



**FEDERAL RETIREMENT THRIFT INVESTMENT BOARD**  
77K Street, NE Washington, DC 20002

March 25, 2019

**MEMORANDUM FOR THE EXECUTIVE DIRECTOR and BOARD MEMBERS**

**FROM:** Jay Ahuja, Chief Risk Officer

**SUBJECT:** Quarterly Vendor Risk Assessment – 4<sup>th</sup> Quarter Calendar Year (CY) 2018

The purpose of this memorandum is to provide a summary of the Quarterly Vendor Financial Assessment conducted for the 4<sup>th</sup> quarter of CY 2018.

**Objective**

The objective of the quarterly vendor financial assessment is to identify any key vendor risks, including, but not limited to, financial health, operational, reputational, credit and external risks that may affect the services these vendors provide to the Agency and therefore their capability to fulfill the contractual obligations to FRTIB.

**Analysis and Conclusion**

The Office of Enterprise Risk Management analyzed available vendor data<sup>1</sup> for the following key vendors:

- BlackRock, Inc. (BLK)
- Broadridge Financial Solutions (BR)
- Equinix, Inc. (EQIX)
- MetLife, Inc. (MET)
- Serco Group Plc (SRP)
- Fidelity National Information Services (FIS)
- Science Applications International Corp. (SAIC)
- RA Outdoors/Brand: Aspira Connect (formerly Active Network) (Privately held company)<sup>2</sup>
- DataBank, Ltd. (Privately held company)<sup>2</sup>

Based on our analysis of the above listed vendors, we find no indication that they are unable to fulfill their contractual obligations to FRTIB. We will continue to monitor these vendors on a quarterly basis and inform you of any changes to the vendor risk profile.

<sup>1</sup> Sources: Company filings, YCharts, News Articles, and Bloomberg data estimates

<sup>2</sup> For privately held companies, there is limited amount of information reported by financial news and data service companies such as Bloomberg.