



FEDERAL RETIREMENT THRIFT INVESTMENT BOARD
77K Street, NE Washington, DC 20002

January 28, 2019

Employee Benefits Security Administration - Performance Audit of Thrift Savings Plan Mobile Device Security and Governance Controls

Audit Report Date:

September 27, 2018

Audit Scope Period:

May 1, 2017 to April 30, 2018

Audit Objectives:

- Determine whether the Agency:
 1. Developed a mobile device security and governance program
 2. Established controls for tracking and monitoring mobile devices
 3. Established controls for configuring, updating, and removing mobile devices from the TSP network.
- Determine the status of prior EBSA open recommendations.

Audit Results:

EBSA concluded that for the period March 1, 2017 to February 28, 2018, the Agency had not:

1. Developed a mobile device security and governance program
2. Established controls for tracking and monitoring mobile devices
3. Established controls for configuring, updating, and removing mobile devices from the TSP network.

EBSA closed 12 prior year recommendations, presented 35 new recommendations and left open 14 prior year recommendations. In summary, the open recommendations identified weaknesses:

1. In the overall mobile device security and governance program, including policies and procedures, management of mobile device expenses, and risk assessments for mobile device applications.
2. Monitoring and tracking mobile devices, including periodic access reviews for the mobility program.
3. Updating, configuring, and removing mobile devices from the TSP network.