

# Module 1

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Storing acid data

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## Transaction

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A sequence of **Read** and **Write** operations that has the four well known **ACID** properties ☐ Remember what they stand for?

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## ACID

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**Atomicity:** All changes commit or **none** **Consistency:** They only transition the system from a **valid** state to another **Isolation:** They execute **isolated** from each other

More on this later

**Durability:** Committed transactions **persist** despite system failures

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## ACID

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You can see this as **expectations** your users will have from your system

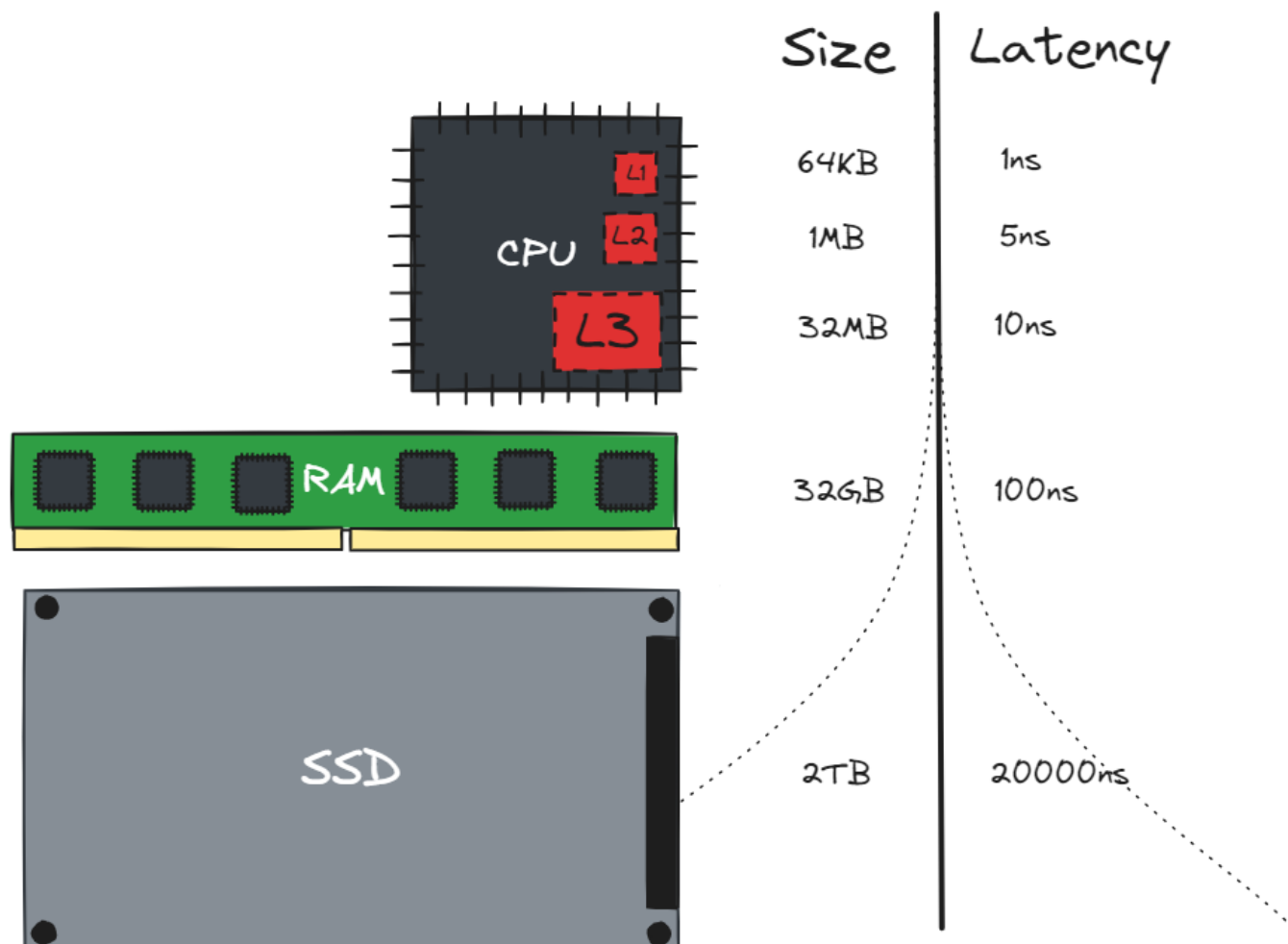
- e.g: in the previous exercise, a user would assume that calling **set** successfully guarantees that data has been **written** to disk

☐ Spoiler alert, this was **not the case**, which explains why there was a task asking to to run **sync** for every request, which was **insanely slow**

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## Because disks are indeed slow

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## Buffered vs Direct I/O

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In the previous exercise, you were using **buffered I/O**. You were actually writing pages in **memory**

The kernel would eventually write them to disk after some time (generally 30s maximum). It does this precisely because **disks are slower than memory**

△ Yes, this means that in the event of a **power failure**, data was **lost**

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## But that's not the worst

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You were modifying data **in place**

Your system did not provide any way to **group operations together**

Nor to **cancel** all of them if something goes wrong

Which is the definition of atomicity

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## Coming back to our problem

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How do we come up with an implementation that

- Provides **durability** guarantees
- While also allowing to **ROLLBACK** a change (or a set thereof)
- **Bonus point** for some smart caching for reads

□ Any guess?

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□ Any guess? a **Log** and a **Buffer Pool**

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## The WAL or Write-Ahead Log

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All **operations** are written in a log

Every line in the log has **enough information** to replay the operation to provide **Point-In-Time Recovery**

⚠ **All log entries** for a transaction must be physically written to disk using `open(O_DIRECT)` before the transaction can **COMMIT**, hence the **write-ahead**

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## Buffer Pool

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Most databases choose to implement their **own buffer**, sitting **between** the database process and the filesystem.

Because the database **knows** what it should retrieve, when it should do it, and how long it should keep it

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## Buffer Pool

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The Buffer decides **when** data is read from disk to memory, and **when** pages are persisted to disk

PostgreSQL uses **Buffered I/O** there

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## Wait, Postgres uses Buffered I/O?

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It started as a **research** project in the 1980s and only has still a few contributors

Their focus was on the **database engine** itself and a Direct I/O stack is **hard to implement**

e.g. need to manage how to **group** write operations together on the storage device, which the OS kindly does for you

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## 🤖 Wait, Postgres uses Buffered I/O?

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And remember this is not an issue for **durability**, as the log itself has all the information and uses **IO\_DIRECT**

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## Wait, my log is growing indefinitely

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Run **CHECKPOINT** regularly

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## Checkpoint

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Happens automatically **every few minutes**, done by the **Background Writer**

1. Get the current WAL **position**
2. Write outdated data from Buffer Pool to the **Linux Page Cache**
3. Call **fsync** on **all those files** which ensures they are physically written to disk
4. **Mark** the new point of recovery, and clean the log entries before

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## Checkpoint

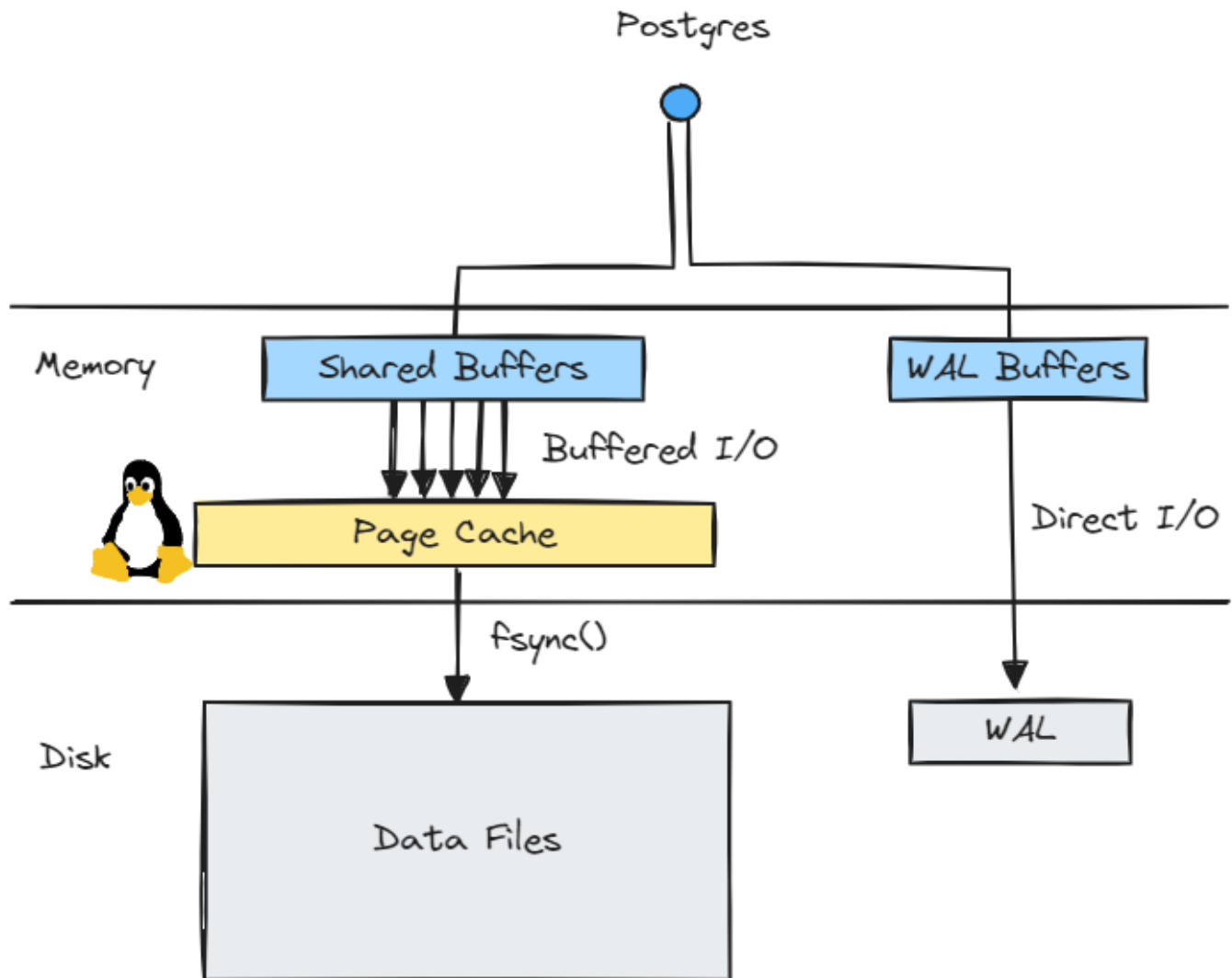
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☐ Did you recently hear about **issues** there (think of **fsync**)?

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## Recap of Postgres storage

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## What are we storing exactly

Database files are split in units of a few KBs called **pages**

A page is the **smallest** unit of storage the database can interact with

→ Postgres uses 8KB pages by default (same for Microsoft SQL Server)

The **Buffer Pool** is thus a **cache of pages**, organized as an in-memory array

## Tuple Alignment

Tuples are stored on multiples of 64 bits.

Some systems **reorganize columns** in the tuple

PostgreSQL will **pad** every type to make sure that everything is **64-bit** aligned

For big enough values, store them in specific pages (**TOAST** pages in Postgres) **TOAST** = The **Oversized-Attribute Storage Technique**

## NULL handling

□ How are **NULL**s stored?

## NULL handling

□ How are **NULL**s stored?

- 1. **Reserve** a value
- 2. Use a bitmap in the row **header**

## Dealing with concurrency

Our homemade database was only used by us

In real life we would have had **multiple users** running multiple concurrent queries

What problems does it cause?

## Isolation Anomalies

**Isolation** is another story We are trying to prevent the following to happen:

- **Dirty** reads: reading uncommitted changes
- **Non-repeatable** reads: reading a value that has been **updated** by another committed transaction
- **Phantom** reads: reading a value that has been **inserted** by another committed transaction

## Isolation Levels

Isolation Level	Dirty Reads	Non-Repeatable	Phantom
Read Uncommitted	□	□	□
Read Committed	□	□	□
Repeatable Read	□	□	□
Serializable	□	□	□

# How to guarantee this?

There are mainly two approaches used in the industry ☐ Can you name one?

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MVCC and Locks

# Locks

A **Lock Manager** grants different kinds of locks on objects, such as **Exclusive** or **Shared** locks

Existing → Requested ↴	None	S (Shared)	X (Exclusive)
S (Shared)	☐	☐	☐
X (Exclusive)	☐	☐	☐

**Drawback:** Locks limit the **concurrency**

# A note on Optimistic CC

**Locks** ☐ do not have to be taken **immediately**

We can instead choose to assume that everything *generally* goes fine

- 1. Record the **version** of objects we are reading
- 2. At write time, if the destination has **not** changed **COMMIT**, otherwise, **ROLLBACK**

# MVCC

Every operation operates on a **snapshot** of the database

Reading never blocks writing and writing never blocks reading.

Tuples are not modified in **place**

**Drawback:** outdated pages need to be **cleaned up** and txid wraparound ☐

## Isolation Levels in PostgreSQL

- **REPEATABLE\_READ** is provided by taking a snapshot at the beginning of the transaction. Concurrent updates will lead to a so-called serialization error and a **ROLLBACK**
  - **SERIALIZABLE** is the same with a stronger guarantee: if a table is **read** by two concurrent transactions, one is aborted
  - **READ\_COMMITTED** is the default
  - **READ\_UNCOMMITTED** does not really exist (❓ Why?)
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## Recap

- We looked at how PostgreSQL **stores data on disk**
- We saw that it uses a **log** named the **WAL** to ensure atomicity and durability, as well as a **cache** named the **Buffer Pool**
- Isolation is provided by **transactions** that have different isolation levels
- The default isolation level, **READ COMMITTED** is provided by the **MVCC** nature of Postgres