# Discrete Structures Tutorial Solutions

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# Predicate Logic

## Question 1

Assume the predicate prime(n) is defined over positive integers, which is true if and only if n is a prime number. Express the following statements in predicate logic:

- 1. There exist infinitely many prime numbers.
- 2. There exists arbitrarily long sequences of consecutive numbers such that none of them are prime.
- 3. A number n is prime if and only if (n-1)! + 1 is divisible by n (Wilson's Theorem).
- 4. For all positive integers n, there exists a prime number p such that  $n \leq p \leq 2n$ .

#### **Solution:**

#### Author: Ashwin Abraham

A predicate is true for infinitely many natural numbers if and only if the set of numbers for which the predicate is true has no upper bound. This is as any subset of the natural numbers that has k as an upper bound can have at most k elements, and therefore cannot be infinite. Taking the subset of natural numbers as the numbers for which the predicate is true, the result follows. Using this, we can express statements including terms such as "infinitely many" and "arbitrary long" using first order predicate logic. While expressing the statements, we assume that arithmetic relations and operators over the natural numbers such as +, +, +, etc have already been defined. The domain of all variables is assumed to be the set of natural numbers.

- 1.  $\forall n \exists m (m > n \land prime(m))$
- 2.  $\forall n \exists m \exists a \forall x (x \geq a \land x < a + m \implies \neg prime(x))$
- 3.  $\forall n(prime(n) \iff \exists k((n-1)! + 1 = kn))$
- 4.  $\forall n(n > 0 \implies \exists p(prime(p) \land n \le p \land p \le 2n))$

These are some famous problems involving primes, some of which are still unsolved. Express them using predicate logic. Do NOT attempt to prove them or read the proofs, where available.

- 1. There exist infinitely many prime numbers p such that p+2 is also a prime. This is also called the twin primes conjecture.
- 2. Every even number > 2 can be written as a sum of two (not necessarily distinct) prime numbers. This is called the Goldbach conjecture.
- 3. There exist arbitrarily long arithmetic progressions of prime numbers. This was proved in the early 2000's by Ben Green and Terrence Tao.
- 4. There exists a constant c such that there are infinitely many pairs of distinct prime numbers that differ by at most c. This was proved by Yitan Zhang in 2013 for c around 70 million. The value has now been reduced considerably. More generally, for every  $k \geq 2$ , there exists a constant c such that there are infinitely many k-tuples of distinct prime numbers such that any two differ by at most c.

#### Solution

#### Author: Ashwin Abraham

Again, the domain of all the variables is assumed to be  $\mathbb{N}$ .

- 1.  $\forall n \exists p (p > n \land prime(p) \land prime(p+2))$
- 2.  $\forall n(n > 2 \land \exists k(n = 2k) \implies \exists p \exists q(prime(p) \land prime(q) \land n = p + q))$
- 3.  $\forall n \exists a \exists d(d > 0 \land \forall i (i \geq 0 \land i < n \implies prime(a + id)))$
- 4.  $\exists c \forall n \exists p \exists q (p > q \land p > n \land prime(p) \land prime(q) \land p \leq q + c)$

This was observed by someone after the last class, and generalizes some properties that were used. Let P be a predicate over some domain. Consider the following two statements.

- 1. For all predicates Q, the statement "for all x P(x) implies Q(x)" is equivalent to the statement "there exists x P(x) and Q(x)".
- 2. "There exists a unique x P(x)".

Are the two statements equivalent to each other? If so, prove it, otherwise, give an example of a predicate P for which one is true but not the other.

#### Solution

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NB: The person who observed this is in fact the author:)

If (2) is true, ie there exists a unique x (call it  $x_0$ ) such that P(x) is true, then we will show that (1) is true. For any predicate Q, if there exists x such that  $P(x) \land Q(x)$  is true, that x must be  $x_0$ , and  $Q(x_0)$  must be true as well. Now, for  $x = x_0$ , since P(x) and Q(x) are both true, we have  $P(x) \Longrightarrow Q(x)$ , and for  $x \ne x_0$ , P(x) is false, and we therefore have  $P(x) \Longrightarrow Q(x)$  irrespective of Q(x). Therefore,  $P(x) \Longrightarrow Q(x)$  is true for all x. On the other hand, if there doesn't exist an x such that  $P(x) \land Q(x)$  holds, then  $Q(x_0)$  must be false. In this case, for  $x = x_0$ , P(x) is true but Q(x) is false, and hence  $P(x) \Longrightarrow Q(x)$  is false, which means  $P(x) \Longrightarrow Q(x)$  does not hold for all x (in fact it fails to hold only at  $x = x_0$ , as P(x) is false for other x). As you can see, in both cases "for all x P(x) implies Q(x)" is equivalent to the statement "there exists x P(x) and Q(x)" and therefore (1) is true.

If (2) is false, then either there are no x such that P(x) is true, or there are distinct  $x_1$  and  $x_2$  such that  $P(x_1)$  and  $P(x_2)$  are both true true. If there are no x such that P(x) is true, then for any predicate Q, "there exists x P(x) and Q(x)" is clearly false, while "for all x  $P(x) \implies Q(x)$ " is true, as P(x) is always false. Therefore those two statements are not equivalent, ie (1) is false. If there are distinct  $x_1$  and  $x_2$  such that P holds for both of them, then let us choose Q as the predicate  $x = x_1$ . For this predicate, there does exists an x such that  $P(x) \land Q(x)$  hold P(x)0 but at P(x)1 is true whereas P(x)2 is false (since P(x)3. Therefore, the two statements are not equivalent for all predicates Q3.

We therefore have that (1) is true precisely when (2) is true, and therefore they are equivalent. Notice how the universal quantification on Q was critical to prove the theorem.

If we were to express this theorem in predicate logic, it would be:

$$\forall P([\forall Q(\forall x(P(x) \implies Q(x)) \iff \exists x(P(x) \land Q(x)))] \iff \exists^u x P(x))$$

where  $\exists^u x P(x)$  represents that there exists a unique x such that P(x) holds. In normal predicate logic, this would be written as  $\exists x [P(x) \land \forall y (P(y) \Longrightarrow y = x)]$ . Note that this is a sentence in second order logic, as we quantify over predicates.

#### Question 4

Consider a game played between two players on an  $n \times m$  matrix with 0,1 entries. Initially the matrix is all 0's. The two players take turns and play alternately. In each move, a player must select a 0 entry in some position (i, j) and convert all entries in positions (x, y) for  $x \ge i$  and  $y \ge j$  to 1, if they are not already 1. At each move at least one 0 gets converted to a 1. The player who selects the 0 in the position (1, 1), after which the matrix has only 1 entries, loses the game. Prove that for all (n, m) except (1, 1) the first player can win the game. It is possible to prove this without actually finding what the winning move is. Can you find this move for general n, m?

#### Solution

#### **Author: Ashwin Abraham**

This game is known as the game of Chomp. It is usually framed in terms of an  $n \times m$  bar of chocolate where the piece at (1,1) is poisoned. The players have to eat parts of the chocolate in the way mentioned in the question - by choosing some piece at (i,j) and eating all the pieces (x,y) where  $x \ge i$  and  $y \ge j$ . The person who eats the poisoned piece loses (clearly!).

First of all, observe that this game is guaranteed to terminate in at most mn moves, as in each move at least one 0 is converted to a 1. Also, one of the players is guaranteed to lose - the one who selects (0,0) in the end, when no other position is available. The other is then guaranteed to win. Let us establish some results for two player alternating games that are guaranteed to terminate in a win for one of the players.

We construct something known as the Game Tree, where the nodes correspond to possible histories of the game, where the history of the game is the sequence of states the game has been in, from the starting state to the current state. We connect one history to another if it is possible to go from the first history to the second by making a valid move. Note that the first history will then be a prefix of the second history. It is clear that this structure indeed corresponds to a tree, as cycles are not possible

(the length of the history increases by one each move) and each node - other than the root (which corresponds to the starting state) - has a unique parent (its prefix). Now, this tree is finite, as the game is guaranteed to terminate. The leaf nodes of the tree therefore correspond to its terminal states.

We know that at the terminal states, either player A has won or player B has won. Let us label<sup>1</sup> the leaf nodes corresponding to the wins of player A as A and those corresponding to the wins of player B as B. Note that every leaf node is labelled as exactly one of A and B. We extend this labelling to all the nodes of the tree, where nodes, from which A has a strategy with which he is guaranteed to win, no matter what B plays (this is called a winning strategy - note that the strategy itself may depend on what B plays) are labelled as A, and those where B has a winning strategy are labelled as B. Note that a node cannot be labelled with both A and B, as both A and B cannot have winning strategies at the same node. Claim: Every node in the tree will end up labelled by either A or B, ie at every node either A or B have winning strategies.

We prove this by strong induction on the depth of the game tree (the maximum distance from root to a leaf node). As we induct, we consider gameplay starting not just from the original starting state, but from any arbitrary (reachable) state. If the depth of the game tree is 1, then this game tree corresponds to a starting state that is already terminal, ie where no move can be made. We already know that terminal states can be labelled with A or B, as in the terminal states, one of the players must have one (for our game this is the player whose turn it is currently and who has no move to make). Assume that for all game trees of depth at most d, every node can be labelled as either A or B. A game tree of depth d+1 is made up of a root connected to multiple subtrees, each of height at most d. Each subtree corresponds to a subgame starting at a different state. Since the previous state of the game does not affect further gameplay, we can apply the inductive hypothesis on the subtrees, ie all their nodes are labelled as either A or B, including their root nodes. This means that all the children of the root node are labelled as either A or B. Now, if all the children are labelled as B, this means that whatever move A makes, he will end up in a state from which B can win. This means that the root should be labelled as B, as B has a winning strategy for any move that A makes. However, if one of the children of the root is labelled as A, then A can make the move that moves the state of the game to that node, from which A has a winning strategy. Therefore in this case Awill have a winning strategy. In either case, the root node ends up labelled as either A or B, completing our inductive proof. The player with whom the root is labelled therefore has a winning strategy no matter what the other player plays<sup>2</sup>.

<sup>&</sup>lt;sup>1</sup>An alternative way to label the nodes would be as 1 if the player whose turn it is has a winning strategy, and 0 if the other player has a winning strategy.

<sup>&</sup>lt;sup>2</sup>This analysis was originally done by Von Neumann on the game of chess, where he proved that

For the game corresponding to non-leaf node u, if the first player (say p) has a winning strategy, this means that there exists a child node of u winning for p. Since it isn't p's turn at the child node, this means that either the child node is a terminal state or every child node of this child is winning for p. Formally writing down the condition (ignoring the case where the child is terminal), we get  $\exists v \in children(u) \forall w \in children(v) [win(w) = p]$ . Such a game is called a  $\exists \forall$  game. If the second player has a winning strategy, we get the condition  $\forall v \in children(u) \forall w \in children(v) [win(w) \neq p]$ . These are  $\forall \exists$  games. This  $\forall \exists$  alternation is characteristic of two player terminating games.

Since Chomp is a alternating two player game that always terminates in a win for one of the players, the result we've just proven implies that one of the players (either A the one who moves first or B who moves second) has a winning strategy no matter what the other player plays. If m = n = 1, then clearly the first player always loses and the second player always wins. Henceforth, we will assume that m and n are not both 1. We then have to show that the first player A, has a winning strategy (ie we have to show that Chomp is a  $\exists \forall$  game).

We will prove this by contradiction. Assume Chomp is a  $\forall \exists$  game. Now, the root r is not a terminal state and the only child of the root that is terminal is the one that corresponds to choosing (1,1) - call this t. We therefore have  $\forall u \in$ children(r)[win(u) = B], and to be more specific,  $\forall u \in children(r) - \{t\}\exists v \in children(r)$ children(u)[win(u) = B]. Let us consider the move where the first player chooses (m,n). Since m and n are not both 1, this results in a transition to some  $u \neq t$  that is not terminal. Now this node should have a child v that has win(v) = B. Now, v is a node in which it is A's turn to move but has win(v) = B. Notice that if the current state at node v is S, then A could have made a move directly taking the game to state S! The move A would make would be the same as the move that B just made. Formally speaking there is some node  $w \in children(u)$  such that w and v have the same current state. At node v, it was A's turn, and we had win(v) = B. Since node w has the same current state as v, but instead it is B's turn, we have win(w) = A(this is as the gameplay depends only on current state and not the entire history). This leads to a contradiction, as we had obtained  $\forall u \in children(r) [win(u) = B]$ . Therefore, the first player must have a winning strategy in Chomp, for m and n not both 1 (ie it is a  $\exists \forall$  game). Arguments like the one we made are known as strategy stealing arguments.

For m = 1 or n = 1 it is easy to see the winning move is to choose the position adjacent to (1,1). For m = n > 1, the winning strategy is to first choose (2,2) and thereafter if the other player plays (p,q), play (q,p). The winning move for general m, n has not yet been found by us.

either one of the players can force a win or both players can force a draw.

## Induction and the Peano Axioms

#### Question 1

Define the addition and multiplication operation of numbers using the basic assumptions. Prove the basic properties of the addition and multiplication operations on numbers, such as commutativity, associativity and distributivity. Write your proof as a sequence of statements, and write down the rule used to derive the statement from the preceding statements. Henceforth, we will be assuming these properties of arithmetic operations.

#### Solution

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The definitions of addition and multiplication will be recursive. To show that recursive definitions are valid (ie there exists a unique function satisfying the definition), we shall prove the following theorem.

#### Recursion Theorem:

For every set<sup>1</sup> X, function  $g: X \to X$ , and element  $x \in X$ , there exists a unique function  $f: \mathbb{N} \to X$  such that f(0) = x and  $\forall n \in \mathbb{N}, f(next(n)) = g(f(n))$ .

#### **Proof:**

Consider the set  $\mathcal{A}$  of relations R from  $\mathbb{N}$  to X that satisfy  $(0, x) \in R$  and  $\forall n \in \mathbb{N}, \forall y \in X, (n, y) \in R \implies (next(n), g(y)) \in R$ . This is basically the set of relations that satisfy the required recursion condition. Note that this set is non-empty as  $\mathbb{N} \times X \in \mathcal{A}$ .

Let f be the intersection of all the relations in  $\mathcal{A}$ , ie  $f = \bigcap_{R \in \mathcal{A}} R$ . Since  $\mathcal{A}$  is non-

<sup>&</sup>lt;sup>1</sup>For the empty set, this will be vacuously true

empty, f is well-defined. We will show that f is a function that satisfies the recursion condition. Firstly, since every  $R \in \mathcal{A}$  has  $(0, x) \in R$ , we have  $(0, x) \in f$ . For any  $n \in \mathbb{N}, y \in X$ , if we have  $(n, y) \in f$ , then we have  $(n, y) \in R$  for every  $R \in \mathcal{A}$ . This means that we have  $(next(n), g(y)) \in R$  for every  $R \in \mathcal{A}$ , ie  $(next(n), g(y)) \in f$ . This means that f satisfies the recursive definition, ie  $f \in \mathcal{A}$ . We also have that  $f \subseteq R$ , for every  $R \in \mathcal{A}$ .

We now show that f is a function. For this, we need to show that for every  $n \in \mathbb{N}$ , there exists a unique  $y \in X$  such that  $(n, y) \in f$ . We prove this by induction. For n=0, we have already established that  $(0,x) \in f$ . Consider the relation R=0 $\{(0,x)\}\cup(\mathbb{N}-\{0\})\times X$ . This relation has  $(0,x)\in R$ , and  $\forall n\in\mathbb{N}, \forall y\in X, (n,y)\in\mathbb{N}$  $R \implies (next(n), q(y)) \in R$  (the consequent is always true), ie  $R \in \mathcal{A}$ . We therefore have  $f \subseteq R$ , from which we can show that for any  $y \in X$ ,  $(0,y) \in R \implies y = x$ . Therefore, x is the unique element of X that 0 is mapped to. Now assume that for some  $n \in \mathbb{N}$ , there is a unique  $y \in X$  such that  $(n,y) \in f$ . We show that there is a unique  $z \in X$  such that  $(next(n), z) \in f$ . Furthermore, this z = g(y). Since  $f \in A$ , and  $(n,y) \in f$ , we have that  $(next(n),g(y)) \in f$ . Assume there is some  $z \neq g(y)$ such that  $(next(n), z) \in f$ . Consider the relation  $f' = f - \{(next(n), z)\}$ . We clearly have  $(0,x) \in f'$ . For any  $m,p \in \mathbb{N}$ , if  $(m,p) \in f'$ , then  $(m,p) \in f$ , which means that  $(next(m), g(p)) \in f$ . If  $m \neq n$ , then  $next(m) \neq next(n)$ , and so  $(next(m), g(p)) \in f'$ as well. If m=n, by the inductive hypothesis, we have p=y, ie  $q(p)=q(y)\neq z$ . Therefore  $(next(m), g(p)) \in f'$ . Therefore, f' too satisfies the recursive condition, ie  $f' \in \mathcal{A}$ . But then we have  $f \subseteq f'$ , which is a contradiction. Therefore, our assumption must have been incorrect, ie g(y) is the unique element to which next(n) is mapped to in f. This completes the induction, and shows that f is a function. We have therefore shown existence.

Uniqueness is comparatively easier to show, and also follows from induction. Say that  $f_1$  and  $f_2$  are two functions satisfying the given condition. By induction, we show that  $f_1(n) = f_2(n)$  for every  $n \in \mathbb{N}$ . We have  $f_1(0) = f_2(0) = x$ , and for any  $n \in \mathbb{N}$ , if  $f_1(n) = f_2(n) = k$  (for some  $k \in X$ ), then  $f_1(next(n)) = f_2(next(n)) = g(k)$ . This completes the induction and shows uniqueness.

Ш

We can now define addition and multiplication recursively. We denote these functions in infix notation, ie +(a, b) is written as a + b and  $\times (a, b)$  is written as  $a \times b$ . We define + as the unique function from  $\mathbb{N}^2$  to  $\mathbb{N}$  that satisfies:

- 1.  $\forall a \in \mathbb{N}, a+0=a$
- 2.  $\forall a, b \in \mathbb{N}, a + next(b) = next(a + b)$

To show that such a function exists and is unique, for any function  $+: \mathbb{N}^2 \to \mathbb{N}$ , we define a function  $f_+: \mathbb{N} \to \mathbb{N}^{\mathbb{N}}$  such that  $f_+(b)(a) = a + b$  for any two naturals

a, b. Note that this is clearly a bijective mapping. Now if + satisfies the conditions mentioned above, then  $f_+$  must satisfy  $f_+(0) = I$ , where I is the identity function on  $\mathbb{N}$  satisfying I(a) = a for every natural  $\mathbb{N}$ , and  $f_+(next(n)) = next(f_+(n))$ , where for any function  $g: \mathbb{N} \to \mathbb{N}$ , next(g) is defined such that  $\forall n \in \mathbb{N}$ , next(g)(n) = next(g(n)). By the recursion theorem, there exists a unique such  $f_+$ , and hence (since our mapping was bijective) there exists a unique function + satisfying our conditions.

We define  $\times$  as the unique function from  $\mathbb{N}^2$  to  $\mathbb{N}$  that satisfies:

- 1.  $\forall a \in \mathbb{N}, a \times 0 = 0$
- 2.  $\forall a, b \in \mathbb{N}, a \times next(b) = a + a \times b$

We define a similar bijective mapping from functions  $\times : \mathbb{N}^2 \to \mathbb{N}$  to functions  $f_{\times} : \mathbb{N} \to \mathbb{N}^{\mathbb{N}}$ , where  $f_{\times}(b)(a) = a \times b$ , for every natural a and b. If  $\times$  satisfies the above conditions, clearly  $f_{\times}$  satisfies  $f_{\times}(0) = \mathbf{0}$  (where  $\mathbf{0}$  is the function on  $\mathbb{N}$  that is identically 0), and  $\forall n \in \mathbb{N}$ ,  $f_{\times}(next(n)) = g(f_{\times}(n))$ , where g is defined such that for any function f on  $\mathbb{N}$  and any natural n, g(f)(n) = n + f(n). By the recursion theorem, there exists a unique such  $f_{\times}$ , and therefore (since our mapping was bijective), there exists a unique function  $\times$  satisfying those conditions.

We will prove that + and  $\times$  are associative and commutative, and that  $\times$  distributes over +.

#### Proof that + is associative:

We have to prove that  $\forall a, b, c \in \mathbb{N}$ , (a+b)+c=a+(b+c). We induct on c. For c=0, the two are equal (by condition 1 on +). For any  $c \in \mathbb{N}$ , if (a+b)+c=a+(b+c) (say both equal k), then (a+b)+next(c)=a+(b+next(c))=next(k). Therefore, by induction, associativity is proven. We can therefore uniquely refer to a+b+c, without bracketing.

#### Proof that + is commutative:

We have to prove that  $\forall a, b \in \mathbb{N}, a+b=b+a$ . We will first prove this for b=0, ie  $\forall a \in \mathbb{N}, 0+a=a$ . This can easily be proven by induction on a. Next, we prove that  $\forall a, b \in \mathbb{N}, next(a) + b = next(a+b)$ . This can be proven by induction on b. Now, to prove our original statement, we induct on b. For b=0, we have that for every natural a, a+0=0+a=a, and for every b, if a+b=b+a=k, then a+next(b)=next(b)+a=next(k). Therefore, by induction, commutativity is proven.

#### Proof that $\times$ distributes over +:

We have to prove that  $\forall a, b, c \in \mathbb{N}, a \times (b+c) = a \times b + a \times c$ . We induct over c. For c = 0, both terms equal  $a \times b$ . For any c, if  $a \times (b+c) = a \times b + a \times c$ , then

 $a \times (b + next(c)) = a \times next(b+c) = a + a \times (b+c) = a + a \times b + a \times c = a \times b + a + a \times c = a \times b + a \times next(c)$ . Therefore, by induction, distributivity is proven.

#### Proof that $\times$ is associative:

We have to prove that  $\forall a,b,c \in \mathbb{N}, a \times (b \times c) = (a \times b) \times c$ . We prove this by induction over c. Both of the terms are equal to 0 at c=0. For any c, if  $a \times (b \times c) = (a \times b) \times c$ , then  $a \times (b \times next(c)) = a \times (b+b \times c) = a \times b + a \times (b \times c) = a \times b + (a \times b) \times c = (a \times b) \times next(c)$ . Therefore, by induction, associativity is proven. We can therefore uniquely refer to  $a \times b \times c$ , without bracketing.

#### Proof that $\times$ is commutative:

We have to prove that  $\forall a,b \in \mathbb{N}, a \times b = b \times a$ . We first prove that for every natural  $a,0 \times a = 0$ . This can be done by induction on a. We then prove that for all naturals  $a,b,\ next(b) \times a = a+b \times a$ . We induct on a. For a=0, both terms are 0. For any a, if  $next(b) \times a = a+b \times a$ , then  $next(b) \times next(a) = next(b) + next(b) \times a = next(b) + a + b \times a = a + next(b) + b \times a = next(a+b) + b \times a = next(b+a) + b \times a = b + next(a) + b \times a = next(a) + b \times a = next(a) + b \times next(a)$ . Therefore, this is proven by induction. Now, we prove the original statement by induction on b. For b=0, both terms are 0. For any b, if  $a \times b = b \times a$ , then  $a \times next(b) = a + a \times b$  and  $next(b) \times a = a + b \times a$ . Since  $a \times b = b \times a$ , the two are equal. Therefore, by induction, commutativity is proven.

Some of the other properties of + and  $\times$  that can be proven (usually by induction) are:

- 1.  $\forall a, b, c \in \mathbb{N}, a + c = b + c \iff a = b$
- 2.  $\forall a, b, c \in \mathbb{N}, a \times c = b \times c \iff a = b \vee c = 0$

We usually denote next(0) by 1. We then have next(a) = a + 1 for all naturals a. We stop using the next function explicitly and refer to next(a) as a + 1. We also have

3. 
$$\forall a \in \mathbb{N}, a \times 1 = 1 \times a = a$$

We stop using  $\times$  explicitly as well, and refer to  $a \times b$  as ab. Henceforth, we assume these, and all other arithmetical properties of numbers.

#### Question 2

Define the usual < relation on natural numbers using induction. Note that this is just a predicate < (m, n) which is true if m < n in the usual sense. Instead of the

induction principle, numbers can also be defined using the < relation and the next function. The induction principle is replaced by the statement "for any predicate P, if there exists an n such that P(n) is true, then there exists an n such that P(n) is true and for all m < n, P(m) is false". In other words, this says that if P(n) is true for some n, then there is a smallest n for which it is true. This is known as the well ordering principle. What properties of the < relation and next are needed so that this defines exactly the properties of natural numbers.

#### Solution

We define the relation  $\leq$  on  $\mathbb{N}$  (infix notation is again used everywhere). < is defined to be the relation such that a < b iff  $a \leq b \land a \neq b$ .  $\leq$  is defined such that for all naturals  $a, b, a \leq b \iff \exists c \in \mathbb{N}, a+c=b$ . It can be shown (by induction) that  $\leq$  is a total order<sup>2</sup> on  $\mathbb{N}$ . The other arithmetic properties of  $\leq$  can be shown too.

This is a non-recursive definition of  $\leq$ , in which we used +. However, if we are to replace the induction principle by the well ordering principle, we will have to define  $\leq$  recursively. We define  $\leq$  as the unique relation on  $\mathbb{N}$  satisfying:

- 1.  $\forall n \in \mathbb{N}, 0 \leq n$
- $2. \ \forall n \in \mathbb{N}, n < 0 \implies n = 0$
- 3.  $\forall m, n \in \mathbb{N}, m \le n \iff next(m) \le next(n)$

Again, for any relation  $\leq$  on  $\mathbb{N}$ , we define  $f_{\leq}: \mathbb{N} \to 2^{\mathbb{N}}$  such that for any natural x,  $f(x) = \{y \in \mathbb{N} : x \leq y\}$ . It is easy to verify that this is a bijective mapping. For any relation  $\leq$  satisfying the above conditions, we have  $f_{\leq}(0) = \mathbb{N}$  and for every natural  $n, f_{\leq}(next(n)) = next(f_{\leq}(n))$ , where  $next: 2^{\mathbb{N}} \to 2^{\mathbb{N}}$  is defined such that for any  $S \subseteq \mathbb{N}$ ,  $next(S) = \{next(x) : x \in S\}$ . By the recursion theorem, there exists a unique such function  $f_{\leq}$ , and therefore there exists a unique relation  $\leq$  satisfying the above conditions.

It is easy to show that the two definitions of  $\leq$  are equivalent. First show by induction on a that  $\forall a, b \in \mathbb{N}, a \leq a+b$ . Therefore,  $\exists c \in \mathbb{N}, a+c=b \implies a \leq b$ , for all naturals a, b. We can show by induction on a that  $a \leq b \implies \exists c \in \mathbb{N}, a+c=b$ .

Now, the well ordering principle principle says that for all predicates P,  $\exists n \in \mathbb{N}, P(n) \Longrightarrow \exists m \in \mathbb{N} [P(m) \land \forall n \in \mathbb{N}, n < m \Longrightarrow \neg P(n)]$ , ie if P holds for some n, then there is a minimal n for which it holds.

To show that the order is total  $(\forall a, b \in \mathbb{N}, a \leq b \vee b \leq a)$ , we show something stronger  $\forall a, b \in \mathbb{N}, a \leq b \vee next(b) \leq a$  by induction on a. This means that for every natural b, there is no natural strictly in between b and next(b).

Before we proceed, let us also state the principle of strong induction (the usual principle of induction we've used so far is referred to as the principle of weak induction). The principle of strong induction states that for any predicate<sup>3</sup> P, if for all naturals n assuming P(m) is true for all m < n implies P(n), then P(n) holds for all naturals n. This can be written formally as:

$$\forall P [(\forall n \ (\forall m, m < n \implies P(m)) \implies P(n)) \implies \forall n \ P(n)]$$

We shall show that the Principle of Strong Induction is equivalent to the Well Ordering Principle, and both are strictly weaker than the Principle of Weak Induction<sup>4</sup>. Precisely speaking, we will prove the following:

- 1. Given the other (non-inductive) Peano axioms, and the definition of  $\leq$ , we can show that strong induction is equivalent to the well ordering principle.<sup>5</sup>
- 2. Given the other Peano axioms, the definition of  $\leq$ , and the principle of weak induction, we can derive the principle of strong induction and the well ordering principle.
- 3. We can construct a system that satisfies the other Peano axioms, the principle of strong induction, the well ordering principle and the definition of  $\leq$ , but does not satisfy the principle of weak induction.

#### Proof of 1:

Let us assume that strong induction holds. Now we shall show that for any predicate P, if P does not have a minimal element n that satisfies P, then no element can satisfy P. We show this by strong induction on  $\neg P$ . For any n, if we assume that  $\forall m < n, \neg P(m)$  holds, ie P(m) does not hold, then P(n) cannot hold, as if it did, n would be a minimal element that satisfied P. Therefore,  $\neg P(n)$  holds. By the principle of strong induction,  $\forall n, \neg P(n)$ , ie P does not hold for any n. This means that if P holds for some n, there must be a minimal n for which it holds. Therefore, strong induction  $\Longrightarrow$  well ordering principle.

Now, let us assume that well ordering holds, ie for every predicate P, if there exists an element n such that P(n) holds, then there exists a minimal element m such that P(m) holds, and for all k < m, P(k) does not hold. Now, consider any predicate P that satisfies the strong induction condition, ie  $\forall n \ (\forall m < n \ P(m)) \implies P(n)$ .

<sup>&</sup>lt;sup>3</sup>Note that all of these principles can be rephrased in terms of subsets instead of predicates.

<sup>&</sup>lt;sup>4</sup>Yes, the Principle of Weak Induction is the stronger one.

 $<sup>^5</sup>$ As you shall see, we actually don't need the other Peano Axioms or the definition of  $\leq$  to show this - Strong Induction and Well Ordering are fundamentally equivalent! In fact, for any set that can be well ordered, we can apply strong induction to prove predicates on it - in this context, strong induction is known as **transfinite induction**.

There cannot exist a minimal element k that satisfies  $\neg P$ . If there did exist such an element, then for all n < k, P(n) would hold, and by the strong induction condition, this would mean P(k) holds, contradicting the fact that k satisfies  $\neg P$ . By well ordering, this means that  $\neg P$  doesn't hold for any element, ie  $\forall n \ P(n)$ . Therefore, well ordering principle  $\implies$  strong induction.

Therefore, the two are equivalent.

# $\Box$ Proof of 2:

Since we have shown that strong induction is equivalent to the well ordering principle, we just need to show that weak induction (along with the other Peano axioms and the definition of  $\leq$ ) implies one of them. We will show that strong induction is implied. Assume that P is some predicate that satisfies the strong induction condition, ie  $\forall n \ (\forall m < n \ P(m)) \implies P(n)$ . Consider the predicate Q such that,  $Q(n) \equiv (\forall m < n \ P(m))$ . Q(0) is vacuously true, and  $Q(next(n)) \iff Q(n) \land P(n)$ . The strong induction condition becomes  $Q(n) \implies P(n)$ . We therefore have  $Q(n) \implies Q(next(n))$  for any natural n. By weak induction, we therefore have  $\forall n \ Q(n)$ , ie  $\forall n \ \forall m < n \ P(m)$ . Now, to show that this implies  $\forall n \ P(n)$ , put  $m \leftarrow n$  and  $n \leftarrow next(m)$  in the previous statement.

Therefore, weak induction  $\implies$  strong induction  $\iff$  well ordering.

#### Proof of 3:

Now, we show that weak induction is strictly stronger than strong induction and well ordering, by constructing a set that satisfies all the (non-inductive) Peano Axioms, the definition of  $\leq$ , the principle of strong induction, and the well ordering principle, but not the principle of weak induction.

Our set is  $S = \mathbb{N} \cup \{\infty\}^6$ .  $next_S : S \to S$  is defined such that for any  $x \in S$ ,

$$next_S(x) = \begin{cases} next(x) & x \in \mathbb{N} \\ \infty & x = \infty \end{cases}$$

 $\leq_S$  is defined as  $\{(a,b) \in \mathbb{N}^2 : a \leq b\} \cup \{(a,\infty) : a \in \mathbb{N}\}$ . It is easy to verify that S,  $next_S$ ,  $\leq_S$  satisfy all of the Peano axioms and S is well ordered under  $\leq_S$ , which means strong induction must also hold on S. However, weak induction does not hold. An example of a predicate that violates weak induction is the predicate  $x \neq \infty$ . Intuitively, weak induction states that every element can be reached from 0 by finite applications of next - this is violated here by  $\infty$ .

<sup>6</sup>Some of you may recognize this as the ordinal  $\omega + 1$ .

To be able to replace weak induction with strong induction or well ordering, we need to add two more axioms<sup>7</sup>:

- 1.  $\forall x, (x=0) \lor \exists y, x = next(y)$
- 2.  $\forall x, x < next(x)$

Note that these are provable theorems if weak induction is allowed, but must be added as axioms if it is replaced by strong induction or well ordering.

With these new axioms, we can derive weak induction from (say) well ordering. Say P is a predicate that satisfies the conditions for weak induction, ie P(0) holds, and for all n,  $P(n) \Longrightarrow P(next(n))$ . Assume there exists some n such that P(n) does not hold, ie  $\neg P(n)$  holds. By well ordering, there must be a minimal m such that  $\neg P(m)$  holds, and for all n < m, P(n) holds. m cannot be 0, as we know P(0) holds. Therefore, by our new axiom, m = next(k) for some k. By the contrapositive of the inductive condition, we have  $\neg P(next(k)) \Longrightarrow \neg P(k)$ . Therefore,  $\neg P(k)$  holds. This however, contradicts the minimality of m (as k < m). Therefore, there cannot exist any n such that P(n) doesn't hold, ie  $\forall n$  P(n). Thus weak induction holds.

#### Question 3

The principle of strong induction states that for any predicate P, if for all n, assuming P(m) is true for all m < n implies P(n), then P(n) is true for all n. Express this using propositional logic and show that this is equivalent to the usual principle of induction. Use this to show that every number greater than 1 can be written as a product of prime numbers.

#### Solution

In the previous question we have expressed the principle of strong induction in predicate logic and shown that it is strictly weaker than the principle of weak induction. They become equivalent only when the Peano axioms are augmented with two additional axioms.

To show that every number greater than 1 can be expressed as a product of primes, we can use strong induction. Assume that for all m < n, if m > 1 then m can be expressed as a product of primes. If n > 1 can only be factorized into 1 and n, then it itself must be a prime - otherwise, if it can be factorized as n = pq, where

<sup>&</sup>lt;sup>7</sup>As an exercise, you can show that neither of these are redundant.

1 < p, q < n, then by the inductive hypothesis, p and q can be written as products of primes, and hence n = pq can also be written as a product of primes.

#### Question 4

Prove that for every natural number m > 0, for every number n, there exist unique natural numbers q and r such that n = qm + r and  $0 \le r < m$ . This is called the division property of numbers (ie Euclid's Lemma) and is the starting point for many basic results in number theory.

#### Solution

This can be proven by induction on n. The case n=0 is trivial. For any natural n, if there exist unique natural numbers q and r with  $0 \le r < m$  such that n=qm+r, then let us take two cases.

- 1. r=m-1. In this case, n+1=(q+1)m. Say n+1=q'm+r'. If r'>0, then n=q'm+(r'-1). By the inductive hypothesis, q=q' and r'-1=r=m-1, ie r'=m, which is a contradiction, as  $0 \le r' < m$ . Therefore, r'=0, ie n+1=q'm. Clearly  $q'\ne 0$ , and so n=(q'-1)m+m-1=qm+r, ie q'=q+1. Therefore, we must have q'=q+1 and r'=m-1, ie q' and r' are unique.
- 2. r < m-1. Note that this can only occur when  $m \ge 2$ . In this case n+1 = qm+r+1, and  $0 \le r+1 < m$ . Say n+1 = q'm+r'. If r' > 0, then n = q'm+r'-1 = qm+r. By the inductive hypothesis, r' = 1+r and q' = q. If r' = 0, then  $q' \ne 0$ , so n = (q'-1)m+m-1. By the inductive hypothesis, q'-1=q and m-1=r. However, we assumed that r < m-1, so this case therefore cannot occur. Therefore, we must have q' = q and r' = 1+r, ie q' and r' are unique.

Therefore, by induction, Euclid's Lemma is proven.

#### Question 5

Prove that for all positive integers k, the product of any k consecutive numbers is divisible by k!. There is a simple one line "combinatorial" proof of this using a counting argument, but try to prove it using only the basic properties of numbers.

#### Solution

We have to show that for every positive integer k, and natural number n,  $k! | \prod_{i=0}^{k-1} (n+i)$ . We induct on k. For k=0 and k=1, the product is 0 and n respectively both of which are clearly divisible by n. Assuming that for all naturals n,  $k! | \prod_{i=0}^{k-1} (n+i)$ , we have to show that for all naturals n,  $(k+1)! | \prod_{i=0}^{k} (n+i)$ . We now induct on n. For n=0 and n=1, the products are 0 and (k+1)! which are clearly divisible by (k+1)!. If  $(k+1)! | \prod_{i=0}^{k} (n+i)$ , then we have  $\prod_{i=0}^{k} (n+1+i) = \frac{n+1+k}{n} \prod_{i=0}^{k} (n+i) = \prod_{i=0}^{k} (n+i) + (1+k) \prod_{i=0}^{k-1} (n+1+i)$ . The first term is divisible by (k+1)!, and  $\prod_{i=0}^{k-1} (n+1+i)$  is divisible by k!, which means  $(1+k) \prod_{i=0}^{k-1} (n+1+i)$  is also divisible by (1+k)!, making the whole thing divisible by (1+k)!. Therefore,  $(k+1)! | \prod_{i=0}^{k} (n+i)$  for every natural n, and therefore  $k! | \prod_{i=0}^{k-1} (n+i)$  for every natural n and positive integer k. This was an example of nested induction.

The combinatorial solution for this is observing that  $\binom{n+k-1}{k} = \frac{(n+k-1)!}{k!(n-1)!} = \frac{\prod_{i=0}^{k-1}(n+i)}{k!}$  is an integer, corresponding to the number of ways of choosing k objects from n+k-1 distinct objects.

## Question 6

The axioms of numbers defines the "unary" representation of a number n, where n is considered to be obtained by adding 1 to 0 n times. An equivalent set of axioms can be defined using the "binary" representation. Instead of the next function, we assume two functions on numbers, double(n) and then double(n) + 1. Write down the axioms needed using these two functions, to define the natural numbers. Show that these are equivalent to the Peano axioms. Define the addition and multiplication operations using these axioms.

#### Solution

We denote double by  $S_0$  and double + 1 by  $S_1$ . We will have  $S_0(n) = 2n + 2^8$  and  $S_1(n) = 2n + 1$ . The equivalent of the Peano axioms for this binary representation will be:

- 1.  $0 \in \mathbb{N}$
- 2.  $\exists S_0, S_1 : \mathbb{N} \to \mathbb{N}$  such that:
  - (a)  $S_0$  and  $S_1$  are injections
  - (b)  $\forall n, m \in \mathbb{N}, S_0(m) \neq S_1(m)$
  - (c)  $\forall n \in \mathbb{N}, S_0(n) \neq 0, S_1(n) \neq 0$
- 3. For all predicates P on  $\mathbb{N}$ , if:
  - (a) P(0) holds
  - (b)  $\forall n \in \mathbb{N}, P(n) \implies P(S_0(n))$
  - (c)  $\forall n \in \mathbb{N}, P(n) \implies P(S_1(n))$

then  $\forall n \in \mathbb{N}, P(n)$ , ie P holds for all naturals.

The natural numbers under the usual Peano axioms with  $S_0(n) = 2n+2$  and  $S_1(n) = 2n+1$  can be easily shown to satisfy axioms 1 and 2 of this new system, using Euclid's Lemma. Now, by a straightforward application of Euclid's Lemma, for any n > 0, either there exists k < n such that n = 2k + 1 or there exists k < n such that n = 2k + 2. Say some predicate P satisfies the condition in axiom 3. We show that P(n) holds for all naturals n, ie that axiom 3 holds. We do this by strong induction. Assume that for some n, P(m) holds for all m < n. If n = 0, we already know that P(0) is true, so  $\forall m < 0$   $P(m) \implies P(0)$ . If n > 0, then there are two cases: either there exists k < n such that n = 2k + 1, in which case P(n) holds, because P(k) holds and  $P(k) \implies P(2k+1)$ , or there exists k < n such that n = 2k + 2, in which case also P(n) holds, as P(k) holds and  $P(k) \implies P(2k+2)$ . Therefore, P(n) holds in both cases, and by the principle of strong induction, P(n) holds for all naturals n. Therefore, the normal Peano axioms imply this new set of axioms

To show the converse, we have to show that our new system satisfies the old set of Peano Axioms. Note that first Peano axiom is automatically satisfied. For the other axioms, we will have to construct a next function that satisfies the Peano axioms. We define next as the unique function from  $\mathbb{N}$  to itself satisfying:

<sup>&</sup>lt;sup>8</sup>This is just to make the axiomatization easier while keeping  $0 \in \mathbb{N}$ , as if  $S_0(n) = 2n$ , then we would have  $S_0(0) = 0$ . However, the axiomatization can still be done with  $S_0(n) = 2n$  and  $0 \in \mathbb{N}$ .

- 1.  $next(0) = S_1(0)$
- 2.  $\forall n \in \mathbb{N}, next(S_0(n)) = S_1(next(n))$
- 3.  $\forall n \in \mathbb{N}, next(S_1(n)) = S_0(n)$

The existence and uniqueness of this function can be shown via a proof along the lines of that of the recursion theorem<sup>9</sup>.

We have to show that  $\forall n \in \mathbb{N}, next(n) \neq 0$  and that next is an injection. This can be done by application of axiom 3, which is the equivalent of induction for this system.

Finally, we have to show that the principle of induction holds, ie for any predicate P, if P(0) holds, and  $\forall n \in \mathbb{N}, P(n) \implies P(next(n))$ , then  $\forall n \in \mathbb{N}, P(n)$ .

#### Question 7

Let a, b be natural numbers. Consider the following statement. For all predicates P(n), (P(a) and P(b) and for all n, m ((P(n) and P(m)) implies P(n+m)) implies (P(n) is true for sufficiently large n). Write down a simple statement equivalent to this, without using quantification over predicates, and prove that your statement is equivalent to this.

 $<sup>^9{</sup>m The~appropriate~generalization~of~the~recursion~theorem~is~the~Unique~Homomorphic~Extension~Theorem.}$ 

# Divisibility and GCD

## Question 1

Let a, b be positive numbers and let X be the set of all positive numbers r such that xa = yb + r for some natural numbers x, y. Prove that the set X is exactly the set of all multiples of gcd(a, b). First show that the smallest element must be gcd(a, b) and any other element must be a multiple of it.

## Question 2

Let a, b be positive numbers such that  $a \neq 0 \mod b$ . Let g > 0 be the smallest number such that  $xa = g \mod b$  for some number x. Prove that  $g = \gcd(a, b)$ . This implies that a has a multiplicative inverse mod b if and only if  $\gcd(a, b) = 1$ .

#### Question 3

Consider the following definition of a function f(n,m). Define f(0,n) = n for all n, f(n,m) = f(m,n) for all m, n and  $f(n,m) = f(n \mod m,m)$ . Prove using strong induction that this defines f uniquely and that for all n, m,  $f(n,m) = \gcd(n,m)$ . This gives an algorithm to compute  $\gcd(n,m)$  known as Euclid's Algorithm. If n and m are numbers with k bits in their binary representations, find an upper bound on the number of arithmetic operations required to compute their  $\gcd$ . Modify the algorithm to find x, y such that  $xn = ym + \gcd(n, m)$ .

Another algorithm for finding the gcd is given by a different definition. Again define f(0,n) = f(n,0) = n for all n, and for any n,m the following hold: f(2n,2m) = 2f(n,m), f(2n,2m+1) = f(n,2m+1), f(2n+1,2m) = f(2n+1,m), and f(2n+1,2m+1) = f(2m+1,n-m) if  $m \le n$  and f(2n+1,m-n) otherwise. Prove that this function is uniquely defined and gives exactly  $\gcd(n,m)$  for all n,m. This needs the fact that any n > 0 is either 2m or 2m+1 for some m < n. This algorithm has the advantage that it uses only subtraction and division by two, and is easier to implement in hardware.

#### Question 5

Prove that if  $2^n - 1$  is prime then n must be prime. Give an example to show that converse is not true. Prove that  $\gcd(2^m - 1, 2^n - 1) = 2^{\gcd(m,n)} - 1$ . More generally, show that if  $\gcd(a,b) = 1$  and  $0 \le m < n$ , then  $\gcd(a^m - b^m, a^n - b^n) = a^{\gcd(m,n)} - b^{\gcd(m,n)}$ . Hint: Use Euclid's algorithm.

#### Question 6

Let n > m > 0 be positive integers. Prove that if gcd(n, m) = 1, then the binomial coefficient  $\binom{n}{m}$  is divisible by n. Is the converse of this statement true? (Hint: There is a simple combinatorial proof for this.) Use this to prove Fermat's Little Theorem that for any prime p and for all x,  $x^p - x$  is divisible by p. Prove that  $2^n - 1$  is not divisible by p for any p > 1.

## Question 7

Let m, n be positive integers such that m divides n. Show that there exist numbers a, b such that  $a \leq b$ , gcd(a, b) = m and lcm(a, b) = n. Find a necessary and sufficient condition on m and n such that there exists a unique such pair of numbers. Prove that in general the number of solutions is a power of 2.

#### Question 8

Consider two fractions  $\frac{m}{n}$  and  $\frac{m'}{n'}$  in lowest terms. In other words,  $\gcd(m,n) = \gcd(m',n') = 1$ . Prove that when the sum  $\frac{m}{n} + \frac{m'}{n'}$  is reduced to lowest terms, the denominator will be nn' if and only if  $\gcd(n,n') = 1$ .

Let a and b be positive irrational numbers such that  $\frac{1}{a} + \frac{1}{b} = 1$ . Prove that for every positive integer n there exists a positive integer k such that  $n = \lfloor ka \rfloor$  or  $n = \lfloor kb \rfloor$ .

## Question 10

Consider an  $m \times n$  matrix A with integer entries. Let L be the set of all m-dimensional vectors v such that v = Ax for some n-dimensional vector x with integer entries. The set L is called a lattice. Prove that for any such matrix A there exists an  $m \times m$  matrix B such that L is exactly the set of vectors By, where y is any integral m-dimensional vector. Note that when A is the  $1 \times 2$  dimensional matrix  $\begin{bmatrix} a & b \end{bmatrix}$ , then B is the  $1 \times 1$  dimensional matrix  $\begin{bmatrix} \gcd(a,b) \end{bmatrix}$ . B is called a basis for L. A challenging problem is to find a basis with "smallest" possible entries and a vector in L with smallest possible magnitude. This is equivalent to finding gcd when m=1 but is much more difficult for arbitrary m. Try to do it for m=2. The dimension of L is the smallest k such that every vector in L can be written as an integral linear combination of k m-dimensional vectors. The dimension of L is at most m but may be less than m. Given the matrix A, can you give an efficient algorithm to find the dimension of L.

## Modular Arithmetic

#### Question 1

Let m, n be positive integers such that gcd(m, n) = 1. Prove that for all  $a \in \mathbb{Z}_m$  and  $b \in \mathbb{Z}_n$  there exists a unique number x in  $\mathbb{Z}_{mn}$  such that  $x = a \mod m$  and  $x = b \mod n$ . This is known as the Chinese Remainder Theorem. Suppose now that gcd(m, n) = d for some number d. Find a necessary and sufficient condition on a and b for such a number x to exist. If it exists, how many distinct such numbers exist in  $\mathbb{Z}_{mn}$ ? Can you find an explicit description of all possible solutions?

#### Question 2

A number a such that  $1 \le a < n$  is called a quadratic residue modulo n iff the congruence  $x^2 = a \mod n$  has a solution. If n is a prime number, how many quadratic residues are there modulo n? If n = pq is a product of two distinct odd prime numbers, how many quadratic residues are there modulo n?

#### Question 3

Let n be a prime number and  $P(x) = a_0 + a_1x + a_2x^2 + \cdots + a_{d-1}x^{d-1} + x^d$  be a monic polynomial of degree d with coefficients  $a_i \in \mathbb{Z}_n$  for  $0 \le i < d$ . An element  $a \in \mathbb{Z}_n$  is called a root of the polynomial iff  $P(a) = 0 \mod n$ . Prove that a polynomial of degree  $d \ge 1$  has at most d roots in  $\mathbb{Z}_n$ . For all primes n, prove that there exists a polynomial of degree 2 that has no roots in  $\mathbb{Z}_n$ . Such a polynomial is called irreducible modulo n. Try to explicitly construct such a polynomial for a general prime n. Try to generalize this to polynomials of degree d for  $d \ge 2$ .

Let n be a prime number and a a number not divisible by n. The order of a modulo n is the smallest positive number k such that  $a^k = 1 \mod n$ . Prove that the order of a divides n-1. The number a is said to be a primitive root modulo n iff it's order is n-1. Prove that for all primes p, there exists a primitive root modulo n. Find all primitive roots modulo n for n=3,5,11,13. Prove that n is prime if and only if there exists a number  $a \in \mathbb{Z}_n$  such that  $a^{n-1} = 1 \mod n$  and  $a^{\frac{n-1}{p}} \neq 1 \mod n$  for any prime p that divides n-1. Hint: Try to find for each divisor d of n-1 the number of elements in  $\mathbb{Z}_n$  of order d. This may need some results from the previous problem.

#### Question 5

While Wilson's Theorem gives a necessary and sufficient condition for a number to be prime, Fermat's Little Theorem only gives a necessary condition which is not sufficient. There exist composite numbers n such that for all a, gcd(a, n) = 1 implies  $a^{n-1} = 1 \mod n$ . Such numbers are called Carmichael numbers. Prove that a number n is a Carmichael number if and only if n is a product of distinct primes and for every prime p that divides n, p-1 divides n-1. The smallest Carmichael number is  $561 = 3 \times 11 \times 17$ , and it is known that there are infinitely many of them. Find small values of a for which 561 is declared to be composite by the Miller-Rabin test.

## **Euler Totient Function**

#### Question 1

Prove that  $\sum_{d|n} \phi(d) = n$  for all positive integers n. Give a combinatorial proof of this by showing that there is a one-to-one and onto function from  $\{1, 2, ..., n\}$  to the set of ordered pairs (d, e) where d is a divisor of n and  $1 \le e \le d$  with  $\gcd(e, d) = 1$ .

## Question 2

Use the previous result to prove that if p is a prime number, then for every divisor d of p-1,  $\mathbb{Z}_p^*$  contains exactly  $\phi(d)$  elements of order d. Prove that  $\mathbb{Z}_n^*$  has an element of order  $\phi(n)$  (a generator) iff n is one of  $2,4,p^k$  or  $2p^k$  for some odd prime p and integer  $k \geq 1$ .

## Question 3

Prove that if m divides n, then  $\phi(m)$  divides  $\phi(n)$ . Give a combinatorial proof of this by showing that  $\mathbb{Z}_n^*$  can be partitioned into  $\phi(m)$  parts of equal size or into some number of parts of size  $\phi(m)$ .

## Question 4

The Mobius function  $\mu(n)$  is defined as  $\mu(1) = 1$ ,  $\mu(n) = 0$  if n is divisible by  $p^2$  for some prime p and  $\mu(n) = (-1)^k$  if  $n = p_1 p_2 \dots p_k$  is a product of k distinct primes. Suppose f(n) and g(n) are functions such that for all  $n \ge 1$ ,  $g(n) = \sum_{d|n} f(d)$ . Prove

that  $f(n) = \sum_{d|n} g(d)\mu(\frac{n}{d})$ . This is called Mobius inversion and is a special case of a more general result. Use this and the earlier proven result that  $\sum_{d|n} \phi(d) = n$  to derive the expression for  $\phi(n)$ .

## Question 5

Prove that  $\sum_{k=1}^{n} \phi(k) \lfloor \frac{n}{k} \rfloor = \frac{n(n+1)}{2}$ . Hint: Consider all possible rational numbers in (0,1) with denominator at most n, and consider their reduced forms.

# Boolean Algebra

#### Question 1

Prove that in any Boolean algebra B,  $(xy)^c = x^c + y^c$  and  $(x+y)^c = x^c y^c$ , for all  $x, y \in B$ . These are called De Morgan's Laws. Also prove that  $x + x^c y = x + y$ .

#### Question 2

A Boolean expression with n variables  $\{x_1, x_2, \dots, x_n\}$  over a Boolean algebra B is defined recursively as follows. A single variable  $x_i$  is a Boolean expression. If  $e_1, e_2$ are Boolean expressions then  $e_1 + e_2$ ,  $e_1e_2$  and  $e_1^c$  are also Boolean expressions. Given an assignment of values  $b_i \in B$  to each variable  $x_i$ , the value of an expression is defined recursively as  $v(x_i) = b_i$ ,  $v(e_1 + e_2) = v(e_1) + v(e_2)$ ,  $v(e_1e_2) = v(e_1)v(e_2)$  and  $v(e_1^c) = v(e_1)^c$ . A Boolean expression e with n variables therefore defines a function  $f: B^n \to B$  as  $f(b_1, b_2, \dots, b_n) = v(e)$  for the assignment of values  $v(x_i) = b_i$ . Prove that every function  $f: B^n \to B$  can be represented by a Boolean expression if B is a Boolean algebra with 2 elements. Is this true for any finite Boolean algebra? If so, prove it, otherwise give an example for which it is not possible. Say we modify the definition of an expression by allowing any constant  $a \in B$  to be also considered an expression. These expressions are known as polynomial expressions. Show that for 2 element Boolean algebras, the set of polynomial expressions is the same as the set of Boolean expressions. For finite Boolean algebras B, can the set of polynomial expressions represent every function from  $B^n$  to B? If so, prove it, if not, find a counterexample. How will you modify the definition of an expression, so that all functions over any finite Boolean algebra can be represented? For 2 element Boolean algebras, the representation of a function as a Boolean expression is not unique, and a challenging problem is to find the "smallest" possible expression representing a given

function. We can define arithmetic expressions using + and  $\times$  over  $\mathbb{N}$  in a similar way. Can the set arithmetic expression with n-variables represent every function from  $\mathbb{N}^n$  to  $\mathbb{N}$ ? What about the set of polynomial arithmetic expressions?

#### Question 3

Suppose  $e_1$  and  $e_2$  are two Boolean expressions with n variables over the Boolean algebra with two elements  $\{0,1\}$  that represent the same function  $\{0,1\}^n \to \{0,1\}$ . Prove that they represent the same function over any Boolean algebra. Show that the statement for all  $x_1, x_2, \dots, x_n(e_1 = e_2)$  can be formally proven using just the axioms of Boolean algebras. De Morgan's Laws are examples of this. Can you think of a systematic way of finding such proofs that use only the axioms?

#### Question 4

We have seen that for any set S, its power set  $2^S$  is a Boolean algebra with X + Y = $X \cup Y$ ,  $XY = X \cap Y$  and  $X^c = S - X$ , for any  $X, Y \subseteq S$ . 0 here corresponds to  $\emptyset$ and 1 to S. Now, show that for any finite Boolean algebra B there is an isomorphism from B to the power set of some finite set S. An isomorphism here refers to a bijection  $f: B \to 2^S$  that satisfies  $f(x+y) = f(x) \cup f(y)$ ,  $f(xy) = f(x) \cap f(y)$  and  $f(x^c) = S - f(x)$ , for all  $x, y \in B$ . For a finite Boolean algebra B, suppose we drop the axiom of existence of a complement but instead add the axioms a + 1 = 1 and  $a \cdot 0 = 0$  for all  $a \in B$ . As we have seen, the set of divisors of a number n, with + as lcm and  $\cdot$  as gcd is an example of such a structure, with 0 corresponding to 1 and 1 corresponding to n. This is equivalent to the set of multisubsets of a finite multiset, which is another example of a set satisfying these axioms with + as  $\cup$  and  $\cdot$  as  $\cap$ . Show that any finite Boolean algebra with the modified axioms is isomorphic to the set of multisubsets of a multiset, with + as  $\cup$  and  $\cdot$  as  $\cap$ . Hint: Try to find the "atoms" and a "multiplicity" for each "atom". Suppose we also drop the assumptions a+1=1 and  $a\cdot 0=0$  along with the axiom of complement and also drop the axioms of distributivity, and add the axioms a(a + b) = a and a + ab = a for all a, b in the Boolean algebra. There are many other mathematical objects that satisfy these weaker properties, with appropriate definitions of + and  $\cdot$  operations. Such structures are called lattices. Some examples are partitions of a finite set, subspaces of a vector space, rectangles with horizontal and vertical sides in the plane and many others. Try to appropriately define the + and  $\cdot$  operations for these lattices so that they satisfy the axioms.

## Sets, Relations and Functions

#### Question 1

For a relation  $R \subseteq A \times B$ , we define  $R^{-1} = \{(b,a) | (a,b) \in R\}$  (called the converse of R). For any two relations  $R_1 \subseteq A \times B$  and  $R_2 \subseteq B \times C$ , we define  $R_1 \cdot R_2 = \{(a,c) | \exists b \in A \setminus B \}$  $B:(a,b)\in R_1\wedge (b,c)\in R_2$ . The operation is known as composition. Prove that · is an associative operation, ie  $(R_1R_2)R_3 = R_1(R_2R_3)$  for all relations  $R_1, R_2$  and  $R_3$ . A relation from a set A to itself is known as a relation on A. Prove that if  $R_1$ and  $R_2$  are functions/injections/surjections/bijections on A,  $R_1R_2$  satisfies the same property. Do the converses of these properties hold? A left identity is a relation A that satisfies AR = R for all relations R, and a right identity is a relation B that satisfies RB = R for all relations R. Prove that the identity relation  $I = \{(a, a) | a \in A\}$  is both left indentity and a right identity for the composition operator. R' is said to be a left inverse of R iff R'R = I and a right inverse of R iff RR' = I. Do right inverses and left inverses exist for every relations R on A? Is  $R^{-1}$  always a right inverse or left inverse for R? Show that for any relation R on A, R has both a left inverse and a right inverse if and only if R is a bijection, and in this case the left inverse and right inverse are both unique and equal to  $R^{-1}$ . For relations  $R_1, R_2, R_3$  on a set A, do the following statements hold? Give proofs or counterexamples as appropriate.

1. 
$$(R_1R_2)^{-1} = R_2^{-1}R_1^{-1}$$

2. 
$$(R_1 \cup R_2)R_3 = R_1R_3 \cup R_2R_3$$

3. 
$$R_3(R_1 \cup R_2) = R_3 R_1 \cup R_3 R_2$$

4. 
$$(R_1 \cap R_2)R_3 = R_1R_3 \cap R_2R_3$$

5. 
$$R_3(R_1 \cap R_2) = R_3 R_1 \cap R_3 R_2$$

- 6.  $R_1R_2$  is a function if and only if  $R_1$  and  $R_2$  are functions
- 7.  $R_1 \subseteq R_2$  implies that  $R_1R_3 \subseteq R_2R_3$  and  $R_3R_1 \subseteq R_3R_2$

Suppose R is a relation from A to B such that R contains an injection f from A to B and  $R^{-1}$  contains an injection g from B to A. Prove that R contains a bijection from A to B. This is a stronger form of the Schröder-Bernstein Theorem, which is a particular case when  $R = A \times B$ . Note that your argument should hold for any sets A and B, not only finite sets. What properties of sets are used in the proof? Using this, show there exists a bijection from the real numbers to the power set of natural numbers.

#### Question 3

This is another (non-constructive) proof of Hall's Theorem by induction on |R|. Let R be a relation from A to B that satisfies Hall's condition  $|R(X)| \geq |X|$  for all  $X \subseteq A$ . Suppose there exists a pair  $(a,b) \in R$  such that R - (a,b) satisfies Hall's condition. Then we can find an injection in R - (a,b). Suppose that for every pair (a,b) R - (a,b) does not satisfy Hall's condition. Then prove that R itself must be an injection from R to R.

## Question 4

Let R be a relation from A to B for finite sets A and B, such that there exists a number  $k \geq 1$  such that  $|R(a)| \geq k$  for all a in A and  $|R^{-1}(b)| \leq k$  for all  $b \in B$ . Prove that R contains an injection from A to B. Let A be the set of all subsets of size k of  $\{1, 2, \ldots, n\}$  where  $k < \frac{n}{2}$ . Let B be the set of all subsets of size k + 1 of  $\{1, 2, \ldots, n\}$ . The relation R from A to B is defined by  $(X, Y) \in R$  iff  $X \subseteq Y$ , for subsets  $X \in A$  and  $Y \in B$ . Prove that R contains an injective function from A to B. Describe one such function A to the set of all multisubsets of size A and A is a multiset of size A. Try to extend this to the set of all multisubsets of size A of a multiset of size A.

Suppose there are n students and m companies. A relation R from students to companies is defined by  $(s,c) \in R$  iff student s is to be interviewed by company c. The interviews are conducted in slots of 15 minutes. A student can be interviewed by at most one company in a slot and a company can interview at most one student in a slot. Suppose that every student is to be interviewed by at most D companies and every company has to interview at most D students. Prove that it is always possible to schedule interviews such that at most D slots are required. Is it always possible to find such a schedule such that the slots for every company are consecutive, though they may start at different times? If so prove it, else find a counterexample.

# Equivalence Relations and Countability

#### Question 1

Prove that if A is a finite set, then any function on A is injective if and only if it is surjective. Use induction on the number of elements of A. Give examples of an injective function that is not surjective and a surjective function that is not injective for some set A. Show that if A is not finite, then there exists an injective function on A that is not surjective. Prove that every set is either finite or there exists an injection from  $\mathbb{N}$  to A.

## Question 2

Let R be a relation on a set A. We define  $R^k$  such that  $R^0 = I$ , and  $R^{k+1} = R^k R$  for  $k \geq 0$ . For k < 0, we define  $R^k = (R^{-1})^{-k}$ . Do we have  $R^{p+q} = R^p R^q$  for all  $p, q \in \mathbb{Z}$ ? Show that for all  $p, q \in \mathbb{Z}$ ,  $R^{p+q} \subseteq R^p R^q$ , and when p and q are either both non-negative or both non-positive, we have equality. Now, suppose there exists k > 0 such that  $R^k = I$ . Prove that R must be a bijection. You may use results from the previous question. Prove that if R is a bijection and A is finite, then there must exist a k such that  $R^k = I$ . Give an example to show that this may not be true if A is infinite. For a finite set A with n elements, what is the smallest number k such that for every bijection R on A,  $R^k = I$ ? Prove your answer.

Prove that the set of all finite subsets of  $\mathbb{N}$  has the same cardinality as  $\mathbb{N}$ . Find an explicit bijection between these sets.In other words, given a finite subset of natural numbers, show how to compute a natural number from it, so that the original set can be recovered uniquely from the computed number. Do the same for all finite sequences of natural numbers. Note that there is no fixed bound on the size of the finite subset or the length of the finite sequence. All that is known is that it is some natural number.

#### Question 4

Let  $A_0, A_1, \ldots$  be a countably infinite sequence of sets such that the intersection of any finite number of sets in the sequence is non-empty. Is it true that the intersection of all the sets is non-empty, ie there exists an x such that for all i  $x \in A_i$ ? If so prove it, otherwise give a counterexample. What if one of the sets is finite? Suppose  $a_0, a_1, \ldots$  is an infinite sequence of positive integers such that the gcd of any finite subsequence is greater than 1. Prove that there exists a prime p such that for all i, p divides  $a_i$ .

## Question 5

Let R be an arbitrary relation defined on a set A. Write down an expression for the smallest equivalence relation E containing R. You can use the standard set theory operations along with the composition operation to obtain this expression. E is the smallest in the sense that any equivalence relation that contains R must also contain E. Suppose E is an equivalence relation on a finite set A with n elements and k equivalence classes. What is the minimum number of elements in a relation R on A such that the smallest equivalence relation containing R is the given relation E? Prove your answer using induction.

#### Question 6

Prove that the intersection of two equivalence relations on a set A (considered as a collection of ordered pairs) is also an equivalence relation. For any relation R on A, the smallest equivalence relation containing R, called the closure of R and denoted by  $R^c$  is the intersection of all equivalence relations containing R. Show that this is equivalent to the definition in the previous question. Let  $\mathcal{E}$  be the set of all equivalence

relations on a set A. Define two operations  $\cdot$  and + on  $\mathcal{E}$  as:  $E_1 \cdot E_2 = E_1 \cap E_2$  and  $E_1 + E_2 = (E_1 \cup E_2)^c$ . Which axioms of a Boolean algebra do these operations satisfy? If they do not, give counterexamples, otherwise prove it. Prove that for all  $E_1$  and  $E_2$ ,  $E_1 \cdot (E_1 + E_2) = E_1$  and  $E_1 + E_1 \cdot E_2 = E_1$ . These operations define a lattice called the lattice of partitions of A. An equivalence relation  $E_2$  covers the equivalence relation  $E_1$  iff  $E_1 \subset E_2$  and there is no equivalence relation E such that  $E_1 \subset E \subset E_2$ . Prove that if  $E_1$  covers  $E_1 \cdot E_2$  then  $E_2$  is covered by  $E_1 + E_2$ . Is the converse of this statement true?

## Partial Orders and Lattices

#### Question 1

Let  $(A, \leq)$  be a partial order defined on a finite set A. Prove that there exists a total order on A that contains the  $\leq$  relation. This is essentially topological sorting of a directed acyclic graph. Whether this holds for infinite sets or not is independent of the standard axioms of set theory. It depends on what is called the "axiom of choice" which is not a standard axiom. Can you think of how this could be proved for an infinite set? The dimension of a partial order is the minimum number of total orders whose intersection is the given partial order. In other words,  $a \leq b$  holds in the partial order if and only if it holds in all the total orders. Prove that the dimension of any partial order on a set with n elements is at most  $\lfloor \frac{n}{2} \rfloor + 1$ . Give an example of a partial order on n elements whose dimension is  $\lfloor \frac{n}{2} \rfloor + 1$ .

#### Question 2

Let  $a_1, a_2, \ldots, a_n$  be a sequence of numbers. Prove that for any number  $k \geq 1$ , either the sequence can be partitioned into k non-decreasing subsequences, or there exists a decreasing subsequence of k+1 numbers (but not both). Using this or otherwise, give an efficient algorithm to find the longest subsequence that can be partitioned into k non decreasing subsequences.

#### Question 3

Let L be a lattice and let  $\vee$  and  $\wedge$  denote the lub and glb operations, also called the join and meet. Prove that these operations are commutative, associative and satisfy

the absorption laws  $a \lor (a \land b) = a$  and  $a \land (a \lor b) = a$ , for all  $a, b \in L$ . Conversely, given two operations satisfying these properties, show that they define a lattice where  $\land$  is the glb and  $\lor$  is the lub. Note that it is not necessary to assume the existence of 1 and 0, as these may not exist for infinite lattices. The distributive property is not satisfied by many lattices. In particular, show that the partition lattice does not satisfy distributivity.

## Question 4

Let  $(A, \leq)$  be a partial order on a finite set A. A subset  $I \subseteq A$  is called an ideal iff for all  $a_1, a_2 \in A$ ,  $a_1 \leq a_2$  and  $a_2 \in I$  implies  $a_1 \in I$ . In other words, if an element belongs to I then all elements "smaller" than it belong to I. Prove that the union and intersection of any two ideals is an ideal. Let C be the collection of all ideals in A with the  $\subseteq$  relation defined on it. Then C is a lattice with glb as intersection and lub as union, and therefore satisfies the distributivity property. Conversely, prove that if L is a finite distributive lattice, that is  $\wedge$  and  $\vee$  satisfy distributivity apart from the other lattice axioms, then it is isomorphic to a collection of ideals of some finite partially ordered set. First show that any such lattice is isomorphic to a collection of sets that is closed under union and intersection, and then show that any such collection is isomorphism to the collection of ideals of some poset.

#### Question 5

This problem was given to me by a past student, now a faculty member in NUS, (also a visiting faculty here, Kuldeep Meel) and considered one of the "rising stars" in AI. I don't know the application but the problem is on the Boolean lattice and is interesting by itself. I don't know the answer either (and neither did he, last I checked). Suppose I is an ideal in a finite Boolean lattice (set of all subsets of a k-element set for some k) with |I| = n. What is the minimum number of maximal elements in I? An element in I is maximal if it is not strictly less than any order element in I. For example, if  $n=2^k$ , then a single maximal element is sufficient. Take any set with k elements, the ideal containing it will have  $2^k$  elements (all subsets of the set), and the set will be the only maximal element. If  $n=2^k+2^l-1$  then 2 maximal elements, a subset of size k and a disjoint subset of size l, suffice. The -1 is because the empty set is counted twice. n=13 is the smallest n for which 3 are needed, and a program, if correct, seemed to suggest that 419 is the smallest for which 4 are needed. It is not difficult to show that  $O(\log n)$  elements suffice, but it seems like  $O(\log \log n)$  are sufficient. Show that there are values of n for which  $\Omega(\log \log n)$  are required. If you can prove the upper bound, write to him.

# Counting and Recurrences

#### Question 1

An involution is a bijection f from [n] to itself such that  $f^2$  is the identity function. A derangement is a bijection f such that f(i) = i for all  $i \in [n]$ . Write down recurrence relations for the number of involutions and derangements. Given a bijection f on [n] define an equivalence relation on [n] by  $i \equiv j$  iff there exists a number k such that  $f^k(i) = j$ . Let  $S_{n,m}$  denote the number of bijections such that the corresponding equivalence relation has exactly m classes. Write down a recurrence relation for this in terms of n and m.

#### Question 2

Consider an arrangement of balls in layers such that the balls in each layer are placed consecutively touching each other, and except for the bottom layer, each ball is placed between two balls in the layer below. If there are n balls in the bottom layer, how many distinct arrangements are possible? For n = 1, there is only one, for n = 2, there are two, one in which there is only one layer and the other with a single ball in layer 2. For n = 3 there are 5, with distributions (3), (3, 1), (3, 1), (3, 2) or (3, 2, 1) of balls in layers. Prove that the number of possible arrangements is the Fibonacci number  $F_{2n-2}$ . Prove it using a recurrence and also by showing a bijection with the set of bit strings of length 2n - 3 not containing 11, for n > 1.

Prove that the number of bit strings of length n that do not contain any occurrence of 010 or 101 is  $2F_n$ . Prove it using a recurrence relation and also by giving a 1 to 2 function from the set of such bit strings to the set of bit strings of length n-1 not containing any occurrence of 11.

#### Question 4

Suppose a fair coin is tossed n times. Given that two consecutive heads did not occur in the n trials, what is the expected number of heads that have occurred? Let S be the set of sequences in which each entry is either +1 or -1 and the sum of entries in any prefix of the sequence is at least -2 and at most 2. How many such sequences of length n are possible? If each entry in the sequence is generated randomly with equal probability, and the sequence terminates as soon as the prefix condition is violated, what is the expected length of the sequence?

#### Question 5

The number of binary trees with n nodes  $T_n$  satisfies the recurrence  $T_0=1$  and  $T_n=\sum_{i=0}^{n-1}T_iT_{n-1-i}$ . These numbers are called Catalan numbers. Find the generating function T(x) of these numbers. Prove that  $T_n$  is odd if and only if  $n=2^k-1$  for some  $k\geq 0$ . One way of doing it is by showing that binary trees can be paired up so that exactly one is left unpaired when  $n=2^k-1$  and otherwise all are paired up. Ideally, the pairing should be such that only trees that are close to each other are paired up. The Catalan numbers also count a number of other objects (more than 200). For example, number of valid bracket strings, number of ways of partitioning a convex polygon into triangles (called triangulation), number of non-decreasing sequences  $a_1 \leq a_2 \leq \cdots \leq a_n$  such that  $a_i \leq i$  for each  $1 \leq i \leq n$ . In each case, the notion of "close" pairing may be different. In the bracket case, a string can be paired with one obtained by swapping some adjacent pair of characters. In the triangulation case, allow replacing one diagonal by another, in the sequence case, increase or decrease a number by 1. In each case, can you find such a "close pairing" with only one object left out when  $n=2^k-1$ ?

# Counting with Symmetries

#### Question 1

Consider the problem of counting necklaces taking into account rotational symmetry. This can also be considered as counting the number of distinct ways of colouring the corners of a regular polygon with n sides, where each corner is assigned one of k colours. Suppose k=2 and the colours are black and white. Find the polynomial  $N_n(b)$  in one variable b such that the coefficient of  $b^k$  is the number of necklaces with exactly k corners coloured black. If rotational symmetry is not considered, this polynomial is just  $(1+b)^n$ . Do the same if colourings obtained by reflection are also considered to be equivalent.

#### Question 2

Consider the 3-dimensional unit cube whose corners are the points (x, y, z) where each of x, y, z are either 0 or 1. How many different ways of colouring the corners are there with at most k colours, if colourings that can be obtained by applying any rotations of the cube are considered equivalent? Do the same if reflections are also allowed. Also find the number of distinct ways of colouring the 12 edges and 6 faces of the cube. In general, many different groups of bijections arise by considering different symmetries of different geometrical objects.

#### Question 3

Let A be a set with 3 elements and  $R_1$  and  $R_2$  relations defined on A. Suppose  $R_1$  is equivalent to  $R_2$  iff there exists a bijection f from A to A such that  $(a_1, a_2) \in R_1$ 

if and only if  $(f(a_1), f(a_2)) \in R_2$ . In other words, the relation  $R_2$  can be obtained from  $R_1$  by simply relabeling the elements of A. Find the number of distinct relations on A. Do the same for relations from A to B where both A and B have 3 elements each. In this case,  $R_1$  is equivalent to  $R_2$  iff there are bijections f from A to A and g from B to B such that  $(a,b) \in R_1$  iff  $(f(a),g(b)) \in R_2$ . Try to extend to sets with n elements. The second problem is the same as trying to find the number of distinct  $n \times n$  matrices with 0,1 entries, where two matrices are considered to be the same if one can be obtained from the other by permuting the rows and columns.

## Question 4

Consider the set of strings of length 2n over an alphabet that contains k letters. A string  $a_0a_1 \ldots a_{2n-1}$  is equivalent to the string  $b_0b_1 \ldots b_{2n-1}$  iff for all  $0 \le i < n$ , either  $a_{2i} = b_{2i}$  and  $a_{2i+1} = b_{2i+1}$  or  $a_{2i} = b_{2i+1}$  and  $a_{2i+1} = b_{2i}$ . In other words, two strings are said to be equivalent if one can be obtained from the other by swapping a letter in an even position with the letter in the next position. Find the number of equivalence classes of this relation. Find the number of inequivalent strings in which a particular letter a appears exactly m times. Can you find this answer without using Burnside's Lemma?

# **Undirected Graphs**

#### Question 1

Give an example of a graph G for which the only automorphism is the identity function. An automorphism of G is a bijection from V(G) to itself that preserves adjacency, that is, a bijection  $f: V(G) \to V(G)$  such that vertex u is adjacent to vertex v if and only if f(u) is adjacent to f(v). How many automorphisms are there of the graphs  $P_n$  (path with n vertices),  $C_n$  (cycle with n vertices) and  $K_n$  (complete graph with n vertices)? If  $T_n$  is a tree with n vertices, what is the maximum possible number of automorphisms of  $T_n$ ? Why? For which tree is the maximum value achieved?

#### Question 2

An edge e in a graph G is called a bridge if the graph G - e obtained by deleting the edge e has more connected components than G. Prove that e is a bridge if and only if it is not contained in a cycle. Prove that if e is a bridge, then G - e has exactly one more connected component than G and the endpoints of e are in different components of G - e. Prove that if for some vertices u, v there exists a path of odd length between u and v, and also a path of even length, then the graph must contain a cycle of odd length.

#### Question 3

Prove that every graph with n vertices and n+4 edges must contain 2 cycles that have no edge in common with each other. Give examples of graphs with n vertices

and n+3 edges that do not contain two edge-disjoint cycles for  $n \geq 6$ . Prove that if  $n \geq 6$ , any graph with more than 3n-6 edges must contain two vertex disjoint cycles. Give an example of a graph with n vertices and 3n-6 edges that does not contain such cycles.

#### Question 4

Suppose G is a connected graph such that for any pair of vertices u and v all paths between u and v have the same length. The length may be different for different pairs of vertices. Prove that the graph must be a tree. Suppose now the path lengths can take at most two different values for each pair. What is the maximum possible number of edges in G, assuming it has n vertices?

#### Question 5

Prove that every graph with n vertices and more than  $\frac{k(n-1)}{2}$  edges contains a path of length k. A famous conjecture of Erdős and Sős states that any such graph must contain as a subgraph any tree with k edges. This is known to be true for some special kinds of trees and for all trees when n is very large compared to k. Show that there are infinitely many n for which there are graphs with  $\frac{k(n-1)}{2}$  edges that do not contain any tree with k edges. Try to find the minimum number of edges that an n vertex graph must have in order to contain a cycle of length at least k.