# Theoretical background

Consider a ligand-receptor system with atomic coordinates  $\mathbf{x}^T = [\mathbf{x}_R^T \ \mathbf{x}_L^T]$ 

The potential energy  $E^{(X)}$  of the system is expanded around each X metastable state:  $E^{(X)}(\mathbf{x}) = E^{(X)}(\mathbf{x}_0) + \frac{1}{2}(\mathbf{x} - \mathbf{x}_0)^T H^{(X)}(\mathbf{x} - \mathbf{x}_0)$ . We drop the state index X for now, just mentioned it for later use.

We have noted H the Hessian of E, which can be seen as a block matrix:  $H = \begin{bmatrix} H_{RR} & H_{RL} \\ H_{LR} & H_{LL} \end{bmatrix}$ .

## Free energy and ensemble averages

The partition function Z of metastable state X is (noting  $\beta^{-1} = k_B T$ ):

$$Z = e^{-\beta E(\mathbf{x}_0)} \int d\mathbf{x} \ e^{-\frac{1}{2}\mathbf{x}^T H \mathbf{x}}$$

$$= e^{-\beta E(\mathbf{x}_0)} \int d\mathbf{x}_R \ e^{-\frac{1}{2}\mathbf{x}_R^T H_{RR} \mathbf{x}_R} \int d\mathbf{x}_L \ e^{-\frac{1}{2}\mathbf{x}_L^T H_{LL} \mathbf{x}_L - \frac{1}{2}\mathbf{x}_L^T H_{LR} \mathbf{x}_R - \frac{1}{2}\mathbf{x}_R^T H_{RL} \mathbf{x}_L}$$

#### couple technical points

The hessian is hermitian so  $\mathbf{x}_L^T H_{LR} \mathbf{x}_R = (\mathbf{x}_R^T H_{LR}^T \mathbf{x}_L)^T = \mathbf{x}_R^T H_{RL} \mathbf{x}_L$ 

Gaussian integral:  $\int d{\bf x} \; e^{-\frac{1}{2}{\bf x}^T A {\bf x} + B^T {\bf x}} = \sqrt{\frac{(2\pi)^n}{|A|}} e^{\frac{1}{2}B^T A^{-1} B}$ .

We first integrate over the ligand degrees of freedom, before integrating over the receptor's:

$$Z = \sqrt{\frac{(2\pi)^{N_L}}{|H_{LL}|}} e^{-\beta E(\mathbf{x}_0)} \int d\mathbf{x}_R \ e^{-\frac{1}{2}\mathbf{x}_R^T H_{RR}\mathbf{x}_R} \ e^{\frac{1}{2}\mathbf{x}_R^T H_{RL} H_{LL}^{-1} H_{LR}\mathbf{x}_R}$$

$$= \sqrt{\frac{(2\pi)^{N_L + N_R}}{|H_{LL}||H_{RR,eff}|}} e^{-\beta E(\mathbf{x}_0)}$$
where  $H_{RR,eff} = H_{RR} - H_{RL} H_{LL}^{-1} H_{LR}$ 

We have thus derived an effective potential for the receptor where the interactions with the ligand have been integrated out.

The free energy of the system (assuming it has only one metastable state) is thus:

$$F = E(\mathbf{x}_0) + \frac{k_B T}{2} [\log \text{Det}(H_{LL}) + \log \text{Det}(H_{RR,eff}) - (N_L + N_R) \log 2\pi].$$

Going further, it is possible to compute the equilibrium value of any function of the receptor's degrees of freedom (noting  $Z_R = \sqrt{\frac{(2\pi)^{N_R}}{|H_{RR,eff}|}}$ ):

 $<f(\mathbf{x}_{R})> = Z_{R}^{-1} \in d^{x}_{R} e^{-\frac{1}{2}\mathbb{R}^{T}H_{RR,eff}\mathbb{R}} f(\mathbf{x}_{R})$ 

### Coupling perturbation

Assuming that one perturbs the coupling between ligand and receptor while keeping their structure constant (constant number of atoms whose average position is maintained, up to a rotation or translation of the ligand), only the effective Hessian is affected, and the free energy change is:

$$\partial F = k_B T |H_{RR,eff}|^{-1} \partial |H_{RR,eff}|$$

This expression could be used to probe the stabilizing or destabilizing role of individual coupling.

In a more operative fashion for the overall ligand binding event, we consider the change:

$$F(\text{liganded}) - F(\text{unliganded}) = \frac{k_B T}{2} \left[ \log \text{Det}(H_{RR,eff}) - \log \text{Det}(H_{RR}) \right]$$

Noting  $\lambda_i = \omega_i^2$  the eigenvalues of  $H_{RR}$ , the free-energy change upon binding is :

$$\Delta F = k_B T \sum_{i=1}^{3N_R} \log \frac{\omega_i^*}{2}$$

# **Implementation**

[ before running through the cells below, we load the necessary libraries, and run the Toolkit cells at the end of the notebook ]

```
In [100]: %matplotlib inline
   import numpy as np  # load numerical library
   from matplotlib import pyplot as plt # load plotting library
   from prody import * # load prody library
```

Define parameters and variables...

```
In [101]: pdbs folder = 'pdbs'
                                          # local folder where PDB files can be fo
          und
          pdb filename = '4FZ0.pdb'
                                          # name of PDB considered for the analysi
          s (must be found in pdbs folder)
          cutoff=15.0
                                          # distance cutoff to couple pairs of ato
          gamma=1.0
                                          # see ProDy
          whole_selection
                             ='protein and name CA'
                                                                 # set of all ato
          ms considered
          receptor_selection =whole_selection+' and not chain A' # subset of whol
          e selection, for receptor
                             =whole_selection+' and chain A' # subset of whol
          ligand selection
          e selection, for ligand
```

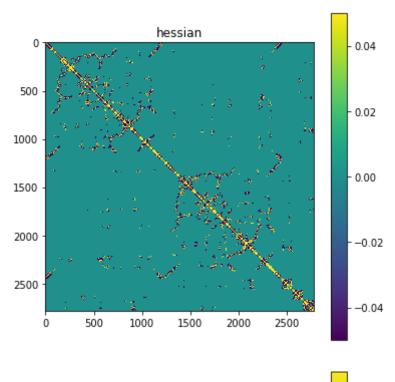
#### Load PDB object

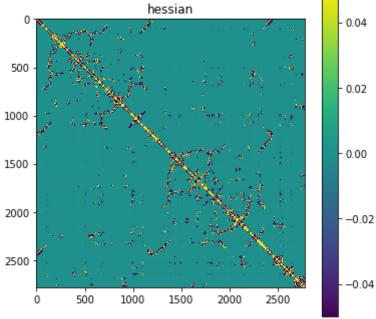
```
In [102]: pdb1 = parsePDB(pdbs_folder+'/'+pdb_filename)
@> 10557 atoms and 1 coordinate set(s) were parsed in 0.08s.
```

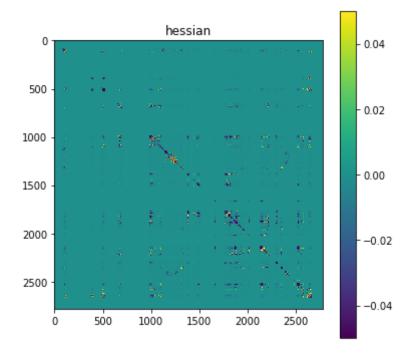
Compute uncoupled and effective receptor-receptor Hessians:

- @> Hessian was built in 0.65s.
- @> Hessian was built in 0.62s.

 Size of the hessian: (2781, 2781) Size of the hessian: (2781, 2781) Size of the hessian: (2781, 2781)



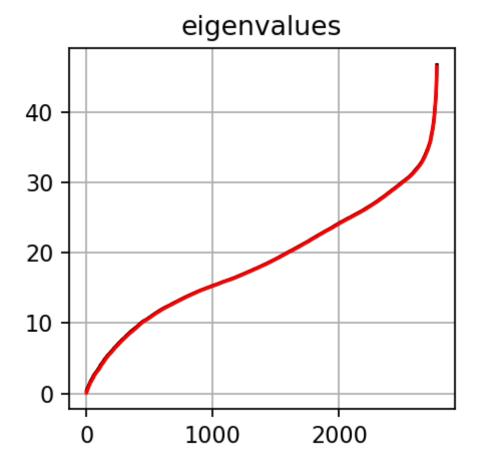




Compute eigenvalues and associated normal modes

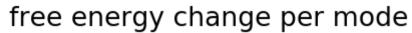
Plot uncoupled (black) and coupled (red) spectrum:

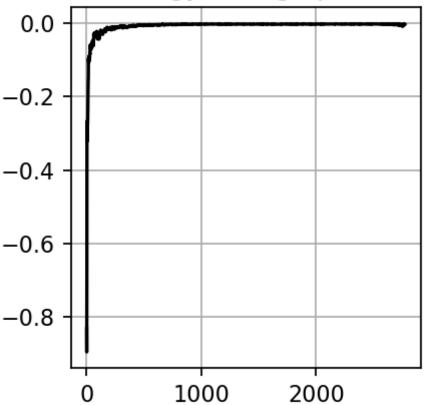
In [106]: plot\_spectrum(1,12=1\_eff,figsize=3)



Now plot  $\log \frac{\omega_{\it eff}}{\omega}$ 

In [112]: plot\_spectrum(np.log(l\_eff/l)/2,figsize=3,title='free energy change per mode')





Finally, give the total free energy change upon binding:

### **TOOLKIT**

```
In [113]: def get binding energy(spectrum unbound=None, spectrum bound=None):
               """get binding_energy
              deltaF=None
              if spectrum unbound is not None and spectrum bound is not None:
                   deltaF = np.sum(np.log(spectrum bound/spectrum unbound))/2.0
                   print('Binding free energy (in units of kT): ',deltaF)
              return deltaF
          def get_effective_hessian(pdb,whole_selection='protein',ligand_selection
          =None, coupling=1.0, cutoff=15.0, gamma=1.0):
               """ get effective_hessian
              whole hessian = get hessian(pdb1, whole selection, cutoff=cutoff, gamma
              receptor idx, ligand idx = get idxs(pdb, whole selection=whole selecti
          on, subset selection=ligand selection)
              HRR = whole_hessian[receptor_idx,:][:,receptor_idx]
              HLL = whole_hessian[ligand_idx,:][:,ligand_idx]
              HLR = coupling*whole hessian[ligand idx,:][:,receptor idx]
              HRL = coupling*whole hessian[receptor_idx,:][:,ligand_idx]
              HRR_eff = HRR - np.dot(HRL,np.dot(np.linalg.inv(HLL),HLR))
              return HRR_eff
          def get_idxs(pdb,whole_selection='protein',subset_selection=None):
               11 11 11
               .....
              whole_selected = pdb.select(whole_selection)
              subset_selected = pdb.select(subset_selection)
              whole indices = whole selected.getIndices()
              subset indices = subset_selected.getIndices()
              mask = np.inld(whole indices, subset indices, invert=True)
              complement indices = whole indices[mask]
              ligand idx = get hessian idx(whole indices, subset indices)
              receptor_idx = get_hessian_idx(whole_indices,complement_indices)
              return receptor idx, ligand idx
          def get hessian idx(big array, subset array):
               11 11 11
              index = np.argsort(big_array)
              sorted big array = big array[index]
              sorted index = np.searchsorted(sorted big array, subset array)
              yindex = np.take(index, sorted index, mode="clip")
              mask = big_array[yindex] != subset array
              result = np.ma.array(yindex, mask=mask)
              idx = []
              \#n=0
              for i in np.arange(result.shape[0]):
                   for k in np.arange(0,3):
                       \#n+=1
                       idx.append(3*(result[i])+k)
              return idx
          def get hessian(pdb,atom selection,cutoff=15.0,gamma=1.0):
               """ get hessian
```

```
atom_selected = pdb.select(atom_selection)
    anm = ANM(atom_selection)
    anm.buildHessian(atom_selected,cutoff=cutoff,gamma=gamma)
    return anm.getHessian()
def get_eigen(Hessian,keep_6first=False):
    eigenvalues, eigenvectors = eigenpb(Hessian)
    index = np.argsort(eigenvalues)
    l = eigenvalues[index]
    v = eigenvectors[:,index]
    if keep_6first:
        istart=0
    else:
        istart=6
    return l[istart:],v[:,istart:]
def eigenpb(matrix):
    """ eigenpb
    eigenvalues, eigenvectors = np.linalg.eig(matrix)
    return eigenvalues, eigenvectors
```

```
In [109]: def plot hessian(hessian,xyrange=None,sampling=1,vrange=[0,1],figsize=12
               """ plot hessian: show Hessian matrix
              Parameters
              _____
              - xyrange : the first and last index you want to plot. Namely hessi
          an[range[0]:range[1],range[0]:range[1]]
              - sampling : only show every sampling-th index
              vrange
                        : restrict the color value to that range
              11 11 11
              #hessian = anm.getHessian()
              print("Size of the hessian: ",hessian.shape)
              if xyrange is None:
                  xrange=[0,hessian.shape[0]]
                  yrange=[0,hessian.shape[1]]
              fig = plt.figure(figsize=(figsize,figsize))
              plt.imshow(hessian[xrange[0]:xrange[1]:sampling,yrange[0]:yrange[1]:
          sampling],cmap='viridis',vmin=vrange[0],vmax=vrange[1])
              plt.colorbar()
              plt.title('hessian')
          def plot_spectrum(eigenvalues,12=None,figsize=12,title='eigenvalues'):
               """ plot_spectrum: ...
              nrow=1
              ncol=1
              fig = plt.figure(figsize=(figsize, figsize), dpi= 160, facecolor='w'
          , edgecolor='k')
              plt.subplot(nrow,ncol,1)
              plt.title(title)
              plt.grid()
              plt.plot(eigenvalues,'-',color='k')
              if 12 is not None:
                  plt.plot(12,'-',color='red')
              plt.tight_layout()
              plt.show()
```