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# **Working with Categorical Data**

{ch:working}

Creating and manipulating categorical data sets requires some skills and techniques in R beyond those ordinarily used for quantitative data. This chapter illustrates these for the main formats for categorical data: case form, frequency form and table form.

I'm a tidy sort of bloke. I don't like chaos. I kept records in the record rack, tea in the tea caddy, and pot in the pot box

George Harrison, from

http://www.brainyquote.com/quotes/keywords/tidy.html

Categorical data can be represented as data sets in various formats: case form, frequency form, and table form. This chapter describes and illustrates the skills and techniques in R needed to input, create, and manipulate R data objects to represent categorical data. More importantly, you also need to be able to convert these from one form to another for the purposes of statistical analysis and visualization, which are the subject of the remainder of the book.

As mentioned earlier, this book assumes that you have at least a basic knowledge of the R language and environment, including interacting with the R console (Rgui for Windows, R.app for Mac OS X) or some other editor/environment (e.g., R Studio), loading and using R functions in packages (e.g., library (vcd)) getting help for these from R (e.g., help (matrix)), etc. This chapter is therefore devoted to covering those topics needed in the book beyond such basic skills.<sup>1</sup>

¹Some excellent introductory treatments of R are: Fox and Weisberg (2011, Chapter 2), Maindonald and Braun (2007), and Dalgaard (2008). Tom Short's *R Reference Card*, http://cran.us.r-project.org/doc/contrib/Short-refcard.pdf, is a handy 4-page summary of the main functions. The web sites Quick-R http://www.statmethods.net/ and Cookbook for R http://www.cookbook-r.com/provide very helpful examples, organized by topics and tasks.



**Figure 2.1:** Principal data structures and data types in R. Colors represent different data types: numeric, character, logical.

# 2.1 Working with R data: vectors, matrices, arrays, and data frames

{sec:Rdata}

{fig:datatypes}

R has a wide variety of data structures for storing, manipulating, and calculating with data. Among these, vectors, matrices, arrays, and data frames are most important for the material in this book.

In R, a *vector* is a collection of values, like numbers, character strings, or logicals (TRUE, FALSE), and often correspond to a variable in some analysis. **Matrices** are rectangular arrays like a traditional table, composed of vectors in their columns or rows. **Arrays** add additional dimensions, so that, for example, a 3-way table can be represented as composed of rows, columns, and layers. An important consideration is that the values in vectors, matrices, and arrays must all be of the same *mode*, e.g., numbers or character strings. A *data frame* is a rectangular table, like a traditional data set in other statistical environments, and composed of rows and columns like a matrix, but allowing variables (columns) of different types. These data structures and the types of data they can contain are illustrated in Figure 2.1. A more general data structure is a *list*, a generic vector that can contain any other types of objects (including lists, allowing for *recursive* data structures). A data frame is basically a list of equally sized vectors, each representing a column of the data frame.

## 2.1.1 Vectors

The simplest data structure in R is a *vector*, a one-dimensional collection of elements of the same type. An easy way to create a vector is with the c () function, which combines its arguments. The following examples create and print vectors of length 4, containing numbers, character strings, and logical values, respectively:

```
> c(17, 20, 15, 40)
[1] 17 20 15 40
> c("female", "male", "female", "male")
[1] "female" "male" "female" "male"
> c(TRUE, TRUE, FALSE, FALSE)
[1] TRUE TRUE FALSE FALSE
```

To store these values in variables, R uses the assignment operator (<-) or equals sign (=). This creates a variable named on the left-hand side. An assignment doesn't print the result, but a bare expression does, so you can assign and print by surrounding the assignment with ().

Other useful functions for creating vectors are:

- The : operator for generating consecutive integer sequences, e.g., 1:10 gives the integers 1 to 10. The seq() function is more general, taking the forms seq(from, to), seq(from, to, by= ), and seq(from, to, length.out= ) where the optional argument by specifies the interval between adjacent values and length.out gives the desired length of the result.
- The rep() function generates repeated sequences, replicating its first argument (which may be a vector) a given number of times, and individual elements can be repeated with each until an optional length.out is obtained.

```
> seq(10, 100, by = 10)  # give interval

[1] 10 20 30 40 50 60 70 80 90 100
> seq(0, 1, length.out = 11)  # give length

[1] 0.0 0.1 0.2 0.3 0.4 0.5 0.6 0.7 0.8 0.9 1.0
> (sex <- rep(c("female", "male"), times = 2))

[1] "female" "male" "female" "male"
> (sex <- rep(c("female", "male"), length.out = 4))  # same

[1] "female" "male" "female" "male"
> (passed <- rep(c(TRUE, FALSE), each = 2))

[1] TRUE TRUE FALSE FALSE</pre>
```

## 2.1.2 Matrices

A matrix is a two-dimensional array of elements of the same type composed in a rectangular array of rows and columns. Matrices can be created by the function matrix (values, nrow, ncol), which reshapes the elements in the first argument (values) to a matrix with nrow rows and ncol columns. By default, the elements are filled in columnwise, unless the optional argument byrow = TRUE is given.

```
> (matA <- matrix(1:8, nrow = 2, ncol = 4))

[,1] [,2] [,3] [,4]
[1,] 1 3 5 7
[2,] 2 4 6 8</pre>
```

The last example illustrates that the values in the first argument are recycled as necessary to fill the given number of rows and columns.

All matrices have a dimensions attribute, a vector of length two giving the number of rows and columns, retrieved with the function dim(). Labels for the rows and columns can be assigned using dimnames(), which takes a list of two vectors for the row names and column names, respectively. To see the structure of a matrix (or any other R object) and its attributes, you can use the str() function, as shown in the example below.

```
> dim(matA)
[1] 2 4
> str(matA)
int [1:2, 1:4] 1 2 3 4 5 6 7 8
> dimnames(matA) <- list(c("M", "F"), LETTERS[1:4])
> matA

A B C D
M 1 3 5 7
F 2 4 6 8
> str(matA)

int [1:2, 1:4] 1 2 3 4 5 6 7 8
- attr(*, "dimnames")=List of 2
...$: chr [1:2] "M" "F"
...$: chr [1:4] "A" "B" "C" "D"
```

Additionally, names for the row and column *variables* themselves can also be assigned in the dimnames call by giving each dimension vector a name.

```
> dimnames(matA) <- list(sex = c("M", "F"), group = LETTERS[1:4])
> ## or: names(dimnames(matA)) <- c("Sex", "Group")
> matA

    group
sex A B C D
    M 1 3 5 7
    F 2 4 6 8
> str(matA)

int [1:2, 1:4] 1 2 3 4 5 6 7 8
- attr(*, "dimnames")=List of 2
    ..$ sex : chr [1:2] "M" "F"
    ..$ group: chr [1:4] "A" "B" "C" "D"
```

 $<sup>^2\</sup>mbox{The}$  dimnames can also be specified as an optional argument to  $\mbox{\tt matrix}$  ().

(LETTERS is a predefined character vector of the 26 uppercase letters). Matrices can also be created or enlarged by "binding" vectors or matrices together by rows or columns:

- rbind(a, b, c) creates a matrix with the vectors a, b, and c as its rows, recycling the elements as necessary to the length of the longest one.
- cbind (a, b, c) creates a matrix with the vectors a, b, and c as its columns.
- rbind (mat, a, b, ...) and cbind (mat, a, b, ...) add additional rows (columns) to a matrix mat, recycling or subsetting the elements in the vectors to conform with the size of the matrix.

```
> rbind(matA, c(10, 20))

A B C D

M 1 3 5 7
F 2 4 6 8
  10 20 10 20

> cbind(matA, c(10, 20))

A B C D

M 1 3 5 7 10
F 2 4 6 8 20
```

Rows and columns can be swapped (transposed) using t ():

```
> t(matA)
    sex
group M F
    A 1 2
    B 3 4
    C 5 6
    D 7 8
```

Finally, we note that basic computations involving matrices are performed element-wise:

```
> 2 * matA / 100

group
sex         A         B         C         D

M 0.02 0.06 0.10 0.14
F 0.04 0.08 0.12 0.16
```

Special operators and functions do exist for matrix operations, such as % \* % for the matrix product.

# 2.1.3 Arrays

Higher-dimensional arrays are less frequently encountered in traditional data analysis, but they are of great use for categorical data, where frequency tables of three or more variables can be naturally represented as arrays, with one dimension for each table variable.

The function array (values, dim) takes the elements in values and reshapes these into an array whose dimensions are given in the vector dim. The number of dimensions is the length of dim. As with matrices, the elements are filled in with the first dimension (rows) varying most rapidly, then by the second dimension (columns) and so on for all further dimensions, which can be considered as layers. A matrix is just the special case of an array with two dimensions.

```
> dims < - c(2, 4, 2)
> (arrayA <- array(1:16, dim = dims))</pre>
                                         # 2 rows, 4 columns, 2 layers
     [,1] [,2] [,3] [,4]
[2,]
, , 2
[1,] [,2] [,3] [,4]
[1,] 9 11 13 15
[2,]
     10
          12
                14
> str(arrayA)
int [1:2, 1:4, 1:2] 1 2 3 4 5 6 7 8 9 10 ...
> (arrayB <- array(1:16, dim = c(2, 8))) # 2 rows, 8 columns
     [,1] [,2] [,3] [,4] [,5] [,6] [,7] [,8]
     1 3 5 7 9 11 13 15
                      8
                          10 12
> str(arrayB)
int [1:2, 1:8] 1 2 3 4 5 6 7 8 9 10 ...
```

In the same way that we can assign labels to the rows and columns in matrices, we can assign these attributes to dimnames (arrayA), or include this information in a dimnames = argument to array().

```
> dimnames(arrayA) <- list(sex = c("M", "F"),</pre>
                             group = letters[1:4],
                             time = c("Pre", "Post"))
> arrayA
, , time = Pre
  group
sex a b c d
  M 1 3 5 7
  F 2 4 6 8
, , time = Post
  group
sex a b c d
  M 9 11 13 15
  F 10 12 14 16
> str(arrayA)
 int [1:2, 1:4, 1:2] 1 2 3 4 5 6 7 8 9 10 ...
 - attr(*, "dimnames")=List of 3
..$ sex : chr [1:2] "M" "F"
  ..$ group: chr [1:4] "a" "b" "c" "d"
..$ time : chr [1:2] "Pre" "Post"
```

Arrays in R can contain any single type of elements—numbers, character strings, logicals. R also has a variety of functions (e.g., table(), xtabs()) for creating and manipulating "table"

objects, which are specialized forms of matrices and arrays containing integer frequencies in a contingency table. These are discussed in more detail below (Section 2.4).

#### 2.1.4 Data frames

{sec:data-frames}

Data frames are the most commonly used form of data in R and more general than matrices in that they can contain columns of different types. For statistical modeling, data frames play a special role, in that many modeling functions are designed to take a data frame as a data argument, and then find the variables mentioned within that data frame. Another distinguishing feature is that discrete variables (columns) like character strings ("M", "F") or integers (1, 2, 3) in data frames can be represented as *factor*s, which simplifies many statistical and graphical methods.

A data frame can be created using keyboard input with the data.frame() function, applied to a list of objects, data.frame(a, b, c, ...), each of which can be a vector, matrix, or another data frame, but typically all containing the same number of rows. This works roughly like cbind(), collecting the arguments as columns in the result.

The following example generates n=100 random observations on three discrete factor variables, A, B, sex, and a numeric variable, age. As constructed, all of these are statistically independent, since none depends on any of the others. The function sample() is used here to generate n random samples from the first argument allowing replacement (replace = TRUE). The rnorm() function produces a vector of n normally distributed values with mean 30 and standard deviation 5. The call to set.seed() guarantees the reproducibility of the resulting data. Finally, all four variables are combined into the data frame mydata.

```
> set.seed(12345) # reproducibility
> n <- 100
> A <- factor(sample(c("a1", "a2"), n, replace = TRUE)) > B <- factor(sample(c("b1", "b2"), n, replace = TRUE))
> sex <- factor(sample(c("M", "F"), n, replace = TRUE))
> age <- round(rnorm(n, mean = 30, sd = 5))
> mydata <- data.frame(A, B, sex, age)
> head (mydata, 5)
   A B sex age
1 a2 b1
           F 22
  a2 b2
            F
                33
            M 31
3 a2 b2
4 a2 b2
            F 26
5 al b2
> str(mydata)
'data.frame': 100 obs. of 4 variables:
 $ A : Factor w/ 2 levels "a1", "a2": 2 2 2 2 1 1 1 2 2 2 ...
 $ B : Factor w/ 2 levels "b1", "b2": 1 2 2 2 2 2 2 2 1 1 ...
$ sex: Factor w/ 2 levels "F", "M": 1 1 2 1 1 1 2 2 1 1 ...
 $ age: num 22 33 31 26 29 29 38 28 30 27 ...
```

Rows, columns, and individual values in a data frame can be manipulated in the same way as a matrix, using subscripting ([,]). Additionally, variables can be extracted using the \$ operator:

```
> mydata[1,2]
[1] b1
Levels: b1 b2
> mydata$sex
```

```
[1] F F M F F F M M F F M F M M F M M F F M M M M F F F F M M F F F F M M F F F F F F F F F F F F F F F F F F M M M M M M M M M M M M M M F F F F M M M F F F M M M F M F M M M M M M F F F F M M F M F M F M F M M M M M M F F F F M M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F M F
```

Values in data frames can also be edited conveniently using, e.g., fix (mydata), opening a simple, spreadsheet-like editor.

For real data sets, it is usually most convenient to read these into R from external files, and this is easiest using plain text (ASCII) files with one line per observation and fields separated by commas (or tabs), and with a first header line giving the variable names—called *comma-separated* or CSV format. If your data is in the form of Excel, SAS, SPSS, or other file format, you can almost always export that data to CSV format first.<sup>3</sup>

The function read.table() has many options to control the details of how the data are read and converted to variables in the data frame. Among these some important options are:

header indicates whether the first line contains variable names. The default is FALSE unless the first line contains one fewer field than the number of columns;

sep (default: "", meaning white space, i.e., one or more spaces, tabs or newlines) specifies the separator character between fields;

stringsAsFactors (default: TRUE) determines whether character string variables should be converted to factors;

na.strings (default: "NA") refers to one or more strings that are interpreted as missing data values (NA);

For delimited files, read.csv() and read.delim() are convenient wrappers to read.table(), with default values sep="," and sep="\t" respectively, and header=TRUE.

 $\{ex:ch2-arth-csv\}$ 

#### **EXAMPLE 2.1: Arthritis treatment**

The file Arthritis.csv contains data in CSV format from Koch and Edwards (1988), representing a double-blind clinical trial investigating a new treatment for rheumatoid arthritis with 84 patients.<sup>4</sup> The first ("header") line gives the variable names. Some of the lines in the file are shown below, with . . . representing omitted lines:

```
ID, Treatment, Sex, Age, Improved 57, Treated, Male, 27, Some 46, Treated, Male, 29, None 77, Treated, Male, 30, None 17, Treated, Male, 32, Marked ... 42, Placebo, Female, 66, None 15, Placebo, Female, 66, Some 71, Placebo, Female, 68, Some 1, Placebo, Female, 74, Marked
```

We read this into R using read.table() as shown below:

<sup>&</sup>lt;sup>3</sup>The foreign (R Core Team, 2015) package contains specialized functions to *directly* read data stored by Minitab, SAS, SPSS, Stata, Systat, and other software. There are also a number of packages for reading (and writing) Excel spreadsheets directly (gdata (Warnes et al., 2014), XLConnect (Mirai Solutions GmbH, 2015), xlsx (Dragulescu, 2014)). The R manual, *R Data Import/Export* covers many other variations, including data in relational data bases.

<sup>&</sup>lt;sup>4</sup>This data set can be created using: library(vcd); write.table(Arthritis, file = "Arthritis.csv", quote = FALSE, sep = ",").

Note that the character variables Treatment, Sex, and Improved were converted to factors, and the levels of those variables were ordered *alphabetically*. This often doesn't matter much for binary variables, but here, the response variable Improved has levels that should be considered *ordered*, as c("None", "Some", "Marked"). We can correct this here by re-assigning Arthritis\$Improved using ordered(). The topic of re-ordering variables and levels in categorical data is considered in more detail in Section 2.3.

 $\triangle$ 

# 2.2 Forms of categorical data: case form, frequency form, and table form

{sec:forms}

As we saw in Chapter 1, categorical data can be represented as ordinary data sets in case form, but the discrete nature of factors or stratifying variables allows the same information to be represented more compactly in summarized form with a frequency variable for each cell of factor combinations, or in tables. Consequently, we sometimes find data created or presented in one form (e.g., a spread-sheet data set, a two-way table of frequencies) and want to input that into R. Once we have the data in R, it is often necessary to manipulate the data into some other form for the purposes of statistical analysis, visualizing results, and our own presentation. It is useful to understand the three main forms of categorical data in R and how to work with them for our purposes.

#### 2.2.1 Case form

Categorical data in case form are simply data frames, with one or more discrete classifying variables or response variables, most conveniently represented as factors or ordered factors. In case form, the data set can also contain numeric variables (covariates or other response variables) that cannot be accommodated in other forms.

As with any data frame, X, you can access or compute with its attributes using nrow(X) for the number of observations, ncol(X) for the number of variables, names(X) or colnames(X) for the variable names, and so forth.

{ex:ch2-arth}

#### **EXAMPLE 2.2: Arthritis treatment**

The Arthritis data is available in case form in the vcd (Meyer et al., 2015) package. There are two explanatory factors: Treatment and Sex. Age is a numeric covariate, and Improved is the response—an ordered factor, with levels "None" < "Some" < "Marked". Excluding Age, we would have a  $2 \times 2 \times 3$  contingency table for Treatment, Sex, and Improved.

```
> data("Arthritis", package = "vcd") # load the data
> names(Arthritis)
                             # show the variables
                      "Treatment" "Sex"
> str(Arthritis)
                                 # show the structure
'data.frame': 84 obs. of 5 variables:
$ ID : int 57 46 77 17 36 23 75 39 33 55 ...
$ Treatment: Factor w/ 2 levels "Placebo", "Treated": 2 2 2 2 2 2 2 2 2 2 2 ...
$ Sex : Factor w/ 2 levels "Female", "Male": 2 2 2 2 2 2 2 2 2 2 ...
$ Age : int 27 29 30 32 46 58 59 59 63 63 ...
 $ Improved : Ord.factor w/ 3 levels "None"<"Some"<..: 2 1 1 3 3 3 1 3 1 1 ...
> head(Arthritis, 5)  # first 5 observations, same as Arthritis[1:5,]
                     Sex Age Improved
   ID Treatment
         Treated Male 27
1 57
                                       Some
2 46 3 77
         Treated Male 29
                                       None
         Treated Male 30
                                      None
4 17
         Treated Male 32
                                   Marked
5 36
         Treated Male 46
                                    Marked
```

Λ

# 2.2.2 Frequency form

Data in frequency form is also a data frame, containing one or more discrete factor variables and a frequency variable (often called Freq or count) representing the number of basic observations in that cell.

This is an alternative representation of a table form data set considered below. In frequency form, the number of cells in the equivalent table is nrow(X), and the total number of observations is the sum of the frequency variable, sum(X\$Freq), sum(X[,"Freq"]) or a similar expression.

{ex:ch2-GSS}

#### **EXAMPLE 2.3: General social survey**

For small frequency tables, it is often convenient to enter them in frequency form using expand.grid() for the factors and c() to list the counts in a vector. The example below, from Agresti (2002), gives results for the 1991 General Social Survey, with respondents classified by sex and party identification. As a table, the data look like this:

		party		
sex	dem	indep	rep	
female	279	73	225	
male	165	47	191	

We use expand.grid() to create a  $6 \times 2$  matrix containing the combinations of sex and party with the levels for sex given first, so that this varies most rapidly. Then, input the frequencies in the table by columns from left to right, and combine these two results with data.frame().

```
male indep
5 female
           rep
  male
           rep
> GSS <- data.frame(tmp, count = c(279, 165, 73, 47, 225, 191))
     sex party count
1 female dem 279
          dem
               165
   male
3 female indep
                  7.3
                  47
   male indep
5 female rep
                 225
                 191
6
   male
         rep
> names (GSS)
[1] "sex"
            "party" "count"
> str(GSS)
'data.frame': 6 obs. of 3 variables:
 $ sex : Factor w/ 2 levels "female", "male": 1 2 1 2 1 2
 $ party: Factor w/ 3 levels "dem", "indep", ..: 1 1 2 2 3 3
 $ count: num 279 165 73 47 225 191
> sum (GSS$count)
[1] 980
```

The last line above shows that there are 980 cases represented in the frequency table.

Δ

#### 2.2.3 Table form

Table form data is represented as a matrix, array, or table object whose elements are the frequencies in an n-way table. The number of dimensions of the table is the length, length (dim(X)), of its dim(or dimnames) attribute, and the sizes of the dimensions in the table are the elements of dim(X). The total number of observations represented is the sum of all the frequencies, sum(X).

{ex:ch2-hec}

#### **EXAMPLE 2.4: Hair color and eye color**

A classic data set on frequencies of hair color, eye color, and sex is given in table form in HairEyeColor in the datasets package, reporting the frequencies of these categories for 592 students in a statistics course.

```
> data("HairEyeColor", package = "datasets")  # load the data
> str(HairEyeColor)  # show the structure

table [1:4, 1:4, 1:2] 32 53 10 3 11 50 10 30 10 25 ...
- attr(*, "dimnames")=List of 3
    ..$ Hair: chr [1:4] "Black" "Brown" "Red" "Blond"
    ..$ Eye : chr [1:4] "Brown" "Blue" "Hazel" "Green"
    ..$ Sex : chr [1:2] "Male" "Female"

> dim(HairEyeColor)  # table dimension sizes

[1] 4 4 2

> dimnames(HairEyeColor)  # variable and level names
```

```
$Hair
[1] "Black" "Brown" "Red" "Blond"

$Eye
[1] "Brown" "Blue" "Hazel" "Green"

$Sex
[1] "Male" "Female"

> sum(HairEyeColor) # number of cases

[1] 592
```

Three-way (and higher-way) tables can be printed in a more convenient form using structable() and ftable() as described below in Section 2.5.  $\triangle$ 

Tables are often created from raw data in case form or frequency form using the functions table() and xtabs() described in Section 2.4. For smallish frequency tables that are already in tabular form, you can enter the frequencies in a matrix, and then assign dimnames and other attributes.

To illustrate, we create the GSS data as a table below, entering the values in the table by rows (byrow=TRUE), as they appear in printed form.

GSS.tab is a matrix, not an object of class("table"), and some functions are happier with tables than matrices. You should therefore coerce it to a table with as.table(),

```
> GSS.tab <- as.table(GSS.tab)
> str(GSS.tab)

table [1:2, 1:3] 279 165 73 47 225 191
- attr(*, "dimnames")=List of 2
    ..$ sex : chr [1:2] "female" "male"
    ..$ party: chr [1:3] "dem" "indep" "rep"
```

{ex:jobsat1}

#### **EXAMPLE 2.5:** Job satisfaction

Here is another similar example, entering data on job satisfaction classified by income and level of satisfaction from a  $4\times 4$  table given by Agresti (2002, Table 2.8, p. 57).

<sup>&</sup>lt;sup>5</sup>There are quite a few functions in R with specialized methods for "table" objects. For example, plot (GSS.tab) gives a mosaic plot and barchart (GSS.tab) gives a divided bar chart.

```
nrow = 4, ncol = 4)
 dimnames(JobSat) <-</pre>
 list(income = c("< 15k", "15-25k", "25-40k", "> 40k"),
        satisfaction = c("VeryD", "LittleD", "ModerateS",
> JobSat <- as.table(JobSat)</pre>
> JobSat
        satisfaction
         VeryD LittleD ModerateS VeryS
income
  < 15k
                   3
                          10
             2.
                      3
                                      7
  15-25k
                               10
  25-40k
             1
                      6
                               14
                                      12
 > 40k
```

Λ

# 2.3 Ordered factors and reordered tables

{sec:ordered}

As we saw above (Example 2.1), the levels of factor variables in data frames (case form or frequency form) can be re-ordered (and the variables declared as ordered factors) using ordered(). As well, the order of the factor values themselves can be rearranged by sorting the data frame using sort().

However, in table form, the values of the table factors are ordered by their position in the table. Thus in the *JobSat* data, both income and satisfaction represent ordered factors, and the *positions* of the values in the rows and columns reflect their ordered nature, but only implicitly.

Yet, for analysis or graphing, there are occasions when you need *numeric* values for the levels of ordered factors in a table, e.g., to treat a factor as a quantitative variable. In such cases, you can simply re-assign the dimnames attribute of the table variables. For example, here, we assign numeric values to income as the middle of their ranges, and treat satisfaction as equally spaced with integer scores.

```
> dimnames(JobSat)$income <- c(7.5, 20, 32.5, 60)
> dimnames(JobSat)$satisfaction <- 1:4</pre>
```

A related case is when you want to preserve the character labels of table dimensions, but also allow them to be sorted in some particular order. A simple way to do this is to prefix each label with an integer index using paste().

```
> dimnames(JobSat)$income <-
+    paste(1:4, dimnames(JobSat)$income, sep = ":")
> dimnames(JobSat)$satisfaction <-
+    paste(1:4, dimnames(JobSat)$satisfaction, sep = ":")</pre>
```

A different situation arises with tables where you want to *permute* the levels of one or more variables to arrange them in a more convenient order without changing their labels. For example, in the <code>HairEyeColor</code> table, hair color and eye color are ordered arbitrarily.

For visualizing the data using mosaic plots and other methods described later, it turns out to be more useful to assure that both hair color and eye color are ordered from dark to light. Hair colors are actually ordered this way already: "Black", "Brown", "Red", "Blond". But eye colors are ordered as "Brown", "Blue", "Hazel", "Green". It is easiest to re-order the eye colors by indexing the columns (dimension 2) in this array to a new order, "Brown", "Hazel", "Green", "Blue", giving the indices of the old levels in the new order (here: 1,3,4,2). Again str() is your friend, showing the structure of the result to check that the result is what you want.

```
> data("HairEyeColor", package = "datasets")
> HEC <- HairEyeColor[, c(1, 3, 4, 2), ]
> str(HEC)

num [1:4, 1:4, 1:2] 32 53 10 3 10 25 7 5 3 15 ...
- attr(*, "dimnames")=List of 3
   ..$ Hair: chr [1:4] "Black" "Brown" "Red" "Blond"
   ..$ Eye : chr [1:4] "Brown" "Hazel" "Green" "Blue"
   ..$ Sex : chr [1:2] "Male" "Female"
```

Finally, there are situations where, particularly for display purposes, you want to re-order the *dimensions* of an *n*-way table, and/or change the labels for the variables or levels. This is easy when the data are in table form: aperm() permutes the dimensions, and assigning to names and dimnames changes variable names and level labels, respectively.

# 2.4 Generating tables with table() and xtabs()

{sec:table}

With data in case form or frequency form, you can generate frequency tables from factor variables in data frames using the table() function; for tables of proportions, use the prop.table() function, and for marginal frequencies (summing over some variables) use margin.table(). The examples below use the same case-form data frame mydata used earlier (Section 2.1.4).

```
> set.seed(12345) # reproducibility
> n <- 100
> A <- factor(sample(c("a1", "a2"), n, replace = TRUE))
> B <- factor(sample(c("b1", "b2"), n, replace = TRUE))
> sex <- factor(sample(c("M", "F"), n, replace = TRUE))
> age <- round(rnorm(n, mean = 30, sd = 5))
> mydata <- data.frame(A, B, sex, age)</pre>
```

## **2.4.1** table()

{sec:table2}

table(...) takes a list of variables interpreted as factors, or a data frame whose columns are so interpreted. It does not take a data= argument, so either supply the names of columns in the data frame (possibly using with() for convenience), or select the variables using column indexes:

We can use margin.table (X, margin) to sum a table X for the indices in margin, i.e., over the dimensions not included in margin. A related function is addmargins (X, margin, FUN = sum), which extends the dimensions of a table or array with the marginal values calculated by FUN.

```
> margin.table(mytab) # sum over A & B
[1] 100
> margin.table(mytab, 1)
                       # A frequencies (summed over B)
Α
a1 a2
48 52
> margin.table(mytab, 2)  # B frequencies (summed over A)
В
b1 b2
40 60
> addmargins (mytab)
                        # show all marginal totals
      b1
          b2 Sum
Α
 a1
      18
          30 48
 a2
      22
          30 52
Sum 40 60 100
```

The function prop.table() expresses the table entries as a fraction of a given marginal table.

table() can also generate multidimensional tables based on 3 or more categorical variables. In this case, use the ftable() or structable() function to print the results more attractively as a "flat" (2-way) table.

table() ignores missing values by default, but has optional arguments useNA and exclude that can be used to control this. See help(table) for the details.

## 2.4.2 xtabs()

{sec:xtabs}

The xtabs() function allows you to create cross tabulations of data using formula style input. This typically works with case-form or frequency-form data supplied in a data frame or a matrix. The result is a contingency table in array format, whose dimensions are determined by the terms on the right side of the formula. As shown below, the summary method for tables produces a simple  $\chi^2$  test of independence of all factors, and indicates the number of cases and dimensions.

```
> # 3-Way Frequency Table
> mytable <- xtabs(~ A + B + sex, data = mydata)
> ftable(mytable)
                    # print table
      sex F M
A B
           9 9
a1 b1
          15 15
   h2
a2 b1
          12 10
          19 11
   b2
> summary(mytable)
                     # chi-squared test of independence
Call: xtabs(formula = \sim A + B + sex, data = mydata)
Number of cases in table: 100
Number of factors: 3
Test for independence of all factors:
Chisq = 1.54, df = 4, p-value = 0.82
```

When the data have already been tabulated in frequency form, include the frequency variable (usually count or Freq) on the left side of the formula, as shown in the example below for the GSS data.

```
> (GSStab <- xtabs(count ~ sex + party, data = GSS))</pre>
```

```
party
sex    dem indep rep
    female 279    73 225
    male 165    47 191

> summary(GSStab)

Call: xtabs(formula = count ~ sex + party, data = GSS)
Number of cases in table: 980
Number of factors: 2
Test for independence of all factors:
Chisq = 7, df = 2, p-value = 0.03
```

For "table" objects, the plot method produces basic mosaic plots using the mosaicplot () function from the graphics package.

# 2.5 Printing tables with structable() and ftable()

{sec:structable}

# 2.5.1 Text output

For 3-way and larger tables, the functions ftable() (in the stats package) and structable() (in VCd) provide a convenient and flexible tabular display in a "flat" (2-way) format.

With ftable (X, row.vars=, col.vars=), variables assigned to the rows and/or columns of the result can be specified as the integer numbers or character names of the variables in the array X. By default, the last variable is used for the columns. The formula method, in the form ftable (colvars ~ rowvars, data) allows a formula where the left- and right-hand side of formula specify the column and row variables, respectively.

```
ftable (UCB)
                                       # default
                 Department
                                         С
                                             D
Sex
       Admitted
Male
       Yes
                              512 353 120 138
                              313 207 205 279 138 351
       No
                               89
                                   17 202 131
Female Yes
                                                 94
                               19
       No
                                    8 391 244 299 317
> #ftable(UCB, row.vars = 1:2)
                                       # same result
  ftable(Admitted + Sex ~ Department, data = UCB)
                                                         # formula method
            Admitted Yes
                                     No
                     Male Female Male Female
            Sex
Department
                       512
                                89
                                    313
                                             19
В
                       353
                                17
                                    207
                                              8
С
                       120
                               202
                                    205
                                            391
D
                       138
                               131
                                    279
                                            244
\mathbf{E}
                        53
                                94
                                    138
                                            299
F
                        22
                                24
                                    351
```

The structable() function is similar, but more general, and uses recursive splits in the vertical or horizontal directions (similar to the construction of mosaic displays). It works with both data frames and table objects.

```
> library(vcd)
> structable(HairEyeColor) # show the table: default

Eye Brown Blue Hazel Green
```

```
Hair
      Sex
                            11
                                  10
Black Male
      Female
                      36
                                          2
                            50
Brown Male
                      53
                                  25
                                         15
                      66
                            34
                                  29
                                         14
      Female
Red
      Male
                      10
                            10
                            7
                                    7
                                          7
                      16
      Female
Blond Male
                       3
                            30
                                    5
                                          8
                                          8
                       4
                            64
      Female
> structable(Hair + Sex ~ Eye, HairEyeColor) # specify col ~ row variables
      Hair Black
                          Brown
                                          Red
                                                      Blond
            Male Female
      Sex
                           Male Female Male Female Male Female
Eye
               32
                       36
                              53
                                           10
Brown
                                      66
Blue
               11
                        9
                              50
                                      34
                                           10
                                                                  64
                        5
                              25
                                      29
                                            7
                                                    7
                                                           5
                                                                   5
Hazel
               10
                                                                   8
                                      14
Green
```

It also returns an object of class "structable" for which there are a variety of special methods. For example, the transpose function t() interchanges rows and columns, so that a call like t(structable(HairEyeColor)) produces the second result shown just above. There are also plot methods: for example, plot() produces mosaic plots from the vcd package.

# 2.6 Subsetting data

{sec:subsettingdata}

Often, the analysis of some data set is focused on a subset only. For example, the <code>HairEyeColor</code> data set introduced above tabulates frequencies of hair and eye colors for male and female students—the analysis could concentrate on one group only, or compare both groups in a stratified analysis. This section deals with extracting subsets of data in tables, structables, or data frames.

## 2.6.1 Subsetting tables

{sec:subsettingtables}

If data are available in tabular form created with table() or xtabs(), resulting in table objects, subsetting is done via indexing, either with integers or character strings corresponding to the factor levels. The following code extracts the female data from the HairEyeColor data set:

```
> HairEyeColor[,,"Female"]
Hair
        Brown Blue Hazel Green
           36
                  9
                        5
  Black
                       29
            66
                 34
                              14
  Brown
  Red
           16
                 64
  Blond
            4
> ##same using index: HairEyeColor[,,2]
```

Empty indices stand for taking all data of the corresponding dimension. The third one (Sex) is fixed at the second ("Female") level. Note that in this case, the dimensionality is reduced to a two-way table, since dimensions with only one level are dropped by default. Functions like apply () can iterate through all levels of one or several dimensions and apply a function to each subset. The following calculates the total amount of male and female students:

```
> apply(HairEyeColor, 3, sum)
Male Female
279 313
```

It is of course possible to select more than one level:

```
> HairEyeColor[c("Black", "Brown"), c("Hazel", "Green"),]
, , Sex = Male
       Eye
       Hazel Green
Hair
  Black
        10
                 3
          25
                15
  Brown
, , Sex = Female
      Eye
      Hazel Green
Hair
          5
 Black
                2
Brown
          29
                14
```

# 2.6.2 Subsetting structables

Structables work in a similar way, but take into account the hierarchical structure imposed by the "flattened" format, and also distinguish explicitly between subsetting levels and subsetting tables. In the following example, compare the different effects of applying the [ and [ [ operators to the structable:

{sec:subsettingstructables}

```
> hec <- structable(Eye ~ Sex + Hair, data = HairEyeColor)
> hec
             Eye Brown Blue Hazel Green
Sex
       Hair
Male
       Black
                     53
                          50
                                       15
                                 25
       Brown
       Red
                     10
                          10
                                        7
                          30
       Blond
                     3
                                  5
                                        8
Female Black
                     36
                           9
                                  5
                                        2
                     66
                          34
                                 29
                                       14
       Brown
       Red
                     16
                                  5
                                        8
       Blond
                          64
                      4
> hec["Male",]
           Eye Brown Blue Hazel Green
Sex Hair
Male Black
                   32
                        11
                               10
                                      3
                   53
                                     15
     Brown
                        50
                               25
                   10
                        10
                               7
                                      7
     Red
     Blond
                        30
                               5
                                      8
> hec[["Male",]]
      Eye Brown Blue Hazel Green
Hair
              32
                         10
                                 3
                   11
Black
Brown
              53
                   50
                         25
                                15
                                 7
                          7
Red
             10
                   10
Blond
                   30
```

The first form keeps the dimensionality, whereas the second conditions on the "Male" level and returns the corresponding subtable. The following does this twice, once for Sex, and once for Hair (restricted to the Male level):

```
> hec[[c("Male", "Brown"),]]
Eye Brown Blue Hazel Green
53 50 25 15
```

# 2.6.3 Subsetting data frames

#### {sec:subsettingdf}

Data available in data frames (frequency or case form) can also be subsetted, either by using indexes on the rows and/or columns, or, more conveniently, by applying the subset() function. The following statement will extract the Treatment and Improved variables for all female patients older than 68:

Note the use of the single & for the logical expression selecting the rows. The same result can be achieved more conveniently using the subset () function, first taking the data set, followed by an expression for selecting the rows (evaluated in the context of the data frame), and then an expression for selecting the columns:

```
> subset(Arthritis, Sex == "Female" & Age > 68,
+ select = c(Treatment, Improved))

Treatment Improved
39  Treated    None
40  Treated    Some
41  Treated    Some
84  Placebo    Marked
```

Note the non-standard evaluation of c (Treatment, Improved): the meaning of c () is not "combine the two columns into a single vector," but "select both from the data frame." Likewise, columns can be removed using – on column names, which is not possible using standard indexing in matrices or data frames:

```
> subset(Arthritis, Sex == "Female" & Age > 68,
+ select = -c(Age, ID))

Treatment Sex Improved
39 Treated Female None
40 Treated Female Some
41 Treated Female Some
84 Placebo Female Marked
```

# 2.7 Collapsing tables

{sec:collapsetables}

#### 

{sec:collapse}

It sometimes happens that we have a data set with more variables or factors than we want to analyze, or else, having done some initial analyses, we decide that certain factors are not important, and so should be excluded from graphic displays by collapsing (summing) over them. For example, mosaic plots and fourfold displays are often simpler to construct from versions of the data collapsed over the factors that are not shown in the plots.

The appropriate tools to use again depend on the form in which the data are represented—a case-form data frame, a frequency-form data frame (aggregate()), or a table-form array or table object (margin.table() or apply()).

When the data are in frequency form, and we want to produce another frequency data frame, aggregate () is a handy tool, using the argument FUN = sum to sum the frequency variable over the factors *not* mentioned in the formula.

{ex:dayton1}

#### **EXAMPLE 2.6: Dayton survey**

The data frame DaytonSurvey in the vcdExtra (Friendly, 2015) package represents a 2<sup>5</sup> table giving the frequencies of reported use ("ever used?") of alcohol, cigarettes, and marijuana in a sample of 2276 high school seniors, also classified by sex and race.

```
> data("DaytonSurvey", package = "vcdExtra")
> str(DaytonSurvey)
'data.frame': 32 obs. of 6 variables:
 \ cigarette: Factor w/ 2 levels "Yes", "No": 1 2 1 2 1 2 1 2 1 2 ...
  alcohol : Factor w/ 2 levels "Yes", "No":
                                             1 1 2 2 1
 $ marijuana: Factor w/ 2 levels "Yes", "No": 1 1 1 1 2 2 2 2 1 1 ...
           : Factor w/ 2 levels "female", "male": 1 1 1 1 1 1 1 2 2
            : Factor w/ 2 levels "white", "other": 1 1 1 1 1 1 1 1 1 1 ...
 $ race
 $ Freq
            : num 405 13 1 1 268 218 17 117 453 28 ...
> head (DaytonSurvey)
  cigarette alcohol marijuana
                                 sex race Freq
                Yes
                          Yes female white 405
        Yes
2
         No
                Yes
                          Yes female white
3
        Yes
                 No
                          Yes female white
                                               1
         No
                 No
                          Yes female white
                                               1
5
        Yes
                Yes
                           No female white
                                             2.68
6
                           No female white
         No
```

To focus on the associations among the substances, we want to collapse over sex and race. The right-hand side of the formula used in the call to aggregate() gives the factors to be retained in the new frequency data frame, <code>Dayton\_ACM\_df</code>. The left-hand side is the frequency variable (<code>Freq</code>), and we aggregate using the <code>FUN = sum</code>.

```
> # data in frequency form: collapse over sex and race
> Dayton_ACM_df <- aggregate(Freq ~ cigarette + alcohol + marijuana,
                              data = DaytonSurvey, FUN = sum)
 Dayton_ACM_df
  cigarette alcohol marijuana Freq
        Yes
                Yes
                           Yes
                                911
2
         No
                Yes
                           Yes
                                 44
                No
        Yes
                           Yes
```

{ex:dayton2}

4	No	No	Yes	2
5	Yes	Yes	No	538
6	No	Yes	No	456
7	Yes	No	No	43
8	No	No	No	279

 $\triangle$ 

When the data are in table form, and we want to produce another table, apply() with FUN = sum can be used in a similar way to sum the table over dimensions not mentioned in the MARGIN argument. margin.table() is just a wrapper for apply() using the sum() function.

# **EXAMPLE 2.7: Dayton survey**

To illustrate, we first convert the <code>DaytonSurvey</code> to a 5-way table using <code>xtabs()</code>, giving <code>Dayton\_tab</code>.

```
> # convert to table form
> Dayton_tab <- xtabs(Freq ~ cigarette + alcohol + marijuana + sex + race,
                      data = DaytonSurvey)
> structable(cigarette + alcohol + marijuana ~ sex + race,
             data = Dayton_tab)
             cigarette Yes
                                        No
             alcohol Yes
                                No
                                       Yes
                                                No
             marijuana Yes No Yes
                                            No Yes
                                   No Yes
                                                    No
sex
      race
                       405 268
                                        13 218
female white
                                 1
                                    17
                                                 1 117
      other
                        23 23
                                 0
                                     1
                                         2
                                            19
                                                 0
                                                    12
                       453 228
                                        28 201
                                    17
male
       white
                                 1
                                                 1 133
                       30 19
                                 1
                                    8
                                        1
                                           18
                                                 0 17
      other
```

Then, use apply() on  $Dayton\_tab$  to give the 3-way table  $Dayton\_ACM\_tab$  summed over sex and race. The elements in this new table are the column sums for Dayton.tab shown by structable() just above.

```
> # collapse over sex and race
> Dayton_ACM_tab <- apply(Dayton_tab, MARGIN = 1:3, FUN = sum)
> Dayton_ACM_tab <- margin.table(Dayton_tab, 1:3) # same result
> structable(cigarette + alcohol ~ marijuana, data = Dayton_ACM_tab)
          cigarette Yes
                             No
          alcohol
                   Yes No Yes
                                 No
marijuana
                          3 44
Yes
                    911
                         43 456 279
No
                    538
```

 $\triangle$ 

(Note that structable () would do the collapsing job for us anyway.)

Many of these operations can be performed using the \*\*ply() functions in the plyr (Wickham, 2014) package. For example, with the data in a frequency form data frame, use ddply() to collapse over unmentioned factors, and summarise() as the function to be applied to each piece.

## **2.7.2** Collapsing table levels: collapse.table()

{sec:collapse-levels}

A related problem arises when we have a table or array and for some purpose we want to reduce the number of levels of some factors by summing subsets of the frequencies. For example, we may have initially coded Age in 10-year intervals, and decide that, either for analysis or display purposes, we want to reduce Age to 20-year intervals. The collapse.table() function in vcdExtra was designed for this purpose.

{ex:collapse-cat}

#### **EXAMPLE 2.8: Collapsing categories**

Create a 3-way table, and collapse Age from 10-year to 20-year intervals and Education from three levels to two. To illustrate, we first generate a  $2 \times 6 \times 3$  table of random counts from a Poisson distribution with mean of 100, with factors sex, age, and education.

```
> # create some sample data in frequency form
> set.seed(12345) # reproducibility
> sex <- c("Male", "Female")
> age <- c("10-19", "20-29",
                                 "30-39", "40-49", "50-59", "60-69")
> education <- c("low", "med", "high")</pre>
> dat <- expand.grid(sex = sex, age = age, education = education)
> counts <- rpois(36, 100)
                              # random Poisson cell frequencies
> dat <- cbind(dat, counts)
> # make it into a 3-way table
> tab1 <- xtabs(counts ~ sex + age + education, data = dat)
> structable(tab1)
                   age 10-19 20-29 30-39 40-49 50-59 60-69
        education
sex
                         105
                                 98
                                              97
                                                           105
Male
       low
                                       123
                          74
                                113
                                       114
                                              82
                                                     95
                                                           85
       med
       high
                         121
                                116
                                       104
                                             103
                                                     89
                                                           100
                         107
                                 95
                                       105
                                                    103
Female low
                                             116
                                                            92
                          96
                                 88
                                        93
                                             118
                                                     99
                                                           108
       med
                         120
                                        96
                                                    127
       high
                                102
                                             103
                                                            84
```

Now collapse age to 20-year intervals, and education to 2 levels. In the arguments to collapse.table(), levels of age and education given the same label are summed in the resulting smaller table.

```
> # collapse age to 3 levels, education to 2 levels
> tab2 <- collapse.table(tab1,
+ age = c("10-29", "10-29",
                                         "30-49", "30-49", "50-69", "50-69"),
            education = c("<high", "<high", "high"))</pre>
 structable(tab2)
                   age 10-29 30-49 50-69
        education
                          390
                                 416
                                        380
Male
        <high
        high
                          237
                                 207
                                        189
Female <high
                          386
                                 432
                                        402
        high
                          222
                                 199
                                        211
```

 $\triangle$ 

# 2.8 Converting among frequency tables and data frames

As we've seen, a given contingency table can be represented equivalently in case form, frequency form, and table form. However, some R functions were designed for one particular representation. Table 2.1 gives an overview of some handy tools (with sketched usage) for converting from one form to another, discussed below.

{sec:convert}

Table 2.1: Tools for converting among different forms for categorical data

{tab:convert}

	To this				
From this	Case form	Frequency form	Table form		
Case form	_	<pre>Z &lt;- xtabs(~ A+B) as.data.frame(Z)</pre>	table(A, B)		
Frequency form	expand.dft(X)	_	xtabs(count ~ A+B)		
Table form	expand.dft(X)	as.data.frame(X)	_		

## 2.8.1 Table form to frequency form

A contingency table in table form (an object of class "table") can be converted to a data frame in frequency form with as.data.frame(). The resulting data frame contains columns representing the classifying factors and the table entries (as a column named by the responseName argument, defaulting to Freq). The function as.data.frame() is the inverse of xtabs(), which converts a data frame to a table.

{ex:GSS-convert}

#### **EXAMPLE 2.9: General social survey**

Convert the GSStab object in table form to a data.frame in frequency form. By default, the frequency variable is named Freq, and the variables sex and party are made factors.

```
> as.data.frame(GSStab)
     sex party Freq
1 female
           dem
                279
                165
   male
           dem
3 female indep
                 73
   male indep
                 47
5 female
           rep
                 225
                191
   male
           rep
```

 $\wedge$ 

{ex:horse.df}

In addition, there are situations where numeric table variables are represented as factors, but you need to convert them to numerics for calculation purposes.

#### **EXAMPLE 2.10: Death by horse kick**

For example, we might want to calculate the weighted mean of nDeaths in the <code>HorseKicks</code> data. Using as.data.frame() won't work here, because the variable nDeaths becomes a factor.

```
> str(as.data.frame(HorseKicks))
'data.frame': 5 obs. of 2 variables:
$ nDeaths: Factor w/ 5 levels "0","1","2","3",..: 1 2 3 4 5
$ Freq : int 109 65 22 3 1
```

One solution is to use data.frame() directly and as.numeric() to coerce the table names to numbers.

<sup>&</sup>lt;sup>6</sup>Because R is object-oriented, this is actually a shorthand for the function as.data.frame.table(), which is automatically selected for objects of class "table".

```
> horse.df <- data.frame(nDeaths = as.numeric(names(HorseKicks)),</pre>
                             Freq = as.vector(HorseKicks))
> str(horse.df)
'data.frame': 5 obs. of 2 variables:
 $ nDeaths: num 0 1 2 3 4
$ Freq : int 109 65 22 3 1
 $ Freq : int
> horse.df
  nDeaths Freq
        0 109
2
         1
             65
3
         2
              22
         3
4
               3
5
         4
```

Then, weighted.mean() works as we would like:

```
> weighted.mean(horse.df$nDeaths, weights=horse.df$Freq)
[1] 2
```

Δ

### 2.8.2 Case form to table form

Going the other way, we use table () to convert from case form to table form.

{ex:Arth-convert}

#### **EXAMPLE 2.11: Arthritis treatment**

Convert the Arthritis data in case form to a 3-way table of Treatment  $\times$  Sex  $\times$  Improved. We select the desired columns with their names, but could also use column numbers, e.g., table (Arthritis [, c(2, 3, 5)]).

```
> Art.tab <- table(Arthritis[,c("Treatment", "Sex", "Improved")])</pre>
> str(Art.tab)
 'table' int [1:2, 1:2, 1:3] 19 6 10 7 7 5 0 2 6 16 ...
 - attr(*, "dimnames") = List of 3
  ..$ Treatment: chr [1:2] "Placebo" "Treated"
  ..$ Sex : chr [1:2] "Female" "Male" ..$ Improved : chr [1:3] "None" "Some" "Marked"
> ftable(Art.tab)
                   Improved None Some Marked
Treatment Sex
                               19
Placebo Female
                                              6
                               10
                                     0
                                             1
          Male
Treated Female
                                6
                                     5
                                            16
          Male
```

Δ

## 2.8.3 Table form to case form

There may also be times that you will need an equivalent case form data frame with factors representing the table variables rather than the frequency table. For example, the mca() function in

package MASS (Ripley, 2015) (for multiple correspondence analysis) only operates on data in this format. The function expand. dft ()  $^7$  in vcdExtra does this, converting a table into a case form.

{ex:Arth-convert2}

**EXAMPLE 2.12: Arthritis treatment** 

Convert the Arthritis data in table form (Art.tab) back to a data.frame in case form, with factors Treatment, Sex, and Improved.

```
> library(vcdExtra)
> Art.df <- expand.dft(Art.tab)
> str(Art.df)

'data.frame': 84 obs. of 3 variables:
$ Treatment: Factor w/ 2 levels "Placebo", "Treated": 1 1 1 1 1 1 1 1 1 1 1 ...
$ Sex : Factor w/ 2 levels "Female", "Male": 1 1 1 1 1 1 1 1 1 1 ...
$ Improved : Factor w/ 3 levels "Marked", "None", ...: 2 2 2 2 2 2 2 2 2 2 ...
```

Δ

# 2.8.4 Publishing tables to LATEX or HTML

OK, you've read your data into R, done some analysis, and now want to include some tables in a LATEX document or in a web page in HTML format. Formatting tables for these purposes is often tedious and error-prone.

There are a great many packages in R that provide for nicely formatted, publishable tables for a wide variety of purposes; indeed, most of the tables in this book are generated using these tools. See Leifeld (2013) for a description of the texreg (Leifeld, 2014) package and a comparison with some of the other packages.

Here, we simply illustrate the xtable (Dahl, 2014) package, which, along with capabilities for statistical model summaries, time-series data, and so forth, has a xtable.table method for one-way and two-way table objects.

The HorseKicks data is a small one-way frequency table described in Example 3.4 and contains the frequencies of 0, 1, 2, 3, 4 deaths per corps-year by horse-kick among soldiers in 20 corps in the Prussian army.

```
> data("HorseKicks", package = "vcd")
> HorseKicks

nDeaths
    0     1     2     3     4
109     65     22     3     1
```

By default, xtable() formats this in LATEX as a vertical table, and prints the LATEX markup to the R console. This output is shown below.

```
> library(xtable)
> xtable(HorseKicks)

% latex table generated in R 3.2.1 by xtable 1.7-4 package
% Mon Nov 02 17:07:11 2015
\begin{table}[ht]
\centering
\begin{tabular}{rr}
\hline
& nDeaths \\
\hline
0 & 109 \\
1 & 65 \\
```

<sup>&</sup>lt;sup>7</sup>The original code for this function was provided by Marc Schwarz on the R-Help mailing list.

When this is rendered in a  $\LaTeX$  document, the result of xtable() appears as shown in the table below.

```
> xtable(HorseKicks)
```

	nDeaths
0	109
1	65
2	22
3	3
4	1

The table above isn't quite right, because the column label "nDeaths" belongs to the first column, and the second column should be labeled "Freq." To correct that, we convert the <code>HorseKicks</code> table to a data frame (see Section 2.8 for details), add the appropriate <code>colnames</code>, and use the <code>print.xtable</code> method to supply some other options.

```
> tab <- as.data.frame(HorseKicks)
> colnames(tab) <- c("nDeaths", "Freq")
> print(xtable(tab), include.rownames = FALSE,
+ include.colnames = TRUE)
```

nDeaths	Freq
0	109
1	65
2	22
3	3
4	1

There are many more options to control the LATEX details and polish the appearance of the table; see help(xtable) and vignette("xtableGallery", package = "xtable").

Finally, in Chapter 3, we display a number of similar one-way frequency tables in a transposed form to save display space. Table 3.3 is the finished version we show there. The code below uses the following techniques, giving the version shown in Table 2.2: (a) addmargins () is used to show the sum of all the frequency values; (b) t () transposes the data frame to have 2 rows; (c) rownames () assigns the labels we want for the rows; (d) using the caption argument provides a table caption, and a numbered table in LATEX; (e) column alignment ("r" or "l") for the table columns is computed as a character string used for the align argument.

```
> horsetab <- t(as.data.frame(addmargins(HorseKicks)))
> rownames(horsetab) <- c( "Number of deaths", "Frequency" )
> horsetab <- xtable(horsetab, digits = 0, label="tab:xtable5",
+ caption = "von Bortkiewicz's data on deaths by horse kicks",</pre>
```

```
+ align = paste0("l|", paste(rep("r", ncol(horsetab)),
+ collapse = ""))
+ )
> print(horsetab, include.colnames=FALSE, caption.placement="top")
```

{tab:xtable5}

**Table 2.2:** von Bortkiewicz's data on deaths by horse kicks

Number of deaths	0	1	2	3	4	Sum
Frequency	109	65	22	3	1	200

For use in a web page, blog, or Word document, you can use type="HTML" in the call to print() for "xtable" objects.

# 2.9 A complex example: TV viewing data\*

{sec:working-complex}

If you have followed so far, congratulations! You are ready for a more complicated example that puts together a variety of the skills developed in this chapter: (a) reading raw data, (b) creating tables, (c) assigning level names to factors and (d) collapsing levels or variables for use in analysis.

For an illustration of these steps, we use the dataset tv.dat, supplied with the initial implementation of mosaic displays in R by Jay Emerson. In turn, they were derived from an early, compelling example of mosaic displays (Hartigan and Kleiner, 1984) that illustrated the method with data on a large sample of TV viewers whose behavior had been recorded for the Neilsen ratings. This data set contains sample television audience data from Neilsen Media Research for the week starting November 6, 1995.

The data file, tv.dat, is stored in frequency form as a file with 825 rows and 5 columns. There is no header line in the file, so when we use read.table() below, the variables will be named V1-V5. This data represents a 4-way table of size  $5\times11\times5\times3=825$  where the table variables are V1-V4, and the cell frequency is read as V5.

The table variables are:

V1— values 1:5 correspond to the days Monday–Friday;

V2— values 1:11 correspond to the quarter-hour times 8:00 pm through 10:30 pm;

V3— values 1:5 correspond to ABC, CBS, NBC, Fox, and non-network choices;

V4— values 1:3 correspond to transition states: turn the television Off, Switch channels, or Persist in viewing the current channel.

# 2.9.1 Creating data frames and arrays

The file tv.dat is stored in the doc/extdata directory of vcdExtra; it can be read as follows:

```
> head(tv_data, 5)

V1 V2 V3 V4 V5
1  1  1  1  1  6
2  2  1  1  1  18
3  3  1  1  1  6
4  4  1  1  1  2
5  5  1  1  1  11
```

To read such data from a local file, just use read.table() in this form:

```
> tv_data <- read.table("C:/R/data/tv.dat")
```

or, to select the path using the file-chooser tool,

```
> tv_data <- read.table(file.choose())
```

We could use this data in frequency form for analysis by renaming the variables, and converting the integer-coded factors V1 - V4 to R factors. The lines below use the function within() to avoid having to use TV.dat\$Day <- factor(TV.dat\$Day) etc., and only supply labels for the first variable.

Alternatively, we could just reshape the frequency column (V5 or  $tv_data[,5]$ ) into a 4-way array. In the lines below, we rely on the facts that (a) the table is complete—there are no missing cells, so  $nrow(tv_data) = 825$ ; (b) the observations are ordered so that V1 varies most rapidly and V4 most slowly. From this, we can just extract the frequency column and reshape it into an array using the dim argument. The level names are assigned to dimnames (TV) and the variable names to names (dimnames (TV)).

More generally (even if there are missing cells), we can use xtabs() to do the cross-tabulation, using V5 as the frequency variable. Here's how to do this same operation with xtabs():

Note that in the lines above, the variable names are assigned directly as the names of the elements in the dimnames list.

# 2.9.2 Subsetting and collapsing

For many purposes, the 4-way table TV is too large and awkward to work with. Among the networks, Fox and Other occur infrequently, so we will remove them. We can also cut it down to a 3-way table by considering only viewers who persist with the current station.<sup>8</sup>

```
TV <- TV[,,1:3,]
                           # keep only ABC, CBS, NBC
 TV <- TV[,,,3]
                           # keep only Persist -- now a 3 way table
> structable(TV)
              Time 8:00 8:15 8:30 8:45 9:00 9:15 9:30 9:45 10:00 10:15 10:30
Day Network
                          151
                                 156
                                        83
                                            325
                                                  350
                                                              340
                                                                     352
                                                                            280
Mon ABC
                     146
                                                        386
    CBS
                     337
                           293
                                 304
                                      233
                                            311
                                                  251
                                                        241
                                                              164
                                                                     252
                                                                            265
                                                                                   272
                                                              246
                                                                     279
                                                                                   283
    NBC
                           219
                                 236
                                      140
                                            226
                                                  235
                                                        239
                                                                            263
                     2.63
    ABC
                     244
                                 231
                                      205
                                            385
                                                  283
                                                        345
                                                              192
                                                                     329
                                                                            351
                                                                                   364
                     173
                           180
                                 184
                                      109
                                            218
                                                  235
                                                        256
                                                              250
                                                                     274
                                                                            263
                                                                                   261
    CBS
                     315
                           254
                                 280
                                      241
                                            370
                                                  214
                                                        195
                                                              111
                                                                     188
                                                                            190
                                                                                   210
                           161
                                                        279
Wed ABC
                     233
                                 194
                                      156
                                            339
                                                  264
                                                              140
                                                                     237
                                                                            228
                                                                                   203
                                 207
    CBS
                     158
                           126
                                        59
                                             98
                                                  103
                                                        122
                                                               86
                                                                     109
                                                                            105
                                                                                   110
                     134
                                                        264
    NBC
                           146
                                 166
                                        66
                                            194
                                                  230
                                                              143
                                                                     274
                                                                            289
                                                                                   306
Thu ABC
                     174
                           183
                                 197
                                      181
                                            187
                                                  198
                                                        211
                                                               86
                                                                     110
                                                                            122
                                                                                   117
                                 195
                                                               47
    CBS
                     196
                           185
                                      104
                                            106
                                                  116
                                                        116
                                                                     102
                                                                             84
                                                                                    84
                                                                            705
                                                                                   747
    NBC
                     515
                           463
                                 472
                                      477
                                            590
                                                  473
                                                        446
                                                              349
                                                                     649
Fri
    ABC
                     294
                           281
                                 305
                                      239
                                            278
                                                  246
                                                        245
                                                              138
                                                                     246
                                                                            232
                                                                                   233
    CBS
                     130
                           144
                                 154
                                        81
                                            129
                                                  153
                                                        136
                                                              126
                                                                     138
                                                                            136
                                                                                   152
    NBC
                     195
                           220
                                 248
                                      160
                                            172
                                                  164
                                                        169
                                                               85
                                                                     183
                                                                            198
                                                                                   204
```

Finally, for some purposes, we might also want to collapse the 11 Time's into a smaller number. Here, we use collapse.table() (see Section 2.7.2), which was designed for this purpose.

```
> TV2 <- collapse.table(TV,
                           Time = c(rep("8:00-8:59", 4),
                                     rep("9:00-9:59", 4),
                                     rep("10:00-10:44", 3)))
  structable (Day ~ Time + Network,
                                     TV2)
                      Day
                            Mon
                                 Tue
                                       Wed
                                             Thu Fri
Time
             Network
8:00-8:59
                                       744
                                             735 1119
                            536
                                  861
             ABC
                                       550
             CBS
                           1167
                                  646
                                             680
                                       512
             NBC
                            858 1090
                                            1927
                                                   823
9:00-9:59
                                      1022
             ABC
                           1401
                                 1205
                                 959
             CBS
                            967
                                       409
                                             385
                                                   544
                                 890
             NBC
                            946
                                       831
                                            1858
                                                   590
10:00-10:44 ABC
                            910 1044
                                       668
                                             349
                                                   711
             CBS
                            789
                                  798
                                       324
                                             270
                                                   426
             NBC.
                            825
                                  588
                                       869
                                            2101
                                                   585
```

Congratulations! If you followed the operations described above, you are ready for the material described in the rest of the book. If not, try working through some of exercises below.

## 2.10 Lab exercises

{sec:ch02-exercises}

**Exercise 2.1** The packages vcd and vcdExtra contain many data sets with some examples of analysis and graphical display. The goal of this exercise is to familiarize yourself with these resources.

<sup>&</sup>lt;sup>8</sup>This relies on the fact that indexing an array drops dimensions of length 1 by default, using the argument drop = TRUE; the result is coerced to the lowest possible dimension.

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You can get a brief summary of these using the function datasets () from vcdExtra. Use the following to get a list of these with some characteristics and titles.

```
> ds <- datasets(package = c("vcd", "vcdExtra"))
> str(ds, vec.len = 2)

'data.frame': 74 obs. of 5 variables:
   $ Package: chr    "vcd" "vcd" ...
   $ Item : chr    "Arthritis" "Baseball" ...
   $ class : chr    "data.frame" "data.frame" ...
   $ dim : chr    "84x5" "322x25" ...
   $ Title : chr    "Arthritis Treatment Data" "Baseball Data" ...
```

- (a) How many data sets are there altogether? How many are there in each package?
- (b) Make a tabular display of the frequencies by Package and class.
- (c) Choose one or two data sets from this list, and examine their help files (e.g., help (Arthritis) or ?Arthritis). You can use, e.g., example (Arthritis) to run the R code for a given example.

 $\{lab:2.2\}$ 

**Exercise 2.2** For each of the following data sets in the vcdExtra package, identify which are response variable(s) and which are explanatory. For factor variables, which are unordered (nominal) and which should be treated as ordered? Write a sentence or two describing substantitive questions of interest for analysis of the data. (*Hint*: use data(foo, package="vcdExtra") to load, and str(foo), help(foo) to examine data set foo.)

- (a) Abortion opinion data: Abortion
- (b) Caesarian Births: Caesar
- (c) Dayton Survey: DaytonSurvey
- (d) Minnesota High School Graduates: Hoyt

{lab:2.3}

Exercise 2.3 The data set *UCBAdmissions* is a 3-way table of frequencies classified by Admit, Gender, and Dept.

- (a) Find the total number of cases contained in this table.
- (b) For each department, find the total number of applicants.
- (c) For each department, find the overall proportion of applicants who were admitted.
- (d) Construct a tabular display of department (rows) and gender (columns), showing the proportion of applicants in each cell who were admitted relative to the total applicants in that cell.

{lab:2.4}

Exercise 2.4 The data set DanishWelfare in VCd gives a 4-way,  $3 \times 4 \times 3 \times 5$  table as a data frame in frequency form, containing the variable Freq and four factors, Alcohol, Income, Status, and Urban. The variable Alcohol can be considered as the response variable, and the others as possible predictors.

- (a) Find the total number of cases represented in this table.
- (b) In this form, the variables Alcohol and Income should arguably be considered *ordered* factors. Change them to make them ordered.
- (c) Convert this data frame to table form, DanishWelfare.tab, a 4-way array containing the frequencies with appropriate variable names and level names.
- (d) The variable Urban has 5 categories. Find the total frequencies in each of these. How would you collapse the table to have only two categories, City, Non-city?
- (e) Use structable() or ftable() to produce a pleasing flattened display of the frequencies in the 4-way table. Choose the variables used as row and column variables to make it easier to compare levels of Alcohol across the other factors.

{lab:2.5}

**Exercise 2.5** The data set *UKSoccer* in vcd gives the distributions of number of goals scored by the 20 teams in the 1995/96 season of the Premier League of the UK Football Association.

```
> data("UKSoccer", package = "vcd")
> ftable(UKSoccer)

Away 0 1 2 3 4

Home
0 27 29 10 8 2
1 59 53 14 12 4
2 28 32 14 12 4
3 19 14 7 4 1
4 7 8 10 2 0
```

This two-way table classifies all  $20 \times 19 = 380$  games by the joint outcome (Home, Away), the number of goals scored by the Home and Away teams. The value 4 in this table actually represents 4 or more goals.

- (a) Verify that the total number of games represented in this table is 380.
- (b) Find the marginal total of the number of goals scored by each of the home and away teams.
- (c) Express each of the marginal totals as proportions.
- (d) Comment on the distribution of the numbers of home-team and away-team goals. Is there any evidence that home teams score more goals on average?

{lab:2.6}

**Exercise 2.6** The one-way frequency table *Saxony* in vcd records the frequencies of families with 0, 1, 2, ... 12 male children, among 6115 families with 12 children. This data set is used extensively in Chapter 3.

```
> data("Saxony", package = "vcd")
 Saxony
nMales
                                          7
                                               8
                                                     9
   \cap
        1
              2.
                    3
                         4
                               5
                                    6
                                                         10
                                                               11
                                                                     12
       24 104 286 670 1033 1343 1112
                                             829
```

Another data set, <code>Geissler</code>, in the <code>vcdExtra</code> package, gives the complete tabulation of all combinations of <code>boys</code> and <code>girls</code> in families with a given total number of children (<code>size</code>). The task here is to create an equivalent table, <code>Saxony12</code> from the <code>Geissler</code> data.

```
> data("Geissler", package = "vcdExtra")
> str(Geissler)

'data.frame': 90 obs. of 4 variables:
$ boys : int 0 0 0 0 0 0 0 0 0 0 ...
$ girls: num 1 2 3 4 5 6 7 8 9 10 ...
$ size : num 1 2 3 4 5 6 7 8 9 10 ...
$ Freq : int 108719 42860 17395 7004 2839 1096 436 161 66 30 ...
```

- (a) Use subset () to create a data frame, sax12 containing the Geissler observations in families with size==12.
- (b) Select the columns for boys and Freq.
- (c) Use xtabs () with a formula, Freq ~ boys, to create the one-way table.
- (d) Do the same steps again to create a one-way table, Saxony11, containing similar frequencies for families of size==11.

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{lab:2.7}

**Exercise 2.7** \* *Interactive coding of table factors*: Some statistical and graphical methods for contingency tables are implemented only for two-way tables, but can be extended to 3+-way tables by recoding the factors to interactive combinations along the rows and/or columns, in a way similar to what ftable() and structable() do for printed displays.

For the *UCBAdmissions* data, produce a two-way table object, UCB.tab2, that has the combinations of Admit and Gender as the rows, and Dept as its columns, to look like the result below:

```
Dept
Admit:Gender
                          В
                              С
                                       Ε
                                           F
                     Α
                                   D
  Admitted: Female
                    89
                         17 202 131
                                      94
                                          24
  Admitted:Male
                   512 353 120 138
                                      53
  Rejected: Female
                   19
                          8 391 244 299 317
                   313 207 205 279 138 351
  Rejected: Male
```

- (a) Try this the long way: convert *UCBAdmissions* to a data frame (as.data.frame()), manipulate the factors (e.g., interaction()), then convert back to a table (as.data.frame()).
- (b) Try this the short way: both ftable() and structable() have as.matrix() methods that convert their result to a matrix.

{lab:2.8}

**Exercise 2.8** The data set VisualAcuity in vcd gives a  $4 \times 4 \times 2$  table as a frequency data frame.

```
> data("VisualAcuity", package = "vcd")
> str(VisualAcuity)

'data.frame': 32 obs. of 4 variables:
$ Freq : num 1520 234 117 36 266 ...
$ right : Factor w/ 4 levels "1","2","3","4": 1 2 3 4 1 2 3 4 1 2 ...
$ left : Factor w/ 4 levels "1","2","3","4": 1 1 1 1 2 2 2 2 3 3 ...
$ gender: Factor w/ 2 levels "male","female": 2 2 2 2 2 2 2 2 2 ...
```

- (a) From this, use xtabs () to create two  $4 \times 4$  frequency tables, one for each gender.
- (b) Use structable() to create a nicely organized tabular display.
- (c) Use xtable() to create a LATEX or HTML table.

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