

INFORMATION SHEET FOR PROSPECTIVE PARTICIPANTS

BACKGROUND

You are invited to participate in this study on push-notifications which aims to test alternate methods for creating, displaying and delivering push-notifications with a goal of improving the engagement experience for push-notification subscribers.

In particular, this study wishes to examine how notification engagements differ over participants of different demographics, levels of mindfulness and levels of curiosity. Additionally, this study will assess the performance of a notification service which:

- autonomously generates notifications
- uses different templates to display notifications
- uses different methods for personalising delivery of notifications

This will be achieved through studying various aspects of notification features and their effect on notification engagements, such as: topics; keywords; sentiment; enticement; emojis.

To achieve this, we ask participants to subscribe to our push-notification service for a period of 12 days and answer short questionnaires about the notifications which are opened and dismissed.

Over the past decade, technology has become increasingly ubiquitous. Smartphones are an obvious example of this. We are rarely without our mobile devices. This has resulted in a massive influx of apps created for our smartphones, all of which fight for our attention. A key feature used by mobile apps to grab our attention are push-notifications. These are the alerts that cause our device to *ping* or vibrate, attempting to draw us back into engaging with the app that created the notification. Marketers use push-notifications as a tool in strategies that aim to: maximizing time spent on their platform; convert purchases; advertise deals; etc. With widespread adoption of mobile browsing, websites now also have the ability to push-notifications, giving every business with an online presence the ability to reach subscribers 24/7.

While push-notifications can be a great and useful tool for staying up to date with important information, they can also be a nuisance. For example too many notifications cause the receivers to become anxious due to an overload of information and notifications delivered at inopportune times reduces productivity. This study aims to explore how well different types of push-notifications are received by subscribers through testing different sources for creation, different formats for displaying and different methods for delivery.

RESEARCH TEAM

This study is being carried out as part of the requirements for a PhD in Computer Science at Trinity College Dublin. The lead researcher, Kieran Fraser, is a PhD candidate in the School of Computer Science at Trinity College Dublin and is a member of the ADAPT Centre for Digital Content Technology. This research study will further his work on push-notifications as part of his PhD.



PARTICIPANT CRITERIA

We are seeking participants who meet the following criteria:

- over the age of 18
- fluent in English
- primary mobile device uses Android operating system
- uses (has the ability to install) Google's Chrome web browser on their mobile device

PARTICIPANT INSTRUCTIONS

You are asked to navigate to the *Pushd* webpage, https://fraserkieran.com/pushd, using the Chrome browser on your mobile device. On this webpage, you will find a digital copy of this Participant Information Sheet and the Informed Consent Sheet.

On reading both sheets and giving your informed consent, you should click the button provided which will ensure you can receive push-notifications. In the second part of the study, which you will be invited to on successful completion of the prescreen, will open a dialog window where you are asked to:

- answer a small number of demographic questions
- complete the Curiosity and Exploration Inventory questionnaire
- complete the Mindful Attention Awareness Scale questionnaire
- indicate preferences for notifications e.g. website sources, topics

You can exit the questionnaire and stop participating at any point by selecting the cancel button. On completion of the questionnaire, and over the following three weeks after signing up, you will receive up to 20 notifications spread throughout the day. Your action taken on these notifications (opened/dismissed) will be tracked.

Important: do not delete cookies for the study website (fraserkieran.com/pushd) during the study period or you will be automatically removed from the study. This is due to the fact that the system depends on cookies for delivering the notifications.

At the end of each of each day you will receive a notification with the title *Pushd Study Alert* – *Nightly Survey*. You are asked to open this notification and answer the subsequent questions regarding your engagement with notifications over the day. You are asked to complete at least 5 of these per week.

You may choose to leave the study at any point by simply navigating back to the *Pushd* webpage and clicking the *Unsubscribe* button – all data recorded up to this point will be destroyed and you will no longer receive notifications.

At the end of the study period, a notification will be sent with the title *Pushd Study Alert - You have finished the study. Click to confirm submission.* You are asked to open this notification, answer the short concluding questionnaire and confirm your submission. **After this point you will no longer be able to withdraw your data from the study as the unique identifier linking you and your data will be destroyed.**



STUDY DURATION

Over the course of the 12 days, we expect your involvement to take approximately 22 minutes.

- 2 minutes are allocated for the pre-screen process.
- 5 minutes are allocated for part 2 which includes answering the regarding notification preferences.
- 10 minutes are allocated for 12 days of nightly surveys (30 seconds each).
- The final 5 minutes are allocated for the concluding questionnaire and submission confirmation.

RISKS & BENEFITS

As the study involves sensitive information, there is a potential risk to the privacy of the participant, and so we will take the following measures to ensure your privacy:

- all data recorded is encrypted and, where possible, pseudonymization is applied
- participants are assigned a unique identifier on sign-up which is destroyed after submission, effectively anonymizing the data (Note: truly anonymised data is difficult to achieve – here we mean that no obvious/explicit link will exist between the original participant and the data stored)
- on completion of the study, the data will be stored in encrypted form on the lead researcher's laptop for a maximum of three years from the date the study begins (approximately May 2023).

There is a risk a participant could delete cookies necessary for the system to operate. Participants are asked to take extra care not to delete information (web history & cookies) for the study website (https://fraserkieran.com/pushd) for the duration of the three weeks. Doing so results in withdrawing from the study as a participant cannot be identified if this occurs.

- If participants are recruited through Prolific Academic, on conclusion of the study they will receive £3 as per the guidelines for paying workers on Prolific Academic.
- If participants are recruited generically (e.g. through social media or email advertising of the study) they will be entered into a draw for a €100 *One4All* gift voucher as a token of appreciation.

WITHDRAWAL

Your participation is entirely voluntary, and you can withdraw at any time without penalty.

To withdraw:

Simply navigate back to the *Pushd* study homepage (https://fraserkieran.com/pushd) and select the *Unsubscribe* button. You will be asked for confirmation. Once given, all data recorded up to that point will be destroyed and you will no longer receive notifications.

DATA COLLECTED

A full list of data points collected during this study can be found in the Appendix. You are encouraged to examine in detail. All personal data collected is encrypted and pseudonymized to ensure privacy and prevention of discoverable identities.



Under Article 15 of the General Data Protection Regulation (GDPR), you have the right to request a copy of your personal data. To make a data access request, simply fill in the contact form on the study webpage (https://fraserkieran.com/pushd) and include 'data request' in the message field with your preferred format of data.

If you are a participant entering the study without a Prolific Academic account, you will have an option to enter a draw for a €100 *One4All* gift voucher – to do this, you must enter your email in the box provided when submitting your *post-study* questionnaire. This email will be encrypted and stored only for the purpose of contacting the winner. All emails collected will be deleted once the winner is chosen (approx. July 2020).

QUESTIONNAIRES

As the purpose of this study is to assess the effect of creation, presentation and delivery of push-notifications on different user types, the following questions (which appear in questionnaires presented to participants throughout the study) are required to be answered (non-optional) in order to participate:

1. Pre-Study Questionnaire

- a. **Gender and Age groups** these are essential fields as this study wishes to identify how notification engagements differ between different demographics.
- b. **Topic & website preferences** these are essential fields used by the notification service for creation and delivery.
- c. Mindful Attention Awareness Scale this is an essential part of the study as we wish to assess how notification engagements differ over dispositional mindfulness.
- d. **Curiosity and Exploration Inventory** this is an essential part of the study as we wish to assess how notification engagements differ over participant's recognition, pursuit, and integration of novel and challenging experiences and information.
- e. **Example notifications** this field requires participants to select an open or dismiss action on sample notifications this is an essential part of the study as it is required by the notification service for creating personalised notifications.

2. Nightly Questionnaire

- a. Satisfaction, Trust, Relevance & Enticement these are essential fields as this study is assessing participant notification engagements with respect to each metric. These metrics were chosen for the purposes of evaluating the creation, presentation and delivery systems implemented in the notification service sending notifications to participants.
- b. **Engagement perception** these are essential fields as this study also aims to assess how participants perceive previous engagement with notifications.
- c. On a scale of 1 to 10, how likely are you to recommend the service? This is an essential question for this study as it quantifies how satisfied the participant is with the notification service and contributes toward evaluating the user experience. Note: the free text field is optional, only the score is mandatory.



3. Post-study Questionnaire

- a. **Identify which datasets describe the notifications you received and acted upon** this is an essential field as it provides a quantitative measure of how realistic the notification service generates data, which this study strives to uncover.
- b. **Notification presentation** these are essential fields as they assess the effect notification presentation formats have on privacy, distraction and usefulness, all relevant to the study.
- c. **Emojis** these are essential fields as they assess the effect emojis, present in notifications, have on sentiment and perceived enticement of the participant, again relevant to the goals of the study.

POST-PARTICIPATION

We will publish free-to-access copies of all publications on the project website. If you have any questions which you wish answered discreetly, please use the contact form on the study homepage (https://fraserkieran.com/pushd).

DATA USAGE & PUBLICATION

This data will be analysed in order to identify improved methods of creating, displaying and delivering push-notifications to subscribers. We plan to publish the results of our research in academic journals and conference proceedings. We will do this in a way which does not identify you, or any other individual participant.

As part of this study, notifications and subsequent actions (taken by participants) will be used to train algorithms (e.g. Generative Adversarial Networks) to simulate participant actions so that a synthetic dataset can be generated and shared amongst the research community. No identifying data shall be present in the synthesized dataset.

For example, one such targeted conference for publication of result of findings is *UbiComp* 2020.

WARNINGS

While it is unlikely that illicit activities would be disclosed, if you do so, we would be obliged to report them to the appropriate authorities.

CONFLICTS OF INTEREST

The following are relevant conflicts of interest:

- This research will contribute to the lead researcher's PhD degree.
- The lead researcher has been awarded a grant from Enterprise Ireland to commercialise previously undertaken research on push-notifications.
- As the study will be advertised through the lead researcher's centre and university community, it is likely that research colleagues may participate – however as anonymous unique identifiers are used, identities will not be known to the researchers.

Participant Information Sheet



CONTACT DETAILS

If you have any queries, feel free to contact the lead researcher, Kieran Fraser (<u>kieran.fraser@adaptcentre.ie</u>), and we will be happy to answer questions about the study. You may also contact us via a contact form on the study website.



APPENDIX

Table 1 - Pre-study Questionnaire Data

Pre-Study Questionnaire			
Feature Name Explanation		Privacy Action	
age	categorical age group of the participant e.g. 18-29, 30-40	pseudonymization & encryption	
curiosity_scores	answers to curiosity questionnaire	encryption	
gender	gender gender of participant anonymous unique identifier generated for the user		
id			
ids of notifications which participant indicated they would be inclined to dismiss ids of notifications which participant indicated init_open they would be inclined to open		encryption	
		encryption	
mindful_scores	answers to mindful questionnaires	encryption	
list of websites which participant would like neg_web receive notifications from		encryption	
pos_web	list of websites which participant would not like to receive notifications from	encryption	
prolific_id	participants prolific id if applicable	encryption	
signed_up	datetime participant signed up (calculated automatically)	encryption	
timezone	of the participant (calculated automatically)	encryption	
topics	list of topics participant is interested in receiving push notifications on	encryption	



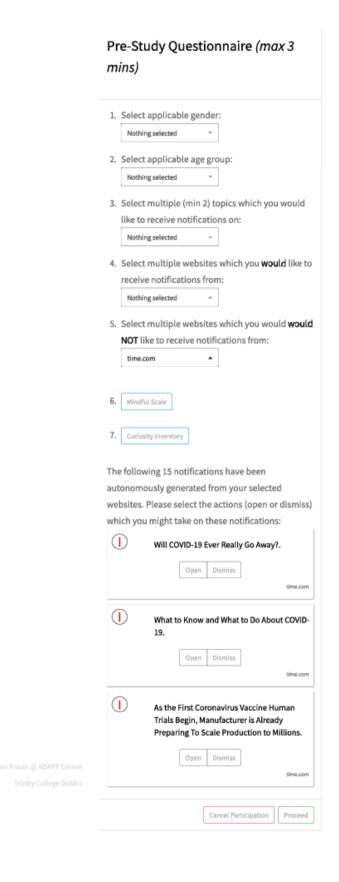


Figure 1 - Pre-study Questionnaire



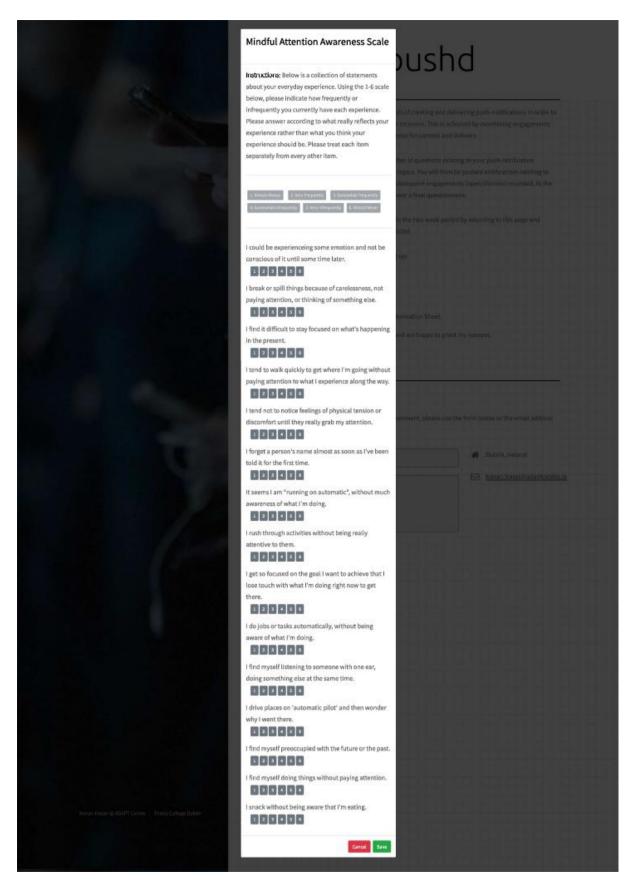


Figure 2 - Mindful Attention Awareness Scale



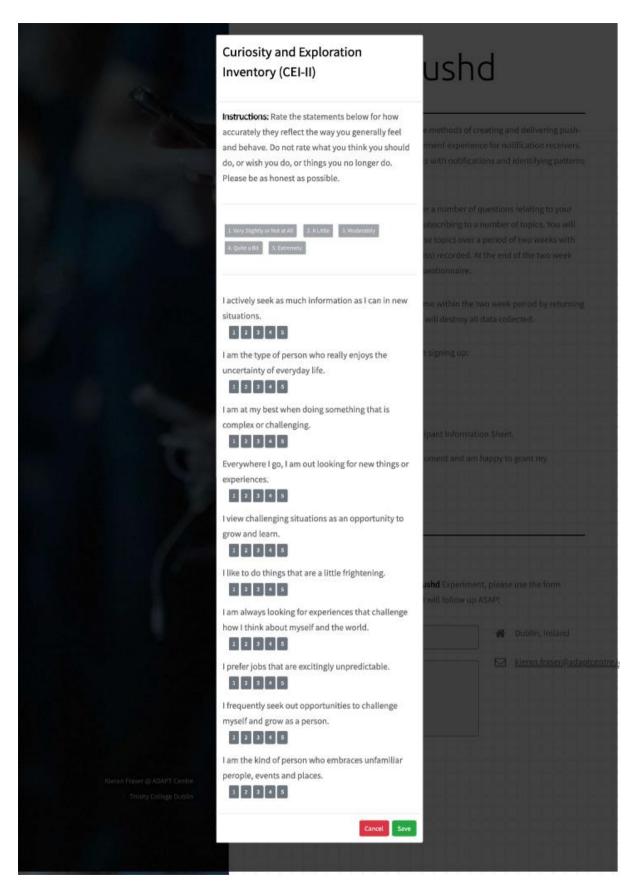


Figure 3 - Curiosity and Exploration Inventory (CEI-II)



Table 2 - Nightly Questionnaire Data

Nightly Questionnaire			
Feature Name	Explanation	Privacy Action	
satisfaction_likert	likert score for how satisfied participant was with delivery of notifications	pseudonymization & encryption	
trust_likert	likert score for participants trustfulness of notifications	pseudonymization & encryption	
relevance_likert	likert score for how relevant the notifications were to participants context and interests	pseudonymization & encryption	
enticement_likert	likert score for how enticing the notifications (e.g. language and how it was displayed) were for participant	pseudonymization & encryption	
num_push	the number of notifications received according to the participant	encryption	
opened_push	the number of notifications opened according to the participant	encryption	
receptive_topics	the topics of notifications which were most frequently opened according to the participant	encryption	
nps_score	the net promoter score given by the participant	encryption	
nps_reason	free text reason for the net promoter score assigend by the participant (optional)	encryption	
time_submitted	the datetime for when the questionnaire is submitted (calculated automatically)	encryption	



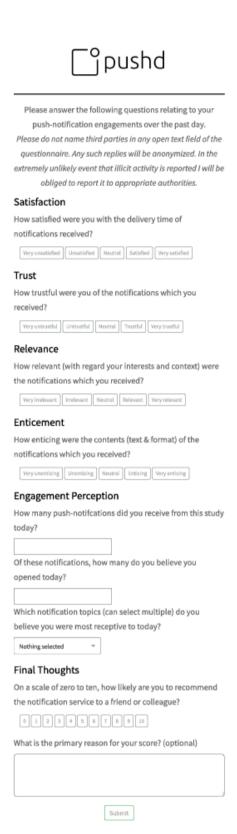


Figure 4 - Nightly Questionnaire



Table 3 - Post-study Questionnaire Data

Post-study Questionnaire			
Feature Name	Explanation	Privacy Action	
topics	Participants answer indicating whether synthetic or real data better describes their engagements with notifications	pseudonymization & encryption	
websites	Participants answer indicating whether synthetic or real data better describes their engagements with notifications	pseudonymization & encryption	
days	Participants answer indicating whether synthetic or real data better describes their engagements with notifications	pseudonymization & encryption	
times	Participants answer indicating whether synthetic or real data better describes their engagements with notifications	pseudonymization & encryption	
format_informative	Participants answer indicating which notification format is more informative	pseudonymization & encryption	
format_decision	Participants answer indicating which notification format aids decision making	pseudonymization & encryption	
format_privacy	Participants answer indicating which notification format contributes more to privacy	pseudonymization & encryption	
format_consent	Participants answer indicating which notification format they would be willing to consent to be used for automated decisions	pseudonymization & encryption	
emoji_enticing	Participants answer indicating which notification emojis are most enticing	pseudonymization & encryption	
emoji_use	Participants answer indicating which notification makes best use of emojis	pseudonymization & encryption	
emoji_relevance	Participants answer indicating which notification has the most relevant emojis for the content	pseudonymization & encryption	





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notifications # questionnaires
delivered answered

Please answer the concluding questionnaire
regarding your notification engagements. Thank you
for your participation!

4

Top notification topics which you opened:

186

Data	 business 	Data	1. wellness
A:	2. tech	B:	2. business
	3. science		3. travel
	4. entertainment		4. entertainment
	5. wellness		5. politics
Which se	et of data, A or B, most eng	accurately desc	cribes your notification
	Nothing se	sected =	

Top websites from notifications that you opened:

Nothing selected

Data	 news.marketsizefo 	redaters.com	 whyalla.ymca.org.au 	
A:	2. irishmirror.ie	B:	2. nytimes.com	
	3. neoxam.com		3. femcat.cat	
	4. businessinsider.com		4. ft.com	
	5. cnet.com		5. thesun.co.uk	
Which set o	of data, A or B, most accu	rately describ	es your notification	
	engager	ments?		

Top days you opened notifications:

Data	1, 3011	Data	1, 361
A:	2. Tue	B:	2. Tues
	3. Mon		3. Sun
			4. Mon
			5. Fri
Which s	et of data, A or B, mos	accurately des	cribes your notification
	en	gagements?	
	Nothing	selected *	

Top time (hour) of the day you opened notifications:

Data	1. 10	Data	1, 10
A:	2. 20	B:	2. 13
	3. 8		3. 20

Figure 5 - Post-study questionnaire



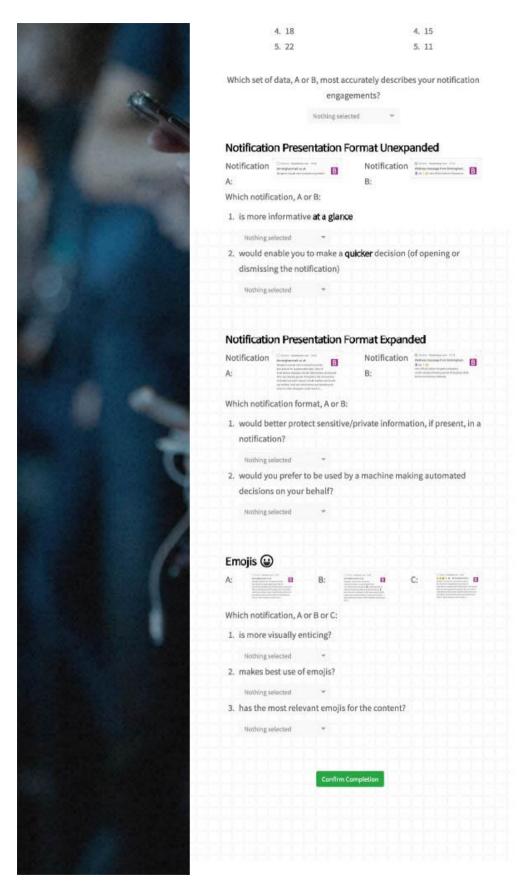


Figure 6 - Post-study questionnaire (continued)