Trap handling

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Recap: OS runs processes

- OS manages multiple active processes concurrently
- What is a process?
 - Memory image in RAM = compiled code, data (compile-time, run-time)
 - CPU context (in CPU registers when running, else saved in PCB)
 - Other things like I/O connections, ..
- Processes created by fork from parent processes
- Periodically, OS scheduler loops over ready processes
 - Find a suitable process to run, save old process context, restore new context
- Once process is context switched in, OS is out of picture, CPU in user mode, runs user code directly
- When does the OS run again?

User mode vs. Kernel mode of a process

- CPU runs user code in user mode (low privilege) most of the time
- CPU switches to kernel mode execution when
 - Process makes system call, needs OS services
 - External device needs attention, raises interrupt
 - Some fault has happened during program execution
- All such events are called traps: CPU "traps" into OS code
 - CPU shifts to high privilege level (kernel mode), runs OS code to handle event
 - Later, CPU switches to low privilege level, back to user code in user mode
- Process P goes to kernel mode to run OS code, but it is still process P itself that is in running state
- OS not a separate process, runs in kernel mode of existing processes

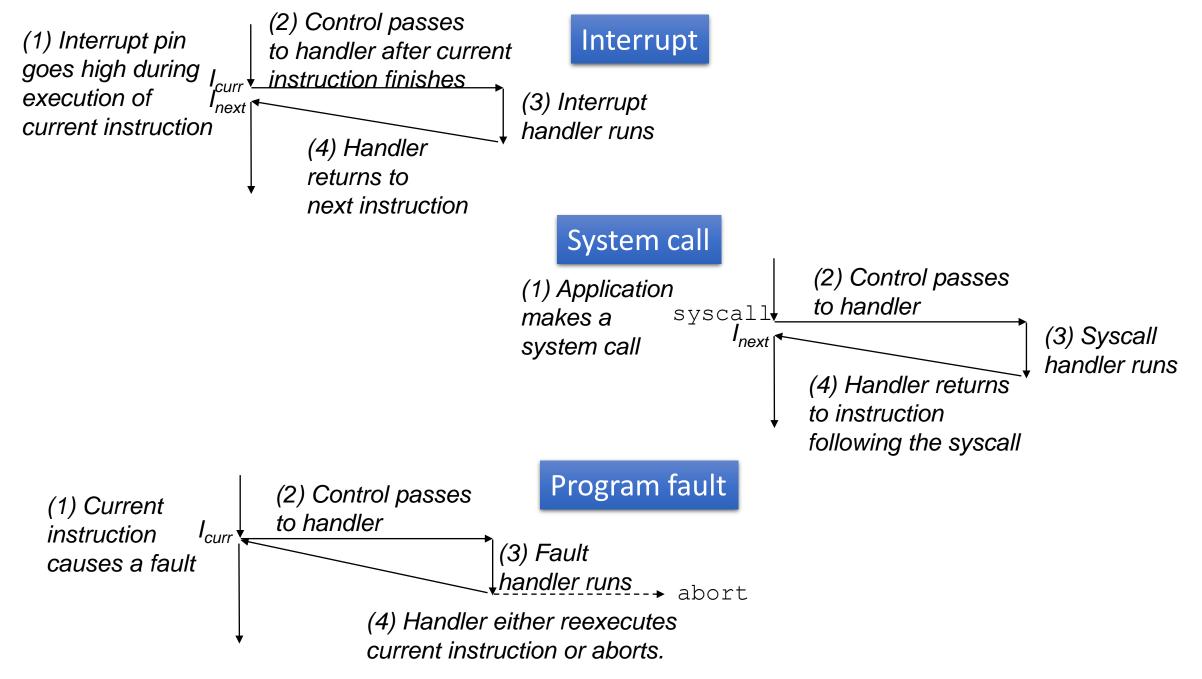
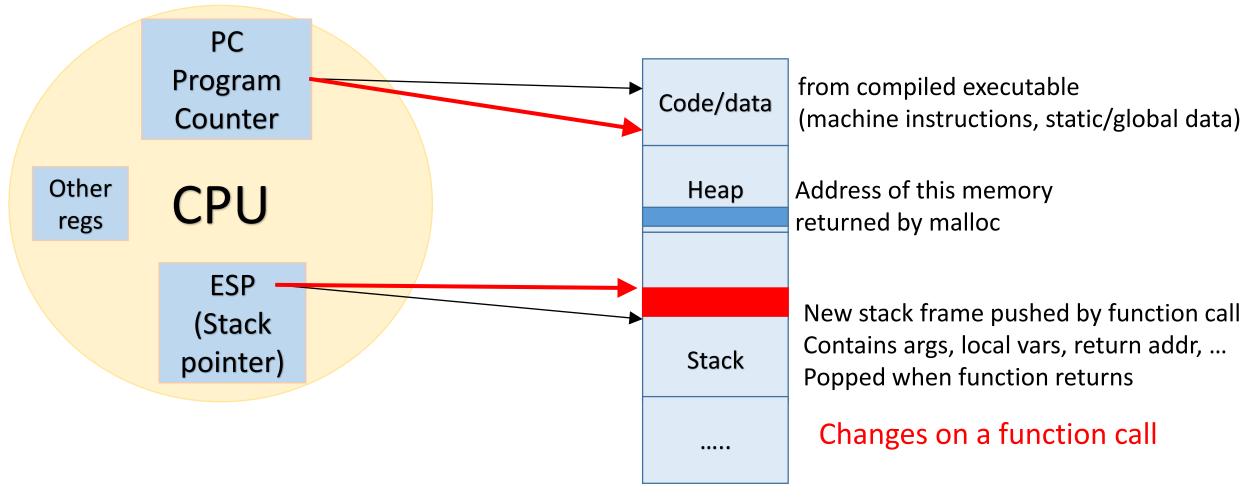


Image credit: CSAPP

Function call vs. system call

- What happens when a user program makes a function call?
 - Allocate memory on user stack for function arguments, local variables, ...
 - Push return address, PC jumps to function code
 - Push register context (to resume execution when function returns)
 - Execute function code
 - When returning from function, pop return address, pop register context
- System call also must
 - Use a stack to push register context
 - Save old PC, change PC to point to OS code to handle system call
 - Run system call, restore context back to user code

Understanding a function call



Located at some memory addresses in RAM

What is different for a system call?

- Changing PC in function call vs. system call
 - In function call, address of function code known in executable, can jump to function code directly using a CPU instruction ("call" in x86)
 - For system call, cannot trust user to jump to correct OS code (what if user jumps to inappropriate privileged code?)
- Saving register context on stack in function call vs. system call
 - In function call, register context is saved and restored from user stack
 - For system call, OS does not wish to use user stack (what if user has setup malicious values on the stack?)
- We require: a secure stack, a secure way of jumping to OS code

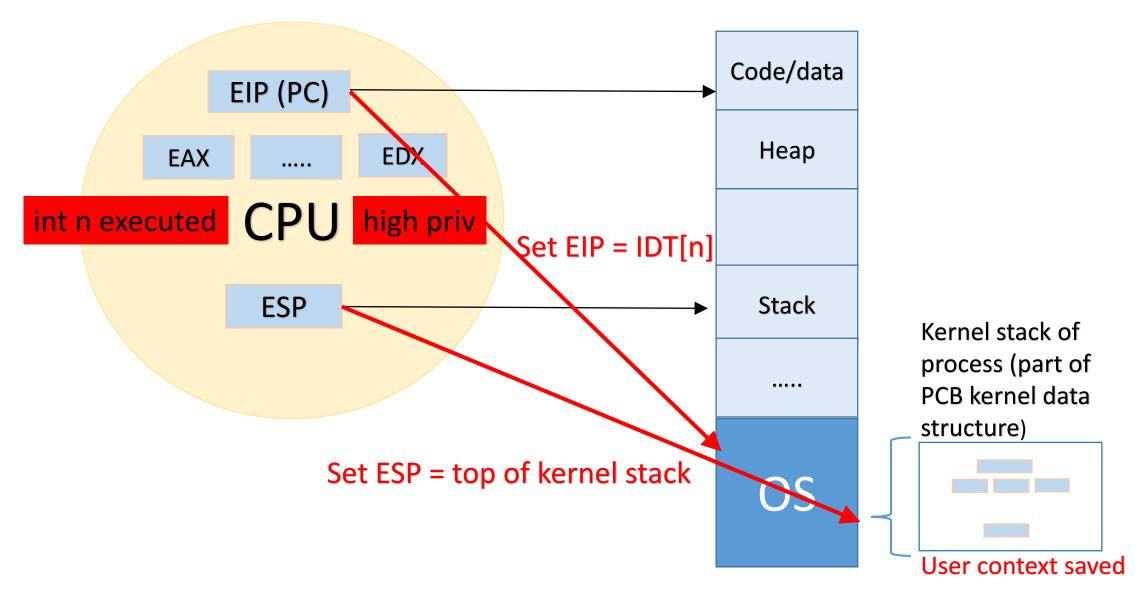
Kernel stack and IDT

- Every process uses a separate kernel stack for running kernel code
 - Part of PCB of process, in OS memory, not accessible in user mode
 - Used like user stack, but for kernel mode execution
 - Context pushed on kernel stack during system call, popped when done
- To set PC, CPU accesses Interrupt Descriptor Table (IDT)
 - Data structure with addresses of kernel code to jump to for events
 - Setup by OS during bootup, not accessible in user mode
 - CPU uses IDT to locate address of OS code to jump to
- Together: secure way of locating OS code, secure stack for OS to run

Hardware trap instruction

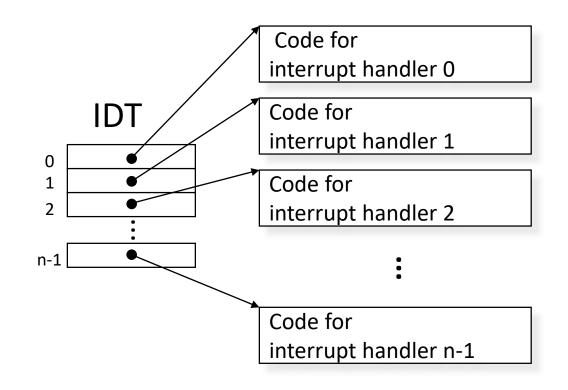
- When user code wants to make system call, it invokes special "trap instruction" with an argument
 - Example: "int n" in x86, argument "n" indicates type of trap (syscall, interrupt)
 - The value of "n" specifies index into IDT array, which OS function to jump to
- When CPU runs the trap instruction:
 - CPU moves to higher privilege level
 - CPU shifts stack pointer register to kernel stack of process
 - Register context is saved on kernel stack (part of PCB)
 - Address of OS code to jump to is obtained from IDT, PC points to OS code
 - OS code starts to run, on a secure stack

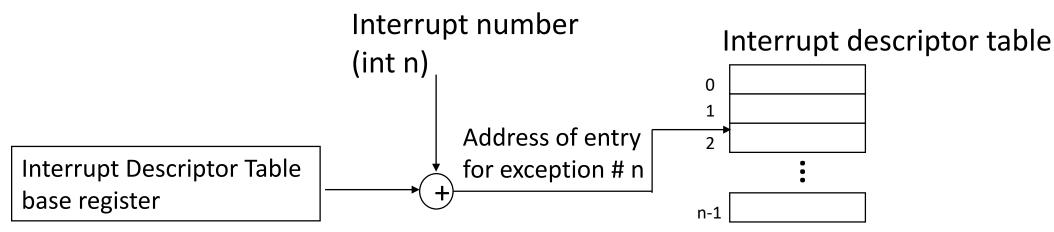
Trap handling



IDT lookup

- IDT configured by OS
- Base address of IDT stored in CPU register
- Upon trap, CPU looks up IDT to find address of interrupt handler





Why trap instruction?

- Need a secure way of jumping to OS code to handle traps
 - User code cannot be trusted to jump to correct OS code
 - Only CPU can be trusted to handover control from user to OS securely
- Who calls trap instruction?
 - System call code in a language library (printf invokes system call via int n)
 - External hardware raises interrupt, causes CPU to execute "int n"
 - Argument "n" indicates whether system call /IRQ number of hardware device
- Across all cases, the mechanism is: save context on kernel stack, switch to OS address in IDT, run OS code to handle trap

Return from trap

- When OS is done handling syscall or interrupt, it calls a special instruction return-from-trap
 - Restore context of CPU registers from kernel stack
 - Change CPU privilege from kernel mode to user mode
 - Restore PC and jump to user code after trap
- User process unaware that it was suspended, resumes execution at the point it stopped before
- Always return to the same user process from kernel mode? No
 - Before returning to user mode, OS checks if it must switch to another process

Trap handling in xv6

- The following events in xv6 cause a user process to "trap" into the kernel
 - System calls (requests by user for OS services)
 - Interrupts (external device wants attention)
 - Program fault (illegal action by program)
- When above events happen, CPU executes the special "int" instruction
 - Example seen in usys.S, "int" invoked to handle system calls
 - For hardware interrupts, device sends a signal to CPU, and CPU executes int
- Trap instruction has a parameter (int n), indicating type of interrupt
 - E.g., syscall has a different value of n from keyboard interrupt
 - The value of "n" is used to index into IDT, get address of kernel code to run
- xv6 trap handling code saves register context, handles trap, returns

xv6 system calls

- In xv6, system calls available to user programs are defined in user library header "user.h"
 - Equivalent to C library headers (xv6 doesn't use standard C library)
- These system call functions invoked in user programs after including "user.h"
- The actual invoking of system call is done in usys.S

```
struct stat;
struct rtcdate;
int fork(void);
int exit(void) __attribute__((noreturn));
int wait(void);
int pipe(int*);
int write(int, const void*, int);
int read(int, void*, int);
int close(int);
int kill(int);
int exec(char*, char**);
int open(const char*, int);
int mknod(const char*, short, short);
int unlink(const char*);
int fstat(int fd, struct stat*);
int link(const char*, const char*);
int mkdir(const char*);
int chdir(const char*);
int dup(int);
int getpid(void);
char* sbrk(int);
int sleep(int);
int uptime(void);
```

xv6 system calls

- The user library makes the actual system call to invoke OS code
- User library invokes trap instruction to make system call, code seen in usys.S
 - Defined using a macro
 - Move system call number to eax
 - Invoke int n where n is T_SYSCALL
- The trap (int) instruction causes a jump to kernel code that handles the system call

```
.globl name; \
  name: \
    movl $SYS_ ## name, %eax;
    int $T_SYSCALL;
    ret
SYSCALL(fork)
SYSCALL(exit)
SYSCALL(wait)
```

Trap frame in xv6

- Trap frame is the structure pushed on kernel stack before trap handling, popped when returning from trap
- Contains various registers that are saved on kernel stack before trap handling
- The "int n" instruction pushes a few registers (old PC, old SP etc.) and jumps to kernel code to handle trap
- The kernel code that is run next will push remaining registers on kernel stack, and then proceed to handle the trap
- Think: why are EIP, ESP pushed by hardware and not by kernel code?

```
0600 // Layout of the trap frame built on the stack by the
0601 // hardware and by trapasm.S, and passed to trap().
0602 struct trapframe {
       // registers as pushed by pusha
       uint edi:
0604
0605
       uint esi:
0606
       uint ebp;
                       // useless & ignored
0607
       uint oesp;
0608
       uint ebx;
0609
       uint edx:
0610
       uint ecx:
0611
       uint eax;
0612
0613
       // rest of trap frame
0614
       ushort gs;
       ushort padding1;
0615
0616
       ushort fs:
0617
       ushort padding2;
0618
       ushort es:
0619
       ushort padding3;
0620
       ushort ds:
0621
       ushort padding4;
0622
       uint trapno;
0623
0624
       // below here defined by x86 hardware
0625
       uint err;
0626
       uint eip;
0627
       ushort cs;
0628
       ushort padding5;
0629
       uint eflags;
0630
0631
       // below here only when crossing rings, such as from user to kernel
0632
       uint esp:
0633
       ushort ss:
       ushort padding6;
0635 };
```

xv6 kernel trap handler

- IDT entries for all interrupts will set eip to point to the kernel trap handler "alltraps"
- Alltraps assembly code pushes remaining registers to complete trapframe on kernel stack
- Invokes C trap handling function named "trap"
 - Push pointer to trapframe (current top of stack, esp) as argument to the C function

```
3300 #include "mmu.h"
3301
3302
       # vectors. S sends all traps here.
3303 .globl alltraps
3304 alltraps:
       # Build trap frame.
3305
3306
       push1 %ds
3307
       push1 %es
3308
       push1 %fs
3309
       push1 %gs
3310
       pusha1
3311
3312
       # Set up data segments.
3313
       movw $(SEG_KDATA<<3), %ax
3314
       movw %ax, %ds
3315
       movw %ax, %es
3316
3317
       # Call trap(tf), where tf=%esp
3318
       push1 %esp
3319
       call trap
3320
       addl $4, %esp
3321
3322
       # Return falls through to trapret...
3323 .globl trapret
3324 trapret:
3325
       popal
3326
       popl %gs
3327
       popl %fs
3328
       popl %es
3329
       popl %ds
3330
       addl $0x8, %esp # trapno and errcode
3331
       iret
```

C trap handler function in xv6

- C trap handler performs different actions based on kind of trap
- Different types of traps identified using value of "n" in "int n"
- For system call, "n" equal to a value T_SYSCALL (in usys.S), indicating this trap is a system call
 - Trap handler invokes common system call function
 - Looks at system call number stored in eax and calls the corresponding function (fork, exec, ...)
 - Return value of syscall stored in eax

```
3700 void
3400 void
                                           3701 syscall(void)
3401 trap(struct trapframe *tf)
                                           3702 {
3402 {
                                           3703
                                                  int num:
       if(tf->trapno == T_SYSCALL){
3403
                                           3704
                                                  struct proc *curproc = myproc();
                                           3705
3404
          if(myproc()->killed)
                                           3706
                                                  num = curproc->tf->eax;
3405
            exit();
                                           3707
                                                  if(num > 0 && num < NELEM(syscalls) && syscalls[num]) {
3406
          myproc()->tf = tf;
                                           3708
                                                    curproc->tf->eax = syscalls[num]();
3407
          syscall();
                                           3709
                                                  } else {
                                           3710
                                                    cprintf("%d %s: unknown sys call %d\n",
3408
          if(myproc()->killed)
                                           3711
                                                            curproc->pid, curproc->name, num);
3409
            exit();
                                           3712
                                                    curproc -> tf -> eax = -1;
3410
          return;
                                           3713
3411
                                           3714 }
```

- If interrupt from a device, corresponding driver code called
- Timer is special hardware interrupt, generated periodically to trap to kernel

```
3413
       switch(tf->trapno){
3414
       case T_IRQ0 + IRQ_TIMER:
3415
         if(cpuid() == 0){
3416
           acquire(&tickslock);
3417
           ticks++;
3418
           wakeup(&ticks);
3419
           release(&tickslock);
3420
3421
         lapiceoi();
3422
         break:
3423
       case T_IRQ0 + IRQ_IDE:
3424
         ideintr();
3425
         lapiceoi();
3426
         break;
3427
       case T_IRQ0 + IRQ_IDE+1:
3428
         // Bochs generates spurious IDE1 interrupts.
3429
         break:
3430
       case T_IRQ0 + IRQ_KBD:
3431
         kbdintr();
3432
         lapiceoi();
3433
         break;
```

```
// Force process to give up CPU on clock tick.
3471
      // If interrupts were on while locks held, would need to check nlock.
3472
       if(myproc() && myproc()->state == RUNNING &&
3473
3474
          tf->trapno == T_IRQ0+IRQ_TIMER)
3475
         vield():
3476
2826 // Give up the CPU for one scheduling round.
2827 void
2828 yield(void)
2829 {
2830
       acquire(&ptable.lock);
2831
       myproc()->state = RUNNABLE;
2832
       sched();
       release(&ptable.lock);
2833
2834 }
```

- On timer interrupt, a process "yields" CPU to scheduler
- Ensures a process does not run for too long

Return from trap

- Assembly code "trapret"
- Pop all state from kernel stack
- Return-from-trap instruction "iret" does the opposite of int
 - Pop values pushed by "int"
 - Change back privilege level
- Execution of pre-trap code can resume

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3330
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```

xv6 trap handling: the complete story

- System calls, program faults, or hardware interrupts cause CPU to run "int n" instruction and "trap" to OS
- The trap instruction (int n) causes CPU to switch ESP to kernel stack,
 EIP to kernel trap handling code "alltraps"
- Pre-trap CPU state is saved on kernel stack in the trap frame by int instruction + alltraps code
- Alltraps assembly code calls C trap handling function
- C trap handler handles trap suitably and returns to trapret code
- Trapret pops register context and runs "iret" instruction to return from trap to user mode of process

Summary

A process running in user mode carefree Encounters a trap that forces it to be More responsible in the kernel mode As it traps into the OS code

"Don't panic", says the OS, "I'll handle this"
Saves context on the trap frame and dives right in
To its trap handling code that does what must be done
To handle the trap and bring the process back to run