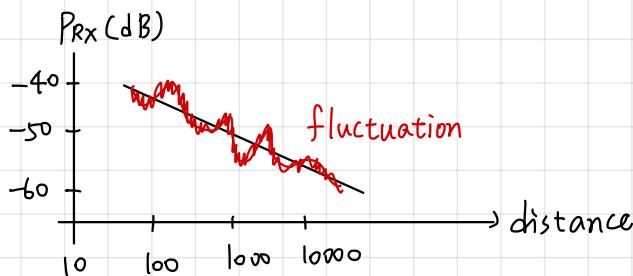


# Wireless Communication

## Ch5

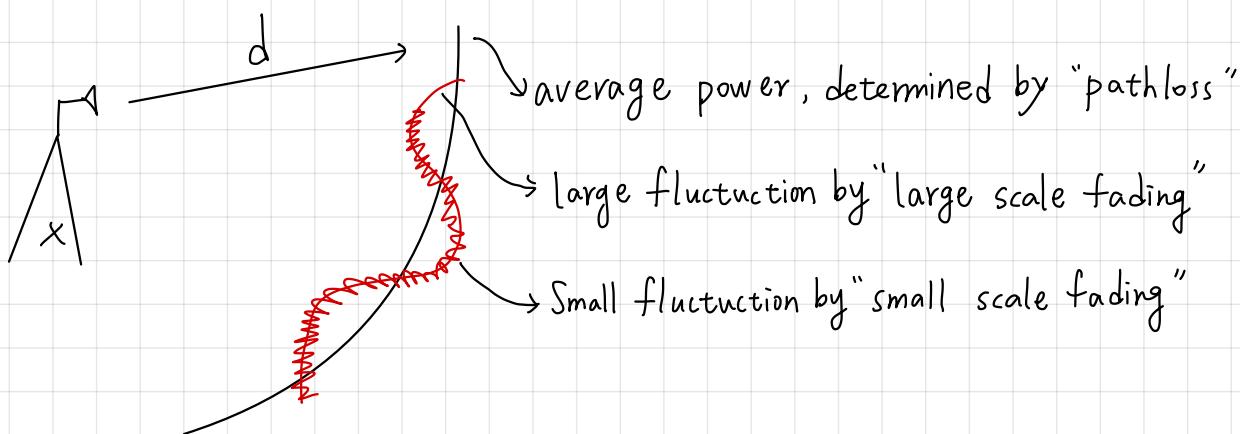
## Lec 5

- In many case , it is too complicated to compute all the reflection, diffraction, scattering, etc  
→ the matheology of exact computation is called "Ray-tracing"
- It is perfectable that we describe the probability that a channel coefficient would be
  - statistical description of channel
- Typically , when we do measurement , we can see



[ When we move a small distance , we have small fluctuation : small-scale fading  
When we move a large distance , we have large fluctuation : large -scale fading  
on average , the power reduction comes from : pathloss

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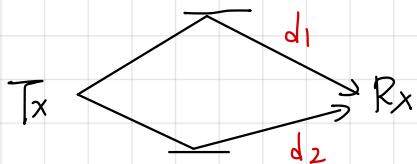
## Small-scale fading.

- Illustrate a two path model (distance effect)

$$S(t, d) = A \exp(j2\pi f_c t + j\frac{2\pi}{\lambda} d)$$

△  $d$  is the distance between Tx and Rx

- Suppose we have two paths each give you distance  $d_1$  and  $d_2$



$$S_1(t) = A \exp(j2\pi f_c t - j\frac{2\pi}{\lambda} d_1) = A e^{-j\frac{2\pi}{\lambda} d_1} \cdot e^{j2\pi f_c t}$$

$$S_2(t) = A \exp(j2\pi f_c t - j\frac{2\pi}{\lambda} d_2) = A e^{-j\frac{2\pi}{\lambda} d_2} \cdot e^{j2\pi f_c t}$$

$$\rightarrow r(t) = S_1(t) + S_2(t) = A \underbrace{\left[ e^{-j\frac{2\pi}{\lambda} d_1} + e^{-j\frac{2\pi}{\lambda} d_2} \right]}_h e^{j2\pi f_c t}$$

- △ In the best case, we have  $|h| = 2$  — constructive effect
  - △ In the worst case, we have  $|h| = 0$  — destructive effect
- These lead to fading effect

## one-path model with Doppler effect

- Suppose the RX is moving

$$S(t) = A e^{j2\pi f_c t} \cdot e^{-j\frac{2\pi}{\lambda} (d_1 + vt)}$$

$$= A e^{-j\frac{2\pi}{\lambda} d_1} \cdot e^{j2\pi (f_c - \frac{v}{\lambda}) t}$$

*Doppler shift*

- The carrier freq is changed because RX is moving

# Small-scale fading without dominant path

## 實驗背景

- Rayleigh fading model
- A large number of path
- No dominant path  $\rightarrow$  power from different path are average similar.
- Due to "central limit theorem", we have a good approximation by Gaussian RV  
 $\Rightarrow$  by CLT, we have

$$h = \sum_{i=1}^N a_i e^{j\phi} = h_R + j h_I = R e^{j\phi}$$

△ Path are statistically independent  
 △ Number of path  $N \rightarrow \infty$

- $h_R$  and  $h_I \sim \text{Gauss}(0, \frac{\sigma_s^2}{2})$   $\xrightarrow{\text{振幅}}$   $\sigma_s^2$  is the received signal power
- $R = \sqrt{h_R^2 + h_I^2} \sim \text{Rayleigh distribution}$
- $\phi$  is uniform distribution  $\sim U[0, 2\pi]$
- $R$  and  $\phi$  are independent

$$\begin{aligned} \bullet f_R(r) &= \frac{r}{\sigma_s^2} \exp\left(-\frac{r^2}{2\sigma_s^2}\right); r \geq 0 & h_R &\sim N(0, \sigma_s^2) \\ \bullet f_\phi(\phi) &= \frac{1}{2\pi} & h_I &\sim N(0, \sigma_s^2) \end{aligned}$$

$$rvR = \sqrt{h_I^2 + h_R^2} \quad \text{且} \quad rvh_I \sim \text{Gauss}\left(0, \frac{\sigma_s^2}{2}\right)$$

$$\text{故 } rvR \sim \text{Rayleigh}$$

• Property of Rayleigh distribution  $f_R(r) = \frac{r}{\sigma^2} \exp\left(-\frac{r^2}{2\sigma^2}\right)$

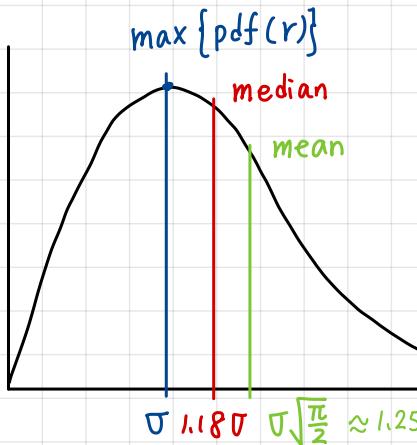
• Mean :  $\bar{R} = E[R] = \sigma \sqrt{\frac{\pi}{2}}$

• Mean square :  $\bar{R}^2 = E[R^2] = 2\sigma^2$

• Variance :  $\bar{R}^2 - (\bar{R})^2 = E[(R-\bar{R})^2] = \left(2 - \frac{\pi}{2}\right)\sigma^2 = 0.429\sigma^2$

• Median :  $R_{50} = \sigma \sqrt{2 \ln 2} = 1.18\sigma$

•  $\max\{\text{pdf}(r)\}$  :  $\arg \max_r f_R(r) = \sigma$



• The cdf of Rayleigh distribution :

$$cdf_R(r) = \int_0^r pdf_R(u) du = 1 - e^{-\frac{r^2}{2\sigma^2}}$$

• for small value of  $r$ , this can be approximated as

$$cdf(r) = 1 - \exp\left(-\frac{r^2}{2\sigma^2}\right) \approx \frac{r^2}{2\sigma^2} \quad \text{Taylor series}$$

Remark 1:

(i)  $R$  is the amplitude of the channel, (received signal)  $\Rightarrow R \geq 0$

(ii) The power of  $|h|^2 = R^2 = P$ , follow by exponential distribution, in which

$$pdf_P(p) = \frac{1}{\bar{\Omega}} \exp\left(-\frac{p}{\bar{\Omega}}\right), \text{ where } \bar{\Omega} = 2\sigma^2 \text{ is the mean power } 2\sigma^2 \text{ 為平均功率}$$

Remark 2: Rayleigh fading model is widely used in wireless communication

(i) Effective approximation in many practical case — NLOS case

(ii) Worst case scenario in the sense, that there is no obvious dominant path

(iii) It has a very simple description — its pdf is only related to  $\sigma$

(iv) Mathematically convenient  $\rightarrow$  easy to compute

$pdf_R(r) = \frac{r}{\sigma^2} \exp\left(-\frac{r^2}{2\sigma^2}\right)$ ,  $2\sigma^2$  is mean received power

$pdf_P(p) = \frac{1}{\bar{\Omega}} \exp\left(-\frac{p}{\bar{\Omega}}\right)$ ,  $\bar{\Omega}$  is mean received power

## Fading Margin Computation for Rayleigh fading

- We see from link-budget, that we need fading margin to accommodate the fading effect
- Because we are having statistical, the concept become to find:

What is the minimum power (or fading margin) we need for ensuring the transmission is failed only in  $X\%$  of the situation

- We want to find  $r_{min}$ , such that  $P_{out} = X$

$$X = P(Y \leq r_{min}) = cdf(Y_{min}) \approx \frac{r_{min}^2}{2\sigma^2} \frac{P_{min}}{P}$$

我們需要求出平均功率，以確保在  $X\%$  的情況下

接收器的接收功率足夠高

由於環境因素，接收信號強度會隨機變化  
因此需要確保發射器的平均功率夠高

如果接收信號小於這個值  
，則無法通訊

## Interference-limited case with Rayleigh fading

- Both signal and interference have Rayleigh fading

{ Denote  $S$  as a Rayleigh distributed received signal

$$\text{pdf}_S(s) = \frac{s}{\sigma_s^2} \exp\left(-\frac{s^2}{2\sigma_s^2}\right)$$

{ Denote  $I$  also as a Rayleigh distributed received interference

$$\text{pdf}_I(I) = \frac{I}{\sigma_I^2} \exp\left(-\frac{I^2}{2\sigma_I^2}\right)$$

- Then, we denote  $r = \frac{S}{I}$ , we have

$$\left\{ \text{pdf}(r) = \frac{2\tilde{\sigma}^2 r}{(\tilde{\sigma}^2 + r^2)^2}, \quad \tilde{\sigma}^2 = \frac{\sigma_S^2}{\sigma_I^2} \text{ average SIR} \right.$$

$$\left. \text{cdf}(r) = 1 - \frac{\tilde{\sigma}^2}{\tilde{\sigma}^2 + r^2} \right.$$

(Ex.)

— Required SNR = 5 dB, Rayleigh fading

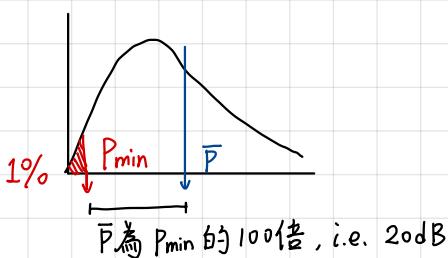
—  $P_{out} = 1\%$

Q. What is the required average SNR?

$$\begin{aligned} \bullet P_{out} &= Pr(Y < Y_{min}) = cdf(Y_{min}) \rightarrow \frac{Y_{min}^2}{2\sigma^2} = \frac{P_{min}}{\bar{P}} \\ &= 1 - \exp\left(-\frac{Y_{min}^2}{2\sigma^2}\right) \approx \frac{Y_{min}^2}{2\sigma^2} = 0.0 \end{aligned}$$

• Because  $2\sigma^2 = \bar{P}$ ,

$$\text{we need } \frac{P_{min}}{\bar{P}} = 0.0 \Rightarrow \text{fading margin} = \log_{10} 100 = 20 \text{ dB}$$



意思是  $\bar{P}$  需比  $P_{min}$  高出 100 倍。  
也就是 20 dB

$\Rightarrow$  required average SNR at RX =  $20 + 5 = 25 \text{ dB}$ \*

EX 5.1

Suppose we have signal with Rayleigh distributed amplitude,

Q. What is the prob, that the received power is  
at least 20dB, 6dB, 3dB below the mean

A. Note that the mean power =  $2\sigma^2 = \bar{Y}^2$

• If  $20 \text{ dB} = 100$  倍  $\rightarrow 1 - \exp\left(-\frac{Y_{min}^2}{2\sigma^2}\right) \approx \frac{Y_{min}^2}{2\sigma^2}$  只在  $Y$  很小才能近似

$$\frac{Y_{min}^2}{2\sigma^2} = \frac{1}{100} \Rightarrow cdf(Y_{min}) = 1 - \exp\left(-\frac{1}{100}\right) = 9.95 \times 10^{-3} \approx 10^{-2}$$

• If  $6 \text{ dB} = 4$  倍

$$\frac{Y_{min}^2}{2\sigma^2} = \frac{1}{4} \Rightarrow cdf(Y_{min}) = 0.22$$

• If  $3 \text{ dB} = 2$  倍

$$\frac{Y_{min}^2}{2\sigma^2} = \frac{1}{2} \Rightarrow cdf(Y_{min}) = 0.393$$

Ex.

$$f_c = 5 \text{ GHz}, B = 20 \text{ MHz}, N_0 = -174 \text{ dBm/Hz}$$

$$G_{RX} = G_{TX} = 2 \text{ dB}, P_{TX} = 0.2 \text{ W}, L = 5 \text{ dB}$$

F = 5 dB, d<sub>break</sub> = 10 m, d = 200 m, n = 3.8. Consider Rayleigh

a) Find the average received SNR

b) Suppose we increase  $G_{TX} = 22 \text{ dB}$ . we want

SNR<sub>min</sub> = 12 dB, what is our outage prob?

c) If we want  $P_{out} = 2\%$ , what is the required fading margin?

$$(a) \cdot P_n = -174 + 10 \log_{10} 20 \times 10^6 + 5 = -96 \text{ dBm}$$

$$\cdot P_L = 20 \log_{10} \left( \frac{4\pi}{\lambda c} \right) + 20 \log_{10} (d_{break}) + n \cdot 10 \log_{10} \left( \frac{d}{d_{break}} \right) = 115.4 \text{ dB}$$

$$32 + 2.7 \quad 20 \quad 3.8 \cdot (3+10)$$

$$\cdot P_{RX} = 23 + 2 + 2 - 5 - 115.4 = -93.4 \text{ dBm}$$

$$\cdot \text{Received mean SNR: } -93.4 - (-96) = 2.6 \text{ dB} \star$$

(b)  $G_{TX}$  increase 20 dB, so Average SNR: 2.6 dB  $\rightarrow$  22.6 dB

$$P_{out} = \text{cdf}(Y_{min}) = 1 - \exp \left( \frac{-Y_{min}^2}{2\sigma^2} \right) = 1 - \exp \left( \frac{-P_{min}}{P} \right)$$

$$\cdot \frac{\bar{P}}{P_{min}} = (22.6 - 12) \text{ dB} = 10.6 \text{ dB} = 11.5$$

$$\cdot P_{out} = 1 - \exp \left( \frac{-1}{11.5} \right) = 0.083 = 8.3\% \star$$

(c)  $P_{out} = 2\%$

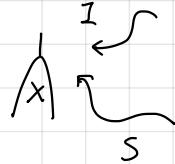
$$\Rightarrow P_{out} = 1 - \exp \left( \frac{-Y_{min}^2}{2\sigma^2} \right) = 0.02$$

$$\Rightarrow \frac{Y_{min}^2}{2\sigma^2} \approx 0.02 \Rightarrow \text{fading margin} = \frac{1}{0.02} = 50 = 17 \text{ dB} \star$$

Ex.

Consider a 2-user system, both transmit signal to the BS

We consider Rayleigh fading for both user



a) Suppose we want  $SIR_{min} \geq 20dB$ , What is outage prob.

if the distance between BS and 2 users are the same.

$$\tilde{\sigma}^2 = \frac{\sigma_s^2}{\sigma_I^2} = 1 \Rightarrow P_{out} = cdf(r_{min}) = 1 - \frac{\tilde{\sigma}^2}{\tilde{\sigma}^2 + r_{min}^2}$$

$$r_{min}^2 = 20dB = 100 \Rightarrow P_{out} = 1 - \frac{1}{1+100} = \frac{100}{101} \approx 0.99 \#$$

b) If we increase  $P_I$  by 10 dB, What is  $P_{out}$ ?

$$\tilde{\sigma}^2 = \frac{\sigma_s^2}{\sigma_I^2} = 10 \Rightarrow P_{out} = 1 - \frac{10}{10+100} = \frac{10}{11} = 0.91 \#$$

c) If we want  $P_{out} = 0.05$ , how much should  $P_I$  be increased by?

$$0.05 = 1 - \frac{\tilde{\sigma}^2}{\tilde{\sigma}^2 + 100} \Rightarrow \frac{\tilde{\sigma}^2}{\tilde{\sigma}^2 + 100} = 0.95$$

$$\tilde{\sigma}^2 = 1900 = 32.8dB \#$$

d) If user I is at  $d_1$  and user 2 is at  $d_2$ , then  
how much we need to increase

Ex 5.2

Compute the fading margin for a Rice distributed signal

with  $K_r = 0.3, 3, 20dB$ , such that the outage prob is less than 5%

$$P_{out} = cdf(r_{min})$$

For Rician, we know

$$\frac{r^2}{r_{min}^2} = \frac{A^2 + 2\tilde{\sigma}^2}{\tilde{\sigma}^2} = \frac{2\tilde{\sigma}^2(1+K_r)}{\tilde{\sigma}^2} = \text{fading margin}$$

Therefore, we need

$$P_{out} = 0.05 = cdf(r_{min}) = \int_0^{r_{min}} \frac{r}{\tilde{\sigma}^2} \exp\left(-\frac{r^2 + A^2}{2\tilde{\sigma}^2}\right) I_0\left(\frac{rA}{\tilde{\sigma}}\right)$$

## Small-Scale fading with dominant path

- Rician fading
- Basically happens in LoS case

$$h = \underbrace{A}_{\text{dominant path}} + \underbrace{R e^{j\phi}}_{\text{Rayleigh fading}} = r e^{j\psi} \quad r = |h| = \sqrt{(A+R_{Re})^2 + (R_{Im})^2}$$

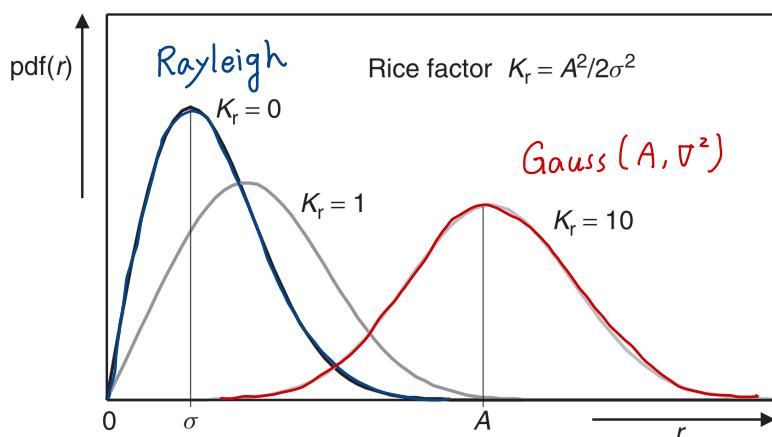
- Without loss of generality, we assume the dominant component have zero phase
- The joint pdf of  $r$  and  $\psi$  is:

$$\text{pdf}_{r,\psi}(r, \psi) = \frac{1}{2\pi\sigma^2} \exp\left(-\frac{r^2 + A^2 - 2rA\cos\psi}{2\sigma^2}\right)$$

- The pdf of amplitude  $r$  is Rice distribution:

$$\text{pdf}_r(r) = \frac{r}{\sigma^2} \exp\left(-\frac{r^2 + A^2}{2\sigma^2}\right) I_0\left(\frac{rA}{\sigma^2}\right), \quad 0 < r < \infty$$

- $I_0(x)$  is the modified Bessel function of the first kind, zero order
- $\bar{r}^2 = E[r^2] = 2\sigma^2 + A^2$
- Define  $K_r = \frac{A^2}{2\sigma^2}$ , called Rician factor  
→ indicate the power ratio between dominant component and other path
  - If  $K_r \rightarrow 0$ , Become back as Rayleigh fading
  - If  $K_r$  large, Become as  $r \sim \text{Gauss}(A, \sigma^2)$



## Dopper spectrum and temporal variation

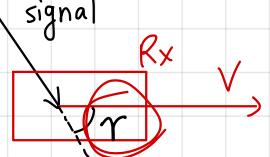
- We have seen the physical interpretation for freq shift by movement.

This lead to Dopper effect :

$$S(t) = A e^{j2\pi f_c t} e^{-j\frac{2\pi}{\lambda} (d_1 + vt)} = A e^{-j\frac{2\pi}{\lambda} d_1} \cdot e^{j2\pi (f_c - \frac{v}{\lambda}) t}$$

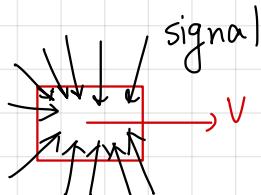
Dopper effect

- Equation of Dopper shift



$$\begin{aligned} f &= f_c \left(1 - \frac{V \cos \gamma}{c}\right) = f_c - \gamma v ; \quad \gamma = f_c \frac{v}{c} \cos \gamma ; \quad \gamma \in [-\pi, \pi] \\ |\gamma| &\leq f_c \frac{v}{c} = V_{\max} \end{aligned}$$

- If we have multiple path, we have to sum up the effects of different incoming signal



- Then, suppose the incoming signal are described by using statistic, i.e. distribution of power of incoming signal from different angle.

- Define the distribution of incoming signal

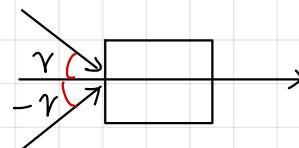
in terms of power as :  $\text{pdf}_r(r)$

- Suppose we have antenna pattern  $G(r)$

- let  $\bar{\Omega}$  be the mean power of total arrival signal.

- The received power spectrum is expressed as :

$$S_D(\gamma) d\gamma = \bar{\Omega} \left[ \int_{r \in T(r)} \text{pdf}(r) G(r) dr \right] d\gamma$$



- $T(r)$  is the  $r$  such that it Dopper effect results in the Dopper shift  $\gamma$

- By variable transformation, we have  $dr = \left| \frac{dr}{dv} \right| dv$

Also,

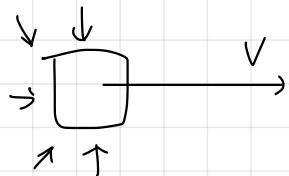
$$\left| \frac{dr}{dv} \right| = \left| \frac{1}{\frac{dv}{dr}} \right| = \left| \frac{1}{\frac{v f_c \sin \gamma}{c}} \right| = \frac{1}{\sqrt{\left(\frac{v f_c}{c}\right)^2 - \left(\frac{v f_c}{c} \cos^2 \gamma\right)}} = \frac{1}{\sqrt{V_{\max}^2 - \gamma^2}}$$

$$S_D(\bar{\Omega}) = \begin{cases} \frac{1}{\sqrt{\Omega_{\max}^2 - \Omega^2}} & \text{if } \Omega \neq 0 \\ 0 & \text{if } \Omega = 0 \end{cases}$$

Example:

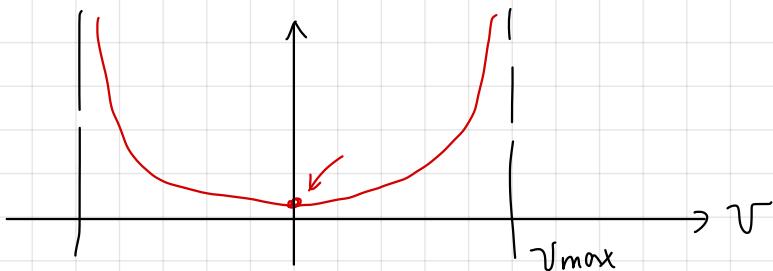
If we have  $\text{pdf}(\Omega) = \frac{1}{2\pi}$ , correspond to Rayleigh fading.

- Jalce's model.



Suppose  $G(\Omega) = 1.5$ ,  $\forall \Omega$ , then

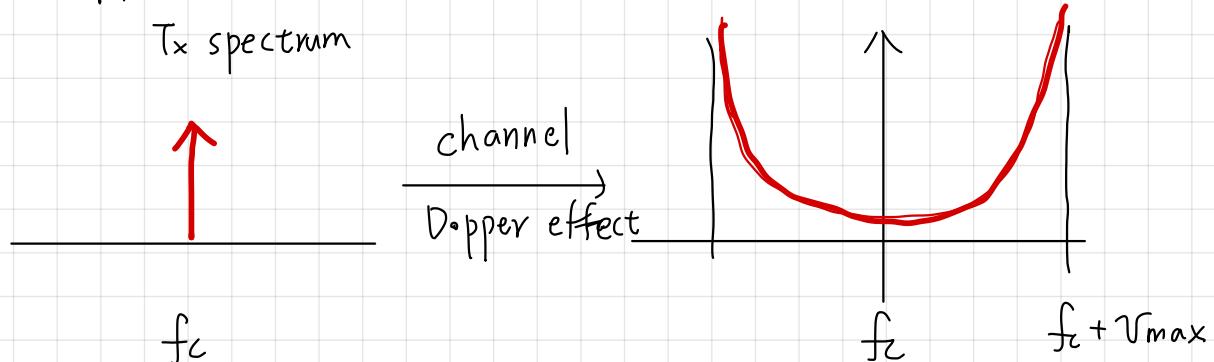
$$S_D(\Omega) = \frac{1}{\sqrt{\Omega_{\max}^2 - \Omega^2}} = \frac{1.5 \bar{\Omega}}{\pi \sqrt{\Omega_{\max}^2 - \Omega^2}}$$



→ Uniform distribution on angle  $\Omega$ , give

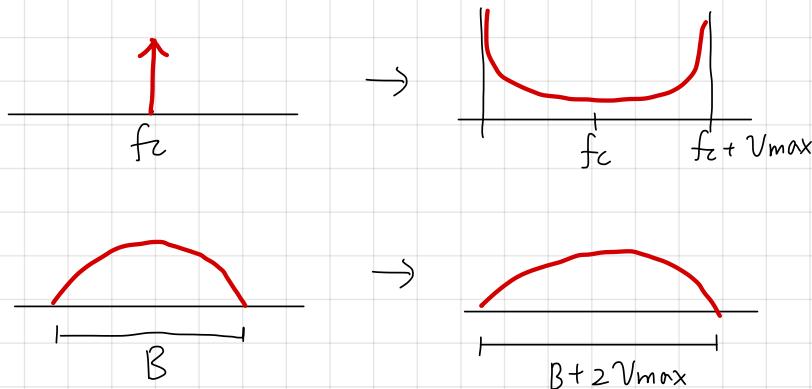
to a non-uniform distribution on Doppler spectrum.

→ Doppler spectrum describe the frequency dispersion.



## Doppler shift

- Such frequency dispersion can cause error when communication, especially for narrowband signal. e.g.: OFDM



Doppler shift 在 narrowband 影響很多  
 ⇒ 不同頻寬有不同表現

- Doppler Spectrum is a measure of temporal variation of the channel.
  - Notice that the temporal dependency of fading decrease fast w.r.t time difference
  - We use correlation function to describe the channel variation.

$$\frac{E[I(t) \cdot I(t+\Delta t)]}{E[I(t)^2]} \xrightarrow{\text{Jakes' model}} J_0(2\pi v_{\max} \Delta t)$$

$J_0(\cdot)$  is proportional to the inverse Fourier transform of  $S_D(\nu)$

$$v_{\max} \Delta t = \frac{f_c v}{c} \cdot \Delta t = \frac{f_c}{c} v \Delta t = \frac{\Delta d}{\lambda_c}$$

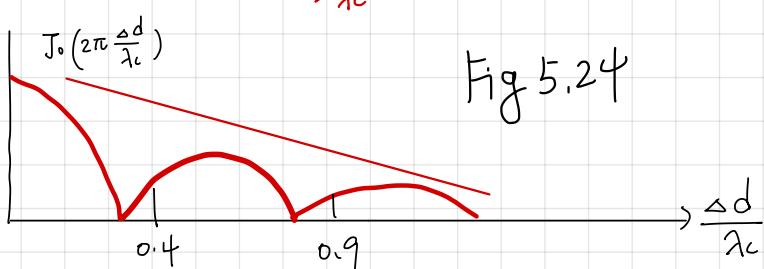


Fig 5.24

- Correlation between different location reduce to a small number, when  $\Delta d \geq 0.5 \lambda_c$

