

Remark. For each problem, you must justify your answer. All time complexities are in worst-case, unless otherwise specified. It is suggested that your algorithms are described in words and examples (instead of in pseudo codes), unless pseudo codes are asked to be provided.

Problem 1: (11%, Problems selected from midterm examination-Part I)

- (1) (4%) Let $f(n)$, $g(n)$, and $h(n)$ be asymptotically positive functions such that $f(n) + 50 = O(g(n))$ and $0.05 \times g(n) = O(h(n))$. Using the definition of O -notation, prove that $f(n) = O(h(n))$.
- (2) (7%) Find an upper bound on the recurrence $T(n) = 4T(\lfloor n/4 \rfloor) + n$ by appealing to the substitution method. (You may assume that $T(1) = T(2) = T(3) = 1$.)

Problem 2: (14%, Problems selected from midterm examination-Part II)

- (1) (7%) Consider the problem of finding the smallest set S of unit-length closed intervals that contain a set X of n given points. Prove that this problem exhibits the following two properties: greedy-choice property and optimal substructure.
- (2) (7%) Let A_1, A_2, \dots, A_k be k non-empty sorted lists that contain a total number of n elements, where $k = 20 \times (\lg n)^{1/2}$ and each A_i may have a different length. Give an efficient algorithm to merge all lists into one. What's the time complexity, in terms of n , of your algorithm? Explanation is necessary.

Problem 3: (10%, Disjoint sets) Suppose that linked-lists are used to represent disjoint sets. Prove that using the weighted-union heuristic, a sequence of m Make-Set, Union, and Find-Set operations takes $O(m + n \lg n)$ time, where n is the number of Make-Set operations.

Problem 4: (12%, Depth-first search) Let $G = (V, E)$ be a directed graph. According to the depth-first forest G_π , we can classify the edges of G into four types.

- (1) (4%) List the names of these edge types. (No definitions are required.)
- (2) (8%) Modify the depth-first search algorithm to classify the edges as it encounters them. No explanation is necessary.

Problem 5: (10%, Minimum spanning trees)

- (1) (6%) Describe Kruskal's minimum spanning tree algorithm. (No proof for the correctness.)
- (2) (4%) Assume that the length of each edge is a positive integer less than n . What is the running time of Kruskal's algorithm? Explanation is necessary.

Problem 6: (10%, Single source shortest paths)

- (1) (5%) Describe Bellman-Ford's algorithm for the single source shortest paths problem.
- (2) (5%) Assume that the input graph does not contain negative cycles. Prove the correctness of Bellman-Ford's algorithm.

Problem 7: (10%, Number-theoretic algorithms)

- (1) (6%) Let x and a be two positive integers. Give an efficient algorithm that computes x^a .
- (2) (4%) What is the time complexity of your algorithm? Is it polynomial or pseudo polynomial? Explanation is necessary.

Problem 8: (13%, Approximation algorithms)

- (1) (5%) Describe an approximation algorithm with ratio bound 2 for the Euclidean TSP problem.
- (2) (3%) What is the time complexity? Justify your answer.
- (3) (5%) Prove that your algorithm in (1) has a ratio bound 2.

Problem 9: (10%, NP-completeness) Define the following terms and draw a Venn diagram to describe their relationships: P, NP, NP-complete, NP-hard. (Assume that $NP \neq P$.)**Problem 10:** (10%, homework) This problem is to verify whether or not you did homework by yourself. Please answer either of the following. (If you answer both, only the one getting less score will be counted.)

- (a) Suppose we wish not only to increment a counter but also to reset it to zero (i.e., make all bits in it 0). Counting the time to examine or modify a bit as $\Theta(1)$, show how to implement a counter as an array of bits so that any sequence of n INCREMENT and RESET operations takes time $O(n)$ on an initially zero counter. Justify your answer. Explanation is necessary.
- (b) Let $G = (V, E)$ be a directed graph in which each edge has a real weight. We define the *mean weight* of a cycle $c = (e_1, e_2, \dots, e_k)$ to be

$$\mu(c) = (\sum_{1 \leq i \leq k} w(e_i)) / k,$$

where $w(e)$ denotes the weight of an edge $e \in E$. Let $\mu^* = \min_c \mu(c)$, where c ranges over all directed cycles in G . Assume that every vertex is reachable from a source vertex s . Let $\delta(s, v)$ be the weight of a shortest path from s to a vertex v , and let $\delta_k(s, v)$ be the weight of a shortest path from s to v consisting of exactly k edges. Show that if $\mu^* = 0$, then

$$\max_{0 \leq k \leq n-1} (\delta_n(s, v) - \delta_k(s, v)) / (n - k) \geq 0$$

for all vertices $v \in V$.

1. (1) 已知: $\exists c_0, n_0 \rightarrow f(n) + 50 \leq c_0 \cdot g(n) \quad \forall n \geq n_0 > 0$ 且
 $\exists c_1, n_1 \rightarrow 0.05 \cdot g(n) \leq c_1 \cdot h(n) \quad \forall n \geq n_1 > 0$, 令 $n_2 = \max\{n_0, n_1\}$

$$\rightarrow f(n) \leq c_0 \cdot g(n) - 50 \quad \text{且} \quad g(n) \leq \frac{c_1}{0.05} \cdot h(n) \quad \forall n \geq n_2 > 0$$

$$\rightarrow f(n) \leq \frac{c_0 \cdot c_1}{0.05} h(n) - 50 \leq \frac{c_0 \cdot c_1}{0.05} \cdot h(n) \quad \forall n \geq n_2 > 0$$

$$\rightarrow f(n) = O(h(n))$$

(2) guess: $T(n) = O(n \lg n)$

basis: $n_0 = 2, T(2) \leq c \cdot 2 \lg 2$? ok for $c \geq \frac{T(2)}{2 \lg 2}$

$T(3) \leq c \cdot 3 \lg 3$? ok for $c \geq \frac{T(3)}{3 \lg 3}$

$T(4) \leq c \cdot 4 \lg 4$? ok for $c \geq \frac{T(4)}{4 \lg 4}$

$T(5) \leq c \cdot 5 \lg 5$? ok for $c \geq \frac{T(5)}{5 \lg 5}$

$T(6) \leq c \cdot 6 \lg 6$? ok for $c \geq \frac{T(6)}{6 \lg 6}$

$T(7) \leq c \cdot 7 \lg 7$? ok for $c \geq \frac{T(7)}{7 \lg 7}$

\rightarrow 取 $n_0 = 2, 3, \dots, 7, c \geq \frac{T(n_0)}{n_0 \lg n_0}$ 可使 basis holds. — ①

Induction: 設 $\forall k$ st. $n_0 \leq k < n, T(k) \leq c \cdot k \lg k$

當 $k = n, T(n) = 4 T(\frac{n}{4}) + n$ where $n \geq 8$

require $n \geq 8$ ① $4c \lfloor \frac{n}{4} \rfloor \lg \lfloor \frac{n}{4} \rfloor + n$

$$\leq 4 \cdot c \frac{n}{4} \lg \frac{n}{4} + n$$

$$= cn \lg n - cn \lg 4 + n$$

$$\leq cn \lg n$$

when $-cn \lg 4 + n \leq 0$

$$\rightarrow -\lg 4 \cdot c + 1 \leq 0$$

$$\rightarrow c \geq \frac{1}{\lg 4} \quad \text{--- ②}$$

根據 ①-②, 取 $n_0 = 2, 3, \dots, 7, c = \max \left\{ \frac{1}{\lg 4}, \max_{2 \leq k \leq 7} \left\{ \frac{T(k)}{k \lg k} \right\} \right\}$

取 $n_0 = 2$

可使 basis & induction step holds, 得證 *

2. (1) greedy-choice property.

每次選取 n points 中最小的 y , 並加入 $[y, y+1]$.

proof. 設 Y 為最佳解且 $[y, y+1] \in Y$. 由於 y 為最小, 因此實線上左邊無其他點, 因此可用 $[y, y+1]$ 代替 Y 中用來 cover y 的 interval (eg. $[z, z+1]$).
 $X = Y - [z, z+1] \cup [y, y+1]$ 由於 interval 總數不變且也 cover 每個點, $z \neq y$.

因此 X 也是最佳解. #

optimal substructure: 設 S 為 n points 的最佳解.

選了 $[y, y+1]$, 並移除被 $[y, y+1]$ cover 的 points, 剩下的 point set 為 P .

利用反證法, 設對 P 的解 S' 不為最佳, 則可取 P 的一個最佳解 S^* .

使得 $|S'| > |S^*|$, 用 S^* 取代 S' 就得到 S'' 且 $|S''| < |S|$,

矛盾了 S 為 optimal 的假設, 因此具 optimal substructure. #

(2) 設 A_i 為由小到大排序, $i=1, \dots, k$,

algo:

將 A_i 兩兩合併, 直到剩一個 list.

e.g. input: A_1, A_2, A_3, A_4



時間: 每個 round 共 n 個 elements 合併 $\rightarrow O(n)$

兩兩合併, 所以共 $\log k$ round.

$$\rightarrow \text{總共 } O(\log k) \cdot O(n) = O(n \log k) = O(n \cdot (\log 2 + \frac{1}{2} \log n)) = O(n \log n) \quad \#$$

3. m 個 operations 後,

union 中被接到另一個 list 後

(1) Union 的時間為所有 element 的投降次數加總, 設 $t(x)$ 為 x 的投降次數,

L_x 為最後包含 x 的 list, 則觀察可得 $2^{t(x)} \leq |L_x| \rightarrow t(x) \leq \lg |L_x|$

因 L_x 最長為 $n \rightarrow t(x) \leq \lg n$

總投降 = $\sum_{\text{所有 } x} t(x) \leq n \cdot \lg n$, 得 m 個 operations 的 union 時間為 $O(n \lg n)$

(2) Make-Set 與 Find-Set 皆為 $O(1)$, 共 m 個 operations $\rightarrow O(m)$

根據 (1), (2), 總共為 $O(m + n \lg n)$ #

4. (1) tree edge · back edge · forward edge · cross edge.

(2) main:

for each $u \in G.V$:

$u.color = white$

$u.\pi = Nil$

$t = 0$

for each $u \in G.V$:

if $u.color == white$:

DFS(u)

def DFS(u):

$t = t + 1$

$u.d = t$

$u.color = gray$

for each $v \in u.adj()$:

if $v.color == white$:

$v.\pi = u$

print((u, v) , "is tree edge") → tree

DFS(v)

elif $v.color == gray$:

print((u, v) , "is back edge") → back

else:

~~if $u.d < v.d$:~~

if $u.d < v.d$:

print((u, v) , "is forward edge") → forward

else:

print((u, v) , "is cross edge") → cross

$t = t + 1$

$u.f = t$

$u.color = black$

9
5. (1) Kruskal(G): $S = \emptyset$

1 for each $u \in G.V$:

2 MAKE-Set(u)

3 排序 $G.E$ in non-decreasing order 得 $E' = \langle e_1, \dots, e_{|E|} \rangle$

4 for each (u, v) in E' (按顺序取 (u, v)):

5 if Find-set(u) \neq Find-set(v):

6 Union(u, v)

7 $S = S \cup \{(u, v)\}$

8 return S

(2) 将 edge length 视为 n 进位数, 则每个 edge 为 1 位 n 进位数

对 $G.E$ 做一轮 counting sort 所需时间为 $O(n + |E|) = O(n)$ $|E| = O(V)$ 的时间

$L_1 \sim L_n = O(V)$

$L_1 \sim L_n$: for 最多做 $O(E)$ 轮, 每轮若用 fibonacci-heap 实现 disjoint-set,

则 FIND-Set · Union 为 $O(\alpha(V)) \rightarrow O(E \cdot \alpha(V))$

→ 总时间为 $O(V + E \cdot \alpha(V))$

6. (1) Bellman-Ford (G, w, s):

10

for each $u \in G.V$:

$u.d = \infty$
 $u.\pi = Nil$

$s.d = 0$

for $i = 1$ to $V-1$:

for each edge $(u, v) \in G.E$:

if $u.d + w(u, v) < v.d$:

$v.d = u.d + w(u, v)$

$v.\pi = u$

for each edge $(u, v) \in G.E$:

if $u.d + w(u, v) < v.d$:

return False

return True

$u.d$ 表示 s 到 u 的 distance

$u.\pi$ 表示 u 的 predecessor in shortest path tree starting from s .

(下称 ST)

① Relax 每个 edges 共 $V-1$ 轮

检查 negative cycle

一开始 $i=1$ 时, U_1 被 s relax, 因此已完成。
设 k s.t. $1 \leq k$ 都完成

shortest path

(2) 设 T 为 G 的 ST starting from s , 则 T 中每个 vertex 到 s 的最短边数

可分为 U_1, U_2, \dots, U_{M-1} , (因无 negative cycle, 所以最长为 $|V|-1$).

每次做 ① 的 $i=k$ 时, U_k 的 vertex 就会被 relax, 并且 U_{k-1}

在 $i=k-1$ 时 已 完成 计算, 所以 U_k 也就正确计算了。

→ 做 $|V|-1$ 轮, U_1, \dots, U_{M-1} 就全算完了。



7. (1) Fast (x, a):

10

$s = 1$

while $a > 0$:

if $a \bmod 2 == 1$:

$s = s * x$

$x = x * x$

$a = a \div 2$

return s

Idea: 设 $A = 101_2$, 则

$$x^{101} = x^{100} \cdot x^{000} \cdot x^{001} = x^{2^2} \cdot x^{2^0} = x^4 \cdot x^1 = x^5$$

推到 k th bit 时
从 a 的低位开始扫描每个 bit, 若为 1 表示 x^{2^k} 可乘进解 $\rightarrow s = s * x$.

(2) while-loop 以 $a > 0$ 为条件, 且 a 每轮都会除以 2, 因此共 $\lg a$ 轮。

每轮 $O(1) \rightarrow O(\lg a)$

因 a 为整数, 共要 $\lg a$ bits $\rightarrow O(\lg^2 a) = O(\lg a) \rightarrow \text{polynomial}$

(用 bit 数表示)

8. (1) Algo:

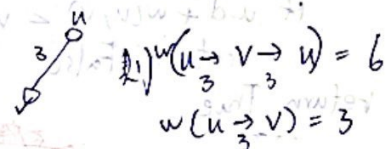
1. 任取一葉 r ^{當 root}，並做 Minimum Spanning Tree by Prim, 得 MST: T
2. 對 T 從 r 開始的 preorder traversal $\langle r, v_1, \dots, v_n \rangle$
- return $\langle r, v_1, \dots, v_n, r \rangle$

(2) 第一步用 array 當 disjoint set, 則 Prim 要 $O(V^2)$

第二步. 走訪 T 要 $O(V)$

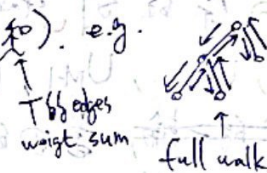
→ 共 $O(V^2)$

(3) 定義 $w(\cdot)$ 為一 ~~path~~ 走訪序列的 cost. e.g.



① 對 T 做 full walk 得 F , 則 $w(F) = 2 \cdot (T.E \text{ 的和})$

2. (T.E 的和). e.g.



② 設 C^* 為 ETSP 的最佳解, 則自 C^* 任取一 edge

移除. 會形成一 spanning tree T'

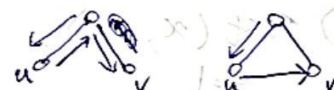
→ $T'.E \text{ 的和} \geq T.E \text{ 的和} \rightarrow w(C^*) \geq T.E \text{ 的和}$

③ 根據 ETSP 的特性知, 走直線比繞路快 → $w(F) \geq w(T \text{ 的 preorder list})$

根據 ①-③, $w(T \text{ 的 preorder list}) \leq w(F) = 2 \cdot (T.E \text{ 的和})$

$\leq 2 \cdot w(C^*)$, 得證

e.g.



9. P : 可在 $O(n^k)$ 時間, 用 deterministic algo 解決的 problem set. (k 為 constant)

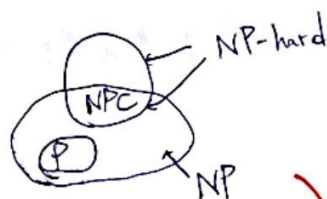
NP: 可在 $O(n^k)$ 用 non-deterministic algo 解的 problem set.

1. 先 guess 一組答案
2. 驗證

NP-complete: 所有在 NP 的問題皆可 reduced to s in $O(n^k)$

且 $s \in NP$, 則 $s \in NP\text{-complete}$ (反向也是. i.e. 若 $s \in NPC$, 則...)

NP-hard: 所有在 NP 的問題皆可 reduce to s , 則 $s \in NP\text{-hard}$ (反向也是)



10. (b) 因 $n-k > 0$, 所以相當於義證 $\delta_n(s, v) - \min_{0 \leq k \leq n-1} \delta_k(s, v) \geq 0$

設 $\mu^* = 0$, 表示 no negative cycle $\rightarrow \delta(s, v)$ 最多用 $n-1$ 條 edges, 多走圈不會使距離成本更小

$$\rightarrow \delta(s, v) = \min_{0 \leq k \leq n-1} \delta_k(s, v)$$

$$\rightarrow \delta_n(s, v) \geq \min_{0 \leq k \leq n-1} \delta_k(s, v) = \delta(s, v)$$

$$\rightarrow \delta_n(s, v) - \min_{0 \leq k \leq n-1} \delta_k(s, v) \geq 0, \text{得證} \#$$