that creates levels. The pre-level calls this big function to create the first level which then calls it again to create the second level and so on. This way levels can pass all the information needed to their child. Each level takes all the arguments of its parent plus its own ones, so a level receives a list of its parent arguments, add its own ones and gives this list to its child.

Before calling the creation of the first level, the pre-level calls a function that parses all the output specifications (the list of `forExpression`) so that the first, last and lazy levels (the only ones that need to know what the output is) have all the data they need. This function handles (missing) features and collectors. It returns the collectors, the fields, the values and the output conditions in a convenient way for the rest of the compilation of the comprehension.

Each level receives the list (a list of `fForComprehensionLevel`) of all the levels after the current one as well as the index of the current level. The first level receives the complete list from the pre-level.

Each level calls a function that parses all the ranges of a given level. This function returns the new arguments for this level, the declarations to make, the iteration conditions, the arguments for the next level and whether the level is lazy.

With this data from the function and its arguments, the level function has enough to create the AST of its level procedure.

Other functions exist to help and to make things more simple and/or clear but the principle stays the same. One example is the function that creates all the range initiators when a `fForComprehensionLevel` node is given.

Another example is the function that declares the cells for collectors. The latter puts the cell declaration inside the same dictionary where the level procedures are and returns the variable containing the cell.

A last example is the function that declares the function `FindNext` used for record generators. This latter can be declared in two flavors: one with a discriminate function given, the other one without. The function declaring these functions also puts them in the dictionary and returns the variables containing the functions.

An example of the complete AST created by the following list comprehension can be found

in appendix B.

```
[A B suchthat A in LA suchthat B in BB ; CB ; SB if A+B > 4]
```

### *Record comprehensions*

Record comprehensions are simpler to implement because their structure is more constant. There always are the pre-level, one level, the `For1` and `For2` procedures. We also use a dictionary to declare these four procedures.

The function parsing the output specifications is very similar but is more simple because there are no collectors allowed. Initiators are also simpler because it is always a record.

In general the implementation of record comprehensions has been greatly inspired from the implementation of list comprehensions for two reasons. First, there are less flexible and basically a subset of the functionalities of list comprehensions. Secondly because we implemented list comprehensions first.

## Chapter 4

# Tests

This chapter goes through all the tests that our solution had to pass. All these tests can be found in the directory called *Tests*. Different shell scripts allow us to test them in a systematic way. The generic ones are also in the directory *platform-test/base* of the Mozart2 repository ([23]). In that case, their structure differs a bit in order to be executed thanks to *simple\_runner.oz* with all the other tests by using `make test`.

As we use different files, we have decided to create a functor providing some general functions to test comprehensions. The main idea is to make the test file as simple as possible. We have decided to create a function taking a list as only argument to automatize the testing. Every element of this list must be a tuple with its first element being the comprehension to test and the second being the expected result. The function goes through this list and displays messages according to the result of the testing. This functor is in the file *Tester.oz*. This file also contains other more specific functions explained when needed.

### 4.1. General tests

This section explains all the categories of tests that were created in order to test the correctness of our implementation. Our final implementation passes all these tests.

All categories are about lists comprehensions except the last one which is about record comprehensions. Most tests about concurrency will be detailed in the next chapter. All general tests can be found in appendix C.

We have decided to write the tests incrementally. First because it followed our incremental implementation of comprehensions. Second because this way we can easily see until which set of tests everything is fine. We can also detect the kind of bug easily with different files. This allows us to run only a subset of all the tests too.

#### *One level – one layer*

The first part has one goal: test whether the simplest use cases of list comprehensions work. For these basic tests, only list comprehensions with one layer, one level and one non-featured output without condition are tested. Further, there are no body nor collectors. We test all the



possible ranges except the ones with `from` or generated with records. They are tested in two other specific files explained later. We also test level condition and expressions coming from function calls. These 12 tests are in section C.1.

### ***One level – multi layer***

The next incremental step is to allow several layers. We limit ourselves to the same generators as before and level conditions are also tested. We also test empty rangers set to `_`, the wildcard. It means that we do not use it but the execution is the same. It is important to test it because our implementation can not make the assumption that the user will always provide a non-empty ranger. These 12 tests are in section C.2.

### ***Function generators***

We only have tested ranges that use the `in` keyword - and not records yet. The reason for this is that ranges generated with `from` never stop. Indeed, due to their simplicity they do not provide a stopping condition. So the only way to stop them is to use another layer which will stop - recall that when several layers are used, the level stops iterating when at least one layer is done iterating. We do the same kind of tests as in the previous paragraph but we also use function generators now. To be complete, we must test function generators where a variable is given and where the function is directly declared inside the list comprehension. These 9 tests are in section C.3.

### ***Multi level – one layer***

Now we can test several levels. To differentiate errors that come only from multi level, we first test them with one layer. Again, we limit ourselves to the same generators as before. Level conditions are also tested and we also test ranger set to the wildcard. These 7 tests are in section C.4.

### ***Multi level – multi layer***

Now that we have tested multi level and multi layer separately, we can test them together. We also have tested function generator so we mix all these together. We also test level conditions for several levels. At this point, we have tested the basis of lists comprehensions. Most other languages implementing list comprehensions would pass all the tests up to now. The tests to come check more "exotic" functionalities. A comparison will be done in the next section. These 4 tests are in section C.5.

### ***Record generators***

Now comes the time to test iterating over a record. The two arguments boolean function allowing the discrimination between nodes must also be tested as well as level conditions. We

also need to test empty features and/or values *in extenso* when the feature and/or the value are set to the wildcard. As we already tested multi layer and multi levels, we must test them with record generators too. Finally, the tests help ensuring that only the leaves of the record are considered in a depth-first mode. These 20 tests are in section C.6.

### ***Multi output***

Now that all ranges and all size of layers and levels have been tested, we can go on and test the multi output possibility of Oz list comprehensions. For now, we restrict ourselves to non-featured outputs. This is to follow the implementation process we followed. This way, we can more easily detect errors due to user specified features. As this functionality is independent of the generators, we do not test all of them again.

On the other hand, we still try level conditions, multi level and multi layer. These 7 tests are in section C.7.

### ***Labeled output***

The previous paragraph ensures that the multi output functionality works so we now can test giving a feature to some outputs. Note the fact that features in one list comprehension must always be all different otherwise the transformation can not access all fields. The tests are similar to the ones of the previous paragraph. These 8 tests are in section C.8.

### ***Output conditions***

Output specifications have been tested but we still need to test the output condition that can go with every individual output. Again, as output specifications are independent from the generators, we do not need to test all generators.

From now on, we can have outputs of different length as results of the same list comprehension. These 5 tests are in section C.9.

### ***Laziness***

Laziness will be tested in details in the next chapter. For now, we just test this functionality a bit.

For this category of tests the general test function does not work properly because it does not correctly check whether the output is really lazy. So a new test function specific to laziness must be added to the tester functor. The old function would work but it does not check the laziness which is the thing we want to test.

This function is similar to the general one but must additionally check that the output is only created at the right time. Since the number of elements generated at each need of the next value depends on the levels "deeper" than the lazy one, a extra argument is needed. It specifies how many new values are created at every need of an extra value. As the implementation is

completely independent of the rest, we can use just a subset of all the functionalities already tested. These 7 tests are in section C.10.

### ***Bodies***

Bodies can now be tested. We test them before collectors because we will use bodies for collectors. For this set of test, we also tested laziness so we needed two lists of tests. The first is for the normal tests and the second one is for the lazy tests - similar to the one used when we tested laziness. We want to test laziness again because bodies offer a new functionality so it is a potential source of new bugs for laziness. These 13 tests - 8 normal tests and 5 lazy tests - are in section C.11.

### ***Collectors***

Collectors can now be tested because bodies work. Among others, we have to test that collectors can be used anywhere inside the list comprehension and outside if given as argument of a function which is one of the main goal of collectors.

For this set of test, we also tested laziness so we needed two lists of tests. The first one is for the normal tests and the second one is for the lazy tests - similar to the one used when we tested laziness. We want to test laziness again because collectors offer a new way to output lists so it is a potential source of new bugs. These 20 tests - 10 normal tests and 10 lazy tests - are in section C.12.

### ***Miscellaneous***

As list comprehensions must still accept all pre-existing structures, some more tests are required such as testing pattern matching in the ranger. Nested list comprehensions must also be tested as well as cells. The list comprehension returning a list must also be tested with elements directly appending to them like in `1|[A for A in 2..3]`. We also must test this without the syntactic sugar.

Tests in this paragraph are typically tests that do not go into any other category. These 18 tests are in section C.13.

### ***Record comprehensions***

As record comprehensions offer less functionalities than lists comprehensions, we have decided to test all record comprehensions in one batch of tests.

We test, multi (labeled) output, bodies, deciders and filters in this category. We begin with simple tests and increase their complexity step by step. These 22 tests are in section C.14.

## 4.2. Equivalences with other languages

We now have tested enough possibilities to confirm that what can be done in other languages can also be done in Oz. Actually only the four first paragraphs of testing were mandatory to test other languages possibilities.

### *Haskell*

Haskell is a functional language that can be considered as a reference in the domain. So it is good to have equivalence between its list comprehensions and the ones of Oz. Their syntax is similar to the one of Erlang.

Again nested lists are used as well as the **take** operator that only takes the five first elements of the output. We do not have the exact same functionality in Oz but by using an extra layer with the right number of elements, we get the same effect. It is like a trick. The Haskell examples come from [13] and [16].

```
%% Haskell list comprehension
%% [listComprehension]#[expectedList]

%% [2*a | a <- L]
[2*A suchthat A in L]#[4 8 14]

%% [isEven a | a <- L]
[{ IsEven A} suchthat A in L]#[true true false]

%% [2*a | a <- L, isEven a, a>3]
[2*A suchthat A in L if {IsEven A} andthen A>3]#[8]

%% [a+b | (a,b) <- Pairs]
[A+B suchthat A#B in Pairs]#[5 3 15]

%% [a+b | (a,b) <- Pairs, a<b]
[A+B suchthat A#B in Pairs if A<B]#[5 15]

%% [(i,j) | i <- [1,2], j <- [3..4]]
[[I J] suchthat I in [1 2] suchthat J in 3..4]#[[1 3] [1 4] [2 3] [2 4]]

%% [[ (i,j) | i <- [1,2]] | j <- [3,4]]
[[[I J] suchthat I in 1..2] suchthat J in 3..4]#[[[1 3] [2 3]] [[1 4] [2 4]]]

%% take 5 [[ (i,j) | i <- [1,2]] | j <- [1...]]
[[[I J] suchthat I in 1..2] suchthat J in 1 ; J+1 - in 1..5]
```

```
#[[[1 1] [2 1]] [[1 2] [2 2]] [[1 3] [2 3]] [[1 4] [2 4]] [[1 5] [2 5]]]
```

## *Erlang*

Erlang proposes list comprehensions. Their syntax differs a bit from the one we chose. An Erlang list comprehension uses the same delimiters, the squared brackets. The separation between the output and the list specification is `||`. The keyword `in` of Oz becomes `<-`. Conditions and levels are delimited by comas.

Here are some examples of equivalences between Erlang and Oz as well as the expected outputs. The examples come from [1] and [15].

```
%% Erlang list comprehension
%% [listComprehension]#[expectedList]

%% [X || X <- [1,2,a,3,4], X > 3]
[X suchthat X in [1 2 &a 3 4] if X > 3]#[&a 4]

%% [X || X <- [1,2,a,3,4], integer(X), X > 3]
[X suchthat X in [1 2 a 3 4] if {IsInt X} andthen X > 3]#[4]

%% [X || X <- [1,5,2,7,3,6,4], X >= 4]
[X suchthat X in [1 5 2 7 3 6 4] if X >= 4]#[5 7 6 4]

%% [{A,B,C} || A <- lists:seq(1,12), B <- lists:seq(1,12), C <- lists:seq
    (1,12), A+B+C <= 12, A*A+B*B = C*C]
[A#B#C suchthat A in 1..12 suchthat B in 1..12 suchthat C in 1..12 if A+B+C
    <= 12 andthen A*A+B*B = C*C]#[3#4#5 4#3#5]

%% [{A,B,C} || A <- lists:seq(1,N-2), B <- lists:seq(A+1,N-1), C <- lists:
    seq(B+1,N), A+B+C <= N, A*A+B*B = C*C]
[A#B#C suchthat A in 1..N-2 suchthat B in A+1..N-1 suchthat C in B+1..N if
    A+B+C <= N andthen A*A+B*B = C*C]#[3#4#5]

%% [Fun(X) || X <- L]
[{Fun X} suchthat X in L]#[2 4 6 8]

%% [X || L1 <- LL, X <- L1]
[X suchthat L1 in LL suchthat X in L1]#[1 2 3 4]

%% [Y || {X1, Y} <- L, X = X1]
[Y suchthat [X Y] in LL if X = 1]#[2]
```

## Python

Python is a good example of language for list comprehensions. So it is a good idea to ensure that what can be done in Python can also be done in Oz.

Nested lists comprehensions is a nice functionality of Python that has an Oz equivalent. Furthermore, Python list comprehensions can go through tuples and output a list. Using a record generator, we can do exactly the same.

The Python examples come from [8] and [21]. Here are the equivalences:

```
%% Python list comprehension
%% [listComprehension]#[expectedList]

%% [x**2 for x in range(10)]
[{Pow X 2} suchthat X in 1..9]#[1 4 9 16 25 36 49 64 81]

%% [x for x in Li if x >= 0]
[X suchthat X in Li if X >= 0]#[0 4 8]

%% [abs(x) for x in Li]
[{Abs X} suchthat X in Li]#[8 4 0 4 8]

%% [(x, x**2) for x in range(6)]
[[X {Pow X 2}] suchthat X in 0..5]
#[[0 0] [1 1] [2 4] [3 9] [4 16] [5 25]]

%% [x for x in (1,2,3)]
[X suchthat _:X in '#'(1 2 3)]#[1 2 3]

%% [(x, y) for x in [1,2,3] for y in [3,1,4] if x != y]
[[X Y] suchthat X in [1 2 3] suchthat Y in [3 1 4] if X \= Y]
#[[1 3] [1 4] [2 3] [2 1] [2 4] [3 1] [3 4]]

%% [num for elem in Vec for num in elem]
[Num suchthat Elem in Vec suchthat Num in Elem]#[1 2 3 4 5 6 7 8 9]

%% [[row[i] for row in matrix] for i in range(4)]
[[{Nth Row I} suchthat Row in Matrix] suchthat I in 1..4]
#[[1 5 9] [2 6 10] [3 7 11] [4 8 12]]

%% [a for a in (1,2,3)]
[A suchthat _:A in tuple(1 2 3)]#[1 2 3]
```

## Comparison

From what we just tested in the previous paragraph, we can see that Oz does not need to use its full syntax to copy the behavior of list comprehensions in other languages. Oz can do things with comprehensions that other languages can not do.

## 4.3. Performance tests

Knowing that the implementation works thanks to the tests of the previous section is not enough. Tests about the time and space performance must also be executed. First we compare the memory taken by the implementation and its equivalent procedures. Secondly, we apply the same testing (often with modified parameters) for the time taken. What is expected is similar results. Indeed this would mean that the implementation is the equivalent of the procedures, which is our goal of course.

We created ten test cases and ran them for both space testing and time spacing separately. Each test was ran ten times for each technique (so the implementation and its equivalent). The reason behind running ten time each test is that we try to average out noise as much as possible. The order in which tests were run was random.

The measure we report in the graph in figure 4.1 is the ratio of the average space, respectively time, taken by the equivalent over the same measure for the implementation. So being above a hundred percents indicates a better performance for the implementation.

The ten tests are the following:

```
% 1
[A suchthat A in LL]
% 2
[a:A b:B suchthat A in 1..HA B in 1..HB]
% 3
[a:A b:B suchthat A in 1..HA suchthat B in 1..HB]
% 4
[A suchthat A from Fun B in 1..H if B > 0]
% 5
[A if A mod 2 == 0 suchthat A in thread [A suchthat lazy A in 1..Lim] end]
% 6
[FA#A if A>10 1:B A#B suchthat FA:A in 10#20 suchthat -:B in Rec]
% 7
[A+B a:A if A>0 suchthat A in 1 ; A<CA ; A+1 if A>4 suchthat B in 2*A..CB ;
  2 if A+B>5 suchthat -:C in 1#2#3#4#5#6#7#8#9#10 if C == 3]
% 8
```

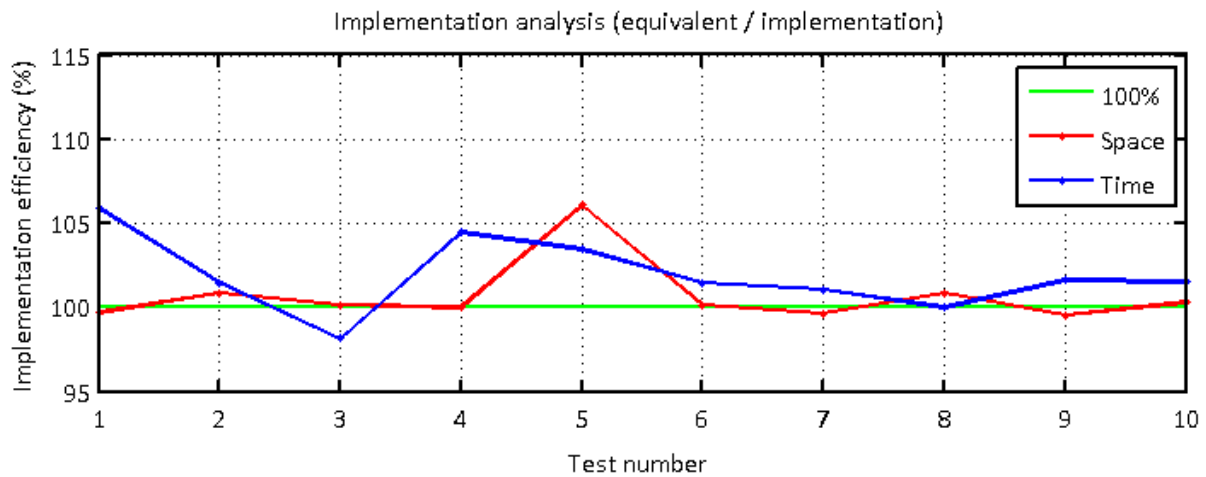
```

(2:A+2 1:A+1 3:A+3 suchthat F:A in Rec if F > 0)
% 9
[@C suchthat A in 1..Lim do C:=A] % C was previously declared as a cell
% 10: "of Fct" in unofficial only
[FA##A if A>10 1:B A##B suchthat FA:A in 10#20 of Fct suchthat _:B in Rec of
  Fct]

```

Note that the parameters change depending on whether we are testing space or time. This is to make results more significant. With these tests every functionality is tested so we can check that every part of the implementation seems to be right with respect to its equivalent.

The tests about the memory, respectively time, are all the files *Space\_performance\_X.oz*, respectively *Time\_performance\_X.oz*, where *X* is from 01 to 10.



**Figure 4.1:** Comparison of the performance between the implementation and its equivalent.

### Space analysis

The results in the figure 4.1 indicate that the space needs of the implementation are basically the same as the equivalent. The ratio oscillates around the green line representing a hundred percents.

The emulator of Mozart has a default total memory usage of 1500 megabytes. So in order to avoid any trouble in the results, it is required not to use over this threshold at the end of the ten tests. This implies not using tests taking over 75 megabytes of memory. This is the reason why we had to change the parameters. Indeed, with such parameters, the time taken is too small to be relevant.

The small observed variations are probably due to external actions taken by the emulator. They can also be due to rounding approximations but they are not significant.



In conclusion for space performance, we can say that the implementation and its equivalent are both really similar in memory usage. From this we deduced that the implementation actually corresponds to the previously seen transformations.

### *Time analysis*

The results in the figure 4.1 indicate that the time needs of the implementation are slightly smaller than its equivalent. These variations might be due to external actions taken by the emulator or other processes running on the testing machine during the test phase. They can also be due to rounding approximations or a slightly different transformation of the equivalent into code due to the fact that we feed this directly instead of replacing a node in the AST at some point of the compilation.

To conclude the time analysis, we can state that our implementation is the equivalent to the transformations of chapter 3.

## 4.4. Application examples

This last section aims at giving some concrete and small applications of comprehensions. There are many more than the followings.

### *Sort*

Sorting lists using the Quicksort algorithm (see [26]) can be facilitated with lists comprehensions. Thanks to their multi output and output condition functionalities, they efficiently split a list into a list with all elements smaller than a given element called the pivot, and a second list with all its elements greater or equal to the pivot. Here is the Quicksort function with lists comprehensions:

```
declare
fun {Sort L}
  case L of nil then nil
  [] H|T then Split in
    Split = [smaller:X if X<H greaterOrEqual:X if X>=H suchthat X in T]
    {Append {Sort Split.smaller} H|{Sort Split.greaterOrEqual}}
  end
end
{Browse {Sort [3 9 0 1 5 1 4 3 10 ~1]}}
% [~1 0 1 1 3 3 4 5 9 10]
```

Note that we could do the same while also removing all duplicate values. This requires to change the greater or equal into a strict greater. We could also return a sorted list with all its

elements fulfilling a criteria. Lists comprehensions allow many modifications to this algorithm, and others.

## *Map*

The function `Map` takes a list as input as well as a mapping function with one argument. The result is a list whose elements are the result of applying the mapping function to each element of the input lists. Here is an example and its equivalent using a list comprehension:

```
declare
L = [1 2 3]
% mapping function
fun {Fun X} 2*X end
% normal Map
{Browse {Map L Fun}} % [2 4 6]
% comprehension Map
fun {MapLC L Fct}
  [{Fct X} suchthat X in L]
  % we could also do [2*X suchthat X in L]
end
{Browse {MapLC L Fun}} % [2 4 6]
```

Actually simple list comprehensions with one level without condition nor buffer, one layer and one unfettered output without output condition is the same as a mapping operation.

Introducing record comprehensions allows the mapping to also work on records. Here is how:

```
declare
L = rec(1 a:2 3)
% mapping function
fun {Fun X} 2*X end
% normal Map
{Browse {Record.map L Fun}} % rec(2 6 a:4)
% comprehension Map
fun {MapRC R Fct}
  ({Fct X} suchthat _:X in R of false) % force non-recursive
  % we could also do (2*X suchthat _:X in L of false)
end
{Browse {MapRC L Fun}} % rec(2 6 a:4)
```

Thanks to the possibilities of comprehensions, we can also add conditions so that the result does not contain all the mapping of all the elements of the input.

## Factorial

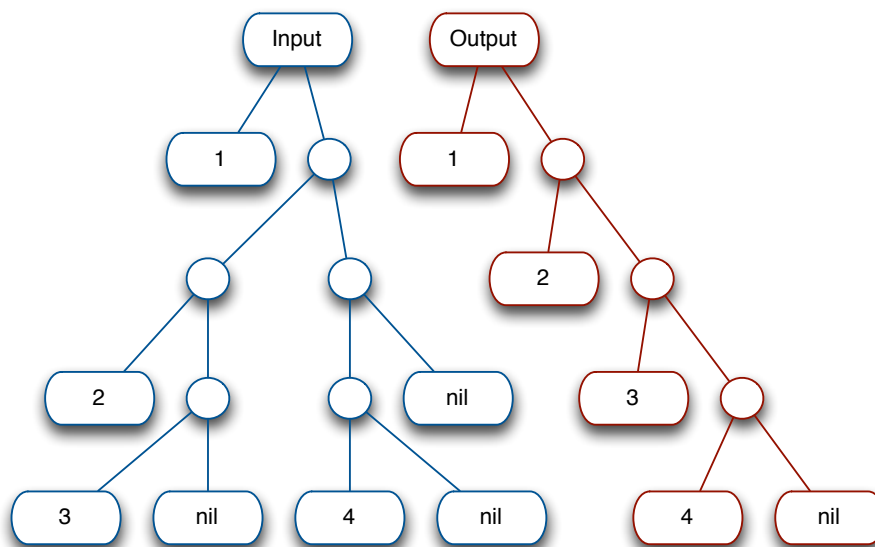
Computing factorials can also be done using list comprehensions. Actually list comprehensions allow us to easily compute all the factorials from 0 to  $N$ , the idea is to go through all the values from 0 to  $N$  and to collect the current result in a list. At the end, each element is the factorial of its index in the list. Here is an example:

```
local N Fs Vs in
  N = 10 % compute factorials from 0 to 10
  '#'(factOf:Fs value:Vs) = [value:A factOf:I suchthat I in 0..N
                                A in 1 ; A*(I+1)]

  for F in Fs V in Vs do
    {Browse {VirtualString.toAtom "Fact("#F#") = "#V}}
  end
end
```

## Flatten

Flattening a list consists in replacing all nested lists with their elements to obtain a *flat* list *in extenso* where all nested lists do not have a list as first element. The figure 4.2 shows a graphical example.



**Figure 4.2:** Example of input and output for the *flatten* function.

Treating the input list as a record generator, a list comprehension can flatten it like this:

```
declare
L = [[[1] 2] 3 4 [[5 [6 7]] 8] 9 [[[10]]]]
fun {FlattenLC L}
  [A suchthat -:A in L if A \= nil]
end
{Browse {Flatten L}}    % [1 2 3 4 5 6 7 8 9 10]
{Browse {FlattenLC L}} % [1 2 3 4 5 6 7 8 9 10]
```

The condition is necessary because otherwise, the result might contain several nil depending on the input.

### *Permutations*

The ability to put several levels gives rise to the possibility to generate every permutation of a given size within a list, as in the following example where we want all permutations of two coin tosses:

```
declare
Coin = [head tail]
{Browse [[X Y] suchthat X in Coin suchthat Y in Coin]}
% [[head head] [head tail] [tail head] [tail tail]]
```

## Chapter 5

# Concurrency using list comprehensions

Some tests can not easily be executed in a systematic way, especially the ones concerning concurrency, laziness and bounded buffers. Additionally we consider that tests about concurrency are better when one sees everything that is going on. For this to happen, it requires a dynamic way of displaying results. It requires a system that can handle unbound variable becoming assigned. As the Mozart browser shows such a property, we have decided to run the tests about concurrency in Mozart and not in shell as in the previous chapter.

The tests of this chapter can also be used as examples of applications. That is why we decided to dedicate a whole chapter to concurrent applications of list comprehensions only. All the applications can be found in the directory *Tests/Concurrency* inside our GitHub repository.

Another reason to separate this chapter from the previous one is that, in our opinion, list comprehensions are very helpful but their most important advantage is their efficiency for concurrent applications as we will see in the sections to come.

Concurrency in list comprehensions is a very powerful functionality that only a few languages support. One example is Ozma (see [22]) an extension of Scala.

### 5.1. Laziness

Laziness is a concept that can appear at many places in Oz. List comprehensions are one of this places. Laziness was already tested a bit in the previous chapter but these tests were limited. Laziness is easier to test when one can see its effects directly.

For this example, we will consider the following scenario. We want to create two streams. One with all the integers from 0 to infinity and a second from 0 to infinity but containing only even numbers. As our streams never stop, it is mandatory for them to be created lazily to avoid a generation without an end. One list comprehension is enough to create both streams:

```
Xs1#Xs2 = thread [1:A 2:A if A mod 2 == 0 suchthat lazy A in 0 ; A+1] end
```

Note that we use the C-style generator without any condition on the generation so this list comprehension will never stop. Fortunately, the laziness ensures that the comprehension does

not create the output without stopping. It is desirable because otherwise, we would quickly be out of memory. Thanks to the laziness the list comprehension waits for a least one of its output to be needed.

Without doing anything more than declaring the two streams **Xs1** and **Xs2**, they are both unbound. If one makes the first element of **Xs1** needed (for instance by using in a computation or by using the `Value.makeNeeded` function) then the streams become:

```
Xs1 = 0 | -  
Xs2 = 0 | -
```

This makes sense, as the first element of **Xs1** was needed, it was created. As we create the two streams together the first element of the second stream **Xs2** also becomes assigned (note that 0 is even).

If one makes the second element of **Xs1** needed then the streams become:

```
Xs1 = 0 | 1 | -  
Xs2 = 0 | -
```

It might seem weird not to get the second element of **Xs2** but it is in fact logical. Indeed, the output condition of **Xs2** filtered its second element before appending it so the second element is actually not an element at all.

Conversely, if one makes the second element of **Xs2** needed the streams would become:

```
Xs1 = 0 | 1 | 2 | -  
Xs2 = 0 | 2 | -
```

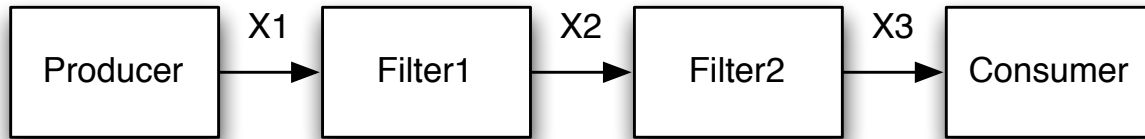
The file *Laziness.oz* contains the complete test.

## 5.2. Producer — consumer

A classical example of using streams is the producer-consumer system. Recall that a stream is an unbound list. It is a list with its current last element not assigned yet. Streams are handy to allow threads to communicate because one thread can add elements to the list while another can read them and perhaps wait for new elements. One could compare streams to Unix pipes. One thread writes while another reads the same data. Each thread is an agent.

The producer-consumer consists in two agents. One producing elements (the writer) and another consuming elements and acting accordingly (the reader). It is a general case of many concurrent applications. Additionally one can decide to add one or more filters. A filter is

another intermediate agent that acts between the producer and the consumer. So the filter reads from the producer and writes to the consumer. There can be several filters. Filters can remove and/or add elements to their input. A graphical representation of the chain of streams is depicted in figure 5.1.



**Figure 5.1:** A graphical representation of a chain of streams for a producer-consumer with 2 filters.

Let us see a generic example. We want to get the double of only the odd elements inside the input list. The input list is made of integers from 10 to 25. So the producer must create a list with the right integers, the filter must remove the even elements and the consumer must multiply each element by two.

The producer is typically a recursive function creating the right input. This producer can be written using the following list comprehension:

```
Xs = thread [A suchthat A in 10..25] end
% or
Xs = thread [A suchthat lazy A in 10..25] end
```

The input stream can be declared as lazy in order for it to be created only when needed by the consumer. The implementation in the file *Producer\_Consumer.oz* uses a slightly different list comprehension to create the input because we want to add a delay in order to really see the input list while it is a stream. We want to see the execution. To do this we add the following body to the previous list comprehension:

```
Xs = thread [... do {Delay 1000}] end % wait for 1000 milliseconds in body
```

Now that we have created the input, the producer, we can create the filter and the consumer at the same time thanks to the functionalities of list comprehensions. Indeed we can easily take the input and filter its elements. We can then multiply each remaining element by two. Here is the list comprehension:

```
Ys = thread [2*A suchthat A in Xs if A mod 2 == 1] end
```

### 5.3. Multi output and conditions

A very useful functionality available with list comprehensions is to allow multiple outputs with only one list comprehension. Additionally, we can specify an output-specific condition for each output.

Let us extend the previous example. We still want to output the double of the odd elements but we now also want to output the triple of the even elements in another list.

The producer is exactly the same as before. Again the filter and the consumer can be written in one list comprehension. Here is how:

```
Ys1#Ys2 = thread [2*A if A mod 2 == 1 3*A if A mod 2 == 0 suchthat A in Xs]
              end
```

Another way of doing this is to use collectors. Here is the adaptation:

```
Ys1#Ys2 = thread [1: collect :C1 2: collect :C2 suchthat A in Xs do
                  if A mod 2 == 1 then {C1 2*A}
                  else {C2 3*A} end]
              end
```

This application can be tested using the file *Multi\_output.oz*.

### 5.4. Logic gates

A specific case of producer-consumer is logic gates. The principle is to simulate the behavior of logic electronic gates. As always in electronics, the information is transmitted using the binary values 0 and 1. So the flux of information is a succession of zeros and ones. This succession is in fact a stream. So we will model the fluxes of data using streams. Binary values 0 and 1 are often referred to as respectively false and true.

A gate is a device that typically takes two fluxes as input and outputs one stream of data where the element  $i$  is the result of applying a given logical operation on the two elements  $i$  of the two inputs. In general a logic gate can take an arbitrary number of inputs but we will focus on gates with two inputs only. We can easily extend our solution to the general case.

The producers of this problem must generate binary data. To generate a binary value, we simply take a random integer and take the remaining of its division by 2. In other words we take the modulo 2 of a random integer. Thanks to the multi output possibility, we can do this inside one list comprehension like this:



```
Xs1#Xs2 = thread [{OS.rand} mod 2 {OS.rand} mod 2
                 suchthat lazy A in 0; A+1] end
```

Again, this list comprehension never stops but is lazy. In the implementation in the file *Logic\_Gates.oz*, we also add a delay to see the execution in real time and we put a limit to the output for practical reasons.

Logical functions are the functions that take two elements as input and apply their binary operation. For this example, we will use three different operations. The first one is the **AND** operation which outputs true if and only if its two inputs are true. The second operation is **OR** which outputs true if and only if at least one input is true. The last operation is the **XOR** operation. Its name stands for exclusive or. It outputs true if and only if both inputs are different. The truth tables of these three logical operators are in table 5.1. A truth table gives the output for all the different combination of inputs. As there are two binary inputs, there are  $2^2 = 4$  combinations. In consequence, a truth table completely defines an operator.

Input	Output		
	AND	OR	XOR
0 0	0	0	0
0 1 or 1 0	0	1	1
1 1	1	1	0

**Table 5.1:** The truth tables of the AND, OR and XOR logical operators.

These operators can be easily implemented as functions:

```
fun {And X Y} X*Y end
fun {Or X Y} X+Y-X*Y end
fun {Xor X Y} (X+Y) mod 2 end
```

Now that we have the operators, we can create the consumers. They are the functions taking two input streams of data and outputting one data stream. Let us first make one consumer by operations, so three logic gates. For this we create a function that returns a function. The latter is our gate. Such a function maker just takes the operator as argument. Here is this function implemented with a list comprehension:

```
fun {GateMaker F} % returns a gate function with the given operator
  fun {$ Xs Ys} thread [{F X Y} suchthat X in Xs Y in Ys] end
  end
end
```

Using this gate maker, we can now create our three gates as follows:

```
% returns a gate function with the given operator
AndG = {GateMaker And}
OrG  = {GateMaker Or}
XorG = {GateMaker Xor}
```

Every one of these three functions takes two streams as arguments and outputs a stream which is the result of applying one of the three previously seen operators on every element.

Just to show a bit more about list comprehensions, let us make a big gate, that outputs three streams, one for each operator. The gate function is written using only the following list comprehension:

```
thread [and:{And X Y} 'or':{Or X Y} xor:{Xor X Y} suchthat X in Xs Y in Ys]
end
```

Note that the `or` atom has to be put inside quotes because it is a keyword otherwise.

The complete implementation can be tested in the file *Logic\_Gates.oz*

## 5.5. Bounded buffer

When an agent goes lazily through a lazy stream, Oz allows bounded buffers as explained in the unofficial functionalities of chapter 1. This example is a special case of producer-consumer where the producer generates lazy outputs and where the consumer wants the producer to be in advance compared to it.

The example implements the following situation. There are two input streams, both lazily generated. The first goes from 0 to 10 and takes 1000 milliseconds to generate every element. The second stream goes from 0 to 5 and needs 1500 milliseconds to generate each element.

With these two streams we want to create three ones, all generated with the following idea: for each element  $A$  of the first input, we go through each element  $B$  of the second input. The first output must be made of  $A - B$ , the second is  $A * B$  and the last is made of all  $A + B$ .

We do not want to create the output right away. We will wait for a dozen of seconds, but when we start, we want the first three elements to be created (almost) instantly. We do not want to wait 4500 milliseconds which is the time for the second input to create three elements.

To implement this, we first start with the producers. Unlike before, as the inputs are lazy streams created independently with different delays, we can not use the same list comprehension.

We must use two different ones. Here are these two producers:

```
Xs1 = thread [A suchthat lazy A in 0..10 do {Delay 1000}] end
Xs2 = thread [A suchthat lazy A in 0..5 do {Delay 1500}] end
```

To implement the consumers however, we can (and we will) use only one list comprehension. The latter has three outputs and two levels. The first level has the first input as generator. Additionally, we specify a bounded buffer of size 1 for this level. Indeed, to create the three first elements of each output, we need one value for the first level, so a size of 1 is enough. We could use a bigger size.

The second level goes through the second input and is declared lazy. We could have declared the first level as lazy but the effect would be the same here. The buffer we specify for this second level has a size of 3. This is the minimum required in order for the three first elements of each output to be created (almost) instantly if enough time is left between the call to this list comprehension and the need for the output.

Here is the code for the consumer:

```
Ys1#Ys2#Ys3 = thread [A-B A*B A+B suchthat A in Xs1:1
                      suchthat lazy B in Xs2:3] end
```

The complete implementation is in the file *Bounded\_Buffer.oz*.

This example is quite basic but can be declined in many shapes depending on the situations.

Another thing we can make is to implement the bounded buffer function with a list comprehension in the official version. Recall that the function is:

```
fun {BoundedBuffer Ss N}
  fun lazy {Aux Ss End}
    case Ss of nil then nil
    [] H|T then
      H|{Aux T thread if End == nil then End else End.2 end end}
    end
  end
in {Aux Ss thread {List.drop Ss N} end}
end
```

One could be tempted to do it the following way:

```
%% Body of function {BoundedBuffer Ss N}
thread [A suchthat lazy A in Ss - in thread {List.drop Ss N} end] end
```

But this is not what we want because one can only use one element when a new one is created. In other words, the buffer always has the same size. However, what we want is that the buffer has at most the given size. So we must change the previous transformation to the following:

```
fun {BoundedBuffer Ss N}
  End = thread {List.drop Ss N} end
  C = {NewCell End}
  L = {NewLock}
in
  thread [A suchthat lazy A in Ss do thread lock L then if @C \= nil then
    N in {Exchange C -|N N} end end end] end
end
```

The **Exchange** aims at creating a new element. It must be inside a thread because the creation can not block the list comprehension. As the list might have an end, we can only ask the creation of a new element if there is one. That is why we need the condition. However, a new problem arises because we want the condition and the **Exchange** to be executed atomically, so we have to use a lock. That is how we can implement bounded buffers with list comprehensions thanks to laziness, stream generators and bodies.

# Conclusion

The conclusion is twofold. After giving some leads for future work, we state our global conclusion.

## Possible evolutions

While our current implementation has reached our initial goal, there always is room for improvements and new functionalities. For instance, one could want to enhance the function generators to be more powerful. Another could want to add new features similar to the collector as in for loops. Another lead is to allow other modes than only depth-first. Finally record comprehensions, because of their experimental flavor, are a great source of new evolutions.

## Conclusion

Our initial goal was to add a new functionality that allows anyone to easily declare list. This main objective has been fulfilled. Indeed, we incrementally implemented five different generators. Then we added the possibility to iterate simultaneously over several generators thanks to the multi layer functionality. The next step was to implement multi level list comprehensions. Additionally, optional level conditions were implemented.

Labelled multi output was the next step we implemented. In addition to its flexible features and number of outputs, we coded the possibility to have an output-specific condition for each output. Then came the time to implement laziness which required that all our previous was recursive terminal. We ended the official implementation with bodies.

Three unofficial functionalities were also implemented. The two first are bounded buffers and collectors. Even if redundant, we showed that there are some advantages to have such functionalities included in list comprehensions. The last one is the recursive traversal of records.

Furthermore, we also decided to experiment on record comprehensions. Even if they are still basic, they show good promising results and we think it is worth continuing working on them.

All these functionalities were implemented while always keeping in mind the modular and clean style of Mozart.

Our main background objective of making one language more expressive has been reached. The expressivity has been improved while keeping the time and space performance.

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# Appendices



## Chapter A

# Generic transformations

## A.1. List comprehensions

When we use three %, it means that the entire line is only used for the unofficial version.

```

1 %% Big local
2 local
3   %% Collectors and cells
4   {{ ForAll I in Collectors }}
5     Cell_I = {NewCell _}
6     proc {I X} N in {Exchange Cell_I X|N N} end
7   {{ end ForAll }}
8   %% pre level
9   proc {PreLevel ?Result}
10    %% return list when one output with no feature
11    if {{ ReturnList }} then
12    %%
13    %% create buffers
14    %%
15    %% local
16    %%
17    %% Range1At1 = List_1
18    %% End1At1 = thread {List.drop Range1At1 Buffer} end
19    %%
20    %% in
21    %%
22    %% call next level with buffers
23    %%
24    %% {Level1 {{ Initiators_For_Next_Level }} '#'(1:Result)}
25    %%
26    %% end
27    %%
28    %% else %% no buffers
29    %%
30    %% {Level1 {{ Initiators_For_Next_Level }} '#'(1:Result)}
31    %%
32    %% end
33    %% return record
34    %% create the tuple of outputs
35    Result = {Record.make '#' [field1 ... fieldN]}
36    {{ Forall I In Fields Of Collectors }}
37      Result.I = @CellI
38    {{ End Forall }}
39    %%
40    if {{ Bounded_Buffer }} then
41    %%
42    %% create buffers

```

```

32  %%          local
33  %%          {{ ForAll I with Bounded Buffer }}
34  %%          RangeIA1 = List_I
35  %%          EndIA1 = thread
36  %%          {List.drop RangeIA1 Buffer}
37  %%          end
38  %%          {{ End ForAll }}
39  %%          in
40  %%          %% call next level with buffers
41  %%          {Level1 {{ Initiators_For_Next_Level }} Result}
42  %%          end
43  %%          else %% no buffers
44  %%          %% call level 1 with its initiators and with the tuple
45  %%          {Level1 {{ Initiators_For_Next_Level }} Result}
46  %%          end
47  end
48 end
49 %% previous level number: X (if exists)
50 %% current level number: Y
51 %% next level number: Z (if exists)
52 proc {LevelY {{ This_Level_Arguments }} {{ Previous_Levels_Arguments }}
53   {{ Previous_List_Ranges_And_Stacks }} ?Result}
54 %% handle lazy if needed
55 if {{ Is_Lazy }} then
56   if {{ Multi_Output }}
57     %% wait for need of any output
58     local LazyVar in
59       {{ Forall I in Fields_Name }}
60       thread
61 %%          if {{ I is Collector }} then
62 %%          {WaitNeeded @Cell_I}
63 %%          else
64 %%          {WaitNeeded Result.I}
65 %%          end
66       LazyVar = unit
67     end
68   {{ end Forall }}
69   %% LazyVar is assigned (to unit)
70   %% as soon as any output is needed
71   {Wait LazyVar}
72 end
73 else
74   %% one output, so just wait for it to be needed
75   %% if {{ I is Collector }} then

```

```

76  %%      {WaitNeeded @Cell_1}
77  %%      else
78      {WaitNeeded Result.{{ Fields_Name.1 }}}
79  %%      end
80  end
81  end %% end of handle laziness
82  %% test if no more iterations
83  if {{ Ranges_Conditions_For_This_Level }} then
84      %% still at least one iteration
85      local
86          %% local needed iff generatorList
87          %% or forRecord in ranges of this level
88          {{ Forall generatorList GL }}
89              {{ Range_For_GL }} = {{ GL_Argument }}.1
90          {{ end Forall }}
91          {{ Forall forRecord FR }}
92              {{ FR_Feature }} = {{ FR_Features }}.1
93              {{ FR_Range }} = {{ FR_Record }}.{{ FR_Feature }}
94          {{ end Forall }}
95  in
96      if {{ Last_Level }} then
97          local
98              Next
99          in
100              if {{ This_Level_Condition }} then
101                  %% last level , level condition true
102                  local
103                      {{ Next_1 ... Next_N }}
104                  in
105                      if {{ Is_Body }} then {{ Body }} end
106                      {{ Forall I in Fields_Name }}
107                          %% append to result iff optional
108                          %% condition given by user for output
109                          %% I is fulfilled , no condition given
110                          %% is equivalent to true
111                          Result.I = if {{ Condition.I }} then
112                              Expression.I|Next_I else Next_I end
113                      {{ end Forall }}
114                      Next = {{ '#'(field1:Next1
115                          ... fieldN:NextN) }}
116                  end
117              else
118                  %% last level , level condition false
119                  Next = Result

```

```

120         end
121         {LevelY
122         %% if {{ Bounded_Buffer }} then
123             {{ Next_Iteration_For_Ranges_Of_This_Level_
124                With_Buffer }}
125         %% else
126         %% {{ Next_Iteration_For_Ranges_Of_This_Level }}
127         %% end
128         {{ Previous_Levels_Arguments }}
129         {{ Previous_List_Ranges }}
130         Next}
131     end
132 else
133     %% not last level
134     if {{ This_Level_Condition }} then
135         %% not last level , level condition fulfilled
136         %% call next level
137         {LevelZ
138         %% if {{ Bounded_Buffer }} then
139         %% local
140         %% {{ ForAll I with Bounded Buffer }}
141         %% RangeIAtZ = List_I
142         %% EndIAtZ = thread
143         %% {{ List.drop RangeIAtZ Buffer }}
144         %% end
145         %% {{ End ForAll }}
146         %% in
147         %% {{ Initiators_For_Next_Level_With_Buffers }}
148         %% end
149         %% else
150         %% {{ Initiators_For_Next_Level }}
151         %% end
152         {{ This_Level_Ranges }} % may contain buffers
153         {{ Previous_Levels_Arguments }}
154         {{ List_Arguments }}
155         {{ Previous_List_Ranges }}
156         Result}
157     else
158         %% not last level , level condition not fulfilled
159         %% call next iteration
160         {LevelY
161         %% if {{ Bounded_Buffer }} then
162         %% {{ Next_Iteration_For_Ranges_Of_This_Level_
163            With_Buffer }}

```

```

164  %%%                                else
165  %%%                                {{ Next_Iteration_For_Ranges_Of_This_Level }}
166  %%%                                end
167                                  {{ Previous_Levels_Arguments }}
168                                  {{ Previous_List_Ranges }}
169                                  Result}
170                                end
171                            end
172                        end
173                    else
174                        %% no more iterations for this level, call previous one
175                        if {{ First_Level }} then
176                            %% no previous level, end output-s by appending nil
177                            if {{ Multi_Output }} then
178                                {{ Forall I in Fields_Name }}
179                                %%                                if {{ Output I is Collector }} then
180                                %%                                {Exchange Cell-I nil -}
181                                %%                                else
182                                Result.I = nil
183                                %%                                end
184                                {{ end Forall }}
185                            else
186                                %%                                if {{ Output 1 is Collector }} then
187                                %%                                {Exchange Cell_1 nil -}
188                                %%                                else
189                                Result.1 = nil
190                                %%                                end
191                            end
192                        else
193                            %% call previous level X
194                            %% same as lines 157 to 166 of previous level X
195                            {{ Call_Previous_Level_With_Next_Iteration }}
196                        end
197                    end
198                end
199            in
200                %% actually call the cascade of procedures
201                {PreLevel}
202            end
203
204            %%% Unofficial, for bounded buffers only
205            fun {{ Next_Iter_LevelY_Buff }}
206                case Ranges
207                of nil then nil

```

```

208   [] H|T then
209       case H
210       of RangeIAtX#EndIAtX then %% buffer is here
211           (RangeIAtX.2#thread if EndIAtX == nil then EndIAtX
212               else EndIAtX.2
213               end)|{... T}
214       else H|{... T}
215       end
216   end
217 end

```

## A.2. Record comprehensions

```

%% Big local
local
    %% pre level
    proc {PreLevel ?Result}
        local
            Rec = {{ Initiator_Record }}
        in
            %% return one record when one output with no feature
            if {{ ReturnOneRecord }} then
                {Level {Label Rec} {Arity Rec} Rec '#'(1:Result)}
            else %% return record of records
                %% create the tuple of outputs
                Result = {Record.make '#' [field1 ... fieldN]}
                %% call level with initiators and with the tuple
                {Level {Label Rec} {Arity Rec} Rec Result}
            end
        end
    end
end
%% Level
proc {Level Lbl Ari Rec ?Result}
    local
        Aris
        NewAri
        {{ Next1 ... NextN }}
    in
        Aris = arities({{ 1:Next1 ... N:NextN }})
        {For1 Ari Rec ?NewAri ?Aris}
    end
end

```

```

    {{ ForAll Features F }}
      Result.F = {Record.make Lbl NextF}
    {{ end ForAll }}
    {For2 NewAri Rec Aris Result}
  end
end
%% For 1
proc {For1 Ari Rec ?NewAri ?arities({{ 1:Ari1 ... N:AriN }}})
  if Ari \= nil then
    local
      {{ FeatureGivenByUserOrNewOne }} = Ari.1
      {{ ValueGivenByUserOrNewOne }}
      = Rec.{{ FeatureGivenByUserOrNewOne }}
      Next
      {{ Next1 ... NextN }}
    in
      %% if {IsRecord {{ ValueGivenByUserOrNewOne }}}
      %% andthen {Arity {{ ValueGivenByUserOrNewOne }}} \= nil
      %% andthen {{ ConditionIfAny }} then
      %% recursive
      if {{ FILTER }} then
        {{ ForAll Features F }}
        %% AriF = {{ FeatureGivenByUserOrNewOne }}|NextF
        %% ONLY OFFICIAL
        AriF = if {{ ConditionF }} then
          {{ FeatureGivenByUserOrNewOne }}|
NextF
          else NextF
          end
        {{ end ForAll }}
        %% END ONLY OFFICIAL
        NewAri = {{ FeatureGivenByUserOrNewOne }}|Next
      else
        {{ ForAll Features F }}
        AriF = NextF
        {{ end ForAll }}
        NewAri = Next
      end
    else
      %% terminal
      %% {{ ForAll Features F }}
      %% AriF = if {{ ConditionF }} then
      %%   {{ FeatureGivenByUserOrNewOne }}|NextF
      %% else NextF

```

[illegible]



```

                                = {{ ExpressionF }}
                                NextF = AriF.2
                                else
                                NextF = AriF
                                end
                                {{ end ForAll }}
%%%                                end
                                {For2 Ari.2 Rec arities(1:Next1 2:Next2) ?Result}
                                end
                                end
                                end
                                end

```

## Chapter B

# Transformed AST example

### B.1. General AST

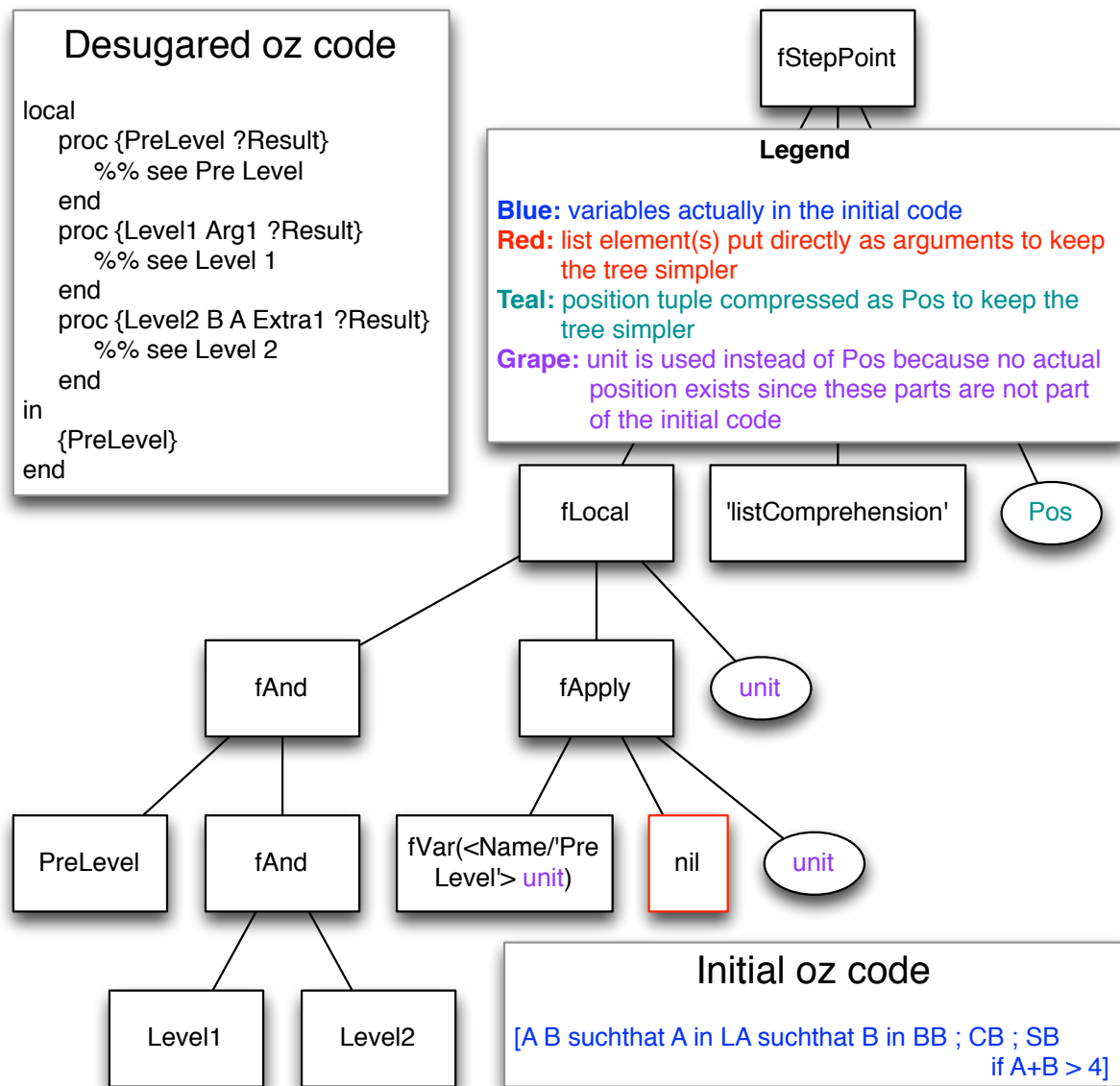


Figure B.1: General transformation.

## B.2. Pre-level

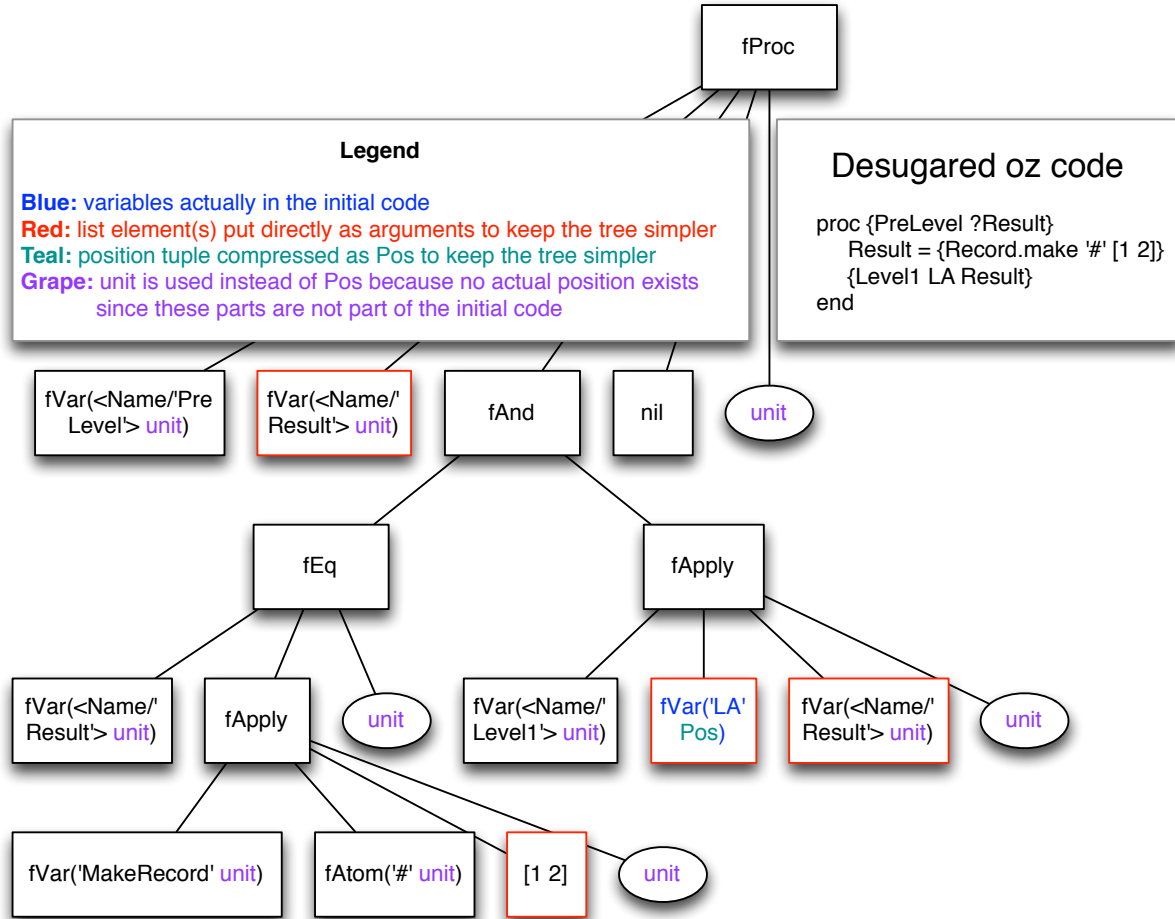


Figure B.2: *Pre-level*.

### B.3. Level 1

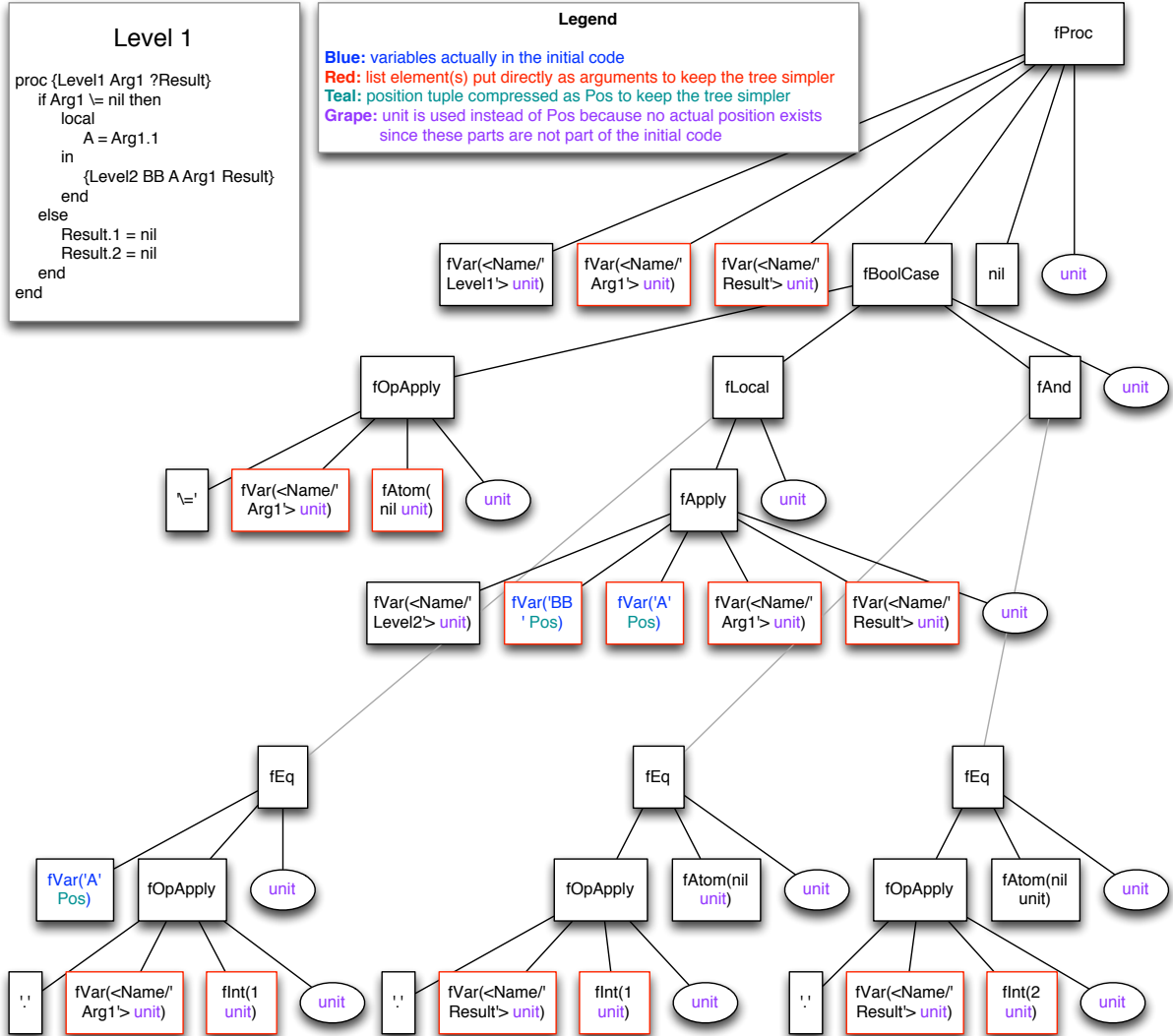


Figure B.3: *Level 1.*

### B.4. Level 2

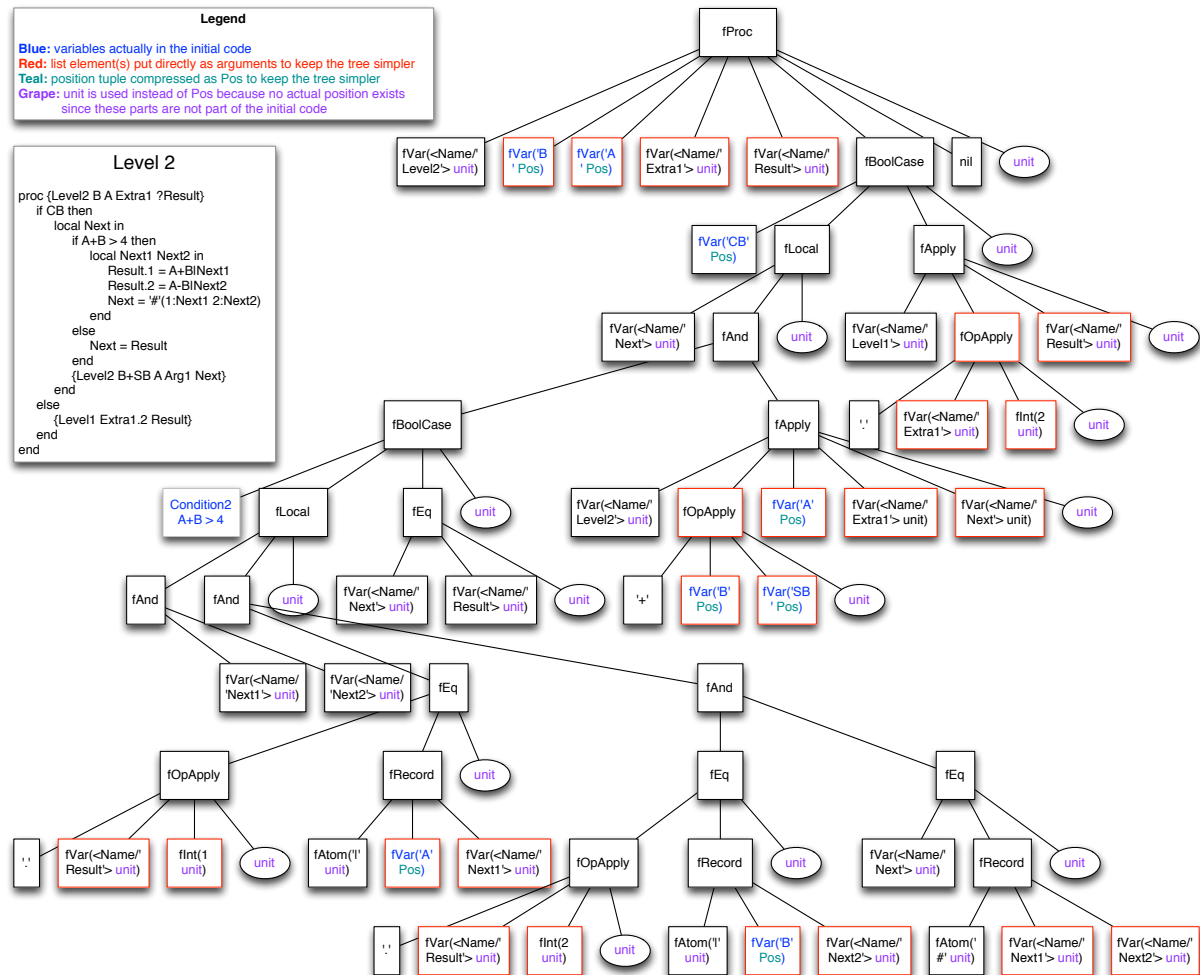


Figure B.4: *Level 2.*

## Chapter C

## Tests

### C.1. One level – one layer

```
% [listComprehension]#[expectedList]
[A suchthat A in 0..10                                     ]#[0 1 2 3 4 5 6 7 8
  9 10]
[A suchthat A in 0..10 if A mod 2 == 0                 ]#[0 2 4 6 8 10]
[A suchthat A in 0..10 ; {Get 2}                          ]#[0 2 4 6 8 10]
[A suchthat A in 0..10 ; 2 if A > 3                     ]#[4 6 8 10]
[A suchthat A in 0 ; A<11 ; A+1                          ]#[0 1 2 3 4 5 6 7 8
  9 10]
[A suchthat A in 0 ; A<11 ; A+1 if A mod 2 == 0        ]#[0 2 4 6 8 10]
[A suchthat A in {Get0} ; {Cond1 A} ; {Plus2 A}          ]#[0 2 4 6 8 10]
[A suchthat A in {Get0} ; {Cond1 A} ; A+1 if {Cond2 A}]#[0 2 4 6 8 10]
[A suchthat A in L                                       ]#[0 1 2 3 4 5 6 7 8
  9 10]
[A suchthat A in L if A mod 2 == 0                       ]#[0 2 4 6 8 10]
[A suchthat A in [0 2 4 6 8 10]                           ]#[0 2 4 6 8 10]
[A suchthat A in [0 1 2 3 4 5 6 7 8 9 10] if {Cond2 A}]#[0 2 4 6 8 10]
```

### C.2. One level – multi layer

```
% [listComprehension]#[expectedList]
[[A B] suchthat A in 0..9   B in 10..19]
#[[0 10] [1 11] [2 12] [3 13] [4 14] [5 15] [6 16] [7 17] [8 18] [9 19]]

[[A B] suchthat A in 0..9   B in 10..19   if A > 2 andthen B < 15]
#[[3 13] [4 14]]

[[A B C] suchthat A in 0..9   B in 10..19   C in 20..29]
```

```

#[[0 10 20] [1 11 21] [2 12 22] [3 13 23] [4 14 24] [5 15 25] [6 16 26] [7
  17 27] [8 18 28] [9 19 29]]

[[A B C] suchthat A in 0..9   B in 10..19   C in 20..29   if A+B+C > 33]
#[[2 12 22] [3 13 23] [4 14 24] [5 15 25] [6 16 26] [7 17 27] [8 18 28] [9
  19 29]]

[[A B C] suchthat A in L   B in {Get 10}..19 ; 4   C in 20 ; C<3*B ; C+A]
#[[0 10 20] [1 14 20] [2 18 21]]

[[A B C] suchthat A in 1 ; A<3 ; A+1   B from Fun   C in [4 5 6]]
#[[1 1 4] [2 1 5]]

[[A B C] suchthat A in [1 2]   B in [3 4 5]   C in [6 7 8 9]]
#[[1 3 6] [2 4 7]]

[[A B C D] suchthat A in [1 2]   B in [3 4 5]   C in [6 7 8 9]   D in L]
#[[1 3 6 0] [2 4 7 1]]

[1 suchthat _ in [1 2]   _ in [3 4 5]   _ in [6 7 8 9]   _ in L]
#[1 1]

[A+B suchthat A in 1 ; A<10 ; 1   B in 1..4 ; 2]
#[2 4]

[A suchthat A in 1 ; A+1   _ in [1 1 1 1]]
#[1 2 3 4]

[A suchthat A in 1 ; A+1   _ in [1 1 1 1] if A < 4]
#[1 2 3]

```

### C.3. Function generators

```

% [listComprehension]#[expectedList]
[I*A suchthat A in [1 2 3] I from Fun1]#[2 4 6] % Cell = 0
[I*A suchthat A in [1 2 3] I from Fun2]#[1 4 9] % Cell = 4

[I*A#J*B suchthat A in [1 2 3] I from Fun2 suchthat B in 1..2 J from Fun2]
#[5#6 5#14 18#10 18#22 39#14 39#30]

```

```

[A suchthat _ in 1..1 A from Fun1]#[2]

[Z+Y suchthat Z from Fun0 _ in 1..2 suchthat _ in [1 2] Y from Fun1]
#[2 2 2 2]

[Z*Y suchthat Z from Fun0 A in 1..2 if A < 2 suchthat _ in [1 2] Y from
  Fun1]
#[0 0]

[Z+Y suchthat Z from Fun0 A in 1..2 if A < 2 suchthat _ in [1 2] Y from
  Fun1 if Y == 1]
#nil

[Z+Y suchthat Z from Fun0 A in 1..2 if A < 2 suchthat _ in [1 2] Y from
  Fun1 if Y == {Fun1}]
#[2 2]

[A suchthat A from fun{$} 1 end _ in 1..2]
#[1 1]

```

## C.4. Multi level – one layer

```

% [listComprehension]#[expectedList]
[[A B] suchthat A in 1..2 suchthat B in 3..4]
#[[1 3] [1 4] [2 3] [2 4]]

[A#B#C suchthat A in [0 2] suchthat B in 4 ; B<10 ; B+2 suchthat C in 8..10
  ; 2 if B<7]
#[0#4#8 0#4#10 0#6#8 0#6#10 2#4#8 2#4#10 2#6#8 2#6#10]

[A#B#C suchthat A in [0 2] if A<10 suchthat B in [4 6 7] suchthat C in [8
  10] if B<7]
#[0#4#8 0#4#10 0#6#8 0#6#10 2#4#8 2#4#10 2#6#8 2#6#10]

[A#B#C suchthat A in 0..2 ; 2 if A<10 suchthat B in 4..7 ; 2 suchthat C in
  8..10 ; 2 if B<7]
#[0#4#8 0#4#10 0#6#8 0#6#10 2#4#8 2#4#10 2#6#8 2#6#10]

[A#B#C suchthat A in 0 ; A=<2 ; A+2 if A<10 suchthat B in 4 ; B<7 ; B+2
  suchthat C in 8 ; C=<10 ; C+2 if B<7]

```



```
#[0#4#8 0#4#10 0#6#8 0#6#10 2#4#8 2#4#10 2#6#8 2#6#10]

[1 suchthat _ in 1..2 suchthat _ in 1..2 suchthat _ in 1..2]
#[1 1 1 1 1 1 1 1]

[A+B-C suchthat A in 1..10 if A < 3 suchthat B in [3 4] suchthat C in A ; C
  <10 ; C+1 if C < 4]
#[3 2 1 4 3 2 3 2 4 3]
```

## C.5. Multi level – multi layer

```
% [listComprehension]#[expectedList]
[A#B#C#D#E suchthat A in 1..4 B in 11..13 if A+B<16 suchthat C in 1 ; C<10
  ; C+2 D in [1 2] E in 30..100 if A+B+C+D+E<100]
#[1#11#1#1#30 1#11#3#2#31 2#12#1#1#30 2#12#3#2#31]

[A#B#C#D#E#F suchthat A in 1..4 B in 11..13 if A+B<16 suchthat C in 1 ; C
  <10 ; C+2 D in [1 2] E in 30..100 if A+B+C+D+E<100 suchthat F in 1..1]
#[1#11#1#1#30#1 1#11#3#2#31#1 2#12#1#1#30#1 2#12#3#2#31#1]

[A#B#C#D#E#F suchthat A in 1..4 B in 11..13 if A+B<16
  suchthat C in 1 ; C<10 ; C+2 D in [1 2] E in 30..100 if A+B+C+D+E<100
  suchthat F from Fun _ in 1..1]
#[1#11#1#1#30#1 1#11#3#2#31#1 2#12#1#1#30#1 2#12#3#2#31#1]

[[A AA B] suchthat A in 1..100 AA in [1 0 3] if A == AA suchthat B in [f o
  l o] if B \= 1]
#[[1 1 f] [1 1 o] [1 1 o] [3 3 f] [3 3 o] [3 3 o]]
```

## C.6. Record generators

```
% [listComprehension]#[expectedList]
[A suchthat _:A in 1#2#3 ]#[1 2 3]
[A suchthat _:A in 1#2#3 if A > 1 ]#[2 3]
[A suchthat _:A in Rec ]#[a b c d]
[A suchthat _:A in Rec if A \= c ]#[a b d]
```

```
[B#A suchthat _:A in Rec _:B in 1#2#3]
#[1#a 2#b 3#c]
```

```
[B#A suchthat _:A in Rec _:B in 1#2#3 if B > 1]
#[2#b 3#c]
```

```
[A#B suchthat _:A in Rec if A == a suchthat _:B in 1#2#3]
#[a#1 a#2 a#3]
```

```
[A#B#C suchthat _:A in Rec if A == a suchthat _:B in 1#2#3 _:C in 4#5]
#[a#1#4 a#2#5]
```

```
[A+B suchthat A in 1..2 _:B in 3#4]
#[4 6]
```

```
[A+B suchthat A in 1..2 suchthat _:B in 3#4]
#[4 5 5 6]
```

```
[A#F suchthat F:A in rec(a:1 b:2)]
#[1#a 2#b]
```

```
[F suchthat F:_ in 6#7#8]
#[1 2 3]
```

```
[A suchthat _:A in 1#2#(3#4#(5#6)#7)#8]
#[1 2 3 4 5 6 7 8]
```

```
[A suchthat _:A in [1 [2] [3 [4] 5] 6 [[7 [8]]]] if A \= nil]
#[1 2 3 4 5 6 7 8]
```

```
[F#A suchthat F:A in r(a:1 b:2 cc:r(c:3 d:4 ee:r(e:5)))]
#[a#1 b#2 c#3 d#4 e#5]
```

```
[F#A suchthat F:A in r(a:1 b:2 cc:r(c:3 d:4 ee:r(e:5))) if A \= 1]
#[b#2 c#3 d#4 e#5]
```

```
[F#A if F\= b suchthat F:A in r(a:1 b:2 cc:r(c:3 d:4 ee:r(e:5))) if A \= 1]
#[c#3 d#4 e#5]
```

```
[A#B suchthat _:A in 1#2#(3#4) suchthat _:B in 10#r(20)]
#[1#10 1#20 2#10 2#20 3#10 3#20 4#10 4#20]
```

```
[1#A suchthat _:A in r(1 2 a(3 4)) of fun{$_ V} {Label V}\=a end]
```

```
#[1#1 1#2 1#a(3 4)]

[A suchthat _:_ in r(1 r(2 3)) of fun{$ F _} F == 1 end A in 1..10]
#[1 2]
```

## C.7. Multi output

```
% [listComprehension]#[expectedList]
[A A+3 suchthat A in 1..3]
#[[1 2 3]#[4 5 6]]

[A B suchthat A in 1..3 B in 4..6]
#[[1 2 3]#[4 5 6]]

[A B suchthat A in 1..3 B in 4..6 if A+B<9]
#[[1 2]#[4 5]]

[A B C suchthat A in 1..2 suchthat B in 3..4 suchthat C in 5..6]
#[[1 1 1 1 2 2 2 2]#[3 3 4 4 3 3 4 4]#[5 6 5 6 5 6 5 6]]

[A B C suchthat A in 1..2 B in 3..4 C in 5..6]
#[[1 2]#[3 4]#[5 6]]

[A B C D suchthat A in 1..2 B in 3..4 C in 5..6 D in 7..8]
#[[1 2]#[3 4]#[5 6]#[7 8]]

[A B C suchthat A in 1..2 suchthat B in 3..4 C in 5..6]
#[[1 1 2 2]#[3 4 3 4]#[5 6 5 6]]
```

## C.8. Labeled outputs

```
% [listComprehension]#[expectedList]
[A suchthat A in 0..10]
#[0 1 2 3 4 5 6 7 8 9 10]

[a:A suchthat A in 0..10]
```

```

##'(a:[0 1 2 3 4 5 6 7 8 9 10])

[whatever:A suchthat A in 0..10]
##'(whatever:[0 1 2 3 4 5 6 7 8 9 10])

[a:A 2*A suchthat A in 0 ; A<11 ; A+1]
##'(1:[0 2 4 6 8 10 12 14 16 18 20] a:[0 1 2 3 4 5 6 7 8 9 10])

[1:A 2*A suchthat A in 0 ; A<11 ; A+1]
##'(1:[0 1 2 3 4 5 6 7 8 9 10] 2:[0 2 4 6 8 10 12 14 16 18 20])

[A 2*A suchthat A in 0 ; A<11 ; A+1]
#([0 1 2 3 4 5 6 7 8 9 10]#[0 2 4 6 8 10 12 14 16 18 20])

[a:A 2*A b:A suchthat A in 0 ; A<11 ; A+1]
##'(1:[0 2 4 6 8 10 12 14 16 18 20] a:[0 1 2 3 4 5 6 7 8 9 10] b:[0 1 2 3
    4 5 6 7 8 9 10])

[3:A+1 1:2*A A suchthat A in [A suchthat A in 0..10]]
##'(1:[0 2 4 6 8 10 12 14 16 18 20] 2:[0 1 2 3 4 5 6 7 8 9 10] 3:[1 2 3 4
    5 6 7 8 9 10 11])

```

## C.9. Output conditions

```

% [listComprehension]#[expectedList]
[X if X<3 suchthat X in [1 2 3 4]]
#[1 2]

[a:X if X<3 Y if Y>4 suchthat X in [1 2 3 4] Y in [5 6 7 8]]
#('##'(a:[1 2] 1:[5 6 7 8]))

[X if X>3 Y if Y>7 suchthat X in [1 2] suchthat Y in [5 6 7 8]]
#('##'(1:nil 2:[8 8]))

[smallerEqual:A if A<=4 bigger:A if A>4 suchthat A in [2 5 4 3 6 1 7]]
##'(smallerEqual:[2 4 3 1] bigger:[5 6 7])

[A if B>2 suchthat A in [so hello world] B in [2 3 4]]
#[hello world]

```

## C.10. Laziness

```
% [listComprehension]#[expectedList]#batchSize
thread [A suchthat lazy A in 1..3] end
#[1 2 3]#1

thread [A+B suchthat lazy A in 1..2 suchthat B in [1 2 3]] end
#[2 3 4 3 4 5]#3

thread [A+B suchthat A in 1..2 suchthat lazy B in [B suchthat A in 1..1
  suchthat B in [A suchthat A in 1..3]]] end
#[2 3 4 3 4 5]#1

thread [A+B suchthat lazy A in 1..2 suchthat lazy B in 1..3] end
#[2 3 4 3 4 5]#1

thread [A+B suchthat A in 1..2 suchthat lazy B in 1..3 if A > 1] end
#[3 4 5]#1

thread [A+B#C+D suchthat lazy A in 1..2 B in 3..4 suchthat C in [1 2 3 4] D
  in 3 ; D<6 ; D+1 if D<5] end
#[4#4 4#6 6#4 6#6]#2

thread [A suchthat lazy A from Fun _ in 1..3] end
#[1 1 1]#1
```

## C.11. Bodies

```
% [listComprehension]#[expectedList]
C = {NewCell _}
Tests = [
  [@C suchthat A in 1..2 do C:=A]
  #[1 2]

  [@C suchthat A in 1..2 do C:=A C:=@C*A]
  #[1 4]
```

```

    [@C suchthat A in 1..2 if A > 1 do C:=A]
    #[2]

    [@C if @C > 1 suchthat A in 1..2 do C:=A]
    #[2]

    [@C-1 suchthat A in 1..2 do C:=A+1]
    #[1 2]

    [@C suchthat _ in 1..1 A from fun{$} 1 end do C:=A]
    #[1]

    [@C suchthat _:A in r(r(r(1) r(2))) do C:=A]
    #[1 2]

    [@C suchthat A in 1..2 suchthat B in 1..2 do C:=A+B]
    #[2 3 3 4]
  ]
L1 = thread [@C suchthat lazy A in 1..2 do C:=A] end
L2 = thread [@C suchthat lazy A in 1..2 do C:=A C:=@C*A] end
L3 = thread [@C suchthat lazy A in 1..2 if A > 1 do C:=A] end
L4 = thread [@C if @C > 1 suchthat lazy A in 1..2 do C:=A] end
L5 = thread [@C suchthat lazy A in 1..2 suchthat B in 1..2 do C:=A+B] end
TestsLazy = [
  L1#[1 2]#1
  L2#[1 4]#1
  L3#[2]#1
  L4#[2]#1
  L5#[2 3 3 4]#2
]

```

## C.12. Collectors

```

% [listComprehension]#[expectedList]
Test = [
  [c:collect:C suchthat A in 1..2 do {C A}]
  #'#[c:[1 2]]

  [c:collect:C suchthat A in 1..2 if A == 1 do {C A}{C A+1}]
  #'#[c:[1 2]]
]

```

```

[c:collect:C suchthat _ in 1..1 A from fun{$}1 end do {C A}{C A+1}]
##'(c:[1 2])

[c:collect:C suchthat _:A in r(r(r(1) r(2))) do {C A}]
##'(c:[1 2])

[c:collect:C suchthat A in 1..2 suchthat B in 3..4 do {C A+B}{C A*B}]
##'(c:[4 3 5 4 5 6 6 8])

[1:collect:C1 2:collect:C2 suchthat A in 1..2 do {C1 A}{C1 A*A}{C2 A
+1}]
#([1 1 2 4]#[2 3])

[1:collect:C1 2:A+1 suchthat A in 1..2 do {C1 A}{C1 A*A}]
#([1 1 2 4]#[2 3])

[c:collect:C suchthat A in 1..3 if local skip in {C A} 0 == 1 end]
##'(c:[1 2 3])

[c:collect:C suchthat A in 1..3 if {Ext C false} do {C A}]
##'(c:[1 1 1])

[c:collect:C suchthat A in 1..3 if {Ext C true} do {C A}]
##'(c:[1 1 1 2 1 3])
]
L1 = thread [c:collect:C suchthat lazy A in 1..2 do {C A}] end
L2 = thread [c:collect:C suchthat lazy A in 1..2 do {C A}{C A+1}] end
L3a = thread [1:collect:C1 2:collect:C2 suchthat lazy A in 1..2 do {C1 A}{
C2 A+1}] end
L3b = thread [1:collect:C1 2:collect:C2 suchthat lazy A in 1..2 do {C1 A}{
C2 A+1}] end
L4 = thread [c:collect:C suchthat lazy A in 1..2 suchthat B in 3..4 do {C A
+B}] end
L5 = thread [c:collect:C suchthat A in 1..2 suchthat lazy B in 3..4 do {C A
+B}] end
L6a = thread [1:collect:C1 2:collect:C2 suchthat lazy A in 1..2 suchthat B
in 3..4 do {C1 A}{C2 B}] end
L6b = thread [1:collect:C1 2:collect:C2 suchthat lazy A in 1..2 suchthat B
in 3..4 do {C1 A}{C2 B}] end
L7a = thread [1:collect:C1 2:collect:C2 suchthat lazy A in 1..2 suchthat
lazy B in 3..4 do {C1 A}{C2 B}] end
L7b = thread [1:collect:C1 2:collect:C2 suchthat lazy A in 1..2 suchthat
lazy B in 3..4 do {C1 A}{C2 B}] end

```

```

TestsLazy = [
  L1.c#[1 2]#1
  L2.c#[1 2 2 3]#2
  L3a.1#[1 2]#1
  L3b.2#[2 3]#1
  L4.c#[4 5 5 6]#2
  L5.c#[4 5 5 6]#1
  L6a.1#[1 1 2 2]#2
  L6b.2#[3 4 3 4]#2
  L7a.1#[1 1 2 2]#1
  L7b.2#[3 4 3 4]#1
]

```

### C.13. Miscellaneous

```

% [listComprehension]#[expectedList]
[A+B suchthat A#B in [1#2 3#4 5#6]]
#[3 7 11]

[A+B#C suchthat A#B in [1#2 3#4 5#6] suchthat C in 0 ; B+C<5 ; C+2]
#[3#0 3#2 7#0]

[A+B#C suchthat A#B in [1#2 3#4 5#6] suchthat C in 0 ; B+C<5 ; {Fun2}]
#[3#0 3#2 7#0]

[A+B#C suchthat A#B in [1#2 3#4 5#6] suchthat C in (Cell:=0 @Cell) ; B+
  @Cell<5 ; (Cell := @Cell + {Fun1} @Cell) ]
#[3#0 3#2 7#0]

[A#B suchthat A in 1..3 suchthat B in {Fun3 A}]
#[1#1 1#2 1#3 2#2 2#3 2#4 3#3 3#4 3#5]

[{Fun1} suchthat - in [1 2 3 4 5]]
#[2 2 2 2 2]

[A suchthat A in [1 2 3] ; A\=nil ; A.2]
#[[1 2 3] [2 3] [3]]

[1 suchthat - in (Cell:=0 @Cell) ; @Cell<5 ; (Cell:=@Cell+1 @Cell)]
#[1 1 1 1 1]

```



```

[ {Fun1} suchthat _ in 1..5]
#[2 2 2 2 2]

[A suchthat A|_ in [[1 foo] [2 foo] [3 foo]]]
#[1 2 3]

[[A suchthat A in B ; A<10 ; A+1] suchthat B in 1..5]
#[[1 2 3 4 5 6 7 8 9] [2 3 4 5 6 7 8 9] [3 4 5 6 7 8 9] [4 5 6 7 8 9] [5 6
  7 8 9]]]

[a:[A suchthat A in B ; A<10 ; A+1] suchthat B in 1..5]
#'#'(a:[1 2 3 4 5 6 7 8 9] [2 3 4 5 6 7 8 9] [3 4 5 6 7 8 9] [4 5 6 7 8 9]
  [5 6 7 8 9]))

[[A suchthat A in B ; A<10 ; A+1] [[C+A suchthat C in 1..2] suchthat A in B
  ..B+3 ; 2] suchthat B in 1..5]
#([ [1 2 3 4 5 6 7 8 9] [2 3 4 5 6 7 8 9] [3 4 5 6 7 8 9] [4 5 6 7 8 9] [5 6
  7 8 9]]#[[ [2 3] [4 5]] [[3 4] [5 6]] [[4 5] [6 7]] [[5 6] [7 8]] [[6 7]
  [8 9]]])

([A suchthat A in 1..2]#[A suchthat A in 3..4])
#([1 2]#[3 4])

[A B suchthat A in 1..2 B in 3..4]
#([1 2]#[3 4])

(1|[A suchthat A in 2..6])
#[1 2 3 4 5 6]

(1|2|[A suchthat A in 3..6])
#[1 2 3 4 5 6]

'|'(1:1 2:[A suchthat A in 2..6])
#[1 2 3 4 5 6]

```

## C.14. Record comprehensions

```

% [recordComprehension]#[expectedRecord]
(A suchthat _:A in Rec)

```

```

# Rec

(F suchthat F:_ in Rec)
# rec(1:1 b:b c:c d:d)

([F A] suchthat F:A in Rec)
# rec(1:[1 a] b:[b b] c:[c c] d:[d d])

(1 suchthat _:A in Rec)
# rec(1:1 b:1 c:1 d:1)

(1 suchthat _:_ in r(1 2 rr(3 rrr(4)) 5))
# r(1 1 rr(1 rrr(1)) 1)

(1 suchthat _:A in r(1 2 rr(3 rrr(4)) 5) of {Bool A})
# r(1 1 rr(1 1) 1)

(1 a:2 suchthat _:A in r(1 2 rr(3 rrr(4)) 5) of {Bool A})
# '#'(1:r(1 1 rr(1 1) 1) a:r(2 2 rr(2 2) 2))

(A+1 suchthat _:A in r(1 2 rr(3 rrr(4)) 5))
# r(2 3 rr(4 rrr(5)) 6)

(A+1 A-1 suchthat _:A in r(1 2 rr(3 rrr(4)) 5))
# (r(2 3 rr(4 rrr(5)) 6)#r(0 1 rr(2 rrr(3)) 4))

(A suchthat _:A in r(1 2 rr(3 rrr(4)) 5) if {Bool A})
# r(1 2 rr(3) 5)

({Treat A} suchthat _:A in r(1 2 rr(3 rrr(4)) 5) of {Bool A})
# r(2 4 rr(6 8) 10)

(A suchthat F:A in r(1:bb(w(2)) 2:y(1) 3:w(2) 4:n(0) 5:rr(n(0) 6:w(2) 7:y
  (1) 8:y(1)) 9:rr(rrr(y(1) n(0))))
of {Label A} \= bb otherwise F > 3
  if {Label A} \= w)
# r(1:bb(w(2)) 2:y(1) 4:n(0) 5:rr(1:n(0) 7:y(1) 8:y(1)) 9:rr(rrr(y(1) n(0))
  ))

(A if A == yes suchthat _:A in rec(a:yes b:no c:yes rec:rec(a:no b:yes)))
# rec(a:yes c:yes rec:rec(b:yes))

(A if A > 4 suchthat _:A in r(r1(1 2 3) r2(4 5 6)) if {Label A} == r2)
# r(2:r2(2:5 3:6))

```

```

(F#A suchthat F:A in [1 2 3])
# (1#1|1#2|1#3|2#nil)

(F#A suchthat F:A in [1 2 3] of 1 == 0)
# ' '|'(1#1 2#[2 3])

(A suchthat _:A in Tree)
# Tree

(A+1 suchthat _:A in Tree)
# tree(tree(leaf(2) leaf(3)) leaf(4))

(F#A suchthat F:A in Tree)
# tree(tree(leaf(1#1) leaf(1#2)) leaf(1#3))

(F#A suchthat F:A in Tree of F == 1)
# tree(tree(leaf(1#1) 2#leaf(2)) 2#leaf(3))

(A suchthat F:A in Tree if F == 1)
# tree(tree(leaf(1)))

(@C suchthat _:A in Tree do C := A)
# (Tree)

(if F==key then N+1 else N end suchthat F:N in OBTree of F == left or else F
  == right)
# obtree(key:2 left:leaf right:obtree(key:3 left:leaf right:leaf))

```

## Chapter D

# Tutorial on comprehensions

This paper aims at explaining the complete syntax of comprehensions in Mozart2. There are two kinds of comprehensions implemented for the moment: list and record comprehensions. There are two main differences between the two later. First, list comprehensions return list(s) while record comprehensions return record(s). Second, there are more functionalities available with list comprehensions.

### D.1. List comprehensions

A list comprehension allows the creation of lists in an easy and flexible way. In this section, we explain their complete syntax incrementally.

An example of a very simple list comprehension is:

```
[A+1 suchthat A in 1..5] % = [2 3 4 5 6]
```

Let us analyze this comprehension. The first thing that interests us is the *suchthat* *A in* 1..5. This means that the list comprehension creates a new variable named *A* and that this variable will take values from 1 to 5. The output is specified by the first part, *A+1*. It means that we just add the current value of *A* incremented by 1 to the output list. This expression can be anything.

We will start from this simple syntax and, step by step, add functionalities. All functionalities are compatible with each other, even if examples do not cover all combinations.

#### *Generator, ranger and layer*

A *generator* is the specification of a structure on which the comprehension iterates. In our example, 1..5 is a generator, it iterates from 1 to 5.

The *ranger* is the structure that is assigned to the current value of its corresponding generator at each iteration. In our example, *A* is the ranger of the generator we just saw.

A *layer* is the aggregation of a ranger and its generator.

There are five kinds of generators. Here are the descriptions of the first four (examples follow):

**Integer generator:** `in Low..High ; Step`

Goes from integers `Low` to `High` by integer step of `Step`. The step is optional, default is 1. `Low` must be smaller or equal to `High`.

**C-style generator:** `in ( Init ; Condition ; Next )`

Initiate its ranger to `Init`, iterates while `Condition` evaluates to true and updates the ranger to `Next` at the end of each iteration. The parenthesis are optional. The condition is optional, default is `true` (this implies that the generator never stops iterating by itself).

**List generator:** `in List`

`List` can be a variable containing a list or the definition of new list. The generator iterates over all the elements of the list in the same order as they appear in the list. The list can be a stream.

**Function generator:** `from Function`

`Function` can be a variable containing a function or the definition of a unnamed function. In both cases, the function does not take any arguments. The generator never stops. At each iteration, the current value of the generator is the result of calling `Function`.

```
% Integer generator
[A suchthat A in 1..5 ; 2] % [1 3 5]
[A suchthat A in 1..5 ; 1] % [1 2 3 4 5]
[A suchthat A in 1..5] % [1 2 3 4 5]
% C-style generator
[A suchthat A in 1 ; A<6 ; A+1] % [1 2 3 4 5]
[A suchthat A in ( 1 ; A<6 ; A+1 )] % [1 2 3 4 5]
[A suchthat A in 1 ; A+1] % [1 2 3 4 5 ...] (never stops)
% List generator
L = [1 2 3]
[A suchthat A in L] % [1 2 3]
[A suchthat A in [1 2 3]] % [1 2 3]
[A suchthat A in [1 [2] 3]] % [1 [2] 3]
% Function generator
Fun = fun{$} 1 end
[A suchthat A from Fun] % [1 1 1 1 ...] (never stops)
[A suchthat A from fun{$} 1 end] % [1 1 1 1 ...] (never stops)
```

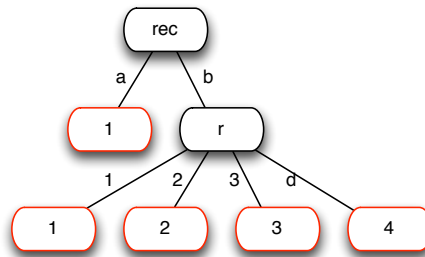
The fifth kind of generator, record generators, is a bit more complicated. A record generator has the following form:

```
F:V in Record of Function
```

As for lists, **Record** can be a variable containing a record or the definition of a new record. If it is a variable, then we have no way to know in advance what kind of generator it is. That is why we need a way to differentiate them. The ranger contains a feature **F** and a value **V**. This is how we differentiate record from list generators. Note that this is the reason why the function generator uses the **from** keyword and not **in**.

Iterating over a record with a feature and a value means that at every iteration, the feature is assigned to the current feature and the value is assigned to the current value. Such rangers allow having all the field information available.

The iteration goes through all fields of the record in the same order as its arity. When the record contains records, it forms a nesting as in figure D.1. In that case, only the terminals of the nesting are considered in the iterations. The nesting is traversed using the depth-first mode.



**Figure D.1:** Representation of the nesting formed by  $\text{rec}(a:1 \ b:r(1 \ 2 \ 3 \ d:4))$ . Red stands for terminals.

We did not explained what is **Function** yet. It is a function taking two arguments that returns a boolean. It is called by the list comprehension every time it encounters a non-empty nested record. The arguments are respectively the feature and the value of the field containing the nested record. When the result is true, the nested record is considered as such and we traverse it in depth-first mode. On the other hand, when the result is false then the nested record is treated as a terminal.

Here are some examples of list comprehensions with record generators:

```

R = r(a:1 b:r(1 2))
Fun = fun{$ F V} F \= b end
[A suchthat _:A in 1#2#3] % [1 2 3]
[A suchthat _:A in R] % [1 1 2]
[F suchthat F:_ in R] % [a 1 2]
[F#A suchthat F:A in r(a:1 b:r(1 2))] % [a#1 1#1 2#2]
[F#A suchthat F:A in R of Fun] % [a#1 b#r(1 2)]
[F#A suchthat F:A in R of fun{$ F V} F \= b end] % [a#1 b#r(1 2)]
  
```

### Multi layer

Now that all kinds of generators have been specified, we can move on to specifying several layers. One specifies several layers as follows:

```
[... suchthat Layer_1 ... Layer_N]
% Example
[[A B] suchthat A in 1..3 B in [a b]] % [[1 a] [2 b]]
```

Layers are traversed simultaneously. It means that a layer stops iterating when its iteration has reached its end or once at least one of its neighbor layers stops iterating. So the *smallest* generator (with the smallest number of iterations) decides when to stop iterating. In our example above, B is the range of the smallest generator and will take the values **a** then **b**. A will follow B and take the values 1 then 2, never 3.

Thanks to this functionality, function generators can be stopped as well as C-style generators without a condition.

### **Multi level**

A *level* is the aggregation of one or more layers traversed simultaneously (inside the same **suchthat**) with an optional condition. A list comprehension contains at least one level.

Levels are like nested loops. It means that for each element of the main loop, the nested loop will run all its iterations. Here is an example with two levels:

```
L = [4 7]
[[A 2*B] suchthat A in L suchthat B in 3..5] % [[4 6] [4 8] [7 6] [7 8]]
```

In addition to all its layers, a level can have a condition. The latter is always at the end of the level and is delimited by the keyword **if**. The condition is a boolean expression. When the condition evaluates to true, the iteration is accepted and the next level is called if it exists. If it does not then an element is added to the output list. When the condition evaluates to false, the current iteration of the current level is skipped. Here are some examples:

```
L = [1 2 4 7]
[[A 2*B] suchthat A in L if A>6 suchthat B in 3..5] % [[7 6] [7 8] [7 10]]
[A+B suchthat A in L suchthat B in 3..5 if A>B] % [7 10 11 12]
[A+B suchthat A in L suchthat B in 3..5 if A>B andthen B<5] % [7 10 11]
```

### **Multi output**

Up to now, we limited ourselves to one output list. List comprehensions allow the result

to have more than one output lists. All these output lists are put inside a record. One just specifies one expression by output list as follows:

```
[a b suchthat _ in 1..2] % [a a]#[b b]
```

When features are not specified, they are implicitly created to be the smallest unused integers from one. The label is always '#' so that the record can also be equivalent to a tuple declared using its syntactic sugar.

To specify the feature, one just needs to use a colon to separate the feature from the expression. Here are two examples:

```
[a:a b:b suchthat _ in 1..2] % '#'(a:[a a] b:[b b])
[a 1:b suchthat _ in 1..2] % [b b]#[a a]
```

In addition, each output can have a condition called the *output condition*. This condition allows filtering the elements of the corresponding list. Thanks to this functionality, output lists can have different sizes. Here is an example:

```
[smallerEquals:A if A ≤ 3 bigger:A if A > 3 suchthat A in [3 4 2 8 5 7 6]]
% '#'(smallerEquals:[3 2] bigger:[4 8 5 7 6])
```

The output is a list only when there is one output expression without a feature, otherwise, the result is a record of list(s). So the two following examples are different:

```
[1:A if A < 3 suchthat A in 1..5] % '#'(1:[1 2])
[A if A < 3 suchthat A in 1..5] % [1 2]
```

## ***Laziness***

A level can be specified as lazy. It is done by specifying a lazy flag as any other layer (the flag is considered as a layer):

```
declare L in
thread L = [A suchthat lazy A in 1..5] end
% L = _
{List.drop L 1 _}
% L = 1|_
{List.drop L 3 _}
% L = 1|2|3|_
```



As levels are specified as lazy, one must choose wisely which level(s) must be lazy as in the following example:

```
declare L in
thread L = [A#B suchthat lazy A in 1..5 suchthat B in [a b]] end
% L = -
{List.drop L 1 -}
% L = 1#a|1#b| -
{List.drop L 2 -}
% L = 1#a|1#b| -
{List.drop L 3 -}
% L = 1#a|1#b|2#a|2#b| -
```

As the first level is declared as lazy, this level waits for an element to be needed to launch the iteration over its next element. When a value is needed, the first level allows one iteration to execute. This means that the second level can traverse all of its iterations because it is not lazy. That is why in the above example, elements are created by two (the number of iterations of the second level).

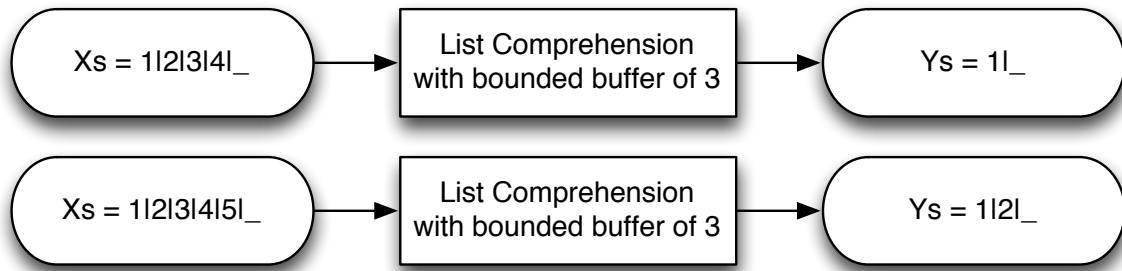
### ***Bounded buffers***

When one uses a list generator, a new functionality appears. It is called the bounded buffer<sup>1</sup>. It specifies the length of the bounded buffer to keep for the corresponding list. The syntax is the list followed by the size of the buffer separated by a colon. Here is a complete example:

```
declare Xs Ys Gen in
fun lazy {Gen I N}
  if I ≤ N then I|{Gen I+1 N}
  else nil
end
end
thread Xs = {Gen 1 10} end % or [A suchthat lazy A in 1..10]
thread Ys = [A suchthat lazy A in Xs:3] end
% Xs = 1|2|3| -      Ys = -
{List.drop Ys 1 -}
% Xs = 1|2|3|4| -    Ys = 1| -
{List.drop Ys 2 -}
% Xs = 1|2|3|4|5| -  Ys = 1|2| -
```

<sup>1</sup>For more information on why using bounded buffers, please consult Van Roy, P., & Haridi, S., *Concepts, Techniques, and Models of Computer Programming*, MIT Press, 2004. We can also consult [https://github.com/francoisfonteyn/thesis\\_public/blob/master/Thesis.pdf](https://github.com/francoisfonteyn/thesis_public/blob/master/Thesis.pdf)

A representation of the bounded buffer in the example above is in figure D.2.



**Figure D.2:** *Representation of a bounded buffer.*

The existence of bounded buffers is one of the reasons to differentiate list and record generators (recall that list are special records).

### ***Bodies***

As a list comprehension has an arbitrary number of levels, it is comparable to nested loops. This comparison has driven us to allow list comprehensions to have a body. The *body* of a list comprehension is a statement that will be executed (just) before each time the comprehension adds an element to each output, or when the comprehension tries appending even if output conditions are false. In the comparison with nested loops, the body is the statement inside the *deepest* loop of the nesting. Here is an example:

```
[A suchthat A in 1..5 do {Delay 1000}] % [1 2 3 4 5] after 5 seconds
```

### ***Collectors***

The last functionality of list comprehensions is collectors. As in for loops, a *collector* is a procedure that appends its only argument to the end of its corresponding list. So when specified, a collector is assigned to a procedure that appends an element that a list. When one wants to use a collector, he must specify it as an output with a mandatory feature, the flag `collect` and the variable that will be assigned to the procedure, all separated by colons. Here are some examples:

```
[c:collect:C suchthat A in 1..5 do {C A}] % '#'(c:[1 2 3 4 5])
[c:collect:C suchthat A in 1..3 do {C A}{C A+1}] % '#'(c:[1 2 2 3 3 4])
[1:collect:C1 2:collect:C2 suchthat A in 1..5 do {C1 A-1}{C2 A+1}]
% [0 1 2 3 4]#[2 3 4 5 6]
```

Collectors can also be passed as arguments of external procedures. Note that the lists corresponding to collectors are closed at the end of the list comprehension.

## D.2. Record comprehensions

The principle is basically the same as for list comprehensions except that instead of returning a list (or several ones) record comprehensions return a record (or several ones). The idea is to keep the same shape, the same arity as the input record. For this reason, record comprehensions only take one record as input. In other words, we could say that record comprehensions are restricted to one layer and one level. On the other hand we still keep the multi output but without individual conditions, only the level condition can be specified. In list comprehensions, one can specify a boolean function with two arguments to discriminate leaves when traversing a record. With record comprehensions, the same thing is possible but we decided to put the condition directly instead of putting in a function. This is because we think it is more unified with the (unique) level condition not to use a function.

Because record comprehensions output a record (or records) similar to the input, we decided not to allow several levels. If one wants to use several layers then it would imply that all input records have similar (nested) arities. For these reasons, we decided to restrict record comprehensions to one level and one layer. Collectors are specific to lists so they are not implemented in record comprehensions.

As record comprehensions use a syntax very similar to the one of list comprehensions, we need to differentiate them. This is done by using parenthesis instead of square brackets to delimit the comprehension.

Collectors are specific to list comprehensions but bodies are not.

Here are some examples:

(A <b>suchthat</b> _:A <b>in</b> r(a:2 b:3 1))	% r(1:1 a:2 b:3)
(A+1 <b>suchthat</b> _:A <b>in</b> r(a:2 b:3 1))	% r(1:2 a:3 b:4)
(@C <b>suchthat</b> _:A <b>in</b> r(a:2 b:3 1) <b>do</b> C := A)	% r(1:2 a:3 b:4)
(F <b>suchthat</b> F:A <b>in</b> r(a b c))	% r(1 2 3)
(F+1 <b>suchthat</b> F:A <b>in</b> r(a b c))	% r(2 3 4)
(F#A <b>suchthat</b> F:A <b>in</b> r(a:1 b:r(1 2)))	% r(a:a#1 b:r(1#1 2#2))
(F#A <b>suchthat</b> F:A <b>in</b> r(a:1 b:r(1 2)) <b>of</b> F == a)	% r(a:a#1 b:b#r(1 2))
(F#A <b>suchthat</b> F:A <b>in</b> r(a:1 b:r(1 2)) <b>if</b> A > 1)	% r(b:r(2:2#2))
(F#A <b>suchthat</b> F:A <b>in</b> r(a:1 b:r(1 2)) <b>if</b> A > 1 <b>of</b> F == a)	% r(b:b#r(1 2))
(F#A <b>if</b> A > 2 <b>suchthat</b> F:A <b>in</b> r(a:1 b:r(1 2)) <b>if</b> A > 1)	% r(b:b#r(1))

---

A more complex example where we handle a binary tree follows:

```
declare
Rec = obtree(key:1 left:leaf right:obtree(key:2 left:leaf right:leaf))
{Browse (if F==key then N+1 else N end suchthat F:N in Rec of F==left
        or else F==right)}
% browses
obtree(key:2 left:leaf right:obtree(key:3 left:leaf right:leaf))
```

