



FEDERAL RETIREMENT THRIFT INVESTMENT BOARD
77K Street, NE Washington, DC 20002

June 26, 2017

Employee Benefits Security Administration Performance Audit of the Thrift Savings Plan
Mobile Device Security and Governance Controls dated May 8, 2017

Audit Scope Period:

October 1, 2015 through September 30, 2016

Audit Objectives:

- Determine whether (1) management had developed a mobile device security and governance program; (2) management established controls for tracking and monitoring mobile devices; (3) and management established controls for configuring, updating, and removing mobile devices from the TSP network.

Audit Results:

EBSA concluded that for the period October 1, 2015 through September 30, 2016, a formal mobile device security and governance program needs to be developed to incorporate appropriate controls for mobile devices. Specifically, EBSA presented eleven new recommendations related to TSP mobile device security and governance controls.

There were no prior year recommendations to follow-up during the performance audit.