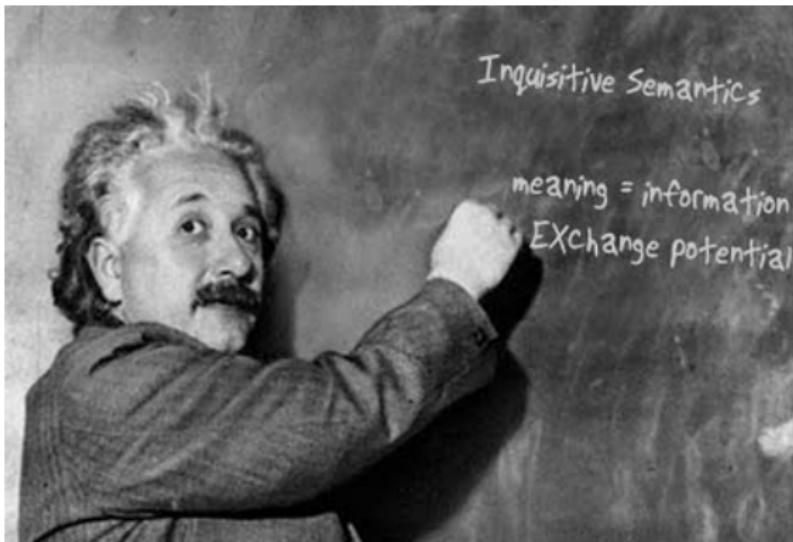


Inquisitive epistemic logic

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www.illc.uva.nl/inquisitivesemantics

Motivation

One of the primary applications of logic

- Modeling **information exchange** through communication between a number of agents

Epistemic logic

- Allows us to model what the **facts** are in a given situation and what all the agents **know** about these facts and about each other
- Allows us to capture the **informative content** of sentences

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- Allows us to capture the **informative content** of sentences

What is missing from this picture?

Motivation

What is missing?

- It is not only important to model what the agents know, but also what they **want to know**, i.e., the **issues** that they entertain

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- It is not only important to model what the agents know, but also what they **want to know**, i.e., the **issues** that they entertain

Moreover:

- Agents do not only use **declarative** sentences to **provide** information
- They also use **interrogative** sentences to **request** information
- To capture the meaning of both declaratives and interrogatives, we need to be able to capture both **informative** and **inquisitive** content

Motivation

Summing up

- Epistemic models need to be enriched with **issues**
- Our logical language needs to be enriched with **interrogatives**
- Our notion of meaning needs to be enriched with **inquisitive content**

Epistemic logic

Epistemic models

An epistemic model is a triple $M = \langle \mathcal{W}, V, \{\sigma_a \mid a \in A\} \rangle$, where:

- \mathcal{W} is a set, whose elements are called **possible worlds**
- $V : \mathcal{W} \rightarrow \wp(\mathcal{P})$ is called the **valuation function**
- $\sigma_a : \mathcal{W} \rightarrow \wp(\mathcal{W})$ is called the **epistemic map** of agent a

For any world w , $\sigma_a(w)$ is an information state satisfying:

- **Factivity:** $w \in \sigma_a(w)$
- **Introspection:** for all $v \in \sigma_a(w)$: $\sigma_a(v) = \sigma_a(w)$

Epistemic logic

- The language \mathcal{L}_{EL} is a propositional language enriched with knowledge modalities K_a .
- The semantics is given by a recursive definition of truth w.r.t. a world.
- The proposition expressed by a sentence φ in a model M is the set of worlds where the sentence is true:

$$|\varphi|_M = \{w \in \mathcal{W} \mid \langle M, w \rangle \models \varphi\}$$

- An agent knows φ iff φ is true in all worlds in the agent's info state:

$$\langle M, w \rangle \models K_a \varphi \iff \text{for all } v \in \sigma_a(w), \langle M, v \rangle \models \varphi$$

- Notice that K_a expresses a relation between two sets of worlds: a's information state and the proposition expressed by φ

$$\langle M, w \rangle \models K_a \varphi \iff \sigma_a(w) \subseteq |\varphi|_M$$

Modeling issues

How to model issues?

- We want to equip agents not only with an information state, but also with an **inquisitive state** that describes the **issues** that they entertain.
- But how to model issues?

Key idea

- Model an issue as a **set of information states**
- Namely, those information states in which the issue is **resolved**

Example

- The issue '**who won the elections**' is modeled as the set of information states in which it is known who won the elections

Two constraints

Does any set of information states properly represent an issue?

- No, there are two constraints.

Issues are downward closed

- If an issue I is resolved in an information state s , then it will also be resolved in any more informed information state $t \subset s$
- So issues are downward closed: for any $s \in I$, if $t \subset s$, then $t \in I$

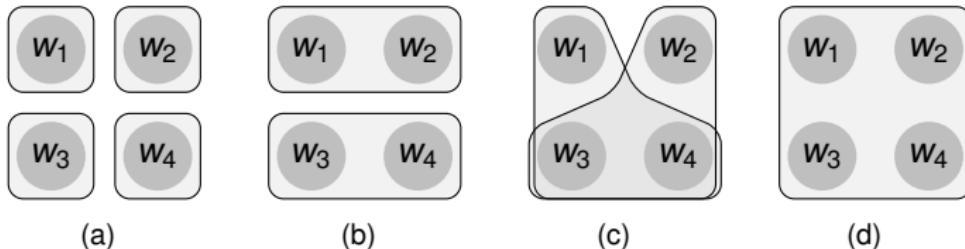
Issues always contain the inconsistent information state

- The inconsistent information state is the empty state, \emptyset
- It is standardly assumed that in \emptyset everything is known
- Similarly, we assume that in \emptyset every issue is resolved
- This means that an issue always contains \emptyset
- Equivalently, issues are always non-empty sets of info states

Definition of issues and examples

Issues

- An issue is a **non-empty, downward closed** set of information states.
- We say that an issue I is an issue **over a state s** in case $s = \bigcup I$.
- The set of all issues is denoted by Π .



Four issues over the state $\{w_1, w_2, w_3, w_4\}$.

Inquisitive epistemic models

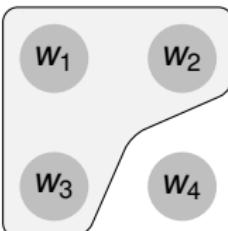
A model should now provide, for every possible world w :

1. a specification $V(w)$ of the basic facts;
2. a specification $\sigma_a(w)$ of the agents' information;
3. a specification $\Sigma_a(w)$ of the agents' issues.

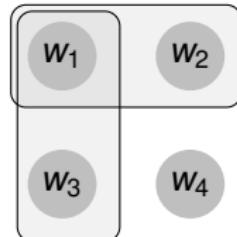
where $\Sigma_a(w)$ should be an issue over $\sigma_a(w)$.



(a) \mathcal{W}



(b) $\sigma_a(w_1)$



(c) $\Sigma_a(w_1)$

Inquisitive epistemic models

Can we simplify?

- Do we need to specify all three components explicitly?
- Recall that $\Sigma_a(w)$ should be an issue over $\sigma_a(w)$.
- But this means that $\sigma_a(w) = \bigcup \Sigma_a(w)$.
- So if we know $\Sigma_a(w)$, we also know $\sigma_a(w)$.
- This means that $\sigma_a(w)$ does not need to be specified explicitly.
- $\Sigma_a(w)$ already encodes both information and issues.

Definition of inquisitive epistemic models

Inquisitive epistemic models

An **inquisitive epistemic model** is a triple $M = \langle \mathcal{W}, V, \{\Sigma_a \mid a \in A\} \rangle$, where:

- \mathcal{W} is a set, whose elements are called **possible worlds**
- $V : \mathcal{W} \rightarrow \wp(\mathcal{P})$ is called the **valuation function**
- $\Sigma_a : \mathcal{W} \rightarrow \Pi$ called the **inquisitive state map** of agent a

For any w , $\Sigma_a(w)$ is an **issue** satisfying:

- **Factivity:** $w \in \sigma_a(w)$
- **Introspection:** for all $v \in \sigma_a(w)$: $\Sigma_a(v) = \Sigma_a(w)$

where for any w , $\sigma_a(w) := \bigcup \Sigma_a(w)$ is the **information state** of a at w .

Enriching the logical language

- We have enriched epistemic models with **issues**
- The next step is to enrich our logical language with **interrogatives**

Syntax

- **Declaratives:** $\alpha ::= p \mid \perp \mid \alpha \wedge \alpha \mid \alpha \rightarrow \alpha \mid K_a \varphi \mid E_a \varphi$
- **Interrogatives:** $\mu ::= ?\{\alpha_1, \dots, \alpha_n\} \mid \mu \wedge \mu \mid \alpha \rightarrow \mu$

Abbreviations

- $\neg \alpha := \alpha \rightarrow \perp$
- $\alpha \vee \beta := \neg(\neg \alpha \wedge \neg \beta)$
- $? \alpha := ?\{\alpha, \neg \alpha\}$

Examples

- $K_a ? K_b ? p$
- $p \rightarrow ? K_b p$
- $K_a ? p \rightarrow ? K_b K_a ? p$

From truth conditions to support conditions

- We have enriched our **models** and our **logical language**.
- Next, we need to enrich the **semantics**.
- In EL, the semantics specifies **truth conditions** wrt worlds.
- For **interrogatives**, this does not work.
- Rather, we should give **resolution condition** wrt information states.
- We could give a simultaneous definition of truth and resolution.
- But there is a better solution: we will **lift** the interpretation of declarative sentences from worlds to information states as well.
- We define a **support** relation, $s \models \varphi$, where intuitively:
 - $s \models \alpha$ amounts to: α is **established**, or **true everywhere** in s ;
 - $s \models \mu$ amounts to: μ is **resolved** in s .

Support conditions

Definition (Support)

1. $\langle M, s \rangle \models p \iff p \in V(w)$ for all worlds $w \in s$
2. $\langle M, s \rangle \models \perp \iff s = \emptyset$
3. $\langle M, s \rangle \models ?\{\alpha_1, \dots, \alpha_n\} \iff \langle M, s \rangle \models \alpha_i$ for some $i \in \{1, \dots, n\}$
4. $\langle M, s \rangle \models \varphi \wedge \psi \iff \langle M, s \rangle \models \varphi$ and $\langle M, s \rangle \models \psi$
5. $\langle M, s \rangle \models \alpha \rightarrow \varphi \iff$ for any $t \subseteq s$, if $\langle M, t \rangle \models \alpha$ then $\langle M, t \rangle \models \varphi$
6. $\langle M, s \rangle \models K_a \varphi \iff$ for any $w \in s$, $\langle M, \sigma_a(w) \rangle \models \varphi$
7. $\langle M, s \rangle \models E_a \varphi \iff$ for any $w \in s$ and for any $t \in \Sigma_a(w)$, $\langle M, t \rangle \models \varphi$

Fact (Persistence, empty state)

- **Persistence:** if $\langle M, s \rangle \models \varphi$ and $t \subseteq s$ then $\langle M, t \rangle \models \varphi$.
- **Empty state:** $\langle M, \emptyset \rangle \models \varphi$ for any sentence φ .

Deriving truth conditions from support conditions

Definition (Truth)

φ is defined to be true at a world w , $\langle M, w \rangle \models \varphi$, just in case $\langle M, \{w\} \rangle \models \varphi$

Fact (Truth conditions)

1. $\langle M, w \rangle \models p \iff p \in V(w)$
2. $\langle M, w \rangle \not\models \perp$
3. $\langle M, w \rangle \models ?\{\alpha_1, \dots, \alpha_n\} \iff \langle M, w \rangle \models \alpha_i \text{ for some index } 1 \leq i \leq n$
4. $\langle M, w \rangle \models \varphi \wedge \psi \iff \langle M, w \rangle \models \varphi \text{ and } \langle M, w \rangle \models \psi$
5. $\langle M, w \rangle \models \alpha \rightarrow \varphi \iff \langle M, w \rangle \not\models \alpha \text{ or } \langle M, w \rangle \models \varphi$
6. $\langle M, w \rangle \models \neg \alpha \iff \langle M, w \rangle \not\models \alpha$
7. $\langle M, w \rangle \models \alpha \vee \beta \iff \langle M, w \rangle \models \alpha \text{ or } \langle M, w \rangle \models \beta$
8. $\langle M, w \rangle \models K_a \varphi \iff \langle M, \sigma_a(w) \rangle \models \varphi$
9. $\langle M, w \rangle \models E_a \varphi \iff \text{for any } t \in \Sigma_a(w), \langle M, t \rangle \models \varphi$

Inquisitive epistemic logic

Definition (Proposition)

The **proposition** expressed by φ in M is the set $[\varphi]_M = \{s \mid \langle M, s \rangle \models \varphi\}$.

Definition (Truth-set)

The **truth-set** of φ in M is the set of worlds $|\varphi|_M = \{w \mid \langle M, w \rangle \models \varphi\}$.

Fact (Truth-sets and propositions)

For any φ and any M :

$$|\varphi|_M = \bigcup [\varphi]_M$$

Truth for declaratives and interrogatives

Truth for declaratives

- The semantics of a declarative is determined by its truth conditions:

$$\langle M, s \rangle \models \alpha \iff \text{for all } w \in s, \langle M, w \rangle \models \alpha$$

- Thus, for any declarative α we have $[\alpha]_M = \wp(|\alpha|_M)$.

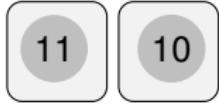
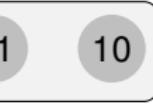
Truth for interrogatives

- $\langle M, w \rangle \models \mu$ just in case $w \in s$ for some state s that supports μ .
- That is, μ is true at a world just in case it can be truthfully resolved.

Back to the support conditions: basic cases

Definition

1. $\langle M, s \rangle \models p \iff p \in V(w)$ for all worlds $w \in s$
2. $\langle M, s \rangle \models \perp \iff s = \emptyset$
3. $\langle M, s \rangle \models ?\{\alpha_1, \dots, \alpha_n\} \iff \langle M, s \rangle \models \alpha_i$ for some index $1 \leq i \leq n$
4. $\langle M, s \rangle \models \varphi \wedge \psi \iff \langle M, s \rangle \models \varphi$ and $\langle M, s \rangle \models \psi$



$[p]$

$[?p]$

$[p \wedge q]$

$[?p \wedge ?q]$

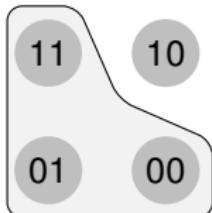
Support for implication

Definition

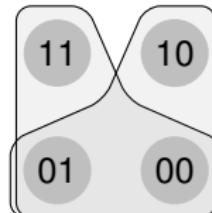
- $\langle M, s \rangle \models \alpha \rightarrow \varphi \iff \text{for any } t \subseteq s, \text{ if } \langle M, t \rangle \models \alpha \text{ then } \langle M, t \rangle \models \varphi$

Fact

- $\langle M, s \rangle \models \alpha \rightarrow \varphi \iff \langle M, s \cap |\alpha|_M \rangle \models \varphi$



$[p \rightarrow q]$



$[p \rightarrow ?q]$

The knowledge modality

General definition

- $\langle M, w \rangle \models K_a \varphi \iff \langle M, \sigma_a(w) \rangle \models \varphi$

Knowing a declarative

For a declarative α , support amounts to truth at each world, so in this case we recover the **standard clause**:

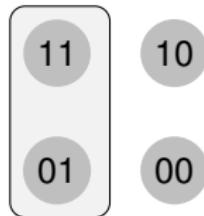
- $\langle M, w \rangle \models K_a \alpha \iff \text{for all } v \in \sigma_a(w), \langle M, v \rangle \models \alpha$



$\Sigma_a(w_{11})$



$[p]$



$[q]$

The knowledge modality

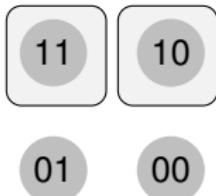
General definition

- $\langle M, w \rangle \models K_a \varphi \iff \langle M, \sigma_a(w) \rangle \models \varphi$

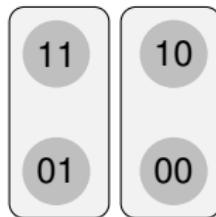
Knowing an interrogative

- $\langle M, w \rangle \models K_a \mu \iff \sigma_a(w) \text{ resolves } \mu.$

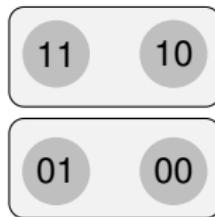
Example: $K_a ?p \equiv K_a p \vee K_a \neg p$



$\Sigma_a(w_{11})$



$[?q]$



$[?p]$

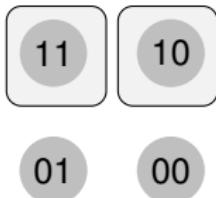
The entertain modality

General definition

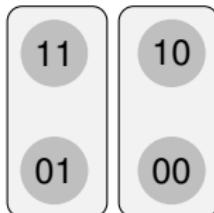
- $\langle M, w \rangle \models E_a \varphi \iff \text{for any } t \in \Sigma_a(w), \langle M, t \rangle \models \varphi$

Declaratives and interrogatives

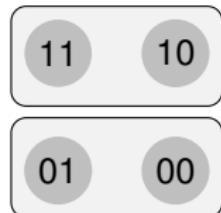
- For a declarative α , $E_a \alpha \equiv K_a \alpha$.
- For an interrogative μ , $E_a \mu$ is true just in case whenever the internal issues of a are resolved, μ is also resolved.
- Intuitively, $E_a \mu$ is true iff every state that a wants to reach is one that supports μ



$\Sigma_a(w_{11})$



$[?q]$



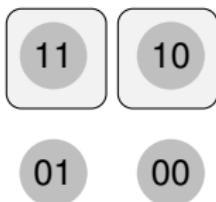
$[?p]$

Wondering = entertaining without knowing

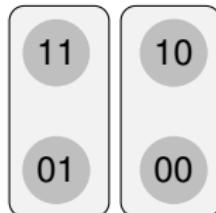
- The truth conditions for $E_a\mu$ are close to those for *a wonders about μ*
- But **one exception**: if *a* already **knows** how to resolve μ , $E_a\mu$ is true but we would not say that *a* wonders about μ .
- So to **wonder** about μ is to **entertain** μ **without knowing** μ .

$$W_a\varphi := E_a\varphi \wedge \neg K_a\varphi$$

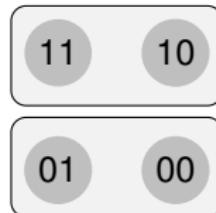
- With this definition, $W_a\varphi$ is a **contradiction** if φ is a **declarative**.



$\Sigma_a(w_{11})$



[?q]



[?p]

Comparison with basic EL: the modalities

- Our operators K_a and E_a are not Kripke modalities.
- However, like Kripke modalities in epistemic logic, they express a relation between two semantic objects of the same type:
 - a state associated with the world
 - the proposition expressed by the prejacent
- In EL, states $\sigma_a(w)$ and propositions $|\varphi|_M$ are simple sets of worlds:
 - $\langle M, w \rangle \models K_a \varphi \iff \sigma_a(w) \subseteq |\varphi|_M$
- In IEL, both states $\Sigma_a(w)$ and propositions $[\varphi]_M$ are more structured, namely, they are issues.
 - $\langle M, w \rangle \models K_a \varphi \iff \bigcup \Sigma_a(w) \in [\varphi]_M$
 - $\langle M, w \rangle \models E_a \varphi \iff \Sigma_a(w) \subseteq [\varphi]_M$

Comparison with basic EL: the logic

IEL is a conservative extension of EL

- Any IE-model M induces a standard epistemic model M^e , obtained simply by **forgetting the issues** for each agent.
- For any IE-model M and formula $\alpha \in \mathcal{L}_{EL}$,

$$M, w \models \alpha \iff M^e, w \models \alpha$$

Conclusion

- Our goal was to develop a logic to model information exchange, seen as a process of raising and resolving issues.
- We enriched epistemic models with a description of agents' issues.
- We enriched the logical language with interrogatives.
- We formulated a uniform, support-based semantics for declaratives and interrogatives.
- The result is a conservative extension of standard epistemic logic.
- K_a was generalized to describe which issues agents can resolve.
- New modalities E_a and W_a we introduced to describe which issues agents entertain and wonder about.

