

# Hawking Radiation as Seen by Observers

Bachelor thesis

Friedrich Hübner  
Universität Bonn

September 6, 2018

# Introduction

- Consider star collapsing to black hole
- Hawking 1974 [1]: black hole radiates at  $T_H = \frac{1}{8\pi M}$
- Minkowski space:
  - Inertial observer: no particles
  - Accelerating observer: heat bath (Unruh effect)
- Observers around the black hole:
  - Freely falling (e.g. orbiting): no particles?
  - Static observer: heat bath?

- 1 QFT in curved spacetime
- 2 Static spacetimes and equivalence principle
- 3 Black holes
- 4 Conclusion

# Massless scalar field in curved spacetime

- Spacetime metric:  $g_{\mu\nu}$
- Lagrangian:  $\mathcal{L} = -\frac{1}{2}\sqrt{|g|}g^{\mu\nu}\partial_\mu\phi\partial_\nu\phi$
- Klein-Gordon equation:  $\nabla_\mu\nabla^\mu\phi = \frac{1}{\sqrt{|g|}}\partial_\mu\left(\sqrt{|g|}g^{\mu\nu}\partial_\nu\phi\right) = 0$
- Scalar product:  $(\phi|\psi) := i\int_\Sigma dS^\mu\phi^*\nabla_\mu\psi - \psi\nabla_\mu\phi^*$
- Orthonormal basis:  $(u_i|u_j) = \delta_{ij}$ ,  $(u_i|u_j^*) = 0$ ,  $(u_i^*|u_j^*) = -\delta_{ij}$
- Quantisation:  $\phi(\mathbf{x}) = \sum_i u_i a_i + u_i^* a_i^\dagger$

# State of the QFT

- Vacuum:  $a_i |0\rangle = 0$
- Problem:  $u_i \rightarrow v_j, a_i \rightarrow b_j: b_i |0\rangle \neq 0$   
→ Need to guess state!
- Static spacetime: choose vacuum w.r.t. positive frequency modes:  
 $u_i \sim e^{-i\omega t}$
- What does an observer see?

# Greens functions[2]

- Vacuum:

- Wightman function  $D^+(\mathbf{x}, \mathbf{x}') := \langle 0 | \phi(\mathbf{x}) \phi(\mathbf{x}') | 0 \rangle = \sum_i u_i(\mathbf{x}) u_i^*(\mathbf{x}')$
- $iD(\mathbf{x}, \mathbf{x}') := [\phi(\mathbf{x}), \phi(\mathbf{x}')] = 2i \operatorname{Im} D^+(\mathbf{x}, \mathbf{x}')$
- $D^{(1)}(\mathbf{x}, \mathbf{x}') := \langle 0 | \{ \phi(\mathbf{x}), \phi(\mathbf{x}') \} | 0 \rangle = 2 \operatorname{Re} D^+(\mathbf{x}, \mathbf{x}')$

- Thermal:

- replace  $\langle 0 | \dots | 0 \rangle$  by  $\frac{1}{Z} \operatorname{Tr} e^{-\beta H} \dots$
- $D$  is c-number:  $D_\beta = D$
- $D_\beta^{(1)}(t, \vec{x}; t', \vec{x}') = \sum_n D^{(1)}(t - i\beta n, \vec{x}; t', \vec{x}')$

# Unruh detector

- Detector model:  $\mathcal{H}_{\text{detector}} = c \cdot m(\tau) \cdot \phi(\mathbf{x}(\tau))$ ,  $c \ll 1$

## Transition rate

$$\frac{dF_E}{d\tau} = 2\text{Re} \int_{-\infty}^0 d\tau' e^{-iE\tau'} D^+(\mathbf{x}(\tau + \tau'), \mathbf{x}(\tau))$$

## Constant rate

$$\frac{dF_E}{d\tau} = \int_{-\infty}^{\infty} d\tau e^{-iE\tau} D^+(\mathbf{x}(\tau), \mathbf{x}(0))$$

- Interpretation:  $F_E$  is particle population for observer

# Minkowski space

- Wightman function:  $D^+(\mathbf{x}, \mathbf{x}') = -\frac{1}{4\pi^2} \frac{1}{(t-t'-i\epsilon)^2 - |\vec{x}-\vec{x}'|^2}$
  - Static observer:  $t(\tau) = \tau, \vec{x}(\tau) = \text{const}$ 
    - $D^+(\mathbf{x}(\tau), \mathbf{x}(0)) = -\frac{1}{4\pi^2} \frac{1}{(\tau-i\epsilon)^2}$
    - Fourier transform:  $\frac{dF_E}{d\tau} = 0$
- Inertial observer: vacuum contains no particles

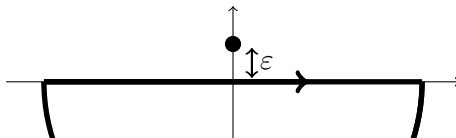


Figure: Pole structure



# Unruh effect

- Accelerating observer:  $t(\tau) = 1/\alpha \sinh \alpha\tau$ ,  $x(\tau) = 1/\alpha \cosh \alpha\tau$ 
  - $D^+(\mathbf{x}(\tau), \mathbf{x}(\tau')) = -\frac{\alpha^2}{16\pi^2} \frac{1}{\sinh^2 \frac{\alpha(\tau-\tau')}{2}}$
- Thermal state:  $D_\beta^+(\mathbf{x}, \mathbf{x}') = -\frac{1}{4\beta^2} \frac{1}{\sinh^2 \left( \frac{\pi}{\beta} \sqrt{(t-t'-i\varepsilon)^2 - |\vec{x}-\vec{x}'|^2} \right)}$ 
  - Static observer:  $D_\beta^+(\mathbf{x}(\tau), \mathbf{x}(\tau')) = -\frac{1}{4\beta^2} \frac{1}{\sinh^2 \left( \frac{\pi}{\beta} (\tau-\tau') \right)}$
- Set  $\beta = 2\pi/\alpha$
- Accelerating observer: vacuum is a thermal state

- 1 QFT in curved spacetime
- 2 Static spacetimes and equivalence principle
- 3 Black holes
- 4 Conclusion

# Static spacetimes

- Metric:  $ds^2 = -\beta(\vec{x}) dt^2 + g_{ij}(\vec{x}) dx^i dx^j$
- Positive frequency solutions:  $u_i(t, \vec{x}) = \frac{1}{\sqrt{2\omega_i}} e^{-i\omega_i t} A_i(\vec{x})$
- State of QFT – vacuum:  $a_i |0\rangle = 0$
- Normal coordinates ( $a = \beta(0)$ ):

$$D^+(\mathbf{x}, 0) = -\frac{1}{4\pi^2} \frac{1}{a(t - i\epsilon)^2 - |\vec{x}|^2} + \mathcal{O}(x^2)$$

## Pole at $\mathbf{x} = 0$

- Consider trajectory  $\mathbf{x}(\tau)$ ,  $\mathbf{x}(0) = 0$
- $D^+$  has second order pole at  $\tau = 0$ :  $\frac{1}{a(t(\tau) - i\varepsilon)^2 - |\vec{x}(\tau)|^2}$
- $\varepsilon$  shift pole to upper half:
  - $a(t(\tau_\varepsilon) - i\varepsilon)^2 - |\vec{x}(\tau_\varepsilon)|^2 = 0$
  - $\delta\tau = \left. \frac{d\tau_\varepsilon}{d\varepsilon} \right|_{\varepsilon=0} = iat(0) \pm \sqrt{-a^2\dot{t}(0)^2 + 1}$   
 $\rightarrow \delta\tau$  has positive imaginary part
- Only poles in the lower half contribute  
 $\rightarrow$  drop pole at  $\tau = 0$

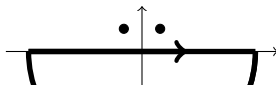


Figure: Pole shift

## Other singularities of $D^+$

- $D^+(\mathbf{x}, \mathbf{x}') = \langle 0 | \phi(\mathbf{x}) \phi(\mathbf{x}') | 0 \rangle$  satisfies  $\nabla_\mu \nabla^\mu D^+(\mathbf{x}, \mathbf{x}') = 0$
- Define  $A = 1/D^+ \Rightarrow A \nabla_\mu \nabla^\mu A = 2 \nabla_\mu A \nabla^\mu A$
- $D^+ = \infty \Rightarrow A = 0 \Rightarrow \nabla_\mu A \nabla^\mu A = 0$   
 $\rightarrow D^+ = \infty$  is a lightlike hypersurface
- $D^+$  is singular on lightcone

- Other singularities appear at  $t = 0$   
→ no singularities on timelike trajectories
- $D = [\phi(\mathbf{x}), \phi(\mathbf{x}')] ]$  is only non zero on lightcone  
→  $D^+$  is real for all trajectories

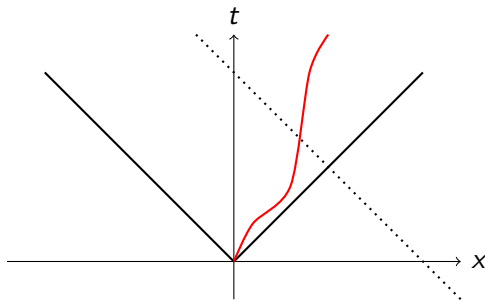


Figure: Singularities on trajectories

# Static observers

## Lemma 1

In a static spacetime a static observer does not observe any particles

- $t(\tau) = \frac{\tau}{\sqrt{a}}$
- $u_i = \frac{1}{\sqrt{2\omega_i}} e^{-i\omega_i t} A_i(\vec{x})$
- $D^+(\mathbf{x}(\tau), \mathbf{x}(0)) = \sum_i \frac{1}{2\omega_i} e^{-i\omega_i \tau / \sqrt{a}} A_i(\vec{x}_0) A_i^*(\vec{x}_0)$

$$\begin{aligned} \frac{dF_E}{d\tau} &= \sum_i \frac{1}{2\omega_i} A_i(\vec{x}_0) A_i^*(\vec{x}_0) \left( \int_{-\infty}^{\infty} d\tau e^{-iE\tau} e^{-i\omega\tau/\sqrt{a}} \right) \\ &= \sum_i \frac{1}{2\omega_i} A_i(\vec{x}_0) A_i^*(\vec{x}_0) \delta(E + \omega/\sqrt{a}) = 0 \end{aligned}$$

# Observers along Killing vectors

## Lemma 2

An observer moving along a Killing vector  $\mathbf{k}$  will see excitations if and only if there exists at least one solution  $u$ :  $\frac{A}{|B|} < \frac{|m|}{\omega_m}$

- $\dot{\mathbf{x}} = A\partial_t + B\mathbf{k}$
- $\mathbf{k}u = im u, \partial_t u = -i\omega_m u$
- $u_{m,i} = \tilde{A}_i(y_1, y_2) e^{-i\omega_m t} e^{im\xi}$
- Schwarzschild/Minkowski circular orbit:  $\mathbf{k} = \partial_\phi, \frac{|m|}{\omega_m}$  unbound
- Geodesic observers see particles!



# Observers along Killing vectors

- Global effect: equivalence principle does not apply
- Depends on whole history of observer

## Lemma 3

There is an observer moving along a Killing vector  $\mathbf{k}$  who will see excitations if and only if there exists at least one solution  $u$ :  $\frac{g_{\xi\xi}(\mathbf{x})}{|g_{tt}(\mathbf{x})|} < \frac{m^2}{\omega_m^2}$

- Minkowski inertial:  $\mathbf{k} = \partial_x$ ,  $\frac{g_{xx}}{|g_{tt}|} = 1 \geq \frac{|k_x|^2}{\omega^2}$   $u \sim e^{i\vec{k}\vec{x}}$
- Minkowski space: All inertial observers do not encounter particles.

# General observers

- Transition rate not constant:  
$$\frac{dF_E}{d\tau} = 2\text{Re} \int_{-\infty}^0 d\tau' e^{-iE\tau'} D^+(\mathbf{x}(\tau + \tau'), \mathbf{x}(\tau))$$
  
→ can not use residuum theorem
- How to handle pole at  $\tau' = 0$ ?
- Expansion:  $D^+(\mathbf{x}(\tau'), 0) = -\frac{1}{4\pi^2\tau'^2} + W(\tau')$
- $\frac{1}{\tau'^2}$  term does not contribute
- $W(\tau')$  is non-singular

- 1 QFT in curved spacetime
- 2 Static spacetimes and equivalence principle
- 3 Black holes
- 4 Conclusion

# Black holes

- Consider star
- $ds^2 = -f(r) dt^2 + \frac{1}{f(r)} dr^2 + r^2 d\Omega$  where  $f(r) = 1 - 2M/r$
- QFT in vacuum state
- Collapse to black hole: thermal state with temperature  $\beta_H = 8\pi M$
- What spectrum will observers see before and after the collapse?

## Vacuum Wightman function

$$D^+(\mathbf{x}, \mathbf{x}') \approx -\frac{1}{4\pi^2 \sqrt{f(r)f(r')}} \frac{1}{(t-t'-i\epsilon)^2 - r_*^2 - r'^2 + 2r_* r'_* \cos \alpha} \quad r > 200M$$

- Tortoise coordinate:  $r_* = r + 2M \ln \frac{r-2M}{2M}$

# Circular Observer before collapse

- Circular observer:  $r = \text{const}$ ,  $t = A\tau$ ,  $\phi = B\tau$
- $D^+(\mathbf{x}(\tau), \mathbf{x}(\tau')) = -\frac{1}{4\pi^2 f(r)} \frac{1}{(A(\tau-\tau') - i\epsilon)^2 - 2r_*^2(1 - \cos B(\tau-\tau'))}$
- $\frac{dF_E}{d\tau} \sim e^{-E/Bx_0}$
- Problem at high velocities: Poles on real axis  $\rightarrow$  not possible
- Approximation only valid for  $r^2 \approx f(r)r_*^2$  ( $r > 200M$ )

# After collapse

1. Find thermal Wightman function
2. How to determine observed temperature?
3. Apply on different observers:
  - static
  - circular geodesic
  - infalling geodesic ( $E = m$ )

# Thermal Wightman function

- Thermal Wightman function:

$$\begin{aligned}
 D_{\beta}^{+}(t(\tau')) &= \sum_{n=-\infty}^{\infty} D^{+}(t(\tau') - i\beta n) \\
 &= \sum_{n=-\infty}^{\infty} -\frac{1}{4\pi^2(\tau' - i\beta\sqrt{a}n)^2} + W(\tau'(t - i\beta n)) \\
 &= -\frac{1}{4\beta^2 a} \frac{1}{\sinh^2\left(\frac{\pi}{\beta\sqrt{a}}\tau'\right)} + W_{\beta}(\tau')
 \end{aligned}$$

- Static observers:  $T = \frac{T_0}{\sqrt{a}}$  (Tolman relation)
- General: Corrections from  $W_{\beta}(\tau')$ 
  - temperature shift?
  - non thermal?

# Determining the temperature

- Expect thermal spectrum + non thermal spectrum
- Fit temperature: Minimize  $\int_0^\infty dE \left| \frac{dF_E}{d\tau} - \left( \frac{dF_E}{d\tau} \right)_\beta \right|^2$

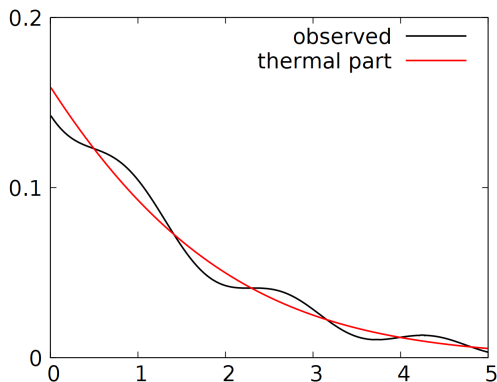


Figure: Observed and thermal spectrum



# Determining the temperature

- Minimize instead  $\int_{-\infty}^0 d\tau' \left| D^+(\mathbf{x}(\tau + \tau'), \mathbf{x}(\tau)) - D_{\beta}^M(\tau') \right|^2$
- Expect small shift:  
 $D_{\beta}^M(\tau') \approx D_{\beta_H}^M(\tau') + \alpha g(\tau')$  where  $\alpha = \frac{\Delta\beta}{\beta_H} = -\frac{\Delta T}{T_H}$
- Minimize  $\int_{-\infty}^0 d\tau' |h(\tau') - \alpha g(\tau')|^2$   
 where  $h(\tau') = D^+(\mathbf{x}(\tau + \tau'), \mathbf{x}(\tau)) - D_{\beta_H}^M(\tau')$

$$\alpha = \frac{\int_{-\infty}^0 d\tau' h(\tau') \cdot g(\tau')}{\int_{-\infty}^0 d\tau' g(\tau')^2}$$

# Static observer

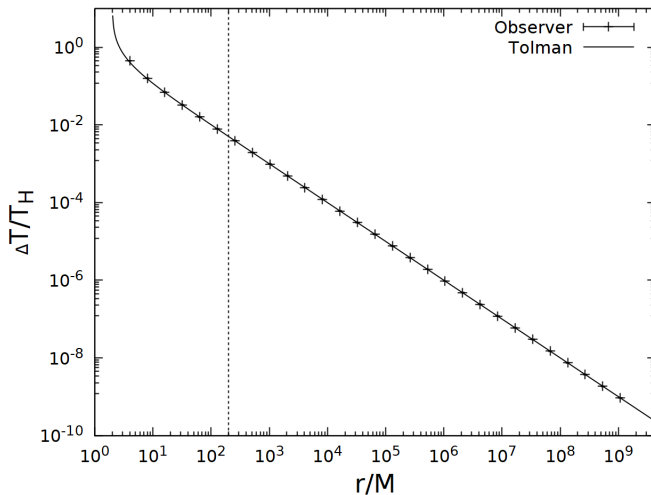


Figure: Relative temperature shift

# Static observer

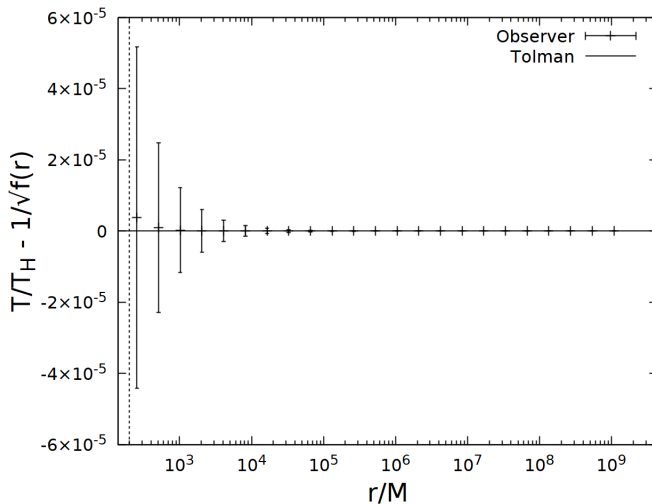


Figure: Difference to Tolman relation

# Circular observer

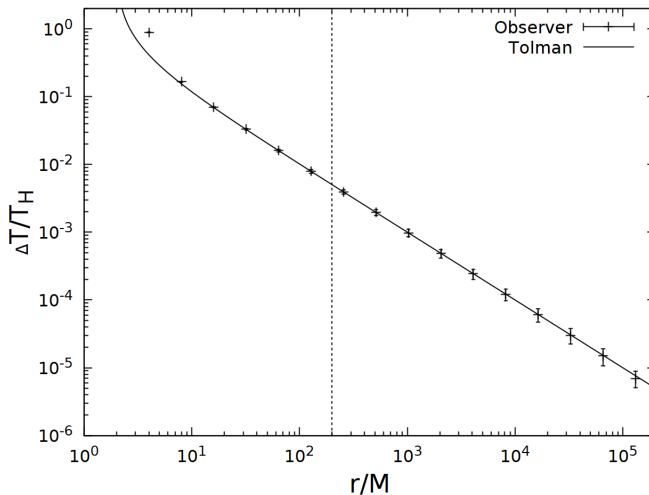


Figure: Relative temperature shift

# Circular observer

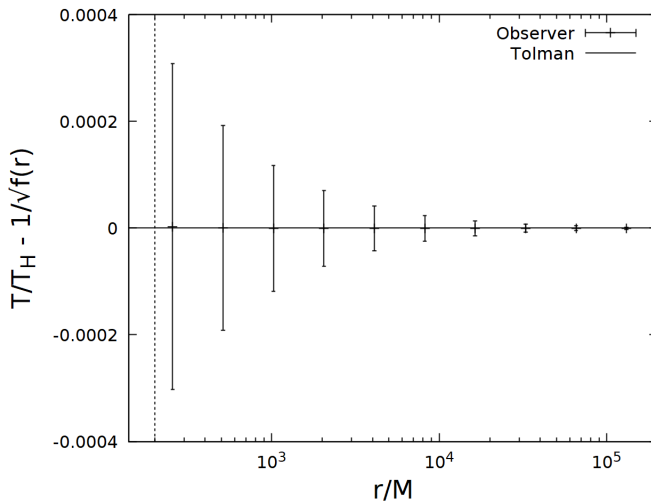


Figure: Difference to Tolman relation

# Infalling radial observer

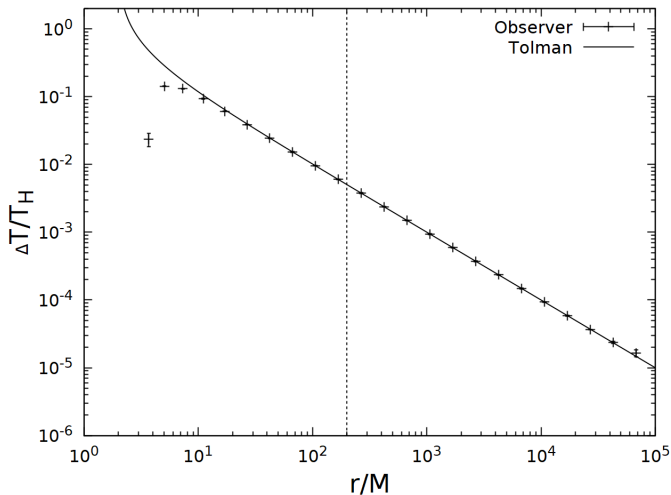


Figure: Relative temperature shift

# Infalling radial observer

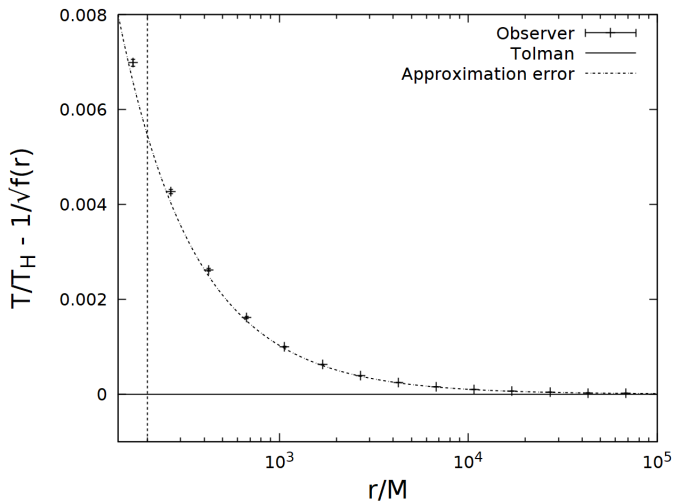


Figure: Difference to Tolman relation

# Conclusion

- Equivalence principle not applicable
  - Approximated  $D^+$  for  $r > 200M$
  - Determined the temperature out of a spectrum
  - For all observers temperature follows Tolman relation
  - Deviations not significant enough
- need better approximation



# Sources



S. W. Hawking. “Particle creation by black holes”. In: *Communications in Mathematical Physics* 43.3 (1975), pp. 199–220. ISSN: 1432-0916. DOI: 10.1007/BF02345020. URL: <https://doi.org/10.1007/BF02345020>.



Davies Birrell. *Quantum fields in curved space*. Cambridge University Press, 1982.

# Hawking Radiation as Seen by Observers

Bachelor thesis

Friedrich Hübner  
Universität Bonn

September 6, 2018

# Solutions of Klein-Gordon equation [2]

- $u_{\omega lm} = A e^{-i\omega t} \frac{R_{\omega l}}{r} Y_l^m(\theta, \varphi)$
- $\frac{d^2 R_{\omega l}}{dr_*^2} + \omega^2 R_{\omega l} - \left( \frac{l(l+1)}{r^2} + \frac{f'(r)}{r} \right) f(r) R_{\omega l} = 0$
- Asymptotic:  $R_{\omega l} = e^{\pm i\omega r_*}$   $r_* = r + 2M \ln \frac{r-2M}{2M}$
- $u_{\omega lm} \approx \frac{1}{\sqrt{\pi\omega}} e^{-i\omega t} \frac{\sin(\omega r_* - l\frac{\pi}{2})}{r} Y_l^m(\theta, \varphi)$

# Wightman function

- Wightman function:  $D^+(\mathbf{x}, \mathbf{x}') =$   

$$\int_0^\infty \frac{d\omega}{\pi\omega} \sum_{l,m} e^{-i\omega(t-t')} \frac{\sin(\omega r_* - l\frac{\pi}{2})}{r} \frac{\sin(\omega r'_* - l\frac{\pi}{2})}{r'} Y_l^m(\theta, \varphi) Y_l^{m*}(\theta', \varphi')$$
- Problems:
  - IR divergence:  $\int_0^\infty \frac{d\omega}{\pi\omega} e^{i\omega\ldots}$
  - Angular dependence:  $\sum_{l,m} Y_l^m(\theta, \varphi) Y_l^{m*}(\theta', \varphi') \sim \delta(\theta - \theta')\delta(\varphi - \varphi')$

# Intermezzo: Minkowski space spherical modes

- $u_{\omega,l,m}^M = \frac{\sqrt{\omega}}{\sqrt{\pi}} e^{-i\omega t} j_l(\omega r) Y_l^m(\theta, \varphi)$
- Asymptotic:  $u_{\omega,l,m}^M \rightarrow \frac{1}{\sqrt{\pi\omega}} e^{-i\omega t} \frac{\sin(\omega r - l\frac{\pi}{2})}{r} Y_l^m(\theta, \varphi)$
- Wightman function:  $D^+(\mathbf{x}, \mathbf{x}')$   
 $\rightarrow \int_0^\infty \frac{d\omega}{\pi\omega} \sum_{l,m} e^{-i\omega(t-t')} \frac{\sin(\omega r - l\frac{\pi}{2})}{r} \frac{\sin(\omega r' - l\frac{\pi}{2})}{r'} Y_l^m(\theta, \varphi) Y_l^{m*}(\theta', \varphi')$

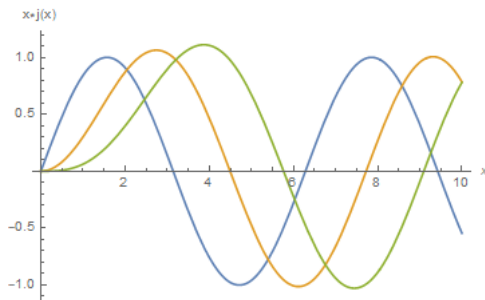


Figure: Spherical Bessels:  $x \cdot j_l(x)$

- Replace  $\frac{\sin(\omega r_* - l \frac{\pi}{2})}{\omega r} \approx F(r) j_l(\omega r_*)$
- Fix  $F(r)$  for limit  $\mathbf{x} \rightarrow \mathbf{x}'$

## Wightman function

$$D^+(\mathbf{x}, \mathbf{x}') \approx -\frac{1}{4\pi^2 \sqrt{f(r)f(r')}} \frac{1}{(t-t'-i\epsilon)^2 - r_*^2 - r'^2 + 2r_* r'_* \cos \alpha}$$

- Static observer:  $r = \text{const}, \alpha = 0$ 
  - $D^+(\mathbf{x}(\tau), \mathbf{x}(\tau')) = -\frac{1}{4\pi^2} \frac{1}{(\tau - \tau' - i\epsilon)^2}$
  - No particles