

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2018**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) ALQUIST, NANCY V.	2. Court or Organization BANKRUPTCY COURT, DISTRICT OF MARYLAND	3. Date of Report 08/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	6. Reporting Period 01/01/2018 to 12/31/2018
	5b. <input checked="" type="checkbox"/> Amended Report	
7. Chambers or Office Address Chambers 2A United States Courthouse 101 W. Lombard Street Baltimore, MD 21201		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

ALQUIST, NANCY V.

Date of Report

08/15/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	FJC	March 14-16, 2018	New Orleans, LA	NCBJ Mid-year meeting	Travel, lodging
2.	American Bankruptcy Institute	August 2-4, 2018	Hershey, PA	Mid-Atlantic Bankruptcy Workshop	Mileage, lodging
3.	Commerce Dept; Commercial Law Development Program	September 23-29, 2018	Casablanca, Morocco	Meeting/teaching/seminar	Travel, lodging
4.	Commerce Dept; Commercial Law Development Program	November 10-20, 2018	Kabul, Afghanistan	Meeting/teaching/seminar	Travel, lodging
5.					
6.	SPOUSE - TRAVEL				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

ALQUIST, NANCY V.

Date of Report

08/15/2019

7.	Saul Zaentz Charitable Foundation	January 8-10, 2018	Berkeley, CA	Board Meeting	Travel, lodging
8.	George Mason University	January 25-28, 2018	Key Largo, FL	Seminar	Travel, lodging
9.	Saul Zaentz Charitable Foundation	March 1-3, 2018	Chicago, IL	Board Meeting	Travel, lodging
10.	Saul Zaentz Charitable Foundation	May 8-9, 2018	Berkeley, CA	Board Meeting	Travel, lodging
11.	University of Pittsburgh	May 30 to June 1, 2018	Pittsburgh, PA	Seminar	Travel, lodging
12.	Eastern District of Virginia - Inn of Court	June 7, 2018	Alexandria, VA	Seminar	Travel
13.					

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

ALQUIST, NANCY V.

Date of Report

08/15/2019

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

ALQUIST, NANCY V.

Date of Report

08/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. PERSONALLY OWNED (HEADER)									
2. M & T CHECKING	A	Interest	J	T					
3. VANGUARD INSTITUTIONAL INDEX	A	Dividend	N	T					
4. HARBOUR CAPITAL APPRECIATION	A	Dividend	N	T					
5.									
6. SPOUSE OWNED (HEADER)									
7. M & T CHECKING	A	Interest	J	T					
8. BAIRD MONEY MARKET	A	Interest	J	T					
9. IRA (HEADER)									
10. ROYCE FUNDS PENNSYLVANIA RYPCX		None			Sold	10/03/18	L	A	
11. BAIRD MONEY MARKET	A	Interest	J	T					
12. LEGG MASON CAP [MILLER OPPTY] MANAGEMENT OPPORTUNITY LMOPX					Sold	01/01/18	M	A	SOLD PRIOR TO 2018
13. PRUDENTIAL INV. JENNISON EQUITY INCOME JEIBX	A	Int./Div.			Sold	10/03/18	K	A	
14. PRUDENTIAL INV. JENNISON 20/20 FOCUS PTWBX	A	Int./Div.			Sold (part)	8/27/18	J	A	
15.					Sold	8/31/18	J	A	
16. PUTNAM CAPITAL SPECTRUM CL B PVSBX	A	Dividend			Sold	10/01/18	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

ALQUIST, NANCY V.

Date of Report

08/15/2019

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. PRINCIPAL SMALL MID CAP DIVIDEND INCOME PMDAX	A	Dividend			Sold	10/03/18	L	A	
18. MILLER INCOME OPPTY LMCIX	A	Int./Div.			Sold	10/03/18	M	A	
19. FEDERATED INT LEADERS FGFAX	A	Dividend			Sold	10/03/18	L	A	
20. HARTFORD GROWTH OPPTYS CL A HGOAX	A	Dividend			Sold	10/03/18	L	A	
21. VISA INC CL A V	A	Dividend			Sold	04/17/18	K	A	
22. HARTFORD BALANCED INCOME CL A HDLAX	A	Dividend			Sold	10/03/18	K	A	
23. DAVIS GLOBAL CL A DGFAX	A	Dividend	K	T					
24. FEDERATED KAUFMANN SMALL CAP CL A FKASX	A	Dividend	L	T					
25. OPPENHEIMER GLOBAL OPPTYS CL A OPGIX	A	Dividend	K	T	Buy	04/10/18	K		
26.					Buy (add'l)	04/18/18	K		
27. EDGEWOOD GROWTH INSTL CL REINVESTMENTS EGFIX		None	K	T	Buy	10/05/18	K		
28. AMERICAN CENTURY EQUITY INCOME CL I	A	Interest	K	T	Buy	10/05/18	K		
29. FMI LARGE CAP INSTL C; REINVESTMENTS FMIQX	A	Dividend	K	T	Buy	10/05/18	K		
30. JOHN HANCOCK CLASSIC VALUE CL I	A	Dividend	K	T	Buy	10/05/18	K		
31. HARBOR SMALL CAP VALUE INSTL CL REINVESTMENTS HASCX	A	Dividend	J	T	Buy	10/04/18	J		
32. OAKMARK INTL INSTL CL REINVESTMENTS OANIX	A	Dividend	K	T	Buy	10/05/18	K		
33. LOOMIS SAYLES GROWTH CL Y REINVESTMENTS LSGRX	A	Dividend	K	T	Buy	10/04/18	K		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

ALQUIST, NANCY V.

Date of Report

08/15/2019

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34. MFS INSTL INTL EQUITY REINVESTMENTS MIEIX	A	Dividend	K	T	Buy	10/04/18	K		
35. PARNASSUS MID CAP INSTL CL REINVESTMENTS PFBMX	A	Dividend	J	T	Buy	10/04/18	K		
36. PEAR TREE POLARIS FOREIGN VALUE INSTL CL REINVESTMENTS QFVIX	A	Dividend	K	T	Buy	10/04/18	K		
37. T ROWE PRICE GROWTH STOCK INVESTOR CL PRGFX	A	Dividend	K	T	Buy	10/04/18	K		
38. PRINCIPAL MID CAP INSTL CL REINVESTMENTS PCBIX	A	Dividend	J	T	Buy	10/04/18	K		
39. STERLING CAPITAL MID VALUE INSTL CL REINVESTMENTS OVEIX	A	Dividend	J	T	Buy	10/04/18	K		
40. VIRTUS KAR SMALL CAPM GROWTH CL I REINVESTMENTS		None	J	T	Buy	10/04/18	J		
41. WELLS FARGO EMERGING MARKETS EQUITY INTL CL REINVESTMENTS EMGNX	A	Dividend	J	T	Buy	10/04/18	K		
42. PRINCIPAL DIVERSIFIED REAL ASSET INSTL CL REINVESTMENTS PDRDX	A	Dividend	K	T	Buy	10/04/18	K		
43. BAIRD SHORT TERM BOND INSTL CL REINVESTMENTS SSBIX	A	Dividend	L	T	Buy	10/04/18	L		
44. BLACKROCK HIGH YIELD BOND INSTL CL REINVESTMENTS BHYIX	A	Dividend	J	T	Buy	10/04/18	K		
45. BLACKROCK STRATEGIC INCOME OPPTYS INSTL CL REINVESTMENTS BSIIX	A	Dividend	K	T	Buy	10/04/18	K		
46. DODGE & COX INCOME REINVESTMENTS DODIX	A	Dividend	K	T	Buy	10/04/18	K		
47. METROPOLITAN WEST TOTAL RETURN BOND CL I REINVESTMENTS MWTIX	A	Dividend	K	T	Buy	10/04/18	K		
48.									

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

ALQUIST, NANCY V.

Date of Report

08/15/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

ALQUIST, NANCY V.

Date of Report

08/15/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ NANCY V. ALQUIST**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544