

# $\Delta$ - and Daugavet-points in Banach spaces

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A joint work with R. Haller and T. Veeorg  
January 28th 2020  
Castellón

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# Delta building







- points

## References

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## Notations

In the following let  $X$  be a real infinite dimensional Banach space. We use standard notation. Let  $B_X$  be closed unit ball and  $S_X$  the unit sphere and  $X^*$  the dual of  $X$ .

We consider a **slice of  $B_X$**  to be a set

$$S(B_X, x^*, \alpha) = \{x \in B_X : x^*(x) > 1 - \alpha\},$$

where  $x^* \in S_{X^*}$  and  $\alpha > 0$ .

For a  $x \in S_X$  and  $\varepsilon > 0$  we denote by  $\Delta_\varepsilon(x)$  the set

$$\Delta_\varepsilon(x) = \{y \in B_X : \|x - y\| \geq 2 - \varepsilon\}.$$

# Daugavet property

Proposition 1 (see Werner, 2001)

The following assertions about a Banach space  $X$  are equivalent:

- (a)  $X$  has the *Daugavet property*, i.e.,

$$\|Id - T\| = 1 + \|T\|$$

for every rank-1 (and norm-1) operator  $T: X \rightarrow X$ ;

- (b) for every slice  $S$  of  $B_X$ , every  $x \in S_X$  and every  $\varepsilon > 0$  there exists an  $y \in S$  such that  $\|x - y\| \geq 2 - \varepsilon$ ;
- (c)  $B_X = \overline{\text{conv}} \Delta_\varepsilon(x)$  for all  $x \in S_X$  and  $\varepsilon > 0$ , where

$$\Delta_\varepsilon(x) = \{y \in B_X : \|x - y\| \geq 2 - \varepsilon\}.$$

The Daugavet property implies that every rank-1 projection  $P: X \rightarrow X$  satisfies  $\|I - P\| \geq 2$ .

Proposition 2 (Ivakhno, Kadets, 2004, and Werner, 2001)

*The following assertions about a Banach space  $X$  are equivalent:*

(a)  $X$  has the *diametral local diameter-2 property* (DLD2P), i.e.,

$$\|Id - P\| \geq 2$$

*for every rank-1 projection  $P: X \rightarrow X$ ;*

- (b) *for every slice  $S$  of  $B_X$ , every  $x \in S \cap S_X$  and every  $\varepsilon > 0$  there exists an  $y \in S$  such that  $\|x - y\| \geq 2 - \varepsilon$ ;*
- (c)  *$x \in \overline{\text{conv}} \Delta_\varepsilon(x)$  for all  $x \in S_X$  and  $\varepsilon > 0$ , where*

$$\Delta_\varepsilon(x) = \{y \in B_X : \|x - y\| \geq 2 - \varepsilon\}.$$

# Daugavet points and $\Delta$ -points

Motivated by the previous characterizations we introduce the following definitions:

## Definition 1

We say that  $x \in S_X$  is a *Daugavet point* if  $B_X = \overline{\text{conv}} \Delta_\varepsilon(x)$  for every  $\varepsilon > 0$ .

## Definition 2

We say that  $x \in S_X$  is a  *$\Delta$ -point* if  $x \in \overline{\text{conv}} \Delta_\varepsilon(x)$  for every  $\varepsilon > 0$ .

Recall that  $\Delta_\varepsilon(x) = \{y \in B_X : \|x - y\| \geq 2 - \varepsilon\}$ .

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Recall that  $\Delta_\varepsilon(x) = \{y \in B_X : \|x - y\| \geq 2 - \varepsilon\}$ .

## Remark

It is easy to see, that every Daugavet-point is a  $\Delta$ -point. The reverse is generally not true.

# Absolute normalized norm

Let  $X$  and  $Y$  be Banach spaces.

## Definition 3

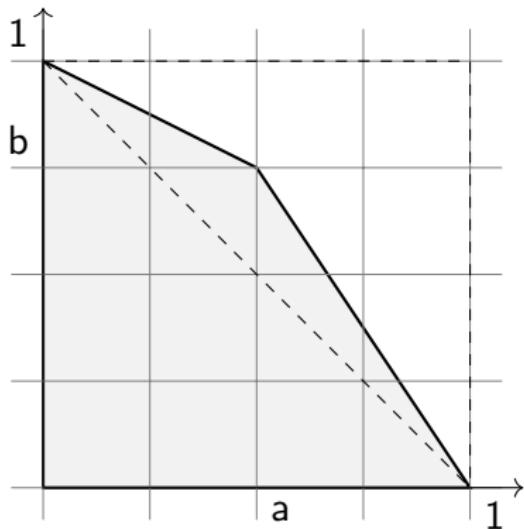
A norm  $\|\cdot\|_N$  on  $X \times Y$  is said to be *absolute* if there is a function  $N: [0, \infty) \times [0, \infty) \rightarrow [0, \infty)$  such that

$$\|(x, y)\|_N = N(\|x\|, \|y\|) \quad \text{for all } (x, y) \in X \times Y.$$

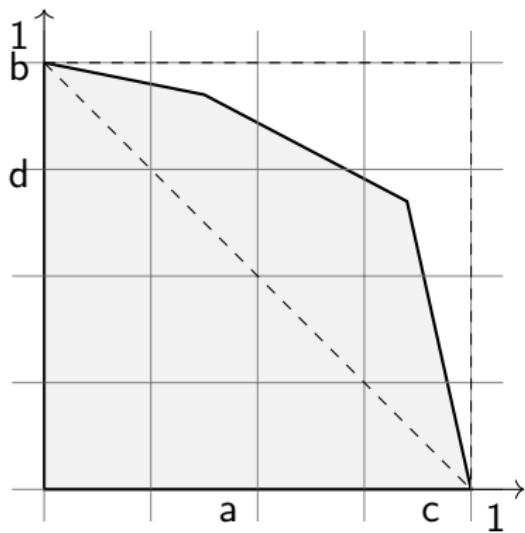
Absolute norm  $\|\cdot\|_N$  is *normalized* if  $N(0, 1) = N(1, 0) = 1$ .

Product space  $X \times Y$  equipped with an absolute normalized norm  $\|\cdot\|_N$  is denoted by  $X \oplus_N Y$ .

# First quadrant of the unit ball of a positively OH norm $N$



First quadrant of the unit ball of a norm  $N$  with property (a)



## Proposition 3

*Let  $X$  and  $Y$  be Banach spaces and  $N$  an absolute normalized on  $\mathbb{R}^2$ . If  $X$  and  $Y$  have a  $\Delta$ -point then so does  $X \oplus_N Y$ .*

## Proposition 3

Let  $X$  and  $Y$  be Banach spaces and  $N$  an absolute normalized on  $\mathbb{R}^2$ . If  $X$  and  $Y$  have a  $\Delta$ -point then so does  $X \oplus_N Y$ .

## Proposition 4

Let  $X$  and  $Y$  be Banach spaces and  $N$  a POH norm on  $\mathbb{R}^2$ . If  $X$  and  $Y$  have a Daugavet-point then so does  $X \oplus_N Y$ .

# Results from Abrahamsen, Haller, Lima, P., 2018

## Proposition 3

Let  $X$  and  $Y$  be Banach spaces and  $N$  an absolute normalized on  $\mathbb{R}^2$ . If  $X$  and  $Y$  have a  $\Delta$ -point then so does  $X \oplus_N Y$ .

## Proposition 4

Let  $X$  and  $Y$  be Banach spaces and  $N$  a POH norm on  $\mathbb{R}^2$ . If  $X$  and  $Y$  have a Daugavet-point then so does  $X \oplus_N Y$ .

## Proposition 5

Let  $X$  and  $Y$  be Banach spaces and  $N$  an absolutely normalized norm with the property  $(\alpha)$ . Then  $X \oplus_N Y$  cannot have any Daugavet-points.

# A-octacedral norms

## Definition 4

Let  $X$  be a Banach space and  $A \subset S_X$ . We say that an absolute normalized norm  $N$  on  $\mathbb{R}^2$  is **A-octahedral (A-OH)** if for every  $x_1, \dots, x_n \in A$  and every  $\varepsilon > 0$  there exists  $y \in S_X$  such that  $\|x_i + y\| \geq 2 - \varepsilon$  for every  $i \in \{1, \dots, n\}$ .

# A-octacedral norms

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## Remark

Every POH norm  $N$  is a  $\{(0, 1), (1, 0)\}$ -octahedral.

# A-octacedral norms

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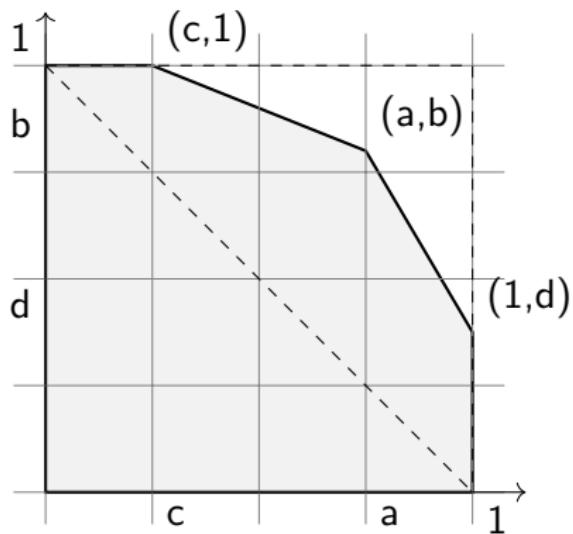
## Remark

Every POH norm  $N$  is a  $\{(0, 1), (1, 0)\}$ -octahedral.

## Remark

$S_X$ -octahedrality is octahedrality of a norm in general sense.

# First quadrant of the unit ball of a $A$ -OH norm $N$



# A sense of dichotomy of absolute normalized norms

## Proposition 6

Let  $X$  be a Banach space  $c = \max_{N(e,1)=1} e$ ,  $d = \max_{N(1,f)=1} f$  and  $A = \{(c, 1), (1, d)\}$ . The following are equivalent:

- (i)  $N$  is  $A$ -OH,
- (ii)  $N$  does not have the property  $(\alpha)$ .

1.

$X$  and  $Y$  with Daugavet points

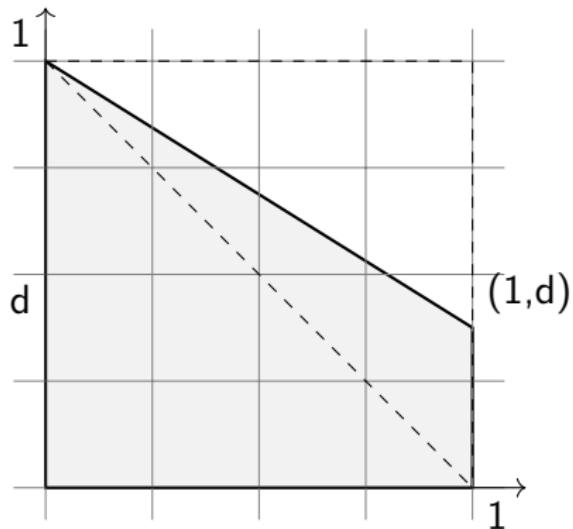


$X \oplus_N Y$  with Daugavet points  
?

## Results concerning Daugavet-points (1)

$N \neq \infty$ , $a \neq 0$ and $b \neq 0$	$x$ and $y$ are Daugavet-points $\Leftrightarrow$ $(ax, by)$ is Daugavet-point
$N \neq \infty$ and $a = 0$ , $N((0, 1) + (1, d)) = 2$	$y$ is Daugavet-point $\Leftrightarrow$ $(ax, by)$ is Daugavet-point
$N \neq \infty$ ja $b = 0$ , $N((1, 0) + (c, 1)) = 2$	$x$ is Daugavet-point $\Leftrightarrow$ $(ax, by)$ is Daugavet-point

# First quadrant of the unit ball of a special A-OH norm $N$



## Results concerning Daugavet-points (2)

$b = 0$ and $N((1, 0) + (1, d)) < 2$ or $a = 0$ and $N((0, 1) + (c, 1)) < 2$	$(ax, by)$ is not Daugavet-point
$N = \infty$	$x$ or $y$ is Daugavet-point $\Leftrightarrow$ $(ax, by)$ is Daugavet-point

2.

$X \oplus_N Y$  with  $\Delta$ -points



$X$  and/or  $Y$  with  $\Delta$ -points  
?

# Results regarding $\Delta$ -points

## Theorem 1

Let  $X$  and  $Y$  be Banach spaces,  $x \in S_X$ ,  $y \in S_Y$ ,  $N$  an absolute normalised norm on  $\mathbb{R}^2$ . Assume that  $(ax, by)$  is a  $\Delta$ -point in  $X \oplus_N Y$ .

- (a) If  $b \neq 1$ , then  $x$  is a  $\Delta$ -point in  $X$ .
- (b) If  $a \neq 1$ , then  $y$  is a  $\Delta$ -point in  $Y$ .

# Preparations

Lemma 1 (Abrahamsen, Haller, Lima, P., 2018)

Let  $X$  be a Banach space and  $x \in S_X$ . Then the following assertions are equivalent:

- (i)  $x$  is a  $\Delta$ -point;
- (ii) for every slice  $S(B_X, x^*, \alpha)$  of  $B_X$ , with  $x \in S(B_X, x^*, \alpha)$ , and every  $\varepsilon > 0$  there exists  $u \in S(B_X, x^*, \alpha)$  such that  $\|x - u\| \geq 2 - \varepsilon$ .

Definition 5

Let  $X$  be a Banach space,  $x \in S_X$ , and  $k > 1$ . We say that  $x$  is a  **$\Delta_k$ -point** in  $X$ , if for every  $S(B_X, x^*, \alpha)$  with  $x \in S(B_X, x^*, \alpha)$  and for every  $\varepsilon > 0$  there exists  $u \in S(B_X, x^*, k\alpha)$  such that  $\|x - u\| \geq 2 - \varepsilon$ .

# $\Delta_k$ -point need not be $\Delta$ -point

## Example 1

Let  $X$  and  $Y$  be Banach spaces,  $x \in S_X$  and  $y \in S_Y$ , and let  $k > 1$ . Set  $Z = X \oplus_1 Y$  and  $z = ((1 - 1/k)x, y/k)$ . Assume that  $x$  is not a  $\Delta$ -point in  $X$  and  $y$  is a  $\Delta$ -point in  $Y$ . Then  $z$  is not a  $\Delta$ -point in  $Z$  but  $z$  is a  $\Delta_k$ -point in  $Z$ .

## Results regarding $\Delta$ -points continue

### Proposition 7

Let  $X$  and  $Y$  be Banach spaces,  $x \in S_X$  and  $y \in S_Y$ . Let  $p, q > 1$  satisfy  $1/p + 1/q = 1$ .

- (a) If  $x$  is a  $\Delta_p$ -point in  $X$  and  $y$  is a  $\Delta_q$ -point in  $Y$ , then  $(x, y)$  is a  $\Delta$ -point in  $X \oplus_\infty Y$ .
- (b) If  $x$  is not a  $\Delta_p$ -point in  $X$  and  $y$  is not a  $\Delta_q$ -point in  $Y$ , then  $(x, y)$  is not a  $\Delta$ -point in  $X \oplus_\infty Y$ .

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