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# NP-Completeness (Branch-and-bound)



**Polynomial time:**  $O(n^k)$  for some constant k, n is the problem size. polynomial  $\longrightarrow$  easy, can solve it

**Exponential time**:  $O(2^n)$ ,  $O(3^{n^3})$ , ... non-polynomial  $\longrightarrow$  hard, cannot solve it

### (verification algo.)

non-deterministic algorithm: an algorithm which

1. guesses an answer, and then

- 34-1a
- 2. verifies the answer. non-deterministic sort?
- 34-1x

→polynomial (deterministic)



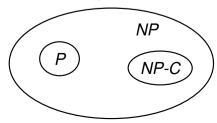
the set of problems that can be solved in  $O(n^k)$  time (using a deterministic algorithm).

the set of problems that can be solved in  $O(n^k)$  time using a non-deterministic algorithm. (Or, problems whose answers can be verified in  $O(n^k)$  time.)

polynomial

\*會計算就一定會驗算

non-deterministic



34-1z

**Reduction**: transform a problem into another. 34-2a

- \* Selection ⇒ Sorting
- \* Decision version ⇒ Optimization version
- \* if A ⇒ B, then usually B is harder (B ≥hard A) 34-2de
- \* if  $A \Rightarrow^p B$  and  $B \Rightarrow^p C$ , then  $A \Rightarrow^p C$  reduction (hardness) is transitive



**NP-Complete**: a problem A is in NP-C iff (i) A is in NP, and (ii) all problems in NP can be reduced to it in  $O(n^k)$  time.

- \* all NP-C problems are of the same difficulty
- \* all  $NP \Rightarrow p A \cong an NP-C \Rightarrow p A \cong all NP-C \Rightarrow p A$
- If any problem in NP-C can be solved in  $O(n^k)$  time, then P=NP. It is believed (not proved) that  $P \neq NP$ .
- \* if NP = P NP (P)

if NP ≠ P NPC

**NP-Hard**: a problem A is in NP-H iff

- (1) A is at least as hard as problems in NP-C.
- or  $\chi$ (2) all problems in *NP* can be reduced to *A* in  $O(n^k)$  time. all NP  $\Rightarrow$ P *A*
- or (3) a problem in NP-C can be reduced to A in  $O(n^k)$  time. an (all)  $NP-C \Rightarrow^p A$



## **NP-Complete problems:**

(0: false 1: true

Cook Thm. (Turing award)
\* (Circuit) satisfiability problem (SAT):

$$((a \rightarrow b) \lor \neg((\neg a \leftrightarrow c) \lor d)) \land \neg b$$

2<sup>n</sup> assignments (Clearly, SAT ∈ NP)

\* 3-CNF satisfiability problem (3SAT):

$$(a \lor b \lor c) \land (a \lor \neg d \lor e) \land (b \lor f \lor a)$$
 (conjunctive normal form)

\* The subset-sum (partition) problem: partition a set of (real) numbers into two subsets of the same sum.

visit each vertex exactly once!

\* The hamiltonian-cycle problem

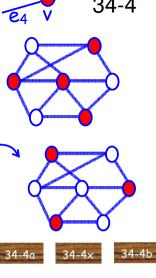


\* The clique problem

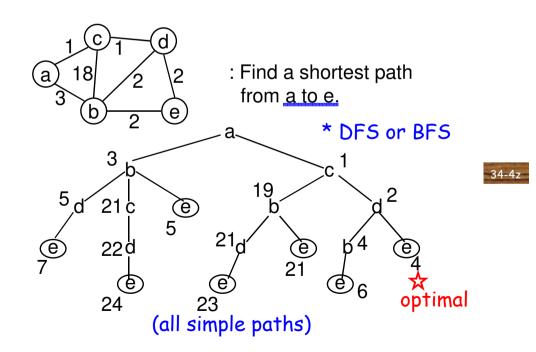
→completely connected subgraph



- \* The vertex-cover problem
- \* The independent set problem
- \* The graph coloring problem
- \*  $2SAT \in P$   $(2CNF \in P)$



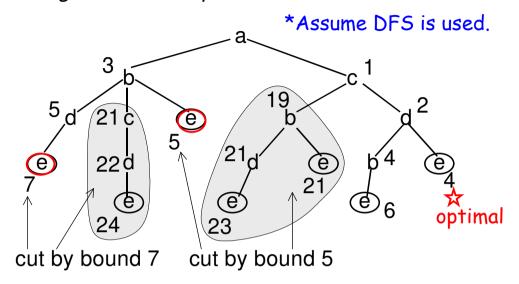
Brute-force (search): Try all possible answers.



**Branch-and-Bound search**: Brute-force + Intelligent cuts to impossible answers.



N



**Approximation Algorithm**: Let  $A^*$  be the optimal solution of an input. An approximation algorithm will produce a solution A such that

 $|A^*-A|/A^* \le \varepsilon$ , e.g.  $\varepsilon(n) = 0.5$  -> error within 50% where  $\varepsilon$  is called the *relative error bound*.

啟發式的 (自以為是,一相情願,不負責任) **Heuristic Algorithm**: may produce a good solution but no guarantee on the error bound.

Homework: None.

34-5a

#### **Exercises**

**Question 1**: Determine whether the following statements are correct of not.

- (1) If a problem is *NP-Complete*, then it can not be solved by any polynomial time algorithm in worst cases.
- (2) If a problem is *NP-Complete*, then we have not found any polynomial time algorithm to solve it in worse cases.
- (3) If a problem is *NP-Complete*, then it is unlikely that a polynomial time algorithm can be found in the future to solve it in worst cases.
- (4) If a problem is *NP-Complete*, then it is unlikely that we can find a polynomial time algorithm to solve it in average cases.
- (5) If we can prove that the lower bound of an NP-Complete problem is exponential, then we have proved that NP≠P.

**Question 2**: Determine whether the following statements are correct of not.

(1) The NP problems consist of only decision problems.

(2) If we prove that problem *A* can polynomial-time reduce to satisfiability problem, then problem *A* 

34-7

- N is NP-complete.
  - (3) If a problem *A* is polynomial time reducible to problem *B* and *B* has a polynomial time algorithm, then problem *A* has a polynomial time algorithm.
  - (4) If an NP-complete problem can be solved in polynomial time, then NP  $\neq$  P.
  - (5) The problem of determining whether an integer number is a prime number is an NP-complete problem.

    \* Big CS News in 2001 \* Pseudo-Polynomial
  - (6) The hamiltonian-path problem can be solved in polynomial time on directed acyclic graphs
- (7) 3-CNF (the satisfiability problem, in which
- N? each clause has exactly three literals) is reducible to 2-CNF problem. poly. reduction???
  - \* SAT ->p 3CNF ???
  - **Question 3**: Determine whether the following statements are correct of not, and justify your answer.
  - (a) Any *NP-hard* problem can be solved in polynomial time if there is an algorithm that can solve the satisfiability problem in polynomial time.

- (b) Any NP-Complete problem can be solved by a polynomial time deterministic algorithm in average if and only if NP=P is proved.
- (c) The clause-monotone satisfiability problem is *NP-Complete*, where a formula is called monotone if each clause of the formula contains either only positive variables or only negative variables.

By yourself, using 34-def. Question 4: Suppose problem  $P_1$  can be reduced to another problem  $P_2$  in  $O(n^2)$  time, where n is the input size. Answer the following questions and justify your answer briefly.

- y (a) If  $P_1$  is NP-hard, is  $P_2$  NP-hard?
- N (b) If  $P_2$  is NP-hard, is  $P_1$  NP-hard?
- N (c) Suppose  $P_1$  can be solved in O(f(n)) time. Is it possible to derive a time lower-bound or a time upper-bound for  $P_2$ ? If it is possible, what is the time bound?