Biologically Inspired Computation (F20BC/F21BC) Coursework

This assessment is worth 50% of your course mark

Due: 3:30pm, Monday 23th November 2020 (Week 11)

Overview

This assessment aims to increase your understanding of both artificial neural networks (ANNs) and particle swarm optimisation (PSO), two biologically-inspired techniques which are covered in the course. It involves implementing both an ANN and PSO from scratch, and experimentally investigating how PSO can be used to optimise an ANN to carry out a specified task. Please read through the following important points before you begin:

- In order to encourage discussion of the topic, the assessment involves working in pairs (i.e., 2 people). Please choose your own partner from the same level (i.e., an undergraduate student cannot be paired with an MSc student) and inform us of this choice by the end of week 3. You must choose one partner, no collaboration beyond that is allowed. For Edinburgh students, you should communicate your partner name to Dr Wei Pang (w.pang@hw.ac.uk), and For Dubai students, please tell Dr Mohammad Hamdan (M.Hamdan@hw.ac.uk) at most by 05/10/2020 (Monday) via email with the subject: "F20/F21BC 2020 CW PARTNER" including both names, surnames, student ID, and email addresses. No changes will be allowed after that date.
- If you are unable to find a partner, please let us know, and we will attempt to match you up with another student. Each member of a pair should contribute equally, and we will be asking you to assess the contribution of your peer when you hand-in the work.
- You do not need to wait until we have covered all the related topics in the lectures to start working on your project. ANNs will be covered in the first half of the course. PSO will be covered in the first week (Week 7) of the second part of the course.

This is assessed coursework. You are allowed to discuss this assignment with students outside of your pair, but you should not copy their work, and you should not share your own work with other students. Plagiarism is not acceptable. Heriot-Watt's plagiarism policy is here https://www.hw.ac.uk/students/studies/examinations/plagiarism.htm We will be carrying out automated plagiarism checks on both code and text submissions.

Special note for re-using existing code. If you are re-using code that you have not yourself written, then this must <u>clearly</u> be indicated, making clear which parts were not written by you and clearly stating where it was taken from. If your code is found elsewhere by the person marking your work, and you have not mentioned this, you may find yourself having to go before a disciplinary committee and face grave consequences.

Late submission and extensions. Late submissions will be marked according to the university's late submissions policy, i.e. a 30% deduction if submitted within 5 working days of the deadline, and a mark of 0% after that. The deadline for this work is not negotiable. If you are unable to complete the assignment by the deadline due to circumstances beyond your control (e.g. illness or family

bereavement), you should complete and submit a mitigating circumstances application: https://www.hw.ac.uk/students/studies/examinations/mitigating-circumstances.htm

Detailed Description

What you are asked to do:

- 1. Implement a multi-layer ANN architecture
- 2. Implement a PSO algorithm
- 3. Use the PSO algorithm to optimise the ANN's parameters
- 4. Investigate how hyperparameters effect the ability of PSO to optimise ANNs
- 5. Write a report and submit both this and your code to VISION
- 6. Sign the "Coursework Group Signing Sheet" and hand it in together with your report

These tasks are described in more detail below. Implementation should be done using a language of your choice (e.g. Java, Python, Matlab, C, C++). The aim is for you to learn how to implement biologically-inspired approaches from scratch, so you should **not** use existing ANN or PSO libraries.

1. Implement a multi-layer ANN architecture

You should implement a simple feedforward multilayer architecture. Both the number of neurons in each layer and the number of layers should be configurable. You do not need to implement any classical training algorithms, e.g. backpropagation, since you will be using PSO to do the training. Here is the list of activation functions that should be used, though you may also investigate other suitable functions:

Number	Activation function name	Equation	
0	Null	0	
1	Sigmoid	1	
		$1 + \exp(-x)$	
2	Hyperbolic Tangent	tanh(x)	
3	Cosine	cos(x)	
4	Gaussian	$\exp\left(-\frac{x^2}{2}\right)$	

2. Implement a PSO algorithm

You should implement a PSO algorithm. You might find the pseudocode in the book "Essentials of Metaheuristics" useful for this task: https://cs.gmu.edu/~sean/book/metaheuristics/
Here are some points to bear in mind:

- Each particle should have a group of informants (i.e. not just the population best). How you choose these is up to you; for instance, they could be randomly allocated at initialisation. You might want to compare different strategies for allocating informants.
- There are lots of variants of PSO. Whilst you are not expected to be aware of all of these, you are encouraged to read about PSO and experiment with different approaches.

3. Use the PSO algorithm to optimise the ANN's parameters

The problem domain for this work is function approximation, i.e. given a function y = fn(x), find an ANN that implements this function. In principle, there exists an ANN that can implement any mathematical function; however, the challenge lies in finding it. You will be using PSO to do this. Each group will develop a program to evolve an MLP (Mutli-Layer Perceptron) artificial neural network for a task of **function approximation**. The functions to be approximated are named: linear, cubic, sine, tanh, xor and complex. Data will be provided separately for each function on a .txt file format.

Function name	Equation		
linear	y = x		
cubic	$y = x^3$		
sine	$y = \sin(x)$		
tanh	tanh(x)		
xor	$y = x_1 \oplus x_2$		
complex	$y = 1.9 \left\{ 1.35 + e^{x_1 - x_2} \sin \left[13(x_1 - 0.6)^2 \right] \sin \left[7x_2 \right] \right\}$		

Here are some points to bear in mind:

- Standard PSO represents solutions as a vector of floating-point values. Consequently, the parameters of your ANN's architecture (connection weights, neuron activation functions etc.) need to be encoded as a vector of floating-point values.
- To evaluate how well an ANN implements a particular function, you should use the provided data file (data.zip) containing the (x,y) pairs and measure how close the ANN's output is to y for each value of x. The program should record data that allows you to later visualise the graphs of:
 - 1) the desired output and the ANN output for each function
 - 2) the mean squared error

(MSE) =
$$\frac{1}{n} \sum_{i=1}^{n} (d_i - u_i)^2$$

where:

n – max number of samples

d – desired output

u – output of a neuron on the output layer

- Each time a solution is evaluated in PSO, the values in the vector should be used to set the parameters of the ANN, and the ANN should then be evaluated against the (x,y) pairs from the function that is being approximated.
- Although there are versions of PSO that can handle variable-length vectors, you are not
 expected to know about these. Consequently, the architecture of the ANN (i.e. the number
 of layers and neurons) should be specified at the beginning of a PSO run and remain fixed.

4. Investigate how hyperparameters effect the ability of PSO to optimise ANNs

PSO has a number of hyperparameters (e.g. the population size, the number of informants per particle, the acceleration coefficients) and these all have an effect upon the algorithm's behaviour. ANN architectures also have hyperparameters (e.g. number of layers, choice of activation functions), and these all affect how easy it is to train the ANN to implement a particular behaviour. For this part of the coursework, you should carry out an experimental investigation of how these hyperparameters effect the ability of PSO to optimise ANNs for the function approximation task. Here are some points to bear in mind:

- You can begin by informally trying out different values for the various hyperparameters and getting a feel for how much they effect the results.
- Pick three or four hyperparameters which you think have significant effect and then carry out a more formal experimental investigation.
- Pick a sensible range of values for each hyperparameter that you investigate. This could be guided by values you find in books, published papers etc.
- PSO is a stochastic algorithm, meaning that for the same problem and hyperparameter values, you will likely get different results each time you run it. Therefore, the distribution of results across a series of runs is more informative than the result of a single run. For example, it is common to give the mean result across at least 10 repeated runs.

5. Write a report and submit both this and your code to Vision

Your report should:

- Be no more than <u>6 pages</u> in length (max of 3,000 words). You should take this into account
 when planning your experiments. If you have more results than you have space for, then pick
 the results that you think are most insightful and briefly mention which other experiments you
 carried out.
- Briefly describe your implementations of ANN and PSO, noting any interesting aspects.
- Your report should contain the following sections:
 - 1-Introduction
 - 2-Program development rationale
 - 3- Methods
 - 4- Results
 - 5- Discussion and Conclusion
 - 6- References
- Report the results of your experimental investigation. For instance, you might use tables that show the average results achieved for each function approximation problem, and plots that show how hyperparameter values effect these averages.
- Referring to these results, discuss how the various hyperparameters affected the performance of your implementations of ANNs and PSO, and say why you think this is the case.
- Include useful references to the wider literature. For instance, you might use references to books or papers to justify particular implementation choices, or you could compare your findings to those reported elsewhere. Use standard referencing styles for this.

You should submit both your report (as a **pdf** file) and your code (as a **zip** file) to Vision using the links provided. Grading will use the assessment criteria given in the table below.

6. Sign the "Coursework Group Signing Sheet" and hand it in together with your report

You will find the Coursework Group Signing Sheet paper on VISION under <Assessment>.

NOTE: No marks will be issued until we have this signed copy

(*) **FOR MASTER STUDENTS ONLY:** Master students should do all tasks described in this document plus handing in their report on **6 pages** conference style paper format following an IEEE conference template that can be found @ VISION under <Assessment>.

Marking scheme for F20BC Students: This assessment scores 50% of the overall course assessment.

Criteria	Weight	A (70-100%)	В (60-69%)	C (50-59%)	D (40-49%)	E/F (<40%)
Implementation (i.e. code for ANN and PSO, and evaluation, comments and documentation)	45%	Creative implementations of ANN and PSO that exceed the basic requirements. Correct evaluation code. Easy to read and well structured.	Correct implementations of the basic requirements. Generally good coding, structure and documentation.	Some significant issues in terms of correctness, structure, coding practice and documentation.	Major issues in terms of correctness, structure, coding practice and documentation.	Critical errors: for example, the code does not compile and/or run, or inappropriate algorithms have been implemented.
Experimental study (i.e. choice and validity of experiments performed, presentation of results)	20%	Hyperparameters investigated are well motivated and their values are well chosen. Suitable results have been collected and are clearly presented and meaningful.	Some minor issues in terms of the motivation or description of hyperparameters, the experiments performed, or the presentation of results.	Some significant issues in terms of the motivation or description of hyperparameters, the experiments performed, or the presentation of results.	Some major issues: experiments do not make sense, have invalid results, or the study is not adequately described.	
Wider discussion (i.e. intro, interpretation of results, conclusions, use of the wider literature)	20%	Clear, insightful discussion that shows a good understanding of ANNs and PSO and includes well chosen references to the wider literature.	Generally clear and insightful, but shows some misunderstanding of ANNs and PSO. Adequate use of the wider literature.	The discussion is limited in terms of the depth or volume of understanding it demonstrates. Little or no use of the wider literature.	Some major issues in terms of depth or volume of understanding. No use of the wider literature.	No real demonstration that the subject matter has been understood, or very limited in its scope.
Report (i.e. structure, language, referencing etc.)	15%	Report is well structured and divided into sections; good use of language; consistent use of font Arial, size 12; perfect use of Harvard referencing style	Report is suitably structured and divided into sections; mostly good use of language; use of font Arial, size 12; use of Harvard referencing style	Report is structured but not divided into sections; language issues that affect readability; inconsistent use of fonts and sizes; mixed use of referencing styles	Report is poorly structured; substantial language issues that affect readability; use of different fonts and sizes; no referencing style	Report has a nonsensical structure; language issues make it very hard to read; use of different fonts and sizes; no referencing style

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Marking scheme for F21BC Students (i.e., Master Students): This assessment scores 50% of the overall course assessment.

Criteria	Weight	A (70-100%)	B (60-69%)	C (50-59%)	D (40-49%)	E/F (<40%)
Implementation	40%	Creative	Correct	Some significant	Major issues in	Critical errors: for
(i.e. code for ANN		implementations of	implementations of	issues in terms of	terms of	example, the code
and PSO, and		ANN and PSO that	the basic	correctness,	correctness,	does not compile
evaluation,		exceed the basic	requirements.	structure, coding	structure, coding	and/or run, or
comments and		requirements.	Generally good	practice and	practice and	inappropriate
documentation)		Correct evaluation	coding, structure	documentation.	documentation.	algorithms have
		code. Easy to read	and			been implemented.
		and well	documentation.			
		structured.				
Experimental	20%	Hyperparameters	Some minor issues	Some significant	Some major issues:	Some critical
study		investigated are	in terms of the	issues in terms of	experiments do not	issues:
(i.e. choice and		well motivated and	motivation or	the motivation or	make sense, have	experimental study
validity of		their values are	description of	description of	invalid results, or	is nonsensical or
experiments		well chosen.	hyperparameters,	hyperparameters,	the study is not	missing, the
performed,		Suitable results	the experiments	the experiments	adequately	experiments are
presentation		have been	performed, or the	performed, or the	described.	inappropriate, or
of results)		collected and are	presentation of	presentation of		the description of
		clearly presented	results.	results.		the study is
		and meaningful.				uninformative.
Wider discussion	25%	Clear, insightful	Generally clear and	The discussion is	Some major issues	No real
(i.e. intro,		discussion that	insightful,	limited in terms of	in terms of depth	demonstration that
interpretation of		shows a good	but shows some	the depth or	or volume of	the subject matter
results,		understanding of	misunderstanding	volume of	understanding. No	has been
conclusions, use		ANNs and PSO and	of ANNs and PSO.	understanding it	use of the wider	understood, or
of the wider		includes well	Adequate use	demonstrates.	literature.	very limited in its
literature)		chosen references	of the wider	Little or no use of		scope.
		to the wider	literature.	the wider		
		literature.		literature.		
Report	15%	Report is well	Report is suitably	Report is	Report is poorly	Report has a
(i.e. structure,		structured and	structured and	structured but not	structured;	nonsensical
language,		divided into	divided into	divided into	substantial	structure; language
referencing etc.)		sections; good use	sections; mostly	sections; language	language issues	issues make it very
		of language;	good use of	issues that affect	that affect	hard to read; use
		consistent use of	language; use of	readability;	readability; use of	of different fonts
		font Arial, size 12;	font Arial, size 12;	inconsistent use of	different fonts and	and sizes; no
		perfect use of the	use of IEEE paper	fonts and sizes;	sizes; no	referencing style
		IEEE paper	template	mixed use of	referencing style	
		template	referencing style	referencing styles		
	1	referencing style		I	I and the second se	I