

# ----- 1 & 2 -----

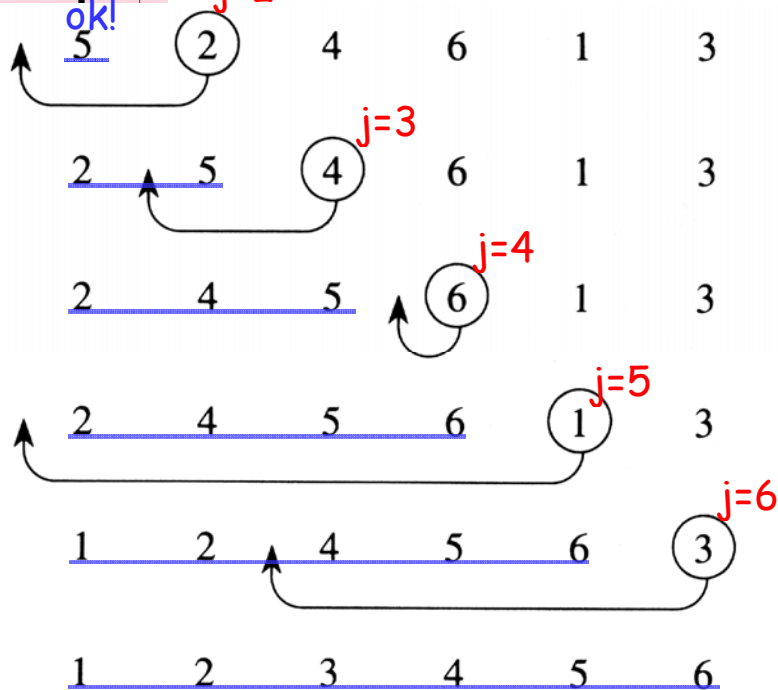
## Introduction & Getting Started

### 1.1 Algorithms

**Algorithm:** A sequence of computational steps that transform the **input** of a **computational problem** into the **output**.

**2.1 Insertion Sort:** An efficient algorithm for sorting a small number of elements.  
(Sort a hand of cards) \* best for  $n \leq 30$

**Example:**  $j=2$



done 1&2-1a

### 2.2 Analyzing Algorithms

**Model**

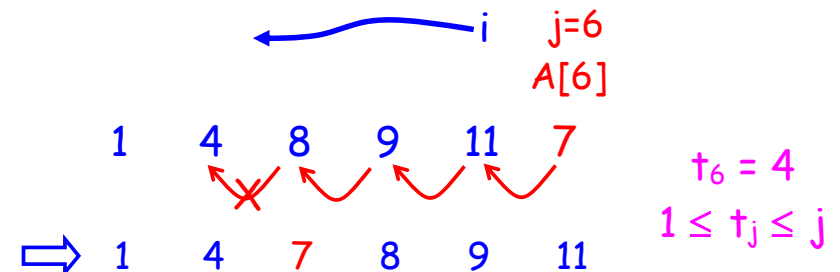
**RAM:** Random-access machine, in which each memory access takes unit time and instructions are executed one by one.

**Running time:** number of steps, which is a function of the **input size**.  
Primitive operations (memory access, +, -, \*, /, ...)

**Example: Insertion Sort**

INSERTION-SORT(A)	cost	times
1 for $j \leftarrow 2$ to $\text{length}[A]$	$c_1$	$n$
2 do $\text{key} \leftarrow A[j]$	$c_2$	$n - 1$
3 $\triangleright$ Insert $A[j]$ into the sorted sequence $A[1 \dots j - 1]$ .	0	$n - 1$
4 $i \leftarrow j - 1$	$c_4$	$n - 1$
5    while $i > 0$ and $A[i] > \text{key}$	$c_5$	$\sum_{j=2}^n t_j$
6        do $A[i + 1] \leftarrow A[i]$	$c_6$	$\sum_{j=2}^n (t_j - 1)$
7 $i \leftarrow i - 1$	$c_7$	$\sum_{j=2}^n (t_j - 1)$
8 $A[i + 1] \leftarrow \text{key}$	$c_8$	$n - 1$

constants



$$T(n) = c_1 n + (c_2 + c_4 + c_8)(n-1) + c_5 \sum_{j=2}^n t_j +$$

$$(c_6 + c_7) \sum_{j=2}^n (t_j - 1)$$

**Best-case:**

Each  $t_j = 1$ . (The input  $A$  is sorted.)

$$\begin{aligned} T(n) &= (c_1 + c_2 + c_4 + c_5 + c_8)n - (c_2 + c_4 + c_5 + c_8) \\ &= \Theta(n) \end{aligned}$$

(rate of growth, order of growth)

最大項

**Worst-case: (upper bound)**

Each  $t_j = j$ .

$$\begin{aligned} T(n) &= k_1 n^2 + k_2 n + k_3 \\ &= \Theta(n^2) \end{aligned}$$

**Average-case: (Expected running time)**

Each  $t_j = j/2$ .

$$\begin{aligned} T(n) &= t_1 n^2 + t_2 n + t_3 \\ &= \Theta(n^2) \end{aligned}$$

## 2.3 Designing Algorithms

**Divide-and-Conquer:**

1&2-4a

**Divide:** (into the same problems of smaller size)

\*not similar

**Conquer:** recursively solve each subproblems

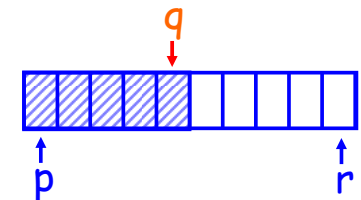
**Combine:**

\*A simple example: Finding maximum

1&2-4b

**Example: Merge Sort**

1&2-4c



[b7]

MERGE-SORT( $A, p, r$ )

```

1  if  $p < r$  →  $n \geq 2$ 
2  then  $q \leftarrow \lfloor (p+r)/2 \rfloor$ 
3      MERGE-SORT( $A, p, q$ )
4      MERGE-SORT( $A, q+1, r$ )
5      MERGE( $A, p, q, r$ )

```

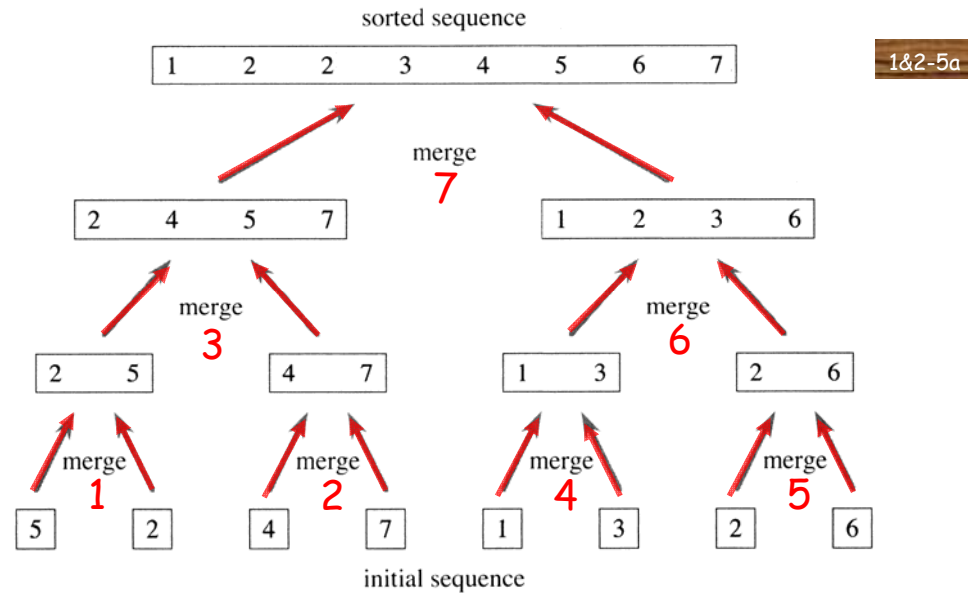
$p \geq r$  ( $n \leq 1$ )

"termination condition"  
(do nothing)

$O(r-p+1)$

else





**Figure 2.4** The operation of merge sort on the array  $A = \langle 5, 2, 4, 7, 1, 3, 2, 6 \rangle$ . The lengths of the sorted sequences being merged increase as the algorithm progresses from bottom to  $\text{top}_{[b8]}$ .

### Analysis: (recurrence)

$$T(n) = \begin{cases} \Theta(1) & \text{if } n = 1 \\ 2T(n/2) + \Theta(n) & \text{if } n > 1 \end{cases}$$

constant time

How to merge in  $\Theta(n)$  time?

$$= \Theta(n \log n)$$

Homework: Pro. 2-1 and 2-4<sub>[b9]</sub>.