

# The Inquisitive Turn

—a new perspective on semantics, pragmatics, and logic—

Floris Roelofsen

[www.illc.uva.nl/inquisitive-semantics](http://www.illc.uva.nl/inquisitive-semantics)

Amsterdam, October 11, 2010

# People

- Martin Aher (ILLC MoL 2009, now Osnabrück PhD LING)
- Maria Aloni (ILLC postdoc)
- Scott AnderBois (UC Santa Cruz PhD)
- Kata Balogh (ILLC PhD 2009)
- Chris Brumwell (ILLC MoL 2009, now Stanford LAW)
- Ivano Ciardelli (ILLC MoL 2009, now Bordeaux PhD COMP)
- Irma Cornelisse (UvA BSc AI, now ILLC MoL)
- Inés Crespo (ILLC MoL 2009, now ILLC PhD PHIL)
- Jeroen Groenendijk (ILLC NWO prof)
- Andreas Haida (Berlin postdoc)
- Morgan Mameni (ILLC NWO PhD)
- Salvador Mascarenhas (ILLC MoL 2009, now NYU PhD LING)
- Floris Roelofsen (ILLC NWO postdoc)
- Katsuhiko Sano (Kyoto postdoc)
- Sam van Gool (ILLC MoL 2009, now Nijmegen PhD MATH)
- Matthijs Westera (ILLC NWO PhD)

# Overview

## Inquisitive semantics

- Motivation
- Definition and illustration
- Some crucial properties

## Inquisitive pragmatics

## Inquisitive logic

# Overview

## Inquisitive semantics

- Motivation
- Definition and illustration
- Some crucial properties

## Inquisitive pragmatics

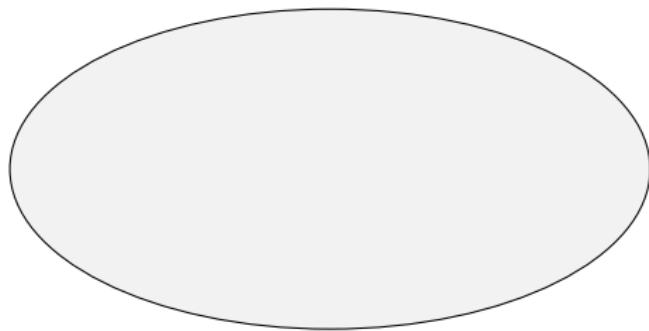
## Inquisitive logic

## Disclaimer

- Definitions are sometimes simplified for the sake of clarity
- This is all work in progress, there are many open issues, many opportunities to contribute!

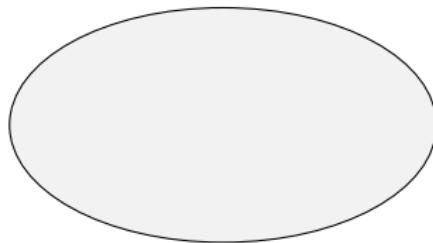
## The Traditional Picture

- Meaning = informative content
- Providing information = eliminating possible worlds



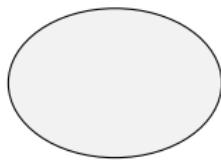
## The Traditional Picture

- Meaning = informative content
- Providing information = eliminating possible worlds



## The Traditional Picture

- Meaning = informative content
- Providing information = eliminating possible worlds



## The Traditional Picture

- Meaning = informative content
- Providing information = eliminating possible worlds



## The Traditional Picture

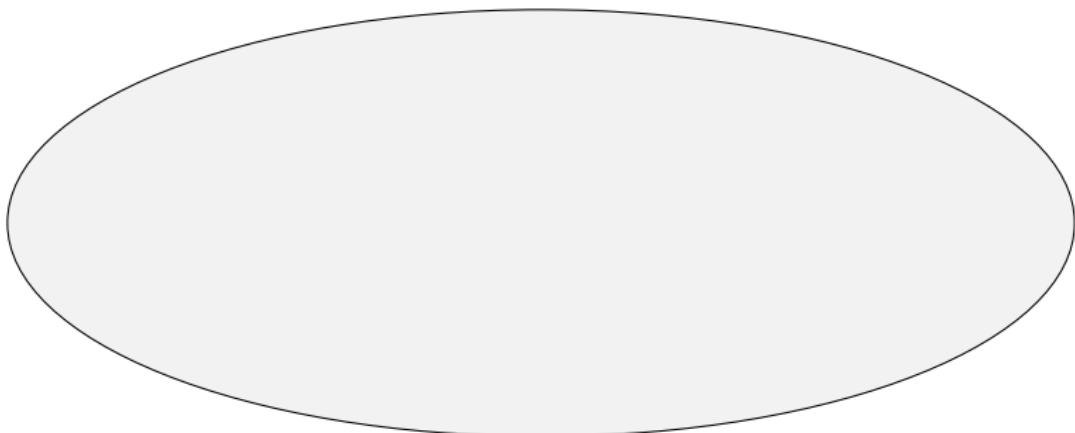
- Meaning = informative content
- Providing information = eliminating possible worlds



- Captures only one type of language use: **providing information**
- Does not reflect the **cooperative** nature of communication

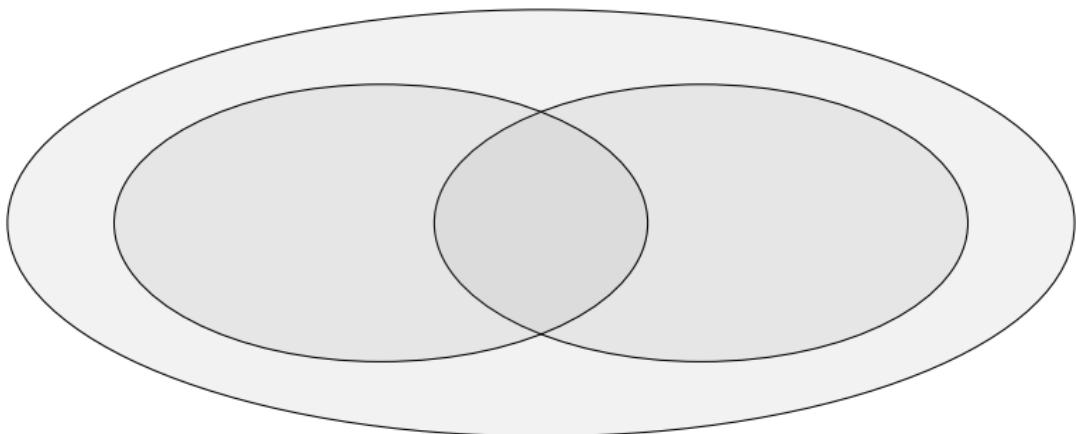
# The Inquisitive Picture

- Propositions as **proposals**
- A proposal consists of one or more **possibilities**
- A proposal that consists of several possibilities is **inquisitive**



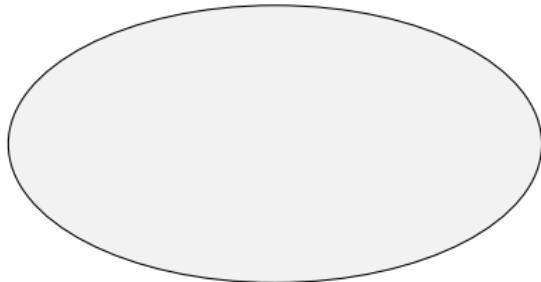
# The Inquisitive Picture

- Propositions as **proposals**
- A proposal consists of one or more **possibilities**
- A proposal that consists of several possibilities is **inquisitive**



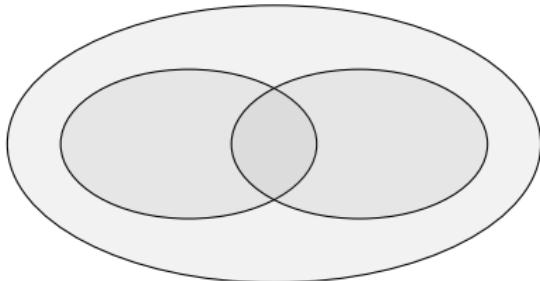
# The Inquisitive Picture

- Propositions as **proposals**
- A proposal consists of one or more **possibilities**
- A proposal that consists of several possibilities is **inquisitive**



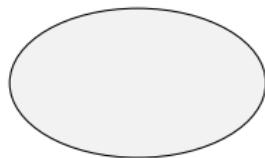
# The Inquisitive Picture

- Propositions as **proposals**
- A proposal consists of one or more **possibilities**
- A proposal that consists of several possibilities is **inquisitive**



# The Inquisitive Picture

- Propositions as **proposals**
- A proposal consists of one or more **possibilities**
- A proposal that consists of several possibilities is **inquisitive**



# A Propositional Language

## Basic Ingredients

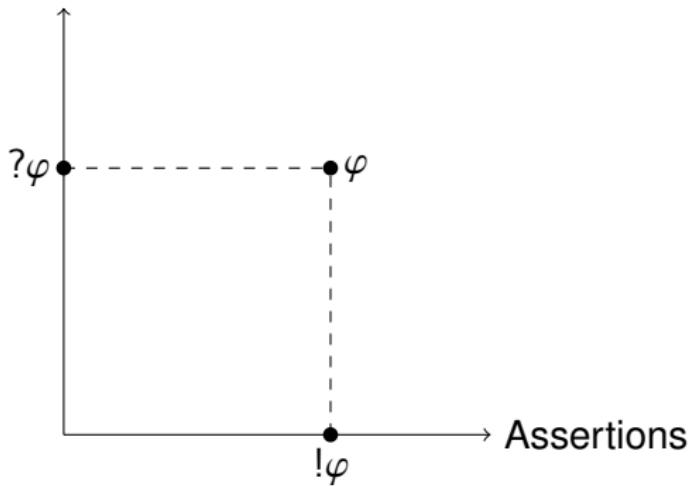
- Finite set of proposition letters  $\mathcal{P}$
- Connectives  $\perp, \wedge, \vee, \rightarrow$

## Abbreviations

- Negation:  $\neg\varphi := \varphi \rightarrow \perp$
- Non-inquisitive projection:  $!\varphi := \neg\neg\varphi$
- Non-informative projection:  $?{\varphi} := \varphi \vee \neg\varphi$

# Projections

Questions



# Semantic Notions

## Basic ingredients

- **Possible world**: function from  $\mathcal{P}$  to  $\{0, 1\}$
- **Possibility**: set of possible worlds
- **Proposition**: set of alternative possibilities

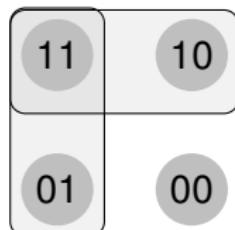
Illustration, assuming that  $\mathcal{P} = \{p, q\}$



worlds



possibility



proposition

# Semantic notions

## Basic Ingredients

- **Possible world**: function from  $\mathcal{P}$  to  $\{0, 1\}$
- **Possibility**: set of possible worlds
- **Proposition**: set of alternative possibilities

## Notation

- $[\varphi]$ : the **proposition** expressed by  $\varphi$
- $|\varphi|$ : the **truth-set** of  $\varphi$  (set of indices where  $\varphi$  is classically true)

## Classical versus inquisitive

- $\varphi$  is **classical** iff  $[\varphi]$  contains exactly one possibility
- $\varphi$  is **inquisitive** iff  $[\varphi]$  contains more than one possibility

# Atoms

For any atomic formula  $\varphi$ :  $[\varphi] = \{ |\varphi| \}$

Example:



$p$

# Connectives

## In the classical setting

connectives operate on **sets of possible worlds**:

- negation = complement
- disjunction = union
- conjunction = intersection

## In the inquisitive setting

connectives operate on **sets of sets of possible worlds**:

- negation = complement of the union
- disjunction = union
- conjunction = pointwise intersection

# Negation

## Definition

- $[\neg\varphi] = \{ \overline{\cup[\varphi]} \}$
- Take the union of all the possibilities for  $\varphi$ ; then take the complement

Example,  $\varphi$  classical:



$[p]$



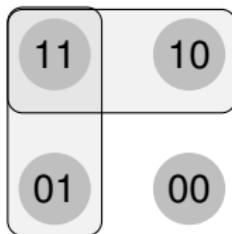
$[\neg p]$

# Negation

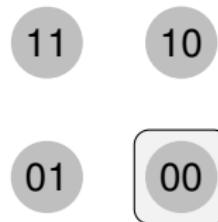
## Definition

- $[\neg\varphi] = \{ \overline{\cup[\varphi]} \}$
- Take the union of all the possibilities for  $\varphi$ ; then take the complement

Example,  $\varphi$  inquisitive:



$[\varphi]$



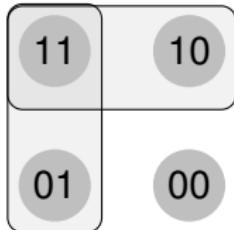
$[\neg\varphi]$

# Disjunction

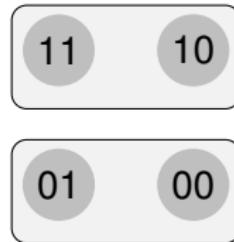
## Definition

- $[\varphi \vee \psi] = [\varphi] \cup [\psi]$

## Examples:



$$p \vee q$$



$$?p \text{ } (:= p \vee \neg p)$$

# Conjunction

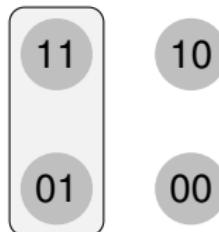
## Definition

- $[\varphi \wedge \psi] = [\varphi] \sqcap [\psi]$
- Pointwise intersection

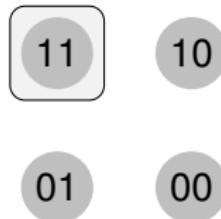
Example,  $\varphi$  and  $\psi$  classical:



$p$



$q$



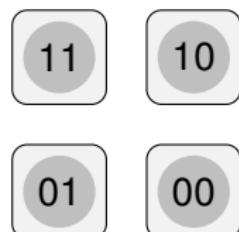
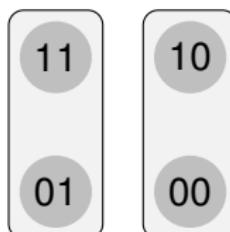
$p \wedge q$

# Conjunction

## Definition

- $[\varphi \wedge \psi] = [\varphi] \sqcap [\psi]$
- Pointwise intersection

Example,  $\varphi$  and  $\psi$  inquisitive:



# Implication

## Intuition

$$\varphi \rightarrow \psi$$

- Says that if  $\varphi$  is realized in some way, then  $\psi$  must also be realized in some way
- Raises the issue of what the exact relation is between the ways in which  $\varphi$  may be realized and the ways in which  $\psi$  may be realized

## Example

If John goes to London, then Bill or Mary will go as well

$$p \rightarrow (q \vee r)$$

- Says that if  $p$  is realized in some way,  
then  $q \vee r$  must also be realized in some way

## Example

If John goes to London, then Bill or Mary will go as well

$$p \rightarrow (q \vee r)$$

- Says that if  $p$  is realized in some way, then  $q \vee r$  must also be realized in some way
- $p$  can only be realized in one way
- but  $q \vee r$  can be realized in two ways

## Example

If John goes to London, then Bill or Mary will go as well

$$p \rightarrow (q \vee r)$$

- Says that if  $p$  is realized in some way, then  $q \vee r$  must also be realized in some way
- $p$  can only be realized in one way
- but  $q \vee r$  can be realized in two ways
- Thus,  $p \rightarrow (q \vee r)$  raises the issue of whether the realization of  $p$  implies the realization of  $q$ , or whether the realization of  $p$  implies the realization of  $r$

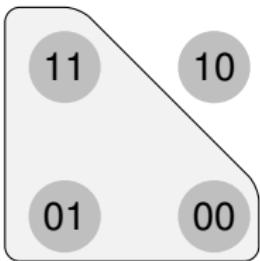
## Example

If John goes to London, then Bill or Mary will go as well

$$p \rightarrow (q \vee r)$$

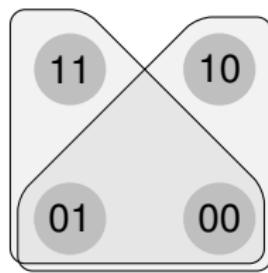
- Says that if  $p$  is realized in some way, then  $q \vee r$  must also be realized in some way
- $p$  can only be realized in one way
- but  $q \vee r$  can be realized in two ways
- Thus,  $p \rightarrow (q \vee r)$  raises the issue of whether the realization of  $p$  implies the realization of  $q$ , or whether the realization of  $p$  implies the realization of  $r$
- $[p \rightarrow (q \vee r)] = \{ |p \rightarrow q|, |p \rightarrow r| \}$

# Pictures, classical and inquisitive



$$p \rightarrow q$$

If John goes, Mary  
will go as well.



$$p \rightarrow ?q$$

If John goes, will  
Mary go as well?

## Another way to think about it

### Intuition

$$\varphi \rightarrow \psi$$

- Draws attention to the potential **implicational dependencies** between the possibilities for  $\varphi$  and the possibilities for  $\psi$
- Says that at least one of these implicational dependencies holds
- Raises the issue which of the implicational dependencies hold

# Example

If John goes to London, Bill or Mary will go as well

$$p \rightarrow (q \vee r)$$

- Two potential implicational dependencies:
  - $p \rightsquigarrow q$
  - $p \rightsquigarrow r$
- The sentence:
  - Says that at least one of these dependencies holds
  - Raises the issue which of them hold exactly

## A more complex example

If John goes to London or to Paris, will Mary go as well?

$$(p \vee q) \rightarrow ?r$$

- Four potential implicational dependencies:
  - $(p \rightsquigarrow r) \ \& \ (q \rightsquigarrow r)$
  - $(p \rightsquigarrow r) \ \& \ (q \rightsquigarrow \neg r)$
  - $(p \rightsquigarrow \neg r) \ \& \ (q \rightsquigarrow r)$
  - $(p \rightsquigarrow \neg r) \ \& \ (q \rightsquigarrow \neg r)$
- The sentence:
  - Says that at least one of these dependencies holds
  - Raises the issue which of them hold exactly

# Formalization

- Each possibility for  $\varphi \rightarrow \psi$  corresponds to a potential **implicational dependency** between the possibilities for  $\varphi$  and the possibilities for  $\psi$ ;
- Think of an implicational dependency as a **function**  $f$  mapping every possibility  $\alpha \in [\varphi]$  to some possibility  $f(\alpha) \in [\psi]$ ;
- What does it take to **establish** an implicational dependency  $f$ ?
- For each  $\alpha \in [\varphi]$ , we must establish that  $\alpha \Rightarrow f(\alpha)$  holds

# Formalization

- Each possibility for  $\varphi \rightarrow \psi$  corresponds to a potential **implicational dependency** between the possibilities for  $\varphi$  and the possibilities for  $\psi$ ;
- Think of an implicational dependency as a **function**  $f$  mapping every possibility  $\alpha \in [\varphi]$  to some possibility  $f(\alpha) \in [\psi]$ ;
- What does it take to **establish** an implicational dependency  $f$ ?
- For each  $\alpha \in [\varphi]$ , we must establish that  $\alpha \Rightarrow f(\alpha)$  holds

## Implementation

- $[\varphi \rightarrow \psi] = \{\gamma_f \mid f : [\psi]^{[\varphi]}\}$  where  $\gamma_f = \bigcap_{\alpha \in [\varphi]} (\alpha \Rightarrow f(\alpha))$

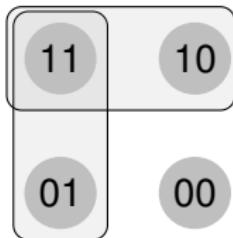
# Formalization

- Each possibility for  $\varphi \rightarrow \psi$  corresponds to a potential **implicational dependency** between the possibilities for  $\varphi$  and the possibilities for  $\psi$ ;
- Think of an implicational dependency as a **function**  $f$  mapping every possibility  $\alpha \in [\varphi]$  to some possibility  $f(\alpha) \in [\psi]$ ;
- What does it take to **establish** an implicational dependency  $f$ ?
- For each  $\alpha \in [\varphi]$ , we must establish that  $\alpha \Rightarrow f(\alpha)$  holds

## Implementation

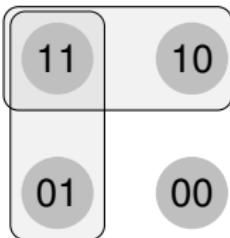
- $[\varphi \rightarrow \psi] = \{\gamma_f \mid f : [\psi]^{[\varphi]}\}$  where  $\gamma_f = \bigcap_{\alpha \in [\varphi]} (\alpha \Rightarrow f(\alpha))$
- For simplicity, we usually define  $\alpha \Rightarrow f(\alpha)$  in terms of material implication:  $\bar{\alpha} \cup f(\alpha)$ . But any more sophisticated treatment of conditionals could in principle be plugged in here.

# Informativeness and Inquisitiveness



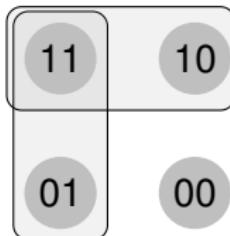
- $p \vee q$  is **inquisitive**:  $[p \vee q]$  consists of more than one possibility
- $p \vee q$  is **informative**:  $[p \vee q]$  proposes to eliminate indices

# Informativeness and Inquisitiveness



- $p \vee q$  is **inquisitive**:  $[p \vee q]$  consists of more than one possibility
- $p \vee q$  is **informative**:  $[p \vee q]$  proposes to eliminate indices
- $\bigcup[\varphi]$  captures the **informative content** of  $\varphi$

# Informativeness and Inquisitiveness



- $p \vee q$  is **inquisitive**:  $[p \vee q]$  consists of more than one possibility
- $p \vee q$  is **informative**:  $[p \vee q]$  proposes to eliminate indices
- $\text{U}[\varphi]$  captures the **informative content** of  $\varphi$
- Fact: for any formula  $\varphi$ ,  $\text{U}[\varphi] = |\varphi|$   
⇒ classical notion of informative content is preserved

# Questions, assertions, and hybrids

- $\varphi$  is a **question** iff it is **not informative**
- $\varphi$  is an **assertion** iff it is **not inquisitive**



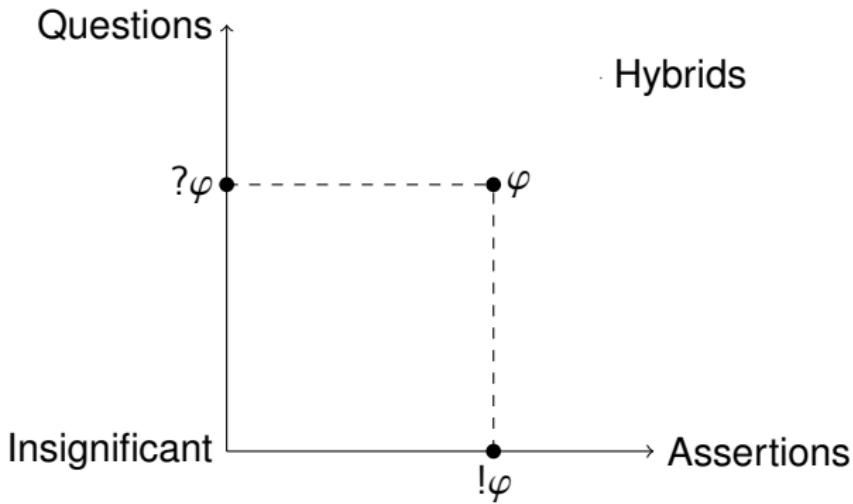
# Questions, assertions, and hybrids

- $\varphi$  is a question iff it is not informative
- $\varphi$  is an assertion iff it is not inquisitive



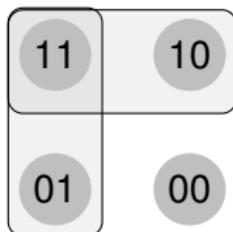
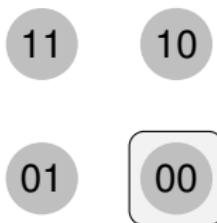
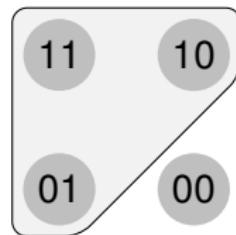
- $\varphi$  is a hybrid iff it is both informative and inquisitive
- $\varphi$  is insignificant iff it is neither informative nor inquisitive

# Questions, assertions, and hybrids



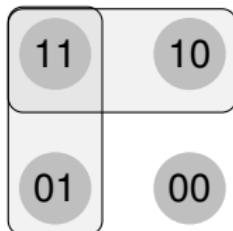
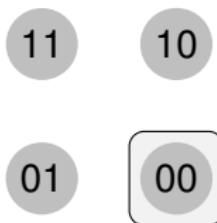
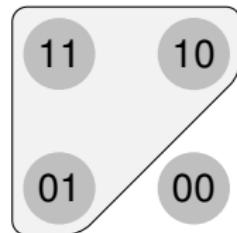
## Non-inquisitive closure

- Double negation always preserves the informative content of a sentence, but removes inquisitiveness

 $p \vee q$  $\neg(p \vee q)$  $\neg\neg(p \vee q)$

## Non-inquisitive closure

- Double negation always preserves the informative content of a sentence, but removes inquisitiveness

 $p \vee q$  $\neg(p \vee q)$  $\neg\neg(p \vee q)$ 

- Therefore,  $\neg\neg\varphi$  is abbreviated as  $!\varphi$
- and is called the **non-inquisitive closure** of  $\varphi$

# Significance and inquisitiveness

- In a classical setting, **non-informative** sentences are tautologous, i.e., **insignificant**
- In inquisitive semantics, some classical tautologies come to form a **new class of meaningful sentences**, namely **questions**
- Questions are meaningful not because they are informative, but because they are inquisitive



- Example:  $?p := p \vee \neg p$

$$p \vee \neg p$$

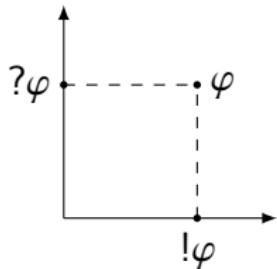
# Alternative characterization of questions and assertions

## Equivalence

- $\varphi$  and  $\psi$  are equivalent iff  $[\varphi] = [\psi]$
- Notation:  $\varphi \equiv \psi$

## Questions and assertions

- $\varphi$  is a question iff  $\varphi \equiv ?\varphi$
- $\varphi$  is an assertion iff  $\varphi \equiv !\varphi$



## Division fact

- For any  $\varphi$ :  $\varphi \equiv ?\varphi \wedge !\varphi$

# Pragmatics

- specifies how **cooperative** speakers should **use** the sentences of a language in particular contexts, given the semantic meaning of those sentences

## Classical (Gricean) pragmatics

- identifies **semantic meaning** with **informative content**
- is exclusively **speaker-oriented**
- **Quality:** say only what you believe to be true
- **Quantity:** be as informative as possible
- **Relation:** say only things that are relevant for the purposes of the conversation

# Inquisitive pragmatics

## A new perspective

- Inquisitive semantics enriches the notion of semantic meaning
- This gives rise to a new perspective on pragmatics as well

## Inquisitive pragmatics

- based on **informative content**, but also on **inquisitive content**
- **speaker-oriented**, but also **hearer-oriented**
- **Quality:** say only what you know, ask only what you want to know  
publicly announce unacceptability of a proposal
- **Quantity:** say more, ask less
- **Relation:** be *compliant*  $\Rightarrow$  formal notion of relatedness

# Logic

## Traditionally

- logic is concerned with entailment and (in)consistency
- given these concerns, it makes sense to identify semantic meaning with informative content

## Vice versa

- if semantic meaning is identified with informative content, propositions are construed as sets of possible worlds
- there are only three possible relations between two sets of worlds: inclusion, overlap, and disjointness
- these correspond to entailment and (in)consistency
- other relations between sentences cannot be captured

# Inquisitive logic

## A new perspective

- Inquisitive semantics enriches the notion of semantic meaning
- This gives rise to a new perspective on logic as well

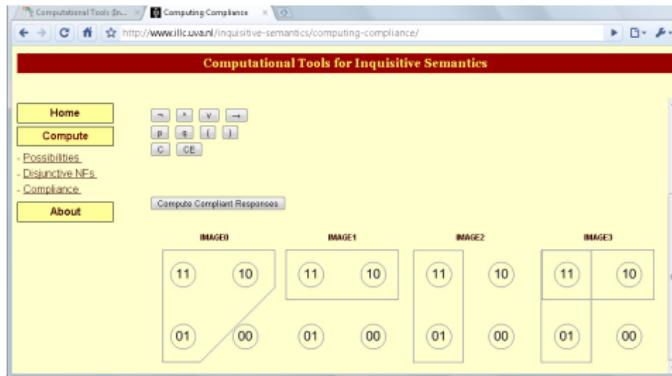
## New logical notions

- Besides classical entailment, we get a notion of **inquisitive entailment**:  $\varphi$  inquisitively entails  $\psi$  iff whenever  $\varphi$  is resolved,  $\psi$  is resolved as well;
- We also get logical notions of **relatedness**. In particular,  $\varphi$  is a **compliant** response to  $\psi$  iff it addresses the issue raised by  $\psi$  without providing any redundant information.
- Note: **classical notions are not replaced, but preserved.**

# Computational tools and applications

## Tools

- [sites.google.com/site/inquisitivesemantics/implementation](http://sites.google.com/site/inquisitivesemantics/implementation)



## Applications

- Dialogue systems, question-answer systems, negotiation protocols, ambiguity resolution.

## Some references

### Inquisitive semantics and pragmatics

Jeroen Groenendijk and Floris Roelofsen (2009) *Stanford workshop on Language, Communication and Rational Agency*

### Inquisitive logic

Ivano Ciardelli and Floris Roelofsen (2010)  
*Journal of Philosophical Logic*

### Disjunctive questions, intonation, and highlighting

Floris Roelofsen and Sam van Gool (2010) *Logic, Language, and Meaning: selected papers from the Amsterdam Colloquium*

[www illc uva nl/inquisitive-semantics](http://www illc uva nl/inquisitive-semantics)