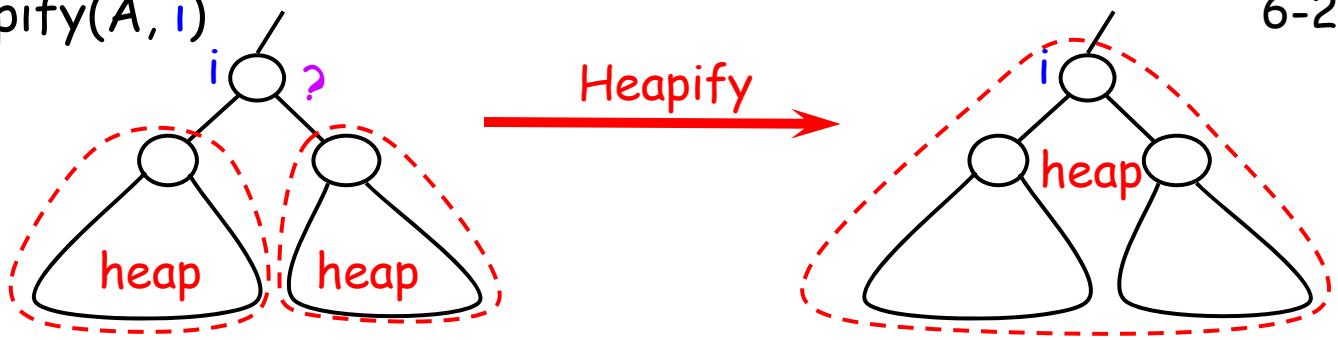


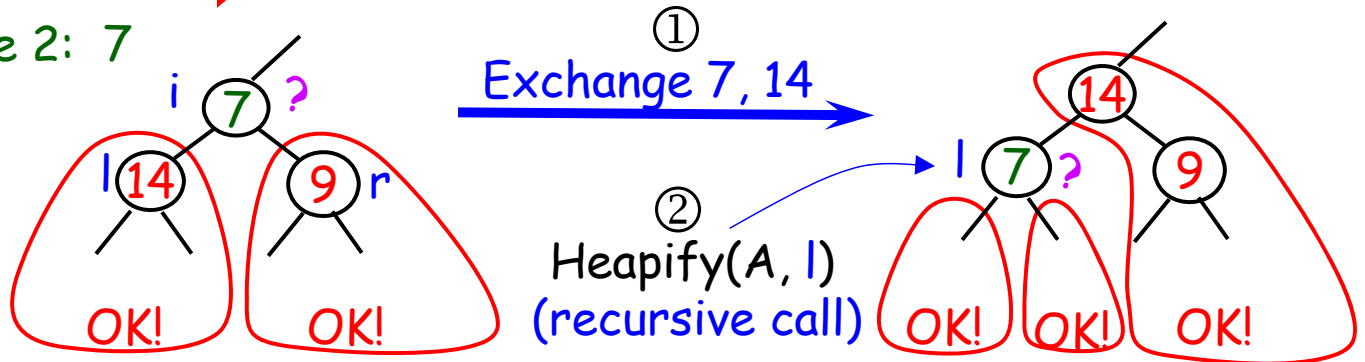
Heapify(A, i)

6-2a



Case 1: 19 \Rightarrow Done!

Case 2: 7



c operations

$O(c)$ time

$O(1)$ time

constant time



1 秒鐘

(1 單位時間)

MAX-HEAPIFY(A, i)

1 $l \leftarrow \text{LEFT}(i)$

2 $r \leftarrow \text{RIGHT}(i)$

3 **if** $l \leq \text{heap-size}[A]$ and $A[l] > A[i]$

4 **then** $\text{largest} \leftarrow l$

5 **else** $\text{largest} \leftarrow i$

6 **if** $r \leq \text{heap-size}[A]$ and $A[r] > A[\text{largest}]$

7 **then** $\text{largest} \leftarrow r$

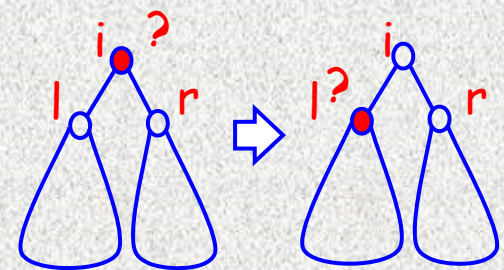
8 **if** $\text{largest} \neq i$

9 **then** exchange $A[i] \leftrightarrow A[\text{largest}]$

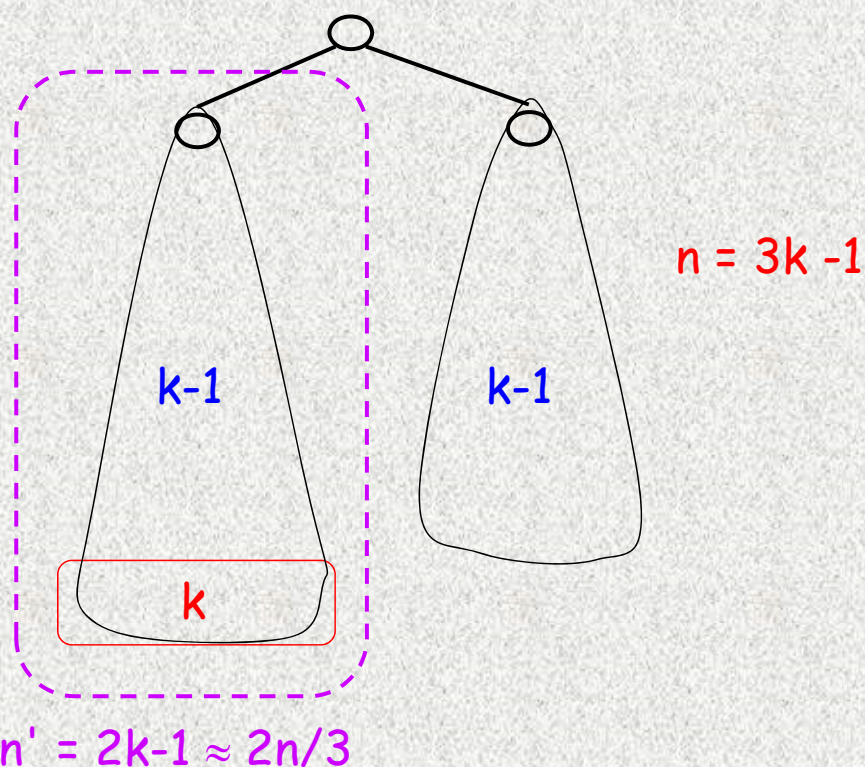
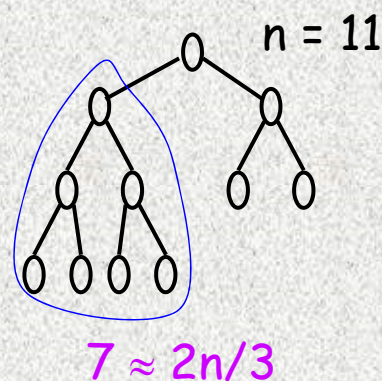
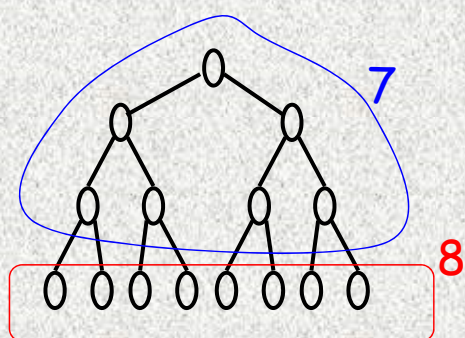
10 **else** $\text{MAX-HEAPIFY}(A, \text{largest}) \leq T(\frac{2}{3}n)$



$$T(n) \leq T(\frac{2}{3}n) + O(1)$$



6-3x



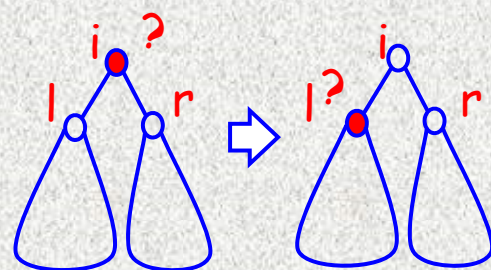
6-3y

c operations
 \parallel
 $O(c)$ time
 \parallel
 $O(1)$ time
 \parallel
 constant
 time
 \downarrow
 1 秒 鐘

MAX-HEAPIFY(A, i)

```

1   $l \leftarrow \text{LEFT}(i)$ 
2   $r \leftarrow \text{RIGHT}(i)$ 
3  if  $l \leq \text{heap-size}[A]$  and  $A[l] > A[i]$ 
4      then  $\text{largest} \leftarrow l$ 
5      else  $\text{largest} \leftarrow i$ 
6  if  $r \leq \text{heap-size}[A]$  and  $A[r] > A[\text{largest}]$ 
7      then  $\text{largest} \leftarrow r$ 
8  if  $\text{largest} \neq i$ 
9      then exchange  $A[i] \leftrightarrow A[\text{largest}]$ 
10     MAX-HEAPIFY( $A, \text{largest}$ )
```



$$\text{MAX-HEAPIFY}(A, \text{largest}) \leq T\left(\frac{2}{3}n\right)$$

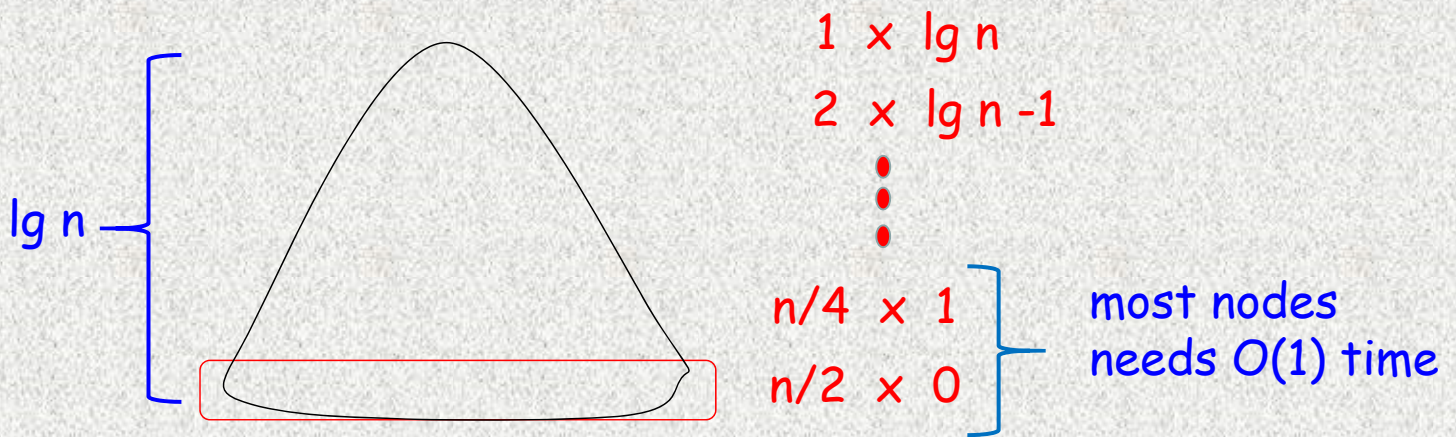
$$T(n) \leq T\left(\frac{2}{3}n\right) + O(1)$$

6-3x

Build_Heap: $n/2$ calls to heapify, each taking $O(\lg n)$

Roughly: $T(n) = O(n \lg n)$ (true, but overestimated)

Carefully: $T(n) = O(n)$



6-3z

at most

height	nodes
$\lfloor \lg n \rfloor$	1
$\lfloor \lg n \rfloor - 1$	2
$\lfloor \lg n \rfloor - 2$	2^2
\vdots	\vdots
h	$2^{\lfloor \lg n \rfloor - h}$
\vdots	\vdots
1	$2^{\lfloor \lg n \rfloor - 1}$
0	$2^{\lfloor \lg n \rfloor}$

at most

課本用 $\lceil \frac{n}{2^{h+1}} \rceil$ 比較準 但沒好處

$\sum_{h=0}^{\lfloor \lg n \rfloor} 2^{\lfloor \lg n \rfloor - h} O(h)$

$\leq \sum \frac{n}{2^h} O(h)$

$\leq O(n \times \sum \frac{h}{2^h})$

$\leq O(n \times 2)$

$\sum kx^k = \frac{x}{(1-x)^2}$

6-3a

Building a heap: a top-down viewpoint (D&C)

Build_H(A, i)

if $i > n$ then return

Build_H(A, $2i$)

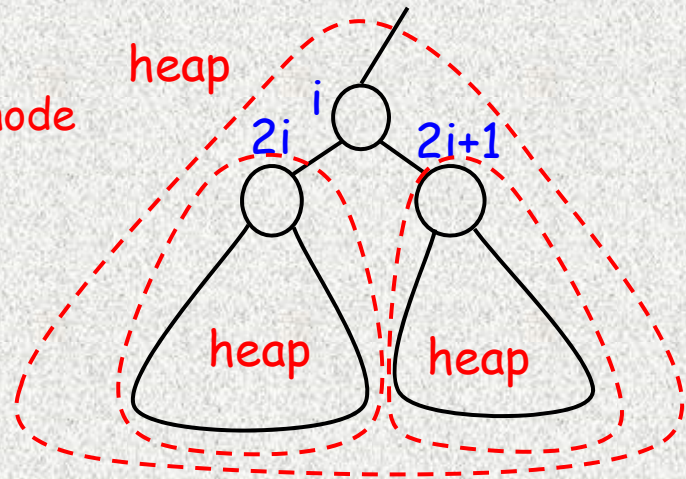
Build_H(A, $2i+1$)

Heapify(A, i)

end

call Build_H(A, 1) to build the whole heap

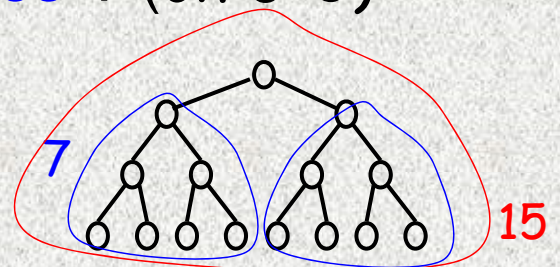
$T(n) = 2T(n/2) + O(\lg n) = O(n)$ (by Master Thm.)



6-3w

OR: $T(n) = 2T(n/2) + \lg n$ (Assume $n = 2^{h+1} - 1$.)
Append dummy nodes

Q: Why "Append dummy nodes"? (on 6-3)



* When $n = 2^{h+1} - 1$, we can always take $\lfloor n/2 \rfloor$, making the recurrence simpler

* Why can we make such assumption?

$n=13$

7	1	4	3	7	5	9	2	6	11	8	12	4	$-\infty$	$-\infty$
---	---	---	---	---	---	---	---	---	----	---	----	---	-----------	-----------

(for max-heap)

6-3w-1

$$\frac{n}{2} \times \frac{n}{2} \rightarrow \begin{pmatrix} r & s \\ t & u \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} e & g \\ f & h \end{pmatrix} \rightarrow \dots \dots \dots (\text{EQ-1})$$

Q: Why can we have the following ? (on 4-7)

* Assume that n is an exact power of 2.

* fill ??? in dummy entries

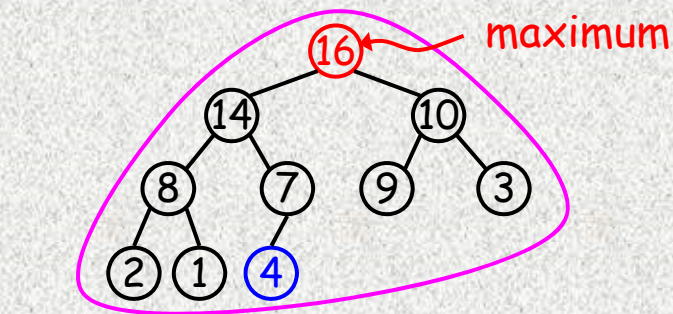


6-3w-2

To make the analysis of an algorithm easier

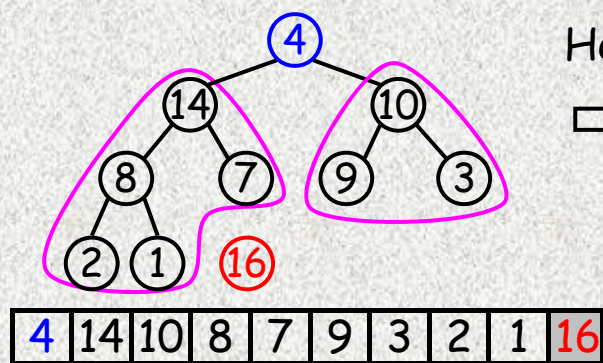
- * we can assume that n is of a specific form
- * however, you must explain why the assumption is reasonable

6-3w-3



16 14 10 8 7 9 3 2 1 4

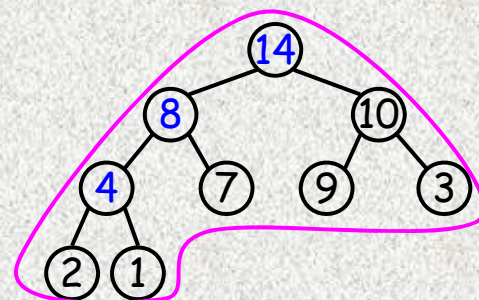
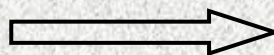
$n = 10$ (a)



4 14 10 8 7 9 3 2 1 16

$n = 9$ (b)

Heapify(A, 1)



14 8 10 4 7 9 3 2 1 16

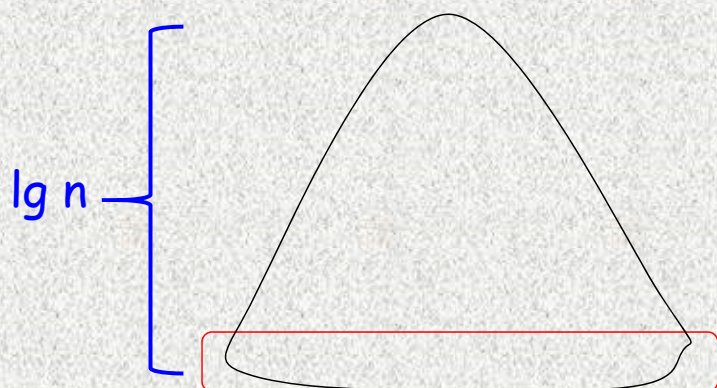
$n = 9$ (c)

6-6x

Build_Heap: $n/2$ calls to heapify

Roughly: $T(n) = O(n \lg n)$ (true, but overestimated)

Carefully: $T(n) = O(n)$



$1 \times \lg n$
 $2 \times \lg n - 1$
 \vdots
 $n/4 \times 1$
 $n/2 \times 0$

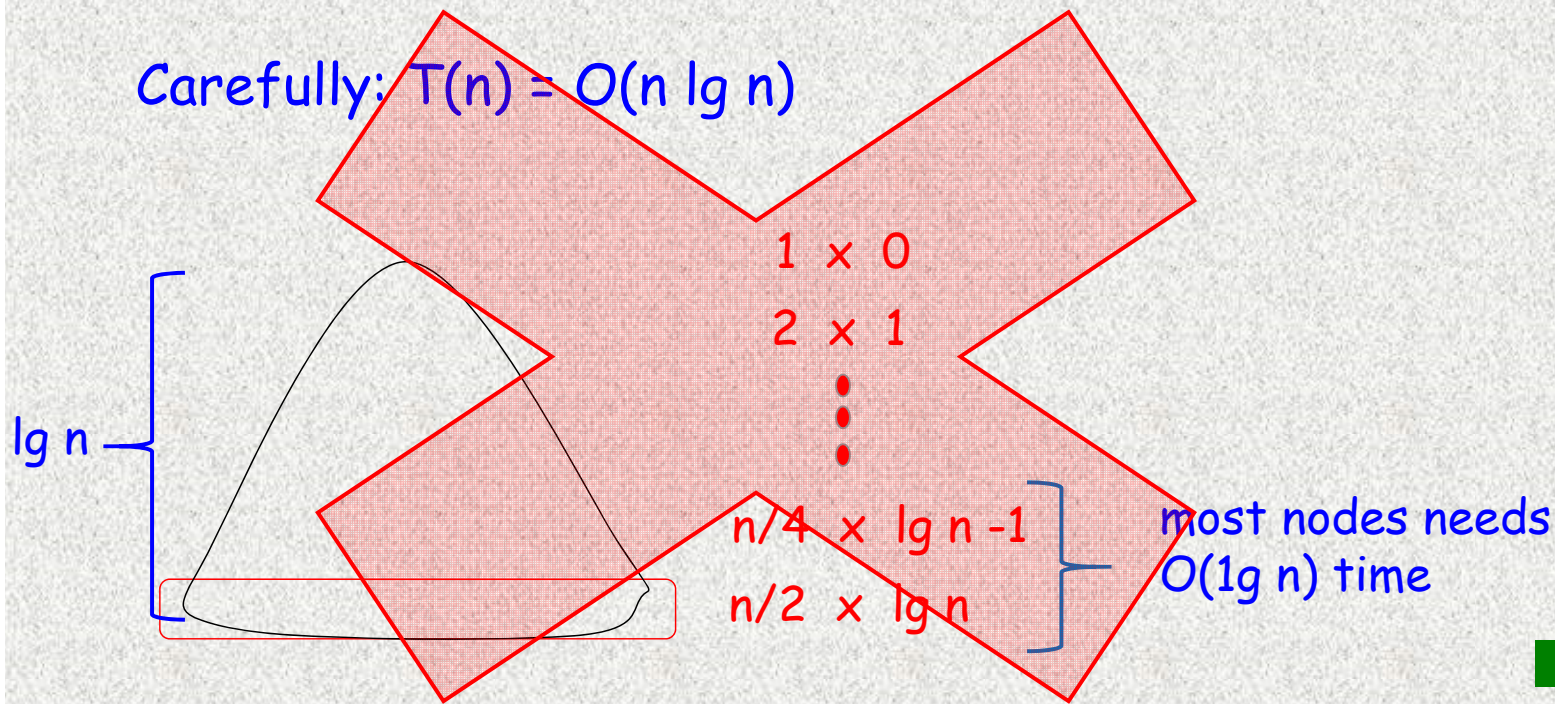
most nodes
needs $O(1)$ time

6-3y

Stage 2 of Heapsort: $n-1$ calls to heapify

Roughly: $T(n) = O(n \lg n)$

Carefully: $T(n) = O(n \lg n)$

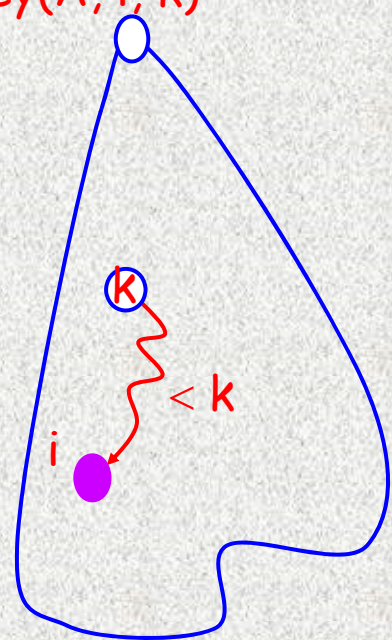


6-6z

Insert(A, x)



Increase-Key(A, i, k)



6-11x

	binary heap	array
Build	$O(n)$	$O(n)$
Insert	$O(\lg n)$	$O(1)$
Maximum	$O(1)$	$O(n)$
Increase-Key	$O(\lg n)$	$O(1)$
Extract-Max	$O(\lg n)$	$O(n)$

An array

			5		★		
(a, 4)	(b, 7)	(c, 1)	(d, 3)	(e, 5)	(f, 9)	(g, 3)	(h, 6)

Increase-Key (d, 3) → (d, 5)

Extract-Max

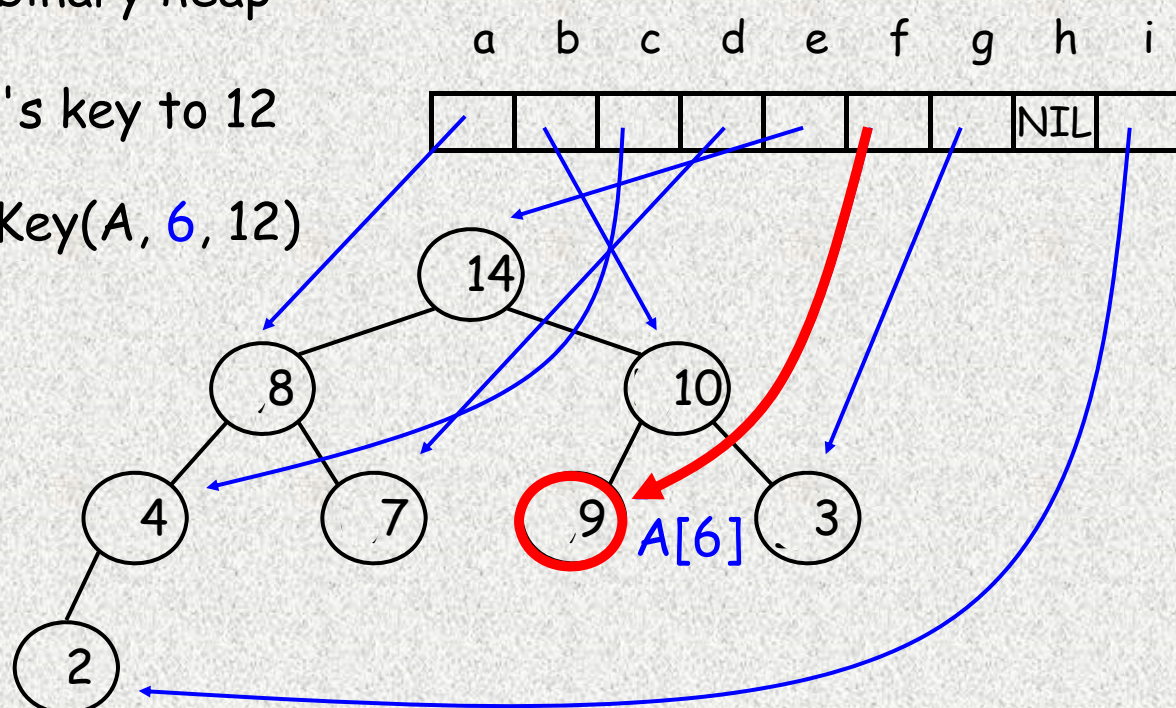
6-11y

handles: pointers to the objects in a data structure

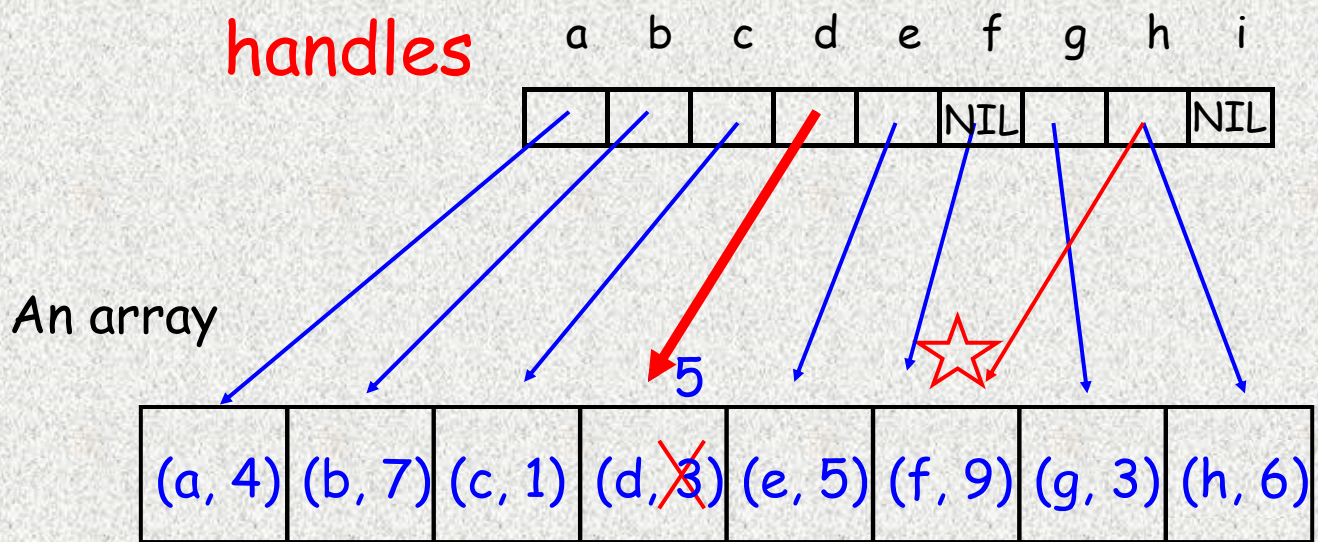
Example: a binary heap

increase f's key to 12

Increase-Key(A, 6, 12)



6-11z



Increase-Key (d, 3) -> (d, 5)

Extract-Max

Usually, we omit the maintenance of handles, which may be implemented by table-lookup, hashing, or a search tree.