Eco-evolutionary diversification of trait convergence and complementarity in

mutualistic networks

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Mutualistic networks show high levels of convergence, complementarity and nestedness. Convergence and complementarity have been attributed to coevolutionary interaction selection under a phenotype matching scenario. It is not clear, however, whether phenotype matching fits empirical patterns better than a phenotype difference scenario. Here, we present a spatially and genetically explicit plant-animal trait diversification model which uses a new model that lies between the phenotype matching and phenotype difference model. Our model predicts high levels of convergence, complementarity, and nestedness. Comparing the model predictions with observed levels of complementarity and convergence in an empirical plant-hummingbird mutualistic network, we find that, unlike previous models, they match well, except for convergence patterns in plants. Our results indicate that combining the spatial structure of plant-animal trait diversification with population dynamics in a phenotypic difference model alter predictions in a direction that is more in line with observations, and hence are important to be included in future studies of evolutionary patterns in mutualistic networks.

## Introduction

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Since Darwin's book "On The Origin of Species" (?), the idea of coevolution, defined as reciprocal evolutionary trait change between species, has sparked interest from biologists trying to understand how species interactions generate trait changes. The first clear indication of coevolution was Darwin's moth example (?) showing that the long corolla from the orchid Angraecum sesquispedale could only be reached by a pollinator species with a similar or larger proboscis length. Following the moth and orchid mutualism model system, several studies have modeled coevolutionary dynamics of a few species (?????), particularly highly specialized (i.e. obligatory mutualists) systems of plant-animal interactions, such as the fig-fig wasp mutualism (?). These studies have determined the ecological conditions for coevolutionary stable systems in highly specialized plant-animal interactions (??) ? argued that high specialization between plants and animals was not the only example of coevolution, but coevolution can also be the product of multiple-species interactions, a term that he coined "diffuse coevolution". Diffuse coevolution means that selection on traits is determined by the interaction of more than two species and not only based on pairwise interactions. This concept is based on the idea of "syndromes", where, for example, plants have a set of traits that attract a specific group of pollinator species with traits complementary to those of the plants (??). Evolutionary convergence, i.e. independent evolution of similar features among different lineages of the same plant or animal community may partly explain the formation of "syndromes" produced by the presence of specific mutualist partner species (?;?;?;?). Theoretical studies suggest that evolutionary convergence and complementarity are generated by coevolutionary interaction selection driven by a "phenotype matching model" (Nuismer et al. 2005; Kopp and Gavrilets 2006). For example, in highly specialized two-species interactions such as the fig-fig wasp mutualism (?), plants coevolve with their most efficient pollinator to strengthen the complementarity of their matching traits. The phenotype matching model assumes that the probability of a successful interaction decreases with increasing distance between the phenotypes of the interacting individuals (Nuismer et al., 2012). Examples are plant and animal phenology (Jordano et al. 2003; Olesen et al. 2010; Encinas-Viso et al. 2012) or proboscis and corolla lengths (Agosta and Janzen 2005; Santamaría and Rodríguez-Gironés 2007; Stang et al. 2007).

Only two theoretical studies have explored the importance of coevolutionary selection and pheno-

type matching in the emergence of convergence, complementarity and network structure in speciesrich mutualistic networks (??). ? studied a coevolutonary model with a fixed network structure
and showed that convergence in a one-dimensional trait may emerge as a consequence of coevolutionary selection for a complementarity trait between trophic levels. ? explored the importance
of coevolutionary selection in a multispecifies context to study the emergence of convergence, complementarity and network structure in mutualistic networks. They found that weak coevolutionary
selection produces little to no convergence but generates high complementary. As coevolutionary
selection intensifies, variation in the trait values of animal and plant species is reduced and high
convergence emerges but complementarity decreases. Furthermore they found antinested networks
regardless of the strength of coevolutionary selection.

? also used an alternative model called "phenotypic difference" in which the success probability of an interaction increases with the degree to which the animal trait exceeds the plant trait as might be the case for fruit and beak size in a dispersal mutualism (Lambert 1989; da Silva and Tabarelli 2000) or proboscis and corolla length in some pollination mutualisms (Inouye 1980; Borrell 2007; Anderson and Johnson 2009; Anderson et al. 2010). They showed that under the pheotype difference model networks were more nested when coevolutionary selection was weak. Furthermore, similar to the phenotypic matching model, convergence increases with the strength of coevolution in the phenotypic difference model (but only when interactions depend strongly of phenotypes); however complementarity is weaker for interactions mediated by phenotype difference than those mediated by phenotype matchings. Taken together, these results suggest that it is not trivial to simultaneously explain high degrees of convergence, complementarity and nestedness in species-rich mutualistic networks as observed in empirical data (?. The failure of successfully explaining three patterns simultaneously may also be a consequence of factors currently lacking in coevolutionary models of mutualistic networks, such as the role of genetics, gene flow, and assortative mating in plant-animal trait diversification (?;?;?;?), and spatial structure and population dynamics. For example, although gene flow can be seen as an homogenizing factor, there is increasing evidence showing the importance of gene flow in the speciation process (Nosil, 2008) as well as the importance of spatial structure?. However, more importantly, there is no doubt that considering genetic processes (e.g. drift, selection) are essential to understand biodiversification patterns. In this study we present an integrative model to study the

patterns of convergence, complementarity and nestedness in a model that includes genetic processes, sexual reproduction, spatial structure, population and trait diversification dynamics. Our model lies between a phenotype matching and phenotype difference model, as an interaction can only occur when the animal trait exceeds the plant trait, but the probability of success does not increase with this difference. Furthermore, we use a definition of convergence that accounts for phylogenetic relatedness.

In contrast to previous studies, our model shows high levels of convergence, complementarity and nestedness. Comparing these predictions to convergence and complementarity patterns observed in a plant-hummingbird mutualistic network, we find that the model is in agreement with observed level of plant-animal complementarity and convergence for the hummingbird community, but conflicts with convergence in the plant community. Our results indicate that combining the spatial structure of plant-animal trait diversification with population dynamics in a phenotype difference model alter predictions in a direction that is more in line with observations, and hence are important to be included in future studies of evolutionary patterns in mutualistic networks.

# 87 Eco-evolutionary trait diversification in mutualistic networks

To study the effect of spatial structure and plant-animal trait diversification on convergence, complementarity and nestedness in mutualistic networks, we develop a spatial quantitative genetics model for plant-animal interactions with overlapping generations. Individuals have fixed genome size and we start with one plant and animal species with all individuals having the same genetic composition at the outset (see Figure 1 for the steps of the model). Following population demography males and females individuals in both communities must be close enough in spatial, genetic, and morphological space for an obligate mutualistic interactions to occur (Figure 1 step B) and to produce offpsring with a new genotype-phenotype after mutation, recombination and phenotypic plasticity (Figure 1 step C, D and E). We follow the species definition of ?, where a species is a group of interbreeding and successfully reproducing individuals that are reproductively isolated from other groups. In our model two individuals can mate successfully if their genetic similarity value is larger than or equal to the minimum genetic similarity value. Thus, speciation is defined as a group of genetically related

individuals, where two individuals in a sexual population can be incompatible, but still conspecific as long as they can exchange genes indirectly through other conspecifics (??). This is the definition of 'ring species' (?). Please refer to Figure 1, Table 1 and SI for a detailed explanation of the terms included in the model and how we model population dynamics, diversification dynamics, quantitative trait dynamics and neutral locus evolution, respectively.

### Convergence, complementarity, nestedness and connectance

### Evolutionary convergence and complementarity

A focal species is considered to have convergent traits with another species if it is phenotypically 107 more similar to this other species than to the genetically most similar species (the sister species) 108 (see figure 2 and SI). The number of convergences potentially increases with the number of species 109 present. For example, if we have ten species and we exclude one of them as the sister species of the 110 focal species, we have nine species to calculate convergence. If we find that two out of nine species are 111 phenotypically similar enough to the focal species, we count two (out of nine,  $\sim 22\%$ ) convergences. 112 We repeat this by changing the focal species and calculate the mean number of convergence events 113 over all species. In contrast to previous approaches that used the mean pair-wise difference between 114 traits of species (?) or the variance of species traits in a guild as a proxy to predict convergence (i.e., 115 large values weak convergence whereas small values of the variance may indicate strong convergence, 116 (?)), our method considering phylogenetic relatedness is that it excludes cases of development of 117 very similar trait values in sister species due to shared evolutionary history and therefore it does not 118 overestimate convergence events. 119

The condition for complementarity between two mutualistic partners is simply that the phenotypic similarity between them, is larger than some predefined phenotypic threshold value

We refer to the SI for a detailed explanation of phenotypic similarity and mean genetic and phenotypic species similarity, and a precise definition of complementarity and convergence in terms of these similarities.

#### Nestedness and connectance

To study the connection between convergence and complementarity with network properties, we mea-126 sured two topological properties of plant-animal mutualistic networks: nestedness and connectance. 127 We estimated nestedness using the NODF algorithm developed by (?) because of its statistical ro-128 bustness. NODF is based on standardized differences in row and column fills and paired matching of 129 occurrences. Connectance measures the proportion of realized interactions among all possible inter-130 actions in a network. It is defined as  $C = \frac{k}{P*A}$ , where k represents the number of realized interactions 131 between plant and animal species and P and A represent the number of plant and animal species in 132 the network, respectively (?). 133

#### Simulations

We simulated equal population sizes for plants and animals with  $J^P = J^A = 1,000$  individuals. 135 Genome size, L, of each individual was 150 loci. Initial trait distributions for the plants,  $Z^P = [z_i^P]$ 136 and animals,  $Z^A = [z_i^A]$ , were generated following equation 2 plus a normally distributed environ-137 mental effect,  $\epsilon$ ,  $\mathcal{N}(\mu_{\epsilon}=0,\sigma_{\epsilon}^2=1)$ . To ensure plant mating conditions are met at the beginning of 138 the simulation all animal individuals have a higher phenotypic trait value that the plant individuals. 139 Geographic distances between each pair of individuals i and j for the plants,  $d_{ij}^P$ , and animals, 140  $d_{ij}^A$ , were calculated as follows: 1) Euclidean coordinates of a two-dimensional space  $(x_i, y_i)$  were 141 sampled from a uniform distribution  $(x_i = [0, 1], y_i = [0, 1])$  for each individual for the plants and 142 animals; 2) Using these coordinates we calculated a matrix of relative Euclidean distances between 143 the individuals for the plants,  $d_{ij}^P$ , and animals,  $d_{ij}^A$ . This procedure was repeated for each of the 144 geographic distance matrices  $(D^{PA}, D^P, D^A)$  (See Table 1 and SI). 145 We ran 2,000 generations for each replicate for a total of 500 replicates, where a generation is 146 the update of the effective population size  $(J^P = J^A = 1,000)$ , i.e. the number of steps equal 147 to the effective population size. Steady-state was verified by checking the constancy of speciation 148 events during the last 1000 generations. We calculated convergence, complementarity, nestedness and 149 connectance at steady-state. Convergence and complementarity events were calculated for a whole 150 range ([0.0, 1.0]) of their respective thresholds,  $t_{conv}$  and  $t_{comp}$ . We explored parameter combinations 151

with mutation rate,  $\mu \in \{10^{-4}, 10^{-2}\}$ , minimum genetic similarity,  $q_{min} = 0.97$ , maximum distance for finding a mate and disperse,  $d_{max} \in \{0.1, 0.3\}$ , and a maximum geographic distance to find a mutualistic partner,  $d_{max}^{PA} = 0.3$ . We implemented the model in Python (and tested in IPython (?)).

### 55 Confrontation to empirical data

We tested our model's predictions of convergence and complementarity on a plant-humming bird 156 network with 38 humming bird species and 133 plant species (?). To compute phenotypic similarity 157 we used empirical values of corolla length and bill length from plants and hummingbirds, respectively 158 (REF). To compute phylogenetic similarity for the humming birds we used a well resolved recently 159 published comprehensive phylogeny (McGuire et al, 2014) to obtain phylogenetic relationships for 24 160 out of 38 humming bird species; the remaining 14 species were not present in the phylogenetic tree. For 161 the plant species we constructed a phylogenetic tree using sequence data of 69 species from GenBank 162 (data on rbcL, matK and ndhF genes collected by PhyloGenerator using the option THOROUGH 163 to allow also data from close relatives if these relatives were not present in the community), aligned 164 them using MUSCLE (REF) and coonstructed the maximum likelihood (ML) tree using RAXML 165 (REF). We excluded 64 plant species from the analysis (see Suppl. Information) because there was 166 no sequence data available or the phylogenetic relationships were not well resolved. 167

We used the phylogenetic trees with their respective branch lengths to calculate a genetic distance 168 matrix among species. Using both phylogenetic trees (hummingbirds and plants) we simulated 169 nucleotide sequences of 100 bp with the program SeqGen (?) following the Jukes-Cantor model of 170 molecular evolution. These simulated sequences were then used to calculate the genetic distance 171 matrix using the R package seginr in R (?). To compare the convergence values obtained from the empirical data with our model predictions, we generated 1000 replicates from the simulations 173 (bootstrapping) with each replicate containing the same number of plant and animal species as the 174 empirical data. Mean values as well 0.05 and 0.95 CI were generated from these 1000 replicates. 175 Complementarity and convergence were calculated for each of the replicates across the whole range 176 of convergence,  $t_{conv}$ , and complementarity,  $t_{comp}$ , thresholds. We used a conservative definition for 177 convergence in which not only the sister species, but the 30% most genetically similar species were

79 excluded.

#### 80 Results

#### 181 Trait evolution

Population dynamics and diversification dynamics changed plant and animal community trait dis-182 tributions (i.e. corolla and proboscis lengths) with bimodal distributions being the most commonly 183 produced patterns across replicates (figure 3). At the species level, a gradient of species phenotypes 184 with common species presenting lower mean and higher variance than rare species emerged. Mean 185 and variance of the trait values were correlated for most replicates (Spearman- $\rho > 0.41$ , p < 0.05) 186 and the distributions of abundance for plant or animal species were highly skewed and significantly 187 different from a normal distribution (Lilliefors's test, all p < 0.001). Abundance predicted plant or 188 animal mean species traits in approximately 70% of the replicates (Spearman- $\rho > 0.32$ , p < 0.05) 189 and trait variance for all replicates (0.39 < Spearman- $\rho$  < 0.79, all p < 0.05). Mean and variance of 190 species trait values significantly differed between common and rare plant or animal species (inset in 191 figure 3) suggesting a strong impact of diversification by producing a gradient of species phenotypes 192 in mutualistic networks. 193

# 94 Convergence and complementarity

Evolutionary convergence events occurred in all replicate simulations (see equations SI-4 and SI-195 5 with an example of evolutionary convergence events in animals and plants represented in figure 196 4). Convergence events were heterogeneously distributed across species with most events occurring 197 between common species (0.42 < Spearman- $\rho$  < 0.89, all p < 0.05). Evolutionary convergence 198 occurred on average in  $17.3 \pm 6\%$  of all possible convergence events with more than 95% of these 199 events involving some of the three most common species. These results show that evolutionary 200 convergence is not randomly distributed across pairs of species but highly aggregated during the 201 diversification process. Evolutionary convergence can also be visualized using a scatter plot of the 202 genotype-phenotype map for all pairs of individuals within the plant and animal communities (see 203 Figure SI-1 Supplementary Information). As expected from equation ??, there is a positive genotype-

phenotype relationship. The scatter plot contains three main clouds of points that consistently occur in our simulations for the plants, P, and animals, A: 1) pairs of individuals of the same 206 species with high genetic  $(q_{ij} > q_{min})$  and phenotypic  $(p_{ij} > 0.9)$  similarity, 2) pairs of individuals 207 of the same species with genetic similarity below  $q_{min}$   $(q_{ij} < q_{min} = 0.97)$  and high phenotypic 208 similarity  $(p_{ij} > 0.9)$ . These are incompatible individuals of the same species for mating, yet with 209 high phenotypic similarity,  $p_{ij} > 0.9$ , and 3) highly genetically dissimilar individuals from different 210 species,  $q_{ij} \ll q_{min}$ , but with the presence of highly phenotypically similar individuals  $(p_{ij} > 0.9)$ . 211 This last category shows evidence of evolutionary convergence between species in plants and animals. 212 An increase in mutation rate increases the genetic divergence between species, as expected, but it 213 does not change the genotype-phenotype relationship qualitatively (see Figure SI-1 Supplementary 214 Information). 215

Evolutionary complementarity occurred with a similar frequency as evolutionary convergence in 216 each replicate (see equation SI-5 and compare the initial with the final trait distributions in figure 217 3), but with a larger variation ( $20 \pm 18\%$ ). Connectance values were consistently medium or high 218  $(\overline{C} = 0.5 \pm 0.07, \text{ figure 5}), \text{ mostly larger than reported in empirical data where it ranges between 0.05}$ 219 and 0.25. Nestedness values were always high  $(\overline{N} = 69.97 \pm 13.4 \text{ (figure 5)})$ , as observed in the empir-220 ical plant-pollinator networks. Convergence, complementarity and nestedness did not show signs of 221 trade-offs and were uncorrelated across all replicates (0.08 < Spearman- $\rho$  < 0.27, all p > 0.1) with 222 the exception of a positive correlation between trait complementarity and evolutionary convergence 223 in the plant community (Spearman- $\rho = 0.61$ , all p < 0.05). Our results, using phylogenetic related-224 ness and phenotypic similarity for the estimation of evolutionary convergence and complementarity 225 under weak coevolutionary selection, show evolutionary trait convergence and complementarity in all 226 our replicate simulations but with little and large variation, respectively. These results suggest that 227 in our model, trait convergence in plant and animal communities is largely independent or positively 228 correlated with trait complementarity for the animal and plant community, respectively.

# Comparison of model predictions with empirical data

Our model predicts well plant-animal complementarity and convergence for animals but not for plants in a empirical plant-humming bird mutualistic network (Figure 6). The observed proportion of

complementarity events for the empirical plant-hummingbird data is within the CI for a broad range of values of the complementarity threshold,  $t_{comp}$  (Figure 6a). Our model consistently predicts higher proportion of convergence events than the observed proportion in the plant community (Figure 6b). Predictions in the proportion of convergence events quickly increase for a high convergence threshold value both in the empirical data and in our model predictions (red lines Figure 6b) and saturates around the same observed values for medium and low convergent threshold values. Predictions of the proportion of convergence events for the hummingbird community are within the estimated CI for all the range of convergence threshold values (Figure 6c). These results show that predictions for plant-animal complementarity and convergence in the hummingbird community are robust against a broad range of threshold values.

#### 43 Discussion

Multispecific coevolution has been poorly studied so far due to its complexity, which involves numerous processes and mechanisms in the ecology and evolution of species interaction networks. Nevertheless, there is accumulating empirical data (REFS) for and increasing interest in understanding the
drivers of multispecific coevolution in ecological communities (REFS). Our model comes closer to
observed patterns of complementarity and convergence in the plant-hummingbird community than
previous models.

# The evolution of convergence and complementarity

Previous studies have argued that evolutionary convergence is the product of multispecific coevolutionary processes ('diffuse coevolution')(????) and therefore convergence events are molded by
similar ecological (or niche) selective pressures. The model of (?), which studied a model of 'phenotype differences' has shown that for weak coevolutionary selection trait values in animal and plant
species can be highly variable and non-convergent, but trait values of animal and plants species
show high complementarity (i.e. they are positively correlated). However, strong coevolutionary
selection decreases variation in the trait values of animal and plant species increasing convergence
and simultaneously complementarity decreases (i.e. correlations between traits of interacting species

are weakened). Interestingly, the results of ? show that trait convergence may in part emerge as a consequence of selection for a complementarity trait between the plants and animals. This model, contrary to Nuismer et al (2012), did consider background evolution (i.e. evolution driven by other forces not related to mutualistic interactions ?, which provides support to our results.

Interestingly, the model of 'phenotype differences' considered here has shown to make unlikely
the evolution of convergence and complementarity by coevolutionary selection (?). However, our
model shows that by considering a more realistic model of phenotype differences in a more realistic
setting gives results that are more like the observations than the results of previous models. In
other words, it is possible to observe the evolution of both convergence and complementarity. It
remains to be seen whether the action of both (selective an non-selective forces) and other models of
plant-animal interaction (e.g. 'phenotypic matching') will be able to generate the observed patterns
of high convergence, complementarity and nestedness in species-rich mutualistic networks.

Non-selective forces underlying trait dynamics can produce convergence. For example, ? simulated 271 evolution along phylogenies according to a Brownian motion model of trait change and demonstrated 272 that rates of convergence can be quite high when clades are diversifying under only the influence of 273 genetic drift. Furthermore, other types of constraints in the production of variation can also lead to 274 convergence. If the variation produced is limited, then unrelated species are likely to produce the 275 same variation, which may then become fixed in the population by genetic drift (??). Developmental 276 constraints or the evolution of genetic networks by non-adaptive processes may also be explanations 277 for the convergence of traits (???), but the role of developmental constraints or genetic networks 278 in determining convergence in species-rich mutualistic networks has yet not been explored. For 279 example, the tinkering of traits by evolutionary forces largely affects developmental pathways (e.g. 280 gene regulatory networks) (?). Developmental pathways are not static but can diverge through time 281 randomly without substantially affecting the phenotype (?). This concept, also called developmental 282 system drift (DSD) (?), might play an important role in the evolution of convergence in morphological 283 traits and it should be considered as another process where drift can act (?), for example, by random 284 wiring in gene regulatory networks. Our results based on a method that excludes cases of the development of a similar trait in related but distinct species descending from the same ancestor show that additional constraints such as dispersal limitation and assortative mating limit the production of <sup>288</sup> variation and lead consistently to convergence in distinct lineages in species-rich mutualistic networks.

Evolutionary complementarity is also consistently observed in our results but with a larger variation
than convergence. Complementarity is argued to be the main result of tight coevolution between
mutualistic species by mechanisms, such as trait-matching (e.g. corolla length-proboscis length)
(?). There is empirical (?) and theoretical evidence (?) for coevolutionary hot spots (?), which
suggests that local selective regimes can promote the coevolution of traits (?????????). In contrast,
our results show that medium levels of complementarity can emerge from relatively non-selective
forces and constraints occurring at several levels, from geographic limits to encounter partners and
disperse to the genetic and morphological constraints on producing viable offspring. In addition,

our model fitting show our predictions fit well to the observed plant-humming bird complementarity

across a broad range of complementarity threshold values (Figure 6).

Our predictions are able to reproduce some observed patterns of convergence and complementarity 299 in a plant-humming bird community. Observed convergence values were close to those predicted by 300 the model for the hummingbird community, but we predicted more convergence values than those 301 observed in the plant community. According to previous models, fewer convergence events could be 302 indicative of weak coevolutionary selection processes acting on the plant species. Although this is a 303 possible explanation for our overestimation of convergence, we think that our convergence estimates 304 may have been biased by the fact that we could not consider all the plant species of the community 305  $(\approx 50\%)$  only around 60% of the humming bird species. Our study is the first to quantify and compare 306 convergence and complementarity values from an empirical dataset with model predictions and we 307 believe this a major leap towards the understanding of multispecific coevolution and community 308 assembly. 309

## The problem of calculating convergence

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One prominent problem in the literature is how to quantify convergence. This problem is probably related to the lack of a rigorous or precise definition of convergence (REFS). Previous studies
(Nuismer et al, Guimaraes et al) proposed different ways of doing this, but their measurements of
convergence do not consider phylogenetic relatedness. For example, ? measured convergence as "the
mean pair-wise difference between traits of species at same trophic level" considering all the species of

the guild. (?) calculated the variance as a proxy to predict convergence (i.e., large values weak convergence whereas small values of the variance may indicate strong convergence). The main problem with these measurements that ignore phylogenetic relatedness is that they will tend to overestimate 318 convergence events. Most definitions of convergence agree that it is an evolutionary pattern in which 319 "similar phenotypes evolve independently in multiple lineages" (Stayton, 2015). Therefore, it is im-320 portant to consider phylogenetic distance to correct for shared and therefore dependent evolutionary 321 history. Our model with our new measure of convergence, which considers phylogenetic relatedness 322 and phenotypic similarity, shows that in the absence of abiotic selection trait convergence and com-323 plementarity always evolve but with little and large variation, respectively. Therefore, even with a 324 more conservative estimate of the number of convergence events, the results show that the modelled processes (or background evolution sensu?) are crucial for the emergence of these evolutionary 326 patterns. 327

### The emergence of mutualistic network structure

explored the connection between convergence and complementarity to nestedness patterns in mutualistic networks. They show that coevolutionary selection tend to decrease nestedness and it 330 generates even more strongly antinested networks when coevolutionary selection increases by favoring 331 the emergence of reciprocal specialization. In contrast, nestedness values were very high in our 332 model, as in real mutualistic networks. Previous neutral models taking into account ecological 333 drift (??), produced high values of nestedness which suggests that random interactions and species 334 abundance distribution ('neutral forbidden links' (?)), are determinants of the structure of mutualistic networks. Connectance values obtained from our simulations are close to the predictions of other 336 neutral network models (?). However, compared to real mutualistic networks with similar diversity 337 as ours (24 plant and animal species on average), our connectance values ( $\overline{C} = 0.5$ ) are higher 338 than the reported webs (C = 0.28) (?). Interestingly, ? found that only assuming coevolutionary 339 selection forces also leads to an increase in connectance. This means that both basic genetic and 340 ecological processes and coevolutionary selection can increase connectance in mutualistic networks. 341 The question is why observed mutualistic webs have a lower connectance than those predicted by our 342 model and previous models. We conjecture that this difference in connectance values might be due to

different types of forbidden links (i.e. biological constraints impeding plant-animal interactions), such as phenology (??) or environmental fluctuations that were not explicitly included in our approach. 345 In summary, our results show the emergence of convergence, complementarity and nestedness 346 following basic genetic and ecological processes. Our predictions fit well to the observed planthummingbird complementarity and hummingbird convergence. In contrast to previous studies show-348 ing antinested networks, we found highly nested values in agreement with empirical mutualistic 349 networks (?). Our results suggest that diversification dynamics combining ecological (demogra-350 phy and dispersal limitation), population genetics (mutation, recombination, assortative mating and 351 drift) and morphological constraints may form the basic processes producing the key patterns of 352 mutualistic networks, from trait convergence and complementarity to connectance and nestedness.

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# Supplementary Information

# The model: evo-evolutionary trait diversification in mutualistic

## networks

To study the role of spatial structure and plant-animal trait diversification on convergence, complementarity and nestedness, we start by considering a landscape consisting of several individual plants (P) and animal pollinators (A). We model obligate mutualism for both partners and the number of individuals at each trophic level is fixed and equal to the environmental carrying capacity for the given community. Genetic, phenotypic and species composition change in time and space due to population dynamics. At each time step replacement of dead individuals are followed by the offspring of the same or another species (the key terms and model steps are summarized in figure 1 and table 1, respectively). In this section we explain how we model populations, traits, and diversification.

## Population dynamics

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Our model is a stochastic individual-based model with overlapping generations. The population consists of  $J_P$  and  $J_A$  haploid gonochoric (i.e. separated sexes) individuals with an explicit genome of size L each and equal sex ratios at the outset. The genome of each individual is composed of L-1 loci determining assortative mating and one neutral locus. Both plant and animal population reproduce sexually and are spatially structured. First an individual plant k and an animal k' are randomly removed (death). They are replaced by reproduction. There are four conditions for producing viable offspring for the plants and animals. These four conditions are 1) geography, 2) genetics, 3) obligate mutualism and 4) morphology:

- 1. Geography: a female and a male individual within the plant and animal populations are randomly chosen among all females and males within a maximum distance,  $d_{max}$ , of the dead plant k and dead animal k'. This requires two geographic distance matrices, one for plants,  $D^P = [d_{ij}^P]$ , and one for animals,  $D^A = [d_{ij}^A]$ , containing all the pairwise distances.
  - 2. Genetics: to produce viable offspring the female and the male in the plant and animal popula-

tions must have a genetic similarity value of the assortative mating loci,  $q_{QO}$ , higher than the minimum genetic similarity to have viable offspring,  $q_{min}$ ,  $(q_{QO} > q_{min})$ . This process reflects assortative mating and it requires two genetic similarity matrices, one for plants,  $Q^P = [q_{ij}^P]$ , and one for animals,  $Q^A = [q_{ij}^A]$ , containing all the pairwise similarity values.

- 3. Obligate mutualism: in order to have a mutualistic interaction the geographic distance between the female, Q (animal or plant), and one of the two male animal or plant individuals, represented here as j, must be lower than the maximum distance,  $d_{max}^{PA}$ ,  $(d_{jQ}^{PA} < d_{max}^{PA})$ . This requires one geographic plant-animal distance matrix,  $D^{PA} = [d_{ij}^{PA}]$ , containing all the pairwise distances.
- 4. Morphology: the female plant need the presence of an animal pollinator with a larger or equallysized proboscis than the corolla of the plant, thus the phenotype of the selected pollinator,
  represented here as j, must satisfy  $z_{\mathbb{Q}}^{P} \leq z_{j}^{A}$ . This requires two phenotype distributions, one
  for the plants,  $Z^{P} = [z_{i}^{P}]$  and one for the animals,  $Z^{A} = [z_{i}^{A}]$ . This trait-mediated interaction
  relationship is a variation on the "phenotype differences" model ?.

The offspring arising from this mating event will occupy the geographic position of the just deceased individuals.

### Diversification dynamics

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To quantify speciation events we calculate the genetic distance between each pair of individuals based on the assortative mating loci. We represent the genome of each individual by a sequence of L-1loci, where each locus can be in two allelic states, +1 or -1. The assortative mating loci of each plant individual i in a population of size  $J_P$  is represented as a vector:  $S^i = (S_1^i, S_2^i, ..., S_L^i)$ , where  $S_u^i$  is the  $u^{th}$  locus of individual i. The genetic similarity based on assortatove mating loci between individuals i and j is calculated as the sum of identical loci across the genome

$$q_{ij}^{P} = \frac{1}{L} \sum_{u=1}^{L} S_{u}^{i} S_{u}^{j} \tag{1}$$

where  $q_{ij}^P \in \{-1, 1\}$  with the genetic similarity matrix,  $Q^P = [q_{ij}^P]$ , containing all pairwise genetic similarity values for plants (the same for animals,  $Q^A = [q_{ij}^A]$ ). The genome of the offspring is obtained by a block cross-over recombination of a female genome,  $S^Q$ , and a male genome,  $S^Q$ , where a locus

l in the genome of the parents is randomly chosen partitioning the genome of each individual in two blocks. All genes beyond that locus l in either genome are swapped between the two parents and eventually form two new genomes. One of the two new genomes is randomly chosen for the offspring. The offspring's genome undergoes mutations at mutation rate  $\mu$ . Figure 1 describes the recombination-mutation process.

At the beginning of the simulations all individuals are genetically identical (all  $q_{ij}^P$  and  $q_{ij}^A = 1$ ); 413 hence they are all able to mate and produce viable offspring regardless of their spatial location. The 414 genetic similarity between individuals of a guild can be visualized as an evolutionary spatial graph 415 (?), where nodes correspond to individuals and the edges correspond to the geographic distances 416 between a pair of individuals satisfying the genetic similarity condition for mating,  $q_{ij}^P(q_{ij}^A) > q_{min}$ . 417 The connectance of the graph will decrease when generations move forward because of the processes 418 described in the previous section: 1) spatial constraints for mating driving assortative mating and 419 dispersal limitation; 2) genetic divergence driven by the threshold for mating (incompatibilities), 420 mutation and recombination forming the genome of the offspring; 3) obligate mutualistic interac-421 tions driven by spatial proximity of individuals of the other guild, and 4) morphological constraints 422 following the phenotype differences scenario. 423

These four set of processes drive genetic divergence and speciation. We followed the species 424 definition of ?, which states that species are groups of individuals that are reproductively isolated 425 and can interbreed to produce fertile offspring. In our model this is realized through allowing two 426 individuals to mate successfully if their genetic similarity value is larger or equal to the minimum 427 value,  $q_{min}$ . Thus, speciation is defined as a group of genetically related individuals, where two 428 individuals in a sexual population can be conspecific while also being incompatible, as long as they 429 can exchange genes indirectly through other conspecifics (??). This is the definition of 'ring species' 430 **(?)**. 431

Genetic divergence will eventually produce the formation of two genetically incompatible clusters
of individuals, i.e. two species. This speciation process, also called 'fission-induced' speciation, continues to form more clusters and genetic divergence between individuals of different species increases.
However, the diversification dynamics will fluctuate due to random extinctions (death of last individual of a species). A stochastic balance between speciation and extinction is eventually reached

giving the final steady-state of the metacommunity.

### 438 Quantitative trait dynamics

We model each individual plant and animal with a quantitative trait,  $z^P$  and  $z^A$ , respectively. The processes described in figure 1 govern two quantitative traits, one for each guild: proboscis or bill length  $(z_i^A)$  in pollinators and corolla length  $(z_i^P)$  in plants. The quantitative trait of offspring is determined by the additive genetic effects of the genome (i.e. no epistasis) after the process of randomly choosing one of the two new genomes and mutation (figure 1) plus a normally distributed environmental effect,  $\epsilon$ ,  $\mathcal{N}(\mu_{\epsilon}=0,\sigma_{\epsilon}^2=1)$  (?). The phenotype of the plant offspring i is  $z_i^P=g_i^P+\epsilon$  and the genetic component  $(g_i^P)$  of the phenotype of offspring i is

$$g_i^P = L + S_o^i \tag{2}$$

with  $S_o^i = \sum_{u=1}^L S_u^i$ . Hence  $g_i^P$  is calculated as the sum of alleles across the genome (?) plus the number of loci to avoid negative trait values ( $g_i^A$  is calculated similarly for animals). We assumed that the magnitude of the influence (i.e., effect sizes) of any given locus on this quantitative trait is equal across all the loci (?). This means that two individuals with a different combination of alleles in the genome can express the same quantitative trait (?).

### 451 Neutral locus evolution

We considered a neutral locus to estimate genetic divergence among species for the calculation of convergent events (see section "Evolutionary convergence"). The neutral locus is located at the end of the genome at the position L and it has k possible allelic states. The locus is completely unlinked from the rest of the genome that contains the assortative mating loci. We used low mutation rates for this neutral locus,  $\mu_{neutral} = 10^{-7}$ , and the k allele mutation model (i.e. model in which each allele can mutate to any of the other k-1 possible alleles with equal probability (?). We used the Cavalli-Sforza distance to calculate the matrix of genetic distances among species (?).

# 459 Convergence, complementarity and nestedness

- 460 Evolutionary convergence
- <sup>461</sup> Phenotypic similarity
- The phenotypic similarity for plants  $(p_{ij}^P)$  between individual i and j is defined as

$$p_{ij}^{P} = 1 - \frac{|z_i^P - z_j^P|}{z_{max}^P} \tag{3}$$

where  $z_i^P$  and  $z_j^P$  are the phenotypic similarity values of i and j, respectively, and  $z_{max}^P$  is the maximum value of the phenotype distribution,  $Z^P$ . Thus, the elements  $p_{ij}^P \in \{0,1\}$  of the phenotypic similarity matrix,  $\mathcal{P}^P = [p_{ij}^P]$  represent all pairwise values for plants (the same for animals,  $\mathcal{P}^A = [p_{ij}^A]$ ).

#### 466 Mean genetic and phenotypic species similarity

We define evolutionary convergence as the similarity between average species phenotypes from distantly related species. We assume that two species are distantly related, in phylogenetic terms, if they do not come from a direct common ancestor, i.e. they are not sister species. To exclude sister species from the analysis we need to calculate the mean genetic similarity among species of the same guild. The mean genetic similarity between a plant species k and a plant species l is

$$\hat{q}_{kl}^{P} = \frac{1}{n_k n_l} \sum_{i=1}^{n_k} \sum_{j=i}^{n_l} q_{ij}^{P} \tag{4}$$

where  $q_{ij}^P$  is the genetic similarity between an individual i of plant species k and an individual j of plant species l, and  $n_k$  and  $n_l$  are the absolute abundances of plant species k and l, respectively. The elements  $\hat{q}_{kl}^P$  form the matrix  $Q_s^P = [\hat{q}_{kl}^P]$  from which the sister species of each species in the guild can be identified (The elements for animals,  $Q_s^A = [\hat{q}_{kl}^A]$ , are calculated in the same way as we did for the plants). To calculate evolutionary convergence we need to know the average phenotypic similarity between two species. We define phenotypic similarity between species k and l as

$$\hat{p}_{kl}^P = \frac{1}{n_k n_l} \sum_{i=1}^{n_k} \sum_{j=i}^{n_l} p_{ij}^P \tag{5}$$

which is analogous to the definition of eq. 4, but now considering phenotypes instead of genotypes.

This will build a species phenotypic similarity matrix  $P_s^P = [\hat{p}_{kl}^P]$  (the species phenotypic similarity matrix,  $P_s^A = [\hat{p}_{kl}^A]$ , is calculated analogously for the animals). We then focus on each species in turn and exclude its sister species to avoid cases of parallel evolution to calculate the number of convergences related to the focal species. We define a focal plant species k and a non-sister plant species l to be convergent if phenotypic similarity between them is higher than between focal and sister species  $(\hat{p}_{k,sister}^P < \hat{p}_{kl}^P)$  and higher than a certain phenotypic threshold value  $t_{conv}$  ( $\hat{p}_{kl}^P > t_{conv}$ ); convergent species is calculated analogously for the animals).

Evolutionary complementarity

#### 487 Plant-animal interactions

In addition to the genetic and geographic constraints for mating, we consider two other conditions for 488 plants and animals: obligate mutualism and morphological constraints. Obligate mutualism applies 489 to the plants and animals to reproduce but the morphological constraints only apply to plants. We 490 therefore need a geographic distance matrix,  $D^{PA}$ , to describe the geographic distance between plant 491 and animal individuals. Plant-animal mutualistic interactions are here described as follows: plants 492 benefit from the presence of specific pollinators that are able to pollinate them and animals benefit 493 from the presence of plants that provide resources for them. Thus, we have two extra conditions for 494 mating: 495

- 1. Female plants need the presence of an animal pollinator (i.e., male and female represented as j) within a close distance,  $d_{jQ}^{PA} < d_{max}^{PA}$ . The pollinator must have a larger or equally-sized proboscis than the corolla of a plant,  $z_{Q}^{P} \leq z_{j}^{A}$ . This corresponds to a morphological constraint for individual interactions observed between plant and pollinator species (??).
  - 2. Animals need the presence of a plant (male or female represented as j) within a close geographic distance,  $d_{jk}^{PA} < d_{max}^{PA}$ .

## **Tables**

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Table 1: Glossary of mathematical notation and parameter values  $\,$ 

Notation	Definition	Values
$J^P, J^A$	Effective population size of plants (P) and animals (A)	1,000
$d_{ij}^P, d_{ij}^A$	Geographical pairwise distance plants (P) and animals (A)	variable
$d_{max}$	Maximum geographical distance to mate and disperse	0.3
$D^P, D^A$	Geographic distance matrix with all $d_{ij}^P$ and $d_{ij}^A$ values	variable
$d_{ik}^{PA} \ d_{max}^{PA} \ D^{PA}$	Geographical distance between plant $i$ and animal $k$	variable
$d_{max}^{PA}$	Maximum geographical distance to find a mutualistic partner	0.3
	Geographic distance matrix with all the $d_{ik}^{PA}$ values	variable
$\begin{array}{c} q_{ij}^P, q_{ij}^A \\ Q^P, Q^A \end{array}$	Genetic similarity between ind. $i$ and $j$ in (P) and (A)	variable
$Q^{P}, Q^{A}$	Genetic similarity matrix with all the $q_{ij}^P$ and $q_{ij}^P$ values	variable
$q_{min}$	Minimum genetic similarity to have viable offspring	0.97
$z_i^P, z_i^A \ Z^P, Z^A$	Quantitative trait of ind. $i$ in (P) and (A)	variable
$Z^P, Z^A$	Quantitative trait distribution in (P) and (A)	variable
$p_{ij}^P, p_{ij}^A \ \mathcal{P}^P, \mathcal{P}^A$	Phenotypic similarity between ind. $i$ and $j$ in (P) and (A)	variable
$\mathcal{P}^{P},\mathcal{P}^{A}$	Phenotypic similarity matrix with all the $p_{ij}^P$ and $p_{ij}^A$ values	variable
L	Size of the genome	150
$g_i^P, g_i^A$	Genetic component of phenotype of offspring in (P) and (A)	variable
$\epsilon$	Environmental component of phenotype of offspring	$\mathcal{N}(0,1)$
$\mu$	Mutation rate per locus	$10^{-4} - 10^{-2}$
$\hat{q}_{kh}^P, \hat{q}_{kh}^A  Q_s^P, Q_s^A$	Mean genetic simil. between species $k$ and $h$ in $(P)$ and $(A)$	variable
$Q_s^P, Q_s^A$	Species genetic simil. matrix with all $\hat{q}_{kl}^P$ and $\hat{q}_{kl}^A$ values	variable
$\hat{p}_{kh}^{P},\hat{p}_{kh}^{A}$	Mean phen. simil. between species $k$ and $h$ in (P) and (A)	variable
$P_s^P, P_s^A$	Species phen. simil. matrix with all $\hat{p}_{kl}^P$ and $\hat{p}_{kl}^A$ values	variable
$\hat{p}_{kh}^{PA}$	Mean trait similarity plant species $k$ and animal species $h$	variable
$\begin{array}{c} P_s^P, P_s^A \\ \hat{p}_{kh}^{PA} \\ P_s^{PA} \end{array}$	Phenotypic simil. matrix with all $\hat{p}_{kh}$ values	variable
$^{h}conv$	Phenotypic threshold to calculate convergence events	variable
$^hcomp$	Phenotypic threshold to calculate complementarity events	variable

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Figure 1: The death-birth cycle per time step. Individuals are represented as filled circles and blue tones represent variation of phenotypes. (A) an individual k is randomly selected to die and leaves an empty location in the landscape. (B) a female individual,  $\mathcal{P}$ , is randomly selected among all females satisfying the condition  $d_{kQ} < d_{max}$ . We then choose randomly a male,  $_{\circlearrowleft}$ , among all males satisfying  $d_{k\circlearrowleft} < d_{max}$  and  $q_{\circlearrowleft} > q_{min}$  with  $q_{min}$ , the minimum genetic similarity required for mating. In addition to these two constraints, two more are required to complete mating. For the condition of obligate mutualism, the geographic distance between the female (animal or plant), and an animal (or plant) individual j, must satisfy  $d_{iQ}^{PA} < d_{max}^{PA}$ . Finally, female plants need the presence of an animal pollinator with a larger or equally-sized proboscis than the corolla of the female plant, thus individual pollinators represented as j, must satisfy  $z_{Q_P} \leq z_{j_A}$ . In (C) and (D) we calculate the genome of the new offspring once these constraints are satisfied. (C) Genomes are composed of L loci where each locus can be in two allelic states (-1, 1) and undergo block crossover recombination between female (dark gray) and male (black). A position l in the genome of the parents is randomly chosen partitioning the genome in two blocks. All genes beyond the l locus in either organism's genome is swapped between two parents and two new genomes are formed. (D) One of the two new genomes is randomly chosen for the offspring  $i, S_o^i$ , and it might undergo mutation (light gray). (E) The phenotype expression of offspring i is  $z_i = g_i + \epsilon$  with  $g_i = L + S_o^i$  and  $\epsilon$  are the genetic and environmental component of the phenotype, respectively. (F) The offspring i occupies the site of the dead individual k.

# **Figures**

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Figure 2: A simple example of evolutionary convergence using species a, b and c. The upper matrix  $(Q_S = [\hat{q}_{kl}])$  shows species a and c are genetically closely related,  $\hat{q}_{ac} = 0.97$ , while genetically distant from species b ( $\hat{q}_{ab} = 0.85$ ,  $\hat{q}_{cb} = 0.89$ ). A clear description of these genetic relationships can be represented with a cluster tree or dendrogram, as shown in the lower part of the figure. Thus, we establish that species a and c are sister species. The species phenotypic similarity matrix,  $P_S = [\hat{p}_{kh}]$  shows that species a and b are phenotypically highly similar ( $\hat{p}_{ab} = 0.98$ ) and highly genetically dissimilar ( $\hat{q}_{ab} = 0.85$ ) (i.e. more than the average intraspecific genetic similarity or sister species 0.97), indicating an event of evolutionary convergence.

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Figure 3: Changes in trait distribution of plants (top, blue) and animals (bottom, red). Left and right panels show the initial and final trait distribution, respectively. The insets in the right panels show the mean trait and standard error for each species sorted from the most common to the most rare. Initial trait distributions changed towards higher variance, and in most replicates, towards bimodal distribution in both guilds. Shown is the outputs from one replicate with parameters values  $q_{min} = 0.97$ ,  $d_{max} = d_{max}^{PA} = 0.3$ ,  $\mu = 5 \times 10^{-3}$  and  $J_P = J_A = 1,000$ .

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Figure 4: Evolutionary convergence and complementarity in plant-pollinator networks. Trees at the left and right side show genetic similarities between animal (red) and plant (blue) species, respectively. Mean species trait, proboscis and corolla length, is sketched with cartoons next to their respective position in the trees. Animals, composed by six species, have two evolutionary convergence events (A-B and F-D). Plants, composed by three species, have one convergent event (b-c). The central part of the figure shows the network of plantanimal interactions, where each node (colored filled circles) represents an individual. The network is composed of two types of links: genetic relatedness links (black solid) forming clusters that represent species and plant-animal individual-based interaction links (gray). The network shows variability in terms of genetic relatedness and plant-animal interactions within a species (i.e. high intraspecific variability). This figure is an example from one simulation. Parameters used are as in figure 3,  $q_{min} = 0.97$ ,  $d_{max} = d_{max}^{PA} = 0.3$ ,  $\mu = 5 \times 10^{-3}$  and  $J^P = J^A = 1,000$ .

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Figure 5: Plant-animal species interaction network. Plant species are represented in rows and animal species in columns. The color gradient indicates the number of mutualistic partners (i.e. individuals interacting) shared between plant and animal species. This matrix comes from one replicate with nine plant and thirteen animal species. The network shows high level of nestedness (N=0.72) and intermediate level of connectance (C=0.5). Parameters used are  $q_{min}=0.97$ ,  $d_{max}=d_{max}^{PA}=0.3$ ,  $\mu=5\times10^{-3}$  and  $J_P=J_A=1,000$ .

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Figure 6: Comparison of model's predictions with estimations of convergence and complementarity from an empirical data of a plant-pollinator community. a) The proportion of complementarity events (y-axis) as a function of the complementarity threshold (x-axis) for the empirical data (dotted black line) and for the model (continuous and dotted red lines represent mean, 0.05 and 0.95 CI values, respectively). Predictions are within the CI for most complementarity threshold values. Empirical data deviates from model predictions for complementarity threshold values around 0.4 and lower. b) The proportion of convergence events in the plant community (y-axis, 69 species) as a function of the convergence threshold (x-axis) for the empirical data (dotted black line) and for the model (continuous and dotted red lines represent mean, 0.05 and 0.95 CI values, respectively). Convergence events in the empirical data strongly deviates from model predictions for convergence threshold values ranging between 1 and approx. 0.82. In that range, model predicts much higher proportion of convergence events than the empirical observations. c) The proportion of convergence events in the animal community (y-axis, 24 species) as a function of the convergence threshold (x-axis) for the empirical data (dotted black line) and for the model (continuous and dotted red lines represent mean, 0.05 and 0.95 CI values, respectively). Convergence events in the empirical data are within the CI of model predictions for most convergence threshold values.