



FEDERAL RETIREMENT THRIFT INVESTMENT BOARD
77K Street, NE Washington, DC 20002

August 27, 2019

**Employee Benefits Security Administration - Performance Audit of Thrift Savings Plan
Participant Website Controls**

Audit Report Date:

June 27, 2019

Audit Scope Period:

January 1, 2018 through December 31 2018

Audit Objectives:

- Determine whether the Agency implemented certain procedures to:
 1. Securing participant communications and transactions via the Web through password and user identification configuration settings, system edit checks regarding certain indicative data updates, and transaction types.
 2. Managing website configuration changes.
 3. Monitoring threats to participant data from external threats via the Web and social media.
- Determine the status of prior EBSA open recommendations.

Audit Results:

EBSA concluded that for the period January 1, 2018 through December 31 2018, the Agency implemented certain procedures to:

1. Securing participant communications and transactions via the Web through password and user identification configuration settings, system edit checks regarding certain indicative data updates, and transaction types.
2. Managing website configuration changes.
3. Monitoring threats to participant data from external threats via the Web and social media.

EBSA closed 5 prior year recommendations, presented 6 new recommendations and left open 3 prior year recommendations. The Agency non-concurred with 5 recommendations.

The open recommendations noted least privilege weaknesses in content change management and MySQL data transfer process, and website monitoring weaknesses.