

1 Lawyer: Modular Obligations-Based Liveness Reasoning in 2 Higher-Order Impredicative Concurrent Separation Logic 3

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6 Higher-order concurrent separation logics, such as Iris, have been tremendously successful in verifying
7 safety properties of concurrent programs. However, state-of-the-art attempts to verify liveness properties
8 in such logics have so far either lacked modularity (the ability to compose specifications of independent
9 modules), or they have been far too complex to mechanize in a proof assistant. In this work, we introduce
10 Lawyer – a mechanized program logic for modular verification of (fair) termination of concurrent programs.
11 Lawyer draws inspiration from state-of-the-art approaches that use obligations for specifying and proving
12 termination. However, unlike these approaches, which incorporate obligations by instrumenting the source
13 code with erasable auxiliary code and state, Lawyer avoids such instrumentations. Instead, Lawyer incorpo-
14 rates obligations into the logic by embedding them into a purely logical labeled transition system that the
15 program is shown to refine – this makes Lawyer far more amenable to mechanization. We demonstrate the
16 expressivity of Lawyer by verifying termination of a range of examples, including modular verification of a
17 client program whose termination relies on correctness of a fair lock library, and (separately) proving that a
18 ticket lock implementation implements that library’s interface. To the best of our knowledge, Lawyer is the
19 first mechanized program logic that supports modular higher-order impredicative liveness specifications of
20 program modules. All the results that appear in the paper have been mechanized in the Rocq proof assistant
on top of the Iris separation logic framework.

21 1 Introduction 22

23 An important aspect of program verification frameworks [Appel 2011; Gu et al. 2018; Jacobs et al.
24 2011; Jung et al. 2015; Lee et al. 2023; Liang and Feng 2016; Sergey et al. 2015] is *modularity*:
25 that different modules of a program can be verified in isolation with respect to their individual
26 specifications. The benefits of modularity are twofold: (1) it allows us to manage the complexity of
27 the correctness proof by decomposing the problem into smaller, more manageable tasks, and (2) it
28 enables proof reuse where each program module is proven correct once, even though it is used
29 multiple times. Modularity is especially important for the verification of concurrent programs; each
30 thread should be verified in isolation from other threads, lest we would have to explicitly reason
31 directly in terms of different thread interleavings. Modular reasoning about *liveness* properties of
32 concurrent programs is notoriously difficult – here by a liveness property we mean a property
33 that expresses that something good will eventually happen, e.g., the program terminates, or a
34 server eventually responds to client requests. This limitation is mainly due to two reasons. First,
35 the rely-guarantee style reasoning [Jones 1983] used to achieve modularity when reasoning about
36 the safety of concurrent programs is not generally sound for reasoning about liveness properties
37 [Abadi and Lamport 1995]. Second, the step-indexing technique [Appel and McAllester 2001] used
38 to model higher-order concurrent program logics that support highly-modular specifications [Jung
39 et al. 2018] is inherently at odds with liveness reasoning [Hobor et al. 2010; Spies et al. 2021; Timany
40 et al. 2024]. In the rest of this Introduction, we discuss these issues in detail and explain the very
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recent progress that state-of-the-art research has made to tackle these challenges [Fasse and Jacobs 2024; Timany et al. 2024] before explaining our contributions.

Thread-local modular reasoning about concurrent programs is challenging because threads do not *run* in isolation — rather, they interact, e.g., via shared memory. Thus, to support modular verification of concurrent programs, program verification frameworks must provide a way to express how threads interact with each other. This has traditionally been done in terms of so-called rely and guarantee conditions [Jones 1983] where, for each thread we specify what it expects (relies upon) from other threads and what it guarantees to other threads. Modern program logics use so-called invariants [Jung et al. 2015] — a symmetric form of rely-guarantee: declaring a property P to be an invariant allows all threads to assume that P holds at all times, but at the same time it also forces them to guarantee that P holds whenever they interact with shared memory. To achieve full modularity when reasoning about concurrent programs, the logic used to express and prove the correctness of programs must be higher-order in the sense that any property whatsoever must be allowed to be declared as an invariant. Such a property itself could potentially be defined in terms of invariants — hence, such invariants are often called impredicative invariants [Jung et al. 2018].

To see the need for modularity and impredicative invariants, consider the example in Listing 1. In this example, the `runAsync` function takes a function f , runs it in a separate thread, and in the main thread waits for it to be done, i.e., for the shared optional reference to be set. It then returns the value produced by the call to f in the forked thread. Intuitively, `runAsync f` should satisfy exactly the same properties as f . This can be stated in terms of Hoare-style specifications as follows:

$$\forall f, P, \Phi. \{P\} f () \{\Phi\} \implies \{P\} \text{runAsync } f \{\Phi\}$$

(runAsync-spec)

Listing 1. Example program

```
let runAsync f =
  let x = ref None in
  fork (x := Some (f ()));
  (rec loop () =
    match !x with
    | Some v -> v
    | _ -> loop ()) ()
```

The specification (runAsync-spec) above quantifies over all possible functions f , all propositions P , and all predicates Φ , stating that `runAsync f` satisfies exactly the same Hoare triple as f itself. (The postcondition in our Hoare triples are predicates over values; $\Phi(v)$ is guaranteed to hold for the value v obtained from the computation.) This specification of `runAsync` is reusable in that it can be applied to any function f whatsoever, with any specification (pre- and postcondition) whatsoever. In order to prove `runAsync` correct *modularly*, i.e., showing correctness of the forked thread in isolation from the main thread of `runAsync`, and all other threads that may exist in the system for that matter, we need to establish an invariant which essentially captures that if the value stored in shared location x is `Some v`, then $\Phi(v)$ should hold, where Φ is an arbitrary predicate picked by the user of the `runAsync` module (and Φ could potentially involve invariants itself).

This expressivity of state-of-the-art program logics [Appel 2011; Jung et al. 2015] comes at a cost. In order to avoid the inherent circularity caused by higher-order features like impredicative invariants, the models of these logics are based on the so-called step-indexing technique. In these logics, proving a property P amounts to proving that, for any $n \in \mathbb{N}$, if we run the program for n steps, the property P holds. While this is sufficient for safety properties (properties that state nothing bad ever happens, e.g., the program does not crash), it inherently precludes liveness reasoning [Hobor et al. 2010]; looking at one finite run at a time, it is impossible to show that something eventually good happens for the continuation of that run. Timany et al. [2024] have, however, recently proposed the Trillium program logic that enables an indirect way of reasoning about liveness properties in the presence of step-indexing. Trillium's program logic allows users to show that programs refine labeled transition system (LTS) models. This refinement relation is set up so that any property, be it safety or liveness, satisfied by the LTS model is also satisfied by the program. Showing that such a strong notion of refinement, which allows transporting both

safety and liveness properties, can be carried out in a step-indexed program logic is one of the main contributions of Timany et al. [2024]. However, whereas the program logic of Trillium enjoys all the modularity properties of state-of-the-art program logics in proving the refinement, the Trillium methodology lacks an important aspect of modularity in that one needs to come up with a single LTS model of the entire program that is to be verified.

The Sassy logic, recently developed by Fasse and Jacobs [2024], supports modular reasoning about termination (an important class of liveness properties) of higher-order concurrent programs. The core idea of Sassy is to parameterize blocking modules with auxiliary functions that produce *fuel* when progress is blocked on the client. Via their notion of fuel and other auxiliary state and code, in the sense of Owicki and Gries [1976], the liveness property of termination is reduced to a safety property (of a closely related program).

For sequential programs, it suffices to consume fuel at every step. If the fuel is well-founded, and we can prove that the program cannot exhaust its fuel (get stuck), then the program must eventually terminate. Reinhard and Jacobs [2021a,b] observe that fuel *generation* is sound and supports busy-waiting programs under certain conditions. Intuitively, a program in which threads can wait for one another only terminates if no thread, directly or indirectly, waits for itself. This is ensured by a well-founded order on *obligations* [Boström and Müller 2015; D’Osualdo et al. 2019; Jacobs et al. 2018; Kobayashi 2006; Lee et al. 2025; Leino et al. 2010; Reinhard and Jacobs 2021a] that denote progress dependencies. Namely, a thread τ is allowed to busy-wait for a thread τ' if all obligations held by τ' are smaller than those held by τ .

Reducing termination to safety, Sassy’s approach can, in essence, be carried out in any sufficiently expressive program logic for reasoning about the safety of (higher-order) concurrent programs. Fasse and Jacobs [2024] have chosen to formalize the approach in the Iris program logic, but they did so only on paper *i.e.* they did not leverage the Iris Rocq library. They carried out their case studies against the axiomatization of and tool support for Ghost Signals in VeriFast [Reinhard and Jacobs 2021a,b]. To date, the soundness thereof has not been mechanized.

In this paper, we present Lawyer, which combines the obligations-based reasoning of Sassy [Fasse and Jacobs 2024] with the relational approach of Trillium [Timany et al. 2024]. That is, we show that Trillium’s program logic together with an LTS model, which is inspired by Sassy’s auxiliary code and state, can be used to reason modularly about the termination of higher-order concurrent programs. This allows us to entirely forego auxiliary code and state and reason directly about a program as opposed to an instrumentation of it. Thus, there is no need for an erasure argument [Fasse and Jacobs 2024], which significantly simplifies the soundness proof and its mechanization. Besides the small motivating example programs, we also present an abstract specification of fair locks which we instantiate with a concrete implementation – the ticket lock. We also verify a client program against the abstract fair lock specification; by modularity, we obtain a proof of termination of the client linked with the concrete ticket lock implementation. All results presented in this paper, including all examples and case studies, as well as Lawyer’s soundness proof, are mechanized in the Rocq Prover [Anonymous 2025a]. For a more detailed comparison of Sassy and Lawyer, including the challenges involved in incorporating Sassy’s approach with Trillium’s, see §5 and §4.3.

In summary, we make the following contributions:

- The first mechanized higher-order impredicative concurrent separation logic for modular specification and verification of liveness properties of higher-order concurrent programs with shared mutable state.
- A novel obligations model (§2), inspired by Sassy, which abstracts over terminating concurrent programs and which Trillium can be instantiated with. We prove that any fair trace in the obligations model is finite.

- A novel instantiation of the Trillium program logic framework, with new proof rules for manipulating the obligations model, which allow proving refinement to that model (§3)
- Case studies of modular verification of termination, including specification and proof of a fair-lock module and a client thereof (§4). In the main body of the paper, we present a version of the fair lock specification that is reasonably simple to use by clients. For the expert readers, we mention that this specification is derived from a novel logically atomic specification of the fair lock module; our notion of logically atomic specification is inspired by Sassy and extends the logically atomic specifications of Iris to reason about termination. Our logically atomic specification is included in the appendix [Anonymous 2025b].

We discuss further related work in §5 and conclude in §6.

2 Obligations Model

In this section, we define the states and transitions of Obligations model (OM) and establish some important properties of it. Due to the complexity of the problem at hand, *i.e.*, reasoning about termination of higher-order concurrent programs, the states and transitions of OM are also rather complex because they need to capture the intricate interdependence of different program modules of such programs that run in parallel. Following Liang and Feng [2016], we consider two general classes of such programs: *delaying* and *blocking*. To simplify the presentation, we first explain how our model supports delaying, and then extend it to blocking.

Thus, we start in §2.1 by presenting NOM – a *no-obligations* fragment of OM that is still expressive enough to abstract the execution of non-blocking programs that do not fork. Then, in §2.2 we present the full definition of OM that abstracts both non-blocking and blocking programs. To motivate the different parts of OM’s state and transitions, in both §2.1 and §2.2 we use a carefully chosen set of (fairly) terminating programs, and for each of them, describe informally how the traces of OM abstract the execution traces of that program. Afterwards, in §2.3 we give the formal definition of the refinement relation between program execution traces and OM traces. Moreover, in [Anonymous 2025b] we describe some additional properties that OM exhibits.

2.1 No-Obligations Model Definition

The formal definition of NOM is given in Figure 1 on Page 5. The model NOM is parameterized by a finite partially ordered set of degrees (Degree , \preceq_D), set Thread (to be instantiated with the set of thread identifiers of a given language) and a natural number SB; we will describe these later on. The model states $\delta : \text{NOM}_{\text{St}}$ and transitions $\delta_1 \xrightarrow[\text{NOM}]{\tau:\text{Thread}} \delta_2$ are chosen so that the traces of NOM abstract the execution traces of terminating non-blocking programs. This explains the choice of labels: a transition labeled with τ corresponds to an execution step of thread τ .

Independent Termination with Statically-Known Bound. We begin with the example in Figure 2. There and in the examples below, \parallel denotes the parallel composition of two threads already present in the program’s starting configuration; later, we show how our approach extends to unstructured, fork-based concurrency. Assuming that the reference l initially stores a non-negative integer N , both threads terminate in a constant amount of time: the left thread terminates in exactly 1 step, whereas the right one takes up to $K_{\text{decr}} * N$ steps to terminate – the constant K_{decr} over-approximates the number of steps of a single `decr` iteration (we take 10 in our Rocq mechanization). We can abstract an execution of this example by transitions of NOM with $\text{Degree} \triangleq \{d_0\}$ and $\text{SB} \triangleq 0$. For simplicity, until §2.2 we also assume that $\text{Thread} \triangleq \mathbb{N}$.

```
let rec decr l =
  let c = !l in
  if c == 0 then ()
  else l := c - 1; decr l
in !l || decr l
```

Fig. 2. Statically-known Bound

197 A state of NOM is a record of type $\{\text{fuel} : \text{FinMultiset}(\text{Barrel}); \text{phs} : \text{Thread} \xrightarrow{\text{fin}} \text{Phase}; \text{eb} : \mathbb{N}\}$,

198 where $\text{Barrel} \triangleq \text{Phase} \times \text{Degree}$ $\text{Phase} \triangleq \text{List } \mathbb{N}$ $\pi_1 \preceq_{\text{P}} \pi_2 \triangleq \exists \pi'. \pi_2 = \pi' ++ \pi_1$

200 **Small transitions of NOM**

201 **NOMS-EXCHANGES-FUEL**

$$\frac{(\pi, d) \in \delta.\text{fuel} \quad d' \prec_D d \quad n \leq \delta.\text{eb}}{\delta \xrightarrow[\text{NOM}]{} \delta[\text{fuel} := \delta.\text{fuel} \setminus \{(1 \cdot (\pi, d))\} \uplus \{n \cdot (\pi, d')\}]}$$

202 **NOMS-INCREASES-EB**

$$\delta \xrightarrow[\text{NOM}]{} \delta[\text{eb} := \delta.\text{eb} + 1]$$

203 **Fuel burning and the full transition of NOM**

204 **NOM-BURNS-FUEL**

$$\frac{\delta.\text{phs}[\tau] = \pi_\tau \quad \pi \preceq_{\text{P}} \pi_\tau \quad (\pi, d) \in \delta.\text{fuel}}{\delta \xrightarrow[\text{NOM}]{} \tau \delta[\text{fuel} := \delta.\text{fuel} \setminus \{(1 \cdot (\pi, d))\}]}$$

$$\delta_1 \xrightarrow[\text{NOM}]{} \tau \delta_2 \triangleq \exists n \leq \text{SB}, \delta'. \delta_1 \xrightarrow[\text{NOM}]{}^n \delta' \wedge \delta' \xrightarrow[\text{NOM}]{} \tau \delta_2$$

205 Fig. 1. No-Obligations model (parameterized by Degree, Thread and SB)

206 For simple examples such as Figure 2, a NOM state represents an assignment of *fuel* to threads.
 207 In that case, every element (a *barrel*) of fuel multiset is marked as belonging to one of the
 208 threads via *phs* mapping (we elaborate on this mapping below). The *eb* state component is
 209 irrelevant for this example, and we set it to 0. Therefore, we consider NOM states of the form
 210 $\{\text{fuel} := \biguplus_{\tau \in T} (N_\tau \cdot ([\tau], d_0)); \text{phs} := \lambda \tau \Rightarrow [\tau]; \text{eb} := 0\}$ for some choices of N_τ , where T is the set
 211 of thread identifiers used in given program (here, they are $\tau_l \triangleq 0$ and $\tau_r \triangleq 1$ denoting the left and
 212 right thread); we write $n \cdot x$ for n copies of an element x in a multiset. Omitting the (almost) identity
 213 *phs* and irrelevant *eb*, an NOM state abstracting the state of the example program in Figure 2 can
 214 thus be written as $\{\text{fuel} := n_l \cdot ([\tau_l], d_0) \uplus n_r \cdot ([\tau_r], d_0)\}$, for some n_l, n_r .

215 This means, in turn, that a trace of the program's execution can be abstracted by a trace of NOM
 216 by taking n_l and n_r to initially be (an overapproximation of) the number of steps that the left and
 217 right thread take, respectively. In its simplest form, taking $n = 0$, $\xrightarrow[\text{NOM}]{}^\tau$ reduces to $\xrightarrow[\text{NOM}]{}^\tau$ (see Figure 1).

218 Hence, according to **NOM-BURNS-FUEL**, a $\xrightarrow[\text{NOM}]{}^\tau$ transition consumes one barrel assigned to τ . With $\xrightarrow[\text{NOM}]{}^\tau$
 219 transitions only, a thread's fuel decreases every time it takes a step; therefore, the traces of the
 220 simplified model always terminate in $n_l + n_r$ steps. (We drop the thread's name from arrows like
 221 $\xrightarrow[\text{NOM}]{}^\tau$ and \rightarrow when it is clear from the context or irrelevant.)

222 As we shall see later, a precise correspondence between the program execution and a model
 223 trace is established by conducting a proof within the program logic that we present in §3. At every
 224 step of that proof, we intuitively need to show that the given thread has enough fuel to continue
 225 its execution. For that to hold, we choose the initial state of the obligations model to have $n_l \triangleq 1$
 226 and $n_r \triangleq K_{\text{decr}} * N$ and, moreover, we keep track of the fuel at every step. Upon completion of
 227 the proof, it will be the case that the program refines a trace of the chosen model (see §2.3), which
 228 in turn implies that the program execution terminates. In fact, our Rocq formalization proves that
 229 the upper bound on the execution time is linear wrt. N .

230 **Termination with Runtime-Determined Bound.** Consider now the exam-
 231 ple in Figure 3, where *nondet* is a function which returns an arbitrary
 232 natural number, and *decr* is as in Figure 2. In this example, the execu-
 233 tion time depends on the return value of *nondet* (its implementation
 234 forks a thread internally and requires increasing *eb* – it is irrelevant
 235 for this example and will be discussed later). With this example, we will explain the notion of
 236

```
let k = nondet () in
let l = ref k in
  decr l
```

Fig. 3. Dynamic Bound

degrees in NOM to account for dynamic termination bounds. The idea is to use the partial order on degrees to “stratify” the fuel. Let $\text{Degree} \triangleq \{d_0, d_1, d_2\}$ such that $d_0 \prec_D d_1 \prec_D d_2$, and let $\text{SB} \triangleq 1$; moreover, let $\tau \triangleq 0$ denote the only thread present in the program.

Suppose that the NOM state corresponding to the program state after `nondet` evaluates to some k is $\{\text{fuel} := 1 \cdot ([\tau], d_2); \text{eb} := k'\}$ for some $k' > k$. At this point, knowing what the exact number k that we pass to `decr` is, we will exchange the one barrel of $([\tau], d_2)$ fuel that we have with $k + 1$ barrels of $([\tau], d_1)$ using the `NOMS-EXCHANGES-FUEL` transition: k for passing to `decr` and 1 for the reference creation step. For this purpose, we take advantage of the fact that we can take a number of SB many *small transitions* $\xrightarrow{\text{NOM}}$. After that, inside `decr`, on each of the k iterations we similarly exchange a barrel of $([\tau], d_1)$ for K_{decr} barrels of $([\tau], d_0)$ and burn them during that iteration. (Note that the earlier proof explained above for `decr` was a simplified version of the proof that does not take advantage of exchanging fuel as we explained here.)

Delaying Other Threads and Fuel Transfer. The example in Figure 4 showcases delaying. This example features two threads, both attempting to increment the shared counter `c` using a compare-and-set (CAS) operation. Depending on the scheduler, it can happen that one thread’s CAS operation succeeds after the other thread has read `c`, but before it has attempted to update it via its CAS operation. The other thread’s CAS thus fails, leading to a recursive call (delay).

Looking at the example in Figure 4 as a whole, we can see that, in the worst case, one thread is delayed by the other—and that at most once. We can generalize this observation: if we were to run n instances of `incr` concurrently (instead of the two in Figure 4), each thread’s CAS operation fails at most $n - 1$ times. This poses a challenge for modular verification. When reasoning about `incr` in isolation (as we do in the Rocq development), we must show its termination regardless of the amount of concurrent instances of `incr`. Intuitively, what we need for reasoning about termination of `incr` is to *transfer* fuel from one thread to another. The idea is that we initially assume that we have enough fuel to run all instances of `incr`, that is to say, proportional to the maximum number CAS operations run (both successful and unsuccessful ones). Once the CAS operation inside an `incr` succeeds, we transfer *the rest* of the fuel of that instance of an `incr` to other instances for which our success in performing the CAS operation necessitates an extra recursive call [Jacobs et al. 2018]. Technically, in the program logic, this exchange is mediated by an invariant that keeps track of the value of the current counter and how many threads have read this value prior to performing their CAS operation. Among these, the first that reaches its CAS operation will have it succeed and will be required to give up the rest of its fuel (relinquish it to the invariant) to the other threads. All the other threads in the system that have read the same counter value will then, upon having their CAS operation failing, know that another thread’s CAS has succeeded, and thus know there is more fuel put aside for them by the thread whose CAS succeeded. This fuel transfer mechanism is the key for verifying delaying [Liang and Feng 2016] programs.

The scheme explained above for exchanging fuel would only work if one thread’s fuel could be used by other threads. However, in §2.2 we will explain why doing it naively would not be sound when NOM is extended to support blocking programs. Phases [Reinhard and Jacobs 2021a,b] are introduced as a generalization of thread identifiers to soundly relax the relationship between threads and their fuel. Instead of assigning fuel to threads, we assign phases to threads (with the `phs` component of NOM state) and fuel to phases. A phase is a list of 0’s and 1’s, intuitively encoding a position in the threads’ fork tree. We will consider forking in more detail in §2.2; in short, the main thread of the program initially has phase `nil`, and when a thread with phase π_τ forks, its phase

```
let c = ref 0 in
let rec incr () =
  let v = !c in
  if CAS(c, v, v+1)
  then ()
  else incr ()
in
  incr () || incr ()
```

Fig. 4. Delaying example

becomes $0 :: \pi_\tau$, and the new forked thread is assigned phase $1 :: \pi_\tau$. As per **NOM-BURNS-FUEL**, when a thread τ takes a step, we require fuel of phase π to be burned, where π precedes or equals the current phase π_τ of thread τ in the *phase order* \preceq_P .

For Figure 4, in our Rocq development we choose $\text{Degree} \triangleq \{d_0, d_1\}$ and show that a single call to `incr` can be verified separately by providing it with $2 \cdot (\pi, d_1)$. Here, π must be a phase compatible (in the phase order) with phases of all threads that call `incr`. Since we do not consider forking yet, we assume that the two threads τ_l and τ_r are initially assigned the phases [0] and [1] correspondingly. In that case, we can choose $\pi \triangleq \text{nil}$ and provide $(2 * 2) \cdot (\text{nil}, d_1)$ to verify Figure 4.

Note that neither thread in Figure 4 will block if another one is never scheduled. Indeed, our Rocq formalization shows that this program terminates under any, possibly unfair scheduler.

Tracking the Exchange Bound. To complete the explanation of NOM transitions, we here explain the remaining **NOMS-INCREASES-EB**. Note that the transition **NOMS-EXCHANGES-FUEL** bounds the amount of exchanged fuel by the current value of eb – the technical reason for requiring a bound is explained in §6. To make our approach to proving termination more flexible, we do not enforce a globally fixed bound for the entire program. Rather, we allow eb to be increased dynamically through the transition **NOMS-INCREASES-EB**. Indeed, doing so was needed to verify Figure 3 where we assumed that $\text{eb} > k$ holds after `nondet` evaluates to some k . We verify `nondet` in §2.2; right now, the most important part is that it is implemented by repeatedly increasing a counter (see `incr_loop` in Figure 6). Thus, while verifying `nondet`, we use **NOMS-INCREASES-EB** on every iteration of its increment loop, which ensures that when `nondet` produces some result k , the current value of eb is at least k . One extra **NOMS-INCREASES-EB** ensures that $\text{eb} > k$ which is needed to verify Figure 3.

2.2 Obligations Model Definition

In this section, we define the full obligations model OM by extending NOM with support for blocking concurrency. The formal definition of OM is given in Figure 5 on Page 8. Besides Degree, Thread and SB, the model parameterized by a finite partially ordered set of levels (Level, \preceq_L). The transition $\xrightarrow[\text{OM}]{\text{NOM}}$ of OM is defined similarly to $\xrightarrow{\text{NOM}}$, additionally supporting a forking transition **OM-FORKS**. In the examples below, we illustrate the new components of the OM state and new small transitions.

Busy-Waiting on Other Threads. Consider the example in Figure 6. The program consists of two threads and assumes the existence of two pre-allocated locations f , which is initially **false**, and n , which is initially 0. The right thread τ_r busy-waits for the left thread τ_l to set f to **true**. If the left thread is never scheduled, the right thread will loop forever because it is blocked on f being set. With fuel alone, proving termination of this busy-waiting pattern is impossible: we cannot pick a decreasing measure justifying termination of the right thread as a function of the current values of f and n . In fact, the number of steps this program takes depends on the schedule. Thus, we need to exploit the scheduler’s fairness to show that eventually the left thread performs the write to f , which in turn implies that the right thread terminates.

One of the purposes of our obligations model OM, and one of the reasons for its complexity, is avoiding such explicit reasoning about the scheduler. That is, OM provides an abstraction, namely obligations, for actions eventually performed by one thread and waited for by others. To use obligations for proving termination of the program in Figure 6, we instantiate OM with $\text{SB} \triangleq 2$, $\text{Level} \triangleq \{\ell_0\}$, $\text{Degree} \triangleq \{d_0\}$. In our example, with a single busy-waiting loop, and hence a single obligation level, ℓ_0 , the partial order on Level is trivial, but more complicated examples can

```
let rec incr_loop f n =
  if (!f == true) then ()
  else FAA(n, 1); incr_loop f n
in
  f := true; !n || incr_loop f n
```

Fig. 6. Blocking example

344 A state of OM is a well-formed (see ??) record of type {fuel; sigs; obls; eps; phs; eb} with fields' 345 types as follows:

$$\begin{aligned}
 346 \quad \text{fuel} : FinMultiset(\text{Barrel}) & \quad \text{Barrel} \triangleq \text{Phase} \times \text{Degree} \\
 347 \quad \text{sigs} : \text{SignalId} \xrightarrow{\text{fin}} \text{SignalState} & \quad \text{ExpectPerm} \triangleq \text{SignalId} \times \text{Phase} \times \text{Degree} \\
 348 \quad \text{obls} : \text{Thread} \xrightarrow{\text{fin}} \text{FinSet}(\text{SignalId}) & \quad \text{SignalId} \triangleq \mathbb{N} \\
 349 \quad \text{eps} : \text{FinSet}(\text{ExpectPerm}) & \quad \text{SignalState} \triangleq \text{Level} \times \mathbf{B} \\
 350 \quad \text{phs} : \text{Thread} \xrightarrow{\text{fin}} \text{Phase} & \quad \text{Phase} \triangleq \text{List } \mathbb{N} \\
 351 \quad \text{eb} : \mathbb{N} & \quad \pi_1 \preceq_P \pi_2 \triangleq \exists \pi'. \pi_2 = \pi' ++ \pi_1
 \end{aligned}$$

Small transitions of OM

$$\begin{array}{c}
 \text{OMS-EXCHANGES-FUEL} \\
 \frac{(\pi, d) \in \delta.\text{fuel} \quad d' \prec_D d \quad n \leq \delta.\text{eb}}{\delta \xrightarrow[\text{OM}]{} \delta[\text{fuel} := \delta.\text{fuel} \setminus \{1 \cdot (\pi, d)\} \uplus \{n \cdot (\pi, d')\}]}
 \end{array}
 \quad
 \begin{array}{c}
 \text{OMS-INCREASES-EB} \\
 \frac{}{\delta \xrightarrow[\text{OM}]{} \delta[\text{eb} := \delta.\text{eb} + 1]}
 \end{array}$$

$$\begin{array}{c}
 \text{OMS-CREATES-SIGNAL} \\
 \frac{\tau \in \text{dom } \delta.\text{obls} \quad s \triangleq \max(\text{dom } \delta.\text{sigs}) + 1 \quad l \in \text{Level}}{\delta \xrightarrow[\text{OM}]{} \delta[\text{sigs} := \delta.\text{sigs}[s := (l, \text{False})]] [\text{obls} := \delta.\text{obls}[\tau := \delta.\text{obls}[\tau] \cup \{s\}]]]
 \end{array}$$

$$\begin{array}{c}
 \text{OMS-SETS-SIGNAL} \\
 \frac{s \in \delta.\text{obls}[\tau] \quad \delta.\text{sigs}[s] = (l, _) \quad \tau \in \text{dom } \delta.\text{obls}}{\delta \xrightarrow[\text{OM}]{} \delta[\text{sigs} := \delta.\text{sigs}[s := (l, \text{True})]] [\text{obls} := \delta.\text{obls}[\tau := \delta.\text{obls}[\tau] \setminus \{s\}]]]
 \end{array}$$

$$\begin{array}{c}
 \text{OMS-CREATES-EP} \\
 \frac{\delta.\text{phs}[\tau] = \pi_\tau \quad \pi \preceq_P \pi_\tau \quad (\pi, d) \in \delta.\text{fuel} \quad s \in \text{dom } \delta.\text{sigs} \quad d' \prec_D d}{\delta \xrightarrow[\text{OM}]{} \delta[\text{fuel} := \delta.\text{fuel} \setminus \{1 \cdot (\pi, d)\}] [\text{eps} := \delta.\text{eps} \cup \{(s, \pi, d')\}]}
 \end{array}$$

$$\begin{array}{c}
 \text{OMS-EXPECTS-EP} \\
 \frac{\delta.\text{phs}[\tau] = \pi_\tau \quad \pi \preceq_P \pi_\tau \quad \delta.\text{sigs}[s] = (l, \text{False}) \quad (s, \pi, d) \in \delta.\text{eps} \quad \forall o \in \delta.\text{obls}[\tau]. l \prec_L \delta.\text{sigs}[o].1}{\delta \xrightarrow[\text{OM}]{} \delta[\text{fuel} := \delta.\text{fuel} \uplus \{1 \cdot (\pi_\tau, d)\}]}
 \end{array}$$

Fuel burning, fork and the full transition of OM

$$\begin{array}{c}
 \text{OM-FORKS} \\
 \frac{\delta.\text{phs}[\tau] = \pi_\tau \quad \tau' \notin \text{dom } \delta.\text{phs} \quad \delta.\text{obls}[\tau] = U \cup V \quad U \neq V}{P' \triangleq \delta.\text{phs}[\tau := 0 :: \pi_\tau][\tau' := 1 :: \pi_\tau] \quad O' \triangleq \delta.\text{obls}[\tau := U][\tau' := V]}
 \end{array}$$

$$\begin{array}{c}
 \text{OM-BURNS-FUEL} \\
 \frac{\delta.\text{phs}[\tau] = \pi_\tau \quad \pi \preceq_P \pi_\tau \quad (\pi, d) \in \delta.\text{fuel}}{\delta \xrightarrow[\text{OM}]{\tau} \delta[\text{fuel} := \delta.\text{fuel} \setminus \{1 \cdot (\pi, d)\}]}
 \end{array}
 \quad
 \begin{array}{c}
 \frac{}{\delta \xrightarrow[\text{OM}]{\tau, \tau'} \delta[\text{obls} := O'][\text{phs} := P']}
 \end{array}$$

$$\frac{\delta_1 \xrightarrow[\text{OM}]{\tau} \delta_2 \triangleq \exists n, \delta', \delta''. n \leq \text{SB} \wedge \delta_1 \xrightarrow[\text{OM}]{}^n \delta' \wedge \delta' \xrightarrow[\text{OM}]{\tau} \delta'' \wedge (\delta'' = \delta_2 \vee \exists \tau'. \delta'' \xrightarrow[\text{OM}]{\tau, \tau'} \delta_2)}{\delta \xrightarrow[\text{OM}]{\tau, \tau'} \delta[\text{obls} := O'][\text{phs} := P']}$$

Fig. 5. Obligations model (parameterized by Level, Degree, Thread and SB)

be supported by choosing an appropriate well-founded \preceq_L on obligation levels. To simplify the explanation, we will assume that some components of the initial state of the model are initialized to specific values. Later on, we will explain how their values can be set dynamically instead. Specifically, we assume that the initial state of the Obligations model is

$$\left\{ \begin{array}{l} \text{fuel} := 2 \cdot ([0], d_0) \uplus 1 \cdot ([1], d_0); \text{sigs} := \{s_f \mapsto (\ell_0, \text{False})\}; \text{eb} := 0; \\ \text{obls} := \{\tau_l \mapsto \{s_f\}; \tau_r \mapsto \emptyset\}; \text{eps} := \{(s_f, [1], d_0)\}; \text{phs} := \{\tau_l \mapsto [0]; \tau_r \mapsto [1]\} \end{array} \right\} \quad (\text{blocking-initial})$$

where $s_f \triangleq 0 : \text{SignalId}$ is a *signal* and the obligation of thread τ_l being tied to that signal.

An unset signal establishes the existence of a thread with an obligation to set that signal. The *sigs* field of a state in OM associates every signal with its current *signal state*. An obligation can only be fulfilled by performing an *OMS-SETS-SIGNAL* small transition. Taking this transition removes the thread obligation and updates the signal's state. Thus, by tying a physical state change to the setting of a signal (with an invariant), we express that the corresponding action must eventually happen. Correspondingly, a trace of OM terminates if all threads fulfill their obligations.

In our example, s_f has level ℓ_0 (the only choice for this example), and initially the action it represents has not been performed yet (indicated by False in the signal state). Thread τ_l has the obligation to set s_f and, enforced by an invariant, must therefore set f eventually. If it did not, thread τ_l would need to diverge or terminate with obligations left—both ruled out by refining OM.

Intuitively, burning fuel serves as the termination measure, *i.e.* all steps of OM burn some fuel. Now, whereas the termination of the left thread in Figure 6 is obvious, the termination of the right thread, when considered in isolation, is not. Indeed, it relies on the fact that the left thread will eventually set the flag location f . This aspect of the behavior of the right thread is captured abstractly at the level of OM with the signal s_f playing the role of the location f . Still, the number of steps the right thread takes depends on the scheduler: it must busy-wait until f is set. Therefore, there is no finite bound on the number of steps (and fuel) the right thread takes, even when we only consider fair schedulers. Thus, following Reinhard and Jacobs [2021a,b], OM allows fuel to be replenished as long as we wait for (are blocked on) a signal to be set. In OM, replenishing the fuel is supported by the small transition *OMS-EXPECTS-EP* (*expecting* the signal to be set eventually).

In the example in Figure 6, we take two *OMS-EXPECTS-EP* transitions every time the right thread, τ_r , reads **false** from f . This generates $2 \cdot ([1], d_0)$ barrels of fuel, which in turn are spent for model transitions corresponding to the FAA and the read of the location f in the next recursive call. To enable these *OMS-EXPECTS-EP* transitions, the initial state (*blocking-initial*) includes the initial *expectation permission* $(s_f, [1], d_0)$. Restricting when it is possible to generate fuel is necessary so that any fair OM trace is finite (cf. Reinhard and Jacobs [2021a,b]). The side-condition on levels, *i.e.*, $\forall o \in \text{obls}[\tau]. l \prec_L \delta.\text{sigs}[o].1$, in *OMS-EXPECTS-EP* rules out potential circular waiting among obligations which is crucial for guaranteeing termination — note that in Lemma 2.3 we require \preceq_L to be well-founded. In case of Figure 6, this side-condition holds trivially since τ_r has no obligations.

Forking Threads. We now consider the implementation of *nondet* in Figure 7. Here, the main thread starts the increment loop from above in a new thread using fork and then continues execution. In OM, the step of the main thread τ that forks the child thread τ' is matched by a forking transition, $\xrightarrow[\text{OM}]{}^{\tau, \tau'}$. As can be seen in $\xrightarrow[\text{OM}]{}^{\tau}$, this kind of transition can be taken after burning fuel. Forking transitions update the current phase of τ and assign the forked thread τ' a sibling phase; two phases are called sibling

```
let nondet () =
  let f = ref false in
  let cnt = ref 0 in
  (* incr_loop as in Figure 6 *)
  fork (incr_loop f cnt);
  f := true; !cnt
```

Fig. 7. Fork example

442 phases if they are of the form $0 :: \pi$ and $1 :: \pi$. Moreover, we allow transferring some of the forking
 443 thread's obligations to the forkee.

444 In the examples we have considered above, we used a static number of threads, which simplified
 445 the description of the model states and transitions, because the initial state we considered for OM
 446 could simply refer to thread identifiers. This simpler treatment also allowed us to assume that the
 447 necessary signals and obligations exist in the initial state of OM we considered. To support dynamic
 448 creation of threads, we also need to support dynamic creation of signals, which we support through
 449 the transition `OMS-CREATES-SIGNAL`, and dynamic creation of expectation permissions, which we
 450 support through the transition `OMS-CREATES-EP`. The transition `OMS-CREATES-SIGNAL` creates a fresh
 451 unset signal at the given level and assigns it as an obligation to the given thread. The transition
 452 `OMS-CREATES-EP` consumes a barrel of some degree d to create an expectation permission for an
 453 existing signal, with some lower degree d' , which in turn allows generating fuel at degree d' using
 454 the transition `OMS-EXPECTS-EP`. After the forking and creation of the signal and expect permission,
 455 verification of Figure 7 follows closely that of Figure 6.

456 Note that without the phases (*i.e.* allowing unrestricted fuel transfer) we could (unsoundly) verify
 457 a variation of Figure 7 where the main thread also runs `incr_loop` instead of setting the flag. For
 458 that, the forked thread would produce fuel by waiting for the flag to be set and then transfer it to
 459 the left thread, justifying its looping. To prevent that, `OMS-EXPECTS-EP` generates fuel of the thread's
 460 *current* phase, which is known to be incompatible with other threads' phases. With that, generated
 461 fuel can only be burned by the thread itself and the threads it will fork in the future.

463 2.3 Refinement Relation

464 In this section, we define the operational semantics of $\lambda_{\text{ref}}^{\text{conc}}$, the concurrent higher-order imperative
 465 programming language we work with in this paper. Afterwards, we formally define the refinement
 466 relation between program execution traces and OM traces, and we show how such a refinement
 467 allows proving fair termination of programs. In the following section, we present the program logic
 468 of Lawyer, which we use to establish such refinements.

469 In the rest of the section, we use the following notations. Given a trace tr of some LTS, $tr[i]$ and
 470 $tr\langle i \rangle$ denote its i th state and label, respectively. In general, the traces we work with may be finite
 471 or infinite, but always non-empty. If tr is finite, $tr.\text{last}$ denotes its last state. Then, $tr : \langle \ell \rangle : s$ denotes
 472 the trace tr extended with the transition from $tr.\text{last}$ to the state s with the label ℓ – this notation,
 473 of course, only makes sense, and is used when tr is a finite trace. We write $\text{dom}(tr)$ for the set of
 474 indices i for which $tr[i]$ is defined, *i.e.*, integers between 0 and the length of tr . Finally, we say that
 475 a trace of LTS \mathcal{M} is *valid* if it denotes a sequence of \mathcal{M} transitions:

$$477 \quad \text{valid}_{\mathcal{M}}(tr) \triangleq \forall i. i + 1 \in \text{dom } tr \implies tr[i] \xrightarrow[\mathcal{M}]{} tr[i + 1]$$

480 *Operational Semantics of $\lambda_{\text{ref}}^{\text{conc}}$.* The language $\lambda_{\text{ref}}^{\text{conc}}$ is an ML-like programming language with
 481 higher-order references and concurrency. An excerpt of its operational semantics is shown in
 482 Figure 8; see our Rocq development for further details. The operational semantics is defined as
 483 a binary relation over configurations, which are pairs of a thread pool and a heap. The relation
 484 non-deterministically chooses a thread and “evaluates” the program in that thread by decomposing
 485 it into an evaluation context E and an expression e which is then evaluated as per the top-level
 486 per-thread reduction relation. Note how the evaluation contexts determine a right-to-left call by
 487 value reduction strategy. We also remark that the operational semantics, *i.e.*, the configuration
 488 reduction relation, forms an LTS as reductions are labeled by the thread being reduced.

Syntax

491 492 $x, y, f \in \text{Var}$	$l \in \text{Loc}$	$n \in \mathbb{Z}$	
	$\odot ::= + - * = < \dots$		
493 Val	$v ::= () \text{true} \text{false} n l (v, v) \text{rec } f (x) := e \dots$		
494 Expr	$e ::= x v e \odot e \text{ref } (e) \text{if } e \text{ then } e \text{ else } e \text{fork } (e) !e e e \dots$		
495 ECtx	$E ::= \bullet e \odot E E \odot v \text{if } E \text{ then } e \text{ else } e (e, E) (E, v) e E E v \dots$		
496 Heap	$h \in \text{Loc} \xrightarrow{\text{fin}} \text{Val}$		
497 ThreadPool	$\mathcal{E} \in \text{Thread} \xrightarrow{\text{fin}} \text{Expr}$		
498 Config	$c ::= (\mathcal{E}, h)$		

500 **Configuration reduction (with $\rightsquigarrow \subseteq (\text{Expr} \times \text{Heap})^2$ omitted; see [Anonymous 2025b])**

$$\frac{(e, h) \rightsquigarrow (e', h') \quad \tau' \notin \text{dom}(\mathcal{E}) \cup \{\tau\} \quad \mathcal{E}' \triangleq \mathcal{E}[\tau \mapsto E(())][\tau' \mapsto e]}{(\mathcal{E}[\tau \mapsto E[e]], h) \xrightarrow{\tau} (\mathcal{E}[\tau \mapsto E[e']], h')} \quad \frac{}{(\mathcal{E}[\tau \mapsto E[\text{fork } (e)]], h) \xrightarrow{\tau} (\mathcal{E}', h)}$$

505 Fig. 8. An excerpt of operational semantics of the language $\lambda_{\text{ref}}^{\text{conc}}$

506 **Refinement.** To define the notion of refinement between execution traces of $\lambda_{\text{ref}}^{\text{conc}}$ and traces of OM, we first define a relation \simeq between the configurations of $\lambda_{\text{ref}}^{\text{conc}}$ and states of OM. This relation requires that the threads represented in the OM state are exactly those in the configuration's thread pool. Moreover, it requires that the threads carrying obligations have not terminated yet. Formally, this relation is defined as follows:

513 **DEFINITION 1 (THE RELATION BETWEEN CONFIGURATIONS AND MODEL STATES).** *The relation between a configuration c and an OM state δ , written $c \simeq \delta$, is defined as follows:*

$$514 \quad c \simeq \delta \triangleq \text{sameThreads}(c, \delta) \wedge \text{liveThreads}(c, \delta)$$

517 where

$$518 \quad \begin{aligned} \text{sameThreads}(c, \delta) &\triangleq \text{dom } c.1 = \text{dom } \delta.\text{obls} = \text{dom } \delta.\text{phs} & \text{threadActive}(\tau, c) &\triangleq c.1[\tau] \notin \text{Val} \\ 519 \quad \text{liveThreads}(c, \delta) &\triangleq \forall \tau. \text{hasObls}(\tau, \delta) \implies \text{threadActive}(\tau, c) & \text{hasObls}(\tau, \delta) &\triangleq \delta.\text{obls}[\tau] \neq \emptyset \end{aligned}$$

521 Now, we define the refinement relation between program execution traces and OM traces based on the relation between program configurations and states of OM in **Definition 1**:

524 **DEFINITION 2 (THE REFINEMENT RELATION ON FINITE TRACES).** *Let etr be a finite execution trace of $\lambda_{\text{ref}}^{\text{conc}}$ and let mtr be a finite OM trace. The refinement relation between etr and mtr , written $\xi_{\text{OM}}^{\lambda}(\text{etr}, \text{mtr})$, is defined as follows:*

$$527 \quad \xi_{\text{OM}}^{\lambda}(\text{etr}, \text{mtr}) \triangleq \text{valid}_{\lambda_{\text{ref}}^{\text{conc}}}(\text{etr}) \wedge \text{valid}_{\text{OM}}(\text{mtr}) \wedge \text{dom etr} = \text{dom mtr} \wedge \\ 528 \quad (\forall i \in \text{dom etr}. \text{etr}[i] \simeq \text{mtr}[i]) \wedge (\forall i. i + 1 \in \text{dom etr} \implies \text{etr}\langle i \rangle = \text{mtr}\langle i \rangle)$$

530 In order to instantiate Trillium with the refinement relation $\xi_{\text{OM}}^{\lambda}$, we need to show it is relatively 531 image-finite [Timany et al. 2024].

532 **LEMMA 2.1.** $\xi_{\text{OM}}^{\lambda}$ is relative image-finite: $\forall \text{etr}, \tau_1, c, \text{mtr}. \text{finite}\{(\tau_2, \delta) \mid \xi_{\text{OM}}^{\lambda}(\text{etr} : \langle \tau_1 \rangle : c, \text{mtr} : \langle \tau_2 \rangle : \delta)\}$

534 **The Adequacy Theorems of Trillium and Lawyer.** By adequacy theorem, here we mean the theorem 535 that states and establishes the soundness of the program logic. Here we explain these adequacy 536 theorems informally, relegating the precise statements to our Rocq development. Intuitively, the 537 **adequacy theorem of Trillium** states that proving a Hoare triple in the program logic of Trillium 538 establishes a refinement between the program and the LTS model that Trillium is instantiated with.

This refinement relation states that for any possibly infinite execution of the program, there is a corresponding possibly infinite trace of the LTS model related to it by the refinement relation in [Definition 3](#). The program logic of Lawyer is built on top of the instantiation of Trillium with the OM model (*it is not merely an instantiation of Trillium*). The **adequacy theorem of Lawyer** (formally stated in the supplementary material [[Anonymous 2025b](#)]) states that proving a Hoare triple in the program logic of Lawyer establishes that all fairly scheduled executions of the program terminate. This theorem follows from the adequacy theorem of Trillium as we will explain below.

DEFINITION 3 (REFINEMENT OF POSSIBLY INFINITE TRACES). Let etr be a (possibly infinite) execution trace and let mtr be a (possibly infinite) obligations model trace of the same length.¹ Then, the refinement relation on possibly infinite traces, written $etr \hat{\preceq}_{\xi_{OM}^{\lambda}} mtr$, holds if all corresponding finite prefixes of etr and mtr are related by the refinement relation ξ_{OM}^{λ} .

In the rest of this section, we will show that whenever a trace etr of a program refines a trace mtr of OM, and furthermore etr is fairly scheduled, then etr is finite, i.e., it terminates. To do this, we first define what fairness means for an arbitrary LTS ([Definition 4](#)) and show that whenever etr refines mtr and etr is fair, so is mtr ([Lemma 2.2](#)). Then, we show that any fair trace mtr of OM is necessarily finite ([Lemma 2.3](#)). Since etr refines mtr , by [Definition 3](#), etr and mtr must have the same length, thus, etr must also be finite.

DEFINITION 4 (FAIRNESS). Given an LTS M and an enabledness predicate $E : \text{Label}(M) \rightarrow \text{State}(M) \rightarrow \text{Prop}$, a trace tr of M is fair with respect to E , written $\text{FairTrace}_E(tr)$, iff:

$$\text{FairTrace}_E(tr) \triangleq \forall \ell, i. E(\ell, tr[i]) \implies \exists j \geq i. (\neg E(\ell, tr[j]) \vee tr(j) = \ell)$$

Based on this we define fairness for program and OM traces as follows (see [Definition 1](#) for definitions of `threadActive` and `hasObls`):

$$\text{fairExec}(etr) \triangleq \text{FairTrace}_{\text{threadActive}}(etr) \quad \text{oblsFair}(mtr) \triangleq \text{FairTrace}_{\text{hasObls}}(mtr)$$

The definition of FairTrace_E above is equivalent to weak fairness for infinite traces, i.e., if a transition by a given label is continuously enabled, it is eventually taken. For finite traces, on the other hand, it implies that in the final state of the trace all labels are disabled. In particular, $\text{fairExec}(etr)$ for a finite trace etr implies that all threads have terminated.

LEMMA 2.2. $\forall etr, mtr. etr \hat{\preceq}_{\xi_{OM}^{\lambda}} mtr \wedge \text{fairExec}(etr) \implies \text{oblsFair}(mtr)$.

LEMMA 2.3. If both \prec_L and \prec_D are well-founded, then $\forall mtr. \text{oblsFair}(mtr) \implies \text{finite}(mtr)$

3 Program logic

As explained in [§2](#), our methodology to prove fair termination is to find a refinement between fair program execution traces and traces of OM which are guaranteed to terminate by [Lemma 2.3](#). To this end, we have developed the Lawyer program logic (Hoare logic) on top of Trillium's [[Timany et al. 2024](#)] program logic. The program logic of Trillium can be instantiated with an arbitrary LTS model, which we here fix to be OM. Fixing the LTS to be OM allows us to reflect the state and transitions of OM into the program logic, which we can then use to derive the rules of the Lawyer program logic. By doing so, the Lawyer program logic provides reasoning principles to support modular proofs that programs refine the OM model.

In Lawyer, Hoare triples are written as $\{P\} e \{\Phi\}_{\mathcal{E}}^{\tau}$. Intuitively, $\{P\} e \{\Phi\}_{\mathcal{E}}^{\tau}$ means that, given that the precondition P holds, the program e is safe to run (i.e. without getting stuck) under thread τ , every step of e is matched by a transition in OM (hence establishing the refinement), and

¹That is, either they are both finite and have the same length, or they are both infinite.

	Ownership of the resource ...	implies ... for δ , the current state of OM	persistent
589	bar (π : Phase) (d : Degree)	$\exists \pi_0. \{1 \cdot (\pi_0, d)\} \subseteq \delta.\text{fuel} \wedge \pi_0 \preceq_P \pi$	no
590	sgn (s : SignalId) (ℓ : Level) (b : B)	$\delta.\text{sig}[s] = (\ell, b)$	no
591	sgn ₃ (s : SignalId) (ℓ : Level)	$\exists b. \delta.\text{sig}[s] = (\ell, b)$	yes
592	obl _s (τ : Thread) (R : FinSet(SignalId))	$\delta.\text{obl}[s] = R$	no
593	ep (s : SignalId) (π : Phase) (d : Degree)	$\exists \pi_0. (s, \pi_0, d) \in \delta.\text{eps} \wedge \pi_0 \preceq_P \pi$	yes
594	ph _{(q:Q) ∈ (0..1)} (τ : Thread) (π : Phase)	$\delta.\text{ph}[s] = \pi$	no
595	elb (n : N)	$n \leq \delta.\text{eb}$	yes
596			
597			

Abbreviations:

$$599 \quad \text{ph } \tau \pi \triangleq \text{ph}_1 \tau \pi \quad L \lhd \text{sgn } R \triangleq \bigstar_{s \in R} \exists \ell_s. (\text{sgn}_3 s \ell_s \wedge \bigwedge_{\ell \in L} \ell \lhd \ell_s) \quad \text{for } \lhd \in \{\prec_L, \preceq_L\}$$

602 Fig. 9. Resources of the Lawyer logic

603
604
605 that whenever e reduces to a value v , the postcondition $\Phi(v)$ holds. Furthermore, in the proof of
606 $\{P\} e \{\Phi\}_{\mathcal{E}}^{\tau}$ only invariants whose names appear in the mask \mathcal{E} can be accessed. Whenever all
607 invariants are accessible, i.e., the mask \mathcal{E} is \top , the set of invariant names, we omit it.

608 In the rest of this section, we will explain the Lawyer program logic by working through the
609 verification of the `nondet` example in Figure 7 (Page 9). As we do so, we will also introduce concepts
610 of the higher-order concurrent separation logic Iris that are used to formalize Trillium and Lawyer.
611 To state and prove the specification of `nondet`, we assume that OM is instantiated so that:

- 612 • There are at least two fuel degrees $d_0, d_1 : \text{Degree}$ such that $d_0 \prec_D d_1$.
- 613 • There is at least one obligation level $\ell_0 : \text{Level}$.
- 614 • Every OM transition allows at least 5 small transitions, i.e. $5 \leq \text{SB}$.

615
616 *How modular are Lawyer specifications?* Note that the restrictions above do not require to choose
617 either the exact Degree and Level orders or value of SB upfront. This allows to compose the modules'
618 specifications: the restrictions placed by each of them are combined appropriately to obtain a
619 specification for the composition. For example, §4.4 shows how the OM parameters' restrictions
620 placed by an abstract lock module are used to determine the restrictions for a lock client program.

621 With that, we prove the following specification for an arbitrary thread τ and phase π :

$$622 \quad \{ \text{obl}\tau \emptyset * \text{ph } \tau \pi * \text{bar } \pi d_1 * 13 \cdot \text{bar } \pi d_0 \} \text{nondet } () \{ n \in \mathbb{N}. \text{elb } n * \exists \pi' \succeq_P \pi. \text{obl}\tau \emptyset * \text{ph } \pi' \}^{\tau}$$

623 (nondet-spec)

624
625 Intuitively, the precondition states (see Figure 9) that `nondet` can be called when the state δ of OM
626 satisfies the following properties: thread τ holds no obligations and has phase π assigned to it, and
627 that phase π has at least 1 barrel of d_1 and 13 barrels of d_0 . Here, $*$ is the separating conjunction of
628 separation logic, like ordinary conjunction $P * Q$ holds when both P and Q hold, but furthermore
629 it requires the resources to be disjoint. To see this, note that $13 \cdot \text{bar } \pi d_0$ in the spec above is a
630 shorthand for $\text{bar } \pi d_0 * \dots * \text{bar } \pi d_0$, 13 times, which means we have 13 individual barrels, because
631 they are disjoint. Now, if the precondition just described holds, we know that `nondet` is safe to
632 run, it refines OM, and that when it terminates, it returns $n \in \mathbb{N}$ and ends in some state δ' of OM such
633 that the postcondition holds. The postcondition intuitively states that in the state δ' the exchange
634 bound is at least n , written as the proposition `elb n`, and that the thread τ still has no obligations,
635 but its phase is now increased to π' . Having `elb n` in the postcondition lets us use the return value
636 n of `nondet` for fuel exchanges, as we did while verifying Figure 3.

	OU-EXCHANGES-FUEL		OU-CREATES-SIGNAL
638		bar πd elb b $d' \prec_D d$	obls τR
639	OU-EB-0	$\frac{\text{bar } \pi d \quad \text{elb } b \quad d' \prec_D d}{\text{OU } \{b \cdot \text{bar } \pi d'\}}$	
640	OU {elb 0}		$\frac{}{\text{OU } \{\exists s \notin R. \text{sgn } s \ell \text{ False} * \text{obls } \tau R \cup \{s\}\}}$
641			
642	OU-SETS-SIGNAL		OU-CREATES-EP
643	obls τR $s \in R$ $\text{sgn } s \ell (b : \mathbf{B})$	bar πd $\text{sgn}_\exists s \ell$ $\text{ph}_q \tau \pi$ $d' \prec_D d$	$\frac{}{\text{OU } \{\text{sgn } s \ell \text{ True} * \text{obls } \tau R \setminus \{s\}\}}$
644			$\frac{}{\text{OU } \{\text{ep } s \pi d' * \text{ph}_q \tau \pi\}}$
645			
646	OU-INCREASES-EB	OU-EXPECTS-EP	
647	elb b	ep $s \pi d$ $\text{sgn } s \ell \text{ False}$	obls τR $\{\ell\} \prec_L \text{sgn } R$ $\text{ph}_q \tau \pi$
648	$\frac{}{\text{OU } \{\text{elb } (b + 1)\}}$		$\frac{}{\text{OU } \{\text{bar } \pi d * \text{sgn } s \ell \text{ False} * \text{obls } \tau R * \text{ph}_q \tau \pi\}}$
649			

Fig. 10. Rules for the obligation update modality OU

Intuitively, `nondet` terminates because the main thread sets the flag telling the forked thread to stop incrementing the counter. As explained in §2, the OM model represents this idea using signals which indicate that some other thread has the obligation to set the flag. Thus, to establish the spec (`nondet-spec`) above, we need to have a signal corresponding to the boolean value referenced by the flag. This is formally captured by the following logical *invariant*:

$$659 \quad \text{nondetInv}(cnt, flag, sf) \triangleq \boxed{\exists c : \mathbb{N}, f : \mathbf{B}. flag \mapsto f * cnt \mapsto c * \text{sgn } sf l_0 f * \text{elb } c}^{\mathcal{N}_{\text{ND}}} \quad (\text{nondet-inv})$$

which, in addition to requiring the signal, also requires that the fuel exchange bound must be at least as large as the value stored in the counter. Here, $l \mapsto v$ is the separation logic points-to proposition asserting exclusive ownership of the memory location l , and at the same time asserting its value to be v . Moreover, the proposition $\boxed{P}^{\mathcal{N}}$ asserts that P must always hold. The name of the invariant \mathcal{N} is used for accounting purposes, ensuring that invariants are not accessed multiple times in a nested fashion, which is unsound in general. For example, in the case of the invariant above, accessing it twice in a nested fashion would give us two points-to propositions for the same location $flag$. Invariants are forced to always hold once they are established by only allowing them to be accessed for the duration of atomic operations:²

670 INV-OPEN-SIMPL

$$671 \quad \{R * P\} e \{Q * P\}_{\mathcal{E} \setminus \mathcal{N}}^\tau \wedge e \text{ is atomic} \wedge \mathcal{N} \subseteq \mathcal{E} \vdash \left\{ R * \boxed{P}^{\mathcal{N}} \right\} e \{Q\}_{\mathcal{E}}^\tau$$

We also remark that invariants are *persistent*. Formally, a proposition is persistent if $\square P \dashv\vdash P$, where \square is the so-called persistently modality and $\dashv\vdash$ is logical equivalence. Intuitively, $\square P$ holds if P holds, and furthermore, P only expresses knowledge without asserting any exclusive ownership. Thus, $\square P \vdash P$, $\square P \vdash \square \square P$, and crucially, persistent propositions are duplicable, i.e., $\square P \dashv\vdash \square P * \square P$. Invariants being duplicable implies that they can be freely duplicated and shared with other threads.

678 3.1 Establishing the Invariant

679 After the first two lines of the `nondet` example (Figure 7), we obtain $flag \mapsto \text{false} * cnt \mapsto 0$.
 680 Thus, to establish the invariant (`nondet-inv`) we also need to obtain $\text{sgn } sf l_0 \text{ False} * \text{elb } 0$. To this
 681 end, we need to take transitions in OM, in particular, we need to take `OMS-CREATES-SIGNAL` to obtain a
 682 signal (and a corresponding obligation). To this end, the Lawyer program logic features bespoke

684 ²For simplicity, we omit the later modality in this paper; see Jung et al. [2018] for details. For those familiar with Iris, we
 685 remark that all invariants we formally state in the paper are timeless anyway.

modalities and rules for reflecting (taking) transitions in OM. Following Iris and Trillium, while we give specifications and their proofs using Hoare triples, these are not primitive concepts of the logic – they are defined in terms of weakest preconditions: $\{P\} e \{v.Q\}_{\mathcal{E}}^{\tau} \triangleq \square(P \rightarrow \text{wp}_{\mathcal{E}}^{\tau} e \{v.Q\})$ – the “persistently” modality \square ensures that the precondition captures *all* that is required for running the program, thus ensuring that Hoare triples are “self-contained” and hence duplicable.

Following Hinrichsen et al. [2024], we decompose weakest preconditions into two parts: a *single-step* weakest precondition [Liu et al. 2023] followed by a *model update* modality:

$$\begin{array}{c} \text{TRILLIUM-STEP-NVAL-SIMPL} \\ \text{sswp } e \{e'. \text{MU}^{\tau}\{\text{wp}^{\tau} e' \{\Phi\}\}\} \wedge e \notin \text{Val} \vdash \text{wp}^{\tau} e \{\Phi\} \end{array}$$

Single-step weakest preconditions are like weakest preconditions except they demand their post-condition to hold after one step of the program – note how the binder in the postcondition binds an expression e' and not a value like ordinary weakest preconditions do. The model update modality intuitively embeds model steps $\xrightarrow{\tau}$. That is, $\text{MU}^{\tau}\{P\}$ holds if P holds after a single step of $\xrightarrow{\tau}$ is taken. Crucially, the model update modality never invalidates already established facts, meaning that it satisfies “framing”: $P * \text{MU}^{\tau}\{Q\} \vdash \text{MU}^{\tau}\{P * Q\}$. Just as OM transitions are broken into multiple *small transitions*, the model update modality allows taking multiple small transitions through a bespoke *obligations update* modality OU:

$$\begin{array}{c} \text{MU-OM-SIMPL} \\ \text{OU}^i \{P * \text{ph } \tau \pi * \text{bar } \pi d\} \wedge i \leq \text{SB} \vdash \text{MU}^{\tau}\{P * \text{ph } \tau \pi\} \end{array}$$

where $\text{OU}^i \{P\}$ is i times repeated obligation update modalities: $\text{OU}^0 \{P\} \triangleq R$ and $\text{OU}^{n+1} \{P\} \triangleq \text{OU} \{\text{OU}^n \{P\}\}$. Note how this rule requires a barrel of fuel (at any degree d) – this corresponds to the fact that any OM transition burns fuel. (This rule is not a primitive rule of the logic, as we will discuss in §4.1.) The rules for deriving obligation updates are given in Figure 10. Using these rules, we can derive the following

$$\text{obls } \tau \emptyset * \text{ph } \tau \pi * \text{bar } \pi d_1 \vdash \text{OU} \{\text{OU} \{\exists s_f. \text{obls } \tau \{s_f\} * \text{ph } \tau \pi * \text{sgn } s_f \ell_0 \text{ False} * \text{ep } s_f \pi d_0\}\} \quad (\text{nondet-ou-upd})$$

where $\text{ep } s \pi d$ asserts existence of an expectation permission for signal s , allowing to generate fuel at degree d and phase of at leastat π . This is passed along with the invariant to the forkee running `incr_loop` so that it, together with $\text{sgn } s_f \ell_0 \text{ False}$ in the invariant, can be used to generate fuel while waiting for the signal. (Note that $\text{sgn}_{\exists} s \ell$ is the persistent knowledge that the signal exists for which we have $\text{sgn } s_f \ell b \vdash \text{sgn}_{\exists} s_f \ell$.) We use the obligation update (`nondet-ou-upd`) above after allocating the flag, and then use the rule `OU-EB-0` to obtain elb 0 after allocating the counter.

This establishes all the resources necessary for the invariant `(nondet-inv)` and leaves us with $\text{obls } \tau \{s_f\} * \text{ep } s_f \pi d_0$. At this point, we use the rule `MU-INV-ALLOC-SIMPL` to create the invariant `(nondet-inv)`.

$$\begin{array}{c} \text{MU-INV-ALLOC-SIMPL} \\ \text{MU}^{\tau}\{P * Q\} \vdash \text{MU}^{\tau}\{P * \boxed{Q}\} \end{array}$$

3.2 Verifying `nondet`’s Main Thread

The proof of the `nondet` specification (`nondet-spec`) is sketched in Figure 11. The annotations in curly braces are propositions that hold between steps; persistent propositions are marked with \square and are not explicitly included in subsequent annotations. Pure reductions, such as the one on line 2, are normally verified simply by burning a barrel of fuel. In other words, we prove the triple on lines 1-3 by framing all resources, except for the phase and one barrel of d_0 which we use together with `MU-OM-SIMPL` with $i \triangleq 0$. Establishing resources after flag allocation (line 6) and counter allocation (line 12), as well as the creation of the invariant (`nondet-inv`), have been discussed above.

```

736   1 {obls τ Ø * ph τ π * 1·bar π d1 * 13·bar π d0}           15 {obls τ {sf} * ph τ π * 8·bar π d0}
737   2 let nondet () =                                              16 fork
738   3   {obls τ Ø * ph τ π * 1·bar π d1 * 12·bar π d0}           17 (* for some fresh τ' and π1, π2 ⪻P π: *)
739   4   let flag =                                              18 {obls τ {sf} * ph τ π1 * 2·bar π1 d0*}
740   5     {obls τ Ø * ph τ π * bar π d1 * bar π d0}           19 {obls τ' Ø * ph τ' π2 * 5·bar π2 d0}
741   6     ref false                                              20 ( {obls τ' Ø * ph τ' π2 * 5·bar π2 d0}
742   7       {flag.flag ↦ false * ∃sf. obls τ {sf} * ph τ π*}      21 incr_loop flag cnt
743   8       {sgn sf l0 False * □ ep sf π d0}                22 {obls τ' Ø * ph τ' π2} );
744   9     in                                              23 {obls τ {sf} * ph τ π1 * 2·bar π1 d0}
745   10    {obls τ {sf} * ph τ π * 10·bar π d0*}               24 flag := true;
746   11    {flag ↦ false * sgn sf l0 False}                         25 {obls τ Ø * ph τ π1 * 1·bar π1 d0}
747   12    let cnt =                                              26 !cnt
748   13      {ph τ π * bar π d0 * flag ↦ false * sgn sf l0 False} 27 {obls τ Ø * ph τ π1 * elb n (* for some n*)}
749   14      in                                              28 {n ∈ N. elb n * ∃π' ⪻P π. obls τ Ø * ph τ π}
750
751
752
753
754 To verify the forking on line 16, we use the following rule that corresponds to a forking transition
755 in OM without either preceding small transitions or delegating obligations to the forked thread:
756

```

TRILLIUM-STEP-FORK-SIMPL

$$\forall \tau'. (\exists \pi_1, \pi_2. \text{ph } \tau \pi_1 * \text{ph } \tau \pi_2 * \text{obls } \tau' \emptyset * \pi \prec_P \pi_1 \wedge \pi \prec_P \pi_2) \rightarrow (\text{wp}^{\tau'} e \{ \text{obls } \tau' \emptyset \} * P)$$

$$\text{ph } \tau \pi * \text{bar } \pi (d : \text{Degree}) \vdash \text{wp}^{\tau} \text{ fork } (e) \{ P \}$$

This is a special derived rule for the `nondet` example; the general version is shown in [Anonymous 2025b]. We split the available resources to verify the two threads separately. The verification of the forked thread is described below in §3.3. Notice that postconditions of both threads in Figure 11 require the set of obligations to be empty: $\text{obls } \tau \emptyset$ for the main thread and $\text{obls } \tau' \emptyset$ for the forked thread. Lawyer requires to prove that each thread has no obligations upon termination. Otherwise, a thread can terminate while holding obligations that other threads might depend on, causing them to wait indefinitely. The main thread fulfills its obligation by setting `flag` on line 23 for which we prove the following, which requires accessing the invariant using the rule INV-OPEN-SIMPL:

$$\{ \text{obls } \tau \{s_f\} * \text{ph } \tau \pi_1 * \text{bar } \pi_1 d_0 * \text{nondetInv}(cnt, flag, s_f) \} \text{ flag } := \text{true } \{ \text{obls } \tau \emptyset * \text{ph } \tau \pi_1 \}^{\tau}$$

To prove this we use the rule MU-OM-SIMPL with $i \triangleq 1$ and OU-SETS-SIGNAL to derive the following:

$$\text{sgn } s_f l_0 (b : \mathbf{B}) * \text{obls } \tau \{s_f\} \vdash \text{MU}^{\tau} \{ \text{sgn } s_f l_0 \text{ True } * \text{obls } \tau \emptyset \}$$

Finally, we read the counter on line 25, again accessing the invariant using the rule INV-OPEN-SIMPL to obtain the points-to and obtain the $\text{elb } n$ resource. The latter we can retain after we are done accessing the invariant because it is persistent. Thus, for reading the counter we show:

$$\{ \text{ph } \tau \pi_1 * \text{bar } \pi_1 d_0 * \text{nondetInv}(cnt, flag, s_f) \} !cnt \{ n \in \mathbb{N}. \text{ph } \tau \pi_1 * \text{bar } \pi_1 d_0 * \text{elb } n \}^{\tau}$$

3.3 Verifying `incr_loop`

Figure 12 sketches the proof of the `incr_loop` specification used in Figure 11 (lines 19-21). Note the recursive call on line 11. Following the standard way to verify recursive functions [Birkedal and Bizjak 2017], we assume that the given spec holds for the recursive call while we show that the body of the recursive function satisfies the given spec. Thus, upon the recursive call we need to prove that the precondition on line 1, which includes fuel ($5 \cdot \text{bar } \pi d_0$), holds again. However,

```

785      1   {  
786        obls  $\tau \emptyset * ph \tau \pi * 5 \cdot bar \pi d_0 *$   
787         $\square(ep s_f \pi d_0 * nondetInv(cnt, flag, s_f))$   
788      }  
789      2 let rec incr_loop flag cnt =  
790        {obls  $\tau \emptyset * ph \tau \pi * 4 \cdot bar \pi d_0 }$   
791      3 let t := !flag in  
792        {obls  $\tau \emptyset * ph \tau \pi * 2 \cdot bar \pi d_0 *$   
793          { $t = False \implies 5 \cdot bar \pi d_0$   
794        }  
795      4 if t then () {obls  $\tau \emptyset * ph \tau \pi }$   
796      5 incr_loop flag cnt  
797      6 else {obls  $\tau \emptyset * ph \tau \pi * (1 + 5) \cdot bar \pi d_0 }$   
798        FAA(cnt, 1);  
799        incr_loop flag cnt  
800      7 {obls  $\tau \emptyset * ph \tau \pi }$   
801      8 {obls  $\tau \emptyset * ph \tau \pi }$   
802      9

```

Fig. 12. Proof sketch for `incr_loop`

going through the lines before the recursive call, we have burned some of the initial fuel we started with in the precondition. Therefore, fuel has to be replenished before the recursive call. We do this at the point when we read the flag on line 4. There, after opening the invariant, executing the physical step and framing the irrelevant resources, we prove the following model update using MU-OM-SIMPL choosing i depending on b :

$$\frac{\text{obls } \tau \emptyset * ph \tau \pi * bar \pi d_0 * sgn s_f l_0 b * ep s_f \pi d_0}{\text{MU}^\tau \{ \text{obls } \tau \emptyset * ph \tau \pi * sgn s_f l_0 b * (b = \text{False} \implies 5 \cdot bar \pi d_0) \}}$$

If $b = \text{True}$, we take i to be 0; this case is trivial. If $b = \text{False}$, on the other hand, we take i to be 5 and then apply OU-EXPECTS-EP 5 times; this is why we placed a restriction $5 \leq \text{SB}$ at the beginning of this section. The rest of the proof also proceeds by case analysis. If the flag has been set, `incr_loop` terminates. Otherwise, we open the invariant again to increment the counter and update the exchange bound resource using the rule OU-INCREASES-EB. After this step, we have again all the resources comprising the precondition of `incr_loop` and thus can make the recursive call.

4 Case Study: Modular Verification of a Fair Lock Client

In this section, we demonstrate the expressivity of Lawyer and its program logic by proving fair termination of a program, given in Figure 13, consisting of two threads, called `left` and `right`, that work on a shared memory location `flag` protected by a ticket lock. The key point here is again modularity: the two threads are verified relative to a *specification* of fair locks, which we separately show to be satisfied by the ticket lock implementation. In more detail, the `left` thread writes to the shared location `flag`, and the `right` thread busy-waits for this write. Intuitively, the client terminates with any *fair* lock implementation, *i.e.*, one that guarantees that every lock acquisition eventually terminates, assuming that the lock is always eventually released. In other words, the proof of client's termination need not depend on the implementation details of the lock. This is what we formally show by giving a modular proof as described above. However, the termination of the client program indeed depends on the *fairness* of the lock. If we had taken, *e.g.*, a spin lock, a pathological *but fair* scheduling in which every attempt to acquire the lock by the `left` thread is disrupted by the acquire operation in the `right` thread would lead to non-termination.

From a high-level point of view, the behavior of the `client` program here is similar to that of the `nondet` example: one thread waits for the other to set the flag. Naturally, the high-level idea of verification of the `client` program is also similar to that of the `nondet` program. However, the presence of the lock adds another source of blocking behavior — each acquire in either thread may need to wait for the other thread to release the lock.

The essence of the reason why a program like the one in Figure 13 terminates is that every lock acquisition is followed by a release. Lawyer's mechanism for specifying such requirements, as we

```

834   1 let newLock () = (ref(0), ref(0))
835   2
836   3 let release (ow, _) = ow := !ow + 1
837   4
838   5 let acquire (ow, tk) =
839     6 let t = FAA(tk, 1) in
840       7 let rec wait () =
841         8 let o = !ow in
842           9 if (t == o) then () else wait ()
843         10 in wait ()
844
845   12 let left flag lk =
846     13 acquire lk; flag := true; release lk
847
848
849
850   BOU-INTRO      BOU-WAND          BOU-OU            BOU-SPLIT
851   P              P -* Q            OU {BOUEn{P}}    BOUEn{BOUEm{P}}
852   BOUEn{P}      BOUEn{P} -* BOUEn{Q}    BOUEn+1{P}        BOUEn+m{P}
853
854   BOU-WEAKEN
855   P1 -* P2      n1 ≤ n2      E1 ⊆ E2      BOUE1n1{P1}
856
857
858
859
860

```

Fig. 13. Client using the fair lock and ticket lock implementation

$$\begin{array}{c}
\text{BOU-INTRO} \quad \text{BOU-WAND} \quad \text{BOU-OU} \quad \text{BOU-SPLIT} \\
P \qquad \qquad \qquad P \dashv Q \qquad \qquad \text{OU } \{ \text{BOU}_n^E \{ P \} \} \qquad \text{BOU}_n^E \{ \text{BOU}_m^E \{ P \} \} \\
\hline
\text{BOU}_n^E \{ P \} \qquad \text{BOU}_n^E \{ P \} \dashv \text{BOU}_n^E \{ Q \} \qquad \text{BOU}_{n+1}^E \{ P \} \qquad \text{BOU}_{n+m}^E \{ P \}
\end{array}$$

$$\text{BOU-WEAKEN} \qquad \qquad \qquad \text{BOU-INV} \\
P_1 \dashv P_2 \qquad n_1 \leq n_2 \qquad E_1 \subseteq E_2 \qquad \text{BOU}_{n_1}^{E_1} \{ P_1 \} \qquad \varepsilon \not\models_{\emptyset} \text{BOU}_n^{\emptyset} \{ \emptyset \models_{\varepsilon} P \} \\
\hline
\text{BOU}_{n_2}^{E_2} \{ P_2 \} \qquad \qquad \qquad \qquad \qquad \text{BOU}_n^E \{ P \}$$

Fig. 14. Selected rules for BOU

saw in §2 and §3, is using obligations. Thus, we need the acquire operation to produce an obligation that can be fulfilled when the lock is released.

To verify this program, imagine first that the ticket lock implementation is inlined into the client program to set aside modularity concerns for the moment. In that case, when either thread acquires the lock, *i.e.*, when FAA at line 6 succeeds, we can assign that thread an obligation to release the lock. This obligation is fulfilled upon the write at line 3. On the other hand, when a thread fails to acquire the lock (*i.e.*, the check at line 9 fails), it knows that the lock owner is obligated to release it, which justifies the busy-waiting. As for the other blocking behavior, *i.e.*, waiting for the flag to be set, to justify the busy-waiting we follow the process from §3 and assign the left thread an obligation, which it fulfills upon setting the flag.

The idea behind modular verification of termination of `client` is to give a specification for the fair locks which, in addition to the core property of locking mechanisms, mutual exclusion, also captures what we discussed above: upon lock acquisition, an obligation is assigned for releasing it.

In the rest of this section, we will first introduce a new modality (§4.1) that allows us to achieve better flexibility in modular specifications. Afterwards, in §4.2 we show how to use this modality to give the fair lock specification, and discuss how the ticket lock implementation satisfies it in §4.3. Finally, in §4.4 we briefly explain how to verify `client` on top of this fair lock specification.

4.1 Bounded obligations update modality

Recall that the OU modality presented in §3 represents exactly one small OM transition. We now introduce a more general variant of this modality, called the “bounded obligations update” modality,

$$\forall \tau, \pi, u, P. \{P * \mathcal{B}_{\text{FL}}(u) \leq \text{SB} * \text{bar } \pi d_{\text{FL}} * \text{ph } \tau \pi\} \text{ newLock } () \{lk. \text{ FairLock}^{lk}(u, P) * \text{ph } \tau \pi\}^\tau$$

(newlockSpec)

where

$$\begin{aligned} \text{FairLock}^{lk}(u, P) &\triangleq \exists \text{locked} : \text{SignalId} \rightarrow iProp, \iota_{\text{FL}} : \mathcal{N}. \\ &\square (\forall s_1, s_2. \text{locked}(s_1) * \text{locked}(s_2) \not\rightarrow \text{False}) \wedge \text{(lockedExcl)} \\ &\square (\forall s_r. \text{locked}(s_r) \not\rightarrow \exists l_r \in L_{\text{FL}}. \text{sgn}_{\exists} s_r l_r) \wedge \text{(lockedLvl)} \\ &\left(\begin{array}{l} \forall \tau, \pi, O. \\ \left\{ \begin{array}{l} \text{bar } \pi d_{\text{FL}} * \text{ph } \tau \pi * \text{obls } \tau O * L_{\text{FL}} \prec_L \text{sgn } O \\ \text{acquire } lk \\ \left\{ \exists s_r \notin O. P * \text{locked}(s_r) * \text{ph } \tau \pi * \text{obls } \tau (O \cup \{s_r\}) \right\}^{\tau} \end{array} \right\} \\ \forall \tau, \pi, O, s_r, Q. \\ \left\{ \begin{array}{l} \text{locked}(s_r) * \text{bar } \pi d_{\text{FL}} * \text{ph } \tau \pi * \text{obls } \tau (O \cup \{s_r\}) * \\ \left(\forall q. \text{ph}_q \tau \pi \not\rightarrow \text{obls } \tau O \rightarrow \text{BOU}_u^{\tau \setminus \{\iota_{\text{FL}}\}} \{P * (\text{ph}_{1-q} \tau \pi * Q)\} \right) \\ \text{release } lk \\ \{Q\}^{\tau} \end{array} \right\} \end{array} \right) \wedge \text{(acquireSpec)} \\ &\quad \text{(releaseSpec)} \end{aligned}$$

Fig. 15. Fair lock specification (parameterized by L_{FL} : $\text{FinSet}(\text{Level})$, d_{FL} : Degree and $\mathcal{B}_{\text{FL}} : \mathbb{N} \rightarrow \mathbb{N}$)

$\text{BOU}_n^{\mathcal{E}}\{P\}$, which intuitively expresses that P holds after taking up to n small transitions and using invariants in \mathcal{E} – we call n the “size” of $\text{BOU}_n^{\mathcal{E}}\{P\}$. Figure 14 shows the proof rules governing BOU. Among them, the rules **BOU-INTRO** and **BOU-OU** correspond to the intuition of “up to n small transitions”. The rule **MU-OM-NOFORK** is the main rule that allows us to use this new modality in proofs when performing a model update:

$$\text{MU-OM-NOFORK} \\ \text{BOU}_{\text{SB}}^{\mathcal{E}} \{P * \text{ph}_a \tau \pi * \exists d. \text{bar } \pi d\} \vdash \text{MU}_{\mathcal{E}}^\tau \{P * \text{ph}_a \tau \pi\}$$

In particular, the rule **MU-QM-SIMPL** we had shown earlier in §3 can be derived from **MU-QM-NOFORK**.

4.2 Fair lock specification

Our specification for a fair lock is given in Figure 15. Ignoring the parts highlighted in red, one can recognize the pre- and post-conditions of the standard lock specification in higher-order concurrent separation logic [Birkedal and Bizjak 2017]. Indeed, in *(newlockSpec)* when the lock is created, the user provides P — the resources protected by the lock. In return, *newLock* establishes a persistent resource $\text{FairLock}^{lk}(u, P)$ stating that the lock lk protects the resource P (we address the u parameter below). When *acquire* succeeds, as *(acquireSpec)* indicates, the user obtains P along with the exclusive token *(lockedExcl)* that ensures that no other thread holds the lock at the moment. Upon *release*, *release*'s specification, *(releaseSpec)* requires the user to return both P and this exclusive token; the postcondition of *release* in Birkedal and Bizjak [2017] is simply *True*. We emphasize that this lock specification in Figure 15, just like the one given by Birkedal

and Bizjak [2017], is abstract: it hides the implementation by existentially quantifying over the predicate `locked` and the invariant name ι_{FL} .

The specification in Figure 15 is parameterized by a set of obligation levels, a degree and a function on natural numbers (described below). As the execution of any method consumes fuel, the fair lock specification mentions a fuel degree d_{FL} . We require a barrel of this degree is provided for every call to a lock method, along with the calling thread’s current phase, which is returned unchanged. The fair lock specification mandates the eventual release of an acquired lock by assigning the thread that acquires the lock a new obligation. This obligation can only be fulfilled by releasing the lock — `acquire` generates a signal s_r and `locked(s_r)` token, while the `release` operation fulfills s_r for which it receives `locked(s_r)`. The level of the release obligation belongs to the set L_{FL} . The lock client can carry other obligations while acquiring the lock, but the levels of these other obligations must be higher than those in L_{FL} . Parameterization of Figure 15 allows imposing restrictions on e.g. obligations levels used by each individual lock instance created with `newLock`, which is needed to verify Figure 13 (see §4.4) and an example with multiple locks (provided in [Anonymous 2025a]).

The reason for the release specification (`releaseSpec`) having an arbitrary postcondition and requiring a bounded update modality in the precondition is to make the specification sufficiently flexible for clients. In particular, the proof of termination of our example `client` in §4.4 relies on replenishing the right thread’s fuel at the moment the lock is released. To enable this, the client of the lock can pick the postcondition Q to also include the newly created fuel and provide a BOU that replenishes the fuel.³ (The use of fractional phase allows to support the ticket lock implementation, which handles phases in a non-trivial way. In practice, it does not restrict the user.) The size of the user-passed BOU in the (`releaseSpec`) is decided in (`newlockSpec`) when the lock is created. This value determines the *module bound* $\mathcal{B}_{FL}(u)$ that limits the total size of BOU applied by the module. Finally, the user of the ticket lock is required to work with a version OM with an SB bound that satisfies $\mathcal{B}_{FL}(u) \leq SB$. The condition $\mathcal{B}_{FL}(u) \leq SB$ allows the fair lock’s implementation to apply not just the passed BOU, but also to take all the small transitions that it internally needs.

4.3 Verifying the ticket lock implementation

Here we discuss the proof that the ticket lock implementation satisfies the specification in Figure 15 (for any choice of parameters L_{FL} , d_{FL} and \mathcal{B}_{FL} satisfying a number of assumptions wrt. OM; see [Anonymous 2025a]). For space reasons, we only briefly describe the proof from a high-level point of view. We refer the reader to the accompanying material [Anonymous 2025a,b] for further details.

The proof proceeds in two stages. First, we derive the fair lock specification in Figure 15 from a more general lock specification employing so-called *total correctness logically-atomic triples* (TCLAT). Subsequently, we prove that the ticket lock implementation satisfies the TCLAT fair lock specification. Again, the details for both steps are provided in [Anonymous 2025a,b].

To describe what we mean by a TCLAT specification, we first recall that even for safety reasoning, modular reasoning about concurrent modules is challenging. Technically, one of the challenges is to ensure that a client’s invariant can be appropriately updated when calling the module’s operations. An invariant can only be accessed and updated during *physically atomic* operations; however, a module’s operations are not physically atomic as they at the very least involve a method call. Logically-atomic triples [da Rocha Pinto et al. 2014; Jung et al. 2015] are used for safety reasoning in state-of-the-art program logics to support modular reasoning by internalizing the well-known idea of linearizability [Herlihy and Wing 1990]. They allow one to pretend that a module’s operation

³We remark that one could include a similar BOU in the `acquire` specification — it would be applied *after* the lock resource is obtained, but *before* the release obligation is produced; however, the client examples we consider require just one of these updates, and thus we choose to only include one for the `release` specification.

is atomic for all intents and purposes — hence the name *logically atomic triples* — by allowing invariants to be accessed and updated for the duration of the method call. In practice, behind the scenes, this pretense works by accessing and updating invariants at the so-called linearization point [Herlihy and Wing 1990] of the method in question. (For an introduction to such logically atomic specifications and proofs relying on them, see Birkedal and Bizjak [2017, Ch. 13].)

Similar challenges arise when verifying liveness properties of concurrent modules modularly. For example, the thread acquiring the lock must receive a release obligation exactly at the linearization point of the acquire method, *i.e.*, when the lock’s observable state changes from “unlocked” to “locked”. We capture such method’s behavior with a “total correctness logically atomic triples” (TCLATs) — a version of logically atomic triples that allow, in addition to accessing invariants, to take transitions in the OM model at the linearization point of the method. Our TCLATs are inspired by a similar notion of triples from Sassy [Fasse and Jacobs 2024], but instead of using auxiliary code, we support taking OM transitions through BOU. This, as we explained in the Introduction, avoids all issues caused by ghost code instrumentation and significantly simplifies our Rocq mechanization.

The TCLAT specification style, as Sassy [Fasse and Jacobs 2024] shows, supports verifying a number of challenging examples, including lock handoffs and implementing nested locks, *e.g.*, cohort locks [Fasse and Jacobs 2024]. However, using the TCLAT-style lock specifications directly is cumbersome as it exposes details about the linearization point of methods that are not necessary for most clients; it is needed for more advanced clients, *e.g.*, verifying cohort locks on top of ticket locks [Fasse and Jacobs 2024]. Thus, our TCLAT-style specification of the fair lock is strong enough to verify such advanced case studies, but can also be used to derive the validity of the specs in Figure 15, which can be straightforwardly used to verify clients like that in Figure 13.

We finally remark that, similarly to logically atomic specifications, proving TCLAT-style specification of the ticket lock requires invariants to be impredicative, which in turn necessitates step-indexing. Lawyer inherits impredicative invariants from Iris. Predicative logics such as Lilo [Lee et al. 2025] work around this issue by sacrificing expressivity. Indeed, Lilo’s specification of a ticket lock uses stratified propositions for the resources protected by the lock. Thus, no object referring to the lock itself can be protected by the lock. Furthermore, Lilo’s specification of the ticket lock does not feature a logically atomic version.

4.4 Verification of the lock client program

To state and prove the specification of the `client` function in Figure 13, we assume a lock implementation that satisfies the specification in Figure 15 for some d_{FL} , L_{FL} and \mathcal{B}_{FL} . Moreover, we assume the following holds for the obligations model’s parameters:

- besides d_{FL} , there are two other fuel degrees d_0, d_{CL} such that $d_0 \prec_D d_{FL} \prec_D d_{CL}$
- besides the set L_{FL} of obligation levels, there is a level l_f such that $\forall l \in L_{FL}. l \prec_L l_f$
- $\max(\mathcal{B}_{FL}(4), 2) \leq SB$

Note that these assumptions still don’t fix the concrete choice for Level, Degree and SB and can be composed further with assumptions for other program modules, if any. In that way, our specifications stay modular.

The overall specification we give for the `client` function is as follows:

$$\{\text{obls } \tau \emptyset * \text{ph } \tau \pi * 3 \cdot \text{bar } \pi d_{CL}\} \text{ client } () \{ \exists \pi' \succeq_P \pi. \text{obls } \tau \emptyset * \text{ph } \tau \pi' \}^\tau$$

Due to space reasons, we relegate the details of the proof to our accompanying technical appendix [Anonymous 2025b].

1030 5 Related Work

1031 Lawyer is a higher-order impredicative concurrent program logic. It supports modular obligations-based reasoning about the termination of fine-grained concurrent programs in a proof assistant.
 1032 The work closest to ours in expressivity and abstractions provided is Sassy [Fasse and Jacobs 2024],
 1033 which we have discussed in the [Introduction](#) and throughout the paper. Our model OM is inspired by
 1034 Sassy’s auxiliary code, inheriting its amenability to modular specification. However, unlike Lawyer,
 1035 neither Sassy’s soundness nor its case studies are mechanized in a proof assistant.
 1036

1037 *Non-Impredicative Modular Liveness Verification.* Most program logics for liveness verification
 1038 are either only first-order or only support predicative higher-order reasoning. As a result, these
 1039 systems’ support for modularity is limited. As [Svendsen and Birkedal \[2014\]](#) explain, truly modular
 1040 separation logic specifications require *impredicativity*. Lilo [[Lee et al. 2025](#)] provides a mechanized
 1041 program logic that abstracts the construction of thread-local simulation relations of [[Lee et al. 2023](#)].
 1042 They verify termination of a number of lock-based programs, as well as two stack implementations.
 1043 To the best of our knowledge, Lawyer supports all of these examples. Moreover, Lilo supports
 1044 verification of non-terminating programs. However, the usage of thread-local simulation restricts
 1045 the kind of properties provable for them.⁴ Lilo is a predicative higher-order logic in a way not
 1046 completely unlike universes in proof assistants like Rocq, Agda, Lean, etc. This limits its support for
 1047 modularity, cf. the discussion in §4.2. Moreover, Lilo only supports parallel composition instead of
 1048 unstructured forking. It also does not address the verification of modules’ initialization functions,
 1049 e.g., our newLock function in [Figure 13](#), requiring to specify all modules’ invariants in the initial state.
 1050 Both Sassy and Lilo, as well as Lawyer, take inspiration from TaDA Live [[D’Osualdo et al. 2019](#)],
 1051 which introduced the notions of “liveness invariants” and “impedance”, which together allowed one
 1052 to express a module’s liveness dependencies on its environment, and to modularly prove termination.
 1053 TaDA is a first-order logic and not mechanized. Lawyer overcomes some of the limitations of TaDA
 1054 Live by supporting unstructured concurrency and scheduler non-determinism. However, TaDA Live
 1055 can be used to reason about an advanced lock-coupling set, which seems out of reach of Lawyer,
 1056 Sassy, and Lilo. Simuliris [[Gäher et al. 2022](#)] is built on top of the Iris framework but removes step-
 1057 indexing, and thereby also higher-order ghost state, including impredicative invariants. Simuliris
 1058 supports liveness reasoning through proving fair-termination-preserving refinement between
 1059 programs. Yet, it does not support reasoning about blocking behavior. Lili [[Liang and Feng 2016](#)]
 1060 verifies properties of blocking and non-blocking concurrent modules. Lili introduced the notions of
 1061 “blocking” and “delay” (explained in §2) as two distinct types of interaction with the environment
 1062 that affect a given module’s termination. Lili is first-order and not mechanized. There is also work
 1063 on non-program logic frameworks for liveness verification of first-order programs [[Gu et al. 2015,](#)
 1064 [2018; Kim et al. 2017](#)]. In particular, [Kim et al. \[2017\]](#) prove liveness of the MCS lock. However, it
 1065 requires explicit trace-based reasoning about program semantics and the scheduler.
 1066

1067 *Non-Modular Impredicative Termination Verification.* As explained in the [Introduction](#), Trillium
 1068 [[Timany et al. 2024](#)] works around the limitations of step-indexing by proving refinements to a
 1069 specific class of abstract models. Trillium uses arbitrary abstract LTS models to verify a wide range
 1070 of trace-level properties, including arbitrary safety and liveness properties, not just termination.
 1071 This means that to use Trillium, the user must choose a concrete LTS model that captures the
 1072 behavior of the program. Trillium does provide Fairis [[Timany et al. 2024](#)], a program logic that
 1073 provides some degree of abstraction for working with an arbitrary model to prove termination; it
 1074 essentially only builds in a rudimentary notion of fuel. Using Fairis requires the user to choose a
 1075

1076 ⁴For example, a program with two threads flipping a boolean flag in turn, waiting for each other to do so, is refined to a
 1077 program where one thread repeatedly writes True, and another writes False. The fact that the flag is being flipped is missed.

model for the entire program. This precludes giving modular specifications to reusable modules as we did here for fair locks in §4. Tassarotti et al. [2017] showed that Iris can be used to reason about fair termination-preserving refinement between two programs, which they used for proving the correctness of a compiler. However, to achieve this, they had to extend Iris with linear propositions and, furthermore, had to restrict programs’ non-determinism.

Impredicative Termination Verification of Coarse-Grained Concurrency. Some projects [Matsushita and Tsukada 2025; Spies et al. 2021] support modular termination verification in an impredicative setting, but they restrict the programs they can verify. Transfinite Iris [Spies et al. 2021] extended Iris’s step-indexing to transfinite ordinals. This way, Transfinite Iris supports termination of sequential programs. However, it is unclear how one can extend this approach to support reasoning about the termination of blocking concurrent programs. Nola [Matsushita and Tsukada 2025] uses Iris’ [Jung et al. 2015] total version of Hoare triples defined as an inductive type, ensuring that programs specified with such triples are terminating. Originally, the disadvantage of such triples was the lack of support for invariants. Nola’s innovation is to change the semantic interpretation of invariants so that *non-impredicative* invariants can be accessed by total Hoare triples, while arbitrary invariants can be used in other contexts. This approach is limited to proving termination by meta-level induction, ruling out verification of blocking concurrency.

6 Conclusion, Discussion and Future Work

We have presented Lawyer, a higher-order impredicative program logic, which supports modular, obligations-based reasoning about termination of fine-grained concurrent programs. We have formalized both the meta-theory of Lawyer and the case studies in the Rocq proof assistant. To the best of our knowledge, Lawyer is the first impredicative higher-order concurrent separation logic that supports modular liveness reasoning formally in a proof assistant.

Verifying properties beyond fair termination. Verifying a program with Lawyer establishes a refinement between the program and our model OM. This allows to prove termination of closed programs under the assumption that the thread scheduler is fair. We note that it is possible to show termination even under unfair schedulers for programs that do not involve busy-waiting, by using specific instantiations of Level and Degree. For example, we have shown that the programs in Figure 4 and Figure 2 terminate even under an unfair scheduler. Moreover, we have also used a specific instantiation of OM to show the program in Figure 2 terminates within a time bound linear wrt. the value stored in location l initially. We refer the reader to our Rocq development and technical appendix [Anonymous 2025a,b] for details. As a part of future work, we investigate the applicability of Lawyer to verifying lock-freedom of Figure 4 and wait-freedom of Figure 2.

Relative image finiteness restriction. A limitation of Lawyer, which is inherited from Trillium, is that the refinement between the execution and the model traces must be relatively image-finite. This is the case for OM as we proved in Lemma 2.1. That is why we have required levels and degrees to form finite sets. This ensures that assigning new signals and exchanging fuel cannot lead to infinite branching. Ensuring relative image finiteness is also the reason why we need the SB parameter of OM to limit the number of small transitions within $\xrightarrow{\text{OM}}$. It limits how many signals can be created in a single step, and how many barrels of fuel can be received by waiting on an unset signal.

Future work. As mentioned above, we aim to verify progress properties of independent modules (as opposed to verification of the entire program’s termination). Then, we hope to extend Lawyer’s approach to verify liveness of non-terminating programs. Finally, we aim to lift some of the aforementioned finite branching restrictions by employing more elaborate refinement relations.

1128 Data-Availability Statement

1129 We provide the Rocq mechanization [Anonymous 2025a] of all results presented in this paper,
 1130 including definition of the Obligations model and the refinement relation $\zeta_{\text{OM}}^{\lambda}$, Lawyer program
 1131 logic and its adequacy theorem, and proofs of termination of all the examples and case studies
 1132 (Figure 13 and a number of others). For the initial submission, we provide the source code with
 1133 build instructions; for the final artifact, we will provide a virtual machine with pre-built sources.
 1134

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