LABORATORY: LSTM-RNN In Class

NAME: 黄化翔 STUDENT ID#: 313513054.

Objectives:

- Students will implement a Recurrent Neural Network (RNN) from scratch, without using built-in RNN APIs.
- Students will manually implement:
 - o Hidden state updates over a sequence, a simple linear output layer for sentence classification and manual Stochastic Gradient Descent (SGD) updates.
- Students will understand how RNN hidden states summarize past information.
- Students will visualize the loss curve during training and observe model predictions for simple sentences.

Part 1. Instruction

In this assignment, you will implement a basic Vanilla Recurrent Neural Network (RNN) for simple sequence classification using PyTorch, but without using any high-level RNN modules (no *nn.RNN*, no *optim.SGD*, etc.).

You will manually implement:

- A step-by-step hidden state update based on the RNN equation.
- A manual simple output layer to predict whether a sentence is "good" or "bad".
- Manual parameter updates using basic gradient descent.

The general RNN computation is as follows:

$$s_{t} = \varphi (Ws_{t-1} + Ux_{t} + b)$$

After the final time step, you apply an output layer:

$$logits = W_{out} s_T + b_{out}$$

where:

- $W_{out} \in R^{2 \times n}$ = output weight matrix
- $b_{out} \in R^{2 \times 1} = \text{output bias}$
- The prediction is obtained by applying argmax over the logits.

Part 2. Code Template				
Step	Procedure			
1	import torch			
	import matplotlib.pyplot as plt			
	# 1. Vocabulary vocab = { "The": 0, "movie": 1, "is": 2, "good": 3, "bad": 4}			
	def word_to_onehot(word):			
	vec = torch.zeros(len(vocab), 1)			

Lecture: Prof. Hsien-I Lin



```
vec[vocab[word]] = 1.0
          return vec
        # 2. Training Samples
        train_data = [
          (["The", "movie", "is", "good"], 1),
          (["The", "movie", "is", "bad"], 0)
        # 3. Define RNN Parameters
        n = 2 # hidden size
        m = len(vocab)
        T = 4 # number of words
        torch.manual_seed(42)
        # Initialize Weights (n x n), (n x m), (n x 1), (2 x n), (2 x 1)
        W = None
        U = None
        b = None
        W \text{ out} = None
        b out = None
        #4. Training Setup
        learning_rate = 0.1
        num_epochs = 300
        loss_fn = torch.nn.CrossEntropyLoss()
        loss history = []
3
        # 5. Training Loop
        for epoch in range(num_epochs):
          total_{loss} = 0.0
          for sentence, label in train_data:
             inputs = [word_to_onehot(word) for word in sentence]
             s prev = torch.zeros(n, 1)
             for x_t in inputs:
               # TODO: Compute s_t
               s t = None # TODO
               s_prev = s_t
             # Output layer
             logits = None # TODO
             logits = logits.view(1, -1)
            # Loss
             target = torch.tensor([label])
             loss = loss_fn(logits, target)
             total loss += loss.item()
             # Backward
             loss.backward()
```

Lecture: Prof. Hsien-I Lin



```
# Manual update (SGD)
     with torch.no grad():
       #TODO: Update W, U, b, W out, b out
       pass
       # Zero gradients after updating
       W.grad.zero ()
       U.grad.zero_()
       b.grad.zero_()
       W out.grad.zero ()
       b out.grad.zero ()
  loss history.append(total loss)
  if (epoch + 1) \% 50 == 0:
     print(f"Epoch [{epoch+1}/{num epochs}] - Loss: {total loss:.4f}")
#6. Plot Loss
plt.plot(loss history)
plt.xlabel("Epoch")
plt.ylabel("Total Loss")
plt.title("Training Loss Curve")
plt.grid(True)
plt.show()
#7. Test After Training
print("\n=== Testing ===")
test sentences = [
  ["The", "movie", "is", "bad"],
  ["The", "movie", "is", "good"]
]
for test sentence in test sentences:
  inputs = [word to onehot(word) for word in test sentence]
  s_prev = torch.zeros(n, 1)
  for x t in inputs:
     #Forward pass again (same as above)
     s_t = None # TODO
     s_prev = s_t
  logits = None # TODO
  prediction = torch.argmax(logits)
  print(f"Sentence: {test_sentence} → Prediction: {prediction.item()}")
```

Grading Assignment & Submission (30% Max)

Implementation:

- 1. (5%) Correctly initialize all weights.
- 2. (5%) Correctly compute the hidden state update and the output layer (logits).
- 3. (5%) Correctly update all parameters manually using gradients (manual SGD).
- 4. (5%) The model correctly predicts "good" = 1 and "bad" = 0 in the test sentences.

Question:

Lecture: Prof. Hsien-I Lin



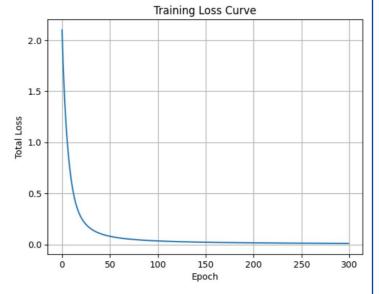
- 5. (5%) What does the hidden state s_t represent at each time step when processing a sequence of words?
- 6. (5%) Why are RNNs hard to train?

Submission:

- 1. Report: Provide your screenshots of your results in the last pages of this PDF File.
- 2. Code: Submit your complete Python script in either .py or .ipynb format.
- 3. Upload both your report and code to the E3 system (Labs7 In Class Assignment). Name your files correctly:
 - Report: StudentID Lab7 InClass.pdf
 - Code: StudentID Lab7 InClass.py or StudentID Lab7 InClass.ipynb
- 4. Deadline: 16:20 PM
- 5. Plagiarism is **strictly prohibited**. Submitting copied work from other students will result in penalties.

Results and Discussion:

Epoch [50/300] - Loss: 0.0816 Epoch [100/300] - Loss: 0.0347 Epoch [150/300] - Loss: 0.0216 Epoch [200/300] - Loss: 0.0156 Epoch [250/300] - Loss: 0.0122 Epoch [300/300] - Loss: 0.0100



```
=== Testing ===
Sentence: ['The', 'movie', 'is', 'bad'] -> Prediction: 0
Sentence: ['The', 'movie', 'is', 'good'] -> Prediction: 1
```

5. (5%) What does the hidden state s_1 represent at each time step when processing a sequence of

at each step, t,

• $S_t = tanh(Wst-1 + Uxt+b)$

·It is a fixed - size vector that summarize all word up to t · It blend the previous memory St-1 with the current input Xt

. At the final step ST feed into the output layer to predict

"good" and "bad"

- 6. (5%) Why are RNNs hard to train?
- · Vanishing gradient: repeated multiplication by W and $\phi'(<1)$ make gradient shrink exponentially, so early input hardly affect the loss.
- · Exploding gradient: if those factor exceed 1, gradient blow up, causing instability.
- · Sequential dependency: each step depend on the last, limiting parallelization and make optimization sensitive to hyperparameter.

Lecture: Prof. Hsien-I Lin

