Value-Based Prioritization*

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Abstract

A method is proposed to use value theory to quantitatively prioritize potential actions to accomplish a goal. This method is applied to the example of choosing meaningful work using an example value system based on the desire to reduce suffering.

1. Background

Why should a particular goal be pursued ("Why")? Given a goal, what actions should be pursued to best accomplish that goal ("What")? Given an action, how should that action be pursued ("How")?

This article proposes that value theory usually best scopes "Why" and "What" and the scientific method usually best answers "How". A method called Value-Based Prioritization is developed to answer the "What" question:

Why: Value Theory

What: Value-Based Prioritization

How: Scientific Method

1.1. Why a Goal?

"Why a Goal?" is usually best scoped using value systems because they are evaluative by nature³⁴. Comparing value systems is left as an (lifelong) exercise for the readerⁱ.

1.2. What Actions?

"What Actions?" is usually best scoped by prioritizing actions because actions usually have differing effect sizes and time is limited. It follows from the value system used to answer "Why" that the same value system is used primarily to evaluate the priority of each action.

This article proposes a method called Value-Based Prioritization which builds a quantitative prioritization model based on predicted effect sizes. Raw prioritization scores are further scaled by contextual factors such as implementation time, cost, risk, and other judgments.

1.3. How to do an Action?

Given answers to "Why?" and "What?", how to implement actions is usually best answered with the scientific

method ^{1,26}: observations are made and rational thought is used to generate hypotheses, hypotheses are tested with experiments, and successful experiments lead to theories and results.

2. Value-Based Prioritization

A value system V (1) generates a goal G(t) (2) (for some future time t) and a set of mutually exclusive potential future actions A(t):

$$A(t) = \{A_1(t), \dots, A_N(t)\},\$$

 $N > 1$ (3)

An action's *estimated relative accomplishment* amount B(A(t)) is an action's expected *relative* (i.e. with respect to other actions) contribution towards accomplishing G(t):

$$B(A(t)) = \mathbb{R},$$

$$0 < \mathbb{R} < 1$$
(4)

Thus, G(t) is fully accomplished if all actions are accomplished:

$$G(t) = \sum_{i=1}^{N} B(A_i(t)) = 1$$
 (5)

A value-based prioritization score C(A(t)) is the result of the product of a set of value-based prioritization scale functions $S = \{S_1, ..., S_N\}$ (6) multiplied by (4):

$$C(A(t)) = B(A(t)) \cdot \prod_{j=1}^{N} S_j(A(t)),$$

$$0 \le S_j(A(t)) \le 1$$
(7)

Example scale functions include implementation time, cost, risk, and other judgments. Ideally, scale functions should be defined before running the model to reduce bias. The set S always includes the element $S_1(A(t)) = 1$. Note that $\sum_{i=1}^N C(A_i(t)) \neq G$ if any $S_j(A_i(t)) < 1$.

A value-based prioritization Z(t) is a sequence of actions ordered by prioritization score (7) in descending order:

$$Z(t) = (A_1(t), \dots, A_N(t)),$$

 $C(A_1(t)) \ge \dots \ge C(A_N(t))$
(8)

^{*}https://github.com/free radical 13/Value Based Prioritization

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¹Example value systems include intuitionism ¹⁶, consequentialism ³⁵, evolutionary biology ¹³, religion ¹⁵, epicureanism ²², stoicism ⁴, political liberalism ⁴¹, anarcho-capitalism ¹⁸, communitarianism ⁵, objectivism ³, etc.

The first k actions in Z(t) should be executed in descending priority/proportion where k (9) is chosen based on factors such as available concurrency, time, resources, etc.

2.1. Modeled Value-Based Prioritization

Historical data may be used to predict actions' estimated relative accomplishment amounts (4) at a future time t_F (10).

If each action has historical data D(A):

$$D(A) = ((t_1, D(A, t_1)), \dots, (t_N, D(A, t_N)))$$
(11)

Then, a set of *comparable prediction models* (or forecasting models) 12,20,36,37,42 R(D(A)) is applied to each D(A) (e.g. exponential smoothing 19,20,ii , a generalized additive model [GAM] 37 , ARIMA 20,iii , linear regression 20,iv , machine learning 25 , seasonal algorithms such as TBATS 20,v , poisson log-bilinear regression 28 , etc.):

$$R(D(A)) = \{R_1(D(A)), \dots, R_N(D(A))\}$$
 (12)

The models are compared using a *model selection* algorithm^{vi} L(R(D(A))) (13) (e.g. smallest Akaike's Information Criterion [AIC], smallest Corrected AIC [AICc], smallest Bayesian Information Criterion [BIC], smallest cross-validation, largest adjusted coefficient of determination $[\bar{R}^2]$, etc.).

For each action, L(R(D(A))) produces the *best fitting model* M(A(t)) (or a model that's an average of multiple models ¹¹,vii).

Each action's $M(A(t_F))$ is used to predict $B(A(t_F))$.

Finally, modeled value-based prioritization $Z(t_F)$ is simply (8) with t_F :

$$Z(t_F) = (A_1(t_F), \dots, A_N(t_F)), C(A_1(t_F)) \ge \dots \ge C(A_N(t_F))$$
(14)

3. Choosing Meaningful Work

The following example applies modeled value-based prioritization (14) to the goal of choosing meaningful work ²⁷. Every aspect is an example and should be reconsidered

First, outline the parameters:

- (1) V = a value system which answers "Why work?" with "To reduce suffering" which is defined as maximal human suffering: death (more precisely, something like the lack of a potential of life). Alternatives include morbidity and disease burden (e.g. Quality-Adjusted Life Years [QALYs]^{23,40}), non-human suffering, cost effectiveness ^{14,21,29}, economic impact ³³, existential risks ⁶, pre-birth suffering, working to give ²⁴, etc.
- (2) G(t) = eliminate human death.

- (9) k = 1 for a single person (or 2 to hedge the failure of the first action or to add a volunteer activity).
- (10) $t_F = 10$ years; an average amount of time under normal conditions to integrate into a new career to work on some subset of A(t) (including learning, certification, building experience, networking, etc.).
- (11) D(A) = time-series data on human death by underlying cause viii.
- (12) R(D(A)) = exponential smoothing functions using Holt's linear trend method as aggregate models^{ix,x,xi} (ETS(A,M,N)) and $ETS(A,M_d,N)$ were tested but had bad failure modes, particularly with outliers.):

$$\{ETS(A,A,N),ETS(A,A_d,N)\},$$

$$\phi = 0.98$$

Commonly used alternative models in all-cause mortality forecasting include poisson log-bilinear regressions^{xii}.

• (13) L(R(D(A))) = lowest AICc.

A(t) is a set of actions which would eliminate the groups of Underlying Causes of Death (UCOD) as classified by the International Classification of Diseases (ICD) 30,31,32,xiii .

This example starts by looking at the longterm comparable leading causes of death for the United States 7,9,10,38,39,xiv,xv.

$$A(t) = \{$$
 $A_1(t) = \text{Eliminate: Heart disease},$
 $A_2(t) = \text{Eliminate: Cancer},$
 \dots
 $\}$

Review the list of actions and hypothesize scale functions. Examples:

• $S_1(A_i) = 1$

Required scale function.

•
$$S_2(A_i) = \left(1 - \frac{AverageAge(A_i(t_{max-5}:t_{max}))}{MaxAge(A(t))}\right)$$

Scale towards younger people because they have more to lose: one minus the ratio of the average age ³⁸ over the last 5 years compared to the maximum age of all deaths.

ii https://otexts.com/fpp2/expsmooth.html

iii https://otexts.com/fpp2/arima.html

ivhttps://otexts.com/fpp2/regression.html

vhttps://otexts.com/fpp2/regression.html

vi https://otexts.com/fpp2/selecting-predictors.html

vii https://otexts.com/fpp2/combinations.html

^{• (3)} A(t) = the set of actions which would eliminate human death.

viiihttps://www.who.int/topics/mortality/en/

ixhttps://otexts.com/fpp2/holt.html

xhttps://otexts.com/fpp2/ets.html

 $[\]frac{xi}{https://www.statsmodels.org/dev/examples/notebooks/generated/exponential.smoothing.html} \\$

xii See Appendix note 4.

xiii For a discussion of chapters, sub-chapters, and codes, see pages 13-17 of ICD-10 Volume 2³⁰. For a discussion of the definition of underlying cause of death, see page 31 of ICD-10 Volume 2³⁰.

xiv See Appendix command 1.

xvSee Appendix note 1 on how the data was generated.

•
$$S_3(A_i) = \left(\frac{(f(A_i) - min(f(A(t)))) \cdot (b - a)}{max(f(A(t)) - min(f(A(t)))}\right) + a,$$

 $f(A_i) = M'(A_i(t_F)), a = 0.5, b = 1$

Scale down by up to half by the relative rate of change of an action's predicted rate of death: Take the derivative of $M(A_i(t))$ and evaluate it with the predicted value and min-max normalize into [0.5,1] relative to other actions.

•
$$S_4(A_i) = \begin{cases} 0.1 & \text{if political/cultural} \\ 1 & \text{otherwise} \end{cases}$$

Essentially remove actions that are primarily political and/or cultural ¹⁷.

The list does not include common scale functions such as implementation time, cost, risk, playing into strengths, piquing interest, market demand, return on investment, ramp-up time, interest, etc. because they are either considered irrelevant xvi or moot after applying S_4 . The inverse of existing market investment might be interesting to consider.

Create a table listing all actions as rows and all *manually calculated* scale functions as columns^{xvii}, xviii</sup>:

Action	S_1	 S_N
A_1 A_2	0.1 1	1 0.25
A_N	0.99	0.9

Table 1: Theoretical manually calculated scale function table

For example, see Table 2:

Action (Eliminate:)	S_4
Homicide	0.1

Table 2: Example manually calculated scale function table for the longterm comparable leading causes of death for the United States

Outside of the manually calculated scale function table, use obfuscated action names when developing the model to avoid introducing bias.

D(A) for each action is the time-series data of number of deaths per year per some number of population (the "Crude Rate" 100,000 for the U.S. and 1,000,000 for the world). For example, for *Cancer* xx, see Table 3:

Year	Crude Rate
1900	61.612658
1901	63.969191
2016	185.319100
2017	184.217584

Table 3: Crude rate of deaths per year for *Cancer* for the Longterm comparable leading causes of death for the United States

Run each comparable prediction model $R_i(D(A))$. For example, for *Cancer*^{xxi}, see Figure 1:

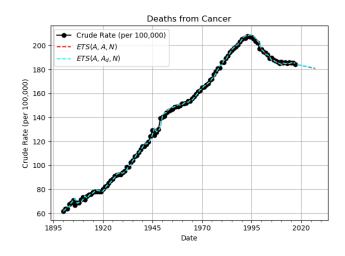


Figure 1: Exponential smoothing functions $ETS(A,A*,N), \phi = 0.98$ using Holt's linear trend method for *Cancer* for the Longterm comparable leading causes of death for the United States

Scedasticity, forecast uncertainty, and cross-validation are not considered because it's not clear how to automate processing of such data to tune or choose models.

For each model, calculate AICc and choose the model $M(A(t_F))$ that has the lowest AICc. For example, see Table 4:

$R_i(D(A))$	AICc	Predicted
ETS(A,A,N)	128.37	180.73
$ETS(A, A_d, N)$	128.83	181.29

Table 4: Example AICc values of $R_i(D(A))$ for *Cancer* for the Longterm comparable leading causes of death for the United States

Use each $M(A(t_F))$'s predicted value (setting negative values to 0) and generate all of the relative $B(t_F)$ values along with any scale functions based on the models (e.g. scaling by the relative prediction derivatives using S_3). For example, see Table 5:

xviThe irrelevance of some common scale functions rests on the privilege of having the flexibility to pursue options independent of immediate primal concerns.

^{xvii}For the longterm comparable leading causes of death for the United States, this is only $S_4(A_i)$.

xviii See Appendix command 2.

xixhttps://wonder.cdc.gov/wonder/help/cmf.html#Frequently%20Asked%20 Questions%20about%20Death%20Rates

xx See Appendix command 3.

xxi See Appendix command 4.

Action	$B(t_F)$	S_1	S_3
Name02	0.305	1	0.682
Name18	0.281	1	0.634

Table 5: Example $B(t_F)$ values and model-based scale function values for the Longterm comparable leading causes of death for the United States

Combine the table above with the manually calculated scale functions table (Table 1) and any other calculated scale functions (e.g. $S_2(A_i)$) to create the final table with all scale function values. For example, see Table 6:

Action	$B(t_F)$	S_1	S_2	S_3	S_4
Name02	0.305	1	0.386	0.682	1
Name18	0.281	1	0.435	0.634	1
• • •	• • •	• • •		• • •	• • •

Table 6: Example $B(t_F)$ values with all scale function values for the Longterm comparable leading causes of death for the United States

Calculate the product of each action's $B(t_F)$ and its scale function values to produce the final $Z(t_F)$ table and then sort by the values in descending order and choose the top k actions.

3.1. Results

For the longterm comparable leading causes of death for the United States, the results^{xxii} are in Table 7 and Figure 2:

k	Action (Eliminate:)	$Z(t_F)$
1	Name02	0.080375
2	Name18	0.077476
3	Name25	0.034373
4	Name21	0.025423
5	Name26	0.014776

Table 7: $Z(t_F)$ table for the Longterm comparable leading causes of death for the United States

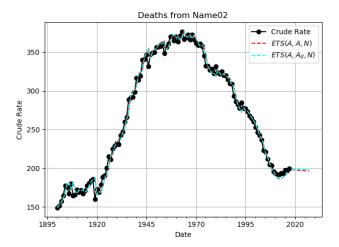


Figure 2: Highest priority action from the Longterm comparable leading causes of death for the United States: *Name02*

The same analysis is run on other mutually exclusive groupings of causes of death^{xxiii}:

1. ICD-9 and ICD-10 113 Cause List for the United States 2,7,8,38,xxiv,xxv,xxvi in Table 9 and Figure 4:

k	Action (Eliminate:)	$Z(t_F)$
1	Name046	0.032929
2	Name058	0.025236
3	Name010	0.022342
4	Name029	0.015068
5	Name092	0.013543

Table 8: $Z(t_F)$ table for the ICD-9 and ICD-10 113 Cause List for the United States

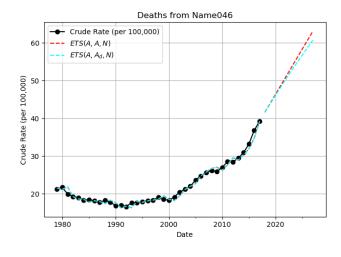


Figure 3: Highest priority action from the ICD-9 and ICD-10 113 Cause List for the United States: *Name046*

2. ICD-9 and ICD-10 113 Cause List for the United States, including subtotals in Table 9 and Figure 4:

xxiiiPrefix the data source with the -a flag to run for all data types; for example, see Appendix command 6.

 $[\]frac{xxiv}{ftp://ftp.cdc.gov/pub/Health_Statistics/NCHS/Datasets/Comparability/icd9_icd10/Comparability_Ratio_tables.xls$

 $^{^{\}textbf{xxv}} \textbf{https://wonder.cdc.gov/wonder/help/ucd.html\#ICD-10\%20113\%20Cause\%20List}$

xxvihttps://www.cdc.gov/nchs/data/dvs/Multiple_Cause_Record_Layout_2016.pdf, page 19; The list actually has 118 mututally exclusive groups instead of 113.

xxii See Appendix command 5.

k	Action (Eliminate:)	$Z(t_F)$
1	Name117	0.049639
2	Name009	0.031924
3	Name030	0.021617
4	Name030	0.019517
5	Name073	0.019465

Table 9: $Z(t_F)$ table for the ICD-9 and ICD-10 113 Cause List for the United States, including subtotals

450 -	Deaths from Name117					
425 -				ETS(A	Rate (per 100,0 , A, N) , A _d , N)	000)
400 -		Ace.		2750	, Aa, (V)	
0 375 -						
Crude Rate (per 100,000)		₹/*	* San			
de Rate			Sec.			
200 -			7	*		<u>.</u> :
275 -				A Reg		
250 -						
	1980	1990	2000 Date	2010	2020	

Figure 4: Highest priority action from the ICD-9 and ICD-10 113 Cause List for the United States, including subtotals: *Name117*

3. ICD-9 and ICD-10 113 Cause List for the United States with only top-level groupings in Table 10 and Figure 5:

k	Action (Eliminate:)	$Z(t_F)$
1	Name117	0.131121
2	Name126	0.050850
3	Name073	0.045513
4	Name091	0.017207
5	Name050	0.012517

Table 10: $Z(t_F)$ table for the ICD-9 and ICD-10 113 Cause List for the United States with only top-level groupings

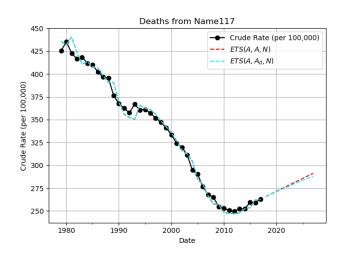


Figure 5: Highest priority action from the ICD-9 and ICD-10 113 Cause List for the United States with only top-level groupings: *Name117*

4. ICD-10 Chapters for the United States ^{7,xxvii} in Table 11 and Figure 6:

k	Action (Eliminate:)	$Z(t_F)$
1	Name16	0.101677
2	Name17	0.060121
3	Name14	0.039507
4	Name01	0.037175
5	Name18	0.026494

Table 11: $Z(t_F)$ table for the ICD-10 Chapters for the United States

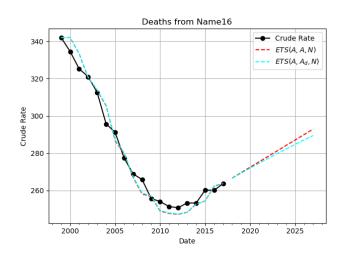


Figure 6: Highest priority action from the ICD-10 Chapters for the United States: *Name16*

5. ICD-10 Sub-Chapters for the United States ^{7,xxviii} in Table 12 and Figure 7:

xxvii'Group Results By "Year" And By "ICD Chapter"; Check "Export Results"; Uncheck "Show Totals"

xxviiiGroup Results By "Year" And By "ICD Sub-Chapter"; Check "Export Results"; Uncheck "Show Totals"

k	Action (Eliminate:)	$Z(t_F)$
1	Name093	0.060440
2	Name026	0.038374
3	Name101	0.037231
4	Name037	0.027645
5	Name075	0.025999

Table 12: $Z(t_F)$ table for the ICD-10 Sub-Chapters for the United States

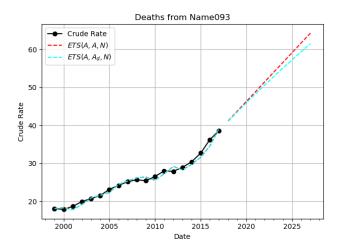


Figure 7: Highest priority action from the ICD-10 Sub-Chapters for the United States: *Name093*

6. Minimally grouped (5,264) causes of death for the United States ⁷,xxix,xxx in Table 13 and Figure 8:

k	Action (Eliminate:)	$Z(t_F)$
1	Name0856	0.013730
2	Name4563	0.012711
3	Name2111	0.012563
4	Name0653	0.011704
5	Name3874	0.007563

Table 13: $Z(t_F)$ table for the Minimally grouped (5,264) causes of death for the United States

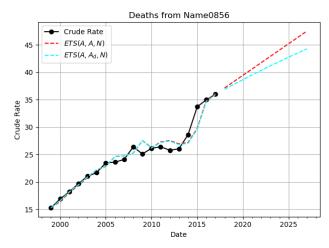


Figure 8: Highest priority action from the Minimally grouped (5,264) causes of death for the United States: *Name0856*

7. Minimally grouped (11,316) causes of death for the World 43xxxi,xxxiii,xxxiii in Table 14 and Figure 9:

k	Action (Eliminate:)	$Z(t_F)$
1	Name01002	0.026866
2	Name04954	0.024561
3	Name05025	0.022585
4	Name06345	0.019871
5	Name01748	0.018749

Table 14: $Z(t_F)$ table for the Minimally grouped (11,316) causes of death for the World

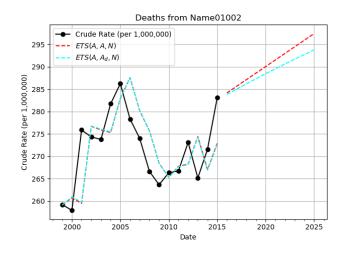


Figure 9: Highest priority action from the Minimally grouped (11,316) causes of death for the World: *Name01002*

8. ICD-10 Chapters for the World, including subtotals ^{43,xxxiv} in Table 15 and Figure 10:

xxixGroup Results By "Year" And By "Cause of death"; Check "Export Results"; Uncheck "Show Totals"

 $^{^{}xxx}$ Without S_4 due to the sheer number of causes.

xxxiSee Appendix command 7.

xxxiiiWithout S_3 because comprehensive granular age data doesn't exist. xxxiiiWithout S_4 due to the sheer number of causes.

xxxivSee footnote xxxii.

k	Action (Eliminate:)	$Z(t_F)$
1	Name038	0.160800
2	Name216	0.097424
3	Name059	0.092250
4	Name151	0.046399
5	Name148	0.034819

Table 15: $Z(t_F)$ table for the ICD-10 Chapters for the World, including subtotals

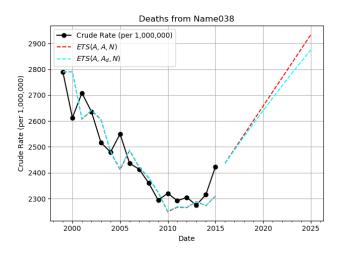


Figure 10: Highest priority action from the ICD-10 Chapters for the World, including subtotals: *Name038*

9. ICD-10 Chapters for the World with only top-level groupings ⁴³,xxxv in Table 16 and Figure 11:

k	Action (Eliminate:)	$Z(t_F)$
1	Name038	0.321104
2	Name216	0.194456
3	Name151	0.092581
4	Name129	0.043816
5	Name055	0.032951

Table 16: $Z(t_F)$ table for the ICD-10 Chapters for the World with only top-level groupings

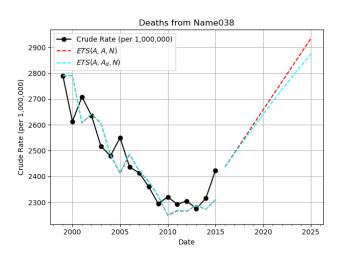


Figure 11: Highest priority action from the ICD-10 Chapters for the World with only top-level groupings: *Name038*

^{10.} ICD-10 Sub-Chapters for the World 43,xxxvi in Table 17 and Figure 12:

k	Action (Eliminate:)	$Z(t_F)$
1	Name059	0.216971
2	Name148	0.076670
3	Name197	0.038095
4	Name189	0.032015
5	Name092	0.031105

Table 17: $Z(t_F)$ table for the ICD-10 Sub-Chapters for the World

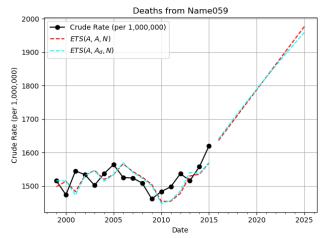


Figure 12: Highest priority action from the ICD-10 Sub-Chapters for the World: *Name059*

4. Discussion

TODO

xxxv See footnote xxxii.

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5. Appendix

Notes on Section 3:

- 1. To generate the longterm comparable leading causes of death for the United States:
 - (a) Generate data from 1959 for all long-term, comparable, leading causes of death**xxvii:

```
python3 -m vbp.run prepare_data
UCODUnitedStates
```

- (b) Rows 1900:1957 and the sheet "Comparability Ratios" in comparable_ucod_estimates.xlsx were manually input from https://www.cdc.gov/nchs/data/dvs/lead1900_98.pdf.
- (c) Open comparable_data_since_1959.xlsx and copy rows 1959:Present.
- (d) Open comparable_ucod_estimates.xlsx and paste on top starting at 1959.
- (e) Process comparable_ucod_estimates.xlsx with its "Comparability Ratios" sheet to generate comparable_ucod_estimates_ratios_applied.xlsx:

```
python3 -m vbp.run
prepare_data UCODUnitedStates
--comparable-ratios
```

- 2. Age adjustment xxxviii is not performed on crude rates because the goal of the example is to predict future *relative* total death rates which already implicitly takes into account population age changes over time.
- 3. The WHO Mortality Database population and death statistics are quite incomplete, reporting only about $\frac{1}{3}$ of the world population and about $\frac{1}{3}$ of world deaths:

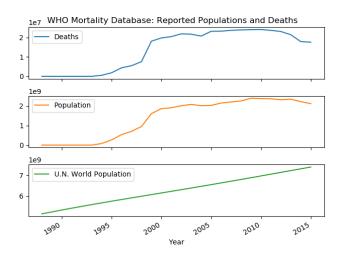


Figure 13: WHO Mortality Database: Reported Population and Deaths

4. "There is a substantial literature on the projection or forecasting of all-cause mortality rates and mortality rates for specific diseases. The methods used fall into two broad groups. First are those methods based on time-series analysis of historical trends in mortality rates. These 'aggregate models,' whether

for all-cause mortality or for specific causes, use the previous trend of the variable of interest as the basis for predicting its future value. By their data requirements, such methods are generally limited to highincome countries with good death registration data [...]. Second are the 'structural models,' which are based on relationships between mortality and a set of independent variables, and are necessarily projections of those independent variables. To the extent that the structural model identifies the important components — and the relationships among them — of the 'system' that determines the variable of interest, they offer the potential for more robust predictions. When the underlying system is complex and sensitive to one or more of its components, a shift in some of the system variables can introduce large changes in the outcome that may be missed by extrapolation (such as the discovery of antibiotics and infectious disease trends or the change in tuberculosis mortality after the HIV epidemic). Aggregate models, in contrast, require considerably less knowledge of the system components and the relationships among them. These models can therefore provide more reliable estimates when such information is not available, especially when the system is not very sensitive to its inputs in time intervals that are in the order of the prediction time."28

- 5. "Substantial research remains to develop robust and unbiased methods for measuring trends in case fatality rates, survival times, and disability due to specific causes, let alone collecting such data across all regions of the world. Despite these uncertainties, projections provide a useful perspective on population health trends and health policies, provided that they are interpreted with a degree of caution. Projections enable us to appreciate better the implications for health and health policy of currently observed trends, and the likely impact of fairly certain future trends, such as the ageing of the population, and the continuation of the epidemiological transition in developing countries." ²⁸
- 6. "The process of coding underlying causes of death involves some extent of misattribution or miscoding even in countries where causes are assigned by medically qualified staff [due to] incorrect or systematic biases in diagnosis, incorrect or incomplete death certificates, misinterpretation of ICD rules for selection of the underlying cause, and variations in the use of coding categories for unknown and ill-defined causes." xxxiix

xxxviii https://www.cdc.gov/nchs/data/dvs/lead1900.98.pdf xxxviiii https://seer.cancer.gov/seerstat/tutorials/aarates/definition.html

5.1. Python Commands

- 1. python3 -m vbp.run list UCODUnitedStates
- 2. python3 -m vbp.run manual_scale_functions -a -t excel -o
 manual_scale_functions.xlsx -n "Scale Values" -p "Eliminate: "
 UCODUnitedStates S4
- 3. python3 -m vbp.run action_data UCODUnitedStates Cancer
- 4. python3 -m vbp.run predict UCODUnitedStates --ets-no-multiplicative-models --do-not-obfuscate -p 10 Cancer
- 5. python3 -m vbp.run modeled_value_based_prioritization UCODUnitedStates --ets-no-multiplicative-models -k 5 -p 10 --manual-scales manual_scale_functions.xlsx --average-ages S2 --average-age-range 5
- 6. python3 -m vbp.run modeled_value_based_prioritization -a UCODUnitedStates ...
- 7. python3 -m vbp.run modeled_value_based_prioritization UCODWorld --ets-no-multiplicative-models -k 5 -p 10 --manual-scales manual_scale_functions.xlsx