

# Sparse Coding and Dictionary Learning for Image Analysis

Part IV: Recent Advances in Computer Vision and New Models

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## What this part is about

- Learning dictionaries for discriminative tasks...
- ...and adapted to image classification tasks.
- Structured Sparse Models.

# Learning dictionaries with a discriminative cost function

Idea:

Let us consider 2 sets  $S_-, S_+$  of signals representing 2 different classes.  
Each set should admit a dictionary best adapted to its reconstruction.

Classification procedure for a signal  $\mathbf{x} \in \mathbb{R}^n$ :

$$\min(\mathbf{R}^*(\mathbf{x}, \mathbf{D}_-), \mathbf{R}^*(\mathbf{x}, \mathbf{D}_+))$$

where

$$\mathbf{R}^*(\mathbf{x}, \mathbf{D}) = \min_{\alpha \in \mathbb{R}^p} \|\mathbf{x} - \mathbf{D}\alpha\|_2^2 \text{ s.t. } \|\alpha\|_0 \leq L.$$

“Reconstructive” training

$$\begin{cases} \min_{\mathbf{D}_-} \sum_{i \in S_-} \mathbf{R}^*(\mathbf{x}_i, \mathbf{D}_-) \\ \min_{\mathbf{D}_+} \sum_{i \in S_+} \mathbf{R}^*(\mathbf{x}_i, \mathbf{D}_+) \end{cases}$$

[Grosse et al., 2007], [Huang and Aviyente, 2006],  
[Sprechmann et al., 2010b] for unsupervised clustering (CVPR '10)

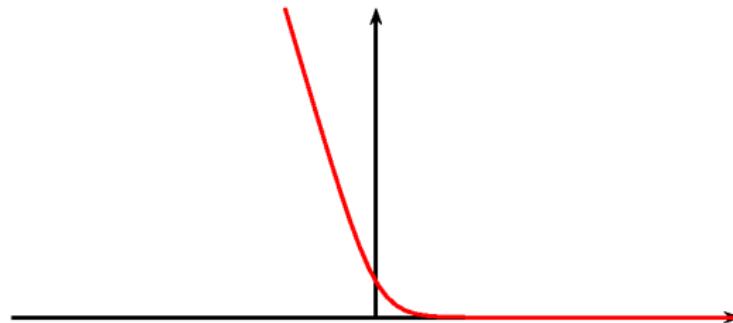
# Learning dictionaries with a discriminative cost function

“Discriminative” training

[Mairal, Bach, Ponce, Sapiro, and Zisserman, 2008a]

$$\min_{\mathbf{D}_-, \mathbf{D}_+} \sum_i \mathcal{C}\left(\lambda z_i (\mathbf{R}^*(\mathbf{x}_i, \mathbf{D}_-) - \mathbf{R}^*(\mathbf{x}_i, \mathbf{D}_+))\right),$$

where  $z_i \in \{-1, +1\}$  is the label of  $\mathbf{x}_i$ .



Logistic regression function

# Learning dictionaries with a discriminative cost function

## Mixed approach

$$\min_{\mathbf{D}_-, \mathbf{D}_+} \sum_i \mathcal{C} \left( \lambda z_i (\mathbf{R}^*(\mathbf{x}_i, \mathbf{D}_-) - \mathbf{R}^*(\mathbf{x}_i, \mathbf{D}_+)) \right) + \mu \mathbf{R}^*(\mathbf{x}_i, \mathbf{D}_{z_i}),$$

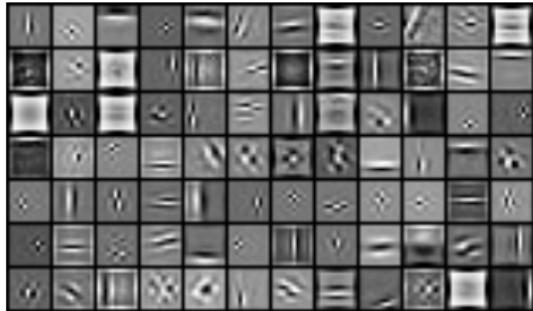
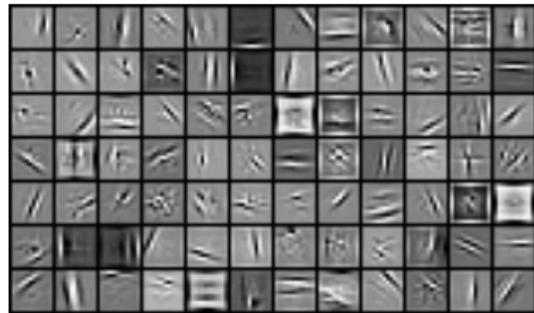
where  $z_i \in \{-1, +1\}$  is the label of  $\mathbf{x}_i$ .

## Keys of the optimization framework

- Alternation of sparse coding and dictionary updates.
- Continuation path with decreasing values of  $\mu$ .
- OMP to address the NP-hard sparse coding problem...
- ...or LARS when using  $\ell_1$ .
- Use softmax instead of logistic regression for  $N > 2$  classes.

# Learning dictionaries with a discriminative cost function

## Examples of dictionaries



Top: reconstructive, Bottom: discriminative, Left: Bicycle, Right: Background.

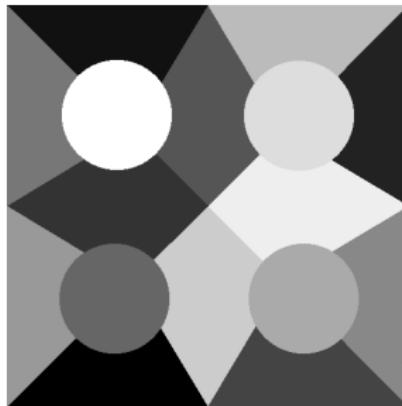
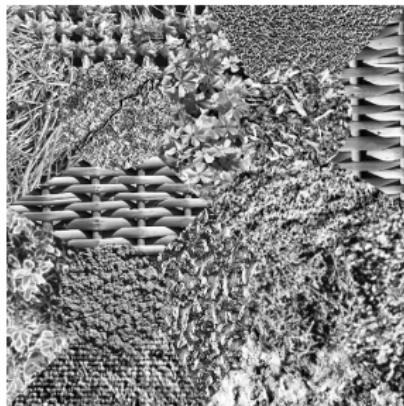
# Learning dictionaries with a discriminative cost function

## Texture segmentation



# Learning dictionaries with a discriminative cost function

## Texture segmentation



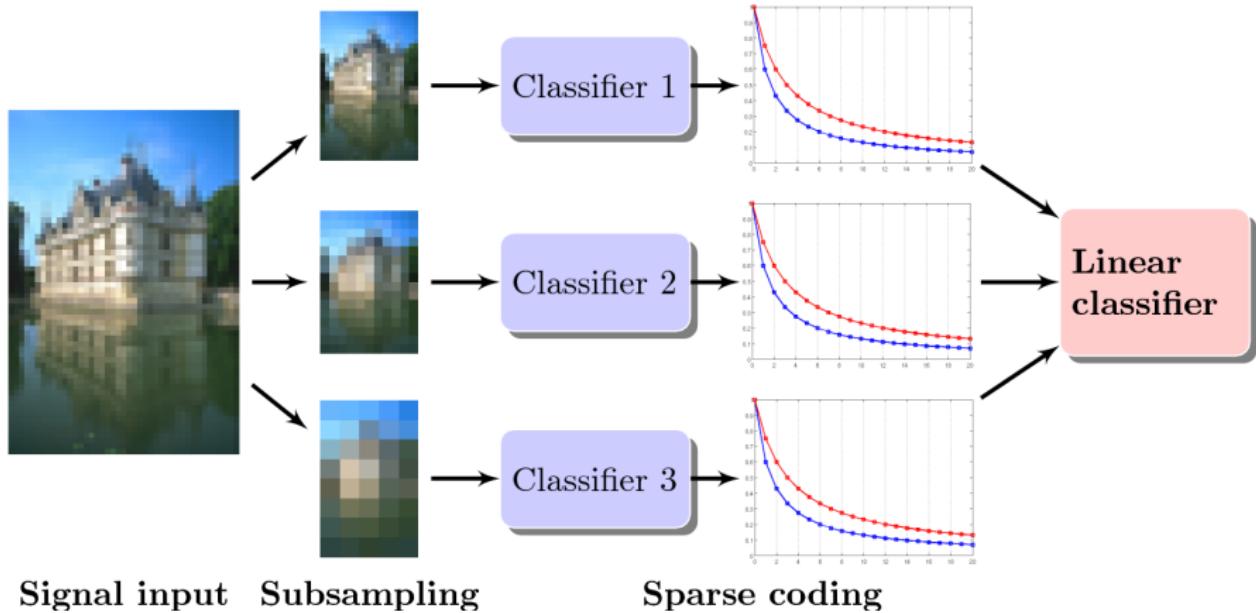
# Learning dictionaries with a discriminative cost function

## Pixelwise classification

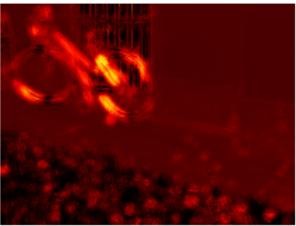
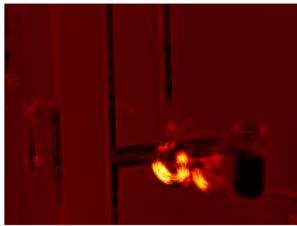
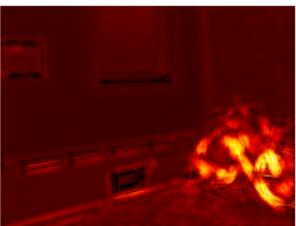
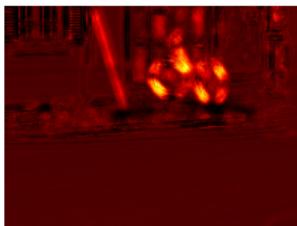


# Learning dictionaries with a discriminative cost function

## Multiscale scheme

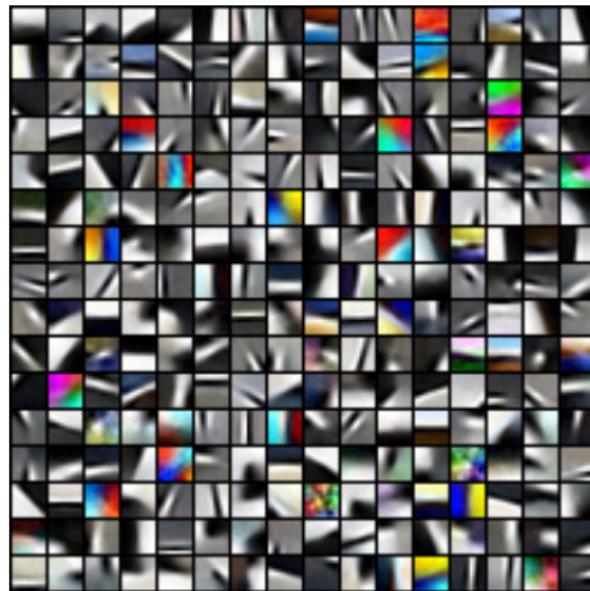


# Learning dictionaries with a discriminative cost function weakly-supervised pixel classification

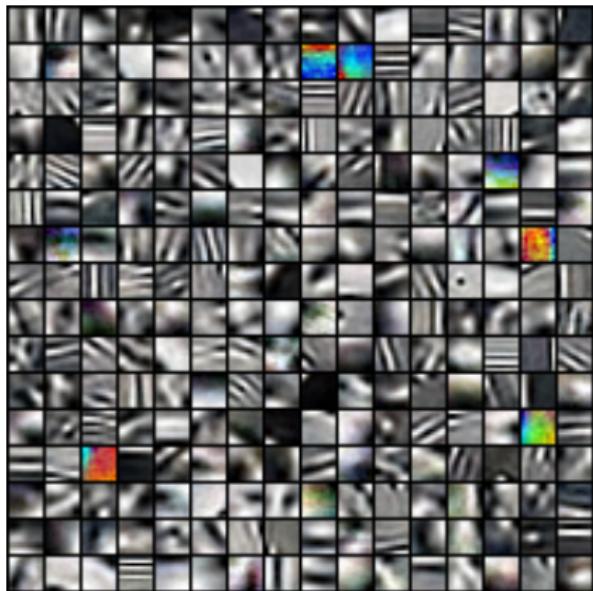


# Application to edge detection and classification

[Mairal, Leordeanu, Bach, Hebert, and Ponce, 2008b]



Good edges



Bad edges

# Application to edge detection and classification

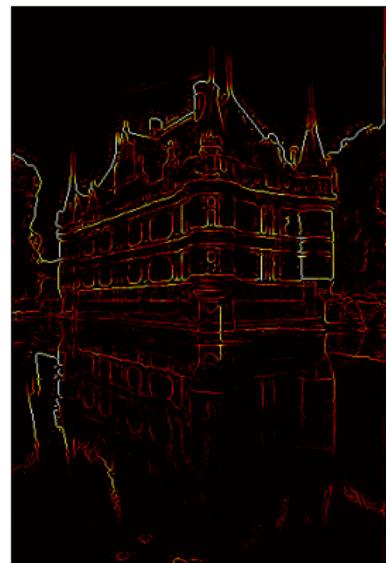
## Berkeley segmentation benchmark



Raw edge detection on the right

# Application to edge detection and classification

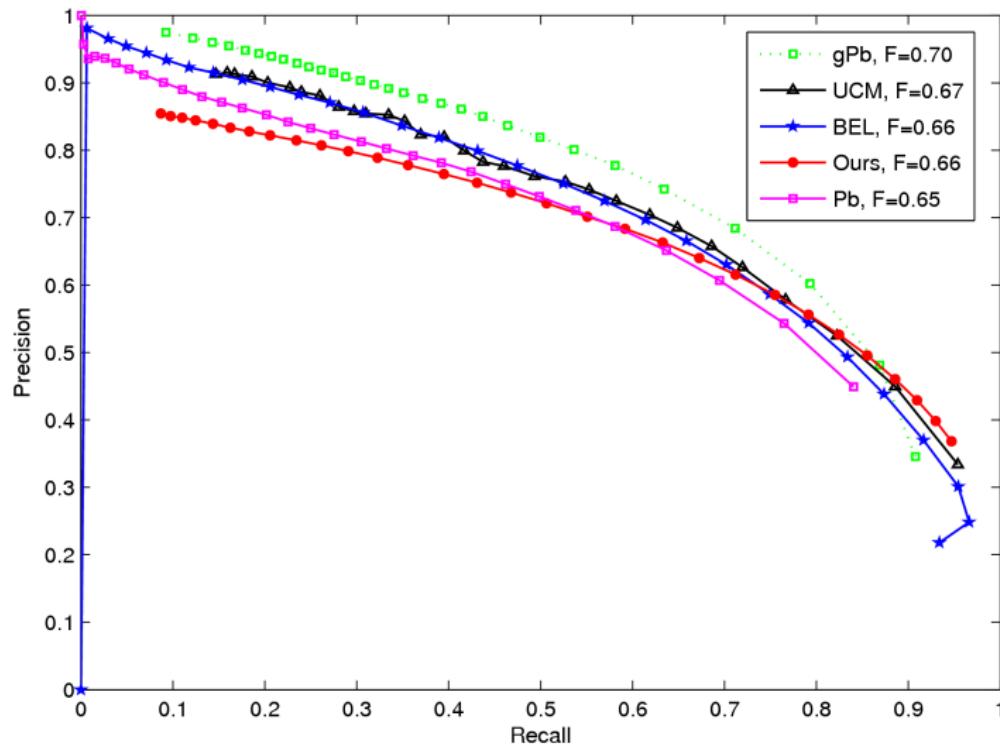
## Berkeley segmentation benchmark



Raw edge detection on the right

# Application to edge detection and classification

## Berkeley segmentation benchmark



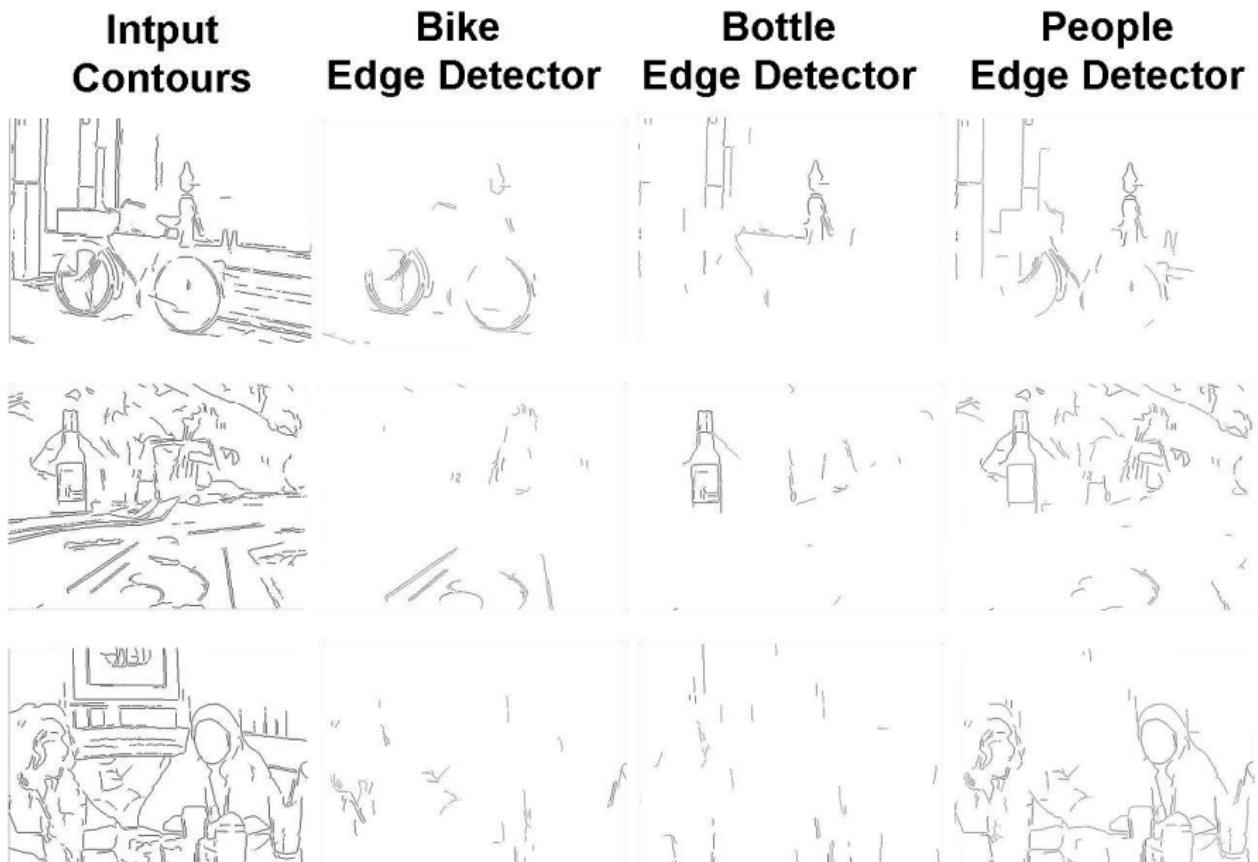
# Application to edge detection and classification

Contour-based classifier: [Leordeanu, Hebert, and Sukthankar, 2007]



Is there a bike, a motorbike, a car or a person on this image?

# Application to edge detection and classification



# Application to edge detection and classification

## Performance gain due to the prefiltering

Ours + [Leordeanu '07]	[Leordeanu '07]	[Winn '05]
96.8%	89.4%	76.9%

Recognition rates for the same experiment as [Winn et al., 2005] on VOC 2005.

Category	Ours+[Leordeanu '07]	[Leordeanu '07]
Aeroplane	71.9%	61.9%
Boat	67.1%	56.4%
Cat	82.6%	53.4%
Cow	68.7%	59.2%
Horse	76.0%	67%
Motorbike	80.6%	73.6%
Sheep	72.9%	58.4%
Tvmonitor	87.7%	83.8%
Average	75.9%	64.2 %

Recognition performance at equal error rate for 8 classes on a subset of images from Pascal 07.





# Digital Art Authentification

Data Courtesy of Hugues, Graham, and Rockmore [2009]

Authentic



Fake



# Digital Art Authentification

Data Courtesy of Hugues, Graham, and Rockmore [2009]

Authentic



Fake



Fake



# Digital Art Authentification

Data Courtesy of Hugues, Graham, and Rockmore [2009]

Authentic



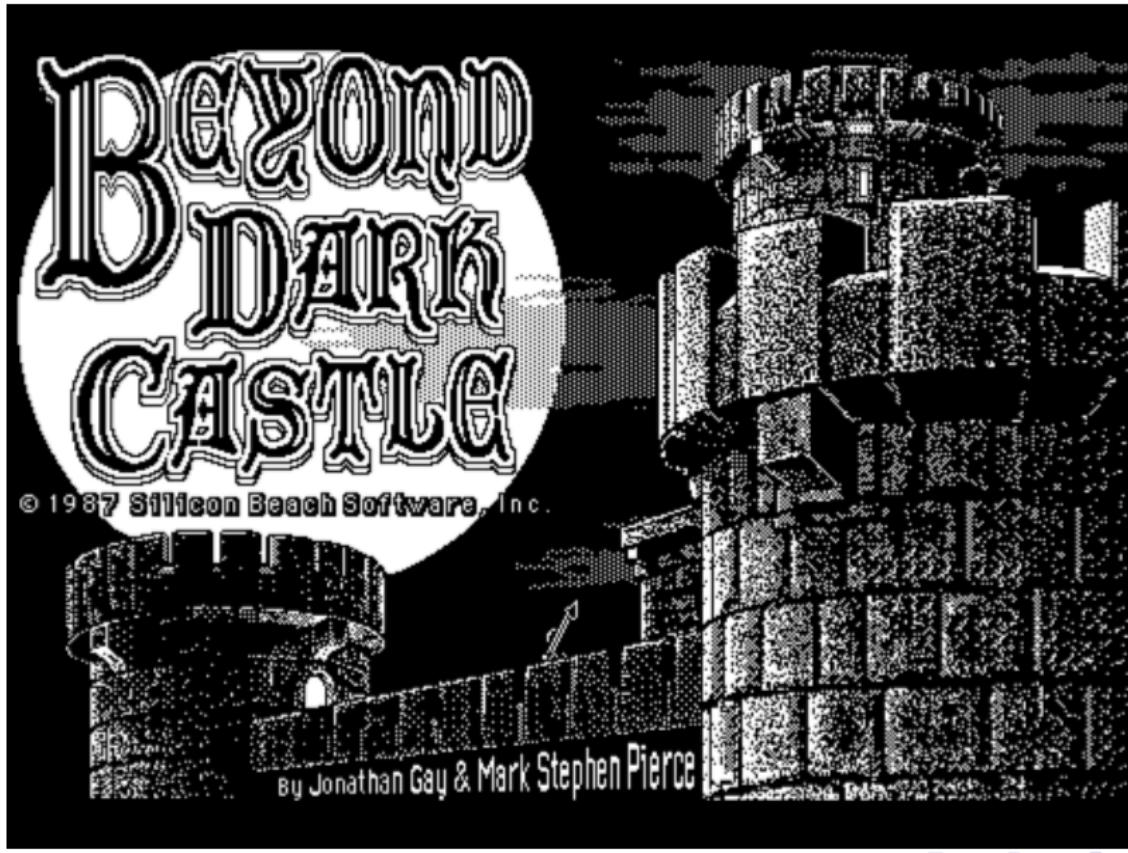
Fake



Authentic



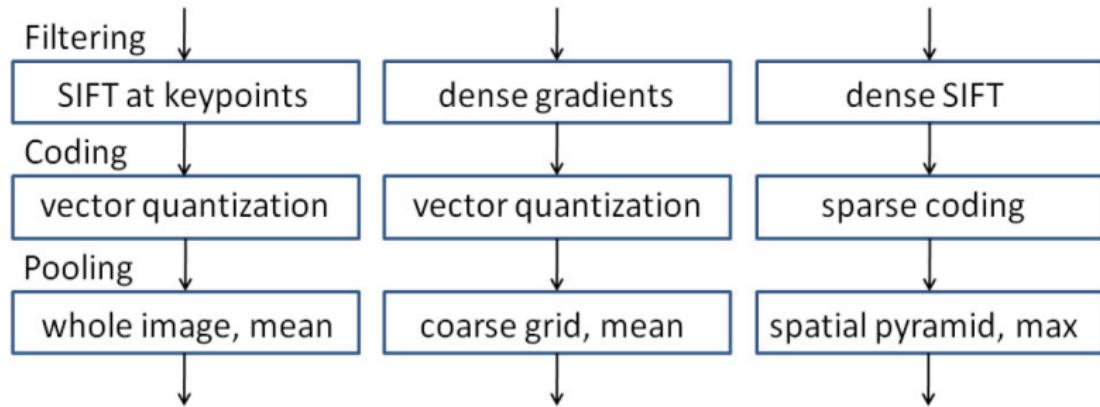
# Image Half-Toning



# Image Half-Toning



# Learning Codebooks for Image Classification



## Idea

Replacing Vector Quantization by Learned Dictionaries!

- unsupervised: [Yang et al., 2009]
- supervised: [Boureau et al., 2010, Yang et al., 2010] (CVPR '10)

# Learning Codebooks for Image Classification

Let an image be represented by a set of low-level descriptors  $\mathbf{x}_i$  at  $N$  locations identified with their indices  $i = 1, \dots, N$ .

- hard-quantization:

$$\mathbf{x}_i \approx \mathbf{D}\boldsymbol{\alpha}_i, \quad \boldsymbol{\alpha}_i \in \{0, 1\}^P \text{ and } \sum_{j=1}^P \boldsymbol{\alpha}_i[j] = 1$$

- soft-quantization:

$$\boldsymbol{\alpha}_i[j] = \frac{e^{-\beta \|\mathbf{x}_i - \mathbf{d}_j\|_2^2}}{\sum_{k=1}^P e^{-\beta \|\mathbf{x}_i - \mathbf{d}_k\|_2^2}}$$

- sparse coding:

$$\mathbf{x}_i \approx \mathbf{D}\boldsymbol{\alpha}_i, \quad \boldsymbol{\alpha}_i = \arg \min_{\boldsymbol{\alpha}} \frac{1}{2} \|\mathbf{x}_i - \mathbf{D}\boldsymbol{\alpha}\|_2^2 + \lambda \|\boldsymbol{\alpha}\|_1$$

# Learning Codebooks for Image Classification

Table from Boureau et al. [2010]

Method	Caltech-101, 30 training examples		15 Scenes, 100 training examples	
	Average Pool	Max Pool	Average Pool	Max Pool
Results with basic features, SIFT extracted each 8 pixels				
Hard quantization, linear kernel	51.4 $\pm$ 0.9 [256]	64.3 $\pm$ 0.9 [256]	73.9 $\pm$ 0.9 [1024]	80.1 $\pm$ 0.6 [1024]
Hard quantization, intersection kernel	64.2 $\pm$ 1.0 [256] (1)	64.3 $\pm$ 0.9 [256]	80.8 $\pm$ 0.4 [256] (1)	80.1 $\pm$ 0.6 [1024]
Soft quantization, linear kernel	57.9 $\pm$ 1.5 [1024]	69.0 $\pm$ 0.8 [256]	75.6 $\pm$ 0.5 [1024]	81.4 $\pm$ 0.6 [1024]
Soft quantization, intersection kernel	66.1 $\pm$ 1.2 [512] (2)	70.6 $\pm$ 1.0 [1024]	81.2 $\pm$ 0.4 [1024] (2)	83.0 $\pm$ 0.7 [1024]
Sparse codes, linear kernel	61.3 $\pm$ 1.3 [1024]	<b>71.5 <math>\pm</math> 1.1</b> [1024] (3)	76.9 $\pm$ 0.6 [1024]	83.1 $\pm$ 0.6 [1024] (3)
Sparse codes, intersection kernel	70.3 $\pm$ 1.3 [1024]	<b>71.8 <math>\pm</math> 1.0</b> [1024] (4)	83.2 $\pm$ 0.4 [1024]	<b>84.1 <math>\pm</math> 0.5</b> [1024] (4)
Results with macrofeatures and denser SIFT sampling				
Hard quantization, linear kernel	55.6 $\pm$ 1.6 [256]	70.9 $\pm$ 1.0 [1024]	74.0 $\pm$ 0.5 [1024]	80.1 $\pm$ 0.5 [1024]
Hard quantization, intersection kernel	68.8 $\pm$ 1.4 [512]	70.9 $\pm$ 1.0 [1024]	81.0 $\pm$ 0.5 [1024]	80.1 $\pm$ 0.5 [1024]
Soft quantization, linear kernel	61.6 $\pm$ 1.6 [1024]	71.5 $\pm$ 1.0 [1024]	76.4 $\pm$ 0.7 [1024]	81.5 $\pm$ 0.4 [1024]
Soft quantization, intersection kernel	70.1 $\pm$ 1.3 [1024]	73.2 $\pm$ 1.0 [1024]	81.8 $\pm$ 0.4 [1024]	83.0 $\pm$ 0.4 [1024]
Sparse codes, linear kernel	65.7 $\pm$ 1.4 [1024]	<b>75.1 <math>\pm</math> 0.9</b> [1024]	78.2 $\pm$ 0.7 [1024]	83.6 $\pm$ 0.4 [1024]
Sparse codes, intersection kernel	73.7 $\pm$ 1.3 [1024]	<b>75.7 <math>\pm</math> 1.1</b> [1024]	83.5 $\pm$ 0.4 [1024]	<b>84.3 <math>\pm</math> 0.5</b> [1024]

	Unsup	Discr
Linear	$83.6 \pm 0.4$	<b><math>84.9 \pm 0.3</math></b>
Intersect	$84.3 \pm 0.5$	<b><math>84.7 \pm 0.4</math></b>

Yang et al. [2009] have won the PASCAL VOC'09 challenge using this kind of techniques.

## Summary so far

- Learned dictionaries are well adapted to model images.
- They can be used to learn dictionaries of SIFT features.
- They are also adapted to discriminative tasks.

# Sparse Structured Linear Model

- We focus again on linear models

$$\mathbf{x} \approx \mathbf{D}\boldsymbol{\alpha}.$$

- $\mathbf{x} \in \mathbb{R}^m$ , vector of  $m$  observations.
- $\mathbf{D} \in \mathbb{R}^{m \times p}$ , dictionary or data matrix.
- $\boldsymbol{\alpha} \in \mathbb{R}^p$ , loading vector.

## Assumptions:

- $\boldsymbol{\alpha}$  is **sparse**, i.e., it has a small support

$$|\Gamma| \ll p, \quad \Gamma = \{j \in \{1, \dots, p\}; \alpha_j \neq 0\}.$$

- The support, or nonzero pattern,  $\Gamma$  is **structured**:
  - $\Gamma$  reflects spatial/geometrical/temporal... information.
  - e.g., 2-D grid for features associated to the pixels of an image.

## Sparsity-Inducing Norms (1/2)

$$\min_{\alpha \in \mathbb{R}^p} \underbrace{f(\alpha)}_{\text{data fitting term}} + \lambda \underbrace{\psi(\alpha)}_{\text{sparsity-inducing norm}}$$

Standard approach to enforce sparsity in learning procedures:

- Regularizing by a **sparsity-inducing norm**  $\psi$ .
- The effect of  $\psi$  is to set some  $\alpha_j$ 's to zero, depending on the regularization parameter  $\lambda \geq 0$ .

The most popular choice for  $\psi$ :

- The  $\ell_1$  norm,  $\|\alpha\|_1 = \sum_{j=1}^p |\alpha_j|$ .
- For the square loss, Lasso [Tibshirani, 1996].
- However, the  $\ell_1$  norm encodes poor information, just **cardinality!**

## Sparsity-Inducing Norms (2/2)

Another popular choice for  $\psi$ :

- The  $\ell_1$ - $\ell_2$  norm,

$$\sum_{G \in \mathcal{G}} \|\boldsymbol{\alpha}_G\|_2 = \sum_{G \in \mathcal{G}} \left( \sum_{j \in G} \alpha_j^2 \right)^{1/2}, \text{ with } \mathcal{G} \text{ a partition of } \{1, \dots, p\}.$$

- The  $\ell_1$ - $\ell_2$  norm sets to zero **groups of non-overlapping variables** (as opposed to single variables for the  $\ell_1$  norm).
- For the square loss, group Lasso [Yuan and Lin, 2006].
- However, the  $\ell_1$ - $\ell_2$  norm encodes fixed/static prior information, requires to know in advance how to group the variables !

### Questions:

- What happen if the set of groups  $\mathcal{G}$  is not a partition anymore?
- What is the relationship between  $\mathcal{G}$  and the sparsifying effect of  $\psi$ ?

# Structured Sparsity

[Jenatton et al., 2009]

## Case of general overlapping groups.

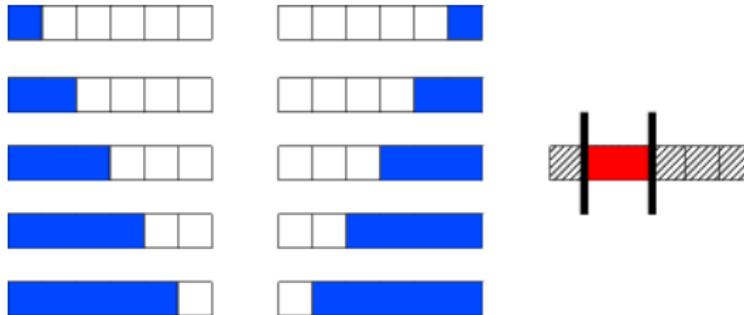
When penalizing by the  $\ell_1$ - $\ell_2$  norm,

$$\sum_{G \in \mathcal{G}} \|\alpha_G\|_2 = \sum_{G \in \mathcal{G}} \left( \sum_{j \in G} \alpha_j^2 \right)^{1/2}$$

- The  $\ell_1$  norm induces sparsity at the group level:
  - Some  $\alpha_G$ 's are set to zero.
- Inside the groups, the  $\ell_2$  norm does not promote sparsity.
- Intuitively, variables belonging to the same groups are encouraged to be set to zero together.
- Optimization via reweighted least-squares, proximal methods, etc. . .

## Examples of set of groups $\mathcal{G}$ (1/3)

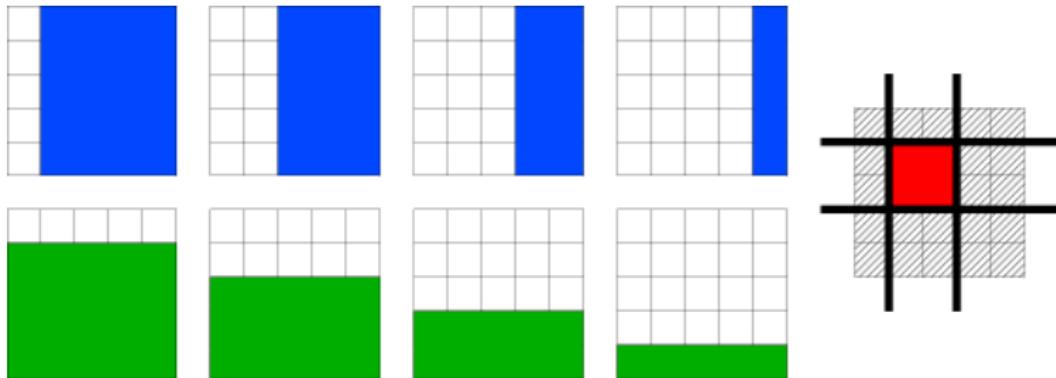
Selection of contiguous patterns on a sequence,  $p = 6$ .



- $\mathcal{G}$  is the set of blue groups.
- Any union of blue groups set to zero leads to the selection of a contiguous pattern.

## Examples of set of groups $\mathcal{G}$ (2/3)

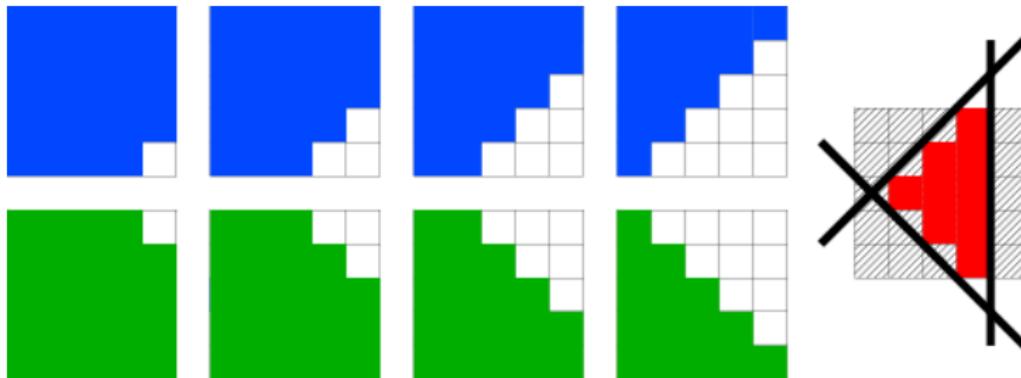
Selection of rectangles on a 2-D grids,  $p = 25$ .



- $\mathcal{G}$  is the set of blue/green groups (with their not displayed complements).
- Any union of blue/green groups set to zero leads to the selection of a rectangle.

## Examples of set of groups $\mathcal{G}$ (3/3)

Selection of diamond-shaped patterns on a 2-D grids,  $p = 25$ .



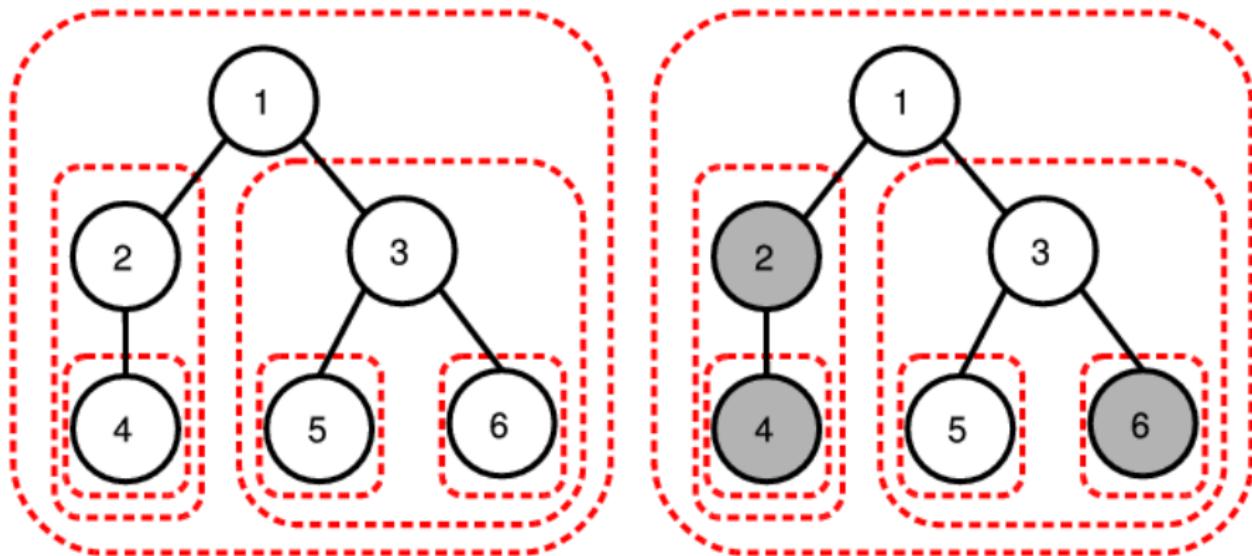
- It is possible to extend such settings to 3-D space, or more complex topologies.

## Overview of other work on structured sparsity

- Specific hierarchical structure [Zhao et al., 2009, Bach, 2008].
- **Union-closed** (as opposed to intersection-closed) family of nonzero patterns [Baraniuk et al., 2010, Jacob et al., 2009].
- Nonconvex penalties based on information-theoretic criteria with greedy optimization [Huang et al., 2009].
- Structure expressed through a Bayesian prior, e.g., [He and Carin, 2009].

# Hierarchical Dictionaries

[Jenatton, Mairal, Obozinski, and Bach, 2010]

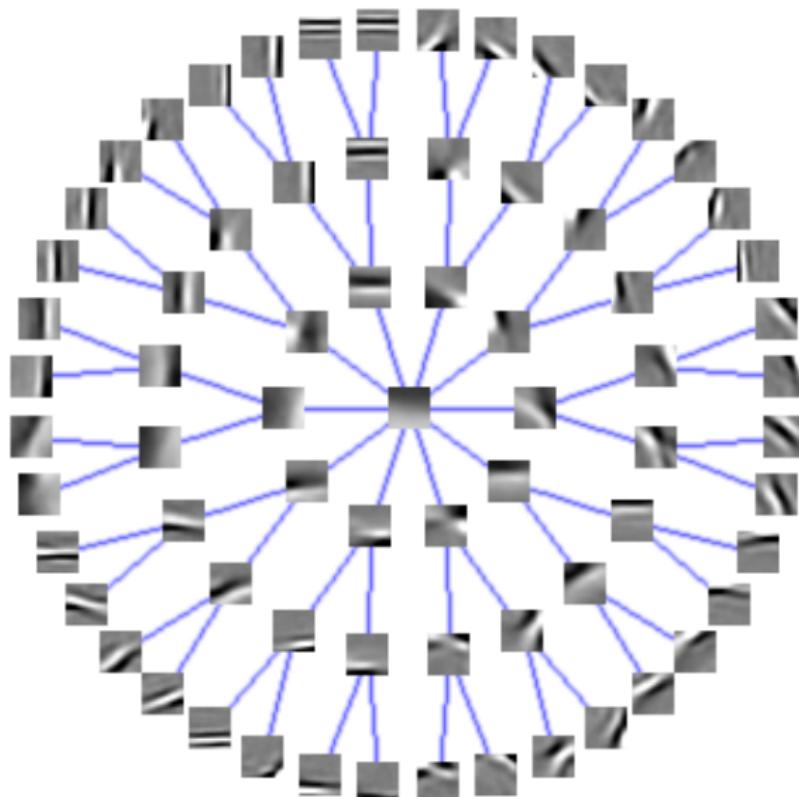


A node can be active only if its **ancestors are active**.  
The selected patterns are **rooted subtrees**.

Optimization via efficient proximal methods (same cost as  $\ell_1$ )

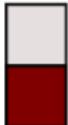
# Hierarchical Dictionaries

[Jenatton, Mairal, Obozinski, and Bach, 2010]



# Group Lasso + $\ell_1$ = Collaborative Hierarchical Lasso

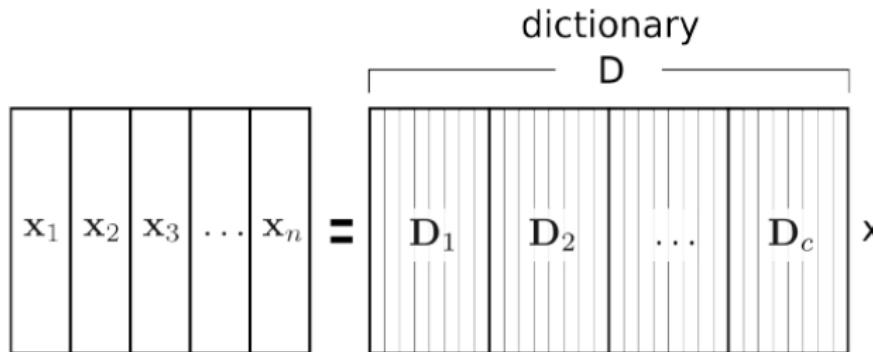
[Sprechmann, Ramirez, Sapiro, and Eldar, 2010a]

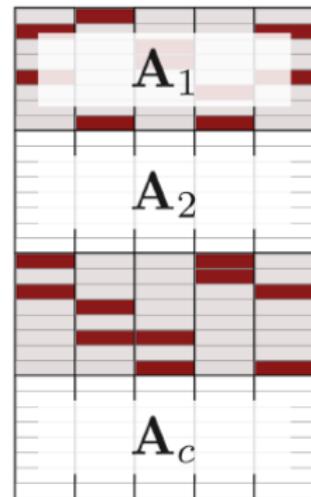
 nonzero group  
 zero  
 nonzero coefficient

$$\mathbf{x}_1 \quad \mathbf{x}_2 \quad \mathbf{x}_3 \quad \dots \quad \mathbf{x}_n = \mathbf{D} \quad \mathbf{x}$$

dictionary

$\mathbf{D}_1 \quad \mathbf{D}_2 \quad \dots \quad \mathbf{D}_c$





Optimization also via proximal methods

# Topographic Dictionaries

“Topographic” dictionaries [Hyvarinen and Hoyer, 2001, Kavukcuoglu et al., 2009] are a specific case of dictionaries learned with a structured sparsity regularization for  $\alpha$ .

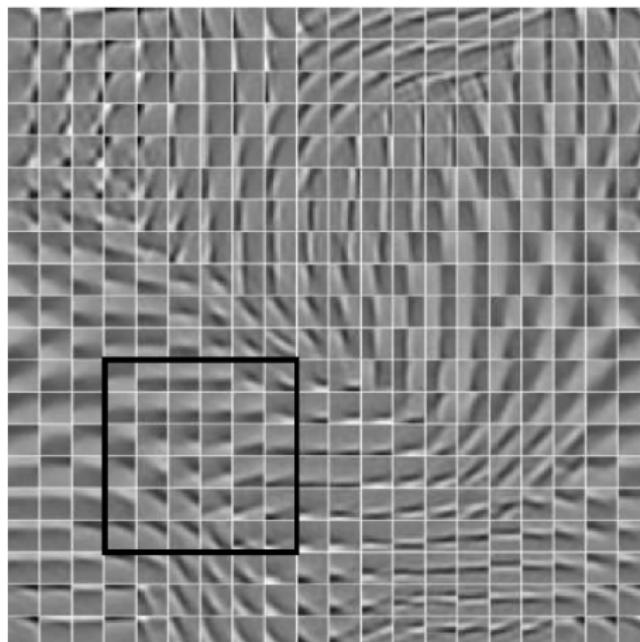


Figure: Image obtained from [Kavukcuoglu et al., 2009]

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