



FEDERAL RETIREMENT THRIFT INVESTMENT BOARD  
77K Street, NE Washington, DC 20002

October 22, 2018

**Employee Benefits Security Administration - Performance Audit of Certain Thrift Savings Plan  
Insider Threat Controls**

**Audit Report Date:**

March 12, 2018

**Audit Scope Period:**

October 1, 2016 through September 30, 2017

**Audit Objectives:**

- Determine whether the Agency implemented certain controls to prevent, monitor, and detect insider threats to Thrift Savings Plan (TSP) systems and data by Agency personnel and contractors
- Perform limited social engineering procedures to test for the existence of insider threat controls. (Note: EBSA was unable to complete this objective due to Agency privacy concerns)

**Audit Results:**

EBSA met the first audit objective and concluded that for the period October 1, 2016 through September 30, 2017, the Agency had not implemented certain controls to prevent, monitor, and detect insider threats to TSP systems and data by Agency personnel and contractors.

EBSA presented two findings. The findings noted the lack of insider threat program and lack of procedures to monitor suspicious behavior. There were no previous findings because this was the first time this audit was performed.