

# Modeling the Ideal Cipher in Linicrypt

Master Thesis Frederik Semmel June 10, 2022

Advisors: Fabio Banfi, Ueli Maurer Institute of Theoretical Computer Science, ETH Zürich

#### Abstract

 $\operatorname{Todo}$ 

# Contents

Contents			ii	
1	Intr	oducti	on	1
2	Pre	liminaı	ries	2
	2.1	Linicry	ypt	. 2
		2.1.1	Definition of a Linicrypt program	
		2.1.2	Type of Adversaries	
		2.1.3	Algebraic Representation	
		2.1.4	Normalization and Indistinguishability	. 4
		2.1.5	Characterizing Collision Resistance in Linicrypt	. 4
3	Linicrypt with Ideal Ciphers			6
	3.1	Revisit	ting Algebraic Representations	. 6
	3.2	Revisit	ting Collision Structures	. 8
	3.3	Adapti	ing the Linicrypt model to use block ciphers	. 10
		3.3.1	Algebraic representation for ideal cipher Linicrypt	. 11
	2 /	Colligi	on Structure	11

## Chapter 1

# Introduction

Todo

## Chapter 2

## **Preliminaries**

## 2.1 Linicrypt

#### 2.1.1 Definition of a Linicrypt program

The Linicrypt model for cryptographic constructions was introduced by Cramer & Rosulek in [?]. Summarizing the formalization from that paper, a pure Linicrypt program  $\mathcal{P}$  is a straight line program whose intermediate variables are elements in a field  $\mathbb{F}$ . The only allowed operations to create an intermediate variable are:

- Retrieve an input, which is in F
- Perform a linear combination of existing internal variables
- Call a random oracle  $H: \{0,1\}^* \times \mathbb{F}^* \to \mathbb{F}^*$
- Sample from F uniformly

The program  $\mathcal{P}$  can output one or more of its variables.

Below is an example of a Linicrypt program  $\mathcal{P}^H$ , written in conventional pseudocode on the left and in explicit Linicrypt on the right.

$$\frac{\mathcal{P}^{H}(x,y)}{r \leftarrow \$ \mathbb{F}} \sim$$

$$\mathbf{return} \ H(x+r) + y$$

$$\mathcal{P}^{H}(x,y)$$

$$v_{1} \coloneqq x$$

$$v_{2} \coloneqq y$$

$$v_{3} \leftarrow \$ \mathbb{F}$$

$$v_{4} \coloneqq v_{1} + v_{3}$$

$$v_{5} \coloneqq H(v_{4})$$

$$v_{6} \coloneqq v_{5} + v_{2}$$

$$\mathbf{return} (v_{6})$$

#### 2.1.2 Type of Adversaries

The Linicrypt model only imposes computational restrictions on the constructions, not on the adversaries. Usually one considers arbitrary adversaries  $\mathcal{A}$  that are computationally unbounded, but have bounded access to the random oracle H. Therefore the behaviour of an adversary is typically described in terms of the number of queries it makes.

#### 2.1.3 Algebraic Representation

One of the advantages of restricting the computational model is that one can characterize Linicrypt programs with an algebraic representation. Let  $\mathcal{P}$  be a linicrypt program with intermediate variables  $v_1, \ldots, v_n$ . These are sorted in the order in which they are created in the program.

A base variable is an intermediate variable which was created by retrieving an input, calling the random oracle H or sampling from  $\mathbb{F}$ . These are special, because they can be independent of each other. Let base be the number of base variables and let  $v_{\text{base}} \in \mathbb{F}^{\text{base}}$  denote the vector of the values these base variables take in a specific execcution of  $\mathcal{P}$ . Here, we order the base variables the same way as the intermediate variables. A derived variable is one which is created by performing a linear combination of existing itermediate variables. Note, that derived variables are therefore linear combinations of base variables. As base variables are mostly independent of each other, it makes sense to model them as independent vectors in  $\mathbb{F}^{\text{base}}$ . The derived variables are then modeled by linear combinations of these vectors.

Let  $v_i$  be an intermediate variable. We define the **associated vector**  $v_i$  to be the unique row vector such that  $v_i = v_i \times v_{\mathsf{base}}$  for every excecution of  $\mathcal{P}$ . For example, if  $v_i$  is the j'th base variable, then  $v_i = \begin{bmatrix} 0 & \cdots & 1 & \cdots & 0 \end{bmatrix}$ , where the 1 is in the j'th position. We follow the convention to write vectors in  $\mathbb{F}^{\mathsf{base}}$  using a bold font.

The outputs of  $\mathcal{P}$  can be described by a matrix with entries in  $\mathbb{F}$ . Let  $o_1, \ldots, o_l$  be the output variables of  $\mathcal{P}$ . Then the **output matrix** M of  $\mathcal{P}$  is defined by

$$m{M} = egin{bmatrix} m{o}_1 \ dots \ m{o}_l \end{bmatrix}.$$

By the definition of the associated vectors  $o_i$  we have  $\mathbf{M} \times \mathbf{v}_{\mathsf{base}} = \begin{bmatrix} o_1 & \cdots & o_k \end{bmatrix}^\top$ . The output matrix describes the linear correlations in the output of  $\mathcal{P}$ .

In the same way we also define the **input matrix** of  $\mathcal{P}$ . If  $i_1, \ldots, i_k$  are the intermediate variables created by retrieving an input, then we write

$$m{I} = egin{bmatrix} m{i}_1 \ dots \ m{i}_k \end{bmatrix}.$$

As input variables are base variables, the rows of I are canonical basis vectors. If the Linicrypt program is written such that it first retrieves all its inputs, then  $i_m$  is simply the m'th canonical basis vector.

But the output matrix doesn't describe all correlations in  $v_{\text{base}}$ . Namely, the relationship between the queries and answers to the random oracle H need to be captured algebraically. Let  $a_i = H(t_i, (q_1, \ldots, q_n))$  be an operation in  $\mathcal{P}$ . The **associated oracle constraint** c to this operation is

$$c = \left(t_i, egin{bmatrix} m{q}_1 \ dots \ m{q}_n \end{bmatrix}, m{a}_i 
ight) = (t_i, m{Q}_i, m{a}_i).$$

This should be interpreted as the requirement that  $a_i \times v_{\text{base}} = H(t_i, Q_i \times v_{\text{base}})$ . We denote the set of all (associated) oracle constraints of  $\mathcal{P}$  by  $\mathcal{C}$ .

As we want the base variables to be linearly independent from each other, we restrict ourselves to Linicrypt programs which don't make multiple calls to the random oracle with the same input. In the language of the algebraic representation: We assume wlog that no two constraints in  $\mathcal{C}$  share the same  $(t, \mathbf{Q})$ .

TODO: Base variables which are created by a call of the same vector have to be removed. This is what is done wlog in the first two papers. This case corresponds to calling the random orcacle twice on the same input. But all this seems to be closely related to the ability of the adversary to make queries collapse, therefore I leave this open until I have thought more about that.

Wrapping up these definitions, we define the **algebraic representation** of  $\mathcal{P}$  to be the tuple  $(I, M, \mathcal{C})$ . A natural question that arises at this point is: Does the algebraic representation determine the behaviour of  $\mathcal{P}$  completely?

#### 2.1.4 Normalization and Indistinguishability

Todo: This leads to indistinguishability, normalization and theorem from first paper

#### 2.1.5 Characterizing Collision Resistance in Linicrypt

In a paper by I. McQuoid, T. Swope and M. Rosulek [?, Characterizing Collision and Second-Preimage Resistance in Linicrypt], the authors introduced a characterization of collision resistance and second-preimage resistance for a class of Linicrypt program based on the algebraic representation.

They identified two reasons why a deterministic Linicrypt  $\mathcal{P}$  program can fail to be second-preimage resistant:

- 1. It is degenerate, meaning that it doesn't use all of its inputs independently
- 2. It has a collision structure, which means that one can change some intermediate value and compute what the input needs to be to counteract this change

Below are two example Linicrypt programs,  $\mathcal{P}_{\text{deg}}^H$  is degenerate and  $\mathcal{P}_{\text{deg}}^H$  has a collision structure. Note, that you can choose  $w' \neq w$  to be any value, then find a x' such that the output of  $\mathcal{P}_{\text{cs}}^H$  stays the same, and finally find y' according to w' = x' + y'.

$$\frac{\mathcal{P}_{\text{deg}}^{H}(x,y)}{v \coloneqq x + y}$$

$$\mathbf{return} \ H(v)$$

$$\boxed{ \begin{aligned} & \mathcal{P}^H_{\mathrm{cs}}(x,y) \\ & w \coloneqq x + y \\ & \mathbf{return} \ H(w) + x \end{aligned}}$$

The precise definition of degenerate and collision structure will be discussed in chapter ??, in a variation that is adapted to the goals of this thesis. The authors show that for any deterministic Linicrypt program which is degenerate or has a collision structure second-preimage resistance (and hence also collision resistance) is completely broken.

The main result of [?] is that they show that the converse of this is also true, for Linicrypt programs which use distinct nonces in each call to the random oracle. That is, if a Linicrypt program is not collision resistant, then it either has a collision structure, or it is degenerate.

Furthermore, checking for degeneracy and existence of a collision structure can be done efficiently.

## **Linicrypt with Ideal Ciphers**

## 3.1 Revisiting Algebraic Representations

We have defined the algebraic representation for a Linicrypt program. It consists of the input matrix  $I \in \mathbb{F}^{k \times \mathsf{base}}$ , the output matrix  $M \in \mathbb{F}^{l \times \mathsf{base}}$  and the set of constraints  $\mathcal{C} = \{(t_i, Q_i, a_i) \mid i = 1, \dots, n\}$ , with  $Q_i \in \mathbb{F}^{m_i \times \mathsf{base}}$  and  $a_i \in \mathbb{F}^{1 \times \mathsf{base}}$ . A question that arises is: Which combination of matrices this described structure correspond to a Linicrypt program? To answer this question, we need to define the terminology more carefully.

**Definition 3.1** (Random oracle constraing). A random oracle constraint of dimension base with k inputs is a tuple  $(t, \mathbf{Q}, \mathbf{a})$  for  $t \in \{0, 1\}^*$ ,  $\mathbf{Q} \in \mathbb{F}^{k \times \mathsf{base}}$  and  $\mathbf{a} \in \mathbb{F}^{1 \times \mathsf{base}}$ .

We call t the nonce and refer to Q and a as the query and answer to the random oracle. Usually we just say constraint when the other variables are clear from the context. The constraints (t, Q, a) encodes a relationship between the base variables  $v_{\mathsf{base}} \in \mathbb{F}^{\mathsf{base}}$  of a program, namely  $H(t, Qv_{\mathsf{base}}) = av_{\mathsf{base}}$ . Because H is a well-defined function, and not just any relation, these requirements extend to the constraints.

**Definition 3.2** (Well-defined). A set of (random oracle) constraints C is well-defined if for any pair of constraints  $c_i, c_j \in C$  we have  $(t_i, \mathbf{Q}_i) = (t_j, \mathbf{Q}_j) \implies \mathbf{a}_i = \mathbf{a}_j$ .

When we use a set of constraints, we will implicitly also require that it is well-defined. Highlighting the function like properties of a constraint  $(t, \mathbf{Q}, \mathbf{a})$ , we will also use the shorthand  $(t, \mathbf{Q}) \mapsto \mathbf{a}$  or  $\mathbf{Q} \mapsto \mathbf{a}$  if t is an empty string. This notation was introduced in [?]. If a set of constraints is well-defined, it might still not correspond to a valid Linicrypt program. Consider the set

$$\mathcal{C} = \left\{ \begin{bmatrix} 1 & 0 \end{bmatrix} \mapsto \begin{bmatrix} 0 & 1 \end{bmatrix}, \begin{bmatrix} 0 & 1 \end{bmatrix} \mapsto \begin{bmatrix} 1 & 0 \end{bmatrix} \right\}.$$

Although it is well-defined, it corresponds to the requirement that H(H(x)) = x for some  $x \in \mathbb{F}$ . Such an x might not exists in the first place, and if it does, no efficient program can find it in the random oracle model.

In order to characterize which constraints can be solved by a Linicrypt program, we also need to consider the concept of basis change as introduced in [?].

**Definition 3.3** (Basis change). Let B be any matrix in  $\mathbb{F}^{\mathsf{base} \times \mathsf{base}}$  and  $\mathcal{P} = (I, M, \mathcal{C})$  be a Linicrypt program. We define

$$CB := \{(t, QB, aB) \mid (t, Q, a) \in C\}.$$

If B is invertible, we say (IB, MB, CB) is the algebraic representation of P after applying the **basis change** B.

In the case that B is just a permutation of the input variables the transformed representation clearly describes the same program, we have just renamed the input variables. But a more complex basis changes won't change the program either, as is shown below.

Now we can characterize which sets of constraints and input matrices correspond to a Linicrypt program.

**Definition 3.4** (Solvable). Let C be a finite set of valid constraints of dimension base, and let  $I \in \mathbb{F}^{k \times \mathsf{base}}$  for some  $k \in \mathbb{N}$ . C is deterministically solvable fixing I if there exists an ordering  $(c_1, \ldots, c_n)$  of C such that for all  $i = 1, \ldots n$ :

- 1.  $\mathsf{rows}(\boldsymbol{Q}_i) \subset \mathsf{span}(\mathsf{rows}(\boldsymbol{I}) \cup \{\boldsymbol{a}_1, \dots, \boldsymbol{a}_{i-1}\})$
- 2.  $a_i \notin \operatorname{span}(\operatorname{rows}(I) \cup \{a_1, \dots, a_{i-1}\} \cup \operatorname{rows}(Q_i))$

We call  $(c_1, \ldots, c_n)$  the solution ordering of C fixing I (or fixing rows(I)).

This is a generalization of the idea of the collision structure in [?]. We will use it to generalize the collision structure and degeneracy attacks from that work.

Intuitively, C being solvable fixing I means, that we can constrain  $v_{\mathsf{base}}$  by  $Iv_{\mathsf{base}} = (i_1, \ldots, i_k)^{\top}$  for arbitrary inputs  $i_1, \ldots, i_k \in \mathbb{F}$ , and then compute each oracle query (condition 1), without creating a contradiction (condition 2).

If we construct the algebraic representation  $(I, M, \mathcal{C})$  of a deterministic Linicrypt program  $\mathcal{P}$ , we get a solvable set of constraints. Indeed, the solution ordering of  $\mathcal{C}$  fixing I can be exactly the order of the corresponding queries in the execution of  $\mathcal{P}$ . The following Lemma shows that the other direction also works, meaning that we can recover a Linicrypt program from solvable constraints.

**Lemma 3.5.** Let C be a set of deterministically solvable constraints fixing  $I \in \mathbb{F}^{k \times \text{base}}$  for some  $k \in \mathbb{N}$ . Let  $M \in \mathbb{F}^{\text{out} \times \text{base}}$  be an arbitrary output matrix for some out  $\in \mathbb{N}$ . Then there is a basis change  $B \in \mathbb{F}^{\text{base} \times \text{base}}$  and a Linicrypt program P, such that (IB, MB, CB) is it's algebraic representation.

*Proof.* Let  $(i_1, \ldots, i_k) = \mathsf{rows}(I)$  let  $(c_1, \ldots, c_n)$  be the solution ordering of  $\mathcal{C}$  fixing I. We choose the new basis for  $\mathbb{F}^{\mathsf{base}}$  as  $(i_1, \ldots, i_k, a_1, \ldots, a_n)$ , hence the basis change matrix is defined by

$$oldsymbol{B}^{-1} = egin{bmatrix} oldsymbol{i}_1 & \cdots & oldsymbol{i}_k & oldsymbol{a}_1 & \cdots & oldsymbol{a}_n \end{bmatrix}^ op.$$

In the following we denote by  $e_i$  the i'th canonical row vector. By definition of B we have for i = 1, ..., n

$$oldsymbol{I} = egin{bmatrix} oldsymbol{e}_1 \ dots \ oldsymbol{e}_k \end{bmatrix} oldsymbol{B}^{-1} \qquad ext{and} \qquad oldsymbol{a}_i = oldsymbol{e}_{k+i} oldsymbol{B}^{-1},$$

which is equivalent to

$$egin{aligned} oldsymbol{IB} = egin{bmatrix} oldsymbol{e}_1 \ dots \ oldsymbol{e}_k \end{bmatrix} \qquad ext{and} \qquad oldsymbol{a}_i oldsymbol{B} = oldsymbol{e}_{k+i}. \end{aligned}$$

Additionally, as C is solvable via the ordering  $(c_1, \ldots, c_n)$ , we know that

$$\mathsf{rows}(oldsymbol{Q}_ioldsymbol{B})\subset \mathsf{span}ig(oldsymbol{e}_1,\dots,oldsymbol{e}_{k+i-1}ig)$$

In other words, the query and answer matrices for the constraints in  $\mathcal{C}B$  have the form

$$\mathbf{Q}_i = \begin{bmatrix} \lambda_1^i & \cdots & \lambda_{k+i-1}^i & 0 & 0 & \cdots & 0 \end{bmatrix}$$
 for  $\lambda_j^i \in \mathbb{F}$   
 $\mathbf{a}_i = \begin{bmatrix} 0 & \cdots & 0 & 1 & 0 & \cdots & 0 \end{bmatrix}$ 

Both the input matrix IB and CB have the correct form to be the algebraic representation of a program P taking k inputs, and outputting according to MB.

## 3.2 Revisiting Collision Structures

Using this language we can argue about the invertibility and second preimage resistance of a Linicrypt program. Let  $\mathcal{P} = (I, M, \mathcal{C})$  be a Linicrypt program.

**Lemma 3.6.**  $\mathcal{P}$  is deterministically invertible if  $\mathcal{C}$  is solvable fixing M.

*Proof.* By Lemma 3.5 we get a Linicrypt program  $\mathcal{P}^{-1}$  with algebraic representation  $(\boldsymbol{MB}, \boldsymbol{IB}, \mathcal{CB})$ . By inputting  $(o_1, \ldots, o_l)$  into  $\mathcal{P}^{-1}$  the program computes the values of  $\boldsymbol{v}_{\mathsf{base}}$ . As  $\boldsymbol{v}_{\mathsf{base}}$  solves  $\mathcal{CB}$  with  $\boldsymbol{IBv}_{\mathsf{base}} = (i_1, \ldots, i_k)^{\top}$  and  $\boldsymbol{MBv}_{\mathsf{base}} = (o_1, \ldots, o_l)^{\top}$ , it follows from associativity that  $\boldsymbol{Bv}_{\mathsf{base}}$  solves  $\mathcal{C}$  with  $\boldsymbol{I}(\boldsymbol{Bv}_{\mathsf{base}}) = (i_1, \ldots, i_k)^{\top}$ . Therefore, if we run  $\mathcal{P}$  with input  $(i_1, \ldots, i_k)$  we will get the output  $(o_1, \ldots, o_l)$ .

TODO this proof needs to be expanded, it relies on defining "v solves C" and proving that if v solves C then running P on Iv we get Mv as output. This is kind of obvious, but the proof I found is not super trivial, let's talk about it.

To simplify the following statements we introduce a shorthand for all the row vectors contained in a set of constraints C. We will write

$$\mathsf{rows}(\mathcal{C}) = \bigcup_{(\boldsymbol{Q}, \boldsymbol{a}) \,\in\, \mathcal{C}} \big\{ \mathsf{rows}(\boldsymbol{Q}) \cup \{\boldsymbol{a}\} \big\}$$

**Lemma 3.7.** One can find second preimages for  $\mathcal{P}$  with  $\leq n$  queries if  $\mathcal{C} = \mathcal{C}_{fixed} \sqcup \mathcal{C}_{cs}$  such that  $\mathcal{C}_{cs}$  is solvable fixing a matrix  $\mathbf{I}_{cs}$  such that

$$\mathsf{span}(\mathsf{rows}(\boldsymbol{I}_{cs})) \supset \mathsf{span}(\mathsf{rows}(\mathcal{C}_{\mathit{fixed}}) \cup \mathsf{rows}(\boldsymbol{M})). \tag{3.1}$$

Proof. TODO, but same idea, using Lemma 3.5

Note, that it is crucial that the space on the left is  $\supset$  and not only  $\supseteq$ , as this gives the extra degree of freedom to find a different preimage. It plays the same role as  $q_{i^*}$  plays in the definition of collision structure from [?]. This characterization includes the case of degeneracy, namely it corresponds to choosing  $\mathcal{C}_{cs} = \{\}$  and  $I_{cs} = \mathbb{1}_{base}$ , while degeneracy means precisely  $\mathbb{F}^{base} \supset \operatorname{span}(\operatorname{rows}(\mathcal{C}) \cup \operatorname{rows}(M))$ .

The main benefit of this perspective on the collision structure attack is, that it describes the Linicrypt program which produces the second preimages.

The following is the running example from [?].

$$\frac{\mathcal{P}(x, y, z)}{w = H(x) + H(z) + y}$$
**return**  $(H(w) + x, H(z))$ 

$$m{I} = egin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 \ 0 & 1 & 0 & 0 & 0 & 0 & 0 \ 0 & 0 & 1 & 0 & 0 & 0 & 0 \end{bmatrix}$$
 $m{M} = egin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 1 \ 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$ 
 $m{q}_1 = m{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$ 
 $m{q}_1 = m{bmatrix} 0 & 0 & 0 & 1 & 0 & 0 \end{bmatrix}$ 
 $m{q}_2 = m{bmatrix} 0 & 0 & 1 & 0 & 0 & 0 \end{bmatrix}$ 
 $m{q}_2 = m{bmatrix} 0 & 0 & 0 & 0 & 1 & 0 \end{bmatrix}$ 
 $m{q}_3 = m{bmatrix} 0 & 1 & 0 & 1 & 1 & 0 \end{bmatrix}$ 
 $m{q}_3 = m{bmatrix} 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}$ 

We set  $C_{cs} = \{c_1, c_3\}$  and  $C_{fixed} = \{c_2\}$ . Then  $(c_3, c_1)$  is a solution ordering for C fixing the row vectors

$$\mathsf{rows}(\boldsymbol{M}) \cup \mathsf{rows}(\mathcal{C}_{\mathsf{fixed}}) \cup \{\boldsymbol{q}_3\}.$$

We then concatenate these rows to form the input matrix of the attacking program:  $I_{cs} = \begin{bmatrix} M & q_2 & q_3 \end{bmatrix}^{\top}$ . Then Lemma 3.5 gives us the transformation B such that

 $(I_{cs}B, IB, \mathcal{C}_{cs}B)$  is the algebraic representation of some  $\mathcal{P}_{col}$ . Here we have

$$m{B} = egin{bmatrix} 1 & 0 & 0 & 0 & -1 & 0 \ 0 & -1 & 0 & 1 & 0 & -1 \ 0 & 0 & 1 & 0 & 0 & 0 \ 0 & 0 & 0 & 0 & 0 & 1 \ 0 & 1 & 0 & 0 & 0 & 0 \ 0 & 0 & 0 & 0 & 1 & 0 \end{bmatrix},$$

which leads to the representation:

$$\mathcal{C}_{cs}\boldsymbol{B} = \left\{ \begin{bmatrix} 0 & 0 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 & -1 & 0 \end{bmatrix} \mapsto \begin{bmatrix} 0 & 0 & 0 & 0 & 1 & 0 \end{bmatrix} \right\} \\
\boldsymbol{I}_{cs}\boldsymbol{B} = \begin{bmatrix} \mathbb{1}_4 & 0 \end{bmatrix} \\
\boldsymbol{I}\boldsymbol{B} = \begin{bmatrix} 1 & 0 & 0 & 0 & -1 & 0 \\ 0 & -1 & 0 & 1 & 0 & -1 \\ 0 & 0 & 1 & 0 & 0 & 0 \end{bmatrix}$$

In the form of a Linicryp program this is:

$$\mathcal{P}_{\text{col}}(o_1, o_2, z, q_3)$$

$$a_3 = H(q_3)$$

$$v = H(o_1 - a_3)$$

$$\mathbf{return} \ (o_1 - a_3, q_3 - o_2 - v, z)$$

## 3.3 Adapting the Linicrypt model to use block ciphers

In this chapter we modify the Linicrypt model to make use of the ideal cipher model instead of the random oracle model. This means that a Linicrypt program gets access to a block cipher  $\mathcal{E} = (E, D)$  where E and D are functions  $\mathbb{F} \times \mathbb{F} \to \mathbb{F}$  instead of the hash function  $H : \{0,1\}^* \times \mathbb{F}^* \to \mathbb{F}$ . By the definition of a block cipher,  $E_k := E(k,\cdot)$  is a permutation of  $\mathbb{F}$  for all  $k \in \mathbb{F}$  and  $D_k := D(k,\cdot)$  is its inverse. In the ideal cipher model, we assume that the block cipher has no weakness. This is modeled by choosing each permutation  $E_k$  uniformly at random at the beginning of every security game.

The command y = E(k, x) in a Linicrypt program has to be treated differently from the command y = H(k, x) when considering collision resistance, because an attacker has access to the deterministic Linicrypt program and both directions of the block cipher  $\mathcal{E} = (E, D)$ . Consider these two programs,  $\mathcal{P}^H$  in standard Linicrypt and  $\mathcal{P}^{\mathcal{E}}$  in ideal cipher Linicrypt.

$$\boxed{ \frac{\mathcal{P}^H(k,x)}{\mathbf{return}\; H(k,x)}} \boxed{ \frac{\mathcal{P}^{\mathcal{E}}(k,x)}{\mathbf{return}\; E(k,x)} }$$

While  $\mathcal{P}^H$  is collision resistant, it is trivial to find second preimages for  $\mathcal{P}^{\mathcal{E}}$  For any  $k' \in \mathbb{F}$  the pair (k', D(k', E(k, x))) is a second preimage to (k, x).

This invertibility property of block ciphers has to be taken into account in both the algebraic representation and the characterization of collision resistance.

#### 3.3.1 Algebraic representation for ideal cipher Linicrypt

Let  $\mathcal{P}$  be a ideal cipher Linicrypt program. For each query to E of the form y = E(k, x) we define the **associated ideal cipher constraint**  $(E, \mathbf{k}, \mathbf{x}, \mathbf{y})$ . Each query to D of the form x = D(k, y), is associated with the constraint  $(D, \mathbf{k}, \mathbf{y}, \mathbf{x})$ .

As with standard Linicrypt, we want to exclude programs that make unnecessary queries to the block cipher. This way the base variables are linearly independent, except for the dependencies the adversary might introduce by carefully choosing the input. Hence we assume wlog that no two constraints have the same  $(E, \mathbf{k}, \mathbf{x})$  or  $(D, \mathbf{k}, \mathbf{y})$ .

With ideal ciphers there is a second way to make an unnecessary query. That is by first computing y = E(k, x) and then x' = D(k, y). As  $D_k$  is the inverse of  $E_k$  we have x = x' although x and x' are linearly independent.

Therefore for all  $k, x, x', y, y' \in \mathbb{F}^{\mathsf{base}}$  we can assume there are no two constraints (E, k, x, y) and (D, k, y, x') in  $\mathcal{C}$  for  $x \neq x'$ . Neither can there be (D, k, y, x) and (E, k, x, y') in  $\mathcal{C}$  for  $y \neq y'$ .

TODO: Maybe it is simpler with equivalence relation called always colliding queries

$$(E, \boldsymbol{k}, \boldsymbol{x}, \boldsymbol{y}) \sim (E, \boldsymbol{k}, \boldsymbol{x}, \boldsymbol{y}')$$
  
 $(E, \boldsymbol{k}, \boldsymbol{x}, \boldsymbol{y}) \sim (D, \boldsymbol{k}, \boldsymbol{y}, \boldsymbol{x}')$   
 $(D, \boldsymbol{k}, \boldsymbol{y}, \boldsymbol{x}) \sim (D, \boldsymbol{k}, \boldsymbol{y}, \boldsymbol{x}')$   
 $(D, \boldsymbol{k}, \boldsymbol{y}, \boldsymbol{x}) \sim (E, \boldsymbol{k}, \boldsymbol{x}, \boldsymbol{y}')$ 

And saying that no two constraints in  $\mathcal{C}$  are in the same equivalence class. This might be cleaner, if the equivalence relation used later to analyze repeated nonces case is defined similarly.

### 3.4 Collision Structure

To explain the concept of a collision structure, we will make use of an example. Consider the following Linicrypt program:

$$\boxed{ \begin{aligned} \frac{\mathcal{P}_{\mathsf{col},1}^{\mathcal{E}}(a,b,c)}{w &= E(c,b+c) + a \\ \mathbf{return} \ c + E(w,b) \end{aligned}}$$

A second preimage to (a, b, c) can be found by the following procedure: Choose some  $w' \neq w$ . It will turn out, that even choosing w' at random, one can calculate what the other base variables need to be such that the output stays the same. As we want c + E(w, b) = c' + E(w', b') we can again choose any b' and compute c'. Finally, we can compute a' from the equation w' = E(c', c' + b') + a'

One can more easily see that such a procedure is possible by looking at the algebraic representation of  $\mathcal{P}_{\mathsf{col},1}^{\mathcal{E}}$ . In order to highlight which are the base variables, we rewrite the program a bit more explicitly.

$$\frac{\mathcal{P}_{\mathsf{col},1}^{\mathcal{E}}(a,b,c)}{k_1 = c}$$

$$x_1 = b + c$$

$$y_1 = E(k_1, x_1)$$

$$k_2 = y_1 + a$$

$$x_2 = b$$

$$y_2 = E(k_2, x_2)$$

$$\mathbf{return} \ c + y_2$$

The base variables are  $(a, b, c, y_1, y_2)$  and the algebraic representation is

$$M = [0, 0, 1, 0, 1]$$
  $C = \{(E, \mathbf{k}_1, \mathbf{x}_1, \mathbf{y}_1), (E, \mathbf{k}_2, \mathbf{x}_2, \mathbf{y}_2)\}$ 

where

$$egin{aligned} m{k}_1 &= egin{aligned} [0,0,1,0,0] \ m{x}_1 &= egin{aligned} [0,1,1,0,0] \ m{y}_1 &= egin{aligned} [0,0,0,1,0] \ m{k}_2 &= egin{aligned} [1,0,0,1,0] \ m{x}_2 &= egin{aligned} [0,1,0,0,0] \ m{y}_2 &= egin{aligned} [0,0,0,0,1] \ . \end{aligned}$$

We can formulate the previous attack from in terms of the algebraic representation. The task is to find a  $v'_{\mathsf{base}} = [a', b', c', y'_1, y'_2] \neq v_{\mathsf{base}}$  such that:

$$\mathcal{P}_{\mathsf{col},1}^{\mathcal{E}}(a',b',c') = Mv'_{\mathsf{base}} = Mv_{\mathsf{base}} = \mathcal{P}_{\mathsf{col},1}^{\mathcal{E}}(a,b,c) \tag{3.2}$$

$$y'_{1} = \mathbf{y}_{i} \mathbf{v}'_{\mathsf{base}} = E(\mathbf{k}_{1} \mathbf{v}'_{\mathsf{base}}, \ \mathbf{x}_{1} \mathbf{v}'_{\mathsf{base}})$$

$$y'_{2} = \mathbf{y}_{i} \mathbf{v}'_{\mathsf{base}} = E(\mathbf{k}_{2} \mathbf{v}'_{\mathsf{base}}, \ \mathbf{x}_{2} \mathbf{v}'_{\mathsf{base}})$$

$$(3.3)$$

$$y_2' = y_i v_{\mathsf{base}}' = E(k_2 v_{\mathsf{base}}', \ x_2 v_{\mathsf{base}}') \tag{3.4}$$

We can fulfill these requirements step by step. First, we constrain  $v_{\sf base}'$  by requiring

$$Mv_{\mathsf{base}}' = Mv_{\mathsf{base}}.$$

This reduces the dimension of the space of possible solutions for  $v'_{\mathsf{base}}$  to 4, as  $Mv_{\mathsf{base}}$  is in the range of M by definition. Now note, that neither  $k_2$  nor  $x_2$  are in the span of  $\mathsf{rows}(M)$ . Therefore we can choose  $k'_2$  and  $x'_2$  at random such that  $(k'_2, x'_2) \neq (k_2, x_2)$ , and constrain  $v'_{\mathsf{base}}$  by requiring

$$k_2 v'_{\mathsf{base}} = k'_2$$
 and  $x_2 v'_{\mathsf{base}} = x'_2$ .

Now we can compute  $y_2' = E(k_2', x_2')$  and add constraint (3.4). This constraint is compatible with the previous constraints because  $\mathbf{y}_2$  is not in the span of  $\mathsf{rows}(\mathbf{M}) \cup \{\mathbf{k}_2, \mathbf{x}_2\}$ . The dimension of the subspace of solutions is now 1, as we have added 4 one-dimensional constraints.

Now one only needs to fulfill constraint (3.3). As  $\mathbf{k}_1$  and  $\mathbf{x}_1$  are in the span of  $\mathsf{rows}(\mathbf{M}) \cup \{\mathbf{k}_2, \mathbf{x}_2, \mathbf{y}_2\}$  the intermediate variables  $k'_1$  and  $x'_1$  are uniquely determined. E.g.  $\mathbf{k}_1 = \mathbf{M} - \mathbf{y}_2$  and therefore  $k'_1 = \mathcal{P}^{\mathcal{E}}_{\mathsf{col},1}(a,b,c) - y'_2$ .

Finally, note that  $y_1 \notin \text{span}(\text{rows}(M) \cup \{k_2, x_2, y_2\} \cup \{k_1, x_1\})$ . Therefore, adding the constraint

$$\boldsymbol{y}_1 \boldsymbol{v}_{\mathsf{base}}' = y_1' = E(k_1', x_1')$$

reduces the solution space of possible  $v'_{\mathsf{base}}$  to a single point in  $\mathbb{F}^{\mathsf{base}}$ . We know that  $v'_{\mathsf{base}} \neq v_{\mathsf{base}}$  because  $(k_2, x'_2) \neq (k_2, x_2)$ . The only way to produce different intermediate variables in a deterministic program is to choose different input, hence  $(a', b', c') \neq (a, b, c)$ .

This example would have worked exactly the same if we replaced E with H. What follows is an example where the invertibility property of  $E_k$  plays a role.

$$\begin{array}{|c|c|c|} \hline \mathcal{P}_{\mathsf{col},2}^{\mathcal{E}}(a,b,c) \\ \hline k_1 = c \\ x_1 = b \\ y_1 = E(k_1,x_1) \\ k_2 = a \\ x_2 = y_1 \\ y_2 = E(k_2,x_2) \\ \mathbf{return} \ y_1 + y_2 \\ \hline \end{array} \begin{array}{|c|c|c|c|} & \mathbf{M} = \begin{bmatrix} 0,0,0,1,1 \end{bmatrix} \\ & \mathbf{M} = \begin{bmatrix} 0,0,0,1,1 \end{bmatrix} \\ & \mathbf{k}_1 = \begin{bmatrix} 0,0,0,0,0 \end{bmatrix} \\ & \mathbf{k}_1 = \begin{bmatrix} 0,0,0,0,0 \end{bmatrix} \\ & \mathbf{k}_2 = \begin{bmatrix} 1,0,0,0,0 \end{bmatrix} \\ & \mathbf{k}_2 = \begin{bmatrix} 1,0,0,0,0 \end{bmatrix} \\ & \mathbf{k}_2 = \begin{bmatrix} 0,0,0,1,0 \end{bmatrix} \\ & \mathbf{k}_2 = \begin{bmatrix} 0,0,0,1,0 \end{bmatrix} \\ & \mathbf{k}_3 = \begin{bmatrix} 0,0,0,0,0 \end{bmatrix} \\ & \mathbf{k}_4 = \begin{bmatrix} 0,0,0,0,0 \end{bmatrix} \\ & \mathbf{k}_5 = \begin{bmatrix} 0,0,0,0,0 \end{bmatrix} \\ & \mathbf{k}_7 = \begin{bmatrix} 0,0,0,0,0 \end{bmatrix} \\ & \mathbf{k}_8 = \begin{bmatrix} 0,0,0,0,0 \end{bmatrix} \\ & \mathbf{k}_9 = \begin{bmatrix} 0,0$$

For this program there is a similar procedure to find second preimages. As before, the first constraint is M In this case we can fix  $\mathbf{k}_2 \mathbf{v}'_{\mathsf{base}} = k'_2 = k_2$ ,  $\mathbf{x}_2 \mathbf{v}'_{\mathsf{base}} = x'_2 = x_2$  and  $\mathbf{y}_2 \mathbf{v}'_{\mathsf{base}} = y'_2 = y_2$ . Therefore condition (3.4) is fulfilled trivially. After adding these 4 constraints the solutions space is still 1-dimensional. Note, that  $\mathbf{y}_1 = \mathbf{x}_2$  and it is therefore already fixed at this point, hence to fulfill (3.3) we have to make use of the invertibility property of  $E_k$ . Because

$$egin{aligned} oldsymbol{y}_1 oldsymbol{v}_{\mathsf{base}}' &= E(oldsymbol{k}_1 oldsymbol{v}_{\mathsf{base}}', oldsymbol{x}_1 oldsymbol{v}_{\mathsf{base}}') \ \iff oldsymbol{x}_1 oldsymbol{v}_{\mathsf{base}}' &= D(oldsymbol{k}_1 oldsymbol{v}_{\mathsf{base}}', oldsymbol{y}_1 oldsymbol{v}_{\mathsf{base}}'), \end{aligned}$$

we can choose a random  $k'_1 \neq k_1$  and compute  $x'_1 = D(k'_1, y'_1)$  in order to fulfill (3.1). With this fifth constraint we have found a single  $v'_{\text{base}} \neq v_{\text{base}}$ .

We want to briefly summarize the conditions for this kind of second preimage attack. Let  $\mathcal{P}'$  denote the execution of

- Some ideal cipher constraints are fulfilled by setting the intermediate variables in  $\mathcal{P}(a',b',c')$  to the same value as in  $\mathcal{P}(a,b,c)$
- There is some constraint for which either the input or the output is undetermined by the previously fixed intermediate variables
- For this constraint and all following constraint one can iteratively call the ideal cipher  $\mathcal{E}$  to set the intermediate variables such that the constraints are fulfilled. This is only possible, if the either the output or the input is undetermined by previously fixed variables.

**Definition 3.8** (Collision structure). Let  $\mathcal{P} = (M, \mathcal{C})$  be a Linicrypt program with  $|\mathcal{C}| = n$ . A collision structure for  $\mathcal{P}$  is an index  $1 \leq i^* \leq n$ , an ordering  $(c_1, \ldots, c_n)$  of  $\mathcal{C}$  for  $c_i = (Op_i, \mathbf{k}_i, \mathbf{q}_i, \mathbf{a}_i)$  and a tuple  $(dir_{i^*}, \ldots, dir_n)$  for  $dir_i \in \{\text{Forward}, \text{Backward}\}$ , such that the following two conditions hold:

- 1. Let  $\mathcal{F}_{i^*} = \{k_1, \dots, k_{i^*-1}, q_1, \dots, q_{i^*-1}, a_1, \dots, a_{i^*-1}\}$ . One of the following is true:
  - $a) \ dir_{i^*} = \mathsf{Forward} \quad \ and \quad \ \mathsf{span}\big(\{\pmb{k}_{i^*}, \pmb{q}_{i^*}\}\big) \not\subseteq \mathsf{span}\big(\mathcal{F}_{i^*} \cup \mathsf{rows}\,(\pmb{M})\,\big)$
  - b)  $dir_{i^*} = \mathsf{Backward}$  and  $\mathsf{span}(\{m{k}_{i^*}, m{a}_{i^*}\}) \nsubseteq \mathsf{span}(\mathcal{F}_{i^*} \cup \mathsf{rows}(m{M}))$
- 2. For all  $j \geq i^*$  let  $\mathcal{F}_j = \{k_1, \dots, k_{j-1}, q_1, \dots, q_{j-1}, a_1, \dots, a_{j-1}\}$ . One of the following is true:
  - $a) \ dir_j = \mathsf{Forward} \quad \ and \quad \ a_j \notin \mathsf{span} ig( \mathcal{F}_j \cup \{ m{k}_j, m{q}_j \} \cup \mathsf{rows} \left( m{M} 
    ight) ig)$
  - $b) \ dir_j = \mathsf{Backward} \quad \ and \quad \ \boldsymbol{q}_j \notin \mathsf{span}\big(\mathcal{F}_j \cup \{\boldsymbol{k}_j, \boldsymbol{a}_j\} \cup \mathsf{rows}\left(\boldsymbol{M}\right)\big)$

TODO: Remove this wordy definition. All the info from here should be integrated into the example

**Definition 3.9** (Collision structure). Let  $\mathcal{P} = (M, \mathcal{C})$  be a Linicrypt program with  $|\mathcal{C}| = n$ . A collision structure for  $\mathcal{P}$  is an index  $1 \leq i^* \leq n$ , an ordering  $(c_1, \ldots, c_n)$  of  $\mathcal{C}$  for  $c_i = (Op_i, \mathbf{k}_i, \mathbf{q}_i, \mathbf{a}_i)$  and a tuple  $(dir_{i^*}, \ldots, dir_n)$  for  $dir_i \in \{\text{Forward}, \text{Backward}\}$ , such that:

- 1. The  $i^*$ 'th constraint is unconstrained by the output of  $\mathcal{P}$  and previous fixed constraints. Let  $\mathcal{F} = \{\mathbf{k}_1, \dots, \mathbf{k}_{i^*-1}, \mathbf{q}_1, \dots, \mathbf{q}_{i^*-1}, \mathbf{a}_1, \dots, \mathbf{a}_{i^*-1}\}$  denote the vectors fixed by previous constraints in the ordering.
  - a) if  $dir_{i^*} =$ Forward, the input of the query associated to  $c_{i^*}$  is unconstrained:

$$\mathsf{span}\big(\{\boldsymbol{k}_{i^*},\boldsymbol{q}_{i^*}\}\big)\nsubseteq\mathsf{span}\big(\mathcal{F}\cup\mathsf{rows}\,(\boldsymbol{M})\,\big)$$

b) if  $dir_{i^*} = \mathsf{Backward}$ , the output of the query associated to  $c_{i^*}$  is unconstrained:

$$\mathsf{span}ig(\{m{k}_{i^*},m{a}_{i^*}\}ig)
subseteq \mathsf{span}ig(\mathcal{F}\cup\mathsf{rows}\,(m{M})\,ig)$$

- 2. For all  $j \geq i^*$  the constraint  $c_j$  does not contradict previous constraints. Let  $\mathcal{F} = \{\mathbf{k}_1, \dots, \mathbf{k}_{j-1}, \mathbf{q}_1, \dots, \mathbf{q}_{j-1}, \mathbf{a}_1, \dots, \mathbf{a}_{j-1}\}$  denote the vectors fixed by previous constraints in the ordering.
  - a) if  $dir_i = Forward$

$$\boldsymbol{a}_j \notin \mathsf{span}\big(\{\boldsymbol{k}_1, \dots, \boldsymbol{k}_{j-1}, \boldsymbol{q}_1, \dots, \boldsymbol{q}_{j-1}, \boldsymbol{a}_1, \dots, \boldsymbol{a}_{j-1}, \} \cup \{\boldsymbol{k}_j, \boldsymbol{q}_j\} \cup \mathsf{rows}\left(\boldsymbol{M}\right)\big)$$

b) if  $dir_j = \mathsf{Backward}$ 

$$\boldsymbol{q}_j \notin \operatorname{span} \big( \{\boldsymbol{k}_1, \dots, \boldsymbol{k}_{j-1}, \boldsymbol{q}_1, \dots, \boldsymbol{q}_{j-1}, \boldsymbol{a}_1, \dots, \boldsymbol{a}_{j-1}, \} \cup \{\boldsymbol{k}_j, \boldsymbol{a}_j\} \cup \operatorname{rows} \left(\boldsymbol{M}\right) \big)$$

**Lemma 3.10** (Collision structure gives second preimages). If collision structure blabla exists for  $\mathcal{P} = (M, \mathcal{C})$  then blabla with probability 1.

FindSecondPreimage()

Compute  $v_{\mathsf{base}}$  by executing  $\mathcal{P}^{\mathcal{E}}(x)$  ... very similar



Eidgenössische Technische Hochschule Zürich Swiss Federal Institute of Technology Zurich

## **Declaration of originality**

The signed declaration of originality is a component of every semester paper, Bachelor's thesis, Master's thesis and any other degree paper undertaken during the course of studies, including the respective electronic versions.

Lecturers may also require a declaration of originality for other written papers compiled for their courses.  I hereby confirm that I am the sole author of the written work here enclosed and that I have compiled it in my own words. Parts excepted are corrections of form and content by the supervisor.  Title of work (in block letters):  Authored by (in block letters):
in my own words. Parts excepted are corrections of form and content by the supervisor.  Title of work (in block letters):
Authored by (in block latters):
Authored by (in block letters):
For papers written by groups the names of all authors are required.
Name(s): First name(s):
With my signature I confirm that  I have committed none of the forms of plagiarism described in the 'Citation etiquette' information sheet.  I have documented all methods, data and processes truthfully.  I have not manipulated any data.  I have mentioned all persons who were significant facilitators of the work.
I am aware that the work may be screened electronically for plagiarism.
Place, date Signature(s)

For papers written by groups the names of all authors are required. Their signatures collectively guarantee the entire content of the written paper.