

DISSERTATION

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**Automated optimization of sensitivity in  
a search for boosted VBF Higgs pair  
production in the  $b\bar{b}b\bar{b}$  quark final state  
with the ATLAS detector**

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For the attainment of the academic degree doctor rerum naturalium

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## Abstract

I am an abstract.



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# Chapter 1

## Theory

The Standard Model (SM) of Particle Physics is the current theory that describes three of the four fundamental forces, namely the electromagnetic, strong, and weak forces, with the exception of gravity. Over the last decades it has been probed with remarkable precision but although there are observational phenomena that lie beyond its scope.

The SM is based on symmetry principles and is described by a lorentz-invariant Quantum Field Theory (QFT) that is renormalizable and invariant under local gauge transformations. This means that within the non-abelian gauge group

$$G = SU(3)_C \otimes SU(2)_L \otimes U(1)_Y, \quad (1.0.1)$$

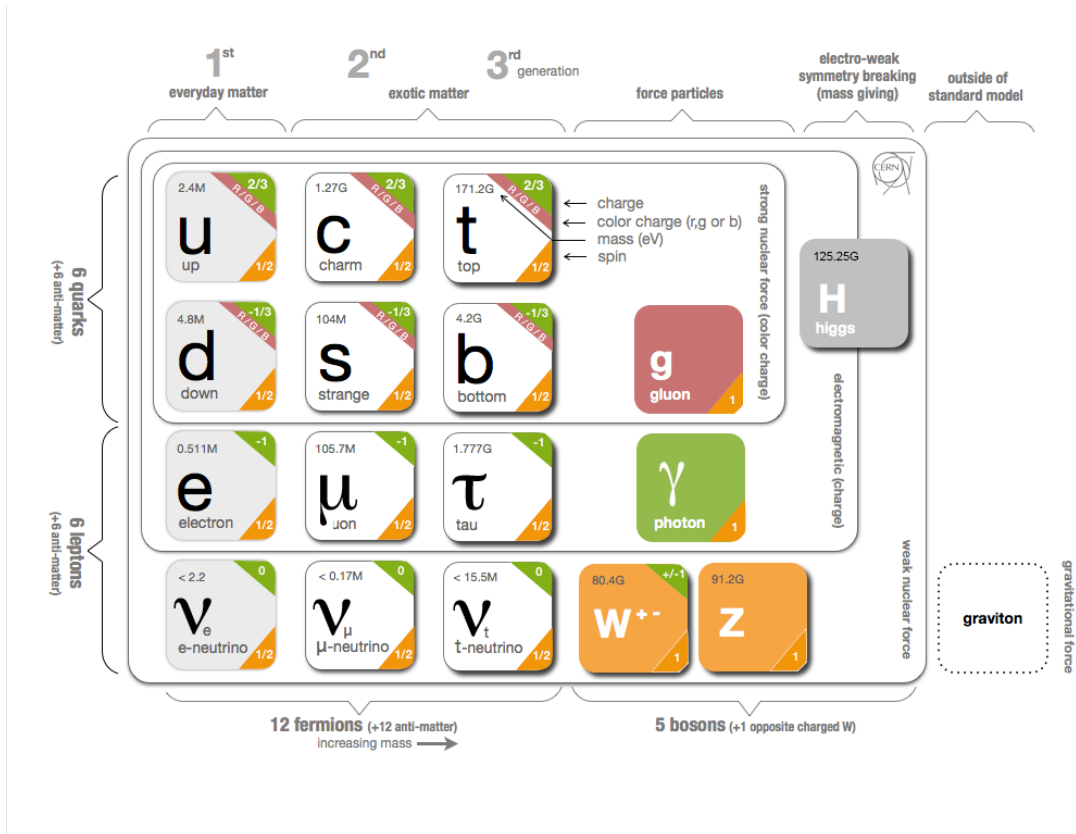
the equations of motions are invariant.  $SU(3)_C$  is the special unitary group of rank 3 representing the color symmetry within Quantum Chromodynamics (QCD), the QFT describing the strong interactions.  $SU(2)_L \otimes U(1)_Y$  exhibits the unification of the weak and electromagnetic interaction into the electro-weak force of  $SU(2)_L$  left-chiral fermions of the weak force and right-handed  $U(1)_Y$  fermions with hypercharge  $Y$  of the electromagnetic force described by Quantum Electrodynamics Quantum Electrodynamics (QED).

The following describes the particles of the SM and gives a brief overview of the QFT's used to describe aforementioned forces. The content of this chapter draws inspiration primarily from [1–4]. Natural units are assumed everywhere  $\hbar = c = 1$ .

## 1.1 Particles of the Standard Model

All currently known elementary particles are included in the SM and can be organized as depicted in figure 1.1. This includes 12 fermions, that are particles of half-integer spin, 12 vector bosons with spin 1, and the Higgs boson, a scalar particle with spin 0.

The fermions can be categorized into three generations each consisting of a charged lepton, a neutral neutrino and two quarks. Except for their masses, particles of the different generations have the same quantum numbers. Ordinary matter consists only of particles from the first generation. Moreover each particle has an associated anti-particle with all the quantum numbers inversed.



**Figure 1.1:** Particles in the SM. Adopted from [5]. Higgs Boson mass corrected to the current value [6].

Quarks possess both electric charges and color charges, causing them to interact with each other via weak, electromagnetic, and strong forces. Each generation consists of an up quark (up, charm and top quark) with an electric charge of  $Q = 2/3$  and a down quark (down, strange and bottom quark) with a charge of  $Q = -1/3$ . Quarks can only be observed as composite particles - hadrons due to color confinement. This states that if one tries to separate a hadron, it is always energetically favorable to produce a quark-antiquark pair instead. Hadrons constitutes either bound states of 2 quarks - Mesons, e.g. a pion or 3 quarks - Baryons e.g. the proton. To not break Pauli's exclusion principle quarks in a bound state must have different color states.

Leptons in turn do not carry a color charge and encompasses the electron  $e$ , muon  $\mu$  and tau  $\tau$  and their associated neutrinos  $\nu_e$ ,  $\nu_\mu$  and  $\nu_\tau$ . In the SM neutrinos are considered to be massless. Neutrinos also do not carry a charge and interact solely via the weak force whereas the ones  $(e, \mu, \tau)$  with a charge  $Q = -1$  participate also interact electromagnetically.

In the interaction picture of QFT, forces are mediated by particles specific to the particular force. These particles are bosons and are mediating as 8 massless gluons  $g$  the strong force, as 1 massless photon  $\gamma$  the electromagnetic force and as 3 massive bosons  $W^+, W^-, Z$  the weak force.

The scalar Higgs particle has a unique role in the Standard model. A locally gauge invariant QFT requires massless mediators which the  $W^\pm, Z$  are not. When unifying the weak force and the electromagnetic force into the electroweak force a new field - the Higgs field - can incorporate mass to these mediators by leaving the qft gauge invariant. This will be discussed in detail in section ???. The Higgs field can explain the masses of all fermions as the coupling to each fermion is proportional to its mass. This essentially means that the heavier the particle, the stronger its interaction is with the Higgs field.

If not further specified the following always includes the anti-particles when referred to a species or a particular particle.

particle	field type	Lagrange
spin-0 (scalar)	scalar $\phi$	$\mathcal{L}_{\text{Klein-Gordon}} = \frac{1}{2}(\partial_\mu \phi)(\partial^\mu \phi) - \frac{m^2}{2}\phi^2$
spin-1/2 (fermion)	spinor $\psi$	$\mathcal{L}_{\text{Dirac}} = \bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi$
spin-1 (boson)	vector $A_\mu$	$\mathcal{L}_{\text{Proca}} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} - \frac{m^2}{2}A_\mu A^\mu$

**Table 1.1:** Quantum fields appearing in the SM. With  $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$  the electromagnetic field strength tensor.

## 1.2 Quantum Field Theory

Elementary Particles can be created, transformed and vanish in all sorts of particle interactions. Quantum mechanics states that energy can vary greatly on short time scales via the uncertainty principle. Special relativity relates energy with mass allowing energy to manifest as massive particles. Though special relativity lacks a quantum mechanical description and in non-relativistic quantum mechanics the particle number is conserved. Neither of these descriptions is sufficient to fully describe the observations therefore a new theory - QFT - was developed.

For a field description some quantity  $\phi(x, y, z, t) = \phi(x)$  is assigned to some region in spacetime  $x$ . Similar to the Lagrangian formalism in classical mechanics here a Lagrangian density in spacetime governs the dynamics of the system  $\mathcal{L}(\phi_1, \dots, \phi_n)$ . The generalized Euler-Lagrange equations of qft then give the according equations of motion for each field component  $\phi_i$

$$\partial_\mu \left( \frac{\partial \mathcal{L}}{\partial(\partial_\mu \phi_i)} \right) = \frac{\partial \mathcal{L}}{\partial \phi_i}. \quad (1.2.1)$$

This relation gives the equations of motion for the Lagrangians associated to fields which appear in the SM and are summarized in 1.1 table.

The conventional strategy to describe particle dynamics is to start with a free field and treat the interactions of particles as a small perturbation to a chosen order. In the path integral formulation it fundamentally reduces to integrals of the form  $\int D\phi e^{i \int d^4x L(\phi(x,t))}$ . Where  $\int D\phi$  is the integral over all possible paths a particle could take. Through back and forth expansions of the  $e$  function the integral

can be solved to a desired order of perturbation and the result is a probability - the amplitude - usually denoted with  $\mathcal{M}$ . Via this one can derive the Feynman rules and calculate cross sections.

The principle of local gauge invariance generates all the symmetries for the different forces and is inspired by gauge invariance from classical electrodynamics. In the following, this is explained for each of the forces.

## 1.3 Quantum Electrodynamics

The QFT-description of the electromagnetic interaction QED can be derived from the free fermion field given by the Dirac equation

$$\mathcal{L}_{\text{Dirac}} = \bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi. \quad (1.3.1)$$

This Lagrangian is invariant under a change of phase  $\alpha$

$$\psi(x) \rightarrow e^{-i\alpha} \psi(x). \quad (1.3.2)$$

The requirement that this transformation also holds locally means that  $\alpha$  now additionally depends on the point  $x$  in spacetime  $\alpha \rightarrow \alpha(x)$ . Since this gives another term because of the derivative, the Lagrangian can be made invariant again by introducing a vector field  $A_\mu$  and replacing the derivative  $\partial_\mu$  by the covariant derivative  $D_\mu$

$$\partial_\mu \rightarrow D_\mu = \partial_\mu + ieA_\mu(x), \quad (1.3.3)$$

with  $e$  the electron charge. Thus, the new Lagrangian

$$\mathcal{L} = \bar{\psi}(i\gamma^\mu D_\mu - m)\psi = \underbrace{\bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi}_{\mathcal{L}_{\text{Dirac}}} + \underbrace{e\bar{\psi}\gamma^\mu\psi A_\mu}_{\mathcal{L}_{\text{int}}} \quad (1.3.4)$$

becomes invariant under the local gauge transformations

$$\psi(x) \rightarrow e^{-i\alpha(x)} \psi(x) \quad (1.3.5)$$

$$A_\mu(x) \rightarrow A_\mu(x) - \frac{1}{e} \partial_\mu \alpha(x) \quad (1.3.6)$$

forming the electromagnetic  $U(1)$  gauge group. This is also called the minimal substitution rule. This Lagrangian describes a fermion interacting with a vector field  $A_\mu$  - the photon. A kinetic term for the vector field can be from the Proca Lagrangian in table 1.1.  $F_{\mu\nu}$  is local gauge invariant whereas the  $A_\mu A^\mu$  is not, which is why the gauge field is required to be massless. The full QED lagrangian with coupling strength then

$$\mathcal{L}_{\text{QED}} = \underbrace{\bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi}_{\mathcal{L}_{\text{Dirac}}} + \underbrace{e\bar{\psi}\gamma^\mu\psi A_\mu}_{\mathcal{L}_{\text{int}}} - \underbrace{\frac{1}{4}F_{\mu\nu}F^{\mu\nu}}_{\mathcal{L}_{\text{Maxwell}}}. \quad (1.3.7)$$

Saying that this symmetry for  $\alpha(x)$  holds locally for all unitary  $1 \times 1$  matrices  $U(1)$  is a bit extravagant, but the formalism is extendable to higher orders as for the electroweak theory and QCD case. It is called an abelian gauge group as any  $1 \times 1$  matrix also commutes with itself.

## 1.4 Quantum Chromodynamics

Along the same lines as QED is derived in section 1.3, the theory of the strong interactions QCD is now a non-abelian gauge theory of the symmetry group  $SU(3)$ . The latter is generated by the  $3 \times 3$  Gellmann matrices  $\lambda_a$  with  $a \in \{1, \dots, 8\}$ . The fundamental charge is now color and each quark is a triplet of the three color fermion fields  $\Psi_k = (\psi_r, \psi_g, \psi_b)^T$  for all quark flavors  $k$ . Local gauge invariance of the Lagrangian

$$\mathcal{L} = \sum_k \begin{pmatrix} \bar{\psi}_r & \bar{\psi}_g & \bar{\psi}_b \end{pmatrix} (i\gamma^\mu \partial_\mu - m) \begin{pmatrix} \psi_r \\ \psi_g \\ \psi_b \end{pmatrix} = \sum_k \bar{\Psi}_k (i\gamma^\mu \partial_\mu - m) \Psi_k \quad (1.4.1)$$

can be achieved via the gauge transformations of the spinors

$$\Psi_k(x) \rightarrow e^{i\alpha_a(x)\lambda_a/2} \Psi_k(x), \quad \alpha \in \mathbb{R}, \quad a \in \{1, \dots, 8\}, \quad (1.4.2)$$

with  $\alpha_a(x)$  a local phase and the index  $a$  for the 8 gluons. Here and in the following summation over equal indices  $\alpha_a(x)\lambda_a = \sum_i \alpha_a(x)\lambda_a$  is assumed. As in QED a



covariant derivative is introduced

$$D_\mu = \partial_\mu - ig_s \frac{\lambda_a}{2} G_\mu^a, \quad (1.4.3)$$

involving the eight gluon vector fields  $G_\mu^a$  and the coupling strength  $g_s$ , which is related to the strong coupling constant as

$$\alpha_s = \frac{g_s^2}{4\pi}. \quad (1.4.4)$$

Again self coupling terms are added

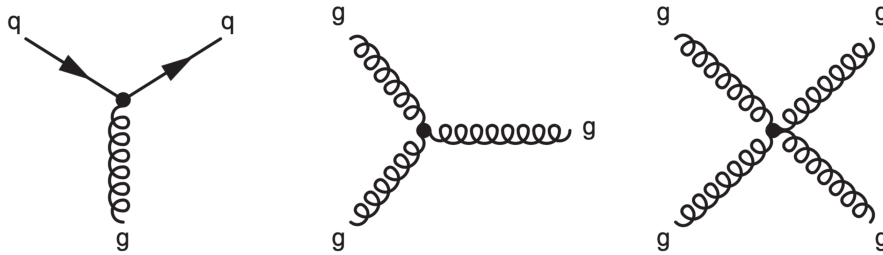
$$G_{\mu\nu}^a = \partial_\mu G_\nu^a - \partial_\nu G_\mu^a + g_s f_{\beta\gamma}^a G_\mu^\beta G_\nu^\gamma, \quad \text{with } [\lambda_a, \lambda_b] = if_{ab}^c \lambda_c, \quad (1.4.5)$$

to get the gauge invariant QCD Lagrangian

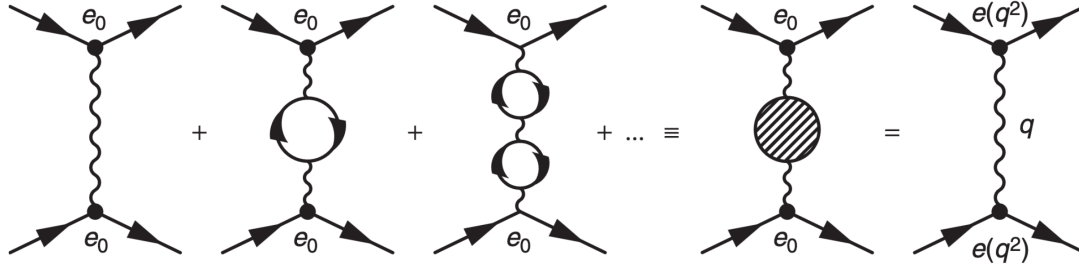
$$\mathcal{L}_{\text{QCD}} = \sum_k \bar{\Psi}_k (i\gamma^\mu D_\mu - m_k) \Psi_k - \frac{1}{4} G_{\mu\nu}^a G^{a\mu\nu} \quad (1.4.6)$$

$$= \sum_k \bar{\Psi}_k (i\gamma^\mu \partial_\mu - m_k) \Psi_k + g_s \bar{\Psi}_k \gamma^\mu \frac{\lambda_a}{2} \Psi_k G_\mu^a - \frac{1}{4} G_{\mu\nu}^a G^{a\mu\nu}. \quad (1.4.7)$$

This Lagrange consists of a kinetic term for each quark, an interaction term of the quarks with the gluons and gluon-gluon interactions giving vertices shown in 1.2. This becomes clear when  $G_{\mu\nu}^a$  is squared and also leads to cubic and quartic terms for the fields.



**Figure 1.2:** (left) Quarks interacting with a gluon. (middle) triplet and (right) quartic self coupling of gluons. Adopted from [3].



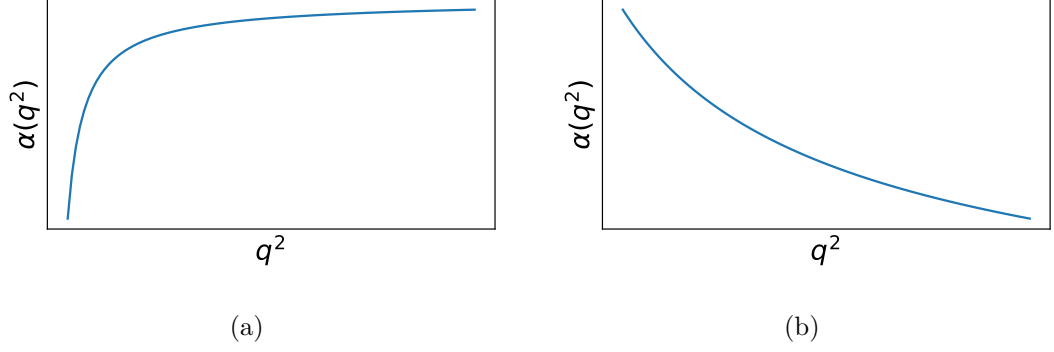
**Figure 1.3:** Higher order loop corrections in QED schematically treated as one effective diagram. Adopted from [3].

## 1.5 Renormalization

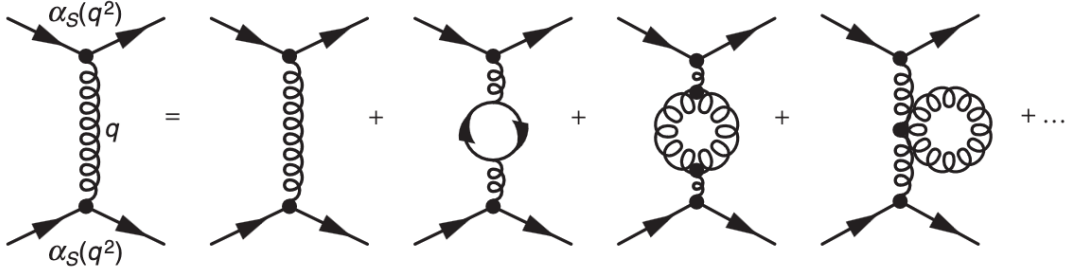
When trying to calculate amplitudes  $\mathcal{M}$  of higher order diagrams in QED like the second or third one in figure 1.5 it results in diverging integrals. These diagrams are also referred to as vacuum polarization as virtual particle-antiparticle pairs screen the actual charge of the electron  $e_0$  like a dielectric medium in classical electrodynamics. The situation can be fixed by absorbing the appearing infinities into an effective charge/coupling  $e(q^2)$  which is now a function of the squared four momentum  $q^2$  at the virtual photon vertex shown schematically in figure 1.5. For the the second diagram in figure 1.5 involving only one loop correction it can be shown that for some measured coupling  $e(q^2 = \mu^2)$  the actual coupling  $e(q^2)$  follows a scaling behavior that holds if  $q^2$  and  $\mu^2$  are larger than the electron mass [3]. The coupling constant is now a running coupling  $e(q^2)$  and reads in terms of the fine structure constant  $\alpha(q^2) = e^2(q^2)/4\pi$ ,

$$\alpha(q^2) = \frac{\alpha(\mu^2)}{1 - \alpha(\mu) \frac{1}{3\pi} \ln\left(\frac{q^2}{\mu^2}\right)}. \quad (1.5.1)$$

Therefore with increasing momentum transfer or closer approach in a collision the coupling at the virtual photon vertex increases as can be seen qualitatively in figure 1.4(a).



**Figure 1.4:** Qualitative behavior of the running couplings for (a) QED as of equation 1.5.1 and (b) QCD as of equation 1.5.2.



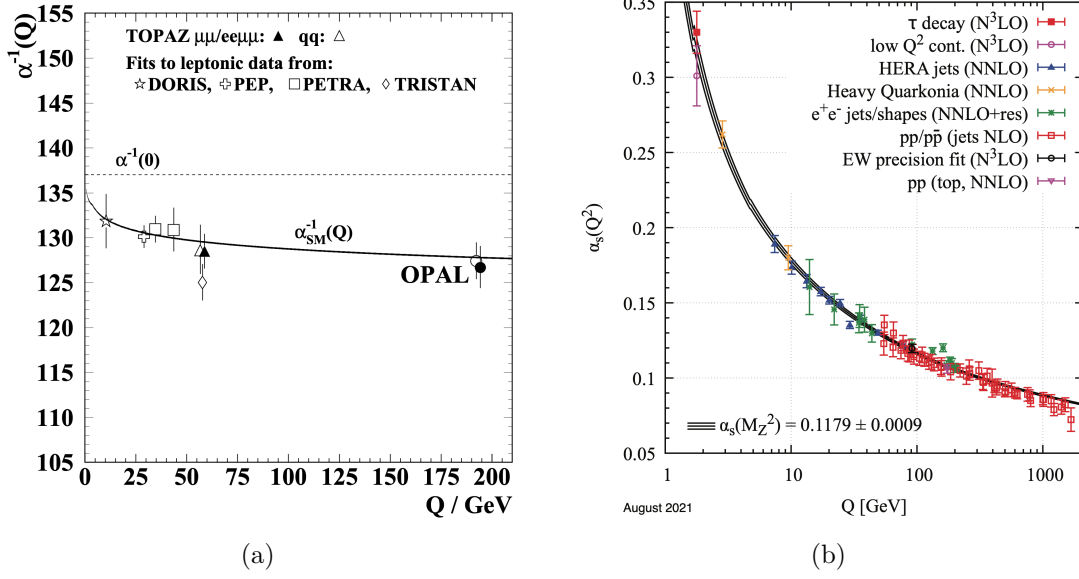
**Figure 1.5:** Some higher order loop corrections in QCD. Adopted from [3].

Renormalization in QCD can be derived similarly but also the quartic and triplet couplings exemplified in figure 1.5 need to be considered that result in a scaling for the strong coupling

$$\alpha_S(q^2) = \frac{\alpha_S(\mu^2)}{1 + B\alpha_S(\mu) \ln\left(\frac{q^2}{\mu^2}\right)}, \quad \text{with } B = \frac{11N_c - 2N_f}{12\pi}. \quad (1.5.2)$$

For 3 color charges  $N_c$  and 6 fermions  $N_f$  in the SM,  $B$  is positive and the coupling becomes weaker for shorter scales or higher momentum transfer as can be seen in figure 1.4(b).

The fine structure constant of QED  $\alpha(q^2 \approx 0) \approx 1/137$  does not vary dramatically over the energy ranges of matter for particle physics as shown in figure 1.6(a). Most importantly the running coupling of QED does not disturb the perturbation ansatz since developing about  $\alpha \ll 1$  makes the perturbation series vanish so



**Figure 1.6:** Measurements of the running couplings for (a) QED (note the inverted coupling on the y-axis) adopted from [7] and (b) QCD adopted from [6].

quickly that the leading term is sufficient for calculations. This is not the case for QCD where  $\alpha_s$  at  $q \approx 1$  GeV is of  $\mathcal{O}(1)$  and perturbation theory breaks down for calculations on bound hadronic states and latter processes in hadronization. While perturbation theory for QCD remains valid for  $\alpha_s \approx 0.1$  which corresponds to  $q \approx 100$  GeV in basically all processes that are of interest at the Large Hadron Collider (LHC) higher order corrections must be considered in QCD calculations.

The behavior of the running coupling in QCD is called asymptotic freedom, since the theory is free of asymptotics with increasing energy scale or decreasing distance. In turn, since the coupling increases with larger distances, this leads to color confinement, which means that colored particles can only be observed in bound states.

## 1.6 Electroweak Unification

The weak force can be added to the gauge invariant formalism with a  $SU(2)$  symmetry and can be combined with the electromagnetic force so that both forces originate from one electroweak force by requiring a symmetry  $SU(2)_L \otimes U(1)_Y$ .

The weak force couples to left handed chiral particle states only, e.g. for some fermion  $\psi_L$ . Fermions can be grouped by their characteristics into left handed doublets

$$\begin{pmatrix} \nu_e \\ e \end{pmatrix}_L, \begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix}_L, \begin{pmatrix} \nu_\tau \\ \tau \end{pmatrix}_L, \begin{pmatrix} u \\ d \end{pmatrix}_L, \begin{pmatrix} c \\ s \end{pmatrix}_L, \begin{pmatrix} t \\ b \end{pmatrix}_L, \quad (1.6.1)$$

with weak isospin  $I = 1/2$ , with the third component  $I_3 = \pm 1/2$  for the upper and lower doublet particle respectively, whereas the weak hypercharge  $Y$  is associated to right handed singlets

$$e_R, \quad \mu_R, \quad \tau_R, \quad u_R, \quad d_R, \quad c_R, \quad s_R, \quad t_R, \quad b_R, \quad (1.6.2)$$

with  $I = 0$ . The relation between the electric charge of the particle and these quantum numbers is governed by the Gell-Mann-Nishijima Formula  $Q = I_3 + Y/2$ . The electroweak Lagrangian

$$\mathcal{L}_{\text{EW}} = \mathcal{L}_{\text{fermions}} + \mathcal{L}_{\text{gauge}} + \mathcal{L}_{\text{Higgs}} + \mathcal{L}_{\text{Yukawa}}, \quad (1.6.3)$$

is then made up of four basic terms which are described in the following.

### 1.6.1 Gauge term

As for QCD and QED the Lagrangian can be rendered gauge invariant by introducing gauge fields that are dictated by the group symmetry.  $SU(2)$  is generated through the three Pauli matrices  $\boldsymbol{\sigma}$  giving 3 vector gauge fields  $W_\mu^a$ ,  $a = \{1, 2, 3\}$ . The  $U(1)$  symmetry of the vector field  $B_\mu$  is generated by the hypercharge  $Y$  leading to the field strength tensors

$$W_{\mu\nu}^a = \partial_\mu W_\nu^a - \partial_\nu W_\mu^a + g_2 \epsilon_{abc} W_\mu^b W_\nu^c, \quad (1.6.4)$$

$$B_{\mu\nu} = \partial_\mu B_\nu - \partial_\nu B_\mu, \quad (1.6.5)$$

with  $g_2$  the weak coupling constant and  $\epsilon_{abc}$  the totally asymmetric Levi-Civita tensor. This yields the gauge field part of the Lagrangian

$$\mathcal{L}_{\text{gauge}} = -\frac{1}{4} W_{\mu\nu}^a W^{\mu\nu,a} - \frac{1}{4} B_{\mu\nu} B^{\mu\nu}. \quad (1.6.6)$$

At this point the  $W_\mu^a$  are massless because they would break the gauge symmetry. To incorporate their masses into the Lagrangian this electroweak symmetry can be broken down spontaneously by the Higgs mechanism as will be explained later.

### 1.6.2 Fermion term

To distinguish left- and right handed particle states the according spinors can be written as

$$\psi_L = \frac{1 - \gamma^5}{2} \psi, \quad \psi_R = \frac{1 + \gamma^5}{2} \psi. \quad (1.6.7)$$

These are not helicity eigenstates but rather  $\psi_{L,R}$  become  $\psi$ , if  $\psi$  has the corresponding helicity and vanish otherwise. The aforementioned doublets and singlets are then represented by

$$\psi_L = \begin{pmatrix} \psi_{L+}^j \\ \psi_{L-}^j \end{pmatrix}, \quad \psi_{R\xi}^j, \quad (1.6.8)$$

with  $j$  running over the doublets from equation 1.6.1 and  $\xi = \pm$  for u-type fermions (+) and d-type fermions (-). Again a covariant derivative is introduced

$$D_\mu^L = \partial_\mu - ig_2 \frac{\sigma_a}{2} W_\mu^a + ig_1 \frac{Y}{2} B_\mu, \quad (1.6.9)$$

$$D_\mu^R = \partial_\mu + ig_1 \frac{Y}{2} B_\mu, \quad (1.6.10)$$

so that the fermionic part of the Lagrangian becomes

$$\mathcal{L}_{\text{fermion}} = \sum_j \bar{\psi}_L^j i \gamma^\mu D_\mu^L \psi_L^j + \sum_{j,\xi} \bar{\psi}_{R\xi}^j i \gamma^\mu D_\mu^R \psi_{R,\xi}^j. \quad (1.6.11)$$

Fermion masses are also still explicitly avoided here as they would break the gauge symmetry through mixing of left- and right handed states.

### 1.6.3 Higgs term

The Higgs mechanism breaks down the  $SU(2)_L \otimes U(1)_Y$  into  $U(1)_{EM}$  which is called electroweak symmetry breaking (EWSB). The Higgs field is only introduced here and is discussed in more depth in section ?? concerning the Higgs mechanism.

The SM Higgs is a single isospin doublet of complex scalar fields

$$\phi(x) = \begin{pmatrix} \phi^+(x) \\ \phi^0(x) \end{pmatrix} = \begin{pmatrix} \phi_1(x) + i\phi_2(x) \\ \phi_3(x) + i\phi_4(x) \end{pmatrix} \quad (1.6.12)$$

again with a covariant derivative

$$D_\mu = \partial_\mu - ig_2 \frac{\sigma_a}{2} W_\mu^a + i \frac{g_1}{2} B_\mu, \quad (1.6.13)$$

giving the Higgs term for the electroweak Lagrangian

$$\mathcal{L}_{\text{Higgs}} = (D_\mu \phi)^\dagger D^\mu \phi - V(\phi), \quad (1.6.14)$$

with the Higgs potential

$$V(\phi) = -\mu^2 \phi^\dagger \phi + \frac{\lambda}{4} (\phi^\dagger \phi)^2, \quad (1.6.15)$$

containing parameters  $\mu$  for the Higgs mass and  $\lambda$  for the strength of the Higgs fields self-interaction.

# Appendix A

## Acronyms

**CERN** Organisation européenne pour la recherche nucléaire

**ATLAS** A Toroidal LHC Apparatus

**SM** Standard Model

**QFT** Quantum Field Theory

**QCD** Quantum Chromodynamics

**QED** Quantum Electrodynamics

**EWSB** electroweak symmetry breaking

**VEV** vacuum expectation value

**LHC** Large Hadron Collider

**HL-LHC** High Luminosity LHC

**ID** Inner Detector

**SCT** semiconductor tracker

**TRT** transition radiation tracker

**ITk** Inner Tracker



**IBL** insertable  $b$ -layer

**EM** electromagnetic

**LAr** liquid argon

**MS** muon spectrometer

**RPCs** resistive plate chambers

**TGCs** thin gap chambers

**MDTs** monitored drift tubes

**CSCs** cathod strip chambers

**HLT** high level trigger

**RoI** region of interest

**L1** Level-1

**PDF** Parton Distribution Function

**DGLAP** Dokshitzer–Gribov–Lipatov–Altarelli–Parisi

**MC** Monte Carlo

**MPI** multi-parton interaction

**PS** parton shower

**ME** matrix element

**ISR** initial state radiation

**FSR** final state radiation

**4FS** four-flavour scheme

**5FS** five-flavour scheme

**NLO** next-to-leading order

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Berlin, 23.10.2023

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