

# Let the Shuffle Fly

Zhouwang Fu<sup>1</sup> and Zhengwei Qi<sup>1</sup>

<sup>1</sup>*Shanghai Jiao Tong University*

## Abstract

In large-scale data-parallel analytics, *shuffle*, or the cross-network read and aggregation of partitioned data between tasks with data dependencies, usually brings in large network transfer overhead. Due to the dependency constraints, execution of those descendant tasks could be delayed by logy shuffles. To reduce shuffle overhead, we present *SCache*, a plugin system that particularly focuses on shuffle optimization in frameworks defining jobs as *directed acyclic graphs* (DAGs). To reduce shuffle overhead, we present *SCache*, a plugin system that particularly focuses on shuffle optimization in frameworks defining jobs as *directed acyclic graphs* (DAGs). By extracting and analyzing the job DAGs and shuffle dependencies prior to the actual job execution, *SCache* can take full advantage of the system memory to accelerate the shuffle process. Meanwhile, it adopts heuristic-MinHeap scheduling to balance the total size of data that will be processed by each descendant task. Results show that, by incorporating *SCache*, the shuffle overhead of Spark can be reduced in overall 90%.

## 1 Introduction

Recent years have witnessed widespread use of sophistication frameworks such as Hadoop[2], Spark[35] and Apache Tez[?]. Tremendous effects have been paid to improve the speed and of large-scale dataparallel systems computing process, from the the low level storage system to scheduling algorithms.

Although highly optimized, DAG computing frameworks are derived from MapReduce[18], contains a hard barrier between computing stages. The terminology of this barrier is *Shuffle*. *Shuffle* contains two parts on the connecting stages. On the side of ancestor stage, *shufle* is responsible for writing intermediate result to disk. On the side of successor stage, *shuffle* fetches intermediate result from remote disks in cluster. This *shuffle* process introduces frequent I/O operations, which result in a significant performance and cluster utilization decline. For instance, a MapReduce trace analysis from Facebook shows that *shuffle* accounts for 33% JCT on average, up

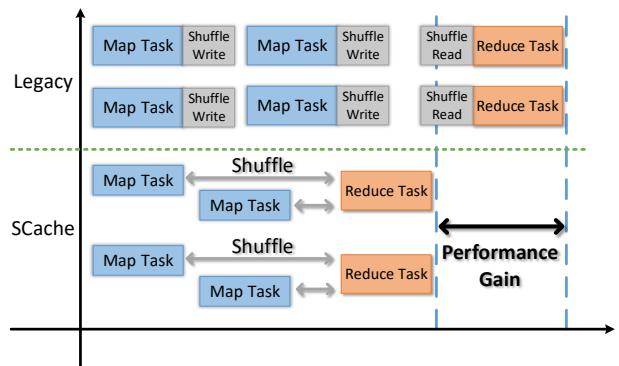


Figure 1: Workflow Comparison between Legacy DAG Computing Frameworks and Frameworks with SCache

to 70% in shuffle-heavy jobs[?].

The straight forward way is to start reduce tasks earlier. Apache Hadoop[2] provides a mechanism, which schedules reduce tasks when a certain portion of map tasks completed. So that the shuffle delay can be mitigated by overlapping execution of reduce phase and map phase. Other publications also purpose solutions to pre-schedule reduce tasks[19, 15, 30]. However this early scheduling of reduce tasks occupies new task slots, which degrades system performance. We argue that current DAG frameworks are under utilized due to coarse granularity of resources allocation. Nearly all MapReduce based task scheduling algorithms use time slotted model. Specifically, when a task is launched, the framework offers it a bundle of resources (i.e. CPU and memory), which are dedicated to this task during the time in its "slot". As shown in the upper part of Figure 1, this "slot" can be released until the map tasks finish "Shuffle Write" on disk. And the "slot" is occupied when the of reduce tasks begin to read shuffle data from remote nodes through network, which is presented as "Shuffle Read". As depicted in Figure 1, the hardware resources in a slot are under utilized in those two I/O intensive phases. To this end, we proposed a question, *can we efficiently remove shuffle latency without consuming additional task slots?*

In this paper, we introduce *SCache*, an auxiliary system to remove shuffle latency without occupying slot. The workflow of DAG computing with *SCache* is presented

in Figure 1. By decoupling shuffle from computing, pre-scheduling tasks and pre-fetching shuffle data for DAG computing frameworks, the shuffle latency can be mitigated with no degradation on the DAG framework. In Figure 1, SCache hijacks the intermediate data of a map task from memory space of the slot. The disk operation is skipped and the intermediate data is immediately shuffled through network to the pre-assigned remote node for further reduce tasks execution. By optimizing the disk operation and starting the network transfer ahead of reduce tasks, SCache can help the DAG framework achieve a significant performance gain.

The main challenge to achieve this optimization is *pre-scheduling reduce tasks*. The challenge is not critical for the simple DAG computing such as Hadoop MapReduce[18]. Unfortunately the complexity of DAG can amplify the defects of naïve pre-scheduling schemes. In particular, randomly assign reduce tasks to nodes can result in a collision of two heavy tasks on a same node. This collision can aggravate data skew and hurts the performance of the DAG frameworks. To address this challenge, we propose a heuristic scheme to predict the shuffle output distribution and schedule reduce tasks.

The second challenge is the *limitation of memory space*. To prevent shuffle data touching the disk, SCache leverages extra memory to store the shuffle data. However, the memory is a precious resource for DAG computing, especially for in-memory framework such as Spark[35]. In order to optimize shuffle without hurting the performance of DAG frameworks, SCache only reserves small fraction of memory to store shuffle data. To maximum the performance gain of optimization and memory utilization, we propose two constraints: all-or-nothing and context-aware. The memory management scheme follows these two constraints to switch shuffle data blocks on and off reserved memory.

We implement SCache and a daemon in Apache Spark[4]. Our evaluation in a 50-node Amazon EC2 cluster. We conduct basic test like GroupByTest. We also evaluate benchmark like Terasort[8] and standard workloads like TPC-DS[9] for multi-tenant modeling. In a nutshell, SCache can eliminate explicit shuffle process by at most 90% in varied application scenarios.

## 2 Motivation

In this section, we first study the shuffle pattern (2.1). Then we show the observations of the opportunities to optimize shuffle in 2.2

### 2.1 Characteristic of Shuffle

In large scale data parallel computing, enormous datasets are partitioned into pieces to fit the memory of each node since the very beginning of MapReduce[18]. Meanwhile, complicated application procedures are divided into steps. The successor steps take the output of ancestors as input to do the computation. Shuffle occurs when each successor needs part of data from all ancestors' output. In order to provide a clear illustration, we define those computing on each partition of data in one step as a task. For tasks that generate shuffle output, we call them map task. For tasks that consume shuffle output, we call them reduce tasks. Note that one task may have both shuffle data generation and consumption in modern DAG framework. These tasks contain characteristic of both map task and reduce task. But these tasks won't change the behavior of shuffle. To avoid ambiguity, in the following paper, we will only use term of map task to represent those who produce shuffle output, and reduce task to represent those who consume shuffle output.

Shuffle is designed to achieve an all-to-all data blocks transfer among nodes in the cluster. It exists in both MapReduce models and DAG computation models.

The overview process of shuffle is presented in Figure 2. Shuffle mainly contains two phases itself: *Data Partition* and *Data Transfer*. For *Data Partition*, each map task will partition the result data (key, value pair) into several buckets according to the partition function. The bucket number equals to the number of tasks in the next step. When the map tasks finish, all the shuffle output data will be written into local persistent storage for fault tolerance [18, 35]. *Data Transfer* can be further divided into two parts: *Shuffle Write* and *Shuffle Read*. *Shuffle Write* starts after execution of map tasks and intermediate tasks. Partitioned data will be stored on disk during *Shuffle Write*. *Shuffle Read* starts at the beginning of reduce tasks. These tasks will fetch the data that belong to their corresponding partitions from both remote nodes and local storage.

In short, shuffle is loosely coupled with application context and it's I/O intensive.

Since the intensive I/O operation is triggered during a shuffle, this can introduce a significant latency to the application. Reports show that, 60% of MapReduce jobs at Yahoo and 20% at Facebook are shuffle intensive workloads[11]. For those shuffle intensive jobs, the shuffle latency may even dominate Job Completion Time. For instance, a MapReduce trace analysis from Facebook shows that shuffle accounts for 33% JCT on average, up to 70% in shuffle intensive jobs[16]. Meanwhile, the completion time of shuffle correlates with the performance of storage devices, network and even applications. This variation may bring a huge challenge for operators to find the correct configuration of the DAG framework.

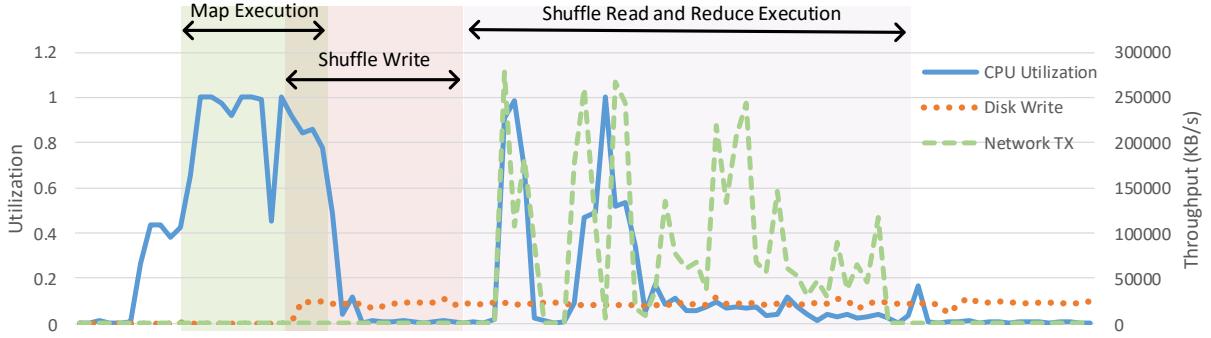


Figure 3: CPU utilization and I/O throughput of a node during a Spark single shuffle application

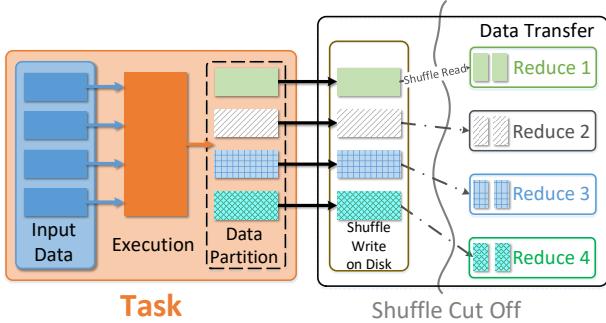


Figure 2: Shuffle Overview

## 2.2 Observations

Of course, shuffle is unavoidable in a DAG computing process. But *can we mitigate or even remove the overhead of shuffle?* To find the answers, we run some representative applications on a Spark in a 5 m4.xlarge Amazon EC2 cluster. We then capture and plot the CPU utilization, I/O throughput and tasks execution information of each node. Take the trace in Figure 3 as an example, which is captured during one Spark GroupByTest job. This job has 2 rounds of tasks for each node. We mark the ‘Execution’ phase in the figure from the launch time of the first task of this node to the execution finish timestamp of the last one. The ‘Shuffle Write’ phase is marked from the timestamp of the beginning of the first partitioned data write. The ‘Shuffle Read and Execution’ phase is marked from the start of the first reduce launch timestamp. Figure 3 contains data, including two stages connected by one shuffle. By analyzing the trace combining with Spark, we propose following observations.

### 2.2.1 Multi-rounds tasks in each stages

Both experience and DAG framework manuals recommend that multi-rounds execution of each stage will benefit the performance of applications. For example, Hadoop MapReduce Tutorial [3] suggests that *10-100 maps per-*

*node and 0.95 or  $1.75 \times \text{no. of nodes} \times \text{no. of maximum container per node}$  seem to be the right level of parallelism.* Spark Configuration also recommends 2-3 tasks per CPU core in the cluster[6]. We have two rounds of tasks in job of Figure 3 to process about 70GB data. Figure 3 shows that the second phase of shuffle – *Data Transfer* will start until the reduce stage starts. But the shuffle data will become available as soon as the execution of one task is finished. Though in the context of Spark, the reduce task can do computation while fetching data, the uncontrolled network congestion may still hurt the performance. However, if the destination of the shuffle output of each task is aware, the property of multi-rounds can be leveraged to do *Data Transfer* ahead of reduce stage.

### 2.2.2 Tight Coupling between Shuffle and Computation

Another information we get from the trace is that shuffle should be decoupled from task which is a execution unit in both Spark and Hadoop MapReduce. In general, CPU and memory are binded as a schedule slot in DAG resource scheduler. When a task is scheduled to a slot, it won’t release until it reaches the end of task. In Figure 3, the resource of Spark executor will be released at the ending of ‘Shuffle Write’. But CPU becomes idle almost as soon as the ‘Execution’ is finished. On the other hand, shuffle is I/O intensive job. It doesn’t involve CPU and application context. If the shuffle can be decoupled from task, the slot can be released after ‘Execution’ phase. The early release can benefit other tasks to achieve better overall performance of the DAG framework.

### 2.2.3 Variance of I/O Performance

When we look into the performance of disk and network in our test case, there is huge variance. Since we use the standard EBS as our backend storage for the EC2 instances, the I/O performance of the disk is poor. At the same time, the bandwidth of each instance is not specified

from Amazon. But the utilization in Figure 3 refers that the bandwidth is about 300Mbps. In this case, the bottleneck of shuffle is disk, which introduces a significant latency for the application. Vice versa, in some cases, the congestion of network may also become the bottleneck of shuffle[17]. The uncertainty about the I/O performance causes a huge challenge for optimizing the DAG computing in the cluster. For network latency, the most we can do is to mitigate the transfer delay. As for disk write, we believe it's not necessary for today's cluster. Recall that the persistence of shuffle data is used only for reduce fault tolerance, but the mean time to failure(MTTF) for a server is counted in the scale of year[27]. The MTTF is exponential comparing with the duration of a shuffle, so we believe the disk write is unnecessary in today's data center.

#### 2.2.4 Relatively Small Size of Shuffle Data

In order to accelerate computation, Spark will put all the input data set for a task into memory. Comparing to the input dataset, size of shuffle data is relatively small. We present two typical applications on Spark to show the relationship between shuffle data comparing with the input dataset in Figure 4. Although TeraSort[28] is known as a shuffle intensive job, in a 10GB input TeraSort, the shuffle size is less than 3GB. When it's mapped to a 5-nodes cluster, it only takes about 500MB memory (25% of input size for each node) to cache the shuffle data in memory. The data reported in [29] also shows that the amount of data shuffled is less than input data, by as much as a factor of 5-10. This is another reason that disk should not be involved in the whole shuffle procedure.

Based on these observations, it's straightforward to come up with an optimization that use memory to store the shuffle data and overlap the I/O operations of shuffle by leveraging multi-rounds property of DAG computing. In order to achieve this optimization, we have to decouple shuffle from task and perform pre-fetch as soon as each output of map task and intermediate task is available. But is this feasible? We try to answer this question in the following sections.

## 3 Achieve Shuffle Optimization

In this section, we try to achieve shuffle optimization by applying

- Decouple shuffle from task
- Pre-fetch shuffle to reduce node

on the DAG computing framework. We choose Spark as the representative of DAG computing framework to implement our optimization.

### 3.1 Decouple shuffle from task

On the map task side of shuffle, it's used to partition the output of map task according to the pre-defined partitioner. More specifically, shuffle takes a set of key-value pairs as input. And then it calculates the partitioner number of a key-value pair by applying pre-defined the partition function to the key. At last it puts the key-value pair into the corresponding partition. The output at last is a set of blocks. Each of them contains the key-value pairs for one partition. For those application context unrelated blocks, they can be easily hijacked in the memory of Spark executor and moved out of JVM space via memory mapping. Meanwhile, we have to prevent the memory spill during the shuffle partition procedure, so that the shuffle data can never touch the disk. The default shuffle spill threshold in Spark is 5GB[5], which is big enough in most scenarios according to Section 2.2.4.

### 3.2 Pre-schedule with Application Context

When the shuffle output blocks are available in memory, they can be pre-fetched to the remote hosts to hide the network transfer time. But at that time, the reduce tasks taking shuffle as input are still pending. In other word, the remote hosts of those blocks keep unknown until the reduce tasks are scheduled by the DAG framework. In order to break this serialization between map tasks and shuffle, we have to first pre-schedule the task-node mapping ahead of DAG framework scheduler. We explore several pre-scheduling schemes in different scenarios, and evaluate the performance of pre-scheduling and prediction by calculating the improvement of reduce tasks completion time with trace of OpenCloud[7]. We first emulate the scheduling algorithm of Spark to schedule the reduce tasks of one job, and take the bottleneck of the task set as the completion time. Then we remove the shuffle read time as the assumption of shuffle data pre-fetch and emulate under different schemes. The result is shown in 5b. Note that since most of the traces from OpenCloud is shuffle-light workload as shown in Figure 5a. The average shuffle read time is 2.3% of total reduce completion time. So we will only use this trace to evaluate the pre-scheduling.

#### 3.2.1 Random Task-Node Mapping

The simplest way of pre-scheduling is mapping tasks to different nodes evenly. As shown in Figure 5b, Random mapping works well when there is only one round of tasks in cluster. But multi-round in cluster is overwhelming according to Section 2.2.1. The performance of random mapping collapses as the round number grows. After analyzing the trace, we find out that it's caused by data-skew. Reports in these papers[26, 13, 21] also claim that data-skew is commonly exist in data-parallel computing. When

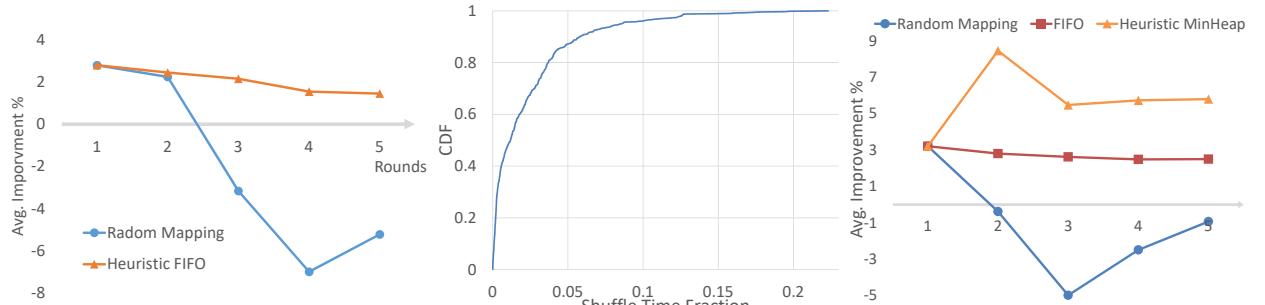
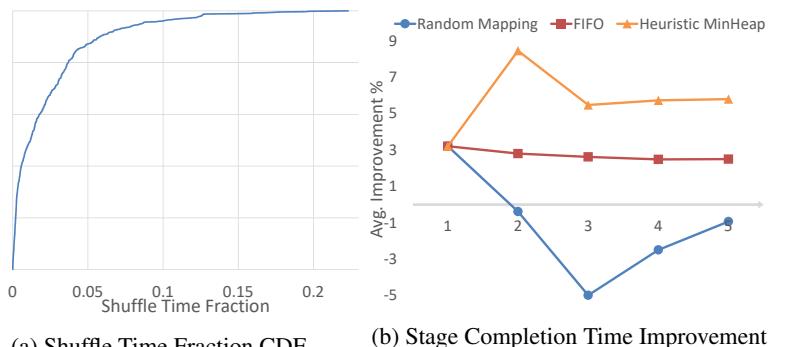


Figure 4: Shuffle Size Comparing with Input Size



(a) Shuffle Time Fraction CDF (b) Stage Completion Time Improvement

we apply a random mapping, it's probable to assign several slow tasks on one node. The collision than slow down the the whole stage, which make the performance even worse than those without shuffle-prefetch. In addition, randomly assigned tasks also ignore the data locality between shuffle map output and shuffle reduce input, which can bring extra network traffic in cluster.

shuffle data instead of Hadoop MapReduce. More importantly, the customized partitioner can bring huge inconsistency between observed map output blocks distribution and the final reduce distribution, as we presented in Figure 7. We use different datasets with different partitioners to find the connection among three factors. We normalize threes sets of data to [0,1] to fit in one figure. In Figure 7a, we use a random input dataset with the Hash Partitioner of Spark[5]. In Figure 7b, we use a skew dataset with the Range Partitioner of Spark[5]. We randomly pick one observation map output and plot. As we can see, in hash partitioner, the distribution of each map(blue area) is close to the final reduce distribution(orange boxes). The prediction results also turns out well fitted. As we apply linear regression model to predict the final reduce distribution of Range Partitioner. The prediction is severely effected by the skew observed map output distribution.

### 3.2.2 Shuffle Output Prediction

The failure of random mapping was obvious caused by application context (e.g. Shuffle input of each task) unawareness, which results in a severe data skew. To avoid the 'bad' schedule results, we have to leverage the application context as assistance. The optimal schedule decision can be made under the awareness of shuffle dependencies number with input size for each task. Unfortunately these data is unavailable when the shuffle-prefetch starts. But the approximate size of each reduce task can be predicted during the initial phase of map tasks with DAG context, so that the scheduling can approaching a more uniform load for each node.

According to the DAG computing process, the shuffle size of each reduce task is decided by input data, map task computation and hash partitioner. And for each map task, it will produce a data block for each reduce tasks, like '1-1' in Figure 6. '1-1' means it's produced by 'Map Task 1' for 'Reduce Task 1'. For Hadoop MapReduce, the shuffle input for each reduce task can be predicted with decent accuracy[15]. They propose a liner regression model based on Verma et al.[31] that the ratio of map output size and input size is invariant given the same job configuration. Several map outputs (marked as Map Output in Figure 6) are picked as observation objects to train the model and than predict the final reduce distribution. But in the more sophisticated DAG computing framework like Spark, this model can't fit. For instance, the reduce stage in Spark has more number of tasks that consume

To avoid this inconsistency in some cases, we introduce another methodology, weighted reservoir sampling, to mitigate this inconsistency. The classic reservoir sampling is designed for randomly choosing  $k$  samples from  $n$  items, where  $n$  is either a very large or unknown number[32]. For each partition of data that produce shuffle output, we use reservoir sampling to randomly pick  $s \times p$  of samples, where  $p$  is the number of reduce tasks and  $s$  is a tunable number. The number of input data partition and reduce tasks can be easily obtained when the from the DAG information. In Figure 7b, we set  $s = 3$ . After that, the map function is called locally to process the sampled data. As the 'Sampling' part shown in Figure 6, the final sampling map outputs are collected with the size of each partition of input data which is used as weight for each set of sample. For each reduce, the predicted size  $reduceSize_i$

$$reduceSize_i = \sum_{j=0}^m partitionSize_j \times \frac{sample_i}{s \times p} \quad (1)$$

(m = partition number of input data)

As we can see in Figure 7b, the prediction result is much better even in a very skew scenario. The variance of the normalized data of sampling prediction is because the standard deviation of the prediction result is relatively small comparing to the average prediction size, which is 0.0015 in this example. Figure 7c further prove that the sampling prediction can provide precise results even in the absolute partition size of reduce tasks. On the opposite, the result of linear regression comes out with huge relative error comparing with the fact of partition size of reduce tasks.

#### Algorithm 1 Heuristic MinHeap Scheduling for Single Shuffle

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1: procedure SCHEDULE( $m, h, p\_reduces$ )
2:    $R \leftarrow$  sort  $p\_reduces$  by size
3:    $M \leftarrow$  mapping of host id in  $h$  to reduce id and size
4:    $rid \leftarrow \text{len}(R)$             $\triangleright$  Current scheduled reduce id
5:   while  $rid \geq 0$  do       $\triangleright$  Schedule reduces by MinHeap
6:     Update  $M[0].size$ 
7:     Assign  $R(rid)$  to  $M[0]$ 
8:     sift_down( $M[0]$ )
9:        $\triangleright$  Use min-heap according to size in  $M$ 
10:     $rid \leftarrow rid - 1$ 
11:     $max \leftarrow$  maximum size in  $M$ 
12:     $rid \leftarrow \text{len}(R)$ 
13:    while  $rid \geq 0$  do       $\triangleright$  Heuristic swap by locality
14:       $prob \leftarrow$  max composition portion of  $rid$ 
15:       $nor \leftarrow (prob - 1/m) / (1 - 1/m) / 10$ 
16:       $\triangleright$  Use  $nor$  to limit the performance degradation in
       tasks swap
17:       $t\_h \leftarrow$  host that produces  $prob$  data of  $rid$ 
18:       $c\_h \leftarrow$  current assigned host by MinHeap
19:      if  $t\_h == c\_h$  then
20:        Seal the assignment of  $rid$  in  $M$ 
21:      else
22:        swap_tasks( $rid, c\_h, t\_h, max, nor$ )
23:       $rid \leftarrow rid - 1$ 
24:   return  $M$ 
25: procedure SWAP_TASKS( $rid, c\_h, t\_h, max, nor$ )
26:    $num \leftarrow$  number of reduces
27:   selected from  $t\_h$  that  $total\_size$  won't
28:   make both  $c\_h$  and  $t\_h$  exceed  $(1 + nor) * max$ 
29:   after swapping
30:   if  $num == 0$  then
31:     return
32:   else
33:     # Swap  $num$ s of reduces with  $rid$  between  $c\_h$  and
        $t\_h$ 
34:     # Update size of  $t\_h$  and  $c\_h$ 

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But the sampling prediction may introduce a extra over-

head in DAG computing process, we will evaluate the overhead in the Section 5. Though in most cases, the overhead is negligible, but we won't use sampling for every reduce prediction. Combing with the DAG context, the sampling prediction will be triggered only when the range partitioner or customized partitioner occurs.

#### 3.2.3 Heuristic MinHeap Scheduling of Single Shuffle

For each predicted reduce size, a percentage array of total data composition among each map output is calculated. The highest percentage and its corresponding host should be the best choice the dimension of locality. In order to achieve the uniform load on each node while reducing the network traffic and shuffle transmission time. With this composition array and the predicted size of reduce, we present a heuristic MinHeap as the scheduling algorithm for single shuffle.

This algorithm can be divided into two round of scheduling. For input of *schedule*,  $m$  is the partition number of input data,  $h$  is the array of nodes ID in cluster and  $p\_reduces$  is the predicted reduce matrix. Each row in  $p\_reduces$  contains  $r\_id$  as reduce partition ID,  $size$  as predicted size of this partition,  $prob$  as the maximum composition portion of reduce data, and  $host$  as the node ID that produce the maximum portion of reduce data. As for  $M$ , it's a matrix consists  $hostid$ ,  $size$ (total size of reduce data on this node) and an array of reduce id.

In the first round (i.e. The first while in Algorithm 1), the reduces are first sorted by size. And then, they are assigned to hosts in the descending order of size. For hosts, we use a min-heap to maintain the hosts array according to the scheduled size on each hosts. In other word, the heavy tasks can be distributed evenly in the cluster. After the scheduling, the completion time of reduce stage is close to the optimal. **may need to add math prove between this and optimal**. In the second round, the task-host mapping will be adjusted according to the locality. The closer  $prob$  is to  $1/m$ , the more evenly this reduce is distributed in cluster. For a task which contains at most  $prob$  data from  $host$ , the normalized probability  $nor$  is calculated as a bound of performance degradation. This normalization can ensure that the more performance can be traded when the locality level increases. But the degradation of performance will not exceed 10% since the maximum value of  $nor$  is 0.1 (in extreme skew scenarios). If the assigned host( $c\_h$  in algorithm 1) is not equal to the  $host$  ( $t\_h$  in algorithm 1), than it has the  $nor$  probability to trigger a tasks swap between two hosts. To maintain the scheduling mapping of first round, the tasks will only be swapped if the target hosts owns a set of tasks that has similar size totally. We use the OpenCloud[7] trace to evaluate Heuristic MinHeap. Without swapping, the Heuristic MinHeap can achieve a

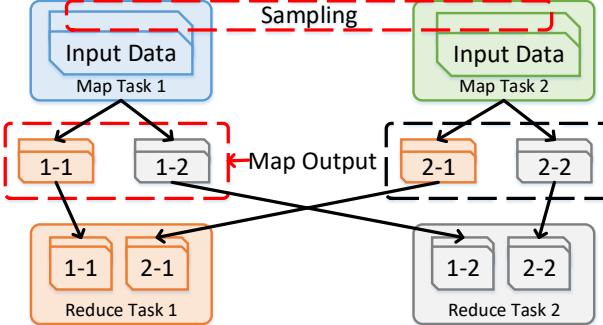


Figure 6: Shuffle Data Prediction

better performance improvement (average 5.7%) than the default Spark FIFO scheduling algorithm (average 2.7%). In the case of extreme skew scenario, such as Figure 7b, Heuristic MinHeap trades about 0.05% percent of stage completion time for 99% reduction of shuffle data transmission through network by heuristically swapping tasks.

### 3.2.4 Cope with Multiple Shuffles

Unlike Hadoop MapReduce, multiple shuffles commonly exist in DAG computing. The techniques mention in Section 3.2.2 can only predict the ongoing shuffle. For those pending shuffle, it's impossible to predict their size because of lacking either observed map outputs or sampling data. Let all tasks of all shuffle to be scheduled by DAG framework simultaneously can relieve the dilemma. But huge modification in DAG framework should be made by doing this. For example, Spark only supports one stage running at the same time for one application. To avoid this redundant workload, we provide the accumulating scheduling to cope with multiple shuffles.

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#### Algorithm 2 Accumulate Scheduling for Multi-Shuffles

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1: procedure MSCHEDULE( $m, h, p\_reduces, shuffles$ )
2:    $\triangleright shuffles$  is the previous array of reduce partition
   ID, host ID and size
3:   for all  $r\_id$  in  $p\_reduces$  do
4:      $p\_reduce[r\_id].size \leftarrow p\_reduce[r\_id].size +$ 
        $shuffles[r\_id].size$ 
5:     if  $shuffles[r\_id].size \geq p\_reduce[r\_id].size *$ 
        $p\_reduce[r\_id].prob$  then
6:       Update  $prob$  set  $host$  to  $shuffles[r\_id].host$ 
7:      $M \leftarrow schedule(m, h, p\_reduces)$ 
8:     for all  $host$  in  $M$  do
9:       for all  $r\_id$  in  $host$  do
10:        if  $host \neq shuffles[r\_id].host$  then
11:          Re-shuffle data to  $host$ 
12:           $shuffles[r\_id].host \leftarrow host$ 
return  $M$ 
```

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When a new shuffle start, the  $mSchedule$  is called to schedule the new one with previous  $shuffles$ . The size

of reduce on each node of previous scheduled  $shuffles$  are counted. Combined the with the predicted reduces size of the new start shuffle in  $p\_reduces$ , the size of each reduce and its corresponding  $prob$  and  $host$  are updated. Then the  $schedule$  is called to perform the shuffle scheduling. When the new host-reduce mapping is available, for each reduce task, if the new scheduled host in  $M$  is not equal to the origin one, the re-shuffle will be triggered to transfer data to new scheduled host for further computing. This re-shuffle can be rare since the previous shuffled data in one reduce contributes a huge composition while doing the accumulate updating. It means in the schedule phase, the  $swap - task$  can help revise the scheduling to match the previous mapping in  $shuffles$  as much as possible while maintaining the good performance.

## 4 Implementation

This section overviews the implementation of SCache – a distributed in-memory storage system that caches shuffle data of DAG framework. Here we use Spark as example of DAG framework to illustrate working process of shuffle optimization. We will first present system architecture in Subsection 4.1 while the following two subsections focus on the two constraints on memory management.

### 4.1 System Architecture

SCache consists mainly two components: A distributed in-memory shuffle data storage system and the daemon inside Spark. As shown in Figure 8, for the in-memory storage system, SCache employs the legacy master-slaves architecture like GFS[20]. The master node of SCache coordinates the shuffle blocks globally with application context from Spark. The coordination provides two guarantees: (a)store data in memory before tasks start and (b)schedule data on-off memory with all-or-nothing property and context-aware-priority constraints to benefit all jobs.

When a Spark job starts, the DAG will be first generated by Spark DAGScheduler[5]. The process starts on the last result stage, and recursively find the dependent stages until the beginning of the DAG. While going forward to the beginning, the DAG computing pipeline will be cut off if a RDD in the stage has one or more shuffle dependencies. These shuffle dependencies among RDDs will then be submitted through RPC call to SCache master by a daemon process in Spark driver. For each shuffle dependency, the shuffle ID(an integer generated by Spark), the type of partitioner, the number of map tasks and the number of reduce tasks are included in the RPC call. The SCache master will store the metadata of one RPC call

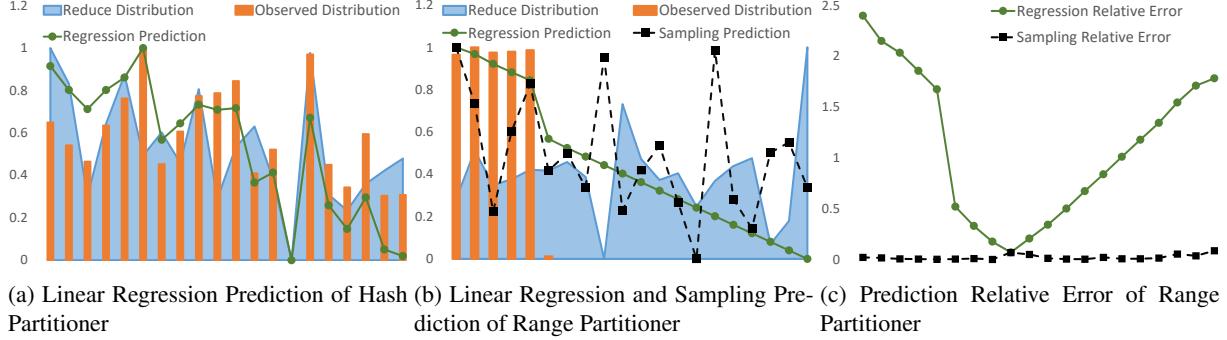


Figure 7: Reduction Distribution Prediction

as a set of multiple shuffles scheduling unit. If there is a specialized partitioner, such as Range Partitioner or a customized partitioner, in the shuffle dependencies, the daemon will insert a sampling program in the host RDD that generates shuffle output using specialized partitioner. The sampling application will be scheduled ahead of that host RDD. We will illustrate the sampling procedure in the Section 4.1.1.

For the hash partitioner, when the map tasks in a stage finish computing on the work nodes, the SCache Worker Daemon process will hijack the shuffle map output in the JVM of each executor of Spark (see Figure 8). Then the data will be transferred into the reserved memory of SCache Worker on each node through memory copy. In the same time, the Spark tasks will end after the memory copy without the disk shuffle output writing, which leads to a reduction of the whole tasks completion time. When the shuffle map output block of a task is stored in the reserved memory, the SCache worker will then notify the master of the block belonging information with the reduce size distribution in this block (see Map Output in Figure 6). When the collected map output data reach the observed ratio of map output, the SCache master will then run the scheduling algorithm 2 (for multiple shuffle dependencies) and 1 (for single shuffle dependency) to get the reduce tasks – nodes mapping. When the scheduling resulted is made, the master will then notify each worker to prepare the memory space for the shuffle data for reduce tasks. The pre-fetch of shuffle data as soon as each worker receives the scheduling results. More specifically, each worker will check the ID of reduce tasks that will be scheduled on itself in the future. When a map task finishes, each node will receive a broadcast message. It will then trigger the pre-fetch process to start fetching shuffle data from the memory of remote SCache worker that just has the map task finished. After all blocks of shuffle map output is transferred, the SCache worker will flush these blocks to disks for saving memory space and maintaining fault tolerance of Spark.

Before the reduce stage starts, Spark DAG Scheduler

will first generate a task set for this stage with different locality levels – **PROCESS LOCAL**, **NODE LOCAL**, **NO PREF**, **RACK LOCAL**, **ANY**. The locality levels are set by finding a cached location of a RDD. For the RDDs that have narrow dependency(opposite of shuffle dependency), the preferred location can also be the same as the dependent RDDs. For those RDDs that have shuffle dependencies, the locality will be set as **NO PREF** by default. To enforce SCache pre-allocated the reduce tasks, we insert some lines of codes in Spark DAG Scheduler to consult SCache Master to get the preferred node for each tasks. By doing this, the tasks with shuffle dependencies can be set as **NODE LOCAL**. Then the Task Scheduler will schedule tasks according to the task – node mapping from SCache.

When the scheduled reduce tasks start, the shuffle input data is requested. The SCache worker will then pass the requested data through memory copy from the reserved memory to Spark executor JVM memory. As soon as the memory copy finishes, the data in reserved memory will then be flushed to the disk.

#### 4.1.1 Reservoir Sampling

If the submitted shuffle dependencies contain a Range Partitioner or a customized partitioner, the SCache master will send a sampling request to the daemon process in Spark driver. The daemon process will then submit a job on Spark for the current RDD. This sampling job will use a reservoir sampling algorithm[32] on each partition of RDD since the items size of each partition is unknown before sampling. For the sample number, we set the size equals to  $3 \times \text{number of partitions}$  for balancing overhead and accuracy (it can be tuned by configuration). The sampling job will then perform a local shuffle with the selected items and partitioner (see Figure 9). At the same time, the size of items is counted as the weight of each partition. These sampling data will be aggregated by *reduce ID* on SCache master. The size for each reduce partition can be easily computed by equation 1. After the

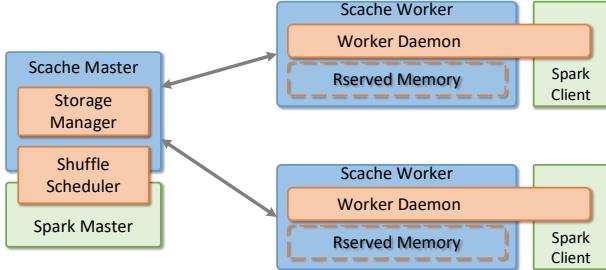


Figure 8: SCache Architecture

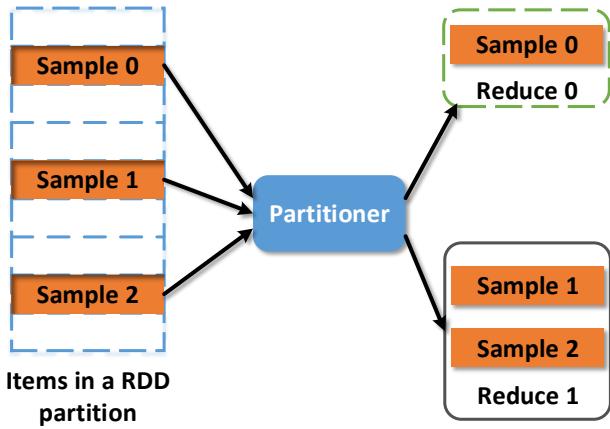


Figure 9: Reservoir Sampling of One Partition

prediction, master will call algorithm 2 and 1 to do the scheduling.

## 4.2 Memory Management

As mentioned in section 2.2.4, the shuffle size is small enough that can be easily fit in memory. In order to minimize the reserved memory of SCache worker on each node or configure the wrong size by user, the probability of memory exceeded is still exist, especially for multiple existing applications scenarios. When the cached data meet the limitation of reserved memory, SCache flushes some of them to the disk temporarily. And re-fetch them as soon as some cached shuffle blocks is consumed by tasks. To achieve maximum improvement in overall, SCache leverages two constraints to manage the in-memory data – all-or-nothing and context-aware-priority property.

### 4.2.1 All-or-Nothing Property

Achieving memory cached shuffle data for a reduce task will shorten the task execution time. But this acceleration of single task doesn't speed up the whole stage. Base on the observation that in most cases one single stage contains multi-rounds of tasks from section 2.2.1, the shuffle

cache should at least benefit all tasks in one round. If one of the task misses a memory cache and exceeds the original bottleneck of this round, that task will become the new bottleneck of that round and can further slow down the whole stage. PACMan[12] has proved that for multi-round stage/job, the completion times improves in steps when  $n \times \text{number of tasks in one round}$  tasks have data cached in local memory. Therefore, the memory cache of shuffle data need to match at least every round of tasks in a stage. We refer to this as the all-or-nothing property.

According to all-or-nothing property, SCache master leverages the scheduled results to determine the bound of each round of tasks, and then use this as the minimum unit of storage to manage the reserved memory globally. That is, there is one or more storage units for a shuffle schedule unit *add figure*. For those incomplete unit, SCache will mark them as the lowest priority. Following the all-or-nothing constraint can maximum the improvement in stage completion time by using reserved memory efficiently.

### 4.2.2 Context-Aware-Priority Property

When the size of cached shuffle data exceeds the reserved memory, SCache should decide which of these should be flushed to disk according to the priorities of each storage unit. SCache master first searches if there is an incomplete unit and flush all blocks belonging to the unit to disk cluster-wide.

But what if all the units are completed in the cluster? Traditional cache replacement schemes, such as MIN[14], that only maximize cache hit ratio do not consider the application context in DAG computing thus will easily violate all-or-nothing constrain. In addition, since the cached shuffle blocks will be only read exactly once (without failure), the hit ratio is actually meaningless in this scenario. To decide the priorities among units, SCache makes decision in two dimensions – *inter shuffle units* and *intra shuffle unit*.

- **Inter shuffle units:** SCache master follows the scheduling scheme of the task scheduling schemes of Spark. For a FAIR scheduler, Spark will try to balance the resource of among task sets, which leads to a higher scheduled probability for those has more remaining tasks. The more remaining tasks a stage has, the more storage units exist in the corresponding shuffle unit. Based on this observation, SCache sets priorities from high to low to each shuffle units in descending order of storage units. For a FIFO scheduler, Spark will schedule the task set that is submitted first. So SCache can set the priorities according to the submit time of each shuffle unit and evict the recent ones.
- **Intra shuffle unit:** When a shuffle unit has been marked as the lowest priority, SCache should decide

the order of eviciton among storage units in it. Refer to the task scheduling inside a task set of Spark, the tasks with smaller ID will be scheduled at first under one locality level. Recall that SCache sets all reduce tasks to ***NODE LOCAL***, it can easily select the storage unit with larger tasks ID and flush them to disk.

## 5 Evaluation

In this section, we present the evaluation result of Spark with SCache comparing with the original Spark. We first run simple DAG computing jobs with two stages to analyze the impact of shuffle pre-fetch on the scope of the hardware resources differences of the Spark cluster. The shuffle dependency between two stages contains one shuffle. In addition, we run a shuffle heavy benchmark named Spark Terasort[8]. In order to prove the performance gain of SCache with a real production workload – Spark TPC-DS[10] and evaluate the improvement of SCache for each stage and the overall performance. At last, we present the overhead of sampling. Because a complex Spark application consists of multiple stages. The completion time of each stage varies under different input data and configurations, as well as different number of stages. This uncertainty leads to the dilemma that dramatic fluctuation in overall performance comparing. To present a straightforward illustration, we limit the scope of most evaluations in single stages.

### 5.1 Setup

We run our experiments on a 50 m4.xlarge nodes cluster on Amazon EC2[1]. Each node has 16GB memory and 4 CPUs. The network bandwidth is not specifically provided by Amazon. Our evaluation reveals the bandwidth is about 300 Mbps(see Figure 3).

### 5.2 Simple DAG Analysis

#### 5.2.1 Differential Runtime Hardware Utilization

We first run the same single shuffle test (GroupByTest from Spark example[5]) as we mentioned in Figure 3. As shown in Figure 10, the hardware utilization is captured from one node during the job. Note that since the completion time of whole job is about 50% less than Spark without SCache, the duration of Figure 10 is cut in half as well. A overlap between CPU, disk and network can be easily observed in Figure 10. That is, the I/O operation will never cut off the computing process. By running Spark with SCache, the overall CPU utilization of the cluster stays in a high level. The decoupling of shuffle write from map tasks frees the CPU earlier, which leads to

a faster map task computation. The shuffle pre-fetch starts the shuffle data transfer in the early stage of map phase shift the network transfer completion time, so that the computation of reduce can start immediately after scheduled. And this is the main performance gain we achieved on the scope of hardware utilization by SCache.

#### 5.2.2 Performance Gain in Detail

As shown in Figure 11, we run the single shuffle test with different input size in the cluster. For each stage, we run 10 rounds of tasks. We plot the stage completion time separately in Figure 11a and Figure 11b. We measure the completion time of each phase during the job, including shuffle write/read time and computing time. In addition, we also present the detail information of median task in each stage in Figure 12. In the shuffle map stage, SCache optimizes 10% of stage completion time on average. For a single map task, about 40% of shuffle write time can be eliminated by SCache (Figure 12a). Because the serialization of data is CPU intensive[29] and it is inevitable while moving data out of Java heap memory, SCache cannot eliminate the whole phase of shuffle write.

For reduce stage, instead, the network transfer and disk read contribute significantly of decreasing time consumption of shuffle read. In addition, heuristic reduce tasks scheduling achieves better load balance in cluster than the Spark default FIFO scheduling. So that we can have a ~75% performance gain in the completion time of whole reduce stage. By doing shuffle data pre-fetch for the reduce tasks in Figure 12b, the shuffle read time decreases ~100%, which means shuffle data pre-fetch almost hide all the explicit network transfer in the reduce stage.

Combining two side of map and reduce, SCache can help Spark decrease about 89% time in the whole shuffle process.

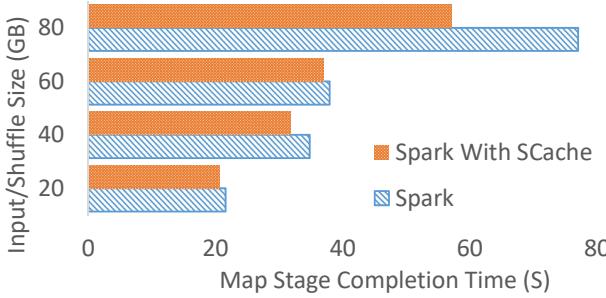
### 5.3 Terasort

In this part, we evaluate the both TeraSort[8].

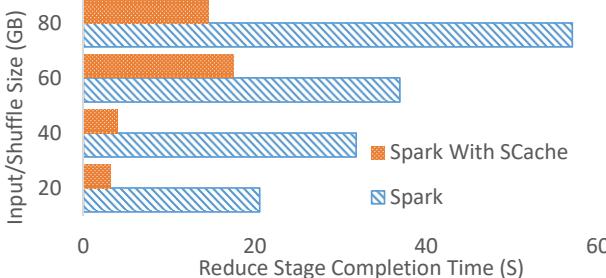
TeraSort is a shuffle intensive benchmark for distributed system analytics. As shown in Figure 13a, Spark with SCache runs 2 × faster during the reduce stage in a range from 10GB to 200GB input data. At the same time, the heuristic scheduling algorithms of SCache (Algorithm 1 and Algorithm 2) can obtain the exactly same scheduling result of reduce tasks, which decreases the network traffic. The result is presented in Figure 13b. In contrast, Spark delays scheduling reduce tasks the with the shuffle data locality to achieve this optimal.



Figure 10: CPU utilization and I/O throughput of a node during a Spark single shuffle application With SCache

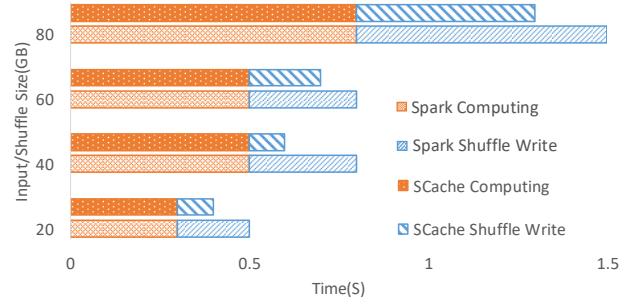


(a) Map Stage Completion Time Comparsion

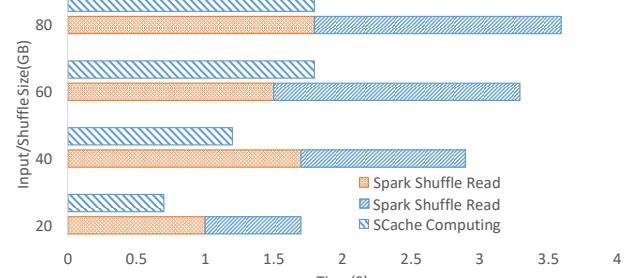


(b) Reduce Stage Completion Time Comparsion

Figure 11: Stage Completion Time Comparsion of Single Shuffle Test



(a) Map Stage Completion Time Comparsion



(b) Task Details in Map and Reduce Stages

Figure 12: Stage Completion Time Comparsion of Single Shuffle Test

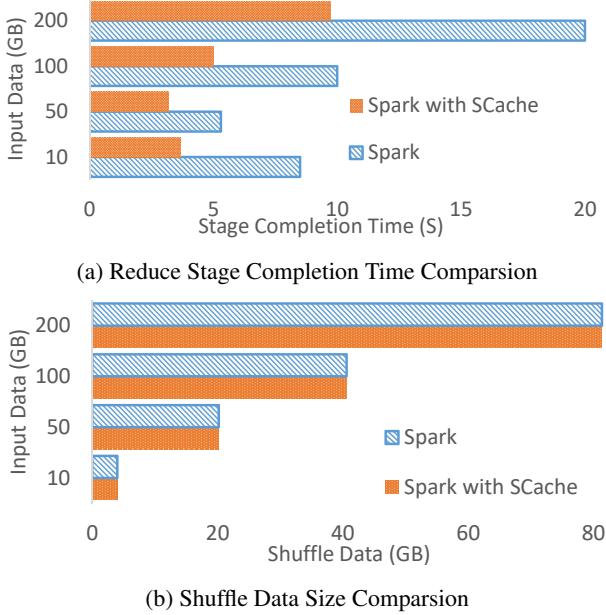


Figure 13: Terasort Evaluation

## 5.4 Production Workload

We also evaluate some shuffle heavy TPC-DS[9] on the cluster. TPC-DS benchmark is designed for modeling multiple users submitting varied queries (e.g. ad-hoc, interactive OLAP, data mining, etc.). TPC-DS contains 99 queries and is considered as the standardized industry benchmark for testing big data systems. We evaluate performance of Spark with SCache by picking some of the TPC-DS queries with shuffle intensive attribute. As shown in Figure 14, on the horizon axis is query number, and on the vertical axis is query completion time. Spark with SCache outperforms the original Spark in almost all the queries in TPC-DS query set. Furthermore, in many queries, Spark with SCache outperforms original Spark by an order of magnitude. The overall reduction portion of query time that SCache achieved is 40% on average. Since this evaluation presents the overall job completion time of queries, we believe that the optimization is promising.

## 5.5 Overhead of Sampling

In this part, we evaluate the overhead of sampling with different input data size and cluster scales. We use the same Terasort[8] for this evaluation. As shown in Figure, the overhead of sampling is very small comparing with the completion time of each stage. Figure also prove that the overhead of sampling is stable with different number of nodes in cluster. It makes SCache a scalable system in cluster.

## 6 Related Work

We summarize the shuffle optimization scheduling schemes in this Section. Basically, we categorize related works in two parts, pre-scheduling and delay scheduling.

**Pre-scheduling:** Starfish[22] is a self-tuning system in Hadoop. The basic idea is to get sampled data statics for tuning system parameters (e.g. slowstart, map and reduce slot ratio, etc). However, these parameters cannot be changed once the jobs begin. DynMR[30] dynamically starts reduce tasks in late map stage when there is enough data to be fetched. Thus it reduces the time for reducer to wait for mapper producing outputs. Those two works still left the explicit I/O time wait in both map and reduce phases. iShuffle[15] decouples shuffle from reducers and designs a centralized shuffle controller. The goal is also to find the right time, but it can neither handle multiple shuffles multiple nor schedule multiple rounds of reduce tasks. iHadoop[19] aggressively pre-schedules tasks in multiple successive stages, in order to start fetching data from previous stage earlier. But we have proved that randomly assign tasks may hurt the overall performance in section 3.2.1. Different from these works, SCache pre-schedules reduce tasks without consuming new task slots, whereas all these schemes do.

**Delay-scheduling:** Delay Scheduling[34] delays tasks assignment to get better data locality, which can reduce the network traffic. ShuffleWatcher[11] delays shuffle fetching when network is saturated. At the same time, it achieves better data locality. Both Quincy[25] and Fair Scheduling[33] can reduce shuffle data by optimizing data locality of map tasks. Even though these kind of schemes can achieve higher data locality, they cannot breach the shuffle cut off between map and reduce stages, whereas SCache does.

### 6.1 Limitation and Future Work

SCache aims to breach the shuffle cut off between DAG computing stages. And the evaluation results show a promising improvement. But we realize some limitations of SCache.

**Fault tolerance of SCache:** When a failure happened on the SCache master, the whole system will stop working. To prevent the machine failure leading to inconsistency SCache, the master node will log the meta data of shuffle register and scheduling on the disk. Master can reads logs during recovery. Since we remove the shuffle transfer from the critical path of DAG computing, the disk log will not introduce extra overhead to the DAG frameworks. Note that the master can be implemented with Apache ZooKeeper[24] to provide constantly service to DAG framework. If a failure happens on a worker, the optimization of tasks on that node will fail. It also vi-

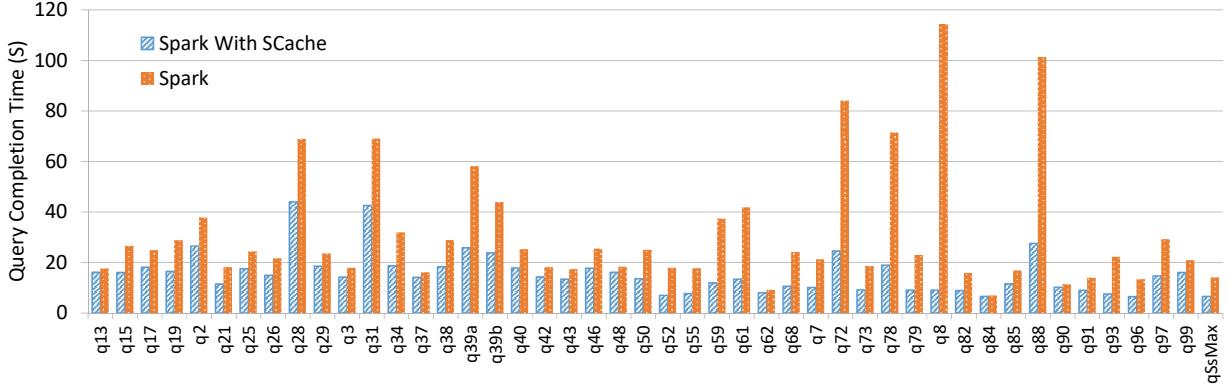


Figure 14: TPC-DS Benchmark Evaluation

olates the constraints of all-or-nothing, which means the gain of shuffle data cache maybe negligible. A promising way to solve the failure on worker is to reschedule reduce tasks and retransmit the data. Another solution is selecting some backup nodes to store replications of shuffle data during scheduling to prevent the worker failure. We believe combining the high speed of network and memory is a better choice for fault tolerance. Since the mean time to failure(MTTF) for a server is counted in the scale of year[27]. As for now, fault tolerance is not a crucial goal of SCache, we leave it to the future work.

**Scheduling with different frameworks:** A cluster for data parallel computing always contains more than one frameworks. Setting priority among jobs submitted from different framework is challenging and complex. However, combining the resource management facilities in data center such as Mesos[23] may be a good direction.

## 7 Conclusion

In this paper, we present SCache, a shuffle optimization scheme for DAG computing framework. SCache decouples the shuffle from computing pipeline and leverages shuffle data pre-fetch to mitigate I/O overhead of the whole system. By scheduling tasks with application context, SCache bridges the gap among computing stages. Our implementation on Spark and evaluations show that SCache can provide a promising speedup to the DAG framework. We believe that SCache is a simple and efficient plugin system to enhance the performance of most DAG computing frameworks.

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