

GIA imaging of 3D mantle viscosity based on palaeo sea-level observations - Part I: Sensitivity kernels for an Earth with laterally varying viscosity

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Summary

A key initial step in geophysical imaging is to devise an effective means of mapping the sensitivity of any observation to the model parameters, that is to compute its Fréchet derivatives or sensitivity kernel. In the absence of any simplifying assumptions and when faced with a large number of free parameters, the adjoint method can be an effective and efficient approach to calculating Fréchet derivatives that requires just two numerical simulations. In the Glacial Isostatic Adjustment (GIA) problem, these consist of a forward simulation driven by changes in ice mass and an adjoint simulation driven by *fictitious* loads that are applied at the observation sites. The theoretical basis for this approach has seen considerable development over the last decade. Here, we generate the final elements needed to image 3D mantle viscosity using a dataset of palaeo sea-level observations. Developments include the calculation of viscosity Fréchet derivatives (i.e., sensitivity kernels) for relative sea-level observations, a modification to the numerical implementation of the forward and adjoint problem that permits application to 3D viscosity structure, and a recalibration of initial sea level that ensures the forward simulation honors present-day topography. In the process of addressing these items, we build intuition concerning how absolute sea-level and relative sea-level observations sense Earth's viscosity structure and the physical processes involved. We discuss examples for the near field (Andenes, Norway), far field (Seychelles), and edge of the forebulge of the Laurentide ice sheet (Barbados). Examination of these kernels: (1) reveals why 1D estimates of mantle viscosity from far-field relative sea-level observations can be biased; (2) hints at why an appropriate differential relative sea-level observation can provide a better constraint on local mantle viscosity; and (3) demonstrates that sea-level observations have non-negligible 3D sensitivity to deep mantle viscosity structure, which is counter to the intuition gained from 1D radial viscosity Fréchet derivatives. Finally, we explore the influence of lateral variations in viscosity on relative sea-level observations in the Amundsen Sea Embayment and at Barbados. These predictions are based on a new global 3D viscosity inference derived from the shear-wave speeds of GLAD-M25 and an inverse calibration scheme that ensures compatibility with certain fundamental geophysical observations. Use of the 3D viscosity inference leads to: (1)

25 generally greater complexity within the kernel; (2) an increase in sensitivity and presence of shorter length-scale
26 features within lower viscosity regions; (3) a zeroing out of the sensitivity kernel within high-viscosity regions
27 where elastic deformation dominates; and (4) shifting of sensitivity at a given depth towards distal regions of
28 weaker viscosity. The tools and intuition built here provide the necessary framework to explore inversions for
29 3D mantle viscosity based on palaeo sea-level data.

30 **Keywords:**

1 Introduction

Geophysicists have gone to great lengths to image Earth’s interior using observations of seismic wave propagation (e.g., Nolet, 2008; Tromp, 2019), gravitational (e.g., Sjöberg & Bagherbandi, 2017) and electromagnetic (e.g., Tikhonov, 1950; Chave & Jones, 2012) fields, as well as its response to deformation by both internal (e.g., Pollitz, 2001; Forte & Mitrovica, 1996) and external forces (e.g., moon and sun; Nakada & ichiro Karato, 2012; Lau *et al.*, 2017). In doing so, they provide constraints on physical parameters that fundamentally control the behaviour of our planet across a range of spatial and temporal scales. With advances in imaging techniques, computational resources, and observational data sets, imaging of these parameters has evolved from simple, spherically symmetric 1D models into increasingly complex 3D structural models. Nevertheless, despite more than a century of research, imaging of Earth’s viscous structure has so far remained restricted to 1D radial models (e.g., Haskell, 1935; Mitrovica, 1996; Lau *et al.*, 2016; Argus *et al.*, 2021). One of the best geophysical processes to exploit for imaging mantle viscosity is Glacial Isostatic Adjustment (GIA), which is the viscoelastic deformation of the solid Earth as well as changes to its gravitational field and rotational axis in response to the evolving surface loads of the ice sheets and oceans. This process is most reliably constrained by observations of palaeo sea level, but the use of these observations to image 3D mantle viscosity has been hindered by a sparsity of data coverage, a lack of appropriate standardization procedures (Khan *et al.*, 2019), and perhaps most importantly, the absence of an efficient inversion scheme.

The influence of 3D viscosity structure on GIA has been recognized for the past few decades (e.g., Gasperini *et al.*, 1990; Whitehouse, 2018), but has seen an accelerated interest in recent years driven by a desire to better understand the interactions between the solid Earth and the cryosphere (e.g., Kaufmann *et al.*, 2005; Gomez *et al.*, 2015; Whitehouse *et al.*, 2019) or more broadly the hydrosphere (e.g., Wu, 2006; Austermann *et al.*, 2013; Li *et al.*, 2020; Bagge *et al.*, 2021). The degree of viscosity heterogeneity imaged by regional GIA studies (e.g., Nield *et al.*, 2014, 2016; Barletta *et al.*, 2018; Austermann *et al.*, 2020) and inferred from seismic tomography (e.g., Ivins *et al.*, 2021; Austermann *et al.*, 2021) suggests that Earth’s viscous response occurs over timescales of years to thousands of years and at length scales of tens to many thousands of kilometers. Numerical simulations show that lateral viscosity variations can give rise to complex patterns of horizontal deformation that cannot be reproduced by a spherically symmetric viscosity model unless the ice history is substantially modified (Kaufmann *et al.*, 2005). Likewise, the 3D viscosity structure of subduction zones can influence local relative sea level and profoundly impact its interpretation (Austermann *et al.*, 2013). These examples demonstrate the importance of accounting for lateral viscosity variations for improving the accuracy of GIA model predictions, which will in turn improve estimates of surface to near-surface mass change using satellite gravimetry (e.g., Groh *et al.*, 2012; van der Wal *et al.*, 2015; Barletta *et al.*, 2018), models of ice sheet dynamics (e.g., Gomez *et al.*, 2015, 2018), and models of past to future sea-level change (e.g., Gomez *et al.*, 2018; Pan *et al.*, 2021).

A number of avenues exist to constrain Earth’s 3D viscosity structure and can be broadly divided into those that *infer* viscosity based on other physical parameters and those that *image* viscosity directly from observations. Here we focus on the former and address the latter in Lloyd *et al.* (in prep). Inference-based approaches primarily use seismic tomography models of shear-wave speed and convert them into temperature and viscosity using constitutive flow laws and material parameters derived from laboratory experiments (e.g., Priestley & McKenzie,

2013; Yamauchi & Takei, 2016; Richards *et al.*, 2020; Austermann *et al.*, 2021; Ivins *et al.*, 2021). Although such approaches benefit from the high resolution and enhanced spatial coverage of seismic tomography, they also inherit the assumptions and uncertainties associated with the tomographic inversion and flow laws, leading to a wide range of plausible mappings (Ivins *et al.*, 2021). For example, tomographic images depend upon the choice of seismic observations, model parameterization, regularization (e.g., damping and smoothing), resolution, and any simplifying assumptions, such as how attenuation is or is not included. Accounting for these effects is nontrivial and, in many instances, impractical. The calibration scheme of Richards *et al.* (2020) seeks to reduce these issues by using only those combinations of material parameters that yield models that satisfy a number of well-known, independent solid Earth observations (e.g., thermal structure of oceanic lithosphere, average adiabatic gradient within the convecting mantle, etc.). Other approaches are instead calibrated by fitting estimates of upper mantle viscosity from regional GIA studies (e.g., Approach 1 and 2 in Ivins *et al.*, 2021) or direct observations, such as solid Earth deformation, sea-level change, and satellite gravimetry (e.g., Approach 3 in Ivins *et al.*, 2021). Yet in all instances, assumptions must be made about the physical state of the mantle and hence the origin of the seismic anomalies (e.g., temperature, composition, fluids, melt, grain size, etc.) as well as the active deformation mechanisms (e.g., dislocation creep, diffusion creep, grain boundary sliding, etc.).

Despite great advances in inference techniques over recent years, there is no guarantee that the resulting 3D viscosity structures will provide a better fit to GIA observations and indeed, it may be significantly worse (e.g., Bagge *et al.*, 2021). One potential explanation for this behaviour relates to shortcomings of the 3D viscosity inference, as discussed above, which may arise from deficiencies in the seismic tomography or other geophysical constraints as well as the approach and assumptions used in making the inference. An alternative explanation is that ice history models are *tuned* assuming Earth's viscosity structure varies with depth alone (e.g., Peltier *et al.*, 2015; Caron *et al.*, 2017). As a result, these models may overfit the GIA observations and map data misfit due to solid Earth structure and rheology into ice history. Given these issues and the strong trade-off of these key GIA parameters, deficiencies in both aspects might be expected.

Rectifying these deficiencies using forward modeling or through search algorithms (e.g., Monte Carlo, Bayesian Inference) that are common in GIA studies (e.g., Nield *et al.*, 2014; Barletta *et al.*, 2018; Lau *et al.*, 2016; Caron *et al.*, 2017) is computationally impractical when adopting a 3D viscosity model since they are reliant on quickly solving the forward GIA problem many thousands of times. An alternative approach is to directly invert observations for the desired model parameters (e.g., mantle viscosity) in order to minimize a suitable misfit function. Doing so requires an efficient approach for determining an observation's 3D sensitivity to a model parameter (i.e., its Fréchet derivative), which was lacking until the application of the adjoint method to the GIA problem by Al-Attar & Tromp (2013) and others (Martinec *et al.*, 2015; Crawford *et al.*, 2018). Through their work and others (e.g. Kim *et al.*, 2022), it is becoming increasingly clear that observations that were previously assumed to capture the global average viscosity of the mantle and used to image 1D profiles (e.g., Mitrovica & Peltier, 1993; Mitrovica & Forte, 2004; Lau *et al.*, 2016) actually have spatially biased sensitivity, as suggested by Lau *et al.* (2018). For example, the 3D viscosity Fréchet derivatives for the rate of change of the degree 2 zonal harmonic of Earth's geopotential (\dot{J}_2) shows that this observation is predominantly sensitive to deep mantle structure beneath the former Laurentide ice sheet (Kim *et al.*, 2022), potentially biasing lower mantle viscosity estimates.

Building on the work of Al-Attar & Tromp (2013) and Crawford *et al.* (2018), we focus here on addressing

the longstanding but fundamental question: How do palaeo sea-level observations sense solid Earth structure? By answering this question, we ultimately lay the foundation for imaging 3D mantle viscosity directly from GIA observations and, to aid in this development, we will draw parallels to, and borrow from, seismology. We begin by briefly explaining why the adjoint method is an appropriate tool for this problem and provide a summary of the necessary equations for defining and calculating viscosity and initial sea-level Fréchet derivatives (Section 2), a topic that is covered in more detail within Appendix A. We then present further development of the rate formulation for the forward and adjoint GIA problem, including consideration of relative sea-level observations, lateral variations in viscosity, and a gradient-based optimization scheme to recalibrate the initial sea level in order to ensure simulation compatibility with *known* present-day sea level (Section 3). Next, we discuss the forward and adjoint GIA simulation setup and a new inference of 3D viscosity obtained by applying the approach of Richards *et al.* (2020) and Austermann *et al.* (2021) to the shear wave speeds of GLAD-M25 (Bozdağ *et al.*, 2016; Lei *et al.*, 2020) (Section 4). Using these new tools, we demonstrate the initial sea-level recalibration and examine how the evolution of sea level is influenced by different viscosity models and ocean-loading histories (Section 5.1 and 5.2). This demonstration is followed by a discussion of viscosity Fréchet derivatives for observations of both absolute and relative sea level that focuses on identifying how physical processes (e.g., ocean siphoning and expulsion) are manifested, their big-picture implications, and how these two observation types differ in their sensitivity to viscosity. Through these simple examples that adopt a 1D radial viscosity model, we aim to begin building the necessary intuition and skills for both observational and theoretical scientists to *read* viscosity Fréchet derivatives, much like seismologists *read* seismograms. We then examine the effect of a more realistic 3D viscosity model on the viscosity Fréchet derivatives for observations of relative sea level. Finally, the methods, results, and intuition built herein are used to inform a companion paper (Lloyd *et al.*, in prep) that explores strategies for imaging 3D mantle viscosity with synthetic palaeo sea-level data.

2 Review of the Rate Formulation of the Forward and Adjoint GIA Problem

The first step towards data-driven inversions of GIA observations is to determine how an observation changes in response to a change in the relevant model parameters. For simplicity, if we consider the viscosity, η , of Earth's mantle as the only free model parameter. Then for a given viscosity structure, we can solve the forward GIA problem in order to obtain all possible surface observables and evaluate a scalar-valued functional, F , which could simply be an observation (e.g., sea level, SL) or a suitably designed misfit function. Thus, the value of F implicitly depends on the viscosity, η , and can be written as $F(\eta)$.

If a viscosity perturbation, $\delta\eta$, is applied to the adopted viscosity structure, we can to first-order write

$$F(\eta + \delta\eta) = F(\eta) + \int_M K_{\ln \eta} \delta \ln \eta \, dV + \dots, \quad (1)$$

where $\delta \ln \eta = \frac{\delta\eta}{\eta}$, dV indicates a volume integral over the region, M , and \dots indicates higher-order terms associated with the perturbation $\delta\eta$. Note that the use of $\ln \eta$ as a model parameter rather than η is a choice that is made for convenience. The function, $K_{\ln \eta}$, is known as the Fréchet derivative of F with respect to $\ln \eta$.

143 Furthermore, it is also common and useful to rewrite eq. (1) as

$$\delta F = \int_M K_{\ln \eta} \delta \ln \eta dV, \quad (2)$$

144 where it is understood that δF is the first-order change in the functional F in response to a perturbation, $\delta \ln \eta$.
 145 Written in this form, we can intuitively understand the meaning of the Fréchet derivative (i.e., $K_{\ln \eta}$). In this
 146 example, positive (negative) values of $K_{\ln \eta}$ indicates that an increase in viscosity at those locations within the
 147 Earth will lead to an increase (decrease) in F at the observation site. The corresponding size of the change in
 148 F depends on the magnitude of $K_{\ln \eta}$. Thus, by plotting the Fréchet derivative, $K_{\ln \eta}$, for a given functional we
 149 can visualize, to first-order accuracy, how its value is influenced by a change in viscosity.

150 In the event more than one model parameter is considered, the Fréchet derivative of F with respect to each
 151 of the model parameters can be introduced. For example, in addition to viscosity, we can include initial sea
 152 level, SL_0 , as a further model parameter (Section 3.3). In the forward GIA problem the initial sea level enters
 153 as an initial condition describing sea level (i.e., the negative of topography) at the beginning of the simulation.
 154 Our functional, F , then has an implicit dependence on both η and SL_0 and we can generalise eq. (1) to

$$F(\eta + \delta\eta, SL_0 + \delta SL_0) = F(\eta, SL_0) + \int_M K_{\ln \eta} \delta \ln \eta dV + \int_{\partial M} K_{SL_0} \delta SL_0 dS + \dots, \quad (3)$$

155 where K_{SL_0} is the Fréchet derivative of F with respect to the initial sea level and dS indicates a surface integral
 156 over the region S . Recall that we write and retain only first-order terms, hence cross-terms between $\delta \ln \eta$ and
 157 δSL_0 are represented by \dots . However, both Fréchet derivatives do depend on the unperturbed values of the
 158 model parameters (i.e., η and SL_0). Thus, their physical interpretation remains the same, but it is understood
 159 that they express the linearized sensitivities to one model parameter when the other parameters are held fixed.

160 A simple, albeit brute-force, approach to determining these Fréchet derivatives is the finite-difference
 161 method. Here the model parameters are first expressed using a finite-dimensional basis that is either deemed
 162 to be physically appropriate or has been accepted for pragmatic reasons. Supposing that there are n -degrees
 163 of freedom in this basis, a cost of $n + 1$ individual GIA simulations are required to compute a single Fréchet
 164 derivative: one simulation for the unperturbed problem and n additional simulations that individually perturb
 165 each of the model parameters in turn (e.g., Mitrovica & Peltier, 1991; Paulson *et al.*, 2005; Wu, 2006). Given
 166 that n is large for GIA simulations that attempt to capture realistic variations in 3D viscosity structure, that these
 167 simulations are computationally expensive (e.g., Latychev *et al.*, 2005), and that within an iterative inversion,
 168 these Fréchet derivatives need to be computed many times, it is clear that such an approach is impractical.
 169 Instead, we follow the lead of seismic tomography (e.g., Tromp *et al.*, 2004; Fichtner *et al.*, 2006) and use the
 170 adjoint method to calculate Fréchet derivatives with just two numerical simulations: a forward simulation driven
 171 by the ice history and a time-reversed adjoint simulation driven by *fictitious* loads applied at the observation
 172 sites at appropriate times (Al-Attar & Tromp, 2013; Crawford *et al.*, 2018).

173 In Appendix A we provide a detailed review of the forward and adjoint GIA problems and discuss their
 174 key assumptions, as laid out by Al-Attar & Tromp (2013) and Crawford *et al.* (2018). In addition, Appendix A
 175 includes a table defining the variables of the forward and adjoint GIA problem (Table A1). This review is
 176 accompanied by a schematic overview of the adjoint method that sheds light on the derivation of the adjoint

equations, including the origin of the *fictitious* loads and the time-reversed nature of the simulation, as well as the derivation of Fréchet derivatives for relevant model parameters (e.g., viscosity). We encourage the interested reader to consult Appendix A, but in the interests of brevity, restrict ourselves here to just stating and reviewing the relationships required to calculate viscosity and initial sea-level Fréchet derivatives.

As shown in Appendix A.2, the Fréchet derivative for a given model parameter (e.g., viscosity) can be obtained by perturbing the *Lagrangian* (eq. A15) with respect to that parameter. If we assume a Maxwell rheology, then the Fréchet derivative with respect to $\ln \eta$ takes the form

$$K_{\ln \eta} = \int_{t_0}^{t_1} \frac{1}{2\eta} \boldsymbol{\tau} : \boldsymbol{\tau}^\dagger dt, \quad (4)$$

where t_0 is the time corresponding to the beginning of the simulation and t_1 is its end. The $:$ denotes the contraction between deviatoric stress tensors from the forward, $\boldsymbol{\tau}$, and adjoint, $\boldsymbol{\tau}^\dagger$, simulations. Although evaluation of eq. (4) is straightforward, it can become cumbersome in practice because the deviatoric stress at each time step of the forward simulation must be saved and therefore requires significant memory or disk space. In a similar manner, we can obtain the initial sea-level Fréchet derivative by perturbing the *Lagrangian* (eq. A15) with respect to SL_0 , yielding

$$K_{SL_0} = \rho_w g SL_0^\dagger(t_1^\dagger), \quad (5)$$

where ρ_w is the density of water, g is the magnitude of gravitational acceleration, and $SL_0^\dagger(t_1^\dagger)$ is the adjoint sea level at the final timestep, t_1^\dagger , of the adjoint simulation. Note that this time is equivalent to the initial time, t_0 of the forward simulation and that both Fréchet derivative equations (1) and (2) are equivalent to those determined by Al-Attar & Tromp (2013) and Crawford *et al.* (2018).

Thus far, we have referred to K_* as the Fréchet derivative, where $*$ indicates an arbitrary model parameter. More commonly, however, if F is an observation then K_* is called the *sensitivity kernel* and if F is a misfit function then K_* is termed the *gradient* or more formally the gradient of the misfit function with respect to the model parameter. From here forward we adopt this nomenclature, but fallback on *Fréchet derivative* when the nature of F is ambiguous.

Finally, the units of the Fréchet derivative, K_* , directly depends on the units of the functional, F , and they can be most easily obtained by examining the expression for the first-order change in the functional. To illustrate this let us allow F to be a sea-level observation in units of meters and consider the viscosity *sensitivity kernel*, $K_{\ln \eta}$. Thus, by inspection of eq. (2) we see that the units of this sensitivity kernel must be m^{-2} . Similarly, if F is a $L2$ misfit function with units of m^2 and we now consider the *gradient* of the misfit function with respect to the initial sea level (eq. (5)), then by inspection of the surface integral in eq. (3) we see the units of the *gradient* are m^{-1} . These two examples are exactly the units of the viscosity sensitivity kernels discussed in section 5.3 and 5.4 and the *gradient* used in the iterative inversion for initial sea level discussed in Section 3.3 and 5.2. However, these units are not easily obtained by inspection of eqs. (4) and (5) because adjoint variables need not have the same units as their forward variable counterparts (e.g., $\boldsymbol{\tau}$ and $\boldsymbol{\tau}^\dagger$). Instead the adjoint variable units depend on those of the adjoint loads and ultimately on those of the functional, F .

3 Further Development of the Rate Formulation of the Forward and Adjoint GIA Problem

The adjoint method has previously been used to calculate viscosity sensitivity kernels for sea-level observations assuming a 1D radial viscosity structure (Crawford *et al.*, 2018). In that study, only observations of sea level at a given location and instant in time were considered and, for clarity, we refer to these as *absolute sea-level* observations. Here, we make two developments. Firstly, since Earth’s viscosity structure is laterally heterogeneous in reality, it is desirable to understand how this heterogeneity influences solid Earth deformation and by extension the structure of the viscosity sensitivity kernel. Secondly, since observations of palaeo sea level are always made and reported relative to present-day sea level (e.g., Khan *et al.*, 2019), they are therefore a measure of the change in sea level between that time, t_{obs} , and present day, t_p , rather than existing in the absolute reference frame. We note that these relative sea-level observations serve as the building blocks for related palaeo sea-level observations including the rate of sea-level change, the timing of sea-level highstands or transgressions (e.g., Nakada & Lambeck, 1989), as well as relative sea-level curves and spatiotemporal fields (e.g., Creel *et al.*, 2022). Although understanding how these more complex observations sense Earth’s viscosity structure is important, we focus here only on the more fundamental observations of absolute and relative sea level, their relationship, and the influence of 3D viscosity structure on sensitivity kernels for relative sea-level observations. We note however, that figures comparing these kernels with those for absolute sea-level observations may be found in the supplementary materials. Thus, we must derive the adjoint loads required to calculate sensitivity kernels for observations of relative sea level (Section 3.1) and incorporate 3D viscosity structure into both the forward and adjoint GIA simulations (Section 3.2). In order to genuinely compare sensitivity kernels based on different combinations of Earth structure and ice history, we also require an approach to ensure that the forward GIA simulation converges to the same final sea level regardless of the prescribed physical parameters (Section 3.3).

3.1 Adjoint Loads for Sea-level Observations

Thus far, we have not directly addressed the form of the *fictional* adjoint loads that drive the adjoint GIA simulations and allow us to calculate sensitivity kernels for observations related to the solid Earth, gravity, or sea level. The adjoint loads associated with these observations, as demonstrated in Appendix A.2, are obtained by taking the first order perturbation of the scalar-valued function, $F(\mathbf{u}, \phi, SL)$, with respect to the forward variables and can be schematically written as

$$\delta F = \int_{t_0}^{t_1} \int_{\partial M} (\dot{\mathbf{h}}_{\mathbf{u}} \cdot \delta \mathbf{u} + \dot{h}_{\phi} \delta \phi + \dot{h}_{SL} \delta SL) \, dS \, dt, \quad (6)$$

where $\dot{\mathbf{h}}_{\mathbf{u}}$, \dot{h}_{ϕ} , and \dot{h}_{SL} are the Fréchet derivative of F with respect to solid Earth displacement (\mathbf{u}), gravitational potential perturbation (ϕ), and sea level (SL), respectively. This sum is then integrated over the surface, ∂M , and over the duration of the simulation from t_0 to t_1 . Note that these Fréchet derivatives are defined to be the time-derivative of some underlying functions, $\mathbf{h}_{\mathbf{u}}$, h_{ϕ} , and h_{SL} . This formulation is chosen to maximize the symmetry between the forward and adjoint problems. We now derive the adjoint loads for observations of

absolute sea level and relative sea level. Although the former is presented by Crawford *et al.* (2018), we begin by rederiving it here in order to demonstrate how these two types of observations are related, but also how they differ in the information that they convey.

Following Crawford *et al.* (2018), as well as our generalized discussion of the adjoint method (Appendix A.2), we can determine the adjoint loads by schematically perturbing the scalar-valued functional F with respect to the state variables U . For an observation of absolute sea level at a given location and time, $SL(\mathbf{x}_{obs}, t_{obs})$, this leads to

$$\delta F = \int_{t_0}^{t_1} \int_{\partial M} \delta SL(\mathbf{x}, t) \delta(\mathbf{x} - \mathbf{x}_{obs}) \delta(t - t_{obs}) dS dt \quad (7)$$

where $\delta(\mathbf{x} - \mathbf{x}_{obs})$ and $\delta(t - t_{obs})$ are Dirac delta functions centered at the observation site, \mathbf{x}_{obs} , and time, t_{obs} . From this equation, we see that the necessary functions defining the Fréchet derivatives are

$$\dot{\mathbf{h}}_{\mathbf{u}} = \mathbf{0}, \quad \dot{h}_{\phi} = 0, \quad \dot{h}_{SL} = \delta(\mathbf{x} - \mathbf{x}_{obs}) \delta(t - t_{obs}), \quad (8)$$

which are the values required by eqs. (A16) and (A17) for an absolute sea-level observation at a given point in space and time.

We now undertake a similar procedure, but begin with the definition of relative sea level

$$RSL(\mathbf{x}_{obs}, t_{obs}) = SL(\mathbf{x}_{obs}, t_{obs}) - SL(\mathbf{x}_{obs}, t_p), \quad (9)$$

where t_p is the present-day time, which is synonymous with t_1 in our study. Again, we perturb F with respect to the state variables U , which for a relative sea-level observation results in

$$\delta F = \int_{t_0}^{t_1} \int_{\partial M} \delta SL(\mathbf{x}, t) [\delta(\mathbf{x} - \mathbf{x}_{obs}) \delta(t - t_{obs}) - \delta(\mathbf{x} - \mathbf{x}_{obs}) \delta(t - t_p)] dS dt \quad (10)$$

From this equation, it readily follows that the necessary functions are now

$$\dot{\mathbf{h}}_{\mathbf{u}} = \mathbf{0}, \quad \dot{h}_{\phi} = 0, \quad \dot{h}_{SL} = \delta(\mathbf{x} - \mathbf{x}_{obs}) \delta(t - t_{obs}) - \delta(\mathbf{x} - \mathbf{x}_{obs}) \delta(t - t_p). \quad (11)$$

Examining eq. (11), we see that it is composed of two *fictitious* loads of equal magnitude and opposite signs that are applied at times t_{obs} and t_p . Moreover, by comparing eqs. (11) and (8), we see that the former fundamentally consists of two absolute sea-level adjoint loads, hence the sensitivity kernels for relative sea-level observations can be obtained in one of two ways: (1) by using both adjoint loads in a single adjoint simulation, or (2) by using each adjoint load in an independent adjoint simulation and then taking the difference of the resulting absolute sea-level sensitivity kernels (i.e., $K_{SL}(\mathbf{x}_{obs}, t_{obs}) - K_{SL}(\mathbf{x}_{obs}, t_p)$). This property of superposition, which is routinely exploited in seismic tomography (e.g., Tape *et al.*, 2007; Bozdağ *et al.*, 2016; Lloyd *et al.*, 2020; Lei *et al.*, 2020), is of critical importance and will be exploited in our companion paper to image 3D viscosity using palaeo sea-level observations.

3.2 Numerical Implementation of 3D Viscosity

The introduction of lateral viscosity heterogeneity adds some complexity to solving the forward and adjoint GIA equations using a pseudo-spectral method, which was previously identified and solved by Martinec (2000). This complexity occurs in the first integral term on the right-hand side of eqs. (A2) and (A17), which describes the viscous response of the system. A brief review of the numerical implementation of these equations as described by Crawford *et al.* (2018) is provided in Appendix B and we will invoke aspects of this review in what follows.

Our implementation of lateral viscosity heterogeneity within the forward and adjoint GIA simulations is discussed in Crawford (2019) and in essence follows Martinec (2000). Here, we focus on the viscous response as it appears in the reduced weak form of the forward GIA problem, eq. (A2), but note that a similar integral term also appears in the adjoint GIA problem, eq. (A17). These integral terms are identical up to the exchange of the forward and adjoint variables ($\{\mathbf{m}, \mathbf{d}\} \leftrightarrow \{\mathbf{m}^\dagger, \mathbf{d}^\dagger\}$; defined in table A1), and thus, are evaluated in the same manner. When working with a 1D radial viscosity structure, we are required to evaluate

$$\int_0^{R_\oplus} \int_{\partial M_r} 2\mu(r) \left[\dot{\mathbf{m}} : \mathbf{m}' + \frac{1}{\tau(r)} (\mathbf{d} - \mathbf{m}) : (\mathbf{d}' - \mathbf{m}') \right] dS dr = \int_0^{R_\oplus} \frac{2\mu(r)}{\tau(r)} \int_{\partial M_r} (\mathbf{d} - \mathbf{m}) : \mathbf{d}' dS dr \quad (12)$$

where the shear modulus, $\mu(r)$, and the *Maxwell relaxation time*, $\tau^{-1}(r)$ being only functions of radius, r , are brought outside of the inner integral. Thus, the remaining terms within the inner angular integral can be expanded using generalized spherical harmonics and evaluated using the appropriate orthogonality relations.

In contrast, if viscosity varies laterally, we have to consider the more complicated expression

$$\begin{aligned} \int_0^{R_\oplus} \int_{\partial M_r} 2\mu(r) \left[\dot{\mathbf{m}} : \mathbf{m}' + \frac{1}{\tau(r, \theta, \varphi)} (\mathbf{d} - \mathbf{m}) : (\mathbf{d}' - \mathbf{m}') \right] dS dr \\ = \int_0^{R_\oplus} 2\mu(r) \int_{\partial M_r} \frac{1}{\tau(r, \theta, \varphi)} (\mathbf{d} - \mathbf{m}) : \mathbf{d}' dS dr. \end{aligned} \quad (13)$$

Because our numerical implementation only permits a 1D radial elastic and density structure, the shear modulus, $\mu(r)$, must remain only a function of r . Thus, to accommodate lateral variations in the viscosity the *Maxwell relaxation time*, $\tau(r, \theta, \varphi)$, now also has an angular dependence indicated by $\{\theta, \varphi\}$. To evaluate this integral term we use a pseudo-spectral approach (e.g., Fornberg, 1998; Kendall *et al.*, 2005), where certain operations are performed within the spatial domain (e.g., multiplication) and others in the spectral domain (e.g., differentiation) and fast transformations are used to pass fields between these two domains.

Despite only radial load changes at the surface due to the evolving ice sheets and oceans, the introduction of lateral viscosity heterogeneity gives rise to forces that now excite toroidal displacements. We note, however, that even in a laterally homogeneous Earth, the adjoint GIA problem can also excite toroidal displacement through the presence of tangential surface tractions in the adjoint load, which for example occurs for observations of horizontal solid Earth deformation. Thus, the reduced weak form of both the forward and adjoint GIA problems (eqs. (A2) and (A17)) may be schematically written for each spherical harmonic degree- l as two coupled sets of linear equations

$$\mathbf{A}_l^s \dot{\mathbf{x}}_{lm}^s + \mathbf{g}_{lm}(\dot{\mathbf{x}}) = \mathbf{b}_{lm}^s, \quad (14)$$

296 and

$$\mathbf{A}_l^t \dot{\mathbf{x}}_{lm}^t = \mathbf{b}_{lm}^t, \quad (15)$$

297 where the superscripts s and t denote the spheroidal and toroidal subsystems, respectively. Again focusing on
 298 the reduced weak form of the forward GIA problem, eq. (A2), the matrices \mathbf{A}_l^* are constructed from its first
 299 term, \mathcal{A} , which is the Bilinear form associated with the elasto-gravitational forces (Al-Attar & Tromp, 2013;
 300 Crawford *et al.*, 2018). The vector $\dot{\mathbf{x}}_{lm}^s$ contains the unknown spheroidal components, $\{\dot{U}_{lm}, \dot{V}_{lm}, \dot{\phi}_{lm}\}$, while
 301 the unknown toroidal component, \dot{W}_{lm} , is contained within the vector $\dot{\mathbf{x}}_{lm}^t$. Next, the vectors \mathbf{b}_{lm}^* contain the
 302 integral terms on the right-hand side of eq. (A2) and contain the memory of the system and the forcing due to
 303 the ice load change, all of which are known or readily calculated. Finally, the vector, $\mathbf{g}_{lm}(\dot{\mathbf{x}})$, originates from
 304 the second integral term of eq. (A2) that describes the radial forcing of the ocean and hence only arise in the
 305 spheroidal subsystem. As discussed in Appendix B, we solve eq. (14) iteratively and eq. (15) directly. In turn,
 306 solutions to these systems of equations can be mapped back into the more familiar spherical coordinate system
 307 (see Appendix B of Crawford *et al.*, 2018 or Appendix C of Dahlen & Tromp, 1999). Thus, by adopting these
 308 changes, we can now solve the forward and adjoint GIA problem subject to either a 3D viscosity structure or
 309 surface tractions at any given instant in time.

310 3.3 Recalibration of Initial Sea Level using the Adjoint Method

311 Predictions of past or future sea level and topography, regardless of the adopted Earth structure and ice history,
 312 should result in realistic topography that matches the observed present-day topography. This initial value
 313 problem is well-known within the GIA community and is commonly addressed by iteratively updating the
 314 prescribed initial sea level by subtracting the difference between the predicted and observed present-day sea
 315 level until the desired level of accuracy is achieved (e.g., Kendall *et al.*, 2005). Here, we take a different approach
 316 that uses the adjoint method in combination with gradient-based optimization (as suggested by Crawford *et al.*,
 317 2018). We will find this approach particularly useful in future work that simultaneously updates multiple model
 318 parameters (e.g., mantle viscosity and initial sea level; Lloyd *et al.*, in prep). For now, we focus on the basics of
 319 recalibrating the initial sea level for any set of Earth and ice history models.

320 In our approach, each iteration, i , begins with a forward GIA simulation that is initiated, in part, by the
 321 current estimate of initial sea level and is followed by calculating the misfit at the present day, t_p , according to
 322 the function

$$\mathcal{J}^i = \frac{1}{2} \int_{\partial M} \left[SL_{prd}^i(\mathbf{x}, t_p) - SL_{obs}(\mathbf{x}, t_p) \right]^2 dS. \quad (16)$$

323 Here, $SL_{prd}(\mathbf{x}, t_p)$ and $SL_{obs}(\mathbf{x}, t_p)$ are the present-day predicted and observed sea level, respectively, at
 324 position $\mathbf{x} \in \partial M$. We next calculate the adjoint loads in the same manner as in Section 3.1, but now by
 325 perturbing eq. (16) with respect to SL_{prd} , yielding

$$\dot{h}_{SL} = \left[SL_{prd}^i(\mathbf{x}, t_p) - SL_{obs}(\mathbf{x}, t_p) \right] \delta(t - t_p), \quad (17)$$

326 where, again, $\dot{h}_{\mathbf{u}}$ and \dot{h}_{ϕ} are zero. We see that the sea-level adjoint load described by eq. (17) is nearly identical
 327 to that of eq. (8), with the exception that it may have nonzero values globally and is weighted by the difference
 328 between the predicted and observed present-day sea level. It is this weighted adjoint load that drives the adjoint

GIA simulation in the initial sea-level recalibration and, due to these weights, we now obtain the gradient of the misfit function with respect to the initial sea-level, $D_{SL_0}\mathcal{J}^i$, through eq. (5). Note that eq. (5) depends on the adjoint sea level at the final adjoint time, t_1^\dagger , or equivalently at the the initial time, t_0 , of the forward GIA simulation. Thus, for each iteration we must complete the full viscoelastic GIA simulation. This is consistent with the equations of Crawford *et al.* (2018), but not the manuscript text where, due to a typographic error, it is stated that only the elastic adjoint problem needs to be solved. In the calculations of this study, we use the correct expression as revised above.

With the gradient in hand, we can determine the search direction and step length necessary to find a new initial sea level that minimizes the misfit function of eq. (16). Empirically, we have determined that greater misfit reduction and a better overall match to the present-day sea level can be obtained through a strategy that starts with a low-pass filter of the gradient before retaining higher-degree information in later iterations. Here filtering is performed in the spherical harmonic domain by applying a one-sided Hanning taper as a function of degree- l , which has weights of

$$w(l) = \begin{cases} 1, & 0 \leq l < l_c \\ \frac{1}{2} \left[1 - \cos \left(\pi \frac{l_{max}-l}{l_{max}-l_c} \right) \right], & l_c \leq l \leq l_{max} \\ 0, & \text{otherwise} \end{cases} \quad (18)$$

where l_{max} is the maximum spherical harmonic degree and l_c is the cut-off degree (i.e., corner frequency). In the example of Section 5.2 l_{max} is 64 and we set l_c to 60 when smoothing is applied to the gradient. We will discuss these choices further in Section 5.2. For now, we need only distinguish the smoothed or, in more general terms, preconditioned gradient as $PD_{SL_0}\mathcal{J}^i$, where P is an arbitrary preconditioning operator.

The gradient, $D_{SL_0}\mathcal{J}^i$, and preconditioned gradient, $PD_{SL_0}\mathcal{J}^i$, are used to determine the search direction using the method of steepest descent (Cauchy, 1847). We have also explored using the conjugate gradient method instead (Polak & Ribiere, 1969), but leave discussion of this algorithm to the companion paper (Lloyd *et al.*, in prep). In the steepest descent method, the search direction, ψ^i , is equal to the negative of the preconditioned gradient. Thus, updates to the initial sea level, SL_0 , can be obtained using

$$SL_0^{i+1} = SL_0^i + \alpha \psi^i, \quad (19)$$

where α is the step length, for which we seek the optimum value that minimizes the misfit in eq. (16).

We determine the optimal step length for α by assuming that the misfit along the projection of the search direction forms a parabola, similar to the approach used by Tape *et al.* (2007) for seismic tomography. Given that at $\alpha = 0$, we already have the misfit, \mathcal{J}^i , and can readily obtain the slope of this parabola by calculating the directional derivative along the search direction (i.e., $\langle D_{SL_0}\mathcal{J}^i, \psi^i \rangle$), we thus need only determine the misfit for a trial step length. Here, this length is taken to be twice the x -intercept of the line described by the misfit and slope at $\alpha = 0$, which is

$$\alpha_t = -2 \frac{\mathcal{J}^i}{\langle D_{SL_0}\mathcal{J}^i, \psi^i \rangle}. \quad (20)$$

The resulting initial sea-level, $SL_0^i + \alpha_t \psi^i$, is then used to perform another forward GIA simulation and we again

calculate the misfit, $\mathcal{J}_{\alpha_t}^i$. With these pieces of information, we can now determine a unique quadratic curve and its minimum value

$$\alpha = \frac{\langle D_{SL_0} \mathcal{J}^i, \psi^i \rangle \alpha_t^2}{2 (\mathcal{J}^i - \mathcal{J}_{\alpha_t}^i + \langle D_{SL_0} \mathcal{J}^i, \psi^i \rangle \alpha_t)}, \quad (21)$$

which is a suitable step length that can be used to obtain a revised estimate for the initial sea level, SL_0^{i+1} , using eq. (19). In the above procedure, it is critical to distinguish between the gradient, $D_{SL_0} \mathcal{J}^i$, and preconditioned gradient, $PD_{SL_0} \mathcal{J}^i$, since a failure to do so may cause the parabolic assumption to break down and result in an ineffective estimate of the optimal step length. The degree to which this occurs depends on the extent that the gradient is modified by preconditioning. Finally, this procedure is iteratively repeated until the convergence criteria is met. For recalibration of initial sea level, we choose the convergence criterium to be $|SL_{prd}^i(\mathbf{x}, t_p) - SL_{obs}(\mathbf{x}, t_p)| < 0.5 \text{ m } \forall \mathbf{x} \in \partial M$ (i.e., the total difference between the predicted and observed present day sea level is less than 50 cm), based on the GIA benchmark study of Martinec *et al.* (2018).

4 Forward and Adjoint GIA Simulation Setup

Throughout this work, we perform forward and adjoint GIA simulations at spherical harmonic degree 64 and for a duration of 26 kyr (i.e., 26 ka to 1950 CE) using a spatially filtered version of the ICE6G(VM5a) ice history model (Figure 1; Argus *et al.*, 2014; Peltier *et al.*, 2015). The initial sea level (i.e., topography) at 26 ka is prescribed and is either SL_0^0 or SL_0^7 , which are detailed in Sections 5.1 and 5.2). For the solid Earth structure, we use the 1D elastic and density structure of PREM (Dziewonski & Anderson, 1981) in combination with either a filtered and truncated 3D viscosity structure (Figure 2) or its 1D radial representation (Section 4.1; Supp. Figure S4). Our 3D viscosity structure is based on the shear-wave speed model of GLAD-M25 (Bozdağ *et al.*, 2016; Lei *et al.*, 2020) and its creation will be discussed in detail in Section 4.1. Nevertheless, a couple of pertinent details are relevant to the setup of the forward and adjoint GIA simulations. For example, both viscosity models extend to Earth's surface and thus, our simulations do not formally include an elastic lithosphere. The extent of high-viscosity regions in combination with the load change characteristics instead determine which regions are dominated by elastic deformation. In this manner, simulations containing lateral viscosity variations also include effects due to changes in lithospheric thickness.

Given the low resolution of the forward and adjoint GIA simulations in comparison to the resolution of the input fields (e.g., 3D viscosity structure, surface topography, and ice thickness), we spatially filter these data sets to avoid aliasing and minimize the Gibbs phenomenon due to truncation of the spherical harmonic series to degree 64. This low-pass filtering is achieved by applying a one-sided Hanning taper as a function of degree, l (eq. 18), to each of the fields in the spectral domain. Unlike our previous application of eq. (18), the cut-off degree l_c is set equal to 0, such that degree 0 (i.e., the spherical mean) is the only degree to retain its original amplitude.

As discussed in Appendix B, the simulations use an explicit time-stepping scheme and this time step is approximately one half of the smallest *Maxwell relaxation time*. In the case of our 1D viscosity structure, this time step is 50 years, while for our initial inference of 3D viscosity structure (Supp. Figure S2), the required time step would be approximately 0.05 years. In the latter case, a single forward or adjoint simulation on a single compute node using OpenMP would take approximately two weeks and require more than a terabyte of

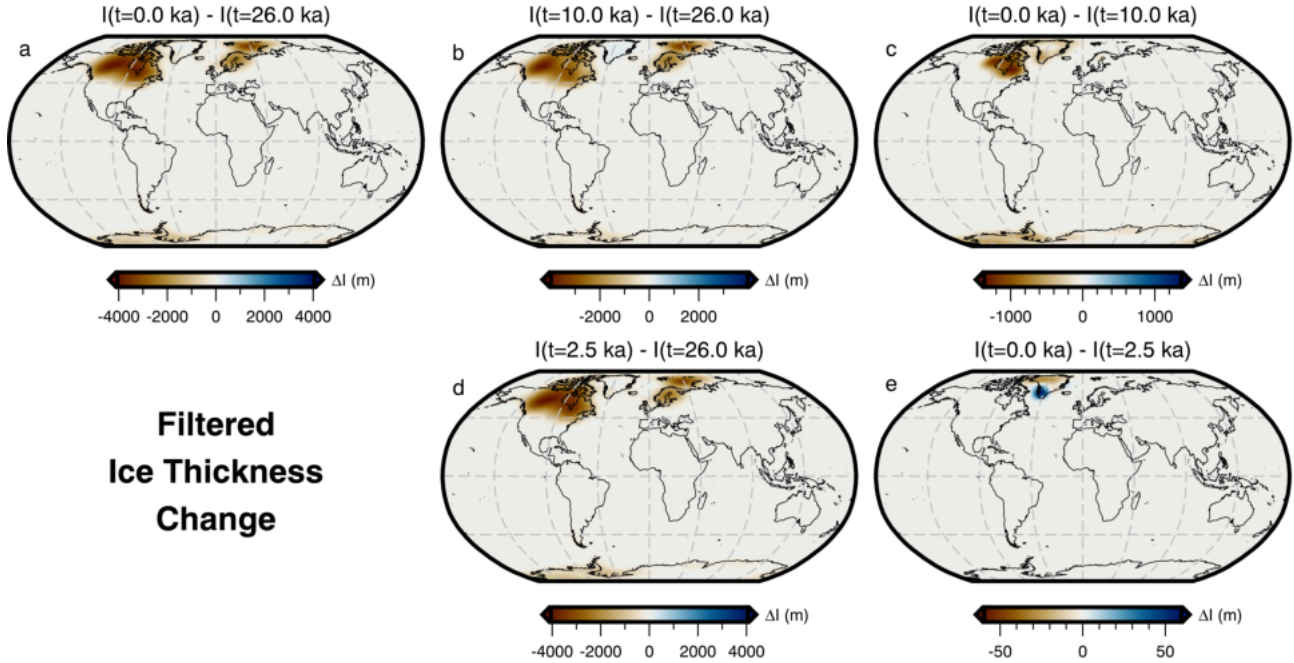


Figure 1: **Ice thickness changes.** Maps of low-pass filtered ice-thickness change based on the ICE6G(VM5a) ice-history model (Argus *et al.*, 2014; Peltier *et al.*, 2015) between (a) 26–0 ka, (b) 26–10 ka, (c) 10–0 ka, (d) 26–2.5 ka, and (e) 2.5–0 ka. Panels a, b, and c are most appropriate for understanding the load changes associated with absolute sea-level observations at 10 ka and 0 ka and the relative sea-level spanning 10–0 ka (Sections 5.3 and 5.4). Likewise, panels a, d, and e are most appropriate for understanding the load changes associated with absolute sea-level observations at 2.2 ka and 0 ka and the relative sea-level spanning 2.2–0 ka (Section 5.4).

memory to store the needed forward variables for the viscosity kernel calculation (eq. 4). This requirement exceeds our computational resources and, due to the exploratory nature of this study, we instead choose to limit the minimum viscosity to 2×10^{19} Pa·s. This modification ensures that a time step of 1 year is sufficient and each simulation only requires 18 hours to run. Admittedly, this constraint leads to a slight shift in the global average viscosity, but it does minimize further degrading structural features of the 3D viscosity inference. In spite of these changes, calculating the viscosity sensitivity kernels (eq. 4) remains memory intensive because the deviatoric stress tensor, τ , from the forward problem must be saved either in memory or on disk. For our purposes, we found it sufficient to save the deviatoric stress tensor every 50 years and numerically integrate eq. 4 using the rectangle rule.

4.1 An Inference of 3D Mantle Viscosity from GLAD-M25

We construct a new inference of 3D mantle viscosity based on a similar approach to Austermann *et al.* (2021) and using the Voigt average shear-wave speeds of GLAD-M25 (Supp. Figure S1; Bozdağ *et al.*, 2016; Lei *et al.*, 2020). GLAD-M25 is the second generation of a global adjoint tomography model (Bozdağ *et al.*, 2016), whose starting point consists of the S362ANI seismic model of Kustowski *et al.* (2008) combined with crustal structure from CRUST2.0 (Bassin, 2000). Its construction over the course of 25 iterations minimizes the phase

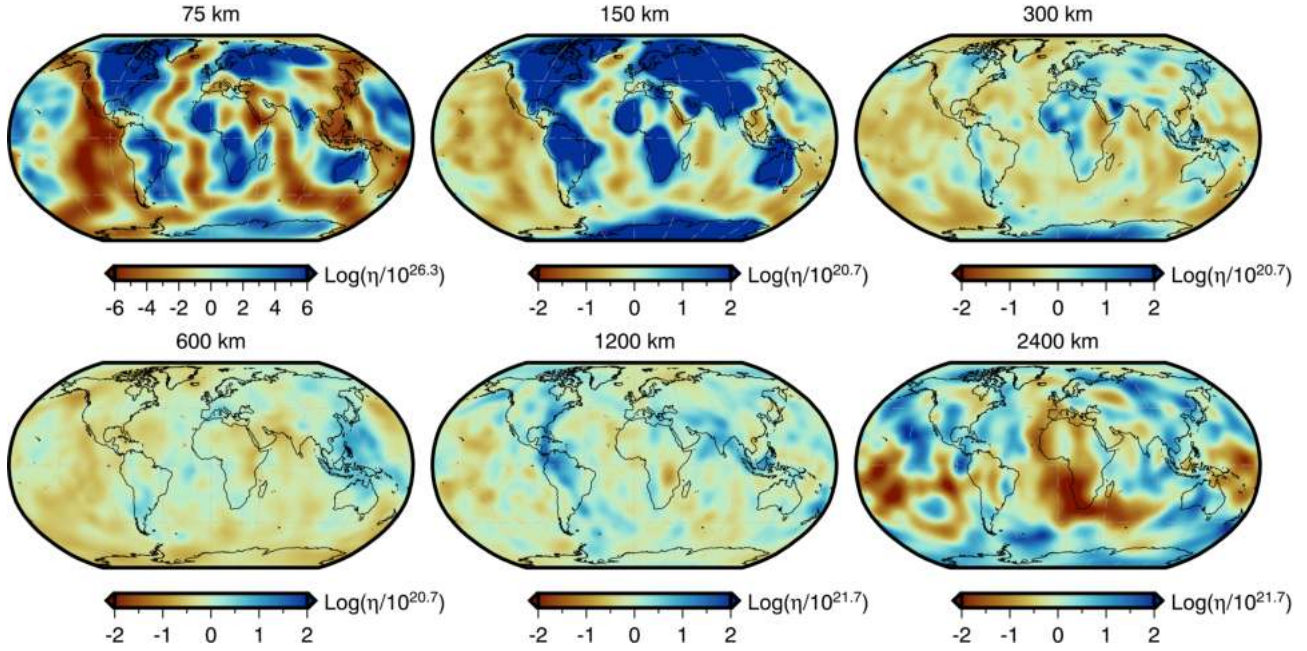


Figure 2: **Filtered and truncated 3D viscosity structure.** Depth slices through the filtered and truncated version of our inferred 3D viscosity model (unfiltered version shown in Supp. Figure S2). Viscosity anomalies at each depth are relative to the 1D radial model described at the end of Section 4.1 and shown in Supp. Figure S4. This 3D model is used in the forward and adjoint simulations of Section 5.2 to determine a target present-day sea level for recalibration of the initial sea level. It is also used in Section 5.4 to explore the effect of 3D structure on viscosity sensitivity kernels.

misfit of three-component body and surface waves (periods of 17–25 and 40–250 seconds, respectively), as well as reflections and overtones, from 1480 earthquakes. This minimization is achieved using gradient-based optimization in combination with the adjoint method and the computational package SPEC-FEM3D_GLOBE (Komatitsch & Tromp, 2002a,b), which allows for accurate and efficient calculation of both synthetic three-component seismograms and the gradient of the misfit function with respect to the model parameters. Although a formal assessment of the model’s resolution remains a challenge, point-spread function tests (Fichtner & Trampert, 2011) and comparisons with other global and regional tomography models suggest that GLAD-M25 is slowly beginning to close the gap between global and regional studies in densely sampled areas (Lei *et al.*, 2020). Nevertheless, we acknowledge that the absence of surface waves at periods less than 40 seconds suggests that the uppermost mantle may be less well resolved than in other global upper mantle tomography models (e.g., Schaeffer & Lebedev, 2013). We note, however, that no current global tomography model has the required resolution to capture the shallow fine-scale structure that will be important for GIA modeling.

Our inference of 3D mantle viscosity consists of three components: 1) an inverse calibration scheme for the upper mantle (Richards *et al.*, 2020), 2) a traditional inference for the transition zone and lower mantle (Austermann *et al.*, 2021), and 3) a merging of the two domains, which includes near surface corrections and additional rheological constraints. In all instances, we relate shear-wave speed and attenuation to steady-state diffusion creep viscosity or viscosity perturbations by way of temperature. In so doing, we account for both

linear anharmonic (Kumazawa & Anderson, 1969) and non-linear anelastic (Cammarano *et al.*, 2003; Karato, 1993) effects, with the latter being more pronounced in warm regions where temperatures approach the solidus. Failure to account for anelasticity can lead to overestimates of absolute mantle temperatures and, by extension, underestimates of absolute mantle viscosity by an order of magnitude (Austermann *et al.*, 2021). Furthermore, we assume that shear-wave speed variations relative to a reference model are due to temperature alone. Although this assumption is incorrect, it is common (e.g. Cammarano *et al.*, 2003; Priestley & McKenzie, 2006, 2013; Richards *et al.*, 2020) and perhaps reasonable to assume that temperature effects dominate at global scales given uncertainties in material properties of the mantle (e.g., composition, grain size, and melt fraction; Schutt & Leshner, 2006; Connolly & Khan, 2016; Dannberg *et al.*, 2017; Debayle *et al.*, 2020) and the rheological mechanisms controlling anelasticity (Jackson & Faul, 2010; Yamauchi & Takei, 2016). Equally important uncertainties arise from the tomographic models, whose imaged wave speeds are influenced by the inverse problem setup (e.g., choice of parameterization, regularization, and simplifying assumptions), the seismic phases of interest and their sensitivity to Earth structure, as well as the spatial and temporal distribution of sources (e.g., noise, earthquakes, etc.) and seismic stations. To manage and minimize these uncertainties, at least for the upper mantle, we use the approach of Richards *et al.* (2020).

The inverse calibration scheme of Richards *et al.* (2020) is rooted in the experimentally derived anelastic parameterization of Yamauchi & Takei (2016), which includes the effect of pre-melting (Takei *et al.*, 2014). It also follows the methodological philosophy of Priestley & McKenzie (2013) that any mapping of one mantle parameter to another should satisfy a range of average mantle properties for which there exists independent constraints. Thus, given a suite of experimentally determined parameters (Table 1) that capture the dependence of anelasticity on frequency, depth, and homologous temperature, we can determine a set of globally averaged mantle material properties that satisfy existing independent constraints. An important advantage of this calibration procedure is that it ensures the non-linear decrease in shear-wave speeds and attenuation near the solidus are faithfully reproduced, regardless of the assumed relative contribution of temperature, composition, grain size, and melt fraction to the observed seismic parameters. Since the non-linear behaviour is ultimately controlled by the diffusion creep viscosity and directly constrained by the seismological observations, our steady-state viscosity estimates are remarkably robust to uncertainty in these thermodynamic variables (see Text S1 in Hazzard *et al.*, 2023).

In our mapping, as in Richards *et al.* (2020), we make use of four independent constraints and evaluate each with an L2 misfit function that is weighted by uncertainties and is appropriately normalized by the sample size. The observations consist of shear-wave speeds from oceanic regions of GLAD-M25 that are stacked with respect to lithospheric age and depth relative to sea level, as well as inferences of mantle properties (temperature, attenuation, and bulk viscosity). Sampling of these observations is performed in an identical manner to Richards *et al.* (2020) unless otherwise stated. The first constraint compares the oceanic stack of shear-wave speed to those predicted by the plate-cooling model of Richards *et al.* (2018), in which we assume an ambient potential temperature of 1333°C and an equilibrium plate thickness of 133 km. Second, we require the inferred temperature between 225–400 km depth beneath oceanic regions to be isentropic on average (i.e., both adiabatic and reversible) and to follow the 1333°C isentrope (Shorttle *et al.*, 2014). Third, the inferred average attenuation structure obtained from the relationships of Yamauchi & Takei (2016) must converge to the 1D attenuation structure of QL6 (Durek & Ekström, 1996), the same profile used in the construction of

Table 1: Experimentally determined anelasticity parameters (left) from Yamauchi & Takei (2016) and the globally averaged mantle material properties (right) determined by the inverse calibration scheme of Richards *et al.* (2020).

Experimentally Determined Parameters		Globally Averaged Mantle Material Properties	
Variable	Value	Variable	Value
A_B	0.664	μ_0	80.82 GPa
α_B	0.38	$\frac{\partial \mu}{\partial T}$	$-0.02 \text{ GPa} \cdot ^\circ\text{C}^{-1}$
τ'_P	6×10^{-5}	$\frac{\partial \mu}{\partial P}$	2.292
$\beta(\varphi)$	~ 0	$\log_{10} \eta_r$	23.301 ($\log_{10}(\text{Pa} \cdot \text{s})$)
$\Delta_{poro}(\varphi)$	~ 0	E_a	$545 \text{ kJ} \cdot \text{mol}^{-1}$
γ	5	V_a	$9.633 \times 10^{-7} \text{ m}^3 \cdot \text{mol}^{-1}$
T'_η	0.94	$\frac{\partial T_s}{\partial z}$	$0.8634 \text{ } ^\circ\text{C} \cdot \text{km}^{-1}$
$\lambda\varphi$	~ 0		

GLAD-M25, beneath old oceanic lithosphere. Lastly, we require that the average of the inferred steady-state diffusion creep viscosity between 225–400 km depth be approximately $3 \times 10^{20} \text{ Pa} \cdot \text{s}$ (Lau *et al.*, 2016). These four misfit functions are subsequently combined using weighting factors of 10, 1, 2, and 2, respectively, in order to calculate total misfit.

To determine the optimal set of globally averaged mantle material properties that satisfy the above constraints, we initially perform a coarse parameter sweep in order to bound the global minimum. The parameter set with the lowest misfit value is then chosen as the starting point in a conjugate gradient scheme (Powell, 1964; Press *et al.*, 1986) that seeks to further converge on the global minimum. The resulting parameters can be found in Table 1 and are used to convert upper mantle shear-wave speeds of GLAD-M25 into temperature and absolute steady-state diffusion creep viscosity down to 400 km depth.

At greater depths, we lack sufficient observational constraints to apply the inverse calibration scheme of Richards *et al.* (2020) and must fall back on more traditional approaches. Here, we follow Auermann *et al.* (2021) and convert shear-wave speed variations relative to the 1D radial average of GLAD-M25 into temperature variations about a quasi-steady state mantle geotherm (Schuberth *et al.*, 2009). The anharmonic component of this conversion assumes a pyrolytic mantle composition and makes use of the Perple_X Gibbs free-energy minimization software (Connolly, 2005) along with the thermodynamic database of Stixrude & Lithgow-Bertelloni (2011). An anelastic correction is made based on the 1D attenuation model Q5, associated relationships from Cammarano *et al.* (2003), and a mantle solidus from Andraut *et al.* (2011). Finally, these temperature variations are mapped to viscosity variations following Steinberger & Calderwood (2006).

We now merge these two domains in order to produce a spherical 3D viscosity model of the mantle and crust that has a high-viscosity lid, an average viscosity of $5 \times 10^{20} \text{ Pa} \cdot \text{s}$ in the sublithospheric upper mantle, and an average viscosity of $5 \times 10^{21} \text{ Pa} \cdot \text{s}$ in the lower mantle. In doing so, we address the fact that GLAD-M25's topology geometrically includes ellipticity, surface topography and internal seismic discontinuities (e.g., the Moho; Bozdağ *et al.*, 2016; Lei *et al.*, 2020), as well as the fact that updates to the model may cause crust or mantle wave speeds to exceed the extent of the *a priori* prescribed and fixed Moho. Representing crustal

viscosities requires identifying the depth extent of a *crust-like* region, which we take to be the maximum of either the Moho prescribed by the starting model of GLAD-M25 (i.e., CRUST2.0; Bassin, 2000) or the depth of the minimum temperature inferred by the inverse calibration scheme. For the top of the *crust-like* region, we simply ignore the topography present in GLAD-M25 and define the upper surface to coincide with present-day sea level. We identify the Lithosphere-Asthenosphere Boundary (LAB) to be the 1175°C isotherm, similar to Austermann *et al.* (2021), and find that the spherical average depth of this boundary is ~ 100 km. Furthermore, the volumetrically averaged viscosity of the mantle lithosphere is $\sim 1.5 \times 10^{26}$ Pa·s and it is this value that we assign to the *crust-like* region. Thus, the volumetric average of the entire lithosphere remains unchanged, with constant viscosities within the crust and laterally variable ones within the lithospheric mantle.

Within the sublithospheric upper mantle, we transition from using the inverse calibration scheme of Richards *et al.* (2020) to the more traditional approach of Austermann *et al.* (2021) at 400 km depth. At this depth, we average the two viscosity inferences assuming a reference viscosity of 5×10^{20} Pa·s (or ~ 20.699 in logarithmic space) for the traditional approach. It is this reference viscosity that we wish to be the volumetrically averaged viscosity of the sublithospheric upper mantle extending from the LAB down to 670 km depth, similar to Austermann *et al.* (2021). However, unlike in their 3D viscosity inference, we impose this condition differently. We calculate the volumetric average viscosity of the sublithospheric upper mantle (~ 20.914 in logarithmic space) and apply a uniform shift of -0.215 in log space in order to satisfy this constraint. Finally, within the lower mantle (i.e., 670–2891 km depth), absolute viscosity is determined assuming a reference viscosity of 5×10^{21} Pa·s, which is also the adopted average viscosity of the lower mantle.

The resulting 3D viscosity inference is shown in Supp. Figure S2 and the entire model, including inferred temperature, may be found in the supplementary materials. Likewise, estimates of LAB depth based on the 1175°C isotherm are provided and shown in Supp. Figure S3. This separation is done to avoid confusion with the filtered and truncated 3D viscosity model (Figure 2) that is derived from this initial inference and used in the forward and adjoint GIA simulations. Our treatment of the mantle and crust as entirely viscoelastic is a departure from traditional GIA models that invoke an elastic lid (which implies knowledge of the effective elastic thickness of the lithosphere). Constraining this thickness remains challenging and its meaning varies across geophysical disciplines (e.g., Lau *et al.*, 2020). Instead, we believe a more elegant approach is to avoid defining the elastic thickness and instead allow the degree of elastic versus viscous deformation to be determined by material properties interacting with the geometry and time scale of surface load changes.

As a final step, we construct a comparable 1D radial viscosity model based on this 3D viscosity inference (Supp. Figure S4). This model consists of a 100 km-thick, high-viscosity ($\sim 1.5 \times 10^{26}$ Pa·s) lid, a sublithospheric upper mantle (100–670 km depth) viscosity of 5×10^{20} Pa·s, and a lower mantle (670–2891 km depth) viscosity of 5×10^{21} Pa·s. We use this model in all forward and adjoint GIA simulations herein that adopt a 1D viscosity model.

5 Results and Discussion

5.1 Forward Simulations of Sea-Level Change

The total sea-level change from 26 ka to 1950 CE predicted by forward GIA simulations and driven by the filtered ICE6G(VM5a) ice history model (Section 4) is shown in Figure 3. This figure includes results that adopt both the filtered and truncated 3D viscosity model (Figure 2) and its 1D radial representation (Section 4.1). As expected, the largest total sea-level change occurs near the former Laurentide and Fennoscandian ice sheets, in which peak sea-level fall reaches ~ -800 m over the course of 26 kyr for the 1D viscosity model (Figure 3b). In contrast, adoption of our 3D viscosity model results in peak sea-level fall of ~ -700 m and ~ -500 m within the footprint of the Laurentide and Fennoscandian ice sheets, respectively (Figure 3a). The difference in total sea-level change in these two simulations is shown in Figure 3c and is equivalent to the difference in their final sea level since they use the same value of initial sea level (obtained from the filtered ICE6G(VM5a) ice history). Thus, for later clarity, we refer to the results in Figure 3c as the *difference in final sea level*.

In the near field, which includes the ice sheets and their forebulge, we observe higher sea level for the 3D viscosity model within the footprint of the former Laurentide and Fennoscandian ice sheets, as well as within coastal regions of East Antarctica and Greenland (Figure 3c). As expected, sea level is generally lower at the peripheries of these regions within the forebulge. In contrast, we find lower sea level within West Antarctica and central Greenland, and where a clear forebulge exists, higher sea level is observed. These differences in final sea level in part reflect the relative stiffness of our 3D viscosity structure with respect to its 1D radial representation. In our 3D viscosity model, the Canadian Shield, Fennoscandian Shield, Greenland, and East Antarctic Shield are all underlain by an overall stiffer mantle, which reflects their thick, cold, in some cases cratonic lithosphere and their long-term tectonic stability. As a result of stiffer mantle, these regions experience less solid Earth deformation in response to ice-mass change. Thus, areas of net ice-mass loss experience lower uplift and subsidence, leading to higher sea level within the footprint of the ice sheets and lower sea level within the forebulge. Within areas of net ice-mass gain (e.g., central Greenland) deformation is similarly muted, but the direction of deformation and by extension sea-level change is opposite. In contrast, the mantle underlying West Antarctica is weaker in our 3D viscosity structure relative to its 1D radial representation, which reflects the warmer mantle and thinner lithosphere that are characteristic of tectonically active regions, and therefore undergoes greater deformation. Regions of net ice-mass loss (e.g., West Antarctica) experience greater solid Earth uplift (i.e., lower sea level) directly beneath the removed ice loads and greater solid Earth subsidence (i.e., higher sea level) at their peripheries. Finally, we note that a similar pattern is observed in Patagonia and reflects regional ice-mass loss and a weaker mantle, although this feature is of insufficient amplitude to be visible in Figure 3c.

In the far field (i.e., beyond the extent of forebulges), sea level is generally higher by up to 10 m in the open ocean for the 3D viscosity model relative to its 1D radial representation. As for the near field, final sea-level differences in the far field arise, in part, due to the difference in viscous structure and, by extension, lithospheric thickness between the two viscosity models. For example, greater sea-level rise is observed in the open ocean when adopting our 3D viscosity model because the underlying mantle is weaker relative to our 1D viscosity model. Meanwhile, a more complex pattern with a similar magnitude is observed along coastal regions and often includes a switch in polarity across the coastline that reflects variations in the magnitude of continental

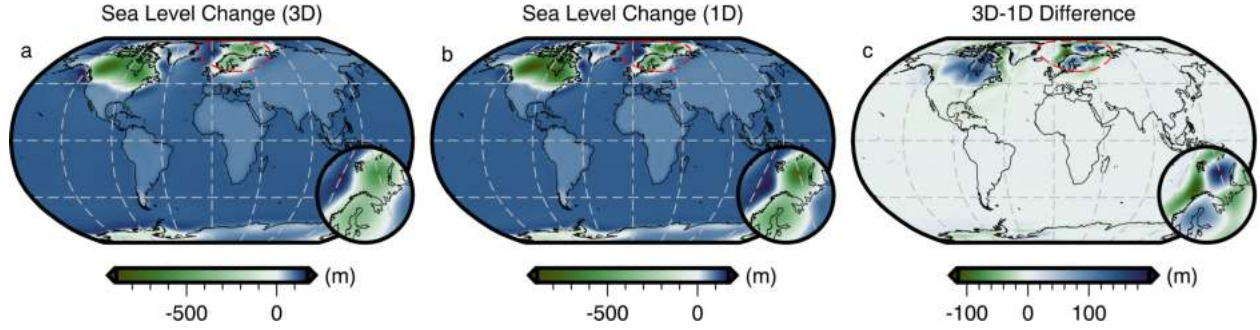


Figure 3: **Predicted sea-level change from 26 ka to 1950 CE for different viscosity structures under the assumption of consistent initial sea level.** (a) Result for filtered and truncated 3D viscosity structure (Figure 2); blue colours indicate sea-level rise and green colours indicate sea-level fall; red dashed line shows location of inset zoom over Fennoscandia plotted in lower right. (b) Same for 1D viscosity structure (Section 4.1). (c) Difference in total sea-level change at 1950 CE between 3D and 1D viscosity structures. Here, blue (green) colours indicate greater (lesser) sea level relative to the 1D simulation results.

levering. A detailed examination of the influence of 3D structure on continental levering is beyond the scope of this work and we instead refer the reader to Austermann *et al.* (2021).

Although much of the difference in final sea level shown in Figure 3c is due to the viscosity contrast between our 3D viscosity model and its 1D radial representation, a component is also due to our assumption that the initial sea level is the same for both simulations. As a result of their different viscoelastic properties, some regions, particularly marine-based sectors of the ice sheets, are subject to alternative histories of ocean loading and unloading, solid Earth deformation, and gravitational changes. Quantifying this contribution requires determination of an initial sea level for each individual simulation that will yield a consistent sea-level (i.e., topography) prediction at the final timestep. Thus, we now turn our attention to recalibration of initial sea level.

5.2 An Example of Initial Sea-level Recalibration

Following the procedure laid out in Section 3.3, we perform an initial sea-level recalibration using a synthetic example. We have chosen to adopt the final sea level predicted by the forward GIA simulation using the filtered and truncated 3D viscosity model as the *observed* present-day sea level. We then iteratively invert for the initial sea level that is required to match this “observation” for simulations that instead use the 1D viscosity model. We find that this inversion converges rapidly over the course of 4–5 iterations, during which the greatest misfit reduction ($\geq 90\%$) occurs in the first iteration (Figure 4a). Neglecting to implement a suitable smoothing strategy, however, leads the inversion to become easily trapped in local minima that are related to instabilities in the vicinity of the former marine ice sheets. For such an inversion without smoothing, this behaviour results in differences between predicted and observed final sea level of ± 25 m to the north of Fennoscandia as well as ± 10 m in Hudson Bay and the Northwestern Passages of North America (Figure 5c). These instabilities dominate the highest degrees of our spherical harmonic basis functions and likely arise from their truncation above $l_{max} = 64$.

In order to avoid these numerical instabilities, as well as to improve the fit of the predicted and *observed*

present-day sea level, we employ the smoothing described in Section 3.3 within a two stage inversion procedure. In the first stage, we apply a one-sided Hanning taper to the initial sea-level kernel (eq. 2) and set l_c equal to 60 (eq. 18). As in the example without smoothing, the inversion initially converges rapidly and achieves a similar degree of misfit reduction over 4–5 iterations (Figure 4a), but now the maximum difference between the predicted and *observed* present-day sea level is reduced to ~ 1.5 m (Figure 4b). Figure 6c shows that there remains some ringing artifacts radiating from points of highly localised discrepancy that have peak amplitudes of ~ 1 m and are associated with the truncation of the spherical harmonic transformation. To further reduce these discrepancies and artifacts, we perform a second stage of the inversion that includes higher degree information. We now use the full, unfiltered initial sea-level kernel and, over the course of another 4 iterations, the misfit decreases by a further two orders of magnitude and the maximum difference between the predicted and *observed* present-day sea level decreases to 0.38 m, thereby satisfying our convergence criteria (Figure 6). Although minor ringing artifacts persist, this second stage of the inversion procedure reduces their maximum amplitude to only ~ 0.05 m. Thus, we now have a new initial sea level that, when used with our 1D viscosity model, predicts present-day sea level that is consistent with that of the original forward GIA simulation for the filtered and truncated 3D viscosity model.

Using results from the forward GIA simulation that adopts the 1D viscosity model and the recalibrated initial sea level (SL_0^7), we can now decompose the difference in final sea level for our two original forward simulations (shown in Figure 3c) into a component that is due to the different viscosity models versus that arising from our erroneous assumption of the same initial sea level (SL_0^0). The contribution of the former is shown in Figure 7b and has been obtained by differencing the total sea-level change predicted by the forward simulation with 3D viscosity (Figure 3a) and the 1D case using the recalibrated initial sea level (Figure 7a). Note that this difference is equivalent, within numerical accuracy, to that between the two initial sea levels (SL_0^0 and SL_0^7). The amplitude of the 3D-minus-1D difference in sea-level change for the recalibrated initial sea level (i.e., Figure 7b) is more subdued within and near former marine ice sheets in comparison to that for simulations that use the same initial sea level (i.e., Figure 3c). For example, the difference in the total sea-level change within the marine portion of the former Fennoscandian ice sheet has decreased from ~ 200 to ~ 170 m. This difference, and others shown in Figure 7c, reflect changes in the history of loading and unloading of the oceans, including their viscoelastic response, resulting from the recalibration of initial sea level. The overall pattern of 3D-minus-1D sea-level change, nevertheless, remains similar and our prior discussion in Section 5.1 on the influence of relative changes in viscosity therefore remains valid.

Through this example, we have demonstrated the success of the initial sea-level recalibration based on the adjoint method and gradient based optimization, which can be implemented in more complex inversions that also update other model parameters (e.g., mantle viscosity; Lloyd *et al.*, in prep). Although we focused here on results obtained using the method of steepest descent, we have also tested the conjugate gradient method and found that it produces consistent results (Figure 4). In all instances, the degree of success of the inversion relies on a suitable smoothing strategy that assimilates and matches lower spherical harmonic degree structure first and then systematically introduces higher degree structure in latter iterations. This approach is similar to that taken in adjoint seismic tomography (e.g., Zhu *et al.*, 2015; Lloyd *et al.*, 2020), where progressively shorter period waveforms are assimilated in later iterations. In our inversion strategy, however, we have chosen to control the length scale of new information by low-pass filtering the gradient as opposed to filtering the predicted and

observed data (e.g., Zhu *et al.*, 2015; Lloyd *et al.*, 2020). Finally, armed with two suitably calibrated initial sea levels (SL_0^0 and SL_0^7), we can now explore viscosity sensitivity kernels for sea-level observations in order to understand how these data will likely inform inversions for 3D mantle viscosity.

5.3 Viscosity Sensitivity Kernels for Sea-level Observations

We begin by examining viscosity sensitivity kernels that relate changes in sea-level observations to viscosity perturbations within the solid Earth. We recall that these kernels are calculated following eq. (4) and that they are a linear approximation of the Fréchet derivative relative to the assumed viscosity structure, in which the range of their validity has been explored by Crawford *et al.* (2018) and Tromp & Mitrovica (2000). We consider two types of sea-level observations and hence two types of viscosity sensitivity kernels. First, an absolute sea-level point measurement at a given time, t_{obs} , which was initially discussed by Crawford *et al.* (2018). Second, a relative sea-level point measurement that again dates from a given time, t_{obs} , but is now defined as the difference in sea level between t_{obs} and present day, t_p , and therefore reflects the change in sea level between these two times. This latter type generally corresponds to observations made in the field, since elevations of palaeo sea-level indicators are measured relative to present-day sea level. We note that both absolute sea level and relative sea level are spatially variable fields. Calculation of relative sea-level viscosity kernels only requires a change to the adjoint load (Section 3.1) and thus, eq. (4) remains unchanged. Furthermore, we point out that the viscosity sensitivity kernel for a relative sea-level observation can also be constructed by differencing the sensitivity kernels for two absolute sea-level observations (i.e., $K_{SL}(\mathbf{x}_{obs}, t_{obs}) - K_{SL}(\mathbf{x}_{obs}, t_p)$; Section 3.1).

In order to explore how relative sea-level measurements might sense Earth’s viscosity structure and how these sensitivities differ from those of absolute sea-level measurements, we examine the viscosity sensitivity kernels in three settings: (1) in the near field of the Fennoscandian ice sheet at Andenes, Norway, (2) on the forebulge of the Laurentide ice sheet at Barbados, and (3) in the far field at the Seychelles. To aid with intercomparison of the kernels, we consider ages of 10 ka and 0 ka for the absolute sea-level observations and 10–0 ka for the relative sea-level observation. For further simplicity, we adopt our 1D viscosity model (Section 4.1), its newly determined initial sea level (SL_0^7), and perform the forward and adjoint GIA simulations as described in Section 4. Due to rotational symmetry of the 1D solid Earth structure, differences in the viscosity kernel for each site only reflect its location with respect to the evolving ice sheet and oceans. By not adopting a 3D viscosity model at this stage, we ensure that any laterally varying features of the kernel are related to the induced deviatoric stress and not their dependence on η^{-1} . In comparison to Crawford *et al.* (2018), who showed sensitivities at discrete instances in time, we examine these kernels in their time-integrated form to provide a complete picture of the total sensitivity of these sea-level observations to Earth’s viscosity structure. Moreover, from a geophysical imaging perspective, it is this time-integrated kernel that we relate to an observation or misfit. Thus, we will focus on building intuition concerning how the dominant physical processes are encoded within the viscosity sensitivity kernel, as well as how the definition of the sea-level observation influences kernel structure and its dependency on various physical processes. In turn, this intuition will guide how we invert palaeo sea-level observations for 3D viscosity structure and how we interpret the resulting images in our companion study.

Critical to decoding these kernels is the ability to interpret their meaning. For absolute sea-level observations,

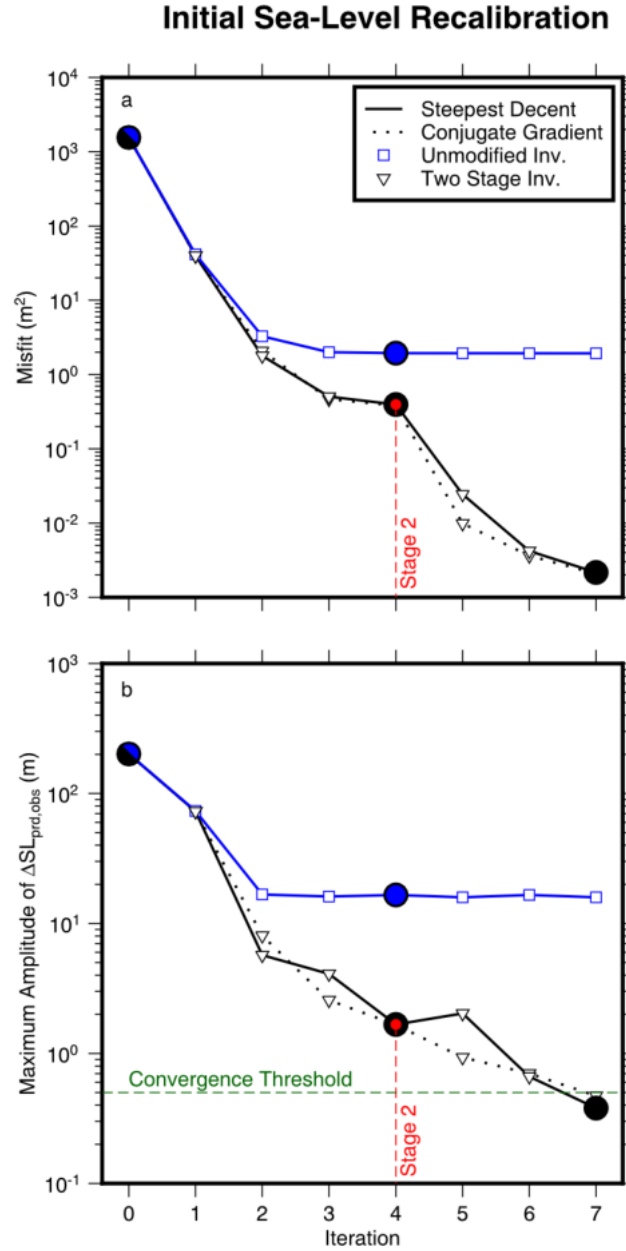


Figure 4: **Evolution of the misfit and convergence for initial sea-level recalibration.** (a) Misfit as a function of iteration number. Blue line = unmodified recalibration procedure where no smoothing is applied to the gradient; black lines = two-stage recalibration procedure where gradient is initially smoothed for four iterations (i.e., prior to red line) using eq. (14) with $l_c = 60$, before switching to the full unsmoothed gradient thereafter; solid versus dashed lines = steepest descent versus conjugate gradient minimization; large blue and black circles indicate iterations shown in Figures 5 and 6, respectively. (b) Same for convergence test (i.e., maximum amplitude of the difference between observed and predicted final sea level). Green dashed line = convergence threshold of 0.5 m, beyond which iterations terminate. Of the three inversion strategies, the two-stage procedure using steepest descent is preferred (i.e. solid black line).

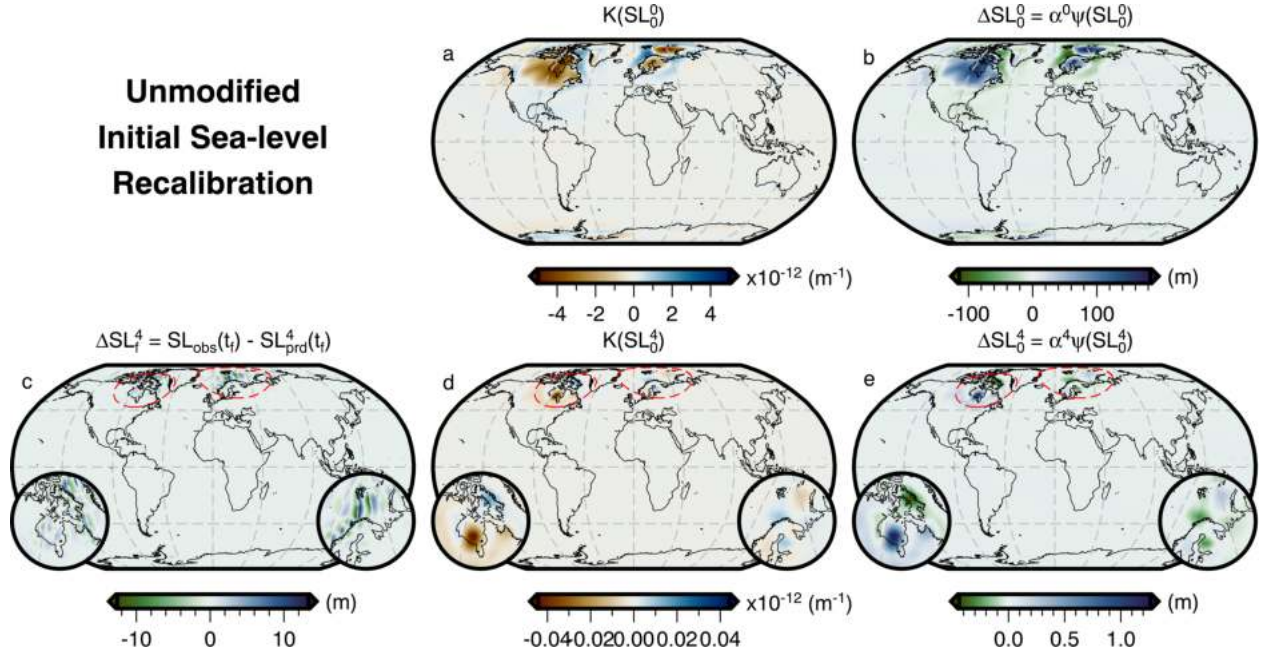


Figure 5: **Unmodified initial sea-level recalibration.** (a) Initial sea-level sensitivity kernel for the first iteration. (b) Update to initial sea level for the first iteration. (c) Difference between observed (i.e., target) present-day sea level and prediction after four iterations (N.B. equivalent plot for the first iteration appears in Figure 3c). Red dashed lines demarcate locations of 30°-wide inset zooms over Canada and Fennoscandia plotted in lower left and right, respectively, which show the features that ultimately cause the inversion to fail to converge. (d) Initial sea-level sensitivity kernel for the fourth iteration. (e) Update to initial sea level for the fourth iteration. The corresponding misfit and convergence evolution of this procedure are shown by the blue line in Figure 4.

positive (negative) kernel values indicate that an increase in viscosity at that location within the Earth leads to an increase (decrease) in sea level at the observation site. For relative sea-level kernels, changes to viscosity affect both sea level at the time the observation was encoded and sea level at the present. This factor can lead to the cancellation of similarly sensed regions and will highlight processes that lead to differences in the sea-level signal between the time of the sea-level observation and the present. In terms of the behaviour of relative sea level, a positive (negative) kernel value indicates that an increase in viscosity at that location within the Earth will increase (decrease) relative sea level at the observation site. The link between relative sea-level kernels and corresponding relative sea-level change is, however, more obscure since it depends on the size and timing of the surface load changes (i.e., ice sheet and ocean) relative to t_{obs} and t_p , and whether sea level has risen or fallen over this time window.

A few characteristics appear to be ubiquitous to the viscosity sensitivity kernels for absolute sea-level and relative sea-level observations (Figures 8–10). With regards to absolute sea-level observations, some of these characteristics were originally reported by Crawford *et al.* (2018), but are listed here for completeness. First, the amplitude of the viscosity sensitivity kernels for near-field observation sites are 10 to 100 times greater than those for far-field observation sites. Second, there is sensitivity throughout all depths of the mantle. At shallow depths, peak sensitivities are concentrated beneath the observation site as well as beneath those regions experiencing significant surface-load changes due to the evolving ice sheets and redistribution of the oceans.

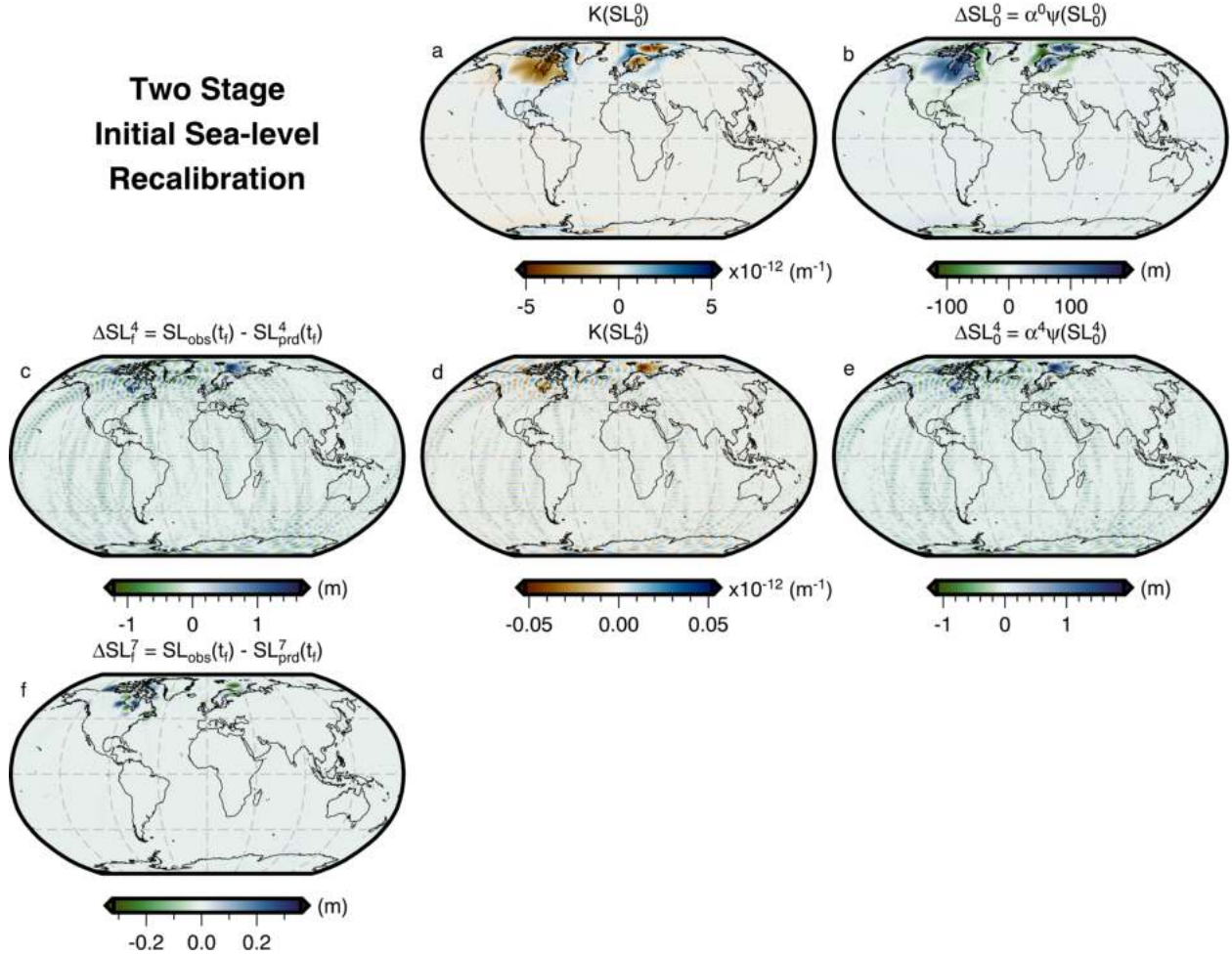


Figure 6: **Two-stage initial sea-level recalibration.** Panels are similar to Figure 5, but show results from the two-stage recalibration procedure (corresponding to the solid black line in Figure 4). In the first four iterations, this inversion applies a low-pass filter to the initial sea-level kernel to exclude information from the highest spherical harmonic degrees. Thereafter, this filter is removed and the solution satisfies our convergence criterion by iteration seven. Panel (f) shows the difference between the observed (i.e., target) and predicted present-day sea level following convergence.

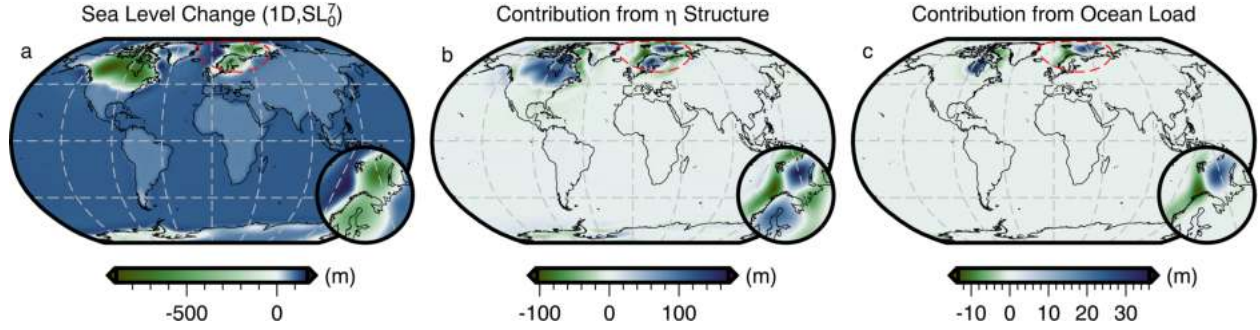


Figure 7: **Influence of lateral viscosity variations and incorrect estimation of initial sea level on predictions of sea-level change.** (a) Total sea-level change from 26 ka to 1950 CE for a simulation using the 1D viscosity structure and recalibrated initial sea level, SL_0^7 . (b) Contribution to the difference in final sea level from Figure 3c that is solely due to adopting the filtered and truncated 3D versus 1D viscosity structure. This field is obtained by differencing the sea-level change in Figures 3a and 7a, and is equivalent to $SL_0^0 - SL_0^7$. (c) Contribution to the difference in final sea level from Figure 3c that is solely due to use of an incorrect initial sea level. This latter contribution adversely affects the use of sea-level observations to image mantle structure and can be robustly minimised using our recalibration procedure.

These regions of sensitivity broaden with depth, consistent with the results of Paulson *et al.* (2005); Wu (2006). As we approach the core-mantle boundary, far-field observation sites often have *visible* global coverage, while near-field observation sites have higher amplitude sensitivities that are spatially restrictive. Nevertheless, the surface integral of the 3D sensitivity kernel at a given depth in the deep mantle is typically small compared to shallower depths, which is consistent with past studies that determined 1D radial sensitivity kernels for mantle viscosity (e.g., Mitrovica & Peltier, 1991; Crawford *et al.*, 2018). It is only when the corresponding 3D viscosity sensitivity kernels are calculated that one realises the intuition gained from their 1D counterparts can be misleading. Instead, 3D sensitivity kernels for both absolute sea-level and relative sea-level observations have non-negligible sensitivities within the deep mantle and possess unique patterns that reflect the location of the observation site with respect to the surface load changes. Therefore, there exists great promise for imaging not just the upper portion of the mantle's 3D viscosity structure, but also its deepest depths. Third, the existence of positive and negative regions within the viscosity kernels for both types of sea-level observations indicates that there is potential to mask the influence of Earth structure on an observation, which has previously been noted in forward modeling studies (e.g., Wu & van der Wal, 2003). Although these generalizations are broadly correct, there are some deviations and finer-scale structures within the kernels whose origin is not easily discerned. Nevertheless, the structure of the kernels reflects physical processes that influence the behaviour of sea level at the observation site, which we will now discuss for three different settings.

5.3.1 Viscosity Sensitivity Kernels for Andenes, Norway

In our first example, we consider a sea-level observation site at Andenes, Norway, where local sea-level has fallen over the last 10 kyr of the simulation. Figure 8 shows depth slices at 75 km, 600 km, and 2400 km through the viscosity sensitivity kernels for absolute sea-level observations at 10 ka and 0 ka, as well as for a relative sea-level observation covering the period 10–0 ka. These kernels are dominated by high-amplitude

features that reflect the nearest regions of ice-mass change. More distant load changes, such as the shrinking Laurentide ice sheet, generate sensitivities within the underlying mantle that have a similar magnitude to those observed in kernels for far-field observations at the same location (e.g., Seychelles; Figure 10). Although these low-amplitude sensitivities are present within the kernel and may have relevance for imaging, we will focus on higher amplitude features at each depth and begin our discussion with the absolute sea-level observations at 10 ka and 0 ka.

Within the lithosphere, the maximum amplitude of the kernel is small relative to underlying regions of the sublithospheric mantle (Figure 8a,d). This reflects the high viscosity of the lithosphere ($\sim 1.5 \times 10^{26}$ Pa·s), which essentially behaves elastically at the time-scale of the simulation, and thus has a negligible effect on absolute sea-level observations if its viscosity is perturbed. For both absolute sea-level observations (10 ka and 0 ka) along profile A (Supp. Figure S15), there is a general pattern of positive kernel values beneath Andenes extending to the southeast and negative kernel values to the northwest that reach a peak amplitude greater than $1000 \times 10^{-20} \text{ m}^{-2}$ at 300 km depth and persist down to ~ 550 km. Further to the northwest, the kernel again becomes positive, though its amplitude is much smaller.

Across this region, the structure of the viscosity sensitivity kernels for absolute sea-level observations reflect a number of linked processes. First, the positive kernel region beneath and to the southeast, underlying the former Fennoscandian ice sheet, indicates that an increase to viscosity there will lead to an increase in absolute sea level at Andenes. This relationship follows from the fact that a stiffer mantle in this region will lead to slower uplift during deglaciation and hence higher absolute sea level. Second, the negative kernel region to the northwest indicates an increase in viscosity there will decrease absolute sea level at Andenes. We suggest that this is because stiffer viscosity modifies behaviour of the forebulge, reducing its amplitude and increasing its width either side of the hinge point. As a result, the solid Earth at Andenes will become higher and absolute sea level will decrease. Lastly, the transition back to positive kernel values further to the northwest again indicates that an increase in viscosity here will result in an increase in absolute sea level at Andenes. We speculate that this is because a stiffer mantle beneath this region would lead to less subsidence of the ocean basin, with the formerly accommodated water mass now redistributed over the global ocean leading to an increase in absolute sea level at Andenes.

From ~ 550 km to 670 km depth (i.e., the base of the transition zone) the dominant features within the viscosity sensitivity kernels for the absolute sea-level observations flip polarity. Here, the kernels are negative beneath Andenes, while the surrounding area is now positive (Figure 8b,e and Supp. Figure S15). This negative region of the kernel indicates that an increase in viscosity there will decrease absolute sea level at Andenes. At the same time because this region underlies the former Fennoscandian ice sheet where greater solid Earth uplift occurs, we more intuitively expect an increase in viscosity to decrease solid Earth uplift and hence increase absolute sea level, similar to what is indicated by the kernels at shallower depth. Thus, a negative kernel value beneath the ice sheet initially seems puzzling. We suggest that this behaviour occurs due to coupling of the lower viscosity (5×10^{20} Pa·s) upper mantle and transition zone with the higher viscosity (5×10^{21} Pa·s) lower mantle, which is a consequence of the boundary condition that the change in displacement, \mathbf{u} , across a solid-to-solid boundary is $\mathbf{0}$ (eq. 2.14 of Al-Attar & Tromp, 2013; i.e., a void cannot form). In part to satisfy this boundary condition and in order to match deformation at the top of the higher viscosity lower mantle, vertical uplift above the 670 km viscosity discontinuity must decrease relative to that predicted for an Earth model with a uniform

viscosity of 5×10^{20} Pa·s. Increasing mantle viscosity just above 670 km depth lowers the viscosity contrast and shrinks the required reduction in vertical uplift necessary to satisfy the boundary condition. Negative sensitivities between ~ 550 –670 km depth demarcate the region where an increase in viscosity will allow for greater overall uplift of the solid Earth and hence lower absolute sea level. This interpretation is consistent with the kernels switching back to positive at and below 670 km depth (Figure 8c,f and Supp. Figure S15), where a decrease in viscosity (i.e., a reduction of the viscosity contrast) leads to a decrease in absolute sea level at Andenes. This simple depth-varying structure (i.e., +, –, +) of the kernel illustrates how the change in absolute sea level at the observation site due to viscosity perturbation in one region can be masked by an appropriately sized perturbation in another region. It is clear that this masking behaviour occurs with other observations (e.g., relative sea level) and thus, may explain why Wu & van der Wal (2003) found that relative sea-level observations near the center of large load changes may be unable to detect lower mantle viscosity perturbations if upper mantle and transition zone is perturbed in the opposite sense. It is important to note, however, that our sensitivity kernels are calculated for a different 1D viscosity structure than Wu & van der Wal (2003) and that a proper comparison would require consideration of the full 3D structure of the kernel.

Surrounding the negative region between ~ 550 km and 670 km depth, the kernel is positive. Although subsidence due to forebulge collapse does occur to the northwest of Andenes, we find that any vertical deformation associated with this process dissipates by ~ 325 km depth and, at deeper depths, is characterized by low-amplitude uplift. Thus, we suggest that positive kernel values within the broader transition zone reflect the longer wavelength load change associated with deglaciation of the Fennoscandian ice sheet rather than forebulge collapse. From this standpoint, an increase in viscosity in this positive kernel region will reduce solid Earth uplift and increase absolute sea level.

Finally, at depths of 670 km and greater (Figure 8c,f and Supp. Figure S15), the viscosity sensitivity kernel beneath northern Europe is again positive, indicating that an increase in viscosity there will increase absolute sea level at Andenes. The amplitude of the kernel is smaller due to the higher viscosity of the lower mantle (i.e., the η^{-1} dependence of eq. 4) and greater distance from the surface load change. The latter is a result of attenuation, which also more strongly dissipates the higher spherical harmonic degrees of deformation. Thus, deformation in the lower mantle beneath northern Europe is controlled by the lower spherical harmonic degree components of the shrinking Fennoscandian ice sheet. By increasing the viscosity beneath northern Europe, the extent of solid Earth uplift due to unloading of the ice sheet is reduced and hence absolute sea level at Andenes increases. In contrast, the kernels are negative beneath northern North America. Through similar logic, an increase in viscosity there will increase absolute sea level above that region, thereby siphoning water mass from other parts of the global ocean and, in turn, decreasing absolute sea level at Andenes.

With these considerations in mind, we next turn our attention to the viscosity sensitivity kernel for a relative sea-level observation spanning 10–0 ka and begin by addressing the relationship between absolute and relative sea-level change and their associated sensitivity kernels. We recall that sea level has fallen at Andenes over the final 10 kyr of the simulation, such that relative sea level is positive. Directly beneath Andenes at 75 km depth, the kernel is negative, indicating that an increase in viscosity there will decrease relative sea level at the observation site. To make sense of this result, we recall that the kernel for a relative sea-level observation is equivalent to the difference between the kernels for absolute sea-level observations at 10 ka and 0 ka. Within this region of the mantle, both absolute sensitivity kernels are positive, indicating that an increase in viscosity there

will increase absolute sea level at the observation site. Furthermore, since $K_S L(t = 10 \text{ ka}) < K_S L(t = 0 \text{ ka})$, the same increase in viscosity will result in a greater increase in absolute sea level at 0 ka compared to 10 ka. When sea level has fallen, this behaviour reduces the difference between absolute sea level at 10 ka and 0 ka and thereby decreases the 10–0 ka relative sea-level change, consistent with negative kernel values.

Focusing now on its general structure, we see that the relative sea-level kernel is similar to those of absolute sea-level observations, but with flipped polarities (Figure 8g–i). This pattern indicates that, in most regions, the absolute sea-level observation at 0 ka has greater sensitivity to mantle viscosity than its equivalent at 10 ka. One notable exception is observed beneath the northern marine-based portion of the Fennoscandian ice sheet at 600 km depth. Here, kernels for both types of sea-level observations are negative and hence the kernel for a sea-level observation at 10 ka has a greater amplitude. This difference occurs because the ice sheet disappeared from this region prior to 10 ka (Figure 1b) and illustrates that the amplitude of the kernel for absolute sea-level observations is greater when the time between the same surface-load change and observation time is smaller. In contrast, immediately southeast of Andenes, a localised region at 600 km depth does change polarity in the kernel for relative sea level due to further ice mass loss occurring after 10 ka (Figure 1c). These effects demonstrate the importance of details within the spatiotemporal history of loading in influencing the structure of both types of kernel.

5.3.2 Viscosity Sensitivity Kernels for Barbados

In our second example, we consider an observation site at Barbados, which lies at the edge of the forebulge of the Laurentide ice sheet. Given its proximity to the ice sheet, it seems natural to assume that sea-level observations here are sensitive to many of the same deformational processes as the site at Andenes. However, the different location relative to the load changes causes these deformational process and potential perturbations to the 1D viscosity structure to influence sea level at Barbados in a different manner. Figure 9 shows that sensitivity to mantle viscosity is focused beneath the observation site and the closest regions of surface load change (i.e., the Laurentide ice sheet), with minor sensitivity beneath the Fennoscandian and West Antarctic ice sheets. Although we will focus on the higher amplitude features, it is worth noting that the Barbados absolute sea-level observation at 10 ka has little sensitivity to mantle viscosity beneath the West Antarctic ice sheet compared to sensitivity observed beneath the Fennoscandian ice sheet at 150 km and 600 km depth (Figure 9a,b). This is because much of the ice-mass change in West Antarctica occurs after 10 ka, whereas much of the Fennoscandian ice-mass change occurs prior to 10 ka (Figure 1). In contrast, for the Barbados absolute sea-level observation at 0 ka, the kernel amplitude beneath West Antarctica and Fennoscandia is similar (Figure 9d,e). Thus, this example highlights the complex inter-play of distance (e.g., observation site to load change) with the load change magnitude, timing, and spatial extent in controlling the amplitude of the sensitivity kernel. As a result of these same factors, we observe that the peak amplitudes of the sensitivity kernels for Barbados are an order of magnitude smaller than those of Andenes. This amplitude difference will require careful attention in later attempts to image viscosity structure using sea-level data.

Viscosity sensitivity kernels for the two absolute sea-level observations at 10 ka and 0 ka are more complex for Barbados than Andenes and correspondingly more difficult to interpret. Positive kernel values at 150 km and 600 km depth are predominantly observed beneath the former Laurentide ice sheet and north of Barbados,

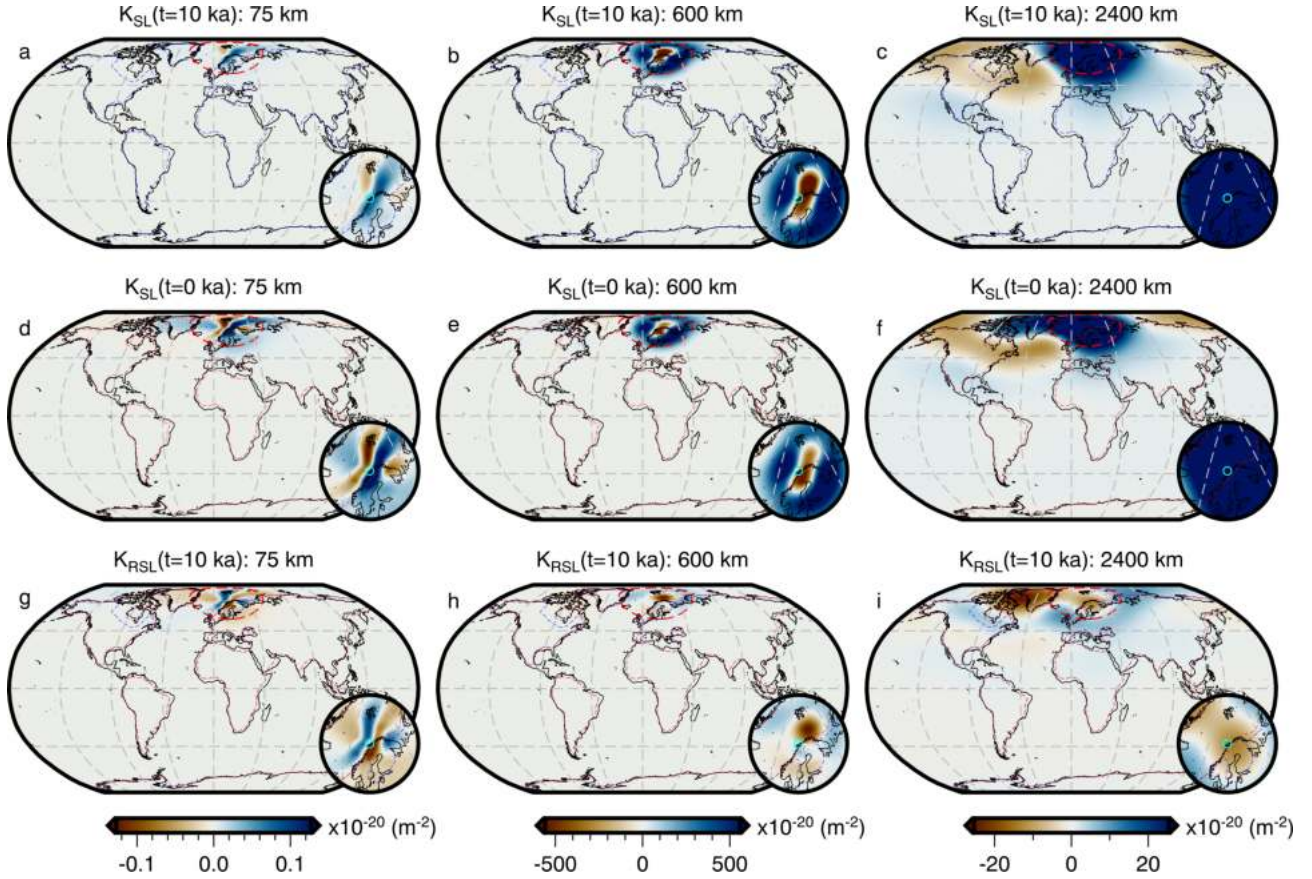


Figure 8: **Comparison of viscosity sensitivity kernels for absolute and relative sea-level observations at Andenes, Norway.** (a) 75 km-depth slice through the viscosity sensitivity kernel for an absolute sea-level observation at 10 ka. The inset map is centered on the observation site (cyan circle) and has a width of 30° . Its extent is shown on the main map as a thick red dashed line. The thin blue dashed line shows the 0 m sea level contour at 10 ka. (b) Same for 600 km depth. (c) Same for 2400 km depth. (d–f) Same for an absolute sea-level observation at 0 ka. The thin red dashed line shows the 0 m sea level contour at 0 ka. (g–i) Same for a relative sea-level observation from 10 ka. Note that the colour scale for each column is chosen to symmetrically span the range of values for the relative sea-level viscosity sensitivity kernel and thus, regions of high amplitude absolute sea-level sensitivity may be saturated.

indicating that an increase in viscosity there will raise absolute sea level at Barbados. We speculate that, for areas around the periphery of the former Laurentide ice sheet, a stiffer mantle will lead to slower forebulge subsidence, which causes absolute sea level to be higher elsewhere, including Barbados. High positive kernel values along the transect from Barbados to the Laurentide ice sheet might indicate that a differently shaped forebulge, due to a stiffer mantle, can result in deeper water depths at Barbados. At upper mantle depths, the kernel is near zero or negative beneath Barbados itself (Figure 9a,d), indicating that an increase in viscosity will reduce subsidence of the solid Earth in response to the increased ocean load from deglaciation. Hence, absolute sea level will be lower if the upper mantle is stiffer directly beneath Barbados. This interpretation is consistent with Austermann *et al.* (2013), who showed that a high-viscosity slab in the Caribbean subduction zone acts to reduce local sea level.

Finally, at 2400 km depth, deformation is again dominated by long-wavelength load changes that will be primarily related to the shrinking Laurentide ice sheet. Here, the sensitivity kernel has a positive kernel value in the center of the region between the Laurentide ice sheet and Barbados, which is ringed by negative kernel values (Figure 9c,f). This feature reflects the relative geographic location of the load change and the observation site. While its full nature is unclear, we note that the boundary from positive to negative kernel values nearest Barbados corresponds to a change from uplift to subsidence of the solid Earth at this depth in the forward simulation.

We next turn our attention to the viscosity sensitivity kernel for a relative sea-level observation spanning 10–0 ka in Barbados (Figure 9g–i). In this example, sea level has risen at the observation site (i.e. relative sea level is negative) between 10 ka and 0 ka during the simulation. Directly beneath Barbados at 150 km depth, the sensitivity kernel for relative sea level is negative, indicating that an increase in viscosity there will decrease relative sea level (Figure 9g). At this same location, the kernels for absolute sea-level observations are also negative with $K_{SL}(t = 10 \text{ ka}) < K_{SL}(t = 0 \text{ ka})$. Thus, for the same viscosity increase, the absolute sea level observation at 10 ka will decrease more than that at 0 ka, increasing the sea-level offset spanning 10–0 ka. Given this behaviour, along with the fact that sea level is rising, the relative sea level at the observation site will become more negative (i.e. decrease) as viscosity increases, consistent with negative kernel values for the relative sea-level observation.

At depths of 150 km, 600 km, and 2400 km, we find that amplitudes across the footprint of the former Laurentide ice sheet are more uniform at a given depth in comparison to the two sensitivity kernels for absolute sea-level observations. At 150 km depth, there are stronger changes in polarity at continent-ocean boundaries along the northeastern United States and northern South America, which we suggest relate to forebulge collapse and continental levering, respectively. Meanwhile at 600 km depth, we observe an intriguing pattern of negative, positive, and then negative kernel values in the vicinity of Barbados, which is roughly orthogonal to the great circle path connecting Barbados to Hudson Bay. Because Barbados lies at the edge of the Laurentide forebulge this pattern likely relates to the dynamics of forebulge collapse (Figure 9h). At 2400 km depth, we note that the amplitude of the viscosity sensitivity kernel is only a factor of two smaller than that observed at 150 km depth (Figure 9i). As we will see in the next example, this pattern of non-negligible sensitivity to deep mantle viscosity structure is a ubiquitous feature of these sensitivity kernels.

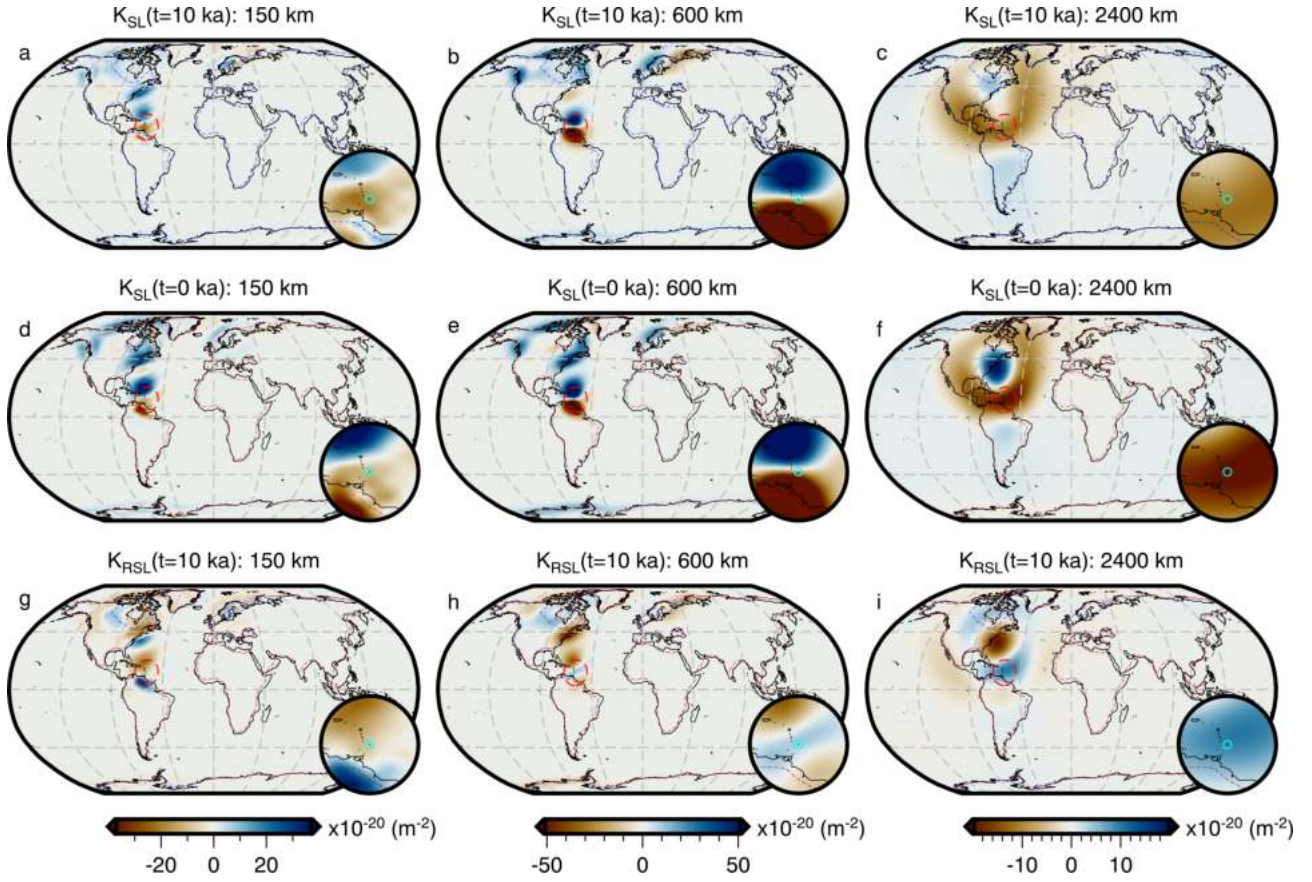


Figure 9: **Comparison of viscosity sensitivity kernels for absolute and relative sea-level observations at Barbados.** Panels are the same as Figure 8 except that the shallowest depth slice is now at 150 km and the width of inset map is 20° .

5.3.3 Viscosity Sensitivity Kernels for Seychelles

In our final example, we consider a far-field observation site in the Seychelles where sea level has risen during the final 10 kyr of the forward GIA simulation. Figure 10 shows images of the viscosity sensitivity kernels at depths of 300 km, 600 km, and 2400 km for the two absolute sea-level observations at 10 ka and 0 ka, as well as for a relative sea-level observation spanning the period 10–0 ka. We observe two distinct groups of kernels for observations that are located at far-field sites. The first is characterized by a significant continental region lying between the observation site and the dominant region of ice mass change, such that there is no appreciable ocean load change within this intermediate region. As a result, a more diffuse sensitivity pattern develops similar to that observed in the Seychelles example (Figure 10). The second group occurs for observation sites, such as Tahiti, where the intervening region is predominantly ocean basin. These kernels exhibit an approximately linear, high-amplitude zone of sensitivity between the site and locations of ice-mass change (Crawford *et al.*, 2018), which is reminiscent of *banana-doughnut* kernels in seismology (Dahlen *et al.*, 2000). While we have not illustrated an example of this second group here, we point out that our kernel for Barbados has some similar features (Figure 9).

Within the viscosity sensitivity kernel for absolute sea-level measurements at 10 ka and 0 ka, there are again a number of local features that reflect a range of deformational processes. First, the negative kernel value at all depths beneath the Seychelles reflects the fact that, during deglaciation, the ocean load increases and a stiffer mantle therefore results in less subsidence and lower absolute sea level, similar to Barbados. Furthermore, the negative kernel values beneath the observation site are observed throughout the mantle, suggesting that, in contrast to the Andenes example, coupling between the upper and lower mantle has limited influence on the behaviour of sea level at this site. We speculate that this aspect occurs because the load change due to the ocean, though long wavelength, is small in amplitude relative to that of the Fennoscandian ice sheet. Meanwhile, surrounding the Seychelles beneath the ocean, the sensitivity kernel is positive at 300 km depth (Figure 10a,d), indicating that an increase in viscosity there will increase absolute sea level. This relationship suggests that a stiffer mantle in this region will result in less subsidence of the solid Earth due to the growing ocean load, with the corresponding reduction in local ocean capacity raising absolute sea level at the observation site. Further to the west, we see a positive-to-negative polarity change at 300 km depth crossing from offshore to onshore east Africa (Figure 10a,d). This pattern reflects the influence of continental levering on the behaviour of sea level at the Seychelles, with an increase in viscosity causing deformation across the coastline to become lower amplitude and longer wavelength. We suggest that the Seychelles are sufficiently close to the east African shoreline to sense this reduction in offshore subsidence during deglaciation, raising the solid Earth and reducing absolute sea level at the observation site.

The upper mantle and transition zone kernels for absolute sea-level observations at both 10 ka and 0 ka have similar amplitudes within the vicinity of the ice sheets, with the highest values occurring beneath their peripheries. We argue that this sensitivity pattern is related to ocean siphoning (Mitrovica & Milne, 2003), in which a higher viscosity leads to slower subsidence of the peripheral bulges and hence higher absolute sea level in the far field. Additionally, the kernel at 0 ka exhibits a negative anomaly beneath Hudson Bay. This area is rebounding in response to glacial unloading and, following the demise of the Laurentide ice sheet, continuing uplift will expel water from Hudson Bay and cause absolute sea level to rise in the far field.

Figure 10g–i also shows the viscosity sensitivity kernel for a relative sea-level measurement in the Seychelles dating from 10 ka. Although this kernel does exhibit differences in polarity in some locations, the more intriguing feature is its loss of sensitivity throughout some regions of the mantle. For example, at 300 km depth, there is a reduction in regional sensitivity to viscosity and the observation is restricted to sensing local viscosity structure predominantly beneath the observation site and in the vicinity of the east African coastline. This behaviour occurs because the evolution of the local ocean load leads to similar sensitivities for absolute sea-level observations at 10 ka and 0 ka, except for a slight westward (i.e., inland) shift of the coastline due to shoreline migration. Thus, it is near this region that *visible* sensitivities are focused, indicating that relative sea level in the Seychelles from 10 ka is more sensitive to shoreline migration than continental levering. Similarly at 300 km and 600 km depth, there is a reduction in the spatial extent of sensitivities at the peripheries of the ice sheets. We conclude from this pattern that the relative sea-level measurement is less sensitive to forebulge deformation and associated ocean siphoning than its constituent absolute sea-level observations. Through these two examples, we have demonstrated that absolute versus relative sea-level observations from the same location and time period can have quite distinct sensitivities to deformational processes and thus regions of mantle viscosity.

To finish, we return to a striking characteristic of the viscosity sensitivity kernels for both types of far-field sea-level observations, which is that similar amplitude sensitivities are found beneath both the region of the observation site and the regions of ice mass change, even when the two are antipodal. This simple observation has two profound consequences for the use of far-field relative sea-level data to constrain mantle viscosity and, by extension, ice history. First, for a laterally heterogeneous Earth, their use will lead to an estimate that blends local and distal viscosity structure. Such biases in 1D estimates of mantle viscosity have been demonstrated in forward analyses (e.g., Lau *et al.*, 2018), but the sensitivity kernels in Figure 10 quantitatively illustrate the reasons for this behaviour. From the perspective of a local relative sea-level dataset, one cannot simply disentangle the influence of the local viscosity structure, which controls the relative local distribution of the ocean load, from the viscosity structure beneath the changing ice sheet and forebulge regions, which dominates the change in total water mass accommodated in the observation region. Furthermore, from the perspective of a global far-field relative sea-level dataset, this bias is exacerbated by the fact that the mantle underlying regions of ice-mass change is sampled by every observation, while the local mantle structure in the far field may only be sampled by a handful of observations. An important consequence is that 1D estimates of mantle viscosity are likely biased towards the viscosity structure underlying regions of significant load change, such as beneath the Laurentide and Fennoscandian ice sheets.

Second, the sensitivity kernels in Figure 10 hint at a means to minimize sensitivity to distal mantle structure, while preserving sensitivity to local structure. We can envision this idea by imagining that a second observation site exists on the northern coast of Madagascar. While its kernel will locally appear quite different, distal regions will be similar and thus, by differencing kernels (i.e., differencing the relative sea-level measurements), sensitivity is minimized to distal mantle structure whilst being locally enhanced. This thought experiment demonstrates the potential power of differential relative sea-level measurements for constraining local mantle rheology (e.g., Nakada & Lambeck, 1989).

5.4 The effect of 3D viscosity on relative sea-level observations

Now that we have gained some insight into the nature of viscosity sensitivity kernels for absolute and relative sea-level observations on a 1D radial Earth, we turn our attention to exploring the effects of lateral variability in viscosity, which will begin to reveal the non-linear nature of the viscosity Fréchet derivatives. Through two examples, we investigate the influence of geodynamic features including hotspots, slabs, and variable lithospheric thickness, which are likely to be characterized by variations in viscosity structure. Although these sources of viscosity heterogeneity influence the sensitivity kernels for both types of sea-level observations (e.g., Figures 11–12 and Supp. Figures S7–S18), we focus on those for relative sea-level observations since they form the foundation of the palaeo sea-level record and will be used to invert for 3D mantle structure in our companion study (Lloyd *et al.*, in prep). Nevertheless, by including an albeit long-wavelength inference of Earth’s 3D viscous structure, the kernels for both types of sea-level observations represent a more realistic quantification of observational sensitivity to viscosity compared to those based on a 1D radial viscosity model (Section 5.3 and Crawford *et al.*, 2018).

In our first example, we consider a relative sea-level observation from the Amundsen Sea Embayment of Antarctica that dates to 2.2 ka (Johnson *et al.*, 2008). In this region, seismically slow mantle wave speeds

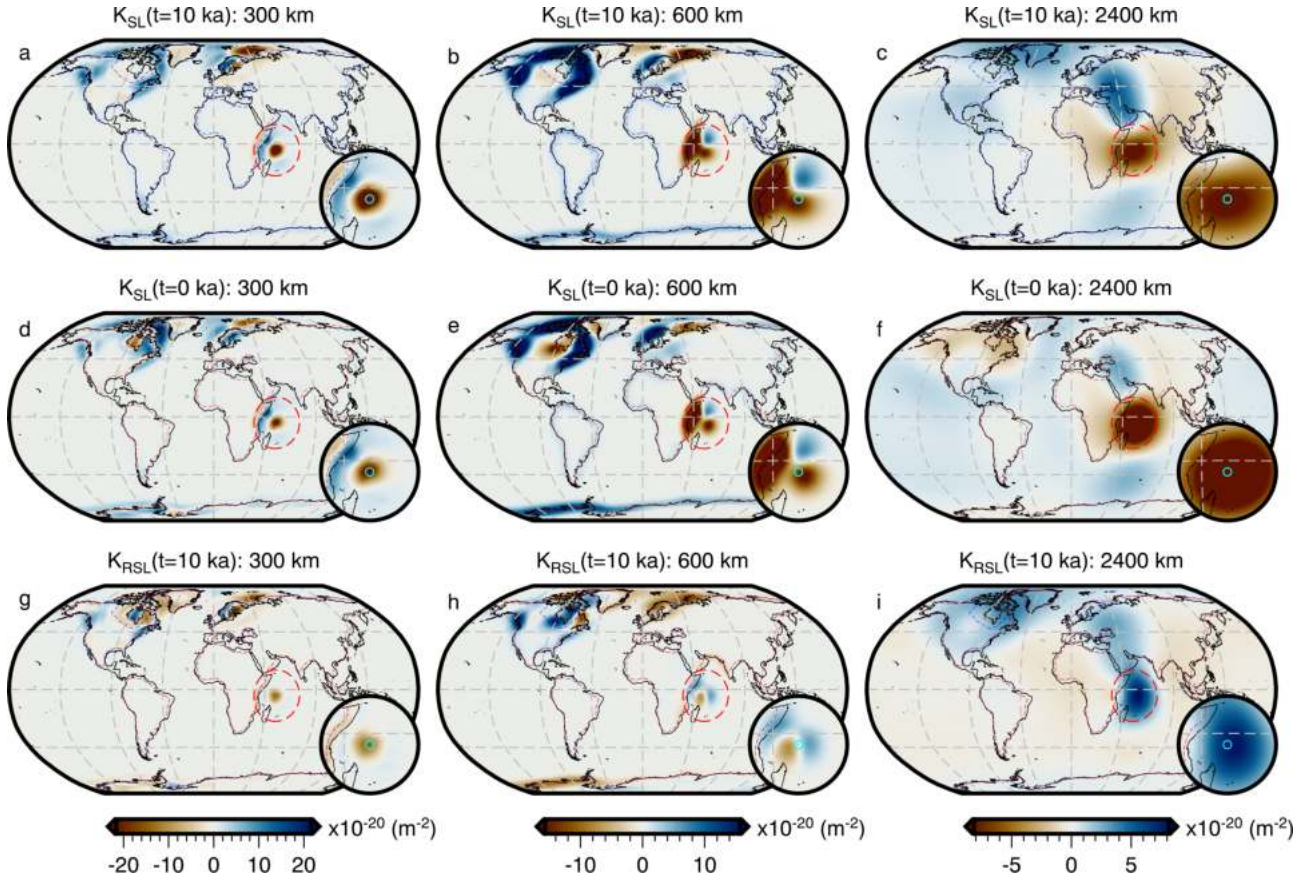


Figure 10: **Comparison of viscosity sensitivity kernels for absolute and relative sea-level observations at the Seychelles.** Panels are the same as Figure 8 except that the shallowest depth slice is now at 300 km and the width of inset map is 40° .

(Lloyd *et al.*, 2020) and rapid uplift rates recorded by continuous GNSS stations installed on bedrock suggest the presence of a low viscosity ($\sim 10^{18}$ Pa·s) upper mantle and transition zone (Barletta *et al.*, 2018). To first order, this feature is present in our filtered and truncated 3D viscosity inference (Figure 2), although it lacks the lowest of viscosities and finer scale structure that has been imaged by regional seismic tomography models (e.g., Lloyd *et al.*, 2015, 2020; Lucas *et al.*, 2020). Nevertheless, it still demonstrates the dramatic influence that even this modest degree of lateral viscosity heterogeneity can have on the structure of the viscosity sensitivity kernel (Figure 11).

Inclusion of lateral viscosity variability causes a dramatic increase in the amplitude of the sensitivity kernel at shallow depths (e.g., 75 km) from $\pm 0.01 \times 10^{-20}$ to $\pm 180 \times 10^{-20} \text{ m}^{-2}$, which reflects and increase in viscous deformation at this depth due to a weaker regional viscosity structure. In addition, we find that kernels based on the 3D viscosity model are characterized by spatially restricted, more focused features that exhibit greater complexity with depth. This pattern reflects the length scale of deformation present within the simulation and is controlled by the interaction between the viscosity structure and the distribution and magnitude of the surface-load changes. Furthermore, the nearly pure elastic response of the thick East Antarctic lithosphere strongly zeros out the sensitivity to viscosity within this region (see Figure 11 at 150 km depth).

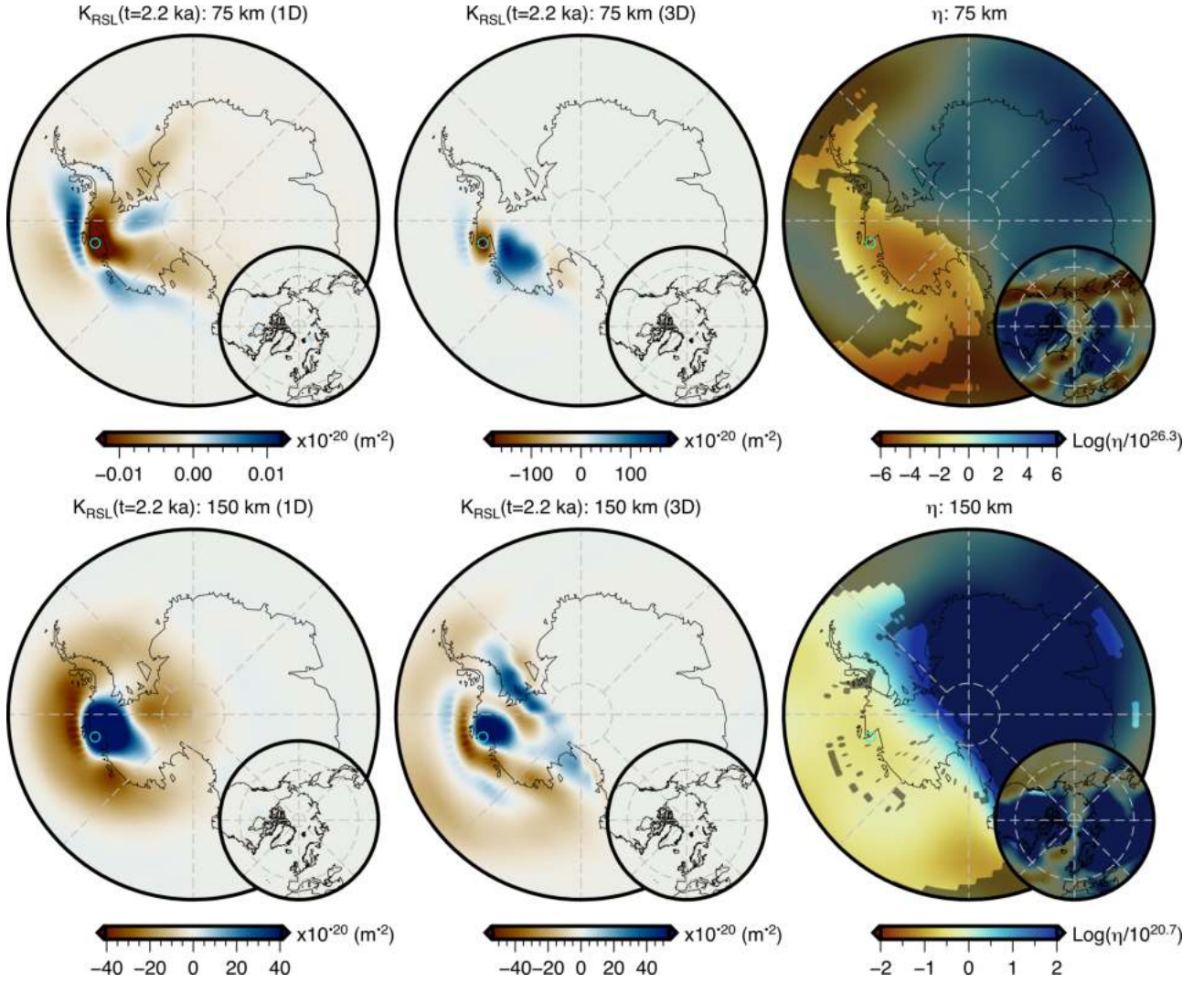


Figure 11: **Relative sea-level viscosity sensitivity kernels for 1D and 3D viscosity structure.** Slices at 75 km and 150 km depth through the viscosity sensitivity kernels for a relative sea-level observation on an unnamed island in the Amundsen Sea Embayment (cyan circle) dating to 2.2 ka (Johnson *et al.*, 2008). The first column shows the sensitivity kernel obtained when assuming our 1D viscosity model (Section 4.1 and Supp. Figure S4) and the second column shows the sensitivity kernel obtained when assuming our filtered and truncated 3D viscosity inference (Figure 2). It is this 3D viscosity structure that is shown in the third column and regions where the amplitude of the sensitivity kernel are less than 10^{-20} m^{-2} are shaded in grey. The inset map is centered on the north pole and has a width of 90° .

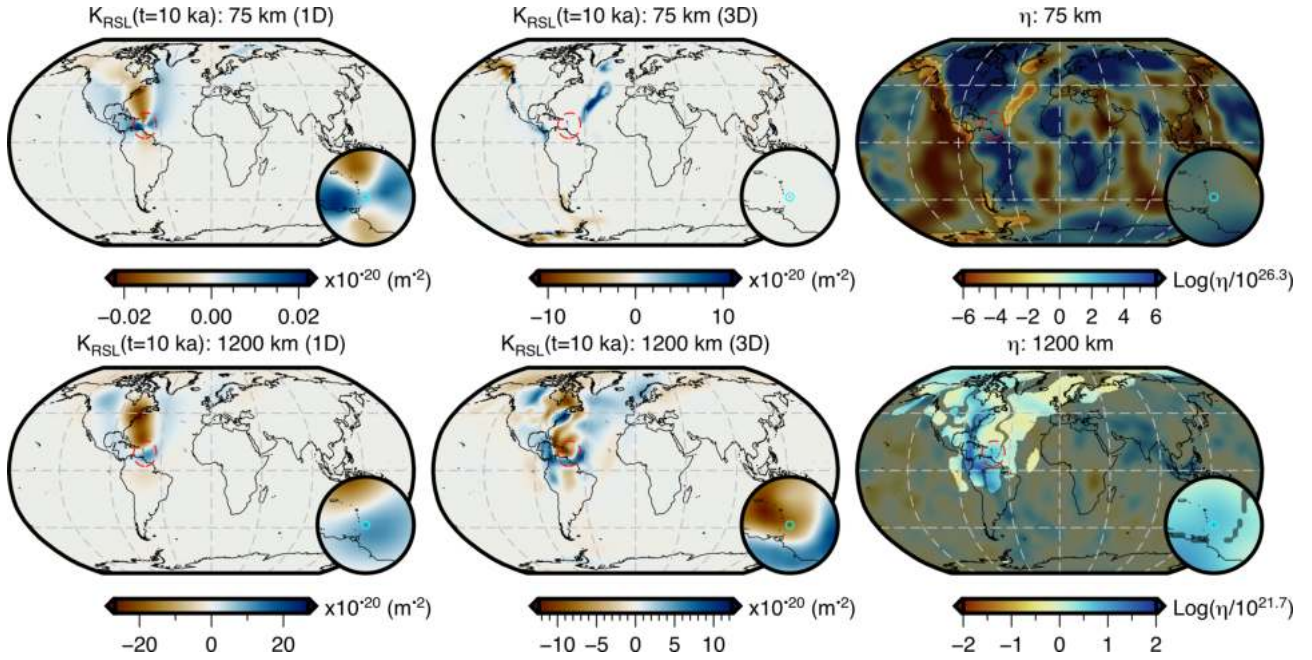


Figure 12: **Relative sea-level viscosity sensitivity kernels for 1D and 3D viscosity structure.** Panels are similar to Figure 11, but for a relative sea-level observation located at Barbados dating to 10 ka. Here, we show slices at 75 km and 1200 km depth with an inset centered on Barbados, identical to Figure 9.

In our second example, we consider a hypothetical relative sea-level observation from Barbados that dates to 10 ka. Barbados represents another end member of the plate tectonic regime, as it lies along the Caribbean subduction zone where cold, high-viscosity oceanic lithosphere is subducted into the mantle. The presence of this slab has previously been argued to suppress local viscous deformation and to reduce sea-level change due to local ocean loading (Austermann *et al.*, 2013). Although our filtered and truncated 3D viscosity model does not have the resolution to fully capture the downgoing South American plate (Figure 2), sufficient structure is present to capture its likely effects on the viscosity sensitivity kernel.

In Figure 12, we see that introduction of 3D structure beneath Barbados results in negligible sensitivity at 75 km depth within high-viscosity regions and indicates that, for these load changes and at these time scales, elastic deformation dominates within this region of the mantle. In contrast, weaker viscosity regions that are located further from the observation site exhibit notably higher sensitivity because they undergo greater viscous deformation. For example, portions of the mid-Atlantic ridge have positive kernel values, indicating that an increase in viscosity there would lead to an increase in relative sea-level at Barbados as mantle material cannot escape as efficiently along the mid-ocean ridge axis. This behaviour of distal viscous deformation more strongly influencing relative sea level at Barbados than local viscous deformation is consistent with the ocean loading model for the Caribbean subduction zone proposed by Austermann *et al.* (2013). In their model, they suggest that ocean loading at Barbados produces less viscous deformation because of the high viscosity of the subducting South American plate, while adjacent regions underlain by weaker viscosities undergo greater viscous deformation. At greater depths (e.g., 1200 km), we observe significant and complex changes to the structure of the viscosity sensitivity kernel for 1D versus 3D viscosity models. For example, we observe a switch

from negative to positive kernel values beneath the eastern coast of North America, which may be related to presence of the Farallon slab. While the origin of these changes is not always obvious, they demonstrate the importance of 3D viscosity structure in modulating which regions of the Earth any given observation is sensitive to.

Finally, it is also worth noting that many regions of the mantle are not characterized by strong viscosity heterogeneity, but rather small perturbations about a mean mantle viscosity (Figure 2 and Supp. Figure S2). In these regions of the mantle there can be little change in first-order structure of the viscosity kernel (e.g., 300 km depth; Supp. Figure S18), even when stronger viscosity heterogeneity exists at other depths nearby (e.g., 150 km depth; Figure S18). This statement, however, is not universally true and thus, we must strive to improve models of Earth's structure and rheology as well as ice history in order to better understand how observations of GIA truly sense key model parameter, like mantle viscosity.

6 Conclusions

In part one of our efforts to lay out a robust framework for imaging 3D mantle viscosity using palaeo sea-level observations, we have reviewed the rate formulation of the forward and adjoint GIA problem as derived by Al-Attar & Tromp (2013) and Crawford *et al.* (2018). We have extended this work to calculate sensitivity kernels for observations of relative sea level and, in the process, have demonstrated that their adjoint loads are composed of equal but opposite sea-level adjoint loads at t_{obs} and t_p . Moreover, we have shown that these kernels can also be determined by differencing the sensitivity kernels for absolute sea-level observations at t_{obs} and t_p . Although we focus on viscosity sensitivity kernels, the approach can also be used to calculate sensitivity kernels for other model parameters, such as the rate of change in ice thickness.

We have presented an extension to the numerical implementation of the forward and adjoint GIA problem that allows for the inclusion of 3D viscosity, which is a fundamental requirement for 3D imaging. In order to apply this extension sensibly, a new inference of 3D mantle viscosity based on the shear-wave speed of GLAD-M25 (Bozdağ *et al.*, 2016; Lei *et al.*, 2020) has been produced, roughly following the approach of Auermann *et al.* (2021). Care has been taken during its construction to allow the entire mantle and crust to be viscoelastic. Through this choice, we naturally include lateral variations in lithospheric viscosity and thickness, thereby permitting characteristics of the surface load changes to determine the extent of elastic versus viscous deformation. This new 3D viscosity inference, along with its inferred mantle temperature structure, is included within the supplementary materials.

We have further demonstrated how the adjoint method can be used to determine the initial sea level of the simulation, such that for any combination of Earth structure, rheology, and ice history, forward GIA simulations accurately arrive at the observed present-day topography. In order to minimise numerical artifacts due to the truncation of the underlying spherical harmonic basis functions, we have shown the importance of a two-step inversion strategy that initially focuses on fitting long-wavelength observations before adding in shorter-wavelength features. This same strategy may be effective in avoiding local minima and has been successfully employed in seismic tomography based on the adjoint method (e.g., Bozdağ *et al.*, 2016; Lei *et al.*, 2020; Lloyd *et al.*, 2020). Although a similar iterative approach to this problem is routine (e.g., Kendall *et al.*, 2005), our procedure permits simultaneous inversion for initial sea level and other model parameters (e.g.,

mantle viscosity).

Using a 1D Earth structure, we have provided and discussed the characteristics of viscosity sensitivity kernels for both absolute and relative sea-level observations that are located in near-field, forebulge, and far-field settings. Through these examples, we gain intuition concerning how physical processes are encoded within the structure of the kernel. For example, we have explored how the geometry of solid Earth rebound and forebulge collapse influences sea level. We have observed how sea-level observations are influenced by continental levering, by ocean siphoning and expulsion, and by coupling of weaker viscosity upper mantle with stiffer viscosity lower mantle. We acknowledge that identification of these processes can be challenging, but doing so provides deeper insight into the behaviour of sea level at a particular location and can improve the design of forward modeling experiments.

Although there are many differences amongst the viscosity sensitivity kernels for observations of absolute sea level and relative sea level, there are a four general characteristics that are worth reiterating. First, kernels for near-field observations have amplitudes ~ 10 – 100 times greater than those that are located on the forebulge or within the far field. Second, the sensitivities for near-field observations are dominated by the closest regions of surface mass change. In contrast, kernels for far-field observations have similar amplitude sensitivity both locally and beneath regions of major surface mass change (e.g., Laurentide ice sheet). This last point conveniently demonstrates why estimates of 1D mantle viscosity based on far-field observations may be biased. Third, far-field viscosity sensitivity kernels fall into two groups that can be differentiated based on whether the region intermediate to the observation site and ice-mass change is dominantly continental or oceanic in nature. For the former, the structure of the kernel is more diffuse, while in the latter, a linear and higher amplitude zone of sensitivity develops that is reminiscent of *banana-doughnut* kernels in seismology (Dahlen *et al.*, 2000). Lastly, observations of absolute sea level and relative sea level are uniquely sensitive to viscosity in the deep mantle and the amplitude of their 3D sensitivity kernels are non-negligible, in contrast to what has previously been suggested by 1D sensitivity kernels (e.g., Mitrovica & Peltier, 1991; Lau *et al.*, 2016; Crawford *et al.*, 2018).

Finally, in the context of relative sea-level observations, we have explored and discussed the impact of 3D mantle viscosity on the structure of the viscosity sensitivity kernels. In general terms, inclusion of 3D viscosity structure leads to greater complexity of the kernels. Using examples from the Amundsen Sea Embayment and Barbados, we have demonstrated that including lower viscosity regions introduces higher amplitude and shorter wavelength structure into the kernel. In high-viscosity regions, the inverse is true and there is a threshold above which elastic deformation dominates and the viscosity sensitivity kernel tends to zero. This latter effect leads to the greatest sensitivities being concentrated in a lower viscosity region that can be quite distal to the observation site. These examples begin to reveal the non-linear behaviour of the viscosity Fréchet derivatives and hence, indicate the highly non-linear nature of an inversion for 3D mantle viscosity. It is this inversion that we will focus on in a companion study (Lloyd *et al.*, in prep), where we will use the tools and intuition developed herein to develop strategies for inverting synthetic palaeo sea-level observations in order to image a target 3D mantle viscosity model.

Acknowledgments

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Data availability

The 3D viscosity and temperature inference based on the shear-wave speeds of GLAD-M25 (Lei *et al.*, 2020; Bozdağ *et al.*, 2016) are included with the supplementary materials.

Appendix A Review of the Rate Formulation of the Forward and Adjoint GIA Problem

A.1 The Forward GIA Problem

The approach taken here to solve the GIA problem differs from methods that rely on iteratively solving the sea-level equation (e.g., Mitrovica & Milne, 2003; Kendall *et al.*, 2005). Instead, Al-Attar & Tromp (2013) and Crawford *et al.* (2018) derive coupled evolution equations that embody the same physics as the sea-level equation, but can be solved numerically with an explicit time-stepping scheme and are ideally suited for the adjoint method. Like other solutions of the GIA problem, the solid Earth is assumed to undergo quasi-static deformation, to be self-gravitating, and to be initially at rest and in hydrostatic equilibrium. Previously, it has been assumed to be spherical, isotropic, compressible, and composed of an elastic inner core, an inviscid fluid outer core, a viscoelastic mantle, and an elastic lithosphere. In our simulations, however, we allow the mantle and crust to deform viscoelastically and allow the lithosphere to be defined by the extent of high-viscosity regions and the characteristics of the load changes (Section 4). In addition, we assume that deformation in viscoelastic regions is governed by a Maxwell rheology and neglect bulk viscosity, which are both common assumptions in GIA studies (Whitehouse, 2018), although it is worth noting that transient linear and nonlinear rheologies can also be implemented within our strategy (as discussed in Crawford *et al.*, 2016).

Crawford *et al.* (2018) extended the work of Al-Attar & Tromp (2013) to include gravitationally self-consistent sea-level change with shoreline migration. This extension is achieved by assuming that the oceans and ice sheets are sufficiently thin that they can be represented as surface loads. Strictly speaking, the inclusion of ice sheets, non-global oceans, and continents violates the model’s initial condition of hydrostatic equilibrium.

Table A1: Variables used in the forward and adjoint formalism.

Variable	Meaning	Variable	Meaning
Mathematical Symbols, Superscripts, Subscripts			
$\dot{*}$	Time derivative	∇	Gradient
$*'$	Test function	$*^\dagger$	Adjoint variable
\cdot	Dot product	$:$	Contraction
M_S	Union of the solid regions	dV	Volume integral
∂M	Surface	dS	Surface integral
Time Parameters			
t	Forward time	t^\dagger	Adjoint time ($t^\dagger = t_1 - t + t_0$)
t_0	Initial time (e.g., 26 ka)	t_1	Final time (e.g., 0 ka)
Ice-Sheet Parameters			
I	Ice thickness	ρ_i	Density of ice
I_0	Initial ice thickness	I_c	Current ice thickness
Sea-Level Parameters			
SL	Sea level	ρ_w	Density of water
C	Ocean mask	A	Area of Ocean
SL_0	Initial sea level		
Solid-Earth Parameters			
\mathbf{u}	Displacement	ϕ	Gravitational potential perturbation
Φ	Gravitational potential of the reference model	g	Magnitude of gravitational acceleration
μ_0	Unrelaxed shear modulus	\mathcal{A}	Bilinear form associated with elasto-gravitational forces
η	Viscosity	τ	<i>Maxwell relaxation time</i>
\mathbf{m}	<i>Internal memory variable</i>	\mathbf{d}	Deviatoric strain tensor
$\boldsymbol{\tau}$	Deviatoric Stress		
Select Adjoint Parameters			
$\dot{h}_{SL,\mathbf{u},\phi}$	Adjoint loads, sea level, displacement, gravitation perturbation	K_{η,SL_0}	Sensitivity kernel, viscosity, initial sea level

1096 Nevertheless, the expected departure from a hydrostatic pre-stressed field due to realistic lateral heterogeneity
1097 will be small, and so additional terms associated with the deviatoric pre-stress are neglected (Dahlen & Tromp,
1098 1999). It is also assumed that the oceans remain in hydrostatic equilibrium and are interconnected, thus requiring
1099 their surface to lie along the same gravitational equipotential. Under the assumption that water mass is conserved
1100 between the oceans and ice sheets, the rate of sea-level change is

$$\dot{SL} = -\frac{1}{g}(\dot{\mathbf{u}} \cdot \nabla \Phi + \dot{\phi}) + \frac{1}{gA} \int_{\partial M} C(\dot{\mathbf{u}} \cdot \nabla \Phi + \dot{\phi}) dS - \frac{\rho_i}{\rho_w A} \int_{\partial M} (1 - C) \dot{I} dS, \quad (\text{A1})$$

where dots are used to denote time derivatives and the variables are defined in Table A1. The reduced weak form of the forward GIA problem with gravitationally self-consistent sea-level change and shoreline migration is

$$\begin{aligned} \mathcal{A}(\dot{\mathbf{u}}, \dot{\phi} | \mathbf{u}', \phi') - \frac{\rho_w}{g} \int_{\partial M} \left[\dot{\mathbf{u}} \cdot \nabla \Phi + \dot{\phi} - \frac{1}{A} \int_{\partial M} C(\dot{\mathbf{u}} \cdot \nabla \Phi + \dot{\phi}) dS \right] C(\mathbf{u}' \cdot \nabla \Phi + \phi') dS \\ = \int_{M_S} 2\mu_0 \left[\dot{\mathbf{m}} : \mathbf{m}' + \frac{1}{\tau} (\mathbf{d} - \mathbf{m}) : (\mathbf{d}' - \mathbf{m}') \right] dV \\ - \rho_i \int_{\partial M} (1 - C) \dot{I}_c \left[\mathbf{u}' \cdot \nabla \Phi + \phi' - \frac{1}{A} \int_{\partial M} C(\mathbf{u}' \cdot \nabla \Phi + \phi') dS \right] dS. \quad (\text{A2}) \end{aligned}$$

Within this equation, \mathbf{m} is an *internal variable* used to simulate a Maxwell rheology, with the evolution of this term governed by

$$\dot{\mathbf{m}} + \frac{1}{\tau} (\mathbf{m} - \mathbf{d}) = \mathbf{0}. \quad (\text{A3})$$

Here, the *internal variable* \mathbf{m} contains the memory of past deformation, in which the duration of this memory is controlled by the *Maxwell relaxation time*, τ , and is by extension related to viscosity, η . The derivation of these equations can be found in Al-Attar & Tromp (2013) and Crawford *et al.* (2018). We now focus on a few key aspects of eqs. (A1) and (A2).

First, and foremost, eqs. (A1) and (A2) are a non-iterative formulation for gravitationally self-consistent sea-level change with shoreline migration. The non-linearity of shoreline migration, due to the interplay of ocean height and solid Earth deformation, is captured through dependence of the ocean function, C , on the ocean height, SL , and the ice thickness, I . Note that this rate formulation does not yet include rotation, which is the subject of ongoing work. It is our expectation that rotation will have a minor and long-wavelength effect on the viscosity sensitivity kernels because it is composed primarily of a spherical harmonic degree-two and order-one signal (e.g., Han & Wahr, 1989; Milne & Mitrovica, 1998). In addition, rotation is not required to develop an adjoint-based recalibration scheme for initial sea level nor is it required to initially explore inversion strategies for imaging 3D mantle viscosity (Lloyd *et al.*, in prep).

Equation (A2) forms the core of the forward GIA problem and consists of the elasto-gravitational terms within $\mathcal{A}(\dot{\mathbf{u}}, \dot{\phi} | \mathbf{u}', \phi')$, the surface-load changes due to the ice sheets and ocean that manifest within the second and fourth integral terms, and the viscous response of the system within the third integral term. As written, all terms containing the unknown deformation field components, $\{\dot{\mathbf{u}}, \dot{\phi}\}$, are on the left-hand side and the right-hand side contains the integral terms that are readily calculated or known. Because these terms are linear with respect to $\dot{\mathbf{u}}$ and $\dot{\phi}$, eq. (A2) has the schematic form $\mathbf{A}\dot{\mathbf{x}} = \mathbf{b}$, which means that the time derivatives of the deformation fields can be obtained by solving a set of linear equations. Finally, time derivatives of sea level and the *internal variables* can be directly calculated from their stated evolution equations and, in this manner, the whole system can be time-stepped.

A.2 The Adjoint GIA Problem

Similar to the previous subsection, we will not review the full derivation of adjoint GIA equations presented in Crawford *et al.* (2018) but rather go over the essential ideas behind their derivation in a schematic manner. We

will then state the form of the adjoint equations and briefly discuss their structure, as well as explaining how the relevant sensitivity kernels are derived.

Let the vector, U , denote the state of the physical system and a vector, P the underlying model parameters. The state vector, U is defined over an interval $t \in [t_0, t_1]$, while P may also have an explicit time-dependence. We suppose that the forward problem governing the physics is posed as an initial-value problem

$$\dot{U} - g(U, P) - \mathcal{F} = 0, \quad U(t_0) = U_0, \quad (\text{A4})$$

where g is a given function of U and P , while \mathcal{F} describes the forcing of the system. This equation is assumed to have a unique solution, U , for any appropriate value of P .

We also consider a scalar-valued *objective function* $F(U, P)$, which could be an observation (e.g., sea level at a specific location and time) or the misfit between predictions and observations. The explicit dependence of F on the model vector P would, in practice, be due to regularization terms within the misfit, which might seek to dampen or smooth the solution. By solving the forward problem, the state of the system U becomes an implicit function of the model parameters P , the initial state U_0 , and the system forcing \mathcal{F} and can be written as $U = \hat{U}(P, U_0, \mathcal{F})$. The corresponding value of F then depends on P and U_0 alone, and to illustrate this fact, we define the *reduced* functional

$$\hat{F}(P, U_0) = F[\hat{U}(P, U_0, \mathcal{F}), P]. \quad (\text{A5})$$

Our goal is to differentiate the function, \hat{F} , with respect to the model parameters, P , and initial conditions, U_0 . This procedure is equivalent to differentiating $F(U, P)$ with respect to P , subject to the constraint that U satisfies the stated initial value problem. To achieve this task, we apply the method of Lagrange multipliers, and so introduce the *Lagrangian*

$$\mathcal{L}(U, U', P, U_0, U'_0) \equiv F(U, P) - \int_{t_0}^{t_1} \langle \dot{U} - g(U, P) - \mathcal{F}, U' \rangle dt - \langle U(t_0) - U_0, U'_0 \rangle. \quad (\text{A6})$$

Here $\langle \cdot, \cdot \rangle$ denotes an appropriate inner product for state vectors, U' is a time-dependent Lagrange multiplier associated with the differential equation for U , and U'_0 is a time-independent Lagrange multiplier linked to the initial conditions. The Lagrange multiplier theorem states that

$$\begin{aligned} D_P \hat{F}(P, U_0) &= D_P L(U, U', P, U_0, U'_0), \\ D_{U_0} \hat{F}(P, U_0) &= D_{U_0} L(U, U', P, U_0, U'_0), \\ D_{\mathcal{F}} \hat{F}(P, U_0) &= D_{\mathcal{F}} L(U, U', P, U_0, U'_0), \end{aligned} \quad (\text{A7})$$

so long as the following conditions hold:

$$D_{U'} L(U, U', P, U_0, U'_0) = 0, \quad D_{U'_0} L(U, U', P, U_0, U'_0) = 0, \quad D_U L(U, U', P, U_0, U'_0) = 0. \quad (\text{A8})$$

The first two conditions, stating that L is stationary with respect to the Lagrange multipliers, simply require that the state vector solves the given initial value problem. The final condition, however, gives rise to new equations that must be satisfied by the Lagrange multipliers. To demonstrate this aspect, we note that for any variation δU

1150 to the state vector, we must have

$$\int_{t_0}^{t_1} \langle \delta U, \mathcal{H}' \rangle dt + \int_{t_0}^{t_1} \langle \delta U, \dot{U}' + [D_U g(U, P)]^* U' \rangle dt + \langle \delta U(t_0), U'(t_0) + U'_0 \rangle - \langle \delta U(t_1), U'(t_1) \rangle = 0, \quad (\text{A9})$$

1151 where the first term involving \mathcal{H}' arises through variation of F with respect to U . Note that, to isolate δU within
 1152 the second integral, an integration by parts has been performed and the definition of the adjoint (indicated by
 1153 the superscript $*$) of a linear operator has been applied. In order for this relationship to hold for arbitrary δU ,
 1154 we see that U' must satisfy the following differential equation

$$\dot{U}' + [D_U g(U, P)]^* U' + \mathcal{H}' = 0, \quad (\text{A10})$$

1155 subject to the terminal condition $U'(t_1) = 0$, while we also have $U'_0 = U'(t_0)$.

1156 As it is more usual to work with initial value problems, a new variable U^\dagger can be introduced through

$$U^\dagger = \mathbb{T}U', \quad (\text{A11})$$

1157 where we have introduced a *time reversal operator* by

$$(\mathbb{T}U)(t) = U(t_1 - t + t_0). \quad (\text{A12})$$

1158 Here, we note that the terminal condition on U' at t_1 is mapped to an initial condition on U^\dagger at t_0 . Having made
 1159 this definition, we see that U^\dagger satisfies the differential equation

$$\dot{U}^\dagger - \mathbb{T} [D_U g(U, P)]^* \mathbb{T}U^\dagger + \mathcal{H}^\dagger = 0, \quad (\text{A13})$$

1160 where equivalently $\mathcal{H}^\dagger = \mathbb{T}\mathcal{H}'$. Closer examination of eq. (A13) reveals that time is reversed only for
 1161 $[D_U g(U, P)]^*$ relative to other terms, which all share a superscript † . In other words, the first appearance of
 1162 \mathbb{T} reverses the direction of time, while the second appearance returns the flow of time to its original direction.
 1163 Similarly, the initial condition is transformed to $U^\dagger(t_0) = 0$ and we also see that $U^\dagger(t_1) = U'_0$. It is conventional
 1164 to call U^\dagger the *adjoint state vector* and the above equations the *adjoint problem*, which are driven by the *fictitious*
 1165 adjoint forcing, \mathcal{H}^\dagger . Finally, we note that the structure of the adjoint problem (eq. A13) is very similar to that
 1166 of the forward problem (eq (A4)) and, in such instances, solutions for U^\dagger can often be obtained using the same
 1167 numerical scheme as the forward problem.

1168 Calculation of the derivative of \hat{F} with respect to P and/or U_0 requires us to solve: (1) the forward problem
 1169 for the state vector U , and (2) the closely related adjoint problem for the adjoint state U^\dagger , with this latter problem
 1170 depending on the state vector both through the adjoint force, \mathcal{H}^\dagger , and, for non-linear forward problems, through
 1171 the linear operator $D_U g(U, P)$. Having solved these problems, we can use eq. (A7) to obtain the derivative of
 1172 \hat{F} with respect to P , U_0 , or \mathcal{F} . For example, the first-order change in \hat{F} due to a perturbation δP to the model
 1173 parameters, δU_0 to the initial conditions, and $\delta \mathcal{F}$ to the system forcing, is given by

$$\delta \hat{F} = \langle D_P F(U, P), \delta P \rangle + \int_{t_0}^{t_1} \langle [D_P g(U, P)]^* \mathbb{T}U^\dagger, \delta P \rangle dt + \langle U^\dagger(t_1), \delta U_0 \rangle + \int_{t_0}^{t_1} \langle U^\dagger, \delta \mathcal{F} \rangle dt \quad (\text{A14})$$

Here, the first term on the right-hand side typically arises due to regularization and the second term contains the interaction of the forward and adjoint simulations. It is notable that the choice of objective function, F , enters into the adjoint problem only through the adjoint force \mathcal{H}^\dagger . This aspect means that minimal changes are required to apply the theory to new types of measurements or misfit functions.

Following on from this mathematical schematic, the appropriate *Lagrangian* for the GIA problem (eq. 78 of Crawford *et al.*, 2018) is given by

$$\begin{aligned} \mathcal{L} = & F - \rho_w g \int_{\partial M} [SL(t_0) - SL_0] SL'(t_0) dS + \rho_i g \int_{\partial M} [I(t_0) - I_0] I'(t_0) dS \\ & + \int_{t_0}^{t_1} \mathcal{A}(\dot{\mathbf{u}}, \dot{\phi} | \mathbf{u}', \phi') - \int_{M_S} 2\mu_0 \left[\dot{\mathbf{m}} : \mathbf{m}' + \frac{1}{\tau} (\mathbf{d} - \mathbf{m}) : (\mathbf{d}' - \mathbf{m}') \right] dV - \rho_w g \int_{\partial M} \dot{S} L S' dS \\ & - \frac{\rho_w}{g} \int_{\partial M} \left[\dot{\mathbf{u}} \cdot \nabla \Phi + \dot{\phi} - \frac{1}{A} \int_{\partial M} C(\dot{\mathbf{u}} \cdot \nabla \Phi + \dot{\phi}) dS \right] [gSL' + C(\mathbf{u}' \cdot \nabla \Phi + \phi')] dS - \rho_i g \int_{\partial M} (\dot{I}_c - \dot{I}) I' dS \\ & + \rho_i \int_{\partial M} (1 - C) \dot{I}_c \left[\mathbf{u}' \cdot \nabla \Phi + \phi' - \frac{1}{A} \int_{\partial M} [gSL' + C(\mathbf{u}' \cdot \nabla \Phi + \phi')] dS \right] dS dt, \end{aligned} \quad (\text{A15})$$

where again, descriptions of the variables can be found in Table A1. Here, the second term on the left-hand side imposes the prescribed initial sea level, SL_0 , the third term imposes the prescribed ice thickness, I_0 , and the remainder is the time integrated weak form of the forward GIA problem. Although all time-dependent variables are evaluated at time t , when working with the *Lagrangian*, we will find it useful to introduce the adjoint state variables (eqs. A11 and A12). In order to more easily recognize their time reversal, we define the adjoint time, $t^\dagger = t_1 - t + t_0$, in which the subscripts 0 and 1 are the initial and final time of the forward simulation, respectively.

Crawford *et al.* (2018) derived the adjoint equations for the GIA problem using the third condition of eq (A8) and the introduction of the adjoint state variables (eqs. A11 and A12). The resulting adjoint equations are solved for unknowns $(SL^\dagger, \dot{\mathbf{u}}^\dagger, \dot{\phi}^\dagger)$, which are comparable to those in the forward equations (eqs. A1 and A2), and take the form

$$\dot{S} L^\dagger = -\frac{\dot{h}_{SL}^\dagger}{\rho_w g} - \frac{\dot{C}^\dagger}{g} \left[\dot{\mathbf{u}}^\dagger \cdot \nabla \Phi + \dot{\phi}^\dagger - \frac{1}{A^\dagger} \int_{\partial M} [gSL^\dagger + C^\dagger(\dot{\mathbf{u}}^\dagger \cdot \nabla \Phi + \dot{\phi}^\dagger)] dS \right], \quad (\text{A16})$$

and

$$\begin{aligned} & \mathcal{A}(\dot{\mathbf{u}}^\dagger, \dot{\phi}^\dagger | \mathbf{u}', \phi') - \frac{\rho_w}{g} \int_{\partial M} \left[\dot{\mathbf{u}}^\dagger \cdot \nabla \Phi + \dot{\phi}^\dagger - \frac{1}{A^\dagger} \int_{\partial M} C^\dagger(\dot{\mathbf{u}}^\dagger \cdot \nabla \Phi + \dot{\phi}^\dagger) dS \right] C^\dagger(\mathbf{u}' \cdot \nabla \Phi + \phi') dS \\ & = \int_{M_S} \frac{2\mu_0}{\tau} (\mathbf{d}^\dagger - \mathbf{m}^\dagger) : \mathbf{d}' dV + \int_{\partial M} \left(\dot{\mathbf{h}}_{\mathbf{u}}^\dagger \cdot \mathbf{u}' + \dot{h}_\phi^\dagger \cdot \phi' \right) dS \\ & - \frac{1}{g} \int_{\partial M} \dot{h}_{SL}^\dagger \left[\mathbf{u}' \cdot \nabla \Phi + \phi' - \frac{1}{A^\dagger} \int_{\partial M} C^\dagger(\mathbf{u}' \cdot \nabla \Phi + \phi') dS \right] dS, \end{aligned} \quad (\text{A17})$$

respectively. The adjoint internal variable satisfies

$$\dot{\mathbf{m}}^\dagger + \frac{1}{\tau} (\mathbf{m}^\dagger - \mathbf{d}^\dagger) = \mathbf{0}, \quad (\text{A18})$$

which is much like the internal variable in the forward problem (eq. A3).

A description of these variables is provided in Table A1, but we note that \dot{h}_*^\dagger are the adjoint loads, which are

equivalent to \mathcal{H}^\dagger in the mathematical schematic. In this work, only \dot{h}_{SL}^\dagger is non-zero because we consider only measurements directly related to sea level and not, for example, those for solid-Earth displacements or gravity in isolation. In eq. (A17), the adjoint sea-level load, \dot{h}_{SL}^\dagger , interacts with the test functions \mathbf{u}' and ϕ' , giving rise to two adjoint loads that act on the solid Earth and the gravitational field. Finally, we have similarly written eq. (A17) such that the unknown components of the deformation field, $\{\dot{\mathbf{u}}^\dagger, \dot{\phi}^\dagger\}$, are on the left-hand side and the right-hand side contains the integral terms that are readily calculated or known.

Although the right-hand side of the reduced weak form of the forward and adjoint equations (eqs. A2 and A17, respectively) are different, both are of the form $\mathbf{A}\dot{\mathbf{x}} = \mathbf{b}$. Thus, solutions for the adjoint deformation field rate, $\{\dot{\mathbf{u}}^\dagger, \dot{\phi}^\dagger\}$, can be obtained using the same numerical scheme (Appendix B), but the elements of \mathbf{b} will be different. In so doing, we can readily calculate the adjoint deviatoric stress, similar to the forward problem. Obtaining the adjoint sea level is, however, more challenging because eq. (A16) is potentially singular and so cannot easily be integrated. Crawford *et al.* (2018) presents a method for integrating this equation that circumvents these singularities by introducing auxiliary variables.

As we have shown in the schematic example, by solving the forward equations (eqs. A1 and A2) and adjoint equations (eqs. A16 and A17), we can use their results to calculate the desired derivative of \hat{F} with respect to P (i.e., sensitivity kernel). In order to obtain the form of this derivative, we must perturb the *Lagrangian* with respect to the desired model parameter. In this study, we require expressions for the sensitivity kernels for initial sea level, SL_0 , and viscosity, η .

Thus, by varying the Lagrangian in eq. (A15) with respect to SL_0 and by introducing the adjoint state variables (eq (A11) and (A12)), we find

$$\langle D_{SL_0} \hat{F}, \delta SL_0 \rangle = \int_{\partial M} K_{SL_0} \delta SL_0 \, dS, \quad (\text{A19})$$

where we have defined the sensitivity kernel for initial sea level to be

$$K_{SL_0} = \rho_w g SL_0^\dagger(t_1^\dagger). \quad (\text{A20})$$

Note that this sensitivity kernel depends only on the adjoint sea level at the final time, t_1^\dagger , of the adjoint simulation.

Likewise, we obtain the viscosity sensitivity kernel by recalling that $\tau = \eta/\mu_0$ for a Newtonian fluid and differentiating eq. (A15) with respect to η to find

$$\langle D_\eta \hat{F}, \delta \eta \rangle = \int_{t_0}^{t_1} \int_{M_S} \frac{1}{2\eta} \boldsymbol{\tau} : \boldsymbol{\tau}^\dagger \delta \ln \eta \, dV \, dt \quad (\text{A21})$$

where $\boldsymbol{\tau} = 2\mu_0(\mathbf{d} - \mathbf{m})$ is the deviatoric stress, $\boldsymbol{\tau}^\dagger$ is the corresponding adjoint field, and $\delta \ln \eta = \frac{\delta \eta}{\eta}$ is a viscosity perturbation. The sensitivity kernel for a viscosity perturbation is therefore

$$K_{\ln \eta} = \int_{t_0}^{t_1} \frac{1}{2\eta} \boldsymbol{\tau} : \boldsymbol{\tau}^\dagger \, dt. \quad (\text{A22})$$

We see that $K_{\ln \eta}$ depends on the interaction between the forward and adjoint deviatoric stresses for the full duration of the simulation. Finally, we note that both sensitivity kernel equations (eqs. A20 and A22) are

equivalent to those determined by Al-Attar & Tromp (2013) and Crawford *et al.* (2018).

Appendix B Numerical Implementation for 1D Radial Viscosity Models

A detailed description of the numerical implementation of the forward and adjoint GIA equations can be found in the Appendix of Crawford *et al.* (2018), but for completeness, we briefly review how eqs. (A2) and (A17) are solved at an arbitrary instant in time when adopting a 1D radial viscosity model. In this scenario, we numerically solve eqs. (A2) and (A17) by representing scalar, vector, and tensor fields within and on the solid Earth using generalized spherical harmonics to capture their angular dependence (Gelfand & Shapiro, 1956; Burridge, 1969), while their depth dependence is described by a 1D radial mesh of spectral-elements that each consist of five Gauss-Lobatto-Legendre interpolation points. Using these basis functions, eqs. (A2) and (A17) both decouple into spheriodal and toroidal subsystems, in which the latter are not excited by radial surface loads for a laterally homogeneous Earth. Unlike in the viscoelastic loading problem of Al-Attar & Tromp (2013), however, the two spherical harmonic components (radial and consoidal) and the gravitational perturbation do not decouple for each spherical harmonic degree- l and order- m . This behaviour is because the ocean load (i.e., the second integral term on the left-hand side of eqs. A2 and A17) depends on the entire $\dot{\mathbf{u}}$ and $\dot{\phi}$ fields. Therefore, instead of solving the simple form

$$\mathbf{A}_l \dot{\mathbf{x}}_{lm} = \mathbf{b}_{lm}, \quad (\text{A23})$$

for each l , we must solve the more complex form

$$\mathbf{A}_l \dot{\mathbf{x}}_{lm} + \mathbf{g}_{lm}(\dot{\mathbf{x}}) = \mathbf{b}_{lm}, \quad (\text{A24})$$

which requires an iterative solution (see Appendix B of Crawford *et al.*, 2018). Note that the matrix \mathbf{A}_l and the vectors $\dot{\mathbf{x}}_{lm}$, \mathbf{g}_{lm} , and \mathbf{b}_{lm} are identically defined those of eq (14). We assume that the solution for the deformation field, $\{\dot{\mathbf{u}}, \dot{\phi}\}$, has converged when the difference in subsequent solutions is less than 1.0% of the difference between the final and initial solution. For completeness, recall that the sea-level rate, $\dot{S}L$, is obtained directly from eq. (A1) and the adjoint sea-level rate, $\dot{S}L^\dagger$, is obtained from eq. (A16) following a change of variables, which is described in Crawford *et al.* (2018). This setup just leaves the matter of time-stepping the forward and adjoint GIA simulations.

Inspection of eqs. (A1), (A2), (A16), and (A17) reveal that the deformation field $\{\dot{\mathbf{u}}, \dot{\phi}\}$, and sea-level rate, $\dot{S}L$, depend only on the current state of the system. Thus, an explicit time-stepping scheme is straightforward to implement and we, as in Al-Attar & Tromp (2013) and Crawford *et al.* (2018), use the second order Runge-Kutta method (Press *et al.*, 1986) and set the time step to be approximately half of the minimum *Maxwell relaxation time*, τ , which is a suitable choice for an explicit method (Bailey, 2006). The inclusion of low viscosity regions ($\sim 10^{18}$ Pa·s; e.g. Whitehouse *et al.*, 2019; Russo *et al.*, 2022, and Supp. Figure S2) in these simulations, however, requires a time step much smaller than a year and gives rise to challenges both in terms of run-time and memory usage. Thus, it is clear that explicit time-stepping schemes are not ideal for simulations spanning tens of thousands of years or more and future improvements to our numerical implementation might be obtained through the use of implicit time-stepping schemes.

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