# 0.1 Evaluation of experimental design

- clear result presentation
- explain problems and difficulties
- demonstrate understanding of results
- discuss further work

#### 0.1.1 Pipeline Development challenges

In order to accomplish Objective O0 before building the pipeline we manually ran each discrete part of the system (Distiller, and OpenVino). This immediately highlighted a critical issue; Distiller by default only zeros out the weights when pruning, it doesn't actually remove them from the model so unless a special flag is used with Distiller called 'thinnify' there is no measurable benefit to pruning, this issue is exacerbated by the fact that not all pruning algorithms have been implemented to work with 'thinnify' and there is little to no documentation on which are compatible. A trial and error approach was taken to find pruning algorithms with a working implementation of 'thinnify', we systematically tested each of the pruning algorithms that used coarse grained pruning and eventually identified the algorithm described in Section ?? as fully functional with 'thinnify'.

Once we had a verifiable improvement in latency from the 'no-pruning' baseline described in Section ??, we proceeded to develop the pipeline according to Objective O2. Setting up the benchmarking and model queue was very straight forward, for the queue we simply pulled down a docker image of Redis and ran it. The OpenVino benchmarking tool was very easy to integrate into the pipeline, we simply cleared and then wrote the relevant arguments to <code>sys.argv</code> (pythons array of command line arguments), called the main method of the benchmarking tool inside OpenVino, and captured the output.

Development of the Pruning & retraining part of the pipeline was considerably more challenging, we encountered a couple of issues that we resolved on our own <u>fork of Distiller</u>; the namespace of the python standard library <u>parser</u> module is shadowed by distiller which caused issues with our

implementation, we also resolved an issue where Distiller would not correctly construct the path to model checkpoints once they were thinnified. We had persistent issues capturing the output of Distiller (and therefore the location of the models and checkpoint files) because it uses a custom logging solution that overwrites any redirects from the standard output, we resorted to using a file watcher to detect the location of checkpoint files and exported models.

#### 0.1.2 Hardware and Software

Both the pruning and benchmarking software runs on Ubuntu 20.04, we used various hardware configurations for the pruning and retraining agents. The benchmarking system was fixed for the duration of the project; a KVM virtual machine, 4 cores/8 threads from a Ryzen 3960X, 8Gb RAM, and the Intel Neural Compute Stick was plugged into a USB controller that was passed through to the VM. Originally the benchmarking system was combined with the pruning system, however due to library and python version compatibility issues we had to run OpenVino and Distiller independently this naturally led us to split the system across multiple machines with Redis as a message queue.

## Pruning & retraining software — library specification

- Python 3.7.10
- Distiller A python package for neural network compression research
- wandb Weights and biases logging library
- PyYaml Tools to read and write .yaml files
- Watchdog Monitoring of file system events
- Redis-py A python interface to a Redis server

#### Benchmarking software — library specification

- Python 3.8.5
- OpenVino Deep neural network deployment toolkit
- Pandas Data analysis tool

• Redis-py — A python interface to a Redis server

## 0.2 Evaluation of results

## 0.2.1 Experiment 1: Targeting Latency with no retraining

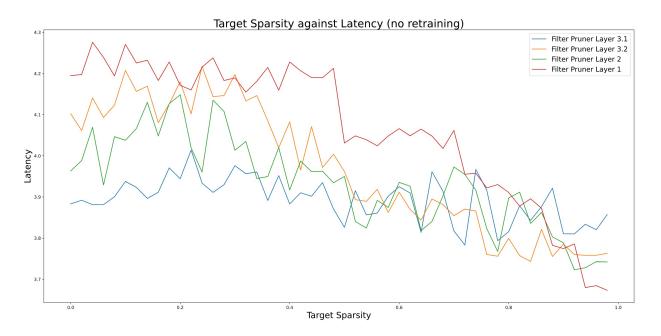


Figure 1: Each pruner target sparsity plotted against mean Latency per bin.

As discussed in section ?? for this experiment we set the training epochs to 0 and set the target metric to minimize latency. During this phase of the experiment we gathered data to observe how pruning would affect latency, this was useful as an initial proof of concept. This phase of the experiment was very time efficient, we were able to perform 1631 runs with around 18 hours of compute time; each run usually lasted between 24-55 seconds. Figure 1 shows the mean Latency computed by using equal width binning, where each bin represents parameter values inside each discretized 0.02 range between 0.0 and 1.0. This chart does obfuscate any relationship between the parameters, however we can see how the filter pruner on Layer 3.2 (red) plots a more dramatic change in latency than the Pruner on Layer 1 (blue), this is also supported by computing the correlation between these values, see Table 1, where 'Filter Pruner Layer 3.2' has a strong negative correlation with Latency indicating that increasing the desired sparsity results in an increased tendency to observe a lower latency than 'Filter Pruner Layer 1'.

Metric	Filter Pruner	Filter Pruner	Filter Pruner	Filter Pruner
	Layer 1	Layer 2	Layer 3.1	Layer 3.2
Latency Top1	-0.80726 $-0.152767$	-0.40775 $-0.104505$	-0.11259 $0.004462$	-0.552583 $-0.071923$

Table 1: Correlations between each target sparsity parameter and the metric being measured.

We found that the degree to which we prune was not at all indicative of the resulting accuracy of the network before retraining, for example we observed networks with low desired sparsity across the board that had a much lower Top1 accuracy, than networks that were pruned with much higher targets (See models 523, 1007). It is interesting to note how weakly the pruning targets correlate with Top1 accuracy, this indicates that the relationship between accuracy and pruning is more complex than the naïve perception that pruning less has a smaller accuracy impact. Observation of this weak correlation with Top1 prompted us to begin logging this initial set of metrics in addition to the metrics we logged as described in the Experimental Design Section ?? for experiments following this, we watched this data in the event that some pattern that might be indicative of how well a pruned network can recover accuracy before retraining has begun will emerge.

We observed a case where pruned networks that start with a Top1 accuracy of precisely 100/n where n is the number of classes would (according to our data) never recover any accuracy during retraining. Due to the stochastic nature of retraining and the pruning algorithm we selected coupled with the fact that our methodology necessitated changing the pruning parameters each run we were unable to identify any other pattern or relationship between initial pruning metrics and the metrics of successful or high quality retrained networks from the data we gathered. Future investigation in this area should benchmark after every epoch with the intention of gaining some insight into how these metrics change over the retraining process, ideally we would aim to project how well a network might recover during the early stages of retraining, allowing us to make an informed decision on whether to abandon the model and try again or not.

#### 0.2.2 Experiment 2: Targeting Latency

Metric	Filter Pruner	Filter Pruner	Filter Pruner	Filter Pruner
	Layer 1	Layer 2	Layer 3.1	Layer 3.2
Latency Top1	-0.827473 $-0.804794$	-0.500734 $-0.451589$	-0.138894 $-0.086224$	-0.503688 $-0.441157$

Table 2: Correlations between each target sparsity parameter and the metric being measured.

As part of Objective O6 we extended the first experiment and introduced 70 epochs of retraining to the system. At this stage we observed an interesting relationship between the retraining process and a further reduction in latency; the lowest latency run without retraining was 3.277ms, out of the 256 successful runs with retraining in this experiment 12 were below the lowest latency from the first experiment. This experiment managed to beat the best latency from the first experiment in 131 runs, however these 131 runs took approximately 36 hours of compute time compared to the (approximately) 4.5 hours it took the first experiment to achieve its best latency. The lowest latency model (Model 182) we recorded achieved an inference latency of 3.091ms after retraining, this same model logged a latency of 3.529ms before retraining, approximately 75% of models in this experiment lost between 0.1ms and 0.4ms latency during retraining, the models that gained latency also tended to have a higher initial latency. Despite the additional latency reduction as a result of retraining, the correlation (Table 2) between each pruner and latency remains consistent with our initial observations from the first experiment (Table 1), this lends some reliability to our results.

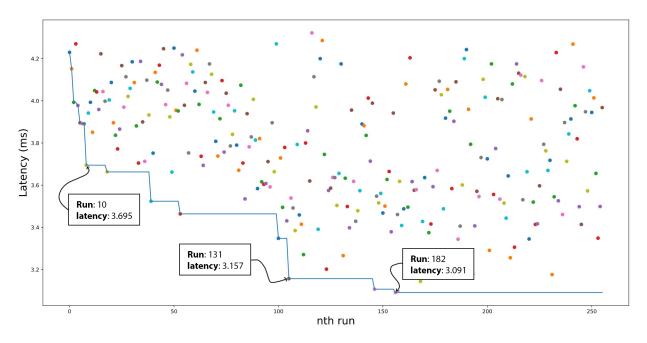


Figure 2: Experiment 2. Number of runs against Latency

# 0.2.3 Experiment 3: Targeting Top1

Metric	Filter Pruner Layer 1	Filter Pruner Layer 2	Filter Pruner Layer 3.1	Filter Pruner Layer 3.2
_	-0.764499	-0.18715	-0.143377	-0.378644
Top1	-0.661244	-0.213514	-0.066348	-0.283732

Table 3: Correlations between each target sparsity parameter and the metric being measured.

In this experiment the focus is on Top1 accuracy, similarly to Experiment 2 we use 70 epochs for retraining, and a learning rate of 0.1. In table 3 we see the Bayesian optimisation algorithm's effectiveness, after just 17 runs we find a Top1 of 88.52 already very close to the global best Top1 of 88.77 found after 1 in this experiment

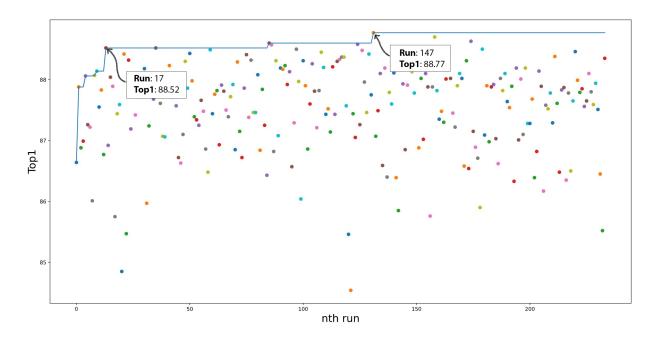


Figure 3: Experiment 3. Number of runs to achieve best Top1 accuracy.

## 0.2.4 Comparisons against baseline

### Interesting observations

- The models that lost all predictive power due to overpruning were not the fastest, even when targeting only latency.
- The relationship between more pruning and lower latency is not as simple as you get a faster model with fewer tensors
- When targeting accuracy we found models with as low latency when targeting latency directly.
- When targeting latency we found models with as high accuracy as when targeting accuracy directly.
- Surprising to see that retraining reduces the latency also

# 0.3 Further work

• Suggested improvements for methodology

- Next steps
- More datasets need to be tested
- More models should be used
- Layer selection should be automated
- how well does this system generalise?
- Further investigation should look at a relationship between higher accuracy models after only pruning and how they recover vs low accuracy models after pruning
- Why are there so few completely ruined networks before retraining compared to after retraining?
- Using this data to train a reinforcement learning dnn

Further investigation to identify which untrained pruned models will respond well to retraining (particularly with one-shot pruning methods) would be valuable because retraining is expensive and one-shot pruning is (comparatively) cheap. This could help inform researchers or users of pruning systems weather they should try and prune again or