

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011

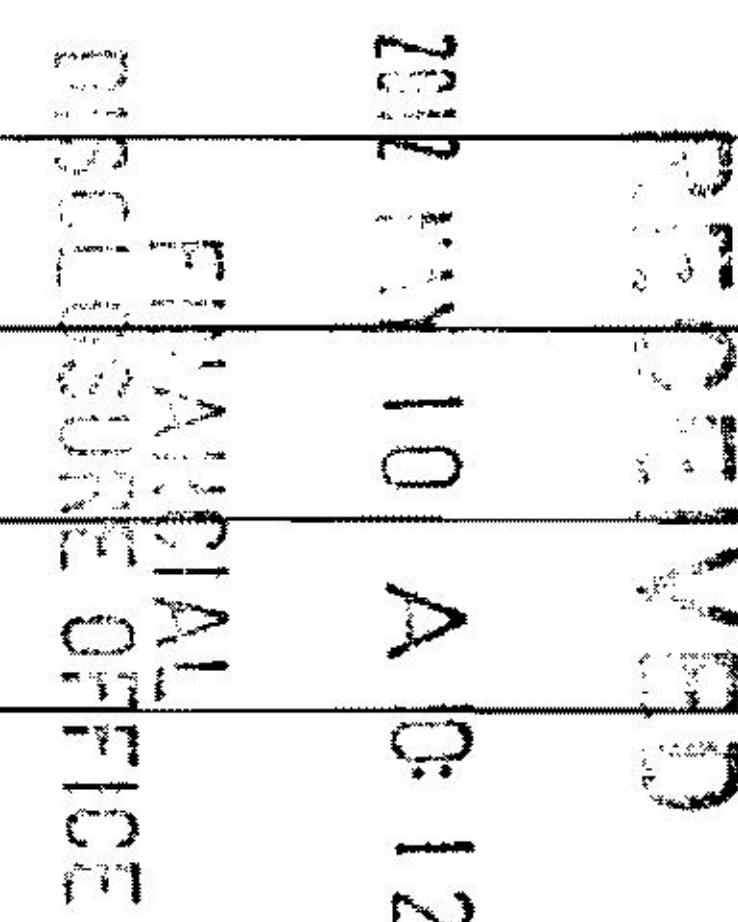
*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) STRAUB, CHESTER J.	2. Court or Organization U.S. COURT OF APPEALS, 2ND CIR	3. Date of Report 04/20/2012											
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) CIRCUIT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) <table style="margin-left: auto; margin-right: auto; border: none;"> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td>Nomination</td> <td>Date</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td>Initial</td> <td style="text-align: center;"><input checked="" type="checkbox"/> Annual</td> <td style="text-align: center;"><input type="checkbox"/> Final</td> </tr> <tr> <td colspan="4" style="text-align: center;">5b. <input type="checkbox"/> Amended Report</td> </tr> </table>	<input type="checkbox"/>	Nomination	Date	<input type="checkbox"/>	Initial	<input checked="" type="checkbox"/> Annual	<input type="checkbox"/> Final	5b. <input type="checkbox"/> Amended Report				6. Reporting Period 01/01/2011 to 12/31/2011
<input type="checkbox"/>	Nomination	Date											
<input type="checkbox"/>	Initial	<input checked="" type="checkbox"/> Annual	<input type="checkbox"/> Final										
5b. <input type="checkbox"/> Amended Report													
7. Chambers or Office Address UNITED STATES COURTHOUSE 500 PEARL STREET NEW YORK, NY 10007													
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.</i>													

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		



II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income** **NONE (No reportable non-investment income.)**

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	NYS RETIREMENT SYSTEM (RETIREMENT BENEFIT)	\$6,274.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* **NONE (No reportable non-investment income.)**

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* **NONE (No reportable reimbursements.)**

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.					
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)* **NONE** *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)* **NONE** *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*
 NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
Place "(X)" after each asset exempt from prior disclosure									
1. **FIDELITY INVESTMENTS**									
2. NY ST DORM AUTH REV'S CITY UNIV CONS-5TH GEN-C MBIA 4/10/03	C	Interest			Redeemed	07/01/11	L	A	
3. NEW YORK ST TWY AU SVC CONT REV LOC HWY & BRIDGE 8/15/95	B	Interest			Redeemed	04/01/11	L	A	
4. BERKSHIRE HATHAWAY INC. CLASS B		None	L	T					
5. HEWLETT PACKARD COMMON	B	Dividend	L	T					
6. ENZO BIOCHEM INC COMMON		None	J	T					
7. HEALTH CARE PPTY INVS INC COMMON	B	Dividend	L	T					
8. GREAT PLAINS ENERGY - KANSAS CITY POWER & LIGHT COMMON	A	Dividend	J	T					
9. IBM COMMON	C	Dividend	M	T					
10. GENERAL ELECTRIC COMMON	A	Dividend	J	T					
11. LOCKEED MARTIN COMMON	A	Dividend	J	T					
12. EXXON COMMON	A	Dividend	J	T					
13. COMMONWEALTH REIT COMMON (FORMERLY HRPT PROPERTIES TRUST)	A	Dividend	J	T					
14. COHEN & STEERS REALTY SHARES	A	Dividend	K	T	Buy (add'l)	03/31/11	J		
15.					Buy (add'l)	06/30/11	J		
16.					Buy (add'l)	09/30/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	

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VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*
 NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy (J-P)	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
Place "(X)" after each asset exempt from prior disclosure									
17.					Buy (add'l)	12/16/11	J		
18. NEW YORK ST URBAN DEV CORP REV CORP 6.5%	B	Interest			Redeemed	01/03/11	L	A	
19. FIDELITY MUNICIPAL MONEY MARKET	A	Interest	M	T					
20. NEW YORK NY GO BDS SUBERIES 5% BOND	C	Interest	M	T					
21. METROPOLITAN TRANSN AUTH NY 5.5% BOND	C	Interest	L	T					
22. FORTESCUE METALS GROUP LTD COMMON	A	Dividend	J	T					
23. GOLDMAN SACHS GROUP INC COMMON	A	Dividend	K	T					
24. WALMART STORES INC COMMON	A	Dividend	K	T					
25. FIDELITY NY MUNI MM	A	Interest	K	T					
26. EATON VANCE LG CAP FUND	A	Dividend			Buy (add'l)	01/17/11	L		
27.					Buy (add'l)	03/09/11	J		
28.					Sold	06/1/11	L	A	
29. FIDELITY INSTL TREASURY MONEY FUND	A	Dividend			Closed	03/21/11	M		
30. TEMPLETON GLOBAL BOND ADVISOR FUND	C	Dividend	L	T	Buy (add'l)	01/20/11	J		
31.					Buy (add'l)	02/17/11	J		
32.					Buy (add'l)	03/17/11	J		
33.					Buy (add'l)	04/19/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	

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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*
 NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
Place "X" after each asset exempt from prior disclosure									
34.					Buy (add'l)	5/18/11	J		
35.					Buy (add'l)	06/17/11	J		
36.					Buy (add'l)	7/19/11	J		
37.					Buy (add'l)	8/7/11	J		
38.					Buy (add'l)	9/7/11	J		
39.					Buy (add'l)	10/7/11	J		
40.					Buy (add'l)	11/7/11	J		
41.					Buy (add'l)	12/7/11	J		
42. SPDR S&P MIDCAP 400 ETF FUND	A	Dividend	K	T	Buy	1/7/11	K		
43. FIDELITY CONTRAFUND	A	Dividend	K	T	Buy	1/7/11	K		
44.					Buy (add'l)	2/4/11	J		
45.					Buy (add'l)	12/16/11	J		
46. FIDELITY LOW PRICED STOCK FUND	D	Dividend	M	T	Buy	1/7/11	L		
47.					Buy (add'l)	1/19/11	M		
48.					Buy (add'l)	9/9/11	J		
49. BARRON GROWTH FUND INSTL CLASS	A	Dividend	K	T	Buy	1/7/11	K		
50.					Buy (add'l)	11/29/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
51. BLACKROCK EQUITY DIV FUND	B	Dividend	L	T	Buy	06/1/11	L		
52.					Buy (add'l)	7/21/11	J		
53.					Buy (add'l)	10/20/11	J		
54.					Buy (add'l)	12/8/11	J		
55. AMERICAN GROWTH FUND OF AMERICA	A	Dividend	K	T	Buy	1/7/11	K		
56.					Buy (add'l)	12/21/11	J		
57. ROYCE TOTAL RETURN FUND	A	Dividend	K	T	Buy	1/7/11	K		
58.					Buy (add'l)	3/10/11	J		
59.					Buy (add'l)	6/9/11	J		
60.					Buy (add'l)	9/8/11	J		
61.					Buy (add'l)	12/8/11	J		
62. TWEEDY BROWNE GLOBAL VALUE FUND	B	Dividend	K	T	Buy	1/7/11	K		
63.					Buy (add'l)	12/29/11	J		
64. VANGUARD LIMITED TERM TAX EXEMPT ADMIRAL FUND	B	Interest	M	T	Buy	3/21/11	M		
65.					Buy (add'l)	3/31/11	J		
66.					Buy (add'l)	4/29/11	J		
67.					Buy (add'l)	5/31/11	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 F = \$50,001 - \$100,000	C = \$2,501 - \$5,000 G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000	D = \$5,001 - \$15,000 M = \$100,001 - \$250,000	E = \$15,001 - \$50,000 P2 = \$5,000,001 - \$25,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000			

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Place "(X)" after each asset exempt from prior disclosure									
68.					Buy (add'l)	6/30/11	J		
69.					Buy (add'l)	7/30/11	J		
70.					Buy (add'l)	8/31/11	J		
71.					Buy (add'l)	9/30/11	J		
72.					Buy (add'l)	10/31/11	J		
73.					Buy (add'l)	11/30/11	J		
74.					Buy (add'l)	12/30/11	J		
75. **CITIBANK ACCOUNTS**									
76. CHECKING (J)		None	K	T					
77. **FIDELITY IRA ROLLOVER**									
78. MARSH & MCLENNAN COMMON	A	Dividend	K	T					
79. FIDELITY CASH RESERVES	A	Interest	J	T					
80. S&P MID CAP 400 DEP RCPTS MID CAP SPDRS	B	Dividend	M	T					
81. FEDL HOME LN BANK CONS BD 5.625% 11/15/2011	C	Interest			Redeemed	11/15/11	K	A	
82. TWEEDY BROWNE GLOBAL VALUE FUND	B	Dividend	M	T	Buy (add'l)	12/29/11	J		
83. BARON GROWTH FUND	B	Dividend	M	T	Buy (add'l)	11/29/11	J		
84. BLACKROCK GLOBAL ALLOC FUND	E	Dividend	O	T	Buy (add'l)	2/1/11	K		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 F = \$50,001 - \$100,000	C = \$2,501 - \$5,000 G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000	D = \$5,001 - \$15,000 M = \$100,001 - \$250,000	E = \$15,001 - \$50,000 P1 = \$1,000,001 - \$5,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 N = \$250,001 - \$500,000	L = \$50,001 - \$100,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	

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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*
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Place "(X)" after each asset exempt from prior disclosure									
85.					Buy (add'l)	3/21/11	M		
86.					Buy (add'l)	07/22/11	J		
87.					Buy (add'l)	12/20/11	J		
88. AMERICAN GROWTH FUND CL F2	A	Dividend	K	T	Sold (part)	11/28/11	M	E	
89.					Buy (add'l)	12/21/11	J		
90. ROYCE TOTAL RETURN FUND	B	Dividend	M	T	Buy (add'l)	3/11/11	J		
91.					Buy (add'l)	06/10/11	J		
92.					Buy (add'l)	09/09/11	J		
93.					Buy (add'l)	12/09/11	J		
94. GOOGLE COMMON		None	K	T					
95. FIDELITY CONTRAFUND	A	Dividend	M	T	Buy (add'l)	2/4/11	J		
96.					Buy (add'l)	12/17/11	J		
97. EATON VANCE LG CAP VALUE FUND	A	Dividend			Buy (add'l)	03/10/11	J		
98.					Sold	6/1/11	M	A	
99. IVY ASSET STRATEGY FUND	D	Dividend	O	T	Buy (add'l)	2/1/11	K		
100.					Buy (add'l)	3/21/11	M		
101.					Buy (add'l)	12/28/11	J		

1. Income Gain Codes:

A = \$1,000 or less

B = \$1,001 - \$2,500

C = \$2,501 - \$5,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

(See Columns B1 and D4)

F = \$50,001 - \$100,000

G = \$100,001 - \$1,000,000

H1 = \$1,000,001 - \$5,000,000

H2 = More than \$5,000,000

2. Value Codes

J = \$15,000 or less

K = \$15,001 - \$50,000

L = \$50,001 - \$100,000

M = \$100,001 - \$250,000

(See Columns C1 and D3)

N = \$250,001 - \$500,000

O = \$500,001 - \$1,000,000

P1 = \$1,000,001 - \$5,000,000

P2 = \$5,000,001 - \$25,000,000

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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*
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A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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Place "(X)" after each asset exempt from prior disclosure									
102. TEMPLETON GLOBAL BOND ADVISOR FUND	E	Dividend	M	T	Buy (add'l)	1/20/11	J		
103.					Buy (add'l)	2/17/11	J		
104.					Buy (add'l)	3/17/11	J		
105.					Buy (add'l)	4/19/11	J		
106.					Buy (add'l)	5/18/11	J		
107.					Buy (add'l)	6/17/11	J		
108.					Buy (add'l)	7/19/11	J		
109.					Buy (add'l)	8/17/11	J		
110.					Buy (add'l)	9/19/11	J		
111.					Buy (add'l)	10/19/11	J		
112.					Buy (add'l)	11/17/11	J		
113.					Buy (add'l)	12/19/11	J		
114. BERKSHIRE HATHAWAY INC COMMON		None	M	T					
115. INTEL CORP COMMON	A	Dividend	J	T					
116. JP MORGAN CHASE & CO COMMON	B	Dividend	L	T					
117. MATTHEWS CHINA FUND	B	Dividend	K	T					
118. VANGUARD INTERMED TERM TAX EXEMPT FD	A	Dividend			Buy (add'l)	01/30/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	

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Name of Person Reporting STRAUB, CHESTER J.	Date of Report 04/20/2012
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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*
 NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
Place "(X)" after each asset exempt from prior disclosure									
119.					Sold (part)	1/13/11	L	A	
120.					Sold	2/1/11	K	A	
121. PIMCO TOTAL RETURN INSTL FUND	D	Dividend	N	T	Buy (add'l)	1/31/11	J		
122.					Buy (add'l)	2/1/11	K		
123.					Buy (add'l)	02/28/11	J		
124.					Sold (part)	3/21/11	N	A	
125.					Buy (add'l)	03/31/11	J		
126.					Buy (add'l)	04/30/11	J		
127.					Buy (add'l)	05/28/11	J		
128.					Buy (add'l)	06/30/11	J		
129.					Buy (add'l)	07/30/11	J		
130.					Buy (add'l)	08/31/11	J		
131.					Buy (add'l)	09/30/11	J		
132.					Buy (add'l)	10/29/11	J		
133.					Buy (add'l)	11/30/11	J		
134.					Buy (add'l)	12/28/11	J		
135.					Buy (add'l)	12/31/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	

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Name of Person Reporting STRAUB, CHESTER J.	Date of Report 04/20/2012
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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*
 NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136. VANGUARD LMTD ADMIRAL SHORT TERM FUND	B	Dividend			Buy (add'l)	01/29/11	J		
137.					Buy (add'l)	02/26/11	J		
138.					Sold (part)	3/21/11	M	A	
139.					Sold	3/22/11	L	A	
140. VANGUARD SHORT TERM LMTD MUN BD FUND INC		None			Sold (part)	2/1/11	L	A	
141.					Sold	3/22/11	K	A	
142. MORGAN STANLEY GLOBAL LONG/ SHORT FUND	D	Dividend	N	T	Buy (add'l)	3/28/11	L		
143.					Buy (add'l)	12/29/11	J		
144. APPLE INC COMMON		None	K	T	Buy	1/13/11	K		
145. BANK OF AMERICA COMMON	A	Dividend	K	T	Buy	8/9/11	K		
146. CANNON INC ADR	A	Dividend	J	T	Buy	1/13/11	J		
147. COMPAGNIE FINANCIERE RICHEMONT ADR	A	Dividend	J	T	Buy	1/13/11	J		
148. WALT DISNEY CO COMMON		None	J	T	Buy	1/13/11	J		
149. SCHLUMBERGER LIMITED COMMON	A	Dividend	J	T	Buy	1/13/11	J		
150. SIEMENS AG ADR	A	Dividend	J	T	Buy	1/13/11	J		
151. BLACKROCK EQUITY DIVIDEND FUND	B	Dividend	M	T	Buy	6/1/11	M		
152.					Buy (add'l)	7/21/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
 NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
Place "(X)" after each asset exempt from prior disclosure									
153.					Buy (add'l)	10/20/11	J		
154.					Buy (add'l)	12/28/11	J		
155. NOVO NORDISK ADR COMMON	A	Dividend	J	T	Buy	1/13/11	J		
156. **FIDELITY IRA**									
157. FIDELITY CASH RESERVES	A	Interest	J	T					
158. AMERICAN GROWTH (GFAFX)	A	Dividend	J	T	Buy (add'l)	12/22/11	J		
159.					Sold (part)	11/28/11	J	A	
160. **FIDELITY IRA**									
161. FIDELITY CASH RESERVES	A	Interest	J	T					
162. TWEEDY BROWNE GLOBAL VALUE FUND	A	Dividend	K	T	Buy (add'l)	12/30/11	J		
163. BARON GROWTH FUND	A	Dividend	J	T	Buy (add'l)	11/29/11	J		
164. FIDELITY CONTRAFUND	A	Dividend	J	T					
165. BANK OF AMERICA CORP COMMON	A	Dividend	K	T	Buy	8/9/11	K		
166. FIDELITY US GOVT RESERVES FUND MM	A	Interest			Sold	1/10/11	K	A	
167. **MORGAN STANLEY SB IRA**									
168. DREYFUS MONEY MARKET	A	Interest	J	T					
169. MILWAUKEE WIS PROM NTS 4.1%	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	

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Name of Person Reporting STRAUB, CHESTER J.	Date of Report 04/20/2012
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
 NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period					
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
Place "(X)" after each asset exempt from prior disclosure										
170. TIMPANOGOS SPL SVC DIST UTAH 4.69%	A	Interest	K	T						
171. FLORIDA ST BRD ED LOTTERY REV 4.941%	A	Interest	K	T						
172. VERMONT ST SER A-2 4.0%	A	Interest	K	T						
173. METROPOLITAN COUNCIL MINN 4.4%	A	Interest	K	T						
174. BRAZORIA CNTY TEX SER B 4.594%	A	Interest	K	T						
175. HAMILTON MICH CMNTY SCHS DIST 5.0%	A	Interest	K	T						
176. WISCONSIN ST CLEAN WTR REV SER 4.391%	A	Interest	K	T						
177. NEW YORK ST ENVIRONMENTAL FACS 4.469%	B	Interest	K	T	Buy (add'l)	1/7/11	J			
178. SAN LUIS OBISPO CNTY CALIF 7.45%	B	Interest	K	T						
179. OHIO STATE 5.331%	B	Interest	K	T						
180. NEW YORK NY FOR PRIOR ISSUES BOND 4.899%	A	Interest	K	T						
181. TACOMA WASH TAXABLE 4.241%	A	Interest	J	T						
182. IOWA FIN AUTH REV 3.93%		None	J	T	Buy	11/14/11	J			
183. **MORGAN STANLEY SB**										
184. WESTERN ASSET GOVT MONEY MARKET		None			Closed	02/22/11	J			
185. MORGAN STANLEY US GOVT MM TRUST		None	K	T	Open	02/22/11	J			
186. SOUTH CAROLINA ST PUB AUTH 4.0%	A	Interest	K	T						

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Name of Person Reporting	Date of Report
STRAUB, CHESTER J.	04/20/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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Place "(X)" after each asset exempt from prior disclosure										
187. CHATTANOOGA TENN ELEC REV 5.0%	A	Interest	K	T						
188. NEW YORK NY SER A-1 5.0%	A	Interest	K	T						
189. HUNTSVILLE ALA RFDG & CAP SER A 4.0%	A	Interest	K	T						
190. NORTH TEX MUN WTR DIST TEX 5.0%	A	Interest	K	T						
191. JEA FLA WTR & SWR SYS REV SER A 5.0%	A	Interest	K	T						
192. MIAMI-DADE CNTY FLA TRAN SYS SER A 5.0%	A	Interest	K	T						
193. FORT BEND TEX FLOOD CTL WTR SUPPLY 4.0%	A	Interest	K	T						
194. COLUMBIA CNTY GA WTR & SEW REV 5.0%	A	Interest	K	T						
195. SCOTTSDALE ARIZ MUN PPTY CORP 5.0%	A	Interest	K	T						
196. CALIFORNIA ST DEPT WTR RES PWR SER L 5.0%	A	Interest	K	T						
197. PENNSYLVANIA ST HIGHER EDL 5%	A	Interest	K	T	Buy	3/11/11	K			
198. SEATTLE WASH MUN LT & PWR 5%	B	Interest	L	T	Buy	7/5/11	L			
199. NY ST DORM AUTH ST PERS 5%	A	Interest	K	T	Buy	3/3/11	K			
200. NY ST ENVIRONMENTAL FACS CORP 4%	A	Interest	K	T	Buy	6/2/11	K			
201. FLORIDA ST BRD ED CAP 5%	B	Interest	K	T	Buy	3/9/11	K			
202. OREGON ST DEPT ADMINISTRATIVE SERVICES 5%	B	Interest	K	T	Buy	3/9/11	K			
203. IOWA ST SPL OBLIG SER A 5%	B	Interest	L	T	Buy	7/6/11	L			

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Name of Person Reporting

STRAUB, CHESTER J.

Date of Report

04/20/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
Place "(X)" after each asset exempt from prior disclosure									

204. LUBBOCK TEX OBLIG WATERWORKS 5%		None	K	T	Buy	3/16/11	K		
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

NONE

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Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544