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Cavity-Assisted Spin-Orbit Coupling of Ultracold atoms

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Abstract: We investigate dynamical and static properties of ultracold atoms confined in an optical cavity, where two photon Raman process induces effective coupling between atom's internal degrees of freedom and center-of-mass motion. In the meantime, atomic dynamics exerts a back action to cavity photons. We adopt both mean field and master equation approach to tackle the problem and found surprising modifications to atomic dispersions and dynamical instabilities, arising from the intrinsic nonlinearity of the system. Correspondence between semi-classical and quantum limits is analyzed as well.

Keywords: cavity quantum electrodynamics; cold atoms; spin-orbit coupling

1. Introduction

When Jaynes and Cummings first studied the time evolution of a two-level atom in an electromagnetic field in a *fully* quantized way in 1960s [1], experimental realization of this ideal theoretical model was out of reach. It was made possible only with the advent of one-atom masers in late 1980s, by Rempe, Walther and Klein [2], to experimentally study the interaction of a single atom and a single resonant mode of electromagnetic field in a cavity. Jaynes-Cummings model (J-C Model) serves to understand the relationship between quantum theory of radiation and semi-classical theory of atom-light interaction. The field of cavity quantum electrodynamics (CQED) was further advanced by putting cold atoms into the high finesse optical cavities [3–5]. Unlike “hot” atoms, cold atoms’ center-of-mass motion (COM) can no longer be neglected in this “atom + cavity” system. One needs to seek a self-consistent solution for both light and atom by treating them on equal footing. Because intra-cavity photon and

atoms very frequently scatter off each other due to the geometric confinement, not only dipole force gets strongly enhanced but also atom's back-action *onto* light becomes significant. For Bose-Einstein condensate (BEC), atoms occupy the same motional quantum state, and because of long-range cavity photon mediation, the internal states (pseudo-spins) are infinitely coordinated. The most famous example is the Dicke model [6], which has been realized in CQED as well [7].

Another recent breakthrough in cold atoms stems from the realization of artificial (synthetic) gauge potentials for neutral atoms, first in bosonic systems [8,9] and later in fermionic counterparts [10,11]. Laser fields are properly aligned and designed in such a way that trapped atoms may mimic charged particles in a magnetic field with emergence of Lorentz-like force. The synthesis is achieved by inducing two-photon Raman transition between two hyperfine ground states. Using a group of degenerate (or quasi-degenerate) pseudo-spin eigenstates, non-abelian dynamics of cold atoms in light fields is generated, which effectively leads to the spin-orbit coupling (SOC) for cold atoms, simulating the electronic counterpart in condensed matter. Here, synthetic SOC refers to the coupling between atom's pseudo-spins (i.e. hyperfine states) and COM motion, rather than the generic interaction between electron's spin (or magnetic moment) and angular/linear momentum operator in quantum mechanics. SOC is essential in understanding numerous underlying novel quantum phenomena and particle physics [12], including *inter alia* topological insulators, Majorana and Weyl fermions, spin-Hall effects, etc [13–17].

In this work, we first briefly review our previous proposal [18], and theoretically explore the full quantum mechanical treatment beyond semi-classical mean field formalism, then investigate the correspondences in quantum and semi-classical regions. We consider a single atom (or an ensemble of \mathcal{N} non-interacting bosons) being confined by a single-mode unidirectional ring cavity, whose cavity mode together with an additional coherent laser beam form a pair of Raman beams that flips atomic transition between $|\uparrow\rangle$ and $|\downarrow\rangle$ while transferring recoil momentum of $\pm 2\hbar q_r \hat{z}$ from and/or to photon field. Hence, the so-realized effective coupling between atom's external and internal degrees of freedom is generated by the quantized light field, which is affected by atomic dynamics in return. We show that, at mean field level, the cavity-assisted SOC dramatically modifies the atomic dispersion relation, in particular, with emergence of loop structures under certain circumstances. We systematically characterize the atomic dispersion relation of atomic state and photon number, both as a function of atom's quasi-momentum. For given cavity parameters, we found with increasing Raman coupling strength Ω , dispersion curve changes from double minima to gapped double minima, looped structure, and gapless single minimum in sequence. Furthermore, we carry out the full quantum mechanical treatment by solving master equations of density operators, and find good agreement by comparing averaged photon number with mean field results in limiting parameter regions. The two distinctively different approaches give us an unified understanding of the atom-light effective non-linearity and induced dynamical instability in this system. **how do we cite papers by David Feder, Hui Zhai, Su Yi, etc in this paragraph?**

The article is organized as the following: After briefly reviewing key ideas of our previous work and semi-classical mean field approach in Sec. 2, we develop the full quantum mechanical formalism to the physical system of interest in Sec. 3 and discuss about the intimate correspondence between the two in Sec. 4, and finally conclude in Sec. 5.

2. Model Setup and Semi-classical Mean Field Formalism

We follow the effective model Hamiltonian proposed in [18],

$$\begin{aligned} \mathcal{H}_{\text{eff}} = & \sum_{\sigma} \int d\mathbf{r} \left[\psi_{\sigma}^{\dagger}(\mathbf{r}) \left(\frac{\mathbf{k}^2 + 2\alpha q_r k_z}{2m} + \alpha \delta \right) \psi_{\sigma}(\mathbf{r}) \right] + \frac{\Omega}{2} \int d\mathbf{r} \left[\psi_{\uparrow}^{\dagger}(\mathbf{r}) \psi_{\downarrow}(\mathbf{r}) c + h.c. \right] \\ & + i\varepsilon_p (c^{\dagger} - c) - \delta_c c^{\dagger} c - i\kappa c^{\dagger} c, \end{aligned} \quad (1)$$

where $\psi_{\sigma}(\mathbf{r})$ ($\sigma = \uparrow, \downarrow$) is the atomic operator after gauge transformation in rotating frame at pump frequency ω_p . $\alpha = \pm 1$ for $\sigma = \uparrow, \downarrow$, respectively. q_r denotes recoil momentum, δ represents the two-photon Raman detuning, ε_p refers to pumping rate, and δ_c is the cavity-pump detuning. Ω denotes the atom-photon coupling strength, however, the Raman coupling term $\frac{\Omega}{2} \int d\mathbf{r} [\psi_{\uparrow}^{\dagger}(\mathbf{r}) \psi_{\downarrow}(\mathbf{r}) c + h.c.]$ describes the cavity-assisted two-photon Raman transition processes, where cavity photon operators c and c^{\dagger} is explicitly taken into consideration. It is this coupling that renders the resulting SOC *dynamic*. Furthermore, in the semi-classical approach, we have treated the leakage of cavity photon phenomenologically by introducing a cavity decay rate κ .

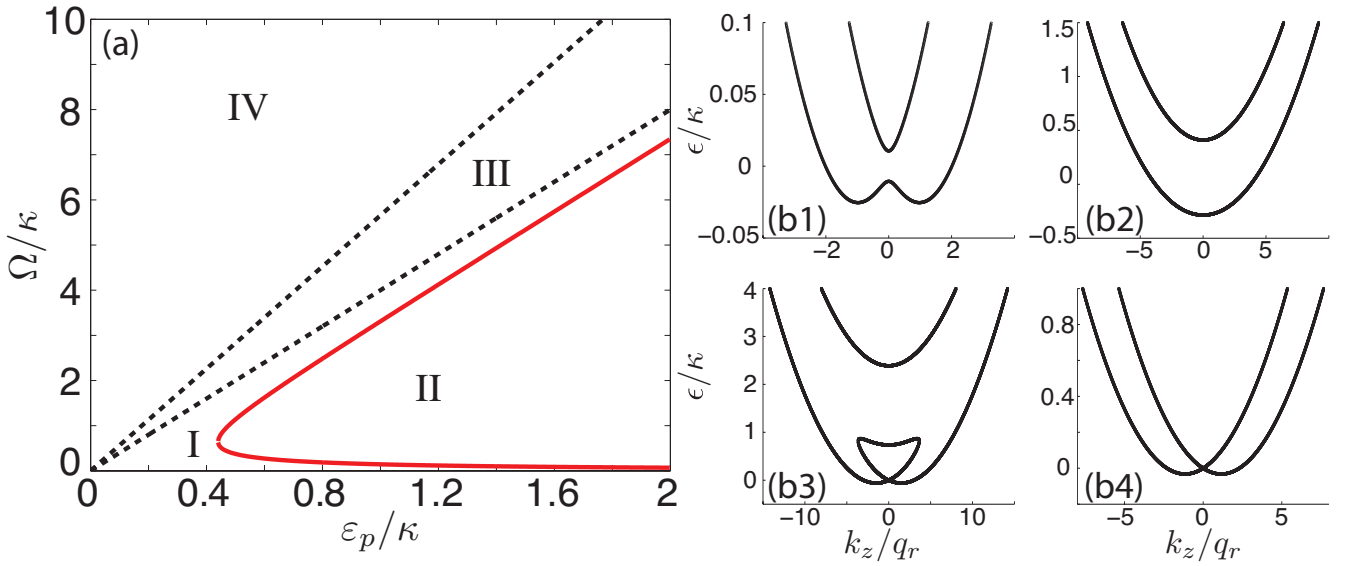


Figure 1. (Color Online) Single particle eigen-energy spectrum “phase diagram”. For given parameter $\delta_c = \kappa$ and $\delta = 0$, the dispersion behavior is categorized into four regions, represented from I to IV in (a). From (b1) to (b4), we fix $\varepsilon_p = \kappa$. In region I, the dispersion has double minima as shown in (b1) with $\Omega = 0.03\kappa$; region II is enclosed by the red solid curve in (a) and we show the typical point in (b2) ($\Omega = \kappa$) where only single minimum exists in the lower helicity band; region III is enclosed by the black dashed lines in (a) and it is a region where loop structure emerge, as in (b3) with $\Omega = 5\kappa$; finally, in region IV we recover the double minimum dispersion although it’s different from region I by closing the gap at $k_z = 0$, as in (b4) with $\Omega = 8\kappa$.

From the Hamiltonian (1), one can easily obtain the EOM in Heisenberg picture. To make progress, we adopt the mean-field approximation by replacing the operators by their respective expectation values: $c \rightarrow \langle c \rangle$, $\psi_{\sigma}(\mathbf{r}) \rightarrow \langle \psi_{\sigma}(\mathbf{r}) \rangle \equiv \varphi_{\sigma}(\mathbf{r})$. Assuming spatial homogeneity, we further take the plane-wave

73 wave-function for the atomic modes $\varphi_\sigma(\mathbf{r}) = e^{i\mathbf{k}\cdot\mathbf{r}}\varphi_\sigma$ with the normalization condition $|\varphi_\uparrow|^2 + |\varphi_\downarrow|^2 = \mathcal{N}$.
 74 The steady-state solution for the photon field is obtained by taking the time derivative of the photon field
 75 to be zero, which is exact by itself without making further approximations. After some algebra, we write
 76 the coupled nonlinear time-dependent equations for the two spin components as,

$$i\dot{\varphi}_\uparrow = \left(\frac{\mathbf{k}^2}{2m} + q_r k_z + \delta \right) \varphi_\uparrow + \frac{\Omega \varepsilon_p - i\frac{\Omega}{2}\mathcal{N}\varphi_\downarrow^*\varphi_\uparrow}{\kappa - i\delta_c} \varphi_\downarrow, \quad (2)$$

$$i\dot{\varphi}_\downarrow = \left(\frac{\mathbf{k}^2}{2m} - q_r k_z - \delta \right) \varphi_\downarrow + \frac{\Omega \varepsilon_p + i\frac{\Omega}{2}\mathcal{N}\varphi_\uparrow^*\varphi_\downarrow}{\kappa + i\delta_c} \varphi_\uparrow. \quad (3)$$

For a given atomic quasi-momentum \mathbf{k} , we define eigenstate and eigenenergy as the solution of the time-independent version of Eqs. (2) and (3), by replacing $i(\partial/\partial t)$ with $\epsilon(\mathbf{k})$. We consider $\mathcal{N} = 1$ and always take $k_x = k_y = 0$ hereafter. After some lengthy nonetheless straightforward algebra, we find that $\epsilon(\mathbf{k})$ obeys a quartic equation

$$4\epsilon^4 + B\epsilon^3 + C\epsilon^2 + D\epsilon + E = 0, \quad (4)$$

77 where detailed derivations are better elaborated in the Supplementary Material of [18].

78 We can gain some insights about the general structure of the dispersion relation $\epsilon(\mathbf{k})$, e.g. the
 79 degeneracy condition and the appearance and disappearance of the loop. Simple analysis shows that
 80 there should be a total of four regimes. (i) As denoted by region I in Fig. 1(a) and (b1), we show the
 81 dispersion curve exhibits a double minima structure. What is different from the degeneracy condition
 82 given by the classical laser induced SOC Hamiltonian, is that for arbitrarily small Ω , there *always* are
 83 double minima in the dispersion. (ii) In region II of Fig. 1(a) and (b2), the dispersion curve has only
 84 single minimum. (iii) Region III corresponds to the region with loop structure and in Fig. 1(a) the two
 85 dashed black line are numerically obtained by examining the sign of second order derivative of the root
 86 with respect to k_z . When $\Omega_c^{(1)} \equiv 4\varepsilon_p \leq \Omega \leq 4\varepsilon_p\sqrt{1 + (\delta_c/\kappa)^2} \equiv \Omega_c^{(2)}$, at $k_z = 0$ there are total of
 87 four real roots allowed by the quartic equation — two degenerate roots at $\epsilon = 0$ and two additional roots
 88 with the same sign, see for instance Fig. 1(b3). (iv) Finally, when $\Omega > \Omega_c^{(2)}$ in region IV, only the two
 89 degenerate roots at $\epsilon(k_z = 0) = 0$ exist and we show the double minimum dispersion curve and gapless
 90 point at $k_z = 0$, see (b4) for instance.

91 The re-entrant behavior of the dispersion degeneracy can be understood by considering the effective
 92 Raman coupling strength $|\Omega_{\text{eff}}| \equiv \frac{\Omega}{2} \left| \frac{\varepsilon_p - i\frac{\Omega}{2}\varphi_\downarrow^*\varphi_\uparrow}{\kappa - i\delta_c} \right|$. To this end, in Fig. 2(a) we plot $|\Omega_{\text{eff}}|$ as a function
 93 of Ω for different k_z values (for illustration purposes we have chosen the lowest eigen-energy branch
 94 to compute the wave-functions φ_\uparrow and φ_\downarrow). We note that $|\Omega_{\text{eff}}|$ does not monotonically increase with
 95 Ω , but rather to increase to a maximum and decrease back to zero at large Ω limit. This is once again
 96 due to the dynamic feedback of photon field onto atom center degrees of freedom. At small limit of
 97 Ω , atom-photon coupling is weak and atomic states with different k_z behave universally the same so
 98 that the atom photon field is effectively decoupled, which can be evidenced from the same linear slope
 99 $d|\Omega_{\text{eff}}|/d\Omega$ in the small Ω limit. On the other hand, when Ω becomes exceedingly large, such that photon
 100 is blocked away from entering the cavity (known as photon blockade), effective coupling between atom
 101 and photon is rather small and atomic bare spin state dominates over dressed state basis. In between
 102 these two limits, the re-entrant behavior of degenerate and non-degenerate dispersion is manifested in
 103 terms of $|\Omega_{\text{eff}}|$'s non-monotonousness as a function of Ω .

104 We remark that the introduction of cavity photon feedback dramatically alters the dispersion relation,
 105 where one we could obtain from current proposals concerning classical laser induced SOC setups. As a
 106 matter of fact, due to cavity mediation, the emergent loop structure is a distinctive nonlinear feature of the
 107 system. Furthermore, upon studying the linearized perturbative expansion on top of fixed point solution
 108 to Eq. 2 and Eq. 3, we have found intriguing dynamical stability properties, where there *always* exist
 109 both dynamically stable and unstable branches regardless whether there is loop or not [18]. Nonetheless,
 110 if we consider the large limit of pumping rate ε_p , we will again be able to recover classical laser induced
 111 SOC limit. In this scenario, cavity photon field becomes the coherent state and atomic dressed state
 112 appears as bare spin state such that $|\Omega_{\text{eff}}| \rightarrow \frac{\Omega}{2} \frac{\varepsilon_p}{\sqrt{\kappa^2 + \delta_c^2}}$ in this limit. Then one can recover the degeneracy
 113 condition for classical laser induced SOC Hamiltonian. When $\Omega < 4E_r \frac{\sqrt{\kappa^2 + \delta_c^2}}{\varepsilon_p}$ dispersion curve has
 114 degenerate double minimum; When $\Omega > 4E_r \frac{\sqrt{\kappa^2 + \delta_c^2}}{\varepsilon_p}$ we only have a single minimum in the dispersion
 115 curve. As we show in Fig. 2(b), the cavity-assisted SOC determined boundary curve asymptotically
 116 approaches the blue dashed line which is given by the classical correspondence of large pumping rate
 117 limit of $4E_r \frac{\sqrt{\kappa^2 + \delta_c^2}}{\varepsilon_p}$. Note, in large ε_p limit, dynamical instability decay rate γ is also strongly suppressed
 118 to a small value, such that the system fully recovers the classical laser induced SOC Hamiltonian.

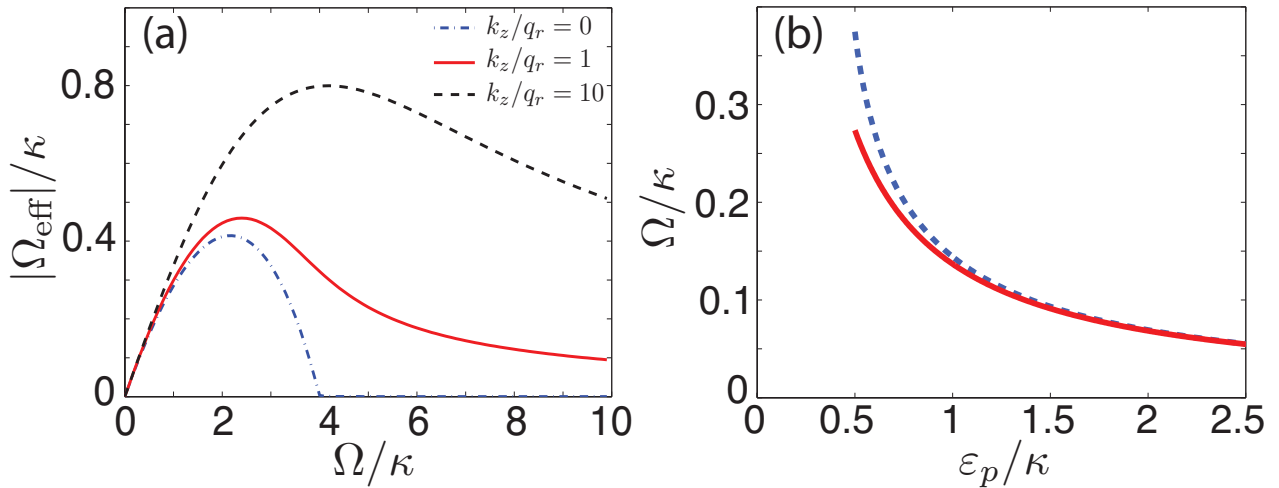


Figure 2. (Color Online) (a) Effective Raman coupling $|\Omega_{\text{eff}}|$ is plotted as a function of atom-photon coupling strength Ω for different k_z values, $0, q_r, 10q_r$ for blue dash-dot, red solid and black dashed lines. We observe that $|\Omega_{\text{eff}}|$ does not monotonically increases with Ω but rather peaks at an intermediate value, then approaches zero in the large Ω limit. Figure (b) shows a comparison between critical boundary of region I and II (red curve) and large pumping rate limit of $|\Omega_{\text{eff}}|$ (blue dashed line). At large ε_p limit, the two results match asymptotically well.

119 As we will also emphasize in Sec. 4, the synthesis of cavity confinement and Raman coupling is not
 120 a trivial combination, but rather gives rise to nontrivial physics that deserves more scrutiny. In order to
 121 better address the intricate coupling between atom and photon, we dedicate the Sec. 3 and Sec. 4 to
 122 explore more on the quantum nature of this system.

123 3. Master Equation Approach: Full Quantum Mechanical Treatment

124 Semi-classical mean field approach gives an intuitive picture of understanding the atom-light
 125 interaction, as we have shown above. However, it ignores quantum fluctuations of both operators c and
 126 $\psi_\sigma(\mathbf{r})$. A more stringent approach is given by solving quantum master equation. The quantum master
 127 equations, in a nutshell, are differential equations for the density operators, including contributions
 128 from off-diagonal elements which represents quantum coherence characteristically. Master equation
 129 is generally considered to be more general than the Schrödinger equation, since it uses the density
 130 operator instead of a specific state vector and can therefore give statistical as well as quantum mechanical
 131 information.

Instead of treating the leakage of cavity photon phenomenologically in Eq. (1), we model the
 dissipation process by Liouvillian terms \mathcal{L} appearing in the Lindblad master equation for the atom-field
 density operator, i.e.,

$$\dot{\rho} = \frac{1}{i\hbar}[H_{\text{eff}}, \rho] + \mathcal{L}\rho. \quad (5)$$

where H_{eff} is the same as \mathcal{H}_{eff} in Eq. (1) by dropping the last term, i.e. $-i\kappa c^\dagger c$. Cavity loss is
 accommodated by the standard form of Lindblad superoperator [19,20],

$$\mathcal{L}\rho = \kappa(2c\rho c^\dagger - c^\dagger c\rho - \rho c^\dagger c). \quad (6)$$

132 Again, due to spatial homogeneity, we decouple momentum eigenstates by taking the plane-wave ansatz
 133 for the atomic modes as $\varphi_\sigma(\mathbf{r}) = e^{i\mathbf{k}\cdot\mathbf{r}}\varphi_\sigma$. Thereon, we are granted to work under the Hilbert subspace
 134 of a given momentum value \mathbf{k} . Here we explicitly write the commutator as,

$$\begin{aligned} [H_{\text{eff}}(\mathbf{k}), \rho] &= \left(\frac{\mathbf{k}^2}{2m} + \frac{q_r k_z}{m} + \delta \right) (\varphi_\uparrow^\dagger \psi_\uparrow \rho - \rho \varphi_\uparrow^\dagger \psi_\uparrow) + \left(\frac{\mathbf{k}^2}{2m} - \frac{q_r k_z}{m} - \delta \right) (\psi_\downarrow^\dagger \varphi_\downarrow \rho - \rho \varphi_\downarrow^\dagger \psi_\downarrow) \\ &+ \mathcal{N} \frac{\Omega}{2} (\varphi_\uparrow^\dagger \varphi_\downarrow c \rho + c^\dagger \varphi_\downarrow^\dagger \varphi_\uparrow \rho - \rho \varphi_\uparrow^\dagger \varphi_\downarrow c - \rho c^\dagger \varphi_\downarrow^\dagger \varphi_\uparrow) \\ &+ i\varepsilon_p (c^\dagger \rho - c\rho - \rho c^\dagger + \rho c) - \delta_c (c^\dagger c\rho - \rho c^\dagger c). \end{aligned} \quad (7)$$

135 To solve the operator equation, Eq. 5, we choose our basis state as direct product state $|n; \sigma\rangle$, where
 136 non-negative integer n denotes photon number and atomic pseudo-spin state is represented by $\sigma = \uparrow, \downarrow$.
 137 Our goal is to calculate the entire matrix elements of density operator under this set of basis states,
 138 denoted by $\langle m; \sigma | \rho | n; \sigma' \rangle \equiv \rho_{mn}^{\sigma\sigma'}$. Without loss of generality, we have taken atom number $\mathcal{N} = 1$ to
 139 simplify discussions. We found the governing EOM can be written as,

$$\begin{aligned} \frac{d}{dt}\rho_{mn}^{\sigma\sigma'} &= -i \left(\frac{\mathbf{k}^2}{2m} + \frac{q_r k_z}{m} + \delta \right) (\delta_{\sigma\uparrow} - \delta_{\sigma'\uparrow}) \rho_{mn}^{\sigma\sigma'} - i \left(\frac{\mathbf{k}^2}{2m} - \frac{q_r k_z}{m} - \delta \right) (\delta_{\sigma\downarrow} - \delta_{\sigma'\downarrow}) \rho_{mn}^{\sigma\sigma'} \\ &+ \frac{\Omega}{2i} (\delta_{\sigma\uparrow} \sqrt{m+1} \rho_{m+1n}^{\bar{\sigma}\sigma'} + \delta_{\sigma\downarrow} \sqrt{m} \rho_{m-1n}^{\bar{\sigma}\sigma'} - \delta_{\sigma'\uparrow} \sqrt{n+1} \rho_{mn+1}^{\sigma\bar{\sigma}'} - \delta_{\sigma'\downarrow} \sqrt{n} \rho_{mn-1}^{\sigma\bar{\sigma}'}) \\ &+ \varepsilon_p \left(\sqrt{m} \rho_{m-1n}^{\sigma\sigma'} - \sqrt{m+1} \rho_{m+1n}^{\sigma\sigma'} + \sqrt{n} \rho_{mn-1}^{\sigma\sigma'} - \sqrt{n+1} \rho_{mn+1}^{\sigma\sigma'} \right) \\ &+ i\delta_c (m-n) \rho_{mn}^{\sigma\sigma'} + \kappa \left(2\sqrt{m+1} \sqrt{n+1} \rho_{m+1n+1}^{\sigma\sigma'} - (m+n) \rho_{mn}^{\sigma\sigma'} \right), \end{aligned} \quad (8)$$

140 where $\bar{\sigma}$ represents the flip-spin value, i.e. $\bar{\uparrow} = \downarrow$ and $\bar{\downarrow} = \uparrow$.

141 With Eq. 8, we can study dynamical evolution of density operator ρ for a given initial state. For
 142 instance, we can initiate the system with a pure state $|0; \uparrow\rangle$, construct density operator $\rho_0 = |0; \uparrow\rangle\langle 0; \uparrow|$,
 143 and let it evolve according to Eq. 8 until all components reach their respective steady state. In Fig. 3, we
 144 show cavity photon number $\text{Tr}[\rho n]$ as a function of time for different atomic quasi-momentum k_z values.
 145 We note that due to the dynamical feedback of photon field in the Raman coupling, the atomic steady
 146 state over time evolution differs by different COM values. This is in distinct contrast to cavity QED
 147 studies, where only atoms' spin degrees of freedom are coupled to cavity photon. Although at $t = 0$ we
 148 have $\text{Tr}[\rho^2] = 1$, at later times, we will always have $\text{Tr}[\rho^2] < 1$ for nonzero κ , because cavity decay term
 149 renders the system into mixed states.

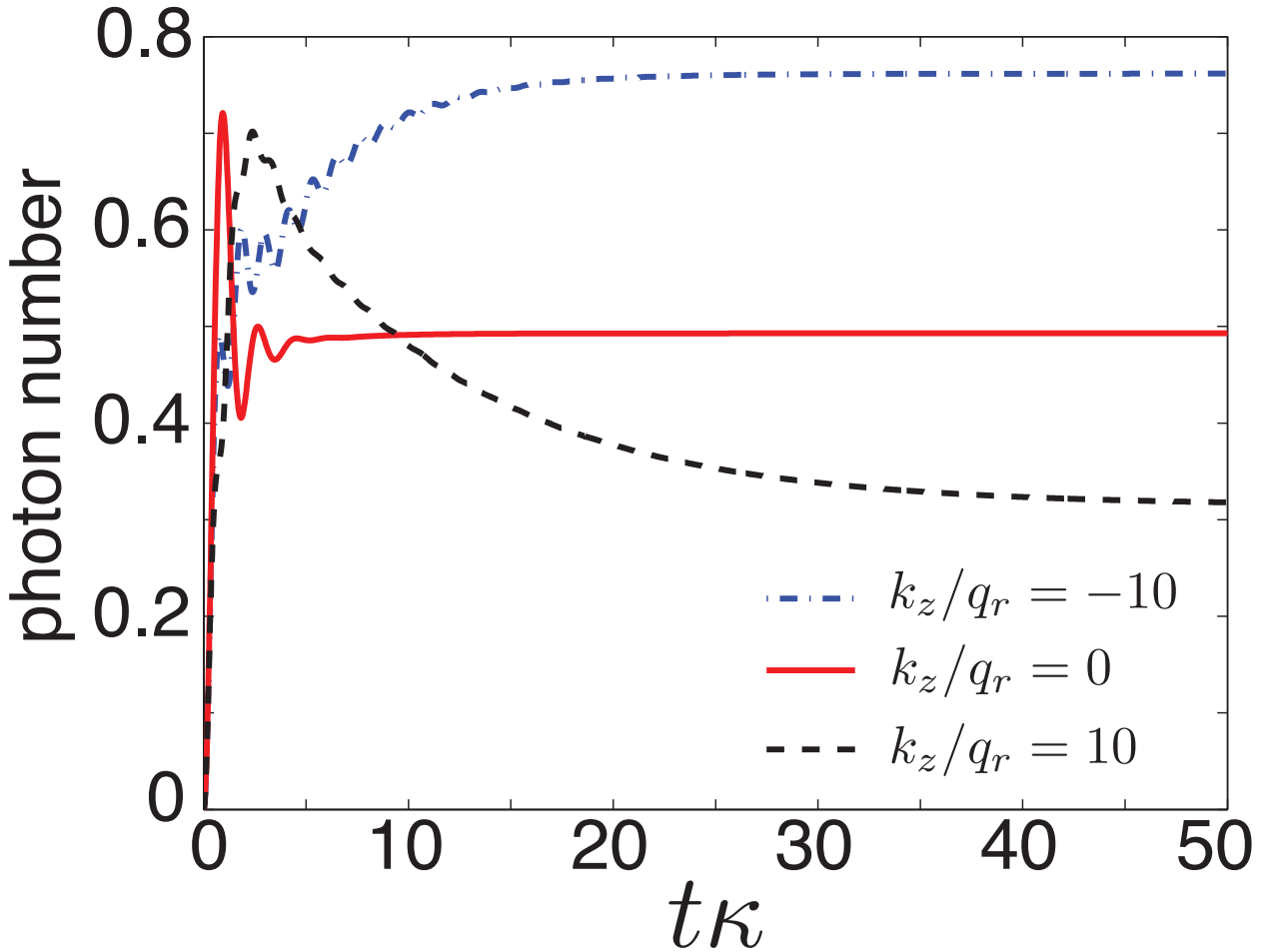


Figure 3. (Color Online) Photon number evolution. Initial state is given by $\rho_0 = |0; \uparrow\rangle\langle 0; \uparrow|$ and we consider the same parameter as in Fig. 4(a) with $k_z/q_r = -10, 0, 10$. The steady states correspond to red dashed line results in Fig. 4(a), which is obtained by equating the RHS of Eq. 8 to zero while preserving $\text{Tr}[\rho] = 1$ constraint. We note that due to cavity-assisted SOC, the fate of time evolution is different for different k_z values.

150 Assuming the fate of time evolution gives the steady state solution, we can also solve the set of
 151 equations after equating the RHS of Eq. 8 to zero while preserving $\text{Tr}[\rho] = 1$ constraint. Numerically,
 152 this is achieved by introducing a large truncation value N for maximum number of cavity photon under
 153 consideration, such that the problem reduces to simple linear algebra manipulations. In the following,
 154 we mainly focus on the steady-state solution of density operator and ignore the transient dynamics.

In order to further quantitatively characterize atom-photon interaction in this open system, we invoke the entanglement measure for mixed-state, the so-called negativity [21], defined as $\mathcal{N}(\rho) = \frac{\|\rho^{TA}\|_1 - 1}{2}$, where $\|\rho^{TA}\|_1$ denotes the trace norm of partial transpose of density operator with respect to atom party (the same is true for photon party). Density matrix ρ itself gives all positive definite eigenvalues and thus the trace norm $\|\rho\|_1 = \text{Tr}[\sqrt{\rho^\dagger \rho}] = \text{Tr}[\rho] = 1$. Although the partial transpose ρ^{TA} still satisfies $\text{Tr}[\rho^{TA}] = 1$, it does not necessarily guarantee positive definiteness in eigenvalues. The trace norm is written generally as $\|\rho^{TA}\|_1 = 1 + 2 \sum_i |\mu_i|$ where we have denoted negative eigenvalues as $\mu_i < 0$. Thus, by definition, the negativity $\mathcal{N}(\rho)$ is equal to $\sum_i |\mu_i|$, which measures by how much ρ^{TA} fails to be positive definite. An immediate consequence for any separable (unentangled) state ρ_s is that $\mathcal{N}(\rho_s) = 0$, while for unseparable mixed state, $\mathcal{N}(\rho)$ is believed to be a good entanglement measure.

4. Results and Discussions

With above preparations, we are now in a position to discuss about the results given by the two approaches, and address their relationship. As we have shown above and also in previous work [18], the cavity feedback dramatically modifies single particle dispersion relation. For instance, in intermediate region of atom-photon coupling strength of Ω , a loop structure emerge from the center tip of the eigenenergy spectrum. Additionally, in this effective nonlinear system, although atom-photon coupling is linear, dispersion spectrum possesses intriguing stability/instability properties. What we have shown in [18] also indicates that only part of the dispersion is stable for a given quasi-momentum state k . The instability analysis prescribes a recipe to map out regions whether fluctuations around fixed point solution would grow exponentially or not. Tout de suite, we apply the formalism developed in Sec. 2 and Sec. 3 to calculate photon number expectation value inside the cavity.

As we show in Fig. 4(a)-(c), we plot semi-classical mean field photon number $|\langle c \rangle|^2$ and quantum mechanical master equation result $\text{Tr}[\rho n]$, against atom's dimensionless quasi-momentum k_z/q_r . From semi-classical mean field result, with increasing magnitude of Ω , we sweep over regions with only two real roots, four real roots and only one double root (at $\epsilon = 0$) to the mean field solution of the quartic equation. We can further perform dynamical analysis [18] to pinpoint stable (black dots) and unstable branches of the semi-classical solution. For unstable states, we use colorbar to denote the renormalized decay rate γ/Ω where γ refers to the largest real eigenvalue of perturbed dynamical equation [18]. For comparison, with the same parameter set, we start from quantum master equation Eq. 8, and obtain steady state solution of density operator, and trace over the product of density operator and photon number, i.e. $\langle n \rangle = \text{Tr}[\rho n]$. Unlike semi-classical mean field theory, we can only have one unique solution of steady state density operator, thus only one branch of average photon number is found as a function of k_z , as represented by the red dashed line in Fig. 4(a)-(c).

We found, remarkably, that $\text{Tr}[\rho n]$ asymptotically approaches $|\langle c \rangle|^2$ value in dynamically stable branches at large $|k_z|$ limit. This agreement, first of all, further validates our previous dynamical stability analysis of semi-classical mean field result [18]. Due to momentum exchange of $\pm 2\hbar q_r \hat{z}$ in the Raman process, atomic states with small quasi-momentum $|k_z|$ are more coupled with photon field, in comparison to the states with large $|k_z|$. One would have naively thought cavity-assisted SOC should naturally provide more coupling between atom and photon field than the system with synthetic

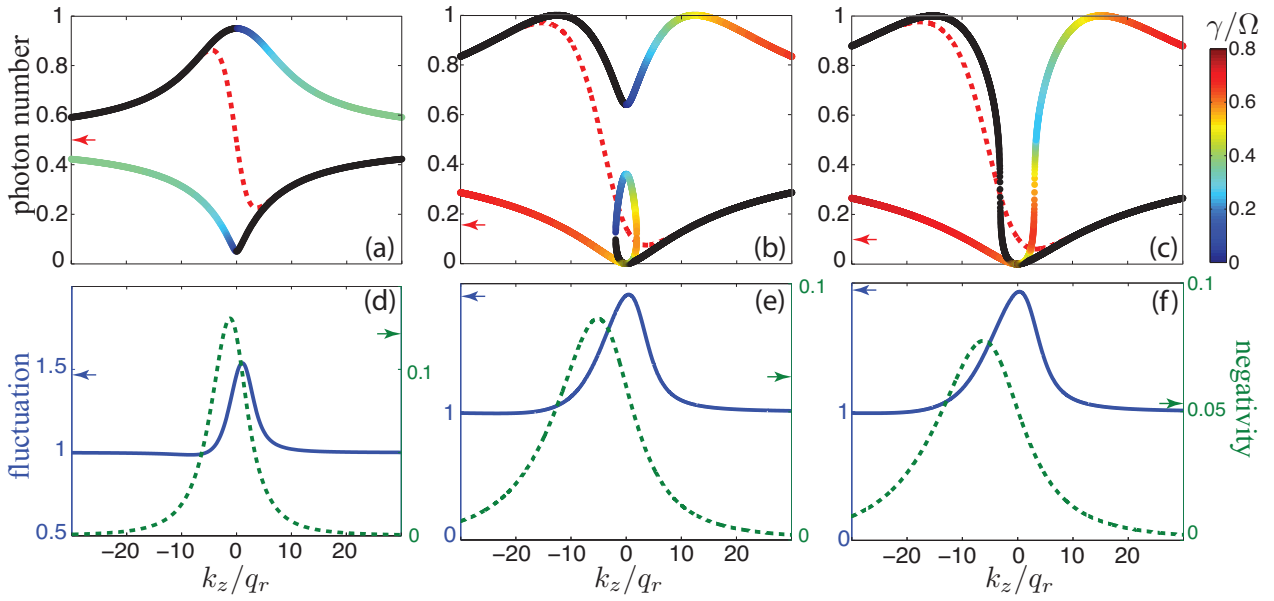


Figure 4. (Color Online) Photon number comparison between semi-classical mean field result and full quantum mechanical master equation solution. From (a) to (c), $\Omega = 3\kappa, 5.6\kappa, 6\kappa$ and colorbar represents the renormalized decay rate γ/Ω of unstable states and red dashed lines are master equation solutions. Figures from (d) to (f) show the corresponding photon number fluctuation (blue solid curve) and negativity (green dashed line). Other parameters are $\varepsilon_p = \kappa$, $\delta_c = \kappa$, $\delta = 0$ and $\kappa = 1$ in the dimensionless unit. Although we have chosen $q_r = 0.22$ in our units (based on a realistic experimental parameter estimate) throughout all figures, we use arrows on vertical axis to denote results concerning $q_r = 0$ limit.

SOC, because atomic pseudo-spin state and COM are both coupled to cavity photon here. However, it is the SOC that renders this coupling *depends* on atomic quasi-momentum: (i) Momentum exchange plays a major role at small k_z where two SOC bands are avoided-crossing, and eigenstate is a strong hybridization between bare atomic pseudo-spin states. Thus atom and photon is strongly coupled in this region. (ii) While at large k_z limit, energy separation becomes so large that the two dressed states are more like two independent bare atomic pseudo-spin states $|\uparrow\rangle$ and $|\downarrow\rangle$. Atomic spin state, COM and photon field are all decoupled in this limit.

Second, the relationship between semi-classical mean field result and quantum master equation result can be understood from photon number fluctuation, which by definition is given by $\frac{\langle(\Delta n)^2\rangle}{\langle n\rangle} = \frac{\langle n^2\rangle - \langle n\rangle^2}{\langle n\rangle}$. In Fig. 4(d)-(f), on the left y -axis, we plot the renormalized fluctuation magnitude as a function of k_z , shown as blue solid curve. We found it degrades to one in large $|k_z|$ limit, and this is the limit where photon state is best modeled by the coherent state (Poissonian statistics) and atom's back-action *onto* photon becomes negligibly small. For small $|k_z|$ values, the majority part of fluctuation is larger than one, which implies a super-Poissonian photon number distribution, where cavity photon behaves more thermal like, which is far away from coherent state. If we have a positive definite probability distribution for photon number, by the application of Cauchy-Schwarz inequality, the fluctuation value would always have to be greater or equal to one. However, there are regions where renormalized fluctuation is smaller than one, e.g. in Fig. 4(d) at small negative k_z value, $\frac{\langle(\Delta n)^2\rangle}{\langle n\rangle} \sim 0.95$, which implies sub-Poissonian photon number distribution as a signature of system being genuinely non-classical.

Third, the degree of entanglement for a mixed-state can be quantitatively characterized by the negativity $\mathcal{N}(\rho)$ [21], which we briefly mentioned in Sec. 3. In Fig. 4(d)-(f), on the right y -axis, we plot the negativity against k_z/q_r for different Ω values using green dashed lines. We can see that when photon field approaches its coherent state at large $|k_z|$, negativity becomes very close to zero which means the “atom + photon” system becomes separable. When photon fluctuation deviates from one, negativity shows up a pronounced peak in a qualitatively similar manner.

Above observations conclude our discussion on the relationship between semi-classical theory and quantum mechanical master equation approach in the proposed cavity-assisted SOC system. Alternatively, we can also comment on the relationship between cold atom cavity QED physics and the current work. Conventionally, J-C Model is used to describe interaction between a two level atomic state and photon field by ignoring the rest degrees of freedom. In lieu of mimicking J-C Model, we set q_r to zero in the Hamiltonian and repeat the steady state solution to Eq. 5. Under this setting, atom's kinetic energy only contribute to a dynamical phase that does not affect expectation value of observable, e.g. photon number, fluctuation, etc. Then we choose an arbitrary value of k_z or ignore $k_z^2/2m$ term altogether, and compute $\text{Tr}[\rho n]$, $\frac{\langle(\Delta n)^2\rangle}{\langle n\rangle}$ and $\mathcal{N}(\rho)$ where we use arrows on the y -axis to denote their values in Fig. 4. As we can observe in Fig. 4 from (a) to (c), photon number in J-C Model limit is very different from the one by considering cavity-assisted SOC, *even* in large k_z asymptotic limit. We have $\text{Tr}[\rho n] \approx 0.49, 0.14, 0.11$ from (a) to (c), while we always have asymptotic value of $\frac{\epsilon_p^2}{\kappa^2 + \delta_c^2}$ independent of Ω value in our system, which is 0.5 in Fig. 4. However, we are able to recover J-C model by simultaneously setting both q_r and Ω to zero, where there is only generic atomic spin and photon coupling present. In Fig. 4 from (d) to (f), we have $\frac{\langle(\Delta n)^2\rangle}{\langle n\rangle} \approx 1.47, 1.91, 1.93$ and $\mathcal{N}(\rho) \approx 0.12, 0.06, 0.05$, respectively.

235 5. Conclusion and Outlook

236 In this work, we have studied spin-orbit coupled cold atoms inside a ring cavity system, employing
 237 both semi-classical mean field theory and full quantum mechanical master equation approach. By
 238 treating both light and atom on equal footing and seeking the self-consistent solution in both approaches,
 239 we have found cavity-assisted SOC dramatically modified atomic dispersion relation, intriguing
 240 dynamical instabilities, and atom's back-action onto light field also leads to non-trivial atom-photon
 241 coupling that are fundamentally different to the system with either synthetic SOC or J-C model type
 242 of interaction. We have also explored correspondence and discussed the relationship between the two
 243 approaches. We conclude that the synthesis of cavity QED and SOC is not a trivial combination and
 244 interesting new physics are emergent in this setting. The two distinctively different approaches give us
 245 an unified understanding of the atom-light effective non-linearity and induced dynamical instability in
 246 this system.

247 Although for simplicity reasons we have only considered one atom inside the cavity, the current mean
 248 field formalism and results can be easily generalized to \mathcal{N} identical non-interacting bosons, where we
 249 would expect similar physics involving atom and light couplings. However, due to anti-symmetrization
 250 constraint, for \mathcal{N} non-interacting fermions, it is less straightforward to bridge a connection. Furthermore,
 251 it would be interesting to consider atom-atom interactions for the many body case [cite Feder's paper](#)
 252 [here?](#) and study super-radiant Dicke phase transition [cite Hui Zhai's paper and Xiong-Jun Liu's](#)
 253 [super-radiant paper here?](#), using the master equation approach.

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257 Author Contributions

258 H.P. conceived the idea of the project, L.D. and C. Z. explored the theoretical and numerical aspects
 259 of the physics. All authors contributed to writing and revising the manuscript and participated in the
 260 discussions about this work.

261 Conflicts of Interest

262 The authors declare no conflict of interest.

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